Scythes, sickles and other blades: defining the diversity of pectoral fin morphotypes in Pachycormiformes

Jeff J. Liston¹,²,³, Anthony E. Maltese⁵, Paul H. Lambers⁶, Dominique Delsate⁷, William E.H. Harcourt-Smith⁸,⁹,¹⁰ and Anneke H. van Heteren¹¹,¹²,¹³

ABSTRACT

The traditional terminology of ‘scythe’ or ‘sickle’ shaped is observed to be flawed as an effective descriptor for pectoral fin shape in pachycormids. The diversity of pachycormid pectoral fin shapes is assessed across the 14 recognised genera that preserve complete pectoral fins, and improved terms are defined to more effectively describe their form, supported by anatomical observation and aspect ratio analysis of individual fins, and corroborated by landmark analysis. Three clear and distinct pectoral fin structural morphotypes emerge (falceform, gladiform, falcataform), reflecting a diversity of pachycormid lifestyles throughout the Mesozoic, from agile pursuit predator to slow-cruising suspension feeder.

INTRODUCTION

Pachycormid problems

Pachycormids occupy a key-position within Actinopterygii, as part of the Holostei-Teleostei transition. Their precise position in this hierarchy has been disputed for several
years, ever since Patterson’s work (1973). Furthermore, the group remains highly problematic due to a large number of historical problems with both descriptions (poorly defined genera and species) and material (holotypes based on incomplete or missing specimens), which undermines confidence in recent phylogenetic analyses (Ferrón et al., 2018), notwithstanding the high percentage of characters coded as unknown in such datasets (Arratia & Schultze, 2013) even greater than those quoted for the genus Pachycormus (López-Arbarello & Sferco, 2018). The issue relating to material is deeply affected by the trend within the group for reduced skeletal ossification, particularly concerning their body scales and vertebrae (arcocentra and chordacentra), which can severely compromise the cohesiveness and thus the collectability of some Jurassic and many Cretaceous pachycormid taxa (Gouiric-Cavalli et al., 2019).

A number of the earliest described taxa within Pachycormiformes were described on the basis of poor material (Quenstedt, 1858; Agassiz, 1833), despite the fact that the genera are best known from the Holzmaden and Solnhofen lithologies, both with global reputations for fine preservation. For example, Pachycormus bollensis is defined on the basis of a single dentary, that can no longer be found in the collections at Tübingen (L. Wretman, 2017, personal communications). Clarity over the definitions of pachycormid taxa (as with many other fossil taxa) has not been improved by the number of type specimens destroyed through bombing during wartime (Liston & Gendry, 2015), which has introduced a need for neotype material to be identified (e.g. Asthenocormus titanius, Hypsocormus macrodon, Protosphyraena tenuis). Lambers (1992), as well as highlighting the need for neotype designations for some pachycormid taxa, similarly noted problems of original type material quality with both Pachycormus and Hypsocormus.

The pervasive reduced ossification throughout the clade also compromises the chances of material being preserved. Indeed, it is noteworthy that in spite of its global reputation for preserving feathered animals like Archaeopteryx, the Solnhofen lithographic limestone seems generally poor in terms of the preservation of pachycormid fish material, in sharp contrast to the Holzmaden Posidonienschiefer, which may reflect different problems of matrix preparation for fish with restricted skeletal ossification. The largest adult-sized pachycormiform taxa are the least complete, as demonstrated by some 70 fragmentary skeletons of the suspension-feeding Leedsichthys (Liston, 2010), and the fact that it took almost 140 years after the genus was first described, before a single articulated specimen of Protosphyraena was found (included in this study). The reduced ossification is a particular problem, as Arthur Smith Woodward noted the small scale size (the thin rhombic scales noted as defining the ‘microlepidoti’ of Wagner, 1851; Heineke, 1906) and unusually high segmentation in the notochord as defining features in many genera of the Family Pachycormidae (1895), and clearly the preservability of both of these features is significantly compromised by limited ossification. Intriguingly, in this regard, the reduced ossification of vertebral components reveals an unconventional cranial direction of ossification during development, which apparently has only been observed otherwise in the early tetrapod Whatcheeria (J. Clack, 2012, personal communication).

As a result of this reduced axial ossification, the earliest pachycormid remains noted in 18th century collections (Liston, 2015) were isolated pectoral fins. Liston (2008) first drew
attention to the fact that the long referred to ‘scythe’-shaped pectoral fin was not in fact a pachycormid synapomorphy, with particular reference to *Asthenocormus*, going on to identify a problem of tacitly assumed rather than observed character repetition across a group (*Liston et al., 2012*), later echoed by *Arratia & Schultze (2013)*. Indeed, when the Family Pachycormidae was established by *Woodward (1895)*, his terminology was different, describing the pectoral fin as ‘sickle’ shaped, and explicitly stating this only for the genera *Asthenocormus, Pachycormus, Hypsocormus* and *Protosphyraena*. (*Sauropsis, Prosauroopsis, Euthynotus* and provisionally *Leedsichthys* were also noted as included within the Family, but with no such statement about their pectoral fins.) In tracing the development of this ‘meme’ within the scientific literature, it can be seen that Patterson appears to have introduced the error: ‘The pachycormids are undoubtedly a monophyletic group, characterised by…large, scythe-like pectoral fins’ (*1973: p.273*). Through an apparent ‘slip of the pen’, Woodward’s original description transformed from one bladed agricultural implement to a very different one, apparently going unnoticed until *Liston (2008)*. Subsequently, *Mainwaring (1978; supervised by Patterson)* perpetuated this mistake within the first substantive cladistic analysis of the pachycormids (*Prosauroopsis* and *Leedsichthys* were removed by Mainwaring from the group in her study), in which she stated that: ‘The scythe-like shape of the pectoral fins (character 5) has been recognised as a pachycormid specialisation almost since the creation of the family’ (*1978: p.114*).

Mainwaring’s thesis work and character phrasing were later entrenched in the literature via Lambers’ subsequent revision of Mainwaring’s work as part of his review of Solnhofen fishes (*1992*). From there, subsequent authors appear to have faithfully recorded the presence of a ‘scythe-like’ pectoral fin in any pachycormids that they described (in spite of the recognition of *Neopachycormus* as an unrecognised plethodid tselatiformid (*Taverne & Liston, 2017*), the number of pachycormid genera has doubled since Lambers’ review), without necessarily giving any serious consideration to what the term meant (*Friedman, 2012*). This has resulted in a phenomenon of ‘character creep’, where the integrity of the original definition deforms through casual author use over time (in a similar way to ‘name drift’ as with e.g. the spelling of *Cryptoclidus, Brown, 1981*), until it becomes virtually meaningless, a problem that occurs in many other datasets such as those of basal sauropodomorphs (O. Regalado Fernandez, 2018, personal communication).

Perhaps ironically, a recent paper has actually reverted to using Woodward’s original descriptor of ‘sickle’-shaped (*Přikryl et al., 2012*) for pachycormid specimens.

This work aims to demonstrate the wide diversity of pectoral fin shape amongst pachycormid taxa, reflecting a range of ecological spaces occupied by the members of this family, through comparison of planform and aspect ratio (AR), and finally define useful categories for describing and defining this variability in pectoral fin shape.

**MATERIALS AND METHODS**

This study was initiated to assess the degree of variability in pectoral fin planform across (and within) pachycormid taxa, using hydrodynamically relevant parameters. It was anticipated that pectoral fins would have forms with hydrodynamic properties reflecting the niches and lifestyles of the individual taxa. Pectoral fin specimens were
photographed with a scale in standard orientation, with the camera pointing perpendicular to the anterior–posterior plane. Photographs of all specimens were then examined in ImageJ in terms of their length, surface planform area and AR. This was derived from the span of the fin squared, divided by the fin surface area (following the methodology in Lighthill, 1977), the pectoral fin surface area and the span of each fin being measured in ImageJ, the span being taken from the midpoint of the pectoral fin/body junction (as indicated by the origins of the pectoral lepidotrichia) to the tip of the pectoral fin. These data were then processed in Microsoft Excel and OpenOffice Calc to calculate the AR, and the results tabulated in Table 1. An initial assessment was then carried out to see if the observed diversity grouped into morphotypes. These morphotypes were then assessed using 2D geometric morphometrics, with homologous x, y landmark coordinates (see Fig. 1 for visual and anatomical descriptions of the landmarks) and scale data being collected from the digital images using the software tpsDig2w32 (http://life.bio.sunysb.edu/morph/), and exported into text file format. Coordinate data were then subjected to a generalized procrustes analysis (GPA) in the software MorphoJ (Klingenberg, 2011). GPA is a superimposition technique that corrects for translational and rotational differences and adjusts for size. GPA aligned data were analysed using principal components analysis (PCA) and discriminant function analysis (DFA), also using MorphoJ. Regression analyses were performed in the software package PAST 3.2 (Hammer, Harper & Ryan, 2001).

