Characterization of Thermal Transport in One-dimensional Solid Materials

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Abstract

The TET (transient electro-thermal) technique is an effective approach developed to measure the thermal diffusivity of solid materials, including conductive, semi-conductive or nonconductive one-dimensional structures. This technique broadens the measurement scope of materials (conductive and nonconductive) and improves the accuracy and stability. If the sample (especially biomaterials, such as human head hair, spider silk, and silkworm silk) is not conductive, it will be coated with a gold layer to make it electronically conductive. The effect of parasitic conduction and radiative losses can be subtracted during data processing. Then the real thermal conductivity can be calculated with the given value of volume-based specific heat ($\rho c_p$), which can be obtained from calibration, noncontact photo-thermal technique or measuring the density and specific heat separately. In this work, human head hair samples are used to show how to set up the experiment, process the experimental data, and subtract the effect of parasitic conduction and radiative losses.

Protocol

1. Experiment Procedure

1. Collect sample. In this work, the human head hair samples are collected from a 30-year old healthy Asian female.
2. Suspend the sample between two copper electrodes as shown in Figure 1A. Apply silver paste at the sample-electrode contact to reduce the thermal and electrical contact resistances to a negligible level.
3. Use a microscope to do the preliminary check of the sample and make sure that the silver paste does not contaminate the suspended sample.
4. Since human head hair samples are not electrically conductive, coat the outside of the sample with a very thin layer of gold film (~40 nm) to make it electrically conductive.
5. Put the sample in the vacuum chamber and pump it to 1-3 mTorr.
6. Feed a step dc current through the sample to introduce electrical heating and the induced voltage-time ($V-t$) profile will be recorded by using an oscilloscope.
7. Get the sample out of the chamber and coat it with another thin layer of gold film (~40 nm), and repeat steps 1.5 and 1.6.
8. Prepare a new sample with a different length, and repeat steps 1.2-1.7.
9. Use scanning electron microscope (SEM) to characterize the length and diameter of the samples (long and short ones).
2. Data Processing

Normalize the experimental temperature rise first, and conduct the theoretical fitting of that by using different trial values of the thermal diffusivity of the sample. This procedure is discussed in Guo’s work\(^1\) in detail. Then subtract the effect of radiative losses and parasitic conduction on thermal diffusivity, and calculate the thermal conductivity. Details are given below.

1. Determine the effective thermal diffusivity

A schematic of the TET experiment setup is shown in Figure 1A. In the measurement, feed a step current through the sample to induce joule heating. Use an oscilloscope to record the induced voltage-time (V-t) profile which is presented in Figure 1B. How fast/slow the temperature increment is determined by two competing processes: one is the joule heating, and the other one is the heat conduction from the sample to the electrodes. A higher thermal diffusivity of the sample will lead to a faster temperature evolution, meaning a shorter time to reach the steady state. Therefore, the transient voltage/temperature change can be used to determine the thermal diffusivity. When determining thermal diffusivity of the sample, no real temperature rise is needed. In fact, only the normalized temperature rise based on the voltage increase is used. The processes for determining the thermal diffusivity and thermal conductivity are outlined below.

1. Simplify the heat transfer to one-dimensional: Take the heat transfer of the sample in one dimension along the axial direction. Note: The length of the wire must be much longer than its diameter. More details can be referred to Guo’s work\(^1\).

   1. Solve for the normalized temperature rise \(T^*\) (also known as the spatial average temperature over the whole sample) over the sample for a one-dimensional heat transfer problem using the following equation:

      \[
      T^* = \frac{\int_0^L \theta \, dx}{\frac{\partial \theta}{\partial x}} = \frac{96 \sum_{n=1}^{\infty} \sin \left( \frac{n \pi x}{L} \right) \left[ 1 - \exp \left( - \frac{(2m-1)^2 \pi^2 \alpha t}{L^2} \right) \right]}{(2m-1)^3}
      \]  

      \(\alpha\) and \(L\) are the thermal diffusivity and length of the sample.

