A CONCISE APPROACH TO SMALL GENERATING SETS OF LATICES OF QUASIOORDERS AND TRANSITIVE RELATIONS

GÁBOR CZÉDLI AND JÚLIA KULIN

ABSTRACT. By H. Strietz, 1975, and G. Czédli, 1996, the complete lattice Equ(A) of all equivalences is four-generated, provided the size |A| is an accessible cardinal. Results of I. Chajda and G. Czédli, 1996, G. Takáč, 1996, T. Dolgos, 2015, and J. Kulin, 2016, show that both the lattice Quo(A) of all quasiorders on A and, for |A| ≤ ℵ₀, the lattice Tran(A) of all transitive relations on A have small generating sets. Based on complicated earlier constructions, we derive some new results in a concise but not self-contained way.

1. Introduction

Basic concepts. Quasiorders, also known as preorders, on a set A form a complete lattice Quo(A). So do the transitive relations on A; their complete lattice is denoted by Tran(A). Similarly, Equ(A) will stand for the lattice of all equivalences on A. The natural involution, which maps a relation ρ to its inverse, ρ∗ := ρ⁻¹ = {(x, y) : (y, x) ∈ ρ}, is an automorphism of each of the three lattices mentioned above. If, besides arbitrary joins and meets, the involution is an operation of the structure, then we speak of the complete involution lattices Quo(A) and Tran(A). However, it would not be worth considering the involution on Equ(A), because it is the identity map. Unless otherwise stated, generation is understood in complete sense. That is, for a subset X of Equ(A), Quo(A), or Equ(A), we say that X generates the complete (involution) lattice in question if the only complete sub lattice (closed with respect to involution) including X is the whole lattice itself. For k ∈ ℕ := {1, 2, 3, . . . }, we say that a complete lattice L is k-generated if it can be generated by a k-element subset X; k-generated complete involution lattices are understood similarly. Since the involution commutes with infinitary lattice terms, we obtain easily that

if a complete involution lattice L is k-generated, then

(1.1) the complete lattice we obtain from L by disregarding the involution is 2k-generated.

Note that when dealing with finite sets A or finite lattices, then the adjective “complete” is superfluous; this trivial fact will not be repeated all the time later.

If a complete lattice is generated by a four-element subset X = {x₁, x₂, x₃, x₄} such that x₁ < x₂ but both {x₁, x₃, x₄} and {x₂, x₃, x₄} are antichains, the we say that this lattice is (1 + 1 + 2)-generated.

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We need also the concept of accessible cardinals. A cardinal $\kappa$ is accessible if it is finite, or it is infinite and for every $\lambda \leq \kappa$,

- either $\lambda \leq 2^\mu$ for some cardinal $\mu < \lambda$, 
- or there is a set $I$ of cardinals such that $\lambda \leq \sum_{\mu \in I} \mu$, $|I| < \lambda$, and $\mu < \lambda$ for all $\mu \in I$.

Since all sets in this paper will be assumed to be of accessible cardinalities, two remarks are appropriate here. First, ZFC has a model in which all cardinals are accessible; see Kuratowski [9]. Second, we do not have any idea how approach the problem if $|A|$ is an inaccessible cardinal.

Earlier results. Since a detailed historical survey has just been given in Czédli [6], here we mention only few known facts. By Strietz [10] and Zádori [13], and Czédli [4], the complete lattice $\text{Equ}(A)$ of all equivalences is four-generated, provided the size $|A|$ of $A$ is an accessible cardinal and $|A| \geq 2$. Also, we know from these papers that $\text{Equ}(A)$ cannot be generated by less than four elements if $|A| \geq 4$. We know from Chajda and Czédli [11] and Takáč [12] that the complete involution lattice $\text{Quo}(A)$ is three-generated for $|A| \geq 2$ accessible, whereby we conclude from (1.1) that $\text{Quo}(A)$ is six-generated as a complete lattice. Actually, we know from Dolgos [7] for $2 \leq |A| \leq \aleph_0$ and from Kulin [8] for the rest of accessible cardinals that the complete lattice $\text{Quo}(A)$ is five-generated. Furthermore, it was proved in Czédli [6] that the complete lattice $\text{Quo}(A)$ is four-generated for $|A| = \{\aleph_0 \} \cup (\mathbb{N} \setminus \{1,4,6,8,10\})$. It is also shown in [6] that the complete lattice $\text{Quo}(A)$ cannot be generated by less than four elements, provided $|A| \geq 3$. Special variants of the above results, without considering the lattices $\text{Equ}(A)$ and $\text{Quo}(A)$ complete, were given in Czédli [3] and [6]. Dolgos [7] has recently shown that the complete lattice $\text{Tran}(A)$ is eight-generated for $2 \leq |A| \leq \aleph_0$. Finally, we know from Zádori [13], which improves Strietz [10, 11] by reducing 10 to 7, and from Czédli [5] that the complete lattice $\text{Equ}(A)$ is $(1 + 1 + 2)$-generated provided $|A| \geq 7$ and $|A|$ is an accessible cardinal.

