Zero temperature Dephasing and the Friedel Sum Rule

Bernd Rosenow\textsuperscript{1} and Yuval Gefen\textsuperscript{2}

\textsuperscript{1}Institut für Theoretische Physik, Universität Leipzig, D-04103, Leipzig, Germany
\textsuperscript{2}Department of Condensed Matter Physics, The Weizmann Institute of Science, Rehovot 76100, Israel.
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Electronic interferometry has been invaluable in studying fundamental quantum mechanical phenomena such as the Aharonov-Bohm effect, two-particle Hanbury-Brown and Twiss correlations, and fractional statistics of Abelian and non-Abelian anyons. The visibility of the interferometer’s signal is suppressed when the trajectory of the interfering particle is measured [1]. This is akin to “dephasing” of the system at hand. The physics of such “which path” measurement has been observed experimentally [2] and discussed theoretically [3–5]. Standard “which path” measurement gives rise to interference visibility degradation (dephasing). Here we consider a detector at equilibrium. At finite temperature dephasing is caused by thermal fluctuations of the detector. More interestingly, in the zero temperature limit, equilibrium quantum fluctuations of the detector give rise to dephasing of the out-of-equilibrium interferometer. This dephasing is a manifestation of an orthogonality catastrophe which differs qualitatively from Anderson’s. Its magnitude is directly related to the Friedel sum rule.

Detecting the passage of an interfering particle through one of the interferometer’s arms, known as “which path” measurement, gives rise to interference visibility degradation (dephasing). Here we consider a detector at equilibrium. At finite temperature dephasing is caused by thermal fluctuations of the detector. More interestingly, in the zero temperature limit, equilibrium quantum fluctuations of the detector give rise to dephasing of the out-of-equilibrium interferometer. This dephasing is a manifestation of an orthogonality catastrophe which differs qualitatively from Anderson’s. Its magnitude is directly related to the Friedel sum rule.

Model: we consider the following Hamiltonian

\begin{equation}
H_0 = v \sum_{\alpha=L,R} c_{\alpha R,k}^\dagger c_{\alpha R,k} (k-k_F) - c_{\alpha L,k}^\dagger c_{\alpha L,k} (k+k_F) + \epsilon_0 d^\dagger d
\end{equation}

\begin{equation}
H_{\text{tunnel}} = \sum_k \left( \gamma_{2L,k} c_{2L,k}^\dagger + \gamma_{2R,k} c_{2R,k}^\dagger \right) d + \text{h.c.}
\end{equation}

\begin{equation}
H_{\text{imp-edge}} = \int_{-D/2}^{D/2} dr \left( \rho_{1R}(r) V_R(r) + \rho_{1L}(r) V_L(r) \right) d^\dagger d.
\end{equation}

Here, \(c_{\alpha R/L,k}^\dagger\) creates a left/right moving electron with momentum \(k\) on the edge state \(\alpha\), where \(\alpha = 1\) denotes the outer edge state being part of the Mach-Zehnder interferometer, and \(\alpha = 2\) denotes the inner edge state which is coupled to the localized state inside the quantum dot. \(V_R/L(r)\) describes the electrostatic interaction between the dot electron and right/left moving electrons on the outer edge over the interval \([-D/2, D/2]\), and \(\rho_{1R/L}(r)\) are the respective densities. The density operator is normalized such that the equilibrium density \(\rho_0\) is subtracted and that the expectation value with respect to \(H_0\) vanishes, \(\langle \hat{\rho} \rangle = 0\). \(d^\dagger\) creates an electron on the localized state.

Coulomb phase: when the localized state is occupied with \(\langle d^\dagger d \rangle = 1\), there is a density change

\begin{equation}
\langle \rho_{R/L}(r) \rangle = -\frac{1}{2\pi v \hbar} V_{R/L}(r)
\end{equation}

on the edges. Using standard bosonization, the electron operator on the right moving edge can be expressed as the exponential \(\psi_{R}(r) = \exp[i\varphi_{R}(r)]\) with \(\varphi_{R}(r) = -\frac{1}{2\pi v \hbar} V_{R}(r)\).
To Coulomb coupling as sign of the phase change as observed when embedding the electrons contribute equally to the screening, then giving rise to a phase shift $\delta$. If screening by the two outer edge channels is symmetric, then $\delta = \pi$ and the interference visibility is reduced to zero when the localized level is half filled.

