Parallel Evolution of *Streptococcus pneumoniae* and *Streptococcus mitis* to Pathogenic and Mutualistic Lifestyles

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**ABSTRACT** The bacterium *Streptococcus pneumoniae* is one of the leading causes of fatal infections affecting humans. Intriguingly, phylogenetic analysis shows that the species constitutes one evolutionary lineage in a cluster of the otherwise commensal *Streptococcus mitis* strains, with which humans live in harmony. In a comparative analysis of 35 genomes, including phylogenetic analyses of all predicted genes, we have shown that the pathogenic pneumococcus has evolved into a master of genomic flexibility while lineages that evolved into the nonpathogenic *S. mitis* secured harmonious coexistence with their host by stabilizing an approximately 15%-reduced genome devoid of many virulence genes. Our data further provide evidence that interspecies gene transfer between *S. pneumoniae* and *S. mitis* occurs in a unidirectional manner, i.e., from *S. mitis* to *S. pneumoniae*. Import of genes from *S. mitis* and other mitis, anginosus, and salivarius group streptococci ensured allelic replacements and antigenic diversification and has been driving the evolution of the remarkable structural diversity of capsular polysaccharides of *S. pneumoniae*. Our study explains how the unique structural diversity of the pneumococcal capsule emerged and conceivably will continue to increase and reveals a striking example of the fragile border between the commensal and pathogenic lifestyles. While genomic plasticity enabling quick adaptation to environmental stress is a necessity for the pathogenic streptococci, the commensal lifestyle benefits from stability.

**IMPORTANCE** One of the leading causes of fatal infections affecting humans, *Streptococcus pneumoniae*, and the commensal *Streptococcus mitis* are closely related obligate symbionts associated with hominids. Faced with a shortage of accessible hosts, the two opposing lifestyles evolved in parallel. We have shown that the nonpathogenic *S. mitis* secured harmonious coexistence with its host by stabilizing a reduced genome devoid of many virulence genes. Meanwhile, the pathogenic pneumococcus evolved into a master of genomic flexibility and imports genes from *S. mitis* and other related streptococci. This process ensured antigenic diversification and has been driving the evolution of the remarkable structural diversity of capsular polysaccharides of *S. pneumoniae*, which conceivably will continue to increase and present a challenge to disease prevention.
the species (12–17). In addition, recombination between S. pneumoniae, Streptococcus mitis, and Streptococcus oralis has been reported to be instrumental in the development and dissemination of resistance to beta-lactam antibiotics (18–20).

We previously proposed an evolutionary model suggesting that the species S. pneumoniae, S. mitis, and the more recently described Streptococcus pseudopneumoniae arose from a pneumococcus-like organism pathogenic to the immediate ancestor of hominids (3). Being almost exclusively adapted to humans and other hominids, their success conceivably is closely associated with the population size of susceptible hosts. Here we present evidence supporting this evolutionary model and demonstrate the genetic basis of how a dichotomy of distinct but successful bacterial lifestyles evolved in parallel within their host. The pathogenic lifestyle of the pneumococcus, dependent on continued import of genes from neighboring species, results in antigenic diversity that will continue to challenge the prevention of pneumococcal infections.

RESULTS
Phylogenetic relationships based on core genome sequences. To shed light on the genetic processes that shaped the genomes of S. pneumoniae and its close commensal relatives, we explored new genomic information. Alignment of 35 genomes of S. pneumoniae, S. mitis, S. pseudopneumoniae, S. oralis, and Streptococcus infantis (see Table S1 in the supplemental material) identified a core of 822,537 nucleotides (nt). The number of polymorphic sites within this concatenated sequence was 292,227 (35.5%), of which 240,553 sites were parsimoniously informative (i.e., present in more than one strain). Phylogenetic reconstruction based on these core genome sequences confirmed our previous observation, based on selected housekeeping genes (3, 4), that S. pneumoniae is a single lineage in a cluster otherwise composed of S. mitis, that S. pseudopneumoniae takes up an intermediary position, and that all three species are well separated from S. oralis and S. infantis (Fig. 1). The average genetic distance of members of the S. mitis/S. pneumoniae/S. pseudopneumoniae cluster to the designated type strain of S. oralis, ATCC 35057, used as a common root, is slightly but significantly (P < 0.0001) greater for S. pneumoniae (0.001309 ± 0.0002) than for S. mitis (0.001278 ± 0.0008). This supports our hypothesis (3) that the S. pneumoniae lineage is the phylogenetically most ancient and only recently has been undergoing a population burst facilitated by the exponentially expanding human species, its primary host. Spreading vertically (21), success of the commensal species is not dependent on the host population size.

