Time-dependent search for neutrino emission from X-ray binaries with the ANTARES telescope

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Abstract. ANTARES is currently the largest neutrino telescope operating in the Northern Hemisphere, aiming at the detection of high-energy neutrinos from astrophysical sources. Neutrino telescopes constantly monitor at least one complete hemisphere of the sky, and are thus well-suited to detect neutrinos produced in transient astrophysical sources. A time-dependent search has been applied to a list of 33 X-ray binaries undergoing high flaring activities in satellite data (RXTE/ASM, MAXI and Swift/BAT) and during hardness transition states in the 2008–2012 period. The background originating from interactions of charged cosmic rays in the Earth’s atmosphere is drastically reduced by requiring a directional and temporal coincidence with astrophysical phenomena. The results of this search are presented together with comparisons between the neutrino flux upper limits and the neutrino flux predictions from astrophysical models. The neutrino flux upper limits resulting from this search limit the jet parameter space for some astrophysical models.

Keywords: neutrino astronomy, X-ray binaries, X-ray telescopes

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1 Introduction

X-ray binaries are binary systems composed of a compact object (neutron star (NS) or stellar mass black hole (BH) candidate) and a companion non-degenerate star. Due to the strong gravitational attraction, matter expelled from the companion is accreted by the compact object. Depending on the mass of the companion star and the process of matter accretion, X-ray binaries are separated into two classes: Low-Mass X-ray Binaries (LMXB) which contain an evolved companion star of spectral class later than B transferring matter to the compact object through Roche lobe overflows; and High-Mass X-ray Binaries (HMXB) consisting of a massive O or B star developing intense stellar winds, a fraction of which is accreted by the compact object. While some of these objects are seen as persistent sources, most of them exhibit occasional outbursts, making them transient sources, in particular in the radio and X-ray domains.

Recent detections of GeV–TeV gamma-ray signals from some X-ray binaries confirm that they can produce outflows containing particles accelerated away from the compact object up to relativistic speeds [1]. At the moment, it is not clear whether the high-energy particle acceleration is a common process occurring in X-ray binaries but observed only in some systems with preferred (geometrical) characteristics with respect to the line of sight, or whether it is powered by a different mechanism at work only in some specific systems.

The theoretical mechanisms of gamma-ray production from X-ray binaries generally assume (very-) high-energy photon emission from the interaction of a relativistic outflow from the compact object with the wind and radiation emitted by the companion star. The outflow can take different shapes. In microquasars [2] the high-energy emission is due to accretion energy released in the form of a collimated relativistic jets, detected in the radio domain through synchrotron emission. On the contrary, in other binary systems, high-energy emission can occur in a wide-angle shocked region, at the interface between pulsar and stellar winds [3]. They are probably the sites of effective acceleration of particles (electrons and/or...
protons) to multi-TeV energies but the nature of the high-energy emission is still unknown, and leptonic or hadronic origin is still debated nowadays [4–6].

Even if a rich variety of binary systems seems to be cosmic accelerators, some major issues are still open: are jets a common feature of X-ray binary systems? What is the particle acceleration mechanism at work in these systems? Is it unique? Constraining the jet composition and its baryonic content will help answering these questions. Indeed, the jet composition should be affected by the outflow-launching processes. For instance, jets powered by an accretion disk are likely to contain baryons [7] while jets which get their power from black hole spin are expected to be purely leptonic [8]. Up to now, a hadronic component has been identified in only two X-ray binaries (SS 433 and 4U 1630-472) [8, 9] while a population of cold baryons present in the relativistic jet of Cyg X-1 has been proposed [10].

Hadronic models of jet interactions with the winds of massive stars were developed these last decades. The dominant hadronic contributions are expected from the photo-hadronic (p-γ) interactions between relativistic protons and synchrotron photons in the jet or coming from external sources [11, 12], and from the hadronic (p-p) interactions between relativistic protons from the jet and thermal protons from the stellar wind [13–16]. In the absence of a jet, neutrinos can be produced through p-p processes between the accelerated protons in the rotation-driven relativistic wind from the young neutron star and the circumstellar disk in the case of Be type stars [17, 18]. The detection of high-energy neutrinos from an X-ray binary system would definitively confirm the presence of relativistic protons in the outflow, and thus further constrain the particle acceleration mechanism.

The ANTARES collaboration completed the construction of a neutrino telescope in the Mediterranean Sea with the connection of its twelfth detector line in May 2008 [19]. The telescope is located 20 km off the Southern coast of France (42°48′N, 6°10′E), at a depth of 2475 m. In the ANTARES telescope, events are primarily detected by observing the Cherenkov light induced by relativistic muons in the darkness of the deep sea. Owing to their low interaction probability, only neutrinos have the ability to cross the Earth. Therefore, an upgoing muon is an unambiguous signature of a neutrino interaction close to the detector. To distinguish astrophysical neutrino events from background events (muons and neutrinos) generated in the atmosphere, energy and direction reconstructions have been used in several searches [20, 21]. To improve the signal-to-noise discrimination, the arrival time information can be used to significantly reduce the effective background [22].

In this paper, the results of a time-dependent search for cosmic neutrino sources using the ANTARES data taken from 2008 to 2012 is presented. This extends a previous ANTARES analysis [23] where only six sources and the first three years of data-taking were considered. It is also complementary to a previous IceCube transient analysis [24] which considered few X-ray binary systems. However, the ANTARES location in the northern hemisphere, and its lower neutrino energy threshold in comparison with IceCube, make it well-suited to study neutrino emission from such galactic sources. Neutrino emission has been searched-for during outburst periods of X-ray binaries characterised by the variability of their soft and hard X-ray flux density [25]. Jet emission, probably linked to particle acceleration and thus potential neutrino emission, usually occurs during periods of high levels of hard X-ray flux density (called hard states) and during transition periods (intermediate states) between a hard state and a soft state. Sections 2 and 3 present the selection of outburst periods selection from X-ray light curves, during hard and intermediate states respectively. Section 4 details the statistical approach used to perform the analysis, while results are provided and discussed in section 5. Conclusions are drawn in section 6.
2 Selection of outburst periods

The time-dependent analysis described in the following sections is applied to a list of X-ray binaries exhibiting outburst periods in their light curves. In the following, particle acceleration is assumed to take place in the XRB and neutrino emission is assumed to be correlated with hard X-ray outbursts. This is generally the case for microquasars exhibiting relativistic jets. Indeed, a correlation is expected between hard X-ray outbursts (usually produced by both synchrotron and inverse Compton mechanisms) and compact jet emission where cosmic ray acceleration may occur [26]. It can be noticed that larger Lorentz factors and potentially larger neutrino emission might be expected during transition states, when transient outbursts are observed. These specific states of microquasars will be treated in section 3.

