Von Neumann entropy and localization-delocalization transition of electron states in quantum small-world networks

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The von Neumann entropy for an electron in periodic, disorder and quasiperiodic quantum small-world networks (QSWNs) are studied numerically. For the disorder QSWNs, the derivative of the spectrum averaged von Neumann entropy is maximal at a certain density of shortcut links \( p^* \), which can be as a signature of the localization-delocalization transition of electron states. The transition point \( p^* \) is agreement with that obtained by the level statistics method. For the quasiperiodic QSWNs, it is found that there are two regions of the potential parameter. The behaviors of electron states in different regions are similar to that of periodic and disorder QSWNs, respectively.

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I. INTRODUCTION

Recently the small-world networks (SWNs) have attracted much attention since it can mimic social and biological networks, Internet connections, airline flights and other complex networks. Well-established classical models have been numerically and analytically investigated, which focused on the crossover behavior and the percolation of the dynamic processes in the model, etc. Very recently Zhu and Xiong have generalized the SWNs to a quantum version by regarding the bonds as quantum hopping links for the motion of an electron and investigated the localization-delocalization transition of electron states. Until now the transition point is found only by using the level statistics method combined with the finite-size scaling method. However the finite-size scaling method is not suitable for SWNs with high connections since the number of connections grows very rapidly with the SWNs size. The level statistics method is successful in the location of the metal-insulator transition in disorder systems, but it is not suitable in quasiperiodic systems because the level spacing distribution cannot be always written as the crossover of Poisson distribution and Wigner-Dyson distribution.

On the other hand, the connection between the entanglement (such as von Neumann entropy) and localization properties of eigenstates is revealed recently. By measuring the von Neumann entropy, the local entanglement was studied at the ground state in the Hubbard model for the dimer case and in the extended Hubbard model for different band filling. It is found that the von Neumann entropy is suitable to describe quantum phase transition and analyze the interplay between itinerant and localized feature.

In this paper, we study von Neumann entropy for an electron moving in periodic, random and quasiperiodic quantum small-world networks (QSWNs), respectively. In periodic QSWNs, there are no localization-delocalization transitions because all eigenstates are extended. In random QSWNs, we find the spectrum averaged von Neumann entropy is a suitable quantity to analyze the localization-delocalization transition of electron states. Finally, we propose quasiperiodic QSWNs based on one-dimensional Harper model. With the help of von Neumann entropy, we find that there are two regions of the potential parameter in the model. The behaviors of electron states in different regions are similar to that of periodic and disorder QSWNs, respectively.

The paper is organized as follows. In the next section we describe the QSWNs model and the measure of entanglement. In Sec.III we present numerical results for different QSWNs. Finally, in Sec. IV, the conclusions are given.
II. MODEL AND VON NEUMANN ENTROPY

We consider a circular graph with $N$ vertices. Each vertex is linked with (direct connections) its two nearest-neighbors. To this graph, $pN$ shortcut links (connecting $2pN$ vertices) are additionally added between random pairs of vertices without direct connections (Fig.1). Here $p$ is the density of shortcut links.

The tight-binding Hamiltonian of an electron in QSWNs can be written as

$$H = H_0 + H_1,$$

where

$$H_0 = t \sum_{n=1}^{N} (c_n^\dagger c_{n+1} + c_{n+1}^\dagger c_n) + \sum_{n=1}^{N} \varepsilon_n c_n^\dagger c_n,$$

and

$$H_1 = t_1 \sum_{k=1}^{pN} \sum_{n=1}^{N} \sum_{m=1}^{N} (c_n^\dagger c_m + c_m^\dagger c_n) \delta_{n,n_k} \delta_{m,m_k}.$$

Here $t$ is a nearest-neighbor hopping integral, $c_n^\dagger$ ($c_n$) the creation(annihilation) operator of nth site, $\varepsilon_n$ the on-site potential. It is clear that the $H_0$ defines a one-dimensional tight-binding model. $t_1$ is hopping integral for shortcut links; $\{n_k, m_k\}$ (here we restrict that $n_k < m_k$) are the pairs of vertices connected by a shortcut link and the number of all pairs is $pN$. Theoretically $(pN)_{\text{max}} = N(N-3)/2$. Here we only study small value $p$.

