Locally enhanced electric field treatment (LEEFT) for water disinfection

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1 Introduction

The practice of chlorine disinfection has greatly impacted the human drinking water experience ever since its implementation in the 1900s. Although waterborne diseases such as typhoid fever, cholera, and dysentery remain the leading causes of debilitating illness or even death in populations without adequate sanitation, much of their threat to human health has been obviated in well-developed countries (CDC, 1999). This is due to these countries’ widespread use of disinfectants and disinfection technologies within their public health facilities, the foremost of these methods being chlorination for its cheap cost, high efficiency, and reliable performance (Morris et al., 1992).

Despite its many advantages, chlorination also poses a unique problem for the 21st century: the persistent presence of disinfection by-products (DBPs) in treated waters.
Dissolved free chlorine is toxic to microbial life because it disrupts cell homeostasis via damaging the cell membrane or genetic information held within; however, its high reactivity also causes it to combine with naturally occurring and synthetic organic matter to form DBPs (Westerhoff et al., 2005). These include known human carcinogens such as chloroform and the other trihalomethanes, but also lesser-known and emerging DBPs that occur in trace amounts (Deborde and Von Gunten, 2008). As industrialization progresses and the raw water matrix grows ever more complex, the sheer number of chemical byproducts formed in our treated waters is quickly outstripping our ability to keep up with them, and their apparent increasing genotoxicity has also been cause for concern (Plewa et al., 2004).

The microbial standards of our drinking water cannot be compromised; therefore, the challenge in resolving this chemical concern lies in maintaining the same degree of sanitation whilst decreasing the amount of DBPs being formed. Developed in the 2010s, locally enhanced electric field treatment (LEEFT) has been emerging as a promising alternative disinfection technique. The LEEFT is a physical treatment process that aims to utilize a strong electric field to disrupt cell membranes and thus inactivate pathogens. The electrodes installed in a LEEFT device are typically modified with one-dimensional nanostructures, such that the electric field is greatly enhanced locally near the tips of the nanostructures. This review serves as the introduction to the LEEFT technology with topics covering the basics theory, electrode development, configuration design, disinfection performance, inactivation mechanisms, and remaining challenges.

## 2 Theory of electroporation and the LEEFT

When microbial cells (e.g., bacteria and protozoa) are exposed to an external electric field, the lipid bilayer membrane of the cells could be considered as a capacitor. Charged ions on the inside and outside of the cell move under electrophoretic force and redistribute on the two sides of the lipid bilayer, generating a transmembrane potential \( \Delta V_i \) (Kotnik et al., 1997),

\[
\Delta V_i = f_s R E_{ext} \cos \theta \left[ 1 - \exp \left( -\frac{t}{\tau} \right) \right],
\]

\[
\tau = \frac{R C_m}{\frac{2 \lambda_c \lambda_i}{2 \lambda_c + \lambda_i} + \frac{R}{d^2 m}},
\]

where \( f_s \) is a function relating to the electric and geometric properties of the cell and the medium; \( R \) is the radius of the cell; \( E_{ext} \) is external electric field intensity; \( \theta \) is the angle between a tangent at the studied point on the surface of cell and the direction of the electric field; \( t \) is the duration of the external electric field; and \( \tau \) is the membrane charging constant, which is related to the surface capacitance of the membrane \( (C_m) \), the membrane thickness \( (d) \), and the conductivity of intracellular cytoplasm \( (\lambda_i) \), extracellular medium \( (\lambda_c) \), and membrane \( (\lambda_m) \). After the transmembrane potential is built up, water molecules initially confined at the membrane-water interface tend to orient their dipoles along the local electric field created by the transmembrane potential, forming a water column spanning across the lipid bilayer. This leads to a reorientation of the lipids with their hydrophilic headgroups towards the water column, which initiates the generation of pores on the membrane, or electroporation (Kotnik et al., 2019) (Fig. 1(a)).

