Supervised Domain Adaption for WSD

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Abstract

The lack of positive results on supervised domain adaptation for WSD have cast some doubts on the utility of hand-tagging general corpora and thus developing generic supervised WSD systems. In this paper we show for the first time that our WSD system trained on a general source corpus (BNC) and the target corpus, obtains up to 22% error reduction when compared to a system trained on the target corpus alone. In addition, we show that as little as 40% of the target corpus (when supplemented with the source corpus) is sufficient to obtain the same results as training on the full target data. The key for success is the use of unlabeled data with SVD, a combination of kernels and SVM.

1 Introduction

In many Natural Language Processing (NLP) tasks we find that a large collection of manually-annotated text is used to train and test supervised machine learning models. While these models have been shown to perform very well when tested on the text collection related to the training data (what we call the source domain), the performance drops considerably when testing on text from other domains (called target domains).

In order to build models that perform well in new (target) domains we usually find two settings (Daumé III, 2007). In the semi-supervised setting, the training hand-annotated text from the source domain is supplemented with unlabeled data from the target domain. In the supervised setting, we use training data from both the source and target domains to test on the target domain.

In (Agirre and Lopez de Lacalle, 2008) we studied semi-supervised Word Sense Disambiguation (WSD) adaptation, and in this paper we focus on supervised WSD adaptation. We compare the performance of similar supervised WSD systems on three different scenarios. In the source to target scenario the WSD system is trained on the source domain and tested on the target domain. In the target scenario the WSD system is trained and tested on the target domain (using cross-validation). In the adaptation scenario the WSD system is trained on both source and target domain and tested in the target domain (also using cross-validation over the target data). The source to target scenario represents a weak baseline for domain adaptation, as it does not use any examples from the target domain. The target scenario represents the hard baseline, and in fact, if the domain adaptation scenario does not yield better results, the adaptation would have failed, as it would mean that the source examples are not useful when we do have hand-labeled target examples.

Previous work shows that current state-of-the-art WSD systems are not able to obtain better results on the adaptation scenario compared to the target scenario (Escudero et al., 2000; Agirre and Martínez, 2004; Chan and Ng, 2007). This would mean that if a user of a generic WSD system (i.e. based on hand-annotated examples from a generic corpus) would need to adapt it to a specific domain, he would be better off throwing away the generic examples and hand-tagging domain examples directly. This paper will show that domain adaptation is feasible, even for difficult domain-related words, in the sense that generic corpora can be reused when deploying WSD systems in specific domains. We will also show that, given the source corpus, our technique can save up to 60% of effort when tagging domain-related occurrences.

We performed on a publicly available corpus which was designed to study the effect of domains in WSD (Koeling et al., 2005). It comprises 41
nouns which are highly relevant in the SPORTS and FINANCES domains, with 300 examples for each. The use of two target domains strengthens the conclusions of this paper.

Our system uses Singular Value Decomposition (SVD) in order to find correlations between terms, which are helpful to overcome the scarcity of training data in WSD (Gliozzo et al., 2005). This work explores how this ability of SVD and a combination of the resulting feature spaces improves domain adaptation. We present two ways to combine the reduced spaces: kernel combination with Support Vector Machines (SVM), and\( k \)-Nearest-Neighbors (\( k \)-NN) combination.

The paper is structured as follows. Section 2 reviews prior work in the area. Section 3 presents the data sets used. In Section 4 we describe the learning features, including the application of SVD, and in Section 5 the learning methods and the combination. The experimental results are presented in Section 6. Section 7 presents the discussion and some analysis of this paper and finally Section 8 draws the conclusions.

2 Prior work

Domain adaptation is a practical problem attracting more and more attention. In the supervised setting, a recent paper by Daumé III (2007) shows that a simple feature augmentation method for SVM is able to effectively use both labeled target and source data to provide the best domain-adaptation results in a number of NLP tasks. His method improves or equals over previously explored more sophisticated methods (Daumé III and Marcu, 2006; Chelba and Acero, 2004). The feature augmentation consists in making three versions of the original features: a general, a source-specific and a target-specific versions. That way the augmented source contains the general and source-specific version and the augmented target data general and specific versions. The idea behind this is that target domain data has twice the influence as the source when making predictions about test target data. We reimplemented this method and show that our results are better.

