Semantic Role Labeling for Sentiment Inference: A Case Study

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Abstract
In this paper, we evaluate in a case study whether semantic role labelling (SRL) can be reliably used for verb-based sentiment inference (SI). SI strives to identify polar relations (against, in-favour-of) between discourse entities. We took 300 sentences with 10 different verbs that show verb alternations or are ambiguous in order to find out if current SRL systems actually can assign the correct semantic roles and find the correct underlying predicates. Since in SI each verb reading comes with a particular polar profile, SRL is useful only if its analyses are consistent and reliable. We found that this is not (yet) given for German.

1 Introduction
Sentiment Inference (SI) is the task of predicting opponents and proponents given a text. SI reveals how the writer conceptualises the world and how she perceives the discourse entities she refers to. Take for instance the sentence This government cheats the world. The writer tries to convey that the government is against the world and that it is - in the perspective of the writer - a negative actor and the world is the victim, which means that there is a negative effect on the world. We, thus, can talk about positive and negative actors, positive and negative effects, about negative (opponents) and positive (proponents) relations. We call these specifications the polar profile of a verb.

In (Klenner et al., 2017), we introduced a verb-based SI system that uses dependency labels in order to express such polar profiles. For instance, the subject of the verb cheat - if used in a factual sentence - is identified as indicating a negative actor, the filler of the direct object receives a negative effect, and a negative relation (against) between the two is casted. Even after normalization of dependency trees, e.g. by resolving passive voice, some problems remain, namely verb alternations and verb ambiguity. It certainly will lead to false analyses. Verb alternation, among others, is given if a semantic role changes its syntactic host. As an example of an instrument-subject verb alternation, compare The police man killed the aggressor with a knife versus The knife killed the aggressor. For a dependency-based approach the police man and the knife are both the subjects although the police man is the agent and the knife is the instrument. There should be a negative polar relation between police man and aggressor, but not between knife and aggressor (a knife cannot be against somebody). If SRL was used instead of dependency parsing, the agent role would indicate the against relation while the instrument role would block such an inference\(^1\) and thus might be a means to provide a general solution to this problem.

SRL could also be useful for verb sense disambiguation. Part of SRL is a step called predicate identification (Conia et al., 2021b), where a verb is mapped to a predicate frame covering the semantic roles of the underlying verb reading. Take as an example German bedauern which has a subject and a direct object. It could mean either feel sorry for as in Ich bedauere diese Menschen (I feel sorry for these people), or regret as illustrated by Ich bedauere den Vorfall (I regret the incident). In the first case, there is a in-favour-of relation while in the second one the relation is against. In this example, it is not the semantic role that makes the difference in the first place, but the predicate identification (feel sorry for versus regret).

In this paper, we describe a case study applying SRL to cases of verb alternations and verb ambiguity. For SRL to be applicable, it must hold that the identification of semantic roles is consistent given some verb and that predicate identification is reliable. We found both requirements are currently

\(^1\)The SRL approach InVeRo using VerbAtlas actually produces this result, see https://verbatlas.org
not given for German.

2 Verb Alternations and Verb Ambiguity

As a first step, we identified 10 German verbs\(^2\) from our verb lexicon (Klenner and Amsler, 2016) that have verb alternations or are ambiguous. We focused on challenging cases where a verb has at least two semantic frames given a single dependency frame. Take the transitive (i.e. subject, object) and ambiguous verb *verbessern* which might mean *improve* or *correct*. In a dependency setting we just have the subjects and objects of the particular verb *verbessern*. In our current system we cannot distinguish the readings and, thus, only have one polar profile. But in fact we’d need two: for both readings. So either verb disambiguation (which is not available for German) or SRL might do the trick.

As an example of verb alternation take *drohen* (threaten), which has an instrument alternation:

(1) Er droht ihm mit Vergeltung
    subject verb object oblique
    He threatens him with retaliation

(2) Ihm droht Vergeltung
    object verb subject
    He is threatened with retribution

Only in (1) there is a polar relation (against) between the agent (He) and the recipient (him). In our case study we looked at the transitive versions of such cases: *Er droht ihm* versus *Vergeltung droht ihm* (a bit unusual word order, but correct). Again, in the dependency setting we have a single transitive verb with two unaccessible readings (threaten versus face).

