Apolipoprotein L1 risk genotypes in Ghanaian patients with systemic lupus erythematosus: a prospective cohort study

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INTRODUCTION

Two coding change variants in the apolipoprotein L1 (APOL1) gene, G1 (S342G and I384M) and G2 (N388del;Y389del), have recently been identified in sub-Saharan African genomes. Some of the highest reported APOL1 variant allele frequencies can be found in Ghana, where up to 41% and 13% of alleles carry the G1 and G2 variants, respectively. The variants are thought to have been evolutionarily conserved due to a protection against Trypanosoma brucei, the parasite cause of African trypanosomiasis. This infectious protection comes with a higher risk of several adverse phenotypes, including end-stage renal disease (ESRD) by multiple causes and SLE nephritis. Several epidemiological studies on the African continent have linked APOL1 to progressive renal disease, hypertension and stroke; however, no study has been performed in SLE.

SLE may represent an important context under which APOL1 variants confer heightened risk. Associations between adverse phenotypes and APOL1 high-risk genotypes, defined as two variants in any combination (G1/G1, G1/G2, or G2/G2), are highly heterogeneous. For example, the odds of developing chronic kidney disease range from 1.5 to 2.0 in otherwise healthy individuals, to 2.5–7.3 in SLE nephritis, to 29–80 in HIV-associated nephropathy. In SLE, the APOL1 high-risk genotype has been shown to...

Key messages

- APOL1 high-risk genotype is known to associate with SLE nephritis progression.
- In this cohort, APOL1 high-risk genotype contributed to SLE damage accrual.
- APOL1 high-risk genotype carriers exhibited excess damage despite no differences in SLE disease activity.
- Case fatality rate was 14 fold higher in APOL1 high-risk genotype SLE patients.
- APOL1 genotype may be an important genetic contributor to SLE morbidity and mortality.
associate with collapsing glomerulopathy and progression to ESRD. Even carrying a single variant copy has been associated with hypertension, prevalent cardiovascular disease and kidney injury in SLE. One hypothesis for this is that gene penetrance is contingent on environmental ‘second hits’. Consistent with its immune function, APOL1 expression is highly responsive to inflammatory signals. We and others have shown that a wide range of stimuli, including endogenous and exogenous interferons, auto-antigens and SLE serum, increase APOL1 expression and therefore intracellular accumulation. APOL1 contains both a BH3 domain that participates in the cellular stress response through autophagy, and a pore-forming domain that can traverse phospholipid bilayers in a pH-dependent fashion. At high expression levels, intracellularly accumulated APOL1 shifts from a pro-autophagic function towards a cytotoxic pore-forming function. Pore formation has been shown to cause injury in kidney and vascular-related cells including podocytes and endothelial cells.

Recent literature reports that severe SLE is more common in Ghana than previously appreciated. For example, a retrospective review showed that SLE represented 5.28/1000 inpatient admissions at Korle bu Teaching Hospital in Accra, Ghana. These patients experienced high mortality as 43% died, most commonly of kidney disease, over a 2-year period. It is unclear how APOL1 high-risk genotype contributes to this trend. We therefore conducted a prospective cohort study comparing outcomes across APOL1 genotype groups in patients with SLE seeking care at Korle bu Teaching Hospital in Accra, Ghana.

METHODS
Study population
Enrolment was initiated at Korle bu Teaching Hospital outpatient rheumatology clinics between 2015 and 2017. A consecutive sample of 100 individuals seeking clinical rheumatology care was invited to participate. Inclusion criteria were as follows: (1) ≥18 years of age, (2) self-reported Ghanaian ancestry and (3) fulfilling at least four 1982 American College of Rheumatology (ACR) criteria for SLE. Patients who were unwilling or unable to sign consent were excluded.

