The role of momentum-dark excitons in the elementary optical response of bilayer WSe$_2$

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Monolayer transition metal dichalcogenides (TMDs) undergo substantial changes in the single-particle band structure and excitonic optical response upon the addition of just one layer. As opposed to the single-layer limit, the bandgap of bilayer (BL) TMD semiconductors is indirect which results in reduced photoluminescence with richly structured spectra that have eluded a detailed understanding to date. Here, we provide a closed interpretation of cryogenic emission from BL WSe$_2$ as a representative material for the wider class of TMD semiconductors. By combining theoretical calculations with comprehensive spectroscopy experiments, we identify the crucial role of momentum-indirect excitons for the understanding of BL TMD emission. Our results shed light on the origin of quantum dot formation in BL crystals and will facilitate further advances directed at opto-electronic applications of layered TMD semiconductors in van der Waals heterostructures and devices.
Semiconductor TMDs exhibit remarkable properties in the monolayer (ML) limit, including a direct bandgap at the K and K’ points of the hexagonal Brillouin zone (BZ) with unique spin and valley physics of value for novel opto-valleytronic applications. In addition to bright excitons, composed of electrons and unoccupied states in K (or K’) valleys with collinear out-of-plane spin projections, tungsten-based MLs feature lowest-lying spin-dark excitons as combinations of K (or K’) states of opposite spin. The realm of both momentum-direct excitons is expanded by the notion of momentum-indirect excitons involving electrons and unoccupied states in different valleys or potential utilization of the spin-layer locking effect in charged BLs.

In BL TMDs, the single-particle bandgap is indirect because of a downshift of the conduction band (CB) energy at Q well below K and an upshift of the valence band (VB) edge at the Γ point upon the addition of a second layer. In the specific case of BL WS2 crystals, the lowest CB minimum is located at Q, while the VB maximum at K exceeds the one at Γ only by 40 ± 30 meV according to angle-resolved photoemission spectroscopy. The associated photoluminescence (PL) spectra are thus dominated by momentum-indirect transitions interconnecting CB electrons and empty VB states in dissimilar valleys. The BL emission is consistently less efficient, with PL from short-lived direct excitons redshifted by a few tens of meV from the ML peak emission, and a second peak with larger redshift and longer lifetimes attributed to momentum-indirect excitons composed of electrons in the K or Q valleys and unoccupied states in the K or Γ valleys. A detailed understanding of both peaks, however, has remained elusive despite the significance of BL TMDs as hosts of novel single-photon sources, finite valley polarization, or potential utilization of the spin-layer locking effect in charged BLs.

Here, we present a comprehensive study of exciton manifolds in BL WS2 carried out both in experiment and theory. Using cryogenic spectroscopy of BL regions subjected to strain at local folds, we identify brightening of momentum-indirect excitons that in some cases is accompanied by the formation of quantum dots (QDs) with intense emission of non-classical light. Complementary experiments reveal the energy-level hierarchy of all excitons involved in determining the optical response of BL WS2. These findings, in good quantitative agreement with theoretical calculations, not only explain the intricate details of the BL PL spectra and the origin of the QD PL, they can be also generalized to other representatives of TMD materials to facilitate a detailed understanding of opto-electronic properties of BL and multilayer semiconductors.

Results
Photoluminescence spectroscopy of bilayer WS2. Cryogenic spectroscopy of ML and BL WS2 was carried out on a flake shown in Fig. 1a obtained by standard exfoliation onto an Si/SiO2 substrate (see Supplementary Notes 1 and 2 for details). Extended ML and BL regions (marked with arrows) were identified by their respective contrast in the optical micrograph of Fig. 1a and by Raman spectroscopy. The dashed square indicates the region of the cryogenic hyperspectral raster-scan PL map recorded with a home-built confocal microscope. The false-color map in Fig. 1b shows PL peak maxima in the spectral range of 1.43–1.59 eV, highlighting extended homogeneous regions of bright ML and dim BL luminescence, as well as distinct BL regions of unintentional disorder with PL brightening due to local strain.

A characteristic PL spectrum of BL WS2 on SiO2, recorded at 4.2 K on a representative position away from disorder, is shown in Fig. 1c (see Supplementary Fig. 1 for spectra from other BL WS2 samples). The PL exhibits a weak peak around 1.71 eV and a stronger peak around 1.55 eV consistent with previous PL studies of BL WS2. Based on comprehensive experiments described in the following, we develop a model to interpret the PL of WS2 BLs as originating from both momentum-direct and momentum-indirect excitons with energy positions indicated by the dashed lines in Fig. 1c.

