MULTIPLICATION FORMULAS AND CANONICAL BASIS FOR QUANTUM AFFINE $\mathfrak{gl}_n$

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Abstract. We will give a representation-theoretic proof for the multiplication formula in the Ringel-Hall algebra $H_\Delta(n)$ of a cyclic quiver $\Delta(n)$ given in [10, Thm 4.5]. As a first application, we see immediately the existence of Hall polynomials for cyclic quivers, a fact established in [12] and [26], and derive a recursive formula to compute them. We will further use the formula and the construction of certain monomial base for $H_\Delta(n)$ given in [6], together with the double Ringel–Hall algebra realisation of the quantum loop algebra $U_v(\hat{\mathfrak{gl}}_n)$ in [4], to develop some algorithms and to compute the canonical basis for $U_v(\hat{\mathfrak{gl}}_n)^+$. As examples, we will show explicitly the part of the canonical basis associated with modules of Lowey length at most 2 for the quantum group $U_v(\hat{\mathfrak{gl}}_2)$.

1. Introduction

The investigation on quantum algebras associated with affine Hecke algebras has made significant progress recently. In the affine type $A$ case, an algebraic approach is developed in [4] for the Schur–Weyl theory associated with the quantum loop algebra of $\mathfrak{gl}_n$, affine $q$-Schur algebras and Hecke algebras of the affine symmetric groups. This approach, motivated from the algebraic approach for quantum $\mathfrak{gl}_n$, is different from the geometric approach developed in [15, 22]. Further in [10, 11], new realisations for these quantum loop algebras and their integral Lusztig type form are obtained using affine $q$-Schur algebras. This generalises the work [1] of Beilinson–Lusztig–MacPherson to this affine case. For affine types of other than $A$, Fan et al used affine $q$-Schur algebras of type $C$ to construct in [13] various types of quantum symmetric pairs. The multiplication formulas there are much more complicated, but can be used to study the modified versions of these quantum algebras and their canonical basis. In this paper, we will see how a new multiplication formula discovered in [10] is used to compute certain slices of the canonical basis for the $+^+$-part of the quantum loop algebra of $\mathfrak{gl}_n$.

The key ingredient of the approach developed in [4] is the double Ringel-Hall algebra characterisation for the Drinfeld’s quantum loop algebra of $\mathfrak{gl}_n$ [7]. In this way, the Ringel–Hall algebra of a cyclic quiver and its opposite algebra become the $\pm$-part of the quantum loop algebra of $\mathfrak{gl}_n$, and their generators associated with the semisimple modules of the cyclic quiver play the role as done by usual Chevalley generators. In particular, the quantum affine Schur–Weyl duality can be described by explicit actions of these (infinitely many) generators associated with semisimple representations and a new realisation, i.e., a new construction of the quantum loop algebra of $\mathfrak{gl}_n$, is achieved through a beautiful multiplication formula of a basis element by a semisimple generator. It should be pointed out that these multiplication formulas are derived in the affine $q$-Schur algebras with most of the computation done within the affine Hecke algebras. However, when the
formulas restrict to the ±-part, they result in multiplication formulas for (generic) Ringel–Hall algebras of a cyclic quiver. Thus, a natural questions arises: Is there a direct proof for these formulas as a quantumization of Hall numbers associated with representations of a cyclic quiver over finite fields?

In this paper, we first provide a representation-theoretic proof for the multiplication formula in the Ringel–Hall algebra (Theorem 2.1). One key idea used in the proof is the bijective correspondence between the \( m \)-dimensional subspaces of an \( n \)-dimensional space and the reduced row echelon form of \( m \times n \) matrices of rank \( m \). We then use the multiplication formula to show in general the existence of Hall polynomials for cyclic quivers (c.f. [12] and [26]). As a further applications of the formula, we derive a recursively formulas for computing Hall polynomials and compute the canonical basis for (the + part of) an quantum affine \( \mathfrak{gl}_n \). This requires a systematic construction of a certain monomial basis. Thanks to [6], we will use the theory there to derive a couple of algorithms on matrices and will then follow them to produce the required monomial basis.

Computing canonical bases is in general very difficult. Besides some lower rank cases of finite type (see, e.g., [18], §3 for types \( A_1 \) and \( A_2 \) and [30, 31] for type \( A_3, B_2 \)) and certain tight monomials for quantum affine \( \mathfrak{sl}_2 \) ([21]), there seems no explicit affine examples done in the literature. We now use the multiplication formula to compute several infinite series of the canonical basis for \( \mathbf{U}_q(\hat{\mathfrak{gl}}_2) \). To ease the difficulty, we divided the basis into the so-called “slices” labelled by the Lowey length \( \ell(M) \) and the periodicity \( p(M) \) associated with a representation \( M \) of a cyclic quiver. We explicitly compute several slices of the canonical basis associated with modules of Lowey length at most 2 for quantum affine \( \mathfrak{gl}_2 \). In a forthcoming paper, we will give further applications to the theory of quantum loop algebras of \( \mathfrak{sl}_n \) developed in [6].

The paper is roughly divided into two parts. The first part from §2 to §4 deals with the theory of integral Hall algebras associated with finite fields, including the existence of Hall polynomials (Theorem 2.2) and a recursive formula (Corollary 4.7). The rest sections focus on computation of canonical basis for the (generic and twisted) Ringel–Hall algebras and quantum affine \( \mathfrak{gl}_n \). With a selected monomial basis, we formulate Algorithm 5.5 to compute the canonical basis. Five slices of the canonical basis for quantum affine \( \mathfrak{gl}_2 \) are explicitly worked out; see Propositions 6.1 and 6.4 and Theorems 7.4 and 8.1.

Contents

1. Introduction 1
2. The integral Hall algebras of cyclic quivers and Hall polynomials 3
3. Proof of Theorem 2.1 6
4. Distinguished words and a recursive formula 10
5. Ringel–Hall algebras, quantum affine \( \mathfrak{gl}_n \) and their canonical bases 13
6. Slices of the canonical basis 16
7. Computing the slice \( \mathcal{C}([\hat{\mathfrak{gl}}_2]_{(2,1)}) \) 18
8. Computing the slice \( \mathcal{C}([\hat{\mathfrak{gl}}_2]_{(2,2)}) \) 21
Appendix A. The coefficient of \( \hat{u}_{(0,0)} \) 24
References 26

Notation. For a positive integer \( n \), let \( M_{\Delta,n}(\mathbb{Z}) \) be the set of all \( \mathbb{Z} \times \mathbb{Z} \) matrices \( A = (a_{i,j})_{i,j \in \mathbb{Z}} \) with \( a_{i,j} \in \mathbb{Z} \) such that

- (1) \( a_{i,j} = a_{i+n,j+n} \) for \( i, j \in \mathbb{Z} \), and
- (2) for every \( i \in \mathbb{Z} \), both the set \( \{ j \in \mathbb{Z} \mid a_{i,j} \neq 0 \} \) and \( \{ j \in \mathbb{Z} \mid a_{j,i} \neq 0 \} \) are finite.
Let $\Theta_\Delta(n) = M_{\Delta,n}(\mathbb{N})$ be the subset of $M_{\Delta,n}(\mathbb{Z})$ consisting of matrices with entries from $\mathbb{N}$. Let $\Theta^+_{\Delta}(n) = \{ A \in \Theta_\Delta(n) \mid a_{ij} = 0 \text{ for } i \geq j \}$ and $\Theta^-_{\Delta}(n) = \{ A \in \Theta_\Delta(n) \mid a_{ij} = 0 \text{ for } i \leq j \}$.

For $A \in \Theta_\Delta(n)$, write

$$A = A^+ + A^0 + A^-,$$

where $A^0$ is the diagonal submatrix of $A$, $A^+ \in \Theta^+_{\Delta}(n)$, and $A^- \in \Theta^-_{\Delta}(n)$.

The core of a matrix $A$ in $\Theta^+_{\Delta}(n)$ is the $n \times l$ submatrix of $A$ consisting of rows from 1 to $n$ and columns from 1 to $l$, where $l$ is the column index of the right most non-zero entry in the given $n$ rows.

Set $\mathbb{Z}^n_\Delta = \{ (\lambda_i)_{i \in \mathbb{Z}} \mid \lambda_i \in \mathbb{Z}, \lambda_i = \lambda_{i-n} \text{ for } i \in \mathbb{Z} \}$ and $\mathbb{N}^n_\Delta = \{ (\lambda_i)_{i \in \mathbb{Z}} \in \mathbb{Z}^n_\Delta \mid \lambda_i \geq 0 \text{ for } i \in \mathbb{Z} \}$. For each $A \in M_{\Delta,n}(\mathbb{Z})$, let

$$\text{row}(A) = (\sum_{j \in \mathbb{Z}} a_{i,j})_{i \in \mathbb{Z}} \in \mathbb{Z}^n_\Delta, \quad \text{col}(A) = (\sum_{i \in \mathbb{Z}} a_{i,j})_{j \in \mathbb{Z}} \in \mathbb{Z}^n_\Delta.$$

Define an order relation $\preceq$ on $\mathbb{N}^n_\Delta$ by

$$\lambda \preceq \mu \iff \lambda_i \leq \mu_i \quad (1 \leq i \leq n).$$

We say $\lambda < \mu$ if $\lambda \preceq \mu$ and $\lambda \neq \mu$.

Let $\mathbb{Q}(v)$ be the fraction field of $\mathbb{Z} := \mathbb{Z}[v, v^{-1}]$. For integers $N, t$ with $t \geq 0$ and $\mu \in \mathbb{Z}^n_\Delta$ and $\lambda \in \mathbb{N}^n_\Delta$, define Gaussian polynomial and their symmetric version in $\mathbb{Z}$:

$$\begin{bmatrix} N/n \\ t \end{bmatrix} = \frac{[N]!}{[t]! [N-t]!} = \prod_{1 \leq i \leq t} \frac{v^{2(N-i+1)-1}}{v^{2i}-1} \quad \text{and} \quad \begin{bmatrix} N/n \\ t \end{bmatrix} = v^{-t(N-t)} \begin{bmatrix} N/n \\ t \end{bmatrix},$$

where $[t]! = [1][2] \cdots [t]$ with

$$[m] = \frac{v^{2m} - 1}{v^2 - 1}.$$

For a prime power $q$, we write $\left[ \begin{bmatrix} N/n \\ t \end{bmatrix} \right]_q$ for the value of the polynomial at $v^2 = q$.

2. The integral Hall algebras of cyclic quivers and Hall polynomials

Let $\Delta = \Delta(n)$ ($n \geq 2$) be the cyclic quiver with vertex set $I := \mathbb{Z}/n\mathbb{Z} = \{ 1, 2, \ldots, n \}$ and arrow set $\{ i \to i+1 \mid i \in I \}$, and let $k\Delta$ be the path algebra of $\Delta$ over a field $k$. For a representation $M = (V_i, f_i)_{i \in \Delta}$ of $\Delta$, let $\text{dim} M = (\dim V_1, \dim V_2, \ldots, \dim V_n) \in NI = \mathbb{N}^n$ and $\dim M = \sum_{i=1}^{n} \dim V_i$ denote the dimension vector and the dimension of $M$, respectively, and let $[M]$ denote the isoclass (isomorphism class) of $M$.

A representation $M = (V_i, f_i)_{i \in \Delta}$ of $\Delta$ over $k$ (or a $k\Delta$-module) is called nilpotent if the composition $f_n \cdots f_2 f_1 : V_1 \to V_1$ is nilpotent, or equivalently, one of the $f_i \cdots f_n f_i \cdots f_1 : V_i \to V_i$ ($2 \leq i \leq n$) is nilpotent. By $\text{Rep}^0 \Delta = \text{Rep}^0 \Delta(n)$ we denote the category of finite dimensional nilpotent representations of $\Delta(n)$ over $k$. For each vertex $i \in I$, there is a one-dimensional representation $S_i$ in $\text{Rep}^0 \Delta$ satisfying $(S_i)_i = k$ and $(S_i)_j = 0$ for $j \neq i$. It is known that $\{ S_i \mid i \in I \}$ forms a complete set of simple objects in $\text{Rep}^0 \Delta$.

For $M \in \text{Rep}^0 \Delta$, we denote by $\text{rad}(M)$ the radical of $M$, i.e. the intersection of all maximal submodules of $M$, and by $\text{top}(M) = M/\text{rad}(M)$, the top of $M$.

Up to isomorphism, all non-isomorphic indecomposable representations in $\text{Rep}^0 \Delta$ are given by $S_i[l]$ ($i \in I$ and $l \geq 1$) of length $l$ with top $S_i$. Note that $S_i[l]$ can be described by vector spaces and linear maps around the cyclic quiver:

$$0 \rightarrow k \xrightarrow{0} k \xrightarrow{1} k \xrightarrow{1} \cdots \xrightarrow{1} k \xrightarrow{1} k \xrightarrow{0} 0 \cdots$$

(2.0.1)
Here the number of \( k \)'s is \( l \) and the first \( k \) is at vertex \( i \), the second at \( i+1 \), ..., the \( (n+i) \)th is again at vertex \( i = n+i \), etc.

For \( i < j \), set
\[
M^{i,j} = S_i[j-i] \text{ and } M^{i+n,j+n} = M^{i,j}.
\]

For any \( A = (a_{i,j}) \in \Theta_\Delta^+(n) \), let
\[
M(A) = M_k(A) = \bigoplus_{1 \leq i \leq n, i < j} a_{i,j} M^{i,j}.
\]

Then the set \( \{M_k(A) \mid A \in \Theta_\Delta^+(n)\} \) forms a complete set of all non-isomorphic finite dimensional nilpotent representations of \( \Delta(n) \). If \( k \) is a finite field of \( q = q_k \) elements, we write \( M_q(A) = M_k(A) \).

Every element \( \alpha = (\alpha_i)_{i \in \mathbb{Z}} \in \mathbb{N}_0^n \) defines a semisimple representation
\[
S_\alpha = \bigoplus_{i=1}^n \alpha_i S_i.
\]

A matrix \( A = (a_{i,j}) \in \Theta_\Delta^+(n) \) is called aperiodic if, for each \( l \geq 1 \), there exists \( i \in \mathbb{Z} \) such that \( a_{i,i+l} = 0 \). Otherwise, \( A \) is called periodic. A nilpotent representation \( M(A) \) is called aperiodic (resp. periodic) if \( A \) is aperiodic (resp. periodic).

Associated to a cyclic quiver, Ringel introduced an associative algebra, the Hall algebra, which can be defined at two levels: the integral level and the generic level.

For \( A, B, C \in \Theta_\Delta^+(n) \) and any prime power \( q \), let \( h_{M_q(A),M_q(B),M_q(C)} \) be the number of submodules \( N \) of \( M_q(A) \) such that \( N \cong M_q(C) \) and \( M_q(A)/N \cong M_q(B) \). More generally, given \( A, B_1, B_2, \ldots, B_m \in \Theta_\Delta^+(n) \), denote by \( h_{M_q(A),M_q(B_1),M_q(B_2),\ldots,M_q(B_m)} \) the number of filtrations
\[
M_q(A) = M_0 \supseteq M_1 \supseteq M_2 \supseteq \cdots \supseteq M_m-1 \subseteq M_m = 0,
\]
such that \( M_{t-1}/M_t \cong M_q(B_t) \) for \( 1 \leq t \leq m \).