   2. Solve for the normalized temperature rise from the voltage evolution \(V_{\text{wire}}\) recorded by the oscilloscope, and conduct data fitting to determine the thermal diffusivity. The voltage over the wire is related to its temperature as:

      \[
      V_{\text{wire}} = IR_0 + \frac{q_0 L^2 k}{12} T^*
      \]  

      \(R_0\) is the resistance of the sample before heating, \(l\) the current passing through the sample, and \(k\) thermal conductivity. \(q_0\) is the electrical heating power per unit volume. It is clear that the measured voltage change is inherently related to the temperature change of the sample. The normalized temperature rise \(T^*_{\text{exp}}\) based on the experimental data can be calculated as:

      \[
      T^*_{\text{exp}} = \frac{(V_{\text{wire}} - V_0)}{(V_1 - V_0)}
      \]

      \(V_0\) and \(V_1\) are the initial and final voltages across the sample (as illustrated in Figure 1B). After obtaining \(T^*_{\text{exp}}\), use different trial values of \(\alpha\) to calculate the theoretical \(T^*\) by applying Equation 1 and fit the experimental results \(T^*_{\text{exp}}\). MATLAB is used for programming to compare the experimental and theoretical values by applying the least square fitting technique, and take the value giving the best fit of \(T^*_{\text{exp}}\) as the thermal diffusivity of the sample.

2. Subtract the effect of radiative losses and gas conduction

During TET thermal characterization, the effect of radiative losses could be significant if the sample has a very large aspect ratio \((L/D, D:\) sample diameter\), especially for samples of low thermal conductivity. Also if the pressure of the vacuum chamber is not very low, the heat transfer to the air will affect the measurement to some certain extent. The heat transfer rate of radiation from the sample surface can be expressed as:

\[
Q_{\text{rad}} = \epsilon \sigma A \left( T^4 - T_0^4 \right) = \epsilon \sigma \pi D L \left( 4 D T_0^3 \theta + 6 T_0^2 \theta^2 + 4 T_0 \theta^3 + \theta^4 \right)
\]

where \(\epsilon\) is the effective emissivity of the sample, \(A\) the surface area, \(T\) the surface temperature, \(T_0\) the temperature of the environment (vacuum chamber), and \(\theta = T - T_0\). In most cases, \(\theta \ll T_0\), then:

\[
Q_{\text{rad}} = 4 \epsilon \sigma \pi D L T_0^3 \theta
\]

By converting the surface radiation and gas conduction to body cooling source, the heat transfer governing equation for the sample becomes:

\[
\frac{1}{\alpha} \frac{\partial \theta}{\partial t} = \frac{\partial^2 \theta}{\partial x^2} + \frac{R_0}{k A_0} + \frac{16 \epsilon \sigma T_0^3}{k D} \theta
\]

where \(h\) is the coefficient of gas conduction. In our physical model, since the electrodes are much larger than the sample and have excellent heat conduction, the sample’s temperature is taken at room temperature at the contact. Because \(\theta (0, t) = T (x, t) - T_0\), the boundary condition is \(\theta (0, t) = \theta (L, t) = \theta (x, 0) = 0\).

The solution to Equation 5 is:

\[
T(x,t) = T_0 + \frac{Q L^2}{24} \sum_{n=1}^{\infty} \frac{1 - (-1)^n}{\sin \frac{m \pi x}{L}} \left[ 1 - \exp \left( - \frac{(m^2 - f) \pi^2 \alpha t}{L^2} \right) \right]
\]

Here \(f\) is defined as: \(\frac{(16 \epsilon \sigma T_0^3)}{k D} l^2 / \pi^2 k\), which is dimensionless. It is a type of Biot number whose size indicates the amount of heat loss from the sides of the sample. Integrate this equation along the x-direction and the average temperature can be obtained:

\[
\bar{T}(t) = T_0 + \frac{Q L^2}{12} \sum_{m=1}^{\infty} \frac{1 - (-1)^n}{m^2} \left[ 1 - \exp \left( - \frac{(m^2 - f) \pi^2 \alpha t}{L^2} \right) \right]
\]

So the normalized average temperature is:

\[
T^* = \frac{\bar{T}(t) - T_0}{\bar{T}(\infty) - T_0} = \frac{\sum_{m=1}^{\infty} \left[ 1 - (-1)^n \right] / m^2 \left[ 1 - \exp \left( - \frac{(m^2 - f) \pi^2 \alpha t}{L^2} \right) \right] / (m^2 - f)}{\sum_{m=1}^{\infty} \left[ 1 - (-1)^n \right] / m^2 (m^2 - f)}
\]

(8)
After careful numerical and mathematic study, with $\alpha_{eff} = \alpha (1 - f)$, $T^*$ can be approximated as

$$ T^* \approx \frac{48}{\pi^4} \sum_{nm} \frac{1 - (-1)^n}{m^2} \frac{1 - \exp[-m^2 \pi^2 \alpha_{eff} t / L^2]}{m^2} \frac{L^2}{\pi^2} $$

(9)