Under a relatively low transmembrane potential, the pores formed on the membrane are transient, meaning they could reseal after the electric field is removed. This is termed as reversible electroporation, which provides temporary pathways for cross-membrane transport but also maintains high cell viability (Kotnik et al., 2015). Reversible electroporation has been widely used for the intracellular delivery of various molecules (e.g., drug, nucleic acids, or proteins) (Stewart et al., 2018). A sufficiently high transmembrane potential (typically about 1 V) leads to permanent pores and irreversible electroporation, thus causing cell inactivation (Pethig and Markx, 1997). Irreversible electroporation has also been studied for a wide range of applications, including clinical therapy (Jiang et al., 2015), tissue ablation (Edd et al., 2006), food processing and sterilization (Barba et al., 2015), and hospital water disinfection (Gusbeth et al., 2009).

The process of cell inactivation by irreversible electroporation is developed to become a technique called pulsed electric field treatment (PEFT), which is considered as a non-thermal physical process. For a typical PEFT, media containing pathogens is placed between two parallel plate electrodes and applied with strong electric pulses (Fig. 1(b)). During a PEFT, the specific electric field strength and exposure time required for irreversible electroporation varies significantly across different microorganisms. For a spherical bacterium with a radius of 1 \( \mu m \), the electric field intensity and exposure time required to achieve irreversible electroporation are about 10 kV/cm and a few microseconds, respectively (Shahini and Yeow, 2013; Wang et al., 2019). Because the inactivation requires a strong electric field but short exposure time, high voltage electric pulses with durations less than 100 microseconds are commonly applied. To achieve this strong electric field (>10 kV/cm), the distance between the two electrodes and the applied voltage are typically set as <1 cm and >10 kV, respectively. PEFT has been applied to effectively inactivate bacteria (including bacterial spores), viruses, and protozoa (Mizuno et al., 1990; Haas...
Compared to other inactivation methods (e.g., thermal, chlorine, and ultraviolet radiation), PEFT has the following advantages: i) it is very fast (6-log inactivation can be achieved within 100 microseconds) (Weaver and Chizmadzhev, 1996; Gusbeth et al., 2009; Shahini and Yeow, 2013); ii) no chemicals are added, and no DBPs are generated during treatment (Gusbeth et al., 2009; Kotnik et al., 2015); iii) it is effective for all bacteria, including “superbugs” that are resistant to multiple antimicrobials (Weaver and Chizmadzhev, 1996; Saulis, 2010; Kotnik et al., 2015); and iv) bacteria do not develop resistance (Gusbeth et al., 2009). The PEFT is also distinct from electrochemical processes where inactivation is due to in-situ generated microbicidal chemicals (e.g., reactive oxygen and chlorine species) and/or direction electrochemical oxidation (Vecitis et al., 2011; Cho et al., 2014).

Nevertheless, PEFT has not been widely implemented for liquid-food processing so far, and no practical application for water disinfection is reported. Major obstacles include high energy consumption of the short but intensive electric pulses (typically 10-100 kJ/L), the extra cost that may be required to prevent overheating, and technical limitations to achieve a high-strength electric field without the risk of arcing at a large scale (Saldaña et al., 2014; Kotnik et al., 2015).

To overcome the limitations of PEFT, an alternative is LEEFT (Fig. 1(c)). Attributed to the lightning rod effect, which occurs when a metallic rod is placed into a uniform electric field, the electric field strength near the tip area of the rod will be enhanced. By modifying the electrodes with tip structures that have high aspect ratios (length to diameter ratio), e.g., carbon nanotubes (CNTs) and nanowires, the electric field can be enhanced locally (i.e., near the tip) by several orders of magnitude (Rojas-
Chapana et al., 2004; Shahini and Yeow, 2013; Poudineh et al., 2014). This local electric field enhancement effect has also been analyzed computationally using a finite element method. As the simulation generated by COMSOL Multiphysics shows, the electric field reaches the maximum value (higher than 100 kV/cm) on the surface of the nanowire tips, and decreases with increasing distance away from the nanowires (Liu et al., 2014; Huo et al., 2017) (Fig. 1(d)). As a result, cell inactivation can be achieved by LEEFT operating at a significantly lower voltage.

3 LEEFT electrodes and devices

Based on the theory explained above, researchers have reported a series of low-voltage-powered LEEFT devices, and the electrodes are the core component of these devices. The strategy applied to fabricate LEEFT electrodes is modifying conductive substrates (e.g., carbon or copper) with high-aspect-ratio nanowires. The nanowires are typically about 5–10 µm long and less than 100 nm thick at the tips.

