Protective Immunity against Experimental Pulmonary Cryptococcosis in T Cell-Depleted Mice

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Individuals with defects in T cell-mediated immunity (CMI) are highly susceptible to infection with Cryptococcus neoformans. The purpose of these studies was to determine if protection against experimental pulmonary cryptococcosis can be generated in T cell-deficient hosts. BALB/c mice were depleted of CD4⁺ and/or CD8⁺ T cells or given an isotype control antibody prior to vaccination with a C. neoformans strain, designated H99γ, previously shown to induce protection against C. neoformans infection in immunocompetent mice. Mice depleted of CD4⁺ or CD8⁺ T cells, but not both subsets, survived an acute pulmonary infection with C. neoformans strain H99. We observed a significant increase in the percentage of CD4⁺ and CD8⁺ T cells expressing the activation marker CD69 in the lungs of mice immunized with C. neoformans strain H99γ prior to a secondary challenge with wild-type C. neoformans. CD4⁺ T cells within the lungs of immunized mice also appeared to acquire a predominantly activated effector memory cell phenotype (CD69⁺ CD44⁺ CCR7⁻ CD45RB⁻ CD62L⁻) following a second pulmonary challenge with wild-type C. neoformans, compared to CD4⁺ T cells from naive mice. Lastly, immunization of immunocompetent mice with C. neoformans strain H99γ prior to depletion of CD4⁺ and/or CD8⁺ T cells resulted in significant protection against a second challenge with wild-type C. neoformans. Our studies demonstrate that protective immunity against pulmonary cryptococcosis can be generated in immunosuppressed hosts, thus supporting the development of cryptococcal vaccines.

Cryptococcus neoformans, the causative agent of cryptococcosis, is an opportunistic fungal pathogen that primarily causes life-threatening meningoencephalitis in individuals with impaired T cell function (26). Global estimates suggest that one million cases of cryptococcal meningitis occur each year resulting in 620,000 deaths within 3 months of infection (28). Furthermore, one-third of patients with cryptococcal meningitis who receive appropriate therapy go on to experience mycelial and/or clinical failure (30, 34). The clinical relevance of disseminated cryptococcal disease is projected to result in an increasing population of immunocompromised patients (i.e., HIV-infected individuals [3, 9, 11, 12, 21], individuals receiving corticosteroid therapy [8, 10, 14], individuals with lymphoproliferative disorders [4, 13, 20, 22], and organ transplant recipients [19]). Therefore, there remains an urgent need for the development of new immunotherapies, antifungal drugs, and/or vaccines to combat C. neoformans infections.

Currently, there are no standardized vaccines available for the prevention of fungal diseases in humans. The induction of T helper 1 (Th1)-type cell-mediated immunity (CMI) is critical for optimal protection against primary and opportunistic fungal pathogens (5). CD4⁺ and CD8⁺ T cell subsets are each important for the elimination of fungal pathogens, although the necessity for CD4⁺ T cells appears to be greater. Consequently, it may seem counterintuitive to suggest that vaccination regimens designed to prevent fungal infections in patients with T cell deficiencies is possible. However, studies using experimental models of Blastomyces dermatitidis and Histoplasma capsulatum, two invasive fungal pathogens, indicated that immunodeficient hosts can develop protective immune responses following vaccination against these two fungal pathogens (39). Previous studies from our laboratory have demonstrated that mice given a primary infection with a C. neoformans strain engineered to express gamma interferon (IFN-γ) developed Th1-type cell-mediated immune responses resulting in the resolution of infection and protection against a secondary infection with a fully pathogenic C. neoformans strain (37, 38). The goal of the present study was to evaluate the generation of protective immunity against C. neoformans infection in mice depleted of CD4⁺ and/or CD8⁺ T cells prior to or following immunization with C. neoformans strain H99γ. Altogether, our results support the feasibility of developing vaccines to combat C. neoformans infection in patients with severe immunodeficiencies.

MATERIALS AND METHODS

Mice. Female BALB/c (H-2b) mice (National Cancer Institute/Charles River Laboratories) with an average weight of 20 to 25 g were used throughout these studies. Mice were housed at The University of Texas at San Antonio Small Animal Laboratory Vivarium and handled according to guidelines approved by The University of Texas at San Antonio Institutional Animal Care and Use Committee.

