INTRODUCTION

The conservation of the number of baryons ($B$) in any reaction is an empirical symmetry of the Standard Model that is not the result of any fundamental principle. Hence, there are numerous reasons to consider its violation ($\hat{B}$). Theories that unify the strong and electroweak forces naturally include $\hat{B}$. It is expected that quantum gravity theories will violate $B$ or any similar global symmetry. Theories with extra dimensions permit particle disappearance, and nucleon decay can be induced via interactions with dark matter as manifest in asymmetric dark matter theories. $\hat{B}$ is also one of the Sakharov requirements to explain the matter-antimatter asymmetry of the Universe. These topics and the possibility of $B$ are reviewed in Ref. [1] and references therein. Therefore, the scientific motivation for studying $\hat{B}$ is compelling. The breadth of model possibilities is very broad, however, indicating that many complementary search techniques could help elucidate the question.

The Standard Model with small neutrino masses has an anomaly-free $Z_6$ symmetry that acts as discrete $B$ [2].
In this model $\Delta B=1$ or 2 processes are forbidden, but $\Delta B=3$ transitions can arise due to a dimension 15 operator. When undergoing a $\Delta B=3$ tri-nucleon decay, three baryons disappear from the nucleus, frequently leaving an isotope that is unstable. Previous searches in Xe isotopes [3, 4] and $^{127}$I [5] looked for invisible decay channels assuming no observation of the initial tri-nucleon decay or disappearance. Only the decay of the unstable product was sought as evidence for the process. Other groups considered invisible $\Delta B=2$ decays with limits reported in Refs. [6–13]. Results for $\Delta B=2, 3$ decays from the MAJORANA DEMONSTRATOR are presented here for invisible channels and for decay-specific modes.

The dominant decay modes for $\Delta B=3$ are given in Ref. [2] as

$$ppp \rightarrow e^+\pi^+\pi^+$$
$$ppn \rightarrow e^+\pi^+$$
$$pmn \rightarrow e^+\pi^0$$
$$nnn \rightarrow \bar{\nu}\pi^0.$$

The resulting daughter nuclei for these processes in $^{76}\text{Ge}$ are displayed in Fig. 1. Typical modes of decay for $\Delta B=2$ are

$$pp \rightarrow \pi^+\pi^+$$
$$pn \rightarrow \pi^0\pi^+$$
$$nn \rightarrow \pi^+\pi^-\pi^0\pi^0.$$

THE MAJORANA DEMONSTRATOR

The MAJORANA DEMONSTRATOR [15, 16] is located at a depth of 4850 ft at the Sanford Underground Research Facility in Lead, South Dakota [17]. In addition to its primary goal of searching for neutrinoless double-beta decay, its ultra low-background configuration permits additional physics studies including searches for dark matter [18], axions, and exotic physics (e.g. Ref. [19]). Two modules contain 44.1 kg of high-purity germanium P-type point-contact detectors, of which 29.7 kg have 88% $^{76}\text{Ge}$ enrichment. Fifty-eight detector units are installed in strings of three, four, or five detectors. The low energy thresholds, excellent energy resolution, reduced electronic noise, and pulse shape characteristics of the P-type point contact detectors [20–23] enable the sensitive double beta decay search. The nucleon decay analyses presented here include data taken from June 2015 until April 2018. Excluding calibration, commissioning data and data taken during intense mechanical work, the analyzed data includes 26.0 kg yr of enriched exposure and 9.45 kg yr of natural exposure [24]. The data are divided into data sets referred to as DS0 through DS6 and a detailed description of each set is given in Ref. [16]. All the analyses described here were developed on the data sets published in Ref. [16] (approximately 1/3 of the total) and then executed on the full data sets after unblinding. The data blinding scheme parses the data into open (25% of run time) and blind (75%) partitions [24].

The DEMONSTRATOR records every pulse with two digitizer channels with different amplifications to permit studies of the energy spectrum from below 1 keV to above 10 MeV. This work analyzes the spectrum from 100 keV to saturation (about 11 MeV). Energy deposits above saturation are recorded within an overflow channel and identified with a dedicated tag.

