Genomic Effects Associated With Response to Placebo Treatment in a Randomized Trial of Irritable Bowel Syndrome

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Background and Aims: Irritable bowel syndrome (IBS), a functional pain disorder of gut-brain interactions, is characterized by a high placebo response in randomized clinical trials (RCTs). Catechol-O-methyltransferase (COMT) rs4680, which encodes high-activity (val) or low-activity (met) enzyme variants, was previously associated with placebo response to sham-acupuncture in an IBS RCT. Examining COMT effects and identifying novel genomic factors that influence response to placebo pills is critical to identifying underlying mechanisms and predicting and managing placebos in RCTs.

Methods: Participants with IBS (N = 188) were randomized to three placebo-related interventions, namely, double-blind placebo (DBP), open-label placebo (OLP), or simply trial enrollment without placebo treatment (no placebo (i.e., no pill) treatment control (NPC)), for 6 weeks. COMT rs4680, gene-set, and genome-wide suggestive (p < 10⁻⁵) loci effects on irritable bowel symptom severity score (IBS-SSS) across all participants were examined.

Results: Participants with IBS homozygous for rs4680 met (met/met) had the greatest improvement across all arms, with significantly greater improvement compared to val/val in DBP (beta (SE), −89.4 (42.3); p = 0.04). Twelve genome-wide suggestive loci formed a gene regulatory network highly connected to EGR1, a transcription factor involved in placebo-related processes of learning, memory, and response to stress and reward. EGR1 gene expression in peripheral blood mononuclear cells (PBMC) was significantly reduced at the endpoint across all treatment arms (log fold-change, −0.15; p = 0.02). Gene-set enrichment analysis returned three genome-wide significant ontology terms (GO:0032968, GO:0070934, and
INTRODUCTION

Irritable bowel syndrome (IBS) is a highly prevalent disorder of the gut-brain interaction, characterized by abdominal pain and altered bowel function. Early life events, including psychological trauma and environmental exposures, such as gastrointestinal infections, increase susceptibility to IBS, and psychological stress frequently exacerbates symptoms. The use of double-blind placebo (DBP) controls in randomized clinical trials (RCT) is associated with high placebo response rates (average 40%) among participants with IBS. Recently, our group completed a 6-week RCT in IBS comparing DBP, open-label placebo (OLP), and simply enrolling in a trial with the patient-researcher engagement but no placebo (i.e., no pill) treatment control (NPC) (1). More than half of the participants in each placebo treatment arm had a >50-point improvement in the primary outcome IBS-symptom severity score (IBS-SSS). Participants randomized to DBP and OLP had similar improvement in IBS symptoms, and both had significantly greater improvement compared with NPC. Understanding the mechanisms underlying response to placebo treatment is critically important to managing placebo effects in IBS clinical care, RCT design, and drug development.

Neurological changes in response to placebo treatment have been mapped to specific brain regions implicated in reward salience, pain, and emotional processing. In the prefrontal cortex (PFC), activation of dopaminergic signaling pathways has been observed in models of placebo response in depression and Parkinson’s disease (2). A key regulator of dopamine turnover in the PFC is catechol-O-methyltransferase (COMT), an enzyme that metabolizes endogenous catechol-containing neurotransmitters and hormones, including dopamine, norepinephrine, epinephrine, and catechol estrogen. The most studied single nucleotide polymorphism (SNP) in COMT, rs4680, encodes a G-to-A transversion, resulting in a valine (val)-to-methionine (met) substitution, and a three-to-four-fold reduction in enzymatic activity (3, 4). In a previous randomized trial of placebo treatments in IBS, we reported the association of genetic variation at COMT rs4680 with placebo response to single-blinded sham acupuncture augmented with a warm-caring clinical interaction (5).

Response to placebo treatments is a complex phenotype likely influenced by multiple genomic factors in addition to genetic variation in COMT. However, large sample size is required to have adequate power to discern the small genomic effects typically observed in a genome-wide association study (GWAS). Hence, we combined the DBP, OLP, and NPC treatment arms, assuming that placebo-related effects would be present and contribute to response in each of the three treatment arms. Because this study was not well-powered to conduct a GWAS, we used gene-set analysis, which aggregates genome-wide association data into pathways and functions, to achieve the power required to identify significant biologically relevant effects.

