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Genomics-informed responses in the elimination of COVID-19 in Victoria, Australia: an observational, genomic epidemiological study

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Summary

Background A cornerstone of Australia’s ability to control COVID-19 has been effective border control with an extensive supervised quarantine programme. However, a rapid recrudescence of COVID-19 was observed in the state of Victoria in June, 2020. We aim to describe the genomic findings that located the source of this second wave and show the role of genomic epidemiology in the successful elimination of COVID-19 for a second time in Australia.

Methods In this observational, genomic epidemiological study, we did genomic sequencing of all laboratory-confirmed cases of COVID-19 diagnosed in Victoria, Australia between Jan 25, 2020, and Jan 31, 2021. We did phylogenetic analyses, genomic cluster discovery, and integrated results with epidemiological data (detailed information on demographics, risk factors, and exposure) collected via interview by the Victorian Government Department of Health. Genomic transmission networks were used to group multiple genomic clusters when epidemiological and genomic data suggested they arose from a single importation event and diversified within Victoria. To identify transmission of emergent lineages between Victoria and other states or territories in Australia, all publicly available SARS-CoV-2 sequences uploaded before Feb 11, 2021, were obtained from the national sequence sharing programme AusTrakka, and epidemiological data were obtained from the submitting laboratories. We did phylogenetic analyses to estimate the growth rate, doubling time, and number of days from the first local infection to the collection of the first sequenced genome for the dominant local cluster, and compared our growth estimates to previously published estimates from a similar growth phase of lineage B.1.1.7 (also known as the Alpha variant) in the UK.

Findings Between Jan 25, 2020, and Jan 31, 2021, there were 20 451 laboratory-confirmed cases of COVID-19 in Victoria, Australia, of which 15 431 were submitted for sequencing, and 11 711 met all quality control metrics and were included in our analysis. We identified 595 genomic clusters, with a median of five cases per cluster (IQR 2–11). Overall, samples from 11 503 (98·2%) of 11 711 cases clustered with another sample in Victoria, either within a genomic cluster or transmission network. Genomic analysis revealed that 10 426 cases, including 10 416 (98·4%) of 10 584 locally acquired cases, diagnosed during the second wave (between June and October, 2020) were derived from a single infection from hotel quarantine, with the outbreak lineage (transmission network G, lineage D.2) rapidly detected in other Australian states and territories. Phylogenetic analyses indicated that the epidemic growth rate of the outbreak lineage in Victoria during the initial growth phase (samples collected between June 4 and July 9, 2020; 47·4 putative transmission events, per branch, per year [1/years; 95% credible interval 26·0–85·0]) was similar to that of other reported variants, such as B.1.1.7 in the UK (mean approximately 71·5 1/years). Strict quarantine measures and decisive public health responses to emergent cases are effective, even with high epidemic growth rates. Real-time genomic surveillance can alter the way in which public health agencies view and respond to COVID-19 outbreaks.

Interpretation Our study highlights how rapid escalation of clonal outbreaks can occur from a single incursion. However, strict quarantine measures and decisive public health responses to emergent cases are effective, even with high epidemic growth rates. Real-time genomic surveillance can alter the way in which public health agencies view and respond to COVID-19 outbreaks.

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Research in context

Evidence before this study

Since the start of the COVID-19 pandemic, genomic analysis of SARS-CoV-2 has played an important role in tracking the spread of the virus, and in identifying novel and highly transmissible variants. In Australia, the low prevalence of COVID-19 means that samples from all cases of COVID-19 undergo genomic sequencing, which allows unprecedented tracking of the spread of SARS-CoV-2 and enables rapid public health responses. We searched PubMed, medRxiv, and bioRxiv for primary research studies published in English between Jan 1, 2020, and Feb 11, 2021, using combinations of “SARS-CoV-2”, “genomics”, “phylodynamics”, and “public health response”. We identified six articles that used SARS-CoV-2 genomic surveillance in a low-prevalence setting at the time the study was done, including Australia (n=2), New Zealand (n=2), and the Netherlands and China; only two studies describe the comprehensive real-time integration of genomic surveillance data into the public health response in two settings, one in Victoria (Australia) and the other in New Zealand. We did not identify any studies describing the introduction, expansion, and subsequent elimination of a large clonal second wave.

