Using a Positive Deviance Approach to Influence the Culture of Patient Safety Related to Infection Prevention

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Background. Health care–associated infections (HAIs) are a socio-technical problem. We evaluated the impact of a social change intervention on health care personnel (HCP), called “positive deviance” (PD), on patient safety culture related to infection prevention among HCP.

Methods. This observational study was done in 6 medical wards at an 800-bed public academic hospital in the United States. Three of these wards were randomly assigned to receive PD intervention on HCP. After a retrospective 6-month baseline period, PD was implemented over 9 months, followed by 9 months of follow-up. Patient safety culture and social networks among HCP were surveyed at 6, 15, and 24 months. Rates of HAI were measured among patients.

Results. The measured patient safety culture was steady over time at 69% aggregate percent positive responses in wards with PD vs decline from 79% to 75% in wards without PD (F statistic 10.55; P = .005). Social network maps suggested that nurses, charge nurses, medical assistants, ward managers, and ward clerks play a key role in preventing infections. Fitted time series of monthly HAI rates showed a decrease from 4.8 to 2.8 per 1000 patient-days (95% confidence interval [CI], 2.1 to 3.5) in wards without PD, and 5.0 to 2.1 per 1000 patient-days (95% CI, –0.4 to 4.5) in wards with PD.

Conclusions. A positive deviance approach appeared to have a significant impact on patient safety culture among HCP who received the intervention. Social network analysis identified HCP who are likely to help disseminate infection prevention information. Systemwide interventions independent of PD resulted in HAI reduction in both intervention and control wards.

Keywords. positive deviance; infection control; health care personnel; patient safety culture; social networks.
METHODS

The study was done at Parkland Memorial Hospital, an 800-bed public academic medical center in Dallas, Texas, from April 2011 to March 2013. We conducted an observational prospective study with a retrospective baseline period in 6 wards. Three of these wards were randomly selected for health care personnel to receive positive deviance intervention. The baseline period was April 2011 to September 2011. PD was implemented from October 2011 to June 2012. The follow-up period was from July 2012 to March 2013. The wards serve patients with general medical problems, in addition to those needing specialty care like geriatrics, hematology/oncology, and dialysis care. Wards in the intervention group were not significantly different in patient volume compared with the control wards. Other attributes of the wards like HAI rates and culture of safety were unknown at the time of random allocation of the intervention. All employed HCP (nurses, patient care technicians, ward managers, and clerks) and all patients receiving care in the study wards were included. HCP who do not provide care exclusively in a study ward, for example, physicians and respiratory therapists, were excluded to prevent crossover between the intervention and control wards.

Implementation of Positive Deviance Intervention

The intervention was implemented over 9 months using a semi-structured design in a series of 3 steps, where experience with each step informed the next step. The decision on 9 months was based on convenience, as there are no firm timelines for a relatively novel intervention like PD. The steps of the intervention are outlined in Box 1. In the first step, the chief medical officer and chief nursing officer invited HCP to participate, with assurance of voluntariness, freedom from negative consequences for thoughts and ideas expressed, and anonymity, as desired. In the second step, the research staff (P.S., M.E.F., and L.D.) conducted a positive deviance inquiry among HCP. The inquiry was conducted in staff break rooms and nursing stations. The sessions were evenly distributed between the day and night shifts. Drop boxes and graffiti boards were also provided for HCP to offer thoughts and ideas anonymously. The line list of patients with HAI and unit level rates of HAI were discussed with HCP willing to engage with the research team. During the PD inquiry, the HCP identified 12 "positive deviants" among themselves who are "role models" for infection prevention practices in the wards where they provide care. In the third step, which occurred at 6 months from start of intervention, the information gathered by the study team was collated by an action planning group that consisted of the "positive deviants," managers of the 3 wards, 2 infection preventionists, and a research team member (M.E.F.). The group organized and prioritized the large number of ideas and made plans to disseminate and implement them. Most importantly, they owned their plans, which included making patients their partners in preventing infections and wanting to improve their own infection prevention skills. This third step lasted the remaining 4 months of the intervention period. All activities and time spent on the intervention were documented. During the follow-up period, the research staff ceased involvement in the intervention wards.

