Sagittal alignment of the spine-pelvis-lower extremity axis in patients with severe knee osteoarthritis

A RADIOGRAPHIC STUDY

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Objectives
Normal sagittal spine-pelvis-lower extremity alignment is crucial in humans for maintaining an ergonomic upright standing posture, and pathogenesis in any segment leads to poor balance. The present study aimed to investigate how this sagittal alignment can be affected by severe knee osteoarthritis (KOA), and whether associated changes corresponded with symptoms of lower back pain (LBP) in this patient population.

Methods
Lateral radiograph films in an upright standing position were obtained from 59 patients with severe KOA and 58 asymptomatic controls free from KOA. Sagittal alignment of the spine, pelvis, hip and proximal femur was quantified by measuring several radiographic parameters. Global balance was accessed according to the relative position of the C7 plumb line to the sacrum and femoral heads. The presence of chronic LBP was documented. Comparisons between the two groups were carried by independent samples t-tests or chi-squared test.

Results
Patients with severe KOA showed significant backward femoral inclination (FI), hip flexion, forward spinal inclination, and higher prevalence of global imbalance (27.1% versus 3.4%, p < 0.001) compared with controls. In addition, patients with FI of 10° (n = 23) showed reduced lumbar lordosis and significant forward spinal inclination compared with controls, whereas those with FI > 10° (n = 36) presented with significant pelvic anteversion and hip flexion. A total of 39 patients with KOA (66.1%) suffered from LBP. There was no significant difference in sagittal alignment between KOA patients with and without LBP.

Conclusions
The sagittal alignment of spine-pelvis-lower extremity axis was significantly influenced by severe KOA. The lumbar spine served as the primary source of compensation, while hip flexion and pelvic anteversion increased for further compensation. Changes in sagittal alignment may not be involved in the pathogenesis of LBP in this patient population.

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Keywords: Knee osteoarthritis; Sagittal alignment; Low back pain; Lumbar lordosis; Hip flexion

Article focus
- Chronic lower back pain (LBP) is common in patients suffering from severe knee osteoarthritis (KOA), but the pathogenesis is unclear.
- This study aimed to determine the compensation patterns in spine-pelvis-lower extremity alignment associated with knee flexion caused by severe KOA.
- We also assessed whether the sagittal alignment of the spine-pelvis lower extremity axis is involved in the pathogenesis of LBP in patients with severe KOA.

Key messages
- Spine-pelvis-lower extremity sagittal alignment was significantly influenced by severe KOA.
Changes in the lumbar spine primarily compensated for KOA, while hip flexion and pelvic anteversion provided further compensation.

Changes in sagittal spinopelvic alignment may not be involved in the pathogenesis of LBP in this patient population.

**Strengths and limitations**

- The spine-pelvis-lower extremity sagittal alignment in patients suffering severe KOA was analysed on lateral full length radiographs and abnormalities were identified.
- The incidence of LBP in patients suffering severe KOA was documented.
- The interaction between LBP and the abnormalities in sagittal alignment was interpreted in these patients.
- Knee flexion was not directly measured using radiographs.

**Introduction**

Ambulatory humans maintain a stable and ergonomic upright standing position by co-ordinating the alignment of the spine, pelvis and lower extremity, particularly in the sagittal plane. Pathology in any segment of the trunk or lower leg can disturb the global postural equilibrium, resulting in compensatory changes in other segments. The development of new radiographic parameters has led to significant progress in understanding the sagittal spinopelvic alignment in both the general population and in patients suffering from spinal disorders and hip pathogenesis. These patients adjust the sagittal alignment not only by spinal inclination, but also by pelvic rotation, or even hip and knee flexion, to maintain a static horizontal gaze with the least expenditure of energy. In patients suffering from chronic lower back pain (LBP), a significant association between lumbar lordosis (LL) and knee extension has been documented. Moreover, Obeid et al. found that knee flexion was the main compensatory mechanism for a lack of LL in patients with major spinal deformities and severe sagittal imbalance.

