Tripodalsporormielones A–C, unprecedented cage-like polyketides with complex polydent bridged and fused ring systems

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Abstract
A chemical investigation on Sporormiella sp. led to the isolation and structural elucidation of tripodalsporormielones A–C (1–3), a new class of polyketide possessing unprecedented cage-like skeletons with polydent bridged and fused ring systems. These polyketides with cage-like skeletons were characterized as a high non-protonated carbon-containing system, which resulted in few HMBC correlations observed and made the accurate structures hard to be obtained by NMR. Especially, some signals of non-protonated sp\textsuperscript{2} carbons are weak and even unobservable in compound 1. In order to establish the structure of 1, the calculated NMR with DP4 evaluation was applied to determine the structure from the plausible structure candidates obtained from the detailed NMR analysis. Based on NMR experiments and calculated NMR, the structures of isolated compounds were established and confirmed by X-ray technology. Through chiral isolation, the optically pure enantiomers of 1 and 3 were obtained, and their absolute configurations were determined based on ECD quantum chemical calculation. Based on the isolated compounds and our previous work, 1–3 would be derived from 3-methylorcinaldehyde, and their
1. Introduction

A large number of facts have shown that fungus is one of major sources for searching novel molecules. Sporormiella is a genus of Ascomycete fungi in the family Sporormiaceae, which contains more than 80 species widely distributing in sub-boreal and temperate regions of the world. It is composed of coprophilous species found on the dung of livestock and wild herbivores, and endophytic species living in plants. The spores of these species have dark brown and septate characteristic features, and have a pronounced sigmoid germination pore. These fungi produce a variety of secondary metabolites, including xanthones, chromones, macrocyclic lactone, organic acids, triterpenoids, steroids, and the nitrogenous compounds.

In our searching for complex and bioactive molecules from fungi, five new tricyclic C-C coupled orsellinic acid derivative dimers with dimethyl cyclopentanone unit (sporormielones A-E) were isolated from a strain of fungus Sporormiella sp. 40-1-4-1, which were derived from a polyketide precursor (3-methylcycloalidade) produced by the NR-PKS gene (spoA). In order to find more novel compounds, a further chemical investigation on this strain was carried out, which led to the isolation of three complex polyketides (tripodalsporormielones A-C) possessing unprecedented cage-like skeletons with polydent bridged and fused ring systems (Fig. 1). Herein, we described the structural elucidations and bioassays of tripodalsporormielones A-C (1-3). Furthermore, the plausible biosynthetic pathway of 1-3 was proposed.

2. Results

The EtOAc extract of Sporormiella sp. 40-1-4-1 fermented with rice was subjected to silica gel column chromatography using cyclohexane/MeOH (100:0 and 0:100, v/v) to afford a cyclohexane extract and a MeOH extract. The MeOH extract was subjected to ODS and preparative HPLC to afford three novel polyketides (tripodalsporormielones A-C, 1-3). Their structures, including absolute configurations, were determined by NMR, X-ray, calculated NMR and ECD experiments.

