Spirals and heteroclinic cycles in a spatially extended Rock–Paper–Scissors model of cyclic dominance

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Abstract – Spatially extended versions of the cyclic-dominance Rock–Paper–Scissors model have traveling wave (in one dimension) and spiral (in two dimensions) behavior. The far field of the spirals behave like traveling waves, which themselves have profiles reminiscent of heteroclinic cycles. We compute numerically a nonlinear dispersion relation between the wavelength and wavespeed of the traveling waves, and, together with insight from heteroclinic bifurcation theory and further numerical results from 2D simulations, we are able to make predictions about the overall structure and stability of spiral waves in 2D cyclic dominance models.

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Introduction. – Scissors cut Paper, Paper wraps Rock, Rock blunts Scissors: the simple game of Rock–Paper–Scissors provides an appealing model for cyclic dominance between competing populations or strategies in evolutionary game theory and biology. The model has been invoked to explain the repeated growth and decay of three competing strains of microbial organisms \(^1\) and of three colour morphs of side-blotched lizards \(^2\). In a well-mixed population, the dynamics of the model is dominated by the presence of a heteroclinic cycle connecting the three equilibria where only one of the three species survives \(^3\). In continuum models, non-zero initial populations can never lead to extinction. However, in stochastic models, which include demographical fluctuations arising from the finite population size, fluctuations will lead eventually to one species becoming extinct (say Rock). When this happens, Scissors no longer has any restraint on its population and so will quickly wipe out Paper – so fluctuations lead to one of the three competitors eventually dominating \(^4\).

When spatial distribution and mobility of species is taken into account, waves of Rock can invade regions of Scissors, only to be invaded by Paper in turn; in a homogeneous space, these waves can be organised into spirals, with roughly equal populations of the three species at the core of each spiral, and each species dominating in turn in the spiral arms \(^5\). Cyclic behaviour is also seen if spatial heterogeneity (patchiness) is also taken into account \(^6\). As such, cyclic competition with spatial structure has been invoked as a mechanism for explaining the persistence of biodiversity in nature \(^5\), and the Rock–Paper–Scissors model with spatial structure is now an important reference model for non-hierarchical competitive relationships \(^1\).

The basic processes of growth and cyclic dominance between three species can be modelled as \(^9\):

\[
A + \phi \rightarrow A + A, \quad A + B \rightarrow \phi + B, \quad A + B \rightarrow B + B, \quad (1)
\]

where \(A\) and \(B\) are two of the three species and \(\phi\) represents space for growth, with growth rate 1. Species \(B\) dominates \(A\) either by removing it (at rate \(\sigma \geq 0\)) or by replacing it (at rate \(\zeta \geq 0\)). Processes for the other pairs of species are found by symmetry. Individuals are placed on a spatial lattice and allowed to move to adjacent lattice sites. Mean field equations can be derived \(^9\): \(^10\):

\[
\dot{a} = a(1 - \rho - (\sigma + \zeta)b + c) + \nabla^2 a, \\
\dot{b} = b(1 - \rho - (\sigma + \zeta)c + a) + \nabla^2 b, \\
\dot{c} = c(1 - \rho - (\sigma + \zeta)a + \zeta b) + \nabla^2 c,
\]

where \((a, b, c)\) are non-negative functions of space \((x, y)\) and time \(t\), representing the density of each of the three
species, and \( \rho = a + b + c \). The coefficient of the diffusion terms is set to 1 by scaling \( x \) and \( y \), and nonlinear diffusion effects \([11]\) are suppressed.

