Antibody Testing Documents the Silent Spread of SARS-CoV-2 in New York Prior to the First Reported Case

Kathrine Meyers  
Columbia University

Lihong Liu  
Columbia University

Wen-Hsuan Lin  
Columbia University  https://orcid.org/0000-0002-5414-7900

Yang Luo  
Columbia University

Michael Yin  
Columbia University

Yumeng Wu  
Columbia University

Sandeep Wontakal  
Columbia University  https://orcid.org/0000-0003-0889-032X

Alex Rai  
Columbia University

Francesca La Carpia  
Columbia University  https://orcid.org/0000-0002-1120-9307

Sebastian Fernando  
Columbia University  https://orcid.org/0000-0001-7571-8904

Mitra Dowlatshahi  
Columbia University  https://orcid.org/0000-0002-2551-7079

Elad Elkayam  
Cold Spring Harbor Laboratory

Ankur Garg  
Cold Spring Harbor Laboratory  https://orcid.org/0000-0003-2397-1670

Leemor Joshua-Tor  
Cold Spring Harbor Laboratory  https://orcid.org/0000-0001-8185-8049

John Wolk  
CareMount Medical

Barbara Alpert
Article

Keywords: COVID-19, SARS-CoV-2, seroprevalence, serologic assays, New York City

DOI: https://doi.org/10.21203/rs.3.rs-39880/v1

License: This work is licensed under a Creative Commons Attribution 4.0 International License. Read Full License
Abstract

We developed and validated serologic assays to determine SARS-CoV-2 seroprevalence in select patient populations in greater New York City area early during the epidemic. We tested “discarded” serum samples from February 24 to March 29 for antibodies against SARS-CoV-2 spike trimer and nucleocapsid protein. Using known durations for antibody development, incubation period, serial interval, and reproductive ratio for this pandemic, we determined that introduction of SARS-CoV-2 into New York likely occurred between January 23 and February 4, 2020. SARS-CoV-2 spread silently for 4–5 weeks before the first community acquired infection was reported.

A novel coronavirus emerged in December 2019 in Wuhan, China\textsuperscript{1,2} and devasted Hubei Province in early 2020 before spreading to every province within China and nearly every country in the world\textsuperscript{3}. This pathogen, now termed severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2), has caused a global pandemic, with \textasciitilde{} 10 million cases and over 500,000 deaths reported through June 30, 2020\textsuperscript{3}. The first case of SARS-CoV-2 infection in the United States was identified on January 19, 2020 in a man who returned to the State of Washington from Wuhan\textsuperscript{4}. In the ensuing months, the U.S. has become a hotspot of the pandemic, presently accounting for almost one third of the total caseload and over one fourth of the deaths\textsuperscript{3}. The first confirmed case in New York was reported on March 1 in a traveler recently returned from Iran. The first community-acquired SARS-CoV-2 infection was diagnosed on March 3 in a 50-year-old male who lived in New Rochelle and worked in New York City (https://www1.nyc.gov/site/doh/covid/covid-19-data-archive.page.) In the ensuing 18 weeks, New York City has suffered a peak daily infection number of \textasciitilde{} 4,500 (Fig. 1a) and a cumulative caseload of \textasciitilde{} 400,000 to date. The time period when SARS-CoV-2 gained entry into this epicenter of the pandemic remains unclear.

