Weyl points and topological nodal superfluids in a face-centered cubic optical lattice

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We point out that a face-centered cubic (FCC) optical lattice, which can be realised by a simple scheme using three lasers, provides one a highly controllable platform for creating Weyl points and topological nodal superfluids in ultracold atoms. In non-interacting systems, Weyl points automatically arise in the Floquet band structure when shaking such FCC lattices, and sophisticated design of the tunnelling is not required. More interestingly, in the presence of attractive interaction between two hyperfine spin states, which experience the same shaken FCC lattice, a three-dimensional topological nodal superfluid emerges, and Weyl points show up as the gapless points in the quasiparticle spectrum. One could either create a double Weyl point of charge 2, or split it to two Weyl points of charge 1, which can be moved in the momentum space by tuning the interactions. Correspondingly, the Fermi arcs at the surface may be linked with each other or separated as individual ones.

Fascinating progresses have been made in studying topological matters of ultracold atoms in the past few years. A number of topological models and topological phenomena difficult to access in solid materials have been realised. For instance, the Harper-Hofstadter model and the topological Haldane model have been delivered in optical lattices. In the continuum, a two-dimensional (2D) synthetic spin-orbit coupling has created a single stable Dirac point that can be moved anywhere in the momentum space. So far, most of these studies have been focusing on one or two dimensions. A large class of three-dimensional (3D) topological phenomena remain unexplored at the moment.

A Weyl point is a characteristic 3D topological band structure, which provides an analog of Weyl fermions, a building block in quantum field theory. It also serves as an ideal platform to explore a wide range of topological phenomena in gapless quantum systems, such as Fermi arcs and chiral anomalies. Weyl points and Weyl semimetals have recently been discovered in certain solid materials. Whereas this development represents a major advancement in the current frontier of condensed matter physics, challenges remain on manipulating Weyl points, since microscopic parameters are essentially fixed in a given solid material. Further more, a fundamentally important question regarding the interplay between Weyl semimetal and interaction remains unsolved. Though theoretical studies have predicted a variety of interesting results, including novel superconductivity in doped Weyl semimetals and the emergent supersymmetry, there have been no experimental observation of such phenomena. It is therefore desirable to have a highly controllable platform to investigate Weyl points and the resultant quantum phenomena in interacting systems.

In this Letter, we show that a face-centered cubic (FCC) optical lattice provides physicists a unique means to create and manipulate Weyl points in both non-interacting and interacting systems. An intrinsic property of a FCC lattice is that, the lowest two bands, which are labeled as A and B, respectively, have "inverted" band structures, i.e., tunnellings with opposite signs. This comes from a simple fact that the Brillouin zone (BZ) of a FCC lattice is the one folded from a simple cubic (SC) lattice, as shown in Fig. 1(a,b). As a result, Weyl points naturally arise in the Floquet band structure, if one simply uses a periodic shaking to overcome the band gap and couple the A and B bands. This is distinct from the majority of previous proposals, which require sophisticated designs to engineer tunnellings along all three directions. Moreover, uploading two hyperfine spin states onto such optical lattice, the highly tunable attractive interaction between fermionic atoms allows one to create a 3D nodal superfluid, which is composed of layered 2D chiral superfluids in the momentum space. Strikingly, Weyl points show up in quasiparticle spectrum of such superfluid. One could either glue two Weyl points with the same chirality to a monopole of charge 2, or further split such multiple-charge monopoles into multiple charge-1 ones, and move them around in the BZ by tuning interactions. Correspondingly, two Fermi arcs emerge at the surfaces, and can be either linked with or separated from each other, depending on locations of the Weyl points in the bulk spectrum.

