Therapeutic interventions for acute complete ruptures of the ulnar collateral ligament of the thumb: a systematic review

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Abstract

Background: The aim of this study was to evaluate the effectiveness of interventions for acute complete rupture of the ulnar collateral ligament (UCL) of the thumb in adults.

Methods: The following databases were searched: MEDLINE and EMBASE via OVID, CINAHL and SPORTDiscus via EBSCO, from database inception to 31st January 2018. Inclusion criteria were: (i) randomised controlled clinical trials (RCTs) or study of intervention with a comparator; (ii) participants with diagnosis of acute complete rupture of the UCL of the thumb; (iii) participants aged 18 years of age or older at enrolment; and (iv) published in a peer-reviewed English-language journal.

Results: In total, six studies were identified for inclusion after screening. All studies had a high risk of bias. Three studies were retrospective comparative case series which compared two different surgical techniques (bone anchor versus pull out suture, suture versus pull out suture, suture versus steel wire). Of these studies, three were RCTs, two of which compared different rehabilitation regimes in patients managed surgically (plaster versus early mobilization, new spica versus standard spica). The remaining RCT compared two different rehabilitation regimes in a mixed group of surgically/non-surgically treated patients. The RCT comparing a standard spica with a new spica demonstrated a statistically significant improvement in outcomes with the new spica at all time points (range of motion, Dreiser index and VAS); this was also the only study to provide sufficient outcome data for further analysis.

Conclusion: There is no prospective evidence comparing surgery to
non-operative treatment for acute complete ruptures of the ulnar collateral ligament of the thumb. There is weak evidence to suggest that early mobilisation may be beneficial following surgical repair. Further research is necessary to better define which patients benefit from which specific interventions.

**Keywords**
ulnar collateral ligament; thumb; rupture; surgery
Introduction
Acute complete ruptures of the ulnar collateral ligament (UCL) of the thumb are common injuries, accounting for around 50 in 100,000 presentations to Accident and Emergency departments. There is controversy as to how to manage complete ruptures of the UCL best, although there is a degree of consensus regarding the broader treatment algorithm and general agreement that ‘true’ Stener lesions should be managed operatively\(^2\). The rate of the Stener lesion varies widely in the literature, perhaps reflecting the lack of reliability and accuracy of the various methods of diagnosis\(^3\).

Patients should be assessed clinically to determine the degree of instability of the metacarpophalangeal joint (MCPJ) in both extension and 30° of flexion to test both proper and accessory collateral ligaments\(^4\). There is some evidence to suggest that the greater the instability the higher the chances are that a Stener lesion is present\(^5\). While there is evidence to support both the use of ultrasound and MRI, the latter appears slightly superior in terms of sensitivity and specificity\(^6\). A recent study by Stoop et al. investigated which factors predict the chances of surgery in UCL injuries\(^1\). It was found that not only did patient characteristics influence the chances of surgery, but that the individual surgeon’s preference was also predictive.

Our aim was to perform a systematic review of the effectiveness of available interventions for acute complete rupture of the ulnar collateral ligament of the thumb in terms of patient-reported outcome measures and to assess the rates of adverse outcomes associated with these interventions.

Methods
The systematic review was developed in accordance with the PRISMA statement (Supplementary File 1 contains a completed PRISMA checklist), using methodology described in the Cochrane Handbook for Systematic Reviews of Interventions. The protocol was developed prospectively and peer reviewed locally before registration on the PROSPERO database (CRD42018087656).

Data sources and searches
A comprehensive search strategy was created in collaboration with a research librarian (N.T.) and was designed to capture all relevant articles pertaining to inventions for acute complete ruptures of the ulnar collateral ligament of the thumb (Supplementary File 2). The full search strategy is detailed on the PROSPERO website. The search strategy was applied to the following bibliographic databases from database inception until 31\(^{st}\) January 2018: MEDLINE and EMBASE via OVID, CINAHL and SPORTDiscus via EBSCO from database inception until 31\(^{st}\) January 2018.

