The proteome of the dentate terminal zone of the perforant path indicates presynaptic impairment in Alzheimer disease

Running Title: Presynaptic impairment in Alzheimer disease

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Abbreviations:

Aβ = amyloid β-peptide; AD = Alzheimer disease; APP = amyloid precursor protein; CA = cornu ammonis; CPLX = complexin; DEqMS = Differential expression analysis of quantitative mass spectrometry data; DG = dentate gyrus; FDR = false discovery rate; GCL = granule cell layer; GO = gene ontology; GSEA = gene set enrichment analysis; HiRIEF = high resolution isoelectric focusing; IML = inner molecular layer; IPA = ingenuity pathway analysis; LC-MS/MS = liquid chromatography-tandem mass spectrometry; LMD = laser microdissection; MAPT = microtubule-associated protein tau; MML = middle molecular layer; ML = molecular layer; MOPP = molecular layer perforant path-associated; m/z = mass to charge ratio; OML = outer molecular layer; PCA = principal component analysis; PMI = postmortem interval; SV = synaptic vesicle; SYNGR1 = synaptogyrin-1; TMT = tandem mass tag

Key words: Alzheimer disease, perforant path, molecular layer of the dentate gyrus, postmortem human brain, mass spectrometry, presynaptic dysfunction

Abstract

Synaptic dysfunction is an early pathogenic event in Alzheimer disease (AD) that contributes to network disturbances and cognitive decline. Some synapses are more vulnerable than others, including the synapses of the perforant path, which provides the main excitatory input to the hippocampus. To elucidate the molecular mechanisms underlying the dysfunction of these synapses, we performed an explorative proteomic study of the dentate terminal zone of the perforant path. The outer two-thirds of the molecular layer of the dentate gyrus, where the perforant path synapses are located, was microdissected from five subjects with AD and five controls. The microdissected tissues were dissolved and digested by trypsin. Peptides from each sample were labelled with different isobaric tags, pooled together and pre-fractionated into 72 fractions by high-resolution isoelectric focusing. Each fraction was then analyzed by liquid chromatography-mass spectrometry. We quantified the relative expression levels of 7322 proteins, whereof 724 showed significantly altered levels in AD. Our comprehensive data analysis using enrichment and pathway analyses strongly indicated that presynaptic signaling, such as
exocytosis and synaptic vesicle cycle processes, is severely disturbed in this area in AD, while postsynaptic proteins remained unchanged. Among the significantly altered proteins, we selected three of the most downregulated synaptic proteins; complexin-1, complexin-2 and synaptogyrin-1, for further validation, using a new cohort consisting of six AD and eight control cases. Semi-quantitative analysis of immunohistochemical staining confirmed decreased levels of complexin-1, complexin-2 and synaptogyrin-1 in the outer two-thirds of the molecular layer of the dentate gyrus in AD. Our in-depth proteomic analysis provides extensive knowledge on the potential molecular mechanism underlying synaptic dysfunction related to AD and supports that presynaptic alterations are more important than postsynaptic changes in early stages of the disease. The specific synaptic proteins identified could potentially be targeted to halt synaptic dysfunction in AD.

**Introduction**

Alzheimer disease (AD) is a progressive neurodegenerative disorder and the most common cause of dementia that affects 50-60% of all dementia cases. AD is characterized by its underlying neuropathological process including the accumulation of amyloid plaques that are composed of amyloid β-peptide (Aβ), and neurofibrillary tangles consisting of hyperphosphorylated tau protein, and neurodegeneration (1). Over the past decades, substantial progress has been made in elucidating potential mechanisms underlying AD pathogenesis including mitochondrial dysfunction (2), autophagy (3), excitotoxicity (4), and inflammation (5). However, there is still an urgency to decipher early pathogenic processes in order to develop disease-modifying therapeutics. Recently, more attention has been devoted to synaptic dysfunction, since synapse loss and decreased levels of synaptic proteins in AD brains strongly correlate with the degree of cognitive decline (6-8). However, the molecular mechanisms underlying the synaptic dysfunction are largely unknown.

The hippocampal formation, consisting of the cornu ammonis (CA) regions of the hippocampus, the dentate gyrus (DG) and the subiculum, plays a crucial role in episodic memory formation and spatial learning (9). The highly laminated entorhinal cortex provides the major excitatory inputs to the hippocampus through the perforant path (Fig. 1A) (10). The axons of the perforant path mainly arise
from the layer II and III entorhinal neurons. While axons from layer II terminate primarily on the dendritic spines of granule cells located strictly in the outer two-thirds of the molecular layer (ML) of the DG, those arising from layer III terminate on the dendrites of CA1 pyramidal neurons. Extensive research has shown i) the presence of amyloid plaques and neurofibrillary tangles both in the entorhinal cortex and in the ML of the DG (11, 12), ii) dramatic loss of entorhinal neurons, especially in the layer II (13, 14), and iii) decreased number of synapses in the outer ML of the DG in AD brains (15, 16). These findings suggest that AD-related pathologic alterations could damage the connectivity between the hippocampal formation and entorhinal cortex, and therefore, could contribute to cognitive impairment. Elucidating the mechanisms underlying this disconnectivity is therefore of utmost importance.

Functional synapses are crucial for formation of memory and learning. Synaptic transmission requires a series of interactions between synaptic vesicle (SV) proteins, presynaptic and postsynaptic membrane proteins (17, 18). To date, a number of synaptic proteins involved in presynaptic (RAB3A, SNAP25, septin-5, SV2A, synapsin I, synaptophysin, synaptotagmin, syntaxin-1a) and postsynaptic mechanisms (drebrin, neurogranin, NMDA and AMPA receptors, PSD95, synaptopodin) has been found to be altered in AD brains (19, 20) – emphasizing that synaptic integrity is diminished in AD.