Concise versus self-contained. Although the proofs given here are short, sometimes very short, these proofs rely on nontrivial earlier constructions. If someone wanted to replace the proofs of the theorems that are included in the rest of the present paper, then he would need to add several additional pages to each of these proofs; typically, about 15-20 pages to the proofs dealing with all accessible cardinals.

2. Preparatory lemmas and notation

As it is usual in lattice theory, we use “$\subset$” to denote proper inclusion, which excludes equality.

Lemma 2.1 (Kulin [8 page 61]). If $3 \leq |A|$ and $S$ is a complete sublattice of $\text{Quo}(A)$ such that $\text{Equ}(A) \subset S$, then $S = \text{Quo}(A)$.

Notation 2.2. For $a \neq b \in A$, let

\[ [a, b]^e := \{(a, a), (a, b), (b, b), (b, a)\} \]
\[ \langle a, b \rangle^g := \{(a, a), (a, b), (b, b)\}, \text{ and} \]
\[ \{a, b\}^{tr} := \{(a, b)\}; \]
they are the least equivalence, the least quasiorder, and the least transitive relation, respectively, containing the pair \((a, b)\). While \(\{a, b\}^+\) is always an atom of \(\text{Tran}(A)\) and all atoms of \(\text{Tran}(A)\) are of this form, \([a, b]^\circ\) is an atom of \(\text{Equ}(A)\) iff \((a, b)^\circ\) is an atom of \(\text{Quo}(A)\) iff \(a \neq b\), and all atoms of \(\text{Equ}(A)\) and \(\text{Quo}(A)\) are of this form.

**Definition 2.3.** By a Zádori configuration of rank \(n \in \mathbb{N}\), we mean an edge-colored graph \(F_n = \{a_0, a_1, \ldots, a_n, b_0, \ldots, b_{n-1}\}\) with \(\alpha\)-colored horizontal edges \((a_i, a_i)\) and \((b_j, b_j)\) for \(i \in \{1, \ldots, n\}\) and \(j \in \{1, \ldots, n-1\}\), \(\beta\)-colored vertical edges \((a_i, b_j)\) for \(i \in \{0, \ldots, n-1\}\), and \(\gamma\)-colored slanted edges (of slope 45°) \((a_{i-1}, b_i)\) for \(i \in \{1, \ldots, n\}\); these edges are solid edges in our figures. For example, \(F_0\) is given in Figure 1 but we have to disregard the dotted edges. We do not make a notational distinction between the graph and its vertex set, \(F_n\). The colors \(\alpha, \beta,\) and \(\gamma\) are also members of \(\text{Equ}(F_n)\); we let \((a, b) \in \alpha\) if there is an \(\alpha\)-colored path from \(a\) to \(b\) in the graph, and we define the equivalences \(\beta, \gamma \in \text{Equ}(F_n)\) analogously.

The following lemma is due to Zádori [13]. Note that it is implicit in [13], and it was used, implicitly, in Czédli [3], [4], [5], and [6]. The lattice operations join and meet are also denoted by + and · (or concatenation), respectively.

**Lemma 2.4 (Zádori [13]).** If \(n \in \mathbb{N}\) and \(A\) is the base set of the Zádori configuration \(F_n\), then \(\text{Equ}(A)\) is generated by \(\{\alpha, \beta, \gamma, [a_0, b_0]^\circ, [a_n, b_{n-1}]^\circ\}\).

