DECA DE OF WEAK SOLUTIONS TO THE 2D DISSIPATIVE QUASI-GEOSTROPHIC EQUATION

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ABSTRACT. We address the decay of the norm of weak solutions to the 2D dissipative quasi-geostrophic equation. When the initial data \( \theta_0 \) is in \( L^2 \) only, we prove that the \( L^2 \) norm tends to zero but with no uniform rate, that is, there are solutions with arbitrarily slow decay. For \( \theta_0 \) in \( L^p \cap L^2 \) with \( 1 \leq p < 2 \), we are able to obtain a uniform decay rate in \( L^2 \). We also prove that when the \( L^{\frac{2}{\alpha-1}} \) norm of \( \theta_0 \) is small enough, the \( L^q \) norms, for \( q > \frac{2}{\alpha-1} \), have uniform decay rates. This result allows us to prove decay for the \( L^q \) norms, for \( q \geq \frac{2}{\alpha-1} \), when \( \theta_0 \) is in \( L^2 \cap L^{\frac{2}{\alpha-1}} \).

1. INTRODUCTION AND STATEMENT OF RESULTS

We consider the dissipative 2D quasi-geostrophic equation

\[
\begin{align*}
\theta_t + (u \cdot \nabla) \theta + (-\Delta)^\alpha \theta &= 0 \\
\theta(x, 0) &= \theta_0(x)
\end{align*}
\]

where \( x \in \mathbb{R}^2, t > 0 \) and super-critical exponent \( \frac{1}{2} < \alpha \leq 1 \). In this equation, \( \theta = \theta(x, t) \) is a real scalar function (the temperature of the fluid), \( u \) is an incompressible vector field (the velocity of the fluid) determined by the scalar function \( \psi \) (the stream function) through

\[
u = (u_1, u_2) = (-\frac{\partial \psi}{\partial x_2}, \frac{\partial \psi}{\partial x_1}).
\]

The temperature \( \theta \) and the stream function \( \psi \) are related by

\[
\Lambda \psi = -\theta
\]

where \( \Lambda \) is the usual operator given by \( \Lambda = (-\Delta)^{\frac{1}{2}} \) and defined via the Fourier transform as

\[
\hat{\Lambda^s f}(\xi) = |\xi|^s \hat{f}(\xi), \quad s \geq 0.
\]

When \( \alpha = \frac{1}{2} \), “dimensionally, the 2D quasi-geostrophic equation is the analogue of the 3D Navier-Stokes equations” (Constantin and Wu [11]), and the behaviour of solutions to (1.1) is similar to that of the 3D Navier-Stokes equations. For this reason, \( \alpha = \frac{1}{2} \) is considered the critical exponent, while \( \alpha \in (\frac{1}{2}, 1] \) are the supercritical exponents. Note that when \( \alpha = 1 \), (1.1) is the vorticity equation of

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the 2D Navier-Stokes equations. Besides its intrinsic mathematical interest, the
dissipative 2D quasi-geostrophic equation describes models arising in meteorology
and oceanography. More specifically, it can be derived from the General Quasi
Geostrophic equations by assuming constant potential vorticity and constant buoy-
ancy frequency (see Constantin, Majda and Tabak [10] and Pedlosky [20]).

Consider the dissipative quasi-geostrophic equation with supercritical exponent,
this is $\alpha \in \left(\frac{1}{2}, 1\right]$. In this article, we address the uniform decay of the $L^q$ norm, for
$q \geq 2$, of weak solutions to (1.1) for the initial data $\theta_0$ in different spaces. We first
describe results related to the ones obtained here.

In his Ph.D. thesis, Resnick [21] proved existence of global solutions to (1.1) for
$\theta_0$ in $L^2$. Moreover, he proved a maximum principle (1.2)
$$\|\theta(t)\|_{L^p} \leq \|\theta_0\|_{L^p}, \quad t \geq 0$$
for $1 < p \leq \infty$. Constantin and Wu [11] established uniqueness of “strong” solutions
(for a precise statement of this and Resnick’s result, see Section 2.1) and also showed
that for $\theta_0$ in $L^2 \cap L^1$

(1.3) \[\|\theta(t)\|_{L^2} \leq C(1 + t)^{-\frac{1}{2\alpha}}, \quad t \geq 0.\]

Their proof relies on an adaptation of the Fourier splitting method developed by
Schonbek [22], [23] and on the retarded mollifiers method of Cafarelli, Kohn and
Nirenberg [2]. Moreover, they proved that for generic initial data, the decay rate
(1.3) is optimal. Using rather general pointwise estimates for the fractional deriv-
ative $\Lambda^\alpha \theta$ and a positivity lemma, Córdoba and Córdoba [12] gave a new proof of
(1.2) and proved decay of solutions when $\theta_0$ is in $L^1 \cap L^p$, for $1 < p < \infty$. More
specifically, they showed that

(1.4) \[\|\theta(t)\|_{L^p} \leq C_1 (1 + C_2 t)^{-\frac{1}{2p}}, \quad t \geq 0\]
where $C_1$ and $C_2$ are explicit constants. Working along the same lines, Ju [15]
obtained an improved maximum principle of the form

(1.5) \[\|\theta(t)\|_{L^p} \leq \|\theta_0\|_{L^p} \left(1 + \frac{C_{\text{C}}}{p-2} t\right)^{-\frac{2p}{2p-2}}, \quad t \geq 0\]
for $\theta_0$ in $L^2 \cap L^p$, with $p \geq 2$ and a constant $C \neq 1$. Note that for $p = 2$, i.e. $\theta_0$ in $L^2$, this expression reduces to (1.2), this is $\|\theta(t)\|_{L^2} \leq \|\theta_0\|_{L^2}$.

We now state the results we prove in this article. As we mentioned in the last
paragraph, when $\theta_0$ is in $L^2$, the decay (1.5) reduces to the maximum principle
(1.2) and no decay rate can be deduced. We address this issue in the following
theorems, where we prove that the $L^2$ norm of weak solutions tends to zero but not
uniformly, this is, there are solutions with arbitrarily slow decay.
Theorem 1.1. Let $\theta$ be a solution to (1.1) with $\theta_0 \in L^2$. Then
\[
\lim_{t \to \infty} \|\theta(t)\|_{L^2} = 0.
\]

Theorem 1.2. Let $r > 0, \epsilon > 0, T > 0$ be arbitrary. Then, there exists $\theta_0$ in $L^2$ with $\|\theta_0\|_{L^2} = r$ such that if $\theta(t)$ is the solution with initial data $\theta_0$, then
\[
\frac{\|\theta(T)\|_{L^2}}{\|\theta_0\|_{L^2}} \geq 1 - \epsilon.
\]

To prove Theorem 1.1 we adapt an argument used in Ogawa, Rajopadhye and Schonbek [19] to prove decay in the context of the Navier-Stokes equations with slowly varying external forces. It consists in finding estimates for the decay of the norm in the frequency space, studying separately low and high frequencies. The decay of the low frequency part is obtained through generalized energy inequalities, while the Fourier splitting method is used to bound the decay of the high frequency part. To construct the slowly decaying solutions of Theorem 1.2 we follow the ideas used by Schonbek [23] to prove a similar result for the Navier-Stokes equations. Namely, we construct initial data $\theta_\lambda^0$ whose $L^2$ norm does not change under an appropriate $\lambda$-scaling, such that it gives rise to a slowly decaying solution to the linear part of (1.1). We then impose extra conditions on $\theta_\lambda^0$ to control the term related to the nonlinear part, making it arbitrarily small for small enough values of $\lambda$.

The next result concerns the decay of the $L^2$ norm of solutions when the initial data is in $L^p \cap L^2$, with $1 \leq p < 2$.

Theorem 1.3. Let $\theta_0 \in L^p \cap L^2$, where $1 \leq p < 2$. Then, there is a weak solution such that
\[
\|\theta(t)\|_{L^2} \leq C(1 + t)^{-\frac{1}{2p}(\frac{2}{p}-1)}.
\]

The proof of Theorem 1.3 has similarities with the one for (1.3) in Constantin and Wu [11]. We remark that the decay rate we obtain is of the same type as in (1.4) and (1.5), where $\theta_0$ is in $L^1 \cap L^p$, with $1 < p < \infty$ and in $L^2 \cap L^p$, with $p \geq 2$, as proved in Córdoba and Córdoba [12] and Ju [15] respectively.

The next Theorem is key for establishing decay of the $L^q$ norm of solutions, for large enough $q$.

Theorem 1.4. Let $\|\theta_0\|_{L^\frac{2q}{q+2}} \leq \kappa$. Then, for $m = \frac{2}{2q-1} \leq q < \infty$
\[
t^{\frac{1}{q}(\frac{1}{q} - \frac{1}{2})}\theta(t) \in BC((0, \infty), L^q).
\]
Moreover, for $\frac{2}{2q-1} \leq q < \infty$
\[
t^{\frac{1}{q}(\frac{1}{q} - \frac{1}{2})}\nabla\theta(t) \in BC((0, \infty), L^q).
\]

The proof of Theorem 1.4 is based on ideas used by Kato [17] for proving a similar result for the Navier-Stokes equations. Namely, we construct (in an appropriate space) a solution to (1.1) by successive approximations $\theta_{n+1}$, whose norms
are bounded by that of \( \theta_1, \theta_n \) and \( \nabla \theta_n \). This gives rise to a system of recursive inequalities that can be solved if the norm of the data \( \theta_0 \) is small enough. We can then extract a subsequence converging to a solution with a certain decay rate. This preliminary estimate is then used to obtain the decay rate in Theorem 1.4. Note that when \( \alpha = 1 \), we recover the rates obtained by Kato [17].

