Prognostic significance of postoperative pneumonia after curative resection for patients with gastric cancer

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Introduction

Gastric cancer is one of the major threats to human health, ranking No. 4 among the most common cancerous diseases and No. 2 among the deadliest cancers [1]. Despite some decrease in incidence and mortality in recent years, gastric cancer remains a great threat to human health. Surgical resection is the only possible cure for gastric cancer, which remains a need for methods to reduce the incidence of complications and to maximize the safety of the procedure while maintaining efficacy to eradicate cancer. Postoperative pneumonia is the most common complication after surgical intervention for gastric cancer, which negatively affects surgical outcomes and prognosis of patients. However, studies on the prognosis of patients with postoperative pneumonia after gastrectomy are rare. Therefore, we retrospectively analyzed the incidence of postoperative pneumonia after radical gastrectomy and its effect on prognosis of patients with gastric cancer from January 1996 to December 2014 in our department. The results of this study provide valuable information for clinical practice.

In order to analyze the effect of postoperative pneumonia on the prognosis of patients with gastric cancer, we retrospectively analyzed the data of 5237 patients who underwent curative resection for gastric cancer in our department between January 1996 and December 2014. The incidence of postoperative pneumonia was 7.2% (383 cases). The 5-year overall and disease-specific survival of patients with postoperative pneumonia were both lower than those without this complication (P < 0.001). Multivariate analysis revealed that age, neoadjuvant chemotherapy, tumor size, tumor stage, and postoperative pneumonia were independent risk factors for disease-specific survival. Postoperative pneumonia after radical gastrectomy is an independent risk factor for prognosis of gastric cancer patients, especially in stage III.

Abstract

Few studies have been designed to investigate the incidence of postoperative pneumonia after radical gastrectomy and its effect on prognosis of these patients. Incidences of postoperative pneumonia after radical gastrectomy in our department between January 1996 and December 2014 were summarized. Their effects on prognosis were retrospectively analyzed using survival curves and Cox regression. A total of 5237 patients were included in this study, 767 (14.4%) of them had complications, including 383 cases of postoperative pneumonia (7.2%). The 5-year overall and disease-specific survival of patients with postoperative pneumonia were both lower than those without this complication (P < 0.001). Stratified analysis demonstrated that this difference existed in all Stage I, II, and III patients (log-rank, P < 0.05). Multivariate analysis revealed that age, neoadjuvant chemotherapy, tumor size, tumor stage, and postoperative pneumonia were independent risk factors for disease-specific survival. Postoperative pneumonia after radical gastrectomy is an independent risk factor for prognosis of gastric cancer patients, especially in stage III.
complication after gastric resection, occurring in 1.1–12.32% of the patients [2–7]. Postoperative pneumonia causes additional pain for patients, prolongs their hospital stay, increases hospitalization costs, and even causes respiratory failure or early death. Recent studies suggest that postoperative pneumonia may even reduce long-term survival [8–11]. Baba et al. [9] reported that postoperative pneumonia is an independent risk factor for disease-specific survival after radical esophagectomy. Saeki et al. [10] believed that postoperative pneumonia and anastomotic fistulas reduced long-term disease-specific survival of esophageal squamous cell carcinoma patients. However, regarding the efficacy of gastric cancer surgery, although some studies have suggested a reduction in long-term survival of gastric cancer patients due to postoperative complications [12–15], no study has confirmed a correlation between postoperative pneumonia and prognosis after radical gastrectomy. Therefore, by retrospectively analyzing complications and prognoses of a large number of gastric cancer patients who received radical gastrectomy, this study intends to investigate the effect of postoperative pneumonia and treatment on long-term survival of these patients.

Materials and Methods

Study subjects

This study was a retrospective analysis of prospectively collected data from a database of primary gastric cancer patients treated with radical gastrectomy in the Department of Gastric Surgery at Fujian Medical University Union Hospital in Fuzhou, China between January 1996 and December 2014. Gastric cancer staging was performed according to the 7th edition of the UICC TNM classification [16]. Adjuvant chemotherapy was recommended for patients with advanced gastric cancer. Furthermore, after 2007, neoadjuvant chemotherapy was recommended for clinical stage III. Neoadjuvant chemotherapy and adjuvant chemotherapy were defined as at least one cycle of 5-Fu-based chemotherapy.

