Transfer Learning for Humor Detection by Twin Masked Yellow Muppets

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Abstract

Humorous texts can be of different forms such as punchlines, puns, or funny stories. Existing humor classification systems have been dealing with such diverse forms by treating them independently. In this paper, we argue that different forms of humor share a common background either in terms of vocabulary or constructs. As a consequence, it is likely that classification performance can be improved by jointly tackling different humor types. Hence, we design a shared-private multitask architecture following a transfer learning paradigm and perform experiments over four gold standard datasets. Empirical results steadily confirm our hypothesis by demonstrating statistically-significant improvements over baselines and accounting for new state-of-the-art figures for two datasets.

1 Introduction

Humor has been studied in fields such as Psychology (Kline, 1907; Wolff et al., 1934) and Linguistics (Bergen and Binsted, 2003; Attardo, 2017). In Natural Language Processing, the tasks of humor classification (Peyrard et al., 2021; Ziser et al., 2020; Meaney, 2020; Weller and Seppi, 2019) and generation (Yamane et al., 2021; Garimella et al., 2020) have recently gained importance although they have been subject of reflection for some time (Mihalcea and Strapparava, 2005; Ritchie, 2009). Humor can be expressed in different forms (examples in Table 1). In body-punchlines, the humorous effect is brought by the incongruity or the violation of the expectation formed by the body. In Puns, polysemous words or homophones can be used to cause humor. In short stories, the surprising ending emphasizes the humorous connotation.

Most related works on humor classification have treated the different forms of humor independently. Here, we hypothesize that different forms of humor are closely related, both in terms of vocabulary and constructs (e.g. surprising effect, incongruity, polysemia). So, processing the different forms of humor in shared settings should help improving classification performance over individual settings.

| Joke 1     | [Body] What’s the difference between a baby and a car?  
| Joke 2     | [Pun] Why was the musician arrested? He got in treble.  
| Joke 3     | [News headline] China minister warns seduction of laws by western nations.  
| Joke 4     | [Story] A linguistics professor was lecturing his class one day. 'In English', he said, 'A double negative forms a positive. In some languages, though, such as Russian, a double negative is still a negative. However, there is no language wherein a double positive can form a negative.' A loud voice from the back of the room piped up, 'Yeah, right'. |

Table 1: Examples of different forms of humor.

For that purpose, we design a shared-private multitask architecture, where a shared representation layer is learned based on two different tasks (masked language modelling and classification). The frozen shared layer is then combined with a fine-tuned private layer to account for each individual type of humor. Empirical results over Reddit (Weller and Seppi, 2019), Humicroedit (Hossain et al., 2019), Shortjokes (Weller and Seppi, 2019) and Puns (Yang et al., 2015) datasets demonstrate that our method steadily improves over baselines and accounts for new state-of-the-art figures for two datasets.

2 Related work

Initial attempts have been proposed by Mihalcea and Strapparava (2005), where humor-specific stylistic features and content-based features are combined to classify short sentences. Purandare and Litman (2006) compute acoustic-prosodic features, such as pitch and energy, in addition to the linguistic features within spoken conversations.
Zhang and Liu (2014) tackle humor recognition in tweets based on phonetic, morpho-syntactic, lexico-semantic, pragmatic and affective features. Bertero and Fung (2016) combine hierarchical continuous representations with high-level features (e.g. structural features, antonyms, sentiment) to predict humor of body-punchlines in TV-sitcoms dialogues. Chen and Soo (2018) propose a Convolutional Neural Network (CNN)-based architecture combined with highway networks (Zilly et al., 2017). Weller and Seppi (2019) propose a new task, which consists in recognizing whether a joke is funny or not. For that purpose, they build the Reddit dataset and design a straightforward BERT architecture, which competes with human perception. Further experiments on Puns and Shortjokes, show that contextualized embeddings are strong representations for humour recognition, also upgrading (Chen and Soo, 2018) results. Wang et al. (2020) design a multilingual model based on a pre-trained (Chinese, Russian, Spanish) BERT, that is fine-tuned on inter-sentence relationship and sentence discrepancy prediction for body-punchlines. Similar works are proposed by (Ziser et al., 2020) to recognize humorous questions in product Q&A systems, and (Xie et al., 2021), who formalize uncertainty and surprise for body-punchlines in English.

