Molecular subtyping and genomic profiling expand precision medicine in refractory metastatic triple-negative breast cancer: the FUTURE trial

Yi-Zhou Jiang1,2, Yin Liu1,2, Yi Xiao1,2, Xin Hu1,2, Lin Jiang1,2, Wen-Jia Zuol2, Ding Ma1,2, Jiahang Ding1,2, Xiaoyu Zhu3, Jianjun Zou3, Claire Verschraegen4, Daniel G. Stover5, Virginia Kaklamani6, Zhong-Hua Wang1,2 and Zhi-Ming Shao1,2

INTRODUCTION

Triple-negative breast cancer (TNBC) encompasses a subset of breast cancers that lack expression of the estrogen receptor (ER), progesterone receptor (PR), and human epidermal growth factor receptor 2 (HER2)1,2. TNBCs account for 10 to 20% of newly diagnosed breast cancer cases and are associated with higher incidence of visceral metastases, higher risk of early recurrence and worse prognosis.3,4

In recent years, the consensus is that TNBC is a highly heterogeneous disease,5–8 and this may have implications for TNBC treatment choice. Our previous study presented a multiomic profiling of 465 Chinese patients with TNBCs and provided the largest genomically characterized TNBC dataset to date.8 We classified TNBCs into four mRNA subtypes with distinct molecular features: (1) luminal androgen receptor (LAR), (2) immunomodulatory (IM), (3) basal-like immune-suppressed (BLIS), and (4) mesenchymal-like (MES), identified the genomic aberrations that drive each TNBC mRNA subtype, and provided additional insights into TNBC heterogeneity and potential therapeutic options.

Advancement in genomics has fueled the efforts toward “precision oncology”, targeting cancers on the basis of their genetic mutations. Clinical trials focusing on precision oncology are often classified as “umbrella trials”, “platform trials” and “basket trials”. Umbrella trials evaluate multiple targeted therapies for one single disease, such as BATTLE-2 study and Lung-MAP study.9–13 However, these studies mainly focused on genomic targets, and did not take molecular subtyping into consideration in the study design. Platform trials set a platform to evaluate multiple targeted therapies for multiple diseases, mainly focusing on refractory solid tumors and rare tumors, such as IMPACT, I-PREDICT and WINTHER platforms.14–16 Basket trials refer to designs in which one targeted therapy is evaluated on multiple diseases that have common genetic aberrations. Most precision medicine trials focus on DNA abnormalities, but only a few tumors have tractable genomic alterations. There is an urgent need to explore therapeutic targets beyond the identification of genomic driver aberrations.

Although previous clinical trials have studied several targeted therapies for TNBC, most of these trials were single- or double-arm,
TNBC subtyping and genomic sequencing-guided targeted therapy

Between October 18th, 2018 and March 25th, 2020, 69 patients enrolled in the FUTURE trial, out of 87 screened patients. Table 1 summarizes the clinical characteristics of the 69 enrolled patients. Detailed patient information is listed in Supplementary information, Table S2.

The median duration of follow-up at the time of cutoff (April 7th, 2020) was 9.2 months (interquartile range (IQR) 5.3–12.2 months). At the data cutoff time, 9 (13.0%) of 69 patients remained on treatment. 40 (66.7%) of the 60 patients discontinued the study due to disease progression. Three (5.0%) patients discontinued the study as a result of serious adverse events. Fourteen (23.3%) patients withdrew from the study. In addition, two (3.3%) patients were lost to follow-up before the first post-baseline tumor assessment, and one (1.7%) patient had a ruptured chest wall lesion as her only site of measurable disease but could not be measured with imaging examination (Supplementary information, Fig. S2).

