Gravitational waves from a plunge into a nearly extremal Kerr black hole

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We study numerically in the time domain the linearized gravitational waves emitted from a plunge into a nearly extremal Kerr black hole by solving the inhomogeneous Teukolsky equation. We consider spinning black holes for which the specific spin angular momentum $a/M = 1 - \epsilon$, and we consider values of $\epsilon \geq 10^{-6}$. We find an effective transient behavior for the quasi-normal ringdown: the early phase of the quasi-normal ringdown is governed by a decay according to inverse time, with frequency equaling twice the black hole’s horizon frequency. The smaller $\epsilon$ the later the transition from this inverse time decay to exponential decay. Such sources, if exist, may be interesting potential sources for terrestrial or space borne gravitational wave observatories.

I. INTRODUCTION

Perturbed black holes settle down to a quiescent state by radiating first like a damped oscillator, with a set of complex-valued frequencies known as the black hole’s quasi-normal modes. This exponential quasi-normal ringdown is followed by a power-law decay, the late-time tail part of the radiation.

In the toy model of a source-free scalar field it has been shown that for a nearly extreme Kerr (NEK) black hole, many weakly damped overtones share the same oscillation (real) frequencies, and the imaginary part of the complex frequencies are nearly equi-spaced in the early part of the ringdown\textsuperscript{[1]} (see also \textsuperscript{[2]}). Yang et al \textsuperscript{[1]} showed that this behavior of the quasi-normal modes leads to an effective decay rate of the superimposed overtones which decays like a power law, specifically inversely in time. It was suggested in \textsuperscript{[1]} that the slower decay rate of the superimposed overtones of a NEK black hole in the early part of the ringdown phase could provide a promising strong source for gravitational-wave detectors. Similar behavior of the complex frequencies of the quasi-normal overtones is found also for the gravitational case \textsuperscript{[3]}, suggesting that a corresponding inverse-time decay rate may be found there too.

Gralla et al \textsuperscript{[4]} considered the inspiral of a particle into a more massive NEK black hole in the frequency domain, and found a “smoking gun” feature of this scenario, specifically that the frequency of the gravitational radiation coming form the near-horizon region has twice the horizon frequency and the profile is damped exponentially. This property would be evident in the gravitational waves radiating from such a system, and the hallmark of astrophysical NEK black holes. For a NEK black hole the Innermost Stable Circular Orbit (ISCO) is very close to the event horizon, and therefore before the onset of the plunge phase of the motion the outgoing radiation is suppressed because the particle effectively corotates with the black hole, giving rise to the exponentially damped profile. The analysis in \textsuperscript{[4]} does not extend beyond the ISCO, and therefore does not include the imprint of the eventual plunge on the gravitational waveform. The analysis in \textsuperscript{[4]} also did not study in detail the behavior of the quasi-normal modes of the ringdown phase.

In this paper we combine the two questions, and consider the gravitational radiation emitted from a small particle plunging into a NEK black hole. We find both the “smoking gun” frequency first found in \textsuperscript{[4]} and the slow decay rate that was first suggested in \textsuperscript{[1]} based on the source-free scalar field toy model. Because we are primarily interested in the plunge phase, we do not study the exponential damping that was found in \textsuperscript{[1]}.

The near-horizon geometries of extreme and NEK black holes are diffeomorphic, and display conformal symmetries that allow the mapping of near-horizon circular trajectories for extreme black holes to plunge trajectories in the near-horizon geometry of NEK black holes \textsuperscript{[3]}. These conformal symmetries were used in \textsuperscript{[3]} to find the gravitational waves emitted from the plunge into a NEK black hole, and were given in terms of an infinite sum over the $\ell, m$ modes. Our results cannot be directly compared with the results of \textsuperscript{[3]} because we use different plunge trajectories than those used in \textsuperscript{[3]}. It remains an interesting question to directly compare these results.

Typically, the (linearized) gravitational radiation includes two distinct contributions of differing frequencies: first, the direct radiation of the inspiraling particle, and second, the spacetime response to the particle’s linearized perturbations, specifically the quasi-normal modes. In the case of inspiral into a NEK black hole, however, when the particle gets close to the light ring the frequencies of the two sources become comparable, which makes their separation difficult. To predict the gravitational waveforms from NEK black holes that observatories such as LIGO or eLISA may detect one needs therefore to consider a full picture, in which both the particle’s direct radiation and the NEK black hole’s quasi-normal ringdown radiation are considered simultaneously.