As postcranial variability within the genus Pachycormus had been identified as unusually large by Liston (2007), the comparatively large number of qualifying representatives of this genus (forming around a third of the specimens) were all accepted for the analysis, in an attempt to bring to light any possible underlying anatomical patterns that might indicate a degree of taxonomic diversity outwith the current recognised species of bollensis, macropterus and curtus, recently critically reassessed by Wretman, Blom & Kear (2016). In relation to this question on a broader scale, Saurostomus specimens were also more highly represented, as these two genera have historically been argued to be congeneric (Giebel, 1848). Woodward was undecided on this matter (the type material of Saurostomus esocinus being a lost dentary) for the purposes of his catalogue, and acknowledged his uncertainty (1895, 1896a) until finally concluding that they should indeed be separate genera (1896b).

**Specimen selection, including comments on individual taxa**

Over 200 pachycormid specimens were assessed from collections around the world, ranging from the Toarcian to the Campanian in age, covering all known genera and utilising type specimens where possible, as well as undescribed material (Blanco-Piñón et al., 2002; Liston, 2012). Eventually, 88 specimens were selected as suitable for analysis (see Table 1 for specimen numbers of selected material of all taxa): to be eligible for this study, specimens had to have at least one pectoral fin, which prevented the inclusion of both Notodectes argentinus and Rhinconichthys in the analysis (Gouiric-Cavalli & Cione, 2015; Schumacher et al., 2016). From the original pool, 74 specimens (Table 2) were selected for shape analysis, for which at least one well-preserved pectoral fin was required to be present.
Table 1  Results of pectoral fin survey in selected pachycormids. Specimen number along with genus, aspect ratio and pectoral fin morphotype.

| Genus        | Specimen/collection number | A/R   | Fin type |
|--------------|-----------------------------|-------|----------|
| Haasichthys  | Tu228 (holotype)            | 3.06  | 1        |
| Orthocormus  | T.14836 (holotype)          | 4.17  | 1        |
| Orthocormus  | JM-E SoS Scha 2418          | 4.66  | 1        |
| Orthocormus  | SenkM 1863 (holotype)       | 1.53  | 1        |
| Orthocormus  | BSPG.1993.XVIII-VFKO.B16 (holotype) | 2.67 | 1        |
| Saurostomus  | NHMUK OR3731 L              | 5.6   | 1        |
| Saurostomus  | SMNS 51144                  | 2.96  | 1        |
| Saurostomus  | SMNS 56982                  | 3.48  | 1        |
| Saurostomus  | SMNS 50736 R                | 2.04  | 1        |
| Saurostomus  | SMNS 50736 L                | 2.86  | 1        |
| Saurostomus  | NHMUK PV P.11126            | 4.52  | 2        |
| Pachycormus  | SMNS 51041                  | 2.1   | 1        |
| Pachycormus  | SMNS 55300                  | 4.02  | 1        |
| Pachycormus  | SMNS 51905 L/R              | 6.28/3.14 | 1   |
| Pachycormus  | SMNS 51199 L                | 4.46  | 1        |
| Pachycormus  | SMNS 51199 R                | 4.1   | 1        |
| Pachycormus  | SMNS 56230                  | 2.94  | 1        |
| Pachycormus  | SMNK-PAL.6680               | 3.77  | 1        |
| Pachycormus  | PA-49.1911                  | 3.03  | 1        |
| Pachycormus  | SMNS 54835                  | 3.59  | 1        |
| Pachycormus  | NHMUK PV P.12913            | 1.34  | 1        |
| Pachycormus  | PMU24798                    | 4.68  | 1        |
| Pachycormus  | GLAHM V7274                 | 4.38  | 1        |
| Pachycormus  | PMU24796                    | 4.92  | 1        |
| Pachycormus  | SMNS 55857 R                | 4.66  | 1        |
| Pachycormus  | SMNS 55857 L                | 5.3   | 1        |
| Pachycormus  | SMNS 6696 L/R               | 6.35/4.24 | 1   |
| Pachycormus  | SMNS 87762 R                | 4.22  | 1        |
| Pachycormus  | SMNS 15815 L                | 2.33  | 1        |
| Pachycormus  | SMNS 15815 R                | 2.03  | 1        |
| Pachycormus  | IRSNB Vert-00-133           | 10.05 | 1        |
| Pachycormus  | NHMUK PV P.51667            | 3.54  | 1        |
| Pachycormus  | SMNS 54835                  | 3.58  | 1        |
| Pachycormus  | NHMUK OR 20657              | 4.01  | 1        |
| Pachycormus  | NHMUK PV P.7569             | 3.97  | 1        |
| Pachycormus  | GPIT/OS/777                 | 3.97  | 2        |
| Pachycormus  | SMNS 58389                  | 6.36  | 2        |
| Pachycormus  | G.338-1894                  | 3.06  | 2        |
| Pachycormus  | SMNS 95430                  | 3.33  | 2        |
| Pachycormus  | Ge 30 177                   | 3.86  | 2        |
| Pachycormus  | MBF 12215                   | 2.4   | 2        |

(Continued)
| Genus                  | Specimen/collection number | A/R | Fin type |
|-----------------------|----------------------------|-----|----------|
| Pachycormus           | SMNS 95835 R                | 3.43| 2        |
| Pachycormus           | SMNS 95835 L                | 3.48| 2        |
| Sauropsis             | BSP.AS.VII.1089             | 6.44| 2        |
| Sauropsis             | NHMUK PV P.13007 (holotype) | 3.89| 2        |
| Sauropsis             | CM 4772                    | 4.69| 2        |
| Sauropsis             | Tu147                      | 2.32| 2        |
| Euthynotus            | MNHN 821                   | 3.16| 2        |
| Euthynotus            | MNHN 10537                 | 3.31| 2        |
| Euthynotus            | MNHN 10538                 | 3.97| 2        |
| Euthynotus            | AMNH 7540                  | 3.82| 2        |
| Ohmdenia              | GPIT 1017/1 (holotype)      | 3.84| 2        |
| Leedsichthys          | PETMG F.174                | 4.2 | 2        |
| Martillichthys        | NHMUK PV P.61563 (holotype) | 5.9 | 2        |
| Hypsocormus           | NHMUK PV P.6011 L          | 3.88| 2        |
| Hypsocormus           | NHMUK PV P.6011 R          | 4.03| 2        |
| Hypsocormus           | NMS 1892.55.2              | 5.42| 2        |
| Hypsocormus           | BSPG.1964.XXIII.524 Schernfeld | 2.21| 2        |
| Hypsocormus           | NHMUK PV P.6942 R          | 4.1 | 2        |
| Hypsocormus           | JM-E SoS 539               | 2.83| 2        |
| Hypsocormus           | JM-E SoS 3916              | 4.38| 2        |
| Asthenocormus         | Baj2344                    | 2.96| 2        |
| Asthenocormus         | JM-E SoS 542 (neotype)     | 3.84| 2        |
| Pseudoasthenocormus   | CM 5399                    | 3.86| 2        |
| Pseudoasthenocormus   | BSP.1956.1361              | 4.1 | 2        |
| asthenocormid (TUR)   | UANL-FCT 0087              | 4.74| 2        |
| Kimmeridgian juvenile | K.1556                     | 3.99| 2        |
| Bonnerichthys         | UNSM 88507                 | 4.58| 2        |
| Bonnerichthys         | FHSM VP-212                | 5.51| 2        |
| Bonnerichthys         | FHSM VP-17428 fin 1        | 6.87| 2        |
| Bonnerichthys         | FHSM VP-17428 fin 2        | 6.33| 2        |
| Bonnerichthys         | KUVP 465 R                 | 5.24| 2        |
| Bonnerichthys         | KUVP 465 L                 | 5.49| 2        |
| Bonnerichthys         | KUVP 6092                  | 6.19| 2        |
| Bonnerichthys         | RMDRC 14-017               | 6.87| 2        |
| Protosphyraena        | FHSM VP-80 R               | 14.83| 3        |
| Protosphyraena        | AMNH FF 21651 L/R          | 16.10/14.33| 3        |
| Protosphyraena        | RMDRC 11-025               | 13.12| 3        |
| Protosphyraena        | RMDRC 14-005               | 20.05| 3        |
| Protosphyraena        | RMDRC 15-020               | 10.11| 3        |
| Protosphyraena        | RMDRC 03-007               | 13.72| 3        |
| Protosphyraena        | RMDRC 03-005               | 11.26| 3        |
| Protosphyraena        | RMDRC 03-006               | 14.71| 3        |
| Australopachycormus   | NHMUK PV P.73611           | 8.07| 3        |

Liston et al. (2019), *PeerJ*, DOI 10.7717/peerj.7675
Asthenocormus: Six recognised specimens were examined of this genus, with an additional suspected seventh from the Kimmeridgian of Mexico (Liston et al., 2012), but other than the Dresden juvenile specimen, disarticulation of the pectoral fin was almost ubiquitous, rendering analysis problematic relative to the other genera. Foreshortening of the distal ends of the pectoral fins due to either preparation error or preservation flaw was also both common and problematic (JM3556 and L.1309). This meant that only the
juvenile and the Neotype adult JM542 qualified for examination, with JM542 less than perfectly clearly defined.

