On the Size Complexity of Non-Returning Context-Free
PC Grammar Systems

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Improving the previously known best bound, we show that any recursively enumerable language can be generated with a non-returning parallel communicating (PC) grammar system having six context-free components. We also present a non-returning universal PC grammar system generating unary languages, that is, a system where not only the number of components, but also the number of productions and the number of nonterminals are limited by certain constants, and these size parameters do not depend on the generated language.

1 Introduction

Parallel communicating grammar systems (PC grammar systems, for short) are network architectures for distributed generation of languages [10]. In these systems, the component grammars generate their own sentential forms in parallel, and their activity is organized in a communicating system. Two basic variants of PC grammar systems are distinguished: In so-called returning systems, after communication, the component starts a new derivation (“returns” to its axiom), while in so-called non-returning systems it continues the rewriting of its current sentential form. The language generated by a PC grammar system is the set of terminal words generated by a distinguished component grammar called the master.

An important problem regarding parallel communicating grammar systems is how much succinct descriptions of languages they provide: For example, what is the minimal number of components, non-terminals, and/or productions that generating PC grammar systems (or its individual components) need to obtain a language in a certain language class. Especially interesting question is, if for a fixed language class some of these parameters can be bounded by suitable constants, how many of them can be limited at the same time.

During the years, a considerable amount of research was devoted to the examination of the power and the size of PC grammar systems with context-free components (context-free PC grammar systems), but the question whether or not these constructs are computationally complete was open for a long time. (For some basic results, consult [1][5]).

Obtained independently from each other, it was shown that both returning [3] and non-returning context-free PC grammar systems [8] are able to generate any recursively enumerable language. Since non-returning systems can be simulated with returning systems, the second result implies the first one, but in [8] no bound was given on the number of components, while the construction used in [3] provided 11
as an upper bound. In [2] this number was decreased to 5, the best known bound so far. To give an upper bound on the necessary number of components of non-returning context-free PC grammar systems which are able to generate any recursively enumerable language, a construction simulating a two-counter machine with a non-returning context-free PC grammar system with 8 components was presented in [12].

The fact that a bounded number of components is enough to generate any recursively enumerable language inspired further investigations of the size complexity of returning context-free PC grammar systems. In [4] a trade-off between the number of rules or nonterminals and the number of components is demonstrated: With no bound on the number of components, 7 rules and 8 nonterminals in each of the component grammars are sufficient to generate any recursively enumerable language, while if the number of rules and nonterminals can be arbitrary high, then the number of components can be bounded by a constant.

In this paper, we continue the above line of investigations. As an improvement of the previous bound, we show that non-returning PC grammar systems with 6 context-free components are computationally complete, i.e., they are able to determine any recursively enumerable language. Furthermore, based on the results in [7], where universal register machines with a number of rules limited by small constants are provided, we present constant bounds on the size complexity parameters of a so-called non-returning universal PC grammar system generating unary languages.

2 Preliminaries and definitions

The reader is assumed to be familiar with the basic notions of formal language theory; for further information we refer to [11]. The set of non-empty words over an alphabet $V$ is denoted by $V^+$; if the empty word, $\lambda$, is included, then we use the notation $V^\ast$. A set of words $L \subseteq V^\ast$ is called a language over $V$. For a word $w \in V^\ast$ and a set of symbols $A \subseteq V$, we denote the length of $w$ by $|w|$, and the number of occurrences of symbols from $A$ in $w$ by $|w|_A$. If $A$ is a singleton set, $A = \{a\}$, then we omit the brackets and write $|w|_a$ instead of $|w|_{\{a\}}$. The families of context-free languages and recursively enumerable languages are denoted by $\mathcal{L}(CF)$ and $\mathcal{L}(RE)$.

A two-counter machine, see [6], $M = (\Sigma \cup \{Z, B\}, E, R, q_0, q_F)$ is a 3-tape Turing machine where $\Sigma$ is an alphabet, $E$ is a set of internal states with two distinct elements $q_0, q_F \in E$, and $R$ is a set of transition rules. The machine has a read-only input tape and two semi-infinite storage tapes (the counters). The alphabet of the storage tapes contains only two symbols, $Z$ and $B$ (blank), while the alphabet of the input tape is $\Sigma \cup \{B\}$. The symbol $Z$ is written on the first, leftmost cells of the storage tapes which are scanned initially by the storage tape heads, and may never appear on any other cell. An integer $t$ can be stored by moving a tape head $t$ cells to the right of $Z$. A stored number can be incremented or decremented by moving the tape head right or left. The machine is capable of checking whether a stored value is zero or not by looking at the symbol scanned by the storage tape heads. If the scanned symbol is $Z$, then the value stored in the corresponding counter is zero (which cannot be decremented since the tape head cannot be moved to the left of $Z$).

The rule set $R$ contains transition rules of the form $(q, x, c_1, c_2) \rightarrow (q', e_1, e_2)$ where $x \in \Sigma \cup \{B\} \cup \{\lambda\}$ corresponds to the symbol scanned on the input tape in state $q \in E$, and $c_1, c_2 \in \{Z, B\}$ correspond to the symbols scanned on the storage tapes. By a rule of the above form, $M$ enters state $q' \in E$, and the counters are modified according to $e_1, e_2 \in \{-1, 0, +1\}$. If $x \in \Sigma \cup \{B\}$, then the machine was scanning $x$ on the input tape, and the head moves one cell to the right; if $x = \lambda$, then the machine performs the transition irrespective of the scanned input symbol, and the reading head does not move.

