Genetic polymorphisms of BDNF on cognitive functions in drug-naive first episode patients with schizophrenia

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Brain-derived neurotrophic factor (BDNF) is reported to be involved in cognitive decline in patients with schizophrenia (SZ). Previous studies have found that cognitive deficits remain stable during the chronic disease phase in SZ, but the findings were inconsistent. The role of BDNF in cognitive deficits at different stage of illness remains unclear. This study aimed to examine the effect of BDNF polymorphisms on cognitive deficits in drug-naive first-episode (DNFE) patients and chronic patients with SZ. 262 DNFE patients, 844 chronic patients, and 1043 healthy controls were recruited to compare 4 polymorphisms in BDNF gene and cognitive function. We found that there was no significant difference in genotype and allele frequencies between SZ patients and controls. However, they were closely related to cognitive functioning. BDNF rs2030324 polymorphism played a strong role in language performance only in DNFE patients with SZ. The language index of DNFE patients with rs2030324 TT and TC genotypes was worse than that of chronic patients, but there was no significant difference in CC genotypes between DNFE and chronic patients. Rs6265 had no significant effect on cognitive functioning in patients and controls. Our result suggests BDNF gene polymorphisms were related to different domains of cognitive function at the different stage of SZ, especially language in DNFE patients.

Schizophrenia (SZ) is a complex psychiatric disorder with a prevalence rate of about 1%. Cognitive deficits are core symptoms of SZ patients. Patients with SZ show multiple domains of cognitive deficits, such as working memory, attention and executive function. Cognitive deficit occurs in the early stage of SZ and usually persists at all stages of this disorder, and has been shown to be related to the functional disability of patients.

The pathogenesis of SZ is related to the complex interaction between genetic and environmental risk factors at the critical early stage of neurodevelopment. Several hypotheses of neurobiological mechanism have been proposed to explain the pathogenesis of SZ and related neurocognitive phenotype, such as the neurotrophin hypothesis. Brain-derived neurotrophic factor (BDNF) is one of the most widely expressed neurotrophins, which regulates the viability and functional integrity of neuron, mediates the neuroplasticity processes and prevents neuronal damage and degeneration. BDNF is expressed in the early development of life. The complexity of gene structure determines the diversity of its function in the central nervous system (CNS). Different regulation of promoter results in the production of at least eight 5′ exons and one 3′ exon and these BDNF transcripts are widely distributed throughout the CNS with high specificity. BDNF gene is originally translated into the precursor BDNF protein, and then is cleaved to produce mature BDNF, which is transported to postsynaptic dendrites or presynaptic terminals. Mature and pro-BDNF interacts with TrkB and p75NTR receptors respectively, which have substantially opposite functions in CNS. Studies support that the concentration of BDNF in blood is related to the expression level of BDNF in brain. Recent meta-analyses reported a decrease in serum or plasma BDNF levels in SZ patients.

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levels in drug-naive first-episode (DNFE) patients with SZ. In particular, decreased serum level of BDNF has been revealed to be associated with neurocognitive deficits in SZ patients. Single nucleotide polymorphisms (SNPs) in the BDNF gene, such as rs6265, rs12273539, rs10835210, and rs2030324, have previously been thought to affect different psychiatric disorders and/or serum levels of BDNF in chronic patients with SZ. However, conflicting findings have been observed. In recent reviews and meta-analyses, the association between BDNF SNPs and SZ is weak, but the relationship between BDNF SNPs and cognitive dysfunction in chronic SZ patients is robust, especially rs6265. This SNP is located in exon IX, leading to a valine-methionine alteration in proBDNF protein, thereby impairing the activity-dependent secretion of mature BDNF. Previous studies found that, compared with SZ patients with non-Met alleles, patients with Met alleles exhibited reduced hippocampal function when performing declarative memory tasks, and less gray matter in the hippocampus and prefrontal lobe. Ho et al. found that BDNF rs6265 variant was associated with neuroplasticity and longitudinal progressive changes in brain volume. The other 3 SNPs (rs12273539, rs10835210, and rs2030324) in the BDNF gene have previously been found to be associated with SZ. For example, a rs12273539 variant or haplotype containing this SNP allele was found to be correlated with major depressive disorder. Moreover, rs10835210 and rs2030324 were also found to increase the risk of SZ. Therefore, in this study, we selected these four SNPs to examine their relationship with the cognitive function of DNFE patients and chronic patients.