Added value of this study

In this observational, genomic epidemiology study, we present our unique experience of successfully eliminating SARS-CoV-2 nationally for a second time in Australia. Genomics-informed public health responses were important in identification and response to a large second wave of infection in Australia that started in June, 2020. Following initial elimination of the virus in April, 2020, genomic analyses identified Australia’s mandatory hotel quarantine system as the source of the second wave. Our analysis directly led to a major structural overhaul of the hotel quarantine programme. Additionally, our phylodynamic analyses indicated an epidemic viral growth rate at the start of the second wave similar to that of contemporaneous emerging variants, such as the B.1.1.7 variant in the UK. However, decisive public health responses, even with high epidemic growth rates, led to elimination of the outbreak strain from Australia by the end of October, 2020. Subsequent cases identified in December, 2020, and January, 2021, represented independent introductions, with limited local spread.

Implications of all the available evidence

Our study highlights the need for ongoing vigilance, even in countries where COVID-19 has effectively been eliminated. Swift, comprehensive public health responses successfully controlled a large second wave of infection in Australia, even when high viral growth rates were observed. The combination of integrated epidemiology and genomics had a substantial effect on the course of the pandemic in Australia, altering the way in which public health and government agencies viewed and responded to the pandemic, and bringing genomic analyses into the mainstream public discourse. Genomic analysis has become a core component of public health responses to COVID-19 in Australia, and, in countries that rely on hotel quarantine systems as a barrier to reintroduction by travellers, genomic sequencing provides an early detection system of possible quarantine breaches.

Introduction

The COVID-19 pandemic, caused by SARS-CoV-2, has resulted in global morbidity, mortality, and socio-economic disruption on an unprecedented scale. Although many countries have managed to suppress or eliminate a first wave of infections with a range of public health interventions, such as travel restrictions, physical distancing, and mask use, many are encountering severe health interventions, such as travel restrictions, physical distancing, and mask use, many are encountering severe health interventions, such as travel restrictions, physical distancing, and mask use, many are encountering severe health interventions, such as travel restrictions, physical distancing, and mask use, many are encountering severe

Australia has one of the lowest SARS-CoV-2 infection rates globally. A first wave of COVID-19 occurred in March and April, 2020, prompting implementation of nationwide public health interventions, including closing of the Australian international border and restriction of domestic travel. During this initial pandemic phase, SARS-CoV-2 infections were largely attributable to transmission events arising from returning international travellers, evidenced by the co-circulation of multiple genomic lineages of SARS-CoV-2, as described previously. Since March 20, 2020, the Australian border has been open by exemption only, with all returning travellers required to complete at least 14 days of quarantine in a hotel or another supervised facility. Following a period of near elimination of COVID-19 in Australia, a second wave occurred between June and October, 2020, concentrated in the state of Victoria, with more than 18000 cases and 800 deaths. Here we report the findings of the genomic epidemiological analysis that identified the source of this second wave in Victoria, prompting the rapid institution of strict and extensive public health restrictions, and a fundamental restructure of the hotel quarantine programme.

