Principles of supernova-driven winds

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ABSTRACT
The formation of galaxies is regulated by a balance between the supply of gas and the rate at which it is ejected. Traditional explanations of gas ejection equate the energy required to escape the galaxy or host halo to an estimate for the energy yield from supernovae. This yield is usually assumed to be a constant fraction of the total available from the supernova, or is derived from the assumption of a consistent momentum yield. By applying these ideas in the context of a cold dark matter cosmogony, we derive a first-order analytic connection between these working assumptions and the expected relationship between baryon content and galaxy circular velocity, and find that these quick predictions straddle recent observational estimates. To examine the premises behind these theories in more detail, we then explore their applicability to a set of gasdynamical simulations of idealized galaxies. We show that different premises dominate to differing degrees in the simulated outflow, depending on the mass of the system and the resolution with which it is simulated. Using this study to anticipate the emergent behaviour at arbitrarily high resolution, we motivate more comprehensive analytic model which allows for the range of velocities with which the gas may exit the system, and incorporates both momentum and energy-based constraints on the outflow. Using a trial exit velocity distribution, this is shown to be compatible with the observed baryon fractions in intermediate-mass systems, but implies that current estimates for low-mass systems cannot be solely accounted for by supernova winds under commonly held assumptions.

Key words: supernovae: general – ISM: supernova remnants – galaxies: evolution – galaxies: formation.

1 INTRODUCTION
Any viable theory of the formation and evolution of galaxies should be able to account for the mass of baryons contained, or rather not contained, in the massive collapsed regions that host galaxies. Observational constraints on the location of baryons in the Universe imply that the fraction within these ‘haloes’ can be many times less than the cosmic baryon fraction, \( f_b \approx 0.17 \) (e.g. Komatsu et al. 2011), and that the extent of the deficit is clearly dependent on the host’s mass. This can be seen from the estimated baryonic and total masses from seven separate surveys which were collected together in one figure in the review by McGaugh et al. (2010); data which are reproduced here in our Fig. 1.

The established explanation for this deficit, dating from long before such observational data were available, is that baryons can be driven from the galaxies – and their host haloes – by supernovae explosions (Matthews & Baker 1971). This account is based on the premise that the energy required to escape the galaxies’ gravity is readily available from the supernovae. Because the gravitational potential barrier will increase with host halo mass, the fraction of the supernova-driven wind which escapes might intuitively be expected to be greater for lower mass systems, and this does indeed seem to be qualitatively upheld by the mass dependence seen in the modern data.

A more quantitative version of this theory was then developed by Larson (1974), who equated this potential barrier with an estimate of the energy yield per supernova and hence per mass of stars formed. In Section 2, we review the arguments in this classic theory and, by updating the basic premises to include a cold dark matter (CDM) component in the haloes, show how it leads to the first-order theoretical predictions for baryon fractions which are overlaid with the observational estimates in Fig. 1. We also take the opportunity to contrast the scaling expected from the traditional assumption, of consistent energy conversion to the ejected material (Section 2.1), with the alternative working assumption of a consistent momentum yield (Section 2.2).

We then go on, in Section 3, to investigate how modern simulations of disc galaxies relate to these analytic theories, using aspects of the theory to understand the behaviour which emerges from...
Host Halo Mass, $M_v / M_\odot$

![Graph](image)

**Figure 1.** Galactic baryonic mass estimates from several publications, shown as a function of the virialized host halo mass (estimated from observed circular velocity or velocity dispersion). The lines show the simple prediction of self-similarity (dashed line), the prediction based on consistent conversion of supernovae energy to gravitational potential energy gain by the escaped gas (solid line) and a similar prediction based on consistent momentum yield (dotted line) using the values $v_v = v_{SN} = 300 \text{ km s}^{-1}$. This plot is deliberately similar in layout to fig. 1 of McGaugh et al. (2010) and uses the same conversion from characteristic speed to host halo mass: $M_v / 10^{12} M_\odot \approx (v_v/187 \text{ km s}^{-1})^3$. Round symbols represent rotationally supported discs, where $v_v$ is the outermost measurement of circular velocity. Square symbols represent pressure supported systems for which $v_v = \sqrt{\sigma}$ is assumed, where $\sigma$ is the observed line-of-sight velocity dispersion.

The earliest attempt to quantify the mass outflow due to supernovae was by Larson (1974). This brought the idea of Matthews & Baker (1971) together with work on the evolution of supernova remnants (Cox 1972) to estimate that 10 per cent of the original supernova energy would be retained as thermal and kinetic energy in the gas, a figure calculated by considering the energy that would still be contained within supernova remnants at the point at which they begin to overlap.

This point naturally depends on the conditions in the interstellar medium, but by including two key properties (star formation rate and gas density) as variables in the derivation, the final estimate was shown to be sufficiently insensitive to variations in these conditions that it was considered, ‘under most circumstances likely to be encountered in practice’, accurate ‘to within about a factor of 2’.

This figure could then be incorporated into their model of gas ejection under the additional premise:

‘... that all of the available energy of $0.1E_{SN}$ is expended in removing gas from the galaxy.’ (Larson 1974)

This premise can be expressed as the assumption of **consistent energy conversion**, in that the energy yield is consistent from one system to another and is **all** converted to the gravitational potential energy gained by the gas in escaping the halo. This amounts to the relation:

$$M_{esc} v_e^2 \approx \eta E_{SN},$$

where $M_{esc}$ is the mass of ejected gas, $v_e$ is the characteristic velocity of the system (defined, for example, as the maximum circular velocity) and $\eta$ is the fraction of raw supernova energy, $E_{SN}$, that is converted into the energy gained by the gas. Assuming the supernova yield to be proportional to the mass of stars formed, $M_*$, this can be written as

$$M_{esc} \approx \left( \frac{v_{SN}}{v_e} \right)^2 M_*, \quad v_{SN}^2 = \frac{\eta E_{SN}}{M_*}.$$  

The original calculations by Larson (1974) proceed from an effective energy release per supernova of $10^{44} J$ and a mass of stars formed per supernova of $100 M_\odot$, so the assumed yield of 10 per cent corresponds, in our notation, to $v_{SN} \approx 10^{11} M_{esc} \approx (220 \text{ km s}^{-1})^2$.

