SARS-CoV-2 transmission in schools: An updated living systematic review (version 2; November 2020)

Wei Xu²*, Xue Li¹,²*, Yijia Dong², Marshall Dozier³, Yazhou He², Amir Kirolos²,⁴, Zhongyu Lang², Catherine Mathews⁵, Nandi Siegfried⁵†, Evropi Theodoratou²,⁶†; UNCOVER

¹School of Public Health and the Second Affiliated Hospital, Zhejiang University, Hangzhou, China
²Centre for Global Health Research, Usher Institute, University of Edinburgh, Edinburgh, United Kingdom
³Information Services, University of Edinburgh, Edinburgh, United Kingdom
⁴Institute of Translational Medicine, University of Liverpool, Liverpool, United Kingdom
⁵Health Systems Research Unit, South African Medical Research Council, Francie Van Zijl Drive, Parow, South Africa
⁶Cancer Research UK Edinburgh Centre, Medical Research Council Institute of Genetics and Molecular Medicine, University of Edinburgh, Edinburgh, United Kingdom

*Joint first authors.
†Joint senior authors.

Background Better understanding of SARS-CoV-2 transmission risks is needed to support decision-making around mitigation measures for COVID-19 in schools.

Methods We updated a living systematic review and meta-analysis to investigate the extent of SARS-CoV-2 transmission in schools. In this update we modified our inclusion criteria to include: 1) cohort studies; 2) cross-sectional studies that investigated and cross-assessed SARS-COV-2 positivity rates in schools and communities; and 3) pre-post studies. We performed risk of bias evaluation for all included studies using the Newcastle-Ottawa Scale (NOS).

Results 6270 articles were retrieved and six new studies were added in this update. In total from the two updates and using the new inclusion criteria, we identified 11 cohort studies (1st update: n = 5; 2nd update: n = 6) and one cross-sectional study (1st update: n = 1; 2nd update: n = 0). We performed a meta-analysis on nine of the 11 cohort studies investigating IAR in schools. Nine cohort studies reported a total of 91 student and 52 staff index cases that exposed 5698 contacts with 101 secondary infections (overall infection attack rate (IAR) = 1.45%, 95% CI = 0.31%-3.26%). IARs for students and school staff were 1.66% (95% CI = 0.08%-4.78%) and 1.18% (95% CI = 0.00%-4.43%) respectively. The risk of bias was found to be high for most studies identified, limiting the confidence in results.

Conclusions There is limited high-quality evidence available to quantify the extent of SARS-CoV-2 transmission in schools or to compare it to community transmission. Emerging evidence suggests the overall IAR and SARS-CoV-2 positivity rate in school settings are low. Higher IAR were found in students, compared to staff.

Note This article is a living systematic review that will be updated to reflect emerging evidence. This is the second version of the original article published on 23 December 2020 (J Glob Health 2020;11:021104), and previous versions can be found as data supplements. When citing this paper please consider adding the version number and date of access for clarity.
In response to the COVID-19 pandemic, 107 countries implemented national school closures in March 2020. In the following months, many countries re-opened schools for face-to-face teaching with varying non-pharmaceutical interventions (NPIs) in place, such as reduced class sizes, staggered class start and end times, increased hygiene measures and use of face coverings [1]. However, subsequent waves of COVID-19 in many countries and ensuing lockdowns to limit transmission, have resulted in repeated or sustained school closures. School closures have the potential to lead to major adverse impacts on children and are likely to widen inequalities in educational attainment, often with lifelong impacts.

Children are less affected by COVID-19, compared to adults [2]. According to data from 29 countries, the proportion of children among COVID-19 cases varies from 0.3% (lowest in Spain) up to 13.8% (highest in Argentina) [3]. Evidence on SARS-CoV-2 transmission from children to other children and to adults in schools can support decision-making on the need for closure and re-opening of educational facilities during times of high community transmission and can inform mitigation measures in these settings. We are regularly updating a living systematic review on the evidence of SARS-CoV-2 transmission in school settings. Given the rapid pace of ongoing research, we aim to include new studies as they become available and to re-evaluate the conclusions. This review updates our previously published review with studies up to November 2020 [4].

METHODS

Protocol

The review protocol was developed following the reporting guidance in the Preferred Reporting Items for Systematic Reviews and Meta-Analyses Protocols (PRISMA-P) statement [5]. Protocol was registered on PROSPERO (register number: CRD42020192839) and was updated with new inclusion and exclusion criteria on 5 March 2021 [6].

Literature search and eligibility criteria

We searched MEDLINE, CINAHL, ERIC, Embase, WHO COVID-19 database, medRxiv on 26 November 2020 with entry date limits from December 2019 (please see search strategies in Appendix S1 of the Online Supplementary Document), to identify studies that investigated SARS-CoV-2 transmission in schools. We performed parallel review of titles, abstracts, and subsequently full texts based on updated inclusion and exclusion criteria following the population, exposure, comparison, outcome (PECO) approach (according to the latest PROSPERO protocol registered). We included children (defined as ≤18 years old) who were attending school, and their close contacts (family and household members, teachers, school support staff). We excluded home-schooled children and their close contacts, and schools with student numbers below 20. For study outcomes, we included infections traced to a school index case with a COVID-19 positive test. We updated inclusion criteria of study types to include: 1) Cohort studies: A. Prospective cohort study: contact tracing study where the exposed contacts are followed up and secondary infections are measured. Secondary attack rates are (ideally) compared with another ‘community’ of unexposed participants matched for age and school to establish whether the school environment contributed to the secondary attack rate. B. Retrospective cohort study: positive cases in schools are identified through registries or contact laboratory databases, and then the contact tracing records scrutinized to assess the exposure and location of contacts and resultant rates where these were collected prospectively. These studies are less likely to have a comparison group. 2) Cross-sectional studies: A. Measurement of antibodies in a sero-surveillance study of schools at a point in time and then compared to background community rates at the same time. B. Measurement of active infection in all children/staff in a school with PCR or antigen tests at a single point in time after schools open (within the first 14 days of opening) and then comparing the infection rate with age-adjusted community rates before schools opening. 3) Pre-post studies, where community rates of acute infection are compared for a period before schools opening and two or more weeks after schools opening.

