Application of a Mapping Function to Estimate Utilities for Ragweed Allergy Immunotherapy Trials

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Abstract
Background Ragweed pollen sensitivity is a common cause of allergic rhinitis (AR) worldwide. AR symptoms include itchy and runny eyes, sneezing, blocked nose, impaired sleep and social and emotional problems, which can have a significant impact on quality of life.

Objective The objective of this analysis was to estimate utilities for two pooled standardised quality (SQ) ragweed sublingual immunotherapy (SLIT) tablet trials by applying a previously developed mapping algorithm. This study validated the algorithm and extended its application to ragweed seasonal allergy trials. The mapping algorithm relates disease-specific quality-of-life scores to preference-based utilities that may be used to calculate quality-adjusted life-years (QALYs) in cost-effectiveness studies.

Methods A mapping algorithm based on a grass pollen allergy immunotherapy trial, GT-08 (EudraCT no. 2004-000083-27) was applied to pooled data from two ragweed pollen immunotherapy trials, P05233 (EudraCT 2008-003863-38) and P05234 (EudraCT 2008-003864-20) to generate EuroQoL 5-Dimensions, 3-Levels (EQ-5D-3L) utilities from Rhinoconjunctivitis Quality of Life Questionnaire (RQLQ) data.

Results The mean utility difference between the SQ ragweed SLIT tablet and placebo was 0.025 [95% confidence interval (CI) 0.011–0.038]. The SQ ragweed SLIT tablet showed an incremental quality-adjusted life-days (QALDs) benefit of 1.900 (95% CI 0.835–2.916) over 75 days.

Conclusions Application of a previously developed mapping function allowed for the calculation of QALDs associated with the SQ ragweed SLIT tablet. The results showed a QALD benefit of the SQ ragweed SLIT tablet in P05233 and P05234 trials in the treatment of ragweed pollen-induced AR.

1 Introduction

Ragweed is an invasive flowering plant that originated in North America and spread worldwide. Ragweed sensitivity affects 15–26% of the population in the USA. In Europe, clinically relevant ragweed pollen sensitisation has a prevalence of 11% among referrals to allergy specialists and is a common cause of allergic rhinitis (AR) and asthma [1–4]. The symptoms of AR include itchy eyes, runny eyes, sneezing, blocked nose and impaired sleep, as well as social and emotional problems [5]. These symptoms significantly impact on patient quality of life [1, 6, 7]. Patients often require additional general practice services and medication, which can be a financial burden [6–8].

AR may be treated by allergen avoidance, symptom-relieving medications and allergy immunotherapy (AIT). Symptomatic medications include antihistamines, intranasal glucocorticoids and leukotriene receptor antagonists. However, symptomatic medications do not target the underlying disease process causing the allergy, so
treatment must be administered repeatedly for as long as patients experience symptoms, which can be for life [9]. AIT is a disease-modifying therapy that induces a long-term immune tolerance that benefits quality of life for years after treatment discontinuation [1, 10]. AIT contains allergen extracts from the target allergen and may be administered subcutaneously or sublingually [1, 11, 12]. The standardised quality (SQ) ragweed sublingual immunotherapy (SLIT) tablet is a convenient and evidence-based alternative to subcutaneous AIT, as it can be administered at home and has demonstrated efficacy in the treatment of ragweed pollen-induced AR. The at-home administration makes SLIT tablets a cost-saving alternative for the healthcare sector [13].

The effect of AR on quality of life may be assessed using preference-based measures (PBMs) or disease-specific measures. Generic PBMs assess general quality of life with standardised dimensions broad enough to capture quality-of-life differences in most disease areas. PBM responses may be used to generate health state utilities, which are relative preference weights for different health states measured on a cardinal scale and may be used to calculate quality-adjusted life-years (QALYs), a common outcome in cost-effectiveness studies [14, 15]. Disease-specific measures of quality of life such as the Rhinoconjunctivitis Quality of Life Questionnaire (RQLQ) have the advantage of greater sensitivity to condition-specific symptoms but may not be used to calculate QALYs [14–17].