Sample assessment
Apolipoprotein L1 genotyping: study patients’ genomic DNA was isolated from saliva using Oragene reagents according to the manufacturer’s instructions (DNA Genotek). As described previously, DNA isolates were stored at −80°C, and quantitated using a Nanodrop spectrophotometer (Nanodrop Products, Wilmington, Delaware, USA). DNA templates (100 ng) were used for conventional PCR as previously described. A single 300-base pair DNA segment containing the APOL1 gene, including reference G0 allele and polymorphisms G1 (rs73885319 and rs60910145) and G2 (rs71785313), was amplified using Applied Biosystems (Foster City, California, USA). For quality control, DNA was elongated in both forward and reverse directions. Genotypes were analysed using the Genewiz online platform as previously described.
Autoantibody serological screening: batched and shipped serum samples were screened for ANAs using the BioPlex 220 ANA Screen in the NYU Langone Hospitals clinical lab. This automated system uses multiplex technology to measure 13 antibodies including SLE-relevant antigens dsDNA, chromatin, RNP-68 kDa, SSA-52 kDa, SSA-60 kDa and Sm/RNP as described. A mixture of antigen-coated beads was combined with patient sample and diluent for an incubation period of 20 min at 37°C. Beads were washed and treated with antihuman IgG antibody conjugated to phycoerthrin dye for a 10 min incubation. Excess conjugate was removed, and the mixture was passed through a detector. The bead type and amount detected were read and reported.

| Table 1 Characteristics of the study population |
|-----------------------------------------------|
| Genotype                                      |
|                                               |
| 0RV (n=38)                                   |
| 1RV (n=50)                                   |
| 2RV (n=12)                                   |
| P value                                      |
| Total (n=100)                                |
| Demographics                                 |
| Age (years) 32.3±10.5                         |
| Gender (% female) 100                         |
| Disease duration (years) 1.9±1.4              |
| Symptom onset to diagnosis (months) 6.1±13.6  |
| SLE clinical criteria (% positive)            |
| Malari rash 45                                |
| Disoid rash 45                                |
| Photosensitivity 39                           |
| Mucosal ulcers 47                             |
| Arthritis 82                                  |
| Serositis 55                                  |
| Renal 61                                      |
| Neurological 18                               |
| Haematological 50                             |
| Immunological 85                              |
| ANA 97                                        |
| Serological markers (%)                       |
| dsDNA 73                                      |
| Smith 64                                      |
| Ro 48                                         |
| La 13                                         |
| RNP 71                                        |
| Ancillary medications (% taking)              |
| Statin 11                                     |
| ASA 0                                         |
| ACE or ARB 22.2                               |
| SLE medications                              |
| Average prednisolone dose (mg) 11.5±8.1       |
| Average HCQ dose (mg) 339±125                 |
| Methotrexate (% taking) 5.4                   |
| MMF (% taking) 5.6                            |
| AZA (% taking) 55.6                           |

Data presented as number±SD unless indicated as percentages. Serological markers are reported as per cent of patients ever positive for the respective auto-antibodies.

ARB, angiotensin II receptor blockers; ASA, aspirin; AZA, azathioprin; dsDNA, antidualle-stranded DNA antibodies; HCQ, hydroxychloroquine; La, anti-SSB/La (48) antibodies; MMF, mycophenolate mofetil; n, number; RNP, anti-U1RNP antibodies; Ro, anti-SSA/Ro (52 or 60) antibodies; RV, risk variant; Smith, anti-Smith antibodies.
To test the association between \textit{APOL1} genotype and SLE outcomes, we treated \textit{APOL1} genotype as the predictive variable (coded Number Alleles) and composite SDI, renal function and case fatality as outcome variables (coded SLICC, Renal and Mort, respectively). The associations between primary predictor and outcome variables were tested using Poisson regression for the SLICC damage and generalised estimation equations (GEE) to identify factors associated with the composite renal function measure overtime. The distribution of sex, age, SELENA-SLEDAI score, disease duration, kidney function and SLE criteria were assessed across genotype. Factors that associated with the outcome variables at a significance level <0.10 were treated as co-variates; they included the clinical SELENA-SLEDAI score, disease duration and a renal function composite score obtained by performing a factor analysis of mean arterial pressure (MAP), estimated glomerular filtration rate (eGFR) and proteinuria on urine dipstick followed by a varimax rotation.\textsuperscript{31,32} The factor analysis was performed using data from the first visit. The eigenvector and the rotation matrix from this analysis was then used to derive the composite scores at the second and third visit.

