Analogies between elastic and capillary interfaces

Jacco H. Snoeijer

Physics of Fluids Group, Faculty of Science and Technology, MESA+ Institute, University of Twente, 7500 AE Enschede, The Netherlands

and Mesoscopic Transport Phenomena, Eindhoven University of Technology, Den Dolech 2, 5612 AZ Eindhoven, The Netherlands

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In this paper we exploit some analogies between flows near capillary interfaces and near elastic interfaces. We first consider the elastohydrodynamics of a ball bearing and the motion of a gas bubble inside a thin channel. It is shown that there is a strong analogy between these two lubrication problems, and the respective scaling laws are derived side by side. Subsequently, the paper focuses on the limit where the involved elastic interfaces become extremely soft. It is shown that soft gels and elastomers, like liquids, can be shaped by their surface tension. We highlight some recent advances on this class of elastocapillary phenomena.

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I. INTRODUCTION

Capillary flows and wetting phenomena appear naturally in a broad variety of contexts that involve droplets, thin films, and liquid jets. A challenging aspect in resolving such flows is that the shape of the free surface is not known a priori, but has to be found self-consistently with the flow. Owing to surface tension, the normal stress is determined by the curvature of the interface, which together with the kinematic boundary condition gives a nonlinear coupling to the flow. As a consequence, even viscosity-dominated flows becomes highly nonlinear; this is exemplified explicitly in the nonlinear lubrication (thin film) equation. Similar free boundary problems arise in the context of fluid-structure interaction or elastohydrodynamics, where flow induces elastic deformation of the confining boundaries. Examples can be found on all length scales, ranging from airfoil flutter, wind-deformed trees, and flow in collapsible tubes down to cellular motion through the beating of flexible flagella. In all cases, the shapes of the elastic bodies have to be found self-consistently with the flow, coupled kinematically and by elastic stresses.

Though liquid and elastic responses to shape deformation are very different, the structure of the resulting flows can be very similar. This is illustrated in Fig. 1, comparing an image of liquid drop impact (left panel [1]) and the approach of a rigid sphere above a soft elastic layer (right panel [2]). In both cases, a squeeze flow appears in the thin gap between the impactor and the substrate; this leads to a buildup of a lubrication pressure that is able to deform the droplet and the elastic layer [1–7].

In the first part of this paper we will fully work out the analogy between capillary and elastic flows for two closely related problems: the motion of a bubble in a narrow channel [Bretherton’s problem, Fig. 2(a)] and the elastohydrodynamic lubrication appearing in deformable ball bearings [Fig. 2(c)]. The former is a paradigmatic example for capillary flows [8–11], while the latter is classical in the context of tribology [12–16]. Here we show that both flows exhibit the same mathematical structure and we will derive the resulting scaling laws using the very same method in both cases.

Elastic lubrication phenomena are not restricted to the context of very stiff bearings, but are also encountered for rubber seals or for very soft (bio)materials such as articular cartilage. Interestingly, when considering exceedingly soft matter, the wetting and lubrication becomes even more intricate

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and the analogy with liquid interfaces takes on a whole new meaning. Namely, gels and elastomers themselves possess a solid surface tension $\gamma_s$ and as a consequence share common mechanical features with liquid interfaces [17–22]. Indeed, surface effects can compete with, and even dominate over, bulk elastic effects when Young’s modulus $E$ of the solid is sufficiently small. More precisely, one can define the ratio of solid surface tension over Young’s modulus $\gamma_s/E$ as the elastocapillary length. This length sets the scale below which capillary effects of the solid dominate over its bulk elasticity. For common soft gels with moduli in the range of 1–100 kPa, this length $\gamma_s/E$ can become as large as 1–100 $\mu$m and leads to a new class of elastocapillary phenomena that are
currently being actively explored [20–22]. In the second part of the paper we therefore highlight some striking features of elastocapillary interfaces and discuss some deep connections between the adhesion of solids and the wetting of liquids.

II. LUBRICATION OF BUBBLES AND SOLIDS

To illustrate the analogy between capillary lubrication and elastic lubrication, we will focus on two prototypical problems: Bretherton’s analysis of long bubbles in a narrow tube [Fig. 2(a)] and the elastohydrodynamic lubrication in tribology [represented here by a soft slider in Fig. 2(c)]. Both cases involve the steady motion of a deformable object parallel to a rigid wall, with a prescribed velocity $U$, lubricated by a thin liquid film of thickness $H$. A third example that exhibits a similar structure is that of the flexible scraper that leaves behind a thin liquid film [Fig. 2(b)].

