The scintillating tail of comet C/2020 F3 (Neowise)*

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ABSTRACT

Context. The occultation of a radio source by the plasma tail of a comet can be used to probe structure and dynamics in the tail. Such occultations are rare, and the occurrence of scintillation, due to small-scale density variations in the tail, remains somewhat controversial.

Aims. A detailed observation taken with the Low-Frequency Array (LOFAR) of a serendipitous occultation of the compact radio source 3C196 by the plasma tail of comet C/2020 F3 (Neowise) is presented. 3C196 tracked almost perpendicularly behind the tail, providing a unique profile cut only a short distance downstream from the cometary nucleus itself.

Methods. Interplanetary scintillation (IPS) is observed as the rapid variation of the intensity received of a compact radio source due to density variations in the solar wind. IPS in the signal received from 3C196 was observed for five hours, covering the full transit behind the plasma tail of comet C/2020 F3 (Neowise) on 16 July 2020, and allowing an assessment of the solar wind in which the comet and its tail are embedded.

Results. The results reveal a sudden and strong enhancement in scintillation which is unequivocally attributable to the plasma tail. The strongest scintillation is associated with the tail boundaries, weaker scintillation is seen within the tail, and previously-unreported periodic variations in scintillation are noted, possibly associated with individual filaments of plasma. Furthermore, contributions from the solar wind and comet tail are separated to measure a sharp decrease in the velocity of material within the tail, suggesting a steep velocity shear resulting in strong turbulence along the tail boundary.

Key words. comets: individual: C/2020 F3 – solar wind – scintillation

1. Introduction

Interplanetary scintillation (IPS – Clarke 1964; Hewish et al. 1964), observed as the rapid variation of intensity received from a compact radio source due to density variations in the solar wind, has been used for several decades to observe the solar wind throughout the inner heliosphere. Such observations are typically used to measure solar wind velocity (e.g. Coles 1996; Manoharan & Ananthakrishnan 1990; Kojima & Kakinuma 1990), or to use the strength of the scintillation as a proxy for solar wind density (e.g. Jackson et al. 1998; Tappin 1986). The occultation of a radio source by the plasma tail of a comet provides an opportunity to use this phenomenon to probe turbulent-scale density structure and dynamics in the tail. However, such occultations are rare, and the occurrence of scintillation attributable directly to the tail itself remains somewhat controversial.

Positive results, where scintillation attributable to a comet plasma tail could be seen, were reported for Comets Kohoutek (Ananthakrishnan et al. 1975), Halley (Alurkar et al. 1986; Slee et al. 1987), Wilson (Slee et al. 1990), Austin (Janardhan et al. 1991; Prasad 1994), Schwassmann-Wachmann 3-B (Roy et al. 2007), and ISON (C/2012 S1) (Iju et al. 2015). However, negative detections have also been reported for Comet Halley by Ananthakrishnan et al. (1987) and there was some debate over the positive results for this comet expressed in the correspondence section of Nature (Ananthakrishnan et al. 1989). Furthermore Hajivassiliou & Duffett-Smith (1987) questioned all of the results published prior to their paper, claiming various inconsistencies which led them to conclude that there was no convincing evidence for enhanced scintillation due to comet ion tails. Slee et al. (1990) revisited their earlier results and those of Hajivassiliou & Duffett-Smith (1987) and were able to account for the majority of the negative detections reported. All of these results, and the debate surrounding them, illustrate the importance of being able to unambiguously identify scintillation from the comet tail, and separate it from contributions from the solar wind in which it sits and, at lower observing frequencies, the ionosphere.

An opportunity arose on 16 July 2020 to observe an occultation of the strong radio source 3C196 by the tail of Comet C/2020 F3 (Neowise) using the Low-Frequency Array (LOFAR – van Haarlem et al. 2013). As an instrument with a large number of stations across western Europe and capable of recording wideband high-resolution dynamic spectra from...
each station individually, it is ideally suited to observe interplanetary scintillation (Fallows et al. 2022), ionospheric scintillation (Fallows et al. 2020), with ways of separating the two (Fallows et al. 2016) where necessary, and the possibility to use the wealth of such data already taken to identify rarer phenomena. At this time the nucleus of the comet passed at a closest angular separation to the radio source of only 0°:42. Furthermore, the track of 3C196 relative to the comet tail was close to being perpendicular to the tail, meaning that the result is an almost direct cut across the tail. This is in marked contrast to almost all prior results, where the radio source tracks have tended to traverse more along the tail.

