Quantum engineering at the silicon surface using dangling bonds

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Individual atoms and ions are now routinely manipulated using scanning tunnelling microscopes or electromagnetic traps for the creation and control of artificial quantum states. For applications such as quantum information processing, the ability to introduce multiple atomic-scale defects deterministically in a semiconductor is highly desirable. Here we use a scanning tunnelling microscope to fabricate interacting chains of dangling bond defects on the hydrogen-passivated silicon (001) surface. We image both the ground-state and the excited-state probability distributions of the resulting artificial molecular orbitals, using the scanning tunnelling microscope tip bias and tip-sample separation as gates to control which states contribute to the image. Our results demonstrate that atomically precise quantum states can be fabricated on silicon, and suggest a general model of quantum-state fabrication using other chemically passivated semiconductor surfaces where single-atom depassivation can be achieved using scanning tunnelling microscopy.
one of the great achievements of the last two decades has been the creation and control of quantum states via manipulation of individual atoms. Scanning tunnelling microscopes (STMs) have been used to create quantum-well states on cryogenically cooled metal surfaces\(^1,2\), including the celebrated quantum corral, and single ions in electromagnetic traps have been brought together to form coherent systems\(^3\). Semiconductors and insulators routinely display defects with clear analogies to ion traps\(^4-7\), and may provide a route toward coupled quantum systems in large numbers as required for applications such as quantum computing\(^8,9,10\). However, introducing multiple defects deterministically to watch mixed quantum-state formation has proven elusive.

Defects have an enormously important role for semiconductors, from determining electrical conductivity and optical absorption to imposing limits on device performance through the formation of interface trap states\(^11\). Defects determine material and device properties because they create localized quantum states that can scatter or bind electrons and holes. A well-known defect in Si is the substitutional impurity from column V of the periodic table, which produces a hydrogenic series of Rydberg states very near the conduction band\(^1,12\). In contrast, the dangling bond (DB)—which, in the unreconstructed case, is an sp\(^3\) orbital facing a silicon atom vacancy such as would be encountered above a surface, and in bulk is known as the P\(\beta\) centre—is a deep-centre defect with a bound state that lies well below the conduction band\(^13-18\). This state is ambiphilic and can be occupied by 0, 1 or 2 electrons corresponding to positive-, neutral- or negative-charge states, respectively.

Here we demonstrate that quantum states can be engineered on silicon for interacting single-atom DB defects. We use an STM to create DBs\(^14,19,20\) close enough for wavefunction overlap, but greater than required for bond formation. This results in novel quantum-well states that are fully confined in all dimensions and are highly robust and reproducible. We establish the existence of excited states in the resulting artificial structures, and image them using the STM bias and tip-sample separation as gates.

### Results

**Isolated DBs.** DBs at the surface of Si are particularly interesting because in contrast to most other defects they can be introduced deterministically via STM writing at the atomic scale. This enables the creation of systems of DBs, and we show here that closely spaced DBs can interact to form artificial 'molecules' and one- and two-dimensional 'solids'. The procedure is simple and reproducible: start with a clean Si(001) surface, passivate it with atomic hydrogen, image the surface at low voltage and then desorb selected H atoms using ramps to elevated voltages. Figure 1a,b shows STM topographs of a single DB 'atom' measured with negative and positive sample bias, respectively. Figure 1c,d shows height profiles across these images. What is immediately apparent is the large extent of the disturbance produced by what one might have thought to be, and what is usually considered as a highly localized object, as well as the qualitatively different appearance for negative and positive voltages. The long-range effects are the result of charge localization at the DB site, which has a strong impact on the results, as the resulting screened Coulomb potentials make the effective bias voltages position dependent.

