The comprehension of auditory-visual (AV) speech integration has greatly benefited from recent advances in neurosciences and multisensory research. AV speech integration raises numerous questions relevant to the computational rules needed for binding information (within and across sensory modalities), the representational format in which speech information is encoded in the brain (e.g., auditory vs. articulatory), or how AV speech ultimately interfaces with the linguistic system. The following non-exhaustive review provides a set of empirical findings and theoretical questions that have fed the original proposal for predictive coding in AV speech processing. More recently, predictive coding has pervaded many fields of inquiries and positively reinforced the need to refine the notion of internal models in the brain together with their implications for the interpretation of neural activity recorded with various neuroimaging techniques. However, it is argued here that the strength of predictive coding frameworks resides in the specificity of the generative internal models not in their generality; specifically, internal models come with a set of rules applied on particular representational formats themselves depending on the levels and the network structure at which predictive operations occur. As such, predictive coding in AV speech owes to specify the level(s) and the kinds of internal predictions that are necessary to account for the perceptual benefits or illusions observed in the field. Among those specifications, the actual content of a prediction comes first and foremost, followed by the representational granularity of that prediction in time. This review specifically presents a focused discussion on these issues.

**INTRODUCTION**

In natural conversational settings, watching an interlocutor’s face does not solely provide information about the speaker’s identity or emotional state: the kinematics of the face articulating speech can robustly influence the processing and comprehension of auditory speech. Although audiovisual (AV) speech perception is ecologically relevant, classic models of speech processing have predominantly accounted for speech processing on the basis of acoustic inputs (e.g., Figure 1). From an evolutionary standpoint, proximal communication naturally engages multisensory interactions i.e., vision, audition, and touch but it is not until recently that multisensory integration in the communication system of primates has started to be investigated neurophysiologically (Ghazanfar and Logothetis, 2003; Barraclough et al., 2005; Ghazanfar et al., 2005, 2008; Kayser et al., 2007, 2010; Kayser and Logothetis, 2009; Arnal and Giraud, 2012). Advances in multisensory research have raised core issues: how early do multisensory integration occur during perceptual processing (Talsma et al., 2010)? In which representational format do sensory modalities interface for supramodal (Pascual-Leone and Hamilton, 2001; Voss and Zatorre, 2012) and speech analysis (Summerfield, 1987; Altieri et al., 2011)? Which neuroanatomical pathways are implicated (Calvert and Thesen, 2004; Ghazanfar and Schroeder, 2006; Driver and Noeselt, 2008; Murray and Spierer, 2011)? In Humans, visual speech plays an important role in social interactions (de Gelder et al., 1999) but also, and crucially, interfaces with the language system at various depth of linguistic processing (e.g., McGurk and MacDonald, 1976; Auer, 2002; Brancacio, 2004; Campbell, 2008). AV speech thus provides an appropriate model to address the emergence of supramodal or abstract representations in the Human mind and to build upon a rich theoretical and empirical framework elaborated in linguistic research in general (Chomsky, 2000) and in speech research, in particular (Chomsky and Halle, 1968; Liberman and Mattingly, 1985).

**WEIGHTING SENSORY EVIDENCE AGAINST INTERNAL NON-INVARIANCE**

Speech theories have seldom incorporated visual information as raw material for speech processing (Green, 1996; Schwartz et al., 1998) although normal hearing and hearing-impaired populations greatly benefit from looking at the interlocutor’s face (Sumby and Pollack, 1954; Erber, 1978; MacLeod and Summerfield, 1987; Grant and Seitz, 1998, 2000). If any benefit...
FIGURE 1 | Classic information-theoretic description of speech processing. Classic models of speech processing have been construed on the basis of the acoustics of speech, leaving aside the important contribution of visual speech inputs. As a result, the main question in audiovisual (AV) speech processing has been: when does visual speech information integrate with auditory speech? The two main alternatives are before (acoustic or phonetic features, “early” integration) or after (“late” integration) the phonological categorization of the auditory speech inputs (see also Schwartz et al., 1998). However, this model unrealistically frames and biases the question of “when” by imposing a serial, linear and hierarchical processing for speech processing.

for speech encoding is to be gained in the integration of AV information, the informational content provided by each sensory modality is likely to be partially, but not solely, redundant i.e., complementary. For instance, the efficiency in AV speech integration is known to depend not only on the amount of information extracted in each sensory modality but also in its variability (Grant et al., 1998). Understanding the limitations and processing constraints of each sensory modality is thus important to understand how non-invariance in speech signals leads to invariant representations in the brain. In that regards, should speech processing be considered “special?” The historical debate is outside the scope of this review but it is here considered that positing an internal model dedicated to the processing of speech analysis is legitimate to account for (i) the need for invariant representations in the brain, (ii) the parsimonious sharing of generative rules for perception/production and (iii) the ultimate interfacing of the (AV) communication system with the Human linguistic system. As such, this review focuses on the specificities of AV speech not on the general guiding principles of multisensory (AV) integration.

TEMPORAL PARSING AND NON-INVARINANCE
A canonical puzzle in (auditory, visual and AV) speech processing is how the brain correctly parses a continuous flow of sensory information. Like auditory speech, the visible kinematics of articulatory gestures hardly provides non-invariant structuring of information over time (Kent, 1983; Tuller and Kelso, 1984; Saltzman and Munhall, 1989; Schwartz et al., 2012) yet temporal information in speech is critical (Rosen, 1992; Greenberg, 1998). Auditory speech is typically sufficient to provide a high level of intelligibility (e.g., over the phone) and accordingly, the auditory system can parse incoming speech information with high-temporal acuity (Poelpel, 2003; Morillon et al., 2010; Giraud and Poeppel, 2012). Conversely, visual speech alone leads to poor intelligibility scores (Campbell, 1989; Massaro, 1998) and visual processing is characterized by a slower sampling rate (Busch and VanRullen, 2010). The slow timescales over which visible articulatory gestures evolve (and are extracted by the observer’s brain) constrain the representational granularity of visual information to visemes, categories much less distinctive than phonemes.