Strains and media. C. neoformans strains H99 (serotype A, Mal) and H99γ, an IFN-γ-producing strain derived from H99 (37), were recovered from 15% glycerol stocks stored at −80°C prior to use in the experiments described here. The strains were maintained on yeast extract-peptone-dextrose (YPD) medium (1% yeast extract, 2% peptone, 2% dextrose, 2% Bacto agar). Yeast cells were

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grown for 18 to 20 h at 30°C with shaking in YPD broth (Becton Dickinson and Company, Sparks, MD), collected by centrifugation, and washed three times with sterile phosphate-buffered saline (PBS), and viable yeast cells were quantified using trypan blue dye exclusion in a hemacytometer.

**Pulmonary infections.** Pulmonary *C. neoformans* infections were initiated by nasal inhalation as previously described (38). Briefly, BALB/c mice were anesthetized with 2% isoflurane using a rodent anesthesia device (Eagle Eye Anesthesia, Jacksonville, FL), given a yeast inoculum of 1 × 10⁵ CFU of either *C. neoformans* strain H99 or heat-killed *C. neoformans* strain H99 (HK C. neoformans) in 50 μl of sterile PBS, and allowed 70 days to resolve the infection. Subsequently, the immunized mice received a second experimental pulmonary inoculation with 1 × 10⁴ CFU of wild-type *C. neoformans* strain H99 in 50 μl of sterile PBS. The inocula used for immunizations and challenge were verified by quantitative culture on YPD agar. The mice were fed ad libitum and monitored by inspection twice daily. Mice were euthanized on predetermined days postinoculation, and lung tissues were excised using an aseptic technique. Tissues were homogenized in 1 ml of sterile PBS, followed by culture of 10-fold dilutions of each tissue on YPD agar supplemented with chloramphenicol (Mediatech, Inc., Herndon, VA). CFU were enumerated following incubation at 30°C for 48 h. Alternatively, mice intended for survival analysis were monitored by inspection twice daily and euthanized if they appeared to be in pain or moribund. Mice were euthanized using CO₂ inhalation.

**Pulmonary leukocyte isolation.** Lungs were excised at specific time points postinoculation and digested enzymatically at 37°C for 30 min in 10 ml of digestion buffer (RPMI 1640 and 1 mg/ml of collagenase type IV [Sigma-Aldrich, St. Louis, MO]) with intermittent (every 10 min) stomacher homogenizations. The enzymatically digested tissues were then successively filtered through sterile nylon screens with various pore sizes (70 and 40 μm; BD Biosciences) and washed with sterile Hanks balanced salt solution to enrich for leukocytes. Erythrocytes were lysed by incubation in NH₄Cl buffer (0.859% NH₄Cl, 0.1% KHCO₃, 0.0372% Na₂EDTA [pH 7.4]; Sigma-Aldrich) for 3 min in ice, followed by the addition of a 10-fold excess of PBS. The resulting leukocyte population was then collected by centrifugation (800 × g) for 5 min, washed twice with sterile PBS, resuspended in sterile PBS plus 2% heat-inactivated fetal bovine serum (FACS buffer), and enumerated in a hemacytometer using trypan blue dye exclusion. Flow cytometric analysis was used to determine the percentage of each leukocyte (CD45⁺) population within the lung cell suspension for standardization of hemacytometer counts.

**T cell depletion.** Mice were depleted of CD4⁺ and/or CD8⁺ T cell subsets via intraperitoneal administration of anti-CD4 (GK1.5, rat IgG2b) and anti-CD8a (2.43, rat IgG2b) antibodies (each from National Cell Culture Center, Minneapolis, MN). Each mouse received 200 μg of GK1.5 and/or 2.43 control rat IgG2b (eBioscience Inc., San Diego, CA) antibodies in a volume of 200 μl PBS 48 h prior to infection and weekly thereafter during the observation period. The efficiency of T cell depletion in the lungs and spleens was assessed by flow cytometric analysis using anti-CD4 and anti-CD8 antibodies that bind epitopes of the CD4 and CD8 protein at locations distinct from GK1.5 and 2.43 (data not shown). Efficiency was determined to be >98% at each anatomic location for each depletion via comparison of T cell subsets in treated mice with those in controls.

**Antibodies.** For flow cytometry experiments, cells were incubated with CD16/CD32 (Fc Block; BD Biosciences, San Diego, CA) and the following antibodies conjugated to phycoerythrin (PE), allophycocyanin, Alexa 647, or PE-Cy7 were added: CD3, CD4, CD8a, CD45 (all from BD Biosciences), CD25, CD69, CD44, CD62L, CD45RB, and CCR7 (all from eBioscience Inc., San Diego, CA).

