ALCOHOL’S ACTIONS ON NEURONAL NICOTINIC ACETYLCHOLINE RECEPTORS

Tiffany J. Davis and Christopher M. de Fiebre, Ph.D.

Although it has been known for many years that alcoholism and tobacco addiction often co-occur, relatively little information is available on the biological factors that regulate the co-use and abuse of nicotine and alcohol. In the brain, nicotine acts at several different types of receptors collectively known as nicotinic acetylcholine receptors (nAChRs). Alcohol also acts on at least some of these receptors, enhancing the function of some nAChR subtypes and inhibiting the activity of others. Chronic alcohol and nicotine administration also lead to changes in the numbers of nAChRs. Natural variations (i.e., polymorphisms) in the genes encoding different nAChR subunits may be associated with individual differences in the sensitivity to some of alcohol’s and nicotine’s effects. Finally, at least one subtype of nAChR may help protect cells against alcohol-induced neurotoxicity. KEY WORDS: Alcohol and tobacco; alcoholism; cigarette smoking; nicotine dependence; brain; neuronal nicotinic acetylcholine receptors; cross-tolerance; alcohol and other drug (AOD) sensitivity; genetic factors; genetic polymorphism; laboratory mice; laboratory rat; neurotoxicity

It has been known for a long time that use and abuse of alcohol and tobacco products commonly occur together, as evidenced by the Reverend George Trask’s 1860 Letters on Tobacco, for American Lads, in which he wrote “Do you know of one drunkard that does not use tobacco?” (Trask 1860, p. 28). Today, smoking is recognized as one of the greatest risk factors in the development of alcoholism. Nevertheless, relatively little is known about the biological mechanisms underlying the co-abuse of tobacco products and alcohol. Nicotine is the principal addictive component of tobacco smoke, and researchers have identified specific protein molecules (i.e., receptors) in the brain at which nicotine acts. These receptors are collectively known as neuronal nicotinic acetylcholine receptors (nAChRs) because they primarily interact with the brain chemical (i.e., neurotransmitter) acetylcholine.

Even though alcohol has been shown to act directly on specific subtypes of these nAChRs, few studies have investigated the role of these receptors in modulating alcohol’s effects on the brain, and this issue remains an understudied and underfunded area of alcohol research. This article reviews the current state of knowledge regarding nAChRs and the interactions between alcohol and nicotine at these receptors, focusing on those nAChR subtypes that appear to be involved in modulating the actions of alcohol.

nAChRs—Receptors for Nicotine

Nicotine interacts with several different nAChR subtypes in the brain. All of these nAChRs belong to a family of receptors that are collectively called ligand-gated ion channel receptors. Ions are atoms or molecules that carry an electrical charge because they possess different numbers of negatively (electrons) and positively (protons) charged particles. Common examples of ions include sodium (Na+), chloride (Cl–), potassium (K+), and calcium (Ca2+). The concentration of ions on the inside of neurons (nerve cells) versus the outside of neurons is strictly regulated. Typically, the concentration of K+ ions is greater inside the neuron than on the outside, whereas the concentrations of Na+, Cl–, and Ca2+ are greater outside the neuron than on the inside. Ligand-gated ion channel receptors, such as nAChRs, form pores or channels that, when opened, allow specific ions to flow into or out of neurons. For example, acetylcholine and certain drugs, such as nicotine, cause nAChRs to open (i.e., they “gate” the channel), thereby allowing Na+ and/or Ca2+ to enter a neuron. The resulting transient change in the internal ion concentration modulates the neuron’s excitability—that is, its ability to fire and transmit a nerve signal by releasing neurotransmitters that act on other neurons. In addition, the changes in ion concentrations provide specific signals or information to the affected neuron.

Neuronal nAChRs are made up of five proteins, or subunits, each of which traverses the cell membrane. Together, the five subunits form a complex around a central pore or channel, similar to staves around a barrel (see Figure). When the channel is opened, ions can flow into or out of the cell.