Black holes are limited by the maximal spin angular momentum they can have. According to the Cosmic Censorship Hypothesis, a black hole of mass $M$ and spin angular momentum $J$ has specific spin angular momentum $a := cJ/(GM)$ which satisfies $0 \leq a/M \leq 1$. We use below geometrized units in which $G = 1 = c$. While the Cosmic Censorship Hypothesis does not forbid black
holes from being as close to this limit as one wishes (or
even at the limit), we know of no dynamical processes
that can evolve a gravitational system arbitrarily close
to this limit. Realistic processes limit the dynamical for-
malization of fast spinning black holes to a/aM \lesssim 0.998\,[6].
(See also [3].) We are taking here an agnostic viewpoint
on the formation of NEK black holes. Specifically, we
ask what the gravitational waveforms from a NEK black
hole would be, if nature provided a mechanism for their
creation.

We consider a compact object of mass \( \mu \), and a central
NEK black hole of mass \( M \) and specific spin angular momen-
tum \( a \), such that \( a/M = 1 - \epsilon \), where \( \epsilon \ll 1 \). Below,
we present results scaled for \( \mu/M = 1 \), and for actual low
mass ratio values the results can be rescaled with the de-
sired value of \( \mu/M \). The orbital evolution is driven by
radiation reaction effects during the inspiral phase of the
orbital evolution. When the orbital evolution changes
to plunge, the radiation reaction effects become less im-
portant, because the time scale for radiation reaction is
much longer than the dynamical time scale characteriz-
ing the plunge phase. We therefore approximate the
motion of the particle by geodesic motion for the plunge
phase. Comparing our results for the plunge with those
obtained from an Effective One Body approach to radia-
tion reaction for large \( \epsilon (\epsilon \geq 10^{-4}) \) shows consistency.

In practice, we present here results for the angular momen-
tum per unit mass of the plunging particle, \( L/\mu = 2M \)
and energy per unit mass, \( E/\mu = 1 \) for motion on the
equatorial plane and waves extracted at infinity on the
equatorial plane for the azimuthal modes, i.e., modes \( m \) such
that the multipoles \( \ell \) are summed over. We emphasis
that the \( m = 2 \) mode does not dominate the radia-
tion, and other azimuthal modes also contribute signifi-
cantly in the NEK radiation [4]. We have tested other \( m \)
modes, specifically \( m = 3 \) and \( m = 4 \), with similar results
for our main conclusion, specifically the transient inverse-
time decay rate of the quasi-normal ringdown. For each \( m \) mode
the greatest contribution to the radiation comes from the \( \ell = m \) mode, consistently with the results of [6].

This paper is organized as follows. In Section II, we de-
scribe in detail the numerical technology used including
new elements introduced to the inhomogeneous Teukol-
sky solver that allow us to efficiently answer the inter-
esting questions raised here. In Section III, we present
our numerical results for the frequency, amplitude, and
energy flux at infinity. We discuss in Section IV the pos-
sibility of detection of a gravitational wave signal from a
NEK black hole source.

II. NUMERICAL TECHNOLOGY

In order to compute the gravitational-wave signal from
a small object plunging into a NEK black hole, we use
point-particle perturbation theory for Kerr black hole
spacetime. The mathematical formulation in this con-
text is the Teukolsky master equation with a particle
source-term, which describes scalar, vector and tensor
field perturbations in the space-time of a rotating black
hole [10]

\[
- \left( \frac{r^2 + a^2 s^2}{\Delta} \right) - \frac{a^2 \sin^2 \theta}{\Delta} \partial_t \Psi - \frac{4Ma r}{\Delta} \partial_{\phi} \Psi \\
- 2s \left[ r - \frac{M(r^2 - a^2)}{\Delta} + ia \cos \theta \right] \partial_r \Psi \\
+ \Delta^{s-1} \partial_r \left( \Delta^{s+1} \partial_t \Psi \right) + \frac{1}{\sin^2 \theta} \partial_b \left( \sin \theta \partial_b \Psi \right) + \\
\left[ \frac{1}{\sin^2 \theta} - \frac{a^2}{\Delta} \right] \partial_{\phi b} \Psi + 2s \left[ \frac{a(r - M)}{\Delta} + i \cos \theta \right] \partial_{\phi b} \Psi \\
- \left( s^2 \cot^2 \theta - s \right) \Psi = -4\pi \left( r^2 + a^2 \cos^2 \theta \right) T,
\]

