A $\sim 50,000 M_\odot$ SOLAR MASS BLACK HOLE IN THE NUCLEUS OF RGG 118

Vivienne F. Baldassare$^1$, Amy E. Reines$^{1,3}$, Elena Gallo$^1$, and Jenny E. Greene$^2$

$^1$Department of Astronomy, University of Michigan, Ann Arbor, MI 48109, USA
$^2$Department of Astrophysical Sciences, Princeton University, Princeton, NJ 08544, USA

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ABSTRACT

Scaling relations between black hole (BH) masses and their host galaxy properties have been studied extensively over the last two decades, and point toward co-evolution of central massive BHs and their hosts. However, these relations remain poorly constrained for BH masses below $\sim 10^6 M_\odot$. Here we present optical and X-ray observations of the dwarf galaxy RGG 118 taken with the Magellan Echellette Spectrograph on the 6.5 m Clay Telescope and Chandra X-ray Observatory. Based on Sloan Digital Sky Survey spectroscopy, RGG 118 was identified as possessing narrow emission line ratios indicative of photoionization partly due to an active galactic nucleus. Our higher resolution spectroscopy clearly reveals broad H$\alpha$ emission in the spectrum of RGG 118. Using virial BH mass estimate techniques, we calculate a BH mass of $\sim 50,000 M_\odot$. We detect a nuclear X-ray point source in RGG 118, suggesting a total accretion powered luminosity of $L = 4 \times 10^{40}$ erg s$^{-1}$, and an Eddington fraction of $\sim 1\%$. The BH in RGG 118 is the smallest ever reported in a galaxy nucleus and we find that it lies on the extrapolation of the $M_{\text{BH}} - \sigma_*$ relation to the lowest masses yet.

Key words: galaxies: active – galaxies: dwarf – quasars: supermassive black holes

1. INTRODUCTION

It is now established that most, if not all, massive galaxies (e.g., $M_\star \gtrsim 10^{10} M_\odot$) host massive black holes (BHs) at their centers. Moreover, the BH masses correlate with properties of the host galaxy, such as bulge stellar velocity dispersion, bulge luminosity, and bulge mass, pointing toward co-evolution of galaxies and their BHs (Ferrarese & Merritt 2000; Gebhardt et al. 2000; Marconi & Hunt 2003; Gültekin et al. 2009; Kormendy & Ho 2013; McConnell & Ma 2013). However, these scaling relations are poorly constrained for low BH/galaxy masses ($M_{\text{BH}} \lesssim 10^6 M_\odot$). It is presently unknown what fraction of low-mass galaxies ($M_\star \lesssim 10^{10} M_\odot$) contain BHs, and BH mass measurements in this regime are relatively scarce.

The low-mass end of scaling relations is of particular importance for constraining models of high-redshift BH seed formation. Volonteri & Natarajan (2009) found that for BH masses $\lesssim 10^6 M_\odot$, the slope and scatter of $M_{\text{BH}} - \sigma_*$ vary depending on whether BH seeds were massive ($\sim 10^4 - 5 M_\odot$, e.g., from direct collapse) or smaller Population III remnants ($\sim 100 M_\odot$). Additionally, the fraction of low-mass galaxies hosting BHs is itself important for models of BH seed formation (e.g., Volonteri et al. 2008; Miller et al. 2015).

BH mass measurements for dwarf galaxies remain difficult to achieve and are often highly uncertain. Dynamical mass measurements for low-mass BHs are limited by the resolving power of our telescopes; even with the Hubble Space Telescope, we can only resolve the sphere of influence of BHs with $M_{\text{BH}} \sim 10^5 M_\odot$ for the Local Group. Active galactic nuclei (AGNs) offer an opportunity to measure BH masses in systems beyond the Local Group; for AGNs in dwarf galaxies, we can estimate masses based on broad emission lines if present (e.g., Greene & Ho 2005; Reines et al. 2013), or from the fundamental plane of BH activity (Merloni et al. 2003; Reines et al. 2011, 2014). These methods rely on correlations between various observables and BH mass, and thus carry larger uncertainties.

