Synthesis of Cholic Acid-Peptide Conjugates With A Negatively Charged Ester Linkage for Oral Delivery

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Abstract

The progress in oral absorption of protein and peptide drugs has been hampered by the difficulty in transporting larger molecules across the gastrointestinal membrane. We are reporting here a study of the design and synthesis of cholic acid-peptide conjugates for peptide drug delivery through gastrointestinal tract mediated by bile acid transporter. As principal samples, three small cholic acid-peptide conjugates containing a biodegradable ester bond via threonine linker have been synthesized. In order to introduce ester bond between cholic acid and peptides, conventional solid phase synthesis is not practicable, thus solution phase was employed. The threonine moiety was chosen to introduce a hydroxyl group, on which an ester bond can be formed with different peptides. The threonine moiety also provides the necessary negative charge adjacent to the C24 position as required for transport recognizing by the bile acid transporter in the ileal epithelium. The synthetic process was based on DCC chemistry. By using catalytic amounts of N-hydroxysuccinimide the procedure for amide coupling was simplified and shortened while maintaining high efficiency. Esterification using ethyl acetate in the presence of triethylamine selectively introduced an ester bond at the C27 position giving higher yield with no protection on other three hydroxyls. The work also demonstrated that in solution phase synthesis of these peptide conjugates fluoride ion (F−) was more efficient than piperidine to cleave Fmoc from the amino group.

Cell culture experiment showed the efficiencies of transporting of the conjugates and the dissociation of the conjugates detected by HPLC, suggesting that the cholic acid conjugates linked by degradable ester bond can be used as bigger molecule drugs delivering template. Further study would be designed by using more different linkers that would be also biodegradable bonds, such as thioester and so forth.

Keywords: Cholic acid; Peptides; Conjugation; Drug delivery

Abbreviations: DCM: Dichloromethane; DMAP: 4-Dimethylaminopyridine; TEA: Triethylamine; DCC: 1,3-Dicyclohexylcarbodiimide; THF: Tetrahydrofuran; DMF: N,N-Dimethylformamide; Fmoc: 9-Fluorenylmethoxycarbonyl; CA: Cholic Acid; DCM: Dichloromethane; CDE: 1,1’-Carbonyldimiazol; TFA: Trifluoroacetic Acid.

Introduction

Advances in biotechnology have provided a wide range of therapeutically active and commercially available biologic large molecules as protein and peptide drugs [1-3]. However, oral administration of these drugs has been highly limited due to the stability and the difficulties to cross the gastrointestinal membrane. Significant research attempts have been made in recent years to utilize carrier-mediated transport systems for enhancement in the oral bioavailability. Among various intestinal transporters, the intestinal bile acid transporter has a good potential because of its higher capacity and low structural selectivity [4-7]. The transport system facilitates the daily absorption of 10-20 g bile salts at a more than 95% efficiency rate. The system also tolerates significant chemical modifications at the C3 and C24 positions of the sterol nucleus. Thus, the intestinal bile acid transporter has been specifically investigated for its ability to increase the oral absorption of cholic acid conjugates of proteins and small peptides through the carrier-mediated transport mechanism [4-7]. The fact that the bile acid transporter tolerates the chemical modifications of cholic acid provides a solid rationale for the development of a cholic acid-peptide conjugate for enhanced oral absorption [8-10].

Earlier work from our laboratory and others have found that conjugates of peptides with cholic acid interact with and are transported by the human bile acid transporter [11,12]. The interaction was largely dependent on the ionization and the location of several ionizable group(s) on the conjugates. Compounds with a single negative charge at the 26-28 positions in Scheme 1 demonstrated maximum interaction with the transporter while the addition of a second negative charge in the vicinity caused a reduction in activity [13,14]. A single positive charge resulted in loss of activity. Neutral compounds showed a reduction in the affinity. Experiments in our laboratory and others

Scheme 1: Structure of cholic acid-peptide conjugates with a threonine ester linker.

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the oral absorption in proper animal model was Caco-2 Cell line. The CaCo-2 cell line was originally isolated from a primary colonic tumor. Upon reaching confluence, the cells express characteristics of enteroctytic differentiation. CaCo-2 cells express retinoic acid binding protein I and retinol binding protein II and are keratin positive.