where \( \Delta = r^2 - 2Mr + a^2 \) and \( s \) is the “spin weight” of the
field. The \( s = -2 \) version of these equation describes
the radiative degrees of freedom of the gravitational field
in the radiation zone, and can be simply related to the
Weyl curvature scalar as \( \Psi = (r - ia \cos \theta)^s \psi_4 \) which in
turn can be directly connected with the two polarizations
of the emitted gravitational wave at null infinity via the
relation

\[
\psi_4 \rightarrow \frac{1}{2} \left( \frac{\partial^2 h_+}{\partial t^2} - \frac{\partial^2 h_\times}{\partial t^2} \right)
\]

The point-particle source-term \( T \) on the right hand
side is related to the particle’s energy-momentum tensor

\[
T_{\alpha \beta} = \mu \sum \frac{u_{\alpha \beta}}{\sin \theta} \delta \left[ r - r(t) \right] \delta \left[ \theta - \theta(t) \right] \delta \left[ \phi - \phi(t) \right]
\]

expressed in Boyer-Lindquist coordinates, with \( \Sigma = r^2 +
a^2 \cos^2 \theta \), proper-time \( \tau \) and \( u_{\alpha} \) being the particle’s 4-
velocity. The source-term \( T \) is constructed by projecting
the energy-momentum tensor above onto the Kinnersley
tetrad and then operating upon that with a complicated
second-order differential operator [10]. Additional details
are available in this Ref. [11].

One remark worth making is on the behavior of the source-term \( T \) as the particle approaches the horizon.
Note the presence of \( \partial t / \partial \tau \) in the denominator of Eq.
[4]. As the particle approaches the horizon, \( t \rightarrow \infty \)
and that results in the source-term \( T \) diminishing in magni-
tude rapidly. As a result of this, the Teukolsky equation
[4] smoothly transitions into its homogeneous form, con-
necting the gravitational radiation from the plunge phase
to the Kerr hole’s quasi-normal modes in a very natural
way.

Computing the gravitational waveforms from the cap-
ture of a small object by a Kerr black hole, involves a
two-step process. First, we generate a full trajectory that
would be taken by the particle as it spirals into the black
hole. As pointed out before, for the purposes of this cur-
rent work, we simply use a plunging geodesic with orbital
parameters \( E/\mu = 1 \) and \( L/\mu = 2 \). Since our main in-
terest here is the very late stage of the binary system’s
evolution, i.e., the plunge and ringdown phases, this is a
reasonable simplification. Once the complete trajectory of the smaller object is available the second step in the process is initiated. The Teukolsky equation is solved in the time-domain \cite{12 14} by feeding the trajectory information into the particle source-term on the equation’s right-hand-side dynamically. This computation directly generates a high-accuracy time-domain waveform for any further analysis or study. The time-domain approach is used for the waveform computation (as opposed to the more common and simpler frequency-domain approach), because it is more appropriate during the late-phase of the system’s evolution, since it no longer has any periodic motion.

The numerical methodology we use to solve the Teukolsky equation \cite{11} is the same as the one presented in our earlier work (see Ref. \cite{11} and references therein). The main steps of the method are as follows: (i) we first rewrite the Teukolsky equation using suitable coordinates (explained in the following paragraph); (ii) taking advantage of axisymmetry, we separate the dependence on azimuthal coordinate $\phi$, thus obtaining a set of (2+1)-dimensional equations; (iii) we then recast these equations into a first-order, hyperbolic partial-differential-equation form; and finally (iv) we implement a two-step, second-order Lax-Wendroff, time-explicit, finite-difference numerical evolution scheme. The particle-source term on the right-hand-side of the Teukolsky equation requires some care for such a finite-difference numerical implementation. Additional details can be found in our earlier work \cite{11 12} and the associated references.

