Quarkyonic Matter Equation of State in Beta-Equilibrium

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Quark matter may appear due to a hadronic-quark transition in the core of a hybrid star. Quarkyonic matter is an approach in which both quarks and nucleons appear as quasi-particles in a crossover transition, and provides an explicit realization of early ideas concerning quark matter (e.g., the MIT bag model). This description has recently been employed by McLerran and Reddy to model chargeless (pure neutron) matter with an approach that has the virtue that the speed of sound rises quickly at a neutron-quark transition so as to satisfy observational constraints on the neutron star maximum mass (≥ 2M⊙) and the radius of a 1.4M⊙ star (R1.4 ≲ 13.5 km). Traditional models involving first-order transitions result in softer pressure-energy density relations that have difficulty satisfying these constraints except with very narrow choices of parameters. We propose a variation of quarkyonic matter involving protons and leptons whose energy can be explicitly minimized to achieve both chemical and beta equilibrium, which cannot be done in the chargeless formulation. Quarkyonic stellar models are able to satisfy observed mass and radius constraints with a wide range of model parameters, avoiding the obligatory fine-tuning of conventional hybrid star models, including requiring the transition density to be very close to the nuclear saturation density. Our formulation fits experimental and theoretical properties of the nuclear symmetry energy and pure neutron matter, and contains as few as three free parameters. This makes it an ideal tool for the study of high-density matter that is an efficient alternative to piecewise polytrope or spectral decomposition methods.

I. INTRODUCTION

The measurement of neutron stars masses (see [1,2] for general reviews) greater than or equal to 2M⊙ has proven to be a powerful constraint on the dense matter equation of state. Equally important have been advances in gravitational wave measurements of the binary neutron star merger GW170817 [3–6] and NICER X-ray observations of PSR J0030+0451 [7,8] that, combined, imply that the gravitational wave measurements of the binary neutron star maximum mass (≥ 2M⊙) and the radius of a 1.4M⊙ star (R1.4 ≲ 13.5 km). Traditional models involving first-order transitions result in softer pressure-energy density relations that have difficulty satisfying these constraints except with very narrow choices of parameters. We propose a variation of quarkyonic matter involving protons and leptons whose energy can be explicitly minimized to achieve both chemical and beta equilibrium, which cannot be done in the chargeless formulation. Quarkyonic stellar models are able to satisfy observed mass and radius constraints with a wide range of model parameters, avoiding the obligatory fine-tuning of conventional hybrid star models, including requiring the transition density to be very close to the nuclear saturation density. Our formulation fits experimental and theoretical properties of the nuclear symmetry energy and pure neutron matter, and contains as few as three free parameters. This makes it an ideal tool for the study of high-density matter that is an efficient alternative to piecewise polytrope or spectral decomposition methods.

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The appearance of free quarks above the quarkyonic transition gives nucleons extra kinetic energy by pushing them to higher average momenta while decreasing their densities. In a sense, the quarks 'drip out' of the nucleons and fill the lowest momentum states, resulting in a rapid increase in pressure. These considerations culminate in a dramatic increase in sound speed, in contrast to the conventional introduction of quark matter through a first-order phase transition (e.g., the MIT [32] and Nambu-Jones-Lasinio bag model approaches [33]). The sound speed increase is, in fact, large enough to now allow the use of nucleon-nucleon potentials that couldn’t otherwise satisfy the observational constraint that Mmax ≥ 2M⊙ [34,35]. Fine-tuning of the quarkyonic or hadronic model parameters is not necessary in order to obtain viable models.

The model proposed by McLerran and Reddy [31] is...
somewhat schematic, involving a single nucleon species and a chargeless 2-flavor (d and u) system of quarks without the consideration of chemical or beta equilibrium, which are the requirements that at every density, the energy is minimized with respect to particle and charge concentrations. The model also lacks protons and leptons (electrons and muons). In fact, we will demonstrate that this chargeless 2-flavor approach cannot satisfy chemical or beta equilibrium. Jeong et al. [30] introduced a hard core potential for nucleons by means of an excluded volume, and reformulated it so that it could satisfy quark-nucleon chemical equilibrium. However, their model does not contain protons or leptons, and thus doesn’t permit beta equilibrium between hadrons and leptons. In this paper, we propose a quarkyonic model including protons and leptons with the essential elements to satisfy chemical and beta equilibrium that satisfies the experimental and observational constraints on neutron star structure. We describe the chargeless 2-flavor quark model in [31] and then replace it in [31] with a modified approach extended to include asymmetric nucleon matter and leptons. In [34] we point out the key features of the quarkyonic EOS, and in [34] we show how the available experimental and observational constraints can limit its parameter ranges. [34] examines the resulting semi-universal relations involving the dependence of neutron star structural quantities, such as the tidal deformability and binding energy, on the neutron star mass and radius, as well as implications for the direct Urca process.

