**Floquet topological insulator laser**

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**ABSTRACT**

We introduce a class of topological lasers based on the photonic Floquet topological insulator concept. The proposed system is realized as a truncated array of lasing helical waveguides, where the pseudomagnetic field arises due to twisting of the waveguides along the propagation direction that breaks the time-reversal symmetry and opens up a topological gap. When sufficient gain is provided in the edge channels of the array, the system lases into topological edge states. Topological lasing is stable only in certain intervals of the Bloch momenta that ensure a dynamic, but stable balance between the linear amplification and nonlinear absorption leading to the formation of breathing edge states. We also illustrate topological robustness of the edge currents by simulating lattice defects and triangular arrangements of the waveguides.

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An important property of topological insulators is the existence of topologically protected states at their edges with energies inside a topological gap and connecting two bands with different topological invariants. In real space, such edge states may demonstrate unidirectional propagation and topological robustness to the lattice and edge distortions. Originated in solid-state physics, the concept of topological insulators is now interdisciplinary. It has also been introduced in mechanical, acoustic, atomic, photonic, optoelectronic, and many other systems, where diverse potential applications of topologically protected transport are envisioned. Recent progress in the subarea of photonic topological insulators is described in, e.g., reviews.

Floquet topological insulators are a special case in the family of photonic realizations of topological systems, where a system is also periodic in an evolution variable, which can be either time or a longitudinal coordinate. Following the first proposal of such a system in semiconductor quantum wells, Floquet topological insulators have been realized with honeycomb arrays of helical waveguides. In the latter case, the waveguide helicity gives rise to the pseudomagnetic field breaking the time-reversal symmetry and leading to the appearance of the unidirectional edge states. A helical waveguide array is a photonic analogue of the Haldane system, established in a high-frequency driving limit, and it can be used to verify the anomalous quantum Hall effect. A variety of new phenomena were theoretically predicted or experimentally observed with helical waveguide arrays, including anomalous topological insulators, topologically protected path entanglements, unpaired Dirac cones, topological edge states in quasicrystals, solitons, topological Anderson insulator, topological phases in synthetic dimensions, guiding light by artificial gauge fields, and others. Note that driven topological systems, such as helical arrays, may be characterized by special topological invariants.

Topological phases of matter are nowadays under active investigation not only in conservative but also in dissipative settings; see, for example, Refs. 43–46. Among the most exciting opportunities in this direction is the realization of lasing in topological edge states in active systems that promise remarkable stability of topological lasers, inherited from robustness and resistance to the disorder of conservative topological systems. Theoretically topological lasers were proposed in photonic crystals. Later, they were realized in one-dimensional polaritonic and photonic structures employing the Su-Schrieffer-Heeger model, which, however, did not allow authors to demonstrate topological currents due to the reduced dimensionality. Two-dimensional topological lasing was very recently observed in photonic crystals and lattices of coupled-ring resonators and proposed theoretically in polaritonic arrays.
In these static systems, edge states appear either due to the external magnetic field \( B \) or due to judicious engineering of coupling between elements leading to the Haldane model.\(^{35,36}\) At the same time, our proposal offers advantages of not using external magnetic fields, operating at optical frequencies, and relying on conventional nonlinear transparent materials. This offers a clear advantage in comparison with coupled-resonator arrays, where required complex couplings are particularly sensitive to shifts of resonators, and may be sensitive to disorder. In addition, helical waveguide arrays are not planar structures and therefore will allow the observation of fully three-dimensional wavepacket dynamics in structures of the practically arbitrary geometry.

The aim of this work is to show that such Floquet topological lasers exhibiting stable disorder- and defect-immune lasing in topologically protected edge states can be implemented using truncated honeycomb arrays of helical waveguides written or fabricated in the nonlinear optical material with gain saturation. Broken time-reversal symmetry guarantees the existence of unidirectional edge states that can lase, when spatially inhomogeneous gain is provided for them. Nonlinear losses result in the stabilization of the nonlinear edge states at certain Bloch momenta determining their group velocity.