Hamiltonian We consider a circularly shaken 3D FCC optical lattice, whose Hamiltonian in the Floquet framework is written as $H_0 - i\hbar \partial_\tau$, where $\tau$ is time,

$$H_0 = \frac{P^2}{2M} + V(x + f \cos \omega \tau, y + f \sin \omega \tau, z),$$  \hspace{1cm} (1)

and $f$ and $\omega$ are the shaken amplitude and frequency, respectively. $M$ is the atom mass. Formally, the above equation is a direct generalisation of the scheme of shaking a 2D checkerboard lattice to a 3D FCC lattice,
sublattice, and \( \Delta \) is the band gap. The inverted structure between \( A \) and \( B \) bands could be understood qualitatively from the folding of BZ, i.e., \( \epsilon^0_{Ak} \approx \epsilon_{Ak} \), \( \epsilon^0_{Bk} \approx \epsilon_{Ak} + \Delta \), \( \epsilon_{Bk} \) is the ground band dispersion of the SC lattice, and \( G = (1, 1, 1)\pi/d_0 \), \( d_0 = \sqrt{2}d_0 \) and \( d_0 \) are the lattice spacings of the FCC and the SC lattices, respectively. Applying the shaking, the \( A(B) \) band absorbs (emits) a photon and couples with the \( B(A) \) band in the Floquet Hamiltonian, which can be written as

\[
H_0 = (\epsilon_{Ak} + \epsilon_{Bk})I/2 + K \times \left( \begin{array}{c}
\epsilon_{Ak} - \epsilon_{Bk} \\
\epsilon_{Ak} - \epsilon_{Bk} \\
\end{array} \right),
\]

where \( \epsilon_{Ak} = \epsilon^0_{Ak} + \hbar \omega \), \( \epsilon_{Bk} = \epsilon^0_{Bk} \), and \( \Delta = \Delta - \hbar \omega \) is the one-photon detuning. The inter-band coupling is written as \( \Omega_k e^{i\phi_k} = \Omega(i \sin k_x d_0 - \sin k_y d_0) e^{-ik_z d_0} \) with \( \phi_k = \arg \left[ (i \sin k_x d_0 - \sin k_y d_0) e^{-ik_z d_0} \right] \). This coupling is the same as that in a shaken checkerboard lattice[25], since \( V(x, y, z) \) in Eq. (2) reduces to a 2D checkerboard lattice for each plane with a given value of \( z \).

Weyl points from shaking A Weyl point requires that all matrix elements in Eq. (3) become zero at some \( k_0 \) in BZ. In previous proposals[21–23], this is realised by engineering the tunnelling along all three dimensions, which often require sophisticated design of microscopic models. Here, a shaken FCC lattice automatically provides one Weyl points. The off-diagonal term could vanish at \( (k_x, k_y) = (0, 0), (0, \pi), \) or \( (\pi, 0) \). Meanwhile, since \( \epsilon^0_{Bk} = \epsilon^0_{Ak} + \Delta \) is readily satisfied in the static lattice, \( \epsilon_{Ak} - \epsilon_{Bk} = 0 \) can be easily satisfied if \( \delta \) is small enough, i.e., in the strong inter-band hybridisation regime with the shaken frequency tuned near resonance. For instance, one may have \( k_0 = (0, 0, k_{0z}) \) so that \( |K| = 0 \), where \( k_{0z} \) satisfies \( 4t(1 + 2 \cos k_{0z} d_0) + 2t'(2 + 2 \cos 2k_{0z} d_0) = \delta/2 \). Near \( k_0 \), the matrix can be linearised,

\[
K = \left( \begin{array}{c}
v_x(k - k_{0z}) & iv_xk_x + iv_yk_y - v_z(k - k_{0z}) \\
-iv_xk_x - iv_yk_y + v_z(k - k_{0z}) & v_x(k - k_{0z}) \end{array} \right),
\]

where \( v_x = v_y = \Omega d_0 \equiv v_1 \), \( v_x = -8d_0 \sin(k_{0z} d_0)(t + t' \cos k_{0z} d_0) \). Eq. (4) indeed describes a Weyl point in the BZ. When \( k = k_0 \), \( |K| = 0 \), and the Weyl point represents a monopole in the momentum space with a topological charge \( \pm 1 \). The sign of the charge is determined by the sign of \( v_xv_yv_z \). Since for each \( k_{0z} \), there is always another solution \(-k_{0z} \) to satisfy \( |K| = 0 \) with an opposite chirality, one sees that the total chirality in BZ is zero. The positions of the Weyl points are determined by the microscopic parameters in the system, such as the detuning \( \delta \). Changing the value of \( |\delta| \), Weyl points move in BZ, and once a pair of Weyl points with opposite chiralities meet in BZ, they annihilate each other. For large enough \( |\delta| \), the disappearance of Weyl points indicates that the 3D band structure becomes topologically trivial in such cases with weak inter-band hybridisation.

