Primary care for patients with respiratory tract infection before and early on in the COVID-19 pandemic: an observational study in 16 European countries

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ABSTRACT

Objective To describe primary health care (consultation characteristics and management) for patients contacting their general practitioner (GP) with a respiratory tract infection (RTI) early on in the COVID-19 pandemic in contrasting European countries, with comparison to prepandemic findings.

Setting Primary care in 16 countries (79 practices), when no routine SARS-CoV-2 testing was generally available.

Design and participants Before (n=4376) and early in the pandemic (n=3301), patients with RTI symptoms were registered in this prospective audit study.

Outcome measures Consultation characteristics (type of contact and use of PPE) and management characteristics (clinical assessments, diagnostic testing, prescribing, advice and referral) were registered. Differences in these characteristics between countries and between pandemic and prepandemic care are described.

Results Care for patients with RTIs rapidly switched to telephone/video consultations (10% in Armenia, 91% in Denmark), and when consultations were face-to-face, GPs used PPE during 97% (95% CI 96% to 98%) of contacts. Laboratory testing for SARS-CoV-2 in primary care patients with RTIs was rapidly implemented in Denmark (59%) and Germany (31%), while overall testing for C reactive protein decreased. The proportion of patients prescribed antibiotics varied considerably between countries (3% in Belgium, 48% in UK) and was lower during the pandemic compared with the months before, except for Greece, Poland and UK. GPs provided frequent and varied COVID-related advice and more frequently scheduled a follow-up contact (50%, 95% CI 48% to 52%). GPs reported a slightly higher degree of confidence in the likely effectiveness of their management in face-to-face (73% [very] confident, 95% CI 71% to 76%) than in virtual consultations (69%, 95% CI 67% to 71%).

Conclusions Despite between-country variation in consultation characteristics, access to SARS-CoV-2 laboratory testing and medication prescribing, GPs reported a high degree of confidence in managing their patients with RTIs in the emerging pandemic. Insight in the highly variable pandemic responses, as measured in this multicountry audit, can aid in fine-tuning national action and in coordinating a pan-European response during future pandemic threats.

INTRODUCTION

The COVID-19 pandemic has brought dramatic changes in the delivery of primary healthcare, especially for patients with respiratory tract infections.1–4 There was an urgent imperative to minimise risk of transmission of viral infections by reducing face-to-face consultations and to identify, monitor and treat those patients developing an adverse illness course.2 3 5 However, the management of patients with respiratory tract infections (RTIs), and especially those suspected of having COVID-19, was impeded by the lack of...
evidence regarding diagnosis and treatment of COVID-19. Furthermore, poor availability of personal protective equipment (PPE) and laboratory testing capacity for SARS-CoV-2 and differences in governmental policies for containing the pandemic hampered a consistent and uniform management of suspected COVID-19 in primary care across Europe. Therefore, care provision and workflows had to be responsive to many rapidly changing circumstances.

Research during the initial phase of the pandemic was largely secondary care focused, whereas most patients were managed in the community. Primary care has an essential role in any emergency response, as being the first point of call for patients and the first line of defence for the healthcare system. Therefore, containing the pandemic hampered a consistent and effective care will remain a critical task for European primary care.

The provision of primary care, as well as integration in national healthcare systems, varies enormously between European countries. Benchmarking primary care provision in Europe in the initial phase of the pandemic, especially for patients with RTIs, may help in understanding problems that were encountered, provide insight in variation of care and inform future responses, thus potentially harmonising and improving outpatient care in Europe during future pandemic threats.

Therefore, our objective was to describe consultation characteristics (virtual or face-to-face contact and general practitioner’s (GP) use of PPE) and management (clinical assessments, diagnostic testing, medication prescribing and provided advice) of patients presenting with RTI symptoms early on in the COVID-19 pandemic in 16 European countries. Second, we aimed to compare these characteristics between countries and with findings from a similar audit conducted just before the pandemic.

