ITÔWAVE: ITÔ STOCHASTIC DIFFERENTIAL EQUATION IS ALL YOU NEED FOR WAVE GENERATION

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ABSTRACT
In this paper, we propose a vocoder based on a pair of forward and reverse-time linear stochastic differential equations (SDE). The solutions of this SDE pair are two stochastic processes, one of which turns the distribution of wave, that we want to generate, into a simple and tractable distribution. The other is the generation procedure that turns this tractable simple signal into the target wave. The model is called ItôWave. ItôWave use the Wiener process as a driver to gradually subtract the excess signal from the noise signal to generate realistic corresponding meaningful audio respectively, under the conditional inputs of original mel spectrogram. The results of the experiment show that the mean opinion scores (MOS) of ItôWave can exceed the current state-of-the-art (SOTA) methods, and reached 4.35 ± 0.115. The generated audio samples are available online.

Index Terms—Vocoder, diffusion model, stochastic differential equations, generative model

1. INTRODUCTION
The vocoder model is roughly categorized as autoregressive (AR) or non-autoregressive (non-AR), where the AR model generates the signal frame by frame, and the generation of the current signal frame depends on the previously generated signal. Non-AR models generate the signal in parallel, and the current signal frame does not depend on the previous signal. Generally speaking, the voice quality generated by the AR model is higher than the non-AR model, but the amount of computation is also larger, and the generation speed is slow. While for the non-AR generation model, the generation speed is faster, but the generated voice quality is slightly worse. To name a few, for example, WaveNet [1] is the earliest AR model, using sampling points as the unit and achieves a sound quality that matches the naturalness of human speech. In addition, other recent AR models, including sampleRNN [2] and LPCNet [3] have further improved the sound quality. However, due to the large amount of computation and the slow generation speed, researchers currently mainly focus on developing non-AR wave generation models, such as Parallel WaveNet [4], GanSynth [5], MelGan [6], WaveGlow [7], Parallel WaveGan [8], and so on.

In this paper, vocoder is modeled with a new framework based on linear Itô stochastic differential equations (SDE) and score matching modeling. We call it ItôWave. The linear Itô SDE, driven by the Wiener process, can slowly turn the wave data distributions into data distributions that are easy to manipulate, such as white noise. This transformation process is the stochastic process solution of the linear Itô SDE. Therefore, the corresponding reverse-time linear Itô SDE can generate the wave data distribution required by vocoder, from this easy data distribution, such as white noise. It can be seen that the reverse-time linear Itô SDE is crucial for the generation, and Anderson [9] shows the explicit form of this reverse-time linear SDE, and the formula shows that it depends on the gradient of the log value of the probability density function of the stochastic process solution of the forward-time equation. This gradient value is also called the stein score [10]. ItôWave predict the stein score corresponding to the wave by trained neural networks. After obtaining this score, ItôWave can achieve the goal of generating wave through reverse-time linear Itô SDE or Langevin dynamic sampling.

Our contribution is as follows, 1) We are the first to proposed a vocoder model based on linear Itô SDE, and reached state-of-the-art performance; 2) We explicitly put vocoder under a more flexible framework, which can construct different vocoder models by selecting different drift and diffusion coefficients of the linear SDE; 3) For ItôWave, we propose a network structure, which is suitable for estimating the gradient of log value of the density function of the wave data distributions.

2. THE ITÔWAVE

2.1. Audio data distribution transformation based on Itô SDE
Itô SDE is a very natural model that can realize the transformation between different data distributions. The general Itô
SDE is as follows
\[
\begin{aligned}
    dX &= f(X, t)dt + g(t)dW \\
    X(0) &= x(0)
\end{aligned}
\] (1)
for \(0 \leq t \leq T\), where \(f(\cdot, t)\) is the drift coefficient, \(g(t)\) is the diffusion coefficient, \(W\) is the standard Wiener process. Let \(p(x(t))\) be the density of the random variable \(X(t)\). This SDE \([1]\) changes the initial distribution \(p(x(0))\) into another distribution \(p(x(T))\) by gradually adding the noise from the Wiener process \(W\). In this work, \(x(t) \in \mathbb{R}^d\), and \(p(x(0))\) is to denote the data distribution of wave in ItôWave. \(p(x(T))\) is an easy tractable distribution (e.g. Gaussian) of the latent representation of the wave signal corresponding to the conditional mel spectrogram. If this stochastic process \(x(t)\) can be reversed in time, then the corresponding target waveform of the conditional mel spectrogram can be generated from a simple latent distribution.

