It’s about time: A synthesis of changing phenology in the Gulf of Maine ecosystem

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Abstract
The timing of recurring biological and seasonal environmental events is changing on a global scale relative to temperature and other climate drivers. This study considers the Gulf of Maine ecosystem, a region of high social and ecological importance in the Northwest Atlantic Ocean and synthesizes current knowledge of (a) key seasonal processes, patterns, and events; (b) direct evidence for shifts in timing; (c) implications of
phenological responses for linked ecological-human systems; and (d) potential pheno-
logy-focused adaptation strategies and actions. Twenty studies demonstrated
shifts in timing of regional marine organisms and seasonal environmental events. The
most common response was earlier timing, observed in spring onset, spring and win-
ter hydrology, zooplankton abundance, occurrence of several larval fishes, and dia-
dromous fish migrations. Later timing was documented for fall onset, reproduction
and fledging in Atlantic puffins, spring and fall phytoplankton blooms, and occurrence
of additional larval fishes. Changes in event duration generally increased and were
detected in zooplankton peak abundance, early life history periods of macro-inverte-
brates, and lobster fishery landings. Reduced duration was observed in winter–spring
ice-affected stream flows. Two studies projected phenological changes, both finding
diapause duration would decrease in zooplankton under future climate scenarios.
Phenological responses were species-specific and varied depending on the environ-
mental driver, spatial, and temporal scales evaluated. Overall, a wide range of baseline
phenology and relevant modeling studies exist, yet surprisingly few document long-
term shifts. Results reveal a need for increased emphasis on phenological shifts in the
Gulf of Maine and identify opportunities for future research and consideration of
phenological changes in adaptation efforts.

**KEYWORDS**
coastal, fish, Gulf of Maine, life cycle, marine, marine invertebrates, marine mammals,
migration, phenology, phytoplankton, seabirds, seasonal, timing, zooplankton

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**1 | INTRODUCTION**

Changes in phenology, or the seasonal timing of recurring events, have emerged as a primary indicator of species responses to cli-
mate change (Parmesan, 2006; Parmesan & Yohe, 2003). In terres-
trial ecosystems, earlier onset of spring and advances in the timing
of emergence, flowering, and arrival times of migratory organisms
have been well documented (Dunnell & Travers, 2011; Inouye, 2008;
Miller-Rushing, Inouye, & Primack, 2008; Miller-Rushing, Lloyd-
Evans, Primack, & Satzinger, 2008; Post, Pedersen, Wilmers, &
Forchhammer, 2008; Wiebe & Gerstmar, 2010). However, far fewer
examples exist that provide direct evidence for climate-induced shifts in marine phenology (but see Edwards & Richardson, 2004).
A recent evaluation of global marine responses to climate change
found that temporal shifts represented only a small percentage of all
observations, and were exhibited primarily by phytoplankton, zoo-
 plankton, and seabirds; in contrast, observations of changes in abun-
dance and spatial distribution were more widespread (Poloczanska
et al., 2013).

Datasets suitable for evaluating shifts in marine phenology exist
but are often difficult to access and remain underutilized (Thomas,
Fornwall, Weltzin, & Griffis, 2014). Where evaluations of marine phe-

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and Antarctic ecosystems; all of these regions are exhibiting unprecedented rates of environmental change (Johannessen et al., 2004; Kaufman et al., 2009; Pershing et al., 2015). A diversity of other regional taxa (e.g., diadromous fishes) undertake shorter seasonal and ontogenetic movements between inland (e.g., streams, rivers, and coastal ponds), nearshore (e.g., estuaries and bays), and offshore habitats to forage, spawn or follow preferred habitat conditions.

The GoM and broader U.S. Northeast Shelf are experiencing rapid and intense changes in bottom, air, and sea surface temperatures (SST) during all seasons (Kleisner et al., 2016; Pershing et al., 2015; Shearman & Lentz, 2010; Thomas et al., 2017), and relative to other global oceans, with the most pronounced warming occurring in recent decades (Friedland & Hare, 2007; Pershing et al., 2015). Future projections of seasonal bottom and SST over the coming century indicate the GoM is likely to continue to be a hotspot of warming with rates 2–3 times faster than other global oceans (Saba et al., 2016). Across the region, there is already strong evidence of geographic range shifts in many commercially important fish stocks (Kleisner et al., 2017; Nye, Link, Hare, & Overholtz, 2009; Pinsky, Worm, Fogarty, Sarmiento, & Levin, 2013) as well as major changes in community composition, dominance, and structure (Collie, Wood, & Jeffries, 2008; Dijkstra, Westerman, & Harris, 2011; Griffis & Howard, 2013; Howell & Auster, 2012; Wood, Collie, & Hare, 2009). Nonetheless, a significant gap remains in our understanding of how regional populations, species, and communities are responding to climate impacts through changes in timing of recurring life events. To address this need, the present study focuses on the GoM and aims to: (a) characterize key seasonal environmental and ecological processes, patterns, and events; (b) provide a comprehensive synthesis of evidence for shifts in phenology; (c) evaluate the socio-ecological implications of regional shifts in phenology; and (d) provide a set of recommendations for phenology-focused adaptation strategies and actions. The highly seasonal nature of the GoM, coupled with substantial regional warming, makes it a compelling model system for evaluating phenological shifts, identifying related impacts, and developing insights into expected changes in the coming decades for global marine ecosystems and the services they provide to humans.

2 | KEY ENVIRONMENTAL FEATURES AND PHENOLOGICAL PATTERNS IN THE GoM

Understanding how species and habitats are responding to climate change requires a firm understanding of baseline characteristics and cycles. As of 2016, there were approximately 3,300 documented species in the GoM (~2,600 fauna and ~700 flora), with new species arriving or still being discovered (Fautin et al., 2010; Gulf of Maine Census, 2016; Johnson et al., 2011). We follow the defined
boundaries for the GoM (Figure 1) reported previously in Johnson et al. (2011) and focus on a subset of species that exhibit strong seasonality, have a definitive connection to coastal and marine habitats during a portion of their life history, and are known to provide valued ecosystem services within the region. The following section presents a synthesis of best available information that identifies key seasonal coastal and oceanographic processes linked to the phenology of major functional groups in the GoM (Figure 2). Examples obtained from primary scientific literature (e.g., year day(s), species-specific events, and locations) on the timing and occurrence of phenological events are listed in Supporting Information Appendix S1.

2.1 | Spring: ramping up

The spring phytoplankton bloom is a fundamental event in the GoM and greater North Atlantic that stimulates secondary production and ultimately supports a large biomass of marine fauna through seasonal peaks in forage resources that fuel growth, reproduction, and migrations. Spring bloom initiation progresses from east on the Scotian Shelf to west in the GoM (Ji et al., 2007; Platt et al., 2010; Song, Ji, Stock, & Wang, 2010). The timing of bloom initiation varies interannually across the region due to fluctuations in a suite of complex drivers. At a broad scale, differences in sea surface salinity (Ji et al., 2007; Song et al., 2010) and/or irradiance in the surface mixed layer (Ji, Edwards, Mackas, Runge, & Thomas, 2010; Platt et al., 2010; Townsend, Cammen, Holligan, Campbell, & Pettigrew, 1994; Townsend & Spinrad, 1986; Zhai, Platt, Tang, Sathyendranath, & Hernández Walls, 2011) appear to influence bloom variability. Coastal and other tidally mixed regions such as the Bay of Fundy and Georges Bank sustain some phytoplankton production throughout the year (Thomas, Townsend, & Weatherbee, 2003). Springtime productivity along the coast progresses latitudinally from south to north and can differ in timing and magnitude from offshore habitats as a function of temperature, tidal mixing, turbidity, and light availability (Hunter-Cevera et al., 2016; Tian et al., 2015; Townsend, 1984; Townsend & Spinrad, 1986). O’Reilly and Zetlin (1998) estimated spring bloom duration from in situ samples taken between 1977 and 1988, and found it started as early as February throughout most of the GoM and lasted as late as April in the Great South Channel, Wilkinson and Jordan Basins, and on the Scotian Shelf. In another study conducted between 1998 and 2008, satellite-derived surface chlorophyll-a measurements suggested the GoM spring bloom

FIGURE 2  Idealized model of phenological events and linkages in the Gulf of Maine (GoM). Yellow highlighted bars, circles, and arrows indicate match points where one phenological event relies on another. Width of yellow and gray colored bars indicates duration of events. Phytoplankton blooms, zooplankton diapause strategy (specific to Calanus copepods), non-diapause strategy (other zooplankton including euphausiids), and river outflow event timing are depicted based on magnitude measurements. Strategy I (fall spawn, spring growth (e.g., Atlantic herring), Strategy II (spring spawn, summer growth (e.g., Atlantic cod), Strategy III (migratory seabirds), Strategy IV (large pelagics), and Strategy V (anadromous fish) are depicted by a sequence of events with different processes and/or life stages. Note not all possible strategies present in the GoM are shown in figure.
typically lasted from March to June and was primarily influenced by nutrient concentrations (Song et al., 2010).

Historical peaks (1978–2006) in the spring phytoplankton bloom in April immediately precede peak zooplankton abundances in May (Kane, 2009, 2011). During annual increases in secondary production in the central GoM and Scotian Shelf, *Calanus finmarchicus* emerges from overwintering diapause and dominates the zooplankton biomass (Durbin, Gilman, Campbell, & Durbin, 1995; Johnson, Casault, Head, & Spry, 2016; Kane, 1993; Manning & Bucklin, 2005; Runge et al., 2015). Due to its high lipid content, *C. finmarchicus* is a key prey species linked directly and indirectly to seasonal energy accumulation and growth of higher trophic species such as forage and commercial fishes, baleen whales, and seabirds (Goyert, 2014; Nelson & Ross, 1991; Payne, Wiley, Pittman, Clapham, & Jossi, 1990; Pendleton et al., 2009; Richardson, Palmer, & Smith, 2014). While the timing of emergence is variable (Johnson et al., 2008; Maps et al., 2012), mean monthly peaks occurred on average in June for adult and late-stage *C. finmarchicus*, and in May for juvenile *Calanus* spp.
(primarily *C. finmarchicus*) between 1961 and 2013 in the central Gulf (Figure 3; Supporting Information Appendix S2; also see Pershing et al., 2005).

In coastal waters of the central and western GoM, as well as the outer Bay of Fundy, meroplankton reach peak abundances in spring; this includes planktonic phases of benthic species such as *Balanus* spp. (barnacles) and northern shrimp (*Pandalus borealis*), which begin settling out of the water column approximately in June (Haynes & Wigley, 1969; Johnson et al., 2016; Johnson, Curtis, Pepin, & Runge, 2010; Richards, 2012; Townsend, 1983). Meroplankton also includes ichthyoplankton, which develop later into free-swimming juveniles (Townsend, 1983; Walsh, Richardson, Marancik, & Hare, 2015). Routine ichthyoplankton surveys of the entire U.S. Northeast shelf identified 45 abundant demersal and pelagic taxa, of which 19 commonly occur in the GoM and Georges Bank (Walsh et al., 2015). Some demersal fishes such as redfishes (Sebastes spp.) and flatfishes (e.g., witch flounder (*Glyptocephalus cynoglossus*), American plaice (*Hippoglossoides platessoides*)) increase in occurrence in March–April, maintaining high abundance through the summer (Figure 4a).

Spring is a critical time for many diadromous fish species, which undergo annual inshore movements from the marine environment to coastal freshwater ponds, rivers, and streams to spawn (Saunders, Hachey, & Fay, 2006). Migration timing in alewives (*Alosa pseudoharengus*), blueback herring (*A. aestivalis*), American shad (*A. sapidissima*) Atlantic sturgeon (*Acipenser oxyrinchus oxyrinchus*), and rainbow smelt (*Osmerus mordax*) coincides with changes in spring water temperatures, river flow, and timing of ice breakup (Collette & Klein-MacPhee, 2002; Melnychuk, Dunton, Jordaan, McKown, & Frisk, 2017; Rupp, 1959). Arrival of diadromous fishes to spawning systems varies with latitude; alewives are the first to arrive, typically in early to mid-April in more southern systems (e.g., Parker River Estuary, MA: Alexander et al., 2017; Ellis & Vokoun, 2009), and early June in northern systems (e.g., Penobscot River, ME: Huntington, Hodgkins, & Dudley, 2003). Blueback herring and American shad runs start in late April and peak during May and June (Alexander et al., 2017; Collette & Klein-MacPhee, 2002; Saunders et al., 2006). The spring run of adult Atlantic salmon (*Salmo salar*) to natal streams historically began as early as April but peak activity occurs in June, just a month later than the out-migration of transitioning smolts, which emigrate in May and June (Meister, 1962; Saunders et al., 2006). As adult anadromous species move inshore and inland to spawn, young catadromous American eels (*Anguilla rostrata*) also migrate as elvers into brackish waters and ascend coastal rivers between late March and June (Collette & Klein-MacPhee, 2002; Facey & Van Den Avyle, 1987).