A word $w \in \Sigma^\ast$ is accepted by the two-counter machine if starting in the initial state $q_0$, the input
head reaches and reads the rightmost non-blank symbol on the input tape, and the machine is in the accepting state \( q_F \). Two-counter machines are computationally complete; they are just as powerful as Turing machines.

Now we recall the definitions concerning parallel communicating grammar systems (see [10]); for more information we refer to [1, 5].

A parallel communicating grammar system with \( n \) context-free components is an \((n+3)\)-tuple

\[
\Gamma = (\mathcal{N}, \mathcal{K}, \Sigma, G_1, \ldots, G_n), \quad n \geq 1,
\]

where \( \mathcal{N} \) is a nonterminal alphabet, \( \Sigma \) is a terminal alphabet, and \( \mathcal{K} = \{Q_1, \ldots, Q_n\} \) is an alphabet of query symbols. The sets \( \mathcal{N}, \Sigma, \mathcal{K} \) are pairwise disjoint; \( G_i = (\mathcal{N} \cup \mathcal{K}, \Sigma, P_i, S_i), 1 \leq i \leq n \), called a component of \( \Gamma \), is a usual Chomsky grammar with the nonterminal alphabet \( \mathcal{N} \cup \mathcal{K} \), terminal alphabet \( \Sigma \), set of rewriting rules \( P_i \subset \mathcal{N} \times (\mathcal{N} \cup \mathcal{K} \cup \Sigma)^* \), and axiom (or start symbol) \( S_i \in \mathcal{N} \). One of the components, \( G_1 \), is distinguished and called the master grammar (or the master) of \( \Gamma \).

An \( n \)-tuple \((x_1, \ldots, x_n)\), where \( x_i \in (\mathcal{N} \cup \Sigma \cup \mathcal{K})^* \), for \( 1 \leq i \leq n \), is called a configuration of \( \Gamma \); \((S_1, \ldots, S_n)\) is said to be the initial configuration. PC grammar systems change their configurations by performing direct derivation steps. We say that \((x_1, \ldots, x_n)\) directly derives \((y_1, \ldots, y_n)\), denoted by \((x_1, \ldots, x_n) \Rightarrow (y_1, \ldots, y_n)\), if one of the following two cases holds:

1. There is no \( x_i \) which contains any query symbol, that is, \( x_i \in (\mathcal{N} \cup \Sigma)^* \) for all \( 1 \leq i \leq n \). Then, for each \( i, 1 \leq i \leq n \), \( x_i \Rightarrow G_i y_i \) (\( y_i \) is obtained from \( x_i \) by a direct derivation step in \( G_i \)) for \( x_i \notin \Sigma^* \) and \( x_i = y_i \) for \( x_i \in \Sigma^* \).

2. There is some \( x_i, 1 \leq i \leq n \), which contains at least one occurrence of a query symbol. For each such \( x_i, 1 \leq i \leq n \), with \( |x_i|_k \neq 0 \) we write \( x_i = z_1Q_{i_1}z_2Q_{i_2} \ldots z_tQ_{i_t}z_{t+1} \), where \( z_j \in (\mathcal{N} \cup \Sigma)^* \), \( 1 \leq j \leq t+1 \), and \( Q_{i_j} \in \mathcal{K}, 1 \leq l \leq t \). If \( |x_i|_k = 0 \) for each \( l, 1 \leq l \leq t \), then \( y_i = z_1x_1z_2x_2 \ldots z_tx_t \) and (a) in returning systems we have \( y_i = S_{i_j} \), while (b) in non-returning systems we have \( y_i = x_{i_j}, 1 \leq l \leq t \). If \( |x_i|_k \neq 0 \) for some \( l, 1 \leq l \leq t \), then \( y_i = x_i \). For all \( j, 1 \leq j \leq n \), for which \( y_j \) is not specified above, \( y_j = x_j \).

Let \( \Rightarrow^* \) denote the reflexive and transitive closure of \( \Rightarrow \). Let the language generated by the component \( G_i \) be denoted by \( L(G_i) \), that is,

\[
L(G_i) = \{ x \in \Sigma^* \mid (S_1, \ldots, S_i, \ldots, S_n) \Rightarrow^* (x_1, \ldots, x_i, \ldots, x_n) \text{ for some } x_1, \ldots, x_n \in (\mathcal{N} \cup \Sigma \cup \mathcal{K})^* \text{ such that } x = x_i \}.
\]

Then, the language generated by the system \( \Gamma \) is \( L(\Gamma) = L(G_j) \) where \( G_j, 1 \leq j \leq n \), is the master component of the system.

Let the class of languages generated by returning and non-returning PC grammar systems having at most \( n \) context-free components, where \( n \geq 1 \), be denoted by \( L(PC_nCF) \) and \( L(NPC_nCF) \), respectively, and let \( L(X_nCF) = \bigcup_{i \geq 1} L(X_iCF), X \in \{PC, NPC\} \).

