Magnetic sensitivity of the Microwave Cryogenic Sapphire Oscillator

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(Dated: 11 May 2020)

The Cryogenic Sapphire Oscillator is today recognized for its unprecedented frequency stability, mainly coming from the exceptional physical properties of its resonator made in a high quality sapphire crystal. With these instruments, the fractional frequency measurement resolution, currently of the order of $10^{-16}$, is such that it is possible to detect very small phenomena like residual resonator environmental sensitivities. Thus, we highlighted an unexpected magnetic sensitivity of the Cryogenic Sapphire Oscillator (CSO) at low magnetic field. The fractional frequency sensitivity has been preliminary evaluated to $10^{-13}$/Gauss, making this phenomenon a potential cause of frequency stability limitation. In this paper we report the experimental data related to the magnetic sensitivity of the quasi-transverse magnetic Whispering Gallery (WGH) modes excited in sapphire crystals differing from their paramagnetic contaminants concentration. The magnetic behavior of the WGH modes does not follow the expected theory combining the Curie law and the Zeeman effect affecting the Electron Spin Resonance of the paramagnetic ions present in the crystal.

I. INTRODUCTION

The microwave Cryogenic Sapphire Oscillator (CSO) is currently the most stable source at short term presenting a fractional frequency stability better than $1 \times 10^{-15}$ for integration times $\tau \leq 10,000\ s^{1.2}$. Numerous tests have already proved its effectiveness and robustness in a number of very demanding scientific applications3–7. The recent demonstration of a low consumption CSO8 and its commercial availability9 paves the way for its deployment in real field applications.

As the CSO presents state-of-the-art short term fractional frequency stability, its individual characterisation was only recently made possible by the implementation of the threecornered-hat method10. By revealing the individual frequency stability of three independent CSOs, we demonstrated that residual temperature variations arising from a non-perfect resonator stabilisation can be responsible for a part in the CSO short term frequency instability11. It is clear also from the observations that it remains some other residual envirnomental sensitivities, which need now to be identified and characterized. During the validation of a new CSO, we observed that its frequency is shifted when the sapphire resonator is submitted to a low value DC magnetic field. The fractional frequency sensitivity has been preliminary evaluated to $1 \times 10^{-13}$/Gauss, making this phenomenon a potential cause of frequency stability limitation.

In this paper, we report the measurements we made to characterize the sapphire resonator magnetic sensitivity. Experimental results clearly demonstrate that this sensitivity is related to the accidental paramagnetic contaminants present in the sapphire crystal. Combining the Curie law and the Zeeman effect of the paramagnetic impurities Electron Spin Resonance (ESR), a magnetic sensitivity is effectively expected. However, the whispering gallery mode frequency dependence we observed does not obey these theoretical expectations.

II. PRELIMINARY EXPERIMENTAL OBSERVATIONS

The CSO is based on a whispering gallery mode resonator made in a high-quality sapphire (Al2O3) monocrystal. Cooled near the liquid He temperature, a 10 GHz resonator presents typically an unloaded Q-factor of $1 \times 10^9$. The sapphire resonator is stabilized at its operating point $T_0$ between 5 and 10 K where its frequency is no longer sensitive to the first order temperature fluctuations. Indeed at this turnover temperature, the effect of dilatation and permittivity variations are compensated by the magnetic susceptibility induced by the paramagnetic impurities as Cr3+, Fe3+ or Mo3+ present in very small concentration in the high purity sapphire crystal. $T_0$ is dependent on the exact impurity contained and thus is specific to each crystal. Eventually, the cryogenic resonator is used in transmission mode in a regular oscillator loop, and in reflection mode as the discriminator of a classical Pound servo, which stabilizes the electrical length of the sustaining loop. The power injected into the resonator is also stabilized.

During the 2019 year, we undertook the building of a new CSO based on the configuration described by Fluhr et al.9. The cryocooler run with a 3 kW compressor and is able to cool the sapphire resonator down to 5 K. The microwave resonator is a sapphire disk 54 mm diameter and 30 mm high centered in a cylindrical copper cavity. The crystal C-axis coincides with the z-axis of the cylinder within 1°. The CSO exploits the quasi-transverse magnetic $WGH_{15,0,0}$ mode at 9.99 GHz.12. Two small magnetic loops couple the resonator to the external sustaining circuit. A ferrite circulator placed at a short distance of the input loop extracts the reflected signal useful for the Pound servo. The resonator output line is terminated

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by ferrite isolator. The figure 1 represents the immediate environment of the resonator thermally linked to the cryocooler second stage through soft copper braids.