3D nodal superfluid We now turn to the interaction effects. Whereas the interplay between Weyl fermions
and interaction has been studied in the literature\cite{14,20}, our shaken lattices provides one a unique system to explore new physics that has not been explored before. We introduce two hyperfine spin states into the FCC optical lattice, each of which has the same single-particle Hamiltonian specified by Eq. (3). This corresponds to a spin-independent shaken lattice, where we have two Weyl points with the same chirality at the same spin-independent shaken lattice, each of which has the same single-particle spectrum remains gapless. The other branch, which comes from the other block, opens a gap due to a finite $\Delta_B$. Alternatively, when $U_B = 0$ the spectrum is gapless at $k^* = (0, 0, \pm k_{0z} \pm k_F)$.

We point out that the emergent 3D nodal superfluid is a topological one, which can be seen from the topological charges carried by the nodal points in the quasi-particle spectrum. Expanding the quasiparticle spectrum near such gapless point, an effective Hamiltonian is obtained,

$$H_{eff}^{\pm} = \left( \begin{array}{cc} \pm v_f q_z & 0 \\ 0 & \mp v_f q_z \end{array} \right) + \frac{v_f^2 (q^2 + q^2_0)}{\Delta c^2 + 4\mu^2} \left( \begin{array}{c} -2\eta \mu & \Delta \xi e^{-2i\eta} \\ \Delta \xi^* e^{2i\eta} & 2\eta \mu \end{array} \right),$$

where $q$ is the momentum measured from the gapless point $k^*$ or $k^{*\prime}$, $\theta_q = \arg(iq_{zx} - q_y)$, and $v_f = 8 \sin(k_{0z} + \eta k_F) [t + t' \cos(k_{0z} + \eta k_F)]$, $\eta = +1$ and $\xi = A$ for $U_B = 0$ while $\eta = -1$ and $\xi = B$ for $U_A = 0$. The superscript $+$ is the valley index, representing the two Fermi surfaces surrounding the two Weyl points in non-interacting systems. $H_{eff}^{\pm}$ describes a Bogoliubov quasiparticle spectrum, which is linear along the $q_z$ direction and quadratic along the $q_x$ and $q_y$ directions, since the off-diagonal term $\sim (iq_{zx} + q_y)^2$. It thus describes a monopole of charge-2 in the momentum space. We thus see that the topological charge when introducing attraction interaction shows up in Bogoliubov quasiparticle spectrum.

Turning on the other interaction, the monopole of charge-2 splits to two charge-1 ones, i.e., two Weyl points, in the $k_x$-$k_y$ plane, the positions of which are denoted as $k_1^*$ and $k_2^*$, respectively. This can be understood from the conservation of the total charge of the monopoles enclosed in the spheres, as shown in fig. 2(e, f). Near these two Weyl points, the dispersion becomes linear along all three directions. Since the non-interacting system has a four-fold rotation symmetry about the $k_z$ axis, and such a splitting reduces the symmetry to a two-fold one, the choice of the direction for the splitting is a consequence of spontaneous symmetry breaking, along either $k_x$ or $k_y$ direction. By changing the ratio $U_A/U_B$, these two Weyl points move in BZ before meeting their counterparts with opposite chiralities emerged from the other valley. For non-interacting systems, it is known that tuning the parameters leads to the movement of Weyl points without opening the gap. In our system, tuning interaction also offers such opportunity to control the positions of Weyl points in the Bogoliubov quasiparticle spectrum in BZ.