Many benthic and pelagic species that inhabit the continental shelf such as American lobster (*Homarus americanus*), Atlantic mackerel (*Scomber scombrus*), and longfin squid (*Doryteuthis pealeii*) move from their deeper offshore overwintering grounds in late spring as water temperatures and prey concentrations rise in shallow coastal areas (Black, Rowell, & Dawe, 1987; Collette & Klein-MacPhee, 2002; Cooper & Uzmann, 1971; Ennis, 1984; Lange & Sissenwine, 1980; Methratta & Link, 2007; Mills et al., 2013). Conversely, spiny dogfish (*Squalus acanthias*) migrate offshore to deeper, relatively warmer waters for parturition (Methratta & Link, 2007; Sagaresse et al., 2014).

Spring marks the time of arrival and peak abundance for a number of highly migratory mammalian and avian species of conservation concern in GoM habitats. Planktivorous North Atlantic right whales (*Eubalaena glacialis*) begin to appear in Cape Cod Bay as early as January, with the total number of whales peaking in March or April as they take advantage of zooplankton blooms (Hamilton & Mayo, 1990; Mayo & Marx, 1990; Penndleton et al., 2009; Schevill, Watkins, & Moore, 1986; Winn, Price, & Sorensen, 1986). Although fin whales (*Balaenoptera physalus*) are seen year-round, they show strong site fidelity in the GoM, with an influx in the spring and peak occupancy in the summer (Agler, Schooley, Frohock, Katona, & Seipt, 1993; CETAP, 1982). The majority of the GoM humpback whale stock (*Megaptera novaeangliae*) arrives during the spring and summer after traveling thousands of miles from their breeding grounds in the West Indies (Clapham & Mayo, 1987; Katona & Beard, 1990; Kenney, Scott, Thompson, & Winn, 1997; Stevick et al., 2006). Smaller cetaceans such as white-sided dolphins (*Lagenorhynchus acutus*) and the harbor porpoise (*Phocoena phocoena*) also peak in abundance in spring (Kenney et al., 1997). Many of these piscivorous marine mammals as well as highly migratory seabirds migrate into the region in time to take advantage of peaks in abundance of prey such as sand lance (*Ammodytes spp.*) and Atlantic herring (*Clupea harengus*; Hatch, 2002; Payne et al., 1990; Robards, Willson, Armstrong, & Piatt, 2000; Tupper, Anthony, Chenoweth, & MacCluen, 1998).

The diversity of the marine bird community in the GoM increases in spring as both breeding and non-breeding species return to the region. Migratory breeding species, such as terns (*Sterna* spp.), alcids (e.g., Atlantic Puffins, *Fratercula arctica*), and Leach’s storm-petrels (*Oceanodroma leucorhoa*), typically arrive at colonies in April or early May (Figure 5). Species breeding at inshore and western colonies usually arrive earlier compared to eastern and offshore colonies, and breeding begins a few weeks to a month later (Howell, 2012). Non-breeding immature and adult seabird species such as great shearwaters (*Ardenna gravis*) are believed to arrive on Georges Bank in late May and early June to forage during the austral winter (Overholtz & Link, 2007; Powers, 1983; Powers & Backus, 1987). During March and April, offshore spring plankton blooms draw large numbers of gulls and other birds to engage in energetic “plankton-feeding” behavior (Vermeer, Szabo, & Greisman, 1987).

### 2.2 | Summer: an abundance of resources

Peak SSTs and strong stratification of the water column are key oceanographic features of summertime in the GoM. These features result in surface nutrient limitation and phytoplankton biomass levels over deeper basins that can approach levels comparable with the annual winter minimum. In the mid-coastal region, subsurface chlorophyll maxima occur at approximately 20 m and are dominated by flagellates (Holligan, Balch, & Yentsch, 1984; O’Reilly & Zetlin, 1998; Thomas et al., 2003).
Warmer waters and abundant prey spurred by the spring primary and secondary blooms support high biodiversity during summer. In the central and southern GoM, as well as on Georges Bank, there is a succession of small copepod species including *Centropages typicus*, *Pseudocalanus* spp., and *Metridia lucens* (Pendleton et al., 2009; Record, O’Brien, Stamieszkin, & Runge, 2016). Total copepod abundance in the central GoM remains high from spring through summer (Kane, 2009) and biomass peaks in coastal waters of the western GoM during late July to early August (Manning & Bucklin, 2005). In contrast to much of the GoM, total zooplankton biomass and abundance in the outer Bay of Fundy is typically highest in July to September (Johnson et al., 2016), with overall copepod diversity peaking in September (Johnson et al., 2016; Record, Pershing, & Jossi, 2010).

Ichthyoplankton of some demersal (e.g., fourbeard rockling (*Enchelyopus cimbrius*)) and pelagic species (e.g., hakes (*Merluccius albidos*, *M. bilinearis*) and Atlantic mackerel (*Scomber scombrus*)) reach peak abundances during July to August (Figure 4a; Walsh et al., 2015). Commercially important flounders (Paralichthiidae, Pleuronectidae) and other groundfish utilize inshore habitats as summer nursery grounds (Collette & Klein-MacPhee, 2002). In addition, a number of larval and juvenile warm water species (GSO, 2016: http://www.gsoproject.org/species-identification/) occur in the GoM during summer after being transported north via the Gulf Stream Current and carried inshore in warm-core rings (Hare et al., 2002; Wood et al., 2009).

Peak concentrations of forage species (e.g., longfin and shortfin squids (*Illex illecebrosus*)) attract recreationally and commercially important fishes such as bluefish (*Pomatomus saltatrix*) and striped bass (*Morone saxatilis*), which migrate north from overwintering grounds in the south and mid-Atlantic regions starting in late May to early June (Collette & Klein-MacPhee, 2002; Wuenschel et al., 2012). Highly migratory large pelagic species including bluefin tuna (*Thunnus thynnus*), basking sharks (*Cetorhinus maximus*), small- to medium-sized cetaceans (e.g., long-finned pilot whales (*Globicephala melas*); Risso’s dolphins (*Grampus griseus*)), and great whales (e.g., North Atlantic right whale) also utilize offshore waters of the GoM as summer foraging grounds (Campana et al., 2008; Curtis, Zeeman, Summers, Cadrin, & Skomal, 2014; Diamond, 2012; Golet et al., 2016).

**FIGURE 5** The seasonal phenology of selected migratory seabirds in the Gulf of Maine. Colors depict arrival and courtship (light green), breeding (dark green), non-breeding (light blue), non-breeding (dark blue), staging (pink), and departure (orange). Procellariids include: Manx Shearwater (*Puffinus puffinus*), Sooty Shearwater (*Ardena grisea*), Great Shearwater (*A. gravis*), Cory’s Shearwater (*Calonectris diomedea*), Wilson’s Storm-Petrel (*Oceanites oceanicus*) and Leach’s Storm-Petrel (*Oceanodroma leucorhoa*). Larids include: Common Tern (*Sternula hirundo*), Roseate Tern (*S. dougallii*), Arctic Tern (*S. paradisaea*), Laughing Gull (*Leucophaeus atricilla*), and Great Black-backed Gull (*Larus marinus*). Alcids include: Atlantic Puffin (*Fratercula arctica*), Black Guillemot (*Cepphus grylle*), and Razorbill (*Alca torda*). Data were adapted from Petrels, Albatrosses & Storm-Petrels of North America (Howell, 2012) and unpublished data from National Audubon Society Seabird Restoration Program.
Seabirds nest on islands throughout the GoM between mid-spring (April) and late summer to early fall (August–September). Colony location can directly affect seabird nesting phenology. For example, common terns (S. hirundo) nesting on inshore islands may initiate egg laying earlier in the season compared to offshore islands (Hall & Kress, 2004). The timing and abundance of high-quality forage (e.g., sand lance, herring, and other fishes) is critical for the provisioning, survival, and successful fledging of chicks (Diamond & Devlin, 2003). Egg laying, hatching, and fledging periods also vary among species. For example, razorbills (Alca torda) and murres (Uria spp.) leave nesting colonies as early as mid-July, while terns (Sterna spp.) begin to leave in late July, and Atlantic puffins depart during August (Figure 5; GOMSWG, 2016; Yakola & Brofsky, 2016).

### 2.3 Fall: exit door southeast

Onset of fall is characterized by increased vertical mixing in surface waters resulting from wind and rapid convective cooling (Findlay, Yool, Nodale, & Pitchford, 2006). These drivers stimulate a fall phytoplankton bloom in the GoM that is smaller in magnitude but broader in duration than the spring bloom. Fall bloom timing has been correlated with SST and salinity (O’Reilly & Zetlin, 1998; Platt et al., 2010; Song et al., 2010; Thomas et al., 2003). Biomass and abundance of the copepod C. typicus peaks in fall, dominating GoM and Georges Bank zooplankton communities (Kane, 1993; Manning & Bucklin, 2005; Pendleton et al., 2009; Pershing et al., 2005). Atlantic herring are one of the few species of fish to spawn in fall, though timing varies among populations starting in late summer in the Bay of Fundy and going as late as November and December on Georges Bank (Stevenson & Scott, 2005; Walsh et al., 2015).

The most prominent aspect of the fall season is the mass Exodus of many fishes, seabirds, and marine mammals either to offshore habitats or to other ocean basins throughout the Atlantic and Arctic. Many demersal and pelagic fishes move offshore into the deeper, more thermally stable waters of the continental slope and canyons (Friedland & Hare, 2007). Atlantic bluefin tuna leave the GoM over a period of months from October to December as temperatures decline and lipid reserves are fulfilled, largely from feeding on Atlantic herring (Mather, 1995; Wilson et al., 2005). Young of year anadromous fishes such as river herring emigrate from coastal freshwater ponds starting in late summer (July) through November to complete the juvenile portion of their life cycles in the open ocean (lafraite & Oliveira, 2008; Kosa & Mather, 2001; Yako, Mather, & Juanes, 2002). Salmon smolts move within tributaries and mainstem habitats during the fall but are not believed to fully emigrate from freshwater systems (Meister, 1962). Adult American eels also transition into marine environments, mostly between September and November (Collette & Klein-MacPhee, 2002).

Although many species move away from the coast in fall, a few fish and invertebrates exhibit an opposite pattern. Brooding northern shrimp females begin an inshore migration in late fall that culminates in the larval hatch period during mid- to late winter in nearshore (<50–100 m) waters (Haynes & Wigley, 1969; Richards, 2012). Adult Atlantic herring begin depositing demersal eggs as surface water temperatures decline in late summer through fall (Collette & Klein-MacPhee, 2002; Stevenson & Scott, 2005), with larval abundance of fall-spawned fish peaking in September and October (Figure 4a). Historically, there were two pulses of Atlantic salmon entering rivers. The major pulse was spring-run fish that entered starting in April, and a fall run with fish entering rivers starting in September. A portion of the spring-run population may stay in the lower reaches of the river before moving upstream and spawning with the fall run primarily in November (Belding & Kitson, 1934; Meister, 1962).

Seabirds tracked using satellite geolocator tags and radio telemetry were found to depart the GoM and other northern habitats for their wintering grounds starting in August. Some species such as Atlantic puffins and shearwaters (Ardena spp., Calonectris spp., Puffinus spp.) are believed to overwinter in nearby habitats of the Gulf of St. Lawrence and Bay of Fundy (Fayet et al., 2017; Powers, Wiley, Allyn, Welch, & Ronconi, 2017), while others, such as roseate (Sterna dougallii), common (S. hirundo), and least terns (Sterna antillarum), migrate as far as South America, and Arctic terns (S. paradisaea) to the Southern Ocean (Egevang et al., 2010; Hays et al., 1997; Nisbet, 1984; Thompson et al., 1997; Veit & Petersen, 1993). Leach’s storm-petrels are one of the last seabirds to fledge their chicks, starting in August until as late as November, before departing for their wintering grounds including South America and the west coast of Africa (Pollet, Hedd, Taylor, Monteveccchi, & Shutler, 2014).

