Non-tuberculous Mycobacterial Pulmonary Diseases in France: An 8 Years Nationwide Study

Nicolas Veziris
Centre National de Référence des Mycobactéries, APHP, CHU Pitié-Salpêtrière

Claire Andréjak
CHU Amiens

Stéphane Bouée (<stephane.bouee@cemka.fr>)
RWE, CEMKA

Corinne Emery
RWE, CEMKA

Marko Obradovic
INSMED

Raphaël Chiron
CRCM, Hôpital A de Villeneuve

Research Article

Keywords: Non-tuberculous mycobacteria, lung infection, antibiotics, mortality, real world evidence, claim database

DOI: https://doi.org/10.21203/rs.3.rs-668178/v1

License: This work is licensed under a Creative Commons Attribution 4.0 International License. Read Full License
Abstract

Background: The objective of the study was to describe the epidemiology, management and cost of non-tuberculous mycobacteria lung disease (NTM-PD) in France.

Methods: A retrospective analysis was performed using the SNDS database over 2010-2017. Patients with NTM-PD were identified based on the ICD10 codes during hospitalizations and/or specific antibiotics treatment regimens. The study population was matched (age, gender and region) to a control group (1: 3) without NTM-PD.

Results: 5,628 patients with NTM-PD (men: 52.9%, mean age=60.9 years) were identified over the study period and 1,433 (25.5%) were treated with antibiotics. The proportion of patients still receiving treatment at 6 and 12 months was 40% and 22%, respectively.

Patients with NTM-PD had more co-morbidities compared to controls: corticoids (57.3% versus 33.8%), chronic lower respiratory disease (34.4% vs. 2.7%), other infectious pneumonia (24.4% vs. 1.4%), malnutrition (22.0% vs. 2.0%), tuberculosis (14.1% vs. 0.1%), HIV (8.7% vs 0.2%), lung cancer and graft (5.7% vs 0.4%), cystic fibrosis (3.2% vs 0.0%), gastro-esophageal reflux disease (2.9% vs 0.9%) and bone marrow transplant (1.3% vs 0.0%) (p<0.0001). The mean Charlson comorbidity index score was 1.6 (versus 0.2 for controls; p <0.0001). NTM-PD was independently associated with an increased mortality rate with a hazard ratio of 2.8 (95% CI: 2.53; 3.11). Mortality was lower for patients treated with antibiotics compared to untreated patients (HR=0.772 (95% CI [0.628; 0.949]).

Annual total expenses the year following the infection in a societal perspective were € 24,083 (SD: 29,358) in NTM-PD subjects versus € 3,402 (SD: 8,575) in controls (p<0.0001). Main driver of the total expense for NTM-PD patients was hospital expense (>50% of the total expense).

Conclusion: Patients with NTM-PD in France were shown to have many comorbidities, their mortality risk is high and mainly driven by NTM-PD, and their management costly. Only a minority of patients got treated with antibiotics and of those patients treated, many stopped their therapy prematurely. These results underline the high burden associated with NTM-PD and the need for improvement of NTM-PD management in France.

Background

More than 190 species and subspecies of non-tuberculous mycobacteria (NTM) have been described. Among these, some like Mycobacterium avium complex (MAC), may cause pulmonary disease (NTM-PD) with increasing frequency in non-acquired immunodeficiency syndrome (AIDS) patients (\textsuperscript{(i)}). The incidence and prevalence of NTM-PD has even overpassed that of tuberculosis in some settings (\textsuperscript{(i)})\textsuperscript{(i)}. This increase has been observed in older individuals and those with underlying bronchiectasis and may be associated with multifactorial factors. In Europe, it is estimated that the annual prevalence rate of diagnosed NTM-PD cases is in the range of 3–6/100,000 (4\textsuperscript{n}). In France studies on NTM-PD have been conducted either
based on cystic-fibrosis registries or from laboratory databases from university hospitals (\(\) but not on whole population.

The economic burden of NTM-PD in France is expected to be substantial due to its challenging management with frequent hospitalizations and the need for long-term antibiotic treatment. In Germany, NTM-PD mean direct expenditure was estimated to be nearly 4-fold that of matched controls (\(\)), and hospitalizations accounted for 63% of the total costs. Similar costs for NTM-PD have been observed in other western countries (\(\)). However, estimates of treatment costs for patients with newly diagnosed NTM-PD are currently not available in France. Therefore, we aimed to describe the epidemiology, comorbidities, management, mortality, and costs of NTM-PD in a real world setting in France.

**Methods**

**Study Design**

We conducted a retrospective study using the French nationwide claims and hospitalisation database, the Système National des Données de Santé (SNDS) which covers more than 99% of the French population (nearly 66 million people) and represents one of the largest medico-administrative databases in the world. The SNDS database contains anonymous data on healthcare encounters (public and private), diagnostic-related groups, drugs, medical devices, procedures, laboratory tests (without results), date of death excluding cause, hospitalizations with ICD10 codes and discharge summaries with the main and secondary diagnoses.

Patients with NTM-PD were identified in the database based on hospitalizations with ICD-10 codes specific for NTM-PD infection and antibiotics combination for the treatment of the disease using outpatient drug consumptions. The detailed algorithm is reported in the additional files (Additional Tables A1, A2, A3).

