Interface engineering of graphene for universal applications as both anode and cathode in organic photovoltaics

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The high transparency of graphene, together with its good electrical conductivity and mechanical robustness, enable its use as transparent electrodes in optoelectronic devices such as solar cells. While initial demonstrations of graphene-based organic photovoltaics (OPV) have been promising, realization of scalable technologies remains challenging due to their performance and, critically, poor device reproducibility and yield. In this work, we demonstrate by engineering the interface between graphene and organic layers, device performance and yield become close to devices using indium tin oxide. Our study confirms that the key issue leading to the poor performance or irreproducibility in graphene-based OPV originates from the graphene interface, and can be addressed by a simple interface modification method introduced in this work. We also show similar approach allows graphene to be used as cathode in inverted OPV geometry, thereby demonstrating the universal application of graphene as transparent conductors for both the anode and cathode.

Graphene, a hexagonal arrangement of carbon atoms forming a one-atom thick planar sheet, possesses unique and outstanding electrical1–3, mechanical4, optical5, and chemical6 properties. As a building block for carbon materials of all other dimensionalities, two-dimensional graphene has been widely studied by theorists since the middle of the last century7,8. Yet it was not until the successful isolation of single- and few-layer graphene by the mechanical cleaving of highly ordered pyrolytic graphite9 that graphene began to attract widespread attention. More recently, the continuous and scalable large area synthesis of graphene by chemical vapor deposition (CVD)10–11 has bolstered its study in a broad range of research areas12–15. Notably, there has been a growing interest in the potential of graphene-based optoelectronic applications16 taking advantage of its high transparency, good electrical conductivity, and mechanical robustness. In particular, initial demonstrations of graphene as a replacement transparent electrode for indium tin oxide (ITO) in organic photovoltaic (OPV) devices13,17–19 have been promising. However, in these studies the performance of graphene devices generally remains lower than that of their ITO counterparts and, more importantly, device yields have been low13,17–19; a crucial issue that must be solved if ‘real-world’ applications are to come to fruition.

In OPV solar cells, ITO electrodes are usually interfaced by a thin layer of conducting polymer, poly(3,4-ethylenedioxythiophene):poly(styrenesulfonate) (PEDOT:PSS). The PEDOT:PSS (Clevios™ P VP Al 4083)10 hole injection layer (HIL), with a work function of 5.2 eV, is understood to improve overall device performance by: (1) facilitating the injection/extraction of holes via an ohmic-contact at the junction; (2) planarizing ITO surface roughness, which otherwise presents potential sources of local shunting through the device’s ultra-thin active layers12,22; and (3) inhibiting dewetting of the overlying organic layers by providing a more chemically compatible electrode surface than ITO. In order to achieve uniform coverage of PEDOT:PSS on ITO, ITO electrodes are typically treated with an oxygen plasma prior to spin-coating of PEDOT:PSS so as to increase their hydrophilicity. However, achieving smooth and complete coverage of PEDOT:PSS from aqueous solution onto graphene is challenging owing to the surface’s hydrophobic nature23. Previous efforts13,24 have mostly employed destructive treatments, such as with O2 plasma or UV-ozone, on thicker multilayer graphene electrodes, to convert the graphene surface from hydrophobic to hydrophilic25, but these processes greatly reduce the electrical conductivity of graphene. Previously17, we have found that without a proper HIL, graphene-based OPV solar cells do not exhibit diode-like rectification, but instead show resistor-like behavior with very limited photoresponse. Thus it has been a continuous effort to either improve the coverage uniformity of PEDOT:PSS layer on...
graphene electrodes or replace PEDOT:PSS by other layers of similar function. Toward this end, doping by AuCl$_3$\textsuperscript{15}, replacing PEDOT:PSS by inorganic MoO$_3$\textsuperscript{17} or vapor deposited PEDOT layer\textsuperscript{26}, or using solution modified PEDOT:PSS\textsuperscript{27} has been investigated and the device yield has been continuously improving. Furthermore, although not studied with graphene electrodes, several works reported modifying the PEDOT:PSS solution via various surfactants to improve the wetting property on a variety of hydrophobic substrates\textsuperscript{18–20}. Nevertheless, the device yield obtained from the previous methods have been 30–40%\textsuperscript{15,17,26}. In this work, by engineering the interfacial HIL between the graphene electrode and the organic donor layer, we find that the performance and yield of graphene-based OPV devices become close to those of devices using ITO electrodes. This interface modification is achieved by inserting a thin polymeric buffer layer between the pristine graphene surface and the PEDOT:PSS HIL, thus forming a Double-HIL structure (D-HIL). We also demonstrate that this method can be further expanded to the inverted solar cell geometry where graphene is utilized as the cathode, thus illustrating the broad application of graphene as a transparent conductor in OPV in general.

