Integration of Radar Sensing into Communications with Asynchronous Transceivers

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ABSTRACT

Clock asynchronism is a critical issue in integrating radar sensing into communication networks. It can cause ranging ambiguity and prevent coherent processing of discontinuous measurements in integration with asynchronous transceivers. Should it be resolved, sensing can be efficiently realized in communication networks, requiring few network infrastructure and hardware changes. This article provides a systematic overview of existing and potential new techniques for tackling this fundamental problem. We first review existing solutions, including using a fine-tuned global reference clock, and single-node-based and network-based techniques. We then examine open problems and research opportunities, offering insights into what may be better realized in each of the three solution areas.

INTRODUCTION

Integrated sensing and communications (ISAC), a.k.a. joint communications and sensing (JCAS), is a technique that enables the integration of communications and radar/radio sensing into one system, sharing a single set of transmitted signals and a majority of hardware and network infrastructure. It is considered as a major candidate in many next-generation communications systems, such as 6G mobile and Fi networks, and the next generation of radar. ISAC is expected to improve spectrum efficiency, system cost, power consumption, and performance. Integrating radar sensing into existing communication systems is known as communication-centric ISAC [1].

There are three types of geometric configurations of transmitters (Tx) and sensing receivers (Rx) in communication-centric ISAC. These configurations have respective advantages and disadvantages, and would require different levels of modifications to current communication-only networks.

The co-located mono-static configuration requires simultaneous transmission and reception operation and hence, implicitly, in-band full-duplex operation, which is still immature for practical applications. Therefore, sub-optimal techniques such as deploying widely separated transmitting and receiving antennas will be needed for co-located configuration, which requires considerable system modifications [1].

The spatially separated bi-static configuration may be a more practical near-term option for communication-centric ISAC, as it can potentially be realized without requiring any changes to the current hardware and network. However, one major issue in this configuration is the clock asynchronism: the transmitter and sensing receiver use their local oscillators with unlocked clocks. In bi-static radar, a common high-accuracy clock signal is generally provided via, for example, fiber optical links or GPS. However, it is typically unavailable between the base station and user terminals in current communication systems. Clock asynchronism can cause timing offset (TMO) and carrier frequency offset (CFO). Both TMO and CFO are slow time-varying due to clock instability. In communications, TMO can be absorbed into channel estimates, and CFO can be estimated and compensated. In contrast, for sensing, they cause measurement ambiguity and accuracy degradation, as elaborated on shortly. Therefore, tackling clock asynchronism is a fundamental challenge in the bi-static ISAC configuration.

In the networked configuration, multiple communication nodes can cooperatively sense the environment, such as in a cloud radio access network (CRAN). Unless a common clock is available to these nodes, the clock asynchronism issue similar to that in the bi-static configuration also exists. However, the networked environment provides more capacity for dealing with this issue, as we elaborate on later.

In short, clock asynchronism is a fundamental problem in communication-centric ISAC. Should it be solved, bi-static and networked sensing can be efficiently realized, requiring few network infrastructure and hardware changes.

IMPACT OF CLOCK ASYNCHRONISM ON SENSING

Consider a multiple-input multiple-output (MIMO) orthogonal frequency-division multiplexing (OFDM) ISAC system. Let $H_{n, t, p, q}$ be the frequency-domain channel state information (CSI) of a quasi-static channel between the $n$th receiver antenna and the $q$th transmitter antenna at the
nth subcarrier of the rth OFDM block. It can be represented as

\[ H(n, t, p, q) = e^{j\theta} \sum_{j=1}^{M} e^{j2\pi (t + p) \cdot \beta_j} e^{2\pi j (f_0 + f_1) \cdot t} e^{j(n \cdot p \cdot q)} \]

where \(e^{j\theta}\) is the random phase shift term, \(\tau_{0,j}\) is the TMO, \(f_{1,j}\) is the CFO, \(u_{j,p,q}\) is a function of angle of arrival (AoA) and angle of departure (AoD), \(b_j\) is the path amplitude, \(l\) is the total number of paths, and \(T_{\text{block}}\) is the OFDM block period. In many sensing applications, from the CSI measurements, we need to explicitly or implicitly estimate \([\tau_{0,j}, f_{1,j}]\), as well as AoAs and AoDs, in the presence of unknown variables \([\theta_j, \tau_{0,j}, f_{1,j}]\) that may be slow time-varying. The CSI measurements, in the form of elements in the channel matrix \(H(n, t, p, q)\), are typically obtained from channel estimation in communications, one from each packet, as shown in Fig. 1. For sensing, clock asynchronism has the following major impacts:

