Polymorphisms in the Toll-like receptor 3 (TLR3) gene are associated with the natural course of hepatitis B virus infection in Caucasian population

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Innate immunity can induce spontaneous hepatitis B surface antigen (HBsAg) seroclearance (SC) of hepatitis B virus (HBV) infection or transition towards an inactive carrier state. Toll-like receptor (TLR) 3 signalling has been linked to these processes. Alterations in the TLR3 gene might impair immune responses against HBV. In our study, we analysed the impact of the TLR3 polymorphisms rs3775291 and rs5743305 on the natural course of HBV infection. In this retrospective study, a Caucasian cohort of 621 patients with chronic HBV infection (CHB), 239 individuals with spontaneous HBsAg SC, and 254 healthy controls were enrolled. In the CHB group, 49% of patients were inactive carriers, and 17% were HBeAg-positive. The TLR3 rs3775291 A allele was associated with a reduced likelihood of spontaneous HBsAg SC and HBeAg SC, and an increased risk of developing chronic hepatitis B. In haplotype analysis, the haplotype including both risk variants rs3775291A and rs5743305A had the lowest likelihood of HBsAg SC. Further research in larger cohorts and functional analyses are needed to shed light on the impact of TLR3 signalling.

The risk of developing chronic hepatitis B virus (HBV) infection and its complications correlate with the disease stage, which reflects the degree of immune control. Thus, liver cirrhosis and hepatocellular carcinoma (HCC) occur more often in the active phase of the disease, but their prevalence is reduced if hepatitis B e antigen (HBeAg) seroconversion, inactive carrier (IC) state and hepatitis B surface antigen (HBsAg) loss occurs. The mechanisms underlying this immune control over the HBV infection have not been fully explained. It is, however, known that adaptive immune responses are required to resolve the infection, especially HBV specific T cells. In contrast, the role of innate immunity in the control of HBV infections remains controversial. Recent studies showed the effect of Toll-like receptors (TLR) on HBV infection by initiating antiviral responses and stimulating adaptive immune responses. Furthermore, TLR-mediated immune responses are shown to inhibit HBV replication in hepatocytes and animal models. Interactions between TLR2, TLR3, TLR4, TLR7 and TLR9 and HBV have been reported previously. TLR3 detects double-stranded (ds) RNA from viruses, endogenous dsRNA and synthetic polynucleotide:polyribocytidylic acid (poly I:C). TLR3 signalling leads to activation of transcription factors such as interferon-regulatory factor-3 (IRF3) and nuclear factor (NF)-κB and induces the production of interferon-β and inflammatory cytokines. The receptor is expressed in hepatocytes as well as in macrophages, natural killer (NK) cells and biliary epithelial cells, and is located in the plasma membrane or acidic endosomes. Macrophages and NK cells are essential for immune recognition and virus eradication in innate and early adaptive immune recognition.

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responses against HBV\textsuperscript{12,13}. Furthermore, TLR3 can activate hepatic non-parenchymal cells (NPCs) such as Kupffer cells, liver sinusoidal endothelial cells to produce interferon-\(\beta\) during HBV infection\textsuperscript{8}.

Single nucleotide polymorphisms (SNPs) within the \textit{TLR3} gene may cause changes in the protein or gene expression, which affects the function and efficacy of signal transduction and thus an altered immune response. In previous reports, \textit{TLR3} polymorphisms \textit{rs1879026, rs3775296, rs3775291, rs5743305} have been associated with the outcome of hepatitis C virus (HCV) and HBV infection, and the development of consequential liver cirrhosis and HCC primarily in Asian populations\textsuperscript{14}. Similarly, Al-Qahtani \textit{et al}. showed a significant effect of the haplotype GCGA, composed of the four SNPs \textit{rs1879026, rs5743313, rs5743314, and rs5743315}, on the susceptibility of HBV infection in persons from Saudi Arabia\textsuperscript{15}. Huang \textit{et al}. also identified the SNP \textit{rs3775290} as a protective factor against the development of chronic HBV infection and advanced stages of liver disease in the Chinese population\textsuperscript{16}. Thus, evidence suggests that a link exists between TLR3 variants and immune control over HBV infections but to date, a clear association in a large Caucasian patient population is lacking.