In the last few years, the number of known dwarf galaxy–AGN systems has moved beyond a few key examples such as NGC 4395 (Filippenko & Ho 2003), POX 52 (Barth et al. 2004), and Henize 2–10 (Reines et al. 2011; Reines & Deller 2012) to increasingly larger samples. The first systematic searches for low-mass BHs were done by Greene & Ho (2004, 2007), which searched the Sloan Digital Sky Survey (SDSS) for galaxies with broad H$\alpha$ emission indicating AGN with masses below $2 \times 10^6 M_\odot$. Though these surveys identified $\sim 200$ such BHs, the host galaxies had stellar masses larger than typical dwarf galaxies (Greene et al. 2008). More recently, Reines et al. (2013) increased the number of known AGNs in dwarf galaxies by an order of magnitude by searching for narrow and broad emission line AGN signatures in a sample of nearby (z $\lesssim 0.055$) dwarf galaxies ($M_\star \lesssim 3 \times 10^9 M_\odot$) in the SDSS (see also Moran et al. 2014).

Here we present optical spectroscopic and X-ray observations of SDSS J1523+1145 (object 118 in Reines et al. 2013; hereafter referred to as RGG 118), a dwarf disk galaxy with a stellar mass of $\sim 2.5 \times 10^9 M_\odot$ at a redshift of z = 0.0243. First identified as having narrow line ratios indicative of AGN activity based on SDSS spectroscopy, our new higher resolution spectroscopy reveals broad H$\alpha$ emission indicative of a $\sim 50,000 M_\odot$ BH.

2. OBSERVATIONS AND DATA ANALYSIS

2.1. Spectroscopy

2.1.1. SDSS

Reines et al. (2013) used the SDSS and NASA-Sloan Atlas to search for dwarf galaxies with optical spectroscopic signatures of accretion onto a massive BH. They modeled the spectra of $\sim 25,000$ nearby (z $\lesssim 0.055$) dwarf ($M_\star \lesssim 3 \times 10^9 M_\odot$) galaxies and measured emission line fluxes. Using the narrow-line [O iii]/H$\beta$ versus [N ii]/H$\alpha$ diagnostic diagram
Kewley et al. (2006), they identified 136 galaxies with emission line ratios consistent with (at least some) photoionization from an accreting BH. RGG 118 fell into the “composite” region of the [O iii]/Hβ versus [N ii]/Hα diagram, indicating photoionization from both an accreting BH and stellar processes (Figure 1). The SDSS spectrum of RGG 118 (2007 May 14) shows evidence for broad Hα emission (see top right of Figure 2), but it was not identified by Reines et al. (2013) because it falls below their broad Hα detection limit of $\sim 2 \times 10^{39}$ erg s$^{-1}$ (at their sample median redshift of $z \sim 0.03$).

2.1.2. MagE

Spectra of RGG 118 were taken on 2013 April 18 with the 6.5 m Magellan II telescope at Las Campanas Observatory, using the Magellan Echellette Spectrograph (MagE; Marshall et al. 2008). MagE is a moderate resolution ($\lambda/\Delta\lambda = 4100$) echelle spectrograph, with wavelength coverage extending from 3000 to 10000 Å over 15 orders. Using the arc lamp exposures, we measure an instrumental dispersion $\sigma_{\text{instrument}} = 28 \pm 5$ km s$^{-1}$. Two 1200 s exposures of RGG 118 were taken using a 1″ slit. Flat fielding, sky subtraction, extraction, and wavelength calibration were carried out using
the mage reduce IDL pipeline written by George Becker. We used a boxcar extraction with an extraction width of 1", in order to obtain the nuclear spectrum and reduce contamination from starlight.

We analyzed the spectrum of RGG 118 using custom emission line fitting software developed in Python and broadly following the procedure laid out in Reines et al. (2013). Our software uses nonlinear least squares minimization to fit Gaussian profiles to relevant emission lines.

Fitting the Hα-[N ii] complex is of particular importance because the luminosity and FWHM of broad Hα emission can be used to estimate BH mass (Greene & Ho 2005). The general procedure is to obtain a model for an intrinsically narrow line, then use that model to fit Hα λ6563, [N ii] λ6548, and [N ii] λ6583 simultaneously. We then add an additional component to model any broad excess and include this component in our model if its inclusion improves the reduced χ² by at least 20%. We then measure line widths and fluxes for the [N ii] lines, and narrow and broad Hα.

We did not detect stellar absorption lines in the RGG 118 spectrum, so we modeled the continuum in each order as a line component. First, we use the continuum to estimate BH mass (Greene & Ho 2005), then use that model to model the narrow line emission and equivalent hydrogen column.

For a distance of 100 Mpc, this gives a hard 0.5–7 keV, where ACIS is best calibrated.