### 2.4 Winter: cold dormancy

Gulf of Maine temperatures reach their annual minima in late winter (Richaud, Kwon, Joyce, Fratantoni, & Lentz, 2016). Historical SSTs on the continental shelf during the time period of 1854–2005 showed steady declines starting in August, reaching their lowest values in late February to early March, with some coastal water bodies icing over before warming again in spring (Friedland & Hare, 2007; True & Wiitala, 1990). Typically, surface phytoplankton concentrations are lowest over the Gulf’s deep basins in winter. Coastal waters and shallow banks also have a winter phytoplankton minimum but remain somewhat elevated relative to the deep basins (e.g., Georges Bank; O’Reilly & Zetlin, 1998; Thomas et al., 2003). However, late winter blooms in deep basins were observed in 1999 (Durbin et al., 2003) and 2013 (Runge et al., 2015). While water column stratification is often argued as essential for phytoplankton bloom conditions, late winter blooms may be caused by deep light penetration coupled with low wind speeds (Townsend et al., 1994). In such cases, zooplankton populations can keep pace with winter blooms and may be observed at unusually high concentrations (Durbin et al., 2003; Runge et al., 2015). Apart from these rare episodic events, GoM copepod concentration and diversity reach annual lows during winter.
| Environmental variable or species | Phenological shift | Location | Years | Season | Environmental driver(s) | Reference |
|----------------------------------|-------------------|----------|-------|--------|-------------------------|-----------|
| 1. Oceanographic features        |                   |          |       |        |                         |           |
| A. Spring thermal transition     | Progressively earlier by ≥ 0.5 days/year to surpassing a 12°C threshold since 1982. | GoM, Georges Bank, Northeast Shelf | 1982–2014 | All | Gulf Stream position, atmospheric pressure, and NAO | Thomas et al. (2017) |
| B. Spring thermal transition     | For Georges Bank, eastern and western GOM, spring transition was relatively constant until 2006; between 2006 and 2016, spring transition advanced by 19 days. | Northeast shelf from Cape Hatteras to Nova Scotia | 1982–2016 | Spring | SST | Friedland et al. (2015) |
| C. Fall thermal transition       | Progressively later by 1.0–1.5 days/years since 1982. | Northeast shelf from Cape Hatteras to Nova Scotia | 1982–2014 | All | Gulf Stream position, atmospheric pressure, and NAO | Thomas et al. (2017) |
| D. Stratification                | In the eastern GoM, onset day shifted one week earlier in the recent decade relative to the long-term mean. The western GoM exhibited strong interannual variability but no discernible trend was detected. | Eastern GoM | 1978–2013 | All | SST and salinity | Li et al. (2015) |
| 2. Hydrography                   |                   |          |       |        |                         |           |
| A. Ice-affected stream flows (Ice break-up) | Earlier last dates in 75% of rivers studied by 11 days on average, mostly since the 1960s. | Northern New England | 1936–2000 | Winter–spring | Winter–spring air temperatures | Hodgkins et al. (2005) |
| B. Spring freshet                | Shifting earlier from May, to February, March, and April. | Northern New England | 1902–2002 | Winter–spring | Not specifically tested | Hodgkins et al. (2003) |
| C. Spring streamflows            | Earlier occurrence of winter–spring streamflows in multiple river systems ranged from 4.4 to 8.6 days over 50 to 90-year periods. | Northeast American rivers | 1953–2002 | Winter–spring | Air temperature, snowmelt runoff, and precipitation | Hodgkins and Dudley (2006a) |
| D. Ice-affected stream flows (Ice-on) | Later first dates of ice-affected flows in 25% of rivers studied and decreased total duration of ice-affected flows. | Northern New England | 1936–2000 | All | Winter–spring air temperature and precipitation | Hodgkins et al. (2005) |
| 3. Primary production            |                   |          |       |        |                         |           |
| A. Phytoplankton                 | Increased variability of bloom phenology during the 1990s | GoM Basins | 1961–2013 | All | Not specifically tested | Record et al. (2016) |
| B. Phytoplankton                 | Spring and fall bloom mid-points became later: 8.9 and 4.3 days per decade, respectively, since 1960. | GoM | 1912–2015 | All | Not specifically tested | Recd et al. (2018) |
| 4. Secondary production          |                   |          |       |        |                         |           |
| Environmental variable or species | Phenological shift                                                                 | Location | Years        | Season | Environmental driver(s)                  | Reference                                      |
|----------------------------------|------------------------------------------------------------------------------------|----------|--------------|--------|------------------------------------------|-----------------------------------------------|
| A. Calanus finmarchicus          | Adult and late-stage annual spring increases in peak abundance shifted earlier, from approximately year day 200 to 150 after 1974; period of peak abundance shifted toward early May during 2001–2013. | GoM      | 1961–2013    | All    | Circulation and phytoplankton             | Pershing et al. (2005, this study; Figure 3a) |
| B. Calanus spp.                  | Annual spring increase shifted progressively earlier from approximately year day 100 to 50 between 1961 and 2000; in recent years (2001–2013), this increase shifted back toward the long term (1961–2013) mean. | GoM      | 1961–2013    | All    | Circulation and phytoplankton             | Pershing et al. (2005, this study; Figure 3b) |
| C. Centropages typicus           | Elevated annual abundance lasted longer during fall and winter after 1992: approximately year day 150 to 100 (the following year), compared to year day 175 to 10 (the following year) pre–1992. | GoM      | 1961–2000    | All    | Circulation and phytoplankton             | Pershing et al. (2005)                         |
| D. Metridia lucens               | Elevated annual abundance got progressively longer, from approximately year day 300 to 25 (the following year) during years 1961–1974, to year day 250 to 110 (the following year) after 1992. | GoM      | 1961–2000    | All    | Circulation and phytoplankton             | Pershing et al. (2005)                         |
| E. Oithona spp.                  | Annual low and subsequent increase in abundance shifted progressively earlier through time series, from approximately year day 100 to year day 50. | GoM      | 1961–2000    | All    | Circulation and phytoplankton             | Pershing et al. (2005)                         |
| F. Oithona spp.                  | Annual low and subsequent increase abundance shifted from January/February to September/October between the 1980s and 1990s. | Georges Bank | 1980–2000    | All    | Salinity, circulation                     | Kane (2007)                                   |
| G. Temora longicornis            | Peak abundance broadened from distinct July/August peak in the 1980s, to a broad May/June–July/August peak in the 1990s. | Georges Bank | 1980–2000    | All    | Salinity, circulation                     | Kane (2007)                                   |

5. Macro-invertebrates

| A. Pandalus borealis             | Hatch initiation started earlier from a range of year days of 10 to 56 (median = 36); hatch completion ended later (year day 64 to 118 (median = 76)), resulting in overall increase in duration of 44 days (range 16 to 78 days). | Western GoM | 1980–83; 1989–2011 | Season of fishery (winter-spring) | Bottom and SST | Richards (2012) |
| B. Onchidoris muricata           | Spawning extended into summer and recruitment expanded into early fall. Peak spawning shifted from January-March to May-June | Newcastle, NH | 2005–2009 | January–October | Not specifically tested | Lambert (2013) |

6. Larval fish

| A. Melanogrammus aeglefinus, Enchelyopus cimbrius, Pholis gunnellus, Anarhichas spp., Pseudopleuronectes americanus | Larval occurrence shifted earlier in the winter (4 taxa) and summer (1 taxa) in 1999–2008 compared to 1977–1987. | Georges Bank, GoM | 1977–1987 compared to 1999–2008 | All | Not specifically tested | Walsh et al. (2015) |
| Environmental variable or species | Phenological shift | Location | Years | Season | Environmental driver(s) | Reference |
|----------------------------------|------------------|----------|-------|--------|-------------------------|-----------|
| B. Pollachius virens, Merluccius albidos, Ammodytes spp., Scomber scombrus | Larval occurrence shifted later in the winter (2 taxa) and summer (2 taxa) in 1999–2008 compared to 1977–1987. | Georges Bank, GoM | 1977–1987 compared to 1999–2008 | All | Not specifically tested | Walsh et al. (2015) |
| 7. Diadromous fish | | | | | | |
| A. Alosa pseudoharengus | Spawning run initiation of adult fish shifted earlier by 13 days. | Southern New England streams | 1973–1975 compared to 1997–2007 | Did not specify, likely only relevant months | Temperature | Ellis and Vokoun (2009) |
| B. Alosa pseudoharengus | Median first capture of adults during spawning migration was earlier by 21.6 days, from approximately year day 165 to 143. | Androscoggin River, Midcoast Maine | 1983–2001 | Did not specify; likely only relevant months | Not specifically tested | Huntington et al. (2003) |
| C. Salmo salar | Median first capture of adults during spawning migration was earlier by 19.5 days, from approximately year day 195 to 175. | Penobscot River, Midcoast Maine | 1986–2001 | Did not specify; likely only relevant months | Not specifically tested | Huntington et al. (2003) |
| D. Salmo salar | Date of 50% total return of adult fish migrating to freshwater spawning grounds shifted 10 days earlier, from approximately year day 158 to 148. | Penobscot River, Midcoast Maine | 1978–2001 | Did not specify; likely only relevant months | River temperature and flow tested; neither significant | Juanes et al. (2004) |
| E. Salmo salar | Smolt seaward migration shifted to earlier dates, from an approximate mean of year day 148 to 135. | North Atlantic Ocean Basin, including Gulf of Maine | 1961–2010 | March–August | Air, river, and sea temperatures | Otero et al. (2014) |
| 8. Seabirds | | | | | | |
| A. Fratercula arctica | Mean date of egg laying shifted later from year day 123 to 135 since 1980; and mean date of fledging shifted from year day 210 to 219 since 1995. | Machias Seal Island, coastal GOM | 1980–2014 | May–August | Oceanographic conditions affecting prey quality | Whidden (2016) (Masters thesis) |
| 9. Human activities | | | | | | |
| A. Homarus americanus fishery | Landings peaked 22 days earlier and the season extended longer than usual in 2012 than the mean date from 1981–2011. | GoM | 1981–2012 | All | Anomalous high temperature | Mills et al. (2013) |
| B. Pandalus borealis fishery | Estimated year day of hatch midpoint shifted earlier from 67 to 44; hatch onset was the earliest on record in 2012, leading to early attainment of catch limit and fishery closure. | Cape Ann, MA - Penobscot Bay, ME | 1998–2012 | All | SST and chlrophyll-a concentration | Richards et al. (2016) |
(Johnson et al., 2016; Kane, 1993, 2009; Manning & Bucklin, 2005; Record et al., 2010).

Many groundfish and pelagic species migrate and concentrate in deeper offshore waters of the outer shelf and slope during the colder months of the year (Collette & Klein-MacPhee, 2002). Species including Atlantic cod (Gadus morhua), haddock (Melanogrammus aeglefinus), pollock (Pollachius virens), sand lance, and winter flounder (Pseudopleuronectes americanus) spawn during the colder months, and larval abundance peaks for 8 of 19 well-surveyed larval taxa during January and February (Figure 4a).

Gray seals (Halichoerus grypus) are one of the few marine mammals to remain year-round in the GoM. Adults haul-out during January to breed and pup on coastal islands, beaches, and pack-ice located throughout the GoM and further north in the Gulf of St. Lawrence (Klimova et al., 2014; Lesage & Hammill, 2001). Sea ice extent affects gray seal winter distributions, pupping success, and maternal energetics (Haller, Kovacs, & Hammill, 1996; Lesage & Hammill, 2001). Although gray seals use coastal mainland habitats for pupping, these areas are associated with increased disturbance from human activities (Lesage & Hammill, 2001). In contrast, preference for ice-free waters drives harbor seals (Phoca vitulina concolor) to move into southern New England during the winter and spring (Baechler, Beck, & Bowen, 2002; Boehme et al., 2012; Burns, 2009). A portion of the North Atlantic right whale population and some seabirds continue to utilize habitats within the GoM during winter (Cole et al., 2013; Fayet et al., 2017), although their activities during this time are still largely unknown.