The LOFAR observation had a duration of five hours, allowing time both before and after the occultation for an assessment of background solar wind conditions, and enabling the additional contribution to the scintillation pattern from the comet tail to be obvious in the observation. The result is therefore a unique contribution to the scintillation pattern from the comet tail to be attributable to it. The first required the taking of interferometric data and it was hoped that beamformed data could be taken simultaneously for the second. Unfortunately this proved beyond the limits of the system at that time. The LOFAR data were therefore taken using only the interferometry mode, recording visibilities (correlated complex voltages from X- and Y-polarisations) for all stations (core stations, remote stations with the exception of RS306 and RS509, and international stations with the exception of PL611) available for observation at the time, with a time integration of 0.167 s over a bandwidth of 78.125 MHz between 110 and 189 MHz, divided into frequency bins of 12.2 kHz. In LOFAR observation-specification parlance, this is 400 subbands (each 195.3125 kHz wide) subdivided into 16 channels per sub-band. For the purposes of analysing the data for intensity scintillation, the visibilities from the auto-correlations were converted into Stokes Intensity via a standard formula, $I = re(XX + YY)$ and averaged to 1 channel per subband by taking the tenth percentile of the intensities. This has had the effect of reducing contributions from radio frequency interference (RFI) and the resulting intensity dynamic spectra had a final time resolution of 0.167 s and frequency resolution of 195.3125 kHz, and were saved to a separate HDF5 file. An intensity dynamic spectrum was also generated via the same method for visibilities formed from the coherent sum of all core stations (forming a “tied-array beam” in LOFAR parlance). The raw and processed visibilities, and HDF5 dynamic spectra are stored in the LOFAR long-term archive

![Fig. 1. Top: geometry of the line of sight to 3C196 relative to the Sun and comet (not to scale). P represents the point of closest approach of the line of sight to the Sun. Bottom: track of 3C196 relative to the comet (blue dashed line), in the sky plane, overplotted on an image of the comet taken at 20:46 UTC on 17 July 2020 by Michael Jäger (reproduced with permission). The green segment of the track marks the period of enhanced scintillation detailed in Sect. 3.](https://lta.lofar.eu/Lofar)
the lines of sight between radio source and receivers. This drift velocity therefore represents a line-of-sight integration of solar wind velocity components perpendicular to the lines of sight. However, since the amount of scattering falls with distance from the Sun as $R^{-2}$, it is commonly assumed that the drift velocity corresponds closely to the solar wind velocity around the point of closest approach of the line of sight to the Sun (the P-point labelled in Fig. 1), and this assumption is broadly reasonable if a single solar wind stream is dominant in line of sight. A cross-correlation analysis represents the most accurate method to calculate the velocity, as detailed in Fallows et al. (2022) and references therein.

For the cross-correlation analysis intensity time series’ were calculated by taking the median of the dynamic spectra across the 150–169 MHz section of the band, chosen such that the intensity structure remains well correlated over the band and strong interference from digital broadcast signals at the higher frequencies is excluded. A subset of predominantly international stations (Core, RS508, DE602, DE603, DE604, DE605, FR606, SE507, UK608, IE613, and LV614) were used in this analysis; remaining stations were found to have a higher level of interference which adversely affected the analysis. The intensity time series’ were divided into 5-min segments, advanced every 10 s. A high-pass filter at 0.05 Hz (this choice is lower than normally applied to IPS data, justified by the power spectra presented in Sect. 3.3) and low-pass filter at 2.3 Hz was applied to the power spectra calculated from the time series before cross-correlation.

3. Results

3.1. Dynamic spectra

Figure 2 shows a dynamic spectrum of the variation in intensity received by the LOFAR core, along with details of particular features. A period of strong scintillation lasting nearly 25 min is obvious in the figure. The same pattern is seen in data from all international stations of LOFAR, covering an area from Ireland to Latvia, which immediately discounts the possibility of the ionosphere being the source of the enhanced scintillation. Furthermore, no such short-duration burst of scintillation has been observed in many years of IPS data taken using LOFAR and other instruments by the primary author, including during extended observations covering the passage of Coronal Mass Ejections. Hence the plasma tail of the comet is the most likely source of the scintillation, and further indicated by its occurrence corresponding to the period when 3C196 was immediately downstream from the comet, as illustrated in Fig. 1.