$$\frac{1}{2\pi} \partial_t \varphi_R(r).$$ The electron Green function on the right moving lower edge (which is part of the MZ interferometer) can be expressed as

$$g_R(x,t) = \langle e^{i\varphi_R(x,t)-i\varphi_R(0,0)} \rangle = e^{2\pi i \int_{-D/2}^{D/2} dy \langle \rho_R(y) \rangle} \langle e^{i\delta \varphi(x,t)-i\varphi(0,0)} \rangle \quad (3)$$

where $\delta \varphi(x) = \varphi(x) - 2\pi \int_x^x dy \langle \dot{\rho}(y) \rangle$. If the charge on the impurity is fully screened by the two outer edge states (as is the case for a long-range Coulomb interaction and in the absence of other screening gates besides the edge state), and if the right and left moving electrons contribute equally to the screening, then $\int_{-D/2}^{D/2} dy \langle \rho_R(y) \rangle = \frac{1}{2}$. In order to obtain the correct sign of the phase change as observed when embedding the chiral edge into an MZI [19], we define the phase shift due to Coulomb coupling as

$$\delta = \frac{1}{\hbar} \int_{-D/2}^{D/2} dy V_R(y) \quad (4)$$

For the case of a symmetric Coulomb coupling of the localized state to two chiral edges as in Fig. [1] one finds $\delta = \pi$.

**Dephasing by statistical averaging:** the coupling between the localized state and the inner edge modes gives a width $\Gamma$ to the localized state. At a finite temperature $T$ larger than the width $\Gamma$ of the QD resonance, the occupancy of the localized level fluctuates thermally with an occupation probability determined by the Fermi distribution. In the regime of linear response $eV_{sd} \ll \Gamma \ll k_B T$, the transmission phase of the right moving outer edge channel depends on the occupancy of the dot. When thermally averaging over the occupancy of the localized state, we find

$$\langle e^{i\delta d^\dagger d} \rangle = \frac{e^{i\delta}}{e^{(\epsilon_0-\mu)/T} + 1} + \frac{1}{e^{-(\epsilon_0-\mu)/T} + 1}. \quad (5)$$

In a fully symmetric dot where each of the outer edge modes does half the screening, we have $e^{i\delta} = -1$ and $\langle e^{i\delta d^\dagger d} \rangle = \tanh((\epsilon_0-\mu)/T)$. This implies a phase lapse of $\pi$ at the degeneracy point $\mu = \epsilon_0$, and a visibility of the interference $\nu \propto |\tanh((\epsilon_0-\mu)/T)|$. The full width at half maximum of the dip in the visibility is given by $\approx 2.197 \ k_B T$.

**Dephasing by entanglement:** what happens to the visibility of the interference signal when the temperature is much smaller than the width $\Gamma$ of the localized state? More specifically, we will consider the limit where $k_B T \ll \Gamma \ll eV_{sd} \ll \mu - B$, where $B$ denotes the bottom of the band. In this regime, it is useful to discuss the change an interfering electron on the outer edge makes to its environment, which consists of the localized state coupled to the inner edge. This point of view is equivalent to our discussion of the phase shift $\delta$ of the interfering electron, which is caused by the environment [1]. More precisely, we describe the electronic wave function after passage through the interferometer by

$$|u\rangle \otimes |\chi_u\rangle + e^{i\delta} |d\rangle \otimes |\chi_d\rangle. \quad (6)$$

Here, $|u\rangle$ and $|d\rangle$ denote the partial waves moving through the upper and lower arm of the MZ interferometer, respectively. $|\chi_u\rangle$ and $|\chi_d\rangle$ denote the respective states of the environment, and the Aharonov-Bohm phase $\phi$ is ascribed to the lower arm. As the interference term is the superposition between the partial waves traveling on the upper and lower path, it is reduced by the factor $|\langle \chi_u | \chi_d \rangle|$. Let us start by describing the state $|\chi_d\rangle$, where no interaction has taken place between the interfering electron and the system of inner edge mode and localized state. For simplicity, we consider a situation where the localized state couples to only one chiral edge mode, $\gamma_{2R,k} = \gamma_k$, $\gamma_{2L,k} = 0$, but there will only be changes in notation if there is coupling to two edge modes. We denote an eigenstate of the inner edge plus the localized state by

$$|\epsilon\rangle = N\left( \int_{-D/2}^{D/2} dx \varphi(x)|x\rangle + A(\epsilon)|d\rangle \right). \quad (7)$$

