LAGRANGIAN-HAMILTONIAN UNIFIED FORMALISM FOR FIELD THEORY.

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Abstract

The Rusk-Skinner formalism was developed in order to give a geometrical unified formalism for describing mechanical systems. It incorporates all the characteristics of Lagrangian and Hamiltonian descriptions of these systems (including dynamical equations and solutions, constraints, Legendre map, evolution operators, equivalence, etc.).

In this work we extend this unified framework to first-order classical field theories, and show how this description comprises the main features of the Lagrangian and Hamiltonian formalisms, both for the regular and singular cases. This formulation is a first step toward further applications in optimal control theory for PDE’s.

Key words: Jet bundles, Lagrangian and Hamiltonian formalisms, classical field theories, variational calculus, partial differential equations.

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1 Introduction

In ordinary autonomous classical theories in mechanics there is a unified formulation of Lagrangian and Hamiltonian formalisms [1], which is based on the use of the Whitney sum of the tangent and cotangent bundles \( W = TQ \oplus T^\ast Q \equiv TQ \times T^\ast Q \) (the velocity and momentum phase spaces of the system). In this space, velocities and momenta are independent coordinates. There is a canonical presymplectic form \( \Omega \) (the pull-back of the canonical form in \( T^\ast Q \)), and a natural coupling function, locally expressed as \( p_i v^i \), is defined by contraction between vectors and covectors. Given a Lagrangian \( L \in C^\infty(TQ) \), a Hamiltonian function, locally given by \( H = p_i v^i - L(q,v) \), is determined, and, using the usual constraint algorithm for the geometric equation \( i(X)\Omega = dH \) associated to the Hamiltonian system \((W,\Omega,H)\), we obtain that:

1. The first constraint submanifold \( W_1 \) is isomorphic to \( TQ \), and the momenta \( \frac{\partial L}{\partial v^i} = p_i \) are determined as constraints.

2. The geometric equation contains the second order condition \( v^i = \frac{dq^i}{dt} \).

3. The identification \( W_1 \equiv TQ \) allows us to recover the Lagrangian formalism.

4. The projection to the cotangent bundle generates the Hamiltonian formalism, including constraints. The Legendre map and the time evolution operator are straightforwardly obtained by the previous identification and projection [2].

It is also worth noticing that this space is also appropriate for the formulation of different kind of problems in Optimal Control [3], [4], [5], [6], [7]. Furthermore, in [8] and [9] this unified formalism has been extended for non-autonomous mechanical systems.

Our aim in this paper is to reproduce the same construction for first-order field theories, generating a unified description of Lagrangian and Hamiltonian formalisms and its correspondence, starting from the multisymplectic description of such theories (see, for instance, [10], [11], [12], [13], [14], [15], [16], [17], [18], for some general references on this formalism. See also [19], [20], [21], [22], [23], [24], [25] for other geometric formulations of field theories). As is shown throughout the paper, characteristics analogous to those pointed out for mechanical systems can be stated in this context. In [9], a first approach to this subject has been made, focusing mainly on the constraint algorithm for the singular case.

The organization of the paper is as follows: Section 2 is devoted to reviewing the main features of the multisymplectic description of Lagrangian and Hamiltonian field theories. In Section 3 we develop the unified formalism for field theories: starting from the extended jet-multimomentum bundle (the analogous to the Whitney sum in mechanics), we introduce the so-called extended Hamiltonian system and state the field equations for sections, \( m \)-vector fields, connections and jet fields in this framework. It is also shown how the standard Lagrangian and Hamiltonian descriptions are recovered from this unified picture. As a typical example, the minimal surface problem is described in this formalism in Section 4. Finally, we include an appendix where basic features about connections, jet fields and \( m \)-vector fields are displayed.

Throughout this paper \( \pi:E \to M \) will be a fiber bundle (\( \dim M = m, \dim E = N + m \)), where \( M \) is an oriented manifold with volume form \( \omega \in \Omega^m(M) \). \( \pi^1:J^1E \to E \) is the jet bundle of local sections of \( \pi \), and \( \tilde{\pi}^1 = \pi \circ \pi^1:J^1E \to M \) gives another fiber bundle structure. \( (x^\alpha, y^A, v^A_\alpha) \) will denote natural local systems of coordinates in \( J^1E \), adapted to the bundle \( E \to M \), \( (\alpha = 1, \ldots, m; A = 1, \ldots, N) \), and such that \( \omega = dx^1 \wedge \ldots \wedge dx^m = d^m x \). Manifolds are real, paracompact, connected and \( C^\infty \). Maps are \( C^\infty \). Sum over crossed repeated indices is understood.
2 Geometric framework for classical field theories

2.1 Lagrangian formalism

(For details concerning the contents of this and the next section, see for instance [10], [11], [12], [13], [17], [18], [26], [27], [28], [29], [30], [31]. See also appendix 5).

A classical field theory is described by giving a configuration fiber bundle $\pi: E \to M$ and a Lagrangian density, which is a $\bar{\pi}^1$-semibasic $m$-form on $J^1E$ usually written as $L = L\pi^1\omega$, where $L \in C^\infty(J^1E)$ is the Lagrangian function determined by $\mathcal{L}$ and $\omega$. The Poincaré-Cartan $m$ and $(m+1)$-forms associated with the Lagrangian density $\mathcal{L}$ are defined using the vertical endomorphism $\mathcal{V}$ of the bundle $J^1E$ (see [30])

$$\Theta_\mathcal{L} := i(\mathcal{V})\mathcal{L} + \mathcal{L} \in \Omega^m(J^1E) \quad ; \quad \Omega_\mathcal{L} := -d\Theta_\mathcal{L} \in \Omega^{m+1}(J^1E)$$

A Lagrangian system is a couple $(J^1E, \Omega_\mathcal{L})$. It is regular if $\Omega_\mathcal{L}$ is a multisymplectic $(m+1)$-form (a closed $m$-form, $m > 1$, is called multisymplectic if it is $1$-nondegenerate; elsewhere it is pre-multisymplectic). In natural charts in $J^1E$ we have $\mathcal{V} = (dy^A - v^\alpha_\alpha dx^\alpha) \otimes \frac{\partial}{\partial v^\alpha} \otimes \frac{\partial}{\partial x^\alpha}$, and

$$\Theta_\mathcal{L} = \frac{\partial L}{\partial v^\mu} dy^\mu \wedge d^{m-1}x^\mu - \left( \frac{\partial L}{\partial v^\mu} v^\mu_A - L \right) dx^A$$

$$\Omega_\mathcal{L} = -\frac{\partial^2 L}{\partial v^B \partial v^A_\alpha} dv^B \wedge dy^A \wedge d^{m-1}x^\alpha - \frac{\partial^2 L}{\partial y^B \partial v^A_\alpha} dy^B \wedge dy^A \wedge d^{m-1}x^\alpha +$$

$$+ \frac{\partial^2 L}{\partial v^B \partial v^A_\alpha} dv^B \wedge dx^A + \left( \frac{\partial^2 L}{\partial y^B \partial v^A_\alpha} v^A_B - \frac{\partial L}{\partial y^B} + \frac{\partial^2 L}{\partial x^\alpha \partial v^A_\alpha} \right) dy^B \wedge d^m x$$

(where $d^{m-1}x^\alpha \equiv i \left( \frac{\partial}{\partial x^\alpha} \right) d^m x$); the regularity condition is equivalent to $\det \left( \frac{\partial^2 L}{\partial v^A_\alpha \partial v^B_\beta} (\bar{y}) \right) \neq 0$, for every $\bar{y} \in J^1E$.

The Lagrangian problem associated with a Lagrangian system $(J^1E, \Omega_\mathcal{L})$ consists in finding sections $\phi \in \Gamma(M, E)$, the set of sections of $\pi$, which are characterized by the condition

$$(j^1\phi)^* i(X)\Omega_\mathcal{L} = 0 \quad , \quad \text{for every } X \in \mathfrak{X}(J^1E)$$

In natural coordinates, if $\phi(x) = (x^\alpha, \phi^A(x))$, this condition is equivalent to demanding that $\phi$ satisfy the Euler-Lagrange equations

$$\frac{\partial L}{\partial y^A} \Big|_{j^1\phi} - \frac{\partial}{\partial x^\alpha} \left( \frac{\partial L}{\partial v^A_\alpha} \right) \Big|_{j^1\phi} = 0 \quad , \quad (\text{for } A = 1, \ldots, N) \quad (1)$$

The problem of finding these sections can be formulated equivalently as follows: finding a distribution $D$ of $T(J^1E)$ such that it is integrable (that is, involutive), $m$-dimensional, $\bar{\pi}^1$-transverse, and the integral manifolds of $D$ are the image of sections solution of the above equations (therefore, lifting of $\pi$-sections). This is equivalent to stating that the sections solution to the Lagrangian problem are the integral sections of one of the following equivalent elements:

- A class of holonomic $m$-vector fields $\{X_\mathcal{L}\} \subset \mathfrak{X}^m(J^1E)$, such that $i(X_\mathcal{L})\Omega_\mathcal{L} = 0$, for every $X_\mathcal{L} \in \{X_\mathcal{L}\}$.

- A holonomic connection $\nabla_\mathcal{L}$ in $\bar{\pi}^1: JE \to M$ such that $i(\nabla_\mathcal{L})\Omega_\mathcal{L} = (m-1)\Omega_\mathcal{L}$. 

A holonomic jet field \( \Psi_L: J^1 E \to J^1 J^1 E \), such that \( i(\Psi_L)\Omega_L = 0 \) (the contraction of jet fields with differential forms is defined in [11]).

Semi-holonomic locally decomposable \( m \)-vector fields, jet fields and connections which are solution to these equations are called Euler-Lagrange \( m \)-vector fields, jet fields and connections for \((J^1 E, \Omega_L)\). In a natural chart in \( J^1 E \), the local expressions of these elements are

\[
X_L = \int_0^1 \left( \frac{\partial}{\partial x^\alpha} + F_A^\alpha \frac{\partial}{\partial y^A} + G_{\alpha\nu}^A \frac{\partial}{\partial v^A_\nu} \right)
\]

\[
\nabla_L = dx^\alpha \otimes \left( \frac{\partial}{\partial x^\alpha} + F_A^\alpha \frac{\partial}{\partial y^A} + G_{\alpha\nu}^A \frac{\partial}{\partial v^A_\nu} \right)
\]

\[
\Psi_L = (x^\alpha, y^A, v^A_\alpha, F_A^\alpha, G_{\alpha\nu}^A)
\]

with \( F_A^\alpha = v^A_\alpha \) (which is the local expression of the semi-holonomy condition), and where the coefficients \( G_{\alpha\nu}^A \) are related by the system of linear equations

\[
\frac{\partial^2 L}{\partial v^A_\alpha \partial v^B_\nu} G_{\alpha\nu}^A = \frac{\partial L}{\partial y^B} - \frac{\partial^2 L}{\partial x^\alpha \partial v^B_\nu} - \frac{\partial^2 L}{\partial y^A \partial v^B_\nu} v^A_\nu \quad (A, B = 1, \ldots, N)
\]

\( f \in C^\infty(J^1 E) \) is an arbitrary non-vanishing function. A representative of the class \( \{X_L\} \) can be selected by the condition \( i(X_L)(\tilde{\pi}^A_\nu \omega) = 1 \), which leads to \( f = 1 \) in the above local expression. Therefore, if \( J^1 \phi = (x^\mu, \phi^A, \frac{\partial \phi^A}{\partial x^\nu}) \) is an integral section of \( X_L \), then \( v^A_\alpha = \frac{\partial \phi^A}{\partial x^\alpha} \), and hence the coefficients \( G_{\alpha\nu}^A \) must satisfy the equations

\[
G_{\alpha\nu}^A \left( x^\alpha, \phi^A, \frac{\partial \phi^A}{\partial x^\alpha} \right) = \frac{\partial^2 \phi^A}{\partial x^\eta \partial x^\nu} \quad (A = 1, \ldots, N ; \eta, \nu = 1, \ldots, m)
\]

As a consequence, the system (2) is equivalent to the Euler-Lagrange equations (1) for \( \phi \).

