On algorithmic applications of sim-width and mim-width of \((H_1, H_2)\)-free graphs

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Abstract

Mim-width and sim-width are among the most powerful graph width parameters, with sim-width more powerful than mim-width, which is in turn more powerful than clique-width. While several NP-hard graph problems become tractable for graph classes whose mim-width is bounded and quickly computable, no algorithmic applications of boundedness of sim-width are known. In [Kang et al., A width parameter useful for chordal and co-comparability graphs, Theoretical Computer Science, 704:1-17, 2017], it is asked whether INDEPENDENT SET and 3-COLOURING are NP-complete on graphs of sim-width at most 1. We observe that, for each \(k \in \mathbb{N}\), LIST \(k\)-COLOURING is polynomial-time solvable for graph classes whose sim-width is bounded and quickly computable. Moreover, we show that if the same holds for INDEPENDENT SET, then INDEPENDENT \(\mathcal{H}\)-PACKING is polynomial-time solvable for graph classes whose sim-width is bounded and quickly computable. This problem is a common generalisation of INDEPENDENT SET, INDUCED MATCHING, DISSOCIATION SET and \(k\)-SEPARATOR.

We also make progress toward classifying the mim-width of \((H_1, H_2)\)-free graphs in the case \(H_1\) is complete or edgeless. Our results solve some open problems in [Brettell et al., Bounding the mim-width of hereditary graph classes, Journal of Graph Theory, 99(1):117-151, 2022].

Keywords — Width parameter, mim-width, sim-width, hereditary graph class, XP algorithm

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1 Introduction

Over the last decades, graph width parameters have proven to be an extremely successful tool in algorithmic graph theory. Arguably the most important reason explaining the jump from computational hardness of a graph problem to tractability, after restricting the input to some graph class \( \mathcal{G} \), is that \( G \) has bounded “width”, for some width parameter \( p \). That is, there exists a constant \( c \) such that, for each graph \( G \in \mathcal{G} \), \( p(G) \leq c \). A large number of width parameters have been introduced, and these parameters typically differ in strength. We say that a width parameter \( p \) dominates a width parameter \( q \) if there is a function \( f \) such that \( p(G) \leq f(q(G)) \) for all graphs \( G \). If \( p \) dominates \( q \) but \( q \) does not dominate \( p \), then \( p \) is said to be more powerful than \( q \). If both \( p \) and \( q \) dominate each other, then \( p \) and \( q \) are equivalent. For instance, the equivalent parameters boolean-width, clique-width, module-width, NLC-width and rank-width \([8, 37, 26, 38]\) are more powerful than the equivalent parameters branch-width, treewidth and mm-width \([16, 31, 39, 41]\) but less powerful than mim-width \([41] \), which is less powerful than sim-width \([32]\). We also mention that the recently introduced tree-independence number \([19]\) is more powerful than treewidth, less powerful than sim-width and incomparable with both clique-width and mim-width (see Section 1.1).

The tree-independence number of a graph \( G \), denoted \( \alpha(G) \), is defined as the minimum independence number over all tree decompositions of \( G \), where the independence number of a tree decomposition of \( G \) is the maximum independence number over all subgraphs of \( G \) induced by some bag of the tree decomposition.

In this paper, we focus on mim-width and sim-width, both defined using the framework of branch decompositions. A branch decomposition of a graph \( G \) is a pair \((T, \delta)\), where \( T \) is a subcubic tree and \( \delta \) is a bijection from \( V(G) \) to the leaves of \( T \). Every edge \( e \in E(T) \) partitions the leaves of \( T \) into two classes, \( L_e \) and \( T_e \), depending on which component of \( T - e \) they belong to. Hence, \( e \) induces a partition \((A_e, \overline{A_e})\) of \( V(G) \), where \( \delta(A_e) = L_e \) and \( \delta(\overline{A_e}) = T_e \). We let \( G[A_e, \overline{A_e}] \) denote the bipartite subgraph of \( G \) induced by the edges with one endpoint in \( A_e \) and the other in \( \overline{A_e} \). A matching \( F \subseteq E(G) \) of \( G \) is induced if there is no edge in \( G \) between vertices of different edges of \( F \). We let \( \text{cutmim}_G(A_e, \overline{A_e}) \) denote the maximum size of an induced matching in \( G[A_e, \overline{A_e}] \) and \( \text{cutsim}_G(A_e, \overline{A_e}) \) denote the maximum size of an induced matching between \( A_e \) and \( \overline{A_e} \) in \( G \) (equivalently, \( \text{cutsim}_G(A_e, \overline{A_e}) \) is the maximum size of an induced matching in \( G[A_e, \overline{A_e}] \) such that in addition there are no edges in \( G \) between any two endpoints of matching edges that both belong to either \( A_e \) or \( \overline{A_e} \)). The mim-width of \((T, \delta)\), denoted \( \text{mimw}_G(T, \delta) \), is the maximum value of \( \text{cutmim}_G(A_e, \overline{A_e}) \) over all edges \( e \in E(T) \) and the mim-width of \( G \), denoted \( \text{mimw}(G) \), is the minimum value of \( \text{mimw}_G(T, \delta) \) over all branch decompositions \((T, \delta)\) of \( G \). Similarly, the sim-width of \((T, \delta)\), denoted \( \text{simw}_G(T, \delta) \), is the maximum value of \( \text{cutsim}_G(A_e, \overline{A_e}) \) over all edges \( e \in E(T) \) and the sim-width of \( G \), denoted \( \text{simw}(G) \), is the minimum value of \( \text{simw}_G(T, \delta) \) over all branch decompositions \((T, \delta)\) of \( G \). Clearly, \( \text{simw}(G) \leq \text{mimw}(G) \), for any graph \( G \).

We now briefly review the algorithmic implications of boundedness of mim-width, sim-width and tree-independence number. We begin with a recent and remarkable meta-theorem provided by Bergougnoux et al. \([3]\). They showed that all problems expressible in \( \text{A\&C} \) DN logic, an extension of existential MSO\(_1\) logic, can be solved in XP time parameterized by the mim-width of a given branch decomposition of the input graph. This result, which can be viewed as the mim-width analogue of the famous meta-theorems for treewidth \([15]\) and clique-width \([14]\), generalises essentially all the previously known XP algorithms parameterized by mim-width, as \( \text{A\&C} \) DN logic captures both local and non-local problems. Just to name few problems falling into this framework, we have all Locally Checkable Vertex Subset and Vertex Partitioning problems \([1, 9]\), their distance versions \([28]\) and their connectivity and acyclicity versions \([2]\), Longest Induced Path and Induced Disjoint Paths \([29]\), Feedback Vertex Set \([30]\), Semitotal Dominating Set \([20]\). Boundedness of
tree-independence number has interesting algorithmic implications as well. Dallard et al. [19]
showed that, for any fixed finite set \( \mathcal{H} \) of connected graphs, \textsc{Maximum Weight Independent \( \mathcal{H} \)-Packing}, a common generalisation of \textsc{Maximum Weight Independent Set} and \textsc{Maximum Weight Induced Matching} first defined in [10], can be solved in \( \text{XP} \) time parameterized by the independence number of a given tree decomposition of the input graph. They also showed that \( k \)-\textsc{Clique} and \textsc{List} \( k \)-\textsc{Colouring} admit linear-time algorithms for every graph class with bounded tree-independence number. This result holds more generally for every \( (\text{tw}, \omega) \)-bounded graph class admitting a computable binding function, as shown by Chaplick and Zeman [11], where a graph class \( \mathcal{G} \) is \( (\text{tw}, \omega) \)-\textit{bounded} if there exists a function \( f \) (called a binding function) such that the treewidth of any graph \( G \in \mathcal{G} \) is at most \( f(\omega(G)) \) and the same holds for all induced subgraphs of \( G \). In [19], it was observed that in every graph class with bounded tree-independence number, the treewidth is bounded by an explicit polynomial function of the clique number, and hence bounded tree-independence number implies \( (\text{tw}, \omega) \)-boundedness.

The trade-off of working with a more powerful width parameter is that, typically, fewer problems admit a polynomial-time algorithm when the parameter is bounded. Consider, for example, mim-width and the more powerful sim-width. \textsc{Dominating Set} is in \( \text{XP} \) parameterized by mim-width [9]. However, \textsc{Dominating Set} is \textsc{NP}-complete on chordal graphs, a class of graphs of sim-width at most 1 [32]. On the other hand, it is known that one can solve \textsc{Independent Set} and \textsc{3-Colouring} in polynomial time on both chordal graphs and co-comparability graphs, two classes of sim-width at most 1, as shown by Kang et al. [32]. This led them to ask whether any of \textsc{Independent Set} and \textsc{3-Colouring} is \textsc{NP}-complete on graphs of sim-width at most 1 [32, Question 2]. For convenience, we reformulate this question as follows:

**Open Problem 1.** Is any of \textsc{Independent Set} and \textsc{3-Colouring} in \( \text{XP} \) parameterized by the sim-width of a given branch decomposition of the input graph?

To the best of our knowledge, no problem \textsc{NP}-complete on general graphs is known to be in \( \text{XP} \) parameterized by the sim-width of a given branch decomposition of the input graph.

In view of the discussion above, if we are interested in the computational complexity of a certain graph problem restricted to a special graph class, it is useful to know whether the mim-width of the class is bounded or not and, in the case of a positive answer to Open Problem 1, the same is true for sim-width. A systematic study on the boundedness of mim-width for hereditary graph classes, comparable to similar studies on the boundedness of clique-width (see, e.g., [18]) and treewidth [35], was recently initiated in [6] (see also [5]). Recall that a graph class is \textit{hereditary} if it is closed under vertex deletion. It is well known that hereditary graph classes are exactly those classes characterised by a (unique) set \( \mathcal{F} \) of minimal forbidden induced subgraphs. If \( |\mathcal{F}| = 1 \) or \( |\mathcal{F}| = 2 \), we say that the hereditary graph class is \textit{monogenic} or \textit{bigenic}, respectively. In [6], boundedness or unboundedness of mim-width has been determined for all monogenic classes and a large number of bigenic classes.

In general, computing the mim-width is \textsc{NP}-hard, deciding if the mim-width is at most \( k \) is \textsc{W[1]}-hard when parameterized by \( k \), and there is no polynomial-time algorithm for approximating the mim-width of a graph to within a constant factor of the optimal unless \( \text{NP} = \text{ZPP} \) [40]. Moreover, it remains a challenging open problem to obtain, for fixed \( k \), a polynomial-time algorithm for computing a branch decomposition with mim-width \( f(k) \) of a graph with mim-width \( k \); a similar problem for sim-width is open as well (see, e.g., [27]). Therefore, in contrast to algorithms for graph classes of bounded treewidth or rank-width [4, 26], algorithms for classes of bounded mim-width require a branch decomposition of constant mim-width as part of the input. Obtaining such branch decompositions in polynomial time has been shown possible for several special graph classes \( \mathcal{G} \) (see, e.g., [1, 6]). In this case, we say that the mim-width of \( \mathcal{G} \) is \textit{quickly computable}. 

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Mim-width has proven to be particularly effective in tackling colouring problems. For instance, Kwon [34] showed the following (see also [7]):

**Theorem 1** (Kwon [34]). For every $k \geq 1$, List $k$-Colouring is polynomial-time solvable for every graph class whose mim-width is bounded and quickly computable.

Notice however that Colouring (and hence List Colouring) is NP-complete for circular-arc graphs [21], a class of graphs of mim-width at most 2 and for which mim-width is quickly computable [1]. The complexity of $k$-Colouring restricted to $H$-free graphs has not yet been settled and there are infinitely many open cases when $H$ is a linear forest, that is, a disjoint union of paths. An extensive body of work has been devoted to studying whether forbidding certain linear forests makes $k$-Colouring and its generalisation List $k$-Colouring easy. We refer to [23] for a survey and to [12, 24, 33] for updated summaries and briefly highlight below the connections with mim-width.

For $r \geq 1$ and $s \geq 1$, let $K_{r,s}$ denote the complete bipartite graph with partition classes of size $r$ and $s$. The 1-subdivision of a graph $G$ is the graph obtained from $G$ by subdividing each edge exactly once. The 1-subdivision of $K_{1,s}$ is denoted by $K_{1,s}^1$; in particular $K_{1,2}^1 = P_3$. Brettell et al. [7] showed that a number of known polynomial-time results for $k$-Colouring and List $k$-Colouring on hereditary classes [12, 17, 22, 25] can be obtained, and strengthened, by combining Theorem 1 with the following:

**Theorem 2** (Brettell et al. [7]). For every $r \geq 1$, $s \geq 1$ and $t \geq 1$, the mim-width of the class of $(K_r, K_{1,s}^1, P_t)$-free graphs is bounded and quickly computable.

The trivial but useful observation is that each yes-instance of List $k$-Colouring is $K_{k+1}$-free, and so we obtain that, for every $k \geq 1$, $s \geq 1$ and $t \geq 1$, List $k$-Colouring is polynomial-time solvable for $(K_{1,s}^1, P_t)$-free graphs [7]. Hence, in the context of colouring problems on hereditary classes, it makes sense to investigate the mim-width of subclasses of $K_r$-free graphs. A first step is to consider the mim-width of $(K_r, H)$-free graphs, for some graph $H$. For any $H$ such that the mim-width of $(K_r, H)$-free graphs is bounded and quickly computable, List $k$-Colouring is polynomial-time solvable for all $k < r$. More generally, for problems admitting polynomial-time algorithms when mim-width is bounded and quickly computable, we obtain XP algorithms parameterized by $\omega(G)$ when restricted to $H$-free graphs. For example, Chudnovsky et al. [13] showed that for $P_5$-free graphs, there exists an $n^{O(\omega(G))}$-time algorithm for MAX PARTIAL $H$-COLOURING (a common generalisation of MAXIMUM INDEPENDENT SET and ODD CYCLE TRANSVERSAL which is polynomial-time solvable when mim-width is bounded and quickly computable). Theorem 2 allows to generalise this, although with a worse running time (see [7, 13]).

From a merely structural point of view, the study of the mim-width of $(K_r, H)$-free graphs falls into the systematic study of the mim-width of bigenic classes mentioned above. For each $r \geq 4$, Brettell et al. [6] completely classified the mim-width of the class of $(K_r, H)$-free graphs, except for one infinite family, and asked the following:

**Open Problem 2** (Brettell et al. [6]). For each $r \geq 4$, and for each $t \geq 0$ and $u \geq 1$ such that $t + u \geq 2$, determine the (un)boundedness of mim-width of $(K_r, tP_2 + uP_3)$-free graphs.

