Nucleosynthesis in Black-Hole-Forming Supernovae and Abundance Patterns of Extremely Metal-Poor Stars

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Abstract
Stars more massive than $\sim 20 - 25 \, M_\odot$ form a black hole at the end of their evolution. Stars with non-rotating black holes are likely to collapse "quietly" ejecting a small amount of heavy elements (Faint supernovae). In contrast, stars with rotating black holes are likely to give rise to very energetic supernovae (Hypernovae). We present distinct nucleosynthesis features of these two types of "black-hole-forming" supernovae. Nucleosynthesis in Hypernovae is characterized by larger abundance ratios (Zn,Co,V,Ti)/Fe and smaller (Mn,Cr)/Fe than normal supernovae, which can explain the observed trend of these ratios in extremely metal-poor stars. Nucleosynthesis in Faint supernovae is characterized by a large amount of fall-back. We show that the abundance pattern of the recently discovered most Fe-poor star, HE0107-5240, and other extremely metal-poor carbon-rich stars are in good accord with those of black-hole-forming supernovae, but not pair-instability supernovae. This suggests that black-hole-forming supernovae made important contributions to the early Galactic (and cosmic) chemical evolution. Finally we discuss the nature of First (Pop III) Stars.

1.1 Introduction
Among the important developments in recent studies of core-collapse supernovae are the discoveries of two distinct types of supernovae (SNe): 1) very energetic SNe (Hypernovae), whose kinetic energy (KE) exceeds $10^{52} \, \text{erg}$, about 10 times the KE of normal core-collapse SNe (hereafter $E_{51} = E / 10^{51} \, \text{erg}$), and 2) very faint and low energy SNe ($E_{51} < 0.5$; Faint supernovae). These two types of supernovae are likely to be "black-hole-forming" supernovae with rotating or non-rotating black holes. We compare their nucleosynthesis yields with the abundances of extremely metal-poor (EMP) stars to identify the Pop III (or first) supernovae. We show that the EMP stars, especially the C-rich class, are likely to be enriched by black-hole-forming supernovae.

1.2 Hypernova Branch and Faint Supernova Branch
Type Ic Hypernova SN 1998bw was probably linked to GRB 980425 (Galama et al. 1998), thus establishing for the first time a connection between gamma-ray bursts (GRBs) and core-collapse SNe. However, SN 1998bw was exceptional for a SN Ic: it was as luminous at peak as a SN Ia, indicating that it synthesized $\sim 0.5 \, M_\odot$ of $^{56}\text{Ni}$, and its KE was estimated as $E \sim 3 \times 10^{52} \, \text{erg}$ (Iwamoto et al. 1998; Woosley, Eastman, & Schmidt 1999).

Subsequently, other “hypernovae” have been recognized, such as SN 1997ef (Iwamoto et al. 2000; Mazzali, Iwamoto, & Nomoto 2000), SN 1999as (Knop et al. 1999; Hatano et al. 1999).
Fig. 1.1. Left: The near-maximum spectra of Type Ic SNe and hypernovae: SNe 1998bw, 1997ef, 2002ap, and 1994I. Right: The observed $V$-band light curves of SNe 1998bw (open circles), 1997ef (open triangles), 2002ap (stars), and 1994I (filled circles) (Mazzali et al. 2002).

Fig. 1.2. Spectrum of SN 2003dh/GRB 030329 compared with SNe 1998bw and 1997ef (Kawabata et al. 2003).

2001), and SN 2002ap (Mazzali et al. 2002). Although these SNe Ic did not appear to be associated with GRBs, most recent “hypernova” SN 2003dh is clearly associated with GRB 030329 (Stanek et al. 2003; Kawabata et al. 2003). Figure 1.1 shows the near-maximum spectra and the absolute $V$-light curves of hypernovae. Figure 1.2 shows that the spectrum of SN 2003dh/GRB 030329 is in good agreement with SNe 1998bw and 1997ef, especially 97ef (Kawabata et al. 2003). These hypernovae span a wide range of properties, although they all appear to be highly energetic compared to normal core-collapse SNe.

