60-year record of stem xylem anatomy and related hydraulic modification under increased summer drought in ring- and diffuse-porous temperate broad-leaved tree species

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Abstract

Key message  By combining dendrochronological time-series analysis with radial vessel features, we show that the reconstruction of hydraulic properties improves our understanding of tree species’ acclimation potential to climate change.

Abstract The vascular architecture plays a crucial role in the productivity and drought tolerance of broadleaf trees, but it is not yet fully understood how the hydraulic system is acclimating to a warmer and drier climate. Because vessel features may record temporal and spatial variability in climatic signals of the past better than tree-ring width, we combined dendrochronological time-series analysis with the calculation of stem hydraulic properties derived from radial vessel features. We aimed to reconstruct the development and sensitivity of the hydraulic system over six decades and to identify climatic control of xylem anatomy for five co-existing broad-leaved diffuse- and ring-porous tree species (genera Acer, Fagus, Fraxinus and Quercus) across three sites covering a precipitation gradient from 548 to 793 mm. We observed a significant influence of the climatic water balance (CWB) on the vessel features of all species, but the time lag, magnitude and direction of the response was highly species-specific. All diffuse-porous species suffered a decline in vessel diameter in dry years, and increase in vessel density in dry years and the year following. However, F. sylvatica was the only species with a significant long-term change in anatomical traits and a significant reduction in potential hydraulic conductivity ($K_p$) after dry winters and in dry summers, accompanied with the largest long-term decline in tree-ring width and the largest growth reduction in and after years with a more negative CWB. In contrast, the comparison across the precipitation gradient did not reveal any significant vessel-climate relationships. Our results revealed considerable plasticity in the hydraulic system especially of F. sylvatica, but also evidence of the drought-sensitivity of this species in accordance with earlier dendroecological and physiological studies. We conclude that the long-term reconstruction of hydraulic properties can add substantially to the understanding of the acclimation potential of different tree species to climate change.

Keywords Climate change · Dendrochronology · Drought adaptation · Fagus sylvatica · Potential hydraulic conductivity · Hydraulic plasticity · Vessel diameter · Wood anatomy · Wood porosity

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Introduction

Drought is a key factor limiting tree vitality and growth in many regions of the temperate zone (Allen et al. 2010). Climate models for Central Europe predict a decrease in mean summer precipitation and an increasing frequency and severity of extreme drought periods (Schär et al. 2004; Trenberth et al. 2014; Zscheischler and Seneviratne 2017; Samaniego et al. 2018). A crucial role for tree performance is played by the water transport system from roots to leaves (Brodrribb 2009). Under conditions of ample water supply, highly conductive xylem is a prerequisite for high photosynthetic rates (Tyree 2003; Hajek et al. 2014; Kotowska et al. 2015). In periods of drought, on the other hand, the hydraulic system needs to be resistant against hydraulic failure to maintain a positive carbon balance (McDowell 2011). A decline in soil water availability commonly favors the formation of smaller xylem vessels and higher vessel densities (Carlquist 1977; DeMicco et al. 2008; Schreiber et al. 2015), which is often accompanied by an increased xylem resistance against drought-induced hydraulic failure (Schuldt et al. 2016; Lübbe et al. 2017). Along water availability gradients, such structural modifications may counteract the increase in drought exposure and guarantee sufficient water supply to the canopy (Gleason et al. 2012, 2013). At a given site, the tree individual has experienced variable climatic conditions during its lifetime, which may imprint on wood anatomy and tree ring width (Fonti et al. 2010; Sass-Klaassen et al. 2016). Because vessel properties are closely related to water transport efficiency, wood anatomical data might provide better climatic signals than ring width data (Campelo et al. 2010; Novak et al. 2013; Rita et al. 2016). Owing to the obvious threats imposed on forestry by a future drier climate, a better understanding of spatial and temporal xylem plasticity of broad-leaved trees to environmental change is urgently needed (Fonti and Jansen 2012).

In Central Europe, European beech (Fagus sylvatica) is the most abundant broad-leaved tree species that would naturally cover two-thirds of Germany’s land cover (Bohn et al. 2003). Dendrochronological studies and forest inventory data indicate that F. sylvatica is facing a climate warming-related growth decline in various regions of its distribution range (Jump et al. 2006; Piovesan et al. 2008; Charru et al. 2010; Scharnweber et al. 2011; Zimmermann et al. 2015; Dulamsuren et al. 2017; Knutzen et al. 2017). Several studies indicate that drought-induced growth decline can be an early sign of a higher risk of tree mortality (Bigler et al. 2006; Cailleret et al. 2017; Timofeeva et al. 2017). Affected trees often have a legacy of reduced vigor triggered by previous drought events that may cause reductions in hydraulic capacity and thus carbohydrate availability (Trugman et al. 2018). Various physiological and dendrochronological studies have characterized F. sylvatica as a drought-sensitive species, which shows sensitive reductions in water consumption and radial stem growth during dry episodes (e.g. Rennenberg et al. 2004; Köcher et al. 2009; Leuschner 2009), and increased mortality rates after severe drought events (Schindler 1951; Wagenhoff and Wagenhoff 1975; Schuldt et al., 2020). On the other hand, it is also regarded as a highly adaptive tree species that is able to respond with anatomical and physiological modifications to stress events (Bolte et al. 2007; Rose et al. 2009; Kramer et al. 2010; Schuldt et al. 2016). In F. sylvatica, quite a number of studies have investigated drought and heat stress effects on photosynthesis, premature leaf senescence, drought-induced reductions in radial stem growth and increased fine root mortality (Dreyer 1997; Leuschner et al. 2001; Bréda et al. 2006; Piovesan et al. 2008; Zimmermann et al. 2015). The plasticity of the xylem hydraulic system, on the other hand, has been studied much less. Available data indicate a certain plasticity in the hydraulic system of F. sylvatica to changing environmental conditions (Wortemann et al. 2011; Schuldt et al. 2016; Lübbe et al. 2017; Stojnić et al. 2018). However, it remains unclear whether this plasticity is sufficient to acclimate to increasing summer drought in recent time.