Regarding WSD, some initial works made a basic analysis of domain adaptation issues. Escudero et al. (2000) tested the supervised adaptation scenario on the DSO corpus, which had examples from the Brown corpus and Wall Street Journal corpus. They found that the source corpus did not help when tagging the target corpus, showing that tagged corpora from each domain would suffice, and concluding that hand tagging a large general corpus would not guarantee robust broad-coverage WSD. Agirre and Martínez (2000) used the DSO corpus in the supervised scenario to show that training on a subset of the source corpora that is topically related to the target corpus does allow for some domain adaptation.

More recently, Chan and Ng (2007) performed supervised domain adaptation on a manually selected subset of 21 nouns from the DSO corpus. They used active learning, count-merging, and predominant sense estimation in order to save target annotation effort. They showed that adding just 30% of the target data to the source examples the same precision as the full combination of target and source data could be achieved. They also showed that using the source corpus allowed to significantly improve results when only 10%-30% of the target corpus was used for training. Unfortunately, no data was given about the target corpus results, thus failing to show that domain-adaptation succeeded. In followup work (Zhong et al., 2008), the feature augmentation approach was combined with active learning and tested on the OntoNotes corpus, on a large domain-adaptation experiment. They reduced significantly the effort of hand-tagging, but only obtained domain-adaptation for smaller fractions of the source and target corpus. Similarly to these works we show that we can save annotation effort on the target corpus, but, in contrast, we do get domain adaptation when using the full dataset. In a way our approach is complementary, and we could also apply active learning to further reduce the number of target examples to be tagged.

Though not addressing domain adaptation, other works on WSD also used SVD and are closely related to the present paper. Ando (2006) used Alternative Structured Optimization. She first trained one linear predictor for each target word, and then performed SVD on 7 carefully selected submatrices of the feature-to-predictor matrix of weights. The system attained small but consistent improvements (no significance data was given) on the Senseval-3 lexical sample datasets using SVD and unlabeled data.

Gliozzo et al. (2005) used SVD to reduce the space of the term-to-document matrix, and then computed the similarity between train and test
instances using a mapping to the reduced space (similar to our SMA method in Section 4.2). They combined other knowledge sources into a complex kernel using SVM. They report improved performance on a number of languages in the Senseval-3 lexical sample dataset. Our present paper differs from theirs in that we propose an additional method to use SVD (the OMT method), and that we focus on domain adaptation.

In the semi-supervised setting, Blitzer et al. (2006) used Structural Correspondence Learning and unlabeled data to adapt a Part-of-Speech tagger. They carefully select so-called ‘pivot features’ to learn linear predictors, perform SVD on the weights learned by the predictor, and thus learn correspondences among features in both source and target domains. Our technique also uses SVD, but we directly apply it to all features, and thus avoid the need to define pivot features. In preliminary work we unsuccessfully tried to carry along the idea of pivot features to WSD. On the contrary, in (Agirre and Lopez de Lacalle, 2008) we show that methods closely related to those presented in this paper produce positive semi-supervised domain adaptation results for WSD.

The methods used in this paper originated in (Agirre et al., 2005; Agirre and Lopez de Lacalle, 2007), where SVD over a feature-to-documents matrix improved WSD performance with and without unlabeled data. The use of several $k$-NN classifiers trained on a number of reduced and original spaces was shown to get the best results in the Senseval-3 dataset and ranked second in the SemEval 2007 competition. The present paper extends this work and applies it to domain adaptation.

3 Data sets

The dataset we use was designed for domain-related WSD experiments by Koeling et al. (2005), and is publicly available. The examples come from the BNC (Leech, 1992) and the SPORTS and FINANCES sections of the Reuters corpus (Rose et al., 2002), comprising around 300 examples (roughly 100 from each of those corpora) for each of the 41 nouns. The nouns were selected because they were salient in either the SPORTS or FINANCES domains, or because they had senses linked to those domains. The occurrences were hand-tagged with the senses from WordNet (WN) version 1.7.1 (Fellbaum, 1998). In our experiments the BNC examples play the role of general source corpora, and the FINANCES and SPORTS examples the role of two specific domain target corpora.

