SNAC: Coherence Error Detection for Narrative Summarization

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Abstract

Progress in summarizing long texts is inhibited by the lack of appropriate evaluation frameworks. A long summary that appropriately covers the facets of that text must also present a coherent narrative, but current automatic and human evaluation methods fail to identify gaps in coherence. In this work, we introduce SNAC, a narrative coherence evaluation framework for fine-grained annotations of long summaries. We develop a taxonomy of coherence errors in generated narrative summaries and collect span-level annotations for 6.6k sentences across 150 book and movie summaries. Our work provides the first characterization of coherence errors generated by state-of-the-art summarization models and a protocol for eliciting coherence judgments from crowdworkers. Furthermore, we show that the collected annotations allow us to benchmark past work in coherence modeling and train a strong classifier for automatically localizing coherence errors in generated summaries. Finally, our SNAC framework can support future work in long document summarization and coherence evaluation, including improved summarization modeling and posthoc summary correction.\textsuperscript{1}

1 Introduction

As pre-trained models for news summarization (Lewis et al., 2020; Zhang et al., 2020; Brown et al., 2020) have improved drastically, researchers have begun tackling increasingly challenging settings, particularly long document summarization and generation of longer summaries (Kryściński et al., 2021; Huang et al., 2021; Zhang et al., 2022; Wu et al., 2021). Summaries in these settings differ considerably from the newswire summaries of past research efforts (Nallapati et al., 2016; Narayan et al., 2018): models now need to extract salient information from different parts of a significantly longer document, and naively combining these in a much longer output is less likely to yield a summary with coherent discourse structure.

This shift in the scope of the summarization task calls for a reexamination of the summarization evaluation framework. Even for short newswire summaries, Fabbri et al. (2021) showed that automated metrics are inadequate, and consequently, reporting results from a human evaluation study has become the standard practice. However, human evaluation is rarely done for longer summaries possibly due to the associated labor costs of reading and evaluating long text. It is also unclear whether A/B testing or Likert-scale based annotation frameworks transfer to long summary settings. Establishing human evaluation protocols is critical for comparing different modeling approaches and measuring progress.

Recently, Wu et al. (2021) proposed a strong book summarization model but showed that although generated summaries covered important information from the books, they read like a list of events stapled together without any coherent narrative structure (see Figure 1). We found similar

\textsuperscript{1}All collected annotations and models released at: https://github.com/tagoyal/snac.

Figure 1: Excerpt from a generated book summary by OpenAI’s 175B model (Wu et al., 2021). Individual segments do not follow a coherent structure and extra information is often needed to understand the narrative.
characteristics in other recent narrative summarization models (Kryściński et al., 2021; Zhang et al., 2022). Now that models are so good at generating fluent and on-topic sentences, the coherence of the whole summary becomes a first-order issue that must be evaluated in these new settings.

In this work, we introduce SNAC, a framework for collecting fine-grained annotations to evaluate Summary Narrative Coherence. We develop an error schema with 7 narrative error types grounded in actual errors made by current summarization models. Our fine-grained taxonomy allows annotators to explicitly state what kind of coherence error exists in a summary and pinpoint where it occurs. We show that such a fine-grained annotation framework is better suited for collecting crowd annotations than a Likert scale-based holistic evaluation of coherence.

We enlist crowdworkers to collect a large-scale dataset of 9.6k span-level error annotations in narrative summaries generated by current state-of-the-art summarization models (Wu et al., 2021; Zhang et al., 2022) on two datasets: movie screenplays (Chen et al., 2022) and books (Kryściński et al., 2021). Our work is the first to characterize specific errors made by these systems and gaps that exist with human-written coherent summaries. While recent efforts have studied errors in open-ended generation (Dou et al., 2022), these differ drastically from summarization errors and their taxonomies and findings are not transferable (see Appendix A).

We also evaluate the performance of automatic coherence models, comparing synthetic data generation techniques (Moon et al., 2019; Shen et al., 2021) against SNAC annotations as training sources. Not only do models fine-tuned on SNAC outperform those trained on synthetic datasets, we find that they also report higher recall than individual human annotators at identifying fine-grained coherence error categories.

Our collected dataset and analysis provides a foundation for downstream applications such as better long summary evaluation, coherence-aware generation, and post-correction of summaries.

2 Long Narrative Summarization

We study coherence errors in two domains, books and movie screenplays, although our taxonomy and annotation methodology are broadly applicable.

Books We evaluate the depth 1 book summaries generated by a GPT-3 based model (Wu et al., 2021). We evaluate both its 175B and 6B versions, denoted by BOOK-175B and BOOK-6B respectively. On average, these are ~35 sentences long.

Movie Screenplays We generate summaries for the movie scripts dataset (Papalampidi et al., 2020) using the BART-based SummN model (Zhang et al., 2022). These are ~40 sentences in length. We refer to them as MOVIE-BART.

The majority of prior research in evaluation of evaluation metrics (Kryściński et al., 2019; Bhandari et al., 2020; Fabbri et al., 2021) has focused on news summarization datasets (Nallapati et al., 2016; Narayan et al., 2018). However there exist substantial differences in the scale of news settings and narrative summaries: the former are considerably shorter at ~3 sentences per summary. We first explore whether existing approaches to evaluation can work well despite this difference.

2 SummN is trained on TV episode screenplays. However, TV episodes are not self-contained narratives and often refer to events from previous episodes, making this an update summarization task which is harder to evaluate for coherence out of context. Therefore, we summarize movie scripts instead.
Limitations of Current Human Evaluation

Summary-level Likert scale annotations are the most commonly used setup for collecting coherence in single-document news summarization research (Fabbri et al., 2021). Here, we run an analogous study for our longer narrative summaries.