The question we address for all cases in Fig. 2 is how the thickness of the lubrication film scales with the sliding velocity, i.e., $H \sim U^\alpha$. Though the three lubrication flows exhibit different scaling exponents $\alpha$, the structure of the problems is strictly identical. A key ingredient is that the lubrication film becomes asymptotically thin at low velocities, so the hydrodynamics affects only a small region; this lubrication zone is indicated by the red dashed circles in Fig. 2. The height $H$ and width $L$ of this hydrodynamic zone are unknown a priori and have to be determined self-consistently. At larger scales, the elastic or capillary interfaces are essentially at equilibrium. The purpose of this section is to highlight the equivalent structure of these elastic and capillary lubrication flows. We work out the three cases in the form of scaling analysis; the full solution involves a more detailed matched asymptotic expansion based on similarity solutions, for which we refer to [8,16,23].

A. Bretherton bubbles: $\alpha = 2/3$

We first focus on the flow in the thin film below the bubble, for which a more detailed zoom is given in Fig. 3. The flow can be solved by the Stokes equation, which in the lubrication approximation reduces to

$$\frac{dp}{dx} = \eta \frac{d^2 v_x}{dy^2}. \quad (1)$$

The horizontal fluid velocity naturally scales as $v_x \sim U$, while the vertical coordinate is set by the film thickness $y \sim H$. However, the horizontal length scale $x \sim L$ and the pressure scale $p \sim P$ are still unknown and we therefore write (1) as

$$\frac{P}{L} \sim \frac{\eta U}{H^2} \quad (2)$$

(lubrication). The horizontal scale is sometimes referred to as the length of the dynamical meniscus [11].

A second equation results from the relation between pressure $p(x)$ inside the lubrication film and the shape of the interface $h(x)$. For capillary interfaces this is given by the Laplace pressure...
\[ p = -\gamma d^2 h/dx^2, \] which in terms of the scaling law gives

\[ P \sim \frac{\gamma H}{L^2}, \tag{3} \]

(deformation).

The two equations (2) and (3) do not yet fully specify the lubrication layer, since there are three unknown scales: the horizontal and vertical lengths \( H \) and \( L \), respectively, and the pressure scale \( P \). The problem is closed by a matching condition that connects the small-scale lubrication region to the large-scale interface profile. The latter is essentially unaffected by the thin flowing layer, so on large scales the interface is at equilibrium. For the Bretherton problem, the meniscus has a curvature that is fixed by the channel size \( R \). In order for the thin film region to match with this outer shape \( h \sim x^2/R \), the lubrication film should satisfy a geometric matching condition

\[ H \sim \frac{L^2}{R}, \tag{4} \]

which provides the desired meniscus curvature \( R^{-1} \).

The various scales can now be determined from Eqs. (2)–(4), e.g., by eliminating the pressure \( P \) and the horizontal length \( L \) and subsequently solving for \( H \). This gives the classical scaling law

\[ H \sim R \left( \frac{\eta U}{\gamma} \right)^{2/3}, \tag{5} \]

hence \( H \sim U^{2/3} \). The same exponent is also observed for the Landau-Levich-Derjaguin problem in dip coating [9] or for pulled soap films [10]. On the right-hand side one can recognize the capillary number \( \text{Ca} \equiv \eta U/\gamma \), so we introduce the more familiar form

\[ H = 1.34 R \text{Ca}^{2/3}, \tag{6} \]

where we now also provide the prefactor as obtained from the full matched asymptotics solution in [8].

It is important to note that the matching procedure relies on the assumption that the film thickness \( H \) is small with respect to the macroscopic size \( R \), so (6) implies that the analysis is valid for \( \text{Ca} \ll 1 \). One further verifies that \( L \sim R \text{Ca}^{1/3} \), so for small capillary numbers one finds \( H/L \ll 1 \), justifying the lubrication assumption.

**B. Elasticity**

The exact same strategy can now be followed for the elastic problems of lubricated Hertz contacts and the flexible scraper. The lubrication equation (2) is the same, but differences appear in (3) and (4), respectively, describing the relation between pressure and deformation and the static interface shapes. Below we adapt these relations and derive the different scaling laws.