II. FORMULATION OF THE CHARGELESS 2-FLAVOR QUARKYONIC MODEL

The chargeless 2-flavor quarkyonic model [31] assumes that strongly interacting quarks near the Fermi sea form interacting neutrons, while the remaining d and u quarks are non-interacting and fill the lowest momenta up to \( k_{F_u} \) and \( k_{F_d} \), respectively. The total baryon number density of quarkyonic matter is

\[
n_B = n_n + N_c/3 (n_u + n_d)
\]

so that \( n_n \) and \( n_d \) can both be written solely as functions of \( k_{F_n} \). To preserve charge neutrality, it was assumed

\[
k_{F_d} = 2^{1/3} k_{F_u},
\]

so that \( n_u \) also can also be written solely in terms of \( k_{F_n} \). Note that the specification of a transition density \( n_t \) determines the parameter \( \kappa \) via

\[
\kappa = 9 \left[ \frac{\hbar k_{in} c}{\Lambda} - \left( \frac{\Lambda}{\hbar k_{in} c} \right)^2 \right],
\]

where the corresponding neutron Fermi momentum at \( n_t \) is \( k_{tn} = (3\pi^2 n_t)^{1/3} \). It is interesting to note that in this model, using \( g_c = 2 \) and \( N_c = 3 \),

\[
n_B = \frac{1}{3\pi^2} \left( k_{F_n}^3 + \frac{79}{54} k_{F_d}^3 \right),
\]

\[
k_{F_d}^3 = \frac{k_{F_n}^3}{27} \left[ 1 - \frac{k_{tn}}{k_{F_n}} \right] \left[ 1 + \frac{\Lambda^3 (k_{tn} + k_{F_n})}{(\hbar c)^3 k_{tn} k_{F_n}^2} \right],
\]

and both are explicit functions of \( k_{F_n} \).

The energy density of interacting neutrons is the sum of their relativistic kinetic and potential energy densities

\[
\varepsilon_n(k_{F_n}, k_{0n}) = \frac{g_s}{2\pi^2} \int_{k_{0n}}^{k_{F_n}} k^2 \sqrt{m_B^2 c^4 + (\hbar c)^2 k^2} dk + n_n V(n_n),
\]

where \( m_B \) is the baryon mass and \( V(n_n) \) is the neutron potential energy, assumed to depend only on \( n_n \). It is assumed that the potential energy function remains the same both below and above the density \( n_t \) where free quarks start to appear. It turns out that the particular choice of nucleon potential is not crucial to achieve the goals of achieving both a large maximum mass and reasonable neutron star radii, given allowable variations in the parameters \( n_t \) and \( \Lambda \).

The quark energy densities are given by the expressions for non-interacting fermions,

\[
\varepsilon_{d,u} = \frac{g_s N_c}{2\pi^2} \int_0^{k_{F(d,u)}} k^2 \sqrt{m_{d,u}^2 c^4 + (\hbar c)^2 k^2} dk.
\]

It is apparent that, with the use of Eqs. (2) and (3), the total energy density \( \varepsilon = \varepsilon_n + \varepsilon_d + \varepsilon_u \) can also be written as a function of \( k_{F_n} \) alone. Defining the baryon chemical potential by \( d\varepsilon/dn_B = \mu_B \), the total pressure and sound speed are

\[
p = n_B \mu_B - \varepsilon, \quad \frac{c_s^2}{c^2} = \frac{1}{\mu_B} \frac{dp}{dn_B}. \tag{8}
\]

It is straightforward to demonstrate that this model cannot satisfy energy minimization with respect to the constituent compositions \( n_n, n_d \) and \( n_u \), all of which are functions of \( k_{F_n} \) alone. Minimizing the total energy density with respect to the density of neutrons, d quarks or u quarks, i.e., with respect to \( k_{F_n} \), is tantamount to
setting the baryon chemical potential $\mu_B$ equal to zero, which is contradictory. Therefore, neutrons and quarks are not in chemical equilibrium. If extended to include protons and leptons, this particular model could also not be minimized with respect to the charge densities, which describes beta equilibrium.

III. THE MODIFIED QUARKYONIC MODEL

We seek a model that allows for energy minimization and will also include leptons as well as protons. Leptons in beta equilibrium consist of both electrons and muons as long as $n_1 \gtrsim n_s$, the nuclear saturation density 0.16 fm$^{-3}$, which is believed to be the case owing to the lack of experimental information indicating otherwise. The total energy density is

$$\varepsilon = \varepsilon_B + \varepsilon_x + \varepsilon_\mu + \varepsilon_d + \varepsilon_u,$$

where $\varepsilon_B$ is the energy density of interacting neutrons and protons, while the energy densities of the leptons and quarks are given by Eq. (7) for non-interacting fermions, using $g_s = 2$, $N_c = 3(1)$ for quarks (leptons), and the appropriate Fermi momenta and masses. The lepton number densities are $n_e = k_F^3 / (3\pi^2)$ and $n_\mu = k_F^3 / (3\pi^2)$, and the total pressure is $p = n_B \mu - \varepsilon$ where $\mu = d\varepsilon / dn_B$.

We will describe the interactions among nucleons with a nucleon potential energy that depends on both neutron and proton densities $n_n$ and $n_p$, respectively. We write this in terms of 6 sub-parameters $[a_0, b_0, a_1, b_1, \gamma, \gamma_1]$ that are fit to selected properties of uniform nucleonic matter. We take the symmetry contribution to be adequately described by the lowest order quadratic term in the neutron excess. We assume

$$V(n_n, n_p) = 4x(1 - x)(a_0 u + b_0 u^\gamma) + (1 - 2x)^2(a_1 u + b_1 u^\gamma),$$

where $u = (n_n + n_p) / n_s$ and $x = n_p / (n_n + n_p)$. For symmetric nuclear matter, the fitted quantities are the bulk binding energy $B \approx 16$ MeV, pressure ($p_B = 0$), and incompressibility parameter $K_{1/2} \approx 220$ MeV at $n_s$. For pure neutron matter, the fitted quantities are its energy (relative to the baryon mass $m_B = 939.5$ MeV) $E_0 = S_V - B \approx 15$ MeV and pressure 1.6 MeV fm$^{-3}$ $\lesssim p_0 = Ln_s / 3 \lesssim 4.0$ MeV fm$^{-3}$ evaluated at the same density $n_s$. $S_V \approx 31$ MeV and $30$ MeV $\leq L \leq 70$ MeV are the usual nuclear symmetry energy parameters. Since $L$ is by far the most uncertain of the fitted nuclear quantities, we choose it to be the only nucleonic free parameter. As is well known, the parameter $L$ has a strong correlation with the intermediate-mass (e.g., $1.4M_\odot$) neutron star radius $R_{1.4}$.