Remark 1.1. A result related to Theorem 1.4 concerning existence of strong solutions in \( L^p \) for small data in \( L^q \), where \( \frac{2}{\alpha - 1} < q \leq p \) and \( \frac{1}{p} + \frac{1}{q} = \alpha - \frac{1}{2} \), was proven by Wu [27]. These solutions exist only in an interval \([0, T]\), where the size of the initial data tends to zero when \( T \) goes to infinity. Notice that the special case of \( \theta_0 \) in \( L^2 \) is not covered by the hypothesis. □

Remark 1.2. It is well known that solutions to the 3D Navier-Stokes equations, i.e. (1.1) with \( \alpha = 1 \), are smooth when \( \theta_0 \) is small in \( L^2 \) and the solution is in \( H^1 \) (see Heywood [13], Kato [17] and Serrin [26]). For the quasi-geostrophic equation with critical exponent \( \alpha = \frac{3}{2} \), Córdoba and Córdoba [12] proved that when \( \theta_0 \) is in \( H^3 \) and is small in \( L^\infty \), the solution is in fact classical. These results suggest that the solution obtained in Theorem 1.4 might have better regularity than the one obtained. □

We now state the result concerning decay of \( L^q \) norms, for large \( q \).

**Theorem 1.5.** Let \( \theta_0 \in L^2 \cap L^{2\alpha - 1} \). Then there exists \( T = T(\theta_0) \) such that for \( t \geq T \) and \( \frac{2}{\alpha - 1} \leq q < \infty \)

\[
\| \theta(t) \|_{L^q} \leq C t^{\frac{4q - 3}{q(2\alpha - 1)} - 1 + \frac{1}{2\alpha}}.
\]

By (1.5), when \( \theta_0 \in L^2 \cap L^{2\alpha - 1} \), the \( L^{2\alpha - 1} \) norm of the solution tends to zero. Then, after a (possibly long) time \( T = T(\theta_0) \), the solution enters the ball of radius \( \kappa \), where \( \kappa \) is as in Theorem 1.4. Interpolation between the decays in (1.5) and Theorem 1.4, for some \( q \) in the appropriate range of values, provides us with a first decay rate. This rate, which is a function of \( q \), can then be maximized, leading us to the result in Theorem 1.5. A similar idea was used by Carpio [3] to obtain analogous results for the Navier-Stokes equations.

Remark 1.3. After this work was submitted we received preprints of articles by Carrillo and Ferreira [4], [5], [6] in which they prove results directly related to the ones obtained here. The proofs by Carrillo and Ferreira are, in general, rather different from ours. In [5], they prove Theorem 1.4 in the particular case \( \alpha = 1 \) and \( \theta_0 \in L^2 \) but with no restriction on the size of the initial data \( \theta_0 \). Moreover, they obtain estimates for the decay of all derivatives of \( \theta \) in \( L^2 \cap L^q \), thus showing that the solution is smooth (see Remark 1). In the forthcoming preprint [6], Carrillo and Ferreira extend their results to \( \frac{1}{2} < \alpha \leq 1 \) and \( \theta_0 \in L^{2\alpha - 1} \) and also obtain decays analogous to those of Theorem 1.5 but in the more restrictive case of initial data \( \theta_0 \in L^1 \cap L^{2\alpha - 1} \). □
Recently, many articles concerning different aspects of the dissipative quasi-geostrophic equation have been published. Besides the ones we have already referred to, see Berselli [1], Carrillo and Ferreira [4], Chae [7], Chae and Lee [8], Constantin, Córdoba and Wu [9], Ju [14], [16], Schonbek and Schonbek [24], [25], Wu [27], [28], [29], [30], [31], [32] and references contained therein.

This article is organized as follows. In Section 2 we collect the basic results and estimates we need. In Section 3 we prove Theorems 1.1 and 1.2, in Section 4 we prove Theorem 1.3 and finally in Section 5 we prove Theorems 1.4 and 1.5.

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2. Preliminaries

In this section we collect some essential results and estimates concerning solutions to equation (1.1).

2.1. Existence and uniqueness of solutions. We first state the existence and uniqueness results we assume throughout this article.

Theorem 2.1. (Resnick [21]) Let $T > 0$ arbitrary. Then, for every $\theta_0 \in L^2$ and $f \in L^2([0,T];H^{-\alpha})$ there exists a weak solution of

$$\begin{align*}
\partial_t \theta + (u \cdot \nabla) \theta + (-\Delta)^\alpha \theta &= f \\
\theta(x,0) &= \theta_0(x)
\end{align*}$$

such that

$$\theta \in L^\infty([0,T];L^2) \cap L^2([0,T];H^\alpha).$$

Theorem 2.2. (Constantin and Wu [11]) Assume that $\alpha \in (\frac{1}{2},1]$, $T > 0$ and $p$ and $q$ satisfy

$$p \geq 1, \quad q > 0, \quad \frac{1}{p} + \frac{\alpha}{q} = \alpha - \frac{1}{2}.$$ 

Then there is at most one solution $\theta$ of (1.1) with initial value $\theta_0 \in L^2$ such that

$$\theta \in L^\infty([0,T];L^2) \cap L^2([0,T];H^\alpha), \quad \theta \in L^q([0,T];L^p).$$

These solutions obey a Maximum Principle as in (1.2), this is

$$\|\theta(t)\|_{L^p} \leq \|\theta_0\|_{L^p}, \quad t \geq 0$$

for $1 < p \leq \infty$ (see Resnick [21], Córdoba and Córdoba [12] and Ju [15] for proofs). Multiplying (1.1) by $\theta$ and integrating in space and time yields

$$\int_s^t \|\Lambda^\alpha \theta(\tau)\|_{L^2}^2 \, d\tau \leq C, \quad \forall s, t > 0.$$
2.2. Estimates. Let

\( \theta(x, t) = K_\alpha(t, x) \ast \theta_0 - \int_0^t K_\alpha(t - s, x) \ast u \cdot \nabla \theta(s) \, ds \) 

be the integral form of equation (1.1), where \( K_\alpha(x, t) \) is the kernel of the linear part of (1.1), i.e.

\[
K_\alpha(x, t) = \frac{1}{2\pi} \int_{\mathbb{R}^2} e^{ix\xi} e^{-|\xi|^2\alpha t} \, d\xi.
\]

**Proposition 2.3. (Wu [27])** Let \( 1 \leq p \leq q \leq \infty \). For any \( t > 0 \), the operators

\[
K_\alpha(t) : L^p \rightarrow L^q, \quad K_\alpha(t) f = K_\alpha(t) \ast f
\]

\[
\nabla K_\alpha(t) : L^p \rightarrow L^q, \quad \nabla K_\alpha(t) f = \nabla K_\alpha(t) \ast f
\]

are bounded and

\[
\|K_\alpha(t) f\|_{L^q} \leq Ct^{\frac{\alpha}{2}} (\frac{1}{p} - \frac{1}{q}) \|f\|_{L^p} \tag{2.8}
\]

\[
\|\nabla K_\alpha(t) f\|_{L^q} \leq Ct^{\frac{\alpha}{2}} (\frac{1}{p} + \frac{1}{2} (\frac{1}{p} - \frac{1}{q})) \|f\|_{L^p} \tag{2.9}
\]

The following estimates for the integral term in the right hand side of (2.7) are an immediate consequence of Proposition 2.3 and they are key in the proof of Theorem 1.4.

**Lemma 2.4.** Let \( \eta \leq \mu + \nu < 2 \). Then

\[
\| \int_0^t K_\alpha(t - s) \ast u \cdot \nabla \theta(s) \, ds \|_{L^{2\eta}} \leq C \int_0^t (t - s)^{-\frac{\alpha}{2} (\mu + \nu - \eta)} \|\theta(s)\|_{L^{\frac{2}{\mu}}} \|\nabla \theta(s)\|_{L^{\frac{2}{\nu}}} \, ds \tag{2.10}
\]

and

\[
\| \int_0^t \nabla K_\alpha(t - s) \ast u \cdot \nabla \theta(s) \, ds \|_{L^{2\eta}} \leq C \int_0^t (t - s)^{-\frac{\alpha}{2} (\mu + \nu - \eta)} \|\theta(s)\|_{L^{\frac{2}{\mu}}} \|\nabla \theta(s)\|_{L^{\frac{2}{\nu}}} \, ds \tag{2.11}
\]

**Proof** Use (2.8) and (2.9) with \( q = \frac{2}{\eta}, p = \frac{2}{\mu + \nu} \) and

\[
\|u \cdot \nabla \theta\|_{L^{\frac{2}{\mu + \nu}}} \leq C \|\theta\|_{L^{\frac{2}{\mu}}} \|\nabla \theta\|_{L^{\frac{2}{\nu}}}
\]

which follows from Hölder’s inequality and boundedness of Riesz transform. \( \square \)