METHODS

We performed a prospective point prevalence audit survey (PPAS) of consultation and management characteristics of patients with RTIs in contrasting EU and non-EU European countries (observational exploratory study). It initially ran January and February 2020 (PPAS1) but was reinitiated in all participating countries at the beginning of the initial European COVID-19 wave (March 2020) to capture changes in healthcare delivery, and continued in April and May 2020 (PPAS2). GPs anonymously registered patients who contacted their practice about RTI symptoms. The audit aimed to describe overall patient care. As no personally identifiable information was collected, patients were not asked to provide informed consent.

Setting

We included purposively selected practices from primary care networks in high-income, upper-middle-income and lower-middle-income countries: Armenia (n=1), large paediatric primary care clinic), Belgium (n=6), Germany (n=2), Denmark (n=4), Spain (n=5), France (n=10), Georgia (n=5), Greece (n=6), Hungary (n=5), Ireland (n=5), Moldova (n=4), the Netherlands (n=8), Poland (n=6), Romania (n=4), Ukraine (n=4) and UK (n=4). The same GPs (in the same practices and with the same patient populations) participated in both phases. National networks were asked to register consultations until they reached the targets of 200–300 in PPAS1 and approximately 200 in PPAS2. Specific focus was on sequentially registering all eligible patients to prevent selection bias. GPs were reimbursed €5–€10 per registered consultation.

Patient and public involvement

This study was set up rapidly, and due to the timelines involved, it would have been difficult to involve patients and the public. The set-up of the registration form was discussed among researchers from most participating countries.

Eligibility criteria

In PPAS1, GPs were asked to sequentially register consulting patients of all ages with either sore throat (symptom duration<14 days) and/or cough (symptom duration<28 days) and to exclude patients with only nasal or ear symptoms. In PPAS2, early on in the pandemic, additionally, patients with coryza and otherwise suspected of having COVID-19 were eligible for registration. Otherwise suspected of COVID-19 refers to patients without signs of an RTI (yet), for whom the GP suspected COVID-19. The case report form (CRF) was used in PPAS2 is shown in online supplemental appendix 1.

Data

Data were entered directly into an online data capture system, Research Online, or could be entered later from paper forms. PPAS1 focused on GPs’ management (clinical assessments, diagnostic testing, medication prescribing, advice provided and referral). PPAS2 also included these management variables and was extended with COVID-19-specific items, including use of PPE and additional patient and family advice (CRF in online supplemental appendix 1). Furthermore, GPs rated their level of confidence in the validity of the advice and/or treatment they provided during each contact using a 5-point Likert scale.

Analyses

To enable comparison between the two audits, registrations from patients with sore throat and/or cough symptoms were selected for the analysis, as these were common to both audits. Additionally, patients with suspected SARS-CoV-2 aetiology (CRF item 10.1) and/or an initial diagnosis of COVID-19 (item 11), but without registered sore throat and/or cough were included (this group in PPAS2 comprised 144 patients, 4.7%). Frequency data with 95% CIs (/epitools.ausvet.com.au/ciproportion, Wilson method) were calculated for accumulated data of both PPAS phases, as well as for PPAS2 data in figure 1.
and online supplemental appendices. Missing data, less than 1% per variable, were not corrected for.

Various exploratory comparisons were done:
1. Consultation and management variables from PPAS2 were calculated by country (presented in the online supplemental appendices) enabling between-country comparisons. Notable between-country differences were identified by the core team and discussed with the national network leads and GPs to better understand these differences and as a face validity check.
2. Within PPAS2, management variables were compared between patients consulting face-to-face or virtually.
3. Within PPAS2, management variables were analysed separately for patients suspected of having COVID-19 (suspected aetiology SARS-CoV-2 and/or working diagnosis COVID-19).
4. Where possible data were compared between the two PPAS phases.

