Kondo effect on the minimal ferrimagnetism

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The minimal ferrimagnetism by Lieb’s theorem emerges on the T-shaped bipartite lattice composed of four sites, which can be realized experimentally, just as Nagaoka ferromagnetism has been demonstrated experimentally using a quartet quantum-dot (J.P. Dehollain et al., Nature 579, 528 (2020)). In this paper, the Kondo effect on this ferrimagnetism is theoretically studied. The magnetic moment $S = 1$ is screened in two steps by the Kondo effect and the series conductance $g_s$ is strongly suppressed to $g_s \approx 0$, while the parallel conductance $g_p$ has the maximum value $g_p \approx 4e^2/h$. The robustness of these properties against a parameter change toward reducing the Lieb ferrimagnetism is also discussed, showing the scenarios for entanglement of the degrees of freedom toward the ground state.

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I. INTRODUCTION

Itinerant magnetism has been one of the intriguing and challenging topics in condensed matter physics, especially in strongly correlated electron systems. For example, a reliable general theory predicting magnetic transition temperature for various magnetic materials has yet to be realized. Alternately, there are some exact theories predicting the existence of magnetism in the Hubbard models (see, for example, a text book on this topic and the references therein). The Lieb’s theorem for ferrimagnetism in the half-filled and repulsive Hubbard model on bipartite lattice is one such theory and has been examined in many different material systems, for example honeycomb lattice structures such as graphene. By definition, a bipartite lattice is connected and composed of two sublattices $A$ and $B$, where any bond connecting sites are in different sublattices. The Lieb’s theorem states that the ground state of the half-filled and repulsive Hubbard model on bipartite lattice has the magnetic moment $S = |N_A - N_B|/2$ and is unique up to the spin degeneracy. Here $N_A$ and $N_B$ are the number of the lattice points in sublattice $A$ and $B$, respectively. According to this theorem, the minimal (and nontrivial) Lieb’s ferrimagnetism emerges on the T-shaped bipartite lattice composed of four lattice points decomposed into $N_A = 3$ and $N_B = 1$ and has the magnetic moment $S = 1$, which we focus on in this paper. Such a small lattice can be experimentally realized as a quantum-dot array using recent nanotechnology. Actually, a controllable quartet quantum-dot plaquette has been fabricated and the Nagaoka ferromagnetism in the Hubbard model on the plaquette lattice has been demonstrated experimentally. Similarly, an experimental realization of the minimal Lieb’s ferrimagnetism mentioned above would be possible in the near future. Incidentally, the Kondo effect, a screening of a magnetic moment in an itinerant electron system by the many-body effect, has been investigated using quantum-dot array connected to leads as itinerant electron reservoirs. When the minimal Lieb’s ferrimagnetism is realized experimentally, it would be interesting and challenging to investigate the Kondo effect on such an intriguing magnetism. In this paper, we theoretically investigate the Kondo effect on the minimal Lieb’s ferrimagnetism on the T-shaped lattice connected to reservoirs. Using the numerical renormalization group (NRG) calculation and the local Fermi liquid theory, we predict the two-step Kondo screening of the ferrimagnetic moment, and the strongly suppressed and perfect conductivity through the T-shaped lattice under the Kondo screening, respectively, for two kinds of configuration. The robustness of these properties against a parameter perturbation toward reducing the Lieb’s ferrimagnetism is also predicted.

This paper is organized as follows. In section II, the model and the formulation we use in this paper are presented. We show our results in section III. First of all, we investigate the isolated Hubbard model on the T-shaped lattice in III A. After showing reliability of our method in III B, we present our main results in III C. The robustness of our findings against parameter perturbations is discussed in III D. Section IV is devoted to the conclusion.

