Evaluation of Lattice Light Shift at Low $10^{-19}$ Uncertainty for a Shallow Lattice Sr Optical Clock

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A Wannier-Stark optical lattice clock has demonstrated unprecedented measurement precision for optical atomic clocks. We present a systematic evaluation of the lattice light shift, a necessary next step for establishing this system as an accurate atomic clock. With precise control of the atomic motional states in the lattice, we report accurate measurements of the multipolar and the hyperpolar contributions and the operational lattice light shift with a fractional frequency uncertainty of $3.5 \times 10^{-19}$.

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Introduction.—Optical lattice clocks are advancing measurement precision to an unprecedented level [1,2]. Achieving a similar level of measurement accuracy is both an expected natural development and a necessary condition for the future redefinition of time [3–12].

The Sr optical lattice clock at JILA Sr1 employs a shallow one-dimensional (1D) optical lattice with enhanced atomic coherence and record low self-synchronous frequency instability [1]. This 1D lattice is established within an optical cavity oriented along the direction of gravity. Since neighboring sites are detuned by the gravitational potential energy difference, ultracold atoms confined in the lattice are described by Wannier-Stark (WS) wave functions [13]. Important ingredients for such significant progress in clock precision include cooling a large yet dilute sample of fermionic $^{87}$Sr atoms to below 100 nK, well-characterized motional states, microscopic imaging spectroscopy, long atomic coherence time (> 30 s), and the precise control of atomic interaction effects. Further, at low lattice depths atom-atom interactions are modified, effectively eliminating atomic interaction effects. Further, at low lattice depths the motional state and related density-related frequency shifts are reduced and precisely measured to remove the atomic interaction effects when the lattice depth is varied. The motional state and related transverse temperature are monitored with an independent probe, which is important for measuring the contribution from the $E2$-$M1$ term.

The lattice light shift model is proposed for a lattice without considering the tunneling effect [16–18]. Since the gravitational tilt of the lattice is small compared to the band gap, the model is valid with WS states [19]. Here, we vary the lattice trap depth ranging from the WS regime to the more traditional isolated lattice configuration to explore the light shift effects.

The lattice light shift of the clock transition $\Delta \nu_{LS}$ can be expressed as a function of three control parameters: the lattice depth $u$, lattice frequency $\nu_l$, and the axial state quantum number $n_z$. Following the convention established in Refs. [16,17], the lattice light shift can be written as

$$
\hbar \Delta \nu_{LS}(u, \delta_{L}, n_z) \approx \left( \frac{\partial^2 E_1}{\partial \nu^2} \right) \delta_{L} \left( n_z + \frac{1}{2} \right) u^{1/2} \left( n_z + n_z + \frac{1}{2} \right) u^1 \left[ \frac{3}{2} \tilde{b} \left( n_z + \frac{1}{2} \right) u^{1/2} - \tilde{b} u^2. \right)
$$

(1)