RESULTS
In PPAS1, 4376 consultations were registered (221–381 per country). From 3301 consultations in PPAS2, 3063 (114–238 per country) captured management of patients with sore throat, cough and/or suspected of having COVID-19; the remaining were from patients with only coryza or allergic symptoms. The proportion of patients suspected of having COVID-19 varied markedly between countries, overall 43% (95% CI 41% to 44%), ranging from 4% in Georgia to 84% in Spain (Table 1). Consultations for RTI symptoms
Early on in the pandemic, many countries rapidly transformed from face-to-face to virtual consultations (Table 2). Overall, over 50% of consultations were by telephone and 8% by video connection. In Belgium, Denmark, Ireland, Moldova, Netherlands, Romania and UK, over 70% of consultations were by telephone/video (online supplemental appendix 2). Possible reasons for a comparatively higher proportion of face-to-face consultations in Armenia and Georgia (non-overlapping 95% CIs with many other countries) are described in online supplemental appendix 3. In 97% of face-to-face contacts, GPs reported using PPE, most often face/nose/mouth protection (96%), and also gloves (67%), safety glasses (57%) and/or an apron (52%). There was marked variation between countries with lower use in Denmark (46% face/nose/mouth protection and gloves, no apron and safety glasses), France (21% gloves) and Germany (<2% apron, safety glasses and gloves; online supplemental appendix 2; note the non-overlapping 95% CIs). Possible reported reasons were that use of PPE was up to the discretion of GPs (Denmark) and that strict hand hygiene was initially recommended instead of using gloves (France, online supplemental appendix 3). Early on in the pandemic, oxygen saturation was measured more often (68%, 95% CI 66% to 71%) compared with the prepandemic situation (47%, 95% CI 46% to 49%). Some countries fed back that oximetry is not part of their guidelines and that very few practices had this device. In both audit phases, a similar proportion of 28% of patients underwent additional diagnostic testing, with laboratory SARS-CoV-2 testing (14%) and more chest X-rays (8.9%) requested early on in the pandemic, but fewer CRP (6.6%) and white blood cell testing (7.4%). In Belgium, Ireland and Netherlands, fewer than 10% of patients underwent diagnostic testing, while in Denmark and Moldova, tests were done or ordered for over 60% of patients (non-overlapping 95% CIs), largely due to the high proportion being tested for SARS-CoV-2. Chest X-rays were done in over 20% of patients in Greece and Spain, and none in Netherlands, Belgium, Germany and Ireland (non-overlapping 95% CIs, online supplemental appendix 2).

Prescribed medication
Antibiotics were prescribed to a substantially lower proportion of patients early on in the pandemic (17.6%,
95% CI 16.3% to 19%) as compared with before (31.6%, 95% CI 30.2% to 33%), and to an even lower proportion of patients when COVID-19 was suspected (9.3%, 95% CI 7.8% to 11%; figure 1). However, GPs in Greece, Poland, Romania and UK continued to prescribe antibiotics in similar proportions of patients as before the pandemic. Reported reasons include that in Romania, GPs were inclined to advise patients to complete their over-the-counter-purchased antibiotic treatment, and for the UK that antibiotics were considered beneficial for COVID-19 in the first weeks of the pandemic (online supplemental appendix 3). Antibiotic prescribing was also analysed separately for face-to-face and phone/video consultations, showing higher prescribing proportions during face-to-face consultations (table 3), which was the case in most countries (online supplemental appendix 4). Proportions of patients receiving antivirals, mainly in Georgia, Greece, Moldova and Romania, decreased early on in the pandemic, overall from 4.1% (95% CI 3.5% to 4.7%) to 1.4% (95% CI 1% to 1.9%), also when COVID-19 was suspected (figure 1). Finally, prescription of inhaled medication decreased from 14.9% (95% CI

### Table 1  Participating countries with numbers of registered patients in the prepandemic phase (PPAS1) and early on in the pandemic (PPAS2), with the % male, mean age and numbers of patients suspected of having COVID-19 in PPAS2