Reductive evolution of the S. mitis genome. The previously demonstrated sporadic occurrence of recognized S. pneumoniae virulence factors in S. mitis strains (3, 22, 23) was confirmed by detailed comparison of the gene contents of the 35 genomes. Most strikingly, 12 out of 15 S. mitis strains had a complete cps locus in the same genomic region as in S. pneumoniae. Likewise, assumed virulence factors like IgA1 protease and zinc metalloprotease C, neuraminidases A and B, autolysin, pneumolysin, several choline-binding proteins, and PavA were present in some strains of S. mitis and absent in others (Fig. 2).

To determine if such shared virulence genes represent pneumococcal genes transferred to S. mitis or genes ancestral to both, we generated phylogenetic trees of all predicted genes in S. pneumoniae TIGR4 and orthologs identified in all 35 genomes. In trees of virulence genes (one example is shown in Fig. S1A in the supplemental material), S. pneumoniae formed a tight cluster, whereas S. mitis strains formed more diverse lineages in patterns congruent with the core genome-based tree (Fig. 1). This proves that they are ancestral genes that have been diversifying in parallel with other parts of the genome and subsequently were lost by some S. mitis strains in a reductive evolutionary process. The loss is reflected in the S. mitis genomes being up to 15% smaller than those of S. pneumoniae (see Table S1). However, a surprising proportion (23.6%) of the 1,620 trees generated on the basis of nucleotide sequences of all genes (excluding transposases and genes unique to S. pneumoniae strains) showed clustering of S. pneumoniae genes among S. mitis genes. We interpret this as evidence of acquisition by S. pneumoniae strains of homologous gene sequences from strains of S. mitis. Although occasional trees identified the source of the gene sequence, the majority of transfers had as donors putative S. mitis clones not represented in our sample of the undoubtedly large global population of S. mitis (see Fig. S1B). The transfers from S. mitis to S. pneumoniae often affected several adjacent genes, amounting to sequences spanning from 116 bp to 10,600 bp, in full agreement with the sizes observed in an in vitro recombination experiment involving one strain each of S. mitis and S. pneumoniae (18). As shown in Table 1 and reflected in the phylogenetic tree in Fig. 1, Hungary19A was the strain of S. pneumoniae that acquired the largest proportion of genes (8.2% of genes, corresponding to 141 kb) from S. mitis. S. pseudopneumoniae showed extensive recombination between the S. pneumoniae lineage and S. mitis lineages, reflected in its intermediary position in the phylogenetic tree and its admixture of phenotypic traits of the two species (24). While 86% of the genes clustered with S. mitis 14% clustered with S. pneumoniae. No clear evidence of acquisition by S. mitis strains of gene sequences from S. pneumoniae was detected. However, as previously reported (18–20), genes encoding transpeptidases (“penicillin-binding proteins”), gyrase, and adjacent genes (e.g., orthologs of SP_0335, SP_0370, SP_0371, SP_1218, and SP_1662-1669) (25) revealed mosaic sequence structures (see Fig. S1C and D). This reflects multiple homologous recombination events between S. pneumoniae and S. mitis but often without clear traces of the direction of transfers.

Evolution of capsular polysaccharide diversity in S. pneumoniae. Next, we tested the hypothesis that import of genes explains the extreme structural diversity of capsular polysaccharides in S. pneumoniae (n = 95), which has remained an enigma. The pneumococcal cps operons consist of 12 to 22 genes directly involved in synthesis and transport of the polysaccharides (7). Among these, the glycosyl transferases, glycolyl phosphotransferases, dehydrogenases, mutases, and epimerases are often unique to one or more serotypes and determine the distinct polysaccharide structure (26). We aligned each protein (n = 1575) encoded by the cps locus of the S. pneumoniae serotypes (7) to the NCBI nonredundant protein database. This provided evidence of extensive import of cps operon genes from numerous Streptococcus species, including other members of the mitis group (S. mitis, “Streptococcus mitis biobar 2,” S. oralis, S. infantis, Streptococcus sanguinis, Streptococcus parasanguinis, and Streptococcus peroris) and members of the more distant anginosus and salivarius groups. The number of genes imported from a single or several different donor species ranged from one gene to the entire cps locus (see Table S2 in the supplemental material). Imported genes included...
genes that were part of a cps operon in the donor, as well as genes with other glycosylation functions outside the cps locus.