Mass spectrometry (MS)-based proteomics has a great potential to investigate changes in protein expression in an unbiased manner, and therefore, could lead to identification of proteins underlying disease mechanisms. Several research groups have applied mass spectrometry to elucidate changes in the proteome of different brain regions including the hippocampus (21-25). Additionally, a few studies have investigated the proteome of the hippocampal subfields that were microdissected from AD brains, such as CA1 pyramidal neurons (26), CA4 (27), CA1 and subiculum (28). However, to our knowledge, no proteomic study has been performed aiming to gain a better understanding of the synaptic dysfunction of the vulnerable perforant path synapses. In order to reach this goal, we microdissected the outer two-thirds of the ML of the DG, where these synapses are located, and performed state-of-the-art mass spectrometry. Our in-depth proteome analysis resulted in an identification of a large number of synaptic
proteins that shows altered expression levels in AD brains. Interestingly, there was an over-representation of presynaptic proteins among the decreased proteins. For three of these proteins, complexin-1 (CPLX1), complexin-2 (CPLX2) and synaptogyrin-1 (SYNGR1), the decreased expression in the outer two-thirds of the ML of the DG in AD was confirmed in another cohort of AD and control cases, using immunohistochemical staining.

**Experimental Procedures**

**Experimental Design and Statistical Rationale**

This study consists of two stages in which we first studied the proteome of the outer two-thirds of the ML of the DG in the exploratory cohort of five AD and five neurologically healthy control cases using an unbiased MS approach, and then performed an immunohistochemical analysis of three identified proteins in the verification cohort of an additional five AD and seven neurologically healthy control cases. The relatively low number of biological replicates used in the proteomics study were chosen due to the extensive work-load required to perform laser microdissection (LMD), and the aim to reach as deep as possible into the proteome through extensive fractionation performed with the high resolution isoelectric focusing (HiRIEF) protocol (72 fractions) before MS. No technical replicates were performed, primarily due to very limited amount of clinical material, but also as our previous experience show very low technical variability between MS runs using HiRIEF liquid chromatography-mass spectrometry (LC-MS). The validity of the cohort was, however, confirmed by the low variability between samples and the fact that AD and control samples separated well in a principal component analysis (PCA) (Fig. 2A).

The hypothesis tested for each protein was that they were differentially expressed in AD samples compared to control samples. As we cannot assume normal distribution in the data using Shapiro-Wilk test, a non-parametric statistical method, DEqMS (Differential Expression analysis of quantitative Mass Spectrometry data, Zhou et al, manuscript under consideration for publication elsewhere, R package in Bioconductor) was used. DEqMS works on top of limma package and takes into account the detected number of peptide spectra matches (PSMs) per peptide while calculating t-statistics. Benjamini-
Hochberg method was used for correction for multiple hypothesis testing. An overview of the experimental workflow is shown in Fig. 1. The details of the experimental design and statistical rationale are explained in each subsection.

Case selection

Frozen hippocampal tissues were obtained from five sporadic AD and five control cases from the Netherlands Brain Bank (Exploratory cohort). AD cases were clinically diagnosed according to previously published research criteria (29), and definitive diagnosis was confirmed pathologically by using immunohistochemical staining against amyloid plaques and neurofibrillary tangles. We selected AD cases with relatively early stages of AD pathology (AD Braak stage 4 and amyloid deposits stage C). Control cases showed no sign of neurological or psychiatric disorders and presented little or no pathological alterations beyond normal age-appropriate changes including a few plaques and tangles (AD Braak stage 0-2 and amyloid deposits stage 0-B). For immunohistochemical analysis, we obtained a different set of paraffin-embedded hippocampal sections from five AD and seven control cases from the Carroll A. Campbell Jr. Neuropathology Laboratory (CCNL) Brain Bank at the Medical University of South Carolina (Verification cohort). Each of these cases in the verification cohort had undergone a full neuropathological diagnosis including Braak and CERAD assessments (30). All cases in the respective cohorts were used throughout the studies. Demographic characteristics of all cases used in this study are shown in Supplementary Table 1. All donors or their next-of-kin gave informed consent. This study was approved by the Regional Ethical Review Board in Stockholm (2015/18/03-31/02) and had also obtained Institutional Review Board approvals by the VU Medical Center and the Medical University of South Carolina.

Preparation of tissue samples for LMD

Consecutive sections (20 µm) were cut from the frozen hippocampal tissues using a cryostat (Leica Microsystems) and mounted on polyethylene naphthalate membrane coated slides (1.0 PEN(D), Zeiss). Slides were air-dried for 5 minutes, fixed in 75% ethanol for one minute and stained with toluidine blue (Sigma-Aldrich) for one minute. Slides were rinsed with distilled water, air-dried and finally stored at -
20 °C overnight. Toluidine blue stains the nucleus of all types of cells and allowed us to identify the ML of the DG which is located between the dentate granule cell layer and the hippocampal fissure. The outer two-thirds of the ML, covering the middle and the outer ML, was microdissected using LMD 6000/7000 (Leica Microsystem). To obtain enough amount of tissue for downstream proteomics approach, approximately 0.6 mm³ of microdissected tissues were collected from 20-30 sections per case. No obvious anatomical differences were observed between the first and the last section during LMD. Finally, the microdissected tissues were stored at -20 °C until further use.

Sample preparation for mass spectrometry

The microdissected tissue was dissolved in SDS-lysis buffer (4% SDS, 25 mM Hepes pH 7.6, 1 mM DTT). Lysates were heated at 95 °C for 5 minutes and then sonicated for 1 minute to shear DNA. Samples were centrifuged at 13 000 g to remove cell debris. The supernatant was collected and alkylated with 4 mM chloroacetamide. A modified Sera-Mag SP3 protocol was performed for sample clean-up (31). The SP3 beads-protein mixture was digested first by Lys-C (Pierce) for 16 h prior to trypsin digestion (16 h). Finally, the peptides were eluted from the beads-protein mixture. Peptide concentration was measured by DC-protein assay (Bio-Rad), and 45 µg of peptides from each sample were labelled with ten different amine-reactive isobaric tandem mass tags (TMTs) (TMT10plex 126-131Da, Thermo Scientific). An aliquot of approximately 2 µg was suspended in LC mobile phase A and 1 µg was injected on the liquid chromatography-mass spectrometry (LC-MS) in order to determine the labelling efficiency for each TMT-tag. Finally, the sample were pooled, and sample clean-up was applied by solid phase extraction (SPE strata-X-C, Phenomenex), and purified samples were dried in a SpeedVac.