RESULTS

Patient characteristics
Between October 18th, 2018 and March 25th, 2020, 69 patients were enrolled in the FUTURE trial, out of 87 screened patients (Fig. 1 and Supplementary information, Fig. S2). Table 1 summarizes the clinical characteristics of the 69 enrolled patients. These patients were heavily pretreated (median of three previous lines of therapy). For each enrolled patient, one recurrent or metastatic tumor site was prospectively biopsied for IHC subtyping and targeted sequencing (Supplementary information, Fig. S1 and Table S1). Based on the identified TNBC IHC subtype and genomic biomarkers, patients were then assigned to a certain arm of the study. Here, we report the interim analysis of the FUTURE trial.

and they did not subtype TNBC for a specific target, which may limit the treatment efficacy. Referring to previous umbrella trials, we now present a phase I/II Fudan University Shanghai Cancer Center TNBC umbrella (FUTURE) trial, which for the first time combined the TNBC subtyping and genomic sequencing-guided targeted therapy for refractory metastatic TNBC patients (Fig. 1). The FUTURE trial first simplified the TNBC mRNA subtypes by an immunohistochemical (IHC) method using three representative combiners. Combining with TNBC IHC subtype-specific genomic features, the FUTURE trial allows most of the patients to enter the corresponding precision treatment arm. We aimed to evaluate the efficacy and safety of multiple precision treatments in heavily pretreated patients with refractory metastatic TNBC who had received a median of three previous lines of therapies. For each enrolled patient, one recurrent or metastatic tumor site was prospectively biopsied for IHC subtyping and targeted sequencing (Supplementary information, Fig. S1 and Table S1). Based on the identified TNBC IHC subtype and genomic biomarkers, patients were then assigned to a certain arm of the study. Here, we report the interim analysis of the FUTURE trial.

Efficacy
Patients were enrolled into the following arms based on their TNBC subtypes and genomic features: (A) pyrotinib with capcitabine, (B) androgen receptor inhibitor with CDK4/6 inhibitor, (C) anti-PD-1 with nab-paclitaxel, (D) PARPi inhibitor included, (E) and (F) anti-VEGFR included, or (G) mTOR inhibitor with nab-paclitaxel. Treatment efficacy of each arm is illustrated in Fig. 2a. Detailed imaging information from representative samples are illustrated in Supplementary information, Fig. S3. Fifty of the 69 enrolled patients underwent at least one post-baseline assessment. In general, objective response (complete response (CR) + partial response (PR)) was achieved in 20 (29.0%, 95% CI: 18.7%–41.2%) of 69 intention-to-treat (ITT) patients and in 20 (40.0%, 95% CI: 26.4%–54.8%) of 50 per-protocol (PP) patients. Disease control (CR + PR + stable disease (SD)) was achieved in 29 (42.0%, 95% CI: 30.2%–54.5%, in ITT population; 58.0%, 95% CI: 43.2%–71.8%, in PP population, respectively) patients. In the 18 patients whose data were available, we compared the duration of treatment provided by the FUTURE trial with that of the patient’s most recent anti-cancer treatment before enrollment. The median duration of treatment provided by FUTURE trial was 3.5 months, whereas that of previous anti-cancer treatment was 2.4 months (P = 0.02, Fig. 2b).

Nineteen patients enrolled in arm C were assigned to the treatment of immune checkpoint inhibitor (ICI) plus nab-paclitaxel, with sixteen (84.2%) patients undergoing at least one post-baseline assessment, one (5.3%) patient was in poor physical condition, and was unable to travel to the hospital for assessment, one (5.3%) patient was lost to follow-up, and one (5.3%) patient had a ruptured...
Table 1. Baseline characteristics of the enrolled patients.