We excluded household studies unless specifically linked to school outbreaks; and studies where rates are measured in schools and without comparison of community rates. We included articles in peer-reviewed journals and pre-prints, and excluded comments, conference abstracts and interviews.

Data extraction

Data relevant to the evidence for SARS-CoV-2 transmission in schools were extracted independently by two reviewers (WX, YD). Data included: citation details, publication type, study design, country, region, city, investigation period, background population setting (country/regional COVID-19 prevalence rates), types of
non-pharmaceutical intervention in the background population setting, school closures at the time of the study, number of schools included, type of schools, size of schools, types of non-pharmaceutical interventions in place in schools, sampling method (nasopharyngeal or oropharyngeal swabs/ serum samples), provider testing vs self-testing, testing method (PCR/ SARS-CoV-2 antibody testing), modality of follow-up, frequency of follow-up, case and contact demographics (age and gender), clinical characteristics, number of index cases, number of contacts, number of secondary infected cases, IAR: No. of secondary infected cases/ No. of contacts, number of participants tested for SARS-CoV-2, number of SARS-CoV-2 positive cases, and SARS-CoV-2 positivity rates: No. of positive cases/ No. of participants tested.

Meta-analysis
We pooled SARS-CoV-2 infection attack rates (IAR) or positivity rates using a random-effects model (DerSimonian-Laird) [7]. To account for zero cell counts, we transformed raw numbers/proportions with the Freeman-Tukey double arcine method to stabilize the variance [8]. Heterogeneity among studies was tested using Cochran’s Q statistic, the $I^2$ index, and the tau-squared test [9]. Funnel plots and the Egger test were used to detect evidence of publication bias [10]. $P<0.05$ was considered as statistically significant (two-sided).

Risk of bias assessment
Two independent reviewers (NS, CM) evaluated the risk of bias in the included studies using the Newcastle Ottawa Scale (NOS) for controlled cohort and cross-sectional studies modified to reflect the school setting [11] and informed by earlier work [12]. The tools included an assessment of selection, measurement and attrition bias, and comparability, and considered how well the study performed compared to an idealised comparative study of school vs community rates. The tool is available in the supplementary materials (Appendix S2 of the Online Supplementary Document).

All statistical analyses were conducted using R, version 3.3.0 (R Foundation for Statistical Computing).

RESULTS

Characteristics and quality of the included studies
6270 articles were retrieved from the systematic search. Based on our new inclusion and exclusion criteria 12 studies were retained in our review: 1st update: $n=6$ (cohort studies [13-17] and cross-sectional studies [18-23]; 2nd update: $n=6$ (cohort studies [24-29]) (Figure 1) and the characteristics of the included studies are presented in Tables 1-4. We found 11 cohort studies [13-17,24-29] that investigated secondary infection attack (Table 1 and Table 2) and one cross-sectional studies [18] that investigated SARS-CoV-2 positivity compared to community rates (Table 3 and Table 4). Five cross-sectional studies [19-23] from our 1st update that investigated SARS-COV-2 positivity but did not compare with community rates were excluded.

Cohort studies (2nd update)
We identified six new cohort studies in United States, Italy, Finland, and Germany that reported SARS-Cov-2 transmission in schools [24-29]. A cluster outbreak in schools was reported in Salt Lake County, Utah, United States during 1 April-10 July [24]. Three child care facilities had three SARS-CoV-2 positive index cases in school staffs attending while infectious, with 162 contacts traced. Secondary transmission was reported and infected cases were found in 12 children and 7 school staffs. IAR was estimated as 11.73%.

Figure 1. Flowchart summarizing study identification and selection.
Table 1. Characteristics of cohort studies (N=11)

| STUDY               | PUBLICATION TYPE | STUDY DESIGN | COUNTRY     | REGION            | CITY                      | INVESTIGATION PERIOD       | NO. COVID-19 CASES (BACKGROUND POPULATION) | NON-PHARMACEUTICAL INTERVENTIONS (COUNTRY/REGION) | SCHOOL CLOSURES (YES/NO) | SCHOOL CLOSURES (DATE) |
|---------------------|------------------|--------------|-------------|-------------------|---------------------------|---------------------------|---------------------------------------------|-------------------------------------------------|-------------------------|------------------------|
| First update (n=5)  |                  |              |             |                   |                           |                           |                                             |                                                 |                         |                        |
| Danis-2020 [13]     | peer-review      | cohort       | France      | Rhone-Alpes       | Les Contamines-Montjoie   | 24 Jan-16 Feb             | 9                                           | NA                              | Yes                     | 8 Feb                  |
| Heavey-2020 [14]    | peer-review      | cohort       | Ireland     | NA                | NA                        | 1-13 Mar                  | 90                                          | NA                              | No                      | NA                     |
| Yung-2020 [15]      | peer-review      | cohort       | Singapore   | NA                | NA                        | Feb-Mar                   | 1189                                         | NA                              | No                      | NA                     |
| NCIRS-2020 [16]     | pre-print        | cohort       | Australia   | New South Wales   | NA                        | 10 Apr-3 Jul              | 437                                         | NA                              | 10-28 Apr Yes, 29 Apr-3 Jul No | 10-28 Apr |
| Macartney-2020 [17] | peer-review      | cohort       | Australia   | New South Wales   | NA                        | 25 Jan-9 Apr              | 2779                                        | NA                              | No                      | NA                     |
| Second update (n=6) |                  |              |             |                   |                           |                           |                                             |                                                 |                         |                        |
| Lopez-2020 [24]     | peer-review      | cohort       | United States| Utah              | Salt Lake County          | 1 Apr-10 Jul              | 13943                                       | NA                              | No                      | NA                     |
| Link-Gelles-2020 [25]| peer-review     | cohort       | United States| New England      | Rhode Island             | 1-31 Jul                  | 101                                         | NA                              | No                      | NA                     |
| Brown-2020 [26]     | peer-review      | cohort       | United States| NA                | NA                        | 10-13 Mar                 | 240                                         | NA                              | No                      | NA                     |
| Larosa-2020 [27]    | pre-print        | cohort       | Italy        | Reggio Emilia province| NA                        | 1 Sep-15 Oct              | 6336                                        | NA                              | No                      | NA                     |
| Dub-2020 [28]       | pre-print        | cohort       | Finland      | The Greater Helsinki region | Helsinki                  | Mar                        | 95                                          | NA                              | No                      | NA                     |
| Ehrhardt-2020 [29]  | peer-review      | cohort       | Germany      | Baden-Württemberg  | NA                        | 25 May-5 Aug               | 453                                         | NA                              | No                      | NA                     |