TRI-BARYON DECAY IN GE ISOTOPES

Due to the enrichment of the Ge in the DEMONSTRATOR, the isotope $^{76}\text{Ge}$ has the largest exposure and dominates the sensitivity to $B$. Therefore we describe the analysis of the tri-proton decay channel of $^{76}\text{Ge}$ in some detail here as an example. All searched-for signatures are summarized in Table I. We report results for decays of all Ge isotopes present in the DEMONSTRATOR, $^{70,72,73,74,76}\text{Ge}$.

The two analyses described here, invisible decay modes and decay-specific modes, are similar but have minor differences arising from the relative signature efficiency optimization. The signature for an invisible decay mode is the sequence of decays of the resulting unstable daughter, ignoring any potential signature from the initial disappearance of the nucleons. In the decay-specific mode searches, the decays of the unstable daughter nuclei are
sought following an initial signature from the \( B \) decay. For the \textsc{demonstrator} the most sensitive channel, in both the decay-specific and invisible modes, is the tri-proton decay of \(^{76}\text{Ge}\) to \(^{73}\text{Cu}\). The resulting \(^{73}\text{Cu}\) isotope is \( \beta \) unstable with a 4.2 s half-life and a Q-value of 6.6 MeV. Its daughter \(^{73}\text{Zn}\) is also \( \beta \) unstable with a 23.5 s half-life and a Q-value of 4.3 MeV. Since the count rate is very low in the \textsc{demonstrator} above the two-neutrino double-beta decay endpoint (2 MeV), a signature of two \( \beta \) decay candidates occurring within five half-lives (115 s) of one another, each above 2 MeV, has very little background.

We chose a high-efficiency, five half-life time window between events to select candidate delayed coincidences. The average time between events with energy greater than 100 keV in a typical \textsc{demonstrator} detector is \( \approx 3 \) h and the decays of some long-lived isotopes were not considered due to potential accidental coincidence background. To keep the expected accidental background below 1 count with our time cut criterion, only isotopes with a half-life of \(< 40 \) m were considered. This excluded consideration of the di-nucleon decays of \(^{74}\text{Ge}\), for example. In practice the longest coincidence window we considered was 105 m, corresponding to the 21 m half-life of \(^{70}\text{Ga}\).

\textbf{INVISIBLE DECAY PROCESSES}

To select candidate events for invisible decays, we remove events in coincidence with the muon veto and those that fail the delayed-charge recovery (DCR) cut. The use of the DCR cut for this subset of the analysis reduces background due to alpha particles originating from near the detector surface. We do not reject multi-detector events or those waveforms symptomatic of multi-site events. All these cuts are described in detail in Ref. \[^1\] and references therein. We then require energy and timing correlations between successive events within a lone detector to match a particular decay candidate. (See Table \[^1\])

The total efficiency \( \epsilon_{\text{tot}} \) is equal to the product of all the efficiencies due to the time correlation cuts and the energy cuts. For the invisible decay modes, we study signatures with two \( \beta \) decays. The efficiency of the cut due to the decay of the second \( \beta \) emitter is referred to as \( \epsilon_{\tau 2} \). (Note that \( \epsilon_{\tau 1} \) plays no role in the analysis of the invisible decay modes as there is no indicator for the creation of the initial nucleus. This is in contrast to the decay specific modes discussed below.) This time cut efficiency takes into account the boundaries of data acquisition periods. We define the efficiencies corresponding to the energy restrictions on the two \( \beta \) decays as \( \epsilon_{E1} \) and \( \epsilon_{E2} \) corresponding to the first and second decay respectively.

For the invisible decay modes, \( \epsilon_{\text{tot}} = \epsilon_{E1} \epsilon_{E2} \epsilon_{\text{DCR}} \), where \( \epsilon_{\text{DCR}} \) represents a delayed charge recovery (DCR) waveform cut that rejects \( \alpha \) induced signals \[^2\].

