**GMP-based CD133⁺ cells isolation maintains progenitor angiogenic properties and enhances standardization in cardiovascular cell therapy**

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**Abstract**

The aim of the present study was to develop and validate a good manufacturing practice (GMP) compliant procedure for the preparation of bone marrow (BM) derived CD133⁺ cells for cardiovascular repair. Starting from available laboratory protocols to purify CD133⁺ cells from human cord blood, we implemented these procedures in a GMP facility and applied quality control conditions defining purity, microbiological safety and vitality of CD133⁺ cells. Validation of CD133⁺ cells isolation and release process were performed according to a two-step experimental program comprising release quality checking (step 1) as well as ‘proofs of principle’ of their phenotypic integrity and biological function (step 2). This testing program was accomplished using in vitro culture assays and in vivo testing in an immunosuppressed mouse model of hindlimb ischemia. These criteria and procedures were successfully applied to GMP production of CD133⁺ cells from the BM for an ongoing clinical trial of autologous stem cells administration into patients with ischemic cardiomyopathy. Our results show that GMP implementation of currently available protocols for CD133⁺ cells selection is feasible and reproducible, and enables the production of cells having a full biological potential according to the most recent quality requirements by European Regulatory Agencies.

**Keywords:** GMP • validation • CD133 • cell therapy • angiogenesis • ischemia

**Introduction**

The efficacy of stem/progenitor cells clinical application is currently still limited by several knowledge gaps. In fact, the lack of a complete understanding of molecular mechanisms driving progenitor cell differentiation, the lack of well-defined criteria to identify the best cell type for a given pathology and to select patients, still represent major bottlenecks in stem cells-based regenerative medicine. In addition, the absence of validated, safe and reproducible procedures for stem/progenitor cells production and quality testing makes results from diverse clinical trials often incomparable. This makes extremely difficult to raise valid and general conclusions about efficacy of cells to regenerate organs affected by degenerative disorders.

In the cardiovascular field, the use of adult bone marrow cells (BMCs) having angiogenic activity and myocardial regeneration
A definitive consensus about the clinical use of one or the other EPC type has not been reached. In fact, despite preclinical studies have highlighted lineage distinctions and different biological properties of CFU-ECs and ECFCs, the CD34 and CD133 antigens remain the reference markers to be used to isolate EPCs for clinical trials, irrespective of their CD45 expression (discussed in [20]). In fact, the benefits of isolated human CD34+ and CD133+ cells administration have been validated in patients affected by acute or chronic myocardial ischemia [35–40].

The use of purified stem cell populations in therapy needs a careful evaluation concerning safety, quality and efficacy of cells. To provide an answer to the growing need for improved standardization of cell preparation protocols, the major regulatory agencies worldwide, i.e. the US Food and Drug Administration (FDA) and the European Medicines Agency (EMEA), have established regulatory frameworks to ensure high safety standards and biological quality of cell-based medicinal products (CBMPs), that must comply to good manufacturing practice (GMP) specifications.

In a recent study, F. Seeger and coworkers [41] have shown that slight modifications in preparation protocols and the choice of cell storage media have important consequences on the efficacy of BMCs transplantation into ischemic tissues, by significantly affecting their migratory ability and their in vivo angiogenic potential. This example suggests that definition of clear and standardized procedures to prepare stem cells for cardiovascular cell therapy represents a key issue to obtain optimal clinical results.

The goal of the present study was to develop and test GMP-compliant conditions to obtain purified CD133+ cells (called here CD133 CBMPs) to be used in patients with cardiac or lower limb ischemia. We established standard operating procedures (SOPs) to purify human Cord Blood (CB) CD133+ cells using CliniMACS and applied these procedures to obtain BM-derived CD133 cells for an ongoing clinical trial in patients affected by chronic cardiac ischemia. Here we thus describe a fully GMP-compliant CD133 CBMPs production and quality control process. We also provide a demonstration that manipulated cells maintain a full biological potency using in vitro and in vivo assays.

Materials and methods

Samples

UCB collection was performed after written approval by mothers. The age of neonates ranged between 36 and 42 weeks of gestation. BM samples were obtained from patients undergoing cardiac surgery upon approval of the study by local ethical committee and Italian national regulatory agencies (Istituto Superiore di Sanità, authorization no 63163-PRE.21–869, 30-03-2006).