The adopted figure for energy release of $10^{44} J$ by Larson (1974) was attributed to observational estimates by Minkowski (1967), but has consistently appeared in the literature since then without any citation at all. More recent justification for this figure has been provided by fitting evolutionary models to the observed variation in luminosity of the supernovae 1987A (e.g. Shigeyama & Nomoto 1990), 1993J (e.g. Nomoto et al. 1993) and 1994I (e.g. Iwamoto et al. 1994), these having originated from stars with main-sequence masses in the range $10–20 M_\odot$, and thus being representative of the majority of the supernova population.

The scaling of equation (1) was subsequently adopted by White & Rees (1978) as a component in their analytic model of galaxy formation, and the arguments behind it were revisited and extended by Dekel & Silk (1986), though with rather detailed assumptions. White & Frenk (1991) felt these were difficult to justify, but they did adopt the scaling (if not the parameter value) in their models. Indeed, though different models employ their own particular definitions and contexts for its application, the assumption remains in use to this day (e.g. De Lucia et al. 2011).

The mapping from supernovae physics to this observed trend in galaxy properties can be appreciated more directly, if more approximately, by simply combining equation (1) with the additional constraint that the retained and escaped masses must add up to give the cosmic fraction, $f_\nu$, of their host halo, $(M_\nu + M_{esc} = f_\nu M_\nu)$. This leads to a simple relationship between remaining baryonic mass, $M_\nu$, and total virial mass $M_\nu$ (or characteristic speed $v_\nu$) that is plotted\(^1\) in Fig. 1:

$$M_\nu \approx \frac{f_\nu M_\nu}{1 + f_\nu (v_{SN}/v_\nu)^2}, \quad f_\nu = M_* / M_\nu.$$  

Therefore, placed in the context of CDM cosmogony, Larson (1974) seems to have predicted, to an order of magnitude, the stellar masses

\(^1\) The line in Fig. 1 shows the locus of $f_\nu \approx 1$. Real systems, where $f_\nu \lesssim 1$, would therefore be expected to lie above this line.
for a wide range of cosmic structures and, crucially, this prediction stems from a simple physical premise.

However, can basic energetic considerations really be a satisfactory explanation for this observed relationship? Can we really suppose that the converted fraction \( \eta \) (or equivalently \( \eta_{\text{SN}} \)) is the same in such a wide variety of environments? Even if so, why would we assume further that this remaining energy, carried by the outflowing gas, is then almost exactly exhausted in overcoming the gravitational potential?

### 2.2 Consistent momentum yield

The discussions reviewed so far have been focused on the energy provided by supernovae. Yet, over time, stellar fusion generates a comparable amount of energy to the total produced by these more powerful but rare events. The reason that supernovae are held responsible for the mass loss is because the energy released in this process couples effectively to baryons.\(^2\) With some fraction of the energy carried by massive particles, moving at some non-relativistic mean speed, \( v_u \), the momentum conveyed to the surrounding baryons per mass of stars formed \( (p_{\text{SN}} \sim E_{\text{SN}}/v_u) \) can be much greater than (or at least comparable to, see Murray, Quataert & Thompson 2005) the total conveyed by the stars over their entire lifetime \( (p_* \lesssim E_*/\rho c, \text{where } c \gg v_u) \). Therefore, it is the momentum yield that is crucial for driving a galactic wind.

An estimate of outward momentum may therefore seem a more relevant starting point for the derivation of the outflow mass. This is further motivated because this quantity is understood to be roughly conserved (McKee & Ostriker 1977) after the initial adiabatic expansion phase. Therefore, the need to continue modelling the remnant through the cooling phase, to derive a final energy conversion, is eliminated from this alternative first-order estimate of the resulting outflow.

Such an approach has some common ground with the one reviewed in Section 2.1, as both contain the same assumption of energy conservation\(^3\) between some known phase in the galaxy and some point far from the galaxy where all the energy is supposed to have been expended in overcoming gravity. In both cases, the working assumption is that, in order to have escaped, the gas of mass \( M_{\text{out}} \), must at some earlier point have been moving outwards with velocity \( v \gtrsim v_c \).

The difference in this second case is to associate this with a momentum, of about \( M_{\text{out}}v_c \), and suppose that this must (neglecting gravitational influence at this stage in the remnant’s evolution) be less than or similar to the momentum yield at the end of the adiabatic phase. If it is this yield, per mass of stars formed, that is reasonably consistent across a range of systems \( (p_{\text{SN}} \approx M_{\text{out}}v_u) \), where \( v_u \) is a mean system-averaged value for the specific momentum of the gas), this would imply

\[
M_{\text{out}} \lesssim \left( \frac{v_u}{v_c} \right) M_*.
\]

The inequality also reflects the fact that this estimate of the ejected mass corresponds to its outward velocity tending to zero as it leaves the system (an approximation which will be redressed in Section 4).

As can be seen in Fig. 1, this reveals a much tighter constraint on the low-mass outflow that was apparent from the energy budget. However, it is important to remember that in the extreme low-mass limit there are no stars at all, and hence no supernovae. Therefore, perhaps we should not expect this asymptotic behaviour to be fully accounted for by supernova winds, and should recognize the additional importance of natural cooling thresholds and gas stripping (e.g. Stringer, Cole & Frenk 2010).