However, most of the X-ray binaries included in our sample are not classified as microquasars. This might not prevent neutrino emission, in particular during hard X-ray outburst phases. For instance, the LMXB GRO J0422+32 does not present any clear evidence of a relativistic jet although hard X-ray flares are observed [27]. During these outbursts, cosmic rays might be accelerated through magnetic reconnection in the corona (where hard X-ray photons are produced) surrounding the black hole, as suggested by [28]. X-ray binaries containing a magnetized NS do not usually exhibit relativistic ejection of matter. However, as observed in systems such as Cir X-1 [29], transient jets can be produced upon a sufficiently low ($\lesssim 10^8$ G) magnetic field magnitude at the surface of the NS [30], based on a similar accretion/ejection mechanism as microquasars. In supergiant fast X-ray transients (HMXB composed of a NS and exhibiting rapid hard X-ray flux evolution) magnetic field decay could also allow for relativistic particle injection when large clumps of matter are accreted by the NS [31], producing again a potential correlation between hard X-ray flares and particle acceleration.

Finally, when the magnetosphere of an accreting NS penetrates the decretion disk of the compagon star (as observed in HMXB composed of a Be type companion star), intense hard X-ray emission is expected [32]. Meanwhile, in the case of magnetized NS, the magnetosphere may develop electrostatic gaps where protons could be accelerated along the magnetic field lines, thus allowing for neutrino production [33].

Light curves used for the selection of outburst periods are obtained mainly using the Swift/BAT telescope. These data are complemented by those from other instruments: RXTE/ASM and MAXI. A maximum likelihood block (MLB) algorithm [34–36] is used to remove noise from the light curve by iterating over the data points and selecting periods during which data are consistent with a constant flux within statistical errors. This algorithm is applied independently to all the light curves from all the satellites. Depending on the time period and the availability of the different instruments, outbursts are better observed in one apparatus compared to others. As the energy range and the sensitivity of these telescopes are different, it is not easy to combine measurements into a single time-dependent function to describe the light curve. The value of the steady state (i.e. baseline, $BL$) and its fluctuation ($\sigma_{BL}$) are determined with a Gaussian fit of the lower part of the distribution of the flux. The baseline is subtracted from the light curve and the amplitude is converted to a relative amplitude by dividing by $\sigma_{BL}$. Finally, the relative light curves from different instruments

\[1\] http://swift.gsfc.nasa.gov/results/transients.
\[2\] https://heasarc.gsfc.nasa.gov/docs/xte/asm_products.html.
\[3\] http://maxi.riken.jp.
are merged, as can be seen for the sample source 4U 1705-440 in figure 1. This is used to produce the time probability functions for the analysis (see section 4).

The flaring periods are defined from the blocks of the light curve characterised by the MLB algorithm in three main steps. Firstly, seeds are identified by searching for blocks with an amplitude above $BL + 8\sigma_{BL}$. Then, each period is extended forward and backward up to an emission compatible with $BL + 1\sigma_{BL}$. A delay of 0.5 days is added before and after the flare in order to take into account that the precise time of the flare is not known (one-day binned light curve) and the potential delay between the X-ray and neutrino emissions. Finally, spurious flares are discarded if they are not visible by at least one other instrument. The final list includes 33 X-ray binaries: 1 HMXB (BH), 11 HMXB (NS), 8 HMXB (BH candidate), 10 LMXB (NS) and 3 XRB (BH candidate), as reported in table 1. Table 2 summarizes for each source the Modified Julian Date of start-stop of the flare, and the satellite that provided the information.
| Name               | Class       | RA [°] | Dec [°]   |
|-------------------|-------------|--------|----------|
| Cyg X-1           | HMXB (BH)   | 230.170 | −57.167  |
| 1A 0535+262       | HMXB (NS)   | 84.727  | 26.316   |
| 1A 1118−61        | HMXB (NS)   | 170.238 | −61.917  |
| Ginga 1843+00     | HMXB (NS)   | 281.404 | 0.863    |
| GS 0834−430       | HMXB (NS)   | 128.979 | −43.185  |
| GX 304−1          | HMXB (NS)   | 195.321 | −61.602  |
| H 1417−624        | HMXB (NS)   | 215.303 | −62.698  |
| MXB 0656−072      | HMXB (NS)   | 104.572 | −7.210   |
| XTE J1946+274     | HMXB (NS)   | 296.414 | 27.365   |
| GX 1+4            | HMXB (NS)   | 263.009 | −24.746  |
| MAXI J1409−619    | HMXB (NS)   | 212.011 | −61.984  |
| GRO J1008−57      | HMXB (NS)   | 152.433 | −58.295  |
| GX 339−4          | LMXB (BHC)  | 255.706 | −48.784  |
| 4U 1630−472       | LMXB (BHC)  | 248.504 | −47.393  |
| IGR J17091−3624   | LMXB (BHC)  | 257.282 | −36.407  |
| IGR J17464−3213   | LMXB (BHC)  | 266.565 | −32.234  |
| MAXI J1659−152    | LMXB (BHC)  | 254.757 | −15.258  |
| SWIFT J1910.2−0546| LMXB (BHC)  | 287.595 | −5.799   |
| XTE J1752−223     | LMXB (BHC)  | 268.063 | −22.342  |
| SWIFT J1539.2−6227| LMXB (BHC)  | 234.800 | −62.467  |
| 4U 1954+31        | LMXB (NS)   | 298.926 | 32.097   |
| Aql X−1           | LMXB (NS)   | 287.817 | 0.585    |
| Cir X−1           | LMXB (NS)   | 230.170 | −57.167  |
| EX O1745−248      | LMXB (NS)   | 267.022 | −24.780  |
| H 1608−522        | LMXB (NS)   | 243.179 | −52.423  |
| SAX J1808.4−3658  | LMXB (NS)   | 272.115 | −36.977  |
| XTE J1810−189     | LMXB (NS)   | 272.586 | −19.070  |
| 4U 1636−536       | LMXB (NS)   | 250.231 | −53.751  |
| 4U 1705−440       | LMXB (NS)   | 257.225 | −44.102  |
| IGR J17473−2721   | LMXB (NS)   | 266.825 | −27.344  |
| MAXI J1836−194    | XRB (BHC)   | 278.931 | −19.320  |
| XTE J1652−453     | XRB (BHC)   | 253.085 | −45.344  |
| SWIFT J1842.5−1124| XRB (BHC)   | 280.573 | −11.418  |

