Volcanic eruptions can affect global climate through changes in atmospheric and ocean circulation, and therefore could impact tropical cyclone (TC) activity. Here, we use ensemble simulations performed with an Earth System Model to investigate the impact of strong volcanic eruptions occurring in the tropical Northern (NH) and Southern (SH) Hemisphere on the large-scale environmental factors that affect TCs. Such eruptions cause a strong asymmetrical hemispheric cooling, either in the NH or SH, which shifts the Intertropical Convergence Zone (ITCZ) southward or northward, respectively. The ITCZ shift and the associated surface temperature anomalies then cause changes to the genesis potential indices and TC potential intensity. The effect of the volcanic eruptions on the ITCZ and hence on TC activity lasts for at least 4 years. Finally, our analysis suggests that volcanic eruptions do not lead to an overall global reduction in TC activity but rather a redistribution following the ITCZ movement. On the other hand, the volcanically induced changes in El Niño-Southern Oscillation (ENSO) or sea-surface temperature do not seem to have a significant impact on TC activity as previously suggested.

Significance

Volcanic eruptions inject a large amount of aerosol particles, which interact with solar radiation and consequently can affect the climate worldwide, hence the intensity and frequency of extreme events for a few years following the eruption. However, only a handful of studies have investigated the impacts of volcanic eruptions on tropical cyclone activity. Through a set of sensitivity modeling experiments, our study demonstrates that volcanic eruptions by shifting the Intertropical convergence zone can impact tropical cyclone activity up to 4 years following the eruption. These results will prove valuable to society, allowing us to better prepare for the consequences of changes in tropical cyclone activity following large volcanic eruptions.

Author contributions: F.S.R.P. designed research; F.S.R.P. performed research; S.J.C. contributed analytic tools; F.S.R.P. and S.J.C. analyzed data; and F.S.R.P. and S.J.C. wrote the paper.

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so, we confine the aerosol plume to only one hemisphere—either NH (in the TrNH experiments) or SH (in the TrSH simulations); SI Appendix, Fig. S1.

For each scenario, two ensembles were generated that start from two ENSO-neutral years of a transient historical simulation carried out in Pausata et al. (21), with greenhouse gas and aerosol emissions, following the protocol detailed in Coupled climate Modeling Intercomparison Project phase 5 (CMIP5). Here we considered the years 1911–1964 as the reference period. Early summer (June 1) eruptions mimicking the largest tropical eruption of the last 500 y (Tambora, Indonesia, 1815; Methods) have been chosen since that time of year is the ENSO developing season and therefore the associated radiative forcing is most likely to affect the ENSO response.

Together with the volcano ensembles, we performed a set of simulations starting from the same initial conditions, but without the volcanic forcing (no-volcano ensemble). Hence, the resulting climate perturbation induced by the volcanic eruption ($\Delta_c$) can be simply expressed as $\Delta_c = \text{STATE}_v - \text{STATE}_{nv}$, where $\text{STATE}_{nv}$ is the unperturbed climate state, and $\text{STATE}_v$ is the climate state induced by the eruption. Each ensemble is composed of 20 members that are generated by slightly perturbing the surface temperatures ($\sim 10^{-14}$ °C) of the day of the eruption.

Although the ENSO response to volcanic eruptions depends on the chosen year (SI Appendix, Fig. S2), the TC activity response does not, and depends mostly on whether the eruption is confined to the NH or SH (SI Appendix, Figs. S3 and S4). Hence, for clarity we merged the two ensembles for each scenario. We focus on the short-term (1–4 y) TC response to volcanic eruptions.

To investigate the potential changes in TC strength, we calculate the potential intensity (PI). We focus our analysis on the Genesis Potential Intensity index (GPI) to summarize the environmental factors (thermodynamic and kinematic) that are most important for TC genesis and hence TC activity; see SI Appendix for details. Although widely used to infer changes in TC intensity and genesis, the PI and GPI are not perfect proxies for TC activity changes. Although TC-like vortices can be tracked in climate models (e.g., ref. 22), low-resolution climate models, such as the model used here, cannot accurately resolve TC vortices, and hence have large biases in their climatology (23).