1. **A flexible scraper: \( \alpha = 3/4 \)**

For the scraper problem of Fig. 2(b) we closely follow Seiwert et al. [23]. They treat the scraper as a thin elastic plate of length \( R \) and bending modulus \( B = Et^3/12(1 - v^2) \), where \( t \) is the plate thickness. The relation between pressure and the shape of the plate is \( p = Bd^4 h/dx^4 \), which leads to the scaling law

\[ P \sim \frac{BH}{L^4}, \tag{7} \]

(deformation). This replaces (3) in the bubble analysis.

The static outer shape in the experiment in [23] is imposed by clamping the scraper at one end. The other end of the scraper is assumed to approach the liquid film with free end conditions. This gives as a boundary condition that the internal torque of the beam vanishes and hence the scraper’s
curvature $d^2h/dx^2 \to 0$ as it approaches the film. In addition, we will consider that the tip of the beam is tangent to the coated surface, i.e., $dh/dx \to 0$. Hence the local shape is of the form $h \sim x^3/R^2$, where the length scale of the outer solution is set by the plate length $R$. Once more, expressing the outer shape in terms of the horizontal scale of the inner solution $x \sim L$, we obtain a matching condition

$$H \sim \frac{L^3}{R^2},$$

replacing (4) in the bubble analysis.

The final step is to eliminate $L$ and $P$ between (2), (7), and (8), which yields the flowing thickness below the scraper

$$H = 0.66R\left(\frac{\eta U R^2}{B}\right)^{3/4}.$$

Here we again we used the prefactor from the matched asymptotic expansion, as derived by Seiwert et al. \[23\]. We thus find a different scaling exponent as compared with the Bretherton problem, namely, $H \sim U^{3/4}$. However, it is clear that the solution structure is strictly identical.

2. Hertz contacts: $\alpha = 3/5$

We now consider the elastic slider problem in Fig. 2(c), which is equivalent to the elastohydrodynamics of rotating ball bearings. First, the elastic cylinder of radius $R$ is pressed against a solid wall with a load $F$ (force per unit length). This is a two-dimensional version of a Hertz contact for which the width of the contact zone $R_c$, indicated in Fig. 2(c), can be computed as \[13\]

$$R_c = R\left(\frac{4F(1-v^2)}{\pi E R}\right)^{1/2}.$$

Subsequently, the cylinder is set into motion with respect to the wall while lubricated by a thin film.

In this case of a bulk elastic material, the relation between pressure $p(x)$ and the gap thickness $h(x)$ is a bit more involved, namely,

$$h(x) = c + \frac{x^2}{2R} - \frac{2(1-v^2)}{\pi E} \int_{-\infty}^{\infty} dx' p(x') \ln |x - x'|.$$

The first term on the right-hand side represents the shape of the cylinder in its reference state, i.e., without any elastic deformation. The integral represents the elastic deformation induced by a pressure distribution $p(x)$ acting on the elastic substrate. It can be computed as a convolution of the pressure with the Green’s function of a line loading of a semi-infinite elastic half space. In terms of a scaling analysis, this becomes

$$H \sim \frac{LP}{E}$$

(deformation), where we anticipated that the dominant contribution comes from the integral over the pressure, over the dynamical horizontal scale $L$. Note that the size $L$ of this lubrication region will be much smaller than the total size of the contact $R_c$.

The outer shape corresponds to that of a static Hertz contact. In principle, this can be derived from (11), but here we simply pose the result, reading $h(x) \sim \frac{R^{1/2}|x|^{1/2}}{R}$, where $|x|$ is the distance measured

1Note that after the scraper has passed and the boundary condition changes from no slip to no stress, the thickness reduces to half this value.
from the edge of the contact point \[13\]. In terms of scaling, this gives the matching condition

\[
H \sim \frac{R^{1/2}L^{3/2}}{R}.
\]

As before, the final step consists of combining (2), (12), and (13), yielding the scaling law

\[
H^5 \sim \frac{(\eta U)^3 R^4}{E^3 R_c^2}.
\]

Further replacing the width of the contact zone \(R_c\) by its value given in (10), we finally obtain

\[
H = 0.45 \left( \frac{\pi (3\eta UR)^3 (1 - \nu^2)^2}{2FE^2} \right)^{1/5},
\]

where the prefactor is drawn from the similarity analysis in \[16\]. Hence, the sought scaling law becomes \(H \sim U^{3/5}\).

