Anisotropic Power-law Inflation:
A counter example to the cosmic no-hair conjecture

Jiro Soda
Department of Physics, Kobe University, Kobe 657-8501, Japan
E-mail: jiro@phys.sci.kobe-u.ac.jp

Abstract. It is widely believed that anisotropy in the expansion of the universe will decay exponentially fast during inflation. This is often referred to as the cosmic no-hair conjecture. However, we find a counter example to the cosmic no-hair conjecture in the context of supergravity. As a demonstration, we present an exact anisotropic power-law inflationary solution which is an attractor in the phase space. We emphasize that anisotropic inflation is quite generic in the presence of anisotropic sources which couple with an inflaton.

1. Introduction
As is well known, the event horizon of black holes hides the initial conditions of the collapsed matter other than mass, charge, and angular momenta, which is named the black hole no-hair theorem [1]. The similar thing should happen for inflation because of the cosmological event horizon, namely, any initial conditions should go away beyond the cosmological event horizon. In fact, in the presence of the cosmological constant, there is a cosmic no-hair theorem proved by Wald [2]. Even for a general accelerating universe driven by a scalar field, it is legitimate to expect that the anisotropy decays exponentially first in the presence of the cosmological event horizon. This prejudice is often referred to as the cosmic no-hair conjecture.

Historically, there have been challenges to the cosmic no-hair conjecture [3]. Unfortunately, it turned out that these models suffer from either the instability, or a fine tuning problem, or a naturalness problem [4]. Recently, however, we have succeeded in finding stable anisotropic inflationary solutions in the context of supergravity. More precisely, we have shown that, in the presence of a gauge field coupled with an inflaton, there could be small anisotropy in the expansion rate which never decays during inflation. Since anisotropic inflation is an attractor, this can be regarded as a counter example to the cosmic no-hair conjecture [5, 6]. Moreover, primordial fluctuations created quantum mechanically during inflation also exhibit statistical anisotropy [7]. Indeed, from the point of view of precision cosmology, it is important to explore the role of gauge fields in inflation [8].

It is well known that the supergravity models can be constrained by comparing predictions of inflation with cosmological observations. For example, the tilt of the spectrum gives interesting information of the superpotential \( W(\phi^i) \) and the Kaler potential \( K(\phi^i, \bar{\phi}^i) \) which are functionals of complex scalar fields. Here, a bar represents a complex conjugate. More concretely, the
In this section, we consider a simple model with exponential potential and gauge kinetic functions.

Using the gauge invariance, we can choose the gauge $A_0 = 0$. Without loosening the generality, we can take the $x$-axis in the direction of the gauge field. Hence, we have the homogeneous fields of the form $A_\mu = (0, v(t), 0, 0)$ and $\phi = \phi(t)$. As there exists the rotational symmetry in the $y$-$z$ plane, we take the metric to be

$$ds^2 = -dt^2 + e^{2\alpha(t)} \left[ e^{-4\alpha(t)} dx^2 + e^{2\alpha(t)} \left( dy^2 + dz^2 \right) \right] ,$$

where $v(t)$ is the velocity of the gauge field and $\alpha(t)$ is a function of time. The gauge kinetic function $f_\mu = f_\mu(\phi)$ modifies the usual kinetic term of the scalar field $\phi$.

In this section, we introduce inflationary models where the gauge field couples with an inflaton and obtain exact solutions which contain anisotropic power-law inflationary solutions. In section 3, we show that the anisotropic inflation is an attractor in the phase space. Thus, generic trajectories converge to anisotropic inflation. This implies that the cosmic no-hair conjecture does not hold in general. The final section is devoted to the conclusion.

2. Exact Anisotropic Power-law Inflationary Solutions

In this section, we consider a simple model with exponential potential and gauge kinetic functions and then find exact power-law inflationary solutions. In addition to a well known isotropic power-law solution, we find an anisotropic power-law inflationary solution.