Inclusion/exclusion criteria
The inclusion and exclusion criteria were defined prospectively during the protocol stage. Any study relating to acute complete ruptures of the ulnar collateral ligament of the thumb MCPJ in adults was included. Studies had to contain an intervention and a comparator (i.e. both non-randomised controlled trials, and randomised controlled trials, including semi/quasi randomised, cluster randomised trials and comparative case series). Any therapeutic intervention or control treatments were included.

Selection of studies
Duplicates were removed and relevant studies identified from the search were imported into Covidence for screening. Studies were independently screened by title and abstract by two authors (B.J.F.D. and M.M.). This was followed by a full-text evaluation of the selected studies from the first selection step these authors. Disagreement between the two reviewers was solved by consensus involving a third author (J.C.R.W.).

Data extraction
Two reviewers (M.M. and B.J.F.D) independently extracted data. Data was extracted using a custom data extraction sheet in Covidence. Any inconsistencies between the two reviewers’ forms were resolved by consensus discussion. A direct comparison meta-analysis would only be performed if data was available in any case where disagreements persisted after discussion.

If data was not available from full-text articles or trial registrations, authors were contacted to provide this information. If authors were not contactable as regards additional data, then this aspect of the study was excluded from the data synthesis. If contactable authors did not respond to initial requests, they were sent two subsequent reminders over a minimum of 6 weeks. If there was still no response for the additional data, then this aspect of the study was excluded from the data synthesis.

Risk of bias assessment
Included studies were assessed for risk of bias by two independent raters (B.J.F.D. and M.M.) using the Cochrane Collaboration’s tool for assessing risk of bias in randomised trials\(^7\). This followed the description in the Cochrane Handbook for Systematic Review of Interventions, version 5.1 (Part 2: 8.5.1). Any disagreements between ratings were resolved by discussion between the raters. A third party (J.C.R.W.) was available in any case where disagreements persisted after discussion.

Data analysis
Descriptive analysis was performed for all demographic, intervention and outcome data to facilitate narrative interpretation and comparison across studies. It was decided that a direct-comparison meta-analysis would only be performed if data was available for similar time-points, outcomes and interventions across two or more studies. As this was not possible with the identified studies, we conducted a narrative synthesis of the results based on the domains of interest.

Results
A total of 158 studies were identified by the search, after duplicates were removed. After screening by full-text, six studies were identified as eligible for inclusion (Figure 1). Of these, three were randomized controlled trials (RCTs), and three were retrospective comparative case series. The number of studies identified and excluded at each stage is detailed in Figure 1.
Study characteristics of the included trials including the interventions and comparators are provided in Table 1. Of the three randomised controlled trials, two assessed the outcomes of different rehabilitation regimes in patients who had been exclusively treated with surgery. The remaining RCT assessed the outcome in patients managed both surgically and non-surgically, who were randomised to treatment with either a plaster cast or a functional splint. All three retrospective comparative case series compared different surgical techniques in patients exclusively managed surgically. Table 2 details the basic demographics of the intervention and comparator groups, as well as the details about the outcome data provided. The full details of all included studies and the forest plots are included within the supplementary material (Supplementary File 3–Supplementary File 15).