| Country         | PPAS1 | PPAS2 | % Male | Age (mean) | Patients suspected of having COVID-19 |
|-----------------|-------|-------|--------|------------|--------------------------------------|
| All countries   | 4376  | 3063  | 43.7   | 39         | 1304 (42.6%, 41% to 44%)              |
| Armenia         | 337   | 170   | 51.7   | 6          | 114 (67.1%, 60% to 74%)               |
| Belgium         | 288   | 216   | 42.7   | 39         | 146 (67.6%, 61% to 73%)               |
| Germany         | 221   | 189   | 43.2   | 42         | 34 (18.0%, 13% to 24%)                |
| Denmark         | 381   | 152   | 39.8   | 38         | 110 (72.4%, 65% to 79%)               |
| Spain           | 286   | 223   | 34.5   | 47         | 188 (84.3%, 79% to 88%)               |
| France          | 259   | 155   | 49.4   | 46         | 94 (60.6%, 53% to 68%)                |
| Georgia         | 234   | 222   | 40     | 35         | 9 (4.1%, 2% to 8%)                    |
| Greece          | 232   | 221   | 45.4   | 48         | 83 (37.6%, 31% to 44%)                |
| Hungary         | 284   | 138   | 50.7   | 46         | 18 (13.0%, 8% to 20%)                 |
| Ireland         | 281   | 217   | 42.5   | 40         | 84 (38.7%, 32% to 45%)                |
| Moldova         | 240   | 238   | 45.2   | 40         | 140 (58.8%, 52% to 65%)               |
| The Netherlands | 312   | 230   | 42.1   | 51         | 135 (58.7%, 52% to 65%)               |
| Poland          | 241   | 230   | 48.3   | 32         | 13 (5.7%, 3% to 9%)                   |
| Romania         | 238   | 114   | 48     | 27         | 8 (7.0%, 4% to 13%)                   |
| Ukraine         | 241   | 228   | 42.4   | 38         | 68 (29.8%, 24% to 36%)                |
| UK              | 301   | 120   | 38.6   | 42         | 60 (50.0%, 41% to 59%)                |

Absolute numbers and percentages with 95% CIs between brackets.

### Table 2  Consultation characteristics, use of PPE and measurements during face-to-face consultations, and diagnostic testing and referral for all contacts

| Consultation at/via | Use of PPE (F2F) | Measurements (F2F) | Diagnostic testing (all) | Referral (all) |
|---------------------|------------------|--------------------|--------------------------|---------------|
| Practice            |                  |                    |                          |               |
| Telephone           | 50.9%            | Apron/body         |                          |               |
|                     | (49% to 53%)     |                    |                          |               |
| Video/Skype         | 8.3%             | Face/nose/mouth    |                          |               |
|                     | (7% to 9%)       |                    |                          |               |
| Home                | 2.5%             | Safety glasses     |                          |               |
|                     | (2% to 3%)       |                    |                          |               |
|                     |                  | 57.0%              |                          | WBC           |
|                     |                  | (54% to 60%)       |                          | (7% to 8%)    |
|                     |                  |                    |                          | *             |
| Gloves              | 67.1%            |                    |                          | X-ray         |
|                     | (64% to 70%)     |                    |                          | 8.9%          |
|                     |                  |                    |                          | (8% to 10%)   |

Mean percentages with 95% CIs are shown.
Data for individual countries are shown in online supplemental appendix 2.
*Patients suspected of having COVID-19.
CRP, C reactive protein; F2F, face to face; PPE, personal protective equipment; RR, respiratory rate; WBC, white blood cell count.
Referral

Early on in the pandemic, a higher proportion of patients were referred to hospital, overall in 8% (95% CI 7% to 9%) of contacts (table 2), as compared with 3% (95% CI 2.5% to 3.5%) before the pandemic. Low referral rates in Hungary and Ireland (non-overlapping 95% CIs with many other countries) were reported to be caused by the vast majority of patients presenting with mild symptoms (online supplemental appendices 2 and 3). A higher proportion of patients were referred after a face-to-face contact (10%) than after a virtual consultation (6.8%, non-overlapping 95% CIs; table 3). Overall, 15% (95% CI 13% to 17%) of patients suspected of having COVID-19 were referred for hospital assessment and of referrals in the pandemic, 78% had a working diagnosis of COVID-19. Online supplemental appendix 4 shows hospital referral by country, split for face-to-face and virtual consultations.

