DNA methylation of OXTR is associated with parasympathetic nervous system activity and amygdala morphology

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Abstract

Oxytocin has anxiolytic properties whose mechanisms of action are still being identified. DNA methylation in the promoter region of the oxytocin receptor gene (OXTR), an epigenetic modification that putatively reflects a downtuning of the oxytocin system, has previously been implicated in the regulation of fear-related responses through the amygdala. In this study, we attempted to characterize the relationship between methylation of OXTR and anxiogenesis using two distinct endophenotypes: autonomic nervous system activity and subcortical brain structure. In 79 participants, we found that increased OXTR methylation is associated with attenuated resting parasympathetic tone, measured using high-frequency heart rate variability. Further, we found that this relationship is mediated by brain morphology, such that OXTR methylation is associated with increased gray matter of the central amygdala which is, in turn, associated with decreased parasympathetic tone. These results further our understanding of epigenetic regulation of the human oxytocin system and its role in anxiogenesis.

Key words: OXTR methylation; neuroimaging epigenetics; amygdala; anxiety; parasympathetic nervous system

Introduction

The neurohypophyseal hormone oxytocin is anxiolytic (Neumann and Slattery, 2016) and plays an essential role in human pro-social behaviors (MacDonald and MacDonald, 2010). Administering exogenous oxytocin reduces anxiety and anxious behaviors in rodents (Uvnäs-Moberg et al., 1994; Windle et al., 1997; Rotzinger et al., 2010; Slattery and Neumann, 2010) and humans (Heinrichs et al., 2003; Goodin et al., 2014) and inhibits the concomitant physiological stress response (Grippi et al., 2009; Neumann et al., 2000; de Oliveira et al., 2012). Similarly, humans with higher endogenous levels of oxytocin report less anxiety; this has been observed in both adults (Scantamburlo et al., 2007) and children (Carson et al., 2015). However, researchers are only beginning to elucidate the biological mechanisms—neuroendocrine, autonomic, genetic and epigenetic—through which oxytocin affects anxiety. A more refined understanding of these processes, particularly in how they interact within the same individual, is needed.

Oxytocin’s physiological basis in anxiolysis stems, in part, from its control over the autonomic nervous system. Multiple studies have demonstrated a close connection between oxytocin and the parasympathetic branch of the autonomic nervous system. Administration of oxytocin has been shown to increase high-frequency heart rate variability (HF-HRV; Kemp et al., 2012; Norman et al., 2011), a measure of parasympathetic cardiac
control which is consistently attenuated across a range of anxiety disorders (Chalmers et al., 2014). Oxytocin modulates HF-HRV through interactions with the solitary-vagal complex, comprised of the dorsal motor nucleus and the nucleus of the solitary tract. The solitary-vagal complex receives oxytocinergic projections from the paraventricular nucleus of the hypothalamus. Oxytocin receptor activity in the solitary-vagal complex affects cardiac control and has been shown to increase parasympathetic tone by slowing the heart rate during blood pressure challenges (Higa et al., 2002). Notably, oxytocin-mediated changes in cardiac activity have not been observed through the sympathetic branch of the autonomic nervous system (Higa et al., 2002) nor does oxytocin typically affect sympathetic nervous system activity (Gamer and Büchel, 2012), suggesting that oxytocin’s physiological effects stem from exclusive interactions with the parasympathetic nervous system.

Further contributing to this autonomic, anxiolytic pathway is oxytocin’s connection to the central nucleus of the amygdala. The central nucleus regulates the physiological fear response through numerous projections to the brainstem (LeDoux et al., 2011), including the solitary-vagal complex. Central amygdala neural activity is consistently implicated in studies of HF-HRV (Thayer et al., 2012), and lesioning the central amygdala suppresses parasympathetic cardiac activity during stress (Roozendaal et al., 1991). A wealth of research has directly implicated the amygdala as a target of oxytocin-induced anxiolysis. The central amygdala is rich in oxytocin receptors, and it has been shown that oxytocin release in this region contributes to the suppression of fear-conditioned behaviors (Bale et al., 2001; Landgraf and Neumann, 2004; Viviani et al., 2011). In addition, pathways linking the central amygdala to the brainstem, including the solitary-vagal complex, are modulated by oxytocin, and oxytocin administration decreases fear-related behaviors in rodents via these pathways (Viviani et al., 2011). In humans, intranasal oxytocin administration modulates amygdala blood oxygen level dependent (BOLD) response, most often observed as a reduction in amygdala reactivity to aversive stimuli (Kirsch et al., 2005; Domes et al., 2007; Labuschagne et al., 2010). Similarly, this attenuation of amygdala reactivity has also been observed as a function of participants own endogenous (plasma) oxytocin levels (Lancaster et al., 2018). Finally, oxytocin’s amygdalar connection is also reflected in structural differences in the human amygdala: participants with lower endogenous oxytocin levels and carriers of an oxytocin receptor gene (OXTR) single nucleotide polymorphism risk-allele showed increased gray matter density within the amygdala (Tost et al., 2010; Andari et al., 2014; Lancaster et al., 2018), pointing toward an enduring relationship between the oxytocinergic system and the subcortical brain structure.