The transverse motional effect is accounted for with the use of an effective depth, $u^j = (1 + j k_B T_r / u_0^2 E_r)^{-1} u_0^j$, where $k_B$ is the Boltzmann constant, $T_r$ the radial temperature measured by transverse Doppler spectroscopy [Fig. 1(d)], $u_0 = U_0 / E_r$ is the peak lattice depth, and the superscript $j$ represents the thermally averaged $j$th power of the lattice.
the differential electric dipole (from $E$) Eigenstates of a transition to an $i\lambda c$ without changing the axial quantum number $m_z$ lifts the energy degeneracy between adjacent lattice sites by $g$. FIG. 1. (a) Schematics of the 1D optical lattice system. Gravity potential. Due to the limited transfer efficiency and reduced trapping potential. For axial state control, a clock pulse resonant to the blue sideband [shown in Fig. 1(a)] drives $|n_z = 0\rangle \rightarrow |n_z = 1\rangle$. WS $300 nK$ radially at $U_0 = 300E_r$. The lattice intensity is adiabatically ramped to a range of depths and $T_r$ is confirmed to vary from 700 to 60 nK. The atom number is about $10^5$ for $(u, v_L)$ dependence measurement and $2 \times 10^4$ for $n_z$ dependence measurement. Figure 1(c) presents a spectroscopic characterization of the motional state distribution of the atoms. After the preparation, we lower the lattice depth to the desired level and transfer the spin states with a series of clock $\pi$ pulses together with cleaning pulses. In all cases, we use the magnetically insensitive $|S_0, m_F = \pm \frac{3}{2}\rangle \rightarrow |3P_0, m_F = \mp \frac{3}{2}\rangle$ transition. For axial state control, a clock pulse resonant to the blue sideband [shown in Fig. 1(a)] drives $|n_z = 0\rangle \rightarrow |n_z = 1\rangle$ at $U_0 = 22E_r$. Transfer efficiency is about 15%–20% and results in a $T_r$ reduction of 40% [see Fig. 1(d)]. Nevertheless, this temperature difference is negligible due to the low temperature and the proximity to the $E1$ magic frequency. We use a cryogenic-silicon-cavity-stabilized laser to drive the clock transition [20,21]. Two interleaved atomic serve at two different conditions $(u, \delta_L, n_z)$ track the clock resonance and continuously average the differential frequency shift [22]. With a cavity stability of $4 \times 10^{-17}$, the Dix effect limited self-comparison stability is about $2 \times 10^{-16}$ at 1 s with 380 ms Rabi pulse and about 1 s duty cycle. We determine the frequency shift by averaging collected frequency differences and assign $1\sigma$ statistical uncertainty from a fit to the overlapping Allan deviation taken at 1/3 of the total measurement time $\tau$. In all cases, density shift corrected Allan deviations of the frequency difference follow the expected white frequency noise trend of $1/\sqrt{\tau}$. Typical uncertainties are less than $3 \times 10^{-18}$ for $(u, \delta_L)$ modulation and about $5 \times 10^{-18}$ for $n_z$ modulation.

vector contribution is canceled by clock interrogation of opposite sign $m_F$ states. $\tilde{\alpha}^{m}\hbar$ is the differential multipolar polarizability in units of hertz, and $\tilde{\beta}/\hbar$ is the differential hyperpolarizability in units of hertz. The variation of $n_z$ is critical for enhancing the sensitivity to $\tilde{\alpha}^{m}/\hbar$, because different wave function extensions of $|n_z = 1\rangle$ and $|n_z = 0\rangle$ [Fig. 1(b)] vary the weighting factor between $E1$ (maximum at the lattice antinode) and $E2$-$M1$ (maximum at the node).

We systematically explore Eq. (1) by measuring the frequency difference between two control parameter sets. We vary the lattice depth from $3E_r$ to $300E_r$, $\delta_L$ over $\pm 200$ MHz, and $n_z = 0$ and 1. When we modulate $u$ and $\delta_L$, the reference is chosen to be at the magic lattice depth to suppress the systematic error from collisional shifts [14]. We do not investigate the separation of tensor and scalar polarizability because they are integrated into $\partial \tilde{\alpha}^{E1}/\partial \nu$.

Clock in tilted, shallow lattice.—Our 1D $^{87}$Sr optical lattice clock is detailed in previous publications [1,14]. We prepare stretched states $(m_F = \pm \frac{3}{2})$ spin-polarized ensembles in a single motional ground state axially and $T_r \sim 700 nK$ radially at $U_0 = 300E_r$. The lattice intensity is adiabatically ramped to a range of depths and $T_r$ is confirmed to vary from 700 to 60 nK. The atom number is about $10^5$ for $(u, v_L)$ dependence measurement and $2 \times 10^4$ for $n_z$ dependence measurement. Figure 1(c) presents a spectroscopic characterization of the motional state distribution of the atoms. After the preparation, we lower the lattice depth to the desired level and transfer the spin states with a series of clock $\pi$ pulses together with cleaning pulses. In all cases, we use the magnetically insensitive $|S_0, m_F = \pm \frac{3}{2}\rangle \rightarrow |3P_0, m_F = \mp \frac{3}{2}\rangle$ transition. For axial state control, a clock pulse resonant to the blue sideband [shown in Fig. 1(a)] drives $|n_z = 0\rangle \rightarrow |n_z = 1\rangle$ at $U_0 = 22E_r$. Transfer efficiency is about 15%–20% and results in a $T_r$ reduction of 40% [see Fig. 1(d)]. Nevertheless, this temperature difference is negligible due to the low temperature and the proximity to the $E1$ magic frequency.