The majority of the scintillation is visible as a rapid variation which remains well-correlated across the observing band, but a change is obvious near the start and end of the period of enhancement (lower plots of Fig. 2). Here, the intensity shows a longer-duration structure, curved towards lower frequencies, with opposite curvatures of the earlier and later times, demonstrating that intensity enhancements at the lower frequencies were first to arrive and last to leave. Similar effects are seen weakly within the period of enhancement.

3.2. Scintillation indices

The scintillation index, a normalised measure of the strength of the scintillation, can be defined as (Briggs & Parkin 1963):

$$S_4^2 = \frac{\langle I^2 \rangle - \langle I \rangle^2}{\langle I \rangle^2} \quad (1)$$

where $I$ represents the zero-mean normalised intensity fluctuations; $\langle \rangle$ denotes ensemble averaging, which is substituted by temporal averaging in the case of experimental observations. Here $S_4$ was estimated on the basis of the standard deviation of the zero-mean normalised intensity fluctuations over time intervals of both 5- and 2-min for all the radio wave frequencies observed, using the data from the tied-array beam formed from the core stations. Figure 3 shows a median of indices for frequencies above 150 MHz.

An enhancement in scintillation is obvious between 16:12 and 16:37 UT, showing a sharp twin-peak structure with a dip in the centre. A periodic structure is seen in the 2-min indices, which is somewhat averaged out in the 5-min calculations. This period of enhancement corresponds to the green segment of track of 3C196 relative to the comet given in Fig. 1, illustrating a strong correspondence with the passage of the plasma tail over the line of sight.

This is further illustrated in Fig. 4, which shows the $S_4$ indices for all frequencies observed. The enhancement in the scintillation index $S_4$ is characterised across the observing band by the two main peaks, which correspond to the intersection of the line of sight with the outer edges of the plasma tail. However, towards the lower frequencies the scintillation indices become stronger (as would be expected within the weak scattering regime) and the dip in between the two main peaks becomes less distinct. Indeed the multiple peaks of the 2-min indices...
Fig. 4. The $S_4$ scintillation index estimated for scintillation occurring on radio wave frequencies when intersecting Comet C/2020 F3 (NEOWISE) and observed by the tied-array beam formed from the core stations between 15:30 and 17:30 UT on 16 July 2020. Top: $S_4$ calculated over 5-min intervals. Bottom: $S_4$ calculated over 2-min intervals.

3.3. Power Spectra

Example power spectra for IE613 (Birr, Ireland) and LV614 (Ventspils, Latvia) are shown for four time intervals, corresponding to times well before and after the period of enhanced scintillation and of the peaks in enhanced scintillation, in Fig. 5.

The scintillation power below 0.2 Hz increases strongly in the spectra at 16:20 UT and 16:35 UT compared to times before and after for both stations. Such an increase would normally be assumed to be ionospheric in nature (e.g., Fallows et al. 2016) but in this case it is present in the power spectra from all stations simultaneously and only during the period of enhanced scintillation. Such a phenomenon could not be ionospheric, and so is assumed to be associated with the comet plasma tail for the purposes of the cross-correlation analysis. Hence the location of the high-pass filter was moved from a more usual 0.2 Hz to 0.05 Hz for the cross-correlation analysis.

Given the low level of scintillation observed outside of the period of enhancement, the radio-wave propagation problem can be approximated during the period of enhancement by assuming a single phase-changing screen in the comet tail. In this approach, fluctuations in the refractive index are due to fluctuations in the spatial distribution of the electron density. A turbulent distribution of irregularities described through a power-law spatial spectrum within the phase screen then originates an asymptotic behavior for the power spectra of the intensity fluctuations. Thus, the power spectra of intensity fluctuations under the assumption of weak scatter typically exhibit a power-law similar to that of the irregularity spectrum for temporal frequencies greater than the Fresnel frequency (Eq. (2)), whereas the power spectra approach, approximately, a constant for temporal frequencies smaller than the Fresnel frequency. This results in a characteristic knee in the spectrum at the Fresnel frequency, given by:

$$v_F = \frac{V_{REL}}{\sqrt{2} \lambda z}$$

where $V_{REL}$ is the relative drift between the ray path and the phase screen; $\lambda$ is the wavelength of the radio wave, and $z$ is the distance between the phase screen and the receiver.