| Characteristics                                      | Patients (n = 69) |
|-------------------------------------------------------|------------------|
| Median age at enrollment — year (range)               | 51 (28–74)       |
| ECOG — no. (%)                                        |                  |
| 0                                                     | 3 (4%)           |
| 1                                                     | 54 (78%)         |
| 2                                                     | 12 (17%)         |
| Previous lines of treatment — median no. (range)      | 3 (1–8)          |
| Previous use of taxanes or anthracyclines for metastatic or nonmetastatic disease — no. (%) |                  |
| Taxanes                                               | 68 (99%)         |
| Anthracyclines                                        | 59 (86%)         |
| Previous use of chemotherapy drugs for metastatic disease — no. (%) |                  |
| Platinum agents                                       | 61 (88%)         |
| Gemcitabine                                           | 50 (72%)         |
| Capetabine                                            | 52 (75%)         |
| Vinorelbine                                           | 56 (81%)         |
| Others                                                | 15 (22%)         |
| No. of metastatic organ — no. (%)                     |                  |
| 1                                                     | 13 (19%)         |
| 2                                                     | 26 (38%)         |
| 3+                                                    | 30 (43%)         |
| Metastatic site — no. (%)                             |                  |
| Lymph nodes                                           | 49 (62%)         |
| Lung                                                  | 35 (51%)         |
| Liver                                                 | 21 (30%)         |
| Bone                                                  | 30 (43%)         |
| Chest                                                 | 22 (32%)         |
| Breast                                                | 15 (22%)         |
| Others                                                | 14 (20%)         |
| Progression-free interval of the first-line therapy (months) — no. (%) |                  |
| < 3                                                   | 24 (35%)         |
| 3–6                                                   | 22 (32%)         |
| > 6                                                   | 8 (12%)          |
| Unknown                                               | 15 (22%)         |

ECOG, Eastern Cooperative Oncology Group.

chest wall lesion that could not be measured with imaging examination (Supplementary information, Fig. S2). Fig. 2c shows the waterfall plot of the sixteen evaluable patients with refractory metastatic TNBC in arm C. Ten (62.5%) of the sixteen patients experienced a PR at first post-baseline evaluation. The objective response rate (ORR) of arm C was 52.6% (95% CI: 28.9–75.6%) in the ITT population and 62.5% (95% CI: 35.4–84.8%) in the PP population. Of the ten PR patients, median duration of response was 3.1 months (range 1.0–9.1 months; Fig. 2d, e). At the time of data cutoff (April 7th, 2020), six (60.0%) of the ten PR patients had discontinued the treatment after disease progression. One (10.0%) patient had discontinued the treatment as a result of serious adverse event (rupture of hemangioma of head and face). The remaining three PR patients are still being treated, and have thus far received anti PD-1 with nab-paclitaxel for 2.6–6.5 months (Fig. 2d, e).

Twenty-three patients were enrolled in arm E (anti-VEGFR), among whom seventeen (73.9%) patients underwent at least one post-baseline assessment. The waterfall plot demonstrated that six patients in arm E experienced objective response, with one CR and five PR (Fig. 2f). The ORR of arm E was 26.1% (95% CI: 10.2–48.4%) and 35.3% (95% CI: 14.2–61.7%) in the ITT and PP populations, respectively. Of the six patients with an objective response, the median duration of response was 4.1 months (range 1.1–9.8 months; Fig. 2g, h). Five (83.3%) of six patients discontinued treatments after disease progression, one patient with supraclavicular lymph nodes metastasis after five lines of therapy experienced CR after receiving apatinib for 6.6 months (Fig. 2g, h). Details regarding patients who did not undergo evaluation due to numerous reasons are listed in Supplementary information, Fig. S2.

Toxicity

Supplementary information, Table S3 summarizes the adverse events (AEs) of each arm. The most common treatment-related AEs of any grade were anemia (n = 46, 67%), leucopenia (n = 41, 59%), neutropenia (n = 30, 43%), thrombocytopenia (n = 28, 41%), fatigue (n = 26, 38%), hypertension (n = 18, 26%), proteinuria (n = 18, 26%), and elevated alanine aminotransferase (ALT) (n = 17, 25%) (Supplementary information, Table S3). Noticeably, except for hematologic high-grade AEs (≥ grade three), most of the other high-grade AEs occurring in arm E was related to apatinib (500 mg). High-grade AEs, including hypertension (n = 5, 22%), proteinuria (n = 4, 17%), hand-foot syndrome (HFS) (n = 4, 17%), leukopenia (n = 2, 9%), and elevated ALT (n = 2, 9%) were reported in at least two patients of arm E (Table 2).

