ANTIOXIDATIVE ACTIVITY OF CHITOSAN AND CHITOSAN BASED BIOPOLYMER FILM

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ABSTRACT: Growing consumer demand for the food without chemical preservatives focused significant extent of research in the direction of finding natural compounds that can be used in food preservation. In this context, natural substances with strong antimicrobial and antioxidant properties, like essential oils, as well as natural biopolymers, particularly draw attention. Natural biopolymers can serve as carriers of the active components, such as essential oils in order of their sustained release to the food during storage, and may themselves exhibit activity in protecting foods from oxidation and/or microbial spoilage.

Chitosan has been extensively studied as semi-natural polymer with expressed bioactive properties. While antimicrobial activity of chitosan solution in different acids has been confirmed towards different bacteria, yeasts and moulds, reports concerning intensity, underlying mechanism and different factors affecting antioxidant activity of chitosan vary through the available literature. This paper presents a review in the field of antioxidative activity of chitosan with different properties, as well as chitosan based biopolymer films in order to clarify this aspect of chitosan bioactivity and confront different reports found in the literature.

Key words: chitosan, chitosan film, antioxidant activity

INTRODUCTION

Food quality deteriorates through different processes, among which are oxidative processes induced and propagated by atmospheric oxygen. Different parameters of food quality are affected by these processes, as appearance, texture, flavor and odor, as well as nutritional value and safety. The extent of changes depends on the type of food and conditions (Đilas et al., 2002). Oxidation may be inhibited or slowed by removal of air oxygen, use of lower temperature, inactivation of enzymes catalyzing oxidation or using specific additives which inhibit oxidation, belonging to various groups of substances called antioxidants. Chemically very different substances, antioxidants have diverse mechanisms of action and their origin can be natural (synthesized by microorganisms, fungi, even animals, and most often by plants) or synthetic (butylated hydroxyanisole-BHA, butylated hydroxytoluene-BHT, propyl gallate-PG, tert-butylhydroquinone-TBHQ) (Pokorný, 2003). However, there has been growing concern over the possible carcinogenic effects of synthetic antioxidants in foods. Thus, BHA is not allowed for food application in Japan and a number of other countries and TBHQ remains disallowed in Canada,
Japan and Europe. Therefore, there is a general trend to replace synthetic antioxidants with natural ones (Shahidi, 2000).

Chitosan is the name for chitin substituents with low level of acetylation, primarily consisting of glucosamine, 2-amino-2-deoxy-ß-D-glucose, or (1-4)-2-amino-2-deoxy-D-glucose (Figure 1). The degree of deacetylation (“degree of deacetylation-DD”) usually ranges from 5% to 15% in deoxy-D-glucose (Figure 1). The degree of inactivation of metal ions by adsorption, including deacetylation of chitin, chitosan and tests for determination of antioxidant activity.

Deacetylated chitosan has free amino groups, which can be easily positively charged in an acidic medium. This contributes to the solubility of chitosan in aqueous solutions of acids and allows the use of chitosan and its bioactivity. However, due to the production process including deacetylation of chitin, chitosan properties, such as: purity, viscosity, deacetylation degree, the molecular weight and crystalline structure may vary considerably depending on the manufacturing process. For example, the source of chitin may affect the crystallinity of chitin, which continues to affect the bioactivity of produced chitosan (Shahidi et al., 1999).

![Figure 1. Dimer segment in chitosan molecule](image)

Antioxidant activity is one of the extensively studied functions of chitosan. Different mechanisms of antioxidant action of chitosan have been proposed in the literature: free radicals scavenging ability, inactivation of metal ions by adsorption, ion-exchange and chelation, while for formed biopolymer films, additional mechanisms were reported: low oxygen permeability and forming of stable fluorosphere in reaction of primary amino groups of chitosan and volatile aldehydes, like malondialdehyde. The hydroxyl groups (OH) and amino groups (NH$_2$) in chitosan are the key functional groups for its antioxidant activity (Weist and Karel, 1992; Kamil et al., 2002; Rajalakshmi et al., 2013).

Reports of antioxidative activity of chitosan and chitosan based biopolymer film vary considerably through the literature, depending on applied method of investigation, chitosan source and properties, like molecular weight, deacetylation degree and concentration. Results vary from no activity, over intermediate to pronounced activity. This is why present review aims to clarify this aspect of chitosan bioactivity and confront different reports found in the literature.