Isolation of CD133 CBMPs

Cord blood was recovered in ethylenediaminetetraacetic acid containing bags immediately after delivery. Blood samples from at least three different donors were pooled and used in each experiment. UCB mononuclear cell fraction was obtained by Ficoll–Histopaque density gradient. Isolation of CD133 CBMPs from BM was performed by CliniMACS™ (Miltenyi, Bergisch Gladbach, Germany) system using the direct CD133 isolation kit (Miltenyi, catalogue no. 177–01) according to manufacturer’s instructions. Briefly, after
recovery from gradients, mononuclear cells were washed using Dulbecco’s phosphate-buffered saline containing 1% (v/v) human serum albumin (DPBS-HSA). This was followed by two centrifugations at 600 × g for 10 min. Cells were subsequently resuspended into 100 ml volume with DPBS-HSA containing 7.5 ml of CliniMACS™ CD133 reagent (Miltenyi) and 1.5 ml of human IgG and incubated for 45 min. at room temperature under gentle agitation. After two washing steps (550 × g, 10 min.), mononuclear cells were resuspended in 100 ml of DPBS-HSA and thereafter loaded into a column into CliniMACS device, where CD133 cells were separated and collected. Experiments performed to assess KDR expression in CD133 cells were performed with cells isolated from small BM aliquots (5–7 ml) of patients undergoing heart surgery using miniMACS™ device (Miltenyi) and the indirect CD133 cells isolation kit (Miltenyi), according to manufacturer’s instructions.

**GMP process and quality control (QC) testing**

A detailed description of our GMP program and QC testing of CD133 CBMPs is described in the supplementary online section.

**In vitro experiments**

CD133 CBMPs transported from the cell factory were stored in different solutions: saline containing 1 mg/ml human albumin, Stem Span (Stem Cells Technologies, Vancouver, Canada) or X-VIVO-15 medium (Lonza, Basel, Switzerland). Shortly after arrival, cells were counted. They were seeded in Stem Span containing a cytokine mixture supplemented with interleukin (IL)-3 and IL-6 (both at 20 ng/ml), Flt3-Ligand and Stem cell factor (both at 100 ng/ml) to allow cell proliferation. In these experiments cells were seeded at 10^5/well in 96-well plates. After 5 days under these conditions, cells were counted. To assess endothelial differentiation, CD133 CBMPs expanded for 5 days were seeded onto Fibronectin (Sigma-Aldrich, St. Louis, MO, USA)-coated dishes using M199 medium (Gibco, Carlsbad, CA, USA) supplemented with 20% FBS, 100 units/ml penicillin/streptomycin and 2 mM L-Glutamine and cultured for 7 days [42]. After 7 days in these differentiation-promoting conditions, cells were fixed with 4% paraformaldehyde (Sigma) for 20 min. and incubated overnight with 2 μg/ml of dioctadecyl-tetramethylindo-carbocyanine perchlorate (DiI)-labelled acetylated LDL (DiIAcLDL; BioMedical Technologies, Firenze, Italy). After washing with PBS, cells were stained with 40 μg/ml of FITC-labelled Lectin from Ulex europaeus I (Lectin UEA I; Sigma-Aldrich) for 1 hr. Nuclei were stained with Hoechst 33258 (Sigma-Aldrich). For vWF immunofluorescence, cells were fixed with 4% paraformaldehyde and permeabilized using PBS containing 0.2% Triton-X 100 and 1% bovine serum albumin. Anti-human VWF antibody (Chemicon, Billerica, MA, USA) was diluted at 10 μg/ml in the same medium. Cells were incubated with primary antibody overnight at 4°C and, following extensive washing, were incubated with Alexa-488 conjugated anti-rabbit secondary antibody for 1 hr at room temperature. Cells were observed under a Zeiss (Zeiss-Italy, Arese, Italy) Axio Observer.Z1 fluorescence microscope equipped with Apotome image deconvolution hardware. Immunophenotyping by flow cytometry was performed as described in the online Supporting Information.

**Cytokine quantification by multiplex analysis**

Angiogenic cytokines expression in CFU-ECs obtained from BM-derived CD133 CBMPs was measured by analyzing their concentration (expressed as pg/ml/10^5 cells) in conditioned medium. M199 containing 20% FBS was conditioned for 24 hrs. The concentration was measured by Bio-Plex Pro-Angiogenesis assay (Bio-Rad-Italy, Segrate, Italy). As a negative control the same, non-conditioned, medium was used.