When PBMs are not used in a clinical trial, it is possible to ‘map’ disease-specific quality-of-life scores to preference-based utilities that may be used to calculate QALYs in cost-effectiveness studies [16, 17]. In a previous study, we developed a mapping algorithm based on a grass pollen immunotherapy trial and applied the algorithm to a tree pollen immunotherapy trial in order to estimate utilities and quality-adjusted life-days (QALDs) [18]. In this study, we applied the algorithm to data pooled from two SQ ragweed SLIT tablet trials to estimate utilities and QALDs because PBM data were not collected.

2 Methods

2.1 Clinical Trials

The mapping algorithm was developed based on a grass pollen allergy immunotherapy trial, GT-08 (EudraCT no. 2004-000083-27) [19]. This trial was a randomised, double-blind, placebo-controlled, 5-year phase III trial designed to assess the efficacy and safety of the SQ grass SLIT tablet to treat AR in subjects with seasonal grass pollen allergy [9, 18]. The grass pollen season was defined between the first of three consecutive days and the last day of the last occurrence of three consecutive days with pollen count ≥ 10 grains/m³. In total, 634 adults with a clinical history of grass pollen-induced AR with moderate to severe symptoms despite pharmacotherapy use were randomised to active treatment plus pharmacotherapy or placebo plus pharmacotherapy. Subjects were asked to complete a daily record of AR symptoms and medication use in an electronic diary. The six recorded AR symptoms were runny nose, blocked nose, sneezing, itchy nose, itchy eyes and watery eyes. The three recorded asthma symptoms were cough, wheeze and shortness of breath. Subjects scored these symptoms on a scale from zero to three (0 = none, 1 = mild, 2 = moderate, 3 = severe). The AR symptom scores were added to generate the ‘daily symptom score’, and the asthma scores were added to create the ‘asthma symptom score’. Daily medication use was measured on a scale from 0 to 36 according to the type and dosage of medication used [19]. Throughout the trial, subjects had access to symptom-relieving medications, including desloratadine, olopatadine, budesonide and prednisone. Subjects were also asked to complete two quality-of-life instruments every week: the three-level EuroQoL 5-Dimensions (EQ-5D-3L) and the RQLQ [20].

The EQ-5D is a common PBM recommended by the UK National Institute for Health and Care Excellence for estimation of health state utilities. It measures quality of life in five dimensions: mobility, self-care, usual activities, pain/discomfort and anxiety/depression [21]. This study used the EQ-5D-3L instrument, and index values were derived from the UK value set [22]. The RQLQ is a disease-specific questionnaire consisting of 28 questions in seven domains: activity limitation, sleep problems, nose symptoms, eye symptoms, non-nose/eye symptoms, practical problems and emotional function. Subjects rated their health in these dimensions on seven levels (0 = no impairment, 6 = severe impairment) [23].

In this study, we applied the previously developed mapping algorithm to pooled data from two SQ ragweed SLIT tablet trials, P05233 and P05234, to calculate utilities and QALDs. The SQ ragweed SLIT tablet trials were pooled to increase the sample size and statistical power of the data. Pooling the trials was appropriate in this case because the trials were identical in terms of active treatment, population, treatment period, data collection and outcomes of interest. The primary difference between the studies was the location of the treatment sites, and the associated difference in pollen counts. However, the average pollen counts were similar between the two trials. The mean pollen count during the P05233 trial was 127 grains/m³, and the average pollen count during the P05234 trial was 122 grains/m³.