3 | EVIDENCE FOR SHIFTING PHENOLOGY IN THE GoM

Evidence for shifts in phenology was derived from a systematic literature search conducted in ISI Web of Knowledge using the term “phenology” in conjunction with “Northwest Atlantic” and the names of major GoM basins (see Figure 1 for complete list). Initially, 27 studies were found containing relevant information on regional coastal and marine organisms as well as environmental drivers (Supporting Information Appendix S3); however, close examination determined that only four of these studies (Friedland et al., 2015; Lambert, 2013; Richards, 2012; Thomas et al., 2017) explicitly demonstrated shifts in seasonal variables and species’ phenology over time. Two studies projected future changes in diapause duration in the zooplankton species C. finmarchicus (Pierson, Batchelder, Saumweber, Leising, & Runge, 2013; Wilson, Banas, Heath, & Speirs, 2016). The remaining 21 studies fell into three categories: (a) baseline studies documenting general patterns in timing or cycles of phenological and environmental events (e.g., Bouchard & Aiken, 2012); (b) modeling studies that explored mechanistic or spatial relationships between phenological events and environmental drivers, but did not evaluate changes in phenological responses over time (e.g., Zhai et al., 2011); and (c) modeling studies that advance our overall ability to understand responses to ecosystem perturbations and support future evaluations of shifts in phenology (e.g., Link, Fulton, & Gamble, 2010). Our author team’s knowledge of specific species and systems identified 16 additional studies. The final list of 20 studies that provide direct evidence of phenological shifts in the GoM is summarized in Table 1 and Figure 6. Here we discuss these results in the context of regional climate drivers and future projected changes.

3.1 | Historical observations and future projections of oceanographic changes

3.1.1 | Seasonal cycle of warming and cooling

The seasonal cycle of warming and cooling has undergone significant changes as temperatures have risen. Warming trends were strongest during summer (July-September) at rates of about 1.0°C/decade (1982-2014) in the central GoM (Friedland & Hare, 2007; Thomas et al., 2017). Conversely, average air temperatures over terrestrial environments in New England showed the greatest increases during winter, rising at rates of 0.18°C/decade (1895-2017), which is 2-3 times faster than in other seasons over the past century (Horton et al., 2014; NCEI, 2017). Changes in temperature cycles have shifted the seasonal metrics that define the warmest period of the year. Summer start and end dates in the GoM, as defined by SST thresholds, have shifted approximately 1 day per year since 1982, lengthening the summer period by as much as 2 days per year during this time (Thomas et al., 2017). Initial investigations suggest these changes are associated with regional patterns, such as the Gulf Stream position, atmospheric pressure, and North Atlantic Oscillation (NAO; Thomas et al., 2017). Similar metrics—the spring and fall thermal transition times, each defined as the day of year when a seasonally specific temperature threshold is surpassed for ≥8 consecutive days—have shifted more rapidly in the past decade, with the spring transition advancing two weeks since 2006, and fall transition occurring progressively later with the greatest changes since 2005 (Friedland et al., 2015; Thomas et al., 2017; seasonal updates available at Northeast Fisheries Science Center (NEFSC): http://www.nefsc.noaa.gov/ecosys/current-conditions). Analysis of 26 climate models in the CMIP5 ensemble using the “business as usual” (RCP 8.5) scenario shows warming trends of 0.4°C per decade until the end of this century, but the ensemble of models does not project this warming to further shift the annual cycle in the region (Alexander et al., 2018). In addition, changes in basin-scale indices of natural climate variation, such as the NAO, Atlantic Multidecadal Oscillation (AMO), and Gulf Stream position, are likely amplifying regional warming and precipitation cycles, and have the potential to further affect seasonal shifts in the environment over the coming decades (Saba et al., 2016; Thomas et al., 2017).

3.1.2 | Seasonal stratification and mixing

The seasonal stratification of the water column has shown temporal (both interannual and decadal) and spatial variability. Unlike changes in the thermal cycle that are coherent over the broader Northwest
Atlantic region, stratification timing displays substantial regional heterogeneity. The stratification onset day is computed for each year as the date when stratification strength first exceeds 25% of its 1978–2013 median. Over the 35-year study period, the stratification onset day has fluctuated 1–3 weeks, with distinct patterns in the eastern and western GoM. In the eastern GoM, stratification onset shifted one week earlier in the most recent decade relative to its 35-year mean date, compared to a 1- to 2-week delay in the late 1980s and 1990s (Li et al., 2015). In the western GoM, stratification onset follows 1–2 week interannual variations with no significant trend over either the entire period or the most recent decade. Regional differences in the response of stratification timing reflect differential effects of changing ocean temperature and salinity (Li et al., 2015).

In recent years, changes in the character and timing of seasonal water mass inputs and outputs through the Northeast Channel have been observed. The Northeast Channel is the primary conduit connecting offshore slope water with deep basins in the GoM. In the early 2000s, the pattern of flow through the deep channel transitioned from a relatively stable flow structure with episodic variability to strongly seasonal; notably, deep water in the channel exhibited consistent reversals of flow in the spring and fall (Smith, Pettigrew, Yeats, Townsend, & Han, 2012). Changes in surface currents (e.g., the Gulf of Maine Coastal Current) and deep water exchanges are driven by interactions between the Gulf Stream and Labrador currents; changes in these currents are causing an increase in the frequency of anomalous warm water pulses and altered supply dynamics of nutrient-rich deep slope water into the GoM (Brickman, Hebert, & Wang, 2018; Pettigrew et al., 2005; Ramp, Schlitz, & Wright, 1985).

3.1.3 Seasonal shifts in freshwater inputs

Inputs of freshwater to the GoM are driven by the seasonal hydrological cycle over the watershed as well as transport of comparatively fresher water into the GoM from the Scotian Shelf. There is strong evidence for shifts in the timing of winter–spring hydrology and seasonal runoff into coastal areas (Dudley, Hodgkins, McHale, Kolian, & Renard, 2017; Hodgkins, Dudley, & Huntington, 2003). On average, coastal watersheds in the GoM region have experienced an increase in monthly (except January through March) and annual precipitation from 1895 to 2010 (Huntington & Billmire, 2014). There has been an increase in the intensity and persistence (i.e., probability of rainfall occurring on multiple consecutive days) of precipitation from the mid-20th century to the early 21st century throughout the northeastern United States (Guilbert, Betts, Rizzo, Beckage, & Bomblices, 2015; Madsen & Wilcox, 2012). Pronounced warming during winter and spring is leading to more rain instead of snow, more rain on snow events, as well as decreased snowpack duration, depths, and snow water equivalents in late winter and early spring (Hamburg, Vadeboncoeur, Richardson, & Bailey, 2013; Hodgkins & Dudley, 2006a; Huntington, Hodgkins, Keim, & Dudley, 2004; Vincent et al., 2015). As the snowpack absorbs more liquid from rainfall and melts earlier, high spring river flows, calculated as the winter–spring center-of-volume date, have occurred 1–2 weeks earlier in New England, New Brunswick, and Nova Scotia in the 20th and early 21st centuries (Dudley et al., 2017; Hodgkins & Dudley, 2006b; Hodgkins et al., 2003; Vincent et al., 2015). Hodgkins, Dudley, and Huntington (2005) showed significantly earlier last dates (11 days on average) of spring ice-affected flows (i.e., earlier river ice breakup) in 75% of the New England rivers studied between 1936 and 2000, with most changes observed to have occurred since the 1960s. Changes during fall have been less prominent, with only 25% (4/16) of the rivers studied exhibiting significantly later first dates of ice-affected (i.e., ice-on) flows (Hodgkins et al., 2005). In addition, Vincent et al. (2015) showed trends toward earlier river ice breakup in spring at sites in southern Canada for 1950–2012.

Streamflow and runoff magnitudes have also changed in New England rivers in the 20th and early 21st centuries (Huntington & Billmire, 2014). Monthly streamflow trends in 27 regional streams with minimum human influences showed increases in March and decreases in May (Hodgkins & Dudley, 2005). Increases were also observed for most streams in winter and fall, and decreases in summer, but the trends were weaker. Similar trends occurred in monthly streamflow and associated dissolved organic carbon (DOC) export for major rivers draining to the GoM from 1930 to 2013 (Huntington et al., 2016). Collectively, these studies (Table 2) provide evidence that freshwater and DOC export are occurring earlier in the year (generally more in March and April and less in May and June) and that export has increased in the winter, and to a lesser extent in the fall. Climate model simulations predict continued shifts in precipitation and runoff, with increases in magnitude during winter but not in summer for the 21st century, and earlier timing of high spring flows (i.e., earlier winter–spring center-of-volume dates) in New England (Campbell, Driscoll, Pourmokhtarian, & Hayhoe, 2011; Hayhoe et al., 2007; Thibeault & Seth, 2014).

3.2 Phytoplankton

Phytoplankton bloom phenology differs across the region depending upon the temporal period considered. The timing of the annual spring bloom in the GoM did not show linear trends between the 1980s and 2013 (Record et al., 2016; Song et al., 2010; Thomas et al., 2003), nor on the Scotian Shelf between 1998 and 2008 (Platt et al., 2010). During the 1990s, bloom timing was more variable than compared to the 1980s and 2000s (Record et al., 2016). Over multidecadal time scales, spring and autumn bloom timing both shifted later; rates depended on which phenology metric was used, with the most significant rates at 8.9 and 4.3 days per decade since 1960, for spring and autumn, respectively, when tracking the midpoint of a Gaussian fit (Record, Balch, & Stamicszkin, 2018). Earlier spring blooms were correlated with higher February stratification and lower nutrients (Record et al., 2018), whereas later spring blooms were associated with higher springtime surface salinity (Ji et al., 2007, 2008; Song et al., 2010). Lagged temperature conditions (2–8 months before the bloom) may be important in nearshore areas (Richards, O’Reilly, & Hyde, 2016). Fall bloom timing was consistently found to be negatively correlated with SST and salinity, with higher temperatures and salinity resulting in earlier blooms (Ji et al., 2007, 2008; Record et al., 2018; Song et al., 2010). The variation in responses and associated
FIGURE 6  Summary of observed phenological shifts in the Gulf of Maine derived from a comprehensive literature search and expert input. Quantitative details of shifts are found in Table 1. Numbers and letters (e.g., 1A. Spring thermal transition) correspond to Table 1. Arrow color indicates directionality of shift in timing (blue = earlier, green = later) and arrow length depicts the magnitude of each shift, which corresponds to day of year, month, and season (bottom and top of figure). Original artwork by K. Stamieszkin.
drivers observed across bloom studies in the region is likely due in part to the oceanographic and atmospheric conditions specific to the time period examined (i.e., season, decade), but may also be related to sampling techniques, choice of phenology metric, and the temporal resolution and methodology used to evaluate each dataset (Ferreira, Visser, MacKenzie, & Payne, 2014).

3.3 | Zooplankton

The phenology and succession of copepod species in the GoM has shifted over the past several decades. The seasonal cycle from 1961 to 2013 was dominated by C. finmarchicus (during winter and spring), followed by a successional period of smaller species (including C. typicus, Pseudocalanus spp., M. lucens, and Oithona similis during fall and winter; Record et al., 2016). Peak timing of abundance for many of these species has shifted and appears to be driven primarily by changes in water mass transport into the GoM, resultant changes in stratification, and phytoplankton phenology (Kane, 2007, 2011; Pershing et al., 2005; Record et al., 2016). Specifically, in the 1980s, the turnover of the different copepod species followed a regular pattern of succession, but in the 1990s, the successional pattern broke down, yielding a less regular pattern typical of an ecological disturbance (Pershing et al., 2005; Record et al., 2016). This change mirrored the regional phytoplankton phenology discussed above.

The timing of peak spring abundance for C. finmarchicus has demonstrated high variability and shifts in the GoM region relative to changes in water circulation and zooplankton community structure (MERCINA, 2004; Pershing et al., 2005; Record et al., 2016).