3 Shared-Private Multitask Architecture

In order to take advantage of the different humor types, we propose a shared-private multitask architecture (Liu et al., 2017). The model depicted in Figure 1 consists of a frozen shared BERT (Devlin et al., 2019) layer, which is pre-trained on two different tasks to account for different humor types, and a private BERT layer, which is fine-tuned on each dataset independently.

3.1 MLM Pre-trained BERT (+MLM)

Although it is known that BERT representations are able to account for the humorous language (Weller and Seppi, 2019), we propose to fine-tune them by Masked Language Modeling (MLM) (Devlin et al., 2019) over a large dataset that embodies a wide spectrum of different forms of humor (here, Short-Jokes). The objective is to improve the original language model and utilize it as the common representation resource for all the classification tasks.

3.2 BERT Shared Layer (+Class)

In order to account for a generalized (aka. shared) representation of humorous utterances, we propose to fine-tune the MLM pre-trained BERT (§3.1) based on a classification task stating whether some text is humorous or not, by taking different humor type samples as input. To account for the widest spectrum of humor forms, a specific dataset is built from Reddit, Humicroedit, Shortjokes and Puns, which is balanced to avoid the predominance of a given humor type (details in §4). Formally, each input sentence is fed to the shared BERT layer and the embedding for the $[CLS]$ token, $h_{CLS} \in \mathbb{R}^d$, is used as sentence embedding. This latter representation is then fed to a classification layer, comprised of a fully connected layer followed by softmax function. Training is performed using cross-entropy.

3.3 Shared-private Model

The shared-private architecture combines a BERT shared layer (§3.2) and a private BERT layer (§3.1), and is trained for the task of humor classification for each dataset independently. The private layer is fine-tuned for the specific task at hand, while the shared BERT is kept frozen to preserve the already learned information of different humor types. As such, classification is decided based on the general information about humor and the specific codes of a given humor type. Formally, each input sentence is fed to both shared and private BERT layers to obtain the corresponding sentence embeddings, i.e. $h_{CLS} \in \mathbb{R}^d$ and $h_{CLS}^p \in \mathbb{R}^d$. The concatenation of these representations $[h_{CLS}, h_{CLS}^p]$ is then input to a classification layer, comprised of a fully connected layer followed by softmax function. Training is performed using cross-entropy.

4 Datasets

Literature datasets. Puns (Yang et al., 2015) contains humorous quotes in the form of puns. In particular, negative instances have been extracted to minimize domain differences, i.e. by ensuring similar word dictionary and text length. We use the splits provided by Weller and Seppi (2019) for this dataset. Reddit (Weller and Seppi, 2019) contains body-punchline type jokes collected from reddit.com along with the number of upvotes on each joke. Punchlines are then labeled as humorous or non-humorous based on a cut-off value for upvotes. Humicroedit (Hossain et al., 2019)
Figure 1: Overall architecture: (a) Masked language modeling; (b) Shared layer; (c) Shared-private model. Dashed arrows indicate from which model the weights of the BERT modules are initialized.

**Table 2: Training, validation and test splits by number of positive and negative instances for five datasets.**

| Dataset       | Train Pos. | Train Neg. | Validation Pos. | Validation Neg. | Test Pos. | Test Neg. |
|---------------|------------|------------|-----------------|-----------------|-----------|-----------|
| Puns          | 1,809      | 1,810      | 152             | 149             | 304       | 304       |
| Reddit        | 9,719      | 9,719      | 304             | 304             | 304       | 304       |
| Humicroedit   | 171,831    |             | 10,849          |                 | 171,031   |           |
| ShortJokes    | 31,723     |             | 4,752           |                 | 31,638    |           |

**5 Experimental setups**

All models have been implemented using PyTorch (Paszke et al., 2019) and Hugginface (Wolf et al., 2019) libraries. All models are based on BERT base. The embedding size $d$ for $h_{CLS}$ is 768. For training BERT with the MLM objective, each word is masked with a probability of 0.15, and we use a batch size of 6 and a learning rate of $2 \times 10^{-5}$. For training on the humor classification task, for both the shared BERT and shared-private architecture, we use a batch size of 16 and a learning rate of $2 \times 10^{-5}$. We use the Adam optimizer with a default weight decay of 0.01. For each dataset, the model is trained for 4 epochs. The best model is saved based on the development set accuracy results. Code and datasets are available at [https://github.com/aseemarora1995/humor-detection](https://github.com/aseemarora1995/humor-detection).