Outcomes

The outcome of positive deviance intervention was a culture of safety, which was measured using the hospital survey of patient safety climate [13], adapted to infection prevention (see Box 2 for the tool). Social networks, that is, the networks of relationships between HCP and other personnel with different job roles in their wards [14], were also measured to understand the impact of intervention on HCP interactions. The questions asked in the social network survey were: (1) Who do you work with to prevent infections from occurring on this unit? (Collaboration or Current network); (2) List projects and activities you are involved with and the people with whom you work on those projects and activities (Prevention or Project network); (3) From whom have you gotten new ideas or inspiration that helped your infection prevention efforts? (Innovation or Ideas network); (4) Who would you like to work with in the future on preventing infections in your unit? (Potential or Future network). We administered the culture of safety survey and the social network survey in September 2011 (before intervention), June 2012 (after intervention), and March 2013 (end of follow-up period) to the HCP of all 6 study wards via REDCap [15]. To assess the impact of intervention on HCP interactions, the monthly HAI rate—a composite of central line–associated bloodstream infection (CLABSI), Clostridium difficile infection (CDI), catheter-associated urinary tract infection (CAUTI), and hospital-acquired pneumonia (HAP) per 1000 patient-days—was measured among patients through review of medical records by the study team and application of surveillance definitions [16] per the Centers for Disease Control and Prevention National Health Safety Network. Composite HAI rate was chosen mainly because the PD inquiry was open-ended and not targeted to any specific HAI. Patients who developed HAI were also evaluated for development of any of the 3 complications during the remainder of their hospital stay, permanent loss of organ or organ system function, transfer to higher level of care, and death. Attributability was not assessed.

The University of Texas Southwestern Institutional Review Board approved the study. Concurrently, during the study, the infection prevention program for the hospital conducted independent surveillance for publicly reportable HAI, as required for acute care hospitals by the state of Texas and nationally by the Centers for Medicare and Medicaid Services (CMS), and pursued aggressive systemwide implementation of hand hygiene because of a "systems improvement agreement" [17, 18] with the CMS.
Statistical Methods
We compared data at the level of groups, the group of 3 wards where HCP received PD intervention and the group of 3 wards where the HCP did not. We modeled binary outcomes of the culture of safety survey using a logistic regression analysis with group, period, and a group \times period interaction term in the model. We analyzed the social network survey data qualitatively and by measuring differences in the average number of job roles of HCP each staff member interacts with to prevent HAI. We mapped social networks using UCINET [19] and NetDraw [20]. For analysis of differences in monthly rates of HAI over time, we used the intervention modeling approach of Box and Tiao [21] with inclusion of 6 months’ lag for detection of intervention effect using the SAS PROC ARIMA procedure. We used 0-inflated Poisson regression to analyze the rates of complication in patients with HAI. We used SAS 9.3 software (SAS Institute Inc., Cary, NC) for all analyses. All tests were 2-tailed, and the critical level of \( \alpha \) was set at .05.

RESULTS

Positive Deviance Intervention
During PD inquiry, which lasted 5 months, a total of 54 PD inquiry sessions, 13 meetings with positive deviants/peer role models and ward managers, 15 meetings between positive deviance consultant (L.D.) and ward managers, and 6 role-playing sessions were conducted. In total, 110 HCP (77% of HCP in intervention wards) voluntarily engaged with the research team, for a total contact time of 116.5 HCP-hours (including 37 hours spent with the managers of 3 wards). During the action planning phase, which lasted 4 months, the action planning group sifted through more than 210 ideas and practices suggested by HCP as potential solutions for reducing HAI during PD inquiry. Twelve HCP were identified as positive deviants. The group named their efforts “Stop a Bug, Save a Life.”

Culture of Safety Related to Infection Prevention
Detailed results are presented in Table 1. The measured culture of safety at baseline was significantly more positive among HCP in the control group compared with the intervention group, although the wards were randomly selected. However, in the subsequent surveys, the culture of safety declined significantly in the control group, which did not have positive deviance intervention, whereas it remained unchanged in the intervention group. The trends in overall culture over the duration of the study period were significantly different between the 2 groups.

Social Network Analysis
Analysis of social networks revealed that, on average, each HCP worked with 6 to 9 other HCP to prevent infections and reached out to 3 to 5 other HCP for sharing ideas. The number of relationships per HCP did not change significantly in either group during the study period. Social network maps suggested that nurses, charge nurses, patient care technicians, ward managers, and ward clerks play a key role in preventing infections as they are located toward the center of the map, an indicator of high network centrality (representative social network map shown in Figure 1).