The sub-parameters are determined using

$$\gamma = \frac{K_{1/2}/9 - T''_{1/2}}{T_{1/2} - T''_{1/2} + B}, \quad b_0 = \frac{K_{1/2}/9 - T''_{1/2}}{\gamma(\gamma - 1)},$$

$$a_0 = -B - T_{1/2} - b_0, \quad b_1 = \frac{L/3 + B - S_V + T_0 - T'}{\gamma_1 - 1},$$

and $a_1 = S_V - B - T_0 - b_1$.

where $T_{1/2} \approx 21.79$ MeV, $T''_{1/2} \approx 14.34$ MeV and $T_{1/2} \approx -5.030$ MeV are the total symmetric matter baryon kinetic energy and its first two logarithmic derivatives evaluated at $n_s$. $T_0 \approx 34.33$ MeV and $T''_0 \approx 22.41$ MeV are the neutron matter kinetic energy and its first logarithmic derivative evaluated at the same density. $T_{1/2}$ and $T_0$ are taken relative to $m_B$. The parameters $\gamma \approx 1.256$, $a_0 \approx -129.3$ MeV and $b_0 = 91.49$ MeV are determined by properties of symmetric matter, but don’t depend on the symmetry parameters $S_V$ or $L$. $a_1$ and $b_1$ are sensitive to $S_V$, $L$ and $\gamma_1$, on the other hand. We obtain $a_1 \approx 37.41 - L/2$ MeV and $b_1 \approx L/2 - 44.70$ MeV for the choices $S_V = 31$ MeV and $\gamma_1 = 5/3$.

![FIG. 1. The energy per baryon of symmetric nuclear matter (SNM - black), and the energy per baryon of pure neutron matter (PNM) for the standard parameter set (see text), for three values of $L$ (30 MeV - yellow, 50 MeV - green, 70 MeV - red). The blue curve shows the crust equation of state, SLy4, continued to high densities. The dashed curve shows the unitary gas bound [29]. The shaded region indicates PNM ranges from theoretical models [15].](image-url)

The total energy density $\varepsilon_B$ of interacting nucleons is

$$\varepsilon_B = \sum_{i=n_p} g_s \frac{2\pi^2}{k_0 i} \int_{k_0 i}^{k_F} k^2 \sqrt{m_B^2 c^4 + (h\kappa)^2} \, dk + (n_n + n_p) V(n_n, n_p).$$

Fig. 1 shows the specific nucleon energy, $\varepsilon_B/n_B - m_B$, as a function of density for symmetric nuclear matter (SNM) and pure neutron matter (PNM), assuming the standard values $n_s = 0.16$ fm$^{-3}$, $B = 16$ MeV, $K_{1/2} =$
220 MeV, $S_n = 31$ MeV, $L = 30, 50$ and 70 MeV, and $\gamma_1 = 5/3$. The choice of $\gamma_1 = 5/3$ gives an excellent representation of recent chiral Lagrangian neutron matter calculations [13] for baryon densities $0.5n_s < n_B < 1.8n_s$ (the shaded region in Fig. [1]). $L = 30$ MeV and $L = 70$ MeV are seen to bracket the theoretical PNM results. The choice $L = 30$ MeV is also consistent with the theoretical minimum for PNM energy predicted by the unitary gas constraint [20]. The neutron matter energy is larger than the symmetric matter energy for all densities except at densities $n_B > 0.7$ fm$^{-3}$ in the case of a very soft symmetry energy, i.e., $L = 30$ MeV. A more complex SNM parameterization, for example one that can also fit its skewness at $n_s$, could prevent this. This affects our results only marginally, however.

In the neutron star crust, at densities below $n_{cc} \simeq 0.07$ fm$^{-3}$, we assume the SLy4 equation of state [37], which nearly matches the PNM energies at $n_{cc}$ for all $L$ values considered. For comparison, the SLy4 EOS continued to high densities is shown in Fig. [1]. Our results are not sensitive to the precise choice of the crust EOS.

With the addition of $d$ and $u$ quarks, the general conditions of baryon and charge conservation are

\begin{align}
    n_B &= n_n + n_p + \frac{n_d + n_u}{3}, \quad (13) \\
    n_B Y_L &= n_c + n_\mu = n_p + \frac{n_d + 2n_u}{3}, \quad (14)
\end{align}

where $Y_L$ is the net lepton fraction.

The modified quarkyonic model retains the paradigm that nucleons are restricted to momentum shells near the Fermi surface for $n_B > n_t$. However, the imposition of the constraint $k_{0n} = 3k_{0p}$ is an additional relation between $k_{F_n}$ and $k_{F_d}$ that is incompatible with energy minimization with respect to particle compositions. We therefore abandon and replace it with the condition of chemical equilibrium among the nucleons and quarks. This is the key difference between our model and that of McLerran and Reddy [31].