Excitons in bilayer WS2. To identify all relevant excitons that contribute to cryogenic PL and to interpret the model fit to the lower-energy PL peak shown as the red solid line in Fig. 1c, it is instructive to consider first the single-particle band structure of BL WS2 in Fig. 2a and the associated exciton dispersions plotted in Fig. 2b. The relevant states for the construction of excitons (indicated by ellipses in Fig. 2a) with an empty state located at the VB maximum in the K or Γ valley are the spin-polarized sub-band minima near K, Q, and K’ valleys of the CB, with out-of-plane spin projections indicated by the arrows. We take the spin degenerate VB maximum at Γ to be 40 meV below the energy of
with the unoccupied states in Γ and K valleys, respectively. We emphasize that although we use a spin-tagged notation for Q-momentum excitons consistent with the schematics in Fig. 2a, there are energetically degenerate excitons composed of electrons from the other three inequivalent Q pockets with opposite spin.

Out of this set of excitons, spin-bright X states emit PL along the detection axis of our microscope, and the PL from spin-dark D excitons with in-plane emission is detected due to the high numerical aperture of the objective as well. In contrast, all momentum-indirect excitons appear exclusively as phonon replicas of their optically dark zero-phonon line (ZPL) as they emit photons only with the assistance of acoustic or optical phonons. With this constraint in mind, we note that the higher-energy peak of the BL spectrum in Fig. 1c is dominated by the ZPL of X (in accord with the onset of differential reflectivity, shown in Supplementary Fig. 1a) with a weak contribution from D to the red wing, while the lower-energy PL peak is a superposition of phonon sidebands of momentum-dark excitons Q, K, and K′.

Postponing a detailed explanation for the energy ladder of all relevant exciton states indicated by the dashed lines in Fig. 1c, we first discuss the model fit of the lower-energy peak in the BL spectrum. For the decomposition of the peak (red solid line in Fig. 1c) into the PL contributions from Q, K, and K′, we set the energy positions of the respective dark ZPLs to the experimentally determined values and modeled the phonon replicas by inhomogeneously broadened Gaussians with a full-width at half-maximum linewidth γ. For simplicity, we involved only one branch of acoustic and optical phonons (the longitudinal acoustic and optical phonon branch) with energies given in ref. 52 (see Supplementary Table 1 for phonon energies and Supplementary Note 4 for details of the fit procedure). Best fit to the spectrum was obtained with the inhomogeneous linewidth γ = 21 meV. The inclusion of up to sixth-order scattering processes was required to reproduce the extended low-energy tail of the spectrum.

At the level of theory, the energetic ordering of Q, K, and K′ states is ambiguous given the small difference of 2 meV in the energy minima of the two branches (Fig. 2b). However, complementary spectroscopy experiments on strained BL regions and QDs discussed in the following remove this ambiguity and establish the energy scale hierarchy for all excitons responsible for the elementary optical response of BL WSe2 with Q, K′ as the lowest-energy exciton branch, followed by Q, K, K′, degenerate D and K, K′ states, and degenerate X and K′ K manifolds.

Effects of strain and local disorder. The first input to the experimental determination of the exciton energies is provided by the PL spectroscopy of QDs distributed randomly along the lines of disorder as in Fig. 1b. BL QDs, with intense and spectrally narrow PL emission as in Fig. 3a, emerge as a result of local strain42,43. Akin to ML QDs42,53–58, the QDs in disordered BL regions were characterized by strong antibunching signatures in the second-order correlation function g(2)(τ) of their PL emission42,43, as demonstrated exemplarily by the inset data of Fig. 3a recorded on a different QD with a dip of 0.2 at τ = 0 and an exponential rise to 1 on a timescale of ∼10 ns. By plotting the PL intensity as a function of the respective energy maximum for all QDs of the hyperspectral map of Fig. 1b, we identify a sharp cutoff to the QD emission energy at 1.584 eV (indicated by the leftmost dashed line in Fig. 3b), which we assign to the state Q1Γ (see Supplementary Note 5 and Supplementary Fig. 2 for assignment).

