Avoidance of seismic survey activities by penguins

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Seismic surveys in search for oil or gas under the seabed, produce the most intense man-made ocean noise with known impacts on invertebrates, fish and marine mammals. No evidence to date exists, however, about potential impacts on seabirds. Penguins may be expected to be particularly affected by loud underwater sounds, due to their largely aquatic existence. This study investigated the behavioural response of breeding endangered African Penguins Spheniscus demersus to seismic surveys within 100 km of their colony in South Africa, using a multi-year GPS tracking dataset. Penguins showed a strong avoidance of their preferred foraging areas during seismic activities, foraging significantly further from the survey vessel when in operation, while increasing their overall foraging effort. The birds reverted to normal behaviour when the operation ceased, although longer-term repercussions on hearing capacities cannot be precluded. The rapid industrialization of the oceans has increased levels of underwater anthropogenic noises globally, a growing concern for a wide range of taxa, now also including seabirds. African penguin numbers have decreased by 70% in the last 10 years, a strong motivation for precautionary management decisions, including the exclusion of seismic exploratory activities within at least 100 km of their breeding colonies.

Marine seismic surveys explore subterranean geological features for petroleum, natural gas and mineral deposits, and produce the most intense man-made ocean noise1, that together with commercial shipping, sonar systems and blasting have altered the ocean environment2. Seismic survey operations utilize air guns towed at a depth of 4–8 m that emit sharp, loud sounds directed at the sea floor in the range 230–255 dB re 1 µPa at 1 m, generally at low frequencies of 10–100 Hz1, although there is an increased interest in using higher frequencies, above 1 kHz2. The acoustic energy is directed towards the seabed, but considerable energy is propagated horizontally, generally detectable up to 50–75 km from the sound source in shallow waters2 and up to 4000 km in deep waters3. Some seismic operations can extend over large areas (>50 000 km23,) and can operate continuously for months6. With the ever increasing demand on energy in recent years, both the frequency and total area surveyed by seismic activities has dramatically expanded7, with impacts on marine fauna of growing concern8.

Many marine animals, from invertebrates to cetaceans, use underwater sounds for crucial biological activities such as foraging, orientation, communication, predator avoidance, mate selection, individual recognition or parent-offspring bonding9. Much of the research on the impacts of seismic surveys has focused on marine mammals, revealing changes in diving patterns10, increased calling activity11, hearing impairments3, habitat displacement2, and possibly lethal bends (i.e. sound-induced growth of gas bubbles in super-saturated tissues of diving mammals13,14). This series of issues prompted the Joint Nature Conservation Committee (JNCC) to establish guidelines to minimise the impacts of seismic operations on cetaceans20, currently adopted in various parts of the world20. These include the mandatory assignment of marine mammal observers on seismic survey vessel and the use of “soft-starts”, where power levels of airguns are slowly built up to operational levels over at least 20 minutes, “to give adequate time for marine mammals to leave the vicinity”20. Recent evidences however suggest these requirements may not be sufficient, as observers sometimes lack adequate training, or may have limited power over the action of the vessel in some companies, while soft-starts assume that animals can, and are willing to, move away from the disturbance, which is not necessarily the case17,18.

Other less conspicuous taxa are also affected by underwater noises and seismic operations (e.g.19). A recent review highlighted impacts on physical, behavioural and physiological aspects of some fish and invertebrates20. For example, seismic surveys may cause barotrauma in fish (i.e. damage of tissues and organs due to rapid changes in pressure21) and increase mortality of fish eggs22. Loud underwater sounds can damage sensory cells in fish

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ears (e.g.23) and the statocysts of squids, possibly leading to lethal acoustic trauma24,25. Several fish species have been shown to descend to greater depths in response to seismic activities26, with reduced foraging efficiency in some instances27. However, the results of these studies are contradictory at times, depending on the intensity of the sound tested, its proximity to the study species, and whether the study species were free-ranging or in a controlled environment28. Nonetheless, elevated mortality in zooplankton has been demonstrated following exposure to seismic gun arrays in an area of up to 1.2 km radius of the activity, with potential negative impacts on ocean ecosystem function28.