Introduction

A novel coronavirus emerged in December 2019 in Wuhan, China\textsuperscript{1,2} and devasted Hubei Province in early 2020 before spreading to every province within China and nearly every country in the world\textsuperscript{3}. This pathogen, now termed severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2), has caused a global pandemic, with \textasciitilde{} 10 million cases and over 500,000 deaths reported through June 30, 2020\textsuperscript{3}. The first case of SARS-CoV-2 infection in the United States was identified on January 19, 2020 in a man who returned to the State of Washington from Wuhan\textsuperscript{4}. In the ensuing months, the U.S. has become a hotspot of the pandemic, presently accounting for almost one third of the total caseload and over one fourth of the deaths\textsuperscript{3}. The first confirmed case in New York was reported on March 1 in a traveler recently returned from Iran. The first community-acquired SARS-CoV-2 infection was diagnosed on March 3 in a 50-year-old male who lived in New Rochelle and worked in New York City (https://www1.nyc.gov/site/doh/covid/covid-19-data-archive.page.) In the ensuing 18 weeks, New York City has suffered a peak daily infection number of \textasciitilde{} 4,500 (Fig. 1a) and a cumulative caseload of
~400,000 to date. The time period when SARS-CoV-2 gained entry into this epicenter of the pandemic remains unclear.

Testing by polymerase chain reaction (PCR) has been the mainstay for confirming SARS-CoV-2 infection worldwide\(^5\)\(^-\)\(^7\). However, traditionally, serologic testing to detect antibodies to viral pathogens has been an invaluable diagnostic adjunct. Antibody testing could identify recovered patients who are no longer virus positive\(^7\). In certain circumstances, the presence of virus-specific antibodies correlates with immunity\(^8\). In addition, serologic assays could be used to track infection retrospectively using stored serum samples\(^9\). Recently, numerous assays for the detection of SARS-CoV-2 antibodies have emerged\(^6\)\(^,\)\(^10\)\(^,\)\(^11\), but so far few have been properly evaluated and validated for clinical use.

In this report, we describe the development and validation of serologic assays to detect antibodies to SARS-CoV-2 spike and nucleocapsid proteins, as well as their immediate deployment to measure seroprevalence in a random selection of discarded serum samples from New York City (Fig. 1a) and suburbs to its north (Fig. 1b), including those from a period prior to the first confirmed cases of community transmission.

**Results**

**Performance of SARS-CoV-2 enzyme-linked immunosorbent assay (ELISA).** First, to determine the specificity of our antibody assays, we tested 106 serum samples from healthy blood donors from a pre-SARS-CoV-2 era. The results showed that all but one sample had extremely low optical density (OD\(_{450}\)) values that were indicative of non-reactivity. Using a stringent cutoff of 3 standard deviations above the mean, we noted a specificity of 99% for each of the assays to detect spike trimer-specific IgG (S-IgG), spike trimer-specific IgM (S-IgM), or nucleocapsid protein (NP)-specific IgG (NP-IgG) (Fig. 2a). We further assessed assay specificity by testing 48 serum samples from contemporaneous patients seen at Columbia University Irving Medical Center West Campus (CUIMC) for respiratory illnesses that were diagnosed as SARS-CoV-2 negative by PCR. Most of these cases were found to be positive by routine clinical tests for other viral pathogens including influenza A/B, parainfluenza, adenovirus, rhinovirus, human metapneumovirus, and common cold human coronaviruses (HKU1, OC43, and NL63). Only two samples showed OD\(_{450}\) readings greater than the cutoff value, yielding a specificity of 96% in the S-IgG assay (Fig. 2b). The specificity of the assay to detect IgG to NP was slightly lower at 90% (Supplemental Fig. 1a). In particular, all 6 samples from patients with common cold coronaviruses were negative in the S-IgG assay, whereas 5 of 6 were negative in the NP-IgG assay.

Second, to determine the sensitivity of our antibody assays, we tested 146 serum samples from recent CUIMC cases with PCR-confirmed SARS-CoV-2 infection, at varying timepoints post onset of symptoms, for IgM or IgG to the spike trimer as well as for IgG to NP. As expected, the sensitivities of the assays to measure IgM or IgG targeting the spike trimer were low (<35%) in the first 7 days post onset of symptoms, but increased rapidly thereafter, reaching a sensitivity of 87% for both assays 22 days after onset of symptoms. By reviewing the medical records of SARS-CoV-2-positive patients who were antibody
negative in the spike trimer assays, we noted a number of them were cancer patients on rituximab
treatment, renal transplant recipients on immunosuppressive therapy, or patients with aplastic anemia
(color dots in Figs. 2c and 2d). If these cases were excluded, the overall sensitivity increases to 93% for
both the S-IgM and S-IgG assay. The sensitivity of the NP-IgG assay was slightly lower at 87%
(Supplemental Fig. 1b).