Consider now the class of $(rP_1, H)$-free graphs. If the mim-width of such a class is bounded and quickly computable, we obtain, for many problems, XP algorithms parameterized by $\alpha(G)$ for the class of $H$-free graphs. For $r \geq 5$, Brettell et al. [6] completely classified the mim-width of the class of $(rP_1, H)$-free graphs, except for one infinite family, and asked the following:

**Open Problem 3** (Brettell et al. [6]). For each $r \geq 4$, and for each $s, t \geq 2$, determine the (un)boundedness of mim-width of $(rP_1, K_{s,t} + P_1)$-free graphs.
1.1 Our Results

In this paper we observe that List $k$-COLOURING is polynomial-time solvable for every graph class whose sim-width is bounded and quickly computable, thus answering in the positive one half of Open Problem 1. We also show that if INDEPENDENT SET is polynomial-time solvable for a given graph class whose sim-width is bounded and quickly computable, then the same is true for its generalisation INDEPENDENT $\mathcal{H}$-PACKING. Finally, we completely resolve Open Problem 3 and make considerable progress toward solving Open Problem 2.

1.1.1 Algorithmic implications of boundedness of sim-width

Let us begin by discussing our results related to Open Problem 1. Let $K_t \sqcap K_t$ be the graph obtained from $2K_t$ by adding a perfect matching and let $K_t \sqcap S_t$ be the graph obtained from $K_t \sqcap K_t$ by removing all the edges in one of the complete graphs. Combining Theorem 1 with [32, Proposition 4.2] stated below, we observe that List $k$-COLOURING is in XP when parameterized by the sim-width of a given branch decomposition of the input graph.

Proposition 3 (see Proof of Proposition 4.2 in [32]). Let $G$ be a graph with no induced subgraph isomorphic to $K_t \sqcap K_t$ and $K_t \sqcap S_t$ and let $(T, \delta)$ be a branch decomposition of $G$ with $\text{simw}_G(T, \delta) = w$. Then $\text{mimw}_G(T, \delta) \leq R(R(w + 1, t), R(t, t))$.

Theorem 4. For every $k \geq 1$, List $k$-COLOURING is polynomial-time solvable for every graph class whose sim-width is bounded and quickly computable.

Proof. Given an instance consisting of a graph $G$ and a $k$-list assignment $L$, together with a branch decomposition $(T, \delta)$ of $G$ with $\text{simw}_G(T, \delta) = w$, we proceed as follows. We check in polynomial time whether $G$ contains a copy of $K_{k+1}$. If it does, then we have a no-instance. Otherwise, $G$ is $K_{k+1}$-free. Then, by Proposition 3, $(T, \delta)$ has mim-width at most $R(R(w + 1, k + 1), R(k + 1, k + 1))$, and we simply apply Theorem 1. This concludes the proof.

It is worth noticing that Theorem 4 does not really give wider applicability when compared to Theorem 1. Indeed, input graphs of List $k$-COLOURING can always be assumed to be $K_{k+1}$-free and every subclass of $K_{k+1}$-free graphs has bounded sim-width if and only if it has bounded mim-width: This follows from Proposition 3 and the fact that $\text{simw}(G) \leq \text{mimw}(G)$ for any graph $G$. Nevertheless, Theorem 4 has interesting consequences. Besides answering in the positive one half of Open Problem 1, it extends the result in [19] that List $k$-COLOURING is polynomial-time solvable for every graph class whose tree-independence number is bounded and quickly computable. This is because of the following unpublished observation of Dallard, Krnc, Kwon, Milanič, Munaro and Storgel, which is part of a work in progress and whose proof we sketch for convenience.
Lemma 5. Let $G$ be a graph. Then $\text{simw}(G) \leq \text{tree-}\alpha(G)$.

Proof sketch. Given a tree decomposition $(T, \delta)$ of $G$, the proof of Proposition 3.1 in [32] shows how to construct a branch decomposition $(G, \delta)$ of $G$ such that, for each $e \in E(T)$, either $N_G(A_e) \cap \overline{A_e}$ or $N_G(\overline{A_e}) \cap A_e$ is contained in a bag in $(B_t)_{t \in V(F)}$. Consider then a tree decomposition $(F, \{B_t\}_{t \in V(F)})$ of $G$ with tree-independence number tree-$(\alpha(G))$ and the corresponding branch decomposition $(T, \delta)$ of $G$ satisfying the property above. Fix $e \in E(T)$ and suppose without loss of generality that $N_G(A_e) \cap \overline{A_e} \subseteq B_t$, for some $t \in V(F)$. This implies that the independence number of $G[N_G(A_e) \cap \overline{A_e}]$ is at most tree-$(\alpha(G))$ and so $\text{cutsim}(A_e, \overline{A_e}) \leq \text{tree-}\alpha(G)$. Since this holds for every $e \in E(T)$, we have that $\text{simw}(G, \delta) \leq \text{tree-}\alpha(G)$ and so $\text{simw}(G) \leq \text{tree-}\alpha(G)$.

Together with the fact that complete bipartite graphs have bounded sim-width (in fact, bounded clique-width) but unbounded tree-independence number [19], Lemma 5 implies that sim-width is more powerful than tree-independence number. Note also that graph classes of bounded sim-width are not necessarily $(\text{tw}, \omega)$-bounded and so Theorem 4 cannot be deduced from the results in [11]. Indeed, it is easy to see that complete bipartite graphs, which have bounded sim-width, are not $(\text{tw}, \omega)$-bounded. However, we do not know whether a $(\text{tw}, \omega)$-bounded graph class has necessarily bounded sim-width.

In Section 3, we show that a positive answer to the other half of Open Problem 1 would have important algorithmic implications for Maximum Weight Independent $\mathcal{H}$-Packing, a problem studied for example in [10, 19]. Before formulating it, we state some definitions and results. Let $\mathcal{H}$ be a set of connected graphs. Given a graph $G$, let $\mathcal{H}_G$ be the set of all subgraphs of $G$ isomorphic to a member of $\mathcal{H}$. The $\mathcal{H}$-graph of $G$, denoted $\mathcal{H}(G)$, is defined in [10] as follows: the vertex set is $\mathcal{H}_G$ and two distinct subgraphs of $G$ isomorphic to a member of $\mathcal{H}$ are adjacent if and only if they either have a vertex in common or there is an edge in $G$ connecting them. Cameron and Hell [10] showed that, for any set $\mathcal{H}$ of connected graphs, the $\mathcal{H}$-graph of any chordal graph is chordal. Dallard et al. [19] generalised this by showing that mapping any graph $G$ to its $\mathcal{H}$-graph does not increase the tree-independence number. We show that this operation does not increase the sim-width either.

Theorem 6. Let $\mathcal{H}$ be a non-empty finite set of connected non-null graphs and let $r$ be the maximum number of vertices of a graph in $\mathcal{H}$. Let $G$ be a graph and let $(T, \delta)$ be a branch decomposition of $G$. If $|V(\mathcal{H}(G))| > 1$, then we can obtain in $O(|V(G)|^{r+1})$ time a branch decomposition $(T', \delta')$ of $\mathcal{H}(G)$ such that $\text{simw}(\mathcal{H}(G))(T', \delta') \leq \text{simw}(G)(T, \delta)$.

Two subgraphs $H_1$ and $H_2$ of a graph $G$ are independent if they are vertex-disjoint and no edge of $G$ joins a vertex of $H_1$ with a vertex of $H_2$. An independent $\mathcal{H}$-packing in $G$ is a set of pairwise independent subgraphs from $\mathcal{H}_G$. Given a graph $G$, a weight function $w: \mathcal{H}_G \rightarrow \mathbb{Q}_+$ on the subgraphs in $\mathcal{H}_G$, and an independent $\mathcal{H}$-packing $P$ in $G$, the weight of $P$ is defined as $\sum_{H \in P} w(H)$. Given a graph $G$ and a weight function $w: \mathcal{H}_G \rightarrow \mathbb{Q}_+$, the Maximum Weight Independent $\mathcal{H}$-Packing problem asks to find an independent $\mathcal{H}$-packing in $G$ of maximum weight. If all subgraphs in $\mathcal{H}_G$ have weight 1, we obtain the special case Independent $\mathcal{H}$-Packing. Maximum Weight Independent $\mathcal{H}$-Packing is a common generalisation of several problems studied in the literature, including Maximum Weight Independent Set, Maximum Weight Induced Matching, Dissociation Set and $k$-Separator (we refer to [19] for a comprehensive literature review).

Cameron and Hell [10] showed that Independent $\mathcal{H}$-Packing is polynomial-time solvable, among others, for the following graph classes: weakly chordal graphs and hence chordal graphs, AT-free graphs and hence co-comparability graphs, circular-arc graphs, circle graphs. Dallard et al.
[19] showed that Maximum Weight Independent $\mathcal{H}$-Packing is polynomial-time solvable for every graph class whose tree-independence number is bounded and quickly computable. With the aid of Theorem 6, we show the following.

**Corollary 7.** Let $H$ be a non-empty finite set of connected non-null graphs such that each graph in $H$ has at most $r$ vertices. Let $\mathcal{G}$ be a graph class whose sim-width is bounded and quickly computable. If Maximum Weight Independent Set is polynomial-time solvable for $\mathcal{G}$, then Maximum Weight Independent $H$-Packing is polynomial-time solvable for $\mathcal{G}$. Similarly, if Independent Set is polynomial-time solvable for $\mathcal{G}$, then Independent $H$-Packing is polynomial-time solvable for $\mathcal{G}$.

### 1.1.2 Mim-width of $(H_1, H_2)$-free graphs

We now address the classification of (un)boundedness of mim-width of $(H_1, H_2)$-free graphs, where $H_1$ is either $rP_1$ or $K_r$.

In Section 4, we completely resolve Open Problem 3 by showing the following.

**Theorem 8.** Let $r \geq 3$ and $s, t \geq 2$ be integers. Then the mim-width of the class of $(rP_1, K_{s,t} + P_1)$-free graphs is bounded if and only if:

- $r = 3$ and one of $s$ and $t$ is at most 3;
- $r = 4$ and one of $s$ and $t$ is at most 2.

In all these cases, the mim-width is also quickly computable.

In Section 5, we finally address the case $H_1 = K_r$, related to Open Problem 2, by showing the following two results.

**Theorem 9.** Let $r \geq 5$ be an integer and let $H = sP_1 + tP_2 + uP_3$, for $s, t, u \geq 0$. Then exactly one of the following holds:

- $H \subseteq_i sP_1 + tP_2$, or $H \subseteq_i sP_1 + P_3$, and the mim-width of the class of $(K_r, H)$-free graphs is bounded and quickly computable;
- $H \supseteq_i P_3 + P_2 + P_1$, and the mim-width of the class of $(K_r, H)$-free graphs is unbounded;
- $H = 2P_3$, or $H = P_3 + P_2$.

**Theorem 10.** Let $r = 4$ and let $H = sP_1 + tP_2 + uP_3$, for $s, t, u \geq 0$. Then exactly one of the following holds:

- $H \subseteq_i sP_1 + tP_2$, or $H \subseteq_i sP_1 + P_3$, and the mim-width of the class of $(K_r, H)$-free graphs is bounded and quickly computable;
- $H \supseteq_i P_3 + 2P_2 + P_1$, or $2P_3 + P_2$, and the mim-width of the class of $(K_r, H)$-free graphs is unbounded;
- $H = P_3 + 2P_2$, or $H = P_3 + P_2 + sP_1$, or $H = 2P_3 + sP_1$.

Our results are related to the class of $uP_3$-free graphs. Recently, Hajebi et al. [24] showed that, for every $u \geq 1$, LIST 5-COLOURING is polynomial-time solvable for $uP_3$-free graphs. Since an instance of LIST 5-COLOURING can always be assumed to be $K_6$-free, in view of Theorem 4 an alternative approach to obtaining the aforementioned result might pass through studying the sim-width of $(K_6, uP_3)$-free graphs. Unfortunately, Theorem 9 readily shows that, with the possible exception of the case $u = 2$, this is not possible: For each $u \geq 3$, the mim-width of $(K_6, uP_3)$-free graphs is unbounded and, by [32, Proposition 4.2], the same must be true for sim-width.
2 Preliminaries

We consider only finite graphs \( G = (V,E) \) with no loops and no multiple edges. A graph is null if it has no vertices. For a vertex \( v \in V \), the neighbourhood \( N(v) \) is the set of vertices adjacent to \( v \) in \( G \). The degree \( d(v) \) of a vertex \( v \in V \) is the size \( |N(v)| \) of its neighbourhood. A graph is subcubic if every vertex has degree at most 3. For disjoint \( S,T \subseteq V \), we say that \( S \) is complete to \( T \) if every vertex of \( S \) is adjacent to every vertex of \( T \), and \( S \) is anticomplete to \( T \) if there are no edges between \( S \) and \( T \). The distance from a vertex \( u \) to a vertex \( v \) in \( G \) is the length of a shortest path between \( u \) and \( v \). A set \( S \subseteq V \) induces the subgraph \( G[S] = (S, \{uv : u,v \in S, uv \in E\}) \).

If \( G' \) is an induced subgraph of \( G \), we write \( G' \subseteq_i G \). The complement of \( G \) is the graph \( \overline{G} \) with vertex set \( V(G) \), such that \( uv \in E(\overline{G}) \) if and only if \( uv \notin E(G) \).

The \( k\)-subdivision of an edge \( uv \) in a graph replaces \( uv \) by \( k \) new vertices \( w_1, \ldots, w_k \) with edges \( uw_i, w_kw_i \) and \( w_iw_{i+1} \) for each \( i \in \{1, \ldots, k-1\} \), i.e. the edge is replaced by a path of length \( k+1 \). The disjoint union \( G + H \) of graphs \( G \) and \( H \) has vertex set \( V(G) \cup V(H) \) and edge set \( E(G) \cup E(H) \). We denote the disjoint union of \( k \) copies of \( G \) by \( kG \). For a graph \( H \), a graph \( G \) is \( H \)-free if \( G \) has no induced subgraph isomorphic to \( H \). For a set of graphs \( \{H_1, \ldots, H_k\} \), a graph \( G \) is \( (H_1, \ldots, H_k) \)-free if \( G \) is \( H_i \)-free for every \( i \in \{1,\ldots,k\} \).

Let \( T \) be a tree and let \( v \) be a leaf of \( T \). Let \( u \) be a vertex of degree at least 3 having shortest distance in \( T \) from \( v \) and let \( P \) be the \( v,u \)-path in \( T \). The operation of trimming the leaf \( v \) consists in deleting from \( T \) the vertex set \( V(P) \setminus \{u\} \).