There is an alternative way to understand why the 3D superfluid remains nodal with turning on a small $U_A$. As shown in eq. 3 and fig. 2(d-f), in 3D BZ each 2D plane with fixed $k_z$ defines an 2D $s + (d + id)$ superfluid, sim-
ilar to the one emerged from a 2D shaken lattice [25]. Whereas tuning $k_z$ effectively changes the chemical potential of such 2D superfluid, its Chern number can be computed straightforwardly. When $|k_z| < k_z^*$, where $k_z^*$ is the z component of $k_1^*$ (and $k_2^*$), this 2D chiral superfluid is topologically nontrivial with a Chern number of 2, as the chiral component $(d + id)$ is dominant for small $U_A$. When $|k_z| > k_z^*, C = 0$. The topological transition of the 2D superfluid just corresponds to the nodal points. Thus, the nodal points cannot be suddenly gapped, and the 3D superfluid remains nodal when $U_A$ is small enough.

The pairing here is an inter-valley BCS pairing between $-k$ and $k$. There have been studies of the competition between the inter- and intra-valley parings near Weyl points [14–18, 20]. Here, it is the hyperfine spin states that incorporate the interaction effect. We find out that, at least in the mean field level, the inter-valley paring wins. This can be qualitatively understood from the phase space argument. In the inter-valley pairing, a paired state $(k_0 + q \uparrow, -k_0 - q \downarrow)$ can be scattered to both $(k_0 + q' \uparrow, -k_0 - q' \downarrow)$ and $(-k_0 + q' \uparrow, k_0 - q' \downarrow)$. For the intra-valley pairing, a paired state $(k_0 + q \uparrow, k_0 - q \downarrow)$ could only be scattered to $(k_0 + q' \uparrow, k_0 - q' \downarrow)$. Thus, the inter-valley pairing gains more energy than the intra-valley pairing (Supplementary Materials). Another mechanism favoring the inter-valley pairing is that $\epsilon_{k\sigma} = -\epsilon_{-k\sigma}$ is satisfied. Since the shaking is spin-independent, $\epsilon_{(k_0 + q \uparrow, -k_0 - q \downarrow)}$ is thus valid. However, near the same Weyl point, $\epsilon_{k_0 + q \uparrow}$ is not exactly the same as $\epsilon_{k_0 - q \downarrow}$. When $q$ is large enough and the linear approximation for the single particle energy is no longer accurate. This energy mismatch disfavours the intra-valley pairing.

We now consider half filling $n = 1/2$. In such Weyl semimetal, due to the vanishing Fermi surfaces, a weak attractive interaction is no longer relevant [20]. Our calculations indeed show that the pairing remains vanishing before either or both interactions reach a critical value. The critical interaction strength by itself is not universal, in the sense that it depends on the details of the single-particle spectrum at both low and high energies. For a purely linear dispersion, the critical value is written as $U_A^c = 6\pi^2 v_F \Omega^2/\Lambda^2$ for $U_B = 0$, where $\Lambda$ is the high energy cutoff. In realistic systems, the high energy part of the spectrum is no longer linear, and is also important to the critical interaction. Thus, there is no simple expression of $U_A^c$. Nevertheless, if $U_A > U_A^c$, the results become similar to those with a finite Fermi surface. A double Weyl point of charge 2 emerges in the quasiparticle spectrum. Turning on $U_B$, such multiple-charge monopole splits to two Weyl points of 1.

**Fermi arcs** A characteristic feature of Weyl points in non-interacting system is the existence of Fermi arc [9] at the surface, an unclosed line as the zero energy state. Whereas in the absence of interaction, such Fermi arc is indeed observed in our system as shown in fig. 2(a), it is more interesting to explore the interacting case when the nodal superfluids have emerged. We solve the BDG equation for half filling self-consistently with (100) surface such that $k_y$ and $k_z$ are still good quantum numbers, and the zero energy surface state is shown in Fig. 2(a-c).