We ask 3 Mechanical Turk workers with prior experience in annotation for NLP tasks, specifically discourse analysis and text simplification, to rate the overall coherence of 100 generated summaries on a 5-point scale. Table 1 reports the observed agreement, measured by Krippendorff’s $\alpha$. Compared to newswire summaries collected under a similar setup (Fabbri et al., 2021), annotations for longer narratives have a much lower agreement. This shows the difficulty in obtaining a consensus on coherence for a 500+ word summary through a single value on a 5-point scale.

In Appendix B, we further show that automatic metrics like ROUGE and BERTScore (Zhang et al., 2019) that are primarily used for evaluating long document summarization fail to penalize coherence errors in summaries. Better tools for both automatic and human evaluation are needed.

3 SNaC Annotation Methodology

We design our methodology to: 1) simplify the summary-level annotation task into smaller sub-tasks, and 2) provide a structured framework that allows annotators to specify the type of coherence error, instead of evaluating coherence holistically.

3.1 Task Workflow and Notation

We decompose the summary-level task into smaller segment-level tasks: at each step, annotators evaluate a subpart of the summary, which is usually 2-4 sentences long. Let $S_0, S_1 ... S_N$ denote these summary segments. While evaluating segment $S_i$, coherence judgments are made with respect to both the context $S_0, S_1 ... S_{i-1}$ and text within $S_i$.

To annotate a single error in $S_i$, annotators select the error span $t_j \in S_i$ and the coherence error type $e_j$ (error taxonomy outlined in Section 3.2) to construct the error triple $a_j = (S_i, t_j, e_j)$. This process is repeated until all errors in segment $S_i$ have been added, after which they proceed to the next segment $S_{i+1}$ for annotation. At the end of the annotation, workers produce the full set of annotations $A = \{a_j \forall j\}$ across all the text segments. The outcome of this is shown in Figure 2.

For book summaries, i.e. BOOK-175B and BOOK-6B, our segments come from boundaries present in the generated summaries. These are an average of 2.7 sentences. For MOVIE-BART, we segment summaries into chunks of 3 sentences.

3.2 Error Taxonomy

Reinhart (1980) states three conditions for coherence: connectedness (cohesion), consistency, and relevance. Our error taxonomy is guided by these conditions while covering the broad range of coherence errors produced by current models.

We divide errors into two categories: a) Coherence errors: these measure whether the summary is well-structured and events in the summary make narrative sense, and b) Language errors: these measure other aspects of the quality of generated text, such as grammar. While these do not come under the ambit of coherence errors, we found it useful to provide these additional error types for crowd workers to anchor other “badness” in text to.

3.2.1 Coherence Errors

New character without introduction (CharE)

These refer to scenarios where a new person is introduced in the narrative without providing any background about the person, or their relation with other characters in the story. This violates condition 1 of coherence, i.e. connectedness. Note that well-known people, e.g. Barack Obama, do not need an introduction.3

Missing reference to an event or object (RefE)

These refer to scenarios where an event or object is mentioned for the first time, but the phrasing strongly implies that it must have been introduced previously or that some context is missing to fully understand it. E.g., in Figure 2, the phrasing of her husband’s suicide gives the strong impression that the reader is already aware of this event.

Abrupt scene transition (SceneE)

These occur where there is a sudden shift in the narrative and are

| News (Expert) | News (Crowd) | Books (Crowd) |
|---------------|--------------|---------------|
| 0.41**        | 0.48         | 0.19          |

Table 1: Summary-level agreement, measured by Krippendorff’s $\alpha$. **Expert agreement after one round of annotations; this aligns with the crowd setting.
related to both connectedness and relevance. For these, we ask annotators to select whole sentences.

**Inconsistency (InconE)** These errors violate the second condition of coherence, i.e. contradicting other information in the Context or within the Current Segment. For these errors, we also ask annotators to choose the previous span it is inconsistent with.

### 3.2.2 Language Errors

**Repetition (RepE)** These are used to detect repetition. Similar to InconE, annotators also select the antecedent span with the repeated content.

**Ungrammatical or Nonsensical Text (GramE)** These refer to text spans with grammar errors. Also included in this category are cases where there are obvious model degenerations.

**Unclear coreference (CorefE)** These refer to cases where it is unclear who or what a pronoun is referring to. While sometimes requiring extra clarity, we found that there errors rarely affected the overall narrative understanding unless they co-occurred with GramE. Therefore, we do not include them in the coherence error category.

The version of definitions and task instructions given to the annotators is in Appendix D.

### 4 Data Collection

We collect annotations from two types of annotators: experts and crowdworkers.

| Type     | Dataset | #summ | Span | Sent | Seg |
|----------|---------|-------|------|------|-----|
| Expert   | BOOK-175B | 5     | 323  | 173  | 111 |
|          | BOOK-6B  | 5     | 401  | 174  | 66  |
| Crowd    | BOOK-175B | 55    | 3.1k | 2.2k | 1.1k|
|          | BOOK-6B  | 55    | 2.9k | 2.2k | 0.7k|
|          | MOVIE-BART | 40    | 2.8k | 1.8k | 0.6k|
| Total    |         | 160   | 9.6k | 6.6k | 2.6k|

Table 2: Statistics for expert and crowd annotations per level of granularity: span-, sentence- and segment-levels. Span-level annotations are multi-class, sentence- and segment-level have binary labels of coherence.

### 4.1 Expert Annotations

Expert annotations were collected from 3 authors who have previously published papers in text summarization and have experience engaging with model-generated text. Each annotator evaluated 10 book summaries, 5 each from BOOK-175B and BOOK-6B. This resulted in a dataset of ~700 span-level error annotations. Furthermore, we project span-level annotations to obtain binary coherent (no coherence error) and incoherent labels (at least one coherence error) at the sentence- and segment-levels. Table 2 provides statistics at these levels.

We observed high inter-annotator agreement for expert annotators at both the sentence- and segment-levels (see Table 4). We used this dataset to train crowdworkers in the next stage.

