Article

Long-Term Benefits of Protecting Table Grape Vineyards against Trunk Diseases in the California Desert

Carmen Gispert 1, Jonathan D. Kaplan 2, Elizabeth Deyett 3 and Philippe E. Rolshausen 3,*

1 Division of Agriculture and Natural Resources, University of California Cooperative Extension, Indio, CA 92201, USA; cgispert@ucanr.edu
2 Department of Economics, Sacramento State University, Sacramento, CA 95819, USA; kaplanj@csus.edu
3 Department of Botany and Plant Sciences, University of California, Riverside, CA 92521, USA; edeye001@ucr.edu
* Correspondence: philrols@ucr.edu

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Abstract: Grapevine trunk diseases (GTD) are caused by several fungal species and are major limiting factors to vineyard productivity and profitability in all viticulture areas. This study is aimed at addressing the gap in the knowledge with regards to measuring the long-term benefits of post-pruning fungicide application on trunk diseases incidence and crop yield in grape production systems. It also calculated the net economic benefit of implementing such practice over the vineyard lifespan. We selected a newly planted commercial table grape vineyard in the California desert and divided it in two blocks. In one block, the registered fungicide thiophanate-methyl was mechanically applied on pruning wounds for six consecutive years, while the other half remained untreated. Our results showed a significant lower GTD incidence and vine replants in treated blocks combined with a significant increase of total and marketable fruit. Potential annual economic benefits of applying fungicide on pruning wounds appear to be in the range of $8500–$12,500 per hectare annually in a 50–75% disease control scenario.

Keywords: Vitis vinifera; table grapes; grapevine trunk diseases; cultural practices; pruning wound protection; economics

1. Introduction

According to the International Organization of Vine and Wine, 77.8 million tons of grapes were produced in 2018, with 57% wine grapes, 36% table grapes, and 7% dried grapes [1]. The US is the 8th largest producer of table grapes worldwide with about 1 million tons and 49,000 bearing hectares [2]. California produces over 95% of the nation’s table grapes [3]. A substantial fraction of table grapes is being produced in the California desert, where local growers have adapted the viticulture practices to the hot and dry summers and mild winters in order to reach optimal fruit yield and quality for early market access and high dollar crop value. To that end, they have implemented a hydro-cooling system using overhead sprinkler irrigation during the winter months to add chilling units and increase bud fruitfulness. In addition, a plant growth regulator (i.e., Dormex®; Hydrogen cyanamide) is applied after pruning to break bud dormancy and stimulate more uniform and earlier bud break. Another practice that is common for the new planted vineyards in the desert is to leave the old stumps from the previous vineyard and re-train the new vines on the already established trellis system (Figure 1). However, previous studies have raised concern that these practices would favor incidence of severity of grapevine trunk diseases (GTD) caused by fungal vascular pathogens [4].
GTD are major factors limiting the profitable lifetime expectancy of vineyards [5,6]. Standard business models for vineyards are based on 25 years or more of optimal productivity. First GTD symptoms commonly manifest in 8–10 years old vineyards by a loss of spur positions [7]. As vineyards age, the diseases progress causing cordon/trunk dieback and eventually vine death. The drop in productivity is also accompanied with a decrease in fruit quality and marketability [8]. This disease condition implies that for growers, the break-even point is reached at a later time than projected in their business model, or in worst case scenario, not at all, and that overall profits are diminished [6].

GTD are caused by a set of taxonomically unrelated fungi that are soilborne (e.g., black foot caused by Campylocarpon, Dactylonectria, and Ilionectria species, and Armillaria root rot caused by Armillaria mellea), airborne (e.g., Eutypa dieback caused by Eutypa species, Botryosphaeria canker caused by several taxa in the Botryosphaeriaceae family), or both (e.g., esca caused by Phaeomoniella chlamydospora, Phaeoacremonium and Cadophora species) [4,9–17]. Fungi use wounds (natural, mechanical, pruning) as a point of entry to the plant vascular system, colonize the host and decay the wood, causing an irreversible loss of function of the xylem and phloem elements that results in the dieback and wilt symptoms [18]. To ensure that vines remain pathogen-free, one must adopt preventative practices in order to limit the risks of infection, such as pruning during dry weather when airborne inoculum is low [4,19]. In addition, adoption of pruning wounds protection with biological and conventional agrochemicals early on at the establishment of a vineyard remains the safest practice to ensure low infection risks and extended vineyard longevity [6,20]. However, experimental studies implemented to evaluate efficacy of pruning wound protectants are limited in scope mainly because of the relatively short time frame of these studies in comparison to the incubation period required by those pathogens to cause symptoms. The challenges of conducting trials in commercial vineyards over several years that clearly links adoption of preventative practices to increased productivity and positive economic return hinders the positive perception of these practices and the broad adoption among industry stakeholders [21]. The goal of this research was to address this gap and assess the long-term efficacy of
adopting preventative management practices at the establishment of vineyards on GTD incidence, measure its impact on grape yield, and calculate the net economic benefits.