Though derivations differ considerably, this alternative scaling has become established as being associated with the momentum-conserving properties of winds (we emphasize again that this conservation is only one aspect of the argument) and has been applied when implementing supernova-driven winds (and indeed active galactic nucleus driven wind) in many published galaxy evolution models (e.g. Oppenheimer & Davé 2006).

### 2.3 Application to phenomenological models

So far we have briefly reviewed two approaches which link escaped baryonic mass to the characteristic speed of the host system: the assumption of a consistent energy conversion of supernova energy to the outflow (equation 1) and the assumption that the momentum yield is consistent (equation 4). A third approach is to take a more general formula for the relationship, and tune the parameters to match simulations of the outflow process, and/or to match observations in conjunction with a galaxy formation model.

Such an approach was taken by Cole et al. (1994), who analysed the earliest simulations of galaxies by Navarro & White (1993), where the effect of supernovae was explored by assigning velocity perturbations to the gas around star formation sites in their models. This implementation did not lead to the relationship given by equation (1), but the results could be matched by the more general expression (in our notation):

\[
M_{\text{out}} \approx \left( \frac{v_{\text{SN}}}{v_c} \right)^{\alpha} M_*,
\]

where \( M_{\text{out}} \) is the mass of gas which has been ejected from the disc (as opposed to the gas which has escaped the host halo, \( M_{\text{esc}} \), used in equation 1). This empirical approach is not generally physically motivated (though of course it reduces in the cases \( \alpha = 2 \) and \( 1 \) to the two approximations described above).

The free parameter \( \alpha \) was found to increase monotonically with the fraction of energy assigned to the velocity perturbations in the simulations (up to \( \alpha = 5.5 \) in the case of a 20 per cent allocation). This general relationship between mass outflow and circular (or escape) speed was then adopted in many models, often fitting \( \alpha \) by empirical constraints, and it remains in use (for the latest discussion, see Bower et al. 2011).

Therefore, in the language of equation (5), \( \alpha = 1, 2 \), and a whole range of other empirically motivated values are all being simultaneously called upon to account for various different observational features of the galaxy population. They cannot all be correct at once. However, arguing for any one simple approximation over the other is surely less progressive than seeking a more inclusive model which may reduce to certain simple scalings in particular regimes. Certainly, some alignment of these apparently conflicting working assumptions is essential if any kind of consensus on this aspect of galaxy formation is to emerge for the literature.

The first exercise towards this goal, presented in Section 3, is to update the work of Navarro & White (1993) and Cole et al. (1994) by investigating to what extent momentum and energy conversion are upheld by the results of the latest smoothed particle hydrodynamics (SPH) simulations of the process. This study is integrated with an...
investigation into the modelling technique itself, considering variation of both wind parameters and the numbers of particles used to represent the systems. Guided by the implications of these numerical experiments, we then present in Section 4 a revised analytic picture, which reflects the reality that gas will leave its host galaxy with a range of exit speeds, and includes both energy-conserving and momentum-conserving constraints.

3 FEEDBACK IN IDEALIZED DISC GALAXIES

3.1 Summary of the numerical experiments

The simulations of idealized, isolated disc galaxies that will be the focus of this section are generated from the same initial conditions as used by Dalla Vecchia & Schaye (2008) (after Springel, Di Matteo & Hernquist 2005). We follow their evolution$^5$ for 0.5 Gyr using the modified version of the GADGET-3 code (last described in Springel 2005).

This version has been used in the OverWhelmingly Large Simulations (OWLS) project (Schaye et al. 2010) and in the Galaxies–Intergalactic Medium Interaction Calculation (GIMIC; Crain et al. 2009). In particular, we use the ‘reference model’ of OWLS. Briefly, subgrid physics is implemented such that stars form at a rate which guarantees a Kennicutt–Schmidt law for a disc in hydrostatic equilibrium (Schaye & Dalla Vecchia 2008) and gas cooling includes the contribution from hydrogen, helium, nine ‘heavy elements’ and bremsstrahlung, as described in Wiersma, Schaye & Smith (2009).

We assume the gas to have a solar abundance pattern with solar metallicity, and stars are assumed to form with the initial mass function (IMF) proposed by Chabrier (2003). Cosmicological simulations using this code form plenty of galaxies that are similar to the Milky Way, in terms of stellar (Font et al. 2011) and X-ray properties (Crain et al. 2010). The idealized galaxies have dynamic baryonic components, with mass fraction 0.054, embedded in static dark matter haloes containing the remainder of the total mass, $M_{\text{halo}}$, with mass distribution $M(r) = M_r (r + r_{\text{Hq}})^3$ (Hernquist 1990). The length scale, $r_{\text{Hq}}$, for each galaxy is given in Table 1. The baryonic mass is divided between a stellar bulge with total mass fraction of 0.014 (also distributed with a Hernquist profile) and a disc fraction of 0.04.