\[ T_{1/2} > \frac{\ln(2)N \tau_{\text{tot}}}{S}, \]

where \( N \) is the number of isotopic atoms within the detector active volume and \( T \) is the live time in years. We found one such candidate for \(^{76}\text{Ge}\) decay and used the Feldman-Cousins limit \[^3\] to set an upper limit on the number of events that could be assigned to the process \( S = 4.36 \) at the 90\% confidence-level half-life limit (Eqn. \[^4\]). The efficiency for this signature (\( \epsilon_{\text{tot}} = 0.257 \)) includes factors due to the fraction of the beta decays with energy greater than 2 MeV, (\( \epsilon_{E1} = 0.707, \epsilon_{E2} = 0.375 \)), and the five half-life time restriction (\( \epsilon_{\tau 3} = 0.969 \)) on the time difference between the two energy deposits, corresponding to the half-life \( \tau_2 \) in this case. Geant4 \[^5\] simulations within the MaGe \[^6\] framework were used to estimate the efficiency of the \( \beta \) decays depositing energy above the 2 MeV threshold. In addition each of the two waveforms must survive the DCR cut. This efficiency (\( \epsilon_{\text{DCR}} \sim 0.99 \) for each waveform) varies from data set to data set but is near this nominal value. We account for the variation in the calculation of the product of efficiency and exposure. We perform a similar analysis for the invisible di-proton decay of \(^{76}\text{Ge}\) and the tri-proton decay of \(^{74}\text{Cu}\). Table \[^1\] lists the 2 events which can be considered candidates for any of these three invisible decay channels. The half-life limit results are given in Table \[^1\]. Figure \[^2\] shows the delayed coincidence spectra indicating the low background for these processes once the various cuts are implemented.

\textbf{DECAY MODE SPECIFIC PROCESSES}

For decay modes specific to one of the processes in Eqns. \[^1\] and \[^2\] the signature benefits from the energy deposit of the initial decay process (\( \epsilon_0 \)) and the time correlation with the following decay of the unstable nucleus (\( \epsilon_{\tau 1} \)). The decays in Eqns. \[^1\] and \[^2\] also have significant nuclear recoil kinetic energy, up to many 10’s of MeV. A threshold of 11 MeV, chosen to lie above most of our events and near or at the digitizer saturation level, was applied to select these events. Even though edge effects can sometimes result in a modest lepton or pion energy deposit, the probability that the initial decay deposits more than 11 MeV is over 95\% for all decay channels. Therefore, there is a high probability that the event will be very distinctive. Although some saturated events arise from electrical breakdown and not physical processes, the associated waveforms are distinct from a saturated physics events and the two populations can be easily discerned by pulse shape analysis. Cosmic rays are also a source of saturated waveforms, but the veto system tags them efficiently.
For the decay-specific modes, we remove non-physical waveforms but do not apply the DCR cut. The DCR cut is unnecessary because the saturated event trigger rate is very low, significantly reducing the background. For the decay-specific modes analyses, we also require full operation of the cosmic ray veto system as candidates will have a large energy deposit that is not muon induced. In DS0, the veto system was not fully implemented and we exclude that data from this analysis. This loss of exclusion is very low, significantly reducing the background. For some processes we considered here only have one $\beta$ decay; in these cases $\epsilon_{\tau_2}$ and $\epsilon_{E_2}$ are not applicable.

There is only one event with energy > 11 MeV that meets the criteria to be a candidate. This event has a secondary energy deposition of 152 keV that follows the saturated event by 75.7 m. That event candidate matches the signature for three processes, $^{73}$Ge(pnn), $^{73}$Ge(pn), and $^{70}$Ge(nn), providing background for each. The other searched-for channels have zero candidates. The $T_{1/2}$ limits for 12 different decay-specific modes are listed in Table 11.

**DISCUSSION**

The systematic uncertainties include the exposure uncertainty (2%), uncertainty in the non-physical event removal (0.1%), uncertainty in the delayed charge recovery cut energy dependence (1%), and the statistical uncertainty of the simulated efficiencies (<1%). All of these are very small compared to the statistical uncertainty of $S$, and we ignore their contribution to the half-life limits. We find no evidence for $B$ and the best limits for the various decay-specific modes are mid $10^{25}$ yr range. The best limit for an invisible decay is for $^{76}$Ge(ppp) → $^{74}$Cu with a half-life > $7.5 \times 10^{24}$ yr.

