Coherent electronic transfer in quantum dot systems using adiabatic passage.

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We describe a scheme for using an all-electrical, rapid, adiabatic population transfer between two spatially separated dots in a triple-quantum dot system. The electron spends no time in the middle dot and does not change its energy during the transfer process. Although a coherent population transfer method, this scheme may well prove useful in incoherent electronic computation (for example quantum-dot cellular automata) where it may provide a coherent advantage to an otherwise incoherent device. It can also be thought of as a limiting case of type II quantum computing, where sufficient coherence exists for a single gate operation, but not for the preservation of superpositions after the operation. We extend our analysis to the case of many intervening dots and address the issue of transporting quantum information through a multi-dot system.

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INTRODUCTION

Practical devices based on coherent quantum mechanical properties are widely seen as being one of the next major advances in electronics. This search is typified by the keen interest in quantum computation [1]. In this paper, however, we seek first to explore a slightly different aspect of coherent quantum devices, by investigating how coherence can be used to enhance the capabilities of an otherwise incoherent electronic device. We will then consider extensions where quantum information, rather than classical information, is transported around a quantum dot system.

We consider how to utilize coherent charge transfer in an incoherent device, i.e. one where the initial and final states of the device are eigenstates of the system Hamiltonian, but intermediate states are formed by coherent superposition states. Applications which might benefit by such coherent charge transfer could be single electron pumps [2] or electronic digital logic (for example through quantum-dot cellular automata (QDCA) [3]), but our ideas are general and should have wide applicability. By exploring this regime we seek to increase our understanding of quantum coherence and exploit coherent effects before the technical difficulties of building truly global coherent quantum devices have been solved. As such one may even consider our proposal as realising a limiting case of a type-II quantum computer [4] where there is enough coherence to effect a single gate operation (a swap gate) but not enough coherence to maintain any superpositions after the initial transfer is completed.

Mechanisms of coherent population transfer can be broadly classified into two classes, nonadiabatic and adiabatic. Nonadiabatic methods are usually typified by control of tunnelling to realize $\pi$ or similar pulses, which transfer population from one state to another coherently and rapidly. Nonadiabatic processes require exacting control over pulse areas and tunnelling rates that are fast compared with all relevant dephasing times. Although nonadiabatic population transfer is a goal, the sensitivity to noise of nonadiabatic transfer can place prohibitive requirements on pulse switching times in certain implementations. Adiabatic transfer is usually typified by quasi-static population transfer. Such methods are usually slow and are accompanied by high fidelity and relative insensitivity to gate errors and other external noise. Between these regimes lie adiabatic passage techniques which combine the advantages of robustness from adiabatic techniques with well-defined trajectories to realize population transfer rates approaching those of the nonadiabatic regime. We differentiate adiabatic passage from other adiabatic transfer processes by the simultaneous modulation of at least two system parameters to realize a desired trajectory through the Hilbert space. For a further discussion of adiabatic and nonadiabatic timescales in quantum electronic transfer see Ref. [5].

Adiabatic passage techniques were originally developed for NMR and optical applications. For a good review see Ref. [6]. There have been several investigations of the applications of electromagnetically mediated adiabatic passage in solid-state quantum computing, see for example Ref. [7]. There are reasonably few proposals for adiabatic passage without using electro-magnetic radiation in the literature, although Brandes and Vorrath consider adiabatic passage through a double-dot system [8]; Barrett and Milburn considered using adiabatic passage as a method for determining dephasing rates in a qubit [9]; and more recently Zhang et al. [10] have proposed a scheme for generating entanglement in a triple-dot, two-electron system via adiabatic passage. Adiabatic passage has been applied to the problems of reading out a charge-superposition qubit [11] and spin qubit [12].
Another possible implementation in a 2-D electron gas is by external gates and can therefore be time varying. (b) Another possible implementation in a 2-D electron gas. Light gray rectangles represent the S and B gates. The gates are set so that only one electron is allowed in the system, with only one electronic state per region. There are electron reservoirs to the left and right hand side. Readout for both schemes is assumed via sensitive electrometers (not shown).