Without diffusion, \([2]\) has been well studied \([3]\). It has five non-negative equilibria: the origin \((0, 0, 0)\), coexistence \((1, 1, 1)\), and three on the coordinate axes, \((1, 0, 0)\), \((0, 1, 0)\) and \((0, 0, 1)\). The origin is unstable; the coexistence point has eigenvalues \(-1\) and \(\frac{1}{2}(\sigma + \sqrt{3}(\sigma + 2c)) / (3 + \sigma)\), and the on-axis equilibria have eigenvalues \(-1, \zeta\) and \(- (\sigma + \zeta)\). When \( \sigma > 0 \), the coexistence point is unstable and trajectories are attracted to a heteroclinic cycle between the on-axis equilibria, approaching each in turn, staying close for progressively longer times but never stopping \([9, 12, 13]\).

Numerical simulations of \([2]\) in sufficiently large two-dimensional (2D) domains with periodic boundary conditions show a variety of behaviors as parameters are changed \([9, 14]\). Stable spiral patterns are readily found (Fig. 1(b)), in which regions dominated by \( A \) (red) are invaded by \( B \) (green), only to be invaded by \( C \) (blue). Comparing a cut through the core (Fig. 1(h)) with a one-dimensional (1D) solution with the same wavelength (Fig. 1(i)) demonstrates how the behavior far from the core is essentially a 1D traveling wave (TW). Stable 1D TWs can be found with arbitrarily long wavelength (Fig. 1(e)), where (apart from being periodic) the behavior closely resembles a heteroclinic cycle, with traveling fronts between regions where one variable is close to 1 and the others are close to 0.

The question we ask is: can ideas from nonlinear dynamics and heteroclinic cycles be used to analyze the properties (wavelength, wavespeed and stability) of the 1D TWs and 2D spirals? Our approach is to consider the 1D TWs as periodic orbits in a moving frame of reference, and use continuation techniques to calculate a nonlinear relationship between the wavelength and wavespeed. We find parameter ranges in which these 1D TWs exist (between a Hopf bifurcation and three different types of heteroclinic bifurcation) and obtain partial information about their stability. The locations of the heteroclinic bifurcation are computed numerically, but in two of the three cases they coincide with straightforward relations between eigenvalues. We investigate 2D solutions of the partial differential equations (PDEs) \([2]\) over a range of parameter values, and show numerically that the rotation frequency of the spiral is related to the imaginary part of the eigenvalues of the coexistence fixed point. Combining this information is enough to determine the overall properties of the spiral.

**Analysis of traveling waves.** – We first consider equations \([2]\) in 1D, and move to a right-traveling frame moving with wavespeed \( \gamma > 0 \). We define \( \xi = x + \gamma t \), then \( \frac{\partial}{\partial t} \rightarrow \frac{\partial}{\partial t} \) and \( \frac{\partial}{\partial x} \rightarrow \gamma \frac{\partial}{\partial x} + \frac{\partial}{\partial t} \). Traveling wave solutions in the moving frame have \( \frac{\partial}{\partial t} = 0 \), and so TW solutions of \([2]\) correspond to periodic solutions of the following set of six first-order ODEs:

\[
\begin{align*}
\alpha_x &= u, \quad u_x = \gamma u - a(1 - \rho - (\sigma + \zeta)b + \zeta c), \\
b_x &= v, \quad v_x = \gamma v - b(1 - \rho - (\sigma + \zeta)c + \zeta a), \\
c_x &= w, \quad w_x = \gamma w - c(1 - \rho - (\sigma + \zeta)a + \zeta b).
\end{align*}
\]

The period (in \( \xi \)) of the periodic solution corresponds to the periodic solution of the PDEs \([2]\) in 2D, and in numerical simulations of the PDEs in 1D with periodic boundary conditions, the size of the computational box.