With progressive climate warming, the drought-sensitivity of F. sylvatica could in the future lead to a shift in tree species composition in mixed forests currently dominated by this species (Lindner et al. 2010; Cavin et al. 2013). To the great importance of beech in the natural and production forests of Europe, there is an urgent need to compare the drought response of F. sylvatica with that of other major and minor broad-leaved timber species, which compete with beech and could perhaps play a more prominent role in future forestry. Until now, only very few studies have investigated the temporal and spatial variation in xylem anatomical and derived hydraulic properties of co-existing temperate diffuse- and ring-porous tree species (Anderegg and Meinzer 2015). For the present study, we selected five temperate broad-leaved tree species that differ in drought sensitivity, successional status and occurrence in Central Europe.

We investigated three mixed forests in which F. sylvatica co-occurs with Sycamore maple (Acer pseudoplatanus L.), Norway maple (Acer platanoides L.), sessile oak (Quercus petraea (Matt.) Liebl.), and common ash (Fraxinus excelsior L.). A. pseudoplatanus is a common secondary tree species in the more oceanic regions of Central Europe that, like F. sylvatica, requires a better water supply and higher atmospheric moisture, while the other three species (A. platanoides, Q. petraea and F. excelsior) are thought to be rather drought-tolerant (Roloff and Schütt 2006; Leuschner and Ellenberg 2017).

We adopted two complementary approaches for studying the hydraulic plasticity in response to water shortage in mature trees of the five tree species. Based on 60-yr-long time series derived from increment cores, we firstly
analyzed how the wood anatomical properties of the stem xylem change in response to a period of significant warming and increasing climatic aridity, and secondly how they differ between stands distributed along a precipitation gradient. Our main research questions were to (1) quantify the degree of plasticity of the stem hydraulic system (precipitation-gradient study), (2) search for long-term trends in the hydraulic properties (time-series analysis), and (3) compare ring- and diffuse-porous species with respect to their plastic response in anatomical properties to decreasing rainfall. We tested the hypotheses that (i) the studied species adjust their xylem anatomy to a drier and warmer climate by producing smaller vessels, (ii) drought-sensitive *F. sylvatica* shows the most pronounced vessel diameter reduction, and (iii) radial vessel features provide a more informative climatic signal than tree-ring width data alone.

### Material and methods

#### Study area and sampled stands

The study took place in central Germany in three mixed forests along a precipitation gradient from 793 to 548 mm year$^{-1}$ in the transition zone between a sub-oceanic climate in the west and a more sub-continental climate in the east (Table 1). The three forests are located at 250–450 m elevation between the villages of Keula and Wettelrode in the lee of the Harz Mountains (south-western Saxony-Anhalt and northern Thuringia) in close proximity to each other (west–east extension of 50 km only). The study region is thought to be close to the drought limit of *F. sylvatica* and *A. pseudoplatanus* in Central Europe, while *A. platanoides*, *Q. petraea* and *F. excelsior* likely tolerate drier climates (Leuschner and Ellenberg 2017). The three stands are situated in the assumed transition zone between beech-dominated forests in a moister sub-oceanic climate (stand 1) to mixed forests with higher abundance of *Quercus*, *Acer*, *Tilia*, *Fraxinus* and *Carpinus* species under a more summer-dry sub-continental climate (stands 2 and 3, Table 1). The three selected diffuse-porous species *F. sylvatica*, *A. pseudoplatanus* and *A. platanoides* and the two ring-porous species *Q. petraea* and *F. excelsior* vary largely in wood anatomical traits (Fig. 1) and assumed drought-response strategies (Roloff and Schütt 2006; Köcher et al. 2009).

The study region is characterized by small-scale variation in geological substrates (VFS 2005); two of the stands are located on Triassic limestone, one on pellite rock (for further details see Zimmermann et al. 2015). All studied trees had an age of ~100 year and a diameter at breast height (DBH) mostly in the range of 40–55 cm; exceptions were older and thicker oak trees in stand 1 (Table 1). All stands grew on north-facing slopes with a higher inclination in stand 2 (20°–30°) than in stand 1 and 3 (5°–10°). Stand 2 stocked on a mosaic of limestone and igneous rocks with variable soil depth; beech typically grew on the shallower and oak on the deeper soils.

#### Climate data

While weather stations recording precipitation were available in the neighborhood of all three stands, temperature

| Variable | Unit | Observation period | Stand 1 | Stand 2 | Stand 3 |
|----------|------|--------------------|--------|--------|--------|
| Coordinates | | | | | |
| Elevation | m | | 400–450 | 250–300 | 300–350 |
| MAT | °C | Jan.–Dec | 7.61 | 8.55 | 9.04 |
| MAP | mm | Jan.–Dec | 793.23 | 589.60 | 548.33 |
| VPD | kPa | Jan.–Dec | 0.20 | 0.26 | 0.30 |
| CWB | mm | Jan.–Dec | 153.17 | − 72.48 | − 113.75 |
| MST | °C | Apr–Jun | 10.99 | 11.98 | 12.55 |
| MSP | mm | Apr–Jun | 183.77 | 154.57 | 146.60 |
| VPDgro | kPa | Apr–Jun | 0.31 | 0.39 | 0.44 |
| CWBgro | mm | Apr–Jun | − 72.20 | − 110.60 | − 118.57 |

Given are stand coordinates and elevation (m a.s.l.) and four climatic variables for the period from 1981 to 2010. These are mean annual temperature (MAT, °C), mean annual precipitation (MAP, mm), mean annual vapor pressure deficit (VPD, kPa), and the mean annual climatic water balance, i.e. precipitation–potential evapotranspiration (CWB, mm). All four climatic variables are also given for the early growing season from April to June, i.e. mean early growing season temperature (MST, °C), mean early growing season precipitation (MSP, mm), mean early growing season vapor pressure deficit (VPDgro, kPa), and mean early growing season climatic water balance (CWBgro, mm). For further details on site characteristics and soil conditions see Zimmermann et al. (2015)
was only recorded at a single station in the study region after 1954 (station Artern, 164 m a.s.l.), and the precipitation datasets contained gaps at two of the sites. For that reason, the climate variables used for this study are based on interpolated data extracted from publicly available gridded climate datasets using the above-mentioned climate data (which differs from the approach adopted earlier by Zimmermann et al. 2015). Monthly means of air temperature and monthly precipitation sums were extracted for the time interval 1951–2010 based on 1 km × 1 km grids provided by the Climate Data Center (CDC) of the German Weather Service (DWD, Deutscher Wetterdienst, Offenbach, https://opendata.dwd.de/, accessed on Nov 14, 2019) accessed with the R package rdwd v. 1.2.0 (Boessenkool 2019). As the spatially highly resolved data provided by the CDC do not cover the entire study period from 1951 to 2010, model-based estimates of evapotranspiration and average atmospheric vapour pressure were extracted from 0.5° × 0.5° grids provided by the Climate Research Unit (CRU) TS 4.03 dataset (Harris et al. 2014; https://crudata.uea.ac.uk/cru/data/hrg/cruts_4.03/, accessed on Nov 15, 2019) using the R package cruts v. 0.5 (Taylor et al. 2018). The extracted