Cognitive deficits are present across all phases of SZ, from early to more chronic disease, with defects in several dimensions in the whole course of the disease. Previous studies support that various BDNF polymorphisms were related to the impairments of different domains of cognitive functions. For example, SZ patients who are Met allele carrier had lower hippocampal function and performed worse in a declarative memory task than those who are not Met allele carriers. For SZ patients who were heterozygous carriers of rs12273539, their performance in attention index and RBANS total score was consistently better than that of T/T mutation homozygous patients. In addition, rs10835210 has shown a critical role in language performance of SZ patients. Considering that most previous studies have investigated the influence of BDNF SNPs on cognition during the onset or chronic phase of the disease, and did not fully map the course of the disease, our current study was the first to investigate the role of BDNF gene in the cognitive deficits of a large sample of chronic patients and DNFE patients. We hypothesized that various BDNF SNPs may have different effects on the neurocognitive function of DNFE SZ, chronic SZ and HCs. Therefore, we were to examine the possible role of these 4 SNPs in neurocognitive deficits of patients with DNFE and chronic SZ.

Subjects and methods

Subjects. The study included 262 DNFE patients with SZ recruited from Beijing HuiLongguan Hospital and Henan Zhumadian Hospital. Diagnosis was made by experienced psychiatrists according to the Chinese version of the Structured Clinical Interview for DSM-IV (SCID) at admission. Inclusion criteria were: aged of 18–45 years, disease duration < 5 years, antipsychotics free or a cumulative antipsychotic treatment < 2 weeks, without physical diseases (i.e. diabetes, hypertension, hyperthyroidism and cancer). In this study, we defined the patients as DNFE as a prior study by Lieberman et al. Inpatients were recruited from Beijing HuiLongguan Hospital and Hebei Province Veterans Hospital. Inclusion criteria were: aged of 25–75 years, disease duration > 5 years, receipt of stable doses of antipsychotics for at least 6 months. 1043 unrelated healthy subjects were recruited in the local community. Experienced clinical psychiatrists ruled out Axis I disorders by using SCID.

The severity of symptom was assessed by six experienced psychiatrists by using the PANSS. Cognitive functioning was rated by using the Repeatable Battery for the Assessment of Neuropsychological Status (RBANS, Form A), as reported in our previous study. The RBANS comprises 5 index scores and a total score. Test indices are immediate memory, visuospatial/constructional, language, attention, and delayed memory.

The current study was conducted in accordance with the Declaration of Helsinki and approved by the Institutional Review Board of Beijing Huilongguan Hospital. All subjects signed the informed consent before entry into the study.

Genotyping. Whole blood DNA for each participant was extracted using the Wizard Genomic DNA purification kit (Promega, USA). Restriction fragment length polymorphism (RFLP) method was used to genotype the 4 SNPs in BDNF gene as described in our previous study. Genotyping was duplicated by 2 investigators independently for accuracy and carried out with the investigators blind to the clinical status. If the genotypic assignment of the 2 researchers was inconsistent, the sample is repeated. In addition, genotyping error check was conducted by re-genotyping of a sub-sample (n = 50). The reproducibility was usually more than 0.99.

Statistical analysis. ANOVA and \( \chi^2 \) test were carried out to explore whether there was difference in demographic data and clinical data between patients and HCs. \( \chi^2 \) goodness-of-fit test was performed to examine the deviation from the Hardy–Weinberg equilibrium (HWE) separately in patients and HCs. \( \chi^2 \) test was conducted to analyze the difference in the allele and genotype frequencies for the polymorphisms between patients and HCs.

The MANCOVA in the general linear model was conducted to investigate the relationship between BDNF genotypes and cognitive measures. For the main models, diagnostic group (DNFE vs chronic SZ vs HC) and genotypes were entered as independent variables. Cognitive index scores and RBANS total score were entered as the dependent variables, with sex, age and education as covariates. In the models, the main effects of diagnosis and genotype and the interaction effect of diagnosis \( \times \) genotype were examined. The genotype \( \times \) diagnosis interaction in the models detects any potential differential effect of alleles on the cognitive function between diagnostic
groups. Further multiple regression analysis used the RBANS scores as dependent variable, and the genotype group (the number of variant alleles, such as 0, 1, or 2 alleles) as the independent variables in patients or HCs, respectively. For HCs, the covariates in the model included sex, age, and education. For patients, the covariates in the models included sex, age, education, onset age and PANSS scores.