- **Clock asynchronism also causes unknown and time-varying phase shifts across packets or CSI measurements, and cannot be tracked by pilots because of entangled phase shifts due to channel variation. This prevents coherent processing measurements at different time slots/packets, and also makes Doppler estimation challenging if both the signal magnitude and phase are used.**

### OVERVIEW OF EXISTING SOLUTIONS

In the rest of this article, we provide a systematic review of existing sensing techniques that handle asynchronous Txs and Rxss, and look into future research directions. We classify existing techniques into three categories: using a global reference clock; and single-node-based and network-based solutions, as shown in Table 1. The first relies on an external accurate reference clock. The second can be implemented in a single receiver, and the third exploits measurements from multiple cooperative nodes. Our technology review is accordingly organized into three sections next, followed by discussions on open research problems and conclusions.

### USING A GLOBAL REFERENCE CLOCK

The basic idea of this solution is to align individual clocks on board with a common clock source that has high reliability and stability. The evolving wireless time-sensitive network (WTSN) may be a potential solution if its timing accuracy can be improved to the order of nanoseconds. To date, the most widely used common clock source is from the global navigation satellite system (GNSS). Standard GPS-assisted synchronization is sufficient for communications; however, further processing is required to improve the accuracy and stability of the clock signals for radar sensing applications.

Since GPS satellites broadcast time, orbit, and other information via L1 and L2 signals, early time synchronization solutions receive these signals and extract the exact time information, referred to as the direct time extraction (DTE). The typical time accuracy (error between actual and estimated values) of DTE is 10 ns or less. This accuracy, however, needs to be averaged over a long time (e.g., over 1000 s) to cancel out the inherent short-term instability of satellite time. Thus, DTE can be unsuitable for applications that need fast time synchronization.

GPS-disciplined oscillator (GPSDO) is another major solution for GPS-aided time synchronization and is widely used in distributed radar systems [2]. GPSDO is performed by aligning a radio system’s local oscillator (LO) with the so-called pulse per second (PPS) signal carried by L1 and L2 signals. As illustrated in Fig. 2, PPS is a periodic pulsed signal. Thus, if distributed sensing systems are each equipped with a GPSDO, they are then synchronized to a certain extent subject to GPSDO accuracy and stability. Underlying GPSDO is generally a phase-locked loop (PLL). With the use of PLL, GPSDO suffers from the trade-off between the time constant (the time used by a PLL to lock) and lock-up performance. For distributed radars with static positions, the long waiting time for GPSDOs to synchronize may be acceptable. For ISAC sensing, however, sensing transceivers can be mobile, making the PLLs or GPSDOs frequently restart and difficult to lock.

Recently, a GPS-aided time stamping (GPSTA) method [3] was proposed to synchronize distrib-
uted wireless sensors. Unlike GPSDO, GPSTA does not change a device’s LO, hence significantly reducing the time required for synchronization. In fact, GPSTA puts a timestamp on each sample, as individually performed in wireless sensors, and then resamples the digital signal to align the sampling intervals of distributed sensors as if their clocks are synchronized. The timestamp in each sensor is estimated by mapping the local clock onto the GPS timescale, while the mapping is performed through a linear fit between the local clock count and the PPS time elapsed. The basic principle of GPSTA is shown in Fig. 2. When the kth PPS arrives, the absolute GPS time is extracted from the L1 signal, as denoted by \( t_k \). In the meantime, the value of a local counter is recorded, as denoted by \( C_k \). Similarly, when the \((k+1)\)th PPS arrives, \( t_{k+1} \) and \( C_{k+1} \) are recorded. Thus, if the clock count of the xth sample is \( C_x \), its timestamp can be estimated as

\[
t_x = t_k + \frac{C_x - C_k}{C_{k+1} - C_k}.
\]

The timestamp estimation error is due to the offsets in the extracted GPS time and the reading of the local counter.