If \((J^1 E, \Omega_L)\) is a regular Lagrangian system, the existence of classes of Euler-Lagrange \( m \)-vector fields for \( L \) (or what is equivalent, Euler-Lagrange jet fields or connections) is assured. For singular Lagrangian systems, the existence of this kind of solutions is not assured except perhaps on some submanifold \( S \hookrightarrow J^1 E \). Furthermore, solutions of the field equations can exist (in general, on some submanifold of \( J^1 E \)), but none of them are semi-holonomic (at any point of this submanifold). In both cases, the integrability of these solutions is not assured, except perhaps on a smaller submanifold \( I \) such that the integral sections are contained in \( I \).

### 2.2 Hamiltonian formalism

For the Hamiltonian formalism of field theories, we have the extended multimomentum bundle \( M\pi \), which is the bundle of \( m \)-forms on \( E \) vanishing by contraction with two \( \pi \)-vertical vector fields (or equivalently, the set of affine maps from \( J^1 E \) to \( \pi^* \Lambda^m T^* M \) [10], [32]), and the restricted multimomentum bundle \( J^1 \pi \equiv M\pi/\pi^* \Lambda^m T^* M \). We have the natural projections

\[
\tau^1: J^1 \pi \to E \ , \ \tilde{\tau}^1 = \pi \circ \tau^1: J^1 \pi \to M \ , \ \mu: M\pi \to J^1 \pi \ , \ \tilde{\mu} = \tilde{\tau}^1 \circ \mu: M\pi \to M.
\]

Given a system of coordinates adapted to the bundle \( \pi: E \to M \), we can construct natural coordinates \((x^\alpha, y^A, p_A^\alpha, p^A_\alpha, p^A_\alpha)\) \( (\alpha = 1, \ldots, m; A = 1, \ldots, N) \) in \( M\pi \), corresponding to the \( m \)-covector \( p = p^A_\alpha dx^\alpha + p^A_\alpha dy^A \wedge dx^\alpha \in M\pi \), and \((x^\alpha, y^A, p_A^\alpha)\) in \( J^1 \pi \), for the class \([p] = p^A_\alpha dy^A \wedge dx^\alpha \in J^1 \pi \).
Now, if \((J^1E, \Omega_L)\) is a Lagrangian system, the extended Legendre map associated with \(L\),
\[\tilde{\mathcal{F}}L: J^1E \to M\pi,\]
is defined as:
\[\tilde{\mathcal{F}}L(y)(Z_1, \ldots, Z_m) := (\Theta_L)_y(Z_1, \ldots, Z_m)\]  
where \(Z_1, \ldots, Z_m \in T\pi(y)E\), and \(Z_1, \ldots, Z_m \in T_yJ^1E\) are such that \(T_y\pi L Z_\alpha = Z_\alpha\). Then the 
restricted Legendre map associated with \(L\) is \(\mathcal{F}L := \mu \circ \tilde{\mathcal{F}}L\). Their local expressions are
\[
\begin{align*}
\tilde{\mathcal{F}}L^* x^\alpha &= x^\alpha, & \tilde{\mathcal{F}}L^* y^A &= y^A, & \tilde{\mathcal{F}}L^* p^\alpha_A &= \frac{\partial L}{\partial \dot{x}^\alpha}, & \tilde{\mathcal{F}}L^* p^A = L - v^A \frac{\partial L}{\partial \dot{y}^A} \\
\mathcal{F}L^* x^\alpha &= x^\alpha, & \mathcal{F}L^* y^A &= y^A, & \mathcal{F}L^* p^\alpha_A &= \frac{\partial L}{\partial \dot{x}^\alpha}, & \mathcal{F}L^* p^A = L - v^A \frac{\partial L}{\partial \dot{y}^A}
\end{align*}
\]
Therefore, \((J^1E, \Omega_L)\) is a regular Lagrangian system if \(\mathcal{F}L\) is a local diffeomorphism (this definition is equivalent to that given above). Elsewhere \((J^1E, \Omega_L)\) is a singular Lagrangian system.

As a particular case, \((J^1E, \Omega_L)\) is a hyper-regular Lagrangian system if \(\mathcal{F}L\) is a global diffeomorphism. A singular Lagrangian system \((J^1E, \Omega_L)\) is almost-regular if: \(P := \mathcal{F}L(J^1E)\) is a closed submanifold of \(J^*E\) (we will denote the natural imbedding by \(j_0: P \to J^1E\), \(\mathcal{F}L\) is a submersion onto its image, and for every \(\tilde{y} \in J^1E\), the fibres \(\mathcal{F}L^{-1}(\mathcal{F}L(\tilde{y}))\) are connected submanifolds of \(J^1E\).

In order to construct a Hamiltonian system associated with \((J^1E, \Omega_L)\), recall that the multicotangent bundle \(\Lambda^mT^*E\) is endowed with a natural canonical form \(\Theta \in \Omega^m(\Lambda^mT^*E)\), which is the tautological form defined as follows: let \(\tau_E: T^*E \to E\) be the natural projection, and \(\Lambda^m\tau_E: \Lambda^mT^*E \to E\) its natural extension; then, for every \(\tilde{p} \in \Lambda^mT^*E\) (where \(\tilde{p} = (y, \beta)\), with \(y \in E\) and \(\beta \in \Lambda^m\tau_E y E\)) and for every \(x_1, \ldots, x_m \in \mathcal{X}(\Lambda^mT^*E)\) we have
\[
[\Theta(x_1, \ldots, x_m)]_{\tilde{p}} := [(\Lambda^m\tau_E)^* \beta](x_{1\tilde{p}}, \ldots, x_{m\tilde{p}}) = \beta(T_p\Lambda^m\tau_E x_{1\tilde{p}}, \ldots, T_p\Lambda^m\tau_E x_{m\tilde{p}})
\]
Thus we also have the multisymplectic form \(\Omega := -d\Theta \in \Omega^{m+1}(\Lambda^mT^*E)\). But \(M\pi \equiv \Lambda^mT^*E\) is a subbundle of \(\Lambda^mT^*E\). Then, if \(\lambda: \Lambda^mT^*E \hookrightarrow \Lambda^mT^*E\) is the natural imbedding, \(\Theta := \lambda^*\Theta\) and \(\Omega := -d\Theta = \lambda^*\Omega\) are canonical forms in \(M\pi\), which are called the multimomentum Liouville \(m\) and \((m+1)\) forms. In particular, we have that \(\Theta(p) = (\tau_1 \circ \mu)^* \Theta, \) for every \(p \in M\pi\). Their local expressions are
\[
\Theta = p^\alpha_A dy^A \wedge d^{m-1}x_\alpha + p d^mx, \quad \Omega = -dp^\alpha_A \wedge dy^A \wedge d^{m-1}x_\alpha - dp \wedge d^mx
\]
Observe that \(\tilde{\mathcal{F}}L^* \Theta = \Theta_L\), and \(\mathcal{F}L^* \Omega = \Omega_L\).

Now, if \((J^1E, \Omega_L)\) is a hyper-regular Lagrangian system, then \(\tilde{P} := \tilde{\mathcal{F}}L(J^1E)\) is a 1-codimensional and \(\mu\)-transverse imbedded submanifold of \(M\pi\) (we will denote the natural imbedding by \(j_0: \tilde{P} \to M\pi\), which is diffeomorphic to \(J^1E\). This diffeomorphism is \(\mu^{-1}\), when \(\mu\) is restricted to \(\tilde{P}\), and also coincides with the map \(h := \tilde{\mathcal{F}}L \circ \mathcal{F}L^{-1}\), when it is restricted onto its image (which is just \(\tilde{P}\)). This map \(h\) is called a Hamiltonian section, and can be used to construct the Hamilton-Cartan \(m\) and \((m+1)\) forms of \(J^1E\) by making
\[
\Theta_h = h^* \Theta \in \Omega^m(J^1E), \quad \Omega_h = h^* \Omega \in \Omega^{m+1}(J^1E)
\]
The couple \((J^1E, \Omega_h)\) is said to be the Hamiltonian system associated with the hyper-regular Lagrangian system \((J^1E, \Omega_L)\). Locally, the Hamiltonian section \(h\) is specified by the local Hamiltonian function \(H = c^a_A (\mathcal{F}L^{-1})^* v^A = (\mathcal{F}L^{-1})^* L\), that is, \(h(x^\alpha, y^A, p^\alpha_A) = (x^\alpha, y^A, p^\alpha_A, -H)\). Then we have the local expressions
\[
\begin{align*}
\Theta_h = p^\alpha_A dy^A \wedge d^{m-1}x_\alpha - H d^mx, & \quad \Omega_h = -dp^\alpha_A \wedge dy^A \wedge d^{m-1}x_\alpha + dH \wedge d^mx
\end{align*}
\]
Of course \(\mathcal{F}L^* \Theta_h = \Theta_L\), and \(\mathcal{F}L^* \Omega_h = \Omega_L\).
The Hamiltonian problem associated with the Hamiltonian system \((J^{1*}E, \Omega_h)\) consists in finding sections \(\psi \in \Gamma(M, J^{1*}E)\), which are characterized by the condition

\[ \psi^* i(X) \Omega_h = 0 \quad , \quad \text{for every } X \in \mathfrak{X}(J^{1*}E) \]

In natural coordinates, if \(\psi(x) = (x^\alpha, y^A(x), p^\alpha_A(x))\), this condition leads to the so-called Hamilton-De Donder-Weyl equations (for the section \(\psi\)).

The problem of finding these sections can be formulated equivalently as follows: finding a distribution \(D(J^{1*}E)\) such that \(D\) is integrable (that is, involutive), \(m\)-dimensional, \(\bar{\tau}^1\)-transverse, and its integral manifolds are the sections solution to the above equations. This is equivalent to stating that the sections solution to the Hamiltonian problem are the integral sections of the following equivalent elements:

- A class of integrable and \(\bar{\tau}^1\)-transverse \(m\)-vector fields \(\{X_H\} \subset \mathfrak{X}^m(J^{1*}E)\) satisfying that \(i(X_H)\Omega_h = 0\), for every \(X_H \in \{X_H\}\).
- An integrable connection \(\nabla_H\) in \(\bar{\tau}^1: J^{1*}E \to M\) such that \(i(\nabla_H)\Omega_h = (m-1)\Omega_h\).
- An integrable jet field \(\Psi_H: J^{1*}E \to J^1 J^{1*}E\), such that \(i(\Psi_H)\Omega_h = 0\).

