A Low-Rank Approximation Approach to Learning
Joint Embeddings of News Stories and Images for Timeline Summarization

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Abstract
A key challenge for timeline summarization is to generate a concise, yet complete storyline from large collections of news stories. Previous studies in extractive timeline generation are limited in two ways: first, most prior work focuses on fully-observable ranking models or clustering models with hand-designed features that may not generalize well. Second, most summarization corpora are text-only, which means that text is the sole source of information considered in timeline summarization, and thus, the rich visual content from news images is ignored. To solve these issues, we leverage the success of matrix factorization techniques from recommender systems, and cast the problem as a sentence recommendation task, using a representation learning approach. To augment text-only corpora, for each candidate sentence in a news article, we take advantage of top-ranked relevant images from the Web and model the image using a convolutional neural network architecture. Finally, we propose a scalable low-rank approximation approach for learning joint embeddings of news stories and images. In experiments, we compare our model to various competitive baselines, and demonstrate the state-of-the-art performance of the proposed text-based and multimodal approaches.

1 Introduction
Timeline summarization is the task of organizing crucial milestones of a news story in a temporal order, e.g. (Kedzie et al., 2014; Lin et al., 2012). A timeline example for the 2010 British Oil spill generated by our system is shown in Figure 1. The task is challenging, because the input often includes a large number of news articles as the story is developing each day, but only a small portion of the key information is needed for timeline generation. In addition to the conciseness requirement, timeline summarization also has to be complete—all key information, in whatever form, must be presented in the final summary.

To distill key insights from news reports, prior work in summarization often relies on feature engineering, and uses clustering techniques (Radev et al., 2004b) to select important events to be included in the final summary. While this approach is unsupervised, the process of feature engineering is always expensive, and the number of clusters is not easy to estimate. To present a complete summary, researchers from the natural language processing (NLP) community often solely rely on the textual information, while studies in the computer vision (CV) community rely solely on the image and video information. However, even though news images are abundantly available together with news stories, approaches that jointly learn textual and visual representations for summarization are not common.

In this paper, we take a more radical approach to timeline summarization. We formulate the problem as a sentence recommendation task—instead of recommending items to users as in a recommender system, we recommend important sentences to a timeline. Our approach does not require feature engineering: by using a matrix factorization framework, we are essentially performing representation learn-
ing to model the continuous representation of sentences and words. Since most previous timeline summarization work (and therefore, corpora) only focuses on textual information, we also provide a novel web-based approach for harvesting news images: we query Yahoo! image search with sentences from news articles, and extract visual cues using a 15-layer convolutional neural network architecture. By unifying text and images in the low-rank approximation framework, our approach learns a joint embedding of news story texts and images in a principled manner. In empirical evaluations, we conduct experiments on two publicly available datasets, and demonstrate the efficiency and effectiveness of our approach. By comparing to various baselines, we show that our approach is highly scalable and achieves state-of-the-art performance. Our main contributions are three-fold:

- We propose a novel matrix factorization approach for extractive summarization, leveraging the success of collaborative filtering;
- We are among the first to consider representation learning of a joint embedding for text and images in timeline summarization;
- Our model significantly outperforms various competitive baselines on two publicly available datasets.
2 Related Work

Supervised learning is widely used in summarization. For example, the seminal study by Kupiec et al. (1995) used a Naive Bayes classifier for selecting sentences. Recently, Wang et al. (2015) proposed a regression method that uses a joint loss function, combining news articles and comments. Additionally, unsupervised techniques such as language modeling (Allan et al., 2001) have been used for temporal summarization. In recent years, ranking and graph-based methods (Radev et al., 2004b; Erkan and Radev, 2004; Mihalcea and Tarau, 2004; Fader et al., 2007; Hassan et al., 2008; Mei et al., 2010; Yan et al., 2011b; Yan et al., 2011a; Zhao et al., 2013; Ng et al., 2014; Zhou et al., 2014; Glavaš and Šnajder, 2014; Tran et al., 2015; Dehghani and Asadpour, 2015) have also proved popular for extractive timeline summarization, often in an unsupervised setting. Dynamic programming (Kiernan and Terzi, 2009) and greedy algorithms (Althoff et al., 2015) have also been considered for constructing summaries over time.

