Linguistically-based Deep Unstructured Question Answering

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Abstract

In this paper, we propose a new linguistically-based approach to answering non-factoid open-domain questions from unstructured data. First, we elaborate on an architecture for textual encoding based on which we introduce a deep end-to-end neural model. This architecture benefits from a bilateral attention mechanism which helps the model to focus on a question and the answer sentence at the same time for phrasal answer extraction. Second, we feed the output of a constituency parser into the model directly and integrate linguistic constituents into the network to help it concentrate on chunks of an answer rather than on its single words for generating more natural output. By optimizing this architecture, we managed to obtain near-to-human-performance results and competitive to a state-of-the-art system on SQuAD and MS-MARCO datasets respectively.

1 Introduction

Reading, comprehending and reasoning over texts and answering a question about them (i.e. Question Answering) is a fundamental aspect of computational intelligence. Question Answering (QA), as a measure of intelligence, has been even suggested to replace Turing test (Clark and Etzioni, 2016).

The development of large datasets of QA in recent years (Hermann et al., 2015; Hill et al., 2015; Bordes et al., 2015; Rajpurkar et al., 2016) advanced the field especially for two significant branches of QA namely factoid (Aghaebrahimian and Jurčíček, 2016a,b) and non-factoid QA\(^1\) (Rajpurkar et al., 2016). Non-factoid QA or QA over unstructured data is a somewhat new challenge in open-domain QA. A non-factoid QA system answers questions by reading and comprehending a context. The context in which we assume the answer is mentioned may have different granularities from a single sentence or paragraph to larger units of text. A QA system is supposed to extract a phrase answer from the provided paragraph or sentence depending on its granularity level.

The context for answering questions is usually extracted using an Information Retrieval (IR) technique. Then, a QA system should extract the best answer sentence. There are many studies about extracting answer sentences including but not limited to (He et al., 2015; He and Lin, 2016; Yih et al., 2013; Yu et al., 2016; Rao et al., 2016; Aghaebrahimian, 2017a).

Extracting the final or shortest possible answer from a set of candidate answer sentences is addressed in many studies as well (Zhang et al., 2017; Gong and Bowman, 2017; Shen et al., 2016; Weissenborn et al., 2017a). Instead of reasoning over and making inference on linguistic symbols (i.e., words or characters), almost all of these models use a neural architecture to encode contexts and questions into a vector representation and to reason over them.

A typical pattern in most of the current models is the use of a variant of uni- or bi-directional attention schemes (question to context and vice-versa) to encode the semantic content of questions’ words with a focus on their context’s words (Seo et al., 2016; Xiong et al., 2016; Weissenborn et al., 2017b; Chen et al., 2017; Wang et al., 2017). Compared to these models the novelty of our work is in explicitly conducting attention over both the context and the question for each candidate constituent\(^2\) answer (every constituent

\(^1\)While the answer to a non-factoid question is a chunk of one or more adjacent words, the answer to a factoid question is only an entity.

\(^2\)Form now on and for the sake of brevity by constituents we mean linguistic constituents as they are referred to in Phrase Structure Grammar (Chomsky, 1957).
| Constituents Type | Training set | Development set |
|-------------------|--------------|-----------------|
| NP                | 59 %         | 62 %            |
| ROOT             | 8 %          | 6 %             |
| NNP              | 5 %          | 4 %             |
| NN               | 4 %          | 2 %             |
| JJ               | 3 %          | 1 %             |
| VP               | 3 %          | 4 %             |
| CD               | 3 %          | 2 %             |
| PP               | 2 %          | 4 %             |
| S                | 2 %          | 2 %             |
| others           | 11% (each < 2%) | 13% (each < 2%) |

Table 1: The distribution of constituent types of answers in SQuAD training and development sets. For constituency parsing, we used the Standford CoreNLP tool (Manning et al., 2014). To see the full list of available constituency types in the dataset, please refer to Appendix A.

in the context). The fact that this is better than attending only to the question words is investigated and proved according to the results reported in Section 7.