![Circulator and isolator placed at the resonator input](image)

**FIG. 1.** The sapphire resonator mounted inside the CSO cryostat.

We initially selected a sapphire crystal elaborated with the Kyropoulos method\(^\text{13}\). This resonator, designed as PST-17-03, presents an unloaded Q-factor of \(0.9 \times 10^9\) measured at its turnover temperature \(T_0 = 9.01\) K. This relative high value of \(T_0\) departs from our preceding realizations, for which \(T_0\) was always found below 7 K. Nevertheless, 9 K is still compatible with the cryocooler operation and, providing the short-term stability is limited by the intrinsic noise of the control electronics, the Q-factor is sufficient to reach an Allan deviation (ADEV) of \(1 \times 10^{-15}\) at \(\tau = 1\) s\(^\text{11}\).

Just after assembly, a preliminary measurement shows a CSO short term stability of \(4 \times 10^{-15}\). Such a value is typical for a first run and can be improved by a further optimization of the resonator coupling and of the different control loops. During our first attempt to adjust the temperature and Pound servos, we observed that the CSO frequency is sensitive to magnetic perturbations. The figure 2 shows the beat-note obtained by comparing the CSO under test and one of the three ultra-stable CSOs of the Oscillator IMP platform\(^\text{14}\). The latter is implemented in an adjacent room where the temperature is stabilized within ±0.5 °C.

At about 5,000 s, a metallic trolley like those used in a mechanical workshop is moved and passes nearby the CSO’s cryostat. A clear frequency shift is observed. The magnetic CSO sensitivity was later confirmed by approaching the strong permanent magnet from the cryostat. We checked that the others CSO parts, i.e. the sustaining loop and the electronic controls, are not affected by the magnet. From these preliminary observations, we roughly estimated the CSO fractional frequency sensitivity to an axial magnetic field is of the order of \(1 \times 10^{-13}/\text{Gauss}\). No significant frequency shift could be detected for a transversal magnetic field.

Inside the cryostat few components are known to be sensitive to the magnetic field:

![Frequency shift observed when a metallic trolley is passing nearby the cryostat](image)

**FIG. 2.** Frequency shift observed when a metallic trolley is passing nearby the cryostat.

### A. Thermal sensor

The observed magnetic sensitivity cannot be attributed to the Cernox\(^\text{TM}\) CX-1050 thermal sensor used to stabilize the resonator temperature at its turning point. At low magnetic field the magnetic sensitivity of this thermal sensor can be neglected\(^\text{15,16}\).

### B. Ferrite components

The circulator and the isolator placed at the resonator input and output ports are commercial miniature microwave components not specially designed for cryogenic applications. As they are based on a magnetized ferrite, they could induce a CSO magnetic sensitivity. Indeed, the resonator input and output ports are ideally terminated by 50 Ω loads, otherwise the resonance frequency will be shifted. If \(X_G\) and \(X_L\) are the reactive parts of the input and output load respectively, the resonance frequency shift is given by\(^\text{17}\):

\[
\frac{\Delta \nu}{\nu_0} = -\frac{\beta_1}{2Q_0Z_0} \frac{X_G}{\beta_2X_L}
\]

where \(Z_0 = 50\) Ω, \(\beta_1\) and \(\beta_2\) the input and output coupling coefficients and \(Q_0\) the resonator unloaded quality factor. We tested at room temperature several miniature commercially available circulators and isolators. The reactances at their input port are found to be in the range \(1-3\) Ω, with a sensitivity to the magnetic field of \(\sim 10^{-13}/\text{Gauss}\). A calibration is required at the component input port to accurately evaluate these figures. Such a calibration is difficult to realize at a low temperature. We only checked on one isolator unit placed into the cryostat that its transmission and reflexion coefficients were not appreciably modified when a magnetic field is applied. Thus, we assume in a first approach that the orders of magnitude \(X\) and \(
\frac{dX}{dB}\) measured at room temperature are still valid in the cryogenic environment. With the typical resonator parameters, i.e. \(\beta_1 \approx 1, \beta_2 \ll 0.1\) and \(Q_0 \approx 10^9\), the equation (1) gives a magnetic field frequency sensitivity not exceeding...
5 \times 10^{-15}/\text{Gauss}, which is not negligible but still too low to explain the experimental observations.

