Production of (anti-)\(^3\)He and (anti-)\(^3\)H in p–Pb collisions at √s_{NN} = 5.02 TeV

ALICE Collaboration

Abstract

The transverse momentum (\(p_T\)) differential yields of (anti-)\(^3\)He and (anti-)\(^3\)H measured in p–Pb collisions at √s_{NN} = 5.02 TeV with ALICE at the LHC are presented. The ratios of the \(p_T\)-integrated yields of (anti-)\(^3\)He and (anti-)\(^3\)H to the proton yields are reported, as well as the \(p_T\) dependence of the coalescence parameters \(B_3\) for (anti-)\(^3\)He and (anti-)\(^3\)H. For (anti-)\(^3\)He, the results obtained in four classes of the mean charged-particle multiplicity density are also discussed. These results are compared to predictions from a canonical statistical hadronization model and coalescence approaches. An upper limit on the total yield of \(^4\)He is determined.
1 Introduction

In ultra-relativistic nuclear collisions, midrapidity production yields of ordinary hadrons, i.e., mesons and baryons, can be described within the Statistical Hadronization Model (SHM), for which the temperature and the baryo-chemical potential are the parameters regulating hadron production [1, 2]. In this model, hadrons are produced from an expanding medium in local thermodynamic equilibrium. Their abundances are fixed when the rate of inelastic collisions becomes negligible. This chemical freeze-out is associated with a characteristic temperature which is found to be \( T_{\text{chem}} \approx 156 \text{ MeV} \) in Pb–Pb collisions at the LHC [1]. The yields of hadrons in central Pb–Pb collisions are reproduced by this approach [2] within uncertainties. Elastic and quasi-elastic scattering might still occur among hadrons during the further evolution of the system. The transverse momentum distributions can be modified until also the elastic interactions cease at the kinetic freeze-out. At LHC energies, baryon number transport from the initial nuclei at beam rapidity to midrapidity is completely negligible. This implies that particles and their corresponding anti-particles are produced in approximately equal amounts which is accounted for by a vanishing baryo-chemical potential \( \mu_B \).

Light (anti-)nuclei are composite objects of (anti-)baryons with radii that are substantially larger than those of ordinary hadrons and their sizes reach a significant fraction of the volume of the expanding medium. Their production yields can also be described within the SHM. This may be surprising as the separation energy of nucleons is much smaller than the system temperature, thus raising the question of how nuclei can survive during the hadronic phase. Alternative approaches were developed that are able to describe production yields of light nuclei via the coalescence of protons and neutrons which are close by in phase space at kinetic freeze-out [3, 4]. In this simplified approach, the invariant yield of nuclei with mass number \( A \), \( E_A(d^3N_A/dp_A^3) \), is related to that of nucleons via

\[
E_A \frac{d^3N_A}{dp_A^3} = B_A \left( E_p \frac{d^3N_p}{dp_p^3} \right)^A \bigg|_{p_p = \beta_A/A},
\]

where \( E_p(d^3N_p/dp_p^3) \) is the invariant yield of protons, which is expected to be identical to that of neutrons at midrapidity and LHC energies [5]. Here, the coalescence probability is given by the parameter \( B_A \). Both the SHM and the coalescence approach result in similar predictions, as demonstrated for the production of deuterons [6–8]. A review can be found in [9].

However, recent studies [5] have shown a sizeable difference for the \( B_A \) parameter as a function of the size of the particle emitting source between predictions by the SHM with kinetic freeze-out conditions from a simple hydrodynamical model and the coalescence model. Here, information from Hanbury Brown–Twiss (HBT) correlations is used to determine the source size. This effect is more pronounced for (hyper-)nuclei with larger radii. Thus, the ideal benchmark would be to study the production of hypertriton (\( _3^\Lambda \text{H} \)) as a function of the mean charged-particle multiplicity density, which is not yet possible due to the size of the data sets available. Thus, the difference between the production of \( ^3\text{He} \) and \( ^3\text{H} \) was studied, which is expected to offer similar insight on the comparison of the SHM and the coalescence approaches, especially for smaller collision systems [2, 10]. The production yields for (anti-)\(^3\text{He} \) in pp and Pb–Pb [11, 12] collisions measured by ALICE do not cover completely the evolution from small to large source sizes. To bridge this gap, measurements in p–Pb collisions are needed which cover the intermediate source sizes.

In a broader context, the measurement of the production of nuclei in pp and p–Pb collisions contributes significantly and decisively to indirect searches for segregated primordial antimatter and dark matter via satellite-borne instruments, such as AMS-02 [13]. These experiments search for an excess in the measured production of anti-nuclei above the background stemming from pp and p–A collisions in the interstellar medium. This background is predicted by calculations [14] that use measurements of the production of anti-nuclei in accelerator experiments as a key ingredient.
This paper reports on the transverse momentum differential yields of the isospin partners (anti-)$^3$He and (anti-)$^3$H in p–Pb collisions at $\sqrt{s_{\text{NN}}} = 5.02$ TeV. In case of (anti-)$^3$He, the multiplicity dependence of the $p_T$-differential and integrated yields is also presented. An upper limit on the production yield of $^4$He is given. The paper is organized as follows. The experimental setup and data sample are described in Section 2. Section 3 summarizes the data analysis, while the techniques to evaluate the systematic uncertainties are presented in Section 4. The results are discussed in Section 5 and conclusions are given in Section 6.

2 Data sample and experimental apparatus

The results presented in this paper were obtained by analyzing the data sample of p–Pb collisions at a center-of-mass energy per nucleon–nucleon pair $\sqrt{s_{\text{NN}}} = 5.02$ TeV collected in 2016.

ALICE is a general–purpose detector system at the LHC designed to investigate high-energy heavy-ion collisions. The excellent tracking and particle identification (PID) capabilities over a broad momentum range and the low material budget make this detector ideally suited for measurements of light (anti-)nuclei production. The characteristics of the ALICE detectors are described in detail in [15, 16].

In the ALICE coordinate system, the nominal interaction point is at the origin of a right-handed Cartesian coordinate system. The $z$-axis corresponds to the beam line, the $x$-axis is pointing to the center of the accelerator, and the $y$-axis points upward. The beam configuration was chosen such that the protons travel towards the negative $z$ direction and Pb nuclei travel in the positive direction of the ALICE reference frame.

The Inner Tracking System (ITS) [17], the Time Projection Chamber (TPC) [18] and the Time-of-Flight detector (TOF) [19] are the main detectors used for track reconstruction and particle identification in these analyses. They are located in the central barrel within a large solenoidal magnet, which provides a homogeneous field of $B = 0.5$ T parallel to the beam line.

The ITS consists of six cylindrical layers of silicon detectors, concentric and coaxial to the beam pipe, with a minimum pseudorapidity coverage $|\eta_{\text{lab}}| < 0.9$ calculated for the nominal interaction region. Three different technologies are used for this detector: the two innermost layers consist of Silicon Pixel Detectors (SPD), the two central layers of Silicon Drift Detectors (SDD) and the two outermost layers of double-sided Silicon Strip Detectors (SSD). The radial position of the detectors range from 3.9 cm up to 43 cm from the interaction region. The ITS is used in the track reconstruction and helps to improve the $p_T$ resolution of tracks by providing high resolution tracking points close to the beam line. Thanks to this information, the distance of closest approach (DCA) of a track to the primary vertex can be measured with a resolution below 75 µm for tracks with $p_T > 1$ GeV/c [17, 20].

The TPC is the main tracking device in the ALICE central barrel with a pseudorapidity coverage $|\eta_{\text{lab}}| < 0.9$. It is used for track reconstruction and for particle identification via the measurement of the specific ionization energy loss of charged particles ($dE/dx$) in the TPC gas. The TPC is cylindrical in shape, coaxial with the beam pipe, with an active gas volume ranging from 85 cm to 250 cm in the radial direction, and a length of 500 cm in the beam direction. The gas mixture used, 90% Ar and 10% CO$_2$ at atmospheric pressure, is characterized by low diffusion and low-Z. These requirements are essential to guarantee the highest possible data acquisition rate, the excellent transverse momentum resolution (ranging from about 1% at 1 GeV/c to about 3% at 10 GeV/c) and the high dE/dx resolution, which is approximately 5.5% for minimum ionizing particles crossing the full detector [16].

The TOF detector is made of Multigap Resistive Plate Chambers (MRPC), with a pseudorapidity coverage $|\eta_{\text{lab}}| < 0.9$ [19]. This detector is arranged in a modular structure with 18 blocks in azimuthal angle matching the TPC sectors and is used for particle identification by measuring the time of flight of charged particles. The collision time is provided on an event-by-event basis by the TOF detector itself or by the
Production of (anti-)\(^3\)He and (anti-)\(^3\)H in p–Pb collisions at \(\sqrt{s_{NN}} = 5.02\) TeV

ALICE Collaboration

T0 detector \([21]\). The latter consists of two arrays of Cherenkov counters (T0C and T0A) positioned around the beam pipe, on both sides of the nominal interaction point. A weighted average is performed when both detectors have measured the start time \([22]\). The total time resolution for the analysed data sample is \(\sim 80\) ps.

The last detector used for this analysis is the V0, which consists of two scintillator hodoscopes (V0C and V0A) \([23]\), covering the pseudorapidity regions \(-3.7 < \eta_{\text{lab}} < -1.7\) and \(2.8 < \eta_{\text{lab}} < 5.1\). It is used to define the minimum-bias trigger, which requires a coincident signal in V0A and V0C to reduce the contamination from single–diffractive and asymmetric electromagnetic interactions. In addition, the V0A signal is proportional to the mean charged-particle multiplicity density in the direction of the Pb beam. The minimum-bias data sample is divided into four multiplicity classes defined as percentiles of the V0A signal. These are summarized in Table 1 where the corresponding mean charged-particle multiplicity densities at midrapidity \(\langle dN_{ch}/d|\eta_{\text{lab}}|\rangle_{|\eta_{\text{lab}}|<0.5}\) are also listed. These values and their uncertainties are taken from \([24]\).

### Table 1: Summary of the V0A multiplicity classes and their corresponding mean charged-particle multiplicity densities at midrapidity. The values and their uncertainties are taken from \([24]\).

| V0A Classes | \(\langle dN_{ch}/d|\eta_{\text{lab}}|\rangle_{|\eta_{\text{lab}}|<0.5}\) |
|-------------|-----------------|
| 0–10%       | 40.6 ± 0.9      |
| 10–20%      | 30.5 ± 0.7      |
| 20–40%      | 23.2 ± 0.4      |
| 40–100%     | 10.1 ± 0.2      |

### 3 Data analysis

In this section the analysis technique is described. In particular, the criteria used for the event and track selection, the signal extraction techniques used for \(^3\)H and \(^3\)He, the corrections based on Monte Carlo (MC) simulations and the evaluation of the systematic uncertainties are illustrated and discussed.