HiRIEF separation

The pre-fractionation was done using HiRIEF (32). The pooled sample (450 µg) was dissolved in 250 µl of 8 M urea and 1% IPG pharmalyte (broad range pH 3-10, GE Healthcare), and the IPG drystrip was rehydrated overnight. The peptides were focused on the gel strip based on their isoelectric point and eluted into 72 contiguous fractions, as described previously (24).
Mass spectrometry

For each LC-MS run of a HiRIEF fraction, the auto-sampler (UltiMate™ 3000 RSLCnano System, Thermo Scientific Dionex) dispensed 20 µl of mobile phase A (95% water, 5% DMSO, 0.1% formic acid) into the corresponding well of the microtitre plate, and 10 µl were injected to the LC-MS. Samples were trapped on a C18 guard-desalting column (Acclaim PepMap 100, 75 µm x 2 cm, nanoViper, C18, 5 µm, 100Å), and separated on a 50 cm long C18 column (Easy spray PepMap RSLC, C18, 2 µm, 100Å, 75 µm x 50 cm). At a constant flow of 250 nl/min, the curved gradient went from 2% mobile phase B (5% water, 5% DMSO, 95% acetonitrile, 0.1% formic acid) up to 40% solvent B in each fraction as shown in the Supplementary Table 2, followed by a steep increase to 100% solvent B in 5 min. Online liquid chromatography-tandem mass spectrometry (LC-MS/MS) was performed using a hybrid Q-Exactive-HF mass spectrometer (Thermo Scientific). FTMS master scans with 70,000 resolution (and mass range 300-1700 mass to charge ratio (m/z)) were followed by data-dependent MS/MS (35 000 resolution) on the top five ions using higher energy collision dissociation at 30-40% normalized collision energy. Precursors were isolated with a 2 m/z window. Automatic gain control targets were 1x10⁶ for MS1 and 1x10⁵ for MS2. Maximum injection times were 100 ms for MS1 and 150-200 ms for MS2. The entire duty cycle lasted approximately 2.5 s. Dynamic exclusion was used with 60 s duration. Precursors with unassigned charge state or charge state 1 were excluded. An underfill ratio of 1% was used.

Peptide and protein identification

Orbitrap raw MS/MS files were converted to mzML format using msConvert from the ProteoWizard tool suite (33). Spectra were then searched using MSGF+ (v10072) (34) and Percolator (v2.08) (35), where search results from eight subsequent fractions were grouped for Percolator target/decoy analysis. All searches were done against the human protein subset of Ensembl 75 in the Galaxy platform, including 51 153 entries (36). MSGF+ settings included precursor mass tolerance of 10 ppm, fragment ion mass tolerance of 0.02 Da, fully-tryptic peptides, maximum peptide length of 50 amino acids, a maximum charge of 6 and maximum 2 missed cleavages. Fixed modifications were TMT10plex on lysine residues and peptide N-termini, and carbamidomethylation on cysteine residues, a variable
modification was used for oxidation on methionine residues. Quantification of TMT10plex reporter ions was done using OpenMS project's IsobaricAnalyzer (v2.0) (37). PSMs found at 1% false discovery rate (FDR) were used to infer gene identities. Protein quantification was based on TMT10plex reporter ions and calculated using TMT PSM ratios to the entire sample set (all 10 TMT-channels) and normalized to the sample median. The median PSM TMT reporter ratio from peptides that are unique to a gene symbol was then used for quantification. Only one unique peptide was required to identify a protein, and a protein FDR cut-off of 1% was applied to the list of gene-centric proteins using the picked-FDR method (38). Peptide sequences, mass/charge, modifications, peptide identification scores, accession numbers, number of peptides, percentage coverage and quantification measurements for each peptide and protein has been deposited to the ProteomeXchange Consortium using the PRIDE repository (dataset identifier: PXD014557). Proteins that were not identified in all 10 cases were excluded in the analysis.

**Statistical analysis**

Alterations in average protein expression between AD and control cases were calculated using DEqMS package in R (Zhou et al, manuscript under consideration for publication elsewhere), since the data did not show normal distribution using Shapiro-Wilk test. DEqMS works on top of limma package and takes into account the detected number of PSMs per peptide while calculating t-statistics. DEqMS is available at Bioconductor (39), and the package is also deposited at GitHub (https://github.com/yafeng/DEqMS). Benjamini-Hochberg method was used for multiple hypothesis testing and a cut-off level of 10% FDR was applied.

Multivariate data analyses were performed to find the biggest variation in our dataset using an unsupervised PCA in SIMCA v15. A heat map of differentially expressed proteins (log2 fold change -/+ 0.7 and FDR <10%) was generated using Morpheus (Broad Institute) and hierarchical clustering with complete linkage based on Pearson distance metrics was applied to visualize the expression profile between AD and control cases. To compare demographic characteristics (including age, gender and postmortem interval (PMI), pH and ApoE) between AD and control groups, we did univariate analyses using Mann Whitney test in GraphPad PRISM 7.0 (San Diego, CA, USA). Statistical analysis of semi-
quantitative densitometry assessment of immunohistochemical staining was carried out using Mann Whitney test in GraphPad PRISM 7.0 (San Diego, CA, USA).

**Bioinformatics analysis**

*Enrichment analyses*

To have an overview of which biological processes might be affected in the outer two-thirds of the ML of the DG in AD, we used gene set enrichment analysis (GSEA, Broad Institute) (40). This type of analysis is based on the molecular signature database (v6.1) that contains gene sets derived from gene ontology (GO) annotations (41). We ran a running-sum statistic method, in which all of the identified genes were ranked from the most increased to the most decreased expression regardless of their p- and FDR-values (GO database from 16-02-2019). Ontologies with p <0.01 and FDR <5% were considered significantly enriched which is considerably more stringent than the GSEA guideline (40). Additionally, we performed a second type of enrichment analysis using the GOrilla tool (GO database from 27-04-2019) (42). Two unranked lists of proteins, a target list consisting of differentially expressed proteins (FDR <10%) and a background list, which is all the identified proteins, were uploaded. We performed this analysis for decreased and increased proteins separately. Ontologies with FDR <5% were considered statistically significant. Both in GSEA and GOrilla tools, Benjamini-Hochberg method was used to calculate FDR-values.