*Australopachycormus*: Given the temporal gap of 10 million years, in addition to the geographical one between Australia and Europe/North America, it was decided to regard this genus as distinct from *Protosphyraena* for the purposes of this study. For this taxon, the most complete pectoral fin is a referred specimen from the NHM collections (NHMUK PV P.73611).

*Bonnerichthys*: The most informative specimen lengthwise is the 4.2 m (from the anterior extent of the skull to the posterior limit of the hypural plate) FHSM 17428 individual. Beyond this, only partial specimens are known of this animal, lacking even paired in situ pectorals, so isolated pectorals were used.

*Euthynotus*: Specimens of both *Euthynotus intermedius* and *E. incognitus* were used.

*Haasichthys*: The holotype specimen (*Delsate, 1999*) alone was used.

*Hypsocormus*: Lambers (1992) noted many of the problems with this genus, with many of the species not being represented by complete specimens or even complete pectoral fins. The species ‘*macrodon*’ was noted as questionably part of *Hypsocormus*, and it awaits the designation of a Neotype, following the loss of the original designated specimen due to the wartime destruction of collections. The best-preserved specimen of this species, with characteristic asymmetry of the caudal fins, again suffered from pectoral fin foreshortening, so could not be used. Nevertheless, a combination of *Hypsocormus insignis* and *macrodon* specimens were used in this study.

*Leedsichthys*: Although its range has recently been extended to include specimens from Neuquén (*Gouiric-Cavalli, 2017*), only two specimens have ever been recovered with any pectoral fin sections, but one of those specimens has both fins complete, discovered in an in vivo position. The type specimen does not preserve any pectoral fin material, and was not utilised.

*Martillichthys*: Of the two specimens known, one only preserves stumps of pectoral elements, but the holotype (recently reassessed by Dobson et al., 2019) has one elegantly prepared pectoral fin, the other still lying within the matrix of the collected slabs.

*Ohmdenia*: The holotype is far from complete, but one pectoral fin appeared to be preserved more or less in its original shape, so was able to be used in this analysis, although presenting similar challenges to those noted already for *Asthenocormus*.

*Orthocormus*: The type specimens of the three species (*cornutus*, *teyleri*, *roeperi*) were used, as well as a further Eichstätt museum specimen of *Orthocormus teyleri*.

*Pachycormus*: The type specimen of *Pachycormus bollensis*, an isolated dentary figured by Quenstedt (1858), could not be located within the Tübingen collections. The type specimen of *Pachycormus macropterus* is neither a full-length individual, nor are its pectorals fully preserved to assess surface area and pectoral length. As explained above, a large number of specimens of *Pachycormus* and *Saurostomus* were selected, from collections around the world, but particularly the Stuttgart Museum, with its unrivalled holdings of Holzmaden shale material.

*Protosphyraena*: Only one articulated specimen of *Protosphyraena tenuis* exists, albeit with some rostral damage, that could be used for standard length (SL) based measurements...
in this study. The length of the missing rostral section was estimated from a similarly-sized Protosphyraena skull, LACM 129752. Other isolated pectoral fins of Protosphyraena perniciosa and Protosphyraena nitida as well as Protosphyraena tenuis were, however, used.

**Pseudoasthenocormus:** The nature of the type specimen meant that both pectoral fin foreshortening and uncertainty of SL due to the broken nature of the constituent slabs rendered this specimen ineligible for this study. The Munich specimen, lacking a tail, could also not be used for SL, but was used for AR analysis. A Carnegie specimen (CM 5399) formerly misidentified as Hypsocormus ‘macrodon’ was reidentified as Pseudoasthenocormus during preliminary assessment for this study.

**Sauropsis:** The holotypes of Sauropsis longimanus and Sauropsis veruinalis were used, but unfortunately the holotype of Sauropsis depressus lacked a useable pectoral fin so could not be used.

**Saurostomus:** (see above notes on Pachycormus).

During the preliminary assessment of material for this survey, Neopachycormus birmanicus was identified as not being a pachycormid, and the taxon was thus recently reassigned to a species of a pre-existing tselfatiid genus (Taverne & Liston, 2017).

**RESULTS**

Consideration of the pectoral fins resulted in three groups of pectoral fin morphotypes (Fig. 2), with corresponding AR values. Genera tended to be morphotype specific, with few exceptions (discussed below). The morphotypes are classified according to the following descriptions (functional interpretations are derived from comparison with recognised aerodynamic equivalents, Liston & Maltese, 2016):

Type 1 Falceform or ‘Sickle’ morph (Fig. 2A): Pectoral fins that possess a distinct posterior fillet at their proximal boundary. This fillet consists of a narrow strip of
lepidotrichia at the origin of the pectoral fin, projecting rearward and tapering along the junction with the body. Functionally, such a structure would reduce interference drag, increase the pectoral fin surface and consequently wetted area drag potentially as much as doubling the chord for a given span (Gough, 1928; Sherman, 1947). This lowers AR, with the sample group having a Mean (average) value of 3.88 (Mode of 4–5, Median of 3.77). The right pectoral fin of *Saurostomus esocinus* SMNS 56982 is the designated archetype for falceform fins. We interpret falceform morphology as a primitive state, representative specimens ceasing to appear in the fossil record subsequent to the Late Jurassic (Kimmeridgian-Tithonian of Solnhofen).

Type 2 Gladiform or ‘Blade’ morph (Fig. 2B): Pectoral fins lacking substantial proximal posterior expansion at the fin-body junction. Anterior and posterior margins nearly parallel at origin, tapering quite gradually but constantly to the distal tip. Reduced proximal chord results in a higher AR fin, with the sample group displaying a higher Mean (average) value of 4.35 (Mode of 3–4, Median of 4.01). The right pectoral fin of *Bonnerichthys gladius* FHSM VP-17428 is the archetype for gladiform fins. These fins are generally broad, of the type previously referred to as a tightly-bound series of parallel sticks for *Asthenocormus* as well as other as yet undescribed suspension feeding pachycormids (Liston, 2008; Liston & Friedman, 2012; Liston et al., 2012). In terms of fin extent, the gladiform fin will have a much longer span, when compared with a falceform fin of identical AR. All suspension-feeding pachycormids fall within the gladiform morphotype, with the basic planform common from the Early Jurassic until the very end of the Cretaceous.

Type 3 Falcataform or ‘Scythe’ morph (Fig. 2C): Lacking substantial proximal posterior expansion at the fin-body junction, the leading and trailing edges extend roughly parallel distally for the majority of the fin length before tapering, sometimes curving posteriorly beyond the trailing edge origin point. Though not universal (*Protosphyraena nitida* is the exception), leading edge ornamentation is common. The long slender shape results in an exceptionally high AR with a Mean (average) value in the sample group of 14.40 (Mode of 14–15, Median of 14.33), with the archetype right fin of *Protosphyraena perrniciosa* FHSM VP-80 attaining nearly 15. Falcataform fins are ubiquitous on Cretaceous pachycormid pursuit predators, a lifestyle inferred not just from the large sharp dentition, but also from the similarities of the *Protosphyraena* bodyplan to contemporary istiophorids such as marlins and swordfishes (Block, Booth & Carey, 1992), with their high AR pectoral fins enabling a high degree of maneuverability, especially in tight turns, in combination with their high AR semilunate tails for high velocity.

