A Real-World Study on the Effectiveness and Safety of Pembrolizumab Plus Chemotherapy for Nonsquamous NSCLC

Daichi Fujimoto, MD, a,b Satoru Miura, MD, PhD, c,d Kenichi Yoshimura, MD, PhD, e Kazushige Wakuda, MD, f Yuko Oya, MD, g Koji Haratani, MD, PhD, h Shoichi Itoh, MD, h Takehiro Uemura, MD, PhD, i Ryoataro Morinaga, MD, PhD, j Takayuki Takahama, MD, PhD, k Kazushige Nakashima, MD, l Motoko Tachihara, MD, PhD, m Go Saito, MD, n Junko Tanizaki, MD, PhD, o Kohei Otsubo, MD, PhD, p Satoshi Ikeda, MD, q Hirotaka Matsumoto, MD, r Satoshi Hara, MD, s Akito Hata, MD, t Takeshi Masuda, MD, PhD, u Nobuyuki Yamamoto, MD, PhD a

Disclosure: The author(s) declare the following financial interests/personal relationships which might be considered as potential conflicts of interest. Fujimoto reports receiving grants and personal fees from AstraZeneca KK and Boehringer Ingelheim Japan Inc. and personal fees from Ono Pharmaceutical Co. Ltd., Bristol-Myers Squibb Co. Ltd., Taiho Pharmaceutical Co. Ltd., Chugai Pharmaceutical Co. Ltd., Merck Sharp & Dohme KK, Eli Lilly Japan KK, and Novartis Pharma K outside of the submitted work. Miura reports receiving personal fees from AstraZeneca KK, Bristol-Myers Squibb Co. Ltd., Boehringer Ingelheim Japan Inc., Merck Sharp & Dohme KK, Eli Lilly Japan KK, Ono Pharmaceutical Co. Ltd., Chugai Pharmaceutical Co. Ltd., and Taiho Pharmaceutical Co. Ltd. outside of the submitted work. Yoshimura reports receiving personal fees from AstraZeneca KK, Chugai Pharmaceutical, Eisai, Otsuka Pharmaceutical, Nihon Kayaku, Eli Lilly Japan KK, Taiho Pharmaceutical, Novartis Pharma KK, Boehringer Ingelheim Japan Inc., and Nihon Shinyaku outside of the submitted work. Wakuda reports receiving grants and personal fees from Chugai Pharmaceutical. and AstraZeneca KK; grants from Novartis Pharma KK and AbbVie; and personal fees from Taiho Pharmaceutical, Boehringer Ingelheim Japan Inc., Eli Lilly KK, Ono Pharmaceutical, and Merck Sharp & Dohme KK outside of the submitted work. Oya reports receiving personal fees from AstraZeneca KK, Ono Pharmaceutical, Takeda Pharmaceutical Co. Ltd., Taiho Pharmaceutical Co. Ltd., Chugai Pharmaceutical Co. Ltd., Amgen Co. Ltd., Daiichi Sankyo Co. Ltd., and Eli Lilly Japan KK outside of the submitted work. Haratani reports receiving grants and personal fees from AstraZeneca KK and Merck Sharp & Dohme KK and personal fees from AS ONE Corporation, Bristol-Myers Squibb Co. Ltd., Chugai Pharmaceutical Co. Ltd., and Ono Pharmaceutical Co. Ltd. outside of the submitted work. Uemura reports receiving grants from Eli Lilly Japan KK and personal fees from AstraZeneca KK, Ono Pharmaceutical Co. Ltd., Bristol-Myers Squibb Co. Ltd., Taiho Pharmaceutical Co. Ltd., Chugai Pharmaceutical Co. Ltd., and Boehringer Ingelheim Japan Inc. outside of the submitted work. Takahama reports receiving personal fees from AstraZeneca KK, Roche Diagnostics KK, Boehringer Ingelheim Japan Inc., Chugai Pharmaceutical Co. Ltd., and Eli Lilly Japan KK outside of the submitted work. Nakashima reports receiving personal fees from Roche Diagnostics KK, Boehringer Ingelheim Japan Inc., Chugai Pharmaceutical Co. Ltd., and Eli Lilly Japan KK outside of the submitted work. Oya reports receiving personal fees from AstraZeneca KK, Ono Pharmaceutical Co. Ltd., Bristol-Myers Squibb Co. Ltd., Chugai Pharmaceutical Co. Ltd., Boehringer Ingelheim Japan Inc., Eli Lilly Japan KK, Novartis Pharma KK, and Nihon Kayaku KK outside of the submitted work. Saito reports receiving personal fees from AstraZeneca KK, Ono Pharmaceutical Co. Ltd., Bristol-Myers Squibb Co. Ltd., Chugai Pharmaceutical Co. Ltd., and Novartis Pharma KK outside of the submitted work. Tanizaki reports receiving personal fees from AstraZeneca KK, Boehringer Ingelheim Japan Inc., Eli Lilly Japan KK, Novartis Pharma KK, and Nihon Kayaku KK outside of the submitted work. Itoh reports receiving personal fees from AstraZeneca KK, Ono Pharmaceutical Co. Ltd., Bristol-Myers Squibb Co. Ltd., Boehringer Ingelheim Japan Inc., Eli Lilly Japan KK, Novartis Pharma KK, and Nihon Kayaku KK outside of the submitted work. Tachihara reports receiving personal fees from AstraZeneca KK, Ono Pharmaceutical Co. Ltd., Bristol-Myers Squibb Co. Ltd., Chugai Pharmaceutical Co. Ltd., Boehringer Ingelheim Japan Inc., Eli Lilly Japan KK, Novartis Pharma KK, and Nihon Kayaku KK outside of the submitted work. Ikeda reports receiving personal fees from AstraZeneca KK, Ono Pharmaceutical Co. Ltd., Bristol-Myers Squibb Co. Ltd., and Boehringer Ingelheim Japan Inc. outside of the submitted work. Matsumoto reports receiving personal fees from AstraZeneca KK, Ono Pharmaceutical Co. Ltd., and Boehringer Ingelheim Japan Inc. outside of the submitted work. Masuda reports receiving grants from Merck Sharp & Dohme KK and personal fees from Kyowa Kirin Co. Ltd., Nippon Boehringer Ingelheim, Boehringer Ingelheim Inc., Eli Lilly Japan KK, and personal fees from AstraZeneca KK, Ono Pharmaceutical Co. Ltd., Bristol-Myers Squibb Co. Ltd., Chugai Pharmaceutical Co. Ltd., Eli Lilly Japan KK, Taiho Pharmaceutical Co. Ltd., Chugai Pharmaceutical Co. Ltd., and AstraZeneca KK outside of the submitted work. Yamamoto reports receiving personal fees from Merck Sharp & Dohme KK, AstraZeneca KK, Ono Pharmaceutical Co. Ltd., Thermo Fisher Scientific, Daiichi Sankyo Co. Ltd., Takeda Pharmaceutical Co. Ltd., Chugai Pharmaceutical Co. Ltd., Eli Lilly Japan KK, Boehringer Ingelheim Japan Inc., Novartis, Pfizer Inc., Bristol-Myers Squibb Co. Ltd., Nippon Kayaku Co. Ltd., GlaxoSmithKline KK, Sano KK, Hisamitsu Pharmaceutical Co. Inc., Merk Biopharma, Life Technologies Japan Ltd., Amgen Inc., Guardant Health Japan, and Janssen Pharmaceutical KK and a leadership or fiduciary role in The Japan Lung Cancer Society, Japanese Association of Supportive Care in Cancer, and West Japan Oncology Group. The remaining authors declare no conflict of interest.