Using these notations, the results on the generative power of context-free PC grammar systems can be summarized as follows (for details, see [1, 2, 5, 8, 12]):

\[
\mathcal{L}(CF) \subset \mathcal{L}(X_2CF) \subset \mathcal{L}(PC_5CF) = \mathcal{L}(PC, CF) = \mathcal{L}(NPC_8CF) = \mathcal{L}(NPC, CF) = \mathcal{L}(RE),
\]

for \( X \in \{PC, NPC\} \).
3 Improving the bound on the number of components

In the following we show that every recursively enumerable language can be generated by a non-returning PC grammar system with six context-free components.

**Theorem 1.** $\mathcal{L}(\text{NPC}_6 \text{CF}) = \mathcal{L}(\text{RE}).$

**Proof:** Let $L \subseteq \Sigma^*$ be an arbitrary recursively enumerable language and $M = (\Sigma \cup \{Z, B\}, E, R, q_0, q_F)$ be a two-counter machine accepting $L$. Without the loss of the generality we may assume that $M$ always enters the final state with empty counters and lets them unchanged, i.e., for any $q \in E$ with $(q, x, c_1, c_2) \rightarrow (q_F, e_1, e_2) \in R$ it holds that $c_1 = c_2 = Z$ and $e_1 = e_2 = 0$.

To prove the statement, we construct a non-returning context-free PC grammar system $\Gamma$ generating $L$. Let $\Gamma = (N, K, \Sigma, G_{\text{sel}}, G_{\text{gen}}, G_{c_1}, G_{c_2}, G_{ch_1}, G_{ch_2})$, where $G_{\text{gen}}$ is the master grammar and $G_\gamma = (N, K, \Sigma, P_\gamma, \omega_\gamma)$ is a component grammar for $\gamma \in \{\text{gen}, \text{sel}, c_1, c_2, ch_1, ch_2\}$ and $\omega_\gamma$ is the axiom.

Let $I = \{(q, x, c_1, c_2, q', e_1, e_2) \mid (q, x, c_1, c_2) \rightarrow (q', e_1, e_2) \in R\}$ and let us introduce for any $\alpha = [q, x, c_1, c_2, q', e_1, e_2] \in I$ the following notations: $\text{State}(\alpha) = q$, $\text{Read}(\alpha) = x$, $\text{NextState}(\alpha) = q'$, and $\text{Store}(\alpha, i) = c_i$, $\text{Action}(\alpha, i) = e_i$, where $i = 1, 2$.

The simulation is based on representing the states and the transitions of $M$ with nonterminals from $I$ and the values of the counters by strings of nonterminals containing as many symbols $A$ as the value stored in the given counter. Every component is dedicated to simulating a certain type of activity of the two-counter machine: $G_{\text{sel}}$ selects the transition to be simulated, $G_{c_i}$, where $1 \leq i \leq 2$, simulates the respective counter and the update of its contents, $G_{ch_j}$, where $1 \leq j \leq 2$, assists the work of $G_{c_i}$, and $G_{\text{gen}}$ generates the word read (and possibly accepted) by $M$.

Let $N = I \cup \{S, A, Z, F, F', F'', F''' , C_1, C_2, M_0, M_1, M_2\} \cup \{D_{i, \alpha}, E_{i, \alpha}, H_{i, \alpha} \mid \alpha \in I, 1 \leq i \leq 2\}$ and let the axioms and the rules of the components be defined as follows. Let $\omega_{\text{sel}} = S$,

$$P_{\text{sel}} = \{S \rightarrow \alpha \mid \alpha \in I, \text{State}(\alpha) = q_0\} \cup \{\alpha \rightarrow D_{1, \alpha}, D_{1, \alpha} \rightarrow D_{2, \alpha}, \alpha \in I\} \cup \{D_{2, \alpha} \rightarrow \beta \mid \alpha, \beta \in I, \text{NextState}(\alpha) = \text{State}(\beta)\} \cup \{D_{2, \alpha} \rightarrow F \mid \alpha \in I, \text{NextState}(\alpha) = q_F\} \cup \{F \rightarrow F\}. \tag{3.1}$$

This component selects the transition of the two-counter machine to be simulated. The axiom $S$ is used to initialize the system by introducing one of the symbols from $I$ denoting an initial transition, i.e., a symbol of the form $[q_0, x, c_1, c_2, q', e_1, e_2]$ where $q_0$ is the initial state. The other productions are used for changing the transition into the next one to be performed. The appearance of symbol $F$ indicates that the simulation of the last transition has been finished and the rule $F \rightarrow F$ can be used to continue rewriting until the other components also finish their work. Let $\omega_{\text{gen}} = S$,

$$P_{\text{gen}} = \{S \rightarrow Q_{\text{sel}}, C_1 \rightarrow C_2, C_2 \rightarrow Q_{\text{sel}}, F \rightarrow F', F' \rightarrow Q_{ch_1} Q_{c_1} Q_{c_2}\} \cup \{\alpha \rightarrow x C_1 \mid \alpha \in I, \text{Read}(\alpha) = x\} \cup \{H_{2, \alpha} \rightarrow \lambda \mid \alpha \in I\} \cup \{M_1 \rightarrow \lambda, Z \rightarrow \lambda, F'' \rightarrow \lambda, F''' \rightarrow \lambda\}. \tag{3.2}$$

