Fault-Tolerant Learning for Term Extraction *

Yuhang Yang, Hao Yu, Yao Meng, Yingliang Lu and Yingju Xia
Fujitsu Research & Development Center Co., LTD.
15/F, Tower A, Ocean International Center,
No.56 Dong Si Huan Zhong Rd, Chaoyang District, Beijing, 100025, P.R. China
{yyh, yu, mengyao, luyl, yjxia}@cn.fujitsu.com

Abstract. This paper presents the Fault-Tolerant Learning approach for term extraction. The approach extracts terms using automatically generated seeds instead of prior domain knowledge or annotated corpora. Thus it is applicable to any domain specific corpus and it is especially useful for resource-limited domains. Two classifiers are separately trained for prediction and verification to ensure the performance of the proposed approach. Evaluations conducted on two different domains for Chinese term extraction show significant improvements over existing techniques and also verify the efficiency and relative domain independent nature of the approach.

Keywords: Fault-Tolerant Learning, term extraction, machine learning.

1 Introduction

Terms are the lexical units to represent the most fundamental knowledge of a domain. Term extraction aims to extract meaningful words or phrases representing domain specific meaning or concepts. Thus two issues are considered in term extraction. The first issue is to identify boundaries of meaningful words and phrases. The second issue is to verify terms by calculating domain specificity (Kageura and Umino, 1996).

Existing term extraction techniques can be divided into four main categories including statistics based measures, trigger words (or characters) based algorithms, domain knowledge based methods and supervised methods.

The first category is statistics based measures which identify terms by their statistical significance. The most widely used statistical measurement is TF-IDF (Salton and McGill, 1983; Frank, 1999), which is based on the hypothesis that “if a candidate occurs frequently in a few documents of a domain, it is likely a term”. The co-occurrences between the target string and its components or context, referred to as Internal association (e.g. Schone and Jurafsky, 2001) and context dependency (e.g. Sornlertlamvanich et al., 2000), are used for term extraction. There are also studies evaluating the distribution of a term within a domain or across domains through different metrics, such as term representativeness (Hisamitsu and Niwa, 2002), Inter-Domain Entropy (Chang, 2005) and the Lexicon Set Algorithm (Chen et al., 2006). Statistics based techniques can extract common used terms with statistical significance. However, the techniques are very sensitive to term frequency, and thus terms with low frequencies cannot be extracted.

The second category is based on trigger words or characters. According to (Feng et al., 2004) and (Yang et al., 2008), characters and words immediately before and after these terms are proven to be useful for term extraction. Accessor Variety Criteria proposed in (Feng et al., 2004) considers the characters that are directly before or after a string as important factors for determining the independence of the string. \( TE_{Del} \) (delimiter based term extraction) proposed in...
(Yang et al., 2008) identifies terms by finding their predecessors and successors as term boundary markers. Strings between delimiters are taken to be term candidates.

The third category is based on some a priori domain knowledge such as a large domain lexicon. Nakagawa (2002) identified compound nouns as domain specific terms by measuring the domain specificity of the component words, which is determined by finding out whether they appear in the domain lexicon. But this method cannot deal with non-compound terms. TEKno (knowledge based term extraction), proposed in (Ji and Lu, 2007) for Chinese, calculates the percentage of context words in a domain lexicon using both frequency information and semantic information. TEKno also requires an existing domain lexicon for verification.

Some supervised learning approaches have been applied to protein/gene name recognition (Zhou et al., 2005) and Chinese new word identification (Li et al., 2004) using Support Vector Machine (SVM) (Vapnik, 1995) which also require large domain corpora and annotations, and intensive training is needed for a new domain.

As described before, different categories of term extraction techniques suffer from different problems. Statistics-based methods cannot identify terms without statistical significance since they are very sensitive to term frequency. Trigger word based algorithms, which use only limited features, are likely to extract certain kinds of terms but miss the others. Knowledge based algorithms and supervised methods rely heavily on both the size and the quality of domain knowledge or annotated training data which makes it difficult to be applied to a new domain.

