First-line nivolumab + ipilimumab in advanced NSCLC: CheckMate 227 subpopulation analyses in Asian patients

K. J. O’Byrne1*, K. H. Lee2, S.-W. Kim3, K. Park4, M. Nishio5, H. Sakai6, Y. Ohe7, T. Fukuhara8, J.-H. Kang9, H. Daga10, C.-J. Yu11, K. Hotta12, H. Tanaka13, M. Takeda14, T. Yokoyama15, F. E. Nathan16 & J.-S. Lee17

1Princess Alexandra Hospital and Queensland University of Technology, Brisbane, Australia; 2Chungbuk National University Hospital, Cheongju-si; 3Asian Medical Center, Seoul; 4Samsung Medical Center, Sungkyunkwan University School of Medicine, Seoul, Republic of Korea; 5Cancer Institute Hospital of Japanese Foundation for Cancer Research, Tokyo; 6Saitama Cancer Center, Saitama; 7National Cancer Center Hospital, Tokyo; 8Miya Cancer Center, Natori, Japan; 9The Catholic University of Korea, Seoul St. Mary’s Hospital, Seoul, Republic of Korea; 10Osaka City General Hospital, Osaka, Japan; 11National Taiwan University Hospital, Hsin-Chu Branch, Hsin-Chu, Taiwan; 12Okayama University Hospital, Okayama; 13Niigata Cancer Center Hospital, Niigata; 14Kindai University Hospital, Osaka; 15Kurashiki Central Hospital, Kurashiki, Japan; 16Bristol Myers Squibb, Princeton, USA; 17Seoul National University Bundang Hospital, Seongnam, Republic of Korea

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Background: Nivolumab plus ipilimumab demonstrated clinically meaningful improvement in efficacy versus chemotherapy with a manageable safety profile in patients with advanced non-small cell lung cancer (NSCLC) and tumor programmed death-ligand 1 (PD-L1) expression ≥1% or <1% in Part 1 of CheckMate 227. Here we report efficacy and safety results for the Asian subpopulation.

Methods: Patients with stage IV/recurrent NSCLC were randomized 1:1:1 to nivolumab plus ipilimumab, nivolumab monotherapy, or chemotherapy (PD-L1 ≥1%) or nivolumab plus ipilimumab, nivolumab plus chemotherapy, or chemotherapy (PD-L1 <1%). Overall survival (OS), progression-free survival, objective response rate, duration of response, and safety were evaluated among patients in Japan, South Korea, and Taiwan.

Results: In the Asian subpopulation with PD-L1 ≥1%, 81 patients received nivolumab plus ipilimumab and 81 received chemotherapy. Median OS was not reached with nivolumab plus ipilimumab versus 24.8 months with chemotherapy; 3-year OS rate was 53% versus 37% [hazard ratio (HR), 0.72; 95% confidence interval (CI) 0.47-1.11]. The 3-year progression-free survival rate was 26% versus 7% (HR, 0.65; 95% CI 0.45-0.96), objective response rate was 56% versus 37%, and median duration of response was 29.0 months (95% CI 15.0 months-not reached) versus 6.9 months (95% CI 3.9-11.1 months). Similar results were observed regardless of tumor PD-L1 expression and in Japanese patients. Grade 3-4 treatment-related adverse events occurred in 40% of patients receiving nivolumab plus ipilimumab and 36% receiving chemotherapy, in the overall Asian subpopulation (tumor PD-L1 expression ≥1% and <1%); no new safety signals were identified.

Conclusions: At 3-year follow-up, nivolumab plus ipilimumab provided durable long-term efficacy benefits versus chemotherapy regardless of tumor PD-L1 expression in the Asian subpopulation, including Japanese patients. Consistent with findings for all randomized patients, these data support the use of nivolumab plus ipilimumab as first-line treatment of Asian patients with advanced NSCLC.

Key words: Asia, Japan, non-small cell lung cancer, nivolumab, ipilimumab
including an increase in memory T cells. Clinically, the combination of nivolumab plus ipilimumab has improved long-term survival compared with standard therapies for advanced cancers such as melanoma, renal cell carcinoma, mesothelioma, and non-small cell lung cancer (NSCLC).

