Retinoic acid alters the proliferation and survival of the epithelium and mesenchyme and suppresses Wnt/β-catenin signaling in developing cleft palate

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Retinoic acid (RA) contributes to cleft palate; however, the cellular and molecular mechanisms responsible for the deleterious effects on the developing palate are unclear. Wnt signaling is a candidate pathway in the cleft palate and is associated with RA in organ development; thus, we aim to investigate whether RA-induced cleft palate also results from altered Wnt signaling. Administration of RA to mice altered cell proliferation and apoptosis in craniofacial tissues by regulating molecules controlling cell cycle and p38 MAPK signaling, respectively. This altered cell fate by RA is a crucial mechanism contributing to 100% incidence of cleft palate. Moreover, Wnt/β-catenin signaling was completely inhibited by RA in the early developing palate via its binding and activation with RA receptor (RAR) and is responsible for RA-induced cleft palate. Furthermore, PI3K/Akt signaling was also involved in actions of RA. Our findings help in elucidating the mechanisms of RA-induced cleft palate.

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The whole process of palatal development is precisely regulated. Disruption at any stage of growth – elevation or fusion, genetically or environmentally – causes cleft palate. However, the underlying developmental pathogenesis remains unclear.

Palatal shelves, composed of a core of mesenchyme covered by an epithelium, grow vertically on both sides of the tongue from embryonic day 13.5 (E13.5) in the mouse. Concomitant with the enlarging lower jaw and lowering tongue at E14.5, the shelves elevate to a horizontal position above the dorsal of the tongue. At about E15.5, the medial edge epithelium (MEE) of the horizontal palatal shelves contact, adhere and fuse along their midline, forming a midline epithelial seam. At E17.5, the continuous palate formation separates the oral and nasal cavities for breathing and feeding at the same time.

Retinoic acid (RA) has an essential role during embryonic development of regulating morphogenesis, cell proliferation and differentiation, and extracellular matrix production.1–3 RA is widely used for skin diseases; however, excess exogenous RA can adversely affect craniofacial development in rodents and humans.4,5 Cleft palate is one of the major malformations induced by RA in mouse fetuses. RA may have a direct effect on the fetus, disturb polysaccharide metabolism of the ground substance of the palatal processes, disturb the blood concentration of thyroid hormone and interfere with carbohydrate metabolism.4,6 Notably in recent years, RA was linked to Wnt (wingless) signaling in organ development and disease therapy.7–9 However, the mechanisms of action of RA in cleft palate formation are still not fully understood.

Although not yet implicated by genome-wide association studies, variants within WNT genes were found to be associated with cleft lip and palate,10 and mutations in WNT3 underlie autosomal-recessive tetra-amelia with cleft lip and palate.11 These findings have led to further analyses of genes in the WNT signaling pathway as candidates for normal development of the lip and the palate. Wnt3A, Wnt5A and Wnt11 were significantly associated with non-syndromic cleft lip with or without cleft palate.10 The Wnt molecules act through specific receptors to activate intracellular signals that dictate a variety of cell actions, including proliferation, migration, growth, differentiation and apoptosis.

We hypothesized that RA-induced cleft palate was associated with Wnt signaling by altering palatal cell fate in the crucial time of craniofacial development. To verify our assumption, we analyzed the protein expression of Wnt signaling molecules and investigated cell proliferation and survival after RA exposure from E13.5 to E15.5.

Results

Embryos exposed to RA showed 100% incidence of the cleft palate. We chose 100 mg/kg RA at E12 according to previous works5,14 and found survival of few litters and all
viable embryos with cleft palate and severe limb abnormalities. Thus, we used 70 mg/kg RA\textsuperscript{15,16} at E12. Consistent with our expectation, the 100% of fetuses showed cleft palate with litter death and few other malformations (Supplementary Table S1). No controls showed cleft palate. RA-treated E17.5 embryos showed a wider, complete secondary cleft palate than did controls (Supplementary Figure S1) because of unelevated palate, which was manifested by our morphology results of RA-treated embryos.