Table 2 compares the synchronization performance of the methods above. From Table 2, we can conclude that GPSDO and GPSTA are more applicable to ISAC sensing than DTE due to the much faster time synchronization. Moreover, GPSDO is more suitable for static ISAC scenarios due to the PLL trade-off, while GPSTA, which is also cheaper, can be applied to both static and mobile scenarios. A major disadvantage of GPSTA is the low accuracy in terms of ISAC sensing.

### TABLE 1. Classification of existing solutions to the clock asynchrony problem and a brief comparison.

| Techniques | Merits | Issues |
|------------|--------|--------|
| Using global reference clock | GPS disciplined | Low-cost hardware-based implementation; no additional signal processing complexity. |
| GPS-aided time stamping | | Require satellite visibility and be constrained to outdoor applications; Solutions that well balance synchronization speed and accuracy are yet to be developed. |
| Single node based | Cross-antenna cross-correlation | Exploit locked-clock across multiple receiving channels; Easy to implement without requiring changes to current network and hardware infrastructure. | Require multiple receiving channels; Constrained applications due to algorithm requirements, capability, and complexity |
| | Cross-antenna signal ratio | | |
| Network based | Deterministic methods | Explore strength of networked nodes; Improved sensing capability with “multiview” and signal diversity. | Significantly increased complexity and information exchange overhead. |
| | Stochastic methods | | |

Figure 2. Illustration of PLL-based GPSDO and GPSTA.

node. One set of techniques are based on constructing a reference signal from the line-of-sight (LoS) path and have been widely exploited in passive radar, such as passive coherent location [4]. The time difference of arrival (TDoA) between the reference signal and reflected echo is then measured to remove the timing offset. The technique is sensitive to the quality of the constructed reference signal. The other set of techniques commonly exploit the fact that TMO and CFO across multiple antennas in the receiver are the same, because the common oscillator is used in the RF circuits for all antennas. These techniques have been validated in passive WiFi sensing [5–8]. Among them, the most effective ones can be classified into two methods: cross-antenna cross-correlation (CACC), and cross-antenna signal (or CSI) ratio (CASR). Next, we mainly elaborate on the second set for their better overall performance, of which the block diagrams of some specific schemes and some experimental results are shown in Fig. 3.

### Cross-Antenna Cross-Correlation

The CACC method computes the cross-correlation (i.e., cross-product) between signals from multiple receiving antennas. Referring to Eq. 1, we can see that CACC removes the random phase shift, TMO, and CFO; however, it outputs L2 terms. The sensing parameters also become relative ones, e.g., \( \tau_1, \tau_2 \), and \( f_{0,1}, f_{0,2} \) as well as their images \( -\tau_1, -\tau_2 \) and \( -f_{0,1}, -f_{0,2} \).

To proceed with the estimation of sensing parameters \( \tau_0, f_{0,0} \), it is widely assumed that:

1. There is a dominating LoS path with a much larger magnitude than a non-line-of-sight (NLoS) path.
2. The transmitter and sensing receiver are static, and the relative location of the transmitter is known to the receiver.

The second assumption is not necessary for some applications. Under these assumptions, the CACC outputs can be divided into four groups: the cross product of the LoS term, the cross products between NLoS terms, the cross products between LoS and NLoS terms, and the conjugates of these products. The NLoS cross products are much smaller than others and can be ignored. The LoS cross-product is invariant over the channel coherence time. Together with static terms in other groups, they can be removed by passing the CACC outputs through a bandpass filter (BPF) in the time domain. The cross-products between

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the dynamic NLoS and LoS paths thus dominate in the output of the filter, containing relative delays and Doppler frequencies, and their images with values symmetric about zero. To this end, the outputs largely retain the linearity of the signals if multiple paths and/or targets are present such that conventional sensing algorithms can be easily applied. The cross-product between LoS and dynamic NLoS signals also significantly amplifies the dynamic NLoS signal, which is useful for detecting its sudden change, when, for example, an object crosses obstacles such as walls [6]. However, one main drawback is that the image components may cause sensing ambiguity and degrade the performance of sensing algorithms.