Of the disc mass, 70 per cent is contained in a pre-existing disc of old stars$^5$ and the remaining 30 per cent is composed of cold disc gas. Both disc components have surface density distributions which decrease exponentially with radius with the same scalelength, set iteratively such that the angular momentum of the disc is a particular fraction of the total (with spin parameter, $\lambda = 0.033$, see Springel 2005). The disc scaleheight and bulge scalelengths are then both set to a tenth of this value, with the stellar disc following the profile of an isothermal sheet and the vertical gas distribution set up in hydrostatic equilibrium using an iterative procedure. The simulations were run with a default gravitational softening length of 10 pc, chosen so that this is always smaller than the Jeans length at the highest surface densities we expect.$^6$

\[\Delta M_{\text{w}} = 2\Delta M_*,\]

where $\Delta$ denotes a change over a given time interval. To yield an appropriate total energy, this mass loading corresponds to an initial wind kick, $v_w = 600$ km s$^{-1}$ [giving $\Delta E_{\text{SN}} \approx 7 \times 10^{41} (\Delta M_*/M_\odot)$J]. These fiducial values follow Dalla Vecchia & Schaye (2008) and are adopted here whenever other parameters in the model are under scrutiny. It is important to note that all the available supernova energy is allocated to these velocity perturbations, the radiation losses being left to emerge from the SPH calculation itself (rather than assumed upfront). Analysis of this emergent energy conversion is the focus of the following section, Section 3.2, which begins our analysis of these numerical experiments.

We note that this is by no means the only possible numerical experiment that can guide our understanding of these processes. By simulating only a small section of the interstellar medium, it is possible to resolve length scales which, in the implementation here, have to be left to the subgrid configuration of the model. Such investigations are in progress (Creasey, Theuns & Bower, in preparation) but these smaller volumes must necessarily make other assumptions regarding their interaction with the system in which they are supposed to be imbedded. For this study, we choose to focus on simulations of entire galactic systems.

3.2 Initial comparison with consistent energy conversion arguments

To connect with the review of analytic treatment in Section 1, we begin by presenting a few pertinent results from five idealized disc galaxies covering a range of host halo (and galaxy) mass. The results are plotted in Fig. 2 as a function of characteristic velocity, $v_c$, here defined as the root-mean absolute specific energy, $v_c \equiv \sqrt{-\epsilon_0}$, measured for all the gas in the galaxy (after several dynamical times, but before perturbation by supernovae). This working definition is adopted as it is a well-defined quantity in the simulation and is consistent with its application in the analytic model (which is concerned with the energy deficit that has to be overcome). Also, this and the observable $v_c$ are mutually satisfactory proxies.

The top panel shows the mass, $M_{\text{vir}}$, which has ‘escaped’ the galaxy (outside the disc and with positive total energy). The lower panel shows the total$^7$ energy of this body of gas, the solid points being the value at the final time-step. To provide a context for these ‘excess’ energies, a faint line is included showing the approximate

\[\begin{array}{c|c|c|c|c|c|c}
\text{Total} & \text{Mass}/M_\odot & 10^{10} & 10^{10.5} & 10^{11} & 10^{11.5} & 10^{12} \\
\hline
\text{Galaxy} & \text{Mass}/M_\odot & 5.4 \times 10^5 & 1.7 \times 10^5 & 5.4 \times 10^5 & 1.7 \times 10^6 & 5.4 \times 10^6 \\
\text{Disc} & r_{\text{Hq}}/\text{kpc} & 0.53 & 0.78 & 1.1 & 1.7 & 2.5 \\
 & z_{\text{Hq}}/\text{kpc} & 0.053 & 0.078 & 0.11 & 0.17 & 0.25 \\
\text{Bulge} & r_{\text{Hq}}/\text{kpc} & 0.053 & 0.078 & 0.11 & 0.17 & 0.25 \\
\text{Halo} & r_{\text{Hq}}/\text{kpc} & 6.52 & 9.56 & 14.0 & 20.6 & 30.3 \\
\end{array}\]

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$^4$ This time interval was considered to be appropriate for the purposes of this study, being long enough to span many dynamical times for all the systems, whilst being short enough that the isolation from the cosmology was an acceptable approximation.

$^5$ In this model, the contribution to the total energy yield from ongoing winds or supernovae from this ‘old’ population is assumed to be negligible.

$^6$ Note that since we integrate over a short time in a galaxy with a significant dark halo, two-body relaxation effects are mostly negligible.

$^7$ Kinetic, potential and thermal energy are all included.
This can be clarified by reference to an extended version of equation (1) which identifies some other energy sinks:

$$E_{SN} = E_{\text{lost}} + \frac{1}{2} M_{\text{esc}} v_e^2 + E_{\text{trapped}} + E_{\text{ex}}. \quad (7)$$

Equation (1) implicitly assumes that the final two terms here can be neglected. As just discussed, these particular results clearly lend no support for neglecting the excess energy, $E_{\text{ex}}$. Indeed, in reality, it is difficult to see how the mass of gas affected by the supernovae could be naturally tuned such that it will always coast gently out to rest in the intergalactic medium.

Conversely, it also seems probable that plenty of gas will acquire some energy from supernovae, but not enough to escape (corresponding in equation (7) to $E_{\text{trapped}} > 0$). In order to satisfy energy balance of the system over many dynamical times, there is an argument that this must be eventually lost (and hence covered by $E_{\text{lost}}$). However, even if so, this ‘fountain’ process must still be considered in any reasonable derivation of the total energy loss.

Yet, despite all these caveats, the measured mass outflow in the model, shown in the upper panel of Fig. 2, does appear to agree with equation (1). The reason for this can be quickly appreciated: though the total energy fraction converted is variable (as discussed above), the potential energy which has been overcome to escape is similar in all systems. Therefore, the assumption that it is the only energy sink, though in fact incorrect, appears to work.

This is shown by the open points in Fig. 2, together with a dotted line indicating the mean value, $\eta$. When this value$^6$ is used in equation (1), the small scatter around it means that there is reasonable agreement with the measured mass in the upper panel.

Therefore, here we have a plausible scenario in which a frequently adopted scaling does, broadly, apply, but in which the usual assumptions are found to be only partially true. This is an illustration of the dangers of confirmation by affirmation. However, an illustration is all that it can be at this level of analysis. The degree to which any particular implementation of winds or set of numerical methods may represent reality is far from established. What we can try to establish is the following.