Actually the reverse-time diffusion process is the solution of the following corresponding reverse-time Itô SDE \([2]\)
\[
\begin{aligned}
    dX &= \left[f(X, t) - g(t)^2 \nabla_x \log p(x(t))\right] dt \\
    + g(t)dW \\
    X(T) &= x(T)
\end{aligned}
\] (2)
for \(0 \leq t \leq T\), where \(p(x(t))\) is the distribution of \(X(t)\), \(W\) is the standard Wiener process in reverse-time. The solution of this reverse-time Itô SDE \([2]\) can be used to generate wave data from a tractable latent distribution \(p(x(T))\). Therefore, it can be seen from \(\text{(2)}\) that the key to generating wave with SDE lies in the calculations of \(\nabla_x \log p(x(t))\) \((0 \leq t \leq T)\), which is always called score function \([10,11]\) of the data.

2.2. Score estimation of audio data distribution

In this work, a neural network \(\mathcal{S}_\theta\) is used to approximate the score function, where \(\theta\) denotes the parameters of the network. The input of the network \(\mathcal{S}_\theta\) includes time \(t\), \(x(t)\), and conditional input mel spectrograms \(m\) input in ItôWave. The expected output is \(\nabla_{x(t)} \log p(x(t))\). The objective of score matching is \([10]\)
\[
\mathbb{E}_{t \sim [0,T]} \mathbb{E}_{\mathcal{S}_\theta(x(t)) \sim p(x(t))} \left[ \frac{1}{2} \left\| \mathcal{S}_\theta(x(t), t, m) - \nabla_{x(t)} \log p(x(t)) \right\|^2 \right].
\] (3)

Generally speaking, in the low-density data manifold area, the score estimation will be inaccurate, which will further lead to the low quality of the sampled data \([11]\). If the wave signal is contaminated with a very small scale noise, then the contaminated wave signal will spread to the entire space \(\mathbb{R}^d\) instead of being limited to a small low-dimensional manifold. When using perturbed wave signal as input, the following denoising score matching (DSM) loss \([12,13]\)
\[
\text{DSM loss} = \mathbb{E}_{t \sim [0,T]} \mathbb{E}_{\mathcal{S}_\theta(x(t)) \sim p(x(t))} \mathbb{E}_{\mathcal{S}_\theta(x(t)) \sim p(x(t))} \left[ \frac{1}{2} \left\| \mathcal{S}_\theta(x(t), t, m) - \nabla_{x(t)} \log p(x(t)) \right\|^2 \right].
\] (4)
is equal to the DSM loss \([5]\) of a non-parametric (e.g. Parzen windows density estimator \([12]\)). This DSM loss is used in this paper to train the score prediction network. If we can accurately estimate the score \(\nabla_{x(t)} \log p(x(t))\) of the distribution, then we can generate wave sample data from the original distribution.

It should be noted that in the experiment we found that the choice of training loss is very critical. For ItôWave, the \(L^2\) loss is more appropriate than \(L^1\) loss.

Generally the transition densities \(p(x(t)|x(0))\) and the score \(\nabla_{x(t)} \log p(x(t)|x(0))\) in the DSM loss are difficult to calculate, but for linear SDE, these values have close formulas \([13]\).

2.3. Linear SDE and transition densities

Empirically it is found that different types of linear SDE for different audio generation tasks, e.g. the variance exploding (VE) SDE \([13]\) is much suitable for wave generation. VE SDE is of the following form
\[
\begin{aligned}
    dX &= \sigma_0 \left(\frac{x}{\sigma_0}\right)^t \sqrt{2 \log \frac{\sigma_0}{\sigma_1}} dW \\
    X(0) &= x(0) \sim \int p_{\text{mel}}(x) N(x(0); x, \sigma_0^2 \mathbf{1}) dx,
\end{aligned}
\] (5)
where \(\sigma_0 = 0.01 < \sigma_1\).