Since protons are now considered, we introduce the minimum momentum for protons in quarkyonic matter, $k_{0p}$. Both $k_{0n}$ and $k_{0p}$ are assumed to be functions only of their corresponding Fermi momenta by the relations

\begin{equation}
    k_{0(n,p)} = k_{F(n,p)} \left[1 - \left(\frac{\Lambda}{\hbar k_{F(n,p)}c}\right)^2 - \frac{\kappa_{n,p} A}{9\hbar^2 k_{F(n,p)}c}\right], \quad (15)
\end{equation}

which involve the parameters $\kappa_n$ and $\kappa_p$. Note that these functions are modified from Eq. (2) by a change of exponent which forces $k_{0(n,p)}$ to change more slowly when quarkyonic matter appears, making for more stable solutions in this regime. This assumption does not affect the ability of this model to give rise to a significant increase in the sound speed near the transition density.

It is also assumed that momenta restrictions on neutrons and protons begin above a common transition density $n_t$, which determines $\kappa_{n,p}$:

\begin{equation}
    \kappa_{n,p} = \frac{9}{\Lambda} \left[\frac{\hbar k_{F(n,p)}c}{\Lambda} - \frac{\Lambda}{\hbar k_{F(n,p)}c}\right]. \quad (16)
\end{equation}

The transition Fermi momenta $k_{F(n,p)}$ are obtained from beta equilibrium of the uniform $n, p, e, \mu$ system at the density $n_t$. Eliminating the $\kappa$ parameters, Eq. (15) is reformulated as

\begin{equation}
    k_{0(n,p)} = (k_{F(n,p)} - k_{t(n,p)}) \left[1 + \frac{\Lambda^2}{(\hbar c)^2 k_{F(n,p)} k_{t(n,p)}}\right]. \quad (17)
\end{equation}

For sufficiently low values of $L$ MeV, it is possible that $dk_{F_p}/dE_B < 0$ at high densities in hadronic matter. Depending on the parameters, this can result in a situation in which $k_{F_p} < k_{t_p}$ in quarkyonic matter above transition densities between 0.3(0.6) fm$^{-3}$ (for $L = 30$ MeV and $\Lambda = 500(1700)$ MeV) and 0.6(1.2) fm$^{-3}$ (for $L = 40$ MeV and $\Lambda = 500(1700)$ MeV). If $k_{F_p}$ were to fall to zero before the quarkyonic sector is reached at $n_B = n_t$, then energy minimization dictates that $k_{F_p} = 0$ at all higher densities including in the quarkyonic sector. If $k_{F_p}$ is positive when quarks appear, when $k_{F_p} < k_{t_p}$ we instead require that $k_{0p} = 0$ in the quarkyonic sector because otherwise Eq. (17) becomes ill-defined. We could have chosen a slightly more complex SNM energy, such that $E_{SNM} < E_{PNM}$ at all densities, that would avoid these situations yet would have little effect on the results of this paper.

Strong interaction equilibrium dictates total energy minimization with respect to particle concentrations at fixed density and lepton fraction and is valid under nearly all circumstances in astrophysical simulations. This condition is equivalent to chemical equilibrium among the nucleons and quarks, and leads to

\begin{equation}
    \mu_d = \frac{2}{3} \mu_n - \frac{1}{3} \mu_p, \quad \mu_u = \frac{2}{3} \mu_p - \frac{1}{3} \mu_n. \quad (18)
\end{equation}

These relations replace expressions of the form $k_{0(n,p)} \propto k_{d,u}$ that are integral to the model of [31]. The nucleon chemical potentials are

\begin{equation}
    \mu_{n,p} = \frac{\partial E_B}{\partial n_{n,p}} = (1 - K_{n,p})^{-1} \times \left(\sqrt{m_B^2 c^4 + (\hbar c k_{F(n,p)})^2} - K_{n,p} \sqrt{m_B^2 c^4 + (\hbar c k_{0(n,p)})^2}\right) + \frac{\partial[(n_n + n_p) V(n_n, n_p)]}{\partial n_{n,p}}, \quad (19)
\end{equation}

where

\begin{equation}
    K_{n,p} = \left(\frac{k_{0(n,p)}}{k_{F(n,p)}}\right)^2 \frac{dk_{0(n,p)}}{dk_{F(n,p)}} \quad (20)
\end{equation}

\begin{equation}
    = \left(\frac{k_{0(n,p)}}{k_{F(n,p)}}\right)^2 \left[1 + \left(\frac{\Lambda}{\hbar c k_{F(n,p)}}\right)^2\right]. \quad (21)
\end{equation}

The condition of beta, or weak-interaction, equilibrium is equivalent to the additional minimization of the total energy density with respect to the lepton concentrations at fixed baryon density, which applies if weak-interaction timescales are short compared to dynamical timescales. Under conditions of charge neutrality, this gives

\begin{equation}
    \mu_e = \mu_\mu = \mu_n - \mu_p = \mu_d - \mu_u. \quad (22)
\end{equation}
One also finds that the effective chemical potential $\mu = d\varepsilon/dn_B = \mu_n$ under conditions of chemical and beta equilibrium. In matter composed of hadrons alone, the beta equilibrium depends on the nucleon potential; values of the lepton number, $Y_L$, are shown in Fig. 2 as a function of density and the single nucleon parameter $L$.