(i) The physical cause for certain aspects of the model’s? behaviour.

(ii) Which aspects therefore seem unreliable?

(iii) Which aspects are indeed telling us about real galaxies?

(iv) How can our physical understanding of the process be modified in the light of this investigation?

### 3.3 Variation of injected wind momentum

To begin analysing the modelling method, we briefly present the effect on the outflow of varying the wind speed and mass loading. To help isolate the effect, the total wind energy input, $E_{\text{SN}} = \frac{1}{2} M_{\text{w}} v_{w}^2$, is kept constant for all the realizations in this test.

Fig. 3 shows the mass, energy and momentum out of the plane that escape the same galaxy under five different initial wind speeds, but with the same total energy budget. With high wind speed, to the left of the plot, a small number of gas particles (about 10 000 per time-step) receive a large velocity kick. At these high speeds, the change in speed due to gravity is small and almost all of the momentum out of the plane is conserved in the outflow.

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$^6$ Direct measurements of this reveal true mean values in the range $v_{ex} \sim 100$–$150$ km s$^{-1}$, increasing with $v_c$. Exit velocities are investigated in Section 3.4.

$^9$ In this case, $\eta = 2.4$ per cent and $E_{\text{SN}} = (600 \text{ km s}^{-1})^2 M_{\star}$, so $v_{SN} = 93$ km s$^{-1}$.
The highest resolution was limited by the number of particles (rather than particle mass), and a practical maximum number turned out to be, for gas particles, \( N_p = 2.3 \times 10^5 \).

11 The difference between ejected and wind mass in this case is due to a single particle which acquired an energy kick at \( t \approx 0.1 \) Gyr but remained contained in the disc. All the others escaped, so the two lines differ by the mass of one particle. The one particle happened to be contained because of its initial trajectory towards the disc centre.
by supernovae acquires very little of the energy and does not escape the disc.

With more particles, and more interactions, such secondary outflow does become possible. Because of this, the evolution of the system looks very different to the right of Fig. 6 compared to the left. As the number of particles increases (left to right), the initial supernova momentum is shared by a greater gas mass. Therefore, the total energy which the outflow carries out is less, but the mass loss is greater. To accompany this explanation, a faint line is included in the upper panel of Fig. 6 which shows the momentum conserving case:

\[ M_{\text{out}} \approx \frac{P_{SN}}{v_z}, \]

\[ \approx \frac{1}{2} M_w v_w \frac{v_w}{v_z}, \]

(8)

where \( v_z \) is the mass-weighted mean of the exit velocities.

At low and intermediate resolution, interactions with the remaining disc gas are not generally sufficient to bring gravitational deceleration into play (i.e. \( v_z^2 \gg v_c^2 \) for much of the ejected gas), so equation (8), which neglects gravitational influence, is a good fit to the results in this regime. As the resolution is increased and exit speeds are reduced, more and more mass is ejected from the system. Eventually, at the highest resolutions, the speeds are reduced to \( v_z \lesssim v_c \), gravity has a significant influence and the results begin to diverge from the simple prediction of equation (8).

Therefore, the broad behaviour of this numerical experiment can be understood in terms of physical arguments, and the principal results – star formation and outflow – do appear to be reasonably robust to changes in resolution above some reasonable particle mass (\( \sim 10^5 M_\odot \)). This is supported by the behaviour shown in the top panel of Fig. 6. However, though the results may be consistent over this high-end range of resolution, the changing velocity distribution in the bottom row indicates that the calculation has not fully converged on all scales.

### 3.4.2 Milky Way like galaxy

We now turn to the case of a larger galaxy, with \( M_{\text{halo}} = 10^{12} M_\odot \). Images of two realizations of the system can be seen in Fig. 5, and the key results are shown in Fig. 7 (in the same format as Fig. 6).

To understand the behaviour of this larger system, we begin with the low-resolution limit where interactions between wind particles and the rest of the disc gas are negligible. The outcome is nearly as straightforward as the low-resolution limit in the dwarf galaxy, where almost all wind particles simply escape. However, because this larger system has at least some gravitational influence on the wind particles (\( v_z \sim v_c \)), a significant fraction is retained even in the absence of significant additional interaction. This statement implies something along the lines of

\[ M_{\text{out}} \approx \int_{v_c}^{v_w} \frac{dM}{dv_z} \, dv_z. \]

(9)

For the simple low-resolution case of a flat initial mass distribution in \( v_z \), which results from randomly directed velocity kicks, equation (9) becomes

\[ \frac{M_{\text{out}}}{M_w} \approx 1 - \frac{v_{\text{cut}}}{v_w} \left[ \frac{dM}{dv_z} \right]_{v_z = v_c}. \]

(10)

A dot–dashed line is included in Fig. 7 to illustrate this relation, using \( v_{\text{cut}} \approx v_c \) as a nominal value for the velocity required, on average, for gas to escape.

Of course, the distribution of wind velocities is only flat at the lowest resolutions, so this does not match the results of the high-resolution runs. As the system is modelled with more and more particles, the velocity distribution tends to the smooth form that can
be seen in the bottom-right panel. Notably, at the very highest resolution, the distributions of directly and indirectly affected particles are beginning to look quite similar. This is an encouraging sign that the calculation is beginning to converge, but the total mass outflow is still lower with each successive increase in particle number.

Concern over the lack of convergence in Figs 6 and 7 could be compounded by concerns for the particular implementation of winds that has been used in the models. Idealistically, one could argue that the precise choice of implementation would not matter with a sufficiently reliable SPH calculation, ejecting energy as random velocity perturbations to the particles being in principle equivalent to updating the ‘thermal’ energy of each particle by the appropriate value. However, in practice, such concordance is not the case without a more efficient time-integration scheme, as shown by Durier & Dalla Vecchia (2009).

