Underlying mechanism of precursory activity from analysis of upward earthquake migration

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Abstract. In this paper we analyse the upward earthquake hypocentral migration in the ten known subduction zones and discuss a possible mechanism of such migration. The total time of the migration appears to range from 2.5 to 10 years. It leads to the estimation of the average velocity \( V_z \approx 60 - 300 \text{ km yr}^{-1} \). It probably corresponds to the movement of the forcing agent like stress or deformation wave from depths of the upper mantle (600–700 km) to the level of the lithosphere with subsequent initiation of fluid migration inside the crust to trigger shallow earthquakes. Averaged over all zones upward migration travel time is about 5 years \((V_z > \approx 120 \text{ km yr}^{-1})\) that coincides approximately with the period of characteristic temperature variation (El Nino) and crustal seismic periodicity in the Pacific region. These findings are helpful for the study of the seismic precursors and analysis of earthquake triggering.

1 Introduction

Analysis of precursors of the large earthquakes (EQs hereafter) both on the ground surface and inside atmosphere and ionosphere leads to a conclusion on the basic role of the gas/water release before and after EQs (e.g., Molchanov and Hayakawa, 2008). On the other hand, the geodynamic specialists put forward a concept of gas plumes, which originated from the Earth’s mantle and are responsible for the transfer of the heat and juvenile gases into the atmosphere (Puscharovsky, 1998; Letnikov, 2002; Osika and Cherkashin, 2008). So the emergence of the EQ precursors can be considered as a result of such gas plume intrusion into the lithosphere and the consequent triggering of EQs there. Main features of the short-term precursors can be explained in this way, i.e., their mosaic distribution in the zone much larger than the size of EQ source (in a so-called preparation zone) and their queue sequence: the first are hydrology/geochemistry precursors (several weeks prior to the main shock), then electromagnetic precursors (several days before) and the last are seismo-acoustic and probably biological precursors (several hours earlier than EQ). At present, a development of preliminary gas/water release is treated in almost every theoretical model of the short-term precursors (see discussion in the book by Molchanov and Hayakawa, 2008).

Tracing of the Earth’s interior by seismicity is a conventional method. For example the well-known model of subduction zone, which supposes a curling of the ocean plate down to the depth about 600–700 km, was originated due to such tracing results (Uyeda and Kanamori, 1979; Uyeda, 1982). The main part of the seismic and volcanic activity is concentrated in the subduction zones; therefore, it is natural to analyse the possible formation of gaseous plumes just in these zones. Plume parameters are not well established until now. It can be supposed that their maximum size is of the order of subduction zone and their structure is similar to the “overturned cone” (Osika and Cherkashin, 2008). What is also unclear is the question, are they static or dynamic structures (for example “pulsating plumes”). Probably Japanese scientist Mogi (Mogi, 1973, 2004) was the first who considered the intermittent sequence of several deep and crustal large EQs in the Japan subduction zone, occurring with the time delay of several years. However, Mogi discussed neither a reason for such a relation nor the cause-and-effect direction, i.e., was there evidence of descending or ascending migration of the agent triggering the EQs. Recently Molchanov and Uyeda (2009) have analysed spatial-time distribution of the EQ hypocentres in the Japan, Kurile-Kamchatka and Sunda subduction zones.
for the depths from 700 km to the surface and found the prevalence of ascending migration with the time delay from 2 to 10 years. Furthermore, by using correlation analyses of EQs and climate in the near-equatorial Pacific area during the last 35 years, Molchanov (2010) demonstrated the evidence that the heat flux from seismicity could induce the long-term climate variations (El Nino effect) and even global warming. We analyse in this paper the EQ hypocentral migration in the ten known subduction zones and discuss a possible mechanism of such migration.

2 Preparation of data for analysis

We use the data from USGS catalogue covering time period from 1 January 1973 to 1 January 2008 (total duration is $T = 35$ years) relevant to ten zones with essentially deep seismic activity.

Nine zones are situated in the Pacific area forming the so-called “fire ring” of the seismic and volcanic activity (Fig. 1). The only zone outside it is the Italy-Greece zone.