**TC Response in the Year Following the Eruption**

Our results show a clear shift of the locations more prone to the development of TCs in the first NH and SH TC seasons following the volcanic eruptions [i.e., July to October (JASO) and January to April (JFMA), respectively (Fig. 1)]. In particular, the TrNH eruptions lead to an overall southward shift in GPI both in the NH in JASO and in the SH in JFMA (Fig. 1A). During the boreal summer, a decrease in the GPI is simulated north of ~10°N while an increase is shown between the equator and ~10°N. In the SH, the GPI southward shift is accompanied by a west–east translation of the GPI maxima in the southwestern Pacific, with an overall decrease over the coasts of Australia, Indonesia, and Tanzania, and an increase in Madagascar and Mozambique.

On the other hand, TrSH eruptions have almost the exact opposite effect: A general northward shift is simulated in the first TC NH and SH seasons (Fig. 1B). However, the western coast of Australia experiences a decrease in GPI under both types of eruptions.

After TrNH eruptions, PI decreases strongly in most of the Pacific and the North Atlantic main development region in JASO, whereas PI increases over the northern Indian Ocean (Fig. 1C). In JFMA, PI decreases over southwestern Pacific Ocean, around Australia and Madagascar. In contrast, PI increases in the central southern Indian Ocean and South Pacific and Atlantic Oceans (Fig. 1C).

PI anomalies from TrSH eruptions are not exactly opposite those from TrSH anomalies as was the case for GPI. PI decreases in the tropical western North Pacific, as well as Melanesia and western Australia, as under TrNH eruptions. Tropical Atlantic PI weakly increases in the eastern part of the main development region, and decreases over the northern Indian Ocean (Fig. 1D). PI decreases occur most of the SH.

In summary, tropical eruptions lead to a consistent decrease in environmental variables associated with the number and intensity of tropical cyclones over Southeast Asia, northwestern and northeastern Australia regardless of whether the eruption occurs in the NH or SH. In the other regions, TrNH and TrSH eruptions lead instead to opposing effects. In particular, the variables associated with number and intensity of TCs decrease in the North Atlantic after TrNH and increase following TrSH eruptions.

The GPI anomaly pattern closely matches the volcanically induced changes in precipitation, while in many regions the PI...
anomalies are strongly associated with the changes in sea-surface temperature (SST) (compare Figs. 1 and 2). TrNH eruptions shift the ITCZ southward both in the NH and SH storm season (Table 1). On the other hand, TrSH eruptions tend to shift the ITCZ northward in the first TC seasons (Table 1). The ITCZ shifts in our experiments are linked to an asymmetric forcing that pushes the ITCZ away from the hemisphere being cooled (8, 24, 25). During TrNH eruptions, the cooling is mostly confined to the NH (Fig. 2B), hence moving the ITCZ southward, while it is limited to the SH after TrSH eruptions (Fig. 2D), therefore moving the ITCZ northward.

**Negligible Effect of Volcanically Induced ENSO Changes on TC Response**

Several studies have shown the impact of ENSO on various aspects of TC activity on a global scale, including frequency, intensity, duration, and track types (13, 26–33). Hence, recent studies (17, 19) have suggested that the TC activity following tropical volcanic eruptions may have been linked to volcanically induced anomalies in the ENSO phase. Tropical volcanic eruptions tend to favor a positive phase of the ENSO (El Niño), through a southward shift of the ITCZ (11) and changes in Walker Circulation (6), among other processes. However, volcanic eruptions in which the sulfate aerosol is confined to the SH shift the ITCZ northward and tend to favor a negative ENSO phase (La Niña) (SI Appendix, Table S1; see also refs. 11 and 34). Furthermore, the GPI anomaly patterns associated with the positive and negative ENSO phases (Fig. 3) are notably different from the pattern of the volcanically induced precipitation anomalies (compare Figs. 1 and 3). To better examine the potential impact of ENSO changes on the volcanically induced GPI anomalies, we created a composite of GPI associated with ENSO changes matching the magnitude of the volcanically induced anomalies in ENSO for each ensemble (Methods). The model GPI ENSO anomalies match well with those from reanalysis [see figures 6 and 8 in Camargo et al. (15)]. The differences between the model GPI and volcanically induced anomalies highlight that the changes caused by the volcanic eruptions are much larger than those associated with ENSO changes (Fig. 4).