Let $|n\rangle \equiv c_n^\dagger |0\rangle$, the general eigenstate of an electron is

$$|\alpha\rangle = \sum_{n=1}^{N} \psi_n^\alpha |n\rangle = \sum_{n=1}^{N} \psi_n^\alpha c_n^\dagger |0\rangle,$$

where $\psi_n^\alpha$ is the amplitude of wave function $\alpha$ at the nth site.

The general definition of entanglement is based on the von Neumann entropy [13]. For an electron in the system, there are two possible local states at each site, $|1\rangle_n$ and $|0\rangle_n$, corresponding to the state with(out) an electron at the nth site, respectively. The local density matrix $\rho_n$ is defined [11, 12] by

$$\rho_n = z_n |1\rangle_n \langle 1| + (1 - z_n) |0\rangle_n \langle 0|,$$

where $z_n = \langle \alpha | c_n^\dagger c_n |\alpha\rangle = |\psi_n^\alpha|^2$ is the local occupation number at the nth site. The corresponding von Neumann entropy is

$$E_{vn}^\alpha = -z_n \log_2 z_n - (1 - z_n) \log_2 (1 - z_n),$$

which measures the entanglement of states on the nth site with that on the remaining $N - 1$ sites. It is called the local entanglement for it exhibits the correlations between a site and all the other sites of the system [11, 12].

Generally the $E_{vn}^\alpha$ is a function of $n$. We define the von Neumann entropy of system at $\alpha$ eigenstate is

$$E_v^\alpha = \frac{1}{N} \sum_{n=1}^{N} E_{vn}^\alpha.$$  (7)

The definition (7) shows that for an extended state that $\psi_n^\alpha = \frac{1}{\sqrt{N}}$ for all $n$, $E_v^\alpha = -\frac{1}{N} \log_2 \frac{1}{\sqrt{N}} - (1 - \frac{1}{\sqrt{N}}) \log_2 (1 - \frac{1}{\sqrt{N}}) \approx \frac{1}{2} \log_2 N$ at $N \rightarrow \infty$, and for a localized state that $\psi_n^\alpha = \delta_{mn}^\alpha$ ($n^\alpha$ is a given site), $E_v^\alpha = 0$. In the paper all the values of $E_v^\alpha$ and $E_{vn}^\alpha$ are scaled by $\frac{1}{\sqrt{N}} \log_2 N$. From the two examples, we know the scaled $E_v^\alpha$ is near 1 when eigenstates are extended, and near zero when eigenstates are localized. Henceforth, we omit “scaled” for simplicity.

As a further gross measure we also average over all the eigenstates, i.e., the spectrum averaged von Neumann entropy

$$\langle E_v \rangle = \frac{1}{M} \sum_{\alpha} E_v^\alpha,$$  (8)

where $M$ is the number of all eigenstates.

FIG. 2: The spectrum averaged von Neumann entropy $\langle E_v \rangle$ varying with $p$ at different sizes $N$ for periodic QSWNs.

III. NUMERICAL RESULTS

In our numerical calculations, the Hamiltonian is obtained according to the formulas (1)–(3) for finite systems. The shortcut terms are generated randomly based on the formula (3). We directly diagonalize the Hamiltonian and obtain $N$ eigenvalues $E_\alpha$ and corresponding eigenvectors $|\alpha\rangle$. From the formulas (5)–(8), we obtain the spectrum averaged von Neumann entropy $\langle E_v \rangle$ for one realization of QSWNs. The results are averaged over many realizations of QSWNs.
A. Periodic QSWNs