**Table 1.** List of 33 X-ray binaries with significant flares selected for this analysis.
| Name                | Flaring periods (MJD)                                      |
|---------------------|-----------------------------------------------------------|
| Cyg X-1             | 54715–55468 (S), 55662–55804 (S), 55888–55947 (S), 56011–56098 (S) |
| 1A 0535+262         | 54719–54731 (S), 54834–54845 (S), 54936–54954 (S), 55034–55070 (S), 55160–55204 (S) |
|                     | 55272–55313 (S), 55370–55425 (S), 55479–55503 (S), 55602–55647 (S) |
| 1A 1118–61          | 54827–54907 (S)                                          |
| Ginga 1843+00       | 54897–54928 (S)                                          |
| GS 0834+430         | 56095–56180 (S)                                          |
| GX 304-1            | 55146–55165 (S), 55276–55301 (S), 55411–55439 (S), 55534–55566 (S), 55672–55703 (S) |
|                     | 55802–55835 (S), 55932–55973 (S), 56062–56119 (S), 56186–56203 (S) |
| H 1417–624          | 55116–55222 (S)                                          |
| MXB 0656–072        | 54724–54762 (S)                                          |
| XTE J1946+274       | 55351–55411 (S), 55442–55479 (S), 55510–55561 (S), 55575–55621 (S), 55640–55688 (S) |
| GX 1+4              | 54800–54854 (R), 54948–54953 (S), 54970–55088 (S), 55113–55206 (S) |
|                     | 55223–55264 (S), 55425–55496 (S), 55509–55580 (S), 55619–55652 (S), 55707–55778 (S) |
| MAXI J1409-619      | 55487–55523 (S)                                          |
| GRO J1008-57        | 54910–54928 (S), 55156–55175 (S), 55408–55427 (S) |
|                     | 55569–55678 (S), 55905–55929 (S), 56152–56291 (S) |
| GX 339-4            | 54880–55014 (S), 55183–55337 (S), 55557–55601 (S) |
| 4U 1630-472         | 55103–55285 (R), 55301–55331 (R), 55919–55930 (S), 56041–56106 (S), 56176–56221 (S) |
| IGR J17091-3624     | 55588–55649 (S)                                          |
| IGR J17464-3213     | 54732–54803 (S), 54972–55036 (S), 55175–55191 (S), 55411–55468 (S) |
|                     | 55657–55711 (S), 55912–55972 (S), 56192–56234 (S) |
| MAXI J1659-152      | 55464–55525 (S)                                          |
| SWIFT J1910.2-0546  | 55946–55951 (M), 56093–56181 (S), 56211–56291 (S) |
| XTE J1752-223       | 55127–55367 (S)                                          |
| SWIFT J1539.2-6227  | 54793–54838 (S)                                          |
| 4U 1954+31          | 54772–54791 (S), 55246–55257 (S), 55363–55400 (S) |
|                     | 56159–56171 (S), 56189–56199 (S), 56288–56291 (S) |
| Aql X-1             | 54001–54922 (S), 55140–55276 (S), 55390–55485 (S), 55844–55911 (S) |
| Cir X-1             | 55316–55385 (M), 55576–55649 (M), 55743–55777 (M), 55778–55803 (M) |
|                     | 55840–55876 (M), 55895–55951 (M), 56150–56173 (M) |
| EX O1745-248        | 55477–55541 (S), 56119–56145 (S)                         |
| H 1608–522          | 54715–54786 (S), 54860–54894 (S), 54900–54921 (S), 54930–54978 (S) |
|                     | 54998–55089 (S), 55257–55281 (M), 55643–55903 (S), 56208–56253 (M) |
| SAX J1808.4-3658    | 54731–54746 (S), 55866–55885 (S)                         |
| XTE J1810-189       | 56271–56291 (S)                                          |
| 4U 1636-536         | 56114–56160 (S)                                          |
| 4U 1705-440         | 54715–54780 (R), 54919–55060 (R), 55410–55601 (M) |
|                     | 55713–55921 (M), 56105–56291 (M)                         |
| IGR J17473-2721     | 54715–54734 (S)                                          |
| MAXI J1836-194      | 55222–55273 (R), 55802–55884 (S)                         |
| XTE J1652-453       | 55011–55076 (S)                                          |
| SWIFT J1842.5-1124  | 54715–54858 (S)                                          |

**Table 2.** List of the studied flaring periods and the satellite used (R: Rossi; S: Swift; M: MAXI).
Table 3. List of 8 X-ray binaries with hardness transition states reported in *Astronomer’s Telegram*.

| Name          | #ATel  | Transition State Periods [MJD] (days)                      |
|---------------|--------|-----------------------------------------------------------|
| GX 339-4      | 2577   | 55303–55305 (2)                                            |
|               | 2593   | 55308–55309 (1)                                            |
|               | 3117   | 55315–55316 (1)                                            |
|               | 3191   | 55318–55319 (1)                                            |
|               |        | 55580–55581 (1)                                            |
|               |        | 55561–55617 (1)                                            |
| H 1608-522    | 2072   | 54960–54976 (16)                                           |
| IGR J17091-3624 | 3179  | 55611–55612 (1)                                            |
|               | 3196   | 55962–55964 (2)                                            |
| IGR J17464-3213 | 1804  | 54752–54759 (7)                                            |
|               | 1813   | 55671–55672 (1)                                            |
|               | 3301   | 55925–55927 (2)                                            |
|               | 3842   |                                                            |
| MAXI J1659-152 | 2951  | 55481–55487 (6)                                            |
|               | 2999   | 55500–55502 (2)                                            |
| SWIFT J1910.2-0546 | 4139  | 56094–56095 (1)                                            |
|               | 4273   | 56131–56133 (2)                                            |
| XTE J1652-453 | 2219   | 55010–55085 (75)                                           |
| XTE J1752-223 | 2391   | 55219–55220 (1)                                            |
|               | 2518   | 55492–55493 (1)                                            |

### 3 Selection of transition state periods

Spectral transition states of XRB are difficult to define: there are no regular observations with X-ray satellites, and statistics are very low due to the inaccurate measurement of the hardness ratio, defined as the ratio of counts in different X-ray wavebands. Considering the difficulties of an extensive coverage of the transition states of XRB, the selection of the these transition periods relies on the alerts reported in *Astronomer’s Telegram*[^4]. The selected 19 alerts in the period 2008–2012, distributed among 8 sources, and the corresponding transition periods, are shown in table 3. Considering the lack of information on the time variation of flux, a constant emission during each of the reported dates has been assumed.

