Segregated anatomical input to sub-regions of the rodent superior colliculus associated with approach and defense

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INTRODUCTION

For most animals, rapid reactions toward or away from unexpected, biologically salient events are crucial for survival in natural environments. A neural structure strongly implicated with initial behavioral responses to sensory events is the midbrain superior colliculus (SC), responsible for sensorimotor transformations required to direct gaze toward or away from unexpected, biologically salient events. Significant changes in the external world are signaled to SC through primary multisensory afferents, spatially organized according to a retinotopic topography. For animals, where an unexpected event could indicate the presence of either predator or prey, early decisions to approach or avoid are particularly important. Rodents’ ecology dictates predators are most often detected initially as movements in upper visual field (mapped in medial SC), while appetitive stimuli are normally found in lower visual field (mapped in lateral SC). Our purpose was to exploit this functional segregation to reveal neural sites that can bias or modulate initial approach or avoidance responses. Small injections of Fluoro-Gold were made into medial or lateral sub-regions of intermediate and deep layers of SC (SCm/SCI). A remarkable segregation of input to these two functionally defined areas was found. (i) There were structures that projected only to SCm (e.g., specific cortical areas, lateral geniculate and suprageniculate thalamic nuclei, ventromedial and premammillary hypothalamic nuclei, and several brain-stem areas) or SCI (e.g., primary somatosensory cortex representing upper body parts and vibrissae and parvicellular reticular nucleus in the brainstem). (ii) Other structures projected to both SCm and SCI but from topographically segregated populations of neurons (e.g., zona incerta and substantia nigra pars reticulata). (iii) There were a few brainstem areas in which retrogradely labeled neurons were spatially overlapping (e.g., pedunculopontine nucleus and locus coeruleus). These results indicate significantly more structures across the rat neuraxis are in a position to modulate defense responses evoked from SCm, and that neural mechanisms modulating SC-mediated defense or appetitive behavior are almost entirely segregated.

Keywords: superior colliculus, segregated anatomical inputs, approach, defense

Abbreviations: ACA, anterior cingulate area; aco, anterior commissure; AI, agranular insular area; AId, agranular insular area, dorsal part; APN, anterior pretectal nucleus; AQ, cerebral aqueduct; AUD, auditory area; bic, brachium of the inferior colliculus; BLA, basolateral amygdalar nucleus; BMA, basomedial amygdalar nucleus; C1, field CA1, Ammon’s horn; C2, field CA2, Ammon’s horn; cc, corpus callosum; CEA, central amygdalar nucleus; CL, central lateral nucleus thalamus; CM, central medial nucleus thalamus; COA, cortical amygdalar nucleus; CP, caudoputamen; cpd, cerebral peduncle; cst, corticospinal tract; CUN, cuneiform nucleus; DG, dentate gyrus; DMH, dorsomedial hypothalamic nucleus; DN, dentate nucleus; DTN, dorsal tegmental nucleus; ec, external capsule; ECT, ectorhinal area; em, external medullary lamina thalamus; ENT, entorhinal; fi, fimbria; FG, Fluro-Gold; FN, fastigial nucleus; fr, fasciculus retroflexus; fx, fornix; GPe, globus pallidus; GRN, gigantocellular reticular nucleus; GU, gustatory area; gVII, genu of the facial nerve; ICe, inferior colliculus, external nucleus; IMD, intermediolateral thalamic nucleus; ILA, infralimbic area; IP, interposed nucleus; IPN, interpeduncular nucleus; LA, lateral amygdalar nucleus; LAV, lateral vestibular nucleus; LC, locus ceruleus; LD, lateral posterior nucleus thalamus; LDT, laterodorsal tegmental nucleus; LG, lateral geniculate complex; LGd, lateral geniculate complex, dorsal part; LGv, lateral geniculate complex, ventral part; LH, lateral habenula; LHA, lateral hypothalamic area; LP, lateral posterior nucleus thalamus; MD, mediiodorsal nucleus thalamus; MEA, medial amygdalar nucleus; MEV, midbrain trigeminal nucleus; MG, medial geniculate complex; ml, medial lemniscus; mlf, medial longitudinal fascicle; MM, mammillary nucleus; MO, somatomotor area; MOp, primary somatomotor area; MOs, secondary somatomotor area; MPT, medial pretectal area; MRN, midbrain reticular nucleus; mtV, midbrain tract of the trigeminal nerve; MV, medial vestibular nucleus; Ni, nucleus incertus; NLL, nucleus of the lateral lemniscus; NOT, nucleus of optic tract; NPC, nucleus of posterior commissure; NPCe, nucleus of posterior commissure, caudal part; PAG, periaqueductal gray; PAGd, periaqueductal gray, lateral division; PAGl, periaqueductal gray, dorsolateral division; PAGvl, periaqueductal gray, ventrolateral division; PAR, parasympathetic nucleus; PARS, parvicellular reticular nucleus; PB, parabrachial nucleus; lateral division; PbL, parabrachial nucleus, medial division; PIF, piriform area; PL, pre-limbic area; PMd, dorsolateral prefrontal cortex; PO, posterior complex nucleus; POST, postsubiculum; PPf, pedunculopontine nucleus; PRC, precommissural nucleus, periaqueductal gray; PRE, pre-subicular; PRN, pontine reticular nucleus; PPR, nucleus prepositus; PSV, principal sensory nucleus of the trigeminal; PTL, parietal region; PVN, paraventricular thalamic nucleus; py, pyramid; RE, nucleus reunions; RH, rhomboid nucleus; RN, red nucleus; RR, midbrain reticular nucleus, retrorubral area; RSP, retrosplenial area; SAG, nucleus sagulum; SAI, stratum album intermediate; SC, superior colliculus; SCI, superior colliculus, lateral part;
The SC (superior colliculus; Dean et al., 1989). The SC has multisensory inputs that can guide gaze-shifts to bring prominent events into central vision (Stein and Meredith, 1993). It is also important for positioning the head in space during a variety of appetitive tasks, including foraging for food, predation, and nocifensive responses directed toward a source of pain (Wang and Redgrave, 1997; Furigo et al., 2010; Favaro et al., 2011). The SC has also been associated with defensive responses evoked by threatening stimuli (Dean et al., 1989). Potentially harmful looming stimuli activate the SC in a range of species (Westby et al., 1990; Liu et al., 2011) including humans (Billington et al., 2011), while stimulation of the SC can evoke defensive reactions extending from immediate arrest and freezing to violent defense-like locomotor responses (Sahibzada et al., 1986). Significant changes in the external world are signaled to the SC through primary multisensory afferent projections that are spatially organized according to a precise retinotopic topography (Figure 1; Stein and Meredith, 1993). Multisensory inputs can be integrated to facilitate the detection of events close to sensory thresholds (e.g., the snap of a twig or slight movement in a bush), which can indicate the presence of predator or prey (Stein and Meredith, 1993). However for animals, where an unexpected event could indicate the presence of either a predator or prey, early decisions to approach or avoid are particularly important. It is, therefore, to be expected that approach/avoidance responses initiated by the SC will be subject to powerful contextual modulation (May, 2006).