The (integral) Hall algebra \( \mathcal{H}_\Delta^+(n,q) \) associated with \( \text{Rep}_k^0 \Delta(n) \) over a finite field \( k \) of \( q \) elements, is the free \( \mathbb{Z} \)-module spanned by basis \( \{u_{A,q} := u_{[M_q(A)]} \mid A \in \} \) with multiplication\(^1\) given by
\[
\sum_{A \in \Theta_\Delta^+(n)} \sum_{M_q(B),M_q(C)} h_{M_q(A),M_q(B),M_q(C)} u_{A,q}.
\]

By a result in [12, 20], the Hall numbers \( h_{M_q(A),M_q(B),M_q(C)} \) are polynomials in \( q \) with integral coefficients. We now provide an independent proof for the fact, building on the following multiplication formula. A generic version of this formula is given by Fu and the first author in [10], using the technique of Hecke algebras, affine \( q \)-Schur algebras, and the new realisation of the quantum loop algebra of \( \mathfrak{gl}_n \).

**Theorem 2.1.** For \( A \in \Theta_\Delta^+(n), \alpha = (\alpha_i)_{i \in \mathbb{Z}} \in \mathbb{N}_0^n \), we have the following multiplication formula in the Hall algebra \( \mathcal{H}_\Delta^+(n,q) \):
\[
\sum_{T \in \Theta_\Delta^+(n)} \sum_{\text{row}(T) = \alpha} \prod_{1 \leq i < j} (a_{ij} t_{ij} - t_{ij} t_{i+1,j}) \left[ a_{ij} + t_{ij} - t_{i-1,j} \right] \left[ a_{ij} + t_{ij} - t_{i+1,j} \right] \left[ a_{ij} + t_{ij} - t_{i-1,j} \right] u_{A+T-\tilde{T},q}.
\]

where \( \sim : \Theta_\Delta(n) \rightarrow \Theta_\Delta(n), A = (a_{i,j}) \mapsto \tilde{A} = (\tilde{a}_{i,j}) \) is the row-descending map defined by \( \tilde{a}_{i,j} = a_{i-1,j} \) for all \( i,j \in \mathbb{Z} \) and \( \tilde{T}^+ \) denotes the upper triangular submatrix of \( \tilde{T} \).

\(^1\)The multiplication is denoted by \( \circ \) in [6].
We will prove this result in the next section. We first use the formula to prove the existence of Hall polynomials.

Let $\mathcal{M}$ be the set of all isoclasses of representation in $\text{Rep}^0 \Delta(n)$. Given two objects $M, N \in \text{Rep}^0 \Delta(n)$, there exists a unique (up to isomorphism) extension $G$ of $M$ by $N$ with minimum $\dim \text{End}(G)$\cite{2, 23, 3, 5}. The extension $G$ is called the \textit{generic extension} \footnote{There exists geometrical description when the field $k$ is algebraically closed, for details, see \cite{23}.} of $M$ by $N$ and is denoted by $G = M \ast N$. If we define $[M] \ast [N] = [M \ast N]$, then it is known from \cite{23} that $\ast$ is associative and $(\mathcal{M}, \ast)$ is a monoid with identity $[0]$.

Besides the monoid structure, $\mathcal{M}$ has also a poset structure. For two nilpotent representations $M, N \in \text{Rep}^0 \Delta(n)$ with $\dim M = \dim N$, define

\begin{equation}
N \leq_\text{dg} M \iff \dim \text{Hom}(X, N) \geq \dim \text{Hom}(X, M), \text{ for all } X \in \text{Rep}^0 \Delta(n).
\end{equation}

This gives rise to a partial order on the set of isoclasses of representations in $\text{Rep}^0 \Delta(n)$, called the \textit{degeneration order}. Thus, it also induces a partial order on $\Theta^+_\Delta(n)$ by setting

\begin{equation}
A \leq_\text{dg} B \iff M(A) \leq_\text{dg} M(B).
\end{equation}

Following \cite{1} and \cite{9} we may define the order relation $\leq$ on $M_{\Delta,n}(\mathbb{Z})$ as follows. For $A \in M_{\Delta,n}(\mathbb{Z})$ and $i \neq j \in \mathbb{Z}$, let

\begin{equation}
\sigma_{i,j}(A) = \begin{cases}
\sum_{s \leq i, t \leq j} a_{s,t}, & \text{if } i < j, \\
\sum_{s \geq i, t \leq j} a_{s,t}, & \text{if } i > j.
\end{cases}
\end{equation}

For $A, B \in M_{\Delta,n}(\mathbb{Z})$, define

\begin{equation}
B \leq A \text{ if and only if } \sigma_{i,j}(B) \leq \sigma_{i,j}(A) \text{ for all } i \neq j.
\end{equation}

Set $B < A$ if $B \leq A$, and for some $(i, j)$ with $i \neq j$, $\sigma_{i,j}(B) < \sigma_{i,j}(A)$.

Note that restricting the order relation to $\Theta^+_\Delta(n)$ gives a poset $(\Theta^+_\Delta(n), \leq)$. Note also from \cite{9} Theorem 6.2 that, if $A, B \in \Theta^+_\Delta(n)$, then

\begin{equation}
B \leq_\text{dg} A \iff B \preceq A \text{ and } \dim M(A) = \dim M(B).
\end{equation}

Thus, $(\Theta^+_\Delta(n), \leq_{\text{dg}})$ is also a poset.

An element $\lambda \in N^n_{\Delta}$ is called \textit{sincere} if $\lambda_i > 0$ for all $i \in I$. Let

\begin{equation}
I^\text{sin} = \{ \text{all sincere vectors in } N^n_{\Delta} \} \quad \text{and} \quad \tilde{I} = I \cup I^\text{sin}.
\end{equation}

For $X \in \{ I, I^\text{sin}, \tilde{I} \}$, Let $\Sigma_X$ be the set of words on the alphabet $X$ and let $\tilde{\Sigma} = \Sigma_{\tilde{I}}$.

For each $w = a_1 a_2 \cdots a_m \in \tilde{\Sigma}$, we set $M(w) = S_{a_1} \ast S_{a_2} \cdots \ast S_{a_m}$. Then there is a unique $A \in \Theta^+_\Delta(n)$ such that $M(w) \cong M(A)$, and we set $\varphi(w) = A$, which induces a surjective map $\varphi : \tilde{\Sigma} \to \Theta^+_\Delta(n)$, $w \mapsto \varphi(w)$. Note that $\varphi$ induces a surjective map $\varphi : \Sigma \to \Theta^+_\Delta(n)$.

For $a \in \tilde{I}$, set $u_a = u_{[a]}$. For any $w = a_1 a_2 \cdots a_m \in \tilde{\Sigma}$ and $A \in \Theta^+_\Delta(n)$, repeatedly applying Theorem \cite{2} shows that there exists a polynomial $\varphi^A_w(q) \in \mathbb{Z}[q_k]$ such that $\varphi^A_w(q) = h_{M_1 \ast M_2 \ast \cdots \ast M_m}^M$, with $M_i \cong S_{a_i}$ and $M \cong M_k(A)$.

Any word $w = a_1 a_2 \cdots a_m \in \tilde{\Sigma}$ can be uniquely expressed in the \textit{tight form} $w = b_1^{e_1} b_2^{e_2} \cdots b_t^{e_t}$ where $e_i = 1$ if $b_i$ is sincere, and $e_i$ is the number of consecutive occurrence of $b_i$, if $b_i \in \tilde{I}$. By \cite{6} Lem. 5.1 (see also the proof of \cite{3} Prop. 9.1]), $\varphi^A_w$ is divisible by $\prod_{i=1}^{t} [e_i]!$ for every $A \leq \varphi(w)$. Thus, there exists $\gamma^A_w \in \mathbb{Z}[q]$ such that

\begin{equation}
\varphi^A_w = \prod_{i=1}^{t} [e_i]! \gamma^A_w \in \mathbb{Z}[q].
\end{equation}
Note that the polynomials $\gamma_w^A$ are also Hall polynomials. In fact, for a finite field $k$, we have $\gamma_w^A(q_k) = h_{M_1,N_2,\ldots,N_m}^{A}$ with $N_i \equiv e_i S_{b_i}$ and $M \cong M_k(A)$. A word $w$ is called distinguished if the Hall polynomial $\gamma_w^{(w)}(q) = 1$.

As a first application, we now use the multiplication formula to prove the existence of Hall polynomials. This result was first given in [12], [20, 8.1].

Theorem 2.2. The Hall numbers $h_{M_q(A)}^{M_q(B),M_q(C)}$ associated with $A, B, C \subseteq \Theta_\triangle^+(n)$ and any prime power $q$ are polynomials in $q$. In other words, there exist $\varphi_{B,C}^A \in \mathbb{Z}[q]$ such that $\varphi_{B,C}^A(q) = h_{M_q(A)}^{M_q(B),M_q(C)}$ for all such $q$.

Proof. For $w = b_1 b_2 \cdots b_t \in \tilde{S}$, if we write in $\tilde{S}^\triangle \big(n, q\big)$

$$u_{w,q} = u_{b_1,q} \diamond \cdots \diamond u_{b_t,q} = \sum_{B' \leq \varphi(w)} h_{w}^{B'} u_{B',q},$$

Then, by Theorem 2.1 there exist polynomials $\varphi_{w}^{B'}$ such that $\varphi_{w}^{B'}(q_k) = h_{w}^{B'}$. Assume now $w$ is distinguished (see [6, Th. 6.2]) such that $B = \varphi(w)$, $M = M(B)$, $L = M(A)$ and $N = M(C)$. Then $\varphi_{w}^{B} = \prod_{i=1}^r [e_i]$ and $\varphi_{w}^{B'}/\varphi_{w}^{B} = \gamma_w^{B'}$ are all polynomials.

Now, by Theorem 2.1 again, the Hall numbers in $u_{w} \diamond u_{C} = \sum_{A' \leq B + C} \varphi_{w,C}^{A} u_{A}$ are the values of certain polynomials $\varphi_{w,C}^{A}$ at $q_k$. On the other hand,

$$u_{w} \diamond u_{C} = \sum_{B' \leq B} \varphi_{w}^{B'}(u_{B'} \diamond u_{C})$$

$$= h_{w}^{B} u_{B} \diamond u_{C} + \sum_{B' < B} \varphi_{w}^{B'}(u_{B'} \diamond u_{C})$$

$$= h_{w}^{B} u_{B} \diamond u_{C} + \sum_{A < B' + C} \left( \sum_{B' < B} \varphi_{w}^{B'} h_{w}^{A} h_{B',C} \right) u_{A}.$$

By equating coefficients, we see that all polynomials $\varphi_{w,C}^{A}$ is divisible by $\varphi_{w}^{B}$. Thus, we have

$$h_{w}^{B} u_{B} \diamond u_{C} = \sum_{A \leq B + C} \varphi_{w,C}^{A} u_{A} - \sum_{A < B' + C} \left( \sum_{B' < B} \varphi_{w}^{B'} h_{w}^{A} h_{B',C} \right) u_{A}$$

Now the assertion follows from induction on $\leq$.

In §4, we will give algorithms to compute distinguished words $w_A$ associated with each $A \subseteq \Theta_\triangle^+(n)$ and to derive a recursive formula for Hall polynomials.

3. Proof of Theorem 2.1

Recall that a matrix over a field in row-echelon form is said to be in reduced row-echelon form (RREF) if every leading column has 1 at the leading entry and 0 elsewhere.

Lemma 3.1. Let $R_{m,n} \subseteq M_{m,n}($ $\mathbb{F}_q)$ be the subset consisting of all $m \times n$ matrices in reduced row-echelon form and of rank $m$. Then

$$|R_{m,n}| = \begin{bmatrix} n \\ m \end{bmatrix}_{v^2 = q}.$$

Proof. Let $V_{m,n}$ be the set of all dimension $m$ subspaces of $\mathbb{F}_q^n$. Then, for $T \in R_{m,n}$, the rows of $T$ spans a subspace $V_T$ of dimension $m$. Thus, we have a map

$$f : R_{m,n} \rightarrow V_{m,n}, T \mapsto V_T.$$
Clearly, $f$ is surjective. It is not hard to see that $f$ is also injective. Now, the assertion follows from the bijection.

**Proposition 3.2.** For $i \in I$, $a_i, d_i, m \in \mathbb{Z}$ with $a_i \geq d_i \geq 0$, $m \geq 1$, $t = 1, 2, \ldots, m$, and representations

\[
L = a_1 S_i \oplus a_2 S_i[2] \oplus \cdots \oplus a_m S_i[m], \quad M = (d_1 + \cdots + d_m) S_i, \quad N = (a_1 - d_i) S_i \oplus ((a_2 - d_2) S_i[2] \oplus d_2 S_{i+1}) \oplus \cdots \oplus ((a_m - d_m) S_i[m] \oplus d_m S_{i+1}[m-1]),
\]

in $\text{Rep}_k^0(\Delta)$, the Hall number $b_{M,N}^L$ is a polynomial in $q = q_k$:

\[
b_{M,N}^L = \sum_{1 \leq k \leq m} d_k (a_k - d_k) \left[ \begin{array}{c} a_1 \\ d_1 \\ a_2 \\ d_2 \\ \vdots \\ a_m \\ d_m \end{array} \right]_q.
\]

**Proof.** Without loss, we may assume $i = 1$. Represent the modules $L, N$ by vector spaces and linear maps around the cyclic quiver as follows (cf. (2.0.1)):

\[
L : k^{a_1 + a_2 + \cdots + a_m} \rightarrow k^{a_2 + a_3 + \cdots + a_m} \rightarrow k^{a_3 + \cdots + a_m} \rightarrow \cdots \rightarrow k^{a_m} = \text{Ker}^L,
\]

\[
N : k^{a_1 - d_1 + a_2 - d_2 + \cdots + a_m - d_m} \rightarrow k^{a_2 + a_3 + \cdots + a_m} \rightarrow k^{a_3 + \cdots + a_m} \rightarrow \cdots \rightarrow k^{a_m} = \text{Ker}^N.
\]

Here $p_i$ is the projection map defined by the matrix $[0_{a_i}, I_{2^i+1}]$, where

\[
\tilde{a}_i := a_i + \cdots + a_m
\]

and $0_{a_i}$ is the $\tilde{a}_{i+1} \times a_i$ zero matrix, while $f$ is the restriction of $p_1$. Thus, $f$ projects the component $k^{a_1 - d_1}$ to 0 and imbeds the component $k^{a_i - d_i}$ for $i \geq 2$ into the component $k^{a_i}$ via the $a_i \times (d_i - a_i)$ matrix $J_i = \left( I_{d_i-a_i} \right)$. In other words, $f$ is defined by the $\tilde{a}_2 \times (\tilde{a}_1 - \tilde{a}_1)$ matrix $A$ with blocks $J_1, J_2, \ldots, J_m$ on the diagonal, where $J_1$ is the $a_1 \times (d_1 - a_1)$ zero matrix.

