Symplectic Effective Field Theory for Nuclear Structure Studies

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A Symplectic Effective Field Theory that unveils the observed emergence of symplectic symmetry in atomic nuclei is advanced. Specifically, starting from a simple extension of the harmonic-oscillator Lagrangian, an effective field theory applied against symplectic basis states is shown to yield a Hamiltonian system with one fitted parameter. The scale of the system can be determined self consistently as the ratio of the average volume of a nucleus assumed to be spherical to its volume as determined by the average number of oscillator quanta, which is stretched by the fact that the plane-wave solution satisfies the equations of motion at every order without the need for perturbative corrections. As an application of the theory, results for $^{20}$Ne, $^{22}$Ne and $^{22}$Mg are presented that yield energy spectra, B(E2) values, and matter radii in good agreement with experimentally measured results.

I. INTRODUCTION

Symmetries play a pivotal role in our understanding of interactions that dominate nuclear structure. Their importance extends from quantum field theories such as the SU(3) color group used to proffer an understanding of the quark and gluon dynamics in individual nucleons, to effective field theories and the breaking of chiral symmetry which imposes a critical constraint that generates and controls the dynamics of nuclei in the low-energy regime that can be used to establish a connection with QCD \cite{1,2,3,4}.

Similarly, Effective Field Theories (EFT) have proven to be useful in gaining model-independent approaches in various other analyses \cite{7,8,9,10,11}. The main foundation of any EFT is its ability to exploit a separation of scales between two phenomena, those of interest like low-energy collective nuclear excitations such as rotations and vibrations, and others that focus on the higher energy aspects of the interaction. Notable nuclear collective models \cite{13,14} have been identified as leading-order Hamiltonians of an EFT approach.

Significant progress achieved in the past decade using continuum Schwinger function methods (CSM) paves a way to observe how an effective strong interaction relevant for low-energy nuclear physics emerges from quark and gluon interactions in the strong QCD regime, characterized by a coupling $\alpha_s/\pi \simeq 1$ \cite{15,16}. Furthermore, the CSM results have been rigorously checked in comparisons with a broad array of different experimental results on meson and baryon structure \cite{16,19,21}.

The importance of symmetries is not limited to EFT analyses. For example, it is well-known that SU(3) is the symmetry group of the spherical harmonic oscillator that underpins the many-particle microscopic nuclear shell model \cite{22} patterned in large part after the atomic case that treats the nucleus as a closed core system of interacting single particles in valence shells with residual interactions. The latter successfully describes single-particle separation energies and binding energies at shell closures called magic numbers; however, it fails to describe effects due to the collective motion of the core such as the emergence of rotational bands in heavy nuclei that can be described phenomenologically by the Bohr-Mottelson collective model \cite{13}, and the fact that the first excited state of the doubly closed shell nucleus of $^{16}$O is part of a strongly deformed rotational band that leads to an experimentally observed non-zero quadrupole moment for its ground state.

The SU(3) model advanced by Elliott \cite{24} was the first group-theoretical model that captured rotational collectivity in a shell-model framework. One can find its roots in the Nilsson model \cite{25}, which is simply a deformed version of the single-particle shell model. This unveiling of the microscopic origin of collectivity within a nuclear shell-model framework through an algebraic model and the fact that most nuclei are deformed, along with the coexistence of low-lying states in a single nucleus with different quadrupole moments \cite{26}, paved the way to the development of the Sp(3,R) Symplectic Model \cite{27}.

The Sp(3,R) model is a multi-shell extension of the SU(3) model that allows one to organize the spatial parts of many-particle nuclear configurations into a collection of Pauli-allowed shapes. This is a logical first-step of a
far more robust theory for grouping many-nucleon configurations into cluster-like shell-model configurations on the lowest rung of what is now known to be an algebraically defined pyramid of deformed eigensolutions coupled through enhanced B(E2) linkages. Multiple phenomenological and schematic interactions that employ the symplectic symmetry group have been found to give energy spectra, B(E2) quadrupole transitions and radii that are in remarkable agreement with experimental data across the nuclear chart from the lightest systems like $^{16}$O [28] and $^{12}$C [29] through to intermediate-mass nuclei spanning from $^{20}$Ne [30, 31] and $^{24}$Mg [32–34], up to strongly deformed nuclei of the rare-earth and actinide regions like $^{166}$Er [35] and even $^{238}$U [36].

While such applications of the symplectic model reproduce observed collective patterns in nuclei, they typically rely on schematic or phenomenological interactions. However, recent results from the ab initio Symmetry-adapted No-Core Shell Model (SA-NCSM) [37-40], that employs realistic chiral effective field theory interactions adapted No-Core Shell Model (SA-NCSM) [37–40], that strongly suggest that the symplectic symmetry is a natural symmetry for nuclei and that its origins should be investigated starting from first principles; that is, from a symplectic effective field theory. Below we show the construction of a symplectic effective field theory, one which when applied to symplectic basis states yields a polynomial of quantum mechanical Hamiltonians for nuclear structure applications. As an application of the theory, results for the $^{20}$Ne, $^{22}$Ne and $^{22}$Mg isotopes are presented.

II. SYMPLECTIC EFFECTIVE FIELD THEORY

In this section we present a step-by-step method for building the symplectic effective field theory referenced above. The main concept is to formulate a self-interacting real scalar effective field theory that represents the excitations of a system of $\mathcal{A}$ interacting nucleons. The degrees of freedom of the system are the real scalar fields that represent harmonic oscillator excitations. At leading order, the fields are plane waves that satisfy the equations of motion. For every next-to-leading order, the fields can be taken to be plane waves without the need for perturbative corrections if one imposes a specific requirement on the coupling coefficient of the theory. That requirement, together with the scalar field constraint, set the overall scale of the theory, which can be stated simply in terms of the ratio of the average spherical volume of a nucleus in its ground state to its average volume determined in terms of the number of harmonic oscillator excitations it hosts that allows the system to stretch in ways that are consistent with the pervasive plane-wave constraint.

The construction of this EFT is done through the following steps: In the first subsection (A) we introduce the harmonic oscillator Lagrangian, extend it to $n$-th order, and present the corresponding solutions. In the second subsection (B) we review features of the symplectic $\text{Sp}(3,\mathbb{R})$ group, its generators, and the nomenclature we will use in defining actions of these generators on states within an irreducible representation (irrep) of the symplectic group, especially on the irrep’s lowest-weight state from which all others can be built. In subsection (C) we move to the more familiar Hamiltonian rendering of the dynamics, the details of which — being quite expansive — are relegated to an appendix. In subsection (D), the physical features associated with the diagonal elements of the Hamiltonian are examined; while in subsection (E), we do the same for the various off-diagonal elements resulting into a quantum mechanical Hamiltonian applicable for nuclear systems.

Throughout this paper we will use the Einstein notation for repeated indices and natural units in derivations ($h = c = 1$), the following four vector notation for our covariant position vector $x^\mu (t, \mathbf{r})$ and $x_\mu (t, -\mathbf{r})$ for its contravariant component, $k^\mu (E, \mathbf{k})$ for the momentum four vector and $\partial^\mu (\frac{\partial}{\partial t}, \frac{\partial}{\partial E})$ for the derivative. For overall simplicity, we will use $L$ and $H$ for the regular Lagrangian and Hamiltonian, and $\mathcal{L}, \mathcal{H}$ for their density-dependent equivalents, respectively.