Several types of nAChR subunits exist. In the case of nAChRs in the brain, the subunits can be classified into two families, alpha (α) and beta (β). Each of these families has several members that are labeled in a numerical fashion (i.e., α2, α3, α4 ... α10 and β2, β3, or β4). Different combinations of these subunits result in the formation of different subtypes of nAChRs. Each nAChR subtype is named according to the subunits of which it is made up. For example, receptors of the α7 nAChR subtype each consist of five α7 subunits (see Figure, top). Receptors such as this, which comprise only a single type of subunit, are called homo-oligomeric receptors. Other nAChR subtypes are made up of two or more different subunits; these are known as heteromeric receptors. For example, each receptor of the α4β2 nAChR subtype consists of two α4 subunits and three β2 subunits (see Figure, bottom).

The composition of the various nAChR subtypes determines which ions can pass through the channels once they are opened. Thus, the α7 nAChR subtype principally allows...
Ca\textsuperscript{2+} to flow into the cell when the channel opens. Conversely, the α4β2 nAChR subtype allows both Na\textsuperscript{+} and Ca\textsuperscript{2+} to flow into the cell.

Of the numerous nAChR subtypes that exist, the α7 and α4β2 nAChR subtypes are the two most prevalent ones in the brain. Of these, the α4β2 nAChR subtype has a higher affinity for nicotine. This means that nicotine binds to this receptor at a lower concentration than is required for the α7 nAChRs.

Most work in the alcohol–nicotine field has focused on the 4β2 and α7 nAChR subtypes, and this review will mostly focus on these. However, there also has been much interest recently in nAChR subtypes that interact with and are blocked by a substance called α-conotoxin MII (α-CtxMII)—a toxin isolated from certain sea snails. As described in the Sidebar, these receptors may mediate not only some of nicotine’s effects but may also modulate some of the rewarding properties of alcohol (Larsson et al. 2004).

Alcohol’s Actions on Specific nAChR Subtypes

Alcohol and nicotine both act on the brain, and because so many people use and abuse both drugs it is likely that both drugs act on at least some of the same brain structures. One of the most probable places for alcohol and nicotine to interact is at nAChRs. Over the last two decades, numerous studies have shown that alcohol affects many different types of ion channels, including ligand-gated ion channel receptors (Narahashi et al. 2001). Moreover, researchers have demonstrated that alcohol can directly act on different nAChR subtypes. Many of these studies have been done using cloned receptors that were introduced into and produced (expressed) in frog eggs (Xenopus oocytes). Because these eggs do not normally express nAChRs, they provide a model system in which only the introduced nAChR subtype is produced. This design allows investigators to study, for example, alcohol’s or nicotine’s effects on one specific nAChR subtype without having to distinguish between diverse effects on different receptor subtypes.

Cardoso and colleagues (1999) have used such an oocyte system to express different human nAChR subtypes and study alcohol’s effects on them. The investigators found that alcohol enhances the function of several nAChR subtypes (i.e., α4β2, α4β4, α2β2, and α2β4) but has little effect on the function of other subtypes (i.e., α3β2 and α3β4). Moreover, studies in which either human or rat α7 nAChRs were expressed in oocytes demonstrated that alcohol inhibits this receptor subtype (Yu et al. 1996; de Fiebre and de Fiebre 2005; Cardoso et al. 1999).

Although frog oocytes offer a convenient way to study individual nAChRs, they are an artificial experimental system and one cannot exclude the possibility that the artificially expressed receptors differ in structure or function from those naturally expressed in brain cells. Therefore, Narahashi and colleagues (1999, 2001) also have studied nAChRs in neurons that were isolated from rat cerebral cortex and then grown in a cell culture dish. These studies demonstrated that naturally expressed nAChRs also are affected by alcohol. By treating neuronal cultures with several different drugs that have known effects on different nAChR subtypes, the researchers were able to determine which nAChR subtypes were affected by alcohol. Based on these analyses, the investigators concluded that both α4β2 nAChRs and α7 nAChRs were affected by alcohol. These studies confirm the results obtained using frog eggs, demonstrating that alcohol enhanced...
the function of naturally expressed $\alpha_4\beta_2$ nAChRs and inhibited the activity of naturally expressed $\alpha_7$ nAChRs.