**Lemma 2.5. (Schonbek and Schonbek [25])** Let \( \beta, \gamma \) be multi-indices, \(|\gamma| < |\beta| + 2\alpha \max(j, 1), j = 0, 1, 2, \cdots, 1 \leq p \leq \infty \). Then

\[
\|x^\gamma D^j \nabla^\beta K_\alpha(t)\|_{L^p} = Ct^{\frac{|\gamma| - |\beta|}{2\alpha} - j - \frac{p-1}{p}}
\]

for some constant \( C \) depending only on \( \alpha, \beta, \gamma, j, p \).
3. $L^2$ DECAY FOR INITIAL DATA IN $L^2$

3.1. Proof of Theorem 1.1 Let $\theta(t)$ be a solution to (1.1) with $\theta_0 \in L^2$. For $\phi = \phi(\xi, t)$

\begin{equation}
\|\hat{\theta}(t)\|_{L^2}^2 \leq 2 \left( \|\phi(t)\hat{\theta}(t)\|_{L^2}^2 + \|(1 - \phi(t))\hat{\theta}(t)\|_{L^2}^2 \right).
\end{equation}

We call the terms $\|\phi(t)\hat{\theta}(t)\|_{L^2}^2$ and $\|(1 - \phi(t))\hat{\theta}(t)\|_{L^2}^2$ the low and high frequency parts of the energy respectively. In Propositions 3.1 and 3.3 and Corollary 3.2 we obtain estimates, for an appropriate class of functions $\phi$, that allow us to prove that the low and high frequency parts of the energy tend to zero. These estimates are of similar character to the ones that Ogawa, Rajopadhye and Schonbek obtained for the Navier-Stokes equations in [19].

3.1.1. Energy estimates. We first establish some preliminary estimates which will be needed in the proof of Theorem 1.1.

Proposition 3.1. Let $\psi \in C^1((0, \infty), C^1 \cap L^2)$. Then for $0 < s < t$

\[ \|\hat{\psi}(t)\|^2_{L^2} \leq \|\hat{\psi}(s)\|^2_{L^2} + 2 \int_s^t |\langle \psi \hat{\theta}(\tau), \hat{\psi}(\tau) \rangle| - |\xi|^\alpha \|\hat{\psi}(\tau)\|^2_{L^2} |d\tau + 2 \int_s^t |\langle \xi \cdot u \hat{\theta}(\tau), \hat{\psi}^2(\tau) \rangle| |d\tau. \]

Proof Let $\theta(t)$ be a smooth solution to (1.1). Taking the Fourier transform, multiplying by $\hat{\psi}^2\hat{\theta}$ and integrating by parts we obtain the formal estimate

\[ \frac{d}{dt}\|\hat{\psi}\|^2_{L^2} = 2 \left( \langle \hat{\psi}^2\theta(t), \hat{\psi}\hat{\theta}(t) \rangle - |\xi|^\alpha \|\hat{\psi}(t)\|_{L^2}^2 \right) - 2\langle \xi \cdot u \theta(t), \hat{\psi}^2\hat{\theta}(t) \rangle. \]

Integrating between $s$ and $t$ yields

\[ \|\hat{\psi}(t)\|^2_{L^2} \leq \|\hat{\psi}(s)\|^2_{L^2} + 2 \int_s^t |\langle \psi \hat{\theta}(\tau), \hat{\psi}(\tau) \rangle| - |\xi|^\alpha \|\hat{\psi}(\tau)\|^2_{L^2} |d\tau + 2 \int_s^t |\langle \xi \cdot u \hat{\theta}(\tau), \hat{\psi}^2(\tau) \rangle| |d\tau. \]

As before, the retarded mollifiers method allows us to extend this estimate to weak solutions. For full details see Ogawa, Rajopadhye and Schonbek [19].

Corollary 3.2. Let $\phi \in C^1((0, \infty), L^2)$. Then for $0 < s < t$

\[ \|\hat{\theta}(t)\|_{L^2}^2 \leq \|\hat{\theta}(s)^e^{-|\xi|^\alpha(t-s)} \phi(t)\|_{L^2}^2 + 2 \int_s^t |\langle \xi \cdot u \hat{\theta}, e^{-2|\xi|^\alpha(t-\tau)} \psi^2(t) \hat{\theta}(\tau) \rangle| |d\tau. \]
Lemma 3.4.

Rajopadhye and Schonbek [19] guarantee the weak convergence of the nonlinear term. For full details see Ogawa, the conditions 1

\[ 0 < \eta < 1 \]

Here we used that

\[ (u \cdot \nabla \theta, \eta) = 0 \]

Then we take the Fourier transform of (1.1) and multiply it by \( \psi \)

\[ E \left( \left\| \psi \partial_t \psi (\tau) \right\|_{L^2}^2 \right) \leq E(s) \left( \left\| \nabla \psi \partial_t \psi (\tau) \right\|_{L^2}^2 \right) + \int_s^t E' (\tau) \left( \left\| \psi \partial_t \psi (\tau) \right\|_{L^2}^2 \right) d\tau
\]

\[ + \int_s^t E(\tau) \left( \left\| \psi \partial_t \psi (\tau) \right\|_{L^2}^2 \right) d\tau
\]

\[ + \int_s^t E(\tau) \left( \left\| \psi \partial_t \psi (\tau) \right\|_{L^2}^2 \right) d\tau
\]

Proof We prove the estimate first for smooth solutions. As in Proposition 3.1 we take the Fourier transform of (1.1) and multiply it by \( E \psi^2 \partial_t \). Integrating by parts and then between \( s \) and \( t \) we obtain the formal estimate

\[ E(t) \left( \left\| \psi \partial_t \psi (t) \right\|_{L^2}^2 \right) \leq E(s) \left( \left\| \psi \partial_t \psi (s) \right\|_{L^2}^2 \right) + \int_s^t E' (\tau) \left( \left\| \psi \partial_t \psi (\tau) \right\|_{L^2}^2 \right) d\tau
\]

\[ + \int_s^t E(\tau) \left( \left\| \psi \partial_t \psi (\tau) \right\|_{L^2}^2 \right) d\tau
\]

\[ + \int_s^t E(\tau) \left( \left\| \psi \partial_t \psi (\tau) \right\|_{L^2}^2 \right) d\tau
\]

Here we used that \( (u \cdot \nabla \theta, \eta) = 0 \). When using the retarded mollifiers method, the conditions \( 1 - \psi^2 \in L^\infty (0, \infty), L^\infty \) and \( \nabla \mathcal{F}^{-1} (1 - \psi^2) \in L^\infty (0, \infty), L^2 \) will guarantee the weak convergence of the nonlinear term. For full details see Ogawa, Rajopadhye and Schonbek [19].

3.1.2. Proof of Theorem 3.1 We first prove the following easy estimate.

Lemma 3.4. Let \( m > 0 \) and

\[ f_m(t) = \int_{|\xi| > 1} |\xi|^{2m} e^{-m|\xi|^2} d\xi. \]

Then \( \lim_{t \to \infty} f_m(t) = 0. \)
Proof. From the inequality

$$|\xi|^{2\alpha} e^{-m|\xi|^{2\alpha t}} \leq C \frac{e^{-m|\xi|^{2\alpha t}/2}}{mt}$$

it follows that

$$f_m(t) = \int_{|\xi|>1} |\xi|^{2\alpha} e^{-m|\xi|^{2\alpha t}} d\xi \leq C \int_{|\xi|>1} \frac{e^{-m|\xi|^{2\alpha t}/2}}{mt} d\xi \leq C \int_{|\xi|>1} \frac{e^{-m|\xi|^{t/2}}}{mt} d\xi = C \int_{1}^{\infty} \frac{r e^{-m r/2}}{mt} dr$$

(3.13)

Thus, \(\lim_{t \to \infty} f_m(t) = 0\). \(\square\)

We choose \(\phi(\xi, t) = e^{-|\xi|^{2\alpha t}}\). Note that \(\phi\) is the kernel of the solution to the Fourier transform of (1.1).

Low frequency energy decay. Using Corollary 3.2 with \(\phi\) as defined above we obtain

(3.14) \[ \|\hat{\phi}(t)\|_{L^2}^2 \leq \|\hat{\phi}(s)\|_{L^2}^2 + 2 \int_{s}^{t} \|\hat{\xi} \cdot \hat{u} \theta, \phi^2(t - \tau)\hat{\theta}(\tau)\|_{L^2}^2 d\tau \]

A standard application of the Dominated Convergence Theorem proves that the first term in the right hand side of (3.14) tends to zero when \(t\) goes to infinity. Now

(3.15)

As \(\hat{u} \theta(\xi) = \hat{u} * \hat{\theta}(\xi)\), then

\[ \|\xi^\alpha \hat{u} \theta(\tau)\|_{L^\infty} = \sup_{\xi \in \mathbb{R}^2} \left| \int_{\mathbb{R}^2} \xi^\alpha \hat{u}(\xi - \eta) \hat{\theta}(\eta) d\eta \right| \]

\[ \leq C \left( \sup_{\xi \in \mathbb{R}^2} \left| \int_{\mathbb{R}^2} |\xi - \eta|^\alpha \hat{u}(\xi - \eta) \hat{\theta}(\eta) d\eta \right| + \sup_{\xi \in \mathbb{R}^2} \left| \int_{\mathbb{R}^2} |\eta|^\alpha \hat{u}(\xi - \eta) \hat{\theta}(\eta) d\eta \right| \right) \]

\[ \leq C \left( \|\Lambda^\alpha u\theta\|_{L^\infty} + \|\Lambda^\alpha \theta\|_{L^\infty} \right) \leq C \left( \|\Lambda^\alpha u\theta\|_{L^1} + \|u\Lambda^\alpha \theta\|_{L^1} \right) \leq C \|\Lambda^\alpha \theta\|_{L^2}. \]