The reconstruction efficiencies of (anti-)\(^3\)H and (anti-)\(^3\)He, the estimate of the contribution of secondary nuclei produced by spallation in the detector material, and the subtraction of the feed-down from the weak decay of hypertriton are obtained using Monte Carlo simulations. Nuclei and anti-nuclei were generated with a flat distribution in transverse momentum and rapidity within \(0 \leq p_T \leq 8\) GeV/c and \(-1 \leq y_{\text{cm}} \leq 1\). Ten deuterons, \(^3\)H, \(^3\)He, and \(^4\)He as well as their anti-nuclei were injected into each p–Pb collision simulated with the EPOS-LHC event generator \([25]\). In addition, twenty hypertritons and anti-hypertritons were injected per event. For particle propagation and simulation of the detector response, GEANT 3 is used \([26]\).

#### 3.1 Event and track selection

In order to keep the conditions of the detectors as uniform as possible, to avoid edge effects, and reject residual background collisions, the coordinate of the primary vertex along the beam axis is required to be within \(\pm 10\) cm from the nominal interaction point. The primary vertices are identified either using tracks reconstructed in the full central barrel or with the SPD. The contamination from pile-up events is reduced to a negligible level by rejecting events with multiple vertices. Pile-up vertices identified with the SPD are required to be reconstructed using a minimum number of contributors dependent on the total number of SPD track segments (tracklets) in the event and have to be compatible with the expected collision region. A tracklet is defined as a straight line connecting two SPD hits which points back to the primary vertex. For the vertices identified using tracks reconstructed in the full central barrel, a minimum number of contributing tracks and a maximum \(\chi^2\) per contributor for the vertex fit are required to reject
fake pile-up vertices. The events are rejected as pile-up events if they contain pile-up vertex candidates which are well separated in the $z$ direction. The total number of events that survive the event selection is $5.4 \times 10^6$, corresponding to ~ 85% of all recorded collision events.

Due to the different magnetic rigidity and the 2-in-1 magnet design of the LHC, the momenta of the particle beams are different for asymmetric collision systems such as p–Pb. As a consequence, the center-of-mass system (CMS) is shifted in the laboratory frame by a rapidity offset $\Delta y = 0.465$ in the direction of the proton beam. Primary track candidates with transverse momentum $p_T > 1.5$ GeV/$c$, pseudorapidity $|\eta_{\text{lab}}| \leq 0.9$ and $-1 \leq y_{\text{CMS}} < 0$ are selected from those reconstructed both in the ITS and TPC by applying quality criteria that were optimized to ensure a good track momentum and $dE/dx$ resolution.

Tracks are required to have a minimum number of reconstructed space points in the TPC ($N_{\text{TPC}}^\text{cls}$) of 70 for $^3$He and 120 for $^3$H out of a maximum of 159 clusters, respectively. For $^3$H candidates, a stronger selection is used in order to reduce the contamination from other particle species. In addition, at least two hits in the ITS ($N_{\text{ITS}}^\text{cls} \geq 2$), with at least one in the SPD, are requested. The latter requirement significantly suppresses the contribution of secondary tracks. During the data taking, the SDD was only read out for about half of the events recorded in order to maximize the data acquisition speed. To maximize the size of the data set and to unify the reconstruction of the events, the information from the SDD was not used for the current analyses, which reduces the maximum number of hits in the ITS to 4.

The quality of the track fit is quantified by the value of $\chi^2 / N_{\text{TPC}}^\text{cls}$, which is required to be less than 4. In addition, the ratio of the number of reconstructed TPC clusters to the number of findable TPC clusters is required to be larger than 80%. The number of findable clusters is the maximum number of geometrically possible clusters which can be assigned to a track.

The contribution from secondary tracks that are produced, e.g. by spallation in the detector material, is further suppressed by restricting the DCA to the primary vertex. The absolute value of the DCA in the transverse plane ($\text{DCA}_{xy}$) and in the beam direction ($\text{DCA}_z$) are required to be smaller than 0.1 cm and 1 cm, respectively.

### 3.2 Particle identification

The identification of tracks as $^3$He and $^3$H is based on the specific energy loss $dE/dx$ measured by the TPC. For $^3$He, this provides excellent separation from other particle species due to the quadratic dependence of $dE/dx$ on the particle charge. The only relevant contamination is caused by secondary $^3$H due to the similar specific energy loss in the kinetic region of $p_T < 3$ GeV/$c$. As shown in the left panel of the figure, the fraction of contamination is estimated from data by fitting the slope on the left side of the $^3$He peak in the $dE/dx$ distribution with a Gaussian function. This contamination is found to be below 0.5% for $^3$He, while the signal extraction of $^3$He is not affected. For $^3$H, the PID signal in the TPC contains a large background from other, more abundant particle species because $^3$H has only one elementary charge. This background is largely suppressed by applying a pre-selection based on the measured time-of-flight, which is required to be within $3\sigma_{\text{TOF}}$ from the value expected for $^3$H, where $\sigma_{\text{TOF}}$ is the resolution of the time-of-flight measurement. At $p_T > 2.0$ GeV/$c$, the TOF pre-selection does not efficiently suppress the contamination by other particles, like electrons and pions, anymore which leads to an increasingly large contamination for higher $p_T$. The contamination of the signal is estimated following the same approach as for the signal extraction of $^3$He. For $^3$H ($^3$H) in the transverse momentum regions $p_T = 2 - 2.5$ GeV/$c$ and $p_T = 2.5 - 3$ GeV/$c$, the contamination is found to be $\sim 7(9)$% and $\sim 34(21)$%, respectively. The $^3$He ($^3$H) candidates are selected using the difference between the measured $dE/dx$ and the expected value for $^3$He ($^3$H), in units of the energy loss resolution of the TPC, $\sigma_{\text{TPC}}$. The signal is extracted by subtracting the contamination and counting the number of candidates inside the interval $[-3\sigma, 3\sigma]$. 

![Figure](image_url)
Production of (anti-)$^3$He and (anti-)$^3$H in p–Pb collisions at $\sqrt{s_{NN}} = 5.02$ TeV

ALICE Collaboration

3.3 Secondary nuclei from material

Secondary nuclei are produced as spallation fragments in the interactions between primary particles and nuclei in the detector material or in the beam pipe. The contribution of secondary nuclei can be experimentally separated from that of primary nuclei using the DCA$_{xy}$ to the primary vertex. The DCA$_{xy}$ distribution of primary nuclei is peaked at zero, while the one of secondary nuclei is flat over most of the DCA$_{xy}$ range and has a small peak around DCA$_{xy} = 0$ cm for low $p_T$, as shown in Figure 2. This structure is artificially created by the tracking algorithm and is due to incorrect cluster association in the first ITS layer.

Figure 1: The distribution of the specific ionization energy loss ($dE/dx$) in the TPC of the candidate tracks compared to the expected value for $^3$He or $^3$H ($n_{TPC}^{\sigma}$) in the $p_T$ range of $1.5 \leq p_T < 2.0$ GeV/c and $2.0$ GeV/c $\leq p_T < 2.5$ GeV/c for $^3$He (left panel) and $^3$H (right panel), respectively. The background, which is visible as a slope on the left side of the signal, is fitted with a Gaussian function shown in red to estimate the contamination.

Figure 2: The DCA$_{xy}$ distribution within $1.5 \leq p_T < 2.0$ GeV/c is shown together with the MC template fit for $^3$He (left panel) and $^3$H (right panel). The corresponding primary and secondary contributions are also indicated.
The DCA$_{xy}$ distribution of $^3$He in data is obtained by applying stricter PID requirements compared to those described in [Subsection 3.2](#) to ensure a pure $^3$He sample. In particular, the difference between the measured $dE/dx$ and the expected average for $^3$He is required to be in the range $[-2\sigma, 3\sigma]$ for $p_T < 2$ GeV/$c$ and in the range $[-2.5\sigma, 3\sigma]$ for $2 < p_T < 2.5$ GeV/$c$. The remaining contamination is at maximum 0.1% for $^3$He and 1.2% for $^3$H for $p_T < 2.5$ GeV/$c$.

The fraction of primary nuclei is obtained by a two-component fit to the measured DCA$_{xy}$ distribution, one for the signal and the other for the secondaries. The distribution of both components is obtained from Monte Carlo simulations. Due to the lack of secondary $^3$He in the MC simulation, the distributions of secondary deuterons are used as a proxy. For a given $p_T$, the template of deuterons at $p_T/2$ is used to compensate for the charge difference. The different multiple scattering for deuterons and $^3$He has a negligible impact on the DCA$_{xy}$ distribution. This is confirmed by comparing the DCA$_{xy}$ distributions of antideuteron and $^3$He candidates in data for the same interval of transverse rigidity ($p_T/q$). For $p_T > 2.5$ GeV/$c$, the DCA$_{xy}$ distributions of $^3$He and $^3$H are well reproduced using only the template for primary nuclei, which implies that the fractions of secondary $^3$He and $^3$H are negligible or below the sensitivity of this measurement. The fraction of primary nuclei is calculated in the range $|\text{DCA}_{xy}| \leq 0.1$ cm. The resulting values are summarized in [Table 2](#).

| $p_T$ (GeV/$c$) | $^3$He | $^3$H |
|----------------|--------|-------|
| $1.5 - 2.0$    | $(73 \pm 1)$% | $(65 \pm 1)$% |
| $2.0 - 2.5$    | $(94.5 \pm 0.2)$% | $(97 \pm 1)$% |
| above $2.5$    | 100%   | 100%  |

The fractions of primary nuclei calculated in different multiplicity intervals are consistent with those calculated for the minimum-bias data sample within uncertainties. Due to the limited number of $^3$He candidates, the fit is highly unstable for the lowest multiplicity. Therefore, the primary fraction is calculated using the minimum-bias data sample and used to correct the spectra in all the multiplicity intervals.

### 3.4 Efficiency and acceptance

The product of the acceptance and the efficiency is calculated as the ratio between reconstructed and generated primary nuclei in the MC simulation within $-1 \leq y_{\text{c.m.}} < 0$ and $1 \leq p_T < 5$ GeV/$c$ or $1 \leq p_T < 3$ GeV/$c$ for (anti-)$^3$He and (anti-)$^3$H, respectively. The same track selection criteria that are used in data are applied to the reconstructed particles in the simulation. The acceptance $\times$ efficiency of (anti-)$^3$H and (anti-)$^3$He are shown in [Figure 3](#) as a function of $p_T$.

The efficiency for (anti-)$^3$H is lower compared to that of (anti-)$^3$He due to the larger number of TPC clusters required and the additional requirement of a hit in the TOF detector. The latter implies the crossing of the additional material between the TPC and the TOF detector. Nuclear absorption and multiple Coulomb scattering reduce the TPC-TOF matching efficiency, leading to a lower efficiency for $^3$H. Furthermore, the efficiency and the acceptance of the TOF detector have to be taken into account. The efficiency for the anti-nuclei is reduced compared to the one for the nuclei due to annihilation processes with the beam pipe and the detector material.

