Hotspot-mediated non-dissipative and ultrafast plasmon passage

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Plasmonic nanoparticles hold great promise as photon handling elements and as channels for coherent transfer of energy and information in future all-optical computing devices\textsuperscript{1–5}. Coherent energy oscillations between two spatially separated plasmonic entities via a virtual middle state exemplify electron-based population transfer, but their realization requires precise nano-scale positioning of heterogeneous particles\textsuperscript{6–10}. Here, we show the assembly and optical analysis of a triple-particle system consisting of two gold nanoparticles with an interspaced silver island. We observe strong plasmonic coupling between the spatially separated gold particles, mediated by the connecting silver particle, with almost no dissipation of energy. As the excitation energy of the silver island exceeds that of the gold particles, only quasi-occupation of the silver transfer channel is possible. We describe this effect both with exact classical electrodynamic modelling and qualitative quantum-mechanical calculations. We identify the formation of strong hotspots between all particles as the main mechanism for the lossless coupling and thus coherent ultrafast energy transfer between the remote partners. Our findings could prove useful for quantum gate operations, as well as for classical charge and information transfer processes.

Low-dissipative transfer of excitations over short and long distances is at the heart of information science as well as energy harvesting. Energy transfer processes, for example, play a key role in highly efficient dipolar interactions in the light harvesting complexes of chloroplasts, and low-loss exciton transport is sought after in solar cell development. Both Förster-resonance energy transfer (FRET) in biomolecular systems and diffusion of excitons in solar cells are incoherent processes, and therefore often dissipative. Also information inside computer chips is, as of today, processed and transferred incoherently. On the other hand, coherence is a crucial feature in interferometry, and indispensable in future quantum computation.

With the goal to achieve fast and coherent transfer between nanoscale components, a variety of quantum approaches has emerged. Generally, quantum-mechanical tunnelling is fast enough to avoid inelastic scattering during the passage, which makes it a low-loss process that is widely used in modern electronic technology. In particular, chains of potential wells were proposed for tunnelling by adiabatic passage (CTAP)\textsuperscript{11,12} and optical stimulated Raman adiabatic passage (STIRAP) has been realized by transferring spin populations of two long-living quantum states via an optical third state\textsuperscript{11,12}.

Another approach for transport of information at the nanoscale is the use of plasmonic components\textsuperscript{13,14}. Plasmons are coherent, but they are usually dissipative and have only short lifetimes. Both disadvantages are the result of the high scattering probability of electrons in metals, which hampers the use of plasmonic waveguides for transfer applications. Inspired by efforts to exploit quantum-mechanical mechanisms we now propose a particle trimer system where plasmons are coherently transferred over extended distances. The system consists of two identical but spatially separated nanoparticles of one type and a third intermediate nanoparticle of a different type, the latter exhibiting also a different energetic level. The plasmons are transferred between the identical nanoparticles via the third nanoparticle, even with the two identical particles being too far away from each other to couple directly.

The experimental realization of such a plasmon-based transfer system requires full control over spatial organization of heterogeneous nanoparticles. DNA-based self-assembly offers the possibility to fabricate nanoscale objects that can accommodate inorganic particles at extremely well defined positions with high yields\textsuperscript{6–9,14–17}. Previous assemblies with DNA-based templates consist of arrays\textsuperscript{18}, chain-like\textsuperscript{19,20} helical\textsuperscript{20}, or ring shaped\textsuperscript{20} arrangements of metal nanoparticles, as well as chains of dyes\textsuperscript{21} and quantum dots\textsuperscript{22,23}. Owing to the possibility of functionalizing particle species with orthogonal DNA sequences—that is, sequences that do not interfere with each other—heterogeneous particle architectures have been realized, including dimers and trimers\textsuperscript{24,25}, lattices\textsuperscript{26}, core-satellites\textsuperscript{15,22}, and rings\textsuperscript{28}. Lithographical attempts to build plasmonic devices from heterogeneous metals consist of bimetallic nanodot arrays\textsuperscript{26} and nanoantenna dimers of gold and silver disks\textsuperscript{27}. However, top-down approaches suffer from limited spatial control on the scale below tens of nanometres, and generally result in less homogeneous crystalline structures made of sputtered or epitaxially deposited materials. Colloidal nanoparticles, in contrast, exhibit high crystalline quality and sharp size distributions.