Experimental Procedure

Melting points were determined on a MelTemp-II melting point apparatus and are reported as uncorrected values. NMR data were recorded on a Bruker 400 AMX spectrometer and the chemical shifts (δ) are reported in parts per million (ppm). Coupling constants (J) are

Scheme 2: Reagents and condition: (a) (1) H-Thr-Obzl-1/2 oxalate, NaHCO3, DCM, room temperature (r.t.); (2) N-Hydroxysuccinimide, DCC, DCM, r.t.; (b) Fmoc-Ala-OH, TEA, DCC, ethyl acetate, 0°C; or 1, 1'-carboxyldiimidazole (CDI), 4-dimethylaminopyridine (DMAP), DCM, 0°C.

Figure 1: Penetration of CA-Thr Compound 3.

Standard Curve of CA-Thr

Penetration of CA-Thr Compound 3

From basal side From apical side

Concentration (mm)

Time (min)

Area Integration

Concentration (mm)

0 0.002 0.004 0.006 0.008 0.01 0.012 0.014 0.016 0.018

$y = 869.44x - 0.2126$

$R^2 = 0.9975$

0 100 200 300 400 500 600 700

0 0.2 0.4 0.6 0.8 1 1.2 1.4

0 100 200 300 400 500 600 700

0 0.2 0.4 0.6 0.8 1 1.2 1.4

also showed no differences in affinity to the transporter between D and L amino acid conjugates [15-17]. These results indicate that cholic acid-peptide conjugates with appropriate chemical arrangements can be effectively transported via the bile acid carrier-mediated transport mechanism. However, as a prodrug, the conjugate must also meet the requirement that it be able to release the therapeutic parent peptide unit after crossing the gastrointestinal membrane. This report details the construction of an ester linkage between cholic acid and peptide using threonine as the linker, and the synthesis of three target compounds, cholyl-[L-Thr-(D-Ala-amino acid or peptide) ester] amides (5a), (9a), (9b) (Scheme 1). The general structure of this type of compounds can be divided into three parts (Figure 1): the cholic acid (CA), the threonine linker connecting to the C24 position of CA and the peptides attached to the linker with an ester bond. Our experiments show that with proper modifications in general procedure of peptide chemistry it is feasible to synthesize such a group of cholic acid-peptide conjugates. The biological potentials of these conjugates, however, need to be further verified involving the in vitro cell culture studies and in vivo animal studies. Specifically, the future studies will include the examination of the chemical stability and biodegradation of these conjugates in biological environments.

The transport profiles through cell culture membrane system and
reported in Hertz. The abbreviations used are as follows: s (singlet), d (doublet), t (triplet), q (quartet), m (multiplet), dd (double doublet), pt (pseudo triplet), brs (broad singlet). Mass spectra were either recorded on a Micro-mass Autospec high-resolution (FAB) mass spectrometer or obtained from the Department of Chemistry Mass Spectrometry facility at the University of Georgia. Standard workup procedure after the reactions, where specified, was to wash the reaction mixture with equal volume of saturated sodium bicarbonate solution and brine with subsequent drying of the organic layer (sodium sulfate or magnesium sulfate). All of the chemicals were purchased from Aldrich Chemical Co., ACROS ORGANICS, Fisher Scientific, Sigma Chemicals, or Lancaster Synthesis. Chromatography refers to flash chromatography conducted on Kieselgel 60 F254 plates. Insert transwellTM clusters coated with Rat tail collagen (24.5 mm diameter, 3.0 um pore size) were obtained from VWR Scientific Products.

**Cholyl-L-(OBzl)Thr Amide (2)**

NaHCO₃ (1 N) was added to a solution of H-Thr-OBzl-oxalate (0.8 g, 3.0 mmol) in water (50 ml) to adjust the pH to 7.5 at 0°C, and extracted with DCM (3 × 30 ml). The organic layers were combined, dried over anhydrous Na₂SO₄, concentrated via vacuo, and the crude product was purified through silica gel flash chromatography (ethyl acetate/methanol 100:0 to 80:10) to obtain amide of 3 (0.8 g, 3.0 mmol) as a white solid of 2 (1.6 g, 88%; mp 98-100°C).