2. Materials and Methods

2.1. Experimental Design

The field experiments were conducted in a 3.8 ha own-rooted table grape vineyard cv. “Sugraone” located in the desert of Coachella Valley, California. The vineyard was planted at a vine density of 3.7 m between rows and 1.5 m between vines (1800 vines per hectare). Vines were planted in 2012 and were two years old at the onset of the experiment and were inter-planted between old vine stumps left from the previous vineyard (Figure 1). No data on GTDs incidence or severity were recorded before the start of the experiment.

Each year and for six consecutive years (2014 to 2019), vines were manually pruned during the winter and treated with Dormex® (AlzChem Group AG, Germany) on the next day. On the second day post-pruning, one half of the vineyard was tractor sprayed with the California industry standard Topsin® M 70WP (active ingredient thiophanate methyl 70%; Cerexagri-Nisso LLC, King of Prussia, PA, USA) at an application rate of 1.7 kg/ha using a regular ground application spray rig with output rate at 950 L/ha, whereas the other half always remained untreated. From each half of the vineyard, we selected 15 rows per treatment (30 rows total = 3840 vines about 55% of the vineyard) so that each treatment was equivalent to about one ha. A year after the first spray (in 2015, vineyard was three years-old) and for five consecutive years, we recorded the number of vines that were replaced annually (Figure 2). Vines were replaced because of overall poor vigor and were based on the vineyard’s manager decision.

In addition, we recorded starting on the second year of the experiment (in 2016, vineyard was four years old), and for four consecutive years, the incidence of trunk diseases by randomly pruning 10 vines per row and scoring the number of vines with wood symptoms only (i.e., wood discoloration

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Figure 2. Vines replaced in recently planted vineyard (white arrows).

In addition, we recorded starting on the second year of the experiment (in 2016, vineyard was four years old), and for four consecutive years, the incidence of trunk diseases by randomly pruning 10 vines per row and scoring the number of vines with wood symptoms only (i.e., wood discoloration
or necrosis; as seen in Figure 3). Symptoms on foliage and berries that can be caused by GTD were not recorded in this study. Finally, at the end of the experiment, following the fifth year of data collection (in 2019, vineyard was seven years old), we recorded at harvest both the total and marketable fruit yield of 25 individual vines selected randomly within those 15 rows in both the treated and untreated blocks. All the clusters (total fruit) from each vine were placed in a bin and weight was recorded using a portable field scale (Ohaus Corporation, Parsippany, NJ, USA). The crop was further processed by professional crew workers to remove all the blemished berries and only keep the marketable fruits for packaging. Marketable fruit weight was also recorded.

![Figure 3. Trunk Diseases symptoms (white arrow) in the cordon of a grapevine.](image)

### 2.2. Fungal Isolation and Identification

Disease diagnosis was done at the end of the experiment in 2019 following published protocols [16] to determine the causal agents of the wood necrotic symptoms (Figure 3). A total of 50 symptomatic wood samples were collected from the experimental vineyard (25 samples per treatment) and brought back to the laboratory. Bark was removed from the samples and disinfected in 10% bleach (sodium hypochlorite) for 2 min. and rinsed twice in distilled water for 2 min. Fungi were recovered from diseased wood after plating disinfected wood chips (~3 × 3 × 3 mm) sampled from the margin of the canker on Potato Dextrose Agar (PDA) amended with tetracycline (100 ppm). After two weeks of growth at room temperature, fungal isolates were purified to single cultures on PDA. DNA was obtained from aerial mycelium scraped from the surface of 14-day cultures isolated as described above using a DNeasy® Plant kit (Qiagen, Valencia, CA, USA), following manufacturer instructions. The nuclear loci rDNA Internal Transcribed Spacer (ITS) was amplified using PCR primers ITS1/ITS4 [22]. PCR was performed with cycling parameters of one cycle at 94 °C for 5 min, 35 cycles at 94 °C for 1 min, 58 °C for 1 min, and 72 °C for 1 min and 30 s, and a final elongation step at 72 °C for 5 min. PCR products were sequenced in both forward and reverse directions at the Genomic Core Sequencing Facility, University...
of California, Riverside. BLASTn searches in GenBank identified sequences with percent homology above a 98% cut-off threshold.