\(\bar{\tau}^1\)-transverse and locally decomposable \(m\)-vector fields, orientable jet fields and orientable connections which are solutions of these equations are called Hamilton-De Donder-Weyl (HDW) \(m\)-vector fields, jet fields and connections for \((J^{1*}E, \Omega_h)\). Their local expressions in natural coordinates are

\[ X_H = f \bigwedge_{\alpha=1}^m \left( \frac{\partial}{\partial x^\alpha} + F^A_\alpha \frac{\partial}{\partial y^A} + G^n_{A\alpha} \frac{\partial}{\partial p^\alpha_A} \right) \]
\[ \Psi_H = (x^\alpha, y^A, p^\alpha_A; F^A_\alpha, G^n_{A\alpha}) \]
\[ \nabla_H = dx^\alpha \otimes \left( \frac{\partial}{\partial x^\alpha} + F^A_\alpha \frac{\partial}{\partial y^A} + G^n_{A\alpha} \frac{\partial}{\partial p^\alpha_A} \right) \]

where \(f \in \mathcal{C}^\infty(J^{1*}E)\) is a non-vanishing function, and the coefficients \(F^A_\alpha, G^n_{A\alpha}\) are related by the system of linear equations

\[ F^A_\alpha = \frac{\partial H}{\partial p^\alpha_A} \quad , \quad G^n_{A\nu} = -\frac{\partial H}{\partial y^A} \]

Now, if \(\psi(x) = (x^\alpha, y^A(x) = \psi^A(x), p^\alpha_A(x) = \psi^\alpha_A(x))\) is an integral section of \(X_H\) then

\[ \left. \frac{\partial H}{\partial p^\alpha_A} \right|_\psi = F^A_\alpha \circ \psi = \frac{\partial \psi^A}{\partial x^\alpha} \quad ; \quad \left. \frac{\partial H}{\partial y^A} \right|_\psi = G^n_{A\alpha} \circ \psi = \frac{\partial \psi^\alpha_A}{\partial x^\alpha} \]

which are the Hamilton-De Donder-Weyl equations for \(\psi\). As above, a representative of the class \(\{X_H\}\) can be selected by the condition \(i(X_H)(\bar{\tau}^1\omega) = 1\), which leads to \(f = 1\) in the above local expression. The existence of classes of HDW \(m\)-vector fields, jet fields and connections is assured.

In an analogous way, if \((J^1E, \Omega_L)\) is an almost-regular Lagrangian system, the submanifold \(\gamma: \mathcal{P} \hookrightarrow J^1E\), is a fibre bundle over \(E\) and \(M\). In this case the \(\mu\)-transverse submanifold \(\mathcal{P} \hookrightarrow \mathcal{M}\pi\) is diffeomorphic to \(\mathcal{P}\). This diffeomorphism is denoted by \(\tilde{\mu}: \mathcal{P} \to \mathcal{P}\), and it is just the restriction of the projection \(\mu\) to \(\mathcal{P}\). Then, taking the Hamiltonian section \(\tilde{h} := \gamma \circ \tilde{\mu}^{-1}\), we define the Hamilton-Cartan forms

\[ \Theta^0_\tilde{h} = \tilde{h}^* \Theta \quad ; \quad \Omega^0_\tilde{h} = \tilde{h}^* \Omega \]
which verify that $\mathcal{F}\mathcal{L}_h^0\Theta^0_\Theta = \Theta_\mathcal{L}$ and $\mathcal{F}\mathcal{L}_h^0\Omega^0_\Omega = \Omega_\mathcal{L}$ (where $\mathcal{F}\mathcal{L}_0$ is the restriction map of $\mathcal{F}\mathcal{L}$ onto $\mathcal{P}$). Then $(\mathcal{P},\Omega^0_h)$ is the Hamiltonian system associated with the almost-regular Lagrangian system $(J^1E,\Omega_\mathcal{L})$, and we have the following diagram

Then, the Hamiltonian problem associated with the Hamiltonian system $(\mathcal{P},\Omega^0_h)$, and the equations for the sections of $\Gamma(M,\mathcal{P})$ solution to the Hamiltonian problem are stated as in the regular case. Now, the existence of the corresponding Hamilton-De Donder-Weyl $m$-vector fields, jet fields and connections for $(\mathcal{P},\Omega^0_h)$ is not assured, except perhaps on some submanifold $P$ of $\mathcal{P}$, where the solution is not unique.

From now on we will consider only regular or almost-regular systems.

3 Unified formalism

3.1 Extended Hamiltonian system

Given a fiber bundle $\pi: E \to M$ over an oriented manifold $(M,\omega)$, we define the extended jet-multimomentum bundle $W$ and the restricted jet-multimomentum bundle $W_r$ as

$$W := J^1E \times_E M , \quad W_r := J^1E \times_{J^1E} J^{1*}E$$

whose natural coordinates are $(x^\alpha,y^A,v^\alpha_A,p^\alpha_A)$ and $(x^\alpha,y^A,v^\alpha_A,p^\alpha_A)$, respectively. We have the natural projections (submersions)

$$\rho_1: W \to J^1E \ , \ \rho_2: W \to M , \ \rho_E: W \to E \ , \ \rho_M: W \to M$$

$$\rho^1_E: W_r \to J^1E \ , \ \rho^2_E: W_r \to J^{1*}E \ , \ \rho^E_M: W_r \to E \ , \ \rho^M_M: W_r \to M$$

Note that $\pi^1 \circ \rho_1 = \tau^1 \circ \mu \circ \rho_2 = \rho_E$. In addition, there is also the natural projection

$$\mu_W : \ W \to W_r$$

$$(\vec{y},p) \mapsto (\vec{y},[p])$$

The bundle $W$ is endowed with the following canonical structures:

**Definition** 1. The coupling $m$-form in $W$, denoted by $C$, is an $m$-form along $\rho_M$ which is defined as follows: for every $\vec{y} \in J^1y\ M$, with $\pi^1(\vec{y}) = \pi(y) = x \in E$, and $p \in M_y\pi$, let $w \equiv (\vec{y},p) \in W_y$, then

$$C(w) := (T_x\phi)^*p$$

where $\phi: M \to E$ satisfies that $j^1\phi(x) = \vec{y}$.

Then, we denote by $\hat{C} \in \Omega^m(W)$ the $\rho_M$-semibasic form associated with $C$. 

2. The canonical $m$-form $\Theta_W \in \Omega^m(W)$ is defined by $\Theta_W := \rho^*_E \Theta$, and it is therefore $\rho_E$-semibasic.

The canonical $(m + 1)$-form is the pre-multisymplectic form $\Omega_W := -d\Theta_W = \rho^*_1 \Omega \in \Omega^{m+1}(W)$.

Being $\hat{\Theta}$ a $\rho_M$-semibasic form, there is $\hat{\Theta} \in C^\infty(W)$ such that $\hat{\Theta} = \hat{C}(\rho_M^* \omega)$. Note also that $\Omega_W$ is not 1-nondegenerate, its kernel being the $\rho_2$-vertical vectors; then, we call $(W, \Omega_W)$ a pre-multisymplectic structure. This definition of the coupling form is in fact an alternative (obviously equivalent) presentation of the extended multimomentum bundle as the set of affine maps from the jet bundle $J^1 E$ to $\pi$-basic $m$-forms.

The local expressions for $\Theta_W$ and $\Omega_W$ are the same as (4), and for $\hat{\Theta}$ we have

$$\hat{\Theta}(w) = (p + p^\alpha_A v^A_\alpha) d^m x$$

Given a Lagrangian density $L \in \Omega^m(J^1 E)$, we denote $\hat{L} := \rho^*_1 L \in \Omega^m(W)$, and we can write $\hat{L} = \hat{\Lambda}(\rho_M^* \omega)$, with $\hat{\Lambda} = \rho^*_1 L \in C^\infty(W)$. We define a Hamiltonian submanifold

$$\mathcal{W}_0 := \{ w \in \mathcal{W} \mid \hat{\Lambda}(w) = \hat{\Theta}(w) \}$$

So, $\mathcal{W}_0$ is the submanifold of $\mathcal{W}$ defined by the constraint function $\hat{\Lambda} = \hat{\Theta} = 0$. In local coordinates this constraint function is

$$p + p^\alpha_A v^A_\alpha - \hat{\Lambda}(x^\nu, y^B, v^B_\nu) = 0$$

We have the natural imbedding $j_0: \mathcal{W}_0 \hookrightarrow \mathcal{W}$, as well as the projections (submersions)

$$\rho^0_1: \mathcal{W}_0 \rightarrow J^1 E, \quad \rho^0_2: \mathcal{W}_0 \rightarrow \mathcal{M} \pi, \quad \rho^*_1: \mathcal{W}_0 \rightarrow E, \quad \rho^*_2: \mathcal{W}_0 \rightarrow M$$

which are the restrictions to $\mathcal{W}_0$ of the projections (6), and $\rho^0_2 = \mu \circ \rho^0_2: \mathcal{W}_0 \rightarrow J^1 * E$. So we have the following diagram

Local coordinates in $\mathcal{W}_0$ are $(x^\alpha, y^A, v^A_\alpha, p^\alpha_A)$, and we have that

- $\rho^0_1(x^\alpha, y^A, v^A_\alpha, p^\alpha_A) = (x^\alpha, y^A, v^A_\alpha)$
- $j_0(x^\alpha, y^A, v^A_\alpha, p^\alpha_A) = (x^\alpha, y^A, v^A_\alpha, L - v^A_\alpha p^\alpha_A)$
- $\rho^0_2(x^\alpha, y^A, v^A_\alpha, p^\alpha_A) = (x^\alpha, y^A, v^A_\alpha, L - v^A_\alpha P^\alpha_A)$
- $\rho^*_2(x^\alpha, y^A, v^A_\alpha, p^\alpha_A) = (x^\alpha, y^A, p^\alpha_A)$
**Proposition 1** \( W_0 \) is a 1-codimensional \( \mu_W \)-transversal submanifold of \( W \), diffeomorphic to \( W_r \).

(Proof) For every \((\bar{y}, \bar{p}) \in W_0\), we have \( L(\bar{y}) = \hat{L}(\bar{y}, \bar{p}) = \hat{C}(\bar{y}, \bar{p}) \), and

\[
(\mu_W \circ j_0)(\bar{y}, \bar{p}) = \mu_W(\bar{y}, \bar{p}) = (\bar{y}, \mu(\bar{p})) = (\bar{y}, [\bar{p}])
\]

First, \( \mu_W \circ j_0 \) is injective: let \((\bar{y}_1, \bar{p}_1), (\bar{y}_2, \bar{p}_2) \in W_0\), then we have

\[
(\mu_W \circ j_0)(\bar{y}_1, \bar{p}_1) = (\mu_W \circ j_0)(\bar{y}_2, \bar{p}_2) \Rightarrow (\bar{y}_1, \mu(\bar{p}_1)) = (\bar{y}_2, \mu(\bar{p}_2)) \Rightarrow \bar{y}_1 = \bar{y}_2 , \mu(\bar{p}_1) = \mu(\bar{p}_2)
\]
hence

\[
L(\bar{y}_1) = L(\bar{y}_2) = \hat{C}(\bar{y}_1, \bar{p}_1) = \hat{C}(\bar{y}_2, \bar{p}_2)
\]

In a local chart, third equality gives

\[
p(\bar{p}_1) + p^A_\alpha(\bar{p}_1)v^A_\alpha(\bar{y}_1) = p(\bar{p}_2) + p^A_\alpha(\bar{p}_2)v^A_\alpha(\bar{y}_2)
\]

but \( \mu(\bar{p}_1) = \mu(\bar{p}_2) \) implies that

\[
p^A_\alpha(\bar{p}_1) = p^A_\alpha(\bar{p}_1)) = p^A_\alpha(\bar{p}_2)) = p^A_\alpha(\bar{p}_2)
\]

therefore \( p(\bar{p}_1) = p(\bar{p}_2) \) and hence \( p_1 = p_2 \).