Chronic LBP is very common in patients with knee osteoarthritis (KOA), and this phenomenon has been termed ‘knee–spine syndrome’. The pathogenesis of associated LBP, however, has not been well investigated. Given that abnormal sagittal spinopelvic alignment has been found in patients with chronic LBP and is thought to contribute to its pathogenesis, we hypothesised that knee pain might lead to changes in spine-lower extremity sagittal alignment, and subsequently contribute to the development of LBP. Therefore, the present study investigated the static sagittal alignment of patients suffering from KOA and compared it with that of healthy controls. The objectives were: to understand the sagittal spine-pelvis-lower extremity alignment pattern and explore compensatory mechanisms in patients with KOA, and to determine if the sagittal alignment was associated with LBP secondary in KOA patients.

**Materials and Methods**

**Study subjects.** This cross-sectional study was approved by the Nanjing Drum Tower Hospital Clinical Research Ethics Committee (Nanjing, China). During 2014, patients admitted to our department for total knee arthroplasty (TKA) were screened by medical history and physical examination, and patients who met the following criteria were recruited into the present study: diagnosis of primary KOA; ambulatory without assistance; normal muscle strength in the lower extremity; and no leg-length discrepancy. Patients were not included if they had arthritis secondary to another aetiology, such as rheumatoid arthritis, ankylosing spondylitis (AS), trauma, meniscectomy or previous surgical interventions to the knee; osteoarthritic symptoms in the hip or ankle joints; spinal disease or a history of procedures that would affect the sagittal alignment of the spine and pelvis. In addition, subjects who were identified on radiographs as having skeletal abnormalities other than knee OA were excluded, such as those with severe osteophytes, vertebral collapse, spondylolisthesis, scoliosis (> 10°), or degeneration in other joints with Kellgren-Lawrence (K-L) grade ≥ 2.

The healthy controls were selected randomly from our ongoing project in screening for OA in local residents. In total, 100 subjects were selected with the following criteria set for recruitment: 50 to 70 years of age; no spinal pathology or deformity; and no history of hip, pelvis, or lower-limb disorders. Subjects were excluded if they had a history of pain in the lower back, hip or knee for ≥ three months consecutively. In all, 42 subjects were excluded based on these criteria, leaving 58 subjects recruited as healthy controls.

Informed consent was obtained from each subject and demographic characteristics (e.g., age, gender) were collected. Furthermore, patients with severe KOA were asked about the presence and duration of pain in the lumbar spine. Chronic LBP was defined as pain for ≥ three consecutive months.

**Radiographs and measurements.** Posteroanterior and lateral digital radiographs of the spine, pelvis and proximal femur were taken using a standard protocol with the subject standing upright. For lateral radiographs, subjects were asked to stand upright in a relaxed and natural manner, avoiding a forced position, looking forward with feet together on flat ground. The fingers were held on the clavicle with upper arms positioned approximately 45° to the vertical. Three films were taken focusing on T8, L2 and the hip axis and then combined into one radiograph (including the whole spine, pelvis and femur).

Radiographs were first reviewed by a senior spinal surgeon (YQ) to exclude the presence of spinal disorders. Then the following parameters describing the sagittal profile of the spine, pelvis and lower leg were quantified on lateral radiographs using Surgimap Spine version 2.0.7 (Nemaris Inc., New York, New York): sagittal alignment of...
the pelvis and hip (Fig. 1a): pelvic incidence (Pi), pelvic tilt (Pt), sacral slope (SS), pelvic femoral angle (PFA), sacrofemoral angle (SFA), and femoral inclination (Fi). These parameters were described in Table I.