The AR range and morphotype of each genus (see Table 1) was found to be moderately conservative and constrained, except in the cases of *Protosphyraena* and *Pachycormus*, where they were found to be unusually wide-ranging. However, the Early Jurassic *Pachycormus*, which is ubiquitous within museum collections and is easily the most common genus in the sample (see Table 2 for shape analysis breakdown), has a wide overlap in its AR range with other genera, whereas the Late Cretaceous *Protosphyraena* has no AR overlap with other genera. The range of AR for *Pachycormus* is independent of SL,
therefore is interpreted as a wider ecological and possibly taxonomic disparity within the genus, than is currently recognised (Wretman, Blom & Kear, 2016).

In the Early Jurassic, three genera (Saurostomus, Pachycormus and Haasichthys) display the falceform morphotype, with four (Euthynotus, Ohmdenia, Sauropsis and Pachycormus) displaying the gladiform morphotype. In the Late Jurassic, only one genus (Orthocormus) displays the falceform morphotype, with six genera (Sauropsis, Hypsocormus, Asthenocormus, Pseudoasthenocormus, Leedsichthys, Martillichthys) displaying the gladiform morphotype. By the Cretaceous, only one genus displays the gladiform morphotype (Bonnerichthys) and two genera (Australopachycormus and Protosphyraena) display the falcataform morphotype.

**Geometric morphometric results**

Principal component analysis (see Table 3 for Eigenvalues and % variance) indicated that over 75% of the variance was accounted for by PC1 and PC2. Wireframe models on Fig. 3 indicate the approximate morphology at the positive and negative ends of the PC1 and PC2 axes. There is a low, but significant, positive relationship between centroid size and PC1 (Fig. 4), with a corrected $r^2$ of 0.33 when least squared linear regression is performed. As such, while evolutionary allometry does partially explain PC1, the majority of shape variation on this axis is likely due to other factors, such as phylogeny or function. No other PC axis significantly correlates with centroid size.

Shape changes along PC1 largely relate to antero–posterior widening of the pectoral fin, especially where it attaches to the main body. On PC1 Protosphyraena is found at the extreme positive end and is distinct from all other genera bar a small overlap with one Bonnerichthys individual. Bonnerichthys is also found only at the positive end of PC1. Haasichthys is found at the extreme negative end of PC1. Shape changes along PC2 relate to how scimitar-like the fin is. Only Pachycormus and Saurostomus specimens are found at the positive end of PC2. It should be noted that the high variation seen for Pachycormus on PC1 vs. PC2 may, in part, be due to its relatively high sample size.

To assess the robustness of the three pectoral fin morphotypes (see above), a canonical variate analysis (CVA) plot was conducted (Fig. 5), which showed strong clustering for the three morphotypes, with little overlap between morphotypes 1 and 2 on the CV1 axis, and virtually no overlap between 1 and 3. On axes 2 and 3 the groups overlap a little more, although CV2 mainly separates morphotypes 2 and 3.

With DFA (Table 4), morphotypes 1 and 2 have a high percentage of correct classifications. Only 13% of morphotype 1s get classed as morphotype 2s, and 11% of

| PC | Eigenvalues | % Variance | Cumulative % |
|----|-------------|------------|--------------|
| 1  | 0.02342953  | 54.289     | 54.289       |
| 2  | 0.00933837  | 21.638     | 75.927       |
| 3  | 0.00489991  | 11.354     | 87.281       |
| 4  | 0.00243296  | 5.637      | 92.919       |
| 5  | 0.00095602  | 2.215      | 95.134       |
morphotype 2s classed as morphotype 1s. For morphotype 1 vs. morphotype 3, only 6.7% of the morphotype 1s were misclassified as morphotype 3s, and 100% of the morphotype 3s were correctly classified. When morphotype 2s and morphotype 3s are compared, only 3% of morphotype 2s are misclassified. Morphotype 3 classifies correctly 100% of the time, although it does have a much smaller sample size. Overall, in conjunction with the CVA, the results from the DFA show that these three morphotypes are robust categories with high rates of correct classification.

Finally, we mapped a composite phylogenetic tree (the Nexus file text is included in Appendix 1), based on previous work (Schumacher et al., 2016), into the morphospace of a PCA of the genus means for the whole sample (Table 2; Fig. 6) in order to investigate shape relationships in a phylogenetic context and to adjust for any overweighting of taxa caused by the disparity in the relative numbers of specimens. There is some phylogenetic structure, particularly for the Australopachycormus, Orthocormus, Protosphyraena clade, which occupies a discrete part of the morphospace at the positive end of PC1 and the negative end of PC2, but there are also multiple examples of convergence. Most notably Saurostomus and Leedsichthys both converge on the positive end of PC2, echoing...
Woodward’s comments on the similarity between the two taxa (Woodward, 1916). *Bonnerichthys*, *Leedsichthys* and *Protosphyraena* also all converge on the positive end of PC1, and *Saurostomus*, *Haasichthys*, and *Euthynotus* do the same at the negative end of PC1.

**DISCUSSION**

Arratia & Schultze (2013: p.115) noted that: ‘it is a mistake to assume that a feature observed in one pachycormiform must be present in others’ (p.115). Regardless of preservational quality, this is fundamentally true with regard to the shape of the pectoral fin. When Arthur Smith Woodward noted trends of scale size and notochord segmentation in his 1895 catalogue, he appears to have paid less attention to defining pectoral fin shape within the group, and was equivocal regarding the position of the species *escinclus* as within *Pachycormus* or outside as its own genus *Saurostomus* (Woodward, 1895). The pectoral fins of *Pachycormus* and *Saurostomus* specimens are often associated with old labels in German collections, colloquially referring to them as ‘Flugfisch’ or ‘Vogelfisch’ (‘flying fish’ or ‘bird fish’, J. Liston, 2002, personal observation, e.g. SMNS), both terms indicating their unusual and prodigious wing-like pectoral fins to palaeontological collection curators. Woodward had changed his position by the time he described specimen NHM UK PV P.11126 (Woodward, 1916), within which he was not
only struck by the similarity of some of the animal’s bones to that of *Leedsichthys*, but also remarked on the pectoral fins in terms of being functional tactile organs, to an extent that indicates how remarkable this feature was within his prodigious experience. Indeed, he described one of them in terms that closely resemble the description of an ipnopid ‘bottom-walking’ fish (*Woodward, 1916*). That specimen of *Saurostomus* (NHMUK PV P.11126) also stands out in this survey, as having a slightly high AR, and also a subtly different fin shape, with a more attenuated leading fin ray, extending rearward beyond the rest of the fin. This also echoes another *Saurostomus* specimen SMNS 12576, which appears to share the same characteristic, but the feature was interpreted during initial assessment as a sign of preservational or preparational artefact in that specimen, so it was not included in this study. In retrospect, this was a mistake, particularly given the occurrence of a very similar morphological characteristic in another gladiform taxon,
**Table 4 Cross validation percentages for discriminant function analysis.**

| Discriminant Function (using cross validation) | 1      | 2      |
|-----------------------------------------------|--------|--------|
| 1                                             | 80% (24/30) | 20% (6/30) |
| 2                                             | 19% (7/37)  | 81% (30/37) |
| Discriminant Function (using cross validation) | 1      | 3      |
| 1                                             | 83.3% (25/30) | 16.7% (5/30) |
| 3                                             | 0% (0/8)     | 100% (8/8)   |
| Discriminant Function (using cross validation) | 2      | 3      |
| 2                                             | 91.9% (34/37) | 8.1% (3/37)  |
| 3                                             | 0% (0/8)     | 100% (8/8)   |

**Figure 6 PC1 vs. PC2 for taxon means.** PC1 vs. PC2 for taxon means with a composite tree mapped into the phylomorphospace to investigate shape relationships in a phylogenetic context. 