The P05233 trial evaluated the safety and efficacy of the SQ ragweed SLIT tablet (SCH 39641) compared with placebo for the treatment of AR in subjects with seasonal
Asthma Symptom Score

\( n = 197 \), 6 Amb a 1-U (n = 190), or the marketed dose of 12 Amb a 1-U ragweed SLIT tablet (n = 187). Subjects were treated for 52 weeks, beginning 16 weeks before the start of the ragweed season (RS). During the RS, patients were provided with symptom-relieving medications used in a stepwise manner according to symptom severity, including loratadine, olopatadine, mometasone and prednisone. The trial was initiated at 67 sites in the USA and at 13 sites in Canada. Similar to the GT-08 trial, subjects were asked to record daily symptoms and medication use in an electronic diary, assessed on the same scale as during the GT-08 trial. The RS was defined between the first of 3 consecutive days in which the ragweed pollen count was above the threshold of 10 grains/m\(^3\) and the last of three consecutive days in which the pollen count remained above the threshold.

The P05234 trial evaluated the efficacy and safety of the same SQ ragweed SLIT tablet and included an extra dosage arm [25]. In total, 784 subjects were randomised to placebo (n = 198) or one of three treatment groups: 1.5 Amb a 1-U (n = 197), 6 Amb a 1-U (n = 195) or the marketed dose of 12 Amb a 1-U ragweed AIT (n = 194). The trial design for P05234 was the same as in P05233, including treatment period, pollen threshold, data collection and scoring. P05234 included 114 sites in the USA, Canada, Hungary, Ukraine and Russia. Table 1 presents the baseline characteristics for both trials.

### 2.2 Mapping Algorithm

The development of the two-part mapping algorithm from the weekly EQ-5D-3L data has been described in detail in the previous study [18]. The first part of the algorithm predicts the probability of (im)perfect health, and the second part predicts the disutility conditional on imperfect health. Covariates were chosen for inclusion in the model according to their expected clinical relevance and availability in both the GT-08 trial and the trial onto which the utilities were mapped. The final models were developed using longitudinal weekly EQ-5D-3L data and included the following covariates: RQLQ score, daily symptom score, daily medication score, sex and asthma symptom score. All covariates in the algorithm were also available in each of the SQ ragweed SLIT tablet trials. This model was applied to the pooled data, and utilities were predicted using the regression equation coefficients presented in Table 2.

The first stage of the model predicts the probability of perfect health. The coefficients in Table 2 are in terms of log odds, so, to calculate the probability of perfect health, \( P(\text{health}) = \frac{e^{x\beta}}{1 + e^{x\beta}} \), for a linear predictor \( x\beta \), where \( x \) represents a vector of observed covariates for a given patient at a given time point, and \( \beta \) represents the estimated coefficients from Table 2 such that:

\[
\begin{align*}
\beta &= 0.623 \times \text{Male} - 0.069 \times \text{DSS} - 0.070 \times \text{DMS} \\
&+ 0.010 \times (\text{DSS} \times \text{DMS}) - 1.869 \times \text{RQLQ score} \\
&+ 4.587.
\end{align*}
\]

For example, if a female (male = 0) subject reports a symptom score of 7, a medication score of 8, and an RQLQ score of 4.86, then the probability of perfect health on that day is 0.013, which corresponds to a \( 1 - 0.013 = 0.987 \) probability of imperfect health.

In the second stage of the model, EQ-5D-3L disutilities, \( d \), were estimated from the coefficients of a linear mixed model and therefore predicted directly as:

\[
d = -0.003 \times \text{DSS} - 0.002 \times \text{DMS} \\
+ 0.0004 \times (\text{DSS} \times \text{DMS}) \\
+ 0.015 \times \text{Asthma Symptom Score} \\
+ 0.051 \times \text{RQLQ Score} + 0.141.
\]

In the observation described above, the subject also reported an asthma symptom score of 0, so the predicted disutility was 0.375. The results of both stages were used to calculate utility conditional on imperfect health:

\[
u = 1 - P(\text{imperfect health}) \times d = 1 - 0.987 \times 0.375 = 0.630.
\]

Predicted utilities were calculated for each day of the RS for each treatment arm. In this analysis, QALYs and utilities were analysed over the range of relative days with at least one EQ-5D-3L response in each treatment arm within the RS to accurately capture the utility difference during the RS. The difference in QALDs between treatment arms was calculated by multiplying the mean utility difference by the number of days over which the utilities were averaged. Only subjects at the marketed highest dosage level (12 Amb a 1-U) were included in this analysis. Days were counted relative to the first day of the pollen season, and day 0 of the analysis was the first day of the RS, which varied by geographic region of pollen exposure.