*Pathway analyses*

Ingenuity pathway analysis (IPA, Qiagen) was used for systemic analysis of our dataset in order to interpret our findings in a biological context. AD/C protein ratio (log2) of all quantified proteins, p- and FDR-values were uploaded into the IPA, and core analysis was performed. We allowed both direct and indirect relationships and a search on published data carried out in mouse, rat and human species, and applied 10% FDR cut-off to avoid having relations that could occur just by chance. Differentially expressed proteins were then analyzed in three categories: biological functions, enriched molecular networks, and upstream regulators. For each biological function and upstream regulator, IPA calculates a p-value using right-tailed Fisher’s exact test and p <0.01 is considered statistically significant.
Moreover, IPA makes a prediction about the activation state by calculating a z-score, which are considered significant if z-score > 2 or z-score < -2. Lastly, IPA can create molecular networks based on the identified proteins, and for each network, IPA assigns a score that is calculated from hypergeometric distribution and right-tailed Fisher's Exact test.

**Immunohistochemistry**

Paraffin-embedded hippocampal sections (5 μm) were rehydrated and heat-induced epitope retrieval was applied using citrate buffer (pH 6) or Tris-EDTA buffer (pH 9) at 110 °C for 30 minutes. EnVision Dual Link System (Dako, Agilent) containing peroxidase, HRP-labelled polymer, DAB and DAB substrate buffer, was used to visualize DAB staining. After blocking endogenous peroxidase activity for 5 minutes, sections were blocked with goat serum (Dako, Agilent) for 30 minutes at room temperature, and then stained with the following primary antibodies overnight at 4 °C: complexin-1 (CPLX1, Protein Tech, 1:200 dilution), complexin-2 (CPLX2, Protein Tech, 1:200 dilution), and synaptogyrin-1 (SYNGR1, Sigma-Aldrich, 1:200 dilution). Antibody dilutions were prepared in antibody diluent (Dako, Agilent). Sections were then incubated with HRP-labelled polymer for 1 hour at room temperature, followed by incubating with DAB. Finally, sections were dehydrated and coverslipped using VectaMount Permanent Mounting Medium (Vector Laboratories). Sections from AD and control groups were included in the same staining experiment and using the same antibody solutions to avoid inter-staining variability. Sections were scanned using Nikon Eclipse E800 microscope and Nikon DS-Ri2 camera (10x objective, gain 1, exposure 2 ms) and NIS Elements software (Nikon Instruments, Inc.), and images were analyzed on 8-bit scale using ImageJ Fiji (NIH). Mean pixel intensities of the area of interest, covering the middle and outer ML of the DG, were measured in a blinded fashion and the background, defined as the signal intensity of a region outside the tissue, was subtracted (43). Additionally, gross morphological observations of the staining intensity in the region of interest was checked by subjective assessment done by two independent researchers (C.E. and S.F.) in a blinded fashion. Visual scoring was performed based on a scale from 0 to 3 (0, absent; 1, mild; 2, moderate; 3, strong).
Results

Demographic characteristics

Demographic characteristics of all cases used in this study are shown in Supplementary Table 1. We used one cohort from the Netherlands Brain Bank for the initial proteomic study (exploratory) and another cohort from the Carroll A. Campbell Jr. Neuropathology Laboratory (CCNL) Brain Bank for the immunohistochemistry study (verification). When using end-stage material, variability between the cases is inevitable, and therefore, it is crucial to have a well-characterized study cohort. We tried to control this variability as much as possible by taking into account age, gender, PMI, and AD-related pathology. Moreover, in order to minimize the effect of synapse loss, we selected AD cases with relatively early stages of AD (AD Braak stage 4 and amyloid deposits stage C) for the exploratory cohort. There were no statistically significant differences in age, gender or PMI between the groups in either of the cohorts. The pH of the brain tissue showed no significant difference between the groups in the exploratory cohort. For the verification cohort, all of the AD cases but none of the control cases were ApoE4 carriers, in line with ApoE4 being a risk factor for AD.

Identification of differentially expressed proteins

To identify proteins that may play a role in synaptic dysfunction, we studied the proteome of the outer two-thirds of the ML of the DG, since excitatory synapses of the perforant path-granule cells are highly enriched in this region. In addition, this region also contains, to a lesser extent, axons and dendrites derived from various cell types, glial cells and inhibitory MOPP (molecular layer perforant path-associated) cells. Considering the fact this region is highly vulnerable to AD-related pathologic changes, we used LMD to dissect it from five AD and five neurologically healthy control cases and performed quantitative MS. All cases were labelled with a different isobaric tag on a peptide level, allowing simultaneous detection of ten samples, and thereby, eliminating variation between runs. Prior to LC-MS/MS, labelled peptides were fractionated into 72 fractions based on their isoelectric focusing point (32). Our in-depth proteomic analysis led to an identification of 68755 peptides mapping to 7322 proteins (gene-centric) using the MSGF+ Percolator software (1% FDR cut-off in peptide level). Protein false discovery rates were calculated using the picked-FDR method using gene symbols as protein
groups and limited to 1% FDR (38). The complete list of proteins that were quantified in all cases can be found in Supplementary Table 3. Quantitative information about both peptide and protein level has also been deposited to the ProteomeXchange Consortium using the PRIDE repository (dataset identifier: PXD014557). PCA shows a relatively good separation between AD and control cases, except for one of the AD cases, ID-4 (Fig. 2A). The clinical and neuropathological details of this AD patient do not suggest any prominent evidence that could explain this discrepancy. The distribution of fold change versus p-values for all identified proteins is shown in a volcano plot displaying a considerable change in the protein levels between AD and control (Fig. 2B). After adjusting for multiple hypothesis comparison using Benjamini-Hochberg method, 724 proteins were found to be significantly altered (p <0.01 and FDR <10%), consisting of 382 decreased and 342 increased proteins. The expression levels of the most altered proteins (FDR <10%) are shown in the heat map (Fig. 2C), and the top 20 proteins with the largest fold change are listed in Table 1. We observed an over-representation of presynaptic proteins among the decreased proteins including complexins, RAB3A, metabotropic glutamate receptor 4 (GRM4) and SYNGR1. Interestingly, however, postsynaptic proteins such as AMPA and NMDA receptors, drebrin, PSD95, HOMER1 and synaptopodin were not altered in AD (Supplementary Table 4). There was no difference in the levels of amyloid precursor protein (APP) and microtubule-associated protein tau (MAPT) between AD and control cases in our dataset. However, this is not surprising, since even if the region of interest contains amyloid plaques and neurofibrillary tangles, they were most probably not dissolved using the current methodology. In addition, the fact that the total amount of APP and tau were not altered does not rule out the possibility that the levels of Aβ or phosphorylated tau were altered.