Patient inclusion criteria were as follows: a histologically confirmed adenocarcinoma of the stomach, no evidence of tumors invading adjacent organs (pancreas, spleen, liver, and transverse colon), paraaortic lymph node enlargement, or distant metastasis demonstrated by abdominal computed tomography (CT) and/or abdominal ultrasound and posteroanterior chest radiographs, a D1+(D1 plus Nos. 8a, 9, 11p in total or proximal gastrectomy, or D1 plus Nos. 8a, 9 in distal gastrectomy)/D2 (D1 plus Nos. 8a, 9, 10, 11p, 11d, 12a in total gastrectomy, or D1 plus Nos. 8a, 9, 11p, 12a in distal gastrectomy) lymphadenectomy with digestive tract reconstruction, and a curative R0 according to postoperative pathological diagnosis. Patient exclusion criteria were as follows: intraoperative evidence of peritoneal dissemination or distant metastasis and incomplete pathological data. The extent of gastric resection and lymph node dissection was selected according to ver.1 and ver.3 of the Japanese gastric cancer treatment guidelines [17, 18].

Variables and definitions

The definition of each complication was based on the literature [4, 9, 19–24]. Postoperative pneumonia was defined as a newly developed infiltrates on the chest radiograph and positive results of bronchoalveolar lavage culture [9, 19]. We defined surgical site infections (SSIs) according to the surgical patient component of the 1999 Centers for Disease Control and Prevention (CDC) National Nosocomial Infection Surveillance (NNIS) System manual; this definition includes incisional (superficial, deep) and organ/space SSIs [20]. Postoperative bleeding was defined as abdominal drainage or bloody nasogastric drainage, hematemesis, melena, decreased hemoglobin, unexplained hypotension or tachycardia, or a clear diagnosis by endoscopy, angiography, scintigraphy (radionuclide), CT, or reoperation when the patient’s clinical condition deteriorated [21]. Intestinal ileus suggested postoperative intestinal obstruction in abdominal X-ray, as did isolated, fixed, and swollen intestinal loops or intestinal wall edema, thickening, adhesions, intestinal gas accumulation, uniform expansion of intestine, and peritoneal exudation in abdominal CT scan [22, 23]. Remnant gastric stasis was defined as postprandial nausea, vomiting, and other symptoms of gastric stasis in patients without anastomotic stenosis, intestinal obstruction, and abdominal infection who still needed nasogastric tube decompression 4 days after surgery or who required gastric tube replacement 3 days after surgery. A chylous leak was defined as >200 mL/day milkly white fluid that was positive in the chyluria test and a triglyceride level >110 mg/dL. [24].

Complications were classified according to the modified version of the Clavien-Dindo classification system reported by Dindo et al. [25]. When calculated the postoperative complications rate, the most severe complication was noted in the cases in which more than one complication occurred in a patient. The study focuses on specific complication’s effect on prognoses. So, we also count each type of complication no matter whether it is the most severe complication. Active intervention for postoperative pneumonia included ventilator-assisted breathing, pleural aspiration and bronchoscopic aspiration, and other invasive operations.

Follow-up

The patients were followed up by outpatient or home visits, e-mails, or phone calls with intervals of 3–6 months.
until March 2016 or the death of the patient, with a median follow-up length of 35 months. Overall survival was defined as the interval between the date of the operation and the date of death. Disease-specific survival was defined as the interval between the date of operation and the date of death that was confirmed to be attributable to gastric cancer.