### 3.5 Feed-down from hypertriton

The transverse momentum distribution of (anti-)$^3$He and (anti-)$^3$H contains a contribution from weak decays of (anti-)hypertriton, $\Lambda^0 \rightarrow ^3\text{He} + \pi^-$ and $\Lambda^+ \rightarrow ^3\text{H} + \pi^0$ and charge conjugates. The (anti-) hypertriton represents the only relevant source of feed-down at LHC energies. The goal of this study is the measurement of primary (anti-)$^3$He and (anti-)$^3$H produced in the collision. For this reason, the con-
Production of (anti-)\(^3\)He and (anti-)\(^3\)H in p–Pb collisions at \(\sqrt{s_{\text{NN}}} = 5.02\) TeV

ALICE Collaboration

\[
\begin{align*}
\text{Figure 3: } & \text{The acceptance } \times \text{efficiency as a function of } p_T \text{ is shown for } ^3\text{He and } ^3\text{H as well as for } ^\Lambda \text{H and } ^2\text{H.}
\end{align*}
\]

The fraction of secondary (anti-)\(^3\)He from (anti-)hypertriton decays is given by:

\[
f_{\text{feed-down}}(p_T) = \frac{e_{\text{feed-down}}(p_T)}{e_{\text{He}}(p_T)} \times \text{BR} \times \frac{^3\text{He}}{^3\Lambda \text{H}}
\]

where \(e_{\text{feed-down}}\) and \(e_{\text{He}}\) are the reconstruction efficiencies of secondary \(^3\)He from (anti-)hypertriton decays and primary \(^3\)He, respectively. The DCA selection introduced to suppress the secondaries from the interaction with material also reduces the reconstruction efficiency for feed-down \(^3\)He by about 40\% compared to the one for primary \(^3\)He. \(\text{BR}\) denotes the branching ratio of the decay of \(^3\Lambda \text{H}\) into \(^3\)He which is about 25\% \cite{27}. The (anti-)\(^3\Lambda \text{H}\)-to-(anti-)\(^3\)He ratio is extrapolated to the analyzed multiplicity class from those measured as a function of \(dN/d\eta_{\text{lab}}\) in Pb–Pb collisions at \(\sqrt{s_{\text{NN}}} = 2.76\) TeV \cite{28}.

An upper limit for this contribution to \(^3\)H is evaluated as half of the contribution for \(^3\)He since the branching ratio of the two-body decay with neutral daughters is half the one with charged particles \cite{27}.

The measured \(p_T\) spectra of (anti-)\(^3\)He and (anti-)\(^3\)H are corrected for the fraction of secondary (anti-)\(^3\)He and (anti-)\(^3\)H from (anti-)hypertriton decays, which is estimated to be about 3.7\% and 1.9\%, respectively.

4 Systematic uncertainties

The main sources of systematic uncertainties on the (anti-)\(^3\)He and (anti-)\(^3\)H yields are summarized in Table 3 and discussed in the following. The procedures used for the evaluation of the systematic uncertainties quantify effects due to residual discrepancies between the data and the MC used to evaluate the reconstruction efficiency. The total systematic uncertainties are calculated as the sum in quadrature of the individual contributions assuming that they are uncorrelated.

The systematic uncertainty related to track reconstruction contains contributions coming from the different matching efficiencies between ITS and TPC for \(^3\)He and \(^3\)H, and between TPC and TOF for \(^3\)H in data and MC and a contribution due to the track selection criteria used in the analysis. The latter is estimated by varying the track selection criteria, both for data and in the MC for the efficiency calculation. For each
transverse momentum interval, the systematic uncertainty is given by the root mean square (RMS) of the spread of data points, each corresponding to a given track selection. The corresponding uncertainty was found to be $4 - 5\%$. The uncertainties due to the different ITS-TPC and TPC-TOF matching efficiencies are both about $1\%$. The total tracking systematic uncertainty is obtained as the sum in quadrature of each contribution and is found to be about $4 - 5\%$ for both $^3\text{He}$ and $^3\text{H}$, independent of $p_T$.

Similarly, the uncertainty from the particle identification is estimated by varying the fitting ranges in the TPC and TOF for the signal extraction as well as for the evaluation of the contamination. The latter has only a minor effect on the uncertainty for $^3\text{He}$ due to its clear separation from other charged particles. In contrast, the effect of the contamination on $^3\text{H}$ is much larger because the separation from other charged particles, which are much more abundant, decreases with increasing $p_T$. The systematic uncertainty on the PID and the contamination is found to be at maximum $3\%$ for $^3\text{He}$ and $30\%$ for $^3\text{H}$.

The systematic uncertainty associated with the fraction of primary nuclei contains three sources: the uncertainty of the template fit, the stability against including more secondaries, and the possible bias of the templates used. For the latter contribution, a Gaussian function is used to describe the DCA$_{xy}$ distribution of secondary nuclei, while the distribution of anti-nuclei is used as a template for the primary nuclei. The parameters of the Gaussian function are obtained by fitting the DCA$_{xy}$ distribution excluding the region $|\text{DCA}_{xy}| \leq 0.1\text{ cm}$. The fraction of primary nuclei is calculated using two methods: in one case, the template for primary nuclei and the Gaussian background are used, in the other case only the Gaussian function is used. In addition, the primary fraction is calculated using MC templates from secondary $^3\text{H}$ scaled in the same way as the deuteron templates used as default. The maximum difference between the fraction of primary nuclei obtained from these methods is divided by $\sqrt{12}$. The stability of the primary fraction correction is tested by varying the DCA selection and, thus, varying the number of secondary nuclei taken into account. The primary fraction should adjust accordingly. This uncertainty is evaluated using an RMS approach. The total uncertainty linked to the primary fraction estimate is given by the sum in quadrature of the three components and is found to be at maximum $9\%$ for $^3\text{He}$ and $6\%$ for $^3\text{H}$ following a decreasing trend with $p_T$.

The material budget of the detector is known with an uncertainty of $4.5\%$ [16] which leads to an uncertainty on the reconstruction efficiency. The impact of this uncertainty on the results is studied by evaluating the relative uncertainty on the reconstruction efficiency using a dedicated MC production with $4.5\%$ higher or lower material budget. The relative uncertainty $\sigma_{\text{material budget}}$ is calculated via

$$
\sigma_{\text{material budget}}(p_T) = \frac{\epsilon_{\text{max}}(p_T) - \epsilon_{\text{min}}(p_T)}{2 \times \epsilon_{\text{default}}(p_T)},
$$

where $\epsilon_{\text{max}}$, $\epsilon_{\text{min}}$, and $\epsilon_{\text{default}}$ are the efficiencies with the higher, lower, and default material budget, respectively.

Table 3: Summary of the individual contributions to the total systematic uncertainty in the lowest and highest $p_T$ interval measured for $^3\text{He}$ and $^3\text{H}$. The values for the anti-nuclei are shown in the parentheses if they differ from the one of the nuclei.

| Particle                  | $^3\text{He}$ ($^3\text{He}$) | $^3\text{H}$ ($^3\text{H}$) |
|---------------------------|-------------------------------|-------------------------------|
| $p_T$ interval (GeV/c)     | 1.5–2.0                      | 4.5–5.0                      | 1.5–2.0                      | 2.5–3.0                      |
| Tracking                  | 4%                            | 5%                            | 5%                            | 5%                            |
| PID & contamination       | 3% (1%)                       | 1%                            | 3% (5%)                       | 20% (30%)                    |
| Primary fraction estimation| 9% (negl.)                    | negl.                         | 6% (negl.)                    | 3% (negl.)                   |
| Material budget           | 0.3% (0.5%)                   | 0.2% (0.5%)                   | 2.0% (3.4%)                   | 0.7% (1.3%)                  |
| Hadronic cross section    | 9% (6%)                       | 1% (2%)                       | 2% (8%)                       | negl. (11%)                  |
| Feed-down                 | 1.5%                          | 1.5%                          | 0.8%                          | 0.8%                          |
| Total systematic uncertainty| 13% (7%)                      | 5% (6%)                       | 9% (12%)                      | 20% (32%)                    |
Production of (anti-)$^3$He and (anti-)$^3$H in p–Pb collisions at $\sqrt{s_{NN}} = 5.02$ TeV

where $\varepsilon_{\text{max}}$ and $\varepsilon_{\text{min}}$ are the largest and the smallest efficiencies obtained in a given $p_T$ interval. $\varepsilon_{\text{default}}$ denotes the efficiency calculated with the default material budget. The effect is larger for $^3$H than for $^3$He because of the additional detector material which has to be taken into account when including the TOF detector in the analysis.

To evaluate the reconstruction efficiency GEANT3 was used to propagate the particles through the detectors. In the GEANT3 version used for this analysis, an empirical parametrization of the antideuteron absorption cross section, based on the measurements carried out at the U-70 Serpukhov accelerator [29, 30], is used. Elastic scattering processes are not taken into account by this description. In GEANT4 [31], a Glauber model based on the well-measured total and elastic $p$-$p$ cross section is implemented [32]. Thus, the systematic effect due to the incomplete knowledge about the hadronic interaction cross section of nuclei is evaluated using half of the relative difference between the reconstruction efficiency evaluated with GEANT3 and GEANT4. This contribution is found to be smaller than 12% for (anti-)$^3$He and (anti-)$^3$H.

The last contribution to the systematic uncertainties is the feed-down from weak decays of hypertritons. In Subsection 3.5, this contribution is estimated using an extrapolation of the measured $^3\Lambda$-$^3$He ratio assuming a linear trend with the charged particle multiplicity. This extrapolation is repeated shifting the measured data points up and down by their uncertainties such that the resulting slope is maximal or minimal. The resulting maximal or minimal $^3\Lambda$-$^3$He ratios are used to calculate the relative uncertainty on the feed-down contribution given by the difference of the maximum (6.3%) and the minimum (1.1%) feed-down contribution divided by $\sqrt{12}$. The corresponding contribution to the total systematic uncertainty is found to be 1.5% for $^3$He and 0.75% $^3$H.

5 Results

5.1 Transverse momentum spectra

The production yields of (anti-)$^3$He and (anti-)$^3$H as a function of $p_T$ are obtained by multiplying the observed number of candidate nuclei after the statistical subtraction of the contamination ($N_{\text{obs}}$) with the fraction of primary nuclei ($f_{\text{prim}}$) and correcting for the reconstruction efficiency ($\varepsilon$) in each $p_T$ interval. Afterwards the feed-down nuclei from hypertriton decays are subtracted. The corrected number of observed nuclei is divided by the number of selected events ($N_{\text{events}}$), the width of the transverse momentum bins ($\Delta p_T$) and the rapidity interval ($\Delta y$). The resulting $p_T$-differential yields of (anti-)$^3$He and (anti-)$^3$H correspond to the ones in INEL > 0 events because the signal and event loss due to the event selection and the trigger were found to match within less 1% and thus no corrections are applied.

$$\frac{d^2N}{dydp_T} = \frac{1}{\Delta y \Delta p_T N_{\text{events}}} \frac{f_{\text{prim}}(p_T) N_{\text{obs}}(p_T)}{\varepsilon(p_T)}(1 - f_{\text{feed-down}}(p_T))$$

The minimum-bias $p_T$-differential yields of (anti-)$^3$He and (anti-)$^3$H measured in p–Pb collisions at $\sqrt{s_{NN}} = 5.02$ TeV and the corresponding antiparticle-to-particle ratios are shown in Figure 4. The antiparticle-to-particle ratio is consistent with unity within uncertainties. This indicates that matter and antimatter are produced in equal amounts in p–Pb collisions at $\sqrt{s_{NN}} = 5.02$ TeV. This is also observed for other light (anti-)nuclei in different collision systems and center-of-mass energies at the LHC [11, 12]. For the calculation of the systematic uncertainty of the antiparticle-to-particle ratio, the systematic uncertainties on the spectra were propagated, taking into account that some of them are correlated between antiparticles and particles, i.e. the uncertainty linked to the tracking, the material budget, and the feed-down.