We describe dynamics of light in Floquet topological lasers using the nonlinear Schrödinger equation for the field amplitude that in dimensionless units takes the form

\[
\frac{\partial \psi}{\partial z} = -\frac{i}{2} \nabla^2 \psi - [R_{\text{re}}(x, y, z) - iR_{\text{im}}(x, y, z)]\psi - |\psi|^2 \psi - i\gamma \psi - i\alpha |\psi|^2 \psi,
\]

where \( \psi = (k^2 n^2 m/n_0)\psi \) is the scaled field amplitude; \( x, y \) are the transverse coordinates normalized to the characteristic transverse scale \( \ell \); \( z \) is the propagation distance scaled to the diffraction length \( k \ell^2 \); \( \kappa = 2\pi n_\ell / \ell \) is the wavenumber; \( n_\ell \) and \( n_m (n_m \ll n_\ell) \) are the real and imaginary parts of the unperturbed refractive index of the material, respectively; \( n_{\text{hel}} \) and \( n_{\text{im}} \) are the real and imaginary parts of the nonlinear refractive index, respectively; \( y = k^2 \ell^2 n_m / n_\ell \) is the coefficient of linear losses that are assumed uniform; and \( \alpha = n_{\text{im}} / n_{\text{hel}} \) is the scaled coefficient characterizing nonlinear losses stemming from all sources (i.e., intrinsic nonlinear losses in the material, and it can also account for gain saturation in the first approximation). Furthermore, we consider focusing cubic (Kerr) nonlinearity, typical for many solid materials, including optical fibers, but the Floquet laser can be realized in the defocusing case too. We assume that the Floquet laser is composed from a honeycomb array of helical waveguides that modulates the linear refractive index \( R_{\text{re}}(x, y, z) = p_{\text{re}} \sum_{m,n} Q(x^\prime - x_m, y^\prime - y_m) \), where \( p_{\text{re}} = k^2 n^2 \delta n_{\ell} / n_\ell \) is the scaled modulation depth, \( x_m, y_m \) are the nodes of the honeycomb grid, \( x^\prime = x - r_0 \sin(\omega z) \), and \( y^\prime = y + r_0 \cos(\omega z) \), where \( r_0 \) is the helix radius, \( Z = 2\pi / \omega \) the helix period, and \( Q = \exp[-(x^2 + y^2)^2 / d^2] \) is the function describing the profile of individual waveguides of width \( d \) [see Fig. 1(a)] with schematic array representation and Fig. 4(c)]. The separation between the waveguides in the array is \( a \). We assume that the array is truncated along the \( x \)-axis to form two zigzag edges and that gain is provided only on its left edge \( R_{\text{im}}(x, y, z) = p_{\text{im}} \sum_{m,n} Q(x^\prime - x_m, y^\prime - y_m) \), where \( x_m, y_m \) are the coordinates of edge waveguides [see green waveguides in Fig. 1(a)], while \( p_{\text{im}} = k^2 n^2 \delta n_{\ell} / n_\ell \ll p_{\text{re}} \) is the gain amplitude. The array is periodic in \( y \) with period \( Y = 3^{1/2} a \). Results do not change qualitatively for \( z \)-independent gain acting only inside the edge waveguides. It should be noted that by moving into the coordinate frame corotating with the waveguides \( x \rightarrow x^\prime = x + r_0 \sin(\omega z) \) and \( y \rightarrow y^\prime = y \), Eq. (1) can be rewritten in the form

\[
\frac{i \partial \psi}{\partial z} = -\frac{1}{2} \nabla^2 \psi - [R_{\text{re}}(x^\prime, y^\prime) - iR_{\text{im}}(x^\prime, y^\prime)]\psi
- \frac{1}{2} r_0^2 \omega^2 \psi - |\psi|^2 \psi - i\gamma \psi - i\alpha |\psi|^2 \psi.
\]

where \( A = r_0 \omega [-\cos(\omega z), \sin(\omega z)] \) is the gauge potential and \( R_{\text{re,im}} \) do not depend on \( z^\prime = z \). Furthermore, we select parameters of the helical waveguide array in accordance with recent experiments\(^{15}\) see caption to Fig. 1.