### 4.2 Crowd Annotations

We first launched a qualification task to recruit MTurk workers. The qualification was only made available to a subset of workers who had previously worked on other data annotation efforts for
NLP tasks. It included detailed instructions explaining the task workflow, interface, and error schema. Each worker was asked to annotate 2 book summaries; these summaries were chosen from the set of expert annotations. Workers were paid $12 for attempting this qualification.

We evaluated each worker’s annotations against experts and sent individual feedback. Among coherence errors, we observed that workers generally tended to disagree on RefE; each worker had a different calibration of which events or objects require more context to improve overall narrative understanding. Another common source of disagreement between workers and experts were SceneE errors. To help align their understanding with experts, we provided workers with a complete set of expert annotations for a whole summary for reference.

We recruited 11 workers after the qualification to annotate 150 generated summaries. Each summary was annotated by 3 different annotators. Workers were paid an average of $12/hr.

**4.3 SNAC Dataset**

Our resulting dataset consists of ~9.6k span-level annotations for coherence judgments, across 160 summaries. Dataset statistics for the entire collected dataset, including both expert and crowd annotations, are shown in Table 2.

A summary-wide expert annotation is SNAC is shown in Figure 3. Noticeably, CharE spans constitute the majority of errors; this observation is consistent throughout all datasets. Annotators tend to show higher recall and agreement over this category. SceneE and RefE are the next two major error categories. The annotations also illustrate the two reasons for SceneE: 1) there is a sudden change in setting and characters, e.g. *Mr Lorry visits*... and 2) the previous scene is abruptly cut off, e.g. *In court, Mr. Darnay,...*, where Ms. Mannette’s story is unfinished.

We observed that worker annotations are high precision but low recall (CharE errors are an exception; workers have both high precision and recall for this category). This means that error spans identified by each worker tended to be actual errors, even when they were not detected by other annotators. Therefore, we combine annotations of all 3 annotators to construct the full SNAC dataset.

**Error Distributions** Figure 4 shows the fraction of unique errors of each error type annotated across all datasets. As seen in Figure 3 annotations, the majority of the coherence errors are due to CharE, RefE or SceneE. The bottom graph of Figure 4 shows the number of error tokens annotated (instead of numbers of errors) for each error type. We see that annotators mark a larger fraction of tokens in the BOOK-6B dataset as erroneous compared to BOOK-175B. The main difference comes from the difference in SceneE (annotators are instructed to select entire sentences) and GramE. As expected, for smaller summarization models, i.e. GPT-3 6B and Bart, a larger fraction of errors and error tokens are associated with language errors compared to GPT-3 175B. In fact, we noticed that workers were more likely to skip coherence error annotations, e.g. RefE, when these co-occur with GramE for these models, particularly on BOOK-6B.

**Human annotators focus on language errors while assessing coherence holistically.** To understand which aspects of a summary contribute to the summary-level coherence rating provided by crowd workers, we compute the correlation between the number of errors of each type with the overall coherence score (Likert rating on a scale of 1-5, described previously in Section 2).4

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4We previously showed that annotators do not agree on overall summary ratings. However, this experiment differs in
Table 3: Pearson correlation between no. of errors and summary-level coherence score for error categories. Annotators tend to focus on grammar instead of coherence-specific errors while assigning overall summary-score. ∗: p-value < 0.05, according to a two-tailed test.

Table 4: Segment and sentence-level agreement, measured by Krippendorff’s α for SNAC. Our dataset reports higher inter-annotator agreement compared to newswire summaries adapted to a similar setting.

Table 5: Token-level agreement for errors in the coherence sub-category. For RefE and InconE, we also report agreement (in parentheses) after normalizing span boundaries for overlapping errors.

the threshold that gives the best agreement score. We compare Krippendorff’s α for SNAC and news in Table 4: SNAC reports high inter-annotator agreement at both the sentence- and segment-level. Notably, this segment level agreement is better than that of crowdworkers in the news domain.

4.4 Inter-Annotator Agreement

We first compute inter-annotator agreements at the sentence- and segment-levels. This allows for an apples-to-apples comparison with Fabbri et al. (2021) as the average length of news summaries is roughly equal to our segment length. We convert their 5-point Likert ratings into binary labels using that each annotator’s aggregated segment-level errors are correlated with their own summary-level judgment; here, agreement between annotators is not relevant.
Gabriel Oak leases a sheep farm and becomes infatuated with Bathsheba, a beautiful young woman. He asks her aunt for her hand in marriage, but she turns him down because she doesn’t love him. Gabriel’s reputation as a shepherd makes it difficult for him to find work, so he plays his flute to earn money. Bathsheba dismisses the bailiff for stealing and decides to manage the farm on her own.

We next evaluate fine-grained prediction: can models identify the specific coherence error type and pinpoint the error span? In this case, our models predict $P(y \mid c, s)$, where $y$ is a bundle consisting of $y$ and a set of error tuples $\{(c_j^{\text{pred}}, s_j^{\text{pred}})\}$ if $y = 0$. We report the precision, recall and F1 performance at correctly identifying the error type, i.e. $c_j^{\text{pred}} = c_j^{\text{true}} \forall e_j$. We also report over-computed as the fraction of times the predicted error span overlaps with the correct error span.

5.1 Models for Comparison

We compare performances of three types of models: (1) unsupervised (UNSUP), (2) Models trained on synthetic data targeting coherent errors (SYN). We follow prior work (Joty et al., 2018; Shen et al., 2021) and generate synthetic training data by introducing artificial coherence errors in reference text, specifically on the BookSum dataset (Kryściński et al., 2021). We ensure zero overlap between this synthetic train set and the evaluation test set. (3) Models fine-tuned on the SNAC data (FT).