For the di-nucleon modes, the Fréjus [6], KamLAND [10] and Super-Kamiokande [11,13] experiments have limits exceeding $10^{30}$ yr, reaching out to 4 × $10^{32}$ yr. Neutron-antineutron oscillations are also a $\Delta B=2$ test of $B$. SNO [30] reported a half-life limit for $^2$H of $1.48 \times 10^{31}$ yr and Super-Kamiokande [31] reported a half-life limit of $1.9 \times 10^{31}$ yr for $^{16}$O. The Demonstrator limits for di-nucleon modes are much less restrictive than these previous efforts because of the lower exposure. We list the results, however, in case the nuclear dependence is of interest.

It should be noted that some previous results are quoted in terms of a baryon half-life by attempting to account for the number of baryon combinations within a nucleus. Others quote a nuclear half-life. We chose the latter approach as the experimental result has less dependence on the model and interpretation. Furthermore,
our quoted limits for each decay channel assume it is the dominant decay branch. This results in a conservative upper limit on the half-life for the considered channel. For example, $^{73}$Cu could be populated by two-proton decay of $^{76}$Ge to unbound states in $^{74}$Zn, which in turn emits a proton. This process would compete with the tri-proton decay of $^{76}$Ge. We neglect such side channels and quote the conservative lower value for the limit.

The best previous limits on $3n$ decays (1.8 × 10$^{23}$ yr) [4] come from a study in iodine, which also reported results for $4n$ decay (1.4 × 10$^{23}$ yr). This paper took account of the number of baryon combinations within the same shell orbit.

The Majorana Demonstrator provides an improved limit for 3p invisible decay. The previous best limits on tri-nucleon decay come from EXO-200 [4] based on 223 kg yr of exposure. For the decay of $^{136}$Xe(ppp) → $^{135}$Sb, the limit is 3.3 × 10$^{23}$ yr. For $^{136}$Xe(ppn) → $^{133}$Te, the limit is 1.9 × 10$^{23}$ yr. The energy and time-coincidence cuts permit an event-by-event analysis in the Demonstrator, greatly reducing the background while maintaining a substantial efficiency. This results in an improved sensitivity over a spectral component fit approach.

ACKNOWLEDGMENTS

We thank Michael Graesser for discussions on baryon decay.

This material is based upon work supported by the U.S. Department of Energy, Office of Science, Office of Nuclear Physics under Award Numbers DE-AC02-05CH11231, DE-AC05-00OR22725, DE-AC05-76RL05130, DE-AC52-06NA25396, DE-FG02-97ER41020, DE-FG02-97ER41033, DE-FG02-97ER41041, de-sc0010254, de-sc0012612, de-sc0014445, and de-sc0018060. We acknowledge support from the Particle Astrophysics Program and Nuclear Physics Program of the National Science Foundation through grant numbers MRI-0923142, PHY-1003399, PHY-1102292, PHY-1206314, PHY-1614611 and PHY-1812409. We gratefully acknowledge the support of the U.S. Department of Energy through the LANL/LDRD Program and through the PNNL/LDRD Program for this work. We acknowledge support from the Russian Foundation for Basic Research, grant No. 15-02-02919. We acknowledge the support of the Natural Sciences and Engineering Research Council of Canada, funding reference number SAPIN-2017-00023, and from the Canada Foundation for Innovation John R. Evans Leaders Fund. This research used resources provided by the Oak Ridge Leadership Computing Facility at Oak Ridge National Laboratory and by the National Energy Research Scientific Computing Center, a U.S. Department of Energy Office of Science User Facility. We thank our hosts and colleagues at the Sanford Underground Research Facility for their support.

* Present address: Centro de Investigaciones Energéticas, Medioambientales y Tecnológicas, CIEMAT 28040, Madrid, Spain