**In vivo experiments**

Swiss CD1 male mice, 2 months old (Charles River, Italy), were used in this study. Immunosuppression was performed by injecting cyclosporin-A (Cs-A) at 20 mg/kg weight for 2 days before, and daily after surgery, for the entire period of the experiment. To produce hind limb ischemia, the left femoral artery was excised with an electrocoagulator from its proximal origin as a branch of the external iliac artery till the bifurcation into saphenous and popliteal arteries as described [43]. Mice were anesthetized with an intra-peritoneal injection of 2.5% Avertin (Sigma) (100% Avertin: 10 g 2,2,2-tribromoethyl alcohol in 10 ml tert-amyl alcohol). Injection of CD133 CBMPs (10^3/animal) resuspended in saline solution (10^5 cells/50 μl) was performed at the time of surgery; 10 μl of cell containing solution were injected at five levels (from proximal to distal) into the adductor muscle, along the femoral artery site after its removal. Laser Doppler Perfusion Imaging (LDPI) was used to monitor tissue immediately after and at 7 and 14 days after surgery.

**Histology and morphometric analysis**

Anesthetized mice were perfused with saline solution containing 1000 U/ml heparin (Roche Molecular Biochemical, Monza, Italy) followed by 10% buffered formalin for 10 min. via the left ventricle at 100 mm Hg. Adductor muscles were removed, fixed in formalin for 48 hrs and embedded in paraffin (Bio-plast special; melting point 52–54°C). Sections from each sample were cut at a 3 μm thickness and both capillary number and arteriole number were analysed as described [44, 45].

**Statistical analysis**

All data are expressed as mean ± S.E.M.; 2-tailed unpaired Student’s t-test was performed with GraphPad statistical software, and a probability value of P < 0.05 was considered statistically significant.

**Results**

From purification to quality control and certification of CB CD133 CBMPs production procedure

Implementation of currently available laboratory procedures to large scale GMP setting into the cell factory required appropriate validations in order to: (1) establish detailed SOPs, (2) identify and monitor critical steps during the production process and (3) define final product release specifications. In order to develop SOPs for CD133 cells isolation from human cord blood we used laboratory protocols already established during our previous experience.
Magnetic purification by CliniMACS was chosen as it is performed with clinical grade reagents that are routinely used for purification of haematopoietic stem cells in haematological transplantation settings [46, 47], and because it was safely used in previous studies to produce CD133<sup>−</sup> cells for the treatment of patients with cardiac ischemia [35, 38, 40].

The GMP-compliant CD133 CBMPs production process was organized and accomplished according to the scheme presented in Fig. 1. In this scheme, the QC testing actions, the locations of each action and the responsibility of the actions at each step are represented. Table 1 describes the tests, the methods according to European Pharmacopeia and the product specifications that were utilized to proceed with CD133 CBMPs lot certification and release. Specifications were intended as threshold values to be met for lot release. Figure 2 represents a typical example of flow cytometric assessment of CD133 CBMP vitality and purity. To this purpose a hierarchical gating strategy was followed; specifically, cell purity was evaluated by first gating the CD45<sup>−</sup>/PI<sup>−</sup> cells and then by determining the percentage of CD45<sup>−</sup>/PI<sup>−</sup> living cells, to which
was finally applied the appropriate gate to identify CD133+/CD34+ cells. Results obtained by this testing are shown for each CB-derived CD133 CBMPs lot in Table 2. It is to be noted that in all these preparations, threshold values were successfully met. Finally, as shown in Table 3, sterility, endotoxin and mycoplasma tests were negative in all CD133 CBMPs lots preparation. Altogether, these results show high reproducibility of the CD133 CBMPs production process under aseptic conditions.

**Proof of principle of CB CD133 CBMPs biological quality maintenance (1): in vitro experiments**

A key issue to be addressed in the assessment of CBMPs quality is, according to EMEA guidelines (Guideline on Human Cell-Based Medicinal Products, doc. ref. emea/chmp/410869/2006), the demonstration of ‘proofs of principle’ that CBMPs maintain a full biological potential by appropriate ‘potency’ tests. This ensures that the production process does not modify the ability of stem cells to engraft in recipient tissues and that they maintain the expected and desired regenerative effect.

