Possible charge-density-wave signatures in the anomalous resistivity of Li-intercalated multilayer MoS$_2$

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We fabricate ion-gated field-effect transistors (iFET) on mechanically exfoliated multilayer MoS$_2$. We encapsulate the flake by Al$_2$O$_3$, leaving the device channel exposed at the edges only. A stable Li$^+$ intercalation in the MoS$_2$ lattice is induced by gating the samples with a Li-based polymeric electrolyte above $\sim$ 330 K and the doping state is fixed by quenching the device to $\sim$ 300 K. This intercalation process induces the emergence of anomalies in the temperature dependence of the sheet resistance and its first derivative, which are typically associated with structural/electronic/magnetic phase transitions. We suggest that these anomalies in the resistivity of MoS$_2$ can be naturally interpreted as the signature of a transition to a charge-density-wave phase induced by lithiation, in accordance with recent theoretical calculations.

Keywords: MoS$_2$ - Ionic gating - Intercalation - Anomalous resistance - Phase transitions - Charge density waves

I. INTRODUCTION

Interacting electrons in transition metal dichalcogenides (TMDs) have attracted a lot of attention, owing to the emergence of exotic electronic phases and the non-trivial physics that arise due to their competition $^1$. Experimentally, these competing electronic phases are often the cause of anomalies in the temperature dependence of the electric transport - a characteristic feature of a wide variety of materials including oxides $^2$, arsenides $^3$, iron pnictides $^4,5$, and metal chalcogenides $^6,7$. These anomalies mark the boundaries between different electronic phases, exhibiting transitions in the lattice, magnetic, electronic and topological degrees of freedom. Different transitions can also appear concurrently across the same boundary in a material’s phase diagram. This can be incidental, if the two transitions are unrelated to one another $^2$. Alternatively, their concomitant occurrence may result from a strong coupling between the underlying phases, when the transition in one degree of freedom triggers a transition in a second one $^4,8$.

TMDs are layered compounds sharing the generalized MX$_2$ formula, where M is a transition metal (such as Mo, Nb, Ta, Ti, V, W) and X is a chalcogen (S, Se, Te) element $^8,9$. Different structural phases are possible in these materials depending on the coordination of the metal atom and the stacking of the individual layers, the most common being trigonal prismatic (2H), octahedral (1T) and distorted octahedral (1T$'$) $^8,9$. For most - but not all - TMDs at room temperature, the 2H polytype is the most stable, while the other metastable polytypes can be obtained via alkali ion intercalation $^8,10$. TMDs feature complex electronic structures and complicated phase diagrams reminiscent of those of cuprates and iron pnictides $^4$, often dominated by the interplay between superconductivity (SC) and charge-density-wave (CDW) order $^5$. CDWs are periodic modulations of the charge carrier density, associated to distortions of the underlying crystal lattice $^11$, which are often the result of strong electron-phonon coupling $^12$ and Fermi-surface nesting $^13$. Their appearance and behavior in TMDs are strongly dependent on both the atomic components and the polytype $^5$: although well known in several Nb-, Ta-, Ti-, and V-based TMDs $^17$, as well as metallic 1T$'$-MoTe$_2$ $^14$, this phenomenon has not been reported so far in the semiconducting phase of TMDs of the 2H polytype. Interestingly, however, the application of an external pressure in excess of $\sim$ 10 GPa has been recently shown to induce a metallization of 2H-MoS$_2$, accompanied by the possible emergence of a CDW distortion $^{15}$.

At ambient pressure, the interplay between SC and CDWs in TMDs used to be accessed by carrier doping via intercalation $^7,16–20$. Recently, it was demonstrated that the same effect can be induced by the application of an electric field $^{21,22}$. Structural transitions between different polytypes can also be controlled by electric field effect, as it has been shown in single-layer MoTe$_2$ between the 2H and 1T$'$ phases - although the authors did not investigate whether this did also give rise to CDW order $^{24}$. Indeed, carrier doping has been predicted to be able to induce different CDW phases in MoS$_2$ $^{25,27}$. On the other hand, electrochemical intercalation holds great promise for tailoring its electric, optical and chemical properties, as well as making it very attractive in the field of energy storage for TMD-based alkali-ion batteries and supercapacitors $^8$. In this sense, ionic gating can be an especially versatile tool for doping control. This technique incorporates an electrochemical cell in a top-gate transistor configuration, where the sample is separated from a gate counter-electrode by an electrolyte $^{28}$. By