### 3 Results

The algorithm was applied to pooled SQ ragweed SLIT tablet trial data to predict EQ-5D-3L utilities. Figure 1 shows the predicted pooled mean utilities by treatment. The utilities are superimposed on daily pollen counts averaged across both
trials. The figure shows a clear separation between treatment arms, a difference that is most pronounced at the peak of the pollen season.

The mean utility difference and QALDs were calculated between relative days 0 and 75. At least one pollen region had a duration of 76 days, though many were shorter. This method ensured that all data points collected within the RS were considered in the calculation. The mean utility difference between the SQ ragweed SLIT tablet and placebo was 0.025 \( [95\% \text{ confidence interval (CI)} 0.011–0.038] \). QALDs were calculated by multiplying the difference in pooled mean utility by the length of the season of interest. The SQ ragweed SLIT tablet showed an incremental QALD benefit of 1.900 (95\% CI 0.835–2.916) (Table 3).

### Table 1 Baseline characteristics of SQ grass SLIT tablet and SQ ragweed SLIT tablet analytic sets

| Characteristic | GT-08 | P05233 | P05234 | Pooled ragweed trials |
|----------------|-------|--------|--------|-----------------------|
| | (n = 276) | (n = 277) | (n = 184) | (n = 196) | (n = 191) | (n = 380) | (n = 374) |
| **Sex, \( n (%) \)** | | | | | | | |
| Male | 167 (61) | 164 (59) | 93 (51) | 75 (41) | 100 (51) | 102 (53) | 193 (51) | 177 (47) |
| Female | 109 (39) | 113 (41) | 91 (49) | 108 (59) | 96 (49) | 89 (47) | 187 (49) | 197 (53) |
| **Age** | | | | | | | |
| Mean ± SD | 34.3 ± 10.1 | 34.2 ± 9.5 | 36.0 ± 9.2 | 34.8 ± 9.4 | 36.7 ± 8.5 | 35.7 ± 8.8 | 36.3 ± 8.9 | 32.3 ± 9.1 |
| Median (Q1; Q3) | 33 (27; 40) | 33 (27; 39) | 37 (29; 44) | 36 (27; 44) | 38 (30; 44) | 37 (29; 44) | 38 (30; 44) | 36 (28; 44) |
| **Rhinitis symptom score** | | | | | | | |
| Mean ± SD | 2.8 ± 3.4 | 2.0 ± 2.8 | 4.2 ± 4.0 | 3.6 ± 3.4 | 3.6 ± 3.8 | 3.0 ± 3.3 | 3.4 ± 3.5 | 3.3 ± 3.5 |
| Median (Q1; Q3) | 0 (0; 3) | 3 (1; 6) | 3 (1; 6) | 3 (0; 6) | 2 (0; 5) | 3 (0; 6) | 2 (0; 5) | 3 (0; 6) |
| **Medication score** | | | | | | | |
| Mean ± SD | 2.1 ± 3.3 | 1.5 ± 3.8 | 0.94 ± 3.0 | 1.8 ± 4.2 | 1.7 ± 3.3 | 1.7 ± 4.0 | 1.1 ± 3.1 | 1.1 ± 3.1 |
| Median (Q1; Q3) | 0 (0; 0) | 0 (0; 0) | 0 (0; 0) | 0 (0; 0) | 0 (0; 0) | 0 (0; 0) | 0 (0; 0) | 0 (0; 0) |
| **Asthma symptom score** | | | | | | | |
| Mean ± SD | 0.3 ± 0.9 | 0.2 ± 0.8 | 0.47 ± 1.2 | 0.37 ± 0.91 | 0.4 ± 1.1 | 0.4 ± 1.0 | 0.4 ± 1.1 | 0.4 ± 0.9 |
| Median (Q1; Q3) | 0 (0; 0) | 0 (0; 0) | 0 (0; 0) | 0 (0; 0) | 0 (0; 0) | 0 (0; 0) | 0 (0; 0) | 0 (0; 0) |
| **History of asthma, \( n (%) \)** | | | | | | | |
| Yes | 33 (12) | 43 (15) | 43 (23) | 42 (23) | 32 (16) | 36 (19) | 75 (20) | 78 (21) |
| No | 125 (45) | 145 (52) | 141 (77) | 141 (77) | 164 (84) | 155 (80) | 305 (80) | 296 (79) |
| Missing | 118 (43) | 89 (32) | 0 (0) | 0 (0) | 0 (0) | 0 (0) | 0 (0) | 0 (0) |
| **RQLQ score** | | | | | | | |
| Mean ± SD | 0.91 ± 1.0 | 0.69 ± 0.9 | 1.4 ± 1.3 | 1.2 ± 1.1 | 1.1 ± 1.2 | 0.98 ± 1.1 | 1.3 ± 1.2 | 1.07 ± 1.1 |
| Median (Q1; Q3) | 1 (0; 1.1) | 0.61 (1; 1) | 0.89 (0.3; 1.8) | 0.79 (0.1; 1.8) | 0.64 (0.1; 1.5) | 0.64 (0.1; 1.5) | 0.75 (0.14; 1.64) | 0.75 (0.14; 1.64) |