Let $U \leq L$ be a submodule such that $U \cong N, L/U \cong M$. Then $U = \text{Ker}(g)$ for some module epimorphism $g : L \rightarrow M$. Thus, the short exact sequence $0 \rightarrow U \rightarrow L \rightarrow M \rightarrow 0$ gives the following commutative diagram:

\[
\begin{array}{cccccccc}
U & \xrightarrow{g} & \text{Ker}^L & \xrightarrow{p_1} & k^{a_2 + a_3 + \cdots + a_m} & \xrightarrow{p_2} & k^{a_3 + \cdots + a_m} & \xrightarrow{p_3} & \cdots \xrightarrow{p_{m-2}} & k^{a_{m-1} + a_m} & \xrightarrow{p_{m-1}} & k^{a_m} \\
\downarrow & & \downarrow & & \downarrow & & \downarrow & & \downarrow & & \downarrow & & \downarrow \\
L & \xrightarrow{g} & k^{a_1 + a_2 + \cdots + a_m} & \xrightarrow{id} & k^{a_2 + a_3 + \cdots + a_m} & \xrightarrow{id} & k^{a_3 + \cdots + a_m} & \xrightarrow{id} & \cdots & \xrightarrow{id} & k^{a_{m-1} + a_m} & \xrightarrow{id} & k^{a_m} \\
\downarrow & & \downarrow & & \downarrow & & \downarrow & & \downarrow & & \downarrow & & \downarrow \\
M & \xrightarrow{g} & 0 & \xrightarrow{0} & 0 & \xrightarrow{0} & \cdots & \xrightarrow{0} & 0 & \xrightarrow{0} & 0 \\
\downarrow & & \downarrow & & \downarrow & & \downarrow & & \downarrow & & \downarrow & & \downarrow \\
0 & & 0 & & 0 & & 0 & & 0 & & 0
\end{array}
\]

Since $g$ is surjective, it is easy to see $\text{Ker}^L \cong k^{a_1 - d_1 + \cdots + a_m - d_m}$ as vector spaces. Represent the linear map $g_1 : k^{a_1 + \cdots + a_m} \rightarrow k^{d_1 + \cdots + d_m}$ by a $\tilde{d}_1 \times \tilde{a}_1$ matrix $T_U$ in reduced row-echelon form. Since $g_1$ is onto, $T_U$ is an upper triangular matrix with $\tilde{d}_1$ leading columns and $\ell = \tilde{a}_1 - \tilde{d}_1$ non-leading columns, corresponding to $\ell$ free variables $x_{i_1}, x_{i_2}, \ldots, x_{i_\ell}$. Let $v_j$ be the solution to $T_U x = 0$ obtained by setting $x_{i_j} = 1$ and other free variables to 0. Then, $\text{Ker} g_1$ has a basis $v_1, v_2, \ldots, v_\ell$.
Since $U \cong N$, there exist linear isomorphism $\phi = (\phi_1, \phi_2, \ldots, \phi_m)$ making the following diagram commutes

$$
\begin{array}{cccccc}
U & \xrightarrow{\phi} & \text{Ker } g_1 & \xrightarrow{p_1} & k_{a_2 + a_3 + \cdots + a_m} & \xrightarrow{p_2} & k_{a_3 + \cdots + a_m} & \xrightarrow{p_3} & \cdots & \xrightarrow{p_{m-2}} & k_{a_{m-1} + a_m} & \xrightarrow{p_{m-1}} & k_{a_m} \\
\cong & & \phi_1 & & \phi_2 & & \phi_3 & & \cdots & & \phi_{m-1} & & \phi_m \\
N & f & k_{a_1 - d_1 + a_2 - d_2 + \cdots + a_m - d_m} & p_2 & k_{a_2 + a_3 + \cdots + a_m} & p_3 & \cdots & p_{m-2} & k_{a_{m-1} + a_m} & p_{m-1} & k_{a_m} \\
\end{array}
$$

Hence, the images of $p_1 \ldots p_2 p_1$ in the top row maps must have the same dimension as that of the map $p_1 \ldots p_2 f$ below. Since the dimension of $\text{Im}(f)$ is $\tilde{a}_2 - \tilde{a}_2$, $p_1$ must send $v_{a_1}, \ldots, v_{a_1 - d_1}$ to 0. This forces the first $a_1$ columns contains $d_1$ leading columns. Similarly, $\dim \text{Im}(p_2 p_1) = \dim \text{Im}(p_2 f)$ forces the next $a_2$ columns in $T_U$ contains $d_2$ leading columns, and so on. This proves that, if $T_U$ is divided in $d_i \times a_i$ blocks, then $T_U$ is upper triangular with $m$ $(d_i \times a_i)$-blocks on the diagonal each of which has rank $d_i$.

Let $T$ be the subset of all $T \in M_{d_1,d_1}(\mathbb{F}_q)$ such that $T$ is in RREF and $T$ has $m$ $(d_i \times a_i)$-blocks $B_i$ on the diagonal each of which has rank $d_i$. The argument above shows that the map $U \mapsto T_U$ is a bijection from the set $\{U \subseteq L \mid U \cong N, L/U \cong M\}$ to $T$. Hence, $b_{L,N}^M = \lvert T \rvert$.

Now, to form such a matrix $T$, by Lemma 3.3, the number of the $(d_1 \times a_1)$-block $B_1$ is $\frac{a_1}{d_1}$ and the number of other $(d_i \times a_i)$-blocks for $i \geq 2$ in the first $d_1$ rows is $q^{d_1(a_2-a_2-a_3-a_3+\cdots+a_m-a_m)}$. Counting the number of the blocks in the next $d_2$ rows, $d_3$ rows, ..., similarly, yields

$$\lvert T \rvert = q^{d_1(a_2-a_2-a_3-a_3+\cdots+a_m-a_m)} \frac{a_1}{d_1} \times q^{d_2(a_3-a_3-a_4-a_4+\cdots+a_m-a_m)} \frac{a_2}{d_2} \times \cdots \times q^{d_m(a_m-a_m-a_m)} \frac{a_m}{d_m},$$

as desired.

**Remark 3.3.** A dual version of the above result, where the roles $M$ and $N$ are swapped, is known in [28 §2.2] and have been used in [14 Lem. 2.3.5]. Unlike the representation-theoretic proof above, the proof in loc. cit. involves the geometry of the Grassmanian variety.

**Lemma 3.4.** For nilpotent representations $L, M, N$ of $\Delta(n)$, if $N \leq L$ and $L/N \cong M$ is semisimple, then there exists submodules $L_i \leq L$, $N_i \leq N$ and $M_i \leq M$ such that $L = \bigoplus_{i=1}^n L_i$, $N = \bigoplus_{i=1}^n N_i$, $M = \bigoplus_{i=1}^n M_i$ and

$$b_{L,N}^M = \prod_{i=1}^n b_{L_i,N_i}^{M_i}.$$

**Proof.** Let $\text{top}(L_i)$ denote the isotypic component of $\text{top}(L)$ associated with $S_i$. Then $L = \bigoplus_{i=1}^n L_i$ where $\text{top}(L_i) = \text{top}(M_i)$. Thus, if $M_i$ denotes the isotypic component of $M$ associated with $S_i$ and $\pi : L \to M$ denotes the quotient map, then restriction defines an epimorphism $\pi_i = \pi|_{L_i} : L_i \to M_i$. Let $N_i = \pi_i^{-1}(M_i)$. Then $N_i = L_i \cap N$ and $N = \bigoplus_{i=1}^n N_i$. Now, our assertion follows from the following bijection

$$\prod_{i=1}^n \{U_i \leq L_i \mid U_i \cong N_i, L_i/U_i \cong M_i\} \to \{U \leq L \mid U \cong N, L/U \cong M\},$$

$$(U_1, \ldots, U_n) \mapsto U_1 + \cdots + U_n,$$

noting $U = (U \cap L_1) + \cdots + (U \cap L_n)$.

We are now ready to give a representation-theoretic proof for the multiplication formula in [10 Th. 4.5]. As mentioned in the introduction, this formula is the restriction to the positive part of certain multiplication formulas for the quantum loop algebra of $\mathfrak{gl}_n$ [10 Prop. 4.2], which is obtained from lifting some multiplication formulas in the affine $q$-Schur algebras associated
with the affine Hecke algebra. See [14, Prop. 2.3.6] for a geometric proof building on the Hall polynomials computed in [28, §2.2].

Proof of Theorem 2.1. We first claim that, if \( L \) is an extension of the semisimple representation \( S_\alpha \) by \( N = M(A) \), then \( L \cong M(A + T - \tilde{T}^+) \) for some \( T \in \Theta^+_n(n) \) with \( \alpha = \text{row}(T) \). Indeed, suppose \( L \cong M(C) \) for some \( C = (c_{ij}) \) and decompose \( L = \bigoplus_{i=1}^n L_i \) as in Lemma 3.4. If \( U \leq L \) is a submodule isomorphic to \( N \), then there exist \( t_{ij} \in \mathbb{N} \) such that \( U = U \cap L_i \cong \bigoplus_{i<j} (c_{ij} - t_{ij})S_i[j - i] \oplus t_{ij}S_{i+1}[j - i - 1] \), where \( \sum_{i<j} t_{ij} = \alpha_i \). Thus, \( U \cong N \) becomes

\[
\bigoplus_{i=1}^n \bigoplus_{i<j} (c_{ij} - t_{ij})S_i[j - i] \oplus t_{ij}S_{i+1}[j - i - 1] \cong \bigoplus_{i=1}^n \bigoplus_{i<j} a_{ij}S_i[j - i].
\]

By the Krull–Remak–Schmidt theorem, we have

\[
c_{ij} - t_{ij} + t_{i-1,j} = a_{ij} \quad \text{for all } i < j \text{ with } i = 1, 2, \ldots, n. \tag{3.4.1}
\]

Hence, if we form the upper triangular matrix \( T = (t_{ij}) \in \Theta^+_n(n) \) then \( C = A + T - \tilde{T}^+ \), proving the claim.

For \( C = A + T - \tilde{T}^+ \), by Lemma 3.4 we have

\[
\mathcal{S}_{S_n,A}^C = \prod_{i=1}^n \mathcal{S}_{M_i,N_i}^{L_i}, \tag{3.4.2}
\]

where \( L_i \cong \bigoplus_{j>i} (a_{ij} + t_{ij} - t_{i-1,j})S_i[j - i] \), \( M_i \cong \bigoplus_{j>i} t_{ij}S_i \) and \( N_i \cong (a_{ij} - t_{i-1,j})S_i[j - i] \oplus t_{ij}S_{i+1}[j - i - 1] \). Applying Proposition 3.2 with \( a_{ij} = a_{i,i+l} - t_{i,i+l} - t_{i-1,i+l}, d_l = t_{i,i+l} \) yields

\[
\mathcal{S}_{M_i,N_i}^{L_i} = q \sum_{i < j \leq l} t_{ij} (a_{ij} - t_{i-1,j}) \prod_{j \in \mathbb{Z}, i < j} \left[ a_{ij} + t_{ij} - t_{i-1,j} \right]_q \quad (q = q_k). \tag{3.4.3}
\]

Finally, it remains to prove

\[
\sum_{i=1}^n \sum_{1 \leq i < j \leq n} t_{il}(a_{ij} - t_{i-1,j}) = \sum_{i=1}^n \sum_{1 \leq i < j \leq n} (a_{ij}t_{il} - t_{ij}t_{i+1,l}), \tag{3.4.4}
\]

or, equivalently, to prove

\[
\sum_{1 \leq i < j \leq n} t_{il}t_{i-1,j} = \sum_{1 \leq i < j \leq n} t_{ij}t_{i+1,l}.
\]

This follows from the fact that the sets \( J_1 = \{ t_{il}t_{i-1,j} \neq 0 \mid 1 \leq i \leq n, i < l < j \} \) and \( J_2 = \{ t_{ij}t_{i+1,l} \neq 0 \mid 1 \leq i \leq n, i < l < j \} \) are identical. To see this, take \( t_{il}t_{i-1,j} \in J_1 \) where \( i < l < j \).

If \( 2 \leq i \leq n \), then \( t_{i, i+j}t_{i-1,j} = j \in J_2 \). If \( i = 1 \), then \( t_{l,0} = t_{n,n+j}t_{n+1,l} \in J_2 \). Hence, \( J_1 \subseteq J_2 \).

Similarly, \( J_2 \subseteq J_1 \) and so \( J_1 = J_2 \).

Corollary 3.5. (1) By the extension of modules, we have

\[
t_{ij} \in [0, \min\{\alpha_i, a_{i+1,j}\}], \quad \text{if } |j - i| > 1;
\]

\[
[0, \alpha_i], \quad \text{if } |j - i| = 1;
\]

and for any \( i = 1, 2, \ldots, n \), \( \sum_{j>i} t_{ij} = \alpha_i \).

(2) The power of \( q \), \( \sum_{1 \leq i < j \leq n} (a_{ij}t_{il} - t_{ij}t_{i+1,l}) \), is non-negative.

Proof. Since \( c_{ij} \geq t_{ij} \), it follows from (3.4.1) that \( a_{ij} \geq t_{i-1,j} \), proving (1). (2) follows form (3.4.4).
4. Distinguished words and a recursive formula

For $A \in \Theta^+_\Delta(n)$, denote by $\ell(A) = \ell(M(A))$ the Loewy length of $M(A)$ and define the periodicity of $M(A)$ by

$$p(A) = \begin{cases} \max \{l \in \mathbb{N} \mid a_{i,i+l} \neq 0 \text{ for all } 1 \leq i \leq n\}, & \text{if } A \text{ is periodic} \\ 0, & \text{if } A \text{ is aperiodic.} \end{cases}$$

Clearly, $0 \leq p(A) \leq \ell(A)$. Thus, $p(A) = 0$ means that $A$ is aperiodic. If $p(A) = \ell(A)$, $A$ is called strongly periodic.

We now record several results in [6] stated in multisegments in terms of matrices. Note that if $\Pi$ is the set of all multisegments, then there is a bijection

$$\Pi \rightarrow \Theta^+_\Delta(n), \pi = \sum_{i \in I, l \geq 1} \pi_{i,l}[i;l] \mapsto A_\pi = (a_{i,i+l})_{i \in I, l \geq 1} \text{ with } a_{i,i+l} = \pi_{i,l}.$$

**Proposition 4.1** ([6, §4]). (1) For any $A \in \Theta^+_\Delta(n)$, there exists uniquely a pair $(A', A'')$ associated with $A$ such that $A'$ is strongly periodic, $A''$ is aperiodic, and $M(A) \cong M(A'') \ast M(A')$.

(2) For aperiodic part $A'$, there exists a distinguished word $w_{A'} = j_1^{e_1}j_2^{e_2} \cdots j_t^{e_t} \in \Sigma_1 \cap \varphi^{-1}(A')$.

(3) For strongly periodic part $A'$, there exists a distinguished word $w_{A'} = a_1a_2 \cdots a_p \in \Sigma_{1,n} \cap \varphi^{-1}(A')$, moreover, $S_{a_1} \cong \text{soc}r^{-1}M(A')/\text{soc}r^{-s}M(A'), 1 \leq s \leq p = p(A)$.

(4) $w_{A'}w_{A'} = j_1^{e_1}j_2^{e_2} \cdots j_t^{e_t}a_1a_2 \cdots a_p$ is a distinguished word of $A$.