An independent set of a graph \( G \) is a set of pairwise non-adjacent vertices and the maximum size of an independent set of \( G \) is denoted by \( \alpha(G) \). A clique of a graph \( G \) is a set of pairwise adjacent vertices and the maximum size of a clique of \( G \) is denoted by \( \omega(G) \). A matching of a graph is a set of edges with no shared endpoints.

The path and the complete graph on \( n \) vertices are denoted by \( P_n \) and \( K_n \), respectively. A graph is \( r \)-partite, for \( r \geq 2 \), if its vertex set admits a partition into \( r \) classes such that every edge has its endpoints in different classes. An \( r \)-partite graph in which every two vertices from different partition classes are adjacent is a complete \( r \)-partite graph and a 2-partite graph is also called bipartite. The complete bipartite graph with partition classes of size \( t \) and \( s \) is denoted by \( K_{t,s} \). A graph is co-bipartite if it is the complement of a bipartite graph.

For \( \ell \geq 1 \), an \( \ell \)-caterpillar is a subcubic tree \( T \) on \( 2\ell \) vertices with \( V(T) = \{s_1, \ldots, s_\ell, t_1, \ldots, t_\ell\} \), such that \( E(T) = \{s_is_i : 1 \leq i \leq \ell \} \cup \{s_is_{i+1} : 1 \leq i \leq \ell - 1\} \). The vertices \( t_1, t_2, \ldots, t_\ell \) are the leaves and the path \( s_1s_2 \cdots s_\ell \) is the backbone of the caterpillar.

A colouring of a graph \( G = (V,E) \) is a mapping \( c : V \to \{1,2,\ldots\} \) that gives each vertex \( u \in V \) a colour \( c(u) \) in such a way that, for every two adjacent vertices \( u \) and \( v \), we have that \( c(u) \neq c(v) \). If for every \( u \in V \) we have \( c(u) \in \{1,\ldots,k\} \), then we say that \( c \) is a \( k \)-colouring of \( G \). The COLOURING problem is to decide whether a given graph \( G \) has a \( k \)-colouring for some given integer \( k \geq 1 \). If \( k \) is fixed, that is, not part of the input, we call this the \( k \)-COLOURING problem. It is well known that \( k \)-COLOURING is NP-complete for each \( k \geq 3 \). A generalisation of \( k \)-COLOURING is the following. For an integer \( k \geq 1 \), a \( k \)-list assignment of a graph \( G = (V,E) \) is a function \( L \) that assigns each vertex \( u \in V \) a list \( L(u) \subseteq \{1,2,\ldots,k\} \) of admissible colours for \( u \). A colouring \( c \) of \( G \) respects \( L \) if \( c(u) \in L(u) \) for every \( u \in V \). For a fixed integer \( k \geq 1 \), the \( k \)-COLOURING problem is to decide whether a given graph \( G \) with a \( k \)-list assignment \( L \) admits a colouring that respects \( L \). By setting \( L(u) = \{1,\ldots,k\} \) for every \( u \in V \), we obtain the \( k \)-COLOURING problem.
3 Sim-width and independent packings

In this section we show Theorem 6 and Corollary 7. Let $\mathcal{H}$ be a finite set of connected non-null graphs. Given a graph $G$, let $\mathcal{H}_G$ be the set of all subgraphs of $G$ isomorphic to a member of $\mathcal{H}$. Recall that the $\mathcal{H}$-graph of $G$, denoted $\mathcal{H}(G)$, is defined as follows: the vertex set is $\mathcal{H}_G$ and two distinct subgraphs of $G$ isomorphic to a member of $\mathcal{H}$ are adjacent if and only if they either have a vertex in common or there is an edge in $G$ connecting them. We begin by showing Theorem 6: mapping a graph $G$ to its $\mathcal{H}$-graph does not increase the sim-width.

**Theorem 6.** Let $\mathcal{H}$ be a non-empty finite set of connected non-null graphs and let $r$ be the maximum number of vertices of a graph in $\mathcal{H}$. Let $G$ be a graph and let $(T, \delta)$ be a branch decomposition of $G$. If $|V(\mathcal{H}(G))| > 1$, then we can obtain in $O(|V(G)|^{r+1})$ time a branch decomposition $(T', \delta')$ of $\mathcal{H}(G)$ such that $\text{sim}_G(\mathcal{H}(G))(T', \delta') \leq \text{sim}_G(T, \delta)$.

*Proof.* Observe that if $G$ is edgeless, then $\mathcal{H}(G)$ is edgeless as well and the statement trivially holds. Therefore, we assume that $G$ is not edgeless, and hence $\text{sim}_G(T, \delta) \geq 1$.

Let $\mathcal{H} = \{H_1, \ldots, H_n\}$. Let $h$ be an arbitrary vertex of $\mathcal{H}(G)$. Hence, $h$ corresponds to a subgraph of $G$ isomorphic to $H_i$, for some $i \in \{1, \ldots, n\}$. This means there exists a unique vertex set $S(h) \subseteq V(G)$ such that $|S(h)| = |V(H_i)|$ and $G[S(h)]$ contains a copy of $H_i$ as a subgraph ($S(h)$ is just the vertex set of the subgraph of $G$ corresponding to $h$). We compute all $S(h)$, for $h \in \mathcal{H}(G)$, in $O(|V(G)|^r)$ time as follows. We enumerate all $O(|V(G)|^r)$ subsets of vertices of $G$ of size at most $r$. For each such set $S$ and each $H \in \mathcal{H}$ with $|S|$ vertices, we iterate over all $|S|! \leq r! = O(1)$ possible bijections $g: V(H) \to S$. We then keep the subsets $S$ for which one such bijection maps every pair of adjacent vertices in $H$ to a pair of adjacent vertices in $G[S]$. We now arbitrarily order $V(G)$ and let $f(h)$ be the smallest vertex in $S(h)$ with respect to this ordering. For $v \in V(G)$, let $F(v) = \{h \in V(\mathcal{H}(G)) : f(h) = v\}$. Note that $F(v)$ is a clique in $\mathcal{H}(G)$. We can compute all sets $F(v)$, for $v \in V(G)$, in $O(|V(G)| \cdot |V(\mathcal{H}(G))|) = O(|V(G)|^{r+1})$ time.

We are now ready to construct $(T', \delta')$ from $(T, \delta)$ as follows (see Figure 2). For each leaf $t \in V(T)$, we let $v_t = \delta^{-1}(t)$, and do the following. If $F(v_t) \neq \emptyset$, we distinguish two cases. Suppose first that $|F(v_t)| = 1$. In this case, build a $|F(v_t)|$-caterpillar $C_t$ and add the edge connecting the single vertex $x_t$ in the backbone of $C_t$ with the node $t$. Suppose now that $|F(v_t)| \geq 2$. In this case, build a $|F(v_t)|$-caterpillar $C_t$, subdivide an arbitrary edge of the backbone of $C_t$ by adding a new vertex $x_t$ and add the edge $x_t$. Finally, if $F(v_t) = \emptyset$, trim the leaf $t$ of $T$, as defined in Section 2. Observe that, since $|V(\mathcal{H}(G))| > 1$, either there exists a leaf $t \in V(T)$ such that $|F(v_t)| \geq 2$ or there exist at least two leaves $t_1, t_2 \in V(T)$ such that $|F(v_{t_1})| \geq 1$ and $|F(v_{t_2})| \geq 1$. This implies that each leaf $t$ of $T$ such that $F(v_t) = \emptyset$ can be trimmed. Moreover, by definition, no new leaf is created after an application of trimming. Let $T'$ be the tree obtained by the procedure above. Let $\delta'$ be the map from $V(\mathcal{H}(G))$ to the leaves of $T'$ which restricted to $F(v_t)$ is an arbitrary bijection from $F(v_t)$ to the leaves of $C_t$. It is easy to see that $(T', \delta')$ is a branch decomposition of $\mathcal{H}(G)$ and that it can be computed in $O((|V(G)|^2)$ time.

We now show that $\text{sim}_G(\mathcal{H}(G))(T', \delta') \leq \text{sim}_G(T, \delta)$. Suppose that $\text{sim}_G(\mathcal{H}(G))(T', \delta') = k$. Since the statement is trivially true if $k \leq 1$, we may assume $k \geq 2$. Each $e' \in E(T')$ naturally induces a partition $(A_{e'}, \overline{A}_{e'})$ of $V(\mathcal{H}(G))$. Consider $e \in E(T)$ such that $\text{cut}_G(\mathcal{H}(G))(A_e, \overline{A}_e) = \text{sim}_G(\mathcal{H}(G))(T', \delta') = k$. Then, there is a matching $\{x'_1, \ldots, x'_k, y'_1, \ldots, y'_k\}$ of size $k$ such that $\{x'_1, \ldots, x'_k\} \subseteq A_e$ and $\{y'_1, \ldots, y'_k\} \subseteq \overline{A}_e$. There are independent sets of $\mathcal{H}(G)$. Suppose first that $e$ is an edge of $C_t$ or the edge $x_t$, for some $t \in V(T)$. Then, one of $A_e$ and $\overline{A}_e$ is a subset of $F(v_t)$, where $v_t = \delta^{-1}(t)$. Since each $F(v_t)$ is a clique in $\mathcal{H}(G)$, we have that $k \leq 1$. Hence, we may assume that $e \in E(T') \cap E(T)$. Then, for any $h \in V(\mathcal{H}(G))$, $\delta(h)$ and $\delta(f(h))$ belong to the same component.
of $T' - e$, and so $e$ naturally induces a partition $(A_e, \overline{A_e})$ of $V(H(G))$ and a partition $(B_e, \overline{B_e})$ of $V(G)$ satisfying the following property: For any $h \in V(H(G))$, $h \in A_e$ if and only if $f(h) \in B_e$.

We claim that, for $i \neq j$, $S(x'_i) \cup S(y'_i)$ and $S(x'_j) \cup S(y'_j)$ are disjoint and anticomplete in $G$. Indeed, suppose without loss of generality that $S(x'_1)$ shares a vertex with either $S(x'_j)$ or $S(y'_j)$. Then, $x'_i$ is adjacent to either $x'_j$ or $y'_j$ in $H(G)$, a contradiction. Similarly, if there is an edge between $S(x'_i)$ and either $S(x'_j)$ or $S(y'_j)$ in $G$, then $x'_i$ is adjacent to either $x'_j$ or $y'_j$ in $H(G)$, a contradiction again.

We now claim that $G[S(x'_1) \cup S(y'_1)]$ is connected. Since $G[S(x'_1)]$ contains a copy of a connected graph $H_s \in \mathcal{H}$, with $|S(x'_1)| = |V(H_s)|$, as a subgraph, we have that $G[S(x'_1)]$ is connected. Similarly, $G[S(y'_1)]$ is connected. Moreover, since $x'_i$ is adjacent to $y'_i$, either $S(x'_1)$ shares a vertex with $S(y'_1)$ or there is an edge in $G$ between $S(x'_1)$ and $S(y'_1)$. In either case we obtain that $G[S(x'_1) \cup S(y'_1)]$ is connected.

Therefore, for each $i \in \{1, \ldots, k\}$, there is a path $P_i$ in $G[S(x'_1) \cup S(y'_1)]$ from $f(x'_1)$ to $f(y'_1)$ in $G$, say $P_i = v_0v_1 \cdots v_k$ where $v_0 = f(x'_1)$ and $v_k = f(y'_1)$. Since $x'_i \in A_e$ and $y'_i \in \overline{A_e}$, it follows that $f(x'_1) \in B_e$ and $f(y'_1) \in \overline{B_e}$. Since the path $P_i$ must cross the cut $(B_e, \overline{B_e})$ of $G$, there exists $q \in \{0, \ldots, k - 1\}$ such that $v_q \in B_e$ and $v_{q + 1} \in \overline{B_e}$. We let $x_i = v_q$ and $y_i = v_{q + 1}$. Clearly, $x_i, y_i \in E(G)$. We now claim that, for each $i \neq j$, $\{x_i, y_i\}$ and $\{x_j, y_j\}$ are disjoint and anticomplete in $G$. This simply follows from the fact that, for $p \in \{i, j\}$, $\{x_p, y_p\} \subseteq G[S(x'_p) \cup S(y'_p)]$ and $G[S(x'_1) \cup S(y'_1)]$ and $G[S(x'_1) \cup S(y'_1)]$ are disjoint and anticomplete in $G$.

Let now $X = \{x_1, \ldots, x_k\}$ and $Y = \{y_1, \ldots, y_k\}$. By the previous paragraph, $X \subseteq B_e$ and $Y \subseteq \overline{B_e}$, $X$ and $Y$ are independent sets and $G[X, Y] \cong kP_2$. Therefore, $\text{sim}_G(T, \delta) \geq \text{cutsim}_G(B_e, \overline{B_e}) \geq k = \text{sim}_G(T', \delta')$.

**Figure 2:** How to construct a branch decomposition $(T', \delta')$ of $\mathcal{H}(G)$ from a branch decomposition $(T, \delta)$ of $G$. We distinguish vertices $t_i$ such that $|F(v_{t_i})| = 0$ ($i = 2$), $|F(v_{t_i})| = 1$ ($i = 3$) and $|F(v_{t_i})| \geq 2$ ($i = 1$).

Recall that two subgraphs $H_1$ and $H_2$ of a graph $G$ are independent if they are vertex-disjoint and no edge of $G$ joins a vertex of $H_1$ with a vertex of $H_2$. An independent $\mathcal{H}$-packing in $G$ is a set of pairwise independent subgraphs from $\mathcal{H}_G$. Given a graph $G$, a weight function $w: \mathcal{H}_G \rightarrow \mathbb{Q}_+$ on the subgraphs in $\mathcal{H}_G$, and an independent $\mathcal{H}$-packing $\mathcal{P}$ in $G$, the weight of $\mathcal{P}$ is defined as the sum $\sum_{H \in \mathcal{P}} w(H)$. Given a graph $G$ and a weight function $w: \mathcal{H}_G \rightarrow \mathbb{Q}_+$, **maximum weight independent $\mathcal{H}$-packing** is the problem of finding an independent $\mathcal{H}$-packing in $G$ of maximum weight. Besides Theorem 6, in order to show Corollary 7, we need the following two results.