To broaden our understanding of how genomic variation influences placebo response in IBS, here we examine candidate COMT rs4680 and genome-wide effects using gene-set and transcription network analysis across participants in our recently completed IBS RCT of three placebo treatments (i.e., DBP, OLP, and NPC) (1).

MATERIALS AND METHODS

Study Design

Effects of open-label vs. double-blind treatment in IBS was a clinical trial that randomized IBS participants to one of three placebo treatments: DBP, OLP, or NPC (1). A small number of participants were randomized to a fourth arm [double-blind peppermint oil (DBM)] to allow for the DBP treatment arm. Because peppermint oil (6) is considered an active treatment, participants in this treatment arm were not included in the present analysis. Full details of the trial participants, design, and results have been previously published (7). Briefly, 340 IBS participants were randomized to one of the treatment arms for 6 weeks; 242 participants completed the study and had baseline and 6-week IBS-SSS, 188 of whom were randomized to one of the three placebo treatment arms (DBP, OLP, and NPC), consented to genetic analysis, and were successfully genotyped. The dual aims of the parent study were to compare OLP to NPC, and OLP to DBP. All participants attended in-person study visits at baseline, and at weeks 3 and 6, in which they met with a study clinician and completed the questionnaires. Blood for genotyping was drawn at the first visit. Blood for transcription analysis using RNA sequencing was drawn at baseline and 6 weeks.

Ethics Approval Statement

This study and the parent trial were conducted according to the criteria set by the Declaration of Helsinki. All participants provided informed consent, and the study was approved by the...
ethics review board at Beth Israel Deaconess Medical Center under protocol 2015P000282.

**Outcome Measures**

Outcome assessments were performed by blinded research assistants. OLP and NPC participants were not blinded; participants assigned to DBP or DBM were told they enrolled for a double-blind RCT but were not informed of their randomized treatment assignment. The primary outcome was changed in the irritable bowel symptom severity scale (IBS-SSS). IBS-SSS is a validated five-item questionnaire used to assess IBS symptoms and severity of the disease consisting of pain severity, pain frequency, bowel distension, satisfaction with bowel habits, and quality of life (6). Each item is scored on a scale of 1–100, and, thus, the maximum possible composite IBS-SSS score is 500. Higher scores are associated with more severe symptoms; the primary outcome, change in IBS-SSS, was determined as:

\[
\text{IBS-SSS at baseline} - \text{IBS-SSS at 6 weeks}
\]

Generally, in pharmaceutical RCTs, the time course of placebo responses for functional pain illnesses follows the time trajectory of the drugs (7). In IBS, even at a 1-week placebo, the drug effects are evident (8). In long term IBS drug RCTs (i.e., 26 weeks), placebo responses continue as long as the drug effect, and if there is any reduction in placebo effects, it matches with what happens with the drug (9). We chose 6 weeks as a primary endpoint measure because previous studies suggested that 6 weeks is a reasonable time frame to detect placebo and peppermint effects, and subsequent studies have confirmed this assumption.

**Power Calculations**

In a previous IBS trial (5), the mean (SD) in IBS-SSS score change by COMT rs4680 genotype with sham acupuncture was 87.4 (85.3) for met/met; 69.2 (70.5) for val/met; and 36.3 (74.4) for val/val. Thus, we estimated that we had >80% power to detect a difference between the two homozygous groups with an n of 188.

**Genotyping and Gene Expression**

Additional information regarding genotyping on the Infinium Global Screening Array v2.0 (Illumina, San Diego, and Calif) and RNA-seq (Differential Gene Expression Analysis) performed at Admera Health (Plainfield NJ) on RNA extracted from human blood using PAXgene Blood RNA kit (Qiagen, Hilden, and Germany) at baseline and 6 weeks is available in the Supplementary Material.

**Candidate Gene, Gene-Set, and GWAS Analysis**

For the GWAS, the following model was utilized:

\[
\text{IBS-SSS change} \sim \text{SNP} + \text{age} + \text{sex} + \text{treatment arm} + 5 \text{ principal components (PCs)}
\]

The top five principle components were used to correct for genetic heterogeneity across different races/ethnic groups. Principle components analysis (PCA) was performed on the whole genome SNP data using PLINK (10). In GWAS of quantitative change, the baseline measure has been shown to bias the effect of variants on treatment response; therefore, we did not include baseline IBS-SSS as a covariate in the model (11).