Continuous Plankton Recorder (CPR) data show progressively earlier annual spring increases in abundance and higher annual maximum concentrations of adult and subadult (CV-CVI) C. finmarchicus in surface waters over the time series 1961-2013 (Figure 3a, Supporting Information Appendix S2). These trends are more pronounced in juvenile Calanus spp. (CI-CIV) with the overall duration of the annual cycle and maximum concentrations exhibiting increases, though inconsistently, across the four time periods in the historical record (Figure 3b, Supporting Information Appendix S2). Spring concentrations of juveniles increased earlier during more recent periods, shifting from April (1961–1974) to February–March (1978–2013). Later and more gradual declines in abundance were also apparent in late summer, shifting from July during 1961-1974 to August in 2001–2013 (Figure 3b, Supporting Information Appendix S2). Under future climate change, additional shifts in phenology are projected for C. finmarchicus, including reduced duration of diapause by more than a month (~39 days) with a 2°C temperature increase (Pierson et al., 2013) and at least 50% reductions in overwintering dormancy by end of century (2090–2099 compared to 2000–2009) under the RCP 8.5 scenario (Wilson et al., 2016). Projected shifts are size-dependent and potentially moderated by behavioral changes such as overwintering at deeper depths.

3.4 | Fish

The most extensive evaluation to date of shifting phenology in GoM fishes was conducted by Walsh et al. (2015). This study combined data from two long-term ichthyoplankton surveys (Ecosystem Monitoring

| Hydrologic metric | Winter | Spring | Summer | Fall | Annual | References |
|-------------------|--------|--------|--------|------|--------|------------|
| Precipitation     | ↑      | ↑      | ↑      | ↑    | ↑      | Keim, Fischer, and Wilson (2005); Hayhoe et al. (2007); Huntington and Billmire (2014); Vincent et al. (2015) |
| Rainfall intensity and frequency of large streams | ↑      | ↑      | ↑      | ↑    | ↑      | Madsen and Wilcox, (2012); Kunkel et al. (2013); Guilbert et al. (2015) |
| Duration of dry episodes (>30 days) | ↑      | ↑      | ↑      | ↑    | ↑      | Groisman and Knight (2008) |
| Runoff            | ↑      | ↑      | ↑      | ↑    | ↑      | Hodgkins and Dudley (2005); Huntington and Billmire (2014); Huntington et al. (2016) |
| Timing of spring freshet | ←     | ←     | ←     | ←    | ←      | Hodgkins et al. (2003); Hodgkins and Dudley (2006b) |
| Occurrence of river ice | ↓      | ↓      | ↓      | ↓    | ↓      | Hodgkins et al. (2005); Vincent et al. (2015) |
| Timing of river ice-out | ←     | ←     | ←     | ←    | ←      | Hodgkins et al. (2005); Vincent et al. (2015) |
| Thickness of river ice | ↓      | ↓      | ↓      | ↓    | ↓      | Huntington et al. (2003) |
| Variance in mean decadal precipitation | ↑      | ↑      | ↑      | ↑    | ↑      | Balch, Drapeau, Bowler, and Huntington (2012) |
| Fluvial export of DOC | ↑      | ↓      | ↑      | ↑    | ↑      | Huntington et al. (2016) |
| Ratio of solid to liquid precipitation | ↓      | ↓      | ↓      | ↓    | ↓      | Huntington et al., (2004); Vincent et al. (2015) |
| Density of late winter snowpack | ↑      | ↑      | ↑      | ↑    | ↑      | Hodgkins and Dudley (2006b); Vincent et al. (2015) |
(EcoMon); Marine Resources Monitoring, Assessment, and Prediction (MARMAP) to compare changes in the seasonal occurrence of 45 larval fish species between two decades (1977–1987 and 1999–2008) across the Northeast Shelf ecosystem. Of the 19 most abundant fish species examined in the GoM and Georges Bank, larval occurrence of five species shifted earlier, four shifted later, and 10 showed no change between the two periods. Significant shifts were not observed in spring or fall taxa (Figure 4b). Winter taxa were expected to shift later due to later fall onset and warmer water temperatures; however, later shifts were only observed in 2 (sand lance and pollock) of the eight winter species, four winter species shifted earlier (haddock, winter flounder, wolfishs (Anarhichas spp.), rock gunnel (Pholis gunnellus)) and 2 showed no change (Atlantic cod, Longhorn Sculpin (Myxocephalus octodecemspinosus)). Summer taxa also exhibited variable phenological responses with four beard rockling shifting earlier, offshore hake and Atlantic mackerel shifting later, and two other species (Silver hake, cunner (Tautogolabrus adspersus)) showing no change (Figure 4b). Overall, results suggest warming is influencing the seasonal occurrence of fish larval stages in complex and inconsistent ways. Although the underlying mechanisms are currently unknown, shifts may be indicative of changes in adult migration and spawning times, larval survival rates, or a combination of these and other factors, particularly during summer and winter. Walsh et al. (2015) also explored shifts in the seasonal occurrence of adult fishes using data from the NEFSC bottom trawl survey but found no definitive evidence for phenological changes.

Shifts in migration timing have been documented in a number of adult and juvenile diadromous fishes throughout the greater GoM region. The median date of arrival of adult Atlantic salmon migrating up the Penobscot River shifted earlier, with advancements of approximately 16 days between 1978 and 2001 (0.7 days/year; Juanes, Gephard, & Beland, 2004) and 19 days between 1986 and 2001 (1.3 days/year; Huntington et al., 2003); stream temperature and flow were identified as the most significant drivers of observed shifts. On a broader scale, emigration of Atlantic salmon smolts to the marine environment throughout their range in the North Atlantic Ocean has advanced 2.5 days/decade between 1961 and 2010 in response to warming in freshwater and marine habitats (Otero et al., 2014). Advances in the timing of spawning migrations of alewife of approximately 22 days have been observed in the Androscoggin River in Maine between 1983 and 2001 (1.2 days/year; Huntington et al., 2003). In addition, Ellis and Yokoun (2009) used water temperatures in four Massachusetts rivers to estimate alewife migration timing had shifted 12–13 days earlier between 1971-1978 and 1997-2007.

3.5 Macro-invertebrates

Shifts in reproductive cycles were found in two macro-invertebrates inhabiting the GoM. Between 1980 and 2011, the winter/spring hatching period for Northern shrimp commenced progressively earlier and ended progressively later, resulting in an increased overall duration of 44 days of the hatching period (Richards, 2012). Northern shrimp hatch timing is tuned to local bottom temperature throughout their range (Koeller et al., 2009), and the phenology of key reproductive stages has been linked to bottom and SSTs in the GoM with evidence of earlier hatching in warmer years (Richards, 2012). The shift in reproductive timing may also have an impact on early life stage survival, which was negatively correlated with phytoplankton biomass several weeks before the midpoint of the hatch period during 1998–2012 (Richards et al., 2016). A proposed explanation is that plankton species available as food to newly hatched shrimp are less favorable later in the bloom succession than during bloom initiation (Richards et al., 2016).

In a second case, the intertidal dorid nudibranch (Onchidoris muricata) expanded its spawning and recruitment periods into summer and early fall, respectively. Peak spawn production was observed to shift from January–March to May–June between surveys conducted in 1970s–1980s and a more recent period in the early 2000s (Lambert, 2013). Although the driver for this shift in phenology remains unresolved, nudibranchs were found in association with a novel prey species of invasive bryozoan (Membranipora membranaecea), which may have contributed to this shift in addition to warming water temperatures (Dijkstra et al., 2011; Lambert, 2013).

3.6 Seabirds

Studies of Atlantic puffins show egg laying and chick fledging shifted later on Machias Seal Island since the mid-1990s and early 1980s, respectively (Whidden, 2016). Nesting success and fledging condition also declined, possibly due to phenological mismatch with preferred forage fish abundance and changes in spring conditions (Keogan et al., 2018; Kress, Shannon, & O’Neal, 2016).

3.7 Human activities

As the timing of biological events change, human activities such as fishing, shipping, and recreation are also expected to change, though often at a lag with natural resources. The timing of human activities has been affected in some invertebrate fisheries in the region. A shift occurred in the American lobster fishery during a marine heat wave in 2012 (Mills et al., 2013). Warming spring water temperatures are associated with lobster molting, inshore migration, and higher activity levels, all of which made the species more available to the Maine coastal fishery. During 2012, temperatures warmed three weeks earlier than normal, and the commercial lobster fishery responded by shifting into its high-volume summer mode 3–4 weeks earlier, resulting in much higher landings of lobster during June and July than during typical years (Mills et al., 2013). Anomalously warm ocean temperatures also extended the period of fall cooling, which resulted in unusually high landings through the remainder of the year (Mills et al., 2013). Similarly, shifts in migration timing affected the northern shrimp fishery in the GoM, which consists of a winter trawl and trap fishery that exploits brooding females. In 2012, shrimp hatch onset was the earliest on record; the fishery landed its catch limit and closed early, thereby limiting fishing opportunities, particularly for trappers, which begin later than trawling effort (ASMFC, 2012).
4 IMPLICATIONS OF CHANGING PHENOLOGY

4.1 Ecosystem implications

The strong seasonal cycle in the GoM is an important component of the region’s ecology, as is typical for temperate marine ecosystems (Conover, 1992; Edwards & Richardson, 2004). The wide range of conditions experienced by organisms in the GoM throughout an annual cycle necessitates life histories tuned to particular seasons. Therefore, changes in the timing of seasonal environmental and ecological features could impact individual species, food webs, and overall ecosystem productivity. The magnitude of these impacts will depend upon the extent to which ecosystem responses create asynchronies or mismatches with environmental conditions, life history events, and among species including novel trophic interactions (Cushing, 1990; Durant, Hjermann, Ottersen, & Stenseth, 2007; Miller-Rushing, Høye, Inouye, & Post, 2010; Staudinger et al., 2013; Thackeray et al., 2010).

Shifts and variability in the timing of physical events such as seasonal transitions, onset of water column stratification, or seasonal delivery of freshwater, nutrients, and DOC, can have cascading non-linear and episodic effects on the timing of various coastal processes including ocean surface transparency, phytoplankton productivity (Balch et al., 2016; Gobler et al., 2017), episodic hypoxia (Díaz & Rosenberg, 2008), and acidification (Bauer et al., 2013; Gledhill et al., 2015). Projected decreases in DOC export during spring and summer (Huntington et al., 2016) could influence stream metabolism by reducing labile carbon for heterotrophic bacterial respiration in benthic and pelagic environments (Meyer, Tate, Edwards, & Crocker, 1988; Volk, Volk, & Kaplan, 1997). These changes, in turn, could affect the supply of energy to higher trophic levels in downstream coastal ecosystems. Changes in the timing and amount of DOC export to coastal waters (Hodgkins & Dudley, 2006b; Hodgkins et al., 2003; Huntington et al., 2016) likely influence marine biogeochemistry, including the development of nuisance and harmful algal blooms (Hayes et al., 2001) as well as carbon sequestration (Schlünz & Schneider, 2000). The amplification or dampening of spring blooms is also dependent on temporal overlap with grazer populations. For example, zooplankton and planktivorous fish abundance can moderate bloom overgrowth in some coastal systems if winter/spring emergence, growth, and/or grazer abundance aligns with bloom timing.

Although widely discussed in the ecological literature, observed in situ examples of mismatches in global marine communities remain scarce. The theory of ecological mismatches known as the “match-mismatch hypothesis” was originally conceived by Cushing (1969) as a bottom-up mechanism for explaining variation in year-class strength of fishes. This hypothesis proposed that good year classes resulted from spatiotemporal alignment (match) of primary and secondary food resources and the pelagic larval period, while poor year classes occurred when there were mismatches (Cushing, 1969, 1990; Peck, Huebert, & Llopiz, 2012). Yet, the underlying mechanisms that alter the timing of phytoplankton blooms and definitive links between secondary production and upper trophic levels are complex, variable, and highly uncertain (Edwards & Richardson, 2004; Friedland et al., 2015; Richards et al., 2016). Phytoplankton bloom timing, magnitude, and composition have species- and location-specific impacts on recruitment (Platt, Fuentes-Yaco, & Frank, 2003; Trzcinski, Devred, Platt, & Sathyendranath, 2013), but the duration of spatiotemporal overlap between larval fish and prey may be paramount to year-class success (Kristiansen, Drinkwater, Lough, & Sundby, 2011).