By contrast, there is no evidence to date on the potential effects of these surveys on seabirds. In particular, flightless birds such as penguins, due to their largely aquatic existence, are expected to be sensitive to loud sounds underwater24. Penguins are among the most threatened bird families, largely due to the negative effects of habitat change associated with human activities, such as oil pollution, competition with fisheries and climate change30.

African penguins (Spheniscus demersus) are endemic to southern Africa, with their population having recently decreased by 70% since 200431. This has raised grave concern about impacts of anthropogenic disturbances on land and at sea on the future viability of this species. To our knowledge, there is no information about the impacts of underwater sound to African penguins, although previous observations reported strong impact of blasting on southern rockhoppers (Eudyptes chrysocome) and African penguins, which were found floating unconscious close to blast sites at sub-Antarctic Marion Island and Saldhana Bay, South Africa32,33, respectively. African penguins can hear sounds between 100 and 15,000 Hz34, well within the range of seismic survey operations. They dive 30 m down53 and May 2009–2013 from breeding African penguins during and outside seismic activities at St Croix Island38. In this study, we assessed the foraging behaviour of African Penguins before, during and after seismic activities at St Croix Island and at sea on the future viability of this species. To our knowledge, there is no information about the impacts of seismic activity32,33, respectively. African penguins (Spheniscus demersus) are endemic to southern Africa, with their population having recently decreased by 70% since 200431. This has raised grave concern about impacts of anthropogenic disturbances on land and at sea on the future viability of this species. To our knowledge, there is no information about the impacts of underwater sound to African penguins, although previous observations reported strong impact of blasting on southern rockhoppers (Eudyptes chrysocome) and African penguins, which were found floating unconscious close to blast sites at sub-Antarctic Marion Island and Saldhana Bay, South Africa32,33, respectively. African penguins can hear sounds between 100 and 15,000 Hz34, well within the range of seismic survey operations. They dive 30 m down (n = 31 and n = 74 respectively) and Bird Island (n = 20 and n = 208 respectively).

Foraging effort (duration of trip at sea, foraging path length, maximum distance from the colony) varied among years and was generally greater for birds from St Croix Island (Table 1, Fig. 1). Compared to other years, foraging effort was slightly lower in 2013 in the absence of seismic activity but increased for penguins from both colonies when the seismic survey was taking place (Table 1). Maximum foraging distance from the colony increased significantly for St Croix birds during seismic activities (p = 0.007, Table 2, Fig. 2a).

Over the entire study period, St Croix Island penguins generally foraged towards the south east of their colony, or due south mostly within the 100 m bathymetric contour of the continental shelf (Fig. 1). Therefore, their preferred foraging areas were closer to where the seismic survey vessel was located in 2013 compared to that of Bird Island birds (ca 65 km on average versus > 100 km for St Croix and Bird islands respectively, Table 1, Fig. 1). When seismic activities took place in March 2013, St Croix birds switched to foraging due east or north east of their colony (Fig. 1), constituting a significant change in bearing (Watson 2-sample test = 0.47, p < 0.001). As a result, the birds foraged significantly further away from the centroid of the seismic activities during that period (77 km, compared to ca 65 km on average in the absence of seismic activity, p = 0.008, Fig. 2b, Table 2). By contrast, Bird Island penguins consistently travelled due east to south-southwest of their colonies, also within the 100 m bathymetric contour of the continental shelf (Fig. 1), regardless of seismic activities. Thus, there was no significant change in bearing for birds from Bird Island (Watson 2-sample test = 0.14, p > 0.1).

Comparing penguin's foraging effort within 2013 only, once the seismic operations ceased the maximum distance travelled by St Croix penguins significantly decreased (Table 1, Mann Whitney U test, w = 258.5, p = 0.03), as well as their foraging distance to the centroid of the positions of seismic vessel (SEISDIST, Table 1, w = 254, p = 0.02). Trip duration and foraging path length remained similar (w = 97, p = 0.2; w = 138, p = 0.17, respectively).