Some simple tests, such as shown in Appendix B, suggest that the consequences for these particular experiments (even in the case of the low-resolution runs) are fortunately not critical. However, ultimately, this is yet another reason to remember that the results presented in this section are an investigation rather than a test. That said, we return to our premise at the end of Section 3.2: if we can at least understand the behaviour of our models (incomplete though they may be) in a physical way, we can use this to help motivate a more comprehensive understanding of real systems (even though the model itself may not perfectly represent them).

Thus, if equation (9) works well for the simple velocity distributions seen in Fig. 7 at low resolution, it might well be expected still to apply at the highest available resolution and indeed in reality, if only we knew the appropriate velocity distribution to use. Therefore, if we could anticipate the velocity distribution towards which the simulated winds are beginning to converge, we would equally well be able to predict – and understand – the mass outflow that would emerge at arbitrarily high resolution. This prospect will be examined in Section 4.

3.5 Mass dependence

The goal set up by Section 1 was to acquire a better understanding of the correlation between outflow efficiency and host galaxy properties, in particular the mass (or circular velocity). To re-initiate this discussion, Fig. 8 presents some key results from the idealized simulations as a function of host halo mass, but now showing not only the highest available resolution that was attained for all systems (using the same number of particles), but also the equivalent results at very low resolution. Reassuringly, the star formation appears to be robust to variation in resolution, so this discussion can focus on the behaviour of the outflow.

Turning to the outflow mass, the lower resolution case is easily understood by noting that, at all halo masses, the outflow mass is approximately double the mass of stars formed (clear from the upper panel), which is simply the ‘mass loading’ employed in this feedback prescription (6). Broadly, all kicked particles escape, others are unaffected.

At the highest possible resolution, the mass outflow is highly dependent on the local environment. As discussed in Section 3.4, this variation is the result of two principal competing effects:

(i) the distribution of energy across a greater gas mass (tending to increase the ejected mass due to conservation of momentum) and

(ii) the radiation of energy that such distribution will produce (tending to reduce the ejected mass; lower velocities are insufficient to escape gravity).

A quantitative account of the relative importance of these two influences will be the focus in Section 4.

4 TOWARDS AN IMPROVED PICTURE

Armed with a better understanding of the simulations, we return to the task motivated in Section 3.2: to seek a link between the external...
Figure 6. The effect of resolution on the mass outflow and evolution of an idealized, isolated dwarf galaxy (halo mass $= 10^{10} M_\odot$, baryonic fraction $= 0.054$). The same initial conditions have been evolved using the GADGET code but with 15 different choices of particle mass. The gravitational softening length in all cases is 10 pc. The middle panels show the time evolution of four of these, showing the gas mass fraction which has escaped (solid line), and the fraction which has escaped from the disc but returned (dashed line). Also shown is the fraction which has been directly allocated wind energy (dotted line), which in this set of simulations is just $M_w = 2 \dot{M}_\star$. The upper panel shows the final value of each of these quantities as a function of the particle mass used in the simulation run. Added to this panel is a faint dot–dashed line showing the result of straightforward conservation of initial momentum out of the plane (equation 8). The lower panel shows the distribution of escaped gas as a function of the outward velocity component, $v_z$, at the point when it crosses the nominal galaxy ‘boundary’ (see main text). The vertical dot–dashed line shows the mass-weighted mean, $\tau_z$ (in km s$^{-1}$), which is used in equation (8). Note that the integral under the total ejected mass is equal to $M_{out}/M_{wind}$, but the shaded area (which includes only wind particles) is not equal to unity because some wind particles do not escape.

The effect of supernova feedback (e.g. $M_{out}$), the internal conditions in the galaxy (e.g. $\tau_z$) and the governing parameters (e.g. $v_w$). Some steps towards this have already been taken in limiting cases. The case of a negligible potential barrier, but significant energy losses, was satisfactorily accounted for by a momentum conserving argument (8). This was compatible with the outflow in the limiting case ($v_w^2 \gg v_z^2$), a good approximation for the lowest mass galaxy up to quite high resolution (Fig. 6) and also for the most massive galaxy when simulated with a very high choice of intrinsic wind speed (Fig. 3).
The case of a significant potential barrier, but negligible energy losses, was successfully accounted for by equation (9). When applied to the limiting case of ballistic wind particles, perturbed only by gravity, (equation 10), this accounted for the low-resolution limit in the high-mass galaxy (Fig. 7).

The two ideas can be combined. To do this, we consider a momentum-conserving phase, leading to some distribution of outward velocities $dM/dv_z$, of which only the high-velocity tail, $v > v_{cut}$, is able to escape. This implies

$$M_{\text{out}} = \frac{p_{\text{SN}}}{v_z} \int_{v_{\text{cut}}}^{\infty} \frac{dM}{dv_z} \, dv_z,$$

(11)

where $p_{\text{SN}}$ is the initial momentum provided by the supernovae in question. A more careful derivation considering all directions, rather than our simplistic consideration of outflow directly out of the plane, would be of similar form but contain structural parameters.

Further illustration of this approach can be provided by adopting a nominal form for the velocity distribution, $dM/dv_z$, which appears in equation (11). We consider the simple form:

$$\frac{dM}{dv_z} = \frac{1}{2} \frac{M_w v_w}{v_c^2} e^{-v_c/v},$$

(12)

where $\frac{1}{2} M_w v_w$ has been substituted for the initial momentum provided by the supernovae.
Figure 8. The outflow of gas from idealized galaxies of different total mass, $M_{\text{halo}}$. The top panel plots the ratio between the ejected mass and the mass of stars formed. The middle panel shows the fractions which have been ejected from the system and re-cooled and the lower panel shows the fraction of the initial gas mass that has formed stars after being evolved for 0.5 Gyr (circles). In all panels, the highest resolution is shown as solid lines/points and the lowest choice as dashed lines and open points.