This component generates the string accepted by the counter machine by adding the symbol $x = \text{Read}(\alpha)$ for each $\alpha \in I$ (chosen by the selector component $G_{\text{sel}}$) using the rule $\alpha \rightarrow x C_1$. The productions rewriting $C_1$ to $C_2$ and then $C_2$ to $Q_{\text{sel}}$ are used for maintaining the synchronization. The result of the computation is produced by using rules $F \rightarrow F', F' \rightarrow Q_{ch_1} Q_{c_1} Q_{c_2}$. After the symbol $F$ appears, the component makes sure that the strings obtained from components $G_{c_1}$, $G_{c_2}$ and $G_{ch_1}$ do not contain any nonterminal letter which is different from $H_{2, \alpha}$, for $\alpha \in I$, or from any of $M_1, Z, F'', F'''$. 


since these are the only symbols which can be erased. (The symbols \( H_{2,\alpha} \), for \( \alpha \in \mathcal{I} \), and \( M_1 \) indicate that the simulation of the checks and the updates of the contents of the counters of the two-counter machine were correct; \( Z \) is an auxiliary symbol; \( F'' \) and \( F''' \) are different variants of the symbol denoting the final transition.) If the work of the component stops with a terminal word, then this string was also accepted by \( M \) and the simulation was correct.

The following two components are for representing the contents of the counters of \( M \) and for simulating the changes in the stored values. Let for \( i \in \{1, 2\} \), \( \omega_{ci} = S \),

\[
P_{ci} = \{ S \rightarrow Q_{sel}Z, A \rightarrow Q_{ch2}, F \rightarrow F'', F'' \rightarrow F'' \} \cup \{ \alpha \rightarrow Q_{sel}, D_{2,\alpha} \rightarrow Q_{sel}y_{i,\alpha} \mid \alpha \in \mathcal{I}, \text{Store}(\alpha, i) = B; y_{i,\alpha} = \sigma(\text{Action}(\alpha, i), \text{Store}(\alpha, i)) \} \cup \{ \alpha \rightarrow H_{1,\alpha}, H_{1,\alpha} \rightarrow H_{2,\alpha}, H_{2,\alpha} \rightarrow Q_{sel}y_{i,\alpha} \mid \alpha \in \mathcal{I}, \text{Store}(\alpha, i) = Z, \}
\]

\[
y_{i,\alpha} = \sigma(\text{Action}(\alpha, i), \text{Store}(\alpha, i))
\]

where \( \sigma : \{1, 0, -1\} \times \{B, Z\} \rightarrow \{AA, A, \lambda\} \) is a partial mapping defined as \( \sigma(1, B) = AA, \sigma(0, B) = A, \sigma(-1, B) = \lambda, \sigma(1, Z) = A, \sigma(0, Z) = \lambda \).

These components are responsible for simulating the change in the contents of the counters, which is represented by a string \( u \) consisting of as many letters \( A \) as the actual stored number in the counter. By performing rule \( A \rightarrow Q_{ch2} \) and the rules \( \alpha \rightarrow Q_{sel}, D_{2,\alpha} \rightarrow Q_{sel}y_{i,\alpha} \), the components check whether the string representing the counter contents contains at least one occurrence of the letter \( A \) (which is required by the transition represented by \( \alpha \)), and then modify the contents of the counter in the prescribed manner by introducing the necessary number of new \( A \)s contained in the string \( y_{i,\alpha} \). If \( \text{Store}(\alpha, i) = B \), then the simulation is correct if and only if one occurrence of \( A \) is rewritten first, and then productions \( \alpha \rightarrow Q_{sel}, D_{2,\alpha} \rightarrow Q_{sel}y_{i,\alpha} \) are applied in the given order, i.e., after three steps the new string will contain one occurrence of \( M_1 \). Any other order of rule application results in introducing either a letter for which no rule exists (\( D_{1,\alpha} \) if \( u \) has no occurrence of \( A \)) or a letter which cannot be erased from the sentential form anymore (\( M_2 \), if \( A \) is rewritten in the second step).

If \( \text{Store}(\alpha, i) = Z \), then the rules \( \alpha \rightarrow H_{1,\alpha}, H_{1,\alpha} \rightarrow H_{2,\alpha} \), and \( H_{2,\alpha} \rightarrow Q_{sel}y_{i,\alpha} \) are used for checking whether \( u \) contains an \( A \). The required condition holds and the simulation is successful if after applying the productions, \( H_{2,\alpha} \) appears in the second step in the new sentential form and it has no occurrence of the symbol \( A \). The non-occurrence of \( A \) will be checked later by components \( G_{ch1} \) and \( G_{gen} \).