Furthermore, two recent advances made to the time-domain portion of the computation have made the process of computation of the time-domain waveform highly accurate and efficient. First, a compactified hyperboloidal layer was added to the outer portion of the computational domain that allows for the extraction of the waveform data directly at null infinity \cite{13} and completely eliminates the “outer boundary problem” which is usually a challenge for all such computations. Second, advances made via parallel computing, in particular, OpenCL/CUDA-based GPGPU-computing has allowed for the possibility of performing very long duration and high-accuracy computations within a reasonable time-frame. With these enhancements, numerical errors in these computations are typically on the scale of a small fraction of a percent \cite{13}.

One new enhancement that was deemed necessary for this current work is an increase in the numerical precision associated to the floating-point computation, beyond the common double-precision. This is because our main focus in this work is on quasi-normal modes that tend to decay rapidly (exponentially) and errors arising from finite numerical precision can often plague those computations, especially in the context of long evolutions. However, high-precision floating-point arithmetic is exceedingly expensive computationally, even on high-end many-core parallel processors like GPUs. Therefore, we made use of a mixed-precision approach, wherein the computation-
ally expensive source-term $T$ computation was performed with the usual double-precision accuracy, but the Lax-Wendroff stepping was done in full quadruple-precision. This hybrid approach produced reasonably good quality results within a suitable time-frame.

As pointed out above, an important part of our computational model is the particle approaching the horizon, and the associated natural decay of the source term for the Teukolsky equation. Our computational grid is uniform in the $r^*$ radial coordinate which makes it very well suited to resolve the complex physics that occurs near the hole. This is particularly significant in the context of the NEK spacetime, because physically important quantities such as the ISCO, the light-ring, and the horizon tend to be very close to each other (in the ordinary $r$ coordinate). Now, for most Kerr spacetime related computations it is sufficient to place the computational grids inner boundary at a value of $r^* \approx -100M$ because on a uniformly spaced $r^*$ grid the $r$ coordinate approaches the horizon rather fast: the spacing $\delta r$ becomes smaller than machine precision at modest negative values of $r^*$. However, this is not the case for an NEK spacetime. Therefore, a challenge faced in the context of NEK holes is that the inner boundary must be located at a much larger negative value. This, of course, makes the scale of the computation proportionately larger and therefore, very challenging. A better alternative could be to add another compactifying layer, at large negative values of $r^*$. We did not pursue that approach in this work. Instead, we extended the inner boundary to $r^* = -1000M$, which allowed us to consider NEK black holes down to $\epsilon = 10^{-6}$.

As indicated earlier, we only model the plunge and ringdown phases in this current work. We ignored the inspiral phase for several reasons: (i) our focus is the unique nature of the NEK quasi-normal modes, and their associated detectability by current and future gravitational wave observatories, (ii) the inspiral phase waveform has already been analyzed well in detail by other authors \cite{3}, (iii) as pointed out before, computationally modeling the near horizon NEK spacetime was fairly challenging already, and adding the many cycles of the inspiral phase would push the problem beyond current reach without much benefit for the question of interest, and (iv) the inclusion of radiation reaction during the inspiral phase for NEK spacetime requires special treatment \cite{4}, which will only little change the results for the plunge phase and the ensuing quasi-normal ringdown.

\textbf{III. RESULTS}

\textbf{A. The waveform}

We present in Fig. 1 the gravitational waveforms $h_{22}^+$ for three values of the spin parameter $\epsilon$. Figure 1 shows different behavior for small and large values of $\epsilon$. Specifically, for large values of $\epsilon$ the decay of the field is expo-
nential, but for small values of $\epsilon$ it is a power law. Similar results are also found for $h_{22}^\pm$.