**Theorem 11** ([19]). Let $\mathcal{H}$ be a non-empty finite set of connected non-null graphs and let $r$ be the maximum number of vertices of a graph in $\mathcal{H}$. Then there exists an algorithm that takes as input a graph $G$ and computes the graph $\mathcal{H}(G)$ in $O(|V(G)|^2r)$ time.
Observation 12 ([19]). Let $\mathcal{H}$ be a finite set of connected non-null graphs. Let $G$ be a graph and let $w: \mathcal{H}_G \to \mathbb{Q}_+$. Let $I$ be an independent set in $\mathcal{H}(G)$ of maximum weight with respect to the weight function $w$. Then $I$ is an independent $\mathcal{H}$-packing in $G$ of maximum weight.

Corollary 7. Let $\mathcal{H}$ be a non-empty finite set of connected non-null graphs such that each graph in $\mathcal{H}$ has at most $r$ vertices. Let $\mathcal{G}$ be a graph class whose sim-width is bounded and quickly computable. If Maximum Weight Independent Set is polynomial-time solvable for $\mathcal{G}$, then Maximum Weight Independent $\mathcal{H}$-Packing is polynomial-time solvable for $\mathcal{G}$. Similarly, if Independent Set is polynomial-time solvable for $\mathcal{G}$, then Independent $\mathcal{H}$-Packing is polynomial-time solvable for $\mathcal{G}$.

Proof. Given the input graph $G \in \mathcal{G}$, we compute in polynomial time a branch decomposition of $G$ of sim-width at most $k$, for some integer $k$. We then compute $\mathcal{H}(G)$ in polynomial time using Theorem 11. If $|V(\mathcal{H}(G))| \leq 1$, we immediately conclude thanks to Observation 12. Otherwise, by Theorem 6, we compute in polynomial time a branch decomposition of $\mathcal{H}(G)$ of sim-width at most $k$. Finally, using the assumed algorithm, we compute in polynomial time a maximum-weight independent set in $\mathcal{H}(G)$ which, by Observation 12, is an independent $\mathcal{H}$-packing in $G$ of maximum weight. \hfill $\square$

4 Mim-width of $(rP_1, K_{t,s} + P_1)$-free graphs

In this section we show the mim-width dichotomy for the class of $(rP_1, K_{t,s} + P_1)$-free graphs stated in Theorem 8. We begin by identifying the cases of bounded mim-width (Section 4.1) and then pass to the cases of unbounded mim-width (Section 4.2). These results are then combined to prove Theorem 8 (Section 4.3).

4.1 Boundedness results

In this section we show that, for each $t \geq 4$, the mim-width of $(3P_1, K_{3,t} + P_1)$-free graphs and the mim-width of $(4P_1, K_{2,t} + P_1)$-free graphs are bounded and quickly computable (Theorems 16 and 19, respectively). The proofs are based on the following common strategy. We find $t$ pairwise non-adjacent vertices $v_1, \ldots, v_t$ in the input graph $G$ ($t = 2$ in Theorem 16 and $t = 3$ in Theorem 19). We then obtain a partition of $V(G)$ where one partition class is $\{v_1, \ldots, v_t\}$ and the remaining ones are the sets of private neighbours of subsets of $\{v_1, \ldots, v_t\}$ with respect to $\{v_1, \ldots, v_t\}$. We finally construct an appropriate branch decomposition of $G$ and use the following simple observation.

Observation 13. Let $V_1, \ldots, V_m$ be a partition of $V(G)$ and let $(T, \delta)$ be a branch decomposition of $G$. Then,

\[
\text{mimw}_G(T, \delta) = \max_{e \in E(T)} \text{cutmim}_G(A_e, \overline{A_e}) \leq \max_{e \in E(T)} \sum_{1 \leq i, j \leq m} \text{cutmim}_G(A_e \cap V_i, \overline{A_e} \cap V_j).
\]

We will need two auxiliary results. The first one below is left as an easy exercise (see Figure 3).

Lemma 14. Let $G$ be a graph and let $(T, \delta)$ be a branch decomposition of $G$ with $\text{mimw}_G(T, \delta) \leq k$, with $k \geq 1$. Let $G'$ be the graph obtained from $G$ by adding a vertex of degree at most 1. Then we can construct in $O(1)$ time a branch decomposition $(T', \delta')$ of $G'$ with $\text{mimw}_{G'}(T', \delta') \leq k$.

The second one is essentially stated in the proof of [41, Corollary 3.7.4]. We provide its short proof for completeness.
Lemma 15 (Vatshelle [41]). Let $G$ be a graph with $|V(G)| > 1$ and maximum degree at most 2. Then $\text{mimw}(G) \leq 2$ and a branch decomposition $(T, \delta)$ of $G$ with $\text{mimw}_G(T, \delta) \leq 2$ can be constructed in $O(n)$ time.

Proof. Suppose that $G$ has $k$ components, $C_1, \ldots, C_k$, where each $C_i$ is a path or a cycle with vertex set $\{v_{i,1}, \ldots, v_{i,|C_i|}\}$. For $1 < j < |C_i|$, each $v_{i,j}$ is adjacent to $v_{i,j-1}$ and $v_{i,j+1}$ and, if $C_i$ is a cycle, $v_{i,1}$ is adjacent to $v_{i,|C_i|}$. For each component $C_i$, we construct a $|C_i|$-caterpillar $T_i$ with leaves $\ell_{i,1}, \ldots, \ell_{i,|C_i|}$ and subdivide an arbitrary edge of the backbone of $T_i$ with a new vertex $t_i$, unless the backbone of $T_i$ has size 1, in which case we let $t_i$ be the unique vertex of the backbone. We then construct a $k$-caterpillar $T_0$ with leaves $\ell_{0,1}, \ldots, \ell_{0,k}$. Let $T$ be the subcubic tree obtained from the disjoint union of $T_0, T_1, \ldots, T_k$ by adding the edges $\ell_{0,1}t_1, \ldots, \ell_{0,k}t_k$ and, if $k = 1$, by additionally deleting $V(T_0)$. Let $\delta$ be the bijection from the vertices of $G$ to the leaves of $T$ given by $\delta(v_{i,j}) = \ell_{i,j}$. Clearly, $(T, \delta)$ is a branch decomposition of $G$ and it can be constructed in $O(n)$ time.

We now show that $\text{mimw}_G(T, \delta) \leq 2$. Let $e \in E(T)$ and consider the partition $(A_e, \overline{A_e})$ of $V(G)$ induced by $e$. Suppose first that $e$ belongs to $E(T_0)$ or $e = \ell_{0,j}t_j$ for some $j$. Then, for each component $C_i$ of $G$, $V(C_i)$ is fully contained in either $A_e$ or $\overline{A_e}$ and so $\text{cutmim}_G(A_e, \overline{A_e}) = 0$. Suppose now that $e$ belongs to the backbone of $T_i$, for some $i > 0$. Then, it is easy to see that there are at most two edges across the cut $(A_e, \overline{A_e})$, from which $\text{cutmim}_G(A_e, \overline{A_e}) \leq 2$. Suppose finally that $e$ is incident to a leaf of $T$. Then $\text{cutmim}_G(A_e, \overline{A_e}) = 1$. These observations imply that $\text{mimw}_G(T, \delta) \leq 2$.\hfill $\Box$

We can finally provide our two boundedness results. In both proofs, we make repeated implicit use of Ramsey’s theorem: there exists a least positive integer $R(r, s)$ for which every graph with at least $R(r, s)$ vertices either contains an independent set of size $r$ or a clique of size $s$. Observe that, for $r, s > 1$, $R(r, s) \geq s$.

Theorem 16. Let $t \geq 4$ and let $G$ be a $(3P_1, \overline{K_{3,t}} + P_4)$-free graph. Then $\text{mimw}(G) < 5R(3, t) + 8t + 46$ and a branch decomposition $(T, \delta)$ of $G$ with $\text{mimw}_G(T, \delta) < 5R(3, t) + 8t + 46$ can be constructed in $O(n^2)$ time.

Proof. We assume that $G$ contains two non-adjacent vertices $v_a$ and $v_b$, or else $G$ is a complete graph and the statement is trivially true. Let $S_z = \{v_a, v_b\}$. Since $G$ is $3P_1$-free, all remaining vertices are adjacent to at least one of $v_a$ and $v_b$ and we partition them into three classes $S_a, S_b$ and $S_{ab}$ as follows: $S_a$ is the set of vertices that are adjacent to $v_a$ but not $v_b$, $S_b$ is the set of vertices that are adjacent to $v_b$ but not $v_a$ and $S_{ab}$ is the set of vertices that are adjacent to both $v_a$ and $v_b$. Note that $S_a$ is a clique, or else two non-adjacent vertices in $S_a$ together with $v_b$ would induce a copy of $3P_1$. Similarly, $S_b$ is a clique.
We now proceed to the construction of a branch decomposition of $G$ by distinguishing two cases. We say that $G$ is good (w.r.t. $\{v_a, v_b\}$) if every vertex in $S_a$ has at most two neighbours in $S_b$ and every vertex in $S_b$ has at most two neighbours in $S_a$. Otherwise, we say that $G$ is bad (w.r.t. $\{v_a, v_b\}$).

Suppose first that $G$ is good. Then, $G[S_a, S_b]$ has maximum degree at most 2 and, if $G[S_a, S_b]$ contains at least two vertices, Lemma 15 allows us to construct a branch decomposition $(T_1, \delta_1)$ of $G[S_a, S_b]$ with mim-width at most 2. Let $u$ be a leaf of $T_1$ and let $e$ be the edge of $T_1$ incident to $u$. We subdivide $e$ by introducing a new vertex $x$ and obtain a new tree $T'_1$. If however $G[S_a, S_b]$ contains exactly one vertex, let $x$ be this vertex. We now let $\ell = |V(G) \setminus (S_a \cup S_b)|$ and consider an $\ell$-caterpillar $T_2$ (notice that $\ell \geq 2$). We subdivide one of the edges of the backbone of $T_2$ by introducing a new vertex $y$ and obtain a new tree $T'_2$. Let $\delta_2$ be any bijection from $V(G) \setminus (S_a \cup S_b)$ to the set of leaves of $T'_2$. We finally add the edge $xy$ in order to obtain a subcubic tree $T$, unless $G[S_a, S_b]$ is the null graph, in which case we let $T = T'_2$. Clearly, the set of leaves $L$ of $T$ is the disjoint union of the set of leaves of $T_1$ and the set of leaves of $T_2$. Considering the map $\delta : V(G) \to L$ which coincides with $\delta_1$ when restricted to $S_a \cup S_b$ and with $\delta_2$ when restricted to $V(G) \setminus (S_a \cup S_b)$, we obtain a branch decomposition $(T, \delta)$ of $G$. If $G$ is bad, we simply let $(T, \delta)$ be any branch decomposition of $G$.

The branch decomposition $(T, \delta)$ of $G$ defined above can be constructed in $O(n^2)$ time. Indeed, we first find two non-adjacent vertices $v_a$ and $v_b$ in $O(n^2)$ time and check whether $G[S_a, S_b]$ has maximum degree at most 2 in linear time. If so, $G$ is good and we then construct $(T, \delta)$ in $O(n)$ time thanks to Lemma 15. Otherwise, $G$ is bad, and we trivially construct $(T, \delta)$ in linear time.

**Claim 17.** Let $S_P$ and $S_Q$ be subsets of vertices of $G$, not necessarily disjoint. If there exists a vertex that is complete to both $S_P$ and $S_Q$, then $\text{cutmim}_G(A_e \cap S_P, \overline{A_e} \cap S_Q) < R(3, t) + 6$, for any $e \in E(T)$.

**Proof of Claim 17.** Let $v \in V(G)$ be complete to $S_P$ and $S_Q$. Suppose, to the contrary, that $\text{cutmim}_G(A_e \cap S_P, \overline{A_e} \cap S_Q) \geq R(3, t) + 6$ for some $e \in E(T)$ and let $\{p_1, q_1, \ldots, p_{R(3, t) + 6}, q_{R(3, t) + 6}\}$ be a clique of size $t$. Without loss of generality, $\{q_1, \ldots, q_t\}$ induces such a clique. Observe now that $\{p_{R(3, t) + 1}, \ldots, p_{R(3, t) + 6}\}$ contains a clique of size 3, as $R(3, 3) = 6$. Without loss of generality, $\{p_{R(3, t) + 1}, p_{R(3, t) + 2}, p_{R(3, t) + 3}\}$ induces such a clique. But then we have that $G[p_{R(3, t) + 1}, p_{R(3, t) + 2}, p_{R(3, t) + 3}, q_1, q_2, \ldots, q_t, v]$ is isomorphic to $K_{3,t} + P_1$, a contradiction.

**Claim 18.** Suppose that $G$ is bad. Then $\text{cutmim}_G(A_e \cap S_a, \overline{A_e} \cap S_b) < 4t$ and $\text{cutmim}_G(A_e \cap S_b, \overline{A_e} \cap S_a) < 4t$, for any $e \in E(T)$.

**Proof of Claim 18.** By symmetry, it is enough to show the first statement. Since $G$ is bad, $G[S_a, S_b]$ contains a vertex $u$ of degree at least 3. Without loss of generality, $u \in S_a$. Suppose, to the contrary, that $\text{cutmim}_G(A_e \cap S_a, \overline{A_e} \cap S_b) \geq 4t$ for some $e \in E(T)$ and let $\{a_1, b_1, \ldots, a_{4t}, b_{4t}\}$ be an induced matching witnessing this, where $\{a_1, \ldots, a_{4t}\} \subseteq A_e \cap S_a$ and $\{b_1, \ldots, b_{4t}\} \subseteq \overline{A_e} \cap S_b$. Let $v_1, v_2, v_3 \in S_b$ be distinct neighbours of $u \in S_a$. Observe now that all except possibly $t - 1$ vertices in $\{a_1, \ldots, a_{4t}\}$ are adjacent to at least one of $v_1, v_2, v_3$, or else there are $t$ vertices in $\{a_1, \ldots, a_{4t}\}$, say without loss of generality $a_1, \ldots, a_t$, not adjacent to any of $v_1, v_2, v_3$ and so, since $S_a$ and $S_b$ are cliques, $G[v_1, v_2, v_3, a_1, \ldots, a_t, u]$ is isomorphic to $K_{3,t} + P_1$, a contradiction. Hence, there is a vertex in $\{v_1, v_2, v_3\}$ with at least $t$ neighbours in $\{a_1, \ldots, a_{4t}\}$, say without loss of generality $v_1$ is adjacent to $a_1, \ldots, a_t$, and so $G[b_{4t+1}, b_{4t+2}, b_{4t+3}, a_1, \ldots, a_t, v_1]$ is isomorphic to $K_{3,t} + P_1$, a contradiction.
We can finally show that $\text{mimw}(G, t, \delta) < 5R(3, t) + 8t + 46$. Let $D = \{a, b, ab, z\}$. Since $S_a, S_b, S_{ab}, S_z$ is a partition of $V(G)$, Observation 13 implies that

$$\text{mimw}(G, t, \delta) \leq \max_{e \in E(T)} \sum_{i,j \in D} \text{cutmim}(A_e \cap S_i, \overline{A_e} \cap S_j).$$

It is then enough to estimate the terms in the sum. Since $S_a$ and $S_b$ are cliques, $\text{cutmim}(A_e \cap S_a, \overline{A_e} \cap S_b) \leq 1$ and $\text{cutmim}(A_e \cap S_b, \overline{A_e} \cap S_a) \leq 1$. Moreover, since $v_a$ is complete to $S_a$ and $S_{ab}$, and $v_b$ is complete to $S_b$ and $S_{ab}$, Claim 17 implies that $\text{cutmim}(A_e \cap S_a, \overline{A_e} \cap S_{ab}), \text{cutmim}(A_e \cap S_{ab}, \overline{A_e} \cap S_a), \text{cutmim}(A_e \cap S_{ab}, \overline{A_e} \cap S_b), \text{cutmim}(A_e \cap S_a, \overline{A_e} \cap S_b) < R(3, t) + 6$. Observe now that, for any $i \in D$, $\text{cutmim}(A_e \cap S_i, \overline{A_e} \cap S_i) \leq 2$, $\text{cutmim}(A_e \cap S_i, \overline{A_e} \cap S_z) \leq 2$.