We propose adiabatic passage through a triple-well system. We term this method CTAP (Coherent Tunnelling by Adiabatic Passage) and it constitutes an all-electrical analogue to the more well-known optical STIRAP (Stimulated Raman Adiabatic Passage) scheme for population transfer in a three-level Λ system. Independently, Eckert et al. [12] have considered the realization of an analogous STIRAP style scheme with a neutral atom in a triple well potential formed in an optical trap. Such schemes are more complicated than two-state adiabatic fast passage, but have the advantage that the electron energy is unchanged throughout the population transfer. This consideration is important when considering the trade-off between heat dissipation and gate speed in QDCA [13], and our scheme could be used to realise true adiabatic clocking of a QDCA, as opposed to the quasi-adiabatic clocking schemes reported recently [14]. Maintaining constant energy is also important for preventing an extra dynamical phase appearing when quantum information is being transported, as will be discussed below.

Transport in a triple-dot system

A schematic of our system appears in Fig. 1. We have a triple-well system |1⟩, |2⟩, |3⟩, where we wish to achieve coherent population transfer from |1⟩ to |3⟩ without any population being in state |2⟩. The system is controlled by shift gates, Si which control the energy of dot i, and barrier gates, Bi,i+1 which control the tunnelling rate between dots i and i+1. Our scheme is applicable to any system where the coherent tunnelling times can be varied from much slower than the Ti time (population relaxation) to several times faster than the Ti time (coherence relaxation). An ideal system to consider for implementing this proposal would be the the phosphorus in silicon donor scheme introduced in [13] for quantum computing, however it could equally well be realized in a superconducting system [14], two-dimensional electron gas [17], or even conceivably in a metallic triple-dot structure realised via small buried phosphorus clusters [18, 25]. To proceed, we write down the Hamiltonian for the triple-well system in matrix form, with state ordering |1⟩, |2⟩, |3⟩.

\[
\mathcal{H} = \hbar \begin{bmatrix} 0 & -\Omega_{12} & 0 \\ -\Omega_{12} & \Delta/\hbar & -\Omega_{23} \\ 0 & -\Omega_{23} & 0 \end{bmatrix}
\]

(1)

where \(\Omega_{\alpha\beta} = \Omega_{\alpha\beta}(t)\) is the coherent tunnelling rate between position eigenstates |α⟩ and |β⟩ and \(\Delta = E_2 - E_1 = E_2 - E_3\). In practice, to maintain the state energies will require the use of S gates for tuning and compensation. The eigenstates of the Hamiltonian of Eq. (1) are

\[
|D_+⟩ = \sin Θ_1 \sin Θ_2 |1⟩ + \cos Θ_1 \cos Θ_2 |3⟩,
|D−⟩ = \sin Θ_1 \cos Θ_2 |1⟩ - \cos Θ_1 \sin Θ_2 |3⟩,
|D0⟩ = \cos Θ_1 |1⟩ + 0|2⟩ - \sin Θ_1 |3⟩,
\]

(2)

where we have introduced

\[
Θ_1 = \arctan(\Omega_{12}/\Omega_{23}) \\
Θ_2 = \frac{1}{2} \arctan \left( \sqrt{\frac{(2\hbar\Omega_{12})^2 + (2\hbar\Omega_{23})^2}{\Delta}} \right)
\]

(3)

The energies of these states are:

\[
\mathcal{E}_± = \frac{\Delta}{2} ± \frac{1}{2} \sqrt{\left(2\hbar\Omega_{12}\right)^2 + \left(2\hbar\Omega_{23}\right)^2 + \Delta^2},
\mathcal{E}_0 = 0.
\]

(4)

Our aim is to induce population transfer from state |1⟩ to |3⟩ by maintaining the system in state |D0⟩ and changing the characteristics of |D0⟩ from |1⟩ at t = 0 to |3⟩ at t = t_{max} by appropriate control of the tunnelling rates, without population leakage into the other eigenstates. Provided we start in an eigenstate, and transform our Hamiltonian adiabatically, we will remain in the same eigenstate. The criterion for adiabaticity is

\[
|\mathcal{E}_0 - \mathcal{E}_±| \gg |⟨D_0|D_±⟩|.
\]

(5)

It is usual to apply Gaussian pulses to realize the adiabatic transfer, and we consider pulses of the form

\[
\Omega_{\alpha\beta} = \Omega_{\alpha\beta}^{max} \exp \left[ -\left( t - t_{\alpha\beta} \right)^2 / (2\sigma_{\alpha\beta}^2) \right],
\]