Figure 1.3 shows $E$ and the mass of $^{56}$Ni ejected $M(^{56}$Ni) as a function of the main-sequence mass $M_{\text{ms}}$ of the progenitor star obtained from fitting the optical light curves and spectra. These mass estimates place hypernovae at the high-mass end of SN progenitors.
In contrast, SNe II 1997D and 1999br were very faint SNe with very low $KE$ (Turatto et al. 1998; Hamuy 2003; Zampieri et al. 2003). In Figure 1.3, therefore, we propose that SNe from stars with $M_{ms} \gtrsim 20-25 M_\odot$ have different $E$ and $M(^{56}\text{Ni})$, with a bright, energetic “hypernova branch” at one extreme and a faint, low-energy SN branch at the other (Nomoto et al. 2003ab). For the faint SNe, the explosion energy was so small that most $^{56}\text{Ni}$ fell back onto the compact remnant. Thus the faint SN branch may become a “failed” SN branch at larger $M_{ms}$. Between the two branches, there may be a variety of SNe (Hamuy 2003).

This trend might be interpreted as follows. Stars with $M_{ms} \lesssim 20-25 M_\odot$ form a neutron star, producing $\sim 0.08 \pm 0.03 M_\odot$ $^{56}\text{Ni}$ as in SNe 1993J, 1994I, and 1987A (SN 1987A may be a borderline case between the neutron star and black hole formation). Stars with $M_{ms} \gtrsim 20-25 M_\odot$ form a black hole; whether they become hypernovae or faint SNe may depend on the angular momentum in the collapsing core, which in turn depends on the stellar winds, metallicity, magnetic fields, and binarity. Hypernovae might have rapidly rotating cores owing possibly to the spiraling-in of a companion star in a binary system.
1.3 Nucleosynthesis in Hypernova Explosions

In core-collapse supernovae/hypernovae, stellar material undergoes shock heating and subsequent explosive nucleosynthesis. Iron-peak elements are produced in two distinct regions, which are characterized by the peak temperature, $T_{\text{peak}}$, of the shocked material. For $T_{\text{peak}} > 5 \times 10^9 \text{K}$, material undergoes complete Si burning whose products include Co, Zn, V, and some Cr after radioactive decays. For $4 \times 10^9 \text{K} < T_{\text{peak}} < 5 \times 10^9 \text{K}$, incomplete Si burning takes place and its after decay products include Cr and Mn (Hashimoto, Nomoto, & Shigeyama 1989; Thielemann, Nomoto, & Hashimoto 1996).

1.3.1 Supernovae vs. Hypernovae

The right panel of Figure 1.4 shows the composition in the ejecta of a 25 $M_\odot$ hypernova model ($E_{51} = 10$). The nucleosynthesis in a normal 25 $M_\odot$ SN model ($E_{51} = 1$) is also shown for comparison in the left panel of Figure 1.4 (Umeda & Nomoto 2002a).

We note the following characteristics of nucleosynthesis with very large explosion energies (Nakamura et al. 2001b; Nomoto et al. 2001ab; Ohkubo, Umeda, & Nomoto 2003):

1. Both complete and incomplete Si-burning regions shift outward in mass compared with normal supernovae, so that the mass ratio between the complete and incomplete Si-burning regions becomes larger. As a result, higher energy explosions tend to produce larger [(Zn, Co, V)/Fe] and smaller [(Mn, Cr)/Fe], which can explain the trend observed in very metal-poor stars (Umeda & Nomoto 2002b, 2003b).

2. In the complete Si-burning region of hypernovae, elements produced by $\alpha$-rich freeze-out are enhanced. Hence, elements synthesized through capturing of $\alpha$-particles, such as $^{44}$Ti, $^{48}$Cr, and $^{64}$Ge (decaying into $^{44}$Ca, $^{48}$Ti, and $^{64}$Zn, respectively) are more abundant.

3. Oxygen burning takes place in more extended regions for the larger KE. Then more O, C, Al are burned to produce a larger amount of burning products such as Si, S, and Ar. Therefore, hypernova nucleosynthesis is characterized by large abundance ratios of [Si,S/O], which can explain the abundance feature of M82 (Umeda et al. 2002).