Potential predictors of response in TNBC

We conducted clinical and genomic analysis to explore the potential predictors of response in refractory TNBC. Firstly, we compared the genomic difference between the patients whose best response were SD in arm E experienced severe HFS and elevated ALT, which resulted in the discontinuation of treatment before disease progression (Fig. 2g, h). Dose reduction occurred in six (40%) of fifteen patients with apatinib monotherapy. In addition, one PR patient in arm C discontinued the ICI therapy because of the rupture of hemangioma of head and face during the treatment, which was considered by the investigator to be drug-related.

Genomic landscape of refractory TNBC

In order to describe the genomic landscape of refractory TNBC, we conducted Fudan University Shanghai Cancer Center (FUSCC) next-generation sequencing (NGS) panel of targeted sequencing on the metastatic tumor samples (Supplementary information, Table S4). Most frequently mutated genes in refractory TNBC included TP53 (72%), PIK3CA (18%), PTEN (10%), KMT2D (9%) and TSC2 (9%) (Fig. 3a). Metastatic TNBC generally exhibited a similar mutation landscape to their primary counterparts. A higher mutation frequency was observed in some infrequently mutated genes, such as PTPRD, TSC2, PCLG1, ARID1B, CREBBP and FAM47C (Fig. 3b). We also conducted a race comparison between our FUSCC cohort of Chinese metastatic TNBC and Memorial Sloan-Kettering Cancer Center (MSKCC) cohort of American metastatic TNBC. A higher mutation frequency of TP53 was found in the MSKCC cohort (Fig. 3c). No other significant difference was found between the two populations.
total number of tumor cells on the pathological section of PD-L1 IHC staining, respectively) were evaluated. Some PR patients illustrated higher PD-L1 IHC scores than non-PR patients (Supplementary information, Fig. S4c). Furthermore, we also conducted genomic and clinical analysis in the whole cohort and arm E. A tendency toward higher frequency of drug resistance-related mutations (such as PTEN, RB1 and NOTCH3) was observed in PD patients of the whole cohort and arm E (Supplementary information, Fig. S4a, b). There was a tendency of higher FOXC1 IHC score (defined as the number of positive tumor cells divided by the total number of tumor cells on the pathological section of FOXC1 IHC staining, Supplementary Methods) in SD plus PD patients compared with CR plus PR patients in arm E ($P = 0.26$, Supplementary information, Fig. S4c).
A major focus of the FUTURE trial was to explore the efficacy of anti PD-1 with nab-paclitaxel for TNBCs of the IM subtype. Our previous study revealed that elevated immune cell signaling and tumor infiltrating lymphocytes were hallmarks of the IM subtype. High expression of immune checkpoint genes (such as PD-1, PD-L1, and CTLA-4) suggested potential benefit from ICIs.5,23 We applied this hypothesis to arm C of the FUTURE trial, and observed around 5% efficacy in heavily pretreated refractory metastatic TNBCs. The trial indicated for the first time the potential role of TNBC subtyping and genomic testing in targeted therapy of refractory metastatic TNBCs. Furthermore, the FUTURE trial demonstrated favorable outcomes. The ORR and DCR of the 69 enrolled patients were 29.0% and 42.0%, respectively. Specifically, arms C and E, where the IM and BRCA1/2 gene wild type-BLIS subtypes were targeted with immunotherapy and anti-VEGFR therapy, respectively, accrued more patients and showed favorable outcomes.