For the WHO Coronavirus (COVID-19) Dashboard see https://covid19.who.int/
Methods
Setting and data sources
In this observational, genomic epidemiological study, we did genomic sequencing of all laboratory-confirmed cases of COVID-19 in Victoria, Australia between Jan 25, 2020, and Jan 31, 2021. The state of Victoria, which has a population of approximately 6·24 million people, has a consistently high testing rate for SARS-CoV-2. All samples testing positive for SARS-CoV-2 by RT-PCR are forwarded to the Doherty Institute Public Health Laboratories (Melbourne, VIC, Australia) for real-time, prospective, genomic surveillance of COVID-19, with sequencing usually completed within 7 days. For urgent cases, rapid sequencing and analysis is completed within 24 h. Epidemiological data, including detailed information on demographics, risk factors, and exposure were collected for each case through an interview done by the Victoria Department of Health. Mode of acquisition was categorised as: travel overseas if the individual reported travel in the 14 days before symptom onset; contact with a confirmed case if no overseas travel was reported and case contact had occurred within the same period; or source unknown. A COVID-19 genomics response team was established to integrate and review genomic epidemiological data, as previously described. Data were collected in accordance with the Victorian Public Health and Wellbeing Act 2008. Ethical approval was received from the University of Melbourne Human Research Ethics Committee (study number 1954615.3).

Since June, 2020, rapid sharing of SARS-CoV-2 genomic sequence data between Australian states has occurred via AusTrakka, a national sequence sharing programme governed by the Communicable Diseases Genomics Network. All publicly available SARS-CoV-2 sequences available in AusTrakka on Feb 11, 2021, were included, and epidemiological data were obtained from the submitting laboratories.

Procedures
RNA extracted from positive SARS-CoV-2 RT-PCR samples underwent tiled amplicon PCR by use of either ARTIC version 1 or version 3 primers according to published protocols, and Illumina sequencing, as previously described. Reads were aligned to the reference genome (Wuhan Hu 1; GenBank MN908947.3) and consensus sequences were generated. We applied quality control checks on consensus sequences, which required 95% or higher genome recovery, 42 or fewer single nucleotide polymorphisms from the reference genome, and 30 or fewer ambiguous or missing bases for inclusion in our analyses. A single sequence was selected from each positive patient for phylogenetic analysis.

Data analysis
Genomic clusters were defined as two or more related sequences using a complete linkage hierarchical clustering algorithm of pairwise genetic distances derived from the maximum likelihood phylogenetic tree. Genomic transmission networks were used to group genomic clusters when epidemiological and genomic data suggested that they arose from a single importation event and diversified within Victoria. Detailed methodology for phylogenetic analysis, genomic cluster detection, and epidemiological data collection are provided in appendix 1 (pp 1–2).

Sequencing and clustering analysis was done prospectively, with genomic and epidemiological data examined interactively whenever additional data were available. Findings with potential public health significance were immediately communicated to public health decision makers and presented at relevant incident and outbreak management meetings. Routine reporting of genomic surveillance data was also provided to the same individuals weekly.

Phylogenetic analyses were done by use of BEAST 2.5 software. A birth-death tree prior was used to estimate the growth rate with 95% credible intervals, doubling time, and number of days from the first local infection to the collection of the first sequenced genome (ie, the genomic detection lag) of transmission network G, the dominant Victorian wave 2 outbreak strain. Our growth rate estimate was compared with recent (January, 2021) estimates from a similar growth phase of lineage B.1.1.7 (also known as the Alpha variant) in the UK. To minimise the effect of differences in the proportion of positive samples sequenced between transmission network G and B.1.1.7, each transmission network G sequence was sampled with a probability of 0·1 to match this expected proportion of positive samples in the UK, resulting in a dataset of 121 genomes. The detailed methodology for phylogenetic analyses is provided in appendix 1 (p 3).

Role of the funding source
The funders of the study had no role in study design, data collection, data analysis, data interpretation, or writing of the report. Some study authors were staff at the Victorian Government Department of Health and were involved in data collection and manuscript preparation.

Results
Between Jan 25, 2020, and Jan 31, 2021, 20451 cases of laboratory-confirmed COVID-19 were diagnosed in Victoria. Cases initially peaked in mid-March, 2020, declined substantially, then surged rapidly between June and July, before declining again (figure 1). At the time of our analysis (Feb 9, 2021), samples from 15 431 (75·4%) cases had been submitted for sequencing, of which 11 711 (75·9%) met all quality control metrics and were included in our analysis (figure 1; appendix 2).