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FIG. 1. (a) Sketch in side-view and (b) 3D rendering of the AFM topographic image in tapping mode of an Al$_2$O$_3$-encapsulated few-layer MoS$_2$ device. Li$^+$ ions can directly access the MoS$_2$ flake only from the exposed sides of the device. $V_G$ is applied between $I_S$ and a coplanar side-gate electrode (not shown in the figure). (c) Height signal in correspondence to the black dashed line in (b) (black solid line). Dashed lines highlight the topographic contributions from the MoS$_2$ flake (blue), Ti/Au contacts (yellow) and Al$_2$O$_3$ encapsulation layer (green). (d) AFM topographic image in tapping mode of the same device in the area highlighted by the dashed blue circle in (b). Root mean square height is $S_q = 1.86$ nm, much smaller than the Al$_2$O$_3$ thickness ($\sim$ 60 nm).

properly selecting gating temperature and gate voltage, doping can be achieved either via electrostatic ion accumulation or bulk electrochemical intercalation. In the electrostatic regime, the gate voltage drives the ions to form an electric double layer at the surface of the sample, which acts as a nanoscale capacitor with a huge capacitance [22, 23, 29]. In the electrochemical regime, the strong interface electric field is exploited to drive the ions in the van der Waals gap between the layers, achieving gate-controlled intercalation [20, 29, 30].

In this work, we use ionic gating with a polymeric electrolyte to intercalate Li$^+$ ions in Al$_2$O$_3$-encapsulated multilayer MoS$_2$ devices at high temperature. We find that the Li$^+$-intercalated state is fully stable upon removal of the applied gate voltage, if the sample is quenched below the optimal intercalation temperature. No doping-induced SC state is observed down to $\sim$ 3 K, but we find clear evidence for the emergence of sheet resistance ($R_s$) anomalies in the intercalated state around $\sim$ 200 K. These anomalies evolve as a hump-dip structure in the first derivative, $dR_s/dT$. Upon increasing the gate voltage beyond the onset of intercalation, the hump feature strongly shifts to higher temperature, while the position of the dip feature remains mostly unaffected. We discuss how this behavior can be naturally linked with the appearance of the CDW phases predicted in Refs. [25–27] as a function of Li$^+$ doping. To the best of our knowledge, our results constitute the first report for non-Fermi liquid behavior in MoS$_2$ at ambient pressure.

II. RESULTS

A. Device fabrication

We first developed a procedure to fabricate encapsulated MoS$_2$ devices, where the only direct interface between the flakes and the electrolyte occurs at the sides of the flake (see Fig[4]). We obtained multilayer MoS$_2$ flakes by mechanical exfoliation [31] of 2H-MoS$_2$ bulk...
crystals (SPI Supplies) on standard SiO$_2$(300 nm)/Si substrates. Flakes with thicknesses around $\sim$ 10 nm (number of layers $\sim$ 15) were selected through their optical contrast, which were confirmed subsequently by atomic force microscopy (AFM). We chose this particular thickness to study the well-defined bulk properties, while minimizing the effect of lattice expansion in the z direction during the Li$^+$ intercalation, which can easily break the electrodes if the expansion is too severe in thicker samples. Electrical contacts to the flakes were patterned in Hall bar configuration by e-beam lithography, followed by evaporating Ti(5 nm)/Au(50 nm) and lift-off. A large, interdigitated coplanar side-gate electrode was patterned $\sim$ 100 $\mu$m away from the flake. Then, we deposited a Al$_2$O$_3$(~ 60 nm) mask over the electrodes and the rectangular channel of the Hall bar, leaving the irregular part of the flake exposed on all sides. Finally, we employed reactive ion etching (using Ar gas) to etch the underlying substrate to provide complete insulation from the leads. Along the leads, it partially extends on the Al$_2$O$_3$ encapsulation layer, covering both the channel and the Au side-gate electrode.