Complete case and analyses are presented as the primary result; however, given the high rates of missing data, especially at the third visit, results from analyses using multiple imputations are also presented. Multiple imputation was performed assuming missing at random and monotone missingness. Results from the imputed datasets were combined using Rubin’s approach as implemented in the SAS V.9.4 MIANALYZE procedure.\textsuperscript{33,34} Analyses were performed using R 3.6.3 or SAS V.9.4.

**RESULTS**

**Characteristics of the cohort**

We enrolled 100 outpatients who met inclusion criteria and were consented to participate at Korle Bu Teaching Hospital in Accra, Ghana during their routine clinical care. Demographic characteristics are shown in table 1. The mean±SD age was 32±9.4 years and 99% of the patients self-identified as female. The most common ethnic groups were Akan (42%) followed by Ewe (28%) and Ga (20%) representing 90% of the study participants.

On average, patients had a disease duration of 2.3±2.3 years at the baseline. The reported time from symptom development to diagnosis was 8.5±15.8 months. The most common ACR criteria was arthritis (77%), followed by lupus nephritis (53%), serositis (50%) and haematological disorder (50%; table 1). Of the cohort, 76% had ANAs completed for the purposes of clinical care with a median titre of 1:320 and a range from 1:40 to 1:5120. The remaining 24% were diagnosed clinically. On testing sera in the NYU clinical laboratory, 93% of patients were ANA positive. The most common autoantibodies were RNP, Smith, dsDNA and Ro, which were positive in 65%, 56%, 56% and 54% of the cohort, respectively (table 1). The most commonly used medications were glucocorticoids (92%) and hydroxychloroquine (87%). Non-steroidal anti-inflammatory drug (NSAID) use was also common with 73% of the cohort taking these drugs at baseline survey. The most common disease-modifying antirheumatic drugs (DMARDs) were azathioprine, cyclophosphamide and methotrexate with 37%, 13% and 10% of patients receiving these drugs.

**APOL1 genotype associates with SLICC Damage Index**

The average SDI was 1.1±1.5 (mean±SD) at enrollment; 54% of the cohort had a non-zero SDI with on average 2.3 years of SLE disease duration on enrolment. The frequencies of the ancestral G0 and risk variant (RV) G1 or G2 allele were 0.64, 0.21 and 0.12, respectively, and the allele distribution did not deviate from Hardy Weinberg Equilibrium (p=0.2, table 2).

**Table 2** Cohort patients ethnicities and \textit{APOL1} allelic frequencies

| Ethnicity                | Genotype | 0 RV (%) | 1 RV (%) | 2 RV (%) | Allele frequency | G0 (%) | G1 (%) | G2 (%) | Total (%) |
|--------------------------|----------|----------|----------|----------|------------------|--------|--------|--------|-----------|
|                          | N (%)    | N (%)    | N (%)    |          |                  |        |        |        |           |
| Akan                     | 13 (33.3)| 23 (46.0)| 6 (50)   | 0.58     | 0.25             | 0.17   | 42 (42)|        |
| Ga                       | 9 (23.1)| 10 (20.0)| 2 (16.7) | 0.67     | 0.26             | 0.02   | 21 (21)|        |
| Ewe                      | 15 (38.5)| 11 (22.0)| 2 (16.7) | 0.73     | 0.11             | 0.12   | 28 (28)|        |
| Northern Tribe           | 1 (2.6)| 5 (10.0)| 1 (8.3)  | 0.56     | 0.38             | 0.06   | 8 (8)   |        |
| Other                    | 0       | 1 (2.0)| 1 (8.3)  | 0.25     | 0.50             | 0.25   | 2 (2)  |        |
| Total                    | 38 (100)| 50 (100)| 12 (100) | 0.64     | 0.24             | 0.12   | 100    |        |

Self-reported patient ethnicities of the cohort are reported and \textit{APOL1} genotype by ethnic group is shown. Values are expressed as N (number) and %. Allelic frequencies are represented within the ethnic group (rows) and across ethnic groups (columns).