We consider the following action for the metric $g_{\mu\nu}$, the inflaton field $\phi$ and the gauge field $A_\mu$ coupled with $\phi$:

$$S = \int d^4x \sqrt{-g} \left[ \frac{M_p^2}{2} R - \frac{1}{2} (\partial_\mu \phi)(\partial^\mu \phi) - V(\phi) - \frac{1}{4} f^2(\phi) F_{\mu\nu} F^{\mu\nu} \right] ,$$

where $g$ is the determinant of the metric, $R$ is the Ricci scalar, respectively. The field strength of the gauge field is defined by $F_{\mu\nu} = \partial_\mu A_\nu - \partial_\nu A_\mu$. Motivated by the dimensional reduction of higher dimensional theory such as string theory, we assume the exponential potential and the exponential gauge kinetic functions

$$V(\phi) = V_0 e^{\lambda \phi / M_p} , \quad f(\phi) = f_0 e^{\phi / M_p} .$$

In principle, the parameters $V_0$, $f_0$, $\lambda$, and $\rho$ can be determined once the compactification scheme is specified. However, we leave those free hereafter.

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where the cosmic time \( t \) is used. Here, \( e^\alpha \) is an isotropic scale factor and \( \sigma \) represents a deviation from the isotropy. It is easy to solve the equation for the gauge field as
\[
\dot{v} = f^{-2}(\phi)e^{-\alpha - 4\sigma}p_A,
\]
where \( p_A \) denotes a constant of integration. Using Eq. (5), we obtain equations
\[
\dot{\alpha}^2 = \sigma^2 + \frac{1}{3M_p^2} \left[ \frac{1}{2} \phi^2 + V(\phi) + \frac{p_A^2}{2} f^{-2}(\phi)e^{-4\alpha - 4\sigma} \right],
\]
\[
\ddot{\alpha} = -3\dot{\alpha}^2 + \frac{1}{M_p^2} V(\phi) + \frac{p_A^2}{6M_p^2} f^{-2}(\phi)e^{-4\alpha - 4\sigma},
\]
\[
\ddot{\sigma} = -3\dot{\sigma} + \frac{p_A^2}{3M_p^2} f^{-2}(\phi)e^{-4\alpha - 4\sigma},
\]
\[
\ddot{\phi} = -3\dot{\phi} - V'(\phi) + p_A^2 f^{-3}(\phi)f'(\phi)e^{-4\alpha - 4\sigma}.
\]
Here, an overdot and a prime denote the derivative with respect to the cosmic time \( t \) and \( \phi \), respectively.

In the absence of gauge fields, it is known that there exists the power-law inflationary solution. Therefore, let us first seek the power-law solutions by assuming
\[
\alpha = \zeta \log t, \quad \sigma = \eta \log t, \quad \frac{\phi}{M_p} = \xi \log t + \phi_0.
\]
Apparently, for a trivial gauge field \( p_A = 0 \), we have the isotropic power-law solution
\[
\zeta = \frac{2}{\lambda^2}, \quad \eta = 0, \quad \xi = -\frac{2}{\lambda}, \quad \frac{V_0}{M_p^2} e^{\lambda \phi_0} = \frac{2(6 - \lambda^2)}{\lambda^4}.
\]
In this case, we have the spacetime
\[
ds^2 = -dt^2 + t^{4/\lambda^2} \left( dx^2 + dy^2 + dz^2 \right).
\]
Hence, we need \( \lambda \ll 1 \) for obtaining the accelerating expansion.