Differential rates of change in temperature and other environmental conditions across GoM habitats can result in mismatches in optimal physiology in migratory species that have sublethal effects on fitness and survival (Friedland et al., 2012; Friedland et al., 2012; Wood & Kellermann, 2015). In addition, climate-induced range shifts (Kleisner et al., 2016; Nye et al., 2009) are altering community composition along migration corridors and in destination habitats, potentially influencing predator-prey interactions (Friedland et al., 2012; Kordas, Harley, & O’Connor, 2011; Walsh et al., 2015). For example, it is hypothesized that Atlantic salmon post-smolts may be experiencing historically atypical marine prey and predator fields, resulting from changing ocean conditions and annual cycles (e.g., Thomas et al., 2017) that negatively impact growth and survival (Friedland et al., 2012; Friedland, Shank, Todd, McGinnity, & Nye, 2014; Renkwitz, Sheehan, Dixon, & Nygaard, 2015).

The timing and relative abundance of lipid-rich prey such as sand lance and Atlantic herring is thought to be critical to sustain higher level predators such as seabirds, which rely on these species for provisioning chicks (Burthe et al., 2012). On seabird breeding colonies in the GoM, warm water-associated fishes such as butterfish are being fed to chicks and associated with starvation events; consumption of butterfish, which are oval in shape and difficult to swallow, and other low-quality prey are thought to represent mismatches in primary prey availability (Kress et al., 2016). A recent review concluded global seabird populations have not adjusted their breeding phenology over time (1952–2015) or in response to rising SSTs (Keogan et al., 2018). Given that shifts in timing and distribution have been documented for several primary prey species in the GoM including sand lance, pollock, hake, and fourbeard rockling (Walsh et al., 2015), differential responses, or lack thereof, aligns with the mismatch hypothesis but needs to be formally tested. The seasonal availability of lower quality prey or a mismatch in size (either too small or too big) may be more important to some species than relative abundance (Golet et al., 2015). As has been shown in bluefin tuna, such mismatches may not necessarily result in mortality, but rather influence population dynamics through longer growing and development periods (Golet et al., 2015). This has also been observed in harbor seals, where changes in average gestation length and parturition date were attributed to decreased food availability between 1990 and 2000 (Bowen, Ellis, Iverson, & Boness, 2003).

4.2 Implications for fisheries

The efficacy of fishery management tools including fixed seasons, catch limits, and time-area closures may be compromised when
target resources shift more rapidly than dependent fisheries or regulations (Peer & Miller, 2014; Pinsky & Fogarty, 2012). Fisheries that are not regulated by fixed seasons may be able to respond to phenological changes in their target species with more flexibility; however, the supply chain and market system are not necessarily as adaptable (Mills et al., 2013). In addition, shifting phenology can alter the availability of different portions of the stock (e.g., year class or life stage), which can influence how benefits are distributed to fishery participants. The 2012 heat wave provided an example of how changes in the timing of the availability of target resources could affect invertebrate fisheries. The high volume and early timing of the American lobster fishery landings in 2012, coupled with an unusual overlap with the Canadian lobster season, exceeded the capacity of the supply chain (Mills et al., 2013). The ultimate result was a market glut and price collapse that substantially affected individual fishermen (Mills et al., 2013). In contrast to the U.S. fishery, the Canadian lobster management system opens the fishery for set periods of time, with the open season in Scotia-Fundy lobster fishing areas aligned to target hard shell lobster (Pringle & Burke, 1993). Warmer ocean temperatures are associated with higher proportions of soft shell lobsters during the fishing season (Thakur et al., 2017). These lobsters are more fragile than hard shell lobsters, making them hard to ship and store, and considered poorer quality. Thus, the early warming during 2012 affected the Canadian fishery, not only through the general price collapse, but also through a shift toward increasing catches of a lower-value component of the stock.

Northern shrimp have supported a fishery in the GoM since the 1930s (Scattergood, 1952). The fishery is managed through controls on open season length and timing as well as total allowable catch (ASMFC, 2011). Earlier reproductive timing and hatching during warmer years (2012 in particular) has sometimes led to a partial mismatch between the availability of shrimp and the open fishing season, with more severe impacts on the late seasonal trap sector (Richards et al., 2012). Other regional finfish fisheries face similar temporal misalignment challenges. Fishermen have raised concerns that silver hake (whiting) now often migrate north of the fishing area by the time the season opens. This resulted in the consideration of a new Amendment by the New England Fishery Management Council (Limited Access Amendment 22) in 2018 on where and when the whiting fishery should be open in the GoM in the future.

In Maine and New Hampshire coastal rivers, earlier ice thinning and melting during the winter and early spring has led to contracted ice fishing seasons for smelt. During warmer years, poor ice integrity has necessitated earlier removal of ice huts due to safety issues to fishermen. Seasonal fishing camps and related industries experience reduced revenue due to fewer fishing days during years when onset of winter is later and spring comes earlier, thus threatening the sustainability of this cultural tradition and popular recreational activity (Personal communication, Maine Department of Marine Resources).

Interactions between climate and fishing pressure can change mortality rates on one or more portions of a population, leading to altered life history diversity and increased sensitivity to cumulative stressors over time (Ohlberger, Thackeray, Winfield, Maberly, & Vollestad, 2014). One manifestation of these consequences has been shown in the Mid-Atlantic Bight where striped bass migration occurs earlier with higher temperatures; however, the fishing season is static and set to calendar dates intended to enable early migrants to spawn before the season begins. When waters warm earlier, more striped bass move upstream before the fishing season, thereby reducing fishing mortality on spawning females during warm years (earlier runs) in comparison to cool years (later runs; Peer & Miller, 2014). In this case, spawning females are benefiting from shifting phenology, but there may be unintended consequences for other portions of the population and these patterns may not hold true in other systems. When the timing of seasonal migration and aggregation becomes more variable and less predictable, spatial and temporal management approaches are more likely to result in incidental take or bycatch and compromise the protection and recovery of vulnerable species (Dunton et al., 2012, 2015).

For many species, fisheries management depends on predictions of stock productivity over the near-term to set annual quotas and catch limits. These predictions are often derived from stock assessment models that are parameterized using historical relationships between catch, spawning stock biomass (SSB) or egg production, and abundance of recruits (Beverton & Holt, 1993). In ecosystems that are changing in complex ways with no historical analogue, these relationships may not remain true. Shifts in early life history phenologies (e.g., Walsh et al., 2015) and changes in seasonal timing can further complicate the already difficult task of estimating population productivity, abundance, and appropriate harvest levels for marine species (Henderson, Mills, Thomas, Pershing, & Nye, 2017; Sissenwine & Shepherd, 1987). For example, a recent meta-analysis evaluated the effects of spring onset and summer duration on seasonal mean stratified biomass of 43 stocks of adult fish and invertebrates on the U.S. Northeast shelf between 1982 and 2014 (Henderson et al., 2017). Longer summers were found to result in extended growing seasons and increased biomass for most temperate stocks, including summer and winter flounder, spiny dogfish, and haddock at 0- to 5-year lags. Conversely, cold-water species, such as Atlantic cod exhibited decreased biomass at a 1-year lag, suggesting longer periods of suboptimal temperatures could reduce population recruitment (Henderson et al., 2017).

Spatiotemporal closures have also been used to protect spawning aggregations of important fisheries such as Atlantic cod (Armstrong et al., 2013), Atlantic herring (ASMFC, 2012, 2016), and haddock (Halliday, 1988). Changes in the timing of larval fish occurrence could indicate that the timing of adult spawning in the U.S. Northeast shelf ecosystem has shifted (Walsh et al., 2015). However, temporal closures are typically set to predetermined dates; thus, as spawning times change or are better understood, closure times may need to be adjusted to maintain efficacy (Armstrong et al., 2013). For some species, such as Atlantic herring, ongoing monitoring of spawning condition of the fish is conducted to modify closure dates so they align with actual spawning times (Richardson, Hare, Overholtz, &
Johnson, 2010; Wurtzell et al., 2016). Because the timing of closure dates can require multiple years of monitoring and rule iterations prior to implementation (Armstrong et al., 2013), adaptive management practices are needed to ensure closed spawning areas meet intended objectives and are flexible enough to accommodate increasing variability and extreme years (Walters, 1986).

4.3 | Seasonal management measures

Seasonal management measures serve a variety of management and conservation purposes. Seasonal management areas (SMA), designated shipping channels, and fishery regulations (e.g., 322 Code Mass. Regs. § 12.00 (2013)) have been used to reduce human–wildlife interactions and avoid essential habitat of endangered and protected species such as the North Atlantic right whale. For right whales, the National Marine Fisheries Service (NMFS) implemented vessel speed restrictions within 10 SMAs along the U.S. East Coast, including in the GoM, with the goal of minimizing collisions by vessels during specific times of the year (NMFS, 2008, 2013). Right whales have been occurring at unexpected times and places, and Dynamic Management Areas (DMAs) were implemented to address this issue by establishing protective zones around aggregations of right whales outside of SMAs (NMFS, 2008). With the right whale population at a critically low level (Pace, Corkeron, & Kraus, 2017), there is a need for additional real-time tools that provide information on whale occurrences (e.g., Whale Alert, 2018: http://www.whalealert.org/), estimate occupancy probability in known habitats, characterize phenological trends, and identify new areas where right whales may be likely to occur (Meyer-Gutbrod & Greene, 2018).

Changes in whale or bird migration and breeding times can interact with human activities in both positive and negative ways. With spring arriving earlier and summer lasting longer, tourism and outdoor recreation in coastal areas are likely to increase. While whale and bird watching industries may benefit from longer tourism seasons that increase revenue (Nelson et al., 2013), these and other seasonal activities could act to increase disturbance to wildlife during critical life stages, further complicate existing conflicts, and may require additional actions or closures. For example, beach closures and restricted vehicle access are an ongoing management issue for spring and summer nesting shore and seabirds, such as the federally threatened piping plover (Charadrius melodus). Harbor seals are also sensitive to human disturbance during their spring–summer pupping season when pups are bound to coastal haul-out sites, potentially leading to increased abandonment and stranding incidents (Curtin & Garrod, 2008; Newsome & Rodger, 2008).

Coastal alteration projects such as beach nourishment and restoration activities, as well as dredge and fill operations, pose threats to fish and wildlife due to general habitat disturbances, increased suspended sediments in the water column, lower dissolved oxygen, and direct mortality to some species. Time of year (TOY) restrictions on in-water work are routinely used to protect critical life stages by establishing periods during which species use spatially constrained habitats or are particularly vulnerable to stress (Evans, Diamond, & Kelly, 2015). TOY restrictions are typically established based on average conditions, with some room for annual variation. In Massachusetts, recommended TOY restrictions for diadromous alewives are currently set between April 1 and June 15 to protect spawning migrations and habitats. However, during recent years, alewives were observed migrating upriver as early as late February–early March (Annual Summaries from Massachusetts Division of Marine Fisheries, Association to Preserve Cape Cod (APCC), and Massachusetts Bays National Estuary Program (MassBays)). If shifts are occurring in these systems, regulatory agencies may need to reconsider the duration of TOY windows, increase monitoring to support in-season modification during extreme years, and/or use precautionary temporal buffers that allow for greater variation in phenology (Evans et al., 2015). Similar considerations will also apply to hydropower and water drawdown operations that affect seasonal flows and aquatic-marine habitat connectivity.