As expected, we observe negatively charged DBs in filled-state images\(^22,23\), evident from an extended enhancement that decays with radial distance from the DB (Fig. 1c). However, the charge state of the DB is strongly influenced by the STM tip. It is known that the electric field emanating from the STM tip induces large shifts in the surface energy bands when imaging H-terminated Si(001), such that the Fermi level \(E_F\) of the substrate lies near the conduction/valence band edge under typical filled-/empty-state imaging conditions\(^24,25\) as shown in Fig. 2a,b. However, it is much less well recognized that the consequence for is that empty-state bias the DB ground state will lie above the bulk Fermi level (Fig. 2b), and that under equilibrium conditions this will result in a positively charged DB. We confirm this experimentally by observing the characteristic signature of DBs becoming positively charged under empty-state tunnelling conditions—a sharp-edged disc of increased height surrounding the DB, which has an average diameter of 12 nm in Fig. 1b,d. The edge of this disc indicates the tip-DB radial separation distance at which the electric field of the STM tip causes the DB to become positively ionized by raising its energy above the bulk Fermi level \(E_F\) (Fig. 2b), analogous to recent observations of tip-induced ionization of Si donor atoms in GaAs\(^26\).

Figure 1 | An isolated DB on an n-type Si(001):H surface. (a,b) Filled- and empty-state STM images (±1.7 V; 25 pA; 16 × 14 nm\(^2\)) of a single DB taken at 77 K, with corresponding line profiles shown in c and d. Length measurements have associated uncertainties of ±0.05 nm. The screening length of 2.1 nm calculated from the filled-state image is in agreement with a Thomas-Fermi estimate\(^16\). The empty-state image exhibits a ~12 nm diameter enhancement disc due to ionization of the DB and a ~5-nm depression due to non-equilibrium charging of the DB. These features exhibit the expected dependence on the applied bias (see Supplementary Note S1 and Supplementary Fig. S1).
increasing the sample bias is further highlighted in the STM data shown in dashed lines. The equivalence of decreasing the tunnelling current and panel changes the alignment of the tip and substrate bands; this is illustrated in the tunnelling current magnitude and, hence, the tip-sample separation also bending in the substrate is not shown in this diagram for clarity). Changing surface bands are shown (solid and dashed line), corresponding to two in Fig. 3j. In panel imaging of the double DB; its three bound states are indicated as calculated missing H atoms requires a contribution from a higher-energy bound-state intensity occurring at the midpoint between the two three-lobed feature seen in Fig. 3g; here the maximum in the state probability density of a radially symmetric potential well or protrusions in Fig. 3b–d,h,i are all in agreement with the ground- probability distributions of the DB potential well(s). The localized distance) to 1.1 nm.

protrusions increases from 0.77 (the next-nearest neighbour DBs), with a very low current set point (5 pA; Fig. 3g), its appearance however, the double DB is imaged in empty states with two different tip-sample separations shown by solid and dashed lines. The equivalence of decreasing the tunnelling current and increasing the sample bias is further highlighted in the STM data shown in Supplementary Fig. S3 and discussed in Supplementary Note S3.

**Figure 2** | Energy-band diagrams for STM imaging of DBs. (a,b) Filled- and empty-state conditions for a single DB. The DB is negatively charged under filled-state imaging conditions, but can be either negative or positive under empty-state conditions, depending on whether it is in equilibrium with the substrate or not (see text). (c,d) Band diagrams for empty-state imaging of the double DB; its three bound states are indicated as calculated in Fig. 3j. In panel c, two alignments of the tip Fermi level with respect to the surface bands are shown (solid and dashed line), corresponding to two different bias conditions (note: the expected small increase in the band bending in the substrate is not shown in this diagram for clarity). Changing the tunnelling current magnitude and, hence, the tip-sample separation also changes the alignment of the tip and substrate bands; this is illustrated in panel d where two different tip-sample separations are shown by solid and dashed lines. The equivalence of decreasing the tunnelling current and increasing the sample bias is further highlighted in the STM data shown in Supplementary Fig. S3 and discussed in Supplementary Note S3.