A construction of distinguished words of the strongly periodic part and aperiodic part has been given in [6]. Building on this, we now introduce some matrix algorithms to compute certain distinguished words in order to provide a monomial basis for computing the canonical basis.

If we take $A = (a_{i,j})$, then $M(A) = \bigoplus_{i=1}^n \oplus_{j>i} S_i[j-i]$, and $\text{soc}(S_i[j-i]) = S_{j-1}, \text{soc}^2(S_i[j-i]) = S_{j-2}[2], \ldots$, $\text{soc}^t(S_i[j-i]) = S_{j-t}[t]$. Here we understand $j - 1 \equiv j'(\text{mod} n)$ and if $l \geq j - i$, $\text{soc}^t(S_i[j-i]) = S_{j-t}[i]$. We review the construction of producing the unique pair $(A', A'')$ in Proposition 4.1.1. For $A \in \Theta^+_\Delta(n)$ with $p = p(A)$, then $\text{soc}^p(M(A)) = M(A')$ and $M(A') \cong M(A)/M(A')$.

**Definition 4.2.** For $A \in \Theta^+_\Delta(n)$ with $p = p(A)$, define the distinguished pair $(A', A'')$ as follows.

(1) The matrix $A' = (a'_{i,j})$, called the **strongly periodic part** of $A$, is obtained by setting

$$a'_{i,j} = \begin{cases} a_{i,j}, & \text{if } j < i + p, \\ \sum_{i_0 < i} a_{i_0,j}, & \text{if } j = i + p. \end{cases}$$

In other words, $A'$ is the matrix obtained by replacing the "$p^{\text{th}}$-diagonal" $(a_{i,i+p})_{i \in \mathbb{Z}}$ by $\text{col}(B)$, where $B$ is the matrix obtained from $A$ by vanishing all the entries below the $p^{\text{th}}$-diagonal.

(2) The matrix $A'' = (a''_{i,j})$, called the **aperiodic part**, is obtained by setting

$$a''_{i,j} = a_{i,j+p}.$$

First, based on the structure of $\text{soc}^t M(A)$ for strongly aperiodic $A \in \Theta^+_\Delta(n)$, $t \in \mathbb{N}$, we give a matrix algorithm of [6, Lemma 4.2] as follows.

**Algorithm 4.3** (for the strongly periodic part). Suppose $A'$ is strongly periodic. Then $p = p(A') = \ell(A')$ and the algorithm runs $p$ steps:

- put $B = (b_{i,j}) := A'$
- for $j$ from 1 to $p$ do
Every $a_i$ is sincere and is uniquely determined by $A$. For $\lambda = (\lambda_i)_{i \in \mathbb{Z}} \in N_\Delta^p$, set $\lambda'^{[1]} = (\lambda_i'^{[1]})_{i \in \mathbb{Z}}$, where $\lambda_i'^{[1]} = \lambda_{i-1}$ for all $i \in \mathbb{Z}$. It is easy to prove that there is one to one correspondence between strongly periodic matrix $A$ with $\ell(A) = p$ and a sincere sequence $a_1 a_2 \cdots a_p$ with $a_i'^{[1]} \leq a_{i+1}$, for $1 \leq i \leq p - 1$.

Second, for $B = \langle b_{i,j} \rangle \in \Theta_\Delta^{op}(n)$ and $i \in I$, we set $M(B) = \oplus_{i \in I} M_i(B)$ and $M_i(B) = \oplus_{j > i} b_{i,j} S_i[j - i]$. We take the maximal index in every step in [6, Prop. 4.3], then we give the following matrix algorithm.

**Algorithm 4.5** (Aperiodic part). Suppose $A''$ is aperiodic with $l = \ell(A'')$, consider

```
put $B = \langle b_{i,j} \rangle := A''$; for $i$ from 1 to $l$, do
if the $(l-i+1)$th diagonal $b_{1,1+l-i+1}, b_{2,2+l-i+1}, \ldots, b_{n,n+l-i+1}$ is nonzero, choose the right most $b_{j,j+l-i+1} \neq 0$ such that $b_{j+1,j+l-i+1} \neq 0$; choose the minimal $j' \leq l - i + 1$ such that $b_{j,j+j'} \neq 0$ and $j' > \ell(M_{j+1}(B))$; do

\[ T := \sum_{k=j'}^{l-i+1} b_{j,k} E_{j,k}, \quad B := B - T + \tilde{T}^+; \]

next $i$; enddo;
```

output $w_{A''} = x_{1,j_1} \cdots x_{1,j_a} \cdots x_{l,k_1} \cdots x_{l,k_b}$

The two algorithms give a a distinguished section

\[ \mathcal{W}(n) = \{ w_A = w_{A''} w_{A'} \in \varphi^{-1}(A) \cap \mathcal{S} \mid A \in \Theta_\Delta^+(n) \}. \quad (4.5.1) \]

When restricting to $\Theta_\Delta^{op}(n)$, we obtain a distinguished section of $\mathcal{S}$ over $\Theta_\Delta^{op}(n)$.

We explain the algorithms by the following example. Recall that every matrix in $\Theta_\Delta^+(n)$ is identified as its core. Sometimes, we indicate the diagonal with boldface entries for clarity.

**Example 4.6.** Suppose $n = 3$ and $A = \begin{pmatrix} 0 & 1 & 1 & 0 & 3 & 1 & 2 & 1 & 3 \\ 0 & 0 & 0 & 2 & 3 & 1 & 0 & 1 & 1 \\ 0 & 0 & 0 & 3 & 0 & 1 & 1 & 1 & 0 \end{pmatrix}$, then $p(A) = 4, \ell(A) = 8$

and

\[ A' = \begin{pmatrix} 0 & 1 & 1 & 0 & 6 & 0 & 0 \\ 0 & 0 & 0 & 2 & 3 & 6 & 0 \\ 0 & 0 & 0 & 3 & 0 & 1 & 3 \end{pmatrix}, \quad A'' = \begin{pmatrix} 0 & 1 & 2 & 1 & 3 \\ 0 & 0 & 0 & 1 & 1 \\ 0 & 0 & 0 & 1 & 0 \end{pmatrix}, \]

with $\ell(A') = \ell(A'') = 4$.

Apply Algorithm 4.3 to $A'$ gives

\[ i = 1: \quad T = \begin{pmatrix} 0 & 0 & 0 & 0 & 6 & 0 & 0 \\ 0 & 0 & 0 & 0 & 6 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 3 \end{pmatrix}, \quad B = \begin{pmatrix} 0 & 1 & 1 & 3 & 0 & 0 \\ 0 & 0 & 0 & 2 & 9 & 0 \\ 0 & 0 & 0 & 3 & 0 & 7 \end{pmatrix}, \quad a_1 = (6, 6, 3). \]

\[ i = 2: \quad T = \begin{pmatrix} 0 & 0 & 0 & 3 & 0 & 0 \\ 0 & 0 & 0 & 0 & 9 & 0 \\ 0 & 0 & 0 & 0 & 0 & 7 \end{pmatrix}, \quad B = \begin{pmatrix} 0 & 1 & 8 & 0 & 0 \\ 0 & 0 & 0 & 5 & 0 \\ 0 & 0 & 0 & 3 & 9 \end{pmatrix}, \quad a_2 = (3, 9, 7). \]

\[ i = 3: \quad T = \begin{pmatrix} 0 & 0 & 8 & 0 & 0 \\ 0 & 0 & 0 & 5 & 0 \\ 0 & 0 & 0 & 0 & 9 \end{pmatrix}, \quad B = \begin{pmatrix} 0 & 10 & 0 & 0 \\ 0 & 0 & 8 & 0 \\ 0 & 0 & 0 & 8 \end{pmatrix}, \quad a_3 = (8, 5, 9). \]
\[ i = 4 : T = \begin{pmatrix} 0 & 10 & 0 & 0 \\ 0 & 0 & 8 & 0 \\ 0 & 0 & 0 & 8 \end{pmatrix}, \quad B = 0, \quad a_4 = (10, 8, 8). \]

The algorithm stops with the output \( w_{A'} = a_1a_2a_3a_4. \)

Applying Algorithm 4.5 to \( A'' \) gives

\[ i = 1 : \quad T = \begin{pmatrix} 0 & 0 & 0 & 0 & 3 \\ 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 \end{pmatrix}, \quad B = \begin{pmatrix} 0 & 1 & 2 & 1 & 0 \\ 0 & 0 & 0 & 1 & 4 \\ 0 & 0 & 0 & 1 & 0 \end{pmatrix}, \quad x_{1,1} = 1^3. \]

\[ i = 2 : \quad T = \begin{pmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 4 \\ 0 & 0 & 0 & 0 \end{pmatrix}, \quad B = \begin{pmatrix} 0 & 1 & 2 & 1 & 0 \\ 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 2 & 4 \end{pmatrix}, \quad x_{2,2} = 2^5. \]

\[ T = \begin{pmatrix} 0 & 1 & 2 & 1 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix}, \quad A = \begin{pmatrix} 0 & 0 & 0 & 0 \\ 0 & 2 & 1 & 0 \\ 0 & 0 & 2 & 4 \end{pmatrix}, \quad x_{2,1} = 1^4. \]

\[ i = 3 : \quad T = \begin{pmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 2 & 4 \end{pmatrix}, \quad B = \begin{pmatrix} 0 & 4 & 0 & 0 & 0 \\ 0 & 0 & 2 & 1 & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix}, \quad x_{3,3} = 3^6. \]

\[ T = \begin{pmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 2 & 1 \end{pmatrix}, \quad B = \begin{pmatrix} 0 & 4 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}, \quad x_{3,2} = 2^3. \]

\[ i = 4 : \quad T = \begin{pmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 1 \end{pmatrix}, \quad B = \begin{pmatrix} 0 & 4 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}, \quad x_{4,3} = 3^1. \]

\[ T = \begin{pmatrix} 0 & 4 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}, \quad B = \begin{pmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}, \quad x_{4,1} = 1^4. \]

The algorithm has output \( w_{A'} = x_{1,1}x_{2,2}x_{2,1}x_{3,3}x_{3,2}x_{4,3}x_{4,1}. \) Thus, it produces the following distinguished word associated to \( A \)

\[ w_A = w_{A''}w_{A'} = x_{1,1}x_{2,2}x_{2,1}x_{3,3}x_{3,2}x_{4,3}x_{4,1}a_1a_2a_3a_4. \]

For a fixed \( A \in \Theta^\uparrow(n), \) let

\[ \Theta_A = (0, A) := \{ B \in \Theta^\uparrow(n) \mid B \leq_{dg} A \} \quad \text{and} \quad \Theta_{<A} = \{ B \in \Theta_A \mid B < A \}. \] (4.6.1)

The proof of Theorem 2.2 shows that every \( \varphi^A_{w_{B,C}} \) is divisible by \( \varphi^B_{w_{B'}}. \) Let \( \gamma^A_{w_{B,C}} = \varphi^A_{w_{B,C}}/\varphi^B_{w_{B'}}. \)

The following result shows that the Hall polynomials \( \varphi^A_{B,C} \) can be computed by a recursive formula.

**Corollary 4.7.** For any \( A, B, C \in \Theta^\uparrow(n), \) let \( w_{B'} \) be the distinguished obtained by applying Algorithms 4.3 and 4.5 to \( B \) and, for any \( B' \leq_{dg} B, \) let \( \gamma^B_{w_{B'}} \) and \( \gamma^A_{w_{B,C}} \) be obtained by the multiplication formula given in Theorem 2.4. Then the Hall polynomial \( \varphi^A_{B,C} \) can be computed by the recursive formula

\[
\varphi^A_{B,C} = \begin{cases} 
\gamma^A_{w_{B,C}} - \sum_{B' : B' < B} \gamma^B_{w_{B'}} \varphi^A_{B',C} & \text{if } A \in \bigcup_{B' < B} \Theta_{<B'} \Theta_{<B' \ast C} \\
\gamma^A_{w_{B,C}} & \text{if } A \in \Theta_{B' \ast C} \setminus \bigcup_{B' < B} \Theta_{<B' \ast C}. 
\end{cases}
\]
5. Ringel–Hall algebras, quantum affine $\mathfrak{gl}_n$ and their canonical bases

The generic Hall algebra $\mathcal{H}_\Delta(n)_\Theta$ of $\Delta(n)$ is by definition the free $\mathbb{Z}[q]$-module with basis $\{u_A := u_{[M(A)]} \mid A \in \Theta_\Delta(n)\}$ and multiplication given by

$$u_B \circ u_C = \sum_{A \in \Theta_\Delta(n)} \varphi_{B,C}^A u_A.$$

For a finite field $k$ of $q$ elements, by specializing $q$ to $q$, we obtain the integral Hall algebra $\mathcal{H}_\Delta(n)_\Theta^\mathbb{Z}$ of $\Delta(n)$ discussed in §3.2

C.M. Ringel [24, 25] further twisted the multiplication, using the Euler form, to obtain the Ringel–Hall algebra which connects to the corresponding quantum group.

For $\mathfrak{a} = (a_i) \in \mathbb{Z}_\Delta^n$ and $\mathfrak{b} = (b_i) \in \mathbb{Z}_\Delta^n$, the Euler form associated with the cyclic quiver $\Delta(n)$ is the bilinear form $\langle \cdot, \cdot \rangle : \mathbb{Z}_\Delta^n \times \mathbb{Z}_\Delta^n \to \mathbb{Z}$ defined by

$$\langle \mathfrak{a}, \mathfrak{b} \rangle = \sum_{i \in I} a_i b_i - \sum_{i \in I} a_i b_{i+1}.$$

The (generic) Ringel–Hall algebra $\mathcal{H}_\Delta(n)_\Theta$ of $\Delta(n)$ is by definition the algebra over $\mathbb{C}[v, v^{-1}]$ ($v^2 = q$) with basis $\{u_A = u_{[M(A)]} \mid A \in \Theta_\Delta(n)\}$ and the multiplication is twisted by the Euler form:

$$u_B \circ u_C = \varphi_{B,C}^A u_A.$$

It is well known that for two $A, B \in \Theta_\Delta(n)_\Theta$, there holds

$$\langle \dim M(A), \dim M(B) \rangle = \dim_k \text{Hom}(M(A), M(B)) - \dim_k \text{Ext}_k^1(M(A), M(B)).$$

The $\mathbb{Z}$-subalgebra $\mathcal{C}_\Delta(n)$ of $\mathcal{H}_\Delta(n)_\Theta$ generated by $u_i^{(m)} = \frac{u_i^m}{[m]!}, i \in I$ and $m \geq 1$, is called the (generic) composition subalgebra. Then $\mathcal{C}_\Delta(n)$ is also generated by $u_{[mS]}$ since $u_i^{(m)} = v^{m(m-1)} u_{[mS]}$. Clearly, $\mathcal{H}_\Delta(n)_\Theta$ and $\mathcal{C}_\Delta(n)$ admit natural $\mathbb{N}^n$-grading by dimension vectors:

$$\mathcal{H}_\Delta(n) = \bigoplus_{\mathfrak{d} \in \mathbb{N}^n} \mathcal{H}_\Delta(n)_{\mathfrak{d}} \quad \text{and} \quad \mathcal{C}_\Delta(n) = \bigoplus_{\mathfrak{d} \in \mathbb{N}^n} \mathcal{C}_\Delta(n)_{\mathfrak{d}}$$

where $\mathcal{H}_\Delta(n)_{\mathfrak{d}}$ is spanned by all $u_A$ with $\dim M(A) = \mathfrak{d}$ and $\mathcal{C}_\Delta(n)_{\mathfrak{d}} = \mathcal{C}_\Delta(n) \cap \mathcal{H}_\Delta(n)_{\mathfrak{d}}$.