Advice for patients

GPs reported providing various types of advice to their patients, about symptomatic treatment (76% of contacts), preventive measures (64%), like extra handwashing and social distancing, and advice for home isolation (67%, table 4). The latter was higher when COVID-19 was suspected (83% of contacts, non-overlapping 95% CIs). About half of the patients also received advice for their family members, which was 70% when COVID-19 was
patients with RTI without knowing whether the aetiology was SARS-CoV-2, or another pathogen. Routine diagnostic testing was not yet implemented and diagnosis was based on clinical view. Primary care dramatically reduced face-to-face contacts and increased consultations by telephone and video. Antibiotic prescribing reduced substantially, but marked differences between countries remained. Other important management issues, such as use of PPE, oxygen saturation and respiratory rate measurements, and diagnostic testing, also varied considerably between countries. Compared with pre-pandemic care, a higher proportion of patients were referred to secondary care, which probably reflected national guidance to refer patients suspected of having COVID-19 with more severe symptoms to hospital. Proportionally more patients who remained under community care had a follow-up contact scheduled. Despite many uncertainties, lack of guidelines, unprecedented restructuring of, and large between-country differences in care provision, GPs generally reported high confidence in managing their patients with RTI symptoms during the hectic pace of the emerging pandemic.

In contextualising these findings, the timing of the audit—registrations were mainly from the first 2 months of the pandemic—is of relevance. In that phase, estimates of SARS-CoV-2 incidence among patients consulting in primary care with acute RTI-type symptoms were unknown, as rapid (mass) testing was not yet implemented for this patient group. This was shown by the low frequency of laboratory-based testing for SARS-CoV-2; only Denmark and Germany used lab testing for a substantial proportion of symptomatic primary care patients. Therefore, at the point of care, no confirmed COVID-19 diagnosis could usually be made. Moreover, COVID-19 was a new disease and no evidence-based treatment guidance was available, and advice by public health and professional organisations regarding diagnosis, risk-factors, treatment options and referral rapidly changed and was affected by various government measures. In some countries, a COVID-19 diagnosis was based on specific symptoms, such as loss of taste and/or smell, or having had contact with a proven positive case. In other countries, all patients presenting with RTI symptoms were considered as ‘COVID-19-suspected’. This is reflected by the high between-country variation in the proportion of patients suspected of having COVID-19 (4%–84%). This variation cannot be explained by differences in disease incidences and looking back COVID-19 incidences were overestimated. All data that we captured, therefore, should be considered in the context of uncertain and rapidly changing circumstances, and cannot be related to retrospectively known variables like incidence rates and prevalence for that period.

Confidence of GPs in the benefit of their treatment and advice
GPs’ confidence in their patient management (‘how confident are you that your advice and/or treatment will benefit this patient’) was rated only slightly higher for face-to-face consultations (GPs (very) confident in 73% (95% CI 71% to 76%) of contacts) than for virtual consultations (GPs (very) confident in 69% (95% CI 67% to 71%) of contacts, table 3). GPs reported low confidence in over 10% of contacts in Belgium and Denmark, and specifically for virtual consultations in Armenia (online supplemental appendix 4).

DISCUSSION
Key findings
Primary care has been shown to be highly adaptable in meeting the demands of providing patient care under challenging pandemic circumstances. GPs had to manage patients with RTI without knowing whether the aetiology was SARS-CoV-2, or another pathogen. Routine diagnostic testing was not yet implemented and diagnosis was based on clinical view. Primary care dramatically reduced face-to-face contacts and increased consultations by telephone and video. Antibiotic prescribing reduced substantially, but marked differences between countries remained. Other important management issues, such as use of PPE, oxygen saturation and respiratory rate measurements, and diagnostic testing, also varied considerably between countries. Compared with pre-pandemic care, a higher proportion of patients were referred to secondary care, which probably reflected national guidance to refer patients suspected of having COVID-19 with more severe symptoms to hospital. Proportionally more patients who remained under community care had a follow-up contact scheduled. Despite many uncertainties, lack of guidelines, unprecedented restructuring of, and large between-country differences in care provision, GPs generally reported high confidence in managing their patients with RTI symptoms during the hectic pace of the emerging pandemic.