NA – not available
### Table 2. Characteristics of cohort studies (N=11)

| STUDY          | No. SCHOOLS | TYPE OF SCHOOLS | SIZE OF SCHOOLS | NON-PHARMACEUTICAL INTERVENTIONS (SCHOOL) | SCHOOLクラスター outbreak [YES/NO] | SAMPLING METHOD | PROVIDER TESTING/SELF-TESTING | TESTING METHOD | FOLLOW-UP MODALITY | FOLLOW-UP FREQUENCY | NO. INDEX CASE | TYPE OF INDEX CASE | AGE | GENDER | CONTACTS (N) | SECONDARY INFECTED CASES (N) | IAR (%) |
|---------------|-------------|----------------|----------------|-------------------------------------------|----------------------------------|----------------|--------------------------------|----------------|-------------------|-------------------|----------------|---------------------|-----|--------|-------------|------------------|--------|
| **First update (n = 5)**                                                                                                                                  |
| Danis 2020 [13] | 3           | NA             | NA             | school closed                             | No                               | NA             | nasopharyngeal swabs, endotracheal aspirates | NA             | real-time RT-PCR | telephone call     | daily           | 1                   | pupil | 9    | NA           | 102              | 0      | 0.00   |
| Heavey 2020 [14] | NA          | NA             | NA             | NA                                        | No                               | NA             | NA                                          | NA             | NA                | NA                | daily           | 6                   | 3 pupils; 1 staff; 2 adult visitors | pupils: 10-15; staff: >18 | NA    | 1155  | 2*          | 0.17             |
| Yung 2020 [15]  | 3           | 2 preschool; 1 secondary school | NA             | terminal cleaning of schools; suspension of extracurricular, sport activities; staggered recess breaks | No                               | nasopharyngeal swabs | provider testing | real-time RT-PCR | NA                | NA                | 3                   | 2 pupils; 1 staff | pupils: 5, 12; staff: >18 | NA    | 119   | 0           | 0.00             |
| NCIRS 2020 [16] | 6           | 3 primary school; 2 high school; 1 ECEC | NA             | NA                                        | No                               | nasopharyngeal swabs, serum samples | provider testing | nucleic acid testing; SARS-CoV-2 antibody testing | NA                | NA                | 6                   | 4 pupils; 2 staff | pupils: <18; staff: >18 | NA    | 521   | 0           | 0.00             |
| Macartney 2020 [17] | 25         | 15 primary and secondary school; 10 ECEC | NA             | NA                                        | Yes                              | nasopharyngeal swabs, serum samples | provider testing | nucleic acid testing; SARS-CoV-2 antibody testing | text message; telephone call | NA                | 27                  | 12 pupils; 15 staff | pupils: 14 (1-18)†; staff: 38 (19-65)† | pupils: 6 male; 6 female; staff: 1 male; 14 female | 1448  | 18    | 1.24       |
| **Second update (n = 6)**                                                                                                                                |
| Lopez 2020 [24] | 3           | childcare centre | NA             | daily temperature and symptom screening, frequent cleaning, staff mandatory masks | Yes                              | nasopharyngeal swabs | provider testing | RT-PCR | NA                | NA                | 3                   | staff | >18          | NA           | 162  | 19    | 11.73       |
**Table 2. Continued**

| Study               | No. schools | Type of schools | Size of schools | Non-pharmaceutical interventions (school) | School cluster outbreak (Yes/No) | Sampling method | Provider testing/ self-testing | Testing method | Follow-up modality | Follow-up frequency | No. index case | Type of index case | Age | Gender | Contacts (N) | Secondary infected case (N) | IAR (%) |
|---------------------|-------------|-----------------|-----------------|-------------------------------------------|---------------------------------|-----------------|-------------------------------|----------------|---------------------|--------------------|-----------------|---------------------|-----|--------|------------|------------------------|--------|
| Link-Gelles 2020 [25] | 29          | childcare centre | 20 persons per child care | limit to 20 persons; masks for adults; daily symptom screening, cleaning and disinfectant | Yes | NA | provider testing | RT-PCR | phone call; text | phone call (weekly); text (daily) | 30 pupils; 20 staff; 2 visitor | pupils: 5 (0-12)†; staff: 30 (20-63)† | pupils: 14 male, 16 female; staff: 1 male, 21 female | 853 | 17 | 1.99 |
| Brown 2020 [26]     | 1           | high school     | NA              | quarantine | Yes | serum samples | provider testing | ELISA antibody testing | NA | NA | 1 | staff | >18 | NA | 21† | 1 | 4.76 |
| Larosa 2020 [27]    | 36          | 8 Infant-toddler centre and preschool; 10 primary school; 18 secondary school | NA | mandatory masks; single desks 1m apart; suspended extra-curricular activities; temporal and spatial pathways for different classes | Yes | nasopharyngeal swabs | provider testing | NA | NA | 43 | pupils: <18; staff: >18 | NA | 1198 | 39 | 3.26 |
| Dub 2020 [28]       | 2           | NA              | NA              | na | Yes | nasopharyngeal swabs; serum samples | provider testing | RT-PCR; MNT; FMIA | NA | NA | 2 | 1 pupil; 1 staff | pupils: <18; staff: >18 | NA | 140 | 8 | 5.71 |
| Ehrhardt 2020 [29]  | 11          | 3 childcare centre; 1 primary school; 4 secondary school; 3 vocational school | NA | group sizes reduced by 50%; cleaning of contact surfaces; regular and interim ventilation of rooms; exclusion of sick children; individual hygiene (hand hygiene, cough etiquette); face mask outside classroom; physical distancing | Yes | nasopharyngeal swabs | provider testing | NA | NA | 6 | pupils; staff | pupils: <18; staff: >18 | NA | NA | 15 | NA |