This study investigates the presence of the \textit{TLR3} SNPs \textit{rs3775291 and rs5743305} in a large multicentre cohort of patients with HBV infection and healthy controls of Caucasian ethnicity. We selected these SNPs as they have recently been found to represent new risk factors of HBV-related diseases in an Asian population\textsuperscript{14} and affect TLR3 signalling\textsuperscript{17–19}. We aimed to assess the impact of these SNPs on the susceptibility of chronic HBV infection (CHB), spontaneous HBsAg seroclearance (HBsAg SC) and the occurrence of different disease stages of CHB such as HBeAg SC and inactive carrier (IC) state.

**Results**

**Patient characteristics.** The subdivision of the overall study cohort is presented in Fig. 1. Baseline characteristics of the study cohort (\(n=1114\)) are shown in Table 1. Individuals in the CHB group were significantly younger (\textit{Man-Whitney U} = 4830.5, \(p = 1.29 \times 10^{-22}\)) and included more females (\(\chi^2 = 4.72 \ p = 0.03\)) as compared to the group of patients with spontaneous HBsAg seroclearance (HBsAg SC) group. The HBsAg SC group had significantly more patients with liver cirrhosis (\(\chi^2 = 4.94 \ p = 0.031\)) and elevated alanine transaminase (ALT) levels (\textit{Mann-Whitney U} = 52578.5, \(p = 0.002\)) than the CHB group. However, cirrhosis development and elevated ALT levels in the HBsAg SC patients was primarily caused by excessive alcohol consumption (44%), followed by idiopathic (32%) causes, autoimmune (12%) and non-alcoholic liver diseases (12%).

In the CHB group, more females developed an IC state (\(\chi^2 = 12.77 \ p = 0.0004\)) than males. And within the non-IC group, there were significantly more patients with liver cirrhosis (\(\chi^2 = 13.38 \ p = 0.0003\)) and fewer patients with HCC (\(\chi^2 = 10.19 \ p = 0.002\)). Moreover, baseline HBV DNA (\textit{Mann-Whitney U} = 14864.0, \(p = 2.15 \times 10^{-47}\)) and ALT levels (\textit{Mann-Whitney U} = 27722.5, \(p = 7.53 \times 10^{-19}\)) were significantly lower in the IC group.

**Prevalence of TLR3 SNPs in individuals with and without HBV infection.** The genotype distributions of both TLR3 SNPs \textit{rs3775291} and \textit{rs5743305} differed significantly between the healthy controls and the
Table 1. Baseline characteristics of the (a) overall HBV cohort and the control group and (b) the CHB patients in inactive carrier (IC) state or non-IC. *p-value from the comparison of the CHB group with the controls; CHB: chronic hepatitis B; SC: seroclearance; †mean ± SD; n.a. not applicable. **HBeAg loss during observation time. Comparisons of continuous variables were made using the Mann-Whitney U test. Categorical variables were compared with the Pearson’s $\chi^2$ test.

Figure 2. Genotype distributions of the TLR3 SNPs rs3775291 (a) and rs5743305 (b) in the overall cohort and in the sub-groups. Frequencies were compared with Pearson’s $\chi^2$ test for categorical variables. The genotype distribution of both SNPs differed significantly between the study cohort and healthy controls and between the CHB and HBsAg SC groups (HBsAg: hepatitis B surface antigen, SC: seroclearance and CHB: chronic hepatitis B).

HBV cohort (Fig. 2). The A allele of rs3775291 ($\chi^2 = 18.69, p = 1.69 \times 10^{-5}$) and the AA genotype of rs5743305 ($\chi^2 = 7.08, p = 0.008$) were overrepresented in the HBV cohort.