In the first demonstrations of the LEEFT, the electrodes were made by modifying three-dimensional CNT composite electrodes with silver nanowires (Figs. 2(a)–2(c)) (Schoen et al., 2010; Liu et al., 2013). Soon after that, copper-oxide nanowire modified Cu electrodes (CuONW-Cu) were prepared by simply heating copper in the air to lower the cost and increase the scalability (Figs. 2(d)–2(f)) (Liu et al., 2014; Huo et al., 2016). Later on, copper-phosphide nanowire modified Cu electrodes (Cu₃P-Cu) were fabricated through electrochemical anodization plus chemical vapor deposition, considering that Cu₃P is more stable than CuO in water (Figs. 2(g)–2(i)) (Huo et al., 2018). Recently, to enhance the durability of the electrodes, a poly-dopamine (PDA) protective coating was applied to both CuONW-Cu (Figs. 2(j)–2(l)) and Cu₃PNW-Cu electrodes (Figs. 2(m)–2(o)) (Huo et al., 2019a; Huo et al., 2019b).

The desired nanowires for LEEFT disinfection should have the following features: i) The nanowires preferably stand vertically on the electrode surface with accessible tips rather than lying flat. ii) Nanowires with higher aspect ratios give a greater enhancement effect, which can reduce the necessary applied voltage. iii) They should not be too dense, otherwise the enhancement effect is diminished. iv) The nanowires should be made from conducting materials with sufficient stiffness and should be strongly attached to the electrode. v) The substrates and nanowires should not be toxic to humans and pose secondary contamination threat to the treated water.

Most of the early developed LEEFT devices consisted of two porous nanowire-modified electrodes housed in parallel in a plastic chamber (Fig. 3(a)). The LEEFT electrodes were based on macroscale porous (e.g., mesh or foam) substrates with pore sizes larger than several hundred micrometers (Figs. 2(b), 2(e), 2(h), 2(k), 2(n)). For the LEEFT, water flowed through the pores of the two electrodes (Figs. 3(b) and 3(c)). Notably, the pore size of the porous electrodes was far larger than normal microorganisms so that size screening is not a major mechanism of microbial removal. A dielectric septum was usually placed in between the two electrodes to prevent short-circuiting.

The recently developed coaxial-electrode LEEFT device

![Fig. 2](image-url)  
Electrode materials for LEEFT disinfection. (a–c) AgNW modified CNT composite (Liu et al., 2013), (d–f) CuONW-Cu (Huo et al., 2016), (g–i) Cu₃PNW-Cu (Huo et al., 2018), (j–l) PDA-CuONW-Cu (Huo et al., 2019b), (m–o) PDA-Cu₃PNW-Cu (Huo et al., 2019a). The first line (a, d, g, j, & m) shows the schematic, second line (b, e, h, k, & n) the macrostructures, and third line (c, f, i, l, & o) the nanowires of the electrodes.
was made of an outer electrode and a center electrode (Fig. 3(d)) (Zhou et al., 2019b). The outer electrode was a cylindrical metal sheet while the center electrode was a coaxial thin wire modified with nanowires (Fig. 3(e)). The electric field strength between the coaxial electrodes was defined by Equation 3:

$$E_s = \frac{U}{s \cdot \ln \frac{r_c}{r_o}},$$

where $E_s$ was the electric field strength at the location with a distance of $s$ from the center electrode, $U$ was the external applied voltage, and $r_c$ and $r_o$ were the radius of the center and outer electrodes, respectively. Thus, when approaching near to the center electrode ($s$), the electric field strength was higher. The maximum electric field strength of the as-made coaxial-electrode device ($r_c = 76$ µm and $r_o = 0.95$ cm) was 26 times higher than that of the conventional parallel-plate configuration. Meanwhile, the implementation of the nanowire-modified center electrode enabled a second-level electric field enhancement (Fig. 3(f)). Negatively charged microbes were driven towards the center electrode (set to positive) attributed to both electrophoretic and dielectrophoretic forces. Due to its tubular configuration, the coaxial-electrode LEEFT device can be directly adopted to water pipelines. With future development and scaling up, the coaxial-electrode LEEFT device can potentially serve as an alternative of residual chlorine for
secondary disinfection, which is to maintain the anti-microbial effect during water transport and delivery.