ECEC – early childhood education and care setting, IAR – infection attack rate; NA – not available

*In other transmission settings (household, recreational activities), except school settings.
†Median (range).
‡120 pupils that were in contact with the infected teacher and only 21 were tested. This study is not eligible for meta-analysis.
Transmission of COVID-19 by children in schools

VIEWPOINTS

RESEARCH THEME 6: UNCOVER - USHER INSTITUTE NETWORK OF COVID-19 EVIDENCE REVIEWS

Table 3. Characteristics of cross-sectional studies (N = 1)*

| STUDY | PUBLICATION TYPE | STUDY DESIGN | COUNTRY | REGION | CITY | INVESTIGATION PERIOD | NO. COVID-19 CASES (BACKGROUND POPULATION) | NON-PHARMACEUTICAL INTERVENTIONS (COUNTRY/REGION) | SCHOOL CLOSURES (YES/NO) | SCHOOL CLOSURES (DATE) |
|-------|-----------------|--------------|---------|--------|------|----------------------|---------------------------------------------|-----------------------------------------------|------------------------|-----------------------|
| First update (n = 1) | | | | | | | | | | |
| Stein-Zamir 2020 [18] | peer-review | cross-sectional | Israel | Judean Highlands | Jerusalem | 18 May-30 Jun | 8863 | NA | No | NA |

NA – not available
*Studies investigated and cross-assessed SARS-CoV-2 positivity rates in schools and communities: n=1.

Table 4. Characteristics of cross-sectional studies (N = 1)

| STUDY | NO. SCHOOLS | TYPE OF SCHOOLS | SIZE OF SCHOOLS | NON-PHARMACEUTICAL INTERVENTIONS (SCHOOL) | SCHOOL CLUSTER OUTBREAK (YES/NO) | SAMPLING METHOD | PROVIDER TESTING/SELF-TESTING | TESTING METHOD | FOLLOW-UP MODALITY | FOLLOW-UP FREQUENCY | NO. INDEX CASE | TYPE OF INDEX CASE | AGE | GENDER | PARTICIPANTS (N) | SARS-CoV-2 POSITIVE CASES (n) | POSITIVITY RATE (%) |
|-------|-------------|----------------|----------------|-------------------------------------------|-------------------------------|----------------|---------------------------|--------------|-----------------|------------------|-------------|-----------------|-----|--------|----------------|-------------------------|-------------------|
| First update (n = 1) | | | | | | | | | | | | | | | | | | |
| Stein-Zamir 2020 [18] | 1 | high school | 1352 | daily health reports, hygiene, facemasks, social distancing, minimal interaction between classes | Yes | NA | provider testing | real-time RT-PCR | NA | NA | 2 | pupil | <18 | NA | 1312 | 178 | 13.57 |

NA – not available

Table 5. SARS-CoV-2 infection attack rate meta-analyses results

| NUMBER OF STUDIES | N (INFECTED CASES) | N (CONTACTS) | IAR (%) | 95% CI | COCHRANE Q | P | TAU-SQUARE | P-EGGER |
|-------------------|-------------------|--------------|---------|--------|------------|---|------------|---------|
| Total             | 9                 | 101          | 5698    | 1.45   | 0.31-3.26  | 94.3 | 0.0071     | 0.6249  |
| Student           | 5                 | 61           | 3645    | 1.66   | 0.08-4.78  | 106.25 | 0.0095     | 0.5852  |
| School staff      | 5                 | 15           | 704     | 1.18   | 0.00-4.43  | 23.91 | 0.0095     | 0.9612  |

CI – confidence interval, IAR – infection attack rate
The Rhode Island Department of Health (RIDOH) in the United States conducted investigations of a reported COVID-19 case present at a child care program during June 1-July 31 [25]. Secondary transmission was reported in four childcare programs and with 52 positive index cases (children: n = 30, 58%; adults: n = 22, 42%), which resulted in closures of 89 classes and quarantine of 687 children and 166 staff members. 17 secondary infected cases were identified and the IAR was estimated as 1.99%. Despite limited evidence for secondary transmission, the impact on childcare programs was substantial, with 853 children and staff members quarantined.

In the United States, a teaching staff member taught 16 classes while symptomatic, and of the 120 contacts including 48 (40%) enrolled in interactive classes, 72 (60%) enrolled in noninteractive classes were identified [26]. However, only 21 (18%) students participated in serologic survey during the quarantine period. Positive results were reported for 1 student (4.76%). Although this study indicates the risk associated with the classroom contact, the study is subject to limitations because of low participation.