2.1. Structural elucidation of three novel polyketides

Tripodalsporormielone A (1) was isolated as yellow crystals, and its molecular formula was deduced as C17H18O8 based on a pseudomolecular ion peak at m/z 351.1076 [M + H]+ (Calcd. for C17H19O8, 351.1080) by HRESIMS, indicating nine degrees of unsaturation. The 1H NMR spectrum (Supporting Information Table S1) showed two methine protons (δH 2.30 and 2.77) and four methyls (δH 0.20, 1.82, 1.80, and 1.79). The 13C NMR spectrum only showed 16 carbon signals, which indicated one unobserved carbon existing in 13C NMR experiment compared with HRESIMS. Combined with DEPT 135 spectrum, these observed 13C resonances can be ascribable to two ketone carbonyl carbons (δC 189.9 and 187.7), one ester carbonyl carbon (δC 168.9), four aromatic or olefinic non-protonated carbons, three oxygenated non-protonated sp3 carbons (δC 84.4, 82.7, and 75.7), two sp3 methine carbons, and four methyl carbons. In the HMBC spectrum, the observed correlations from H-1′ (δH 2.02, brs) to C-3′ (δC 172.9), from H-3-8 (δH 1.80, brs) to C-2 (δC 151.2), from H-3-8 (δH 1.80, brs) to C-2′ (δC 172.9), and from H-1′ (δH 1.75, brs) to C-2 (δC 172.9) revealed the existence of two dimethylbut-2-enoyl moieties. In addition, the HMBC correlations from H-3′ (δH 3.30, brs) to C-6 (δC 53.0) and C-9′ (δC 168.9), from H-6 (δH 2.77, brs) to C-7 (δC 150.7) and C-8 (δC 150.7) revealed the existence of two dimethylbut-2-enoyl moieties. Since the HMBC correlations from H-6/H-3′ to three oxygenated non-protonated sp3 carbons (δC 84.4, 82.7, and 75.7) were observed. Since the HMBC correlations from H-6/H-3′ to these three oxygenated non-protonated sp3 carbons were hardly identified by 1JCH or 3JCH correlations, three plausible topological structures (Fig. 2) were enumerated based on the observed HMBC.

Figure 1 Structures of tripodalsporormielones A–C (1–3).

Figure 2 Plausible topological structures of 1.
Tripodalsporormielone B (2) was purified as yellow crystals, and its positive HRESIMS gave the molecular formula of C_{17}H_{18}O_{7} from a pseudomolecular ion peak at m/z 279.1228 [M + H]^+ (Calcd. for C_{17}H_{19}O_{7}, 279.1323) in its HRESIMS. Two carbon carbons signals (δC 209.3 and 191.1), two olefinic non-protonated carbons (δC 153.4 and 126.6), four non-protonated sp³ carbon signals (including three oxidized ones, δC 96.3, 92.3, and 87.0), two sp³ methine carbon signals (δC 67.6 and 56.1), one sp³ methene signal (δC 52.9), and four methyl carbon signals (δC 23.0, 14.7, 12.1, and 10.7) were found in ^{13}C NMR spectrum (Supporting Information Table S3). The HMBC correlations from H_{2-3} (δH 1.91, brs) to C-2/C-6/C-7, from H_{2-3} (δH 1.52, s) to C-3/C-4/C-5, from H-2 (δH 2.88, brs) to C-3/C-7/C-4/C-5/C-6', from H-3' (δH 3.39, s) to C-4/C-5/C-9/C-9' indicated the presence of dime-thylycyclohex-2-one fragment, which was the same as that of 1. The other observable HMBC correlations from H_{1-8} (δH 1.91, brs) to C-2/C-6/C-7/C-7', from H-9 (δH 1.52, s) to C-3/C-4/C-5, from H-2 (δH 2.88, brs) to C-3/C-7/C-4/C-5/C-6', from H-3' (δH 3.39, s) to C-2/C-4, and from H-6 (δH 6.01, brs) to C-4/C-8 expanded the fragment and gave the partial structure of 2 (Fig. 5). Since no further useful NMR information was found, the linkage of ester bond was hard to be determined. Finally, the complete structure of 2 was established by X-ray data (Fig. 4), and its relative configuration was determined as 45°, 55°, 65°, 3'S', 4'S', 5'S'. The observed ROESY correlations between H-3' and H-9/H-1' were consistent with the above deduction.