For species where both predators and prey can appear from many directions (e.g., many species of fish, birds, and monkeys), contextual inputs from external structures which bias the SC to favor approach or avoidance, are probably directed to all parts of the retinotopically based topography. This would frustrate attempts to identify the source ofafferents that promote approach or defense. However, in the case of rodents, their ecology dictates that predators are most frequently detected initially as movements in the upper visual field – which is represented in the medial portion of the SC's spatial map (Figure 1). Alternatively, appetitive stimuli (e.g., prey, offspring) are normally found in the lower visual field – which is represented in the lateral portion of the SC (Figure 1). Consequently, in rats, stimulation of lateral SC evokes approach-like and appetitive movements, while stimulation of medial SC induces defense-like responses (Dean et al., 1986; Sahibzada et al., 1986). Moreover, exposing a rat to a predator (cat) induces selective neuronal activation in medial SC (Comoli et al., 2010), while allowing rats to hunt roaches (on the floor) causes selective activation in the lateral SC (Favaro et al., 2011). Using standard anatomical tracing procedures, the purpose of the present investigation was to exploit this remarkable functional segregation in the rat SC to reveal neural structures that can bias or modulate initial approach or avoidance behavioral reactions.

**MATERIALS AND METHODS**

To determine whether brain structures that provide afferent signals to the SC make differential contacts with the functionally segregated SC sub-regions associated with defense and appetitive responses, small injections of a sensitive retrograde tracer were made selectively into the medial and lateral sub-regions of the SC.

**SUBJECTS**

Experimental procedures were performed with male Wistar \((N = 16)\) and female Hooded Lister \((N = 2)\) rats \((230–260 \text{ g})\). Animals were obtained from local breeding facilities of Ribeirão Preto and Sheffield laboratories respectively. The rats were kept under environmentally controlled conditions: temperature \((23 ± 2^\circ\text{C})\), 12-h light/dark cycle (lights on at 7 AM), and had free access to water and standard laboratory diet. All animal husbandry and experimental procedures were performed in accordance with the National Institutes of Health Guidelines for the Care and Use of Laboratory Animals (NIH Publications No. 80–23, 1996). United Kingdom experiments were conducted in compliance with UK Govt. Home Office approval under section 5 (4) of the Animals (Scientific Procedures) Act 1986. Experimental protocols also received prior approval of the relevant Institutional Ethics Committees. Effort was made to minimize the number of animals used and their suffering.

**RETROGRADE TRACING PROCEDURES**

Rats were anesthetized with an intraperitoneal injection of a mixture of ketamine, xylazine, and acepromazine \((100:20:3 \text{ mg/kg}; \text{União Farmacêutica Nacional S/A, Embu-Guçu, São Paulo, Brazil})\).
After a survival period of 7–12 days, the animals were deeply anesthetized with pentobarbitone sodium (Pentoject®, 0.6–0.8 ml/kg) or a mixture of ketamine (Ketaset®, 0.765 ml/kg) and xylazine (Rompun®, 1.1 ml/kg). The sensitive retrograde tracer Fluoro-Gold (solution of 2.5 or 4% – Fluorochrome, Denver, CO, USA) was injected unilaterally into either the medial (AP 6.0 mm caudal to bregma; ML 0.7 mm; DV 3.2 mm from the brain surface) or lateral (AP 6.0 mm; ML 2.4 mm; DV 4.5 mm) sub-region of the SC (Paxinos and Watson, 2007). Deposits were made iontophotographically through a glass micropipette (tip diameter, 25 μm) using a constant-current generator (model CS3, Midgard Electronics, Canton, MA, USA) to deliver a constant-current generator (model CS3, Midgard Electronics, Canton, MA, USA) to deliver +2.5 μA, with 7-s on-off duty cycle for 7 min.

HISTOLOGY

After a survival period of 7–12 days, the animals were deeply anesthetized with pentobarbitone sodium (Pentoject®, 0.6–0.8 ml/kg) or a mixture of ketamine and xylazine (100:20 mg/kg, ip.) and perfused transcardially with a brief saline rinse followed by an ice-cold solution of 4% paraformaldehyde in a 0.1-M phosphate buffer at pH 7.4. Two hours later the brains were removed from the skull and cryoprotected with 20% sucrose in 0.1 M phosphate buffer at pH 7.4. Brains were frozen and coronal sections (30 μm) were obtained using a microtome (Leika Microsystems, Germany). For two cases, sections were collected directly onto slides, allowed to dry in a light-protected box and coverslipped with DPX mountant. An adjacent series was stained with cresyl violet to serve as an anatomical reference. The location of transported Fluoro-Gold in the tissue of these animals was determined by fluorescence microscopy.

Fluoro-Gold in all other cases was determined by immunohistochemistry performed according to published protocols (Schmued and Fallon, 1986; Pieribone and Aston-Jones, 1988). Briefly, sections were incubated with rabbit anti-Fluoro-Gold antibody (1:5,000; Chemicon International, Temecula, CA, USA, lot # 0606034308; 72 h) and washed in potassium phosphate-buffered saline (KPBS; pH 7.4). Next, sections were incubated in a solution of biotinylated goat anti-rabbit IgG (Vector Laboratories, Burlingame, CA, USA). After being washed, sections were incubated in ABC reagent (ABC Elite Kit; Vector Laboratories). The peroxidase reaction–product was visualized using the glucose oxidase procedure (Itoh et al., 1979) and the metal free 3,3’ diaminobenzidine tetrahydrochloride (DAB; Sigma, St Louis, MO, USA) as a chromogen. Sections were exposed for 10 min to a 0.02% chromogen solution containing 0.3% nickel-ammonium sulfate in 0.05 M phosphate buffer (pH 7.4) and glucose oxidase, followed by the addition of β-D-glucose to produce a blue–black product. The reaction was terminated by washing in KPBS, pH 7.4.