Here, $\epsilon$ is the energy of the state, $\varphi(x)$ the wave function along the edge, which suffers a phase shift $\delta_1$ due to the tunnel coupling to the localized state. As the component of the total wave function which describes the
partial wave traveling along the upper arm is the product of the electronic state \(|u\rangle\) and the environment state \(|\chi_u\rangle\), the Coulomb phase shift \(e^{i\phi}\) can be either assigned to the electronic wave function \(|u\rangle\), as we did in the last section on thermal dephasing, or to the wave function of the environment \(|\chi_u\rangle\) as we will do now. The wave function of the environment is a Slater determinant of single particle states \(|\epsilon\rangle\). However, in these single particle wave functions only the component which has weight on the localized state will be affected by the Coulomb interaction with the interfering electron, such that it gets transformed into

\[
|\epsilon_\delta\rangle = N\left(\int_{-L/2}^{+L/2} dx \varphi(x)|x\rangle + e^{i\delta}A(e)|d\rangle\right).
\] (8)

This can be expressed more formally by applying the operator \(e^{i\delta d' d}\) to the state \(|\epsilon\rangle\). When denoting an expectation value with respect to the ground state Slater determinant of the states \(|\epsilon\rangle\) by \(<...>\), the overlap between the two states of the environment can be expressed as

\[
<\chi_u|\chi_d> = \langle e^{i\delta d' d}\rangle .
\] (9)

Let us discuss the implications of this expression. If the chemical potential is either much below or much above the energy \(\epsilon_0\) of the localized level, the occupancy is either 0 or 1, and the number operator \(d^\dagger d\) in the exponent can be replaced by its expectation value, thus describing the absence or the presence of the Coulomb phase shift. However, when \(|\epsilon_0 - \mu| \approx \Gamma\) the occupancy of the localized level is not a good quantum number. As a consequence, the operator \(d^\dagger d\) is not only characterized by its expectation value, but also by all higher cumulants describing quantum fluctuations. It is the nonzero value of higher cumulants of \(d^\dagger d\) which reduces the expectation value in Eq. (9). In this sense, dephasing by the quantum dot is intimately related to the existence of quantum fluctuations. However, we will explain later that a change in the environment, where all \(|\epsilon\rangle\) are transformed into \(|\epsilon_\delta\rangle\), is only possible if an energy of the order \(\Gamma\) is transferred from the interfering electron to the environment, hence the physics we are discussing has nothing to do with dephasing by equilibrium zero point fluctuations.

In order to calculate the reduction of the interference visibility due to the Coulomb coupling between the interfering electron and the localized state, we need to calculate the matrix of wave function overlaps \(M_{n,n'} = \langle \epsilon_n, \delta_\gamma | \epsilon_{n'} \rangle\). After some algebra, we find

\[
M_{n,n'} = \delta_{n,n'} + N_{\epsilon_n} A^\dagger(\epsilon_n)N_{\epsilon_{n'}} A(\epsilon_{n'}) \left(e^{i\delta} - 1\right),
\] (10)

where for local tunneling between the inner edge and the localized state \(\gamma_k \equiv \gamma\)

\[
A(\epsilon) = \frac{\gamma}{\epsilon - \epsilon_0 + i\Gamma} \quad \text{with} \quad \Gamma = \frac{|\gamma|^2}{2\hbar v}.
\] (11)

Following the calculation in [14], the overlap \(\langle \chi_u | \chi_d > = \det |M_{n,n'}|\). We calculate the determinant by diagonalizing the matrix \(M_{n,n'}\). This can be achieved by realizing that it has the structure \(\delta_{n,n'} + \alpha u_n u_{n'}^\dagger\). This type of matrix has one eigenvector with elements \(u_n\) and eigenvalue \(1 + \alpha \sum_n u_{n'}^* u_n\), and a degenerate eigenspace which is orthogonal to the vector \(|u_n\rangle\) and has the eigenvalue 1. For this reason, the determinant is given by the eigenvalue not equal to one. Applying this method to the matrix \(M_{n,n'}\), we find

\[
|\langle \chi_u | \chi_d >= |1 + (e^{i\delta} - 1) \arctan \frac{(\mu - \epsilon_0)^2 \pi}{\Gamma} + \frac{\pi}{2}\rangle .
\] (12)

This reduction of the MZ interference visibility is the central result of our manuscript. Interestingly, we note that the overlap \(|\langle \chi_u | \chi_d >|\) does not scale with the size of the detector (i.e. the length of the inner edge), in sharp contrast to factors describing the Anderson orthogonality catastrophe. In the case of a symmetric coupling of the outer edge modes to the localized state, and assuming that the charge on the localized state is fully screened by the outer edge mode, one finds \(\delta = \pi\), and as a consequence a complete suppression of the interference visibility for \(\mu = \epsilon_0\). On the other hand, for the case of an empty or fully occupied localized state, the interference visibility is fully recovered.

