Dual-construct fixation is recommended in ipsilateral femoral neck fractures with infra-isthmus shaft fracture
A STROBE compliant study
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Abstract
The aim of this study was to evaluate the risk factors related to osteosynthesis failure in patients with concomitant ipsilateral femoral neck and shaft fractures, including old age; smoking habit; comminuted fragments; infra-isthmus fracture; angular malreduction; unsatisfactory reduction (fracture gap > 5 mm); and treatment with single construct.

Patients over the age of 20 with concomitant ipsilateral femoral neck and shaft fractures diagnosed at a level one medical center between 2003 and 2019 were included. Treatment modalities included single construct with/without an antirotational screw for the neck and dual constructs. Radiographic outcomes were assessed from anteroposterior and lateral hip radiographs at follow-up. Fisher exact test was used to analyze categorical variables. The presence of avascular necrosis of the femoral head, delayed union, atrophic or hypertrophic nonunion of the femoral shaft fracture, and loss of reduction were identified as factors related to treatment failure.

A total of 22 patients were included in this study. The average age was 58.5 years, and the majority was male (68.2%). The minimum radiographic follow-up duration was 12 months, and the median follow-up time was 12 (interquartile range 12–24) months.

Femoral neck osteosynthesis failed in 3 patients, whereas femoral shaft osteosynthesis failed in 12 patients. Fisher exact test demonstrated the failure of femoral shaft osteosynthesis was significantly more frequent in the single-construct cohort in 16 infra-isthmus femoral fracture cases (P = .034).

In ipsilateral femoral neck and infra-isthmus shaft fractures, it is better to treat the neck and shaft fractures with separate implants (dual constructs).

In a dual-construct cohort, separate plate fixation of the femoral shaft achieved a better result in terms of bone union than retrograde nailing of the shaft (bone union rate: 4/8 vs 0/2).

Abbreviation: DHS = dynamic hip screw.

Keywords: basicervical neck fracture, ipsilateral femoral neck and shaft fractures, malreduction

1. Introduction
Ipsilateral femoral neck and shaft fractures have been challenging for orthopedic surgeons since they were first described by Delaney and Street in 1953.[1] To our knowledge, up to 9% of femoral shaft fractures have an associated femoral neck fracture, and up to 30% of femoral neck fractures associated with femoral shaft fractures are missed upon initial assessment.[2]

Regarding the morphological characteristics of these fractures, the shaft fracture is typically comminuted, in the middle shaft (52%–95%), and 15% to 33% of cases are open fractures.[2] The neck fracture is usually basivertical, vertically oriented, and is nondisplaced in 60% of cases.[3]

In the past decade, much research has focused on treatment modalities. The options include: single construct with/without an antirotational screw for the neck (eg, cephalomedullary nail, reconstruction-type nail, or long dynamic hip screw)[4–7] and dual constructs (a dynamic hip screw [DHS] or cancellous screws for the neck, with a separate plate or retrograde nailing for the shaft).[6,8–11] More than 60 suggested options for treatment have been described in the literature, but no method has been proven to be exclusively more effective than the others.[12] Low-level evidence from a case series suggested that separate implants may result in fewer reoperations.[13] Some surgeons have recommended fixation with dual implants because the use of a single...
consolidation was seen 9 months after the injury.\[13\] The union was considered if the time exceeded 6 months after the injury.\[14\]

2. Methods

After receiving the research ethics board’s approval (KSVGH20-CT3-12), we retrospectively evaluated patients between 2003 and 2019 from a single hospital. The inclusion criteria for the study covered all adults with concomitant ipsilateral femoral neck and shaft fractures. Our parameters were selected based on current literature and the clinical experience of the senior author.\[1-3,4,7,13,14\]

2.2. Main outcome measures

During follow-up, we examined anteroposterior and lateral hip radiographs to assess the quality of the reduction, secondary displacement, consolidation, and the presence of avascular necrosis changes in the hip joint at 6-month and 9-month follow-up. The subsequent avascular necrosis of the femoral head was evaluated and classified according to the Ficat classification. Fracture malreduction was defined as an angulation >5 degrees in any plane or shortening of >1 cm. Fractures were considered union when radiographs showed 3 bone bridge cortices combined with no pain/tenderness at the fracture site.\[15\] Delayed union was considered if the time exceeded 6 months after the primary operation. Nonunion was considered if no bone consolidation was seen 9 months after the injury.\[15\]