In part 1 of the randomized, open-label, phase III trial CheckMate 227, the combination of nivolumab plus ipilimumab as first-line treatment significantly prolonged overall survival (OS) compared with chemotherapy in patients with advanced NSCLC and tumor programmed death-ligand 1 (PD-L1) expression \( \geq 1\% \) (co-primary endpoint) or \( <1\% \) (pre-specified descriptive analysis).

At 3 years’ minimum follow-up (37.7 months), nivolumab plus ipilimumab continued to demonstrate a durable and long-term benefit versus chemotherapy, regardless of tumor PD-L1 expression; 3-year OS rates were 33% versus 22% in patients with PD-L1 \( \geq 1\% \) and 34% versus 15% in patients with PD-L1 \( <1\% \). Based on data from this trial, nivolumab plus ipilimumab was approved in the USA as first-line treatment of adult patients with metastatic NSCLC and tumor PD-L1 expression \( \geq 1\% \) (as determined by a Food and Drug Administration-approved test), with no EGFR or ALK tumor aberrations.

Nivolumab plus ipilimumab has also been approved in Japan as first-line treatment for unresectable, advanced or recurrent NSCLC regardless of tumor PD-L1 expression, and in South Korea and Taiwan as first-line treatment for adult patients with advanced/recurrent NSCLC and tumor PD-L1 expression \( \geq 1\% \). Differences in treatment outcomes between Asian and non-Asian patients with NSCLC have been observed with various therapies. Especially in countries with well-resourced healthcare systems like Japan, higher rates of subsequent therapy after disease progression have been reported in several clinical trials, which may have potentially contributed to differences in survival outcomes. Therefore, there is a need for studies that assess clinical benefit in regional versus global populations to better evaluate treatment options and inform clinical decisions.

Here, we report the 3-year efficacy and safety results of nivolumab plus ipilimumab compared with chemotherapy in the Asian subpopulation, including Japanese patients, from CheckMate 227 part 1.

METHODS

Patients

Eligibility criteria for CheckMate 227 have been described previously. Briefly, adult patients were enrolled with histologically confirmed squamous or nonsquamous stage IV or recurrent NSCLC and an Eastern Cooperative Oncology Group (ECOG) performance status score of 0 or 1, who had not received previous systemic anticancer therapy for advanced or metastatic disease. Key exclusion criteria included the presence of EGFR mutations or known ALK translocations sensitive to targetted therapy, autoimmune disease, or untreated or symptomatic central nervous system metastases.

Study design and treatment

The CheckMate 227 trial is a multi-part phase III trial designed to evaluate different nivolumab-based regimens versus chemotherapy in distinct patient populations. Patients with tumor PD-L1 expression \( \geq 1\% \) were randomly assigned in a 1 : 1 : 1 ratio to receive nivolumab (3 mg/kg every 2 weeks) plus ipilimumab (1 mg/kg every 6 weeks), nivolumab monotherapy (240 mg every 2 weeks), or platinum-doublet chemotherapy every 3 weeks for up to four cycles. Patients with tumor PD-L1 expression \( <1\% \) were randomly assigned in a 1 : 1 : 1 ratio to receive nivolumab plus ipilimumab, nivolumab (360 mg every 3 weeks) plus platinum-doublet chemotherapy (every 3 weeks for up to four cycles), or platinum-doublet chemotherapy alone (every 3 weeks for up to four cycles).

Treatment continued until disease progression or unacceptable toxicity or, for the immunotherapy regimens, for a maximum of 2 years of follow-up. Crossover between the treatment groups during the trial was not permitted. Subsequent therapy was determined at the physician’s discretion.

