Research Article

Multiple Nontrivial Solutions for a Nonlocal Problem with Sublinear Nonlinearity

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In this paper, we study the following nonlocal problem

\[
\begin{aligned}
-(a - b \int_\Omega |\nabla u|^2 \, dx) \Delta u &= \lambda u + f(x)|u|^{p-2}u, & x \in \Omega, \\
&= 0, & x \in \partial \Omega,
\end{aligned}
\]

where \(a, b > 0\), \(1 < p < 2\), \(\lambda > 0\), \(f \in L^\infty(\Omega)\) is a positive function, and \(\Omega\) is a smooth bounded domain in \(\mathbb{R}^N\) with \(N \geq 3\). By variational methods, we obtain a pair of nontrivial solutions for the considered problem provided \(|f|_{\text{loc}}\) is small enough.

1. Introduction and Main Results

This paper is concerned with the existence and multiplicity of nontrivial solutions for the following nonlocal problem with Dirichlet boundary value conditions:

\[
\begin{aligned}
- \left( a - b \int_\Omega |\nabla u|^2 \, dx \right) \Delta u &= \lambda u + f(x)|u|^{p-2}u, & x \in \Omega, \\
&= 0, & x \in \partial \Omega,
\end{aligned}
\]

where \(a, b > 0\), \(1 < p < 2\), \(\lambda > 0\), \(f \in L^\infty(\Omega)\) is a positive function, and \(\Omega\) is a smooth bounded domain in \(\mathbb{R}^N\) with \(N \geq 3\).

In the past two decades, the following Kirchhoff type problems with Dirichlet boundary value conditions

\[
\begin{aligned}
- \left( a + b \int_\Omega |\nabla u|^2 \, dx \right) \Delta u &= f(x, u), & x \in \Omega, \\
&= 0, & x \in \partial \Omega,
\end{aligned}
\]

have attracted great attention of many researchers. Such problems are often viewed as nonlocal because of the appearance of the term \(a + b \int_\Omega |\nabla u|^2 \, dx\), which implies that (2) is no longer a pointwise identity. It is worthwhile pointing out that the equation in (2) arises in various models of physical and biological systems. Indeed, problem (2) is related to the stationary analogue of the following equation:

\[
\frac{\rho}{h} \frac{\partial^2 u}{\partial t^2} - \left( \frac{P_0}{h} + \frac{E}{2L} \int_0^L |\frac{\partial u}{\partial x}| \, dx \right) \frac{\partial^2 u}{\partial x^2} = 0,
\]

which was first presented by Kirchhoff [1] as an extension of the classical d’Alembert wave equation for free vibrations of elastic strings, where \(u = u(x, t)\) denotes the lateral displacement, \(\rho\) the mass density, \(P_0\) the initial tension, \(h\) the cross-section area, \(E\) the Young modulus of the material, and \(L\) the length of the string. Under different assumptions on \(f(x, u)\), many interesting results on the existence of solutions to (2) were obtained. We refer the interested readers to [2–14] and the references therein.

However, we now face a new nonlocal term \(a - b \int_\Omega |\nabla u|^2 \, dx\), which is different from the well-known Kirchhoff type nonlocal term \(a + b \int_\Omega |\nabla u|^2 \, dx\). Now, there has been some results on the existence and multiplicity of nontrivial solutions to this new nonlocal problem (see [15–22]).
In particular, Yin and Liu [15] firstly studied this kind of problem:
\[
-\left( a - b \right) \int_{\Omega} |\nabla u|^2 \, dx \Delta u = |u|^{p-2} u, \quad x \in \Omega, \\
u = 0, \quad x \in \partial \Omega,
\]
where \( 2 < p < 2^* \), and obtained the existence and multiplicity of solutions for the problem.

In [16], Lei et al. considered
\[
-\left( a - b \right) \int_{\Omega} |\nabla u|^2 \, dx \Delta u = f(x) |u|^{p-2} u, \quad x \in \Omega, \\
u = 0, \quad x \in \partial \Omega,
\]
where \( 1 < p < 2 \), and proved under certain condition on \( f(\cdot)(x) \), that there are at least two positive solutions. After this, the authors also studied the problem with singularity [17].