Many of oxytocin’s effects appear to be mediated by its receptor, encoded by OXTR (Kusui et al., 2001). Importantly, the oxytocin system is partially regulated through epigenetic mechanisms, including DNA methylation. Increased methylation of a DNA methylation control region in the OXTR promoter has been associated with decreased expression of the receptor, reflecting a downturning of the oxytocin system (Kusui et al., 2001; Gregory et al., 2009). In the same way that differences in plasma oxytocin and genetic variability in OXTR explain anxiogenesis using either a behavioral or neural endophenotype, OXTR methylation is associated with amygdala BOLD response. In a recent paper, greater OXTR methylation in this promoter region (reflecting a dampened oxytocin system) was associated with an increase in participants’ amygdala reactivity to aversive faces (Puglia et al., 2015). However, it remains unclear whether this methylation-associated amygdala dysregulation extends into other biological systems and whether it manifests also in autonomic dysregulation.

In the current study, we sought to better characterize the relationship between epigenetic variability in the oxytocin system and anxiety-related endophenotypes in order to improve our mechanistic understanding of oxytocin’s contribution to anxiolysis. In light of previous work, which has identified relationships between oxytocin, the parasympathetic nervous system and the subcortical brain structure, we expected that greater OXTR methylation would be associated with decreased parasympathetic nervous system activity and increased central amygdala gray matter. Further, given the central amygdala’s autonomic connections to the brainstem, we expected that the gray matter density of the central amygdala might mediate the relationship between OXTR methylation and the parasympathetic nervous system.

**Methods**

**Participants**

Structural neuroimaging data, blood samples and cardiovascular data were acquired from 95 participants from the Charlottesville, VA, area (46 males, 49 females; mean age, 21.09; age s.d. = 2.62). Participants in this study were recruited from a larger imaging genetics study and received $75 total for their participation. Eligible participants were Caucasian; between the ages of 18 and 30; had no history of head trauma, seizures or electroconvulsive therapy; were not currently taking stimulants, sedatives or antipsychotic medications; and had no neurological or uncontrolled medical conditions. Neuroimaging and blood collection were performed during one session, and the cardiovascular assessment was completed in a follow-up session on a separate day. All participants provided informed consent as overseen by the University of Virginia Institutional Review Board. Due to equipment failures, usable psychophysiological data were not acquired for 10 participants (1 additional participant experienced an equipment failure mid-experiment, but were included in the analyses due to usable baseline/resting data). Additionally, six participants’ data were excluded due to extreme values, including methylation (two participants) and cardiovascular [HF-HRV/pre-ejection period (PEP); four participants] values which were >2 s.d.s from the mean, leaving a final sample of 79 participants with usable data (35 males, 44 females; mean age, 20.89; age s.d. = 2.40).

**Blood collection and DNA extraction**

Blood samples were collected at the University of Virginia Fontaine Research Park between 10:00 and 11:00 am. For epigenetic analyses, 8 ml of whole blood were collected in mononuclear cell separation tubes. The tubes were spun at 1800 relative centrifugal force for 30 min, according to product protocol. The Gentra Puregene Blood Kit (Qiagen, Valencia, CA) was then used to lyse mononuclear cells and extract DNA. Extracted DNA was stored at −20°C.