Our work aligns with recent studies on latent variable models for multi-document summarization and storyline clustering. Conroy et al. (2001) were among the first to consider latent variable models, even though it is difficult to incorporate features and high-dimensional latent states in a HMM-based model. Ahmed et al. (2011) proposed a hierarchical nonparametric model that integrates a Recurrent Chinese Restaurant Process with Latent Dirichlet Allocation to cluster words over time. The main issues with this approach are that it does not generate human-readable sentences, and that scaling nonparametric Bayesian models is often challenging. Similarly, Huang and Huang (2013) introduced a joint mixture-event-aspect model using a generative method. Navarro-Colorado and Saquete (2015) combined temporal information with topic modeling, and obtained the best performance in the cross-document event ordering task of SemEval 2015.

There has been prior work (Wang et al., 2008; Lee et al., 2009) using matrix factorization to perform sentence clustering. A key distinction between our work and this previous work is that our method requires no additional sentence selection steps after sentence clustering, so we avoid error cascades. Zhu and Chen (2007) were among the first to consider multimodal timeline summarization, but they focus on visualization, and do not make use of images. Wang et al. (2012) investigated multimodal timeline summarization by considering cosine similarity among various feature vectors, and then using a graph-based algorithm to select salient topics. In the computer vision community, Kim and Xing (2014) made use of community web photos, and generate storyline graphs for image recommendation. Interestingly, Kim et al. (2014) combined images and videos for storyline reconstruction. However, none of the above studies combine textual and visual information for timeline summarization.

3 Our Approach

We now describe the technical details of our low-rank approximation approach. First, we motivate our approach. Next, we explain how we formulate the timeline summarization task as a matrix factorization problem. Then, we introduce a scalable approach for learning low-dimensional embeddings of news stories and images.

3.1 Motivation

We formulate timeline summarization as a low-rank matrix completion task because of the following considerations:

- **Simplicity** In the past decade, a significant amount of work on summarization has focused on designing various lexical, syntactic and semantic features. In contrast to prior work, we make use of low-rank approximation techniques to learn representations directly from data. This way, our model does not require strong domain knowledge or lots of feature engineering, and it is easy for developers to deploy the system in real-world applications.

- **Scalability** A major reason that recommender systems and collaborative filtering techniques have been very successful in industrial applications is that matrix completion techniques are relatively sophisticated, and are known to scale up to large recommendation datasets with more than 100 million ratings (Bennett and Lanning,
The size of the oil spill was one of the largest.
Haywood still receives salary as the CEO of BP.
Some estimates are around 40,000 barrels a day.
Dudley replaced Haywood as the new CEO of BP.

Figure 2: Our low-rank approximation framework for learning joint embedding of news stories and images for timeline summarization.

2007). Therefore, we believe that our approach is practical for processing large datasets in this summarization task.

- **Joint Multimodal Modeling** A key challenge of supervised learning approaches for summarization is to select informative sentences. In this work, we make use of multimodality to select important sentences.

### 3.2 Problem Formulation

Since the Netflix competition (Bell and Koren, 2007), collaborative filtering techniques with latent factor models have had huge success in recommender systems. These latent factors, often in the form of low-rank embeddings, capture not only explicit information but also implicit context from the input data. In this work, we propose a novel matrix factorization framework to “recommend” key sentences to a timeline. Figure 2 shows an overview of the framework.

More specifically, we formulate this task as a matrix completion problem. Given a news corpus, we assume that there are $m$ total sentences, which are the rows in the matrix. The first column is the metric section, where we use ROUGE (Lin, 2004) as the metric to pre-compute a sentence importance score between a candidate sentence and a human-generated summary. During training, we use these scores to tune model parameters, and during testing, we predict the sentence importance scores given the features in other columns. That is, we learn the embedding of important sentences.

The second set of columns is the text feature section. In our experiments, this includes word observations, subject-verb-object (SVO) events, and the publication date of the document from which the candidate sentence is extracted. In our preprocessing step, we run the Stanford part-of-speech tagger (Toutanova et al., 2003) and MaltParser (Nivre et al., 2006) to generate SVO events based on dependency parses. Additional features can easily be incorporated into this framework; we leave the consideration of additional features for future work.