II. MODEL AND FORMULATION

The model we consider is a Hubbard model on the T-shaped bipartite lattice decomposed into the sublattices $A = \{1, 3, 4\}$ and $B = \{2\}$, which connects two reservoirs at the left (L) and right (R) by the symmetrical tunneling matrix elements $v$, as illustrated in Fig. I (a).
Hamiltonian $\mathcal{H}$ is given by $\mathcal{H} = \mathcal{H}_T + \mathcal{H}_{\text{res}} + \mathcal{H}_{\text{hyb}}$ with
\[
\mathcal{H}_T = \sum_{\sigma,i\in A,j\in B} t_{ij} d_{i\sigma}^\dagger d_{j\sigma} + \sum_{i,\sigma} (\varepsilon_{d,i} n_{i\sigma} + U n_{i\uparrow} n_{i\downarrow}),
\]
\[
\mathcal{H}_{\text{res}} = \sum_{\nu,k,\sigma} \varepsilon_{vk} c_{\nu k\sigma}^\dagger c_{\nu k\sigma},
\]
\[
\mathcal{H}_{\text{hyb}} = v \left( d_{i\sigma}^\dagger \psi_{L\sigma} + h.c. \right) + v \left( d_{i\sigma}^\dagger \psi_{R\sigma} + h.c. \right),
\]
where $d_{i\sigma}$ annihilates an electron with spin $\sigma$ at the site $i$ in the T-shaped lattice, characterized by the intersite hopping matrix elements $t_{ij}$ between site $i \in A$ and $j \in B$, the onsite energy $\varepsilon_{d,i}$ and the intrasite repulsion $U_i$. Here $n_{i\sigma} \equiv d_{i\sigma}^\dagger d_{i\sigma}$ is the number operator of the electron with spin $\sigma$ at the site $i$. The necessary condition for the emergence of the Lieb’s fermi-magnetic state is a half-filled repulsive Hubbard model on a bipartite lattice, so that the symmetry of the lattice is not a main factor for emerging the Lieb’s ferromagnetic state. Therefore, for simplicity we assume $\varepsilon_d \equiv \varepsilon_{d,1} = \varepsilon_{d,2} = \varepsilon_{d,4}$, $\varepsilon_3 \equiv \varepsilon_{d,3}$, $t \equiv t_{12} = t_{23}$, $t_3 \equiv t_{23}$ and $U \equiv U_1 = U_2 = U_3$ throughout this paper and set mainly $\varepsilon_d = \varepsilon_3$, $t = t_3$ and $U = U_3$ unless otherwise stated. In the reservoir at $\nu (= R, L)$, $c_{\nu k\sigma}^\dagger$ creates an electron with energy $\varepsilon_{vk}$ corresponding to an one-particle state $\phi_{\nu k}(r)$ and $\psi_{\nu\sigma} = \sum_k \phi_{\nu k}(r_\nu) c_{\nu k\sigma}$ is the field operator of the conduction electron in the reservoir at $r_\nu$ where the conduction electrons in the reservoir mix with the electrons in the site labeled by $i = 1$ (for $\nu = L$) or $i = 4$ (for $\nu = R$). We assume that the hybridization strength $\Gamma \equiv \pi v^2 \sum_k |\phi_{\nu k}(r_\nu)|^2 (\omega - \varepsilon_{vk}^\nu)$ is a constant independent of the frequency $\omega$ and $\nu$, and take the Fermi energy $\mu$ to be $\mu = 0$. Hence, assuming that the conduction electron in the reservoir has a flat band structure with half bandwidth $D$, we have $\Gamma = \pi v^2/(2D)$. Our system has inversion symmetry, so that the even and odd parities of the flat bands are good quantum numbers. Therefore, it is convenient to introduce the even-parity orbitals $a_{1\sigma}$, $a_{2\sigma}$, $a_{3\sigma}$ and the odd-parity orbital $b_{1\sigma}$ as follows;
\[
 a_{1\sigma} = \frac{d_{1\sigma} + d_{4\sigma}}{\sqrt{2}}, \quad a_{2\sigma} = \frac{d_{2\sigma}}{\sqrt{2}}, \quad a_{3\sigma} = \frac{d_{3\sigma}}{\sqrt{2}}, \quad b_{1\sigma} = \frac{d_{1\sigma} - d_{4\sigma}}{\sqrt{2}}.
\]
The retarded Green’s functions for $a_{1\sigma}$ and $b_{1\sigma}$ play important role for calculating the conductance through the T-shaped lattice and the averaged electron number in the lattice because the orbitals $d_{3\sigma}$ and $d_{4\sigma}$ connect to the reservoirs. Due to the inversion symmetry, at the zero temperature and Fermi energy, each of these two retarded Green’s functions is determined by a single real parameter, $\kappa_\varepsilon$ or $\kappa_o$. The parameter $\kappa_p$ ($p = e, o$) is defined by,
\[
\kappa_p = \frac{\det K_p}{\Gamma \det K_{p,11}}.
\]
Here, $K_p \equiv -(h_p(0) + \text{Re} \Sigma_p^+(0))$, where $h_p(0)$ is the matrix composed of the hopping integrals among the $p$-parity orbitals, $\Sigma_p^+(\omega)$ is the self-energy with the $p$-parity and $K_{p,11}$ is the matrix obtained by deleting the first row and column corresponding to the orbital $a_{1\sigma}$ or $b_{1\sigma}$ from the matrix $K_p$. These real parameters determine the phase shifts $\delta_\varepsilon$ and $\delta_o$ corresponding to the angles of these two Green’s function in the complex plane as follows; $\delta_\varepsilon = \arctan(-1/\kappa_\varepsilon)$, $\delta_o = \arctan(-1/\kappa_o)$. These two phase shifts $\delta_\varepsilon$ and $\delta_o$ of the quasi-particles with even- and odd-parity characterize a local Fermi-liquid behavior of the whole system described by $\mathcal{H}$. The conductance $g_s$ in the two-terminal series configuration illustrated in Fig.1a and the averaged electron number $n \equiv \langle G \sum_{\nu,i} n_{i\nu} \rangle$ in the all sites of the ground state $G$ are represented
\[
g_s = \frac{2e^2}{h} \sin^2(\delta_e - \delta_o),
\]
\[
n = \frac{2}{\pi} (\delta_e + \delta_o).
\]