The $p_T$ spectra, which are the average of $^3$He and $^3\He$, are summarized in Figure 5 for different multiplicity classes and INEL > 0 events. The $p_T$ spectra of $^3$He and $^3\He$, as well as of $^3$H and $^3\H$ have
Production of (anti-)\(^3\)He and (anti-)\(^3\)H in p–Pb collisions at \(\sqrt{s_{NN}} = 5.02\) TeV

The bottom panels show the corresponding antiparticle-to-particle ratios as a function of \(p_T\). Statistical and systematic uncertainties are indicated by vertical bars and boxes, respectively.

to be extrapolated to the unmeasured regions in order to obtain the integrated yield (dN/dy). For the extrapolation, the measured \(p_T\) spectra are fitted with the following functional forms: \(p_T\)-exponential, \(m_T\)-exponential, Boltzmann, Bose–Einstein, and Fermi–Dirac function.

The extrapolated yield is calculated by integrating each of these functions outside the measured \(p_T\) range and taking the average. The result is added to the integral of the measured spectrum to obtain the total \(p_T\)-integrated yield. For the calculation of the statistical uncertainty on the yield, the transverse momentum spectrum is modified by shifting the data points for different transverse momentum bins independently by random numbers with Gaussian distributions centered around the measured values with a width given by the statistical uncertainties. In addition, the extrapolated yields at \(p_T\) below and above the measured range are varied following a Gaussian function centered at the default value with a width given by the uncertainty on the extrapolated yield. The standard deviation of the distribution of measured yields determines the statistical uncertainty for each functional form fitted.

For the systematic uncertainty of the total yield, for each of the functional forms the part correlated in \(p_T\), i.e. the material budget, the hadronic cross section, feed-down uncertainty, and the uncertainty linked to the estimation of the primary fraction, is treated separately from the remaining uncertainty. It is evaluated as the average difference between the default value and the yield obtained by shifting the measured points up or down by the correlated part of the systematic uncertainties. The remaining part of the total uncertainty, i.e. the uncertainty linked to the track selection, PID, and contamination, is partially uncorrelated between \(p_T\)-bins. Therefore, the Gaussian sampling procedure is also used to evaluate the contributions of these sources to the systematic uncertainty of the \(p_T\)-integrated yield. The contribution for each functional form is given by the sum in quadrature of the uncorrelated and the correlated uncertainty. To obtain the total systematic uncertainty on the integrated yield, the average of the contributions from the different functional forms is calculated and added in quadrature to the uncertainty given by the spread of the values obtained with the different functional forms. The latter is calculated as the difference of the maximum and the minimum yield divided by \(\sqrt{12}\). The extrapolated
Production of (anti-)$^3$He and (anti-)$^3$H in p–Pb collisions at $\sqrt{s_{NN}} = 5.02$ TeV

ALICE Collaboration

Figure 5: Transverse momentum spectra obtained from the average of $^3$He and $^3$He for four different multiplicity classes and $\text{INEL} > 0$ events in p–Pb collisions at $\sqrt{s_{NN}} = 5.02$ TeV. Different scaling factors are used for better visibility. Statistical and systematic uncertainties are indicated by vertical bars and boxes, respectively.

Table 4: Fraction of extrapolated yields below and above the measured $p_T$ interval.

| $^3$He Event class | $p_T < 1.5$ GeV/c | $p_T > 5$ GeV/c |
|--------------------|-----------------|-----------------|
| 0–10%              | (39 ± 5)%       | (2.4 ± 0.8)%    |
| 10–20%             | (46 ± 7)%       | (0.8 ± 0.4)%    |
| 20–40%             | (38 ± 7)%       | (2 ± 1)%        |
| 40–100%            | (55 ± 8)%       | (0.3 ± 0.2)%    |
| INEL > 0           | (43 ± 5)%       | (1.4 ± 0.4)%    |

| $^3$H Event class  | $p_T < 1.5$ GeV/c | $p_T > 3$ GeV/c |
|--------------------|-----------------|-----------------|
| INEL > 0           | (24 ± 13)%      | (38 ± 16)%      |

Based on the extrapolation, the mean transverse momentum ($\langle p_T \rangle$) of the average $^3$He and $^3$He yields is calculated for the different multiplicity classes. The statistical and systematic uncertainties on $\langle p_T \rangle$ are calculated in a similar way as for the integrated yield. The result is shown and compared with the $\langle p_T \rangle$ measured in pp collisions at $\sqrt{s} = 7$ TeV [11] and in Pb–Pb collisions at $\sqrt{s_{NN}} = 2.76$ [12] in the left panel of Figure 6. The $\langle p_T \rangle$ measured in p–Pb collisions increases with the mean charged-particle multiplicity density, connecting the measured results in pp [11] and Pb–Pb collisions [12] in a smooth way. This indicates a hardening of the $p_T$ spectra with increasing mean charged-particle multiplicity density, which might be caused by production in jets [35] or by collective expansion effects [24]. The latter would also result in a shift of the maximum of the $p_T$ distribution, which cannot be observed in the present measurements due to the limited statistical precision.

If the system evolves following a hydrodynamic expansion, the mean transverse momentum of different particle species should follow a mass ordering, as a result of the radial flow. In the right panel of Figure 6 the $\langle p_T \rangle$ as a function of the particle mass is shown for different mean charged-particle multiplicity densities. For similar $\langle dN_{ch}/d\eta_{lab} \rangle$, a clear mass ordering is observed for the different particle species.
The measurements for the nuclei prefer a scaling different than linear or with a different offset compared to the results for π, K, p, Λ [24], Ξ, and Ω [33].

### 5.2 Ratio to protons

The ratio of the integrated yields of (anti-)$^3$He to those of (anti-)protons ($^3$He/p) is calculated for the four multiplicity classes used in this analysis, while the yield ratio of (anti)-$^3$H to (anti-)protons ($^3$H/p) is calculated for INEL > 0 p–Pb collisions at $\sqrt{s_{NN}} = 5.02$ TeV. The $p_T$-integrated proton yields are taken from [24]. The $^3$He/p and the $^3$H/p ratios are shown as a function of the mean charged-particle multiplicity density in Figure 7, together with the ones from pp collisions at $\sqrt{s} = 7$ TeV [11] and from Pb–Pb collisions at $\sqrt{s_{NN}} = 2.76$ TeV [12]. The measured ratio is larger in Pb–Pb collisions with respect to pp collisions. The value measured in central Pb–Pb collisions is consistent with the prediction of the grand canonical version of the SHM [1, 36]. The results obtained in p–Pb collisions show an increasing trend as a function of the mean charged-particle multiplicity density and indicate a smooth transition from pp to Pb–Pb collisions.

In Figure 7 the data are compared to the expectations from the Canonical Statistical hadronization Model (CSM) [8] and two coalescence approaches [37]. The trend observed in the data can be qualitatively reproduced over the full multiplicity range using the CSM approach, which is based on exact conservation of charges across the correlation volume $V_c$ [8]. The predictions were calculated using a temperature $T = 155$ MeV and a correlation volume extending across one unit ($V_c = dV/|d\eta|$) and three units ($V_c = 3dV/|d\eta|$) of rapidity. The temperature value is constrained by the ratio measured in Pb–Pb collisions [12]. It is very close to the chemical freeze out temperature which results in the best description by the grand canonical SHM [1] of the ALICE measurements of the integrated yields of particles measured in most-central Pb–Pb collisions. For the mean charged-particle multiplicity density region covered by the results obtained in Pb–Pb collisions, the CSM has reached the grand canonical limit and, thus, matches the version of the SHM using the grand canonical ensemble.
Production of (anti-)$^3$He and (anti-)$^3$H in p–Pb collisions at $\sqrt{s_{NN}} = 5.02$ TeV

The $^3$He/p and $^3$H/p ratios measured in p–Pb collisions, which cover the gap in the multiplicity between the existing measurements in pp and Pb–Pb collisions, favour a small correlation volume $V_c = \frac{dV}{dy}$, while the ratios of the deuteron to the proton yield measured in pp collisions are more compatible with a larger correlation volume [8]. The $^3$He/p ratio as a function of the mean charged-particle multiplicity density has a similar trend as the $d/p$ ratio. However, the increase between the pp and the Pb–Pb results is about a factor of 3–4 larger for $^3$He/p than for $d/p$ [34]. The simplified version of the CSM presented in this paper, which assumes a constant freeze-out temperature as a function of the system size, shows some tensions with data for the $p/\pi$ and $K/\pi$ ratios and fails to describe the measured $\phi/\pi$ ratio [38].

With increasing mean charged-particle multiplicity density, the number of protons and neutrons produced in the collision also increases. The more protons and neutrons are available, the more likely nucleons can be close enough in phase space to form a nucleus. Therefore, an increasing trend for the $^3$He/p ratio as a function of the mean charged-particle multiplicity density is expected in the coalescence approach. The measured ratio is compared to coalescence predictions [37] which take the radii of the source and the emitted nucleus into account. The case of three-body coalescence, where the nuclei are directly produced from protons and neutrons, as well as the expectation for two-body coalescence, where an intermediate formation of a deuteron is needed, are shown. For both coalescence approaches, the theoretical uncertainties are given by the uncertainty on the emission source radius. Both calculations are in overall agreement with the data at low and intermediate multiplicities while they underestimate the experimental results for higher multiplicities. The measured $^3$He/p ratio shows a slight preference for the two-body coalescence approach, even though this is not yet conclusive due to the uncertainties on both the data and the theoretical description.

5.3 Coalescence parameter ($B_3$)

Following Equation 1, the coalescence parameter $B_3$ is obtained from the invariant yields of $^3$He or $^3$H and protons and is shown in Figure 8 as a function of the transverse momentum per nucleon.
Production of (anti-)\(^3\)He and (anti-)\(^3\)H in p–Pb collisions at \(\sqrt{s_{NN}} = 5.02\) TeV

**Figure 8:** Top: The coalescence parameter \(B_3\) calculated using the average of \(\text{INEL} > 0\) \(^3\)He and \(^3\)He yields is shown together with the corresponding result for the average of the \(^3\)H and \(^3\)H yields. Bottom: The \(^3\)H/\(^3\)He yield ratio is shown together with the expectation values from three coalescence approaches [5, 37]. The uncertainties of the coalescence calculations, which are due to the theoretical uncertainties on the emission source radius, are denoted as shaded bands. Statistical and systematic uncertainties are indicated by vertical bars and boxes, respectively.