**Antioxidants-definition, classification and tests for determination of antioxidative activity**

Basic definition of antioxidants implies all substances that, in concentrations that are considerably lower compared to the concentration of the substrate that can be oxidized, decrease in a great extent or prevent substrate oxidation. In wider aspect, antioxidant is an agent that inhibits, prevents or removes oxidative damages of target molecule (Halliwell, 1995).

Based on different action mechanism, antioxidants can be (Babović, 2010; Schreiber, 2012):

1) Primary antioxidants or antioxidants with primary activity, delay initiation phase in chain reaction of free radicals or stop this chain reaction in the propagation phase by donation of hydrogen or electrons to free radicals transforming them to more stable products. They usually react with peroxy or alkoxyl radical, which are formed during lipid hydroperoxide decomposition.

These antioxidants are efficient in low concentrations, while in higher concentrations they can even show prooxidant activity. Examples of primary antioxidants are: phenols, Trolox-C, anoxomer, BHA-Butylated hydroxyanisole, BHT-Butylated hydroxytoluene, etoxyquin, galates, flavonoids, rosemary extract, vitamin E, etc.

2) Secondary or preventive antioxidants prevent decomposition of lipid hydroperoxides to free radicals, i.e. they reduce speed of initiation phase in chain reactions...
by different mechanisms of metal inactivation, decomposition of lipid hydroperoxides to non radical products, oxygen removal, binding of certain proteins with prooxidant activity, UV absorption, or (as in the case of phospholipids) by formation of protective layer between oil and air.

Secondary antioxidants do not transform free radicals into more stable products. Examples of secondary antioxidants are: ascorbic acid, citric acid, phospholipids, carotenoids, sulfur compounds, selenium, transferrin, ceruloplasmin, etc...

3) Synergists do not have antioxidant effects, or have very little effect, but enhance the activity of primary antioxidants.

The synergists include antioxidants that bind oxygen or "scavengers" of oxygen (ascorbic acid, sulfites, ascorbyl palmitate, erythorbic acid) and the metal chelating agents (citric acid, EDTA, phosphates, amino acids, phospholipids, products of Maillard's reaction).

Different classification can also be found in the literature, wherein secondary antioxidants and synergists constitute one group, which is referred to as secondary antioxidants and synergists (Babović, 2010; Schreiber, 2012).

Due to the large number of reactive chemical species that disrupt the quality of lipids in foods and the diversity of their mechanisms of action, it is impossible to define a single measure of antioxidant activity.

In the evaluation process of the antioxidant potential of the test substance, it is necessary to choose a combination of multiple assays based on different principles and inspect antioxidative potential of the test substance by means of various mechanisms of action.

**Antioxidative activity of chitosan**

In the literature, various data related to the activity of chitosan, as a primary antioxidant can be encountered.

Analyzing the antioxidant activity of chitosan with degree of deacetylation of 78 – 82%, without indication of the molecular weight of chitosan used, Kanatt et al. (2008) presented the results where chitosan did not show a primary antioxidant activity (‘DPPH test, hydroxyl radical and superoxide anion radical scavenging activity, reducing power). Low antioxidant activity (‘DPPH test, reducing power and lipid peroxidation inhibition activity) was also shown in the work of Trung and Bao (2015) for the chitosan with DD 87% and no molecular weight specification. Similar, higher-molecular forms of chitosan (HMW) did not show activity as a primary antioxidant in the work of Pasanphan et al. (2010) and this lack of activity can be correlated with strong intra- and intermolecular hydrogen bonds resulting in the absence of hydrogen atoms that would be donated to break free radicals chain oxidation reactions. Schreiber (2012) argued that chitosan is not a primary antioxidant, and that a possible activity reported in some studies is a consequence of insufficient purification of the chitosan with residue of some components that can show activity.