Fig. 1 Cross-sections of stem xylem of the three diffuse-porous (a–c) and two ring-porous (d, e) tree species at ×100 magnification. a Fagus sylvatica, b Acer pseudoplatanus, c Acer platanoides, d Quercus petraea, e Fraxinus excelsior
precipitation and evapotranspiration data were used to calculate the monthly cumulative water balance (CWB, mm mo⁻¹) as the difference between precipitation and evapotranspiration. For each point in time and every site, the saturating vapour pressure (\(e_{\text{sat}}\)) was derived from air temperature, and vapor pressure deficit (VPD, kPa) calculated as the difference between \(e_{\text{sat}}\) and actual vapor pressure according to Allen et al. (1998). For the subsequent inclusion in statistical models, the monthly averages of climate variables were aggregated on an annual basis by calculating averages (temperature, VPD) or sums (precipitation, climatic water balance) over the full year as well as for the growing season (April–October), early growing season (April–June) and winter (November–March).

**Wood core sampling and preparation**

For the wood anatomical measurements, five individuals of the five target tree species were sampled (one core per tree) in each of the three stands in the period July–October 2011. The trees selected for sampling belonged to the upper canopy layer, were comparable in DBH and, most importantly, in height among the species and belonged to the largest and tallest trees of the stands (Table 2). As dominant trees in the upper canopy layer, they were fully exposed to the free atmosphere and will have experienced climate change more directly than smaller tree individuals. Two cores of *A. platanoides* from stand 1 could not be analysed due to micro-cracks sustained during the preparation process, resulting in only three cores for this species in this stand. *F. excelsior* was only present in stands 1 and 3. In total, 68 wood cores were analysed. Samples were collected at breast height (1.3 m) with an increment borer of 5.15 mm inner diameter (Haglöf, Langele, Sweden) from the position where the least influence of wood tension or compression was expected. The cores were mounted on wood strips, cut and levelled with a core microtome which can handle samples of up to 40 cm length (Gärtner and Nievergelt 2010).

The wood was dyed with safranin and the vessels filled with chalk powder and subsequently analysed for wood anatomical properties at 100× magnification using a Stereo V20 Microscope equipped with an automatic table using the software AxioVision S64 Rel 4.9 (Carl Zeiss MicroImaging GmbH, Göttingen, Germany). About 500 images per core were taken from different sections, each focused individually, and subsequently combined to one large image for analysis. Exemplary images are shown in Fig. 1. The contrast of the images was enhanced using the graphics editing software Photoshop CS6 (Adobe Systems Incorporated, San Jose, USA). Finally, the images were split into the annual rings to analyze anatomical properties individually for every annual ring of the 60 years from 1951 to 2010, yielding 4,080 analyzed rings in total.

**Analysis of vessel properties**

Vessel properties were analyzed with WinCELL software v. 2011a (Régent Instruments, Quebec, Canada). The analysis was limited to vessels with a lumen area ≥ 500 µm², resulting in 4,928 to 61,550 measured vessels per tree and 1,336,832 analysed vessels in total. Given their comparatively low contribution to total hydraulic conductance, we decided to exclude smaller vessels to avoid the misclassification of tracheids. The measured parameters included the area of the tree-ring segment (\(A_\text{lumen}\) %). Idealized vessel diameters (\(D, \mu m\)) were calculated according to Lewis and Boose (1995) as

\[
D = \left(32 \times (a \times b)^3 \left(\frac{a^2 + b^2}{2}\right)\right)^{1/4},
\]

and used to calculate hydraulically-weighted vessel diameter \(D_h (\mu m)\) after Sperry et al. (1994) as

\[
D_h = \Sigma D^3 / \Sigma D^4.
\]

The theoretical hydraulic conductivity (\(K_{\text{theo}}\), kg m MPa⁻¹ s⁻¹) of a tree-ring segment was calculated by adding up the conductance of all vessels as obtained from Hagen-Poiseuille’s equation as

\[
K_{\text{theo}} = \pi \times \rho \times \Sigma D^4 / (128 \times \eta),
\]

where \(\eta\) is the viscosity (1.002 × 10⁻⁹ MPa s) and \(\rho\) the density of water (998.2 kg m⁻³), both at 20 °C. The potential conductivity \(K_{\text{pot}}\) was then calculated by dividing \(K_{\text{theo}}\) by the cross-sectional area of the corresponding tree-ring segment (\(A, m^2\)).
Data processing and exploratory analysis

All data handling and statistical analyses were performed in R v. 4.0.2 (R Core Team 2020) in the framework of the tidyverse package (Wickham et al. 2019). For the ring-porous species, all vessel traits were analyzed separately for earlywood- and latewood vessels. To achieve this, the vessels were classified into two groups via k-means clustering based on vessel dimensions and position from the WinCell-based vessel anatomical datasets using the R function kmeans(). Temporal trends in climate variables were analyzed by calculating Kendall’s Tau as a measure of nonlinear bivariate association. To assess how wood anatomical traits co-vary with tree ring width (TRW), stand-specific bivariate Pearson association. To separate random tree intercepts for each year (\(v_{year}\)) as a crossed random effect to account for random interannual variation neither captured by the linear long term trend nor the CWB effects. The model equation for the observed wood anatomical variable \(y_{ijl}\) for observation \(i\) on tree \(j\) of species/wood type \(k\) on stand \(l\) in the year \(t\) could thus be expressed as:

\[
\log(y_{ijl}) = \alpha_i + \beta_{1[k]}\text{CWB}_{\text{sum0}[i]} + \beta_{2[k]}\text{CWB}_{\text{win}[i]} + \beta_{3[k]}\text{CWB}_{\text{sum1}[i]} + \beta_{4[k]}Y_{r[i]} + (\beta_{5[k]} + u_{DBH[k][j]})DBH_{[i]} + u_{int[k]} + v_{year[t]} + \epsilon_i,
\]

where \(\alpha_i\) are the site-specific fixed intercepts, \(\beta_{1[k]}-\beta_{5[k]}\) are species-specific regression coefficients for the climatological water balance at different time lags (CWB\(_{\text{sum0}[i]}\), CWB\(_{\text{win}[i]}\) and CWB\(_{\text{sum1}[i]}\)), the scaled and centered year (\(Y_{r[i]}\)) and the diameter at breast height (DBH\(_i\)). \(\epsilon_i\) are the model residuals. Note that (a) individual age trends were described by DBH instead of the raw age to reduce the collinearity between long-term trends and individual growth trends, and (b) even if DBH was perfectly determined by age, the model would be identifiable as long as at any given point in time, trees differ in DBH.

The random year effects were assumed to be normally distributed around a mean of zero, while the random tree effects analogously were assumed to follow a multivariate normal distribution, allowing the random tree intercepts and the slopes for DBH to be correlated.

\[
v_{\text{year}} \sim \text{Normal}(0, \tau_{\text{year}})
\]

\[
\begin{pmatrix}
  u_{\text{int}} \\
  u_{\text{DBH}}
\end{pmatrix} \sim \text{MVN}\left(\begin{pmatrix} 0 \\ 0 \end{pmatrix}, \Sigma_{\text{tree}}\right).
\]

To account for temporal autocorrelation, we included a tree-wise AR1 residual autocorrelation term, which can be expressed as a multivariate normal distribution on the model residuals with a block-diagonal AR1 correlation matrix with residual standard deviation \(\sigma_{\text{res}[i]}\) and autocorrelation parameter \(\phi\):

\[
\epsilon \sim \text{MVN}(0, \Sigma_{\text{res}}),
\]

\[
\Sigma_{\text{res}[m,n]} = \begin{cases} 
\sigma_{\text{res}[i]}^2 & \text{if } m = n \\
\sigma_{\text{res}[i]}^2 \phi^{|m-n|} & \text{if } m \neq n \text{ and } \text{Tree}_m = \text{Tree}_n \\
0 & \text{otherwise}
\end{cases}
\]

Initial analyses suggested a high degree of heterogeneity in error variances, with pronounced species/wood type differences and a clear decrease of the variance in \(D_h\), \(VD\) and \(K_p\) with the number of observed vessels in a cross-section. We therefore included an explicit variance model, expressing natural log-transformed variance as a function of
species/wood type and the natural log-transformed number of observed vessels per analyzed cross-section:

\[
\ln(\sigma^2_{res(i)}) = \sigma_{int(i)} + \beta_0 \ln(n_i)
\]

An analogous model was fit for tree ring width, differing from the aforementioned models only in that no transformation of the response was necessary, that early- and late-wood of ring-porous species were not treated separately and that the error variance was not modeled as a function of the number of observed vessels. Bootstrapped p-values and 95% confidence intervals for the model parameters and functions thereof where computed using model-based parametric bootstrap resampling based on the `bootMer()` function of R package `lme4` v. 1.1-23 (Bates et al. 2015). As a measure of explained variance, marginal and conditional pseudo-\(R^2\) values were computed for the entire dataset and on species/wood type level based on the methods described in Nakagawa and Schielzeth (2013).

### Results

#### Climate trends throughout the study interval

During the first 30 years of the study interval (1951–1980), no significant changes in climate conditions could be detected in any of the research sites (Fig. 2, Table 3). In the second half of the research interval (1981–2010), there was a significant increase in average early growing season temperature of 0.55 °C per decade in all sites, while precipitation remained relatively stable, leading to an increase in VPD by on average 0.035 kPa per decade (Fig. 2, Table 3). While the increased evaporative demand led to a slight decrease in the climatological water balance over the same interval, this change was not significant in any of the sites (Fig. 2, Table 3).

#### Overall model performance

The models for wood anatomical variables achieved a very high goodness of fit. Predictions based on the fixed effects (precipitation–evapotranspiration), each for the period from April to June and overlain with a LOESS non-parametric smoothing function including its 95% confidence intervals to illustrate the overall trends...
variance was comparable to the wood anatomical variables species variability in TRW, while explained within-species effects. This resulted mostly from the much lower between-in total 19.5% of the variance was explained for the fixed latewood rings). In the model for tree-ring width (TRW), driven by often small numbers of vessels present in narrow species (likely related to the higher measurement uncertainty particularly low values for the latewood of ring-porous spe-
cies (Table S1). However, the large fraction of variance explained by the fixed effects resulted mainly from the pronounced differences in wood anatomy between species and trees (Table S1). When calculated separately for each species and wood type, the fraction of variance explained by the fixed effects decreased markedly to around 10% on average, with particularly low values for the latewood of ring-porous species (likely related to the higher measurement uncertainty driven by often small numbers of vessels present in narrow latewood rings). In the model for tree-ring width (TRW), in total 19.5% of the variance was explained for the fixed effects. This resulted mostly from the much lower between-species variability in TRW, while explained within-species variance was comparable to the wood anatomical variables with on average 11.4% (Table S1). The explained variance in TRW increased to 90.6% when accounting for individual-and year-specific random effects. Notably, after accounting for species, size and climate effects, individual variability and yearly random fluctuations, a highly significant residual autocorrelation signal was present in all models (AR1 autocorrelation parameters between 0.84 and 0.96; cf. Table S3).