Experiments required for the validation of these antibody assays have been carried out successfully,
including testing for interference and concordance with a commercial platform (i.e., Roche, Supplemental
Fig. 2a-2c).

**SARS-CoV-2 Seroprevalence.** The CUIMC clinical laboratory received a total of 3,096 serum specimens
from 5,464 unique patient visits to the Emergency Department (ED) during the period of study. Of the
serum samples slated to be discarded, 814 specimens were randomly picked up for serologic testing for
SARS-CoV-2 antibodies. There were no duplicate samples from the same patient. Specimens were
evenly distributed between female (51%) and male (49%) patients, and 10% came from pediatric patients.
Age ranged from <1 year to 96 years old. These numbers closely match the sex and age distribution of
the ED visits in that period. The randomly retrieved samples were first tested by ELISA for IgM and IgG to
the SARS-CoV-2 spike trimer. Any sample with an OD$_{450}$ reading above the previously determined cutoff
value was then retested by the same assay and by the NP-IgG assay. Only samples positive for IgG or
IgM to the spike trimer and confirmed by repeat testing, which also included IgG to NP, were considered
seropositive for SARS-CoV-2.

For the first week of the study (February 24 to March 1), 3 of 72 serum samples were found to be positive
for SARS-CoV-2 antibodies, yielding a seroprevalence of 4.2% (95% confidence interval of 1.4% to 11.6%)
(Fig. 3a). For the second week, 9 of 114 samples (7.9%) were seropositive; the prevalence for SARS-CoV-
2 antibodies in the three subsequent weeks ranged from 2.7% to 7.3% (Fig. 3a). Given the expanding
epidemic in New York City, one might have expected the prevalence to steadily increase. However,
changes in institutional practices such as opening of SARS-CoV-2 biorepository and cough-and-fever
clinics (arrows in Fig. 3a) diverted many positive serum samples away from the Emergency Department
and directly into a COVID biorepository instead of being discarded after five to seven days as per routine
protocol. Overall, 40 seropositive cases were identified through the CUIMC-ED, including 7 who presented
to the hospital before the first community-acquired SARS-CoV-2 infection in New York City was reported.
Of these, two adults complained of dyspnea without fever, three children had gastrointestinal symptoms
with or without fever, and two adults had unrelated conditions (loss of balance and foot swelling).
Fifteen out of 40 patients were tested concurrently by PCR of which 11 were positive. One individual
presented with prior positive PCR. Symptom onset ranged from one to fifteen days prior to sample
collection.

The CareMount central laboratory provided 960 samples on March 13/14, 505 samples on March 20/21,
and 376 samples on March 27/28 from its network of clinics in five counties north of New York City (Fig.
1b). The decline in the number of samples likely resulted from curtailment of elective procedures (March
15) and movement toward telemedicine (March 23), as indicated by arrows in Fig. 3b. Throughout the period of study, the SARS-CoV-2 seroprevalence ranged from 1.4% to 1.9% in this suburban ambulatory care population (Fig. 3b).

Because of the importance of the first three positive cases (Fig. 3a, period from 2/24 to 3/2) in dating the introduction of the epidemic into New York City, additional serologic testing was carried out on these serum samples. Quantitative ELISA for NP-IgG and S-IgG, as well as for antibodies to the receptor-binding domain (RBD) of the spike protein, confirmed their seropositivity (Fig. 3c), and western blot analysis further demonstrated that these sera contained antibodies directed to the SARS-CoV-2 nucleocapsid protein and RBD, as well as to the spike protein (Fig. 3d). In particular, the western blots showed that the reactivity was directed to proteins with the molecular weights matching those of the viral proteins instead of some contaminants from the cells used for protein expression. Furthermore, note that NP was produced in bacteria while RBD and spike trimer were produced in mammalian cells. Overall, the results in Figs. 3c and 3d, demonstrating reactivity to three different SARS-CoV-2 proteins by two different assay formats, leave little doubt that these three serum samples are truly seropositive.