Address for correspondence: Satoru Miura, MD, PhD, Department of Internal Medicine, Niigata Cancer Center Hospital, 2-15-3 Kawagishi-cho, Chuo-ku, Niigata 951-8566, Japan. E-mail: miusat1118@niigata-cc.jp

Cite this article as: Fujimoto D, Miura S, Yoshimura K, et al. A real-world study on the effectiveness and safety of pembrolizumab plus chemotherapy for nonsquamous NSCLC. JTO Clín Res Rep. XXX;X:XXXX. doi:10.1016/j.jtocrr.2021.100265

© 2021 The Authors. Published by Elsevier Inc. on behalf of the International Association for the Study of Lung Cancer. This is an open access article under the CC BY license (http://creativecommons.org/licenses/by/4.0/).

ISSN: 2666-3643

https://doi.org/10.1016/j.jtocrr.2021.100265
ABSTRACT

Introduction: The real-world effectiveness of combination treatment with cytotoxic chemotherapy and programmed cell death protein-1 or programmed death-ligand 1 inhibitor for NSCLC, especially for the elderly (aged ≥75 y) or those with poor performance status (≤2), has not been fully elucidated. We investigated the real-world effectiveness and safety of this combination therapy in these populations.

Methods: This multicenter retrospective study evaluated patients who are chemo-naïve with advanced NSCLC who received a combination of platinum, pemetrexed, and pembrolizumab between December 2018 and June 2019. This was an updated prespecified secondary analysis with the primary objective of investigating the safety and effectiveness in this cohort.

Results: Overall, 299 patients were included. Multivariate analysis identified performance status (0–1) and programmed death-ligand 1 tumor proportion score (≥50%) as significant independent predictors of progression-free survival (p = 0.007, and p = 0.003, respectively). The incidence of severe adverse events (AEs) was higher in the elderly and those with poor performance status than in their younger and good performance status counterparts. A total of 71 patients developed AEs that led to treatment discontinuation, and AE-related treatment discontinuation occurred at a significantly higher rate in older patients (median [range]) (70 [46–82] y) than in younger patients (68 [31–84] y) (p < 0.001).

Conclusions: Combination treatment with pembrolizumab plus chemotherapy had low real-world effectiveness for poor performance status patients. Severe AEs occurred at a higher rate in the elderly and poor performance status patients, and the AE-related treatment discontinuation rate increased with age. Physicians should be cautious about using this regimen, especially in the elderly and poor performance status patients.