We perform Li$^+$ intercalation in our encapsulated MoS$_2$ devices by applying gate voltage $V_G$ ramps at high temperature ($T \gtrsim 330$ K) and monitoring the sheet resistance $R_s$ as a function of time. We employ the first channel of a two-channel Agilent B2912 Source-Measure Unit (SMU) to apply $V_G$ and measuring the gate current $I_G$ simultaneously. Then the $R_s$ is determined in the four-wire configuration by applying a small constant DC current between the source and drain contacts of our device ($I_{DS} \sim 1 \mu A$) with the second channel of the same SMU, and measuring the longitudinal voltage drop $V_{xx}$ across two voltage contacts with an Agilent 34420 Nanovoltmeter. We remove the common mode errors, such as thermoelectric offsets and contributions from $I_G$, by averaging the $R_s$ values acquired with the $I_{DS}$ of opposite polarities (two-point delta mode).

As shown in Figs. 2a and b, the overall gating process can be divided into four main steps: doping, quenching, $T$-dependent characterization, and release. In the first step, the $V_G$ is ramped following the profile shown in Fig. 2a at high $T = 330$ K and then kept constant for typically $\sim 15$ min allowing the insertion of ions into the flake. In the second step, the doping process in quenched by cooling the sample below the optimal intercalation temperatures while keeping the $V_G$ constant. In the third step, the full temperature dependence of the $R_s$ is investigated. This will be discussed in detail in the next section. In the fourth and final step, the $V_G$ is ramped down to zero: depending on the $T$, at which this step is performed, the intercalated ions can be released back to the electrolyte, or remain confined in the MoS$_2$ lattice.

For the modulation of the $R_s$ as a function of $V_G$, the gating process can be separated into two regimes. For the low $V_G$, the modulation of $R_s$ is mainly originated from driving the Li$^+$ ions by the applied electric field and accumulating them electrostatically onto the channel surface. For large values of $V_G$, the change in $R_s$ is instead mainly caused by field-driven ion intercalation to the van der Waals gap between the MoS$_2$ layers. When the MoS$_2$ top surface is directly exposed to the electrolyte, it is difficult to separate the two regimes by considering only the behavior of $R_s$, therefore, additional information can be useful for clearer discrimination. Most notably the carrier density in the sample determined by Hall effect
doping while minimizing the chance of device failure at glass transition temperature of the electrolyte. The electric double layer when the system is cooled below the ions electrostatically accumulated in the form of liquid and hence fully supports ion motion: thus, this 

to zero at a lower $T$, the electrolyte is still 

to a few days. Note that, at this $T$, the electrolyte is still 

Subsequently, the doping process is quenched by rapidly cooling down the sample. This procedure “locks” the intercalated ions in place and leads to a stable lithiation state for the MoS$_2$ flake. Indeed, once $V_G$ is released to zero at a lower $T \sim 300$ K, no significant change in $R_s$ can be detected on a time scale between tens of minutes to a few days. Note that, at this $T$, the electrolyte is still liquid and hence fully supports ion motion: thus, this behavior is qualitatively different from the “freezing” of the ions electrostatically accumulated in the form of the electric double layer when the system is cooled below the glass transition temperature of the electrolyte. The $R_s$ increases again over time at $V_G = 0$ only when the sample is heated above $T \gtrsim 320$ K, signaling the onset of delithiation. Hence, lithiation-delithiation of the MoS$_2$ flake can be achieved above 320 K: after trial-and-error, we found out that gating at $T \sim 335$ K provided efficient doping while minimizing the chance of device failure at high $V_G$.

In Fig 2 we show different $V_G$ ramps, corresponding to different intercalation states. These are achieved by selecting different target $V_G$ values while keeping the same doping time. However, the $R_s$ drop clearly shows that, between successive ramps, the onset of intercalation does not remain unchanged, and is instead affected by the previous intercalation history of the flake. The different intercalation states can then more properly be mapped by how much the final applied $V_G$ exceeds the onset of intercalation in that specific ramp, i.e. the “overdrive” voltage $V_G - V_{th}$, where $V_{th}$ is the value of $V_G$ where a stable Li$^+$ incorporation is achieved. For ramps where $R_s$ drops while $V_G$ is not held constant, we choose $V_{th}$ as the value of the minimum in the $R_s$ vs. $V_G$ curve. Hence, the electrostatic regime is identified by the condition $V_G - V_{th} < 0$, while the intercalation one by $V_G - V_{th} \gtrsim 0$.

