Clinical features and imaging markers of small vessel disease in symptomatic acute subcortical cerebral microinfarcts

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Abstract

Background: As currently defined, recent small subcortical infarcts (RSSI) do not have a lower size boundary, and the smallest diffusion-weighted imaging (DWI) infarcts, which we term acute subcortical cerebral microinfarcts (As-CMI) with lesion diameter less than 5 mm, might have clinical implications distinct from RSSI. We aimed to investigate the distinct characteristics of As-CMI as compared to the larger size of RSSI regarding vascular risk factors, clinical manifestation, radiological markers of SVD distribution, and outcomes.

Methods: In a consecutive cohort, patients were selected with a magnetic resonance DWI-confirmed RSSI between January 2010 and November 2020. We measured axial infarct diameter and classified patients into two groups: The As-CMI group (diameter < 5 mm) versus the Larger RSSI group (diameter 5-20 mm). Clinical variables, including vascular risk factors, clinical symptoms/signs, lesion locations, and radiological markers of cerebral small vessel disease (SVD) on MRI were analyzed between the two groups. Patients were followed up for 12 months and functional outcomes were measured by the modified ranking scale (mRS).

Results: In a total of 584 patients with RSSI, 23 (3.9%) were defined as As-CMI. The most common neurological deficits with As-CMI were hemiparalysis (n = 20), followed by central facial/lingual palsy (n = 10) and hemidysesthesia (n = 10). Most As-CMIs were located in the basal ganglia (n = 11), followed by the thalamus (n = 5) and centrum semiovale (n = 4). No different regional distributions and symptoms/signs frequencies were found between the two groups except for a lower percentage of dysarthria in the As-CMI group (p = 0.008). In a multivariate analysis, patients with As-CMI were independently associated with the presence of lacunes (adjusted odds ratio [aOR] 2.88; 95% confidence interval [CI] 1.21–6.84), multiple lacunes (aOR 3.5, CI 1.29–9.48) and higher total SVD burden (aOR 1.68, CI 1.11–2.53). Patients with As-CMI did not show a better functional outcome after 12 months of follow-up.

Conclusions: Patients with As-CMI had a non-specific clinical profile but a higher burden of SVD, indicating As-CMI might be a sign of more severe small vascular injury. Whether its vascular features are associated with worse cognitive outcomes requires further investigation.

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Cerebral microinfarcts (CMI) are small lesions presumed to be of ischemic origin [1]. The lesions, small and often not visible to the naked eyes on autopsy, have been found incidentally in older individuals with cognitive impairment or other manifestations of cerebrovascular disease, indicating damage to brain structure and a poor clinical outcome [2, 3].

CMI in the acute stage can be detected as small incidental diffusion-weighted imaging (DWI) lesions. DWI has been verified with high sensitivity in detecting very small infarcts, including those 1–2 mm in diameter in any brain parenchymal location [4]. Due to the blooming effects, the maximum diameter of acute DWI might overestimate the true infarct size [5]. The defined diameter of acute CMI varied among studies, from a few mm to ≤ 10 mm [6]. The majority of studies on incidental small DWI lesions did not report their actual size and usually adopt a cut-off with a maximum axial diameter of less than 5 mm [7, 8]. Based on available evidence, experts propose a size criterion of less than 5 mm for an acute cerebral microinfarct (A-CMI) [1]. However, confusion arises when diagnosing a small DWI lesion in the subcortex of ischemic stroke patients. If perforating arteri-oles are affected, it will cause a recent small subcortical infarct (RSSI), formerly termed ‘acute lacunar stroke’. The SToRds for Reporting Vascular changes on Neuroimaging (STRIVE) criteria for RSSI applied an upper size cutoff of 20 mm, a criterion designed to identify lacunar infarcts in their acute stage, without providing a lower size cutoff [9]. As currently defined, RSSI does not have a lower size boundary, and the smallest DWI infarcts, which we term acute subcortical cerebral microinfarcts (As-CMI), might have clinical implications distinct from RSSI imaging characteristics of small DWI hyperintense lesions in vivo have been reported in patients with cere-bral amyloid angiopathy, stroke, or memory loss [10–14]. These studies reported that the small DWI hyperintense lesions were associated with magnetic resonance imaging markers of cerebral small vessel disease (SVD), such as white matter hyperintensities, cerebral microbleeds, and enlarged perivascular spaces, but the association between different sizes of small subcortical DWI lesions and SVD neuroimaging markers has not been thoroughly investigated. Besides in the sub-cortex, the acute microinfarct could act as lacunar stroke syndrome, but whether it has similar symptoms/signs distribution or better outcome compared to larger RSSI is still unknown. A better understanding of the varied clinical features of As-CMI and larger RSSI could extend emerging literature from previous studies and provide further evidence concerning the diagnostic classification of acute SVD.