After processing, sections were mounted on gelatinized slides, dehydrated, and coverslipped with DPX (Sigma). An adjacent series was stained with thionin to serve as an anatomical reference for the distribution of Fluoro-Gold labeling.

MICROSCOPY AND DATA PLOTTING

Excluding the olfactory bulb, sections throughout the brain were analyzed for the presence of retrograde labeling. Non-processed sections were observed with a fluorescent microscope equipped with episcopic illumination (Nikon Eclipse E800M, Kingston-upon-Thames, UK) and UV excitation filter (330–380 nm). Sections subjected to immunohistochemistry were examined under brightfield illumination (Leika DM 2500). Fluoro-Gold deposits in the injection site and retrogradely labeled perikarya were plotted with the aid of a camera Lucida onto maps from adjacent thionin-stained sections. Brain structures were identified with reference to the stereotaxic atlas of Swanson (2004). Photomicrographs were obtained with microscope-mounted digital cameras and adjusted for contrast and brightness with Adobe Photoshop CS3 (version 10.0.1; Adobe Systems, Mountain View, CA, USA). Line drawings were prepared with Adobe Illustrator CS3 (version 13.0.1; Adobe Systems).

The pattern of layering within the rodent SC was identified according to the nomenclature established in previous investigations (Sahibzada et al., 1986; Telford et al., 1986; Coizet et al., 1986; Favaro et al., 2011); superficial layers (SZ, stratum zonale; SGS, stratum griseum superficiale; SO, stratum opticum); the intermediate layers (SGI, stratum griseum intermediale; SAI, stratum album intermediale); and the deep layers (SGP, stratum griseum profundum; and SAP, stratum album profundum; Figure 2). However, in this paper we follow the widely adopted convention of using the term “deep layers” to refer to both intermediate and deep layers. A line approximating the horizontal meridian bisected the SC into medial–upper and lateral–lower field sub-regions (Telford et al., 1986; Figure 2).

AFFERENT CELL DENSITIES

To provide an estimate of the potential importance of afferent control exercised over the medial and lateral sub-regions of the SC by different structures, we determined the relative densities of retrogradely labeled cells in different structures. Thus for each structure, a standard graticule (0.5 mm × 0.5 mm area = 0.25 mm²) was placed over the area within the structure that contained the maximum density of retrogradely labeled cells. The number of cells contained within the graticule was recorded. The resulting numbers were then converted to a four-point ordinal density measure: low (1–40 cells); low-medium (41–80 cells); high-medium (81–120 cells); high (121–160 cells).
(81–120 cells); and high (>120 cells). For the two illustrated cases these numbers were entered into Table 1.

**RESULTS**

The purpose of the present study was to exploit the regional segregation of approach and defense-related functions in the rodent SC to identify afferent structures throughout the brain that have the potential to exercise control over these functions. Our strategy was to inject small amounts of a sensitive tracer specifically into the medial (defense) or lateral (approach) territories of the rat SC and determine the location of retrograde labeled neurons.

The injection sites of all animals used in the present study are illustrated in Figure 3. There were nine cases with injections into the medial SC and nine injections into lateral SC. From the material illustrated in Figure 3 it is clear that, with few exceptions (cases 06 and 0201 medial; and 01 lateral, which may have extended into the overlying superficial layers), the deposits of tracer were confined in SC deep layers. Thus, none of the medially located injections extended ventrally into the adjacent peri-aqueductal gray, while only the laterally placed injection of case 78 may have extended slightly into the underlying mesencephalic reticular formation.

From this population of injections we chose two cases (43-medial; and 02-lateral – colored dark gray in Figure 3) whose data best represent the two groups of injection sites. Photomicrographs of the injection sites of these two demonstration cases and adjacent cytoarchitecture of SC are presented in Figures 4A and C, B and D respectively.

The injection sites of the demonstration cases (43 and 02) were confined entirely to the SC deep layers and involved no external structures. A record of the neurons retrogradely labeled from these injection sites throughout the brain are plotted in Figure 5 and the relative densities of retrogradely labeled cells are displayed in Table 1. Unless specifically mentioned, the locations of retrograde labeling in these two representative cases were also observed in all other members of their respective medial or lateral groups. Somewhat to our surprise, the patterns of retrograde labeling, and hence, the inputs to these two functionally distinguished regions of the SC, were almost entirely segregated. Consequently, we will describe the afferent projections according to whether they are: (i) structures that convey exclusive projections to lateral or medial sub-regions of the rodent SC; (ii) structures with projections that are topographically organized to medial and lateral sub-regions; and (iii) structures whose projections have overlap to medial and lateral sub-regions of SC. We will also provide an indication of how significant the input into the different sub-regions of the SC is likely to be (strong, moderate, weak). We will offer an analysis of the functional implications of the patterns of retrograde tracing we observed in the “Discussion” section.

**PROJECTIONS EXCLUSIVE TO THE MEDIAL-DEFENSE SUB-REGION OF THE SC**

This section describes the afferent structures that project to the SC deep layers, but make contact only with the medial sub-region. The most obvious general observation was that many structures located throughout the entire neuraxis make contact exclusively with this subdivision of the deep SC. These structures may be classified as follows.