Newly diagnosed patients with NTM-PD (adults and children) not previously treated or hospitalized for NTM-PD in the last 3 years were included in the study from January 01/2010 through December 31/2017.

Socio-demographic characteristics included age, gender, residence, supplementary universal health care coverage (CMUc), status and registration for long-term disease with ICD-10 codes, and date of death, if any. Patients with NTM-PD were matched on age, gender, and residence with controls (no NTM-PD) with a ratio of 1:3. Risk factors for NTM-PD were identified through specific ICD-10 codes for long-term diseases, hospitalizations, and treatment in the past 3 years prior to NTM-PD diagnosis. Healthcare consumptions and costs related to hospitalizations and outpatient visits were also assessed.

An economic analysis was conducted and involved patients who were newly diagnosed with NTM-PD between January 01/2012 and December 31/2016; healthcare resource use and costs over one-year period following the diagnosis of NTM-PD were analyzed. The economic evaluation was assessed from
the French Public Health Insurance perspective, with a separate analysis that also included patient out of pocket costs for services or drugs that are only partially reimbursed.

Outpatient costs that were analyzed relating to medical honoraria, dental fees, pharmacy and other products, laboratory tests, paramedical interventions, medical devices, transportation, and other cares. Indirect costs due to daily allowance for sick leave are reported separately.

**Statistical Analysis**

Mean, standard deviation, median, minimum, and maximum were used to describe quantitative variables and percentages for qualitative variables. Bivariate analyses were performed and the Chi2 test was used to assess qualitative variables, whereas the Yates continuity correction or Fisher’s exact test evaluated sample sizes less than 5. For quantitative variables, a Student’s t-test or analysis of variance was performed when distribution was close to normal; otherwise, non-parametric tests including Wilcoxon and Kruskal-Wallis were used. Survival was analyzed with Kaplan-Meier curves and log rank test with December 31/2017 as the time point for censored observation. Cox multivariate model adjusted for age, gender, residence and comorbidities was used to compare: 1) NTM-PD patients to the controls and 2) NTM-PD patients treated with antibiotics compared to untreated NTM-PD patients.

**Results**

A total of 5,628 patients with NTM-PD were identified in the SNDS database between 2010 and 2017, 4,898 patients from hospitalizations and 730 from outpatient drug consumptions. Among patients identified through hospitalizations, 703 had a specific treatment for NTM-PD vs 4,195 with no treatment. The mean age was 60.9 years (SD ± 19.5) and 52.9% were males. The Universal Health Coverage for low-income individuals was observed in 8.8% of patients (Table 1).

A total of 3,954 patients were diagnosed with NTM-PD between January 01/2010 and December 31/2017; thus, the prevalence of NTM-PD was estimated at 5.92 per 100,000 inhabitants over 8 years. The incidence rate of NTM-PD (Figure 1) remained stable over time, with a min of 1.025/100,000 in 2010 (N=662) and a max of 1.096/100,000 (N=732) in 2017 with slight variations in-between years.

Matched controls could not be identified for all patients in the study; therefore, comparisons included 4,447 NTM-PD cases matched to 13,341 controls for age, gender, and region.

Analysis of comorbidities (Table 1) found a significantly higher Charlson Comorbidity Index (CCI) mean score in patients with NTM-PD (1.6) compared to controls (0.2) (p<0.0001). However, there was no difference between treated and untreated groups on the CCI score (p=0.3). Overall, patients with NTM-PD had a higher proportion of risk factors compared to controls including respectively corticoid treatment in the last 3 years (57.3% versus 33.8%), chronic lower respiratory disease (34.4% vs 2.7%), other infectious pneumonia (24.4% vs. 1.4%), malnutrition (22.0% vs. 2.0%), and tuberculosis (14.1% vs. 0.1%).
Even if statistically significant, no notable differences between the treated and untreated group were found, except higher rates of HIV infection in treated vs. untreated patients (14.8% vs 5%).

**Mortality**

A first analysis showed that the mortality of 4,447 NTM-PD cases was significantly higher than that of 13,341 controls (p<0.0001) (Figure 2).

In multivariate analysis (Table 2), after adjustment for age, residence, gender, residence, and risk factors, the risk of mortality was more than 2 times higher in NTM-PD patients compared to controls (HR=2.8 (95% CI [2.5; 3.1]). The risk of mortality was more likely to increase in older patients with NTM-PD including those aged 70-79 years (HR=16.081, 95% CI: 6.646-38.908), 80-89 years (HR=33.654, 95% CI: 13.910-81.423), and more than 90 years (HR=56.860, 95 CI: 23.209-139.303). Mortality was also higher in patients with Universal Health Coverage, HIV infection, lung cancer and graft, other infectious pneumonia, malnutrition, and other chronic obstructive pulmonary disease. Mortality was lower in female patients vs. males and in patients who had mucopurulent chronic bronchitis and bronchiectasis.

In a second multivariate analysis performed on the NTM-PD cohort and adjusted for age, residence, gender, residence, and comorbidities, the risk of mortality was lower for treated patients with antibiotics compared to untreated patients (HR=0.772 (95% CI [0.628; 0.949]) (Table 3).

