Revisit of Open Clusters Upk 39, Upk 41 and Phoc 39: A New Binary Open Cluster Found

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Revisit of open clusters UPK 39, UPK 41 and PHOC 39: a new binary open cluster found

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ABSTRACT

We investigate the three open clusters near Aquila Rift cloud, named as UPK 39 (c1 hereafter), UPK 41 (c2 hereafter) in Sim et al. (2019) and PHOC 39 (c3 hereafter) in Hunt & Reffert (2021), respectively. Using photometric passbands, reddening, and extinction from Gaia DR3, we construct the color-absolute-magnitude diagram (CAMD). Using isochrone fits their ages are estimated as 6.3 ± 0.9, 8.1 ± 1.4 and 21.8 ± 2.2 Myr, respectively. Their proper motions and radial velocities, estimated using data from Gaia and LAMOST are very similar. From their orbits, relative distances among them at different times, kinematics, ages, and metallicities, we conclude that c1 and c2 are primordial binary open cluster, which are likely to have been formed at the same time, and c3 may capture c1, c2 in the future.

Keywords: Open star cluster (1160); Stellar kinematics (1608)

1. INTRODUCTION

Open clusters (OCs), gravitationally bound stars originally formed from giant molecular clouds (GMCs; Lada & Lada 2003), are building blocks of the Milky Way. Catalogs of OCs have been compiled for over a century (Dreyer 1888). High quality astrometric and photometric data from Gaia (Gaia Collaboration et al. 2016, 2018, 2021), combined with new highly efficient tools such as DBSCAN (Ester et al. 1996), HDBSCAN (Campello et al. 2013; McInnes et al. 2017), UPMASK (Unsupervised Photometric Membership Assignment in Stellar Clusters) (Krone-Martins & Moitinho 2014), have dramatically increased the number of OCs (Cantat-Gaudin et al. 2018, 2019; Castro-Ginard et al. 2018, 2019, 2020, 2022; Liu & Pang 2019; Sim et al. 2019; Bica et al. 2019; Ferreira et al. 2019, 2021; He et al. 2021, 2022; Hunt & Reffert 2021; Qin et al. 2021). A complete census of OCs within the solar neighborhood will provide a sound basis to investigate a number of scientific questions. OCs could support us investigate other scientific program as well, such as the metallicity gradient of the Galaxy and radial migration (Netopil et al. 2022; Zhang et al. 2021; Chen & Zhao 2020), spiral arms (Castro-Ginard et al. 2021b), and moving groups (Zhao et al. 2009; Liang et al. 2017; Yang et al. 2021). With more and more identified OCs, delicate analysis for them is needed to provide further understanding of the Galaxy.

In the region around the Aquila Rift cloud, we identified three OCs with similar proper motions (PMs). Two of them, c1, c2, were first found by Sim et al. (2019) and the other, c3 Hunt & Reffert (2021). Sim et al. (2019) identified the centers of c1 and c2, respectively, as \((\mu_{\alpha}, \mu_{\delta}) = (3.29 \pm 0.39, -8.65 \pm 0.41) \text{ mas} \cdot \text{y}^{-1}\) and \((2.51 \pm 0.22, -8.13 \pm 0.23) \text{ mas} \cdot \text{y}^{-1}\). Hunt & Reffert (2021) located the center of c3 as, \((\mu_{\alpha}, \mu_{\delta}) = (1.89 \pm 0.05, -8.75 \pm 0.05) \text{ mas} \cdot \text{y}^{-1}\). Sim et al. (2019) estimated the ages of c1 and c2 to be about 2.8 Myr and 7.1 Myr, respectively. As these three OCs are close to each other, young, and have similar PMs, we are interested in their detailed dynamic properties, such as the possibility they are gravitationally bound or interacting.

A certain percentage (≈ 12%) of OCs comprise binary or multiple systems in solar neighborhood (de La Fuente Marcos & de La Fuente Marcos 2009), either primordial
or captured. At the present rapid pace of OC discovery in general, one can expect new binary and multiple OCs to be identified.

In this paper, we estimate the ages of c1, c2 and c3 from isochrone fitting. We also estimate their three-dimensional space velocities. From these data and metallicity estimates we examine the possibility that they are or were physically associated.