C. Sapphire resonator

As it contains paramagnetic ions, the sapphire resonator is expected to be sensitive to the magnetic field. Indeed, the ESR frequency of the paramagnetic dopants is dependent of the magnetic field through the Zeeman effect. A microwave resonance lying nearby the ESR frequency will be thus impacted by any change in the applied magnetic field. At a given temperature \( T \), the impact of the paramagnetic dopants on the frequency \( \nu \) of a WGH mode is described by:

\[
\frac{\nu - \nu_0}{\nu_0} = AT^4 + \frac{\chi'}{2}.
\]

\( \nu_0 \) would be the mode frequency at \( T = 0 \) K and in the absence of any paramagnetic dopant. \( A \) combines the temperature dependence of the dielectric constant and the thermal dilatation of the sapphire\(^{18}\). For the WGH modes we are dealing for, \( A \) is almost mode independent: \( A \approx -2.6 \times 10^{-12} \text{K}^{-4} \).

\( \chi' \) is the real part of the ac susceptibility for a RF magnetic field perpendicular to the crystal C-axis. It is the sum of the contributions of all paramagnetic ion species contained into the crystal. \( \chi' \) is a multivariable function of the frequency \( \nu \), the signal power, the temperature and the applied magnetic field. Let us assume a concentration \( N \) of a paramagnetic ion characterized by spin \( S \), a ground state field-splitting \( \nu_j \) and a spin-spin relaxation time \( \tau_2 \). At low excitation power and without any DC applied magnetic field, the real part of the susceptibility is a dispersive Lorentzian function that nulls at \( \nu_j \):  

\[
\chi'(\nu) = \chi_0 \frac{(2\pi \tau_2)^2(\nu - \nu_j)}{1 + (2\pi \tau_2)^2(\nu - \nu_j)^2}. \tag{3}
\]

All the temperature dependence of \( \chi' \) is contained in the dc-susceptibility \( \chi_0 \), which results from the distribution of the ions on their energy levels through the effect of the thermal agitation. Assuming \( \chi_0 \) follows the Curie law, we have:

\[
\chi_0 = \mu_0 N \frac{g^2 \mu_B^2}{3k_B T} S(S + 1). \tag{4}
\]

where \( g \) is the Landé factor, \( \mu_B \) is the Bohr Magneton, \( \mu_0 \) is the permeability of free space and \( k_B \) is the Boltzmann constant.

When a DC magnetic field \( B_z \) is applied along the resonator axis, the Zeeman degeneracy of the ESR is lifted. The total susceptibility will be the sum of two ESR lines whose frequencies evolve linearly with \( B_z \), i.e. \( \nu_j \pm \gamma B_z \), with \( \gamma \sim 2.8 \text{ MHz/Gauss} \). Based on this model, the figure 3 represents the frequency shift of a 10 GHz resonance inside a sapphire resonator containing 0.15 ppm of \( \text{Cr}^{3+} \) ion as a function of the DC axial magnetic field. Here, we have deliberately chosen 0.15 ppm of \( \text{Cr}^{3+} \) because, as we shall see later (see section IV), it corresponds to the concentration in chromium ion needed to explain the turnover temperature of 9 K. The resonator thermal behavior shows also that for this particular sapphire piece the concentration in \( \text{Fe}^{3+} \) is negligible.

A large frequency variation is observed when the \( \text{Cr}^{3+} \) ESR goes through the microwave resonance, i.e. when the DC applied field is \( \sim \pm 500 \text{ Gauss} \). Near \( B_z = 0 \), the frequency variation evolves almost quadratically. As there is no magnetic shield around the sapphire resonator, we can assume that the resonator is polarized by the Earth magnetic field of the order of few 100 mGauss. The slope of the fractional frequency shift at this point is \( \sim -1 \times 10^{-13} \), and thus compatible with our experimental observations. However, as we will see in the next section, the experimental frequency variation substantially differs from the theoretical prediction presented in the figure 3.

