Balancing the benefits and harms of oral anticoagulation in non-valvular atrial fibrillation

SUMMARY
Non-valvular atrial fibrillation is becoming more common in Australia and globally.
The direct oral anticoagulants apixaban, dabigatran and rivaroxaban offer an improved safety profile over warfarin.
Patient preferences are important and shared decision-making supports better adherence to treatment.

Introduction
The decision to start, continue or stop oral anticoagulation is common and challenging in patients with non-valvular atrial fibrillation. A disabling stroke is a disaster for the patient and their family, as is a disabling or fatal bleed.

Increasing prevalence of atrial fibrillation
The most common reason to prescribe anticoagulation is for thromboembolic prophylaxis in clinically diagnosed atrial fibrillation or paroxysmal atrial fibrillation. Unlike some risk factors for stroke (blood pressure, cholesterol, smoking), the prevalence and incidence of atrial fibrillation is increasing globally. This is possibly due to increasing obesity and an ageing population, including more people surviving with chronic heart disease. In Australia, stroke physicians see many patients with large artery occlusion due to embolic stroke (perhaps up to 40% of ischaemic stroke). The majority of these patients are in atrial fibrillation but are not anticoagulated, emphasising the gap between evidence and practice.

Patient preferences
To understand the factors that influence treatment success, it is important to know patient preferences. A recent systematic review found that patient preferences do not align well with anticoagulation guidelines, with perhaps only two-thirds of patients accepting guideline-recommended treatment. Patients are willing to accept the risks of bleeding to prevent stroke if this represents an absolute risk reduction of at least 1% per year. The review also found that physicians put more weight on stroke reduction. Convenience was also an important factor for patients – including once a day treatment, no bridging requirement (intravenous heparin or subcutaneous low-molecular-weight heparins), no food interactions and no need for monitoring. If these patient and physician preferences are considered, the direct oral anticoagulants (DOACs) such as apixaban, rivaroxaban and dabigatran can be seen as a useful advance. Shared decision-making is key and ensures that patients and their families are clear partners in the conversation.

Direct oral anticoagulants versus warfarin
Trial evidence showing that DOACs were non-inferior (or superior) to warfarin for prevention of ischaemic stroke has been matched in routine clinical practice. They may also be associated with less discontinuation by patients (in the USA) compared to warfarin. However, discontinuation remains common with DOACs and warfarin, and this issue needs to be part of shared decision-making. A comparative meta-analysis found that DOACs have a lower risk of intracranial haemorrhage and a higher risk of gastrointestinal bleeding than warfarin. Given the changing evidence together with patient preferences, it is no surprise that since 2014 more people needing oral anticoagulation have been started on DOACs rather than warfarin in Australia.

Australian practice
There is evidence of under- and over-treatment of those in atrial fibrillation – in many cases this is due to clinicians not following guidelines. These recommend that the decision to anticoagulate should be based on the sexless CHA₂DS₂-VASc score.
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(see Table).\textsuperscript{12} Approximately 75% of patients for whom oral anticoagulation is recommended (CHA\textsubscript{DS}-VA score ≥2) do not receive it and about a quarter of those who should not receive it (score of 0) do receive it.\textsuperscript{11} Given this large discrepancy between the evidence and clinical practice, it is important to review the current national guidelines.\textsuperscript{12,13}

When oral anticoagulation is recommended, further assessment of the patient is required. This needs to take account of the patient’s preferences and expectations,\textsuperscript{3} the presence of contraindications and whether any of these are modifiable. For example, if a patient has troublesome haemorrhoids that bleed, treating them (e.g. by injection or surgery) could allow safer oral anticoagulation in the future. Other potentially modifiable factors include falls, alcohol intake, uncontrolled hypertension and other medicines such as non-steroidal anti-inflammatory drugs and antiplatelet drugs.

Bleeding risk

When weighing up the risks and benefits of anticoagulation, it is useful to consider the following:

- the main types of serious bleeding – intracranial and gastrointestinal
- important patient factors – renal failure, older age, concomitant antiplatelet therapy.

Risk scores have been developed to predict bleeding in patients on anticoagulants. Unfortunately, these have not been as clinically useful as hoped because the likelihood of stroke and the likelihood of bleeding both increase with risk factors such as age. However, the individual components of the score (such as uncontrolled hypertension, excessive alcohol intake or concomitant antiplatelet drugs) can be targeted for intervention to reduce potential risks.

Intracranial bleeding

The most severe bleeding complication is intracranial bleeding. In a systematic review of pivotal trials, DOACs were associated with a halving of intracranial haemorrhage compared with vitamin K antagonists.\textsuperscript{9} A similar reduction was noted in subsequent observational studies.\textsuperscript{14}

If patients have an intracranial bleed on oral anticoagulants, emergency reversal is associated with better outcomes. Patients should be advised to go to hospital immediately if they develop stroke-like symptoms. Reversal regimens are most readily available for those on warfarin and dabigatran.

