Meeting on “Theoretical study of thorium monoxide for the electron electric dipole moment search: Electronic properties of $H^3\Delta_1$ in ThO”

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We present an updated EDM effective electric field of $E_{\text{eff}} = 75.2 \, \text{GV} \, \text{cm}$ and the electron-nucleon scalar-pseudoscalar interaction constant $W_S = 107.8 \, \text{kHz}$ for the $3\Delta_1$ science state of ThO. The criticisms made in reference [J. Chem. Phys. 142, 024301 (2015)] are addressed and largely found to be unsubstantiated within the framework of our approach.

INTRODUCTION

Recent experimental [1] and theoretical [2, 3] studies on the ThO molecule have led to a new and improved upper bound on the electron electric dipole moment ($d_e$). This upper bound is determined through $d_e = -\frac{\hbar \omega_{N\Sigma}}{E_{\text{eff}}}$, where $\omega_{N\Sigma}$ is an upper bound to a measured frequency shift and $E_{\text{eff}}$ is the EDM effective electric field, i.e., it is the combined result of a measurement and a molecular many-body calculation. Since the theoretical uncertainty for $E_{\text{eff}}$ enters the upper bound on $d_e$ directly, this uncertainty should be minimized.

However, most accurate results for the required EDM effective electric field $E_{\text{eff}}$ in the $3\Delta_1$ science state of ThO from two different approaches (Skripnikov et al. [4] and Fleig et al. [2]) are at variance by $6.3 \, \text{GV} \, \text{cm}$, or about 8%. Furthermore, it is alleged by Skripnikov et al. [4] that the error bars given in reference [2] were significantly underestimated.

In the present comment, we address the criticism advanced by Skripnikov et al. in reference [4] through an additional elaborate study, we present an improved value of $E_{\text{eff}}$ for ThO ($3\Delta_1$) and the value of the electron-nucleon scalar-pseudoscalar (enSPS) interaction constant. The latter is determined as described in reference [5] and represents the second leading $P, T$-odd effect in ThO, allowing to constrain the electron-nucleon coupling $C_S$.

RESULTS AND DISCUSSION

Spinor basis set

There are physically reasonable and physically unreasonable choices for the spinor basis in a correlation model which falls short of Full CI. Skripnikov et al. [4] include a less reasonable choice in their determination of the sensitivity of the MR-CI method with respect to spinor basis, namely ground-state ($^1\Sigma^+$) spinors. Naturally, the inclusion of such unmotivated choices will lead to arbitrarily large error bars, in the extreme case. For instance, any random excited state could also have been chosen for determining the spinor basis.

Instead, we only use physically well motivated choices for spinor basis which in the present case are the following: i) DCHF spinors with an average-of-occupation Fock operator for 2 electrons in 3 Kramers pairs, $7s_\sigma$ and $6d_\delta$, model DCHF$_{2\text{in}3}$. Such a basis is not state specific but gives a balanced description of the ground $^1\Sigma^+$ and the excited $^3\Delta$ states which is an advantage in the determination of energetics. ii) DCHF spinors with 1 electron occupying $7s_\sigma$ and 1 electron...
occupying 6\(d\), model DCHF\_lin1\_lin2. This latter model is specific towards the excited \(^{1,3}\Delta\) states and better suited for a property calculation in the \(^{3}\Delta_1\) science state.

The comparative results are compiled in Table I. Not surprisingly, the excitation energy of \(\Omega = 1\) depends strongly on whether the \(\delta\) spinors are included in the DCHF averaging or not. The hyperfine interaction constant also undergoes changes of a few percent. However, \(P, T\)-odd properties are almost totally insensitive to the choice of spinors. Our final results from reference [2] were based on DCHF\_2in3 spinors which are at variance from the state-specific spinors by not more than 0.3\%. Furthermore, \(P, T\)-odd constants are also insensitive to basis set enlargement within the 4c-MR\_12-CISD(18) model, in contrast to what has been asserted by Skripnikov and Titov.