We semi-automatically extracted 300 sentences from a newspaper corpus where for each verb at least two different semantic frames were given. For instance for the verb *drohen*, we found 5 sentences with an actor as subject (one reading) and 8 with a theme as subject (the second reading).

We applied InVeRo in the PropBank and the VerbAtlas mode and manually analysed the results. We will now introduce these tools.

3 Semantic Role Labeling for German

We have tried to find SRL systems for German, but only InVeRo (Conia et al., 2021b) using VerbAtlas (Di Fabio et al., 2019) was available. It was not possible to install SRL-S2S\(^3\) (Daza and Frank, 2019), and the DameSRL\(^4\) system described in (Do et al., 2018a,b) has no predicate identification model for German which is needed for a proper SRL. Another option was to train our own model.

However after we have analysed the available resources, the CoNLL shared task description and data (Hajić et al., 2009), and the Universal Proposition Bank (Akbik et al., 2015), we skipped this idea. The German data from CoNLL is derived from Salsa (Erk et al., 2003), the German version of FrameNet. It came into existence by mapping FrameNet roles, which are very fine-grained, to more coarse-grained PropBank semantic roles (Palmer et al., 2005). However, the mapping procedure is hardly described and no quality control is reported. We do not know how much noise was introduced by this mapping. In a footnote, Daza and Frank (2020) reflect on the difficulty of using heterogeneous SRL styles, above all for a cross-lingual comparison, and comment that “annotations for German use a role inventory with roles A0-A9, and a one-to-one mapping to all English labels is not available”. Also, after we analysed a few entries in the German Universal Propositions Bank\(^5\), we had to recognise that this semi-automatically generated resource is too noisy. Training our own SRL model no longer was an option. We, thus, carried out our experiments with InVeRo (Conia et al., 2021a).

InVeRo is a multi-lingual SRL model that was trained on various languages including German. Given a (German) sentence, predicate identification yields an English (predicate) frame and the corresponding semantic roles. The frames are from VerbAtlas, a hand-crafted lexical-semantic resource that uses the verb synsets of BabelNet (Navigli and Ponzetto, 2010), a multilingual encyclopedic dictionary that covers 500 languages (actually the synsets of WordNet are used via BabelNet which integrates Wordnet). VerbAtlas frames specify a prototypical argument structure including implicit and so-called shadowed arguments (Conia et al., 2021a). Such a frame clusters verb meanings having similar semantics. Also selectional preferences (not restrictions) are formulated on the basis of WordNet synsets.

\(^2\)See the appendix for the full verb list.

\(^3\)https://github.com/Heidelberg-NLP/SRL-S2S

\(^4\)https://liir.cs.kuleuven.be/software_pages/damesrl.php

\(^5\)http://alanakbik.github.io/UniversalPropositions_German
Semantic roles are either in PropBank style or following VerbNet nomenclature (25 roles like agent, patient, etc.) (Kipper Schuler et al., 2009).

In Figure 1 predicate identification maps the verb 
verurteilen to accuse and criticize. As a consequence, two different roles for the direct object become available, namely recipient and patient. The selectional preferences for the patient role of criticize are individual and social group. Although situation is not subsumed under neither restriction, we get a result. The system is robust, thus. However sometimes restrictions seem to be taken seriously and no result appears. The sentence Sie kämpft für mehr Geld (She fights for more money) is correctly analysed. If we substitute Gerechtigkeit (justice) for Geld (money), no result is given, presumably since Gerechtigkeit is not subsumed under the restriction which is entity.