Tripodalsporormielone C (3) was obtained as yellow crystals. Its molecular formula was determined as C_{15}H_{16}O_{5} on the basis of the protonated molecular ion at m/z 279.1228 [M + H]^+ (Calcd. for C_{15}H_{16}O_{5}, 279.1232) in its HRESIMS. Two carbon carbons signals (δC 190.5 and 181.2), one olefinic non-protonated carbon (δC 135.4), and four methyl carbon signals (δC 29.3, 25.3, 23.1, and 19.7) were found in ^{13}C NMR spectrum (Supporting Information Table S3). The HMBC correlations from H_{2-3} (δH 1.96, brs) to C-2/C-6/C-7/C-7', from H_{2-3} (δH 1.73, brs) to C-2/C-3/C-4/C-7', and from H-6' (δH 2.39, brs) to C-4/C-5' indicated the presence of dimethylcyclohex-2-one moiety in 3, which was also similar with that of 1. The other observable HMBC correlations from H_{2-3} (δH 1.16, s) to C-2/C-6/C-7, from H-1 (δH 0.98, s) to C-2/C-3/C-7, from H-3 (δH 2.35) to C-2/C-5/C-6/C-6', and from H-6 (δH 2.89, s) to C-5/C-4/C-5/C-6' indicated the existence of a dimethylbicyclo[2.2.1]heptan-2-one moiety. Based on the molecular formula and the degrees of unsaturation, the partial structure of 3 (Fig. 5) was established. However, the limited NMR data would not establish the complete structure. Finally, X-ray crystal diffraction of 3 (Fig. 4) established the whole planar structure and the relative configuration of 3 as 2'S', 6'R', 7'S', 4'R', 5'R', 6'S'. In addition, the observed ROESY correlations between H-6' and H-1/H-8', between H-8' and H-1, between H-1 and H-3/H-8, and between H-6 and H-8 were consistent with the above deduction. Therefore, the structure of tripodalsporormielone C (3) was also established, which was a 7-oxatetracyclo[6.3.1.0²,6.0⁵,12]jundecane skeleton as a tripodal bridged and fused ring system (Fig. 1).

The optical rotation data of 1–3 were close to zero and the space groups of X-ray crystal data of 1–3 were achiral (P-1 for 1, P21/n for 2, P21/n for 3), which indicated that 1–3 should be the mixtures of enantiomers. So, chiral HPLC analyses of 1–3 were carried out. Except for 2, the enantiomers of 1 and 3 were successfully isolated by the chiral HPLC chromatography with the existing conditions, respectively. Two pairs of enantiomers [(+) 1a (+) 1b and (+) 3a (+) 3b] were obtained (Supporting Information Figs. S1 and S2). After that, the quantum chemical electronic circular dichroism (ECD) calculations of

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**Table 1** Related parameters of the calculated ^{13}C chemical shifts for five structure candidates of 1.

| Parameter | 1A-1 | 1A-2 | 1A-3 | 1B | 1C |
|-----------|------|------|------|----|----|
| R²        | 0.9967 | 0.9942 | 0.9951 | 0.9963 | 0.9978 |
| MAE       | 2.42 | 3.83 | 3.44 | 3.07 | 2.45 |
| MaxErr    | 11.18 | 10.79 | 10.59 | 8.26 | 6.40 |
| DP4       | 70.43% | 0.00% | 0.00% | 0.01% | 29.56% |

R²: correlation coefficient in regression analysis. MAE: mean absolute error. MaxErr: maximum absolute error.
The bioactivities of 1 and 3 were screened on rescuing AD (Alzheimer’s disease) flies short-term memory, acetylcholinesterase (AChE), cytotoxicity, and antimicrobial assays, and 1 showed obviously improving activity to save short-term memory of AD flies with the performance indexes (PI) 43.5 ± 10.6 (Supporting Information Fig. S13), which was similar to the positive control (memantine, PI = 45.0 ± 6.4).