For periodic QSWNs, the on-site potential $\varepsilon_n$ is assumed to be uniform and set equal to zero. Without loss of generality and for simplicity, we set $t = t_1 = 1$ in all our numerical calculations. The Fig[2] shows the spectrum averaged von Neumann entropy $\langle E_v \rangle$ changing with $p$ at $N = 500, 1000$ and $1500$, respectively. Averages are done for 300, 200, and 100 random configurations (positions of shortcut links) at $N = 500, 1000$ and $1500$, respectively. The results are similar for more random configurations. From the figure, we can see that $\langle E_v \rangle$ is close to 1 for all $p$, which means that all states are extended and there is no localization-delocalization transition in the systems. For $p = 0$, i.e., in the absence of shortcuts, the model is a one-dimensional periodic potential system. The energy eigenstates are always extended due to the Bloch theorem. The random shortcut terms can cause the long-range hopping and off-diagonal disorder effects. The long-range hopping tends to delocalize the states, therefore the extensive properties of the eigenstates are not changed by the presence of random shortcut terms. We also find there is small decreases of $\langle E_v \rangle$ for very small $p$ ($p < 0.05$), which is due to localization effects of the off-diagonal disorder caused by the random shortcut terms in the Hamiltonian.

B. Disordered QSWNs

For disordered QSWNs, the on-site potential $\varepsilon_n$ are random variables homogeneously distributed with $[-W/2; W/2]$. Here the $W$ characterizes the degree of on-site disorder as in the Anderson model [14]. By using the level statistics method, it has been found that a transition from Possion statistics (localized phase) to Wigner-Dyson statistics (delocalized phase) takes place at $p_c \approx \frac{1}{400}(W/t)^2$ for weak disorder, i.e., $W/t$ is small [6].

In Fig[3] we show the spectrum averaged von Neumann entropy $\langle E_v \rangle$ and the derivative $d\langle E_v \rangle/dp$ varying with $p$ for $W = \sqrt{40}$ and $W = 10$ at different $N$, respectively. From Fig[3](A) and (C), it’s clear that $\langle E_v \rangle$ monotonically increases as $p$ becomes larger. When $p = 0$, the model is a one-dimensional Anderson model [14]. For the model all states are localized, so $\langle E_v \rangle$ are small ($\langle E_v \rangle \approx 0.3$ and 0.2 at $W = \sqrt{40}$ and 10, respectively). When $p$ is large, delocalized states will be present due to the long-range hopping, and $\langle E_v \rangle$ becomes large. From Fig[3](B) and (D), it is found that the derivative $d\langle E_v \rangle/dp$ is maximal at $p^* \approx 0.1 \sim 0.15$ and $0.25 \sim 0.3$ at $W = \sqrt{40}$ and $W = 10$, respectively. The $p^*$ is agreement with the localization-delocalization transition point $p_c$ obtained by the level statistics method ($p_c \approx 0.1$ at $W = \sqrt{40}$ and $p_c \approx 0.25$ at $W = 10$) [6]. It is clear that the transition from localized phase to delocalized phase can also be reflected from $d\langle E_v \rangle/dp$. Therefore the von Neumann entropy $\langle E_v \rangle$ for disordered QSWNs is as-
entropy is a suitable quantity to analyze localized properties of electron states for QSWNs.

C. Quasiperiodic QSWNs

![Graph showing spectrum averaged von Neumann entropy](image)

**Fig. 4:** The spectrum averaged von Neumann entropy $\langle E_v \rangle$ varying with $\lambda$ at different shortcut links number $L$. Here $N=987$ and the number of random configurations (positions of shortcut links) is 200.

![Graph showing spectrum averaged von Neumann entropy](image)

**Fig. 5:** The spectrum averaged von Neumann entropy $\langle E_v \rangle$ as a function of $p$ at $\lambda = 1$ for different system sizes. The number of random configurations (positions of shortcut links) is 500, 300 and 200 for $N = 144, 377$ and 987, respectively.