Finally, we confirm that the $R_s$ modulation at low $V_G$ is due to electrostatic accumulation. To show this, we performed a control doping experiment using an ionic liquid and applied $V_G$ at $T \sim 240$ K. It is well known that reducing the gating temperature strongly suppresses all electrochemical interactions maintaining pure electrostatic charging. Furthermore, due to much larger ions in the molecular ionic liquids, gate-driven in-
tercalation of layered flakes by ionic liquids is known to result in immediate device failure due to delamination and destruction of the crystal structure [20]. As we show in the inset to Fig.2, gating an encapsulated device with pure BMPPD-TFSI ionic liquid results in a featureless, monotonically decreasing dependence of $R_s$ on $V_G$, and a comparable $R_s$ modulation with respect to the $V_G - V_{th} < 0$ regime of Li-TFSI gating at $T \gtrsim 330$K. In both cases the flakes quickly revert to their native insulating states by simply removing $V_G$, further supporting the electrostatic picture. Note that an Al$_2$O$_3$ layer with a thickness $d \sim 60$ nm ($\epsilon_r \sim 9$ [32]) provides a residual, non-negligible top-gate capacitance $C_{ox} = \epsilon_r \epsilon_0 / d \sim 130$ nF/cm$^2$, where $\epsilon_0$ is the vacuum permittivity. It is worth noting that an additional electrostatic contribution may also arise from quasi-1D channels induced at the exposed sides of the MoS$_2$ flakes.

We now show the electric transport of our encapsulated devices down to $T \sim 3$ K, both before ($V_G - V_{th} < 0$) and after ($V_G - V_{th} \gtrsim 0$), the onset of intercalation. Fig.3a shows the $T$-dependence of the resistance, normalized by its value at 300 K. The samples show clear metallic behavior both in the electrostatic and intercalated regimes. In Fig.3b, we plot two figures of merit for the transport properties as a function of $V_G - V_{th}$: the sheet resistance at 300 K, $R_s(300K)$, and the residual resistivity ratio $RRR$ defined as $R_s(300K)/R_{s,0}$, where $R_{s,0}$ is the minimum of $R_s$ over the entire measured $T$ range. The behavior of $R_s(300K)$ well reproduces our observation that, during the doping process, encapsulating the flake with Al$_2$O$_3$ is crucial to clearly distinguish surface and bulk-doped states as shown by the sharp drop in $R_s$ close to $V_{th}$. The decreasing dependence of $RRR$ instead indicates that carrier mobility is strongly suppressed by increasing $V_G$, especially in the intercalation regime. This behavior is possibly affected by the randomness caused by intercalation and progressive introduction of extra scattering centers due to the presence of the ions themselves, irrespective of the material under study [30, 37–40].

For $V_G - V_{th} < 0$, $R_s$ is a smooth increasing function of $T$. The large $RRR$ values in this regime indicate that defects provide a small contribution to the total car-

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**FIG. 3. Temperature dependence of the electrical transport.** (a) $R_s$, normalized at $T = 300$ K, as a function of $T$, before and after the onset of Li$^+$ intercalation. (b) Overdrive voltage dependence of the $R_s$ at $T = 300$ K (violet dots and lines), and of the residual resistivity ratio (green diamonds). Dashed line acts as a guide to the eye. (c) $T$ dependence of the first derivative of the $R_s$, before and after Li$^+$ intercalation. Curves are color-coded to match the legend in panel (a). Arrows indicate the $T$ values where the first derivative shows a dip (red) and a peak (blue). Curves are shifted for clarity. (d) Overdrive voltage dependence of $T_{hump}$ (blue up triangles) and $T_{dp}$ (down red triangles) in (c).
rrier scattering rate at high $T$. This can be associated to the insulation of the device from the ionic environment provided by the encapsulation layer, and is consistent with the drastically enhanced carrier mobility reported in MoS$_2$ transistors encapsulated with Al$_2$O$_3$ and other high-$\kappa$ dielectrics. For $V_G - V_{th} \geq 0$, however, a clear change of slope appears in the temperature dependence of $R_s$ around $\sim 200$ K. This anomalous “hump” becomes more evident for larger values of $V_G - V_{th}$. Below this hump ($T \lesssim 200$ K), the $R_s$ drops more rapidly with the decrease of $T$. At the same time, we observe the emergence of a resistance upturn for $T \lesssim 20$ K. This indicates that, at low $T$ and high doping, metallic behavior is suppressed.