4 Empirical Evaluation

We manually analysed the output of InVeRo for the 300 sentences. Three types of errors or problems can be distinguished:

- predicate identification (disambiguation) fails
- assigning different semantic roles given a single predicate
- assigning a particular semantic role to syntactically different phrases for the same verb (under a particular reading)

Why are these three points problematic in SI? As we have discussed on various examples, each verb reading has its own polar profile, thus it is crucial to find the right reading (problem 1). A polar profile assigns a directed polar relation (against, in-favour-of) to a verb as well as a holder role (e.g. the agent) and a target role (e.g. theme). That is, in order to specify these relations, the semantic roles of the holder and target roles must be known and they must be stable (not assigned to different roles), otherwise no lexical entry is possible (problem 2). If SRL assigns for a verb reading different roles and role pairings, it is unclear how to anchor the relation correctly. Finally, SRL is syntax-agnostic (problem 3): the same semantic role of a verb might be assigned to different syntactic phrases thereby possibly collapsing verb readings. In the examples (3) and (4) both sentences (according to VerbAtlas have a theme role. In sentence (3) it is realized as a to-infinitive, in sentence (4) as a prepositional phrase (PP).

(3) Er droht zu scheitern
agent verb to-infinitive-theme
He is in danger to fail

(4) Er droht mit Konsequenzen
agent verb PP-theme
He threatens consequences

As a consequence, these two verb readings would have the same semantic role frame. However, their polar profiles differ. Sentence (3) casts a negative effect on the experiencer (He), while in (4) there is a negative actor, but no negative effect. SRL is not helpful in these cases, it also collapses readings (danger, threatens).

Predicate identification failure is most problematic. In the examples above, both (3) and (4) get the same predicate assigned: guarantee/ensure/promise. However, only sentence (4) is an instance of this predicate.

This problem becomes clearer, in our case study, if we quantify the number of predicates and predicate frames that were chosen by InVeRo per verb (see the last line of Table 2 in the appendix). For PropBank a verb is, in the mean, mapped to 1.55 predicates, and 3.7 different frames, i.e. pairing of semantic roles, per predicate are used. For VerbAtlas it is 2.75 and 4.5, respectively. Ideally, only one mapping would be given: a verb maps to one or more predicates, each predicate has a stable subcategorization frame (expressed with semantic roles). If this was the case, we could assign a single polar profile to a particular verb reading.

Table 1 shows the mappings for bedauern. In the first column the feel-sorry-for reading is given.

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6https://verbatlas.org, accessed 2022-06-03.
7Predicates in VerbAtlas are sometimes specified with reference to more than one label.
8frame here refers to role pairings.
Here we have a single mapping, both with respect to PropBank (DE) and VerbAtlas style (VA). However in the second column, the regret reading, PropBank mode shows a variation in the assignment of semantic roles (A0,A1 versus A0, A3). The VerbAtlas analysis is even more confusing. Here three predicates are identified and within the same predicate (e.g. REGRET_SORRY), different roles and role pairings are present. We carried out an error analysis in order to find out how many of the 38 sentences with bedauern are wrongly analysed either by choosing the wrong predicate or the wrong semantic role pairing (the subcategorization frame): 7 cases (18.5%) are clearly wrong, 8 cases are hard to decide. Not in every case does the usage of bedauern actually involve a (real) regret. Sometimes it is used in more formal way in order to express dislike (as suggested by InVeRo): without context this cannot be resolved reliably (some of the 8 cases are of that type). But nevertheless, even if InVeRo sometimes is right to map a verb to more than one predicate, the diversity of suggested solutions makes it impossible to carry out SI in a lexicicon-based way: the necessary mapping from a single polar profile of a verb to some VerbAtlas representation in a one-to-many fashion is bound to produce errors, as our little error analysis with bedauern reveals.

Also, although in principle assigning semantic roles depending on the filler object is a desirable solution, if it comes in such an unpredictable diverse way, a lexicon-based approach cannot make use of it. The problem is not neglectable, since the distribution of semantic role pairings for different VerbAtlas predicates is high. The numbers at the end of the roles pairings (in square brackets) in Table 1 indicate the frequency of a pairing. For instance, DISLIKE (Agent,Theme) was assigned 2 times, DISLIKE (Experiencer,Stimulus) 4 times.