1.3.2 Hypernovae and Zn, Co, Mn, Cr

Hypernova nucleosynthesis may have made an important contribution to Galactic chemical evolution. In the early galactic epoch when the galaxy was not yet chemically well-mixed, [Fe/H] may well be determined by mostly a single SN event (Audouze & Silk 1995). The formation of metal-poor stars is supposed to be driven by a supernova shock, so that [Fe/H] is determined by the ejected Fe mass and the amount of circumstellar hydrogen swept-up by the shock wave (Ryan, Norris, & Beers 1996). Then, hypernovae with larger $E$ are likely to induce the formation of stars with smaller [Fe/H], because the mass of interstellar hydrogen swept up by a hypernova is roughly proportional to $E$ (Ryan et al. 1996; Shigeyama & Tsujimoto 1998) and the ratio of the ejected iron mass to $E$ is smaller for hypernovae than for normal supernovae.

In the observed abundances of halo stars, there are significant differences between the abundance patterns in the iron-peak elements below and above [Fe/H]~ −2.5 - −3.

1. For [Fe/H]~ −2.5, the mean values of [Cr/Fe] and [Mn/Fe] decrease toward smaller metallicity, while [Co/Fe] increases (McWilliam et al. 1995; Ryan et al. 1996).

2. [Zn/Fe]~ 0 for [Fe/H] ~ −3 to 0 (Sneden, Gratton, & Crocker 1991), while at [Fe/H] < −3.3, [Zn/Fe] increases toward smaller metallicity (Primas et al. 2000; Blake et al. 2001).

The larger [(Zn, Co)/Fe] and smaller [(Mn, Cr)/Fe] in the supernova ejecta can be realized
if the mass ratio between the complete Si burning region and the incomplete Si burning region is larger, or equivalently if deep material from the complete Si-burning region is ejected by mixing or aspherical effects. This can be realized if (1) the mass cut between the ejecta and the compact remnant is located at smaller $M_r$ (Nakamura et al. 1999), (2) $E$ is larger to move the outer edge of the complete Si burning region to larger $M_r$ (Nakamura et al. 2001a), or (3) asphericity in the explosion is larger.

Among these possibilities, a large explosion energy $E$ enhances $\alpha$-rich freezeout, which results in an increase of the local mass fractions of Zn and Co, while Cr and Mn are not enhanced (Umeda & Nomoto 2002ab; Ohkubo et al. 2003). Models with $E_{51} = 1$ do not produce sufficiently large [Zn/Fe]. To be compatible with the observations of [Zn/Fe] $\sim 0.5$, the explosion energy must be much larger, i.e., $E_{51} \gtrsim 20$ for $M \gtrsim 20M_\odot$, i.e., hypernova-like explosions of massive stars ($M \gtrsim 25M_\odot$) with $E_{51} > 10$ are responsible for the production of Zn.

In the hypernova models, the overproduction of Ni, as found in the simple “deep” mass-cut model, can be avoided (Ohkubo et al. 2003). Therefore, if hypernovae made significant contributions to the early Galactic chemical evolution, it could explain the large Zn and Co abundances and the small Mn and Cr abundances observed in very metal-poor stars (Fig. 1.5: Umeda & Nomoto 2003b).

### 1.4 Extremely Metal-Poor (EMP) Stars and Faint Supernovae

Recently the most Fe deficient and C-rich low mass star, HE0107-5240, was discovered (Christlieb et al. 2002). This star has $[\text{Fe/H}] = -5.3$ but its mass is as low as 0.8 $M_\odot$. This would challenge the recent theoretical arguments that the formation of low mass stars, which should survive until today, is suppressed below $[\text{Fe/H}] = -4$ (Schneider et al. 2002).

The important clue to this problem is the observed abundance pattern of this star. This star is characterized by a very large ratios of $[\text{C/Fe}] = 4.0$ and $[\text{N/Fe}] = 2.3$, while the abundances of elements heavier than Mg are as low as Fe (Christlieb et al. 2002). Interestingly, this is not the only extremely metal poor (EMP) stars that have the large C/Fe and N/Fe ratios, but
several other such stars have been discovered (Ryan 2002). Therefore the reasonable explana-
tion of the abundance pattern should explain other EMP stars as well. We show that the
abundance pattern of C-rich EMP stars can be reasonably explained by the nucleosynthesis
of 20 - 130 $M_\odot$ supernovae with various explosion energies and the degree of mixing and
fallback of the ejecta.