To suppress the image components, one widely used scheme [5], what we call add-minus, is as follows. First, a reference antenna with typically the largest average power is selected. A constant value is then added to signals from the reference antenna, and another constant is subtracted from signals at other antennas before the cross-correlation operation. In this way, one of the two LoS-NLoS cross-products is enlarged, and the other is diminished. This scheme has been widely used for range and velocity estimation in WiFi sensing, for example, an object crosses obstacles such as walls [6]. However, it is found to be susceptible to the number and power distribution of static and dynamic signal components, and is shown to work to some extent.

A different strategy of removing the image components is proposed in [10] for single-target real-time passive WiFi tracking. It exploits the dominating LoS cross product instead of simply removing it, unlike all the CACC methods mentioned above. The LoS cross-product is used to obtain the ratio between dynamic and static CSI. Then a metric is established as a function of the sensing parameters of the dynamic path without involving image components. Accurate Doppler estimates can then be obtained from the metric, using, for example, the conventional MUSIC algorithm. The signal auto-correlation is then applied to extract dynamic components by exploiting the estimated Doppler frequencies, followed by delay and AoA estimation.

Cross-Antenna Signal Ratio

By exploiting the common offsets across receiving antennas, we can also compute the CSI ratios between one reference antenna and others to remove the impact of clock asynchronism [7, 8], which we call the CASR technique. For a single dynamic path, CASR generates an expression where the phase associated with sensing parameters is only contained in one term in the denominator. There is a close relationship between the phase variation of the CSI ratio and that of the sensing parameters.

In [7], by considering a single dynamic path in respiration sensing, a close relationship is established between the ratio of CSI measurements across two receiving antennas and human chest movement. The CSI ratio is rewritten in the form of Mobius transformation, and the reflection path length change due to chest movement can be directly mapped to the change of CSI ratio. More specifically, it is found that the CSI ratio rotates...
TABLE 3. Comparison of existing single-node cross-antenna processing techniques.

| Methods    | Key idea                                      | Advantages                                                                 | Disadvantages                                                                                     |
|------------|-----------------------------------------------|-----------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------|
| Reference signal | Construct a reference signal from LOS path, and then compute TDOA. | Linearity of signals and flexibility of signal processing are retained.          | –High-quality reference signal construction is not easy; –Coherent processing of discontinuous signals is challenging due to random phase shifts. |
| CACC       | Compute signal (CSI) cross-correlation between one reference and other antennas | –All useful signals are retained. –Linearity is retained and conventional sensing techniques can be applied. | –Have application constraints –By-product cross-correlation outputs need to be removed.          |
| CASR       | Compute the signal (CSI) ratio between any two antennas | –Canceled distortions in CSI common to Rx antennas, e.g., AGC variation, to boost signal-to-interference-plus-noise ratio (SINR); –No image components; –Easy to see phase variation. | –Parameters in denominator –Linearization requires special techniques and may be hard to achieve for multiple paths/targets –CSI ratio has different characteristics from CSI, making phase estimation challenging. |

Comparisons

The CACC method retains signal linearity; hence, conventional sensing algorithms can easily be applied to solve complicated sensing problems involving, for example, multiple targets and multiple dynamic paths. The advantages of CASR compared to CACC are as follows:

1. The CSI ratio is simple to compute and has an elegant relationship with the target movement.

2. It can cancel the distortions in CSI that are common to receiving antennas, such as the adaptive Gaussian classifier (AGC) variation, to improve the signal-to-interference-plus-noise ratio (SINR), leading to significantly improved sensing performance such as larger sensing range.

Despite these advantages, known solutions are constrained to indoor environments, considering only low-speed moving targets and estimating their relative movement, essentially associated with the Doppler frequency. Given the challenges in estimating other sensing parameters, it is unclear whether they can be applied to applications such as the characterization and tracking of high-mobility targets.

Figure 3 presents some experimental results for Doppler frequency estimation from WiFi CSI measurements for a human walking in an office environment [5]. All estimates are raw, before smoothing and filtering operations. We can clearly see the residual images from the add-nirus CACC outputs, which require complicated subsequent filtering and path matching processing to clean up [5]. The DFS estimator performs best with the smallest variation. In Table 3, the key ideas, advantages, and disadvantages of these techniques are summarized.