Wang et al. [20] investigated the nonlocal problem with critical exponent
\[
\left\{ \begin{array}{l}
-\left( a - b \right) \int_{\mathbb{R}^4} |\nabla u|^2 \, dx \Delta u = |u|^2 u + \mu g(x), \quad x \in \mathbb{R}^4, \\
u \in \mathcal{D}^{1,2} (\mathbb{R}^4).
\end{array} \right.
\]

When \( \mu \) is a nonnegative parameter and \( g(x) \in L^{4/3}(\mathbb{R}^4) \), they showed the existence of multiple positive solutions.

Recently, Zhang and Zhang [22] studied the nonlocal problem
\[
\left\{ \begin{array}{l}
-\left( a - b \right) \int_{\Omega} |\nabla u|^2 \, dx \Delta u = \lambda u + g(x, u), \quad x \in \Omega, \\
u = 0, \quad x \in \partial \Omega,
\end{array} \right.
\]
where the parameter \( 0 < \lambda < a \lambda_1 \), \( \lambda_1 \) is the first eigenvalue of operator \( -\Delta \), and \( g \) is a superlinear function with subcritical growth. By using the Mountain Pass Theorem, the authors obtained the existence of a nontrivial solution.

As far as we know, there is no work on the existence of solution to (1), which is just our purpose here. Moreover, we extend \( \lambda \geq a \lambda_1 \) and without assuming nonlinearity is superlinear.

Our main result can be stated as follows.

**Theorem 1.** Assume that \( a, b > 0, \; 1 < p < 2, \; \lambda > 0, \; f \in L^{\infty}(\Omega) \) is a positive function, then problem (1) has at least a pair of nontrivial solutions if \( |f|_{\infty} \) is small enough.

The paper is organized as follows. In Section 2, we give some notations and preliminaries. In Section 3, we prove Theorem 1 for the case of \( 0 < \lambda < a \lambda_1 \). Section 4 is devoted to the proof of Theorem 1 for the case of \( \lambda \geq a \lambda_1 \).

## 2. Notations and Preliminaries

Throughout this paper, we make use of the following notations. \( H^1_0(\Omega) \) and \( L^q(\Omega) \) are standard Sobolev spaces with the usual norm \( \|u\|_2 = \int_\Omega |\nabla u|^2 \, dx, \; \|u\|_q = \int_\Omega |u|^q \, dx \). \( B_r(x) (\partial B_r(x)) \) denotes an open ball (the sphere) centered at \( x \) with radius \( r > 0 \). \( \to \) and \( \rightharpoonup \) denote strong and weak convergence, respectively. For each \( 1 \leq r \leq 2^* \), we denote by \( S_q^r \) the best Sobolev constant for the embedding of \( H^1_0(\Omega) \) into \( L^q(\Omega) \). Let \( 0 < \lambda_1 < \lambda_2 \leq \cdots \lambda_k < \cdots \) be the sequence of eigenvalues of \( -\Delta \) on \( H^1_0(\Omega) \) satisfying \( \lambda_k \to +\infty \), and let \( e_1, e_2, \cdots \), be the corresponding orthonormal eigenfunctions in \( L^2(\Omega) \).

By the Sobolev Theorem and \( f \in L^{\infty}(\Omega) \), the functional
\[
I(u) = a2\|u\|^2 - b4\|u\|^4 - 2\int_\Omega |u|^2 \, dx - 2p\int_\Omega f(x)|u|^p \, dx
\]
is well defined on \( H^1_0(\Omega) \). Furthermore, it belongs to \( C^1(H^1_0(\Omega), \mathbb{R}) \), and its critical points are precisely the weak solutions of (1). Here, we say \( u \in H^1_0(\Omega) \) is a weak solution to (1), if for any \( v \in H^1_0(\Omega) \), it holds
\[
\begin{align*}
\left( a - b \right) \int_\Omega |\nabla u|^2 \, dx &\int_\Omega \nabla u \nabla v dx - \lambda \int_\Omega u v dx - \int_\Omega f(x) |u|^p v dx \\
&= 0, \quad |u|^2 \leq 4
\end{align*}
\]

Following [15], we first prove that the functional \( I \) satisfies the (PS), condition for any \( c < a^2/4b \).