**Cerebral cortex and hippocampal formation**

Retrogradely labeled neurons were found ipsilaterally in several isocortical areas and to a lesser extent in the hippocampal formation.
Table 1 | Continued

Differential Topography Projections

|                      | SCm ipsi/ contra | SCI ipsi/ contra |
|----------------------|------------------|------------------|
| Cortex               |                  |                  |
| Anterior cingulate area (ACA) | +/-     | +/-          |
| caudal               | +/-             | +/+           |
| Primary somatomotor area (MOp) | -/-     | +/-           |
| rostral              | +/-             | +/-           |
| caudal               | +/-             | +/-           |
| Primary somatosensory: dysgranular region (S1dz) | -/-     | +/-           |
| rostral              | -/-             | +/-           |
| caudal               | +/-             | +/-           |
| Primary somatosensory: barrel field (SSp-bld) | -/-     | +/-           |
| rostral              | -/-             | +/-           |
| caudal               | +/-             | +/-           |
| Secondary somatomotor area (MOs) | -/-     | +/-           |
| rostral              | -/-             | +/-           |
| caudal               | +/-             | +/-           |
| Supplemental somatosensory area (SSs) | -/-     | +/-           |
| rostral              | -/-             | +/-           |
| caudal               | +/-             | +/-           |
| Thalamus             |                  |                  |
| Zona incerta ventral (ZI) | +/-     | -/-           |
| rostrolateral        | +/+              | +/-           |
| caudolateral         | +/+              | +/-           |
| rostromedial         | -/-             | +/-           |
| caudomedial          | -/-             | ++/+          |
| Pre-tectum           |                  |                  |
| Nucleus of posterior commissure (NPC) | +/-    | -/-           |
| rostral              | +/-             | -/-           |
| caudal               | +/-             | ++            |
| Brainstem            |                  |                  |
| Nucleus of the lateral lemniscus (NLL) | +/-     | -/-           |
| dorsal               | +/-             | -/-           |
| ventral              | +/-             | -/-           |
| Parabrachial area, medial division (PBm) | -/-    | -/+           |
| lateral division (PBd) | +/-     | -/-           |
| Periaqueductal gray (PAG) dorsalateral rostral | +/-    | +/-           |
| intermediate         | +/-             | +/+           |
| caudal               | +/-             | -/+           |
| Periaqueductal gray (PAG) lateral rostral | +/-    | +/-           |
| intermediate         | +/-             | +/+           |
| caudal               | +/-             | -/+           |
| Principal sensory nucleus of the trigeminal (PSV) | ventral | -/+     | -/+ |
| medial               | +/-             | -/+           |
| Substantia nigra, reticular part (SNr) | dorsolateral | -/-     | +/- |
| ventromedial         | +/-             | +/-           |

**Similar Projections**

|                      | SCm ipsi/ contra | SCI ipsi/ contra |
|----------------------|------------------|------------------|
| Diencephalon         |                  |                  |
| Hypothalamus         |                  |                  |
| Lateral hypothalamic area (LHA) | +/-    | +/-           |
| Brainstem            |                  |                  |
| Locus ceruleus (LC)  | +/-              | +/-           |
| Midbrain reticular nucleus (MRR) | +/-  | +/-          |
| Pedunculopontine nucleus (PPN) | +/-/+  | +/-/+        |
| SPV                  | +/-              | +/-           |
| Cerebellum           |                  |                  |
| Dentate nucleus (DN) | +/-              | +/-           |

*Counts of number of labeled cells per 0.25 mm² and converted to a four-point ordinal density measure: 0 = –; 1–40 = +; 41–80 = ++; 81–120 = +++; 120 – more = +++++.

Figure 3: Schematic representation of the FG injection sites into the medial and lateral sub-regions of SC. Lines indicate each injection site. Light gray represents the areas included in the population of all medial and lateral injection sites and dark gray shows the injection sites chosen as representative of the medial and lateral injection populations. Abbreviations: see list.

FORMATION. Substantial numbers of retrogradely labeled neurons were found along the whole extension of the retrosplenial area (RSP; Figures 5E–J and 6C), visual area (VIS; Figures 5G–J and 6C), and caudal levels of the temporal association area (TEa; Figures 5I,J). Moderate numbers of retrogradely labeled neurons were observed in the somatosensory cortex representing the lower limb and trunk (Figures 5D and 6A), the auditory area (AUD; Figures 5E–H and 6B), parietal cortex (PTL; Figures 5G–I and 6B), and rostral levels of the ectorhinal area (ECT; Figure 5E). In contrast, relatively few retrogradely labeled neurons were found in the infralimbic and pre-limbic areas (ILA, PL; Figure 5A), while in the hippocampal formation we found a moderate number of labeled neurons in the postsubiculum (Figure 5J).
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FIGURE 4 | Photomicrographs of transverse sections of the rat brain showing representative cases with FG injection into the SCm (A) and SCI (B). Respective adjacent sections show the SC cytoarchitecture stained with Nissl stain (C,D). Abbreviations: see list.

Thalamus
In the thalamus large numbers of retrogradely labeled neurons were found ipsilaterally in the ventral part of the lateral geniculate complex (LGv; Figures 5E,F and 6E) and rather less contralaterally. Moderate numbers of labeled neurons were also found ipsilaterally in the suprageniculate nucleus (SGN; Figure 5H). Small amounts of label were observed ipsilaterally in the lateral posterior nucleus of thalamus (LP; Figure 5F).

Hypothalamus
High densities of retrograde labeling were observed in the dorsomedial part of the ventromedial hypothalamic nucleus (VMH; Figures 5D,E). Densely marked neurons were also found in the dorsal premammillary hypothalamic nucleus (PMD; Figures 5F and 6D). Moderate numbers of labeled neurons were found contralaterally in these two structures (VMHdm and PMd).

Brainstem
In contrast to much of the retrograde labeling in the forebrain, much of the retrograde tracing in the brainstem was bilateral. The only exceptions were the nucleus prepositus and supragenual nucleus where labeled neurons were found contralaterally to the collicular injection site. At the mesencephalic levels, moderate numbers of labeled neurons were found in the precommissural nucleus (PRC; Figure 5F) while small to moderate numbers of retrogradely labeled neurons were observed in the pretectal area including the anterior, medial, and olivary pretectal nuclei (APN, MPT, OP; Figure 5F), and in the nucleus sagulum (SAG; Figure 5J). At pontine levels, moderate and large amounts of labeled neurons were observed respectively in the nucleus prepositus (PRP; Figure 5O) and the supragenual nucleus (SG; Figure 5N), while moderate numbers of labeled neurons were found in the cuneiform nucleus (CUN; Figures 5J,K and 6F), laterodorsal tegmental nucleus (LDT; Figure 5K), and nucleus incertus (NI; Figure 5L).