The ratio of the yields of (anti-)\(^3\)H and (anti-)\(^3\)He (\(^3\)H/\(^3\)He), which is shown in the bottom panel of Figure 8, is expected to be consistent with unity according to a naive coalescence approach. In more advanced coalescence calculations, that take into account the size of the emitting source and the nucleus, this ratio is expected to be above unity [5, 37]. The difference in the coalescence expectations is mainly due to a different parametrization of the source radius as a function of the mean charged-particle multiplicity density. Another source of differences between the two coalescence approaches is the use of slightly different values for the radius of \(^3\)H.

The ratio is found to be in slightly better agreement with the coalescence expectations than with unity. In the SHM approach the \(^3\)H/\(^3\)He ratio is expected to be consistent with unity. Thus, this observable is potentially useful not only to discriminate between different implementations of the coalescence approach but also with respect to SHMs. The increase of the \(^3\)H/\(^3\)He yield ratio with \(p_T/A\) observed in data is not reflected in the theoretical predictions.

The coalescence parameter \(B_3\) for \(^3\)He calculated for the four multiplicity classes analyzed is shown in Figure 9 as a function of the transverse momentum per nucleon. A rising trend of \(B_3\) with \(p_T/A\) is observed in all multiplicity classes, contrary to the expectations of the naive coalescence approach, which predicts a constant \(B_3\). This behaviour can at least be partially understood by the effect coming from the hardening of the proton spectra with increasing mean charged-particle multiplicity density as explained in [39]. According to this, the coalescence parameter obtained in a wider charged-particle multiplicity interval develops an increasing trend with \(p_T/A\) even though the coalescence parameter is flat in each small sub-interval. Due to the limited statistical precision, the multiplicity intervals used in this analysis are large and thus a residual effect from the change of the proton spectra inside the multiplicity intervals could cause the observed increase for the sub-intervals. Another explanation would be an increased coalescence probability in jets, which could cause a larger coalescence parameter at higher \(p_T\). A detailed study of this effect would require to reduce the size of the multiplicity intervals and, thus, a larger data set.
Production of (anti-)\(^3\)He and (anti-)\(^3\)H in p–Pb collisions at \(\sqrt{s_{NN}} = 5.02\) TeV

**Figure 9:** The coalescence parameter \(B_3\) calculated with the average of \(^3\)He and \(^3\)H is shown for four multiplicity classes together with the INEL > 0 result. For better visibility, the distributions are scaled by different factors. Statistical and systematic uncertainties are indicated by vertical bars and boxes, respectively.

**Figure 10:** The coalescence parameter \(B_3\), calculated using the average of \(^3\)He and \(^3\)He, is shown as a function of the mean charged-particle multiplicity density for \(p_T/A = 0.73\) GeV/c (left) and \(p_T/A = 0.90\) GeV/c (right). The coalescence parameter is shown with its statistical (line) and systematical (shaded area) uncertainties. In addition, the expectations from the coalescence and the SHM plus Blast-Wave approaches are shown [5, 8].

The multiplicity dependence of \(B_3\) is compared to theoretical model calculations for \(p_T/A = 0.73\) GeV/c and \(p_T/A = 0.90\) GeV/c in Figure 10. The \(B_3\) values for the measurements in pp, p–Pb, and Pb–Pb [11, 12] collisions are shown as a function of the mean charged-particle multiplicity density. In addition, the expected values for the coalescence approach taken from [5] are shown for two different parametrizations of the source radius as a function of the mean charged-particle multiplicity density. The two parametrizations can be understood as an indication of the validity band of the model description, which is expected to be more constrained with future measurements. The measurements are compared to the expected values for the grand canonical version of the SHM, the GSI-Heidelberg model [1, 36], assuming that the transverse momentum shape is given by a Blast-Wave parametrization obtained by a simultaneous fit to the pion, kaon, and proton spectra measured in Pb–Pb collisions [40]. Since this model
uses a grand-canonical description, it is applicable only for high mean charged-particle multiplicity densities. If canonical suppression is taken into account, the expected \( B_3 \) deviates from the grand canonical value, as indicated in Figure 10 by exchanging the GSI-Heidelberg model with the CSM, Thermal-FIST [8]. The change to the canonical ensemble description extends the applicability of the model to intermediate mean charged-particle multiplicity densities. In the low mean charged-particle multiplicity density region, the assumption that the \( p_T \) shape of the nuclei follows the Blast-Wave parametrization breaks down. This is reflected by the larger deviation of the CSM plus Blast-Wave curve from the measured result in pp collisions for \( p_T/A = 0.73 \text{ GeV/c} \) compared to \( p_T/A = 0.90 \text{ GeV/c} \).

The best description of the coalescence parameter \( B_3 \) is given by the coalescence expectation for low mean charged-particle multiplicity densities and by the SHM for higher mean charged-particle multiplicity densities. The measurement of \( B_3 \) presented in this paper indicates a smooth transition between the regimes that are described by the two different approaches.

5.4 Upper limit on the \( ^4\text{He} \) production

An upper limit on the \( ^4\text{He} \) production in p–Pb collisions at \( \sqrt{s_{NN}} = 5.02 \text{ TeV} \) is estimated. The limit is based on the non-observation of \( ^4\text{He} \) candidates using the same track selection criteria as for \( ^3\text{He} \), except for the maximum distance-of-closest approach to the primary vertex. The DCA\( _{xy} \) is required to be smaller than 2.4 cm, while the DCA\( _z \) smaller than 3.2 cm.

The identification of \( ^4\text{He} \) is based on the time-of-flight, measured by the TOF detector, and the specific energy loss \( dE/dx \) in the TPC. These measurements are required to be within \( \pm 5\sigma _{\text{TOF}} \) and \( \pm 3\sigma _{\text{TPC}} \) from the expected values. The analysis is performed in the transverse momentum interval \( 2 \leq p_T < 10 \text{ GeV/c} \).

![Figure 11](image)

**Figure 11:** Left: The distribution of the specific ionization energy loss \( dE/dx \) in the TPC for candidate tracks compared to the expected one for \( ^4\text{He} \), \( n_{\text{TPC}} \), in the \( p_T \) range from 2 GeV/c to 10 GeV/c. The background is fitted with a Gaussian function (red line). Right: The production yield \( dN/dy \) corrected for the spin degeneracy factor \( (2J + 1) \) as a function of the mass number for minimum-bias p–Pb collisions at \( \sqrt{s_{NN}} = 5.02 \text{ TeV} \) is shown. The empty boxes represent the total systematic uncertainty while the statistical uncertainties are shown by the vertical bars. The line represents a fit with an exponential function.

The left panel of Figure 11 shows the distribution of the specific energy loss compared to the expected one for \( ^4\text{He} \) \( (n_{\text{TPC}}) \) after the pre-selection using the TOF. The distribution at \( n_{\text{TPC}} < -3 \), corresponding to \( ^3\text{He} \) candidates, is fitted with a Gaussian function and extrapolated to the signal region, defined by the range \([-3, 3]\). The expected background in the signal region is \( 1 \times 10^{-5} \). The expected background
and the non-observation of candidates in the signal region are used to calculate the upper limit at 90% confidence level using the Feldmann-Cousins approach [41]. The resulting number is corrected for the product of the acceptance and the reconstruction efficiency, the rapidity range, and the number of events selected. The product of the acceptance and the reconstruction efficiency was obtained as the average of the values found in smaller PT intervals weighted with the expected shape of the spectrum of 4He. For the latter, a mT-expontential parametrization of the 4He PT-spectrum, with parameters identical to those of 3He except for the mass, which is set equal to the 3He mass, was used. The obtained value for the upper limit is extrapolated to the full PT range using the mT-expontential parametrization of the 4He PT-spectrum. A systematic uncertainty of 20%, similar to that of the measurement in Pb–Pb collisions at √sNN = 2.76 TeV [42], is taken into account following the procedure described in [43,44].

The upper limit on the 4He total yield (dN/dy) in p–Pb collisions at √sNN = 5.02 TeV is found to be 2.3 × 10−8 at 90% confidence level. The upper limit is shown in the right panel of Figure 11 together with the measured dN/dy corrected for the spin degeneracy factor, 2J + 1, of (anti-)protons [24], (anti-)deuterons [34], and (anti-)3He. The upper limit is compatible with a penalty factor, i.e. the suppression of the yield for each additional nucleon, of 668 ± 45 obtained by fitting the measurements of the proton, deuteron, and 3He yields with an exponential function. The value of the penalty factor is consistent with the one obtained in previous measurements [34]. Taking into account this penalty factor, the expected yield of (anti-)3He is about 8 × 10−10.

6 Conclusions

The PT-differential yields of 3H and 3He nuclei and their anti-nuclei were measured in p–Pb collisions at √sNN = 5.02 TeV. For (anti-)3He, the production was studied in different classes of mean charged-particle multiplicity density.

For the first time, a consistent comparison between experimental results and the canonical statistical hadronization model as well as the coalescence calculations was done for the same observable. The 3He/p ratio measured in p–Pb collisions at √sNN = 5.02 TeV bridges the gap between existing measurements in pp and Pb–Pb collisions and is overall in good agreement with the theoretical descriptions. Despite the agreement of the measurement and the CSM model, there is some tension due to the bad matching of the predicted and measured p/π and K/π ratios and the failure to describe the measured φ/π ratio. The coalescence approach has some difficulties to describe the measurements at high mean charged-particle multiplicity densities.

The coalescence parameter is measured as a function of the transverse momentum per nucleon. The result presented in this paper cannot give a clear answer to the question about the origin of the increasing trend with PT/A observed for the different multiplicity classes. The coalescence parameter B3 is also measured as a function of the mean charged-particle multiplicity density and compared to expectations from the grand canonical and the canonical versions of the SHM. The use of the Blast-Wave parametrizations to define the PT shape breaks down for low multiplicities, which leads to larger discrepancies between the CSM and the measurements for the two PT/A intervals shown. In addition, the measurements are compared to the coalescence expectations for two different parametrizations of the source radius as a function of the mean charged-particle multiplicity density. The presented measurements are in agreement with the coalescence description as well as the SHM description within theoretical and experimental uncertainties. The data indicate a smooth transition between the regimes described best by the coalescence approach and the Statistical Hadronization Model.

These measurements provide the possibility to test the dependence of the production rate on the nuclear radius, for the first time by direct comparison of isospin partner nuclei in the same data set at the LHC. The 3H/3He ratio is sensitive to the production mechanism within the coalescence approach. The measurement presented in this paper deviates from unity and, therefore, slightly favours the coalescence
description including the dependence on the radii of the nucleus and the emitting source but it is not conclusive yet due to the large uncertainties.