[1] K. Babu et al., (2013), arXiv:1311.5285
[2] K. Babu, I. Gogoladze, and K. Wang, Phys. Lett. B 570, 32 (2003).
[3] R. Bernabei et al., Eur. Phys. J. C 7, 35 (2006).
[4] J. B. Albert et al., Phys. Rev. D 97, 072007 (2018).
[5] R. Hazama, H. Ejiri, K. Fushimi, and H. Ohsumi, Phys. Rev. C 49, 2407 (1994).
[6] C. Berger et al., Phys. Lett. B 269, 227 (1991).
[7] R. Bernabei et al., Phys. Lett. B 493, 12 (2000).
[8] H. Back et al., Phys. Lett. B 563, 23 (2003).
[9] V. Tetryak, V. Vu. Denisov, and Yu. G. Zdesenko, JETP Lett. 79, 106 (2004).
[10] T. Araki et al., Phys. Rev. Lett. 96, 101802 (2006).
[11] M. Litos et al., Phys. Rev. Lett. 112, 131803 (2014).
[12] V. Takhistov et al., Phys. Rev. Lett. 115, 121803 (2015).
[13] J. Gustafson et al., Phys. Rev. D 91, 072009 (2015).
[14] R. B. Firestone, V. S. Shirley, C. M. Baglin, S. Y. F. Pehl, IEEE Trans. Nucl. Sci. 36, 926 (1989).
[15] P. S. Barbeau, J. I. Collar, and O. Tench, JCAP 09, 009 (2007).
[16] E. Aguayo et al., (2011), SLAC eConf C110809, arXiv:1109.6913
[17] J. Heise, Journal of Physics: Conference Series 606, 012015 (2015).
[18] C. Aalseth et al., Phys. Rev. Lett. 120, 132502 (2018), arXiv:1710.11608
[19] M. Boswell et al., IEEE Trans. Nucl. Sci. 58, 1212 (2011).
[20] D. Barker, W.-Z. Wei, D.-M. Mei, and C. Zhang, Astro. Part. 48, 8 (2013).
[21] B. Aharmim et al., Phys. Rev. D 96, 092005 (2017).
[22] K. Abe et al., Phys. Rev. D 91, 072006 (2015).
TABLE I. A summary of the signatures of each decay channel for which the Majorana Demonstrator has sensitivity, specifying the energy and timing requirements for the successive decays. The invisible decay mode signatures are composed of two successive decays and hence have two energy constraints and one time constraint. The decay-mode specific signatures include an initial saturated event (not listed here), followed by one or more decays at the energies listed below. N.A. is shorthand for not applicable.

| Decay Mode | $\tau_1$ | $E_1$ | $\tau_2$ | $E_2$ |
|------------|---------|-------|---------|-------|
| $^{76}\text{Ge}(ppp) \rightarrow ^{73}\text{Cu} \rightarrow ^{75}\text{Zn}$ | N.A. | (2.0, 6.6) MeV | $\Delta T < 115$ s | (2.0, 4.3) MeV |
| $^{76}\text{Ge}(pp) \rightarrow ^{74}\text{Zn} \rightarrow ^{74}\text{Ga}$ | N.A. | (2.0, 2.3) MeV | $\Delta T < 40$ m | (2.0, 5.4) MeV |
| $^{74}\text{Ge}(ppp) \rightarrow ^{71}\text{Cu} \rightarrow ^{71}\text{Zn}$ | N.A. | (2.0, 4.6) MeV | $\Delta T < 12.5$ m | (2.0, 2.8) MeV |

TABLE II. The 2 candidate events for the invisible decays indicating processes to which they correspond. We assume each event is likely to be background for the indicated process when we calculate half-life limits. The $^{76}\text{Ge}(pp)$ and $^{76}\text{Ge}(ppp)$ processes each have 1 corresponding event. The $^{74}\text{Ge}(ppp)$ process has 2.

| Event | $E_1$ (keV) | $E_2$ (keV) | $\tau_2$ | Candidate Process(es) |
|-------|-------------|-------------|---------|----------------------|
| 1     | 4085        | 2164        | $\Delta T = 12.9$ s | $^{76}\text{Ge}(ppp)$, $^{74}\text{Ge}(ppp)$ |
| 2     | 2092        | 2353        | $\Delta T = 2.7$ m | $^{76}\text{Ge}(pp)$, $^{74}\text{Ge}(ppp)$ |
TABLE III. Efficiencies, exposures, signal upper limit and half-life limits for the modes of nucleon decay for the Ge isotopes for which the DEMONSTRATOR has an interesting sensitivity. The signal upper limit ($S$) is the Feldman-Cousins 90% upper limit ($S$) given a number of observed candidates. N.A. is shorthand for not applicable.