To understand the reason for the larger changes in the TC frequency and intensity proxies (i.e., GPI and PI) following volcanic eruptions compared with those induced by ENSO, we examine each of the four environmental factors that have a direct impact on the TC genesis. Most of them show posteruption anomalies larger than those associated with ENSO variability (SI Appendix, Figs. S7–S9). In particular, wind shear is strongly influenced by the volcanic eruptions even when the volcanically induced ENSO anomalies are small as in the case of TrSH eruptions. The volcanic eruptions impact the upper atmosphere meridional temperature gradient, which in turn affects upper-level winds [200 hectopascals (hPa)] via the thermal wind balance (see also SI Appendix, Figs. S10 and S11). Therefore, the vertical wind-shear anomalies are likely one of the main reasons for larger changes in GPI after volcanic eruptions relative to ENSO.

**TC Response in the Second to Fourth Years After the Eruption**

The effects of the volcanic eruptions on TC activity are not confined to the first TC seasons after the eruption but extend far into the fourth season. The hemispheric cooling associated with the tropical eruptions is still widespread during the second, third, and—albeit to a lesser extent—during the fourth TC seasons. The associated equatorward shift of the ITCZ (SI Appendix, Figs. S12 and S13) dominates the volcanically induced GPI anomalies (Fig. 5) as in the first TC seasons. The changes in GPI closely follow those anomalies in all TC seasons up to the fourth year, further strengthening the relationship between the changes in GPI and the volcanically induced shifts of the ITCZ rather than ENSO. Moreover, the GPI anomalies in the second TC season are similar not only in both ensemble setups and for the NH but also for SH eruptions, which have different ENSO responses (La Niña-like vs. Neutral; SI Appendix, Figs. S2 and S3), further supporting that the ENSO changes are not the main cause of the GPI anomalies. Regarding the TC intensity, the PI pattern resembles ENSO anomalies only over the equatorial Pacific (Fig. 1 and SI Appendix, Fig. S6), and the PI volcanically induced anomalies are much larger than those associated with ENSO changes (Fig. 4).

**Table 1. Latitudinal change in the global ITCZ positions for the TC seasons (JASO in the NH; JFMA in the SH) following TrNH and TrSH eruptions relative to the no-volcano case**

| TC season | TrNH | TrSH |
|-----------|------|------|
| JASO      | $\Delta$ITCZ$_{GLOB}$ $-$0.9°N (6.3°N–7.2°N) | $+$0.4°N (7.6°N–7.2°N) |
| JFMA      | $\Delta$ITCZ$_{GLOB}$ $-$0.5°N (6.1°S–4.5°S) | $+$1.2°N (4.5°S–5.7°S) |

The ITCZ position in the volcano and no-volcano simulations are shown in brackets. The location of the ITCZ is determined based on the maximum of zonal average rainfall over ocean grid boxes only.
remain mostly unchanged compared with the first one (compare Figs. 1 and 5), despite large La Niña-like conditions developing in the TrNH case and a weak El Niño-like state for TrSH—i.e., opposite ENSO changes compared with the first year (Fig. 2 and SI Appendix, Figs. S2 and S12). Furthermore, while in La Niña years there are higher GPI values in the North Atlantic due to a reduced wind shear, the second TC season following the TrNH eruption has reduced GPI values notwithstanding the large La Niña-like anomalies (Fig. 5). The wind shear over the North Atlantic shows positive anomalies (SI Appendix, Fig. S7) that are a consequence of the changes in temperature gradient aloft associated with the volcanic aerosol rather than the typical La Niña negative anomalies. Finally, the changes in the temperature near the tropopause are consistent with the changes in GPI rather than the ENSO phase (SI Appendix, Figs. S14 and S15).

Summarizing, the response of the GPI to volcanic eruptions closely follows the precipitation-induced changes, in particular, the ITCZ shift associated with the asymmetrical cooling of the hemispheres following the simulated volcanic eruptions, which lasts for around 4 y.

**Volcanically Induced ITCZ Displacement as Main Driver of TC Response**

The potential impact of volcanic eruptions on tropical cyclones has been recently discussed in the literature, with contradictory

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**Fig. 3.** (A–E) TC seasons (JASO in the NH; JFMA in the SH) GPI and (B–D and F) precipitation anomalies associated with El Niño and La Niña events and their difference. The contour intervals (dashed, negative anomalies; solid, positive anomalies) follow the colorbar scale. Only values that are significantly different at the 5% level using a local (grid-point) t test are shaded. The contours follow the colorbar intervals (solid for positive and dashed for negative anomalies). The zero-anomaly line is omitted for clarity.