1.4.1 The Most Fe-Poor Star HE0107-5240

We consider a model that C-rich EMP stars are produced in the ejecta of (almost)
metal-free supernova mixed with extremely metal-poor interstellar matter. We use Pop III
pre-supernova progenitor models, simulate the supernova explosion and calculate detailed
nucleosynthesis (Umeda & Nomoto 2003a).

In Figure 1.6 (right) we show that the elemental abundances of one of our models are in
good agreement with HE0107-5240, where the progenitor mass is 25 $M_\odot$ and the explosion
energy $E_{51} = 0.3$ (Umeda & Nomoto 2003a).

In this model, explosive nucleosynthesis takes place behind the shock wave that is gener-
at at $M_r = 1.8 M_\odot$ and propagates outward. The resultant abundance distribution is seen
in Figure 1.6 (left), where $M_r$ denotes the Lagrangian mass coordinate measured from the center of the pre-supernova model (Umeda & Nomoto 2003a). The processed material is assumed to mix uniformly in the region from $M_r = 1.8 \ M_\odot$ and $6.0 \ M_\odot$. Such a large scale mixing was found to take place in SN1987A and various explosion models (Hachisu et al. 1990; Kifonidis et al. 2000). Almost all materials below $M_r = 6.0 \ M_\odot$ fall back to the central remnant and only a small fraction ($f = 2 \times 10^{-5}$) is ejected from this region. The ejected Fe mass is $8 \times 10^{-6} \ M_\odot$.

The CNO elements in the ejecta were produced by pre-collapse He shell burning in the He-layer, which contains $0.2 \ M_\odot$ $^{12}$C. Mixing of H into the He shell-burning region produces $4 \times 10^{-4} \ M_\odot$ $^{14}$N. On the other hand, only a small amount of heavier elements (Mg, Ca, and Fe-peak elements) are ejected and their abundance ratios are the average in the region of $M_r = 1.8 - 6.0 \ M_\odot$. The sub-solar ratios of $[\text{Ti/Fe}] = -0.4$ and $[\text{Ni/Fe}] = -0.4$ are the results of the relatively small explosion energy ($E_{51} = 0.3$). With this "mixing and fallback", the large C/Fe and C/Mg ratios observed in HE0107-5240 are well reproduced (Umeda & Nomoto 2003a).

In this model, N/Fe appears to be underproduced. However, N can be produced inside the EMP stars through the C-N cycle, and brought up to the surface during the first dredge up stage while becoming a red-giant star (Boothroyd & Sackmann 1999).

1.4.2 Carbon-rich EMP stars: CS 22949-037 and CS 29498-043

The "mixing and fallback" is commonly required to reproduce the abundance pattern of typical EMP stars. In Figure 1.7 (left) we show a model, which is in good agreement with CS22949-037 (Umeda & Nomoto 2003a). This star has [Fe/H] = −4.0 and also C, N-rich (Norris2001, Ryan, & Beers 2001; Depagne et al. 2002), though C/Fe and N/Fe ratios are smaller than HE0107-5240. The model is the explosion of a $30 \ M_\odot$ star with $E_{51} = 20$. In this model, the mixing region ($M_r = 2.33 - 8.56 \ M_\odot$) is chosen to be smaller than the entire He core ($M_r = 13.1 \ M_\odot$) in order to reproduce relatively large Mg/Fe and Si/Fe ratios.
1.4.3 EMP Stars with a Typical Abundance Pattern

Similarly, the "mixing and fall back" process can reproduce the abundance pattern of the typical EMP stars without enhancement of C and N. Figure 1.8 (left) shows that the averaged abundances of [Fe/H] = −3.7 stars in Norris et al. (Norris et al. 2001) compared with a theoretical supernova yield (Umeda & Nomoto 2003b). (right) Yields of a pair-instability supernova from the 200 $M_\odot$ star (Umeda & Nomoto 2002a).

Similar degree of the mixing, but for a more massive progenitor, would also reproduce the abundances of CS29498-043 (Aoki et al. 2002), which shows similar abundance pattern (Fig. 1.7: left).

We assume a larger fraction of ejection than HE0107-5240, 2%, from the mixed region for CS29494-037, because the C/Fe and N/Fe ratios are smaller. The ejected Fe mass is 0.003 $M_\odot$. The larger explosion energy model is favored for explaining the large Zn/Fe, Co/Fe and Ti/Fe ratios (Umeda & Nomoto 2002a).