4 Performance of the LEEFT for water disinfection

The disinfection performance of the LEEFT was measured by a standard microbial plating technique and quantified by log inactivation efficiency (Fig. 4(a)). The inactivation was mainly influenced by two key parameters, the treatment capacity and the specific energy consumption. The treatment capacity was represented by the flux \( J = \frac{Q}{A} \), where \( Q \) was the flow rate and \( A \) was the electrode surface area) in the parallel-plate LEEFT system because once the bacteria flowed through the electrodes, the inactivation stopped. This process was similar to the membrane filtration technology. On the other hand, the hydraulic retention time was used to represent treatment capacity in the coaxial-electrode system, as it was

![Fig. 4 Disinfection performance of the LEEFT. (a) Quantitative measurement of model bacteria (E. coli and Bacillus subtilis as gram negative and positive bacteria examples, respectively) before and after the LEEFT by a standard microbial plating technique (Ding et al., 2019), (b) E. coli inactivation efficiency with Cu3PNW–Cu and Cu(OH)2–Cu electrodes with different voltages (1, 2, 3, and 5 V) and different fluxes (from 1 to 16 m\(^3\)/(h·m\(^2\))). Cu(OH)\(_2\)-Cu is an intermediate product of the Cu3P-Cu electrode (Huo et al., 2018), (c) Disinfection efficiency of E. hormaechei, E. durans, B. subtilis, and virus MS2 by PDA-Cu3PNW-Cu electrodes with AC (peak voltage of 1 V; frequency of 10\(^6\) Hz) (Huo et al., 2019a), (d) Long-term bacterial disinfection efficiency of the PDA-Cu3PNW-Cu electrodes. The numbers after the electrodes (16 & 24) stand for the PDA coating time (Huo et al., 2019a).](image-url)
considered as a plug flow reactor. The specific energy consumption was associated to the applied voltage. In general, there were two trade-offs, wherein one was between inactivation efficiency and treatment capacity and the other was between inactivation efficiency and energy consumption. The inactivation efficiency was higher with lower flux (or higher hydraulic retention time), since the microbial cells had more opportunity to get closer to the tips of the nanowires where the electric field is stronger (Fig. 4(b)). Higher applied voltage led to a higher electric field intensity, and thus a better inactivation result (Fig. 4(b)). As the transmembrane potential needed to be built up across the bacterial cell membrane to initiate electroporation, a minimum voltage of ~1 V was found to be necessary for effective microbial inactivation. For example, 6-log inactivation of E. coli was achieved in the parallel-electrode system with a flux of 2 m³/(h·m²) and in the coaxial-electrode system with a hydraulic retention time of 10 min.

Different species of bacteria were tested, including gram-positive (G⁺) and gram-negative (G⁻) species, to examine the universal inactivation performance of the LEEFT. Previous studies showed that gram-positive bacteria were slightly more resistant against LEEFT disinfection, but the difference was not significant (Fig. 4(c)). A 6-log inactivation could be achieved against 7 species of bacteria (G⁻: E. coli, Salmonella enterica, and Enterobacter hormaechei; G⁺: Enterococcus faecalis, Bacillus subtilis, Enterococcus durans, and Staphylococcus epidermidis) with a voltage as low as 1 V (Fig. 4(c)). (Liu et al., 2013; Huo et al., 2019b; Zhou et al., 2019a). In addition, the LEEFT could also effectively inactivate MS2, a model virus often used as a surrogate for human enteric viruses. For example, the PDA-Cu₃PNW-Cu electrodes achieved >5-log inactivation of MS2 with a 1 V AC voltage applied (Fig. 4(c)). Samples with different water matrices were also tested, and the LEEFT disinfection was observed effective (>6-log inactivation of E. coli) in DI water, saline solution, tap water, lake water, and secondary wastewater effluent (Huo et al., 2016; Huo et al., 2019b; Zhou et al., 2019a).