After four weeks, the measurements were repeated by one observer (WJW) to compare intra-observer reliability. Statistical analysis. Data analysis was performed using SPSS version 13.0 (SPSS, Inc., Chicago, Illinois). Intra- and interobserver reliability were analysed using intra-class correlations. Comparisons of participant ages and radiographic parameters between controls and patients, and between patient subgroups, were performed using independent samples t-tests. The global balance patterns between groups were compared using the chi-squared test. The level of significance was defined as p ≤ 0.05.

Results During the period of study, TKA was carried out in 103 knees in our department, 87 of which were diagnosed as primary KOA. In total, 13 patients were excluded due to

Table I. Radiographic parameters of sagittal spine-pelvis-leg alignment

| Parameters          | Abbreviation | Description                                                                 |
|---------------------|--------------|-----------------------------------------------------------------------------|
| Pelvic incidence (°) | Pi           | The angle between the line joining the hip axis and the centre of the S1 end plate and the line orthogonal to the S1 end plate |
| Pelvic tilt (°)     | Pt           | The angle between the line joining the hip axis and the centre of the S1 end plate and the reference vertical line |
| Sacral slope (°)    | SS           | The angle between the line along the S1 end plate and the reference horizontal line |
| Lumbar lordosis (°) | LL           | Segmental angle of spinal segment in lordosis (L1 to L5)                    |
| Spinosacral angle (°) | SSA         | The angle between the line along the S1 end plate and the reference vertical line |
| C7 tilt (°)         | C7T          | The angle between the line joining the centre of S1 end plate and the centre of C7 and the reference vertical line |
| Sacrofemoral angle (°) | SFA         | The angle between the line along the S1 end plate and the line along the axis of the femur |
| Pelvic femoral angle (°) | PFA       | The angle between the line joining the hip axis and the centre of the S1 end plate and the line along the axis of the femur |
| Femoral inclination (°) | Fi         | The angle between the line along the axis of the femur and the reference vertical line |
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arthroplasty in the hip (n = 7) or the other knee (n = 3), or operations on the spine (n = 3). Three patients refused to participate in the study. In addition, further radiographic examination revealed lumbar spondylolisthesis in three patients, degenerative lumbar scoliosis in one patient (Cobb 27°), osteoporotic vertebral fracture in two patients, and osteoarthritis of the hip with K-L grade ≥ 2 in three patients. Consequently, images were analysed from the remaining 59 patients (16 men and 43 women; mean age 65.9 years, 50 to 80). An additional 14 men and 44 women free from KOA were recruited as controls (mean age 62.9 years, 50 to 69). The gender distribution was comparable between the two groups, but patients with severe KOA were significantly older than control subjects (p < 0.01).

Reliability analysis showed high intra- and inter-observer agreements in the spine-pelvis-leg parameters, with intraclass correlations > 0.8.

The mean values and standard deviations of the studied parameters in the control subjects and severe KOA patients are summarised in Table II. Comparable PI, SS, and PT values were revealed between the two groups, suggesting similar sagittal morphology and pelvic alignment. However, patients with severe KOA showed significantly larger FI (11.3° versus 4.2°, p < 0.001) and smaller SFA (43.1° versus 51.8°, p < 0.001) and PFA (2.2° versus 9.1°, p < 0.001) values compared with controls. These results indicate flexed knee and hip joints among patients with severe KOA. In addition, C7T was significantly smaller among severe KOA patients compared with controls (88.4° versus 92.9°, p < 0.001), indicating forward inclination of the spine. Analysis of global sagittal balance patterns found that patients with severe KOA had a significantly higher prevalence of severe imbalance than controls (27.1% versus 3.4%, χ² = 33.4, p = 5.5×10⁻⁸; Table II).

Comparisons between control and KOA patients, and between patient subgroups, were performed using independent sample t-tests. The global balance patterns between groups were compared using the Chi-squared test.