595 genomic clusters were identified, with a median of five cases per cluster (IQR 2–11; range 2–2193), including 475 genomic clusters within seven local genomic transmission networks. Overall, samples from 11 503 (98·2%) of 11 711 cases clustered with another
There were four discrete periods of SARS-CoV-2 emergence and transmission in Victoria (figure 2). Period 1 (Jan 25 to April 30, 2020) was characterised by a large number (n=114) of small, genetically diverse, travel-associated clusters, consistent with multiple importations by overseas travellers and limited local transmission (table; appendix 1 p 6).

Period 2 (May 1 to May 30, 2020) was characterised by near elimination of SARS-CoV-2 in Victoria, as local transmission had stopped in all but one genomic cluster (figure 2). An increase in locally acquired cases in early May, including a large outbreak in a meat processing facility, was attributed to the ongoing spread of this single genomic cluster, which diversified locally to form transmission network D (lineage B.1.338; appendix 1 p 6). An importation event was not identified for transmission network D, and the last case was identified on May 30, 2020.

Period 3 (May 31 to Dec 6, 2020) was characterised by a rapidly expanding second wave in Victoria (figure 1). Commmencing with a small number of staff at one hotel quarantine site, a surge of cases and outbreaks in the community were identified in mid-June, with no known epidemiological links to each other or to the hotel quarantine programme. Genomic analysis revealed that both staff and community cases were linked to a family of individuals who had returned from Bangladesh, were housed in the hotel staffed by the affected quarantine workers, and were diagnosed with COVID-19 shortly after arrival in Melbourne and 12 days before the first known genomically linked hotel quarantine worker. This single breach from hotel quarantine putatively introduced COVID-19 into the community via hotel quarantine workers infected by returning travellers residing in the hotel, and led to a large transmission network (genomic transmission network G, lineage D.2; figure 2, 3A), involving 10^426 cases over 187 days, and accounting for 10^416 (98·4%) of 10^584 locally acquired cases diagnosed during period 3. Two further breaches of hotel quarantine occurred at a separate hotel in early June, resulting in 26 cases (transmission network E, lineage B.1.1.136) and 145 cases (transmission network F, lineage D.3),

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Figure 1: Epidemic curve of COVID-19 in Victoria, Australia between Jan 25, 2020, and Jan 31, 2021

Cases of COVID-19 are plotted by reported date of COVID-19 diagnosis and coloured according to availability of sequence data for inclusion in our analysis. Cases will not have included sequence data if a sample collected from the case was not received at the sequencing laboratory, or the sample was unable to be sequenced due to insufficient volume, or failure of presequencing DNA extraction or library preparation steps, or both.
respectively (figure 2, 3A). Consequently, all international arrivals into Victoria were stopped on June 30, 2020, the Victorian hotel quarantine programme was suspended, and interstate travel within Australia was temporarily restricted. Following the implementation of public health restrictions, no further cases of these transmission networks have been identified since Oct 29, 2020.

Period 4 (Dec 7, 2020, to Jan 31, 2021) was characterised by elimination of local SARS-CoV-2 transmission in Victoria. An extensively modified hotel quarantine programme commenced on Dec 7, 2020, and international travel resumed. During period 4, 77 cases were identified in returned travellers through the hotel quarantine programme in Victoria, including 28 cases of the lineage B.1.1.7 variant of concern (figure 3B). Despite this observation, all subsequent transmission within Victoria, introduced either through hotel quarantine (genomic cluster 888, lineage B.1.1.7; figure 2), or interstate spread (genomic cluster 57, lineage B.1.517; figure 2) was successfully controlled with limited local transmission, including one instance of suspected transmission between travellers in a quarantine hotel (genomic cluster 888; figure 2). Pre-departure screening of incoming travellers to Australia was subsequently introduced on Jan 22, 2021.