Next, interestingly, we see that there exists the other non-trivial exact solution in spite of the existence of the no-hair theorem [2]. From the hamiltonian constraint equation (6), we set two relations
\[
\lambda \xi = -2, \quad \rho \xi + 2\zeta + 2\eta = 1
\]
to have the same time dependence for each term. The latter relation is necessary only in the non-trivial gauge field case, \( p_A \neq 0 \). Then, for the amplitudes to balance, we need
\[
-\zeta^2 + \eta^2 + \frac{1}{6} \xi^2 + \frac{1}{3} u + \frac{1}{6} w = 0,
\]
where we have defined
\[
u = \frac{V_0}{M_p^2} e^{\lambda \phi_0}, \quad w = \frac{p_A^2}{M_p^2} f^{-2}(0)e^{-2\rho \phi_0}.
\]
The equation for the scale factor (7) under Eq. (13) yields
\[
-\zeta + 3\zeta^2 - u - \frac{1}{6} w = 0.
\]
Similarly, the equation for the anisotropy (8) gives
\[-\eta + 3\zeta \eta - \frac{1}{3}w = 0 .\]  
(17)

Finally, from the equation for the scalar (9), we obtain
\[-\xi + 3\zeta \xi + \lambda u - \rho w = 0 .\]  
(18)

Using Eqs. (13), (16) and (17), we can solve \(u\) and \(w\) as
\[u = \frac{9}{2} \zeta^2 - \frac{9}{4} \zeta - \frac{3\rho}{2\lambda} \zeta + \frac{1}{4} + \frac{\rho}{2\lambda}, \quad w = -9\zeta^2 + \frac{15}{2} \zeta + \frac{9\rho}{\lambda} \zeta - \frac{3}{2} - \frac{3\rho}{\lambda} .\]  
(19)

Substituting these results into Eq. (18), we obtain
\[(3\zeta - 1) \left[ 6\lambda (\lambda + 2\rho) \zeta - \left( \lambda^2 + 8\rho\lambda + 12\rho^2 + 8 \right) \right] = 0 .\]  
(20)

In the case of \(\zeta = 1/3\), we have \(u = w = 0\). Hence, it is not our desired solution. Thus, we have to choose
\[\zeta = \frac{\lambda^2 + 8\rho\lambda + 12\rho^2 + 8}{6\lambda(\lambda + 2\rho)} .\]  
(21)

Substituting this result into Eq. (16), we obtain
\[\eta = \frac{\lambda^2 + 2\rho\lambda - 4}{3\lambda(\lambda + 2\rho)} .\]  
(22)

From Eq. (13), we have
\[\xi = -\frac{2}{\lambda} .\]  
(23)

Finally, Eq. (19) reduce to
\[u = \frac{(\rho\lambda + 2\rho^2 + 2)(-\lambda^2 + 4\rho\lambda + 12\rho^2 + 8)}{2\lambda^2(\lambda + 2\rho)^2}, \quad w = \frac{(\lambda^2 + 2\rho\lambda - 4)(-\lambda^2 + 4\rho\lambda + 12\rho^2 + 8)}{2\lambda^2(\lambda + 2\rho)^2} .\]  
(24)

Note that Eq. (14) is automatically satisfied.

In order to have inflation, we need \(\lambda \ll 1\). For these cases, \(u\) is always positive. Since \(w\) should be also positive, we have the condition \(\lambda^2 + 2\rho\lambda > 4\). Hence, \(\rho\) must be much larger than one. Now, the spacetime reads
\[ds^2 = -dt^2 + t^{2\zeta - 4\eta}dx^2 + t^{2\zeta + 2\eta} \left( dy^2 + dz^2 \right) .\]  
(25)

This describes the anisotropically inflating universe. The average expansion rate is determined by \(\zeta\) and the average slow roll parameter is given by
\[\epsilon \equiv \frac{\dot{H}}{H^2} = \frac{6\lambda(\lambda + 2\rho)}{\lambda^2 + 8\rho\lambda + 12\rho^2 + 8} ,\]  
(26)

where we have defined \(H = \dot{\alpha}\). In the limit \(\lambda \ll 1\) and \(\rho \gg 1\), this reduces to \(\epsilon = \lambda/\rho\). Now, the anisotropy is characterized by the ratio between the anisotropic expansion rate and the isotropic expansion rate
\[\Sigma \equiv \frac{\ddot{\sigma}}{\ddot{\alpha}} = \frac{2(\lambda^2 + 2\rho\lambda - 4)}{\lambda^2 + 8\rho\lambda + 12\rho^2 + 8} = \frac{1}{3} I \epsilon , \quad I = \frac{\lambda^2 + 2\rho\lambda - 4}{\lambda^2 + 2\rho\lambda} .\]  
(27)