HAI and Complications
During the study period of 2 years, the 6 wards provided care for 11 069 unique patients during 16 876 encounters. Two hundred thirty patients developed 255 HAI: 48 (18.8%) CLABSI,
Actual monthly HAI rates fluctuated widely in both the intervention and control groups of wards. The patterns of HAI reduction were clearer in the fitted time series graphs (Figure 2). The HAI rate in the control group showed an abrupt and 1-time decrease at the end of the baseline period, from a baseline rate of 4.8 per 1000 patient-days to 2.8 (95% confidence interval [CI], 2.1 to 3.5), and did not change for the remaining 18 months of the study. The HAI rate in the intervention group declined from a baseline rate of 5.0 per 1000 patient-days in a gradual and exponential fashion throughout the intervention and follow-up periods to 2.1 per 1000 patient-days (95% CI, –0.4 to 4.5) without reaching a stable rate at the end of the study period, which might suggest continued intervention effects. The differences between the 2 study groups were not statistically significant. The rates of individual HAIIs are shown in Table 2, and the differences in rates between intervention and control groups were not statistically significant. Of the 230 patients with HAI, 65 (28.3%) patients had 92 complications: 5 (5.4%) permanent loss of organ or organ system function, 55 (59.8%) transfers to higher level of care, and 32 (34.8%) deaths. The baseline rate of HAIIs associated with complications was 1.57 and 0.87 per 1000 patient-days in the control and intervention groups, respectively. Both groups experienced a decrease in the rate of complications over time, to 0.47 and 0.53 per 1000 patient-days respectively, during the follow-up period (P = .03), but the difference between the groups over time was not statistically significant.

53 (20.8%) CAUTI, 79 (31%) HAP, and 75 (29.4%) CDI. Actual monthly HAI rates fluctuated widely in both the intervention and control groups of wards. The patterns of HAI reduction were clearer in the fitted time series graphs (Figure 2). The HAI rate in the control group showed an abrupt and 1-time decrease at the end of the baseline period, from a baseline rate of 4.8 per 1000 patient-days to 2.8 (95% confidence interval [CI], 2.1 to 3.5), and did not change for the remaining 18 months of the study. The HAI rate in the intervention group declined from a baseline rate of 5.0 per 1000 patient-days in a gradual and exponential fashion throughout the intervention and follow-up periods to 2.1 per 1000 patient-days (95% CI, –0.4 to 4.5) without reaching a stable rate at the end of the study period, which might suggest continued intervention effects. The differences between the 2 study groups were not statistically significant. The rates of individual HAIIs are shown in Table 2, and the differences in rates between intervention and control groups were not statistically significant. Of the 230 patients with HAI, 65 (28.3%) patients had 92 complications: 5 (5.4%) permanent loss of organ or organ system function, 55 (59.8%) transfers to higher level of care, and 32 (34.8%) deaths. The baseline rate of HAIIs associated with complications was 1.57 and 0.87 per 1000 patient-days in the control and intervention groups, respectively. Both groups experienced a decrease in the rate of complications over time, to 0.47 and 0.53 per 1000 patient-days respectively, during the follow-up period (P = .03), but the difference between the groups over time was not statistically significant.

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DISCUSSION

Our study gave us several insights into the implementation of positive deviance as a strategy to influence social behaviors among health care personnel and potentially change patient safety culture with the goal of influencing rates of health care–associated infections from the grassroots level in a busy academic medical center. Our paper adds to the methodology of implementing positive deviance intervention described in previous publications [22, 23]. The invitation to participate in PD was received well and generated a lot of conversation among the HCP in intervention wards. PD strategy, although labor intensive and “high touch,” allowed for increased engagement and awareness among frontline HCP. The culture of safety did not worsen among the HCP who received positive deviance intervention as it did among HCP in the control wards, and the difference between trends in the intervention and control wards was statistically significant. It is possible that open dialogues regarding challenges and potential solutions for preventing infections in the 3 intervention wards prevented a downward slide in the culture of safety, as was the case in the 3 control wards, during a time of organizational stress and aggressive system improvement that was being implemented at that time.

This study was unable to determine whether the differences in culture of safety between the intervention and control wards were clinically impactful because the secular effects of system-wide hand hygiene efforts might have been a major contributor to reduction in HAI in both the intervention and control wards. There were no other significant events in the study wards that might have potentially explained the differences observed in patient safety culture between the groups. Any potential differences in patient complexity between the intervention and control wards and the study results were not studied. The variation in culture of safety between wards of similar size and the changes over time suggest that hospital culture of safety results need to be examined carefully at the level of each ward and that it might be helpful to measure them serially over time.