TABLE I: Calculated properties for \(\Omega = 1\) at \(R = 3.477\) \(a_0\), using the wavefunction model MR\_12-CISD(18), the vDZ basis set and a virtual cutoff value of 50 a.u. The results using the same correlation model and the vTZ basis set (see reference [2]) have been added for comparison.

| Spinor basis | \(T_v\) [cm\(^{-1}\)] | \(E_{\text{eff}}\) [GV cm\(^{-1}\)] | \(A_{||}\) [MHz] | \(W_S\) [kHz] |
|--------------|--------------------------|------------------|--------------|--------------|
| DCHF\_2in3 (vTZ) | 5140 | 75.2 | -1339 | 105.8 |
| DCHF\_2in3 | 6069 | 75.1 | -1333 | 105.3 |
| DCHF\_1in1\_1in2 | 6066 | 74.9 | -1291 | 105.1 |
| DCHF\_cs | 7871 | 75.0 | -1375 | 105.4 |

Active spinor space

We have carried out an additional study to confirm sufficient convergence of our results with respect to the size of the active spinor space. Results are compiled in Table II. To this end, we have further increased the parameter \(K\) given in Fig. 1 of reference [2] to values which group types of spinors in accord with their principal atomic character. The active space corresponding to \(K = 31\) includes spinors up to an energy of 0.527 a.u. First, we note that the characteristic drop

TABLE II: Calculated properties for \(\Omega = 1\) at \(R = 3.477\) \(a_0\), using different active spinor spaces (\(X\)) with the wavefunction model MR\_X-CISD(18) and vDZ basis set with a virtual cutoff value of 50 a.u.

| Model | \(E_{\text{eff}}\) [GV cm\(^{-1}\)] | \(A_{||}\) [MHz] | \(W_S\) [kHz] |
|-------|-------------------------------|--------------|--------------|
| MR\_3-CISD(18) | 80.8 | -1283 | 113.7 |
| vTZ/MR\_3-CISD(18) | 81.0 | -1292 | 114.1 |
| MR\_9-CISD(18) | 73.8 | -1321 | 103.7 |
| MR\_12-CISD(18) | 74.7 | -1341 | 105.0 |
| vTZ/MR\_12-CISD(18) | 75.2 | -1339 | 106.0 |
| MR\_13-CISD(18) | 74.7 | -1343 | 104.9 |
| vTZ/MR\_13-CISD(18) | 75.2 | -1343 | 105.9 |
| MR\_17-CISD(18) | 74.8 | -1334 | 105.2 |
| MR\_31-CISD(18) | 73.1 | -1320 | 102.7 |
Core correlations

The correction to the $\mathcal{P}, \mathcal{T}$-odd properties by the inclusion of even more inner-shell electrons in the correlation treatment was studied in a previous work [2] and estimated to be 0.25% by the comparison of the MR3-CISD(18) and MR3-CISD(36)* models. However, it was pointed out in reference [4] that the 36-electron calculation was performed with a smaller cut-off of virtual spinors of 5 Hartrees. Hence, by determining within their 2c-CCSD(T) framework that the truncation leads to a 3.3 GV/cm underestimation, Skripnikov et al. asserted that the uncertainty due to the number of explicitly correlated electrons amounts to 5%.

In order to check this figure, we carried out a study of the effect of the truncation of the virtual space for the MR3-CISD(36) model. Results are shown graphically in Figure 1. It appears that indeed convergence is not reached at the 5 Hartree cut-off level but values are accurate when we apply a 30 Hartree truncation. Hence, in [2] the values of the $\mathcal{P}, \mathcal{T}$-odd properties in the MR3-CISD(36)* model were underestimated by 1.7% at the most. The expansion of the virtual spinor space is accompanied by an increase of $E_{\text{eff}}$, $W_S$ and $A_{||}$ on the absolute. The effect is strongest when adding p-type spinors to the virtual space. Besides, this study led us to perform

FIG. 1: Calculated properties (arbitrary units) for $\Omega = 1$ at $R = 3.477$ a$_0$, using the wavefunction model MR3-CISD(36), vTZ basis set and different cutoff values for the virtual spinor space.

the calculation of the properties for a 38 Hartrees cut-off, the same as for the 18-electron model in [2]. Therefore, the correction on the effective electric field, coming from the correlation of more core electrons can be determined with accuracy. It amounts to +1.2 GV/cm, *i.e.*, an increase of 1.5% in magnitude, which is significantly smaller than the +4.3 GV/cm alleged by Skripnikov et al. [4].
Subvalence and valence correlations