The study by Sollerman et al. compared a functional splint with plaster cast treatment in patients with complete UCL ruptures; patients were managed both surgically and non-surgically. The authors reported no difference in MCPJ range of movement (ROM), grip strength and sick leave taken; however, the data
| Author         | Year | Journal                                                | Setting                                                                 | Population                                      | Type of study                  | Intervention                          | Comparator                          | Primary outcome                                      | Outcomes                                                                 | Time points     |
|----------------|------|--------------------------------------------------------|------------------------------------------------------------------------|-------------------------------------------------|---------------------------------|--------------------------------------|--------------------------------------|-----------------------------------------------------------------------------|-------------------------------------------------------------------------|-----------------|
| Crowley et al. | 2013 | Techniques in Hand and Upper Extremity Surgery         | Hospital plastic surgery department                                    | Acute complete UCL ruptures repaired with Mitek anchors | Randomised controlled trial     | Early active mobilisation            | Plaster immobilisation              | None specified                                                                 | Range of motion, return to work, normal hand function, complications | 1 month, 3 months, 6 months, Final follow up |
| Katolik et al. | 2008 | Plastic and Reconstructive Surgery                    | Hand Surgery Unit                                                       | Acute complete UCL ruptures treated with surgery | Retrospective comparative case series | Bone anchor repair                  | Pull out suture                    | None specified                                                                 | Range of motion, pinch strength, patient satisfaction, complications | Final follow up |
| Lane           | 1991 | American Journal of Sports Medicine                  | Orthopaedic Surgery Department                                           | Acute complete UCL ruptures treated with surgery | Retrospective comparative case series | Suture repair                      | Pull out suture and K wire stabilisation of MCPJ | None specified                                                                 | Range of motion, strength (full vs partial), overall outcome (excellent vs good), complication | Final follow up |
| Rocchi et al.  | 2014 | European Journal of Physical and Rehabilitation Medicine | Orthopaedic Hand Surgery Department                                      | Acute complete UCL ruptures treated with surgery | Randomised Controlled Trial     | New spica                          | Standard spica                     | None specified                                                                 | Range of motion, Dreiser index, VAS, Tip pinch strength, Complications | 1 month, 2 months, 6 months, 12 months, Final follow up |
| Saetta et al.  | 1992 | Journal of Hand Surgery – British volume              | Accident and Emergency Department                                       | Acute complete UCL ruptures treated with surgery | Retrospective comparative case series | Steel wire repair                  | Functional splint                  | None specified                                                                 | Key strength, Pinch strength, Grip strength, Functional result (excellent vs good), complications | Final follow up |
| Sollerman et al. | 1991 | Acta Orthopaedica Scandinavica                        | Hand Surgery Department                                                 | Acute UCL ruptures treated surgically            | Randomised Controlled Trial     | Functional splint                  | Plaster cast                       | None specified                                                                 | Range of motion, pinch strength, grip strength, surgical outcome        | Final follow up |

UCL, ulnar collateral ligament; MCPJ, metacarpophalangeal joint.
Table 2. Details of study participants demographics, inclusion/exclusion criteria and whether data was provided.

| Author                  | Year | Inclusion criteria                                                                 | Exclusion criteria                  | Intervention group age, years | Comparator group age, years | Intervention group sex | Comparator group sex | Data comments                              |
|-------------------------|------|-------------------------------------------------------------------------------------|-------------------------------------|-------------------------------|---------------------------|------------------------|------------------------|------------------------------------------|
| Crowley et al.          | 2013 | All patients undergoing surgery for UCL rupture – diagnostic criteria not specified | K wire used in surgery             | 26 (range 20–43)             | 50 (range 37–72)         | 4 male, 2 female        | 4 male, 2 female        | All data other than complication rate not available according to author response |
| Katolik et al.          | 2008 | <4 weeks old, laxity >30° in 30° flexion or >10 increased laxity compared to contralateral side | Avulsion fractures >10% of joint surface | 32                            | 32                        | Not reported           | Not reported           | All data other than complication rate not fully reported and author responded to confirm not available |
| Lane                    | 1991 | Grade 3 UCL ruptures – >35° laxity in 30° flexion or >15° laxity relative to contralateral side | Not reported                        | Not reported                  | Not reported             | Not reported           | Not reported           | Outcome data complete and unable to contact author for full demographic data |
| Rocchi et al.           | 2014 | >30° laxity or >20° laxity relative to contralateral side                              | Partial tears, associated tendon/neurovascular injury | Not reported                  | Not reported             | Not reported           | Not reported           | Outcome data complete                          |
| Saetta et al.           | 1992 | Unstable MCPJ but specifics not mentioned                                             | Not reported                        | Not reported                  | Not reported             | Not reported           | Not reported           | Outcome data incomplete and author not contactable |
| Sollerman et al.        | 1991 | Clinical and radiographic assessment but specifics not mentioned                      | Not reported                        | Not reported                  | Not reported             | Not reported           | Not reported           | Outcome data incomplete and author not contactable |

UCL, ulnar collateral ligament; MCPJ, metacarpophalangeal joint.

provided were insufficient for any further analysis, such as a forest plot.