**Results**

To form a D-HIL structure, we use poly(3,4-ethylenedioxythiophene)-block-poly(ethylene glycol) (PEDOT:PEG) doped with perchlorate (PC) in nitromethane (CH$_3$NO$_2$) (1 wt.%) as the buffer layer (which we refer to as PEDOT:PEG(PC)), which is spin-coated onto graphene prior to PEDOT:PSS deposition. The molecular structures of PEDOT:PEG(PC) and PEDOT:PSS are compared in Fig. 1a, and Fig. 1b shows the transmittance of the spin-coated films on quartz substrates. Although PEDOT:PEG(PC) is less transparent than PEDOT:PSS (88.5% vs. 97.5%, at $\lambda = 550$ nm incident light wavelength), the difference in transparency does not limit the device performance, as shown later. The average sheet resistances of PEDOT:PEG(PC) and PEDOT:PSS on quartz were $25 \pm 4$ $\Omega$/sq and $17 \pm 3$ $\Omega$/sq at thicknesses of 40 nm and 20 nm, respectively. Replacing PSS with PEG, which co-polymerizes the PEDOT with PEG, renders PEDOT soluble in organic solvents and enables it to efficiently wet the hydrophobic surface of graphene, as well as other substrates onto which spin-coating of uniform PEDOT:PSS is difficult.

This surface modification is confirmed by characterization of surface morphologies. The spin-coated PEDOT:PEG(PC) completely covers the graphene surface, in contrast to PEDOT:PSS, which coats graphene non-uniformly as shown in the optical micrograph from Fig. 2a, b. The better-wettability of PEDOT:PEG(PC) on graphene can be also observed from the contact angle measurement. Microscale droplets of both solutions, PEDOT:PEG(PC) and PEDOT:PSS, were placed on graphene transferred on quartz substrates to investigate the wettability and the static contact angle. As shown in Fig. 2c,d, the contact angles for PEDOT:PEG(PC) and PEDOT:PSS are 28.1 $\pm$ 1.4° and 91.2 $\pm$ 0.2°, respectively. Further characterization of the D-HIL films are provided in the Supplementary Fig. S1 from the scanning electron microscopy (SEM) and atomic force microscopy (AFM). SEM images show that, although the PEDOT:PEG(PC) surface is rougher than that of PEDOT:PSS, it is smoothed by the subsequent coating with PEDOT:PSS (Supplementary Fig. S1b, d). And the observed roughness did not appear to affect device performance as shown later.

In addition to facilitating the deposition of high quality PEDOT:PSS on graphene electrodes, it is noteworthy that the PEDOT:PEG(PC) buffer layer is much less corrosive to the underlying electrode than PEDOT:PSS: Jong et al.\textsuperscript{11} reported that PEDOT:PSS, with a pH value of 1–2 (due to the strongly acidic nature of PSS), etches indium out of ITO anodes, which can contribute to the diffusion of indium atoms into the active layers of an OPV or organic light-emitting diode (OLED), reducing their operating lifetime. Indeed, indium diffusion has commonly been linked to the degradation of OLEDs\textsuperscript{22}.