(UNSUP) LM Perplexity We use GPT-2 (Rafford et al., 2019) to obtain the probability of the sentence $s$, given the context $c$, i.e. $P(s \mid c)$. The dev set is used to select a threshold $\tau_{LM}$ and obtain binary labels from these probabilities: predict an error if $P(s \mid c) < \tau_{LM}$.

(UNSUP) Entity Grid We construct entity grids (Barzilay and Lapata, 2005, 2008) for both predicted and gold summaries in order to compare their discourse structures. Using gold summaries in the BookSum dataset, we estimate the probabilities of syntactic role transitions of entities between sentences, e.g. $p(S \rightarrow O), p(S \rightarrow X), p(O \rightarrow S)$, etc. Then, we score the coherence of a predicted summary $s$ as the log probability of the transition from $c^{-1}$, i.e. the last sentence of context $c$, to sentence $s$: $w(c, s) = \sum_{r \in E} \log p(r(s, e) \mid r(c^{-1}, e))$. Here, $E$ is the full set of entities in $s$ and $c^{-1}$ and $r(x, e)$ denotes the role of entity $e$ in sentence $x$.

The SNAC dev set is used to select a threshold $\tau_{EG}$ and obtain binary labels from these scores: predict a coherence error if $w(c, s) < \tau_{EG}$.

(SYN) Coref-based This technique is designed to specifically target CorefE and RefE errors. We run a coreference model (Lee et al., 2018) to extract coreferent chains in gold summaries. Let $s_i, s_{j > i}$ be sentences with the first and second mention of
Mr. Bingley meets the Bennet family at Netherfield Park. Jane, the eldest Bennett girl, is attracted to... Yet they refuse to help. Yes No John Yes No coreferent SYNTHETIC DATAFT w/ span Reference Summary SNaC Summary

Figure 6: Training data generation, and T5 inputs and outputs for the SYN and FT w/ span models. The FT w/o span model only generates yes/no and not the specific category or span.

an entity. We derive non-coherent examples by setting $s = s_j$ and removing sentence $s_i$ from the context, i.e. $c = s_1 s_2 \ldots s_{i-1} s_{i+1} \ldots s_{j-1}$ (shown in Figure 6). Conversely, for positive coherent training data, we retain the original context from the gold summaries, i.e. $c = s_1 s_2 \ldots s_i \ldots s_{j-1}$. We fine-tune T5-Large (Raffel et al., 2020) for binary classification $P(y \mid c, s)$ on these $(y, c, s)$ triples; training data sizes and intrinsic performance are reported in Appendix C.

(dyn) Next-Sentence This method is designed to target SceneE errors and closely resembles the sentence insertion method from prior work (Shen et al., 2021). Given context $c = s_1 s_2 \ldots s_i$, we obtain negative coherence examples by replacing the next sentence with another randomly sampled sentence from the remainder of the same summary, i.e. $s = s_j$, where $j > i + 1$. Positive examples are created by retaining the original summary completion, i.e. $s = s_{i+1}$. Figure 6 illustrates this. We fine-tune T5-Large to model $P(y \mid c, s)$.

(FT) Models trained on SNAC data We consider two versions: 1) w/o span: trained to generate yes/no reflecting the coherence of sentence $s$, and 2) w/ span: trained to additionally predict the error category (e.g. CharE) and the corresponding error spans. Note that $s$ can have errors belonging to multiple error categories, the model is trained to generate these in sequence. Figure 6 illustrates this. For SceneE, we omit span prediction as these are designed to incorporate the whole sentence.

Figure 7: Performance of the different models on the SNAC test set. Models trained on SNAC outperform those trained on synthetically generated datasets.

Table 6: Comparison between FT w/ span model and humans. Humans have higher precision while trained models report better recall across the top 3 error types.

| Error       | FT w/ span |   | Human |   |
|-------------|------------|---|-------|---|
|             | P          | R | F1    | ov.| P          | R | F1    | ov.|
| CharE       | 0.79 (.86) | .81 | .80 | .98 | 0.88 | .71 | .79 | .98 |
| SceneE      | 0.75 (.58) | .49 | .40 | 1.0 | 0.58 | .36 | .44 | 1.0 |
| RefE        | 0.19 (.44) | .22 | .21 | .88 | 0.31 | .17 | .22 | .92 |
| InconE      | 0.25 (.25) | .02 | .04 | 0.0 | 0.29 | .16 | .20 | .97 |

5.2 Results

Sentence-level binary classification Figure 7 shows an ROC curve of different models; the dotted black line indicates random chance. It shows that the entity-grid approach performs poorly compared to all neural approaches. Next, all trained models outperform the LM perplexity model; language models aggregating token-level probabilities cannot detect coherence errors. Finally, models trained on SNAC outperform synthetic datasets which are the primary source of training data in prior coherence work. This show that human annotations are needed to train strong coherence classifiers.

Fine-grained prediction Only our FT w/ span model is trained to predict both the error category and the corresponding spans. Therefore, we compare its performance against human annotators. For an apples-to-apples comparison, we re-construct our test set by aggregating annotations of two randomly chosen annotators. This unfairly penalizes FT w/ span by introducing a mismatch between its train and test conditions, especially precision. Therefore, we also report precision scores on the original test set in brackets. Full set of results on the original test set are in Appendix C.
Table 6 outlines the results. As observed during qualitative evaluation, the held-out human annotations are high precision and low recall. On the other hand, FT w/ span is trained on the aggregated annotations from three annotators and reports higher recall than humans. Consequently, its F1 scores are comparable to human performance except for InconE. We attribute this to the limited number of training examples of this category.

Similar to previous analysis, we observe that models and humans report the best performance at detecting CharE. Interestingly, the trained model can identify both SceneE and RefE with higher recall compared to human annotators. For these top three error types, trained models are successful at localizing error to specific spans, reporting high overlap scores.