We thus performed *in vitro* experiments according the scheme shown in Fig. 3(A). Briefly, the cells were grown in culture with mitogenic cytokines [29] for a period of 5 days, after which they were counted. After counting, cells were plated under differentiation conditions to assess the formation of EPC (CFU-EC) early colonies [15, 42, 48]. According to Seeger *et al.* [49], the choice of the storage medium represents an important step toward the optimization of cells to be transplanted. In our *in vitro* assays, we tested different storage media in order to identify the...
condition that best maintains fully viable and biologically active CD133 CBMPs for 18 hrs, i.e. the time which would elapse between lot release and delivery of the cellular product at the clinical centre.

As a storage medium during initial tests, we used saline solution supplemented with human albumin (HSA) at a 1% concentration (HSA 1%). CD133 CBMPs, stored overnight into HSA 1% were cultured in serum free-medium containing mitogenic cytokines (see 'Materials and methods'). To our surprise, we found that purified cells stored in this medium failed to proliferate (Fig. 3B), likely due to cell damage during the overnight storage, despite PI exclusion tests, performed before and after the overnight period, did not indicate substantial cell death (not shown). To better preserve CD133 CBMPs vitality, we thus set different storage strategies: we used X-VIVO-15 (X-VIVO) without serum and saline solution containing different amounts of human albumin (HSA 3.5%, HSA 5% and HSA 7.5%). The results (Fig. 3B, C) showed that CD133 CBMPs stored in these media had a proliferation rate that was comparable to that shown by CB-derived freshly isolated CD133 cells under the same culture conditions (Fig. 3C).

Both in animal models [21] and in patients [35, 39], CD133 cells have been found to have an angiogenic function. As a preliminary in vitro screen for CD133 CBMPs angiogenic activity, we tested formation of CFU-EC colonies by plating pre-expanded CD133 CBMPs (stored into X-VIVO or HSA 7.5%) into fibronectin-coated wells in high serum conditions [15, 42]. The results showed the development of large cellular clusters taking up Ac-LDL-DiI and stained with UEA-1 lectin [48] (Fig. 4), typically resembling CFU-EC colonies [15].

Table 2  Vitality (before and after isolation) of nucleated cells and CD133 cells. Purity and recovery for each of the 10 CD133 CBMP lots produced under GMP conditions

| Lot # | Viability before isolation | Viability after isolation | Number of nucleated cells recovered from CliniMACS | CD133+ cells purity | CD133+ cells recovery |
|-------|---------------------------|--------------------------|-----------------------------------------------|-------------------|---------------------|
| 1     | 89.76%                    | 78.70%                   | $4.32 \times 10^6$                            | 66.90%            | 67.30%              |
| 2     | 90.00%                    | 85.44%                   | $4.40 \times 10^6$                            | 84.70%            | 90.00%              |
| 3     | 95.00%                    | 80.00%                   | $1.65 \times 10^6$                            | 82.70%            | 90.00%              |
| 4     | 92.00%                    | 93.00%                   | $5.80 \times 10^6$                            | 83.50%            | 90.00%              |
| 5     | 95.90%                    | 91.00%                   | $4.20 \times 10^6$                            | 82.50%            | 90.00%              |
| 6     | 92.76%                    | 90.90%                   | $2.39 \times 10^6$                            | 61.74%            | 71.10%              |
| 7     | 84.80%                    | 93.54%                   | $11.20 \times 10^6$                           | 90.40%            | 71.00%              |
| 8     | 92.30%                    | 87.70%                   | $16.32 \times 10^6$                           | 64.90%            | 50.00%              |
| 9     | 92.00%                    | 98.48%                   | $2.11 \times 10^6$                            | 77.97%            | 80.73%              |
| 10    | 97.18%                    | 99.32%                   | $5.50 \times 10^6$                            | 75.28%            | 65.91%              |

| Mean ± S.D. |                        |                        |                        |                  |                  |
|-------------|-------------------------|-------------------------|-------------------------|-------------------|-------------------|
|             | 92.17% ± 3.53%          | 89.81% ± 6.96%          | $5.50 \times 10^6$ ± 4.69 \times 10^6 |                  |                  |