A. The Harmonic Oscillator (HO) Lagrangian and its $n$-th order extension

The simplest Lagrangian density one can write for a real scalar field $\varphi$ is

$$\mathcal{L} = \frac{1}{2} \partial_\mu \varphi \partial^\mu \varphi, \quad (1)$$

which is the Lagrangian density of a harmonic oscillator (HO) for massless excitations (bosons). The classical, still not quantized, fields that satisfy the equations of motion of this Lagrangian are given by the plane-wave solution,

$$\varphi(\mathbf{r}, t) = \frac{1}{(2\pi)^{3/2}} \int_{-\infty}^{+\infty} \psi(k, E) e^{ik\cdot \mathbf{r}} dEdk. \quad (2)$$

The integration is over four variables, the three momenta ($\mathbf{k}$, a spatial vector) and the energy ($E$, a scalar). The construction of the effective field theory is accomplished by taking the Lagrangian in Eq. (1) and extending it naturally to its $n$-th order and adding a mass term at every order,

$$\mathcal{L}^{(n)} = \frac{\alpha^n}{2n+1} (n + 1)! (\partial_\mu \varphi \partial^\mu \varphi - nm^2 \varphi^2)^{n+1} . \quad (3)$$

The total Lagrangian density is $\mathcal{L} = \sum_{n} \mathcal{L}^{(n)}$, where for the $n = 0$ term we recover Eq. (1). We have added a term $nm^2 \varphi^2$, often called “the mass” term, at every order. This is added to capture all possible combinations of interaction terms that could result from lowest powers of $\varphi$ and $\partial_\mu \varphi \partial^\mu \varphi$. Including $\varphi$ only shifts the equations of motion by a constant, therefore the lowest possible
power is $\varphi^2$. In this formulation $\alpha$ is the coupling coefficient of the theory. Since the dimension of a Lagrangian density always has to be $|L| = 4$, it follows logically that $[\alpha] = -4$ and as well that this is a non-renormalizable EFT. The main advantage of this systematic construction of the Lagrangian density given by Eq. (3) is that it is unique in the sense that the plane wave solution given by Eq. (2) satisfies the equations of motion at every $n$-th order without the need to consider perturbative corrections if one imposes a specific condition on $\alpha \sim 1/N^2_{3/2}$, where $N_{3/2}$ is the geometric average of the total number of excitations (bosons) between the initial $|N_i\rangle$ and final $|N_f\rangle$ Fock states that the interaction is acting on, as shown in Appendix (A). At $n = 0$ the excitations (bosons) are massless with energy $|E_k| = |k|$ and for any arbitrary $n > 0$ a mass-like term is introduced through the self interaction that turns out to be the main driver of a $Q \cdot Q$ quadrupole-quadrupole type interaction. This, alongside the imposed condition on $\alpha$, allows us to include all terms up to an arbitrary $n$-th order. The EFT has to be suitable for describing nuclei, and therefore it is necessary to use discretized fields instead of Eq. (2), through localized plane waves within cubic elements of volume $V$ with periodic boundary conditions. This condition requires that the plane waves have the following discrete form:

$$\varphi(r, t) = \frac{1}{\sqrt{V}} \left( \sum_k \frac{b_k^+}{\sqrt{|2E_k|}} e^{i k \cdot x} + \frac{b_k}{\sqrt{|2E_k|}} e^{-i k \cdot x} \right),$$

where $b_k^+$ creates an excitation (boson) and $b_k$ destroys an excitation (boson), respectively, with energy $|E_k| = |k|$ by acting on a $|N_k\rangle$ state, where $N_k$ is the number of excitations (bosons) with momentum $k$.

Now that we have identified the required fields and operators, we need for them to describe excitations of a system of $\mathcal{A}$ nucleons, which means the fields must enter pair-wise (quadratically, to preserve the parity of each operators, we need for them to describe excitations of a boson), respectively, with energy $|E_k|$ by acting on a $|N_k\rangle$ state, where $N_k$ is the number of excitations (bosons) with momentum $k$.

To further understand the significance of these operators it is useful to define the following set of operators which are more suitable for a physical interpretation of the symplectic operators

$$Q_{ij} = Q_{ij} + A_{ij} + B_{ij},$$

$$K_{ij} = Q_{ij} - A_{ij} - B_{ij},$$

$$L_{ij} = -i(C_{ij} - C_{ji}),$$

$$S_{ij} = 2\iota(A_{ij} - B_{ji}),$$

which the $i, j$ in subscripts denote the spatial directions and the repeated index $p$ implies a sum over the number of nucleons in the system being described. The objects $2Q_{ij} = C_{ij} + C_{ji}$ are the generators of the Elliott SU(3) group that act within a major harmonic oscillator shell, whereas the symplectic raising $A_{ij}$ operator and its conjugate $B_{ij}$ lowering operator connect states differing in energy by $2\Omega$, twice the harmonic oscillator energy. The interaction picture clearly states that the symplectic operators $A$ and $B$ are the ones responsible for the dynamics (they depend on time) in nuclei that can be interpreted as vibrations in space and time. Whereas the $C$ operators (independent of time) are responsible for the static deformed configurations in nuclei that can rotate freely. This was perhaps implicitly evident from the fact that the $\text{Sp}(3, \mathbb{R})$ symmetry ($A$ and $B$) is the dynamical extension of the SU(3) symmetry ($C$), but now it is explicitly evident through their representation in the interaction picture.

B. The $\text{Sp}(3, \mathbb{R})$ algebra and Symplectic basis

The symplectic symmetry is the natural extension of the SU(3) symmetry and is realized by its 21 many-body generators in their Cartesian form. Since we are constructing a 4-dimensional EFT it is appropriate to represent the symplectic generators in the interaction picture (Heisenberg representation) where the operators explicitly depend on time. This is done through

$$b_k^+(t) = b_k^+e^{i\Omega t}.$$

Using this definition we get the following

$$A_{ij} = \frac{1}{2} b_{ip}^+ b_{jp}^+ e^{2i\Omega t},$$

$$B_{ij} = \frac{1}{2} b_{ip}^- b_{jp}^- e^{-2i\Omega t},$$

$$C_{ij} = \frac{1}{2} b_{ip}^+ b_{jp}^- + b_{jp}^+ b_{ip}^-,$$

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$$L_{ij} = -i(C_{ij} - C_{ji}),$$

$$S_{ij} = 2\iota(A_{ij} - B_{ji}),$$

where $Q_{ij}$ is the quadrupole tensor and is responsible for deformation, $K_{ij}$ is the the many-body kinetic tensor, $L_{ij}$ is the angular momentum tensor responsible for rotations and $S_{ij}$ is the vorticity tensor responsible for the flow of deformation.

Symplectic basis states are constructed by acting with the symplectic raising operator $A$ on the so-called bandhead of the symplectic irrep $|\sigma\rangle$ which, is unique and is defined as $B|\sigma\rangle = 0$ to be a lowest weight state. The
band-head |σ⟩ is mathematically similar to how the vacuum behaves b |0⟩ = 0. However, unlike vacuum, |σ⟩ can contain physical particles; namely, nucleons.

The complete labeling of a sympletic basis state that is constructed from its |σ⟩ bandhead irreps is |σnρωkLM⟩ where σ = Nσ(λσμσ) labels the bandhead, n = Nn(λnμn) labels the excited state that coupled to the bandhead, yields the final configuration labeled by ω = Nω(λωμω) with ρ multiplicity, L angular momentum with κ multiplicity and its M projection. Nω = Nσ + Nn is the total number of bosons (oscillator quanta), λa = (Nn)z − (Nω)x and μα = (Nω)x − (Nσ)y are the SU(3) quantum numbers, where α = σ, ω, n. They denote the intrinsic deformation of the state since they count the difference in the number of oscillator quanta in the z and x, and x and y directions respectively. These states are ideal for describing collective features of nuclei and for serving as basis states for the EFT. Similar to the Fock state notation |Nk⟩, sympletic basis states also describe bosons numbered by Nω making them a suitable basis state to which an application of our EFT interaction yields a quantum mechanical Hamiltonian that can be utilized for carrying out nuclear structure studies.

C. SpEFT Hamiltonian

The n-th order Hamiltonian density, derived in Appendix (B) is

\[ \mathcal{H}^{(n)} = \frac{α^n}{2^{n+1}(n+1)!} \left( \varphi_{p_1}^2 - \varphi_{p_1}' \cdot \varphi_{p_1}' - nm^2 + 2 \varphi_{p_2}' \cdot \varphi_{p_2}' + nm^2 \varphi_{p_2}' \cdot \varphi_{p_2}' \right)^n \]

where \( \varphi \equiv \frac{∂H}{∂\mathcal{H}} \) and \( \varphi' \equiv \frac{∂H}{∂\mathcal{H}'} \). The total Hamiltonian density at the n-th order is a sum over all possible n + 1 combinations of the n + 1-th term in the second parenthesis in Eq. (9) with respect to the n terms in the first parenthesis, therefore it is Hermitian (see Appendix (B) for proof). However, for purposes of calculating matrix elements it is sufficient to only consider Eq. (9) and then add all the possible other combinatorial terms as described.