The RCT by Rocchi et al. compared the outcomes of operated patients treated with either a traditional standard thumb spica which immobilized the MCPJ or a new modified thumb spica which allowed early MCP motion\(^{14}\). At 12 months the new spica group had increased MCPJ ROM (standardized mean difference (SMD), \(-3.69; 95\% \text{ confidence interval (CI), } -2.46–-4.92, P<0.0001\)), a better Dreiser index (SMD, 1.65; 95\%CI, 0.81–2.50; \(P=0.0001\)) and reduced pain VAS (SMD, 1.53; 95\% CI, 0.70–2.35; \(P=0.0003\)). There was no statistically significant difference between groups in tip pinch strength at any time point. The RCT by Crowley et al. compared outcomes between patients treated with early active mobilization or plaster immobilization after being treated surgically with Mitek anchor repair\(^{13}\). The outcome data was not provided, meaning that any further analysis was not possible.

The retrospective comparative case series by Saetta et al. demonstrated a higher chance of an excellent functional result with suture repair versus steel wire, but this was not statistically significant (risk ratio, 1.19; 95\% CI, 0.82–1.71); the other outcome data was incomplete and thus precluded further analysis. The retrospective case series by Lane demonstrated no statistically significant difference in the chances of a full versus partial recovery in ROM of the MCPJ, of a full versus partial recovery in strength and of a full versus partial functional recovery\(^{17}\). The study by Katolik et al. did not provide adequate data with which to conduct any further analysis\(^{16}\).

**Adverse events**

Rocchi et al\(^{14}\) demonstrated no statistically significant difference in complication rate between treatment with the standard spica and the new spica (risk ratio, 1.5; 95\% CI, 0.29–7.73); the complications consisted of three cases of temporary dysesthesia and two cases of inflammatory scars. The complication rate was identical in both the early active mobilization and plaster cast groups in the study by Crowley et al\(^{13}\). (Risk ratio: 1.0, 95\% CI: 0.32, 3.10); all six complications in this study were that of scar tethering, with all resolving with ultrasound therapy and massage. The studies by Saetta et al.\(^{13}\) and Sollerman et al.\(^{15}\) did not make any mention of specific complications. Lane\(^{11}\) demonstrated no statistically significant difference in the complication rate between the older method of pull out suture plus K-wire fixation and the
new method of suture repair (risk ratio, 3.57; 95% CI, 0.25–50.15); there was one complication with the traditional method (broken pull-out suture at 2 weeks) and one with the new method (re-rupture at 9 months) The study by Katolik et al.8 demonstrated a higher complication rate with pull-out suture versus bone anchor repair, but this was not statistically significant (risk ratio, 4.00; 95% CI, 0.92–17.30); all the ten complications were soft-tissue-related (five were persistent wound erythema consistent with wound infection and five were paraesthesiae, which resolved over time).

Risk of bias
All criteria were judged as low, high or unclear risk of bias. Overall, all studies were deemed to be at a high risk of bias, particularly in terms of blinding of outcome assessment and selecting reporting. Full risk of bias assessment is available in Figure 2 and Figure 3.

Meta analysis
As a result of the degree of heterogeneity in terms of study interventions and the incomplete outcome data, it was determined that a meta-analysis of the outcomes was not possible. We carried out a meta-analysis of the complications of pull-out suture versus bone anchor, as two studies had compared these different surgical techniques16,17. The complication rate of pull out suture fixation was higher than that of bone anchor repair (risk ratio, 3.92; 95% CI, 1.07–14.32; P=0.04). Although suggesting a higher rate of complication, this should be interpreted with caution due to the high risk of bias in the included studies, reducing the reliability of the data and subsequent meta-analysis.

Discussion
The key finding of this systematic review is that no study exists comparing non-operative to surgical intervention in the treatment of complete ruptures of the UCL of the thumb. The only studies which have compared interventions are at high risk of bias, particularly in the areas of blinding of outcome assessment and selective outcome reporting. There is weak evidence to suggest that early mobilisation of the thumb MCPJ may be beneficial following surgical repair. There is weak evidence that the pull out suture fixation has a higher rate of adverse events when compared to bone anchor repair.