Hence, we aimed to clarify the distinct characteristics of As-CMI as compared to the larger size of RSSI regarding vascular risk factors, clinical manifestation, radiological markers of SVD distribution, and outcomes.

**Methods**

**Patients**

A total of 7536 patients with acute ischemic stroke were consecutively recruited from the Chengdu stroke registry between January 2010 and November 2020. All patients with acute ischemic stroke or transit ischemic stroke onset within 7 days were admitted to the neurology department. Patients with symptomatic magnetic resonance DWI-confirmed recent small subcortical infarcts of ≤ 20 mm in axial diameter were identified [9]. Exclusion criteria were RSSI lesion with an embolic source (cardioembolism or large-artery atherosclerosis) or other undetermined cause of stroke; concurrent cortical stroke; malignant tumor, severe hepatic or renal failure; hematological disease or autoimmune disease, and AIDS. Cardioembolism was defined as a cardiac source of high-risk and medium risk for a possible or probable diagnosis of cardioembolic stroke (e.g. AF). Large-artery atherosclerosis was defined as patients who have clinical and brain imaging findings of either significant stenosis (>50%) or occlusion of a major brain artery or branch cortical artery, presumably due to atherosclerosis [15, 16]. Finally, 584 patients with symptomatic magnetic resonance DWI-confirmed recent small subcortical infaracts of ≤ 20 mm in axial diameter were included. The patients’ selection process is shown in Fig. 1.

The study was approved by the Medical Ethics Committee of West China Hospital, Sichuan University. The ethical principles involved in this research were strictly by the “Declaration of Helsinki”. The requirement for written informed consent was waived by the Medical Ethics Committee of West China Hospital, Sichuan University because of the retrospective study design.

**Data collection**

In-hospital data was obtained through medical records and interviews with patients or their families [17]. Demographic characteristics include age, sex, time from symptom onset to MRI, prior modified Rankin scale (mRS) [18], initial stroke severity (assessed by the national institutes of health stroke scale, NIHSS) [19], diastolic and
systolic blood pressure on admission, and vascular risk factors include hypertension, diabetes mellitus, hyperlipidemia, valvular heart disease, coronary artery disease, previous stroke, transient ischemic attack (TIA), alcohol abuse and smoking.

Clinical manifestations were systematically evaluated and defined based on the American Stroke Association's published stroke warning signs and the most common lacunar syndromes [20, 21], with some modifications. A trained medical student blinded to the imaging results performed a chart review and completed a standardized form to obtain information regarding the symptoms/signs of acute stroke and the symptoms/signs that prompted the patients to seek medical attention. A neurologist was consulted if uncertain data were encountered. Nine categories were classified: disturbed consciousness (eg. confusion/delirium; somnolence; stupor; coma), speech disturbance (eg. aphasia; dysarthria), central facial/tongue paralysis, eye movement disorders (eg. oculomotor nerve palsy; gaze palsy), hemiparesis, hemidysesthesia, dysphagia, ataxia, and complaint of dizziness/vertigo.

Functional outcome was measured by a modified Rankin scale (mRS) score [22], a 7-level ordered categorical scale (0 = independent, 6 = dead), through telephone interviews at 3 and 12 months after ischemic stroke.