**INTERACTIONS OF ALCOHOL AND NICOTINE AT nACHRS**

Nicotine’s effects at nAChRs are complex. Nicotine not only activates nAChRs but also can quickly inactivate these receptors via a process called desensitization.\(^3\) In fact, Brody and colleagues (2006) recently reported that with the amount of nicotine consumed by most cigarette smokers, the majority of $\alpha_4\beta_2$ nAChRs should be in a continuous state of desensitization. It is not clear whether the nicotine-induced desensitization of nAChRs causes a smoker to no longer experience some of the effects of nicotine or if it actually produces an effect that smokers seek. Interestingly, Marszalec and colleagues (1999) have shown that alcohol interferes with the nicotine-induced desensitization of $\alpha_4\beta_2$ nAChRs. As a result, alcohol may reverse some of the desensitization caused by smoking at these nAChRs. Whether this contributes to the co-use of alcohol and nicotine is not known.

By enhancing or inhibiting the function of different nAChR subtypes, alcohol not only affects normal signal transmission at these receptors by the neurotransmitter acetylcholine but also affects nicotine-induced signaling processes. It is plausible that such interactions between alcohol and nicotine at nAChRs may contribute to the common co-use of alcohol and tobacco products. However, researchers have not yet conclusively demonstrated if and how these interactions contribute to this most common form of polydrug abuse. What has been shown, however, is that chronic use of both alcohol and nicotine can alter the number of nAChRs in the brain.

**Modulation of nACHR Numbers by Alcohol and Nicotine**

Studies conducted in the early 1980s first demonstrated that chronic nicotine treatment can cause an increase in the number of nAChRs in the brains of rodents. Moreover, several of these studies indicated that genetic factors influence the degree to which nicotine increases nAChR numbers in a given individual. Finally, the degree of increase differed among different brain regions and nAChR subtypes (Marks et al. 1991). In general, nicotine induced greater increases in $\alpha_4\beta_2$ nAChR numbers than in $\alpha_7$ nAChR numbers.

Similar findings have been reported in studies using rodent and human tissue, the effects of smoking on $\alpha_4$- and $\alpha_7$-containing nAChRs were independent of each other and differed among the brain regions examined. The most preva-

\(^3\)Desensitization refers to the ability of some drugs to rapidly “turn off” (desensitize) a receptor such that it temporarily can no longer be activated by that drug or other drugs.

**THE ROLE OF GENES FOR nACHRs IN DETERMINING ALCOHOL AND NICOTINE EFFECTS**

For many years, it has been established that a person’s risk of becoming alcohol dependent is determined in part by that...
person’s genetic makeup. More recently, researchers also demonstrated that the risk of becoming a smoker (nicotine dependent) is determined in part by a person’s genetic makeup as well. The common co-occurrence of drinking and smoking leads to the question of whether the same or similar genes control the development of both alcohol and nicotine dependence.

To assess whether the genes that control sensitivity to certain effects of alcohol also control some aspect of sensitivity to the effects of nicotine, researchers have conducted studies with mice and rats selectively bred to differ in sensitivity to a specific action of alcohol, such as the LS and SS mice described above and their rat equivalents, the high alcohol sensitivity (HAS) and low alcohol sensitivity (LAS) rats (de Fiebre and Collins 1992; de Fiebre et al. 2002).

These studies found that animals with high sensitivity to alcohol’s sedative effects (i.e., LS mice and HAS rats) also were more sensitive to some of nicotine’s effects than animals with low sensitivity to alcohol’s effects (i.e., SS mice and LAS rats). These results suggest that there is some, albeit not complete, overlap in the genes that control sensitivity to both alcohol and nicotine. Numbers of nAChRs do not appear to control the differential sensitivity of LS versus SS mice or HAS versus LAS rats to either acute alcohol or nicotine. It is possible, however, that differences in the genes encoding the nAChR subunits may be responsible for differences in sensitivity to alcohol and nicotine.