![Graph](image.png)

FIG. 2: Visibility of the interference signal at zero temperature for different values \(\delta = \pi\) (full line), \(\delta = 3\pi/4\) (dashed line), and \(\delta = \pi/2\) (dotted line). Inset: finite temperature dephasing due to a statistical average over different charge states of the localized state.

Next, we discuss the condition \(eV_{sd} \geq \Gamma\) for the applicability of Eq. (12). We have argued that passage of an interfering electron transforms the Slater determinant of \(|\epsilon\rangle\) into a Slater determinant of \(|\epsilon_\delta\rangle\). We now calculate the energy difference between these two many body states. Using the states Eqs. (7), (8) together with the Hamiltonian Eq. (1), we find for the energy difference

\[
\Delta E = \frac{\Gamma}{\pi}(1 - \cos \delta) \ln \left(\frac{B^2 + \Gamma^2}{\mu^2 + \Gamma^2}\right).
\] (13)
As the environmental state $|\chi_d\rangle$ is by an amount $\Delta E$ higher in energy than the state $|\chi_a\rangle$, this energy difference must be provided by the interfering electron. As the interfering electron has an energy $eV_{sd}$ above the Fermi level, we arrive at the requirement $eV_{sd} \geq \Gamma$ for the interfering electron to be able to provide the energy for exciting its environment. Due to the fact that an energy transfer is involved in the reduction of MZ interference visibility, we argue that this type of dephasing can be described as back-action of the environment onto the interfering electron.

\[ \text{Friedel sum rule:} \text{ our results are intimately related to the Friedel sum rule.} \]

The Friedel sum rule states that upon the change of the charge in a spatially confined region in a Fermi sea by one, the sum of the scattering phases changes by $2\pi$, $\Delta N_{\text{confined}} = \frac{1}{2\pi} \Delta \text{Tr} \ln S(\mu)$, where $S$ is the scattering matrix. As an illustration, consider the setup of Fig. 3. In order to make contact with the sign convention of the Friedel sum rule, we refer here to a chiral edge embedded in a Fabry-Perot interferometer [19]. When the coupling between the chiral lead and the localized impurity state is electrostatic, the latter can be thought of as an external potential which is modified when the impurity state is occupied by an electron. This, in turn, gives rise to a screening cloud (image charge) on the chiral channel of charge $|e|$: by Friedel sum rule this amounts to a change in the transmission phase through the chiral channel of $-2\pi$.

We next consider the chiral lead and the localized impurity state being tunnel coupled (Fig. 3b). Now the impurity state is part of the system. A direct calculation of the scattering phase (which, for the present setup, is the transmission phase) yields $\tan \frac{\delta}{2} = \frac{1}{2} \frac{\Delta \epsilon}{\epsilon}$. Filling up the state (i.e., varying $\epsilon$ from $-\infty$ to $+\infty$) results in a total phase change of $+2\pi$, in agreement with the Friedel sum rule. Interestingly, when both tunneling and electrostatic coupling are present (Fig. 3b), the two contributions discussed above cancel each other. This again is in agreement with the Friedel sum rule, as filling up the localized state will induce an opposite sign screening cloud, amounting to a redistribution of charge (with the net total charge unchanged) [18].

Now we discuss the more realistic situation in which we have a second chiral. That will correspond to Fig. 3c with the provision that the screening cloud of the localized state is now shared with another chiral channel, not shown. If the Coulomb coupling between the localized state and the two chirals is symmetric, the Coulomb phase shift is $-\pi$ for each of them. We note that the sign of this phase shift is opposite to that in the convention Eq. (1), see [19]. If the coupling to the two chirals is not symmetric, we do not have full dephasing. In the setup Fig. 1 the Coulomb coupling of the localized state is to the outer edge modes, while the localized state is tunnel coupled to the inner edge modes. However, when considering a $4 \times 4$ scattering matrix, the sum of changes in scattering phases is again zero as discussed above. While the assumption that all the screening of the localized state is done by the outer edge mode is realistic for a strongly pinched inner edge mode, it has to be relaxed if the transmission of the inner edge mode through the quantum dot becomes large. In that case, the screening charge is distributed among outer and inner edge modes, the Friedel phase is spread over more channels and reduces the magnitude of the Coulomb phase shift $\delta$.