The nucleotide identity between the putative donor and recipient cps genes ranged from 84 to 99%, presumably reflecting the time elapsed since the genetic transfer and/or the existence of donors not represented among the genome-sequenced streptococci. For instance, the membrane-associated flippase, responsible for transferring the oligosaccharide chains to the exterior of the pneumococcal membrane, is common to all pneumococcal cps operons except serotype 3 (7, 26). The genetic diversity among pneumococcal flippase genes is ~16 times larger than the overall diversity of the pneumococcal core genome (0.280% ± 0.056% versus 0.017% ± 0.003%), in support of a diverse origin of the gene among pneumococci (see Fig. S2 in the supplemental material).

Alignments revealed >98% amino acid identities of flippases of several pneumococcal serotypes to those of strains of a range of Streptococcus taxa that are otherwise genetically more distant. The assumed direction of transfer was further supported by two gene-based observations. First, comparison of the genetic distance between genes from clonally independent strains of the respective serotypes of S. pneumoniae showed more conservation than among strains of donor species (Fig. 3). Second, several genes intact in the putative donor were pseudogenes in pneumococci. Comparison of serotypes belonging to the same serogroup (e.g., serogroups 7, 18, and 19) revealed that mutations resulting in pseudogenes, in some cases combined with import of additional genes from other donors or complete deletion of genes, have been driving the structural diversification within serogroups (see

**FIG 1** Phylogenetic tree of Streptococcus strains included in the study. The tree, generated by the minimum-evolution algorithm in MEGA version 5.2, was based on 822,537-nt sequences shared by all 35 genomes listed in Table S1 in the supplemental material. It illustrates that S. pneumoniae is a single lineage in a cluster otherwise composed of *S. mitis* and that *S. pseudopneumoniae* occupies an intermediary position. The bar represents the genetic distance.
The figure illustrates the strain-specific reductive evolution of S. mitis genomes, resulting in gene loss to various extents, including genes encoding virulence properties in *S. pneumoniae*.

**TABLE 1** Numbers of gene replacements in *S. pneumoniae* strains imported from *S. mitis*

| Strain     | Serotype | No. (%) of genes imported from *S. mitisa* |
|------------|----------|------------------------------------------|
| Hungary19A | 19A      | 133 (8.2)                                |
| Taiwan19F  | 19F      | 88 (5.4)                                 |
| CGSP14     | 14       | 85 (5.3)                                 |
| ATCC 700669| 23F      | 72 (4.4)                                 |
| P1031      | 1        | 71 (4.4)                                 |
| TIGR4      | 4        | 61 (3.8)                                 |
| TCH8431    | 19A      | 60 (3.7)                                 |
| 670        | 6B       | 56 (3.5)                                 |
| JJA        | 14       | 56 (3.5)                                 |
| G54        | 19F      | 45 (2.8)                                 |
| 70585      | 5        | 38 (2.4)                                 |
| D39        | 2        | 28 (1.7)                                 |
| R6         | Rough 2  | 27 (1.7)                                 |

*a* Based on analysis of 1,620 annotated genes shared by *S. pneumoniae* TIGR4 and other isolates.

**FIG 2** Comparative analysis of the gene contents of 35 genomes of *S. pneumoniae*, *S. mitis*, *S. pseudopneumoniae*, and *S. oralis*. The genome of *S. pneumoniae* TIGR4 served as a reference. Green indicates the presence and red the absence of genes. Transposase genes are indicated by light-blue horizontal lines on the right. The figure illustrates the strain-specific reductive evolution of *S. mitis* genomes, resulting in gene loss to various extents, including genes encoding virulence properties in *S. pneumoniae*.