**6 Results Analysis**

Experimental results are illustrated in Table 3. We report mean accuracies and F1 scores over 5 runs, along with standard deviation values. Our proposed model $BERT \text{ Shared\&Private (+MLM +Class)}$ performs the best across all datasets.
Table 3: Accuracy and F1 scores averaged over 5 runs together with standard deviation values ($\pm$) for four datasets. **Bold** values mean maximum Accuracy and F1 score, and underline stands for the smallest values of standard deviation.

|       | Puns | Reddit | Humicroedit | ShortJokes |
|-------|------|--------|-------------|------------|
|       | Acc. | F1     | Acc. | F1     | Acc. | F1     | Acc. | F1     |
| **BERT** | 88.7 ± 0.6 | 88.8 ± 0.2 | 88.7 ± 0.6 | 90.1 ± 0.6 | 90.1 ± 0.6 | 90.2 ± 0.6 | 90.5 ± 0.6 | 90.6 ± 0.6 |
| **BERT (MLM)** | 90.5 ± 0.8 | 90.3 ± 0.4 | 90.4 ± 0.5 | 90.5 ± 0.6 | 90.5 ± 0.6 | 90.5 ± 0.6 | 90.5 ± 0.6 | 90.5 ± 0.6 |
| **BERT Shared (MLM + Class)** | 88.0 ± 0.9 | 88.0 ± 0.5 | 88.0 ± 0.6 | 88.0 ± 0.6 | 88.0 ± 0.6 | 88.0 ± 0.6 | 88.0 ± 0.6 | 88.0 ± 0.6 |
| **BERT Shared & Private (MLM + Class)** | 88.0 ± 0.9 | 88.0 ± 0.5 | 88.0 ± 0.6 | 88.0 ± 0.6 | 88.0 ± 0.6 | 88.0 ± 0.6 | 88.0 ± 0.6 | 88.0 ± 0.6 |

Table 4: Accuracy and F1 score averaged over 5 runs together with standard deviation values for four datasets. Complete is appended when the BERT Shared is trained on the complete dataset containing all instances of Puns, Reddit, ShortJokes and Humicroedit.

|       | Puns | Reddit | Humicroedit | ShortJokes |
|-------|------|--------|-------------|------------|
|       | Acc. | F1     | Acc. | F1     | Acc. | F1     | Acc. | F1     |
| **BERT Shared (MLM + Class) Complete** | 88.0 ± 0.9 | 88.0 ± 0.5 | 88.0 ± 0.6 | 88.0 ± 0.6 | 88.0 ± 0.6 | 88.0 ± 0.6 | 88.0 ± 0.6 | 88.0 ± 0.6 |
| **BERT Shared (MLM + Class Complete)** | 88.0 ± 0.9 | 88.0 ± 0.5 | 88.0 ± 0.6 | 88.0 ± 0.6 | 88.0 ± 0.6 | 88.0 ± 0.6 | 88.0 ± 0.6 | 88.0 ± 0.6 |

achieves best mean accuracies and F1 scores for all datasets over all BERT-like variations. This architecture also achieves new state-of-the-art performances for two datasets, as revealed in Table 5. Moreover, our methodology shows the least variations in results as evidenced by minimum standard deviation values for three out of four datasets, thus indicating it is the most robust model.

In Table 3, we present different variations of our model to better assess the contribution of each of its parts. In particular, **BERT (+MLM)**, which pre-trains BERT with the MLM objective and fine-tunes it for each dataset, shows steady improvements in performance and robustness over BERT base models. The BERT Shared variants, which are pre-trained for classification over the shared dataset (§4), evidence transfer results as they are not fine-tuned for each datasets, but instead are kept frozen without private layer. Results show that fine-tuning is necessary. Besides, the introduction of the MLM objective clearly boosts results in all settings. The Shared-private architectures all contain a shared and a private layer, that can be initialized in different ways. In our experiments, we tested all combinations, where both shared and private layers are initialized with the exact same configuration. Results clearly show that the combination of the MLM objective and the classification pre-training ensures superior performance and robustness.