Finally, for each sentence, we use an image search engine to retrieve a top-ranked relevant image, and then we use a convolutional neural network (CNN) architecture to extract visual features in an unsupervised fashion. We use a CNN model from Simonyan and Zisserman (2015), which is trained on the ImageNet Challenge 2014 dataset (Russakovsky et al., 2014). In our work, we keep the 16 convolutional layers and max-pool operations. To extract
neural network features, we remove the final fully-connected-1000 layer and the softmax function, resulting in 4096 features for each image.

The total number of columns in the input matrix is \( n \). Our matrix \( M \) now encodes preferences for a sentence, together with its lexical, event, and temporal attributes, and visual features for an image highly relevant to the sentence. Here we use \( i \) to index the \( i \)-th sentence and \( j \) to index the \( j \)-th column. We scale the columns by the standard deviation.

### 3.3 Low-Rank Approximation

Following prior work (Koren et al., 2009), we are interested in learning two low-rank matrices \( P \in \mathbb{R}^{k \times m} \) and \( Q \in \mathbb{R}^{k \times n} \). The intuition is that \( P \) is the embedding of all candidate sentences, and \( Q \) is the embedding of textual and visual features, as well as the sentence importance score, event, and temporal features. Here \( k \) is the number of latent dimensions, and we would like to approximate
\[
M_{(i,j)} \simeq \overrightarrow{p}_i^T \overrightarrow{q}_j,
\]
where \( \overrightarrow{p}_i \) is the latent embedding vector for the \( i \)-th sentence and \( \overrightarrow{q}_j \) is the latent embedding vector for the \( j \)-th column. We seek to approximate the matrix \( M \) by these two low-rank matrices \( P \) and \( Q \).

We can then formulate the optimization problem for this task:
\[
\min_{P,Q} \sum_{(i,j) \in M} (M_{(i,j)} - \overrightarrow{p}_i^T \overrightarrow{q}_j)^2 + \lambda_P \| \overrightarrow{p}_i \|^2 + \lambda_Q \| \overrightarrow{q}_j \|^2
\]

Here, \( \lambda_P \) and \( \lambda_Q \) are regularization coefficients to prevent the model from overfitting. To solve this optimization problem efficiently, a popular approach is stochastic gradient descent (SGD) (Koren et al., 2009). In contrast to traditional methods that require time-consuming gradient computation, SGD takes only a small number of random samples to compute the gradient. SGD is also natural to online algorithms in real-time streaming applications, where instead of retraining the model with all the data, parameters might be updated incrementally when new data comes in. Once we have selected a random sample \( M_{(i,j)} \), we can simplify the objective function:
\[
(M_{(i,j)} - \overrightarrow{p}_i^T \overrightarrow{q}_j)^2 + \lambda_P (\overrightarrow{p}_i^T \overrightarrow{p}_i) + \lambda_Q (\overrightarrow{q}_j^T \overrightarrow{q}_j)
\]

Now, we can calculate the sub-gradients of the two latent vectors \( \overrightarrow{p}_i \) and \( \overrightarrow{q}_j \) to derive the following variable update rules:
\[
\overrightarrow{p}_i \leftarrow \overrightarrow{p}_i + \delta (\ell_{i,j}) \overrightarrow{p}_j - \lambda_P \overrightarrow{p}_i
\]
\[
\overrightarrow{q}_j \leftarrow \overrightarrow{q}_j + \delta (\ell_{i,j}) \overrightarrow{p}_j - \lambda_Q \overrightarrow{q}_j
\]

Here, \( \delta \) is the learning rate, whereas \( \ell_{i,j} \) is the loss function that estimates how well the model approximates the ground truth:
\[
\ell_{i,j} = M_{(i,j)} - \overrightarrow{p}_i^T \overrightarrow{q}_j
\]

The low-rank approximation here is accomplished by reconstructing the \( M \) matrix with the two low-rank matrices \( P \) and \( Q \), and we use the row and column regularizers to prevent the model from overfitting to the training data.