An upper limit on the total production yield of $^4\text{He}$ in p–Pb collisions at $\sqrt{s_{\text{NN}}} = 5.02$ TeV was found which is about two orders of magnitude above the expected result obtained from the exponential fit of the proton, deuteron, and $^3\text{He}$ yields.
Acknowledgements

The ALICE Collaboration would like to thank all its engineers and technicians for their invaluable contributions to the construction of the experiment and the CERN accelerator teams for the outstanding performance of the LHC complex. The ALICE Collaboration gratefully acknowledges the resources and support provided by all Grid centres and the Worldwide LHC Computing Grid (WLCG) collaboration. The ALICE Collaboration acknowledges the following funding agencies for their support in building and running the ALICE detector: A. I. Alikhanyan National Science Laboratory (Yerevan Physics Institute) Foundation (ANSL), State Committee of Science and World Federation of Scientists (WFS), Armenia; Austrian Academy of Sciences, Austrian Science Fund (FWF): [M 2467-N36] and Nationalstiftung für Forschung, Technologie und Entwicklung, Austria; Ministry of Communications and High Technologies, National Nuclear Research Center, Azerbaijan; Conselho Nacional de Desenvolvimento Científico e Tecnológico (CNPq), Financiadora de Estudos e Projetos (Finep), Fundação de Amparo à Pesquisa do Estado de São Paulo (FAPESP) and Universidade Federal do Rio Grande do Sul (UFRGS), Brazil; Ministry of Education of China (MOEC), Ministry of Science & Technology of China (MSTC) and National Natural Science Foundation of China (NSFC), China; Ministry of Science and Education and Croatian Science Foundation, Croatia; Centro de Aplicaciones Tecnológicas y Desarrollo Nuclear (CEADEN), Cubaenergía, Cuba; Ministry of Education, Youth and Sports of the Czech Republic, Czech Republic; The Danish Council for Independent Research | Natural Sciences, the VILLUM FONDEN and Danish National Research Foundation (DNRF), Denmark; Helsinki Institute of Physics (HIP), Finland; Commissariat à l’Énergie Atomique (CEA), Institut National de Physique Nucléaire et de Physique des Particules (IN2P3) and Centre National de la Recherche Scientifique (CNRS) and Région des Pays de la Loire, France; Bundesministerium für Bildung und Forschung (BMBF) and GSI Helmholtzzentrum für Schwerionenforschung GmbH, Germany; General Secretariat for Research and Technology, Ministry of Education, Research and Religion, Greece; National Research, Development and Innovation Office, Hungary; Department of Atomic Energy Government of India (DAE), Department of Science and Technology, Government of India (DST), University Grants Commission, Government of India (UGC) and Council of Scientific and Industrial Research (CSIR), India; Indonesian Institute of Science, Indonesia; Centro Fermi - Museo Storico della Fisica e Centro Studi e Ricerche Enrico Fermi and Istituto Nazionale di Fisica Nucleare (INFN), Italy; Institute for Innovative Science and Technology, Nagasaki Institute of Applied Science (IIST), Japanese Ministry of Education, Culture, Sports, Science and Technology (MEXT) and Japan Society for the Promotion of Science (JSPS) KAKENHI, Japan; Consejo Nacional de Ciencia y Tecnología, through Fondo de Cooperación Internacional en Ciencia y Tecnología (FONCICYT) and Dirección General de Asuntos del Personal Académico (DGAPA), Mexico; Nederlandse Organisatie voor Wetenschappelijk Onderzoek (NWO), Netherlands; The Research Council of Norway, Norway; Commission on Science and Technology for Sustainable Development in the South (COMSATS), Pakistan; Pontificia Universidad Católica del Perú, Peru; Ministry of Science and Higher Education and National Science Centre, Poland; Korea Institute of Science and Technology Information and National Research Foundation of Korea (NRF), Republic of Korea; Ministry of Education and Scientific Research, Institute of Atomic Physics and Ministry of Research and Innovation and Institute of Atomic Physics, Romania; Joint Institute for Nuclear Research (JINR), Ministry of Education and Science of the Russian Federation, National Research Centre Kurchatov Institute, Russian Science Foundation and Russian Foundation for Basic Research, Russia; Ministry of Education, Science, Research and Sport of the Slovak Republic, Slovakia; National Research Foundation of South Africa, South Africa; Swedish Research Council (VR) and Knut & Alice Wallenberg Foundation (KAW), Sweden; European Organization for Nuclear Research, Switzerland; Suranaree University of Technology (SUT), National Science and Technology Development Agency (NSDTA) and Office of the Higher Education Commission under NRU project of Thailand, Thailand; Turkish Atomic Energy Agency (TAEK), Turkey; National Academy of Sciences of Ukraine, Ukraine; Science and Technology Facilities Council (STFC), United Kingdom; National Science Foundation of the United States of America (NSF) and United States
Production of (anti-)$^3$He and (anti-)$^3$H in p–Pb collisions at $\sqrt{s_{NN}} = 5.02$ TeV

ALICE Collaboration

References

[1] A. Andronic, P. Braun-Munzinger, K. Redlich, and J. Stachel, “Decoding the phase structure of QCD via particle production at high energy”, Nature 561 no. 7723, (2018) 321–330, arXiv:1710.09425 [nucl-th].

[2] P. Braun-Munzinger, K. Redlich, and J. Stachel, “Particle production in heavy ion collisions”, in Quark Gluon Plasma 3, R. C. Hwa and X.N. Wang, ed., pp. 491–599. World Scientific, Singapore, 2003. arXiv:nucl-th/0304013 [nucl-th].

[3] J. I. Kapusta, “Mechanisms for deuteron production in relativistic nuclear collisions”, Phys. Rev. C21 (1980) 1301–1310.

[4] R. Scheibl and U. W. Heinz, “Coalescence and flow in ultrarelativistic heavy ion collisions”, Phys. Rev. C59 (1999) 1585–1602, arXiv:nucl-th/9809092 [nucl-th].

[5] F. Bellini and A. P. Kalweit, “Testing coalescence and statistical-thermal production scenarios for (anti-(hyper-)nuclei and exotic QCD objects at energies available at the CERN Large Hadron Collider”, Phys. Rev. C99 no. 5, (2019) 054905, arXiv:1807.05894 [hep-ph].

[6] P. Braun-Munzinger and J. Stachel, “Production of strange clusters and strange matter in nucleus-nucleus collisions at the AGS”, J. Phys. G21 (1995) L17–L20, arXiv:nucl-th/9412035 [nucl-th].

[7] J. Steinheimer, K. Gudima, A. Botvina, I. Mishustin, M. Bleicher, and H. Stocker, “Hypernuclei, dibaryon and antinuclei production in high energy heavy ion collisions: Thermal production versus Coalescence”, Phys. Lett. B714 (2012) 85–91, arXiv:1203.2547 [nucl-th].

[8] V. Vovchenko, B. Dönigus, and H. Stoecker, “Multiplicity dependence of light nuclei production at LHC energies in the canonical statistical model”, Phys. Lett. B785 (2018) 171–174, arXiv:1808.05245 [hep-ph].

[9] P. Braun-Munzinger and B. Dönigus, “Loosely-bound objects produced in nuclear collisions at the LHC”, Nucl. Phys. A987 (2019) 144–201, arXiv:1809.04681 [nucl-ex].

[10] S. Mrowczynski, “Production of light nuclei in the thermal and coalescence models”, Acta Phys. Polon. B48 (2017) 707, arXiv:1607.02267 [nucl-th].

[11] ALICE Collaboration, S. Acharya et al., “Production of deuterons, tritons, $^3$He nuclei and their antinuclei in pp collisions at $\sqrt{s} = 0.9, 2.76$ and $7$ TeV”, Phys. Rev. C97 no. 2, (2018) 024615, arXiv:1709.08522 [nucl-ex].

[12] ALICE Collaboration, J. Adam et al., “Production of light nuclei and anti-nuclei in pp and Pb–Pb collisions at energies available at the CERN Large Hadron Collider”, Phys. Rev. C93 no. 2, (2016) 024917, arXiv:1506.08951 [nucl-ex].

[13] A. Kounine, “The Alpha Magnetic Spectrometer on the International Space Station”, Int. J. Mod. Phys. E21 no. 08, (2012) 1230005.

[14] K. Blum, K. C. Y. Ng, R. Sato, and M. Takimoto, “Cosmic rays, antihelium, and an old navy spotlight”, Phys. Rev. D96 no. 10, (2017) 103021, arXiv:1704.05431 [astro-ph.HE].

[15] ALICE Collaboration, K. Aamodt et al., “The ALICE experiment at the CERN LHC”, JINST 3 (2008) S08002.
Production of (anti-)\(^3\)He and (anti-)\(^3\)H in p–Pb collisions at \(\sqrt{s_{NN}} = 5.02\) TeV

ALICE Collaboration

[16] ALICE Collaboration, B. Abelev et al., “Performance of the ALICE Experiment at the CERN LHC”, *Int. J. Mod. Phys.* **A29** (2014) 1430044, arXiv:1402.4476 [nucl-ex].

[17] ALICE Collaboration, K. Aamodt et al., “Alignment of the ALICE Inner Tracking System with cosmic-ray tracks”, *JINST* **5** (2010) P03003, arXiv:1001.0502 [physics.ins-det].

[18] J. Alme et al., “The ALICE TPC, a large 3-dimensional tracking device with fast readout for ultra-high multiplicity events”, *Nucl. Instrum. Meth.* **A622** (2010) 316–367, arXiv:1001.1950 [physics.ins-det].

[19] A. Akindinov et al., “Performance of the ALICE Time-Of-Flight detector at the LHC”, *Eur. Phys. J. Plus* **128** (2013) 44.

[20] ALICE Collaboration, S. Acharya et al., “Transverse momentum spectra and nuclear modification factors of charged particles in pp, p–Pb and Pb–Pb collisions at the LHC”, *JHEP* **11** (2018) 013, arXiv:1802.09145 [nucl-ex].

[21] ALICE Collaboration, P. Cortese et al., ALICE forward detectors: FMD, TO and VO: Technical Design Report. Technical Design Report ALICE. CERN, Geneva, 2004. https://cds.cern.ch/record/781854. Submitted on 10 Sep 2004.

[22] ALICE Collaboration, J. Adam et al., “Determination of the event collision time with the ALICE detector at the LHC”, *Eur. Phys. J. Plus* **132** no. 2, (2017) 99, arXiv:1610.03055 [physics.ins-det].

[23] ALICE Collaboration, E. Abbas et al., “Performance of the ALICE VZERO system”, *JINST* **8** (2013) P10016, arXiv:1306.3130 [nucl-ex].

[24] ALICE Collaboration, B. Abelev et al., “Multiplicity Dependence of Pion, Kaon, Proton and Lambda Production in p–Pb Collisions at \(\sqrt{s_{NN}} = 5.02\) TeV”, *Phys. Lett.* **B728** (2014) 25–38, arXiv:1307.6796 [nucl-ex].

[25] T. Pierog, I. Karpenko, J. M. Katzy, E. Yatsenko, and K. Werner, “EPOS LHC: Test of collective hadronization with data measured at the CERN Large Hadron Collider”, *Phys. Rev.* **C92** no. 3, (2015) 034906, arXiv:1306.0121 [hep-ph].