Tomida et al. (2009) presented the results of the analysis of antioxidant activity of chitosan of various molecular weights (2.8 kDa, 17.0 kDa, 33.5 kDa, 62.6 kDa, 87.7 kDa, 604 kDa, 931 kDa;> 90% DD) in concentration range from 1 mg/mL to 8 mg/mL. Their conclusions were that the chitosan of low molecular weight-LMW (<30 kDa) has strong antioxidant activity, measured as the scavenging ability of ‘DPPH (80-100%) and ‘ABTS’ radicals (70-100%), as well as the ability to reduce copper ions. Activity was concentration dependent for all tested chitosans. Chitosans with higher molecular weights were much less active in these assays (in a concentration up to 5 mg/mL), chitosans with medium molecular weights showed modest activity, while HMW chitosans activity was low (below 50% of scavenging ability in the concentration range). Based on these results, LMW chitosans could be considered as primary antioxidants, which was not the case with higher-molecular forms. These results are in agreement with Yasufuku et al. (2010) and Al-Hassan (2016). In accordance with these results were the results of Alsharabasy (2016), where LMW chitosans (Mv around 21.5
kDa) showed antioxidant activity above 50% in protecting linoleic acid from oxidation. Increase in deacetylation degree (DD) of chitosan of same molecular weight led to significant increase in antioxidant activity. Chitosan with DD of 98% had activity of 78.5%, while activity of chitosan with DD 90% amounted 51.5%.

Three different HMW chitosans: Mv 659 kDa and DD 78%, Mv 1249 kDa and DD 85% and Mv 329 kDa and DD 75% showed 'DPPH scavenging ability of about 32%, 10% and 34%; 'ABTS' scavenging activity 22%, 11% and 24% and nitric oxide scavenging ability of 61%, 48% and 59%, respectively (Walke et al., 2014). Youcef and Ali Riazij (2014) reported 'DPPH scavenging ability of HMW chitosan (75% DD and 1718 kDa/mol) in the range 37.66-62.66% for chitosan concentration 0.5-2%.

Chitosan with high molecular weight (100 kDa, 90% DD) was shown to have antioxidative potential in the systemic circulation in humans. The authors suggest that HMW chitosan lowers levels of pro-oxidants (lipid hydroperoxides and other pro-oxidants that induce the formation of free radicals) and in this way inhibits oxidative stress (Anraku et al., 2011). This is the principle of operation of the synergist in protection of the substrate from oxidation.

In the work of Wan et al. (2013), activity of HMW chitosan (1,240 kDa, 97% DD) was studied using the scavenging ability of 'DPPH radicals, hydroxyl radicals and superoxide anion radicals. Chitosan, in the concentration of 0.8 mg/mL revealed activity of different intensity towards different studied radicals: 'O₂⁻ > 'OH > 'DPPH. Activity in the capture of 'DPPH was of low intensity (35% AA) and was not dependent on the concentration of chitosan, up to 0.8 mg/mL. Based on the results the N-quaternized chitosan derivatives showed higher activity towards 'DPPH, while O-quaternized derivatives showed lower activity compared to the non-derivatized chitosan, authors stated the hypothesis that in the scavenging of 'DPPH more significant contribution was of hydroxyl groups relative to amino groups. Within the same group of derivatives, the authors have observed a decrease of activity with increasing molecular weight, which they interpreted as larger number of intramolecular hydrogen bonds that weaken activity of the hydroxyl and amino groups. The antioxidant activity of HMW chitosan, expressed as the scavenging ability of the 'OH increased with increase in the chitosan concentration, from 0.11 mg/mL to 1.1 mg/mL and to a lesser extent over time. In the above range of concentrations, the percentage of activity increased from 12% to 83%. Three mechanisms of 'OH scavenging using chitosan molecule were hypothesized. According to the first mechanism, the hydroxyl groups of the polysaccharide react with 'OH in a typical abstraction reaction (abstraction of H atom). The second mechanism involves the reaction of 'OH with free amino groups (-NH₂) to form stable macromolecular radicals, while according to the third mechanism, amino group turns to ammonium group absorbing a hydrogen ion from the solution and thereafter ammonium groups react with the 'OH in the addition reaction. Considering all three mechanisms, the greater the number of hydroxyl and amino groups, the greater will be the activity of antioxidant. The authors reported, based on the activities of different derivatives of chitosan, that the activity of the C-2 amino group is more significant than the activity of C-6 hydroxyl group in scavenging 'OH. Activity of HMW chitosan in the capture of 'O₂⁻ was minimally dependent on the concentration in the range of 0.4 mg/mL to 2.4 mg/mL and ranged from approximately 90% to 96.5%. Polysaccharides that exhibit scavenging ability of 'O₂⁻ have one or more alcoholic or phenolic hydroxyl groups, and the activity is related to the number of active hydroxyl groups. In addition, the amino group can react with the free radical to form a more stable macroradical. Based on the results of this research, HMW chitosan acts as a primary antioxidant.