Let \( \mathbf{x} = (a, b, c, u, v, w) \). The coexistence and on-axis equilibria of the ODEs \([3]\) are \( \mathbf{x} = \frac{1}{8\zeta}(1, 0, 1, 0, 0, 0) \), \( (0, 1, 0, 0, 0, 0) \), \( (0, 0, 1, 0, 0, 0) \) and \( (0, 0, 0, 0, 1, 0) \). We label these equilibria \( \xi_b \), \( \xi_a \), \( \xi_b \) and \( \xi_c \) respectively. The eigenvalues of the equilibrium \( \xi_a \) are given in \( \text{table } 1 \). By symmetry, \( \xi_b \) and \( \xi_c \) have the same eigenvalues. It can easily be seen that the four-dimensional subspace \( \{ c = w = 0 \} \) is invariant under the flow of \([3]\). Restricted to this subspace, \( \xi_a \) has a three-dimensional unstable manifold, and \( \xi_b \) has a two-dimensional stable manifold, which generically intersect, and there is thus a robust heteroclinic connection between \( \xi_b \) and \( \xi_c \). By symmetry, we have a robust heteroclinic cycle between \( \xi_a \) and \( \xi_b \).

Following conventions used in the analysis of heteroclinic cycles (see e.g. \([13]\)) we label the eigenvalues as radial, contracting and expanding (see again table 1). For
files are thus determined by their wavelength $\Lambda$ and these values (see Fig. 1d,e). In large domains the TW procedure exponentially with rates equal to the relevant eigenvalues $\lambda^c_\pm i\lambda^l_\pm$ are complex, and $\lambda^c > 0$.

| Label            | Eigenvalues                                                                 |
|------------------|-----------------------------------------------------------------------------|
| Radial           | $\lambda^c_\pm = \frac{1}{2} \left( \gamma \pm \sqrt{\gamma^2 + 4} \right)$ |
| Contracting      | $\lambda^l_\pm = \frac{1}{2} \left( \gamma \pm \sqrt{\gamma^2 + 4(\sigma + \zeta)} \right)$ |
| Expanding $(\gamma^2 - 4\zeta > 0)$ | $\lambda^c_\pm = \frac{1}{2} \left( \gamma \pm \sqrt{\gamma^2 - 4\zeta} \right)$ |
| Expanding $(\gamma^2 - 4\zeta < 0)$ | $\lambda^c_\pm \pm i\lambda^l_\pm = \frac{1}{2} \left( \gamma \pm i\sqrt{4\zeta - \gamma^2} \right)$ |

$\zeta$, the radial eigenvectors lie in the subspace $\{ b = v = c = w = 0 \}$, the contracting eigenvectors in the subspace $\{ b = v = 0 \}$ and the expanding eigenvectors in the subspace $\{ c = w = 0 \}$. Note that this labelling does not exactly correspond with the definitions given in [13] and similar papers, mostly because of the presence of a positive contracting eigenvalue, which means that the unstable manifold of the equilibrium is not contained in the expanding subspace. However, we find the labelling useful because the eigenvalues play similar roles as to those seen in the literature, even though they do not exactly fit the definitions.

In numerical solutions of the PDEs (2) in large 1D periodic domains of size $\Lambda$, these infinite-period heteroclinic cycles are excluded and we find instead periodic solutions. These solutions are very slightly complex. Although the heteroclinic cycle exists robustly in the ODEs (3), computed using AUTO, with $\sigma = 3.2$ and values of $\zeta$ as indicated. Each curve of periodic orbits arises in a Hopf bifurcation on the left (black dot), and ends in a heteroclinic (long-period) bifurcation on the right. Effectively these curves are nonlinear dispersion relations for TWs in the PDEs. Symbols indicate the results of 1D TW and 2D spiral solutions of the PDEs (2), as described in the text.

| $\zeta$ | $\zeta = 0.4$ | $\zeta = 0.8$ |
|--------|----------------|----------------|
| $\gamma$ | $\zeta = 0.2$ | $\zeta = 0.6$ |
| $\gamma$ | $\zeta = 1$ | $\gamma = 2$ |
| $\gamma$ | $\zeta = 3$ | $\gamma = 3$ |