**Epigenotyping**

The epigenotyping procedures and materials are similar to those employed in Jack et al. (2012). Using Invitrogen kit MECOV50 (Carlsbad, CA), 200 ng of extracted DNA was subject to bisulfite
conversion. Subsequently, 20 ng/μl of bisulfite converted DNA was used as a polymerase chain reaction (PCR) template with a PyroMark PCR kit (Qiagen, Valencia, CA) and 0.2 μM/μl primers (5′-GGAGTTTTGATGTTAGAATTAAGGATT-3′ and 5′-biotin-AAAATACCTCCACCTATTTATCTAA-3′). Three identical PCR machines (C1000 Thermal Cycler, Biorad, Hercules, CA) amplified samples in triplicate. Amplification of the 116bp fragment-containing site →934 within a DNA methylation control region in the OXTR promoter (hg19, chr8:810,729-8,810,845) was achieved using the following cycling conditions [step 1: (95°C/15 min)/1 cycle, step 2: (94°C/30 s, 56°C/30 s, 72°C/30 s)/50 cycles, step 3: (72°C/10 min)/1 cycle, step 4: hold at 4°C]. PCR conditions were determined using a set of standards for site →934 at 0, 25, 50, 75 and 100% methylated {theoretical vs experimental Pearson’s correlation of 0.998 [95% confidence interval (95% CI): 0.995-0.999], P < 0.001}. Successful PCR amplification was confirmed using agarose gel electrophoresis for each sample and replicate. Pyrosequencing was performed on a PyroMark Q24 with PyroMark Gold Q24 Reagents (Qiagen, Valencia, CA) using primer (5′-AGAAGTTATTTTATAATTTTT-3′). Samples were randomized to control for plate and run variability. The average deviation from the mean was ±1.58%. Epigenotypes reported are an average of the three replicates.

Anatomical imaging

Structural imaging was performed on a Siemens Magnetom Trio 3T scanner using a 12-channel head coil. High-resolution T1-weighted gradient echo images (MPRAGE, TR = 1900 ms, TE = 2.53 ms, FOV = 250 mm, resolution = 1 x 1 x 1 mm, FA = 9°, 176 total volumes) were acquired for each participant.

Cardiovascular data acquisition

In a subsequent session (mean time elapsed post-scan = 99.98; s.d. = 137.34 days), participants were invited into a laboratory in the University of Virginia Psychology Department to undergo a cardiovascular assessment of autonomic nervous system activity. Participants were asked to avoid consuming caffeine or other stimulants for 3 h prior to the study. All cardiovascular data were recorded using a Bionex impedance cardiograph from MindWare Technologies (Gahanna, OH) using a sampling rate of 1000 Hz. Six spot electrodes were placed across each participant’s thorax to acquire electrocardiogram (ECG) and impedance cardiography data, according to prior recommendations (Qu et al., 1986; Sherwood et al., 1992). Proprietary BioLab software was used for data acquisition and analysis; participants’ physiological data were analyzed in one minute segments, and each segment was visually inspected for artifacts and corrected when applicable.

Resting/baseline and stress task

To collect resting cardiovascular data, participants were instructed to sit in an upright but relaxed position while passively observing nature scenes and sounds for 6 min (prior research has shown that the absence of any stimulus is aversive to participants; Wilson et al., 2014). Data collection commenced when participants indicated they felt sufficiently comfortable and relaxed, and concluded after 6 min had elapsed. For the stress portion of the study, we adapted the math test from the Trier Social Stress Test (Kirschbaum et al., 1993). For a recording duration of 2 min, we asked participants to count down in increments of 13 from 1022 as quickly as possible. When participants made a mistake, they were told to start from the beginning. If they hesitated for >3 s before responding, they were instructed to “please respond faster.”

Body mass index

Participants’ height and weight were recorded during the second session, and body mass index (BMI) was computed as participants’ height in centimeters divided by their squared weight in kilograms. BMI was not associated with any of our variables of interest and thus is not discussed further.

Statistical analyses

Behavioral data were analyzed using SPSS version 23 (Armonk, NY: IBM Corp.), using two-tailed tests and an alpha criterion of 0.05.

**HF-HRV.** We estimated parasympathetic nervous activity using HF-HRV from ECG data. Employing the HRV analysis module (Mindware Technologies), the interbeat interval series was estimated using the peak-to-peak distance between the ECG R-waves. This series was detrended and end-tapered, and a Fast Fourier transformation was applied to calculate spectral power. This was then integrated over the high-frequency band of 0.12-0.40 Hz and values were log-transformed to obtain HF-HRV for each participant in units of ms². This measurement of HF-HRV is also commonly referred to as respiratory sinus arrhythmia, as it corresponds to respiration-linked variance in the heart period from the activity of cardiac vagal neurons (Bertson et al., 1993). Higher HF-HRV reflects greater parasympathetic activity. To validate that our measurement of HF-HRV was unobscured by respiration artifacts, we followed the recommendation of Laborde et al. (2017) and confirmed that participants’ spontaneous respiratory rates fell within the range corresponding to the derivation of HF-HRV. To accomplish this, we estimated participants’ respiration using impedance cardiography (Ernst et al., 1999) and confirmed for each measurement of HF-HRV that participants’ peak respiratory power fell within the 0.12-0.40 Hz frequency band (i.e. a respiratory rate of 7-24 breath cycles/min).