To understand the compensatory pattern of the pelvis and spine to knee flexion, patients with severe KOA were divided into two subgroups according to FI: ≤ 10° (n = 23) and > 10° (n = 36) (Table II). Compared with controls, patients with FI ≤ 10° showed reduced LL and smaller C7T value, but no differences in pelvic (PT, PI, SS) or hip flexion (SFA, PFA) parameters. Conversely, patients with FI > 10° showed significantly larger FI and SS values, but smaller C7T, SFA, and PFA values, compared with controls. Comparing these parameters between the two subgroups of patients with severe KOA, we found that those with FI > 10° had significantly smaller C7T, SFA, and PFA values than those with FI ≤ 10°. In addition, LL in patients with FI > 10° was larger than that in patients with FI ≤ 10° but the difference did not reach statistical significance (p = 0.063). The prevalence of severe imbalance in spinopelvic alignment was higher in both

Fig. 2a Fig. 2b Fig. 2c
The sagittal balance pattern of spinopelvic alignment: a) normal balance, with slight pelvic retroversion and the C7 plumb line over the sacral end plate; b) slight unbalance, the C7 plumb line was located between the sacrum and femoral heads; c) severe unbalance, the C7 plumb line was located in the anterior of the femoral heads.
subgroups than in the control group and the prevalence in patients with FI > 10° (36.1%) was even higher than that in patients with FI ≤ 10° (13.0%) (Table II).

A total of 39 patients with severe KOA reported chronic LBP, giving a prevalence of 66.1%. Patients with a severely imbalanced global spine showed a higher prevalence of LBP. The sagittal alignment parameters of these patients were compared with those of severe KOA patients without LBP, but no significant differences were identified with the numbers available (Table III). In addition, patients with FI ≤ 10° showed no significant difference in the prevalence of LBP compared with those with FI > 10° (18/23 versus 21/36 patients, chi-squared = 2.5, p = 0.11).

Discussion
Sagittal spine-pelvis-lower extremity alignment can be significantly affected by spinal disorders and hip osteoarthritis, and abnormal sagittal alignment has been identified as a contributor to the pathogenesis of LBP. In major spinal deformities, flexion of the knee has been found to be the main compensatory mechanism for a lack of LL.\(^{18}\) In the present study, significant abnormal sagittal spine-pelvis-lower extremity alignment was identified in patients with severe KOA compared with asymptomatic controls. Patients with severe KOA showed a more forwardly inclined femur and spine, flexed hip, and higher risk of global imbalance than control subjects. In addition, patients with different FI severities showed different compensatory patterns.

The effect of knee flexion on sagittal spinal alignment has been investigated by Lee et al\(^{28}\) who asked 30 young men to wear a motion-controlled knee brace to produce knee flexion. They found that by flexing the knee to 15° and 30°, the participants showed a significantly reduced femoropelvic angle (PFA in the present study) but an increased femoral tilt angle (FI in the present study). They also found reduced LL, but a more anteriorly shifted centre of C7 relative to the superoposterior corner of S1 and the bicoxofemoral axis. These findings indicated more hip flexion and less LL on knee flexion, and more forward inclination of the spine. Conversely, the alignment of the pelvis was not affected, with no significant changes in SS and PT. In the present study, patients with severe KOA showed more hip flexion and forward spinal inclination.

Table II. Comparison of the sagittal spine-pelvis-leg alignment between patients with knee osteoarthritis and asymptomatic controls

| Total (n = 59) | KOA (n = 58) |
|----------------|----------------|
|               | p-value*       | FI ≤ 10° (n = 23) | p-value*       | FI > 10° (n = 36) | p-value*       | p-value†       |
| SS 32.7(7.6)  | 35.0(9.0)      | 0.074           | 32.9(7.0)      | 0.510           | 36.4(10.3)     | **0.012**      | 0.138          |
| PT 13.4(6.0)  | 12.6(6.5)      | 0.245           | 13.5(5.1)      | 0.681           | 11.9(7.5)      | 0.184          | 0.465          |
| PI 46.8(9.0)  | 47.3(10.3)     | 0.476           | 46.2(8.0)      | 0.954           | 48.3(11.9)     | 0.279          | 0.359          |
| LL 49.1(9.4)  | 48.0(11.4)     | 0.883           | 44.1(11.0)     | 0.116           | 50.2(11.5)     | 0.429          | 0.062          |
| SSA 126.0(7.4)| 123.9(8.5)     | 0.501           | 122.9(7.6)     | 0.412           | 124.6(9.4)     | 0.822          | 0.636          |
| C7t 49.1(9.4) | 48.0(11.4)     | 0.883           | 44.1(11.0)     | 0.116           | 50.2(11.5)     | 0.429          | 0.062          |