Endpoints and assessments

The primary endpoints (including OS with nivolumab plus ipilimumab versus chemotherapy in patients with tumor PD-L1 expression \( \geq 1\% \)) and secondary endpoints for part 1 of the CheckMate 227 trial have been described previously. Here we assessed efficacy and safety in Asian patients, including the following endpoints: (i) OS, progression-free survival (PFS), objective response rate (ORR), and duration of response (DOR) in patients with tumor PD-L1 expression \( \geq 1\% \); (ii) OS, PFS, ORR, and DOR in patients with tumor PD-L1 expression \( <1\% \); and (iii) OS, PFS, ORR, and DOR in all randomized patients (with tumor PD-L1 expression \( \geq 1\% \) and \( <1\% \)). OS, PFS, ORR, and DOR were assessed by blinded independent central review (BICR). Tumor PD-L1 expression level was determined as described previously.

Safety was assessed in all treated patients. Treatment-related adverse events (TRAEs) and treatment-related select AEs (defined as AEs with potential immunological cause) were assessed by the investigator and collected between first dose and 30 days after last dose of study drug. AEs were graded according to the National Cancer Institute Common Terminology Criteria for Adverse Events, version 4.0.

Statistical analyses

Efficacy and safety data analyses of nivolumab plus ipilimumab versus chemotherapy in the Asian subpopulation are exploratory; data are summarized using descriptive statistics. Although not powered for statistical testing, geographic region including Asia was a predefined subset of interest for descriptive analyses including survival and response assessments. OS, PFS, and DOR were estimated using Kaplan–Meier analysis. A Cox proportional hazards model, with the treatment group as a single covariate, was used to calculate hazard ratios (HRs) for death with
associated two-sided confidence intervals (CIs). For ORR, the Clopper–Pearson method was used to calculate 95% exact two-sided CIs. Baseline demographics and safety were reported using descriptive statistics.

The trial was approved by the institutional review board or independent ethics committee at each center and was conducted in accordance with the Declaration of Helsinki and the International Conference on Harmonization Good Clinical Practice guidelines. Informed consent was obtained from all patients. The trial protocol has been published previously.25

**RESULTS**

**Patients**

This report is based on a database lock of 28 February 2020. Of a total of 347 randomized Asian patients with tumor PD-L1 expression $\geq 1\%$ or $< 1\%$ in part 1 of the CheckMate 227 study, 245 patients were randomized to nivolumab plus ipilimumab ($n = 121$) or chemotherapy ($n = 124$) (Supplementary Figure S1, available at https://doi.org/10.1016/j.esmoop.2022.100394). Patients were enrolled from 32 treatment centers in Japan ($n = 143$), 5 centers in the Republic of Korea ($n = 86$), and 5 centers in Taiwan ($n = 16$). Among Japanese patients, 66 were randomized to nivolumab plus ipilimumab and 77 to chemotherapy. Baseline characteristics were generally balanced between arms for the Asian subpopulation, including Japanese patients (Table 1). Among patients in the Asian subpopulation, however, the proportion of those with squamous histology was slightly higher in the nivolumab plus ipilimumab arm ($26\%$) versus the chemotherapy arm ($20\%$). Among Japanese patients, the proportion of those with ECOG performance status of 0 was slightly lower in the nivolumab plus ipilimumab arm ($39\%$) versus the chemotherapy arm ($45\%$). The proportion of patients with tumor PD-L1 expression $\geq 1\%$ was similar for the two treatment arms among the Asian subpopulation ($67\%$ in the nivolumab plus ipilimumab arm and $65\%$ in the chemotherapy arm), as well as among Japanese patients ($62\%$ in both arms).

**Subsequent therapy**

For patients with tumor PD-L1 expression $\geq 1\%$ in the Asian subpopulation, the median duration of therapy in the nivolumab plus ipilimumab arm was 6.0 months (95% CI 3.32-10.41 months) and 4.2 months (95% CI 3.38-5.75 months) in the chemotherapy arm. Subsequent systemic therapy was received by 41% of patients in the nivolumab plus ipilimumab arm and 72% of patients in the chemotherapy arm; subsequent immunotherapy by 11% and 58%; and subsequent chemotherapy by 38% and 43%, respectively. For all patients in the Asian subpopulation, the median duration of therapy in the nivolumab plus ipilimumab arm was 4.6 months (95% CI 3.25-6.51 months) and 3.7 months (95% CI 2.60-4.17 months) in the chemotherapy arm. Subsequent systemic therapy was received by 46% of patients in the nivolumab plus ipilimumab arm and 73% of patients in the chemotherapy arm; subsequent immunotherapy by 11% and 57%; and subsequent chemotherapy by 45% and 49%, respectively (Supplementary Table S1, available at https://doi.org/10.1016/j.esmoop.2022.100394).