Table 3  Sterility, endotoxin and mycoplasma screening in each of the 10 CD133 CBMP lots produced under GMP conditions

| Lot # | Sterility | Endotoxin | Mycoplasma |
|-------|-----------|-----------|------------|
| 1     | Neg       | <0.24 EU  | Neg        |
| 2     | Neg       | <0.24 EU  | Neg        |
| 3     | Neg       | <0.24 EU  | Neg        |
| 4     | Neg       | <0.24 EU  | Neg        |
| 5     | Neg       | <0.24 EU  | Neg        |
| 6     | Neg       | <0.24 EU  | Neg        |
| 7     | Neg       | <0.24 EU  | Neg        |
| 8     | Neg       | <0.24 EU  | Neg        |
| 9     | Neg       | <0.24 EU  | ND         |
| 10    | Neg       | <0.24 EU  | ND         |

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Proof of principle of CB CD133 CBMP biological quality maintenance (2): Induction of angiogenesis in ischemic limbs

Previous studies have shown the ability of human CD34+ and CD133+ cells to induce myocardial repair following infarction in animal models of MI or injury [21–25]. Additionally, we and others have shown the pro-vasculogenic effect of CD34+ progenitor cells using hind limb ischemia models in mice [26–29]. In the present study the latter approach was used to demonstrate that GMP-produced CD133 CBMPs maintain a pro-vasculogenic potential and contribute to ischemic tissue repair, thus providing direct evidence that GMP production process of these cells preserves their biological activity.

The ability of CD133 CBMPs stored in X-VIVO and 7.5% HSA to improve recovery of limb perfusion was evaluated by LDPI and by capillaries and arterioles density determination. As shown in Fig. 5(A), LDPI imaging showed that in all animals blood flow was drastically reduced immediately after femoral artery dissection. In saline-injected animals, a progressive recovery was detected between day 7 and day 14 after femoral artery dissection. When...
CD133 CBMPs were injected in the ischemic limbs, a significant increase in perfusion ratio compared to saline-injected mice was observed at the same time-points. Morphometric analysis was performed on adductor muscle sections at day 14 after femoral artery dissection. Both the capillary and the arterioles density (Fig. 5B and C, respectively) were significantly increased by CD133 CBMP injection.

Translation of isolation procedures to BM samples for autologous transplantation in patients affected by myocardial ischemia: release quality control and in vitro proofs of principle

SOPs established to produce CB-derived CD133 CBMPs were translated to production of clinical grade CD133 CBMPs from BM of three patients undergoing a phase II clinical trial of CD133+ cells intramyocardial administration, which has been recently approved by Italian Regulatory Agency. This trial is currently being carried at Centro Cardiologico Monzino.

Translation of GMP procedures to obtain BM-derived CD133 CBMPs from CB was not immediate and required suitable validation runs. In fact, differences in the stem cells sources may cause (1) a different recovery of MNCs after Ficoll gradient centrifugation and (2) a different purity of yielded cells due to differences in the CD133 expression level in BM compared to CB stem cells. To address these issues we calculated the percentage of MNCs loss after CB and BM Ficoll gradient centrifugation. As shown in Table S1, a higher cellular loss was observed using BM compared to CB. The difference in MNCs recovery was not statistically significant and a high variability was observed between different samples. We also calculated the mean fluorescence
intensity (MFI) relative to CD133 expression in CB and BM stem cells by flow cytometry; Fig. S1 shows a relatively lower MFI in BM compared to CB stem cells; again comparison of the MFI values did not reveal a statistically significant difference. Finally, to assess whether lower MNCs recovery after Ficoll gradient centrifugation and CD133 expression affect the quality of the final products, we compared CB and BM CD133 CBMPs release quality parameters such as purity of CD133+ cells (mean ± S.D.: 73.52% ± 20.22% versus 77.06% ± 9.59%, BM versus CB; P > 0.05, Student’s t-test), recovery of nucleated cells after cliniMACS (mean ± S.D.: 5.70 × 10^6 ± 3.18 × 10^6 cells versus 5.50 × 10^6 ± 4.69 × 10^6 cells BM versus CB; P > 0.05, Student’s t-test) and post-isolation vitality (mean ± S.D.: 98.77% ± 1.02% versus 89.91% ± 6.96%, BM versus CB; P > 0.05, Student’s t-test). All these comparisons did not reveal statistically significant differences (see also Fig. 6, Tables 2 and 4), showing that translation of SOPs developed for CB CD133 CBMPs to GMP production of BM-derived CD133 CBMPs produced similar results to those obtained using CB.

