Lutein Supplementation Increases Breast Milk and Plasma Lutein Concentrations in Lactating Women and Infant Plasma Concentrations but Does Not Affect Other Carotenoids

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Abstract
Lutein is a carotenoid that varies in breast milk depending on maternal intake. Data are lacking with regard to the effect of dietary lutein supplementation on breast milk lutein concentration during lactation and subsequent plasma lutein concentration in breast-fed infants. This study was conducted to determine the impact of lutein supplementation in the breast milk and plasma of lactating women and in the plasma of breast-fed infants 2–3 mo postpartum. Lutein is the dominant carotenoid in the infant brain and the major carotenoid found in the retina of the eye. Eighty-nine lactating women 4–6 wk postpartum were randomly assigned to be administered either 0 mg/d of lutein (placebo), 6 mg/d of lutein (low-dose), or 12 mg/d of lutein (high-dose). The supplements were consumed for 6 wk while mothers followed their usual diets. Breast milk carotenoids were measured weekly by HPLC, and maternal plasma carotenoid concentrations were measured at the beginning and end of the study. Infant plasma carotenoid concentrations were assessed at the end of the study. No significant differences were found between dietary lutein + zeaxanthin intake and carotenoid concentrations in breast milk and plasma or body mass index at baseline. Total lutein + zeaxanthin concentrations were greater in the low- and high-dose–supplemented groups than in the placebo group in breast milk (140% and 250%, respectively; \( P < 0.0001 \)), maternal plasma (170% and 250%, respectively; \( P < 0.0001 \)), and infant plasma (180% and 330%, respectively; \( P < 0.05 \)). Lutein supplementation did not affect other carotenoids in lactating women or their infants. Lactating women are highly responsive to lutein supplementation, which affects plasma lutein concentrations in the infant. This trial was registered at clinicaltrials.gov as NCT01747668. J. Nutr. 144: 1256–1263, 2014.

Introduction
Research suggests that maternal dietary habits are related to breast milk composition, in both adequately nourished and malnourished populations. In malnourished populations, vitamin and mineral concentrations are affected in breast milk (1). In adequately nourished populations, the impact of maternal dietary habits can be seen for a variety of dietary components, such as choline (2), selenium (3), and FAs (4–6). With such knowledge, it is appealing to institute dietary changes to correct deficiencies and to improve the nutritional quality of breast milk. This strategy has shown early promise for some nutrients. For example, supplementing high-dose vitamin D3 to lactating mothers improved serum 25-hydroxyvitamin D3 status in both mothers and exclusively breast-fed infants (7,8). For other dietary components, such as carotenoids, the ability to supplement lactating mothers and to improve breast milk concentrations is unknown, although breast milk carotenoid concentrations correlate to maternal intake (9,10).

Carotenoids are pigments occurring naturally in plants that serve a number of functions in human tissues; however, they cannot be synthesized in the body and must come from the diet. Lutein may be particularly important for developing infants (11). For example, lutein and its isomers are the only carotenoids found in the neural retina (12) and absorb short-wave light in the neural retina, which provides protection from actinic damage and improves visual functions in short-wave dominant-viewing conditions in both infants and adults (13,14). Lutein also serves as an active antioxidant and may prevent age-related neural loss attributed to oxidative stress (15). Although there is no dietary recommendation for carotenoids, a prudent amount can be