2.3. Statistical Analysis

Statistical analysis and graphs were created using R version 3.4.4 (http://www.R-project.org/) and ggpubr version 0.2. Poisson regression followed by the post-hoc Dunnett test, which was used to determine the statistical difference between treated and untreated for the count of replants and vines showing wood symptoms. For weight of marketable fruit and total fruit, the Wilcox test was utilized to measure significance.

2.4. Economic Analysis

Estimates of the economic benefits of post-pruning application of fungicides were derived from pairwise comparisons of simulated vine replacements and variable profits of a representative California table grape vineyard with and without pruning wound application of the fungicide thiophanate methyl. The simulation model is adapted from Kaplan et al. [6] and Baumgartner et al. [23]. Our analysis differs from these past studies by evaluating avoided costs from replanting, which were not evaluated previously, and using field trial data to calibrate the vineyard yield-age profile.

2.4.1. Avoided Replanting Cost

The avoided annual replanting cost was calculated by taking the difference in replanting rates for the treated vines with thiophanate methyl and the control vines in each replanting period, following per row differences (as shown in Figure 4), and multiplying those differences by the cost of replanting vines based on cost analysis data [24] (Supplemental Table S1). To approximate replanting throughout the experimental timeframe, linear regression models were fitted to the data on replanting for both the treated and non-treated vines. Only non-negative predicted values were used. When a predicted value was less than zero, a zero was used instead. The difference between these replanting rates was then multiplied by the average per vine replacement cost of $7.75 taken from the cost analysis studies (Supplemental Table S1).

![Figure 4](https://example.com/figure4.png)

**Figure 4.** Number of vines replanted per row with 130 vines per row in treated and untreated blocks for five consecutive years following fungicide application. Poisson regression followed by the post-hoc Dunnett test was used to determine statistical difference between treated and untreated vines, and p values are presented above the bars. N = 15 rows.
2.4.2. Yield Effects

The mature yields reported in past studies [23,24] were noticeably greater than those obtained in our field trial. To accommodate the difference in mature yields, we calibrated the linear expansion of the yield-age profile to match the relative percentage decrease in yield as previously seen [23], when wounds are protected with thiophanate methyl (see Supplemental Figure S2), starting in year two at a 75% disease control efficacy (DCE) rate, a 50% DCE rate, as well as the no treatment scenario. In all cases, we assume the spread of the infection was uniformly distributed across the vineyard. For additional data to parameterize the simulation model, we relied on data from the University of California Cooperative Extension [24], the United States Department of Agriculture National Agricultural Statistics Service [2], and the scientific literature [23]. This discrepancy complicates translating cultural practices and their costs used in past studies to determine the overall profitability of a vineyard that applies thiophanate-methyl. As such, the analysis focuses on estimating the potential gains from applying thiophanate methyl relative to the non-treated control. To do so, we adopt the variable profit function depicted in Fuller et al. [25] such that:

$$\Delta \pi_t = \text{Price} \ast (\text{Yield}(\text{treat})_t - \text{Yield}(\text{control})_t) - \text{Treatment Cost}_t$$  

where $\Delta \pi_t$ is the change in variable profits when the vineyard is $t$ years old. Mathematically, this is the same as the change in profits if it is assumed that the cultural practices used in each vineyard and their costs are otherwise the same, except for the cost of spraying fungicide. In such a case, when taking the difference between profits in the pairwise comparison between a treated and non-treated vineyard results in the cultural costs offsetting, Equation (1) would then be identical to the change in profits. Further, the average table grape price reported in the cost studies of $2.03/\text{kg}$ is used as the price for the grapes produced on the representative vineyard (see Supplemental Table S1). The cost estimate used in Baumgartner et al. [23] of $158/\text{hectare}$ for tractor-sprayed thiophanate-methyl is also used as the treatment cost in each year. The net present value of these changes in variable profit is then calculated using a 3% discount rate to determine the potential economic benefit to spraying thiophanate-methyl relative to the control over a 25-year vineyard lifespan.