**RQLQ** Rhinoconjunctivitis Quality of Life Questionnaire, **SD** standard deviation, **SLIT** sublingual immunotherapy, **SQ** standardised quality

### Table 2 Allergic rhinitis mapping function to EQ-5D-3L

| Variable | Stage 1 | Stage 2 |
|----------|---------|---------|
| | Coefficient | \( p \text{ value} \) | Coefficient | \( p \text{ value} \) |
| **Male** | 0.623 | 0.009 | – | – |
| Rhinitis symptom score | –0.069 | <0.001 | –0.003 | 0.011 |
| Medication score | –0.070 | <0.001 | –0.002 | 0.089 |
| Interaction (rhinitis symptoms and medication) | 0.010 | <0.001 | 0.0004 | 0.001 |
| Asthma symptom score | – | – | 0.015 | <0.001 |
| RQLQ score | –1.869 | <0.001 | 0.051 | <0.001 |
| Intercept | 4.587 | <0.001 | 0.141 | <0.001 |
| Akaike information criterion | 7712 | – | 3487 | – |

**EQ-5D-3L** three-level EuroQoL 5-Dimensions instrument, **RQLQ** Rhinoconjunctivitis Quality of Life Questionnaire

\( \triangle \) Adis
Discussion

This study provides evidence that immunotherapy improves quality of life in patients with seasonal ragweed allergies and generates utilities for use in cost-effectiveness studies. Although mapping functions exist for chronic rhinosinusitis and asthma condition-specific measures, the algorithm developed in the previous study is the first to map RQLQ onto EQ-5D-3L utilities in AR [26, 27]. The results of the current study validate the previously developed algorithm, suggesting that the mapping algorithm may be applied to SQ ragweed SLIT tablet trials in addition to the SQ tree SLIT tablet trial in the previous study.

A key limitation in this analysis is the assumption that grass pollen allergy and ragweed pollen allergy have the same relationship with EQ-5D-3L utilities and other key covariates in the mapping algorithm. This assumption is supported by the fact that both grass and ragweed pollen are seasonal allergies and induce similar AR symptoms, such as itchy eyes, runny eyes, sneezing and blocked nose [7, 25, 28]. The trials are also similar in terms of the patient population, exclusion criteria and baseline characteristics. The sex balance was slightly better in the SQ ragweed SLIT tablet trials, and 5% more subjects reported a history of asthma. Both trials asked subjects to rate AR symptoms and medication use on the same scale. Symptom scores are approximately one point higher in the SQ ragweed SLIT tablet trials, indicating more severe symptoms in both treatment arms.