Then the differential equation satisfied by the mean and variance of the transition densities \(p(x(t)|x(0))\) is as follows
\[
\begin{aligned}
    \frac{d\mathbb{E}_t(x)}{dt} &= 0 \\
    \frac{d\sigma_t^2}{dt} &= 2 \sigma_0^2 \left(\frac{\sigma_1}{\sigma_0}\right)^{2t} \log \frac{\sigma_1}{\sigma_0} \mathbf{1}.
\end{aligned}
\] (6)

Solving the above equation, and choose \(\sigma_1\) makes \(2 \log \frac{\sigma_1}{\sigma_0} = 1\), we get the transition density of this as
\[
p(x(t)|x(0)) = N\left(x(t); x(0), \left[\sigma_0^2 \left(\frac{\sigma_1}{\sigma_0}\right)^{2t} - \sigma_0^2\right] \mathbf{1}\right).
\] (7)
The score of the VE linear SDE is
\[
\nabla_{x(t)} \log p(x(t)|x(0)) = \nabla_x \log N\left(x(t); x(0), \left[\sigma_0^2 \left(\frac{\sigma_1}{\sigma_0}\right)^{2t} - \sigma_0^2\right] \mathbf{1}\right)
\]
\[
= \nabla_{x(t)} \left[-\frac{d}{2} \log \left[2 \pi \left(\sigma_0^2 \left(\frac{\sigma_1}{\sigma_0}\right)^{2t} - \sigma_0^2\right)\right] - \frac{\|x(t) - x(0)\|^2}{2 \left(\sigma_0^2 \left(\frac{\sigma_1}{\sigma_0}\right)^{2t} - \sigma_0^2\right)}\right] = -\frac{\|x(t) - x(0)\|^2}{\sigma_0^2 \left(\frac{\sigma_1}{\sigma_0}\right)^{2t} - \sigma_0^2}.
\] (8)
The prior distribution \(p(x(T))\) is a Gaussian
\[
N\left(x(T); 0, \sigma_1^2 \mathbf{1}\right) = \frac{\exp(-\frac{1}{2 \sigma_1^2} \|x(T)\|^2)}{\sigma_1^d \sqrt{(2\pi)^d}},
\] (9)
thus \(\log p(x(T)) = -\frac{d}{2} \log(2\pi \sigma_1^2) - \frac{1}{2 \sigma_1^2} \|x(T)\|^2.\)
2.4. Training and wave sampling algorithm

Based on subsections 2.1 and 2.2, we can get the training procedure of the score networks based on general SDEs, as shown in Algorithm 1.

Algorithm 1 Training of the score network in VE SDE-based wave generation model

**Input and initialization:** The wave $$x$$ and the corresponding mel spectrogram condition $$m$$, the diffusion time $$T$$.

1: for $$k = 0, 1, \cdots$$
2: Uniformly sample $$t$$ from $$[0, T]$$.
3: Randomly sample batch of $$x$$ and $$m$$, let $$x(0) = x$$. Sample $$x(t)$$ from the distribution $${\mathcal N}\left(\mathbf{x}(t); \mathbf{x}(0), \left(\sigma_0^2 \left(\frac{x(0)}{\sigma_0}\right)^{2t} - \sigma_0^2\right) \mathbf{I}\right)$$, compute the target score as $$\frac{x(t) - x(0)}{\sigma_0 \left(\frac{x(0)}{\sigma_0}\right)^{2t} - \sigma_0^2}$$. Averaging the following

$$\text{DSM loss} = \|\mathcal{S}_{\theta_k}(x(t), t, m) + \frac{x(t) - x(0)}{\sigma_0 \left(\frac{x(0)}{\sigma_0}\right)^{2t} - \sigma_0^2}\|_1.$$  

4: Do the back-propagation and the parameter updating of $$\mathcal{S}_{\theta_k}$$.
5: $$k \leftarrow k + 1$$.
6: Until stopping conditions are satisfied and $$\mathcal{S}_{\theta_k}$$ converges, e.g. to $$\mathcal{S}_{\theta_f}$$.