The following straightforward lemma was also used, explicitly or implicitly, in several earlier papers; see Chajda and Czédli [1] second display in page 423, Czédli [3] circle principle in page 12, [4] last display in page 55, [5] first display in page 451, and [6] Lemma 2.1, Kulin [8] Lemma 2.2, Takách [12] page 90, and Zádori [13] second display in page 583.

**Lemma 2.5.** For an arbitrary set \(A\) and \(j, k \in \mathbb{N}\), if \(\{u, v\}, \{x_1, \ldots, x_{j-1}\}\) and \(\{y_1, \ldots, y_{k-1}\}\) are pairwise disjoint subsets of \(A\), \(u = x_0 = y_0\), and \(v = x_j = y_k\), then

\[
\langle u, v \rangle^\circ = \left( \sum_{i=1}^{j} \langle x_{i-1}, x_i \rangle^\circ \right) \cdot \left( \sum_{i=1}^{k} \langle y_{i-1}, y_i \rangle^\circ \right),
\]

and

\[
[u, v]^\circ = \left( \sum_{i=1}^{j} [x_{i-1}, x_i]^\circ \right) \cdot \left( \sum_{i=1}^{k} [y_{i-1}, y_i]^\circ \right).
\]

**Lemma 2.6.** Assume that \(\alpha_1, \ldots, \alpha_k \in \text{Quo}(A)\) are antisymmetric (in other words, they are orderings) and \(\{\alpha_1, \ldots, \alpha_k\}\) generates the complete involution lattice \(\text{Quo}(A)\). Then \(\{\alpha_1 \setminus \Delta_A, \ldots, \alpha_k \setminus \Delta_A\}\) is a generating set of the complete involution lattice \(\text{Tran}(A)\). The same holds if we consider \(\text{Quo}(A)\) and \(\text{Tran}(A)\) complete lattices (without involution).

**Proof.** Let \(\text{Rel}(A)\) stand for the complete involution lattice of all binary relations over \(A\). The meet in this lattice is the usual intersection, the involution is the map \(\rho \mapsto \rho^* := \rho^{-1}\), but the join is defined in the following way: for \(\rho_i \in \text{Rel}(A)\) and \((x, y) \in A^2\), we have \((x, y) \in \bigvee \{\rho_i : i \in I\}\) iff there is an \(n \in \mathbb{N}\), there exists a finite sequence \(x = z_0, z_1, \ldots, z_n = y\) of elements of \(A\), and there are \(i_1, \ldots, i_n \in I\) such that \((z_{j-1}, z_j) \in \rho_{i_j}\) for all \(j \in \{1, \ldots, n\}\). Note that \(\text{Tran}(A)\) and \(\text{Quo}(A)\) are complete involution sublattices of \(\text{Rel}(A)\). For a relation \(\rho\), denote \(\rho \setminus \Delta_A\) by \(\rho^-\). Instead of \(\langle \beta_1, \ldots, \beta_k \rangle \in \text{Rel}(A)^k\) and \(\langle \beta_1^-, \ldots, \beta_k^- \rangle\), we write \(\vec{\beta}\) and \(\vec{\beta}^-\),
respectively. We need \( k \)-ary \(|A|\)-complete involution lattice terms, which are defined in the usual way by transfinite induction, see, for example, \([2]\); these terms are built from at most \(|A|\)-ary joins and meets and the involution operation \(\ast\). For such a term \(t\), \(t^-(\vec{\beta})\) and \(t^-(\vec{\beta}^-)\) will stand for \((t(\vec{\beta}))^-\) and \((t(\vec{\beta}^-))^-\). Then, for every \(k\)-ary \(|A|\)-complete involution lattice term \(t\), we have that

\[
\text{for every } \vec{\beta} \in \text{Rel}(A)^k, \quad t^-(\vec{\beta}) = t^-(\vec{\beta}^-) .
\]

If the rank of \(t\) is 0, then \(t\) is a variable and \((2.1)\) holds obviously. If \((2.1)\) holds for a term \(t\), then it also holds for \(t^\ast\), because \(\ast\) is a lattice automorphism. Next, assume that \(t = \bigwedge\{t_i : i \in I\}\) and \((2.1)\) holds for all the \(t_i\). Then

\[
t^-(\vec{\beta}) = t_i(\vec{\beta}) \setminus \Delta_A = \left(\bigcap\{t_i(\vec{\beta}) : i \in I\}\right) \setminus \Delta_A = \bigcap\{t_i(\vec{\beta}) \setminus \Delta_A : i \in I\}
\]

\[
= \bigcap\{\{\{t_i(\vec{\beta}) : i \in I\} \setminus \Delta_A : i \in I\} = \bigcap\{t_i(\vec{\beta}^-) : i \in I\} \setminus \Delta_A = t(\vec{\beta}^-) \setminus \Delta_A = t^-(\vec{\beta}^-),
\]

whereby \((2.1)\) holds for \(t\).