Compared to the DSO corpus used in prior work (cf. Section 2) this corpus has been explicitly created for domain adaptation studies. DSO contains texts coming from the Brown corpus and the Wall Street Journal, but the texts are not classified according to specific domains (e.g. Sports, Finances), which make DSO less suitable to study domain adaptation. The fact that the selected nouns are related to the target domain makes the (Koeling et al., 2005) corpus more demanding than the DSO corpus, because one would expect the performance of a generic WSD system to drop when moving to the domain corpus for domain-related words (cf. Table 1), while the performance would be similar for generic words.

In addition to the labeled data, we also use unlabeled data coming from the three sources used in the labeled corpus: the ‘written’ part of the BNC (89.7M words), the FINANCES part of Reuters (32.5M words), and the SPORTS part (9.1M words).

4 Original and SVD features

In this section, we review the features and two methods to apply SVD over the features.

4.1 Features

We relied on the usual features used in previous WSD work, grouped in three main sets. Local collocations comprise the bigrams and trigrams formed around the target word (using either lemmas, word-forms, or PoS tags) , those formed with the previous/posterior lemma/word-form in the sentence, and the content words in a ±4-word window around the target. Syntactic dependencies use the object, subject, noun-modifier, preposition, and sibling lemmas, when available. Finally, Bag-of-words features are the lemmas of the content words in the whole context, plus the salient bigrams in the context (Pedersen, 2001). We refer to these features as original features.

4.2 SVD features

Apart from the original space of features, we have used the so called SVD features, obtained from the projection of the feature vectors into the reduced space (Deerwester et al., 1990). Basically,
we set a term-by-document or feature-by-example matrix $M$ from the corpus (see section below for more details). SVD decomposes $M$ into three matrices, $M = U \Sigma V^T$. If the desired number of dimensions in the reduced space is $p$, we select $p$ rows from $\Sigma$ and $V$, yielding $\Sigma_p$ and $V_p$ respectively. We can map any feature vector $\tilde{f}$ (which represents either a train or test example) into the $p$-dimensional space as follows: $\tilde{f}_p = \tilde{f}^T V_p \Sigma_p^{-1}$. Those mapped vectors have $p$ dimensions, and each of the dimensions is what we call a SVD feature. We have explored two different variants in order to build the reduced matrix and obtain the SVD features, as follows.

**Single Matrix for All target words (SVD-SMA).** The method comprises the following steps: (i) extract bag-of-word features (terms in this case) from unlabeled corpora, (ii) build the term-by-document matrix, (iii) decompose it with SVD, and (iv) map the labeled data (train/test). This technique is very similar to previous work on SVD (Gliozzo et al., 2005; Zelikovitz and Hirsh, 2001). The dimensionality reduction is performed once, over the whole unlabeled corpus, and it is then applied to the labeled data of each word. The reduced space is constructed only with terms, which correspond to bag-of-words features, and thus discards the rest of the features. Given that the WSD literature shows that all features are necessary for optimal performance (Pradhan et al., 2007), we propose the following alternative to construct the matrix.

**One Matrix per Target word (SVD-OMT).** For each word: (i) construct a corpus with its occurrences in the labeled and, if desired, unlabeled corpora, (ii) extract all features, (iii) build the feature-by-example matrix, (iv) decompose it with SVD, and (v) map all the labeled training and test data for the word. Note that this variant performs one SVD process for each target word separately, hence its name.

When building the SVD-OMT matrices we can use only the training data (TRAIN) or both the train and unlabeled data (+UNLAB). When building the SVD-SMA matrices, given the small size of the individual word matrices, we always use both the train and unlabeled data (+UNLAB). Regarding the amount of data, based also on previous work, we used 50% of the available data for OMT, and the whole corpora for SMA. An important parameter when doing SVD is the number of dimensions in the reduced space ($p$). We tried two different values for $p$ (25 and 200) in the BNC domain, and set a dimension for each classifier/matrix combination.