From the same phase shifts, we can calculate the conductance $g_p$ in the four-terminal parallel configuration illustrated in Fig.1(b) as follows;
\[
g_p = \frac{2e^2}{h} (\sin^2 \delta_e + \sin^2 \delta_o).
\]

We perfome NRG calculation to determine $\delta_e$ and $\delta_o$. In the NRG approach, a sequence of the Hamiltonian $H_N$ is introduced, by carrying out the logarithmic discretization with the control parameter $\Lambda$ for the continuous conduction bands of the electron reservoirs, and trasforming the discretized electron reservoirs as,
\[
H_N = \Lambda^{(N-1)/2} \left( \mathcal{H}_T + \mathcal{H}_{\text{NRG:hyb}} + \mathcal{H}_{\text{NRG:res}} \right),
\]
\[
H_{\text{NRG:hyb}} = \sum_{\sigma} (d_{1\sigma} f_{0,L,\sigma}^\dagger + d_{4\sigma} f_{0,R,\sigma}^\dagger + h.c.),
\]
\[
H_{\text{NRG:res}}^{(N)} = \frac{D}{2} \sum_{\nu=R,L} \sum_{\sigma} \sum_{n=0}^{N-1} \xi_n \Lambda^{-n/2} \times (f_{\nu,n,\sigma} f_{\nu,n+1,\sigma}^\dagger + h.c.),
\]
where $f_{\nu,n,\sigma}$ annihilates an electron with spin $\sigma$ at site $n$ in the $\nu$-discretized electron reservoir, $\frac{D}{2} = \sqrt{2D \Gamma A_\Lambda / \pi}$, $A_\Lambda = \frac{1}{2} (1 + 1/\Lambda)/(1 - 1/\Lambda)$ log $\Lambda$, and
\[
\xi_n = \frac{1 - 1/\Lambda^{n+1}}{\sqrt{1 - 1/\Lambda^{2n+1}}} \frac{1}{\sqrt{1 - 1/\Lambda^{2n+3}}}. \tag{13}
\]

We keep the lowest 3600 eigen states during the NRG iteration process and set $\Lambda = 6$ in our NRG calculations. We can deduce $\delta_e$ and $\delta_o$ via $\kappa_e$ and $\kappa_o$ from the fixed-point eigen energies of the NRG calculation
\[
\kappa_p = \frac{v^2}{\Gamma D} \lim_{N \to \infty} D \Lambda^{2n+1} g_N (\epsilon_p^*).
\]
Here, $\epsilon_p^*$ is the quasiparticle energy with the $p$-parity obtained from the NRG fixed-point eigen energies, and $g_N$
is the Green’s function for one of the isolated discretized electron reservoir.

We have confirmed that the numerical results for the fixed-point eigenvalues can be mapped onto the energy spectrum of the free quasiparticles in all parameter sets we have examined, which justifies the assumption of the local Fermi liquid we have made in our formulation.

Using the NRG flow, we can calculate the impurity (T-shaped lattice) entropy as a function of the discretized temperature $T_{\text{Nrg}} \equiv \tau A^{-(N_{\text{Nrg}}-1)/2} D$ corresponding to the number $N_{\text{Nrg}}$ of NRG iterations. (Here $\tau = O(1)$ is a fitting constant).