The durability, i.e., how long the electrodes can be used to effectively inactivate microbes, is also a key parameter to characterize for the LEEFT, and it is affected by both chemical and mechanical stresses. As metal, metal oxides, or metal phosphide have been used for the LEEFT electrodes, metal ions can dissolve from the electrode under certain electrochemical conditions, resulting in corrosion-like damage to the nanowires. The mechanical stress is mainly due to the sheer force of the water flow, which breaks the nanowires physically. Thus, the effluent copper concentration is an important parameter for examining the loss of nanowires. When CuONW-Cu electrodes were used for LEEFT disinfection (1 V DC, 1.8 m³/(h·m²) flux), Cu concentration reached ~500 µg/L (Huo et al., 2019b). Although this concentration is still lower than the USEPA’s maximum contaminant level goal of 1.3 mg/L (USEPA, 2009) for drinking water, it indicates severe electrode decay. The lifespan of the CuONW-Cu electrode was less than 10 min. The metal release was greatly controlled with the development of more durable electrodes. The effluent Cu concentration of the LEEFT with PDA-Cu₃PNW-Cu electrodes was reduced to less than 4 µg/L (1 V AC, 10⁶ Hz frequency, 4 m³/(h·m²) flux), >6-log inactivation of E. coli, a concentration that should not be of any concern to human health and the ecosystem (Flemming and Trevors, 1989; Zhou et al., 2019b). Accordingly, the lifespan was extended to more than 15 days (Fig. 4(d)).

The LEEFT disinfection is an energy efficient process with a specific energy consumption (E) of 1.2–100 J/L (calculated from the experimental data using $E = (UXI)/Q$, where U, I, Q are the applied voltage, current, and flow rate, respectively) (Liu et al., 2013; Huo et al., 2018). For practical use, the LEEFT is also expected to consume much lower energy than conventional water disinfection methods (UV, 20–60 J/L; ozone, 50–100 J/L; membrane, 500–5000 J/L) that target on the bulk water (Chang et al., 2008). Specifically, the chlorine concentration in the bulk solution should achieve a certain level to enable an effective disinfection. The LEEFT, on the other hand, targets only on the microbes in water. The microbes would be sent to the regions near the electrode surface, exposed to the locally enhanced electric field, and inactivated. The bulk water is only exposed to the background electric field with a much lower strength, resulting in a low energy consumption for the overall LEEFT process.

5 Inactivation mechanism study

The LEEFT has demonstrated superior performance for microbial inactivation. The absence of nanowires led to a dramatic decrease of the inactivation efficiency. For example, when the electrode substrate was modified with nanoparticles, the inactivation efficiency dropped by ~3 logs (Fig. 5(a)). In the coaxial-electrode system, compared with the 6-log inactivation using the PDA-coated CuONW-Cu electrodes, a PDA-coated Cu electrode demonstrated almost no inactivation with 1 V applied voltage (Fig. 5(b)). Nevertheless, the mechanisms for microbial inactivation are still not fully understood. Irreversible electroporation induced by the nanowire-enhanced electric field is believed to be the main mechanism. Two methods were tried to observe the irreversible electroporation after the LEEFT. Propidium iodide (PI) is a fluorescent dye that can penetrate damaged cell membranes and distinguish dead cells from live ones. Most of the treated microbes were stained by PI, which indicated the disruption of the cell membrane (Figs. 5(c)
and 5(d)). The damage to the cell membrane can also be directly observed by SEM. Small-sized pores in the range of 10–100 nm were observed on the surface of the treated bacteria (Fig. 5(e)).

Other potential inactivation mechanisms have been considered not significant players in the LEEFT disinfection. When DC or low-frequency AC voltages are applied to Cu-based electrodes, it is inevitable to generate Cu ions, which are known to be antimicrobial. However, the Cu concentration of the LEEFT effluent has been reduced to less than 4 µg/L (Fig. 5(f)), a concentration far less than the effective level known to kill microbes. Treating *E. coli* with 100 µg/L Cu for the same period of time only resulted in < 0.5 log inactivation (Zhou et al., 2019b). When a high-frequency AC (>10⁵ Hz) voltage is used for the LEEFT disinfection, electrochemical reactions can be largely eliminated (Chang and Park, 2010). Nevertheless, high inactivation (>6 log) of *E. coli* still could be achieved even at a high frequency of 10⁶ Hz (Fig. 5(g)), indicating that electrochemical reactions do not contribute significantly (Huo et al., 2018).