Let \( \omega_{ch1} = S \),

\[
P_{ch1} = \{ S \rightarrow Q_{sel}, \alpha \rightarrow E_{1,\alpha}, E_{2,\alpha} \rightarrow Q_{sel} \} \cup \{ E_{1,\alpha} \rightarrow E_{2,\alpha}, \mid \alpha \in \mathcal{I}, \text{Store}(\alpha, 1) = B, \text{Store}(\alpha, 2) = B \} \cup \{ E_{1,\alpha} \rightarrow E_{2,\alpha}Q_{c2} \mid \alpha \in \mathcal{I}, \text{Store}(\alpha, 1) = B, \text{Store}(\alpha, 2) = Z \} \cup \{ E_{1,\alpha} \rightarrow E_{2,\alpha}Q_{c1}Q_{c2} \mid \alpha \in \mathcal{I}, \text{Store}(\alpha, 1) = Z, \text{Store}(\alpha, 2) = B \} \cup \{ F \rightarrow F'', F'' \rightarrow F'' \}.
\]

This component assists in checking whether the contents of the respective counter is zero if it is required by the transition to be performed. This is done by asking the string of the component \( G_{c1} \) and/or \( G_{c2} \) after the second step of the corresponding derivation phase. If the string (or strings) communicated to this component contains (contain) an occurrence of \( A \), then this letter will never be removed from the sentential from since \( P_{ch1} \) has no rule for deleting \( A \) and the component \( G_{gen} \) which will later
issue a query to \(G_{ch_1}\), has no erasing rule for \(A\) either. This means that the simulation is correct if the string or strings communicated to \(G_{ch_1}\) are free from \(A\) but contains (contain) an occurrence of \(H_{2,\alpha}\).

Finally, let \(\omega_{ch_2} = S\) and \(P_{ch_2} = \{S \rightarrow M_0, M_0 \rightarrow M_1, M_1 \rightarrow M_2, M_2 \rightarrow M_0\}\). This component assists \(G_{c_1}\) and \(G_{c_2}\) in checking whether or not the string representing the counter contents contains an occurrence of \(A\). The simulated counter is not empty and the simulation is correct if and only if \(P_{ch_2}\) is queried in a step when the symbol \(M_1\) is communicated to the respective component \(G_{c_1}\) or \(G_{c_2}\).

In the following we discuss the work of \(\Gamma\) in details. After the first rewriting step, we obtain a configuration \((S, S, S, S, S) \Rightarrow (\alpha_0, Q_{sel}, Q_{sel}Z, Q_{sel}Z, Q_{sel}Z, M_0)\) \(\Rightarrow (\alpha_0, \alpha_0Z, \alpha_0Z, \alpha_0Z, \alpha_0Z, M_0)\) where \(\alpha_0\) is a nonterminal denoting one of the initial transitions of the two-counter machine, i.e., \(\text{State}(\alpha_0) = q_0\). Notice that since the two counters are empty at the beginning, the sentential forms of components \(G_{c_1}\) and \(G_{c_2}\) do not contain any occurrence of \(A\).

In the following we demonstrate how the simulation works. We consider a particular case, the proof of all other cases can be done similarly.

Let \(\alpha = [q, x, B, Z, q', e_1, e_2] \in \mathcal{I}\), where \(x \in \Sigma \cup \{\lambda\}, q, q' \in E\), and we do not specify \(e_1, e_2\) at this moment. Furthermore, let \(\beta \in \mathcal{I}\) with \(\text{NextState}(\alpha) = \text{State}(\beta)\). Suppose that up to transition \(\alpha\) the simulation was correct. Then the configuration of \(\Gamma\) is of the form \((\alpha, w\alpha, \alpha uZ, \alpha vZ, \alpha \bar{w}, M_0)\) where \(w \in T^*, u, v \in \{A, M_1\}^*\), and \(\bar{w} \in (\{M_1, Z\} \cup \{H_{2,\alpha} | \alpha \in \mathcal{I}\})^*\).

By the next rewriting step, \(\alpha\) at the first component changes into \(D_{1,\alpha}\), and then by the second rewriting step into \(D_{2,\alpha}\). Similarly, \(w\alpha\) changes into \(wxC_1\), and then into \(wxC_2\) where \(x = \text{Read}(\alpha)\).

Let us examine now \(\alpha uZ\) which represents the contents of the first counter. Since, by the requirements of the simulated transition, the counter must not be empty, \(u\) should have at least one occurrence of \(A\). If this is not the case, then the only rule which can be applied is \(\alpha \rightarrow Q_{sel}\), which introduces \(D_{1,\alpha}\) in the string. Then the derivation gets blocked since there is no rule for rewriting \(D_{1,\alpha}\) or \(Z\), thus the derivation cannot be continued.

If we suppose that \(u\) has at least one occurrence of \(A\), then after two rewriting steps and the communication following them, the following cases may hold: The new string contains \(M_1\) and \(D_{2,\alpha}\) (first an occurrence of \(A\) and then \(\alpha\) was rewritten), or it contains \(M_1\) and \(M_2\) (two occurrences of \(A\) were replaced), or it contains \(D_{1,\alpha}\) and \(M_2\) (first \(\alpha\), then one occurrence of \(A\) was rewritten). The two latter cases do not lead to termination (and thus, correct simulation) since neither \(M_2\) nor \(D_{1,\alpha}\) can be removed from the string when it is later sent to the master component \(G_{gen}\). (Unlike \(M_1\) and \(D_{2,\alpha}\) which can be erased by \(G_{gen}\).)