**Flow cytometry.** Standard methodology was employed for the direct immuno-fluorescence of pulmonary leukocytes. Briefly, in 96-well U-bottom plates, 100 μl containing 1 × 10⁶ leukocyte-enriched lung cells in FACS buffer were incubated with 50 μl of Fc Block (BD Pharmeden) diluted in FACS buffer for 5 min to block the nonspecific binding of antibodies to cellular Fc receptors. Subsequently, an optimal concentration of fluorochrome-conjugated antibodies (0.06 to 0.5 μg/1 × 10⁶ cells in 50 μl of FACS buffer) was added in various combinations to allow for dual- or triple-staining experiments and plates were incubated for 30 min on ice. Following incubation, the cells were washed three times with FACS buffer and cells were fixed in 200 μl of 2% paraformaldehyde (Polysciences, Inc., Warrington, PA). Cells incubated with either FACS buffer alone or single fluorochrome-conjugated antibodies were used to determine the calculations used for positive staining and spillover/compensation, and the flow cytometer determined background fluorescence. The samples were analyzed using BD FACSArray software on a BD FACSArray flow cytometer (BD Biosciences). Dead cells were excluded on the basis of forward angle and 90° light scatter. For data analyses, 30,000 events (cells) were evaluated from a predominately leukocytic population identified by backgating from CD45⁺-stained cells.
pulmonary immunization with C. neoformans strain H99γ. Separate immunocompetent untreated mice were concurrently given an intranasal immunization with HK C. neoformans as a control. The antibody treatment continued until day 70 post-inoculation, at which time all mice received a second pulmonary challenge with wild-type C. neoformans strain H99. Figure 1B shows that mice depleted of either CD4+ or CD8+ T cells during the afferent phase of vaccination were capable of mounting a protective response against a secondary challenge with wild-type C. neoformans. Cultures of lung and brain tissues of the challenged mice did not have detectable viable cryptococci at the termination of the survival experiment (day 80). Mice immunized with HK C. neoformans succumbed to a challenge with wild-type C. neoformans strain H99, with a median survival time of 25 days (Fig. 1B), similar to previous observations (37, 38). These results support the putative efficacy of clinically safe anticytotoxic vaccines given to immunocompromised patients (i.e., HIV-infected individuals) to induce protective anamnestic responses against a subsequent C. neoformans infection following immune reconstitution of the patient (i.e., effective highly active antiretroviral therapy). These vaccines could, theoretically, further reduce the need for life-long maintenance antifungal therapy for patients who are successfully treated for AIDS-associated cryptococcal meningitis due to a high relapse rate (2, 35).