A study in Reggio Emilia province, northern Italy investigated SARS-CoV-2 transmission in preschool and school settings after school reopening during September 1-October 15 [27]. In this study, 43 index cases among 38 students and 5 teaching staffs were reported. Thirty-nine secondary cases (3.90%) were identified among 994 children tested, in a total of 13 classes: in one primary school, and 8 secondary schools. The attack rate was higher in secondary schools (6.64%) than in primary schools (0.44%), while there were no secondary cases in the preschool settings. There were no secondary cases among tested teachers and staff members.

In Helsinki, Finland, incidents in two different schools were reported in March [28]. In school A, the index case was a student and no secondary infections occurred. In school B, one school staff led to eight (16%) secondary cases which were found in 51 close contacts.

A study investigated SARS-CoV-2 transmission in children aged 0 to 19 years old in childcare facilities and schools after school reopening in Baden-Württemberg, Germany during 25 May-5 August [29]. A total of 15 students were infected, 11 of which were infected from student-to-student and four infected from teaching staff-to-student. The study suggests that child-to-child transmission in schools and childcare facilities is uncommon and not the primary cause of SARS-CoV-2 infection in children.

**Cross-sectional studies (2nd update)**

We did not find any new cross-sectional studies meeting inclusion criteria that investigated and compared positivity rates in schools and communities.

**SARS-CoV-2 infection attack rate**

We combined SARS-CoV-2 IARs in schools in a meta-analysis (Table 5). A total of nine cohort studies (1st update: n = 5; 2nd update: n = 4) were included with 101 secondary infected cases in 5698 contacts. The remaining two studies did not report the number of contacts. The pooled IAR of total study participants was calculated to be 1.45% (95% CI = 0.31%-3.26%) by using the Freeman-Tukey double arcine transformation and DerSimonian-Laird random-effects model (Figure 2, panel A). The heterogeneity in this meta-analysis was substantial with an $I^2$ value of 94.3%. There was no evidence of publication bias (Egger's test $P = 0.625$; Figure 2, panels B and C).

We estimated the pooled IARs for students and school staff separately: 1.66% (95% CI = 0.08%-4.78%) and 1.18% (95% CI = 0.00%-4.43%) respectively; Figure 3, panel A; Figure 4, panel A). Heterogeneity was high and there was no evidence of publication bias (Figure 3, panels B and C; Figure 4, panels B and C).
SARS-CoV-2 positivity rate

We only found one study (in 1st review update) [18] which compared positivity rates in schools and communities, and therefore we could not conduct the meta-analysis to quantify the influence of school opening on SARS-CoV-2 transmission from the school settings to the communities. The high school outbreak in Jerusalem, Israel reported an overall IAR of 13.57%, with 153 students (attack rate: 13.18%) and 25 staff (attack rate: 16.56%) who were COVID-19 positive. As school reopened, the positivity rate of schoolchildren in the community increased to 40.93% (316/772) in weeks 22-25.

Risk of bias

For cohort studies, all studies were at risk of selection bias to varying degrees. Although most studies performed well in terms of representativeness of the exposed group in the school, all but one performed poorly for representativeness of the unexposed groups, and no studies confirmed that the outcomes were not present at the start of the study. Comparability was reasonable across all studies with most schools matched for NPI measures and participants matched for age. Ten studies were at high risk of detection bias caused by differences in screening or testing or both, and 9 of 11 studies were at high risk of attrition bias with loss-to-follow up more than 20% or not described in the 14-day follow-up period (Table 6).

A single cross-sectional study assessed SARS-CoV-2 positivity in schools and communities. The study was at risk of performance and detection bias (Table 7).

---

**Table 1.**

| Study Group | Events Total | Proportion | 95% CI | Weight (Fixed) | Weight (Random) |
|-------------|--------------|------------|--------|----------------|----------------|
| Study group = first update | 101 | 0.00 [0.00, 0.00] | 3.74% | 0.00 [0.00, 0.00] | 0.00 [0.00, 0.00] |
| Study group = second update | 79 | 0.00 [0.00, 0.00] | 16.4% | 0.00 [0.00, 0.00] | 0.00 [0.00, 0.00] |

---

**Figure 3.** Student infection attack rate. Panel A. Forest plot. Panel B. Funnel plot. Panel C. Egger's plot.

**Figure 4.** School staff infection attack rate. Panel A. Forest plot. Panel B. Funnel plot. Panel C. Egger's plot.
Table 6. Quality assessment based on modified Newcastle-Ottawa scale for cohort (contact tracing) studies

| STUDY ID       | Repren- | Repre- | Ascer- | Outcom- | Match- | Match- | Assess- | Confir- | Adequacy | Loss-to- |
|----------------|sentat- | sentat- | tainment | not | ent at | for | mation | for | of | follow-up |
|                | iveness | ness of | tainment | start | of | school | age | of SARS- | of SARS- | length | up |
|                | of exposed | exposed | exposure | the | study | school | CoV2 | CoV2 | of fol- | of fol- |
| Brown 2020 [26]| – | * | – | * | – | * | – | * | – | |
| Danis 2020 [13]| * | – | * | – | * | – | – | – | – | |
| Dub 2020 – Incident A [28]| * | – | * | – | * | – | – | – | – | *
| Dub 2020 – Incident B [28]| * | – | * | – | * | – | – | – | – | *
| Erhardt 2020 [29]| * | – | – | – | – | – | – | – | – | –
| Heavey 2020 [14]| * | – | – | – | – | – | – | – | – | –
| Larosa 2020 [27]| * | – | – | – | – | – | – | – | – | –
| Link-Gelles 2020 [23]| * | – | – | – | – | – | – | – | – | –
| Lopez 2020 [24]| – | – | – | – | – | – | – | – | – | –
| Macartney 2020 [17]| * | – | – | – | – | – | – | – | – | –
| NCIRS 2020 [16]| * | – | – | – | – | – | – | – | – | –
| Yung 2020 [15]| * | – | – | – | – | – | – | – | – | –

An ‘*’ denotes that the study met the criteria; a ‘–’ denotes either that the study did not meet criteria or that it was not clearly reported.

