THE POISSON ALGEBRA OF CLASSICAL HAMILTONIANS IN FIELD THEORY AND THE PROBLEM OF ITS QUANTIZATION

A. STOYANOVSKY

ABSTRACT. We construct the commutative Poisson algebra of classical Hamiltonians in field theory. We pose the problem of quantization of this Poisson algebra. We also make some interesting computations in the known quadratic part of the quantum algebra.

1. Introduction

1.1. QFT Hamiltonians. A typical example of quantum field theory Hamiltonian is

\[ \hat{H}(t) = \int \frac{1}{2} \left( \hat{\pi}(\mathbf{x})^2 + \sum_{j=1}^{n} \left( \frac{\partial \hat{\varphi}}{\partial x_j} \right)^2(\mathbf{x}) + m^2\hat{\varphi}(\mathbf{x})^2 \right) d\mathbf{x} \]

\[ + \int \left( \frac{1}{k!} g(t, \mathbf{x}) \hat{\varphi}(\mathbf{x})^k + j(t, \mathbf{x}) \hat{\varphi}(\mathbf{x}) \right) d\mathbf{x}, \]

where \( \mathbf{x} = (x_1, \ldots, x_n); \hat{\varphi}(\mathbf{x}) = \varphi(\mathbf{x}) \) and \( \hat{\pi}(\mathbf{x}) = -i\hbar \frac{\delta}{\delta \varphi(\mathbf{x})} \) are the operators satisfying the canonical commutation relations

\[ [\hat{\varphi}(\mathbf{x}), \hat{\pi}(\mathbf{x}')] = i\hbar \delta(\mathbf{x} - \mathbf{x}'), \quad [\hat{\varphi}(\mathbf{x}), \hat{\varphi}(\mathbf{x}')] = [\hat{\pi}(\mathbf{x}), \hat{\pi}(\mathbf{x}')] = 0; \]

\( k \) is a natural number; \( m \) is a real number called mass; and \( g(t, \mathbf{x}), j(t, \mathbf{x}) \) are scalar functions called respectively the interaction cutoff function and the source. Usually operators like (1) are considered purely formally. In this paper we describe the calculus of the corresponding classical Hamiltonians, and discuss the problem of developing the calculus of quantum operators like (1) and giving mathematical sense to them. In the Introduction we collect informal motivations which led to the statement of this problem.

1.2. First attempts. The first difficulty arises when one puts \( g \equiv j \equiv 0, m = 1 \), omits the term \( \sum_{j=1}^{n} \left( \frac{\partial \hat{\varphi}}{\partial x_j} \right)^2(\mathbf{x}) \) in (1) and considers the
remaining expression

\[
\int \frac{1}{2} (\hat{\pi}(x)^2 + \hat{\varphi}(x)^2) \, dx.
\]

When trying to take the square of this expression and trying to transfer the operators \(\hat{\pi}(x)\) to the right using the relations (2), one gets the divergent expression \(\int \int \delta(x - x')^2 dx \, dx'\). If one tries to avoid this, then one should assume that the algebra in question should contain the operators like

\[
\int \frac{1}{2} (\hat{\varphi}(x)\hat{\pi}(x) + \hat{\pi}(x)\hat{\varphi}(x)) \, dx.
\]

The first assumption made by the author (see, for example, [2]) was that the required algebra coincides with the infinite dimensional analog of the Weyl–Moyal algebra which contains expressions like (4). However, it is not difficult to see that the square of expression (3) does not belong to the Weyl–Moyal algebra as well, so it also does not suit for our purposes.

1.3. The finite dimensional analogy. The next important observation occurs if one considers the finite dimensional situation. Consider the Schrodinger equation

\[
\frac{ih}{\partial t} \psi = \hat{H}\psi,
\]

where \(\psi = \psi(t, q), \ q = (q_1, \ldots, q_N), \ H = H(t, p_i, q_i)\) is the classical Hamiltonian, and \(\hat{H} = H(t, -ih\partial/\partial q, q_i)\) is the quantum Hamiltonian. Here one meets the problem of the choice of the ordering of the operators \(q_i\) and \(-ih\partial/\partial q_i\) in the quantum Hamiltonian; assume for a moment that all \(-ih\partial/\partial q_i\) are put to the right of \(q_i\). Let us substitute into equation (5) a quasiclassical solution of the form

\[
\psi(t, q) = a(t, q)e^{iS(t, q)/\hbar},
\]

and consider equation (5) up to \(o(\hbar)\). Equating the principal terms, one obtains the Hamilton–Jacobi equation for \(S(t, q)\):