After the experimental discovery of the quasicrystals and one-dimensional quasiperiodic superlattices, many experimental and theoretical works have been carried out on the physical properties of quasiperiodic systems. Although these systems lack translational invariance, they are perfect ordered. In this sense, such systems can be regarded as intermediate between periodic and random systems. The one of the most popular quasiperiodic systems is Harper model. In the following, we propose a quasiperiodic QSWN based on the Harper model and study the properties of the eigenstates of an electron in this system.

For the Harper quasiperiodic QSWNs, we choose $\varepsilon_n = \lambda \cos(2\pi n\sigma)$ and $\sigma$ is irrational. The potential is incommensurate with the underlying vertices. At $p = 0$ the model is in fact the one dimensional well studied Harper model. Intensively analytical and numerical studies for the Harper model show for $\lambda < 2$ the spectrum becomes absolute continuous and all eigenstates are extended. For $\lambda > 2$ the spectrum is pure point and all eigenstates are exponentially localized. For $\lambda = 2$ the situation gives the metal-insulator transition at which the eigenstates are neither extended nor localized but critical with a singular-continuous multifractal spectrum.

As a typical case, we take $\sigma = (\sqrt{5} - 1)/2$. In fact as is customary in the context of quasiperiodic system, the value of $\sigma$ may be approximated by the ratio of successive Fibonacci numbers $F_n = F_{n-2} + F_{n-1}$, $\forall n \geq 3$. In this way, choosing $\sigma = F_{n-1}/F_n \approx (\sqrt{5} - 1)/2$ and system size $N = F_n$, we can obtain the periodic approximant for the quasiperiodic potential.

In Fig. 4 we plot the spectrum averaged von Neumann entropy $\langle E_v \rangle$ varying with $\lambda$ for different shortcut links number $L$ (here $L = pN$). For $L = 0$, $\langle E_v \rangle$ is large at $\lambda < 2$, while small at $\lambda > 2$. There is a sharp decrease in $\langle E_v \rangle$ for $\lambda = 2$, i.e., the absolute value of $d\langle E_v \rangle/dp$ is maximal at $\lambda = 2$, so the metal-insulator transition can be reflected from $\langle E_v \rangle$. When $L$ is small ($L \leq 20$), those varying properties of $\langle E_v \rangle$ are similar to that for $L = 0$, which means that at small $L$, the quasiperiodic on-site potentials rather than shortcut links play an important role. When $L$ is large ($L = 100$), the decrease in $\langle E_v \rangle$ at $\lambda = 2$ is not so sharp as that for $L \leq 20$. When $L$ is large enough (for example $L = 50,000$), $\langle E_v \rangle$ is almost same for all $\lambda$. At the situation the SWNs is almost completely a random graph and the on-site potential is not important.

For $\lambda < 2$, the varying properties of $\langle E_v \rangle$ with $p$ are similar to that of periodic QSWNs. In Fig. 5 $\lambda = 1$ is given as an example. It shows that on the whole, for all $p$, $\langle E_v \rangle$ is near 1, which means all states are extended.

For $\lambda > 2$, the varying properties of $\langle E_v \rangle$ and the derivative $d\langle E_v \rangle/dp$ with $p$ are similar to those for disorder QSWNs. In Fig. 6 $\lambda = 3$ and 5 are as examples. The spectrum averaged von Neumann entropy $\langle E_v \rangle$ and the derivative $d\langle E_v \rangle/dp$ with different $p$ are shown in Fig. 6A and B, respectively. It shows that $\langle E_v \rangle$ monotonically increases as $p$ increases. The derivative $d\langle E_v \rangle/dp$ is maximal at $p^* \approx 0.12$ and 0.4 at $\lambda = 3$ and 5, respectively, so the localization-delocalization transition happens at $p^*$. This also can be certified by the level statistics method.