**Neuroimaging**

MRI was performed according to a standardized protocol as part of routine clinical assessments. Imaging was performed on a 3-T MR scanner with an acquisition that consisted of T1 and T2-weighted, fluid-attenuated inversion recovery (FLAIR), axial trace DWI with b-values of 0 and 1,000, and apparent diffusion coefficient (ADC) sequences. The MRI parameters are: T1 (repetition time [TR] 1530 ms; echo time [TE] 9.2 ms); T2 (TR 4,000 ms; TE 93 ms); FLAIR (TR 5000 ms; TE 93 ms); diffusion tensor imaging (TR 4880 ms; TE 77 ms).

Assessment of As-CMI and Larger RSSI in RSSI patients

Two experienced raters blinded to demographic and clinical data independently reviewed the MRI studies to identify RSSI on MRI. RSSI was defined as hyperintense lesions on DWI in regions supplied by a penetrating artery, with a corresponding reduced diffusivity on ADC maps in the subcortical area, with a diameter ≤20 mm on axial sections. We chose the slice which showed the largest lesion size and measured the longest diameter in axial orientation. Of note, according to the proposed detection criteria for As-CMI [1], few very small DWI lesions had a corresponding isointense signal in ADC at the same location. Subcortical lesion location was classified into four regions (basal ganglia, centrum semiovale, thalamus, and pons). We measured axial infarct diameter and classified two groups: As-CMI (less than 5 mm, shown in Fig. 2) and Larger RSSI (greater than 5 mm and less than 20 mm).

Assessment of SVD MRI markers

Lacunes, white matter hyperintensity (WMH), and enlarged perivascular spaces EPVS in basal ganglia (BG-EPVS) and centrum semiovale (CSO-EPVS) were rated according to the STRIVE consensus criteria [9]. Lacunes were defined as rounded or ovoid fluid-filled cavities in subcortical regions, hyperintensities (diameter range 3-15 mm) on T2-weighted sequence with the
corresponding hypointensity or with a hyperintense rim on FLAIR. WMH was characterized on FLAIR images using the Fazekas scale. The severity of WMH was rated (0 to 3) separately in deep and periventricular regions, with the sum of the scores providing a total WMH score. Extensive WMH was defined as deep white matter hyperintensity (DWMH) (score ≥ 2) or periventricular white matter hyperintensity (PWMH) (score 3). EPVS were measured as linear fluid-filled space hyperintensities on a T2-weighted sequence with a diameter less than 3 mm followed the typical course of a vessel in the grey or white matter and counted separately in the basal ganglia (BG) and centrum semiovale (CS), according to a 3-category ordinal scale (0–10, 10–25, and > 25). To qualify the cumulative effect of small vessel injury, the total burden of SVD was assessed on an ordinal scale (range 0 to 3), where 1 point was awarded to each of the following: the presence of any lacune, PWMH score of 3 and/or DWMH score ≥ 2, and the number of BG EPVS > 10.

MRI images were visually inspected with software (RadiAnt DICOM Viewer1.0.4.4439; Medixant Ltd, Poznan, Poland). SVD markers and As-CMI were evaluated separately by raters (C.Y.J and G.W) blind to clinical data. A second rater (W.D.T) evaluated a random sample of 50 patients to assess inter-rater agreement for presence of lacunes (kappa 0.82, P < 0.001), EPVS in CSO (kappa 0.622, P < 0.001), EPVS in BG (kappa 0.71, P < 0.001), severity of WMH (kappa 0.73, P < 0.001) and As-CMI (kappa 0.87, P < 0.001).

**Data analysis**

Continuous variables were expressed as mean ± standard deviation (SD) and categorical variables as frequencies and percentages. Comparison between the groups was performed using a chi-squared test or Fisher exact test for categorical variables, and an independent sample t-test or Mann–Whitney U test for continuous variables, as appropriate. Binary logistic regression methods were used to analyze the odds (with a 95% confidence interval) of As-CMI and SVD imaging markers. All p values were two-sided and values < 0.05 were considered statistically significant. All analyzes were performed using SPSS (version 23, SPSS Inc).
Results
Of the 584 patients finally included, 23 (3.9%) were defined as As-CMI. Five patients in the Larger RSSI group had multiple DWI small lesions.