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**Fig. 4.** (A–C) Difference between the GPI and (B–D) PI changes in the first TC seasons (JASO in the NH; JFMA in the SH) following the volcanic eruptions and the ENSO GPI and PI composite changes for TrNH (Upper) and TrSH (Lower) eruptions. In the panel headings, V and NV stand for volcano and no-volcano simulations, respectively. Only values that are significantly different at the 5% level using a local (grid-point) t test are shaded. The contours follow the colorbar intervals (solid for positive and dashed for negative anomalies). The zero-anomaly line is omitted for clarity.
conclusions. Some studies have pointed toward a reduction of Atlantic hurricane activity in the first few years following the eruptions (12, 16). Yan et al. (17) have shown that tropical and NH volcanic eruptions generate unfavorable conditions for TC formation over the southwestern Pacific, but more favorable over the North Atlantic. On the other hand, using a compilation of observations, Polvani (20) highlighted that there was no statistically significant decrease in global TC activity after recent volcanic eruptions (12, 16). Yan et al. (17) have shown that tropical and NH volcanic eruptions instead cause unfavorable conditions for TC formation over the southwestern Pacific, but more favorable over the North Atlantic. On the other hand, using a compilation of observations, Polvani (20) highlighted that there was no statistically significant decrease in global TC activity after recent volcanic eruptions.

One of the issues that makes this problem complex is the co-occurrence of El Niño events with recent large volcanic eruptions (El Chichón in 1982, Pinatubo in 1991). Therefore, it is difficult to separate the volcanically induced effects from the El Niño on TC activity, in particular in the North Atlantic basin.

Currently, no consensus has hitherto been found on the causes behind the observed changes in TC activity following volcanic eruptions. Guevara-Murua et al. (16) surmised that volcanically induced cooling of local SSTs is responsible for the reduction in Atlantic hurricane frequency observed after low-latitude eruptions, while Chiacchio et al. (19) and Yan et al. (17) suggest that changes in radiative forcing and ENSO phase act in concert to alter TC genesis.

In this study, we make use of large ensemble simulations of very intense volcanic eruptions with asymmetric aerosol distribution (either NH or SH) to investigate the mechanisms through which volcanic eruptions impact TC activity. To do so, we use proxies for TC frequency (e.g., GPI and PI). We show that in our simulations the main effect of volcanic eruptions on TC activity occurs through the volcanically induced ITCZ shift caused by the hemispherically asymmetric cooling. On the other hand, our results also highlight how the volcanically induced changes in ENSO do not seem to affect the TC response. Finally, the TC changes due to volcanic eruptions are much larger than those associated with ENSO, at least in this model.

In our experiments, we mimic the magnitude of one of the most powerful volcanic eruptions in recorded history to clearly discern the volcanic signal from natural variability. Although the effect of weaker eruptions may not scale linearly, it is likely that the conclusions drawn in this study will not be altered. For example, using the same climate model, Pausata et al. (8) have shown that the ENSO response to NH high-latitude eruptions is a function of the strength of the eruption since it is related to the ITCZ shift, which depends on the magnitude of the hemispherically asymmetric cooling [see figure S3 in Pausata et al. (8)]. Moreover, the precipitation anomaly patterns for the high-latitude volcanic eruptions with different strengths are very similar and closely resemble the rainfall anomalies associated with the NH eruptions [compare figure 2a to S2 b and d in Pausata et al. (8)]. Hence, this suggests that less powerful eruptions likely produce TC anomaly patterns similar—albeit weaker—to those presented in this study.

In summary, we show here that the response of TC activity to large volcanic eruptions in this model is very distinct from ENSO and is indirect, through the ITCZ shift caused by the asymmetric cooling of the hemispheres. These results also allow us to explain why the TC genesis is reduced following NH eruptions as shown in the study of Guevara-Murua et al. (16). Therefore, claims of a reduction of global TC activity following recent volcanic eruptions are not in agreement with our results, supporting the conclusions of Camargo and Polvani (20). We rather see a redistribution of TC activity with some regions experiencing an increase and others a decrease, depending on the location of the volcanic cooling and associated displacement of the ITCZ.