Base change gives the $\mathbb{Q}(v)$-algebra $\mathcal{H}_\Delta(n)_\Theta \otimes_{\mathbb{Z}} \mathbb{Q}(v)$ and $\mathcal{C}_\Delta(n) \otimes_{\mathbb{Z}} \mathbb{Q}(v)$. Denote by $\mathcal{H}_\Delta(n)_\Theta^{-\mathbb{Z}}$ the opposite algebra of $\mathcal{H}_\Delta(n)_\Theta$ ($= \mathcal{H}_\Delta(n)$).

By extending $\mathcal{H}_\Delta(n)_\Theta$ to Hopf algebras

$$\mathcal{H}_\Delta(n)_\Theta^{\geq 0} = \mathcal{H}_\Delta(n)_\Theta \otimes \mathbb{Q}(v)[K_{1}^{\pm 1}, \ldots, K_{n}^{\pm 1}], \quad \text{and} \quad \mathcal{H}_\Delta(n)_\Theta^{< 0} = \mathbb{Q}(v)[K_{1}^{\pm 1}, \ldots, K_{n}^{\pm 1}] \otimes \mathcal{H}_\Delta(n)_\Theta^{-\mathbb{Z}},$$

we define the double Ringel–Hall algebra $\mathcal{D}_\Delta(n)$ (cf. [22 & 3]) to be a quotient algebra of the free product $\mathcal{H}_\Delta(n)_\Theta^{\geq 0} \ast \mathcal{H}_\Delta(n)_\Theta^{< 0}$ via a certain skew Hopf paring $\psi : \mathcal{H}_\Delta(n)_\Theta^{\geq 0} \times \mathcal{H}_\Delta(n)_\Theta^{< 0} \to \mathbb{Q}(v)$. In particular, there is a triangular decomposition

$$\mathcal{D}_\Delta(n) = \mathcal{D}_\Delta(n)_\Theta^+ \otimes \mathcal{D}_\Delta(n)_\Theta^0 \otimes \mathcal{D}_\Delta(n)_\Theta^-,$$

where $\mathcal{D}_\Delta(n)_\Theta^+ \cong \mathcal{H}_\Delta(n)_\Theta^+$, $\mathcal{D}_\Delta(n)_\Theta^0 \cong \mathbb{Q}[K_{1}^{\pm 1}, \ldots, K_{n}^{\pm 1}]$ and $\mathcal{D}_\Delta(n)_\Theta^- \cong \mathcal{H}_\Delta(n)_\Theta^{-\mathbb{Z}}$.

**Theorem 5.1** ([3, Th. 2.5.3]). Let $U_v(\widehat{\mathfrak{gl}_n})$ be the quantum loop algebra of $\mathfrak{gl}_n$ defined in [7] or [11 §2.5]. Then there is a Hopf algebra isomorphism $\mathcal{D}_\Delta(n) \cong U_v(\widehat{\mathfrak{gl}_n})$.

Let $U = U(n) = U_v(\widehat{\mathfrak{a}_n})$ be the quantum affine $\mathfrak{a}_n$ $(n \geq 2)$ over $\mathbb{Q}(v)$, and let $E_i, F_i, K_i^\pm (i \in I)$ be the generators, for details see [20, 17]. Then $U$ admits a triangular decomposition $U = U^- U^0 U^+$, where $U^+$ (resp. $U^-$, $U^0$) is the subalgebra generated by the $E_i$ (resp. $F_i, K_i^\pm$ $(i \in I)$). Denote
by $U_\mathbb{Z}^+$ the Lusztig integral form of $U^+$, which is generated by all the divided powers $E_i^{(m)} = \frac{E_i^m}{[m]!}$.

The relation of Ringel-Hall algebras and quantum affine $\mathfrak{sl}_n$ is described in the following.

**Theorem 5.2** ([26]). There is a $\mathcal{Z}$-algebra isomorphism

$$\mathcal{C}_\Delta(n) \to U_\mathbb{Z}^+(n), \quad u_i^{(m)} \mapsto E_i^{(m)}, \quad i \in I, \quad m \geq 1,$$

and by base change to $\mathbb{Q}(v)$, there is an algebra isomorphism $\mathcal{C}_\Delta(n) \to U(v)$.

We now apply [6, Thm 6.2] to this particularly selected monomial set.

**Lemma 5.3.** For $\alpha \in \mathbb{N}_\Delta^n, A \in \Theta^n_\Delta(n)$, the twisted multiplication formula in the Ringel-Hall algebra $\mathcal{H}_\Delta(n)$ over $\mathcal{Z}$ is given by

$$\widetilde{u}_\alpha \widetilde{u}_A = \sum_{\tau \in \Theta^n_\Delta(n) \atop \text{row}(T) = \alpha} v_{f_{A,T}} \prod_{1 \leq i < j \leq \alpha} \left[ a_{ij} + t_{ij} - t_{i-1,j} \right] \widetilde{u}_{A+T-T^+},$$

where

$$f_{A,T} = \sum_{\substack{1 \leq i < j \leq \alpha \atop j \geq \tau_{i-1}}} a_{ij} t_{ij} - \sum_{\substack{1 \leq i < j \leq \alpha \atop j \geq \tau_i \geq \tau_{i-1}}} a_{ij} t_{ij} - \sum_{\substack{1 \leq i < j \leq \alpha \atop j \geq \tau_{i-1}}} t_{i-1,j} t_{ij} + \sum_{\substack{1 \leq i < j \leq \alpha \atop j \geq \tau_i \geq \tau_{i-1}}} t_{i-1,j} t_{ij}.$$

For each $w = a_1a_2 \cdots a_m \in \tilde{\Sigma}$ with tight form $w = b_1^{e_1}b_2^{e_2} \cdots b_l^{e_l}$, define a monomial associated with $w$ in $\mathcal{H}_\Delta(n)$

$$m^{(w)} = \tilde{u}_{e_1b_1} \cdots \tilde{u}_{e_lb_l}.$$

The monomials associated with the distinguished words $w_A = w_{A\alpha}w_{A\alpha}'$ produced by Algorithms [4,3] and [4,5] will be denoted simply by

$$m^{(A)} = m^{(w_A)} = m^{(w_{A\alpha})}m^{(w_{A\alpha}')}.$$

We now apply [6, Thm 6.2] to this particularly selected monomial set.

**Lemma 5.4.** (1) For $A \in \Theta^n_\Delta(n)$, we have a triangular relation

$$m^{(A)} = \tilde{u}_A + \sum_{\tau < A, T \in \Theta^n_\Delta(n) \atop \dim(M(T)) = \dim(M(A))} v^{\delta(A) - \delta(T)}\gamma_{w_A}(u^2)\tilde{u}_T,$$

(5.4.1)

In particular, $\mathcal{H}_\Delta(n)$ is generated by $\{u_i^{(m)}, u_{\alpha} = u_{|S_{\alpha}|} \mid i \in I, \alpha \in I_{\sin}, m \in \mathbb{N} \}$, where $S_{\alpha} = \bigoplus_{1 \leq \alpha} S_i$ is the semisimple representation of $\Delta(n)$ associated with $\alpha$.

(2) The set

$$\mathcal{M}^{(\hat{\mathfrak{g}}_n)_+} = \{m^{(A)} \mid A \in \Theta^n_\Delta(n)\} \quad \text{resp.,} \quad \mathcal{M}^{(\hat{\mathfrak{g}}_n)_{ap}} = \{m^{(A)} \mid A \in \Theta^\text{ap}_\Delta(n)\}$$

(5.4.2)

forms a $\mathcal{Z}$-basis for $\mathcal{H}_\Delta(n)$ (resp., $U_\mathbb{Z}^+(n)$).

The ingredients to define a canonical basis of an algebra include a basis with index set $P$, a bar involution on the algebra and a poset structure on $P$ which satisfies a certain triangular condition when applying the bar to a basis element. In the current case, the basis is the basis $\{\tilde{u}_A \mid A \in \Theta_\Delta^+(n)\}$, the poset is $(\Theta_\Delta^+(n), \leq_{\text{dg}})$, and the bar involution (see, e.g., [29, Proposition 7.5]) is given by

$$- : \mathcal{H}_\Delta(n) \to \mathcal{H}_\Delta(n), \quad m^{(A)} \mapsto m^{(A)}, v \mapsto v^{-1}.$$
We now use the selected monomials \( m^{(A)} \) to verify the triangular relation.

Restricting to \( A \in \Theta^+_{\diamond}(n)_d, d \in \mathbb{N}^n \), by (5.4.1)

\[
m^{(A)} = \tilde{u}_A + \sum_{B \prec A, B \in \Theta^+_{\diamond}(n)_d} h_{B,A} \tilde{u}_B, \quad h_{B,A} = v^{\delta(A) - \delta(T)} \gamma_{w,A} (v^2).
\]

(5.4.3)

Solving above gives

\[
\tilde{u}_A = m^{(A)} + \sum_{B \prec A, B \in \Theta^+_{\diamond}(n)_d} g_{B,A} m^{(B)}.
\]

Applying the bar involution, we obtain

\[
\overline{u}_A = m^{(A)} + \sum_{B \prec A, B \in \Theta^+_{\diamond}(n)_d} \tilde{g}_{B,A} m^{(B)} = \tilde{u}_A + \sum_{B \in \Theta^+_{\diamond}(n)_d, B \prec A} r_{B,A} \tilde{u}_B.
\]

Now, by [18, 7.10] (or [5, §0.5], [8]), the system

\[
p_{B,A} = \sum_{B \prec C \prec A} r_{B,C} \tilde{p}_{C,A} \quad \text{for } B \prec A, A, B \in \Theta^+_{\diamond}(n)_d
\]

has a unique solution satisfying \( p_{A,A} = 1, p_{B,A} \in v^{-1}Z[v^{-1}] \) for \( B \prec A \). Moreover, the elements

\[
c_A = \sum_{B \prec A, B \in \Theta^+_{\diamond}(n)} p_{B,A} \tilde{u}_B, \quad A \in \Theta^+_{\diamond}(n)_d,
\]

satisfying \( \overline{c}_A = c_A \), form a \( Z \)-basis for \( \mathfrak{H}_{\diamond}(n)_d \). The basis

\[
\mathcal{C}(\mathfrak{gl}_n)_+ = \{c_A \mid A \in \Theta^+_{\diamond}(n)\}
\]

(5.4.4)

is called the canonical basis of \( \mathfrak{H}_{\diamond}(n) \) with respect to the PBW type basis \( \{\tilde{u}_A\}_{A \in \Theta^+_{\diamond}(n)} \), the bar involution and the poset \((\Theta^+_{\diamond}(n), \leq_{dg})\).

In practice, if the relation (5.4.3) can be computed explicitly, then we may follow the following algorithm to compute the \( c_A \) (or \( p_{B,A} \)) inductively on the poset ideal \( \Theta_A \) defined in (4.6.1). Write

\[
\Theta_{<A} = \Theta^1_{<A} \cup \Theta^2_{<A} \cup \cdots \cup \Theta^t_{<A}
\]

for some \( t \in \mathbb{N} \), where \( \Theta^i_{<A} = \{ \text{maximal elements of } \Theta_{<A} \} \) and \( \Theta^i_{<A} = \{ \text{maximal elements of } \Theta_{<A} \cup \cup_{j=i}^{t-1} \Theta^j_{<A} \} \) for \( 2 \leq i \leq t \). Let

\[
\Theta^{a}_{<A} = \{ B \in \Theta^a_{<A} \mid h_{B,A} \notin v^{-1}Z[v^{-1}] \}.
\]

In the summation (5.4.3), assume \( \Theta^{a}_{<A} \neq \emptyset \) with \( a \) minimal. Then \( p_{B,A} := h_{B,A} \notin v^{-1}Z[v^{-1}] \) for all \( B \in \Theta^i_{<A} \) with \( i < a \) or \( B \in \Theta^a_{<A} \setminus \Theta^a_{<A} \). For each \( B \in \Theta^{a}_{<A} \), \( h_{B,A} \notin v^{-1}Z[v^{-1}] \) has a unique decomposition \( h_{B,A} = h'_{B,A} + p_{B,A} \) with \( h'_{B,A} = h'_{B,A} + p_{B,A} \) in \( v^{-1}Z[v^{-1}] \). Then

\[
m^{(A)} - \sum_{B \in \Theta^a_{<A}} h'_{B,A} m^{(B)} = \tilde{u}_A + \sum_{B \in \Theta^a_{<A}, i \leq a} p_{B,A} \tilde{u}_B + \sum_{B \in \Theta^a_{<A}, i > a} g_{B,A} \tilde{u}_B.
\]

Continue this argument with \( g_{B,A} \) if necessary, we eventually obtain

\[
m^{(A)} - \sum_{B \in \Theta^a_{<A}} h'_{B,A} m^{(B)} \in \tilde{u}_A + \sum_{B \in \Theta^a_{<A}} v^{-1}Z[v^{-1}] \tilde{u}_B,
\]

where \( \Theta_{<A} \) is a union of those \( \Theta^{a}_{<A} \). Since

\[
m^{(A)} - \sum_{B \in \Theta^a_{<A}} h'_{B,A} m^{(B)} = m^{(A)} - \sum_{B \in \Theta^a_{<A}} h'_{B,A} m^{(B)},
\]
by the uniqueness of the canonical basis of \( \mathfrak{U}(n) \) with respect to the PBW type basis \( \tilde{u}_A \), we have proved the following.

**Algorithm 5.5.** For \( A \in \Theta(n) \), there exist a recursively constructed subset \( '\Theta_{<A} \) of \( \Theta_A \) and
elements \( h'_{B,A} \in \mathbb{Z}[v, v^{-1}] \) for all \( B \in '\Theta_{<A} \) such that \( h'_{B,A} = h'_{B,A} \) and

\[
c_A = m(A) - \sum_{B \in '\Theta_{<A}} h'_{B,A} m(B)
\]
is the canonical basis element associated with \( A \).

If \( '\Theta_{<A} = \emptyset \), then \( c_A = m(A) \). Such a \( c_A \) is called a \textit{tight monomial}, following \[21\].

**6. Slices of the canonical basis**

In certain finite type cases, the canonical bases can be explicitly computed. See, for example, Lusztig [18, §3] for types \( A_1 \) and \( A_2 \) and [30, 31] for types \( A_3 \) and \( B_2 \). It is natural to expect that this is the case for quantum affine \( \mathfrak{g}l_2 \). However, this is much more complicated. In the next three sections, we present explicit formulas of the canonical basis for five “slices”. We will see that if a module’s Loewy length increases, the computation becomes more difficult.