When the HBV cohort was further divided into the HBsAg SC and CHB group, the differences in TLR3 SNPs genotype distribution only remained significant between the controls and the CHB group (Fig. 2). Thus, univariate logistic regression analysis revealed a higher likelihood of CHB for the TLR3 rs3775291 A allele (odds ratio [OR] = 2.13 [95% confidence interval [CI]: 1.59–2.87] $p = 5.60 \times 10^{-5}$) and the rs5743305 AA genotype (OR = 2.06 [95% CI: 1.29–3.30] $p = 0.002$). In adjusted multivariate logistic regression analysis, both SNPs remained significantly associated with CHB (rs3775291A: OR = 2.45 [95% CI: 1.69–3.48] $p = 1.65 \times 10^{-6}$ and rs5743305A: OR = 3.031 [95% CI: 1.74–5.29] $p = 9.55 \times 10^{-4}$). Furthermore, age-matched analysis revealed an increase in power regarding the association of the risk variants with CHB (rs3775291A: OR = 3.26 [95%CI: 1.96–5.42] $p = 5.18 \times 10^{-5}$ and rs5743305A: OR = 3.59 [95% CI: 1.80–7.18] $p = 0.0003$).

Association of TLR3 SNPs with spontaneous HBsAg SC of HBV infections. Genotype distributions of both TLR3 SNPs differed significantly between the HBsAg SC and the CHB groups (Fig. 2). In univariate logistic regression analysis, the rs3775291 AA genotype was associated with a reduced likelihood of spontaneous HBsAg SC with an OR of 0.38 (95% CI: 0.24–0.60, $p = 4.54 \times 10^{-5}$) under a recessive model and the A allele (risk variant) with an OR of 0.62 (95% CI: 0.46–0.83, $p = 0.002$) under a dominant model; the TLR3 rs5743305 A allele (risk variant) was associated with an OR of 0.67 (95% CI: 0.50–0.91, $p = 0.011$) under a dominant model, respectively (Table 2).
Since age, gender and patient origin are important risk factors for the development of chronic HBV infection, we performed adjusted multivariate logistic regression analysis and confirmed the strength of the association of both risk variants of TLR3 rs3775291 (OR = 0.58 [95% CI: 0.42–0.80] p = 0.001) and rs5743305 (OR = 0.66 [95% CI: 0.48–0.93] p = 0.016) with HBsAg SC (Table 2). An increase in power was detected using age-matched groups (rs3775291A: OR = 0.47 [95%CI: 0.30–0.72] p = 0.001 and rs5743305A: OR = 0.55 [95% CI: 0.35–0.86] p = 0.008).

| TLR3    | CHB (n = 621) | HBsAg SC (n = 239) | Unadjusted OR (CI 95%) | P-value | Adjusted OR (CI 95%) | P-value |
|---------|--------------|-------------------|------------------------|---------|----------------------|---------|
| rs3775291 |              |                   |                        |         |                      |         |
| GG      | 248 (39.1%)  | 124 (51.9%)       |                        |         |                      |         |
| AG      | 236 (38.0%)  | 92 (38.5%)        |                        |         |                      |         |
| AA      | 137 (22.1%)  | 23 (9.6%)         |                        |         |                      |         |
| MAF     |              | 0.41              | 0.29                   |         |                      |         |
| AA/AG vs. GG |          | 0.62 [0.46–0.83] | 0.002                  |         | 0.58 [0.42–0.80]    | 0.001   |
| AA vs. AG/GG |             | 0.38 [0.24–0.60] | 4.54 × 10⁻²           |         |                      |         |
| rs5743305 |              |                   |                        |         |                      |         |
| TT      | 207 (33.3%)  | 102 (42.7%)       |                        |         |                      |         |
| AT      | 304 (49.0%)  | 108 (45.2%)       |                        |         |                      |         |
| AA      | 110 (17.7%)  | 29 (12.1%)        |                        |         |                      |         |
| MAF     |              | 0.42              | 0.35                   |         |                      |         |
| AA/AT vs. TT |           | 0.67 [0.50–0.91] | 0.011                  |         | 0.66 [0.48–0.93]    | 0.016   |
| AA vs. AT/TT |             | 0.64 [0.41–0.99] | 0.048                  |         |                      |         |

Table 2. Genotype distribution of the TLR3 SNPs and the association with spontaneous HBsAg seroclearance (SC) using logistic regression analysis. CHB: chronic hepatitis B, SC: seroclearance, OR: odds ratio, CI: confidence interval.