|          | Trip duration (h) | Path length (km) | Max. distance (km) | SEISDIST (km) |
|----------|------------------|-----------------|--------------------|---------------|
|          | St Croix Bird    | St Croix Bird   | St Croix Bird      | St Croix Bird |
| 2009 (N) | 16.4 ± 4.0       | 18.0 ± 6.3      | 47.9 ± 17.6        | 41.0 ± 8.0    |
| 2010 (N) | 25.7 ± 6.0       | 19.6 ± 8.3      | 68.4 ± 11.8        | 49.3 ± 25.7   |
| 2011 (N) | 20.5 ± 4.4       | 20.9 ± 10.1     | 66.1 ± 14.1        | 41.4 ± 18.2   |
| 2012 (N) | 19.4 ± 4.5       | 15.8 ± 10.6     | 55.9 ± 10.6        | 15.8 ± 8.0    |
| 2013 (N) | 17.8 ± 2.9       | 13.6 ± 5.3      | 61.5 ± 10.3        | 39.8 ± 15.6   |
| 2013 (Y) | 19.3 ± 3.7       | 14.2 ± 3.3      | 67.6 ± 13.6        | 43.3 ± 11.9   |

Table 1. Mean (± SD) foraging effort (trip duration, path length and maximum distance to the colony) and distance between the location of penguin fixes at the maximum distance from the colony to the centroid of seismic activity (SEISDIST) for birds breeding on St Croix and Bird islands between March – May 2009–2013 outside (N) and during (Y) periods of seismic activity.
Discussion

Penguins foraging <100 km from active seismic operations showed a clear change of foraging direction during seismic periods, increasing their distance between their feeding area and the location of the seismic vessel. To our knowledge, this is the first record of avoidance behaviour by a seabird to sounds generated from anthropogenic activities at sea. African penguins from St Croix Island seemed to have avoided airgun sounds by foraging east of their colony, diverting from their traditional feeding grounds located in a more southerly direction. Avoidance behaviour as a response to seismic operations has been documented in many cetaceans (see13 for a review). For example, bowhead whales *Balaena mysticetus* avoided the area of seismic sources by >20–30 km and showed signs of altered diving and surface behaviour at distances up to 73 km from seismic vessels48. Similarly, avoidance behaviour by gray whales *Eschrichtius robustus* were recorded at ranges up to 24 km from seismic activity and

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**Table 2.** Coefficients (β) and standard errors (SE) of general linear models fitted to assess the influence of seismic activity on four responses, three path metrics (trip duration, path length and maximum distance to the colony) and the distance between the location of penguin fixes at the maximum distance from the colony to the centroid of seismic activity (SEISDIST) for birds breeding on St Croix and Bird islands.

| Explanatory variables | Trip duration | Path length | Max. distance | SEISDIST |
|-----------------------|---------------|-------------|---------------|----------|
|                       | β (SE)        | β (SE)      | β (SE)        | β (SE)   |
| St Croix Island       |               |             |               |          |
| Seismic activity (Y)  | 0.08 (0.07)   | 0.26        | 0.21          | 0.007    |
| Year (2010)           | **0.45 (0.09)** | **<0.001** | **0.36 (0.1)** | **0.001** |
| Year (2011)           | 0.23 (0.07)   | 0.002       | **0.32 (0.08)** | **0.001** |
| Year (2013)           | 0.09 (0.07)   | 0.25        | **0.25 (0.08)** | **0.002** |
| Bird Island           |               |             |               |          |
| Seismic activity (Y)  | 0.05 (0.11)   | 0.68        | 0.36          | 0.32     |
| Year (2010)           | 0.09 (0.1)    | 0.41        | 0.42          | 0.86     |
| Year (2011)           | 0.15 (0.09)   | 0.12        | 0.92          | 0.06     |
| Year (2012)           | 0.08 (0.11)   | 0.48        | **0.31 (0.11)** | **0.004** |
| Year (2013)           | **−0.28 (0.09)** | **0.002** | −0.03 (0.1)  | 0.76     |

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**Figure 1.** Overlay of African penguin foraging area estimates based on 50%, 75%, and 90% utilisation distribution contours created using kernel density estimates of foraging tracks outside (2009–2013, grey shades) and during (March 2013, blue shades) seismic activities. Concurrent seismic operations in March 2013 are shown with red lines and the centroid of the activities is also shown. The map was produced using ArcGIS 10.4 (http://desktop.arcgis.com/en/arcmap/10.4).
altered behaviour (faster and straighter swimming and shorter blow intervals during seismic noise) at ranges >30 km. Humpback whales *Megaptera novaeangliae* showed avoidance behaviour at a range of 5–8 km from a full-scale seismic array and maintained a stand-off range of 3–4 km. Avoidance behaviour has also been noted in fish, although behavioural studies on unrestrained fish exposed to airgun sounds are scarce (see for a review).