### 4 Time-dependent analysis

The ANTARES data collected between 2008 and 2012, corresponding to 1044 days of lifetime, are analysed to search for neutrino events around the selected sources, in coincidence with the time periods defined in the previous sections. The statistical method adopted to infer the presence of a signal on top of the atmospheric neutrino background, or alternatively set upper limits on the neutrino flux is an unbinned method based on an extended maximum likelihood ratio test statistic. It has been previously used to search for neutrinos from gamma-ray flaring blazars [37]. The likelihood, $\mathcal{L}$, is defined as:

$$
\ln \mathcal{L}(N_S) = \left( \sum_{i=1}^{N} \ln [N_S S_i + N_B B_i] \right) - [N_S + N_B] \tag{4.1}
$$

where $S_i$ and $B_i$ are the probabilities for signal and background for an event $i$, respectively, and $N_S$ (not known) and $N_B$ (known) are the number of expected signal and background events in the data sample. $N$ is the total number of events in the considered data sample. To discriminate the signal-like events from background, these probabilities are described by

[^4]: [http://www.astronomerstelegram.org](http://www.astronomerstelegram.org)
the product of three components related to the direction, energy, and timing of each event. For an event \( i \), the signal probability is:

\[
S_i = S_{\text{space}}(\Psi_i) \cdot S_{\text{energy}}(dE/dX_i) \cdot S_{\text{time}}(t_i + t_{\text{lag}}). \tag{4.2}
\]

Here, \( S_{\text{space}} \) is a parametrisation of the point spread function, i.e., \( S_{\text{space}}(\Psi_i) \) is the probability to reconstruct an event \( i \) at an angular distance \( \Psi_i \) from the true source location. The energy PDF, \( S_{\text{energy}} \), is the normalised distribution of the muon energy estimator, \( dE/dX \), of an event according to the studied energy spectrum. To cover the majority of the range allowed by the models \([12, 38]\) accessible to the ANTARES sensitivity, three neutrino-energy differential spectra are tested in this analysis: \( E^{-2}, E^{-2} \exp(-E/100 \text{ TeV}) \) and \( E^{-2} \exp(-E/10 \text{ TeV}) \), where \( E \) is the neutrino energy. The shape of the time PDF, \( S_{\text{time}} \), for the signal event is extracted directly from the gamma-ray light curve parametrisation, as described in the previous sections, assuming proportionality between gamma-ray and neutrino fluxes. A possible lag of up to \( \pm 5 \) days has been introduced in the likelihood to allow for small lags in the proportionality. This corresponds to a possible shift of the entire time PDF. The lag parameter is fitted in the likelihood maximisation together with the number of fitted signal events in the data. The background probability for an event \( i \) is:

\[
B_i = B_{\text{space}}(\delta_i) \cdot B_{\text{energy}}(dE/dX_i) \cdot B_{\text{time}}(t_i) \tag{4.3}
\]

where the directional PDF, \( B_{\text{space}} \), the energy PDF, \( B_{\text{energy}} \), and the time PDF, \( B_{\text{time}} \), for the background are derived from data using, respectively, the observed declination, \( \delta_i \), distribution of selected events in the sample, the measured distribution of the energy estimator, and the observed time distribution of all the reconstructed muons.

The goal of the unbinned search is to determine, in a given direction in the sky and at a given time, the relative contribution of each component, and to calculate the probability to have a signal above a given background model. This is done via the test statistic, \( \lambda \), defined as the ratio of the probability for the hypothesis of background plus signal over the probability of only background:

\[
\lambda = \sum_{i=1}^{N} \ln \frac{L(N_S)}{L(N_S = 0)}. \tag{4.4}
\]

The evaluation of the test statistic is performed by generating pseudo-experiments simulating background and signal in a \( 30^\circ \) cone around the considered source according to the background-only and background plus signal hypotheses. The performance of the time-dependent analysis is computed using toy experiments. For time ranges characteristic of flaring activity, the time-dependent search presented here improves the discovery potential by on-average a factor 2–3 with respect to a standard time-integrated point-source search \([20]\), under the assumption that the neutrino emission is correlated with the X-ray flaring activity.

5 Results and discussions

5.1 Results

Only one source exhibited a significant signal excess during an X-ray flare: GX 1+4, with 287 days of flare duration included in the analysis, shows a p-value of 4.1% with a fitted signal of 0.7 events and a lag of \(-4\) days, which is obtained with the 100 TeV cutoff energy.
spectrum. This result is due to one (three) events in a cone of 1 (3) degrees in coincidence with X-ray outbursts detected by RXTE/ASM and Swift/BAT. Figure 2 shows the light curve of GX 1+4 with the time of the neutrino candidates, the estimated energy distribution, and the angular distribution of the events around the position of this source. The post-trial probability, computed by taking into account the 33 searches, is 72%, and is thus compatible with background fluctuations. In the hardness transition state analysis, no significant excess has been found, with a 77% post-trial probability for the full analysis.