For this analysis, plink (10) was used to determine the effects of gene dosage for SNPs with a frequency >0.05. SNPs were considered to be genome-wide suggestive or significant if they were associated at thresholds of \( p < 10^{-5} \) and \( p < 5.0 \times 10^{-8} \), respectively. The GWAS output was cleaned using EasyQC with standard settings. Manhattan and QQ plots were generated with R package qman.

We used FUMA (http://fuma.ctglab.nl/) to generate gene-based tests and extract functional annotations for genome-suggestive loci (\( p < 10^{-5} \)). Summary statistics from the FUMA GWAS analysis were used to run multimarker analysis of GenoMic annotation (MAGMA) (12). In the gene-set analysis, MAGMA tests if the results from the gene-based analysis point to the involvement of specific pathways; \( p < 4.6 \times 10^{-6} \) is considered to be significant. Analysis of transcription factor networks was performed using NetworkAnalyst 3.0 (13).

**RESULTS**

**Demographics and Baseline Measures of Participants**

This study examined 188 participants with IBS enrolled in a RCT (1, 14) who were randomized to DBP (\( N = 63 \)), OLP (\( N = 63 \)), or NPC (\( N = 62 \)). The distribution of demographic and baseline clinical characteristics did not vary by randomized treatment allocation (Table 1). The average age of participants was 42.1 ± 18.2 years, 73% were women, and a majority (85%) self-reported their race as white. The distribution of COMT rs4680 was in Hardy-Weinberg equilibrium (\( p = 0.93 \)). At baseline, IBS-SSS did not vary by treatment arm (Table 1) or by COMT rs4680 genotype across all arms combined (Figure 1A).

**COMT Association With Change in IBS-SSS**

In COMT rs4680 gene dosage models of change in IBS-SSS from baseline to 6 weeks, increasing the number of met alleles was

**TABLE 1 | Demographics, baseline characteristics, and COMT rs4680 distribution by treatment arm.**

| Treatment Arm          | N  | Age (mean) (SD) | Female, n (%) | White, n (%) | IBS-SSS, mean (SD) | COMT rs4680 |
|------------------------|----|----------------|---------------|--------------|--------------------|-------------|
| DBP                    | 63 | 43.2 (19.8)    | 45 (70)       | 54 (86)      | 283.1 (69.8)       | met/met (%) |
| OLP                    | 63 | 43.2 (17.3)    | 48 (76)       | 53 (84)      | 282.7 (57.4)       | val/met (%) |
| NPC                    | 62 | 40.1 (17.6)    | 44 (71)       | 52 (84)      | 261.8 (66.2)       | val/val (%) |

\( p \) values are under protocol 2015P000282.
associated with a greater reduction in IBS symptom severity (beta (SE), $-22.3 (10.0)$, $p = 0.027$) such that participants homozygous for the low activity met allele (met/met) had the greatest placebo response across all participants in the three treatment arms combined (Figure 1B).

In gene dosage models stratified by treatment arm, the largest difference by COMT genotype was observed in the DBP. Specifically, met/met participants had the largest improvement with DBP ($140.6 \pm 77.2$), val/met participants were intermediate ($95.10 \pm 92.6$), and val/val ($53.1 \pm 136.5$) participants had the smallest change (beta (SE), $-90.1 (40.6); p = 0.04$) (Figure 1C).

In the NPC arm, the pattern was similar to DBP, but the change in IBS-SSS was lower in magnitude and the differences by COMT genotype were non-significant (beta (SE), $-27.1 (16.7); p = 0.11$). There was no difference by COMT genotype in OLP ($p = 0.79$).

Stratification by sex revealed a similar pattern of COMT rs4680 effects across all three treatment arms in women, such that met/met women had the greatest improvement ($109.9 \pm 96.5$) and val/val women the least improvement ($67.4 \pm 97.4$; Supplementary Figure 1). This pattern was observed in men in the DBP and NPC, but not in men randomized to OLP.