We divide each zone into 5 layers. By taking into account that usual lower boundary of crust in the Pacific region is near 33 km we change a little the USGS classification as follows:

- Layer 1 (crust): $d \leq 38$ km.
- Layer 2 (lower lithosphere): $39 \leq d \leq 69$ km.
- Layer 3 (upper asthenosphere): $70 \leq d \leq 149$ km.
- Layer 4 (lower asthenosphere): $150 \leq d \leq 299$ km.
- Layer 5 (upper mantle): $300 \leq d \leq 650$ km.

In each layer, we calculate the seismic energy flux $U$ by averaging it in the time intervals of $\Delta t = 6$ month duration each starting at $t_k$ (we have $k \in [1, 70]$ for the total time $T = 35$ years):

$$U(t_k, r_k, t_k) = \Sigma \text{Es} \Delta N_k (t_k, t_k + \Delta t; r_k, \text{Es}, \text{Es} + \Delta \text{Es})$$

$$\approx \int \text{Es} (\partial N / \partial \text{Es}) d \text{Es}$$

Here $r_k x_k$, $y_k$, $z_k$ are the energy weighted mean values of the flux space coordinates (see paper by Molchanov and Uyeda, 2009), seismic energy is connected with the magnitude from the EQ catalogue by the known relation (Kanamori and Anderson, 1975):

$$\log_{10}(\text{Es}) = 1.5M + 4.8 \text{(Es in Joules)}$$

and $\Delta N$ is the number of EQs in the interval ($\text{Es}$, $\text{Es} + \Delta \text{Es}$) or in the corresponding interval ($M$, $M + \Delta M$). For all layers $j = [1, 5]$ we have 350 values $U(t_k, r_k, j)$ in zone.

Then we compute the average energy flux in each layer $\langle U(t_k, r_k, j) \rangle = U_a(j)$. These values are given in the Table 1 together with additional information. As before (Molchanov and Uyeda, 2009; Molchanov, 2010), we calculate indices of seismic variability:

$$e(t_k, r_k, j) = \log(U(t_k, r_k, j)) - \log(U(t_k, r_k, j)) >$$

$$= \log(U(t_k, r_k, j) / U_a(j))$$

where $U_a(j) / U_a(j)$ ratio is about 1.5. It is rather clear why we use the relative values of seismic energy flux. We are interested in the amplitude variation of triggering agent $A(t_k, r_k, j)$, which is connected with $U(t_k, r_k, j)$ through an induction coefficient $\gamma(j)$. The induction greatly depends on the medium properties. By supposing that:

$$[U(t_k, r_k, j)]^\alpha = \gamma(j) A(t_k, r_k, j)$$

where $\alpha$ is some exponent, we readily find:

$$A(t_k, r_k, j) = \log [U(t_k, r_k, j) / U_a(j)]$$

Thus, we assume that the change of average triggering amplitude $A(j)$ in the course of migration from layer to layer is much smaller than change in $\gamma(j)$. In this condition, a disclosure of the agent migration from seismic tracing could be a success. Concerning relation (2), we follow the classic tradition of measuring the seismic activity on a logarithmic scale.

![Fig. 1. Distribution of EQs ($M \geq 5$) in the Pacific region (USGS catalogue). Hypocentres of deep EQs (depth $d > 500$ km) are shown by red circles, EQs for $300 \leq d \leq 500$ km are shown by magenta circles, EQs for $150 \leq d \leq 300$ km are blue, EQs for $150 \leq d \leq 70$ are green, EQs for $33 \leq d \leq 70$ km are yellow and brown colour correspond the crustal EQs. Selected zone for analysis are labelled by figures: (1) – Kurile-Kamchatka, (2) – Japan, (3) – Mariana, (4) – Philippines, (5) – Sunda, (6) – Bougainville (Solomon sea), (7) – Tonga N. (North Tonga-Kermadec), (8) – Tonga S. (South Tonga-Kermadec), (9) – Chile.](nat-hazards-earth-syst-sci.net/11/135/2011/fig1.png)
Table 1. Basic information on seismicity in the selected zones. $\Delta \varphi^0$ – latitude range of the zone, $\Delta \lambda^0$ – longitude range, $\Delta d$ – depth range, km, $N$ – total number of EQs used for analysis here for time interval from 1973 to 2008., $\Delta M$ – range of magnitudes, $< U >$ – average seismic energy release for 6 months in $10^{14}$ J. Bold values are important energy zone characteristics.