Keywords: Immune checkpoint inhibitor; Pembrolizumab; Pneumonitis; Programmed Death-1; Programmed Death-Ligand 1

Introduction

Lung cancer is the leading cause of cancer-related deaths worldwide.1 NSCLC accounts for approximately 80% of all lung cancers, and most NSCLC cases are unresectable and metastatic at initial diagnosis.2 The development of immune checkpoint inhibitors, such as programmed cell death protein-1 (PD-1) and programmed death-ligand 1 (PD-L1), has markedly changed the treatment strategy for NSCLC. The addition of the PD-1 inhibitor, pembrolizumab, to the combination of a platinum agent and pemetrexed has become a standard first-line treatment for patients with previously
untreated metastatic nonsquamous NSCLC without driver oncogenes.\(^3\)

The eligibility criteria in recent clinical trials have become more stringent to establish treatment efficacy.\(^4,5\) Therefore, only a few patients in a relatively good general condition without organ failure meet the eligibility criteria for clinical trials.\(^3,5\) As such, the outcomes of clinical trials are not entirely representative of those in real-world patients. Efficacy is investigated in these ideal settings to minimize potential bias affecting the internal validity of an intervention’s effects on the outcome through randomization and stratification. Alternatively, effectiveness refers to the treatment performance in a real-world setting with high external validity through observational studies.\(^6\) Specifically, there is scarce evidence regarding the safety and effectiveness of combination therapy in underrepresented populations, such as the elderly or those with poor performance status (PS). In participants aged more than or equal to 75 years in the KEYNOTE-189 trial,\(^7\) a trend toward lower effectiveness of chemotherapy plus pembrolizumab was noted with an apparent detrimental effect (hazard ratio [HR]: progression-free survival [PFS], 1.73 [95% confidence interval (CI): 0.77–3.90]; overall survival [OS], 2.09 [95% CI: 0.84–5.23]).

PD-1 axis inhibitors can clinically cause inflammatory side effects (i.e., immune-related adverse events [irAEs]) that differ from those related to conventional systemic therapy. Severe irAEs are problematic because they can lead to difficulties in subsequent therapy and be potentially life-threatening.\(^8,9\) The feasibility of the combination of a platinum agent, pemetrexed, and pembrolizumab was shown in the KEYNOTE-189 trial.\(^3\) However, the frequency of adverse events (AEs) tended to be higher in patients receiving combination therapy than in those receiving pembrolizumab monotherapy or platinum-doublet chemotherapy. Furthermore, previous studies revealed that the rate of AEs was higher in a real-world population than in previous clinical trials.\(^10,11\) More AEs occurred in the elderly or patients with poor PS owing to their co-morbidities and lower physiological function.

In this study, we investigated the effectiveness and safety of combination therapy of cytotoxic chemotherapy and pembrolizumab in patients with previously untreated nonsquamous NSCLC in a real-world setting.

Materials and Methods

Study Design and Patients

This was a multicenter, retrospective, hospital-based cohort study of consecutive patients with chemotherapy-naïve advanced NSCLC who received combination therapy at any of the 36 hospitals in Japan between December 2018 and June 2019. Clinical data for each patient were extracted from medical charts and entered into a database.

This report is an updated prespecified secondary analysis with the primary objective of investigating the safety and effectiveness in this cohort. The primary analysis aimed to investigate the incidence of pneumonitis within 90 days of initiating combination therapy, and the results were reported in a previous study.\(^12\) The cutoff date for data collection in this study was April 30, 2020. The cutoff date for data collection in the primary analysis was October 1, 2019.

Patients aged more than 20 years were enrolled if they had pathologically confirmed metastatic nonsquamous NSCLC without sensitizing EGFR mutations or anaplastic lymphoma kinase rearrangements and received a combination of platinum, pemetrexed, and pembrolizumab (combination therapy) as first-line treatment.

The study design was approved by the ethical institutional review board of each participating institution. The requirement for written informed consent was waived owing to the retrospective nature of the study.

Definitions and Assessments

Smoking status was categorized as never (i.e., never smoked), current (i.e., smoked within 1 y of diagnosis), and former (i.e., other smoking status). PD-L1 expression was assessed using the PD-L1 immunohistochemistry 22C3 pharmDx assay and was categorized by the tumor proportion score (TPS). The presence of pre-existing interstitial lung disease and emphysema was determined by the treating pulmonologist or oncologist on the basis of computed tomography images before the start of combination therapy. The elderly population was defined as those aged more than or equal to 75 years, and poor PS was defined as an Eastern Cooperative Oncology Group PS of ≥2.

Clinical staging was performed according to the TNM classification (eighth edition). Antitumor responses were assessed according to the Response Evaluation Criteria in Solid Tumors (version 1.1) by the investigators of the included institutions. PFS and OS were calculated as the interval between the date of commencing combination therapy and the date of disease progression or death from any cause or the date of death from any cause, respectively.

Safety Analysis

AEs were evaluated by the attending physician according to the Common Terminology Criteria for Adverse Events (version 5.0). Safety was investigated using AE data related to combination therapy, including all-grade pneumonitis, nephrotoxicity, grade greater
than or equal to 3 nonhematologic AEs, and grade greater than or equal to 4 hematologic AEs. Severe AEs were defined as febrile neutropenia and grade greater than or equal to 3 nonhematologic AEs. Pneumonitis and nephrotoxicity were defined as AEs of special interest because of their high incidence in previous clinical trials using the same treatment. The diagnosis and grade of pneumonitis were determined by the treating pulmonologist or the oncologist on the basis of the clinical and radiographic parameters and the exclusion of alternative etiologies (e.g., congestive heart failure, infection, and tumor progression).

Statistical Analyses

Age was compared using the Wilcoxon rank-sum test. Dichotomous variables were analyzed using the chi-square test or Fisher’s exact test, as appropriate. The Kaplan-Meier method was used to estimate survival outcomes. To determine the associations between patient characteristics and survival outcomes, a multivariate Cox proportional hazards model was developed for all clinically important factors (age, sex, smoking status, Eastern Cooperative Oncology Group PS, and PD-L1 status) identified on the basis of previous studies of immune checkpoint inhibitors. The results are expressed as HRs with 95% CIs. A two-sided p value less than 0.05 was considered statistically significant.