By incorporating the D-HIL structure, we demonstrate archetypal bi-layer heterojunction small molecule solar cells based on pristine (i.e. no doping or chemical treatment) graphene electrodes with performance and yields close to ITO reference devices. The device architecture employed was anode graphene (or ITO)/D-HIL (PEDOT:PEG(PC)-PEDOT:PSS)/tetraphenyldibenzoperylenanthene (DBP, 25 nm)/fullerene (C$_60$, 40 nm)/bathocuproine (BCP, 8.5 nm)/Al (100 nm). Graphene films were synthesized under low pressure CVD conditions and the graphene electrodes were prepared via layer-by-layer transfer\textsuperscript{21} by stacking three monolayers of graphene sheets: the resulting average sheet resistance ($R_{sh}$) and transmittance values were $300 \pm 12$ $\Omega$/sq and 91.8 $\pm$ 0.4% (at $\lambda = 550$ nm), respectively. Fabrication and testing procedures are detailed in the Methods sections. A schematic of the device structure, as well as a transmission electron microscopy (TEM) image of its cross-section,
is shown in Fig. 3a, b, along with the corresponding flat-band energy levels of each material in Fig. 3c. The results of energy dispersive X-ray spectroscopy (EDS) are shown on the right panel of Fig. 3b, identifying the materials corresponding to the cross-sectional TEM image and confirming the targeted structure.

Figure 3d illustrates the current-density-voltage (J–V) results for the solar cells described above. ITO-based reference devices with the same structure (i.e. with a D-HIL) or with only a PEDOT:PSS HIL are shown for comparison. Devices using the D-HIL structure, with either ITO or graphene electrodes, display rectifying J–V characteristics similar to those of the ITO/PEDOT:PSS control device. The key photovoltaic parameters of the representative devices (short-circuit current density ($J_{SC}$), open-circuit voltage ($V_{OC}$), and fill factor (FF)) are summarized in Supplementary Table S1. All three solar cells show similar power conversion efficiency (PCE): 2.9% for graphene/D-HIL; 3.2% for ITO/D-HIL; and 3.1% for ITO/PEDOT:PSS. Similar cell performance of ITO reference device was reported previously 33. It is evident that the type of HIL, i.e., single- or D-HIL, has negligible impact on ITO-based devices. This is somewhat surprising given that the D-HIL is actually 2 times thicker than the single-HIL. PEDOT:PEG(PC) alone, however, is not suitable for mediating hole injection between graphene and an adjacent donor material due to its lower work function (4.3 eV) than PEDOT:PSS (5.2 eV). Moreover, the rough surface of PEDOT:PEG(PC) can be possible source of the shorting. Indeed, the resulting solar cell fabricated with a PEDOT:PEG(PC)-only shows non-rectifying device characteristics (Supplementary Fig. S2a). At the same time, solar cells with graphene electrodes with only spin–coated PEDOT:PSS HIL (i.e. devices exhibiting poor HIL wetting on graphene) show almost resistor-like behavior, similar to the behavior of devices without any HIL (but typically with slightly better power conversion efficiency (PCE)) (see Supplementary Fig. S2b). On the other hand, when PEDOT:PEG(PC) is used as an intermediate buffer layer between graphene electrode and PEDOT:PSS, the photo-response of devices using the D-HIL configuration is significantly improved. This D-HIL serves two roles: the PEDOT:PEG(PC) layer facilitates the wetting of the PEDOT:PSS HIL on graphene; while the PEDOT:PSS layer, with its higher work function and high carrier density, serves as an energetic intermediate between graphene’s shallower Fermi level and the donor material’s deeper highest occupied molecular orbital (HOMO). This D-HIL structure, prepared by simple spin-coating in ambient condition, allows PEDOT to be deposited onto graphene electrodes without the introduction of defect sites (in contrast to the O$_2$ plasma or UV-ozone treatment 32). Additionally, this approach does not require any unstable modifications (e.g., chemical doping) of the graphene surface, and could therefore be applied even to single layer graphene. The D-HIL process is also substrate independent – not requiring heat treatments or complex fabrication procedures – and consequently represents a facile method by which to achieve the complete and smooth coverage of graphene electrodes by HIL, which is critical for successful graphene-based optoelectronic device operation.