PROJECTIONS EXCLUSIVE TO THE LATERAL-APPROACH SUB-REGION OF THE SC
The most obvious difference was that, compared to the medial deep layers of the SC, only a few afferent structures project exclusively to the lateral deep layers. Thus, a few retrogradely labeled neurons were observed in parts of the ipsilateral primary somatosensory cortex representing the upper limb and nose (Figures 5B′ and 6G), also in the gustatory, agranular insular, and visceral areas of the isocortex (GU, AI, Figure 5B′). In the brainstem, a moderate number of retrogradely labeled neurons were found only in the contralateral parvicellular reticular nucleus (PARN; Figures 5N′ and 6H).

TOPOGRAPHICALLY ORGANIZED PROJECTIONS TO SC
In this section we will describe the afferent structures that project to both medial and lateral sub-regions of the deep SC, but that within the parent structure neurons retrogradely labeled from the medial and lateral SC were spatially separated. These topographically organized inputs originated from some isocortical areas, zona incerta, substantia nigra pars reticulata, and some restricted areas in the brainstem.

Cerebral cortex
In all cases where the afferent input was organized topographically, retrogradely labeled neurons were found ipsilateral...
FIGURE 5 | Distribution of retrogradely labeled cells after a FG injection into SCm (left side-red dots) and SCI (right side-green dots). Open squares indicate which levels the pictures in Figures 6-8 were taken from. A photomicrograph of the injection sites is illustrated in Figure 4. Abbreviations: see list.
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FIGURE 5 | (Continued)

to the SC injection site. Following injections into the medial SC, small to moderate numbers of retrograde labeled neurons were found in the caudal parts of the primary and secondary somatomotor areas (MOp, MOs; Figures 5A–C), the barrel field area (SS-bfd; Figures 5E and 7A), and the supplemental somatosensory area (SSs; Figure 5E). In contrast, injections
into the lateral SC produced small to moderate amounts of retrograde labeled neurons in the rostral part of the somatomotor cortex (MOp, MOs; Figures 5A′–C′), the barrel field area (SS-bfd; Figures 5C′ and 7B), and the supplemental somatosensory area (SSs; Figure 5C′). In the cingulate cortex injections in the medial SC produced moderate numbers of retrogradely
labeled neurons in the rostral part of the anterior cingulated area (Figures 5A,B) while similar densities of labeling were found more caudally following injections into the lateral SC (Figure 5C).
Thalamus
Injections into the medial SC produced moderate numbers of retrograde labeled neurons ipsilaterally in the lateral parts of rostral ventral zona incerta (ZIv,l; Figures 5E and 7C). In cases receiving injections into the lateral SC, moderate levels of retrograde labeling was confined to the medial parts of caudal ventral zona incerta (ZIv,m; Figures 5F′ and 7D).

Brainstem
In the pretectal area, following injections into the medial SC, retrograde labeling was found bilaterally in the rostral part of the nucleus of the posterior commissure (moderate amounts in the ipsilateral and small amounts in the contralateral NPC; NPC; Figures 5F). In contrast, moderate amounts of retrograde label was observed only ipsilaterally in the caudal parts of the nucleus of the posterior commissure after injections in the lateral SC (NPC; Figures 5F′). At the level of the mesencephalon, topographically organized retrograde labeling was found in the ipsilateral substantia nigra pars reticulata. Injections into the medial SC produced moderate numbers of labeled neurons ventromedially (SNr; Figures 5H and 7G) while moderate labeling following lateral injections was located dorsolaterally (SNr; Figures 5G′–I′ and 7H). In the periaqueductal gray medial injections into the SC were associated with moderate retrograde labeling distributed bilaterally along the dorsolateral column (PAGdl; Figures 5H–J and 7E), while fewer numbers of labeled cells were observed ipsilaterally in the lateral column (PAGl; Figures 5H–J and 7E). When injections were placed in the lateral SC moderate numbers of retrogradely labeled neurons were found ipsilaterally mainly in the lateral and dorsolateral columns at rostral and intermediate levels of the periaqueductal gray (Figures 5H′, I′ and 7F). Contralateral periaqueductal gray was only sparsely labeled by lateral SC injections.

In the nucleus of the lateral lemniscus, medial injections in the SC resulted in moderate bilateral labeling of both dorsal and ventral parts of the structure (NLL; Figures 5J). Lateral SC injections were associated with a sparser distribution, exclusively contralateral, and confined to the dorsomedial part of the nucleus (NLL; Figures 5J′). In the rostralateral parabigeminal area, medial injections were associated with sparse bilateral labeling (PBl; Figures 5K and 7I), while labeling following lateral SC injections was located contralaterally in the rostral part of the parabigeminal area, (PBr; Figures 5K′ and 7J).

Finally, after medial SC injections, moderate numbers of retrogradely labeled cells were observed contralaterally in the ventral part of the principal sensory nucleus of the trigeminal complex (PSV; Figures 5M). In contrast, only the medial part of the principal sensory nucleus of the trigeminal complex was labeled at more rostral levels (PSV; Figure 5M′) after the lateral SC injections.

STRUCTURES WITH OVERLAPPING PROJECTIONS TO MEDIAL AND LATERAL SUB-REGIONS OF THE SC
Only the lateral hypothalamic area and a very few structures in the brainstem contained a similar distribution of retrogradely labeled neurons following injections into medial or lateral sub-regions of the deep SC. Thus, the lateral hypothalamic area had only scattered labeled neurons located adjacent to the cerebellar peduncle at the tuberal level (LHA; Figures 5E,D,E′). Moreover, moderate...
amounts of label with a similar spatial distribution were observed in the pedunculopontine nucleus (bilaterally for medial injections and ipsilaterally for lateral injections; PPN; Figures 5K,K′ and 8A,B). Also following both medial and lateral SC injections, moderate numbers of labeled neurons were observed bilaterally in the locus ceruleus (LC; Figures 5L,L′, and contralaterally in the cerebellar dentate nucleus (DN; Figures 5O,O′ and 8C,D). Finally, small numbers of retrograde labeled neurons were found ipsilaterally in the midbrain reticular nucleus including the retrorubral area (MRN, RR; Figures 5H,I,H′,I′), and contralaterally in the spinal nucleus of trigeminal (SPV; Figures 5N,O′, again following injections into both medial and lateral SC.