Now

(3.16) \[ \|\xi^{1-\alpha} \phi^2(t - \tau)\hat{\theta}(\tau)\|_{L^1} \leq C \|\xi^{1-2\alpha} \phi^2(t - \tau)\|_{L^1} \|\xi^\alpha \hat{\theta}(\tau)\|_{L^2}. \]

and
\[ \| |\xi|^{1-2\alpha}\phi^2(t-\tau)\phi^2(t)\|^2_{L^2} = \int_{\mathbb{R}^2} |\xi|^{2-4\alpha} e^{-4|\xi|^2(t-\tau)} \, d\xi \]
\[ \leq \int_{|\xi| \leq 1} |\xi|^{2-4\alpha} \, d\xi + f_4(2t-\tau). \]

(3.17)

As \( \frac{1}{2} < \alpha \leq 1 \), the first term in the right hand side of (3.17) is integrable. By Lemma 3.4 and (3.13) we see that

\[ f_4(2t-\tau) \leq C \]

for \( t \) large enough. Then using (3.15), (3.16), (3.16), (3.17) and (3.18) we obtain that

\[ 2 \int_{s}^{t} |\langle \xi \cdot \hat{u}, \phi^2(t-\tau)\phi^2(t) \hat{\theta}(\tau) \rangle| \, d\tau \leq 2 \int_{s}^{t} \| |\xi|^{\alpha}u\theta(\tau)\|_{L^\infty} \| |\xi|^{1-\alpha}\phi^2(t-\tau)\phi^2(t)\hat{\theta}(\tau)\|_{L^1} \, d\tau \]
\[ \leq C \int_{s}^{t} \| \Lambda^\alpha \theta(\tau) \|^2_{L^2} \, d\tau. \]

Taking limits as \( s \) and \( t \) go to infinity, (2.6) implies that the low frequency part of the energy goes to zero.

**High energy frequency decay.** Let \( \psi(\xi, t) = 1 - \phi(\xi, t) \). As

\[ 1 - \psi^2(\xi, t) = 2\phi(\xi, t) - \phi^2(\xi, t) = 2e^{-|\xi|^{2\alpha}t} - e^{-2|\xi|^{\alpha}t} \]

decays exponentially fast, we can apply Proposition 3.3. After rearranging terms, we obtain

\[ \| (1 - \phi(t))\hat{\theta}(t) \|^2_{L^2} = \| \psi(t)\hat{\theta}(t) \|^2_{L^2} \leq I + II + III + IV \]

where

\[ I = \frac{E(s)}{E(t)} \| \psi(s)\|^2_{L^2} \]
\[ II = \frac{1}{E(t)} \int_{s}^{t} \left( E'(\tau)\| \psi(\tau)\|^2_{L^2} - 2E(\tau)\| |\xi|^{\alpha}\psi(\tau)\|^2_{L^2} \right) \, d\tau \]
\[ III = \frac{2}{E(t)} \int_{s}^{t} E(\tau)\| \psi(\tau)\|^2_{L^2} \, d\tau \]
\[ IV = \frac{2}{E(t)} \int_{s}^{t} E(\tau)\| u \cdot \nabla \theta(\tau), (1 - \psi^2(\tau))\hat{\theta}(\tau) \| \, d\tau. \]

We choose \( E(t) = (1 + t)^k \), where \( k > 2 \).
Term I. Since $|\psi| \leq C$ and $\theta \in L^2$

$$I = \left( \frac{1 + s}{1 + t} \right)^k \|\psi(s) \hat{\theta}(s)\|_{L^2}^2 \leq C \left( \frac{1 + s}{1 + t} \right)^k.$$  

Thus,

$$\lim_{t \to \infty} I(t) = 0.$$  

Term II. We use the Fourier splitting method. Let

$$B(t) = \{\xi \in \mathbb{R}^2 : |\xi| \leq G(t)\}$$

where $G$ is to be determined below. Then

$$E'(|\tau|^{\alpha} |\psi(\tau) \hat{\theta}(\tau)|^2) = E'(|\tau|^{\alpha} |\psi(\tau) \hat{\theta}(\tau)|^2) - 2E(|\xi|^{2\alpha} |\psi(\tau) \hat{\theta}(\tau)|^2) d\xi$$

$$= E'(|\tau|^{\alpha} |\psi(\tau) \hat{\theta}(\tau)|^2) - 2E(|\xi|^{2\alpha} |\psi(\tau) \hat{\theta}(\tau)|^2) d\xi$$

$$+ E'(|\tau|^{\alpha} |\psi(\tau) \hat{\theta}(\tau)|^2) - 2E(|\xi|^{2\alpha} |\psi(\tau) \hat{\theta}(\tau)|^2) d\xi$$

$$\leq (E'(|\tau|^{\alpha} |\psi(\tau) \hat{\theta}(\tau)|^2) - 2E(|\xi|^{2\alpha} |\psi(\tau) \hat{\theta}(\tau)|^2) d\xi$$

$$\leq E'(|\tau|^{\alpha} |\psi(\tau) \hat{\theta}(\tau)|^2) - 2E(|\xi|^{2\alpha} |\psi(\tau) \hat{\theta}(\tau)|^2) d\xi.$$

Choosing $G(t) = \left( \frac{k}{2(1+t)} \right)^{\frac{1}{k}}$, we see that $E'(|\tau|^{\alpha} |\psi(\tau) \hat{\theta}(\tau)|^2) - 2E(|\xi|^{2\alpha} |\psi(\tau) \hat{\theta}(\tau)|^2) d\xi$ vanishes. As the last term in (3.19) is negative, it can be dropped, hence

$$II \leq \frac{k}{(1+t)^k} \int_s^t (1+\tau)^{k-1} \left( \int_{B(t)} |\psi(\tau) \hat{\theta}(\tau)|^2 d\xi \right) d\tau.$$

As $\psi(\xi,t) = 1 - e^{-|\xi|^2 t}$, then $|\psi| \leq |\xi|^{2\alpha}$ for $|\xi| \leq 1$. Then

$$\int_{B(t)} |\psi(\tau) \hat{\theta}(\tau)|^2 d\xi \leq \int_{B(t)} |\xi|^{4\alpha} |\hat{\theta}(\tau)|^2 d\xi \leq CG^{4\alpha}(t) = \frac{C}{(1+t)^2}.$$

Then

$$II \leq \frac{k}{(1+t)^k} \int_s^t (1+\tau)^{k-3} d\tau \leq \frac{C}{(1+t)^2},$$

so

$$\lim_{t \to \infty} II(t) = 0.$$
Term III. As $\psi(\xi, t) = 1 - e^{-|\xi|^{2\alpha} t}$, then $\psi' = \frac{\partial \psi}{\partial t} = |\xi|^{2\alpha} e^{-|\xi|^{2\alpha} t} = |\xi|^{2\alpha} \phi(\xi, t)$. As $E(t)$ is an increasing function

$$III = \frac{2}{E(t)} \int_s^t E(\tau) \langle |\xi|^{2\alpha} \phi(\tau) \hat{\theta}(\tau), (1 - \phi(\tau)) \hat{\theta}(\tau) \rangle d\tau$$

$$\leq 2 \int_s^t \langle |\xi|^{\alpha} \hat{\theta}(\tau), |\xi|^{\alpha} \hat{\theta}(\tau) \rangle d\tau$$

$$\leq 2 \int_s^t \|\Lambda^\alpha \hat{\theta}(\tau)\|_{L^2}^2 d\tau.$$

(3.20)

Taking limits when $t$ and $s$ go to infinity we obtain

$$\lim_{t \to \infty} III(t) = 0.$$

Term IV. Let $\hat{\omega}(\xi, t) = 1 - \psi^2(\xi, t)$. Then

$$|\langle \hat{u} \cdot \nabla \theta(\tau), \hat{\omega}(\tau) \hat{\theta}(\tau) \rangle| \leq \langle |\xi|^{\alpha} \hat{u} \theta(\tau), |\xi|^{1-\alpha} \hat{\omega} \hat{\theta}(\tau) \rangle \leq |||\xi|^{\alpha} \hat{u} \theta(\tau)||_{L^\infty} \||\xi|^{1-\alpha} \hat{\omega} \hat{\theta}(\tau)||_{L^1}.$$

(3.21)

As in (3.16)

(3.22) $|||\xi|^{1-\alpha} \hat{\omega} \hat{\theta}(\tau)||_{L^1} \leq C |||\xi|^{1-2\alpha} \hat{\omega} \hat{\theta}(\tau)||_{L^2} |||\xi|^{\alpha} \hat{\theta}(\tau)||_{L^2}.$

We notice that as $2\alpha - 4 < 0$, then

$$|||\xi|^{1-2\alpha} \hat{\omega}(\tau)||_{L^2}^2 = \int_{\mathbb{R}^2} |\xi|^{2-4\alpha} |\hat{\omega}|^2 d\xi$$

$$\leq \int_{|\xi| \leq 1} |\xi|^{2-4\alpha} d\xi + \int_{|\xi| \geq 1} |\hat{\omega}|^2 d\xi \leq C.$$