Demographic and vascular risk factors in patients of As-CMI and Larger RSSI
The mean age of patients in the study population was 62.2 (SD 12.4) years and 71.9% were male. A higher frequency of a history of coronary artery disease was observed in the As-CMI subjects (p = 0.020). However, no significant differences were seen in other demographic or vascular risk factors between As-CMI and Larger RSSI groups (Table 1).

Clinical manifestations and lesion location in patients of As-CMI and Larger RSSI
In the As-CMI group, hemiparalysis (n = 20) was the most common neurological deficit, followed by central facial/lingual palsy (n = 10) and hemidysesthesia (n = 10). Most As-CMI lesions were in the basal ganglia (n = 5), followed by the thalamus (n = 5) and centrum semiovale (n = 4). Patients with As-CMI and Larger RSSI did not differ in clinical manifestations and lesion location generally, except for a lower percentage of dysarthria in the As-CMI (p = 0.008) (Fig. 3).

SVD neuroimaging markers in patients of As-CMI and Larger RSSI
After adjusting for age, sex, and coronary artery disease, As-CMI was associated with the presence of lacunes (odds ratio [OR] 2.88; 95% confidence interval [CI] 1.21–6.84), multiple lacunes (OR 3.5, CI 1.29–9.48) and higher total SVD burden (OR 1.68, CI 1.11–2.53). No association was found between As-CMI and the severity of WMH and BG-EPVS or CSO-EPVS (Table 2).

Follow-up
Data for 3-month and 1-year follow-ups were obtained from 526 of 584 (90.0%) and 513 of 584 (87.8%) eligible patients. The As-CMI group did not show a better functional outcome at 3-months follow-up (median mRS 1.0 vs.1.0; p = 0.924) and 12-months (median mRS 0 vs.1.0; p = 0.791) compared to the Larger RSSI group. Twenty patients (86.9%) in the As-CMI group completed 3 and 12-month follow-ups, of whom 8 patients (40%) had an improvement, 11 unaltered, and 1 unfavorable shift in mRS score, which were comparable to the Larger RSSI group (p = 0.820, Table 3).

Discussion
To the best of our knowledge, the present study is the first to examine As-CMI in a large series of consecutive patients in whom DWI has also shown RSSI. In our current study, we found that As-CMI was identified in 3.9% of RSSI patients. We found a similar distribution among risk factors, common symptoms/signs as well as lesion location. Secondly, we assessed the association between As-CMI and SVD markers and observed that the As-CMI was associated with lacunes and a higher total SVD burden. Thirdly, we demonstrated that patients with As-CMI did not have a better functional outcome compared to patients with Larger RSSI.

The mean age in our study was 62.2 years which is congruent with previous reports on the Asian race [23, 24]. Nonetheless, the mean age of previous reports on subcortical lacunes in the Caucasian race was higher [25, 26]. This may be due to the different population study design (i.e. in terms of age range), classification of lacunes and/or lacunar infarction, and race. In addition, a higher frequency of a history of coronary artery disease (CAD) was observed in subjects with As-CMI compared to the larger RSSI group. The epidemiology of SVD and its consequences on the heart is not well understood [27].
could be explained by a higher mean age in the As-CMI group that could cause an age-related high incidence of CAD. Furthermore, the vascular anatomy of the heart and the brain is quite similar, with arteries on the surface and penetrating arteries that provide tissue perfusion [28]. Thus, further studies are required to investigate the association between small vessel disease in the heart and brain and CAD.

In our series, dichotomization of RSSI according to the axial diameter (< 5 mm) did not result in quite different regional distributions and symptoms/signs frequencies. In patients with symptomatic As-CMI, nearly half of the lesions were located in the basal ganglia, followed by the thalamus, centrum semiovale (CSO), and brainstem. In addition, hemiparesis was the most common neurological deficit, followed by central facial/lingual palsy and hemidysesthesia. These are common symptoms in acute lacunar stroke according to the widely used OCSP classification [19]. In other words, the clinical symptoms/signs poorly discriminate the size of subcortical infarcts. Our findings showed that even the small lesion size of As-CMI could cause overt neurological
symptoms. Noticeably, As-CMI could also contribute to other low-frequency symptoms such as dysphagia, aphasia, or ataxia.