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pathway. In particular, one TNBC with FGFR1 amplification benefited from anlotinib after PD from anti-AR plus anti-CDK4/6 therapy, and another patient with AR (+) and CD8 (+, 25%) benefited from anti-PD-1 therapy. These results spurred further exploration for therapeutic targets in arm B (Supplementary information, Fig. S6). In addition, arm D tested the hypothesis that the BLIS subtype TNBCs with germline BRCA1/2 mutation may benefit from PARP inhibitors, but all of the three assessable patients in arm D had progressed at first evaluation (Supplementary information, Fig. S7). We speculate that these inconsistencies may have been due to the small sample size, and three subjects in this arm were platinum-refractory patients. A larger sample size may yield different results, while in the meantime, we need to continue searching for more optimal targets for tumors of this subtype.

We also compared the mutational landscape between the primary and metastatic TNBCs. Some low-frequent mutated genes, such as PTPRD, TSC2, PLCG1, ARID1B, CREBBP and FAM47C were enriched in metastatic samples. PTPRD mutation was previously reported in the TCGA cohort, both in vitro and in vivo experiments confirmed that PTPRD acted as a negative regulator of breast cancer metastasis, possibly via downstream IL-6/STAT3 cascade and E2F regulation. TSC2 was a putative tumor suppressor gene in the PI3K/AKT/mTOR pathway and its mutation was also observed in HR+/HER2− metastatic breast cancers in a multi-center cohort. However, it was also revealed to play a protumorigenic role in breast cancer. High levels of TSC2 were found to be correlated with increased metastasis in breast cancer patients. Phosphorylation of TSC2 was also illustrated to activate the mTORC1 pathway and thus mediated drug resistance toward several targeted therapies of HR+ breast cancer. The relationship between PLCG1 and breast cancer has yet to be studied in depth, with only one research mentioning PLCG1 expression as a predictor for the AKT inhibitor response in vitro. ARID1B was a paralog of frequently mutated tumor suppressor gene ARID1A. ARID1B overexpression was found to be associated with poor prognosis in TNBC patients, but the mechanism awaits further exploration. CREBBP participates in chromosomal remodeling similar to ARID1A/B and was identified as a binding protein of CREB. While the relevance between CREBBP and breast cancer metastasis has been poorly understood, the CREBBP/β-catenin/FOXM1 axis plays a vital role in TNBC drug resistance via elevating cancer stem cell abundance. FAM47C was a rarely mutated gene and its function remained elusive. In summary, the impact of these infrequently mutated and metastatic-enriched genes remains largely unknown and warrants further exploration.

Owing to the small sample size of this study, some biomarkers, such as TOP2A mutation and CD8 IHC score, need further validation. Similarly, further evidence will be required before our efficacy results may be applied upon the general population. However, the majority of targeted therapies featured in our study arms have been reported in other publications, including...
preliminary results from clinical studies. Therefore, the main purpose of this clinical trial was to prove that a combination of TNBC subtyping and genomic sequencing can help screen for patients on whom these targeted treatments would be most effective. The fact that we were able to achieve a favorable efficacy, despite our enrollment of heavily pretreated patients, suggests that our method of screening may greatly benefit the precision treatment of refractory metastatic TNBCs.

Overall, the FUTURE trial has shown that the combination of molecular subtyping and targeted sequencing was a promising treatment strategy for refractory metastatic TNBCs. Current findings of the FUTURE trial would promote further clinical research on precision treatment of TNBCs.