The PASW Statistics 20.0 was used to conduct all statistical analysis. All p values were 2-tailed at the significance level of $p < 0.05$. Haploview 4.2 (Daly Laboratory Inc, Cambridge, Massachusetts) was used to compute the pairwise linkage disequilibrium (LD), haplotype block and haplotype frequency in patients and controls. Rare haplotypes found in less than 1% were excluded from association analysis. 10,000 permutations were conducted for the most significant test to determine the empirical significance. Since we had the RBANS total score and its 5 subscores among three genotypes of 4 SNPs in $BDNF$ gene, the Bonferroni-adjusted significance level was set at $p = 0.05/72 = 0.00069$.

Results

Demographic and clinical data between DNFE patients, chronic patients and HCs were shown in Table 1. Significant differences were observed in sex and age between DNFE patients, chronic patients and HCs (both $p < 0.05$).

### Table 1. Demographic, clinical data and neurocognitive function in SZ patients and HCs. Abbreviations: DNFE drug-naïve and first episode, SZ schizophrenia, HC healthy controls, CPZ chlorpromazine, P positive symptom, N negative symptom, G general psychopathological symptom, VC visuospatial/constructional, RBANS Repeatable Battery for the Assessment of Neuropsychological Status.

| Clinical variable                  | DNFE SZ (n = 262) | Chronic SZ (n = 844) | HCs (n = 1043) | F or $\chi^2$ | P value |
|-----------------------------------|-------------------|---------------------|----------------|---------------|---------|
| Sex(male/female), n               | 150/112           | 663/181             | 586/457        | 110.8         | < 0.001 |
| Age, y                            | 26.8 ± 9.0        | 47.8 ± 9.7          | 44.9 ± 13.6    | 36.8          | < 0.001 |
| Education, y                      | 9.2 ± 3.8         | 8.9 ± 2.7           | 9.5 ± 3.3      | 1.6           | 0.43    |
| Age at onset, y                   | 26.0 ± 9.5        | 23.5 ± 5.4          | 5.4            | 0.001         |
| No. of hospitalizations           | 4.2 ± 2.6         |                     |                |               |         |
| Antipsychotic types, n (%)        |                   |                     |                |               |         |
| Typical                           | 182 (22)          |                     |                |               |         |
| Atypical                          | 663 (78)          |                     |                |               |         |
| Antipsychotic dose, mg/days (CPZ equivalents) | 466 ± 429      |                     |                |               |         |
| PANSS total score                 | 75.5 ± 17.2       | 59.8 ± 15.8         | 5.8            | 0.002         |
| P subscore                        | 21.6 ± 6.3        | 11.7 ± 5.2          | 8.7            | < 0.001       |
| N subscore                        | 19.0 ± 7.0        | 22.8 ± 8.4          | 1.5            | 0.21          |
| G subscore                        | 35.3 ± 9.5        | 25.2 ± 6.4          | 5.1            | 0.001         |
| RBANS                             |                   |                     |                |               |         |
| Immediate memory                  | 65.3 ± 16.7       | 58.8 ± 16.4         | 135.3          | < 0.001       |
| VC                                | 77.3 ± 17.0       | 78.1 ± 19.0         | 1.8            | 0.16          |
| Language                          | 75.1 ± 18.6       | 81.7 ± 15.7         | 140.4          | < 0.001       |
| Attention                         | 74.4 ± 19.8       | 71.1 ± 17.8         | 104.5          | < 0.001       |
| Delayed memory                    | 70.1 ± 20.0       | 67.3 ± 19.4         | 156.6          | < 0.001       |
| Total score                       | 66.6 ± 15.9       | 65.0 ± 15.3         | 140.7          | < 0.001       |

Allele, genotype frequencies and LD analysis of four BDNF SNPs between patients and controls. Table 2 showed the genotype and allele frequencies of 4 SNPs in BDNF gene. No deviation from HWE was observed in controls or patients (all $p > 0.05$). There was no significant difference in the allele and genotype frequencies between SZ and HC (all $p > 0.05$) (Table 2).