The proportion of Japanese patients receiving subsequent therapy was numerically higher in both arms compared with that in the overall Asian subpopulation. For all Japanese patients, subsequent systemic therapy was...
received by 58% of patients in the nivolumab plus ipilimumab arm, and 83% of patients in the chemotherapy arm; subsequent immunotherapy was received by 14% and 75%, respectively; and subsequent chemotherapy by 55% in each arm (Supplementary Table S1, available at https://doi.org/10.1016/j.esmoop.2022.100394).

**Efficacy**

The minimum follow-up time for OS among all randomized patients in the Asian subpopulation was 38.1 months. Nivolumab plus ipilimumab improved OS versus chemotherapy in this subpopulation, regardless of tumor PD-L1 expression. Median OS among patients with tumor PD-L1 expression $\geq 1\%$ was not reached with nivolumab plus ipilimumab versus 5.6 months with chemotherapy (HR, 0.66; 95% CI 0.48-0.92); 3-year OS (95% CI) rates were 51% (41.5% to 59.5%) versus 36% (22.9% to 39.6%) (Figure 1A). OS was also improved with nivolumab plus ipilimumab compared with chemotherapy in Japanese patients both with tumor PD-L1 expression $\geq 1\%$ (3-year rate, 56% [95% CI 39.7% to 69.6%]) versus 45% (95% CI 30.2% to 58.1%); HR, 0.77; 95% CI 0.43-1.40) and with tumor PD-L1 expression $<1\%$ [3-year rate, 56% (95% CI 43.3% to 67.0%) versus 36% (95% CI 25.7% to 47.3%); HR, 0.63; 95% CI 0.40 to 0.99%], at a minimum follow-up of 38.5 months for all randomized patients in Japan (Figure 1C and D).

Similarly, PFS per BICR favored nivolumab plus ipilimumab over chemotherapy regardless of tumor PD-L1 expression among patients in the Asian subpopulation. In those with tumor PD-L1 expression $\geq 1\%$, the median PFS was 11.0 and 6.7 months, respectively (HR 0.65; 95% CI 0.45-0.96); 3-year PFS (95% CI) rates were 26% (16.3% to 36.8%) versus 7% (1.7% to 19.1%) (Figure 2A). For patients with tumor PD-L1 expression $<1\%$, median PFS was 5.5 months with nivolumab plus ipilimumab versus 6.2 months with chemotherapy;
3-year PFS rates were 10% (95% CI 2.5% to 22.5%) versus 0%, respectively. In all Asian patients (tumor PD-L1 expression ≥1% and <1%), median PFS was 8.5 and 5.6 months, respectively (HR, 0.65; 95% CI 0.48-0.89), with 3-year PFS (95% CI) rates of 21% (13.4% to 29.1%) versus 5% (1.0% to 12.6%), respectively (Figure 2B). PFS in Japanese patients (tumor PD-L1 expression ≥1% and <1%) also favored nivolumab plus ipilimumab compared with chemotherapy (Supplementary Figure S2A and B, available at https://doi.org/10.1016/j.esmoop.2022.100394).