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3 Supplemental Tables 1–3 are available from the “Online Supporting Material” link in the online posting of the article and from the same link in the online table of contents at http://jn.nutrition.org.
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considered on the basis of the intake of individuals consuming
the recommended amount of vegetables according to the Dietary
Guidelines for Americans, which is 2.5 cups of vegetables/d, of
which 1.5 cups/wk should be dark-green, for a 2000-kcal diet
(16). Adults consuming the recommended vegetable servings
had a lutein + zeaxanthin intake of 4–7 mg/dl (17). Typical
vegetables consumed in the U.S. diet, such as corn and green
beans, are not very high in lutein with only 200–300 μg/100 g
(18). Dark-green leafy vegetables, such as raw spinach and
cooked kale, have higher lutein concentrations of 7–8 mg/100 g
(18) but are less frequently consumed in the United States (19),
resulting in low dietary lutein intakes of ≤2 mg/dl (20).
In pregnant women, lutein is transferred across the placenta
to the developing infant, and cord blood concentrations corre-
late highly with maternal plasma concentrations (21), which vary with dietary intakes (22). Lutein is highly concentrated in
breast milk (23) and like other carotenoids is actively trans-
ported into breast milk (24). Although the specific transport
mechanism has not yet been fully elucidated, carotenoids tend to
be found in higher concentrations in early milk (<3 mo postpar-
tum) when lipid concentrations are lower (25). The relation
between intake and concentration may be culturally dependent,
because a study of breast milk from women in 9 countries showed
that women in China and Japan have the highest breast milk
lutein + zeaxanthin concentrations, which are 3 times higher than
those in women from the United Kingdom, the United States, and
Australia (26). Because placental and breast milk lutein are
dependent on maternal consumption, which is low in the United
States, understanding the impact of lutein supplementation and
the effects on the mother and infant is important. Therefore,
as part of a larger multisite, prospective, randomized, placebo-
controlled dose-response study to examine the impact of lutein,
DHA (22:6n–3), and vitamin E supplementation in lactating
women, the aims of this portion of the study were to determine
the dose response to lutein supplementation, understand if lutein
supplementation had an impact on concentrations of other carotenoids, and ascertain the relation of carotenoid content in
breast milk and maternal and infant plasma.

Participants and Methods

Participants and study design. Eighty-nine U.S. mothers ≥18 y of age
who had delivered a full-term singleton infant, who were 4–6 wk
postpartum, and who had been continuously, successfully lactating
and who had delivered a full-term singleton infant, who were 4–6 wk
before starting the study and were instructed not to consume
any supplements with these components during the study; only 1 partic-

 participant (n = 1) had been previously consuming a supplement with lutein. Ninety percent (n = 74) of participants elected to consume a multivitamin/
 mineral tablet that was offered, which did not contain any of these components, but they were not given any additional dietary instruction.

When an eligible participant was enrolled, a sealed envelope with the
treatment group assignment that had been prepared by the sponsor from
computer-generated randomization schedules by a pseudo-random per-
muted block algorithm was opened by the site coordinator to determine
the participant’s treatment group. Participants were assigned to 1 of 3
groups and were asked to consume 2 study capsules daily in the morning
with food for 6 wk. Two doses were chosen to examine a dose response on
the basis of the prudent intake amount previously mentioned (17) as well
as on an interim USDA report demonstrating that 12 mg/d lutein increased
breast milk and plasma concentrations in lactating women (27). The
groups were as follows: 1) placebo with 0 mg/d of lutein, 2) a low dose of 6
mg/d lutein, or 3) a high dose of 12 mg/d lutein (Floraglo Lutein; Kemin
Industries). The zeaxanthin content of the experimental capsule was
~1.6% lutein (96 μg/capsule). The composition of the study capsules is
shown in Table 1. The placebo capsules were identical in appearance to
the experimental capsules and contained no lutein or zeaxanthin. Study group
identity was not disclosed to study staff or participants.

At the beginning of the study, participants provided a baseline breast
milk sample and optional blood sample before starting the supplement as
well as weekly breast milk samples during the supplementation period
and an optional blood sample at the end of the study. Breast milk was
collected either at the research site or at home and kept under refrigeration
for ≤12 h before transporting to the research site. An optional infant blood
sample was also collected at the end of the study for determination of
The placebo group consumed 2 placebo capsules, the low-dose group consumed
1 placebo and 1 experimental capsule, and the high-dose group consumed 2
experimental capsules.

| Nutrient  | Placebo capsule | Experimental capsule |
|-----------|----------------|----------------------|
| Energy, kcal | 5              | 5                    |
| Fat, g     | 0.5            | 0.5                  |
| DHA (22:6n–3), mg | 0             | 200                  |
| Lutein, mg | 0              | 6                    |
| d-α-Tocopherol, IU | 0          | 30                   |
| dl-α-Tocopheryl acetate, IU | 50.6    | 0                    |
| Soybean oil, mg | 650         | 0                    |

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experimental capsules.
concentrations were calculated by external standards by using peak areas and adjusted for internal standard recovery.