Li et al. (2014) reported results in support to the primary antioxidant activity of higher molecular weight chitosan. They have examined the ability of chitosan (78 kDa, 400 kDa, 640 kDa, 97% DD) to scavenge the
The authors found that chitosans of all tested molecular weights showed antioxidant activity of more than 70%, wherein this activity depended on the concentration, time and molecular weight. As already described, due to the mechanism of action, chitosan antioxidant activity increases as chitosan concentration increases. However, with the increase in the concentration, solution viscosity also increases, which leads to a weaker dispersion, diminishing the activity. Basic hydrogen bonds: intramolecular, O3-O5 and intermolecular, N2-O6, amplify with increasing concentration, which weakens the free radical scavenging ability, so there was an optimal concentration, which was established as 0.2 mg/mL in this paper. With increased molecular weights, intramolecular hydrogen bonds amplify, leading again to decrease in antioxidant activity.

In the paper of Yen et al. (2008), primary antioxidant activity of chitosans of different degree of deacetylation 83.3% - 88.4% was confirmed, but molecular weights of chitosans analyzed were not specified in the paper. In the concentration ranging from 0.1 - 10 mg/mL, chitosan showed significant hydroxyl radicals scavenging activity, 60%-80%, at the concentration of 0.1 mg/mL and 88.7%- 94.1%, at the concentration of 10 mg/mL (activity increased with increased degree of deacetylation). Also, in the test of conjugated dienes, all chitosans showed antioxidant activity by protecting linoleic acid against oxidation. Activity was comparable to the activity of the ascorbic acid and at the concentration of 1 mg/mL, activity ranged from 58.3% - 70.2%, while at the concentration of 10 mg/mL, activity was 79.9%-85.2%. Scavenging activity of DPPH radicals was modest and amounted to a maximum of 50% at the highest concentration of chitosan. Particularly low scavenging activity of radicals was shown by chitosans with the lowest level of deacetylation. The ability of chitosan to chelate ferrous ions was rather high (like EDTA), and ranged from 82.9% - 96.5% for chitosan concentration of 1 mg/mL. The ability of chelating transition metal ions is of great importance for the application of chitosan in food protection from lipid peroxidation. Chelating mechanism is based on binding ions to the hydroxyl group of the C6 atom, as well as to the amino group of the C2 atom. Reducing power of the analyzed chitosans was low and amounted to about one third of the reducing power value that showed α-tocopherol, BHA and ascorbic acid (Yen et al., 2008).

Summarizing results presented in the literature, chitosan can be considered as an antioxidant agent, but to fulfill the high standards of primary antioxidant, LMW chitosans (below 30 kDa) with high DD (above 90%) should be used. Chitosans with higher molecular weights and/or lower DD can be considered as secondary antioxidants and their activity can be adjusted by using higher concentrations or higher DD. Also, what can be observed through literature survey is that chitosan of all molecular weights show different antioxidant activity in different tests, in the approximate order O2- >HO• >protection of linoleic acid against oxidation >DPPH. The most frequently used test for antioxidant activity of chitosan, the DPPH radical scavenging assay seems to be the least appropriate one, and this is why more different tests should be used when analyzing antioxidant activity in vitro.

Antioxidative activity of chitosan film

For the antioxidant activity of chitosan films, in the literature, results of the scavenging capacity of DPPH radicals, "ABTS" radicals, reducing power and the ability of chelating ions of the transition metals are most frequently shown. In these tests, usually water or methanol extract of the film is analyzed, so only components of the film able to migrate into water or methanol are involved, and not film as a whole. In the case of chitosan film, these are most probably low molecular fraction of glucosamine, acetic acid and impurities included in the chitosan composition (Lagaron et al., 2007; Schreiber, 2012). Fewer references where found where activity of chitosan film was analyzed with whole film in the contact with the solution of free radical (Table 1). Test results vary from no activity to intermediate activity of chitosan film, mea-
sured as the ability to scavenge DPPH radicals (from no activity to around 40%), reducing power (from no activity to 0.55) and ability of chelating ferrous ions (about 20%).