The structure of this paper is as follows: Sec. 2 describes the data extracted from Gaia EDR3; In Sec. 3 we detail the procedures of member selections of the three clusters and isochrone fitting using Gaia DR3 passbands; Their ages, kinematic properties, relative distances, and metallicities are presented in Sec. 4; Our conclusions are given in Sec. 5; This paper is summarized in Sec. 6.

2. DATA

The Gaia EDR3 astrometric parameters (Gaia Collaboration et al. 2021; Lindegren et al. 2021b) ($\alpha, \delta, \varpi, \mu^*_\alpha, \mu_\delta$) are used to identify member candidates of the three clusters. We first select sources within a 40° × 40° zone on sky, and restrict the distance via the parallaxes $\varpi$. The relative errors of $\varpi$ and PMs are restricted to 10% to ensure the qualities of data. Furthermore, we apply the renormalized unit weight error (RUWE; Lindegren et al. 2018, 2021b) to refine our selections. The following constraints were used to define our primary sample:

- $260 < \alpha < 300$, $-20 < \delta < 20$, $1/0.700 < \varpi < 1/0.250$;
- $\varpi_{\text{over\_error}} > 10$;
- $\sigma_{\mu^*_\alpha}/|\mu^*_\alpha| < 0.1$;
- $\sigma_{\mu_\delta}/|\mu_\delta| < 0.1$;
- RUWE < 1.4.

Using the above criteria, 965,430 sources which contain all three Gaia passbands ($G, G_BP, G_RP$) are retained as our primary sample. However, only a small
fraction of this sample have radial velocities RVs in Gaia DR3 (Katz et al. 2022) and the number will drop dramatically if we restrict the relative error of RV too strictly. It is more reasonable to search for clusters members in 5D phase space \((x, y, z, \kappa \cdot \mu_x \cdot d, \kappa \cdot \mu_y \cdot d)\) (3D Cartesian coordinates and 2D tangential velocity), where \(\kappa = 4.74047\) and \(d\) is distance. The photometric distance from Bailert-Jones et al. (2021) is applied in the calculation and the inverse of parallax \(1/\pi\) is used for the distance for those without the photometric distance from Bailert-Jones et al. (2021). In addition, we correct \(\pi\) with the code\(^1\) from Lindgren et al. (2021a) to calculate the parallax zero point. Galpy (Bovy 2015) is used to calculate the Galactic Cartesian coordinates and velocities. We set the radial distance and height of Sun in Galactocentric frame at \(R_\odot = 8.3\) kpc (Gillessen et al. 2009), \(Z_\odot = 0.027\) kpc (Chen et al. 2001), and its velocity as \((U, V, W)_\odot = (11.1, 12.24, 7.25)\) km s\(^{-1}\) (Schönrich et al. 2010) relative to the Local Standard of Rest (LSR), where \(V_{\text{LSR}} = 240.0\) km s\(^{-1}\) according to Reid et al. (2014).

3. METHODS

3.1. Member Selections in 5D phase space

There are a number of known OCs in the region covering our primary sample. We collected catalogs from Cantat-Gaudin et al. (2018, 2019); Cantat-Gaudin & Anders (2020a); Cantat-Gaudin et al. (2020b); Castro-Ginard et al. (2018, 2019, 2020); Kharchenko et al. (2013); Liu & Pang (2019); Sim et al. (2019); He et al. (2021); Hunt & Reffert (2021) and show them in Fig. 1 as triangles of different colors. Note we also use parallaxes gleaned from the literature to restrict our search to OCs in the same distance range as our sample. We use the same definition as in our previous papers (Ye et al. 2021a,b) to calculate the number of neighbors of each star in 5D phase space. Stars within a radius of 15 pc in \((x, y, z)\) and a radius of 1.1 km s\(^{-1}\) in tangential velocity are defined as the neighbors of a given source. Applying a lower limit of \(\mu + 3\sigma\) (mean value plus three times standard deviation of neighbors) retains 3,432 stars, which includes almost all the clusters in the literature. We then test both HDBSCAN (Campello et al. 2013; McInnes et al. 2017) and DBSCAN (Ester et al. 1996; Pedregosa et al. 2012) to search for member candidates of clusters among those 3,432 stars. Eventually, we focus on the clusters in the region of \(275^5 < \alpha < 285^5, -5^5 < \delta < 4^5\). Using \(\sigma = 0.5\), minPts = 30 via DBSCAN in \((\alpha, \delta)\), this procedure yields the three OCs marked as colored crosses in Fig. 1. The blue and orange crosses correspond to c1 and c2. The green cluster corresponds to c3.