Therefore, after one more rewriting step, we must have a string of the form \(Q_{sel}y_1u_1M_1u_2Z\) where \(u = u_1Au_2\) and \(y_1\) corresponds to \(e_1 = \text{Action}(\alpha, 1)\) for \(\alpha = [q, x, B, Z, q', e_1, e_2]\) as follows: Since one \(A\) was removed from \(u\), if \(e_1 = -1\) then \(y_1 = \lambda\), if \(e_1 = 0\) then \(y_1 = A\), and if \(e_1 = +1\) then \(y_1 = AA\).

Let us consider now \(\alpha vZ\), i.e., the string representing the contents of the second counter. In this case \(v\) must not have an appearance of \(A\) (according to the current transition symbol \(\alpha = [q, x, B, Z, q', e_1, e_2]\)). If this is the case, that is, if \(|v|_A = 0\), then the only rule which can be applied is \(\alpha \rightarrow H_{1,\alpha}\), and then the derivation continues with applying \(H_{1,\alpha} \rightarrow H_{2,\alpha}\). After the second rewriting step the new string will be of the form \(H_{2,\alpha}vZ\) which will be forwarded by request to component \(G_{ch_1}\) and stored there until the end of the derivation when it is sent to the master component \(G_{gen}\). The grammar \(G_{gen}\) is not able to erase the nonterminal \(A\), thus, terminal words can only be generated if \(G_{ch_1}\) received a string representing the empty counter.

If we assume that \(v\) contains at least one copy of \(A\), then after two rewriting steps we obtain a string which has occurrences of either \(M_1\) and \(M_2\) (two copies of \(A\) were replaced), or \(M_1\) and \(H_{1,\alpha}\), or \(H_{1,\alpha}\) and \(M_2\) (in both cases one copy of \(A\) was rewritten), or \(H_{2,\alpha}\) and \(A\) (no copy of \(A\) was rewritten, but \(|v|_A \neq 0\)). None of these cases can lead to a correct simulation, since as we have seen above, these strings
are transferred to $G_{ch_1}$ and then to $G_{gen}$ in a later phase of the derivation, where $M_2$, $H_{1,\alpha}$, and $A$ cannot be deleted.

This means that the new string obtained from $\alpha v Z$ after the third rewriting step must be of the form $Q_{sel}y_2vZ$, where $v$ contains no occurrence of $A$ and $y_2$ is the string corresponding to $e_2 = \text{Action}(\alpha, 2)$. Since, in the case of a correct simulation, no $A$ was deleted, $y_2 = \lambda$ if $e_2 = 0$, and $y_2 = A$ if $e_2 = +1$ (the case $e_2 = -1$ is not applicable, since the counter is empty, $\text{Store}(\alpha, 2) = Z$).

Continuing the derivation, the prescribed communication step results in the configuration

$$\left(\beta, wx\beta, \beta u' Z, \beta v' Z, \beta \bar{w}', M_0\right)$$

where $\beta \in I$ is a transition with $\text{NextState}(\alpha) = \text{State}(\beta)$, $u', v'$ are strings representing the counters of $M$ following the transition described by $\alpha \in I$, and $\bar{w}'$ is a string over $\{M_1, Z\} \cup \{H_{2, \alpha} | \alpha \in I\}$. Thus, we obtain a configuration of the form we started from. Now, similarly as above, the simulation of the transition corresponding to the symbol $\beta \in I$ can be performed.

Suppose now that $\text{NextState}(\alpha) = q_F$ and $G_{set}$ decides to end the simulation of $M$, that is, instead of $\beta$, the nonterminal $D_{2, \alpha}$ is changed to $F$. Then the obtained configuration is

$$(F, wxF, Fu'Z, Fv'Z, F\bar{w}', M_0).$$

Since $M$ always enters the final state with empty counters, we have $|u'|_A = |v'|_A = 0$, thus we obtain

$$(F, wxF', F''u'Z, F''v'Z, F'''\bar{w}', M_1) \Rightarrow (F, wxQ_{ch_1}Q_{c_1}Q_{c_2}, F''u'Z, F''v'Z, F'''\bar{w}', M_2),$$

and then $$(F, wxF''u''Z, F''u'Z, F''v'Z, F'''\bar{w}'', M_0).$$ We also know that in case of a correct simulation, $|\bar{w}'|_A = 0$, therefore by applying the erasing rules of $P_{gen}$ to delete $H_{2, \alpha}$, $M_1$, $Z$, $F''$, and $F'''$, we either obtain a terminal word $w'' = wx$ also accepted by the two-counter machine $M$, or there are nonterminals in the sentential form of $G_{gen}$ which cannot be deleted. By the explanations above, it can also be seen that $\Gamma$ generates the same language as $M$ accepts.

\section{A universal PC grammar system for unary languages}

In the following we study the possibility of generating all recursively enumerable languages (over a certain alphabet) with not only a bounded number of components, but also with bounded measures of other kind, such as the number of rewriting rules, or the number of nonterminals. To this aim we examine the possibility of simulating universal variants of Turing machines.

Instead of universal two-counter machines, we consider the similar notion of register machines since several examples of very simple, but still universal machines of this kind are known. Since register machines work with sets of non-negative integers, we also restrict ourselves to the study of generating unary languages.