Fig. 5 In vivo potency test of CB-derived CD133 CBMPs. (A) shows the increase in perfusion ratio in saline and CD133 CBMP-injected limbs. The LDPI imaging sequences show the increase in perfusion of the same saline-injected or CD133 CBMP-injected mice at the three times considered (T0, 7 and 14 days after removing the femoral artery). Plot shows the quantitative evaluation of the limb perfusion ratio in saline- (n = 8) and CD133 CBMP- (n = 11) injected mice at the three times considered. * indicates P < 0.05 by two way unpaired t-test. (B) shows the capillary density in ischemic limbs injected with saline solution (n = 13) and CD133 CBMPs (n = 16). Insets show the capillaries in injected adductor muscles. * indicates P < 0.05 by two way unpaired t-test. (C) shows the increase in the number of arterioles per histological section, as detected by smooth muscle actin staining in the same animals. Insets show the arterioles stained by a-actin antibody. * indicates P < 0.05 by two way unpaired t-test.

A second step was to provide the suitable proofs of principle that BM-derived CD133 CBMPs have a similar phenotype to that of CB-CD133+ cells. As an initial biological quality control test, patients-derived CD133+ cells first tested for the expression of KDR antigen, an important marker defining progenitors having an in vivo angiogenic activity [23, 28] (Fig. 7). The biological quality of BM CD133 CBMPs was then functionally assessed by in vitro tests that were performed according to the procedure established for CB-derived CD133+ shown in Fig. 3(A). In these experiments it was found that, analogous to CB, BM-derived CD133 CBMPs formed CFU-EC colonies (not shown). The endothelial-like phenotype of cells composing these colonies was confirmed by detection of the endothelial marker VWF by immunofluorescence (Fig. 8A) and by multicolour flow cytometry analysis, which revealed that these cells were CD45+ and expressed, although at a lesser extent, CD31+ and CD105+ endothelial cells markers (Fig. 8B and C). By contrast, the expression of CD144 and CD146 antigens, that are expressed in terminally differentiated endothelial cells, was expressed in a minority of cells (Fig. 8B and C). Cells were also assayed for expression of monocyte marker CD14, the pre-B/pre-T antigen CD48 and CD133 and KDR stem cell markers (Fig. S2). Finally, to functionally characterize the putative pro-angiogenic activity of BM-derived CD133 CBMPs, we tested the expression of angiogenic cytokines in CFU-EC conditioned media by multiplex analysis. Results showed that these cells expressed HGF, IL-8, PDGF-BB and VEGF cytokines (Fig. 8D).
Table 4 Translation of production procedures from CB to BM from three patients. QC testing showing the compliance to thresholds established during step 1 validation procedure using CB

| Test                        | Method                                      | Specification | Result | (Mean ± S.D.)               |
|-----------------------------|---------------------------------------------|---------------|--------|----------------------------|
| Purity                      | Flow cytometry (% of CD133<sup>+</sup>)       | ≥50%          | 51.31% | 90.87% 78.38%              |
| Viability after isolation   | Flow cytometry (propidium iodide staining)   | ≥70%          | 98.37% | 99.93% 98.02%              |
| Number of nucleated cells   | Automated/manual cell counting              | ≥10<sup>6</sup> | 4.46 × 10<sup>6</sup> | 9.31 × 10<sup>6</sup> | 3.32 × 10<sup>6</sup> | 5.70 × 10<sup>6</sup> ± 3.18 × 10<sup>6</sup> |
| Sterility                   | Sterility test (European Pharmacopeia)       | Negative      | Negative | Negative | Negative | Negative | - |
| Mycoplasma                  | Cultural test (European Pharmacopeia)        | Negative      | Negative | Negative | Negative | Negative | - |
| Endotoxin                   | Limulus amebocyte lysate test (European Pharmacopeia) | <0.5 EU/ml | <0.24 EU/ml | <0.24 EU/ml | <0.24 EU/ml | <0.24 EU/ml | - |

Fig. 6 Example of vitality and phenotype testing of CD133 CBMP from BM of one patient affected by chronic ischemic disease using four colour analysis by flow cytometry. These cells were injected into the ischemic heart of the same patient. Gating hierarchy and panels description is as in Fig. 2.
Discussion

Therapeutic use of EPCs has been suggested as a promising approach to induce neo-vascularization in ischemic diseases [50]. Despite a large number of preclinical reports describing marked EPCs beneficial effect on ischemic tissues perfusion, data obtained from meta-analyses of clinical trials have provided evidence of only a modest clinical benefit [4–7, 51]. So far, the reason for such a modest increase in heart function following stem/progenitor cells administration is not clear. In fact, it is still a matter of debate whether it may be related to a decreased biological function of cells obtained from patients with CVD risk factors (diabetes, hypercholesterolemia, hypertension) and/or to a low survival/engraftment of the cells due to a hostile microenvironment such as that present into the ischemic myocardium (discussed in [1]).