**DISCUSSION**

**MAIN FINDINGS**

In this study we exploited the regional distribution of function in the SC (medial-defense; lateral-appetitive/approach) to identify neural structures that may contribute differentially to approach and avoidance responses. Our main findings were: (i) there was very little overlap in the afferent control of medial and lateral sub-regions of the rodent SC. (ii) Significantly more neural structures exercise afferent control over defense compared with approach associated regions of the SC. Thus, numerous sites in different parts of the cerebral cortex, visual thalamus, limbic regions, pre-tectum, and brainstem contained retrogradely labeled neurons only after injections into the medial SC. (iii) In structures that send projections to both medial and lateral SC, the majority (several cortical regions, zona incerta, pre-tectum, periaqueductal gray, substantia nigra pars reticulata, the parabrachial area, and principal sensory trigeminal nucleus) contained topographically organized retrograde labeling with little or no spatial overlap. (iv) Comparatively few structures (LC, retronuclear area, pedunculopontine nucleus, spinal sensory trigeminal nucleus, and cerebellum) contained overlapping distributions of retrogradely labeled neurons following injections into medial and lateral SC. A summary of these results is presented in Figure 9. We will discuss

![FIGURE 9](https://www.frontiersin.org)

**FIGURE 9** | Summary of the functional inputs to sub-regions of the rat SC associated with approach and defense responses.
these observations, first in terms of technical issues that may influence their interpretation. We will then relate them to previous investigations of afferent SC anatomy, and conclude by considering their functional implications.

TECHNICAL CONSIDERATIONS

The retrograde anatomical tracing technique was used to provide a general overview of the sources of input to the separate functionally defined regions of the SC, also, a relative estimate of the potential importance among the range of afferent projections. We used iontophoretic application of the tracer Fluoro-Gold because of its sensitivity as a retrograde tracer. In particular, it provides discrete injection sites with minimal uptake of the tracer by non-terminating fibers of passage (Brog et al., 1993). Our use of this single highly sensitive tracer was to maximize the reliability of between case comparisons. That is, the variability associated with uptake and transport is likely to be more consistent for a single anatomical tracer, as opposed to when a range of different anatomical tracers are used. Moreover, given there was so little overlap in the afferent projections to the medial and lateral SC, we considered the use of double label techniques unnecessary. Our use of a maximum density measure of retrograde tracing provides an estimate of the potential density of terminating projections associated with medial and lateral injection sites (Table 1). Clearly, this measure can be used only as a guide as it takes no account the relative volumes of afferent structures, the overall numbers and distribution of labeled neurons they contain. Neither can the density measure accommodate slight differences in the sizes of the two injections, differences in the patterns of terminating fibers, either in terms of the degree of terminal branching, or the locations where afferent terminals make contact with targeted neurons – distal dendrites or proximal neuronal cell bodies. A finer resolution of these issues awaits further investigation.

RELATIONSHIP TO PREVIOUS INVESTIGATIONS

With possibly one exception (projections from the postsubicellular region), all the afferent structures we identified in the present study have been described by others previously (Wise and Jones, 1977; Killackey and Erzurumlu, 1981; Roldán and Reinoso-Suárez, 1981; Huerta et al., 1983; Cadusseau and Roger, 1985; Tanaka et al., 1985; Vertes et al., 1986; Henkel and Schneiderman, 1988; Rhoades et al., 1989; Sesack et al., 1989; Appell and Behan, 1990; Cornwall et al., 1990; Canteras and Swanson, 1992; Ohtsuki et al., 1992; Redgrave et al., 1992; Wiberg, 1992; Waterhouse et al., 1993; Canteras et al., 1994; Klooster et al., 1995; Krauthamer et al., 1995; Kolmac et al., 1998; Canteras and Goto, 1999; Garcia Del Caño et al., 2000; Moore et al., 2006; Olucha-Bordonau et al., 2003; Wyss and Srinivaskulchai, 2003; Kimura et al., 2004; Sefton et al., 2004; Kelly et al., 2009; Alloway et al., 2010).

The importance of the current investigation is the finding that, with very few exceptions, afferent structures, or sub-regions within afferent structures, project to either the medial, or lateral deep SC, but not to both. In previous anatomical studies in rodents there was a strong, entirely rational tendency to place injections of retrograde anatomical tracer centrally within the SC, both in terms of rostro-caudal and medio-lateral placement. Because these injections encroached into both medial and lateral sectors of the SC, previous investigations were able to identify most afferent structures, but missed the point that the medial-defense and lateral-approach territories of the rodent SC receive almost entirely from segregated populations of afferent neurons. The possibility that SC approach and defense systems receive inputs from anatomically separate systems will have important implications for the regulation of initial approach and avoidance responses elicited by sudden sensory events. However, as noted in the Introduction, functional segregation of the SC is likely to be a particular feature of animals whose predators and prey consistently originate in different parts of the visual field. Consequently, we would expect the segregation of afferents, according to targeted regions in the SC, to be less clear in species whose predators and/or prey frequently appear in the upper or lower visual fields (Swanson et al., 1974; Updyke, 1977; Roldán and Reinoso-Suárez, 1981; Huerta and Harting, 1982; Kawakura et al., 1982; Lynch et al., 1985; Room et al., 1985; Henkel and Schneiderman, 1988; Meredith and Clemo, 1989; Harting et al., 1992; Buchanan et al., 1994; May et al., 1997; Klop et al., 2005; May, 2006; Aronoff et al., 2010; Manger et al., 2010).