The requirement that both flavors of quarks appear at the same density $n_t$ means that the quark masses, like $\kappa_{n,p}$, are not free model parameters. Their values are determined by the ambient beta-equilibrium conditions at $n_t$ and therefore depend on the nucleon potential. They are found from Eq. (18) using $k_{td} = k_{tu} = 0$:

$$m_d = \frac{2}{3}\mu_n - \frac{1}{3}\mu_p, \quad m_u = \frac{2}{3}\mu_p - \frac{1}{3}\mu_n,$$

(23)

where $\mu_{n,p}$ are the beta-equilibrium values of the chemical potentials in $npue$ matter at $n_t$. Both masses are of order $m_B/3$, as expected for constituent quark masses, but $m_d - m_u = \mu_n - \mu_p$, which depends on $n_t$ and $V$ (i.e., $L$), and is in the range 80-250 MeV (Fig. 2).

The appearance of quarks for $n > n_t$ drastically alters the composition. Fig. 3 shows the particle fractions in beta-equilibrium matter for a standard case with $\Lambda = 1400$ MeV, $n_t = 0.3$ fm$^{-3}$ and $L = 50$ MeV. For this case $\kappa_p = -74.54$, $\kappa_n = -29.00$, $m_u = 241.07$ MeV, and $m_d = 391.28$ MeV. The corresponding Fermi wavenumbers and minimum nucleon wavenumbers $k_{0(n,p)}$ in the quarkyonic sector are displayed in Fig. 4.

For densities slightly in excess of $n_t$, the total nucleon density initially varies linearly with $n_B - n_t \approx \delta$, which follows from baryon conservation since the quark densities can be ignored (as we show below). The proton fraction $n_p/(n_u + n_p)$ decreases linearly (but slowly) with increasing $\delta$ because $k_{0(n,p)}$ and $k_{F(n,p)} - k_{i(n,p)}$ also vary linearly with $\delta$, and the neutron momenta $k_{0,n}$ and $k_{F,n}$ vary more rapidly than their proton counterpart. In comparison, $n_d$ and $n_u$ are initially small. It is found that, irrespective of the value of $\Lambda$, the quark momenta scale as $\sqrt{\delta}$ for small $\delta$, so that $n_d,u \propto \delta^{3/2}$.

However, both $k_{F,n}$ and $k_{F,p}$ saturate in the quarkyonic sector with values near their values $k_{i(n,p)}$ at the transition density. This can be understood as a consequence of the fact that there is a maximum Fermi wavenumber $k_{m(n,p)}$ in the quarkyonic sector. This is determined by

$$\frac{dn_{n,p}}{dk_{F(n,p)}} = \frac{k_{F(n,p)}^2}{\pi^2} (1 - K_{n,p}) = 0,$$

(24)

or simply $K_{n,p} = 1$. Using Eq. (21), one finds

$$k_{m(n,p)} = k_{0(n,p)} \sqrt{1 + \left(\frac{\Lambda}{\hbar c k_{m(n,p)}}\right)^2}.$$

(25)
With Eq. (17), and since \( k_{F(n,p)} - k_{t(n,p)} << k_{t(n,p)} \),\[ k_{m(n,p)} - k_{t(n,p)} = k_{m(n,p)} \left[ 1 + \left( \frac{\Lambda}{(hc)^2 k_{m(n,p)}} \right)^2 \right]^{-1/2} \times \left[ 1 + \left( \frac{hc}{\Lambda} \right)^2 k_{m(n,p)} k_{t(n,p)} \right]^{-1} \]
\[ \simeq k_{t(n,p)} \left( \frac{hc k_{t(n,p)}}{\Lambda} \right)^3 \left[ 1 - \frac{3}{2} \left( \frac{hc k_{t(n,p)}}{\Lambda} \right)^2 + \cdots \right], \] where we kept up to the quadratic order terms of an expansion in \( hc k_{t(n,p)}/\Lambda \), which is generally much smaller than unity, in the last expression. Note that these relations depend only on \( k_{t(n,p)} \) and \( \Lambda \) and are valid for any nucleon potential (i.e., \( L \)). Obviously, \( k_{0n,p} \sim k_{t(n,p)} (hc k_{t(n,p)}/\Lambda) \) and \( n_{n,p} \sim k_{t(n,p)}/(3\pi^2) \) also saturate. Since \( k_{tp} < k_{tn} \), the proton wavenumbers and density approach their asymptotic values before their neutron counterparts. Therefore, the nucleon particle fractions must monotonically fall with increasing \( n_B \) in the quarkyonic sector. Because the nucleon fractions become small at high densities, the sound speed tends to \( \sqrt{1/3} \), the value implied by quark asymptotic freedom together with the relativistic behavior of the leptons.

Another property of the quarkyonic system in beta equilibrium observed in Fig. 4 is that the quark Fermi momenta are nearly proportional to each other, with \( k_{Fq} \sim 2^{1/3} k_{Fp} \) at all densities. This indicates that the quark and hadronic sectors are separately approximately charge neutral, despite the fact that global charge neutrality was imposed. This is reminiscent of the explicit assumption concerning quark momenta in Ref. [31]. One also may note that \( k_{Fq} \) increases more rapidly than \( k_{tp} \), contrary to the assumption of McLerran and Reddy [31], who assumed their ratio to be fixed at \( k_0/k_{Fq} = N_c \).

A comparison of the lepton fractions in beta equilibrium with three different nucleon potentials and two sets of standard quarkyonic parameters is shown in Fig. 5. The onset of quarks usually results in abrupt decreases in \( Y_L \), with exceptions for large \( n_t \) and small \( L \). It is apparent that the lepton fractions in the quarkyonic sector are insensitive to \( \Lambda \).