In auditory neuroscience, the specificity of phonetic processing and phonological categorization has long been investigated (Maiste et al., 1995; Simos et al., 1998; Liégeois et al., 1999; Sharma and Dorman, 1999; Philips et al., 2000). The peripheral mammalian auditory system has been proposed to efficiently encode a broad category of natural acoustic signals by using a time-frequency representation (Lewicki, 2002; Smith and Lewicki, 2006). The intrinsic neural tuning properties allow for multiple modes of acoustic processing with trade-offs in the time and frequency domains.
which naturally partition the time-frequency space into sub-regions. Complementary findings show that efficient coding can be realized for speech inputs (Smith and Lewicki, 2006) supporting the notion that the statistical properties of auditory speech can drive different modes of information extraction in the same neural populations, an observation supporting the “speech mode” hypothesis (Remez et al., 1998; Tuomainen et al., 2005; Stekelenburg and Vroomen, 2012).

In visual speech, how the brain derives speech-relevant information from seeing the dynamics of the facial articulators remains unclear. While the neuropsychology of lipreading has been thoroughly described (Campbell, 1986, 1989, 1992), very few studies have specifically addressed the neural underpinnings of visual speech processing (Calvert, 1997; Calvert and Campbell, 2003). Visual speech is a particular form of biological motion which readily engages some face-specific sub-processes (Campbell, 1986, 1992) but remains functionally independent from typical face processing modules (Campbell, 1992). Insights on the neural bases of visual speech processing may be provided by studies of biological motion (Grossman et al., 2000; Vaina et al., 2001; Servos et al., 2002) and the finding of mouth-movement specific cells in temporal cortex provides a complementary departing point (Desimone and Gross, 1979; Puce et al., 1998; Hans-Otto, 2001). Additionally, case studies (sp. prosopagnosia and akinetopsia) have suggested that both form and motion are necessary for the processing of visual and AV speech (Campbell et al., 1990; Campbell, 1992). In line with this, an unexplored hypothesis for the neural encoding of facial kinematics is the use form-from-motion computations (Cathiard and Abry, 2007) which could help the implicit recovery of articulatory commands from seeing the speaking face (e.g., Viviani et al., 2011).

ACTIVE SAMPLING OF VISUAL SPEECH CUES

In spite of the limited informational content provided by visual speech (most articulatory gestures remain hidden), AV speech integration is resilient to further degradation of the visual speech signal. Numerous filtering approaches do not suppress integration (Rosenblum and Saldana, 1996; Campbell and Massaro, 1997; Jordan et al., 2000; MacDonald et al., 2000) suggesting that the use of multiple visual cues (e.g., luminance patterns (Jordan et al., 2000); kinematics (Rosenblum and Saldana, 1996)). Additionally, neither the gender (Walker et al., 1995) nor the familiarity (Rosenblum and Yakel, 2001) of the face impacts the robustness of AV speech integration. As will be discussed later, AV speech integration also remains resilient to large AV asynchronies (cf. Resilient temporal integration and the co-modulation hypothesis). Visual kinematics alone are sufficient to maintain a high rate of AV integration (Rosenblum and Saldana, 1996) but whether foveal (i.e., explicit lip-reading with focus on the mouth area) or extra-foveal (e.g., global kinematics) information is most relevant for visemic categorization remains unclear.

Interestingly, gaze fixations 10–20° away from the mouth are sufficient to extract relevant speech information but numerous eye movements have also been reported (Vatikiotis-Bateson et al., 1998; Paré et al., 2003). It is noteworthy that changes of gaze direction can be crucial for the extraction of auditory information as neural tuning properties throughout the auditory pathway are modulated by gaze direction (Werner-Reiss et al., 2003) and auditory responses are affected by changes in visual fixations (Rajkai et al., 2008; van Wassenhove et al., 2012). These results suggest an interesting working hypothesis: the active scanning of a speaker’s face may compensate for the slow sampling rate of the visual system.

Hence, despite the impoverished signals provided by visual speech, additional degradation does not fully prevent AV speech integration. As such, (supramodal) AV speech processing is more likely than not a natural mode of processing in which the contribution of visual speech to the perceptual outcome may be regulated as a function of the needs for perceptual completion in the system.

AV SPEECH MODE HYPOTHESIS

Several findings have suggested that AV signals displayed in a speech vs. a non-speech mode influence both behavioral and electrophysiological responses (Tuomainen et al., 2005; Stekelenburg and Vroomen, 2012). Several observations could complement this view. First, lip-reading stands as a natural ability that is difficult to improve (as opposed to reading ability; Campbell, 1992) and is a good predictor of AV speech integration (Grant et al., 1998). In line with these observations, and as will be discussed later on, AV speech integration undergoes a critical acquisition period (Schorr et al., 2005).

Second, within the context of an internal speech model, AV speech integration is not arbitrary and follows principled internal rules. In the seminal work of McGurk and MacDonald (1976, MacDonald and McGurk, 1978), two types of phenomena illustrate principled ways in which AV speech integration occurs. In fusion, dubbing an auditory bilabial (e.g., [ba] or [pa]) onto a visual velar place of articulation (e.g., [ga] or [ka]) leads to an illusory fused alveolar percept (e.g., [da] or [ta], respectively). Conversely, in combination, dubbing an auditory [ga] onto a visual place of articulation [ba] leads to the illusory combination percept [bga]. Fusion has been used as an index of automatic AV speech integration because it leads to a unique perceptual outcome that is nothing like any of the original sensory inputs (i.e., neither a [ga] nor a [ba], but a third percept). Combination has been much less studied: unlike fusion, the resulting percept is not unique but rather a product of co-articulated speech information (such as [bga]). Both fusion and combination provide convenient (albeit arguable) indices on whether AV speech integration has occurred or not. These effects can be generalized across places-of-articulation in stop-consonants such that any auditory bilabial dubbed onto a visual velar result in a misperceived alveolar. These two kinds of illusory AV speech outputs illustrate the complexity of AV interactions and suggest that the informational content carried by each sensory modality determines the nature of AV interactions during speech processing. A strong hypothesis is that internal principles should depend on the articulatory repertoire of a given language and few cross-linguistic studies have addressed this issue (Sekiyama and Tohkura, 1991; Sekiyama, 1994, 1997).