Another observation is that a majority of recent studies are purely based on data science where one can barely see a linguistic intuition towards the problem. We show that a pure linguistic intuition could help neural reasoning and attention mechanisms to achieve quantitatively and qualitatively better results in QA.

By analyzing a human-generated QA dataset called SQuAD (Rajpurkar et al., 2016), we realized that people tend to answer questions in units called constituents (Table 1). We expect an answer to a question to be a valid constituent otherwise it would probably not be grammatical.

Constituents and Constituency relations are the bases of Phrase Structure Grammar first proposed by Noam Chomsky (Chomsky, 1957). Phrase Structure Grammar and many of its variants including Government and Binding theory (Chomsky, 1993) or Generalized and Head-driven Phrase Structure Grammar (Gazdar et al., 1994; Pollard and Sag, 1994) define hierarchical binary relations between the constituents of a text, and hence help to realize an exact and natural answer boundary for answer extraction.

Having these two points in mind and inspired by attentive pooling networks by Santos et al. (2016), we designed an attentive bilateral model and trained it on the constituents of questions and answers. We attempted to use some information from the parser, so to go beyond a simple word-based or vector-based representations. The results obtained by the model are near to human performance on SQuAD dataset and competitive to a state-of-the-art system on MS-MARCO dataset. The contributions of our work are:

- A bilateral linguistically-based attention model for Question Answering
- Integrating linguistic constituents into a DNN architecture for the QA task.

In the next section, we review some of recent QA systems with a focus on unstructured QA. In Section 3, we briefly explain the constituency types. Then in Section 4, we discuss the details of our system architecture. In Section 5, we talk about the datasets and the way we prepared them for training. In Sections 6 and 7, we describe the training details and present the results of our experiments. Finally, we explain some ablation studies and error analysis in Section 8 before we conclude in Section 9.

2 Related work

In recent years, QA has been largely benefited from the development of Deep Neural Network (DNN) architectures largely in the form of Convolution Neural Networks (CNN) (LeCun et al., 1998) or Recurrent Neural Networks (RNN) (Elman, 1990). QA systems based on semantic parsing (Clarke et al., 2010; Kwiatkowski et al., 2010), IR-based systems (Yao and Durme, 2014), cloze-type (Kadlec et al., 2016; Hermann et al., 2015), factoid (Aghaebrahimian and Jurčiček, 2016b; Bordes et al., 2015) and non-factoid systems (Aghaebrahimian, 2017a; Rajpurkar et al., 2016) are some of the QA variants that have been improved by DNNs. Among all of these varieties, factoid and non-factoid are two most widely studied branches of QA systems.

In Factoid QA like air traffic information systems (ATIS) or dialogue systems, we answer the questions by extracting an entity from a structured database like relational databases or knowledge graphs. In contrast, in non-factoid systems, answers are extracted mostly from unstructured data like Wikipedia.

Unstructured QA in recent years has been studied with a few distinguishable different settings such as answer selection, answer triggering, and answers extraction. In answer selection (Aghaebrahimian, 2017a; Wang et al., 2016; Yu et al.,
the goal is to find the best answer sentence given each question. These answer sentences may be non-existent in the provided context for answer triggering. In answer extraction (Shen and Klakow, 2006; Sultan et al., 2016), we extract a chunk of a sentence as the shortest possible answer.

Answer selection can be used as a measure of machine comprehension (Kadlec et al., 2016; Hermann et al., 2015). In this setting, a typical QA system reads a text and then answers either multiple-answer (cloze-type) or free-text questions. Cloze-type answers are limited to multiple distinct entities (usually 4 or 5) while a span of words answers free-text questions.

The performance of cloze-type systems is a good indication of machine comprehension. However, in QA systems for a real-life application like dialogue systems or scientists’ assistants, the answers, their boundaries and their types (e.g., proper noun, adjective or noun phrase) are not known in advance, and it makes this type of QA more challenging. In this setting, free-text QA or QA over unstructured data (Aghaebrahimian, 2017b; Rajpurkar et al., 2016; Cui et al., 2016) is advocated where answers are spans of multiple consecutive words in large repositories of textual data like Wikipedia.