As a further demonstration of the broad applicability of the D-HIL structure to graphene electrodes, we also fabricated inverted OPV devices applying graphene as the cathode. With dramatic improvements in the PCE of a single cell in recent OPV developments 34–36, there have been growing interests in the long term stability/lifetime and the degradation mechanism of organic solar cells (OSCs) for ‘real-life’ applications. One approach that is frequently proposed is to adopt the inverted solar cell geometry 37–39 where the nature of charge collection is reversed by using less air-sensitive high work function metals (Ag or Au) as the hole collecting back-electrodes. Several works 38,40–42 reported such inverted architectures using TiO$_2$, or ZnO as electron selective layer (ESL) at the ITO front contact and MoO$_3$ or PEDOT:PSS as hole-selective layer (HSL) at the metal back contact due to their efficient charge selectivity.

Up to now, only limited number of works has been demonstrated for graphene as a cathode in OPV: Cox et al. 43 showed small molecule bi-layer heterojunction (BLHJ) solar cells with laminated single layer graphene but a low PCE (0.02%). Jo et al. 44 reported bulk heterojunction (BHJ) devices on work function engineered multi-layer graphene films with an interfacial dipole layer and obtained a PCE of 1.23%, nevertheless, the interfacial polymer layer was not readily available. Here, with the D-HIL, we show efficient graphene cathode-based inverted OSCs using both BLHJ small molecules and BHJ conjugated polymers, and TiO$_2$ or ZnO ESL. TiO$_2$ or ZnO ESL was observed to be critical to the device functionality. In fact recently, a mist-pyrolysis CVD method 45 was used to deposit ZnO layers on graphene cathode to achieve inverted OPV cells with 1.55% PCE. However, we demonstrate a simpler and reproducible method to deposit TiO$_2$, or ZnO onto the graphene surface via the D-HIL approach. The inverted configuration was obtained with simple reversal of normal OPV structures: graphene (or ITO)/TiO$_2$ or ZnO/C$_{60}$.
However, rectifying diode characteristics were not observed from these configurations as shown in Fig. 4a unlike devices fabricated on ITO (Fig. 4b). This could be presumably caused by the improper interaction of metal oxides at the graphene interface and the resultant charge recombination due to the lack of chemical reactivity of graphene from the stable sp$^2$ hybridization of carbon atoms. Furthermore, SEM image of TiO$_x$ on graphene shows non-uniformity in the film compared to that on ITO (Supplementary Fig. S3a, b). After the graphene surface is modified by the D-HIL, as can be seen in Supplementary Fig. S3d (SEM image of TiO$_x$ on the D-HIL covered graphene surface), the cracks observed in TiO$_x$ film spun on bare graphene surface previously are absent after such polymer modification. The surface roughness comes from the roughness of D-HIL, as can be seen by Supplementary Fig. S3c, e. In fact, TiO$_x$ smoothens the D-HIL layers. Similarly, ZnO film exhibited poor wetting behavior on the pristine graphene surface and improved wetting property was obtained after modification with the D-HIL.

With proper coverage of ESL on the surface-modified graphene cathode, inverted small molecule BLHJ solar cells were fabricated with the following device structure: graphene/D-HIL/TiO$_x$ (15 nm)/C$_{60}$ (40 nm)/DBP (25 nm)/MoO$_3$ (20 nm)/Ag (100 nm). Fabrication and testing procedures are detailed in the Methods section. The resulting J–V characteristics of the inverted devices are shown in Fig. 5a compared with those of devices under normal configurations (graphene/D-HIL/DBP/C$_{60}$/BCP/Ag). The results of ITO-based devices (Fig. 5b) are shown as a reference to graphene-based devices: ITO/TiO$_x$/C$_{60}$/DBP/MoO$_3$/Ag (inverted) and ITO/PEDOT:PSS/DBP/C$_{60}$/BCP/Ag (normal). As seen from Fig. 5a, insertion of polymeric buffer layers significantly improved the device performance with a desired rectifying diode characteristic, where the performance approaches to the photoresponse of a normal cell: PCE of a representative inverted device was 1.87% with J$_{SC}$, V$_{OC}$, and FF of 3.92 mA/cm$^2$, 0.86 V, 0.56, respectively. The PCE of an inverted geometry was 67% of that with a normal structure and the V$_{OC}$ was comparable to that of normal configuration with slightly less J$_{SC}$ and FF. Similar device comparison was observed with ITO-based solar cells: the inverted one has PCE of 2.08%, and is 71% of the device with normal configuration (PCE: 2.95%). Analogous device characteristics between inverted and normal structures with ITO electrodes using small molecule as photoactive layers were also reported elsewhere. Further study on the devices with interfacial polymers only, i.e. without TiO$_x$ ESL (Supplementary Fig. S4), shows a simple resistor-like behavior, confirming that the observed diode characteristic is originated from the effective charge selection and limited charge recombination through the TiO$_x$ ESL. Using small
molecules as photoactive materials, the efficiency of the inverted graphene cathode-based solar cell in this work is already higher than devices fabricated with BHJ P3HT:PCBM (poly(3-hexylthiophene): [6,6]-phenyl-C61-butyric acid methyl ester) reported elsewhere (PCE: 1.55%)45.