### 4.3 Motivation

The motivation behind our method is that although the train and test feature vectors overlap sufficiently in the usual WSD task, the domain difference makes such overlap more scarce. SVD implicitly finds correlations among features, as it maps related features into nearby regions in the reduced space. In the case of SMA, SVD is applied over the joint term-by-document matrix of labeled (and possibly unlabeled corpora), and it thus can find correlations among closely related words (e.g. *cat* and *dog*). These correlations can help reduce the gap among bag-of-words features from the source and target examples. In the case of OMT, SVD over the joint feature-by-example matrix of labeled and unlabeled examples of a word allows to find correlations among features that show similar occurrence patterns in the source and target corpora for the target word.

### 5 Learning methods

$k$-NN is a memory based learning method, where the neighbors are the $k$ most similar labeled examples to the test example. The similarity among instances is measured by the cosine of their vectors. The test instance is labeled with the sense obtaining the maximum sum of the weighted vote of the $k$ most similar contexts. We set $k$ to 5 based on previous results published in (Agirre and Lopez de Lacalle, 2007).

Regarding SVM, we used linear kernels, but also purpose-built kernels for the reduced spaces and the combinations (cf. Section 5.2). We used the default soft margin ($C=0$). In previous experiments we learnt that $C$ is very dependent on the feature set and training data used. As we will experiment with different features and training datasets, it did not make sense to optimize it across all settings.

We will now detail how we combined the original and SVD features in each of the machine learning methods.

#### 5.1 k-NN combinations

Our $k$-NN combination method (Agirre et al., 2005; Agirre and Lopez de Lacalle, 2007) takes
advantage of the properties of $k$-NN classifiers and exploit the fact that a classifier can be seen as $k$ points (number of nearest neighbor) each casting one vote. This makes easy to combine several classifiers, one for each feature space. For instance, taking two $k$-NN classifiers of $k = 5$, $C_1$ and $C_2$, we can combine them into a single $k = 10$ classifier, where five votes come from $C_1$ and five from $C_2$. This allows to smoothly combine classifiers from different feature spaces.

In this work we built three single $k$-NN classifiers trained on OMT, SMA and the original features, respectively. In order to combine them we weight each vote by the inverse ratio of its position in the rank of the single classifier, $(k - r_i + 1)/k$, where $r_i$ is the rank.

### 5.2 Kernel combination

The basic idea of kernel methods is to find a suitable mapping function ($\phi$) in order to get a better generalization. Instead of doing this mapping explicitly, kernels give the chance to do it inside the algorithm. We will formalize it as follows. First, we define the mapping function $\phi : \mathcal{X} \to \mathcal{F}$. Once the function is defined, we can use it in the kernel function in order to become an implicit function $K(x, z) = \langle \phi(x) \cdot \phi(z) \rangle$, where $\langle \cdot \rangle$ denotes an inner product between vectors in the feature space. This way, we can very easily define mappings representing different information sources and use this mappings in several machine learning algorithm. In our work we use SVM.

We defined three individual kernels (OMT, SMA and original features) and the combined kernel.

The **original feature kernel** ($K_{\text{Orig}}$) is given by the identity function over the features $\phi : \mathcal{X} \to \mathcal{X}$, defining the following kernel:

$$K_{\text{Orig}}(x_1, x_j) = \frac{\langle x_1 \cdot x_j \rangle}{\sqrt{\langle x_1 \cdot x_1 \rangle \langle x_j \cdot x_j \rangle}}$$

where the denominator is used to normalize and avoid any kind of bias in the combination.

The **OMT kernel** ($K_{\text{OMT}}$) and **SMA kernel** ($K_{\text{SMA}}$) are defined using OMT and SMA projection matrices, respectively (cf. Section 4.2). Given the OMT function mapping $\phi_{\text{OMT}} : \mathbb{R}^m \to \mathbb{R}^p$, where $m$ is the number of the original features and $p$ the reduced dimensionality, then we define $K_{\text{OMT}}(x_1, x_j)$ as follows ($K_{\text{SMA}}$ is defined similarly):

$$\frac{\langle \phi_{\text{OMT}}(x_1) \cdot \phi_{\text{OMT}}(x_j) \rangle}{\sqrt{\langle \phi_{\text{OMT}}(x_1) \cdot \phi_{\text{OMT}}(x_1) \rangle \langle \phi_{\text{OMT}}(x_j) \cdot \phi_{\text{OMT}}(x_j) \rangle}}$$

### 6 Domain adaptation experiments

In this section we present the results in our two reference scenarios (source to target, target) and our reference scenario (domain adaptation). Note that all methods presented here have full coverage, i.e. they return a sense for all test examples, and therefore precision equals recall, and suffices to compare among systems.