and $b$ and $c$ are cyclic permutations, so $b(\xi) = a(\xi + \frac{1}{3})$ and $c(\xi) = b(\xi + \frac{2}{3})$. The amount of “decay” in the contracting phase must match the amount of growth in the expanding phase, and these are both of equal length. In this case, this means there is a switch from decay to growth during the contracting phase at $\xi = \frac{1}{3} + l$, where $l = \frac{1}{3} \lambda^c = \lambda^c l + \lambda^l l$ (and $0 < l < \frac{1}{3}$), and a change in the upwards slope (a kink) at $\xi = \frac{2}{3}$. The solution is continuous, periodic and $log_a(\Lambda) = 0$. We have ignored the “time” taken for jumps between the equilibria (which round the sharp corners of the profile) as these are short compared to $\Lambda$, so long as $\Lambda$ is sufficiently large. Generically, when the expanding eigenvalues are real, we expect solutions leaving a neighbourhood of an equilibrium to do so tangent to the leading expanding eigenvector: i.e. with an expansion rate equal to $\lambda^c$. The profile observed in Fig. 1(d,e) is non-generic, and corresponds to an orbit flip, discussed further later. The profile in Fig. 1(c) has no kink, and the rate of expansion is $\lambda^c$ rather than $\lambda^c$. The third profile observed is similar to that in Fig. 1(d,e) except the expanding eigenvalues are very slightly complex.

Although the heteroclinic cycle exists robustly in the ODEs, periodic solutions cannot be found by forward integration since they are not stable with respect to evolution in the $\xi$ variable. Instead, we identify a Hopf bifurcation at the equilibrium $\xi_h$, and use the continuation software AUTO [15] to follow periodic orbits, treating the wavespeed $\gamma$ as a parameter, allowing the wavelength $\Lambda$ to be adjusted automatically.

The Jacobian matrix at $\xi_h$ has pure imaginary eigenvalues $\pm i\omega_H$ when $\gamma = \gamma_H(\sigma, \zeta)$, where

$$\gamma_H(\sigma, \zeta) \equiv \frac{\sqrt{3(\sigma + 2\zeta)}}{2\sqrt{\sigma(\sigma + 3)}} \quad \text{and} \quad \omega^2_H = \frac{\sigma}{2(\sigma + 3)},$$

at which point a Hopf bifurcation creates periodic orbits of period $\Lambda_H = \frac{2\pi}{\sigma}$ Figs. 2 and 3 show, for $\sigma = 3.2$ and a range of values of $\zeta$, the wavelength (period in $\xi$) $\Lambda$ as $\gamma$ is varied. The range of $\gamma$ for which periodic solutions can be found depends on $\sigma$ and $\zeta$; each branch starts at $\gamma_H$ and terminates with infinite $\Lambda$ in a heteroclinic bifurcation.

In Fig. 3 we show a bifurcation diagram of the ODEs (2) (computed by AUTO) in $(\gamma, \zeta)$ space. The red and blue
curves correspond to simple equalities of the eigenvalues, as indicated in the figure, and divide the parameter space into four labelled regions, defined in table 2. Periodic solutions bifurcate to the right of this line and disappear in a curve of heteroclinic bifurcations (black). A curve of saddle-node bifurcations of periodic orbits (light grey) exists for smaller $\zeta$. The upper insets show 2D simulations at the indicated parameter values. The lower inset is a zoom near the saddle-node (SN) and orbit flip (green) bifurcations.

We observe from the numerical results that the heteroclinic bifurcation in Fig. 3 shows three different behaviors, overlying the green, red and blue curves in different parameter regimes, corresponding to the three large-$\Lambda$ TW profiles discussed earlier. Note that heteroclinic bifurcations cannot occur in the interiors of regions 2 or 3. In region 2, a large-$\Lambda$ TW profile would require $l > 1$, which cannot occur. In region 3, the expanding eigenvalues are complex. In the large $\Lambda$ limit, complex eigenvalues are excluded: the invariance of the subspace $\{a = u = 0\}$ means that $a$ cannot change sign along trajectories.