The study aimed to evaluate and identify risk factors resulting in osteosynthesis failure in patients with ipsilateral femoral neck and shaft fractures. Our parameters were selected based on current literature and the clinical experience of the senior author.\[1-3,4,7,13,14\]

The radiographic AO/OTA-32 classifications of our patients were as follows: 13 type A fractures (1 A1, 2 A2, 10 A3), 6 type B fractures (6 B2), and 3 type C fractures (1 C1, 2 C2). There was 1 open fracture, which was classified as Gustilo classification 2. A total of 12 patients were treated with a single construct, whereas 10 patients were treated with double constructs. There were no statistically significant differences between fracture patterns (AO/OTA classification-A type compared with B and C types) with regards to treatment modality (single construct or dual constructs) according to Fisher test ($P=.415$) (Table 2). Sixteen
shaft fracture sites were infra-isthmus femoral fractures, and 6 were isthmus femoral fractures. We found statistically nonsignificant differences in treatment failure between fracture sites (isthmus or infra-isthmus) ($P = .056$) (Table 3). We therefore performed subgroup analysis of the 16 patients diagnosed with infra-isthmus femoral shaft fractures. Further analysis was performed to assess the impacts of risk factors on treatment failure in these 16 patients.

As shown in Table 4, subgroup assessment of risk factors in the 16 patients with infra-isthmus femoral shaft fractures was performed using Fisher exact test, and the results demonstrated that failure of femoral shaft osteosynthesis was significantly more

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**Table 1**  
Characteristics of the 22 patients.

| Age, y | Sex | Smoking habit | Pauwel angle of neck fracture | AO/OTA classification 32 (shaft) | Isthmus or infraisthmus fracture | Type of treatment (1 or 2 constructs) | Angular malreduction of shaft | Failure of neck osteosynthesis | Failure of shaft osteosynthesis |
|--------|-----|---------------|-------------------------------|-------------------------------|---------------------------------|--------------------------------------|-------------------------------|-------------------------------|-------------------------------|
| 46     | M   | +             | 47                            | A3                            | Infra-                          | 2 Constructs (separate plate)       | —                            | —                             | —                             |
| 38     | M   | +             | 56                            | C2                            | Infra-                          | 1 Construct (CM nail$^*$ with an antrotational screw) | —                            | —                             | —                             |
| 47     | F   | —             | 66                            | A2                            | Infra-                          | 1 Construct (RC nail$^*$ with an antrotational screw) | —                            | —                             | —                             |
| 34     | F   | —             | 48                            | A3                            | Infra-                          | 2 Constructs (separate plate)       | —                            | —                             | —                             |
| 33     | M   | —             | 59                            | A3                            | Isthmus                         | 1 Construct (long DHS with an antrotational screw) | +                            | —                             | —                             |
| 77     | M   | —             | 81                            | A3                            | Infra-                          | 2 Constructs (separate plate)       | —                            | —                             | Failure (loss of reduction) |
| 43     | F   | —             | 56                            | B2                            | Infra-                          | 2 Constructs (separate plate)       | —                            | —                             | —                             |
| 68     | M   | —             | 66                            | B2                            | Infra-                          | 1 Construct (CM nail)               | —                            | —                             | Failure (hypertrophic)       |
| 58     | F   | —             | 51                            | A3                            | Isthmus                         | 1 Construct (CM nail)               | +                            | Failure (loss of reduction) |
| 20     | F   | —             | 48                            | B2                            | Isthmus                         | 1 Construct (CM nail)               | —                            | X$^4$                         | —                             |
| 55     | F   | —             | 31                            | A3                            | Infra-                          | 2 Constructs (separate plate)       | —                            | —                             | Failure (broken plate)       |
| 30     | M   | +             | 64                            | B2                            | Isthmus                         | 1 Construct (CM nail)               | —                            | —                             | —                             |
| 80     | M   | +             | 70                            | B2                            | Infra-                          | 2 Constructs (separate plate)       | —                            | —                             | —                             |
| 36     | M   | —             | 57                            | B2                            | Infra-                          | 1 Construct (RC nail with an antrotational screw) | —                            | —                             | —                             |
| 48     | M   | +             | 58                            | C1                            | Infra-                          | 2 Constructs (retrograde nail)      | —                            | —                             | Failure (hypertrophic)       |
| 32     | M   | +             | 69                            | A3                            | Infra-                          | 2 Constructs (retrograde nail)      | —                            | —                             | Failure (atrophic)           |
| 50     | F   | —             | 56                            | C2                            | Infra-                          | 1 Construct (CM nail)               | +                            | Failure (hypertrophic)       |
| 34     | M   | +             | 60                            | A2                            | Isthmus                         | 1 Construct (RC nail)               | —                            | —                             | Failure (hypertrophic)       |
| 51     | M   | —             | 61                            | A1                            | Infra-                          | 1 Construct (RC nail with an antrotational screw) | —                            | —                             | —                             |
| 25     | M   | —             | 78                            | A3                            | Infra-                          | 2 Constructs (separate plate)       | —                            | —                             | —                             |
| 57     | M   | —             | 56                            | A3                            | Infra-                          | 1 Construct (antegrade nail with antrotational pins) | —                            | —                             | —                             |
| 32     | M   | —             | 48                            | A3                            | Isthmus                         | 2 Constructs (separate plate)       | —                            | —                             | Failure (avascular necrosis) |