(3.23)

Then by (3.21), (3.22) and (3.23)

$$IV \leq 2 \int_s^t |\langle \hat{u} \cdot \nabla \theta(\tau), \hat{\omega}(\tau) \hat{\theta}(\tau) \rangle| d\tau$$

$$\leq 2 \int_s^t |||\xi|^{\alpha} \hat{u} \theta(\tau)||_{L^\infty} \||\xi|^{1-2\alpha} \hat{\omega}(\tau)||_{L^2} \||\Lambda^\alpha \theta(\tau)||_{L^2} d\tau$$

$$\leq 2 \int_s^t \|\Lambda^\alpha \theta(\tau)\|_{L^2}^2 d\tau.$$n

As before, letting $s$ and $t$ go to infinity we obtain

$$\lim_{t \to \infty} IV(t) = 0.$$

Thus, the high frequency part of the energy goes to zero, which concludes the proof of Theorem 1.1.
3.2. Proof of Theorem 1.2. We briefly describe the idea of the proof. In order to make the decay of a solution to (1.1) arbitrarily slow, we will construct a set of initial data \( \{ \theta^\lambda_0 \}_{\lambda > 0} \) in \( L^2 \) such that \( \| \theta^\lambda_0 \|_{L^2} = \| \theta_0 \|_{L^2} \). The mild solution to (1.1) with initial data \( \theta^\lambda_0 \)

\[
\theta^\lambda(x, T) = K_{\alpha}(T) * \theta^\lambda_0(x) - \int_0^T K_{\alpha}(T - s) * (u^\lambda \cdot \nabla) \theta^\lambda(s) \, ds
\]

has the following property: given \( T > 0 \), we can find \( \lambda \) sufficiently close to zero, so that the \( L^2 \) norm of the first term of the right hand side of (3.24) stays arbitrarily close to that of \( \theta_0 \). For this to hold, \( \theta^\lambda_0 \) must be such that:

a) the \( L^2 \) norm of \( \theta^\lambda_0 \) is invariant under the scaling;

b) \( \theta^\lambda_0 \) gives rise to a self-similar solution to the linear part of (1.1); and

c) \( \theta_0 \) is in \( L^p \cap L^q \), for adequate \( p \) and \( q \), so that the integral term will be sufficiently small. We remark that as a result of our choice, the \( L^p \) and \( L^q \) norms of \( \theta^\lambda_0 \) will not be invariant under scaling.

We proceed to the proof now. For \( \theta_0 \) in \( L^2 \), it is easy to see that \( \theta^\lambda_0(x) = \lambda \theta_0(\lambda x) \) is such that

\[
\| \theta^\lambda_0 \|_{L^2} = \| \theta_0 \|_{L^2}, \quad \lambda > 0.
\]

Then, for these \( \theta^\lambda_0 \), condition a) holds. Now let \( \theta_0 \) be such that \( \theta^\lambda_0 \) gives rise to a self-similar solution \( \Theta^\lambda \) to the linear part of (1.1), this is

\[
\Theta^\lambda(x, t) = \lambda \Theta(\lambda x, \lambda^{2\alpha} t)
\]

is a solution to

\[
\Theta_t + (\nabla)^\alpha \Theta = 0,
\]

\[
\Theta^\lambda_0(x) = \theta^\lambda_0(x).
\]

By uniqueness of the solution to the linear part, we have \( \Theta^\lambda(x, t) = K_{\alpha}(t) * \Theta^\lambda_0(x) = K_{\alpha}(t) * \theta^\lambda_0(x) \), thus

\[
\| \Theta^\lambda(t) \|_{L^2} = \int_{\mathbb{R}^2} |\Theta^\lambda(x, t)|^2 \, dx = \lambda^2 \int_{\mathbb{R}^2} |\Theta(\lambda x, \lambda^{2\alpha} t)|^2 \, dx
\]

\[
= \int_{\mathbb{R}^2} |\Theta(y, \lambda^{2\alpha} t)|^2 \, dy = \int_{\mathbb{R}^2} e^{-|\xi|^{2\alpha} \lambda^{2\alpha} t} |\hat{\theta}_0(\xi)|^2 \, d\xi.
\]

As a result of this, given \( T > 0 \)

\[
\lim_{\lambda \to 0} \frac{\| \Theta^\lambda(T) \|_{L^2}^2}{\| \Theta_0 \|_{L^2}^2} = \lim_{\lambda \to 0} \frac{\int_{\mathbb{R}^2} e^{-|\xi|^{2\alpha} \lambda^{2\alpha} T} |\hat{\theta}_0(\xi)|^2 \, d\xi}{\int_{\mathbb{R}^2} |\hat{\theta}_0(\xi)|^2 \, d\xi} = 1.
\]

This shows that choosing \( \lambda \) small enough, we can make the ratio of the norms arbitrarily close to 1 for large enough \( t \).

We now address the integral term in (3.24). We first notice that
\[ \| K_\alpha(t - s) * (u^\lambda \cdot \nabla)\theta^\lambda(s) \|_{L^2} = \| \nabla K_\alpha(t - s) * (u^\lambda \theta^\lambda)(s) \|_{L^2} \leq \| \nabla K_\alpha(t - s) \|_{L^1} \| (u^\lambda \theta^\lambda)(s) \|_{L^2} \leq C(t - s)^{-\frac{1}{2}} \| u^\lambda(s) \|_{L^p} \| \theta^\lambda(s) \|_{L^q} \leq C(t - s)^{-\frac{1}{2}} \| \theta^\lambda(s) \|_{L^p} \| \theta^\lambda(s) \|_{L^q} \]

where we have used Lemma 2.5 with \( \gamma = 0, p = 1, \beta = 1, j = 0 \), Hölder’s inequality with \( \frac{1}{2} = \frac{1}{p} + \frac{1}{q} \) and boundedness of the Riesz transform. By the Maximum Principle (1.2), \( \| \theta^\lambda(s) \|_{L^m} \leq \| \theta^\lambda_0 \|_{L^m} \) and as (3.26)

\[ \| \theta^\lambda_0 \|_{L^m} = \lambda^{1 - \frac{2}{m}} \| \theta_0 \|_{L^m} \]

then

\[ \| K_\alpha(t - s) * (u^\lambda \cdot \nabla)\theta^\lambda(s) \|_{L^2} \leq C(t - s)^{-\frac{1}{2}} \lambda^{2 - \left( \frac{2}{p} + \frac{2}{q} \right)} \| \theta_0 \|_{L^p} \| \theta_0 \|_{L^q} \]

(3.27)

We remark that by (3.26), the \( L^m \) norm of \( \theta^\lambda_0 \) is invariant only when \( m = 2 \).

Choosing \( \theta_0 \) in \( L^p \cap L^q \) (condition c) we obtain

\[ \int_0^T \| K_\alpha(T - s) * (u^\lambda \cdot \nabla)\theta^\lambda(s) \|_{L^2} ds \leq C T^{1 - \frac{1}{2}} \lambda \| \theta_0 \|_{L^p} \| \theta_0 \|_{L^q} \]

(3.28)

So given \( \epsilon > 0 \) and \( T > 0 \), we can choose \( \lambda > 0 \) such that by (3.26)

\[ \frac{\| K_\alpha(T) * \theta^\lambda_0 \|_{L^2}}{\| \theta^\lambda_0 \|_{L^2}} \geq 1 - \frac{\epsilon}{2} \]

and by (3.28)

\[ \frac{\int_0^T \| K_\alpha(T - s) * (u^\lambda \cdot \nabla)\theta^\lambda(s) \|_{L^2} ds}{\| \theta^\lambda_0 \|_{L^2}} \leq \frac{\epsilon}{2} \]

Then

\[ \frac{\| \theta^\lambda(T) \|_{L^2}}{\| \theta^\lambda_0 \|_{L^2}} \geq 1 - \epsilon. \]

This proves our result. \( \square \)

4. \( L^2 \) decay for initial data in \( L^p \cap L^2, 1 \leq p < 2 \)

To prove Theorem 1.3 we follow a modified version of the Fourier splitting method, see Constantin and Wu [11]. Similar ideas in the context of the 2D Navier-Stokes equation can be found in Zhang [33]. In order to compute the actual decay rate of the \( L^2 \) norm, we need a preliminary estimate, proven in Lemma 4.3, which we then use to establish the right decay. In both proofs we first obtain formal estimates for smooth solutions through the Fourier splitting method and we then
use the method of retarded mollifiers of Cafarelli, Kohn and Nirenberg \[2\] to extend them to weak solutions.

The following auxiliary Lemmas will be necessary in the sequel.