Safety

For the Asian subpopulation, TRAEs of any grade occurred in 105 (87%) patients treated with nivolumab plus ipilimumab and 109 (89%) patients treated with chemotherapy (Table 3). The most common (≥15%) any-grade TRAEs in patients treated with nivolumab plus ipilimumab were rash (22%), pyrexia (19%), pruritus (17%), diarrhea (17%), decreased appetite (17%), and fatigue (16%); the most common (≥30%) any-grade TRAE in patients treated with chemotherapy were nausea (42%), constipation (42%), decreased appetite (39%), and decreased neutrophil count (31%). Grade 3-4 TRAEs occurred in 49 (40%) and 44 (36%) patients treated with nivolumab plus ipilimumab and chemotherapy, respectively. The most common (≥5%) grade 3-4 TRAEs in patients treated with nivolumab plus ipilimumab were increased amylase and hyponatremia (5% each); the most common (≥15%) grade 3-4 TRAE in patients treated with chemotherapy was decreased neutrophil count (15%). Any-grade TRAEs leading to discontinuation of any component of the regimen occurred in 26 (21%) and 17 (14%) patients treated with nivolumab plus ipilimumab and chemotherapy, respectively. Two treatment-related deaths were reported in the nivolumab plus ipilimumab arm (one due to pneumonitis and one due to shock) versus one in the chemotherapy arm (due to interstitial lung disease).

The most common (≥20%) select TRAEs of any grade observed with nivolumab plus ipilimumab were skin (54%), endocrine (27%), and gastrointestinal (20%) events. Most common (≥5%) grade 3-4 select TRAEs were endocrine (9%) and hepatic (8%) events (Supplementary Table S2, available at https://doi.org/10.1016/j.esmoop.2022.100394).

The safety profile of nivolumab plus ipilimumab in Japanese patients was similar to that in the overall Asian subpopulation, and no new safety signals were identified.
Table 2. Efficacy in the Asian subpopulation, including Japanese patients

| Patients with tumor PD-L1 expression ≥1% | All patients (PD-L1 ≥1% and <1%) |
|----------------------------------------|-----------------------------------|
| **Asian**                              | **Japanese**                      |
| Nivolumab plus ipilimumab (n = 81)     | Chemotherapy (n = 81)              | Nivolumab plus ipilimumab (n = 41) | Chemotherapy (n = 48) |
| Confirmed ORR, n (%)                   | 56                                | 48                                |
| 95% CI                                 | 44-67                             | 39-57                             |
| Best overall response, n (%)           | 11 (14)                           | 13 (11)                           |
| Complete response                       | 2 (2)                             | 2 (2)                             |
| Partial response                        | 9 (22)                            | 4 (2)                             |
| Stable disease                          | 17 (41)                           | 17 (35)                           |
| Progressive disease                     | 12 (30)                           | 12 (26)                           |
| Not determined                          | 7 (17)                            | 4 (8)                             |
| Median DOR, months (95% CI)            | 29.0 (15.0-NR)                    | 24.9 (15.2-42.7)                  |
| 3-year DOR rate, % (95% CI)            | 43 (28-58)                        | 39 (26-52)                       |

**Japanese**

| Nivolumab plus ipilimumab (n = 41) | Chemotherapy (n = 48) |
|------------------------------------|-----------------------|
| Confirmed ORR, n (%)               | 63                    |
| 95% CI                             | 47-78                 |
| Best overall response, n (%)       | 11 (22)               |
| Complete response                   | 9 (22)                |
| Partial response                    | 17 (41)               |
| Stable disease                      | 7 (17)                |
| Progressive disease                 | 12 (30)               |
| Not determined                      | 7 (17)                |
| Median DOR, months (95% CI)        | 29.0 (15.0-NR)        |
| 3-year DOR rate, % (95% CI)        | 43 (28-58)            |

| Asian                              | Japanese             |
|------------------------------------|----------------------|
| Nivolumab plus ipilimumab (n = 121)| Chemotherapy (n = 124)|
| Confirmed ORR, n (%)               | 48                    | 53                                |
| 95% CI                             | 39-57                 | 40-65                             |
| Best overall response, n (%)       | 11 (17)               | 11 (17)                           |
| Complete response                   | 2 (2)                 | 2 (2)                             |
| Partial response                    | 24 (51)               | 45 (37)                           |
| Stable disease                      | 4 (8)                 | 39 (31)                           |
| Progressive disease                 | 8 (17)                | 15 (23)                           |
| Not determined                      | 1 (2)                 | 41 (53)                           |
| Median DOR, months (95% CI)        | NR (18.0-NR)          | 28.6 (15.6-NR)                    |
| 3-year DOR rate, % (95% CI)        | 44 (26-60)            | 56 (31-71)                        |

CI, confidence interval; DOR, duration of response; NR, not reached; ORR, objective response rate; PD-L1, programmed death-ligand 1.
a ORR and DOR were assessed by blinded independent central review.
b DOR evaluated for all responders.