A point-like X-ray source was detected at a position consistent with the SDSS position of RGG 118 (inset of Figure 3; the X-ray source coordinates are R.A.: 15:23:05.0, decl.: +11:45:53.18; SDSS coordinates are R.A.: 15:23:04.97, decl.: +11:45:53.6). For the aperture photometry, we made use of CIAO SCRFLUX, which adopts a Bayesian formalism to estimate the source net count rate and corresponding flux. Source parameters were extracted from a 2″ circular region centered at the source peak, while a (source-free) annulus of inner and outer radius of 20 and 35″ was chosen for the background. The low number of X-ray counts did not allow for a proper spectral modeling. Assuming that the source spectrum is well represented by an absorbed power-law model with photon index Γ = 1.7 (typically of actively accreting BHs) and equivalent hydrogen column NH = 3 × 10²⁰ cm⁻², the measured net count rate (1.96 ± 0.6 × 10⁻⁴ counts s⁻¹ between 0.5–7 keV) corresponds to an unabsorbed flux FX = 3.4 × 10⁻¹⁵ erg s⁻¹ cm⁻² over the energy interval 2–10 keV (90% confidence intervals give a range from 9.1 × 10⁻¹⁶ to 8.1 × 10⁻¹⁵ erg s⁻¹ cm⁻²). For a distance of 100 Mpc, this gives a hard X-ray luminosity of L₂−₁₀ keV = 4 × 10³⁰ erg s⁻¹ (90% confidence intervals give a range from 1.1 × 10³⁹ to 9.6 × 10³⁹ erg s⁻¹).

2.3. SDSS Imaging

Five band ugriz imaging was taken for the field containing RGG 118 on 2005 May 12 (Figure 3). In order to analyze the morphology of the host galaxy, we carried out a 2D image decomposition of the SDSS r-band image using GALFIT (Peng et al. 2002, 2010). Using a two-tailed F test, we compared a single Sérsic model to a Sérsic plus exponential disk model and find that the latter is preferred (Simard et al. 2011) (the reduced χ² for single Sérsic model is 9.4, and the reduced χ² for the Sérsic plus exponential disk model is 7.2). We measure a bulge ellipticity of 0.63. Figure 3 shows the best-fit surface brightness profile model.

We use the integrated g and r band magnitudes of each component to determine the r-band mass-to-light ratio (Bell et al. 2003), and calculate stellar masses of the inner and outer (exponential disk) components. Magnitudes were corrected for reddening using galactic dust extinction maps which indicate

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**Table 1**

| Model | \( L_{\text{H}\alpha} (\text{erg s}^{-1}) \) | FWHM\( L_{\text{H}\alpha} \) (km s⁻¹) | BH Mass \((10^4 M_\odot)\) |
|-------|---------------------------------|----------------------------------|-------------------------------|
| A     | \( 4 \times 10^{38} \)         | 543                              | 2.7                           |
| B     | \( 3 \times 10^{38} \)         | 855                              | 6.2                           |
| C     | \( 3.5 \times 10^{38} \)       | 790                              | 5.4                           |

**Notes.** See Section 2.1.2 for descriptions of narrow line models. Also listed are black hole masses corresponding to each narrow line model.

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2.2. Chandra X-Ray Observatory

Chandra observed the field of RGG 118 with the Advanced CCD Imaging Spectrometer (ACIS) detector on 2014 December 26 UT 18:09:21, for a total of 19.8 ksec, with the target galaxy placed on the back-illuminated S3 chip of ACIS-S. Data were telemerized in very-faint mode and analyzed with the Chandra Interactive Analysis of Observations software package (CIAO, version 4.6). First, we improved the Chandra astrometry by cross-matching the detected X-ray point sources on the S3 chip to the SDSS catalog (the initial X-ray source list was derived by running CIAO WAVDETECT on the pipeline event file, with the exclusion of a 2″ circular aperture centered on the nominal position of RGG 118). This resulted in four matches to an SDSS optical counterpart with r-band magnitude brighter than 23 mag, yielding a final astrometric correction of ~0″.7. Next, we reprocessed the data to generate a new event list, and searched for time intervals with anomalously high background rates (none were found). Further analysis was restricted to the energy interval 0.5–7 keV, where ACIS is best calibrated.

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Figure 3. Left: smoothed SDSS $gri$ image of RGG 118 (filters colored blue, green, and red, respectively). Inset: Chandra ACIS-S image of the field of view of RGG 118. An X-ray source is clearly detected at a position consistent with the nominal SDSS position (indicated by a green circle with a radius of 2″). The image has been smoothed with Gaussian kernel of $\sigma = 3$ pixels. Right: $r$ band surface brightness versus radius for RGG 118. Surface brightness profile measurements from IRAF’s ELLIPSE package are shown as gray dots. The dark and light blue shading represents the 1σ and 3σ uncertainties, respectively, due to the sky background. The solid black lines plot the r-band PSF. The solid red line shows the best-fit Sérsic+exponential disk profile as determined by GALFIT (dashed red lines are individual components).