Dietary analysis. All participants completed an FFQ modified from a previously published FFQ (30) that focused on lutein-containing foods. Participants also completed a 3-d food record each week and were instructed to write down all food and beverages consumed on any 3 d. The flexibility of any 3 d, compared with requiring 2 weekdays and 1 weekend day, was to increase compliance of recording intakes; a mean of ∼24% of weekend days was reported, which is very similar to the percentage of days of the week that are weekend days (28.6%). Guidance and instruction were provided to the participants on completing the 3-d food record and for estimating serving sizes using commonly accepted portion sizes. All food records were analyzed by using The Food Processor (ESHA Research), and, whenever possible, USDA food values were used to most accurately capture lutein content of food.

Sample size and statistical analysis. The sample size was obtained from the software package nQuery Advisor 5.0 (Statistical Solutions Ltd.). The NHANES database from 2003 to 2004 was used to derive an estimate for the common SD for plasma lutein, which for women 18–45 y of age is 4.6 μg/dL. This value was used as the assumed common SD for breast milk because breast milk was not in the NHANES report and a sample size of 20 in each treatment group has 80% power to detect a difference in mean breast milk concentrations of lutein of at least 4.2 μg/dL by using a 2-group t test with a 0.05 2-sided significance level. The plasma lutein value was used as an estimate for breast milk concentrations of lutein. Because of general non-normality of carotenoid data, a log transformation was often used before analysis to improve model fit. ANOVA was used in baseline comparisons of continuous variables, whereas Cochran-Mantel-Haenszel test statistics were used in baseline comparisons of categorical variables. Carotenoids were compared between treatment groups by using ANOVA techniques. If there was an overall significant treatment group effect, then least-squares means were compared between each pair of treatment groups and adjusted for multiple comparisons by using the step-down Bonferroni adjustment. Comparisons between paired data were analyzed by using t tests and Pearson’s correlations, as appropriate. SAS version 9.2 was used to perform the statistical analyses.

Results

Participant characteristics. Of the 89 participants enrolled in the study, 7 were excluded for reasons documented in Figure 1. The

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**FIGURE 1** Consolidated Standards of Reporting Trials (CONSORT) flow diagram.
lycopene was the most abundant in maternal plasma, and total lutein + zeaxanthin ranked fifth in maternal plasma. The overall distribution of carotenoids was different between maternal plasma and infant plasma (placebo group only), and the carotenoid distribution of infant plasma (placebo group only) more closely matched that of breast milk (Fig. 2A, B). There was a significant correlation between breast milk and maternal plasma carotenoids at baseline (total lutein + zeaxanthin: r = 0.57; total lycopene: r = 0.51; total β-carotene: r = 0.59; P < 0.0001). There was a decline in breast milk (Fig. 3A) and maternal plasma (Fig. 3B) non–lutein + zeaxanthin carotenoids over time. In breast milk, total lycopene decreased by 21%; there was no change in total β-carotene. In plasma, total lycopene and total β-carotene decreased by 28% and 22%, respectively. Over time, there was a significant decrease in all non–lutein + zeaxanthin carotenoids demonstrated significant decreases in breast milk concentration (Supplemental Table 2). In the placebo group, there was a trend toward a decrease in total lutein + zeaxanthin in breast milk over time (P = 0.08), and no change in maternal plasma.