This ansatz for the converged velocity distribution can be compared with measurements from the highest resolution simulations that are available from our range. This comparison is shown in Fig. 9, which plots the velocity distribution of the ejected gas from three systems of different mass, simulated with the highest number of particles with which all three could be completed.

The $v_z$ that are measured from the simulations are the eventual exit velocities. Because equation (12) is an attempt to characterize the distribution of velocities at an intermediate stage, these values are adjusted by the potential gain, $\Delta \Phi_i$, at the point of measurement from the pre-kicked position: $v_{\text{adj}} = \sqrt{v_z^2 + 2 \Delta \Phi_i}$. This is somewhat crude but is more faithful to the concept behind equation (12) and is adequate for the main purpose of this figure, which is to illustrate its application.

The distribution of outflow gas as a function of these adjusted velocities shows that only the high-velocity tail makes it out of the disc, and the length of that tail will depend on the potential barrier in question. We model this as a smooth distribution cut-off at some value, $v_{\text{cut}}$, which is determined by the depth of potential well from which the gas has escaped (and thus expected to be close or equal to the characteristic velocity, $v_c$). The cut-off is not abrupt in reality, but the approximation of an abrupt cut-off may be an effective modelling strategy.

As shown in Fig. 9, $v_{\text{cut}}$ does indeed scale convincingly with halo mass and $v_c$. Therefore, the gravitational potential barrier presented...
to the supernova wind, known to be important since the work of Matthews & Baker (1971), can still be included in a simple estimate of the ejected mass, but in a context which is more faithful to the physics of the process.

4.1 Reconciliation with Section 1

As illustrated in Fig. 9, equation (11) can yield an expression for the outflow in terms of two parameters, both of which have direct physical significance.

(i) The distribution of speeds for supernova shock fronts at the end of their momentum-conserving phase.

(ii) A cut-off velocity, \(v_{\text{cut}}\), which approximately selects from this distribution the gas mass which will escape the high-density region of a galaxy (expected to be proportional to \(v_c\), or correlate with total mass as \(M^{2/3}\)).

Using the example velocity distribution in equation (12), this gives an alternative equation for the mass outflow:

\[
\frac{M_{\text{out}}}{M_w} \approx \frac{1}{3} \frac{v_w}{v_c^2} \int_{v_{\text{cut}}}^{\infty} e^{-v/v_c} \, dv_c,
\]

\[
\frac{M_{\text{out}}}{M_w} \approx \frac{v_w}{v_c} e^{-v_{\text{cut}}/v_c}. \tag{13}
\]

This is compared in Fig. 10 to the results of the highest available resolution runs of the five galaxies (the same points as Fig. 8) using values of \(\bar{v} = 40\) and \(v_{\text{cut}} = v_c\).

The chosen \(\bar{v}\) is the value that produced good agreement with the \(10^{10} M_\odot\) halo, which could be run with the highest resolution (lowest particle mass). Thus, the line in Fig. 10 can be viewed as an attempt to extrapolate from this to predict the outflow that would be found if we could resolve all the systems to this degree.

For this reason, it is not agreement with the simulation results that is sought from Fig. 10. The goal is to supersede them and to promote a more digestible explanation for observations such as the dependence of feedback efficiency on gravitational potential in real galaxies. With this in mind, we return to the issue presented at the start of the paper to see how our analysis of the simulated galaxies might apply in the context of real ones. To begin with, we identify again the two natural limits in the theory.

The simplest of these is at high mass, where the escape speed of the system is simply too high and supernovae do not generate the required wind speeds. This is the same physical limit in both theories [equations (1) and (11)].

At the very lowest mass, the two models differ. In equation (1), the outflow velocity is implicitly set to be zero at large radii and the only limit on the outflow is from energy conservation. Therefore, in order to escape at all, the wind must have had at least some outward momentum, of order \(M_{\text{SN}}v_c\), and this momentum must have come from somewhere, implying \(M_{\text{out}} \lesssim p_{\text{SN}}/v_c\). The model encapsulated by equation (11) does not ignore this, and there is indeed an equivalent maximum outflow for a given momentum yield, \(p_{\text{SN}}\), from the supernovae. For the velocity distribution of equation (12), this occurs at \(\bar{v} = v_c\).

At intermediate masses, there will be a gradual transition between these two limiting physical cases. This is illustrated in Fig. 11, which completes the paper by applying the alternative theoretical approach alongside the relevant observational data from Fig. 1, which originally motivated the investigation. As indicated in the labelling, the baryon content of the lowest mass structures will be severely limited by additional factors, principally natural cooling thresholds and the stripping of hot gas by larger neighbours. A detailed explanation of the way these effects function in the context of hierarchical formation can be found in Stringer et al. (2010). For this reason, the low-mass observational estimates are not included.

The high-mass structures, their baryonic contents also understood to be limited by processes other than supernovae, are similarly omitted.

**Figure 10.** A comparison between the simulation results, at the highest achievable resolution, and equation (13), which attempts to extrapolate beyond the resolution limits. This choice of \(\bar{v}\) is based on the velocity distribution which best fits the outflow from the \(10^{10} M_\odot\) halo, so this can be interpreted as a prediction of the results that would be found if all the systems were simulated with the particle mass that is possible for this lowest mass galaxy.