In this work, the Fault-Tolerant Learning (FTL) approach is proposed to overcome these problems. After automatically generating two sets of seeds based on two unsupervised algorithms, different classifiers are separately trained using different seed sets, followed by double checking for term verification. The proposed FTL approach extracts terms using automatically generated seeds instead of domain knowledge or annotated corpora. Thus it is applicable to any domain specific corpus. It is especially useful for knowledge-limited and resource-limited domains. Two classifiers are separately trained for prediction and verification which aims to improve the performance. Moreover, all the features are used in each classifier which makes it possible to cover more kinds of terms.

The rest of the paper is organized as follows. Section 2 describes the proposed algorithms. Section 3 explains the experiments and the performance evaluation. Section 4 is the conclusion.

2 Methodology

2.1 Overview of Fault-Tolerant Learning

Fault-Tolerant (Laprie, 1985) is the property that provides, by redundancy, service complying with the specification in spite of faults having occurred or occurring.

Fault-Tolerant Learning proposed in this study makes use of seeds produced by unsupervised techniques without manual checking. Thus noise may exist from the beginning and could increase after each iteration. Two classifiers separately trained are used for prediction and verification which aims to make the results more reliable by handling the noise. That is why we call the proposed approach Fault-Tolerant Learning. FTL is based on two assumptions. First, the accuracy of the automatically generated seeds is higher than a random selection mechanism. This insures that the generated seeds are useful. Second, two conditional independent views can be obtained to make the verification more efficient.

The proposed FTL approach is inspired by Transfer learning and Co-training. The study of Transfer learning (Ando and Zhang, 2005) is motivated by the fact that people can intelligently apply knowledge learned previously to solve new problems faster or with better solutions. Transfer learning allows the domains, tasks, and distributions used in training and testing to be different. Both Transfer learning and FTL focus on tasks without enough labeled training data. Transfer learning uses resources from different domains or tasks for transferring knowledge to the target domain or task. FTL uses noisy instances automatically labeled by unsupervised
algorithms instead. *Co-training* (Blum and Mitchell, 1998) is a representative bootstrapping method, which starts with a set of labeled data, and increase the amount of annotated data using some amounts of unlabeled data in an incremental way. Both *Co-training* and *FTL* require two independent views for verification. The main difference between them is that *FTL* starts with noisy seeds instead of manually checked seeds in *Co-training*. Besides, *Co-training* always uses two split feature spaces whereas *FTL* relaxes the definition of two independent views. In this study, two sets of seeds produced by different algorithms are taken as two different views. The main reason is that a few features may be useful only for certain kinds of terms which has been described in Section 1.

The framework of *Fault-Tolerant Learning* is shown in Figure 1. It consists of 5 steps listed below.

*Figure 1: Framework of Fault-Tolerant Learning.*
Step 1: Given an unlabeled data set, two sets of seeds are extracted using two unsupervised methods.
Step 2: Two classifiers are trained by using different sets of seeds, respectively.
Step 3: One classifier trained by one seed set is applied to verify the other set of seeds.
Step 4: The classifiers are trained again using the verified seed sets.
Step 5: Two classifiers are used for predicting and verification, instances with the most confidence are considered correct and added into the seed sets.

The FTL approach works with unlabeled data by making use of noisy seeds. There are two crucial issues in the proposed model. The first issue is to handle noise. From the beginning to each iteration, two classifiers are used for prediction and verification, respectively. Different types of feature are integrated in each classifier to make it more efficient. The second issue is to maintain two independent views. The independent views are obtained by using two different seed sets in this study. At the beginning, two seed sets are produced using different algorithms. In each iteration, two sets of new labeled instances are extracted and added to different seed sets respectively.

2.2 Unsupervised Algorithms

For term extraction, TF-IDF and the delimiter based algorithm (referred to as TEDel) are selected as unsupervised algorithms based on two reasons. First, TF-IDF and TEDel are proven to perform well for term extraction, especially when the number of extracted terms is small. Second, they are based on different features. TF-IDF is based on statistical significance whereas TEDel is based on trigger words for term boundary detection. Thus different kinds of terms can be extracted which satisfies the condition of two independent views required in FTL.