**Output:** $$\mathcal{S}_{\theta_f}$$.

After we get the optimal score network $$\mathcal{S}_{\theta_f}$$ through loss minimization, thus we can get the gradient of log value of the distribution probability density of the wave with $$\mathcal{S}_{\theta_f}(x(t), t, m)$$. Then we can use Langevin dynamics or the reverse-time Itô SDE (2) to generate the wave corresponding to the specific mel spectrogram $$m$$. The reverse-time SDE (2) can be solved and used to generate target audio data. Assuming that the time schedule is fixed, the discretization of the diffusion process (1) is as follows

$$\begin{align*}
\mathbf{X}(i \Delta t + \Delta t) &= \mathbf{X}(i \Delta t) + f(\mathbf{X}(i \Delta t), i \Delta t) \Delta t + g(i \Delta t) \xi(i \Delta t) \\
&= f(\mathbf{X}(i \Delta t), i \Delta t) \Delta t + g(i \Delta t) \xi(i \Delta t) \\
&\quad + (i = 0, 1, \cdots, N - 1) \\
\mathbf{X}(0) &= \mathbf{x}(0) \\
\end{align*}$$

(10)

since $$dW$$ is a wide sense stationary white noise process [15], which is denoted as $$\xi(\cdot) \sim \mathcal{N}(0, \mathbf{I})$$ in this paper.

The corresponding discretization of the reverse-time diffusion process (2) is

$$\begin{align*}
\mathbf{X}(i \Delta t) &= \mathbf{X}(i \Delta t + \Delta t) \\
&= f(\mathbf{X}(i \Delta t + \Delta t), i \Delta t + \Delta t)(-\Delta t) \\
&\quad - g(i \Delta t + \Delta t)^2 \mathcal{S}_{\theta_f}(\mathbf{X}(i \Delta t + \Delta t), i \Delta t + \Delta t, m)(-\Delta t) \\
&\quad + g(i \Delta t + \Delta t) \xi(i \Delta t) \\
\mathbf{X}(T) &= \mathbf{x}(T) \\
T &= N \Delta t, \quad i = 0, 1, \cdots, N - 1
\end{align*}$$

(11)

In this paper, we use the strategy of [14], which means that at each time step, Langevin dynamics is used to predict first, and then reverse-time Itô SDE (11) is used to revise the first predicted result.

The generation algorithm of wave based on VE linear SDE is as follows

Algorithm 2 VE SDE-based ItôWave wave generation algorithm

**Input and initialization:** the score network $$\mathcal{S}_{\theta_f}$$, input mel-spectrogram $$m$$, and $$x(N \Delta t) \sim \mathcal{N}(0, \sigma_1 \mathbf{I})$$.

1: for $$k = N - 1, \cdots, 0$$
2: $$\mathbf{x}(k \Delta t) = \mathbf{x}(k \Delta t + \Delta t) + 2 \sigma_0^2 \left(\frac{\mathbf{x}(k \Delta t)}{\sigma_0}\right)^{2 \Delta t + 2 \Delta t} \log \frac{\mathbf{x}(k \Delta t)}{\sigma_0} \mathcal{S}_{\theta_f} \left(\mathbf{x}(k \Delta t), k \Delta t + \Delta t, m\right) \Delta t + \sigma_0 \left(\frac{\mathbf{x}(k \Delta t)}{\sigma_0}\right)^{k \Delta t + \Delta t} \sqrt{2 \log \frac{\mathbf{x}(k \Delta t)}{\sigma_0}} \xi(k \Delta t)$$
3: $$\mathbf{x}(k \Delta t) \leftarrow \mathbf{x}(k \Delta t) + \epsilon_k \mathcal{S}_{\theta_f} \left(\mathbf{x}(k \Delta t), k \Delta t, m\right) + \sqrt{2 \epsilon_k} \xi(k \Delta t)$$
4: $$k \leftarrow k - 1$$.