6 Discussion

Our analysis of current narrative summarization models reveals that these do not generate coherent narratives; in fact, each generated summary contains ~30 coherence errors of varying degrees of severity. Moreover, both automatic and human approaches for coherence evaluation fail to reliably measure coherence. SNAC addresses this gap.

However, we stop short of providing a prepackaged metric: which errors are more severe is application-dependent and subjective, and overall error counts cannot be compared. We encourage future work to focus on fine-grained error annotations, like those we present here, instead of sentence- or document-level annotations that do not provide actionable insights. We also recommend fine-grained error modeling for future coherence systems as well. While previous modeling has targeted document- or sentence-level coherence, our models trained on SNAC data can detect span-level coherence errors, particularly CharE errors with high accuracy. This automatic error localization opens up future avenues of post-hoc error correction systems built on top of coherence models.

7 Related Work

Coherence frameworks inspired by Centering Theory (Grosz et al., 1995), Barzilay and Lapata (2005, 2008) proposed entity-grid models to measure coherence through transitions of entity roles. This was further extended to incorporate non-head entities (Elsner and Charniak, 2011), discourse roles (Lin et al., 2011), and other improvements (Feng and Hirst, 2012; Feng et al., 2014), including neural variations (Guinaudeau and Strube, 2013; Nguyen and Joty, 2017; Joty et al., 2018) to better model text coherence. However, these models have been evaluated primarily on document-level essay scoring tasks (Mesgar and Strube, 2018) or artificial sentence-ordering tasks (Shen et al., 2021), and not on model-generated coherence errors.

Summarization Evaluation Automatic metrics such as BLEU (Papineni et al., 2002), METEOR (Banerjee and Lavie, 2005), ROUGE (Lin, 2004), BERTScore (Zhang et al., 2019), and others have been used to evaluate summarization, but Fabbri et al. (2021) showed that these correlate poorly with summary quality. Human evaluation is widely considered the gold standard for generation tasks, however, recent work (Karpinska et al., 2021; Clark et al., 2021) demonstrated that humans are not reliable for evaluating strong models like GPT-3.

8 Conclusion

We introduce SNAC, a narrative coherence evaluation framework for long summaries. We develop an error taxonomy grounded in coherence errors made by current models and annotate data to provide the first characterization of such errors in narrative summaries. We also make our annotation tool publicly available to support future research efforts.

9 Limitations

Although we view this work as an important step towards better understanding and evaluation of coherence in summaries, we acknowledge there is much more to do here. In this work, we only collect annotations and analyze coherence errors in summaries of English language books and movie screenplays. Our proposed taxonomy may not cover errors made by text summarization models for other languages and our trained models and analysis are English-specific.

Moreover, some of these books summarized were written decades ago and may reflect the societal biases of those times, which could conceivably bias our trained error detection models. In this work, we use the text from the model generated summaries as is and do not perform any filtering.

Finally, our work studies generated summaries for long narrative text. While we believe that our taxonomy is generalizable to other types of narrative text, we do not investigate whether it covers
other domains involving summarization of long documents, such as government report summarization (Huang et al., 2021) or meeting summarization (Zhong et al., 2021).

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A Narrative Summarization ≠ Open-Ended Generation

In Section 4.3, we noted that narrative summarization exhibits substantially different errors than open-ended text generation tasks like story generation or story completion, hence the need for our new taxonomy. We show examples of generated stories using the GPT-3 DaVinci in Figure 8. We prompt the GPT-3 text-davinci-002 model with the first few sentences of three generated summaries and ask for a 500-word completion. The coherence errors contained in these model outputs are very different from those in our narrative summarization setting. In particular, the stories hardly introduce any new characters (only Mr. Greene is introduced in the third example), and when they do, these are properly contextualized with the narrative. Furthermore, these models rarely generate RefE and generate no SceneE type of errors. In fact, repetition errors, shown in blue, dominate these narratives. Therefore, error taxonomies devised for these tasks, e.g., SCARECROW (Dou et al., 2022), are not useful for summarization settings which needs to be independently studied.

B Limitations of Automatic Metrics

Long document summarization research (Chen et al., 2022; Huang et al., 2021; Kryściński et al., 2021; Mao et al., 2021; Pang et al., 2022) has primarily relied on ROUGE scores to evaluate summaries. But do these capture narrative coherence? We test this for long narrative summaries, using the BOOK-175B dataset as a case study. Specifically, we test whether ROUGE or BERTScore (Zhang et al., 2019) can differentiate between actual generated summaries and their corrupted versions with artificially injected coherence errors. We introduce 3 types of coherence errors to generated summaries:

1. Random shuffling using a random permutation of all sentences in a BOOK-175B summary. This does not change the overall length of the generated summary.

2. Repetition of a randomly selected subset of sentences. We randomly sample 50% of the sentences to repeat, all other sentences only occur once.

3. Retaining only named entities in the summary and top generated bigrams. We first extract the top 200 bigrams from the generated summaries in BOOK-175B, which include frequent bigrams like of the, that he, then he, in the, etc. For each test set summary, we construct a corrupted summary by concatenating all named entities in the summary ( appending each named entity as many times as it occurs in the original summary) and the top bigrams extracted from the testset-wide summaries.

For an upper bound, we also report metrics for a different human-written summary for the same input book sampled from the BookSum dataset.

Automatic metrics fail to penalize coherence errors. Table 7 shows that both shuffling and repetition do not hurt ROUGE or BERTScore, despite introducing critical coherence errors in generated summaries. The +NE & bigram setting does lead to a significant drop in BERTScore as these summaries are no longer fully-formed sentences. However, even this trivial baseline reports ROUGE scores on par with the original BOOK-175B summaries, showing that ROUGE is easy to “game” for this task. Finally, we see that human-written summaries, i.e., gold coherent summaries, only report 2 points of improvement in R2 and BERTScore over artificially incoherent baselines. This clearly shows that these metrics are inadequate to mea-
Prompt (Human-Written):
Complete this story in 500 words.
Miss Manette receives a letter from the bank informing her that information about her father's small property has been discovered. Though it was not the property she originally envisioned, Miss Manette was happy to have a place to call her own.