**Method 1**

To a mixture of Fmoc-Ala-OH (1.2 g, 3.8 mmol) in DCM (20 ml) was added 1, 1-carboxyldimidazole (CDI) (0.64 g 4.0 mmol) while stirring under an atmosphere of N₂ at 0°C. The mixture was stirred for one half hour, added to a solution of 2 (2.2 g, 3.8 mmol) in DCM (20 ml), heated to room temperature and allowed to react for two days. The crude product was treated in the same manner as described in method 1 to obtain the solid amide of 3 (0.88 g, 26%; mp 101-103°C).

**Method 2**

To a solution of Fmoc-Ala-OH (1.2 g, 3.8 mmol) in DCM (20 ml) was added 1, 1-carboxyldimidazole (CDI) (0.64 g 4.0 mmol) while stirring under an atmosphere of N₂ at 0°C. The mixture was stirred for one half hour, added to a solution of 2 (2.2 g, 3.8 mmol) in DCM (20 ml), heated to room temperature and allowed to react for two days. The crude product was treated in the same manner as described in method 1 to obtain the solid amide of 3 (0.88 g, 26%; mp 101-103°C).

**Cholyl-L-(OBzl)Thr-D-Fmoc-Ala Ester (3a)**

To a solution of 3 (0.5 g, 0.6 mmol) in methanol (15 ml), 10% palladium on activated carbon (5 mg) was added. The flask was placed in a vacuum to remove air and subsequently flushed with dry N₂. The reaction flask was filled with H₂ in the presence of a few drops of 0.1N HCl and stirred at room temperature for 24 hours. The reaction mixture was filtered. The filtrate was concentrated via vacuum and purified through silica gel column chromatography (ethyl acetate/methanol 1:1-9:1) to obtain anhydrous Na₂SO₄, concentrated via vacuo and purified via silica gel flash column chromatography (ethyl acetate/hexanes 0:100 to 80:20) to obtain triethylamine (TEA) (0.4 g, 3.8 mmol) in methanol (15 ml) at 0°C, and followed by addition of triethylamine (TEA) (0.4 g, 3.8 mmol) in methanol (15 ml) at 0°C, and then stirred for 3 hours at room temperature. When TLC indicated that the reaction was completed, the mixture was filtered, and the filtrate was washed with water, saturated NaHCO₃ and brine, respectively. The organic layers were combined and dried over anhydrous Na₂SO₄, concentrated via vacuo and purified via silica gel flash column chromatography (ethyl acetate/hexanes 0:100 to 80:20) to obtain a white solid of 3 (0.78 g, 82%).

**Method 2**

To a solution of Fmoc-Ala-OH (1.2 g, 3.8 mmol) in DCM (20 ml) was added 1, 1-carboxyldimidazole (CDI) (0.64 g 4.0 mmol) while stirring under an atmosphere of N₂ at 0°C. The mixture was stirred for one half hour, added to a solution of 2 (2.2 g, 3.8 mmol) in DCM (20 ml), heated to room temperature and allowed to react for two days. The crude product was treated in the same manner as described in method 1 to obtain the solid amide of 3 (0.88 g, 26%; mp 101-103°C).

**Cholyl-L-(OBzl)Thr-D-Fmoc-Ala Ester Amide (4a)**

**Method 1**

To a solution of 3 (0.5 g, 0.6 mmol) in methanol (15 ml), 10% palladium on activated carbon (5 mg) was added. The flask was placed in a vacuum to remove air and subsequently flushed with dry N₂. The reaction flask was filled with H₂ in the presence of a few drops of 0.1N HCl and stirred at room temperature for 24 hours. The reaction mixture was filtered. The filtrate was concentrated via vacuum and purified through silica gel column chromatography (ethyl acetate/methanol 100:0 to 80:10) to obtain amide of 4 (0.47 g, 97%) as a white solid.