Results

Patient Characteristics and Outcomes

A total of 299 patients were enrolled in this study. Patient characteristics are summarized in Table 1. The median age was 68.0 years, and there were 43 elderly patients (14%). Most patients were men (74%), had a history of smoking (84%), had a PS of 0 to 1 (95%), and had adenocarcinoma histology (93%). The PD-L1 TPS was <1%, 1% to 49%, ≥50%, and not investigated in 37%, 35%, 21%, and 6% of patients, respectively. The total objective response rate was 50% (2% of patients achieved a complete response; 48% achieved a partial response). Overall, 33% of patients had stable disease, 13% had progressive disease, and 4% had not been evaluated.

Effectiveness in the Overall Population

During a median follow-up of 11.7 (interquartile range: 9.8–13.6) months, 194 PFS events (65%) and 81 OS events (27%) were observed. The median PFS and OS were 8.6 (95% CI: 8.6–9.5) months and not reached (NR) (95% CI: 15.7–NR), respectively (see Supplementary Fig. 1 in Supplementary Data 1, which shows the survival curves). Multivariate analysis identified a PS of 0 to 1 (HR = 0.37, 95% CI: 0.21–0.74, p = 0.007) and PD-L1 TPS of greater than or equal to 50% (HR = 0.57, 95% CI: 0.38–0.83, p = 0.003) as significant independent predictors of PFS (Table 2) (see Supplementary Fig. 2 in Supplementary Data 2, which shows the Kaplan-Meier curves for PFS and OS stratified by PD-L1 status).

Safety in the Overall Population

Overall, 57 patients (19%) had grade greater than or equal to 3 nonhematologic AEs, and 19 patients (6%) had grade greater than or equal to 4 hematologic AEs (see Table in Supplementary Data 3, which shows the treatment-related AEs). A total of 10 patients (3.3%) died of treatment-related AEs attributed to combination therapy: pneumonitis (n = 4), febrile neutropenia (n = 2), sepsis (n = 2), lung infection (n = 1), and sudden death not otherwise specified (n = 1). Among the patients who developed grade greater than or equal to 3 nonhematologic AEs, the most frequent AE was pneumonitis (5.0% of all patients). There was no significant
difference in patient characteristics between those with and without severe AEs (Table 3).

Throughout the follow-up period, 71 patients (24%) discontinued all-treatment components owing to AEs; of them, 39 (55%) had pneumonitis. Treatment was discontinued in the induction and maintenance phases in 37 (52%) and 34 patients (48%), respectively. Pneumonitis was the most frequent AE leading to all-treatment discontinuation in the induction (n = 16) and maintenance (n = 21) phases. A comparison of the characteristics between patients with and without AE-related discontinuation of all-treatment components is shown in Table 4. AE-related treatment discontinuation occurred at a significantly higher rate in older patients than in younger patients (median [range]) (70 [46–82] versus 68 [31–84], respectively, \( p < 0.001 \)).

## AEs of Special Interest

There were 54 patients (18%) with all-grade pneumonitis and 15 patients (5.0%) with grade greater than

| Table 2. Multivariate Analysis of Progression-Free Survival |
|---------------------------------|-----------------|-----------------|
| Characteristics                 | HR (95% CI)     | \( p \) Value   |
| Age (\( \geq 75 \) vs. <75 y)   | 1.18 (0.77–1.74) | 0.428           |
| Sex (male vs. female)           | 1.37 (0.91–2.10) | 0.137           |
| Smoking status (never vs. current or former smoker) | 1.34 (0.82–2.16) | 0.246           |
| ECOG PS (0-1 vs. 2-3)           | 0.37 (0.21–0.74) | 0.007           |
| PD-L1 expression (≥50% vs. <50%) | 0.57 (0.38–0.83) | 0.003           |

CI, confidence interval; ECOG PS, Eastern Cooperative Oncology Group performance status; HR, hazard ratio; PD-L1, programmed death-ligand 1.

| Table 3. Comparison Between Patients With and Without Severe AEs |
|---------------------------------|-----------------|-----------------|
| Characteristics                 | Patients With Severe AEs (n = 60) | Patients Without Severe AEs (n = 239) | \( p \) Value |
| Age (y)                          | 68 (46–80)      | 68 (31–84)      | 0.21          |
| Male                             | 45 (75)         | 177 (74)        | 0.88          |
| Smoking status, n (%)            |                 |                 | 0.85\(^a\)    |
| Current                          | 24 (40)         | 92 (38)         |               |
| Former                           | 27 (45)         | 107 (45)        |               |
| Never                            | 9 (15)          | 40 (17)         |               |
| ECOG PS, n (%)                   |                 |                 | 0.17\(^a\)    |
| 0                                | 12 (20)         | 83 (35)         |               |
| 1                                | 43 (72)         | 147 (61)        |               |
| 2                                | 3 (5)           | 8 (3)           |               |
| 3                                | 2 (3)           | 1 (1)           |               |
| Histology, n (%)                 | 53 (88)         | 225 (94)        | 0.15          |
| Adenocarcinoma                   | 7 (12)          | 14 (6)          |               |
| Others                           |                 |                 |               |
| Stage, n (%)                     |                 |                 |               |
| 3                                | 2 (3)           | 9 (4)           |               |
| 4                                | 51 (85)         | 177 (74)        |               |
| Recurrence after surgery         | 7 (12)          | 39 (16)         |               |
| Recurrence after radiotherapy    | 0 (0)           | 14 (6)          |               |
| PD-L1 TPS, n (%)                 |                 |                 | 0.86\(^a\)    |
| ≥50%                             | 13 (22)         | 52 (22)         |               |
| 1%–49%                           | 22 (37)         | 82 (34)         |               |
| <1%                              | 19 (32)         | 93 (39)         |               |
| Not investigated                 | 6 (10)          | 12 (5)          |               |
| Pre-existing interstitial lung disease, n (%) | 3 (5) | 10 (4) | 0.73 |
| Emphysema, n (%)                 | 25 (42)         | 89 (37)         | 0.53          |
| Previous thoracic radiotherapy, n (%) | 6 (10) | 27 (11) | 1.00 |