Naturally Occurring Alternate Forms of nAChR Subunit Genes

The statement that individuals differ in their genetic makeup does not mean that they carry completely different genes. For example, it would not be expected that one individual would have a gene for the α2 nAChR subunit and another person would have a gene for the β4 nAChR subunit in its place. Instead, both individuals would carry the genes for both the α2 and β4 nAChR subunits, but the chemical makeup of the α2 and β4 nAChR genes may differ slightly between the two individuals. These variations within a gene, which are known as polymorphisms, could result in differences in the protein structure of the α2 and β4 nAChR subunit. These structural differences, in turn, could lead to differences in how the α2- or β4-containing nAChRs respond to nicotine, alcohol, or other drugs and, consequently, in a person’s sensitivity to these drugs. Researchers have investigated the effects of naturally occurring polymorphisms in both α4 and α7 nAChR subunits.

Polymorphisms in α4 nAChR Subunits. Stitzel, Collins, and colleagues have studied two polymorphic forms of the α4 nAChR subunit (Dobelis et al. 2002). The genes encoding these two alternate forms differ at a single site, resulting in a difference in one of the 628 amino acids that make up each α4 nAChR subunit protein. Despite this apparently minor change in the subunit protein, nAChRs containing these different forms of the α4 subunit differ both in how well nicotine activates these receptors (Dobelis et al. 2002) and in the ability of alcohol to enhance the function of these receptors (Butt et al. 2003). Interestingly, these alternate forms of the α4 nAChR subunit gene were first identified in the LS and SS mice (Stitzel et al. 2001). Whether the α4 nAChR polymorphisms are responsible, at least in part, for the differences between LS and SS mice in sensitivity to both alcohol and nicotine remains unknown. Studies in so-called recombinant inbred strains derived from the LS and SS mice, however, have shown that the α4 nAChR polymorphisms are associated with alcohol’s ability to suppress the extent to which mice are startled by a loud noise (i.e., the acoustic startle response) (Owens et al. 2003).

Several other studies have examined the influence of polymorphisms in the α4 nAChR gene on other variables related to alcohol and nicotine use. For example, Butt and colleagues (2005) determined that mice expressing the different forms of the α4 nAChR subunit differ in how much they “like” nicotine when given a choice between normal tap water and water containing nicotine. Although the animals also differ in how much they “like” alcohol, this is attributed to a gene nearby the α4 nAChR gene and not the result of the gene encoding the α4 nAChR subunit. Lastly, the two forms of the α4 nAChR appear to modulate the hyperexcitability that occurs during alcohol withdrawal (i.e., when alcohol is suddenly withheld following long-term administration) (Butt et al. 2004).

Polymorphisms in the α7 nAChR Subunits. Researchers also have identified alternate forms of the α7 nAChR subunit gene of mice (Stitzel et al. 1996). Unlike the α4 nAChR gene polymorphism described above, however, the α7 nAChR gene polymorphism does not lead to differences in the α7 nAChR proteins but does lead to differences in the levels of α7 nAChRs that are produced and in the pattern of α7 nAChR expression during different phases of development. Because alcohol directly acts on α7 nAChRs and because, as described below, α7 nAChRs appear to be involved in modulating several actions of alcohol, it is possible that these α7 nAChR polymorphisms influence the actions of alcohol. However, this hypothesis has not yet been experimentally investigated.

Polymorphisms in Other nAChR Subunits. Experiments conducted in mice have indicated that there also may be α5 and α6 nAChR subunit polymorphisms; however, to date it is not clear whether the observed variations are located directly in the α5 and α6 nAChR subunit genes or in the vicinity of these genes. Nevertheless, studies have suggested that these differences modulate differential responses to some of the effects of nicotine (Stitzel et al. 1998; Tritto et al. 2002). Whether they also modulate differential responses to some of the effects of alcohol remains to be determined.