This completes the discussion of the three examples shown in Fig. 2, for which we have derived the scaling laws by following three times the same scheme.

### III. SOLID CAPILLARITY: ELASTIC INTERFACES WITH SURFACE TENSION

We now turn to elastic interfaces in the limiting case of very low stiffness. Solid interfaces exhibit an excess surface free energy, just like liquid interfaces. However, only fairly recently has it been appreciated that the resulting surface tension \(\gamma_s\), often called surface stress in solid mechanics \[21,22,24\], has important mechanical consequences: It implies a jump in normal stress \(\gamma_s \kappa\), where \(\kappa\) is the interface curvature, and this can dramatically change the mechanics of very soft elastic materials. Here we highlight a few striking consequences of solid surface tension.

#### A. Solid Laplace pressure

As the first example we consider the stability of thin cylindrical rods. Mora et al. \[17\] demonstrated that very soft cylinders exhibit a Rayleigh-Plateau-like instability driven by the solid’s surface tension, in a way that is similar to liquid jets. Figure 4(a) from top to bottom shows agar gel cylinders of decreasing stiffness, the softer ones being unstable. Indeed, surface tension acts in the same manner as for liquids. Axisymmetric perturbations of the radius along the cylinder \(r(z) = R(1 + \epsilon e^{ikz})\)

![FIG. 4. Surface tension effects in elastic solids. (a) Thin cylinders of very soft agar gel can develop a Rayleigh-Plateau instability driven by the solid surface tension. The stiffness is decreased from top \((E = 81 \text{ Pa})\) to bottom \((E = 36 \text{ Pa})\). (Reprinted with permission from \[17\]. Copyright 2010 by the American Physical Society.) (b) Rounding of sharp edges on a soft gelatine after it is withdrawn from a PDMS mould. (Reproduced from \[19\] with permission from the Royal Society of Chemistry.)](image)
induce a pressure jump

\[ \Delta P_{\gamma} \sim \epsilon \frac{\gamma_s}{R} \left[ 1 - (kR)^2 \right], \]  

where \( R \) is the initial radius and \( k \) is the wave number of the perturbation in the axial direction. For liquid jets the threshold for instability appears when \( \Delta P_{\gamma} = 0 \) such that all waves with \( kR < 1 \) are mechanically unstable. When the cylinder consists of a solid gel, however, the distortion of the interface induces an opposing elastic stress \( \Delta P_{\text{el}} \sim \epsilon E \), where \( \epsilon = \delta r/R \) now provides the typical strain inside the soft solid gel. Balancing the capillary and elastic stress \( \Delta P_{\gamma} = \Delta P_{\text{el}} \) gives a marginal condition

\[ 1 - (kR)^2 \sim \frac{ER}{\gamma_s}, \]  

so instabilities can develop when the right-hand side is sufficiently small. Indeed, the detailed analysis and experiments in [17] show that the instability appears when \( \gamma_s/ER > 2 \). In other words, the elastic cylinder is mechanically unstable when the ratio \( \gamma_s/E \) exceeds the cylinder diameter.

The ratio \( \gamma_s/E \) is the so-called elastocapillary length and sets the length scale below which capillary phenomena can compete with elasticity. This is further highlighted in the example in Fig. 4(b), taken from Paretkar et al. [19]. The figure shows the shape of a gelatine replica (lower image) after it is extracted from a stiff poly(dimethyl)siloxane (PDMS) mould (upper image). Clearly, the sharp crenellations of the mould are not present in the gelatine: All sharp features below the elastocapillary length are smoothened by surface tension. Within linear elasticity, one easily shows that sinusoidal perturbations of wave number \( k \) are in fact reduced in amplitude by a factor [1 + \( \frac{3}{2} \frac{\gamma_s k}{E} \)]\(^{-1}\), once more illustrating the importance of the ratio \( \gamma_s/E \).