DISCUSSION

The primary results of part 1 of CheckMate 227 established the superior efficacy of nivolumab plus ipilimumab versus chemotherapy in the treatment of patients with advanced NSCLC and tumor PD-L1 expression ≥1%. The present analysis examined the efficacy and safety of nivolumab plus ipilimumab versus chemotherapy in the Asian subpopulation from part 1 of CheckMate 227. With 36.1 months of minimum follow-up, nivolumab plus ipilimumab provided durable long-term OS benefit over chemotherapy, consistent with results in all randomized patients.

No new safety signals were identified among patients in the Asian subpopulation. Notably, the frequencies of TRAEs were consistent with those of all randomized patients in the KEYNOTE-024 study in Japanese patients with metastatic squamous or nonsquamous NSCLC, respectively, for both nivolumab plus ipilimumab and chemotherapy. The consistent findings across subgroups and populations are important for evaluating optimal treatment options. The consistent findings in the Asian subpopulation, including Japanese patients, are in line with prior studies that compared clinical outcomes of various therapies (possibly due to inherent genetic variations and/or varying standards of clinical care between countries) in East Asia patients and the KEYNOTE-189 study in Japanese patients showed clinical benefits of first-line pembrolizumab monotherapy for NSCLC over chemotherapy, consistent with results in all randomized patients.

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As differences in treatment outcomes for NSCLC between Asian and non-Asian populations have been observed with various therapies (possibly due to inherent genetic variations and/or varying standards of clinical care between countries), analyses of efficacy results with nivolumab plus ipilimumab.

With a median follow-up of 38.1 months, the primary results of part 1 of CheckMate 227 established the superior efficacy of nivolumab plus ipilimumab versus chemotherapy in the treatment of patients with advanced NSCLC and tumor PD-L1 expression ≥1%. The present analysis examined the efficacy and safety of nivolumab plus ipilimumab versus chemotherapy in the Asian subpopulation from part 1 of CheckMate 227. With 36.1 months of minimum follow-up, nivolumab plus ipilimumab provided durable long-term OS benefit over chemotherapy, consistent with results in all randomized patients. The present analysis examined the efficacy and safety of nivolumab plus ipilimumab versus chemotherapy in the Asian subpopulation from part 1 of CheckMate 227. With 36.1 months of minimum follow-up, nivolumab plus ipilimumab provided durable long-term OS benefit over chemotherapy, consistent with results in all randomized patients.

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and those leading to treatment discontinuation in both treatment groups were somewhat higher among patients in the Asian subpopulation compared with all randomized patients, which may be due to regional differences in AE management, or differences in genetic or disease characteristics in Asian patients with NSCLC.22,23 Of select TRAEs with management, or differences in genetic or disease characteristics in Asian patients compared with all randomized patients11; however, most were grade 1-2.

Among the Asian subpopulation, subsequent therapy was received by more than half of the patients in the nivolumab plus ipilimumab arm and by the majority of patients in the chemotherapy arm; this proportion was greater compared with those in the chemotherapy arm among all randomized patients11; however, most were grade 1-2.

In conclusion, first-line nivolumab plus ipilimumab provided efficacy benefits versus chemotherapy for patients with advanced NSCLC and tumor PD-L1 expression ≥1% in the Asian subpopulation of CheckMate 227 part 1, as well as in patients regardless of tumor PD-L1 expression. Consistent with findings in all randomized patients, these data lend additional support to the use of nivolumab plus ipilimumab as first-line treatment of advanced NSCLC in Asian patients, including Japanese patients.

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DATA SHARING
Data are available upon reasonable request. Bristol Myers Squibb policy on data sharing may be found at https://www.bms.com/researchers-and-partners/independent-research/data-sharing-request-process.html.

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