Inherent to the speech mode hypothesis is the attentional-independence of speech analysis. Automaticity in AV speech processing (and in multisensory integration) is a matter of great
elaborate on possible predictive implementations and tenants of AV speech integration rely on specific computational mechanisms that are constrained by the internal rules of the speech processing system—and possibly modulated by attentional mechanisms that are constrained by the internal rules of the speech processing system. While attention may regulate the weight of sensory information being processed in each sensory modality—e.g., via selective attention (Lakatos et al., 2008; Schroeder and Lakatos, 2009)—attention does not a priori overtake the internal generative rules for speech processing. In other words, while the strength of AV speech integration can be modulated (Tiippana et al., 2003; Soto-Faraco et al., 2004; Alsius et al., 2005; van Wassenhove et al., 2005), AV speech integration is not fully abolished in integrators.

The robustness and principled ways in which visual speech influences auditory speech processing suggest that the neural underpinnings of AV speech integration rely on specific computational mechanisms that are constrained by the internal rules of the speech processing system—and possibly modulated by attentional focus on one or the other streams of information. I now elaborate on possible predictive implementations and tenants of AV speech integration.

### Predictive Coding, Priors and the Bayesian Brain

A majority of mental operations are cognitively impenetrable i.e., inaccessible to conscious awareness (Pylyshyn, 1984; Kihlstrom, 1987). Proposed more than a century ago [Parrot (cf. Allik and Konstabel, 2005); Helmholtz MacKay, 1958; Barlow, 1990; Wundt (1874)], unconscious inferences later coined the role of sensory processing as a means to remove redundant information in the incoming signals based on the informed natural statistics of sensory events. For instance, efficient coding disambiguates incoming sensory information using mutual inhibition as a means to decorrelate mixed signals: a network can locally generate hypotheses on the basis of a known (learned) matrix from which inversion can be drawn for prediction (Barlow, 1961; Srinivasan et al., 1982; Barlow and Földiak, 1989). Predictive coding can be local, for instance with a specific instantiation in the architecture of the retina (Hosoya et al., 2005). Early predictive models have essentially focused on the removal of redundant information in the spatial domain. Recently, predictive models have incorporated more sophisticated levels of predictions (Harth et al., 1987; Rao and Ballard, 1999; Friston, 2005). For instance, Harth et al. (1987) proposed a predictive model in which feedback connectivity shapes the extraction of information early in the visual hierarchy and such regulation of V1 activity in the analysis of sensory inputs has also been tested (Sharma et al., 2003). The initial conception of “top–down” regulation has been complemented with the notion that feedforward connections may not carry the extracted information per se but rather the residual error between “top–down” internal predictions and the incoming sensory evidence (Rao and Ballard, 1999).

A growing body of evidence supports the view that the brain is a hierarchically organized inferential system in which internal hypotheses or predictions are generated at higher levels and tested against evidence at lower levels along the neural pathways (Friston, 2003); predictions are carried by backward and lateral connections whereas prediction errors are carried by forward projections. Predictive coding schemes have thus gone from local circuitries to brain system seemingly suggesting that access to high-level representations are necessary to formulate efficient predictions.

### Fixed vs. Informed Priors

Conservatively, any architectural constraint (e.g., connectivity pattern, gross neuroanatomical pathways), knowledge and circuitry acquired during a sensitive and before a critical period, or the endowment of the system can all be considered deterministic or fixed priors. Contrariwise, informed priors are any form of knowledge undergoing updates available through plastic changes and acquired through experience.

At the system level, a common neurophysiological index taken as evidence for predictive coding in cortex is the MisMatch Negativity (MMN) response (Näätänen et al., 1978; Näätänen, 1995): the MMN is classically elicited by the presentation of a rare event (~20% of the time) in the context of standard events (~80% of the time). The most convincing evidence for the MMN as a residual error resulting from the comparison of an internal prediction with incoming sensory evidence is the case of the MMN to omission, namely an MMN elicited when an event is omitted in a predictable sequence of events (Tervaniemi et al., 1994; Yabe et al., 1997; Czigler et al., 2006). Other classes of electrophysiological responses have been interpreted as residual errors elicited by a deviance at different levels of perceptual or linguistic complexities (e.g., the N400; Lau et al., 2008). Recent findings have also pointed out to the hierarchical level at which statistical contingencies can be incorporated in a predictive model (Wacongne et al., 2011). Altogether, these results are in line with recent hierarchical processing of predictive coding in which the complexity of the prediction depends on the depth of recursion in the predictive model (Kiebel et al., 2008).

In AV speech, the seminal work of Sams and Aulanko (1991) used an MMN paradigm with magnetoencephalography (MEG). Using congruent and incongruent (McGurk: audio [pa] dubbed onto visual [ka]) stimuli, the authors found that the presentation of an incongruent (congruent) AV speech deviant in a stream of congruent (incongruent) AV speech standards elicited a robust auditory MMN. Since, a series of subsequent MMN studies has replicated these findings (Colin et al., 2002; Möttönen et al., 2002, 2004) and the sources of the MMN was consistently located in auditory association areas, about 150 to 200 ms following auditory onset and in the superior temporal sulcus from 250 ms on. The bulk of literature using MMN in AV speech therefore suggests that internal predictions generated in the auditory regions incorporate visual information relevant for the analysis of speech.

Critically, it is here argued that internal models invoked for speech processing are part of the cognitive architecture i.e., likely endowed with fixed priors for the analysis of (speech) inputs. The benefit of positing an internal model is precisely to account for robust and invariant internal representations that are resilient to the ever-changing fluctuations of a sensory environment. As such, a predictive model should help refine the internal representations in light of sensory evidence, not entirely shape the internal prediction on the basis of the temporary environmental statistics.
In this context, the temporal statistics of stimuli using an MMN paradigm (e.g., 80% standards, 20% deviants) confine predictions to the temporary experimental context: the residual error is context-specific and tied to the temporary statistics of inputs provided within a particular experimental session. Thus, the MMN may not necessarily reveal fixed priors or specific hard-wired constrains of the system. An internal model should provide a means to stabilize non-invariance in order to counteract the highly variable nature of speech utterances irrespective of the temporally local context. A strong prediction is thus that the fixed priors of an internal model should supersede the temporary statistics of stimuli during a particular experimental session. Specifically, if predictive coding is a canonical operation of cortical function, residual errors should be the rule, not the exception and residual errors should be informative with respect to the content of the prediction, not only with respect to the temporal statistics of the sensory evidence. Following this observation, an experimental design using an equal number of different types of stimuli should reveal predictive coding indices that specifically target the hard-constraints or fixed priors of the system. In AV speech, auditory event-related potentials elicited by the presentation of AV speech stimuli show dependencies on the content of visual speech stimuli: auditory event-related potentials could thus be interpreted as the resulting residual-errors of a comparison process between auditory and visual speech inputs (van Wassenhove et al., 2005).