**Lemma 4.1.** Let \( h \in L^p, 1 \leq p < 2 \) and let \( S(t) = \{ \xi \in \mathbb{R}^2 : |\xi| \leq g(t)^{-\frac{1}{2p}} \} \), for a continuous function \( g : \mathbb{R}^+ \to \mathbb{R}^+ \). Then

\[
\int_{S(t)} |\hat{h}|^2 d\xi \leq C g(t)^{-\frac{1}{2} \left( \frac{2}{p} - 1 \right)}.
\]

**Proof** By Cauchy-Schwarz

\[
\int_{S(t)} |\hat{h}|^2 d\xi \leq \left( \int_{S(t)} |\hat{h}|^{2r} d\xi \right)^{\frac{1}{r}} \left( \int_{S(t)} d\xi \right)^{\frac{1}{s}}
\]

where \( \frac{1}{r} + \frac{1}{s} = 1 \). Setting \( 2r = q \), we obtain \( \frac{1}{r} = \frac{2}{q} \) and \( \frac{1}{s} = \frac{2}{p} - 1 \). By the Riesz-Thorin Interpolation Theorem, \( F : L^p \to L^q \) is bounded for \( p \in [1, 2] \) and \( \frac{1}{p} + \frac{1}{q} = 1 \). As \( h \) is in \( L^p \), then \( \|\hat{h}\|_{L^q} \leq \|h\|_{L^p} \) and as a result of this

\[
\int_{S(t)} |\hat{h}|^2 d\xi \leq C \left( \int_{S(t)} d\xi \right)^{\frac{2}{q} - 1} = C (Vol S(t))^{\frac{2}{q} - 1} = C r(t)^{2(\frac{q}{2} - 1)} = C g(t)^{-\frac{1}{2} \left( \frac{2}{p} - 1 \right)}. \]

**Lemma 4.2.** Let \( \theta \) be a solution to \([1\text{]}\). Then,

\[
|\widehat{u \cdot \nabla \theta}(\xi)| \leq C |\xi|\|\theta\|^2_{L^2}.
\]

**Proof** As \( \widehat{u \cdot \nabla \theta}(\xi) = \nabla \cdot \hat{u}(\xi) = \xi \cdot \hat{u}(\xi) \), boundedness of the Fourier transform and of the Riesz transform imply

\[
|\widehat{u \cdot \nabla \theta}(\xi)| = |\xi|\|\widehat{u}(\xi)| \leq |\xi|\|\hat{u}\|_{L^\infty} \leq C |\xi|\|\hat{u}\|_{L^1} \leq C |\xi|\|\theta\|^2_{L^2}. \]

In the next Lemma we establish the preliminary decay rate.

**Lemma 4.3.** Let \( \theta \) be a solution to \([1\text{]}\) with initial data \( \theta_0 \) in \( L^p \cap L^2 \), \( 1 \leq p < 2 \). Then

\[
\int_{\mathbb{R}^2} |\hat{\theta}|^2 d\xi \leq C \ln(e + t)^{-(1 + \frac{2}{p})},
\]

**Proof** The first part of the proof consists of a formal argument that proves the expected decay for smooth solutions. At the end of the proof we sketch how to make the argument rigorous. We use the Fourier splitting method, taking

\[
B(t) = \{ \xi : |\xi| \leq g^{-\frac{1}{2p}}(t) \}\]
where \( g(t) = \left( \frac{1}{2} + \frac{1}{2\alpha} \right) [(e + t) \ln(e + t)] \). From (1.1), after multiplying by \( \theta \) and integrating

\[
(4.29) \quad \frac{d}{dt} \int_{\mathbb{R}^2} |\hat{\theta}|^2 \, d\xi = -2 \int_{\mathbb{R}^2} |\xi|^{2\alpha} |\hat{\theta}|^2 \, d\xi.
\]

Then, as

\[
2 \int_{\mathbb{R}^2} |\xi|^{2\alpha} |\hat{\theta}|^2 \, d\xi \geq 2 \int_{B(t)} |\xi|^{2\alpha} |\hat{\theta}|^2 \, d\xi + (1 + \frac{1}{\alpha}) [\ln(e + t)]^{-1} \int_{B(t)} |\hat{\theta}|^2 \, d\xi.
\]

(4.30) becomes

\[
\frac{d}{dt} \int_{\mathbb{R}^2} |\hat{\theta}|^2 \, d\xi + (1 + \frac{1}{\alpha}) [(e + t) \ln(e + t)]^{-1} \int_{\mathbb{R}^2} |\hat{\theta}|^2 \, d\xi \leq (1 + \frac{1}{\alpha}) [(e + t) \ln(e + t)]^{-1} \int_{B(t)} |\hat{\theta}|^2 \, d\xi.
\]

Multiplying on both sides by \( h(t) = [\ln(e + t)]^{1+\frac{1}{\alpha}} \), writing the left hand side as a derivative and integrating between 0 and \( t \)

\[
[\ln(e + t)]^{1+\frac{1}{\alpha}} \int_{\mathbb{R}^2} |\hat{\theta}|^2 \, d\xi \leq \|\theta_0\|_{L^2}^2
\]

(4.30)

\[
+ \int_0^t (1 + \frac{1}{\alpha}) [(e + s) \ln(e + s)]^{\frac{1}{\alpha}} \left( \int_{B(s)} |\hat{\theta}|^2 \, d\xi \right) \, ds.
\]

Hence, we need to estimate \( \int_{B(s)} |\hat{\theta}|^2 \, d\xi \). From the solution to the Fourier transform of (1.1)

\[
\hat{\theta}(\xi, t) = \hat{\theta}_0(\xi) e^{-|\xi|^{2\alpha} t} + \int_0^t e^{-|\xi|^{2\alpha} (t-s)} u \cdot \nabla \theta(s) \, ds.
\]

we obtain

\[
|\hat{\theta}(\xi, t)| \leq |\hat{\theta}_0(\xi)| + \int_0^t |u \cdot \nabla \theta| \, ds
\]

which, by Lemma 4.2 leads to

\[
|\hat{\theta}(\xi, t)|^2 \leq 2 \left( |\hat{\theta}_0(\xi)|^2 + \left( \int_0^t |\theta(\tau)||\nabla \theta(\tau)||^2 \, d\tau \right)^2 \right)
\]

\[
\leq 2 \left( |\hat{\theta}_0(\xi)|^2 + t|\xi|^2 \int_0^t \|\theta(\tau)||^2 \, d\tau \right).
\]

Then, passing to polar coordinates
\[
\int_{B(s)} |\hat{\theta}|^2 \, d\xi \leq 2 \left( \int_{B(s)} |\hat{\theta}_0|^2 \, d\xi + \int_{B(s)} s|\xi|^2 \left( \int_0^s \|\theta(\tau)\|_{L^2}^4 \, d\tau \right) \, d\xi \right)
\leq 2 \left( C[(e + s) \ln(e + s)]^{-\frac{1}{\alpha}} + \int_0^{\frac{s}{2}} \int_0^{g(s)} \frac{r^2}{\alpha} \left( \int_0^s \|\theta(\tau)\|_{L^2}^4 \, d\tau \right) \, dr \, d\varphi \right)
\leq 2 \left( C[(e + s) \ln(e + s)]^{-\frac{1}{\alpha}} + C s^2 g^2 (s) \right)
\]

where we have used Lemma 4.1 with \( g(s) = C[(e + s) \ln(e + s)] \) and the Maximum Principle for the \( L^2 \) norm of \( \theta \). Substituting (4.31) in (4.30) we see that the integral in the right hand side of (4.30) is finite, so

\[
\int_{\mathbb{R}^2} |\hat{\theta}|^2 \, d\xi \leq C[(\ln(e + t))^2]^{-(1 + \frac{1}{\alpha})}. \]

The formal part of the proof is now complete. To extend the estimate to weak solutions, we repeat the argument, applying it to the solutions of the approximate equations

\[
\frac{\partial \theta_n}{\partial t} + u_n \nabla \theta_n + (-\Delta)^n \theta_n = 0
\]

where \( u_n = \Psi_{\delta_n}(\theta_n) \) is defined by

\[
\Psi_{\delta_n}(\theta_n) = \int_0^t \phi(\tau) R^+ \theta_n(t - \delta_n \tau) \, d\tau.
\]

Here the operator \( R^+ \) is defined on scalar functions as

\[
R^+ f = (-\partial_{x_2} \Lambda^{-1} f, \partial_{x_1} \Lambda^{-1} f)
\]

and \( \phi \) is a smooth function with support in \([1, 2]\) and such that \( \int_0^\infty \phi(t) \, dt = 1 \). For each \( n \), the values of \( u_n \) depend only on the values of \( \theta_n \) in \([t - 2\delta_n, t - \delta_n]\). As stated in Constantin and Wu [11], the functions \( \theta_n \) converge to a weak solution \( \theta \) and strongly in \( L^2 \) almost everywhere. Since the estimates obtained do not depend on \( n \), they are valid for the limit function \( \theta \). The proof is now complete. \( \square \)

4.1. **Proof of Theorem 1.3.** As before, we prove a formal estimate for smooth solutions, which then can be extended to weak solutions by the method of retarded mollifiers. For the formal estimate, we proceed as in Lemma 4.3 employing the Fourier splitting method with

\[
B(t) = \{ \xi : |\xi| \leq g(t)^{-\frac{1}{\alpha}} \}
\]

for \( g(t) = 2\alpha(t + 1) \). Thus

\[
\frac{d}{dt} \int_{\mathbb{R}^2} |\hat{\theta}|^2 \, d\xi + \frac{1}{\alpha(t + 1)} \int_{\mathbb{R}^2} |\hat{\theta}|^2 \, d\xi \leq \frac{1}{\alpha(t + 1)} \int_{B(t)} |\hat{\theta}|^2 \, d\xi
\]
which after using $h(t) = (t + 1)^{\frac{1}{\alpha}}$ as an integrating factor leads to

\[
(t + 1)^{\frac{1}{\alpha}} \int_{\mathbb{R}^2} |\hat{\varphi}|^2 \, d\xi \leq \|\hat{\varphi}_0\|_{L^2}^2 + \int_0^t \frac{1}{\alpha} (s + 1)^{\frac{1}{\alpha} - 1} \left( \int_{B(s)} |\hat{\varphi}|^2 \, d\xi \right) \, ds.
\]