Discussion

We developed a panel of immunoassays to detect antibodies against the SARS-CoV-2 spike trimer and nucleocapsid protein. These ELISAs have specificity of 99% when tested on stored serum samples from an era before the pandemic (Fig. 2a). In patients presenting with non-SARS-CoV-2 respiratory illnesses largely caused by other viral pathogens, the specificity remained high, at 96% for the S-IgG assay (Fig. 2b) and 90% for the NP-IgG assay (Supplemental Fig. 1a). In non-immunosuppressed patients with confirmed SARS-CoV-2 infection, the sensitivity of the S-IgM and S-IgG assays was both 93% at day 22+ post onset of symptoms (Figs. 2c and 2d), whereas the sensitivity for the NP-IgG assay was 87%. Overall, the kinetics of IgM and IgG responses to the spike trimer were similar, and the trimer-based assays performed slightly better than the NP-based assay. These ELISAs have been approved by the Department of Health Laboratory of the State of New York for clinical use.

When these antibody assays were applied to the “discarded” serum samples, weekly seroprevalence estimates were 2.7% to 7.9% (overall 4.9%) for CUIMC-ED and 1.4% to 1.9% (overall 1.7%) for CareMount clinics (Figs. 3a and 3b). Seroprevalence was lower in the five counties north of New York City, 20 to 100 miles away and with significantly lower population density (Fig. 1b). However, these numbers should not be taken to reflect the true penetrance of SARS-CoV-2 into these select patient populations because of possible skewing of our samples from changing clinical practices including opening up of separate cough-and-fever clinics, telemedicine, and a biorepository that syphoned off samples that were SARS-CoV-2-positive by RT-PCR.

The first observation period of February 24 to March 1, before the earliest reported case of community transmission of SARS-CoV-2 in New York on March 3, is largely unbiased and most informative. Based
on the observed seroprevalence of 4.2% and the overall sensitivity and specificity of our S-IgG assay, we estimated the posterior distribution of prevalence in the ED population as 4.5% (90% credible interval of 0.3% to 11.7%), similar to our observed estimate albeit with a wider credible interval.

As shown in Fig. 4, the three earliest seropositive cases (green dots), firmly established by multiple serologic tests (Figs. 3c and 3d), were seen in the CUIMC-ED on February 25, 26, and 29, and their places of residence were not clustered (Supplemental Fig. 4). Several reports indicate that 10 days post onset of symptoms was a reasonable mid-point to use for the development of antibodies directed to SARS-CoV-2, as our own data would indicate as well (Figs. 2c and 2d). In addition, numerous publications have provided a range for the duration of the incubation period, but a period of 5 days was an appropriate average. Using these figures, the mean time of infection by SARS-CoV-2 for these initial cases could be extrapolated back to February 12 (Fig. 4). Since these cases represented 4.2% of the 72 serum samples tested and a total of 1,154 serum samples were collected that week from the CUIMC-ED, we surmise that 48 infected cases likely accessed care in the CUIMC-ED during that week. To extrapolate further back, we use published data on the reproductive ratio ($R_0$) and the serial interval (or generation time). A range of $R_0$ from 1.4 to 6.5 have been reported, as have a range of serial intervals of 4 to 7 days. Conservatively, assuming the index case infected 3 others and then using an $R_0$ of 4 and a serial interval of 5 days, the 48 cases on February 12 would trace back to an index case for this cluster to January 28, with 95% confidence interval that the true date falls between January 23 and February 4 (Fig. 4).

Alternatively, calculations using the growth rate of 0.28/day for the SARS-CoV-2 epidemic in the U.S. would take us back to the same date, a time frame about 3-4 weeks earlier than the prediction made on the basis of the genetic drift in 84 unique SARS-CoV-2 sequences found in the New York area, with multiple introductions largely from Europe.