**Lemma 2.** Under the assumptions of Theorem 1, I satisfies the (PS), condition with \( c < a^2/4b \).

**Proof.** Let \( \{u_n\} \subset H^1_0(\Omega) \) be a (PS), sequence for \( I \) with \( c < a^2/4b \); that is,
\[
I(u_n) \longrightarrow c, \; I'(u_n) \longrightarrow 0, \quad \text{as } n \to \infty.
\]

By the Sobolev Theorem and (10),
\[
\begin{align*}
c + 1 + o(1)\|u_n\| &\geq I(u_n) - \frac{b}{2} \|u_n\|^4 - \frac{1}{2} \int_\Omega f(x)|u_n|^p dx \\
&\geq b \|u_n\|^4 - \frac{1}{2} \int_\Omega |f|_{\infty} S^p \|u_n\|^p.
\end{align*}
\]

Since \( p < 2 \), we conclude that \( \{u_n\} \) is bounded in \( H^1_0(\Omega) \). Up to a subsequence (still denoted by \( \{u_n\} \)), we may assume that
By using Hölder’s inequality, it follows from (12) that
\[
\left| \int_{\Omega} f(x)|u_n|^p - u_n (u_n - u) dx \right| \leq |f|_{\infty} |u_n|^{p-1} |u_n - u| \rightarrow 0,
\]
and \( \|u_n\|^{2} \rightarrow a/b \), we have \( \phi'(u_n) \rightarrow 0 \).
Thus, we can deduce that
\[
\left\langle \phi'(u), v \right\rangle = \lambda \int_{\Omega} uv dx + \int_{\Omega} f(x)|u|^{p-2}uv dx = 0, \quad \forall v \in H^1_0(\Omega).
\]

By the variational method fundamental lemma [23], we further obtain
\[
\lambda u(x) + f(x)|u(x)|^{p-2}u(x) = 0, \quad \text{a.e.} x \in \Omega.
\]

Since \( f(x) > 0 \), it then follows that \( u = 0 \).
By (12) and \( f \in L^\infty(\Omega) \), we can use the Vitali Conver-

gence Theorem to obtain
\[
\lim_{n \rightarrow \infty} \int_{\Omega} f(x)|u_n|^{p} dx = \int_{\Omega} f(x)|u|^{p} dx,
\]
and consequently,
\[
\phi(u_n) = \lambda \int_{\Omega} u_n^2 dx + \frac{1}{p} \int_{\Omega} f(x)|u_n|^{p} dx
\]
\[
- \frac{\lambda}{2} \int_{\Omega} |u|^{2} dx + \frac{1}{p} \int_{\Omega} f(x)|u|^{p} dx = 0.
\]

This and \( \|u_n\|^{2} \rightarrow a/b \) provide
\[
I(u_n) = \frac{\lambda}{2} \|u_n\|^2 - \frac{b}{4} \|u_n\|^4 - \frac{\lambda}{2} \int_{\Omega} |u_n|^{2} dx
\]
\[
- \frac{1}{p} \int_{\Omega} f(x)|u_n|^{p} dx \rightarrow \frac{a^2}{4b},
\]
which contradicts \( I(u_n) \rightarrow c < a^2/4b \). Thus, the claim follows.
In turn, we have from (15) that \( \int_{\Omega} \nabla u_n \nabla (u_n - u) dx \rightarrow 0 \), and hence, \( \|u_n\|^2 \rightarrow \|u\| \).
Combining this with the weak convergence of \( \{u_n\} \) in \( H^1_0(\Omega) \), we deduce that \( u_n \rightharpoonup u \) in \( H^1_0(\Omega) \).