$E(B-V) = 0.032$ for RGG 118 (Schlafly & Finkbeiner 2011). For the inner Sérsic component (consistent with a pseudobulge, as discussed in Section 3), we measure $g - r = 0.49 \pm 0.19$, which gives $\log_{10}(M/L) = 0.23$ and $M_{\text{BH},\text{inner}} = 10^{8.8 \pm 0.2} M_\odot$. For the exponential disk component we measure $g - r = 0.41 \pm 0.07$, which yields $\log_{10}(M/L) = 0.15$ and $M_{\text{BH},\text{disk}} = 10^{9.3 \pm 0.1} M_\odot$. Combined, the masses of the bulge and disk are consistent with the NASA-Sloan Atlas total stellar mass of $M_* = 10^{9.3} M_\odot$. We also obtain a bulge-to-disk mass ratio of $\sim 0.3$.

3. BH MASS AND SCALING RELATIONS

Strong evidence for BH accretion in RGG 118 comes from narrow emission line ratios (Figure 1), broad Hα emission, and a nuclear X-ray point source (see Section 2.2). Assuming the broad emission is indeed the signature of gas rotating around a nuclear BH, we can estimate the BH mass using standard virial techniques which assume the gas in the broad line region surrounding the BH is in virial equilibrium (Peterson et al. 2004; Bentz et al. 2010). The virial relationship gives $M_{\text{BH}} \propto R_{\text{BLR}} \Delta V^2/G$, where $R_{\text{BLR}}$ is the radius of the broad line emitting region, and $\Delta V$ is a characteristic velocity of gas in the broad line region. We use the Hα emission line to estimate $\Delta V$ (Greene & Ho 2005), and $L_{\text{H}\alpha}$ as a proxy for $R_{\text{BLR}}$ (Kaspi et al. 2000; Peterson et al. 2004; Greene & Ho 2005; Bentz et al. 2009, 2013). The final equation used to estimate BH mass is given in Reines et al. 2013; we also adopt a scale factor of $\epsilon = 1$.

Each of the empirical relations used for this BH estimation technique has an associated scatter which contributes toward an uncertainty in the BH mass. Additionally, the scatter in the $M_{\text{BH}} - \sigma_*$ relation ($\gtrsim 0.3 \text{ dex}$; Shen & Liu 2012) also contributes to the total uncertainty, since the dimensionless scale factor $\epsilon$ is determined by calibrating the ensemble of reverberation-mapped AGNs against the local $M_{\text{BH}} - \sigma_*$ relation for non-active galaxies. Summing in quadrature gives a total uncertainty of 0.42 dex (a factor of $\sim 2.7$).

For our three different narrow line modeling techniques (see Section 2.1.2), we obtain BH mass estimates ranging from $2.7 \times 10^4$ – $6.2 \times 10^4$ $M_\odot$ (Table 1) and thus adopt a nominal BH mass of $~ 5 \times 10^4 M_\odot$. This is the smallest mass reported for a BH in a galaxy nucleus.

Additionally, assuming a X-ray to bolometric correction of 10 (Marconi et al. 2004), we calculate a total accretion-powered luminosity $L = 4 \times 10^{40}$ erg s$^{-1}$ for the BH in RGG 118. This corresponds to an Eddington ratio of $\approx 1\%$, similar to AGN in more massive systems.

While the broad Hα emission in typical AGN (i.e., FWHM $\gtrsim$ thousands of km s$^{-1}$) is due to gas dominated by the gravity of a BH, some dwarf AGN have broad Hα line widths consistent with broadening from stellar processes. Thus, we have also considered the following alternate explanations for the broad Hα in RGG 118.

1. Supernovae: the broad Balmer emission and luminosities of SNe II can often cause their spectra to resemble those of AGN (Filippenko 1989). However, a supernova is a transient event; the marginal detection of broad Hα seen in the SDSS spectrum taken 6 years prior to the MagE spectrum makes this an unlikely source of the broad Hα.

2. Wolf–Rayet stars: outflows from Wolf–Rayet stars can produce broad spectral features. However, the spectra of galaxies with Wolf–Rayet stars typically feature a characteristic “bump” at $\lambda 4650$–$4690$ (Guseva et al. 2000); we do not observe this feature in either the SDSS or MagE spectra of RGG 118.

3. Luminous blue variables: outbursts from luminous blue variables can produce broad Hα emission, but they are fainter than SNe II (Smith et al. 2011). Additionally, this phenomenon is transient and so is also ruled out by the persistent broad Hα emission of RGG 118.