**Continuation by GPT-3 DaVinci:**
The letter is from the bank. It reads:

"Dear Miss Manette, We regret to inform you that information about your father's small property has been discovered. The property was willed to you upon his death, but due to some legal complications, it has been tied up in the courts. We are currently working to resolve the matter, but in the meantime, we thought you should be aware of the situation. We will keep you updated on any developments. Sincerely, The Bank"

Miss Manette is surprised and disappointed to learn about the property. She had no idea her father even owned it. She wonders what legal complications are preventing her from accessing it. The bank assures her they are working to resolve the matter, and she decides to wait and see what happens. In the meantime, she is curious to learn more about the property and what her father's intentions were for her to have it. A few weeks later, the bank contacts Miss Manette again to let her know that the matter has been resolved and she is now the owner of the property. They give her the address and she is shocked to find that it is a small house in a rundown neighborhood. Despite the property's condition, Miss Manette is thrilled to finally have it and begins making plans to renovate it. She knows it will be a lot of work, but she is up for the challenge. With the help of her friends, she is able to transform the house into a cozy home that she can be proud of. Though it is not the property she originally envisioned, Miss Manette is happy to have a place to call her own.

Prompt (Human-Written):
Complete this story in 500 words.
The narrator, a Yale graduate, moves to New York to learn the bond business. He visits his second cousin, Daisy, and her husband, Tom, for dinner. During the dinner, Daisy mentions she wants to go back to Chicago the next day.

**Continuation by GPT-3 DaVinci:**
The narrator asks if he can go with her, and Daisy agrees. When they get back to Daisy and Tom's apartment, the narrator sees a man in the shadows who he later learns is Tom's mistress's husband. The next day, the narrator and Daisy take the train to Chicago. On the train, Daisy tells the narrator that she is pregnant and that Tom wants her to have an abortion. Daisy says she wants to keep the baby, but is afraid of what Tom will do if she does. The narrator offers to help Daisy, and they make a plan to meet in Chicago the following week.

The following week, the narrator meets Daisy in Chicago. They go to a hotel, and the narrator gets a room for Daisy. Daisy is hesitant to go into the room, but the narrator assures her she will be safe. Daisy takes a bath, and the narrator reads to her from a book of poems. Later, Daisy falls asleep, and the narrator watches over her. In the morning, the narrator wakes Daisy and tells her it is time to go. They leave the hotel and take a taxi to the train station. Daisy thanks the narrator for his help, and they say goodbye. The narrator returns to New York and tells Tom what happened. Tom is angry and demands that the narrator leave. The narrator leaves, but not before telling Tom that Daisy is going to keep the baby. The narrator moves back to Chicago and meets Daisy again. Daisy is now married to a man named Gatsby, and they have a baby. The narrator is happy for Daisy and her new family.

Prompt (Human-Written):
Complete this story in 500 words.
John Fenwick, an aspiring artist, accepts a loan from Mr. Morrison to move to London to pursue his art career. He quickly makes a name for himself in the art world and starts impressing wealthy art collectors in the city. His efforts paid off when one of his paintings was purchased by Mr. Greene for a large sum of money. Fenwick was able to pay back Mr. Morrison and had enough left over to buy materials for more paintings. He continues to sell his paintings and gains more recognition in the art world. John Fenwick was grateful when Mr. Morrison agreed to loan him the money to move to London so he could pursue his art career. He was determined to make a success of himself and worked hard to impress the wealthy art collectors in the city. His efforts paid off when one of his paintings was purchased by Mr. Greene for a large sum of money. Fenwick was able to pay back the loan and also had enough money left over to buy materials for more paintings. His talent and hard work continued to be rewarded with more sales and recognition in the art world.

**Continuation by GPT-3 DaVinci:**
Continuation by GPT-3 DaVinci:
One of them, Mr. Greene, purchases one of his paintings for a large sum of money. With the money from the sale, Fenwick is able to pay back Mr. Morrison and also has enough left over to buy materials for more paintings. He continues to sell his paintings and gains more recognition in the art world. John Fenwick was grateful when Mr. Morrison agreed to loan him the money to move to London so he could pursue his art career. He was determined to make a success of himself and worked hard to impress the wealthy art collectors in the city. His efforts paid off when one of his paintings was purchased by Mr. Greene for a large sum of money. Fenwick was able to pay back the loan and also had enough money left over to buy materials for more paintings. His talent and hard work continued to be rewarded with more sales and recognition in the art world. He was on his way to becoming one of the most successful artists in London. One day, however, he was visited by Mr. Morrison, who had come to collect on the loan. Fenwick was surprised and disappointed to learn that the loan had never been forgiven and that he now owed a considerable amount of money, with interest. He was forced to sell more paintings to pay off the debt and was left with little money to live on. His dream of being a successful artist was over. Fenwick was heartbroken but he didn't give up. He continued to paint and eventually regained his place in the art world. He never forgot what Mr. Morrison did to him and he was determined to make sure that no one else would ever be treated so unfairly. Fenwick became a vocal advocate for artists' rights and worked to ensure that they were fairly compensated for their work. Thanks to his efforts, artists today are able to earn a living from their art and pursue their dreams. John Fenwick's story is one of talent, hard work, and determination. Despite being dealt a difficult hand, he was able to overcome adversity and make a lasting impact on the art world.

John Fenwick's story is one of talent, hard work and determination. Despite being dealt a difficult hand, he was able to overcome adversity and make a lasting impact on the art world. When Fenwick was first starting out, he accepted a loan from Mr. Morrison to help him move to London so he could pursue his art career. He quickly made a name for himself in the art world and started impressing wealthy art collectors with his work. One of these collectors, Mr. Greene, ended up purchasing one of Fenwick's paintings for a large sum of money.