Without interactions, two identical Fermi arcs, each of which comes from one hyperfine spin state, are on top of each other. Turning on one of the interactions, two Fermi arcs connect with each other at two points in the 2D BZ, which are just the projection of the two charge-2 monopoles in the bulk spectrum onto the surface. Turning on the other interaction, accompanied with the splitting of each charge-2 monopole into two Weyl points, the two Fermi arcs split, and the four ending points are simply the projections of the four Weyl points in the bulk. One could understand these fermi arcs from the layered 2D superfluids. When the 2D superfluid is topologically nontrivial with Chern number 2, its two zero-energy edge states on the surface. Such zero energy states merge into the bulk spectrum when $|k_z| > k_z^*$ and the 2D superfluid becomes the topologically trivial.

We have shown that Weyl points are readily achievable in current ultracold atom experiments. The interplay between the interaction and the topological band structure leads to a 3D topological nodal superfluid with Weyl points and Fermi arcs, which are highly controllable via tuning the interaction. We hope that our work...
will stimulate more studies on 3D topological matters in ultracold atoms.

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Supplemental Material for “Weyl points and topological nodal superfluids in a face-centered cubic optical lattice”

In this supplementary material, we present the results on the realization of the FCC lattices and the comparison between inter- and intra-valley pairings.

Realization of the FCC lattices

We can use three retro-reflected lasers with linear polarisations to generate the potential of the face-centered cubic (FCC) lattice. As shown in Fig. 3(a,b), laser 1 in x direction and laser 2 in y-z plane both with linear polarisations have the same wavelength $\lambda_1$ and frequency $\omega_1$, and thus can interfere with each other. The total electric field is

$$E = 2E_1e^{i(\varphi_1+\varphi_1')}e_x + 2E_2e^{i[k_z \cos \theta + (\varphi_2+\varphi_2')]e_y} + e^{-i[k_y \sin \theta + (\varphi_2-\varphi_2')]e_z} + e^{-2i[k_y \sin \theta + (\varphi_2-\varphi_2')]e_z}$$

(A.7)

where $k_1 = 2\pi/\lambda_1$ is the wavenumber, $E_1$ and $E_2$ are the strengths of the electric fields of lasers 1 and 2, respectively. As shown in Fig. 3(b,c), $\theta$ is the angle of the direction of laser 2 to the positive z direction, and $\phi$ is the angle of the polarisation of laser 2 to the y-z plane. $e_x = e_x \sin \phi + e_y \cos \phi \cos \theta + e_z \cos \phi \sin \theta$ and $e_2 = e_x \sin \phi - e_y \cos \phi \cos \theta + e_z \cos \phi \sin \theta$ are the unit vectors of the polarisation directions of the two laser 2 beams, respectively. $\varphi_1(2)$ and $\varphi_1(2)'$ are the phases of the incident and reflected beams of laser 1(2), respectively. So the corresponding potential is

$$V_{12} = -V_1 \cos^2 \left(k_1x + \frac{\varphi_1 - \varphi_1'}{2}\right) - V_2 \left(1 - 2 \cos^2 \theta \cos^2 \phi \right) \cos^2 \left(k_1y \sin \theta + \frac{\varphi_2 - \varphi_2'}{2}\right)$$

$$-2\sqrt{V_1V_2} \cos \phi \sin \theta \cos \left(k_1x + \frac{\varphi_1 - \varphi_1'}{2}\right) \cos \left(k_1y \sin \theta + \frac{\varphi_2 - \varphi_2'}{2}\right) \cos \left(k_1z \cos \theta - \frac{\varphi_1 + \varphi_1' - \varphi_2 - \varphi_2'}{2}\right),$$

(A.8)

where $V_{1,2}$ are the single-beam lattice depths of laser 1 and 2, respectively. Laser 3 also in y-z plane with wavelength $\lambda_3$ and frequency $\omega_3$ can form an independent standing-wave potential along z direction, as long as we make $|\omega_3 - \omega_1| \gg \tau$, where $\tau$ is the typical measurement time, such that it doesn’t interfere with other lasers. The potential is

$$V_z = -V_3 \cos^2 \left(k_3z + \frac{\varphi_3 - \varphi_3'}{2}\right),$$

(A.9)