**Parallel evolution of genome plasticity and genome stability.**

These findings indicate that interspecies gene transfer between *S. pneumoniae* and neighboring species is unidirectional, i.e., from other species to *S. pneumoniae*. This is supported by further observations. Competence for genetic transformation in pneumococci depends on 22 genes (27). Screening of the 35 genomes identified all 22 genes in all genomes of *S. pneumoniae* and *S. pseudopneumoniae*, whereas only 10 out of 15 *S. mitis* strains and none of the *S. oralis* strains possessed all. Up to 3 of the 22 essential genes were missing or significantly truncated in some strains (see Table S3 in the supplemental material), suggesting reduced or lack of transformation competence.

Several other genes facilitate the incorporation of foreign genetic elements in the pneumococcal genome. *S. pneumoniae* strains possess one of two complementary Dpn restriction-modification systems, DpnI or DpnII (28), that are part of the competence (com) regulon. It was recently demonstrated that induction of this system is necessary for optimal pathogenicity island transfer (29). While present in all *S. pneumoniae* strains, the majority of *S. mitis* strains lacked intact dpn loci (see Fig. S4 and Table S1 in the supplemental material). The genes were either missing in this location and in other parts of the genome or replaced by other genes, such as a transposase and an integrase in strain SK667. When present, alignments of the *S. mitis* Dpn locus genes with those of *S. pneumoniae* showed that they are ancestral genes diversified in parallel with other parts of the respective genomes. Interestingly, a third version of the locus (here termed DpnIII) was demonstrated in *S. pneumoniae* ATCC 700669, *S. mitis* SK578, and *S. oralis* ATCC 35037. In these strains, two genes on opposite strands and encoding a restriction enzyme resembling MutH of *Escherichia coli* and a DNA (cytosine-5-)-methyltransferase family protein constituted the locus. Other strains of *S. oralis* lacked Dpn locus genes. These observations suggest that the Dpn-associated function is under deterioration in *S. mitis* and *S. oralis*.

Transposases are widely used in bacteria to facilitate intra- and
interstrain mobility of genes or islands of genes (30). The 13 *S. pneumoniae* strains possessed from 19 to 111 (median, 77) such elements distributed over the entire genome (Fig. 2), although some are degenerate, in agreement with their constant adaptation to the transforming genome. Notably, transposases are associated with *cps* operons of all pneumococcal serotypes, in most cases flanking the entire operon (7). Although most *S. mitis* and all *S. oralis* strains examined had complete *cps* operons, none included transposases. In general, *S. mitis* and *S. oralis* genomes harbored significantly fewer transposase genes (median number, 8) (see Table S1 in the supplemental material). One exception was *S. mitis* strain B6 (31), which in several ways, including the genome size, is exceptional among *S. mitis* strains.

Like transposases, repeat elements, including RUP (repeat units of pneumococcus) are assumed to facilitate genomic plasticity in addition to phase variation of genes (32, 33). In addition to facilitation of traditional homologous recombination, a recent report demonstrated that pneumococci can also generate diversity by transformation with fully homologous “self” DNA by generating a variety of merodiploids within a population facilitated by alternative pairing of repeat regions present in different parts of the genome (34). Analysis of the 35 genomes showed that pneumococcal genomes had 53 to 63 RUP elements, including one or two within the *cps* locus of all serotypes (except serotypes 5, 11a, and 23b), while *S. mitis* strains had either none or no more than three elements in the entire genome (see Table S1 in the supplemental material).

Bacterial defense systems against attack by foreign DNA include the clustered, regularly interspaced short palindromic repeat (CRISPR) loci. In agreement with a recent report (29), none of the *S. pneumoniae* possessed CRISPR sequences. This corroborates the finding that CRISPR loci artificially inserted into a pneumococcal genome were spontaneously ejected when under environmental stress (35). Likewise, the *S. pseudopneumoniae* strains did not possess CRISPR/Cas systems. However, 5 of the 15 *S. mitis* strains and 4 of the 5 *S. oralis* strains possessed CRISPR sequences (see Table S1 in the supplemental material). A few of the spacers showed sequence similarity to bacteriophage/prophage sequences, most of which are *Streptococcus* specific and in some cases are integrated in *S. pneumoniae* and *S. pseudopneumoniae* genomes (not shown).