**DB pair interactions.** Having established the general mode of imaging individual DBs, we are now in a position to discuss the quantum bound states supported by our manufactured (by STM writing) DB defects. Figure 3 shows high-resolution STM images of a single DB (Fig. 3b–d) and a DB ‘molecule’ consisting of a pair of DBs on next-nearest neighbour lattice sites (Fig. 3g–i), with varying current and voltage settings as indicated. In all images except for Fig. 3g, the DBs present localized protrusions with radii <0.5 nm located at the sites of the missing H atoms. However, when the double DB is imaged in empty states with a very low current set point (5 pA; Fig. 3g), its appearance changes dramatically. In particular, a third, prominent protrusion, reminiscent of a molecular bonding orbital but with much larger spatial extent, occurs between the location of the two missing H atoms, and the separation between the two outer protrusions increases from 0.77 (the next-nearest neighbour distance) to 1.1 nm.

We can understand these data by considering the bound-state probability distributions of the DB potential well(s). The localized protrusions in Fig. 3b–d,h,i are all in agreement with the ground-state probability density of a radially symmetric potential well or linear combinations of such states. However, this is not true of the three-lobed feature seen in Fig. 3g; here the maximum in the bound-state intensity occurring at the midpoint between the two missing H atoms requires a contribution from a higher-energy bound state. We confirm this by creating a model potential 30 for the single DB that supports two bound states: a ground state near the valence band maximum, consistent with the electron paramagnetic resonance data 13 and our density functional theory calculations (that are presented in Supplementary Note S2, Supplementary Table S1 and Supplementary Fig. S2), and a first excited state near the conduction band maximum, as shown in Fig. 3e. Bound-state wavefunctions and energies are obtained via a piecewise continuous solution of the Schrödinger equation (see Methods for further details). When coupled to a
second identical well 0.77 nm away, the ground state splits into symmetric and anti-symmetric combinations separated by ~0.2 eV (Fig. 3j). As expected, the probability distributions of the states for the single and double-DB wells (blue and green traces in Fig. 3e,j) are in good agreement with the STM images in Fig. 3b–d,h,i. The wavefunction overlap of the single-well excited state leads to a new state with a probability density maximum located at the midpoint between the two DB sites, and two outer protrusions of lower intensity separated by 1.3 nm (red trace in Fig. 3j), closely resembling the feature we see in the experimental STM data in Fig. 3g. This state can be considered as an excited molecular orbital of the double-DB system. However, we will refer to this state in the following as the low binding energy (LBE) state to avoid ambiguity regarding the electron occupancy of the states. The LBE state is imaged only under certain conditions because of the alignment between the surface and tip energy bands, which is determined by the gating effect of the tip on the substrate. Figure 2c shows an energy-band diagram for imaging because of the alignment between the surface and tip energy states. The LBE state is imaged only under certain conditions. Notably, the states that we describe here are the result of interacting deep-centre defects created through the formation of DBs by the removal of individual hydrogen atoms from a hydrogen-terminated silicon surface. In contrast, the states described in ref. 6 result from the interaction of acceptor states bound to substitutional Mn atoms in a GaAs surface. Moreover, because of the highly localized nature of the defect wavefunctions we create on Si(001):H, we observe significant molecular orbital formation only for the excited states of the individual DB point defects. The ability to fabricate and observe point defects whose ground states are weakly interacting while their excited states couple to form molecular orbitals is particularly interesting. For example, there are quantum-computing proposals that use the excited-state molecular orbitals of systems of hydrogenic impurities in silicon to couple their non-interacting spin ground states.9