As a further demonstration of the broad applicability of our proposed interface modification approach, we also fabricated graphene cathode-based solar cells using ZnO film as the ESL. We also note that the synthesis of ZnO solution is simpler than the preparation of TiOx precursor solution (see Methods section) and thus the following works are performed using ZnO ESL. The resulting J–V characteristics are shown in Fig. 6a comparing the device performances of graphene- and ITO-based inverted solar cells using small molecules as photoactive media: the PCEs were 1.20% and 1.88%, respectively. Our approach was also applicable to BHJ P3HT:PCBM conjugated polymer solar cells which generally result in more efficient devices due to the enhanced interface areas for exciton dissociation. The device structure was graphene/D-HIL/ZnO (20 nm)/P3HT:PCBM (100 nm)/MoO3 (20 nm)/Au (100 nm) with a control device of ITO/ZnO/P3HT:PCBM/MoO3/Au. The corresponding J–V measurements are shown in Fig. 6b. By using BHJ configuration, we observed improved device performances from both graphene- and ITO-based solar cells with PCEs of 2.27% and 3.12%, respectively. The photovoltaic performance parameters of the above inverted devices are summarized in Supplementary Table S2.

Furthermore, utilizing transparent ITO electrodes by replacing the opaque Ag or Au top electrodes, we successfully fabricated semi-transparent OPV devices using graphene cathode as front-window electrodes with ITO anode as back-contact electrodes. Supplementary Fig. S5a shows the result of J–V measurements from semi-transparent solar cells with BHJ P3HT:PCBM photoactive layer: the PCEs were 1.03% for graphene and 1.58% for ITO. Although the PCEs of semi-transparent devices were somewhat lower than those of opaque counterparts, most likely due to the optical losses at the ITO side, the successful demonstration of graphene-based inverted semi-transparent OSCs can open up many opportunities such as the building-integrated photovoltaics (BIPV) system. Additional results on small molecule based solar cells are shown in Supplementary Fig. S5b. The photovoltaic performance parameters of semi-transparent devices are summarized in Supplementary Table S3. Flat-band energy level diagram of the aforementioned solar cells is all shown in Supplementary Fig. S6.