B. Soft wetting: Static and dynamic contact angles

1. Neumann’s law

The action of solid surface tension becomes even more pronounced when a liquid drop is deposited on a soft gel. The x-ray image in Fig. 5(a) from Park et al. [25] shows a detailed view of the three-phase contact line at the edge of a water drop. The solid is pinched by the action of the liquid-vapor surface tension \( \gamma \). Importantly, the liquid-vapor interfacial forces are localized within a very narrow interfacial zone that extends over a nanometric scale \( a \). In consequence, the liquid-vapor

![FIG. 5. Wetting a soft solid. (a) X-ray image of a three-phase contact line of a water drop on a soft silicon gel. The water drop induces substantial elastic deformation of the substrate. The scale bar indicates 5 \( \mu \)m. (Reprinted from [25], Nature Publishing Group, licensed under a Creative Commons Attribution 4.0 International Licence.) (b) Calculated profile of a wetting ridge that moves with a prescribed velocity \( V \). The contact line motion induces a rotation of the wetting ridge by an angle \( \phi \). (c) Rotation angle \( \phi \) as a function of contact line velocity \( V \), for water drops spreading on a silicon gel, comparing experiments (symbols) and theory (red solid line). [Panels (b) and (c) reprinted from [45], Nature Publishing Group, licensed under a Creative Commons Attribution 4.0 International Licence.]

060506-7
interface exerts a very large tensile stress on the solid that is of the order \( \gamma/a \approx 10^8 \) Pa \([26–30]\). For stiff materials such as glass (with \( E \approx 10^{11} \) Pa), such a stress does not induce any significant deformations and the substrate can be considered perfectly rigid, as is normally assumed in the derivation of Young’s law for the contact angle. However, the stress induced by the liquid-vapor interface exceeds Young’s modulus of the gel in Fig. 5(a) by at least four orders of magnitude. How does the gel resist such a large forcing near the contact line?

The presence of a solid surface tension can resolve this paradox \([31–35]\): A vectorial balance of the three surface tensions (solid-liquid, solid-vapor, and liquid-vapor) leads to the contact angles that satisfy Neumann’s law. Indeed, the geometry of the contact line in Fig. 5(a) looks similar to that of liquid lenses, such as oil drops floating on water \([11]\). These observations are consistent with the discussion of the previous paragraphs, based on which one expects that surface tension dominates over elasticity at small scales, i.e., at distances smaller than \( \gamma_s/E \). Whether or not the microscopic contact angles resemble that of Young’s or Neumann’s law then depends on whether the elastocapillary length \( \gamma_s/E \) is small or large with respect to the microscopic size \( a \) \([32]\).

The emergence of Neumann’s law as the relevant boundary condition for wetting on soft media has indeed been confirmed from detailed variational analysis, based on minimization of thermodynamic elastic and capillary energies \([36]\). However, we emphasize that this thermodynamic derivation crucially relied on the assumption that the surface free energy of the solid can be treated as a material constant that is independent of the elastic strain. \textit{A priori}, such an approximation is not allowed for elastic interfaces, for which the free energy is not expected to remain constant when the material is strained. The potential consequences of this so-called Shuttleworth effect \([37]\) are reviewed in \([21]\).

2. Dynamic contact angle

Classically, the wetting and spreading dynamics of liquids over surfaces is described by a dynamic contact angle \( \theta_d \) \([38–40]\). As the contact line moves with a velocity \( V \), the dynamic (or apparent) angle of the liquid changes with respect to the equilibrium angle \( \theta_{eq} \). This nonequilibrium liquid angle correlates to the dissipation induced by the fluid motion. It was observed that the contact line speed is slowed down dramatically, by orders of magnitude, when the substrate is made exceedingly soft \([28,41,42]\). This led to the hypothesis that dissipation inside the substrate plays an important role, as also highlighted in recent experiments \([43,44]\), since very soft materials typically are highly viscoelastic.

Karpitschka \textit{et al.} \([45]\) further showed how the dynamic contact angle can indeed be inferred directly from the viscoelastic dynamics inside the solid. The static wetting ridge was found to rotate by an angle \( \varphi \) when the contact line is set into motion, as was computed numerically in Fig. 5(b). The amount of rotation depends strongly on the rheology of the solid. Figure 5(c) reports measurements of \( \varphi = \theta_d - \theta_{eq} \) as a function of contact line velocity \( V \). At low velocity it is found that \( \varphi \sim V^{0.55} \), which is very different from any classical wetting law. The origin of this scaling law can be traced back to the rheological spectrum: It reflects the scaling of the loss modulus of this material, which behaves as \( G'' \sim \omega^{0.55} \) at low frequency \( \omega \). Indeed, a detailed theory based on the complete rheological spectrum of the solid leads to the red line shown in Fig. 5(c), capturing the full range of data \([45]\). Remarkably, since most of the dissipation occurs inside the substrate, the liquid can be considered at equilibrium during these spreading experiments.