To understand the effect of shortcut links clearly, in Fig. 7A, B and C we plot the average von Neumann entropy $\langle E_v^L \rangle$ of the individual eigenstates at $\lambda = 1, 2$ and $3$ for $L = 0, 20$ and 100, respectively. When $\lambda = 1$, at $L = 0$ all $\langle E_v^L \rangle$ are large (near 1), which corresponds to that all eigenstates are extended. At $L = 20$, the sub-band created by the shortcut links lies below the band.
FIG. 6: The spectrum averaged von Neumann entropy $\langle E_v \rangle$ and $d\langle E_v \rangle/dp$ varying with the density of shortcut links $p$ for (A) and (B), respectively. Lines in figure is polynomial fitted for corresponding data. Here $N = 987$ and the number of random configurations (positions of shortcut links) is 200.

In detail, we study von Neumann entropy in periodic and disorder QSWNs and find it is a suitable quantity to reflect localization-delocalization transition of electron states. Then we propose a quasiperiodic QSWN based on one-dimensional Harper model and investigate it intensively by the measure of von Neumann entropy. In the model, the quasiperiodic on-site potential, the long-range hopping and off-diagonal disorder due to random shortcut links determine the localization properties of the bottom, above the band top and at the band gap of that for $L = 0$. In those new created subbands, $\langle E_v^\alpha \rangle$ are obviously small comparing to that for $L = 0$, which means shortcut links can produce localized states at the case. As $L$ increases to 100 and the long-hopping becomes more and more important, on the whole $\langle E_v^\alpha \rangle$ in the new created subbands are larger than that for $L = 20$. When $\lambda = 3$, at $L = 0$ all $\langle E_v^\alpha \rangle$ are small comparing to that for $\lambda = 1$ at $L = 0$, which corresponds that all eigenstates are localized. At $L > 0$, $\langle E_v^\alpha \rangle$ for most eigenstates are large comparing with that at $L = 0$. At the situation the long-hopping due to shortcut links is important and make many states more extended than that at $L = 0$. When $\lambda = 2$, at $L = 0$ the eigenstates are critical with a singular-continuous multifractal spectrum. At the situation some eigenstates have large $\langle E_v^\alpha \rangle$ and some have small $\langle E_v^\alpha \rangle$. At $L = 20$, $\langle E_v^\alpha \rangle$ become larger at some eigenstates and smaller at some eigenstates due to the shortcut links. The spectrum averaged von Neumann entropy $\langle E_v \rangle$ changes little. At $L = 100$, the long-hopping becomes important and leads many eigenstates have large $\langle E_v^\alpha \rangle$ comparing to that for $L = 20$.

FIG. 7: Average von Neumann entropy $\langle E_v^\alpha \rangle$ of the individual eigenstate as functions of eigenenergies at $L = 0$, 20 and 100 for (A) $\lambda = 1$, (B) $\lambda = 2$ and (C) $\lambda = 3$, respectively. For $L > 0$, the $\langle E_v^\alpha \rangle$ value for six random configuration( positions of shortcut links ) of quasiperiodic QSWNs are plotted together. Here $N = 987$.

IV. CONCLUSIONS

In detail, we study von Neumann entropy in periodic and disorder QSWNs and find it is a suitable quantity to reflect localization-delocalization transition of electron states. Then we propose a quasiperiodic QSWN based on one-dimensional Harper model and investigate it intensively by the measure of von Neumann entropy. In the model, the quasiperiodic on-site potential, the long-range hopping and off-diagonal disorder due to random shortcut links determine the localization properties of...
electron states. Those lead that the influence of short- cut links on von Neumann entropy are different at two λ regions(λ < 2 and λ > 2 ). We found that when λ < 2, we find that on the whole, for all p, ⟨E_v⟩ is near 1, which means all states are extended. When λ > 2, it monotonously increases as the increasing of p. Those can be understood from the varying of the average von Neumann entropy ⟨E^α_v⟩ of the individual eigenstate with λ and the number of shortcut links. Especially, at λ > 2 we find there exists the localization-delocalization transition of electron states reflected from von Neumann entropy. In a word, the varying of ⟨E_v⟩ with p are similar to that for periodic QSWNs at λ < 2 and similar to that for disorder QSWNs at λ > 2.

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