| Decay Mode | $\epsilon_0$ | $\epsilon_{\tau 1}$ | $\epsilon_{E1}$ | $\epsilon_{\tau 2}$ | $\epsilon_{E2}$ | $\epsilon_{tot}$ | NT$c_{tot}$ ($10^{24}$ atom yr) | Candidates | $S$ (counts) ($10^{24}$ yr) | $T_{1/2}$ |
|------------|-------------|---------------------|----------------|------------------|----------------|----------------|-----------------------------|------------|------------------------|---------|
| 76Ge(ppp) $\rightarrow$ 73Cu | N.A. | N.A. | 0.707 | 0.969 | 0.375 | 0.26 | 47.1 | 1 | 4.36 | 7.5 |
| 76Ge(pp) $\rightarrow$ 74Zn | N.A. | N.A. | 0.004 | 0.969 | 0.367 | 0.002 | 0.28 | 1 | 4.36 | 0.05 |
| 74Ge(ppp) $\rightarrow$ 71Cu | N.A. | N.A. | 0.411 | 0.969 | 0.073 | 0.03 | 1.5 | 2 | 5.91 | 0.18 |
| Invisible Decay Modes | | | | | | | | | | |
| 76Ge(ppp) $\rightarrow$ 73Cu $e^+ \pi^+ \pi^+$ | 0.998 | 0.969 | 0.996 | 0.969 | 0.990 | 0.923 | 165. | 0 | 2.44 | 47.0 |
| 76Ge(ppn) $\rightarrow$ 73Zn $e^+ \pi^+$ | 0.999 | 0.969 | 0.990 | N.A. | N.A. | 0.958 | 0.969 | 0.923 | 165. | 0 | 2.44 | 47.0 |
| 76Ge(pp) $\rightarrow$ 74Zn $\pi^+ \pi^+$ | 0.994 | 0.968 | 0.972 | 0.964 | 0.991 | 0.893 | 160. | 0 | 2.44 | 45.5 |
| 76Ge(pn) $\rightarrow$ 74Ga $\pi^0 \pi^+$ | 0.979 | 0.964 | 0.991 | N.A. | N.A. | 0.935 | 0.969 | 0.923 | 165. | 0 | 2.44 | 47.0 |
| 74Ge(ppp) $\rightarrow$ 71Cu $e^+ \pi^+ \pi^+$ | 0.998 | 0.969 | 0.993 | 0.969 | 0.982 | 0.912 | 46.6 | 0 | 2.44 | 13.2 |
| 74Ge(pnn) $\rightarrow$ 71Zn $e^+ \pi^+$ | 0.999 | 0.967 | 0.982 | N.A. | N.A. | 0.949 | 0.969 | 0.923 | 165. | 0 | 2.44 | 13.8 |
| 74Ge(ppn) $\rightarrow$ 71Zn $e^+ \pi^+$ | 0.998 | 0.968 | 0.996 | N.A. | N.A. | 0.963 | 0.969 | 0.923 | 165. | 0 | 2.44 | 1.5 |
| 74Ge(pnn) $\rightarrow$ 70Ga $e^+ \pi^0$ | 0.999 | 0.958 | 0.867 | N.A. | N.A. | 0.830 | 0.867 | 0.996 | 165. | 0 | 2.44 | 47.0 |
| 74Ge(pp) $\rightarrow$ 71Zn $\pi^+ \pi^+$ | 0.994 | 0.967 | 0.982 | N.A. | N.A. | 0.944 | 0.969 | 0.923 | 165. | 0 | 2.44 | 13.2 |
| 72Ge(ppp) $\rightarrow$ 69Cu $e^+ \pi^+ \pi^+$ | 0.998 | 0.967 | 0.973 | N.A. | N.A. | 0.940 | 0.969 | 0.923 | 165. | 0 | 2.44 | 13.2 |
| 72Ge(pnn) $\rightarrow$ 70Ga $\pi^0 \pi^+$ | 0.979 | 0.958 | 0.867 | N.A. | N.A. | 0.813 | 0.867 | 0.996 | 165. | 0 | 2.44 | 13.2 |
| 70Ge(nnn) $\rightarrow$ 67Ge $\nu\pi^0$ | 0.952 | 0.959 | 0.972 | N.A. | N.A. | 0.887 | 0.959 | 0.972 | 165. | 0 | 2.44 | 13.2 |