**DISCUSSION**

One factor in the coevolution of obligate symbionts of humans that has so far received little attention is the impact of the susceptible host population size. This factor is of particular importance in pathogenic (i.e., parasitic) species that induce immunity or sometimes death, leaving the host nonaccessible for repeated colonization. Thus, successful survival of the pathogen requires a sizeable host population of sufficient density to allow spread between susceptible hosts and/or a capacity of the pathogen for constant antigenic change. In contrast, commensals that achieve a mutualistic lifestyle induce a tolerogenic response in the host’s immune system, allowing continued colonization and intimate and potentially lifelong association (36). Many species of the genus *Streptococcus* are almost exclusively adapted to humans and other hominids. *S. pneumoniae* is one of the most important pathogens affecting humans (2). Although it is a widespread colonizer particularly of children in day care centers, both colonization and infection result in rapid elimination (median duration, 19 days) by antibodies directed to the capsular polysaccharide and presumably other surface-exposed antigens (37). In contrast, the closely related *S. mitis* is a lifelong companion of all humans in the upper respiratory tract and is often present as mixed populations of multiple clones (38, 39). We have previously demonstrated that the two species share an immediate ancestor and have argued that...
the ancestor was a pneumococcus-like species presumably pathogenic to the immediate ancestor of hominids (3). The genome-based data obtained in this study support this model. Our results, furthermore, illustrate how a significant selection pressure resulting from a shortage of potential hosts (40) was handled by the S. pneumococcus-S. mitis-S. pseudopneumoniae ancestor in two opposing ways occurring in parallel. S. pneumoniae maintained its pathogenic potential, which facilitates horizontal spread, and optimized its genome plasticity (17). In contrast, harmonious coexistence by the majority of lineages becoming S. mitis was achieved by elimination of properties that challenge the host combined with increased genome stability (i.e., partial loss of competence genes, transposases, repeat elements, and the Dpn restriction-modification system, combined with acquisition of CRISPR/Cas sequences). Interestingly, these S. mitis lineages are now highly diverse and, according to traditional taxonomic standards, would represent separate species (3). An important factor in this diversification process has been the ecological and genetic isolation of clones colonizing distinct lineages of human hosts combined with a vertical spreading pattern. Our demonstration of various levels of loss of virulence-associated factors and properties contributing to genome plasticity among the examined strains of S. mitis (Fig. 2; see also Table S1 in the supplemental material) indicates that this is an ongoing process brought to different degrees of completion by individual S. mitis lineages. Future studies may reveal if this is reflected in the occasional ability of S. mitis strains to cause bacteremia or endocarditis in groups of predisposed patients (41, 42).

Another result of the need of S. pneumoniae to expand its ecological niche may be the adaptation of certain clones to an equine host, which also included loss of virulence-associated genes (43). Availability of a critical population of potential hosts (40) became an evolutionary bottleneck to the pathogen, reflected in the significant homogeneity of the core genome of today’s pneumococcus (Fig. 1). In addition to the expression of crucial virulence properties, life as a pathogen of the S. pneumoniae lineage required optimal genome plasticity, enabling antigenic diversity of surface structures. For example, the relative sequence diversification of the paralogous zinc metalloproteases IgA1 protease, ZmpB, and ZmpD is striking evidence of significantly enhanced selection for diversification of surface-exposed proteins in the pathogen S. pneumoniae compared to the closely related commensal streptococci (16). In addition to homologous recombination within the population of pneumococci, our results show that the need for diversification was remarkably solved by its continued exploitation of the gene pool of neighboring species. In some S. pneumoniae strains, up to 9% of the alleles of genes were imported from S. mitis (Table 1). This is an ongoing process facilitated by its colonization of an ecological niche, albeit briefly, where it frequently meets multiple members of related commensal species that serve as a genetic toolbox. Most remarkable is our finding that the previously enigmatic diversity of capsular polysaccharide structures expressed by S. pneumoniae is a direct result of gene import from several species of commensal streptococci, including S. mitis, the “S. mitis biovar 2” (mislabeled since it is more closely related to S. oralis [4]), S. oralis, S. infantis, S. sanguinis, S. para-sanguinis, S. peroris, and members of the more distant anoginosus and salivarbus species (see Table S3 in the supplemental material).