At E13.5, interestingly, RA-exposed embryos showed no grooves between the palatal shelf and the body of maxilla in the anterior palatal shelves (Figure 1a, arrow heads); however, grooves were observed in the controls (Figure 1a, arrows). The posterior vertical growth of palatal shelves did not differ between RA-treated embryos (Figure 1a) and controls (Figure 1a). E14.5 embryos of controls, even from the same litter, showed various anterior and posterior shelf morphologies, from vertically oriented (Figure 1b) to bilaterally elevated (Figure 1b). However, all E14.5, RA-exposed embryos showed vertically oriented anterior and posterior shelves (Figure 1b). Notably, the grooves between the palatal shelf and the body of maxilla were not observed in the anterior palatal shelves in RA-treated embryos (Figure 1b, arrow heads) as compared with unelevated controls (Figure 1b, arrows) at E14.5. As compared with the open space of the oral–nasal cavity in the posterior portions between control E13.5 (Figure 1a, bipitch arrow) and E14.5 embryos (Figure 1b, bipitch arrow) and between RA-exposed E14.5 (Figure 1b, bipitch arrow) and control E14.5 embryos (Figure 1b, bipitch arrow), the space for E14.5 controls was larger than others. However, RA-exposed E13.5 (Figure 1a, bipitch arrow) and E14.5 embryos (Figure 1b, bipitch arrow) did not differ in the space. All control shelves had completely contacted and adhered (Figure 1c) by E15.5, whereas the anterior and posterior palatal shelves of RA-treated embryos were still unelevated (Figure 1c). This condition was observed at E17.5; as compared with the complete secondary palate formation for controls (Figure 1d), a large gap eventually manifested as a wider cleft phenotype with RA treatment (Figure 1d).

Anterior palatal mesenchyme proliferation was significantly increased in RA-treated embryos. As RA treatment greatly downregulated Wnt/\beta-catenin signaling in developing craniofacial tissues at E13.5 and E14.5 and this pathway has a crucial role in cell fate such as cell proliferation, differentiation, adhesion and survival, we examined cell turnover by BrdU incorporation (Figure 2, red fluorescence) and TUNEL staining (Figures 3 and 4, green fluorescence). During E13.5–E15.5 (Figures 2a–d), BrdU-positive cells in the mesenchyme of the right (Figure 2d, columns 1 and 3 to \(P=0.01\) and 0.038, respectively) and left (Figure 2d, columns 1, 2 and 3 to \(P=0.045, 0.027\) and 0.012, respectively) anterior palatal shelves were higher for RA-treated embryos than controls.

![Figure 1](image-url)  
**Figure 1** Palate development in RA-treated embryos. E13.5 (a), E14.5 (b), E15.5 (c) and E17.5 (d) of controls and RA-treated embryos showing histological frontal sections in anterior and posterior (at the level of the first lower molar tooth) regions of developing palates. Arrows for controls and arrow heads for RA-exposed embryos point to the grooves between the palatal shelf and the body of maxilla. Bipitch arrows point to the open space of oral–nasal cavity. Con, control; ps, palatal shelf; t, tongue. Bars, (a and b, c except RA-exposed sections) 200 \(\mu\)m and (c except Control sections and d) 250 \(\mu\)m.
However, BrdU-labeled cells in the mesenchyme of the posterior palatal shelves with RA treatment did not differ from those of controls from E13.5 to E15.5 (Figures 2a–d). In contrast, the epithelium proliferation of palatal shelves was not altered with RA as compared with control treatment from E13.5 to E15.5 (Figures 2a–d and Supplementary Figure S2).

To further clarify the signaling pathway involved in RA-induced proliferation, an in vitro primary MEPM cell model was used to detect the effects of RA on the expression of p21, p27 and cyclin D1, all of which are key regulators to cell cycle. Interestingly, the protein levels of p21 and p27, two negative cell cycle regulators, increased in a time-dependent manner within 24 h, whereas decreased after a longer treatment (48 h; Figure 2e). Consistently, the expression of cyclin D1, a positive cell cycle regulator, exhibited a reverse trend (Figure 2e). This result indicates that the RA-induced cell proliferation in vivo may be mainly ascribed to the RA-changed cell cycle.

Apoptosis of the epithelium and mesenchyme was altered by RA at E13.5 and E14.5. At E13.5, clustered TUNEL-positive cells were detected in the oral-side epithelium of anterior regions of palatal shelves in controls (Figure 3a, white boxes) but not in RA-treated embryos (Figure 3a, white triangles). Moreover, the amount of TUNEL-positive cells in the mesenchyme of the bend between the palatal shelf and the cranial base was greater for RA-treated embryos (Figure 4a, arrow head) than the controls (Figure 4a, arrow); and the amount of TUNEL-labeled cells in the epithelium of the floor of mouth was lower for RA-treated embryos (Figure 4b, white triangles) than controls (Figure 4b, white boxes) at E13.5. A substantial amount of TUNEL-positive cells was detected in the anterior mesenchyme of the primordial frenulum (Figure 4c, yellow asterisk) and posterior mesenchyme of the primordial genioglossus muscle (Figure 4c, orange asterisks) with RA than control treatment (Figure 4c, white asterisk). At E14.5, apoptotic cells were evident in the oral-side epithelium of the...
anterior portions of palatal shelves for controls (Figure 3b, arrows) but not for RA-treated embryos (Figure 3b, arrow heads). Interestingly, we detected apoptotic cells in the epithelium of the floor of the mouth for controls (Figure 4d, white triangles) but not for RA-treated embryos (Figure 4d, orange triangles). However, we detected clustered TUNEL-labeled cells in the mesenchyme of the primordial frenulum of RA-treated embryos (Figure 4d, orange box) but not controls (Figure 4d, white box). Surprisingly, at E15.5, TUNEL-positive cells were detected in the anterior MEE of palatal shelves with RA treatment and control treatment (Figure 3c, asterisks).
It has been reported that the p38 MAPK signaling pathway was highly involved in RA-induced apoptotic cell death.\textsuperscript{17,18} To further determine this issue in our model, we treated primary MEPM cells with RA. Western blot showed a time-dependent stimulatory effect of RA on the protein level of phospho-p38 MAPK (T180/T182) within 24 h; however, these effects decreased after a longer treatment (48 h; Figure 4e). In line with RA-activated p38 MAPK, RA significantly decreased the cell viability of primary MEPM cells, which was blocked by SB202190, a specific p38 MAPK inhibitor (Figure 4f). Similarly, SB202190 also prevented RA-increased caspase-3 activity (Figure 4g). These results together suggest that p38 MAPK is a key effector in RA-induced cell apoptosis.