| Zone, $\Delta \varphi^0$, $\Delta \lambda^0$, $\Delta d$ | $\Delta = 0 - 38N$, $\Delta = 39 - 69N$, $\Delta = 70 - 149N$, $\Delta = 150 - 299N$, $\Delta = 300 - 700N$ |
|--------------------------------------------------|--------------------------------------------------|
| Kurile-Kamchatka, 40.5/57, 138/164 | 2688, 5.7–8.4, 195.6, 14.6, 10.3, 1.1, 4.0 |
| Japan, 25/40.5, 133/145 | 2374, 5.6–7.8, 8.0, 0.8, 0.5, 5.8 |
| Mariana, 10/25, 138/148 | 2032, 5.5–7.4, 7.9, 5.8, 1.0, 0.9 |
| Philippines, –2/35, 118/133 | 2496, 6.0–7.9, 13.2, 3.9, 1.8, 1.5 |
| Sunda, –10/13, 93/132 | 3114, 5.5–7.4, 4.6, 4.5, 5.1, 17.4 |
| Bougain volle, –10/2, 133/157 | 2494, 5.8–8.2, 36.6, 19.6, 6.1, 0.6 |
| Tonga N., –9/–23.5, 160/178 | 2632, 6.0–8.2, 1284, 1979, 1689, 303, |
| Tonga S., –14/–43.5, 170/189 | 2928, 6.3–8.3, 1580, 1768, 2062, 3232, |
| Chile, –1/–39, 278/297 | 2832, 5.7–8.4, 2695, 2775, 2758, 277, |
| Italy, 35/45.5, 9/19 | 3596, 4.6–6.5, 40, 31, 123, 72, |
| | 0.343 | 0.002 | 0.001 | 0.03 | 0.01 |

These unipolar indices are practically coinciding with $e(t_k, r_k, j)$ for the large values of the seismic energy flux but diminish significantly with the low energy values.

\[ e_c = \frac{\log (1 + 10^{3e})}{3} \]  
(4)

O. A. Molchanov: Upward earthquake migration

www.nat-hazards-earth-syst-sci.net/11/135/2011/ Nat. Hazards Earth Syst. Sci., 11, 135–143, 2011
Since the nature of the triggering agent is not clear at the moment, we regard it for simplicity as “plume”. Some results of seismic tracing for the whole time of analysis, i.e., the values $e_c(x_k, y_k, z_k)$ are presented in Fig. 2. It is reasonable to accept this distribution as the averaged plume pattern. If so, the plume looks as an inverted cone (turned upside), or sometimes as a “flower growing from the mantle”. Anyway, the size of the selected zone included onto the analysis exceeds the plume volume in all cases.

### 3 Method of analysis of plume dynamics

Let us change some of the plume amplitude at the depth $d_0$, as $A(t, d_0)$. It is known that the amplitude variation in the selected time $t = t_0$, $\Delta A(t_0, d_0) = A(t_0, d_0) - < A(t, d_0) >$ is not a random fluctuation if the classic criterion is valid:

$$\Delta A(t_0, d_0)/\sigma (\Delta A(t, d_0)) \geq 2$$  \hspace{1cm} (5)

where $\sigma (\Delta A(t, d_0))$ is r.m.s. We regard such a perturbation as a “packet”. If the packet goes up with velocity $V$, then it appears at the depth $d < d_0$ in time $t = t_0 + \Delta t$, $\Delta t = (d_0 - d)/V$, i.e., the packet propagates along some “trajectory” which is described as follows:

$$d(t_0, t) = d_0 - V \Delta t = d_0 - (d_0 - d_c) \Delta t/\tau_0$$  \hspace{1cm} (6)

where $\tau_0$ is the time delay of travelling from initial depth $d_0$ to final depth in the crust $d_c$. If we know the amplitude variation both at $d_0$ and at $d_c$, then the simplest way to reveal the travelling packet is to calculate the correlation function:

$$K(d_0, d_c, \tau) \sim \sum \Delta A(t_k, d_0)^* \Delta A(t_k + \tau, d_c)$$

which has a maximum at $\tau = \tau_0$ if the motion really exists. The summation is performed over all $t_k$ values, $k = [1, 70]$. However, the several distinct packets may cause the false maxima. For example, if there are two packets at the level $d_0$ relevant to times $t_0$ and $t_1$, then we have two false maxima.