It remains to bound $\text{cutmim}(A_e \cap S_a, \overline{A_e} \cap S_b)$ and $\text{cutmim}(A_e \cap S_b, \overline{A_e} \cap S_a)$. If $G$ is bad then, by Claim 18, $\text{cutmim}(A_e \cap S_a, \overline{A_e} \cap S_b) < 4t$ and $\text{cutmim}(A_e \cap S_b, \overline{A_e} \cap S_a) < 4t$. If $G$ is good, we proceed as follows. Suppose first that either $e = xy$ or $e \in E(T'_1)$. Then all vertices of $S_a$ and $S_b$ belong to the same partition class of $V(G)$ induced by $e$ and so $\text{cutmim}(A_e \cap S_a, \overline{A_e} \cap S_b) = \text{cutmim}(A_e \cap S_b, \overline{A_e} \cap S_a) = 0$. Suppose finally that $e \in E(T'_1)$. Then $e$ induces a partition $(A_k', \overline{A_k}')$ of $S_a$ and $S_b$ with respect to $(T_1, \delta_1)$, and $(A_k', \overline{A_k}')$ coincides with $(A_e, \overline{A_e})$ restricted to $S_a \cup S_b$. Consequently, $\text{cutmim}(A_e \cap S_a, \overline{A_e} \cap S_b) = \text{cutmim}(A_e \cap S_b, \overline{A_e} \cap S_a) \leq 2$ as $\text{cutmim}(T_1, \delta_1) \leq 2$. The same holds for $\text{cutmim}(A_e \cap S_b, \overline{A_e} \cap S_a)$.

By the previous paragraphs, $\text{mimw}(G, t, \delta) < 2 \cdot 1 + 5 \cdot (R(3, t) + 6) + 7 \cdot 2 + 2 \cdot 4t = 5R(3, t) + 8t + 46$.

**Theorem 19.** Let $t \geq 4$ and let $G$ be a $(4P_1, \overline{K_{2,t}} + P_1)$-free graph. Then $\text{mimw}(G) < 43R(4, t) + 24t + 208$ and a branch decomposition $(T, \delta)$ of $G$ with $\text{mimw}(G, T, \delta) < 43R(4, t) + 24t + 214$ can be computed in $O(n^3)$ time.

**Proof.** We assume that $G$ contains three pairwise non-adjacent vertices $v_a$, $v_b$ and $v_c$, or else $G$ is $3P_1$-free and the statement follows from Theorem 16. Since $G$ is $4P_1$-free, all remaining vertices are adjacent to at least one of $v_a$, $v_b$ and $v_c$. For a subset $\alpha \subseteq \{a, b, c\}$, let $S_\alpha = \cap_i N(v_i) \setminus \cup_{j \in \{a,b,c\} \setminus \alpha} N(v_j)$. In words, $S_\alpha$ is the set of private neighbours of $\{v_i : i \in \alpha\}$ with respect to $\{v_a, v_b, v_c\}$. Note that $S_a$, $S_b$ and $S_c$ are cliques, or else, for distinct $i, j, k \in \{a, b, c\}$, two non-adjacent vertices in $S_i$ together with $v_j$ and $v_k$ would induce a copy of $4P_1$. This fact will be repeatedly used in the claims below. For $\alpha, \beta \subseteq \{a, b, c\}$ and an integer $s \geq 1$, we say that the vertex set $S_\alpha$ is $3s$-almost-complete to the vertex set $S_\beta$ if there are at most two vertices in $S_\alpha$ non-adjacent to at least $3s$ vertices in $S_\beta$.

**Claim 20.** Let $p, q \in \{a, b, c\}$ with $p \neq q$. If a vertex in $S_p$ is adjacent to at least two vertices in $S_q$, then $S_q$ is $3t$-almost-complete to $S_p$.

**Proof of Claim 20.** Note that $v_p$ is complete to $S_p$ but anticomplete to $S_q$ and $v_q$ is complete to $S_q$ but anticomplete to $S_p$. Suppose that $x \in S_p$ is adjacent to two distinct vertices $y_1$ and $y_2$ of $S_q$. Then $\{y_1, y_2\} \cap \{v_q\} = \emptyset$.

We claim that there are at most $t - 1$ vertices in $S_p$ anticomplete to $\{y_1, y_2\}$. Indeed, if there are $t$ vertices in $S_p$ anticomplete to $\{y_1, y_2\}$, then these $t$ vertices together with $\{x, y_1, y_2\}$ induce a copy of $K_{2,t} + P_1$, as $S_p$ and $S_q$ are cliques, a contradiction.

Let now $y \in S_q$ be a vertex distinct from $y_1$ and $y_2$. We claim that $y$ is anticomplete to at most $t - 1$ vertices in $S_p \cap N(y_i)$, for each $i \in \{1, 2\}$. Indeed, if there are $t$ vertices in $S_p \cap N(y_i)$ anticomplete to $y$, then these $t$ vertices together with $\{y, v_q, y\}$ induce a copy of $K_{2,t} + P_1$, a contradiction.
Let $A_1 = S_p \cap N(y_1)$, $A_2 = S_p \cap N(y_2)$ and let $y \in S_q$ be a vertex distinct from $y_1$ and $y_2$. Clearly, $S_p = A_1 \cup A_2 \cup (S_p \setminus (A_1 \cup A_2))$. By the second paragraph, $|S_p \setminus (A_1 \cup A_2)| \leq t - 1$ and so $y$ is anticomplete to at most $t - 1$ vertices in $S_p \setminus (A_1 \cup A_2)$. By the third paragraph, $y$ is anticomplete to at most $3(t - 1) < 3t$ vertices in $S_p$ and so $S_q$ is $3t$-almost-complete to $S_p$.

We now proceed to the construction of a branch decomposition of $G$. Consider first the graph $G_1$ with vertex set $V(G_1) = S_a \cup S_b \cup S_c$ and edge set $E(G_1) = \{uv : uv \in E(G), u \in S_a, v \in S_\beta, \alpha, \beta \in \{a, b, c\}, \alpha \neq \beta, S_\alpha \text{ is not } 3t\text{-almost-complete to } S_\beta, S_\beta \text{ is not } 3t\text{-almost complete to } S_\alpha\}$. We claim that each vertex $v$ of $G_1$ has degree at most 2. By symmetry, suppose that $v \in S_a$. By definition of $G_1$, $v$ has no neighbours in $S_a$. If $S_b$ is $3t$-almost-complete to $S_a$, then $v$ has no neighbours in $S_b$. Otherwise, $S_b$ is not $3t$-almost-complete to $S_a$ and, by Claim 20, $v$ has at most one neighbour in $S_b$. Similarly, $v$ has at most one neighbour in $S_c$. Therefore, $G_1$ has maximum degree at most 2 and so, by Lemma 15, if $G_1$ contains at least two vertices, then we can construct in $O(n)$ time a branch decomposition $(T_1, \delta_1)$ of $G_1$ with $\text{minm}(T_1, \delta_1) \leq 2$.

For $x \in \{a, b, c\}$ and $Y = \{a, b, c\} \setminus \{x\}$, a vertex $v \in S_Y$ is $S_x$-good if it has at most one neighbour in $S_x$ and $S_x$-bad otherwise. Let $S^*_{Y}$ be the set of vertices in $S_Y$ that are $S_x$-bad. We now build a graph $G_2$ as follows. Start with $G_2 = G_1$. For each $x \in \{a, b, c\}$, let $Y = \{a, b, c\} \setminus \{x\}$. For each vertex $v \in S_Y$, if $v$ is $S_x$-good, then add $v$ to $V(G_2)$ and, if $v$ has a neighbour $u$ in $S_x$, add $uv$ to $E(G_2)$. In other words, we grow $G_1$ by adding leaf vertices or isolated vertices.

Now, if $G_2$ is the null graph, let $T^*_2$ be the null tree, and if $G_2$ consists of one vertex, let $T^*_2$ be the tree with a single vertex $r$. Otherwise, $G_2$ contains at least two vertices and, given $(T_1, \delta_1)$, we can construct a branch decomposition $(T_2, \delta_2)$ of $G_2$ with $\text{minm}(T_2, \delta_2) \leq 2$ in $O(n)$ time thanks to Lemma 14, unless $G_1$ contains at most one vertex, in which case $G_2$ has maximum degree at most 1 and we let $(T_2, \delta_2)$ be any branch decomposition of $G_2$. We then subdivide one of the edges of $T_2$ by introducing a new vertex $r$ to obtain a new tree $T'_2$. Clearly, $\text{minm}(T'_2, \delta_2) = \text{minm}(T_2, \delta_2) \leq 2$.

Let now $\ell = |V(G) \setminus V(G_2)|$ and consider an $\ell$-caterpillar $T_3$ (notice that $\ell \geq 3$). Let $\delta_3$ be any bijection from $V(G) \setminus V(G_2)$ to the set of leaves of $T_3$. We subdivide one of the edges of the backbone of $T_3$ by introducing a new vertex $s$ and obtain a new tree $T_3^*$. We finally add the edge $rs$ in order to obtain a tree $T$. Observe that the set of leaves $L$ of $T$ is the disjoint union of the set of leaves $L_2$ of $T_2^*$ and the set of leaves $L_3$ of $T_3^*$. Considering the map $\delta$ which coincides with $\delta_i$ when restricted to $L_i$ (for $i = 2, 3$), we obtain a branch decomposition $(T, \delta)$ of $G$.

We now analyse the running time to construct $(T, \delta)$. Finding three pairwise non-adjacent vertices $v_a$, $v_b$ and $v_c$ and computing $S_\alpha$ for each $\alpha \subseteq \{a, b, c\}$ can be done in $O(n^3)$ time. Checking for $3t$-almost-complete of $G_1$ and constructing $G_1$ can be done in $O(n)$ time. Finding the $S_x$-good vertices and constructing $G_2$ can be done in $O(n)$ time. Therefore, constructing $(T, \delta)$ can be done in $O(n^3)$ time.

**Claim 21.** Let $\alpha, \beta \subseteq \{a, b, c\}$. If $S_\alpha$ is $3t$-almost-complete to $S_\beta$, then $\text{cutmim}_G(A_\alpha \cap S_\alpha, \overline{A_\alpha} \cap S_\beta) < 3t + 1$ and $\text{cutmim}_G(A_\alpha \cap S_\beta, \overline{A_\alpha} \cap S_\alpha) < 3t + 1$, for any $e \in E(T)$.

**Proof of Claim 21.** Suppose that there exist $V_\alpha \subseteq A_\alpha \cap S_\alpha$ and $V_\beta \subseteq \overline{A_\alpha} \cap S_\beta$ such that $G[V_\alpha, V_\beta] \cong (3t + 1)P_2$. Then, each of the $3t + 1$ vertices in $V_\alpha$ is non-adjacent to at least $3t$ vertices in $V_\beta$, contradicting the fact that $S_\alpha$ is $3t$-almost-complete to $S_\beta$. The proof of the other inequality is similar. 

**Claim 22.** Let $x \in \{a, b, c\}$ and $Y = \{a, b, c\} \setminus \{x\}$. Then $\text{cutmim}_G(A_\alpha \cap S_x, \overline{A_\alpha} \cap S^*_Y) < R(4, t) + t + 1$ and $\text{cutmim}_G(A_\alpha \cap S^*_Y, \overline{A_\alpha} \cap S_x) < R(4, t) + t + 1$, for any $e \in E(T)$.
Proof of Claim 22. We show the first inequality, the proof of the other being similar. Suppose, to the contrary, that there exists \( e \in E(T) \) such that \( \text{cutmim}_G(A_e \cap S_x, \overline{A_e} \cap S_y^c) \geq R(4, t) + t + 1 \). Let \( \{p_1q_1, \ldots, p_R(t)+t+1q_R(t)+t+1\} \) be an induced matching witnessing this, where \( P = \{p_1, \ldots, p_R(t)+t+1\} \subseteq S_x \) and \( Q = \{q_1, \ldots, q_R(t)+t+1\} \subseteq S_y^c \). Since \( q_1 \) is \( S_x \)-bad, let \( u_1 \in S_x \) be one of its neighbours distinct from \( p_1 \). Suppose that \( q_1 \) has at least \( R(4, t) \) neighbours in \( Q \). Then, at least \( t \) of these neighbours induce a clique. Without loss of generality, suppose that \( \{q_2, \ldots, q_{t+1}\} \) are neighbours of \( q_1 \) inducing a clique. If \( \{q_2, \ldots, q_{t+1}\} \) is anticomplete to \( u_1 \), then these \( t \) vertices together with \( \{q_1, p_1, u_1\} \) induce a copy of \( K_{2,t} + P_1 \), a contradiction. Hence, \( u_1 \) has at least one neighbour in \( \{q_2, \ldots, q_{t+1}\} \), say without loss of generality \( q_2 \). But then, \( G[q_1, q_2, p_3, \ldots, p_{t+2}, u_1] \cong K_{2,t} + P_1 \), a contradiction.