DB interactions with varying distance and orientation. Our ability to write arbitrary combinations of DBs supported by the periodicity of the underlying crystal lattice, together with control of the bias voltage and tip-sample separation permit the creation and observation of engineered quantum states. We have observed similar LBE states for various combinations of DB pairs, including pairs separated by an additional Si dimer (1.15 nm separation, Fig. 4a–d), pairs perpendicular to the dimer rows separated by a single H-terminated Si atom (Fig. 4e–h) and pairs separated only by the dimer trough (Fig. 4i–l). The behaviour of these structures with changing imaging parameters is in agreement with the band alignment model presented above, and the data presented for the DB pair in Fig. 3. We have also created and imaged DBs aligned diagonally across dimer rows; Fig. 5 shows a series of STM images of a three-DB chain in one linear (Fig. 5a–c) and two separate ‘kinked’ configurations (Fig. 5d–f, g–i, respectively). The filled-state images resolve three protrusions at the locations of the missing H atoms, whereas the high-bias empty-state images reveal protrusions located between the missing H atoms, consistent with the DB pair data presented above and the extended DB chain data to be presented below (Fig. 6). In the linear configuration, all of the DBs are lined up along one side of a dimer row. However, imaging this structure at elevated bias and current (~2.1 V and 25 pA) caused the leftmost DB to move to the opposite side of the dimer row (that is, the H atom of the Si–Si–H hemihydride dimer shifted from one side of the dimer to the other). This then produced a ‘kinked’ three-DB structure, which is shown in Fig. 5d–f. Subsequently, imaging this structure again with elevated bias and current caused the middle DB to also switch to the opposite side of the dimer row (Fig. 5g–i). Observing the empty-state images in Fig. 5a,d,g, we notice a slightly reduced size in the protrusion that occurs between the DB

Figure 4 | DB pairs with varying separation and orientation. (a–d) Along a dimer row separated by two H-terminated lattice sites (1.9, 1.5, −1.5 V; 5 pA; 2.7 × 2.3 nm²); (e–h) across two dimer rows separated by a single H-terminated lattice site (2.2, 1.7, −2.0 V; 2 pA; 1.9 × 2.3 nm²); and (i–l) on adjacent sites across the dimer trough (2.0, 1.5, −2.0 V; 5 pA; 1.9 × 2.3 nm²). In each case, there is agreement with the behaviour of the DB pair described in Fig. 3; in particular, we see the formation of a protrusion between DB sites at high empty-state bias and low-tunnelling current due to the band alignment between the tip Fermi level and LBE of the DB structures as described in the text. Length measurements have associated uncertainties of ±0.1 nm. Panels d, h and i show top-view schematic ball and stick models for each configuration; silicon atoms with DBs are coloured red.

Structural model

Filled state

Empty-state low bias
The data presented above demonstrate the viability of atomic-scale quantum engineering on the Si(001) surface through the

Discussion

We note that the precise shape of the potential well of a deep level defect is in general not known and is difficult to determine either experimentally or theoretically\(^\text{30}\). Nevertheless, given the model potential in Fig. 3e, we have been successful in qualitatively describing the non-trivial charge distributions of systems of interacting DBs and their complex dependence on both bias voltage and tip height. Furthermore, although increasing the bias and decreasing the tip-sample separation have the same effect on the alignment between the sample and tip energy bands, they have opposite gating effect on the substrate. This confirms the band-alignment picture shown in Fig. 2 as the origin of the changing appearance of the STM images, and excludes a more complicated effect where the nature of the bound states themselves are altered because of a change in the underlying shape of the quantum well.