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Fig. 2. Distribution of seismic variability indices in several zones during the complete time of analysis: (a) Japan; (b) Philippines; (c) Mariana; and (d) Chile.
Uniform convection: 

\[ \dot{u} = \frac{e_c}{c}, \]  

\[ r, 35 \leq V_{310}, V_{15} \leq \max \]  

let us remind that \( \langle V_m \rangle, \max > \) for the upward migration and for the downward migration they are negative.

In correspondence with relations (3) and (4) and using for simplicity \( \alpha = 0.5 \) we assume:

\[ A(t_k) = \left( 1 + \frac{U(t_k)}{U_n} \right)^{3/2} \]  

It is clear \( A(t_k) \approx (U(t_k)/U_n)^{1/2} \) when \( U(t_k) > U_n \). Note that relation (7) is an estimate of one-dimension correlation of two parameters. So, \( d_0 \) is the averaged depth of the lower layer 5 and \( d_c \) is the averaged depth in the upper layer 1. In principle we can include several layers into consideration. For example, by accounting for an intermediate layer with the averaged depth \( d_m \) in addition to upper layer \( (d_c) \) and lower layer \( (d_0) \) we can analyse the one-dimension normalized correlation of three parameters by using equation similar to (7):

\[ S(d_0, d_m, d_c, \tau) = \Delta C(d_0, d_m, d_c, \tau)/\sigma(\Delta C(d_0, d_m, d_c, \tau)) \]

\[ C(d_0, d_m, d_c, \tau) = \Sigma \Delta A(d_0, t_k)^* \Delta A(d_c, t_k + \tau) \]

Here, we meet with the problem of determination, the value \( \tau_m \) being actually the problem of the packet trajectory. By supposing the uniform convection, we obtain \( \tau_m = \tau^* (d_0 - d_m)/(d_0 - d_c) \) and for average values \( d_0 = 400 \) km, \( d_c = 30 \) km and \( d_m = 175 \) km, we have \( \tau_m = \tau/2 \), which we use for an estimate of the 3-parameter correlation. Such an approximation is better than a 2-parameter one, but it does not contain information on the real distribution of the seismic indices in space. The most important is the distribution on the depth. Finally, we analyse normalized 5-layer and two dimension correlation which is described in details in the paper by Molchanov and Uyeda (2009). We use indices of seismic variability in each layer \( e_c(t_k, d_k, j) \) that are averaged over the latitude and longitude but not over the depth. Concerning the trajectory, we consider three models:

1. Uniform convection: \( d(t, \tau) = d_5 - a_1 (t - t_k), a_1 = V_c = (d_5 - d_1)/\tau \), where \( d_5 \) is lower boundary of layer 5 (650 km), \( d_1 \) is upper boundary 1 (10 km).
Fig. 4. (a) Results of the correlation analysis on the sense of migration and averaged velocity for the following zones using diffusion trajectory model: open circles: Kurile-Kamchatka; closed circles: Japan; opened diamonds: Mariana; closed diamonds: Sunda; opened squares: Philippines; closed squares: Bougainville; opened triangles: Tonga North; closed triangles: Tonga South; opened stars: Chile; closed stars: Italy. Averaging over all zones is shown by solid line. Estimation of direction and travel time of the migration is shown by arrow using reliability criteria that is discussed in the text. (b) Results of correlation analysis for all zones using an average over three trajectory models. Legends are the same as in (a).

2. Logarithmic model: \( \log(d) = \log(d_5) - a_2(t - t_k) \).

\[
a_2 = \log(d_5/d_1)/\tau,
\]

\[
V_2 = a_2^*d = V_c^*\log(d_5/d_1)^*(d/d_5).
\]

3. Diffusion model: we suppose different velocities and trajectories for the upward motion, when a source is placed at the lower boundary \( d_3 \) and for the downward boundary, when a source is near the upper boundary \( d_1 \). Upward motion is described by equation:

\[
(d_5 - d)^2 = a_3(t - t_k), a_3 = (d_5 - d_1)^2/\tau, V_3 = V_c/2^*(d_5 - d_1)^2/(d_5^2(d_5 - d))
\]

and the downward motion corresponds to:

\[
(d_5 - d_1)^2 - (d_5 - d)^2 = a_3(t - t_k),
\]
Positive values of velocities and delays correspond to the upward motion in all the models while the negative values describe the downward motion.