**Enrichment analyses indicate altered mitochondrial and synaptic function**

We used GSEA in order to get an overview of the biological processes that might be affected in the outer two-thirds of the ML of the DG by AD pathogenesis. This analysis took into account the observed fold change of all identified proteins and resulted in 94 negatively and 118 positively enriched GO terms (p <0.01 and FDR <5%, Supplementary Table 5 and 6). The most negatively and positively enriched 10 biological processes ranked by p-value are shown in Fig. 2D and 2E, respectively, and individual
enrichment plots can be found in the Supplementary Fig. 1 and 2. The negative enrichment of processes including cellular respiration, oxidative phosphorylation and electron transport chain clearly indicate altered energy metabolism in the region of interest in AD. In addition, calcium ion regulated exocytosis and SV localization were also found to be negatively enriched in AD (Supplementary Table 5). On the other hand, among the top 10 positively enriched GO terms, RNA processing and ribonucleoprotein complex biogenesis were more prominent (Fig. 2E).

Additionally, using the GOrilla tool, we investigated the enrichment of biological processes when only the differentially expressed proteins (FDR <10%) (target list) were compared to all identified proteins in our dataset (background list). Enrichment analysis performed only in decreased proteins (n=382, FDR <10%) resulted in 55 GO terms (FDR <5%, Supplementary Table 7). The most enriched biological processes in this subset include neurotransmitter secretion, calcium ion regulated exocytosis, and signal release (Fig. 2F). On the other hand, enrichment analysis of increased proteins (n=342, FDR <10%) identified 92 enriched GO terms (FDR <5%, Supplementary Table 8). Similar to GSEA findings, biological processes related to gene expression seemed to be positively enriched in GOrilla (Fig. 2G).

**Pathway analyses of differentially expressed proteins**

To further illuminate the biological activities occurring in the outer two-thirds of the ML of the DG in AD, we performed a detailed pathway analysis on differentially expressed proteins (n=724, FDR <10%) using IPA, which predicts affected biological processes, molecular networks and upstream regulators based on literature. Firstly, the diseases and functions tool was used to identify key biological processes influenced by the observed expression profile in the region of interest (Table 3). For instance, IPA predicted that exocytosis was decreased in AD due to the expression profile of annexin-A1, calcium-dependent secretion activator 2 (CADPS2), CPLX2, neuronal calcium sensor-1 (NCS1), RAB3A, RAB3B, RAB3D, septin-5, SNAP25, SNAP29, syntaxin-1a, and visinin-like protein 1 (VSNL1) (Fig. 3A). Other predicted decreased processes include secretory pathway, neuron migration, migration of central nervous system cells and transport of metal ion. In addition, IPA predicted an increase of several pathways including seizures (Fig. 3B), cell spreading of tumor cell lines, ataxia, shape change of tumor
cell lines, phosphorylation of proteins, tremor, and cell survival (Supplementary Table 9). Secondly, IPA can create molecular networks that can provide more information about the relationship between the identified proteins. A total of 25 molecular networks were created and a network called “cell-to-cell signaling and interaction/nervous system development and function/cellular assembly and organization” was found to be affected the most in AD (Fig. 3C). Interestingly, this network consists of pathways mediating neurotransmission (p=4.92E-13), synaptic transmission (p=2.24E-11), transport of SVs (p=3.74E-10), and release of neurotransmitter (p=7.46E-09). Lastly, the upstream regulator tool was used to identify which upstream molecules can potentially explain the observed changes in protein expression in our dataset. MAP kinase-interacting serine/threonine-protein kinase 1 (MKNK1), transcription factor 7-like 2 (TCF7L2), hemoglobin subunit alpha (HBA1/HBA2), and nuclear factor erythroid 2-related factor 2 (NFE2L2) were identified as upstream regulators and all predicted to be inhibited with significant z-scores (Supplementary Table 10). Notably, Nrf2 (NFE2L2) is a key regulator of the antioxidant metabolism and has been extensively studied in the field of neurodegenerative disorders (Fig. 3D). Interestingly, APP and MAPT were also identified as upstream regulators but activation state could not be predicted. Intriguingly, IPA did not identify any significant activated upstream regulators.