**Method 2**

To a solution of 3 (0.5 g, 0.6 mmol) in methanol (35 ml) 99% pure hydrazine monohydrate (30 g, 0.6 mmol) was added and vigorously stirred at room temperature overnight. After TLC indicated the deprotection was completed, the mixture was diluted with ethyl acetate (15 ml). The reaction mixture was washed with water, 0.1N HCl and brine respectively. The organic layers were dried over anhydrous Na₂SO₄, concentrated and isolated via silica gel column chromatography (ethyl acetate/methanol 100:0 to 80:10) to obtain the amide of 4 (0.40 g, 82%; mp 105°-107°C) as a white solid.

**Cholyl-L-(OBzl)Thr-D-Fmoc-Ala Ester| Amide (3a)**

**Method 1**

To a mixture of Fmoc-Ala-OH (1.2 g, 3.8 mmol) and the prepared Cholyl-L-(OBzl)Thr Amide 2 (2.2 g, 3.8 mmol) in ethyl acetate (30 ml) was added a solution of DCC (0.78 g, 3.8 mmol) in ethyl acetate (15 ml) at 0°C, and followed by addition of triethylamine (TEA) (0.4 g, 3.8 mmol) and stirred for 3 hours at room temperature. When TLC indicated that the reaction was completed, the mixture was filtered, and the filtrate was washed with water, saturated NaHCO₃ and brine, respectively. The organic layers were combined and dried over anhydrous Na₂SO₄, concentrated via vacuo and purified via silica gel flash column chromatography (ethyl acetate/hexanes 0:100 to 80:20) to obtain a white solid of 3 (0.78 g, 82%).
HRMS (FAB) for C_{64}H_{102}N_{13}O_{31}: m/z Found 844.4474, Calcd. 844.4432.
MS: m/z 847 (M+1+2Na)^+; 825 (M+1+Na)^+.

Cholyl-(L-Thr-D-Ala Ester) Amide (5a)

To a solution of 4 (235 mg, 0.3 mmol) in DMF (10 ml) and THF (5 ml), anhydrous HK (1.2 g, 2.1 mmol) was added and stirred at room temperature for 15 minutes. TEA (1.5 ml) was slowly added to the mixture, and after a 5-hour reaction interval celite (1.0 g) was added. The mixture was filtered and the resulting cake was washed with methanol. The filtrates were combined; the combined filtrate was condensed to 5 ml under reduced pressure and the residue was diluted with ethyl acetate (40 ml) under shaking. The precipitate was separated by centrifugation at 3000 rpm, and the collected precipitate was washed twice with ethyl acetate. The solid product was dried in vacuo.

HRMS (FAB) for C_{46}H_{62}N_{2}O_{10}: m/z Found 824.4271, Calcd. 827.4257.
Where MS: m/z 671 (M+1)^+.

Cholyl-(L-(OBzl)Thr-(D-Ala-BocGly) Ester) Amide (7a)

To a solution of 6 (0.5 g, 0.6 mmol) in DMF (5 ml) and THF (20 ml) was added Boc-Gly-OH (0.1 g, 2 mmol) at room temperature with stirring and allowed to react for 5 minutes. N-hydroxysuccinimide (3 mg, 0.06 mmol) and TEA (0.5 ml) was added to the solution and DCC (134 mg, 0.65 mmol) in DCM (5 ml) was subsequently slowly added over 1 minute. The mixture was stirred for two hours and then filtered. The filtrate was concentrated in vacuum and purified via silica gel column chromatography (ethyl acetate/methanol from 100:0 to 90:10) to obtain the solid of 7a (0.35 g, 70%; mp 98-101°C).

HRMS (FAB) for C_{46}H_{62}N_{2}O_{2}^+: m/z Found 827.4952, Calcd. 827.4983.
MS: m/z 828 (M+1)^+.

Cholyl-(L-(OBzl)Thr-(D-Ala-Gly-GlyGly) Ester) Amide (7b)

Compound 6 was coupled with Boc-Gly-Gly-OH, using a method similar to that described for 7a to obtain a white solid of 7b (250 mg, 72%; mp 103-110°C).

HRMS (FAB) for C_{46}H_{62}N_{2}O_{3}: m/z Found 828.4271, Calcd. 827.4257.
Where MS: m/z 671 (M+1)^+.

Cholyl-(L-Thr-D-Ala-BocGly) Ester) Amide (8a)

Compound 7a was deprotected using a method similar to that described for 4b to obtain 8a (277 mg, 89%) as syrup.