By taking the wavelength as 2 m (150 MHz), the distances from Earth to the phase-changing screens as being 133352260 km (191.6 $R_{Sun}$) to the P-point of the line of sight and 112499000 km as the distance to the comet at 16:25 UT on 16 July 2020, and $v_F$ as 0.8 Hz and 0.08 Hz for the solar wind and comet plasma tail respectively, a calculation of $V_{REL}$ yields 584 km s$^{-1}$ for the solar wind and 54 km s$^{-1}$ for the plasma tail of the comet. It should be noted that these calculations become 1012 m s$^{-1}$ and 101 m s$^{-1}$ respectively if an ionospheric distance of 400 km is assumed. Whilst the former is unlikely to be taken as being reasonable for the mid-latitude ionosphere, the latter could be, thus illustrating a case of possible confusion (detailed theoretically in Forte et al. 2022) between scintillation from different possible origins.

3.4. Velocity

Components of the baseline length between each pair of stations are calculated in terms of the radial direction from the Sun, and the direction perpendicular to it, for the baselines projected onto the sky plane. Since the solar wind is commonly assumed to be radial in direction from the Sun, least-squares fits to plots of the radial baseline versus the time-lag of the peaks of the cross-correlation functions were performed to estimate velocity for each time segment through the observation, following the methods detailed in Fallows et al. (2022). For the bulk of the observation these plots showed little scatter, once obvious outliers due, typically, to segments of poor data from one or more stations.
were excluded, demonstrating a single solar wind velocity. However, during the period of enhanced scintillation significant extra scatter was noted, probably signifying the presence of a different velocity. Separate fits were therefore performed, excluding points consistent with the background solar wind velocity and any obvious further outliers. The results from fits to both velocities are shown in Fig. 6.

A main velocity of around 700 km s\(^{-1}\), consistent with a fast solar wind stream, is seen throughout the observation, with some variation during the period of enhanced scintillation. A second velocity at a little over 100 km s\(^{-1}\) is found only during the period of enhanced scintillation, and was found to be prominent throughout this period only when the excess power below 0.2 Hz in the spectra was included in the cross-correlation analysis. If the high-pass filter was set at a more usual 0.2 Hz this velocity was less obvious, particularly in the middle of the period of enhanced scintillation.

### 3.5. Intensity interferometry

The primary purpose of interferometry in radio astronomy is to obtain a detailed image of the radio source or field being observed, which entails careful calibration for signal delays resulting from variations in the observing system itself and the effects of propagation through intervening media. Fallows et al. (2022) demonstrated that applying the techniques of intensity interferometry (involving the cross-correlation of signal intensities instead of complex voltages) can result in the visualisation of properties related to the turbulent structure giving rise to scintillation itself. Full details of how this technique is used and applied are given in that paper and will not be repeated here, but a brief summary follows.

For any time-lag in the intensity cross-correlation functions calculated as above the corresponding cross-correlation values can be plotted on a spatial grid with components in the radial direction from the Sun and tangential to it. Each value is plotted on this grid according to the (radial,tangential) components of the baseline between the associated pair of stations. Cross-correlation values at the positive time-lag are plotted assuming the baseline vector is taken in the positive radial direction (i.e., away from the Sun), and the cross-correlation values at the equivalent negative time-lag are simultaneously plotted assuming the baseline vector is in the negative radial direction (i.e., towards the Sun). The resulting scatter plot is then contoured and the contours displayed as the final image, which is henceforth called a “spatial correlation” image.

An example for cross-correlation values at zero time-lag for 16:00 UT, prior to the occultation of 3C196 by the comet tail, is given in Fig. 7. The minimum and maximum cross-correlation values used in the colour scale are chosen to saturate the image such that the basic shape is emphasised, which is what is important here. This shape is a result of the convolution of the structure of the radio source itself and that of the spatial spectrum of density irregularities giving rise to the IPS observed. The individual values which were used to form the image are overplotted, to illustrate how the contours were formed. There is a dearth of data points with short baselines, resulting from the fact that data from several remote stations in the Netherlands were considered too poor for use, meaning that the central area of the image contains no data. However, additional structure is not expected in this region for a normal solar wind and the shape presented here mostly matches that of the solar wind given in Fallows et al. (2022).