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We do not consider the above to be likely explanations for the detected broad Hα emission. Additional spectroscopic observations can further rule out the possibility of a stellar origin for the broad Hα emission.

We also find that RGG 118 falls on the extrapolation of the $M_{\text{BH}}-\sigma_*$ relation to low BH masses, but falls an order of magnitude below the $M_{\text{BH}}-M_{\text{bulge}}$ relation (Figure 4). We did not detect stellar absorption lines in the spectra of RGG 118, so instead use the gas velocity dispersion as a proxy for stellar velocity dispersion; the two have been shown to correlate, though the scatter in the relation is $\sim 0.15$ dex (Barth et al. 2008; Xiao et al. 2011). Using the [NII] line, we measure a gas velocity dispersion of $\sigma_{\text{[NII]}} = 27 \pm 5$ km s$^{-1}$, after correcting for instrumental dispersion. This yields a stellar velocity dispersion estimate of $\sigma_* = 27^{+15}_{-10}$ km s$^{-1}$, after adding uncertainties in quadrature.

We compute a bulge mass of $10^{8.7 \pm 0.2} M_\odot$ for RGG 118; this gives a $M_{\text{BH}}-M_{\text{bulge}}$ ratio of $\sim 8 \times 10^{-5}$ and places RGG 118 roughly an order of magnitude below the $M_{\text{BH}}-M_{\text{bulge}}$ relation (Figure 4). This is typical of galaxies with pseudobulges (Hu 2008), which are more disk-like, flat, and rotationally dominated than classical galaxy bulges, and tend to have younger stellar populations (Kormendy & Ho 2013). We find the Sérsic index of the inner component to be $n = 1.13 \pm 0.26$, which is consistent with a pseudobulge (Kormendy & Kennicutt 2004). The high ellipticity of the bulge ($\epsilon = 0.63$) is also in accord with expectations for pseudobulges.

4. DISCUSSION

Dwarf galaxies currently offer the best opportunity to understand BH seed formation and growth in the early universe. By itself, the BH in RGG 118 indicates that formation pathways must exist that produce BH seeds of its mass or less. Additionally, both the fraction of dwarf galaxies containing BHs and the slope/scatter of the low-mass end of $M_{\text{BH}}-\sigma_*$ depend on the mechanism by which BH seeds form (Volonteri et al. 2008; Miller et al. 2015). While searching for AGN in dwarf galaxies can produce only a lower limit on the BH occupation fraction for dwarf galaxies, it contributes toward constraining the low-mass end of $M_{\text{BH}}-\sigma_*$. In particular, if BH seeds are generally massive (i.e., $\gtrsim 10^5 M_\odot$) the slope of $M_{\text{BH}}-\sigma_*$ is expected to flatten and the scatter to increase (Volonteri & Natarajan 2009). We are in the process of measuring BH masses and velocity dispersions for additional targets identified by Reines et al. (2013).

SDSS imaging reveals evidence for a pseudobulge in RGG 118. Pseudobulges do not seem to correlate with BH mass in the same way that classical bulges do. For a sample of BHs with $M_{\text{BH}} < 2 \times 10^6 M_\odot$, Greene et al. (2008) found that most of the host galaxies were either compact systems or disk galaxies with pseudobulges. The BHs in these systems tended to be an order of magnitude less massive for a given bulge mass than those found in classical bulges. Kormendy & Ho (2013) argue that BHs in non-active galaxies with pseudobulges do not correlate at all with host galaxy properties, i.e., fall below both the $M_{\text{BH}}-M_{\text{bulge}}$ and $M_{\text{BH}}-\sigma_*$ relations. However, they note that low-mass AGNs, which probe down to BH masses of $\sim 10^5 M_\odot$ and $\sigma_*$ values of $\sim 30$ km s$^{-1}$, do seem to sit on the extrapolation of $M_{\text{BH}}-\sigma_*$ while simultaneously falling below $M_{\text{BH}}-M_{\text{bulge}}$. This behavior is also seen for RGG 118. However, further work is needed to understand the nuclear structure of RGG 118. At a distance of $\sim 100$ Mpc, the spatial resolution of the SDSS imaging ($\sim 0.4$ arcsec) can only resolve structures with sizes of several hundred parsecs. Higher resolution imaging is needed to detect nuclear features such as bars, rings, and bridges, which could provide clues about the processes that drove BH formation and growth.
as disks and bars, which can bias estimates of bulge Sérsic index and mass and serve as strong indicators of a pseudobulge.

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