To overcome the limitations of top-down lithography, we here use a DNA origami structure to spatially arrange gold and silver nanoparticles (AuNPs and AgNPs) in heterotrimer with nanometre precision and high assembly yields\textsuperscript{28–30}. Our DNA origami template consists of a cylindrical 14-helix bundle (for design details and assembling procedures, see Methods and Supplementary Fig. 1), which offers lengthwise three equally spaced sequence-specific attachment sites for DNA-functionalized nanoparticles. AuNPs functionalized with a DNA sequence complementary to the outer sites and one AgNP functionalized with a sequence complementary to the middle site were hybridized to each origami template (Fig. 1a). The resulting heterogeneous nanoparticle trimer displays a designed interparticle gap of 40 nm between the two outer AuNPs, with the AgNP accommodated in between. After assembly, we confirmed...
this configuration by transmission electron microscopy (TEM) (Fig. 1b,c, Methods and Supplementary Fig. 2). Note that the AgNPs in our experiments are slightly smaller than the AuNPs and exhibit less contrast.

Conceptually, the distance between the AuNPs alone is too large to support plasmonic coupling, and thus no transfer of energy is expected. The AgNP bridges this gap and transfers energy coherently between the two outer AuNPs, serving as a lossless virtual transmitting state (Fig. 1d). This can be understood as follows: if we excite our system in the gold plasmon resonance, the two gold plasmons are in resonance; however, at a distance too long to couple. At the same frequency, the silver plasmon is not in resonance but becomes involved as a quasi-resonant virtual state that operates as a transmitter. Since silver has a relatively small Drude dissipation constant, silver plasmons have a narrow plasmon peak and exhibit a very strong induced dipole moment even for relatively small NP sizes. These important features make AgNPs excellent transmitter elements that allow us to connect the two gold plasmons almost without dissipation, as we show in this study using both experiment and theory.

We characterized the plasmonic coherent transfer system with dark-field scattering spectroscopy of individual heterotrimer immobilized on a glass substrate in air, and compared the resulting spectra with theoretical calculations (see also Methods and Supplementary Information). Figure 2a displays the scattering spectra of several AuNP–AgNP–AuNP trimers in comparison to an Au–Au homodimer, missing the middle AgNP. The dominant plasmon resonance wavelength shifts from 549 nm for the AuNP homodimer to 586 nm for the heterotrimer structure. At the same time the peak intensity increases by a factor of approximately four. Apparently, the middle AgNP indeed serves as a connector to enable strong coupling between the two outer AuNPs. The experiments further show that the use of larger AgNPs results in a stronger dominant peak intensity at 586 nm. Concurrently, the resonance level of the AgNP becomes visible as a small peak at 445 nm.

The particle geometries in our numerical simulations were adjusted to the particle positions and sizes as determined from scanning electron microscopy (SEM) and TEM images. For the simulation of the dimer structure, two 40 nm AuNPs separated by a 38 nm gap were chosen. For the trimer simulations, a 30 nm AgNP was placed in the gap (see also Supplementary Figs 3 and 4). Figure 2b shows that the simulated scattering cross-sections are in excellent agreement with the observed spectra. For the heterotrimer, the dominant plasmon resonance peak shifts ~40 nm to the red. Furthermore, the peak intensity is increased by a factor of four compared to the homodimer. Most importantly, the simulations confirm that the AgNP does not dissipate energy at the resonance mode of the heterotrimer, but instead transfers the energy coherently between the two outer AuNPs (Fig. 2c).
Polarization-resolved scattering measurements give additional insight into this non-dissipative passage. Figure 3a shows such measurements for a single heterotrimer. For the linear detecting polarizer set to 90° with respect to the long trimer axis, only small transverse resonance peaks of the single AgNP and AuNPs are visible at ~450 nm and ~550 nm, respectively. At parallel (0°) polarization, the coupled, red-shifted mode appears. Our simulations fully reproduce these observations (Fig. 3b).

The charge colour maps in Fig. 3c display the key feature of the plasmon coupling in our structures: The energy transfer between the AuNPs occurs via the plasmonic hotspots formed in the Au–Ag gaps (see also Supplementary Fig. 5). These highly localized hotspots generate surface charges involving high multipole harmonics, which contrasts the standard picture for energy transfer processes between, for example, dye molecules.

By analysing the data in Fig. 2a,b, we can estimate the lifetime of the plasmon in the trimer and its transfer time between the two AuNPs. From the width of the plasmon peak we obtain a lifetime of ~8 fs. From the splitting of the L-plasmon resonances ($\Delta_{\text{plas}}$) we calculate that $\tau_{\text{transfer}} \sim \pi/(2 \cdot \Delta_{\text{plas}}) \sim 4.7$ fs (see Supplementary Notes and Supplementary Information for details). Exact electromagnetic simulations of the plasmon transfer dynamics support this analytical estimate. For this we placed an exciting dipole sending an 8.3-fs-long Gaussian pulse near one of the AuNPs and observed the dipolar moments of both AuNPs over time (Fig. 4). The electric dipole moments and the energies stored on the AuNPs show time-oscillating traces, and thus coherent transfer via the AgNP with a characteristic transfer time of ~5 fs (Fig. 4 and Supplementary Figs 7 and 8). No such oscillations occur in the absence of the middle particle (Supplementary Fig. 9). As expected, the energy dissipation in the central transmitting AgNP is small, which shows the low-loss mechanism of information and energy transfer between the AuNPs mediated by the virtual silver plasmon. In summary, the observed plasmon lifetime and transfer time are both on the femtosecond timescale, enabling efficient and coherent transfer.