These results can be attributed to strong intermolecular hydrogen bonds that have already been discussed in concentrated solutions of chitosan, which are even more pronounced in the film, employing reactive groups of chitosan and lowering possibility of expressing the antioxidant potential of the film. In order to improve the antioxidant activity of chitosan film, different authors modified the chitosan molecule by introducing the group with primary antioxidant solutions of chitosan, which are even more reducing power (from no activity to 0.55) of chitosan films by pre-grafting gallic acid or surface grafting of gallic acid via esterification and amidation from no primary activity (0% DPPH and 0.00 reducing power) to scavenging activity above 50% (75.3% and 68.6%, for surface modified films and pre-grafted films respectively), and increased reducing power (2.02 and 1.24, for surface modified films and pre-grafted films, respectively). In the second case, when different LMW anti-oxidants are added to the film, film serves as a carrier of these components, enabling them to be gradually released over time. Some of the active components which were added to the chitosan film, as well as their contribution to the antioxidant activity of chitosan film are shown in Table 1.

Table 1. Antioxidant activity of chitosan films containing low molecular weight antioxidants

| Film base:                              | Test                  | Antioxidant activity | Reference               |
|-----------------------------------------|-----------------------|----------------------|-------------------------|
| Chitosan 95%DD, 1.5% (w/v) in 1% lactic acid | DPPH test (%)         | 10.69 ± 1.00         | Martins et al. (2012)   |
| Active compounds:                       |                       |                      |                         |
| α tocopherol:                           |                       | 0.1%                 |                         |
|                                         |                       | 0.2%                 |                         |
|                                         |                       | 97.42 ± 0.71         |                         |
| GSE                                    | DPPH test (%)         | 1. 12                | Moradi et al. (2012)    |
|                                         | 1. Reducing power     | 2. No activity       |                         |
|                                         | 1. Film methanolic extract |                  |                         |
|                                         | 2. Film water extract |                      |                         |
| GSE+ ZEO                               | DPPH test (%)         | 1. 35                | Kanatt et al. (2012)    |
|                                         | 1. 1.54               | 2. 2.1               |                         |
|                                         | 1. Film methanolic extract |          |                         |
|                                         | 2. Film water extract |                      |                         |
| Chitosan 186 kDa, >90%DD, 1% (w/v) in 1% acetic acid, PVA 140kDa at various ratios (1:1, 1:2 & 1:2) | DPPH test (%) | No activity | Wang et al. (2013) |
|                                         | 1. Film methanolic extract |          |                         |
|                                         | 2. Film water extract |                      |                         |
| Mint extract:                           |                       | 0.1%                 |                         |
| The extract of pomegranate peel         |                       | 0.1%                 |                         |
|                                         |                       | 20-50                |                         |
| Chitosan 80%-95%DD, 1% (w/v) in 1% acetic acid | DPPH test (%) | around 5 | Wang et al. (2013) |
|                                         | 1. Film methanolic extract |          |                         |
|                                         | 2. Film water extract |                      |                         |
| Tea polyphenols                         |                       | 0.1%                 |                         |
|                                         |                       | 0.2%                 |                         |
|                                         |                       | 0.3%                 |                         |
|                                         |                       | 0.4%                 |                         |
| HMW chitosan, 75-85%DD, 2% (w/v) in 1% lactic acid | DPPH test (%) | around 40 | Ruiz-Navajas et al., 2013 |
|                                         | 1. Film methanolic extract |          |                         |
|                                         | 2. Film water extract |                      |                         |

Guo (2015) increased antioxidant activity of chitosan films by pre-grafting gallic acid or surface grafting of gallic acid via esterification and amidation from no primary activity (0% DPPH and 0.00 reducing power) to scavenging activity above 50% (75.3% and 68.6%, for surface modified films and pre-grafted films respectively), and increased reducing power (2.02 and 1.24, for surface modified films and pre-grafted films, respectively). In the second case, when different LMW anti-oxidants are added to the film, film serves as a carrier of these components, enabling them to be gradually released over time. Some of the active components which were added to the chitosan film, as well as their contribution to the antioxidant activity of chitosan film are shown in Table 1.
Antioxidant activity of chitosan films containing low molecular weight antioxidants