where $k_3 = 2\pi \cos \theta / \lambda_3$ is the z-component wavenumber of the laser 3, $\varphi_3$ and $\varphi_3'$ are the phases of the incident and reflected beams of laser 3, respectively, and $V_3$ is the single-beam lattice depth. For convenience, we can tune the
parameters as \( \varphi_1 = \varphi_1' = \varphi_2 = \varphi_2' \) and \( \varphi_3 = \varphi_3' \), so the potential is reduced to

\[
V(r) = -V_1 \cos^2 k_1 x - V_2 \left( 1 - 2 \cos^2 \theta \right) \cos^2 (k_1 y \sin \theta) - V_3 \cos^2 k_3 z - 2 \sqrt{V_1 V_2} \cos \phi \sin \theta \cos k_1 x \cos (k_1 y \sin \theta) \cos (k_3 z \cos \theta). \tag{A.10}
\]

If we make \( V_1 = V_2 \left( 1 - 2 \cos^2 \phi \sin^2 \theta \right) \equiv V, k_1 \cos \theta = k_3 \), and define \( k_2 \equiv k_1 \sin \theta \) and \( \alpha \equiv 2 \cos \phi \sin \theta \sqrt{V_1 V_2}/V \). The lattice potential becomes

\[
V(r) = -V \left( \cos^2 k_1 x + \cos^2 k_2 y + \cos^2 k_3 z + \alpha \cos k_1 x \cos k_2 y \cos k_3 z \right). \tag{A.11}
\]

This is just the potential for FCC lattice.

**Comparison between inter- and intra-valley pairings**

Consider the inter-valley pairing, for any fixed \( \mathbf{q} \) and \( \mathbf{q}' \), the initial state of a pair \((\mathbf{k}_0 + \mathbf{q}, \uparrow; -\mathbf{k}_0 - \mathbf{q}, \downarrow)\) can be scattered to \((\mathbf{k}_0 + \mathbf{q}', \uparrow; -\mathbf{k}_0 - \mathbf{q}', \downarrow)\), as shown in Fig (4b). For the same values of \( \mathbf{q} \) and \( \mathbf{q}' \), the same initial state can also be scattered to \((-\mathbf{k}_0 + \mathbf{q}', \uparrow; \mathbf{k}_0 - \mathbf{q}', \downarrow)\), which also conserves the total momentum and energy, as shown in Fig (4a). Similarly, the initial state of a pair \((-\mathbf{k}_0 + \mathbf{q}, \uparrow; \mathbf{k}_0 - \mathbf{q}, \downarrow)\) can be scattered to \((-\mathbf{k}_0 + \mathbf{q}', \uparrow; \mathbf{k}_0 - \mathbf{q}', \downarrow)\) in Fig (4c) or \((\mathbf{k}_0 + \mathbf{q}', \uparrow; -\mathbf{k}_0 - \mathbf{q}', \downarrow)\) in Fig (4d). Thus the energy gained by the inter-valley pairing is proportional to \( \int d\mathbf{q} d\mathbf{q}' \sim 4N(E_F) \), where \( N(E_F) \) is Density of States of a single Fermi surface of a single spin component. In contrast, for intra-valley pairing, the initial state of a pair \((\pm \mathbf{k}_0 + \mathbf{q}, \uparrow; \pm \mathbf{k}_0 - \mathbf{q}, \downarrow)\) can be scattered to \((\pm \mathbf{k}_0 + \mathbf{q}', \uparrow; \pm \mathbf{k}_0 - \mathbf{q}', \downarrow)\) in the same valley only, as shown in Fig (4e). Thus the energy gained by the intra-valley pairing is proportional to \( \int d\mathbf{q} d\mathbf{q}' \sim 2N(E_F) \). One thus conclude inter-valley pairing is in general favored in our system.

**FIG. 4.** Blue and red circles represent the identical Fermi surfaces of spin-up and spin-down atoms, respectively, which have been slightly displaced for clarity. Solid and dotted arrows represent the initial and final momenta, respectively. Dashed curves highlight the changes of the momentum of spin-up (blue) and spin-down (red) atoms. (a-d) Inter-valley paring. (e) Intra-valley paring.