Second, \( \mu_W \circ j_0 \) is onto: Let \((\bar{y}, \bar{p}) \in W_r\), then there exists \((\bar{q}, \bar{q}) \in j_0(W_0)\) such that \([\bar{q}] = [\bar{p}]\).

In fact, it suffices to take \([\bar{q}]\) in such a way that, in a local chart of \( J^1E \times E \mathcal{M}_\pi = W \)

\[
p^A_\alpha(\bar{q}) = p^A_\alpha(\bar{p}) , \quad p(\bar{q}) = p(\bar{p}) = \hat{p}^A_\alpha(\bar{q})v^A_\alpha(\bar{y}) - L(\bar{y})
\]

Finally, observe that \( W_0 \) is defined by the constraint function \( \hat{L} - \hat{C} \) and, as ker \( \mu_W \ast = \left\{ \frac{\partial}{\partial p} \right\} \) and \( \frac{\partial}{\partial p}(\hat{L} - \hat{C}) = 1 \), then \( W_0 \) is a 1-codimensional submanifold of \( W \) and \( \mu_W \)-transversal.

As a consequence of this property, the submanifold \( W_0 \) induces a section \( \hat{h}: W_r \to W \) of the projection \( \mu_W \). Locally, \( \hat{h} \) is specified by giving the local Hamiltonian function \( \hat{H} = -\hat{L} + p^A_\alpha v^A_\alpha \), that is, \( \hat{h}(x^a, y^A, v^A_\alpha, \rho^A_\alpha) = (x^a, y^A, v^A_\alpha, \rho^A_\alpha, -\hat{H}) \). In this sense, \( \hat{h} \) is said to be a Hamiltonian section of \( \mu_W \).

**Remark:** It is important to point out that, from every Hamiltonian \( \mu_W \)-section \( \hat{h}: W_r \to W \) in the extended unified formalism, we can recover a Hamiltonian \( \mu \)-section \( \hat{h}: \mathcal{P} \to \mathcal{M}_\pi \) in the standard Hamiltonian formalism. In fact, given \([\bar{p}] \in J^1E\), the section \( \hat{h} \) maps every point \((\bar{y}, [\bar{p}]) \in (\rho_2)^{-1}([\bar{p}])\) into \( \rho_2^{-1}[\rho_2(\hat{h}(\bar{y}, [\bar{p}]))] \). So, the crucial point is the projectability of the local function \( \hat{H} \) by \( \rho_2 \). But, being \( \frac{\partial}{\partial v^A_\alpha} \) a local basis for ker \( \rho_2 \ast \), \( \hat{H} \) is \( \rho_2 \)-projectable iff

\[
p^A_\alpha = \frac{\partial L}{\partial v^A_\alpha}, \text{ and this condition is fulfilled when } \bar{p} \in \mathcal{P} = \text{Im} \mathcal{F} \mathcal{L} \subset J^1E \text{, which implies that}
\]

\[
\rho_2[\hat{h}(\rho_2^{-1})([\bar{p}])] \in \hat{\mathcal{P}} = \text{Im} \hat{\mathcal{F}} \mathcal{L} \subset \mathcal{M}_\pi \text{. Hence, the Hamiltonian section } \hat{h} \text{ is defined as follows}
\]

\[
\hat{h}([\bar{p}]) = (\rho_2 \circ \hat{h})(\rho_2^{-1}(j([\bar{p}]))) \text{ , for every } [\bar{p}] \in \mathcal{P}
\]

So we have the diagram (see also diagram (5))
and we have obtained a (pre-multisymplectic) Hamiltonian system $(\mathcal{W}, \mathcal{O}_0)$ with local expressions

$$\Theta_0 := \mathcal{J}_0 \mathcal{O}_{W} = \rho^0 \Theta \in \mathcal{O}^m(\mathcal{W}_0) \quad \Omega_0 := \mathcal{J}_0 \mathcal{O}_{W} = \rho^0 \Omega \in \mathcal{O}^{m+1}(\mathcal{W}_0)$$

with local expressions

$$\Theta_0 = (L - p^0 A v_A^A) d^m x + p^0 A d y^A \wedge d^{m-1} x$$

$$\Omega_0 = d(p^0 A v_A^A - L) \wedge d^m x - dp^0 A d y^A \wedge d^{m-1} x$$

(7)

and we have obtained a (pre-multisymplectic) Hamiltonian system $(\mathcal{W}, \mathcal{O}_0)$, or equivalently $(\mathcal{W}_r, \hat{h}^* \mathcal{O}_0)$.

### 3.2 The field equations for sections

The Lagrange-Hamiltonian problem associated with the system $(\mathcal{W}, \mathcal{O}_0)$ consists in finding sections $\psi_0 \in \Gamma(M, \mathcal{W}_0)$ which are characterized by the condition

$$\psi_0 i(Y_0) \mathcal{O}_0 = 0 \quad \text{for every } Y_0 \in \mathfrak{X}(\mathcal{W}_0) \quad (8)$$

This equation gives different kinds of information, depending on the type of the vector fields $Y_0$ involved. In particular, using vector fields $Y_0$ which are $\rho^0$-vertical, we have:

**Lemma 1** If $Y_0 \in \mathfrak{X}^V(\rho^0_2)(\mathcal{W}_0)$ (i.e., $Y_0$ is $\rho^0_2$-vertical), then $i(Y_0) \mathcal{O}_0$ is $\rho^0_M$-semibasic.

*(Proof)* A simple calculation in coordinates leads to this result. In fact, taking $\left\{ \frac{\partial}{\partial v_A^A} \right\}$ as a local basis for the $\rho^0_2$-vertical vector fields, and bearing in mind (7) we obtain

$$i \left( \frac{\partial}{\partial v_A^A} \right) \mathcal{O}_0 = \left( \rho^0_A - \frac{\partial L}{\partial v_A^A} \right) d^m x$$

which are obviously $\rho^0_M$-semibasic forms.

As an immediate consequence, when $Y_0 \in \mathfrak{X}^V(\rho^0_2)(\mathcal{W}_0)$, condition (8) does not depend on the derivatives of $\psi_0$: is a pointwise (algebraic) condition. We can define the submanifold

$$\mathcal{W}_1 = \{ (\vec{y}, p) \in \mathcal{W}_0 \mid i(V_0)(\mathcal{O}_0(\vec{y}, p)) = 0, \text{ for every } V_0 \in \mathfrak{V}(\rho^0_2) \}$$

which is called the first constraint submanifold of the Hamiltonian pre-multisymplectic system $(\mathcal{W}_0, \mathcal{O}_0)$, as every section $\psi_0$ solution to (8) must take values in $\mathcal{W}_1$. We denote by $j_1: \mathcal{W}_1 \hookrightarrow \mathcal{W}_0$ the natural embedding.

Locally, $\mathcal{W}_1$ is defined in $\mathcal{W}_0$ by the constraints $\rho^0_A = \frac{\partial L}{\partial v_A^A}$. Moreover:

**Proposition 2** $\mathcal{W}_1$ is the graph of $\bar{\mathcal{F}} \mathcal{L}$; that is, $\mathcal{W}_1 = \{ (\vec{y}, \bar{\mathcal{F}} \mathcal{L}(\vec{y})) \in \mathcal{W} \mid \vec{y} \in J^1 E \}$.

*(Proof)* Consider $\vec{y} \in J^1 E$, let $\phi: M \to E$ be a representative of $\vec{y}$, and $p = \bar{\mathcal{F}} \mathcal{L}(\vec{y})$. For every $U \in T_{\pi^1(\vec{y})} M$, consider $V = T_{\pi^1(\vec{y})} \phi(U)$ and its canonical lifting $\bar{V} = T_{\pi^1(\vec{y})} J^1 \phi(U)$. From the definition of the extended Legendre map (3) we have that $(T_{\vec{y}} \pi^1)^* (\bar{\mathcal{F}} \mathcal{L}(\vec{y})) = (\Theta \mathcal{L})_{\vec{y}}$, then

$$i(\bar{V})[(T_{\vec{y}} \pi^1)^* (\bar{\mathcal{F}} \mathcal{L}(\vec{y}))] = i(\bar{V})(\Theta \mathcal{L})_{\vec{y}}$$
Furthermore, as $p = \tilde{F}(\bar{y})$, we also have that
\[
i(V)[(T_{\bar{y}}\pi)^*(\tilde{F}(\bar{y})] = i(T_{\pi_{1}(y)}j^1\phi(U))[T_{\bar{y}}\pi]^*p = i(T_{\pi_{1}(y)}j^1\phi(U))]p = i(T_{\pi_{1}(y)}\phi(U))p = i(V)p
\]
Therefore we obtain
\[
i(U)(\phi^*p) = i(U)[(j^1\phi)^*(\Theta_{\mathcal{L}})_{\bar{y}}]
\]
and bearing in mind the definition of the coupling form $\mathcal{C}$, this condition becomes
\[
i(U)(\mathcal{C}(\bar{y}, p)) = i(U)[(j^1\phi)^*(\Theta_{\mathcal{L}})_{\bar{y}}]
\]
Since it holds for every $U \in T_{\pi_{1}(y)}M$, we conclude that $\mathcal{C}(\bar{y}, p) = [(j^1\phi)^*\Theta_{\mathcal{L}}]_{\bar{y}}$, or equivalently, $\tilde{C}(\bar{y}, p) = \bar{L}(\bar{y}, p)$, where we have made use of the fact that $\Theta_{\mathcal{L}}$ is the sum of the Lagrangian density $\mathcal{L}$ and a contact form $i(V)\mathcal{L}$ (vanishing by pull-back of lifted sections). This is the condition defining $\mathcal{W}_0$, and thus we have proved that $(\bar{y}, \tilde{F}(\bar{y})) \in \mathcal{W}_0$, for every $\bar{y} \in J^1E$; that is, graph $\tilde{F}\mathcal{L} \subset \mathcal{W}_0$. Furthermore, graph $\tilde{F}\mathcal{L}$ and $\mathcal{W}_1$ are defined as subsets of $\mathcal{W}_0$ by the same local conditions: $p^0_{\alpha} - \partial L/\partial v^\alpha = 0$. So we conclude that graph $\tilde{F}\mathcal{L} = \mathcal{W}_1$. 

Being $\mathcal{W}_1$ the graph of $\tilde{F}\mathcal{L}$, it is diffeomorphic to $J^1E$. Every section $\psi_0: M \to \mathcal{W}_0$ is of the form $\psi_0 = (\psi_{\mathcal{L}}, \psi_{\mathcal{H}})$, with $\psi_{\mathcal{L}} = \rho^0_\alpha \circ \psi_0: M \to J^1E$, and if $\psi_0$ takes values in $\mathcal{W}_1$ then $\psi_{\mathcal{H}} = \tilde{F}\mathcal{L} \circ \psi_{\mathcal{L}}$. In this way, every constraint, differential equation, etc. in the unified formalism can be translated to the Lagrangian or the Hamiltonian formalisms by restriction to the first or the second factors of the product bundle.