Another novel finding was the association between subcortical As-CMI and the presence of multiple lacunes and a high total SVD burden. Previous studies reported a higher SVD burden in patients with RSSI or As-CMI who presented with possible vascular cognitive impairment [7, 29]. However, the relation between different RSSI sizes and SVD burden was not explored. As-CMI located in the white matter mostly occurs in relationship to distal branches of perforating arteries [30]. The increased frequency of lacunes and SVD burden in As-CMI showed that As-CMI might be a significant sign of active small vessel disease. The anatomical and hemodynamic characteristics of these smaller and distal branches of medullary or lenticulostriate arteries may partly explain an increased vulnerability of these territories to hypoperfusion injury and other pathophysiological mechanisms such as arteriosclerosis, endothelial injury, or blood–brain barrier dysfunction [30, 31]. Interestingly, in a novel whole-brain vessel-wall MRI study, patients with symptomatic single subcortical infarction but without relevant MCA disease were included. Although lesion size was not measured in the study, it is found that superiorly distributed MCA plaques at the lenticulostriate arteries origin (LSA) are associated with morphological changes in the LSA [32]. Whether the smaller size of the lesion would reflect worse morphological changes in the supplying artery and cause heavier SVD damage needs to be verified.

In long-term follow-up, our data did not show that As-CMI patients had a better functional outcome and recovery. The mRS score we applied is good at measuring the degree of disability or dependence in the daily activities of patients after stroke. However, the majority of lacunar infarcts would cause minor stroke-related deficits. Among lacunar strokes, the mRS might not be a subtle tool to evaluate the degree of rehabilitation. A previous study indicated brain frailty was associated with a worse

Table 2 Neuroimaging markers of SVD between As-CMI and Larger RSSI groups

| Marker                              | As-CMI, \( n = 23 \) | Larger RSSI, \( n = 561 \) | Fully-adjusted* OR(95%CI) | \( P \) value |
|-------------------------------------|-----------------------|-----------------------------|----------------------------|---------------|
| Presence of Lacunes                | 14(60.9)              | 188(33.5)                   | 2.88(1.21–6.84)            | 0.016         |
| Lacunes number                      |                       |                             |                            |               |
| 0                                   | 9(39.1)               | 373(66.5)                   | Reference                  | 0.039         |
| 1                                   | 6(26.1)               | 102(18.2)                   | 2.34(0.81–6.79)            | 0.115         |
| >1                                  | 8(34.8)               | 86(15.3)                    | 3.50(1.29–9.48)            | 0.013         |
| The severity of WMH                 |                       |                             |                            |               |
| 0–2                                 | 11(47.8)              | 310(55.3)                   | Reference                  | 0.060         |
| 3–4                                 | 3(13.0)               | 154(27.5)                   | 0.52(0.14–1.90)            | 0.323         |
| 5–6                                 | 9(39.1)               | 97(17.3)                    | 2.29(0.90–5.80)            | 0.081         |
| The severity of EPVS in BG          |                       |                             |                            |               |
| \( \leq 10 \)                       | 5(21.7)               | 227(40.5)                   | Reference                  | 0.391         |
| 11–20                               | 13(56.5)              | 220(39.2)                   | 2.07(0.68–6.28)            | 0.198         |
| >20                                 | 5(21.7)               | 114(20.3)                   | 1.38(0.34–5.59)            | 0.645         |
| The severity of EPVS in CSO         |                       |                             |                            |               |
| \( \leq 10 \)                       | 4(17.4)               | 76(13.5)                    | Reference                  | 0.559         |
| 11–20                               | 10(43.5)              | 287(51.2)                   | 0.51(0.14–1.75)            | 0.285         |
| >20                                 | 9(39.1)               | 198(35.3)                   | 0.56(0.15–2.05)            | 0.383         |
| Total CVSD Burden                   | 2(1–3)                | 1 (0–2)                     | 1.68(1.11–2.53)            | 0.013         |

Abbreviation: SVD, Cerebral small vessel disease; WMH, White matter hyperintensity; EPVS, Enlarged perivascular spaces; BG, Basal ganglia, CSO, Centrum semiovale
* Adjusted for age, sex, coronary artery disease