The remainder of the CCF contains a great deal of additional information when viewed in spatial plots such as these and, by cycling through all the time-lags, a movie of the spatial cross-correlation can be made which visualises not only structure but also motion. Figure 8 illustrates this for spatial correlation images at different time-lags for 16:00 UT, and shows how the structure moves with the solar wind across the field of view. It should be noted that, although the non-zero time-lag images are less affected by radio source structure and so more indicative of solar wind density structure, they are still strongly biased by the available data points used to form them.
Figure 9 presents several images at different time-lags for 16:15 UT and 16:35 UT, times towards the start and end of the occultation respectively. These show a very different structure to that shown in Fig. 8 and motion within the structure cannot be clearly discerned in the images presented. Gaps within the broad structure remain static and so are a result of the available data points. Motion is, however, apparent in movies created from images at all time-lags for these times and these are available in the online material. The movies illustrate both the motion associated with the solar wind, similar to that shown in Fig. 8, and, less clear but certainly there, a slow-speed motion of material within the broader structure itself.

4. Discussion

The results presented show unequivocally that the enhanced scintillation seen here is a result of scattering through the plasma tail of the comet. It appears only when the line of sight passes through the tail, is seen by all LOFAR stations thus discounting an ionospheric origin, and is associated with a second velocity at a little over 100 km s\(^{-1}\), itself far slower than typically observed in the solar wind at these distances and too fast to be ionospheric. The background solar wind stream is fast, at around 700 km s\(^{-1}\), which is expected given the high heliographic latitude of 66° of the point of closest approach to the Sun of the line of sight at the time. There is some obvious variation during the period of enhanced scintillation which is not present at other times; the exact reason can only be postulated at this time, but perhaps it is a result of some buffeting between the solar wind and the comet tail. The second velocity has a mean value of 123 km s\(^{-1}\), which is consistent with the value expected from within the comet tail close to the comet itself. The location of the comet within the line of sight is known, which means that the foreshortening of the velocity can be corrected by division by \(\cos(16.8)\) (the angle given in Fig. 1). This correction is small, increasing the mean value to 128 km s\(^{-1}\). The physical distance of the line of sight from the comet is estimated to be ~860,000 km at closest approach. This velocity therefore fits with what would be expected from spacecraft measurements covering a few different distances from their respective comets (see, e.g., a summary table presented in Neugebauer et al. 2007), as well as the assumption of Iju et al. (2015).

In the presence of weak scattering and under the assumption that radio-wave scintillation is produced from scattering through a single phase-changing screen, it can be shown that the amount of scintillation is in direct proportion to the strength of the small-scale variations in density, \(\Delta N\). This quantity is commonly assumed to be related to the density \(N_e\) in observations of IPS (e.g. Jackson et al. 1998), but the nature of this relationship is not exact for all circumstances. Iju et al. (2015) assumed proportionality between \(\Delta N_e\) and \(N_e\) in their estimation of \(N_e\) within the tail of Comet ISON (C/2012 S1). However, direct spacecraft measurements of density within the plasma tail (e.g. Bame et al. 1986; Meyer-Vernet et al. 1986) suggest a very sharp peak in density, and do not indicate any dip in the centre which could be consistent with a “hollow-tube” plasma distribution postulated by Bird et al. (1984) and inferred from the \(N_e\) results of Iju et al. (2015). The curved intensity structure in the dynamic spectra presented in Fig. 2 suggest refraction through the edges of a denser region of plasma and the application of a simple numerical scattering model (Boyd et al. 2022) to a single scattering screen with an assumed Gaussian density structure results in just such a curved structure in a dynamic spectrum (Boyd, priv. comm.). The sharply-peaked nature of the scintillation profile shown here therefore suggests a relation with strong turbulence along the tail boundary, but the dip in scintillation index between the peaks is unlikely to be associated with a dip in density, illustrating a case where the assumed proportionality between \(\Delta N_e\) and \(N_e\) breaks down. Given that the velocity of the tail material remains almost constant and only detectable within the period of enhanced scintillation, and that the analysis of white light measurements of a different comet plasma tail indicate a flow at solar wind speeds (e.g. Clover et al. 2010), we postulate that a steep velocity shear exists between the tail boundary and the surrounding solar wind and that this is the likely cause of the strong turbulence.