In the absence of a discovery, upper limits on the neutrino fluence, $F_\nu$, the energy flux, $F$, and the differential flux normalisation, $\phi_0$, at 90% confidence level are computed using 5–95% of the energy range as:

$$F_\nu = \Delta t \cdot F = \Delta t \int_{E_{\text{min}}}^{E_{\text{max}}} dE \cdot E \cdot \phi_0 \cdot S(E) = \Delta t \cdot \phi_0 \cdot I(E)$$

(5.1)
Figure 3. Upper limits at 90\% C.L. on the neutrino fluence for the 33 XRB with outburst periods (left) and for the 8 XRB with transition state periods (right) in the case of $E^{-2}$ (green triangles), $E^{-2} \exp(-E/100\,\text{TeV})$ (red triangles) and $E^{-2} \exp(-E/10\,\text{TeV})$ (blue triangles) neutrino energy spectra.

where $S(E)$ is the dimensionless neutrino spectrum, e.g. for the $E^{-2}$ spectrum, $dN/dE = \phi_0 \cdot S(E) = \phi_0 \cdot (E/\text{GeV})^{-2}$. The limits are calculated according to the classical (frequentist) method for upper limits [39] and are summarised in table 4. Figure 3 displays these upper limits. Systematic uncertainties of 15\% on the angular resolution and 15\% on the detector acceptance have been included in the upper limit calculations [20].

In the following, the fluence upper limits derived above are discussed in the light of theoretical models of neutrino production in X-ray binaries. Microquasars are considered first, followed by X-ray binaries that do not exhibit relativistic jets.

5.2 Discussion on the microquasar case

Neutrinos can be produced in microquasars either in p-$\gamma$ [12, 40] or p-p interactions [13, 14], as discussed in the introduction. However, both p-$\gamma$ and p-p models usually assume that the magnetic energy is in equipartition with the kinetic energy in the jets, leading to large magnetic field intensities. In this context, Reynoso et al. [42] find that pions and muons produced close to the compact object can be strongly affected by synchrotron losses, decreasing significantly the expected high-energy neutrino flux. However, in high-mass microquasars, where the companion star present a strong wind, the interaction of energetic protons in the jet with matter of dense clumps of the wind could produce detectable neutrino fluxes [42], but still below the current sensitivity of ANTARES (see figure 11 of [42]).

Furthermore, Bednarek [43] considers a binary system composed of a compact object orbiting a Wolf-Rayet star, in which nuclei accelerated in the jet efficiently lose neutrons as a result of photo-disintegration process. Consequently, they claims that these neutrons can produce neutrinos in collisions with matter from the accretion disk and/or the massive companion star [43]. However, this model principally applies to Cyg X-3 which is not covered in the present analysis.

Recently, it was shown that the non-thermal emission of Cyg X-1 can be explained by a static corona model which is supported by magnetic pressure, and does not imply the presence
| Source         | LT   | $E^{-2}$ | $E^{-2} \cdot \exp(-E/100\text{ TeV})$ | $E^{-2} \cdot \exp(-E/10\text{ TeV})$ |
|---------------|------|---------|---------------------------------|---------------------------------|
|               |      | $I(E)$  | $\phi_0$ | $F$ | $\mathcal{F}_\nu$ | $I(E)$  | $\phi_0$ | $F$ | $\mathcal{F}_\nu$ | $I(E)$  | $\phi_0$ | $F$ | $\mathcal{F}_\nu$ |
| 1A 0535+262   | 66   | 7.0     | 2.1     | 1.5 | 8.4 | 3.4 | 5.4 | 1.8 | 10 | 2.3 | 1.8 | 4.1 | 24 |
| 1A 1118-61    | 55   | 6.7     | 2.5     | 1.7 | 8.1 | 3.8 | 4.7 | 1.8 | 8.5 | 2.6 | 1.2 | 3.1 | 15 |
| 4U 1630-472   | 144  | 7.1     | 1.0     | 0.7 | 9.0 | 3.9 | 1.9 | 0.74 | 9.2 | 2.5 | 0.60 | 1.5 | 19 |
| 4U 1636-536   | 43   | 7.0     | 4.2     | 2.9 | 11 | 3.9 | 7.1 | 2.7 | 10 | 2.6 | 1.9 | 4.9 | 18 |
| 4U 1954+31    | 32   | 7.2     | 3.9     | 2.8 | 7.7 | 3.3 | 12 | 3.8 | 10 | 2.2 | 4.1 | 9.1 | 25 |
| 4U +1705-440  | 634  | 7.0     | 0.27    | 0.2 | 10 | 3.7 | 0.57 | 0.21 | 12 | 2.5 | 0.16 | 0.41 | 23 |
| AqlX-1        | 131  | 7.0     | 1.1     | 0.7 | 8.4 | 3.7 | 2.2 | 0.81 | 9.2 | 2.5 | 0.69 | 1.7 | 19 |
| Cir X-1       | 232  | 6.8     | 0.89    | 0.6 | 12 | 3.8 | 1.6 | 0.62 | 12 | 2.5 | 0.48 | 1.2 | 24 |
| Cyg X-1       | 228  | 7.0     | 1.2     | 0.8 | 16 | 3.2 | 3.1 | 1.0 | 20 | 2.2 | 0.99 | 2.2 | 43 |
| EX O1745-248  | 55   | 7.1     | 2.5     | 1.8 | 8.5 | 3.8 | 4.8 | 1.8 | 8.7 | 2.5 | 1.3 | 3.4 | 16 |
| Ginga 1843+00 | 5    | 6.9     | 28      | 19 | 8.3 | 3.6 | 58 | 21 | 9.1 | 2.5 | 14 | 36 | 16 |
| GRO J1008-57  | 146  | 6.8     | 0.95    | 0.6 | 8.1 | 3.9 | 1.6 | 0.63 | 7.9 | 2.6 | 0.45 | 1.2 | 15 |
| GS 0834-430   | 74   | 7.2     | 3.0     | 2.1 | 14 | 3.9 | 5.7 | 2.2 | 14 | 2.6 | 1.6 | 4.0 | 25 |
| GX 1+4        | 287  | 7.1     | 1.1     | 0.8 | 19 | 3.8 | 2.3 | 0.85 | 21 | 2.5 | 0.70 | 1.7 | 43 |
| GX 304-1      | 200  | 6.7     | 0.86    | 0.6 | 10 | 3.9 | 1.5 | 0.58 | 9.9 | 2.5 | 0.48 | 1.2 | 21 |
| GX 339-4      | 184  | 7.1     | 0.45    | 0.3 | 5.0 | 3.8 | 0.93 | 0.35 | 5.6 | 2.5 | 0.25 | 0.63 | 10 |
| H 1417-624    | 64   | 6.6     | 2.6     | 1.7 | 9.3 | 3.8 | 4.7 | 1.8 | 9.7 | 2.5 | 1.3 | 3.2 | 17 |
| H 1608-522    | 487  | 7.0     | 0.33    | 0.2 | 10 | 3.8 | 0.60 | 0.23 | 9.7 | 2.5 | 0.19 | 0.47 | 20 |
| IGR J17091-3624 | 34   | 7.2     | 6.1     | 4.4 | 13 | 3.8 | 12 | 4.7 | 14 | 2.5 | 3.7 | 9.3 | 28 |
| IGR J17464-3213 | 231  | 7.1     | 0.70    | 0.5 | 10 | 3.8 | 1.3 | 0.50 | 10 | 2.5 | 0.41 | 1.0 | 20 |
| IGR J17473-2721 | 6    | 7.2     | 11      | 8.2 | 3.9 | 3.8 | 21 | 8.1 | 3.9 | 2.5 | 6.2 | 15 | 7.5 |
| MAXI J1409-619 | 24   | 6.7     | 7.1     | 4.7 | 10 | 3.9 | 12 | 4.8 | 9.7 | 2.6 | 3.0 | 7.7 | 16 |
| MAXI J1659-152 | 31   | 7.0     | 4.4     | 3.1 | 8.3 | 3.7 | 9.3 | 3.5 | 9.3 | 2.5 | 3.0 | 7.5 | 20 |
| MAXI J1836-194 | 76   | 7.0     | 1.7     | 1.2 | 8.1 | 3.8 | 3.1 | 1.2 | 7.7 | 2.5 | 0.92 | 2.3 | 15 |
| Source            | LT (days) | I(E) (GeV) | \(\phi_0\) (GeV cm\(^{-2}\) s\(^{-1}\)) | F (GeV cm\(^{-2}\) s\(^{-1}\)) | \(\Phi\) (GeV cm\(^{-2}\) s) |
|-------------------|-----------|------------|--------------------------------|-----------------|-------------------|
| MXB 0656-072      | 18        | 6.9        | 6.8                           | 4.7             | 7.4               | 3.7               | 13               | 4.7               | 7.3               | 2.5               | 3.9               | 9.5               | 15               |
| SAX J1808.4-3658  | 28        | 7.2        | 6.1                           | 4.4             | 11                | 3.8               | 13               | 5.0               | 12                | 2.5               | 3.5               | 8.9               | 22               |
| SWIFT J1539.2-6227| 23        | 6.6        | 5.4                           | 3.6             | 7.1               | 3.9               | 9.2               | 3.6               | 7.0               | 2.6               | 2.4               | 6.1               | 12               |
| SWIFT J1842.5-1124| 55        | 6.9        | 2.2                           | 1.5             | 7.2               | 3.8               | 4.0               | 1.5               | 7.2               | 2.5               | 1.2               | 3.0               | 14               |
| SWIFT J1910.2-0546| 73        | 7.0        | 1.5                           | 1.1             | 6.7               | 3.8               | 2.9               | 1.1               | 6.9               | 2.5               | 0.86              | 2.2               | 14               |
| XTE J1652-453     | 40        | 7.2        | 5.7                           | 4.1             | 14                | 3.8               | 9.8               | 3.7               | 13                | 2.6               | 2.8               | 7.3               | 25               |
| XTE J1752-223     | 78        | 7.1        | 2.0                           | 1.4             | 10                | 3.8               | 4.4               | 1.7               | 11                | 2.5               | 1.2               | 3.0               | 20               |
| XTE J1810-189     | 5         | 7.1        | 30                            | 21              | 10                | 3.9               | 51                | 20                | 8.9               | 2.6               | 15                | 39                | 17               |
| XTE J1946+274     | 73        | 7.0        | 1.7                           | 1.2             | 7.7               | 3.4               | 4.1               | 1.4               | 8.7               | 2.2               | 1.6               | 3.5               | 22               |