Figure 3. HBsAg seroclearance rates of the TLR3 rs5743305/rs3775291 haplotypes.

Since age, gender and patient origin are important risk factors for the development of chronic HBV infection, we performed adjusted multivariate logistic regression analysis and confirmed the strength of the association of both risk variants of TLR3 rs3775291 (OR = 0.58 [95% CI: 0.42–0.80] p = 0.001) and rs5743305 (OR = 0.66 [95% CI: 0.48–0.93] p = 0.016) with HBsAg SC (Table 2). An increase in power was detected using age-matched groups (rs3775291A: OR = 0.47 [95%CI: 0.30–0.72] p = 0.001 and rs5743305A: OR = 0.55 [95% CI: 0.35–0.86] p = 0.008).

Haplotype analysis of TLR3 rs5743305 and rs3775291. In our cohort, the TLR3 SNPs rs5743305 and rs3775291 are in weak linkage disequilibrium (LD) (D' = 0.058, r² = 0.001). Therefore, four haplotypes exist: rs5743305T/rs3775921G (36.4%), rs5743305T/rs3775921A (25.9%), rs5743305A/rs3775921G (23.4%) and rs5743305A/rs3775921A (14.2%). Carriers of at least one A allele of the TLR3 SNPs had lower chances of HBsAg SC than carriers of the wild-type genotypes, for example, 24% TA vs. 37% TG χ² = 15.54 p = 8.08 × 10⁻⁵ (Fig. 3). The lowest likelihood of HBsAg SC was assessed for the AA haplotype comprising both risk variants with an OR of 0.26 (95% CI: 0.16–0.43, p = 8.51 × 10⁻⁸) compared to the TG haplotype (Table 3).

Association of the TLR3 SNPs with hepatitis B disease stages. We examined the association of both TLR3 SNPs with the disease stages of chronic HBV infection, specified through the following analyses: 1) HBV DNA levels, 2) ALT levels; 3) the IC state vs. non-IC state; 4) HBeAg-negative vs. HBeAg-positive CHB; and the presence or absence of 5) cirrhosis and 6) HCC across the CHB cohort.

Patients carrying the TLR3 rs3775291 or rs5743305 risk variants had higher HBV DNA and ALT levels than carriers of the GG or TT genotype (rs3775291: HBV DNA log_10 IU/mL: p = 8.55 × 10⁻² and ALT IU/mL: p = 0.001; rs5743305: p = 0.006 and p = 0.017, respectively) using Mann-Whitney U test.

The genotype distribution of TLR3 rs3775291 was significantly different between all groups, and the distribution of rs5743305 only between the IC and non-IC groups, respectively (Fig. 4). Univariate logistic regression analysis revealed a significant association of the TLR3 rs3775291 risk variant with a higher likelihood of
development of active chronic hepatitis B (OR = 2.13 [95% CI: 1.54–2.95] p = 6.00 × 10^{-6}) and with HBeAg positivity (OR = 2.10 [95% CI: 1.31–3.36] p = 0.002). By using Bonferroni correction, the rs3775291 risk variant remained significantly associated with non-IC, with an OR of 2.16 (95% CI: 1.55–3.01, p = 5.50 × 10^{-6}) and HBeAg presence, with an OR of 2.06 (95% CI: 1.28–3.33, p = 0.003). For both SNPs, there was neither an association with the presence of cirrhosis nor the development of HCC.

**Discussion**

In this large multicentre Caucasian population study, we showed for the first time a strong association of the common SNP rs3775291 in the TLR3 gene with different stages of immune control over chronic HBV infections and with spontaneous HBsAg SC.

To date, the functional relevance of SNPs in the TLR3 gene is not entirely known. The substitution of G to A at position rs3775291 leads to an amino acid change from leucine to phenylalanine at position 412 of the protein. This alteration reduces the localisation of the soluble ectodomain and the dimerization of TLR3 at membranes, resulting in its decreased binding capacity to dsRNA and a lower signalling activity compared to the wild-type\(^{17,18}\).