Table 7. Quality assessment based on modified Newcastle-Ottawa scale for cross-sectional studies

| STUDY ID     | Selection bias | Performance bias | Detection bias | Attrition bias | Comparability |
|--------------|----------------|------------------|----------------|---------------|---------------|
|              | Representativeness of sample | Percentage participation | Ascertainment of COVID-19 | Confir- | tion of COVID-19 | Blinding of assessors to prior exposure | Ascertainment of exposure to SARS-CoV-2 | Confir- | mation of exposure to SARS-CoV-2 | Blinding of assessment to COVID-19 status | Percent- age in final analysis | Comparable in school | Comparable in age |
| Zamir 2020 [18]| * | * | ** | NR | NR | * | NR | NR | * | * | |

*Denotes that the study met the criteria; NR denotes either that the study did not meet criteria or that it was not clearly reported.

DISCUSSION

This living systematic review summarizes the most recent evidence to understand SARS-CoV-2 transmission in schools and includes a study quality assessment to aid interpretation. The results from cohort and cross-sectional studies found that the overall IAR and SARS-CoV-2 positivity rate in school settings are low. Our previous review suggested lower IAR and SARS-CoV-2 positivity rate in students compared to school staff [4], whereas in this update when we combined studies from the second and first update we found higher IAR in students when compared to school staff.

Cohort studies estimated the secondary infection attack rates in school settings. Compiling the data from nine studies (EU countries: n = 6; United States: n = 2; Asian country: n = 1), we report an overall IAR of 1.45% (95% CI = 0.31%-3.26%) [13-17,24,25,27,28]. Cluster outbreaks were identified in five of the nine (55.6%) reporting countries, however, those that occurred were limited in number and size, varied from 0.01% (lowest in 15 primary and secondary schools, 10 ECDC in NSW) to 0.12% (highest in three childcare centers in United States) [17,24,25,27,28]. In addition, students reported higher IAR than school staff, which indicates that students were more susceptible to get infected through close contact with index cases. However, there is uncertainty about which grade school children are more likely susceptible to and transmit SARS-CoV-2 in schools. IARs for ECDC (early childhood education and care setting) (<6 years old), primary school (6-12 years old), and secondary school (12-18 years old) were 2.25%, 0.92%, 0.00% respectively in NSW. By comparison, the attack rate was higher in secondary schools (6.64%) than in primary schools (0.44%), while there were no secondary cases in the preschool settings, in northern Italy. The data are limited to reach a consensus. In addition, the studies of the school clusters in NSW, United States, and northern Italy demonstrated that factors related to physical distancing and face masks may play a role in the transmission of SARS-CoV-2 in school settings. Therefore, we suggest effective implementation of NPIs such as...
transmission during the re-opening of schools. Furthermore, the lower positivity rate was found in students, which suggested that students are less susceptible to infection and/or less frequently infected than adult school staff. Our finding is in line with previous studies comparing sero-prevalence between children and adults [30-33]. In general, the majority of countries report slightly lower seroprevalence in children than in adult groups, however these differences are small and uncertain. In Chile (Santiago), SARS-CoV-2 positive rates for pre-school (<6 years old), primary school (6-12 years old), secondary school (12-18 years old) were 12.24%, 10.84%, and 8.85% respectively. The peak rate was observed in pre-school. The sero-positivity was also higher (3.8%) in grades 1-2 (6-9 years old) in Switzerland (Zurich). By comparison, SARS-CoV-2 positive rates were higher in secondary schools in France (38.33%), Israel (13.18%). In addition, few cross-sectional studies cross-assessed SARS-CoV-2 positivity rates in schools and in communities, to investigate the impact of school opening on transmission. We suggest future research could compare positivity rates to evaluate whether SARS-CoV-2 is more easily to spread in school environment. Furthermore, we suggest large-scale sero-surveillance studies to monitor SARS-CoV-2 infection during school opening and schools could respond quickly to outbreaks with monitoring.

The main strength of this living systematic review is that it estimates pooled IARs and SARS-CoV-2 positivity rates for students and school staff, and it is updated with new studies to re-evaluate the conclusions given the rapid pace of ongoing research, to investigate the rate of SARS-CoV-2 transmission in schools. In addition, our study provides a critical assessment of the evidence, to aid the understanding of SARS-CoV-2 transmission risk in the school environment. However, the following potential limitations should be considered. First, further interpretation of age-group differences in IARs and positivity rates could not be performed because most of the included studies did not provide the raw data of student ages and we could not unify different age groups to run the meta-analysis. However, we suggest future studies could conduct sensitivity analyses for transmission rates in different school grades (child care/primary/sary) because this could provide evidence for the decision making of school closure and re-opening, with staggered class start and end times. Second, cross-comparisons between IARs and positivity rates reported in different regions/countries is difficult because of differences in the sampling and testing methods used, timing of the studies in relation to the outbreak, response measures and underlying community transmission. Moreover, the differences may contribute to the heterogeneity observed in the meta-analyses results and raise methodological concerns around the validity of the meta-analysis. Due to the limited number of included studies, we could not conduct subgroup meta-analyses to further investigate the heterogeneity. Third, seven studies in the included 12 studies (58.3%) reported prevention and control measures in place in schools such as physical distancing, face masks, class size, staggered class start and end times, and regular and interim ventilation of rooms, making it difficult to assess the effectiveness of NPIs under the school environment and to verify the argument whether transmission rates in schools could be reduced with effective NPIs in place. Forth, only one cross-sectional study has compared positivity rate in schools and communities to assess the impact of school opening on transmission from schools to communities. We additionally searched for study location background sero-prevalence or surveillance studies to monitor SARS-CoV-2 infection during school opening and schools could respond quickly to outbreaks with monitoring.