| Source            | LT (days) | I(E) (GeV) | \(\phi_0\) (GeV cm\(^{-2}\) s\(^{-1}\)) | F (GeV cm\(^{-2}\) s\(^{-1}\)) | \(\Phi\) (GeV cm\(^{-2}\) s) |
|-------------------|-----------|------------|--------------------------------|-----------------|-------------------|
| GX 339-4 (TS)     | 3         | 7.2        | 100                           | 75              | 18                | 4.0               | 190              | 75               | 18                | 2.6               | 53                | 140               | 33               |
| H 1608-522 (TS)   | 10        | 7.1        | 27                            | 19              | 17                | 3.9               | 58               | 23               | 20                | 2.6               | 18                | 48                | 42               |
| IGRJ 17091-3624 (TS) | 2      | 7.3        | 160                           | 120             | 23                | 3.9               | 330              | 130              | 25                | 2.6               | 92                | 240               | 46               |
| IGRJ 17464-3213 (TS) | 8      | 7.1        | 19                            | 13              | 9.4               | 3.8               | 32               | 12               | 8.7               | 2.6               | 8.2               | 21                | 15               |
| MAXI J1659-152 (TS) | 4      | 7.1        | 56                            | 40              | 15                | 3.8               | 120              | 44               | 17                | 2.5               | 36                | 91                | 35               |
| SWIFT J1910.2-0546 (TS) | 2  | 7.1        | 170                           | 120             | 18                | 3.8               | 370              | 140              | 20                | 2.5               | 98                | 250               | 36               |
| XTE J1652-453 (TS) | 49        | 7.1        | 3.8                           | 2.7             | 11                | 3.8               | 8.0              | 3.1              | 13                | 2.5               | 2.4               | 6.2               | 26               |
| XTE J1752-223 (TS) | 3         | 7.0        | 140                           | 97              | 22                | 3.8               | 270              | 100              | 23                | 2.6               | 68                | 170               | 39               |

Units:

\(\text{[LT]} = \text{days}\)  \quad \text{[I(E)] = GeV}\)  \quad \text{[\(\phi_0\)] = GeV cm\(^{-2}\) s\(^{-1}\)}  \quad \text{[F] = GeV cm\(^{-2}\) s\(^{-1}\)}  \quad \text{[\(\Phi\)] = GeV cm\(^{-2}\) s}

Table 4. Table of upper limits at 90% C.L. during X-ray flares and hardness transition states (TS) analyses. For each source is shown the lifetime, LT, and for each spectra the energy integral, I(E), the differential flux normalisation, \(\phi_0\), the mean energy flux, F and the fluence, \(\Phi\).
of a relativistic outflow [44]. Upon this model, the neutrino emission driven by the injection of relativistic particles into that strongly magnetized corona was studied [16]. Their predictions are shown in figure 4 and compared to the ANTARES upper limits. Unfortunately, the location of Cyg X-1 in the Northern hemisphere, does not enable to further constrain this model yet.