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depth [18]. $E_r = \hbar^2/2m\lambda^2$, where $m$ is the mass of $^{87}$Sr and $\lambda = c/\nu_L$ is the lattice wavelength, $\hbar$ is the Planck constant, with $c$ the speed of light. $\delta_L = \nu_L - \nu^{E1}$ is the detuning from $E1$ magic frequency ($\nu^{E1}$), including both the differential scalar shift and an experiment-specific differential tensor shift.

There are four dependent coefficients to be characterized, including $\nu^{E1}$, $\partial \tilde{\alpha}^{E1}/\partial \nu$ is the frequency derivative of the differential electric dipole ($E1$) polarizability between $|S_0, m_F = \pm \frac{3}{2}\rangle$ and $|3P_0, m_F = \pm \frac{3}{2}\rangle$ near $\nu^{E1}$. This term includes both the scalar and tensor contributions, while the

![Image](https://example.com/image.png)
We apply a 70 \( \mu T \) bias magnetic field during the clock interrogation. The direction is parallel to the polarization of the lattice laser to minimize sensitivity to the polarization fluctuation [23,24]. The vector shift and the field fluctuations are corrected as we interrogate two opposite spins of the atoms. After the clock interrogation, we ramp the lattice up to \( 300E_r \) and measure the excitation fraction with a standard shelving technique. The camera readout provides a high-resolution spatial distribution of the density and excitation fraction. With this information, we correct the density shift shot by shot [14], providing a robust rejection of systematics related to the atomic density fluctuation.

To establish the lattice, we seed in the vacuum cavity with an injection-seeded diode laser (< 500 mW) to reach a lattice depth up to \( 300E_r \) with the waist \( w_0 \) of 260 \( \mu \)m. A volume Bragg grating with 50 GHz bandwidth and the optical cavity finesse of 1000 greatly suppress the broad spectral background of the diode laser [25]. With the lattice laser frequency locked to a cavity resonance, the cavity itself is stabilized to an absolute frequency-stabilized optical frequency comb. For lattice frequency modulation, we vary a comb-lock offset frequency so the cavity continuously follows the laser during the sample preparation before the last cooling stage. This scheme allows us to change the lattice frequency by \( \pm 200 \) MHz within 200 ms, suitable for interleaved self-comparison.

**Rabi excitation of Wannier-Stark states.**—Understanding atom-laser interaction at the shallow lattice depth is essential for the light shift evaluation. The tunneling rate between the lattice sites is exponentially sensitive to \( u \). Hence, for small \( u \), the extent of the delocalized atomic wave function can be larger than the clock laser wavelength [Fig. 1(b)], resulting in a breakdown of the Lamb-Dicke regime and a dramatic reduction of the clock drive Rabi frequency. We note that the long atom-light coherence is critically important here as it ensures a resolved sideband regime for spectroscopy. A time-domain Rabi oscillation signal is fit with a decayed sinusoidal curve to extract the Rabi frequency [19]. Relative Rabi frequencies of the carrier and three site-changing transitions (WS \( +i \)) are shown in Fig. 2 for the \( n_z = 0 \) and \( n_z = 1 \) motional states. Solid lines are numerically calculated Rabi frequencies fitted to the data with two fitting parameters: the cavity-transmitted light intensity conversion factor to lattice depth and an overall normalization factor for Rabi frequency. We calibrate the peak lattice depth \( U_0 \) based on this fit, and the uncertainty is \( 0.1(0.6)E_r \) at \( U_0 = 0(300)E_r \).

The increased sensitivity of the Rabi frequency on the lattice depth is reflected in its radial variation. Consequently, coupling to the second order radial sidebands becomes more pronounced, especially for \( |n_z = 1\rangle \). See Supplemental Material for the details [19].