TF-IDF (Salton and McGill, 1983) is the representative statistical measure which calculates the distribution of a string in different documents.

\[ TFIDF(TC_i) = TF(TC_i) \cdot IDF(TC_i) \]  

\[ IDF(TC_i) = \log\left(\frac{|D|}{DF(TC_i)}\right) \]  

where \( TF(TC_i) \) is the number of times term candidate \( TC_i \) occurs in the domain corpus, \( DF(TC_i) \) is the number of documents in which \( TC_i \) occurs at least once, \(|D|\) is the total number of documents in the corpus, \( IDF(TC_i) \) is the inverse document frequency which can be calculated from the document frequency.

TEDel (Yang et al., 2008; Yang et al., 2009) identifies the relatively stable and domain-independent delimiter words immediately before and after domain specific terms for term candidate extraction. Delimiters are likely to be either functional words or other general substantives connecting terms and are proven to be useful for term boundary identification. The method verifies terms by using different types of relevance including candidate-candidate relevance, candidate-sentence relevance and candidate-document relevance.

2.3 Fault-Tolerant Learning for Term Extraction

The proposed approach extracts terms from a raw domain specific corpus \( Corpus_{Dom} \). In FTL, a basic classification algorithm is required to construct \( C_1 \) and \( C_2 \). The widely used SVM classifier is adopted as the basic classifier in this study. The details of the FTL based term extraction approach are shown in Figure 2.
Given:
- A raw domain specific corpus $Corpus_D$;
- A set of unlabeled strings $U$;

Initialization:
1. Generate the first seed set $S_1$ using $TF-IDF$;
2. Generate the second seed set $S_2$ using $TE_{di}$;
3. Learn the first classifier $C_1$ based on $S_1$;
4. Learn the second classifier $C_2$ based on $S_2$;
5. Use $C_1$ to label automatically generated seed set $S_2$;
6. Use $C_2$ to label automatically generated seed set $S_1$;
7. Remove instances with different tags labeled by $C_1$ and $C_2$ from $S_1$ and $S_2$;

Loop for $I$ iterations:
8. Learn $C_1$ based on $S_1$;
9. Learn $C_2$ based on $S_2$;
10. Use $C_1$ to label instances from $U$;
11. Produce $L_1$ consisting of $p$ terms and $n$ non-terms with the most confidence from $U$;
12. Use $C_2$ to label instances from $L_1$;
13. Remove instances with different tags labeled by $C_1$ and $C_2$ from $L_1$;
14. Add instances from $L_1$ to $S_1$;
15. Use $C_2$ to label instances from $U$;
16. Produce $L_2$ consisting of $p$ terms and $n$ non-terms with the most confidence from $U$;
17. Use $C_1$ to label instances from $L_2$;
18. Remove instances with different tags labeled by $C_1$ and $C_2$ from $L_2$;
19. Add instances from $L_2$ to $S_2$;

Figure 2: The FTL based term extraction approach

2.4 Used Features

Different types of features are useful for term extraction according to previous studies. However, most existing techniques use only a few features. Thus only certain kinds of terms can be extracted. Four types of features shown as follows are integrated in the proposed approach.

**Frequency:** Frequency is the most fundamental feature for term extraction. Most statistical measures (Luo and Sun, 2003) are based on term frequency, document frequency and frequencies of its components or context. The frequencies of each unlabeled instance and its components are counted.

**Part Of Speech (POS):** POS is a linguistic category of words generally defined by the syntactic or morphological behaviour of the lexical item. Many domain specific terms are descriptive and very long. Therefore, POS may provide useful evidence about the term boundaries.

**Delimiter:** Delimiters are relatively stable and domain-independent which occurs immediately before and after domain specific terms. They are useful for term boundary identification. Delimiters are distinguished as predecessors and successors by their positions instead of being equally considered in (Yang et al., 2008).

**Head/End Word:** It has been well-documented that the head/end word features are very useful. Candidates with certain head words or end words are more likely to be certain kinds of terms. Thus the statistical information of head words and end words are collected to distinguish terms from non-terms.


3 Performance Evaluation

3.1 Data Preparation

Most of the existing term extraction algorithms are conducted on the IT domain (Frank et al., 1999; Nakagawa and Mori, 2002; Ji and Lu, 2007), although some are done in other domains. To validate the relative independence of the proposed method on certain domains, experiments are conducted on two basically unrelated domains, namely, the IT domain and the legal domain. The data in each domain is split into two non-overlapping sets for training and testing. The four corpora of IT domain and legal are listed in Table 1 showing their sizes and sources.