\[
\frac{\partial S}{\partial t} + H(t, \frac{\partial S}{\partial q_i}, q_i) = 0.
\]
Further, equating the terms before $h$, one gets the transport equation for the amplitude $a(t, q)$:

\[
\frac{\partial a}{\partial t} + \sum_i \frac{\partial a}{\partial q_i} H_{p_i}(t, \frac{\partial S}{\partial q_1}, \ldots, \frac{\partial S}{\partial q_N}, q_1, \ldots, q_N) + a \frac{1}{2} \sum_{i,j} H_{p_i p_j}(t, \frac{\partial S}{\partial q_1}, \ldots, \frac{\partial S}{\partial q_N}, q_1, \ldots, q_N) \frac{\partial^2 S}{\partial q_i \partial q_j} = 0.
\]

(8)

It is well known that a solution to this equation in the particular case $\frac{1}{2} \sum_i H_{p_i q_i} \equiv 0$ (this condition is related to “non-canonicity” of correspondence between classical and quantum Hamiltonians) is given by the formula

\[
a(t, q(t)) = a_0(q(0)) \frac{1}{\sqrt{\det \left( \frac{\partial q_i(t)}{\partial q_j(0)} \right)}},
\]

where $(p_i(t), q_i(t))$ is a characteristic, i.e., a solution of the classical Hamilton equations; $p_i = \partial S/\partial q_i$. In the infinite dimensional case the Hamilton–Jacobi equation and its solution have sense (see [3] and references therein), but formula (9) does not make sense. This leads to an assumption that the wave function $\psi(q)$ (and its infinite dimensional analog) changes under the diffeomorphisms of the $q$-space not like a function but like a half-form, i.e., it is actually an expression of the form $\psi(q)(dq_1 \ldots dq_N)^{1/2}$.

1.4. Half-forms on an infinite dimensional space. Returning to the infinite dimensional case, we arrive at the following problem [1]: define a space of (distribution) half-forms on infinite dimensional space of functions $\varphi(x)$ with the following properties:

1) it is acted on by a group of diffeomorphisms of the space of functions;

2) it is acted on by a ring of differential operators like (1);

3) for two half-forms $\Psi_1, \Psi_2$ the number $\langle \Psi_1 | \Psi_2 \rangle$ is “usually” defined which can be finite or infinite; it is linear with respect to $\Psi_1$, anti-linear with respect to $\Psi_2$, positive definite, and diffeomorphism invariant.

Let us comment on requirement (1), in addition to the comments in 1.3. The configuration spaces met in quantum field theory can be more complicated than just the space of functions; they can be infinite dimensional manifolds (for example, in non-spinor electrodynamics or in pure Yang–Mills theory it is the quotient space of the space of connections by the gauge group). Hence one should be able to define half-forms also for open subsets of a vector space and for manifolds, and one should have action of diffeomorphisms on them. Here one can
also understand why the Weyl–Moyal calculus does not suit us: it is not invariant under diffeomorphisms of the space of functions.

For example, it is clear that expression (4) makes sense if we consider it as a Lie derivative “acting on half-forms” instead of usual functionals.

1.5. **The free Klein–Gordon field.** The final remark that we want to make in this Introduction is concerned with the particular case $g \equiv j \equiv 0$ of operator (1). The corresponding field theory with the quadratic Hamiltonian (1) is called the *free Klein–Gordon field*, since in this case the classical field equation coincides with the Klein–Gordon equation

$$
\Box \varphi - m^2 \varphi = - \frac{\partial^2 \varphi}{\partial t^2} + \sum_{j=1}^{n} \frac{\partial^2 \varphi}{\partial x_j^2} - m^2 \varphi = 0.
$$

One expects that the Schrödinger equation with the quadratic Hamiltonian must be solved exactly, as in the finite dimensional case. This means that the Lie algebra of classical quadratic Hamiltonians with respect to the Poisson bracket should act on the imagined space of half-forms, and hence it should belong to the ring of differential operators. This Lie algebra is an infinite dimensional symplectic Lie algebra. The evolution operators of the Klein–Gordon equation from one (possibly curved) Cauchy space-like surface to another should belong to the corresponding symplectic group, cf. [3]. These operators are naturally continuous linear symplectic operators on the symplectic space $S \oplus S$, where $S$ is the Schwartz space (not on $S \oplus S'$). Hence we come to a conclusion that the quadratic part of our algebra of differential operators should coincide with the infinite dimensional symplectic Lie algebra of this topological vector space.