4.4 | Marine spatial planning

Industrial marine activities such as oil and gas exploration and offshore wind development require information to be collected on species of conservation, commercial, and recreational interest throughout the year. These and other human activities create potential conflicts between resource use and conservation needs and have led to the advancement of Marine Spatial Planning as a framework for more holistic ecosystem management. For example, placement of structures and operation schedules within migration corridors has been raised as a major concern for sea and shorebirds, marine mammals, and some fishes. Several state and regional plans have been released or are near completion, including the Northeast Ocean Plan (Northeast Regional Planning Body, 2016), Massachusetts Ocean Management Plan (Massachusetts Energy & Environmental Affairs, 2015), and Rhode Island Ocean Special Area Management Plan (2010). Although non-binding, these plans document and characterize resource and habitat use, which support siting and other decisions that may reduce conflicts among resource users and protect species’ habitat needs. Although data collection related to climate-induced changes in phenology is encouraged (e.g., Chapter 5, Northeast Ocean Plan), the majority of information gathered and used across these efforts is static and lacks the temporal and spatial resolution needed to inform management actions that account for seasonal migration, reproduction, or other essential habitat use. This information could inform when activities related to the construction or installation of new projects should be avoided and when operations should be restricted to avoid disruptions to highly sensitive species and critical phenological events. Further, because offshore wind turbines exist on 20- to 30-year leases, current Environmental Impact Assessments (EIA) do not sufficiently consider potential changes in migrations and habitat use within the lifetime of the projects. Increased consideration of seasonal or higher resolution temporal data and projections of habitat occupancy and suitability would strengthen future EIAs (Ryan, Danyrchuk, & Jordaan, 2018).
5 | CONFOUNDING FACTORS FOR DETECTING AND UNDERSTANDING SHIFTS IN PHENOLOGY

5.1 | Spatial versus temporal shifts

A range of sampling design and ecological factors can confound the detection and interpretation of phenological signals. In terrestrial studies, particularly for plants, researchers have been able to isolate changes in temporal variables due to the stationary or location-based characteristics of the study system (de Keyzer, Rafferty, Inouye, & Thomson, 2016; Primack et al., 2009). In contrast, disentangling temporal and spatial shifts in highly dynamic marine systems is difficult, if not impossible, due to challenges associated with detecting and tracking marine organisms under water. Some of the longest marine data series in the greater Northwest Atlantic region (e.g., NEFSC bottom trawl survey; NEFSC ecosystem monitoring surveys for oceanographic conditions and plankton (EcoMon)) utilize stratified random sampling designs in which exact sampling locations vary during each survey. Most animals sampled are mobile or planktonic, and depending on their level of site fidelity of life history stage, may not consistently occur in the same location over seasonal and annual time periods. Shifts in survey timing, geographic heterogeneity, sampling intensity within and across strata, and changes in survey spatial coverage can confound detection and interpretation of phenological changes (Jordaan et al., 2012; de Keyzer et al., 2016).

In addition, sampling an entire marine ecosystem is costly and can be affected by budget shortfalls, which result in a lack of sampling platforms, gaps in long-term time series and in some cases, the complete loss of a time series (e.g., NEFSC Continuous Plankton Recorder sampling aboard Ships of Opportunity). Tracking phenology within a defined area and taking into consideration habitat and species diversity at the population and community scales are all important factors to overcoming these challenges (de Keyzer et al., 2016). While study design and data collection methodology are clearly important for capturing phenological events, the advancement of this field often requires using long-term historical datasets that were not collected with the same methods or with phenology in mind. Therefore, choice of phenology metric, preprocessing (e.g., combining time series, data smoothing, filtering), and analytical techniques also play important roles in overcoming data deficiencies as well as isolating and interpreting signal from noise across spatiotemporal scales (Ferreira et al., 2014).

5.2 | Effects of population changes on phenology

Variation in population size and structure (size, age, sex) due to natural fluctuations, fishing mortality, or conservation efforts may confound detection of phenological shifts (Tillotson & Quinn, 2018). Different life history stages may have unique phenologies, while density-dependent effects can also influence seasonal events such as the timing and duration of migration and spawning. For example, the timing of river herring and Atlantic herring movements is related to the size of individual fish, with larger fish arriving first on spawning grounds (Lambert, 1987; Marjadi et al., 2019). If the proportion of large fish in the population changed over time, this could appear as a shift in spawning phenology whether or not it was real. Changes in the proportion of non-breeding individuals in a population may also confound phenological signals. For example, movement patterns of breeding and non-breeding seabirds differ greatly, with non-breeding individuals lacking the strict arrival and colony-centered constraints of breeding birds. Thus, how questions are framed, the choice of phenology metrics (e.g., first or mean arrival time), and a firm understanding of how population size and composition may be changing over time are important factors to consider when interpreting perceived shifts in timing (Ferreira et al., 2014). In addition, novel interactions can result as species expand their ranges and shift residence times in seasonal habitats where they were previously absent or only intermittently present (Collie et al., 2008; Wood et al., 2009), as in the case of the documented bryozoan–nudibranch interaction (Lambert, 2013; Lambert, Bell, & Harris, 2016).

5.3 | Variation in methodology and metrics for assessing phenology

There are a number of confounding factors that can impede assessments of phenology in the marine environment and beyond. Perhaps most importantly is the selection of the most suitable phenology indicator (i.e., characteristic that may be changing over time) and metric (i.e., estimator of change) that aligns best with the question of interest (Ferreira et al., 2014). When studies target or discuss similar indicators (e.g., arrival) but use different metrics (e.g., first vs. mean arrival time) to track changes over time this compromises precision, introduces error, and can be especially problematic in multi-species analyses or impact assessments (Ferreira et al., 2014). Marine and aquatic studies vary substantially in how phenological shifts have been evaluated and reported. Some studies relate shifts in timing to environmental conditions (Juanes et al., 2004). In other cases, biological events are presented in the context of, or in parallel with environmental drivers, but not directly related (Huntington et al., 2003; Walsh et al., 2015). A few studies have developed metrics or indices that incorporate environmental drivers to help understand timing such as the weighted-mean migration temperature developed for diadromous fishes (Ellis & Vokoun, 2009; Quinn & Adams, 1996). Further, phenological shifts have been measured over continuous time periods (e.g., Zhai et al., 2011) as well as between discrete historical and contemporary periods (e.g., Walsh et al., 2015).

Many phenology studies rely on presence/absence records to track the timing of species occurrence. Ideally, a phenology study would have multiple non-detections (absences) before the first detection of a phenological event. However, in marine systems such data may be difficult to collect with confidence over certain spatiotemporal scales and types of events. Metrics that take into account detection uncertainties (e.g., percentiles of cumulative occurrences) may be more appropriate for characterizing phenological shifts.
for example, in highly migratory species (e.g., Dufour, Arrizabalaga, Irigoien, & Santiago, 2010), where there are known gaps in spatio-temporal coverage, knowledge of population-wide patterns is poor, and/or in cases where species’ behavior could produce multiple detections of the same individuals (e.g., sentinels moving in and out of a system). Choice of metric also depends on whether a species is being tracked at the individual level (e.g., from tag numbers or photo analyses: Ramp, Delarue, Palsbøll, Sears, & Hammond, 2015) or at the population level, where information on individuals and demographics is largely unknown. In addition, using changes in one life stage as a proxy for plasticity in another can lead to spurious conclusions if life stages are not synchronized or are exhibiting differential responses to environmental cues (Rosset et al., 2017; Walsh et al., 2015).

Clearly identifying how an indicator is measured and explicitly defining metrics reduces confusion and unintended errors when interpreting and comparing results among systems. Further, capturing the true magnitude of variation in metric estimates over time may better indicate when a population or community is approaching a threshold and potential regime shift (Pearse, Davis, Inouye, Primack, & Davies, 2017). New modeling approaches that account for bias and uncertainty are emerging and can overcome some sampling and data deficiencies (Ferreira et al., 2014; Pearse et al., 2017). Communication and coordination between scientists and monitoring programs of how best to track indicators will also improve studies of marine phenology.

Lastly, it is important to note that there is likely a strong publishing bias toward reporting significant responses and omitting stable results. Parmesan (2007) noted that the omission of non-significant findings provides an incomplete view of phenological responses and inflates the strength of the observed responses. Non-detectable responses (no shift) may indicate a species is adapting in place (Beever et al., 2016), and important to report as there are implications for mismatches with other species that are shifting. Therefore, to gain a complete and balanced understanding of how phenology is changing in the GoM, future studies should consider reporting significant and non-significant shifts.

## 6 | ADAPTATION STRATEGIES RELATED TO PHENOLOGY

As direct and indirect impacts of climate change are increasingly evident across the GoM, adaptation strategies are critically needed to reduce vulnerability and uncertainty to cumulative stressors, as well as to increase ecosystem resilience and adaptive capacity (Beever et al., 2016; Heller & Zavaleta, 2009; Stein et al., 2013). Adaptation strategies could better account for phenological change through expanded, coordinated, and high-resolution monitoring programs (to track changes), vulnerability assessments (to prioritize focus areas), and forecast models and dynamic management tools (to improve decision-making) that consider ongoing and projected temporal system changes.

### 6.1 | Monitoring

A highly dynamic system like the GoM may require multiple decades of observations to detect shifts and separate confounding factors from true phenological drivers (Cohen, Lajeunesse, & Rohr, 2018). An average of approximately 40 years of data was considered in the twenty studies that provided direct evidence of shifts in this synthesis. The lack of definitive relationships between seasonal temperatures and marine phenological responses globally (Poloczanska et al., 2013) and regionally (this study) suggests temperature alone may not be sufficient for explaining shifts. Species respond to multiple cues that are changing predictably (photoperiod) and differentially (e.g., temperature and hydrology), thus simultaneous monitoring, more sophisticated modeling (Pearse et al., 2017), and consideration of multiple drivers are needed (Jonsson & Jonsson, 2009). Such integrative studies are becoming possible through collaborative partnerships and big data networks such as the Northeastern Regional Association of Coastal Ocean Observing Systems (NERACOOS: www.neracoos.org/), as well as citizen science and volunteer monitoring programs. These efforts seek to increase the availability of an array of high-quality environmental and ecological datasets for broad-scale climate-relevant analyses but need to be updated regularly and have sufficient coverage to support phenological studies. Examples of long-term monitoring programs in the GoM that if properly maintained could be used to further explore phenology-related questions are compiled in Supporting Information Appendix S4.

Coordinated regional monitoring that builds on historical datasets of community composition and occurrence, migration timing, and life stage-specific information across broad temporal periods and seasonal habitats is needed to test theories of how changes in phenology affect species interactions (Staudinger et al., 2013). However, some long-term monitoring programs are being scaled back (e.g., Continuous Plankton Recorder Survey) at a time when expanded effort is needed to capture ecosystem-level changes. Surveys that are fixed in time (i.e., effort begins and ends on predetermined days of year) are particularly susceptible to inadvertently missing shifts in phenological events. It has been hypothesized that species that have historically migrated in and out of the region might become year-round residents if conditions change substantially (Ramp et al., 2015). However, potential for year-round residency is limited by the need for concurrent shifts in prey or novel interactions. Determining whether a greater proportion of a population is remaining in the region year-round or, conversely, detecting increasing variability or non-linear effects will help distinguish if species are adapting to novel conditions or approaching thresholds and regime shifts (Pearse et al., 2017; Powell, Tyrrell, Milliken, Tirpak, & Staudinger, 2017).

### 6.2 | Assessing risk and vulnerability

Climate Change Vulnerability Assessments (CCVA) have become widely used to synthesize how species and systems will be exposed...
to climate impacts and their expected responsiveness given inherent traits related to sensitivity and adaptive capacity (Beever et al., 2016; Glick, Stein, Edelson, and (Eds.), 2011; IPCC, 2007). Methodology for CCVs varies widely, and phenological responses may be evaluated explicitly (e.g., changes in migration timing) or indirectly (e.g., changes in growth, production, and reproduction: Berry, Ogawa-Onishi, & McVey, 2013; Hare et al., 2016; ICES, 2017; Johnson & Welch, 2010; Pacifici et al., 2015; Staudinger, Morelli, & Bryan, 2015). More traditional assessments such as the State Wildlife Action Plans are beginning to consider climate-related threats and cite shifts in phenology as causing ecological disruptions (e.g., predator–prey), disturbance to life cycles, and decreased reproductive success as a concern for some Regional Species of Greatest Conservation Need (Northeast Fish & Wildlife Diversity Technical Committee, 2016); yet, corresponding actions are still largely undefined. Additional monitoring and modeling of seasonal oceanographic processes and timing of ecological events will be useful to inform risk assessment and adaptation actions for regional species, ecological communities, and human activities.

6.3 | Forecast models and dynamic management tools

Research on fine-scale spatiotemporal oceanographic processes for the GoM has been limited due to the lack of a regional downscaled model. Future projections based on the ensemble of CMIP5 models show strong warming in all months, but strongest in summer (Alexander et al., 2018). Higher resolution models better resolve patterns in the regional Atlantic circulation and water mass distribution, and project much stronger (almost twice as fast) warming than the coarser resolution models (Saba et al., 2016). Improvements and extensions to current models and development of other high-resolution models improve our ability to assess impacts and species responses in GoM subregions.