The slices of the canonical basis is defined according to the Loewy length and periodicity of modules. In other words, for \( (l, p) \in \mathbb{N}^2 \) with \( l \geq 1, l \geq p \geq 0 \), let

\[
\mathcal{C}(\mathfrak{g}l_n)(l,p) = \{ c_A \mid \ell(A) = l, p(A) = p \} \quad \text{(resp., \( \mathcal{M}(\mathfrak{g}l_n)(l,p) = \{ m(A) \mid \ell(A) = l, p(A) = p \}) \}
\]

which is called a \textit{canonical (resp., monomial) slice}. Clearly, each of the bases is a disjoint union of slices.

In the remaining paper, we will compute the slices \( \mathcal{C}(\mathfrak{g}l_2)(l,p) \) for \( l \leq 2 \). We first compute the cases for \( (l, p) \in \{(1,0), (1,1), (2,0)\} \) which are relatively easy.

**Proposition 6.1.** For \( (l, p) = (1, 0) \) or \( (1, 1) \), we have

\[
\mathcal{C}(\mathfrak{g}l_2)(1,0) = \mathcal{M}(\mathfrak{g}l_2)(1,0) = \{ \tilde{u}_{aS_1}, \tilde{u}_{bS_2} \mid a, b \in \mathbb{N} - 0 \} \quad \text{and}
\]

\[
\mathcal{C}(\mathfrak{g}l_2)(1,1) = \mathcal{M}(\mathfrak{g}l_2)(1,1) = \{ \tilde{u}_{aS_1 \oplus bS_2} \mid a, b \in \mathbb{N}, ab \neq 0 \}.
\]

For \( (l, p) = (2, 0) \), all modules are aperiodic. If we put

\[
\mathcal{M}(\mathfrak{g}l_2)_{\text{ap}} = \{ m(A) \mid A \in \Theta(\mathfrak{g}l_2)(n) \}
\]

(cf. (5.4.2)), then the structure of the monomial basis \( \mathcal{M}(\mathfrak{g}l_2)_{\text{ap}} \) for the +-part \( U^+(2) \) of quantum affine \( \mathfrak{g}l_2 \) has a very simple description.

A sequence \( (a_1, a_2, \ldots, a_l) \in \mathbb{N}^l \) is called a \textit{pyramidic} if there exists \( k, 1 \leq k \leq l \), such that

\[
a_1 \leq a_2 \leq \cdots \leq a_k, \quad a_k \geq a_{k+1} \geq \cdots \geq a_l.
\]

We identify the positive part \( U^+(2) \) with the composition algebra under the isomorphism \( \mathcal{C}(\mathfrak{g}l_2(n) \rightarrow U^+(2), u_i^{(m)} \mapsto E_i^{(m)} \) as given in Theorem [5.2]

**Lemma 6.2.** We have

\[
\mathcal{M}(\mathfrak{g}l_2)_{\text{ap}} = \{ E_i^{(a_1)} E_{i+1}^{(a_2)} E_i^{(a_3)} E_{i+1}^{(a_4)} \cdots E_i^{(a_l)} \mid i \in \mathbb{Z}_2, (a_1, a_2, \ldots, a_l) \text{ is pyramidic}, \forall l \in \mathbb{N} \},
\]

where \( i' = i \) if \( l \) is odd and \( i' = i + 1 \) if \( l \) is even.
Proof. Applying Algorithm 4.3 to $A \in \Theta^\text{ap}(2)$, we know $m^{(A)}$ has the desired form.

Conversely, for a given

$$E(i, a) = E_i^{(a_1)}E_{i+1}^{(a_2)}E_{i+3}^{(a_3)} \cdots E_k^{(a_k)} \cdots E_l^{(a_l)}$$

where

$$0 < a_1 \leq a_2 \leq \cdots \leq a_k, \quad a_k \geq a_{k+1} \geq \cdots \geq a_l > a_{l+1} = 0,$$

we construct an $A \in \Theta^\text{ap}(2)$ such that $m^{(A)} = E(i, a)$. Since there are 8 cases for $(i, k, l)$, we only prove the case where $(i, k, l) = (1, 1, 1)$. The proof for other cases is similar.

First, the matrix giving $E_1^{(a_k)} \cdots E_l^{(a_l)}$ by the algorithm has the form

$$
\begin{pmatrix}
0 & a_k - a_{k+1} & a_{k+1} - a_{k+2} & \cdots & a_{l-1} - a_l & a_l \\
0 & 0 & 0 & \cdots & 0 & 0
\end{pmatrix}.
$$

For $a_{k-1}$, there exists a unique $i_0 \in \mathbb{N}$ such that $a_{k+i_0} \geq a_{k-1} > a_{k+i_0} + 1$, and so $a_{k+i_0} - a_{k+i_0} + 1 = (a_{k+i_0} - a_{k-1}) + (a_{k-1} - a_{k+i_0} + 1)$. Now, the matrix giving $E_2^{(a_{k-1})}E_1^{(a_k)} \cdots E_1^{(a_l)}$ has the form

$$
\begin{pmatrix}
0 & a_k - a_{k+1} & a_{k+1} - a_{k+2} & \cdots & a_{k+i_0} - a_{k-1} & 0 & 0 & \cdots & 0 & 0 \\
0 & 0 & 0 & \cdots & 0 & 0 & a_{k-1} - a_{k+i_0 + 1} & \cdots & a_{l-1} - a_l & a_l
\end{pmatrix}.
$$

Continuing this pattern for $a_{k-2}, \ldots, a_2, a_1$ yields eventually the required matrix $A$.

We take an example to illustrate the construction.

**Example 6.3.** Consider

$$E_1^{(2)}E_2^{(3)}E_1^{(5)}E_2^{(8)}E_1^{(9)}E_2^{(6)}E_1^{(4)}E_2^{(3)}E_1^{(1)}.$$

First, the matrix giving $E_1^{(9)}E_2^{(6)}E_1^{(4)}E_2^{(3)}E_1^{(1)} = (0 3 2 1 2 1)$. Since $9 > 8 > 6$, then the matrix giving $E_2^{(8)}E_1^{(9)}E_2^{(6)}E_1^{(4)}E_2^{(3)}E_1^{(1)} = (0 1 0 0 0 0 0 0 0)$. Due to $6 > 5 > 4$, then the matrix giving $E_1^{(5)}E_2^{(8)}E_1^{(9)}E_2^{(6)}E_1^{(4)}E_2^{(3)}E_1^{(1)} = (0 1 0 0 1 1 2 1)$. Finally, the matrix giving $E_2^{(3)}E_1^{(5)}E_2^{(8)}E_1^{(9)}E_2^{(6)}E_1^{(4)}E_2^{(3)}E_1^{(1)}$ has the form

$$
\begin{pmatrix}
0 & 9 - 8 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\
0 & 0 & 0 & 8 - 6 & 6 - 4 & 4 - 3 & 3 - 1 & 1 & 1
\end{pmatrix}.
$$

Now we are ready to describe the slice $\mathcal{C}(\hat{\mathfrak{gl}}_2(2,0))$ which is similar to the slices in Proposition 6.1.

**Proposition 6.4.** For $(l, p) = (2, 0)$, we have

$$\mathcal{C}(\hat{\mathfrak{gl}}_2(2,0)) = \mathcal{M}(\hat{\mathfrak{gl}}_2(2,0)) = \{E_1^{(a+b)}E_2^{(b)}, E_2^{(b)}E_1^{(a+b)}, E_1^{(b)}E_2^{(a+b)}, E_2^{(a+b)}E_1^{(b)} | a, b \in \mathbb{N}, b > 0\}.$$
Proof. Suppose \( A \in \Theta^+(\ell(2)) \) with \((\ell(A), p(A)) = (2, 0)\), then \( A \) is one of the following matrices
\[
\begin{pmatrix}
0 & a & b \\
0 & 0 & 0
\end{pmatrix},
\begin{pmatrix}
0 & 0 & 0 \\
0 & 0 & a
\end{pmatrix},
\begin{pmatrix}
0 & 0 & b \\
0 & a & 0
\end{pmatrix},
\begin{pmatrix}
0 & a & 0 \\
0 & 0 & 0
\end{pmatrix}, \quad \forall \ a, b \in \mathbb{N}, b > 0.
\]
Applying Algorithm 4.5 to these matrices or by Lemma 6.2, the monomial \( m^{(A)} \) has the following form
\[
E_1^{(a+b)} E_2^{(b)}, \ E_2^{(a+b)} E_1^{(b)}, \ E_1^{(b)} E_2^{(a+b)}, \ E_2^{(b)} E_1^{(a+b)}.
\]
We now prove that these monomials are tight monomials. We only look at the first case, the other cases are similar. We now apply the formula in Lemma 5.3 to compute
\[
m^{(0 \ a \ b \ 0 \ 0 \ 0 \ 0 \ 0 \ 0 \ 0 \ 0 \ 0 \ 0)} = E_1^{(a+b)} E_2^{(b)} = \tilde{u}_{(a+b)} S_1 \tilde{u}_{b} S_2.
\]
Since \( \alpha = (a+b, 0) \), the matrix \( T \) in the sum must be of the form \((0 \ a \ b \ t \ 0 \ 0)\). Thus,
\[
m^{(0 \ a \ b \ 0 \ 0 \ 0 \ 0 \ 0 \ 0 \ 0 \ 0 \ 0 \ 0)} = \sum_{t \leq b} v^{-(a+b-t)(b-t)} \tilde{u}_{(0 \ a \ b \ t \ 0 \ 0)}
= \tilde{u}_{(0 \ a \ b \ 0 \ 0 \ 0 \ 0 \ 0 \ 0 \ 0 \ 0 \ 0 \ 0)} + \sum_{t < b} v^{-(a+b-t)(b-t)} \tilde{u}_{(0 \ a \ b \ t \ 0 \ 0)}
\]
which is the canonical basis element associated to \((0 \ a \ b \ 0 \ 0 \ 0 \ 0 \ 0 \ 0 \ 0 \ 0 \ 0 \ 0)\), since \( v^{-(a+b-t)(b-t)} \in v^{-1}\mathbb{Z}[v^{-1}] \) for all \( t < b \).
\[\blacksquare\]

In the three slices above, the recursively constructed subset \( \Theta_{<A} \) in Algorithm 5.5 is empty. So they consist of tight monomials.

7. Computing the slice \( C(\hat{\mathfrak{gl}}_2)_{(2,1)} \)

For computing the slices \( C(\hat{\mathfrak{gl}}_2)_{(2,1)} \) and \( C(\hat{\mathfrak{gl}}_2)_{(2,2)} \) in this and next sections, we consider a matrix of the form
\[
A = \begin{pmatrix}
0 & a & c & 0 \\
0 & 0 & b & d
\end{pmatrix} \in \Theta^+_{\Delta}(2)
\]
satisfying \( \ell(A) = 2, p(A) > 0 \), where \( a, b, c, d \in \mathbb{N} \). Then \( c + d \neq 0 \) and \( ab + cd \neq 0 \).

Lemma 7.1. For the \( A \) as given above, we have
\[
\Theta_A = (0, A) = \{ A_{(k_1, k_2)} \mid k_1, k_2 \in \mathbb{N}, (k_1, k_2) \leq (c, d) \},
\]
where
\[
A_{(k_1, k_2)} = \begin{pmatrix}
0 & a + c + d - k_1 - k_2 & k_1 \\
0 & 0 & b + c + d - k_1 - k_2
\end{pmatrix}.
\]

Proof. The proof is straightforward by (2.1.1). Note also that
\[
A_{(t_1, t_2)} \leq_{\text{dg}} A_{(k_1, k_2)} \iff (t_1, t_2) \leq (k_1, k_2).
\]

For \( cd \neq 0 \), the poset ideal can be described by its Hasse diagram:
For $B = A_{(k_1,k_2)}$, by Definition 4.2, we have $B' = \begin{pmatrix} 0 & a+c+d-k_1 & 0 \\ 0 & b+c+d-k_2 & 0 \end{pmatrix}$ and $B'' = \begin{pmatrix} 0 & k_1 \\ 0 & k_2 \end{pmatrix}$. The following follows immediately from Lemma 5.3.

**Lemma 7.2.** Putting $\tilde{u}_{(k_1,k_2)} = \tilde{u}_{A_{(k_1,k_2)}}$ and $m^{(k_1,k_2)} = m^{(A_{(k_1,k_2)})}$, we have

$$m^{(k_1,k_2)} = \tilde{u}_{\begin{pmatrix} 0 & k_1 \\ 0 & k_2 \end{pmatrix}} \tilde{u}_{\begin{pmatrix} 0 & a+c+d-k_1 \\ 0 & b+c+d-k_2 \end{pmatrix}}$$

$$= \sum_{t_1 \leq k_1, t_2 \leq k_2} v^{(a-b-k_1+k_2+t_1-t_2)(k_1-k_2-t_1+t_2)} \begin{pmatrix} a + c + d - t_1 - t_2 \\ k_1 - t_1 \end{pmatrix} \begin{pmatrix} b + c + d - t_1 - t_2 \\ k_2 - t_2 \end{pmatrix} \tilde{u}_{(t_1,t_2)}.$$

We now compute the canonical basis elements for those $A$ with $c = 0$ or $d = 0$ (but not both zero). In other words, $p(A) = 1$. We need the following identities for symmetric Gaussian polynomials.

**Lemma 7.3** ([30] Section 3.1). (1) Assume that $m \geq k \geq 0, \delta \in \mathbb{N}$. Then

$$\sum_{i=0}^{\delta} (-1)^i v^{i(m-k)} \begin{pmatrix} k - 1 + i \\ k - 1 \end{pmatrix} \begin{pmatrix} m \\ \delta - i \end{pmatrix} = v^{-k\delta} \begin{pmatrix} m - k \\ \delta \end{pmatrix}.$$

(2) Assume that $m \geq k \geq 0, \delta, n \in \mathbb{N}$. Then

$$\sum_{i=0}^{\delta} (-1)^i v^{i(m-k-n)} \begin{pmatrix} k - 1 + i \\ k - 1 \end{pmatrix} \begin{pmatrix} m + n \\ \delta - i \end{pmatrix} = \sum_{t=0}^{\min\{\delta,n\}} v^{-k(\delta-t)-n\delta+t(m+n)} \begin{pmatrix} m - k \\ \delta - t \end{pmatrix} \begin{pmatrix} n \\ t \end{pmatrix}.$$
We now perform Algorithm 5.3 to compute the slice \( \mathcal{G}(\hat{\mathfrak{g}}_2(2,1)) \). In this case, the recursively constructed subset \( '\Theta_{<A} \) in the Algorithm 5.3 is \( '\Theta_{<A} = \Theta_{<A} \).

**Theorem 7.4.** If \( A \in \Theta^+_A(2) \) with \((\ell(A), p(A)) = (2,1)\), then \( A \) is of the form
\[
\begin{pmatrix}
0 & a & c \\
0 & 0 & b \\
0 & 0 & d \\
\end{pmatrix}
\] or
\[
\begin{pmatrix}
0 & a & 0 \\
0 & 0 & 0 \\
0 & 0 & b \\
\end{pmatrix}
\] \((a, b, c, d \in \mathbb{N}_{\geq 1})\).