Table 3 Outcomes at 3 and 12 months for As-CMI and Larger RSSI groups

| Time                  | As-CMI     | Larger RSSI | \( P \) value |
|-----------------------|------------|-------------|---------------|
| 3-months follow-up, n(%) | 506(90.1)  | 20(86.9)    | 0.924         |
| mRS score, median(IQR)| 1(0–2)     | 1(1–2)      |               |
| 12-months follow-up, n(%) | 492(87.7)  | 21(91.3)    |               |
| mRS score, median(IQR)| 0(0–1.5)   | 1(0–1)      | 0.791         |
| mRS shifts more than 1 point after 12-months follow-up, n(%) | 484(86.2)  | 20(86.9)    | 0.820         |
| Favorable, n(%)       | 161(33.3)  | 8(40.0)     |               |
| Unaltered, n(%)      | 294(60.7)  | 11(55.0)    |               |
| Unfavorable, n(%)    | 29(6.0)    | 1(5.0)      |               |

In long-term follow-up, our data did not show that As-CMI patients had a better functional outcome and recovery. The mRS score we applied is good at measuring the degree of disability or dependence in the daily activities of patients after stroke. However, the majority of lacunar infarcts would cause minor stroke-related deficits. Among lacunar strokes, the mRS might not be a subtle tool to evaluate the degree of rehabilitation. A previous study indicated brain frailty was associated with a worse
cognitive score with a stronger effect in lacunar stroke [33]. As-CMI was found to increase odds of lacunes and SVD burden, implying a correlation with a worse cognitive outcome that merits further investigation.

Our study has several strengths such as the large hospital-based sample with MR-DWI, comprehensive evaluation of clinical symptoms/signs, and the assessment of SVD markers and outcomes. However, we would like to acknowledge some limitations. First, there is an ongoing discussion on whether different RSSI lesion sizes are associated with a distinct etiology. Thus, the present study excluded patients with potential embolic sources or undetermined causative mechanisms to focus on small vessel arteriopathy. Second, cerebral microbleeds were not included in the total SVD burden evaluation since a large proportion of patients did not undergo gradient-echo T2*-weighted imaging. Third, because of its retrospective nature, we cannot avoid selection bias. Also, the number of As-CMI is relatively small and until now the evaluation of imaging markers of As-CMI and SVD are mainly based on neurologists.

In conclusion, we add to the increasing body of evidence that patients with As-CMI had a non-specific clinical profile but a higher burden of SVD, indicating As-CMI might be a sign of more severe small vascular injury. Whether its vascular features are associated with worse cognitive outcomes requires further investigation.

Abbreviations
As-CMI: Acute subcortical microinfarct; RSSI: Recent small subcortical infarcts; DWI: Diffusion-weighted imaging; STRIVE: The Standards for Reporting Vascular changes on nEUroimaging; MRI: Magnetic resonance imaging; FLAIR: Fluid-attenuated inversion recovery; ADC: Apparent diffusion coefficient; WMH: White matter hyperintensity; EPVS: Enlarged perivascular spaces; BG: Basal ganglia; CSO: Centrum semiovale; mRS: Modified Ranking scale.

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Authors’ contributions
WD T and YJ C contributed equally to this study. WD T: study concept, data analysis, statistics, and writing the paper. YJ C: patient recruitment and imaging data analysis. W G: imaging data analysis. WR K: study protocol design. M L: study concept and guidance. All authors reviewed and approved the manuscript.

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Availability of data and materials
Original data to support the results of this study are not publicly available due to privacy reasons of patients, but are available from the corresponding author upon reasonable request.

Declarations

Ethics approval and consent to participate
All authors consented to and approved this manuscript. All methods in this study were carried out in accordance with relevant guidelines and regulations. This study strictly followed the ethical principles set out in the “Declaration of Helsinki”. The study protocol was approved by the Medical Ethics Committee of West China Hospital, Sichuan University [2014(69)]. The requirement for written informed consent was waived by the Medical Ethics Committee of West China Hospital, Sichuan University because of the retrospective study design.

Consent for publication
Not applicable.

Competing interests
There are no competing interests.

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