Discussion
Our findings show that the reported D-HIL structure serves as a desirable charge transporting layer, enabling the integration of graphene electrodes into OPV devices, which exhibit a number of interesting features. First, we emphasize that our architecture is reproducible from run-to-run, and demonstrates good device yields (252 working devices out of 300 cells) approaching the yield of
ITO-based reference devices (92 working devices out of 100 cells). Consideration of these two factors, reproducibility and device yield, is essential to the evaluation of solar cells for 'real-world' applications. Indeed, one of the major contributions from the currently reported method is that we can obtain graphene-based device yield close to that with ITO electrodes, and we consider this a big step forward in fabrication of organic solar cells based on graphene electrodes. Moreover, the non-destructive and substrate-independent nature of our approach is conducive to the incorporation of graphene without causing any damage or delamination of the underlying layers. Another important feature regarding the proposed D-HIL configuration is that the performance of OPV solar cells utilizing graphene electrodes is within 10–15% of ITO reference devices. Notably, this performance is achieved on ‘unmodified’ and ‘undoped’ graphene electrodes consisting of only three stacked layers, suggesting the possibility for further improvements. We also note that the lower transparency, conductivity and work function of PEDOT:PEG(PC) is deposited via spin-coating in ambient condition at 5000 rpm for 60 s and heated at 80°C for 10 min. The precursor was converted to TiO\(_x\) by hydrolysis and the cooling step was 10 min. The precursor solution was diluted 10-fold in isopropyl alcohol. The precursor solution was filtered (0.2 μm), spin-coated at 5000 rpm for 60 s, and was spin-dried in ambient condition. The D-HIL layer was formed by consecutive spin-coating of PEDOT:PEG(PC) and PEDOT:PSS.

Pre-patterned ITO substrates (Thin Film Devices, 150 nm thick, 20 Ω/sq, 85%T) were cleaned by sonication in soap water (Micro-90, Cole-Parmer), DI water, acetone and isopropanol, followed by exposure to O\(_2\) plasma (100 W, Plasma Preem, Inc.) for 3 min. Patterned graphene substrates were cleaned by annealing at 450°C for 30 min under H\(_2\) (600 sccm) and Ar (400 sccm). The device area defined by the overlap between the top and bottom electrodes was 1.21 mm\(^2\).

**Sol-gel chemistry for producing TiO\(_x\) layer.** A precursor, Titanium(IV) isopropoxide (Ti(O\(_2\)CH\(_2\))\(_3\)) \(_4\) was mixed with 2-methoxyethanol (CH\(_2\)OCH\(_2\)CH\(_2\)OH) and ethanolamine (H\(_2\)NCH\(_2\)CH\(_2\)OH) in a reflux flask equipped with a condenser and a thermometer. The mixed solution was heated to 80°C for 2 hr in a silicon oil bath under magnetic stirring, followed by heating to 120°C for 1 hr. The two-step heating (80 and 120°C) was repeated for a total of 72 hr. The TiO\(_x\) precursor solution was diluted 10-fold in isopropyl alcohol. The precursor solution was spin-coated in ambient condition at 3000 rpm for 60 s and heated at 80°C for 10 min. The precursor was converted to TiO\(_2\) by hydrolysis and the cooling step was followed for 5 min.

**ZnO layer deposition.** ZnO layer was prepared by spin-coating 300 mM of Zinc acetate dihydride and ethanolamine in 2-methoxyethanol solution and annealing at 175°C for 10 min.
Device characterization. Current-voltage characteristics of the OPV devices were recorded in a nitrogen-filled glovebox using a computer-controlled Keithley 6487 picoammeter source-meter. 100 mW cm−2 illumination was provided by a 150 W xenon arc-lamp (Newport 96000) filtered by an AM 1.5 G filter. The specular transmittance spectra of polymers were measured on quartz substrates with a Cary 5000 UV-Vis-NIR dual-beam spectrophotometer (Varian). Sheet resistance was measured using a RM-AR four point probe station from Jandel Engineering LTD.

Structural characterization. The surface morphologies of graphene, PEDOT:PEG(PC), and PEDOT:PSS were characterized using a Digital Instruments Veeco Dimension 3100 atomic force microscope operated in tapping mode. Scanning electron microscopy was performed with a Helios NanoLab 600 Dual Beam at 5 kV. Preparation of complete device specimens for cross-sectional TEM was performed with a focused-ion-beam (FIB) using a Helios Nanolab 600 Dual Beam FIB Milling System. A JEOL 2010 FEG analytical electron microscope operated at 200 kV was used to obtain bright-field transmission electron microscopy (BF-TEM) images. Energy-dispersive X-ray spectroscopy (EDS) spectra were obtained from the TEM operating in dark-field scanning TEM (DF-STEM) mode.

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H.P. and J.K. designed the experiment; H.P. and S.C. carried out the experiment; M.S. did
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authors discussed the results and reviewed the manuscript.

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