A systematic review by Samora et al. summarised the outcomes after both non-operative and operative treatment of complete UCL ruptures19. They found that the vast majority of the evidence base was low quality retrospective case series and that only a small minority of patients were treated non-operatively. It was also shown that there was no significant difference in outcome between repair of acute injury and reconstruction after chronic injury.

Landsman et al. demonstrated generally good results when managing complete ruptures with splintage with only 15% failing this regime non operative treatment; notably, 30% of the patients in this series had displaced fractures and all patients had more than 30° laxity in 30° of MCPJ flexion. A case series reported by Pichora et al. also demonstrated generally satisfactory functional results with functional bracing, even in the 5 patients who were judged to have sustained true Stener lesions20; notably, the three patients who failed functional bracing could not be predicted by the initial clinical tests. Case series purely relating to avulsion fractures of the UCL have shown contrasting results. For example Kuz et al.21 demonstrated satisfactory outcomes in all patients but a non union rate of 25%, this contrasts with the results of Dinowitz et al.22, which demonstrated poor functional results in patients treated non-operatively for minimally displaced fractures.

There is a widely varying rate of Stener lesions in the literature, it being as low as 12% in the series by Pichora et al.20 and as high as 70% in other series21. The reasons underlying the variability in the rate of the Stener lesion are likely multiple and complex. One aspect of this conundrum appears to be the clear problems with the reliability and accuracy of the radiological diagnosis of the Stener lesion, particularly relating to MRI.1

![Figure 2. Risk of bias graph.](image-url)
Although there are some high quality studies describing the reliability of ultrasound, there are no high quality studies relating to MRI. Mahajan et al. demonstrated excellent agreement between radiologists in determining whether the UCL had completely ruptured; however, the presence or absence of a Stener lesion was not assessed radiologically. Milner et al. have recently argued that any displacement of greater than 3 mm (grade 3 by their system) should be treated operatively, owing to the observed high chance that detection bias will influence patient outcomes. None of the RCTs published a trial protocol with a specified primary outcome, while only the study by Rocchi et al. used validated patient-reported outcome measures (Dreiser index and VAS). There was also a failure to adequately report all outcomes, with only one study reporting adequate data for all outcomes to allow further analysis. None of the three RCTs included a power calculation. While the retrospective nature of the comparative case series introduces several potential sources of bias which may have influenced these results.

**Conclusions**

There is no prospective randomised or observational evidence to support operative intervention compared to non-operative treatment for acute complete ruptures of the ulnar collateral ligament of the thumb. There is weak evidence to suggest that early mobilisation may be beneficial following surgical repair. Further research is necessary in order to better define which patients benefit from which specific interventions.

**Data availability**

All data underlying the results are available as part of the article and no additional source data are required.

**Competing interests**

No competing interests were disclosed.

**Grant information**

J.C.R.W. is funded by the NIHR as an academic clinical fellow.

*The funders had no role in study design, data collection and analysis, decision to publish, or preparation of the manuscript.*
Supplementary File 2. Full search histories.
Click here to access the data.

Supplementary File 3. Forest plot of Crowley et al. 13 risk ratio of adverse events.
Click here to access the data.

Supplementary File 4. Forest plot of Katolik et al. 16 risk ratio of adverse events.
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Supplementary File 5. Forest plot of Lane et al. 17 risk ratio of adverse events.
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Supplementary File 6. Forest plot of Lane et al. 17 risk ratio of full versus partial ROM.
Click here to access the data.

Supplementary File 7. Forest plot of Lane et al. 17 risk ratio of overall outcome full versus partial.
Click here to access the data.

Supplementary File 8. Forest plot of Lane et al. 17 strength recovery full versus partial risk ratio.
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Supplementary File 9. Forest plot of pull out suture versus anchor complication risk ratio.
Click here to access the data.

Supplementary File 10. Forest plot of Rocchi et al. 14 risk ratio of adverse events.
Click here to access the data.

Supplementary File 11. Forest plot of Rocchi et al. 14 Dreiser index.
Click here to access the data.

Supplementary File 12. Forest plot of Rocchi et al. 14 Range of movement.
Click here to access the data.