Hence, \( q_1 \) has less than \( R(4, t) \) neighbours in \( Q \). Without loss of generality, suppose that \( q_R(t)+t+1, \ldots, q_R(t)+t+1 \) are non-neighbours of \( q_1 \). Then, these \( t+1 \) vertices form a clique, or else two non-adjacent vertices \( v \) and \( v' \) among them would give \( G[v, v', q_1, v_x] \cong 4P_1 \), a contradiction. Next, since \( q_R(t)+t+1 \) is \( S_x \)-bad, it has another neighbour \( u_2 \in S_x \) distinct from \( p_R(t)+t+1 \). Suppose that \( \{q_R(t)+t+2, \ldots, q_R(t)+t+1\} \) is anticomplete to \( u_2 \). Then, we have that \( G[p_R(t)+t+1, q_R(t)+t+2, \ldots, q_R(t)+t+1, q_R(t)+t+1] \cong K_{2,t} + P_1 \), a contradiction. Therefore, \( u_2 \) has at least one neighbour in \( \{q_R(t)+t+2, \ldots, q_R(t)+t+1\} \), say without loss of generality \( q_R(t)+t+2 \). Then, \( G[q_R(t)+t+1, q_R(t)+t+2, p_1, \ldots, p_t, u_2] \cong K_{2,t} + P_1 \), a contradiction.

\[ \bullet \]

Claim 23. Let \( \alpha, \beta \subseteq \{a, b, c\} \) with \( \alpha \cap \beta \neq \emptyset \). Then \( \text{cutmim}_G(A_e \cap S_\alpha, \overline{A_e} \cap S_\beta) < R(4, t) + 4 \), for any \( e \in E(T) \).

Proof of Claim 23. Let \( i \in \alpha \cap \beta \). Then \( v_i \) is complete to \( S_\alpha \) and \( S_\beta \). Suppose, to the contrary, that there exists \( e \in E(T) \) such that \( \text{cutmim}_G(A_e \cap S_\alpha, \overline{A_e} \cap S_\beta) \geq R(4, t) + 4 \). Let \( \{p_1q_1, \ldots, p_{R(4, t)+4}q_{R(4, t)+4}\} \) be an induced matching witnessing this, where \( P = \{p_1, \ldots, p_{R(4, t)+4}\} \subseteq S_\alpha \) and \( Q = \{q_1, \ldots, q_{R(4, t)+4}\} \subseteq S_\beta \). Since \( G \) is \( 4P_1 \)-free, \( Q \) contains a clique of size at least \( t \). Without loss of generality, suppose that \( \{q_1, \ldots, q_t\} \) induces a clique. Observe now that \( \{p_{R(4, t)+1}, \ldots, p_{R(4, t)+4}\} \) contains a pair of adjacent vertices, as \( G \) is \( 4P_1 \)-free. Without loss of generality, suppose that \( p_{R(4, t)+1} \) is adjacent to \( p_{R(4, t)+2} \). But then, \( G[p_{R(4, t)+1}, p_{R(4, t)+2}, v_1, v_2, \ldots, v_{t+2}] \cong K_{2,t} + P_1 \), a contradiction.

\[ \bullet \]

We can finally show that \( \text{minw}_G(T, \delta) < 43R(4, t) + 24t + 214 \). Let \( S_z = \{v_a, v_b, v_c\} \) and let \( D = \{\{a\}, \{b\}, \{c\}, \{a, b\}, \{b, c\}, \{a, c\}, \{a, b, c\}, \{z\}\} \). Since \( \{S_\alpha : \alpha \subseteq D\} \) is a partition of \( V(G) \), Observation 13 implies that

\[ \text{minw}_G(T, \delta) \leq \max_{e \in E(T)} \sum_{\alpha, \beta \subseteq D} \text{cutmim}_G(A_e \cap S_\alpha, \overline{A_e} \cap S_\beta). \]  

(1)

For any \( \alpha \subseteq D \), we have that \( \text{cutmim}_G(A_e \cap S_z, \overline{A_e} \cap S_\alpha) \leq 3 \) and \( \text{cutmim}_G(A_e \cap S_\alpha, \overline{A_e} \cap S_z) \leq 3 \), for any \( e \in E(T) \). For \( \alpha, \beta \neq \{z\} \), there are 49 distinct pairs \((\alpha, \beta)\). 12 of such pairs are such that \( \alpha \cap \beta = \emptyset \): \((\{a\}, \{b\}), (\{b\}, \{c\}), (\{a\}, \{c\}), (\{a\}, \{b\}, \{c\}), (\{b\}, \{a\}, \{c\}), (\{c\}, \{a\}, \{b\})\) and those obtained by swapping \( \alpha \) and \( \beta \). The remaining 37 pairs are such that \( \alpha \cap \beta \neq \emptyset \). In this case, by Claim 23, \( \text{cutmim}_G(A_e \cap S_\alpha, \overline{A_e} \cap S_\beta) \leq R(4, t) + 4 \), for any \( e \in E(T) \).

We now estimate the terms in the sum above corresponding to pairs \((\alpha, \beta)\) such that \( \alpha \cap \beta = \emptyset \). Suppose first that \((\alpha, \beta)\) is one of \((\{a\}, \{b\}), (\{b\}, \{c\}), (\{a\}, \{c\}), (\{a\}, \{b\}), (\{c\}, \{b\}), (\{c\}, \{a\})\). If \( S_\alpha \) is \( 3t \)-almost-complete to \( S_\beta \) or \( S_\beta \) is \( 3t \)-almost-complete to \( S_\alpha \), then, by Claim 21, \( \text{cutmim}_G(A_e \cap S_\alpha, \overline{A_e} \cap S_\beta) < 3t + 1 \) and \( \text{cutmim}_G(A_e \cap S_\beta, \overline{A_e} \cap S_\alpha) < 3t + 1 \). Otherwise, \( S_\alpha \) is not \( 3t \)-almost-complete to \( S_\beta \) and \( S_\beta \) is not \( 3t \)-almost-complete to \( S_\alpha \). By definition of \( G_1 \) and \( G_2 \), this implies that \( G[S_\alpha, S_\beta] = G_1[S_\alpha, S_\beta] = G_2[S_\alpha, S_\beta] \). If either \( e = rs \) or \( e \) belongs to \( T_3 \), then all vertices of \( S_\alpha \) and
Theorem 26. The class of $S_\beta$ belong to the same partition class of $V(G)$ induced by $\beta$ and so cutmim$_G(A_e \cap S_\alpha, \overline{A_e} \cap S_\beta) = 0$. Otherwise, $e$ must belong to $T'_2$. The edge $e$ then induces a partition $(A'_e, \overline{A_e})$ of the vertices of $G_2$ with respect to $(T'_2, \delta_2)$, and $(A'_e, \overline{A_e})$ coincides with $(A_e, \overline{A_e})$ restricted to $S_\alpha \cup S_\beta$. Hence, cutmim$_G(A_e \cap S_\alpha, \overline{A_e} \cap S_\beta) = \text{cutmim}_{G_2}(A'_e \cap S_\alpha, \overline{A'_e} \cap S_\beta) \leq 2$.

Suppose finally that $(\alpha, \beta)$ is one of $\{(a), \{b, c\}, \{a, b\}, \{a, c\}, \{a\}\}$. Clearly, cutmim$_G(A_e \cap S_\alpha, \overline{A_e} \cap S_\beta) \leq \text{cutmim}_{G_2}(A_e \cap S_\alpha, \overline{A_e} \cap S_\beta) + \text{cutmim}_{G_2}(A'_e \cap S_\beta, \overline{S_\beta})$. Note that $G[S_\alpha, S_\beta \setminus S^*_\beta] = G_2[S_\alpha, S_\beta \setminus S^*_\beta]$. Thus, by the same reasoning as in the previous paragraph, cutmim$_G(A_e \cap S_\alpha, \overline{A_e} \cap (S_\beta \setminus S^*_\beta)) \leq 2$. On the other hand, by Claim 22, cutmim$_G(A_e \cap S_\alpha, \overline{A_e} \cap S^*_\beta) \leq R(4, t) + t + 1$. Therefore, cutmim$_G(A_e \cap S_\alpha, \overline{A_e} \cap S_\beta) \leq R(4, t) + t + 3$.

Combining these bounds with (1), we obtain mim$_w(G(T, \delta)) < 14 \cdot 3 + 37 \cdot (R(4, t) + 4) + 6 \cdot (3t + 1) + 6 \cdot (R(4, t) + t + 3) = 43R(4, t) + 24t + 214$. □

4.2 Unboundedness results

All the unboundedness results of this section are obtained by applying the same strategy. The class of walls plays a crucial role. A wall of height $h$ and width $r$ (an $(h \times r)$-wall for short) is the graph obtained from the grid of height $h$ and width $2r$ as follows. Let $C_1, \ldots, C_{2r}$ be the set of vertices in each of the $2r$ columns of the grid, in their natural left-to-right order. For each column $C_j$, let $e^j_1, e^j_2, \ldots, e^j_h$ be the edges between two vertices of $C_j$, in their natural top-to-bottom order. If $j$ is odd, we delete all edges $e^j_i$ with $i$ even. If $j$ is even, we delete all edges $e^j_i$ with $i$ odd. We then remove all vertices of the resulting graph whose degree is 1. This final graph is an elementary $(h \times r)$-wall (see Figure 4). We denote by $W$ the class of all elementary $2n \times 2n$ walls, for $n \geq 1$.

Theorem 24 (Brettell et al. [6]). Let $W$ be an elementary $n \times n$ wall with $n \geq 7$. Then mim$_w(W) \geq \sqrt{n}$. Hence, $W$ has unbounded mim-width.

The idea is to start from an elementary wall, find an appropriate vertex colouring, and repeatedly apply the following result (the case $k = 2$ was first proved in [36]).

Lemma 25 (Brettell et al. [6]). Let $G$ be a $k$-partite graph with partition classes $V_1, \ldots, V_k$ and let $G'$ be a graph obtained from $G$ by adding edges where, for each added edge, there exists some $i$ such that both endpoints are in $V_i$. Then mim$_w(G') \geq \frac{1}{k} \cdot$ mim$_w(G)$.

Theorem 26. The class of $(3P_1, \overline{K}_{4,4} + P_5)$-free graphs has unbounded mim-width.

Proof. Let $W$ be an elementary $2n \times 2n$ wall and consider its proper 2-colouring depicted in Figure 4(a). We add edges within each colour class to make them cliques. Let $f(W)$ be the graph obtained and let $W_1 = \{f(W) : W \in W\}$. By Theorem 24 and Lemma 25, $W_1$ has unbounded mim-width.

Note that, for the graph $f(W)$, every two vertices of the same colour are adjacent, and every two vertices of different colours are adjacent if and only if they are adjacent in $W$. Clearly, $f(W)$ is $3P_1$-free. It remains to show that $f(W)$ is $\overline{K}_{4,4} + P_5$-free.

Claim 27. Any copy of $K_5$ in $f(W)$ is monochromatic.

Proof of Claim 27. Let $u_1, \ldots, u_5$ be the vertices of a copy of $K_5$. Since $f(W)$ is obtained from $W$ by adding edges within each colour class, if an edge $uv \in E(f(W))$ is not monochromatic, then $uv$ belongs to $E(W)$ as well. Hence, there cannot be one blue vertex and four red vertices in
\begin{itemize}
\item \textbf{(a)} A 2-colouring of the elementary $4 \times 4$ wall.
\item \textbf{(b)} A 3-colouring of the elementary $4 \times 4$ wall.
\item \textbf{(c)} A 4-colouring of the elementary $4 \times 4$ wall.
\end{itemize}

\textbf{Figure 4:} The different colourings of elementary walls used in the proofs of Theorems 26, 28 and 30.

\{u_1, \ldots, u_5\}, since this would imply that in $W$ there is a vertex with four neighbours. Also, there cannot be exactly two blue vertices in \{u_1, \ldots, u_5\}, for otherwise these two blue vertices share three common red neighbours in $W$, contradicting the fact that in $W$ any two vertices have at most one common neighbour. By symmetry, there cannot be exactly one or two red vertices, and so \{u_1, \ldots, u_5\} is monochromatic.

⋄ Suppose, to the contrary, that $f(W)$ contains an induced copy of $K_{4,4} + P_1$ with vertex set \{v_0, \ldots, v_8\} as depicted in Figure 1. By Claim 27, the two copies of $K_5$ induced by \{v_0, v_1, v_2, v_3, v_4\} and \{v_0, v_5, v_6, v_7, v_8\} must both be monochromatic. Hence, $v_1, \ldots, v_8$ must be of the same colour. This implies that $v_1, \ldots, v_8$ must form a clique in $f(W)$, a contradiction.

\begin{theorem}
The class of $(4P_1, K_{3,3} + P_1)$-free graphs has unbounded mim-width.
\end{theorem}

\textit{Proof.} Let $W$ be an elementary $2n \times 2n$ wall and consider its proper 3-colouring depicted in Figure 4(b). We add edges within each colour class to make them cliques. Let $g(W)$ be the graph obtained and let $W_2 = \{g(W) : W \in W\}$. By Theorem 24 and Lemma 25, $W_2$ has unbounded mim-width. Clearly, $g(W)$ is $4P_1$-free. It remains to show that $g(W)$ is $K_{3,3} + P_1$-free.

\begin{claim}
Any copy of $K_4$ in $g(W)$ is monochromatic.
\end{claim}

\textit{Proof of Claim 29.} Let $u_1, \ldots, u_4$ be the vertices of a copy of $K_4$. At least two such vertices have the same colour, say colour $c$. Since $g(W)$ is obtained from $W$ by adding edges within each colour class, if an edge $uv \in E(g(W))$ is not monochromatic, then $uv$ belongs to $E(W)$ as well. Observe first that there cannot be exactly two vertices with colour $c$ in \{u_1, \ldots, u_4\}, for otherwise these two vertices coloured $c$ have two common neighbours coloured different from $c$, contradicting the fact that in $W$ any two vertices have at most one common neighbour. Moreover, there cannot be exactly three vertices coloured $c$ in \{u_1, \ldots, u_4\}, since this would imply that in $W$ there is a vertex
not coloured $c$ adjacent to three vertices coloured $c$. However, in the 3-colouring of $W$ depicted in Figure 4(b), no vertex has three monochromatic neighbours.

Suppose, to the contrary, that $g(W)$ contains an induced copy of $K_{3,3} + P_1$ with vertex set \{v_0, \ldots, v_6\}, where $v_0$ is the universal vertex and \{v_1, v_2, v_3\} and \{v_4, v_5, v_6\} induce disjoint cliques. By Claim 29, the two copies of $K_4$ induced by \{v_0, v_1, v_2, v_3\} and \{v_0, v_4, v_5, v_6\} must both be monochromatic. Hence, $v_1, \ldots, v_6$ must be of the same colour. This implies that $v_1, \ldots, v_6$ must form a clique in $g(W)$, a contradiction.