The five- and six-DB structures (red traces in Fig. 6b,c,f,g). As the empty-state bias is reduced, the contribution to the image from the LBE states diminishes as indicated schematically in Fig. 2c; for the five-DB structure, we find that the LBE states no longer contribute to the image at +1.4 V and the image is reproduced by a superposition of the next two lowest-energy states ($\psi_5$ and $\psi_6$). The lower-lying $\psi_1$ to $\psi_3$ states are not imaged because of the mechanism of charge transport through these states. The behaviour of the six-DB structure when imaged at +1.4 V is similar; in this case, there is still a contribution from the lowest-energy LBE state due to a slight difference in the overall alignment between the surface and tip energy bands between the five- and six-DB structures. STM images of these five- and six-DB structures taken at a range of biases between +1.4 and +1.8 V, and with both 5 and 15 pA tunnelling current are given in Supplementary Fig. S3, with corresponding text in Supplementary Note S3. These data further illustrate the transition between images dominated by ground- and excited-state orbitals, and they demonstrate the equivalence of decreasing tunnelling current and increasing bias voltage that was described in Fig. 2.
creation of systems of interacting DBs. The observation of excited states in these systems is unexpected and provides new insight into the electronic properties of atomic-scale defects in semiconductors. Furthermore, our experiments demonstrate the creation of coherent spatial distributions of wavefunctions complementing recent work in the time domain for hydrogenic defect states in silicon, where orbitals cannot be directly imaged, as well as work in modern atomic physics. Future experiments will focus on two-dimensional arrangements of DBs and the inclusion of one or more group V donor atoms into the DB structures to alter the wavefunction symmetry (in analogy to the role of nitrogen in the diamond nitrogen vacancy centre). The method may be applied to any surface, including graphene, which can be modified by chemical passivation and depassivation.

Methods

Scanning tunnelling microscopy. We performed the experiments using an Omicron LT-STM system operated at 77 K. STM tips were prepared by electrochemically etching 0.25 mm diameter polycrystalline W wire and a combination of electron-beam annealing and field emission in vacuum. Samples were cleaved from 0.05 ± 0.01 Ω cm (room temperature resistivity) Sb-doped wafers (Virginia Semiconductor Inc.) and prepared in vacuum by flash annealing to 1,200°C. We then hydrogen-terminated the samples using a Tectra atomic hydrogen source while counter heating the substrate to ~400°C. H atoms were desorbed from the Si(001):H surface by positioning the tip above the atom to be desorbed and performing a controlled voltage ramp from +2 to +4 V, with the feedback loop switched off.

Modelling DBs. The localized protrusions in STM images of DBs reflect the bound-state probability distributions, resulting from their defect potential wells. Unlike the case for hydrogenic impurity states where the functional form of the defect potential well is known (that is, Coulombic), in general, we do not know the functional form of the potential wells of deep-centre defects. Nevertheless, we can gain insight into the essential underlying physics of deep-centre defects, such as DBs and systems of interacting DBs by numerically solving the Schrödinger equation using piecewise continuous representations of a model potential. To describe a single DB, it is reasonable to choose any smooth continuous function that produces a highly localized ground state (roughly equal to the interatomic spacing, as observed in experiment), and for which the Coulomb potential, scaled by an appropriate dielectric constant, is lower bound (Fig. 3). Because of the existence of known analytical solutions for its bound-state energies, we choose to represent a single DB using a Pöschl–Teller potential, \( \frac{\lambda}{\hbar^2} \frac{\sin^2(kz)}{\sin^2(k/2)} \), where \( \hbar \) is the reduced Planck constant and \( m \) is the electron mass. The width and depth of the well are determined by \( \lambda \) and \( \alpha \); we use \( \alpha = 0.24 \text{ nm} \) and \( \lambda = 2.2 \), which produces a ground state at \( -0.95 \text{ eV} \) and an excited state at \( -0.02 \text{ eV} \) (Fig. 3); that is, just above the valence band maximum and beneath the conduction band minimum, respectively. Similar results would also be obtained with other potentials of the same general form. For systems of interacting DBs, we create the multi-well potential through the addition of individual wells placed at the appropriate spacings; that is, 0.77 nm spacing for the nearest-neighbor DBs modelled in this work. The values of \( \alpha \) and \( \lambda \) are kept fixed at \( \alpha = 0.24 \text{ nm} \) and \( \lambda = 2.2 \) in all cases. The multi-DB systems are modelled in one dimension corresponding to the symmetry axis through the DBs, providing intuitive solutions that illustrate the essential underlying physics of these multiple DB systems.
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Author contributions

S.R.S., C.F.H., N.J.C. and G.A. initiated this work. S.R.S. performed the experiments, data analysis and numerical modelling. D.R.B. performed the DFT calculations. All authors contributed to data interpretation and paper writing.

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