Next, assume that \(t = \bigvee\{t_i : i \in I\}\). In order to show the validity of \((2.1)\) for \(t\), assume first that \((x, y) \in t^-(\vec{\beta})\). Then \(x \neq y\) and \((x, y) \in t(\vec{\beta})\). So there is shortest a finite sequence \(x = z_0, z_1, \ldots, z_n = y\) of elements of \(A\) and there are \(i_1, \ldots, i_n \in I\) such that \((z_{j - 1}, z_j) \in t_{i_j}(\vec{\beta})\) for all \(j \in \{1, \ldots, n\}\). Since \(x \neq y\) and we use a shortest sequence, \(n \in \mathbb{N}\) is at least 1 and \(z_{j - 1} \neq z_j\) for \(j \in \{1, \ldots, n\}\). Thus, \((z_{j - 1}, z_j) \in t_{i_j}(\vec{\beta})\), whereby the induction hypothesis gives that \((z_{j - 1}, z_j) \subseteq t_{i_j}(\vec{\beta}^-)\). Therefore, \((x, y) \in t_{i_j}(\vec{\beta}^-) \cup \cdots \cup t_{i_n}(\vec{\beta}^-) \subseteq \bigvee\{t_i(\vec{\beta}^-) : i \in I\} = t(\vec{\beta}^-)\). But \(x \neq y\), whence \((x, y) \in t^-(\vec{\beta}^-)\). This proves that \(t^-(\vec{\beta}) \subseteq t^-(\vec{\beta}^-)\). Conversely, since the lattice operations and the involution are monotone, \(t(\vec{\beta}^-) \subseteq t(\vec{\beta})\). Subtracting \(\Delta_A\), we obtain that \(t^-(\vec{\beta}^-) \subseteq t^-(\vec{\beta})\). This proves \((2.1)\).

Armed with \((2.1)\), let \(a \neq b \in A\). Since \(\{\alpha_1, \ldots, \alpha_k\}\) generates the complete involution lattice \(\text{Quo}(A)\), there is a \(k\)-ary \(|A|\)-complete involution lattice term \(t\) such that \(\langle a, b \rangle^\ast = t(\vec{\alpha})\). Subtracting \(\Delta_A\) from both sides, we obtain that \(\{\langle a, b \rangle^\ast : \Delta_A = t(\vec{\alpha}) \setminus \Delta_A = t^-(\vec{\alpha})\). Thus, by \((2.1)\), \(\langle a, b \rangle^\ast = t^-(\vec{\alpha}^-)\). This means that for all \(a \neq b \in A\), the complete involution sublattice \(L\) generated by \(\vec{\alpha}^-\) in \(\text{Rel}(A)\) contains \(\langle a, b \rangle^\ast\). But \(L\) is also what \(\vec{\alpha}^-\) generates in \(\text{Tran}(A)\). Thus, what we need to prove is that \(L = \text{Tran}(A)\). For \(a \neq b\), \(\langle a, b \rangle^\ast \in L\). Based on this containment, for each \(c \in A\), we can pick \(x, y \in A\) such that \(|\{x, y, c\}| = 3\); then

\[
\langle c, c \rangle^\ast = (\langle c, x \rangle^\ast \lor \langle c, y \rangle^\ast) \land (\langle c, x \rangle^\ast \lor \langle y, c \rangle^\ast) \in L.
\]

Finally, for an arbitrary \(\rho \in \text{Tran}(A)\), we obtain from \(\rho = \bigvee\{\langle a, b \rangle^\ast : (a, b) \in \rho\}\) that \(\rho \in L\). Consequently, \(L = \text{Tran}(A)\) is generated by \(\vec{\alpha}^-\) as required.

\[\square\]

3. The lattice on quasiorders

The following lemmas will lead a theorem.