(6)
where $t_{\alpha\beta}$ and $\sigma_{\alpha\beta}$ are the peak time and standard deviation of the control pulse modulating the tunnelling rate between states $|\alpha\rangle$ and $|\beta\rangle$. For simplicity we set the maximum tunnelling rates and standard deviations for each transition to be equal, i.e. $\Omega_{\alpha\beta}^{\max} = \Omega^{\max}$ and $\sigma_{\alpha\beta} = \sigma$, and set $\Delta = 0$ in the discussion that follows. Under these conditions, transfer is optimized when the width of the pulses equals the time delay between the pulses $\Omega^{\max}$, with total pulse time $t^{\max}$, we choose $\sigma = t^{\max}/8$ and the barrier controlled tunnelling rates

$$\Omega_{12}(t) = \Omega^{\max} \exp\left[-\left(t - \frac{t^{\max} + \sigma}{2}\right)^2/(2\sigma^2)\right],$$

$$\Omega_{23}(t) = \Omega^{\max} \exp\left[-\left(t - \frac{t^{\max} - \sigma}{2}\right)^2/(2\sigma^2)\right]. \quad (7)$$

This ordering, where $\Omega_{23}$ is applied before $\Omega_{12}$ is known as the counter-intuitive pulse sequence and has significant advantages in improving transfer fidelity over other pulse sequences. Under these conditions, the energies of the eigenstates are shown in Fig. 2 for $t^{\max} = 10\sigma/\Omega$. In order to proceed, we numerically solve the master equations for the density matrix, $\rho$,

$$\dot{\rho} = -\frac{i}{\hbar} [\mathcal{H}, \rho] + \Gamma [\rho - \text{diag}(\rho)], \quad (8)$$

where $\Gamma$ is the $T_2$ (pure dephasing) rate, assumed to act equally on all coherences. As we are primarily considering solid-state systems, we ignore the $T_1$ rates, as $T_2$ is generally much faster, although such rates could be added in principle.

In Fig. 3 we present results showing the population transfer using the counter-intuitive and intuitive pulse orderings without dephasing. As long as the adiabaticity criteria is satisfied, the fidelity of population transfer is very high. In practise the maximum possible transfer rates will be a few times greater than $\Omega^{\max}$.

We have considered the effects of $T_2$ dephasing in Fig. 4 which shows the populations as a function of the total transfer time, $t^{\max}$ and $\Gamma$. We can broadly identify three regimes here. When $t^{\max}\Omega^{\max}/\pi \lesssim 2$, nonadiabatic transfer is observed, typified by the oscillating populations in [1] and [2]. Note that no appreciable population builds up in [3] in this regime. For $t^{\max}\Omega^{\max}/\pi \gtrsim 5$ and $\Gamma/\Omega^{\max} \gtrsim 0.1$ the populations of all three states tend to equilibrate, again this regime is not useful for high fidelity transfer. In order to maintain high fidelity, adiabatic transfer, we need $t^{\max}\Omega^{\max}/\pi \approx 3.75$ and $\Gamma/\Omega^{\max} \lesssim 0.1$.

That there is a window of transfer times to achieve high fidelity transfer should not be surprising, considering that the inclusion of $T_2$ effects introduces a characteristic timescale, beyond which coherence cannot be maintained. So one must perform a transfer slow enough to be adiabatic, but fast enough to not be decohered. Although these values for $\Gamma/\Omega^{\max}$ may appear small compared with optical systems, one should realise that in STIRAP we are comparing the transfer times with the two-photon decoherence of the two ground states, which is normally very much longer than the decoherence rates of the optical transitions. In our case we have assumed that the action of the environment is to decohere all superposition states equally as a pure dephasing process. Pure dephasing is known to limit the performance of charge qubits and is manifested by electrostatic interactions with background charge fluctuations and phonon interactions [20].

Because CTAP is a coherent transfer method, there will be no heat dissipated by the electron during the transfer, and because the initial and final states are
ground states, there can be no heat dissipation after transfer either. Therefore, provided the transfer is fast relative to the decoherence time, there will be no heat dissipation regardless of the transfer speed. This should be contrasted with the quasi-adiabatic result for QDCA switching where there is a necessary tradeoff between switching time and heat dissipation. It must be stressed that although our scheme does not dissipate energy in the electronic system, there will of course be energy dissipation involved with charging/discharging the control gates.