In conclusion, the balance of evidence so far indicates that the overall IAR and SAR-CoV-2 positivity rate in the school environment is low. Higher IAR were found in students compared to staff. Given the lack of clear evidence it will continue to be important to implement effective NPIs such as physical distancing, hand hygiene and smaller sized classes where possible to prevent schools from becoming a setting for accelerating onward transmission during the re-opening of schools.
Acknowledgements: UNCOVER (Usher Network for COVID-19 Evidence Reviews) authors that contributed to this review are: Prof Harry Campbell, Dr Ruth McQuillan, Prof Harish Nair, Ms Emilie McSwiggan, Prof Gerry Fowkes. https://www.ed.ac.uk/usher/uncover.

Funding: ET is supported by a Cancer Research UK Career Development Fellowship (C31250/A22804). AK is supported by a Wellcome Trust Clinical PhD Programme Fellowship with grant reference: 203919/Z/16/Z. UNCOVER group is supported by Wellcome Trust’s Institutional Strategic Support Fund (ISSF3) and by DDI.

Authorship contributions: The UNCOVER group conceived this study. XL, WX, MD, YH, ZL and AK conducted literature review. WX and XL performed meta-analyses. NS and CM developed the quality assessment tools and conducted quality assessment. WX and XL wrote the draft of the paper with input from all co-authors. ET, NS and CM provided methodological guidance on conducting the review. All authors have read and approved the final manuscript as submitted.

Competing interests: The authors completed the ICMJE Unified Competing Interest form (available upon request from the corresponding author), and declare no conflicts of interest.

Additional material
Online Supplementary Document

1 Royal Society DELVE Initiative. Balancing the Risks of Pupils Returning to Schools. Available: https://rs-delve.github.io/reports/20200722/balancing-the-risk-of-pupils-returning-to-schools.html#ftn49. Accessed: 17 Sep 2020.

2 Viner RM, Russell SJ, Croker H, Packer J, Ward J, Stansfield C, et al. School closure and management practices during coronavirus outbreaks including COVID-19: a rapid systematic review. Lancet Child Adolesc Health. 2020;4:397-404. Medline:32272089 doi:10.1016/S2352-4642(20)30095-X

3 Li X, Xu W, Dozier M, He Y, Kirolos A, Theodoratou E. The role of children in the transmission of SARS-CoV2. updated rapid review. J Glob Health. 2020;10.1021101. Medline:33312511 doi:10.7189/jogh.10.021101

4 Xu W, Li X, Dozier E, He Y, Kirolos A, Theodoratou E, et al. What is the evidence for transmission of COVID-19 by children in schools? A living systematic review. J Glob Health. 2020;10.21104. Medline:33437465 doi:10.7189/jogh.10.021104

5 Moher D, Shamseer L, Clarke M, Ghersi D, Liberati A, Petticrew M, et al. Preferred reporting items for systematic review and meta-analysis protocols (PRISMA-P) 2015 statement. Syst Rev. 2015;4:1. Medline:25554246 doi:10.1186/2046-4053-4-1

6 Protocol: What is the evidence for transmission of COVID-19 by children in schools? A living systematic review. Available: https://www.crd.york.ac.uk/PROSPERO/display_record.php?RecordID=192839. Accessed: 11 Sep 2020.

7 DerSimonian R, Laird N. Meta-analysis in clinical trials. Control Clin Trials. 1986;7:177-88. Medline:3802833 doi:10.1016/0197-2456(86)90046-2

8 Freeman MF, Tukey JW. Transformations related to the angular and the square root. Ann Math Stat. 1950;21:607-11. doi:10.1214/aoms/1177729756

9 Higgins JP, Thompson SG, Deeks JJ, Altman DG. Measuring inconsistency in meta-analyses. BMJ. 2003;327:557-60. Medline:12958120 doi:10.1136/bmj.315.7109.629

10 Egger M, Davey Smith G, Schneider M, Minder C. Bias in meta-analysis detected by a simple, graphical test. BMJ. 1997;315:629-34. Medline:9310563 doi:10.1136/bmj.315.7109.629

11 Wells GA, Shea B, O’Connell D, Peterson J, Welch V, Losos M, et al. The Newcastle-Ottawa Scale (NOS) for assessing the quality of nonrandomised studies in meta-analyses. Available: http://ohri.ca/programs/clinical_epidemiology/oxford.asp. Accessed: 17 Sep 2020.

12 Siegfried N, Mueller M, Deeks J, Volmink J, Egger M, Low N, et al. HIV and male circumcision—a systematic review with assessment of the quality of studies. Lancet Infect Dis. 2005;5:165-73. Medline:15766561 doi:10.1016/S1473-3099(05)70024-4

13 Danis K, Epaulard O, Benet T, Gaynard A, Campoy S, Botelho-Nevers E, et al. Cluster of Coronavirus Disease 2019 (COVID-19) in the French Alps. Clinical February review. J Glob Health. 2020;71:825-32. Medline:32277759 doi:10.1093/cid/ciaa424

14 Heavey L, Casey G, Kelly C, Kelly D, McDarby G. No evidence of secondary transmission of COVID-19 from children attending school in Ireland, 2020. Euro Surveill. 2020.25.2000903. Medline:32489179 doi:10.2807/1560-7917.ES.2020.25.21.2000903

15 Young CF, Kam KQ, Nadua KD, Chong CY, Tan NWH, Li J, et al. Novel coronavirus 2019 transmission risk in educational settings. Clin Infect Dis. 2021. Online ahead of print. Medline:32584975 doi:10.1093/cid/ciaa794

16 National Centre for Immunisation Research and Surveillance (NCIRS). COVID-19 in schools and early childhood education and care services – the Term 2 experience in NSW. Available: http://ncirs.org.au/sites/default/files/2020-08/COVID-19%20Transmission%20in%20educational%20settings%20in%20NSW%20Term%202%20report_-_draft.pdf. Accessed: 17 Sep 2020.