Using the above calculated 48 cases on February 12, we can also project this number forward in time using the same serial interval of 5 days and a more conservative $R_0$ of 3 (Fig. 4), with the assumption that following the announcement of the first case some behavioral changes (e.g., mask-wearing and social distancing) would have occurred. We arrived at a number of 3,888 cases on March 3 in the CUIMC catchment. Given that the CUIMC caseload is only about 5% of that of New York City (area shaded in green in Fig. 4), we could cautiously conclude that there were already tens of thousands, and perhaps many more, SARS-CoV-2 infections in the city when the first case was recognized.

Our findings show that SARS-CoV-2 was spreading silently for 4-5 weeks in the New York metropolitan area before the first community acquired infection was confirmed on March 3. During this seemingly quiescent period, the extensive outbreaks in China, South Korea, and Italy were widely known. Earlier implementation of surveillance for SARS-CoV-2 could have led to earlier applications of infection control measures and perhaps mitigated the devastation we now face.

Methods
Study design, population, and serum samples. This was an observational study of SARS-CoV-2 serial seroprevalence in New York City, Westchester County, and the Lower Hudson Valley from February 24 to March 29, 2020. The targeted population included patients accessing the Emergency Department at CUIMC in northern Manhattan and CareMount Medical, a network of ambulatory care clinics in Westchester County and the Lower Hudson Valley (Fig. 1b). Clinical specimens collected from patients were designated as residual when they were no longer required for the diagnostic purpose for which they were originally collected. CUIMC and CareMount retained diagnostic samples for 5 and 7 days post collection, respectively. Anonymized serum samples about to be discarded were requested from each clinical laboratory. A total of 814 serum samples from CUIMC-ED was randomly retrieved between February 24 and March 29, and each chosen vial of serum was de-identified with a label onto which was marked a study-specific identifier. The clinical laboratory retained a link between the study-specific identifier and the patient’s medical record number. Slightly later but in parallel, a total of 1,841 serum samples to be discarded was obtained from CareMount in three discrete batches between March 13 and March 28. Duplicate specimens for the same individual were identified and excluded before de-identification labels were applied. Specimens from one CareMount site in New York City were also excluded.

Assay validation. For the purpose of defining the sensitivity and specificity of our SARS-CoV-2 antibody tests, we also utilized 106 serum samples obtained from distinct healthy blood donors from New York City between 2015 and 2018, 146 serum samples from distinct patients with PCR-positive SARS-CoV-2 infection at variable time points after onset of symptoms, and 48 serum samples from contemporaneous patients with respiratory illnesses who tested negative for SARS-CoV-2 by PCR, most of whom were confirmed to be infected by another viral pathogen, although prior infection with SARS-CoV-2 cannot be absolutely ensured.

SARS-CoV-2 antigens and ELISA. The ectodomain of the SARS-CoV-2 spike trimer\(^24\) was cloned into mammalian expression vector pCAGGS (Addgene, Watertown, MA), with a foldon tag followed by 6xHis tag and Strep tag II at the C-terminal. This expression vector was transiently transfected into Expi293 or HEK293F cells and the spike trimer was purified from the supernatant 3 days post transfection using either Strep-Tactin XT Resin (IBA Life Sciences) followed by size exclusion chromatography on a Superose 6 Increase 10/300 GL column (GE Healthcare). SARS-CoV-2 nucleocapsid protein (NP) was cloned into pET28a (+) vector (Millipore-Sigma, Burlington, MA) with an AALE linker and 6xHis tag at the C-terminus. The NP construct was then used to transform into E. coli Rosetta 2 (DE3) cells and the target protein was produced and purified from the bacterial lysate using PEI precipitation, affinity purification with Ni-NTA agarose beads (Thermo Fisher Scientific, Carlsbad, CA), chromatography on a HiTrap Heparin-HP column, followed by size-exclusion chromatography on a Superdex 200 10/300 GL column (Garg et al, bio-protocol 2020). SARS-CoV-2 receptor-binding domain (RBD)\(^24\) was cloned into vector pCAGGS (Addgene, Watertown, MA), with an HRV-3C protease cleavage site and monoFc tag followed by a 6xHis tag at the C-terminus. This expression vector was transiently transfected into Expi293 cells and the protein was purified 5 days post transfection using protein A
agarose (Thermo Fisher Scientific, Carlsbad, CA). The monoFc and 6xHis tags were subsequently cleaved with HRV-3C protease (Millipore-Sigma, Burlington, MA) and counter-selected with protein A agarose (Thermo Fisher Scientific, Carlsbad, CA) as indicated by the manufacturer.