B. The frequency

The frequency of of gravitational radiation is predicted by Gralla et al \cite{4} to be twice the horizon frequency. The latter is $\Omega_+ = a/(2M r_+)$, where $r_+$ is the event horizon, located at $r_+ = M + \sqrt{M^2 - a^2}$. To test how our frequencies agree with this prediction, we expand the horizon frequency in $\epsilon$, $1 - 2M\Omega_+ = \sqrt{2} \epsilon + O(\epsilon)$. In Fig. 2 we show the angular frequency of the gravitational radiation, $\omega$, as a function of time for several values of $\epsilon$. Specifically, we show $(1 - M\omega)/\sqrt{2} \epsilon - 1$ as a function of $M/t$. This quantity should approach 0 as $M/t \to 0$. (More accurately, it should approach $-\epsilon$.) Our data are clearly consistent with this expectation. Notice that for large values of $\epsilon$ (i.e., $\epsilon \gtrsim 10^{-3}$) the frequency starts oscillating at large values of the time. The reason for this behavior is that as the field’s amplitude decays exponentially in time the numerical accuracy is compromised. We infer that indeed the frequency of the gravitational waves in the ringdown phase is twice the horizon frequency.

C. The amplitude

Next, we present the amplitude of the field in Fig. 3. Specifically, we present $|\langle h_{22}^+ \rangle^2 + \langle h_{22}^\times \rangle^2|^{1/2}$ as a function of the time.

Figure 3 suggests a transient behavior of decay rate $M/t$. To better illustrate this transient behavior we plot in Fig. 4 the amplitude as function of inverse time, in addition to a reference line $M/t$. Figure 4 shows that the smaller $\epsilon$, the later the amplitude “peels off” the $M/t$ behavior, and eventually becomes exponential, as is the case with quasi-normal radiation of non-NEK black holes. This transient behavior is similar to the one found by Yang et al for a source-free scalar field \cite{11}.

In Fig. 5 we study the decay rate more precisely. Specifically, we consider as Ansatz for the gravitational case the behavior found in \cite{11} for a source-free scalar field, specifically,

$$h_{22}^\pm,\times \approx \sqrt{e} \frac{e^{-\sqrt{e}/(2t)}}{1 - e^{-\sqrt{e}/(2t)}}.$$

\(\text{(4)}\)
A similar relation was found in [1] for a source-free scalar field after careful analysis of the quasi-normal overtones. Here, we take this behavior as an Ansatz, which we test against the numerical results. We are primarily interested in the local behavior of the field, and therefore use the local power index \( n(t) \),

\[
    n(t) = t \frac{\dot{h}_{22}}{h_{22}} 
\]

where \( h_{22} \) denotes the amplitude of the field, and an overdot denotes a derivative with respect to time. We apply (5) both to the numerical results for the amplitude, and for the Ansatz (4). Figure 5 shows \( n(t) \) as function of the time for several values of \( \epsilon \). The transient behavior of \( n(t) \approx -1 \) can be seen as protracting longer the smaller \( \epsilon \). We also find that the Ansatz (4) agrees very well with the numerical simulations.

Next, we consider the dependence of the amplitude and the local power index on the mode number. Specifically, we consider the values \((\ell, m) = (2, 2), (3, 3)\) and \((4, 4)\). In Fig. 6 we show the amplitude of the field (upper panel) and the local power index (lower panel) for each mode for \( \epsilon = 1 \times 10^{-6} \). We find that the local power indices are nearly the same, including the transient epoch with decay rate that is inverse in time. The asymptotic amplitudes of the fields are very different, with the \((2, 2)\)-mode amplitude greater than the \((3, 3)\)-mode amplitude by a factor \( \sim 3 \), and the \((3, 3)\)-mode amplitude greater than the \((4, 4)\)-mode amplitude by a factor \( \sim 5 \).

**D. Energy flux**

The transient behavior we show implies that the energy flux too decays at a slower rate. We show in Fig. 7 the energy flux for the sum over all multipoles \( \ell \) for the mode \( m = 2 \), calculated numerically by

\[
    \dot{E}(t) = \frac{1}{4\pi} \lim_{r \to \infty} \int d\Omega r^2 \int dt' \psi_4^*(t') \psi_4(t') ,
\]

where \( \psi_4 \) is the Weyl scalar, and \( d\Omega \) is the line element on the unit 2-sphere. For small values of \( \epsilon \) the energy flux at infinity have a transient behavior of \( \sim t^{-3} \), and the larger \( \epsilon \), the sooner the energy flux deviates from this pattern.
behavior and becomes exponential, as it is for non-NEK black holes.