AO/OTA = AO-Müller/Orthopedic Trauma Association, DHS = dynamic hip screw.

* CM nail: cephalomedullary nail with hip screw or blade use.

† RC nail: reconstruction nail.

‡ One of the 22 patients received bipolar hemiarthroplasty of the affected limb initially.

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**Table 2**  
Patient demographics.

| Risk factors                                      | Treatment with a single construct | Treatment with double constructs | Fisher $P$ |
|--------------------------------------------------|----------------------------------|----------------------------------|------------|
| Old age (≥ 60 y)                                  | 4                                | 4                                | 1          |
| Sex (male)                                        | 8                                | 7                                | 1          |
| Smoking habit                                     | 2                                | 4                                | .348       |
| Major trauma (Injury Severity Score ≥16)          | 3                                | 4                                | .652       |
| Basicervical fracture                             | 4                                | 3                                | 1          |
| Pauwel angle >50 degrees                          | 11                               | 6                                | .135       |
| Wedge, segmental, or comminuted fragment (AO/OTA classification B and C) | 6                                | 3                                | .415       |
| Isthmus fracture                                  | 7                                | 9                                | .162       |

AO/OTA = AO-Müller/Orthopedic Trauma Association.

* Fisher exact test was used for the assessment of treatment modalities related to demographic factors such as age, sex, or fracture pattern.
frequent in the presence of an infra-isthmus femoral fracture treated with a single construct ($P = .034$).

Among all predictors, no one was independently associated with infraisthmus fracture site (Table 4). In infraisthmus fracture cohort, 7 patients received the treatment with single construct, and all 7 patients developed treatment failure in shaft region. Five in 7 patients exhibited bone union of the shaft after operation with double-construct with separate plate fixation. However, due to small sample size, we could not prove statistical significance between fracture site (infraisthmus) and treatment (double-construct with separate plate) in regression analysis.

### 3.2. Fracture pattern of the neck

Fisher exact test was performed to assess antirotational screw use, the results of which are summarized in Table 5. Compared to treatment without an antirotational device (pin or screw), treatment with antirotational device fixation of the neck did not produce uniformly more favorable results ($P = .526$).

### 3.3. Outcome measures at follow-up

Primary anatomical reduction was achieved in 22 (100%) cases; however, at the end of the follow-up period, there were only 8 (36.4%) excellent and good results. Two patients had loss of reduction (in 2 neck fractures and 1 shaft fracture); 1 patient sustained a broken plate; 9 patients exhibited hypertrophic nonunion of the shaft; 1 patient experienced atrophic nonunion of the shaft; and 1 patient suffered avascular necrosis of the femoral head (Ficat stage IV). The revision surgeries and their outcomes are all listed in Table 6.

### 4. Discussion

Until now, none of the literature describes risk factors of treatment failure on femoral shaft in patients with concomitant ipsilateral dual fractures.

According to our analysis focusing on ipsilateral femoral neck and shaft fracture, applying separate implants for internal fixation is recommended. Furthermore, the major issue we experienced was related to the different fracture sites (supra-isthmus, isthmus, or infra-isthmus femoral shaft), as the site influences the choice of treatment and surgical technique.