**MATERIALS AND METHODS**

**Study population**

We recruited patients with refractory metastatic TNBC at FUSCC from October 18th, 2018 to March 25th, 2020. Refractory metastatic TNBC was defined as metastatic TNBC patient who experienced disease progression during or following standard treatment with chemotherapy (including anthracyclines, taxanes, platinum, vinorelbine, capecitabine and gemcitabine). Eligibility criteria included: (1) female patients diagnosed with metastatic breast carcinoma with an ER−, PR− and HER2− phenotype. (2) central pathologic examination of tumor specimens performed by the Department of Pathology at FUSCC (ER, PR and HER2 status was independently confirmed by two experienced pathologists based on immunochemical analysis and in situ hybridization). We used < 1% positively stained cells as the cutoff for ER/PR negativity in immunohistochemistry testing according to the American Society of Clinical Oncology/College of American Pathologists Guideline. Eligibility criteria also included having adequate performance status (ECOG grade 0–2) and at least one target lesion suitable for biopsy. Uncontrolled brain metastasis was excluded from the enrollment. Details of the inclusion and exclusion criteria are provided in the study protocol in Supplementary Methods. All patients provided written informed consent. This study was approved by the FUSCC Ethics Committee.

**Study design and oversight**

The FUTURE trial is a phase Ib/II, open-label, umbrella trial evaluating the efficacy and safety of multiple targeted treatments based on tumor characteristics of patients with refractory metastatic TNBC. The protocol of this study is available in Supplementary Methods.

As it took nearly one and a half months to receive the sequencing report, the screened patients were suggested to undergo biopsy to provide specimen for TNBC IHC subtyping and molecular tumor-biomarker assessment while being treated with earlier lines of therapy, or at the time of disease progression after the most recent line of standard therapy. Patients who were biopsied and were still undergoing standard chemotherapy could then be enrolled after disease progression. Their pre-obtained specimen-derived testing report would be used for arm assignment.

The samples of baseline tumor biopsy were used to conduct TNBC IHC subtype staining and an FUSCC NGS panel sequencing which detected somatic and germline mutations of 484 breast cancer-specific genes (Supplementary information, Fig. S1 and Table S1). AR, CD8 and FOXC1 were chosen as optimal IHC biomarkers for TNBC subtyping. We considered the differential expression analysis of RNA sequencing data, the correlation between the mRNA and protein expression and the feasibility for IHC in selecting optimal IHC biomarkers. Detailed selection steps are described in Supplementary Methods.

Patients who consented to enroll in the FUTURE trial were assigned to one of these seven treatment arms based upon their subtype and genomic biomarker. The treatment arms included: (A) pyrotinib, a HER2 receptor inhibitor, with capecitabine for the LAR subtype with ERBB2 somatic mutation, (B) androgen (AR) inhibitor (SHR3680) with CDK4/6 inhibitor (SHR6390) for the LAR subtype without ERBB2 somatic mutation, (C) anti PD-1 (SH1210) with nab-paclitaxel for the IM subtype, (D) PARP inhibitor (SH3162) included therapy for the BLIS subtype with BRCA1/2 germline mutation, (E)
anti-VEGFR (apatinib or famitinib) included therapy for the BLIS subtype without BRCA1/2 germline mutation, (F) anti-VEGFR (famitinib) included therapy for the MES subtype without PI3K-AKT pathway mutation, and (G) mTOR inhibitor (everolimus) with nab-paclitaxel for the MES subtype with PI3K-AKT pathway mutation. All the treatment was continued until disease progression, patient withdrawal, or unacceptable toxic effects. Detailed drug usage and dose modifications are provided in Supplementary Methods. This study was registered with ClinicalTrials.gov, number NCT03805399.

Efficacy evaluation
Computed tomography (CT) and magnetic resonance imaging (MRI) were performed at baseline and at the second cycle after the start of treatment. Subsequent imaging was performed at one or two cycle intervals until disease progression. Assessment of response was performed according to Response Evaluation Criteria in Solid Tumors version 1.1 [RECIST v1.1]. The primary end point of the FUTURE trial was the ORR after two cycles. The secondary end point included DCR, progression-free survival (PFS), overall survival (OS) and safety. ORR was defined as the percentage of patients that experienced CR or PR of the disease. DCR was defined as the percentage of patients that experienced CR, PR or SD. PFS was assessed from the starting date of targeted treatment therapy to the earliest sign of PD or death as a result of any cause. OS was assessed from the starting date of targeted treatment therapy to death as a result of any cause. Duration of response (DOR) was calculated as the date of the first evaluation showing documented PR or CR to the date of the first PD or death, whichever is earlier.