**Figure 8: Examples of open-ended story completion by the GPT-3 text-davinci-002 model. The coherence errors observed under this setting (chiefly repetition errors, in blue) have little or no overlap with those from the summarization setting. Therefore, error taxonomies like SCARECROW that are devised for open-ended generated are not applicable to the summarization task.**
Table 7: ROUGE and BERTScore for BOOK-175B and several artificially corrupted versions. Results show that automatic metrics fail to penalize coherence errors.

| Summary            | R1   | R2   | RL   | BERTScore |
|--------------------|------|------|------|-----------|
| OpenAI 175B        | 41.9 | 11.0 | 17.1 | .51       |
| + Shuffled         | 41.9 | 11.0 | 15.6 | .51       |
| + Repetition       | 44.7 | 10.6 | 17.2 | .49       |
| + NE & bigram      | 42.8 | 10.1 | 16.3 | .26       |
| Human-written      | 45.8 | 12.5 | 17.9 | .53       |

Table 8: Dataset sizes and intrinsic performance of T5-Large models trained on synthetic datasets.

| Method             | #train | #dev | F1   | Acc.  |
|--------------------|--------|------|------|-------|
| Coref-based        | 6.0k   | 920  | .78  | .77   |
| Next-Sent          | 3.8k   | 880  | .71  | .74   |

Table 10: Sentence-level recall of different errors types. Models (except FT w/ span) do not predict the error category; here, we treat these methods as binary classifiers and compute recalls as described in Appendix C.3.

C.3 Additional Results

Sentence-level binary classification In Section 5, we reported sentence-level binary classification results for all models. However, the sentence-level \( y_{\text{pred}} \) judgment in that setting can be due to any of the 4 error types or their combination and binary classification metrics do not tell us which of these error types are easier to detect.

To answer this, we compute the error-wise recall under the binary setting. We assume \( e_{\text{pred}} = 0 \) if \( y_{\text{pred}} = 0 \) for all error types \( e_j \); that is, a prediction of a binary error counts as detecting an error of any type in that sentence. This overestimates the recall performance and can be viewed as an upper bound; a model that can only detect \( \text{CharE} \) may report non-zero recall for other errors if these co-occur with \( \text{CharE} \).

For fair comparison between different models, we report category-wise recall for all models at the same precision level \( P = 0.7 \). Table 10 outlines our results. Both synthetic models report higher recall for the error category they were designed for. E.g., the coref-based method can detect \( \text{CharE} \) errors better than other error types. However, our FT models significantly outperform both synthetic approaches across all error types at thresholds with high precision performance. In particular, we observe high recall scores for \( \text{CharE} \) and \( \text{SceneE} \).

Fine-grained prediction In Table 6, we compared human and model (FT w/ spans) performance on a modified test set created by combining annotations from 2 crowdworkers. This unfairly penalized the trained models, which may have slightly higher recall due to being trained on annotations from 3 crowdworkers. In Table 11, we report results on the original test set that combines annotations from all 3 annotators.
Table 11: Performance of the T5-Large model fine-tuned on the SNAC dataset at predicting the correct error type in each summary sentence. We also report the percentage of times the predicted span overlaps with the error span in the gold data.

| Error | P   | R   | F1  | ov. |
|-------|-----|-----|-----|-----|
| CharE | .86 | .74 | .80 | .99 |
| SceneE| .58 | .49 | .53 | 1.0 |
| RefE  | .45 | .25 | .32 | .87 |
| InconE| .25 | .01 | .02 | 0.0 |

Figure 10: Illustration of RefE errors provided to crowdworkers during training.

D SNAC Error Types and Task Interface

The definitions of error types and illustrative examples provided to the crowdworkers during training are outlined here.

D.1 CharE

We call these New Person not Introduced in the task interface. We provide the illustrative example show in Figure 9 along with the following definition:

“These refer to coherence errors where a new person is introduced into the narrative WITHOUT providing any background about the person, or their relation with other characters in the story. Note, however, that famous or well-known people do not need to be explicitly introduced.”

D.2 RefE

We call these Missing Information about an Event/Object in the task interface. We provide the illustrative example show in Figure 10 along with the following definition:

“These refer to coherence errors where an event or object is mentioned for the first time, but the phrasing strongly implies some context is missing to understand this event/object and that it must have been introduced previously.”

D.3 SceneE

These are called Abrupt Transition from the Previous Scene in the task interface. We provide the illustrative example show in Figure 11 along with the following definition:

“These refer to coherence errors where there is a sudden shift in the setting or the narrative in the story. These often happen in two scenarios:

1. There is an abrupt change in the people/characters being discussed and/or an abrupt change in the surroundings/event.

2. Scenarios where the previous scene’s phrasing strongly implies that more information/events are forthcoming, but the previous scene gets abruptly cut off and a completely new scene starts.

Please choose full sentences as spans for this error type.”

D.4 InconE

Figure 12 shows an example of Inconsistent error shown to annotators.
“These refer to text spans that contradict previous content (either in the context or the next segment box itself.)

Note: You will also be asked to highlight the ‘previous’ span that is contradictory to the selected span. Highlighting this previous span (from either the context or the next segment box itself) will populate the relevant input box automatically.”

D.5 CorefE
Figure 13 shows an example of Unclear Coreference provided to annotators.

“This refers to errors where it is unclear who/what a pronoun or refers to.”

D.6 RepE
Figure 14 shows an example of Repetition errors.

“These refer to spans where content is repeated.  
Note: For these, you will also be asked to highlight the ‘previous’ span that contains the same text/content as the selected span. Highlighting this previous span (from either the context or the next segment box itself) will populate the relevant input box automatically.”