C. Adhesion of dry solids: Young’s law

We have seen that, on small scales, soft elastic interfaces develop wetting contact angles just like liquid interfaces. Does this also carry over when a gel is brought into contact with a rigid solid? Does the gel make a contact angle that satisfies Young’s law? This question is relevant for indentation problems in contact mechanics, where a rigid sphere is brought into contact with an elastic layer, as shown in Fig. 6. In the presence of adhesion, such an indentation leads to the
ANALOGIES BETWEEN ELASTIC AND CAPILLARY . . .

FIG. 6. Contact between a rigid indenter and a soft elastic layer. (a) Gels of varying stiffness indented by a rigid sphere, imaged by confocal microscopy. (Reprinted with permission from [48], Nature Publishing Group.) (b) Theoretical profiles of varying stiffness, including the definition of the contact width $\ell$. All profiles were computed with the very same Young angle at the three-phase contact line. [Image courtesy of Karpitschka (see [52] for details).] Stiff layers follow the classical JKR law (18) for solid adhesion ($\gamma_s/E \ll \ell$), while soft layers behave as wetting liquids ($\gamma_s/E \gg \ell$). These results suggest that Young’s angle applies also for gels of finite stiffness.

classical Johnson-Kendall-Roberts (JKR) law for the width of the contact [46],

$$\ell \sim \left( \frac{WR^2}{E} \right)^{1/3}, \tag{18}$$

valid when no external load is applied. Here $W$ is the work of adhesion and $R$ the radius of the indenter. We note that the derivation of this law relies on an analogy with fracture mechanics, for which the edge of the contact is treated as a singular crack [13,46,47]; hence, it remains unclear what happens at the contact line.

Recent work showed that it is indeed possible to extend the concept of contact angles to the adhesion of dry solids. An indication of this can be seen in the confocal microscopy images in Fig. 6(a) from Style et al. [48]. The softest gel (dark blue data) almost acts like a perfect liquid, in which the rigid sphere sinks until it reaches a desired angle. This wettinglike regime is not captured by the JKR law and emerges for very soft materials, when $\gamma_s/E \gg \ell$ [49–51]. In addition, Karpitschka et al. [52] investigated the boundary condition at the contact line by variational analysis. It was found that Young’s law should in fact be satisfied not only for vanishing stiffness, but also for large $E$ (as long as $\gamma_s/E$ is larger than nanometric). The corresponding theoretical profiles for different stiffness are shown in Fig. 6(b). These profiles capture the trends observed experimentally and are in perfect agreement with the classical law (18) at large stiffness. Though not visible in Fig. 6(b), all profiles exhibit the very same contact angle as a boundary condition. This series of observations provide a unification between the adhesion of dry solids and the wetting of liquids: The difference is only in the relative strength of elasticity and capillarity.

IV. DISCUSSION

This paper explored the possible merits of drawing analogies between elastic and capillary interfacial flows. We first set side by side three apparently distinct lubrication problems and solved them by applying a single method. We then showed that very soft elastic surfaces in fact behave very similarly to liquid interfaces, owing to their surface tension. Perhaps the most remarkable feature is that soft solids can be folded into liquidlike contact angles when in contact with a liquid or another solid. Based on this, one can appreciate a deep connection between liquid wetting and the mechanics of adhesive solids: Both represent different limiting cases of an adhesion problem, governed by the very same wetting boundary condition.
It is tempting to consider the elastic-capillary analogy between other types of lubrication problems such as the dimple formation during normal impact shown in Fig. 1. Similarly, the spreading of droplets under elastic membranes gives rise to interesting dynamics reminiscent of capillary drop spreading [53], and many other examples could be given. A particularly nice example is found for the Cheerios effect [54], i.e., the interaction of particles at a fluid interface, for which elastic counterparts were proposed recently. Solid particles were found to attract when deforming elastic interfaces by their weight [55,56]. The roles of solid and liquid were even completely reversed in the inverted Cheerios effect, where liquid drops on soft solids were also found to interact by the induced elastic deformation [57]. Surprisingly, the interaction between droplets could be tuned from attractive to repulsive upon varying the thickness of the gel layer. Exploiting the elastic-capillary analogy hence continues to be a fruitful approach for a broad variety of interfacial phenomena.

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