The sapphire resonator magnetic sensitivity has been already pointed out. It was used by Kovacic et al.\(^{20}\) to tune the turnover temperature of a whispering gallery mode lying between the \( \text{Cr}^{3+} \) and \( \text{Fe}^{3+} \) ions ESR frequencies. However, the authors insist on the fact that the apparent frequency dependence of the magnetic susceptibilities induced by the paramagnetic impurities did not obey the expected theory. Benmessai et al.\(^{21}\) showed how the application of an axial magnetic field on a 4 K sapphire resonator, with a mode tuned on the \( \text{Fe}^{3+} \) EPR, adds a gyrotropic component of magnetic sus-
ceptibility. More recently, the application of a magnetic field generated by a superconducting coil has been used to study the interaction of a two-level quantum system, i.e. the paramagnetic dopants, with the electromagnetic field of a whispering gallery mode resonator cooled down 20 mK. In these last experiments, the environmental conditions differ greatly from our own set-up where the applied magnetic field stays below 40 Gauss and the temperature is higher than 4 K.

III. WGH MODES MAGNETIC SENSITIVITY

In order to study its magnetic sensitivity, the sapphire resonator was dismounted from the CSO and placed in a cryostat devoted to components testing. An axial magnetic field up to 36 Gauss can be applied by the means of external Helmholtz coils. The resonator was mounted alone without any ferrite isolator and all its surroundings is made with non magnetic materials.

The frequency of the quasi-transverse magnetic whispering gallery modes \( WGH_{m,0,0} \) has been measured with a Vector Network Analyser (VNA) referenced to a Hydrogen Maser to ensure long term stability. No measurement has been realized for the quasi-transverse electric WGE modes. The \( WGH_{m,0,0} \) modes separation is \( \sim 570 \) MHz and the \( WGH_{15,0,0} \) mode frequency is \( \sim 9.99 \) GHz. At zero magnetic field, the ESR frequency of the Cr\(^{3+}\) and Fe\(^{3+}\) ions is 11.44 GHz and 12.04 GHz respectively.

The figures 4 and 5 show the frequency shift as a function of the DC applied axial magnetic field for the modes lying nearby the ESR frequency of Cr\(^{3+}\) and Fe\(^{3+}\). For all the modes we followed, the frequency shift appears as an even function of the DC applied magnetic field. Thus, we only present here the frequency variations for \( B_z \geq 0 \). The measurement frequency resolution depends on the mode loaded Q-factor and on the V.N.A IF bandwidth we selected. This resolution was \( \pm 4 \) Hz for the \( WGH_{11,0,0} \) mode and better than 1 Hz for all the following modes.

For an azimuthal number \( m < 11 \), no noticeable frequency shift has been observed. Above \( m = 18 \) the \( WGH \) are poorly coupled and thus difficult to observe. Nevertheless, we have been able to follow the \( WGH_{21,0,0} \) mode at 13.22 GHz, which presents a very low frequency variation. It appears that none of the modes follow the expected variation described in the figure 3. Even more surprising, the modes such as \( m < 18 \) evolve differently with a frequency shift that can be positive or negative, while the theory predicts a negative frequency shift that should decreases when the mode frequency goes away from the ESR frequency. Due to some geometrical imperfection affecting the resonator cylindrical symmetry, any whispering gallery mode is generally splits in two twin modes separated by a few kilohertz. For a given azimuthal number, the coupling of each twin mode depends on the relative position of the coupling probe and the main imperfection, which cannot be determined before the first cool-down. We measured the magnetic sensitivity of each twin modes when both were sufficiently coupled. This was done for \( m = 12, 13 \) and 15. No difference in the magnetic sensitivity of the two twin modes was detected within the measurement frequency resolution. In order, not to overload the presentation, we reported in the figure 4 the frequency variation of only one mode of the doublet for each azimuthal number.

The \( WGH_{18,0,0} \) mode lying between the two ESR frequencies presents the larger frequency shift. The experimental frequency shift follows the theoretical expectation for low magnetic field, i.e \( B_z < 25 \) Gauss, but diverges for higher values. This mode appears also very sensitive to the injected power due to the very fast saturation of the electron spin resonance\(^{27}\).