The behavior of the resistance hump around $\sim 200$ K can be best visualized by the $T$ dependence of the first derivative of $R_s$, $dR_s/dT$, both before and after intercalation as shown in Fig.3. When the sample is not intercalated (violet curve), $dR_s/dT$ is a featureless function of $T$. In the intercalated state (upon increasing doping: light blue, green, yellow, orange and red curves), the resistance anomaly gives rise to a clear dip-hump structure in the $T$ dependence of $dR_s/dT$: a sharp dip at high $T$ ($T_{dip}$, highlighted by the red arrows), and a broader hump at lower $T$ ($T_{hump}$, blue arrows). These two features evolve differently with increasing doping: as we show in Fig.3, the $T_{hump}$ strongly increases with increasing $V_G - V_{th}$, while the $T_{dip}$ is nearly constant.

### III. DISCUSSION

Resistance anomalies in TMDs are usually associated with phase transitions to various CDW phases. These are ubiquitous in Nb-, Ta- and Ti-based dichalcogenides for both main polytypes (trigonal prismatic 2$H$ and octahedral 1$T$), with the exception of NbS$_2$. In particular, CDW transitions are observed in the $T$ dependence of the resistivity of undoped TMDs as large, hysteretic jumps in insulating compounds, and as less apparent humps in metallic ones.

Experimentally, doping can control CDW phases in TMDs, both by field-effect carrier accumulation at the surface as well as ion intercalation in the bulk. Generally, increase of carrier doping causes strong suppression of the CDW phases, favoring the onset of SC order. This, however, is not true for all compounds: for example, it has recently been demonstrated that doping strengthens the CDW phase both in 2$H$-NbSe$_2$ and 2$H$-TaSe$_2$ thin flakes. We thus consider whether the resistance anomalies we observed in encapsulated Li$_3$MoS$_2$ could be attributed to the emergence of a CDW phase. Such an interpretation would naturally account for the two different features observed in $dR_s/dT$ at $T_{hump}$ and $T_{dip}$, as well as their doping dependence. Indeed, in other TMDs, the dip in $dR_s/dT$ is associated to a transition to an incommensurate CDW phase at higher $T$, which is weakly dependent on doping, while the peak in $dR_s/dT$ to the further transition to a commensurate CDW phase at lower $T$, with a strong doping dependence. In addition, Ref. predicted that sufficiently strong electron doping ($> 0.15$ e$^{-}$/cell) would also cause the suppression of the SC dome in 2$H$-MoS$_2$, and the appearance of CDW order due to phonon instabilities. Conversely, Ref. calculated that both electron and hole doping may trigger a structural phase transition in MoS$_2$ from the semiconducting 2$H$ phase to the metallic 1$T$ phase. Furthermore, they predicted that while hole doping stabilizes the metastable 1$T$ phase, electron doping would then promote the transition to the more stable, distorted 1$T$ phase. The latter can be regarded as a CDW restructuring of the metallic 1$T$ phase and should exhibit semimetallic behavior with a graphene-like Dirac cone in absence of spin-orbit coupling.

Strictly speaking, these theoretical results were calculated for a single-layer. Nevertheless, we expect multi-layer samples to follow a qualitatively similar behavior, since bulk lithiation has been explicitly predicted to promote CDW transitions in both the 2$H$ and 1$T$ polytypes. Notably, CDW order is expected to be weaker in the 2$H$ structure, with moderate lattice distortion and suppressed electron localization, while the opening of a full band gap is predicted for the 1$T$ structure. Indeed, the TMD phase engineering by lithium intercalation has explicitly been demonstrated: in the case of MoS$_2$, lithiation of the pristine 2$H$ structure can result in the formation of both the regular 1$T$ and distorted 1$T'$ structures, as observed in STEM and Raman measurements.

A similar behavior was observed in Re-doped MoS$_2$ as well.