\(^a\)Smokers versus never-smokers, ECOG PS 0 to 1 versus ECOG PS 2 to 3, and greater than or equal to 50% versus less than 50% PD-L1 expression.

AE, adverse event; ECOG PS, Eastern Cooperative Oncology Group performance status; PD-L1, programmed death-ligand 1; TPS, tumor proportion score.
or equal to 3 pneumonitis. Whereas 61 patients (20%) had all-grade nephrotoxicity, and three patients (1.0%) had grade greater than or equal to 3 nephrotoxicity. The median time to pneumonitis onset from the start of combination therapy was 4.0 (interquartile range: 1.9–5.7) months. Among the patients who developed pneumonitis, almost all (n = 39; 72%) discontinued treatment owing to AEs. The median time to nephrotoxicity onset from the start of combination therapy was 2.1 (interquartile range: 0.3–4.2) months. Among the patients with nephrotoxicity, five (8.2%) discontinued therapy owing to AEs (see Table in Supplementary Data 4, which shows the severity of pneumonitis and nephrotoxicity).

**Effectiveness in the Elderly and Populations with Poor PS**

As issues related to effectiveness and safety were concerning in this cohort, further analysis of PS or age was performed. The Kaplan-Meier curves for PFS and OS stratified by these factors are illustrated in Figure 1. The median PFS of those aged less than 75 and more than or equal to 75 years was 8.5 (95% CI: 7.0–9.9) and 8.9 (95% CI: 6.7–10.5), respectively. The median OS of those aged less than 75 and greater than or equal to 75 years was NR (95% CI: 15.7–NR) and NR (95% CI: 12.8–NR), respectively. Whereas the median PFS of patients with a PS of 0, 1, 2, and 3 was 11.0 (95% CI: 8.9–14.2), 7.4 (95% CI: 6.1–8.9), 2.3 (95% CI: 0.4–NR), and 1.6 (95% CI: 0.8–3.2), respectively. The median OS of patients with a PS of 0, 1, 2, and 3 was NR, 15.7 (95% CI: 15.7–NR), 7.0 (95% CI: 0.6–NR), and 3.1 (95% CI: 1.4–4.2), respectively.

**Safety in the Elderly and Populations with Poor PS**

The rate of severe AEs was higher in the elderly than in younger patients (26% versus 19%, respectively, p = 0.312), although the difference was not significant. The rate of AE-related discontinuation of all-treatment components was also significantly higher in the elderly (40% versus 21%, respectively, p = 0.001).

### Table 4. Comparison Between Patients With and Without Toxicity-Related Discontinuation of All-Treatment Components

| Characteristics                          | Patients With Discontinuation (n = 71) | Patients Without Discontinuation (n = 228) | p Value |
|------------------------------------------|---------------------------------------|--------------------------------------------|---------|
| Age (y)                                  |                                       |                                            | <0.001  |
| Median (range)                           | 70 (46–82)                            | 68 (31–84)                                 |         |
| Sex, n (%)                               |                                       |                                            | 0.30    |
| Male                                     | 56 (79)                               | 166 (73)                                   |         |
| Smoking status, n (%)                    |                                       |                                            | 0.54    |
| Current                                  | 29 (41)                               | 87 (38)                                    |         |
| Former                                   | 32 (45)                               | 102 (45)                                   |         |
| Never                                    | 10 (14)                               | 39 (17)                                    |         |
| ECOG PS, n (%)                           |                                       |                                            | 0.83    |
| 0                                        | 21 (30)                               | 74 (32)                                    |         |
| 1                                        | 47 (66)                               | 143 (63)                                   |         |
| 2                                        | 1 (1)                                 | 10 (4)                                     |         |
| 3                                        | 2 (3)                                 | 1 (1)                                      |         |
| Histology, n (%)                         |                                       |                                            | 0.60    |
| Adenocarcinoma                           | 65 (92)                               | 213 (93)                                   |         |
| Others                                   | 6 (8)                                 | 15 (7)                                     |         |
| Stage, n (%)                             |                                       |                                            | 0.95    |
| 3                                        | 3 (4)                                 | 8 (4)                                      |         |
| 4                                        | 55 (77)                               | 173 (76)                                   |         |
| Recurrence after surgery                 | 11 (16)                               | 35 (15)                                    |         |
| Recurrence after radiotherapy            | 2 (3)                                 | 12 (5)                                     |         |
| PD-L1 TPS, n (%)                         |                                       |                                            |         |
| >50%                                     | 15 (21)                               | 50 (22)                                    |         |
| 1%-49%                                   | 22 (31)                               | 82 (36)                                    |         |
| <1%                                      | 27 (38)                               | 85 (37)                                    |         |
| Not investigated                         | 7 (10)                                | 11 (5)                                     |         |
| Pre-existing interstitial lung disease, n (%) | 5 (7)                               | 8 (4)                                      | 0.23    |
| Emphysema                                | 30 (42)                               | 84 (37)                                    | 0.41    |
| Previous thoracic radiotherapy, n (%)    | 10 (14)                               | 23 (10)                                    | 0.36    |