Without mixing, elements produced in the deep explosive burning regions, such as Zn, Co, and Ti, would be underproduced. Without fallback the abundance ratios of heavy elements to lighter elements, such as Fe/C, Fe/O, and Mg/C would be too large. In this model, Ti, Co, Zn and Sc are still underproduced. However, these elements may be enhanced efficiently in the aspherical explosions (Maeda et al. 2002; Maeda & Nomoto 2003ab).
well with the model of $25 \, M_\odot$ and $E_{51} = 20$ but larger fraction ($\sim 10\%$) of the processed materials in the ejecta. This yield (Umeda & Nomoto 2003b) is recommendable as averaged core-collapse SN yields for the use of chemical evolution models.

### 1.4.4 Aspherical Explosions

The “mixing and fall-back” effect may also be effectively realized in non-spherical explosions accompanying energetic jets (e.g., Maeda & Nomoto 2002, 2003ab). Compared with the spherical model with the same $M_{\text{cut}}(i)$ and $E$, the shock is stronger (weaker) and thus temperatures are higher (lower) in the jet (equatorial) direction. As a result, a larger amount of complete Si-burning products are ejected in the jet direction, while only incomplete Si-burning products are ejected in the equatorial direction (Fig. 1.9). In total, complete Si-burning elements can be enhanced (Maeda & Nomoto 2003ab).

The jet-induced explosion results in angle-dependent distribution of nucleosynthetic products as shown in Figure 1.9. The distribution of $^{56}\text{Ni}$ (which decays into $^{56}\text{Fe}$) is elongated in the jet direction, while that of $^{16}\text{O}$ is concentrated in the central region. Zn and Co are ejected at higher velocities than Mn and Cr, so that the latter accrete onto the central remnant more easily. As a consequence, $[\text{Zn/Fe}]$ and $[\text{Co/Fe}]$ are enhanced, while $[\text{Mn/Fe}]$ and $[\text{Cr/Fe}]$ are suppressed.

### 1.5 The First Stars

It is of vital importance in current astronomy to identify the first generation stars in the Universe, i.e., totally metal-free, Pop III stars. The impact of the formation of Pop III stars on the evolution of the Universe depends on their typical masses. Recent numerical models have shown that, the first stars are as massive as $\sim 100 \, M_\odot$ (Abel, Bryan, & Norman 2002). The formation of long-lived low mass Pop III stars may be inefficient because of
Table 1.1. The results of the stability analysis for Pop III and Pop I stars. ○ and × represent that the star is stable and unstable, respectively. The e-folding time for the fundamental mode is shown after × in units of $10^4$ yr (Nomoto et al. 2002a).

| mass ($M_\odot$) | 80 | 100 | 120 | 150 | 180 | 300 |
|----------------|----|-----|-----|-----|-----|-----|
| Pop III   | ○  | ○   | ○   | ×   | (9.03) | (4.83) | (2.15) |
| Pop I     | ×  | (7.02) | (2.35) | (1.43) | (1.21) | (1.71) |

slow cooling of metal free gas cloud, which is consistent with the failure of attempts to find Pop III stars.

If the most Fe deficient star, HE0107-5240, is a Pop III low mass star that has gained its metal from a companion star or interstellar matter (Yoshii 1981), would it mean that the above theoretical arguments are incorrect and that such low mass Pop III stars have not been discovered only because of the difficulty in the observations?

Based on the results in the earlier section, we propose that the first generation supernovae were the explosion of $\sim 20-130 M_\odot$ stars and some of them produced C-rich, Fe-poor ejecta. Then the low mass star with even [Fe/H] $< -5$ can form from the gases of mixture of such a supernova ejecta and the (almost) metal-free interstellar matter, because the gases can be efficiently cooled by enhanced C and O ([C/H] $\sim -1$).