#### 6.1 Source to target scenario: BNC $\to \mathcal{X}$

In this scenario our supervised WSD systems are trained on the general source corpus (BNC) and tested on the specific target domains separately (SPORTS and FINANCES). We do not perform any kind of adaptation, and therefore the results are those expected for a generic WSD system when applied to domain-specific texts.

|                  | SPORTS | FINANCES |
|------------------|-------|----------|
| MFS              | 39.0  | 51.2     |
| $k$-NN           | 51.7  | 60.4     |
| SVM              | 53.9  | 62.9     |

Table 1: Source to target results: Train on BNC, test on SPORTS and FINANCES.

Finally, we define the kernel combination:

$$K_{\text{Comb}}(x_1, x_j) = \sum_{i=1}^{n} \frac{K_i(x_1, x_j)}{\sqrt{K_i(x_1, x_1)K_i(x_j, x_j)}}$$

where $n$ is the number of single kernels explained above, and $l$ the index for the kernel type.

#### 6.2 Target scenario $\mathcal{X} \to \mathcal{X}$

In this scenario we lay the harder baseline which the domain adaptation experiments should improve on (cf. next section). The WSD systems are trained and tested on each of the target corpora (SPORTS and FINANCES) using 3-fold cross-validation.
Table 2: Target results: train and test on SPORTS, train and test on FINANCES, using 3-fold cross-validation.

| $\mathcal{X} \rightarrow \mathcal{X}$ | SPORTS | FINANCES |
|--------------------------------------|--------|----------|
| MFS                                  | 77.8 -  | 82.3 -   |
| $k$-NN                               | 84.5 -  | 87.1 -   |
| SVM                                  | 85.1 -  | 87.0 -   |
| $k$-NN-OMT                           | 85.0 -  | 87.3 87.6|
| SVM-OMT                              | 82.9 -  | 85.3 86.4|
| $k$-NN-SMA                           | - 81.1 - 83.2 |
| SVM-SMA                              | - 81.3 - 84.1 |
| $k$-NN-COMB                          | 86.0 86.7| 87.9 88.6|
| SVM-COMB                             | - 86.5 - 88.5 |

Table 3: Domain adaptation results: Train on BNC and SPORTS, test on SPORTS (same for FINANCES).

and SVM combinations perform similarly.

In the combination strategy we show that unlabeled data helps slightly, because instead of only combining OMT and original features we have the opportunity to introduce SMA. Note that it was not our aim to improve the results of the basic classifiers on this scenario, but given the fact that we are going to apply all these techniques in the domain adaptation scenario, we need to show these results as baselines. That is, in the next section we will try to obtain results which improve significantly over the best results in this section.

6.3 Domain adaptation scenario

BNC + $\mathcal{X}$ → $\mathcal{X}$

In this last scenario we try to show that our WSD system trained on both source (BNC) and target (SPORTS and FINANCES) data performs better than the one trained on the target data alone. We also use 3-fold cross-validation for the target data, but the entire source data is used in each turn. The unlabeled data here refers to the combination of unlabeled source and target data.