*Smokers versus never-smokers, ECOG PS 0 to 1 versus ECOG PS 2 to 3, and greater than or equal to 50% versus less than 50% PD-L1 expression. ECOG PS, Eastern Cooperative Oncology Group performance status; PD-L1, programmed death-ligand 1; TPS, tumor proportion score.
We performed further safety analysis on the basis of the following three age categories: less than 65, 65 to 74, and more than or equal to 75 years (see Table in Supplementary Data 5, which shows the patient characteristics and safety profiles stratified by age). Higher rates of severe AEs (16%, 21%, and 26%, respectively) and AE-related discontinuation of all-treatment components (14%, 27%, and 40%, respectively) were observed in the elderly.

The rates of severe AEs in those with a PS of 0, 1, 2, and 3 were 13%, 23%, 27%, and 67%, respectively. Safety analysis by PS also revealed higher rates of severe AEs in those with poor PS (2–3) than in those with good PS (0–1), with no significant difference (36% versus 19%, respectively, \( p = 0.166 \)). The incidence rates of AE-related discontinuation of all-treatment components were similar between those with good and poor PS (24% versus 21%, respectively, \( p = 1.00 \)).

Among the 10 patients who died of combination treatment-related AEs, two were elderly (2 of 43; 4.7%), two had poor PS (2 of 14; 14%), and six were younger and had good PS (6 of 242; 2.5%).

### Discussion

This study presents data on the safety and effectiveness of the combination of platinum, pemetrexed, and pembrolizumab in a real-world setting. This combination treatment had low real-world effectiveness for patients with poor PS. As for safety, treatment-related AEs, particularly pneumonitis and nephrotoxicity, occurred at a higher rate. In addition, severe AEs occurred at a higher rate in the elderly and patients with poor PS, and the rate of AE-related treatment discontinuation increased with age.

The real-world PFS of the combination of platinum, pemetrexed, and pembrolizumab in this analysis is similar to that in a clinical trial\(^3\) of combination treatment for NSCLC (median = 8.8 mo). However, the rate of treatment-related AEs, particularly pneumonitis and nephrotoxicity, was higher than that in a previous clinical trial.\(^3\) Consistent with the previous findings of clinical trials of PD-1 axis inhibitors for lung cancer, the most frequent severe irAE in our study was pneumonitis. All-grade and severe pneumonitis occurred in 18% and 5% of patients in our study, respectively. Despite a
similar follow-up period, these rates are considerably higher than those in the KEYNOTE-189 trial, wherein the frequency of all-grade and severe pneumonitis was only 4.4% and 2.7%, respectively. We also observed a higher rate of nephrotoxicity and AE-related treatment discontinuation in our real-world population. In total, 24% of patients discontinued all-treatment components owing to toxicities, which is higher than the 14% reported in the KEYNOTE-189 trial. This difference may be due to the dissimilarities between patient populations in the real world and those in clinical trials. Thus, careful attention should be paid to differences between real-world and clinical trial settings when using this combination treatment.

Our cohort also provides important data regarding patient subgroups (the elderly and patients with poor PS) who are underrepresented in clinical trials. The results revealed that poor PS was a strong independent negative predictor of PFS, consistent with the finding that poor PS predicted PFS in patients with NSCLC receiving PD-1 axis inhibitors. Regarding the safety of this combination therapy according to PS, the rate of severe treatment-related AEs was higher in those with poor PS, with some patients dying owing to treatment-related AEs. Regarding age, severe treatment-related AEs and treatment discontinuation owing to AEs increased with age in our study. The rate of AE-related treatment discontinuation was approximately two times higher in the elderly than in younger patients in our study. Our data suggest that this combination therapy should be considered carefully for the elderly and populations with poor PS. Frailty is significantly correlated with age and PS and is associated with treatment-related toxicities and survival outcomes. A recent study reported that the plasma concentration of anti-cancer drugs is higher in frail patients owing to comorbidities and reduced physiological function. Given the increasing number of elderly and frail patients, further studies are required to investigate treatment strategies with better risk-to-benefit and cost-to-benefit ratios for these patients.

In our study, we observed a higher rate of severe treatment-related AEs in those with poor PS. However, the incidence of AE-related discontinuation of all-treatment components were similar between those with good PS (0–1) and poor PS (2–3). In general, the higher the rate of severe toxicity, the higher the toxicity-related discontinuation rate. However, most patients with poor PS in our cohort developed progressive disease, whereas treatment was paused owing to severe toxicities. In these patients, treatment discontinuation was primarily owing to disease progression and not owing to AEs. This supported the conclusion that there was no significant correlation between the rate of severe toxicity and the rate of treatment discontinuation in our study.