III. RESULTS

A. Results for the isolated Hubbard model on the T-shaped lattice

Firstly, we investigate the isolated Hubbard model on the T-shaped lattice before connecting the electron reservoirs, clarifying the spin state $S$ and the electron occupation number $N$ in the model parameter space. In Fig. 2(a), we show the phase diagram of $S$ and $N$ on the model parameter plane spanned by $(2t_\sigma + U)/t$ and $U/t$. At the half-filled state $N = 4$, we confirm that the ground state with $S = 1$ is realized for any positive value of $U$ in our system, as is predicted by Lieb’s theorem. The region of $N = 4$ and $S = 1$ becomes wider as $U$ increases. From the phase diagram of $N$, we easily realize that the phase diagram of $N = 4$, the electron occupation number from the half-filled state, is antisymmetric with respect to the line $2t_\sigma + U = 0$ (the white dashed line) in the phase diagram. This is because our system has the electron-hole symmetry. As a result, the phase diagram of $S$ is symmetric with respect to the line. Along the line $U = 0$ in the phase diagram, the value of $N$ changes by increments of two, while $S = 0$ because two electrons with up and down spin occupy the energy level crossing the Fermi energy at the same time.

Next, we examine the minimal Lieb’s ferrimagnetic state in more detail. The basis vectors that span the $N = 4$ and $S = 1$ states including the minimal Lieb’s ferrimagnetic state can be classified into two types, namely, S-state and D-state. A basis vector that consists of only single occupied sites belongs to the S-state and a basis vector that has a doubly occupied site belongs to the D-state. Some examples of the S- and D-state are presented inside Fig. 2(b). A so-called ferrimagnetic state where the spins on each sublattice are in ferromagnetic order and two spins on the different sublattices are anti-parallel, is a superposition state of the basis vectors belonging to the S-state. The ground state $|G_{\text{iso}}\rangle$ of the isolated Hubbard model with $N = 4$, $S = 1$ (and $S_z = 1$) is a superposition state of the basis vectors belonging to the S- and D-state. To estimate how the ground state near to the so-called ferrimagnetic state, we calculate two probabilities $P_S \equiv \langle G_{\text{iso}} | P_S | G_{\text{iso}} \rangle$ and $P_D \equiv \langle G_{\text{iso}} | P_D | G_{\text{iso}} \rangle$, where $P_S$ ($P_D$) is the projection operator to the subspace spanned by the basis vectors belonging to the S-state (D-state). The results are shown in Fig. 2(b). The value of $P_D$ decreases as $U$ increases because the doubly occupied state is unfavorable due to the intrasite repulsion $U$. As a result, $P_S$ increases as $U$ increases because of the constraint condition $P_S + P_D = 1$.

Lastly, we investigate how the spins align in the ground state $|G_{\text{iso}}\rangle$ by calculating the spin correlations $\langle G_{\text{iso}} | S_i \cdot S_j | G_{\text{iso}} \rangle$ between site-$i$ and site-$j$ as functions of $U$. The results are shown in Fig. 3, where the blue circles and the red inverted triangles represent the results for the spin correlation between two sites in sublattice $A$ (the intra-sublattice spin correlation), and the spin correlations between site-$i$ in sublattice $A$ and site-$j$ = (2) in sublattice $B$ (the inter-sublattice spin correlation), respectively. These results show that the spins in sublattice $A$ ferromagnetically align and two spins on the different sublattices are in antiferromagnetic order. As $U$ is increased, the values of the intra- and inter-sublattice spin correlations saturate to $\frac{1}{4}$ and $-\frac{3}{2}$ = 0.4166, respectively. These saturation values can be explained as follows. In the limit of large $U$, the ground state $|G_{\text{iso}}^{U=\infty}\rangle$ is a superposition state of the basis vectors belonging to the S-state only, as shown in Fig. 2(a) and is expressed by

FIG. 1. Schematic picture of (a) series and (b) parallel configurations.

FIG. 2. (Color online) (a) The spin quantum number and the electron occupation number of the ground state of the isolated Hubbard model on the T-shaped lattice as functions of $(2t_\sigma + U)/t$ and $U/t$. (b) The probabilities $P_S$ and $P_D$ plotted as functions of $U/t$. Examples of S- and D-state are presented inside this figure.
It is found that the agreement between the results by our method and the exact results are remarkably consistent even for a rather large value of the discretization parameter $\Lambda = 6$. Therefore, the effect of the NRG discretization on these quantities is negligible and our method for calculating these quantities is reliable.

Two conductances $g_s$ and $g_p$ have large values when the electron occupation number $\eta = 2(\delta_c + \delta_o)/\pi$ changes by two because a pair of electrons with up and down spins from the reservoir occupy the energy level of the impurity resonating with the Fermi level.