We estimated the growth rate of the early phase (June 4 to July 9, 2020) of the dominant lineage (transmission network G) in Victoria during period 3 at 47·4 putative transmission events, per branch, per year (1/years; 95% credible interval 26·0–85·0), with a corresponding doubling time of 5·3 days (95% credible interval 3·0–9·7). Our growth rate estimate is similar to, albeit somewhat lower than, that of another rapidly emerging outbreak, namely lineage B.1.1.7 in the UK, which has a...
mean estimated growth rate of 7.1 ± 1/years (figure 4A). We also inferred a detection lag of 3–2 days (95% credible interval 0–6–8–7; figure 4B), which is much shorter than in other countries, such as the UK (14–3 days for the B.1.1.7 variant).!

To assess the national consequences of the second wave in Victoria, we included 4001 Australian interstate and New Zealand sequences in the phylogenetic analyses (appendix 3). Between July and September, 2020 (in period 3), 1050 cases of COVID-19 were identified in states other than Victoria, of which 750 (71·4%) were thought to be locally acquired. In response to the ongoing outbreak, many Australian states closed their internal domestic borders, or imposed heavy restrictions on travel to and from Victoria. Despite these measures, genomic analyses revealed 501 sequences within transmission network G originating in every Australian state and territory, predominantly New South Wales (n=438) and Queensland (n=47; figure 3C). Limited local transmission was detected in three of these jurisdictions. Encouragingly, only seven publicly available sequences of this lineage have been identified outside of Australia, suggesting that the international spread of the outbreak lineage has been minimal.

### Discussion

In this observational, genomic epidemiology study, we describe the rapid re-emergence and subsequent successful control of COVID-19 in Victoria, Australia. Genomics analyses identified the source of the second wave (periods 3 and 4) as hotel quarantine breaches, rather than re-emergence or ongoing cryptic community transmission from cases in the first wave (periods 1 and 2), which would have been assumed in the absence of combined genomic and epidemiological data. This finding substantially changed our understanding of the outbreak in real time and, by showing the effectiveness of previous restrictions in eliminating COVID-19 in Victoria, provided the impetus for the immediate implementation of various long-term and widespread public health interventions, including cessation of international arrivals to Victoria, increasingly widespread community lockdowns, a judicial inquiry into the hotel quarantine breaches, and, eventually, a restructure of the hotel quarantine programme.

Mandatory supervised quarantine for returning travellers and other international arrivals has been an integral component of Australia’s COVID-19 control strategy. Our findings show three independent breaches from hotel quarantine in mid-2020 that subsequently
resulted in major modifications to the hotel quarantine programme. A judicial inquiry identified the use of inadequately trained, supervised, and monitored private security staff in quarantine hotels as a major contributing factor, and subsequent changes to the programme included restriction of employment for those working in hotel quarantine (to prevent possible transmission from employees working across multiple casual jobs); improved training in infection control practices; amended governance of the programme to ensure transparent