In order to start from more rigorous base values, the calculation of the $\mathcal{P}, \mathcal{T}$-odd properties was performed within the vTZ/MR$_{12}^{12}$-CISD(18) model that corresponds to the MR$_{K}^{K}$-CISD(18) model defined in [2] with an active space of 12 Kramers pairs and the use of vTZ basis sets. This model differs from the previous reference model vTZ/MR$_{12}$-CISD(18) by allowing for three holes in the Th 6s, 6p and O 2s 2p subvalence spinors. In particular, this model includes a subset of Quintuple excitations deriving from the following types of excited configurations: $\text{occ}^{16}\text{val}^{2} \rightarrow \text{occ}^{15}\text{val}^{*}\text{virt}^{2}$, $\text{occ}^{16}\text{val}^{2} \rightarrow \text{occ}^{13}\text{val}^{*}\text{virt}^{4}$, $\text{occ}^{16}\text{val}^{2} \rightarrow \text{occ}^{13}\text{val}^{*}\text{virt}^{0}$ where the $\text{occ}$, $\text{val}$ and $\text{virt}$ spaces are the same as defined above and $\text{val}^{*}$ comprises the Th 7p, 8s, 8p, spinors. The inclusion of these higher excitations from the subvalence spinors ($\text{occ}$) to the active space entails an increase of the values of 2.5% in magnitude for the $\mathcal{P}, \mathcal{T}$-odd properties, leading to the new base values to which will be added the various corrections discussed above.

Gaunt operator

Finally, so as to account for the Gaunt interaction, the Gaunt term was added to the Dirac-Coulomb Hamiltonian. This was possible at the Hartree-Fock level for which the Dirac-Coulomb-Gaunt Hamiltonian is implemented [6] in the DIRAC program. Thus, the only $\mathcal{P}, \mathcal{T}$-odd property implemented at this level, i.e., the EDM effective electric field ($E_{\text{eff}}$), was evaluated as an expectation value of the operator over the Hartree-Fock spinors. Details on the implementation of the EDM operator can be found in reference [7]. For the evaluation, we employed the same state-specific model DCHF$_{1\text{in}1\text{in}2}$ as described above that is the most adequate for the calculation of the properties in the $^3\Delta_1$ molecular term. The comparison of the values of $E_{\text{eff}}$ without and with the inclusion of the Gaunt operator shows a non-negligible decrease of 1.7% in magnitude.

CONCLUSION

In this work, we tackled criticisms made by Skripnikov et al. [3]. The main point was the alleged underestimated uncertainty on $E_{\text{eff}}$ due to the choice of the spinor basis (7%); yet, our work revealed the insensitivity of $\mathcal{P}, \mathcal{T}$-odd properties to proper choices of spinor space. Second, based on the analysis of their 18-electron MR($\infty$)-CISD model, Skripnikov et al. asserted that our previous final value obtained by an MR(12)-CISD calculation could undergo a significant increase of 5% in magnitude. Thus, even if the non-relativistic MR($\infty$)-CISD and our four-component MR(12)-CISD cannot be compared straightforwardly, we addressed this particular issue through two studies. A review of the effect of the size of the active space led to a correction of -1.6 $[\text{GV cm}^{-1}]$. Second, in order to refine our understanding of the subvalence and valence correlations, we included higher excitations through the MR$_{12}^{12}$-CISD(18) model. We came to perform a 7-billion determinant CI calculation that yielded new reference values. The latter model includes a subset of quadruple and even quintuple excitations with respect to the ground-state reference determinant besides the triple excitations from the subvalence to the active space. Furthermore, the influence of the inclusion of core electrons in the correlation space was accurately analyzed by correlating up to 36 electrons and resulted in an increase of $E_{\text{eff}}$ by +1.2 $[\text{GV cm}^{-1}]$. A survey of the Gaunt interaction brought about an additional correction of -1.3 $[\text{GV cm}^{-1}]$. All corrections are compiled in Table III. Based on this study, we propose improved values of the EDM effective electric field $E_{\text{eff}} = 75.2$ $[\text{GV cm}^{-1}]$ and the electron-nucleon scalar-pseudoscalar interaction constant $W_S = 107.8$ [kHz] for the $^3\Delta_1$ science state of ThO. The corrections we have deduced are within our previously assigned uncertainty of 3%. Furthermore, our present final value of $E_{\text{eff}} = 75.2$ $[\text{GV cm}^{-1}]$ is within the uncertainty margins of the combined results from references [2] and [3].

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### TABLE III: Final property values including corrections

| $E_{\text{eff}}$ [GV/cm] | $A_{||}$ [MHz] | $W_S$ [kHz] |
|---------------------------|----------------|-------------|
| 75.2°                     | -1339          | 106.0       |
| 77.1                      | -1309          | 108.5       |
| -0.2                      | +42            | -0.2        |
| -1.6                      | +21            | -2.3        |
| +1.2                      | -20            | +1.8        |
| -1.3                      |                |             |

- New base value from vTZ/MR$_{12}^T$-CISD(18)
- Correction for ∆ spinors
- Correction for active space size
- Core correlations
- Gaunt correction

Final value: 75.2°, -1296, 107.8

°Reference [2]

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