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Strengths and weaknesses
The main strength was the up and running PPAS infrastructure in 16 European countries. This allowed for (1) the rapid initiation early on in the COVID-19 pandemic when structural testing was not implemented yet, (2) prospective data collection using a standardised instrument, and (3) exploratory comparisons between countries and with pre-pandemic data. Thereby, we have been able to capture the transition of European primary healthcare in a phase when no rapid (mass) testing for SARS-CoV-2 was implemented, and GPs had to base their treatment and advice on clinical view, and rapidly changing overall and epidemiological information. Findings can be helpful for countries in reflecting on this transition phase and in planning how to better respond to pandemic threats in the future. This study has several limitations too. First, patient registration took place in 1 to 10 primary care practices per country, and therefore may not accurately reflect overall practice at a country level. Second, although all countries started registering early in the first pandemic wave, some reached their target earlier than others, and during the registration period, countries implemented measures and restrictions at different times. Such contextual influences and perceived incidences will have accounted for some of the between-country differences. Lastly, we did not prospectively collect data about possible reasons underlying reported practice. Therefore, we asked the network leads and they in turn their registering GPs for possible explanations for findings. This retrospective reflection may have been influenced by personal experiences and was not analysed using formal qualitative study methods.
Comparison with other studies

Literature on the impact of the pandemic on primary care is emerging. It has been described how national primary care systems have adapted and performed to meet the COVID-19-induced challenges, starting with the transition to phone/video consultations.1–4,6–10 These general descriptions have, however, not been presented as between-country comparisons thus far, and they have not been based on prospectively collected data. Some country comparisons of responses of primary care opinion leaders have been published. A benchmarking study with primary care leaders in 111 countries highlighted that all nations have room to improve.11,12 A qualitative opinion exercise with 29 countries learnt that primary care continued as the first point of contact to the health system and rapidly separated RTI from other care, but was poorly informed and ill equipped to provide care while protecting staff and patients.2 Similar findings resulted from a survey among GPs in the UK, specifically mentioning technical difficulties, financial issues and inadequate provision of PPE.9,12 A commentary on the primary care response in six well-resourced countries highlighted areas where COVID-19 has directly spurred progress (telemedicine and collaboration with public health), as well as exposed latent weaknesses (non-COVID and chronic conditions management),13 which was reinforced by interview studies with Flemish GPs.14 Such opinions might be supported and/or compared with data from the PPAS2. For example, our study reported abundant use of PPE and when not used, other reasons than initial shortage, or costs were mentioned.

Several studies stress the important advisory role of GPs in disseminating fit-for-purpose information and reinforcing critical public health messages.2,5,5 Our study has shown that GPs, even in the face of pressures from the emerging COVID-19 pandemic, took informing their patients very seriously and provided a lot of tailored advice. With respect to advice provision, we had to rely on the GPs’ registration accuracy, as the study design did not allow us to capture this information from patients.

It has been posed that virtual consultations might limit diagnostic capabilities and lead to more empiric and over-prescribing of antibiotics.15,16 In our study, antibiotic prescribing rates reduced in the majority of countries during the pandemic. Total antibiotic prescribing is, however, not only influenced by the proportions of those who consulted and were prescribed antibiotics but also by the numbers of RTI patients that presented to primary care. Presentations might have increased because of wanting to be assessed for possible COVID-19 and COVID-related anxiety. On the other hand, presentations might have reduced because of a lower incidence of RTI due to social distancing, school closure and the advice to avoid attending healthcare facilities.

Consequences of the pandemic on presentation and antibiotic prescribing for infectious diseases have recently been reported for the Netherlands.17

Implications for clinicians and policy makers

Our study has shown that European primary care practices reinvented themselves early on in the COVID-19 pandemic by switching to virtual consultations, using PPE, increasing oxygen saturation measurements, and implementing lab-testing for SARS-CoV-2. GPs rapidly changed care provision for patients with RTI symptoms, and despite many uncertainties reported high confidence about the likely effectiveness of the treatment and/or advice they gave to their patients. Despite initial perceiving about potential benefit from macrolide antibiotics for patients with COVID-19,18 GPs generally did not prescribe antibiotics for those patients. Multicountry, real-time audits, such as our PPAS, could serve as a stimulus for adjusting management strategies during next COVID-19 or new pandemic waves, and thereby aid in coordinating a unified, pan-European pandemic response.

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Data availability statement Data are available upon reasonable request. Additional to the items reported here, outcomes for all items in the Case Report Form (online supplemental appendix 1) are available for all countries, or per individual country, upon request, explaining research question and methods, from the first author (AWvdV) who will seek agreement from the core research team.

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