**Treatments**

Out of the 5,628 patients, 25.5% (1,433) had received antibiotics to treat NTM-PD vs 74.5% (4,195) with no treatment (Table 4).

Among the 4,898 hospitalized patients, 703 (14.4%) had a specific treatment for NTM and 4,195 (85.6%) did not receive any treatment. The most frequently used treatment first line regimens were: Clarithromycin+Ethambutol for 34.4% of patients, Clarithromycin + Rifampin+ Ethambutol (22.1%), Clarithromycin+Rifampin (10.3%), and Clarithromycin monotherapy (9.3%). Other combinations represented less than 5% of patients for each of them (Additional table A5).

Figure 3 depicts the proportion of patients maintaining treatments at 3, 6, 9, and 12 months. Half of the patients (56%) were still treated at 3 months, 40% at 6 months, 30% at 9 months, and only 22% at 12 months. The majority of patients discontinued before 12 months of treatment.

**Healthcare Expenditures**

This analysis included 3,950 cases and 11,850 controls. A total of 3,641 NTM-PD patients (92.2%) had been hospitalized at least once since diagnosis compared to 2,484 controls (21.0%). For those hospitalized at least once, the length of hospital stay was 40.3 days for cases vs 16.5 days for controls; mean hospital stay per patient was 5.0 for cases and 2.7 for controls.

**Economic Analysis**
A total of 2,683 cases and 8,049 controls were included in the economic analysis.

The mean total cost reimbursed in the year following NTM-PD diagnosis was significantly higher for cases compared to controls, €22,966 vs €2,709, respectively (p<0.0001). Total expenses (societal perspective) followed the same trend with a greater amount for cases than controls, €24,083 versus €3,402, respectively (p<0.0001).

From the French Public Health Insurance perspective (Figure 4) the mean cost for hospitalizations in the year following NTM-PD diagnosis was €12,524 for cases versus €1,156 for controls (p<0.0001). The total cost for outpatient care in the year following NTM-PD diagnosis was €10,442 for NTM-PD patients and €1,553 for controls. Costs of drugs were estimated at €5,493 for cases (52.6% of the total amount) versus €517 for controls (33% of the total amount).

From a perspective incl. out-of-pocket costs (Figure 4), the mean cost for hospitalizations in the year following NTM-PD diagnosis was €12,499 for cases versus €1,303 for controls (p<0.0001). The total cost of outpatient care in the year following NTM-PD diagnosis was €11,584 for NTM-PD patients and €2,099 for controls. Costs of drugs were estimated at €5,872 for cases (50.6% of the total amount) versus €629 for controls (30% of the total amount).

**Discussion**

This retrospective study using the SNDS, a French nationwide claims and hospitalisation database, described the epidemiology, comorbidities, management, mortality, and costs of NTM-PD in a real world setting in France. Thanks to its comprehensive coverage of the whole population (99%), the SNDS database allowed this study to include all NTM-PD patients identified with the ICD-10 codes in France. Our study not only has demonstrated the higher mortality rate in NTM-PD patients and the economic burden related to this condition but also suggested that guidelines are not always respected.

Prevalence (6/100,000 inhabitants over 8 years) and incidence (1/100,000) were close to those reported in previous studies conducted in Europe with same or different methods including Delphi method, registry analysis, retrospective study of laboratory data (6,8,10). These converging data suggest that the true incidence and prevalence must be close to those we found. Whether incidence of NTM-PD is increasing or is better diagnosed or reported is debated in the literature. The present study over 8 years did not show any increase of incidence which was close to the one reported in France 15 years ago (13).

Surprisingly, the proportion of men and women was comparable in our study in contrast to the higher proportion of women found in previous studies. As we did not include NTM-PD cases that were both not treated and not hospitalized, we may have missed women with e.g. Lady Windermere syndrome managed through « wait and see » approach and not treated. This could explain the lower ratio of women we observed compared to previous studies. It should be noted that the same proportion of men and women, as compared to our study, was also found in Germany (18) and Denmark (19).
Among the different factors associated with NTM-PD that can be prevented, malnutrition was 10 times more frequent in NTM-PD patients than in controls. Weight loss has been reported as being a negative prognostic factor for NTM-PD. Therefore, patients’ nutritional status should be assessed by a dietician particularly in those with NTM-PD cumulative risk factors.

We found that NTM-PD patients had a twice higher risk of mortality than controls in line with previous studies conducted in Germany and in the US (8,19). After 8 years there was a 30% difference in mortality between NTM-PD patients and controls. The 10 years survival rate predicted by Charlson index (7) for NTM-PD cases was between 90 and 95% whereas we found 61% after 8 years of follow up, underlying the impact of NTM-PD on mortality.

We observed that advanced age was associated with a greater risk of mortality. Interestingly, elderly patients were less likely to be treated in our study. This shows the reluctance of healthcare providers to initiate long-term and complex regimens in older patients who may be already treated for other comorbid conditions with multitude treatments. However, given this increasing mortality our data rather support treatment initiation in line with recent recommendations (9). This is further supported by the survival analysis that showed that treated patients had 23% reduced risk of dying compared to untreated after controlling for confounding factors.