There is still no consensus regarding the optimal treatment method for these complex fractures. However, most surgeons agree that reduction and fixation of a femoral neck should take priority to preserve the head.

### Table 3

Summary of clinical and radiographic findings of femoral shaft fracture by frequency, percentage, and Fisher exact test.

| Risk factors | Risk factors present (% of 22 patients) | Failure of femoral shaft osteosynthesis (% of risk factors) | Fisher $P^*$ |
|--------------|-----------------------------------------|----------------------------------------------------------|-------------|
| Nonmodifiable |                                         |                                                          |             |
| Open fracture | 1 (4.5%)                                | 1 (100%)                                                 | 1           |
| Smoking habit | 6 (27.3%)                                | 4 (66.7%)                                                 | .646        |
| Major trauma (Injury Severity Score $\geq 16$) | 7 (31.8%)                                | 3 (42.9%)                                                 | .652        |
| Wedge, segmental, or comminuted fragment (AO/OTA classification B and C) | 9 (40.9%) | 4 (44.4%) | .666 |
| Infra-isthmus fracture | 16 (72.7%) | 11 (78.6%) | .056 |
| Modifiable |                                         |                                                          |             |
| Angular malreduction of shaft | 3 (13.6%) | 2 (66.7%) | 1 |
| Separation gap $>5$mm after osteosynthesis | 1 (4.5%) | 0 | .455 |
| Treatment with a single construct | 12 (54.5%) | 8 (66.7%) | .391 |
| Treatment with double constructs | 10 (45.5%) | 6 (60.0%) | .691 |
| Treatment with double constructs (separate plate for femoral shaft) | 8 (36.4%) | 4 (50.0%) | 1 |
| Treatment with double constructs (retrograde nail for femoral shaft) | 2 (9.1%) | 2 (100%) | .481 |

* Fisher exact test was performed for the assessment of risk factors of treatment failure in shaft osteosynthesis.

### Table 4

Assessment of risk factors in 16 patients with infra-isthmus femoral shaft fracture by frequency, percentage, and Fisher exact test.

| Risk factors | Risk factors present (% of 16 patients) | Failure of femoral shaft osteosynthesis (% of risk factors) | Fisher $P^*$ |
|--------------|-----------------------------------------|----------------------------------------------------------|-------------|
| Nonmodifiable |                                         |                                                          |             |
| Open fracture | 1 (6.3%)                                | 1 (100%)                                                 | 1           |
| Smoking habit | 5 (31.3%)                                | 4 (66.7%)                                                 | 1           |
| Wedge, segmental, or comminuted fragment (AO/OTA classification B and C) | 7 (43.8%) | 6 (85.7%) | .308 |
| Modifiable |                                         |                                                          |             |
| Angular malreduction of shaft | 1 (6.3%) | 1 (100%) | 1 |
| Separation gap $>5$mm after osteosynthesis | 0 | 0 | 1 |
| Treatment with a single construct | 7 (43.8%) | 7 (100%) | .034 |
| Treatment with double constructs | 9 (56.3%) | 4 (57.1%) | .034 |
| Treatment with double constructs (separate plate for femoral shaft) | 7 (43.8%) | 2 (28.6%) | .005 |
| Treatment with double constructs (retrograde nail for femoral shaft) | 2 (12.5%) | 2 (100%) | 1 |

* Fisher exact test was performed for categorical variables.
Retrograde nailing for femoral shaft fractures, with separate fixation for the femoral neck fracture, was suggested by Oh et al. Easy fixation and favorable results have been reported. There were 16 good and 1 fair functional results; 1 patient with a fair result underwent total hip arthroplasty due to nonunion of the femoral neck with avascular necrosis. Theoretically, this seems to be an attractive treatment modality. Ostrum et al. reported a multicenter series of 95 patients with dual fractures who underwent retrograde nailing and fixation with either cannulated screws or a DHS. The authors reported union rates of 98% for the femoral neck fractures and 91.3% for the femoral shaft fractures. Communion and initial displacement of the proximal femoral fracture may lead to malunion or nonunion.