Our results agree with observations (12, 16, 20) and provide a clear mechanism relating the changes in TC genesis and volcanic eruptions. The results of this study lay the groundwork for future research to allow a comprehensive understanding of the TC response to volcanic eruptions and advance the projections of near-term climate change in volcanic eruptions. Higher-resolution climate model experiments forced with volcanic eruptions of various intensities and in various locations will be necessary to further examine the impacts of volcanoes on TCs.

**Methods**

**Model Description and Experiment Design.** In this study we perform the experiments using the Norwegian Earth System Model—NorESM1-M (35, 36) —with horizontal resolution 1.9° (latitude) × 2.5° (longitude) and 26 vertical levels. NorESM1-M is composed of a modified version of the Community Atmospheric Model version 4 [CAM4 (37)], CAM4-Oslo, for the atmospheric part of the model. The model includes an updated aerosol module that simulates the life cycle of sea salt, mineral dust, particulate sulfate, and black and organic carbon (38). NorESM1-M has a separate representation of

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**Fig. 5.** Changes in GPI for TrNH and TrSH experiments relative to the no-volcano reference simulations for the second (A and B), third (C and D), and fourth (E and F) TC seasons (JASO in the NH; JFMA in the SH) following the eruption. The contour intervals (dashed, negative anomalies; solid, positive anomalies) follow the colorbar scale. Only values that are significantly different at the 5% level using a local (grid-point) t test are shaded.
aerosol-radiation and aerosol-cloud interactions, including treatment of the direct effect of aerosols, and the first and second indirect effects of aerosols on clouds. This component is coupled to that of the Miami Isopycnal Coordinate Ocean Model [MICOM] (39). The sea ice and land models are basically the same as in the Community Climate System Model version 4 [CCSM4 (40)]. For detailed descriptions of model performances see refs. 8, 21, 35, and 36.

NorESM1-M has already been used to simulate volcanic eruptions (8, 9, 21) and to avoid unrealistic aerosol residence time a lower injection height compared with observations or reconstructions needs to be used when simulating tropical eruptions (SI Appendix). In the experiments used in the present study a tropical eruption has been simulated in which 60 Tg of SO2 are injected mostly between ~15 and ~21 km (upper troposphere/lower stratosphere) over a period of 3 d, to mimic the strongest eruptions in the last 500 y (Tambora, Indonesia, 1815).

Analysis. Volcanically induced anomalies. The volcanically induced anomalies (Δvolc) are calculated as the difference between the climate state induced by the volcanic eruption (STATEvolc) and the unperturbed climate state (STATEeq), i.e., without a volcanic eruption:

$$\Delta_{\text{volc}} = \text{STATEvolc} - \text{STATEeq}. \quad [1]$$

Each mean climate state is defined as the ensemble average of the given ensemble. We average together the two ensembles for each type of eruption, since the patterns of changes are consistent for the same type of scenario (compare Fig. 1 and SI Appendix, Fig. S3).

ENSO indices. In this study we used ENSO indices to characterize the volcanically induced changes in its phase. The first one is based on monthly SST anomalies averaged over the Niño.3.4 region (5°N to 5°S, 170°W to 120°W) (41). We applied a 5-mo running mean to remove uncoupled intraseasonal variations in SST. An El Niño event is defined when the Niño.3.4 index exceeds 1 SD (+0.4 °C) for at least six consecutive months.

The second one is based on the thermocline temperature anomalies between 3°S and 3°N, and 150°W and 120°W to avoid biases induced by volcanically induced changes in ENSO for each ensemble, using the thermocline temperature anomalies. To create such a composite, we consider the unperturbed no-volcano simulations as well as 54 y of the reference historical simulation (1911–1964). To recreate similar ENSO conditions as in postvolcanic eruption boreal and austral summers (JASO01 and JFMA01), we pick the same thermocline temperature anomalies (within ±0.75°–0.75 °C range) as those simulated in the TrNH and TrSH volcano experiments in JASO01 and JFMA01, from the unperturbed NV ensemble members and the 54 y of reference historical simulation. Hence, we created four composites of surface temperatures having between 15 and 30 cases resembling the mean ENSO conditions in each of the volcanic experiment (TrNH, two experiments and TrSH, two experiments). We can then calculate for example the surface temperature (TS) or GPI anomalies (e.g., ΔTS, ΔGPI), associated with similar ENSO anomalies as in the V–NV experiments (e.g., ΔTSV–NV, ΔGPIV–NV).

Unless otherwise noted, all differences discussed in this study are significant at the 95% confidence level using a Student t test.

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