1. For \( A = \begin{pmatrix} 0 & a & c \\ 0 & 0 & b \end{pmatrix} \), \( C_A = m^{(A)} \) is a tight monomial if and only if \( a \leq b \). The canonical basis element associated to \( A \) with \( a > b \) has the form
\[
C_A = \sum_{k=0}^{c} (-1)^{c-k} \begin{pmatrix}
a - b - 1 + c - k \\
a - b - 1 \\
\end{pmatrix} m^{(k,0)} = \sum_{t=0}^{c} v^{-t(a+t)} \begin{pmatrix}
b + t \\
t \\
\end{pmatrix} \tilde{u}_{(c-t,0)},
\]
where \( \tilde{u}_{(k,0)} = \tilde{u}_{A(k,0)} \) and \( A(k,0) = \begin{pmatrix} 0 & a + c - k & k \\ 0 & 0 & b + c - k \end{pmatrix} \).

2. For \( A = \begin{pmatrix} 0 & a & 0 \\ 0 & 0 & b \\ 0 & 0 & d \end{pmatrix} \), \( C_A = m^{(A)} \) is a tight monomial if and only if \( a \geq b \). The canonical basis element associated to \( A \) with \( a < b \) has the form
\[
C_A = \sum_{l=0}^{d} (-1)^{d-l} \begin{pmatrix}
b - a - 1 + d - l \\
b - a - 1 \\
\end{pmatrix} m^{(0,l)} = \sum_{t=0}^{d} v^{-t(b+t)} \begin{pmatrix}
a + t \\
t \\
\end{pmatrix} \tilde{u}_{(0,d-t)},
\]
where \( \tilde{u}_{(0,l)} = \tilde{u}_{A(0,l)} \) and \( A(0,l) = \begin{pmatrix} 0 & a + d - l & 0 \\ 0 & 0 & b + d - l \end{pmatrix} \).

**Proof.** We only prove (1); the proof for (2) is similar. In this case, Hasse diagram \( H(c,0) \) is a linear figure. In other words, we have \( A = A_{(c,0)} >_{dg} A_{(c-1,0)} >_{dg} \cdots >_{dg} A_{(1,0)} >_{dg} A_{(0,0)} \). Note in this case that \( A' = \begin{pmatrix} 0 & a & 0 \\ 0 & 0 & c \\ 0 & 0 & d \end{pmatrix} \) and \( A'' = \begin{pmatrix} 0 & a & 0 \\ 0 & 0 & c \\ 0 & 0 & d \end{pmatrix} \). Thus,
\[
m^{(A)} = m^{(A''')} m^{(A')} = \tilde{u}_{A''} \tilde{u}_{A'}.
\]
We now apply formula in Lemma 5.3. We have here \( \alpha = (c,0) \). It \( T \in \Theta^+_\Delta(2) \) satisfying \( A' - T + \tilde{T}^+ \in \Theta^+_\Delta(2) \) and \( \text{row}(T) = \alpha \), then \( T = \begin{pmatrix} 0 & c - t & t \\ 0 & 0 & t \end{pmatrix} \) for some \( 0 \leq t \leq c \). Thus, \( A' - T + \tilde{T}^+ = A_{(t,0)} \) and
\[
f_{A',T} = a(c - t) - (b + c)(c - t) + c(c - t) = (c - t)(a - b - c + t).
\]
Hence,
\[
m^{(A)} = \sum_{0 \leq t \leq c} v^{(c-t)(a-b-c+t)} \begin{pmatrix}
a + c - t \\
0 \\
c - t \\
\end{pmatrix} \tilde{u}_{(t,0)} = \tilde{u}_{A} + \sum_{0 \leq t \leq c-1} v^{(c-t)(a-b-c+t)} \begin{pmatrix}
a + c - t \\
0 \\
c - t \\
\end{pmatrix} \tilde{u}_{(t,0)}.
\]
Consequently, \( m^{(A)} \) becomes a canonical basis element (or a tight monomial) if \( a \leq b \).

By the calculation above, we have, for \( k = 0, 1, 2, \cdots, c \), \( A_{(k,0)} = \begin{pmatrix} 0 & a + c - k & k \\ 0 & 0 & b + c \end{pmatrix} \) and so, by Lemma 7.2,
\[
m^{(k,0)} = \tilde{u}_{(0,k)} \tilde{u}_{(0,a+c-k,0)} = \sum_{0 \leq t \leq k} v^{(k-t)(a+t-b-k)} \begin{pmatrix}
a + c - t \\
k - t \\
\end{pmatrix} \tilde{u}_{(t,0)} = \sum_{0 \leq t \leq k} v^{(k-t)(t-b-c)} \begin{pmatrix}
a + c - t \\
k - t \\
\end{pmatrix} \tilde{u}_{(t,0)}.
\]
Assume now $a > b$ and consider the following bar fixed sum
\[
M(c) := \sum_{k=0}^{c} (-1)^{c-k} \left[ \frac{a-b-1+c-k}{a-b-1} \right] m^{(k,0)}
\]
\[
= \sum_{k=0}^{c} (-1)^{c-k} \left[ \frac{a-b-1+c-k}{a-b-1} \right] \left( \sum_{l=0}^{k} \left[ \frac{a+b-l}{k-l} \right] \tilde{u}_{(l,0)} \right)
\]
\[
= \sum_{k=0}^{c} \sum_{l=0}^{k} (-1)^{c-k} v^{(k-l)(t-b-c)} \left[ \frac{a-b-1+c-k}{a-b-1} \right] \left[ \frac{a+c-t}{k-t} \right] \tilde{u}_{(l,0)}
\]
\[
= \sum_{l=0}^{c} \left( \sum_{k=l}^{c} (-1)^{c-k} v^{(k-l)(t-b-c)} \left[ \frac{a-b-1+c-k}{a-b-1} \right] \left[ \frac{a+c-t}{k-t} \right] \right) \tilde{u}_{(l,0)}
\]

However, for fixed $t$,
\[
f_{(t,0)} := \sum_{k=0}^{c} (-1)^{c-k} v^{(k-t)(t-b-c)} \left[ \frac{a-b-1+c-k}{a-b-1} \right] \left[ \frac{a+c-t}{k-t} \right]
\]
\[
= \sum_{k'=0}^{c'} (-1)^{c'-k'} v^{-k'(b+c')} \left[ \frac{a-b-1+c'-k'}{a-b-1} \right] \left[ \frac{a+c'-t}{k'-t} \right] (c' = c-t, k' = k-t)
\]
\[
= v^{-c'(b+c')} \sum_{i=0}^{c'} (-1)^{c'-i} v^{i(b+c')} \left[ \frac{a-b-1+i}{a-b-1} \right] \left[ \frac{a+c'-i}{c'-i} \right] (i = c'-k')
\]

Let $k = a - b$, $m = a + c'$ and $\delta = c'$. Applying Lemma 7.3(1) gives
\[
f_{(t,0)} = v^{-c'(b+c')} v^{-c'(a-b)} \left[ \frac{b+c'}{c'} \right] = v^{-c'(a+c')} \left[ \frac{b+c'}{c'} \right] = v^{-c'(a-b+c')} \left[ \frac{b+c'}{c'} \right] \in v^{-1} \mathbb{Z}[v^{-1}],
\]

since $a > b$. Hence, $M(c) \in \tilde{u}_A + \sum_{l=0}^{c-1} v^{-1} \mathbb{Z}[v^{-1}] \tilde{u}_{(l,0)}$. On the other hand, $\overline{M(c)} = M(c)$. Consequently, $c_A = M(c)$, as desired.

8. Computing the slice $\mathcal{C}(\hat{\mathfrak{gl}}_2)_{(2,2)}$

In the last part of this section, we show the canonical basis associated to the matrix $A = \begin{pmatrix} 0 & a & c & 0 \\ 0 & 0 & b & d \end{pmatrix}$ with $\ell(A) = 2 = p(A)$ and $a, b, c, d \in \mathbb{N}$. Thus, $cd \neq 0$.

**Theorem 8.1.** Maintain the notation as set in Lemmas 7.1 and 7.2. Suppose $A = \begin{pmatrix} 0 & a & c & 0 \\ 0 & 0 & b & d \end{pmatrix} \in \Theta^+(2)$ with $\ell(A) = 2 = p(A)$ and $a, b, c, d \in \mathbb{N}$. Then the canonical basis element $c_A$ associated to $A$ is given as follows.

1. If $a = b$, then
   \[
c_A = m^{(c,d)} - m^{(c-1,d-1)}.
   \]

2. If $a > b$, then
   \[
c_A = \sum_{k_1=0}^{c} (-1)^{c-k_1} \left[ \frac{a-b-1+c-k_1}{a-b-1} \right] m^{(k_1,d)} - \sum_{l_1=0}^{c-1} (-1)^{c-1-l_1} \left[ \frac{a-b-2+c-l_1}{a-b-1} \right] m^{(l_1,d-1)}.
   \]

    

(3) If $a < b$, then

$$c_A = \sum_{k_1=0}^{d} (-1)^{d-k_1} \left[ \frac{b - a - 1 + d - k_1}{b - a - 1} \right] m^{(c,k_1)} - \sum_{t_1=0}^{d-1} (-1)^{d-1-t_1} \left[ \frac{b - a - 2 + d - t_1}{b - a - 1} \right] m^{(c-1,t_1)}.$$

We may see the symmetry of the three cases from the big diamond $H(c,d)$: The recursively constructed subset in Algorithm 5.5 has the form:

$$\Theta_{_A} = \begin{cases} \{A_{(c-1,d-1)}\}, & \text{in (1)}; \\ \{A_{(i,i)}, A_{(j,d-1)} | 0 \leq i, j \leq c, i < c\}, & \text{in (2)}; \\ \{A_{(c,i)}, A_{(c-1,j)} | 0 \leq i, j \leq d, i < d\}, & \text{in (3)}. \end{cases}$$

**Proof.** We first prove (1) and thus assume $a = b$. Then the formula in Lemma 7.2 with $(k_1, k_2) = (c, d)$ becomes

$$m^{(c,d)} = \sum_{t_1 \leq c, t_2 \leq d} v^{-(c-d-t_1+t_2)^2} \sum_{c-t_1}^{a+c+d-t_1-t_2} u(t_1, t_2) = \sum_{t_1 \leq c, t_2 \leq d} v^{-(c-t_1-d+t_2)^2} \sum_{c-t_1}^{a+c+d-t_1-t_2} u(t_1, t_2).$$

Since $[\frac{a+c+d-t_1-t_2}{c-t_1}]^2 - 1 \in v^{-1}\mathbb{Z}[v^{-1}]$ (= 0 if $(t_1, t_2) = (c, d)$) and the coefficients in the second sum are all in $v^{-1}\mathbb{Z}[v^{-1}]$, it follows that

$$m^{(c,d)} = \begin{cases} \tilde{u}(c,d) + \tilde{u}(c-1,d-1) + \cdots + \tilde{u}(c-d,0) + X, & \text{if } c \geq d; \\ \tilde{u}(c,d) + \tilde{u}(c-1,d-1) + \cdots + \tilde{u}(0,d-c) + Y, & \text{if } c < d, \end{cases}$$

where $X, Y \in \sum_{(t_1, t_2) < (c,d)} v^{-1}\mathbb{Z}[v^{-1}]\tilde{u}(t_1, t_2)$.

Similarly, we have

$$m^{(c-1,d-1)} = \sum_{t_1 \leq c-1, t_2 \leq d-1} v^{-(c-d-t_1+t_2)^2} \sum_{c-1-t_1}^{a+c+d-t_1-t_2} u(t_1, t_2) = \sum_{t_1 \leq c, t_2 \leq d} v^{-(c-t_1-d+1-t_2)^2} \sum_{c-1-t_1}^{a+c+d-t_1-t_2} u(t_1, t_2).$$

and

$$m^{(c-1,d-1)} = \begin{cases} \tilde{u}(c-1,d-1) + \tilde{u}(c-2,d-2) + \cdots + \tilde{u}(c-d,0) + X', & \text{if } c \geq d; \\ \tilde{u}(c-1,d-1) + \tilde{u}(c-2,d-2) + \cdots + \tilde{u}(0,d-c) + Y', & \text{if } c < d, \end{cases}$$

where $X', Y' \in \sum_{(t_1, t_2) < (c,d)} v^{-1}\mathbb{Z}[v^{-1}]\tilde{u}(t_1, t_2)$. Hence,

$$m^{(c,d)} - m^{(c-1,d-1)} = \tilde{u}(c,d) + Z, \text{ where } Z \in \sum_{(t_1, t_2) < (c,d)} v^{-1}\mathbb{Z}[v^{-1}]\tilde{u}(t_1, t_2).$$

This proves that $c_A = m^{(c,d)} - m^{(c-1,d-1)}$ is the canonical basis element associated to $A$ in this case.
Next we prove (2). Fix $a > b$ and let

$$M(c, d) = \sum_{k_1=0}^{c} (-1)^{c-k_1} \left[ \frac{a - b - 1 + c - k_1}{a - b - 1} \right] m^{(k_1, d)} - \sum_{l_1=0}^{c-1} (-1)^{c-1-l_1} \left[ \frac{a - b - 2 + c - l_1}{a - b - 1} \right] m^{(l_1, d-1)}$$

$$= \tilde{u}(c, d) + \sum_{t_1=0}^{c-1} f^{(c, d)}(t_1, t_2) \tilde{u}(t_1, d) + \sum_{t_2=0}^{d-1} f^{(c, d)}(c, t_2) \tilde{u}(c, t_2) + \sum_{(t_1, t_2) \in (c, d)} (f^{(c, d)}(t_1, t_2) - f^{(c-1, d-1)}(t_1, t_2)) \tilde{u}(t_1, t_2),$$

where $(t_1, t_2) \ll (c, d)$ means $t_1 < c$ and $t_2 < d$, and

$$\sum_{k_1=0}^{c} (-1)^{c-k_1} \left[ \frac{a - b - 1 + c - k_1}{a - b - 1} \right] m^{(k_1, d)} = \sum_{(t_1, t_2) \in (c, d)} f^{(c, d)}(t_1, t_2) \tilde{u}(t_1, t_2),$$

and

$$\sum_{l_1=0}^{c-1} (-1)^{c-1-l_1} \left[ \frac{a - b - 2 + c - l_1}{a - b - 1} \right] m^{(l_1, d-1)} = \sum_{(t_1, t_2) \in (c, d)} f^{(c-1, d-1)}(t_1, t_2) \tilde{u}(t_1, t_2).$$

Expanding the left hand sides by Lemma 7.2 yields, for $(t_1, t_2) \leq (c, d)$,

$$f^{(c, d)}(t_1, t_2) = \sum_{k_1=t_1}^{c} (-1)^{c-k_1} v^{(a-b-k_1+d+t_1-t_2)(k_1-d-t_1+t_2)} \left[ \frac{a-b-1+c-k_1}{a-b-1} \right] \left[ \frac{a+c+d-t_1-t_2}{k_1-t_1} \right] \left[ \frac{a+c+d-t_1-t_2}{d-t_2} \right],$$

$$f^{(c-1, d-1)}(t_1, t_2) = \sum_{l_1=t_1}^{c-1} (-1)^{c-1-l_1} v^{(a-b-l_1+d+1+t_1-t_2)(l_1-d+1-t_1+t_2)} \left[ \frac{a-b-2+c-l_1}{a-b-1} \right] \left[ \frac{a+c+d-t_1-t_2}{l_1-t_1} \right] \left[ \frac{a+c+d-t_1-t_2}{d-t_2} \right].$$

In particular, since $a > b$,

$$f^{(c, d)}(t_1, t_2) = v^{(a-b+d-t_2)(-a+t_2)} \left[ \frac{b+d-t_2}{d-t_2} \right] = v^{-r'_1(a-b+t'_1)} \left[ \frac{b+t'_1}{t'_2} \right] \in v^{-1}\mathbb{Z}[v^{-1}] \quad (t'_2 = d - t_2 \geq 0).$$

and, by Lemma 7.3(1), we have as seen at the end of the proof of Theorem 7.4

$$f^{(c, d)}(t_1, t_2) = v^{(a-b-t_1)(a-b+t'_1)} \left[ \frac{b+t'_1}{t'_1} \right] = v^{-r'_2(a-b+t'_1)} \left[ \frac{b+t'_1}{t'_1} \right] \in v^{-1}\mathbb{Z}[v^{-1}] \quad (t'_2 = c - t_1).$$

Assume now $(t_1, t_2) \ll (c, d)$ and let

$$g^{(c, d)}(t_1, t_2) := f^{(c, d)}(t_1, t_2) - f^{(c-1, d-1)}(t_1, t_2).$$

If $(t_1, t_2) = (0, 0)$, then $g^{(c, d)}(0, 0) \in v^{-1}\mathbb{Z}[v^{-1}]$. This is done in Lemma A.1 of the Appendix.