**Theorem 30.** The class of $(5P_1, K_{2,2} + P_1)$-free graphs has unbounded mim-width.

**Proof.** Let $W$ be an elementary $2n \times 2n$ wall and consider its proper 4-colouring depicted in Figure 4(c). We add edges within each colour class to make them cliques. Let $h(W)$ be the graph obtained and let $W_3 = \{h(W) : W \in W\}$. By Theorem 24 and Lemma 25, $W_3$ has unbounded mim-width. Clearly, $h(W)$ is $5P_1$-free. It remains to show that $h(W)$ is $K_{2,2} + P_1$-free.

**Claim 31.** Any copy of $K_3$ in $h(W)$ is monochromatic.

**Proof of Claim 31.** Let $u_1, u_2, u_3$ be the vertices of a copy of $K_3$. Firstly, there cannot be exactly two vertices in \{u_1, u_2, u_3\} of the same colour, say colour $c$, since this would imply that in $W$ there is a vertex coloured different from $c$ which is adjacent to two vertices coloured $c$, contradicting the 4-colouring of $W$ depicted in Figure 4(c). Moreover, the vertices in \{u_1, u_2, u_3\} cannot be coloured with distinct colours, for otherwise these three vertices would induce a $K_3$ in $W$.

Similarly to Theorems 26 and 28, it is now easy to see that $h(W)$ is $K_{2,2} + P_1$-free.

### 4.3 Dichotomy

Combining the results of Sections 4.1 and 4.2, we can finally show Theorem 8, which we restate for convenience.

**Theorem 8.** Let $r \geq 3$ and $s, t \geq 2$ be integers. Then the mim-width of the class of $(rP_1, K_{s,t} + P_1)$-free graphs is bounded if and only if:

- $r = 3$ and one of $s$ and $t$ is at most 3;
- $r = 4$ and one of $s$ and $t$ is at most 2.

In all these cases, the mim-width is also quickly computable.

**Proof.** If $r \geq 5$, the mim-width is unbounded by Theorem 30. Suppose now that $r = 4$. If both $s$ and $t$ are at least 3, the mim-width is unbounded by Theorem 28, whereas if one of $s$ and $t$ is at most 2, the mim-width is bounded and quickly computable by Theorem 19. Finally, suppose that $r = 3$. If both $s$ and $t$ are at least 4, the mim-width is unbounded by Theorem 26, whereas if one of $s$ and $t$ is at most 3, the mim-width is bounded and quickly computable by Theorem 16.
5 Mim-width of \((K_r, sP_1 + tP_2 + uP_3)\)-free graphs

In this section we address Open Problem 2 and show Theorems 9 and 10. Both results are obtained by identifying new \((K_r, sP_1 + tP_2 + uP_3)\)-free classes of unbounded mim-width.

Open Problem 2 was formulated in [6] starting from [6, Theorem 35]. We remark that there is a typo in the formulation of this statement. For completeness we provide the correct formulation, whose proof is essentially identical to that of [6, Theorem 35].

**Theorem 32** (Brettell et al. [6]). Let \(H\) be a graph and let \(r \geq 4\) be an integer. Let \(S\) be the class of graphs every component of which is either a subdivided claw or a path. Then exactly one of the following holds:

- \(H \subseteq_i sP_1 + P_5\) or \(tP_2\), and the mim-width of the class of \((K_r, H)\)-free graphs is bounded and quickly computable;
- \(H \notin S\), or \(H \supseteq_i K_{1,3}, P_2 + P_4\), or \(P_6\), and the mim-width of the class of \((K_r, H)\)-free graphs is unbounded; or
- \(H = sP_1 + tP_2 + uP_3\), where \(u \geq 1\) and \(t + u \geq 2\).

**Proof.** By [6, Theorem 31-(i)], if \(H \notin S\), then the mim-width of the class of \((K_r, H)\)-free graphs is unbounded. So we may assume that \(H\) is a forest of paths and subdivided claws. By [6, Theorem 31-(iii)], if \(H\) contains a \(K_{1,3}\), then the mim-width is again unbounded. So we may assume that \(H\) is a linear forest. If \(H \subseteq_i sP_1 + P_5\) or \(H \subseteq_i tP_2\), then mim-width is bounded and quickly computable by parts (xii) and (xiv) of [6, Theorem 30]. So we may assume that \(H\) is a linear forest containing \(P_2 + P_3\). By [6, Theorem 31-(viii)], we may also assume \(H\) contains neither \(P_2 + P_4\) nor \(P_6\), otherwise the mim-width is again unbounded. It now follows that \(H \subseteq_i tP_2 + uP_3\) for some \(u, t\) such that \(u \geq 1\) and \(t + u \geq 2\). Therefore, \(H = sP_1 + tP_2 + uP_3\), for \(u \geq 1\) and \(t + u \geq 2\). \(\square\)

5.1 Unboundedness results

Similarly to Section 4.2, the unboundedness results for \((K_r, sP_1 + tP_2 + uP_3)\)-free graphs in this section (Theorem 35 for \(r = 5\) and Theorem 38 for \(r = 4\)) are obtained by applying Lemma 25. However, in the case of Theorem 38, only certain types of edges are added inside each colour class; this is to avoid creating copies of \(K_4\). We will also make use of the following two results.

**Lemma 33** (Vatshelle [41]). Let \(G\) be a graph and \(v \in V(G)\). Then \(\text{mimw}(G) \geq \text{mimw}(G - v)\).

**Lemma 34** (Brettell et al. [6]). Let \(G\) be a graph and let \(G'\) be the graph obtained by 1-subdividing an edge of \(G\). Then \(\text{mimw}(G') \geq \text{mimw}(G)\).

**Theorem 35.** The class of \((K_5, P_3 + P_2 + P_1)\)-free graphs has unbounded mim-width.

**Proof.** Consider first a \(2n \times 2n\)-grid \(G_{2n}\) with vertex set \(\{(i, j) : 1 \leq i, j \leq 2n\}\). Consider the set of vertices \(S = \{(i, j) : i + j \equiv 1\ (\text{mod } 2)\}\) and the set of edges \(T = \{(i, j)(i, j - 1) : (i, j) \in S\}\). We define the graph \(W_n\) as \(W_n = (V(G_n), E(G_n) \setminus T)\). Since \(W_n\) contains the elementary \(n \times n\) wall as an induced subgraph, Theorem 24 and Lemma 33 imply that the class of graphs \(\{W_n : n \geq 1\}\)
has unbounded mim-width. Given $W_n$, we now consider the following partition of its vertices:

$$A = \{(i, j) : i + j \equiv 0 \pmod{2}, i \equiv 1 \pmod{3}\}$$

$$B = \{(i, j) : i + j \equiv 0 \pmod{2}, i \equiv 2 \pmod{3}\}$$

$$C = \{(i, j) : i + j \equiv 0 \pmod{2}, i \equiv 0 \pmod{3}\}$$

$$D = \{(i, j) : i + j \equiv 1 \pmod{2}, i \equiv 1 \pmod{3}\}$$

$$E = \{(i, j) : i + j \equiv 1 \pmod{2}, i \equiv 2 \pmod{3}\}$$

$$F = \{(i, j) : i + j \equiv 1 \pmod{2}, i \equiv 0 \pmod{3}\}.$$

We then colour in red the vertices in $A \cup B \cup C$, and in blue the vertices in $D \cup E \cup F$ (see Figure 5). This gives a proper 2-colouring of $W_n$ and, in particular, each partition class defined above forms an independent set in $W_n$. Observe that each vertex is adjacent to at most one vertex from each partition class of the opposite colour. That is, each vertex in $A \cup B \cup C$ is adjacent to at most one vertex from each of $D$, $E$ and $F$, and each vertex in $D \cup E \cup F$ is adjacent to at most one vertex from each of $A$, $B$ and $C$.

![Figure 5: The graph $W_4$ with the red-blue colouring as in the proof of Theorem 35.](image)

We now build the graph $W'_n$ by adding all edges between different partition classes of the same colour. That is, we make $A$, $B$, $C$ pairwise complete and $D$, $E$, $F$ pairwise complete. No other edges are added. In particular, $W'_n[A \cup B \cup C]$ and $W'_n[D \cup E \cup F]$ are complete tripartite graphs.

Applying Lemma 25 to the bipartition $(A \cup B \cup C, D \cup E \cup F)$ of $V(W_n)$, we obtain that $\operatorname{mimw}(W'_n) \geq \operatorname{mimw}(W_n)/2$. Hence, the class of graphs $\{W'_n : n \geq 1\}$ has unbounded mim-width.

Claim 36. $W'_n$ is $K_5$-free.

Proof of Claim 36. Suppose, to the contrary, that $\{v_1, v_2, v_3, v_4, v_5\}$ induces a copy of $K_5$ in $W'_n$. Since each of $A, B, C, D, E, F$ is an independent set, the $v_i$'s belong to different partition classes. In particular, without loss of generality, $v_1, v_2, v_3$ are red and $v_4, v_5$ blue, or vice versa. Since no edges between red and blue vertices are added when constructing $W'_n$, we have that $\{v_1, v_2, v_3\}$ is complete to $\{v_4, v_5\}$ in $W_n$. But this contradicts the fact that in $W_n$ no two vertices have two common neighbours.

\[\diamond\]
Claim 37. $W_n'$ is $(P_3 + P_2 + P_1)$-free.

Proof of Claim 37. Suppose, to the contrary, that $\{v_1, \ldots, v_6\}$ induces a copy of $P_3 + P_2 + P_1$ in $W_n'$, where $W_n'[v_1, v_2, v_3] \cong P_3$ with $v_2$ adjacent to both $v_1$ and $v_3$, $\{v_4, v_5\}$ is anticomplete to $\{v_1, v_2, v_3\}$ and induces a copy of $P_2$, and $\{v_6\}$ is anticomplete to $\{v_1, \ldots, v_5\}$. Suppose, without loss of generality, that $v_2$ is red.

Case 1: Both $v_1$ and $v_3$ are blue. Since $v_4$ is adjacent to at most one vertex from each blue partition class, we have that $v_1$ and $v_3$ belong to different blue partition classes. By construction, these partition classes are complete, contradicting the fact that $v_1$ is non-adjacent to $v_3$ in $W_n'$.

Case 2: At least one of $v_1$ and $v_3$ is red. Without loss of generality, $v_1$ is red. Since each partition class forms an independent set in $W_n'$, we have that $v_1$ does not belong to the class of $v_2$. But then $\{v_1, v_2\}$ dominates the red vertices and so $v_4, v_5, v_6$ are all blue. By a similar reasoning, $v_4, v_5, v_6$ all belong to the same blue partition class, or else there exists a vertex in $\{v_4, v_5, v_6\}$ dominating the remaining two. But each partition class is an independent set, contradicting the fact that $v_4$ is adjacent to $v_5$.

This concludes the proof of Theorem 35.

Theorem 38. The class of $(K_4, P_3 + 2P_2 + P_1, 2P_3 + P_2)$-free graphs has unbounded mim-width.

Proof. Let $W_n$ be the graph defined in the proof of Theorem 35. Given $W_n$, we subdivide every edge $(i_1, j_1)(i_2, j_2)$ by adding a new vertex $(\frac{i_1 + i_2}{2}, \frac{j_1 + j_2}{2})$. We then multiply the coordinates of all vertices by 2 (so, e.g., $(4, 5, 5)$ becomes $(8, 11)$) and preserve the adjacencies between vertices in order to obtain a new graph $W_n''$. By Lemma 34, $\text{mimw}(W_n'') \geq \text{mimw}(W_n)$. We now define a partition of the vertices of $W_n''$ as follows (see Figure 6):

- $X = \{(i, j) : i + j \equiv 2 \pmod{4}\}$
- $Y = \{(i, j) : i + j \equiv 0 \pmod{4}\}$
- $A = \{(i, j) : i + j \text{ is odd, } i \equiv 1 \pmod{3}\}$
- $B = \{(i, j) : i + j \text{ is odd, } i \equiv 2 \pmod{3}\}$
- $C = \{(i, j) : i + j \text{ is odd, } i \equiv 0 \pmod{3}\}$

Note that $X$ and $Y$ consist of the vertices of $W_n$, and $A, B$ and $C$ consist of the new vertices introduced after edge subdivisions. In particular, each partition class is an independent set. Moreover, $X$ is anticomplete to $Y$, and $A, B, C$ are pairwise anticomplete. Since $W_n'$ has no cycle of length 4, each $x \in X$ and $y \in Y$ have at most one common neighbour in $A \cup B \cup C$.

Observation 39. Let $u_1 = (i_1, j_1)$ and $u_2 = (i_2, j_2)$ be two vertices belonging to the same partition class in $\{A, B, C\}$. The following hold:

- 3 divides $|i_1 - i_2|$;
- If $i_1 = i_2$, then 2 divides $|j_1 - j_2|$.

We now proceed to the construction of the graph $W_n''$, obtained as follows. Start from $W_n'$ and

- Add all edges between $X$ and $Y$;
- For each pair of distinct sets $R$ and $S$ in $\{A, B, C\}$ and $r = (i_r, j_r) \in R$ and $s = (i_s, j_s) \in S$, add the edge $rs$, unless $j_r = j_s$ and $|i_r - i_s| = 2$, that is, unless $r$ and $s$ are the “right neighbour” and the “left neighbour” of a vertex in $X \cup Y$. 

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Figure 6: The graph $W'_n$ in the proof of Theorem 38, together with a proper 5-colouring: blue vertices correspond to $X$, red vertices to $Y$, grey vertices to $A$, yellow vertices to $B$ and green vertices to $C$.

The edges left out in the second step above avoid the creation of copies of $K_4$, as will be shown shortly.

Since $(X \cup Y, A \cup B \cup C)$ is a bipartition of $V(W''_n)$, Lemma 25 implies that $\text{mimw}(W''_n) \geq \text{mimw}(W'_n)/2$. Hence the class of graphs $\{W''_n : n \geq 1\}$ has unbounded mim-width. It is then enough to show that $W''_n$ does not contain any graph in $\{K_4, P_3 + 2P_2 + P_1, 2P_3 + P_2\}$ as an induced subgraph. This will be done in a series of claims.

Claim 40. $W''_n$ is $K_4$-free.