\textit{APOL1}, apolipoprotein L1; RV, risk variant.
**APOL1** high-risk patients accrued more SDI points in renal, cardiac and neurological domains (figure 1). To model SDI points accrued by genotype, a Poisson regression with a logarithmic link was used (table 3). In the unadjusted model, 2RV patients showed an 81.3% (95% CI 12.3 to 192.7) higher SDI compared with **APOL1** low-risk (1RV or 0RV) patients. In the first model adjusted for average SLEDAI score, the increase was 72.2% (95% CI 6.5 to 178.3) among 2RV patients, relative to 0RV or 1RV patients. An increase of one unit in the 12-month average SLEDAI score corresponded to a 4.7% (95% CI 2.0 to 7.3%) increase in the SLICC damage score (table 3). Further adjustment for disease duration in the third model did not change this result (1.5%, 95% CI −6.5 to 10.2). Unadjusted and adjusted model summaries including both complete case data and data with imputed values are shown in table 3.

**APOL1 genotype is associated with renal function**

A higher proportion of 2RV patients had 2+ or greater proteinuria scores on urine dipstick at baseline, 6 months and 12 months (figure 2A). These patients also exhibited

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**Figure 1** SLICC Damage Index (SDI) across APOL1 genotype: stacked bar chart visualising the mean SDI points accrued by genotype group (0RV in blue, 1RV in orange, 2RV in grey). Each damage criterion is ordered by affected organ system (shown to the left). APOL1, apolipoprotein L1; RV, risk variant.
higher MAP at each time point, and lower eGFR as measured by the Chronic Kidney Disease Epidemiology Collaboration (CKD-EPI) formula (p=0.01 for each outcome MAP and eGFR; figure 2B and C). During the 12-month period, of the five patients who progressed to ESRD as defined by eGFR ≤15 mL/min/1.73 m² or referral for haemodialysis, three were 2RV carriers. Assuming a recessive mode of inheritance, carrying 2RV in APOL1 is associated with developing ESRD (OR=14 (95% CI 2.1 to 95.2); p=0.008).

Table 3  APOL1 genotype associates with SLICC Damage Index

| Model                                                                 | Observed data | Imputed analysis |
|-----------------------------------------------------------------------|---------------|-----------------|
|                                                                      | Per cent change (95% CI) | P value | Per cent change (95% CI) | P value |
| Unadjusted Poisson regression model (recessive)                       |               |               |
| 0RV or 1RV vs 2RV                                                     | 81.3 (12.3 to 192.7) | 0.01 | 78.4 (10.6 to 187.8) | 0.02 |
| Poisson regression model (recessive) to adjusted for SLEDAI          |               |               |
| 0RV or 1RV vs 2RV                                                     | 72.2 (6.5 to 178.3)  | 0.03 | 69.5 (4.9 to 173.8) | 0.03 |
| Average SLEDAI                                                        | 4.7 (1.9 to 7.7) | 0.001 | 4.7 (1.8 to 7.6) | 0.001 |
| Poisson regression model (recessive) to adjusted for SLEDAI and duration of SLE | | |
| 0RV or 1RV vs 2RV                                                     | 80.8 (11 to 194.4)  | 0.01 | 77.7 (9.2 to 189.1) | 0.02 |
| Average SLEDAI                                                        | 4.2 (1.1 to 7.4) | 0.008 | 4.2 (1.1 to 7.3) | 0.008 |
| Disease duration                                                      | 1.5 (−6.5 to 10.2) | 0.72 | 1.5 (−6.5 to 10.1) | 0.73 |

Per cent change (rate of change) and 95% CI for the unadjusted Poisson regression model, as well as two adjusted Poisson regression models are shown. Results are shown for the complete case analysis (observed data, excluding missing values) and following multiple imputations, assuming missing at random (imputed analysis).

APOL1, apolipoprotein L1; RV, risk variant; SLEDAI, Systemic Lupus Erythematosus Disease Activity Index.