**Lemma 3.1.** For a set \(A\) such that \(13 \leq |A| < \aleph_0\) and \(|A|\) is odd, \(\text{Quo}(A)\) is \((1 + 1 + 2)\)-generated.
Proof. Take $F_n$ for $6 \leq n \in \mathbb{N}$ from Lemma 2.4, see Figure 1. Define

$$(3.1) \quad \delta = [a_0, a_n] + [b_0, b_{n-1}] + \langle a_2, a_4 \rangle \in \text{Quo}(A);$$

the join above is denoted by plus and it is taken in $\text{Quo}(A)$. Note that $(3.1)$ makes sense since, say, $[a_0, a_n] \in \text{Equ}(A) \subseteq \text{Quo}(A)$. In the figure, $\delta$ is visualized by the dotted lines. Let $L := [\alpha, \ldots, \delta] \leq \text{Quo}(A)$. Clearly, $|A| = 2 \cdot 13 + 1 + 2t + 1$ ranges in $\{58, 60, 62, \ldots \} \subset \mathbb{N}$. For $80$, we let $A := F_{13} \oplus F_{14} \oplus F_{15} \oplus \ldots$ as in [3]. Since the $\delta$-edge $(a_0, a_1)$ does not disturb anything in the proof given in [3], $\text{Equ}(A) \subseteq L$.}

Let us agree that every infinite cardinal is even.

**Lemma 3.2.** For $58 \leq |A| \leq 80$, if $|A|$ is even, then the complete lattice $\text{Quo}(A)$ is $(1 + 1 + 2)$-generated.
This inclusion, \( \delta \in L \setminus \text{Equ}(A) \), and Lemma 2.1 yields the lemma. Also, by the argument of [3],

\[
(3.2) \quad \text{the sublattice (not a complete one!) generated by } \{\alpha, \beta, \gamma, \delta\} \text{ contains all atoms of } \text{Quo}(A).
\]

Next, we formulate the “large accessible” counterpart of Lemma 3.2.

**Lemma 3.3.** If \( \aleph_0 \leq |A| \) is accessible, then \( \text{Quo}(A) \) is \((1 + 1 + 2)\)-generated.

**Proof.** Instead of \( F_{29} \) in Czédli [5, Figure 1], start with \( F_{34} \). Instead of the four switches of \( F_{29} \), designate five switches in \( F_{34} \) but use only four of them exactly in the same way as in [5]. Follow the construction of [5] with \( F_{34} \) instead of \( F_{29} \) and, of course, not using the fifth switch. This change does not disturb the argument, and we obtain a \((1 + 1 + 2)\)-generating set of the complete lattice \( \text{Equ}(A) \); the only difference is that very many unused switches remains by the end of the construction.

Now, we pick one of the unused switches and turn it to the, say, upper half of [5, Figure 4] but in a slightly modified form: instead of the non-oriented dotted arc (for \( \delta \)), now we use an oriented arc. Since this arc changes neither \( \beta(\gamma + \delta) \), nor \( \gamma(\beta + \delta) \), \( \delta \notin \text{Equ}(A) \), we still have that \( \text{Equ}(A) \subseteq [\alpha, \ldots, \delta] \). This fact, \( \delta \notin \text{Equ}(A) \) and Lemma 2.1 complete the proof. \( \square \)

The following lemma adds 6, 8, and 10 to the scope of the main result of Czédli [6]; unfortunately, the case \( |A| = 4 \) remains unsettled. Furthermore, it simplifies the approach of [6] for finite sets \( A \) with \( |A| \) even.