Physical processes, such as adsorption of microbes in LEEFT devices and the mechanical stress induced by the nanowires upon direct contact, could also contribute to
remove or inactivate microbes (Akhavan and Ghaderi, 2010). In addition, some of the reported LEEFT electrodes contain a thin layer of PDA, which has been applied for antimicrobial surface coating. However, control experiments excluded their significant contributions (Fig. 5(b)) (Huo et al., 2019b; Zhou et al., 2019a). In all LEEFT experiments, the optical density of the microbes in water did not change notably, meaning that the microbes went through the devices without being trapped inside (Huo et al., 2019b). When samples were flowed through LEEFT devices without external voltages, little inactivation was achieved (Fig. 5(b)), which suggested that direct contact alone, either with nanowires or PDA, was not enough for high inactivation and the electrical treatment is necessary (Zhou et al., 2019a). No change in the pH and temperature of the water was found during the LEEFT (Huo et al., 2016).

### 6 Challenges and perspective

Even though the current results of applying the LEEFT for water disinfection are exciting, tremendous research efforts are still needed. The state-of-art LEEFT electrodes can last for 15 days in continuous operation, which is probably already durable enough for some point-of-use (POU) applications. However, practical applications in larger scales desire much longer lifespan. Understanding the process of electrode erosion and developing more durable electrodes are still critical for the future implementation of the LEEFT. In terms of the engineering aspects of the LEEFT, designing better configuration for LEEFT devices is needed to allow higher flux, better transportation of cells to the area with enhanced electric field, and increased versatility for use in different scenarios.

In terms of the inactivation mechanisms, direct evidence to support irreversible electroporation causing cell death is still lacking. For example, direct observation of electroporated pores forming on the cell membrane subjected to the LEEFT is worth investigating. The visualization or measurement of the high electric field strength has not yet been achieved by experimental methods. Simulation results have shown that the high electric field is limited to small regions that are within a few μm away from the tips. Theoretically, microbes should be present in these regions to be inactivated by electroporation. Experimental results have shown that hydraulic, electrophoretic, and dielectrophoretic forces play important roles for transporting the microbes. However, more comprehensive experiments and modeling studies are still needed to quantify the contribution of these forces.

Before any practical application, the LEEFT should also be tested for treating more microbial species, including bacterial spores, disinfection-resistant bacteria, protozoa, and viruses. Moreover, systematic investigations on the impact of water quality parameters (e.g., pH, temperature, turbidity, and ion strength) on LEEFT performance are required. The influence of the LEEFT on the microbial community structure should also be investigated.

Next-generation water disinfection methods should minimize the use of chemicals, the consumption of energy, and the impact on the environment. The LEEFT has great potential to transform current water disinfection strategies and systems. Potential advantages of the LEEFT include the following: i) high microbial inactivation efficiency; ii) broad-spectrum effectiveness to all pathogens; iii) a fast treatment process; iv) low capital, operational, and maintenance cost; v) no impact on the physical and chemical properties of the treated water (i.e., neither generating DBPs nor releasing toxic metals nor increasing the corrosivity); vi) inactivation using electricity without any chemical consumption; vii) no over-treatment concerns; viii) facile operation and the possibility for automatic operation; ix) no secondary pollution in terms of odor, sound, or light; and x) a completely safe treatment process that poses no hazard to operators and nearby community. If these features are achieved, the LEEFT can potentially be applicable at all scales, from portable devices to household units and from distributed community-scale treatment clusters to centralized treatment plants.

Some of the advantages mentioned above are especially attractive for small drinking water systems that may be very close to residential areas, have difficulties in chemical delivery and storage, or lack of knowledgeable operators. For some remote places without reliable grid power (e.g., islands, ships, and developing areas) or some emergency situations when the grid power is disrupted (e.g., earthquakes and hurricanes), the LEEFT can also be easily powered by batteries or renewable energy sources, such as wind, solar, and hydropower. Therefore, we believe the LEEFT will be a promising water disinfection technology that provides more accessible and reliable protection for public health.

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