**Pre-ejection period.** We estimated sympathetic nervous activity using PEP from the first derivative of the impedance waveform (dZ/dt) of the impedance cardiography data. PEP was measured as the time period in milliseconds between the onset of ventricular depolarization (the Q wave from the ECG channel) and the opening of the aortic valve (the B point on the dZ/dt wave). Using the IMP analysis module (Mindware Technologies), the Q wave was automatically pinpointed at the onset of the R wave (Bernston et al., 2004), while a previously validated algorithm estimated the B point as a function of the R-dZ/dt peak interval (Lozano et al., 2007). PEP is an inverse measure of sympathetic activity as a greater time period reflects less sympathetic nervous system activity.

**Voxel-based morphometry.** FSL-VBM v1.1 (FMRIB software; Douaud et al., 2007) was used to estimate gray matter volume of the central amygdala. Participants’ high resolution structural scans were tissue segmented and non-linearly normalized into Montreal Neurological Institute (MNI) space, creating a study-specific gray matter template. Participants’ native gray matter masks were fitted to the template and multiplied by a Jacobian
Gray matter density is associated with low resting HF-HRV (C) OXTR methylation is associated with increased central amygdala gray matter volume

As predicted, OXTR methylation was significantly inversely associated with resting HF-HRV, such that participants with high levels of methylation had lower resting HF-HRV, \( r(77) = -0.26, P = 0.021 \) (Figure 1). In contrast, OXTR methylation was not associated with HF-HRV during the stress task, \( r(76) = -0.07, P = 0.559 \). Similarly, there was no significant relationship between methylation and PEP at rest, \( r(77) = -0.04, P = 0.740 \), or during stress, \( r(76) = 0.06, P = 0.615 \).

OXTR methylation is associated with increased central amygdala gray matter volume

A priori ROI analysis using a mask of the bilateral central amygdala revealed a significant cluster in the right amygdala (Figure 2A): participants with higher OXTR methylation levels had greater percentage of gray matter in this region (7 contiguous voxels, peak voxel coordinates \( x = 22, y = -10, z = -8 \), \( P = 0.032 \) (Figure 2B). In a second step, we tested for associations across the whole brain; this exploratory analysis did not reveal any additional associations between OXTR methylation and gray matter density. In a final step, to probe for the specificity of the association with the central amygdala, we reconstituted our analysis using a mask of the basolateral amygdala. Similar

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OXTR methylation and autonomic nervous system activity is mediated by central amygdala gray matter density

Given the three-way association between OXTR methylation, central amygdala gray matter volume and resting HF-HRV, we tested mediation (model illustrated in Figure 3) using a bias-corrected bootstrapping procedure (Preacher and Hayes, 2008) with 5000 samples. With this procedure, the test of the indirect effect is significant if the 95% CI does not contain zero. Indeed, we observed evidence of mediation (indirect effect = −0.0156; 95% CI, −0.040 to −0.002), such that gray matter density of the central amygdala mediated the relationship between OXTR methylation and HF-HRV.

Discussion

Our results demonstrate that OXTR methylation is negatively associated with resting parasympathetic tone and that this relationship is mediated by structural differences in the central amygdala. High levels of OXTR methylation have previously been associated with an increase of amygdala reactivity to aversive stimuli (Puglia et al., 2015), a pattern of neural reactivity that is supported by studies investigating the effects of exogenous (Kirsch et al., 2005) and endogenous oxytocin (Lancaster et al., 2018). Because oxytocin signaling has been implicated in anxiolysis, a downregulation of the autonomic system via DNA methylation of OXTR is assumed to have anxiogenic consequences, but its specific relationship with the autonomic nervous system has not yet been characterized. Here, we provide evidence that epigenetic modification of the human oxytocin system is associated with the parasympathetic branch of the autonomic nervous system and suggest this may occur mechanistically through gray matter density differences in the central amygdala.