![FIG. 4](image-url)

FIG. 4. (Color online) Two kinds of conductance $g_s, g_p$ and the phase shifts $\delta_c, \delta_o$ obtained using our method are compared with the exact results for $U = 0$. Here $\Gamma/t = 0.1$. For NRG, we use $\Lambda = 6$ and $t/D = 0.1$.

### C. Kondo effect

We next investigate how the Lieb's ferrimagnetic moment $S = 1$ at the half-filled state ($\varepsilon_d/t = -U/(2t)$) is screened by the Kondo effect. We show the impurity entropy as a function of the temperature, varying the values of $U$ in Fig. 5. In the high temperature region, the values of the impurity entropy for all the values of $U$ shown in the figure are log(256) because all degrees of freedom $256 = 2^8$ of the four sites appear in this region. Decreasing the value of $T_{Nrg}$ from the high temperature region, we observe the log(3)-plateau due to the Lieb's ferrimagnetism $S = 1$ on the T-shaped lattice. This degree of freedom is screened by the Kondo effect in the low temperature region via the log(2)-plateau and the Kondo temperature, which is the screening temperature required to reach the singlet states, decreases as the value of $U$ increases. Therefore, the magnetic moment in the Lieb's ferrimagnetism on the T-shaped lattice is not screened in one step by the conduction electrons from the two symmetrically connected reservoirs but is completely screened in two steps with different energy scales. In the first Kondo screening ($\log(3) \to \log(2)$), the reduced degrees of freedom $f_1$ is $f_1 \simeq 1(= 3 - 2)$. Therefore, the partial magnetic moment of the Lieb's ferrimagnetic state with $S = 1$ screened in

\[
|G_{iso}^{U=\infty}| = \sqrt{\frac{9}{12}} d_{11}^\dagger d_{31}^\dagger d_{41}^\dagger d_{21}^\dagger |0\rangle - \sqrt{\frac{1}{12}} (d_{14}^\dagger d_{31}^\dagger d_{41}^\dagger d_{27}^\dagger |0\rangle + d_{17}^\dagger d_{31}^\dagger d_{41}^\dagger d_{27}^\dagger |0\rangle) \tag{15}
\]

where $|0\rangle$ is the vacuum state. Using this expression, we obtain the two saturation values, $\langle G_{iso}^{U=\infty} | S_1 \cdot S_3 \rangle |G_{iso}^{U=\infty}| = \frac{1}{4}$ and $\langle G_{iso}^{U=\infty} | S_1 \cdot S_2 \rangle |G_{iso}^{U=\infty}| = -\frac{3}{12}$. From these calculations, it is found that a sizeable value of $U(\gg t)$ is required to regard the ground state as a so-called ferrimagnetic state (we mainly set $U/t = 4$).

![FIG. 3](image-url)

FIG. 3. (Color online) The spin correlations between two sites in sublattice $A$ (blue circle) and between site-$i$ in sublattice $A$ and site-$j(= 2)$ in sublattice $B$ (red inversed triangle) plotted as functions of $U/t$.

### B. Results for noninteracting system

Henceforward, we investigate the Hubbard model on the T-shaped lattice connected to the electron reservoirs. For $U = 0$, changing the value of $\varepsilon_d$, we calculate $g_s, g_p, \delta_c$ and $\delta_o$ by using our method and compare the calculated results with the exact results in Fig. 4. The exact expressions of $\kappa_c$ and $\kappa_o$ for $U = 0$ are easily obtained from Eq. 13 because $\Sigma^c_\varepsilon(0) = \Sigma^o_\varepsilon(0) = 0$ and

\[
K_c = \begin{pmatrix} \varepsilon_d & \sqrt{2t} & 0 \\ \sqrt{2t} & \varepsilon_o & t \\ 0 & t & \varepsilon_d \end{pmatrix}, \quad K_o = \begin{pmatrix} \varepsilon_d \end{pmatrix}. \tag{16}
\]

Then we obtain the exact expressions of $\delta_c$ and $\delta_o$ for $U = 0$ as follows:

\[
\delta_c = \arctan(-\Gamma(\varepsilon_d^2 - t^2)/(\varepsilon_d(\varepsilon_d^2 - 3t^2))), \tag{17}
\]

\[
\delta_o = \arctan(-\Gamma/\varepsilon_d). \tag{18}
\]