SARS-CoV-2 spike trimer and NP were coated on 96-well ELISA plate at a concentration of 200 ng/well and 50 ng/well, respectively at 4°C overnight. The unbound proteins were then removed by two washes with 300 μl PBST (0.5% Tween-20 in PBS) per well. Afterwards, the ELISA plates were blocked with 300 μl 1% BSA in PBS at 37°C for 2 hours, before the plates were washed 4 times with PBST. Serum samples were diluted 400-fold (or serially diluted for the quantitative ELISA) with dilution buffer (1% bovine serum albumin and 20% bovine calf serum in PBS) and tested using 100 μl per well of each diluted serum. The ELISA plates were then incubated at 37°C for 1 hour, followed by washing 6 times with PBST. Later, 100 μl of 10000-fold diluted peroxidase affiniPure goat anti-human IgG (H+L) antibody or anti-human IgM antibody (Jackson Immune Research, New Grove, PA), or both, were added into each well and incubated for 1 hour at 37°C. After washing 6 times with PBST, the TMB substrate (Sigma, St. Louis) was added and the reaction was stopped using 1M sulfuric acid. Absorbance was measured at 450 nm and expressed as an optical density, or OD$_{450}$ value. What constitutes a positive antibody test is discussed below.

**Western Blot.** SARS-CoV-2 S trimer, NP and RBD (1.5 μg each) were separated on a 4%-12% NuPage gel (Invitrogen, Carlsbad, CA) and stained with SimplyBlue™ SafeStain (Invitrogen, Carlsbad, CA). For the western blot, 200 ng of each protein were mixed and separated on a 4%-12% NuPage, and then electrophoretically transferred to a PVDF membrane (Millipore-Sigma, Burlington, MA). The membrane was then blocked with 10% Blotting-Grade Blocker (Bio-Rad, Hercules, CA) in PBST for 30 min at room temperature and then incubated with 1:400 dilution of serum overnight at 4°C. After washing in PBST, the membrane was incubated with a mixture of 10,000-fold diluted Peroxidase AffiniPure goat anti-human IgG (H+L) antibody and anti-human IgM antibody (Jackson Immune Research, New Grove, PA) for 1 hr at room temperature. Finally, it was washed, treated with chemiluminescent substrate, and imaged via iBright 1500 (Thermo Fisher Scientific, Carlsbad, CA).

**Clinical information.** Basic demographic data, such as age, sex, zip code, and date of onset of symptoms, were collected from the CUIMC-ED cases through the medical record number linked to the study-specific identifier log retained by the clinical pathology laboratory. For a small number of seropositive cases, the date of sample collection, the reason for visiting the ED, and additional medical history were extracted from the medical record. In parallel, the number of daily new SARS-CoV-2 cases were tracked from the websites of New York City and the counties with CareMount clinics (Fig. 1b), as well as from internal reports of CUIMC and CareMount.

**Statistical analysis.** We estimated the prevalence of SARS-CoV-2 infection at each clinical site by dividing the number of positive tests by the total number of samples tested for each time period, and reported 95% confidence intervals. We calculated posterior distribution of prevalence in the ED population using overall sensitivity and specificity of our S-IgG assay by the methodology described in
Larrimore et al through their calculator (https://larremorelab.github.io/covid-calculator2)\textsuperscript{25}. We extrapolated from the seroprevalence observed in the first week of sampling at CUIMC-ED to the total number of SARS-CoV-2-positive cases for that period based on the known number of ED visits.