3. Proof of Theorem 1 for \( 0 < \lambda < a\lambda_1 \)

In this section, we will use the Mountain Pass Theorem to prove the existence of a pair of nontrivial solutions of the considered problem for \( 0 < \lambda < a\lambda_1 \).

Lemma 3. Assume that \( 0 < \lambda < a\lambda_1 \). If \( |f|_{\infty} \) is sufficiently small, then there is a sequence \( \{u_n\} \subset H^1_0(\Omega) \) such that \( u_n \rightharpoonup 0 \), \( I(u_n) \rightarrow c_*, \) and \( I' (u_n) \rightarrow 0 \), where \( 0 < c_* < a^2/4b \).
\textbf{Proof.} By the Sobolev Theorem, we have that
\[
I(u) = \frac{a}{2} \|u\|^2 - \frac{b}{4} \|u\|^4 - \frac{\lambda}{2} \int_{\Omega} |u|^2 dx - \frac{1}{P} \int_{\Omega} f(x)|u|^P dx
\]
\[
\geq \frac{1}{2} \left( a - \frac{\lambda}{\lambda_1} \right) \|u\|^2 - \frac{b}{4} \|u\|^4 - \frac{1}{P} \int_{\Omega} |f|_{\infty} \|u\|^{p+2} \|u\|^P
\]
\[
= \|u\|^p \left( \frac{1}{2} \left( a - \frac{\lambda}{\lambda_1} \right) \|u\|^{2-p} - \frac{b}{4} \|u\|^{4-p} - \frac{1}{P} \int_{\Omega} |f|_{\infty} \|u\|^{p+2} \right).
\] (26)

For $A = 1/2(a - \lambda/\lambda_1)$ and $B = b/4$, the function $g : (0, +\infty) \to \mathbb{R}$ defined by
\[g(t) = At^{2-p} - Bt^{4-p}\] (27)
attains its maximum value at $\rho = \left( \frac{2-p}{4-p} B \right)^{1/2}$. (28)

Take $D_0 = g(\rho)$ and note that, for any $u \in H_0^1(\Omega)$, $\|u\| = \rho$, there holds
\[I(u) \geq \rho^p \left\{ D_0 - \frac{1}{P} \|f\|_{\infty} \|u\|^{p+2} \right\} \geq \rho^p D_0 = \frac{\rho^p}{2} \theta > 0,\] (29)
whenever
\[|f|_{\infty} \leq \frac{D_0 \rho}{2} \|u\|^{p+2}.\] (30)

On the other hand, let $u \in H_0^1(\Omega) \setminus \{0\}$, then we have that
\[
\lim_{t \to +\infty} I(tu) = \lim_{t \to +\infty} \left[ \frac{a}{2} t^2 \|u\|^2 - \frac{b}{4} t^4 \|u\|^4 - \frac{\lambda}{2} t^2 \int_{\Omega} |u|^2 dx - \frac{1}{P} \int_{\Omega} f(x)|u|^P dx \right] = -\infty.
\] (31)

Thus, there exists $v_1 \in H_0^1(\Omega)$ such that $\|v_1\| > \rho$ and $I(v_1) < 0$. By $I(u) = I(\|u\|)$, we may assume that $u_n \geq 0$. By applying the Mountain Pass Theorem without (PS) condition [24], we construct a sequence $u_n \in H_0^1(\Omega)$ satisfying $I(u_n) \to c_*$ and $I'(u_n) \to 0$ for $c_* = \inf_{y \in \Gamma} \sup_{\varepsilon > 0} I(\varepsilon y(1))$. (32)

where
\[\Gamma = \{ y \in C([0, 1], H_0^1(\Omega)) : y(0) = 0, y(1) = v_1 \}.
\] (33)

From easy calculations, we get
\[\max_{t \geq 0} I(tv_1) = \max_{t \geq 0} \left\{ \frac{a}{2} t^2 \|v_1\|^2 - \frac{b}{4} t^4 \|v_1\|^4 - \frac{\lambda}{2} t^2 \int_{\Omega} |v_1|^2 dx - \frac{1}{P} \int_{\Omega} f(x)|v_1|^P dx \right\} < \max_{t \geq 0} \left\{ \frac{a}{2} t^2 \|v_1\|^2 - \frac{b}{4} t^4 \|v_1\|^4 \right\} \leq \frac{a^2}{4b}.
\] (34)

This and the definition of $c_*$ yield that $0 < c_* < a^2/4b$. Thus, we complete the proof of Lemma 3.