A register machine consists of a given number of registers and a set of labeled instructions. There are several types of instructions which can be used:

- $l_i : \text{(ADD}(r), l_j)$ – add 1 to register $r$ and then go to the instruction with label $l_j$,
- $l_i : \text{(CHECK}(r), l_j, l_k)$ – if the value of register $r$ is zero, go to instruction $l_j$, otherwise go to $l_k$,
- $l_i : \text{(CHECKSUB}(r), l_j, l_k)$ – if the value of register $r$ is positive, then subtract 1 from it and go to the instruction with label $l_j$, otherwise go to the instruction with label $l_k$,
and instruction $l_h : \text{HALT}$ to halt the machine. Thus, formally, a register machine is a construct $M = (m, H, l_0, l_h, R)$, where $m$ is the number of registers, $H$ is the set of instruction labels, $l_0$ is the start label, $l_h$ is the halting label, and $R$ is the set of instructions; each label from $H$ labels exactly one instruction from $R$. A register machine $M$ computes a value $y \in \mathbb{N}$ on input $x \in \mathbb{N}$ in the following way: it starts with the input $x$ in its input register by executing the instruction with label $l_0$ and proceeds by applying instructions as indicated by the labels. If the halt instruction is reached, then the number $y \in \mathbb{N}$ stored at that time in the output register is the result of the computation of $M$. If the machine does not halt, the result is undefined. It is known (see, e.g., [9]) that register machines compute the class of partial recursive functions.

Register machines with $n$ registers can also be simulated by the straightforward generalization of two-counter machines having $n$ counter tapes instead of two. We call this model an $n$-counter machine in the following. Given a register machine $M_1$ with $n$ registers, we can easily construct an $n$-counter machine $M_2$ over a unary input alphabet which simulates its computations. If the $n$ counter tapes of $M_2$ correspond to the $n$ registers of $M_1$, and if $M_2$ is started with a unary input word $w$ and a value $x \in \mathbb{N}$ stored on one of its counter tapes (the one corresponding to the input register), then it can check whether $|w| = y \in \mathbb{N}$ is computed by $M_1$ on input $x$ by simulating the labeled instructions of the register machine. To do this, the states of $M_2$ should correspond to the labels of the instructions of $M_1$ and its transition relation should be defined as follows.

To simulate an instruction $l_j : (\text{ADD}(r), l_k), M_2$ should have transition rules

$$(l_j, \lambda, c_1, \ldots, c_n) \rightarrow (l_k, e_1, \ldots, e_n)$$

for all possible combinations of $c_i \in \{Z, B\}$, $1 \leq i \leq n$ and with $e_r = +1$, and $e_i = 0$ for all $1 \leq i \leq n$, $i \neq r$.

To simulate an instruction $l_j : (\text{CHECK}(r), l_k, l_l), M_2$ should have transition rules

$$(l_j, \lambda, c_1, \ldots, c_n) \rightarrow (l_k, 0, \ldots, 0)$$

for all combinations of $c_i \in \{Z, B\}$ where $c_r = Z$, and also the transitions $(l_j, \lambda, c_1, \ldots, c_n) \rightarrow (l_l, 0, \ldots, 0)$ for all combinations of $c_i \in \{Z, B\}$ where $c_r = B$, $1 \leq i \leq n$.

An instruction $l_j : (\text{CHECKSUB}(r), l_k, l_l)$ can be simulated by similar transition rules if we replace the “don’t change” instruction corresponding to the $r$th counter with “subtract one”, that is, we replace the 0 on the $(r + 1)$th position on the right side of the transition rule with $-1$.

The transitions of the counter machine $M_2$ defined above simulate the work of $M_1$ in the sense that whenever the state $l_h$ corresponding to the halting instruction is reached after starting the machine with $x \in \mathbb{N}$ stored on the input counter tape, then the value stored on the output counter tape, $y \in \mathbb{N}$, is the same as computed by the register machine $M_1$ on input $x$. If we assume that the first counter corresponds to the output register of $M_1$, then to check whether the input word is of the form $w = a^y$, we need, for all combinations of $c_i \in \{Z, B\}$, $2 \leq i \leq n$, the transitions $(l_h, a, B, c_2, \ldots, c_n) \rightarrow (l_h, -1, 0, \ldots, 0)$ and $(l_h, \lambda, Z, c_2, \ldots, c_n) \rightarrow (q_F, 0, 0, \ldots, 0)$ where $q_F$ is the final state of $M_2$.

In [7] several small universal register machines are presented. One of them, which we call $U$ in the following, has eight registers and it can simulate the computation of any register machine $M$ with the help of a “program”, an integer $\text{code}(M) \in \mathbb{N}$ coding the particular machine $M$. If $\text{code}(M)$ is placed in the second register and an argument $x \in \mathbb{N}$ is placed in the third register, then $U$ simulates the computation of $M$ by halting if and only if $M$ halts, and by producing the same result in its first register as $M$ produces in its output register after a halting computation. Moreover, $U$ has eight $\text{ADD}$ instructions, one $\text{CHECK}$ instruction, and twelve $\text{CHECKSUB}$ instructions.
Based on the universal machine \( U \) and the simulation technique described above, we can obtain PC grammar systems which are universal in the sense that they are able to generate all languages over a certain fixed alphabet if we initialize one of the components with a “program” corresponding to the language we wish to generate, that is, if the component is started with an axiom which is a word different from the start symbol.