Statistical analyses
Continuous data were reported as mean ± SD and were analyzed using Student’s t-test. The categorical data were presented as proportion percentages and were analyzed using Pearson’s chi-square test or Fisher’s exact test. Survival analysis was performed using the Kaplan–Meier method using Pearson’s chi-square test or Fisher’s exact test. Survival presented as proportion percentages and were analyzed respectively, complications than in those without (41.8% vs. 25.6%, \( P < 0.001 \)). Multivariate analyses show that age (OR = 1.541, \( P < 0.001 \)), ASA classification \( \geq 3 \) (OR = 2.202, \( P < 0.001 \)), Tumor diameter (OR = 1.068, \( P = 0.002 \)), Open gastrectomy (OR = 1.458, \( P = 0.013 \)), Operative time (OR = 1.119, \( P = 0.001 \)), and Blood loss (OR = 1.105, \( P < 0.001 \)) were independent risk factors for postoperative pneumonia (Table 2).

Type of complications and their correlation with prognosis
We focus on specific complication’s effect on prognoses. The 5-year survival significantly decreased in patients with postoperative pneumonia (OR = 1.589, \( P < 0.001 \)), while SSIs, postoperative bleeding, intestinal ileus, remnant gastric stasis, chylous leak, and other postoperative complications showed no significant effect on 5-year survival of gastric cancer patients after surgery (as shown in Data S1).

Postoperative pneumonia and prognosis
Figure 1 demonstrates survival of patients with postoperative pneumonia. The 5-year overall survival of patients with postoperative pneumonia (\( n = 383 \)) and those without (\( n = 4944 \)) was 49% and 59%, respectively. The difference was significant (log-rank, \( P < 0.001 \)). The 5-year specific survival was also lower in patients with postoperative pneumonia than in those without (53% vs. 61%, log-rank, \( P < 0.001 \)). Further stratified analysis indicated that the reduction in 5-year overall and specific survival due to postoperative pneumonia existed among all stage I, II, and III patients (log-rank, \( P < 0.05 \), as shown in Fig. 2).

Prognostic factors for gastric cancer patients
Table 3 shows univariate and multivariate analyses of factors affecting the specific survival of the gastric cancer patients. Cox regression analysis suggested that age (HR = 1.117, \( P < 0.001 \)), neoadjuvant chemotherapy (HR = 1.882, \( P < 0.001 \)), tumor size (HR = 1.107, \( P < 0.001 \)), stage II tumor (HR = 2.769, \( P < 0.001 \)), stage III tumor (HR = 8.884, \( P < 0.001 \)), and postoperative pneumonia (HR = 1.259, \( P = 0.006 \)) were independent risk factors for specific survival. Further stratified analyses were performed for each stage of disease, and the results indicated that postoperative pneumonia was independent risk factors for specific survival in stage III patients as shown in Table 4 and Data S3.

Effect of treatment for postoperative pneumonia on prognosis
Incidence and the severity of postoperative pneumonia showed no significant difference between the two periods...
(P = 0.291 and P = 0.121, respectively). The postoperative pneumonia cases between 2006 and 2014 were more promptly treated compared to those between 1996 and 2005 (P < 0.001) and had shorter hospital stays (P < 0.001). The 5-year disease-specific survival of those without postoperative pneumonia showed no significant difference between the two periods (61.4% vs. 60.8%, respectively, P = 0.646). Meanwhile, 5-year disease-specific survival of those with postoperative pneumonia between 2006 and 2014 significantly increased to 57.7% compared to those between 1996 and 2005 (44.2%, P = 0.011, OR = 0.725, 95% CI: 0.564–0.930), as shown in Data S2 and S4.

**Discussion**

Postoperative pneumonia is a common complication after abdominal surgery, especially radical gastrectomy. The complication may be associated with irritation of the diaphragm during lymphadenectomy and digestive tract reconstruction, traction of the chest wall, inhibition of respiratory and cough reflex center, postoperative incision pain, or long-term bed rest. Postoperative pneumonia not only threatens the safety of patients after surgery, extends hospital stay, and increases hospitalization cost but also adds an extra burden to the surgical team. The incidence of postoperative pneumonia after surgery for gastric cancer has been reported to be 1.1–12.32%. In our study, the incidence was 7.2% (383/5327), consistent with previous studies. Further studies suggested that postoperative pneumonia was an independent risk factor for prognosis of patients with gastric cancer after surgical resection, especially in stage III.