**Genome-Wide Association Analysis**

No inflation of data was observed in the GWAS of change in IBS-SSS from baseline to 6 weeks across all treatment arms (Figure 2 and Supplementary Figure 2). The 12 loci associated with a change in IBS-SSS at the genome-wide suggestive level (set at $p < 10^{-5}$) are described in Table 2. Seven loci mapped to introns, one to an exonic region in a non-coding RNA, and the rest were located in intergenic regions. Several loci had links to neuronal and gastrointestinal function and one, NAV2 (neuron navigator 2), had links to placebo response (15). NAV2 is critical to vagus nerve development (16), is associated with gut microbiome composition (17), and was previously associated with placebo response in asthma (15). CTNND2 is associated with severe pain (18) and anxiety (19). LINC02006, a non-coding RNA, is associated with gut microbiota (20), serotonin levels (21), and infantile hypertrophic pyloric stenosis (22). Other genome-wide suggestive loci were linked to genes involved in neuronal dysfunction.
growth, connection, and signaling \([\text{COBL} (23), \text{DCDC2} (24), \text{PTBP2} (25), \text{CTNND2} (19), \text{and ZBTB14} (26)]\).

**Gene-Set Analysis**

We used gene-set enrichment analysis (12) to identify pathways or genes with common functions associated with IBS symptom improvement. Four gene ontology (GO) terms were identified that were genome-wide significant after Bonferroni correction (Figure 3 and Supplementary Table 1). Three pathways were involved in transcriptional regulation: GO:0032968, \(p = 1.23 \times 10^{-6}\), which is involved in the regulation of transcription elongation from RNA polymerase II promoter; GO:0070937, \(p = 1.66 \times 10^{-7}\), and the related GO:0070934, \(p = 7.14 \times 10^{-8}\), which mediate stabilization of mRNA by RNA-binding proteins associated with the open reading frame (27); and GO:0003918, \(p = 3.06 \times 10^{-6}\), which is associated with DNA topoisomerase activity (28).

**Gene Expression Network Analysis**

Gene regulatory network analysis of the genome-suggestive loci identified a transcription factor network that included 10/12 loci plus \(\text{COMT} (\text{Figure 4}). \ \text{EGR1} \) was the transcription factor with the highest degree (7) and betweenness centrality (407); \(\text{TP53} \) also had a degree of 7 (Supplementary Table 2). \(\text{EGR1} \) is rapidly induced by physiologic or emotional stress to upregulate transcription of a wide set of genes, including those involved in dopamine synthesis.

Comparison of transcript levels in peripheral blood samples from the IBS participants across all three treatment arms at baseline and 6-weeks indicated that \(\text{EGR1} \) gene expression was significantly reduced across all treatment arms (log fold-change \(-0.15; p = 0.02; N = 188\)). Changes in \(\text{TP53} \) gene expression were not significant (\(p > 0.05\)).

**DISCUSSION**

In this study, in a clinical trial of patients with IBS, randomized to three placebo-related interventions (DBP, OLP, and NPC), we found the effects of \(\text{COMT} \) rs4680 in response to placebo treatments. Particularly in DBP, met/met participants had a significantly greater improvement in IBS symptoms compared with participants who were met/val and val/val. Furthermore, assuming that placebo-related response would be present to varying degrees in each arm, we identified transcription regulation and \(\text{EGR1} \) gene expression as novel epigenetic processes that potentially influence response to placebo treatment in IBS.