Dissipative helical arrays exhibiting gain in certain waveguides can be fabricated in different ways. The most tried approach relies on the direct laser writing with femtosecond pulses available in a broad range of transparent materials,\(^{17}\) including those containing amplifying dopants. Thus, various waveguides were already realized in Er-doped active phosphates,\(^{38}\) silicates,\(^{39}\) tellurites,\(^{40}\) Baccarat\(^{3}\) glasses, and also in lithium niobate\(^{41}\) allowing the realization of inhomogeneous parametric gain used for observation of parity-time symmetry.\(^{42}\) Another viable alternative is the infiltration of hollow photonic crystal fibers with helical channels with active index-matching liquids.\(^{43,44}\) In the particular case of doped chalcogenide glasses, such
as GaAs or AsSe with a nonlinear index \( n_{\text{re}} \sim 1 \times 10^{-17} \text{ m}^2/\text{W} \) and a nonlinear absorption coefficient ranging from \( n_{\text{im}} \sim 2 \times 10^{-18} \text{ m}^2/\text{W} \) to \( n_{\text{im}} \sim 1 \times 10^{-17} \text{ m}^2/\text{W} \) depending on the composition, where the possibility of lasing has been already demonstrated experimentally,\(^{17}\) for the characteristic transverse scale and an unperturbed refractive index of \( n_0 \sim 2.81 \) at the wavelength of \( \lambda = 1.08 \mu\text{m} \), one finds that the dimensionless helix period of \( Z = 6 \) used in simulations below corresponds to a distance of \(-9 \text{ mm}\) (i.e., 20 cm-long sample, corresponding to a dimensionless propagation distance of \( z \sim 120 \), would contain \(-22 \) periods of the structure sufficient for observation of at least the initial stage of transition to stable lasing; see Fig. 3); the dimensionless parameter \( p_{\text{im}} = 8.9 \) corresponds to a real refractive index modulation depth \( \delta n_{\text{re}} \sim 9 \times 10^{-4} \), while the parameter \( p_{\text{im}} = 0.1 \) corresponds to \( \delta n_{\text{im}} \sim 1 \times 10^{-5} \) (amplification coefficient \(-1.1 \text{ cm}^{-1}\)). The helix radius used below corresponds to \( 5 \text{ mm} \).

First, we consider topological properties of a conservative linear helical waveguide array by setting \( \gamma, \alpha, p_{\text{im}} = 0 \) and neglecting nonlinearity in Eq. (1). The eigenstates \( \psi(x,y,z) = u(x,y) \exp(ik_yz) \) of Eq. (1) are Bloch waves, where \( u \) is localized in \( x: u_{\text{im}} \rightarrow u \rightarrow 0 \) and periodic both in \( y \) and \( z \) directions: \( u_{\text{im}} + y = u, u_{\text{im}} + y = u, k \) is the Bloch momentum along the \( y \)-axis, \( k \) is the quasienergy. The latter is the periodic function of \( k \) with period \( K = 2\pi/Y \) and is defined modulo \( \omega = 2\pi/nZ \) due to longitudinal periodicity of the array. A typical quasienergy spectrum for the helical array is presented in Fig. 1(b).

Since we consider the real-world continuous system, the quasienergy spectrum was calculated using the following approach. First, Bloch modes \( \psi_\ell^\alpha = u_\ell^\alpha(x,y) \exp(ik_yz) \) from two top bands of the static truncated array with straight channels were obtained using a plane-wave expansion method. The number of such modes is \( 2n \), where \( n \) is the number of waveguides in one \( y \)-period of the array (unit cell). Each such mode \( \psi_\ell^\alpha \), normalized as \( \langle \psi_\ell^\alpha, \psi_\ell^\beta \rangle = \delta_{\ell\beta} \), where the Hermitian product involves the integral over one unit cell of the array, was propagated in a helical array for one period \( Z \).