Network maps helped us identify connection patterns among HCP in the wards to prevent infections among the patients they care for. We found a concentration of attention in the patient’s nurse, patient care technician, charge nurse, ward manager, and ward clerk. This qualitative information was valuable. Communication intended to have a wide impact in any ward may be disseminated better through HCP with the highest number of relationships within the ward. Inclusion of social

| Table 1. Results of Hospital Survey of Patient Safety Climate in Health Care Personnel in Intervention and Control Groups Before and After Intervention and At the End of the Follow-up Period |
|---------------------------------------------------------------|
| before intervention, | end of intervention, | end of follow-up, | average difference before and after intervention | \( F \) statistic | average difference between groups | \( F \) statistic | average difference between groups | \( F \) statistic |
| % | % | % | \( P \) value | \( P \) value | \( P \) value |
| Participation (％ invited) Control | 32.40 | 81.20 | 69.60 | 13.10 (<.001)* | 33.64 (<.001)* | 26.65 (<.001)* |
| Intervention | 46.30 | 50.80 | 45.80 | | | |
| Aggregate percent positive Control | 79.30 | 72.70 | 75.00 | 36.19 (<.001)* | 4.62 (.099) | 10.55 (.005)* |
| Intervention | 68.60 | 70.20 | 69.30 | | | |
| Percent positive responses per domain | | | | | | | |
| A. Unit where HCP works Control | 90.60 | 83.20 | 82.50 | 1.75 (0.19) | 0.45 (0.64) | 0.09 (0.91) |
| Intervention | 76.30 | 75.80 | 74.60 | | | |
| B. HCP’s supervisor/manager Control | 68.80 | 74.70 | 77.50 | 0.00 (0.97) | 1.95 (0.14) | 0.10 (0.90) |
| Intervention | 71.50 | 77.00 | 74.50 | | | |
| C. Communication Control | 79.60 | 62.00 | 72.80 | 0.01 (0.93) | 0.53 (0.59) | 0.07 (0.93) |
| Intervention | 70.70 | 69.90 | 70.90 | | | |
| D. Frequency of events reported Control | 82.40 | 73.90 | 82.60 | 6.89 (0.009)* | 1.41 (0.25) | 0.55 (0.58) |
| Intervention | 62.00 | 66.10 | 70.20 | | | |
| E. Overall HAI prevention grade for HCP’s unit Control | 80.60 | 75.60 | 74.60 | 1.24 (0.27) | 0.75 (0.47) | 0.33 (0.72) |
| Intervention | 74.00 | 74.20 | 63.60 | | | |
| F. Entire hospital Control | 67.40 | 58.70 | 55.30 | 0.01 (0.91) | 0.11 (0.90) | 0.33 (0.72) |
| Intervention | 54.50 | 56.00 | 54.80 | | | |

Positive response was defined as agree, strongly agree, most of the time, always, very good, and excellent for all questions except the negatively worded questions, for which disagree and strongly disagree are considered positive responses.

Abbreviations: HAI, health care–associated infection; HCP, health care personnel.

* Differences between intervention and control groups statistically significant at <.05.
science experts in the multidisciplinary work groups for patient safety initiatives may be helpful.

Our study has some limitations. Interpretation of changes in culture of safety and the network survey is limited by the voluntary nature of participation in these surveys. The study was conducted at a single institution. Process measure data such as adherence to central line bundle and urinary catheter bundle were not available during the study period. Because physicians provide services throughout the hospital and rotate frequently, we were unable to include them in the intervention or the intervention.

Figure 1. Representative social network map: current network in the intervention group before start of intervention. Round nodes labeled 1 represent health care personnel (HCP) in the intervention group, while square, black nodes represent the job roles of other personnel in the ward they worked with. The size of the nodes is directly proportional to how frequently they worked with someone in that job role. Roles that were not chosen by anyone are not connected to any HCP and are shown in the upper left corner. Abbreviations: attndMD, attending physician; chasst, clinic staff assistant; ChgNurse, charge nurse; chsuptc, clinical support tech; diet, dietician; DON, director of nursing; houskeep, housekeeper; HousStaf, resident physician; infprev, infection prevention; medstudt, medical student; microbi, microbiologist; NursPrac, nurse practitioner; NursStudt, nursing student; occthasst, occupational therapy assistant; othhosp, someone in another hospital; othstudt, other student; pharm, pharmacist; phlebots, phlebotomist; PtCareTch, patient care tech; psyctech, psychiatry technician; ptflam, patient’s family; ptsafety, patient safety; radasst, radiology assistant; radtech, radiology technician; respther, respiratory therapist; RN, registered nurse; sperprth, special procedures technician; speech, speech therapist; sonograp, sonographer; surgtech, surgery technician; SVP, senior vice president; transport, transporter; UnitClrk, unit clerk; UnitMgr, unit manager; VP, vice president.