FUNCTIONAL IMPLICATIONS

To understand the variety of afferent projections to the SC identified in this and other reports (Wise and Jones, 1977; Kawakura et al., 1982; Druga and Syka, 1984; Cadusseau and Roger, 1985; Tanaka et al., 1985; Meredith and Clemo, 1989; Buchanan et al., 1994; Ndiaye et al., 2002; Olucha-Bordonau et al., 2003; Klop et al., 2005; Manger et al., 2010), it is important to consider, briefly, the evolutionary history of the SC and the requirements for organizing effective defense and approach behaviors. The SC is an evolutionarily ancient sensorimotor structure found in the midbrain of the earliest vertebrates (Wurtz and Albano, 1980; Sparks, 1986; Dean et al., 1989; Stein and Meredith, 1993). With relatively minor modifications, it has been retained in a largely conserved form and is present in the dorsal midbrain of all modern mammals (Gaither and Stein, 1979; Stein, 1981, 1984; May, 2006). The need to defend against predators and approach sources of sustenance, mates, and in some cases offspring, are, however, also survival imperatives for primitive vertebrates that lack the flexibility conferred by the more evolutionarily recent expansion of the cerebral cortex. Consequently, there is evidence that the SC plays a prominent role in approach and avoidance functions of primitive species (Saitoh et al., 2007). We will therefore, first consider the sub-cortical afferent connections of the SC which are likely to have enabled the SC to perform effective approach and defensive functions in evolutionarily primitive vertebrates that lack a developed cerebral cortex.

Sub-cortical afferents of the SC

Direct sensory. As an ancient sensorimotor structure, the SC receives a range of relatively direct sub-cortical multisensory inputs that have the capacity to guide acquisition/approach or defensive/withdrawal responses. These multisensory inputs include direct visual projections from retina and the lateral geniculate nucleus of the thalamus (Figures 5E,F; Nagata and Hayashi, 1984; Born and Schmidt, 2008), somatosensory, and nociceptive inputs from the trigeminal nuclei (Figures 5M,N,M′,O′),
parabrachial nucleus (Figures 5K,K), Killackey and Erzurumlu, 1981; Ricardo, 1981; Huerta et al., 1983; May, 2006), and cuneiform nucleus (Carlson et al., 2004), auditory input from nucleus of the lateral lemniscus and nucleus sagulum (Figures 5J), Henkel and Schneiderman, 1988; Kelly et al., 1998, 2009; Bajo et al., 1999). Among these sensory afferents it appears that vibrissae-related signals from the oral trigeminal nucleus are directed preferentially to the rostrolateral enlargement of the SC deep layers where they play a critical role in approach movements such as those associated with predatory hunting (Figure 5O; Furigo et al., 2010; Favaro et al., 2011). On the other hand, nociceptive somatosensory input from the sensory trigeminal nucleus (Figures 5M,N; Páli et al., 2002; Sokov et al., 2011) is directed to the medial SC, where it would be in a position to evoke defensive withdrawal movements. Alternatively, nociceptive input from lower parts of the body are relayed via the lateral parabrachial area (Bernard and Besson, 1990; Bernard et al., 1994; Bester et al., 1995; Bon et al., 1996; Menendez et al., 1996; Gauriau and Bernard, 2002; Goizet et al., 2006) and cuneiform nuclei (Carlson et al., 2004) to the medial SC. Moreover, nociceptive somatosensory input from sensory trigeminal nucleus is conveyed to the lateral SC (Figure 5M), where they can guide head and mouth movements to a source of pain (Wang and Redgrave, 1997).

**Indirect sensory.** Parts of the limbic system including the amygdala are responsible for associating predictive neural stimuli with unconditioned appetitive or aversive events so the neural stimuli acquire the ability to evoke conditioned approach or defensive responses – Pavlovian conditioning (Takahashi et al., 2008). While there is no direct output from amygdala to the SC, several other limbic structures that receive inputs from the amygdala contained significant amounts of retrograde labeling from our injections into the medial SC, e.g., the ventromedial hypothalamic nucleus (Canteras et al., 1994) and dorsal premammillary nucleus (Canteras and Swanson, 1992), which are known to be part of the medial hypothalamic defense circuitry (Figures 5D–F), and peri-aqueductal gray (Figures 5H–I; Wiberg, 1992) in addition to the precommissural nucleus (Figure 5F), which is viewed as the rostral part of periaqueductal gray. Input from the hypothalamus was directed largely to the medial SC and therefore likely to be associated mainly with defensive responding. On the other hand, the periaqueductal gray has efferent contact with both medial and lateral SC with differential inputs from the dorsolateral and lateral columns respectively (Figures 5H–I; Wiberg, 1992). It is therefore possible that the lateral SC, with its ability to direct the eyes, head, and body toward external stimuli, could play an important role when defensive strategy switches from escape/avoidance to attack (Carvalho-Netto et al., 2006).

Indirect sensory-related signals may also originate from the zona incerta (Figures 5D,E,F; Simpson et al., 2008). Sensory input from the zona incerta to the SC is organized topographically so that vibrissal-related regions of the ventral zona incerta (caudomedial – Figure 5F) project to the lateral deep layers of the SC, while the medial SC receives input from body-related areas of ventral zona incerta (rostrolateral – Figures 5D,E; Nicolelis et al., 1992). However, since the neurons that comprise the incerto-tectal projection are largely GABAergic (Ficalora and Mize, 1989; Appell and Behan, 1990; Nicolelis et al., 1992) the nature and circumstances of the modulation imposed on the SC by this structure is not clear.

**Motor feedback.** Behavioral output of the SC is mediated via the crossed (approach) or the uncrossed (defense) descending projections. Both efferent projections connect the SC with pre-motor and pre-cerebellar nuclei in the brainstem and upper spinal cord (King et al., 1996; Shehab et al., 2007). The SC receives input from several of these efferent relays through which the SC exercises control over the eyes, head, and body. These include the preptical nuclei (Figure 5F; Trejo and Cicerone, 1984; Cadusseau and Roger, 1985; Klooster et al., 1995), nucleus of the posterior commissure (Figures 5F,G), Huerta and Harting, 1982), periaqueductal gray (Figures 5H–J; Wiberg, 1992), the mesencephalopretamellar reticular nucleus (Figures 5H–J; Vertes et al., 1986), the cuneiform nucleus (Figures 5J,K; Appell and Behan, 1990), pontine reticular formation (Figure 5L), the PARN (Figure 5N), Shammah-Lagnado et al., 1992), supragenual nucleus, and nucleus prepositus (Figure 5N; Ohtsuki et al., 1992; Corvisier and Hardy, 1993; Klop et al., 2005). Apart from the PARN which is a major pre-motor region responsible for generating oral responses (Li et al., 1995, 1996) and sends information back exclusively to the lateral SC, most of the other pre-motor structures containing retrogradely labeled neurons provide feedback primarily to the medial SC.