However, analysis of 2 series demonstrated a lower diaphyseal union rate with retrograde femoral nails. Recently, a multicenter retrospective review of 89 patients suffered from this dual fractures and treated with separate neck fixation and a retrograde nail. Higher nonunion rate of femoral shaft was seen in retrograde nail group than in antegrade nail group. (80% vs 20%)

Both of our retrograde femoral nails were placed after reaming. In our study, both cases treated with retrograde nails were femoral neck and infra-isthmus shaft fractures. One case was an open fracture (Gustilo type 2), and hypertrophic nonunion was diagnosed in the femoral shaft region at follow-up. The other patient was a heavy smoker, and sustained atrophic nonunion of the femoral shaft fracture. Ipsilateral femoral neck and shaft fractures can be seen as a long segmental femoral fracture. Owing to the “long floating middle fragment” of these dual fractures, it is difficult to achieve stability when using small-diameter retrograde nails in the infraisthmus region. The absence of stability may progress to hypertrophic nonunion despite an initial perfect reduction. Furthermore, the working length in our 2 cases was shorter than in other cases fixed with antegrade nails because the proximal femur had to be preserved for the separate fixation of the femoral neck fracture. The shorter working length may influence nail stiffness under bending and torsion.

A case series, published in 2020, demonstrate an interesting management strategy to increase the stability when treating with retrograde nail in these dual fractures. The “rendezvous technique” using dual implants in an overlapping fashion to achieve the longer working length and more stabilities.

There are some benefits of the “rendezvous” technique, particularly in a low resource setting which is lack of assistant in operation room. One of our cases with initial loss of reduction received the revision surgery with retrograde nail and DHS by the “rendezvous” technique. And bone union was seen at postoperative 9 months and good clinical outcome (range of motion of

### Table 5
Summary of clinical and radiographic findings of femoral neck fracture by frequency, percentage, and Fisher exact test.

| Risk factors | Risk factors present (% of 21 patients) | Failure of femoral neck osteosynthesis (% of risk factors) | Fisher P |
|--------------|----------------------------------------|----------------------------------------------------------|----------|
| Nonmodifiable |                                       |                                                          |          |
| Old age (>60 y) | 3 (14.3%) | 0 | 1 |
| Smoking habit | 6 (28.6%) | 0 | .526 |
| Major trauma (Injury Severity Score ≥16) | 6 (28.6%) | 1 (16.7%) | 1 |
| Basicervical fracture | 7 (33.3%) | 1 (14.3%) | 1 |
| Pauwel angle >50 degrees | 17 (81.0%) | 2 (11.8%) | .489 |
| Displaced neck fracture (Garden type 3 or 4) | 11 (52.4%) | 2 (18.2%) | 1 |
| Modifiable |                                       |                                                          |          |
| Malreduction of neck | 1 (4.8%) | 1 (100%) | .143 |
| Treatment with a single construct (with an antirotational device) | 6 (28.6%) | 0 | .526 |
| Treatment with a single construct (without an antirotational device) | 6 (28.6%) | 1 (16.7%) | 1 |
| Treatment with double constructs | 9 (42.9%) | 2 (22.2%) | .553 |

* One of 22 patients received bipolar hemiarthroplasty of the affected limb initially.
† Fisher exact test was performed for categorical variables.

### Table 6
Revision surgeries in cases of failed primary osteosynthesis and their outcomes.

| Primary surgery | Duration between primary surgery and revision surgery | Revision surgery | Outcome of revision surgery |
|-----------------|------------------------------------------------------|------------------|------------------------------|
| Case with dual constructs (DCP and cannulated screws) | 6 mo; loss of reduction of neck and shaft | Bipolar hemiarthroplasty | Bone union |
| Case with single construct (CM nail) | 1 mo, loss of reduction of neck | Change to double constructs with retrograde nail for shaft and DHS for neck | Bone union |
| Case with dual constructs (LCP and cannulated screws) | 1 y; broken plate of shaft | Change to single construct with reconstruction nail | Bone union |
| Case with dual constructs (RC nail and cannulated screws) | 9 mo | Addition of autologous bone graft and side plate augmentation | Bone union |
| Case with single construct (CM nail) | 1 y | Change to double constructs with strut fibular bone graft, DCP for shaft, and screws for neck | Bone union |
| Case with double constructs (DCP and cannulated screws) | 1 y; AVN change of femoral head | Bipolar hemiarthroplasty | Bone union |

AVN = avascular necrosis, CM = cephalomedullary, DCP = dynamic compression plate, DHS = dynamic hip screw, LCP = locking compression plate, RC = reconstruction.