### Species and stand differences in hydraulic architecture

The five selected broad-leaved temperate tree species showed pronounced differences in several wood anatomical traits of the last ten growth rings, while differences between sites were relatively small (Fig. 3a–d, Table S2). For the diffuse-porous tree species, the relative vessel lumen area \( A_{\text{lumen}} \), i.e. the lumen-to-sapwood-area ratio, ranged between 25.1 ± 0.9% (mean ± SE) in *F. sylvatica* and 9.3 ± 0.4% in *A. pseudoplatanus*, while large differences were observed between earlywood (EW) and latewood (LW) in the two ring-porous tree species (EW 36.1 ± 0.7% vs. LW 5.8 ± 0.5% for *F. excelsior* and EW 33.5 ± 0.9% vs. LW 13.1 ± 1.8% for *Q. petraea*; cf. Table S2). *VD* followed a similar pattern for the diffuse-porous tree species, ranging between 115.3 ± 3.7 n mm\(^{-2}\) in *F. sylvatica* and 28.8 ± 1.1 n mm\(^{-2}\) in *A. pseudoplatanus*, while pronounced differences between EW and LW were only found for *Q. petraea* (5.8 ± 0.3 n mm\(^{-2}\) and 76.3 ± 5.7 n mm\(^{-2}\), respectively; cf. Table S2). *Dh* was relatively constant between the three diffuse-porous species and ranged between 63.7 ± 1.3 µm in *F. sylvatica* and 76.8 ± 2.0 µm in *A. pseudoplatanus*, but differed roughly fourfold among diffuse- and ring-porous species (Table S2). Even larger were the differences in potential conductivity \( K_p \), kg m\(^{-1}\) MPa\(^{-1}\) s\(^{-1}\) between diffuse- and ring-porous species (up to 30-fold; cf. Table S2). In contrast, the site differences in anatomical and hydraulic parameters typically ranged between 1 and 20% only and rarely reached 100%. This result emphasizes the large functional diversity in hydraulic properties which can exist among different temperate tree species in the same stand. At least in our study, species differences in hydraulic properties were much larger than any site effects. Species differences in the growth-related variables tree-ring width (TRW) and basal area increment (BAI), on the other hand, were much lower, while there were indications for pronounced site differences in growth in several species (Fig. 3e, f; Table S2).

The grouping into species/wood types was the factor that explained the by far largest fraction of variance in all analyzed wood anatomical variables, both via large differences in their average value (compare scale in Fig. 3) and via highly significant differences in the response to nearly all other predictor variables in the model (cf. Table S3). This was specifically notable in the distinction between the early- and latewood of ring-porous species, which differed strongly.
within species in their average values, in the direction of climate responses and in error variances, effectively making it necessary to treat them as separate groups. In all fitted models, error variances differed highly significantly between species and wood types (Table S3). For the wood anatomical traits in the latewood of ring-porous species, this was exacerbated by the response of TRW to climatic variables, as the very narrow latewood ring widths in dry years resulted in lower vessel counts and hence less accurate estimates of $D_h$, $VD$ and $K_p$. This is reflected in the lower explained variance and wider confidence bounds for parameter estimates of the corresponding species/wood types (cf. Figs. 4, 5; Table S3).

**Interrelationships between wood anatomical and growth-related variables**

While $D_h$ and $VD$ were negatively associated for all diffuse-porous species (with the exception of $F. sylvatica$ in Stand 1) and for the earlywood of ring-porous species, no correlation was discernible in the latewood of ring-porous species (Table 4, Fig. S1). Across all species and wood types, TRW was more closely related to $VD$ than to $D_h$, with higher vessel densities in narrower year rings across all species and wood types (Table 4, Fig. S1). In diffuse-porous trees, $D_h$ tended to show a contrasting pattern with...
larger vessels in wider annual rings, though this trend could not be detected at all sites (Table 4, Fig. S1). For the two ring-porous species, vessel diameters were largely independent of tree ring width, both in early- and latewood (Table 4, Fig. S1).

Long-term and ontogenetic trends in stem wood hydraulic architecture and growth

We found a significant increase in $D_h$ with tree diameter for *F. sylvatica* and the earlywood of *Q. petraea* ($p < 0.05$ based on 2,500 bootstrap samples; Table S3), while no significant trends were identified for the other species (Fig. 4c, Table S3). However, the variance parameter of the random slope for DBH indicates a large variation in the tree-specific response to tree diameter ($p < 0.001$; Table S3). After accounting for species- and individual-specific ontogenetic trends with tree diameter, $D_h$ did not change significantly with time for all species except for *F. sylvatica*, where a decline in $D_h$ over the course of the study interval was clearly visible in the detrended raw data (Fig. S2). This is mirrored in the parameter estimates of the estimated coefficients of linear trends with time including their 95% bootstrap-based confidence intervals and approximate bootstrapped p-values based on 2500 parametric bootstrap samples. Stars indicate significance level (*$p < 0.05$; **$p < 0.01$; ***$p < 0.001$)

Fig. 4 Left: estimates of long-term changes in hydraulically weighted vessel diameter ($D_h$, µm; a), vessel density (VD, n mm$^{-2}$; b), potential conductivity ($K_p$, kg m$^{-1}$ MPa$^{-1}$ s$^{-1}$; c) and tree-ring width (TRW, mm; d) for the five species based on the linear mixed-effects model predictions after correcting for tree size effects and the effect of CWB (ring-porous species separated by wood type). Given are
the linear time effects, which indicate a significant long-term decline in $D_h$ only for $F. sylvatica$ ($p=0.01$; Fig. 4a). For this species, predicted $D_h$ declined by $-2.35\%$ per decade (Fig. 4). While a trend of increasing $D_h$ with time was apparent for both Acer species as well as the early-wood of $F. excelsior$ (Fig. S2), this temporal effect was not significant (Fig. 4).

VD decreased with tree diameter for $F. sylvatica$ ($p<0.05$), while it did not show any significant size effects for any other species, besides an increase in the latewood of $Q. petraea$ ($p<0.01$). While VD showed a much more pronounced response to short-term signals than $D_h$ (cf. Fig. S2), there was no evidence for long-term changes in VD for any of the species except for $F. sylvatica$.