Figure 2  Distribution of kidney function parameters by APOL1 genotype and time point. (A) The bars represent the proportion of patients in each urine dipstick range (0+–3–4+). The x-axis represents percentages, and the y-axis represents the genotype groups by time point (0M=month zero, 6M=month 6, 12M=month 12). At each time point, the proportion of patients in the higher dipstick proteinuria ranges was higher in the 2RV patients. These differences did not reach statistical significance. (B) The box plots represent the distribution of mean arterial pressure (mm Hg) values by genotype groups and time point. Overall, 2RV patients exhibited significantly higher mean arterial pressure values (p<0.01). (C) The box plots represent the distribution of mean eGFR (mL/min) values by genotype groups and time point. Overall, 2RV patients exhibited significantly lower eGFR values (p=0.01). **P<0.01. APOL1, apolipoprotein L1; eGFR, estimated glomerular filtration rate; RV, risk variant.
To capture the effect of 2RV status on urine dipstick proteinuria, MAP and lower eGFR, a weighted average of each variable was created to fully represent kidney function. This weighted average was designated as a composite variable called ‘factor one’, which was proportional to kidney function and computed with the following loadings: factor one=\((-0.67\times\text{MAP})+(0.28\times\text{dipstick protein})+(0.63\times\text{eGFR})\). In the GEE model, APOL1 variants associated with a decrease in the renal factor one \((-0.76, \text{95\% CI } -1.35 \text{ to } -0.16\)\). On controlling for SDI, this association between APOL1 variants and factor one remained \((-0.63, \text{95\% CI } -1.1 \text{ to } -0.16\)\). Lastly, this association was not mitigated by disease duration (table 4).

APOL1 genotype is associated with case fatality

The case fatality rate was significantly higher in 2RV patients. Over 12 months, 2.3% (2 out of 88) patients with 0RV or 1RV died compared with 25% (3 out of 12) 2RV patients corresponding with an OR of 13.6 (95% CI 1.4 to 182.6). Deaths in the 0RV or 1RV group were due to ESRD and sepsis during pregnancy. In the 2RV patients, deaths were due to ESRD and heart failure. Case fatality rate and causes of death are summarised in figure 3. The association between the APOL1 high-risk genotype and case fatality remained on controlling for average SLEDAI at an OR of 14.8 (95% CI 2.0 to 109.4). Taken together, these data suggest that APOL1 high-risk genotype is a contributor to SLE mortality independent of disease activity.

DISCUSSION

The central findings in our study were that carrying 2RV was associated with proteinuria, higher MAP and lower average eGFR levels despite similar SLE activity measures. Compared with the 0RV and 1RV patients, the 2RV group accumulated more points on the SDI both in renal domains and in those suggesting microvascular disease including cognitive impairment, digital pulp loss and panniculitis. The case fatality rate in 2RV carriers was

| Model                                    | Observed data | Imputed analysis |
|------------------------------------------|---------------|-----------------|
|                                          | Estimate (95% CI) | P value | Estimate (95% CI) | P value |
| Linear regression model (recessive)      |               |       |                |       |
| 2RV vs 0RV or 1RV                       | \(-0.76 (\text{-1.35 to } -0.16)\) 0.01 |          | \(-0.73 (\text{-1.42 to } -0.04)\) 0.04 |
| Linear regression model (recessive)      |               |       |                |       |
| adjusted for SDI                         | \(-0.63 (\text{-1.1 to } -0.16)\) 0.01 |          | \(-0.6 (\text{-1.14 to } -0.05)\) 0.03 |
| SDI                                      | \(-0.17 (\text{-0.29 to } -0.04)\) 0.01 |          | \(-0.17 (\text{-0.31 to } -0.02)\) 0.02 |
| Linear regression model (recessive)      |               |       |                |       |
| adjusted for SDI and duration of SLE     | \(-0.64 (\text{-1.12 to } -0.16)\) 0.01 |          | \(-0.61 (\text{-1.16 to } -0.06)\) 0.03 |
| SDI                                      | \(-0.18 (\text{-0.31 to } -0.05)\) 0.01 |          | \(-0.18 (\text{-0.33 to } -0.02)\) 0.02 |
| Disease duration                         | \(-0.05 (\text{-0.11 to } 0.02)\) 0.14 |          | \(-0.05 (\text{-0.12 to } 0.02)\) 0.18 |

Table shows the association between APOL1 genotype and renal function as measured by a weighted average of dipstick proteinuria, mean arterial pressure and eGFR. Parameter estimates (Estimate) and 95% CI are presented for the unadjusted linear regression model with generalised estimating equation to account for repeated observations followed by adjustment for SDI and duration of SLE. Models were fitted in the observed data, excluding missing observation and imputed datasets, assuming missing at random.