### RA actions were mediated by its binding and activation of RARs.

The effects of RA in vertebrates are mediated by its binding and activation of two families of nuclear receptors, RAR and RXR.\textsuperscript{19} These receptors bind to RA response elements leading to activation of many signaling pathways. To clarify the roles of these two receptors, we treated primary MEPM cells with a serial concentration of RA, and the mRNA levels of RAR and RXR were analyzed using quantitative real-time PCR. As shown in Figure 6a, RA treatment for 24 h caused the activation of RAR\textsubscript{β} and RAR\textsubscript{γ} in a dose-response manner, but not of RAR\textsubscript{α} (Figure 6a). Nevertheless, RA combination with RA, compared with IWR alone (Figure 5b). These data demonstrate the central role of the Wnt/β-catenin signaling pathway in RA-induced palate deformation, although other secondary signaling pathways may also be targeted.

### RA induced Wnt/β-catenin signaling in E13.5 and E14.5.

Wnt signaling pathway proteins were detected in developing mouse palatal shelves and tongue extracts of RA-treated embryos and controls from E13.5 to E15.5 (Figure 5a). Interestingly, in E13.5 and E14.5, Gsk-3\textsuperscript{β} expression showed a downward shift in RA-treated embryos as compared with controls, which indicated the sharply reduced phospho-Gsk-3\textsuperscript{β} expression and increased activity of Gsk-3\textsuperscript{β}. Consistent with the altered phospho-Gsk-3\textsuperscript{β} level, the downstream molecules of Wnt signaling, including β-catenin, Lef-1 and c-Jun, were completely downregulated in E13.5 and E14.5 embryos (Figure 5a). However, the level of phospho-Gsk-3\textsuperscript{β} did not differ from that of controls at E15.5. Consistently, the downstream molecules of Wnt signaling, β-catenin and Lef-1 were slightly upregulated with RA at E15.5, with no difference in the protein level of c-Jun and phospho-c-Jun between RA samples and controls (Figure 5a). Notably, levels of Wnt3a, Wnt5a and Wnt11 – Wnt ligands – were not markedly different from those of the controls (Figure 5a). These results together demonstrate that the Wnt/β-catenin signaling pathway was targeted and inhibited by RA. To further verify whether the downregulation of Wnt signaling results from a direct RA effect, IWR-1-endo was used to block Wnt/β-catenin signaling in primary MEPM cells. We found that IWR highly mimicked the RA’s effect of reducing cell viability (Figure 5b).

Interestingly, a synergism was observed for the use of IWR in combination with RA, compared with IWR alone (Figure 5b).
showed weak stimulatory effects on RXR, of which only RXRβ exhibited a relative better RA-response sensitivity (Figure 6b). To further confirm the role of RAR on the actions of RA, the effect of BMS493, a pan RA receptor antagonist, was studied on RA-treated MEPM cells. Pretreatment with BMS493 significantly rescued RA-suppressed cell viability (Figure 6c) and depressed RA-elevated caspase-3 activity (Figure 6d). These data clearly indicate that RA actions are dependent on RAR.

Discussion
The phenotype of RA-induced cleft palate was a wider gap, with palatal shelves still vertically growing on both sides of the tongue. Shelf development is achieved by distinct mechanisms in different regions along the anteroposterior axis, and clinical observations suggest that left and right shelf development, such as unilateral cleft lip or palate, is controlled by different mechanisms. Therefore, we analyzed morphological change in anterior and posterior regions with control and RA treatment of embryos. RA permanently impeded palatal shelf elevation, the main reason for the 100% incidence of cleft palate. RA totally changed the morphogenesis of craniofacial tissues in at least three aspects: (1) palatal shelves abnormally retained the capability of vertical growth from E13.5 to E15.5; (2) anterior palatal shelves lost the grooves between the palatal shelf and the body of maxilla at E13.5 and E14.5, which might obstruct the elevation of palatal shelves; and (3) the action of tongue withdrawal could not prepare enough space for elevation of palatal shelves.