Due to the strong coupling of the plasmonic dipoles, this process is orders of magnitude faster than FRET, which achieves only femtosecond timescales with high losses.

In principle, FRET in molecules and semiconductor nanocrystals is orders of magnitude faster than FRET, which achieves only femtosecond timescales, enabling efficient and coherent transfer.

Due to the strong coupling of the plasmonic dipoles, this process is orders of magnitude faster than FRET, which achieves only femtosecond timescales with high losses. In the dipolar limit for the plasmons, which, of course, underestimates the strength of coupling in our real samples. The total number of modes is 9 and the modes can be bright (B) or dark (D) and longitudinal (L) or transverse (T). They further exhibit certain degeneracies (see Fig. 5a and Supplementary Information for details and model parameters). The Hamiltonian of the coupled plasmonic oscillators reads

$$\hat{H} = \sum \hbar \omega_{\mu} \left( \hat{c}_\mu^\dagger \hat{c}_\mu + \frac{1}{2} \right) + \hat{H}_{\text{int}}$$

where $\alpha$ is the index of each possible plasmonic state of isolated NP. The quantum index can be represented as $\alpha = (i, \gamma)$, where $i = 1, 2, 3$ is the NP number and $\gamma = x, y, z$ is the direction of oscillation. In equation (1), $\omega_{\mu}$ and $\hat{H}_{\text{int}}$ are the plasmon frequencies of isolated NPs and the Coulomb coupling operator, respectively. The Hamiltonian (1) can be easily diagonalized and the spectrum
of vibrations can be found. The most interesting modes are the collective longitudinal ones:

\[
\begin{align*}
\omega_{LB,\text{Au-like}} &= \omega_{p,\text{Au}} - \Delta_{LB,\text{Au}} \\
\omega_{LD,\text{Au-like}} &= \omega_{p,\text{Au}} + \Delta_{LD,\text{Au}} \\
\omega_{LB,\text{Ag-like}} &= \omega_{p,\text{Ag}} + \Delta_{LB,\text{Ag}}
\end{align*}
\]

where the positive parameters \(\Delta\) are the energy shifts that appear in the spectrum due to the Au–Au and Au–Ag plasmonic interactions.

This relatively simple quantum model reproduces qualitatively the main important features of our experimentally and computationally obtained spectra (Fig. 5b), which makes this one of the rare examples where classical and quantum pictures are equivalent when considering the coherent properties of coupled plasmons. In particular, the quantum model reproduces the red shift of the main Au-like L-plasmon, the appearance of splittings in the spectra, and a larger splitting for the L-modes as compared to the T-modes. Again, we observe that the silver plasmon plays the role of a mediator for the enhanced coupling between the two gold plasmons. Despite the drastic simplification of our quantum model, which ignores multipolar modes, the formation of hotspots and some other fine details of the system, it helps to understand all plasmonic modes and the characteristic spectral shifts.

Our heterogeneous particle chain where, in contrast to conventional homogeneous mono-metallic waveguides, a silver nanoparticle is introduced as a coherent transmitter allows for ultrafast excitation transfer with almost no losses in the transmitting element. Advanced experimental methods such as nonlinear time-resolved femtosecond spectroscopy in a pump–probe setup could in future studies record the coherent plasmonic dynamics of the Au–Ag–Au trimer. In a nonlinear plasmonic regime and employing methods of quantum spectroscopy, such a trimer can become a model system to create and control pairs of plasmonic quanta (qubits) and their quantum entangled states, as is currently done for photons and electrons.

**Methods**

Methods, including statements of data availability and any associated accession codes and references, are available in the online version of this paper.

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**Figure 5 | Quantum model.** a. Scheme displaying the harmonic-oscillator model of the plasmonic passage (top) and classification of the plasmonic modes (bottom). The main contributing mode to the plasmon passage and the mode assisting the passage of Au plasmons are circled with dashed lines. The label \(d\) denotes the degeneracy of the quantum plasmonic states. b. Energies of the different plasmonic modes calculated via the quantum oscillator model (solid and dashed lines), simulated by the classical electromagnetic model (circles) and experimentally obtained bright modes (triangles).

