Recent results on open charm production at HERA are presented. Charm quarks are identified via the reconstruction of D-mesons. The charm contribution to the proton structure function is shown. Evidence for an exotic anti-charmed baryon state observed by H1 is presented. The data show a narrow resonance in the $D^* p$ invariant mass combination at $3099 \pm 3_{\text{stat}} \pm 5_{\text{syst}}$ MeV. The resonance is interpreted as an anti-charmed baryon with minimal constituent quark content $uudd\bar{c}$ together with its charge conjugate. Such a signal is not observed in a similar preliminary ZEUS analysis.

1 Open charm production in $ep$ collisions at HERA

At HERA 27.5 GeV electrons collide with protons of 920 GeV yielding a center of mass energy of 318 GeV. In $ep$ interactions charm and anti-charm quarks are produced predominantly in boson-gluon fusion. The kinematics of $ep$ scattering are described by the virtuality of the exchanged photon $Q^2$, the Bjorken scaling variables $x$ and $y$ and the invariant mass of the photon-proton system $W$. Depending on the value of $Q^2$ two different kinematic regimes are exploited: the deep inelastic scattering (DIS) regime is characterised by $Q^2 > 1$ GeV$^2$, while in the photoproduction regime ($Q^2 < 1$ GeV$^2$) the electron escapes the main detector since it is scattered under very small angles. Open charm production is tagged via $D^*$ production detected through its decay channel $D^{*\pm} \rightarrow D^0 + \pi_s^{\pm} \rightarrow K^+\pi^{\pm} + \pi_s^{\pm}$. For the $D^*$ selection the mass difference technique is used, based on variable

$$\Delta M_{D^*} = m(K\pi\pi_s) - m(K\pi)$$

The notation $\pi_s$ is used to distinguish the low momentum pion released in $D^*$ decay from that from $D^0$ decay.
where \( m(K\pi\pi) \) and \( m(K\pi) \) are the invariant masses of the corresponding combinations. In Fig. 1 an example of the \( \Delta M_{D^*} \) distribution is shown for the DIS regime. A prominent signal on a modest background is seen around the expected \( M(D^*) - M(D^0) \) mass difference. The distribution is compared with “wrong charge D” background where the \( D^0 \) is replaced by fake “D-mesons” composed of like-charge \( K\pi \) combinations. In Fig. 2 the measured differential cross section of \( D^* \) production is shown as a function of the pseudo-rapidity \( \eta_{D^*} = -\ln(\tan(\theta_{D^*}/2)) \) together with the NLO QCD calculation\(^4\). Good agreement is observed between data and theoretical expectation.

The uncertainties of the calculation are due to variation of the mass of the charm quark, factorisation and renormalisation scales and fragmentation parameters. Calculations using two sets of parton densities in the proton are shown.

The charm contribution to the proton structure function \( F_2^c \) was extracted\(^3\) from the DIS cross section of \( D^* \) production. \( F_2^c \) is shown in Fig. 3 in bins of \( Q^2 \) and \( x \) and compared to the predictions from NLO QCD fits to inclusive measurements. The effect of scaling violations is clearly visible in the rise of \( F_2^c \) with \( Q^2 \) which becomes steeper with decreasing \( x \). These data will be used in the future to constrain the gluon density in the proton. The ratio of \( F_2^c \) and the proton structure function \( F_2 \) rises to about 0.35 at large \( Q^2 \) and low \( x \).

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**Figure 1:** \( \Delta M_{D^*} \) distribution for \( K^{\pm}\pi^{\mp}\pi^{\pm}_s \) combinations. Non-charm background, represented by the “wrong charge D” distribution obtained from \( K^{\mp}\pi^{\pm}\pi^{\mp}_s \) is also shown.

**Figure 2:** Differential \( D^* \) cross section in DIS in bins of pseudorapidity \( \eta_{D^*} \) compared to NLO QCD calculations (solid and dashed lines). The band represents the theoretical uncertainty described in the text.