Table 1. Active compounds:

| Film Base | Active Compounds | Trolox Equivalent/g of Film | FRAP Test (mg Trolos eq/g of Film) | DPPH Test (%), Tests were performed on whole film |
|-----------|------------------|-----------------------------|-----------------------------------|-----------------------------------------------|
| Chitosan 80%DD, 1% (w/v) in 1% acetic acid | Caraway essential oil 1% (v/v) | 0.01 | 0.16 | 10.78 |
| HMW chitosan, 75-85%DD, 2% (w/v) in 1% lactic acid | Maqui berry extract 0.5% (v/w) | 2.06 | 4.26 | 109.99 |
| Chitosan 88%DD, viscosity 51 mPas, 2% (w/v) in 1% acetic acid | Lycium barbarum fruit extract 0.4% 0.8%, 1.2%, 1.6 and 2% (w/v) | 11.64 | 28.96 | 109.99 |
| Chitosan, ≥75% DD, 2% (w/v) in 1% acetic acid | Essential oil of Eucalyptus globulus 1%, 2%, 3%, 4% (v/v) | 1.07 | 19.04 | 15.34 |

Footnote: *around 20%*
Different authors tested effect of addition of chemically different compounds and mixtures, like tocoferols (Martins et al., 2012), polyphenols (Wang et al., 2013), plant essential oils and extracts (Moradi et al., 2012; Ruiz-Navajas et al., 2013; Hromiš et al. 2014; Hromiš et al., 2015a; Hromiš et al., 2015b; Hafsa et al., 2016 Kanatt et al., 2012), spice oleoresins (Hromiš et al.; 2016), fruit and different fruit parts extracts (Wang et al., 2015; Genskowsky et al., 2015; Ferreira et al., 2014 Kanatt et al., 2012; Moradi et al., 2012) and grape seed oil (Ferreira et al., 2014). Active compounds were added in different concentrations, from 0.1% to 4%. Mint extract, α tocopherol, extract of pomegranate peel or of grape hooks, tea polyphenols and wax from grape berry skin were added in the lowest range of 0.1-0.5% and different antioxidant activity of tested films was obtained: from modest (below 50% DPPH scavenging activity) for the extract of pomegranate peel, over intermediate activity (50-60%DPPH scavenging activity) for added tea polyphenols to significant activity (>80% DPPH scavenging activity) when α tocopherol and mint extract were added in the film. Higher concentrations 0.5-2% were used for different essential oils addition, like: Z. multiflora Boiss, T. moroderi, T. piperella, caraway and oregano essential oils, spice oleoresins, grape seed extract, grape seed oil and extracts of maqui berry and Lycium barbarum. Once more, antioxidant activity went from modest, over intermediate to significant activity.

Rarely higher concentrations, 2-4% of active compounds were used for essential oils of Eucalyptus globules and caraway and resultant activity ranged from intermediate to significant. It can be observed, from the Table 1, that addition of low molecular weight antioxidant substances increased activity of chitosan film in specie dependant and concentration dependant manner.

**CONCLUSIONS**

Based on the numerous literature studies, general conclusion would be that chitosan can be successfully used as an antioxidant, either as a food additive or as an edible film. Thereby, the activity of chitosan as antioxidant food additive is proportional to the concentration of chitosan, but the influence of molecular weight and degree of deacetylation must be considered. When chitosan edible films are considered, introduction of active groups or low molecular weight compounds addition with antioxidant activity is needed in order to obtain film that could be potentially used as active antioxidant packaging film.

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АНТИОКСИДАТИВНА АКТИВНОСТ ХИТОЗАНА И ХИТОЗАНСКОГ ФИЛМА

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Сажетак: Растући захтеви потрошача за храном без додатих хемијских конзерванаса усмерили су много истраживања у смеру преналажења природних једињења која се могу користити за конзервисање намирница. У овом контексту, природне супстанце са израженим антимикробним и антиоксидативним својствима, као што су хитозан, хитозански филм са израженим биоактивним својствима, обезбеђују јединоставан начин за овој целини.

Кључне речи: хитозан, хитозански филм, антиоксидативна активност

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