Several forecasts of phenological events have been developed (Payne et al., 2017), including one in the GoM of the threshold conditions and timing of when the Maine lobster fishery can be expected to shift into its high landings summer mode (Mills, Pershing, & Hernández, 2017). This model uses buoy-based temperature observations and historical fishery data to forecast the timing of the upick in fishery landings 3–4 months in advance (Mills et al., 2017). Similar models that rely on temperature observations, biological lags, and historical temperature–biology relationships have been developed in other regions to forecast the timing of salmon runs (e.g., Anderson & Beer, 2009). Short-term forecasts such as NOAA’s Gulf of Maine Region Quarterly Climate Impacts and Outlook (http://www.gulfofmaine.org/public/climate-network/climate-outlook/) are another early warning tool to help regional communities and managers prepare and adapt to extreme years. The expansion of forecast models for a wider range of climatic conditions, species, life history events, and management applications may become more widespread in the GoM with advancements in understanding of how phenology is changing, as impacts become more well known, and stakeholder needs arise (Hobday, Spillman, Paige Eveson, & Hartog, 2016; Payne et al., 2017).

7 | FUTURE RESEARCH AVENUES FOR PHENOLOGY IN THE GULF OF MAINE

Key gaps in knowledge revealed through this synthesis can guide new research initiatives to reduce uncertainty and help regional managers prepare for increasingly variable conditions in the GoM. In many cases, strong anecdotal information exists pertaining to possible changes in phenology for valued and potentially vulnerable functional groups, but rigorous phenology-focused analyses of existing data sets have yet to be performed. Where possible, we highlight potential long-term survey and monitoring datasets that may be useful for evaluating phenological responses and ecological disruptions. However, substantial effort may be needed to digitize, compile, and/or organize these existing resources into formats conducive for addressing questions at the appropriate spatiotemporal scale. The use of statistical estimators (Pearse et al., 2017) and gap-filling or other preprocessing techniques (Cole et al., 2013; Ferreira et al., 2014) may enhance the value of existing data sets for investigating phenology changes.

7.1 | Oceanographic changes

The timing and occurrence of extreme seasonal events such as marine fog and coastal storms can vary widely over local scales and have major impacts on species movements, ecological processes, and human navigation. Information on coastal storms including tropical cyclones, hurricanes, and Nor’easters is increasing, but reporting is largely at annual scales. Tropical storms and hurricanes have been increasing in occurrence and intensity, and storm tracks are shifting northward in U.S. Atlantic coastal areas (Frumhoff, McCarthy, Melillo, Moser, & Wuebblies, 2007; Holland & Webster, 2007). With oceans warming earlier in the year and reaching greater overall temperatures (Thomas et al., 2017), the possibility of changes in timing of extreme events as well as their frequency and magnitude is increased. Occurrence of extreme events during summer is of particular concern for GoM species that complete critical life stages during this time such as colonial nesting seabirds, pupping pinnipeds, and spawning fish populations.

7.2 | Phytoplankton and Zooplankton

Satellite-based and in situ studies of phytoplankton bloom timing have found that SST, salinity, and nutrients can impact the timing of spring and fall blooms to varying degrees (Ji et al., 2007, 2008; Record et al., 2018; Song et al., 2010). Yet, additional research is needed to determine whether and how changes in timing, availability, and community composition cascade up to affect higher trophic levels. For example, diapause duration of zooplankton, especially C. finmarchicus, is related to lipid levels accumulated.
during this critical period of energetic development. If this period is truncated (Pierson et al., 2013; Wilson et al., 2016), larval fish survival and the energetic condition of higher level predators such as North Atlantic right whales that forage on copepods could be affected (Friedland et al., 2015; Irigoien, Harris, Head, & Harbour, 2000; Pendleton et al., 2009; Trzcinski et al., 2013). While earlier bloom timing has been related to longer bloom duration on a global scale (Friedland et al., 2018), the hypothesis that earlier, longer blooms act to increase the probability of overlap with larval fish during their critical period (Friedland et al., 2016) remains untested in the GoM. Understanding these multi-trophic interactions will be key to advancing phenological research in the region and beyond.

7.3 | Diadromous fish

Diadromous fishes offer a rare example of migratory marine organisms that are relatively easy to monitor. Because they make multiple transitions between freshwater and marine habitats through fixed and often highly visible locations such as dams and culverts, their migration and spawning timing can be routinely observed (Martins, Hinch, Cooke, & Patterson, 2012). Diadromous fish are a multi-phylogenetic group that produce a range of egg sizes, exhibit early and late maturation, and reach maturity over small and large body sizes as well as a variety of different schedules (Gross, 1987); thus, phenological change may vary widely among taxa. In addition, tributary characteristics (e.g., stream morphology and depth), habitat conditions (flow and temperature), and buffering capacity to climatic extremes (Chezik, Anderson, & Moore, 2017) are expected to influence populations differently across their range. Adult alewife and Atlantic salmon migrations have been relatively well tracked in several GoM tributaries (Ellis & Vokoun, 2009; Huntington et al., 2003; Juanes et al., 2004). However, a meta-analysis of latitudinal variation in timing, environmental drivers, and population estimates across diadromous species and regions would help determine whether populations are keeping pace with changing conditions, maintaining population strength, or exhibiting localized declines. Increased effort is needed to capture entire life cycles, especially the growth, survival, and emigration (e.g., river herring) of juveniles as they transition from freshwater to marine systems. Additional information on these aspects of life history and phenology would help resolve underlying drivers of year-class strength, population dynamics, and species interactions.

7.4 | Marine fish

Demersal and pelagic fishes and invertebrates have been routinely monitored by programs such as spring and fall bottom trawl surveys conducted by federal and state agencies (Azarovitz, 1981; King, Camisa, & Manfredi, 2010; Sherman, Stepanek, Pierce, Tetrault, & O’Donnell, 2015). However, these are broad-scale surveys, and spatial and temporal coverage may not be adequate for evaluating nuanced changes in phenology (Hare et al., 2016). Sampling at higher temporal frequencies within small, fixed, or ecologically important areas (e.g., spawning grounds) is valuable for tracking phenological change. Increased collection and synthesis of phenological data across multiple life stages would increase understanding of species’ adaptive capacity and improve vulnerability analyses, especially for larval and juvenile stages, and species that make multiple ontogenetic transitions between distinct habitats (Hare et al., 2016; Petitgas et al., 2013).

Fisheries-dependent data have the potential to provide local-scale information on multiple species. Historical records of daily landings and observer data from fish weirs and regional fishing ports are ideal for assessing changes in phenology due to their fixed locations, long seasons of operations, and historical archives (Alexander et al., 2017; Matthiessen & Toner, 1963). Newspaper fishing reports, online forums, fishermen’s personal knowledge, and traditional ecological knowledge of tribal nations also serve as alternative or supplemental data sources.

7.5 | Seabirds

Long-term phenology records exist for a number of breeding seabirds in databases maintained by state, federal (U.S. Fish and Wildlife Service), academic (e.g., University of New Brunswick), and nonprofit organizations (e.g., National Audubon Seabird Restoration Program) in the GoM. Observations include chick provisioning, hatching, productivity, chick condition, and return rates that can be used to address current management and conservation concerns related to phenology (Kress et al., 2016; Northeast Fish & Wildlife Diversity Technical Committee, 2016). For non-breeding seabirds that utilize GoM habitats (e.g., shearwaters), phenology data may be limited and difficult to acquire because these species are rarely observed from land, and spatial distributions over open ocean habitats are patchy and unpredictable. A major knowledge gap for this group is how environmental and ecological conditions on and total distances to overwintering grounds influence the timing of movements to and from the GoM. Satellite tags have yielded some recent successes in tracking short-term, local movements in the GoM (Powers et al., 2017), but methods are not yet sufficient or designed to track long-term phenological patterns.

Phenology research on understudied seabirds has the potential to be augmented through citizen science programs such as eBird (http://ebird.org) and the USA National Phenology Network, 2018 (https://usanpn.org/). Through these platforms, amateur and professional birders can contribute a diversity of observations with precise timing and location information as trip reports (checklists) that are stored in a central database, from which data can be queried and analyzed to evaluate specific questions. To date, application of these online databases has focused on studies of terrestrial songbirds and comparatively few seabird species. Substantial occurrence data exist for marine species in some areas of the GoM, particularly within eBird, and when paired with overlapping environmental data (e.g., satellite data), has the potential to evaluate shifts and asynchronies in phenology (e.g., Mayor et al., 2017).
7.6 | Marine mammals and other large pelagics

To the best of our knowledge, no studies published to date have documented phenological shifts in marine mammals in the GoM. However, just to the northeast in the Gulf of St. Lawrence, Ramp et al. (2015) documented advancements in arrival, departure, and residence times by humpback and fin whales over a 27-year period. Occurrences of these and other whales, as well as large pelagic animals such as seals, basking sharks, bluefin tuna, and sea turtles, have been monitored since the early 1980s using systematic aerial and shipboard marine mammal surveys (Brown, Kraus, Slay, & Garrison, 2007; CeTAP, 1982). While survey effort has been variable, many of these datasets could support the development of occupancy and habitat suitability models to evaluate and verify anecdotal observations of occurrences in increasingly uncharacteristic locations in the GoM at anomalous times of the year (e.g., North Atlantic right whales; The Chronicle Herald, 2015).

For commercially harvested species such as highly migratory tunas, fisheries-dependent data from commercial longlining or recreational charter boats could provide a basis for understanding seasonal habitat use as long as the influence of weather, economic conditions, and fishing regulations were carefully considered (Dufour et al., 2010). A key question pertaining to a range of marine mammals and other highly migratory species that use the GoM as a seasonal foraging ground is whether changes in seasonal onset and duration will continue to support primary prey species. If forage fishes such as sand lance, Atlantic herring, and mackerel become less predictable or mismatched, predators (e.g., bluefin tuna) may change their migration patterns accordingly. Alternatively, longer growing seasons could increase forage availability and residence time of seasonal migrants in the GoM. Such shifts have implications for regional trophic dynamics (e.g., predatory demand on prey populations) and could expose some species to stressors (e.g., fishing activities) not experienced at the same magnitude elsewhere in their life history. Information on this guild could be improved by establishing routine fishery-independent sampling, tracking movements using acoustic and satellite tagging technologies, and working cooperatively with fishermen that maintain detailed logbooks of catches, as has occurred in Atlantic sturgeon (Dunton et al., 2015; Melnychuk et al., 2017).

8 | SUMMARY AND CONCLUSIONS

Research on marine phenology has a long history in the GoM region, yet the majority of studies conducted to date have focused primarily on documenting and describing baseline patterns and cycles in seasonal events or developing modeling frameworks to resolve mechanistic relationships of species responses to environmental drivers. The results of this synthesis yielded a surprisingly small number of studies (N = 20) showing direct evidence of shifts in timing in biotic and abiotic events. It is possible this is an artifact of under-reporting non-significant results, and indicative of stable populations that are adapting in place (Beever et al., 2016; Parmesan, 2007). Similar to previous research in terrestrial systems (Miller-Rushing et al., 2008; Parmesan & Yohe, 2003; Primack et al., 2009), the most common phenological responses found in the GoM were advancements in (earlier) timing, notably spring onset, spring and winter hydrological metrics, zooplankton abundance, some larval fishes, and diadromous fish migration patterns. Later timing was limited to fall onset, spring and fall phytoplankton blooms, occurrence of a few larval fishes, and reproduction and fledging in one species of seabird (Atlantic puffin). Changes in the duration of phenological events generally increased, including abundance peaks in zooplankton, spawning/early life history periods of macro-invertebrates, and lobster fishery landings. Ice-affected streamflow was the only seasonal event exhibiting a reduction in duration, and two studies projected decreased diapause duration in the future for the zooplankton species, C. finmarchicus (Table 1). Overall, rates of phenological shifts were species- and event-specific, and responses varied depending on the environmental driver and the spatial and temporal scales evaluated.

This comprehensive review summarizes the state of the science of shifting phenology in the GoM region and identifies information gaps related to taxonomic groups of high conservation and management concern. Our findings demonstrate a clear need for increased emphasis on phenological research in the region and should serve as a catalyst for future investigations. We have highlighted a number of case studies where actions can be taken to reduce uncertainty and guide adaptation efforts to avoid disruption of the ecosystem services in a rapidly changing ocean.

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**Supporting Information**

Additional supporting information may be found online in the Supporting Information section at the end of the article.

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