Recent studies have suggested that postoperative pneumonia and other complications after radical gastrectomy are independent risk factors for long-term survival of these patients [12–15]. Kubota et al. [13]
demonstrated that these complications prolonged postoperative inflammatory response and thus negatively affected the overall and disease-specific survival of gastric cancer patients after surgery resection. Tokunaga et al. [12] showed that intra-abdominal infectious complications reduced overall and recurrence-free survival after radical resection of gastric cancer. Some scholars reported that postoperative pneumonia reduced the long-term disease-specific survival of cancer patients [9] and that the effect of postoperative pneumonia on the prognosis of gastric cancer patients after radical surgery has not been reported.
Figure 2. Kaplan–Meier curves of patients with and without postoperative pneumonia according to pStage: (A) overall survival, (B) disease-specific survival of pStage I, (C) overall survival, (D) disease-specific survival of pStage II, (E) overall survival, and (F) disease-specific survival of pStage III.
In this study, Kaplan–Meier survival curve analysis showed that postoperative pneumonia affected 5-year overall and disease-specific survival of all Stage I, II, and III gastric cancer patients. Cox regression analysis further confirmed that postoperative pneumonia was an independent risk factor for overall and disease-specific survival of gastric cancer patients after surgical resection. Previous literature has demonstrated that gastric tumors may recur a few years after resection even if a curative R0 according to postoperative pathological diagnosis [26, 27]. Complex postoperative recovery processes such as postoperative pneumonia may inhibit the immune response to tumor cell proliferation, leading to reduced disease-specific survival [9, 10]. Further investigations by Goldfarb et al. [28] suggested that inhibition of excessive perioperative catecholamines and prostaglandin response can effectively alleviate immune suppression of the body and can thereby reduce the incidence of tumor recurrence and metastasis. In addition to immune suppression, postoperative pneumonia may also affect the prognosis of gastric cancer patients through other nonimmune factors. For example, postoperative pneumonia may have a great effect on the general condition of the body, leading to reduced disease-specific and nonspecific survival of these cancer patients. Additionally, Tokunaga et al. [12] demonstrated that abdominal infection and other complications might also affect the long-term survival of gastric cancer patients through similar mechanisms. Sierzega et al. [29] concluded that anastomotic leak is a prognostic factor after a total gastrectomy, while Roder et al. [30] concluded that the effects of leakage were solely due to increased early mortality. From our data previously [31], we report a total of 3632 gastric cancer patients, and anastomotic leaks were observed in 50 patients (1.4%). In this study, abdominal infectious complications were observed in 185 patients (3.5%), including 59 patients (1.1%) with anastomotic leaks. And we could not determine whether abdominal infectious complication and anastomotic leak were independent prognostic factors for long-term survival. Also, in this study, surgical site infection, postoperative bleeding, postoperative ileus, remnant gastric stasis, and chylous leak were not found to affect disease-specific survival.

Except early ambulation and incentive spirometry use, treatment for postoperative pneumonia has shifted to early active intervention during recent years, including bronchoscopic suction, pleural effusion puncture drainage, and early ventilator-assisted treatment with proper indications. Through active intervention, the healing time of postoperative pneumonia can be significantly shortened, which may lead to milder or reduced duration of immune suppression and thus a better response to tumor cell proliferation and prognosis of the patients.

Compared to the postoperative conditions of patients with postoperative pneumonia between different time periods (1996–2005 vs. 2006–2014), incidences, and the severity of postoperative pneumonia showed no significant difference between the two periods, but the postoperative pneumonia cases were more promptly treated, had shorter hospital stays, and higher disease-specific survival in the last period. It is possible that the increase in prognosis of gastric cancer patients with postoperative pneumonia may be related to improvements in diagnosis and treatment of complications, shortened hospital stays, as well as the changes in treatment concepts for the complications. Additionally, many other important factors, such as the changes in treatment concepts for the complications, shortened hospital stays, and higher disease-specific survival in the last period.