The \(\text{COMT} \) enzyme metabolizes several hormones and neurotransmitters, including norepinephrine, dopamine, and catechol estrogen, which have been implicated in IBS pathophysiology, stress, and response to placebo treatments. The low-activity form of the \(\text{COMT} \) enzyme, encoded by a methionine (met) allele in the rs4680 genetic polymorphism, ostensibly results in higher levels of these \(\text{COMT} \) substrates. Notably, there was no difference in IBS-SSS by \(\text{COMT} \) rs4680 at baseline, so it is unlikely that the changes in response to placebo treatment observed in this study were attributed to regression to the mean. Across the three placebo treatment arms in this study, participants homozygous for the met allele (met/met) had significantly greater improvement in the primary outcome measure, change in IBS-SSS, compared to homozygotes for the high-activity form of the enzyme (val/val). In women, the \(\text{COMT} \) rs4680 effect was consistent across all three arms. Apart from the OLP arm, the direction of \(\text{COMT} \) effects in men was similar to this overall trend of met/met > val/val. However, with so few men with the met/met genotype enrolled in this trial, follow-up studies are needed to understand
| rsID       | Location   | MAF   | Gene (nearest)          | P-value     | Type     | Description                                                                                                                                                                                                 |
|-----------|------------|-------|-------------------------|-------------|----------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| rs6701417 | 1:97071826 | 0.24  | (PTBP2)                 | 5.60E-06    | intergenic | This SNP maps upstream of and is an eQTL for PTBP2.                                                                                                                                                         |
| rs57519743| 3:153297025| 0.18  | LINC02006/LINC02877     | 1.87E-06    | intronic | In GWAS LINC02006 was associated with gut microbiota, serotonin levels, infantile hypertrophic pyloric stenosis.                                                                                           |
| rs28652757| 4:53881711 | 0.23  | SCFD2                   | 7.17E-06    | intronic | In GWAS sec1 family domain containing 2 was associated with testosterone levels and uterine fibroids.                                                                                                       |
| rs6815638 | 4:188225599| 0.21  | AC097652.1 (FAT1)       | 9.08E-07    | non-coding RNA exonic | NA                                                                                                                                                                                                             |
| rs31947   | 5:11461390 | 0.07  | CTNNND2                 | 9.85E-06    | intronic | Catenin delta 2 plays a critical role in neuronal development and formation and maintenance of dendrites and synapses.                                                                                  |
| rs62400400| 6:24266331 | 0.12  | DCDC2                   | 6.59E-06    | intronic | Doublecortin domain containing 2 plays a role in neuronal migration and cilogenesis.                                                                                                                       |
| rs9649794 | 7:51649139 | 0.41  | AC005999.2              | 9.75E-06    | intergenic | Cordon-bleu Wh2 repeat protein regulates neuronal morphogenesis and increases axon and dendrite branching. It is required for growth and assembly of brush border microvilli that maintain intestinal homeostasis. |
| rs11244033| 9:138079182| 0.34  | (OBP2B)                 | 7.87E-06    | intergenic | This SNP maps proximal to and is an eQTL for odorant binding protein 2B                                                                                                                                       |
| rs12266806| 10:12978973| 0.08  | CCDC3                   | 8.76E-06    | intronic | Coiled-coil domain containing 3 is highly conserved secretory protein that represses TNF-alpha/NF-KB and regulates liver lipid metabolism.                                                                  |
| rs11259792| 10:47691930| 0.15  | ANTXRL                  | 4.93E-06    | intronic | Anthrax toxin receptor-like—is associated with bipolar disorder                                                                                                                                             |
| rs11025279| 11:19853393| 0.34  | NAV2                    | 4.93E-06    | intronic | Neuron navigator 2—may play a role in neuronal growth and migration, is associated with gut microbiome composition and was associated with placebo response in asthma                                               |
| rs142674057| 18:5307474 | 0.08  | (ZBTB14)                | 5.18E-06    | intergenic | Zinc Finger And BTB Domain Containing 14—transcriptional activator of dopamine transporter (DAT) and IL-6.                                                                                                  |

Columns correspond to SNP name, chromosomal location, (MAF), gene symbol for gene or nearest gene in brackets, p-value, SNP type, description of the function of the protein. Gray shaded boxes indicate genes that contain transcription binding sites for TP53 or EGR1.
if there are sex-specific responses to OLP. Taken together, this study extends our previous finding that genetic variation in COMT differentially influences IBS symptom improvement in response to placebo treatment (5), in particular DBP, and suggests that the COMT rs4680 genetic variant may be useful in predicting, managing, and targeting placebo response in IBS trials and drug development.