The argument elaborated here is that to enable a clear interpretation of neurophysiological and neuroimaging data using predictive approaches, the description of the internal model being tested along with the levels at which predictions are expected to occur (hence, the representational format and content of the internal predictors) has become necessary. For instance, previous electrophysiological indices of AV speech integration (van Wassenhove et al., 2005) including latency (interpreted as visual modulations of auditory responses that are speech content-dependent) and amplitude (interpreted as visual modulations of auditory responses that are speech content-independent) effects are not incompatible with the amplitude effects reported in other studies (e.g., Stekelenburg and Vroomen, 2007). AV speech integration implicates speech-specific predictions (e.g., phonetic, syllabic, articularatory representations) but also entails more general operations such as temporal expectation or attentional modulation. As such, the latency effects showed speech selectivity whereas amplitude effects did not; the former may index speech-content predictions coupled with temporal expectations, whereas the latter may inform on general predictive rules. Hierarchical levels can operate predictively in a non-exclusive and parallel manner. The benefit of predictive coding approaches is thus the refinement internal generative models, their specificity with regards to the combinatorial rules that are being used and the representational formats and contents of the different levels of predictions implicated in the model.

**BAYESIAN IMPLEMENTATION OF PREDICTIVE CODING**

Can Bayesian computations serve predictive coding for speech processing? Recent advances in computational neurosciences have offered a wealth of insights on the Bayesian brain (Denève and Pouget, 2004; Ernst and Bültthoff, 2004; Ma et al., 2006; Yuille and Kersten, 2006) and have opened new and essential venues for the interpretation of perceptual and cognitive operations.

AV speech research has seen the emergence of one of the first Bayesian models for perception, the Fuzzy Logical Model of Perception or FLMP (Massaro, 1987, 1998). In the initial FLMP, the detection and the evaluation stages in speech processing were independent and eventually merged into a single evaluation process (Massaro, 1998). At this level, each speech signal is independently evaluated against prototypes in memory store and assigned a "fuzzy truth value" representing how well the input matches a given prototype. The fuzzy truth value could range from 0 (does not match at all) to 1 (exactly matches the prototype); the prototypical feature represents the ideal value that an exemplar of the prototype holds—i.e., 1 in fuzzy logic—hence the probability that a feature is present in the speech inputs. The prototypes are defined as speech categories which provide an ensemble of features and their conjunctions (Massaro, 1987). In AV speech processing, the 0 to 1 mapping in each sensory modality allowed the use of Bayesian conditional probabilities and computations would take the following form: what is the probability that an AV speech input is a [ba] given a 0.6 probability of being a bilabial in the auditory domain and a 0.7 probability in the visual domain? The best outcome is selected based on the goodness-of-fit determined by prior evidence through a maximum likelihood procedure. Hence, in this scheme, the independence of sensory modalities is necessary to allow the combination of two feature estimates (e.g., place-of-articulations) and a compromise is reached at the decision stage through adjustments of the model with additional sensory evidence. In the FLMP, phonological categorization is thus replaced by a syllabic-like stage (and word structuring) as constrained by the classic phonological rules.

A major criticism of this early Bayesian model for speech perception pertains to the fitting adjustments of the FLMP which would either overfit or be inappropriate for the purpose of predicting integration (Grant, 2002; Schwartz, 2003). Additional discussions have pointed out to the lack of clear accounting of the format of auditory and visual speech representations in such models (Altieri et al., 2011). More recent proposals have notably proposed a parallel architecture to account for AV speech integration efficiency in line with the interplay of inhibitory and excitatory effects seen in neuroimaging data (Altieri and Townsend, 2011).

**ANALYSIS-BY-SYNTHESIS (ABYS)**

In the seminal description of Analysis-by-Synthesis (AbyS, Figure 2) for auditory speech processing by Halle and Stevens (1962), and in line with the Motor Theory of Speech Perception (Liberman et al., 1967; Liberman and Mattingly, 1985), the internal representations used for the production and perception of speech are shared. Specifically, AbyS sketched a predictive implementation for the analysis of auditory speech: the internalized rules for speech production enable to generate hypotheses about which acoustic inputs would come next (Stevens, 1960). From a computational standpoint, AbyS provides the representational system and the fixed priors (internal rules) constraining the
computations of Bayesian probabilities at the comparison stages. The comparison of auditory and visual speech inputs with internalized articulatory commands can be compatible with Bayesian computations.

In the AbyS, auditory inputs (after preliminary spectral analysis Poeppel et al., 2008) are matched against the internal articulatory rules that would be used to produce the utterance (Halle and Stevens, 1962). Internal speech production rules can take upon continuous values as the set of commands in speech production change as a function of time but “a given articulatory configuration may not be reached before the motion toward the next must be initiated” (Halle and Stevens, 1962). Although the internal rules provide a continuous evaluation of the parameters, the evaluation process can operate on a different temporal scale thereby the units of speech remain discrete and articulatory based. By analogy with the overlap of articulatory commands, the auditory speech inputs contain the traces of preceding and following context (namely, co-articulation effects). Hence, the continuous assignment of values need not bear a one-to-one relationship with the original input signals and overlapping streams of information extraction (for instance, via temporal encoding windows) may enable this process.

AMODAL PREDICTIONS

This early model provided one possible implementation for a forward in time and predictive view of sensory analysis (Stevens, 1960; Halle and Stevens, 1962). Since, AbyS has been re-evaluated in light of recent evidence for predictive coding in speech perception (Poeppel et al., 2008). The internally generated hypotheses are constrained by phonological rules and their distinctive features serve as the discrete units for speech production/perception (Poeppel et al., 2008). The non-invariance of incoming speech inputs can be compensated for by the existence of trading cues matched against the invariant built-in internal rules of the speech system. In particular, the outcome of the comparison process (i.e., the residual error) enables an active correction of the perceptual outcome (i.e., recalibrating so as to match the best fitting value) of the production output.