Visual evidence of the packet existence can be found from the depth-time \((d, t)\) diagrams of the variability indices for two zones that are presented in Fig. 3. Surprisingly, these pictures remind us of the tracks left by energetic particles in the Wilson bubble chamber.

4 Results of correlation analysis

Below, we provide the results of the last, the most informative method of analysis. Some results are presented in Fig. 4. An obvious drawback of the method is a necessity to suggest a particular trajectory model. We believe that the most realistic model is the diffusion one (see Discussion section). However, we compute all the three trajectory models and show their averaged results together with the results of the computation in the diffusion model. Moreover, when comparing the data, we apply the similarity criterion for the trajectory model chosen, which is used together with the basic criterion of \(2\sigma\) level excess. Hence, when plotting normalized correlation for the diffusion/averaged model or when both exceeds \(+2\) level at \(\tau = \tau_{\text{max}}\) value and the clear maximum is observed for both types of trajectories, we accept it as reliable evidence of the upward/downward migration with the average migration velocity \(< V_m > = (z_0 - z_e)/\tau_{\text{max}} \approx 600 \text{ km} \cdot \text{yr}^{-1}\). Let us be reminded that \(< V_m >, \tau_{\text{max}}\) for the upward migration and for the downward migration they are negative.

It is evident that upward migration prevails in the seven zones, while both the upward and downward migration is found in two zones (Tonga South and Chile) and the result is not reliable for the Mariana zone. The results are summarized in the Table 2.

| Zone             | \(V_+, \text{ km yr}^{-1}\) | \(V_-, \text{ km yr}^{-1}\) |
|------------------|-----------------------------|-----------------------------|
| 1 Kurile-Kamchatka | +130                        |                             |
| 2 Japan          | +70                         |                             |
| 3 Mariana        | ?                           |                             |
| 4 Philippines    | +260                        |                             |
| 5 Sunda          | +65                         |                             |
| 6 Bougainville   | +130                        |                             |
| 7 Tonga North    | +81                         |                             |
| 8 Tonga South    | +87                         | –260                        |
| 9 Chile          | +93                         | –130                        |
| 10 Italy         | +130                        |                             |

with the average downward migration velocity \(\approx 130 \text{ km} \cdot \text{yr}^{-1}\) and \(87 \text{ km} \cdot \text{yr}^{-1}\) \((\tau_{\text{max}} \approx \text{–5 years and –10 years})\).

– Prevailing direction of migration as well as the plume form indicates that the source of migration is situated in the upper mantle.

– Averaged over all zones upward migration travel time is about 5 years \((V \approx 120 \text{ km} \cdot \text{yr}^{-1})\) that coincides approximately with the period of characteristic temperature variation (El Nino) and crustal seismic periodicity in the Pacific region (Molchanov, 2010a). It invokes the possibility of the global heat transfer from the deep layers to the ground surface.

There are several problems in the interpretation of the results. The first is the nature of the gaseous plume variation or a source mechanism. It may be conceivable that in a subduction region an initial pulse could arise near the deep end of the subducting plate due to an explosion-like process related to some phase transition (e.g., Kirby, 1987; Green and Burnley, 1989). In such a case, we may expect that upward migration of EQ hypocentres follows in an analogous process if the mantle behaves visco-elastically. At depths shallower than, say, 200–300 km, free water is released by dehydration of hydrous minerals in subducting slabs, and it may play an important role in triggering the EQs by weakening the fault strength (e.g., Raleigh and Paterson, 1965; Rice, 1992; Byerlee, 1993).

The second problem is a mechanism of energy transfer from the depth to the core or the nature of forcing agent that triggers the EQ. Movement of fluids below the astenosphere is hardly possible, and the heat convection is too slow. One possibility may be the deformation wave, which is popular for the explanation of EQ migration in the crust. There are several reports about horizontal migration EQ of hypocentres. The well-known examples are the case.