HRMS (FAB) for C_{64}H_{102}N_{13}O_{31}: m/z Found 847.4271, Calcd. 847.4257.
Where MS: m/z 671 (M+1)^+.
5.6Hz, CH), 4.97 (brs, 1H, CH), 5.14 (dd, 2H, J = 9.6, 30.8Hz, CH2), 5.40 (brs, 1H, CH).

1^3CNMR: 172.2, 174.8, 172.1. 171.0, 157.9, 82.3, 71.9, 70.8, 70.7, 50.6, 50.0, 48.7, 44.8, 42.8, 41.8, 37.2, 37.2, 37.7, 35.3, 33.1, 32.2, 31.4, 30.5, 29.3, 29.0, 28.8, 28.7, 28.5, 27.1, 17.9, 16.7, 14.1, 12.5, 6.5.

HRMS (FAB) for C_{30}H_{55}N_{3}O_{11}: m/z Found 759.4567, Calcld.759.4563.

MS: m/z 777 (M+2Na-4)^+, 757 (M+Na-2)^+.

Cholyl-[L-(OBzl)-Thr-(D-Ala-Gly-Gly-Gly) Ester] Amide (9b)

To a solution of 7b (200 mg, 0.24 mmol) in DCM (5 ml), TFA (99%, 3 ml) was slowly added at ambient temperature. The mixture was stirred for 4 hours, condensed in a vacuum to remove the solvent and excess TFA. The residue was separated through silica gel column chromatography (ethyl acetate/methanol from 100:0 to 50:50) to obtain the white solid weight of 8b (164 mg, 92%; mp 110-114°C).

Cholyl-[L-Thr-(D-Ala-Gly) Ester] Amide (9a)

As indicated in Schemes 2 and 3, a threonine moiety was introduced at the C24 position of cholic acid to produce an ester linker between cholic acid and the peptide unit. The selection of a threonine moiety at the C24 position of cholic acid to produce an ester linker between cholic acid and the peptide unit presents several advantages over other amino acid linkers. First, the threonine linker possesses an ionizing group, the carboxyl group at the C24 position, which provides a negative charge adjacent to the cholyl moiety. This charge is important for the selective uptake of the cholic acid-peptide conjugate in the intestinal epithelial cells, as described for the 9b compound.

Cholyl-[L-Thr-(D-Ala-Gly-Gly-Gly) Ester] Amide (9b)

Compound 8b was deprotected using a method similar to that described for 9b to obtain syrup of 9b (164 mg, 92%; mp 110-114°C).

HRMS (FAB) for C_{44}H_{67}N_{5}O_{11}: m/z Found 841.4892, Calcld.841.4886.

MS: m/z 752 (M+1)^+.

Cholyl-[L-Thr-(D-Ala-Gly) Ester] Amide (9a)

Compound 8a was deprotected using a method similar to that described for 9b to obtain syrup of 9a (205 mg, 86%).

HRMS (FAB) for C_{37}H_{61}N_{5}O_{11}: m/z Found 751.4491, Calcld.751.4462.

MS: m/z 752 (M+1)^+.

Cholyl-[L-(OBzl)-Thr-(D-Ala-Gly-Gly-Gly) Ester] Amide (9b)

As indicated in Schemes 2 and 3, a threonine moiety was introduced at the C24 position of cholic acid to produce an ester linker between cholic acid and the peptide unit. The selection of a threonine moiety presents several advantages over other amino acid linkers. First, the threonine linker possesses an ionizing group, the carboxyl group at the C24 position, which provides a negative charge adjacent to the cholyl moiety. This charge is important for the selective uptake of the cholic acid-peptide conjugate in the intestinal epithelial cells, as described for the 9b compound.

Cholyl-[L-Thr-(D-Ala-Gly-Gly-Gly) Ester] Amide (9b)

Compound 8b was deprotected using a method similar to that described for 4 to give a solid of 9b (164 mg, 92%; mp 110-114°C).
some hydrophobicity that also infects binding process. Furthermore, as indicated in our experiment, the hydroxyl group on the threonine moiety is more reactive than three other hydroxyl groups on steroid ring making it possible selectively to construct the ester bond at C27 position under less stringent conditions.