3.2. Member refinement with Radial Velocity

Both the literature PMs values and those determined for our OCs member candidates are quite similar. However, we had no prior knowledge of their radial velocities. In this paper, we found RVs for a few stars in Gaia DR3. The mean errors in RVs of the member candidates are: 10.81, 7.26, and 8.32 km s\(^{-1}\) for c1, c2, and c3, respectively. We then set these values as the upper limits for \(\sigma_{\text{RV}}\) in our subsequent RV analysis. We found two stars from c1 and c2, respectively, have RVs in LAMOST DR8 low resolution archive (Liu et al. 2015; Cui et al. 2012; Zhao et al. 2012, 2006). In each cluster, some RVs deviate greatly from the median value, especially at \(G > 14.5\) mag. Such stars are removed from our candidate list. Finally, we adopt the RV for each cluster as the mean value of the remaining members. Note that c1 contains one star for which only a LAMOST RV is available; this star was included in the calculation of the mean RV for c1. In Fig. 2, the remaining RVs from Gaia DR3 are shown in Dec vs. RV as dots in different colors, and two RVs from LAMOST (in c1 and c2) are marked as triangles. The adopted values are \(-8.05, -9.53, -4.15\) km s\(^{-1}\) for c1, c2 and c3, respectively, and are indicated with the dashed lines in different colors in Fig. 2.

3.3. Color-absolute-magnitude Diagram and Isochrone Fitting

The photometric parameters \((G, G_{\text{BP}}, G_{\text{RP}})\) and their errors, reddening, extinction used in this paper are from

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\(^1\) https://gitlab.com/icc-ub/public/gaiadr3_zeropoint
Figure 3. $[\text{M}/\text{H}]$ vs. age. The gray shadows show the coverages of PARSEC isochrones used in this paper. The colored crosses present the top 1% of isochrones that have smallest $\bar{d}^2$ for the three clusters.

Gaia DR3, which are obtained by cross-matching the member candidates with Gaia DR3 in TOPCAT (Taylor 2005). The errors in $(G, G_{BP}, G_{RP})$ are from CDS 2. For reddening $E(BP-RP)$ and extinction $A_G$, the uncertainties are taken as half the difference between upper and lower confidence levels, same as the uncertainties adopted in distance in this paper. There are $>73\%$, $>86\%$ and $>96\%$ stars having reddening and extinction data in Gaia DR3. With the dereddened color $(G_{BP} - G_{RP})_0$ and absolute magnitude $M_G = G - 5 \log \left( \frac{d_{pc}}{10} \right) - A_G$, where $d$ is the distance of each star, we estimated the ages of these clusters by fitting isochrones to each cluster’s CAMD. Two stars in c2 that diverge from the clearly coeval sequence were removed from the member candidate sample. The procedure outlined above yields 61, 103, and 78 member stars in each cluster. In Sim et al. (2019), c1 and c2 were determined to have ages of about 2.8 Myr and 7.1 Myr, respectively, according to isochrone fitting. The main sequence of c3 is noticeably different from the other two clusters. We use a series of PARSEC (version 1.2s) isochrones 3 (Bressan et al. 2012; Chen et al. 2014, 2019) with Gaia EDR3 photometric data to fit with these three clusters. The range of $[\text{M}/\text{H}]$ of the isochrone grid is $-0.5 \sim 0.3$ dex with an interval of 0.05 dex. The ages of isochrones range from 1 Myr to 30 Myr with an interval of 0.1 Myr. The closest isochrone for a cluster is adopted by minimizing $\bar{d}^2$ in CAMD. $\bar{d}^2$ is defined as Eq. 1 in Liu & Pang (2019). For a cluster with $n$ member candidates, $\bar{d}^2$ for a given isochrone is:

