Compact Filtering Balun With Wide Stopband and Low Radiation Loss Using Hybrid Microstrip and Substrate-Integrated Defected Ground Structure

Deshan Tang, Student Member, IEEE and Xun Luo, Senior Member, IEEE

Abstract—In this letter, a novel hybrid microstrip and substrate-integrated defected ground structure (SIDGS) are proposed for filtering balun design. Coupled microstrip and SIDGS resonators can not only achieve 180° phase imbalance with filtering response but also realize wide stopband and wideband low radiation loss. In addition, the feature of stacked substrate packaging could reduce the size of the circuit and be flexible for integration. To verify this mechanism, a filtering balun operating at 3.08 GHz with 3-dB fractional bandwidth (FBW) of 45% is proposed based on hybrid microstrip and SIDGS, which exhibits the in-band amplitude- and phase-imbalance of ±0.5 dB and ±0.4°, respectively. The stopband extends to 18 GHz with the rejection level of 20 dB, whereas the measured total loss (i.e., including radiation, metal, and substrate loss) is less than 15% up to 15.3 GHz.

Index Terms—Filtering balun, low radiation loss, microstrip, substrate-integrated defected ground structure (SIDGS), wide stopband.

I. INTRODUCTION

With the ever-increasing development of wireless systems, wideband interference suppression becomes a major challenge for the design of passive components. As a crucial component in wireless systems, the balun [1]–[9] has drawn great attention recently. In [10] and [11], the substrate-integrated waveguide (SIW) is applied to balun design with good in-band performance and low radiation loss, while it is limited by the narrow stopband and large size. To extend the stopband bandwidth of balun, the stepped impedance resonators [12], stepped coupled lines [13], and high-order planar structure [14] are proposed. However, these baluns could easily generate high radiation at stopband. Recently, substrate-integrated defected ground structure (SIDGS) [15], [16] is developed with wide stopband and wideband low radiation loss. Nevertheless, the design of filtering balun with good in-band performance, wide stopband, and wideband low radiation loss, and compact size for flexible integration remains a great challenge.

In this letter, a hybrid microstrip and SIDGS are proposed and utilized for the compact filtering balun design

II. SCHEMATIC AND OPERATION

Fig. 1 depicts the configuration of the hybrid microstrip and SIDGS balun. The balun is composed of two SIDGS resonators and a microstrip resonator, which are integrated at different layers with the surrounding ground and metal vias. Here, the physical size of these DGSs cannot meet the theoretical definition of slotline [17, p. 246]. To further investigate the mechanism of the proposed balun, the dielectric substrate RO4003C (i.e., $\varepsilon_r = 3.55$, $h_1 = 0.203$ mm, and $h_2 = 0.303$ mm) and electromagnetic (EM)-simulator HFSS are used.

A. Microstrip Resonator and SIDGS Resonator

1) Microstrip Resonator: Fig. 2(a) and (b) depicts the configuration and equivalent circuit of the microstrip resonator. Then, the input admittance of the resonator (i.e., $Y_A$) can be derived by (1), as shown at the bottom of the next page. The fundamental resonant frequency (i.e., $f_{A0}$) of the resonator is obtained when $Y_A = 0$. Fig. 2(c) provides the calculated and simulated fundamental resonant frequency $f_{A0}$ versus $l_1$ and $w_2$, respectively. Here, the electrical length and characteristic impedance of the microstrip could be calculated [18, p. 148]. To investigate the harmonic characteristics of the microstrip resonator, the simulated transmission response of

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with the variation of $d$ to shift the harmonic to higher frequency [19]. To illustrate the It is known that the resonator using stepped-impedance can the weak-coupled feeding scheme is presented in Fig. 2(d). Fig. 3. (a) Configuration of the SIDGS resonator. (b) Simplified equivalent circuit model. (c) Effect of $l_1$ and $d_2$ on the resonant frequency of the microstrip resonator. (d) Simulated frequency response of weak-coupled microstrip resonator. (e) Effect of $w_2$ on the fundamental and spurious resonant frequencies.

the weak-coupled feeding scheme is presented in Fig. 2(d). It is known that the resonator using stepped-impedance can shift the harmonic to higher frequency [19]. To illustrate the relationship between the harmonic and impedance $Z_{12}$ of the microstrip resonator, Fig. 2(e) depicts the fundamental, first and second harmonic resonant frequencies versus $w_2$. With the decreasing of the impedance $Z_{12}$ (i.e., the increase of $w_2$), the fundamental resonant frequency increases while the second harmonic resonant frequency decreases.