However, as was pointed out before, the geometric condition (8) in $\mathcal{W}_0$, which can be solved only for sections $\psi_0: M \to \mathcal{W}_1 \subset \mathcal{W}_0$, is stronger than the Lagrangian condition $\psi_{\mathcal{L}}^*i(Z)\Omega_{\mathcal{L}} = 0$, (for every $Z \in \mathfrak{X}(J^1E)$) in $J^1E$, which can be translated to $\mathcal{W}_1$ by the natural diffeomorphism between them. The reason is that $T_{\mathcal{W}_1}\mathcal{W}_0 = T\mathcal{W}_1 \oplus V_{\mathcal{W}_1}(\rho^0_\alpha)$, so the additional information comes therefore from the $\rho^0_\alpha$-vertical vectors, and it is just the holonomic condition. In fact:

**Theorem 1** Let $\psi_0: M \to \mathcal{W}_0$ be a section fulfilling equation (8), $\psi_0 = (\psi_{\mathcal{L}}, \psi_{\mathcal{H}}) = (\psi_{\mathcal{L}}, \tilde{F}\mathcal{L} \circ \psi_{\mathcal{L}})$, where $\psi_{\mathcal{L}} = \rho^0_\alpha \circ \psi_0$. Then:

1. $\psi_{\mathcal{L}}$ is the canonical lift of the projected section $\phi = \rho^0_\alpha \circ \psi_0: M \to E$ (that is, $\psi_{\mathcal{L}}$ is a holonomic section).

2. The section $\psi_{\mathcal{L}} = \pi^1_\mathcal{L}$ is a solution to the Lagrangian problem, and the section $\mu \circ \psi_{\mathcal{H}} = \mu \circ \tilde{F}\mathcal{L} \circ \psi_{\mathcal{L}} = \mathcal{L} \circ \pi^1_\mathcal{L}$ is a solution to the Hamiltonian problem.

Conversely, for every section $\phi: M \to E$ such that $j^1\phi$ is solutions to the Lagrangian problem (and hence $\mathcal{L} \circ j^1\phi$ is solution to the Hamiltonian problem) we have that the
section $\psi_0 = (j^1 \phi, \tilde{\mathcal{L}} \circ j^1 \phi)$, is a solution to (8).

\begin{proof}

1. Taking $\left\{ \frac{\partial}{\partial p^0_A} \right\}$ as a local basis for the $\rho^0_1$-vertical vector fields:

$$i \left( \frac{\partial}{\partial p^0_A} \right) \Omega_0 = \nu^A_\alpha \, dx - dy^A \wedge x^{m-1} x_\alpha$$

so that for a section $\psi_0$, we have

$$0 = \psi^*_0 \left[ i \left( \frac{\partial}{\partial p^0_A} \right) \Omega_0 \right] = \left( \nu^A_\alpha(x) - \frac{\partial y^A}{\partial x^\alpha} \right) \, dx$$

and thus the holonomy condition appears naturally within the unified formalism, and it is not necessary to impose it by hand to $\psi_0$. Thus we have that $\psi_0 = (x^\alpha, y^A, \frac{\partial y^A}{\partial x^\alpha}, \frac{\partial L}{\partial v^A})$, since $\psi_0$ takes values in $\mathcal{W}_1$, and hence it is of the form $\psi_0 = (j^1 \phi, \tilde{\mathcal{L}} \circ j^1 \phi)$, for $\phi = (x^\alpha, y^A) = \rho^0_1 \circ \psi_0$.

2. Since sections $\psi_0: M \to \mathcal{W}_0$ solution to (8) take values in $\mathcal{W}_1$, we can identify them with sections $\psi_1: M \to \mathcal{W}_1$. These sections $\psi_1$ verify, in particular, that $\psi^*_1 i(Y_1) \Omega_1 = 0$ holds for every $Y_1 \in \mathfrak{X}(\mathcal{W}_1)$. Obviously $\psi_0 = j_1 \circ \psi_1$. Moreover, as $\mathcal{W}_1$ is the graph of $\tilde{\mathcal{L}}$, denoting by $\rho^1_1 = \rho^0_1 \circ j_1: \mathcal{W}_1 \to J^1 \mathcal{E}$ the diffeomorphism which identifies $\mathcal{W}_1$ with $J^1 \mathcal{E}$, if we define $\Omega_1 = \rho^1_1 \Omega_1$, we have that $\Omega_1 = \rho^1_1 \Omega_1$. In fact; as $(\rho^0_1)^{-1}(\tilde{y}) = (\tilde{y}, \tilde{\mathcal{L}}(\tilde{y}))$, for

$$\Omega_1 = (\rho^0_1 \circ j_1 \circ (\rho^1_1)^{-1})(\tilde{y}) = \tilde{\mathcal{L}}(\tilde{y}) \in \mathcal{M}_\pi, \text{ and hence}$$

$$\Omega_1 = [(\rho^0_1)^{-1} \circ j_1^* \circ \rho^0_1]^* \Omega_1 = [((\rho^0_1)^{-1})^* \circ j_1^* \circ \rho^2_0] \Omega_0 = [((\rho^0_1)^{-1})^* \circ j^1_1] \Omega_0 = (\rho^0_1)^{-1} \Omega_1$$

Now, let $X \in \mathfrak{X}(J^1 \mathcal{E})$. We have

\[
    (j^1 \phi)^* i(X) \Omega_2 = (\rho^0_1 \circ \psi_0)^* i(X) \Omega_2 = (\rho^0_1 \circ \psi_1)^* i(X) \Omega_2 \\
    = (\rho^0_1 \circ \psi_1)^* i(X) \Omega_2 = \psi^*_1 i((\rho^0_1)^{-1} X) (\rho^1_1 \Omega_1) = \psi^*_1 i(Y_1) \Omega_1 \\
    = \psi^*_1 i(Y_1) (j^1_1 \Omega_0) = (\psi^*_1 \circ j^1_1) i(Y_0) \Omega_0 = \psi^*_0 i(Y_0) \Omega_0
\]
where $Y_0 \in \mathfrak{X}(\mathcal{W}_0)$ is such that $Y_0 = j_1 Y_1$. But as $\psi_0^* i(Y_0)\Omega_0 = 0$, for every $Y_0 \in \mathfrak{X}(\mathcal{W}_0)$, then we conclude that $(j^1 \phi)^* i(X)\Omega_L = 0$, for every $X \in \mathfrak{X}(J^1 E)$.

Conversely, let $j^1 \phi : M \to J^1 E$ such that $(j^1 \phi)^* i(X)\Omega_L = 0$, for every $X \in \mathfrak{X}(J^1 E)$, and define $\psi_0 : M \to \mathcal{W}_0$ as $\psi_0 := (j^1 \phi, FL \circ j^1 \phi)$ (observe that $\psi_0$ takes its values in $\mathcal{W}_1$). Taking into account that, on the points of $\mathcal{W}_1$, every $Y_0 \in \mathfrak{X}(\mathcal{W}_0)$ splits into $Y_0 = Y_0^1 + Y_0^2$, with $Y_0^1 \in \mathfrak{X}(\mathcal{W}_0)$ tangent to $\mathcal{W}_1$, and $Y_0^2 \in \mathfrak{X}^\alpha(v_0^0)(\mathcal{W}_0)$, we have that

$$\psi_0^* i(Y_0^1)\Omega_0 = \psi_0^* i(Y_0^1)\Omega_0 + \psi_0^* i(Y_0^2)\Omega_0 = 0$$

because for $Y_0^1$, the same reasoning as in (9) leads to

$$\psi_0^* i(Y_0^1)\Omega_0 = (j^1 \phi)^* i(X_0^1)\Omega_L = 0$$

(where $X_0^1 = (\rho_1)_*^{-1} Y_0^1$) and for $Y_0^2$, following also the same reasoning as in (9), a local calculus gives

$$\psi_0^* i(Y_0^2)\Omega_0 = (j^1 \phi)^* \left[ \left( f_0^A(x) \left( v_0^A - \frac{\partial y_0^A}{\partial x^\alpha} \right) \right) d^m x \right] = 0$$

since $j^1 \phi$ is a holonomic section.

The result for the sections $FL \circ j^1 \phi$ is a direct consequence of the equivalence theorem between the Lagrangian and Hamiltonian formalisms (see, for instance, [31] and [12]).

**Remark:** The results in this section can also be recovered in coordinates taking an arbitrary local vector field $Y_0 = f^A \frac{\partial}{\partial y^A} + g^A_\alpha \frac{\partial}{\partial v^A_\alpha} + h^A_\alpha \frac{\partial}{\partial p^A_\alpha} \in \mathfrak{X}(\mathcal{W}_0)$, then

$$i(Y_0)\Omega_0 = - f^A \frac{\partial L}{\partial y^A} d^m x + f^A dp^A_\alpha \wedge d^{m-1} x_\alpha + g^A_\alpha \left( p^A_\alpha - \frac{\partial L}{\partial v^A_\alpha} \right) d^m x + h^A_\alpha v^A_\alpha d^m x - h^A_\alpha dy^A \wedge d^{m-1} x_\alpha$$

and, for a section $\psi_0$ fulfilling (8),

$$0 = \psi_0^* i(Y_0)\Omega_0 = \left[ f^A \left( \frac{\partial p^A_\alpha}{\partial x^\alpha} - \frac{\partial L}{\partial y^A} \right) + g^A_\alpha \left( p^A_\alpha - \frac{\partial L}{\partial v^A_\alpha} \right) + h^A_\alpha \left( v^A_\alpha - \frac{\partial y^A}{\partial x^\alpha} \right) \right] d^m x$$

reproduces the Euler-Lagrange equations, the restricted Legendre map (that is, the definition of the momenta), and the holonomy condition.

Summarizing, the equation (8) gives different kinds of information, depending on the type of verticality of the vector fields $Y_0$ involved. In particular we have obtained equations of three different classes:

1. Algebraic (not differential) equations, determining a subset $\mathcal{W}_1$ of $\mathcal{W}_0$, where the sections solution must take their values. These can be called primary Hamiltonian constraints, and in fact they generate, by $\rho_2^0$ projection, the primary constraints of the Hamiltonian formalism for singular Lagrangians, i.e., the image of the Legendre transformation, $\mathcal{F}L(J^1 E) \subset J^1 E$.

2. The holonomic differential equations, forcing the sections solution $\psi_0$ to be lifting of $\pi$-sections. This property is similar to the one in the unified formalism of Classical Mechanics, and it reflects the fact that the geometric condition in the unified formalism is stronger than the usual one in the Lagrangian formalism.

3. The classical Euler-Lagrange equations.
3.3 The field equations for \(m\)-vector fields, connections and jet fields

The problem of finding sections solution to (8) can be formulated equivalently as follows: finding a distribution \(D_0\) of \(T(W_0)\) such that it is integrable (that is, involutive), \(m\)-dimensional, \(\rho^0_M\)-transverse, and the integral manifolds of \(D_0\) are the sections solution to the above equations. (Note that we do not ask them to be lifting of \(\pi\)-sections; that is, the holonomic condition). This is equivalent to stating that the sections solution to this problem are the integral sections of one of the following equivalent elements:

- A class of integrable and \(\rho^0_M\)-transverse \(m\)-vector fields \(\{X_0\} \subset \mathfrak{X}^m(W_0)\) satisfying that
  \[i(X_0)\Omega_0 = 0, \text{ for every } X_0 \in \{X_0\}\]  
  (10)

- An integrable connection \(\nabla_0\) in \(\rho^0_M: W_0 \to M\) such that
  \[i(\nabla_0)\Omega_0 = (m - 1)\Omega_0\]  
  (11)

- An integrable jet field \(\Psi_0: W_0 \to J^1W_0\), such that
  \[i(\Psi_0)\Omega_0 = 0\]  
  (12)

Locally decomposable and \(\rho^0_M\)-transverse \(m\)-vector fields, orientable jet fields and orientable connections which are solutions of these equations will be called Lagrange-Hamiltonian \(m\)-vector fields, jet fields and connections for \((W_0, \Omega_0)\).