In a study conducted on a Danish registry (14), predictors of death were age ≥ 65 years (HR: 9.17) and male sex (female sex HR, 0.73). This is consistent with our study which shows a decreased risk of mortality in female NTM-PD patients. It is believed that NTM-PD affects women differently as illustrated by the “Lady Windermere” syndrome which manifests with bronchiectasis and has better prognosis than patients with cavitary form of the disease (10). Indeed, our study suggests a lower risk of mortality in NTM-PD patients with bronchiectasis compared to NTM-PD patients without bronchiectasis (HR:0.713, 95% CI [0.591–0.861]). We did not find a significant association between emphysema and the risk of mortality in NTM-PD patients; however, those with other COPD were more likely to die compared to those without other COPD. A higher rate of mortality in NTM-PD patients with COPD has been consistently reported and accounted for 41.5% over the period of 39 months compared to 22.4% for the overall NTM-PD group, including those with and without COPD (8).

In our study, 85.6% of patients identified through hospitalizations did not receive any antibiotics to treat NTM-PD. However, in those treated for NTM-PD, clarithromycin monotherapy was observed in 19.5% of patients. This is particularly alarming since clarithromycin resistance has been reported in 16% of NTM-PD patients treated with clarithromycin monotherapy and this resistance that is prevented by multidrug therapy is associated with a poor prognosis (10). Also worrying was the rapid decline of the proportion of patients on treatment reaching 30% at 9 months whereas all recommended regimens last at least on year (16). Together with increased long-term mortality, these data on poor treatment management underline the need for implementation of educational measures in France.

In our study, total expenses for cases were 7-fold higher than that of controls, €24,083 and €3,402, respectively (p < 0.0001). Total costs were driven by hospitalizations followed by drugs, and finally
outpatient care. Our results are consistent with previous studies reporting a higher cost in NTM-PD patients compared to matched-controls. In Germany, Diel et al. (8) estimated the mean direct expenditure per NTM-PD patient to be €39,559.60 over a period of 39 months which was almost 4-fold that of matched control (€10,006.71). Furthermore, NTM-PD patients were three times more likely to be hospitalized than controls, and hospitalizations represented 63% of the total costs. Goring et al. (9) conducted a study in a cohort of MAC patients refractory to treatment and estimated annual NTM-PD-related costs at $16,209 in Canada, €11,626 in Germany, €17,881 in France, and £9,727 in the UK. Marras et al. (7) reported higher healthcare expenditures in newly diagnosed NTM-PD patients than in controls the first year ($72,475 vs. $28,405) and second year ($48,114 vs. $28,990), respectively. Interestingly, cost for an NTM-PD patient clearly surpasses the annual cost per patient for chronic respiratory diseases including COPD (€1013) or asthma (€1950) among others (7).

The limitations of the SNDS database are inherent to those of claims databases. The paucity of clinical information and laboratory results did not allow the use of the ATS/IDSA guidelines which include clinical, radiologic, and bacteriologic criteria to pose a diagnosis of NTM-PD (2). We also did not have information on specific NTM species to be able to have species-specific results. Identification of NTM-PD cases using the ICD-10 codes along with the prescription of antimycobacterial treatments may have covered the majority of patients with NTM-PD; however, we may have missed patients who may have been misclassified with the ICD-10 codes. A better specificity and positive predictive value in detecting active TB with the ICD-10 codes combined with antibiotic prescriptions have been reported (7). We also missed patients managed in outpatient care setting who were diagnosed with NTM-PD but did not receive any treatments due to their advanced age or due to milder stage of disease among other reasons. In addition, patients who died before the diagnosis could be established may have also led to an underestimation of our results. However, the incidence and prevalence of NTM-PD in our study are in line with other European studies and thus suggest that we did not miss many cases.

**Conclusion**

Despite the incremental risk of mortality in NTM-PD patients and financial burden associated with this condition, there is still a critical unmet need for the diagnosis and management of NTM-PD. Only a minority of patients get treated with antibiotics, but many discontinue treatment before the infection can be successfully eradicated. These results underline the high burden associated with NTM-PD and emphasize the need for effective management.

**Abbreviations**

CCI
Charlson Comorbidity Index
CMUc
supplementary universal health care coverage
COPD
Declarations

Ethics approval and consent to participate: The study was approved by the Committee of Expertise for Research, Studies and Evaluations in the field of Healthcare (Comité d’Expertise pour les Recherches, les Etudes et les Evaluations dans le domaine de la Santé) and by the National Commission on Informatics and Liberty (Commission nationale de l'informatique et des libertés).

Consent for publication: Not applicable

Competing interests: NV was an expert in the Scientific Committee of this study and received grants from INSMED. CA was an expert in the Scientific Committee of this study and received grants from INSMED. SB is an employee of CEMKA that was supported by INSMED to perform this research. CE is an employee of CEMKA that was supported by INSMED to perform this research. MO is an employee of INSMED that supported this research. RC was an expert in the Scientific Committee of this study and received grants from INSMED.

Funding: The study was sponsored by INSMED. INSMED validated the design of the study, the analysis, the interpretation of data and the writing of the manuscript which was first written by the Scientific experts and CEMKA.