D.7 GramE
These are called Ungrammatical/Nonsensical in the interface.

“This refer to text spans that have grammar errors. Also included in this category are cases where there are obvious commonsense errors or the text does not make any sense at all.”

D.8 Task Interface
In Section 3.1, we described the annotation work for the SNAC framework. Figure 15 visually illustrates this overall workflow for annotating errors in segment $S_i$. A screenshot of the actual task interface is shown in Figure 16.

We also include screenshots of our task instructions. Figure 17 explains the basic task to the annotators. Figure 18 shows the detailed task instructions and the steps to annotate errors in a text segment. Figure 19 shows an example annotation with multiple coherence errors for reference.

E.1 Motivation for Dataset Creation
Why was the dataset created?  Despite recent interest in long document summarization research and generation of long narrative summaries (Kryściński et al., 2021; Zhang et al., 2022; Mao et al., 2021; Wu et al., 2021), we lack evaluation frameworks to compare these approaches and measure progress. Current automatic and human evaluation
methods fail to identify gaps in narrative coherence and are not suited for evaluating long summaries. Our SNAC dataset and annotation framework releases a large-scale dataset of fine-grained coherence annotations and establishes a protocol for eliciting such annotations from crowdworkers. This provides a foundation for future research efforts in this area.

Has the dataset been used already? At the time of submission, the dataset has only been used in the current paper for analysis of generation errors made by current state-of-the-art summarization models and for training automatic coherence detection models.

Who funded the dataset? We withhold this information to maintain anonymity but will include it upon publication.

E.2 Dataset Composition

What are the instances? Each instance in this dataset is a model generated summary from either the book or the movie domain. All summaries are in the English language.

How many instances are there? Our dataset contains annotations for 160 generated summaries (including both expert and crowd annotations).

What data does each instance consist of? Each instance contains multiple span-level highlights corresponding to coherence errors, each of which is tagged with a specific error category.

Does the data rely on external sources? Yes. For the book datasets, we annotate summaries from the publicly available model outputs released by Wu et al. (2021). For movies, we generate summaries using the Summ’N model (Zhang et al., 2022) on the publicly available TRIPOD dataset (Papalampidi et al., 2020).

Are there recommended data splits or evaluation measures? We will include the recommended training, development, and test splits for our annotations with the dataset release. The statistics for the data splits are outlined in Section 5.

E.2.1 Data Collection Process

Who was involved in the collection process and what were their roles? For expert annotations, 3 authors of the paper with experience in engaging with model-generated text annotated 10 book summaries. To recruit crowd annotators, we launched a qualification task on Mechanical Turk. After this qualification, 11 workers were asked to annotate 150 summaries.

How was the dataset collected? Given a generated summary, annotators were asked to select span highlights that correspond with coherence errors and categorize the type of that error. We provided all annotators with detailed instructions describing the task interface, error type definitions as well as the overall workflow.

Over what time frame was the data collected? The dataset was collected over the months of March and April 2022.

Does the dataset contain all possible instances? No, we only annotate narrative summaries from two summarization models on two domains (movies and books). Moreover, our dataset only contains English language summaries.

If the dataset is a sample, then what is the population? The dataset is a subset of generated summaries produced by state-of-the-art summarization models on narratives like books or movie screenplays.

E.3 Data Preprocessing

What preprocessing/cleaning was done? We fix sentence and word boundaries for highlighted spans from crowd annotations.

Was the raw data saved in addition to the cleaned data? Yes.

Does this dataset collection/preprocessing procedure achieve the initial motivation? Yes. This dataset serves as a large-scale collection of annotated coherence errors and provides the first characterization of such errors in long narrative summaries.

E.4 Dataset Distribution

How is the dataset distributed? Our dataset is publicly released at this link: https://github.com/tagoyal/snac.

When was it released? The dataset was released in October, 2022.

What license (if any) is it distributed under? The dataset is released under the CC BY-SA 4.0 license.7

7https://creativecommons.org/licenses/by-sa/4.0/legalcode
Who is supporting and maintaining the dataset?
This dataset is maintained by authors of this paper.

E.5 Legal and Ethical Considerations

Were workers told what the dataset would be used for and did they consent? Crowdworkers were aware that their responses were being collected as part of a research study on analyzing coherence errors in narrative text. The Amazon Mechanical Turk Participation Agreement permits the use of their annotated responses for this work. We do not release any personal information, e.g. worker IDs, of the crowdworkers.

If it relates to people, could this dataset expose people to harm or legal action? No.

If it relates to people, does it unfairly advantage or disadvantage a particular social group? No.
Figure 16: Screenshot of the task interface for SNAC annotations

Figure 17: Screenshot of the first page of the tutorial provided to crowd annotators
Next, we will describe the steps to annotate coherence errors in the Current Segment text. Note that a single segment may contain multiple coherence errors (often with overlapping text spans). Each of these should be annotated independently.

To annotate an error (also demonstrated in the image below):

- Step 1: Highlight the Span from Current Segment that contains the error. The highlighted span will automatically be populated in the input field.
- Step 2: Choose the Error Type.
- Step 3: (Optional) Provide more feedback/comments for the annotation. You can use this text box to indicate if you are unsure about this categorization, or to explain your selection if required. For a majority of the annotations, we expect this field to be left blank.
- Step 4: Click on the Add button.

Once you’ve annotated ALL errors in a span, click on the Coherent / No more errors. Go to next segment button to proceed to the next segment for annotation.

Once all text segments in the summary are annotated, the Submit button will appear. Click on this to submit the HIT and generate the Mechanical Turk code.

Figure 18: Screenshot of the second page of the tutorial provided to crowd annotators

Figure 19: Screenshot of the last page of the tutorial provided to crowd annotators

Note: All annotated errors are shown in a table at the bottom of the page. You can delete previously annotated errors.