In conversational settings, the visible articulatory gestures for speech production have recently been argued to precede the auditory utterance by an average of 100–300 ms (Chandrasekaran et al., 2009). The natural precedence of visual speech features could initiate the generation of internal hypotheses as to the incoming auditory speech inputs. This working hypothesis was tested with EEG and MEG by comparing the auditory evoked-responses elicited by auditory and AV speech stimuli (van Wassenhove et al., 2005; Figure 3). The early auditory evoked responses elicited by AV speech showed (i) shorter latencies and (ii) reduced amplitudes compared to those elicited by auditory speech alone (van Wassenhove et al., 2005; Arnal et al., 2009). Crucially, the latency shortening of auditory evoked responses was a function of the ease with which participants categorized visual speech alone, thereby a [pa] lead to shorter latencies than [ka] or [ta]. In the context of AbyS, the reliability with which visual speech can trigger internal predictions for incoming auditory speech constrains the analysis of auditory speech (van
FIGURE 3 | Auditory event-related potentials in response to auditory (blue), visual (green), and AV (red) non-sense syllables. (Panel A) Scalp distribution of auditory ERPs to auditory, visual and AV speech presentation. (Panel B) Latency (bottom left) and absolute amplitude (bottom right) differences of the auditory ERPs (N1 is blue, P2 is red) as a function of correct identification (CI) of visual speech. The better the identification rate in visual speech alone, the earlier the N1/P2 complex occurred. A similar amplitude decrease for N1 (less negative) and P2 (less positive) was observed for all congruent and incongruent AV presentations as compared to A presentations (van Wassenhove et al., 2005).
Wassenhove et al., 2005; Poeppel et al., 2008; Arnal et al., 2009, 2011).

TEMPORAL ENCODING WINDOWS AND TEMPORAL WINDOWS OF INTEGRATION

Two features of the AbyS model are of particular interest here (Figure 5). First, visual speech is argued to predict auditory speech in part because of the natural precedence of incoming visual speech inputs; second, AV speech integration tolerates large AV asynchronies without affecting optimal integration (Massaro et al., 1996; Conrey and Pisoni, 2006; van Wassenhove et al., 2007; Maier et al., 2011). In one of these studies (van Wassenhove et al., 2007), two sets of AV speech stimuli (voiced and voiceless auditory bilabials dubbed onto visual velars) were desynchronized and tested using two types of task: (i) a speech identification task (“what do you hear while looking at the talking face?”) and (ii) a temporal synchrony judgment task (“where AV stimuli in- or out-of-sync?”). Results showed that both AV speech identification and temporal judgment tolerated about 250 ms of AV desynchrony in McGurked and congruent syllables. The duration of the “temporal window of integration” found in these experiments approximated the average syllabic duration across languages, suggesting that syllables may be an important unit of computations in AV speech processing. Additionally, this temporal window of integration showed an asymmetry so that visual leads were better tolerated than auditory leads—with respect to the strength of AV integration. This suggested that the temporal resolutions for the processing of speech information arriving in each sensory modality may actually differ, in agreement with the natural sampling strategies found in auditory and visual systems. This interpretation could now be refined (Figure 4).

The “temporal window of integration” can be seen as the integration of two temporal encoding windows (following the precise specifications of Theunissen and Miller, 1995), namely: the encoding window needed by the auditory system to reach phonological categorization is determined by the tolerance to visual lags and visual encoding (green arrow: tolerance to visual leads) form the temporal encoding window for AV speech integration. (Panel B) Schematic illustration distinguishing temporal encoding and temporal integration windows. The temporal resolution reflected in the encoding window corresponds to the necessary or obligatory time for speech encoding; the temporal resolution reflected in the integration windows correspond to the encoding window plus the tolerated temporal noise leading to less than optimal encoding performance.

**FIGURE 4 | Temporal window of integration in AV speech.** (Panel A) Illustration of results in a simultaneity judgment task (top) and a speech identification task (bottom) (van Wassenhove et al., 2007). Simultaneity ratings observed for congruent (top, filled symbols) and incongruent (top, open symbols) AV speech as a function of AV desynchrony. Auditory dominated (bottom, blue), visual dominated (bottom, green) or McGurk fusion (bottom, orange) responses as a function of desynchrony using McGurked syllables. The combination of the auditory encoding (blue arrow: tolerance to visual lags) and visual encoding (green arrow: tolerance to visual leads) form the temporal encoding window for AV speech integration. (Panel B) Schematic illustration distinguishing temporal encoding and temporal integration windows. The temporal resolution reflected in the encoding window corresponds to the necessary or obligatory time for speech encoding; the temporal resolution reflected in the integration windows correspond to the encoding window plus the tolerated temporal noise leading to less than optimal encoding performance.
visual speech lags, whereas the encoding window needed for the visual system to reach visemis categorization is illustrated by the tolerance to auditory speech lags. Hence, the original “temporal window of integration” is a misnomer: the original report describing a plateau within which the order of auditory and speech information did not diminish the rate of integration specifically illustrates the “temporal encoding window” of AV speech i.e., the necessary time needed for the speech system to elaborate a final outcome or to establish a robust residual error from the two analytical streams in the AbyS framework. The tolerated asynchronies measured by just-noticeable-differences (Vroomen and Keetels, 2010) or thresholds should be interpreted as the actual “temporal integration window” namely, the tolerance to temporal noise in the integrative system. Said differently, the fixed constraints are the temporal encoding windows; the tolerance to noise is reflected in the temporal integration windows.

Temporal windows of integration or “temporal binding windows” (Stevenson et al., 2012) have been observed for various AV stimuli and prompted some promising models for the integration of multisensory information (Colonius and Diederich, 2004). Consistent with the distinction between encoding and integration windows described above, a refined precision of temporal integration/binding windows can be obtained after training (Powers et al., 2009) with a likely limitation of training to the temporal encoding resolution of the system. Interestingly, a recent study (Stevenson et al., 2012) has shown that the width of an individual’s temporal integration window for non-speech stimuli could predict the strength of AV speech integration (Stevenson et al., 2012). Whether direct inferences can be drawn between the conscious simultaneity of AV events (overt comparison of events timing entails segregation) and AV speech (integration of AV speech content) is, however, growing controversial. For instance, temporal windows in patients with schizophrenia obtained in a timing task are a poor predictors of their ability to bind AV speech information (Martin et al., 2012), suggesting that distinct neural processes are implicated in the two tasks (in spite of identical AV speech stimuli). Future work in the field will likely help disambiguating which neural operations are sufficient and necessary for conscious timing and which are necessary for binding operations.