Availability of data and materials: All data generated or analyzed during this study are included in this published article [and its additional information files]. The data that support the findings of this study are available from [the French Health Insurance (CNAM)] but restrictions apply to the availability of these data, which were used under license for the current study, and so are not publicly available.

Authors' contributions: NV have participated to the study design and the writing of the article. CA have participated to the study design and the writing of the article. SB have participated to the study design, the statistical analysis, and the writing of the article. CE have participated to the study design, the
statistical analysis, and the writing of the article. MO have participated to the study design and the writing of the article. RC have participated to the study design and the writing of the article.

All authors have read and approved the manuscript.

**Acknowledgement:** Not applicable

**References**

1. Daley CL, Iaccarino JM Jr, Lange C, et al. Treatment of nontuberculous mycobacterial pulmonary disease: an official ATS/ERS/ESCMID/IDSA clinical practice guideline: executive summary. Clin Infect Dis. 2020.

2. Griffith DE, Aksamit T, Brown-Elliott BA, Catanzaro A, Daley C, Gordin F, Holland SM, Horsburgh R, Huit G, Iademarco MF, Iseman M, Oliver K, Ruoss S, von Reyn CF, Wallace RJ Jr, Winthrop K; ATS Mycobacterial Diseases Subcommittee; American Thoracic Society; Infectious Disease Society of America. An official ATS/IDSA statement: diagnosis, treatment, and prevention of nontuberculous mycobacterial diseases. Am J Respir Crit Care Med. 2007 Feb 15;175(4):367-416.

3. Hoefsloot W, van Ingen J, Angeby K, Bauriaud R, Bemer P, Beylis N, Boeree MJ et al. Nontuberculous Mycobacteria Network European Trials Group. The geographic diversity of nontuberculous mycobacteria isolated from pulmonary samples: an NTM-NET collaborative study. Eur Respir J. 2013 Dec;42(6):1604-13.

4. Ringshausen, F.C., Apel, RM., Bange, FC. et al. Burden and trends of hospitalisations associated with pulmonary non-tuberculous mycobacterial infections in Germany, 2005–2011. *BMC Infect Dis* 13, 231 (2013).

5. Shah, N.M., Davidson, J.A., Anderson, L.F. et al. Pulmonary Mycobacterium avium-intracellulare is the main driver of the rise in non-tuberculous mycobacteria incidence in England, Wales and Northern Ireland, 2007–2012. BMC Infect Dis 16, 195 (2016).

6. Schildkraut JA, Gallagher J, Morimoto K, et al. Epidemiology of nontuberculous mycobacterial pulmonary disease in Europe and Japan by Delphi estimation. Respir Med. 2020 Nov;173:106164.

7. Horsburgh CR Jr. Epidemiology of disease caused by nontuberculous mycobacteria. Semin Respir Infect 1996; 11:244-51.

8. Roux AL, Catherinot E, Ripoll F, Soismier N, Macheras E, Ravilly S, Bellis G, Vibet MA, Le Roux E, Lemonnier L, Gutierrez C, Vincent V, Fauroux B, Rottman M, Guillemot D, Gaillard JL; Jean-Louis Herrmann for the OMA Group. Multicenter study of prevalence of nontuberculous mycobacteria in patients with cystic fibrosis in France. J Clin Microbiol. 2009 Dec;47(12):4124-8.

9. Diel R, Jacob J, Lampenius N, Loebinger M, Nienhaus A, Rabe KF, Ringshausen FC. Burden of nontuberculous mycobacterial pulmonary disease in Germany. Eur Respir J. 2017 Apr 26;49(4):1602109.

10. Goring SM, Wilson JB, Risebrough NR, Gallagher J, Carroll S, Heap KJ, Obadovic M, Loebinger MR, Diel R. The cost of Mycobacterium avium complex lung disease in Canada, France, Germany, and the...
United Kingdom: a nationally representative observational study. BMC Health Serv Res. 2018 Sep 10;18(1):700.

11. Schildkraut JA, Gallagher J, Morimoto K, Lange C, Haworth C, Floto RA, Hoefsloot W, Griffith DE, Wagner D, Ingen JV; NTM-NET. Epidemiology of nontuberculous mycobacterial pulmonary disease in Europe and Japan by Delphi estimation. Respir Med. 2020 Nov ;173 :106164.

12. Ringshausen, F. C., Wagner, D., de Roux, A., Diel, R., Hohmann, D., Hickstein, L., Welte, T., & Rademacher, J. (2016). Prevalence of Nontuberculous Mycobacterial Pulmonary Disease, Germany, 2009-2014. Emerg Infect Dis. 2016;22(6):1102–1105.

13. Andrejak C, Thomsen VØ, Johansen IS, RIIIS A, Benfield TL, Duhaul P, Sorensen HT, Lescure FX, Thomsen R W. Nontuberculous pulmonary mycobacteriosis in Denmark: Incidence and Prognostic factors. Am J Respir Crit,Med 2010; 181:514–521.

14. Dailloux M, Abalain ML, Laurain C, Lebrun L, Loos-Ayav C, Lozniewski A, Maugein J; French Mycobacteria Study Group. Respiratory infections associated with nontuberculous mycobacteria in non-HIV patients. Eur Respir J. 2006 Dec ;28(6):1211-5.