Working as in (4.31) in Lemma 4.3, using Lemma 4.1 with $g = \alpha$ so taking equation (4.33) becomes

\[
\|\varphi(\tau)\|_{L^2}^4 \leq \|\varphi(\tau)\|_{L^2}^2 [\ln(e + \tau)]^{-(1 + \frac{1}{\alpha})}
\]

we obtain

\[
(t + 1)^{\frac{1}{\alpha}} \int_{\mathbb{R}^2} |\hat{\varphi}|^2 \, d\xi \leq \|\hat{\varphi}_0\|_{L^2}^2 + C \int_0^t (1 + s)^{-\frac{1}{\alpha} + \frac{1}{\alpha} - 1} ds \\
+ \int_0^t \int_0^s \|\varphi(\tau)\|_{L^2}^2 [\ln(e + \tau)]^{-(1 + \frac{1}{\alpha})} \alpha \frac{\rho^2_0}{(s + 1)^{\frac{1}{\alpha} - 1}} \, d\tau \, ds \\
\leq \|\hat{\varphi}_0\|_{L^2}^2 + C (1 + s)^{-\frac{1}{\alpha} + \frac{1}{\alpha}} \\
+ \int_0^t \int_0^s \|\varphi(\tau)\|_{L^2}^2 [\ln(e + \tau)]^{-(1 + \frac{1}{\alpha})} (1 + s)^{-(\frac{1}{\alpha} + 1)} \, d\tau \, ds.
\]

Now

\[
I(t) = \int_0^t \int_0^s \|\varphi(\tau)\|_{L^2}^2 [\ln(e + \tau)]^{-(1 + \frac{1}{\alpha})} (1 + s)^{-(\frac{1}{\alpha} + 1)} \, d\tau \, ds \\
\leq C \int_0^t (1 + s)^{-\frac{1}{\alpha}} \, ds \int_0^t (1 + \tau)^{\frac{1}{\alpha}} \|\varphi(\tau)\|_{L^2}^2 \frac{[\ln(e + \tau)]^{-(1 + \frac{1}{\alpha})}}{(1 + \tau)^{\frac{1}{\alpha}}} \, d\tau \\
\leq C \int_0^t (1 + \tau)^{\frac{1}{\alpha}} \|\varphi(\tau)\|_{L^2}^2 \frac{[\ln(e + \tau)]^{-(1 + \frac{1}{\alpha})}}{(1 + \tau)^{\frac{1}{\alpha}}} \, d\tau
\]

so taking

\[
f(t) = (t + 1)^{\frac{1}{\alpha}} \|\varphi(t)\|_{L^2}^2, \quad a(t) = (1 + t)^{-\frac{1}{\alpha} + \frac{1}{\alpha}}, \quad b(t) = \frac{[\ln(e + \tau)]^{-(1 + \frac{1}{\alpha})}}{(1 + \tau)^{\frac{1}{\alpha}}}
\]
equation (4.33) becomes

\[
f(t) \leq C + a(t) + \int_0^t f(\tau) b(\tau) \, d\tau.
\]

By Gronwall’s inequality

\[
f(t) \leq f(0) \exp \left( \int_0^t b(\tau) \, d\tau \right) + \int_0^t a'(\tau) \exp \left( \int_\tau^t b(s) \, ds \right) \, d\tau.
\]

Notice that as $\frac{1}{\alpha} < \alpha \leq 1$

\[
\int_0^t b(\tau) \, d\tau = \int_0^t \frac{[\ln(e + \tau)]^{-(1 + \frac{1}{\alpha})}}{(1 + \tau)^{\frac{1}{\alpha}}} < \infty.
\]
Then (4.34) becomes
\[(t + 1)^{\frac{1}{p}} \| \hat{\alpha}(t) \|^2_{L^2} \leq C \| \hat{\theta}_0 \|^2_{L^2} + (1 + t)^{-\frac{1}{p} \left( \frac{2}{p} - 1 \right) + \frac{1}{p}} ds\]

hence
\[\| \theta(t) \|^2_{L^2} \leq (t + 1)^{-\frac{1}{p} \left( \frac{2}{p} - 1 \right)} + C(1 + t)^{\frac{1}{p} \left( \frac{2}{p} - 1 \right)} \leq C(1 + t)^{\frac{1}{p} \left( \frac{2}{p} - 1 \right)}\]
which proves the formal estimate. The retarded mollifiers method allows us to extend it to weak solutions. □

5. $L^q$ Decay, for $q \geq \frac{2}{2\alpha - 1}$

5.1. Proof of Theorem 1.4 We now describe the main ideas behind the proof of Theorem 1.4. For clarity, we let $m = \frac{2}{2\alpha - 1}$. We first prove preliminary estimates of the form

\[
\begin{align*}
\| t^{\frac{1}{p} \left( \frac{1 - \delta}{m} \right)} \theta(t) \|_{L^m} &\leq C, \quad t > 0 \\
\| t^{\frac{1}{p} \left( \frac{1 - \delta}{m} \right)} \nabla \theta(t) \|_{L^m} &\leq C, \quad t > 0
\end{align*}
\]

for fixed $0 < \delta < 1$. To do so, following Katos’s ideas, we construct a solution in $L^m$ to the integral equation (2.7) by successive approximations

\[
\theta_1(t) = K_{\alpha}(t) * \theta_0
\]

\[
\theta_{n+1}(t) = K_{\alpha}(t) * \theta_0 - \int_0^t K_{\alpha}(t - s) * (u_n \cdot \nabla) \theta_n(s) ds, \quad n \geq 1.
\]

These approximations are such that

\[
\begin{align*}
\| t^{\frac{1}{p} \left( \frac{1 - \delta}{m} \right)} \theta_{n+1}(t) \|_{L^m} &\leq K_{n+1}, \\
\| t^{\frac{1}{p} \left( \frac{1 - \delta}{m} \right)} \nabla \theta_{n+1}(t) \|_{L^m} &\leq K'_{n+1}
\end{align*}
\]

are bounded by expressions that depend on $K_1, K_n$ and $K'_n$ only. If $\theta_0$ has small $L^m$ norm then these recursive relations are uniformly bounded, this is

\[
K_n \leq K, \quad K'_n \leq K, \quad n \geq 1
\]

for some $K > 0$. A standard argument allows us to show that there is a uniformly converging subsequence $\theta_n$ whose limit is a solution to (2.7) in $L^m$ that obeys (5.35) and (5.36). These preliminary estimates are used to bootstrap a similar argument which proves the results stated in the Theorem.

Proof We begin by proving (5.35) and (5.36). Let $\delta$ be fixed, $0 < \delta < 1$. We note first that by (2.3) in Lemma 2.3

\[
\| \theta_1(t) \|_{L^m} \leq C t^{-\frac{1}{p} \left( \frac{1 - \delta}{m} \right)} \| \theta_0 \|_{L^m}.
\]

and by (2.40) in Lemma 2.3

\[
\| \nabla \theta_1(t) \|_{L^m} \leq C t^{-\frac{1}{p} \left( \frac{1 - \delta}{m} \right)} \| \theta_0 \|_{L^m}.
\]
Let $K_1 = K_1' = C\|\theta_0\|_{L^m}$. Now assume

$$
\|t^{\frac{\alpha}{2}(\frac{1}{m}-\frac{1}{2})}\theta_n(t)\|_{\dot{L}^{\frac{m}{2}}} \leq K_n
$$

$$
\|t^{\frac{\alpha}{2}}\nabla \theta_n(t)\|_{L^m} \leq K'_n
$$

for $t > 0$. Then

$$
\|\theta_{n+1}(t)\|_{L^\infty} \leq \|\theta_1(t)\|_{L^\infty} + \int_0^t \|K_n(t-s)\cdot(u_n\cdot\nabla)\theta_n(s)\|_{L^\infty} ds
$$

$$
\leq K_1 t^{-\frac{\alpha}{2}(\frac{1}{m}-\frac{1}{2})} + \int_0^t \|K_n(t-s)\cdot(u_n\cdot\nabla)\theta_n(s)\|_{L^\infty} ds
$$

$$
\leq K_1 t^{-\frac{\alpha}{2}(\frac{1}{m}-\frac{1}{2})} + C \int_0^t (t-s)^{-\frac{\nu}{m}} \|\theta_n(s)\|_{L^\infty} \|\nabla \theta_n(s)\|_{L^m} ds
$$

$$
\leq K_1 t^{-\frac{\alpha}{2}(\frac{1}{m}-\frac{1}{2})} + C K_n K'_n t^{-\frac{\alpha}{2}(\frac{1}{m}-\frac{1}{2})}
$$