We subsequently phenotyped T cell activation and memory statuses in the lungs of naive mice and mice immunized with C. neoformans strain H99γ and then challenged with wild-type cryptococci. To determine the T cell activation and memory profile (central or effector) during the protective immune response, BALB/c mice were given an intranasal immunization with C. neoformans strain H99γ or HK C. neoformans and allowed 70 days to resolve the infection. Immunized animals were subsequently challenged with sterile PBS (mock treatment) or wild-type C. neoformans strain H99, and the expression of various cell surface markers associated with an activated or memory phenotype (CD25, CD69, CD44, CD62L, CD45RB, and CCR7) was used to determine the profile of CD4+ and CD8+ T cells in the lungs 72 h after a secondary infection by flow cytometry. Naïve mice served as a control for the flow cytometry experiments. We observed a significant increase in the percentage of CD4+ T cells in the lungs of mice immunized with C. neoformans strain H99γ on day 3 after a secondary challenge compared to naïve mice (Fig. 2A). Furthermore, the percentage of CD69+ CD4+ T cells was significantly increased in immunized mock-infected mice and immunized mice on day 3 after a secondary challenge with wild-type C. neoformans compared to that in naïve mice (Fig. 2B). Although we did not observe a significant difference in the percentage of CD8+ T cells in the lungs of immunized mice prior to or after challenge with wild-type cryptococci from that in naïve mice (Fig. 2A), we did observe a significant increase in the percentage of CD69+ CD8+ T cells within the lungs of immunized mice on day 3 after a secondary infection (Fig. 2C). No differences in the percentage of CD25+ CD4+ (Fig. 2B) or CD25+ CD8+ (Fig. 2C) T cells were detected in any of the groups tested during our studies. Generally, CD4+ T cells within the lungs of C. neoformans strain H99γ-immunized mice on day 3 after a secondary challenge were predominantly CD44+ (Fig. 3A), CCR7+ (Fig. 3E), and CD62L− (Fig. 3E) and expressed significantly less CD45RB (Fig. 3A) than did CD4+ T cells from naïve mice. We also observed a significant decrease in the percentage of CD45RB+ CD4+ T cells in the lungs of immunized mock-infected mice compared to that of CD4+ T cells from naïve mice (Fig. 3A). No differences in the memory cell phenotype was observed between the CD8+ T cell populations derived from the lungs of naïve, immunized mock-infected, and immunized rechallenged mice (Fig. 3). Taken together, the results suggest that the profile of CD4+ T cells within the lungs of C. neoformans strain H99γ-immunized mice on day 3 after a secondary challenge is indicative of an activated effector memory cell phenotype (1, 31). A high percentage of CD69+ CD4+ T cells appeared to be maintained within the lungs of C. neoformans strain H99γ-immunized mice and slightly increased following a second cryptococcal challenge. These results are similar to observations by Lindell et al. showing high CD69 expression on CD4+, but not CD8+, T cells at 12 weeks after C. neoformans infection (23). We additionally show that rechallenge of H99γ-immunized mice with fully virulent cryptococci results in a significant increase in the percentage of CD69+ CD8+ T cells. Importantly, mice immunized with the transgenic IFN-γ-producing strain went on to resolve the primary infection and were not transiently infected at the time of rechallenge. Engagement of CD69 has been shown to augment T cell proliferation, proinflammatory cytokine production, and increased cytotoxic activity of lymphoid cells (27, 32). Also, effector cells migrating to the lungs are characterized by stable CD44 expression, low CD45RB expression, loss of
CD62L, and downregulation of CCR7 (1, 29), the phenotype observed in lung CD4+ T cells derived from H99γ-immunized mice following a secondary challenge. The loss of CD62L and CD45RB on CD4+ T cells within the lungs of H99γ-immunized mice appears to be greater following the second cryptococcal challenge (Fig. 3A and E), suggesting that additional cells are recruited to the lungs, a theory supported by the significant increase in CD4+ T cells observed on day 3 after a secondary challenge in C. neoformans strain H99γ-immunized mice (Fig. 2A).

Nonetheless, for any cryptococcal vaccine strategy to be effective, it must elicit protection in populations most at risk for developing life-threatening cryptococcosis (i.e., T cell-deficient individuals). Consequently, our goal was to evaluate protection against pulmonary cryptococcosis in mice rendered experimentally T cell deficient following immunization with C. neoformans strain H99γ compared to immunocompetent mice immunized with HK C. neoformans cells. BALB/c mice were immunized with C. neoformans strain H99γ or HK C. neoformans and allowed 70 days to resolve the infection. Mice immunized with C. neoformans strain H99γ were then treated with an isotype control antibody or depleted of CD4+ and/or CD8+ T cells using complement-fixing antibodies beginning on day 70 postimmunization. Mice immunized with HK C. neoformans received sterile PBS. Subsequently, all mice received a second challenge with wild-type C. neoformans 48 h following the initial antibody treatments that were continued throughout the observation period. Figure 4A shows that the pulmonary fungal burden of all mice immunized with C. neoformans strain H99γ, irrespective of immunodeficiency, was significantly lower on days 7 and 14 after a secondary inoculation than that of HK C. neoformans-immunized mice on the respective day. A significant increase in the pulmonary fungal burden was also observed in C. neoformans strain H99γ-immunized mice that were depleted of CD4+ T cells compared to that of immunocompetent C. neoformans strain H99γ-immunized mice on day 7 after a secondary inoculation. Importantly, the additional depletion of CD8+ T cells in CD4-depleted mice did not result in a further increase in the pulmonary fungal burden (Fig. 4A), suggesting a dominant role for CD4+ T cells in mediating protection during the effenter phase of C. neoformans infection. However, the fungal burden in C. neoformans strain H99γ-immunized mice was not significantly different from that in C. neoformans strain H99γ-immunized mice depleted of CD4+ and/or CD8+ T cells on day 14 after a secondary inoculation.