Immunohistochemical analysis
Out of many differentially expressed proteins, we selected a few candidate proteins for immunohistochemistry experiments based on the following parameters: (i) the fold change between AD and control (with a cut-off of 30% up- or down-regulation), (ii) biological function, (iii) proteins previously not studied in AD brain and (iv) whether antibodies suitable for immunohistochemistry were commercially available. Finally, (v) we considered the fact that the region of our interest is highly enriched in excitatory synapses and that our comprehensive data analysis indicated an impaired presynaptic signaling. Using these criteria, we selected CPLX1, CPLX2, and SYNGR1 for further validation and performed immunohistochemistry in a new cohort consisting of five AD cases and seven controls. All the selected antibodies showed a strong immunoreactivity in the neuropil of the ML of the DG. CPLX2 also showed strong staining in the granule cell layer, while CPLX1 and SYNGR1 had a
weak staining intensity in this region (Fig. 4). The mean pixel intensities of the outer two-thirds of the ML of the DG were measured using ImageJ Fiji (NIH) and the background, consisting of the mean pixel intensity of an area outside the tissue section, was subtracted. Semi-quantitative analysis showed 28% decrease for CPLX1 (p=0.005, Fig. 4A-C), 12% decreased for CPLX2 (p=0.048, Fig. 4D-F), and 26% decrease for SYNGR1 (p=0.005, Fig. 4G-I) in AD compared to control. As a further confirmation, subjective scoring done in a blinded fashion by two independent researchers (C.E. and S.F.) also indicated reduction in all three presynaptic proteins (data not shown).

**Discussion**

In this explorative study, we performed a mass spectrometry-based proteomics to identify proteins that could play a role in synaptic dysfunction in AD. For this purpose, we focused on the outer two-thirds of the ML of the DG, which receives the main glutamatergic input of the hippocampus via the perforant path and importantly these synapses appear to be vulnerable to AD pathology. The use of HiRIEF prior to LC-MS (32) allowed for generation of in-depth MS-data, resulting in the identification of 7322 proteins, thus covering a significant proportion of the human proteome and enabling detection of alterations in low-abundant proteins of importance for disease pathogenesis.

To gain insight into the potential mechanisms that could be affected by AD pathogenesis, we performed comprehensive enrichment and pathway analyses. GSEA, in which all proteins were analyzed based on their fold change, suggested an impaired mitochondrial function in the outer two-thirds of the ML of the DG in AD in accordance with the well-known impaired mitochondrial bioenergetics and increased oxidative stress in AD (2). Although many of the alterations of these individual mitochondrial proteins were not statistically significant, the large number of decreased mitochondrial proteins, particularly components of complex I, III and IV, which were found to be slightly decreased, could still have an impact on mitochondrial function. Considering that synaptic transmission requires high amount of energy, altered mitochondrial bioenergetics could impair synaptic homeostasis, which has been previously observed in AD (44). To find out which biological processes are specifically enriched among the altered proteins (FDR <10%), we performed a second enrichment analysis using GOrilla tool. We
found that neurotransmission secretion, calcium ion regulated exocytosis, and SV docking were negatively affected in the region of interest in AD. In line with this, pathway analysis using IPA also suggested an inhibition of exocytosis and secretory pathway in AD and created a molecular network which was enriched in presynaptic proteins. All together, these analyses strongly support a presynaptic impairment in the outer two-thirds of the ML of the DG in AD. Since synaptic loss is observed in this region of interest early in disease course (15, 16) which is thought to reflect the loss of afferents originating from the entorhinal cortex (13), it may not be surprising that many presynaptic proteins are decreased in our study. However, it is important to note that we did not observe any alterations in the postsynaptic density proteins (e.g., AMPA and NMDA receptors, PSD95) as well as majority of axonal transport proteins (e.g., KIF5B, KIF5C, DYNC1H1), indicating a specific loss of presynaptic proteins.

Interestingly, several studies have shown increased postsynaptic apposition length of the remaining synapses in this region in AD (15, 16), which could be a mechanism to compensate for the reduced presynaptic input (45). Increasing evidence suggests that not all presynaptic proteins are affected in AD (46). In line with this finding, in our study, some presynaptic proteins showed minimal changes in AD while others were decreased to a greater degree (> 30%), as exemplified by CPLX1, CPLX2, vesicular glutamate transporter 2 (SLC17A6), SYNGR1, RAB3B, NCS1, SYNGR3, GRM4, and RAB3A (Fig. 5). Thus, some specific proteins are likely to be more important for proper presynaptic function than others. Even though many of the above-mentioned proteins have previously been shown to be presynaptic, we cannot rule out the possibility that they might be also expressed at the postsynaptic terminal. For further validation experiments, we selected three proteins (CPLX1, CPLX2, SYNGR1) that are considered to be presynaptic. Complexins interact with SNARE proteins and synaptotagmins and are important regulators of SV fusion (47). In support of our findings, reduced levels of CPLX1 and CPLX2 has been previously observed in the superior temporal cortex (48), in the hippocampus and the inferior temporal cortex of AD cases (49). SYNGR1 is an abundant protein that is expressed on SVs. Although its role still remains to be elucidated, SYNGR1 is thought to modulate SV cycle and affect neurotransmitter release properties (50). SYNGR1 has not been studied extensively in postmortem brain tissue, but decreased levels of SYNGR1 has been detected in temporal cortex (22) and in CA1 of AD
cases (51). We confirmed the decreased expression of CPLX1, CPLX2 and SYNGR1 in another cohort of AD and control cases, using immunohistochemistry.