1.6. **Plan of the paper.** §2 contains the construction of the Poisson algebra of classical Hamiltonians. In §3 we state the problem of its quantum deformation. §4 is devoted to a curious computation in the quantum algebra.

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2. **Construction of the Poisson algebra of classical Hamiltonians**

Let $S$ be a real nuclear separable topological vector space [4]. For concreteness, we will mostly consider the case when $S$ is the Schwartz space of functions $\varphi(x)$ on $\mathbb{R}^n$, but all the results hold in the general case.
We want to construct the algebra of “differential operators acting on half-forms on S”. Let us first construct the corresponding commutative Poisson “algebra of symbols”.

Recall that a functional $\Phi(\varphi(\cdot))$ on $S$ is called continuously differentiable if its weak differential or variation

$$
\delta \Phi(\varphi, \delta \varphi) \overset{\text{def}}{=} \lim_{\varepsilon \to 0} \frac{\Phi(\varphi + \varepsilon \cdot \delta \varphi) - \Phi(\varphi)}{\varepsilon}
$$

exists and is a continuous functional of $(\varphi, \delta \varphi) \in S \times S$. Such $\delta \Phi$ is automatically linear in $\delta \varphi$, so we can consider it as a distribution in $x$ denoted $\delta \Phi(\delta \varphi)$$

$$
\delta \Phi(\varphi, \delta \varphi) \overset{\text{def}}{=} \int \delta_\varphi(x) \delta \Phi(\delta \varphi) dx.
$$

This distribution $\delta \Phi(\varphi, \delta \varphi)$ is called the variational derivative of $\Phi$ at $\varphi \in S$ at the point $x$. It is the analog of partial derivatives.

Repeatedly differentiating $\delta \Phi$ with respect to $\varphi$, we obtain the definitions of second variation $\delta^2 \Phi(\varphi, \delta_1 \varphi, \delta_2 \varphi)$, third variation, etc. We require them to be continuous functionals of $(\varphi, \delta_1 \varphi, \delta_2 \varphi) \in S \times S \times S$, etc. The second variation is bilinear and symmetric in $\delta_1 \varphi$ and $\delta_2 \varphi$. The second variational derivative is, by definition, the symmetric distribution in $x$ and $y \in \mathbb{R}^n$ defined (by the Schwartz kernel theorem [4]) by the equality

$$
\delta^2 \Phi(\varphi, \delta_1 \varphi, \delta_2 \varphi) = \int \delta_\varphi(\delta_1 \varphi(x) \delta_2 \varphi(y) dx dy.
$$

Let us now describe symbols, i.e., functions on the cotangent bundle to $S$. To this end, we need an additional structure on $S$. This structure can be given by a continuous scalar product on $S$ defined up to multiplication by a positive smooth function of polynomial growth (together with all derivatives) $\rho(x)$:

$$
(\varphi_1, \varphi_2) = \int \varphi_1(x) \varphi_2(x) \rho(x) dx.
$$

The function $\rho(x)$ can be arbitrary; what follows does not depend on it.

This scalar product enables us to identify $S$ with its image in the dual space $S'$ of tempered distributions. In what follows we will need only the existence of this subspace in $S'$.

Let us first describe the cotangent bundle to $S$ that we shall use. It is just the product $S \times S$ considered as a subset of $S \times S'$.

**Definition.** A functional $\Phi(\varphi, \pi)$ on the cotangent bundle $S \times S$ is called a classical Hamiltonian or a symbol if it is infinitely differentiable,
and its first variational derivatives \( \frac{\delta \Phi}{\delta \varphi(x)} \frac{\delta \Phi}{\delta \pi(y)} \) are in fact smooth rapidly decreasing functions in \( x \) and in \( y \), i.e. belong to \( S \oplus S \subset S' \oplus S' \) for any \( (\varphi, \pi) \in S \times S \); moreover, \( \delta \Phi \) is infinitely differentiable as an \( S \oplus S \)-valued functional of \( (\varphi, \pi) \in S \times S \).

Clearly, the symbols form a commutative algebra. The Poisson bracket is given by the usual formula

\[
\{ \Phi_1, \Phi_2 \} = \int \left( \frac{\delta \Phi_1}{\delta \pi(x)} \frac{\delta \Phi_2}{\delta \varphi(x)} - \frac{\delta \Phi_1}{\delta \varphi(x)} \frac{\delta \Phi_2}{\delta \pi(x)} \right) dx.
\]

It is interesting to note that the Poisson bracket of two symbols is again a symbol (it is a direct check). This Poisson bracket satisfies the Leibnitz rule with respect to multiplication. It also satisfies the Jacobi identity (it is also a direct check).