The relative contributions to the flux at infinity of the different \( m \) modes (when all multipoles \( \ell \) are summed over) in shown in Fig. 8. The contribution of the \( m = 3 \) modes to the flux is comparable to that of the mode \( m = 2 \), at about 85% of the latter during the epoch of inverse time decay. The \( m = 4 \) mode is substantially weaker, at about 40%. These results for the plunge are consistent with the results from the earlier inspiral phase: as shown in [4], the \( \ell = 2, m = 2 \) mode contributes only about 10% of the total flux during the near horizon inspiral.

IV. DETECTABILITY

As pointed out in [1] and in [4] the unique gravitational waveform from an inspiral and plunge into a NEK black holes provides an interesting opportunity for gravitational wave detection. The “smoking gun” evidence found in [4] will serve as a unique feature of the gravitational waveform that will facilitate identification. In addition, the slowly decaying quasi-normal modes found in [1] will allow for a longer detection of the signal. Here, we apply the ideas that were brought in [1] in the context of a source-free scalar field for gravitational waves.

Specifically, we consider, for the sake of comparison, a NEK black hole of the same mass as the final mass of the resulting black hole of GW150914, i.e., \( M = 67 \pm 4 M_\odot \) (in the detector frame) [16]. We take however the spin rate of the black hole to be \( a/M = 1 - \epsilon \), where for specificity we take \( \epsilon = 1 \times 10^{-6} \). We then assume that some hypothetical NEK black hole source emits radiation whose maximum strain at the detector is the same as that of GW150914.

FIG. 7: The flux of energy at infinity for the sum over all multipoles \( \ell \) for the mode \( m = 2 \). Shown are the curves for several values of \( \epsilon \). The inset repeats the curves for \( \epsilon = 1 \times 10^{-6} \) and adds the reference line \( \sim t^{-3} \).

FIG. 8: The logarithms of the fluxes of energy at infinity for the sums over all multipoles \( \ell \) for the modes \( m = 2 \) (solid curve), \( m = 3 \) (dashed curve), and \( m = 4 \) (dash-dotted curve) as functions of the logarithm of time. The inset shows the ratios \( \dot{E}_m/\dot{E}_2 \) for \( m = 3 \) (solid curve) and \( m = 4 \) (dashed curve) as functions of the time. For all cases \( \epsilon = 1 \times 10^{-6} \).

FIG. 9: The strain in gravitational waves for a hypothetical NEK source of \( \epsilon = 1 \times 10^{-6} \) that is detected with the same maximal strain at the detector as GW150914, when the mass of the NEK black hole in the detector frame is \( M = 67 M_\odot \). Shown are the the NEK strain (solid curve), the GW150914 signal in L1 (dashed curve), and the numerical relativity data for GW150914 projected onto the L1 detector in the frequency range 35 – 350 Hz: The thin dashed-dotted curve shows a numerical relativity waveform for a system with parameters consistent with those recovered from GW150914 confirmed by an independent calculation.
We display in Fig. 9 the gravitational waveform from the NEK source superimposed on the signal of GW150914, when both signals are normalized to the same strain amplitude at maximum strain, which we set at $t = 0$. The GW150914 data shown are the detected strain in the L1 observatory and the numerical relativity data projected onto the LIGO L1 detector in the frequency band 35 – 350 Hz \[^1\] (Similar waveforms were detected also in H1.)

Figure 9 confirms that indeed the detectability of a NEK black hole is enhanced compared with that of a non-NEK black hole. Specifically, indeed the slow damping (i.e., damping in inverse time instead of exponential) allows for both a higher signal-to-noise ratio and for significantly longer detection times. For higher mass NEK black holes the decay rate is even slower, making the detected signal longer lived.

Gralla et al \[^4\] consider the potential confusion of the gravitational waves coming from the near horizon inspiral with the quasi-normal modes. Specifically, in \[^4\] it is pointed out that the pre-near horizon inspiral history of the gravitational waveforms can remove the ambiguity. We are now in a position to add also the post-near horizon inspiral: the characteristic decay at twice the horizon frequency with decay in inverse time is characteristic of the plunge and initial ringdown radiation from NEK black holes. Depending on the value of $\epsilon$, the observed initial inverse-time decay rate may even change to an exponential decay rate.

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