**Lemma 3.4.** For \( 6 \leq |A| \in \mathbb{N} \) even, the (complete) lattice \( \text{Quo}(A) \) is four-generated.

**Proof.** For \( n \in \{6, 8, 10, 12, \ldots, \} \), in accordance with our previous constructs and notation, take the one-point extension \( A := F_n \sqcup \{x\} \) of \( F_n \); see Figure 3 for \( n \in \{6,8,10\} \). Let \( L := [\alpha, \ldots, \delta] \). Also, let \( A' := A \setminus \{x\} \), and let \( \text{Quo}'(A) := \{ \mu \in \text{Quo}(A) : \text{the } \mu\text{-block of } x \text{ is } \{x\} \} \). For \( \varepsilon \in \text{Quo}(A) \), let \( \varepsilon' := \varepsilon(\alpha + \delta) \in \text{Quo}'(A) \). By Czédli [6] and \( \text{Quo}'(A) \cong \text{Quo}(A') \), \( \text{Quo}'(A) \subseteq L \). Clearly, we have that \( [a_0, x]^* = \beta([a_0, a_n]^* + \gamma) \) and \( [a_n, x]^* = \gamma([a_0, a_n]^* + \beta) \) belong to \( L \). Hence, Lemma 2.5 gives that \( \text{Equ}(A) \subseteq L \). Thus, Lemma 2.1 applies. \( \square \)

Now, the conclusion of this section is summarized in the following theorem.
Theorem 3.5. Let $A$ be a non-singleton set with accessible cardinality. Then the following statements hold.

- If $|A| \neq 4$, then the complete lattice $\text{Quo}(A)$ is four-generated.
- If $|A| \geq 13$ and either $|A|$ is an odd number, or $|A| \geq 58$ is even, then the complete lattice $\text{Quo}(A)$ is $(1 + 1 + 2)$-generated.
- If $13 \leq |A| \leq \aleph_0$ and either $|A|$ is an odd number, or $|A| \geq 58$ is even, then lattice $\text{Quo}(A)$ (not a complete one now) contains a $(1 + 1 + 2)$-generated sublattice that includes all atoms of $\text{Quo}(A)$.

4. The complete lattice of transitive relations

Lemma 4.1. If $3 \leq |A|$ and $|A|$ is an accessible cardinal, then the complete lattice $\text{Tran}(A)$ is six-generated.

Proof. By Czédli [4], there are $\alpha_1, \ldots, \alpha_4 \in \text{Equ}(A)$ such that $\{\alpha_1, \ldots, \alpha_4\}$. Let $\rho$ be a strict linear order on $A$; for example, it can be a well-ordering. In order to see that the complete sublattice $L := [\alpha_1, \ldots, \alpha_4, \rho, \rho^{-1}]$ is actually $\text{Tran}(A)$; it suffices to show that $L$ contains all the atoms of $\text{Tran}(A)$. Take an atom; it is of the form $\langle a, b \rangle^\rho$. First, assume that $a \neq b$. Then either $\rho$, or $\rho^{-1}$ contains the pair $(a, b)$. Hence, $\langle a, b \rangle^\rho$ is either $[a, b]^\rho \land \rho$, or $[a, b]^\rho \land \rho^{-1}$. In both cases, since $[a, b]^\rho \in \text{Equ}(A) = [\alpha_1, \ldots, \alpha_4] \subseteq L$, we obtain that $\{a, b\}^\rho \in L$. Second, assume that $a = b$; that is, we need to deal with $\{a, a\}^\rho$. The assumption $3 \leq |A|$ allows us to pick $x, y \in A$ such that $|\{a, x, y\}| = 3$. Using (2.2) with $a$ in place of $c$, we obtain that $\{a, a\}^\rho \in L$, as required.

Lemma 4.2. If $3 \leq |A|$ and $|A|$ is an accessible cardinal, then the complete involution lattice $\text{Tran}(A)$ is three-generated.

Proof. Observe that the three generators constructed in Takách [12] are orderings. Thus, Lemma 2.6 applies. □

Note that this proof is more complicated than the proof of Lemma 4.1 because this proof uses Lemma 2.6. Note also that (1.1) and Lemma 2.1 imply Lemma 4.1.

Now, based on Lemmas 4.1 and 4.2, we are in the position to conclude this section and the paper with the following theorem.

Theorem 4.3. If $A$ is a set such that $3 \leq |A|$ and $|A|$ is an accessible cardinal, then $\text{Tran}(A)$ is six-generated as a complete lattice, and it is three-generated as a complete involution lattice.

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E-mail address: czedli@math.u-szeged.hu
URL: http://www.math.u-szeged.hu/~czedli/

**University of Szeged, Bolyai Institute. Szeged, Aradi vértanúk tere 1, HUNGARY 6720**

E-mail address: kulin@math.u-szeged.hu
URL: http://www.math.u-szeged.hu/~kulin/

**University of Szeged, Bolyai Institute. Szeged, Aradi vértanúk tere 1, HUNGARY 6720**