Numeric calculations have been conducted to study the accuracy of the above approximation. Please note that, when $f$ is less than 0, the maximum absolute difference in the whole transient state is less than 0.014 (shown in Figure 2). Finally:

$$ \alpha_{eff} \approx \alpha + \frac{1}{\rho c_p} \left( \frac{16 \sigma \sigma_0 I^2}{D} + \frac{4h}{D} \right) \frac{L^2}{\pi^2} $$

(10)

Because the experiment is conducted in vacuum chamber at very low pressure (1-3 mTorr), the gas conduction effect ($h$) is negligible. So simplify Equation 10 as:

$$ \alpha_{eff} \approx \alpha + \frac{1}{\rho c_p} \frac{16 \sigma \sigma_0 I^2}{D} \frac{L^2}{\pi^2} $$

(11)

This equation demonstrates that the measured thermal diffusivity using the TET technique has a linear relation with the effect of radiative losses ($4\sigma \sigma_0 T^3$). Use such theoretical background to subtract the effect of radiative losses and gas conduction.

3. Determine real thermal diffusivity and conductivity

The determined thermal diffusivity ($\alpha$) in Equation 11 still has the effect of parasitic conduction if the tested sample is coated with a thin gold film. The thermal transport effect caused by the coated layer can be subtracted using the Wiedemann-Franz law with negligible uncertainty. The real thermal diffusivity ($\alpha$) of the sample is determined as:

$$ \alpha_{real} = \alpha - \frac{L_{Lorenz} TL}{R_A(\rho c_p)} $$

where $\rho c_p$ is volume-based specific heat, which can be obtained from calibration, non-contact photo-thermal technique or measuring the density and specific heat separately. $L_{Lorenz}$, $T$, and $A$ are the Lorenz number, sample’s temperature and cross-sectional area, respectively.

$$ \alpha_{eff} = \alpha_{real} + \alpha_{goid} = \alpha_{real} + \frac{L_{Lorenz} TL}{R_A(\rho c_p)} + \alpha_{real} $$

Because $R_A$, it is apparent that $\alpha_{eff}$ has a linear relationship with $1/R$, so in the experiment, coating one sample with gold film twice (which will cause the change of $1/R$) and testing twice can eliminate the effect of parasitic conduction by curve fitting. For real thermal conductivity $k$, it can be easily evaluated by using $k = \rho c_p \alpha$.

Representative Results

Fitting of the experimental data for human head hair sample 1 (length 0.788 mm, coated with gold film only once) is shown in Figure 3. Its thermal diffusivity is determined at 1.67 x 10^{-7} m^2/sec, which includes the effect of radiative losses and parasitic conductance. Figure 4 is a typical SEM image of human head hair. The short and long samples are coated with gold film twice and tested twice, respectively, based on Equation 12, the effect of parasitic conduction can be easily subtracted by curve fitting as shown in Figure 5. The point where the fitting curve intersects with the $\alpha_{eff}$ axis is the value of $\alpha_{eff}$ when the resistance is infinite, which means the effect of parasitic conduction in Equation 12 is 0. Two human head hair samples with different lengths are measured to obtain two intersects. Details about the experimental conditions and measurement results are summarized in Table 1. By combining these two points, the relationship between $\alpha_{eff}$ and $L^2/D$ can be revealed. From the measured pairs of $(\alpha_1, L_1^2/D_1)$ and $(\alpha_2, L_2^2/D_2)$, linear extrapolation (as shown in Figure 6) is done to the point of $L=0$ (meaning no effect of radiative losses), and thermal diffusivity at that point is 1.42 x 10^{-7} m^2/sec $[\alpha_{real} = \alpha_{eff} = \alpha_{real}]$. This value reflects the thermal diffusivity of the sample without the effect of radiative losses and parasitic conduction.

For human head hair, the density is characterized by weighting several strands of hair and measuring their volume, and is measured at 1,100 kg/m^3. The specific heat is measured by using DSC (Differential Scanning Calorimetry) and is measured at 1.602 kJ/kg K. So the real thermal conductivity is 0.25 W/m K. Details of experimental parameters and results for human head hair sample 1 and 2 are shown in Table 1.
Figure 1. A) schematic of the TET experiment setup and B) a typical V–t profile. Click here to view larger image.