From the equation 2 and making explicit only the dependence in the magnetic field, the relative frequency shift that is observed when \( B_z \) is applied, can be written as:

\[
\frac{\Delta f}{f_0} = \frac{B_z}{f_0} \left( 1 + \frac{m^2}{n^2} - \frac{m_0^2}{n_0^2} \right)
\]
From the collected data presented in the figure 5 and with the help of equation 5, we calculated for each mode frequency the magnetic susceptibility variation when a 32 Gauss axial magnetic field is applied. The result is compared with the theoretical expectation in the figure 6.

\[
\frac{\nu(B_z) - \nu(0)}{\nu_0} = \frac{1}{2} \left[ \chi'(B_z) - \chi'(0) \right] = \frac{\Delta \chi'(B_z)}{2}. \tag{5}
\]

To evaluate their concentration, we adapted the method described by Mann et al., which consists to measure the whispering gallery mode frequency shift when a strong auxiliary signal is applied to saturate the ESR transition. The set-up we used is presented in the figure 7.

FIG. 6. Magnetic susceptibility variation as a function of the mode frequency when an axial magnetic field \( B_z = 32 \) Gauss is applied. a) Full scale theoretical expectation, at 32 Gauss the two Zeeman components begin to separate. b) Expanded vertical scale: (●): deduced from the experimental data. Solid line: theoretical expectation.

FIG. 7. Set-up used to evaluate the paramagnetic impurities concentration. Two low Q modes at 11.43 GHz and 12.00 GHz are excited to saturate the \( \text{Cr}^{3+} \) and \( \text{Fe}^{3+} \) ESR respectively.

IV. IMPACT OF THE PARAMAGNETIC IMPURITY CONCENTRATION

From the preceding experimental observations, we can reasonably conclude that the resonator magnetic sensitivity is due to the paramagnetic impurities present in the sapphire crystal.
With the pump signal at 12 GHz, i.e. very near the Fe\(^{3+}\) ESR, no variation has been detected in the thermal behavior of the WGH modes. Thus, the concentration of Fe\(^{3+}\) is very low and can be neglected. Two examples are given in the figure 8 for a pump signal at 11.43 GHz, which saturates the Cr\(^{3+}\) ESR.

The WGH\(_{15,0,0}\) at 9.99 GHz presents initially a turnover temperature of 9.0 K. When the pump signal at 11.43 GHz is applied, the susceptibility induced by Cr\(^{3+}\) ions tends to zero. This mode still presents a turnover but at a lower temperature, i.e. 6.6 K.

As its frequency is higher than the Cr\(^{3+}\) ESR frequency, the WGH\(_{21,0,0}\) initially shows a monotonic thermal behavior without turnover. When the pump is applied a turnover appears at 6.5 K.

The figure 9 summarizes the experimental results for the WGH modes with \(8 \leq m \leq 22\).

With the pump signal off and starting from the lowest frequencies, the turnover temperature increases as the mode approaches the Cr\(^{3+}\) ESR. The modes lying above the Cr\(^{3+}\) ESR do not present a turnover point, indicating that this ion has a predominant effect on the thermal behavior of the WGH modes. When the pump signal is on, almost all the modes presents a turnover at about 7 K. Such a constant in the turnover temperature can be observed in the purest sapphire crystals where the Mo\(^{3+}\) ion, whose ESR frequency is 165 GHz, is the predominant paramagnetic impurity\(^{18}\).

The spread in turnover temperatures observed for the modes near 11 GHz could result from Cr\(^{3+}\) or/and Fe\(^{3+}\) residuals. We first search for the Mo\(^{3+}\) ion concentration leading to a turnover temperature of 7 K. The solid line in the figure 9 has been obtained with 320 ppb of Mo\(^{3+}\). In a second step we added to the model 150 ppb of Cr\(^{3+}\) ions, and obtained the dashed line shown in the figure 9, which represents well the experimental observations.

This procedure has been also applied to a second sapphire crystal coming from another manufacturer and elaborated using the Top Seeded Melt Growth (TSMG) process\(^ {29}\). This second crystal is designed as SHI-18-01 and presents for the WGH\(_{15,0,0}\) mode a turnover temperature of 7 K. The Table I reports the paramagnetic ion concentrations determined with the above described method.

| Sapphire   | WGH\(_{15,0,0}\) turnover temp. \(T_0\) (K) | Cr\(^{3+}\) (ppb) | Fe\(^{3+}\) (ppb) | Mo\(^{3+}\) (ppb) |
|------------|------------------------------------------|------------------|------------------|------------------|
| PST 17-03  | 9.0                                      | 130              | 0                | 320              |
| SHI 18-01  | 7.0                                      | 30               | 2                | 150              |

The figure 10 compares the WGH\(_{15,0,0}\) magnetic sensitivity for these two sapphire resonators.