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Author contributions
E.-M.R., A.O.G. and T.L. conceived the experiments and co-wrote the manuscript. E.-M.R. designed the structure and analysed the data. E.-M.R. and C.P. performed the experiments. L.V.B. and L.K.K. performed the simulations, A.O.G. developed the quantum model. All authors contributed to the interpretation and general discussion and reviewed the manuscript.

Additional information
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Competing financial interests
The authors declare no competing financial interests.
Methods

Assembly of heterogeneous particle trimer structures. DNA origami folding. In DNA origami, a ~8,000-nucleotide-long viral single-stranded DNA (ssDNA) scaffold is folded into a programmed shape with the help of ~200 short, synthetic ssDNA staple strands. Due to the sequence-defined assembly of the DNA structure, the location of each staple strand and each DNA base within the structure is exactly defined. By extending a selected subset of the staple strands with tailored anchor sequences, the folded DNA origami structure can be employed as a breadboard exhibiting unique and sequence-specific binding sites for DNA-modified metal nanoparticles. Our DNA origami structure offers two outer attachment sites with the same DNA anchor sequence and a middle site with an orthogonal sequence. The DNA origami 14-helix bundle (14HB) was folded using 10 nM of the scaffold p8634, 100 nM of each staple strand, 10 mM Tris, 1 mM EDTA (pH 8) and 16 mM MgCl₂. This mixture was heated to 65 °C for 20 min and then slowly cooled down to 20 °C over a period of 40 h. Specific staple strands at the attachment site were elongated by either 15× A bases for AuNP attachment or by the sequence ATG GTG GTA GAG AA for AgNP attachment. The extended staple strands are labelled in Supplementary Fig. 1 with red colour. All the staples for nanoparticle attachment via DNA hybridization were already included into the folding solution according to the targeted final NP-DNA origami structure (trimmer or dimer structure).

After folding the structures, a purification step with a 1% agarose gel in 1× TAE (40 mM Tris, 40 mM Acetic acid, 1 mM EDTA, pH 8) containing 11 mM MgCl₂ was performed. The band containing the structures was cut out from the gel with a razor blade. The DNA origami structures were recovered with a pipette while squeezing the gel band between two glass slides. The concentration of the 14-helix bundle after purification was determined via ultraviolet–visible (UV–Vis) spectroscopy (Nanodrop).

Concentration of AuNPs and conjugation with DNA. First, 40 nm AuNPs (BBI Solutions, 20 ml) were concentrated using the protocol of Schreiber and colleagues11. The AuNPs were mixed with 8 mg BSPP (Bis(p-sulfonatophenyl)phenolphosphate dihydrate dipotassium salt, Sigma-Aldrich) and shaken for three days. Afterwards NaCl was added until a colour change to blue was observed. Then the solution was centrifuged at 1,600 rcf for 30 min and the supernatant discarded. Next, 1 ml of 2.5 mM BSPP and their concentration was determined via UV–Vis spectroscopy (Nanodrop). The following functionalization of the AuNPs with 5′ thiol-modified ssDNA strands (Biomers.net, 19× T bases) has two goals: first, the DNA coverage of the AuNPs renders them stable against high MgCl₂ concentrations as they are used within the DNA origami folding process. Second, the sequence of the thiol-modified ssDNA strands is chosen to be complementary to the single-stranded extensions of the staple strands that together form the AuNP attachment sites on the 14-helix bundle structure. Thus the AuNPs can hybridize to the predesignated sites on the DNA origami structure. For the functionalization a ratio of AuNPs/ DNA of 1:5,000 was used. 0.5 × TBE buffer was added to the AuNPs and the ssDNA and the solution was kept on a shaker for three days. Afterwards a purification step was performed to get rid of the unbound ssDNA strands. For that the AuNPs were run over a 100 kDa molecular weight cut-off (MWCO) centrifugal filter (Amicon Ultra, Millipore, 5 min, 8,000 rcf) followed by additional eight centrifugation steps with a filter exchange after four steps. This purification from unbound ssDNA strands is crucial to avoid blocking of the attachment sites on the DNA origami structure by free complementary ssDNA strands. Best yields of AuNPs-to-DNA-origami binding can be achieved if the last centrifugation steps are performed directly before mixing the AuNPs with the DNA origami structures.

AgNPs functionalization with DNA. For the functionalization of AgNPs with ssDNA a 5′ thiol-modified sequence TCT TCT ACC ACC TAG AT (biomers.net) was used. To functionalize the AuNP backbone, the AuNP was dialysed to the AuNP short, synthetic sequence and detecting the scattered light through a rotatable polarizer. Scattering spectra of single structures characterized in the dark-field were acquired using a Zeiss LEO 912 Omega microscope. The images were taken using the in-lens detector and an electron acceleration voltage of 200 kV.

Concluding remarks. The data that support the plots within this paper and other findings of this study are available from the corresponding author upon reasonable request.

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