[26] R. Brun, F. Bruyant, F. Carminati, S. Giani, M. Maire, A. McPherson, G. Patrick, and L. Urban, “GEANT Detector Description and Simulation Tool”,. https://doi.org/10.17181/CERN.MUHF.DM1

[27] H. Kamada, J. Golak, K. Miyagawa, H. Witala, and W. Gloeckle, “Pi mesonic decay of the hypertriton”, *Phys. Rev.* **C57** (1998) 1595–1603, arXiv:nucl-th/9709035 [nucl-th].

[28] ALICE Collaboration, J. Adam et al., “\(^3\)H and \(^3\)\(^\Lambda\)H production in Pb–Pb collisions at \(\sqrt{s_{NN}} = 2.76\) TeV”, *Phys. Lett.* **B754** (2016) 360–372, arXiv:1506.08453 [nucl-ex].

[29] S. P. Denisov, S. V. Donskov, Yu. P. Gorin, V. A. Kachanov, V. M. Kuitjin, A. I. Petrukhin, Yu. D. Prokoshkin, E. A. Razuvayev, R. S. Shuvalov, and D. A. Stojanova, “Measurements of anti-deuteron absorption and stripping cross sections at the momentum 13.3 GeV/c”, *Nucl. Phys.* **B31** (1971) 253–260.

[30] F. G. Binon et al., “Absorption cross-sections of 25 GeV/c antideuterons in Li, C, Al, Cu and Pb”, *Phys. Lett.* **31B** (1970) 230–232.

[31] GEANT4 Collaboration, S. Agostinelli et al., “GEANT4: A Simulation toolkit”, *Nucl. Instrum. Meth.* **A506** (2003) 250–303.
Production of (anti-)$^3$He and (anti-)$^3$H in p–Pb collisions at $\sqrt{s_{NN}} = 5.02$ TeV

ALICE Collaboration

[32] V. Uzhinsky, J. Apostolakis, A. Galoyan, G. Folger, V. M. Grichine, V. N. Ivanchenko, and D. H. Wright, “Antinucleus-nucleus cross sections implemented in Geant4”, Phys. Lett. B705 (2011) 235–239.

[33] ALICE Collaboration, J. Adam et al., “Multi-strange baryon production in p-Pb collisions at $\sqrt{s_{NN}} = 5.02$ TeV”, Phys. Lett. B758 (2016) 389–401, arXiv:1512.07227 [nucl-ex].

[34] ALICE Collaboration, S. Acharya et al., “Multiplicity dependence of light (anti-)nuclei production in p–Pb collisions at $\sqrt{s_{NN}} = 5.02$ TeV”, arXiv:1906.03136 [nucl-ex].

[35] ALICE Collaboration, S. Acharya et al., “Charged-particle production as a function of multiplicity and transverse spherocity in pp collisions at $\sqrt{s} = 5.02$ and 13 TeV”, arXiv:1905.07208 [nucl-ex].

[36] A. Andronic, P. Braun-Munzinger, J. Stachel, and H. Stocker, “Production of light nuclei, hypernuclei and their antiparticles in relativistic nuclear collisions”, Phys. Lett. B697 (2011) 203–207, arXiv:1010.2995 [nucl-th].

[37] K.-J. Sun, C. M. Ko, and B. Dönigus, “Suppression of light nuclei production in collisions of small systems at the Large Hadron Collider”, Phys. Lett. B792 (2019) 132–137, arXiv:1812.05175 [nucl-th].

[38] V. Vovchenko, B. Dönigus, and H. Stoecker, “Canonical statistical model analysis of p-p, p–Pb, and Pb–Pb collisions at the LHC”, arXiv:1906.03145 [hep-ph].

[39] ALICE Collaboration, S. Acharya et al., “Multiplicity dependence of (anti-)deuteron production in pp collisions at $\sqrt{s} = 7$ TeV”, Phys. Lett. B794 (2019) 50–63, arXiv:1902.09290 [nucl-ex].

[40] ALICE Collaboration, B. Abelev et al., “Centrality dependence of $\pi, K, p$ production in Pb–Pb collisions at $\sqrt{s_{NN}} = 2.76$ TeV”, Phys. Rev. C88 (2013) 044910, arXiv:1303.0737 [hep-ex].

[41] G. J. Feldman and R. D. Cousins, “A Unified approach to the classical statistical analysis of small signals”, Phys. Rev. D57 (1998) 3873–3889, arXiv:physics/9711021 [physics.data-an].

[42] ALICE Collaboration, S. Acharya et al., “Production of $^4$He and $^4$He in Pb–Pb collisions at $\sqrt{s_{NN}} = 2.76$ TeV at the LHC”, Nucl. Phys. A971 (2018) 1–20, arXiv:1710.07531 [nucl-ex].

[43] J. Conrad, O. Botner, A. Hallgren, and C. Perez de los Heros, “Including systematic uncertainties in confidence interval construction for Poisson statistics”, Phys. Rev. D67 (2003) 012002, arXiv:hep-ex/0202013 [hep-ex].

[44] G. C. Hill, “Comment on ‘Including systematic uncertainties in confidence interval construction for Poisson statistics’”, Phys. Rev. D67 (2003) 118101, arXiv:physics/0302057 [physics].
| Collaboration Institutes                                                                 | Affiliation notes |
|-----------------------------------------------------------------------------------------|-------------------|
| A.I. Alikhanyan National Science Laboratory (Yerevan Physics Institute) Foundation, Yerevan, Armenia | 1 Deceased        |
| Bogolyubov Institute for Theoretical Physics, National Academy of Sciences of Ukraine, Kiev, Ukraine |                  |
| Bose Institute, Department of Physics and Centre for Astroparticle Physics and Space Science (CAPSS), Kolkata, India |                  |
| Budker Institute for Nuclear Physics, Novosibirsk, Russia                                |                  |
| California Polytechnic State University, San Luis Obispo, California, United States      |                  |
| Central China Normal University, Wuhan, China                                            |                  |
| Centre de Calcul de l’IN2P3, Villeurbanne, Lyon, France                                |                  |
| Centro de Aplicaciones Tecnológicas y Desarrollo Nuclear (CEADEN), Havana, Cuba         |                  |
| Centro de Investigación y de Estudios Avanzados (CINVESTAV), Mexico City and Mérida, Mexico |                  |
| Centro Fermi - Museo Storico della Fisica e Centro Studi e Ricerche “Enrico Fermi”, Rome, Italy |                  |
| Chicago State University, Chicago, Illinois, United States                             |                  |
| China Institute of Atomic Energy, Beijing, China                                         |                  |
| Collabation Institutes                                                                   |                  |
| J. Saini141, S. Sakai133, S. Sambyal100, V. Samsonov97,92, D. Sarkar143, N. Sarkar141, P. Sarma41, V.M. Sarti104, M.H.P. Sas63, E. Scaparone53, B. Schaefer29, J. Schambach119, H.S. Scheid68, C. Schiaua17, R. Schicker103, A. Schmal10, C. Schmidt106, H.R. Schmidt102, M.O. Schmidt103, M. Silvermyr130, N.V. Schmidt89,95, A.R. Schmier130, J. Schukraft38, Y. Schutz136,33, K. Schwarcz106, K. Schweda100, G. Sciolli26, E. Scomparin58, M. Šefčík17, J.E. Seguer9, Y. Sekiguchi132, D. Sekihata112, I. Selyuzhenkov106,92, S. Senyukov130, D. Serebreisky62, E. Serradilla71, A. Sevcenco67, A. Shabanov62, A. Shabetai114, R. Shahoyan33, W. Shlaik109, A. Shangarayev80, A. Sharma99, A. Sharma100, H. Sharma118, M. Sharma100, N. Sharma99, A.I. Sheikh141, K. Shigaki45, M. Shimomura82, S. Shrinkin121, Q. Shou99, Y. Sibiriak87, S. Siddhanta54, T. Siemiarczuk84, D. Silvermyr60, G. Simatovic49, G. Simonetti33,104, R. Singh81, R. Singh100, R. Singh49, V.K. Singh141, V. Singh141, T. Sinha109, B. Sitark13, M. Sitta110, T.B. Skaali20, M. Slupecki126, N. Smirnov146, R.J.M. Snellman63, T.W. Snellman126,43, C. Soncco111, J. Song60,125, A. Songmoolnak115, F. Soramel28, S. Sorensen130, I. Spотовских118, J. Stachel103, I. Stan67, P. Stankus99, P.J. Steffanic130, E. Stenlund90, D. Stocco114, M.M. Storevet135, L.D. Stritto29, A.A.P. Suade121, T. Sugitata45, C. Suire61, M. Suleymanov14, M. Suljic33, R. Sultanov91, M. Šumbera94, S. Sumowidagasto50, S. Swain85, A. Szabo13, I. Szarka13, U. Tabassam14, G. Tuillepped134, J. Takahashi122, G.J. Tamburg11, S. Tang6,134, M. Tarhini114, M.G. Tarzila47, A. Tauro13, G. Tejeda Muñoz44, A. Telesca33, C. Terrevoli125, D. Thakur99, S. Thakur141, D. Thomas119, F. Thoresen88, R. Tieulent135, A. Tikhonov62, A.R. Timmins125, A. Toia68, N. Topilskaya62, M. Toppi51, F. Torales-Acostu19, S.R. Torre9,120, A. Trifiro55, S. Tripathy49, T. Tripathy48, S. Trogolo29, G. Trombetta32, L. Troppa37, V. Trubnikov2, W.H. Trzaska126, T.P. Trzeciński124, B.A. Trzeciak63, T. Tsujii132, A. Tumkin108, R. Turrisi16, T.S. Tveter15, K. Ullaland21, E.N. Umaka125, A. Uras135, G.L. Usta23, A. Utrobočic98, M. Vala71, N. Valle139, S. Valleros58, N. van der Kolk63, L.Y.R. van Doremalen69, M. van Leeuwen93, P. Vande Vyvre33, D. Varga145, Z. Varga45, M. Varga-Kofarago140, A. Vargas94, M. Vasiliev83, A. Vassiliev97, O. Vázquez Doce104,117, V. Vechernin114, A.M. Veen61, E. Vercellini125, S. Vergara Limón14, L. Vernunt63, R. Vernet7, R. Vértesi145, L. Vickovic24, Z. Vilakazi34, O. Villalobos Baillie140, V. Villalobo Tello42, G. Vino97, A. Vinogradov67, T. Virgili29, V. Vlasievičius86, A. Vodopyanov73, B. Volkel18, M.A. Volk102, K. Voloshin91, S.A. Voloshin145, G. Volpe55, B. von Haller134, I. Vorobyev104, D. Voscek14, J. Vrkalová37, B. Wagner1, M. Weber13, S.G. Weber144, A. Wegzryn92, D.F. Weiser103, S.C. Wenzel133, J.P. Wessels144, J. Wiechula68, J. Wikne20, G. Wilk84, J. Wilkinson38,36, G.A. Willums33, E. Willsher109, B. Windelband103, M. Winn137, W.E. Witt30, Y. Wu128, R. Xu9, S. Yalcin77, K. Yamakawa35, S. Yang21, S. Yano137, Z. Yin96, H. Yokoyama63, I.-K. Yoo17, J.H. Yoon60, S. Yuan21, A. Yuncu103, V. Yurchenko2, V. Zaccoduro24, A. Zaman14, C. Zampilli33, H.J.C. Zanoli63,121, N. Zardoshti33, A. Zarorchentsiev122, P. Závada66, N. Zavyalov108, H. Zbrozczcyk142, M. Zhavoronkov97, S. Zhang9, X. Zhang6, Z. Zhang6, V. Zherebchevskii112, D. Zhou6, Y. Zhou88, Z. Zhou21, J. Zhu6,106, Y. Zhu6, A. Zichichi10,26, M.B. Zimmermann33, Z. Zinovjev2, N. Zurlo140 |
Production of (anti-)\(^3\)He and (anti-)\(^3\)H in p–Pb collisions at \(\sqrt{s_{NN}} = 5.02\) TeV