SGD-based optimization for matrix factorization can also be easily parallelized. For example, HOGWILD! (Recht et al., 2011) is a lock-free parallelization approach for SGD. In contrast to synchronous approaches where idle threads have to wait for busy threads to sync up parameters, HOGWILD! is an asynchronous method: it assumes that because text features are sparse, there is no need to perform synchronization of the threads. In reality, although this approach might not work for speech or image related tasks, it performs well in various text based tasks. In this work, we follow a recently proposed approach called fast parallel stochastic gradient descent (FPSG) (Chin et al., 2015), which is partly inspired by HOGWILD!.

### 3.4 Joint Modeling of Mixed Effects

Matrix factorization is a relatively complex method for modeling latent factors. So, an important question to ask is: in the context of timeline summarization, what is this matrix factorization framework modeling?

From equation (1), we can see that the latent sentence vector \( \overrightarrow{p}_i \) will be updated whenever we encounter a \( M_{(i,\cdot)} \) sample (e.g., all the word, event, time, and visual features for this particular sentence) in a full pass over the training data. An interesting aspect about matrix factorization is that, in addition to using the previous row embedding \( \overrightarrow{p}_i \) to update the variables in equation (1), the column embedding \( \overrightarrow{q}_j \) will also be used. Similarly, when updating the latent column embedding \( \overrightarrow{q}_j \) in equation (2), the pass will visit all samples that have non-zero items in that
column, while making use of the $\vec{p}_i$ vector. Essentially, in timeline summarization, this approach is modeling the mixed effects of sentence importance, lexical features, events, temporal information, and visual factors. For example, if we are predicting the ROUGE score of a new sentence at testing, the model will take the explicit sentence-level features into account, together with the learned latent embedding of ROUGE, which is recursively influenced by other metrics and features during training.

Our approach shares similarities with some recent advances in word embedding techniques. For example, word2vec uses the continuous bag-of-words (CBOW) and SkipGram algorithms (Mikolov et al., 2013) to learn continuous representations of words from large collections of text and relational data. A recent study (Levy and Goldberg, 2014) shows that the technique behind word2vec is very similar to implicit matrix factorization. In our work, we consider multiple sources of information to learn the joint embedding in a unified matrix factorization framework. In addition to word information, we also consider event and temporal cues.

### 3.5 The Matrix Factorization Based Timeline Summarization

We outline our matrix factorization based timeline summarization method in Algorithm 1. Since this is a supervised learning approach, we assume the corpus includes a collection of news documents $S$, as well as human-written summaries $H$ for each day of the story. We also assume the publication date of each news document is known (or computable).

During training, we traverse each sentence in this corpus, and compute a sentence importance score ($I_t$) by comparing the sentence to the human generated summary for that day using ROUGE (Lin, 2004). If a human summary is not given for that day, $I_t$ will be zero. We also extract subject-verb-object event representations, using the Stanford part-of-speech tagger (Toutanova et al., 2003) and MaltParser (Nivre et al., 2006). We use the publication date of the news document as the publication date of the sentence. Visual features are extracted using a very deep CNN (Simonyan and Zisserman, 2015). Finally, we merge these vectors into a joint vector to represent a row in our matrix factorization framework. Then, we perform stochastic gradient descent training to learn the hidden low-rank embeddings of sentences and features $P$ and $Q$ using the update rules outlined earlier.

During testing, we still extract events and publication dates, and the PredictROUGE function estimates the sentence importance score $I_t$, using the trained latent low-rank matrices $P$ and $Q$. To be more specific, we extract the text, vision, event, and publication date features for a candidate sentence $i$. Then, given these features, we update the embeddings for this sentence, and make the prediction by taking the dot product of this $i$-th column of $P$ (i.e., $\vec{p}_i$) and the ROUGE column of $Q$ (i.e., $\vec{q}_i$). This predicted scalar value $I_t$ indicates the likelihood of the sentence being included in the final timeline summary. Finally, we go through the predicted results of each sentence in the timeline in temporal order, and