Full-size DOI: 10.7717/peerj.7675/fig-6

Bonnerichthys, which preserves a functional equivalent to the attenuated leading pectoral fin ray orientated backwards and extending beyond the margin of the trailing edge of the fin (Fig. 7) (Liston & Maltese, 2017). Arguably, this extended structure might fulfil a similar hydrodynamic role in both cases, acting like winglets (Fish, 2006) to generate ‘wash in’ and reduce the induced drag of wingtip vortices so that more lift generation occurred at the tips of the pectoral fins (Blevins & Lauder, 2012). Differences in feeding behaviours and swimming patterns are reflected in morphological differences in pectoral fins (Motta et al., 1995). As such, the gladiform morphotype is noted as the morphotype that contains all suspension feeding pachycormids. This is unsurprising, as an effective pectoral fin-based lifting surface is critical for lift generation for a slow-travelling suspension-feeder (a low feeding speed is essential in order to not create a bow-wave effect,
which might act to push food away from the path of the suspension-feeding fish, thereby restricting the amount of food entering the mouth). Morphology has been remarked on as a poor predictor of diet, with the noteworthy exception of midwater ‘planktotrophic filter-feeders’, for which a common suite of characters (including pointed pectoral fin, forked caudal fin, long closely-spaced gill rakers) has been highlighted (Motta et al., 1995), all features that *Leedsichthys* and other edentulous (toothless) pachycormids possessed (Liston, 2007, 2008). It is thus similarly unsurprising that Friedman’s Toarcian sister-taxon to all edentulous Pachyormiformes, *Ohmdenia*, also is gladiform (Friedman, 2012).

Although much has been speculated about the specific diets of the large suspension feeding pachycormids (Liston, 2013; Tajika, Nützel & Klug, 2018), the only genus to have received mathematical calculations of the size of food particles that might be extracted using their gill rakers is the largest (Liston et al., 2013), *Leedsichthys*. For this genus, the one to two mm calculated optimal food particle size for the gill rakers would include a large proportion of both copepods (Liston, 2007) and ammonitella/belemnitella (ammonoid and belemnite hatchling) size (0.5–1.5 mm, Tajika, Nützel & Klug, 2018). The latter proposed diet is consistent with the records of ancestral toothed pachycormids preying on cephalopods (Přikryl et al., 2012).

The pectoral fin assigned to *Australopachycormus* is not only separated chronologically and spatially from occurrences of the similar *Protosphyraena*, but the AR falls outwith
the range of both *Bonnerichthys* and *Protosphyraena*, which argues for a distinction to be maintained between the genera *Australopachycormus* and *Protosphyraena*.

Aspect ratio ranges were generally conservative for monospecific genera (e.g. *Euthynotus, Asthenocormus*), with the exceptions of *Bonnerichthys* (2.3) and *Saurostomus* (3.5). For genera with two or more species sampled, the ranges increased significantly, culminating in ranges of approximately nine in both the trispecific *Protosphyraena* and *Pachycormus*. As a high AR falcataform, this range was less surprising for *Protosphyraena* than *Pachycormus*. *Pachycormus* was recorded in the survey as a general genus rather than individual species, as any previous species level identifications associated with specimens in collections were invariably unexplained and therefore deemed unsafe. In addition, it is known that many museum collections organised by non palaeoichthyologists use the flawed taxonomy presented in ‘Das Holzmadenbuch’ (*Hauff & Hauff, 1981*) as a simple way to identify specimens in their collection. It was thus interesting to see the resulting spread of AR and fin types across this genus from around two thirds Type 1 to a third Type 2, and even an isolated *Pachycormus* with a falcataform grade of AR (IRSNB Vert-00-133) (*Fig. 8*).

Interestingly, comparison of SL with AR revealed no pattern reflected in size within the genus *Pachycormus*, whereby a change in AR could have been interpreted as part of a series of ontogenetic sequences represented within the data. It is worth noting that there is no strong relationship between shape and size of the pectoral fins across the pachycormids, as demonstrated by a plot of centroid size against PC1 (*Fig. 4*), resulting in a corrected $r^2$ of 0.31952, a moderate positive allometric relationship, whereas there is no significant relationship for centroid size against PC2.
This surprising result indicates a highly diverse Toarcian genus (similarly found by Cawley et al., 2019), and suggests the possibility of Pachycormus occupying a wide variety of lifestyles and ecological spaces. It seems that it is time for a large-scale review of everything so far identified as part of the genus Pachycormus, in order to assess the adequacy of current species definitions, and particular attention should be paid in that review to IRSNB Vert-00-133.

The falcataform morphotype is interpreted as one for high hydrodynamic performance, with increased manoeuvrability resulting from a biological hydrofoil equivalent to the near-elliptical planform of the humpback whale, Megaptera novaeangliae, as an optimal shape for uniform distribution of lift over span, and for incurring the least induced drag (Fish & Battle, 1995). Another similarity between the planform of falcataform pachycormids and the humpback whale is the common falcataform leading edge ornamentation, paralleled by the flipper tubercles of the humpback whale, which produce a scalloped appearance on their leading edges. Both features appear to have a functional effect of reducing drag on the biological hydroplane of each animal, and thus maintain lift at high angles of attack (Fish & Battle, 1995; Fish et al., 2011).

**Limitations of aspect ratios as a tool**

It should be noted that the calculation of an AR for an isolated pectoral fin does not have a direct bearing on the AR of an individual specimen’s lifting surface. As noted by Liston (2007), this involves both pectoral fins, as well as the area of the ventral surface of the body of the animal between those fins, so as to give a measure of the full ‘wing’ lifting surface. Very few fossil fish preserve with SL, body width, and well-preserved pectoral fins, so this would be a far less informative measurement to attempt to take across the group. Indeed, only three specimens in our survey could be estimated in this way: a falceform Saurostomus (SMNS 50736, AR 5.22), a gladiform Leedsichthys (PETMG F174, AR 13.12) and a falcataform Protosphyraena (RMDRC 03-005, AR 9.87). The first two specimens lack an SL (the Leedsichthys specimen has been estimated at around eight m long (Liston et al., 2013), on the basis of pectoral fin size, although this has recently been reassessed to be nearer nine and a half m), and although the articulated Protosphyraena is unique, it has one pectoral fin missing, so measurements have to be made to the midline of the body, then doubled, in order to obtain a measurement of the full lifting surface.

Nursall (1958) dismissed the use of ARs as a phylogenetic tool, noting a pattern of swimming ability, tail-form and musculoskeletal changes varying together along different evolutionary paths, in four discrete groups defined by character combinations broadly similar to those present in the swimming modes already referred to. However, in contrast to Nursall’s broad phylogenetic objections, this is a narrow survey within a tightly defined group, looking for signs of heretofore unrecognised diversity, and possible lifestyle indicators.

**Pectoral fins, absence of swim bladders and buoyancy**

As Liston (2007) noted, pachycormids appear to have lacked swim bladders (noted as strongly associated with reduced skeletal ossification in teleosts by Freedman & Noakes,
2002; Schumacher et al., 2016), so needed to expend additional energy swimming forward and compensating for drag from their large pectoral fins maintaining lift and thereby their position in the water column. This has also been argued as part of the driving force behind the trend to reduced skeletal ossification, thereby decreasing density in pachycormids of increasing SL (Liston, 2007). However, there were distinct benefits in terms of possessing a more compact and streamlined body including reduction of surface drag, dispensing with the energy intensive rete mirabile, and being able to change depth with comparative ease (Helfman et al., 2009). This means that, in addition to being highly manoeuverable predators, other pachycormids would find it comparatively easy to follow plankton blooms changing depth as part of their diurnal cycle.

It is another indication of a broader strategy of combatting negative buoyancy, that the pachycormids are defined by their characteristic large pectoral fins (Woodward, 1916). Although pectoral fins with such a large surface area might be interpreted as possible tools for ‘non-body’ swimming, it is clear that regardless of whether or not these fins might have been able to rotate, they could not (given their rigid, branched, unjointed and unsegmented nature) generate the ‘feathering’ or other necessary rowing motions required for this activity (Blake, 1983; Aiello et al., 2018). This is in contrast to the hinged pectoral fins of the sturgeon (Wilga & Lauder, 1999) whose function was misrepresented as a lifting surface, when the ability to alter the surface of the fin actually aided manoeuvering far more than it generated lift. Hay (1903) noted that unlike many bony fish, it seems that pachycormids could not fold their fins out of the slipstream against their body. What appears more likely when one regards the pectoral surfaces of suspension feeding pachycormids as ‘lifting wings’ (Webb, 1975) is that these large pectoral fins were required in order to generate sufficient passive lift from the fish’s forward motion for it to be able to maintain neutral buoyancy. Species with large pectoral fins and thus a greater lifting force relative to SL, should have a lower minimum swimming speed than those with small pectoral fins (Blake, 1983: p.157). In this context, it is worth noting the widespread presence of a lunate tail across pachycormids, and note that Lighthill (1969) looked more closely at the convergence on the form of the lunate tail by many groups of fish and other vertebrates, regarding it as a culmination in the enhancement of speed and propulsive efficiency (Lighthill, 1970). Lighthill suggested that this might be because this particular shape of tail readily sheds vertical vortex rings of a near circular shape, carrying a large amount of momentum and thus increasing power effectiveness (Lighthill, 1969). Again, a greater swimming efficiency produces a lower minimum swimming speed, which might also explain why something one might expect to be large and sluggish, like the suspension feeder Leedsichthys, still had a 4.32 AR tail, indicating a moderate cruising speed (Liston, 2007; Liston et al., 2013).