When $\zeta > \frac{\gamma}{2} = 1.6$, the heteroclinic bifurcation occurs on the blue curve, along which the negative contracting and leading expanding eigenvalues are equal in magnitude, and the TW has an unkinked profile (Fig. 1(c)). This is a heteroclinic resonance bifurcation [10]. For $0.4 < \zeta < \frac{\gamma}{2} = 1.6$, the heteroclinic bifurcation occurs on the red curve, along which the expanding eigenvalues switch from complex to real (a variant of a Belyakov–Devaney bifurcation [17]), and the TW has a kinked profile. For $0 < \zeta < 0.4$, the periodic orbit undergoes a saddle-node bifurcation before the heteroclinic bifurcation; the fold can be seen in the curve for $\zeta = 0.2$ in Fig. 2. Here, the heteroclinic bifurcation coincides with an orbit flip bifurcation [18], indicated in green in Fig. 3. The TW has a kinked profile, as in Fig. 1(d). The location of the orbit flip is computed by solving a boundary value problem in the four-dimensional invariant subspace $\{c = w = 0\}$ that requires that the heteroclinic solutions is tangent to the $\lambda^±_e$ eigenvector.

Returning to the PDEs (2), we computed solutions over a range of values of $\sigma$, $\zeta$ and domain size. We imposed periodic boundary conditions, and used fast Fourier transforms and second-order exponential time differencing [19]. In 2D, we mainly used 1000 × 1000 domains, with 1536 Fourier modes in each direction. We estimated speeds of TWs (in 1D) and rotation frequencies and far-field wavelengths and wavespeeds of spirals (in 2D).

In 1D, with $\sigma = 3.2$ and $\zeta < \frac{\gamma}{2} = 1.6$, we are able to find stable TWs for all box sizes larger than $\Lambda_H$. For $\zeta > \frac{\gamma}{2}$, we find that TWs are stable in smaller boxes, and unstable in larger boxes, with a decreasing range of stable boxes sizes as $\zeta$ is increased. For $\zeta = 3$, we are unable to find any stable TWs. The crosses (resp. open circles) in Fig. 2 show the observed wavespeeds of stable (resp. unstable) TWs for a range of $\zeta$ and box sizes. In this context, by “stable” we are referring to how the TWs evolves in time with a fixed wavelength. A full treatment of stability would include convective and absolute instability of the TWs.

In 2D, spiral waves (or more complex solutions) are usually found if the domain is large enough. We use initial conditions that are one half $a$ and a quarter each $b$ and $c$, as in [11]. When we find spirals, we locate the core (where $a = b = c$) and compute the far-field wavespeed by taking a cut through the core (Fig. 1(a,b)). The angular frequency $\Omega$ is obtained from a timeseries (the temporal period is $2\pi/\Omega$), and the wavespeed is $\gamma = \Lambda\Omega/2\pi$. For $\sigma = 3.2$ and a selection of $\zeta$, we have included in Fig. 3 three examples, along with their $(\gamma, \zeta)$ values, and in Fig. 2 (as open squares) the $(\gamma, \Lambda)$ values estimated from spiral solutions. The fact that the open square symbols lie on the continuation curves from AUTO confirms that the far field of the spirals obeys the same nonlinear dispersion relation as 1D solutions.

We now have two relations between three quantities, the rotation frequency $\Omega$ of the 2D spiral, and the wavespeed $\gamma$ and wavelength $\Lambda$ of the 1D TWs in the far field. When locating the core we observed that the common value of
is set by the core and is approximately two spirals in the three-species case, without reference to details of the initial population profiles. In the case of the Fisher–KPP equation, there is a lower bound of 2 on the front propagation speed \( 2 \). Our success in describing the dynamics of spirals in the three-species case, without reference to details of the initial population profiles, relies on the interesting structures being periodic TWs, rather than fronts, and on these TWs being periodic TWs, rather than fronts, and on these TWs arising in a Hopf bifurcation, which is absent in the Fisher–KPP equation and the Lotka–Volterra system.