The shape of the frequency variation is the same but the SHI 18-01 resonator is almost ten times less sensitive than PST 17-03. This last measurement confirms that the magnetic sensitivity is related to the quantity of paramagnetic dopants, but does not follow the classical theory. In addition, the fact that these two crystals were developed with two different growth processes and by two different manufacturers leads to the exclusion of a cause related to any inhomogeneity in the concentration of paramagnetic ions.

V. CONCLUSION

We highlighted an unexpected magnetic sensitivity of the whispering gallery modes excited in a microwave cryogenic sapphire resonator. From our experimental observations, it is
clear that this magnetic sensitivity is related to the paramagnetic impurities contained inside the resonator, and especially the Cr\(^{3+}\) ion. However, if the classical theory based on the Curie law describes correctly the resonator thermal behavior, it fails to explain the frequency variations observed when a DC magnetic field is applied. This discrepancy between the theory for isolated ions and the observed magnetic behavior is not fully understood at this time. To search to explain the deviations from the predicted individual ion behaviour, one can invoke:

- A exchange coupling between distant pairs of Cr\(^{3+}\) ions. Indeed, the theoretical model on which our calculation is based, assumes the paramagnetic ion energy levels are those of an individual magnetic spin embedded in the crystal lattice. If some exchange of energy occurs between two distant ions, the energy levels will be modified as well as the shape of resonance line. For Cr\(^{3+}\) ions in the Al\(_2\)O\(_3\) lattice, the exchange coupling is antiferromagnetic\(^{30}\). We have already pointed out the work of Kovacich et al.\(^{20}\) reporting similar discrepancies between the simple Curie law model and the experimental observations. More recently, Borhill et al.\(^{31}\) reported an anomalous behaviour of the Lorenzian ESR absorption line of Fe\(^{3+}\) in Al\(_2\)O\(_3\), which is attributed to a collective effect between two-level spins with an inhomogeneous distribution of the energy level splitting. The complexity of the interaction between the resonator high-Q modes and the spin bath has been also clearly highlighted in some other papers by Farr et al.\(^{23,32}\), where the quantum nature of this interaction is demonstrated for an ultra-low temperature.

-The presence of other paramagnetic impurities inside the Al\(_2\)O\(_3\) matrix. Apart from Cr\(^{3+}\), Fe\(^{3+}\) and Mo\(^{3+}\), there are several other impurities in sapphire which have an ESR zero field splitting close to the present experimental results: for instance Gd\(^{3+}\) at 3.1 GHz\(^{33}\), Cu\(^{3+}\) at 5.6 GHz\(^{34}\), V\(^{2+}\) at 9.8 GHz\(^{35}\), and Mn\(^{4+}\) at 11.7 GHz\(^{26}\). The situation is even more complex if we consider the possibility of finding the \(^{53}\)Cr isotope\(^{37}\) with a hyperfine structure. Employing a technique similar to those described in Farr et al.\(^{23}\) may elucidate the presence and relative strength of impurities in the sapphire crystals.

The above mentioned effects are second order phenomena and are generally observed in highly doped sapphire crystals in classical ESR experiments. Although our crystals are very weakly doped, the large Q-factor of the whispering gallery mode sapphire resonator provides a sufficient resolution to reveal such a type of very weak phenomena.

ACKNOWLEDGMENTS

The work has been realized in the frame of the ANR project Equipex Oscillator IMP (Grant number: ANR-11-EQPX-033). The authors would like to thank the Council of the Région de Franche-Comté for its support to the Projet d’Investissements d’Avenir (Grant number: 2014-C-16352).

AIP PUBLISHING DATA SHARING POLICY

Data available on request from the authors.

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\(^{15}\)The Oscillator IMP project funded in the frame of the french national Projet d’Investissement d’Avenir (PIA) targets at being a facility dedicated to the measurement of noise and short-term stability of oscillators and devices.
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in the whole radio spectrum (from MHz to THz), including microwave photonics.

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