**Proposition 4.** Assume $a, b > 0, 0 < \lambda < a\lambda_1$ and $f(x) \in L^{\infty}(\Omega)$ is a positive function. Then, problem (1) admits a pair of nontrivial solutions if $|f|_{\infty}$ is small enough.

\textbf{Proof.} By Lemma 3, we obtain a sequence $\{u_n\} \subset H_0^1(\Omega)$ such that $u_n \geq 0, I(u_n) \to c_*$ and $I'(u_n) \to 0$, provided $|f|_{\infty}$ is small enough. It then follows from Lemma 2 that there exists $u \in H_0^1(\Omega)$ such that $u_n \rightharpoonup u$ in $H_0^1(\Omega)$ with $I(u) = c_*$ and $I'(u) = 0$, which implies that $u$ is a nontrivial non-negative solution of (1). By the symmetry of functional $I$, we further deduce that $-u$ is a nontrivial nonpositive solution of (1). This completes the proof.

**4. Proof of Theorem 1 for $\lambda \geq a\lambda_1$**

Since $\lambda \geq a\lambda_1$, the method used in the previous section does not work here. Indeed, we shall apply the following Linking Theorem [25] to establish the existence of a pair of nontrivial solutions for problem (1) when $\lambda \geq a\lambda_1$.

**Theorem 5.** Let $X$ be a real Banach space with $X = Y \oplus Z$ and $\dim Y < +\infty$. Suppose that $I \in C^1(X, \mathbb{R})$ satisfies the following:

\[(I_1)\text{ There are } \rho, \theta > 0 \text{ such that } I|_{B_{\rho}(0) \cap Y} \geq \theta \]
\[(I_2)\text{ There are } \varepsilon \in \partial B_{\rho}(0) \cap Z \text{ and } R > \rho \text{ such that } I|_{\partial B_{\rho}(0) \cap Y} \leq \varepsilon \text{ with } M = (B_{\rho}(0) \cap Y) \oplus \{ t \varepsilon : 0 < t < R \}.
\]
Then, there exists a sequence $\{u_n\} \subset X$ satisfying $I(u_n) \rightharpoonup c$ and $I'(u_n) \to 0$ for
\[\hat{c}_* = \inf_{y \in \Gamma} \max_{t \geq 0} I(ty(1)),
\] (35)
where
\[
\bar{T} = \{ \gamma \in C(M, X) : \gamma|_{\partial B_{\rho}(0) \cap Y} = id \}.
\] (36)

As the sequence of eigenvalues $\lambda_n$ goes to infinity, there is $n \in \mathbb{N}$ such that $a\lambda_1 \leq \lambda \leq a\lambda_{n+1}$. Set
\[Y = \text{span}\{e_1, \cdots, e_n\}, \quad Z = Y^\perp.
\] (37)

Clearly, $X = Y \oplus Z$. 

\[\Gamma = \{ y \in C([0, 1], H_0^1(\Omega)) : y(0) = 0, y(1) = v_1 \}.\] (33)
Lemma 6. There exists $z \in Z \setminus \{0\}$ such that
\[ \max_{u \in Y \otimes k_Z} I(u) < \frac{a^2}{4b}. \] (38)