APOL1, apolipoprotein L1; eGFR, estimated glomerular filtration rate; RV, risk variant; SDI, SLICC damage index.
13.6-fold that of the 0RV or 1RV carriers on controlling for SLE disease activity. Deaths in the 2RV patients were due to ESRD and heart failure, consistent with previously identified APOL1 variant-associated phenotypes. Importantly, the APOL1 risk genotype correlated with SDI, renal progression and case fatality independent of SLE disease activity, suggesting that in Ghanaian patients with SLE, these variants may be significant prognostic indicators.

Associations between the APOL1 high-risk genotype and non-diabetic kidney disease by multiple causes have been consistent with recent reports of early onset renal disease in West Africa.2 3 6 10 35 36 Notably, reports from Ghana indicate that the APOL1 high-risk genotype is associated with small vessel ischaemic stroke, a risk factor for cognitive impairment.13 In the USA, reports implicate the APOL1 high-risk genotype in extrarenal phenotypes including pre-eclampsia and non-diabetic microvascular stroke.37-39 The current study adds to the understanding of APOL1 high-risk genotype manifestations in SLE. In addition to renal domains, 2RV patients accrued more damage due to cognitive impairment, pulmonary infarction and digital pulp loss.

SLE is an extremely heterogeneous disease, with variation in clinical manifestations, race and ethnicity, disease onset, medication requirements and disease activity all contributing to morbidity and mortality.40 41 Patients with SLE of African ancestry have been shown to accrue more organ damage, and suffer with more comorbidities than patients of European ancestry.42 These differences are multifactorial and thought to be largely driven by socioeconomic factors, however genetics may also play a role.43-45 Genetic susceptibility to early damage accrual, particularly in renal and cardiovascular domains, is implicated in early SLE deaths.46 Recently, a Swedish group found that a higher cumulative genetic risk score spanning 57 SLE-associated loci was implicated in SLE onset, severity, organ damage and death; however, APOL1 was not identified likely due to a lack of patients with African continental ancestry.46 The current study highlights the need to perform additional genetic susceptibility analyses in patients with SLE of diverse ancestral backgrounds.

Several sub-Saharan African case series have identified high SLE-related morbidity and mortality due to infections and cardiorenal disease.22 23 47 While epidemiological studies on the African continent are sparse, individuals of recent African ancestry throughout the diaspora have some of the highest reported incidence and prevalence of SLE.48 49 This trend is compounded by higher morbidity and mortality due to renal, neurological and cardiovascular disease.40 41 48 49 In the USA, SLE ranked among the top 10 causes of death in African-American women from the second through fifth decades of life.50 Moreover, African-American patients with SLE have demonstrably higher prevalent comorbid hypertensive, renal and cardiovascular disease compared with their European American counterparts.42 Damage accrual on renal and cardiovascular domains of the SDI are independent risk factors for early SLE mortality.23 While many socioeconomic factors are at play, the observed association between these critical outcomes and APOL1 risk genotype in SLE may be an underappreciated genetic contribution.

The current study is not without limitations. Given SLE disease heterogeneity, other genetic, treatment and environmental factors contribute to damage accrual, kidney disease susceptibility and mortality. This highlights the need for genome-wide association studies in a continental African SLE population. The generalisability of the findings is limited by the small sample size with 12% of participants in the 2RV group. Larger studies are necessary to better understand the associations between the APOL1 polymorphisms and systemic disease. Patients were often unable to afford or obtain laboratory studies at each visit, thus limiting longitudinal laboratory data. This could have underestimated SLE activity based on available disease measures.51 In addition, high 1-year mortality could be attributed to limited access to DMARDS and life-saving dialysis treatments. Study patients commonly used NSAIDs and corticosteroids both of which have adverse cardio renal effects. However, this phenomenon influenced all study patients across genotype groups. Despite these limitations, this novel sub-Saharan African study offers important information regarding APOL1 risk phenotypes in SLE.

CONCLUSION

These data implicate APOL1 high-risk genotype in SLE renal progression, extrarenal damage accrual and mortality in this Ghanaian SLE cohort. Furthermore, we identify a potential genetic associations with high SLE-associated mortality in sub-Saharan Africa. Additional research is required to determine the utility and cost-effectiveness of APOL1 genotyping in patients with SLE of recent African ancestry for risk stratification and disease prevention.

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