Change in carotenoids with lutein supplementation. At the beginning of the study there was no difference in total lutein + zeaxanthin concentrations in breast milk (Fig. 4A) or maternal plasma (Fig. 4B) between the 3 groups. After 6 wk of supplementation, total lutein + zeaxanthin in breast milk was 140% and 250% greater in the low- and high-dose groups, respectively,

82 participants who successfully completed the study and had data included in the analysis are described in Table 2. All were similar in age and BMI. Participants’ mean self-reported intake of study supplements was ~99% compliant with the protocol to consume 2 pills/d for 6 wk and did not differ between the 3 groups (data not shown). There was no reported difference in dietary intake of lutein-containing foods in the month before starting the study as assessed by the FFQ, and there was no significant difference in dietary intake of lutein throughout the study as determined by self-reported 3-d food records (data not shown). All but 1 mother consented to provide an optional blood sample at the beginning and end of the study, and 61% of mothers consented to provide an optional blood sample from their infant at the end of the study; however, only 34% of samples were usable due to either not enough sample for analysis, mother withdrawing consent before blood draw, or a failed attempt at obtaining blood from the infant. The characteristics of the infants are summarized in Table 2. There were similar numbers of female and male infants, and mean birth weights were similar among the 3 groups. There was no difference in adverse events between the 3 groups in the mothers and infants, and none were related to the study intervention.

Characteristics of carotenoids in breast milk and plasma. At baseline for all participants, total lutein + zeaxanthin was the most abundant carotenoid in breast milk, whereas total lycopene was the most abundant in maternal plasma, and total lutein + zeaxanthin ranked fifth in maternal plasma. The overall distribution of carotenoids was different between maternal plasma and infant plasma (placebo group only), and the carotenoid distribution of infant plasma (placebo group only) more closely matched that of breast milk (Fig. 2A, B). There was a significant correlation between breast milk and maternal plasma carotenoids at baseline (total lutein + zeaxanthin: r = 0.57; total lycopene: r = 0.51; total β-carotene: r = 0.59; P < 0.0001). There was a decline in breast milk (Fig. 3A) and maternal plasma (Fig. 3B) non–lutein + zeaxanthin carotenoids over time. In breast milk, total lycopene decreased by 21%; there was no change in total β-carotene. In plasma, total lycopene and total β-carotene decreased by 28% and 22%, respectively. Over time, there was a significant decrease in all non–lutein + zeaxanthin carotenoids demonstrated significant decreases in breast milk concentration (Supplemental Table 2). In the placebo group, there was a trend toward a decrease in total lutein + zeaxanthin in breast milk over time (P = 0.08), and no change in maternal plasma.

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compared with placebo. The lutein + zeaxanthin concentration in breast milk tended to be 47% greater in the high-dose group than in the low-dose group ($P = 0.06$). Maternal plasma lutein + zeaxanthin was 170% and 250% greater in the low- and high-dose groups, respectively, compared with the placebo group, and that in the high-dose group was 35% greater than that in the low-dose group ($P < 0.05$). The change over the 6 wk of supplementation was significantly greater in the low- and high-dose groups compared with the placebo group, and that in the high-dose group was greater than that in breast milk (Fig. 4A) and maternal plasma (Fig. 4B). There was a significant, positive linear relation between the 3 groups for both breast milk and maternal plasma (Fig. 5A, B). The infants of mothers consuming the low- and high-dose supplements did not differ from one another, but had 180% and 330% greater concentrations of plasma total lutein + zeaxanthin, respectively, at the end of the study compared with the placebo group (28.4 ± 5.02 and 44.3 ± 10.7 vs. 10.3 ± 1.50 μg/dL, $P < 0.05$). There were no differences in other nonlutein carotenoids in infant plasma (Supplemental Table 3). Breast milk total lutein + zeaxanthin was significantly correlated with both maternal ($r = 0.74, P < 0.0001$) and infant ($r = 0.81, P < 0.0001$) plasma at the end of the study. Maternal and infant plasma total lutein + zeaxanthin were also significantly correlated ($r = 0.82, P < 0.0001$). The strength of the correlation between breast milk and maternal plasma total β-carotene increased over time [$r = 0.59, P < 0.0001$ (beginning); $r = 0.71, P < 0.0001$ (end)], whereas the strength of the correlation between breast milk and maternal plasma total lycopene decreased [$r = 0.51, P < 0.0001$ (beginning); $r = 0.26, P = 0.02$ (end)]. Infant plasma total β-carotene was significantly correlated with breast milk ($r = 0.93, P < 0.0001$) and maternal plasma total β-carotene ($r = 0.70, P < 0.0001$); total lycopene demonstrated a significant correlation between infant plasma and breast milk ($r = 0.56, P < 0.005$).