Studies in Genetically Modified Mice

A powerful molecular biological tool to study the effects of certain genes (e.g., of genes suspected to influence the...
actions of alcohol and/or nicotine) is the generation of genetically modified mice. Of importance to research into interactions between alcohol and nicotine are primarily two groups of such animals:

- “Knock-out” or null mutant mice, in which a single gene (e.g., the gene for a single nAChR subunit) has been deleted so that these animals no longer produce the protein of the deleted gene. For example, β2 nAChR knock-out mice no longer express the β2 nAChR subunit protein and, therefore, do not produce any nAChR subtypes that contain the β2 subunit (e.g., α4β2 nAChRs).

- “Knock-in” mice, in which a single gene is introduced into animals to replace a normal gene. Often, these animals produce a protein that is altered or is produced at higher levels than the original protein.

Studies Using “Knock-Out” Mice. Although many different nAChR knock-out mice are available, very few studies have used these mice to determine the role of nAChRs in modulating the effects of alcohol or the interactions between alcohol and nicotine. Owens and colleagues (2003) used β2 nAChR knock-out mice to determine whether β2-containing nAChRs (primarily α4β2 nAChRs) influence any of the effects of alcohol. This study found that β2 knock-out mice exhibited reduced sensitivity to alcohol-induced depression of the acoustic startle response described above, suggesting that β2-containing receptors modulate this effect of alcohol.

Wehner and colleagues (2004) studied mice in which the α7, β2, β3, or β4 nAChR subunit genes had been knocked out to determine the role of these genes in a specific type of learning that involves an animal’s ability to associate a noxious stimulus with environmental cues. These studies suggested that α7 nAChRs, but not nAChRs containing the other subunits, are involved in modulating alcohol’s ability to disrupt this type of learning.

Also, studies of α7 nAChR knock-out mice have demonstrated that this receptor is involved in modulating several behavioral and physiological effects of alcohol (Bowers et al. 2005). Compared with mice that expressed α7 nAChRs, α7 nAChR knock-out mice showed the following behaviors in response to alcohol:

- Greater enhancement of activity in a brightly lit circular arena,

- More pronounced hypothermic (i.e., body temperature-lowering) response,

- Longer “sleep-time” (duration of alcohol-induced unconsciousness).

Several other measures of the animals’ response to alcohol did not differ between the knock-out mice and normal animals, indicating that the α7 gene influences only a subset of alcohol’s effects.

Studies Using “Knock-In” Mice. In the study by Owens and colleagues (2003) mentioned above, the researchers also examined mice in which a hyperexcitable α4 nAChR subunit gene was “knocked-in.” In these mice, the normal α4 nAChR gene was replaced with a gene encoding an α4 nAChR protein that differs at only a single amino acid from normal α4 nAChR subunits but produces nAChRs that are many times more sensitive to activation by nicotine than normal α4-containing receptors. As expected, knock-in of this mutant subunit gene had the opposite effect of deleting the β2 nAChR gene: Mice expressing the hyperexcitable α4 nAChR gene were more sensitive to alcohol’s ability to depress the acoustic startle response than were animals expressing the normal α4 nAChR gene.

Role of nAChRs in Modulating Alcohol-Induced Neurotoxicity

Researchers also have begun to investigate if and how specific nAChR subtypes contribute to and modulate alcohol’s brain-damaging (i.e., neurotoxic) effects. (For more information on the interactions between alcohol and nicotine, as well as between alcohol and nAChRs, in modulating alcohol’s neurotoxic effects, see the article by Funk and colleagues in this issue.) Studies using cultured neurons derived from α7 nAChR knock-out mice demonstrated that the absence of α7 nAChR renders neurons more susceptible to alcohol’s toxic effects (de Fiebre and de Fiebre 2005). This observation suggests that α7 nAChRs may somehow protect the cells against the neurotoxic properties of alcohol and complements findings that α7 nAChRs modulate the neurotoxicity associated with alcohol withdrawal (Mulholland et al. 2003).