The coupled fields in Eq. (9) are

\[ \varphi_p^2 = \frac{1}{\sqrt{2E_k}} \sum_{k,q} \sqrt{2E_k} \cdot 2E_q \times \left( b_{pk} e^{ikx} \mu - b_{pk}' e^{-ikx} \mu \right) \left( b_{pq} e^{iqx} \nu + b_{pq}' e^{-iqx} \nu \right) \]

\[ \varphi_p' \cdot \varphi_p' = \frac{1}{\sqrt{2E_k}} \sum_{k,q} \sqrt{2E_k} \cdot 2E_q \times \left( b_{pk} e^{ikx} \mu - b_{pk}' e^{-ikx} \mu \right) \left( b_{pq} e^{iqx} \nu + b_{pq}' e^{-iqx} \nu \right)\]

For convenience, from here on we will drop the index p denoting the sum over particle numbers from the fields since they don’t affect any of the follow-on derivations and can be recovered as may be required at any time. As evident from the formulas above the creation and annihilation operators enter into the Hamiltonian density in pairs of \( b^+ b, b^- b^- b^+ b^- \). This allows us to describe them through the symplectic operators defined in Eqs. (7). This definition further enables us to transition from \(|N_k⟩\) to \(|σ⟩\) where \( N_k \) will be equivalent to a state with number of bosons \( N_k \) created (destroyed) by \( b_k^+(b_k^-) \) with momentum \( k \). Knowing this we can rewrite the fields in Eqs. (10) as follows:

\[ \varphi^2 = \frac{1}{V} \sum_{k,q} \left( Z^+_k Z^-_q + Z^+_k Z^+_q + Z^-_k Z^-_q \right) \]

\[ \varphi' \cdot \varphi' = \frac{1}{V} \sum_{k,q} \left( Z^+_k Z^-_q + Z^-_k Z^+_q \right) \]

where, for further convenience we use the notation \( Z^+_k = b_k^+ e^{ikx} \mu \). And finally, with these further simplifying definitions in play, the Hamiltonian density in Eq. (9) can be rewritten as follows:

\[ \mathcal{H}^{(n)} = \frac{1}{2^{n+1}(n+1)!} \sum_{k,q} \sum_{k_{q1}...k_{q_{n+1}}q_{n+1}} \mathcal{Z}_{k_1} \mathcal{Z}_{k_2}...\mathcal{Z}_{k_{n+1}} \mathcal{q}_{q_{n+1}} \]

where we further use the following notation:

\[ \mathcal{Z}_n = (−E_{k_n} E_{q_n} + k_n \cdot q_n - nm^2)(Z^+_k Z^-_q + Z^-_k Z^+_q) \]

\[ −(−E_{k_n} E_{q_n} + k_n \cdot q_n + nm^2)(Z^+_k Z^-_q + Z^-_k Z^+_q) \]

\[ \mathcal{Z}_{n+1} = (−(2n + 1)E_{k_{n+1}} E_{q_{n+1}} - k_{n+1} \cdot q_{n+1} + nm^2) \times \]

\[ (Z^+_k Z^-_q + Z^-_k Z^+_q) \]

\[ (Z^+_k Z^-_q - Z^-_k Z^+_q) \times \]

\[ B_{k_{n+1}} \mathcal{Z}_{k_{n+1}} B_{q_{n+1}} \]

With all of this in place, we finally come to an expression for the Hamiltonian density, \( \mathcal{H} \), that enters into the integral for \( \mathcal{H} \) that we consider next.
D. Diagonal coupling and Monopole Hamiltonian

The Hamiltonian is

\[ H = \int_{-L}^{+L} \mathcal{H} dV. \] (15)

Substituting \( \mathcal{H} \) into the integration we notice that for every order \( n \) we have \( n + 1 \) pairs of \( Z^+ Z^+ \), \( Z^+ Z^- \) and their conjugates multiplied with each other inside the integral. To demonstrate this, let us consider a term like \( Z^+ Z^+ \) for example for the simplest case \( n = 0 \) which gives a term like

\[ \int_{-L}^{+L} b^+_k(t) b^+_q(t) e^{i(k+q) \cdot r} dV, \] (16)

where we absorbed the time component of the exponent into the operators. This integral is zero because of the periodic boundary condition on \( k \) and \( q \) unless \( k + q = 0 \).

To generalize for \( n + 1 \) pairs, each term \( Z^+ Z^+ \) and \( Z^- Z^- \) has to have \( k + q = 0 \), and each term \( Z^+ Z^- \) and \( Z^- Z^+ \) has to have \( k - q = 0 \). We call this “diagonal coupling” because for each pair in the integral we couple \( k \) to \( q \) for example \( k_1 \) to \( q_1 \), \( k_2 \) to \( q_2 \) and \( k_n \) to \( q_n \), etc. The result of this simple coupling is that each \( Z^+ \) term in Eq. (12) doesn’t interact with other similar terms, meaning the sums don’t mix with each other inside the integral, which leads to the Hamiltonian presented in Eq. (17), below. (See Appendix (C) for this derivation.)

\[
H^{(n)} = \frac{1}{2n+1(n+1)!} \sum_{k_1, k_2, \ldots, k_{n+1}} \epsilon^a \alpha^n \times
\left((-2E_{k_1}^2 - 2(2n - 1)E_{k_1}^2) (b^+_{k_1} b^+_{-k_1} e^{2iE_{k_1} t} + b^{-}_{-k_1} b^-_{k_1} e^{-2iE_{k_1} t}) \right) \times
\ldots \times \left((-2E_{k_n}^2 - 2(2n - 1)E_{k_n}^2) (b^+_{k_n} b^+_{-k_n} e^{2iE_{k_n} t} + b^-_{-k_n} b^-_{k_n} e^{-2iE_{k_n} t}) \right) \times
\left(-2E_{k_{n+1}}^2 (b^+_{k_{n+1}} b^-_{-k_{n+1}} e^{2iE_{k_{n+1}} t} + b^-_{k_{n+1}} b^+_{-k_{n+1}} e^{-2iE_{k_{n+1}} t}) + (2n + 2)E_{k_{n+1}}^2 (b^+_{k_{n+1}} b^+_{k_{n+1}} + b^-_{k_{n+1}} b^-_{k_{n+1}}) \right). \quad (17)
\]

Comparing the terms in the Hamiltonian to the symplectic operators defined in Eq. (7) it is straightforward to see that this Hamiltonian is symplectic in nature because, for example, \( b^-_{k_1} b^+_{-k_1} \) is simply \( \pi_{-k_1} \pi_{k_1} \) which is the symplectic lowering operator \( B_{ii} \) after we recover the sum over particle number \( p \). This implies that symplectic symmetry emerges naturally from the EFT Lagrangian in Eq. (3) whose sole construction was done naturally by extending the harmonic oscillator Lagrangian out to its \( n \)-th order. This implies that symplectic symmetry is an extension of the SU(3) symmetry of the harmonic oscillator which algebraically was known and well understood through nuclear physics applications but here, as seen through these developments, symplectic symmetry in nuclear physics has its origin at a more fundamental level than previously considered; specifically, it derives from and is underpinned by a logical EFT formulation.

In this effective field theory for determining the structure of atomic nuclei the nucleons in a nucleus are represented through the total energy quanta (bosons) they bring forward, which in turn can be created and destroyed at all possible energy values. This can be envisioned as having an infinite quantum mechanical harmonic oscillator systems, each with \( E_k \) wherein the nucleons are contained. Such excitations are constrained to a very narrow range of possible energy values. Studies done with mean field models and also realistic interactions all support such a claim, as do calculations using the NCSM [31] and SA-NCSM [40]. Specifically, in the latter cases, one typically finds that utilizing a single symplectic irrep suffices to recover nearly 70%-80% of the probability distribution, and more specifically, accounts for nearly 90%-100% of observables, such as energy spectra, B(E2) values and radii.