U.L. imposed by ANTARES allow to constrain the most favorable hadronic models for microquasars. Hereafter, the neutrino energy flux predictions, \( F_{\text{pred}} \), has been computed for seven microquasars according to the photohadronic model [12], based on a previous work Levinson & Waxman [11]. Since they are less stringent, the energy flux upper limits of the transition states are not discussed in the following. Thus, in the following, only the neutrino energy flux upper limits related to the hard state periods are considered. Using the latest measurements of their distance and of the jet parameters, the model predicts the neutrino energy flux based on the radio luminosity of the jets observed in radio during flares. The derived neutrino energy flux depends on the fraction of jet kinetic energy, \( L_{\text{jet}} \), converted respectively to relativistic electrons and magnetic field, \( \eta_e \), and protons \( \eta_p \), and the fraction of proton energy converted into pions, \( f_\pi \), which depends in turn on the energy to which protons are accelerated. Resolved and unresolved sources are considered separately. In the following, resolved sources refer to microquasar jets resolved by radio interferometry which enables the physical parameters of the jet to be probed (table 5).

For resolved sources, the neutrino energy flux is estimated from the radio flux density, \( S_f \), at frequency \( f \), the distance of the source, \( d \), the size of the emitting region, \( l \), the jet Lorentz factor, \( \Gamma \), the jet velocity, \( \beta \), the angle, \( \theta \), between the line of sight and the jet, and the jet opening angle, \( \psi \). The ratio of the minimum and maximum electron Lorentz factors, respectively \( \gamma_{\min} \) and \( \gamma_{\max} \), is assumed to be equal to 100, while \( \psi \) is taken equal to

\[
E^2 \phi_{\nu} (\text{GeV cm}^{-2} \text{s}^{-1})
\]

\[
E_\nu (\text{GeV})
\]

**Figure 4.** Upper limits at 90% C.L. on the neutrino energy flux obtained in this analysis in the case of \( E^{-2} \), \( E^{-2} \exp(-E/100 \text{TeV}) \) and \( E^{-2} \exp(-E/10 \text{TeV}) \) neutrino energy spectra compared with the expectations [16] for the microquasar Cyg X-1 at different times after the rise of the neutrino flare.
Figure 5. Comparison of the energy flux upper limit at 90% C.L. provided by ANTARES (red dashed line) with the predictions [12] as a function of the jet velocity, $\beta$: Cyg X-1 (top left), Cir X-1 with jet parameters [29] (top right), Cir X-1 with jet parameters [48] (bottom left) and MAXI J1659-152 (bottom right).

The resulting predictions are compared with the upper limits obtained with ANTARES data under the hypothesis of a cutoff at 100 TeV in the neutrino flux, to account for limits in the acceleration process included in the model [12]. As an example, figure 5 shows how the predicted flux compares with this result as a function of the jet parameter $\beta$ for resolved microquasars Cyg X-1, Cir X-1 and MAXI J1659-152.\textsuperscript{5} Comparing the predicted flux and the ANTARES neutrino energy flux upper limits, upper limits at 90% C.L. on $\eta_\nu/\eta_\pi$ are set. These limits have been derived taking into account the discrepancy between Lorentz factors reported in radio observations, and uncertainties on the opening angle of the jet, the distance

\textsuperscript{5}The energy flux upper limit obtained for H 1743-322 is around 2 orders of magnitude higher than the expectations [12]. Thus, this source is not included in figure 5.
of the source, and on the inclination angle between the line of sight and the jet. Results are
given for Cyg X-1, Cir X-1 and MAXI J1659-152 in figure 5 and in table 5.

However, the potential variability of the Lorentz factor during a burst and between
the periods of activity of the source are not taken into account in this calculation. Thus,
constraints on baryon loading may have different implications: the proton component in the
jet can be negligible in comparison with the electromagnetic component, the proton energy
fraction converted to pions can be less significant than the values considered [12], and/or the
jet Lorentz factor is lower than the constraints set by radio observations.

For unresolved sources, the jet kinetic power is evaluated from the jet synchrotron
luminosity derived from the flux density, \( S_{f_{\text{break}}} \), at the frequency break, \( f_{\text{break}} \), between
optically thick and optically thin radio emission, and the spectral index, \( \alpha_R \) [12]. These
values are reported in table 6. When no spectral index value is provided in the literature,
\( \alpha_R = 0 \) is assumed as given by the standard jet radio emission theory [46]. Again, the
neutrino energy flux is linearly dependent on \( f_\pi \) and \( \eta_e/\eta_p \). The predicted neutrino energy
flux \( \eta_e/\eta_p F_{\text{pred}} \) and the upper limits on \( \eta_p/\eta_e \) are given in table 6. The results for both
resolved and unresolved sources are summarised in figure 6.

In [47], the authors have provided a calculation of the high-energy neutrino emission
from GX 339-4 in the hypothesis that the primary spectrum of the injected particles in the
jets has spectral indexes \(-1.8 > \alpha > -2.0\) and that the ratio between proton and electron
energy is equal to 1 and 100 (figure 7). The model with a ratio \( \eta_p/\eta_e \) equal to 100 is excluded
by the present limit.

5.3 Discussion on the case of X-ray binaries without relativistic jet

As stated in section 3, a large part of the known sample of galactic X-ray binaries does
not exhibit relativistic jets but neutrino production may take place though. For example,
significant potential drops can develop into the magnetosphere of an accreting neutron star
as the one hosted by 1A 0535+26. Protons, accelerated in these gaps to energies greater than
100 TeV, can impact onto the accretion disk, finally producing high-energy neutrinos under
specific conditions of disk density [33]. As seen in figure 8, the current upper limits do not
enable to challenge this model which predicts a very low neutrino flux above 1 TeV.

Furthermore, Bednarek [49] has considered a photo-hadronic emission model in which
hadrons are accelerated within the inner pulsar rotating magnetosphere. Hadrons conse-
quently produce gamma-rays and neutrinos in collision with thermal radiation from hot
spots on the neutron star surface. Although ANTARES cannot confirm this model yet, it
might be constrained by kilometer-scale detectors such as KM3NeT [50].