The energy position of the next higher-energy momentum-dark state is revealed by the PL spectroscopy of strained BL
regions. The PL spectrum on a strained position features characteristic blue and redshifts of a few meV for the upper and lower PL peaks (compare red and brown traces in Fig. 3a) consistent with ~0.1% of tensile strain which lowers (raises) the CB energy minimum at K (Q)\textsuperscript{39}. In addition, a shoulder at 1.615 eV, indicated by the dashed line in Fig. 3a, becomes apparent due to strain-induced brightening of this momentum-dark transition\textsuperscript{60}. The energy position of this shoulder reappears as a resonance in the photoluminescence excitation (PLE) spectrum of the quantum dot and strained bilayer emission in \textit{a} (orange and red open circles, respectively). The dashed lines mark the energy positions of the relevant exciton states

![Fig. 3](image)

**Fig. 3** Effects of strain and localization on the photoluminescence of bilayer WSe\textsubscript{2}. \textit{a} Photoluminescence from a strained bilayer region without (red) and with (orange) spectrally narrow and intense quantum dot (QD) emission recorded at a factor of 1000 lower-excitation power. The bilayer spectrum away from strained regions (brown) is shown for reference. Note the strain-induced emergence of the shoulder at 1.615 eV labeled as Q\textsubscript{y}K. Inset: Typical second-order coherence of a single quantum dot with pronounced antibunching on ~10 ns timescale. \textit{b} Distribution of quantum dot intensities as a function of their peak emission energies (filled circles, extracted from the map of Fig. 1b), and photoluminescence excitation spectra of the quantum dot and strained bilayer emission in \textit{a} (orange and red open circles, respectively). The dashed lines mark the energy positions of the relevant exciton states

The third successive energy level of momentum-dark states, identified at 1.624 eV by the resonance and the shoulder of the QD and strained BL PLE spectra of Fig. 3b, respectively, is ascribed to K\textsubscript{y}Γ. With this energy, the experimental values of the three lowest-energy momentum-dark exciton states can now be hierarchically ordered with respect to the energy of the bright exciton X at 1.710 eV deduced from the peaks of both PLE spectra of Fig. 3b and from PL in Fig. 1c (and differential reflectivity shown in Supplementary Fig. 1a). Referencing all energies to that of X, we note first that the lowest momentum-forbidden state Q\textsubscript{y}Γ is redshifted by 126 meV instead of the calculated value of 163 meV, while the second lowest state Q\textsubscript{y}K exhibits a redshift of 95 meV instead of 161 meV expected from theory. Provided that the effective masses used in the calculations of exciton energies were correct, these quantitative discrepancies between theory and experiment convert into an upshift of the CB minimum at the Q valley by 66 meV and a downshift of the VB at the Γ point by 29 meV. Given the uncertainties in band structure calculations\textsuperscript{45,46} and angle-resolved photoemission\textsuperscript{13} used to calculate the exciton dispersion minima, these corrections of a few tens of meV seem reasonable.

Finally, with the energies of X and K\textsubscript{y}Γ at hand, we estimate the energies of D and K\textsubscript{x}Γ in Fig. 3b by using the respective spin–orbit splittings of 51 and 57 meV from Fig. 2b. While the energy level of K\textsubscript{x}Γ has no compelling signature in the PLE spectra of Fig. 3b, the D state coincides with a clearly pronounced shoulder in the PLE spectrum of the QD. To complete the energetic ordering of all lowest-lying excitons in BL WSe\textsubscript{2}, the states K\textsubscript{y}K and K\textsubscript{y}Γ are placed in resonance with D and X by omitting electron–hole exchange.

Field-effect control of doping in bilayer WSe\textsubscript{2} embedded in hexagonal boron nitride. We tested this set of exciton energies obtained from the analysis of PL signatures of BL WSe\textsubscript{2} on SiO\textsubscript{2} in Fig. 1c on narrow spectra of a gate-tunable WSe\textsubscript{2} bilayer encapsulated in hexagonal boron nitride (hBN). Figure 4a shows the normalized PL spectrum (brown) under charge neutrality conditions with the corresponding model fit. Remarkably, the intricate spectral features of the spectrum can be reproduced with
the set of exciton energies established above with an overall redshift of 2 meV. The spectrally narrow inhomogeneous line-widths down to ∼8 meV required a refined model fit with all phonon modes included and variations of ±2 meV around the values calculated for ML WSe2 in ref. 52 (see Supplementary Note 4 for details of the fit procedure).

Including first-order scattering from $K_\Gamma$ and $Q_\Gamma$ exciton manifolds, and up to second-order scattering from $Q_\Gamma$, our model identifies the blue-most peak at ∼1.6 eV out of the three strong peaks in the spectrum of Fig. 4a in the range 1.61–1.57 eV as optical and acoustic phonon replica of $K_\Gamma$ and $Q_\Gamma$ respectively, the central peak as an optical sideband of $Q_\Gamma$, and the red-most peak as an acoustic sideband of $Q_\Gamma$. The consecutive peak toward lower energies around 1.56 eV is an optical sideband of $Q_\Gamma$ that merges with the extended red wing composed of higher-order phonon replica from all the above-mentioned momentum-dark states.