In the preceding discussion, we have assumed that the experimental parameters are known to arbitrary precision. In general, however, the characterization of experimental Hamiltonians is a difficult problem, and complicated protocols must often be employed in order to extract the parameters to high fidelity (see for example Schirmer et al. [21] for the case of a two-state system). One advantage of STIRAP like schemes is that they are relatively insensitive to the exact conditions. We have illustrated this in Fig. 4 where the effects of energy mismatches between the states have been modeled. Here we show \( \rho_{33} \) as a function of \( \Delta_{31} = E_3 - E_1 \) and \( \Delta_{21} = E_2 - E_1 \) for \( t_{\text{max}} = 15\pi/\Omega \) to simulate the effect of a systematic error in the energy levels. We have ignored the effects of dephasing for clarity in this figure. As is expected from the form of the dressed states in Eq. 2 the system is less sensitive to \( \Delta_{21} \) than \( \Delta_{31} \) as expected for three-state adiabatic passage.

One obvious extension of this work is to consider the passage through more than one intervening dot. This extension has been considered several times in the optical literature and we concentrate here on one particular extension, the so-called straddling scheme of Malinovsky and Tannor [22]. For simplicity we will not include the effects of dephasing in this treatment.

To realise the straddling tunnelling sequence (SCTAP) we must augment the original pulse sequence of Eq. 7 by the straddling pulses which are the same for all intervening tunnelling rates. To be more precise, if we label the dots \( 1, 2 \cdots n \) with tunnelling rates \( \Omega_{12}, \Omega_{23} \cdots \Omega_{n-1,n} \) and we wish to transfer population from dot 1 to dot \( n \), then we apply the pulse sequence

\[
\Omega_{12}(t) \equiv \Omega_1 = \Omega_{CI}^{\text{max}} \exp \left\{ -\frac{(t - (t_{\text{max}}/2 + \sigma))^2}{2\sigma^2} \right\},
\]

\[
\Omega_{n-1,n}(t) \equiv \Omega_2 = \Omega_{CI}^{\text{max}} \exp \left\{ -\frac{(t - (t_{\text{max}}/2 - \sigma))^2}{2\sigma^2} \right\},
\]

\[
\Omega_{i,i+1}(t) \equiv \Omega_S = \Omega_S^{\text{max}} \exp \left\{ -\frac{(t - t_{\text{max}}/2)^2}{4\sigma^2} \right\},
\]

where \( 1 < i < n-1 \), \( \Omega_{CI}^{\text{max}} \) is the maximum tunnelling rate for the counter-intuitive pulses, and \( \Omega_S^{\text{max}} \) is the maximum tunnelling rate for the ‘straddled’ transitions. Note that in contrast to the 3 state pulse sequence in Eq. 7 (with pulse separation \( \sigma \)), the spacing between pulses is \( 2\sigma \) for the straddling scheme. The pulse separation in each case was chosen to optimize the transfer fidelity.

**FIG. 4:** Pseudo-color plots showing populations (scale: red = 1, blue = 0) (a) \( \rho_{11} \), (b) \( \rho_{22} \) and (c) \( \rho_{33} \) as a function of total pulse time (in units of \( \pi/\Omega^{\text{max}} \)) and dephasing, \( \Gamma \) (in units of \( \Omega^{\text{max}} \)). Successful transfer is identified by \( \rho_{33} \approx 0, 1, \) this condition is satisfied when \( \Gamma/\Omega^{\text{max}} \lesssim 0.1, \) and \( t_{\text{max}}\Omega^{\text{max}}/\pi \approx 3.75. \)

**FIG. 5:** Pseudo-color plot with overlayed contours showing \( \rho_{33} \) as a function of energy mismatches \( \Delta_{31} \) and \( \Delta_{21} \) in units of \( \Omega^{\text{max}} \) for \( t_{\text{max}} = 15\pi/\Omega^{\text{max}} \). The system is less sensitive to \( \Delta_{21} \) than \( \Delta_{31} \) as expected for three-state adiabatic passage.
We are unable to provide a simple physical explanation for why the pulses separations in each case are different.

The Hamiltonian for the straddling scheme is \((\Delta = 0)\)

\[
\mathcal{H} = \begin{bmatrix}
0 & \Omega_1 & 0 & \cdots & 0 & 0 & 0 \\
\Omega_1 & 0 & \Omega_S & 0 & 0 & 0 & 0 \\
0 & \Omega_S & 0 & 0 & 0 & 0 & 0 \\
\vdots & \ddots & \ddots & \ddots & \ddots & \ddots & \vdots \\
0 & 0 & 0 & \Omega_S & 0 & \Omega_2 & 0 \\
0 & 0 & 0 & \Omega_S & 0 & \Omega_2 & 0 \\
0 & 0 & \cdots & 0 & \Omega_2 & 0 & 0
\end{bmatrix}. \tag{10}
\]

In contrast to Malinovsky and Tannor \cite{22}, we find that this scheme is only effective for odd numbers of dots. This discrepancy between even and odd numbers of states can be understood by examining the eigenvalue structure through an adiabatic passage.