This was also noted for pectoral fins by Gero (1952) in terms of the power loading decreasing with increasing body mass for a given velocity, because the power varies with the surface area as the weight of muscle varies with the body mass. Less lift is required to counter sinking in fish that are smaller or that have an overall density closer to that of their habitat (Magnuson, 1978). Although we lack specific data on relative lift from the keel and the lower surface of many pachycormids, the full lifting surface figures above for
the specimens of *Saurostomus*, *Leedsichthys* and *Protosphyraena* give moderately to extremely high ARs of 5.22, 13.12 and 9.87, respectively, thus giving a higher ratio of lift to drag over a similar fish with shorter pectoral fins (*Magnuson, 1978*). This is consistent with the observation that pectoral fins grow allometrically to lower fin loadings (*Magnuson, 1978*; similarly noted for plesiosaur paddles in *O’Keefe & Carrano, 2005*). Conversely, as larger animals tend to travel faster than smaller ones, and lift is a result of the square of the velocity, it is found that although lifting fins are comparatively larger on larger animals, they tend to not be as enlarged as one would expect for the size of the animal (*Vogel, 1994*).

There are other signs of moves towards greater swimming efficiency within this group: Arthur Smith Woodward noted the unusually high degree of segmentation present in the notochord (which is even present in some potentially younger specimens with poor preservation of centra components) compared for example with a specimen of *Caturus*, and also that they had minute rhombic scales (‘microlepidoti’ of *Wagner, 1860; Heineke, 1906*). Both of these features could be interpreted as enhancing movement through the water, through greater flexibility, and increasing effective tail movement for propulsion. Microlepidoti may have an additional benefit of acting much like shark denticles to reduce drag over the body surface of the fish (*Oeffner & Lauder, 2012; Domel et al., 2018*).

Although *Hildebrand & Goslow (2001): p.426* have commented that ‘aquatic giants support their bodies effortlessly by flotation’, these fishes, many over a metre in SL, evidently employed a series of strategies in order to resolve their buoyancy problems.

**CONCLUSIONS**

Reviews of characters traditionally associated with the group demonstrate that the presence of small, thin rhombic scales and the ‘scythe’-like pectoral fin are not pachycormid synapomorphies, and reduced ossification means that much of the skeleton in many taxa is simply not preserved. Analysis of pectoral fin shape in particular indicates three clear morphotypes, supported by geometric morphometric analysis. It is evident that this group requires a long overdue large-scale systematic overhaul in order to stabilise it. Thus, as our recognition of the distribution and range of both ‘classic’ and new pachycormid taxa worldwide has increased, so also has our need to go back and resolve the inadequate descriptions of the past, with the benefits of a much-expanded knowledge of variation in populations through the specimens that we know today.

**INSTITUTIONAL ABBREVIATIONS**

Material was reviewed from the following institutions:

**AMNH** American Museum of Natural History, New York, New York, USA

**BaJ** Staatliches Museum für Mineralogie und Geologie zu Dresden, Germany

**BSPG** Bayerische Staatssammlung für Paläontologie und historische Geologie, München, Germany

**CM** Carnegie Museum of Natural History, Pittsburgh, Pennsylvania, USA

**FHSN** Sternberg Museum of Natural History (Fort Hays State Museum), Hays, Kansas, USA
| Institution Code | Institution Name and Location |
|-----------------|--------------------------------|
| Ge              | Moravské zemské muzeum Brno, Czech Republic |
| GLAHM V         | Hunterian Museum University of Glasgow, Scotland |
| G/PA            | Utrecht University Museum, The Netherlands |
| GPIT            | Institut für Geowissenschaften, Eberhard Karls Universität Tübingen, Germany |
| IRSNB           | Royal Belgian Institute of Natural Sciences (Museum of Natural Sciences), Brussels, Belgium |
| JM-E SoS        | Jura Museum Eichstätt, Germany |
| K               | The Etches Collection Museum of Jurassic Marine Life, Kimmeridge, England |
| KUVP            | University of Kansas, Natural History Museum, Lawrence, Kansas, USA |
| L               | Bochum Tierpark (Leich Collection), Germany |
| LACM            | Natural History Museum of Los Angeles County, Los Angeles, California, USA |
| MBF             | Museum für Naturkunde—Leibniz-Institut für Evolutions- und Biodiversitätsforschung an der Humboldt-Universität zu Berlin, Germany |
| MNHN (STC)      | Muséum National d’Histoire Naturelle, Paris, France |
| NHMUKPV P       | Natural History Museum (London), England |
| NMS             | National Museums Scotland, Edinburgh, Scotland |
| PETMG           | Peterborough City Museum, England |
| PMU             | Uppsala University, Sweden |
| RMDRC           | Rocky Mountain Dinosaur Resource Center, Woodland Park, Colorado, USA |
| SenkM           | Naturmuseum Senckenberg, Frankfurt, Germany |
| SMNK-PAL        | Staatliches Museum für Naturkunde Karlsruhe, Germany |
| SMNS            | Staatliches Museum für Naturkunde Stuttgart, Germany |
| T               | Teylers Museum, Haarlem, The Netherlands |
| Tu              | Musée national d’Histoire naturelle de Luxembourg, Luxembourg |
| UANL-FCT        | Universidad Autónoma Nuevo León, Facultad de Ciencias de la Tierra, Linares, Mexico |
| UNSM            | University of Nebraska State Museum, Lincoln, Nebraska, USA |

**ACKNOWLEDGEMENTS**

The authors thank L. Wretman, S. Gouiric-Cavalli, M. Everhart, E. Bernard (NHMUK), and M. Friedman for photographs; L. Wilson for access to FHSU material; V. Maltese for outstanding support; F. Holwerda for graphic assistance; T. Challands for international data support; F.E. Fish for comments, and ANONYMOUS for images of Paris specimens. P. Barrett, O. Regalado Fernandez and M. Brazeau are thanked for their discussion of 'character creep'. In addition, the keepers of the listed institutions are thanked, for access to the material and photography of specimens. M. Triebold is thanked for his continued support in the collection and preparation of Kansas pachycormids. Some of this study was completed during the PhD work of the corresponding author.
ADDITIONAL INFORMATION AND DECLARATIONS

Funding
The authors received no funding for this work.

Competing Interests
Anthony Maltese is employed by Rocky Mountain Dinosaur Resource Center.

Author Contributions
• Jeff J. Liston conceived and designed the experiments, analysed the data, contributed reagents/materials/analysis tools, prepared figures and/or tables, authored or reviewed drafts of the paper, approved the final draft.
• Anthony E. Maltese conceived and designed the experiments, performed the experiments, analysed the data, authored or reviewed drafts of the paper, approved the final draft.
• Paul H. Lambers contributed reagents/materials/analysis tools, authored or reviewed drafts of the paper, approved the final draft, suggested additional sections.
• Dominique Delsate contributed reagents/materials/analysis tools, authored or reviewed drafts of the paper, approved the final draft, suggested additional sections.
• William E.H. Harcourt-Smith analysed the data, prepared figures and/or tables, approved the final draft, provided quantitative analyses.
• Anneke H. van Heteren analysed the data, approved the final draft, provided quantitative analyses.

Data Availability
The following information was supplied regarding data availability:
The raw data is included in Table 1. The accession numbers and specimen locations are available in the Supplemental File.

Supplemental Information
Supplemental information for this article can be found online at http://dx.doi.org/10.7717/peerj.7675#supplemental-information.

REFERENCES
Agassiz L. 1833. Recherches sur les Poissons fossiles. Vol. 2. Neuchâtel: Petitpierre, pt. 1: XII + 306 and pt. 2: 336.

Aiello BR, Hardy AR, Cherian C, Olsen AM, Ahn SE, Hale ME, Westneat MW. 2018. The relationship between pectoral fin ray stiffness and swimming behavior in Labridae: insights into design, performance and ecology. Journal of Experimental Biology 221(1):jeb163360 DOI 10.1242/jeb.163360.