3. Results

Our data shows that pruning wound treatments of thiophanate-methyl had a significant effect on vine replant ($p < 0.01$), disease incidence ($p < 0.01$), and yield ($p < 0.05$). Hence, about twice as many vines were replanted each year in the untreated block in comparison to the treated block (Figure 4). The number of vine replant per row overall decreased from about four in year 1 (about 60 vines per ha) to two in year 5 in the untreated block and from two to one in the treated block. Trunk diseases incidence was about twice as high in the untreated block in comparison to the treated block. Disease incidence increased each year in the non-treated block but for the last year of the study, whereas it remained stable at the beginning of the study in the treated block but increased in the last years (Figure 5). We isolated several fungi from infected grapevine wood (50 samples total) that were identified based in ITS sequencing as *Lasiodiplodia* spp. (14 samples), *Neoscytalidium dimidiatum* (4 samples), *Eutypella* spp. (10 samples), *Phaeoacremonium* spp. (16 samples), and *Phaeomoniella chlamydospora* (9 samples). Some wood samples (8 total) were co-infected with two of these pathogenic fungi, whereas no known pathogens causing GTDs were recovered in others (5 samples).
In addition, total yield was reduced from 12 kg of fruit per vine to 9 kg per vine, but the decrease in marketable fruit was even more significant with a drop from 10 kg per vine in the treated block to 5 kg per vine in the untreated block (Figure 6).

According to our economic analysis, approximately 277 fewer vines/hectare would be replanted over the first 12 years of a vineyard lifespan (Supplementary Figure S1 and Table S2). This reduction in replanting comes with the benefit of avoiding a replanting cost of nearly $2150/hectare (or $2010/hectare in present value terms) over these 12 years. After simulating vineyard production over 25 years (Supplementary Figure S2), we derived measures of the gains in net returns from spraying in year 2 and beyond and calculated the present value of those benefits at approximately $313,100 per hectare (Figure 5).

Figure 5. Disease incidence as expressed by the number of cordon showing wood necrotic symptoms in treated and untreated blocks for four consecutive years. Poisson regression followed by a post-hoc Dunnett test was used to determine statistical difference between treated and untreated vines, and p values are presented above the bars. N = 150 vines.

In addition, total yield was reduced from 12 kg of fruit per vine to 9 kg per vine, but the decrease in marketable fruit was even more significant with a drop from 10 kg per vine in the treated block to 5 kg per vine in the untreated block (Figure 6).

Figure 6. Total fruit and marketable fruit per vine in treated and untreated blocks as measured at the end of the trial. Poisson regression followed by Wilcoxon test was used to determine statistical difference between treated and untreated vines and p values are presented above the bars. N = 25 vines.

According to our economic analysis, approximately 277 fewer vines/hectare would be replanted over the first 12 years of a vineyard lifespan (Supplementary Figure S1 and Table S2). This reduction in replanting comes with the benefit of avoiding a replanting cost of nearly $2150/hectare (or $2010/hectare in present value terms) over these 12 years. After simulating vineyard production over 25 years (Supplementary Figure S2), we derived measures of the gains in net returns from spraying in year 2 and beyond and calculated the present value of those benefits at approximately $313,100 per hectare and $210,919 per hectare in total over the 25 years for the 75% DCE rate and the 50% DCE rate scenarios, respectively (Supplemental Figure S3). On an annual average basis, these values translate to $12,523
per hectare and $8437 per hectare for the 75% DCE rate and 50% DCE rate scenarios, respectively. Note these potential gains would be avoided losses relative to taking no action to protect the vines from trunk diseases.

4. Discussion

Our study was designed to address a gap in the knowledge with regards to the long-term benefits of post-pruning preventative fungicide applications on disease incidence levels and yield improvements in order to provide an important validation of the research on GTD management. Most field studies aimed at evaluating fungicide efficacy on pathogens causing GTD are conducted over a relative short time frame using artificial pathogen inoculations of grapevines [20,26–28], with the speculation that “effective” fungicide will translate into low GTD incidence, and as a result, sustained vineyard productivity and economic prosperity. However, despite the scientific validity of these data, these figures remain mainly convincing within academic circles, whereas they suffer a lack of positive perceptions among industry stakeholders [21]. Growers are often hesitant to adopt preventative practices because improvements to yields and net returns have not been quantified [29]. Our goal was to generate the information to change grower’s perception on the efficacy of preventative GTD control measures and spark a behavioral change with the decision making of early adoption of those practices, at the establishment of a vineyard. Several studies [21,23,29] showed that in California, a minority of table and wine grape growers adopt preventative fungicide application after pruning of young vineyards, despite the fact that they acknowledge the negative impact of GTD on yields. In fact, for the majority of the growers, the first preventative fungicide application often coincides with the first appearance of GTD symptoms (i.e., loss of spur position and cordon dieback) when vineyards turn 8–10 years of age [7,8]. One major economic driver is an incentive to minimize annual production costs in order to reach the break-even point as early as possible. To that end, cutting back on the costs of fungicide applications or other preventative practices such as double pruning or late pruning [19,30] for diseases that are not yet apparent in young vineyards make sense from a grower’s standpoint. Unfortunately, the cost of adopting those preventative practices in mature and affected vineyards will not likely offset significant disease reduction [6], because at this stage curative practices (removal of infected cordon/trunks and vine retrain) are mostly required to reduce disease incidence and severity [31].