LD analyses were conducted on all polymorphism pairs in both patients and healthy controls. The four polymorphisms were in modest LD with each other in the control and the case groups ($D^' = 0.72–0.94$, $r^2 = 0.04–0.48$) (Supplement Figure 1).

Cognition in DNFE, chronic patients and HCs. Cognition data were available from 243 DNFE patients, 261 chronic patients and 434 HCs. After controlling for age, sex and education, decreased RBANS total score and its 5 index scores were observed in chronic patients compared to DNFE patients (all $p < 0.05$). In addition, RBANS scores were lower in the combined with DNFE and chronic patients group as compared to HCs (all $p < 0.05$).

Genotype effects on cognitive performance in patients and HCs. As shown in Supplement Table 1, the separate MANCOVA analysis including DNFE patients and HCs showed a significant main effect of rs12273539 on visuospatial/construction index and significant diagnosis-by-genotype effects on visuospatial/construction index and RBANS total score (all $p < 0.05$). Moreover, there was a significant main effect of
findings that cognitive decline varied across cognitive dimensions. However, our finding was inconsistent with a previous study reported that verbal knowledge and memory decreased significantly, while processing speed remained unchanged after 10 years follow-up since the first episode, supporting our results. A 15-year follow-up study, which reported that cognitive deficit is already present at the onset of SZ and remains stable over the follow-up period. The difference between Albus et al. and current study may be caused by the recruitment of patients (SZ, schizophreniform disorder, schizoaffective disorder vs SZ), age (below 60 years vs below 45 years) and the use of different cognitive scales (several independent cognitive tests vs RBANS scale).

### Table 2. Genotypic and allelic distributions for SNPs in the BDNF gene between SZ patients and HCs.

| Marker       | M/m | Group     | Genotype | Allele | p     |
|--------------|-----|-----------|----------|--------|-------|
| rs2030324    |     | DNFE      | G/A      |        |       |
|              |     | Chronic   |          |        |       |
|              |     | HC        |          |        |       |
| rs12273539   | T/C | DNFE      |          |        |       |
|              |     | Chronic   |          |        |       |
|              |     | HC        |          |        |       |
| rs10835210   | C/A | DNFE      |          |        |       |
|              |     | Chronic   |          |        |       |
|              |     | HC        |          |        |       |
| rs2030324    | C/T | DNFE      |          |        |       |
|              |     | Chronic   |          |        |       |
|              |     | HC        |          |        |       |

#### Discussion

The main results of the current study were as follows. First, compared with HCs, cognitive function was lower in DNFE patients. In addition, cognitive function was lower in chronic patients than in DNFE patients. Second, rs2030324 variant was closely related to multiple domains of neurocognitive impairment in DNFE patients, but not in HCs and chronic patients. Third, the language index of DNFE patients with rs2030324 TT and TC genotypes was worse than that of chronic patients, but there was no significant difference in CC genotypes between DNFE and chronic patients.

We found that several domains of cognitive dysfunction assessed by RBANS were impaired in DNFE patients and chronic patients with SZ as compared to HCs. Moreover, in this large sample study, we also found that cognitive function was more seriously impaired in chronic patients than DNFE patients. Our findings suggest that multiple dimensions of cognitive deficits exist in the early onset of psychosis and deteriorate further during the chronic progressive course of SZ patients. These results were consistent with other studies. For example, a previous study reported that verbal knowledge and memory decreased significantly, while processing speed and executive functions remained unchanged after 10 years follow-up since the first episode, supporting our findings that cognitive decline varied across cognitive dimensions. However, our finding was inconsistent with a 15-year follow-up study, which reported that cognitive deficit is already present at the onset of SZ and remains stable over the follow-up period. The difference between Albus et al. and current study may be caused by the recruitment of patients (SZ, schizophreniform disorder, schizoaffective disorder vs SZ), age (below 60 years vs below 45 years) and the use of different cognitive scales (several independent cognitive tests vs RBANS scale).
Our further finding showed that there was no association between BDNF 4 tag SNPs and SZ, which was in line with a recent genome-wide association studies (GWAS) based hypothesis-driven candidate gene\(^1\). Our study with the large sample provides further evidence that BDNF gene is not a risk gene for SZ. Notably, we found a positive association between BDNF polymorphisms and cognitive rs12273539 variant was shown to be closely related to neurocognitive deficits measured by RBANS in HCs, DNFE and chronic patients, indicating that BDNF rs12273539 polymorphism may play a fundamental role in human neurocognitive function in both SZ patients and HCs. However, the effects of rs12273539 on cognitive functions are not significant after Bonferroni correction, suggesting that it is a weak effect.