Rotation couples modes from the first two bands (coupling to the lower bands can be neglected, since they remain well-separated). The output distributions \( \psi_\ell^\alpha \) corresponding to input \( \psi_\ell^\alpha \) were then projected on the initial basis of modes \( \psi_\ell^\alpha \) that yield the \( 2n \times 2n \) projection matrix \( H_{\alpha\beta} = \langle \psi_\ell^\alpha, \psi_\ell^\beta \rangle \), whose eigenvalues are Floquet exponents \( \exp(i\lambda) \). Quasienergies are found as \( \beta_i = \lambda/Z \); their imaginary part is negligible as long as radiative losses are small, which is the case for parameters used below.

Waveguide rotation opens the topological gap with the edge states existing for \( K/3 < k < 2K/3 \) and the zigzag-zigzag interface [Fig. 1(b)]. The width of the gap increases with an increase in the helix radius \( r_0 \) or decrease in the rotation period \( Z \), but so do also radiative losses, so for each \( Z \), there is a certain optimal \( r_0 \). There are two topological edge states in the gap—red curve corresponds to the states on the left edge that move in the positive \( y \)-direction and green curve corresponds to the right edge states moving in the negative \( y \)-direction. Black circles correspond to bulk modes. First \( \beta' = \beta / (nZ) \) \( \beta' = \partial \beta / (nZ) \)
e derivatives of the quasienergy that quantify the group velocity and dispersion of the edge states are shown in Fig. 1(c). Inversion of the waveguide rotation direction also inverts the direction of edge currents. The sign and magnitude of \( \beta'' \) determines domains of the Bloch momentum, where modulatory instability of the edge state can develop in the presence of nonlinearity in a conservative case (thus, for focusing nonlinearity, this is possible when \( \beta'' < 0 \)), but in a dissipative system, such instabilities may be suppressed by linear and nonlinear losses, as shown below). An array with the bearded edges can be analyzed similarly and the edge states were found for \( k < K/3 \) and \( k > 2K/3 \).

Our system retains its topological properties in the presence of the spatially uniform linear losses \( \gamma \) and gain \( p_{\text{im}} \) concentrated in the edge channels. The edge states are well localized, and hence, they have the largest overlap with the gain area relative to the other modes, and therefore, they experience preferential amplification. We have found that there exists a sharp threshold in \( p_{\text{im}} \) above which lasing in edge states occurs. Most efficient amplification occurs for the edge states with Bloch momenta \( k = K/2 \), which are most localized around the edge. It should be stressed that above the lasing threshold, multiple Bloch waves from a certain interval of \( k \) values around \( K/2 \) start to lase. This interval of \( k \) values, where the edge states get amplified, increases with \( p_{\text{im}} \) until lasing becomes possible in the entire topological gap. Because gain was provided on the left edge only, the right edge states were attenuated.

To achieve stable lasing, we now add focusing nonlinearity and nonlinear absorption into the system. This leads to the appearance of attractors—nonlinear edge states performing periodic stable breathing in the course of propagation. It should be stressed that because several Bloch modes experience amplification above the lasing threshold, the appearance of such a stable breathing state is a result of nonlinear competition between multiple amplified states with different spatial structures in the presence of nonlinearity and nonlinear absorption—the dynamics typical for a laser. A typical breathing dynamics of the edge state is illustrated in Figs. 2(a)–2(c). Being a stable attractor, this state was excited using a linear conservative state with \( k = K/2 \) as an initial condition. After some transient
stages, the state has evolved into completely stable nonlinear dissipative mode existing due to the balance between nonlinearity and diffraction, gain and losses, that exactly replicates its transverse profile after each period $Z$. The amplitude of this nonlinear edge state shows complex, but regular periodic oscillations without damping or growth, and the period of these oscillations coincides with the helix period $Z = 6$. Figure 2(a) shows 30 of these periods to stress that the state is practically stable. Amplitude oscillations notably increase with the increasing gain $p_{im}$. Comparison of wave profiles at $z = nZ$ [Fig. 2(b)] and $z = (n + 1/2)Z$ [Fig. 2(c)] shows slightly larger penetration of the latter state into the depth of array. Increasing the gain amplitude leads to a gradual expansion of the dissipative mode into the deep of the array [Figs. 2(d) and 2(e)] and may finally cause its destabilization [Fig. 2(f)].