$$\bar{d}^2 = \frac{1}{n} \sum_{i=1}^{n} |x_i - x_{i, nn}|^2$$

2 https://vizier.cds.unistra.fr/viz-bin/VizieR-3?-source=I/355/gaiadr3

3 http://stev.oapd.inaf.it/cgi-bin/cmd
where $x_i$ gives the position of member star $i$ in CAMD, and $x_{i,nn}$ represents the nearest neighbor of member $i$ in the isochrone in the same parameter space. The nearest neighbor is identified by the k-D tree approach in scipy (Virtanen et al. 2020). The influences of the errors in $G_{BP}$, $G_{RP}$ and $G$ passpands have also been considered. For each star, we use the errors in $(G_{BP} - G_{RP})_0$ and $M_G$ to randomly generate 100 different data points following a Gaussian distribution and calculate $|x_i - x_{i,nn}|$ for $j$ in those data points. The mean value is adopted for star $i$ as $|x_i - x_{i,nn}|$. Then $d^2$ is evaluated between each cluster and each isochrone. To obtain the ages, we use the first 1% of the isochrones which have the smallest $d^2$. In Fig. 3, we present the 1% isochrone age vs. [M/H]. It is apparent in this figure that $c_1$ and $c_2$ are similar both in age ($c_1 : 6.3 \pm 0.9$ Myr, $c_2 : 8.1 \pm 1.4$ Myr) and [M/H] ($c_1 : -0.3 \pm 0.1$ dex, $c_2 : -0.3 \pm 0.1$ dex), and $c_3$ is much older and richer in [M/H] than the others.

4. RESULTS

4.1. Age

The estimated ages of $c_1$, $c_2$, $c_3$ from our best-fitting isochrones are $6.3 \pm 0.9$, $8.1 \pm 1.4$ and $21.8 \pm 2.2$ Myr, respectively. In Fig. 4, cluster members and isochrones with the corresponding best ages and [M/H] between $-0.5$ to $0.3$ dex are presented.

4.2. Galactocentric Cylindrical velocity

Averaging over our clusters members, the PMs of $c_1$, $c_2$ and $c_3$ are $(\mu^*_s, \mu^*_b) = (3.24 \pm 0.31, -8.59 \pm 0.43)$ mas $\cdot$ y$^{-1}$, $(2.65 \pm 0.27, -8.35 \pm 0.27)$ mas $\cdot$ y$^{-1}$ and $(2.06 \pm 0.20, -9.00 \pm 0.26)$ mas $\cdot$ y$^{-1}$, respectively. The PMs and RVs imply similar space velocities for these three clusters in a Galactocentric Cylindrical coordinates. We calculate that $(V_R, V_o, V_Z) = (-5.18, 238.33, -7.17)$ km $\cdot$ s$^{-1}$, $(-3.54, 236.09, -6.91)$ km $\cdot$ s$^{-1}$ and $(-8.42, 239.61, -3.61)$ km $\cdot$ s$^{-1}$ for $c_1$, $c_2$ and $c_3$, respectively, where $V_R > 0$ represents moving away from Galactic center, $V_o$ is the direction of Galactic rotation, and $V_Z$ points to the Galactic North Pole.

4.3. Orbit and Separation

As the velocities of these three OCs are very similar, we are curious about their Galactic orbits and three origins. We use MWPotential2014 in Galpy to trace their birthplaces that correspond to their ages. In the left panel of Fig. 5, we trace back $c_1$, $c_2$, $c_3$ to their birthplaces, marked as filled circles. The orange triangle shows $c_2$’s position when $c_1$ was born. The positions of
c3 in 6.3 Myr and 8.1 Myr ago are presented as other green signs. The right panel of Fig. 5 presents the orbits from their birthplaces to where they will be 400 Myr henceforth. The circles represent the origins and the crosses are their locations after 400 Myr. They did and will orbit together in the thin disk. The arrow in each panel shows the direction of motion.