In several serotypes, complete cps loci had been imported from a single donor, in some cases in several independent steps. In others, a mosaic of genes imported from distinct donors was evident.

FIG 4 Phylogenetic model for acquisition and diversification of the four serogroup 19 S. pneumoniae serotypes. Acquisition of the entire capsular biosynthesis operon from S. mitis (SK564) introduced the serotype 19c capsule in S. pneumoniae. Subsequent incorporation of transposase and RUP sequences in the operon facilitated transfer to other strains of S. pneumoniae, in which allelic replacement with selected genes acquired from “S. mitis” bv. 2, and wzy and wxx (unidentified source)
Contributing to the diversification that constitutes distinct serotypes belonging to the same serogroup (e.g., serogroups 7, 18, and 19) were mutations resulting in pseudogenes, import of additional genes from other donors, or complete deletion of genes (Fig. 3; see also Fig. S4). This process conceivably will continue to result in additional antigenic diversity that may challenge the currently successful prevention of pneumococcal infections by vaccination.

This is the first demonstration of how selective pressures resulting from a shortage of potential hosts was solved by bacteria in two opposing ways occurring in parallel. Harmonious coexistence by lineages becoming S. mitis was achieved by elimination of properties that challenge the host combined with increased genome stability. Life as a pathogen of the S. pneumoniae lineage required optimal genome plasticity combined with antigenic diversity of surface structures, including capsular polysaccharides, a challenge remarkably solved by its continued exploitation of the gene pool of neighboring species. More recently, success of the S. pneumoniae lineage reflected in the lineage-specific boost of the pneumococcus population has been ensured by the dramatic expansion of the susceptible host population.

**MATERIALS AND METHODS**

**Bacterial genomes.** The 35 streptococcal genomes examined in the study are listed in Table S1 in the supplemental material together with NCBI accession numbers. A total of 11 genomes sequenced as a part of this study were generated using the 454 platform (GS20, FLX, and/or Titanium) and assembled using the Newbler assembler. Details on the libraries constructed, sequencing coverage, and assembler version used are available in the GenBank entries.

**Alignment of genomes.** A multiple whole-genome nucleotide alignment of contigs or complete chromosomes from the 35 whole genomes was generated using the software program Mugsy (44), and clusters of syntenic orthologs across the genomes were obtained with Mugsy-Annotator (45).

**Phylogenetic analyses.** A phylogenetic tree based on the concatenated core genome sequences from the Mugsy alignment was generated using the minimum-evolution algorithm according to the maximum composite likelihood model in the software program MEGA 5.2 (46) and validated by bootstrap analysis based on 500 replications. Recombination in selected genes was visualized using the program SplitsTree 4 (47).

**Bioinformatics tools and analyses.** Annotated genome sequences from the 35 genomes (see Table S1 in the supplemental material) and Mugsy-Annotator clusters of syntenic orthologs were loaded into the Sybil comparative genomics software package (48) for comparative analyses.

To determine the extent of recombination between S. pneumoniae and the related commensal species, we aligned nucleotide sequences within Mugsy-Annotator clusters and generated minimum-evolution phylogenetic trees in MEGA5.2. A total of 1,620 trees (excluding transposases and genes unique to S. pneumoniae strains) were generated and manually examined.

The presence or absence of annotated genes based on Mugsy-Annotator clusters was detected in Sybil and confirmed by blastn analysis (49). Figure 2 was generated by loading profiles of gene presence and absence into the MeV interface (50). RUP (repeated unit of pneumococcus) elements were identified by searching TIGR4 RUP sequences (32) with blastn against the 35 genomes.

Genetic distances, i.e., the number of base substitutions per site from averaging over all sequence pairs, were determined in MEGA5.2 using the PAM model. Additional nucleotide sequences were obtained using the GenBank entries.

**SUPPLEMENTAL MATERIAL**

Supplemental material for this article may be found at http://mbio.asm.org lookup/suppl.do?10.1128/mBio.01490-14/-/DCSupplemental.

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M.K. and H.T. designed the research. D.R.R. established the Sybil comparative genomics database. M.K., A.J., H.B., and H.T. performed the analyses. M.K. and H.T. wrote the first draft of the manuscript, which was edited and approved by all authors.

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