**IV. THE EQUATION OF STATE**

![Graph of the pressure p (top panel) and sound speed c2 (bottom panel) for the case L = 30 MeV, nt = 0.3 fm\(^{-3}\), and \( \Lambda = 1400 \) MeV. The totals as well as the individual contributions from baryons (B), leptons(L) and quarks (Q) are shown. The lepton contributions are multiplied by 100 for clarity.](image)

**FIG. 6.** The pressure \( p \) (top panel) and sound speed \( c_2 \) (bottom panel) for the case \( L = 30 \) MeV, \( n_t = 0.3 \) fm\(^{-3}\), and \( \Lambda = 1400 \) MeV. The totals as well as the individual contributions from baryons (B), leptons(L) and quarks (Q) are shown. The lepton contributions are multiplied by 100 for clarity.

In contrast to conventional models of quark matter, for which the pressure initially remains constant or increases slowly with increasing density beyond \( n_t \), the introduction of quarks in quarkyonic matter has a dramatic effect. Details are shown for a particular parameter set (\( L = 50 \) MeV, \( n_t = 0.3 \) fm\(^{-3}\), and \( \Lambda = 1400 \) MeV) in Fig. 6. The hadronic pressure is \( p_B = \mu_n n_n + \mu_p n_p - \varepsilon_B \), the lepton...
pressure is \( p_L = \mu_e n_e + \mu_\mu n_\mu - \varepsilon_e - \varepsilon_\mu \) and the quark pressure is \( p_Q = \mu_\mu n_\mu + \mu_u n_u - \varepsilon_u - \varepsilon_d \). Breaking down the pressure \( p = p_L + p_Q + p_\Sigma \) and its derivative in the form of the sound speed \( c_s^2 = \mu^{-1} d(p_B + p_L + p_Q)/dn_B \) into separate contributions from nucleons (B), leptons (L) and quarks (Q) shows that the rapid increase in \( c_s \) is due to nucleons, through the restriction of their momenta. As the quarks become more abundant, \( c_s \) rapidly decreases due to their relativistic nature. Leptons are relatively inconsequential.

The EOS for several combinations of parameters are illustrated in Fig. 7. The maximum sound speed is observed to be primarily a function of \( \Lambda \). The pressure of the quarkyonic EOS increases at all densities as \( L \) is increased, but always approaches the asymptotic limit \( p \sim \varepsilon^3/3 \) for large \( n_s \).

Mass-radius curves for the 13 EOSs displayed in Fig. 13 are illustrated in Fig. 8. Quarkyonic stars have larger radii for a given mass, and also larger maximum masses, than for the underlying hadronic EOS with the same value of \( L \). Generally, the radii for intermediate-mass stars increase with increasing \( L \), but they also increase with increasing values of \( \Lambda \) and decreasing values of \( n_t \). The fact that the pressure rapidly increases immediately beyond \( n_t \) leads to the increases in radii of stars larger than \( 1M_\odot \) if \( n_t \lesssim 4n_s \). The radii of 1.4\( M_\odot \) stars is most sensitive to the pressure at approximately \( 2n_s \) \(^{19, 20} \), but are still influenced by somewhat larger values of \( n_t \).

Note that the hadronic EOS predicted for \( L = 30 \) MeV cannot achieve a maximum mass larger than about 1.7\( M_\odot \) (Fig. 5), yet quarkyonic models with \( L = 30 \) MeV have no difficulty reaching masses in excess of 2.0\( M_\odot \) if \( n_t \lesssim 0.5 \) fm\(^{-3} \) (see \(^{16} \)). It is of note that a wide range of quarkyonic models can satisfy the GW170817 constraint suggesting \( R_{1.4} \lesssim 13.5 \) km even with the largest value of \( L = 70 \) MeV that is able to satisfy theoretical neutron matter constraints in the vicinity of \( n_s \).

V. PARAMETER RANGES CONSTRAINED BY CAUSALITY AND NEUTRON STAR OBSERVATIONS

Mass, radius and causality constraints on the model parameters are shown in Fig. 9. Radius constraints were discussed in \(^{14} \). The largest well-measured neutron star mass is PSR J0740+6620, for which \( M = 2.14 \pm 0.09 M_\odot \) \(^{39} \). There are other measured masses which are smaller but have less uncertainty, such as \( M = 2.01 \pm 0.04 M_\odot \) for PSR J0438+0432 \(^{40} \), and larger but with more uncertainty, such as \( 2.27^{+1.07}_{-0.15} M_\odot \) for PSR J2215-5135 \(^{41} \). These collectively form a lower limit to the neutron star maximum mass \( M_{\text{max}} \).

A potential upper limit \( M_{\text{max}} \lesssim 2.3 M_\odot \) was afforded by multi-messenger observations of the binary neutron star merger GW170817. This provided evidence that the coalesced remnant initially formed a hypermassive neutron star which was partially supported by differential rotation. The support from differential rotation briefly (perhaps a few tenths of a second) prevented the coalesced remnant from immediately collapsing into a black hole, which subsequently occurred if the remnant had a mass \( M_{\text{rem}} \) greater than the maximum mass that could have been supported by uniform rotation at the Keplerian (mass-shedding) limit, about \( M_{\text{max}, \mu} \simeq 1.17 M_{\text{max}} \).