Figure 2. The difference between \( T^* \) and its approximation using Equation 9. Click here to view larger image.
Figure 3. Comparison between the experimental data and theoretical fitting result for the normalized temperature rise versus time (human head hair sample 1). Click here to view larger image.
Figure 4. A typical SEM image of human head hair. Click here to view larger image.
Figure 5. The fitting results for the thermal diffusivity change against $1/R$ for the human head hair sample 1 and 2. Click here to view larger image.
Table 1: Details of experimental parameters and results for human head hair.

| Human head hair samples | Sample 1 (short) | Sample 2 (long) |
|------------------------|------------------|-----------------|
| Length (mm)            | 0.788            | 1.468           |
| Diameter (mm)          | 74.0             | 77.8            |
| \(\alpha_{\text{real+radiation}}\) \(\times 10^{-7}\) m\(^2\)sec\(^{-1}\) | 1.48             | 1.62            |
| \(\alpha_{\text{real}}\) \(\times 10^{-7}\) m\(^2\)sec\(^{-1}\) | 1.42             |                 |
| \(\rho c_p\) \(\times 10^6\) J/m\(^3\)K | 1.76             |                 |
| Real thermal conductivity (W/m K) | 0.25             |                 |

Discussion

In the experiment procedure, three steps [step 2), 3) and 5)] are very critical for the success of characterizing thermal properties accurately. For step 2) and 3), much attention needs to be paid on applying silver paste only at the sample-electrode contact. It is very easy to contaminate the suspended sample with silver paste, and the thermal properties will increase if this happens. So in step 3), check the sample with microscope carefully, if any contamination-the silver paste is applied or extended to the suspended sample-is noticed, a new sample needs to be prepared for the experiment.

When Equation 10 is simplified to Equation 11, it is assumed that the experiment is conducted in a vacuum chamber at very low pressure (1-3 mTorr), so the gas conduction effect is negligible. After doing a series of test at different pressures, it is confirmed that, in Equation 10, the gas conduction coefficient \(h\) is proportional to the pressure \(p\) as \(h=\gamma p\). The coefficient \(\gamma\) is related to a parameter called thermal accommodation coefficient that reflects the energy coupling/exchange coefficient when the gas molecules strike the material surface. \(\gamma\) can be calculated as 

\[
\gamma = \frac{\xi \pi^2 D \rho c_p}{4 L^2}
\]

where \(\xi\) is the slope of the thermal diffusivity against pressure. \(\gamma\) varies from sample to sample. This gas conduction factor can be strongly affected by the material surface structure and the spatial configuration in the chamber during TET characterization. For step 5), conducting the experiment at very low pressure (1-3 mTorr) will make sure that this complicated gas conduction effect is negligible.
Surface emissivity ($\varepsilon$) of the samples measured by this technique can also be calculated with the given value of volume-based specific heat ($\rho c_p$), which can be obtained from calibration, noncontact photo-thermal technique\textsuperscript{13-15} or measuring the density and specific heat separately. After subtracting the effect of parasitic conduction, the thermal diffusivity ($\alpha_{\text{real-rad}}$) shown in Figure 6 only has the effect of radiative losses, $\alpha_{\text{real-rad}} = \alpha_{\text{real}} = \frac{1}{\rho c_p} \frac{L^2}{D} \pi^2$. It is easy to know that:

$$\varepsilon = \frac{\rho c_p}{16\sigma T_r^4} \left( \alpha_{\text{real-rad}} - \alpha_{\text{real-rad,2}} \right) \frac{\pi^2}{(L_2^2 - L_1^2) D_2}$$  \hspace{1cm} (13)

Here $T_r$ is the room temperature, $L$ the diameter of tested samples, and $D$ the diameter of the sample.

There are several limitations of the TET technique. First, the characteristic time $\Delta t_c$ for the thermal transport in the sample, which equals to $0.2026 L^2/\alpha$, should be much larger than the rise time (about 2 µsec) of the current source. Otherwise, the accuracy of the voltage evolution will be affected significantly. So it requires that the sample length $L$ should not be too small or the thermal diffusivity $\alpha$ should not be too big. Second, the temperature of the sample will rise by about 20–30° in the experiment. Within this range, the resistance of the sample should have a linear relationship to temperature. That is because in the part of theoretical background, it is known that the measured voltage change is inherently related to the temperature change of the sample. If the resistance of the sample does not have a linear relationship to temperature, the voltage evolution cannot stand for the temperature evolution. Third, the voltage of the sample should have a linear relationship to the DC current fed during the experiment. This means at a certain temperature, the resistance will not change when the DC current changes. It is well known that semiconductors do not have this property.

In conclusion, the TET technique is a very effective and robust approach to measuring the thermal properties of various types of materials. For the same material, just test two samples with different length each twice, all the important thermal properties of the materials, such as thermal diffusivity, thermal conductivity, and surface emissivity (if $\rho c_p$ is given), can be characterized.

Disclosures

There is nothing to disclose.

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