Several relatively large real-world studies (n ≥100) on first-line chemo-immunotherapy were focused on patients with advanced NSCLC. A previous report revealed that the survival estimates were lower than those reported in pivotal clinical trials, whereas other reports have stated that the survival estimates may be as effective as in the clinical trial, in line with our findings. However, data regarding subsets underrepresented in clinical trials are scarce. A recent article included 25 elderly patients who received pembrolizumab-based combination therapy and revealed that the PFS and OS rates of the elderly were significantly worse than those of the nonelderly. However, PD-L1 was not a predictive factor for PFS and OS in this study. Concerning safety, the discontinuation rate of treatment components tended to be higher, but not significantly, in the elderly than in the nonelderly patients in this study. These results of effectiveness were different from ours, but the results concerning safety had a similar trend. Although several previous studies of immunotherapy have shown that increased age was not associated with a higher irAE rate, the clinical trials of cytotoxic agents for the elderly revealed a higher rate of AEs. In addition, a trend toward lower effectiveness of chemotherapy plus pembrolizumab was noted with an apparent detrimental effect in participants aged more than or equal to 75 years in the KEYNOTE-189 trial. On the basis of these results and those obtained from our study, the safety of chemo-immunotherapy for the elderly should be considered an important issue to investigate. As a recent prospective observational study revealed that the G8 screening identified a subgroup with a higher risk of AEs in the elderly, our findings supported the need for further research for the use of comprehensive geriatric assessment to identify the patients at high risks of developing AEs.

Our study had several limitations. First, it was a retrospective study. Therefore, the safety assessment in this study was limited to a severe grade or any grade of pneumonitis and nephrotoxicity, which are easier to judge objectively. Second, this study included a small number of patients with poor PS, and almost all of the patients in our cohort were of a single ethnicity (Japanese). However, to our knowledge, this study included the largest multicenter cohort of such patients evaluating the PFS rates and the reliability of this combination, thereby providing novel findings. Third, we could not perform a comprehensive geriatric assessment or evaluate the Charlson Comorbidity Index. The results of our study may serve as the basis for conducting future prospective studies using these factors to identify the patients at high risks of developing AEs among the
elderly and those with poor PS who are underrepresented in clinical trials.

In conclusion, combination treatment with pembrolizumab plus chemotherapy has low real-world effectiveness in patients with NSCLC with poor PS. Treatment-related AEs, particularly pneumonitis and nephrotoxicity, occurred at a significantly higher rate in a real-world setting. In addition, severe AEs occurred at a higher rate in the elderly and patients with poor PS. Furthermore, the rate of AE-related treatment discontinuation increased with age. As such, physicians should be particularly cautious about using this regimen in the elderly and PS patients with poor PS.

CRediT Authorship Contribution

**Daichi Fujimoto**: Conceptualization, Data curation, Formal analysis, Funding acquisition, Investigation, Methodology, Project administration, Writing - original draft, Writing - review & editing.

**Satoru Miura**: Conceptualization, Data curation, Investigation, Methodology, Project administration, Writing - original draft, Writing - review & editing.

**Kenichi Yoshimura**: Formal analysis, Methodology, Writing - original draft, Writing - review & editing.

**Kazushige Wakuda, Yuko Oya, Shoichi Itoh, Takehiro Uemura, Ryotaro Morinaga, Takayuki Takahama, Kazuhisa Nakashima, Motoko Tachihara, Go Saito, Junko Tanizaki, Kohei Otsubo, Satoshi Ikeda, Hirotaka Matsumoto, Satoshi Hara, Akito Hata, Takeshi Masuda, Koji Haratani**: Data curation, Investigation, Writing - original draft, Writing - review & editing.

**Nobuyuki Yamamoto**: Funding acquisition, Project administration, Writing - original draft, Writing - review & editing.

Acknowledgments

This work was supported by the Japanese Respiratory Society. The sponsor(s) had no involvement in the study design; in the collection, analysis, and interpretation of data; in the writing of the report; or in the decision to submit the article for publication. The authors thank the staff at all study sites and the data manager and other support staff at Kobe City Medical Center General Hospital, with special thanks to Keiko Sakuragawa.

Supplementary Data

Note: To access the supplementary material accompanying this article, visit the online version of the *JTO Clinical and Research Reports* at [www.jtocrr.org](http://www.jtocrr.org) and at [https://doi.org/10.1016/j.jtocrr.2021.100265](https://doi.org/10.1016/j.jtocrr.2021.100265).