Recall that, in a natural chart in \(W_0\), the local expressions of a connection form, its associated jet field, and the \(m\)-multivector fields of the corresponding associated class are

\[
\nabla_0 = dx^\alpha \otimes \left( \frac{\partial}{\partial x^\alpha} + F^A_\alpha \frac{\partial}{\partial y^A} + G^A_{\alpha\nu} \frac{\partial}{\partial v^A_{\nu}} + H^\nu_{\alpha A} \frac{\partial}{\partial p^A_{\nu}} \right) \\
\Psi_0 = (x^\alpha, y^A, v^A_\alpha, F^A_\alpha, G^A_{\alpha\nu}, H^\nu_{\alpha A}) \\
X_0 = f \bigwedge_{\alpha=1}^m \left( \frac{\partial}{\partial x^\alpha} + F^A_\alpha \frac{\partial}{\partial y^A} + G^A_{\alpha\nu} \frac{\partial}{\partial v^A_{\nu}} + H^\nu_{\alpha A} \frac{\partial}{\partial p^A_{\nu}} \right) 
\]

(13)

where \(f \in C^\infty(J^1E)\) is an arbitrary non-vanishing function. A representative of the class \(\{X\}\) can be selected by the condition \(i(X)(\rho^0_M^*\omega) = 1\), which leads to \(f = 1\) in the above local expression.

Now, the equivalence of the unified formalism with the Lagrangian and Hamiltonian formalisms can be recovered as follows:

**Theorem 2** Let \(\{X_0\}\) be a class of integrable Lagrange-Hamiltonian \(m\)-vector fields in \(W_0\), whose elements \(X_0: W_0 \to \Lambda^m T W_0\) are solutions of (10), and let \(\nabla_0: W_0 \to \rho^0_M^*T^*M \otimes_{W_0} T W_0\) be its associated Lagrange-Hamiltonian connection form (which is a solution to (11)), and \(\Psi_0: W_0 \to J^1W_1\) its associated Lagrange-Hamiltonian jet field (which is a solution to (12)).

1. For every \(X_0 \in \{X_0\}\), the \(m\)-vector field \(X_0: J^1E \to \Lambda^m T J^1E\) defined by
   \[
   X_0 \circ \rho^0_J = \Lambda^m T \rho^0_J \circ X_0
   \]
   is a holonomic Euler-Lagrange \(m\)-vector field for the Lagrangian system \((J^1E, \Omega_L)\) (where \(\Lambda^m T \rho^0_J: \Lambda^m T W_0 \to \Lambda^m T J^1E\) is the natural extension of \(T \rho^0_J\)).

Conversely, every holonomic Euler-Lagrange \(m\)-vector field for the Lagrangian system \((J^1E, \Omega_L)\) can be recovered in this way from an integrable Lagrange-Hamiltonian \(m\)-vector field \(X_0 \in \mathfrak{X}^m(W_0)\).
2. The Ehresmann connection form $ \nabla_L : J^1 E \to \tilde{\pi}^* T^* M \otimes_{J^1 E} T J^1 E $ defined by

$$ \nabla_L \circ \rho^0_0 = \kappa_{\rho_0} \circ \nabla_0 $$

is a holonomic Euler-Lagrange connection form for the Lagrangian system $ (J^1 E, \Omega_L) $. (where $ \kappa_{\rho_0} $ is defined as the map making the following diagram commutative)

$$ \begin{array}{ccc} \rho^0_0 T^* M \otimes \rho_0 \mathcal{W}_0 T \mathcal{W}_0 & \xrightarrow{\kappa_{\rho_0}} & \tilde{\pi}^* T^* M \otimes \rho_1 J^1 E \\ \mathcal{W}_0 \downarrow & & \downarrow \mathcal{W}_0 \\ \mathcal{W}_0 & & J^1 E \end{array} $$

Conversely, every holonomic Euler-Lagrange connection form for the Lagrangian system $ (J^1 E, \Omega_L) $ can be recovered in this way from an integrable Lagrange-Hamiltonian connection form $ \nabla_0 $.

3. The jet field $ \Psi_L : J^1 E \to J^1 J^1 E $ defined by

$$ \Psi_L \circ \rho^0_1 = j^1 \rho^0_1 \circ \Psi_0 $$

is a holonomic Euler-Lagrange jet field for the Lagrangian system $ (J^1 E, \Omega_L) $.

Conversely, every holonomic Euler-Lagrange jet field for the Lagrangian system $ (J^1 E, \Omega_L) $ can be recovered in this way from an integrable Lagrange-Hamiltonian jet field $ \Psi_0 $.

(Proof) Let $ X_0 $ be a $ \rho^0_0 $-transversal $ m $-vector field on $ \mathcal{W}_0 $ solution to (10). As sections $ \psi_0 : M \to \mathcal{W}_0 $ solution to the geometric equation (8) must take value in $ \mathcal{W}_1 $, then $ X_0 $ can be identified with a $ m $-vector field $ X_1 : \mathcal{W}_1 \to \Lambda^m T \mathcal{W}_1 $ (i.e., $ \Lambda^m T J^1 \circ X_1 = X_0|_{\mathcal{W}_1} $), and hence there exists $ X_L : J^1 E \to \Lambda^m T J^1 E $ such that $ X_1 = \Lambda^m T (\rho^0_1)^{-1} \circ X_L \in \mathcal{X}^m(\mathcal{W}_1) $. Therefore, as a consequence of item 1 in theorem 1, for every section $ \psi_0 $ solution to (8), there exists $ X^0_L \in \mathcal{X}^m(\rho^0_1 \phi(M)) $ such that $ \Lambda^m T j^1 \phi \circ X^0_L = \tilde{X}_L|_{j^1 \phi(M)} $, where $ j^1 \phi : j^1 \phi \to E $ is the natural imbedding. So, $ X_L $ is $ \tilde{\pi}^1 $-transversal and holonomic. Then, bearing in mind that $ j^1 \phi \Omega_0 = \rho^0_1 \Omega_L $, we have

$$ j^1 \phi \Omega_0 = \tilde{X}_L|_{j^1 \phi(M)} \Omega_L $$

then $ i(X_0) \Omega_0 = 0 \Rightarrow i(X_L) \Omega_L = 0 $.

Conversely, given an holonomic Euler-Lagrange $ m $-vector field $ X_L $, from $ i(X_L) \Omega_L = 0 $, and taking into account the above chain of equalities, we obtain that $ i(X_0) \Omega_0 \in [\mathcal{X}(\mathcal{W}_1)]^0 $ (the annihilator of $ \mathcal{X}(\mathcal{W}_1) $). Moreover, being $ X_L $ holonomic, $ X_0 $ is holonomic, and then the extra condition $ i(Y_0) i(X_0) \Omega_0 = 0 $ is also fulfilled for every $ Y_0 \in \mathcal{X}^m(\rho^0_1 \phi(M)) $. Thus, remembering that $ T \mathcal{W}_1 \mathcal{W}_0 = T \mathcal{W}_1 \oplus V \mathcal{W}_1(\rho^0_1 \phi(M)) $, we conclude that $ i(X_0) \Omega_0 = 0 $.

The proof for Ehresmann connections and jet fields is straightforward, taking into account that they are equivalent alternative descriptions in the Lagrangian formalism.

This statement also holds for non-integrable classes of $ m $-vector fields, connections and jet fields in $ \mathcal{W}_0 $, but now the corresponding classes of Euler-Lagrange $ m $-vector fields, connections and jet fields in $ J^1 E $ will not be holonomic (but only semi-holonomic). To prove this assertion it suffices to compute the equation (10) in coordinates, using the local expressions (7) and (13), concluding then that, in the expressions (13), $ F^A_\alpha = v^A_\alpha $, which is the local expression of the semi-holonomy condition (see also [9]).

Finally the Hamiltonian formalism is recovered in the usual way, by using the following:
Theorem 3 Let \((J^1E, \Omega_h)\) be the Hamiltonian system associated with a (hyper) regular Lagrangian system \((J^1E, \Omega_L)\).

1. (Equivalence theorem for \(m\)-vector fields) Let \(X_L \in X^m(J^1E)\) and \(X_H \in X^m(J^{1*}E)\) be the \(m\)-vector fields solution to the Lagrangian and the Hamiltonian problems respectively. Then
\[
\Lambda^m TFL \circ X_L = fX_H \circ FL
\]
for some \(f \in C^\infty(J^{1*}E)\) (we say that the classes \(\{X_L\}\) and \(\{X_H\}\) are \(FL\)-related).

2. (Equivalence theorem for jet fields and connections) Let \(Y_L\) and \(Y_H\) be the jet fields solution of the Lagrangian and the Hamiltonian problems respectively. Then
\[
j^1 FL \circ Y_L = Y_H \circ FL
\]
(we say that the jet fields \(Y_L\) and \(Y_H\) are \(FL\)-related). As a consequence, their associated connection forms, \(\nabla_L\) and \(\nabla_H\) respectively, are \(FL\)-related too.

(For almost-regular systems the statement is the same, but changing \(J^{1*}E\) for \(P\)).

(Proof) See [31]. (The proof for the almost-regular case follows in a straightforward way). ■

As a consequence of these latter theorems, similar comments to those made at the end of Sections 2.1 and 2.2 about the existence, integrability and non-uniqueness of Euler-Lagrange and Hamilton-de Donder-Weyl \(m\)-vector fields, connections and jet fields, can be applied to their associated elements in the unified formalism. In particular, for singular systems, the existence of these solutions is not assured, except perhaps on some submanifold \(S \hookrightarrow W_1\), and the number of arbitrary functions which appear depends on the dimension of \(S\) and the rank of the Hessian matrix of \(L\) (an algorithm for finding this submanifold is outlined in [9]). The integrability of these solutions is not assured (even in the regular case), except perhaps on a smaller submanifold \(I \hookrightarrow S\) such that the integral sections are contained in \(I\).

4 Example: minimal surfaces (in \(\mathbb{R}^3\))

(In [9] we find another interesting example, the bosonic string (which is a singular model), described in this unified formalism).

4.1 Statement of the problem. Geometric elements

The problem consists in looking for mappings \(\varphi: U \subset \mathbb{R}^2 \to \mathbb{R}\) such that their graphs have minimal area as sets of \(\mathbb{R}^3\), and satisfy certain boundary conditions.