Given the fact that in all such cases the excitation quanta include only a single energy mode; \( h \Omega = 41 A^{-1/3} \) MeV, the application of the Hamiltonian on a single symplectic state further reduces it to only one term, where from each sum over \( k \) survives; namely, the term where \( E_k = h \Omega \) which reduces Eq. (17) to

\[
H_d^{(n+1)} = \frac{(-n)^n (2n+1)^{n+1} \alpha^n (A_{ii} + B_{ii})^n \times ((n+1)C_{jj} - (A_{jj} + B_{jj}))}{\sqrt{n}}. \quad (18)
\]

This is a quantum mechanical Hamiltonian that is the natural extension to the harmonic oscillator Hamiltonian for \( n \geq 1 \). It represents a one-body interaction extended to an arbitrary \( n \)-th order. This results from the diago-
nal coupling discussed above and hence the $d$ subscript, and is solely responsible for generating monopole excitations in nuclei that do not contribute to the dynamics since they are just powers of $A_{ii} + B_{ii}$. They destroy the leading order harmonic oscillator at every $n \geq 1$ which is unphysical and hence they have to be removed from the final Hamiltonian. What is responsible for dynamics are vibrations in space and time due to the quadrupole excitations in nuclei that result from off-diagonal couplings in the Hamiltonian in Eq. (12). The only term from the diagonal coupling that contributes to the Hamiltonian is the harmonic oscillator which, is $H^{(0)} = \hbar \Omega C_{ii}$.

**E. Off-Diagonal coupling the Quadrupole Hamiltonian**

In this section, we consider two pairs of $Z$'s, $Z^{+}Z^{-}$, for example inside the integral resulting from multiplying two $Z$ in Eq. (12), namely,

$$\int_{-L}^{+L} b_{k_i}^{\dagger}(t) b_{k_1}(t) b_{k_2}^{\dagger}(t) b_{k_2}(t) e^{i(k_1 + k_1 + k_2 + q_2) \cdot r} dV. \quad (19)$$

For $n = 1$, which as we discussed before, this will be zero unless $k_1 + q_1 + k_2 + q_2 = 0$. We managed this before by picking $k_1 + q_1 = 0$ and $k_2 + q_2 = 0$, etc., which resulted to the diagonal coupling. However there are many possibilities to make $k_1 + q_1 + k_2 + q_2 = 0$. What is particularly interesting is if we choose $k_1 + q_1 = 0$ and $k_2 + q_2 = 0$, etc., which resulted to the diagonal coupling. This is one of the expansions resulting from the off-diagonal coupling in Eq. (12) at every $n = 2$ even and the other one is removed since every term is proportional to $A_{ii} + B_{ii}$, see Appendix (D) for additional details.

**III. ANALYSIS AND RESULTS**

Incorporating all the above considerations, the final quantum mechanical Hamiltonian is as follows:

$$H = \hbar \Omega C_{ii} + \sum_{n=1}^{n} H_{od}^{(n=odd)} + \sum_{n=2}^{n} H_{od}^{(n=even)}. \quad (22)$$

Each $n$-th term in this Hamiltonian is a $(n + 1)$-body interaction. Therefore this Hamiltonian, as formulated below, includes all possible interaction terms up to infinity, but excludes terms resulting from triple, quadruple or even higher off-diagonal couplings that are naturally associated with new power series of 3-body and 4-body character, for example terms like $Q_{ij}Q_{j}Q_{ij}$ and $Q_{ij}Q_{ji}Q_{ji}$, respectively. These terms lie outside the scope of the present paper as here we have chosen to limit the theory to at most two off-diagonal coupling terms, and the resulting interactions and their respective powers.

In this section we will outline in subsection (A) how the parameters of the theory are chosen, how the effective parameters of the Hamiltonian tie to the parameters introduced in the Lagrangian density, and finally discuss their physical implications. In subsection (B) the dynamical effects of the interaction and time average of the Hamiltonian will be derived. Finally in subsection (C) we will present some result for applications of this Hamiltonian to $^{20}$Ne, $^{23}$Ne and $^{22}$Mg.

**A. Parameters of the EFT**

The resulting Hamiltonian for this EFT [Eq. (22)] is effectively a two parameter theory; the parameters be-
ing \( \frac{g}{\hbar \Omega} \) and \( g_n \). The parameter \( \frac{g}{\hbar \Omega} = \frac{V_{av}}{\pi R^3} \) establishes a clear separation of scales. It is simply the ratio of the average spherical nuclear volume \( V_A = \frac{4}{3} \pi R^3 \), where \( R = 1.2A^{1/3} \) is the average radius of the ground state, over the volume corresponding to the harmonic oscillator excitations \( V = b^3 N_{av}^{3/2} \), where \( b \) is the oscillator length, along with the plane-wave condition, \( \alpha \sim 1/N_{av}^{3/2} \) that allows further stretching of \( V \).

If \( \frac{V_{av}}{b^3 N_{av}^{3/2}} \ll 1 \) the average volume of the nucleus is less than a volume determined by adding up the total number of its excitation quanta, when a plane wave solution is valid therefore preserving the harmonic oscillator structure. But if \( \frac{V_{av}}{b^3 N_{av}^{3/2}} \sim 1 \), signaling that the volume determined by adding up the number of oscillator excitations in play is approaching the average volume, a plane wave solution becomes untenable with higher order corrections becoming ever more relevant, ending in a complete breakdown when the \( Q \cdot Q \) interactions destroys the HO structure. In short, as more nucleons are added to the system their corresponding boson excitations have to also increase appropriately such that they can be represented by plane waves as indicated by the \( \frac{V_{av}}{b^3 N_{av}^{3/2}} \) measure. This further emphasizes the fact that this scale is valid as long as a shell structure description is appropriate for the systems being studied.

The second parameter of the theory is \( g_n \) which is the strength of the quadrupole tensor operator because only the quadrupole terms in Eq. (22) carry this parameter as a multiplier. The value of this parameter determines the strength with which the quadrupole tensor enters into any analysis relative to that of the kinetic tensor. In particular, it should be clear that if \( g_n = 0 \) one gets a power series in \( K_{ij}K_{ji} \). It is therefore important that the value chosen for \( g_n > 1 \) for \( \forall n \) is required to balance the interaction between \( Q_{ij}Q_{ji}, K_{ij}K_{ji} \) and \( Q_{ij}K_{ji} \).

The parameter \( g_n \) is expressed in terms of the mass-like parameter introduced in Eq. (A12) to represent the strength of the interaction. It results from off-diagonal couplings that depend on \( n \) which has a simple physical interpretation,

\[
g_n = \frac{2n - 1}{n} g. \tag{23}
\]

The consequences of this is that the energy of the bosons contributing to the formation of a given final symplectic configuration, starting from an initial one, increases uniformly as more pairs are considered. This simple picture suggests that if \( g_1 = g \) and \( g_\infty = 2g \) then as \( n \rightarrow \infty \) the weight of \( Q_{ij}Q_{ji} \) will scale as

\[
\lim_{n \rightarrow \infty} \frac{(g\Omega)^{n+1}}{2^{n+1}} \frac{\alpha_n}{V_n} g^{n+1} = 0. \tag{24}
\]

Although this means there will be a new parameter \( g_n \) at every order of the Hamiltonian, they are all determined once \( g \) is fixed, and therefore the theory is effectively a two parameter theory; namely, \( \frac{V_{av}}{\pi R^3} \) and \( g \). In applications of the theory, unlike \( \frac{V_{av}}{\pi R^3} \), \( g \) can be fitted to known observables.

### B. Time Average of the Hamiltonian

The Hamiltonian in Eq. (22) depends on time implicitly. This dependence comes through the symplectic operators defined in Eqs. (7) and since they enter in pairs, for example, in the two-body interaction terms they will have the following time factors \( e^{\alpha i\Omega t} \) for \( A_{ij}A_{ji} \) and \( B_{ij}B_{ji} \), \( e^{\pm 2\alpha i\Omega t} \) for \( A_{ij}C_{ji} \) and \( B_{ij}C_{ji} \), unity for \( A_{ij}B_{ji} \) and \( C_{ij}C_{ji} \) ("+" for As and "−" for Bs). It is evident that the time independent terms, like \( A_{ij}B_{ji} \) and \( C_{ij}C_{ji} \) are responsible for rotations. As for the dynamical terms, like \( A_{ij}A_{ji} \) and \( B_{ij}B_{ji} \), they are responsible for vibrations in nuclei.