This work provides a benchmark about the long-term benefits of adopting preventative pruning wounds protection on GTD incidence, vineyard productivity, and economic return. We measured that the gains in net returns from spraying in year 2 and beyond were approximately between $210,000–310,000 per hectare over 25 years in a scenario of 50–75% disease control as measured in our trial, which translate to a gain of approximately $8500–$12,500 per hectare annually. The efficacy of thiophanate-methyl protection against GTD was lower than what was previously reported in California [20], and may be explained by the mechanized application of the fungicide, which provides less pruning wound coverage than the hand application previously used in trials, and as a result, a lower efficacy [26]. In addition, our data showed that deploying these practices early comes with the benefit of avoiding a replanting cost of nearly $2150/hectare. These figures are reflective of vineyards under high GTD pressure as it is the case in the desert of Coachella. Our recent surveys indicated that several young vineyards (under 5 years of age) were affected by GTD in the California desert (Rolshausen, unpublished), which was unexpected given that the local dry and hot environmental conditions are not conducive to the spread of GTD pathogens as they require temperate weather with rain water or high relative humidity to release fungal spore inoculum [31]. The baseline level of GTD infection as observed in both treated and control blocks probably indicate that vines were already infected before planting or that they became infected in the first two years before the onset of our experiment. GTD infections stemming from nurseries have been reported [32,33] and may explain the relative high incidence of GTD in new vineyards. In addition, the presence of old vine stumps acting as a reservoir for pathogen inoculum, coupled with the overhead sprinkler irrigation create a conducive environment for fungal
spores to become airborne at a time when vines are highly susceptible to infection because of the exposed pruning wounds. Hence, high levels of Botryosphaeriaceae inoculum were previously trapped in the region with a significant positive correlation between irrigation and spore release [4]. This unique viticulture area is also known for hosting some of the most virulent GTD pathogens, including Botryosphaeriaceae species in the genera Lasiodiplodia and Neoscytalidium dimidiatum [34,35] that were recovered from the infected grapevine in our field trial. We also identified Phaeonemella chlamydospora and Phaeacremonium species the causal agents of esca disease that are widespread to all viticulture production areas worldwide [36], as well as species in the genus Eutypella, previously identified in the California desert area on citrus [37] and known to be pathogenic to grapevine [38]. Additional sequencing will need to be undertaken to verify the species identify and range for some of these taxa. The high disease pressure and known aggressiveness of these fungi combined with additional factors such as perhaps the heightened susceptibility of table grape cultivars to these pathogens [18,39,40] are likely responsible for the increase in disease incidence and severity in our experimental vineyards that translated into vine replant and lower productivity.

Our results clearly demonstrate the economic benefits of early adoption of preventative pruning wound protection measures and will help develop extension material that will resonate with industry stakeholders and assist with decision making to effectively manage GTD.

**Supplementary Materials:** The following are available online at http://www.mdpi.com/2073-4395/10/12/1895/s1, Figure S1: Fitted Regression Lines for replanting field trial results; Figure S2: Projected yield (kg/vine) by vineyard age for the untreated control, treatment 1 (75% disease control efficacy) and treatment 2 (50% disease control efficacy); Figure S3: Change in Net Returns per hectare over the 25 year vineyard lifespan for treatment 1 (75% disease control efficacy) and treatment 2 (50% disease control efficacy), Table S1: Data and Sources for Vine Replacement Cost, Mature Yield and Grape Prices; Table S2: Predicted Avoided Losses due to treating vines with pruning wound protectant per hectare over first 12 years of vineyard lifespan.

**Author Contributions:** Conceptualization, C.G. and P.E.R.; methodology, E.D., J.D.K., and P.E.R.; software, E.D.; formal Analysis, E.D. and J.D.K.; investigation, C.G. and P.E.R.; resources, C.G., J.D.K., and P.E.R.; data curation, E.D. and J.D.K.; writing—review and editing, C.G., J.D.K., E.D., and P.E.R.; visualization, J.D.K., E.D., and P.E.R.; project administration, C.G. and P.E.R.; funding acquisition, C.G. and P.E.R. All authors have read and agreed to the published version of the manuscript.

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