2) SIDGS Resonator: Fig. 3(a) and (b) depicts the configuration and equivalent circuit of the SIDGS resonator. The proposed structure is symmetric to the dotted line. Then, the even-mode input admittance of the resonator (i.e., $Y_B$) is derived by (2), as shown at the bottom of the page. The fundamental resonant frequency (i.e., $f_{B0}$) of the resonator is obtained under the case of $Y_B = 0$. Fig. 3(c) provides the calculated and simulated fundamental resonant frequency $f_{B0}$ with the variation of $d_2$ and $l_3$, respectively. The electrical length and characteristic impedance of the SIDGS can be extracted by simulation. To verify the harmonic characteristic of the SIDGS resonator, the simulated transmission responses in the weak-coupled feeding scheme are presented in Fig. 3(d). Note that the first harmonic of this SIDGS resonator is mainly caused by the TEM spurious resonant mode due to the rectangular metal patch in Reg. I. To illustrate the relationship between the harmonic and the length of rectangular metal patch, Fig. 3(e) depicts the fundamental and first harmonic resonant frequency versus $l_4$. With the decreasing length of the metal pad, the first harmonic resonant frequency increases sharply while the fundamental resonant frequency increases slightly.

B. Filtering Balun Design

1) Analysis of Phase Characteristic: The balun could be described as a symmetrical four-port network, where port 4 is terminated with an open circuit, as shown in Fig. 4(a). Fig. 4(b) and (c) illustrates the even- and odd-mode equivalent circuits, respectively. To satisfy the 180° phase difference between port 2 and port 3, the following condition is required [20]:

$$T_{even}(1 - T_{odd}) = 0.$$  \hspace{0.5cm} (3)

$T_{even}$ and $T_{odd}$ are the input reflection coefficients of the even- and odd-mode circuits, respectively, and $T_{even}$ denotes the transmission coefficient of the even-mode circuit. In the even-mode circuit, the fundamental resonant frequency of the SIDGS resonator is 3 GHz while the fundamental resonant frequency of the microstrip resonator is 13.3 GHz. Note that the operating frequency of the balun is designed at 3 GHz. When the even-mode circuit operated at 3 GHz, the microstrip resonator is far below the resonant frequency, which can hardly store energy. Therefore, the coupling coefficient between two resonators in an even-mode circuit could approximately equal to zero. Thus, the even-mode circuit features a transmission stop and $T_{even} = 0$, which satisfies (3).

2) Analysis of Filtering Response: To determine the passband frequency response of the balun, the configuration of the three-port coupling scheme is depicted in Fig. 5. Since the circuit is symmetrical, a two-port second-order topology

$$Y_A = \frac{j}{Z_{12}} \frac{2Z_{12} \tan \theta_{11} + Z_{11} \tan \theta_{12}}{Z_{12}Z_{11} + 2Z_{12} \tan \theta_{11} \tan \theta_{12}} + \frac{2j \tan \theta_{11}}{Z_{11}}$$

$$Y_B = -j \frac{1}{Z_{23}} \frac{Z_{22}Z_{23} \tan \theta_{21} + Z_{22} \tan \theta_{22} + Z_{22}Z_{23} \tan \theta_{21} \tan \theta_{22} + Z_{22}Z_{23} \tan \theta_{23}}{Z_{22}Z_{23} \tan \theta_{21} \tan \theta_{22} + Z_{22}Z_{23} \tan \theta_{23} - Z_{22} \tan \theta_{22} \tan \theta_{23}}.$$  \hspace{0.5cm} (2)
is used for simplifying the analysis of the circuit. In order to remain the same source impedance of two circuits, $k_1$ should equal to $k_1' / \sqrt{2}$ [21]. To calculate all the coupling coefficients of the balun, the coupling coefficients of the two-port circuit should be firstly determined according to the filtering specification (i.e., centered at 3 GHz with 3-dB bandwidth of 45%). The specification could be satisfied when $m_1' = 0.884$, $m_{S1} = 0.914$, and $m_{2L} = 0.9$. By using the formulas in [22], $k_1' = 0.398$, $Q_{c1} = 2.66$, $Q_{c2} = 2.74$, and $k_1$ could be calculated as 0.281. Therefore, the passband response of the balun could be achieved, when the coupling coefficients extracted from the balun are consistent with the calculated results [22].