In Fig. 6 we show the eigenvalues for the 5 and 6 state SCTAP pulses with \(\Omega_{\mathrm{CS}}^{\mathrm{max}} = 5\Omega_{\mathrm{CJ}}^{\mathrm{max}}\) and \(t_{\mathrm{max}} = 10\pi/\Omega_{\mathrm{CJ}}^{\mathrm{max}}\). Note that for 5 state transfer, there is one state at zero energy, with the other states symmetric about it, whereas for the 6 state system, there are two states close to zero. These patterns are typical of all even and odd state systems. The proximity of these two states to zero means that the adiabaticity criterion is much harder to satisfy for states with an even, rather than odd number of states.

The eigenvalues of the Hamiltonian in Eq. 10 are in general rather complicated, however, the zero energy eigenstate has a relatively simple form in this case which is (unnormalized)

\[
|D_0^{2n-1}\rangle = \cos \Theta_1 |1\rangle - (-1)^n \sin \Theta_1 |2n-1\rangle
\]

\[
- \quad X \sum_{j=2}^{n-1} (-1)^j |2j-1\rangle,
\]

where \(\Theta_1\) is defined as above, and

\[
X = \frac{\Omega_1 \Omega_2}{\Omega_S \sqrt{\Omega_1^2 + \Omega_2^2}}. \tag{12}
\]

for an array of \(2n - 1\) dots. We can now see that the scheme prevents occupation of the even numbered states, and minimizes population in the other undesirable states, as long as \(X \ll 1\). Note the similarities between these states, and the ones derived in the context of the chain \(\Lambda\) scheme in Ref. \cite{23} which had alternating couplings and energy detunings.

To determine the parameter range needed to achieve high fidelity transfer, we solve the density matrix equations of motion for the multi-dot system, with varying \(t_{\mathrm{max}}\) and \(\Omega_{\mathrm{CS}}^{\mathrm{max}}\) for constant \(\Omega_{\mathrm{CJ}}^{\mathrm{max}} = 50\). In Fig. 6 we present results showing \(\rho_{nn}\) as a function of the total transfer time, \(t_{\mathrm{max}}\), for 5, 7, 9, 11, 13, and 15 state systems. Note the almost monotonic behaviour with occasional ‘ripples’. Because of the different pulse separations of the 3 state case, it is not valid to directly compare it with the other cases, although population transfer for the 3 state case is always easier to achieve than for other cases.

**QUANTUM INFORMATION TRANSFER ACROSS A LINEAR CHAIN**

We now consider applying the CTAP scheme to the problem of transferring quantum information across the chain. This may be of use in quantum computation, where quantum information is required at a different point from where it is generated (e.g. taking information from a ‘computation zone’ to a ‘measurement zone’).
It is important to realise that the CTAP schemes as described are not capable of realizing swap operations without some modification, perhaps along the lines suggested by Unanyan et al. However it is possible to perform a transfer of quantum information across a network. We consider the problem of attempting to switch the superposition state of a single charge-qubit using CTAP pulses. Consider against errors and fluctuations. It is important to realise that the CTAP schemes as described earlier have effectively cancelled so that the final state is in phase with the initial state.

One may extend these calculations to transfer across many dots using an extension of the SCTAP sequence. However for quantum information transfer, transfer across an even number of dots will be unsatisfactory as all states change their energy along the SCTAP trajectory.

**CONCLUSIONS**

We have described a method of coherent electronic transport through a triple-well system which we term CTAP (Coherent Tunnelling Adiabatic Passage) by analogy with the optical process STIRAP (Stimulated Raman Adiabatic Passage). This scheme is realized by electronic modulation coherent tunnelling rates by control of barrier gates. It is a high fidelity process, provided the coherent tunnelling rates are fast compared with the $T_2$ dephasing times. This scheme involves no change in the electronic energy throughout the transfer regardless of transfer speed, and so provides an interesting alternative to more conventional methods for quasi-adiabatic clocking in QDCA cells.

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[25] In a metallic structure there will be a very large dephas-ing rate, however because electronic transfer is ‘one-way’ in this scheme, the problem of electron registration associated with observing coherent oscillations is avoided, hence coherent transfer should be observable even without Rabi-like oscillations.