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**Fig. 5** Effect of the climatic water balance (CWB) on hydraulically-weighted vessel diameter ($D_h$, µm; a), vessel density (VD, n mm$^{-2}$; b), potential conductivity ($K_p$, kg m$^{-1}$ MPa$^{-1}$ s$^{-1}$; c) and tree-ring width (TRW, mm; d) for the five species based on the linear mixed-effects model predictions (ring-porous species separated by wood type). Given are the relative effects of the CWB of the current and previous growing season as well as the previous winter (back-transformed and expressed as percent change per SD of CWB) including their 95\% bootstrap-based confidence intervals and approximate bootstrap $p$ values based on 2500 parametric bootstrap samples. Stars indicate significance level (*$p<0.05$; **$p<0.01$; ***$p<0.001$). Positive effects indicate an increase in response to higher CWB values (i.e. less dry conditions).
Table 4 Pearson correlation coefficients for within-stand correlation between hydraulically weighted vessel diameter ($D_h$, µm), vessel density ($VD$, n mm$^{-2}$) and tree-ring width (TRW, mm).

| Species/woodtype | Stand 1 | | Stand 2 | | Stand 3 |
|------------------|---------|-------|---------|-------|---------|
|                  | $D_h$~VD | TRW~$D_h$ | TRW~VD | $D_h$~VD | TRW~$D_h$ | TRW~VD |
| $F. sylvatica$   | $-0.166$ | 0.411 | $-0.655$ | $-0.386$ | 0.206 | $-0.661$ | $-0.648$ | 0.393 | $-0.641$ |
| $A. platanoides$ | $-0.273$ | 0.275 | $-0.638$ | $-0.596$ | 0.428 | $-0.665$ | $-0.257$ | 0.048 | $-0.446$ |
| $A. pseudoplatanus$ | $-0.538$ | 0.445 | $-0.417$ | $-0.417$ | 0.493 | $-0.651$ | $-0.615$ | 0.037 | $-0.554$ |
| $F. excelsior$ EW | $-0.766$ | 0.216 | $-0.479$ | $-0.766$ | 0.216 | $-0.479$ | $-0.766$ | 0.216 | $-0.479$ |
| $F. excelsior$ LW | 0.008 | 0.091 | $-0.740$ | 0.008 | 0.091 | $-0.740$ | 0.008 | 0.091 | $-0.740$ |
| $Q. petraea$ EW  | $-0.442$ | 0.099 | $-0.366$ | $-0.651$ | 0.082 | $-0.173$ | $-0.652$ | 0.040 | $-0.454$ |
| $Q. petraea$ LW  | 0.027 | $-0.067$ | $-0.438$ | 0.027 | $-0.067$ | $-0.438$ | 0.027 | $-0.067$ | $-0.438$ |

Types of correlations are specified in the sub-headers. For the ring-porous species ($F. excelsior$ and $Q. petraea$), earlywood (EW) and latewood (LW) in each tree ring were treated separately.

Fig. 6 Short-term changes in hydraulically weighted vessel diameter ($D_h$, µm; left), and vessel density ($VD$, n mm$^{-2}$; middle), and in potential conductivity ($K_p$; kg m$^{-1}$ MPa$^{-1}$ s$^{-1}$; right) for the five species based on the linear mixed-effects model predictions (ring-porous species were separated by wood type, i.e. early- and latewood). Given is how much each year differs from an ‘average year’ in percentage based on the combined effects of CWB in the current and previous growing season as well as the previous winter, plus random year effects.
For the latter species, the expected VD increased by 6.80% per decade over the course of the study interval. Notably, the pronounced long-term change in both $D_h$ and VD of *F. sylvatica* was not accompanied by a significant change in $K_p$, which did not show any significant long-term change except in the latewood of *Q. petraea* ($p < 0.05$; Fig. 4c, S3). However, a tendency of declining TRW with time was present in all species except for *A. pseudoplatanus* (Fig. S4), and for both *F. sylvatica* and *A. platanoides*, the linear decline of TRW with time was highly significant ($p < 0.01$ in both cases; Fig. 4d).

**Climatic effects on wood production and vascular properties**

The response of the wood anatomical properties to changes in the CWB was highly species- and wood type-specific (Figs. 5, 6). Notably, *F. sylvatica* was the only species showing a significant decrease in $D_h$ in response to a more negative CWB at all studied time lags, i.e. responding both to the current and previous growing season’s CWB as well as the CWB of the previous winter ($p < 0.01$ in all cases; Fig. 5a). In contrast, the other two diffuse-porous species, *A. platanoides* and *A. pseudoplatanus*, were both significantly influenced by the current year’s CWB only ($p < 0.05$ and $p < 0.001$, respectively; Fig. 5a). The response of the $D_h$ of ring-porous species to the CWB differed strongly between wood types, with a high uncertainty in the parameter estimates for the latewood driven by frequently observed small ring widths (Fig. 5a). The earlywood vessel diameter of *F. excelsior* was reduced in the years after growing seasons with low water availability ($p < 0.01$), while its latewood vessel diameter was marginally higher in dry years ($p < 0.1$; Fig. 5a). *Q. petraea* unexpectedly increased its earlywood $D_h$ in the years after dry summers ($p < 0.01$), while its latewood $D_h$ was higher after dry winters ($p < 0.001$).

VD tended to respond more strongly to climate than $D_h$ (cf. Fig. S2). Our model indicated increases of VD in the wood produced in years with dry growing periods as well as in the following years for all studied diffuse-porous species ($p < 0.05$; Fig. 5b). For *F. excelsior*, earlywood vessel density was not affected by CWB, while latewood vessel density was increased in years with dry growing seasons ($p < 0.001$). In *Q. petraea*, the earlywood VD increased in the years after years with dry growing seasons ($p < 0.05$), while the VD of its latewood increased both in years with dry growing seasons and after dry winters ($p < 0.05$; Fig. 5b).

Notably, the stronger response of VD to a reduced CWB compared to $D_h$ resulted in increases in $K_p$ for at least some time lags and wood types for all species except for *F. sylvatica*. This species was the only one to suffer a significant reduction in $K_p$ in response to low values of CWB ($p < 0.01$; Fig. 5c). In either case, it is questionable how much the trees benefitted from the increase in $K_p$, as the observed changes in wood anatomy were accompanied by a decline of TRW with a low CWB ($p < 0.001$) for all species except for *Q. petraea* (Figs. 5d, 7).