Another immediate collapse would have short-circuited not only the observed gamma-ray burst, which occurred 1.7 seconds after the gravitational wave event, but also the extensive mass ejection revealed by the appearance of the subsequent kilonova. The maximum mass supported by differential rotation is estimated to be about \( 1.5 M_{\text{max}} \), so one finds \( M_{\text{rem}} < 1.5 M_{\text{max}} \). But the apparent existence of very high opacity heavy elements in the ejecta offers evidence against a long-lived uniformly-rotating supermassive remnant with \( M_{\text{rem}} < M_{\text{rem}} < M_{\text{max}, \mu} \). The large neutrino flux from a surviving supermassive star would have protonized the ejecta and halted the nucleosynthesis of the heaviest elements. Taking into account the ejected mass, binding energy corrections (more than 10% of the rest masses), and the measured total gravitational mass of the components, \( M_{\text{tot}} \simeq 2.73 - 2.80 M_\odot \), the condition \( M_{\text{rem}} \gtrsim M_{\text{max}, \mu} \) suggests an upper limit to \( M_{\text{max}} \lesssim 2.2 - 2.3 M_\odot \) \(^{12} \).

The mass, radius and causality constraints effectively bracket the ranges of allowed parameters, as can be seen in Fig. 9. The causality constraint effectively limits \( \Lambda \) to values less than about 1600 MeV irrespective of assumed values for \( n_t \) and \( L \). The constraint on \( R_{1.4} \) largely limits \( n_t \) to be larger than about 0.20 (0.25) fm\(^{-3} \) for \( \Lambda \gtrsim 800 \) MeV for \( L = 30(70) \) MeV; smaller values of \( n_t \) are allowed for \( \Lambda \lesssim 500 \) MeV, but for \( \Lambda \lesssim 500 \) MeV quarkyonic matter becomes possible only at unrealistically small values of \( n_t \simeq n_0 \). The third boundary is set by the lower limit on the neutron star maximum mass; the greater is \( M_{\text{max}} \), the more parameter space is confined.

As an alternative to the radius constraint suggested by GW170817 and X-ray observations of neutron stars, GW170817 implies an upper limit to the tidal deformability of \( 1.4 M_\odot \) neutron stars \( \Lambda_{1.4} < 800 \) \(^{13, 14} \) or \( \Lambda_{1.4} < 600 \) \(^{15, 16} \). \( \Lambda \) is the dimensionless tidal deformability, effectively the constant of proportionality between an external tidal field and the quadrupole deformation of a neutron star. It can be straightforwardly determined from a first-order differential equation \(^{13, 14} \) simultaneously integrated with the usual TOV differential equations for neutron star structure. This deformability constraint is consistent, approximately, with the condition \( R_{1.4} \lesssim 13 \) km. Parameter space constrained using \( \Lambda_{1.4} \) instead of \( R_{1.4} \), together with \( M_{\text{max}} > 2 M_\odot \) and causality, is shown in Fig. 10.

Irrespective of the choice of constraints, Figs. 9 and 10 indicate the ranges of permissible parameters are very large. Fine-tuning of the quarkyonic or hadronic model parameters, including the requirement that \( n_t \) be very close to \( n_s \) (for which there is no experimental support), is not needed as is the situation for conventional hybrid
FIG. 7. The EOS for various combinations of model parameters, with the pressure $p$ displayed in the left-hand panel and sound speed $c_s$ in the right-hand panel. Dotted lines show the SLy4 EOS continued to high densities as well as the three versions of the hadronic EOS with different $L$ values.

FIG. 8. Mass-radius curves for the same EOSs displayed in Fig. 7. The dark shaded region is prohibited by causality, and the light shaded region would be prohibited if the sound speed was limited by $c_s^2 \leq c^2/3$.

FIG. 9. Plausible parameter ranges. Solid (dash-dotted) curves show maximum mass $M_{\text{max}}/M_\odot (R_\odot /\text{km})$ contours for quarkyonic stars in beta equilibrium as functions of $\Lambda$ and $n_t$ for two extreme values of $L$, 30 MeV (blue) and 70 MeV (red). The dashed contours indicate causality bounds. The dotted vertical line demarks the DU threshold density for $L = 70$ MeV.

**VI. DISCUSSION AND CONCLUSIONS**

The modified quarkyonic EOS offers an alternative for the parameterized description of high-density matter in comparison to piecewise polytropes [21], constant sound speed [22, 23], or spectral decomposition [24] methods. Its rapidly varying sound speed and its narrow peak are features impossible to mimic with these approaches. The model presented here has only three parameters, making its parameterized use in statistical studies of observational data straightforward. Nevertheless, additional parameters can be easily incorporated. For example, one could replace the common $\Lambda$ with $\Lambda_n$ and $\Lambda_p$, allow d and u quarks to appear at different densities, and/or introduce more parameters to describe the hadronic phase.

To demonstrate the utility of using the quarkyonic model as a parameterized high-density EOS, we compare the resulting bounds on some semi-universal relations for neutron stars with those established from three-parameter piecewise polytrope models (as used, for example, by Özel and Psaltis [46] and Steiner et al. [47]). We will focus on relations involving the tidal deformability and binding energy.
Zhao and Lattimer [48] discovered, using piecewise polytropes, that $\Lambda$ inversely correlates with $(GM/Re^2)^6$. They found, for $1.1M_\odot < M < 1.6M_\odot$ (the range of component masses inferred from the accurately determined chirp mass $M = 1.18M_\odot$ of GW170817), that the quantity $a = \bar{\Lambda}(GM/Re^2)^6$ was confined to the relatively narrow range $a = 0.0085 \pm 0.0010$ if $M_{\max} > 2M_\odot$. Fig. 11 shows this correlation for quarkyonic model parameters restricted to $30\text{ MeV} < L < 70\text{ MeV}$ and $\Lambda$ and $n_t$ bounded by the constraints $2M_\odot < M_{\max} < 2.3M_\odot$ and $R_{1.4} \leq 13.5\text{ km}$ (see Fig. 9). In both parameterizations the lower bounds are slightly sensitive to the assumed minimum value of $M_{\max}$. The upper bounds, however, are quite sensitive to the assumed maximum value of $M_{\max}$ for quarkyonic matter, while nearly independent of $M_{\max}$ for piecewise polytropes. The quarkyonic bounds are found to be $a = 0.0099 \pm 0.0021$ with a somewhat larger range than determined using piecewise polytropes, $a = 0.0089 \pm 0.0010$ [49]. This is not surprising, considering that the quarkyonic model samples more extreme pressure-energy density and sound speed-density behaviors. In both cases, it is seen that restricting the range of $M_{\max}$ reduces the uncertainty in $a$, but more so for quarkyonic stars than for hadronic stars. Overall, it appears that piecewise polytropes may understate the uncertainty range for this correlation, an important consideration when attempting to deduce the EOS from observational data.