References

1. Siegel RL, Miller KD, Jemal A. Cancer statistics, 2020. *CA Cancer J Clin*. 2020;70:7-30.
2. Molina JR, Yang P, Cassivi SD, Schild SE, Adjei AA. Non-small cell lung cancer: epidemiology, risk factors, treatment, and survivorship. *Mayo Clin Proc*. 2008;83:584-594.
3. Gandhi L, Rodriguez-Abreu D, Gadgeel S, et al. KEYNOTE-189 investigators. Pembrolizumab plus chemotherapy in metastatic non-small-cell lung cancer. *N Engl J Med*. 2018;378:2078-2092.
4. Baggstrom MQ, Waqar SN, Sezhiyan AK, et al. Barriers to enrollment in non-small cell lung cancer therapeutic clinical trials. *J Thorac Oncol*. 2011;6:98-102.
5. Kawachi H, Fujimoto D, Morimoto T, et al. Clinical characteristics and prognosis of patients with advanced non-small-cell lung cancer who are ineligible for clinical trials. *Clin Lung Cancer*. 2018;19:e721-e734.
6. Porzsolt F, Rocha NG, Toledo-Arruda AC, et al. Efficacy and effectiveness trials have different goals, use different tools, and generate different messages. *Pragmat Obs Res*. 2015;6:47-54.
7. European Medicines Agency. Keytruda. [https://www.ema.europa.eu/en/documents/variation-report/keytruda-hc-3820-ii-0043-epar-assessment-report-variation_en.pdf](https://www.ema.europa.eu/en/documents/variation-report/keytruda-hc-3820-ii-0043-epar-assessment-report-variation_en.pdf). Accessed January 7, 2021.
8. Reck M, Rodriguez-Abreau D, Robinson AG, et al. Pembrolizumab versus chemotherapy for PD-L1-positive non-small-cell lung cancer. *N Engl J Med*. 2016;375:1823-1833.
9. Herbst RS, Baas P, Kim DW, et al. Pembrolizumab versus docetaxel for previously treated, PD-L1-positive, advanced non-small-cell lung cancer (KEYNOTE-010): a randomised controlled trial. *Lancet*. 2016;387:1540-1550.
10. Fujimoto D, Yoshioka H, Kataoka Y, et al. Efficacy and safety of nivolumab in previously treated patients with non-small cell lung cancer: a multicenter retrospective cohort study. *Lung Cancer*. 2018;119:14-20.
11. Suresh K, Voong KR, Shankar B, et al. Pneumonitis in non-small cell lung cancer patients receiving immune checkpoint immunotherapy: incidence and risk factors. *J Thorac Oncol*. 2018;13:1930-1939.
12. Fujimoto D, Miura S, Yoshimura K, et al. Pembrolizumab plus chemotherapy-induced pneumonitis in chemo-naïve patients with non-squamous non-small cell lung cancer: a multicentre, retrospective cohort study. *Eur J Cancer*. 2021;150:63-72.
13. Kurata T, Nakagawa K, Satouchi M, et al. Primary results from Japanese phase I study of pembrolizumab plus chemotherapy as front-line therapy for advanced NSCLC. *Ann Oncol*. 2019;30:v1114.
14. Spigel DR, McCleod M, Jotte RM, et al. Safety, efficacy, and patient-reported health-related quality of life and symptom burden with nivolumab in patients with advanced non-small cell lung cancer, including patients aged 70 years or older or with poor performance status (CheckMate 153). *J Thorac Oncol*. 2019;14:1628-1639.
15. Handforth C, Clegg A, Young C, et al. The prevalence and outcomes of frailty in older cancer patients: a systematic review. *Ann Oncol*. 2015;26:1091-1101.
16. Simcock R, Wright J. Beyond performance status. Clin Oncol (R Coll Radiol). 2020;32:553-561.
17. Miyamoto S, Azuma K, Ishii H, et al. Low-dose erlotinib treatment in elderly or frail patients with EGFR mutation-positive non-small cell lung cancer: a multi-center phase 2 trial. JAMA Oncol. 2020;6:e201250.
18. Morimoto K, Yamada T, Yokoi T, et al. Clinical impact of pembrolizumab combined with chemotherapy in elderly patients with advanced non-small-cell lung cancer. Lung Cancer. 2021;161:26-33.
19. Wang X, Niu X, An N, Sun Y, Chen Z. Comparative efficacy and safety of immunotherapy alone and in combination with chemotherapy for advanced non-small cell lung cancer. Front Oncol. 2021;11:611012.
20. Waterhouse D, Lam J, Betts KA, et al. Real-world outcomes of immunotherapy-based regimens in first-line advanced non-small cell lung cancer. Lung Cancer. 2021;156:41-49.
21. Johns AC, Wei L, Grogan M, et al. Checkpoint inhibitor immunotherapy toxicity and overall survival among older adults with advanced cancer. J Geriatr Oncol. 2021;12:813-819.
22. Marur S, Singh H, Mishra-Kalyani P, et al. FDA analyses of survival in older adults with metastatic non-small cell lung cancer in controlled trials of PD-1/PD-L1 blocking antibodies. Semin Oncol. 2018;45:220-225.
23. Nosaki K, Saka H, Hosomi Y, et al. Safety and efficacy of pembrolizumab monotherapy in elderly patients with PD-L1-positive advanced non-small-cell lung cancer: pooled analysis from the KEYNOTE-010, KEYNOTE-024, and KEYNOTE-042 studies. Lung Cancer. 2019;135:188-195.
24. Sattar J, Kartolo A, Hopman WM, Lakoff JM, Baetz T. The efficacy and toxicity of immune checkpoint inhibitors in a real-world older patient population. J Geriatr Oncol. 2019;10:411-414.
25. Quoix E, Zalcman G, Oster JP, et al. Carboplatin and weekly paclitaxel doublet chemotherapy compared with monotherapy in elderly patients with advanced non-small-cell lung cancer: IFCT-0501 randomised, phase 3 trial. Lancet. 2011;378:1079-1088.
26. Okamoto I, Nokihara H, Nomura S, et al. Comparison of carboplatin plus pemetrexed followed by maintenance pemetrexed with docetaxel monotherapy in elderly patients with advanced nonsquamous non-small cell lung cancer: a phase 3 randomized clinical trial. JAMA Oncol. 2020;6:e196828.
27. Gomes F, Lorigan P, Woolley S, et al. A prospective cohort study on the safety of checkpoint inhibitors in older cancer patients - the ELDERS study. ESMO Open. 2021;6:100042.