**Discussion**

**Radial trends in stem wood anatomical properties**

In both gymnosperm and angiosperm trees, conduit size at the trunk base generally tends to increase with diameter growth, at least during the first years of life (Spicer and Gartner 2001; Christensen-Dalsgaard et al. 2008;
Li et al. 2019). The trees of our sample were on average 105.0 ± 2.6 years old (mean ± SE), which means that we might have missed pronounced age effects on vessel dimensions by excluding the first ~45 years from our analysis. From the five tree species of our sample, only two showed a significant increase in vessel diameter by approximately 5% per 10 cm radial growth, namely *F. sylvatica* and *Q. petraea*. In general, trees respond to the higher water demand of an expanding crown, increasing flow resistances and a larger gravitational force when growing tall by increasing conduit diameters at the stem base (Lachenbruch et al. 2011). This increase in conduit size, especially during juvenile wood formation, is thought to be a function of cambial ageing (Gartner 1995; Krief et al. 2017; Li et al. 2019) and resulting changes in auxin concentration during vascular differentiation (Aloni 1987). While conduit size might increase constantly with age in some species, several studies observed that conduit size remained either constant or that the radial increase in conduit size slowed down after approximately 30 years, which agrees with our results (Leal et al. 2006, 2011; Fan et al. 2009).

According to our model, the vessel diameters of *F. sylvatica* were significantly larger and vessel density lower in larger trees in a given year, indicating significant ontogenetic changes in these variables. However, these ontogenetic trends were overlain by significant long-term changes in the opposite direction. As a consequence, newly formed wood in trees of a given diameter contained smaller vessels (reduction by 2.35% per decade) but at higher numbers (increase in vessel density by 6.80% per decade), which was accompanied by a constant decline in tree-ring width (see also Zimmermann et al. 2015). While our model allowed to separate these counteracting patterns, which are masked by the close dependence of DBH on time (see Methods section), there was a large uncertainty in the estimates obtained for all effects of time and DBH. This results from the high correlation between the two predictors of $\rho = 0.69$ (Pearson correlation, notably under the conventional collinearity threshold of $\rho = 0.7$; cf. Dormann et al., 2013), which results in correlated parameter estimates and ultimately wider confidence bounds for these parameters (cf. Fig. 4, Table S3).

In agreement with our second hypothesis, *F. sylvatica* was the only species showing a pronounced modification of its stem hydraulic system during the past decades, which confirms the anticipated drought-sensitivity of this species (e.g. Rennenberg et al. 2004; Leuschner 2009). On the other hand, our results might also be interpreted as evidence for a relatively high plasticity of the stem hydraulic system of this species, which is in agreement with former studies on branch hydraulic properties (Wortemann et al. 2011; Schuldt et al. 2016; Lübbe et al. 2017; Stojnic et al. 2018). Yet, it remains unclear whether a higher hydraulic plasticity and acclimation potential of *F. sylvatica* is sufficient to cope with a future increase in climatic aridity. After the 2018/2019 extreme drought, elevated mortality rates have been reported especially in stands with low soil–water holding capacity throughout Central Europe, which are a clear indicator of vulnerability (Schuldt et al. 2020).

**Climatic effects on xylem anatomical and derived hydraulic properties**

Studies with various tree species have demonstrated a direct effect of plant-available water on wood anatomical properties when different sites are compared. Decreasing water availability typically causes the formation of smaller xylem vessels and higher vessel densities (Carlquist 1977; Sterck et al. 2008; Chenlemuge et al. 2014; Pfautsch et al. 2016), but part of these observations might result from differences in tree size along the studied environmental gradients (Olson and Rosell 2013, Olson et al. 2014). A consequence of reduced vessel diameters is an increased resistance against drought-induced hydraulic failure, as it has been found in the gradient study of Schuldt et al. (2016) and the drought experiment of Lübbe et al. (2017). Our study results, in contrast, do only partly support the assumed strong relationship between water availability and corresponding xylem anatomy. Although we observed a distinct adjustment of anatomical properties to inter-annual change in climatic conditions, modifications in the hydraulic architecture across the precipitation gradient were less clear, and site effects were rarely significant in our models (cf. Table S3). Because we selected trees of comparable height and thus flow path length at all three sites, a lacking vessel-climate relationship comes not as a surprise (e.g. Lechthaler et al. 2019).

In contrast to the precipitation gradient study, our long-term wood anatomical time series revealed a strong influence of current year’s climate on vessel diameter (diffuse-porous species only) and vessel density (diffuse-porous species and the latewood of ring-porous species) in agreement with our first hypothesis. A strong influence of spring or early summer precipitation on vessel diameter has been found for ring-porous *Quercus* species (García-González and Eckstein 2003; Fonti and García-González 2008) as well as for diffuse-porous *F. sylvatica* (Sass and Eckstein 1995; Schuldt et al. 2016). Accordingly, trees produce sapwood with less vessels but larger diameters in moist summers that allows supporting a larger leaf area and maintaining a more favourable leaf water status. For most species, these anatomical modifications are directly related to an increase in tree-ring width. Similar patterns have been observed by Corcuera et al. (2004), who observed the formation of narrow tree-rings characterized by small vessels during drought periods in *Quercus ilex*. In *Q. petrea*, however, we observed an increase, and not decrease, in earlywood vessel diameter in years following dry summers, and this species’ tree-ring...
width was least affected by the previous year’s cumulative water balance. Although this finding seems counterintuitive at first glance, the observed time-lag effect supports recent findings of drought recovery in oaks. For example, Andereg et al. (2015) report strong positive legacy effects at dry oak sites, indicating that these ring-porous angiosperms are able to increase, and not decrease, stem growth after drought exposure. Due to the close positive relationship between tree ring-width and vessel diameter, our results are in line with these findings and confirm the speculation by Kannenberg et al. (2019). The authors assumed that it would be easier for ring-porous species to restore hydraulic functioning after drought exposure due to the formation of new and large earlywood vessels at the beginning of the following growing season.

In agreement with the observed long-term trends in *F. sylvatica*, this species was the only one additionally responding to the climatic conditions during the last winter and former growing season, and the only diffuse-porous species whose potential conductivity declined in response to dry conditions. As discussed above, this might either be indicative for the assumed marked drought-sensitivity of this species, or point toward a high degree of stem wood hydraulic plasticity (e.g. Schuldt et al. 2016). Because *F. sylvatica* showed severe drought symptoms and high mortality rates after the 2018/19 Central European drought event (Schuldt et al. 2020), the first assumption currently seems more likely. In agreement hereon, Walthert et al. (2020) recently described *F. sylvatica* as a drought vulnerable tree species, mostly because it still transpires high amounts of water despite pronounced levels of drought-induced embolism.