The time dependence has to be integrated out. This is done by averaging the Hamiltonian over the time period that the nucleons interact with each other.

\[
H = \frac{1}{T} \int_0^T H(t)dt, \tag{25}
\]

where \( H(t) \) is the Hamiltonian given in Eq. (22) with its time dependence written explicitly. \( T \) is the upper time limit in which the strong interaction propagates and it is of order \( T = \frac{2\pi}{\hbar} = \frac{2 \times 10^{15}}{3 \times 10^{15}} \sim 10^{-22}s \). This allows us to evaluate the integral in the limit of \( T \rightarrow 0 \) which implies that the self interacting fields in our EFT interact almost simultaneously

\[
H = \lim_{T \rightarrow 0} \frac{1}{T} \int_0^T H(t)dt. \tag{26}
\]

The time-independent terms come out of this integral unchanged. As for the time-dependent ones, let us show an explicit example like \( e^{\alpha i\Omega t} \) which will be to the power of \( \frac{n+1}{2} \) for \( n = \text{odd} \) and to power of \( \frac{n}{2} \) for \( n = \text{even} \). For the odd ones we have

\[
\lim_{T \rightarrow 0} \frac{1}{T} \int_0^T e^{2(n+1)i\Omega t} dt = \lim_{T \rightarrow 0} \frac{e^{2(n+1)i\Omega T} - 1}{2(n+1)i\Omega T} = 1. \tag{27}
\]

This proves that the time-dependent terms also come out unchanged except they drop their exponential time factors. The same proof applies to the even expansion terms as well. So finally, the Hamiltonian in Eq. (22) could be applied to any nucleus as though it is independent of time.

### C. \(^{20}\text{Ne}, ^{22}\text{Ne} \text{ and } ^{22}\text{Mg}\)

The ground state rotational bands for \(^{20}\text{Ne}, ^{22}\text{Ne} \text{ and } ^{22}\text{Mg}\) all display a structure that is close to that of a rigid rotor, which makes them good tests of our EFT to see if the theory can reproduce this rotational behavior. Moreover, the \( K_{ij}K_{ji} \) and \( Q_{ij}K_{ji} \) in Eq. (22) should introduce
TABLE I. SpEFT matter rms radii $r_m$ (fm) of the ground states compared to their experimental counterparts for the nuclei under consideration [43, 44].

| Nucleus | SpEFT | Expt. |
|---------|-------|-------|
| $^{20}$Ne | 2.80 | 2.87(3) |
| $^{22}$Ne | 2.84 | —– |
| $^{22}$Mg | 2.84 | 2.89(6) |

Irrotational-like departures from the simple $L(L + 1)$ rule for the spectrum of a rigid rotor, which can as well be seen in the $^{20}$Ne, $^{22}$Ne, and $^{22}$Mg set, making these ideal candidates for probing a range of rotational features within the context of our EFT theory.

Specifically, we carried out SpEFT calculations in the $N_{\text{max}} = 12$ model space, which was required for gaining good convergence of the observed B(E2) transitions, by adjusting only one parameter; $g = 14$ for $^{20}$Ne and $g = 14.7$ for $^{22}$Ne and $^{22}$Mg. For these nuclei, the $N_{\text{max}} = 12$ model space is down-selected to only one spin-0 leading symplectic irrep; namely $48.5(8,0)$ for $^{20}$Ne, $55.5(8,2)$ for $^{22}$Ne and $^{22}$Mg. These selections also proved sufficient to simultaneously reproduce reasonably well-converged values for the observed energy spectra and nuclear radii. And most importantly, the results very clearly demonstrate that the SpEFT is able to do this without the need for introducing effective charges which is confirmed pictorially in Fig. (1,2,3), and by a comparison of the rms radii given in Table (I) that are as well in very good agreement with observations, all with only a single fitting parameter, $g$.

In Table (II) we further give the maximum and minimum values of the scale parameter, $V_{A}^{\frac{3}{2}}N_{3/4}$, for these nuclei. All the presented results are calculated by including terms up to $n \leq 4$ in the Hamiltonian, which, as stressed above, was necessary for gaining good convergence of the theory to known observables. Additionally, we note that for the case of $^{20}$Ne, terms with $4 < n \leq 6$ contribute $\sim -0.004$ MeV to the ground state energy and $\sim -0.004$ W.u. to the $2^+ \rightarrow 0^+$ B(E2) transition. And beyond these data-focused measures, it is interesting to note that these calculations were all carried out on a laptop, taking from about 10 minutes for the $^{20}$Ne case and up to approximately 2 hours for the $^{22}$Ne and $^{22}$Mg cases, a feature which serves to stress that as complex as the underpinning algebraic structure may seem to be (Section II together with the associated Appendices), its applications are computationally quite simple, even to the point of rendering the formalism suitable for more pedagogical uses.

IV. CONCLUSIONS

In this paper we outlined a step-by-step process for how symplectic symmetry emerges from a simple self-interacting scalar field theory extended to $n$-th order.
The maximum scale by its application to SpEFT to take advantage of the underlying symmetry, and drives nuclear dynamics. This key feature allows the interactions to be parameter fitted, and serves to explain how deformation arises. Moreover, it does this with only a single set of positions, and rms matter radii without the need for effective charges. Furthermore, it captures the relevant interactions from an EFT perspective, phenomenological models using a simple harmonic oscillator with quadrupole type interactions have been successful in capturing the relevant physics in nuclei. Their origin lies in a simple scalar-field theory framework. Moreover, for the first time, we identified the dynamical operators of the symplectic algebra, and showed how they explicitly behave under vibrations in time.

The resulting SpEFT Hamiltonian is a complex, yet simple interaction to study nuclear observables. It can produce energy spectra, enhanced electromagnetic transitions, and rms matter radii without the need for effective charges. Moreover, it does this with only a single fitted parameter $g$. The main advantage of this theory lies in its simplicity to explain how deformation arises and drives nuclear dynamics. This key feature allows the SpEFT to take advantage of the underlying symmetry, and therefore doesn’t require access to large-scale computational resources. This was successfully demonstrated by its application to $^{20}$Ne, $^{22}$Ne, $^{22}$Mg and its ability to produce results that are in very reasonable agreement with experiment on just a laptop.

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### Appendix A: Plane Wave Solution

Let us find the condition on $\alpha$ for which the plane wave solution given by Eq. (2) satisfies the equations of motion for the Lagrangian density in Eq. (5). At a specific $n$ order we will have

$$\frac{\partial^\mu L^{(n)}}{\partial \varphi_{\pi,\mu}} = \frac{\partial L^{(n)}}{\partial \varphi_{\pi}}. \quad (A1)$$

Calculating each derivative for the $\pi$-th field yields

$$\frac{\partial L^{(n)}}{\partial \varphi_{\pi}} = \alpha^n \frac{n+1}{2^{n+1}} \left( \partial_{\nu} \varphi_{\nu} \partial^{\mu} \varphi_{\mu} - n m^2 \varphi_{\pi}^2 \right)^n \left( -n m^2 \varphi_{\pi} \right), \quad (A2)$$

$$\frac{\partial^\mu L^{(n)}}{\partial \varphi_{\pi,\mu}} = \alpha^n \frac{n+1}{2^{n+1}} \left( \partial_{\nu} \varphi_{\nu} \partial^{\mu} \varphi_{\mu} - n m^2 \varphi_{\pi}^2 \right)^n \partial_{\nu} \varphi_{\pi}, \quad (A3)$$

$$\partial^\mu \frac{\partial L^{(n)}}{\partial \varphi_{\pi,\mu}} = \alpha^n \frac{n+1}{2^{n+1}} \left( \partial_{\nu} \varphi_{\nu} \partial^{\mu} \varphi_{\nu} - n m^2 \varphi_{\pi}^2 \right)^n \partial_{\nu} \varphi_{\pi} + \alpha^n \frac{n+1}{2^{n+1}} \left( \partial_{\nu} \varphi_{\nu} \partial^{\mu} \varphi_{\nu} - n m^2 \varphi_{\pi}^2 \right)^n \partial_{\nu} \varphi_{\pi} \partial_{\nu} \partial_{\nu} \varphi_{\pi} - 2n m^2 \varphi_{\pi} \partial^{\mu} \varphi_{\nu} \partial_{\nu} \partial_{\nu} \varphi_{\pi}. \quad (A4)$$