Many successful studies have been performed for free-text (i.e., phrase) answer extraction from SQuAD since its release in 2016. Almost all of these models benefited from a form of DNN architecture and a majority of them integrated a kind of the attention mechanism. Some of these studies integrated attention to predicting the physical location of answers (Xiong et al., 2016; Cui et al., 2016; Hu et al., 2017; Seo et al., 2016). Others made an effort to find a match between queries and their contexts (Cui et al., 2016) or to compute a global distribution over the tokens in the context given a query (Wang and Jiang, 2016). Still, some other models integrated other mechanisms like memory networks (Pan et al., 2017) or reinforcement learning (Shen et al., 2016) to enhance their attention performance.

To add to these efforts, we intend to propose a new perspective on using attention and to enhance it by using linguistic constituents as a linguistically motivated feature.

### 3 Linguistic Constituents

There is hardly a universal agreement upon the definition of the term ‘constituent’. In general, a constituent is an inseparable unit that can appear in different places of a sentence. Instead of defining what a constituent is, linguists define a set of experiments such as replacement or expansion to distinguish between constituents and non-constituents.

For instance, let’s consider the sentence ‘Plans for the relay were announced on April 26, 2007, in Beijing, China.’ We can replace or expand some of its constituents and rephrase the sentence as ‘on April 26, 2007, plans for the relay were announced, in Beijing, China.’ or ‘Plans for the great and important relay were announced on April 26, 2007, in Beijing, China.’ while we are sure that these rephrases are not only both syntactically and semantically correct but also convey the same meaning as the original sentence. Some of the earliest works which tried to integrate more linguistic structures into QA are (Zhang et al., 2017; Xie and Eric, 2017). Using TreeLSTM, Zhang et al. (2017) tried to integrate linguistic structure into QA implicitly. At the prediction step, they used pointer network (Vinyals et al., 2017) to detect the beginning and the end of answer chunks. In contrast, (Xie and Eric, 2017) explicitly modeled candidate answers as sequences of constituents by encoding individual constituents using a chain of trees LSTM (CT-LSTM) and tree-guided attention mechanism. However, their formulation of constituents is more complicated than ours and as we will see, a direct use of constituents as answer chunk is much less complicated and yields better results.

### 4 System Architecture

In this section, we describe how to represent questions, sentences and answers in vector space in Subsection 4.1 and then we train the vectors in Subsection 4.2 using a specific loss function and distance measure.

#### 4.1 Representation Learning

Our goal is to extract constituent answers by loading their vector representations with the semantic content of their question and their containing answer sentence. To achieve this end, we integrated a bilateral attention mechanism into our model which lets us estimate a joint vector representation
between answers when they are attending to questions’ constituents and when they are attending to sentences’ constituents.

To encode the semantic information in questions and sentences, we used a simple encoding unit (see Equations 1 to 6 and Figure 1). In this unit, \( W_k \in \mathbb{R}^{V \times d_e} \) are words in one-hot vector representations where \( k^{th} \) element of each vector is one and others are 0. \( V \) are all vocabularies in training data dimensions.

In the end, the vectors generated by these two modules are concatenated in \( h_i^{A_QS} = [h_i^{A_Q}; h_i^{A_S}] \) to form a general attentive representation of constituents with respect to their corresponding questions and sentences.

At training time, we try to learn the vector representations of questions, sentences, and their constituents jointly. However, we like to learn the vectors in a way that leads to a small distance between questions and their true constituents and a long distance between them and their false constituents.

For this purpose, for each pair of question and sentence, we compute one true answer \( A_Q^+ \) and some false answer \( A_Q^- \) vectors.

We generated these vectors by passing a correct constituent \( A_Q^+ \) and a random wrong constituent \( A_Q^- \) through question-attentive (see equations 8-15) and sentence-attentive (see equations 16-23) modules and by concatenating the outputs.