ALICE Collaboration

Comenius University, Bratislava, Faculty of Mathematics, Physics and Informatics, Bratislava, Slovakia

COMSATS University Islamabad, Islamabad, Pakistan

Creighton University, Omaha, Nebraska, United States

Department of Physics, Aligarh Muslim University, Aligarh, India

Department of Physics, Pusan National University, Pusan, Republic of Korea

Department of Physics, Sejong University, Seoul, Republic of Korea

Department of Physics, University of California, Berkeley, California, United States

Department of Physics, University of Oslo, Oslo, Norway

Department of Physics and Technology, University of Bergen, Bergen, Norway

Dipartimento di Fisica dell’Università ‘La Sapienza’ and Sezione INFN, Rome, Italy

Dipartimento di Fisica dell’Università and Sezione INFN, Cagliari, Italy

Dipartimento di Fisica dell’Università and Sezione INFN, Trieste, Italy

Dipartimento di Fisica dell’Università and Sezione INFN, Turin, Italy

Dipartimento di Fisica e Astronomia dell’Università and Sezione INFN, Bologna, Italy

Dipartimento di Fisica e Astronomia dell’Università and Sezione INFN, Catania, Italy

Dipartimento di Fisica e Astronomia dell’Università and Sezione INFN, Padova, Italy

Dipartimento di Fisica ‘E.R. Caianiello’ dell’Università and Gruppo Collegato INFN, Salerno, Italy

Dipartimento DISAT del Politecnico and Sezione INFN, Turin, Italy

Dipartimento di Scienze e Innovazione Tecnologica dell’Università del Piemonte Orientale and INFN Sezione di Torino, Alessandria, Italy

Dipartimento Interateneo di Fisica ‘M. Merlin’ and Sezione INFN, Bari, Italy

European Organization for Nuclear Research (CERN), Geneva, Switzerland

Faculty of Electrical Engineering, Mechanical Engineering and Naval Architecture, University of Split, Split, Croatia

Faculty of Engineering and Science, Western Norway University of Applied Sciences, Bergen, Norway

Faculty of Nuclear Sciences and Physical Engineering, Czech Technical University in Prague, Prague, Czech Republic

Faculty of Science, P.J. Šafářik University, Košice, Slovakia

Frankfurt Institute for Advanced Studies, Johann Wolfgang Goethe-Universität Frankfurt, Frankfurt, Germany

Fudan University, Shanghai, China

Gangneung-Wonju National University, Gangneung, Republic of Korea

Gauhati University, Department of Physics, Guwahati, India

Helmholtz-Institut für Strahlen- und Kernphysik, Rheinische Friedrich-Wilhelms-Universität Bonn, Bonn, Germany

Helsinki Institute of Physics (HIP), Helsinki, Finland

High Energy Physics Group, Universidad Autónoma de Puebla, Puebla, Mexico

Hiroshima University, Hiroshima, Japan

Hochschule Worms, Zentrum für Technologietransfer und Telekommunikation (ZTT), Worms, Germany

Horia Hulubei National Institute of Physics and Nuclear Engineering, Bucharest, Romania

Indian Institute of Technology Bombay (IIT), Mumbai, India

Indian Institute of Technology Indore, Indore, India

Indonesian Institute of Sciences, Jakarta, Indonesia

INFN, Laboratori Nazionali di Frascati, Frascati, Italy

INFN, Sezione di Bari, Bari, Italy

INFN, Sezione di Bologna, Bologna, Italy

INFN, Sezione di Cagliari, Cagliari, Italy

INFN, Sezione di Catania, Catania, Italy

INFN, Sezione di Padova, Padova, Italy

INFN, Sezione di Roma, Rome, Italy

INFN, Sezione di Torino, Turin, Italy

INFN, Sezione di Trieste, Trieste, Italy

Inha University, Incheon, Republic of Korea

Institut de Physique Nucléaire d’Orsay (IPNO), Institut National de Physique Nucléaire et de Physique des Particules (IN2P3/CNRS), Université de Paris-Sud, Université Paris-Saclay, Orsay, France

Institute for Nuclear Research, Academy of Sciences, Moscow, Russia
Production of (anti-)\(^3\)He and (anti-)\(^3\)H in p–Pb collisions at \(\sqrt{s_{\text{NN}}} = 5.02\) TeV

ALICE Collaboration

63 Institute for Subatomic Physics, Utrecht University/Nikhef, Utrecht, Netherlands
64 Institute of Experimental Physics, Slovak Academy of Sciences, Košice, Slovakia
65 Institute of Physics, Homi Bhabha National Institute, Bhubaneswar, India
66 Institute of Physics of the Czech Academy of Sciences, Prague, Czech Republic
67 Institute of Space Science (ISS), Bucharest, Romania
68 Institut für Kernphysik, Johann Wolfgang Goethe-Universität Frankfurt, Frankfurt, Germany
69 Instituto de Ciencias Nucleares, Universidad Nacional Autónoma de México, Mexico City, Mexico
70 Instituto de Física, Universidade Federal do Rio Grande do Sul (UFRGS), Porto Alegre, Brazil
71 Instituto de Física, Universidad Autónoma de México, Mexico City, Mexico
72 iThemba LABS, National Research Foundation, Somerset West, South Africa
73 Johann-Wolfgang-Goethe Universität Frankfurt Institut für Informatik, Fachbereich Informatik und Mathematik, Frankfurt, Germany
74 Joint Institute for Nuclear Research (JINR), Dubna, Russia
75 Korea Institute of Science and Technology Information, Daejeon, Republic of Korea
76 KTO Karatay University, Konya, Turkey
77 Laboratoire de Physique Subatomique et de Cosmologie, Université Grenoble-Alpes, CNRS-IN2P3, Grenoble, France
78 Lawrence Berkeley National Laboratory, Berkeley, California, United States
79 Lund University Department of Physics, Division of Particle Physics, Lund, Sweden
80 Nagasaki Institute of Applied Science, Nagasaki, Japan
81 Nara Women’s University (NWU), Nara, Japan
82 National and Kapodistrian University of Athens, School of Science, Department of Physics, Athens, Greece
83 National Centre for Nuclear Research, Warsaw, Poland
84 National Institute of Science Education and Research, Homi Bhabha National Institute, Jatni, India
85 National Nuclear Research Center, Baku, Azerbaijan
86 National Research Centre Kurchatov Institute, Moscow, Russia
87 National Institute for Subatomic Physics, Amsterdam, Netherlands
88 National Research Institute of Metrology, Tsukuba, Japan
89 National Research Institute of Standards and Metrology, Budapest, Hungary
90 National Research Council, Ottawa, Canada
91 National Research Council Canada, Ottawa, Canada
92 National Research Council Canada, Ottawa, Canada
93 National Research Council Canada, Ottawa, Canada
94 National Research Council Canada, Ottawa, Canada
95 National Research Council Canada, Ottawa, Canada
96 National Research Council Canada, Ottawa, Canada
97 National Research Council Canada, Ottawa, Canada
98 National Research Council Canada, Ottawa, Canada
99 National Research Council Canada, Ottawa, Canada
100 National Research Council Canada, Ottawa, Canada
101 National Research Council Canada, Ottawa, Canada
102 National Research Council Canada, Ottawa, Canada
103 National Research Council Canada, Ottawa, Canada
104 National Research Council Canada, Ottawa, Canada
105 National Research Council Canada, Ottawa, Canada
106 National Research Council Canada, Ottawa, Canada
107 National Research Council Canada, Ottawa, Canada
108 National Research Council Canada, Ottawa, Canada
109 National Research Council Canada, Ottawa, Canada
110 National Research Council Canada, Ottawa, Canada
111 National Research Council Canada, Ottawa, Canada
112 National Research Council Canada, Ottawa, Canada
113 National Research Council Canada, Ottawa, Canada
114 National Research Council Canada, Ottawa, Canada

28
Production of (anti-)$^3$He and (anti-)$^3$H in p–Pb collisions at $\sqrt{s_{\text{NN}}}$ = 5.02 TeV

ALICE Collaboration

115 Suranaree University of Technology, Nakhon Ratchasima, Thailand
116 Technical University of Košice, Košice, Slovakia
117 Technische Universität München, Excellence Cluster 'Universe', Munich, Germany
118 The Henryk Niewodniczanski Institute of Nuclear Physics, Polish Academy of Sciences, Cracow, Poland
119 The University of Texas at Austin, Austin, Texas, United States
120 Universidad Autónoma de Sinaloa, Culiacán, Mexico
121 Universidade de São Paulo (USP), São Paulo, Brazil
122 Universidade Estadual de Campinas (UNICAMP), Campinas, Brazil
123 Universidade Federal do ABC, Santo Andre, Brazil
124 University of Cape Town, Cape Town, South Africa
125 University of Houston, Houston, Texas, United States
126 University of Jyväskylä, Jyväskylä, Finland
127 University of Liverpool, Liverpool, United Kingdom
128 University of Science and Technology of China, Hefei, China
129 University of South-Eastern Norway, Tonsberg, Norway
130 University of Tennessee, Knoxville, Tennessee, United States
131 University of the Witwatersrand, Johannesburg, South Africa
132 University of Tokyo, Tokyo, Japan
133 University of Tsukuba, Tsukuba, Japan
134 Université Clermont Auvergne, CNRS/IN2P3, LPC, Clermont-Ferrand, France
135 Université de Lyon, Université Lyon 1, CNRS/IN2P3, IPN-Lyon, Villeurbanne, Lyon, France
136 Université de Strasbourg, CNRS, IPHC UMR 7178, F-67000 Strasbourg, France
137 Université Paris-Saclay Centre d’Etudes de Saclay (CEA), IRFU, Département de Physique Nucléaire (DPhN), Saclay, France
138 Università degli Studi di Foggia, Foggia, Italy
139 Università degli Studi di Pavia, Pavia, Italy
140 Università di Brescia, Brescia, Italy
141 Variable Energy Cyclotron Centre, Homi Bhabha National Institute, Kolkata, India
142 Warsaw University of Technology, Warsaw, Poland
143 Wayne State University, Detroit, Michigan, United States
144 Westfälische Wilhelms-Universität Münster, Institut für Kernphysik, Münster, Germany
145 Wigner Research Centre for Physics, Budapest, Hungary
146 Yale University, New Haven, Connecticut, United States
147 Yonsei University, Seoul, Republic of Korea