Proof. Since, for any $u \in H^1_0(\Omega) \setminus \{0\}$,
\[ \max_{t \geq 0} I(tu) = \max_{t \geq 0} \left\{ \frac{a}{2} t^2 \|u\|^2 - \frac{b}{4} \|u\|^4 - \frac{\lambda}{2} t^2 \int_{\Omega} |u|^2 dx \right\} \]
\[ = \max_{t \geq 0} \left\{ \frac{a}{2} t^2 \|u\|^2 - \frac{b}{4} \|u\|^4 \right\} \leq \frac{a^2}{4b}, \] (39)
then there exists $u_0 \in H^1_0(\Omega)$ such that
\[ z \in Z \setminus \{0\}, \max_{u \in Y \otimes k_Z} I(u) < \frac{a^2}{4b}, \] (40)
where
\[ z_0 = u_0 - \sum k=1^n \left( \int_{\Omega} u_k dx \right) e_k. \] (41)

This completes the proof of Lemma 6. □

Proposition 7. Assume $a, b > 0$, $\lambda \geq a \lambda_1$ and $f(x) \in L^{\infty}(\Omega)$ is a positive function. Then, problem (1) admits a pair of nontrivial solutions if $\|f\|_{L^{\infty}}$ is small enough.

Proof. Firstly, we have for any $u \in Z$,
\[ I(u) = \frac{a}{2} \|u\|^2 - \frac{b}{4} \|u\|^4 - \frac{\lambda}{2} \int_{\Omega} |u|^2 dx \geq \frac{1}{2} \left( a - \frac{\lambda}{\lambda_1} \right) \|u\|^2 - \frac{b}{4} \|u\|^4 - \|f\|_{L^{\infty}} \frac{1}{p} \lesssim \|u\|^p, \] (42)
and therefore, as in the proof of Lemma 3, we can prove that $I$ satisfies the condition (I_1) of Theorem 5 when $\|f\|_{L^{\infty}}$ is small.

Secondly, since $\lambda \geq a \lambda_n$, we also have for any $u \in Y$,
\[ I(u) = \frac{a}{2} \|u\|^2 - \frac{b}{4} \|u\|^4 - \frac{\lambda}{2} \int_{\Omega} |u|^2 dx \geq \frac{1}{2} \left( a - \frac{\lambda}{\lambda_1} \right) \|u\|^2 - \frac{b}{4} \|u\|^4 \leq 0. \] (43)

Moreover, if $z \in Z \setminus \{0\}$ is given by Lemma 6, we can apply the equivalence of norms in the finite dimensional space, to obtain for $u \in Y \otimes k_Z$,
\[ I(u) \rightarrow -\infty, \quad \text{as} \|u\| \rightarrow +\infty. \] (44)

Thus, the condition (I_3) is satisfied for $R$ large enough.

Finally, by Theorem 5, Lemma 6, and $I(\|u\|) = I(u)$, we conclude that there is a sequence $\{u_n\} \subset H^1_0(\Omega)$ satisfying $u_n \geq 0$, $I(u_n) \rightarrow I_*$, and $I'(u_n) \rightarrow 0$, provided $\|f\|_{L^{\infty}}$ is small enough. Then, we can argue as in the proof of Proposition 4 to obtain a pair of nontrivial solutions of (1). Thus, the proof of Proposition 7 is complete. □

5. Conclusions

In this paper, we consider a new nonlocal problem different from the well-known Kirchhoff type problem. Compared with the existing literature, we extend $\lambda \geq a \lambda_1$ and without assuming nonlinearity is superlinear, which causes the methods employed in the previous papers cannot be directly applied here. To overcome this difficulty, we distinguish two cases: (1) $0 < \lambda < a \lambda_1$ and (2) $\lambda \geq a \lambda_1$. In the former case, the existence of a nontrivial nonnegative solution is established via the Mountain Pass Theorem, while the Linking Theorem for the latter case. Furthermore, the symmetry of the corresponding functional brings us another nontrivial nonpositive solution.

Data Availability

No data were used to support this study.

Conflicts of Interest

The authors declare that they have no competing interests.

Authors’ Contributions

All authors contributed equally and significantly in this paper. All authors read and approved the final manuscript.

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