1.5.1 Pair-Instability Supernovae

We have shown that the ejecta of core-collapse supernova explosions of 20-130 $M_\odot$ stars can well account for the abundance pattern of EMP stars. In contrast, the observed abundance patterns cannot be explained by the explosions of more massive, 130 - 300 $M_\odot$ stars. These stars undergo pair-instability supernovae (PISNe) and are disrupted completely (e.g., Umeda & Nomoto 2002a; Heger & Woosley 2002), which cannot be consistent with the large C/Fe observed in HE0107-5240 and other C-rich EMP stars. The abundance ratios of iron-peak elements ([Zn/Fe] $< -0.8$ and [Co/Fe] $< -0.2$) in the PISN ejecta (Fig. 1.8; Umeda & Nomoto 2002a; Heger & Woosley 2002) cannot explain the large Zn/Fe and Co/Fe in the typical EMP stars (McWilliam et al. 1995; Primas et al. 2000; Norris et al. 2001) and CS22949-037 either. Therefore the supernova progenitors that are responsible for the formation of EMP stars are most likely in the range of $M \sim 20 - 130 M_\odot$, but not more massive than 130 $M_\odot$. This upper limit depends on the stability of massive stars as will be discussed below.

1.5.2 Stability and Mass Loss of Massive Pop III Stars

To determine the upper limit mass of the Zero Age Main Sequence (ZAMS), we analyze a linear non-adiabatic stability of massive ($80M_\odot - 300M_\odot$) Pop III stars using a radial pulsation code (Nomoto et al. 2002a). Because CNO elements are absent during the early stage of their evolution, the CNO cycle does not operate and the star contracts until temperature rises sufficiently high for the 3α reaction to produce $^{12}$C. We calculate that these stars have $X_{\text{CNO}} \sim 1.6 - 4.0 \times 10^{-10}$, and the central temperature $T_c \sim 1.4 \times 10^8$K on their ZAMS. We also examine the models of Pop I stars for comparison.
Table 1 shows the results for our analysis. The critical mass of ZAMS Pop III star is 128$M_\odot$ while that of Pop I star is 94$M_\odot$. This difference comes from very compact structures (with high $T_c$) of Pop III stars.

Stars more massive than the critical mass will undergo pulsation and mass loss. We note that the $e$-folding time of instability is much longer for Pop III stars than Pop I stars with the same mass, and thus the mass loss rate is much lower. These results are consistent with Ibrahim, Boury, & Noels (1981) and Baraffe, Heger, & Woosley (2001). However, the absence of the indication of PISNe may imply that these massive stars above 130$M_\odot$ undergo significant mass loss, thus evolving into Fe core-collapse rather than PISNe.

1.6 Discussion

We have first shown that signatures of hypernova nucleosynthesis are seen in the abundance patterns in extremely metal-poor (EMP) stars. We suggest that hypernovae of massive stars may make important contributions to the Galactic (and cosmic) chemical evolution, especially in the early low metallicity phase. The IMF of Pop III stars might be different from that of Pop I and II stars, and that more massive stars are abundant for Pop III.

We have also shown that the most iron-poor star as well as other C-rich EMP stars is likely to be enriched by massive supernovae that are characterized by relatively small Fe ejection. Such supernovae are not just hypothetical but have been actually observed, forming a distinct class of type II supernovae ("faint supernovae": Nomoto et al. 2003ab). The proto-type is SN1997D, which is very under luminous and shows quite narrow spectral lines (Turatto et al. 1998) (also SN1999br; Zampieri et al. 2003): These features are well modeled as an explosion of the 25$M_\odot$ star with small explosion energy $E_{51} = 0.4$. On the other hand, typical EMP stars without enhancement of C and N correspond to the abundance pattern of energetic supernovae ("Hypernovae": Nomoto et al. 2003ab).

For both cases black holes more massive than $\sim 3 - 10 M_\odot$ must be left as a result of fallback, suggesting that the copious formation of the first black holes from the first stars. These black holes may consist of some of the dark mass in the Galactic halo. In our scenario, HE0107-5240 with [Fe/H] = $-5.3$ was formed from C, O-enhanced gases with [C,O/H] $\sim -1$. With such enhanced C and O, the cooling efficiency is large enough to form small mass stars. As far as the low mass EMP stars are C-rich, therefore, their small masses are consistent with the massive Pop III star formation. Rather their C-richness implies that Pop III stars that are responsible for their formation are massive enough to form (the first) black holes.

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Detailed yields are seen at http://supernova.astron.s.u-tokyo.ac.jp/umeda/data.html.

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