3. Discussion

Inspired by previous work, tripodalsporormielones A–C (1–3) would be C=C coupled orsellinic acid derivative dimers and are likely to be derived from the same precursor as sporormielones A–E, whose biosynthesis is initiated by a 3-methyloctanaldehyde synthase SpoA. Different from sporormielones A–E produced by a single ortho-quinone methide (o-QM) intermediate, 1–3 would be generated from para-QM intermediate and para-QM-like intermediate, which are highly reactive chemical motifs. The intermediates I and III would be generated from 3-methyloctanaldehyde via oxidation, demethylation, reduction, and dehydration, while the intermediate II would be derived from 3-methyl octanolic acid via decarboxylation and oxidation (Fig. 7). In the proposed biosynthesis of sporormielones A–E, the dimerization would be generated from the same o-QM intermediate with different C=C coupled patterns via Michael addition. Different from sporormielones A–E, 1–3 would be generated through diverse nucleophilic additions. In the biosynthesis of 1–3, the intermediates Ia and IIIa undergo dimerization to produce intermediate A followed by nucleophilic addition between 9′-COOH and 4-ketone carbonyl to afford I. Similarly, dimerization of intermediates II and Ia would generate intermediate B, which would be converted to 2 by esterification between 9′-COOH and 4-OH. The formation of skeleton of 3 is more complicated than those of 1 and 2. The intermediates IIIa and IIIb would yield intermediate C-1, which would be converted to 3 by the following enol interconversion, acetyl rearrangement, reduction, and demethylation (Fig. 7).

To date, only a few C=C coupled orsellinic acid derivative dimers have been reported from fungi, including dicyclic ring system (such as oosporeins and epicoccolide B), tricyclic fused ring system (such as sporormielones A–E), and simple bridged ring system (such as epicoccolide A and epicocconigrone A). Different from all those reported ones, tripodalsporormielones A–C (1–3) are a new class of orsellinic acid derivative dimer possessing more complex skeletons with polydent bridged and fused ring systems. Combined with sporormielones, our work shows that 3-methyloctanaldehyde would be transformed into various QM and QM-like intermediates in this strain, which would lead to the abundant structural diversity of C=C coupled orsellinic acid derivative polymers with complex skeletons. In addition, these complex molecules are characterized as a high non-protonated carbon-containing system, which results in few HMBC correlations observed. What is more, some signals of non-protonated sp² carbons are weak and even unobservable. These would increase the difficulty of the structural elucidation. Our work also clearly exhibits that NMR calculation with DP4 evaluation is a powerful and reliable tool in structural elucidation, which would effectively remove the wrong structure candidates.
4. Experimental

4.1. General experimental procedures

Methanol (MeOH) was purchased from Yuwang Industrial Co., Ltd. (Yucheng, China). Acetonitrile (MeCN) was obtained from Oceanpak Alexative Chemical Co., Ltd. (Gothenburg, Sweden). Ethyl acetate (EtOAc) and cyclohexane were analytical grade from Fine Chemical Co., Ltd. (Tianjin, China).

Melting points were measured on a BUCHI-545 melting point measurement (BUCHI Labortechnik AG, Flawil, Switzerland) without correction. UV data were recorded using a JASCO V-550 UV/Vis spectrometer (Jasco International Co., Ltd., Tokyo, Japan). IR data were recorded on a JASCO FT/IR-480 plus spectrometer (Jasco International Co., Ltd., Tokyo, Japan). Optical rotations were measured on a JASCO P1020 digital polarimeter (Jasco International Co., Ltd., Tokyo, Japan). ECD spectra were recorded in MeOH using a Chirascan-plus qCD spectrometer (Applied Photophysics Ltd., UK) at room temperature. HRESIMS spectra were obtained on Waters Synapt G2 TOF mass spectrometer (Waters Corporation, Milford, USA). 1D and 2D NMR spectra were acquired with Bruker AV 600 spectrometers (Bruker BioSpin Group, Faellanden, Switzerland) using the solvent signals (DMSO-d6: 3.50 ppm, CDCl3: 39.5 ppm) as internal standards. Column chromatography (CC) was carried out on silica gel (200–300 mesh) (Qingdao Haiyang Chemical Group Corporation, Qingdao, China), ODS (50 μm, YMC), and Sephadex LH-20 (Amersham Pharmacia Biotech, Sweden). TLC was performed on precoated silica gel plate (SGF254, 0.2 mm, Yantai Chemical Industry Research Institute, China). Analytical HPLC was performed on a Dionex HPLC system equipped with an Ultimate 3000 pump, an Ultimate 3000 diode array detector, an Ultimate 3000 column compartment, an Ultimate 3000 autosampler (Dionex, USA), and an Alltech (Grace) 2000 ES evaporative light scattering detector (Alltech, USA) using a Phenomenex Gemini C18 column (4.6 mm × 250 mm, 5 μm). Semi-preparative HPLC and preparative HPLC were carried out on a Shimadzu LC-6AD system equipped with a UV detector. Medium pressure liquid chromatography (MPLC) was performed on ODS (50 μm) and equipped with a dual pump gradient system, a UV preparative detector, and a Dr Flash II fraction collector system (Shanghai Lisui E-Tech Co., Ltd., Shanghai, China).