It remains to consider the far-field stability of the spirals. As can be seen in the insets in figure 3, the size of the spirals in the 2D simulations appears to decrease as \( \zeta \) is increased. With \( \sigma = 3.2 \), we find 1000 × 1000 domain-filling 2D spirals (as in Fig. 1a) over the range 0.2 ≤ \( \zeta \) ≤ 1.2. For values of \( \zeta \) outside this range, the far field of the spiral breaks up, and for \( \zeta = 0.2 \) and \( \zeta ≥ 1.1 \), this is also seen in a larger domains. This pattern is repeated with other values of \( \sigma \): in the range 0.1 ≤ \( \sigma \) ≤ 20, we find stable domain-filling spirals in a finite range of \( \zeta \); for small \( \sigma \) and \( \zeta \), the wavelengths of the spirals are so big that only a few turns fit in to the domain. The spiral wavelengths are typically about \( 2 \Lambda_H \), which suggests from \( 4 \) that for small \( \sigma \) the wavelength scales as \( \sigma^{-\frac{1}{2}} \). The same scaling can be deduced from the results (based on a completely different approach) of \( 10 \).

Discussion. – Models related to \( 2 \) with one species \( (b = c = 0 \), the Fisher–KPP equation) and with two species \( (c = 0 \), the Lotka–Volterra system) are used to describe moving fronts between regions of different genes or species. Although in these models the equilibria having real eigenvalues impose a constraint on the wave speed, the speed that is observed is set by details of the initial population profiles. In the case of the Fisher–KPP equation, there is a lower bound of 2 on the front propagation speed \( 2 \). Our success in describing the dynamics of spirals in the three-species case, without reference to details of the initial conditions, relies on the interesting structures being periodic TWs, rather than fronts, and on these TWs being periodic TWs, rather than fronts, and on these TWs arising in a Hopf bifurcation, which is absent in the Fisher–KPP equation and the Lotka–Volterra system.

Table 2: Definitions of the regions of parameter space shown in Fig. 3 and eigenvalue properties therein.

| Region | Definition | Eigenvalue properties |
|--------|------------|-----------------------|
| 1      | \( \zeta < \sqrt{\frac{2}{3}} \gamma - \frac{\sigma}{4} \) | \( \lambda_p^{\pm} \in \mathbb{R}, \lambda_p^{+} < |\lambda_p^{-}| < \lambda_p^{++} \) |
| 2      | \( \zeta > \sqrt{\frac{2}{3}} \gamma - \frac{\sigma}{4} \) \( < \zeta < \sqrt{\frac{2}{3}} \gamma \) | \( \lambda_p^{\pm} \in \mathbb{R}, |\lambda_p^{-}| < \lambda_p^{+} < \lambda_p^{++} \) |
| 3      | \( \zeta > \sqrt{\frac{2}{3}} \gamma \) | \( \lambda_p^{++} \in \mathbb{C} \) |
| 4      | \( \zeta < \sqrt{\frac{2}{3}} \gamma - \frac{\sigma}{4} \) \( < \zeta < \sqrt{\frac{2}{3}} \gamma \) | \( \lambda_p^{\pm} \in \mathbb{R}, \lambda_p^{+} < \lambda_p^{++} < -|\lambda_p^{-}| \) |

Fig. 4: The scaled spiral frequency \( 2\Omega(\sigma + 3)/\sqrt{3} \) plotted against \( \sigma + 2\zeta \), for results from 2D simulations over a range of \( \sigma \) and \( \zeta \). The dotted line has a slope of \( \frac{2}{3} \). The inset shows a zoom of the origin. Different symbols correspond to different values of \( \sigma \): \( (\pm, \bigcirc, \times, \bigcirc, \bigcirc, \bigcirc, \bigcirc, \bigcirc, \bigcirc, \bigcirc) \).
Rock–Paper–Scissors model remains an appealing reference model for cyclic competition.

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