The avoidance behaviour by penguins observed in this study may be explained by either a direct disturbance from the noise generated by the operation or a change in fish distribution during that period (possibly as a result of seismic activities). The present study cannot disentangle the two effects. A possible decrease in prey availability following seismic operations was previously raised as a cause of concern as an indirect impact of surveys on marine mammals. Incidences of reduced commercial fish catches have been recorded in areas where seismic survey were active or directly after the cessation of activities, suggesting avoidance of the area by the targeted fish species (e.g.). However, several *in situ* studies showed limited direct response of fish to seismic activities, and when there was a response, the vertical rather than the horizontal distribution of fish was generally influenced (e.g.). Consequently, reduced commercial catch rates associated with seismic activity may possibly have resulted from a vertical displacement of fish. Small-scale acoustic fish surveys assessing distribution and abundance of small pelagic fish in Algoa Bay around both penguin colonies did not show a significant change in distribution and/or abundance of small pelagic fish in the region in March 2013 compared to a few months prior to or after the seismic operations. Therefore, African penguins likely relocated away from their traditional feeding zone to avoid the disturbance generated by the noise of the seismic vessels, rather than to follow their prey.

The exposure to intense sounds, such as the shooting of airguns during seismic operations, can adversely affect the hearing capacity of marine mammals and other species, either temporarily or permanently. This impairment can reduce individual foraging performance, by diminishing prey detection capabilities, but also indirectly by reducing their ability to detect predators or assess their environment, thereby reducing the overall fitness of the individuals affected. Such threshold shifts have been demonstrated experimentally in several species of fish and
invertebrates, either in the laboratory or in cages placed in the wild (see review in 30) but are generally difficult to assess in wild populations. The hearing capabilities of birds are complex and poorly understood 31. Although some information is available on underwater hearing capacities of cormorants 32, virtually no research has been conducted on hearing in penguins in particular 33. The impact of noise on terrestrial birds is, however, well known and noisy anthropogenic activities can reduce the abundance of passerines, although the mechanisms are unclear 34. A potential cause could be related to interference with vital life histories involving acoustic communication, such as mate selection or territorial defense, which may ultimately affect breeding success 35. At sea, however, such mechanisms are unlikely. Loud underwater sounds, such as airgun shooting, may be uncomfortable for birds, especially as sounds travel five times faster than in air and cover much greater distances at higher amplitude levels. Pingers emitting sounds of 1 kHz at 120 dB attached to driftnets significantly reduced by-catch of common murres Uria aalge 36, although the study could not establish if the sounds emitted by the pingers were repulsing birds or their prey. African penguins are known to be sensitive to sounds as low as 100 Hz 37, therefore it is possible that the sounds emitted by the surveys were a direct disturbance to them.

Noises from seismic operations may also have disrupted communication between African penguin individuals and groups, leading to a change in foraging behaviour, especially considering that the fundamental frequency (i.e. the lowest frequency component) of their vocalisations is around 250 Hz and plays a key role for individual discrimination 38. A number of marine top predators rely on acoustic signalling for communication, orientation, locating prey and predators 39. While knowledge of their use of vocalisation for communication at sea remains very limited, it is known that penguins use sound extensively on land for intraspecific communication including mate and chick recognition 40,41. Contact calls have been primarily recorded for penguins at the surface when at sea [refs 32,42, McNinnes unpubl.]. Lessening an individual’s ability to detect socially relevant signals could affect biologically important processes (e.g. 43). African penguins often forage in groups 44, which improves their prey capture efficiency 45. It is therefore possible that they may use acoustic signals to coordinate their movement at sea and may be disturbed by loud anthropogenic activities. African penguins are also known to respond to underwater vocalisations of predators 46. Anthropogenic noise pollution may therefore also affect their capacity to detect the presence of a predator, with potential negative consequence on their survival.