Table 1: Different corpora used for experiments.

| Corpus        | Domain | Size  | Text type       |
|---------------|--------|-------|-----------------|
| CorpusIT_Train| IT     | 77K   | Academic papers |
| CorpusIT_Test | IT     | 6.64M | Academic papers |
| CorpusLegal_Train | Legal | 344K  | Law Article    |
| CorpusLegal_Test | Legal | 1.04M | Law Article    |

Table 2 shows the three domain lexicons used in some reference algorithms. LexiconIT and LexiconLegal are manually verified from CorpusIT_Train and CorpusLegal_Train, respectively. LexiconPKU contains a total of 144K manually verified IT terms supplied by the Institute of Computational Linguistics, Peking University. All the three domain lexicons are used in some reference algorithms.

Table 2: Different lexicons used for experiments

| Lexicon      | Domain | Size | Source           |
|--------------|--------|------|------------------|
| LexiconIT    | IT     | 3,337| CorpusIT_Train  |
| LexiconLegal | Legal  | 394  | CorpusLegal_Train |
| LexiconPKU   | IT     | 144K | PKU              |

As described before, CorpusIT_Test and CorpusLegal_Test are used for test. The other two domain corpora and all the three domain lexicons are used as training data or prior domain knowledge in some reference algorithms. The details will be given in Section 3.3. It should be pointed out that the proposed approach works based on a raw domain corpus without any domain knowledge or annotated training data.

3.2 Evaluation Metric

Performance is mainly measured by precision. As precision is measured with respect to the number of extracted terms, recall is indirectly measured. Due to the large number of extraction results, random sampling is used to select the data for manual verification. Basically, one term is selected for every 10 extracted terms. To avoid any bias towards a particular method, all of the sampled data from different algorithms are first scrambled, and then independently evaluated by two persons. If there is a discrepancy between the two evaluators, another review is conducted.

3.3 Baseline Methods

For comparison, four algorithms having good performance in literature are taken as baseline methods. The baseline methods consist of a statistical based algorithm TF-IDF, a delimiter based algorithm TEDel, a prior knowledge based method TEKno (Ji and Lu, 2007) and a supervised learning method SVM. TF-IDF and TEDel have been described in Section 2.2. The main ideas of TEKno and SVM are shown as follows.
TE_Kno extracts term candidates using both internal association and external strength, and uses semantic information within a context window for term verification. TE_Kno verifies a candidate as a term if the percentage of its contextual words found in an existing domain lexicon is higher than a predefined threshold. Lexicon_PKU is used as an existing lexicon of IT domain in TE_Kno.

SVM classifier (Vapnik, 1995) is a typical supervised learning approach which has been widely used in many NLP tasks, such as text classification. SVM uses all the features listed in Section 2.4 for comparison with the proposed approach. Two training sets, generated using TF-IDF and TE_Del, with manual verification, are constructed for the SVM classifier. The first one includes 595 positive examples and 942 negative examples extracted from IT domain. The second one includes 636 positive examples and 957 negative examples extracted from legal domain.

In the TE_Del algorithm, a delimiter list DList is required for term extraction. DList can be obtained either from a delimiter training corpus or from a given stop word list. DList obtained from training data is proved to perform better than a stop word list. Thus training data are used to guarantee the best performance of the reference algorithm. Corpus_IT_Train and Lexicon_IT are used to obtain the delimiter list of the IT domain, DList_IT. Corpus_Legal_Train and Lexicon_Legal are used to obtain the delimiter list of the legal domain, DList_Legal.

3.4 Experiment Implementation

At first, two unsupervised algorithms are applied to generate seeds. In TF-IDF, a general-purpose Chinese segmenter (Zhang et al., 2003) is first used to segment the domain corpus. All the segmented n-grams (1 ≤ n ≤ 4) are taken as term candidates. The first seed set S1 is collected which consists of 500 terms with the highest TF-IDF scores and 500 non-terms with the lowest TF-IDF scores. In TE_Del, a simple stop word list, DList_SW, without training is used to verify that the FTL approach works with no manually labeled data even in the seed generation step. DList_SW takes 494 general purpose stop words downloaded from a Chinese NLP resource website (www.nlp.org.cn) without any modification. The second seed set S2 is collected which consists of 500 terms and 500 non-terms the most confidently predicted by TE_Del.