The binding energy is the difference between baryon and gravitational masses, $BE = M - M_b$, where $M_b$ is the total neutron star baryon number times the baryon rest mass. Upper and lower bounds on this quantity as a function of $M$ are shown in Fig. 11 and compared to analogous bounds derived from piecewise polytropes [48]. In this case, there is less difference between the two approaches, and the lower bounds for each type of EOS are insensitive to the assumed $M_{\max}$. However, the upper bounds for each type of EOS decrease with assumed $M_{\max}$, in contrast to the situation for $\bar{\Lambda}$.

The quarkyonic EOS also has implications for the cooling of neutron stars through the operation of the nucleon direct Urca (DU) process

$$p + e^- \rightarrow n + \bar{\nu}_e, \quad n \rightarrow p + e^- + \nu_e.$$  (27)

The threshold for its operation is the kinematic condition

$$k_{FU} \leq k_{FP} + k_{FE},$$  (28)

which requires a minimum $Y_L$ between 0.11 and 0.14 [50] within the hadronic sector. This is never achieved for $L \lesssim 50\text{ MeV}$ for the particular interaction we employ as long as $n_B \lesssim 0.7\text{ fm}^{-3}$. Even in the stiffer cases, the threshold is not exceeded until relatively high densities are reached. Because $Y_L$ abruptly decreases in quarkyonic matter for $n_B > n_t$, it becomes increasingly hard to satisfy the kinematic constraint as the density increases in the quarkyonic sector. Thus, the DU process can only operate if it is already permitted in hadronic matter at densities $n_B < n_t$. Then it would operate in a shell centered at $n_t$, but would nonetheless be effective in rapidly cooling neutron stars. According to the minimal cooling paradigm [51, 52], most neutron star thermal emission observations are consistent with the lack of DU cooling. The few exceptions could be explained by relatively massive neutron stars which might have central densities large enough for DU to operate. This scenario would also fit quarkyonic stars, if $n_t$ is large enough and if $L$ is not too small. Fig. 2 explicitly shows the required conditions.

Deconfined quarks can also participate in a direct Urca process

$$d \rightarrow e^- + u + \bar{\nu}_e, \quad u + e^- \rightarrow d + \nu_e,$$  (29)

having the kinematic requirement $k_{FU} + k_{FP} > k_{FE}$. This condition would be satisfied in quarkyonic matter at densities slightly in excess of $n_t$ irrespective of the value of $L$ because the quark abundances both grow rapidly with density. However, the final momentum states of the quarks, which have to be above their Fermi surfaces, are blocked by nucleons occupying those states. Therefore, a direct Urca process involving quarks may not be possible.

It is interesting to observe that quarkyonic configurations have the property that $R_{2.0} \geq R_{1.4}$, especially for large $\Lambda$ and small $n_t$ values. This results from a positive slope $dR/dM$ at moderate masses. $(c^2/G)(dR/dM)_{1.4} \gtrsim 1$ can always be achieved for $1.4M_\odot$ stars as long as $n_t$ is small and $\Lambda$ is large, no matter how soft the symmetry energy is. For example, hadronic (quarkyonic) stars with $L = 30, 50$ or $70$ MeV have $(c^2/G)(dR/dM)_{1.4} = -1.25(1.16), -0.48(1.09)$ or $-0.5(1.0)$, respectively, assuming $n_t = 0.20\text{ fm}^{-3}$ and $\Lambda = 1600\text{ MeV}$ for the quarkyonic stars. This may be of interest in view of the forthcoming NICER radius measurements of PSR J0740+6620 whose mass is estimated to be $2.14^{+0.10}_{-0.09}M_\odot$ from pulsar timing observations [53]. The large measured mass of PSR J0740+6620 contrasts with the lower
mass estimate associated with another NICER target, PSR J0030+0451 with $1.44^{+0.15}_{-0.14} M_\odot$ [8,53]. A measurement resulting in evidence that $R_{2.0} \gtrsim R_{1.4}$ would bolster support for a quarkyonic-like dense matter EOS with a sharp peak in $c_s$ in the vicinity of $2 - 4 \rho_s$.

In summary, we have formulated a model of quarkyonic matter that can be a useful tool for parameterizing the high-density EOS. Parameterized high-density EOSs are frequently used in the interpretation of astrophysical observations. The quarkyonic model has several advantages relative to alternative models involving piecewise polytropes, power-law expansions, relativistic mean-field theory or spectral decomposition. It can be used to simulate hybrid stars satisfying observed maximum mass and radius constraints without forcing the expected quark-hadron transition to lie abnormally close to the nuclear saturation density.

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