### Table 3. Univariate and multivariate analyses for disease-specific survival.

|                                | Univariate analysis | Multivariate analysis |
|--------------------------------|---------------------|----------------------|
|                                | HR (95% CI)         | P        | HR (95% CI) | P        |
| Age (for 10-year increase)     | 1.125 (1.076–1.176) | <0.001  | 1.117 (1.067–1.169) | <0.001  |
| Male sex (vs. female sex)       | 0.979 (0.878–1.091) | 0.699   | /           | /        |
| Charlson Index (vs. 0–2 )       | 1.126 (0.758–1.670) | 0.557   | /           | /        |
| ASA classification (vs. 1–2 )   | 1.212 (0.997–1.473) | 0.054   | /           | /        |
| Neoadjuvant chemotherapy (vs. no) | 2.088 (1.557–2.799) | <0.001  | 1.882 (1.403–2.526) | <0.001  |
| Tumor diameter (for 10 mm increase) | 1.227 (1.217–1.258) | <0.001  | 1.101 (1.085–1.129) | <0.001  |
| Tumor stage II (vs. stage I)    | 3.301 (2.485–4.385) | <0.001  | 2.769 (2.078–3.688) | <0.001  |
| Tumor stage III (vs. stage I)   | 12.394 (9.703–15.831) | <0.001  | 8.884 (6.878–11.477) | <0.001  |
| Open gastrectomy (vs. LG)       | 1.401 (1.273–1.543) | <0.001  | /           | /        |
| Total gastrectomy (vs. distal gastrectomy) | 1.789 (1.619–1.978) | <0.001  | /           | /        |
| Proximal gastrectomy (vs. distal gastrectomy) | 1.195 (0.857–1.667) | 0.293   | /           | /        |
| Operative time (for 30 min increase) | 1.109 (1.087–1.133) | <0.001  | /           | /        |
| Blood loss (for 50 mL increase) | 1.069 (1.052–1.085) | <0.001  | /           | /        |
| Adjuvant chemotherapy (vs. no)  | 1.149 (1.047–1.261) | 0.004   | 0.885 (0.804–0.973) | 0.012  |
| Surgical period 2006–2014 (vs. 1996–2005) | 0.988 (0.894–1.092) | 0.817   | /           | /        |
| Postoperative pneumonia (vs. no) | 1.589 (1.353–1.867) | <0.001  | 1.259 (1.070–1.483) | 0.006  |
| Surgical site infections (vs. no) | 1.126 (0.914–1.387) | 0.267   | /           | /        |
as tumor stage, adjuvant chemotherapy changed, and proportion of adjuvant chemotherapy received, may have contributed to this improved long-term outcome.

Our study has some limitations. Firstly, since a retrospective analysis, there were differences in patient’s characteristics. The difference could lead to biased estimates of prognoses. Secondly, it is hard to be the culprit when pneumonia cases have other complications, and be the different severity of complication the different impact on prognoses. The date of inflammatory and immune was missed. These results require further verification in multicenter large prospective clinical trials.

In conclusion, postoperative pneumonia after radical gastrectomy is an independent risk factor for prognosis of gastric cancer patients, especially in stage III.

Acknowledgment

The study was sponsored by: 1. Scientific and technological innovation joint capital projects of Fujian Province (2016Y9031); and 2. National Key Clinical Specialty Discipline Construction Program of China (No. [2012]649).

Conflict of Interest

There are no conflicts of interest or financial ties to disclose from any authors.

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Supporting Information

Additional supporting information may be found in the online version of this article:

Data S1. Effect of complication type on disease-specific survival.

Data S2. Kaplan–Meier curves of patients with and without postoperative pneumonia according to surgical period: disease-specific survival of (A) surgical period 1996–2005, (B) surgical period 2006–2014, (C) disease-specific survival of patients without pneumonia, and (D) with pneumonia.

Data S3. Univariate and multivariate analyses for disease-specific survival in stage I/II.

Data S4. Postoperative conditions of patients with postoperative pneumonia after radical gastrectomy in different time periods (1996–2005 vs. 2006–2014).