15. Andrejak C, Thomsen VØ, Johansen IS, RIIIS A, Benfield TL, Duhaul P, Sorensen HT, Lescure FX, Thomsen R W. Nontuberculous pulmonary mycobacteriosis in Denmark: Incidence and Prognostic factors. Am J Respir Crit,Care Med 2010; 181:514–521. doi: 10.1164/rccm.200905-0778OC

16. Berthon BS, Wood LG Nutrition and respiratory health—feature review. Nutrients. 2015; 7:1618–1643.

17. Charlson ME. J Chronic Dis 1987;40(5):373-83

18. Charles L Daley, Jonathan M Iaccarino, Christoph Lange, Emmanuelle Cambau, Richard J Wallace, Jr, Claire Andrejak, Erik C Böttger, Jan Brozek, David E Griffith, Lorenzo Guglielmetti, Gwen A Huit, Shandra L Knight, Philip Leitman, Theodore K Marras, Kenneth N Olivier, Miguel Santin, Jason E Stout, Enrico Tortoli, Jakko van Ingen, Dirk Wagner, Kevin L Winthrop, Treatment of Nontuberculous Mycobacterial Pulmonary Disease: An Official ATS/ERS/ESCMID/IDSA Clinical Practice Guideline, Clinical Infectious Diseases, Volume 71, Issue 4, 15 August 2020, Pages e1–e36,

19. Prince DS, Peterson DD, Steiner RM, Gottlieb JE, Scott R, Israel HL, Figueroa WG, Fish JE. Infection with Mycobacterium avium complex in patients without predisposing conditions. N Engl J Med. 1989 Sep 28;321(13):863-8.

20. Griffith DE, Brown-Elliott BA, Langsjoen B, Zhang Y, Pan X, Girard W, Nelson K, Caccitolo J, Alvarez J, Shepherd S, Wilson R, Graviss EA, Wallace RJ Jr. Clinical and molecular analysis of macrolide resistance in Mycobacterium avium complex lung disease. Am J Respir Crit Care Med. 2006 Oct 15;174(8):928-34.

21. Wallace RJ, Jr, Brown BA, Griffith DE, et al. Initial clarithromycin monotherapy for Mycobacterium avium-intracellulare complex lung disease. Am J Respir Crit Care Med. 1994;149(5):1335-1341

22. Marras TK, Mirsaedi M, Chou E, Eagle G, Zhang R, Leuchars M, et al. Health care utilization and expenditures following diagnosis of Nontuberculous mycobacterial lung disease in the United States. J Manag Care Spec Pharm. 2018 ;24(10):964–74.
23. Gibson GJ, Loddenkemper R, Sibille Y, et al. The European Lung White Book. 2nd Edn. Sheffield, European Respiratory Society, 2013

24. Calderwood MS, Platt R, Hou X, Malenfant J, Haney G, Kruskal B, Lazarus R, Klompas M. Real-time surveillance for tuberculosis using electronic health record data from an ambulatory practice in eastern Massachusetts. Public Health Rep. 2010 Nov-Dec ;125(6):843-50.

Tables

Table 1. Patient characteristics
|                                | Treated patients with NTM-PD n (%) | Untreated patients with NTM-PD n (%) | Total n (%) | p-value |
|--------------------------------|------------------------------------|--------------------------------------|-------------|---------|
| Incident population           | 1,433 (25.5)                       | 4,195 (74.5)                        | 5,628 (100) |         |
| Mean age (SD) at the time of diagnosis (T0) | 56.7 (17.4)                       | 62.3 (20.0)                          | 60.9 (19.5) | <0.0001 |
| Age at T0 in groups           |                                    |                                      |             | <0.0001 |
| < 10 years                    | 3 (0.2)                            | 92 (2.2)                             | 95 (1.7)    |         |
| 10-19 years                   | 40 (2.8)                           | 92 (2.2)                             | 132 (2.3)   |         |
| 20-29 years                   | 75 (5.2)                           | 158 (3.8)                            | 233 (4.1)   |         |
| 30-39 years                   | 133 (9.3)                          | 200 (4.8)                            | 333 (5.9)   |         |
| 40-49 years                   | 204 (14.3)                         | 363 (8.7)                            | 567 (10.1)  |         |
| 50-59 years                   | 277 (19.4)                         | 661 (15.8)                           | 938 (16.7)  |         |
| 60-69 years                   | 336 (23.5)                         | 884 (21.1)                           | 1,220 (21.7)|         |
| 70-79 years                   | 244 (17.1)                         | 905 (21.6)                           | 1,149 (20.4)|         |
| 80-89 years                   | 112 (7.8)                          | 712 (17.0)                           | 824 (14.7)  |         |
| ≥ 90 years                    | 6 (0.4)                            | 127 (3.0)                            | 133 (2.4)   |         |
| Gender                        |                                    |                                      |             | 0.1216  |
| Male                          | 733 (51.2)                         | 2,245 (53.5)                         | 2,978 (52.9)|         |
| Female                        | 700 (48.8)                         | 1,950 (46.5)                         | 2,650 (47.1)|         |
| Universal Health Coverage     |                                    |                                      |             | 0.0940  |
| No                            | 1,292 (90.2)                       | 3,843 (91.6)                         | 5,135 (91.2)|         |
| Yes                           | 141 (9.8)                          | 352 (8.4)                            | 493 (8.8)   |         |