Each seed set is verified using the classifier trained by the other seed set after generation. The classifier C1 trained by S1 is used to label S2. Instances with different tags are removed from S2. S1 is similarly verified using C2. The accuracies of S1 and S2 are further improved by verification.

As described before, p terms and n non-terms the most confidently predicted by the classifiers are considered correct and added to the seed set for the next iteration. A set of experiments are conducted to compare the performance of the proposed FTL approach by using different ranges of (p, n). The experimental results, which are not shown in this paper due to the space limitation, indicate that balanced growth of (p, n) is more efficient than unbalanced growth. We therefore set p = n = 50 for the following experiments.

3.5 Evaluation on Term Extraction

An evaluation is conducted for term extraction on Chinese IT domain using Corpus_IT_Test. Figure 3 summarizes the performance of the proposed FTL approach and the baseline methods. FTL achieves 81% precision when the number of extracted terms reaches 5,000. It is the best performance compared to the reference algorithms.
The proposed FTL approach provides more than 20% higher performance compared to the SVM classifier which uses manually checked seeds. This indicates that the proposed model is benefit from prediction and verification based on two different classifiers. FTL requiring no explicit domain knowledge performs much better than TEKno relying on the 144K LexiconPKU for term extraction. FTL, which applies TEDel using a stop word list for seeds generation, also performs slightly better than TEDel itself using delimiter list obtained from training data. The results reveal that FTL uses much less resources and still improve performance of term extraction.

Figure 4 shows the performance for the same set of algorithms using the legal corpus CorpusLegal_Test. The improvement in the legal domain shows a similar performance and trend. The proposed FTL performs the best. It achieves 72.4% precision when the number of extracted terms reaches 5,000. The performance is 3% to 13% higher in precision for the 5,000 extracted terms compared to the reference algorithms. This indicates that the proposed FTL approach is efficient for distinguishing terms from non-terms in different domains. Since a large lexicon in the Chinese legal domain is not available, the reference term verification algorithm TEKno does not even work. However, the proposed FTL approach using no prior domain knowledge still achieves a similar level of improvement. The results confirm that the proposed approach is quite stable across domains. In fact, the proposed approach can be easily applied to different domains since it requires no training data and no prior domain knowledge.
There are three main reasons for the performance improvements of the proposed FTL approach. Firstly, the quality of automatically generated seeds is relatively good since TF-IDF and TEDel perform well when the numbers of extracted terms are small. Moreover, verification is applied from the beginning which further improves the accuracy of the generated seeds. The average accuracy of the verified seeds is 92.9% which makes them useful for training. Secondly, the applied classifier can cover more kinds of terms since different types of features are integrated in each classifier. Thirdly, prediction and verification based on two classifiers guarantee the reliability of the extracted terms. Two relatively independent views are obtained by using different sets of seeds which makes the double check process more efficient. The fact that the FTL approach performs better over SVM using manually checked seeds further proves it.

4 Conclusion

In conclusion, this paper presents a Fault-Tolerant Learning approach for term extraction. The main purpose of FTL is to train classifiers for prediction and verification using noisy seeds. A fully automatic learning process is constructed by using automatically labeled instances. The proposed approach has some theoretical advantages. FTL integrates different types of features to cover as many kinds of terms as possible. It separately trains two classifiers for double check in order to filter out noise. Moreover, FTL requires no prior domain knowledge and no training data. Thus it can be applied to different domains much more easily than traditional supervised methods or domain knowledge based algorithms.

Experiments for term extraction are conducted on IT domain and legal domain, respectively. Evaluations indicate that the proposed approach can improve precision of term extraction quite significantly. The fact that the proposed approach achieves the best performance on two different domains verifies its domain independent nature.

The motivation of FTL is that learning can be done without manually checked seeds. FTL achieves the best performance on Chinese term extraction which indicates the efficiency of the proposed approach. Furthermore, the proposed FTL approach can also be applied to other resource-limited tasks if the assumptions described in section 2.1 can be satisfied.

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