Pathway analysis by IPA suggested involvement of processes related to seizures, ataxia and tremor processes based on the expression profile of the relevant proteins that were previously associated with these processes in the literature. Early hyperactivity has been detected in the medial temporal lobe in subjects with presymptomatic familial AD and mild cognitive impairment (52, 53), which could explain why seizures are observed in AD patients (54). Additionally, motor signs such as tremor and bradykinesia can accompany AD (55) and be indicative of worsening of the cognitive and especially the functional decline (56). Interestingly, phosphorylation of proteins was also found to be increased in AD. For example, calcineurin subunit B (PPP3R1) and serine/threonine protein phosphatase 2A (PP2CA), which both have been shown to dephosphorylate tau (57, 58), showed decreased expression in AD, in agreement with the presence of neurofibrillary tangles in this area. Enrichment analyses done by GSEA and GOrilla showed an activation of biological processes that are involved in regulation of gene expression, translational initiation, ribosome biogenesis, and RNA processing. These processes contain number of ribosomal proteins (e.g., hnRNPs, RPLs, RPSs) and eukaryotic translation initiation factors. In contrast to our findings, many ribosomal proteins have been previously shown to be decreased in AD brain (59, 60). These discrepancies could occur due to the local alterations in our region of interest. Activation of cell spreading and shape change of tumor cell lines as well as cell survival processes are perhaps surprising in a neurodegeneration context. However, many of these proteins, e.g., GFAP, CD44, ITGB1, EGFR and GAP43, are also involved in other processes and it is possible that IPA predicted the above-mentioned pathways due to a potential over-representation of published literature in the cancer field. IPA can also predict upstream regulators of the altered proteins. One such upstream regulator identified by IPA was Nrf2, which is a transcription factor that mediates cellular defense mechanisms. In response to oxidative stress, Nrf2 translocates into the nucleus and targets the genes that contain antioxidant response elements (61). Extensive research suggests a disruption of Nrf2-mediated transcription pathway in aging and neurodegeneration. Ramsey and colleagues have observed decreased levels of nuclear Nrf2 in hippocampus of AD cases (62), while increased level of Nrf2 but decreased levels of its target genes were found in a meta-analysis of PD and AD microarray studies (63). Given the important
roles of Aβ and hyperphosphorylated tau in AD pathogenesis, it was also interesting that APP and MAPT were predicted as upstream regulators by IPA. However, no activation state was predicted and thus we can only conclude that there is a relation between APP/MAPT and the altered proteins and there is no consensus in the literature on how they affect each other.

Lastly, we compared our findings with other proteomic studies that were done using brain homogenates of different regions from subjects with AD. We observed that number of proteins were altered in a similar way, as exemplified by decreased levels of STX1A, SYNGR1, SV2A, and SEPT5 in the temporal neocortex (22); decreased STX1B, SNAP91, PACSIN1 and increased GFAP, MAOB, VIM and AQP4 levels in the microdissected CA1 and subiculum (28); decreased level of LRFN2 in the prefrontal cortex (24). On the other hand, some alterations were unique for our study, indicating that some changes might occur globally in AD brain while others are region-specific. A potential limitation of our study, especially compared to studies in which synaptosomal preparations have been used (64, 65), is the fact that our samples, although enriched in excitatory synapses, most probably contain other structures, such as axons, dendrites, glial cells and interneurons like MOPP cells. On the other hand, our study provides extensive information on biological activities that were found to be affected in this very specific highly vulnerable area in AD. Furthermore, the high number of synaptic proteins identified in our study indicates that we indeed microdissected a synapse rich area. The small sample size is another limitation of this study. However, we observed good separation between AD and control cases in the PCA plot, suggesting that the observed alterations in protein levels most likely are driven by AD pathogenesis. Furthermore, the alterations of CPLX1, CPLX2 and SYNGR1 were confirmed in another cohort, using immunohistochemistry.

In conclusion, we studied the proteome of the dentate terminal zone of the highly vulnerable perforant path in postmortem human brain tissue. Our in-depth proteomic analysis indicates a presynaptic impairment in the region of interest in AD, affecting processes like exocytosis and SV docking and specific proteins like CPLX1, CPLX2 and SYNGR1. Our extensive proteomics data and bioinformatic analysis supports the notion that presynaptic signaling is indeed affected in early stages of AD, and
therefore, targeting presynaptic proteins might be crucial for future therapeutic strategies to slow or halt cognitive decline.

Supplemental Data

The supplementary tables and figures are attached.

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Availability of data and materials

Quantitative information about both peptide and protein level has been deposited to the ProteomeXchange Consortium using the PRIDE repository (dataset identifier: PXD014557). All data generated or analyzed during this study are included in this paper and its supplementary information files.
Competing interests
The authors declare no conflict of interest.

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Table 1. The top 10 decreased and top 10 increased proteins showing the largest changes in AD.

| Gene name | Protein name | log2 of av. ratio (AD/C) | ratio (AD/C) | PSM count | p-value | adjusted p-value (FDR) | Biological process |
|-----------|--------------|--------------------------|--------------|-----------|---------|------------------------|-------------------|
| CPLX1     | Complexin-1  | -1.47                    | 0.36         | 10        | 0.00098 | 0.06                   | Exocytosis, Neurotransmitter transport, Transport |
| HPRT1     | Hypoxanthine-guanine phosphoribosyltransferase | -1.01 | 0.50 | 14 | 0.00663 | 0.08 | Purine salvage pathway, Inosine monophosphate metabolic process |
| ATP5J     | ATP synthase-coupling factor 6, mitochondrial | -0.99 | 0.50 | 8 | 0.00477 | 0.08 | Hydrogen ion transport, Ion transport, Transport |
| FAH       | Fumarylacetoacetase | -0.98 | 0.51 | 5 | 0.00932 | 0.09 | Phenylalanine catabolism, Tyrosine catabolism |
| GNG3      | protein G(I)/G(S)/G(O) subunit gamma-3 | -0.97 | 0.51 | 6 | 0.00045 | 0.05 | G protein-coupled receptor signalling pathway |
| BCAS1     | Breast carcinoma-amplified sequence 1 | -0.94 | 0.52 | 19 | 0.00324 | 0.07 | Myelination |
| GAP43     | Neuromodulin | -0.93 | 0.53 | 268 | 0.00181 | 0.06 | Regulation of growth |
| ORM1      | Alpha-1-acid glycoprotein 1 | -0.92 | 0.53 | 7 | 0.00007 | 0.04 | Inflammatory response |
| CPLX2     | Complexin-2  | -0.90 | 0.54 | 17 | 0.00343 | 0.07 | Exocytosis, Neurotransmitter transport, Neurogenesis |
| APOC3     | Apolipoprotein C-III | -0.89 | 0.54 | 1 | 0.00200 | 0.06 | Lipoprotein metabolic process, Cholesterol homeostasis |
| HRC       | Sarcoplasmic reticulum histidine-rich calcium-binding protein | 1.30 | 2.46 | 2 | 0.00510 | 0.08 | Cellular protein metabolic process, muscle contraction |
| Genes            | Description                                   | Fold | p-Value | q-Value | Pathways                                |
|------------------|-----------------------------------------------|------|---------|---------|----------------------------------------|
| JPH2 Junctophilin-2 | 0.94, 1.92, 1, 0.00300, 0.07 | Calcium ion homeostasis, Cell adhesion, Inflammatory response, Extracellular matrix organization |
| CD44 CD44 antigen | 0.93, 1.91, 48, 0.00222, 0.06                  | Extracellular matrix organization, Response to calcium ion, Regulation of synapse organization |
| GFAP Glial fibrillary acidic protein | 0.92, 1.89, 2445, 0.00304, 0.07 | Astrocyte development |
| SPARC SPARC      | 0.84, 1.79, 6, 0.00148, 0.06                  | Extracellular matrix organization, Response to growth factor stimulus, Extracellular matrix organization |
| HSPB6 Heat shock protein beta 6 | 0.82, 1.76, 5, 0.00531, 0.08 | Chaperone-mediated protein folding |
| FAM184A Protein FAM184A | 0.76, 1.69, 28, 0.00490, 0.08 | - |
| ITGA3 Integrin alpha 3 | 0.73, 1.66, 5, 0.00010, 0.04 | Extracellular matrix organization, Cell-matrix adhesion, Dendritic spine maintenance, Memory |
| COLEC12 Collectin 12 | 0.73, 1.66, 4, 0.00191, 0.06 | Regulation of immune response |
| ICAM1 Intercellular adhesion molecule 1 | 0.72, 1.65, 11, 0.00123, 0.06 | Cell adhesion, Regulation of immune response |
Fig. 2