Supplementary File 13. Forest plot of Rocchi et al. 14 VAS.
Click here to access the data.

Supplementary File 14. Forest plot of Rocchi et al. 14 pinch strength.
Click here to access the data.

Supplementary File 15. Forest plot of Saetta et al. 18 risk ratio of adverse events.
Click here to access the data.

Supplementary File 16. RevMan 5 file containing the full data extracted from the studies.
Click here to access the data.

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The authors aim was to perform a systematic review of the effectiveness of available interventions for acute complete rupture of the ulnar collateral ligament of the thumb in terms of patient-reported outcome measures and to assess the rates of adverse outcomes associated with these interventions.

1. Their methodology appears overall sound, however I have some concerns over their request for additional data from the authors of the selected papers. If this data was not included in the original papers how can it be accepted retrospectively.
2. Overall the authors have achieved their aim of the systematic review however they have added a large amount of extra data particularly concerning diagnosis (MRI and US).

In general I think the paper has merit to be published but in their stated aim the authors should include that they reviewed the literature concerning diagnosis as well.

11th June 2018: The status of this report has been updated from ‘Approved with reservations’ to ‘Approved’ in response to the author comments.

I thank the authors for their comments and accept that no additional data was either sourced or utilised. Also, I accept their comments on the diagnostic component in the article and accept that on balance it is useful in its contribution to the overall aim.

Are the rationale for, and objectives of, the Systematic Review clearly stated?
Yes

Are sufficient details of the methods and analysis provided to allow replication by others?
Yes

Is the statistical analysis and its interpretation appropriate?
Not applicable

Are the conclusions drawn adequately supported by the results presented in the review?
Yes

**Competing Interests:** No competing interests were disclosed.

I confirm that I have read this submission and believe that I have an appropriate level of expertise to confirm that it is of an acceptable scientific standard.

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**Author Response 02 Jul 2018**

**Benjamin Dean**, University of Oxford, Oxford, UK

Many thanks for your comments which we have attempted to address below.

"Their methodology appears overall sound, however I have some concerns over their request for additional data from the authors of the selected papers. If this data was not included in the original papers how can it be accepted retrospectively."

In terms of requesting additional data, we used the approach described and recommended by the Cochrane group. No authors were able to provide any additional data, as summarised in Table 2 of our study, therefore no additional data was accepted retrospectively.

"Overall the authors have achieved their aim of the systematic review however they have added a large amount of extra data particularly concerning diagnosis (MRI and US)."

We have described some findings relating to diagnosis within the introduction and discussion with the aim of providing context and clinical relevance to the systematic review. Obviously, this element has not been performed systematically and this was not our intention. If the reviewer feels the context as regards diagnosis could be better summarised then we are happy to consider any suggestions which may augment the discussion. Should the reviewer feel that the statements regarding radiological investigations are superfluous, then we would be happy to consider excluding them.

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**Competing Interests:** No competing interests were disclosed.
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This interesting article systematically reviews the literature base regarding injuries of the thumb ulnar collateral ligament. A large number of studies (158) were read and assessed to identify 6 eligible comparative studies. The 6 studies in question appear to be highly heterogeneous and have a high risk of being susceptible to bias. The quality of published data is too poor for the study to achieve its initial goal of performing a meta analysis. This is in itself a valuable piece of information which can be used to help drive further research. The search strategy is well described and repeatable and conducted according to the PRISMA checklist. Overall this is a well written paper, of publishable standard, describing poor quality data which is of particular value to researchers planning new studies investigating or designing new studies concerning the ulnar collateral ligament.

Are the rationale for, and objectives of, the Systematic Review clearly stated?  
Yes

Are sufficient details of the methods and analysis provided to allow replication by others?  
Yes

Is the statistical analysis and its interpretation appropriate?  
I cannot comment. A qualified statistician is required.

Are the conclusions drawn adequately supported by the results presented in the review?  
Yes

Competing Interests: No competing interests were disclosed.

I confirm that I have read this submission and believe that I have an appropriate level of expertise to confirm that it is of an acceptable scientific standard.
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