Other investigators have shown that nicotine can prevent alcohol-induced death of cultured neurons derived from two different brain regions, the cerebellum and cerebral cortex (Tizabi et al. 2003, 2004). These studies also have demonstrated that agents which selectively block either α4β2 or α7 nAChRs can interfere with these protective effects of nicotine. Interestingly, the investigators noted differences in the potency of the blocking agents across brain regions. This suggests that the nAChR subtypes involved in the protective effects of nicotine may differ between the cerebellum and cerebral cortex.

Conclusion and Future Outlook

Although this review may give the impression that much is known about the nAChRs involved in modulating some of the interactions between alcohol and nicotine, great gaps in knowledge remain regarding these interactions and how they modulate the acute and chronic actions of both drugs. Moreover, researchers still do not know how these interactions modulate the development and maintenance of co-dependence on alcohol and tobacco. Elucidation of these processes is of utmost importance because smoking has been identified as one of the most important risk factors for alcoholism. Despite the importance of smoking/nicotine use in
alcoholism, only a small fraction of research into the biology of alcohol has focused on the mechanisms involved in modulating the co-use and abuse of alcohol and nicotine. It is imperative that researchers gain a greater understanding of the role of nAChRs in modulating alcohol–nicotine interactions in order to more clearly understand the factors involved in the development of alcoholism. Such an understanding should lead to more effective treatments for alcohol and/or tobacco dependence.

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The authors declare that they have no competing financial interests.

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As discussed in the main article, most of the research examining the interactions of alcohol and nicotine in the brain has focused on the two most prevalent subtypes of nAChRs, namely the α4β2 and α7 nAChR subtypes. Recently, however, alcohol researchers have begun to examine another group of nAChRs that are blocked by a toxin known as α-conotoxin MII (α-CtxMII), which is derived from a type of sea snail. There are two types of these receptors: One type appears to be composed of α4, α6, β2, and β3 nAChR subunits and the other appears to be composed of α6, β2, and β3 nAChR subunits (Salminen et al. 2005). Although relatively little is known about these α-CtxMII nAChRs, they have begun to receive more interest because they appear to be involved, at least in part, in modulating the nicotine- and alcohol-induced release of the neurotransmitter dopamine in a brain region called the nucleus accumbens. Dopamine release in this brain region is thought to be a central event in the brain’s reward system that is stimulated by alcohol, nicotine, and other drugs of abuse.

Over the last decade, researchers in Sweden have investigated the role of nAChRs in modulating the stimulatory and rewarding properties of alcohol (Larsson and Engel 2004). Recently, these investigators examined how α-CtxMII nAChRs modulate the actions of alcohol, specifically the alcohol-induced dopamine release in the nucleus accumbens (Larsson et al. 2004). To this end, the investigators applied α-CtxMII into a brain area called the ventral tegmental area (VTA), where the neurons that release dopamine in the nucleus accumbens originate. The study found that α-CtxMII administration into the VTA reduced alcohol-induced release of dopamine and also reduced the stimulation of locomotor activity by alcohol after α-CtxMII administration to the VTA. Collectively, these data suggest that α-CtxMII nAChRs in the VTA may be involved in modulating the rewarding properties of alcohol.

Although these data are exciting, much remains to be learned about alcohol’s actions at the α-CtxMII nAChRs. For example, researchers do not know yet whether alcohol acts directly at these nAChRs and what the nature of these actions is. Because α-CtxMII nAChRs appear to contain an α6 nAChR subunit, knock-out of the α6 nAChR subunit gene would be expected to have pronounced effects on the actions of alcohol. However, such analyses have yet to be conducted. The interaction between nicotine and alcohol at these α-CtxMII nAChRs, as well as the contribution of such interactions to the co-abuse of alcohol and nicotine, also still remain to be examined.

—Tiffany J. Davis and Christopher M. de Fiebre

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