**Basal ganglia and cerebellar loops.** It is increasingly clear that most of the brain's sensorimotor, cognitive, and affective structures contribute to looped architectures involving both the basal ganglia and cerebellum. The SC is no exception. It is therefore of interest that both the basal ganglia and cerebellum have evolutionary histories similar to that of the SC, i.e., they all pre-date the evolutionary expansion of the cerebral cortex by several hundred million years (Dillon, 1962; Gaither and Stein, 1979; Stein, 1981; Stephenson-Jones et al., 2011). This suggests that, to generate appropriate defensive or approach/acquisitive behavior, the SC is reliant on appropriate interactions with both the basal ganglia and cerebellum. The cerebellar input to the SC may be required to generate precise signals ensuring the control of the metrics of motor output like the generation of vertical eye movements (Chubb and Fuchs, 1982), head rotation, and saccadic-movements (Gruart and Delgado-Garcia, 1994). However, it is interesting that, whatever function is performed by the loops involving the SC and cerebellum, it seems that the required cerebellar modulation of appetitive and defensive functions can be served by neurons with overlapping distributions in the deep cerebellar nuclei (Figures 5O,O; Roldán and Reinoso-Suárez, 1981).

A rather different computational problem arises from the fact that the spatially organized multisensory maps in the SC can represent multiple external stimuli, simultaneously. Which stimulus should be allowed to guide behavioral output and should it be approached or avoided? We have argued previously (Redgrave et al., 1999), that the looped architecture connecting the SC with the basal ganglia could provide an effective solution to such problems of “selection” via a mechanism of selective disinhibition within the nigroreticular projection. However, to “disinhibit” either defense or approach responses from the SC, the basal ganglia
would need to have segregated contacts with both the medial and lateral SC. This would be provided for by the topographical organization of the nigrotectal projection observed in the present investigation in which the medial SC receives exclusively from ventromedial substantia nigra pars reticulata while input to the lateral SC originates exclusively from the dorsolateral part of pars reticulata (Figures 5H, G–I; Redgrave et al., 1992).

**General arousal.** The SC has inputs from several general modulatory system involving cholinergic – pedunculopontine nucleus and laterodorsal tegmental nucleus (Figures 5K, K′; Satoh and Fibiger, 1986; Cornwell et al., 1990; Krauthamer et al., 1995), noradrenergic – LC (Figures 5L, L′; Waterhouse et al., 1993) as well as dopaminergic cell groups – retrorubral area (Figures 5I, I′; Vertes et al., 1986; Vertes and Martin, 1988). The highly divergent nature of these projections suggests they are likely to exercise some form of general modulatory control over the appetitive and defensive functions of the SC (Scarnati and Florio, 1997; Balatoni and Detari, 2003).

**Cortical aффerents of the SC**

For most of the evolutionary history of vertebrates, the initiation of approach and defense-related movements by the SC was supported in large part by equally ancient sub-cortical structures. However, approximately 60 million years ago marked the beginning of a dramatic expansion of the cerebral cortex (Kass, 1995, 2004, 2011; Montagnini and Treves, 2003). The increase in computing power that this expansion afforded enabled the development of sophisticated sensory, cognitive, affective, and motivational competences. However, from the pattern of anatomical connections observed in the present study it appears that, to implement approach or defensive responses guided by cortically based signal processing, efferent cortical projections were directed to the functionally relevant regions of the SC where the necessary connections with brainstem motor mechanisms were already in place to regulate the required movements. Interestingly, the non-overlapping pattern of connections to the medial and lateral sub-regions of the SC from sub-cortical sites is mirrored by the inputs from the different regions of cerebral cortex. Thus, the areas of cerebral cortical projection to the lateral deep SC layers were barrel field cortex and secondary somatosensory cortex (Figure 5C; Sesack et al., 1989; Vaudano et al., 1991; Alloway et al., 2010), whisker motor cortex (Figures 5A′, B′; Alloway et al., 2010), gustatory and visceral cortex (Figure 5B′; Reep et al., 1987; May, 2006), and caudal parts of anterior cingulate cortex (Figure 5C; Sesack et al., 1989; Hurley et al., 1991; Wyss and Sripanidkulchai, 2003). These observations suggest these cortical regions are associated with initiating or modulating appetitive approach. On the other hand, cortical input to the medial SC was more functionally diverse, including projections from visual cortex (Figures 5G–J; Updyke, 1977; Harvey and Worthington, 1990), retrosplenial cortex (Figures 5E–J; Van Groen and Wyss, 1992; Garcia Del Caño et al., 2000), temporal associative area (Figures 5I, J; Arnault and Roger, 1990; Vaudano et al., 1991), somatosensory cortex (Figures 5D, E; Hoffer et al., 2005; Lee and Kim, 2012), auditory area (Figures 5E–H; Druga and Syka, 1984; Arnault and Roger, 1990), parietal cortex (Figures 5G–I; Glickstein, 2003), rostral levels of ectorhinal cortex (Figure 5E; McIntyre et al., 1996), and anterior cingulated area (Figure 5A; Sesack et al., 1989; Hurley et al., 1991; Wyss and Sripanidkulchai, 2003) and, infralimbic and pre-limbic areas of frontal cortex (Figure 5A; Leichnetz and Gonzalez-Ruiz, 1987; Buchanan et al., 1994). Given that rodents are comparatively small and the prey of many larger animals, perhaps it is not surprising that more diverse computing power afforded by the cerebral cortex is given over to directing or modulating defense-related responses, possibly by commandeering the medial SC and its connections with the brainstem.

In summary, the present study exploited the functional segregation that is present in the SC of rodents to identify afferent structures that can modulate approach and defense-related responses mediated via the SC’s efferent connections to the brainstem. The principal finding was that, with relatively few exceptions, there was little overlap in the afferent projections to the medial (defense) and lateral (approach) deep layers of the rodent SC. While the degree of afferent segregation may also be present in other mammals, it is likely to be more difficult to detect in species whose predators and prey can appear unexpectedly from any direction. In these species, approach- and defense-related efferent neurons projecting to the brainstem are likely to be distributed more evenly across the SC’s spatial maps.

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Comoli et al. SC afferents associated with approach and defense

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