Interestingly, we found that, while rs2030324 variant affects several cognitive dimensions only in DNFE patients, but not in HCs, suggesting a crucial role of BDNF gene in the early stage SZ. Specifically, compared with chronic patients with rs2030324 TT and TC genotype, DNFE patients with TT and TC genotype performed worse in several areas of cognitive function, such as language, attention and RBANS total score. However, after Bonferroni correction, only the interaction effect on language remained significant. The language index score of CC carriers was not different between DNFE patients and chronic patients. The mechanism by which this variant produced differential effects on cognition in DNFE patients than in chronic patients remain unclear. We speculated that the underlying mechanism was related to a possible influence of rs2030324 variant on the levels of serum BDNF. Rs2030324 variant is located in the promoter of BDNF gene and it may down-regulate the levels of BDNF in the CNS\(^3\). T allele in rs2030324 variant may be associated with a lower level of BDNF, which further influence the structural and functional plasticity of the hippocampus. In contrast, the above association was not observed in chronic patients after treatment with long-term antipsychotics. Studies reported that antipsychotics can regulate the levels of BDNF\(^32–34\), which could provide an explanation for the finding of no relationship observed in chronic patients after treatment with long-term antipsychotics.

Fourth, previous studies have shown that different antipsychotic drugs may have different effects on cognitive function in the early stage of SZ. In addition, the language performance of rs2030324 TT and TC carriers in AFNE patients is worse than that in chronic patients, but no difference was found in CC carriers. Our findings are of great significance in understanding the role of BDNF polymorphisms in the different domains of cognitive deficits in SZ patients at different stages.

Several limitations should be noted here. First, although our present study sample is relatively large, the sample size is still small because of the expected small effects of genetic variation. In particular, a lot of subjects only have been genotyped, but not been assessed for cognitive functions. Second, we compared the cognition between DNFE patients and chronic patients, however, we did not compare the cognitive function of patients at the first episode of psychosis and at follow-up after treatment with antipsychotics. A future longitudinal study could better reveal the effects of BDNF polymorphisms or the chronic disease progression on cognition. Third, we did not investigate the effects of BDNF polymorphisms on a neural functional network for the cognition of the participants by magnetic resonance imaging (MRI), therefore, we cannot give a causative inference to explain whether the SNPs in BDNF gene influence the critical neural circuit, which in turn affect cognitive function in SZ patients. Fourth, previous studies have shown that different antipsychotic drugs may have different effects on the cognitive functions of chronic SZ\(^25,36\). Therefore, our findings in in the cognitive function differences of the four BDNF SNPs in this study may be due to different antipsychotics, although we controlled for them as confounding factors in all these analyses.

Overall, we found that cognitive deficit occurs in the first stage and some domains are further weakened with disease progression. BDNF rs12273539 polymorphism was closely related to cognition in both patients and HCs. Interestingly, rs2030324 was associated with cognitive deficits only in DNFE patients, but not in HCs or chronic patients, suggesting that rs2030324 variant plays a critical role in the pathophysiology in the early stage of SZ. In addition, the language performance of rs2030324 TT and TC carriers in AFNE patients is worse than that in chronic patients, but no difference was found in CC carriers. Our findings are of great significance in understanding the role of BDNF polymorphisms in the different domains of cognitive deficits in SZ patients at different stages.

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Author contributions

M.X., X.S., Y.S. and X.Z. were responsible for study design, statistical analysis and manuscript preparation. L.Q., X.S., Q.L. and X.G. were responsible for recruiting the patients, performing the clinical rating and collecting the clinical data. M.X. and X.Z. were involved in evolving the ideas and editing the manuscript. X.G., M.X. and X.Z. were involved in writing the protocol, and cowrote the paper. All authors have contributed to and have approved the final manuscript.
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Competing interests
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