17 Macartney K, Quinn HE, Pillbury AJ, Koizala A, Deng L, Winkler N, et al. Transmission of SARS-CoV-2 in Australian educational settings: a prospective cohort study. Lancet Child Adolesc Health. 2020. Online ahead of print. Medline:32758454 doi:10.1016/S2352-4642(20)30251-0

18 Stein-Zamir C, Abramson N, Shoob H, Lital E, Bitan M, Cardash T, et al. A large COVID-19 outbreak in a high school 10 days after schools’ reopening, Israel, May 2020. Euro Surveill. 2020;25.2001352. Medline:32720636 doi:10.2807/1560-7917.ES.2020.25.29.2001352

19 Torres JP, Piricena C, De La Maza V, Lagomarcino AJ, Simian D, Torres B, et al. SARS-CoV-2 antibody prevalence in blood in a large school community subject to a Covid-19 outbreak: a cross-sectional study. Clin Infect Dis. 2020. Online ahead of print. Medline:32649743
Transmission of COVID-19 by children in schools

REFERENCES

20. Armann JP, Unrath M, Kirsten C, Lück C, Dalpke A, Berner R. Anti-SARS-CoV-2 IgG antibodies in adolescent students and their teachers in Saxony, Germany (SchoolCoviDD19): very low seroprevalence and transmission rates. SSRN Electronic Journal. 2020. doi:10.2139/ssrn.3651210

21. Desmet S, Ekinci E, Wouters I, Decru B, Beuselinck K, Malhotra-Kumar S, et al. No SARS-CoV-2 carriage observed in children attending daycare centers during the first weeks of the epidemic in Belgium. medRxiv. 2020. doi:10.1101/2020.05.13.20095190

22. Fontanet A, Tondeur L, Madey Y, Grant R, Besombes C, Jolly N, et al. Cluster of COVID-19 in northern France: A retrospective closed cohort study. medRxiv. 2020. doi:10.1101/2020.04.18.20071134

23. Fontanet A, Grant R, Tondeur L, Temmam S, Madey Y, Bigot T, et al. SARS-CoV-2 infection in primary schools in northern France: A retrospective cohort study in an area of high transmission. medRxiv. 2020. doi:10.1101/2020.06.25.20140178

24. Lopez AS, Hill M, Antezano J, Vilven D, Rutner T, Bogdanow L, et al. Transmission Dynamics of COVID-19 Outbreaks Associated with Child Care Facilities - Salt Lake City, Utah, April-July 2020. MMWR Morb Mortal Wkly Rep. 2020;69:1319-23. Medline:32941418 doi:10.15585/mmwr.mm6937e3

25. Link-Gelles R, DellaGrotta AL, Molina C, Clyne A, Campagna K, Lanzieri TM, et al. Limited Secondary Transmission of SARS-CoV-2 in Child Care Programs - Rhode Island, June 1-July 31, 2020. MMWR Morb Mortal Wkly Rep. 2020;69:1170-2. Medline:32853185 doi:10.15585/mmwr.mm6934e2

26. Brown NE, Bryant-Genevier J, Bandy U, Browning CA, Berns AL, Dott M, et al. Antibody Responses after Classroom Exposure to Teacher with Coronavirus Disease, March 2020. Emerg Infect Dis. 2020;26:2263-5. Medline:32597750 doi:10.3201/eid2609.201802

27. Larosa E, Djuric O, Cassinadri M, Cilloni S, Bisaccia E, Vicentini M, et al. Secondary transmission of COVID-19 in preschool and school settings in northern Italy after their reopening in September 2020: a population-based study. Euro Surveill. 2020;25.2001911. Medline:33303065 doi:10.2807/1560-7917.ES.2020.25.49.2001911

28. Dub T, Erra E, Hagberg L, Sarvikivi E, Virta C, Järvinen A, et al. Transmission of SARS-CoV-2 following exposure in school settings: experience from two Helsinki area exposure incidents. medRxiv. 2020. doi:10.1101/2020.07.20.20156018

29. Ehrhardt J, Ekinci A, Krehl H, Meincke M, Finci I, Klein J, et al. Transmission of SARS-CoV-2 in children aged 0 to 19 years in childcare facilities and schools after their reopening in May 2020, Baden-Württemberg, Germany. Euro Surveill. 2020;25.2001587. Medline:32914746 doi:10.2807/1560-7917.ES.2020.25.36.2001587

30. Brotons C, Serrano J, Fernandez D, Garcia-Ramos C, Ichazo B, Lemaire J, et al. Seroprevalence against COVID-19 and follow-up of suspected cases in primary health care in Spain. medRxiv. 2020. doi:10.1101/2020.06.13.20130575

31. Herzog S, De Bie J, Abrams S, Wouters I, Ekinci E, Pattee L, et al. Seroprevalence of IgG antibodies against SARS coronavirus 2 in Belgium: a prospective cross-sectional study of residual samples. medRxiv. 2020. doi:10.1101/2020.05.04.20090076

32. Streeck H, Schulte B, Kuehmerer B, Richter E, Hoeller T, Fuhrmann C, et al. Infection fatality rate of SARS-CoV-2 infection in a German community with a super-spreadning event. medRxiv. 2020. doi:10.1101/2020.07.15.20154112

33. Weiss S, Scherag A, Bauer M, Kiehnoptorf M, Kamradt T, Kolanos S, et al. Seroprevalence of SARS-CoV-2 antibodies in an entirely PCR-sampled and quarantined community after a COVID-19 outbreak-the CoNAN study. medRxiv. 2020. doi:10.1101/2020.07.15.20154112