Notably, our finding that OXTR methylation predicts amygdala gray matter volume is consistent with our previous research demonstrating that low plasma oxytocin was associated with increased gray matter in the right central amygdala (Lancaster et al., 2018). Here, we have replicated this structural association using OXTR methylation: participants with high OXTR methylation—which is thought to reflect a dampening or reduced efficiency of the endogenous oxytocin system (Kusui et al., 2001)—is associated with increased gray matter in the right central amygdala. This conceptual replication, and the regional specificity of the findings to the central nucleus, reinforces the importance of central amygdala structure in the relationship between oxytocin and negative emotionality. Furthermore, the current findings are generally aligned with our previous work on OXTR methylation and amygdala BOLD response (Puglia et al., 2015). Increased activity within the amygdala (which is likely to contribute to increased gray matter density through dendritic spino genesis, Keifer et al., 2015; Mitra et al., 2005, and synaptogenesis, Kassem et al., 2013) is a marker of anxious temperament in humans (Schwartz et al., 2003) and non-human primates (Fox et al., 2008; Oler et al., 2010); we have previously shown that participants with high levels of OXTR methylation show increased amygdalar activity to negative stimuli (Puglia et al., 2015). Together, these findings illustrate the synergy of multiple bodily systems (autonomic, oxytocinergic, limbic structure and function) substractive of an anxiety phenotype.

Interestingly, in our sample, OXTR methylation was associated only with the parasympathetic branch of the autonomic nervous system and only at rest. This may indicate that OXTR methylation is not associated with stress-elicited vagal withdrawal but rather with tonic vagal output under baseline conditions. Tonic parasympathetic cardiac control is regulated by vagal preganglionic neurons projecting from the solitary-vagal complex (Machhada et al., 2015), which is innervated by the central nucleus of the amygdala (Saha et al., 2000), a pathway that is modulated by oxytocin (Higa et al., 2002; Viviani et al., 2011). While previous work has shown an oxytocin peptide-mediated increase in vagal outflow under blood pressure challenges (Higa et al., 2002), our results suggest that epigenetic modification of OXTR is more relevant for baseline/tonic vagal efferent function. Forges (1992) and Forges et al. (1996) have argued that fast-acting vagal withdrawal during stress (the ‘vagal brake’) is evolutionarily adaptive for responding to dynamic threats, enabling the fight or flight response. If vagal withdrawal were epigenetically regulated by the availability of oxytocin receptors, this would limit the ability of the autonomic nervous system to quickly and flexibly alter physiological responses during stress. Instead, our findings suggest that epigenetic influence over the oxytocin system contributes to autonomic function in a manner that is more tonic than dynamic; this is supported by our finding that this relationship is mediated by neural structural differences, which are not transiently occurring.
Future research will be needed to better interpret our findings. For one, we examined putative anxiety endophenotypes (based on prior literature), but we did not link these directly to self-reported anxiety in the current study, nor did we explore the influence of covariates beyond sex and age. Using a more comprehensively phenotyped sample could clarify, for instance, how HF-HRV is linked to anxiogenesis through deficiencies in emotion regulation, as has been previously reported (Thayer and Lane, 2000; Williams et al., 2015). The availability of additional behavioral data could also rule out potential confounds, such as the influence of alcohol consumption on physiology (Quintana et al., 2013) and, thus, should be a goal for subsequent research. Another factor which limits the interpretation of our findings is the current knowledge gap concerning how epigenetic modifications of OXTR reflect functional changes in oxytocin system structure and function in humans. Methylation of the region we assayed on OXTR is known to downregulate transcription of the gene (Kusui et al., 2001; Gregory et al., 2009), but it is unclear whether methylation and resultant changes in expression of OXTRs are distributed across all oxytocin-relevant tissues (Lancaster et al., 2018). There is some evidence (Dadds et al., 2014) that methylation levels are inversely associated with plasma oxytocin levels—potentially implicating a widespread impact on the oxytocin system—but more recent findings suggest this association might be modulated by sex and psychiatric conditions (Rubin et al., 2015). Our understanding of how epigenetic influences on the oxytocin system contribute to anxiogenesis will benefit from future work that addresses these issues.

Conclusion
In this study, we have provided the first evidence that OXTR methylation is associated with tonic parasympathetic function and that this association is mediated by gray matter differences within the central amygdala. These findings highlight the importance of epigenetic regulation of the oxytocin system in anxiety and fear-related processes and suggest a physiological mechanism through which it may operate in humans.

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