A further consistency check between the behavior of our samples and the onset of a CDW phase can be obtained by examining the scaling of the $T$ dependence of the $R_s$ in the intercalated state. In 2$H$-NbSe$_2$, TaS$_2$ and TaSe$_2$, the CDW ordering is phenomenologically associated with a pronounced change in the slope of the $T$ dependence of $R(T)$, when plotted in log-log scale. At high $T$, the scaling is linear in $T$ due to large-angle carrier scattering by acoustic phonons. At low $T$, the scattering follows a $T^p$ dependence due to small-angle electron-phonon scattering instead. The value of $p$ depends on the orbital symmetry of the bands involved in the scattering process: scattering from $s$-like bands, such as in 2$H$-TaSe$_2$ and TaS$_2$, gives $p = 5$, while scattering from $d$-like bands, such as in 2$H$-NbSe$_2$, gives $p = 3$. Larger values of $p$ are also considered as a measure of stronger CDW strength. For the $T$ between these extremes, scaling with an intermediate $p \approx 2$ is expected corresponding to the scattering by CDW fluctuations.

As shown in Fig.4, for $T \gtrsim 200$ K, our devices show a $T^p$ scaling with $p$ between 1 and 1.5. This reproduces well the behavior in bulk intercalated samples described in literature (black dots in Fig.4). The slightly super-linear scaling can be originated from both doping in-
Another possibility is that the larger intercalation $T \geq 330$ K employed in this work allowed for a structural phase transition away from the pristine $2H$ polytype [26, 27]. Thus, a second scenario would more closely follow the picture proposed in Ref. [28]. Doping with Li$^+$ ions would first induce a structural transition of the MoS$_2$ from the $2H$ to the $1T$ phase. Then the metastable $1T$ phase would undergo a CDW transition into the distorted $1T'$ phase at lower temperatures. Alternatively, doping could also induce a transition directly from the $2H$ to the $1T'$ phase, as theoretically suggested in Ref. [10]. In this case, the observed transport anomalies would require the presence of multiple CDW distortions available for the system, which have been predicted for $1T'$-MoTe$_2$ [57]. Finally, we cannot rule out the possibility that the sample remains in the $2H$ phase at high $T$ and undergoes a purely structural transition to the $1T/1T'$ phases during the cool down. However, we deem this last possibility unlikely due to the metastable nature of the $1T$ phase and the energy barrier that separates the two polytypes even in presence of Li$^+$ intercalants [28], which would hinder a transition at lower temperatures. These points cannot be settled purely by electric transport measurements, and further work will require using structure-sensitive techniques to characterize Li$_x$MoS$_2$ both as a function of $T$ and doping, such as Raman spectroscopy or X-ray diffraction studies.

IV. CONCLUSIONS

In summary, we fabricated multilayer MoS$_2$ devices to investigate the effects of field-driven Li$^+$ intercalation with a polymeric electrolyte at $T \geq 330$ K. To minimize the influence of ion accumulation at the surface, we encapsulated our devices with Al$_2$O$_3$ high-$\kappa$ dielectric, and employed RIE to obtain well-defined device geometry and edges, leaving only the sides of the flake exposed to the electrolyte. The resulting device architecture was confirmed via AFM. We monitored the effects of field-driven Li$^+$ intercalation by measuring $R_s$ in our devices as a function of $V_G$ and $T$. Encapsulation allowed us to clearly distinguish between surface ion accumulation and bulk ion intercalation by the presence of sharp drops in the $R_s$ vs. $V_G$ and time curves. We confirmed the electrostatic operation of our devices before the onset of intercalation by gating with a pure ionic liquid at $T \sim 240$ K. The doping process at high $T$ resulted in stable Li$^+$ incorporation in the MoS$_2$ lattice even when the electrolyte was still liquid, as long as the sample was then quenched below 320 K. We characterized the $T$ dependence of $R_s$ in the intercalated samples down to $\sim 3$ K, and observed anomalous metallic transport with a doping-induced hump in $R_s$ around $T \sim 200$ K. These anomalous features strongly suggest the onset of a possible phase transition in the intercalated flakes, and are the first report of anomalous metallic character in MoS$_2$ at ambient pressure. In analogy with the behavior of
several other TMDs, and in accordance with theoretical predictions from the literature, we propose an interpretation of these anomalies in terms of the formation of a CDW phase at large Li$^+$ doping.

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