**Deviation from the stationary state.**—At very low lattice depths (\( \leq 6E_r \)), stationary Wannier-Stark states may no longer be supported, as evidenced by the increasingly large effective tunneling rate in Fig. S8 of Supplemental Material [19]. We observe a deviation of the measured data from the lattice light shift model, with a rapid deterioration of the model fit if we include increasingly low lattice depth data [19].

This deviation depends on the lattice depth \( U \) and is insensitive to \( \delta_\lambda \). We vary other experimental conditions such as \( \pi \)-pulse duration, changing the initial state to \( 3P_0 \), and the bias field strength, with no effect on the deviation at the lowest trap depths. Therefore, we conclude that it is not from the lattice light shift. We compare two \( \pi \)-pulse durations that differ by factor 3 and observe no difference, suggesting the line pulling effect from the Bloch oscillation or the superposition of different WS states do not contribute to the deviation.

To add experimental evidence to the underlying mechanism of this deviation, we compare the clock frequency between the upward and downward propagation direction under otherwise the same condition [19]. We find that the frequency deviation from the model using the opposite clock laser propagation has the same magnitude and

![FIG. 2. Rabi frequency for the carrier and WS + i transitions. Measured Rabi frequencies are normalized to the maximum value. The upper (lower) panel shows WS + i transitions of \( |n_z = 0\rangle \) (\( |n_z = 1\rangle \)). The error bars indicate 1 standard deviation from the fitting. Solid lines are theory calculations. The lattice depth \( U_0 \) is fit to these data for the calibration. As we reduce \( U_0 \), atoms are delocalized and their coupling to the clock laser drive is reduced significantly.

**TABLE I.** Summary of the light shift characterization. We perform a single fit to the data including both Figs. 3 and 4 to extract the coefficients. For an operational condition \[ u = 10(0.2), \delta_\lambda = 0(0.1) \text{ MHz}, n_z = 0(0.03) \], the uncertainty of the lattice light shift is \( 3.5 \times 10^{-19} \).

| Quantity | Value |
|----------|-------|
| \( \delta_{L}E^2 \)/h | \( 1.859(5) \times 10^{-11} \) |
| \( E\dot{L} \) (MHz) | \( 368 554 825.9(4) \) |
| \( \delta_{\alpha}m/h \) (MHz) | \( -1.24(5) \) |
| \( \dot{\beta}/h \) (MHz) | \( -0.51(4) \) |
FIG. 3. Lattice depth ($U_0$) and detuning ($\delta_L$) dependent light shift. Different markers represent different $\delta_L$ from the fit. For visualization, we offset the reference condition of each data point using the global fitting result. The inset (enlargement) emphasizes a nonlinearity near the zero depth. The solid lines fit the model Eq. (1). The fitting result is summarized in Table I. We excluded data for $<8 E_r$ (see text for the details). The error bars show the 1σ of statistical uncertainties. The shift uncertainty is calculated at 1/3 total measurement time using a $1/\sqrt{t}$ fit to the overlapping Allan deviation, and the depth uncertainties are from the lattice depth calibration.

FIG. 4. Axial state dependent light shift, $\Delta \nu_{LS}(u, \delta_L, n_z = 1) - \Delta \nu_{LS}(u, \delta_L, n_z = 0)$, where $\delta_L < 1$ MHz. We plot $n_z$ modulated part of the dataset with good sensitivity to the multipolar polarizability $\tilde{\alpha}^{\text{om}}$. The solid line is fitting to the model Eq. (1) and the shades show 1σ deviation of $\tilde{\alpha}^{\text{om}}$. Data points with $U_0 < 30 E_r$ are excluded from the fit (see text for the details). The shift uncertainty is calculated at 1/3 total measurement time using a $1/\sqrt{t}$ fit to the overlapping Allan deviation.

Conclusion.—With precise control of the motional states in a Wannier-Stark optical lattice, we show that an important systematic effect, the lattice light shift, is measured and controlled at the $3.5 \times 10^{-19}$ uncertainty. This result is unique in that the light shift model is tested for very shallow lattices. This is important for achieving high accuracy optical lattice clocks for the future definition of the SI second.

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