It remains to prove that $g^{(c, d)}(t_1, t_2) \in v^{-1}\mathbb{Z}[v^{-1}]$ for all $(0, 0) < (t_1, t_2) \ll (c, d)$. This follows from the following recursive formula: for all $(0, 0) < (t_1, t_2) \leq (c', d') \ll (c, d)$,

$$g^{(c'+1, d'+1)}(t_1, t_2) = \begin{cases} g^{(c'+1, d')} (t_1, t_2-1); & \text{if } t_2 \geq 1; \\ g^{(c', d'+1)} (t_1-1, 0); & \text{if } t_2 = 0, \end{cases}$$

which can be seen as follows.
First, the coefficient $g^{(c'+1,d'+1)}_{(t_1,t_2)}$ of $\tilde{u}_{(t_1,t_2)}$ in $M(c'+1, d'+1)$ has the form

$$
\sum_{k_1=t_1}^{c'+1} (-1)^{c'+1-k_1} v^{(a-b-k_1+d'+1+1-t_1-t_2)}(k_1-d'-1-t_1-t_2) \left[ \begin{array}{c} a-b+c'-k_1 \\ a-b-1 \\ \frac{a+c'+d'+2-t_1-t_2}{k_1-t_1} \\ \frac{b+c'+d'+2-t_1-t_2}{d'+1-t_2} \end{array} \right]
$$

$$
- \sum_{l_1=t_1}^{c'} (-1)^{c'-l_1} v^{(a-b-l_1+d'+1-t_1-t_2)}(l_1-d'-1-t_1-t_2) \left[ \begin{array}{c} a-b+1+c'-l_1 \\ a-b-1 \\ \frac{a+c'+d'+2-t_1-t_2}{l_1-t_1} \\ \frac{b+c'+d'+2-t_1-t_2}{d'-t_2} \end{array} \right].
$$

If $t_2 \geqslant 1$, then the coefficient $g^{(c'+1,d')}_{(t_1,t_2-1)}$ of $\tilde{u}_{(t_1,t_2-1)}$ in $M(c'+1, d'+1)$ has the form

$$
\sum_{k_1=t_1}^{c'+1} (-1)^{c'+1-k_1} v^{(a-b-k_1+d'+t_1-2+1)(k_1-d'-1-t_1+2-1)} \left[ \begin{array}{c} a-b+c'-k_1 \\ a-b-1 \\ \frac{a+c'+d'+2-t_1+2}{k_1-t_1} \\ \frac{b+c'+d'+2-t_1+2}{d'+2-t_2+1} \end{array} \right]
$$

$$
- \sum_{l_1=t_1}^{c'} (-1)^{c'-l_1} v^{(a-b-l_1+d'+1-1-t_1+2-1)(l_1-d'-1-t_1+2-1)} \left[ \begin{array}{c} a-b+1+c'-l_1 \\ a-b-1 \\ \frac{a+c'+d'+2-t_1+2}{l_1-t_1} \\ \frac{b+c'+d'+2-t_1+2}{d'+2-t_2+1} \end{array} \right],
$$

which is the same as that of $\tilde{u}_{(t_1,t_2)}$ in $M(c'+1, d'+1)$, proving the first recursive formula.

If $t_2 = 0, t_1 \geqslant 1$, the coefficient $g^{(c',d'+1)}_{(t_1-1,0)}$ of $\tilde{u}_{(t_1-1,0)}$ in $M(c', d'+1)$ has the form

$$
\sum_{k_1=t_1-1}^{c'} (-1)^{c'-k_1} v^{(a-b-k_1+d'+1+1-t_1-1)(k_1-d'-1-t_1+1)} \left[ \begin{array}{c} a-b+c'-k_1 \\ a-b-1 \\ \frac{a+c'+d'+2-t_1+1}{k_1-t_1} \\ \frac{b+c'+d'+2-t_1+1}{d'} \end{array} \right]
$$

$$
- \sum_{l_1=t_1-1}^{c'-1} (-1)^{c'-l_1} v^{(a-b-l_1+d'+t_1-1)(l_1-d'-1-t_1+1)} \left[ \begin{array}{c} a-b+1+c'-l_1 \\ a-b-1 \\ \frac{a+c'+d'+2-t_1+1}{l_1-t_1} \\ \frac{b+c'+d'+2-t_1+1}{d} \end{array} \right].
$$

Putting $k'_1 = k_1 + 1, l'_1 = l_1 + 1$, we obtain

$$
g^{(c',d'+1)}_{(t_1-1,0)} = \sum_{k'_1=t_1}^{c'+1} (-1)^{c'-k'_1} v^{(a-b-k'_1+d'+1+1+t_1)}(k'_1-d'-1-t_1+1) \left[ \begin{array}{c} a-b+c'-k'_1 \\ a-b-1 \\ \frac{a+c'+d'+2-t_1}{k'_1-t_1} \\ \frac{b+c'+d'+2-t_1}{d'+1} \end{array} \right]
$$

$$
- \sum_{l'_1=t_1}^{c'} (-1)^{c'-l'_1} v^{(a-b-l'_1+d'+t_1+t_1)}(l'_1-d'-t_1+1) \left[ \begin{array}{c} a-b+1+c'-l'_1 \\ a-b-1 \\ \frac{a+c'+d'+2-t_1}{l'_1-t_1} \\ \frac{b+c'+d'+2-t_1}{d'} \end{array} \right],
$$

which is the same as that of $\tilde{u}_{(t_1,0)}$ in $M(c'+1, d'+1)$, proving the second recursive formula.

Repeatedly applying the recursive formula yields, for all $(0,0) < (t_1,t_2) \ll (c,d)$,

$$
g^{(c,d)}_{(t_1,t_2)} = g^{(c-t_1,d-t_2)}_{(0,0)}.
$$

By Lemma A.1 again, $g^{(c,d)}_{(t_1,t_2)} \in v^{-1}\mathbb{Z}[v^{-1}]$. This completes the proof of (2).

The proof of (3) can also be reduced by induction to prove that the coefficient of $\tilde{u}_{(0,0)}$ belongs to $v^{-1}\mathbb{Z}[v^{-1}]$, which is given in Lemma A.1 of the Appendix.

**Appendix A. The coefficient of $\tilde{u}_{(0,0)}$**

To complete the proof of Theorem 8.1, we need the following result. We first rewrite the identity in Lemma 7.3(2) as

$$
\sum_{i=0}^{\delta} (-1)^i v^{(2\delta-2n-i-1)+2\delta(n+k)} \left[ \begin{array}{c} k-1+i \\ k-1 \\ m+n \\ \delta-i \end{array} \right] = \sum_{i=0}^{\min\{d,n\}} v^{2\delta(n+k)-i} \left[ \begin{array}{c} m-k \\ \delta+i \\ n \end{array} \right].
$$

(A.0.1)

for all $m \geqslant k \geqslant 0, \delta, n \in \mathbb{N}$. 
Lemma A.1. For the numbers \( a, b, c, d \in \mathbb{N} \) with \( c, d \geq 1 \) as given in Theorem \([8.7]\), we have
\[
g_{(0,0)}^{(c,d)} \in v^{-1}\mathbb{Z}[v^{-1}],
\]
where, for \( a > b \),
\[
g_{(0,0)}^{(c,d)} = \sum_{k_1=0}^{c} (-1)^{c-k_1} v^{(a-b-k_1+d)(k_1-d)} \left[ a-b-1+c-k_1 \atop a-b-1 \right] \left[ a+c+d \atop k_1 \right] \left[ b+c+d \atop d \right] \]
\[- \sum_{l_1=0}^{c-1} (-1)^{c-1-l_1} v^{(a-b-l_1+d-1)(l_1-d+1)} \left[ a-b-2+c-l_1 \atop a-b-1 \right] \left[ a+c+d \atop l_1 \right] \left[ b+c+d \atop d-1 \right].
\]
while, for \( a < b \),
\[
g_{(0,0)}^{(c,d)} = \sum_{k_1=0}^{d} (-1)^{d-k_1} v^{(b-a-k_1+c)(k_1-c)} \left[ b-a-1+d-k_1 \atop b-a-1 \right] \left[ a+c+d \atop c \right] \left[ b+c+d \atop k_1 \right] \]
\[- \sum_{l_1=0}^{d-1} (-1)^{d-1-l_1} v^{(b-a-l_1+c-1)(l_1-c+1)} \left[ b-a-2+d-l_1 \atop b-a-1 \right] \left[ a+c+d \atop c-1 \right] \left[ b+c+d \atop l_1 \right].
\]

Proof. We only prove the \( a > b \) case, the other case can be proved similarly. Rewrite \( g_{(0,0)}^{(c,d)} \) as
\[
g_{(0,0)}^{(c,d)} = \sum_{k_1=0}^{c} (-1)^{c-k_1} v^{(a-b-k_1+d)(k_1-d)+(c-k_1)(a-b-1)} \left[ a-b-1+c-k_1 \atop a-b-1 \right] \left[ a+c+d \atop k_1 \right] \left[ b+c+d \atop d \right] \]
\[- \sum_{l_1=0}^{c-1} (-1)^{c-1-l_1} v^{(a-b-l_1+d-1)(l_1-d+1)+(a-b-1)(c-1-l_1)} \left[ a-b-2+c-l_1 \atop a-b-1 \right] \left[ a+c+d \atop l_1 \right] \left[ b+c+d \atop d-1 \right].
\]
If \( c \leq d \), then rearranging gives
\[
g_{(0,0)}^{(c,d)} = (-1)^{c} v^{-d} a-b+b+d+c(a-b-1) \left[ a-b-1+c \atop a-b-1 \right] \left[ b+c+d \atop d \right] \]
\[+ \sum_{k_1=1}^{c} (-1)^{c-k_1} v^{(a-b-k_1+d)(k_1-d)+(c-k_1)(a-b-1)} \left[ a-b-1+c-k_1 \atop a-b-1 \right] \left[ a+c+d \atop k_1 \right] \left[ b+c+d \atop d \right] - \left[ a+c+d \atop k_1-1 \right] \left[ b+c+d \atop d-1 \right].
\]
Since \( a > b \) and \( c \leq d \), \( -d(a-b+d)+c(a-b-1) = (a-b-1)(c-d) - d(1+d) < 0 \) and so the first term is in \( v^{-1}\mathbb{Z}[v^{-1}] \). Since the difference of the product of Gaussian polynomials is in \( v^{-1}\mathbb{Z}[v^{-1}] \), and \( (a-b-k_1+d)(k_1-d) + (c-k_1)(a-b-1) = (a-b-1)(c-d) + (1+d-k_1)(k_1-d) \leq 0 \), this proves \( g_{(0,0)}^{(c,d)} \in v^{-1}\mathbb{Z}[v^{-1}] \) in this case.

We now assume \( c > d \). By rearranging the exponents of \( v \), \( g_{(0,0)}^{(c,d)} \) has the form
\[
g_{(0,0)}^{(c,d)} = v^{-(a-b)(c+d) - c^2-d^2} \sum_{S_1} \left[ b+c+d \atop d-1 \right]. S_1 + v^{2(a-b+c+d-1)-(a-b)(c+d) - c^2-d^2} \sum_{S_2} \left[ b+c+d \atop d-1 \right]. S_2
\]
where
\[
S_1 = \sum_{k_1=0}^{c} (-1)^{c-k_1} v^{(c-k_1)(c+k_1-2d-1)+2c(a-b+d)} \left[ a-b-1+c-k_1 \atop a-b-1 \right] \left[ a+c+d \atop k_1 \right] \]
\[S_2 = \sum_{l_1=0}^{c-1} (-1)^{c-1-l_1} v^{(c-1-l_1)(c+l_1-2d)+2c(a-b+d-1)} \left[ a-b-2+c-l_1 \atop a-b-1 \right] \left[ a+c+d \atop l_1 \right].
\]
Applying \([A.0.1]\) (i.e., Lemma \([7.3]\)) to \( S_1 \) with \( k = a-b, m = a+c, n = d, i = c-k_1, \delta = c \) and to \( S_2 \) with \( k = a-b, m = a+c+1, n = d-1, i = c-1-l_1, \delta = c-1 \) yields...
\[ S_1 = \sum_{t=0}^{d} v^{2t(a+c+d-b-t)} \sum_{c-t}^{b+c} \sum_{d-t}^{d-1} \]

Thus,

\[ g_{(0,0)}^{(c,d)} = v^{-(a-b)(c+d)-c^2-d^2} \sum_{t=0}^{d} v^{2t(a+c+d-b-t)} \sum_{c-t}^{b+c} \sum_{d-t}^{d-1} \]

Changing the running index \( t \in \{0, 1, \ldots, d-1 \} \) to \( t' = t + 1 \in \{1, 2, \ldots, d \} \) in the last sum gives

\[ g_{(0,0)}^{(c,d)} = v^{-(a-b)(c+d)-c^2-d^2} \sum_{t=0}^{d} v^{2t(a+c+d-b-t)} \sum_{c-1-t}^{b+c} \sum_{d-1-t}^{d-1} \]

The first term is clear in \( v^{-1} \mathbb{Z}[v^{-1}] \) since \( a > b \). Now, \( c > d \) implies that

\[- (a-b)(c+d) - c^2 - d^2 + 2t(a+c+d-b-t) \]
\[- \leq - (a-b)(c+d) - c^2 - d^2 + 2d(a+c-b) \]
\[= - (c-d)(a-b+c-d) < 0 \]

for any \( t = 1, 2, \ldots, d \). Hence, \( g_{(0,0)}^{(c,d)} \in v^{-1} \mathbb{Z}[v^{-1}] \).\[\square\]

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