Now summing over all possible $n$ we get the equation of motion

$$\sum_{n=0}^{\infty} \alpha^n \frac{n+1}{2^{n+1}} \left( \partial_{\nu} \varphi_{\nu} \partial^{\mu} \varphi_{\mu} - n m^2 \varphi_{\pi}^2 - p \right)^n \left( \partial_{\nu} \varphi_{\nu} + n m^2 \varphi_{\pi} \right) + \alpha^n \frac{n+1}{2^{n+1}} \left( \partial_{\nu} \varphi_{\nu} \partial^{\mu} \varphi_{\mu} - n m^2 \varphi_{\pi}^2 \right)^n \partial_{\nu} \varphi_{\pi} \partial_{\nu} \partial_{\nu} \varphi_{\pi} - 2n m^2 \varphi_{\pi} \partial^{\mu} \varphi_{\nu} \partial_{\nu} \partial_{\nu} \varphi_{\pi} = 0. \quad (A5)$$

Since the total Lagrangian density is $L = \sum_n L^{(n)}$ there is a sum over $n$. For $n = 0$ we will have $\partial^\mu \partial_{\nu} \varphi_{\pi} = 0$ which is the Klein-Gordon equation for massless bosons and the plane wave solution given in Eq. (2) satisfies it with $E^2 = k^2$ therefore the first $n = 0$ term disappears. As for $n > 0$, by plugging $\partial^\mu \partial_{\nu} \varphi_{\pi} = 0$ into Eq. (A5) and since $\alpha^n \frac{n+1}{2^{n+1}} \left( \partial_{\nu} \varphi_{\nu} \partial^{\mu} \varphi_{\mu} - n m^2 \varphi_{\pi}^2 \right) \neq 0$ otherwise the Lagrangian density would be zero so we can take it out of the equation. For $\forall n > 0$ term we must have

$$\alpha^n \left( \partial_{\nu} \varphi_{\nu} \partial^{\mu} \varphi_{\mu} - n m^2 \varphi_{\pi}^2 \right) \left( \left. \langle N_f | \varphi_{\pi}^2 | N_i \rangle \right. \right) \sim N_{\text{av}} \text{ where}$$

### TABLE II. The maximum and minimum value of the scale of SpEFT, $\frac{1}{\sqrt{2}N_{\text{av}}}$, when $N_{\text{av}} = N_s$, $N_{\text{av}} = N_s + N_{\text{max}}$ accordingly.

| Nucleus | The maximum scale | The minimum scale |
|---------|-----------------|-----------------|
| $^{20}$Ne | $2.79 \times 10^{-4}$ | $1.44 \times 10^{-4}$ |
| $^{22}$Ne | $1.95 \times 10^{-4}$ | $1.08 \times 10^{-4}$ |
| $^{22}$Mg | $1.95 \times 10^{-4}$ | $1.08 \times 10^{-4}$ |
\[ N_{av} = \sqrt{N_f N_i} \text{ is the geometric average of the total number of excitations (bosons) between the initial and final Fock states. Since the above equation of motion is proportional to } \varphi_n^2 \text{ and the minimum upper limit of } \langle f | \varphi_p^2 \varphi_e | i \rangle \sim N_{av} \sqrt{N_{av}} \text{ then for } n = 1 \text{ we roughly have} \]

\[ \alpha(N_{av} \sqrt{N_{av}}) \sim 0. \]  

(A7)

Therefore, we have the following estimate for the magnitude of \( \alpha \)

\[ \alpha \sim \frac{1}{N_{av} \sqrt{N_{av}}} \]  

(A8)

Establishing this magnitude also allows us to estimate the magnitude of \( m^2 \). To do this we need the field derivatives for which we have

\[ \partial^\mu \varphi = \frac{1}{(2\pi)^{3/2}} \int_{-\infty}^{+\infty} ik_\mu \psi(k, E) e^{ik^\nu x^\nu} dEdk, \]

\[ \partial_\mu \varphi^\mu = -\frac{1}{(2\pi)^{3/2}} \int_{-\infty}^{+\infty} \int_{-\infty}^{+\infty} k_1^{\mu} k_2^{\mu} \psi(k_1, E) \psi(k_2, E) \times \]

\[ e^{(k_1^\nu + k_2^\nu) x^\nu} dE_1 dk_1 dE_2 dk_2. \]  

(A9)

Using these and plugging them into Eq. (A6) we get the following parametric equation (keeping in mind that for purposes of applying this to nuclei we would only keep one specific value of \( E_k = \hbar \Omega \) and hence the integrals drop out):

\[ \sum_{n=1}^{\infty} \left( -|k_1||k_2| + k_1 \cdot k_2 - n^2 m^2 \right) nm^2 + 2n^2 m^2 (|k_1||k_2| - k_1 \cdot k_2) = 0. \]  

(A10)

Which gives the following formula for the mass parameter

\[ m^2 = \frac{2n - 1}{n} (|k_1||k_2| - k_1 \cdot k_2). \]  

(A11)

Therefore this choice of the parameter guarantees that the plane wave satisfies the equation of motion for a specific energy value \( |k_1| = |k_2| = \sqrt{2\hbar \Omega} \). This choice further reduces the formula to the following three cases

\[ nm^2 = \begin{cases} g_n \hbar \Omega^2, & \text{if } k_1 \perp k_2 \\ 2\hbar \Omega^2, & \text{if } k_1 \text{ is anti-parallel to } k_2 \\ 0, & \text{if } k_1 \text{ is parallel to } k_2 \end{cases} \]  

(A12)

In the above equation where \( g_n = \frac{2n - 1}{n} g \) was introduced only for the \( k_1 \perp k_2 \) case since it is an effective description to the weight of the resulting interaction from such coupling between the fields, where \( g \) captures its strength. Given that \( \alpha \) is determined by Eq. [A8], \( g \) is the only parameter that will be fitted from one nuclear system to the other.

**Appendix B: Hamiltonian derivation and Hermiticity**

The Hamiltonian at any \( n \) order is given by the Legendre transformation

\[ \mathcal{H}^{(n)} = \varphi \frac{\partial \mathcal{L}^{(n)}}{\partial \dot{\varphi}} - \mathcal{L}^{(n)} \]  

(B1)

Using the Eq. [A3] and plugging \( \mu = 0 \) we get the following

\[ \frac{\partial \mathcal{L}^{(n)}}{\partial \dot{\varphi}} = \alpha^n \frac{n + 1}{2n + 1 (n + 1)!} \left( \partial_\nu \varphi \partial^\nu \varphi - n m^2 \varphi^2 \right)^n 2 \dot{\varphi}. \]  

(B2)

Plugging it back in the Hamiltonian formula we get

\[ \mathcal{H}^{(n)} = \alpha^n \frac{2(n + 1)}{2n + 1 (n + 1)!} \left( \partial_\nu \varphi \partial^\nu \varphi - n m^2 \varphi^2 \right)^n \dot{\varphi}^2 - \frac{\alpha^n}{2n + 1 (n + 1)!} \left( \partial_\nu \varphi \partial^\nu \varphi - n m^2 \varphi^2 \right)^n + 1, \]  

(B3)

The Hamiltonian in Eq. [B4] is not Hermitian but the Hamiltonian in Eq. [B1] is by definition Hermitian. This is due the fact when we calculated the term \( \frac{\partial \mathcal{L}^{(n)}}{\partial \dot{\varphi}} \) we neglected all possible other combinations of \( \dot{\varphi} \) with \( \partial_\nu \varphi \partial^\nu \varphi - n m^2 \varphi^2 \). In reality this term should be

\[ \frac{\partial \mathcal{L}^{(n)}}{\partial \dot{\varphi}} = \alpha^n \frac{2(n + 1)}{2n + 1 (n + 1)!} \left( \dot{\varphi} \left( \partial_\nu \varphi \partial^\nu \varphi - n m^2 \varphi^2 \right)^n + \left( \partial_\nu \varphi \partial^\nu \varphi - n m^2 \varphi^2 \right)^n \dot{\varphi} \right) \]  

(B5)
As evident from Eq. (B5) there are \( n + 1 \) terms which are different combinations of \( \dot{\phi} \) with \( \partial_{\nu} \phi \dot{\phi} - nm^2 \phi^2 \).