To prove that nonlinear dissipative edge states reported here are topological, we traced families of such states by gradually increasing gain amplitude $p_{im}$. Since the amplitude of the lasing state exhibits complex behavior over one helix period [see Fig. 2(a)], we introduce a new quantity—averaged amplitude $a_{av} = Z^{-1} \int_{nZ}^{(n+1)Z} |\psi|^2 dz$. This amplitude is depicted in Fig. 3(a) as a function of $p_{im}$ for $k = K/2$ and different values of the nonlinear absorption coefficient $\alpha$. Moreover, we introduced quasienergy $\beta$ of the nonlinear dissipative edge states by analogy with quasienergy of linear conservative states. It can be determined from phase $\phi$ accumulated by the edge state over one helix period $\beta = \phi/Z$, where the phase $\phi$ is calculated numerically from the product $(\psi_{z=nZ}, \psi_{z=(n+1)Z}) = U \exp(i\phi)$, where $U$ is the norm of the state per one unit cell ($\gamma$-period). The dependencies $\beta(p_{im})$, calculated for the same values of nonlinear absorption $\alpha$ as in $a_{av}(p_{im})$ are shown in Fig. 3(b). The presence of the lasing threshold in $p_{im}$ is obvious in Fig. 3(a)—it corresponds to the point where the averaged amplitude of the edge state becomes nonzero. The lasing threshold is minimal for the Bloch momentum $k = K/2$ (in this case, $p_{im} = 0.1$) and it increases for other momentum values reaching maximal values at $k \rightarrow K/3$ or $k \rightarrow 2K/3$, the property connected with the decreasing overlap of the edge states for latter momentum values with gain landscape leading to less efficient amplification. The quasienergy $\beta$ of the nonlinear edge state at its generation threshold coincides with that of the conservative linear state, as indicated by the bottom dashed line in Fig. 3(b), and it increases almost linearly with increasing gain until it reaches the upper edge of the topological gap, as indicated by the top dashed line in Fig. 3(b).

We also tested the stability of all obtained dissipative edge states by perturbing them with 5% amplitude noise and modeling their long-distance propagation on huge transverse windows (100 $\gamma$-periods) to accommodate for all possible perturbations that could lead to instability of these states. The outcome is that for $k = K/2$, considerable portions of branches of nonlinear states close to the lasing threshold are stable. In Fig. 3, stable families are marked with black dots, while the unstable ones are marked with red dots. Two observations can be made: increasing gain eventually leads to the destabilization of the nonlinear states, but higher nonlinear absorption extends stability intervals [Fig. 3(a)]. The interval of stability in gain amplitudes $p_{im}$ quickly decreases away from the momentum $k = K/2$ so that all states with momenta $k = 0.45K$ and $k = 0.55K$ were found formally unstable. However, corresponding instabilities are very weak, so in practical experimental conditions with finite samples and close to the lasing threshold, such states will appear as stable ones too.

Stable propagation of the perturbed dissipative edge state in the Floquet laser is illustrated in Figs. 4(a), 4(e), and 4(f) for $k = K/2$. In Fig. 4(a), the peak amplitude $|\psi|_{\text{max}}$ of the launched state is shown during propagation that clearly performs regular periodic oscillations reflecting the helical structure of the waveguide array. Note that the curve in Fig. 2(a) is a portion (in the range 4500 $\leq z \leq 4680$) of dependence in Fig. 4(a). Comparison of initial and output field modulus distributions in Figs. 4(e) and 4(f), showing...
FIG. 4. Peak amplitude vs distance illustrating (a) stable propagation of the edge state at \( p_{im} = 0.13 \) in a regular Floquet laser (c) and (d) [corresponding \( |\psi| \) distributions are shown in (e) and (f)] and (b) stable propagation in the presence of the edge defect in the form of a missing channel (h) at \( p_{im} = 0.12 \) [corresponding \( |\psi| \) distributions at different times are shown in (i) and (j)]. Instability development is shown in (g) for the edge state with \( p_{im} = 0.2 \). In all cases, \( k = 0.5K \), \( \alpha = 0.5 \), and input states were perturbed by 5% amplitude noise.

only a small fraction of the actual integration window in \( y \), reveals the complete stability of the wave. In contrast, development of instability is shown in Fig. 4(g) for a large gain amplitude \( p_{im} = 0.2 \). Even in this case, despite the appearance of weak irregular modulations travelling along the array interface and weak radiation into the bulk, the state remains confined near the interface at any propagation distance.