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of N. Anatolia fault, Turkey, where major EQs migrated westward with a velocity of 60–70 km yr\(^{-1}\) (e.g., Toksoz et al., 1979), and the NW Pacific rim subduction zones where EQs moved with a velocity of 150–270 km yr\(^{-1}\) (Mogi, 1968). Recently, Mukhamediev et al. (2008) reported the westward EQs migration from Mid-Atlantic ridge with a velocity of 200–300 km yr\(^{-1}\). Their theoretical approach explaining the horizontal deformation wave analyses the diffusion equation for the motion of displacement

\[
\frac{\partial u_x}{\partial t} = D_x \frac{x^2}{\partial t^2}
\]

(10)

where the diffusion coefficient \(D_x\) is as follows:

\[
D_x = \frac{h_L h_A \mu}{[\eta(1 - \nu)]}
\]

(10a)

Here \(h_L\) and \(h_A\) are the thickness of lithosphere and asthenosphere, respectively, \(\mu\) is the rigidity, \(\eta\) is the asthenosphere dynamic viscosity, and \(\nu\) is the Poisson coefficient. The same equation is valid for horizontal stress diffusion. Parameter \(\eta\) here is not well determined but Mukhamediev et al. (2008) reviewed several papers on this subject in which a velocity of the observational horizontal migration \(V_x\) varies from 60 to 300 km yr\(^{-1}\) and concluded that the most probable value of \(\eta \approx 10^{12}\) Pa s.

It leads to the estimate \(D_x \approx 2700 \text{ m}^2 \text{s}^{-1}\) for their accepted values \(h_L = 100\) km, \(h_A = 30\) km, \(\nu = 0.33\), \(\mu = 3 \times 10^{10}\) Pa. Note that we could estimate the \(\eta\) value by taking into account the duration of aseismic slip due to the aftershock relaxation time \(\tau^0 \approx 2\eta/\mu\) (see e.g., Scholz, 1990). In this case:

\[
D_x = \frac{4h_L h_A \mu}{[\tau^0(1 - \nu)]}
\]

(10b)

As a result, we find about the same \(D_x\) value when assuming the observed value of \(\tau^0 \approx 3\) months.

It is rather surprising to discover the same values of the migration velocities \(V_x \approx 90 - 260\) km yr\(^{-1}\) in our case of the upward migration (see Table 2). Moreover, we can estimate the averaged value of the diffusion coefficient directly from observations:

\[
< D_x > \approx d_0^2 \left[\frac{4 < \tau_{\max} > \cos^2(I)}{3000 \text{ m}^2 \text{s}^{-1}} \right] \sim D_x
\]

Here we suggest \(d_0 = 600\) km, \(< \tau_{\max} > = 5\) years and dip angle of the subduction slab \(I = 30^\circ\). These estimates encourage us to accept the deformation wave mechanism as a forcing agent for the EQ triggering and to consider the diffusion trajectory model as the most promising.

One of the important consequences of the deformation wave concept is a possibility of self-action triggering in the crust layer where the wave induction is especially efficient. It can be found as the appearance of reflection deformation wave. Probably a presence of the downward migration is connected with such a possibility. We could explain also the observed EQ triggering periodicity in this way (Molchanov, 2010) as a result of upward and downward wave interference in the so-called lithosphere – upper mantle resonator. This possibility will be discussed in a separate paper.

Finally, in connection with the problem of precursory activity, the two types of precursors might be expected. First, the long-term deep precursory EQ preceding the crust EQs with a time lead of several years. Indeed it was the basic idea of Mogi (1973, 2004) papers. Secondly, it is evident that the deformation wave stimulates the upward liquid migration in the lithosphere that leads to the appearance of the short-term precursors. It is reasonable to suppose an inhomogeneous structuring of the gas-liquid volumes (so-called “bubbles”). Modelling of the process using the finite automata technique demonstrated a faster elevation of the small bubbles in contrast to the large bubble association (Korovkin et al., 2002). Therefore, the origin of foreshocks, seismo-acoustic, hydrourgy/chemistry and atmosphere/ionosphere precursory events can be easily explained (see discussion in Molchanov and Hayakawa, 2008).

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