The adopted mean tidal radius ($\bar{r}$) is 10 pc in de La Fuente Marcos & de La Fuente Marcos (2009). However, this value may be smaller than the actual average value. In Kharchenko et al. (2013), the mean value of $r_t$ is 11.59 pc as determined from an analysis of nearly 3,000 OCs. In recent research, parts of the known OCs have coronae or haloes (Meingast et al. 2021). According to Tarricq et al. (2022), the mean tidal radius is $\sim 30$ pc as inferred from an analysis of more than 300 OCs in the solar neighborhood. This same study suggested a few OCs have $r_t > 65$ pc. Leaving out those OCs with too large $r_t$, the mean $r_t$ is still $\sim 24$ pc in Tarricq et al. (2022). Some of our study’s cluster members do not have reddening and extinction estimates, which are important to estimating the stellar mass in CAMD using isochrones. The member candidates of c1, c2, c3 are clearly incomplete in $M_G$, as seen in Fig. 4. Therefore, $r_t$ calculated based on only these member candidates may be underestimated. In this work, we adopted an average tidal radius $\bar{r}_t \sim 24$ pc. Therefore, clusters separated by less than $3 \times r_t$ pc may be tidally interacting. In Fig. 6, the green shading with different transparencies are the regions where relative distances are in $1 \times r_t$, $2 \times r_t$ and $3 \times r_t$ pc.

The distributions of member candidates in Cartesian coordinates $xyz$ are presented in Fig. 7 to show the dimensions of the clusters and $r_t$ more clearly. In this figure, member stars in the $xy$ plane appear to be stretched along the line of sight, primarily due to uncertainties in distance, reddening and extinction. The solid circles are $r_t$ calculated from the total cluster mass of c1, c2 and c3, using Eq. 2 according to Pinfield et al. (1998),

$$r_t = \left(\frac{GM_{\text{total}}}{2(A-B)^2}\right)^{1/3}.$$  (2)
\( M_{\text{total}} \) in Eq. 2 is the accumulated stellar mass for a cluster. The other constants are: Gravitational constant \( G = 4.3 \times 10^{-6} \text{ kpc} (\text{km s}^{-1})^2 \text{ M}_{\odot}^{-1} \); the Oort constants \( A = 15.3 \pm 0.4 \text{ kpc}^{-1} \text{ km s}^{-1}, B = -11.9 \pm 0.4 \text{ kpc}^{-1} \text{ km s}^{-1} \) from Bovy (2017). The dashed circles represent the above three increments in \( \bar{r}_t \). Some members of \( c1 \) are within the range of \( \bar{r}_t \) of \( c2 \), and vice versa. However, \( c3 \) is farther apart from the other two clusters and is unlikely to be tidally influenced by them at the present time.

4.4. Metallicity

In Sec. 3.3, the metallicity \([\text{M/H}]\) was estimated from isochrone fitting: \(-0.3 \pm 0.1 \text{ dex} \) for \( c1, c2 \), and \(-0.1 \pm 0.1 \text{ dex} \) for \( c3 \). Here, we use \([\text{M/H}] (\text{mh gspphot})\) from Gaia DR3 to evaluate the metallicities of these clusters. We adopt the upper and lower bounds of \([\text{M/H}]\) to represent the uncertainties of \([\text{M/H}]\) and select 21 member candidates of each cluster with the smallest \([\text{M/H}]\) uncertainties, which lowers the mean uncertainties of \([\text{M/H}]\) to 0.02 dex for each cluster. In Fig. 8 we present those stars in \([\text{M/H}] \) vs. \( G \), and the median values of \([\text{M/H}]\) are \(-0.26 \text{ dex} \) for \( c1, c2 \), and \(-0.12 \text{ dex} \) for \( c3 \), indicated by the dashed lines.

5. CONCLUSION

In this section, we discuss the probable relationships among these three OCs based on their separations, kinematics, ages and metallicities. The properties of \( c1, c2 \) and \( c3 \) are summarized in Tab. 1.

When \( c1 \) was formed, \( c2 \) was very close to it. The relative distance between them is less than \( 2 \times \bar{r}_t \) pc at present. The extensions of \( \bar{r}_t \) for \( c1 \) and \( c2 \) have some areas overlapped at present, suggesting their tidally interacting. Their \((V_R, V_\phi, V_Z)\), also differ by less than 3 \( \text{ km s}^{-1} \). In addition, their ages and metallicities are almost the same. We conclude that \( c1 \) and \( c2 \) are a primordial binary cluster, formed simultaneously.