**Figure 11.** Application of the revised theory (11) to relevant data from Fig. 1. The solid line shows the equation given, using a mean wind velocity of \(\bar{v} = 70\,\text{km\,s}^{-1}\), and a mean specific initial wind momentum per mass of stars formed of \(v_w = 1400\,\text{km\,s}^{-1}\). The dotted line shows the limiting maximum outflow for this particular wind velocity distribution and the value of \(v_w\).
The values of $v_{\infty}$ and $\theta$ in Fig. 11 are chosen to illustrate that the theory is compatible with the observations using physically appropriate parameter values. The value for the momentum yield of $p_{\infty} = 1400 M_* \text{ km s}^{-1}$ is somewhat higher than the nominal value plotted in Fig. 1, but the locus is similar. This is because the models reviewed in Section 1 consider only one characteristic value of momentum or energy for the wind, and assume that all the wind escapes. The revised theory allows for a distribution of velocities in the wind; the slow-moving fraction being retained by gravity, and the high-velocity tail escaping with, in general, a significant asymptotic kinetic energy. We consider this to be a more realistic picture.

5 SUMMARY

In this paper, we began by reviewing the 40-yr old theory of supernova-driven outflow from galaxies, distinguishing between the premise of a consistent energy yield and that of a consistent momentum yield. If adapted to CDM cosmology, we demonstrated that such theories can be used to derive first-order predictions of the expected baryon content of cosmic structures which are in agreement with observational estimates spanning many orders of magnitude in host halo mass (Fig. 1).

With the aid of modern computational resources, we then scrutinized the arguments in these theories, following the extent to which certain premises apply as parameter values (Fig. 3) and particle number (Figs 6 and 7) were varied in the simulation, identifying regimes dominated by momentum conservation and those where energetic limits take over. One traditional premise which was not upheld in these models was the supposition that all the energy conveyed to the gas would be exhausted in overcoming gravity. The simulated outflows left the system with a range of velocities, comprising a fraction of the total energy comparable to that expended against gravity.

The tendency of the distribution of outward velocities towards a smooth form, as we increased the resolution of the simulations, led us to anticipate the converged form of this distribution. This was used as the basis for a more cohesive theoretical picture of the process, which takes into account both the local, momentum-conserving properties of the wind and the importance of the gravitational potential barrier which the winds must overcome.

An example velocity distribution was then presented (Fig. 9) to demonstrate how such a modelling approach could work, and used to extrapolate predictions for the outflow mass which were compared with the available simulation results (Fig. 10). The model was also compared with the observational estimates (Fig. 11), demonstrating the potential for the emergent global properties of these systems to be accounted for directly in terms of their internal structure and the energy sources which drive them.

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APPENDIX A: SOFTENING LENGTH

In Section 3.4, there is a detailed comparison between simulation results generated using different numbers of particles. In order to make sure that this change in number is solely responsible for any difference in outcome, the gravitational softening length is crudely set to the same value for all calculations.

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12 This yield would be available from a supernova sweeping up a mean mass of $100-300 M_\odot$ before a significant fraction of an initial energy of $\sim 10^{51} J$ was lost through cooling (depending on the IMF and structural factors of order unity).
Principles of supernova-driven winds

Figure A1. Testing the consequences of choosing a more appropriate gravitational softening length for low-resolution simulations. This figure shows the time evolution (upper panel) and exit velocity distribution (lower panel) for a large disc galaxy at two contrasting resolutions, but with more appropriate choices of softening length than in Figs 6 and 7. Whilst the low-resolutions results (solid lines) were indeed sensitive to this change, it clearly does not bring them in line with the high-resolution calculations (dotted lines). In the upper panel, the colouring is as for Figs 6 and 7: red for ejected gas, magenta for the initial ‘wind’ and blue for the ejected gas that has re-cooled back into the disc.

The choice, 10 pc, was made as it is appropriate for the higher resolution runs and these are the results that are actually under the most scrutiny. However, this choice is inappropriate for the lower resolution runs. It is important to verify that the sensitivity of the results to particle mass that was exposed in Figs 6 and 7 might not have been alleviated by more thoughtful choices.

Some basic tests indicated that the results were indeed affected by changing the softening length, but confirmed that no choice could produce identical simulation results when run with very different particle mass. An example of one such test is shown in Fig. A1.

APPENDIX B: TIME-STEMS

The numerical methods used to illustrate this paper perform integration for each particle using time-steps that are determined by its local environment. As pointed out by Saitoh & Makino (2009), this will lead to very inaccurate integration in the case of strong shocks, where one locally determined time-step can be very long compared with the that of neighbouring regions.

Figure B1. Testing the importance of time-step length in the low-resolution simulations. The dotted lines are the results of one of the resolution runs from Fig. 7, which used the standard calculation method where time-steps in the calculation are decided dynamically, based on the environment. The solid lines show the evolution of identical initial conditions where the calculation was changed only by enforcing the use of extremely short time-steps throughout (\( \Delta t \approx 5600 \) yr). The key is as given, for definitions of the three components, see Section 3.1.

For the application to which the simulations have been put in this work, we are principally concerned with the question of whether this problem is responsible for the large change in results between low and high particle masses that is shown in Figs 6 and 7. This possibility was ruled out by running the calculations again for the same initial conditions, but with the code modified such that the time-step for all particles is very short (about 5000 yr).

The results of one such modified calculation are compared with the results of the standard scheme in Fig. B1. The results are clearly affected by the use of much shorter time-steps, but certainly not to the extent that they are changed by the use of smaller particle mass, as shown in Figs 6 and 7. Similar tests on other values of halo mass and particle mass produced similar outcomes, supporting the conclusion that rectifying the issues with time-step allocation (as achieved by Durier & Dalla Vecchia 2009) would not completely remove the dependence of results on choice of particle mass.

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