African penguins quickly reverted to normal foraging behaviour after cessation of seismic activities during this study, which suggest a relatively short-term influence of seismic activity on these birds’ behaviour and/or that of their prey. Most bird and many fish species have the capacity to regenerate lost or damaged sensory cells of the ear 47, although we cannot rule out potential longer-terms impacts on their hearing ability. Longer or repeated exposure to elevated underwater noise levels can affect reproductive and growth processes in some marine organisms 48 and lead to chronic stress 49, which in turn can lead to a depressed immune function 50. The potential for disturbance from cumulative impacts is particularly high for resident species with limited dispersal abilities 51. This might be particularly true for African penguins breeding on St Croix Island, the largest African penguin colony 52, as it is located in the vicinity of two large industrial harbours in the bay.

The biological significance of altered behaviours during seismic surveys remains difficult to measure. Some behavioural responses have been associated with reduced rate of foraging or of predator avoidance (e.g. 27), others with increased energy expenditure (e.g. 53). African penguins increased their foraging effort during seismic periods, particularly when their general foraging area was <100 km from the seismic operations. Increasing energy expenditure at sea to locate food can negatively affect penguins’ reproductive output 54. Breeding success of African penguins is currently at very low levels due to a suite of threats, from predation to extreme weather events 55, and reduced food availability due to local competition with fisheries 55,56. As long-lived species, biologically important changes in rates of population trends are difficult to identify, particularly over a short time scale. Following of a recent drastic decrease in their population numbers 57, a Biodiversity Management Plan has been drafted by the South African Department of Environmental Affairs 38, to assess and manage the threats to African penguins. Relevant to this management plan, results of the current study demonstrate that seismic survey operations may negatively impact penguins within 100 km of their feeding localities, and should be restricted to areas >100 km from African penguin colonies.

Rapid industrialization associated with resource extraction in the oceans has increased levels of underwater anthropogenic noises, a growing concern for the survival of a wide range of taxa 51. In addition to over-fishing, habitat destruction and chemical pollution, underwater noise pollution is now recognized as a significant threat to marine wildlife 58. Many underwater animals from invertebrates to marine mammals, rely on sound-based cues to forage, attract a mate or avoid predation 50,58. Therefore anthropogenic sounds may perturb crucial life history traits 59. Direct evidences for impacts of noise pollution on marine wildlife remain scarce (e.g. 28), even if deemed very likely 51,53. This is largely due to the difficulty in acquiring the necessary data to demonstrate such effects, despite their potential negative impact on another major marine economic sector, commercial fishing (e.g. 36). Consequently, the existing evidences are largely anecdotal (e.g. 24,56) and there is a crucial need for additional studies of impact of loud noises, such as generated by oil and gas exploration activities, on hearing capabilities and avoidance behaviour and prey dynamics of animals including seabirds. Penguins are currently the most threatened seabird family, and based on the findings of this study, prudent planning of seismic exploration surveys in their habitat is required 7.

Methods
Foraging behaviour data collection. The foraging behaviour of adult African penguins raising chicks of 1–3 weeks old was studied in Algoa Bay at Bird Island (33° 50’ S, 26° 17’ E) and St Croix Island (33° 48’ S, 25° 46’ E), between 2009 and 2013. This dataset is part of a long-term monitoring project (e.g. 39) and only data from March to May were selected for this study, to control for possible behavioural differences outside this period driven by changing environmental conditions during the austral winter (Pichegru & McNinnes unpubl. data). All methods were approved by South African National Parks (PICL578), the South African Department of
Environmental Affairs (res2013–05) and with ethic clearances from University of Cape Town (2009/V2/LP) and Nelson Mandela Metropolitan University (NMMU-A15-SCI-ZOO-008). Methods were performed in accordance with the relevant permits and regulations. Sampling the behaviour of the penguins took place in four consecutive years (2009–2012) when there was no seismic activity; in March 2013 concomitantly with seismic surveys, and in April–May 2013 after the operations ceased. African penguins were equipped with GPS loggers (earth & OCEAN Technologies™, Germany, or CatTrack™, USA) recording location every minute at an accuracy of <10 m, and weighing <2.5% of adult body mass. Birds were caught at their nest site, the loggers were attached to their lower back feathers with waterproof tape, and they were released at the nest within <6 min (see details in35). Nest sites were then monitored until the birds returned and the devices were removed. If several foraging tracks were recorded per individual bird, only one (the first one recorded) was included in the analyses to avoid pseudo-replication.