**Discussion**

This is the first longitudinal study, to our knowledge, to report the breast milk and plasma concentrations of carotenoids 2–3 mo postpartum and to investigate the effect of lutein supplementation during this period. Most lutein supplementation trials have evaluated vision-related outcomes and demonstrated improvement in visual function in healthy participants (31), patients with age-related macular degeneration (15), and patients with age-related cataracts (32). This supplementation trial in lactating women demonstrates that, similar to other lutein supplementation trials in healthy adults (31), there is a dose-dependent response. Both doses of lutein significantly increased breast milk and maternal plasma total lutein + zeaxanthin compared with placebo, and there was a dose response in maternal plasma total lutein + zeaxanthin and a trend towards dose response in breast milk. One previous interim USDA report showed similar results demonstrating...
study, which reported different than in the U.S. population in a previous multinational food records. The distribution of carotenoids in breast milk was greens, mainly spinach, on the majority of the self-reported 3-d those with the highest intakes reported consuming dark, leafy (9,33). The range of lutein + zeaxanthin intake was large, and 3 groups and was within the intakes reported in other studies (35,38) had lower mean plasma lutein than in the placebo group in the current study. β-Carotene concentrations were similar to those reported in Mackey et al. (38), but lycopene concentrations were higher in the current study; additionally, infant plasma carotenoids in the current study were higher than in others (39). Because of the issues outlined in the Participants and Methods section in obtaining infant blood samples, there was a relatively small sample size for the infants, which may account for some of the differences in previous research.

Across the study, significant correlations between breast milk concentrations and plasma concentrations were demonstrated. As expected, the correlation between breast milk and maternal plasma total lutein + zeaxanthin increased in magnitude over time with supplementation. Carotenoids in the infant were significantly correlated with concentrations in the mother, both for breast milk and plasma. The strongest correlations were seen between infant plasma and breast milk for total lycopene and β-carotene and were very similar between infant plasma and breast milk and maternal plasma for total lutein + zeaxanthin. Total lutein + zeaxanthin and β-carotene had similar, strong correlations. The current study is in agreement with previous studies demonstrating a correlation between infant intake of carotenoids and plasma concentrations (35,38).

Breast milk lutein is highly variable and dependent on maternal intake. A previous report (40) examining lutein supplementation and macular pigment density demonstrated that some individuals do not respond to lutein supplementation, so-called lutein nonresponders. Interestingly, the study by Hammond et al. (40) had 3 response profiles that differentiated between nonresponse in tissue (macular pigment), plasma, or both. The current study demonstrates that there is also a varying response to lutein supplementation during lactation in both maternal plasma and breast milk. For example, 1 participant in the high-dose group had a particularly high breast milk total lutein + zeaxanthin value at the end of the study (63.5 μg/dL). This individual’s samples were analyzed at 2 different time points from 2 different aliquots, and similarly high values were obtained. In addition to variability in response between participants, variability between “compartments” was also seen in this study, as was seen previously (40). For example, maternal plasma had a significant increase in lutein + zeaxanthin concentration with supplementation that explained ~46% of the variance. In those same participants, only ~22% of the variance in breast milk lutein + zeaxanthin was attributable to supplementation. Breast milk acts more like a “tissue” in this case and demonstrates similar response variability to other

~200% and 300% greater concentrations in maternal plasma and breast milk lutein, respectively, after 3 wk of taking a 12-mg/d supplement (27). Infants of mothers administered both doses of lutein demonstrated a significant increase in plasma total lutein + zeaxanthin compared with placebo, and there was a strong trend (P = 0.06) toward significance between doses. Supplementation did not affect other non–lutein + zeaxanthin carotenoids in breast milk or plasma. Nonetheless, at 2–3 mo postpartum, all non–lutein + zeaxanthin carotenoids had significant decreases over time in plasma, and in breast milk only a few had significant decreases over time. Previous studies reported that breast milk carotenoids are stable after ~1 mo postpartum (33,34). To our knowledge, this is the first report to demonstrate a decrease in circulating carotenoids in lactating women.