The polymorphism rs5743305 is located in the promotor region of the TLR3 gene and is suggested to influence transcriptional activity. However, Askar and colleagues detected no impaired TLR3 gene expression in peripheral blood mononuclear cells (PMBCs) of heterozygous or homozygous rs5743305 variants compared to wild-type\(^{19}\). Although their effect on transcriptional activity is not completely clear, both SNPs are shown to be associated with low humoral and cellular response to measles vaccination. For example, the heterozygous variants of both SNPs were associated with lower levels of measles-specific antibodies (wild-type vs. variant: rs3775291 p = 0.02, rs5743305 p = 0.004), and the heterozygous variant of rs5743305 showed a lower lymphoproliferative response compared to the wild-type (p = 0.003)\(^{20}\).

In our study, the risk variants of rs3775291 and rs5743305 were more prevalent in CHB group compared to healthy controls (Fig. 2), a difference that may have been influenced by a lower risk exposure of the control group. However, those patients who achieved spontaneous HBsAg SC showed a similar distribution of the risk variants as controls. Interestingly, the difference between the HBsAg SC and the CHB groups was similar to the difference between the CHB group and control, suggesting an influence of both risk variants on the immune control of HBV infections.

Another marker of immune control over HBV infections is the occurrence of HBeAg SC. In our study, the incidence of the GG genotype of TLR3 rs3775291 was higher in patients who had achieved HBeAg SC in comparison to HBeAg-positive patients. The AA genotype was more frequent in HBeAg-positive patients, which further supports an association of the rs3775291 variants with an altered innate immune response during HBV infection. Similar to the rs3775291 SNP, the risk variant of rs5743305 was also associated with disease stages in CHB. However, the associations were not independent of the rs3775291 SNP, suggesting a possible relationship between both TLR3 polymorphisms.
HBV is known to be a "stealth" virus which does not trigger an interferon response in infected hepatocytes. Therefore, further investigations are needed in large cohorts of patients with HBV-related HCC. A limited number of patients with advanced liver disease or HCC in our cohort, or the different ethnic background.

rs3775291 is a novel risk factor for HBV-related HCC. The lack of an association with HCC might be due to the limited number of patients with advanced liver disease or HCC in our cohort, or the different ethnic background. Therefore, further investigations are needed in large cohorts of patients with HBV-related HCC.

One limitation of our study is the yet unproven functional relevance of TLR3 in HBV infections. Although HBV is known to be a "stealth" virus which does not trigger an interferon response in infected hepatocytes, innate immunity of liver-resident macrophages, Kupffer cells and NPCs can be activated. The HBV replication phase with increased production, assembly and release of HBV particles from hepatocytes results in a higher local exposure to these immune cells. Future studies need to confirm if TLR3 signalling can be activated by the internalisation of the immature RNA-containing virions, which are being secreted during HBV production, or if other mechanisms are involved. However, recent investigations show that stimulation of TLRs with exogenous ligands improves immune responses against HBV. Furthermore, TLR agonists also activate cytotoxic T lymphocyte responses and inhibit HBV propagation. Triggering of TLR-mediated pathways will become critical in approaching host factor-targeted treatment strategies to cure HBV infection. Thus, polymorphism in genes of key components of the TLR-signalling pathways might also affect individual therapy outcome.

In conclusion, the current study shows for the first time that the TLR3 gene GG genotype of the SNPs rs3775291 and rs5743305 are associated with HBsAg SC and IC state, and the GG genotype of rs3775291 is linked to HBsAg SC in Caucasians. Thus, TLR3 may represent an important factor in immune control over HBV infection. Nonetheless, large population-based studies in HBV populations with different genetic backgrounds, as well as testing for additional TLR3 variants and functional analyses, are needed to understand the effect of genetic variations in the complex mechanisms on immune control during the different phases of HBV infection.

**Patients and Methods**

**Patients.** A total of 1114 patients with HBV infection and healthy controls of Caucasian origin from two academic hepatology centres in Germany (Section of Hepatology, University Hospital of Leipzig, Germany and Department of Hepatology and Gastroenterology, University Hospital Charité, Berlin, Germany) and one primary health provider (Liver and Study Center Checkpoint, Berlin, Germany) were enrolled onto the study between 2003 and 2015. Patients with acute HBV infection or HCV, HDV or HIV co-infection were excluded from the study.