Remarkably, the anisotropy is proportional to the slow roll parameter and the parameter \(I\) is smaller than 1. Hence, typically, the anisotropy is quite small. Although the anisotropy is always small, it persists during inflation. Therefore, its effect on the cosmological observables is not negligible.
3. Anisotropic Inflation: A counter example to the cosmic non-hair conjecture

Now, we know two exact solutions, isotropic and anisotropic power-law inflationary solutions. These solutions are not general solutions but particular solutions. In order to examine if the cosmic no-hair conjecture holds or not, we need to know the fate of generic solutions. To this end, the dynamical analysis is useful. Since two exact solutions correspond to two fixed points in the phase space, we did the stability analysis around the fixed points [5]. It turns out that the isotropic fixed point indicated by orange circle in Fig.1 is a saddle point which is an attractor only on the two-dimensional $Z = 0$ plane. Thus, in the absence of gauge fields, isotropic inflation is an attractor. Namely, the cosmic no-hair holds in this special case. On the other hand, we found that the anisotropic fixed point is stable. Thus, the end point of trajectories around the unstable isotropic power-law inflation must be anisotropic power-law inflation. In Fig.1, we depicted the phase flow in the phase space for $\lambda = 0.1, \rho = 50$. We see that the trajectories converge to the anisotropic fixed point indicated by yellow circle.

When the initial conditions are close to the $Z = 0$ plane, the trajectory approaches to the saddle point, but eventually it goes into the attractor. Interestingly, even if we start from isotropic universe, the final state of the universe is anisotropic (green line). This can be regarded as the spontaneous breakdown of the rotational symmetry.

![Figure 1.](image)

Figure 1. The phase flow in the phase space is depicted for $\lambda = 0.1, \rho = 50$. The axis $Z$ represents the energy density of the gauge field, the axis $X$ describes the anisotropy of the universe, and the axis $Y$ denotes the velocity of the inflaton. The yellow and orange circles indicate the anisotropic and isotropic fixed points respectively. The trajectories converge to the anisotropic fixed point.

As we have shown, clearly, anisotropic inflation gives rise to a counter example to the cosmic no-hair conjecture. This feature is not specific to the exponential potential. Although, in general cases, we do not have exact solutions, qualitative feature is almost the same. Indeed, there are many concrete examples which violate the cosmic no-hair conjecture [9].

We should note that the cosmological constant is assumed in the cosmic no-hair theorem proved by Wald [2]. In the case of inflation, the inflaton can mimic the cosmological constant. Hence, the cosmic no-hair holds in the conventional cases. However, in the presence of a non-trivial coupling between the inflaton and the gauge field, the cosmic no-hair theorem cannot be applicable anymore [10].
4. Conclusion
We have examined a supergravity model with a gauge kinetic function. It turned out that exact anisotropic power-law inflationary solutions exist when both the potential function for an inflaton and the gauge kinetic function are exponential type. We showed that the degree of the anisotropy is proportional to the slow roll parameter. The slow roll parameter depends both on the potential function and the gauge kinetic function. The dynamical system analysis told us that the anisotropic power-law inflation is an attractor. Therefore, the result we have mentioned in this paper presents a clear counter example to the cosmic no-hair conjecture.

The imprint of anisotropic inflation can be found in the cosmic microwave background radiation [7]. We should note that the statistical anisotropy could be large even when the anisotropy in the expansion is quite small. The result in this paper also has implication in cosmological magnetic fields [6].

4.1. Acknowledgments
I would like to thank Sugumi Kanno and Masa-aki Watanabe for collaborations. This work was supported in part by the Grants-in-Aid for Scientific Research (C) No.25400251 and Grants-in-Aid for Scientific Research on Innovative Areas No.26104708.

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