Arratia G, Schultze H-P. 2013. Outstanding features of a new Late Jurassic pachycormiform fish from the Kimmeridgian of Brunn, Germany and comments on current understanding of pachycormiforms. In: Arratia G, Schultze H-P, Wilson MVH, eds. Mesozoic Fishes 5—Global Diversity and Evolution. München: Pfeil, 87–120.

Blake RW. 1983. Fish locomotion. Cambridge: Cambridge University Press, 205.
Blanco-Piñón A, Frey E, Stinnesbeck W, Lopez Oliva JG. 2002. Late Cretaceous (Turonian) fish assemblage from Vallecillo, Northeastern Mexico. Neues Jahrbuch für Geologie und Paläontologie—Abhandlungen 225(1):39–54 DOI 10.1127/njgpa/225/2002/39.

Blevins EL, Lauder GV. 2012. Rajiform locomotion: three-dimensional kinematics of the pectoral fin surface during swimming in the freshwater stingray Potamotrygon orbignyi. Journal of Experimental Biology 215(18):3231–3241 DOI 10.1242/jeb.068981.

Block BA, Booth D, Carey FG. 1992. Direct measurement of swimming speeds and depth of blue marlin. Journal of Experimental Biology 166:267–284.

Brown DS. 1981. The English Upper Jurassic Plesiosauridae (Reptilia) and a review of the phylogeny and classification of the Plesiosauria. Bulletin of the British Museum (Natural History), Geology 35:253–347.

Cawley JJ, Kriwet J, Klug S, Benton MJ. 2019. The stem group teleost Pachycormus (Pachyormiformes: Pachycormidae) from the Upper Lias (Lower Jurassic) of Strawberry Bank, UK. PalZ 93(2):285–302 DOI 10.1007/s12542-018-0431-7.

Delsate D. 1999. Haasichthys michelsi, nov. gen., nov. sp., un nouveau Pachyormiforme (Osteichthyes, Actinopterygii) du Toarcien inférieur (Jurassique) luxembourgeois. In: Delsate D, Duffin C, Weis R, eds. Les collections paléontologiques du Musée national d’histoire naturelle de Luxembourg. Fossiles du Trias et du Jurassique. Vol. 32. Luxembourg: Travaux scientifiques du Musée national d’histoire naturelle de Luxembourg, 87–140.

Dobson C, Giles S, Johanson Z, Liston JJ, Friedman M. 2019. Cranial osteology of the Middle Jurassic (Callovian) Martillichthys renwickae (Neopterygii, Pachycormiformes) with comments on the evolution and ecology of edentulous pachycormiforms. Papers in Palaeontology DOI 10.1002/spp2.1276.

Domel AG, Saadat M, Weaver JC, Haj-Hariri H, Bertoldi K, Lauder GV. 2018. Shark skin-inspired designs that improve aerodynamic performance. Journal of the Royal Society Interface 15(139):20170828 DOI 10.1098/rsif.2017.0828.

Ferrón HG, Holgado B, Liston JJ, Martínez-Pérez C, Botella H. 2018. Assessing metabolic constraints on the maximum body size of actinopterygians: locomotion energetics of Leedsichthys problematicus (Actinopterygii, Pachyormiformes). Palaeontology 61(5):775–783 DOI 10.1111/pala.12369.

Fish FE. 2006. Dolphin flukes as passively self-adjusting flexible propulsors for high efficiency transport. In: Abstracts of the mini-symposium Biomimetics and Bio-inspired Propulsion at the 15th U.S. National Congress of Theoretical and Applied Mechanics, University of Colorado, Boulder, June 2006. Available at http://coloradolinux.com/~sjg/USNCTAM06/search/F14/Abstract_230.pdf (accessed 22 March 2014).

Fish FE, Battle JM. 1995. Hydrodynamic design of the humpback whale flipper. Journal of Morphology 225(1):51–60 DOI 10.1002/jmor.1052250105.

Fish FE, Weber PW, Murray MM, Howle LE. 2011. The tubercles on humpback whales’ flippers: application of bio-inspired technology. Integrative and Comparative Biology 51(1):203–213 DOI 10.1093/icb/icr016.

Freedman JA, Noakes DL. 2002. Why are there no really big bony fishes? A point-of-view on maximum body size in teleosts and elasmobranchs. Reviews in Fish Biology and Fisheries 12(4):403–416 DOI 10.1023/A:1025365210414.

Friedman M. 2012. Parallel evolutionary trajectories underlie the origin of giant suspension-feeding whales and bony fishes. Proceedings of the Royal Society B: Biological Sciences 279(1730):944–951 DOI 10.1098/rspb.2011.1381.
Friedman M, Shimada K, Martin LD, Everhart MJ, Liston JJ, Maltese A, Triebold M. 2010. 100-Million-Year Dynasty of Giant Planktivorous Bony Fishes in the Mesozoic Seas. Science 327(5968):990–993 DOI 10.1126/science.1184743.

Gero DR. 1952. The hydrodynamic aspects of fish propulsion. American Museum Novitates 1601:1–32.

Giebel CG. 1848. Fauna der Vorwelt mit steter Berücksichtigung der lebenden Thiere. Leipzig: Brockhaus, XII + 461. Bd. 1, Abth. 3.

Gough ML. 1928. The effect of fillets between wings and fuselages on the drag and propulsion efficiency of an airplane. National Advisory Committee for Aeronautics. Technical Note 299, 19.

Gouiric-Cavalli S. 2017. Large and mainly unnoticed: the first lower Tithonian record of a suspension-feeding pachycormid from Southern Gondwana. Ameghiniana 54(3):283–289 DOI 10.5710/AMGH.16.11.2016.3029.

Gouiric-Cavalli S, Cione AL. 2015. Notodectes is the first endemic pachycormiform genus (Osteichthyes, Actinopterygii, Pachycormiformes) in the Southern Hemisphere. Journal of Vertebrate Paleontology 35(4):e933738 DOI 10.1080/02724634.2014.933738.

Gouiric-Cavalli S, Rasia LL, Márquez GJ, Rosato V, Scasso RA, Reguero M. 2019. First pachycormiform (Actinopterygii, Pachycormiformes) remains from the Late Jurassic of the Antarctic Peninsula and remarks on bone alteration by recent bioeroders. Journal of Vertebrate Paleontology 38(5):e1524384 DOI 10.1080/02724634.2018.1524384.

Hammer Ø, Harper DAT, Ryan PD. 2001. PAST: paleontological statistics software package for education and data analysis. Palaeontologia Electronica 4(1):9.

Hauff B, Hauff RB. 1981. Das Holzmadenbuch. Fellbach: Selbstverlag, 136.

Hay OP. 1903. On certain genera and species of North American Cretaceous Actinopterous fishes. Bulletin of the American Museum of Natural History XIX:1–95, pls i-v, 72 text-figs.

Heineke E. 1906. Die Ganoiden und Teleostier des lithographischen Schiefers von Nüsplingen. Geologischen Paläontologische Abhandlungen 8:159–214.

Helfman GS, Collette BB, Facey DE, Bowen BW. 2009. The diversity of fishes. Second Edition. Edinburgh: Blackwell Science, 736.

Hildebrand M, Goslow GE Jr. 2001. Analysis of vertebrate structure. New York: John Wiley & Sons, Inc, 635.

Klingenberg CP. 2011. MorphoJ: an integrated software package for geometric morphometrics. Molecular Ecology Resources 11(2):353–357 DOI 10.1111/j.1755-0998.2010.02924.x.

Lambers PH. 1992. On the Ichthyofauna of the Solnhofen lithographic limestone (Upper Jurassic, Germany). Netherlands: Proefschrift Rijksuniversiteit Groningen, 336.

Lighthill MJ. 1969. Hydromechanics of aquatic animal propulsion. Annual Review of Fluid Mechanics 1(1):413–446 DOI 10.1146/annurev.fl.01.010169.002213.

Lighthill MJ. 1970. Aquatic animal propulsion of high hydromechanical efficiency. Journal of Fluid Mechanics 44(2):265–301 DOI 10.1017/S0022112070001830.

Lighthill MJ. 1977. Introduction to the scaling of aerial locomotion. In: Pedley TJ, ed. Scale Effects in Animal Locomotion. London: Academic Press, 365–404.

Liston JJ. 2007. A fish fit for Ozymandias? The ecology, growth and osteology of Leedsichthys (Pachycormidae, Actinopterygii). Unpublished Ph.D. thesis, Institute of Biomedical Science. The School of Life Sciences, University of Glasgow, 464.