Figure 2. Rates of health care–associated infections (HAIs) in intervention and control groups. Solid line: HAI rate in control wards. Dashed line: HAI rate in wards in which health care personnel received intervention. Y-axis: Rate of health care–associated infections per 1000 patient-days.
Table 2. Rates of Individual Health Care–Associated Infections

| Group | 6-mo Baseline Period | 9-mo Intervention Period | 9-mo Follow-up Period |
|-------|----------------------|--------------------------|----------------------|
| Rate of CLABSI Control | 0.84                 | 0.49                     | 0.48                 |
| Rate of CLABSI Intervention | 0.98               | 0.74                     | 0.4                   |
| Rate of CAUTI Control | 1.05                 | 0.56                     | 0.68                 |
| Rate of CAUTI Intervention | 1.2                 | 0.47                     | 0.46                 |
| Rate of HAP Control | 1.36                 | 1.05                     | 1.16                 |
| Rate of HAP Intervention | 0.87                | 0.74                     | 0.99                 |
| Rate of CDI Control | 1.25                 | 0.77                     | 0.82                 |
| Rate of CDI Intervention | 1.64                | 1.28                     | 0.4                   |

Differences in rates of individual HAIs between intervention and control groups were not statistically significant.

Abbreviations: CAUTI, catheter-associated urinary tract infection; CDI, Clostridium difficile infection; CLABSI, central line–associated bloodstream infection; HAI, health care–associated infection; HAP, hospital-acquired pneumonia; HCP, health care personnel.

culture of safety surveys and network surveys in the study. The impact of PD on physicians’ perceptions of culture of safety is worth evaluating in future studies. Another limitation is that 9 months each for PD inquiry and follow-up may not have been sufficient in hindsight. We hope that the lessons learned in our study will be applied in future studies.

HAI rates decreased in both the intervention and control wards, indicating the effectiveness of systemwide aggressive hand hygiene measures. The average monthly hand hygiene observations in the entire hospital and health system increased 23-fold compared with the months before system improvement agreement, and adherence increased from 93% to 98.5% (P < .05). Similarly, the environmental rounding observations increased 26-fold, and adherence increased from 91.9% to 92.8% (P < .05) (Parkland Infection Prevention Department, unpublished data 2013). The patterns of reduction were different, with gradual-exponential decline in the intervention wards, in contrast to 1-time, abrupt improvement in the control wards. We are unable to ascertain whether the differences in patterns could be explained by intervention effect.

Valuable insights we gained from conducting this study were:

1. It took time to gain the necessary trust from the frontline HCP in order for them to share freely their perceived barriers and potential solutions. Initiatives to reduce HAIs need to consciously factor in the time it takes to establish trust with participants in the intervention.
2. Once the HCP recognized the potential value of PD inquiry, they became engaged and shared many ideas with the study team. They found the conversation refreshing and made statements like, “I did not know my opinion mattered.” They also solved many seemingly small issues between themselves during the course of the conversation. For example, they came up with a color scheme to label intravenous tubing to identify which tubes needed to be changed on which day of the week. Staff modeled complex workflows to each other and learned from each other. Examples are workflows associated with drawing blood from a patient in contact isolation or cleaning up a patient with explosive diarrhea who has soiled himself (without contaminating catheter sites).
3. The ward managers of the intervention wards pointed to issues of time and the challenge of keeping a united front between different shifts. Local factors like these may be important for ongoing evaluation of infection prevention initiatives.
4. Although PD is a grassroots-level intervention, leadership buy-in and permission to engage with the research team were essential.
5. The research team and ward managers recognized that the PD approach is similar to the traditional quality improvement approach using Plan-Do-Study-Act cycles in that they have similar steps, but different in that the PD approach has an upfront phase of in-depth inquiry that is intended to get at the root of the problem, identify local role models for accelerating change, and emphasize local ownership of the problem and solutions.
6. Although the ward managers and frontline personnel eventually accepted PD after initial hesitation, the investigators identified a need for better tools to assess setting, context, and preconditions that might be more conducive for implementing PD. The approach also needs to be more developed and adapted for use in infection prevention because there is not a 1:1 correlation between any single practice by HCP and infection outcome in the patient. Another challenge in large, complex health systems is that peer role models are harder to identify and any single HCP may not have a deep reach within the area where they work.

In summary, when organizational conditions were the same for hospital wards that received positive deviance intervention and those that did not, PD inquiry of health care personnel was associated with a statistically significant impact on culture of safety. Mapping of social networks yielded several important insights about patterns of connections among frontline health care personnel. Rates of health care–associated infections and complications reduced in both intervention and control wards due systemwide interventions. Further studies are needed to evaluate social dynamics among health care personnel and their impact on culture of safety and HAI outcomes.

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