Figure 3: Maximum likelihood phylogenetic trees of Australian SARS-CoV-2 samples

Samples from COVID-19 cases in Victoria diagnosed between Jan 25, 2020, and Jan 31, 2021, and interstate samples from cases uploaded to AusTrakka before Feb 11, 2021, are included. (A) Sequences from Victorian cases diagnosed during period 3 (May 31, 2020, to Dec 6, 2021) identified as within local transmission networks or genomic clusters indicated. Travel-only clusters and unclustered sequences have not been indicated. Further information on genomic clusters 661 and 203 are provided in appendix 1 (p 7). (B) Sequences from Victorian cases diagnosed during period 4 (Dec 7, 2020, to Jan 31, 2021) identified as within local genomic clusters or variants of concern are indicated. Regions of the phylogenetic tree containing B.1.1.7 and B.1.351 variants of concern are also labelled. Travel-only clusters and unclustered sequences have not been indicated. (C) Sequences from interstate samples (excluding Victoria) identified within transmission network G.
The role of genomic sequencing has changed considerably during the COVID-19 pandemic, particularly in low-prevalence settings such as Australia. Initially, genomic sequencing was used to assign unknown source cases to transmission networks and to clarify cases with ambiguous or multiple epidemiological links.6 With effective elimination now achieved, genomic sequencing analysis is currently used for the rapid identification of potential local transmission, including transmission within quarantine hotels; distinguishing long-term PCR positivity from potential reinfection;33,34 and identifying highly transmissible variants of concern in returned travellers, who are then required to undergo extended isolation periods on arrival in Australia.2,23,41,43 In effect, rapid genomic epidemiological analysis is used as an enhanced outbreak detection system to inform public health responses, both at national and international levels. In particular, the identification of SARS-CoV-2 variants of concern in the UK, South Africa, Brazil, and India has prompted major changes to international travel policies. For example, the UK restricted travel from several countries in southern Africa and introduced additional testing to prevent the importation and spread of the B.1.351 variant (also known as the Beta variant).36 With maximum utility, rapid turnaround times for genomic sequencing are required, with clear implementation pathways from genomic analyses to public health responses.3 The use of an integrated epidemiological and genomic approach had a substantial effect on the course of the pandemic in Victoria, altering the way in

![Figure 4: Growth rate and genome detection lag of transmission network G](image-url)

(A) Posterior distribution of the growth rate (as putative transmission events, per branch, per year) for the exponential phase of transmission network G generated with a birth-death model. The sequences were collected from June 4 to July 9, 2020. To compare the growth rate of transmission network G with that of lineage B.1.1.7, a previous estimate of the growth rate of B.1.1.7 is represented by a red dashed line, with the 95% credible interval represented by black dashed lines. (B) The genome detection lag, defined as the number of days from the first local infection to the collection of the first sequenced genome, for transmission network G.
which public health and government agencies viewed and responded to the pandemic and bringing genomic analyses into the mainstream public discourse. Many genomic surveillance studies are hindered by under-sampling and non-representative sequencing. Our expected high case ascertainment, comprehensive sequencing approach, and high proportion of sequenced cases minimises potential biases; however, additional transmission events from alternate sources might not have been identified. In particular, the absence of international detection of the Victorian outbreak lineage responsible for the Victorian second wave could be an underestimation of true international spread due to under-representation of sequence data from some countries and regions. However, this absence of detection might also be anticipated due to restrictions on ongoing travel from Australia. The generalisability of our approach to non-island settings with multiple routes of international entry could be limited, as indicated by the identification of local transmission following an incursion in Victoria from another Australian state after the introduction of hotel quarantine improvements.

In conclusion, our data show that rapid escalation of clonal outbreaks can occur from single importation events, and that swift and comprehensive public health responses to emergent cases are effective, even when high viral growth rates are observed. Genomic analysis has become a core component of public health responses to COVID-19, particularly in settings where effective elimination has been achieved.

Contributors
BPH, TS, NLS, CRL, MS, KH, SAB, AGdS, TH, PA, MW, and SAJ conceived, designed, and implemented the genomic surveillance programme. BSc, AT, SDo, CA, CL, and NS integrated the surveillance programme into public health responses. All authors were involved in developing the study methods and collecting or analysing epidemiological or microbiological data, or both. TS and KH led the bioinformatics analysis. AFP and SdU did the phylogenetic analysis and assisted with manuscript preparation. CRL, MW, SAJ, AT, SDo, PA, and NLS did the genomic epidemiological analysis. CRL drafted the manuscript, and DAW and BPH edited the manuscript. CRL, NLS, AFP, SDo, KH, PA, MW, AT, SDo, SAJ, DAW, TS, and BPH had full access to and verified all the data in the study. All authors reviewed, approved, and agreed to submit the final version of the manuscript for publication.

Declaration of interests
All authors declare no competing interests.

Data sharing
Consensus sequences, Illumina sequencing reads, and high resolution images of all figures are available at https://github.com/MDU-PHL/COVID19paper, and on Global Initiative on Sharing All Influenza Data. Consensus ascension numbers can be found in appendices 2 and 3.

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