This results into the following Hamiltonian

\[
\mathcal{H}^{(n)} = \frac{\alpha_n}{2^{n+1}(n+1)!} \left( (2n+1)\dot{\phi}^2 + \phi' \cdot \phi' + nm^2 \phi^2 \right) (\partial_{\nu} \phi \dot{\phi} - nm^2 \phi^2)^n \\
+ (\partial_{\nu} \phi \dot{\phi} - nm^2 \phi^2) (2n+1)\dot{\phi}^2 + \phi' \cdot \phi' + nm^2 \phi^2 \right) (\partial_{\nu} \phi \dot{\phi} - nm^2 \phi^2)^{n-1} \\
+ (\partial_{\nu} \phi \dot{\phi} - nm^2 \phi^2) (2n+1)\dot{\phi}^2 + \phi' \cdot \phi' + nm^2 \phi^2 \right) (\partial_{\nu} \phi \dot{\phi} - nm^2 \phi^2)^{n-2} \\
+ \ldots \left( \partial_{\nu} \phi \dot{\phi} - nm^2 \phi^2 \right) (2n+1)\dot{\phi}^2 + \phi' \cdot \phi' + nm^2 \phi^2 \right) 
\]  

(B6)

The above Hamiltonian is Hermitian. Since the formula for the Hamiltonian is very long we refrained from writing down all the terms and limited ourselves to only the \( n + 1 \)-th term because it is easier for purposes of deriving a quantum mechanical Hamiltonian applicable for describing nuclear phenomena. Note that we also refrained from writing down all possible \( n! \) combinations of \( (\partial_{\nu} \phi \dot{\phi} - nm^2 \phi^2) \) with itself since they are all identical for matrix element calculations hence the normalization factor of \((n + 1)!\). \( n! \) for identical combinations of \( (\partial_{\nu} \phi \dot{\phi} - nm^2 \phi^2) \) combined with \( n + 1 \) combinations with the \((2n + 1)\dot{\phi}^2 + \phi' \cdot \phi' + nm^2 \phi^2 \) term as we saw above.

Appendix C: Diagonal coupling calculation

As discussed in section 3, we will pick \( \mathbf{k} = -\mathbf{q} \) for \( Z^+ Z^+ \) and \( Z^- Z^- \) and pick \( \mathbf{k} = \mathbf{q} \) for \( Z^+ Z^- \) and \( Z^- Z^+ \) so they will survive the integration over the volume which results to

\[
H^{(n)} = \frac{1}{2^{n+1}(n+1)!} \sum_{k_1, k_2, \ldots, k_{n+1}} \frac{1}{2^{n+1}E_{k_1} E_{k_2} \ldots E_{k_{n+1}}} \epsilon^n \times \\
\left( (-E_{k_1}^2 - k_1^2 - nm^2)(b_{k_1}^+ b_{-k_1}^- e^{2iE_{k_1}t} + b_{k_1}^- b_{-k_1}^+ e^{-2iE_{k_1}t}) \right) \times \\
\left( (-E_{k_2}^2 - k_2^2 - nm^2)(b_{k_2}^+ b_{-k_2}^- e^{2iE_{k_2}t} + b_{k_2}^- b_{-k_2}^+ e^{-2iE_{k_2}t}) \right) \times \\
\left( (-E_{k_3}^2 - k_3^2 - nm^2)(b_{k_3}^+ b_{-k_3}^- e^{2iE_{k_3}t} + b_{k_3}^- b_{-k_3}^+ e^{-2iE_{k_3}t}) \right) \times \\
\left( (-E_{k_{n+1}}^2 - k_{n+1}^2 - nm^2)(b_{k_{n+1}}^+ b_{-k_{n+1}}^- e^{2iE_{k_{n+1}}t} + b_{k_{n+1}}^- b_{-k_{n+1}}^+ e^{-2iE_{k_{n+1}}t}) \right) \\
\left( (2n + 1)E_{k_{n+1}}^2 + k_{n+1}^2 + nm^2)(b_{k_{n+1}}^+ b_{-k_{n+1}}^- e^{2iE_{k_{n+1}}t} + b_{k_{n+1}}^- b_{-k_{n+1}}^+ e^{-2iE_{k_{n+1}}t}) \right) \\
- (2n + 1)E_{k_{n+1}}^2 - k_{n+1}^2 - nm^2)(b_{k_{n+1}}^+ b_{-k_{n+1}}^- + b_{k_{n+1}}^- b_{-k_{n+1}}^+) \right). 
\]

(C1)

But \( E_{k_1}^2 = k_1^2 \). As for \( m^2 \) we have \( m^2 = \frac{2n+1}{n} (2E_k^2) \) for \( \mathbf{k} = -\mathbf{q} \) and \( m^2 = 0 \) for \( \mathbf{k} = \mathbf{q} \) by definition which further reduces Eq. (C1) to
Carrying out the necessary reductions we will finally get

\[
H^{(n)} = \frac{1}{2^{n+1}(n+1)!} \sum_{k_1, k_2, \ldots, k_{n+1}} \frac{1}{V^{n+1} E_{k_1} E_{k_2} \ldots E_{k_{n+1}}} \alpha^n \times \\
\left( (-2E_{k_1}^2 - 2(2n - 1)E_{k_1}^2) (b_{k_1}^+ b_{-k_1}^+ \epsilon^{2iE_{k_1} t} + b_{k_1}^- b_{-k_1}^- \epsilon^{-2iE_{k_1} t}) \right) \times \\
\ldots \times \left( (-2E_{k_{n+1}}^2 - 2(2n - 1)E_{k_{n+1}}^2) (b_{k_{n+1}}^+ b_{-k_{n+1}}^+ \epsilon^{2iE_{k_{n+1}} t} + b_{k_{n+1}}^- b_{-k_{n+1}}^- \epsilon^{-2iE_{k_{n+1}} t}) \right) \times \\
\left( -2E_{k_{n+1}}^2 (b_{k_{n+1}}^+ b_{-k_{n+1}}^+ \epsilon^{2iE_{k_{n+1}} t} + b_{k_{n+1}}^- b_{-k_{n+1}}^- \epsilon^{-2iE_{k_{n+1}} t}) + (2n + 2)E_{k_{n+1}}^2 (b_{k_{n+1}}^+ b_{-k_{n+1}}^- + b_{k_{n+1}}^- b_{-k_{n+1}}^+) \right). \quad (C2)
\]

**Table III.** All possible couplings in pairs of two Z operators.

There are 16 possible terms. Only 8 are shown since the other 8 are conjugates and have identical couplings

| \(Z_{k_1} Z_{q_1} Z_{k_{n+1}} Z_{q_{n+1}}\) | \(\mathbf{k}_n = -\mathbf{q}_{n+1}, \mathbf{k}_{n+1} = -\mathbf{q}_n\) |
|\(Z_{k_1} Z_{q_1} Z_{k_{n+1}} Z_{q_{n+1}}\) | \(\mathbf{k}_n = \mathbf{q}_{n+1}, \mathbf{k}_{n+1} = \mathbf{q}_n\) |
|\(Z_{k_1} Z_{q_1} Z_{k_{n+1}} Z_{q_{n+1}}\) | \(\mathbf{k}_n = -\mathbf{q}_{n+1}, \mathbf{k}_{n+1} = -\mathbf{q}_n\) |
|\(Z_{k_1} Z_{q_1} Z_{k_{n+1}}\) | \(\mathbf{k}_n = \mathbf{q}_{n+1}, \mathbf{k}_{n+1} = \mathbf{q}_n\) |
|\(Z_{k_1} Z_{q_1} Z_{k_{n+1}}\) | \(\mathbf{k}_n = -\mathbf{q}_{n+1}, \mathbf{k}_{n+1} = -\mathbf{q}_n\) |
|\(Z_{k_1} Z_{q_1} Z_{k_{n+1}}\) | \(\mathbf{k}_n = \mathbf{q}_{n+1}, \mathbf{k}_{n+1} = \mathbf{q}_n\) |
|\(Z_{k_1} Z_{q_1} Z_{k_{n+1}}\) | \(\mathbf{k}_n = -\mathbf{q}_{n+1}, \mathbf{k}_{n+1} = -\mathbf{q}_n\) |

Now using the definitions in Eqs. (7) and recovering the particle numbers we get

\[
H^{(n)} = \frac{(n)^n}{2^{n+1}(n+1)!} \frac{4^{n+1}((\Omega)^2(n+1))}{2^{n+1}(\hbar)^{n+1}} \frac{\alpha^n}{V^n} (2A_{ii} + 2B_{ii})^n \times \\
\left( -2(A_{jj} + B_{jj}) + (2n + 2)C_{jj} \right). \quad (C4)
\]

Carrying out the necessary reductions we will finally get

\[
H^{(n)} = \frac{(n)^n}{2^{n+1}(n+1)!} \frac{4^{n+1}((\Omega)^2(n+1))}{2^{n+1}(\hbar)^{n+1}} \frac{\alpha^n}{V^n} (2A_{ii} + 2B_{ii})^n \times \\
\left( -2(A_{jj} + B_{jj}) + (n+1)C_{jj} \right). \quad (C5)
\]

Note that we accounted for all possible combinations of the terms in respect to exchanging places with each other therefore the factorial term in front reduces to unity as discussed earlier in Appendix B.