A

B

C

D Negatively enriched BP by GSEA

E Positively enriched BP by GSEA

F Enriched BP in downregulated subset of proteins by GOrilla

G Enriched BP in upregulated subset of proteins by GOrilla
Fig. 3
Fig. 4

Semi-quantitative densitometry analysis

A C

D E

G H

CPLX1

CPLX2

SYNGR1

Control

AD

Mean pixel intensity

p-value=0.0051

p-value=0.048

p-value=0.0051
Figure Legends

**Fig. 1. Overview of experimental workflow of the study.** (A) A schematic illustration of the major hippocampal circuits. The molecular layer (ML) of the dentate gyrus (DG) consists of inner (IML), middle (MML) and outer molecular layer (OML). The perforant path provides the main excitatory input of the hippocampus. The fibers of the layer II perforant path terminate in the outer two-thirds of the ML of the DG (highlighted in red). (B) The MML and OML of the DG was isolated from five sporadic AD and five control cases using laser microdissection. The microdissected tissue was then dissolved, digested, and the resulting peptides from the ten samples were labelled with ten different isobaric tags (TMT10plex 126-131Da), and pre-fractionated into 72 fractions using HiRIEF with the broad range pH 3-10 IPG drystrip. Each fraction was analyzed by LC-MS/MS. DEqMS was used for statistical analysis and proteins were further subjected to different enrichment and pathway analysis tools, using GSEA, GOrilla and IPA. Lastly, the expression of three proteomic hits (CPLX1, CPLX2, and SYNGR1) was confirmed in a new cohort consisting of five AD and seven control cases using immunohistochemistry.

**Fig. 2. The proteomic profile of the terminal zone of the perforant path in AD.** (A) Principal component analysis identified two main clusters, which can be defined by clinical diagnosis, except AD case 4. (B) A volcano plot showing the changes in the protein expression levels between AD and control groups. Proteins with increased levels in AD are shown on the positive side of the x-axis, while decreased proteins are shown on the negative side of the x-axis. Y-axis shows the statistical significance. Hits above the red dashed line corresponds to differentially expressed proteins with p <0.01 and FDR <10%. (C) The most altered proteins (log2 fold change +/- 0.7; corresponding to more than 38% decrease or 64% increase, and FDR <10%) are shown in the heat map. (D-E) All of the identified proteins were sorted by their fold change and analyzed using GSEA regardless of their p- and FDR-values. The top 10 negatively (D) and positively (E) enriched biological processes (BPs) in AD were plotted. GO terms with p <0.01 and FDR <5% were considered statistically significant. (F-G) The significantly decreased or increased proteins (FDR <10%, target lists) were compared to the entire dataset (background list) by GOrilla. The top 10 enriched BPs in the downregulated (F) and upregulated (G) subset of proteins are shown. GO terms with FDR <5% were considered statistically significant.
**Fig. 3. Pathway analyses of significantly altered proteins by IPA.** Different IPA tools were used to get a better understanding of biological processes that are affected in AD. (A-B) The diseases and functions tool was used to predict biological functions affected by the differentially expressed proteins. Exocytosis was predicted to be decreased (A), whereas seizures was predicted to be increased in AD (B). (C) The molecular networks tool generated 25 different networks. The network “Cell-to-cell signaling and interaction/Nervous system development and function/Cellular assembly and organization”, shown in (C), was ranked with the highest score and consisted of pathways mediating neurotransmission. An asterisk indicates presynaptic proteins in this network. (D) The upstream regulator tool predicted the transcription factor Nuclear factor erythroid 2-related factor 2 (NFE2L2) as an upstream regulator of its target proteins that were identified in our dataset.

**Fig. 4. Immunohistochemical analysis of selected proteomic hits.** Immunohistochemistry was used to validate the alterations for CPLX1 (A-C), CPLX2 (D-F), and SYNGR1 (G-I) in seven control and five AD cases. Representative figures of one control case (A, D and G) and one AD case (B, E and H) are shown. Densitometry measurements were performed using Image J and mean pixel intensities are shown. Semi-quantitative densitometry analysis showed significantly decreased levels of CPLX1 (A-C), CPLX2 (D-F), and SYNGR1 (G-I). Scale bar is 100 μm.

**Fig. 5. Schematic overview of presynaptic proteins that were altered in AD.** The “synaptic vesicle pathway” (hsa04721) was modified from KEGG (65), and the proteins with altered expression in AD in our MS dataset were highlighted in different shades of green dependent on their ratio. The proteins with dashed line were added to the original pathway.