4.2 Training

In this section, we train our model. Given a question and the constituents associated with its answer sentence, the model generates a score for each constituent. The score is an estimate of how similar the constituent to the gold answer is. Taking the argmax over the scores, the model returns the id of its true predicted constituent.

To train the model, we need to compute the distance between questions and their true constituents and to contrast it with the distance between questions and their false constituents.

There are various measures of distance or similarity between two vectors each with its own merits. Feng et al. (2015) did an exhaustive study on different distance measures for text classification and proposed some new measures including the Geometric mean of Euclidean and Sigmoid Dot product (GESD) (Formula 1) which outperformed other measures in their study.

We integrated GESD in our work to estimate
the distance between questions and their true and false constituents. GESD linearly combines two other measures called L2-norm and inner product. L2-norm is the forward-line semantic distance between two sentences and inner product measures the angle between two sentence vectors.

\[
\text{DIS}(Q, A) = \frac{1}{1 + \exp(-Q.A)} \times \frac{1}{1 + ||Q - A||}
\]

Formula 1: The distance between Question (Q) and Answer (A) vectors.

Now everything is ready to train the model. The overall system architecture is illustrated in Figure 3. We use Hinge loss function (Formula 2) to estimate the loss on each question-answer combination. Hinge function increases the loss with the distance between a question and its true constituents while decreases it with the distance between a question and its false constituents. In Equation 2, \(\text{enc}^{QS}\) is the joint vector representation of questions and their answer sentences, \(h_{i}^{A_{QS}}\) is the vector of false answers, \(h_{i}^{A_{QS}^{+}}\) is the vectors of true answers. Finally, \(m\) is the margin between positive and negative answers. It makes a trade-off between the mistakes in positive and negative classes.

\[
L = \sum \max(0, m + \text{DIS}(\text{enc}^{QS}, h_{i}^{A_{QS}^{+}}) - \text{DIS}(\text{enc}^{QS}, h_{i}^{A_{QS}^{+}}))
\]

Formula 2: Hinge function. \(m\) is the margin, \(A_{QS}^{+}\) are false and \(A_{QS}\) are true answers.

5 Datasets

The Stanford Question Answering Dataset (SQuAD) (Rajpurkar et al., 2016) is a dataset for sentence-level (i.e. answer selection) and word-level (i.e. answer extraction) QA. It includes 107,785 question-answer pairs synthesized by crowd workers on 536 Wikipedia articles. The dataset is randomly shuffled and divided into training (80%), development (10%) and test (10%) sets. Due to its large number of questions compared to previous datasets (Hirschman et al., 1999; Richardson et al., 2013), it is considered a good testbed for data-intensive QA methods. The answers in SQuAD are categorized into ten types including Person, Date, Location, etc (Rajpurkar et al., 2016). However, there are no statistics available on the constituent type of each answer.
Figure 3: The system architecture. Two answer modules, one with attention on questions and the other on sentences, provide a joint representation containing all required information with respect to questions and sentences for making inference on true constituents.

To control the vocabulary size we needed to eliminate redundant numeric values, but at the same time, we wanted to parse the contents, and we needed to keep the semantic values of numeric tokens. Hence to preprocess the questions and sentences in the dataset, we removed all non-alphanumeric characters from all contents and then replaced numeric values with ‘9’. Then we used CoreNLP tool (Manning et al., 2014) to tokenize and to perform constituency parsing on the contents.

After extracting constituents from the tree of sentences and comparing them with gold answers, we realized that 72% of the answers are constituents. Other 21% of the answers had slight divergences from a constituent, like lacking or having a determiner or punctuation mark which were eventually going to be disregarded in the official evaluation script. The remaining 7% was a combination of two smaller constituents or a part of a larger one. In the training set, to use constituents as answers, we replaced non-matching answers with the smallest and most similar constituents. Since at the evaluation time, we needed the gold answers and not their replaced constituents, we did not change the answers in the development set.

We extracted a total number of 48 different constituents types including both terminal and non-terminal ones from SQuAD. The percentage of each constituent type in training and development sets are presented in Table 1. The figures for development set are computed only based on exact match answers.