Proof of Claim 40. Suppose, to the contrary, that $\{v_1, v_2, v_3, v_4\}$ induces a copy of $K_4$ in $W''_n$. Since each of $X, Y, A, B$ and $C$ is an independent set, the four vertices belong to four different partition classes.

Suppose first that exactly one of $\{v_1, v_2, v_3, v_4\}$ belongs to $X \cup Y$. Without loss of generality, $v_1 \in X$ and $v_2, v_3, v_4 \in A \cup B \cup C$. Since no edges between $X$ and $A \cup B \cup C$ are added to $E(W'_n)$ in order to build $W''_n$, the vertices $v_2, v_3, v_4$ are adjacent to $v_1$ in $W'_n$. Suppose that $v_1 = (i, j)$. Then, up to relabelling, we must have that $v_2 = (i - 1, j), v_3 = (i + 1, j)$ and $v_4 = (i, j \pm 1)$. In other words, $v_2$ and $v_3$ are the left neighbour and right neighbour of $v_1$, respectively. But then, by construction, $v_2v_3 \notin E(W''_n)$, a contradiction.

Suppose finally that exactly two vertices of $\{v_1, v_2, v_3, v_4\}$ belong to $X \cup Y$. Without loss of generality, $v_1, v_2 \in X \cup Y$, and $v_3, v_4 \in A \cup B \cup C$. Since no edges between $X \cup Y$ and $A \cup B \cup C$ are added to $E(W'_n)$ in order to build $W''_n$, both $v_1$ and $v_2$ are adjacent to $v_3$ and $v_4$ in $W'_n$, contradicting the fact that $W'_n$ does not contain any cycle of length 4. $\Box$

Claim 41. Let $u_1, u_2$ be two distinct vertices from the same partition class in $\{A, B, C\}$. Let $u_3$ be a vertex from a partition class in $\{A, B, C\}$ different from that of $u_1$ and $u_2$. Then $u_3$ is adjacent to at least one of $u_1$ and $u_2$. 

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Proof of Claim 41. Let $u_1 = (i_1, j_1)$, $u_2 = (i_2, j_2)$ and $u_3 = (i_3, j_3)$. Suppose, to the contrary, that $u_3$ is non-adjacent to both $u_1$ and $u_2$. By construction of $W''_n$, this implies that $j_1 = j_3 = j_2$ and $|i_1 - i_3| = 2 = |i_2 - i_3|$. Since $u_1$ and $u_2$ are distinct, $i_1 \neq i_2$, which implies that $|i_1 - i_2| = 4$, contradicting the first part of Observation 39.

We now prove that $W''_n$ is $(P_3 + 2P_2 + P_1)$-free and $(2P_3 + 2P_2)$-free. The following result will be used as the backbone of both proofs.

Claim 42. Suppose that $\{v_1, \ldots, v_7\}$ induces a copy of $P_3 + 2P_2$, where $v_2$ is adjacent to $v_1$ and $v_3$, $v_4$ is adjacent to $v_5$, $v_6$ is adjacent to $v_7$ and no other edges are present in $W''_n[\{v_1, \ldots, v_7\}]$. Then the following hold:

1. At least one of $v_1$ and $v_3$ belongs to $X \cup Y$;
2. $v_2 \in X \cup Y$.

Proof of Claim 42. We first show that at least one of $v_1$ and $v_3$ belongs to $X \cup Y$. Suppose, to the contrary, that both $v_1$ and $v_3$ belong to $A \cup B \cup C$. Since $A$, $B$ and $C$ are pairwise disjoint, $v_1, v_3 \in S \cup T$ for some distinct $S, T \in \{A, B, C\}$.

Observe that at least two of $v_4, v_5, v_6, v_7$, say $v_i$ and $v_j$, belong to $A \cup B \cup C$, or else at least three vertices among $v_4, v_5, v_6, v_7$ belong to $X \cup Y$ and so $W''_n[X \cup Y]$ contains a copy of $P_2 + P_1$, contradicting the fact that $W''_n[X \cup Y]$ is a complete bipartite graph.

Observe now that, by Claim 41 and the previous paragraph, $v_1$ and $v_3$ belong to the same partition class. Without loss of generality, $v_1, v_3 \in S$. Since $S$ is an independent set, $v_2 \notin S$, and since each vertex in $X \cup Y$ has at most one neighbour in each of $A, B$ and $C$, we have that $v_2 \notin X \cup Y$. Moreover, by Claim 41, $v_1$ and $v_3$ both belong to $S$. But this contradicts Claim 41, as $v_2 \notin (A \cup B \cup C) \setminus S$.

We finally show that $v_2 \in X \cup Y$. Suppose, to the contrary, that $v_2 \in R$, for some $R \in \{A, B, C\}$. Since $R$ is an independent set, $v_1, v_3 \notin R$. In view of part 1, we distinguish two cases, according to which partition classes $v_1$ and $v_3$ belong. Let $S$ and $T$ be the two distinct partition classes in $\{A, B, C\} \setminus R$.

Case 1: $v_1$ and $v_3$ both belong to $X \cup Y$.

Since each vertex in $R$ is adjacent to at most one vertex in $X$ and at most one vertex in $Y$, one of $v_1$ and $v_3$ belongs to $X$ and the other to $Y$, contradicting the fact that $X$ is complete to $Y$.

Case 2: One of $v_1$ and $v_3$ belongs to $X \cup Y$ and the other to $S \cup T$.

Without loss of generality, $v_1 \in S$ and $v_3 \in X$. Since $S$ is complete to $Y$, $v_4, v_5, v_6, v_7 \notin Y$. Since $X$ is an independent set, at most one of $v_4$ and $v_5$ belongs to $X$ and at most one of $v_6$ and $v_7$ belongs to $X$. Without loss of generality, $v_4, v_6 \in A \cup B \cup C$. If both $v_4$ and $v_6$ belong to $R$, then $v_1 \in S$ is non-adjacent to both $v_4, v_6 \in R$, contradicting Claim 41. If exactly one of $v_4$ and $v_6$ belongs to $R$, say without loss of generality $v_4 \in R$ and $v_6 \notin R$, then $v_6 \in (A \cup B \cup C) \setminus R$ is non-adjacent to $v_2 \in R$ and $v_4 \in R$, contradicting Claim 41. Therefore, none of $v_4$ and $v_6$ belongs to $R$. If $v_4$ and $v_6$ belong to the same partition class in $(A \cup B \cup C) \setminus R$, then $v_2 \in R$ being non-adjacent to both of them contradicts Claim 41. Finally, if $v_4$ and $v_6$ belong to different partition classes in $(A \cup B \cup C) \setminus R$, then one of them belongs to the partition class $S$ of $v_1$, say without loss of generality $v_4 \in S$. But then $v_6$ being non-adjacent to both $v_4$ and $v_6$ contradicts Claim 41.

Claim 43. $W''_n$ is $(P_3 + 2P_2 + P_1)$-free.

Proof of Claim 43. Suppose, to the contrary, that $\{v_1, \ldots, v_8\}$ induces a copy of $P_3 + 2P_2 + P_1$, where $v_2$ is adjacent to $v_1$ and $v_3$, $v_4$ is adjacent to $v_5$, $v_6$ is adjacent to $v_7$ and no other edges are present in $W''_n[\{v_1, \ldots, v_8\}]$ (hence $v_8$ is the isolated vertex). By Claim 42, $v_2 \in X \cup Y$ and
at least one of $v_1$ and $v_3$ belongs to $X \cup Y$. Without loss of generality, $v_2 \in X$ and $v_1 \in X \cup Y$. Since $X$ is an independent set, $v_1 \in Y$. Since $X$ is complete to $Y$, we have that $\{v_1, v_2\}$ dominates $X \cup Y$ and so $\{v_4, \ldots, v_8\} \subseteq A \cup B \cup C$. By the pigeonhole principle, there exists two vertices among $v_4, v_5, v_6, v_7$ that belong to the same partition class in $\{A, B, C\}$. Since these classes form independent sets, the two vertices are non-adjacent. Without loss of generality, $v_4, v_6 \in R$ for some $R \in \{A, B, C\}$. If $v_8 \in (A \cup B \cup C) \setminus R$, then $v_8$ is non-adjacent to both $v_4, v_6 \in R$, contradicting Claim 41. Therefore, $v_8 \in R$. Since $R$ is an independent set, $v_4 \in R$ implies that $v_5 \in (A \cup B \cup C) \setminus R$ and $v_5$ is non-adjacent to both $v_6, v_8 \in R$, contradicting Claim 41. 

Claim 44. $W''_n$ is $(2P_3 + P_2)$-free.

Proof of Claim 44. Suppose, to the contrary, that $\{v_1, \ldots, v_8\}$ induces a copy of $2P_3 + P_2$, where $v_2$ is adjacent to $v_1$ and $v_3$, $v_4$ is adjacent to $v_5$, $v_7$ is adjacent to $v_6$ and $v_8$, and no other edges are present in $W''_n[\{v_1, \ldots, v_8\}]$. By Claim 42, $v_2 \in X \cup Y$ and at least one of $v_1$ and $v_3$ belongs to $X \cup Y$. Without loss of generality, $v_2 \in X$ and $v_1 \in X \cup Y$. As in the proof of Claim 43, $\{v_1, v_2\}$ dominates $X \cup Y$ and so $\{v_4, \ldots, v_8\} \in A \cup B \cup C$.

Suppose first that at least two vertices among $v_6, v_7$ and $v_8$ belong to the same partition class in $\{A, B, C\}$. These two vertices are non-adjacent, as $A, B, C$ are independent sets, and so they must be $v_6$ and $v_8$. Without loss of generality, $v_6, v_8 \in R$ for some $R \in \{A, B, C\}$. Similarly, at least one of $v_1$ and $v_5$ does not belong to $R$, say $v_4 \in (A \cup B \cup C) \setminus R$. Then $v_4$ is non-adjacent to both $v_6, v_8 \in R$, contradicting Claim 41.

Therefore, $v_6, v_7$ and $v_8$ belong to distinct partition classes in $\{A, B, C\}$. By Claim 41, none of $v_4$ and $v_5$ belongs to the partition class of either $v_6$ or $v_8$. But then $v_4$ and $v_5$ both belong to the partition class of $v_7$, contradicting the fact that every class is an independent set. 

This concludes the proof of Theorem 38. 

5.2 Summary results

With the aid of Theorems 35 and 38, we can finally show Theorems 9 and 10, which we restate for convenience.

Theorem 9. Let $r \geq 5$ be an integer and let $H = sP_1 + tP_2 + uP_3$, for $s, t, u \geq 0$. Then exactly one of the following holds:

- $H \subseteq_i sP_1 + tP_2$, or $H \subseteq_i sP_1 + P_3$, and the mim-width of the class of $(K_r, H)$-free graphs is bounded and quickly computable;
- $H \supseteq_i P_3 + P_2 + P_1$, and the mim-width of the class of $(K_r, H)$-free graphs is unbounded;
- $H = 2P_3$, or $H = P_3 + P_2$.

Proof. By Theorem 35, if $H$ contains $P_3 + P_2 + P_1$, then the mim-width of the class of $(K_r, H)$-free graphs is unbounded. So we may assume that $u \leq 2$. If $u = 0$, then the mim-width is bounded by [6, Theorem 30-(xiv)]. If $u = 1$, then the mim-width is unbounded for $t \geq 2$ and $s \geq 0$ or $t = 1$ and $s \geq 1$ (Theorem 35), and bounded for $t = 0$ ([6, Theorem 30-(xii)]). This leaves open the case $H = P_3 + P_2$. Finally, if $u = 2$, then the mim-width is unbounded if one of $t$ and $s$ is at least 1. This leaves open the case $H = 2P_3$. 

Theorem 10. Let $r = 4$ and let $H = sP_1 + tP_2 + uP_3$, for $s, t, u \geq 0$. Then exactly one of the following holds:
• $H \subseteq_i sP_1 + tP_2$, or $H \subseteq_i sP_1 + P_3$, and the mim-width of the class of $(K_r, H)$-free graphs is bounded and quickly computable;

• $H \supseteq_i P_3 + 2P_2 + P_1$, or $2P_3 + P_2$, and the mim-width of the class of $(K_r, H)$-free graphs is unbounded;

• $H = P_3 + 2P_2$, or $H = P_3 + P_2 + sP_1$, or $H = 2P_3 + sP_1$.

Proof. By Theorem 38, if $H$ contains $P_3 + 2P_2 + P_1$ or $2P_3 + P_2$, then the mim-width of the class of $(K_r, H)$-free graphs is unbounded. So we may assume that $u \leq 2$. If $u = 0$, then the mim-width is bounded by [6, Theorem 30-(xiv)]. If $u = 1$, then the mim-width is bounded for $t = 0$ ([6, Theorem 30-(xii)]), and unbounded for $t \geq 2$ and $s \geq 1$ or $t \geq 3$ and $s \geq 0$ (Theorem 38). This leaves open the cases $H = P_3 + 2P_2$ and $P_3 + P_2 + sP_1$. Finally, if $u = 2$, then the mim-width is unbounded for $t \geq 1$. This leaves open the case $H = 2P_3 + sP_1$.

6 Concluding remarks and open problems

In view of Corollary 7, we believe that the main open problem related to algorithmic applications of sim-width is whether INDEPENDENT SET is polynomial-time solvable for graph classes whose sim-width is bounded and quickly computable (this was first formulated in [32]). We highlight a possible connection. In [19], it is asked whether there exists a (tw, $\omega$)-bounded graph class for which INDEPENDENT SET is NP-hard. In view of these two open problems, it would be interesting to determine whether every (tw, $\omega$)-bounded graph class has bounded sim-width (the converse does not hold, as mentioned in Section 1.1).

Cameron and Hell [10] showed that INDEPENDENT $\mathcal{H}$-PACKING is polynomial-time solvable for weakly chordal graphs, a superclass of chordal graphs, and for AT-free graphs, a superclass of co-comparability graphs. Both chordal graphs and co-comparability graphs have sim-width at most 1 and in [32] it is asked whether weakly chordal graphs and AT-free graphs have bounded sim-width. We believe that Corollary 7 also provides strong motivation for studying the sim-width of weakly chordal and AT-free graphs.

Finally, we conclude by asking to classify the mim-width for the remaining open cases in Theorems 9 and 10. A particularly interesting open case is the mim-width of $(K_r, 2P_3)$-free graphs, for $r \geq 5$. In view of Theorem 4, this is related to the open problem in [24] of whether there exists $k \in \mathbb{N}$ for which LIST $k$-COLOURING restricted to $uP_3$-free graphs is NP-hard for some $u \in \mathbb{N}$.

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