The overall study population included: a control group of 254 unrelated healthy blood donors (all with undetectable HBsAg and total anti-HBc antibodies) and 860 patients in the HBV group, which included the CHB group (n = 621) with the presence of HBsAg and HBV DNA for more than six months, and the HBsAg SC group (n = 239 patients) with spontaneous HBsAg SC, defined by undetectable HBsAg and detectability of anti-HBs and total anti-HBc antibodies. The patients in the CHB group were further divided into those in an IC state (n = 301, HBsAg-negative and HBV DNA level < 2,000 IU/mL, persistently normal serum ALT levels) and those with non-IC state (n = 320, HBV DNA level > 2,000 IU/mL or elevated serum ALT levels in the absence of secondary liver disease), according to the current European guidelines. Caucasian was defined as patients descended from Northern/Central or Eastern Europe (n = 659), the Mediterranean region (Turkey, Greece or Italy, n = 229) or the Middle East (Iran, Afghanistan, n = 26).

Since HBV infection often presents entirely asymptomatic during the acute phase, and the infection goes unrecognised in a large proportion of affected patients, the age at first infection and the route of transmission were not available for numerous patients, especially for those who spontaneously cleared the virus. Moreover, the chronic patients were from academic liver centres, and selection bias cannot be excluded.

Liver cirrhosis was diagnosed by radiological evidence and/or a liver biopsy. The diagnosis of HCC was based on histological examination of tumour tissue or evidence on imaging.
**Genotyping.** The DNA samples were analysed from whole blood samples stored at −20 °C for the TLR3 SNPs rs3775291 and rs5743305. DNA was extracted from whole blood samples with an extraction kit from QIAGEN (Hilden, Germany). Genotyping was performed by real-time polymerase chain reaction (PCR) and melting curve analysis in a Light Cycler 480 System (Roche) using fluorescence resonance energy transfer (FRET) probes (TIB MOLBIOL, Berlin, Germany). PCR conditions and primer/probes sequences are shown in the Supplementary Material. Sequencing was performed with BigDye Terminator and a capillary sequencer from Applied Biosystems.

**Statistics.** Statistical analyses of epidemiological associations were performed using SPSS software (SPSS Inc., version 24.0, Chicago, IL, USA). The genotype distributions of the two SNPs were tested for deviations from the HWE using the DeFinetti program with a cut-off p-value of 0.01.

Comparisons of the distributions of demographical characteristics between the different groups were made using the Mann-Whitney U test for continuous variables (each when adequate) and the Pearson’s χ² test and Fisher’s exact test for categorical variables. Univariate and multivariate logistic regression analyses were performed to determine the association between the SNPs and the disease status under dominant and recessive genetic models.

All tests were two-sided and p-values less than 0.05 were considered statistically significant. The OR and the 95% CI were calculated. We aimed to estimate both the recessive and additive effects of the SNPs. Structure of LD was analysed with GenoDive 2.3.1.1 and Haploview 4.2 (Broad Institute, Cambridge, USA) by using the expectation-maximization (EM) algorithm. The LD present between the single SNPs. D’ varies from 0 (complete disequilibrium) to 1 (complete disequilibrium). R² shows the correlation between SNPs. When r² = 1, two SNPs are in perfect LD, and allelic frequencies are identical for both SNPs.

**Ethics statement.** The study was approved by the Ethics Committees of Medical Research of the University of Leipzig and Berlin in accordance with the Declaration of Helsinki from 1975 (revision 2013) and the International Conference on Harmonization/Committee for Proprietary Medicinal Products “Good Clinical Practice” guidelines. All patients provided written informed consent.

**Data availability.** The datasets generated and/or analysed during the current study are available in the public repository of the University Leipzig under, http://ul.qucosa.de/.

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Author Contributions
All authors contributed to the acquisition of data, review and critical revision of the manuscript and approved the final version of the manuscript. J.F.: overall coordination of the study, study design, experiments and procedures, interpretation of data, manuscript writing; E.K.: study design/conception, experiments and procedures, interpretation of data; F.v.B. and T.B.: study design/conception, interpretation of data, R.H., F.B. and E.S.: sample and data provision.

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