**Appendix D: Off-diagonal coupling calculation**

The off-diagonal coupling will result to the following possible couplings for \(\forall n\) presented in Table [III], of all possible terms in pairs to survive the integration over volume of the Hamiltonian density in Eq. [12]. To avoid diagonal terms like \(A_{ij}, B_{ii}\) and \(C_{ij}\) resulted from \(k = k_{n+1}\) for \(\forall n\) we pick \(|k_n| = |k_{n+1}|\) such that \(k_n \perp k_{n+1}\) for \(\forall n\). This results into terms like \(A_{ij}A_{ji}\) for example. As discussed for any two \(Z\) terms in Eq. [12] we have

\[
4(E^2 + nm^2)^2(A_{ij}A_{ji} + B_{ij}B_{ji} + A_{ij}B_{ji} + B_{ij}A_{ji}) \\
-4(E^4 - n^2m^4)(A_{ij}Q_{ji} + B_{ij}Q_{ji} + Q_{ij}B_{ji} + Q_{ij}A_{ji}) \\
+4(E^2 - nm^2)^2Q_{ij}Q_{ji}. \quad (D1)
\]

Expressing the symplectic operators in Eq. [D1] in terms of the quadrupole and kinetic tensors we get

\[
(E^2 + nm^2)^2(Q_{ij}Q_{ji} + K_{ij}K_{ji} - Q_{ij}K_{ji} - K_{ij}Q_{ji}) \\
-4(E^4 - n^2m^4)(2Q_{ij}Q_{ji} - 2K_{ij}K_{ji}) \\
+(E^2 - nm^2)^2(Q_{ij}Q_{ji} + K_{ij}K_{ji} + Q_{ij}K_{ji} + K_{ij}Q_{ji}) = \\
4n^2m^4Q_{ij}Q_{ji} + 4E^4K_{ij}K_{ji} - 4nm^2E^2Q_{ij}K_{ji} + K_{ij}Q_{ji}. \quad (D2)
\]

As for the unique term resulting from coupling the \(Z_{n}\)-th term to \(Z_{n+1}\)-th term in Eq. [12] we have

\[
4((2n + 1)E^4 - n^2m^4 + 2n^2m^2E^2) \\
\times (A_{ij}A_{ji} + B_{ij}B_{ji} + A_{ij}B_{ji} + B_{ij}A_{ji}) \\
+4(-2n + 1)E^4 - n^2m^4 - 2(n + 1)nm^2E^2) \\
\times (Q_{ij}Q_{ji} + Q_{ij}A_{ji}) \\
+4(-2n + 1)E^4 - n^2m^4 + 2(n + 1)nm^2E^2) \\
\times (Q_{ij}B_{ji} + Q_{ij}A_{ji}) \\
+4((2n + 1)E^4 - n^2m^4 - 2n^2m^2E^2)Q_{ij}Q_{ji}. \quad (D3)
\]
Expressing the symplectic operators in Eq. \([\text{D}3]\) in terms of the quadrupole and kinetic tensors we get

\[
((2n+1)E^4 - n^2m^4 + 2n^2m^2E^2) \\
\times (Q_{ij}Q_{ji} + K_{ij}K_{ji} - Q_{ij}K_{ji} - K_{ij}Q_{ji}) \\
+ (-2n+1)E^4 - n^2m^4 - 2(n+1)nm^2E^2) \\
\times (Q_{ij}Q_{ji} - K_{ij}K_{ji} + Q_{ij}K_{ji} - K_{ij}Q_{ji}) \\
+ (-2n+1)E^4 - n^2m^4 + 2(n+1)nm^2E^2) \\
\times (Q_{ij}Q_{ji} - K_{ij}K_{ji} - Q_{ij}K_{ji} + K_{ij}Q_{ji}) \\
+ ((2n+1)E^4 - n^2m^4 - 2n^2m^2E^2) \\
\times (Q_{ij}Q_{ji} + K_{ij}K_{ji} + Q_{ij}K_{ji} + K_{ij}Q_{ji}).
\] (D4)

Finally combining them gives

\[
-4n^2m^4Q_{ij}Q_{ji} + 4(2n+1)E^4K_{ij}K_{ji} \\
-4n^2m^2E^2(Q_{ij}K_{ji} + K_{ij}Q_{ji}) \\
-4(n+1)nm^2E^2(Q_{ij}K_{ji} - K_{ij}Q_{ji}).
\] (D5)

Note that the last term in Eq. \([\text{D}5]\) is not Hermitian. However as we explained in Appendix B there will be a Hermitian conjugate term resulting from other possible perturbations. For every possible term where we have \((Q_{ij}K_{ji} - K_{ij}Q_{ji})\) we will get its conjugate from other perturbations resulting to \(- (Q_{ij}K_{ji} - K_{ij}Q_{ji})\) which cancel each other. Keeping this in mind we get the following for the Hamiltonian for an arbitrary odd \(n\) where \(E = \hbar\Omega\)

\[
H^{(n=odd)}_{od} = \frac{(n+1)!}{2n^2+1(n+1)!}
\]
\[
\frac{\hbar^2(n+1)}{(2\hbar\Omega)^{n+1}V^n} \times
\]
\[
(4g^2nQ_{ij}Q_{ji} + 4K_{ij}K_{ji} - 4g_n\{Q_{ij}, K_{ji}\})^{(n-1)/2} \times
\]
\[
-4g_n^2Q_{ij}Q_{ji} + 4(2n+1)K_{ij}K_{ji} - 4n\{Q_{ij}, K_{ji}\},
\] (D6)

where \(\{Q_{ij}, K_{ji}\}\) is the anti-commutator and \(g_n = nm^2/\hbar\Omega^2\). Canceling the coefficients we get

\[
H^{(n=odd)}_{od} = \frac{(\hbar\Omega)^{n+1}}{2n^2+1}
\]
\[
\frac{\alpha^n}{V^n} \times
\]
\[
(g^2nQ_{ij}Q_{ji} + K_{ij}K_{ji} - gn\{Q_{ij}, K_{ji}\})^{(n-1)/2} \times
\]
\[
- gn^2Q_{ij}Q_{ji} + (2n+1)K_{ij}K_{ji} - g_n\{Q_{ij}, K_{ji}\}.
\] (D7)

For \(n > 0\) and \(n = even\) we have two options as discussed. The first option is to couple all identical terms off-diagonally and couple the \(n+1\) th term diagonally which results to

\[
H^{(n=even)}_{od} = \frac{(\hbar\Omega)^{n+1}}{2n^2+1}
\]
\[
\frac{\alpha^n}{V^n} \times
\]
\[
(g_n^2Q_{ij}Q_{ji} + K_{ij}K_{ji} - gn\{Q_{ij}, K_{ji}\})^{n/2} \times
\]
\[
(n+1)C_{il} - (A_{il} + B_{il}).
\] (D8)

The other possibility is having one of the identical terms coupled diagonally and the rest off-diagonally which gives terms proportional to \((A_{il} + B_{il})\) and therefore we won’t consider them either since they also change the size at every order.

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