The pulmonary fungal burden analysis suggested that protective anamnestic responses to pulmonary cryptococcosis can be generated in vaccinated T cell-deficient hosts, a suggestion supported by subsequent survival studies. These data demonstrate that it is possible for previously vaccinated individuals to mount a protective immune response to Cryptococcus, even after becoming immunocompromised due to HIV or as a result of the use of immunosuppressive therapies in order to prevent rejection following organ transplantation. C. neoformans strain H99γ-immunized mice treated with isotype control antibodies or depleted of CD4+ or CD8+ T cells exhibited 100% survival of a second pulmonary challenge with the fully virulent wild-type strain. Furthermore, 80% of C. neoformans strain H99γ-immunized mice depleted of both CD4+ and CD8+ T cells survived the secondary challenge, compared to the 100% mortality observed in immunocompetent mice immunized with HK C. neoformans (Fig. 4B). Cul-
tures of lung tissues from surviving mice revealed no detectable viable cryptococci at the termination of the survival experiment (day 80). Similarly, no brain dissemination was observed in H99/H9253-immunized mice treated with isotype control or anti-CD4 depletion antibodies and extremely low brain carriage was observed in whole brain cultures derived from anti-CD8 antibody-treated mice (7.3 ± 4.3 CFU) or dually anti-CD4- and anti-CD8-treated mice (1 ± 0.78 CFU). Again, we observed >98% depletion of CD4+ and/or CD8+ T cells in pulmonary and spleen leukocytes of protected mice upon the conclusion of the survival study (day 80) compared to the levels in control mice (data not shown).

C. neoformans is typically most aggressive in individuals with impaired T cell function (i.e., individuals with AIDS, those with lymphoid malignancies, and recipients of immunosuppressive therapies) (3, 4, 8–14, 19–22). The studies described herein highlight the potential for inducing protection against cryptococcosis in immunocompromised hosts. Previous vaccination strategies using recombinant forms of heat shock protein 60 from H. capsulatum (7) and Paracoccidioides brasiliensis (6) and live H. capsulatum (39), B. dermatitidis, (39, 40), and Candida albicans (33) yeast cells have supported the feasibility of developing fungal vaccines for use in patients with severe T cell deficiencies. Each study has enlightened us as to the importance of CD4+ and/or CD8+ T cells during the afferent phase of vaccination and the efferent phase of infection. Cumulatively, those studies show, as we do herein, that T cells are essential for the induction of protective immunity following vaccination. We additionally demonstrate protection during the efferent phase of the immune response to a fungal infection of mice depleted of both CD4+ and CD8+ T cells. While our results concur with those of previous studies suggesting a compensatory role for CD8+ T cells to mediate protection against fungal pathogens in the absence of CD4+ T cells (24, 39), our
findings also allude to the capacity of an innate population of immune cells and/or B cells to compensate for the lack of T cells during the efferent phase of the immune response to C. neoformans infection. Although previous studies in our lab have shown that B cells are not required for the generation of protective immunity against pulmonary cryptococcosis in our model system (38), they may still play a compensatory role during the efferent phase of the immune response in T cell-deficient hosts. Also, recent studies have suggested that natural killer cells, which have clearly been shown to have microbicidal activity against C. neoformans (25), may acquire some form of antigen-specific immunological memory (reviewed in reference 36). Studies to resolve which cellular populations are present during the efferent response in T cell-depleted mice are under way. Future studies utilizing mice depleted of or genetically deficient in certain effector cell populations and/or the adoptive transfer of cellular subsets into these mice are needed to “flesh out” the cellular population mediating efferent-phase protection. Nonetheless, our study provides proof of the concept that vaccines designed to combat C. neoformans infections are capable of inducing potent, long-lasting anticytotoxic cellular immunity in immunocompromised patients.

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FIG. 4. Role of CD4+ and CD8+ T cells during the efferent response to pulmonary C. neoformans infection. BALB/c mice received an initial immunization with HK C. neoformans (C.n.) or C. neoformans strain H99γ in 50 μl of sterile PBS and were allowed 70 days to resolve the infection. Mice were then treated with isotype control antibodies or depleted of CD4+ T cells and/or CD8+ T cells 48 h prior to challenge with C. neoformans strain H99 in 50 μl of sterile PBS. Depletion was maintained throughout the observation period. (A) Lungs were excised on days 7 and 14 after a secondary inoculation, and the cryptococcal burden was quantified. Pulmonary fungal burden data are cumulative for three experiments using 5 mice per time point. Separate mice were used for each time point. Results are expressed as the mean log CFU per milliliter ± the standard error of the mean. Asterisks indicate where significant decreases (P < 0.05) from mice immunized with HK C. neoformans were observed. The symbol † indicates where significant increases (P < 0.05) from isocompetent-mouse immunized with C. neoformans strain H99γ were observed. (B) Alternatively, the survival of challenged mice was monitored twice daily and mice that appeared moribund or did not maintain normal habits (grooming) were sacrificed by CO2 inhalation. The survival data shown are from one experiment using 10 mice per experimental group.
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