**Definition 2.** A PC grammar system \( \Gamma = (N, K, T, G_1, \ldots, G_n) \) is universal, if there exists an index \( j, 1 \leq j \leq n \), such that for all languages \( L \subseteq \Sigma^* \) over a finite alphabet \( \Sigma \), there is a word \( w_L \in N^* \) with \( L = L(\Gamma, w_L) = L(G_i, w_L, j) \) where

\[
L(G_i, w_L, j) = \{ x_i \in \Sigma^* \mid (\alpha_1, \ldots, \alpha_n) \Rightarrow^* (x_1, \ldots, x_n) \text{ for } \alpha_j = w_L, \alpha_i = S_i, \ 1 \leq i \leq n, \ i \neq j \},
\]

and \( G_i \) is the master component of the system.

Now based on the PC grammar system described in the previous section, we can obtain the following theorem.

**Theorem 3.** There exists a non-returning universal PC grammar system \( \Gamma_U \), such that any recursively enumerable language \( L \) over the unary alphabet can be generated by \( \Gamma_U \) as \( L = L(\Gamma_U, w_L) \) for some word \( w_L \) corresponding to \( L \).

Moreover, \( \Gamma_U \) has at most 12 components, \( 48m + 51 \) rewriting rules, and \( 4m + 12 \) nonterminal symbols, where \( m = 23 \cdot 2^8 + 3 \).

**Proof:** The statement can be proved based on the discussions above. Consider the universal register machine \( U \) from [7], having 8 registers and 21 instructions. We can construct an 8-counter machine \( M_U \) which simulates the work of \( U \) in the sense described above, that is, if \( M_U \) is started with the code of a register machine \( M \) stored on its second counter tape and an input \( x \in \mathbb{N} \) stored on its third counter tape, then it accepts the unary word \( w \) written on its input tape if and only if \( |w| = y \), where \( y \in \mathbb{N} \) is the value computed by \( M \) on the input \( x \).

\( U \) has eight registers and, as we have explained above, we need a different transition rule for the simulation of a given instruction for each possible combination of empty and non-empty registers. This means that we need \( 2^8 \) transition rules for simulating each register machine instruction, thus, we need \( 21 \cdot 2^8 \) rules to simulate the 21 instructions of \( U \), and \( 2^8 \) additional rules for comparing the result (appearing on the first counter tape) with the contents of the input tape.

If we add a new starting state \( q_0 \), and the transitions \((q_0, \lambda, Z, B, Z, \ldots, Z) \rightarrow (q_0, 0, 0, +1, 0, \ldots, 0)\), \((q_0, \lambda, Z, B, B, Z, \ldots, Z) \rightarrow (q_0, 0, 0, +1, 0, \ldots, 0)\), and \((q_0, \lambda, Z, B, B, Z, \ldots, Z) \rightarrow (q_0, 0, 0, +1, 0, \ldots, 0)\), thus, we nondeterministically “fill” the input counter (corresponding to the third counter tape) before starting the actual computation, then we can obtain the possible results without placing any input in the third counter. This means that we can accept any word \( w \) with \( |w| = y \) where \( y \in \mathbb{N} \) is a value from the range of the function computed by the register machine \( M \). Thus, choosing the appropriate \( M \), we can accept the words of any recursively enumerable language over the unary alphabet by initializing only the second counter tape with the code of the given machine \( M \).

If we also make sure that before entering the final state, the contents of all the counters of the machine \( M_U \) are erased, then we will be able to use a similar construction as in the proof of Theorem 1 to construct a non-returning PC grammar system \( \Gamma_U \) for the simulation of \( M_U \). To erase the counter contents, we need \( 2^8 \) transitions in addition, thus, altogether the counter machine \( M_U \) has \( m = 23 \cdot 2^8 + 3 \) transition rules.

The PC grammar system that we obtain after applying the construction based on the proof of Theorem 1 will be a universal system if instead of the start symbol \( S \), we initialize the component \( G_{c2} \).
corresponding to the second counter of $M_U$ with a word of the form $A^nS$ where $n = \text{code}(M)$, such that the range of the function computed by the register machine $M$ corresponds to the length set of the words of the unary language $L$.

By observing the modified construction, the resulting system has $8 + 4 = 12$ components, $48 \cdot m + 51$ rewriting rules, and $4 \cdot m + 12$ nonterminals, thus, we obtain the bounds given in the statement of the theorem.

5 Conclusions

We have improved the previously known bound on the number of non-returning components necessary to generate any recursively enumerable language. We also presented a technique for the simulation of register machines, and we used it to simulate a concrete example of a small universal register machine. We obtained a non-returning universal PC grammar system which is able to generate any unary recursively enumerable language. Since the construction we used is general, not taking advantage of any of the special properties of the universal register machine that was simulated, it is expected that with more precise observations, the rough bounds we have given above can be further decreased. We also propose to employ similar techniques for the study of the descriptive complexity measures of returning PC grammar systems.

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