*SD: Standard Deviation; T0: Time of diagnosis*
Table 2. Risk factors for NTM-PD patients and matched controls
| Risk factors before NTM-PD diagnosis | Cases n (%) | Controls n (%) | p-value cases/controls | Treated NTM-PD n (%) | Untreated NTM-PD n (%) | p-value treated / not treated |
|-------------------------------------|-------------|----------------|------------------------|----------------------|------------------------|-------------------------------|
| Incident population                | 4,447 (25.0) | 13,341 (75.0) |                         | 1,433 (25.5)         | 4,195 (74.5)           |                               |
| Charlson comorbidity index score (SD) | 1.6 (2.5)   | 0.2 (0.9)      | <0.0001                | 1.7 (2.7)            | 1.5 (2.4)              | 0.2907                        |
| Risk factors before NTM-PD diagnosis |             |                |                        |                      |                        |                               |
| Chronic lower respiratory diseases  | 1,529 (34.4) | 359 (2.7)      | <0.0001                | 428 (29.9)           | 1,547 (36.9)           | <0.0001                        |
| COPD (J44)                         | 836 (18.8)   | 168 (1.3)      | <0.0001                | 203 (14.2)           | 887 (21.1)             | <0.0001                        |
| Bronchiectasis (J47)               | 472 (10.6)   | 16 (0.1)       | <0.0001                | 123 (8.6)            | 486 (11.6)             | 0.0016                         |
| Other lower respiratory Chronic diseases | 927 (20.8) | 245 (1.8)      | <0.0001                | 277 (19.3)           | 911 (21.7)             | 0.0560                         |
| HIV infection                      | 389 (8.7)    | 30 (0.2)       | <0.0001                | 212 (14.8)           | 211 (5.0)              | <0.0001                        |
| Lung cancer and lung graft         | 252 (5.7)    | 55 (0.4)       | <0.0001                | 52 (3.6)             | 272 (6.5)              | <0.0001                        |
| Bone marrow transplant             | 60 (1.3)     | 3 (0.0)        | <0.0001                | 17 (1.2)             | 49 (1.2)               | 0.9558                         |
| Gastro-esophageal reflux disease   | 131 (2.9)    | 120 (0.9)      | <0.0001                | 44 (3.1)             | 121 (2.9)              | 0.7184                         |
| Smoking                            | 164 (3.7)    | 112 (0.8)      | <0.0001                | 52 (3.6)             | 146 (3.5)              | 0.7923                         |
| Other infectious pneumonia         | 1,085 (24.4) | 184 (1.4)      | <0.0001                | 286 (20.0)           | 1,107 (26.4)           | <0.0001                        |
| Tuberculosis                       | 628 (14.1)   | 14 (0.1)       | <0.0001                |                      |                        |                               |
| Malnutrition                       | 978 (22.0)   | 261 (2.0)      | <0.0001                |                      |                        |                               |
| Corticoids                         | 2,550 (57.3) | 4,504 (33.8)   | <0.0001                |                      |                        |                               |
|                         | Cases n (%) | Controls n (%) | p-value cases/controls | Treated NTM-PD n (%) | Untreated NTM-PD n (%) | p-value treated / not treated |
|-------------------------|-------------|----------------|------------------------|----------------------|------------------------|-------------------------------|
| Cystic fibrosis         | 143 (3.2)   | 1 (0.0)        | <0.0001                |                      |                        |                               |