The results are presented in table 3. Again, the columns denote if unlabeled data has been used in the learning process. The rows correspond to classifiers and the feature spaces involved. The first rows report the best results in the previous scenarios: BNC → $\mathcal{X}$ for the source to target scenario, and $\mathcal{X}$ → $\mathcal{X}$ for the target scenario. The rest of the table corresponds to the domain adaptation scenario. The rows below correspond to MFS and the baseline classifiers, followed by the OMT and SMA results, and the combination results. The last row shows the results for the feature augmentation algorithm (Daumé III, 2007).
Table 4: The most important results in each scenario.

|          | SPORTS | FINANCES |
|----------|--------|----------|
| BNC → X  | MFS 39.0 | 51.2 |
| SVM      | 53.9  | 62.9 |
| X → X    | MFS 77.8 | 82.3 |
| SVM      | 85.1  | 87.0 |
| k-NN-COMB (+UNLAB) | 86.7  | 88.6 |

Focusing on the results, the table shows that MFS decreases with respect to the target scenario (cf. Table 2) when the source data is added, probably caused by the different sense distributions in BNC and the target corpora. The baseline classifiers (k-NN and SVM) are not able to improve over the baseline classifiers on the target data alone, which is coherent with past research, and shows that straightforward domain adaptation does not work.

The following rows show that our reduction methods on themselves (OMT, SMA used by k-NN and SVM) also fail to perform better than in the target scenario, but the combinations using unlabeled data (k-NN-COMB and specially SVM-COMB) do manage to improve the best results for the target scenario, showing that we were able to attain domain adaptation. The feature augmentation approach (SVM-AUG) does improve slightly over SVM in the target scenario, but not over the best results in the target scenario, showing the difficulty of domain adaptation for WSD, at least on this dataset.

7 Discussion and analysis

Table 4 summarizes the most important results. The kernel combination method with unlabeled data on the adaptation scenario reduces the error on 22.1% and 17.6% over the baseline SVM on the target scenario (SPORTS and FINANCES respectively), and 12.7% and 9.0% over the k-NN combination method on the target scenario. These gains are remarkable given the already high baseline, specially taking into consideration that the 41 nouns are closely related to the domains. The differences, including SVM-AUG, are statistically significant according to the Wilcoxon test with $p < 0.01$.

In addition, we carried extra experiments to examine the learning curves, and to check, given the source examples, how many additional examples from the target corpus are needed to obtain the same results as in the target scenario using all available examples. We fixed the source data and used increasing amounts of target data. We show the original SVM on the target scenario, and SVM-COMB (+UNLAB) and SVM-AUG as the domain adaptation approaches. The results are shown in figure 1 for SPORTS and figure 2 for FINANCES. The horizontal line corresponds to the performance of SVM on the target domain. The point where the learning curves cross the horizontal line show that our domain adaptation method needs only around 40% of the target data in order to get the same performance as the baseline SVM on the target data. The learning curves also show...
that the domain adaptation kernel combination approach, no matter the amount of target data, is always above the rest of the classifiers, showing the robustness of our approach.

8 Conclusion and future work

In this paper we explore supervised domain adaptation for WSD with positive results, that is, whether hand-labeling general domain (source) text is worth the effort when training WSD systems that are to be applied to specific domains (targets). We performed several experiments in three scenarios. In the first scenario (source to target scenario), the classifiers were trained on source domain data (the BNC) and tested on the target domains, composed by the SPORTS and FINANCES sections of Reuters. In the second scenario (target scenario) we set the main baseline for our domain adaptation experiment, training and testing our classifiers on the target domain data. In the last scenario (domain adaptation scenario), we combine both source and target data for training, and test on the target data.

We report results in each scenario for k-NN and SVM classifiers, for reduced features obtained using SVD over the training data, for the use of unlabeled data, and for k-NN and SVM combinations of all.

Our results show that our best domain adaptation strategy (using kernel combination of SVD features and unlabeled data related to the training data) yields statistically significant improvements: up to 22% error reduction compared to SVM on the target domain data alone. We also show that our domain adaptation method only needs 40% of the target data (in addition to the source data) in order to get the same results as SVM on the target alone.

We obtain coherent results in two target scenarios, and consistent improvement at all levels of the learning curves, showing the robustness of our findings. We think that our dataset, which comprises examples for 41 nouns that are closely related to the target domains, is specially demanding, as one would expect the performance of a generic WSD system to drop when moving to the domain corpus, specially on domain-related words, while we could expect the performance to be similar for generic or unrelated words.

In the future we would like to evaluate our method on other datasets (e.g. DSO or OntoNotes), to test whether the positive results are confirmed. We would also like to study word-by-word behaviour, in order to assess whether target examples are really necessary for words which are less related to the domain.

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