It is found that the agreement between the results by our method and the exact results are remarkably consistent...
the first Kondo screening can not correspond to any positive integer or half-integer magnetic moment \( s_1 \) because \( 2s_1 + 1 = f_1 \iff s_1 = 0 \). To give some insights into the screening mechanism, we consider the distribution of \( S = 1 \) moment on the T-shaped lattice. The two-step Kondo screening becomes more significant for large \( U \) and small \( \Gamma \) as shown in Fig.6 and Fig.7. Therefore, it is reasonable to consider the momentum distribution in large \( U \) and small \( \Gamma \) limit. In the limit, the ground state of the isolated Hubbard model on the T-shaped lattice with \( S = 1, S_z = 1 \) is given by Eq. (15). Using these assumptions, we can calculate the momentum distribution \( m_i \equiv \langle G^{U=\infty}_{\text{iso}}(d^d_{i\uparrow} d^d_{i\downarrow} - d^d_{i\downarrow} d^d_{i\uparrow}) G^{U=\infty}_{\text{iso}} \rangle \) for each site-\( i \) of the T-shaped lattice as follows: \( m_1 = m_3 = m_4 = \frac{5}{12} \) and \( m_2 = -\frac{3}{12} \). The results show that the momentum is mainly distributed among sites-1,3, and 4. When the reservoirs connect to the T-shaped lattice, site-1 and site-4 directly couple to the reservoirs. Therefore, the partial moments on these two sites can be screened directly by the conduction electrons at the first step. In contrast, site-3 does not connect to the reservoirs directly and thus the partial moment on site-3 has to be screened indirectly by the conduction electron through site-2, which corresponds to the second step of the Kondo screening. To confirm the discussion mentioned above, we increase the value of \( U_3 \), which is the intrasite repulsion of the site-3 (the most internal site from the reservoirs), from \( U_3 = U \), keeping the relation \( 2\varepsilon_1 + U_3 = 0 \) for the half-filled state. The results are shown in the inset of Fig.5. The shape of the curve of the impurity entropy from the high temperature region to the beginning of the \( \log(2) \)-plateau via the \( \log(3) \)-plateau is almost insensitive to \( U_3/U \), while the length of the \( \log(2) \)-plateau becomes longer as the value of \( U_3/U \) increases. Then the Kondo temperature decreases as the value of \( U_3/U \) increases. From these facts, we can confirm that the second screening process corresponds to the screening of the partial magnetic moment distributed on the most internal site-3.

We consider the dependence of the two-step Kondo screening on the hybridization \( \Gamma \), for \( U/t = 4 \). The impurity entropy for the intermediate coupling \( \Gamma = 0.2 \) retains the structure observed in the weak coupling \( \Gamma = 0.1 \). For the large hybridization \( \Gamma = 0.3 \), the charge transfer between the T-shaped lattice and the reservoir brings the system into a mixed-valence regime and the typical structures are smeared out. The Kondo temperature is sensitive to the value of \( \Gamma \) and it increases with \( \Gamma \). This is because the large hybridization makes the resonance peaks broad and it reduces effectively the correlation effects.

To investigate behaviors of two conductances \( g_o \) and \( g_p \) under the Kondo screening of the Lieb’s ferrimagnetism emerging at the half-filled state shown above, we calculate \( g_o \), \( g_p \) and \( \delta_o \), \( \delta_o \) as functions of \( \varepsilon_d \) and show the results in Fig.6. Around the half-filled state \( \varepsilon_d/t = -2(= -U/(2t)) \), the value of \( g_o \) is strongly suppressed \( g_o \approx 0 \) in spite of the existence of the Kondo screening, while the value of \( g_p \) reaches its maximum value \( g_p \approx 4e^2/h \) because \( \delta_c \) and \( \delta_o \) respectively have \( 3\pi/2 \)- and \( \pi/2 \)- plateaus around the half-filled state. One possible reason for this is as follows. There is a possibility of a residual anti-ferromagnetic correlation between the site-2 and 3 resulting from the Lieb’s ferrimagnetic state, which prevents conductivity in the series configuration, but causes perfect conductivity in the parallel configuration. This is because the anti-ferromagnetic coupling between site-2 and 3 blocks the branch path for conductivity in the parallel configuration. Increasing the value of \( \varepsilon_d \) from the half-filled state, we can see the region where the value of \( n \) transitions from 4 to 2 via 3. In this region, the graph of \( g_o \) has a double-peak structure because of the dip structure in the behavior of \( \delta_o \). This interesting behavior will be investigated elsewhere because, in this paper, we focus on the Kondo effect on the minimal Lieb’s ferrimagnetism emerging at the half-filled state.
state. Around the region where \( n \approx 1 \), we can see the typical Kondo plateau of both conductances \( g_s \) and \( g_p \), which is principally caused by the even-parity states.