For this model, we have that \(M = \mathbb{R}^2\), \(E = \mathbb{R}^2 \times \mathbb{R}\), and
\[
J^1E = \pi^* T^* \mathbb{R}^2 \otimes \mathbb{R} = \pi^* T^* M = \pi^* T^* \mathbb{R}^2
\]
\[
\mathcal{M}_\pi = \pi^* (TM \times_M E) \quad \text{(affine maps from } J^1E \text{ to } \pi^* \Lambda^2 T^* M)\)
\[
J^{1*}E = \pi^* TM = \pi^* T \mathbb{R}^2 \quad \text{(classes of affine maps from } J^1E \text{ to } \pi^* \Lambda^2 T^* M)\)

The coordinates in \(J^1E\), \(J^{1*}E\) and \(\mathcal{M}_\pi\) are denoted \((x^1, x^2, y, v_1, v_2), (x^1, x^2, y, p_1, p_2)\), and \((x^1, x^2, y, p^1, p^2, p)\) respectively. If \(\omega = dx^1 \wedge dx^2\), the Lagrangian density is
\[
\mathcal{L} = [1 + (v_1)^2 + (v_2)^2]^{1/2} dx^1 \wedge dx^2 \equiv L dx^1 \wedge dx^2
\]
and the Poincaré-Cartan forms are

\[
\Theta_L = \frac{v_1}{L} dy \wedge dx^2 - \frac{v_2}{L} dy \wedge dx^1 + L \left( 1 - \left( \frac{v_1}{L} \right)^2 - \left( \frac{v_2}{L} \right)^2 \right) dx^1 \wedge dx^2 \\
\Omega_L = -d \left( \frac{v_1}{L} \right) \wedge dy \wedge dx^2 + d \left( \frac{v_2}{L} \right) \wedge dy \wedge dx^1 - d \left[ L \left( 1 - \left( \frac{v_1}{L} \right)^2 - \left( \frac{v_2}{L} \right)^2 \right) \right] \wedge dx^1 \wedge dx^2
\]

The Legendre maps are

\[
\mathcal{FL}(x^1, x^2, y, v_1, v_2) = (x^1, x^2, y, \frac{v_1}{L}, \frac{v_2}{L})
\]

\[
\tilde{\mathcal{FL}}(x^1, x^2, y, v_1, v_2) = \left( x^1, x^2, y, \frac{v_1}{L}, \frac{v_2}{L}, L - \frac{(v_1)^2}{L} - \frac{(v_2)^2}{L} \right)
\]

and then \( L \) is hyperregular. The Hamiltonian function is

\[
H = -[1 - (p_1)^2 - (p_2)^2]^{1/2}
\]

(14)

So the Hamilton-Cartan forms are

\[
\Theta_h = p_1 dy \wedge dx^2 - p_2 dy \wedge dx^1 - H dx^1 \wedge dx^2
\]

\[
\Omega_h = -dp_1 \wedge dy \wedge dx^2 + dp_2 \wedge dy \wedge dx^1 + dH \wedge dx^1 \wedge dx^2
\]

### 4.2 Unified formalism

For the unified formalism we have

\[
\mathcal{W} = \pi^* T^* M \times_E \pi^* (TM \times_M E), \quad \mathcal{W}_r = \pi^* T^* M \times E \pi^* TM = \pi^* (T^* M \times_M TM)
\]

If \( w = (x^1, x^2, y, v_1, v_2, p_1, p_2, p) \in \mathcal{W} \), the coupling form is

\[
\hat{C} = (p_1 v_1 + p_2 v_2 + p) dx^1 \wedge dx^2
\]

therefore

\[
\mathcal{W}_0 = \{(x^1, x^2, y, v_1, v_2, p_1, p_2, p) \in \mathcal{W} \mid [1 + (v_1)^2 + (v_2)^2]^{1/2} - p_1 v_1 - p_2 v_2 - p = 0\}
\]

and we have the forms

\[
\Theta_0 = [(1 + (v_1)^2 + (v_2)^2)^{1/2} - p_1 v_1 - p_2 v_2] dx^1 \wedge dx^2 - p_2 dy \wedge dx_1 + p_1 dy \wedge dx_2
\]

\[
\Omega_0 = -d([1 + (v_1)^2 + (v_2)^2]^{1/2} - p_1 v_1 - p_2 v_2) \wedge dx^1 \wedge dx^2 + dp_2 \wedge dy \wedge dx_1 - dp_1 \wedge dy \wedge dx_2
\]

Taking first \( \rho^0_2 \)-vertical vector fields \( \frac{\partial}{\partial v_\alpha} \) we obtain

\[
0 = i \left( \frac{\partial}{\partial v_\alpha} \right) \Omega_0 = \left( p_\alpha - \frac{v_\alpha}{L} \right) dx^1 \wedge dx^2
\]

which determines the submanifold \( \mathcal{W}_1 = \text{graph} \tilde{\mathcal{FL}} \) (diffeomorphic to \( J^1 E \)), and reproduces the expression of the Legendre map. Now, taking \( \rho^0_1 \)-vertical vector fields \( \frac{\partial}{\partial p^{\alpha}} \), the contraction \( i \left( \frac{\partial}{\partial p^{\alpha}} \right) \Omega_0 \) gives, for \( \alpha = 1, 2 \) respectively,

\[
v_1 dx^1 \wedge dx^2 - dy \wedge dx^2, \quad v_2 dx^1 \wedge dx^2 + dy \wedge dx^1
\]
so that, for a section \( \psi_0 = (x^1, x^2, y(x^1, x^2), v_1(x^1, x^2), v_2(x^1, x^2), p_1(x^1, x^2), p_2(x^1, x^2)) \) taking values in \( \mathcal{W}_1 \), we have that the condition \( \psi_0^* \left[ i \left( \frac{\partial}{\partial p^\alpha} \right) \Omega_0 \right] = 0 \) leads to

\[
\left( v_1 - \frac{\partial y}{\partial x^1} \right) dx^1 \wedge dx^2 = 0 , \quad \left( v_2 - \frac{\partial y}{\partial x^2} \right) dx^1 \wedge dx^2 = 0
\]

which is the holonomy condition. Finally, taking the vector field \( \frac{\partial}{\partial y} \) we have

\[
i \left( \frac{\partial}{\partial y} \right) \Omega_0 = -dp^2 \wedge dx^1 + dp^1 \wedge dx^2
\]

and, for a section \( \psi_0 \) fulfilling the former conditions, the equation \( 0 = \psi_0^* \left[ i \left( \frac{\partial}{\partial y} \right) \Omega_0 \right] \) leads to

\[
0 = \left( \frac{\partial p^2}{\partial x^2} + \frac{\partial p_1}{\partial x^1} \right) dx^1 \wedge dx^2 = \left[ \frac{\partial}{\partial x^1} \left( \frac{v_1}{L} \right) + \frac{\partial}{\partial x^2} \left( \frac{v_2}{L} \right) \right] dx^1 \wedge dx^2
\]

\[
= \frac{1}{L^2} \left[ \left( 1 + \left( \frac{\partial y}{\partial x^1} \right)^2 \right) \frac{\partial^2 y}{\partial x^2 \partial x^2} + \left( 1 + \left( \frac{\partial y}{\partial x^2} \right)^2 \right) \frac{\partial^2 y}{\partial x^1 \partial x^1} - 2 \frac{\partial y}{\partial x^1} \frac{\partial y}{\partial x^2} \frac{\partial^2 y}{\partial x^1 \partial x^2} \right] dx^1 \wedge dx^2
\]

which gives the Euler-Lagrange equation of the problem.

Now, bearing in mind (14), and the expression of the Legendre map, from the Euler-Lagrange equations we get

\[
\frac{\partial y}{\partial x^1} = -\frac{p_1}{H} , \quad \frac{\partial y}{\partial x^2} = -\frac{p_2}{H} ; \quad \frac{\partial p_1}{\partial x^1} = -\frac{\partial p_2}{\partial x^2}
\]

which are the Hamilton-De Donder-Weyl equations of the problem.

The \( m \)-vector fields, connections and jet fields which are the solutions to the problem in the unified formalism are

\[
X_0 = \left( \frac{\partial}{\partial x^1} + v_1 \frac{\partial}{\partial y} + \frac{\partial v_1}{\partial x^1} \frac{\partial}{\partial v_1} + \frac{\partial v_2}{\partial x^1} \frac{\partial}{\partial v_2} + \frac{\partial p_1}{\partial x^1} \frac{\partial}{\partial p_1} + \frac{\partial p_2}{\partial x^1} \frac{\partial}{\partial p_2} \right) \wedge
\]

\[
\left( \frac{\partial}{\partial x^2} + v_2 \frac{\partial}{\partial y} + \frac{\partial v_1}{\partial x^2} \frac{\partial}{\partial v_1} + \frac{\partial v_2}{\partial x^2} \frac{\partial}{\partial v_2} + \frac{\partial p_1}{\partial x^2} \frac{\partial}{\partial p_1} + \frac{\partial p_2}{\partial x^2} \frac{\partial}{\partial p_2} \right)
\]

\[
\Psi_0 = (x^1, x^2, y, p_1, p_2; v_1, v_2, \frac{\partial v_1}{\partial x^1}, \frac{\partial v_1}{\partial x^2}, \frac{\partial v_2}{\partial x^1}, \frac{\partial v_2}{\partial x^2}, \frac{\partial p_1}{\partial x^1}, \frac{\partial p_1}{\partial x^2}, \frac{\partial p_2}{\partial x^1}, \frac{\partial p_2}{\partial x^2})
\]

\[
\nabla_0 = dx^1 \otimes \left( \frac{\partial}{\partial x^1} + v_1 \frac{\partial}{\partial y} + \frac{\partial v_1}{\partial x^1} \frac{\partial}{\partial v_1} + \frac{\partial v_2}{\partial x^1} \frac{\partial}{\partial v_2} + \frac{\partial p_1}{\partial x^1} \frac{\partial}{\partial p_1} + \frac{\partial p_2}{\partial x^1} \frac{\partial}{\partial p_2} \right) +
\]

\[
dx^2 \otimes \left( \frac{\partial}{\partial x^2} + v_2 \frac{\partial}{\partial y} + \frac{\partial v_1}{\partial x^2} \frac{\partial}{\partial v_1} + \frac{\partial v_2}{\partial x^2} \frac{\partial}{\partial v_2} + \frac{\partial p_1}{\partial x^2} \frac{\partial}{\partial p_1} + \frac{\partial p_2}{\partial x^2} \frac{\partial}{\partial p_2} \right)
\]