As explained in the §3.2, the shared BERT is pre-trained for humor classification using a balanced shared dataset. To explain the importance of using a balanced dataset, we perform experiments by pre-training the shared BERT on a complete training set combined from all the four datasets, without taking care of balance between humor types. Results are shown in the Table 4. The **BERT Shared (-MLM + Class) Complete** and **BERT Shared (+MLM + Class Complete)** achieve significantly better results for Puns, Reddit, and Humicroedit datasets as compared to **BERT Shared (-MLM + Class Complete)** and **BERT Shared (-MLM + Class Complete)**, respectively. While for the ShortJokes dataset, the opposite is true. This is because the complete shared dataset contains almost 15 times more samples than ShortJokes as compared to those in the balanced version. This makes the shared BERT biased towards the ShortJokes dataset and the performance for the remaining datasets is affected.

In Table 5, we present results from the literature, for all the datasets used in our experiments. Our methodology clearly competes with the current state-of-the-art strategies, as it achieves new standards for Reddit and ShortJokes datasets. Nevertheless, Fan et al. (2020) achieve slightly higher performance over Puns. Note that they use other splits than (Weller and Seppi, 2019) and as such results are not directly comparable to all other configurations. But the most important is that they make use of WordNet (Miller, 1995) turning their model resource-dependent. Similarly, Xie et al. (2021) report better results for Humicroedit. How-
However, they apply cleaning over the original dataset, and only keep 3,341 examples in total, i.e., 9 times less the size of our dataset. As such, results cannot directly be compared to ours. Moreover, they propose a methodology specific to body-punchlines, which cannot be transposed to other forms of humor. Weller and Seppi (2019) use the BERT Large model (unlike BERT base in our case). As they do not report mean results and standard deviation values for all datasets, we replicated their experiments, reported as BERT Large. Our strategy evidences gains over BERT Large for three out of four datasets, failing to improve only on Humicroedit. However, it is worth noticing that our model is two-thirds the size of BERT Large with about 220M parameters as compared to 340M parameters for BERT Large. Moreover, our strategy is less sensitive to variations due to its multitask architecture.

### 7 Error Analysis

In Table 6, we provide some qualitative results. In particular, our model correctly predicts examples 1, 2, and 3 as humorous, while BERT fails to predict the humorous connotation. These examples clearly specify a certain type of vocabulary, which is common to most forms of jokes. For instance, *dick* is a sexual expletive, *sick* could imply weirdness or creepiness, and *billionaires* is directly linked to money, a classic topic for jokes. As all these topics commonly occur in humor, we can hypothesize that the shared representations correctly capture the semantics of this specific vocabulary.

But some humor contents still remain unsolved by both models. For example, humorous quotes 4, 5, 6, and 7 are odd classified by both models. Example 4 uses the polysemous word *bank* to provoke the funny connotation, but such phenomenon is difficult to be handled by contextualized representations, as the humorous trick is based on the fact that two different representations coexist and form incongruity. Example 5 is understandable only with additional common sense knowledge about *paranoia*, which is unlikely to be dealt with by current language models. Example 6 requires some form of reasoning to understand the humorous connotation, which is also unlikely to be solved by language models. Finally, example 7 clearly evidences the limitations of current language models. While the slight variation using the word *monkey* is correctly understood by both BERT and our strategy, the more subtle word replacement with *assassin* is incorrectly handled. Indeed, while the word *monkey* is usually associated to humorous content, this is not so true for *assassin*.

### 8 Conclusion

Humor is an important part of human communication. In this paper, we hypothesize that different forms of humor share a common background, and as a consequence, additional usage of one form can help in better understanding other forms in humor classification. So, we propose a shared-private multitask architecture that achieves new state-of-the-art performances for two out of four datasets, and evidences strong robustness. This latter issue is crucial for humorous text generation (Jin et al., 2020). Nevertheless, we observe that current models still have limited capacity to understand such complicated forms of humor where polysemy, external knowledge, context, and reasoning are important.
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