On the other hand, \( c3 \) was formed earlier and has a higher metallicity. In addition, the current separation between \( c3 \) and \( c1 \) (or \( c2 \)) and their relative space velocities suggest that there was very little chance that \( c3 \) was closer to \( c1 \) (or \( c2 \)) than \( \sim 3 \times \bar{r}_t \) since \( c3 \) was formed. Also, most massive member candidates in \( c3 \) is no more than about 4 \( \text{ M}_{\odot} \). Therefore, it is unlikely that \( c3 \) triggered the formation of the other two clusters. We consider \( c3 \) is not part of a multiple system with \( c1 \) and \( c2 \). However, Fig. 6 suggests that tidal exchanges of stars among \( c1, c2 \) and \( c3 \) may occur in the future.

6. SUMMARY

We have identified three OCs, named as \( c1, c2, c3 \) in this paper. Using data from Gaia DR3, we calculate the dereddened colors \((G_{BP} - G_{RP})_0\) and absolute magnitudes \( M_G \). Isochrone fits to the CAMD indicates that the ages of \( c1, c2, c3 \) are about \( 6.3 \pm 0.9, 8.1 \pm 1.4 \) and \( 21.8 \pm 2.2 \) Myr, respectively. All the cluster member candidates have similar \( PMs \). From a few \( RVs \) in Gaia and LAMOST, we have estimated the mean \( RVs \) for these clusters. The difference between \( c1 \) and \( c2 \) is less than \( 3 \text{ km s}^{-1} \). The Galactocentric Cylindrical velocities \((V_R, V_\phi, V_Z)\) = \((-5.18, 238.33, -7.17) \text{ km s}^{-1}, (-3.54, 236.09, -6.91)\)
**Figure 7.** Distributions of member stars of c1, c2 and c3 in Cartesian coordinates \(xyz\) centered at Sun. The solid circles are the tidal radii based on the total cluster mass, and the dashed circles are \(r_t \sim 24\) pc.

**Figure 8.** Distributions of \([M/H]\) vs. \(G\) for c1, c2, and c3. The colored lines present the median values of \([M/H]\) for the clusters.

\(\text{km} \cdot \text{s}^{-1}\) and \((-8.42, 239.61, -3.61)\) \(\text{km} \cdot \text{s}^{-1}\) for c1, c2 and c3, respectively. The above data were used to compute the orbits of each OC and the relative distances among them. Using metallicities for individual OC stars from Gaia DR3, the median values of \([M/H]\) are \(-0.26\) dex, \(-0.26\) dex, and \(-0.12\) dex, respectively. From relative distances, kinematics, ages, and metallicities, we conclude c1 and c2 comprise a simultaneously formed primordial binary OC. We also expect there may be tidal captures among c3 and c1, c2 in the future.
Table 1. Properties of c1, c2 and c3 from our member candidates.

| Name | gl  | $\sigma_{gl}$ | gb  | $\sigma_{gb}$ | d   | $\sigma_d$ | $\mu_\alpha^*$ | $\sigma_{\mu_\alpha}$ | $\mu_\delta$ | $\sigma_{\mu_\delta}$ | RV  | $V_t$ | $V_\phi$ | $V_\theta$ | $\tau$ | $\sigma_\tau$ | [M/H] |
|------|-----|---------------|-----|---------------|-----|-----------|----------------|---------------------|------------|---------------------|-----|-------|--------|----------|------|-----------|------|
|      | (1) | (2)          | (3) | (4)           | (5) | (6)        | (7)            | (8)                 | (9)        | (10)                | (11)| (12)  | (13)   | (14)     | (15) | (16)      | (17) |
| c1   | 31.28 | 0.36        | 5.25 | 0.25         | 0.426 | 0.008     | 3.24          | 0.31               | -8.59     | 0.43                | -8.05 | -5.18  | -7.17  | 6.3       | 0.9  | -0.26     |
| c2   | 31.86 | 0.49        | 2.98 | 0.37         | 0.468 | 0.017     | 2.65          | 0.27               | -8.35     | 0.27                | -9.53 | -3.54  | -6.91  | 8.1       | 1.4  | -0.26     |
| c3   | 27.17 | 0.39        | 2.59 | 0.23         | 0.377 | 0.009     | 2.06          | 0.20               | -9.00     | 0.26                | -4.15 | -8.42  | -3.61  | 21.8      | 2.2  | -0.12     |

Note—$\sigma_{gl}$, $\sigma_{gb}$, $\sigma_d$, $\mu_\alpha^*$ and $\sigma_{\mu_\alpha}$ are the standard deviations from cluster members. $\tau$ is the age of a cluster.

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