Different possible strategies to overcome the limited biological function of EPCs from individuals at risk have been suggested. A first option is provided by the possibility to use ex vivo manipulation strategies such as culture in the presence of factors that enhance ability of EPCs to migrate, proliferate/survive and adhere [52–54] (also discussed in [41, 55, 56]). A second possibility, not necessarily alternative to the previous, is the use of strategies that maximize CBMPs reproducibility, safety and efficacy [49].

From GMP compliance to proofs of principle: 'release' and 'potency' validation levels for standardization of CBMPs production process for cardiovascular therapy

Today, GMP compliance requirement is one of the major tasks in translational application of stem cells in cardiovascular disease. A central component of GMP is the establishment of standard operational procedures for clinical grade manufacturing of cells, ranging from the systematic checking and traceability of materials to microbiological and efficacy validation of final products. Guidelines

Fig. 7 Expression of VEGFR-2/KDR receptor in BM-derived CD133 obtained from patients. (A) and (B) show the cellular population that was gated in this analysis and its CD133 expression. (C) shows KDR expression in the CD133+ cells population gated in (C). (D) shows the markers quantification in three independent BM cells preparations. In (B) and (C), gating was established on the basis of negative staining in the presence of isotype control antibodies conjugated to the respective fluorochromes.
Fig. 8 In vitro testing of BM-derived CD133 CBMP biological potency. (A) shows immunofluorescence analysis of human vWF in CFU-ECs obtained from CD133 CBMPs. Left panel shows the control staining that was performed omitting the incubation with the primary antibody, while right panel shows the staining performed in the presence of primary and secondary antibody. (B) shows the expression of endothelial cell-specific markers in BM CD133 CBMPs-derived CFU-ECs by flow cytometry. Each panel indicates in the boxed areas the specific staining for each marker. Gating to calculate percentage of marker positive cells was calculated on negative control staining with isotype antibodies conjugated with the respective fluorochromes. The population that was considered in the marker analysis is indicated as ‘physical gate’ in the upper left panel. (C) shows the quantification of specific expression of each endothelial marker expressed in CFU-ECs. Results in the bar graph are the mean ± SE of three independent experiments. (D) shows quantification of angiogenic cytokines produced in the CFU-EC culture medium in a conditioning period of 24 hrs. The expression of cytokines was determined by Multiplex assay.
for GMP application in stem cell therapy have been established by the International Conference on Harmonization of Technical Requirements for Registration of Pharmaceuticals for Human Use (ICH). The European Community has adopted these guidelines to introduce GMP regulations in the EU Member States. According to the Directive 2006/86/EC ‘validation means establishing documented evidence that provides a high degree of assurance that a specific process, piece of equipment or environment will consistently produce a product meeting its predetermined specifications and quality attributes; a process is validated to evaluate the performance of a system with regard to its effectiveness based on intended use’.

From these considerations CBMPs certification for use in ischemic patients essentially requires a two-step validation program (Fig. 9).

**Step 1.** During a preliminary step of GMP compliant CBMP preparation procedure setup, tests are performed in order to check for sterility and absence of mycoplasma and endotoxin contamination and to set CBMPs purity/vitality thresholds. The execution of these experiments enabled us to establish criteria to ensure the reproducibility of the purification procedure based on the CliniMACS device (purity and vitality) and the safety of manipulation procedures in sterility controls (mycoplasma, endotoxin and sterility tests), to establish quality thresholds for the production process of CD133 CBMPs as well as the lot-to-lot consistency. They also led us to the important result of defining media (X-VIVO-15 or 7.5% HSA containing saline) for an optimal storage of the cells.