Cell proliferation appeared in all craniofacial tissues, including the epithelium and mesenchyme, for a hyperproliferative situation in early embryo development but gradual reduction from E13.5 to E15.5. However, cell apoptosis appeared only at a specific location and time and was required for craniofacial development. The proliferation of palatal epithelium was not influenced by RA exposure; however, the apoptosis of the specific epithelium of RA-treated embryos was completely disordered in E13.5 and E14.5, which is consistent with the time of downregulated Wnt/β-catenin signaling. Thus, the apoptosis mechanism of oral-side epithelium of palatal shelves was important for elevation of palatal shelves and, if lost, does not permit the flip-up of palatal shelves. Moreover, the apoptosis mechanism of the epithelium of the floor of mouth helped in the descent of the tongue. The anterior palatal mesenchyme proliferation of RA-treated embryos was significantly increased from E13.5 to E15.5, and the posterior palatal mesenchyme proliferation increased with RA exposure from E13.5 to E15.5 but not significantly. Thus, increased proliferation of palatal mesenchyme allowed for continual growth of palatal shelves, which in turn may have disastrous effects on the subsequent palatal elevation and fusion. The specific mesenchyme apoptosis was increased by RA exposure at E13.5 and E14.5, which influenced the movement of the tongue. Interestingly, the mechanism of MEE apoptosis was indistinguishable from that of controls at E15.5; therefore, MEE apoptosis may not depend on contact between the palatal shelves but instead is temporally programmed.

We found that RA completely inhibited the canonical Wnt/β-catenin signaling pathway at E13.5 and E14.5. By E15.5, β-catenin and Lef-1, upstream of Wnt/β-catenin signaling, were slightly upregulated as compared with controls. By using IWR-1-endo in primary MEPM cell model, we confirmed that Wnt/β-catenin signaling pathway is, although not the only, a very important target of RA because blocking Wnt/β-catenin signaling almost completely replicated the action of RA. Next, we demonstrated that RAR is required for RA to exert its biological actions. Thus, an RA-RAR-Wnt/β-catenin signaling axis is identified. Some previous studies demonstrated that Shh signaling from the oral region of the palatal epithelium is required for palatal mesenchymal proliferation, and increased Shh signaling has a stimulatory role on cell proliferation in the palatal mesenchyme. Importantly, Fgf10 has restricted expression in the anterior palatal mesenchyme, regulating Shh expression, and cell proliferation and apoptosis in the palatal epithelium. Several studies demonstrated a regulatory loop between Shh and Wnt signaling and that Shh is a downstream target of Wnt signaling and acts as a negative feedback regulator of Wnt signaling. A recent study showed that compromised canonical Wnt/β-catenin signaling reduced Fgf family gene expression and Fgf signaling

![Figure 7](image_url)
The proportion of BrdU-positive cells to total cells in the palatal shelf was measured by paraformaldehyde. Antibody detection of BrdU involved standard immunostaining protocols. After 2 h, embryos were removed, trimmed and fixed with 4% paraformaldehyde. Pregnant females from E13.5 to E15.5 were injected with 1 ml BrdU (Invitrogen, Carlsbad, CA, USA) per 100 g body weight intraperitoneally. After 2 h, embryos were removed, trimmed and fixed with 4% paraformaldehyde. Antibody detection of BrdU involved standard immunostaining protocols. The proportion of BrdU-positive cells to total cells in the palatal shelf was measured by paraformaldehyde.

Western blot analysis. The palatal shelves and tongue extracts of control and RA-treated embryos from E13.5 to E15.5 were analyzed by standard protocols with antibodies for Wnt/β-catenin signaling at E13.5 and E14.5. Cells from passages 3–5 were used. For western blot analysis, cell lysate are directly proportional to the color reaction. Detection of caspase-3 activities was performed using Caspase Apoptosis Detection Kit (Santa Cruz Biotechnology). Briefly, cells were seeded in 96-well microtiter plates. After different treatments for indicated time, 10 μl CCK-8 solutions were added to each well, and the plates were incubated for additional 4 h at 37°C. The absorbance was read at 450 nm on an automatic microwell plate reader (Bio-Rad, Berkeley, CA, USA).

Statistical analysis. Data were analyzed by use of Student’s t-test or one-way ANOVA (SPSS 13.0). Results are considered significant at P < 0.05. Each experiment was at least performed in triplicate.

Conflict of Interest. The authors declare no conflict of interest.

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