OSCILLATIONS AND TEMPORAL WINDOWS

In this context, one could question whether the precedence of visual speech is a prerequisite for predictive coding in AV speech and specifically, whether the ordering of speech inputs in each sensory modality may affect the posited predictive scheme. This
would certainly be an issue if speech analysis followed serial computations operating on a very refined temporal grain. As seen in studies of desynchronized AV speech, this does not seem to be the case: the integrative system operates on temporal windows within which order is not essential (cf. van Wassenhove, 2009 for a discussion on this topic) and both auditory and visual systems likely use different sampling rates in their acquisition of sensory evidence (cf. Temporal parsing and non-invariance).

Recent models of speech processing have formulated clear mechanistic hypotheses implicating neural oscillations: the temporal logistics of cortical activity naturally impose temporal granularities on the parsing and the integration of speech information (Giraud and Poeppel, 2012). For instance, the default oscillatory activity observed in the speech network (Morillon et al., 2010) is consistent with the posited temporal multiplexing of speech inputs. If the oscillatory hypothesis is on the right track, it is thus very unlikely that the dynamic constraints as measured by the temporal encoding (and not integration) window can be changed considering that cortical rhythms (Wang, 2010) provide the dynamic architecture for neural operations. The role of oscillations for predictive operations in cortex has further been reviewed elsewhere (Arnal and Giraud, 2012).

Additionally, visual speech may confer a natural rhythmicity to the syllabic parsing of auditory speech information (Schroeder et al., 2008; Giraud and Poeppel, 2012) and this could be accounted for by phase-resetting mechanisms across sensory modalities. Accordingly, recent MEG work illustrates phase consistencies during the presentation of AV information (Luo et al., 2010; Zion Golumbic et al., 2013). Several relevant oscillatory regimes [namely theta (4 Hz, \( \sim 250 \) ms), beta (\( \sim 20 \) Hz, 50 ms) and gamma (>40 Hz, 25 ms)] have also been reported that may constrain the integration of AV speech (Arnal et al., 2011). A bulk of recent findings provides structuring constraints on speech processing—i.e., fixed priors. Consistent with neurophysiology, AbyS incorporates temporal multiplexing for speech processing thereby parallel temporal resolutions are used to represent relevant speech information at the segmental and syllabic scales (Poeppel, 2003; Poeppel et al., 2008). In AV speech, each sensory modality may thus operate with a preferred temporal granularity and it is the integration of the two processing streams that effectively reflects the temporal encoding window. Such parallel encoding may also be compatible with recent efforts in modeling AV speech integration (Altieri and Townsend, 2011).

**CRITICAL PERIOD IN AV SPEECH PERCEPTION: ACQUISITION OF FIXED PRIORS**

During development, the acquisition of speech production could undergo an imitative stage from visual speech perception to speech production. In principle, the imitative stage allows children to learn how to articulate speech sounds by explicitly reproducing the caretakers’ facial gestures. However, mounting evidence suggests that imitation does not operate on a blank-slate system; rather, internal motor representations for speech are readily available early on. First, the gestural repertoire is already very rich only 3 weeks after birth, suggesting an innate ability for the articulation of elementary speech sounds (Meltzoff and Moore, 1979; Dehaene-Lambertz and Dehaene-Hertz-Pannier, 2002). Second, auditory inputs alone are sufficient for infants to reproduce accurately simple speech sounds and enable the recognition of visual speech inputs matching utterances that have only been heard (Kuhl and Meltzoff, 1982, 1984). Furthermore, during speech acquisition, infants do not see their own gestures: consequently, infants can only correct their own speech production via auditory feedback or via matching a peer’s gestures (provided visually) to their own production, i.e., via proprioception (Meltzoff, 1999).

Comparatively few studies have addressed the question of AV speech processing during development. The simplest detection of AV synchrony has been argued to emerge first followed by duration, rate and rhythm matching across sensory modalities in the first 10 months of an infant’s life (Lewkowicz, 2000). In the spatial domain, multisensory associations are established slowly during the first 2 years of life suggesting that the more complex the pattern, the later the acquisition, in agreement with the “increasing specificity hypothesis” (Gibson, 1969; Spelke, 1981). Three and a half months old infants are sensitive to natural temporal structures but only later on (7 months) are arbitrary multisensory associations detected (e.g., pitch and shape Bahrick, 1992); emotion matching in strangers (Walker-Andrews, 1986). However, early sensitivity to complex AV speech events has been reported in 5 months old infants who can detect the congruency of auditory speech inputs with facial articulatory movements (Rosenblum et al., 1997). The spatiotemporal structuring of arbitrary patterns as well as the nature and ecological relevance of incoming information owe to be important factors in the tuning of a supramodal system. The acquisition of cross-sensory equivalences seems to undergo a perceptual restructuring that can be seen as a fine-tuning of perceptual grouping (Gestalt-like) rules.

Born deaf children who received implants at various ages provide an opportunity to investigate the importance of age at the time of implant for the development of AV speech perception (Bergeson and Pisoni, 2004). A substantial proportion of children who receive cochlear implants learn to perceive speech remarkably well using their implants (Waltzman et al., 1997; Svirsky et al., 2000; Balkany et al., 2002) and are able to integrate congruent AV speech stimuli (Bergeson et al., 2003, 2005; Niparko et al., 2010). In a previous study (Schorr et al., 2005), born-deaf children who had received cochlear implants were tested with McGurk stimuli [visual [ka] dubbed with auditory [pa]; (McGurk and MacDonald, 1976)]. The main hypothesis was that experience played a critical role in forming AV associations for speech perception. In this study, most children with cochlear implants did not experience reliable McGurk effects, and AV speech perception for these children was essentially dominated by lip-reading consistent with their hearing-impairment. However, the likelihood of consistent McGurk illusory reports depended on the age at which children received their cochlear implants. Children who exhibited consistent McGurk illusions received their implants before 30 months of age; conversely, children who received implants after 30 months of age did not show consistent McGurk effects. These results demonstrated that AV speech integration was shaped by experience early on in