**Species differences in wood anatomy and contrasting strategies of diffuse- and ring-porous species**

A main selecting force during the evolution of the hydraulic systems of trees is hydraulic efficiency, i.e. the capacity for maximum water transport under conditions of ample moisture availability. Across the three diffuse-porous species of our sample, potential hydraulic conductivity (*Kₚ*) was surprisingly similar despite pronounced differences in the lumen-to-sapwood area ratio and vessel density. In contrast, *Kₚ* was up to 30-times larger in the earlywood of the ring-porous species. However, given that ring-porous species mainly use the youngest ring for water transport (Ellmore and Ewers 1986; Kitin and Funada 2016), the diffuse-porous species with much deeper sapwood can achieve a similarly large, or even higher, stem wood conductance if the number of hydroactive rings is sufficiently large. As a consequence, growth rates were comparable between diffuse- and ring-porous species (22.4 versus 21.6 cm² year⁻¹, respectively). On the other hand, water storage in the stem as a means of buffering periods of drought stress in the tree canopy is of much greater relevance in diffuse- than ring-porous temperate tree species (Betsch et al. 2011; Köcher et al. 2012). In drought-sensitive diffuse-porous species such as *F. sylvatica*, reduced diameter growth in episodes of drought reduces *Kₚ* and thus canopy water supply. In the long-term, this will reduce stem water storage capacity and might eventually result in a downward spiral of reductions in hydraulic efficiency, supported foliage and productivity.

Our data highlight the fundamentally different hydraulic systems of diffuse- and ring-porous trees, which are most easily recognized by contrasting vessel diameters and have implications for growth processes and drought sensitivity. Yet, the functional consequences of these differences are not well understood (McCulloh et al. 2010). By restricting water transport mostly to the current-year growth ring, ring-porous species accept the loss of functionality of their highly efficient vessels after drought- or frost-exposure. However, both physiological and dendrochronological studies have revealed a rather low drought sensitivity of the ring-porous species of our sample (e.g. Leuschner et al. 2001; Köcher et al. 2009; Scherrer et al. 2011; Härdtle et al. 2013). More research is needed to understand why ring-porous trees tolerate the loss of functionality of their large earlywood vessels but still achieve a sufficient degree of hydraulic safety and growth maintenance under drought exposure. One might speculate that the hydraulic efficiency of the latewood is sufficient to supply the canopy in late summer after earlywood vessels have been embolized. These might mainly be needed to restore hydraulic functioning of the canopy in spring and for foliage development. In agreement with this assumption, *Kₚ* was comparable between diffuse-porous species and the latewood of the ring-porous species (23.1 versus 28.9 kg m⁻¹ MPa⁻¹ s⁻¹, respectively).

**Evaluating the significance of radial vessel features for complementing tree-ring width data**

Despite recent developments in low-cost computerized image-analysis systems, studying radial vessel features remains labour-intensive. While some authors conclude that radial vessel features add more information to the climatic signal captured by tree-ring width data (Campelo et al. 2010; Novak et al. 2013; Rita et al. 2016), others do not see any benefit (Pourahmadi et al. 2011; Oladi et al. 2014). According to our analysis, radial vessel features are sensitive to changes in water availability and their response indeed is in a similar order of magnitude as tree-ring width data. However, wood anatomical responses to the climatic water balance are species-specific, likely because similar adjustments to the hydraulic system can result from changes in different wood anatomical traits. This makes radial vessel features highly valuable for predicting changes in the
hydraulic behaviour of co-existing tree species. In the scope of ongoing climate change, such data will gain increasing importance for quantifying the hydraulic plasticity of different species. Due to a large amount of labour needed, however, this type of studies is likely not suited to improve climate reconstructions, which preferably should be based on tree-ring width data only.

Conclusions

By combining dendrochronological time-series analysis with radial vessel features, we were able to reconstruct the development of the trees’ stem hydraulic system over six decades and to search for climatic determinants of xylem properties. Our data show that radial vessel features are highly sensitive to changes in water availability and that their climate responses are in a similar order of magnitude as that of tree-ring width data. In contrast to the tree-ring width data, however, different species-specific wood anatomical adjustments may result in the same hydraulic efficiency. In consequence, the time lag, magnitude and direction of the response of wood anatomical traits to climate was highly species-specific.

All diffuse-porous species suffered a decline in vessel diameter in dry years, accompanied by an increase in vessel density, followed by reduced growth in this and/or the subsequent year. However, the response of *F. sylvatica* strikingly differed from that of the other species. It was the only species with (a) significant long-term change in key anatomical traits, (b) reduced potential hydraulic conductivity in response to both dry vegetation periods and dry winters, and (c) a significant drought response of hydraulic vessel diameter over all studied time lags. In consequence, *F. sylvatica* showed both the largest long-term decrease in tree-ring width and the largest ring width reduction in and after dry years.

The comparison of five tree species with different drought sensitivity and ring- or diffuse-porous xylem anatomy revealed an impressive diversity in stem hydraulic properties of the co-existing species. Although $K_p$ differed by an order of magnitude between diffuse- and ring-porous species, it was surprisingly similar after excluding the ring-porous earlywood.

We conclude that long-term reconstruction of xylem anatomical and derived hydraulic properties can add substantially to the understanding of tree growth responses to a changing climate. A particularly promising approach for future tree hydraulics research would be to apply the methods outlined here to a larger set of ring- and diffuse-porous tree species to compare the drought response of these two functionally different tree groups in a systematic way.

Author contribution statement CI, BS and MH designed the study, JZ collected the field samples, performed all measurements and carried out a first analysis of the data, which was improved by RML who developed the final model, performed the statistical analysis and rewrote the results chapter. JZ, CL and BS wrote the first version of the manuscript, which was intensively discussed and revised by all authors.

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Data accessibility All data used in this manuscript are present in the manuscript and its supporting information.

Code availability The code will be made available upon request, please contact RML (roman.link@plant-ecology.de).

Compliance with ethical standards

Conflict of interest The authors declare no competing interests.

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