4.2. Fungal materials and fermentation

The strain (40-1-4-1) was isolated from the lichen Cladonia subulata (L.) Wigg. collected from Changbai Mountain, Jilin province, in August 2006. The strain was identified as Sporormiella sp. by Prof. Liangdong Guo and Prof. Dan Hu based on its morphological characteristics and gene sequence analysis. The ribosomal internal transcribed spacer (ITS) and the 5.8S rRNA gene sequences (ITS1-5.8S-ITS2) of the strain have been deposited at GenBank as MK942641.

The fungal strain was cultured on slants of potato dextrose agar (PDA) at 25 °C for 3 days. Agar plugs were used to inoculate 25 Erlenmeyer flasks (500 mL), each containing 100 mL of potato dextrose broth (PDB). Fermentation was carried out in 200 Erlenmeyer flasks (500 mL), each containing 70 g of rice. Distilled H2O (105 mL) was added to each flask, and the rice was soaked overnight before autoclaving at 120 °C for 30 min. After cooling down to the room temperature, each flask was inoculated with 10.0 mL of the seed culture containing mycelia and incubated at 27 °C for 50 days.

4.3. Extraction and isolation

The culture was extracted thrice with EtOAc, and the pooled organic solvent was evaporated to dryness under vacuum to afford
a crude extract (115.1 g). Then the crude extract was subjected to silica gel CC (4 × 15 cm) using cyclohexane-MeOH (100:0 and 0:100) to afford a cyclohexane extract (C, 70.4 g) and a MeOH extract (w, 38.5 g). The MeOH extract (w, 38.5 g) was separated by ODS MPLC (4 × 30 cm) eluting with MeOH−H₂O (30:70, 50:50, 70:30, 100:0, MeOH−CHCl₃ 1:1 v/v) to afford 5 fractions (w1−w5). Fraction w1 (19.7 g) was further subjected to ODS MPLC (4 × 45 cm) eluted with a gradient of MeOH/H₂O (5:95 to 100:0 v/v) for 800 min at a flow rate of 20 mL/min to afford fractions w1-1 to w1-6. Fraction w1-4 (9.0 g) was subjected to preparative HPLC on Marchal C18 6 µm/C₂ (250 mm) at a flow rate of 8 mL/min to afford 3 fractions (w1-4-1-1 to w1-4-1-3). Fraction w1-4-1 (1.4 g) was subjected to semi-preparative HPLC using MeCN/H₂O (8:92, v/v) at a flow rate of 3 mL/min to afford 8 fractions (w1-4-1-1 to w1-4-1-8). Fraction w1-4-1-5 (96.9 mg) was subjected to preparative HPLC on Phenomenex Kinetex C8 column (5 µm, 21.2 mm × 250 mm) using MeCN/H₂O (5:95, v/v) at a flow rate of 3 mL/min to afford 8 fractions (w1-4-1-1 to w1-4-1-8). Fraction w1-4-1-5 (96.9 mg) was subjected to preparative HPLC on Phenomenex Kinetex C8 column (5 µm, 10.0 mm × 250 mm) using MeCN/H₂O (10:90, v/v) at a flow rate of 3 mL/min to afford 2 (tₚ: 30 min, 2.0 mg). Fractions w1-4-2 (775.3 mg) and w1-4-3 (264.6 mg) combined with fraction w1-5 (1.53 g) were subjected to Sephadex LH-20 using MeOH to afford 7 fractions (w1-5-1 to w1-5-7). Fraction w1-5-4 (983.9 mg) was subjected to semi-preparative HPLC on YMC-Pack ODS-A column (5 µm, 10.0 mm × 250 mm) using MeCN/H₂O (12:88, v/v) at a flow rate of 3 mL/min to afford 9 fractions (w1-5-4-1 to w1-5-4-9). Fraction w1-5-4-6 (90.2 mg) was subjected to semi-preparative HPLC on YMC-Pack ODS-A column (5 µm, 10.0 mm × 250 mm) using MeCN/H₂O (20:80, v/v) at a flow rate of 3 mL/min to afford 3 (tₚ: 50 min, 13.4 mg).