(5.38)

where we used boundedness of the Riesz transform and (2.10) in Lemma 2.1 with $\eta = \mu = \frac{2\alpha}{m}$ and $\nu = \frac{\alpha}{m}$. By an analogous method

$$
\|\nabla \theta_{n+1}(t)\|_{L^m} \leq \|\nabla \theta_1(t)\|_{L^m} + \int_0^t \|\nabla K_n(t-s)\cdot(u_n\cdot\nabla)\theta_n(s)\|_{L^m} ds
$$

$$
\leq K_1 t^{-\frac{\alpha}{2}} + \int_0^t \|\nabla K_n(t-s)\cdot(u_n\cdot\nabla)\theta_n(s)\|_{L^m} ds
$$

$$
\leq K_1 t^{-\frac{\alpha}{2}} + C \int_0^t (t-s)^{-\frac{\nu}{m} + \frac{\alpha}{2}} \|\theta_n(s)\|_{L^\infty} \|\nabla \theta_n(s)\|_{L^m} ds
$$

$$
\leq K_1 t^{-\frac{\alpha}{2}} + C K_n K'_n t^{-\frac{\alpha}{2}(\frac{1}{m}-\frac{1}{2})}
$$

(5.39)

where we used boundedness of the Riesz transform and (2.11) in Lemma 2.1 with $\eta = \nu = \frac{2\alpha}{m}$, $\mu = \frac{2\alpha}{m}$. We have then that the norms described in (5.37) are respectively bounded by

$$
K_{n+1} \leq K_1 + C K_n K'_n
$$

$$
K'_{n+1} \leq K_1 + C K_n K'_n
$$

If $K_1 < \frac{1}{4e}$, an induction argument allows us to prove that

$$
K_n \leq K, \quad K'_n \leq K
$$

for $n \geq 1$, where $K = \frac{1}{4e}$. Note that $K_0 < \frac{1}{4e}$ implies $\|\theta_0\|_{L^m} < \frac{1}{4e}$, thus the $L^m$ norm of the initial data has to be small. Then
for $n \geq 1$. By a standard argument (see Kato [17] and Kato and Fujita [18] for full details) we can extract a subsequence that converges uniformly in $(0, +\infty)$ to a solution $\theta$. Then

$$t^{\frac{1}{m}(\frac{1}{m} - \frac{1}{q})} \theta \in BC((0, +\infty), L^q)$$
$$t^{\frac{1}{m}} \nabla \theta \in BC((0, +\infty), L^m).$$

We now use these preliminary estimates to prove the Theorem. As before, we construct a solution by successive approximations. Let $m \leq q < \infty$. By (2.8) in Lemma 2.3

$$\|\theta_1(t)\|_{L^q} \leq Ct^{-\frac{1}{m}(\frac{1}{m} - \frac{1}{q})}\|\theta_0\|_{L^m}$$

and by (2.30) in Lemma 2.6

$$\|\nabla \theta_1(t)\|_{L^q} \leq Ct^{-\frac{1}{m}(\frac{1}{m} + \frac{1}{q} - \frac{1}{2})}\|\theta_0\|_{L^m}.$$ 

Notice that this estimate holds for $q \geq m$. Again, set $K_1 = K'_1 = C\|\theta_0\|_{L^m}$. We want to show inductively that the $L^q$ norms of $t^{\frac{1}{m}(\frac{1}{m} - \frac{1}{q})}\theta_n(t)$ and $t^{\frac{1}{m} + \frac{1}{2}(\frac{1}{m} - \frac{1}{q})}\nabla \theta_n(t)$ are uniformly bounded. Then

$$\|\theta_{n+1}(t)\|_{L^q} \leq K_1 t^{-\frac{1}{m}(\frac{1}{m} - \frac{1}{q})} + \int_0^t \|K_n(t - s) * (u_n \cdot \nabla)\theta_n(s)\|_{L^q} ds$$
$$\leq K_1 t^{-\frac{1}{m}(\frac{1}{m} - \frac{1}{q})} + C \int_0^t (t - s)^{-\frac{1}{m}(\frac{1}{m} + \frac{1}{2}(\frac{1}{m} - \frac{1}{q}))}\|\theta_n(s)\|_{L^q} \|\nabla \theta_n(s)\|_{L^m} ds$$
$$\leq K_1 t^{-\frac{1}{m}(\frac{1}{m} - \frac{1}{q})} + CK_nK'_n \int_0^t (t - s)^{-\frac{1}{m}(\frac{1}{m} + \frac{1}{2}(\frac{1}{m} - \frac{1}{q}))} s^{-\frac{\eta + \mu}{2} - \frac{\nu}{m} - \frac{1}{q}} ds$$

$$\leq K_1 t^{-\frac{1}{m}(\frac{1}{m} - \frac{1}{q})} + CK_nK'_n t^{-\frac{1}{m}(\frac{1}{m} - \frac{1}{q})}$$

where we have used (2.10) in Lemma 2.4 with $\eta = \frac{2}{q}, \mu = \frac{2\mu}{m}$ and $\nu = \frac{\nu}{m}$ and we have used the preliminary estimates obtained for $\|\theta_n(t)\|_{L^q}$ and $\|\nabla \theta_n(t)\|_{L^m}$. Proceeding analogously for the gradient we obtain

$$\|\nabla \theta_{n+1}(t)\|_{L^q} \leq \|\nabla \theta_1(t)\|_{L^q} + \int_0^t \|\nabla K_n(t - s) * (u_n \cdot \nabla)\theta_n(s)\|_{L^q} ds$$
$$\leq K_1 t^{-\frac{1}{m} + \frac{1}{2}(\frac{1}{m} - \frac{1}{q})} + \int_0^t \|\nabla K_n(t - s) * (u_n \cdot \nabla)\theta_n(s)\|_{L^q} ds$$
$$\leq K_1 t^{-\frac{1}{m} + \frac{1}{2}(\frac{1}{m} - \frac{1}{q})} + C \int_0^t (t - s)^{-\frac{1}{m} + \frac{1}{2}(\frac{1}{m} - \frac{1}{q})} s^{-\frac{\eta + \mu}{2} - \frac{\nu}{m} - \frac{1}{q}} ds$$

$$\leq K_1 t^{-\frac{1}{m} + \frac{1}{2}(\frac{1}{m} - \frac{1}{q})} + K_nK'_n t^{-\frac{1}{m} + \frac{1}{2}(\frac{1}{m} - \frac{1}{q})}.$$
where we used (2.11) in Lemma 2.4 with \( \eta = \frac{2}{q}, \mu = \frac{2\delta}{m} \) and \( \nu = \frac{2}{m} \). As before, setting

\[
K_{n+1} = \| t^{\frac{m}{2m-1}} \theta_{n+1}(t) \|_{L^q}
\]
\[
K'_{n+1} = \| t^{\frac{m}{2m-1}} \nabla \theta_{n+1}(t) \|_{L^q}
\]

we obtain

\[
K_{n+1} \leq K_1 + CK_nK'_n \]
\[
K'_{n+1} \leq K_1 + CK_nK'_n.
\]

The same arguments that were used for the preliminary estimates apply here, leading to

\[
t^{\frac{m}{2m-1}} \theta(t) \in BC((0, \infty), L^q)
\]

and

\[
t^{\frac{m}{2m-1}} \nabla \theta(t) \in BC((0, \infty), L^q)
\]

for \( \frac{2}{2\alpha-1} \leq q < \infty \), which is the desired result. \( \square \)

5.2. **Proof of Theorem 1.5.** Let \( m = \frac{2}{2\alpha-1} \). By (1.5), the \( L^m \) norm of \( \theta \) tends to zero, so for times larger than some \( T = T(\theta_0) \), \( \| \theta(t) \|_{L^m} \leq \kappa \), for \( \kappa \) as in Theorem 1.4. Let \( m \leq q < r \). Interpolation yields

\[
\| \theta(t) \|_{L^q} \leq C t^{\frac{m}{2m-1} - \frac{q}{r-q}} \| \theta(t) \|_{L^r}^{1-a} \| \theta(t) \|_{L^r}^{1-a}
\]

for \( a = \frac{m}{q} - \frac{r-m}{r-m} \) and \( 1-a = \frac{q}{q} - \frac{r-m}{r-m} \). Then

\[
\| \theta(t) \|_{L^q} \leq C t^{\frac{1}{2m-1} - \frac{q}{r-q} - \frac{1}{2m-1} - \frac{q}{r-q}}.
\]

This holds for any \( r \) such that \( q < r < \infty \). The optimal decay rate is given by the minimum of the exponent

\[
f(r) = C_1 \frac{r-q}{r-m} - C_2
\]

where \( C_1 = (1 - \frac{1}{\alpha}) \frac{m}{q} \) and \( C_2 = \frac{1}{\alpha} (\frac{1}{m} - \frac{1}{q}) \). As \( C_1 < 0 \), this is a non-increasing function, so the optimal decay rate is

\[
\lim_{r \to \infty} f(r) = C_1 - C_2 = \left( 1 - \frac{1}{\alpha} \right) \frac{m}{q} - \frac{1}{\alpha} \left( \frac{1}{m} - \frac{1}{q} \right).
\]

Then

\[
\| \theta(t) \|_{L^q} \leq C t^{\frac{1}{2m-1} - \frac{q}{r-q} - \frac{1}{2m-1} - \frac{q}{r-q}} \]

which is the desired result. \( \square \)
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