In summary, we have discussed dephasing of an MZI interference signal by a detector at equilibrium. At finite temperature, dephasing is due to a thermal average over different states of the detector. In the zero temperature limit, dephasing is due to quantum fluctuations of the detector in a non-equilibrium interferometer. The strength of dephasing is determined by the phase shift caused by Coulomb coupling between detector and interferometer. The Friedel sum rule relates this phase shift to the screening charge which an occupied detector state induces on the interferometer arm.

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[19] When comparing phase shifts which would be observed by embedding one of the two chiral states into a Fabry-Perot interferometer (the quantum dot in Fig. 1) with phase shifts observed by embedding one of the chiral states into a MZI, one finds a relative minus sign between them. Thus, the phase δ discussed here, in the context of the MZI, has an opposite sign from the phase that would appear in the context of a Fabry-Perot interferometer, see Supplemental Material.
Supplemental Material: Fabry-Perot interference phase versus Mach-Zender interference phase

In order to compare the sign of a phase shift due to either Coulomb or tunnel coupling between a Fabry-Perot (FPI) and a Mach-Zehnder (MZI) interferometer, we use the abstraction of Fig. 4(b). The two interferometers are described by the paths along which the partial waves of an interfering electron travel. The path \( C_{\text{MZI}} = C_1 + C_D \) of the MZI has two contributions. One of them, \( C_D \), is shared between the MZI and the FPI, whose path is given by \( C_{\text{FPI}} = C_2 + C_D \). In the following, we discuss how a change \( \delta \) in the interference phase accrued along \( C_D \) changes the interference patterns of MZI and FPI, respectively. As edge states are projected onto a single Landau level, the interference phase is a pure Aharonov-Bohm phase, and in the absence of the phase change \( \delta \), the MZI and FPI interference phases are given by

\[
\varphi_{\text{MZI}} = \frac{2\pi}{\Phi_0} \int_{C_1 + C_D} ds \cdot A < 0 \quad , \quad \varphi_{\text{FPI}} = \frac{2\pi}{\Phi_0} \int_{C_2 + C_D} ds \cdot A > 0 .
\]

(14)

It is important to note that the sign of the two phases is opposite to each other because the sense of revolution around the two interferometers is opposite. For concreteness, we assume here that the direction of the magnetic field is such that a mathematically positive sense of revolution corresponds to a positive Aharonov-Bohm phase. The phase change \( \delta \) is a purely local property and changes only what happens to the interfering electron along the shared segment \( C_D \). For this reason, it appears as an additive contribution to both interference phases,

\[
\tilde{\varphi}_{\text{MZI}} = \frac{2\pi}{\Phi_0} \int_{C_1 + C_D} ds \cdot A + \delta , \quad \tilde{\varphi}_{\text{FPI}} = \frac{2\pi}{\Phi_0} \int_{C_2 + C_D} ds \cdot A + \delta .
\]

(15)

Due to the fact that the original phases \( \varphi_{\text{MZI}} \) and \( \varphi_{\text{FPI}} \) differ in sign, the magnitude of the two phases is affected in opposite ways by the change \( \delta \). While the magnitude \( |\varphi_{\text{MZI}}| \) decreases by an amount \( \delta \), the magnitude \( |\varphi_{\text{FPI}}| \) increases by the same amount. Because the area inside the interference loop of an FPI decreases if the interfering edge states screen a negatively charged impurity state, the phase change \( \delta_C \) due to Coulomb screening is negative for an FPI. Due to our argument above, this means that the effective phase change due to Coulomb screening is positive for the MZI, as defined in Eq. (4).

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FIG. 4: Semi-realistic (panel (a)) and idealized (panel (b)) setup for a combined MZI and FPI. Both interferometers share the lower edge of the quantum dot, which is denoted by \( C_D \) in panel (b). The remaining interference path of the MZI is denoted by \( C_1 \), while the remaining interference path of the FPI is denoted by \( C_2 \).