Interestingly, the ambiguity with respect to the origin of the blue-most peak as being composed of acoustic or optical sidebands of $K_\Gamma$ and $Q_\Gamma$ momentum-dark states is removed by the observation of a simultaneous shift of all three peaks upon negative doping at gate voltages below −20 V in Fig. 4b. At this voltage, finite electron population of the Q pockets favors the formation of BL trions with electrons forced to reside in different Q valleys by the Pauli exclusion principle. Thus, all sideband replica associated with $Q_\Gamma$ and $Q_\Gamma$ sates are expected to shift simultaneously. This is exactly what we observe upon negative doping with a redshift by the trion binding energy of ∼22 meV for all three peaks. The shift of the blue-most peak implies that it originates from the $Q_\Gamma$ rather than the $K_\Gamma$ state, as the latter is insensitive to the increasing doping level at Q. Data at higher doping levels and with better signal-to-noise ratio have not been recorded prior to a fatal breakdown of the device and thus a more detailed analysis of charge control of BL WSe2 PL must be postponed to future work.

Quantum dots in bilayer WSe2. The notion of momentum-dark exciton states provides a new perspective on the origin of QDs in ML42,53–58 and BL42,43 TMDs. In addition to spectrally narrow and bright PL with antibunched photon emission statistics discussed above, BL QDs share all main signatures of localized excitons with ML QDs. In high-resolution micro-PL spectroscopy, they exhibit a doublet of states with orthogonal linear polarization (Fig. 5a, b), which evolves into a pair of circularly polarized Zeeman-split peaks with increasing magnetic field (Fig. 5a, c). The dispersion of the Zeeman splitting $\Delta$ between the blue and red QD branches with out-of-plane magnetic field $B$ according to the hyperbolic function $\Delta = \sqrt{(g\mu_B B)^2 + \Delta_0^2}$ (solid line in Fig. 5c) is a hallmark of QDs with anisotropic fine-structure splitting $\Delta_0$. At large enough fields, the linear asymptote of the Zeeman splitting is determined by the exciton $g$-factor scaled by the Bohr magneton $\mu_B$.

By applying this analysis to ten randomly selected QDs on strained BL positions, we extracted $g$ and $\Delta_0$ from the hyperbolic fit to the Zeeman splitting as for the QD of Fig. 5a, c with $g = 9.5 \pm 0.1$ and $\Delta_0 = 500 \pm 10$ μeV. Remarkably, as evidenced from Fig. 5d, the $g$-factor of all ten QDs shows only minor variations around the average value of 9.5 independent of the QD PL energy and despite the spread in the fine-structure splittings in the range of ∼400–900 μeV42,43,53–56,58. This observation suggests that QD excitons relate to momentum-dark excitons that inherit their $g$-factor from the delocalized continuum state (i.e., $Q_\Gamma$ in the case of BL WSe2) and exhibit significant brightening due to their spread in momentum space upon spatial localization. This picture is further supported by the sharp cutoff to the emission energy of BL QDs at the energy of $Q_\Gamma$ momentum-dark excitons in Fig. 3b as well as in previous studies42,43.

For QDs in ML WSe2 with similarly sharp cutoff energies at ∼20–25 meV below the bright state $X$42,43,53–56 and surprisingly large $g$-factors in the range of 6–1242,43,53–56, this insight suggests the presence of a momentum-dark reservoir with energy in between the bright and dark ML excitons $X$ and $D$ as discussed in ref. 58. In ML MoSe2 void of momentum-dark states below the bright exciton, on the other hand, no cutoff energy to the QD emission was observed and similar values for the $g$-factors of QD excitons and the bright exciton $X$ were found57. To leverage this speculation, theoretical calculations of exciton $g$-factors are required for all excitons constructed from CB electrons and VB unoccupied states in valleys other than K.

Data availability. The data that support the findings of this study are available from the corresponding author on reasonable request.

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**Author contributions**

J.L. and A.H. conceived the experiment. J.F., V.F., M.F., J.K., and F.W. prepared the samples. J.L., A.N., J.F., and V.F. performed the measurements. J.L., A.N., L.C., and A.H. analyzed the data. M.S., G.B., and E.M. performed theoretical calculations. J.L. and A.H. prepared the figures and wrote the manuscript. All authors commented on the manuscript.

**Additional information**

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