(\( f \) being a non-vanishing function) where the coefficients \( \frac{\partial v_\alpha}{\partial x^\nu} = \frac{\partial^2 y}{\partial x^\nu \partial x^\alpha} \) are related by the Euler-Lagrange equations, and the coefficients \( \frac{\partial p_\alpha}{\partial x^\nu} \) are related by the Hamilton-De Donder-Weyl equations (the third one). Hence the associated Euler-Lagrange \( m \)-vector fields, connections and jet fields which are the solutions to the Lagrangian problem are

\[
X_L = f \left( \frac{\partial}{\partial x^1} + v_1 \frac{\partial}{\partial y} + \frac{\partial v_1}{\partial x^1} \frac{\partial}{\partial v_1} + \frac{\partial v_2}{\partial x^1} \frac{\partial}{\partial v_2} \right) \wedge \left( \frac{\partial}{\partial x^2} + v_2 \frac{\partial}{\partial y} + \frac{\partial v_1}{\partial x^2} \frac{\partial}{\partial v_1} + \frac{\partial v_2}{\partial x^2} \frac{\partial}{\partial v_2} \right)
\]
\[ \Psi_L = (x^1, x^2, y, p^1, p^2; v_1, v_2, \frac{\partial v_1}{\partial x^1}, \frac{\partial v_1}{\partial x^2}, \frac{\partial v_2}{\partial x^1}, \frac{\partial v_2}{\partial x^2}) \]

\[ \nabla_L = dx^1 \otimes \left( \frac{\partial}{\partial x^1} + v_1 \frac{\partial}{\partial y} + \frac{\partial v_1}{\partial x^1} \frac{\partial}{\partial v_1} + \frac{\partial v_2}{\partial x^1} \frac{\partial}{\partial v_2} \right) + dx^2 \otimes \left( \frac{\partial}{\partial x^2} + v_2 \frac{\partial}{\partial y} + \frac{\partial v_1}{\partial x^2} \frac{\partial}{\partial v_1} + \frac{\partial v_2}{\partial x^2} \frac{\partial}{\partial v_2} \right) \]

and the corresponding Hamilton-De Donder-Weyl \( m \)-vector fields, connections and jet fields which are the solutions to the Hamiltonian problem are

\[ X_H = f \left( \frac{\partial}{\partial x^1} - \frac{p^1}{H} \frac{\partial}{\partial y} + \frac{\partial p_1}{\partial x^1} \frac{\partial}{\partial p_1} + \frac{\partial p_2}{\partial x^1} \frac{\partial}{\partial p_2} \right) \wedge \left( \frac{\partial}{\partial x^2} - \frac{p^2}{H} \frac{\partial}{\partial y} + \frac{\partial p_1}{\partial x^2} \frac{\partial}{\partial p_1} + \frac{\partial p_2}{\partial x^2} \frac{\partial}{\partial p_2} \right) \]

\[ \nabla_H = dx^1 \otimes \left( \frac{\partial}{\partial x^1} - \frac{p^1}{H} \frac{\partial}{\partial y} + \frac{\partial p_1}{\partial x^1} \frac{\partial}{\partial p_1} + \frac{\partial p_2}{\partial x^1} \frac{\partial}{\partial p_2} \right) + dx^2 \otimes \left( \frac{\partial}{\partial x^2} - \frac{p^2}{H} \frac{\partial}{\partial y} + \frac{\partial p_1}{\partial x^2} \frac{\partial}{\partial p_1} + \frac{\partial p_2}{\partial x^2} \frac{\partial}{\partial p_2} \right) \]

5 Conclusions and outlook

We have generalized the Rusk-Skinner unified formalism to first-order classical field theories. Corresponding to the Whitney sum \( TQ \times_Q T^*Q \) in autonomous mechanics, here we take \( J^1\mathcal{E} \times \mathcal{M} \pi \) as standpoint, but the field equations are stated in a submanifold \( \mathcal{W}_0 \subset J^1\mathcal{E} \times \mathcal{M} \pi \). As a particular case of this situation, the unified formalism for non-autonomous mechanics is recovered, the Whitney sum being now \( J^1\mathcal{E} \times \mathcal{T^*E} \), where \( \pi: E \rightarrow \mathbb{R} \) is the configuration bundle \([8], [9] \). Once the suitable (pre) multisymplectic structures are introduced, the field equations can be written in several equivalent ways: using sections and vector fields (8) in \( \mathcal{W}_0 \), \( m \)-vector fields (10), connections (11) or jet fields (12).

Starting from equation (8), we have seen how, when different kinds of vertical vector fields in \( \mathcal{W}_0 \) are considered, this equation gives a different type of information. In particular, using \( \rho_0^1 \)-vertical vector fields, we can define a submanifold \( \mathcal{W}_1 \leftrightarrow \mathcal{W}_0 \), which turns out to be the graph of the (extended) Legendre transformation (and hence diffeomorphic to \( J^1\mathcal{E} \)). Furthermore, the field equations are only compatible in \( \mathcal{W}_1 \). As sections solution to the field equations take values in \( \mathcal{W}_1 \), they split in a natural way into two components, \( \psi_0 = (\psi_L, \psi_H) \), (with \( \psi_L: M \rightarrow J^1\mathcal{E} \), and \( \psi_H = \mathcal{F}\mathcal{L} \circ \psi_L \)). Then, taking \( \rho_0^1 \)-vertical vector fields in (8), we have proved that the sections solution to the field equations in the unified formalism are automatically holonomic, even in the singular case. They are so in the following sense: for every section \( \psi_0 \) solution in the unified formalism, the corresponding section \( \psi_L \) is holonomic. (As a special case, non integrable \( m \)-vector fields, connections and jet fields which are solutions to the field equations are semi-holonomic). These solutions only exist in general in a submanifold of \( \mathcal{W}_1 \). Finally, considering (8) for a generic vector field, the Euler-Lagrange equations for \( \psi_L \), and the Hamilton-De Donder-Weyl equations for \( \mu \circ \psi_H = \mathcal{F}\mathcal{L} \circ \psi_L \) arise in a natural way. Conversely, starting from sections \( \psi_L = j^1\phi \) and \( \mathcal{F}\mathcal{L} \circ \psi_L \) solutions to the corresponding field equations, we can recover sections \( \psi_0 \) solution to (8). Thus, we have shown the equivalence between the standard Lagrangian and Hamiltonian formalisms and the unified one. This equivalence has been also proved for \( m \)-vector fields, connections and jet fields.

Although the subject is not considered in this work, \( K \) operators (i.e., the analogous operators
in field theories to the so-called evolution operator in mechanics), in their different alternative definitions [33], can easily be recovered from the unified formalism, similarly to the case of classical mechanics.

In a forthcoming paper, this formalism will be applied to give a geometric framework for Optimal Control with partial differential equations. Although this subject has been dealt with in the context of functional analysis, to our knowledge there has been no geometric treatment of it to date.

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Appendix: \( m \)-vector fields, jet fields and connections in jet bundles

(See [27] and [17] for the proofs and other details of the following assertions).

Let \( E \) be a \( n \)-dimensional differentiable manifold. For \( m < n \), sections of \( \Lambda^m(TE) \) are called \( m \)-vector fields in \( E \) (they are contravariant skew-symmetric tensors of order \( m \) in \( E \)). We denote by \( \mathfrak{X}^m(E) \) the set of \( m \)-vector fields in \( E \). \( Y \in \mathfrak{X}^m(E) \) is said to be locally decomposable if, for every \( p \in E \), there exists an open neighbourhood \( U_p \subset E \) and \( Y_1, \ldots, Y_m \in \mathfrak{X}(U_p) \) such that \( Y \equiv Y_1 \wedge \ldots \wedge Y_m \). Contraction of \( m \)-vector fields and tensor fields in \( E \) is the usual one.

We can define the following equivalence relation: if \( Y, Y' \in \mathfrak{X}^m(E) \) are non-vanishing \( m \)-vector fields, then \( Y \sim Y' \) if there exists a non-vanishing function \( f \in C^\infty(E) \) such that \( Y' = fY \) (perhaps only in a connected open set \( U \subset E \)). Equivalence classes will be denoted by \( \{Y\} \). There is a one-to-one correspondence between the set of \( m \)-dimensional orientable distributions \( D \) in \( TE \) and the set of the equivalence classes \( \{Y\} \) of non-vanishing, locally decomposable \( m \)-vector fields in \( E \). Then, there is a bijective correspondence between the set of classes of locally decomposable and \( \pi \)-transverse \( m \)-vector fields \( \{Y\} \subset \mathfrak{X}^m(E) \), and the set of orientable jet fields \( \Psi : E \to J^1E \); that is, the set of orientable Ehresmann connection forms \( \nabla \) in \( \pi : E \to M \). This correspondence is characterized by the fact that the horizontal subbundle associated with \( \Psi \) (and \( \nabla \)) coincides with \( D(Y) \).

If \( Y \in \mathfrak{X}^m(E) \) is non-vanishing and locally decomposable, the distribution associated with the class \( \{Y\} \) is denoted \( D(Y) \). A non-vanishing, locally decomposable \( m \)-vector field \( Y \in \mathfrak{X}^m(E) \) is said to be integrable (resp. involutive) if its associated distribution \( D_U(Y) \) is integrable (resp. involutive). Of course, if \( Y \in \mathfrak{X}^m(E) \) is integrable (resp. involutive), then so is every \( m \)-vector field in its equivalence class \( \{Y\} \), and all of them have the same integral manifolds. Moreover, Frobenius’ theorem allows us to say that a non-vanishing and locally decomposable \( m \)-vector field is integrable if, and only if, it is involutive. Of course, the orientable jet field \( \Psi \), and the connection form \( \nabla \) associated with \( \{Y\} \) are integrable if, and only if, so is \( Y \), for every \( Y \in \{Y\} \).

Let us consider the following situation: if \( \pi : E \to M \) is a fiber bundle, we are concerned with the case where the integral manifolds of integrable \( m \)-vector fields in \( E \) are sections of \( \pi \). Thus, \( Y \in \mathfrak{X}^m(E) \) is said to be \( \pi \)-transverse if, at every point \( y \in E \), \((\iota(Y)(\pi^*\mathfrak{b}))_y \neq 0 \), for every \( \beta \in \Omega^m(M) \) such that \( \beta(\pi(y)) \neq 0 \). Then, if \( Y \in \mathfrak{X}^m(E) \) is integrable, it is \( \pi \)-transverse if its
integral manifolds are local sections of \( \pi \). In this case, if \( \phi: U \subset M \to E \) is a local section with \( \phi(x) = y \) and \( \phi(U) \) is the integral manifold of \( Y \) through \( y \), then \( T_y(\text{Im} \phi) = D_y(Y) \). Integral sections \( \phi \) of the class \( \{Y\} \) can be characterized by the condition \( \Lambda^mT \phi = fY \circ \phi \circ \sigma_M \), where \( \sigma_M: \Lambda^mTM \to M \) is the natural projection, and \( f \in C^\infty(E) \) is a non-vanishing function.

As a particular case, let \( \{X\}: J^1E \to D^mTJ^1E \subset \{\Lambda^mTJ^1E\} \) be a class of non-vanishing, locally decomposable and \( \bar{\pi}^1 \)-transverse \( m \)-vector fields in \( J^1E \), \( \Psi: J^1E \to J^1J^1E \) its associated jet field, and \( \nabla: J^1E \to \bar{\pi}^1*TM \otimes_{J^1E} T^*J^1E \) its associated connection form. Then, these elements are said to be holonomic if they are integrable and their integral sections \( \varphi: M \to J^1E \) are holonomic. Furthermore, consider the \((1,m)\)-tensor field in \( J^1E \) defined by \( J := i(\nabla)(\bar{\pi}^1*\omega) \), whose local expression is \( J = (dy^A - v_\alpha^A dx^\alpha) \wedge d^{m-1}x_\nu \otimes \frac{\partial}{\partial v_\nu^\alpha} \). A connection form \( \nabla \) in \( \bar{\pi}^1: J^1E \to M \) (and its associated jet field \( \Psi: J^1E \to J^1J^1E \)) are said to be semi-holonomic (or a Second Order Partial Differential Equation), if \( J(h^V, \ldots, h^V) = 0 \), where \( h^V \) denotes the horizontal projector associated with \( \nabla \). If \( \{X\} \subset \mathfrak{X}^m(J^1E) \) is the associated class of \( \bar{\pi}^1 \)-transverse multivector fields, then this condition is equivalent to \( J(X) = 0 \), for every \( X \in \{X\} \). Then the class \( \{X\} \), and its associated jet field \( \Psi \) and connection form \( \nabla \) are holonomic if, and only if, they are integrable and semi-holonomic.

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