The striking advantage of dissipative topological edge states in the Floquet laser is that they inherit topological protection of conservative edge states. To illustrate this, we remove one waveguide from the left edge of the helical waveguide array. The real part of the corresponding array is depicted in Fig. 4(h); there is a similar defect in the gain profile too (not shown). The dissipative edge state launched into such a helical waveguide array at \( p_{im} = 0.12 \) experiences some reshaping and amplitude oscillations due to the presence of the defect [see Fig. 4(b)], but finally reaches a new stationary state shown in Figs. 4(i) and 4(j) for different distances. The representative feature of these distributions is that the state is perturbed only locally around the defect and no radiation into bulk is visible.

Finally, we note that practical Floquet lasers should be spatially compact; hence, we also considered the triangular geometry of the array, in which gain is again provided only in edge channels; see Figs. 5(c) and 5(d). Such a geometry may be beneficial for the formation of stable edge currents, because it allows us to effectively eliminate instabilities to low-frequency perturbations. To simultaneously illustrate edge currents and formation of a stable attractor in this system, we start with localized excitation on the left edge of the triangle with a broad Gaussian envelope [Fig. 5(e)] and let it evolve at \( p_{im} = 0.13 \) and \( \alpha = 0.5 \). Figures 5(f)–5(h) reveal clockwise circulation of the state accompanied by its gradual expansion only along the edge of the array. As mentioned above, inversion of the rotation direction of waveguides inverts also the direction of the edge current in this system. Already at distances \( z \sim 500 \), the entire edge of the array becomes excited. This is the most clear illustration of the fact that our system behaves as a laser, where the final state forms due to nonlinear competition of multiple amplified spatial states. Note that in contrast to the infinite structure with a straight interface, we now cannot control the output steady-state distribution by imposing any momentum on the initial wave field—the system itself chooses

FIG. 5. Peak amplitude vs distance illustrating stable circulation in a triangular Floquet laser without edge defects (a) and with edge defects (b) at \( p_{im} = 0.13 \) and \( \alpha = 0.5 \). Refractive index (c) and gain (d) distributions in the Floquet laser without defects and \( |\psi| \) snapshots (e)–(h) illustrating circulation in this structure. Refractive index (i) and \( |\psi| \) distribution at a large distance (j) in the Floquet laser with two edge defects.
which state wins the competition thereby determining the output, which appears to be the same for a broad range of excitation conditions. After some transient stages, the wave reaches a steady-state profile depicted in Fig. 5(h), while the peak amplitude of the wave stops changing [Fig. 5(a)]. Note the excellent localization near the edge of the structure. To verify the topological protection of states in this finite system, we introduced two defects to the triangular insulator by removing two channels from the top and bottom edges; the corresponding real part of the array is shown in Fig. 5(i). As for the gain landscape, we removed only one channel on the bottom edge, but kept the corresponding channel on the top one (not shown here). Using the same initial excitation as in Fig. 5(e), we arrived to the final steady-state profile shown in Fig. 5(j) that exhibits local deformations only around defect channels. In the presence of defects, the steady-state regime is reached at somewhat larger propagation distances [see Fig. 5(b)] with corresponding dependence of peak amplitude on $z$. Interestingly, deformed patterns on the top and bottom edges look practically the same, which indicates that the particular type of the defect (purely conservative or dissipative) is not important due to topological protection in the Floquet laser.

Summarizing, we have investigated the topological lasing in photonic Floquet topological insulators. We demonstrated that the edge states in this system are topologically protected against large structural perturbations and can be either dynamically stable or unstable depending on the system parameters and, in particular, on the gain amplitude. We demonstrated lasing not only for an idealized infinite edge but also for a more practical triangular geometry. This work provides a practically feasible scheme to obtain topological lasing without the external magnetic fields.

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