### 4.4. Chiral separations of 1 and 3

The chiral HPLC separation of compound 1 was successfully obtained in a 1a [tₚ: 8.0 min, [α]₀⁰ = −24.1 (c 1.0, MeOH)]/1b [tₚ: 11.0 min [α]₀⁰ = +21.8 (c 1.0, MeOH)] by using an Enantiopak OZ-3 (5 µm, 4.6 mm × 250 mm) at the rate of 1.0 mL/min.

The chiral HPLC separation of compound 3 was successfully obtained in 3a [tₚ: 17.0 min [α]₀⁰ = +192.8 (c 0.129, MeOH)] by using an Enantiopak OZ-3 (5 µm, 4.6 mm × 250 mm) at the rate of 1.0 mL/min.

### 4.5. Structural characterizations of 1−3

**Compound 1**: yellow crystals (MeOH); m.p. 194−199 °C; ESI-MS (positive): m/z 351 [M + H]+, m/z 723 [2M + Na]+; HRE-MS (positive) m/z 351.1076 [M + H]+ (Calcd. for C₁₇H₁₉O₈, 351.1080); UV (MeOH) λmax (log e) 250 (4.02) nm; IR (KBr) νmax 3418, 2921, 2900, 1758, 1677, 1626, 1380, 1173, 1037 cm⁻¹; 1H and 13C NMR see Table S1.

**Compound 2**: yellow crystals (MeOH); m.p. 197−203 °C; ESI-MS (positive): m/z 335 [M + H]+, m/z 575 [2M + Na]+; HRE-MS (positive) m/z 335.1124 [M + H]+ (Calcd. for C₁₇H₁₉O₈, 335.1131); UV (MeOH) λmax (log e) 205 (4.00), 230 (4.08) nm; IR (KBr) νmax 3418, 2921, 2900, 1758, 1677, 1626, 1380, 1171, 1037, 804 cm⁻¹; 1H and 13C NMR see Table S2.

**Compound 3**: yellow crystals (MeOH); m.p. 189−194 °C; ESI-MS (positive): m/z 279 [M + H]+, m/z 579 [2M + Na]+; HRE-MS (positive) m/z 279.1228 [M + H]+ (Calcd. for C₁₇H₁₉O₈, 279.1232); UV (MeOH) λmax (log e) 216 (3.82), 259 (3.80) nm; IR (KBr) νmax 3383, 2986, 2960, 1761, 1673, 1595, 1387, 1355, 1132, 953 cm⁻¹; 1H and 13C NMR see Table S3.

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Author contributions

This work was designed and supported by Prof. Hao Gao, Prof. Xinsheng Yao, and Prof. Dan Hu. The isolations, structural elucidations, calculated ECD and NMR, and the fungal fermentation were performed by Dr. Guodong Chen, Dr. Bingxin Zhao, Miss Meijuan Huang, and Miss Jia Tang. The anti-acetylcholinesterase (ACHE), cytotoxicity, and antimicrobial assays were performed by Miss Yanbina Li and Prof. Rongrong He. The fungal strain was supplied by Prof. Liangdong Guo and identified by Prof. Liangdong Guo and Prof. Dan Hu. The paper was written by Dr. Guodong Chen.

Conflicts of interest

The authors declare that they have no competing interests.

Appendix A. Supporting information

Supporting information to this article can be found online at https://doi.org/10.1016/j.jasb.2021.05.001.
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