To discuss the harmonic suppression of the balun, the fundamental and harmonic resonant frequencies of microstrip and SIDGS resonators are presented in Fig. 6(a). Note that the high-order harmonics of these two kinds of resonators are separated. Thus, these resonators serve as the spurious-blocking resonators to suppress the spurious passbands [23]. Moreover, Fig. 6(b) depicts a transmission zero located at the upper side of the passband, which enhances the stopband rejection level. The center-tapped SIDGS resonator could be regarded as a short stub, which can generate a transmission zero under the case of $Z_B = 0$ [24]. Fig. 6(c) provides the simulated transmission zero frequency versus $d_2$.

3) Radiation Loss: The EM-field of DGS is divergent in an open space, leading to large radiation. Such radiation could cause an extra insertion loss and EM interference to adjacent devices. SIDGS is proposed to confine most of the divergent EM-field, which effectively reduces the radiation loss compared to the conventional DGS [15], [16]. In this balun design, the microstrip is integrated with the SIDGS by surrounding the microstrip is integrated with the SIDGS by the surrounding EM-field, which effectively reduces the radiation loss compared to the conventional DGS [15], [16]. In this balun design, the microstrip is integrated with the SIDGS by surrounding

The in-band amplitude- and phase-imbalances are less than 10% (i.e., $<0.46$ dB) up to 15.7 GHz. The total insertion loss is 0.6 dB excluding the theoretical 3-dB loss. The in-band amplitude- and phase-imbalances are ±0.5 dB and ±0.4°, respectively. At the same time, the stopband is up to 18 GHz with a rejection level higher than 20 dB. The total loss is less than 15% (i.e., $<0.7$ dB) up to 15.3 GHz, as shown in Fig. 6(d). The core size is about 0.12×0.2λ, where $\lambda$ is the microstrip guided wavelength at the center frequency. A comparison of the filtering balun with the state-of-the-arts is shown in Table I. The proposed balun has merits of good in-band performance, wide stopband, wideband low radiation loss, and compact size.

### III. Fabrication and Measurement

Based on the structures mentioned above, a compact filtering balun is fabricated, as shown in Fig. 6(d). The simulated and measured results of $S$-parameters are depicted in Fig. 7. The Agilent 5230A network analyzer is used to measure. The center frequency of the balun is 3.08 GHz with a 3-dB fractional bandwidth (FBW) of 45%. The minimum in-band insertion loss is 0.6 dB excluding the theoretical 3-dB loss. The in-band amplitude- and phase-imbalances are ±0.5 dB and ±0.4°, respectively. At the same time, the stopband is up to 18 GHz with a rejection level higher than 20 dB. The total loss is less than 15% (i.e., $<0.7$ dB) up to 15.3 GHz, as shown in Fig. 6(d). The core size is about 0.12×0.2λ, where $\lambda$ is the microstrip guided wavelength at the center frequency. A comparison of the filtering balun with the state-of-the-arts is shown in Table I. The proposed balun has merits of good in-band performance, wide stopband, wideband low radiation loss, and compact size.

### IV. Conclusion

In this letter, a novel hybrid microstrip and SIDGS is proposed for filtering balun design, which can not only achieve 180° phase difference in a compact size but also inherit the merits of SIDGS such as wide stopband and low radiation loss. Based on such structure, a filtering balun is developed with low insertion loss, low in-band amplitude- and phase-imbalances, wideband harmonic suppression, and wideband low radiation loss. With such good performances, such balun is attractive for integrated systems with wideband spurious suppression and low radiation.

### TABLE I

| Ref. | [11] | [12] | [14] | This Work |
|------|------|------|------|-----------|
| Technology | SIW | Microstrip | Microstrip | SIDGS |
| $f_0$(GHz) | 10.46 | 2 | 1 | 3.08 |
| IL*(dB) | 1.4 | 0.9 | 1.07 | 0.6 |
| FBW(%) | 6 | 5 | 112 | 45 |
| A-Im(dB)/P-Im(°)** | 1.5/3 | 0.3/3.5 | 0.4/2 | 0.5/0.4 |
| Stopband | N/A | >25 dB up to 3.5$f_0$ | >23 dB up to 5.2$f_0$ | >20 dB up to 5.8$f_0$ |
| Rejection | | | | |
| Radiation Loss* | Low | Medium | High | <10% (Low) up to 5.1$f_0$ |
| Total Loss | <15° | Up to 1.2$f_0$ | Up to 3.5$f_0$ | Up to 2$f_0$ | Up to 5$f_0$ |
| Core Size | 2295 mm² | 2264 mm² | 2150 mm² | 0.024 (λg^2) | 91.7 mm² |

* Insertion loss, ** Amplitude Imbalance/Phase Imbalance.

<ref>TABLE I</ref>
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