Dietary lutein + zeaxanthin intake did not differ between the 3 groups and was within the intakes reported in other studies (9,33). The range of lutein + zeaxanthin intake was large, and those with the highest intakes reported consuming dark, leafy greens, mainly spinach, on the majority of the self-reported 3-d food records. The distribution of carotenoids in breast milk was different than in the U.S. population in a previous multinational study, which reported β-carotene as the most abundant carotenoid in breast milk and lutein as the most abundant in the diet (26). The current study demonstrates that, at baseline, total lutein + zeaxanthin was the most abundant carotenoid in breast milk and lycopene was the most abundant carotenoid in the diet followed by β-carotene. Canfield et al. (26) did not report lycopene in the diet, so it is unclear how the dietary intakes in these study populations differ. The baseline concentrations in breast milk of the major carotenoids are in agreement with some previous reports (34). However, in other studies (26), total lutein + zeaxanthin, lycopene, and β-cryptoxanthin concentrations were lower and α-carotene concentrations were higher, whereas the concentration of β-carotene was similar to that in the current study. It is likely that the increased variability in the collection time in Canfield et al. (26) (1–12 mo postpartum) resulted in the difference in our study with a more discrete period of 2–3 mo postpartum. Other studies in lactating women (35) and adolescent girls (36) reported lower values of breast milk carotenoids than in the current study. Although there is no clear distinction for the differences, these studies and the current data all report a wide range of carotenoid concentrations, demonstrating the variability of these constituents in breast milk. Plasma carotenoids in lactating women are comparable to those in adult participants as previously reported by the Institute of Medicine (37). Reports of carotenoids in breast-fed infants (35,38) had lower mean plasma lutein than in the placebo group in the current study. β-Carotene concentrations were similar to those reported in Mackey et al. (38), but lycopene concentrations were higher in the current study; additionally, infant plasma carotenoids in the current study were higher than in others (39). Because of the issues outlined in the Participants and Methods section in obtaining infant blood samples, there was a relatively small sample size for the infants, which may account for some of the differences in previous research.

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tissues, such as retina, suggesting that “tissue” and “serum” nonresponse is also present when breast milk is the “tissue” of interest. Unlike in Hammond et al. (40), however, intake of the study supplements was determined from self-report (a limitation of this study); therefore, these results are suggestive of a “nonresponder” problem, but not conclusive. Of all the participants in the treatment groups with values similar to the placebo group at the end of the study, only 3 were likely to have lower than reported compliance on the basis of data from the other nutrients in the supplement (data not shown). The remaining participants with low lutein concentrations at baseline had generally low concentrations of lutein even with supplementation or were likely nonresponders (n = 4), only 1 of which was solely a breast milk nonresponder.

Lutein is the dominant carotenoid in the adult and infant brain (41,42), and lutein and its isomers are the only carotenoids found in the neural retina (12) and have been suggested to be important for the developing brain (11). As previously mentioned, lutein is also the dominant carotenoid in the neocortex, where it may serve additional functions, such as improved neural efficiency (43). For example, a number of studies have related lutein and zeaxanthin concentrations in the neural retina, as a biomarker of cortical lutein concentrations, to improved function in the visuomotor system (44), improved executive function (45), and, when supplemented, to improved working memory capacity (46). Therefore, the goal of this study was to determine whether lutein supplementation in lactating mothers increased breast milk lutein concentrations and provided corresponding plasma increases in their infants. Whether or not lutein can affect cognitive development early in life is not yet known; nonetheless, breast milk or infant formula is the sole source of nutrition during this critical period of development. Given the relation between intake of lutein by the breastfeeding mother, infant plasma concentrations, and the importance of lutein as an antioxidant and in eye health, it is prudent that lactating women consume adequate lutein from the diet or supplements to ensure adequate concentrations in breast milk for infant growth and development.

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C.L.S. and B.J.M. designed the project; C.L.S. conducted the research and had primary responsibility for the final content; C.L.S. and J.S.O. analyzed the data; and C.L.S. and L.M.R. wrote the manuscript. All authors read and approved the final manuscript.

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