**Figure 3:** Charm contribution \( F_2^c \) to the proton structure function \( (F_2) \) compared to the NLO QCD fits to inclusive data.
2 Evidence for a narrow anti-charmed baryon state at H1

A narrow resonance was observed in the mass spectrum of $D^{*-}p$ and $D^{*+}\bar{p}$ combinations, as recently published by H1. For the analysis at H1 the DIS data of the running period 1996-2000 has been analysed, corresponding to a luminosity of 75 pb$^{-1}$. $D^*$ candidates were selected similarly to the $F_2^c$ analysis with additional requirements to further reduce the non-charm induced background. Only those candidates having a $\Delta M_{D^*}$ value in a window $\pm 2.5$ MeV around the nominal $M(D^*) - M(D^0)$ mass difference are combined with proton candidates. The latter are selected with dE/dx requirements to suppress the pion and other background. The average dE/dx resolution at H1 is about 8%.

The mass of the $D^*p$ state is calculated as

$$M(D^*p) = m(K\pi\pi_s p) - m(K\pi\pi_s) + M_{PDG}(D^*).$$

where $m(K\pi\pi_s p)$ and $m(K\pi\pi_s)$ are the invariant masses of the corresponding particle combinations to which the $D^*$ mass $M_{PDG}(D^*)=2010.0$ MeV is added. A clear narrow peak is observed in the mass distribution as shown in Fig. 4. The data are compared with the $D^*$ Monte-Carlo (MC) simulation and “wrong charge D” background model. No enhancement is seen either in MC or in the non-charm background from data, while the shape of the background is very well described. The signal is seen separately in $D^{*-}p$ and $D^{*+}\bar{p}$ combinations with compatible significance, mass position and width. No significant enhancement is observed in like-charge $D^*p$ combinations.

![Figure 4](image4.png)  
**Figure 4:** $M(D^*p)$ distribution for opposite-charge $D^*p$ combinations. The non-charm “wrong charge D” background distribution, and $D^*$ MC simulation are also shown.

![Figure 5](image5.png)  
**Figure 5:** $M(D^*p)$ distribution from the photoproduction analysis compared with a background model derived from “wrong-charge D” combinations.

Possible kinematic reflections that could fake the signal have been ruled out by studying invariant mass distributions and correlations involving the $K, \pi, \pi_s$ and proton candidates under various particle mass hypotheses. All events in the $M(D^*p)$ distribution have been scanned visually. No anomalies are observed in the reconstruction of the candidate tracks.

Several studies were performed to test the $D^*$ and proton content of the signal. It was shown that the $D^*p$ signal region is enriched with $D^*$ in comparison to the side bands. The signal is visible for low momentum proton candidates where protons can be unambiguously identified. The signal is also observed in the independent photoproduction sample as shown in Fig. 5. The momentum distribution of the proton candidates without any dE/dx requirement shown in Fig. 6 reveals a significantly harder spectrum in the $D^*p$ signal region compared to the sidebands. This supports the expected change in the $D^*p$ kinematics.
The fits to the $M(D^*p)$ distribution in DIS are shown in Fig. 7. The measured width is consistent with the experimental resolution, therefore a Gaussian distribution is used for the signal shape yielding r.m.s. of 12±3_{stat}. The background is parameterised with a power law. The mass of the resonance is determined to be 3099±3_{stat}±5_{syst} MeV. The probability that the background distribution fluctuates to produce the signal is calculated considering the background-only hypothesis (dashed line in Fig. 7) to be less than $4\times10^{-8}$ which corresponds to 5.4 $\sigma$ in terms of Gaussian standard deviations. A state decaying strongly to $D^*-p$ must have baryon number +1 and charm -1 and thus has a minimal constituent quark composition of $uudd\bar{c}$. Therefore the observed resonance is a candidate for the charmed pentaquark.

Figure 6: Momentum distribution for charged particles yielding $M(D^*p)$ values falling in the signal and side band regions.

Figure 7: $M(D^*p)$ distribution for opposite-charge $D^*p$ combinations in DIS compared with the results of the fits with both signal plus background components (solid line) and background only component (dashed line).

A similar preliminary search has been performed at the ZEUS experiment in both photo-production and DIS. Similar criteria were applied to select the $D^*$ mesons and $dE/dx$ measurements were used to select proton candidates. Data from the years 1995-2000 were analysed, corresponding to an integrated luminosity of 126 pb$^{-1}$. No signal was observed in either DIS or photoproduction.

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