We used SQuAD’s development set for testing the system and reporting the results. To prepare the dataset for training and evaluating our system we used a state-of-the-art answer sentence selection system (Aghaebrahimian, 2017a) to extract the best answer sentences. The system provides us the best sentence with 94.4 % accuracy given each question. After pre-processing the sentence as explained above, we extracted its constituents and trained the model using the correct constituents as true and other constituents as negative samples.

At test time, we used the same procedure to extract the constituents, but we used gold answers as they
are without substituting non-matching answer-
constituents. Then, we added other constituents
as negative samples.
For evaluation purpose, we used SQuAD’s offi-
cial evaluation script which computes the exact
match and the F1 score. The exact match is the
percentage of predictions which exactly match the
gold answer and the F1 (Macro-averaged) score
is the average overlap between the prediction and
ground truth answer while treating them both as
bags of tokens, and computing their F1.
To experiment our model furthermore, we used
MS-MARCO dataset (Nguyen et al., 2015). As
a machine comprehension dataset, MS-MARCO
has two fundamental differences with SQuAD.
Every question in MS-MARCO has several pas-
sages from which the best answer should be re-
trieved. Moreover, the answers in MS-MARCO
are not necessarily sub-spans of the provided con-
texts so that BLEU and ROUGE are used as the
metrics in the official tool of MS-MARCO evalua-
tion. During training we used the highest BLEU
scored constituent as the answer and in the evalua-
tion, we computed the BLEU and ROUGE scores
of the constituents selected by the system. As the
results in Table 7 show, our system obtained com-
petitive results to another state-of-the-art system
trained on the same dataset.

6 Experiment
To evaluate our new architecture and to see how
integrating linguistic constituents affects its per-
formance we set up two settings. We designed
one setting for evaluating the effect of using con-
stituents instead of words (constituent-base vs.
word-base) and another to evaluate the effect of
using attention mechanism on top of vector train-
ing modules (uni- vs. bi-attention). Therefore we
conducted four experiments on both datasets or
eight experiments in total.
In the constituent-base setting, we generated the
training and test data as described in Section 5.
In word-base however, we replaced constituents
with the tokens in answer sentences for both train
and test sets and trained our model to compute
two scores for initial and final positions of answer
chunks. In the constituent-base setting at test time,
we directly used the predicted constituent as the
final answer. In the word-base setting, however,
we got the final answer using the highest-scored
words for initial and final positions.
We also investigated the effect of bilateral atten-
tion on the model performance. In the bi-attention
model, we used the model as described in Sec-
tion 4. In the uni-attention model, we eliminated
the attention on sentences and only used the mod-
ule for attention on questions.
For training our model, we used 300-dimensional
pre-trained Glove word vectors (Pennington et al.,
2014) to generate the embedding matrix and kept
the embedding matrix updated through training.
We used 128-dimensional LSTMs for all recur-
rent networks and used ‘Adam’ with parameters
learning rate=0.001, $\beta_1 = 0.9$, $\beta_2 = 0.999$ for
optimization. We set batch size to 32 and dropout
rate to 0.5 for all LSTMs and embedding layers.
We performed the accuracy check only on the first
best answer.

7 Result
The results of our experiments are summarized
in Table 2. By contrasting the results of uni-/bi-
attention and word/constituent-base models, we
can see that the proposed bi-attention mecha-
nism with linguistic constituents integrated into it
makes a significant improvement on answer ex-
traction. Another interesting observation is that
the Exact Match metric benefits from restric-
tion to constituents as answers. Concerning the
MS-MARCO dataset, the results are competitive
to a state-of-the-art system tested on the same
dataset (Wang et al., 2017).