**Step 2.** A second but not less important validation step is the assessment of CBMPs biological properties. These studies demonstrate the CBMP potency (biological quality) in a suitable model *in vitro* or *in vivo*. The demonstration provided by step 2 is necessary to demonstrate that stem cells produced according to the GMP-compliant procedure maintain the intended biological activity which should be related to the expected clinical effect. While step 1 validation tests are necessary at every CBMP lot release, potency validation tests should not be repeated. In fact, once validated and suitably performed without amendments, the procedure used for CBMPs preparation is recognized itself as a compliant procedure to meet requirements needed for CBMP human use. The experiments performed during this second phase in the present study showed that the isolation procedure followed by storage and transport under optimal conditions, allowed to maintain a biological potency of CD133 cells similar to that supposed for native cells (angiogenic potential) anticipated in several preclinical studies.

**Release and potency validation steps of BM CD133 CBMPs for cardiovascular repair**

The use of CD133 CBMPs in patients affected by myocardial ischemia required translation to BM samples of isolation procedures set using CB. Validation of procedures translated from CB to BM firstly required appropriate testing in order to ensure that product specification and minimal threshold parameters established during CB isolation runs were met. This testing led us to establish minimal starting quality thresholds (Table 5) such as (i) a vitality higher than 90%, (ii) a starting number of mononuclear...
cells higher than $5 \times 10^9$, (iii) a total number of CD133$^+$ cells higher than $15 \times 10^9$ and (iv) execution of CD133$^+$ cells purification process within 24 hrs after BM collection.

We then considered possible major deviations that may arise from the different cellular composition of BM compared to CB and possible differences in the expression levels of the CD133 stem cell marker, which may affect recovery and purity of the final cellular product. Comparison of MNCs loss by Ficoll centrifugation and the analysis of MFI relative to CD133 expression in CB and BM cells did not reveal statistically significant differences even if, as shown in Fig. S1 and Table S1, for both parameters we noticed a possible deviation that might reach statistically significance by increasing the experimental replicates. On the other hand, it has to be noted that purity, number of MNCs recovered from cliMACSTM and vitality of CD133$^+$ cells did not show the same trend, suggesting that translation of isolation procedures from CB to BM was feasible with a comparable efficiency. In this respect, the adoption of improved strategies to minimize cellular loss during Ficoll centrifugation is under study.

A final step required to assess the feasibility of BM-derived CD133 CBMPs GMP production was to demonstrate the biological potency of these cells by providing suitable proofs of principle. Due to the limited amounts of BM that we could use for this purpose, we performed only in vitro tests aimed at demonstrating the phenotype and the potential pro-angiogenic function of these cells. These tests showed the endothelial-like phenotype of CFU-ECs grown from these cells in culture and, in line with existing literature, the mixed endothelial/monocytic/myeloid phenotype of these cells [15, 16, 57–61]. Furthermore, these cells produced, although at different levels, hepatocyte growth factor (HGF), IL-8, platelet-derived growth factor-BB (PDGF-BB) and vascular endothelial growth factor (VEGF) cytokines. Altogether, these data suggest that BM-derived CD133 CBMPs possess a pro-angiogenic paracrine activity.

In summary, our work shows that GMP implementation of currently available protocols to produce CD133$^+$ stem cells for cardiovascular repair is feasible and that it maintains the innate angiogenic properties of these cells. This work represents the first example of protocol standardization that goes in the still unexplored direction of optimizing quality and enhancing reproducibility of cellular products application into patients affected by ischemic heart disease.

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**Supporting Information**

Additional Supporting Information may be found in the online version of this article:

**Table S1** Comparison between the MNC loss using CB and BM samples. Unpaired t-test was used to calculate statistical significance.

**Fig. S1** Comparison between the CD133 MFI in CB- and BM-derived CD133 CBMP cells, as evaluated by flow cytometry. (A) shows the histogram plots of CD133 fluorescence spectrum in CB-derived (upper panel) and BM-derived (lower panel) CD133 CBMPs. Dotted lines indicate the putative mean fluorescence in the two histograms. (B) shows quantification of CD133 MFI in CB ($n = 10$) and BM ($n = 3$) CD133 CBMPs. It is evident the lower MFI in BM-derived CD133 CBMPs. The difference did not reach statistical significance (unpaired Student’s t-test; $P = 0.06; n = 10$ for CB and $n = 3$ for BM).
Fig. S2 Expression of myeloid (CD14), pre-B/Pre-T cells (CD48), and endothelial progenitor cells (CD133, KDR) markers in CFU-ECs obtained from CD133 CBMPs in culture as assessed by flow cytometry analysis.

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