Gastrointestinal bleeding

Gastrointestinal bleeding occurs twice as commonly as intracranial haemorrhage but has a lower mortality and lower long-term morbidity. DOACs are associated with a 25% increase in gastrointestinal bleeding events compared to vitamin K antagonists. Again, similar patterns were noted in the observational studies (although this might not be the case for all DOACs).\textsuperscript{6,9}

Renal impairment

Oral anticoagulation for those in renal failure is complicated by two main factors. The DOACs are renally excreted and therefore need renal dose adjustment and are not recommended in severe renal failure. Dabigatran is recommended for use only when creatinine clearance is over 30 mL/minute. Rivaroxaban has recently been approved for use when creatinine clearance is over 15 mL/minute, with caution, using the 15 mg daily dose. Apixaban should only be used when creatinine clearance is over 25 mL/minute. Warfarin is the only choice of oral anticoagulant for those with creatinine clearance less than 15 mL/minute or on dialysis. However, there are no reliable randomised controlled trial data that show warfarin is beneficial for stroke prevention in these patients (as renal failure is associated with an increased risk of bleeding).\textsuperscript{12}

Age and blood pressure

Older people have a greater risk of stroke in atrial fibrillation (see CHA\textsubscript{DS}-VA score in the Table) and therefore still benefit from treatment despite the increased risk of bleeding. The Birmingham Atrial Fibrillation Treatment of the Aged Study (BAFTA) found that warfarin was superior to aspirin for

| Item | Definition | Points |
|------|------------|--------|
| C    | Heart failure | 1      |
| H    | Hypertension  | 1      |
| A\textsubscript{2} | Age ≥75 years old | 2      |
| D    | Diabetes  | 1      |
| S\textsubscript{2} | History of stroke/transient ischaemic attack/systemic embolus | 2      |
| V    | Vascular disease (myocardial infarction, peripheral vascular disease or known complex atheroma) | 1      |
| A    | Age 65–74 years | 1      |

Recommendations

Score = 0: oral anticoagulant or antiplatelet drugs not recommended
Score = 1: consider oral anticoagulants
Score ≥2: oral anticoagulants recommended

Recommendations adapted from the National Heart Foundation of Australia and the Cardiac Society of Australia and New Zealand Clinical Guidelines\textsuperscript{12}
stroke prevention in people aged 75 years and over (average age 81.5 years), with an annual absolute stroke prevention rate of 2%. The extracranial bleed rate was similar in the warfarin and aspirin groups.\textsuperscript{15} This trial is particularly important as it demonstrated that, with good blood pressure control (85% with a blood pressure below 160 mmHg systolic), rates of intracranial haemorrhage were low (<1% a year). The Australian national guidelines also mention the importance of blood pressure control as a method of reducing bleeding.\textsuperscript{16}

**Falls**

Falls assessment is particularly important as falls are a common cause of death in older Australians – the death rate from falls is about a third of the death rate from stroke.\textsuperscript{16} The risk of dying following a fall is greatly increased for those on oral anticoagulation due to the increased risk of intracranial haemorrhage. This is mainly from subdural haemorrhage, but also includes subarachnoid haemorrhage and intracerebral haemorrhage.\textsuperscript{17,18} There are no reliable mortality data to know the size of this risk in Australia but data elsewhere suggest this could be in the hundreds per year.\textsuperscript{16,19}

A holistic assessment such as a comprehensive geriatric review may help to weigh up the risks and benefits of oral anticoagulation for those at a high risk of falls. It is good practice to ask about any falls before starting anticoagulation, and at all subsequent reviews. Apixaban has been shown to be substantially better than aspirin for those with contraindications to warfarin,\textsuperscript{20} with additional benefits including dose adjustment by age, weight and renal function.

**Antiplatelet drugs**

Finally, clinicians need to be aware that combining oral anticoagulation with antiplatelet drugs always increases the risk of bleeding. However, the reduced risk of thrombotic events may justify this risk for short periods (e.g. after cardiac stenting).\textsuperscript{21} Clinicians need to ensure that an appropriate step down to a double or single antithrombotic regimen is carried out in a timely manner, depending on the circumstances.\textsuperscript{12}

**Conclusion**

The introduction of the DOACs has been an advance in medicine, with their improved safety profile. However, there is evidence of considerable over- and under-treatment with oral anticoagulants in Australia. Strategies to improve compliance with guidelines need to be considered to improve health outcomes. \textsuperscript{\textless}

**Conflicts of interest:** none declared

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