Cooperative Network-Based Solutions

In a communication network involving multiple nodes, receivers can conduct cooperative sensing, via either a deterministic or statistical approach. There has been extensive research on cooperative networked localization, which locates signal-emitting transmitters [11]. Comparatively, there has been little reported work on cooperative sensing, which aims to sense both transmitters and the environment surrounding the transmitters and receivers, and deals with both localization and diverse sensing applications. Despite these differences, many existing techniques for cooperative localization may be extended and applied to cooperative sensing. Here, we explore two classes of potential technologies to deal with clock asynchronism in cooperative sensing; deterministic geometric and statistical methods. We leave discussions for major challenges, such as target association, for cooperative networked sensing, for later.

Deterministic Geometric Methods

The deterministic geometric methods exploit known geometric relationships to remove the clock offset, for example, via the trilateration and triangulation techniques, which have been commonly used in networked localization [11]. Here, we depict the potential of applying these methods to networked sensing. As shown in the networked configuration in Fig. 1, multiple remote radio units (RRUs) are used to collect the echo signals from the same Tx to sense a target (e.g., the car there-in). As RRs are centrally controlled through, say, optical fiber, they can be well synchronized. However, there is still clock asynchrony between Tx and RRUs. TDoA can suppress the timing offset that is
common to the RRUs. Three RRUs can result in two TDoAs with timing offset suppressed. Then, using the known locations of RRUs combined with the two TDoAs can solve the target’s location unambiguously.

The angle of arrival (AoA)-based solution is relatively simpler. Only two RRUs are needed to estimate the AoAs of the same target. Then the target location can be solved using some basic triangular relations. As illustrated in Fig. 1, for the triangle XUV, if we know two angles, a and b, the location of X can be solved easily based on the known locations of U and V.

The TDoA solution needs three synchronized nodes to sense one target unambiguously. In contrast, the AoA solution can be performed over two nodes that may not be synchronized but must be equipped with antenna arrays to estimate AoAs. Since antenna arrays are commonly used in modern mobile networks, AoA-based solutions can be more promising in ISAC.

**Stochastic Methods**

Various statistical estimators have been developed for network localization, as reviewed in [13]. Some of these techniques have been explored to deal with the asynchronization problem by exploiting the statistical averaging effect of multiple measurements.

We illustrate the methods via one example based on the expectation-maximization (EM) technique. In [14], multiple moving passive targets are localized with one Tx and multiple Rxss. RFID tags are assumed to be installed on these targets so that the reflected signals can be separated at Rxss. Thus, essentially, a single passive target localization problem is considered. The receiver-specific time-varying clock offset is modeled as a memoryless Markov process where a Gaussian noise process is introduced to represent the difference between different time slots. The Gaussian noise variables are statistically independent between Rxss, but have the same zero mean and non-zero variance parameters. This clock offset model makes it possible to average the effect of offset in the subsequently formulated maximal likelihood and maximum a posteriori estimator. The solution of the estimator is obtained by applying an iterative EM algorithm. The work demonstrates the efficiency of such a statistical estimator in handling not only the clock offset, but also NLoS links. However, the high complexity of the scheme could be a concern for real-time implementation.

**Future Research Directions**

Although existing solutions have demonstrated their potential in resolving the clock asynchronism problem, they have respective limitations, and there are still significant spaces for improvement. Here, we discuss open problems and research opportunities in these areas.

**Refining GPS Clocks for ISAC**

GPSTA is a promising time synchronization solution for ISAC but needs to be improved in terms of synchronization accuracy. Two potential future directions are suggested below.

*Multipoint adaptive fitting:* Rather than the two-point fitting shown in Fig. 2, one may employ multipoint adaptive fitting by continuously incorporating new GPS time and onboard counter samples. Adaptive updating algorithms can also be devised to constantly refine the time synchronization accuracy.

*Jointly using multi-satellite PPS signals:* When multiple satellites are in view, their PPS signals can be jointly used to reduce the short-term instabilities of satellite times. Note that such time instability has been unveiled as a significant time error in GPSTA [3].