A polynomial symbol is a symbol which is a polynomial functional of \( (\varphi, \pi) \in S \times S \). Denote the Poisson algebra of polynomial symbols by \( Symb \). Introduce the grading on this algebra by degree with respect to \( \pi \):

\[
Symb = \bigoplus_{k \geq 0} Symb_k.
\]

3. The Problem of Quantization of the Constructed Poisson Algebra

**Problem.** Construct a family of filtered vector spaces

\[
Diff_h = \bigcup_{k \geq 0} F_k Diff_h
\]

depending on the parameter \( h \), with associative multiplication

\[
\cdot : Diff_h \times Diff_h \to Diff_h, \quad F_k Diff_h \cdot F_l Diff_h \subset F_{k+l} Diff_h,
\]

so that for \( h = 0 \) the algebra \( Diff_0 \) coincides with \( Symb \); moreover, for any \( h \) there are fixed isomorphisms

\[
Gr_k Diff_h \overset{\text{def}}{=} F_k Diff_h / F_{k-1} Diff_h \simeq Symb_k, \quad k \geq 0,
\]

and the multiplication in the associated graded algebra

\[
Gr Diff_h = \bigoplus_{k \geq 0} Gr_k Diff_h
\]

coincides with multiplication in \( Symb \). The commutator in \( Diff_h \) up to \( o(h) \) should coincide with the Poisson bracket in \( Symb \) multiplied by \(-ih\).
4. A computation in the quantum algebra

Assume that \( n = 1 \); let us write \( x \) instead of \( \mathbf{x} \). Let us compute the commutator

\[
\text{(21)} \quad \left[ \int f(x)\hat{\varphi}(x)\hat{\varphi}'(x)dx, \int g(y)\hat{\pi}(y)^2dy \right],
\]

where \( f, g \in S \). Of course, due to what was said in the Introduction, this is equivalent to computation of the Poisson bracket of two quadratic symbols (or a commutator in the symplectic Lie algebra). Below we shall omit \( \int f(x)g(y)dxdy \) in our computations. We have, under the integral, by computing the commutator in two ways (the first way by applying the Leibnitz rule to the left term, and the second way by applying this rule to the right term):

\[
\frac{1}{i\hbar} [\hat{\varphi}(x)\hat{\varphi}'(x), \hat{\pi}(y)^2] = 2\hat{\pi}(x)\hat{\varphi}'(x)\delta(x - y) + 2\hat{\varphi}(x)\hat{\pi}'(x)\delta(x - y)
\]

\[
+ 2\hat{\varphi}(x)\hat{\pi}(x)\delta'(x - y) = (\hat{\varphi}'(x)\hat{\pi}(x) + \hat{\pi}(x)\hat{\varphi}'(x) + \hat{\varphi}(x)\hat{\pi}'(x) + \hat{\pi}'(x)\hat{\varphi}(x))\delta(x - y)
\]

\[
+ (\hat{\varphi}(x)\hat{\pi}(x) + \hat{\pi}(x)\hat{\varphi}(x))\delta'(x - y).
\]

Subtracting the right hand side of the latter equality from the left hand side, we obtain

\[
\text{(23)} \quad ([\hat{\pi}(x), \hat{\varphi}'(x)] + [\hat{\varphi}(x), \hat{\pi}'(x)])\delta(x - y) + [\hat{\varphi}(x), \hat{\pi}(x)]\delta'(x - y) = 0,
\]

whence, replacing

\[
[\hat{\varphi}(x), \hat{\pi}(x)] = i\hbar \delta(x - y)|_{y=x} = i\hbar \delta(0),
\]

\[
[\hat{\pi}(x), \hat{\varphi}'(x)] = -i\hbar \delta'(x - y)|_{y=x} = -i\hbar \delta'(0),
\]

\[
[\hat{\varphi}(x), \hat{\pi}'(x)] = -i\hbar \delta'(x - y)|_{y=x} = -i\hbar \delta'(0)
\]

and putting \( y = 0 \), we obtain a curious identity

\[
\text{(25)} \quad \delta(0)\delta'(x) = 2\delta'(0)\delta(x).
\]

This identity can be also obtained by formally differentiating the equality

\[
\text{(26)} \quad \delta(x)^2 = \delta(0)\delta(x).
\]

References

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E-mail address: alexander.stoyanovsky@gmail.com

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