Seismic sound source. Seismic surveys (2D) took place in South Africa in the Algoa Bay/Gamtoos river mouth area from 15th of February to 22nd of March 2013, covering an estimated distance of 1 527 km and a total area of 6 700 km² (Fig. 1). Airguns were shot at point intervals of 25 m at an average of 169 airgun shots per hour. Acquisitions were done 24 hours a day, at an average of 11 acquisitions per hour. The source was made up of 4 sub-arrays of airguns (Bolt Long Life 1 900 XT) with a total volume of 4 230 in³ at 2 000 psi ± 10% pressure for the array. Data acquisition was only paused in the event of the presence of marine mammals in close proximity of the ship and when changing lines.

Statistical analyses. From the GPS tracks, we estimated foraging effort (i.e. foraging trip duration, foraging path length and maximum distance from the colony) and the distance of the furthest GPS position, i.e. location of maximum distance for each individual, to the centre of seismic activity (hereafter referred to as SEISDIST), which was determined by calculating the centroid of all georeferenced seismic activities in March 2013 (Fig. 1). Tracks were filtered to exclude erroneous fixes that exceeded the potential distance covered given their mean maximum speed (12.4 km h⁻¹, ref.37). Trip duration was only calculated for tracks with start and end fixes <5 km from colonies and foraging path length for tracks that had gaps <2 h. When start and/or end fixes were not at the colony but within 5 km, distance travelled and duration were calculated from the average travelling speed of African Penguins in Algoa Bay (2.5 km h⁻¹, ref.38). The bearing of individual tracks from the island to the farthest point of their trip was calculated in software R75 (R Core Team, 2015) using package ‘Geosphere’76. Kernel density analysis was performed in ArcGIS 10.4 on the totality of the individual tracks, using the adaptive kernel method with smoothing parameters selected based on least-squares-cross-validation. Estimates were created for foraging ranges based on 50, 75, and 90% utilisation distribution.

We used generalised mixed effects models (GLMM) with a Gamma error distribution and a log link function (‘lme4’ package77,) to assess the influence of seismic activity on penguin foraging effort and SEISDIST, with presence/absence of seismic activity and year as fixed effects. Year was included to account for annual variability in oceanographic conditions and fishing intensity, which are known to influence prey availability and penguin foraging performance in this region35,43,44. For the models using variables of foraging effort as responses we included oceanographic conditions and fishing intensity, which are known to influence prey availability and penguin foraging performance in this region35,43,44. The bearing of individual tracks from the island to the farthest point of their trip was calculated in software R75 (R Core Team, 2015) using package ‘Geosphere’76. Kernel density analysis was performed in ArcGIS 10.4 on the totality of the individual tracks, using the adaptive kernel method with smoothing parameters selected based on least-squares-cross-validation. Estimates were created for foraging ranges based on 50, 75, and 90% utilisation distribution.

Non-parametric circular statistics using Watson’s two-sample test of homogeneity were used to assess the differences in bearing from penguins colonies to their maximum distance location with and without seismic activity. This was done for each colony separately using package ‘circular’ in R78.

Data availability. The datasets generated during and/or analysed during the current study are available from the corresponding author on reasonable request.

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Author Contributions

L.P. conceived the project, designed the study, contributed to data collection, conducted data analyses and wrote the manuscript. R.N. contributed to data collection and presentation, and reviewed the manuscript. A.M.M. conducted the statistical analyses, contributed to data collection, and provided editorial input on the manuscript. P.P. contributed conceiving the project and provided input on earlier drafts of the manuscript.

Additional Information

Competing Interests: The authors declare that they have no competing interests.

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