6 Conclusion

This paper discusses the time-dependent search for neutrinos from X-ray binaries using the
data taken with the full ANTARES detector between 2008 and 2012. This search has been
applied to a list of 33 XRB sources, 8 of them during hardness transition periods. The
search did not result in a statistically significant excess above the expected background from
atmospheric neutrino and muon events. The most significant correlation during X-ray flares
is found for the source GX 1+4, for which 3 neutrino candidate events were detected in
time/spatial coincidence with X-ray emission. However, the post-trial probability is 72%,
thus compatible with background fluctuations. A comparison with predictions from several
models shows that for some sources, the upper limits start to constrain the parameter space

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### Table 5.

| Source            | $d$ (kpc) | $f$ (GHz) | $S_f$ (mJy) | $l$ (10^{15} cm) | $\psi$ (deg) | $\theta$ (deg) | $\Gamma$ | $\eta_\ell/\eta_p F_{\text{pred}}$ (erg s^{-1} cm^{-2}) | $F$ U.L. (erg s^{-1} cm^{-2}) | $\eta_p/\eta_\ell$ U.L. | Ref. |
|-------------------|-----------|-----------|------------|-----------------|-------------|-------------|--------|------------------------------------------------|-----------------------------|---------------------------|------|
| Cyg X-1          | 1.8^{+0.56}_{-0.36} | 8.4       | 3.1        | 0.404           | < 2         | 20–70       | > 1.6  | 8.0 $10^{-12}$–1.3 $10^{-10}$                   | 1.6 $10^{-9}$               | 200           | [52] |
| Cir X-1          | 7.8 - 10.5 | 8.4       | 104        | 2.3             | < 20        | 0–90        | > 1    | 1.8 $10^{-11}$–1.9 $10^{-8}$                   | 1.0 $10^{-9}$               | 56            | [29] |
| Cir X-1          | 9.4^{+0.8}_{-1.0}   | 8.4       | 104        | 2.8             | < 20        | < 3         | > 22   | 8.2 $10^{-9}$–1.0 $10^{-5}$                   | 1.0 $10^{-9}$               | 0.1           | [48] |
| H1743-322        | 8.5^{+0.8}_{-0.8}   | 8.4       | 6.6        | 1.72            | < 20        | 75^{+3}_{-3} | 1.02–1.63 | 1.6 $10^{-12}$–2.2 $10^{-12}$                 | 8.5 $10^{-10}$              | 531           | [29] |
| MAXI J1659-152   | 7^{+5}_{-3}         | 5.0       | 7.3        | 0.31            | < 20        | 0–90        | > 1    | 6.3 $10^{-13}$–8.2 $10^{-9}$                 | 5.2 $10^{-9}$               | 8254          | [54] |

Table 5. List of jet parameters and neutrino energy flux expected [12] for resolved microquasars, upper limits at 90% C.L. on the neutrino energy fluxes given by ANTARES ($F$) for a $E^{-2}$exp($-\sqrt{E/100}$ TeV) neutrino energy spectra, and upper limits on $\eta_p/\eta_\ell$ resulting from the ANTARES upper limits compared to the expectations [12].

### Table 6.

| Source name       | $\alpha_R$ | log($f_{\text{break}}$) (log(GHz)) | $S_{f_{\text{break}}}$ (mJy) | $\eta_\ell/\eta_p F_{\text{pred}}$ (erg s^{-1} cm^{-2}) | $F$ U.L. (erg s^{-1} cm^{-2}) | $\eta_p/\eta_\ell$ U.L. |
|-------------------|-------------|-----------------------------------|-----------------------------|------------------------------------------------|-------------------------------|---------------------------|
| GX 339-4          | 0.08        | 14.26^{+0.12}_{-0.12}             | 23^{+18}_{-18}              | 3.99 $10^{-12}$                                | 5.0 $10^{-10}$               | 125                       |
| GX 339-4          | 0.29        | 13.67^{+0.25}_{-0.25}             | 251^{+193}_{-193}           | 1.07 $10^{-10}$                                | 5.0 $10^{-10}$               | 5                         |
| XTE 1752-223      | 0           | < 14.26                           | 6.14^{+5.77}_{-5.77}        | < 1.35 $10^{-11}$                              | 2.4 $10^{-9}$                | 178                       |
| MAXI J1836-194    | 0           | < 13.40                           | 57^{+1}_{-1}                | < 9.11 $10^{-12}$                              | 2.1 $10^{-9}$                | 231                       |

Table 6. List of jet parameters, $\alpha_R$, $f_{\text{break}}$ and $S_{f_{\text{break}}}$, neutrino flux energy expected [12] for unresolved microquasars ($\eta_\ell/\eta_p F_{\text{pred}}$), upper limits on the neutrino energy flux given by ANTARES ($F$) for $E^{-2}$exp($-\sqrt{E/100}$ TeV) neutrino energy spectra and upper limits on $\eta_p/\eta_\ell$. For GX 339-4, two sets of values, related to two observing periods, are given [51]. For XTE 1752-223 and MAXI J1836-194, the upper limits on $\eta_p/\eta_\ell$ are computed assuming $\eta_\ell/\eta_p f_{\nu}$ equal to 1.35 $10^{-11}$ and 9.11 $10^{-12}$ respectively.
Figure 6. Upper limits at 90% C.L. on the neutrino energy flux obtained in this analysis considering a $E^{-2} \exp(-\sqrt{(E/100 \, \text{TeV})})$ spectra, compared with the expectations [12] assuming energy equipartition between electrons and protons. The blue rectangles show the expectations from [12] taking into account the uncertainty on the jet velocity. For the unresolved microquasars XTE J1752-223 and MAXI J1836-194, a single energy flux prediction value is given, since no detail on the jet velocity is available in the literature.

Figure 7. Upper limits at 90% C.L. on the neutrino flux for GX 339-4, with the energy spectrum described in section 4, compared to the predictions [47] for spectral indexes of the injected particles $-1.8 > \alpha > -2.0$ and the ratio $n_p/n_e$ equal to 1 and 100.
of the expectations from hadronic jet emission models. Therefore, with additional data from ANTARES and with the order of magnitude sensitivity improvement expected from the next generation neutrino telescope, KM3NeT [50], the prospects for future searches for neutrino emission from X-ray binaries are very promising.

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