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of the lips show high correlation or co-modulation (Grant and 2012). In AV speech, the acoustic envelope and the movements (Evans and Treisman, 2010). Additionally, auditory and visual signals showing slow temporal fluctuations are most likely to undergo automatic integration (Kösem and van Wassenhove, 2012). In AV speech, the acoustic envelope and the movements of the lips show high correlation or co-modulation (Grant and Seitz, 2000; Remez, 2003) naturally locked to the articulatory gestures of the face. Crucially, this co-modulation shows specificity: AV speech intelligibility shows a similar range of tolerance to asynchronies when the spectral characteristics of the acoustic signal preserve the feature information specific to the articulation (i.e., the F2/F3 formants region) (Grant and Greenberg, 2001). These local correlations have recently been argued to promote AV speech integration even when visual speech information is consciously suppressed (Alsius and Munhall, 2013). Taken altogether, these results suggest that the correlation of auditory and visual signals serve as a strong (bottom-up) cue for integration enabling the brain to correctly track signals belonging to the same person as indicated by recent neurophysiological findings (Zion Golumbic et al., 2013).

These observations need to be reconciled with an efficient predictive coding framework as the speech content provided by audition and vision is likely undergoing a non-correlative operation. This would be necessary to allow for the typical informational gain observed in AV speech studies in line with a previously sketched out idea (van Wassenhove et al., 2005), the proposed distinction between correlated and complementary modes of AV speech processing (Campbell, 2008) and AV speech integration models (Altieri and Townsend, 2011).

In two experiments (Brungart et al., 2007, 2008), the resilience of AV speech intelligibility was put to the test of noise, AV speech asynchrony and speaking rate. In a first experiment, AV speech recordings of phrases from the Modified Rhyme Test (MRT) were accelerated or decelerated (Brungart et al., 2007). Eight different levels of speaking rate were tested ranging from 0.6 to 20 syllables per second (syl/s). Results showed that the benefits of AV speech were preserved at speaking rates as fast as 12.5 syl/s but disappeared when the rate was increased to 20 syl/s. Importantly, AV speech performance did not benefit from phrases presented slower than their original speaking rates. Using the same experimental material, both the speaking rate and the degree of AV speech asynchrony were varied (Brungart et al., 2008). For the fastest speaking rates, maximal AV benefit occurred at slightly larger visual delay (150 ms) but there was no conclusive evidence suggesting that auditory speech delays for maximal benefit systematically changed with speaking rate. At the highest speaking rates, AV speech enhancement was maximal when the audio signal was delayed by ~150 ms relative to visual speech, and performance degraded relatively rapidly when the audio speech varied away from its optimal value. As the speaking rate decreased, the range of delays for enhanced AV speech benefit increased, suggesting that participants were tolerant to a wider range of AV speech asynchronies when the speaking rate was relatively slow. However, there was no compelling evidence suggesting that the optimal delay value for AV enhancement systematically changed with the speaking rate of the talker. Finally, when acoustic noise was added, the benefit of visual cues degraded rapidly with faster speaking rate. AV speech integration in noise occurred at all speaking rates slower than 7.8 syl/s. AV speech benefits were observed in all conditions suggesting that the co-modulation of AV speech information can robustly drives integration.

NEURAL MECHANISMS FOR AV SPEECH PROCESSING: CONVERGENCE AND DIVERGENCE

Two reliable electrophysiological markers for AV speech integration are (i) an amplitude decrease (Besle et al., 2004; Jääskeläinen et al., 2004; van Wassenhove et al., 2005; Bernstein et al., 2008; Arnal et al., 2009; Piling, 2009) and (ii) latency shifts (van Wassenhove et al., 2005; Arnal et al., 2009) of the auditory evoked responses. Decreased amplitude of the auditory response to visual speech inputs was originally observed when participants were shown with a video of a face articulating the same or a different vowel sound 500 ms after the presentation of the face (Jääskeläinen et al., 2004). In this study, visual speech inputs were interpreted as leading to the adaptation of the subset of auditory neurons responsive to that feature. However, no difference in amplitude was observed when the visual stimuli were drawn from the same or from a different phonetic category, suggesting non-specific interactions of visual speech information with the early auditory analysis of speech. The amplitude reduction of the auditory evoked responses observed in EEG and MEG is supported by intracranial recordings (Reale et al., 2007; Besle et al., 2008). In particular, Besle et al. (2008) reported two kinds of AV interactions in the secondary auditory association cortices after the first influence of visual speech in this region: at the onset of the
auditory syllable, the initial visual influence disappeared and the amplitude of the auditory response decreased compared to the auditory alone presentation. Similar amplitude reductions were observed to the presentation of AV syllables over the left lateral pSTG (Reale et al., 2007).

In all of these studies, the reported amplitude reduction spanned a couple hundreds of milliseconds, consistent with the implication of low frequency neural oscillations. In monkey neurophysiology, a decreased low-frequency power in auditory cortex has been reported in the context of AV communication (Kayser and Logothetis, 2009). Based on a set of neurophysiological recordings in monkeys, it was proposed that visual inputs change the excitability of auditory cortex by resetting the phase of ongoing oscillation (Schroeder et al., 2008); recent evidence using an AV cocktail party design (Zion Golumbic et al., 2013) support this hypothesis. Additional MEG findings suggest that the tracking of AV speech information may be dealt with by phase-coupling of auditory and visual cortices (Luo et al., 2010). In the context of a recent neurocomputational framework for speech processing (Giraud and Poeppel, 2012), visual speech would thus influence ongoing auditory activity so as to condition the analysis of auditory speech events. Whether this tracking is distinctive with regards to speech content is unclear. The decreased amplitude of auditory evoked responses may be related to the phase entrainment between auditory and visual speech or to the power decrease of low-frequency regions. However, since no clear correlation between the amplitude and the phonetic content are seen in the amplitude, this mechanism does not appear to carry the content of the speech representation, consistent with the lack of visemic or AV speech congruency effect (van Wassenhove et al., 2005; Arnal et al., 2009) and a previously emitted interpretation (Arnal et al., 2009, 2011).