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than controls, leading to a significantly higher prevalence of severe imbalance in sagittal alignment than controls (27.1%, versus 3.4%). However, it is interesting to note that the LL was comparable between the two groups, which differs from the compensation mechanism reported by Lee et al.\textsuperscript{28} We believe that this inconsistency might be a result of the flexibility of the subjects studied. The subjects recruited by Lee et al were young adults in their 20s and 30s with no history of spinal pathologies,\textsuperscript{28} while the severe KOA patients in our study were all candidates for knee replacement and had a mean age of 65.9 years (50 to 80). Older populations might suffer from poor flexibility of the lumbar spine and have limited compensatory abilities for knee flexion.

To determine the contribution of the hip and lumbar spine to the compensatory knee flexion caused by severe KOA, the patients were further divided into two groups according to FI ($\leq 10^\circ$ and $> 10^\circ$); $10^\circ$ was selected as the cut-off because significant changes in sagittal alignment have been found with $15^\circ$ changes in knee flexion, either via stimulation\textsuperscript{28} or knee replacement.\textsuperscript{30} Interestingly, the severe KOA patients in the two subgroups showed significantly different patterns in sagittal spinopelvic alignment. Compared with controls, patients with $\text{FI} \leq 10^\circ$ showed less LL and more forward inclination of spine but comparable pelvic alignment, suggesting that the compensation was mainly contributed by the lumbar spine. In contrast, patients with $\text{FI} > 10^\circ$ showed significant forward spinal inclination, hip flexion (small SFA and PFA), and pelvic anteversion (larger SS) but comparable LL versus controls. Therefore, changes in sagittal spinopelvic alignment in severe KOA patients with $\text{FI} > 10^\circ$ were mainly a result of hip flexion and pelvic anteversion. Lee et al\textsuperscript{28} proposed two mechanisms of compensation on knee flexion. The authors suggested that the lumbar spine will respond first to maintain global balance when it is flexible and the pelvis does not need to rotate, while the pelvis will respond first by rotation and hip flexion if the lumbar spine is rigid.\textsuperscript{28} This might help to explain the findings in the present study. When the knee is in mild flexion (i.e., $\text{FI} \leq 10^\circ$), patients might compensate for their disturbed global balance through the lumbar spine, presenting as reduced LL and forward spinal inclination (Fig. 3). However, with increased knee flexion and posterior inclination of the femur, the limited flexibility of the lumbar spine in aged patients might fail to compensate, and instead, the hip joint would be responsible for this response by flexion and forward inclination of the pelvis. This would present as increased SS and decreased PFA and SFA (Fig. 3). Furthermore, Lee et al\textsuperscript{28} found that PFA might be a better measure to represent true pelvic compensation, whereas PT can represent apparent pelvic compensation. Our results also show that although PT was similar between the control group and both subgroups of severe KOA patients, those with $\text{FI} > 10^\circ$ showed a significantly decreased PFA compared with both controls and patients with $\text{FI} \leq 10^\circ$, indicating a role for the pelvis in compensating for knee flexion in these patients.