\[
\begin{align*}
\text{Conductance (} 2e^2/h) \\
\text{Averaged occupation number} \\
\text{Phase Shift (} \pi/2) \\
\end{align*}
\]

FIG. 7. (Color online) Two kinds of conductance \( g_s \) and \( g_p \), the electron occupation number \( n \) in the T-shaped lattice, and the phase shifts \( \delta_c \) and \( \delta_o \) plotted as functions of \( \epsilon_d/t \) for \( U/t = 4 \).

D. Robustness against parameter perturbation toward reducing the ferrimagnetism

Finally, we study in more detail the behaviors of both conductance \( g_s \) and \( g_p \) at the half-filled state mentioned above, by setting \( t \neq t_3 \) and reducing the value of \( t_3/t \). At \( t_3/t = 0 \), our system is decoupled into the half-filled 3-site Hubbard chain connected to two electron reservoirs and the isolated site-3 occupied by one electron. In this case, the spin \( S = 1/2 \) on the half-filled 3-site Hubbard chain is screened by the Kondo effect in the ground state, which gives conductivities in both configurations \( (g_s \approx 2e^2/h, \ g_p \approx 2e^2/h) \) and we have the residual impurity entropy \( \log(2) \) by the degrees of the freedom of the spin \( S = 1/2 \) on the isolated site-3. The question arises as to the \( t_3/t \)-dependence of two conductances. To answer this question, we calculate \( g_s \) and \( g_p \) as functions of \( t_3/t \) and show the results in Fig. 8. We find that the values of \( g_s \) and \( g_p \) for \( t_3/t > 0 \) keep the constant values at \( t_3/t = 1 \) and change discontinuously only at \( t_3/t = 0 \). Therefore, the behaviours of \( g_s \) and \( g_p \) at the half-filled state are robust against the parameter perturbation toward reducing the Lieb’s ferrimagnetism. We investigate this robustness from \( t_3/t \)-dependence of the impurity entropy as a function of the temperature. For \( t_3/t = 0.1 \) and 0.01, the impurity entropy are plotted as functions of the temperature and are compared with the result for \( t_3/t = 1 \) in the inset of Fig. 8. The value of \( U \) is fixed at \( U/t = 4 \). We find that the Kondo temperature decreases as the value of \( t_3/t \) decreases. For \( 0.1 < t_3/t < 1 \), we confirmed that as the temperature decreased, the value of the impurity entropy decreases from the value \( \log(256) \) and directly reaches the \( \log(3) \)-plateau corresponding to the Lieb’s ferrimagnetic state (an entanglement state of four sites), and converges to zero via the \( \log(2) \)-plateau by forming the Kondo singlet state (an entanglement state of four sites and two reservoirs). Therefore, the scenario for the entanglement of the degrees of freedom (\( \log(3) \rightarrow \log(2) \rightarrow 0 \)) from the high temperature region toward the ground state for \( 0.1 < t_3/t < 1 \) is same as for \( t_3/t = 1 \). For \( t_3/t = 0.1 \), we can see the short \( \log(4) \)-plateau in the high temperature region which stems from the degrees of freedom of the spin \( S = 1/2 \) on the half-filled 3-site Hubbard chain and the spin \( S = 1/2 \) on the site-3 regarded as isolated from the Hubbard chain in this temperature region (a separable state of the composite system of the 3-site Hubbard model and site-3). But as the temperature slightly decreases from this region, we observe the \( \log(3) \)-plateau due to the Lieb’s ferrimagnetic state, which is finally screened in two steps by the Kondo effect in the same manner as the previous results. We confirmed that this scenario for entanglement (\( \log(4) \rightarrow \log(3) \rightarrow \log(2) \rightarrow 0 \)) from the high temperature region toward the ground state for \( t_3/t = 0.1 \) is the same as for \( 0.01 < t_3/t \leq 0.1 \). Alternatively, for \( t_3/t = 0.01 \), the value of the impurity entropy directly
decreases from the log(4)-plateau to the log(2)-plateau without via log(3)-plateau as the temperature decreases from the high temperature region. This is because the spin $S = 1/2$ on the half-filled 3-site Hubbard chain is at first screened by the Kondo effect (an entanglement state of the composite system of 3-site Hubbard chain and two reservoirs) and the spin $S = 1/2$ on the site-3 remains and generates the log(2)-plateau. Therefore, it is suggested that we have $g_s \approx 2e^2/h$, $g_p \approx 2e^2/h$ in the temperature region with the log(2)-plateau. However, as the temperature decreases further, the spin $S = 1/2$ on the site-3 is screened by electrons in the rest of the system coming through the renormalized hopping matrix element $t_3$. The impurity entropy finally converges to zero, reaching the non-magnetic ground state. This scenario for entanglement ($\log(4) \rightarrow \log(2) \rightarrow 0$) from the high temperature region toward the ground state is expected for $0 < t_3/t < 0.01$.