* Six patients received revision surgery in our hospital.
knee joint: 0–120 degree, flexion) was also reported (Figs. 1 and 2 and Table 6.)

Plate fixation of the femoral shaft with separate fixation of the femoral neck has been widely used in the past.\textsuperscript{22,23} The advantages of which include reliable and familiar methods of fixation for each fracture, and intraoperative compression can be obtained by tightening screws down a ramped hole design on the plate. This method effectively decreases the likelihood of both malalignment and shortening. The disadvantages include increasing blood loss and periosteal stripping of the femoral shaft, extensive surgical dissection, and the potential need for a bone graft.

With our dual constructs and separate plating group (N=8), we experienced a nonsignificant difference in the treatment failure rates of femoral neck and shaft osteosynthesis (failure rate: 4/8 in shaft fractures, and 2/8 in neck fractures). In our 16 cases of “infraisthmus” femoral shaft fracture, dual constructs with separate plate fixation appeared to be a reliable method by which to lower the failure rate (failure rate: 2/7 cases; \( P = .005 \)). In our case series, 6 patients received dynamic compression plate fixation, while only 1 patient received locking compression plate fixation, and a broken locking plate was noted at the 1-year follow-up.

Nirmal et al\textsuperscript{24} reported a prospective analysis of the two methods (single or double-construct) in 18 cases. Dual implants gave better functional results even in patients with a displaced neck fracture. Tsai et al\textsuperscript{25} performed a retrospective study of 43 cases treated with single construct, and owing to a high complication rate for the femoral neck region; single-construct treatment with antegrade nail and screw fixation was not recommended for these dual fractures. Conclusions of a study of a larger series from the same institution stated: “fixation schemes that rely on one device for both fractures seem to compromise the treatment of one or both fractures in some way.”\textsuperscript{26–28}
We experienced a high nonunion rate in our patients with ipsilateral femoral neck and “infraisthmus” shaft fractures who underwent antegrade nailing (7/7; 100%). The failure rate was higher for the femoral shaft region than the femoral neck region (11/16 cases; 1/16 cases).

Literature reported the nonunion rate is higher for “infraisthmus” femoral shaft fractures as compared with isthmus fractures. In ipsilateral femoral neck and shaft fractures, the free and floating segment between the two fracture sites may create more motion and instability than would be encountered at an isolated femoral fracture site, especially in dual fractures with an “infraisthmus” shaft fracture. The larger medullary cavity of infraisthmus fractures, causes the “pendulum phenomenon,” and increases the likelihood of ipsilateral injuries progressing to nonunion.

In other words, when an antegrade nail is employed for treatment, the nail can stabilize the proximal and the isthmus, but the distal femoral medullary cavity is larger, and 2 or 3 distal locking screws cannot limit the nail, which decreases the stability of the construct. It is difficult to achieve absolute stability in a large distal femoral medullary cavity with nailing using 2 to 3 distal screws. Furthermore, different fracture sites should be analyzed separately, as the site influences the choice of treatment and surgical technique. Hence, the fracture site (supra-isthmus, isthmus, or infra-isthmus) was taken into consideration when comparing outcomes in our study. To our best of knowledge, none of literature reported the risk of nonunion in different anatomical fracture sites in these dual fractures. This forms our new contribution to the current literature.

In our institute, most patients (16/22; 72.7%) with dual fractures had infra-isthmus femoral shaft fractures; 11 of the 16 patients (78.6%) were diagnosed with nonunion of the fracture (P = .056) (Table 3), and this may be the main reason for the higher failure rate of femoral shaft osteosynthesis in these dual fractures in our study than previously reported.

Limitations of this study included the relatively small size of the cohort, the variety of implants used, surgical options based on different surgeons, and the retrospective design.

5. Conclusions
In ipsilateral femoral neck and “infraisthmus” shaft fractures, it is better to treat the neck and shaft fractures with separate implants (dual constructs). In the dual constructs cohort, separate plate fixation of the femoral shaft achieved a better result in terms of bone union than retrograde nailing of the shaft (bone union rate: 4/8 vs 0/2).

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