The statistics we have gathered on the diversity of predicate and frame mappings coming with InVeRo makes it superfluous to have a full-fledged error analysis for all 300 sentences (like we did for bedauern). The InVeRo results are just too diverse to be useful (see Table 2 in the appendix).

In the course of our case study, we have noticed that there is a correlation between the (non)animacy of role fillers and different verb readings. Actually, all examples in this paper could be analysed correctly by taking (non)animacy into account: compare e.g. er bedauert sie (he feels sorry for her) with er bedauert den Vorfall (he regrets the incident). We have trained an animacy classifier (Klenner and Göhring, 2022) and are about to apply it to the small data set of 300 sentences. To sketch the idea: depending on the animacy of the filler of a dependency label of a verb, different polar profiles become available.

## 5 Related Work

Sentiment inference is sometimes called sentiment propagation (Deng and Wiebe, 2014) and opinion implicature. It also shares similarities with fine-grained opinion analysis (Marasović and Frank, 2018a). Our positive/negative effects are comparable to the GoodFor/BadFor distinction of (Choi and Wiebe, 2014). However, we also distinguish positive/negative actors. In (Wiebe and Deng, 2014) a sophisticated rule-based system was introduced that specifies general inference rules on the basis of GoodFor/BadFor effects.

Approaches exist that claim that the combination of SRL and Opinion Role Labeling, i.e. the identification of opinion holder and target, is beneficial, e.g. in (Marasović and Frank, 2018b) a multi-task learning-based joint model is introduced.

## 6 Conclusion

German Semantic Role Labeling does not provide a suitable solution for our task: German sentiment inference based on polar profiles of verb readings.

| feel-sorry-for | regret |
|----------------|--------|
| bedauern,1     | (A0,A1) [4] |
| (A0,A3) [11]   |
| bedauern,2     | (A0,A1) [15] |
| (A0,A3) [8]    |

Table 1: Different predicates and roles for the verb ‘bedauern’ according to two readings: feel-sorry-for and regret. In square brackets are the numbers of sentences labeled with the given semantic roles.
With InVeRo, lexicon design is difficult since (too) many verb-predicate mappings and role pairings occur. InVeRo is only partially able to deal with the - admittedly - difficult cases of verb alternations and verb ambiguity. Instead of SRL, a combination of dependency parsing and animacy detection might be useful for the task at hand. We are currently evaluating such a disambiguation strategy for sentiment inference.

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### Appendix

| verb            | pr | fr | fr/pr | pr | fr | fr/pr |
|-----------------|----|----|-------|----|----|-------|
| akzeptieren     | 1  | 1  | 1.00  | 4  | 4  | 1.00  |
| bedauern        | 1  | 2  | 2.00  | 9  | 11 | 1.22  |
| bedrohen        | 2  | 8  | 4.00  | 3  | 7  | 2.33  |
| belastern       | 2  | 2  | 1.00  | 1  | 2  | 2.00  |
| blockieren      | 2  | 3  | 1.50  | 2  | 9  | 2.25  |
| schaden         | 1  | 3  | 3.00  | 2  | 3  | 1.50  |
| töten           | 1  | 5  | 5.00  | 1  | 5  | 5.00  |
| unterstützen    | 1  | 5  | 5.00  | 1  | 3  | 3.00  |
| verbessern      | 2  | 6  | 3.00  | 2  | 5  | 2.50  |
| vergewaltigen   | 1  | 5  | 5.00  | 3  | 3  | 1.00  |
|                | 1  | 1  | 1.00  | 1  | 1  | 1.00  |
| avg             | 1.55 | 3.70 | 2.43  | 2.75 | 4.50 | 1.81 |

Table 2: Number of predicates (pr), frames (fr) and frames per predicate (fr/pr) the SRL assigned to example sentences of the listed 10 pairs of verb profiles (each verb has 2 profiles). Average (avg) over all profiles (macro = micro). The German PropBank scheme (DE) seems to assign less different predicates per verb profile than the VerbAtlas (VA) scheme (1.55 compared to 2.75), though with proportionally more frames (fr/pr= 2.43).