It is common that the coupling reagent 1,3-dicyclohexylcarbodiimide (DCC) has been widely used to form amides in peptide synthesis. This procedure usually requires the conversion of the carboxylic group to an active ester as the first step [18,19]. To simplify the reaction procedure, we used a catalytic amount of N-hydroxysuccinimide to form the amide. Our result indicates that a small portion of N-hydroxysuccinimide (10%) is sufficient to make an amide condensation both in tetrahydrofuran (THF) and in dichloromethane (DCM). The synthesis procedure was thus shortened and the normal steps of making and separating the active ester were avoided. The reaction produced a relatively high yield of amide with only minor amounts of byproducts.

To synthesize potentially useful cholic acid-peptide conjugates, it is important to selectively introduce the ester bond at the C27 position (Scheme 1) between threonine and peptide. Three other hydroxyl groups on the steroid ring of cholic acid at C3, C7, and C12 also have the potential of forming ester bonds with peptide unit resulting in an unwanted mixture of different peptide esters. To avoid side reactions and to increase the regio-selectivity of esterification, ethyl acetate was used as the solvent for DCC esterification reaction in the presence of a small amount of 4-dimethylaminopyridine (DMP) (5%) or triethylamine (TEA) (10%) as catalyst [20,21]. Among several solvents examined in our experiments, ethyl acetate was found to be the most suitable because of the higher selectivity and higher yield than other solvents including dichloromethane, tetrahydrofuran and acetonitrile. Without any additional protection steps, the reaction selectively occurred at the C27 position with high yields (3: 82%, Scheme 1). The selectivity of the reaction was confirmed by 1H NMR data.

Fluoride ion (F–) was used to cleave 9-Fluorenylmethoxycarbonyl (Fmoc) (Scheme 3b) from the amino groups in a mixture of N,N-dimethylformamide (DMF) and THF at ambient temperature. In peptide synthesis, Fmoc has been commonly utilized to protect amino groups with piperidine as the de-protecting reagent. It is efficient and convenient, particularly in solid phase synthesis [22,23]. However, in our procedure involving solution phase synthesis, we found it difficult to remove the residue of excess piperidine from the reaction mixture [24] and thus to separate the de-protected products. In addition,
intramolecular aminolysis and other side reactions occurred, similar to those reported in the literature [25,26]. To solve this problem, potassium fluoride (KF) was selected as the cleavage reagent [27,28]. In the mixture of DMF and THF containing KF, the de-protection was completed quantitatively in a few hours at room temperature in the presence of TEA. The resulting product was used directly without any additional separation steps. Aminolysis at the ester bond between threonine and the amino acid chains was not detected under this procedure.

Cell membrane transporting was carried out on CaCo-2 cell line model. The initial results demonstrated that the penetrations were including both ways that are diffusion and active transporting. The results informed in Figures 1 and 2, first, all of the substrates passed through the membrane in same time, about 250 minutes, and then equilibrated. Second, the template of the conjugate, compound 3 CA-Thr (Figure 1) has almost same concentration on both sides, apical and basolateral, and the substrate recovery of the two sides are equality, which means there were no substrate losing nor gaining, or no degradation because without ester bond yet. Third, all three conjugates, compounds 5, 9a and 9b were degraded about 87% after equilibrium from the recovery of the substrate on both sides, indicated a further study on precise detection of degradation would be done.

Conclusion

Cell culture experiment showed the efficiencies of transporting of the conjugates and the dissociation of the conjugates, suggesting that the cholic acid conjugates linked by degradable ester bond can be used as bigger molecule drugs delivering template. Further study would be designed by using more different linkers that would be also biodegradable bonds, such as thioester and so forth. Further synthetic method of longer cholic acid and peptides conjugates via ester bond linker need to be addressed, particularly solid phase approaches.

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**Scheme 3:** Reagents and condition: (a) H₂, 10% Pd/C, methanol, room temperature; (b) KF, TEA, DMF (or piperidine), DCM r.t.; (c) DCC, peptides or protected amino acids, DMAP (or TEA), DMF/THF; (d) 55% trifluoroacetic acid (TFA) in DCM, r. t.
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