The power spectra shown in Fig. 5 also show the dual contributions from the solar wind and the plasma tail, with a low-frequency excess evident from all stations throughout the period of enhanced scintillation. Under normal circumstances this excess could easily be confused with an ionospheric contribution (as illustrated by the Fresnel frequency calculations in Figs. 1 and 3) and the tail is, however, within, illustrating the necessity for simultaneous data from multiple, widely-spaced, stations and/or observations of additional radio sources not occulted by the plasma tail to discount this possibility.

The spatial correlation images shown in Fig. 9 provide further confirmation of the comet tail being the source of the enhanced scintillation. The structure shown, which primarily reflects the small-scale density variations giving rise to scintillation, shows a highly-elongated structure which broadly matches in direction the elongated tendrils of plasma seen in photographs such as that given in Fig. 1. Furthermore, the spatial correlation structure can be seen to move across the field of view as the comet itself moves with respect to the LOFAR lines of sight. In the movies, structure associated with the background solar wind can be seen propagating quickly out of the field of view in the same way as can be seen in Fig. 8, while further sub-structure within the main elongated ribbon can be observed to propagate within it at the speed calculated for the cometary material. These images illustrate that material within the plasma tail of the comet is not flowing exactly in a radial direction away from the Sun, but is slightly off-radial with the flow orientation opposite on different sides of the tail. The images at 16:35 UT show a slightly greater orientation with respect to the radial direction than those at 16:15 UT, which appears to reflect the orientations of plasma tendrils in the photograph.

5. Conclusions

This observation represents a unique combination of highly-detailed data from a world-leading radio telescope and a fortuitous geometry whereby the radio source cuts an almost direct profile across the plasma tail of the comet. The effects of scintillation due to the tail itself are unequivocally visible, enabling an insight into turbulence within the tail and in the interaction between it and the surrounding solar wind.

The velocity of material within the plasma tail of the comet is found to be just over 100 km s\(^{-1}\) at a distance of approximately 860,000 km downstream from the comet itself. It is detected simultaneously with the ~700 km s\(^{-1}\) of the background fast solar wind and remains constant throughout almost the entire period of enhanced scintillation.

Scintillation indices show a twin-peak structure indicating strong turbulence along the tail boundaries. When combined with the velocity information, this suggests that the turbulence is the result of a strong velocity shear between the comet tail and
Fig. 9. Spatial correlation images at time-lags (top to bottom) 0 s, 3.36 s, and 6.71 s for (left) 16:15 UT and (right) 16:35 UT. Movies of images at all available time lags are given in online material.

the surrounding solar wind. The curved intensity structure in the dynamic spectra indicate refraction through the edges of a denser density structure, when the dip in scintillation indices between the twin peaks would typically suggest a density decrease, thus representing an occasion when the usual assumption of proportionality between $\Delta N_e$ and $N_e$ breaks down.

The spatial correlation images offer a unique view of the turbulent structure, and further confirm the association of the IPS observed with the comet tail. The turbulent structure appears highly elongated, in off-radial directions which appear to match the tendrils of plasma seen in detailed photographs.

The duration of the enhanced scintillation in combination with the known distance to the comet leads to an estimate of the comet tail diameter of $\sim 100,000$ km. This is a tiny distance compared to the length of the line of sight through the inner heliosphere ($\sim 2$ AU), and yet the comet tail is both dense enough and turbulent enough to lead to an obvious change in the observed IPS, at least at this close pass of the comet to the line of sight.

A common assumption in the analysis of scintillation is that of scattering due to a “thin screen” in the line of sight, with the line of sight integration accomplished through the addition of several such screens (e.g. Coles 1996). The scintillation from the narrow comet tail presented here can be pictured as being due to only a single screen in the line of sight, thus enabling this observation to be a natural laboratory to test the scattering theory and associated assumptions applied to observations of radio scintillation covering any of the interstellar, interplanetary, and ionospheric regimes.

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