As a complex phenotype, placebo treatment response in IBS is likely to be polygenic, with influence from many genetic loci each with small individual effects. However, identifying small genetic effects requires a large sample size to provide power to discern statistically significant effects. As expected in a study with a sample size having limited power, none of the loci in the GWAS reached genome-wide significance. Gene-set analysis aggregates data for complex traits based on biological data to reduce the sample size required to detect important signals. In this study, we used gene-set analysis of the GWAS for SNP-level associations with change in IBS-SSS to explore genome-mediated responses to treatment. To maximize power, we also combined participants from the three placebo treatment arms assuming placebo-related responses, which would contribute to the outcome in each of the three treatment arms. Four statistically significant GO terms were identified: three linked to transcription regulation (GO:0032968, GO:0070934, and GO:0070937) and one associated with DNA topoisomerase regulation (GO:0003918).

The genome-wide suggestive genetic loci plus COMT were densely connected in a transcription factor network in which EGR1 was the transcription factor node with the greatest betweenness centrality. Gene expression analysis in this study demonstrated that EGR1 was significantly downregulated from baseline after 6 weeks of the various forms of placebo treatment. EGR1 is a critical mediator of gene-environment interactions and is tightly associated with neuronal activity and learning, memory, and sensitivity to reward. In rodents, water immersion restraint stress rapidly induces EGR1 expression in blood vessels and gastroduodenal smooth muscle (29, 30). Similarly, EGR1 expression is rapidly induced in jejunal smooth muscle and enteric neurons following surgical manipulation of the intestine, and EGR1 expression in infiltrating mononuclear inflammatory cells correlates with postoperative ileus (31). Child abuse is associated with methylation of EGR1 binding sites in the glucocorticoid receptor promoter region in PBMCs, thereby providing a mechanism by which social experience modulates hypothalamic–pituitary–adrenal axis activity (32). Similar epigenetic regulation by EGR1 may be one of the mechanisms involved in IBS symptoms. As used in this study, the gene-set analysis provided potentially important insights into functional and biological mechanisms underlying the genetic component of placebo response.

Although the combined GWAS of all participants increased our power to detect loci associated with response to treatment in IBS, we were underpowered for a GWAS of the effects in the individual treatment arms, or stratified analyses by sex and IBS type (constipation or diarrhea). Despite the many known links of COMT to placebo and IBS, it did not emerge as a top hit in this GWAS. One possibility is that COMT effects are strongest with blinded-placebo, and the DBP arm
in this study was underpowered for genome-wide significance. Another possibility is that the pharmacogenetic effects of COMT, which is known to interact with a wide variety of drugs and supplements, masked these effects (33–35). Although we were limited to PBMCs in this study to assess changes in gene expression, there is evidence that changes in PBMCs correlate with neurological changes in gene expression. Finally, in designing this trial, we expected that the NPC arm would serve as a control for “placebo effects.” However, with improvements among some IBS participants in the NPC, simply from enrolling in the trial, interacting with study staff, and responding to questionnaires at the study visits, we still cannot distinguish whether these effects are attributable to natural history or a modest placebo.

In the context of a randomized clinical trial largely consisting of placebo treatments, we have generalized the finding that
COMT rs4680 genotype influences response to blinded placebo and used multi-omics analyses to acquire a more comprehensive view of the loci and pathways associated with treatment response in IBS. A deeper understanding of these pathways may guide the development of novel therapies for IBS (e.g., targeting EGR1) and improve the clinical trial design (e.g., excluding participants whose COMT genotype may predispose them to a significant placebo response).

DATA AVAILABILITY STATEMENT
The raw data supporting the conclusions of this article will be made available by the authors, without undue reservation.

ETHICS STATEMENT
The studies involving human participants were reviewed and approved by Beth Israel Deaconess Medical Center under protocol 2015P000282. The patients/participants and approved by Beth Israel Deaconess Medical Center. The studies involving human participants were reviewed and approved by Beth Israel Deaconess Medical Center. The raw data supporting the conclusions of this article will be made available by the authors, without undue reservation.

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AUTHOR CONTRIBUTIONS
KH, AL, TK, JL, JS, and VC: project design, analysis, and writing manuscript. R-SW: analysis and writing manuscript. MR, JL, and JN: irritable bowel syndrome (IBS), trial design, execution, and writing manuscript. All authors contributed to the article and approved the submitted version.

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SUPPLEMENTARY MATERIAL
The Supplementary Material for this article can be found online at: https://www.frontiersin.org/articles/10.3389/fpain.2021.775386/full#supplementary-material
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