**Protection of human subjects and confidentiality.** The study was approved by the Institutional Review Board (IRB) of CUIMC through an honest broker protocol (E. Hod, Principal Investigator) that allows the clinical laboratory to code specimens while retaining a linked key to medical record numbers from which the demographic and clinical information could be obtained. As all testing for the CareMount discarded serum specimens was done on samples that were irrevocably deidentified, the IRB determined that this component of the study did not require ethical approval.

**Declarations**

**Data Availability**

All requests for raw and analyzed data will be promptly reviewed by the corresponding author to verify whether the request is subject to any intellectual property or confidentiality obligations. Data will then be provided whenever possible.

**Acknowledgements**

The authors would like to acknowledge Jason McLellan and Nianshuang Wang from the University of Texas, Austin for providing us with the construct for the SARS-CoV-2 spike trimer. We also thank the Center for Advanced Laboratory Medicine at CUIMC and the laboratory staff at CareMount clinical laboratories for processing and deidentifying samples. We are grateful to Jason Zucker for providing critical data on the number of new daily SARS-CoV-2 diagnoses within CUIMC system. Finally, we express our gratitude to the health workers on the frontlines caring for patients.

**Author contributions**

KM, MY, MS, AU, YH, EH, and DH conceived of the study. KM, EH, LL, DH wrote the manuscript. LL, WL, SNW, AJR ran the ELISAs. LL ran the Western Blot. FLC, SF, and MD curated samples and abstracted medical information. JW and BA provided samples and all data from CareMount clinic network. YW, YL, EH, KM analyzed data and generated figures. BKC, ELMG, FV, OBC, FM generated the spike and nucleocapsid proteins. LJ, AG, and EE expressed some of the spike protein. AP advised on calculations based on epidemiological parameters. MR provided data on volume of ED visits during the study period. All authors reviewed the final manuscript.

**Competing interests**

None.

**Funding**
This study was supported by funding from the Jack Ma Foundation, The JBP Foundation, and the Wu Family China Center. Portions of this work were done under the auspices of the U.S. Department of Energy under contract 89233218CNA000001 and supported by the LANL LDRD Program.

References

1. Zhou, P., et al. A pneumonia outbreak associated with a new coronavirus of probable bat origin. *Nature* **579**, 270-273 (2020).

2. Wu, F., et al. A new coronavirus associated with human respiratory disease in China. *Nature* **579**, 265-269 (2020).

3. World Health Organization. Coronavirus disease 2019 (COVID-19) Situation Report-162. (2020).

4. Holshue, M.L., et al. First Case of 2019 Novel Coronavirus in the United States. *N. Engl. J. Med.* **382**, 929-936 (2020).

5. Corman, V.M., et al. Detection of 2019 novel coronavirus (2019-nCoV) by real-time RT-PCR. *Euro Surveill.* **25**, 2000045 (2020).

6. To, K.K.-W., et al. Temporal profiles of viral load in posterior oropharyngeal saliva samples and serum antibody responses during infection by SARS-CoV-2: an observational cohort study. *Lancet Infect. Dis.* **20**, 565-574 (2020).

7. Patel, R., et al. Report from the American Society for Microbiology COVID-19 International Summit, 23 March 2020: Value of Diagnostic Testing for SARS–CoV-2/COVID-19. *mBio* **11**, e00722-00720 (2020).

8. Amanna, I.J., Carlson, N.E. & Slifka, M.K. Duration of humoral immunity to common viral and vaccine antigens. *N. Engl. J. Med.* **357**, 1903-1915 (2007).

9. Zhu, T., et al. An African HIV-1 sequence from 1959 and implications for the origin of the epidemic. *Nature* **391**, 594-597 (1998).