With respect to latency shifts, two studies reported auditory evoked responses as a function of visemic information: one study interpreted that effects on auditory evoked responses carried the residual error (van Wassenhove et al., 2005) and another reported late residual errors at about 400 ms (Arnal et al., 2009). The specificity of this modulation remains unsettled: visual inputs have been reported to change the excitability of auditory cortex by resetting the phase of ongoing oscillation (Lakatos et al., 2008) but an amplification of the signal would have been predicted in auditory cortex (Schroeder et al., 2008). A recent study (Zion Golumbic et al., 2013) implicates the role of attention in selecting or predicting relevant auditory inputs on the basis of visual information. This interpretation would be in line with the notion that visual speech information enables to increase the salience of relevant auditory information for further processing. To which extent phase-resetting mechanisms are speech-specific or more generally implicated in modulating the gain of sensory inputs remains to be determined, along with the implication of specific frequency regimes. Recent findings suggest that multiplexing of speech features could be accomplished in different frequency regimes (Arnal et al., 2011) with coupling between auditory and visual cortices realized via STS. The directionality of these interactions remains to be thoroughly described in order to understand how specific the informational content propagates in the connectivity of these regions. Recent work in monkey neurophysiology has started addressing these issues (Kayser et al., 2010; Panzeri et al., 2010).

It is noteworthy that MEG, EEG, and surface EEG (sEEG) data can contrast with fMRI and PET findings in which enhanced and supra-additive BOLD activations have been reported to the presentation of visual and AV speech. Both enhanced and supra-additive activation in mSTG, pSTG and pSTS have been reported together with left inferior temporal gyrus (BA 44/45), premotor cortex (BA 6), and anterior cingulate gyrus (BA 32) to the presentation of congruent and incongruent AV speech, respectively (Calvert, 1997; Calvert et al., 1999, 2000; Hasson et al., 2007; Skipper et al., 2007). Other fMRI findings (Callan et al., 2003) have shown significant activation of the MTG, STS, and STG in response to the presentation of AV speech in noise; BOLD activation consistent with the inverse effectiveness principle in these same regions (MTG, STS, and STG) has also been reported for stimuli providing information on the place of articulation (Callan et al., 2004). The left posterior STS has been shown sensitivity to incongruent AV speech (Calvert et al., 2000; Wright et al., 2003; Miller and D’Esposito, 2005). Using fMRI and PET, Sekiyama et al. (2003) used the McGurk effect with two levels of auditory noise; comparison between the low and high SNR conditions revealed a left lateralized activation in the posterior STS and BA 22, thalamus, and cerebellum. However, not all studies support the inverse effectiveness principle in auditory cortex (Calvert et al., 1999; Jones and Callan, 2003). Desynchronizing AV McGurk syllables does not significantly affect activation of the STS or auditory cortex (Olson et al., 2002; Jones and Callan, 2003) whereas others report significant and systematic activation of HG as a function of desynchrony (Miller and D’Esposito, 2005). Recent fMRI studies have reported specialized neural populations in the Superior Temporal Sulcus (STS in monkey) or Superior Temporal Cortex (STC, human homolog). The organization of this multisensory region is known to be patchy (Beauchamp et al., 2004) but recognized to be an essential part of the AV speech integration network (Arnal et al., 2009; Beauchamp et al., 2010). The middle STC (mSTC) is a prime area for the detection of AV asynchrony and the integration of AV speech (Bushara et al., 2001; Miller and D’Esposito, 2005; Stevenson et al., 2010, 2011). At least two neural subpopulations may coexist in this region: the synchrony population tagged S-mSTC showing increased activation to AV speech stimuli when the auditory and visual streams are in synchrony and the bimodal population tagged B-mSTC showing the opposite pattern, namely a decrease of activation with the presentation of synchronized audiovisual speech streams (Stevenson et al., 2010, 2011). These results may help shed light on the contribution of neural subpopulations in mSTC in computing redundant information vs. efficient coding for AV speech processing.

Using fMRI technique, the contribution of motor cortices has also been tested in the perception of auditory, visual and AV speech (Skipper et al., 2007). In these experiments, participants actively produced syllables or passively perceived auditory, visual and AV stimuli in the scanner. The AV stimuli consisted of both congruent AV [pa], [ka], and [ta] and McGurk fusion stimuli (audio [pa] dubbed onto a face articulating [ka]). The main results showed that the cortical activation pattern during
the perception of visual and AV but not auditory speech greatly overlapped with that observed in speech production. The areas showing above 50% of overlap in production and perception were bilateral anterior and posterior Superior Temporal cortices (STa and STp, respectively), and ventral premotor cortex (PMv). The perception of McGurk fusion elicited patterns of activation that correlated differently across cortical areas with the perception of a congruent AV [pa] (the auditory component in the McGurk fusion stimulus), AV [ka] (the visual component of the McGurk fusion stimulus) or AV [ta] (the perceived illusory [ta] elicited by the McGurk fusion stimulus). Activations observed in frontal motor areas, and auditory and somatosensory cortices during McGurk presentation correlated more with the perceived syllable (AV [ta]) than the presented syllables in either sensory modality (A [pa], V [ka]). In visual cortices, activation correlated most with the presentation of a congruent AV [ka]. Overall, results were interpreted in the context of a forward model of speech processing.

OUTSTANDING QUESTIONS

First, what is (in) a prediction? Although computational models provide interesting constraints with which to work, we cannot currently separate temporal prediction from speech-content predictions (e.g., Arnal and Giraud, 2012). One important finding encompassing the context of speech is that amplitude decrease can be seen as a general marker of predictive coding (e.g., Todorovic and de Lange, 2012) in auditory cortex and more specifically during speech production (Houde and Jordan, 1998).

Second, what anchors are used to parse visual speech information or, what are the “edges” (Giraud and Poeppel, 2012) of visual speech information? Complementarily, can we use cortical responses to derive the distinctive features of visual speech (Luo et al., 2010)?

Third, in the context of fixed temporal constraints for speech processing, how early can temporal encoding/integration windows be characterized in babies? Is the co-modulation hypothesis a general guiding principle for multisensory integration or a specific feature of AV speech?

Finally, the implication of the motor system in the analysis of speech inputs has been a long-standing debate undergoing increasing refinement (e.g., Scott et al., 2009). The inherent rhythmicity of speech production naturally constrains the acoustic and visual structure of auditory and visual speech outcomes. Is the primary encoding mode of AV speech articularatory or acoustic (e.g., Altieri et al., 2011; Schwartz et al., 2012)?

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