The two-step Kondo screening for $0 < t_3/t < 0.01$ relates to that seen in side-coupled double quantum dot systems\textsuperscript{26–31} in the following aspects. The side-coupled double quantum dot system consists of quantum dot A connected to two reservoirs and quantum dot B connecting or interacting only with quantum dot A. The 1/2-spin on dot A and the 1/2-spin on dot B behave independently in the high temperature region. As the temperature is decreased, screening of the 1/2-spin on dot A is first observed, followed by a subsequent screening of the 1/2-spin on dot B. Meanwhile, the two-step Kondo screening for $t_3/t > 0.01$ is intrinsically different from the Kondo screening mentioned above. This is because Lieb’s ferrimagnetic state, which is an entangled spin-triplet state between site-3 and sites-1,2,4, is quenched for $t_3/t > 0.01$.

From these facts, we find that the $t_3/t$-independent ground state for $t_3/t > 0$, resulting from the $t_3/t$-dependent scenarios for the screening of the degrees of freedom in high temperature regions, causes the robustness of the behaviours of $g_s$ and $g_p$ at the half-filled state.

IV. CONCLUSION

In summary, we investigated the Kondo effect on the minimal Lieb’s ferrimagnetism on the T-shaped lattice connected to electron reservoirs by using a reliable method. We found that the Lieb’s ferrimagnetic moment $S = 1$ is screened in two steps by the Kondo effect. Here we estimate one of our Kondo temperatures in units of $K$ using recent experimental values. In our calculations, Kondo temperatures are scaled by $D$ and we set $t/D = 0.1$. The value of $t$ can be controlled from the $\mu eV$ to sub $meV$ in recent experiments.\textsuperscript{12,32} This range corresponds to $D$ being on the order of $meV \sim 10 K$. Therefore, even in the first step of the Kondo screening, Kondo temperature estimated from Fig. 5 for $U/t = 2$ is to the order of $1 \mu K$. Therefore, the Kondo temperatures are very low in the present case because we chose a relatively small $\Gamma/t$ and a larger $U/t$. However, the Kondo temperature rises as $\Gamma/t$ increases and $U/t$ decreases, which would make the value of the Kondo temperature an accessible value in experiments, as shown in Fig.5 and Fig.6. In spite of the existence of the Kondo screening, we found that the conductance $g_s$ is strongly suppressed $g_s \approx 0$ while the conductance $g_p$ has the maximum value $g_p \approx 4e^2/h$. For these behaviors, we proposed one possible reason which should be confirmed by further calculations, where the spin correlations among the sites of T-shaped lattice connected to the reservoirs would be clarified. We also discussed the robustness of these behaviors of the conductance against the perturbation toward reducing the Lieb’s ferrimagnetism. This robustness is caused by the perturbation-strength-independent ground state resulting from three perturbation-strength-dependent scenarios for entanglements of the degrees of freedom in high temperature regions. It would be interesting that experimental investigations of the above mentioned properties of the Kondo effect on the minimal Lieb’s ferrimagnetism will be carried out in the future.

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\textsuperscript{11} Here we mention the meaning of minimal and nontrivial Lieb’s ferrimagnetism in our context. The minimal bipar-
tite lattice is of course the two-site chain lattice decomposed into $N_A = 1$ and $N_B = 1$. However, the ground state of the half-filled and repulsive Hubbard model on the two-site chain lattice is non-magnetic state $S_G = 0$. The three-site chain lattice is a bipartite lattice decomposed into $N_A = 2$ and $N_B = 1$, and the half-filled and repulsive Hubbard model on the three-site chain lattice has the magnetic ground state with $S_G = 1/2$. However, the ground state including three electrons occupying in each the different three sites, which is naively expected for the half-filled Hubbard model with (large) repulsive interactions, is always a magnetic state. In this sense, the part of the statement for the existence of the magnetism in Lieb’s theorem for this system is trivial.

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