**Relaxation of Single-Node Sensing Techniques**

The CACC and CASR methods have demonstrated great potential, but they also have notable limitations. Tackling their limits is important for generalizing these techniques.

First, the CACC method relies on the assumptions of fixed Tx-Rx locations and presence of a dominating LoS path. With varying Tx and Rx locations, the estimated sensing parameters become relative, and the absolute locations of reflectors cannot be determined. Without the dominating path, all product terms may have similar power, and the product terms with dynamic paths cannot be ignored anymore. It is critical to relax these assumptions to broaden the applications of CACC, for example, through exploring known static reflectors near the receiver.

Second, the CASR method’s effectiveness has only been demonstrated for sensing relative movement. Essentially, only Doppler frequencies associated with the relative motion of targets have been estimated. The variation of propagation delay and AoAs have yet to be considered, to significantly extend the applications of CASR to scenarios involving high mobility and large range variations. Their estimation via CASR is much more challenging than estimating Doppler frequency. A potential solution would be to combine CASR with CACC.

Third, extending the single-node solutions to more complicated scenarios involving multiple dynamic paths and objects will be critical for practical applications. For CASR, this is particularly challenging, and only very limited works have been reported. The linear signal separation technique in [8] requires signal independence between different users, which may not always be available. It would be critical to develop more general linearization techniques for CASR so that conventional sensing algorithms can be applied to deal with these complicate scenarios. Advanced techniques based on machine learning may be applied to extract feature signals for different targets.

**Cooperative Networked Sensing**

Cooperative networked sensing (e.g., based on TDoA and AoA) can be challenging in practice due to a critical issue of target association. The issue is specific to ISAC, because in networked localization, transmitters can be differentiated in specific domains (e.g., waveform and frequency).

In ISAC sensing, the presence of multiple targets causes the timing offset to be entangled with the propagation delays, and the order of path arrivals may not be the same for different receivers. Thus, target association needs to be implemented before almost all timing-based trilateration operations. For triangulation, target asso-
cation may be implemented simultaneously, as it is almost independent of clock offset. For timing-based trilateration, it is generally challenging, and the complexity may increase exponentially with the number of targets increasing. One potential solution is to exploit new communication protocols to assist the association [12]. The stochastic methods in networked sensing may also be adopted to realize joint association and sensing [11, 13].

We envision several potential directions to combat clock asynchronism in a networked environment:
1. Instead of confining in TDoA- or AoA-based schemes (project DP210101411). This research was supported partially by the National Natural Science Foundation of China under grant 61971099, “Multisense: Enabling Multi-Person Respiratory Sensing with CSI Ratio of Two Antennas,” and the Beijing Municipal Science and Technology Commission under grant Z191100005219042, “Uplink Sensing in Perceptive Mobile Networks with Asynchronous Transceivers,” and by the National Key R&D Program of China (2018YFB1402202) and the National Natural Science Foundation of China (61971099). We thank all the co-authors of this reference.
2. We may identify and exploit useful information from the sensing environment to perform post-calibration for all targets. The rationale is that some targets may be active users that communicate with the sensing transceivers. The clock correction can be more easily performed on these targets by cooperation. Then the calibration information from these targets may be used for removing the clock asynchronism for other targets.
3. We may resort to joint estimation methods, for example, jointly estimating transmitter location and time-varying timing offset caused by clock offset and skew in [12] and AoA and frequency in [15]. These techniques typically formulate a statistically optimal objective function (e.g., using the maximal likelihood principle) and obtain ambiguity-free estimates at the cost of high complexity.

Conclusions

In this article, we show that clock asynchronism is a central problem in integrating radar sensing into communication networks, and that it can be resolved by three classes of techniques: using a global reference clock, and single-node-based and network-based solutions. GPS can potentially offer a reliable global reference clock for outdoor devices, but its accuracy and stability need further improvement to meet the sensing accuracy requirement. Single-node-based techniques face the challenges of relaxing application constraints and extending application scenarios. Networked techniques need to tackle the challenging target association problems while applying trilateration and triangulation techniques. The three categories of techniques may also be combined. The prospective solutions are expected to boost the realization of integrated sensing in communications significantly.

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