**Output:** The generated wave $$\mathbf{x}(0)$$.

2.5. Architectures of $$\mathcal{S}_{\theta_f}(x(t), t, m)$$.

It was found that although the score network model does not have as strict restrictions on the network structure as the flow model [16] [7] [17], not all network structures are suitable for score prediction.

The structure of ItôWave’s score prediction network $$\mathcal{S}_{\theta_f}(x(t), t, m)$$ is shown in Figure 1. The input is the wave to be generated, and the conditional input has mel spectrogram and time $$t$$. The output is the score at time $$t$$. All three types of input require preprocessing processes. The preprocessing of the wave is through a convolution layer; the preprocessing of mel spectrogram is based on the upsampling by two transposed convolution layers. After all inputs are preprocessed, they will be sent to the most critical module of $$\mathcal{S}_{\theta_f}(x(t), t, m)$$, which is of several serially connected dilated residual blocks. The main input of the dilated residual block is the wave, and the step time condition and mel spectrogram condition will be input into these dilated residual blocks one after another, and added to the feature map after the transformation of the wave signal. Similarly, there are two outputs of each dilated residual block, one is the state, which is used for input to the next residual block, and the other is the final output. The advantage of this is the ability to synthesize information of different granularities. Finally, the outputs of all residual blocks are summed and then pass through two convolution layers as the final output score.

3. EXPERIMENTS

3.1. Dataset and setup

The data set we use is LIJSpeech [18], a single female speech database, with a total of 24 hours, 13100 sentences, randomly
Fig. 1. The architecture of ItôWave.

divided into 13000/50/50 for training/verification/testing. The sampling rate is 22050. In the experiment, for mel spectrogram, the window length is 1024, hop length is 256, the number of mel channels is 80. We use the same Adam [19] training algorithm for ItôWave. We have done quantitative evaluations based on mean opinion score (MOS) with other state-of-the-art methods on ItôWave. We compared with WaveNet [1], WaveGlow [7], Diffwave [20], and WaveGrad [21]. All experiments were performed on a GeForce RTX 3090 GPU with 24G memory.

3.2. Results and discussion

In order to verify the naturalness and fidelity of the synthesized voice, we randomly select 40 from 50 test data for each subject, and then let the subject give the synthesized sound a MOS score of 0-5.

For ItôWave, the original mel spectrogram of the test set was used as the condition input to the score estimation network. ItôWave uses 30 residual layers. For the comparison methods,

The results are shown in Table 1 and you can see that ItôWave scores the best. It has approached the true value of ground truth. As shown in Figure 2, we can see how ItôWave gradually turns white noise into meaningful wave.

### Table 1. MOS with 95% confidence in a comparative study of different state-of-the-art vocoders on the test set of LJspeech dataset.

| Methods    | MOS             |
|------------|-----------------|
| Ground truth | 4.45 ± 0.07     |
| WaveNet    | 4.3 ± 0.130     |
| WaveGlow   | 3.95 ± 0.161    |
| DiffWave   | 4.325 ± 0.123   |
| WaveGrad   | 4.1 ± 0.158     |
| ItôWave    | 4.35 ± 0.115    |

Fig. 2. Conditioned on the frequency spectrum of the sentence LJ032-0167 in LJSpeech, ItôWave generates the corresponding voice step by step from the Gaussian signal. The corresponding text is “he concluded, quote, there is no doubt in my mind that these fibers could have come from this shirt.”

4. CONCLUSION

This paper proposes a new vocoder ItôWave based on linear Itô SDE. Under conditional input, ItôWave can continuously transform simple distributions into corresponding wave data through reverse-time linear SDE and Langevin dynamic. ItôWave use neural networks to predict the required score for reverse-time linear SDE and Langevin dynamic sampling, which is the gradient of the log probability density at a specific time. For ItôWave, we designed the corresponding effective score prediction networks. Experiments show that the MOS of ItôWave can achieved the state-of-the-art respectively.

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