One difference between GT-08 and the SQ ragweed SLIT tablet trials was the specific medications available to subjects during the trials, although they are in the same drug class. In the GT-08 trial, subjects were offered symptom-relieving medications that included desloratadine, olopatadine, budesonide and prednisone to relieve residual symptoms. Subjects in the SQ ragweed SLIT tablet trials were offered loratadine, olopatadine, mometasone and prednisone. Both trials used these medications in a stepwise manner to control symptoms: first, an antihistamine, followed by an intranasal corticosteroid and then an oral corticosteroid. Asthma rescue medications were also allowed, though they were not included in the medication score. The AR medication use in both trials was rated on a 36-point scale in which each medication was weighted according to its estimated impact on allergy symptoms. Medication scores in the SQ ragweed SLIT tablet trials were lower by approximately half a point on a 36-point scale. The locations of the trial sites also differed between the two studies. The GT-08 study included sites in seven European countries, and the SQ ragweed SLIT tablet studies included sites in the USA, Canada, Hungary,

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Table 3  Pooled utilities and QALDs (days 0–75)

| Estimate                        | SE (95% CI)            |
|---------------------------------|------------------------|
| 12 Amb a 1-U average utility    | 0.949                  |
| Placebo average utility         | 0.924                  |
| Mean utility difference         | 0.025  0.007 (0.011–0.038) |
| QALDs                           | 1.900  0.531 (0.835–2.916) |

CI confidence interval, QALDs quality-adjusted life-days, SE standard error
Ukraine and Russia. Patients were exposed to differing levels of pollen across sites.

The trials also had different treatment durations. Subjects in the GT-08 trial were treated for 3 years and followed for 2 years after treatment discontinuation, whereas subjects in the SQ ragweed SLIT tablet trials were treated for an average of 52 weeks. The European Academy of Allergy and Clinical Immunology guidelines for allergy treatment recommend at least 3 years of AIT treatment to achieve a long-term treatment effect and improvement in quality of life [29]. Additional treatment and follow-up is needed to assess long-term improvement in quality of life.

5 Conclusions

Application of a previously developed mapping function allowed for the calculation of QALDs associated with the SQ ragweed SLIT tablet. The mapping function was developed in a previous study based on a grass pollen trial and applied in this analysis to two pooled SQ ragweed SLIT tablet trials, validating the use of the algorithm in other seasonal pollen allergies. Although there were differences in baseline characteristics and types of supplementary medications used in the trials, these differences are minor, and application of the mapping function was appropriate. The results of the mapping showed a significant QALD benefit of the SQ ragweed SLIT tablet in the pooled P05233 and P05234 trials in the treatment of ragweed pollen-induced AR.

Author Contributions KD conducted the statistical analysis and drafted the manuscript. AB designed the study, assisted with statistical analysis and interpretation, and contributed to the writing of the manuscript. HB contributed to the writing of the manuscript.

Compliance with Ethical Standards

Conflict of interest K Dick is an employee of Avalon Health Economics, which received funding from ALK. Dr Briggs is a director and shareholder of Avalon Health Economics and received compensation from ALK as a consultant for this work. He has also been contracted by Eisai, Bayer, Merck, Janssen, Novartis, Sword Health, Amgen and Daiichi Sankyo and received compensation outside of the submitted work. H. Brandi is an employee of ALK.

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Welfare of animals This article does not contain any studies with animals performed by any of the authors.

Informed consent Informed consent was obtained from all individual participants included in the study.

Data availability The clinical trial data that support the findings of this study are proprietary to ALK and therefore cannot be made publicly available.

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