Liston JJ. 2008. A review of the characters of the edentulous pachycormiforms Leedsichthys, Asthenocormus and Martillichthys nov. gen. In: Arratia G, Schultz H-P, Wilson MVH, eds. Mesozoic Fishes 4 Homology and Phylogeny. München: Verlag Dr. Friedrich Pfeil, 181–198.
Liston JJ. 2010. The occurrence of the Middle Jurassic pachycormid fish *Leedsichthys. Oryctos* 9:1–36.

Liston JJ. 2012. *Leedsichthys*: a very private suspension feeder. *Deposits Magazine* 29:36–40.

Liston JJ. 2013. The plasticity of gill raker characteristics in suspension feeders: implications for Pachycormiformes. In: Arratia G, Schultze H-P, Wilson MVH, eds. *Mesozoic Fishes 5 Global Diversity and Evolution*. München: Verlag Dr. Friedrich Pfeil, 121–143.

Liston JJ. 2015. A collection without a catalogue: Captain John Laskey and the missing vertebrate fossils from the collection of William Hunter. In: Hancock EG, Pearce N, Campbell MB, eds. *William Hunter’s World: The Art and Science of Eighteenth-Century Collecting*. Farnham: Ashgate Publishing, 199–222.

Liston JJ, Flores Castillo CM, Alvarado Valdez G, Maltese AE, Gouiric Cavalli S. 2012. The pachycormid pectoral: El Fin, or a new beginning? In: Royo-Torres R, Gascó F, Alcalá L, eds. *10th Annual Meeting of the European Association of Vertebrate Palaeontologists*. ¡Fundamental! Vol. 20, 127–128.

Liston JJ, Friedman M. 2012. The world’s first clade of giant marine suspension-feeders: a palaeobiogeographical review of the pachycormiformes. In abstracts of the IV Latin American Congress of Vertebrate Paleontology. *Ameghiniana* 48(4):R236.

Liston JJ, Gendry D. 2015. Le Python de Caen, les algues géantes d’Amblié, et autres spécimens perdus de *Leedsichthys* d’Alexandre Bourienne, Jules Morière, Eugène Eudes-Deslongchamps et Alexandre Bigot. L’Écho des Falaises 19:17–33.

Liston J, Maltese A. 2016. Daggers, swords, scythes and sickles: pachycormid fins as ecological predictors. *PeerJ Preprints* 4:e2550v1 DOI 10.7287/peerj.preprints.2550v1.

Liston JJ, Maltese AE. 2017. Tribal warfare: alien facehuggers on Mesozoic suspension-feeding fish. *Zitteliana* 91:49.

Liston JJ, Newbrey MG, Challands TJ, Adams CE. 2013. Growth, age and size of the Jurassic pachycormid *Leedsichthys problematicus* (Osteichthyes: Actinopterygii). In: Arratia G, Schultze H-P, Wilson MVH, eds. *Mesozoic Fishes 5—Global Diversity and Evolution*. München: Pfeil, 145–175.

López-Arbarello A, Sferco E. 2018. Neopterygian phylogeny: the merger assay. *Royal Society Open Science* 5(3):172337 DOI 10.1098/rsos.172337.

Magnuson JJ. 1978. Locomotion by scombrid fishes: hydromechanics, morphology and behavior. In: Hoar WS, Randall DJ, eds. *Functional and Evolutionary Mechanisms*. Vol. VII. New York and London: Academic Press, 239–314.

Mainwaring AJ. 1978. Anatomical and systematic revision of the Pachycormidae, a family of Mesozoic fossil fishes. Unpublished Ph.D. thesis. Westfield College, London, 164.

Motta PJ, Clifton KB, Hernandez P, Eggold BT. 1995. Ecomorphological correlates in ten species of subtropical seagrass fishes: diet and microhabitat utilization. *Environmental Biology of Fishes* 44(1–3):37–60 DOI 10.1007/BF00005906.

Nursall JR. 1958. The caudal fin as a hydrofoil. *Evolution* 12(1):116–120 DOI 10.1111/j.1558-5646.1958.tb02937.x.

O’Keefe FR, Carrano MT. 2005. Correlated trends in the evolution of the plesiosaur locomotor system. *Paleobiology* 31(4):656–675 DOI 10.1666/04021.1.

Oeffner J, Lauder GV. 2012. The hydrodynamic function of shark skin and two biomimetic applications. *Journal of Experimental Biology* 215(5):785–795 DOI 10.1242/jeb.063040.

Patterson C. 1973. Interrelationships of holosteans. In: Greenwood PH, Miles RS, Patterson C, eds. *Interrelationships of Fishes*. Vol. 53. Supplement no.1, London: Zoological Journal of the Linnean Society, 233–305.
Příkryl T, Koštáč M, Mazuch M, Mikuláš R. 2012. Evidence for fish predation on a coleoid cephalopod from the Lower Jurassic Posidonia Shale of Germany. Neues Jahrbuch für Geologie und Paläontologie—Abhandlungen 263(1):25–33 DOI 10.1127/0077-7749/2012/0206.

Quenstedt FA. 1858. Der Jura. Tübingen: Verlag der H. Laupp'chen, Buchhandlung, VI+842.

Schumacher BA, Shimada K, Liston J, Maltese A. 2016. Highly specialized suspension-feeding bony fish Rhinconichthys (Actinopterygii: Pachycormiformes) from the mid-Cretaceous of the United States, England, and Japan. Cretaceous Research 61:71–85 DOI 10.1016/j.cretres.2015.12.017.

Sherman A. 1947. Interference of Wing and Fuselage from Tests of 30 Combinations with Triangular and Elliptical Fuselages in the NACA Variable-Density Tunnel. National Advisory Committee for Aeronautics. Technical Note No. 1272, 25.

Tajika A, Nützel A, Klug C. 2018. The old and the new plankton: ecological replacement of associations of mollusc plankton and giant filter feeders after the Cretaceous? PeerJ 6:e4219 DOI 10.7717/peerj.4219.

Taverne L, Liston J. 2017. On the presence of the plethodid fish Dixonanognius (Teleostei, Tsselfatiiformes) in the marine Upper Cretaceous of Burma (Myanmar), tropical Asia. Geo-Eco-Trop 41(1):77–84.

Vogel S. 1994. Life in moving fluids: the physical biology of flow. Princeton: Princeton University Press, 404.

Wagner A. 1851. Beiträge zur Kenntnis der in den lithographischen Schiefern abgelagerten urweltlichen Fische. Abhandlungen der Mathematisch-Physikalischen Classe der königlich Bayerischen Akademie der Wissenschaften C1 6:1–80.

Wagner A. 1860. Zur Charakteristik der Gattungen Sauropsis and Pachycormus nebst ihren Verwandten. Gelehrte Anzeigen/Königlich Bayerische Akademie der Wissenschaften zu München/hrsg. von Mitgliedern der Königlich Bayerischen Akademie der Wissenschaften zu München 26–28:209–227.

Webb PW. 1975. Hydrodynamics and energetics of fish propulsion. Bulletin of the Fisheries Research Board of Canada 190:1–158.

Wilga CD, Lauder GV. 1999. Locomotion in sturgeon: function of the pectoral fins. Journal of Experimental Biology 202:2413–2432.

Woodward AS. 1895. Family Pachycormidae. In: Woodward AS, ed. Catalogue of the Fossil Fishes in the British Museum (Natural History). Part III, containing Actinopterygian Teleostomi of the Orders Chondrostei (concluded), Protospondyli, Aetheospondyli, and Isospondyli (in part). London: British Museum (Natural History), 374-414; – XLII + 544.

Woodward AS. 1896a. Notes on the collection of fossil fishes from the Upper Lias of Ilminster in the Bath Museum. Proceedings of the Bath Natural History and Antiquarian Field Club 8:233–242.

Woodward AS. 1896b. On the fossil fishes of the upper Lias of Whitby, Part II. Proceedings of the Yorkshire Geological and Polytechnic Society 13(2):155–170 DOI 10.1144/pygs.13.2.155.

Woodward AS. 1916. I.—On a new specimen of the Liassic pachycormid fish Saurostomus esocinus, Agassiz. Geological Magazine 3(2):49–51 DOI 10.1017/S0016756800191113.

Wretman L, Blom H, Kear BP. 2016. Resolution of the Early Jurassic actinopterygian fish Pachycormus and a dispersal hypothesis for Pachycormiformes. Journal of Vertebrate Paleontology 36(5):e1206022 DOI 10.1080/02724634.2016.1206022.