Chronic LBP has been found to be a risk factor for poor outcomes following total knee replacement.\textsuperscript{31,32} In the present study, chronic LBP was reported by 66.1% of severe KOA patients, which is an even higher prevalence than that reported in patients with symptomatic tibiofemoral OA (57.4%) by Suri et al\textsuperscript{33} and in KOA patients recruited from an outpatient rheumatology clinic by Wolfe et al.\textsuperscript{34} In all three studies, however, the prevalence of LBP was extraordinarily higher than that reported in the general population.\textsuperscript{35} Abnormal sagittal spinopelvic alignment has been found in patients suffering from chronic LBP, presenting as low SS, low LL, and small PI values, and this specific pattern of sagittal alignment has
been suggested as being associated with the presence of chronic LBP. In our study, the PI of severe KOA patients, either with or without LBP, was comparable with that of controls, suggesting normal sagittal morphology of the pelvis in these patients. Although abnormalities in sagittal alignment were revealed in the spine and hip, these abnormalities showed no distinction between patients with and without LBP. Hence, the results of the current study cannot support the hypothesis that the abnormal sagittal spino-pelvic alignment is involved in the pathogenesis of LBP in patients with severe KOA. However, the numbers of this study were small and, therefore, definitive conclusions cannot be made.

We would like to acknowledge several limitations of the present study. Firstly, concerns over high-dosage radiographic exposure meant that we did not take radiographs of the distal parts of the lower extremities. Therefore, direct measurement of knee flexion was not available for these patients and FI was instead used to represent knee flexion, a method previously used in by others. Although FI could be affected by both the knee and ankle joints, anteroposterior radiographs of the ankle were carefully inspected and patients with disorders in this joint were excluded; therefore the FI was most likely mainly affected by knee flexion. In addition, patient body mass index (BMI) was not documented in the current study. In an ageing population, overweight adults (BMI \( \geq 25.0 \text{ Kg/m}^2 \)) had higher odds of Roussouly classification types 2 and 4 non-neutral postures, while adults with an even higher BMI (\( \geq 30.0 \text{ Kg/m}^2 \)) and central obesity had higher odds of type 1 non-neutral posture. Moreover, our radiological analysis showed only static interactions between knee flexion, pelvic inclination, and LL. It should be remembered that these interactions would be more complex during daily activities such as work and sport. Gait analysis would be helpful in understanding the dynamic interactions between knee flexion and LL. Moreover, we recruited asymptomatic controls instead of recruiting a consecutive control without KOA. Hence, data regarding LBP in the general population are unavailable in the current study, and a direct comparison of the sagittal alignment between patients with KOA and controls with LBP could not be carried out in the present study. Furthermore, it is difficult to identify LBP secondary to KOA, and even LBP occurring after knee symptoms could be coexistent with KOA.

Despite these limitations, a distinct sagittal alignment of the spine-pelvis-lower extremity was identified in patients suffering from severe KOA when compared with asymptomatic controls. Overall, patients with severe KOA showed a more forward inclination of the global spine and greater flexion of the hip and knee joints. The lumbar spine appeared to serve as the primary source of compensation for disturbances of the sagittal alignment in patients with mild knee flexion (FI \( \leq 10^\circ \)). In patients with severe knee flexion (FI \( > 10^\circ \)), however, the spine, pelvis and hip joint were all involved in compensation, presenting as a forward inclined spine and pelvis and a flexed hip joint. The present study cannot support the involvement of abnormal sagittal spino-pelvic alignment in the development or deterioration of LBP in patients with KOA. Further study of changes in sagittal alignment and LBP in these patients after knee replacement would be of interest.

**Supplementary material**

A table showing intraclass correlation coefficient reliability is available alongside the online version of this article at www.bjr.boneandjoint.org.uk.

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**Author Contribution**

- W. J. Wang: Study design, data collection and analysis, Writing the paper.
- F. Liu: Data collection and analysis.
- Y. W. Zhu: Study design, data analysis.
- M. H. Sun: Data collection and analysis.
- W. J. Weng: Study design, data collection and analysis, Writing the paper.

**ICMJE conflict of interest**

*None declared.*

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