### Algorithm 1 A Matrix Factorization Based Timeline Summarization Algorithm

1. Input: news documents $S$, human summaries $H$ for each day $t$.
2. **procedure** TRAINING($S^{tr}$, $H$)
3. **for each** training sentence $S^{tr}_i$ in $S^{tr}$ **do**
4. $I_t \leftarrow$ ComputeImportanceScores($S^{tr}_i$, $H_t$)
5. $\vec{E}_i \leftarrow$ ExtractSVOEvents($S^{tr}_i$)
6. $\vec{D}_i \leftarrow$ ExtractPublicationDate($S^{tr}_i$)
7. $\vec{V}_i \leftarrow$ ExtractVisualFeatures($S^{tr}_i$)
8. $\vec{M} \leftarrow$ MergeVectors($I_t$, $\vec{E}_i$, $\vec{D}_i$, $\vec{V}_i$)
9. **end for**
10. **for each** epoch $e$ **do**
11. **for each** cell $i, j$ in $M$ **do**
12. $\vec{p}_i^{(e)} \leftarrow \vec{p}_i^{(e)} + \delta(I_t)\vec{q}_j^{(e)} - \lambda_P \vec{p}_i^{(e)}$
13. $\vec{q}_j^{(e)} \leftarrow \vec{q}_j^{(e)} + \delta(I_t)\vec{q}_j^{(e)} - \lambda_Q \vec{q}_j^{(e)}$
14. **end for**
15. **end for**
16. **end procedure**
17. **procedure** TESTING($S^{te}$)
18. **for each** test sentence $S^{te}_i$ in $S^{te}$ **do**
19. $\vec{E}_i \leftarrow$ ExtractSVOEvents($S^{te}_i$)
20. $\vec{D}_i \leftarrow$ ExtractPublicationDate($S^{te}_i$)
21. $\vec{V}_i \leftarrow$ ExtractVisualFeatures($S^{te}_i$)
22. $\vec{M} \leftarrow$ MergeVectors($\vec{E}_i$, $\vec{D}_i$, $\vec{V}_i$)
23. $I_t \leftarrow$ PredictROUGE($\vec{M}_i$, $P$, $Q$)
24. **end for**
25. **for each** day $t$ in $S^{te}$ **do**
26. $H_t^{te} \leftarrow$ SelectTopSentences($S^{te}_i$, $I_t$)
27. **end for**
28. **end procedure**
include the top-ranked sentences with the highest sentence importance scores. It is natural to scale this method from daily summaries to weekly or monthly summaries.

4 Experiments

In this section, we investigate the empirical performance of the proposed method, comparing to various baselines. We first discuss our experimental settings, including our primary dataset and baselines. Then, we discuss our evaluation results. We demonstrate the robustness of our approach by varying the latent dimensions of the low-rank matrices. Next, we show additional experiments on a headline-based timeline summarization dataset. Finally, we provide a qualitative analysis of the output of our system.

4.1 Comparative Evaluation on the 17 Timelines Dataset

We use the 17 timelines dataset which has been used in several prior studies (Tran et al., 2013b; Tran et al., 2013a). It includes 17 timelines from 9 topics1 from major news agencies such as CNN, BBC, and NBC News. Only English documents are included. The dataset contains 4,650 news documents. We use Yahoo! Image Search to retrieve the top-ranked image for each sentence.2 We follow exactly the same topic-based cross-validation setup that was used in prior work (Tran et al., 2013b): we train on eight topics, test on the remaining topic, and repeat the process eight times. The number of training iterations was set to 20; the k was set to 200 for the text only model, and 300 for the joint text/image model; and the vocabulary is 10K words for all systems. The common summarization metrics ROUGE-1, ROUGE-2, and ROUGE-S are used to evaluate the quality of the machine-generated timelines. We consider the following baselines:

- **Random**: summary sentences are randomly selected from the corpus.
- **MEAD**: a feature-rich, classic multi-document summarization system (Radev et al., 2004a) that uses centroid-based summarization techniques.
- **Chieu et al.** (Chieu and Lee, 2004): a multi-document summarization system that uses TFIDF scores to indicate the “popularity” of a sentence compared to other sentences.
- **ETS** (Yan et al., 2011b): a state-of-the-art unsupervised timeline summarization system.
- **Tran et al.** (Tran et al., 2013b): another state-of-the-art timeline summarization system based on learning to rank techniques, and for which results on the 17 Timelines dataset have been previously reported.
- **Regression**: a part of a state-of-the-art extractive summarization method (Wang et al., 2015) that formulates the sentence extraction task as a supervised regression problem. We use a state-of-the-art regression implementation in Vowpal Wabbit3.