Safety
Safety evaluations included assessments of AEs and serious adverse events (SAEs), laboratory safety evaluations, vital signs, and physical examination. AEs were assessed in accordance with the National Cancer Institute Common Terminology Criteria for Adverse Events, version 4.0 (CTCAE V4.0). For AEs with various grades, the maximum reported grade was used in Table 2.

Statistical analysis
The interim analysis was planned to be conducted when 20 subjects were enrolled in at least one arm, and at least one subject was enrolled in each arm, to preliminarily evaluate the efficacy and safety of the drug combination in each arm. With the estimated enrollment speed, around 50% of subjects would have been enrolled by the interim analysis time point. The ITT and PP populations were collected for analysis. The ITT population was defined as all enrolled population. The PP population was a subgroup of patients who were compliant with the protocol and without any major protocol violations. The efficacy of treatment was analyzed in the ITT and PP populations. The patients without at least one post-baseline efficacy evaluation were excluded from the PP population. The ORR and DCR with the 95% CI were calculated with the Clopper–Pearson method. PFS and OS with 95% CI were assessed with the Kaplan–Meier method. Student’s t-test, Wilcoxon’s test, and Kruskal–Wallis test were utilized to compare continuous variables and ordered categorical variables. Pearson’s chi-square test or Fisher’s exact test were employed for the comparison of unordered categorical variables. All the tests were two sided, and P < 0.05 was regarded statistically significant, unless otherwise stated. R version 3.6.1 (Foundation for Statistical Computing, Vienna, Austria) was used for statistical analysis.

ACKNOWLEDGEMENTS
We are grateful to the patients and their families who contributed to this study. This work was funded by Hengrui Medicine Co., Ltd (Lianyungang, China), the National Natural Science Foundation of China (81920248, 81874112, 81874113 and 91959207), the Fok Ying-Tong Education Foundation for College Young Teachers (171034), the Training Plan of Excellent Talents in Shanghai Municipal Health System (2017YQ033), the “Chen Guang” project supported by Shanghai Municipal Education Commission, and Shanghai Education Development Foundation (17CG01), Shanghai Pujiang Program (18PJD007), the Training Plan of Excellent Talents of Fudan University, Shanghai Cancer Center (YFYO201602), the Municipal Project for Developing Emerging, and Frontier Technology in Shanghai Hospitals (SHDC12010116), the Cooperation Project of Conquering Major Diseases in Shanghai Municipality Health System (2013ZYB0302), the Innovation Team of Ministry of Education (IRT1223), the Shanghai Key Laboratory of Breast Cancer (12DZ2260100), and the Shanghai 3-year Action Plan for Traditional Chinese Medicine (ZY[2018–2020]-CCCX-2005-04).

AUTHOR CONTRIBUTIONS
Z.-M.S., Z.-H.W. and Y.-Z.J. developed the concepts. Y.L. and Z.-H.W. carried out the clinical trial. Y.-Z.J., Y.L., Y.X., X.H., J.H. and J.D. collected the data of the patients. Y.-Z.J., Y.L., Y.X., X.H., J.J. and J.D. analyzed the data. Y.-Z.J., Y.L. and Y.X. wrote the original paper. Y.-Z.J., Y.L., Y.X., W.-J.Z., X.Z., J.Z., D.M., C.V., D.G.S. and V.K. reviewed and edited the paper. Y.-Z.J. and Z.-M.S. supervised the study and acquired the funding.

ADDITIONAL INFORMATION
Supplementary information accompanies this paper at https://doi.org/10.1038/s41422-020-0375-9.

Competing interests: Xiaoyu Zhu and Jianjun Zou are employees of Jiangsu Hengrui Medicine Co, Ltd. No other potential conflicts of interest were reported.

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