8 Ablation and Error Analysis
In this section, we analyze the SQuAD concern-
ing answer distribution over different query types.
Table 1 shows that the NP type constituents are
the most prominent type among all other an-
wers. However, to investigate the importance of
other types in overall system performance, we per-
formed an ablation study where we studied the in-
fluence of each constituent type on overall accu-
ragy. The results are presented in Figure 4. We
also studied how much the model succeeded in re-
trieving answers from each type. The results are
presented in the same figure. This table also shows
how often does the method succeed in cases where
the correct answer is, in fact, a constituent span.
As seen in Figure 4, the answers are mostly singu-
lar noun phrases after which with a significant dif-
ference are proper nouns, verb phrases, and prepo-
Table 2: The performances of different models in the exact match and F1 metrics for SQuAD and BLEU and ROUGE for the MS-MARCO dataset.

| Model | SQuAD Development set | MS-MARCO Evaluation set |
|-------|------------------------|--------------------------|
|       | Exact-match(%) | F1(%) | BLEU | ROUGE |
| Logistic Regression (Rajpurkar et al., 2016) | 40.00 % | 51.00 % | - | - |
| Uni-Attention Word-base (this work) | 55.12 % | 57.98 % | 35.6 | 35.1 |
| Bi-Attention Word-base (this work) | 59.84 % | 63.08 % | 38.1 | 38.4 |
| Uni-Attention Constituency-base (this work) | 73.82 % | 77.43 % | 39.6 | 39.9 |
| TreeLSTM (Zhang et al., 2017) | 69.10 % | 78.38 % | - | - |
| BIDAF (Seo et al., 2016) | 72.6 % | 80.7 % | - | - |
| CCNN (Xie and Eric, 2017) | 74.1 % | 82.6 % | - | - |
| R-net (Wang et al., 2017) | 75.60 % | 82.80 % | 42.2 | 42.9 |
| Bi-Attention Constituency-base (this work) | 80.72 % | 83.25 % | 42.1 | 42.7 |
| Human Performance (Rajpurkar et al., 2016) | 82.30 % | 91.22 % | - | - |

Figure 4: Blue lines are the contribution of each type in the overall system performance using the best model and at the convergence time. Red bars represent the performance of the model in retrieving each constituent type when the model is converged. Performance is expressed in the exact match metric (%). As a guide to how to read the chart, the first blue line for NP type says that 50% of all correctly extracted answers by the system are NP type-answers. The red line of the same type says that our system managed to retrieve about 87% of all NP-type answers in the dataset.

9 Conclusion and Future Work

We described a new linguistically-based end-to-end DNN for Question Answering from unstructured data. This model is a neural formulation in which linguistic constituents are explicitly modeled. It operates an LSTM over the constituents and uses the resulting hidden states to attend both to question and to the encompassing context sentence, thereby enriching the constituents representation with both. The use of constituents instead of an arbitrary string of words in answers improves the system performance in three ways.

First, it increases the precision of the system. By looking at the small gap between the F1 and the exact match metrics in our system and compare it to the ones for the other systems, we can see that the ratio of exact-match answers in our system is higher than that of the other ones.

Second, it helps an answer to look more like a human-generated one. Considering prediction and ground truth as bags of tokens, the F1 (Macro-
averaged) metric computes the average overlap between the prediction and ground truth answer. While a predicted answer may have a full overlap with the ground truth hence gains a high F1 score, due to the irrelevant words it contains, it poses an incoherent answer to users. The longer the gap between exact match and F1 measures, the more inappropriate words appear in answers. This is primarily an essential factor in the overall quality of dialogue QA systems where users expect to receive a natural and human-generated-like answer. Last but not least, imposing constraints on the candidate space, limit errors and make the system more efficient by decreasing the search space and weeding out non-relevant answers. In the future, we plan to integrate dependency relations into the model by designing a larger model and evaluating it on other QA datasets.

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A Full Constituent types

Other constituent types include: NP, ROOT, NNP, NN, JJ, VP, CD, PP, S, NNS, ADJP, SBAR, NP-TMP, QP, ADVP, VBG, DT, VBN, IN, NNPS, VB, RB, VBD, VBZ, JJR, VBP, UCP, X, CC, FRAG, WHNP, JJS, NAC, NX, FW, TO, RBR, PDT, PRN, INTJ, PRT, WHPP, PRP, SINV, WHADJP, MD, RRC, WHADVP

For a description of each type please refer to (Manning et al., 2014)