We report results for our system and the baselines on the 17 timelines dataset in Table 1. We see that the random baseline clearly performs worse than the other methods. Even though Chieu et al. (2004)
Table 1: Comparing the timeline summarization performance to various baselines on the 17 Timelines dataset. The best-performing results are highlighted in bold.

| Methods          | ROUGE-1 | ROUGE-2 | ROUGE-S |
|------------------|---------|---------|---------|
| Random           | 0.128   | 0.021   | 0.026   |
| Chieu et al.     | 0.202   | 0.037   | 0.041   |
| MEAD             | 0.208   | 0.049   | 0.039   |
| ETS              | 0.207   | 0.047   | 0.042   |
| Tran et al.      | 0.230   | 0.053   | 0.050   |
| Regression       | 0.303   | 0.078   | 0.081   |
| Our approach     |         |         |         |
| Text             | 0.312   | 0.089   | 0.112   |
| Text+Vision      | 0.331   | 0.091   | 0.115   |

Table 3: Comparing the timeline summarization performance to the state-of-the-art supervised sentence regression approach on the crisis dataset. The best-performing results are highlighted in bold.

| Methods          | ROUGE-1 | ROUGE-2 | ROUGE-S |
|------------------|---------|---------|---------|
| Regression       | 0.207   | 0.045   | 0.039   |
| Our approach     |         |         |         |
| Text             | 0.211   | 0.046   | 0.040   |
| Text+Vision      | 0.232   | 0.052   | 0.044   |

4.2 Comparative Evaluation Results for Headline Based Timeline Summarization

To evaluate the robustness of our approach, we show the performance of our method on the recently released crisis dataset (Tran et al., 2015). The main difference between the crisis dataset and the 17 timelines dataset is that here we focus on a headline based timeline summarization task, rather than using sentences from the news documents. The crisis dataset includes four topics: Egypt revolution, Libya war, Syria war, and Yemen crisis. There are a total of 15,534 news documents in the dataset, and each topic has around 4K documents. There are 25 manually created timelines for these topics, collected from major news agencies such as BBC, CNN, and Reuters. We perform standard cross-validation on this dataset: we train on three topics, and test on the other. Here $k$ is set to 300, and the vocabulary is 10K words for all systems. Table 3 shows the performance of our system. Our system is significantly better than the strong supervised regression baseline. When considering joint learning of text and vision, we see that there is a further improvement.

4.3 Headline Based Timeline Summarization: A Qualitative Analysis

In this section, we perform a qualitative analysis of the output of our system for the headline based timeline summarization task. We train the system on three topics, and show a sample of the output on the Syria war. Table 2 shows a subset of the timeline for the Syria war generated by our system. We see that most of the daily summaries are relevant to the topic, except the one generated on 2011-11-24. When evaluating the quality, we notice that most of them are of high quality: after the initial hypothesis of the Syria war on 2011-11-18, the following daily summaries concern the world’s response to the crisis. We show that most of the relevant summaries are also providing specific information, with an exception on 2011-12-02. We suspect that this is because this headline contains three keywords “syria”, “civil”, “war”, and also the key date information: the model was trained partly on the Libya war timeline, and therefore many features and parameters were activated in the matrix factorization framework to give a high recommendation in this testing scenario. In contrast, when evaluating the output of the joint text and vision system, we see that this error is eliminated: the selected sentence on 2011-12-02 is “Eleven killed after weekly prayers in Syria on eve of Arab League deadline”.

...
5 Conclusions

In this paper, we introduce a low-rank approximation based approach for learning joint embeddings of news stories and images for timeline summarization. We leverage the success of matrix factorization techniques in recommender systems, and cast the multi-document extractive summarization task as a sentence recommendation problem. For each sentence in the corpus, we compute its similarity to a human-generated abstract, and extract lexical, event, and temporal features. We use a convolutional neural architecture to extract vision features. We demonstrate the effectiveness of this joint learning method by comparison with several strong baselines on the 17 timelines dataset and a headline based timeline summarization dataset. We show that image features improve the performance of our model significantly. This further motivates investment in joint multimodal learning for NLP tasks.

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