*SD: Standard Deviation*

Table 3. Cox multivariate analysis for mortality in NTM-PD patients and matched controls
| Covariates                                                                 | NTM-PD case / matched controls | NTM-PD case / matched controls | Hazard Ratio | 95% CI |
|---------------------------------------------------------------------------|--------------------------------|--------------------------------|--------------|--------|
| **NTM-PD case / matched controls (reference: controls)**                  |                                |                                | 2.804        | 2.532  | 3.104  |
| Age (reference<10 years)                                                 | 10-19 years                    |                                | 0.818        | 0.244  | 2.740  |
|                                                                           | 20-29 years                    |                                | 1.571        | 0.589  | 4.192  |
|                                                                           | 30-39 years                    |                                | 2.283        | 0.903  | 5.772  |
|                                                                           | 40-49 years                    |                                | 2.704        | 1.093  | 6.688  |
|                                                                           | 50-59 years                    |                                | 5.736        | 2.360  | 13.937 |
|                                                                           | 60-69 years                    |                                | 9.204        | 3.800  | 22.290 |
|                                                                           | 70-79 years                    |                                | 16.081       | 6.646  | 38.908 |
|                                                                           | 80-89 years                    |                                | 33.654       | 13.910 | 81.423 |
|                                                                           | ≥ 90 years                      |                                | 56.860       | 23.209 | 139.303|
| Gender (reference: males)                                                | Female                         |                                | 0.668        | 0.612  | 0.730  |
| Universal Health Coverage                                                | yes                            |                                | 1.423        | 1.172  | 1.728  |
| HIV infection                                                             | Yes                            |                                | 1.592        | 1.244  | 2.038  |
| Lung cancer and graft                                                    | Yes                            |                                | 2.492        | 2.097  | 2.962  |
| Other infectious pneumonia                                                | Yes                            |                                | 1.353        | 1.202  | 1.524  |
| Tuberculosis                                                              | Yes                            |                                | 0.904        | 0.773  | 1.058  |
| Malnutrition                                                              | Yes                            |                                | 2.042        | 1.821  | 2.290  |
| Corticoids                                                                | Yes                            |                                | 1.040        | 0.952  | 1.137  |
| Cystic fibrosis                                                          | Yes                            |                                | 1.508        | 0.774  | 2.936  |
| Mucopurulent chronic bronchitis                                          | Yes                            |                                | 0.726        | 0.549  | 0.958  |
| Unspecified chronic bronchitis                                           | Yes                            |                                | 1.227        | 1.023  | 1.472  |
| Emphysema                                                                | Yes                            |                                | 1.080        | 0.904  | 1.290  |
| Other chronic obstructive pulmonary disease                               | Yes                            |                                | 1.651        | 1.451  | 1.879  |
| Bronchiectasis                                                           | Yes                            |                                | 0.713        | 0.591  | 0.861  |
Table 4. Antibiotic treatments for NTM-PD patients
| Treatment                                        | Yes N=4,898 | No N=730 | Total |
|-------------------------------------------------|-------------|----------|-------|
| **Hospitalization with an NTM-PD ICD-10 code (N=4)** |             |          |       |
| **n (%)**                                       |             |          |       |
| **Total**                                       | 1,433 (25.5)|          |       |
| Any antibiotics                                 | 703 (14.4)  | 730 (100)|       |
| Clarithromycin + Ethambutol                     | 232 (33.0)  | 275 (377)| 507 (34.4) |
| Clarithromycin + Rifampin+ Ethambutol           | 159 (22.6)  | 166 (22.7)| 325 (22.1) |
| Clarithromycin + Rifampin                       | 31 (4.4)    | 121 (16.6)| 152 (10.3) |
| Clarithromycin                                  | 137 (19.5)  | --       | 137 (9.3) |
| Azithromycin + Ethambutol                       | 43 (6.1)    | 28 (3.8) | 71 (4.8) |
| Azithromycin + Rifampin                         | 4 (0.6)     | 64 (8.8) | 68 (4.6) |
| Moxifloxacin + Rifampin+ Ethambutol             | 23 (3.3)    | 36 (4.9) | 59 (4.0) |
| Azithromycin + Rifampin+ Ethambutol             | 18 (2.6)    | 25 (3.4) | 43 (2.9) |
| Moxifloxacin + Ethambutol                       | 17 (2.4)    | --       | 17 (1.2) |
| Clarithromycin + Moxifloxacin + Ethambutol      | 11 (1.6)    | 4 (0.5)  | 15 (1.0) |
| Clarithromycin + Moxifloxacin                   | 7 (1.0)     | --       | 7 (0.5) |
| Clarithromycin + Ethambutol + Amikacin          | 5 (0.7)     | 1 (0.1)  | 6 (0.4) |
| Clarithromycin + Amikacin                       | 4 (0.6)     | 1 (0.1)  | 5 (0.3) |
| Clarithromycin + Moxifloxacin + Rifampin        | 1 (0.1)     | 3 (0.4)  | 4 (0.3) |
| Moxifloxacin + Ethambutol+ Amikacin             | 2 (0.3)     | 1 (0.1)  | 3 (0.2) |
| Drug Combinations                                      | Yes N | No N | Total |
|--------------------------------------------------------|-------|------|-------|
| Azithromycin + Amikacin                                | 1 (0.1) | 1 (0.1) | 2 (0.1) |
| Azithromycin + Rifampin + Ethambutol + Amikacin        | 1 (0.1) | 1 (0.1) | 2 (0.1) |
| Clarithromycin + Ethambutol + Rifabutin                | 1 (0.1) | 1 (0.1) | 2 (0.1) |
| Clarithromycin + Rifampin + Ethambutol + Amikacin      | 2 (0.3) | -    | 2 (0.1) |
| Azithromycin + Amikacin + Ethambutol                   | -     | 1 (0.1) | 1 (0.1) |
| Clarithromycin + Azithromycin + Rifampin + Ethambutol  | 1 (0.1) | -    | 1 (0.1) |
| Clarithromycin + Rifampin + Ethambutol + Rifabutin     | -     | 1 (0.1) | 1 (0.1) |
| Moxifloxacin + Amikacin                                | 1 (0.1) | -    | 1 (0.1) |
| Moxifloxacin + Azithromycin                            | 1 (0.1) | -    | 1 (0.1) |
| Moxifloxacin + Rifabutin                               | 1 (0.1) | -    | 1 (0.1) |

**Figures**
Figure 1

Incidence rate of NTM-PD from 2010 to 2017

Figure 2

Kaplan Meier survival curves in NTM-PD patients and matched controls
Figure 3

Kaplan Meier survival curves for treatment duration in NTM-PD patients

Figure 4
Healthcare Costs in NTM-PD cases and controls

Supplementary Files

This is a list of supplementary files associated with this preprint. Click to download.

- Additionalfiles.docx