10. Tan, W., et al. Viral Kinetics and Antibody Responses in Patients with COVID-19. Preprint at https://www.medrxiv.org/content/10.1101/2020.03.24.20042382v1 (2020).

11. Okba, N.M.A., et al. Severe Acute Respiratory Syndrome Coronavirus 2-Specific Antibody Responses in Coronavirus Disease 2019 Patients. *Emerg. Infect. Dis.* **26**, 1478-1488 (2020).

12. Zhao, J., et al. Antibody responses to SARS-CoV-2 in patients of novel coronavirus disease 2019. *Clin. Infect. Dis.*, ciaa344 (2020).

13. Backer, J.A., Klinkenberg, D. & Wallinga, J. Incubation period of 2019 novel coronavirus (2019-nCoV) infections among travellers from Wuhan, China, 20-28 January 2020. *Euro. Surveill.* **25**, 2000062 (2020).

14. Bi, Q., et al. Epidemiology and Transmission of COVID-19 in Shenzhen China: Analysis of 391 cases and 1,286 of their close contacts. *Lancet Infect. Dis.* (2020).

15. Lauer, S.A., et al. The Incubation Period of Coronavirus Disease 2019 (COVID-19) From Publicly Reported Confirmed Cases: Estimation and Application. *Ann. Intern. Med.*, M20-0504 (2020).
16. Zhang, J., et al. Evolving epidemiology and transmission dynamics of coronavirus disease 2019 outside Hubei province, China: a descriptive and modelling study. *Lancet Infect. Dis* 20, 793-802 (2020).

17. Chen, T.-M., et al. A mathematical model for simulating the phase-based transmissibility of a novel coronavirus. *Infect. Dis. Poverty* 9, 24 (2020).

18. Imai, N., et al. Report 3: Transmissibility of 2019-nCoV. WHO Collaborating Centre for Infectious Disease Modelling, MRC Centre for Global Infectious Disease Analysis, J-IDEA, Imperial College London, UK. (2020).

19. Wu, J.T., Leung, K. & Leung, G.M. Nowcasting and forecasting the potential domestic and international spread of the 2019-nCoV outbreak originating in Wuhan, China: a modelling study. *Lancet* 395, 689-697 (2020).

20. Liu, Y., Gayle, A.A., Wilder-Smith, A. & Rocklöv, J. The reproductive number of COVID-19 is higher compared to SARS coronavirus. *J. Travel Med.* 27, taaa021 (2020).

21. Nishiura, H., Linton, N.M. & Akhmetzhanov, A.R. Serial interval of novel coronavirus (COVID-19) infections. *Int. J. Infect. Dis.* 93, 284-286 (2020).

22. Ke, R., Sanche, S., Romero-Severson, E. & Hengartner, N. Fast spread of COVID-19 in Europe and the US suggests the necessity of early, strong and comprehensive interventions. Preprint at https://www.medrxiv.org/content/10.1101/2020.04.04.20050427v2 (2020).

23. Gonzalez-Reiche, A.S., et al. Introductions and early spread of SARS-CoV-2 in the New York City area. *Science* (2020).

24. Wrapp, D., et al. Cryo-EM structure of the 2019-nCoV spike in the prefusion conformation. *Science* 367, 1260-1263 (2020).

25. Larremore, D. B., et al. Estimating SARS-CoV-2 seroprevalence and epidemiological parameters with uncertainty from serological surveys. Preprint at https://www.medrxiv.org/content/10.1101/2020.04.15.20067066v1 (2020).

**Figures**
Figure 1

Daily new SARS-CoV-2 cases in NYC and CareMount counties. a. Daily new cases of SARS-CoV-2 detected by PCR reported by NYC and CareMount Counties using 7-day moving average. Arrows denote first cases in NYC (green) and CareMount counties (blue); the red arrow denotes NYC stay-at-home order in effect. b. Locations of CUIMC (green), 34 CareMount clinic locations (blue) and New Rochelle (red).
Figure 2

ELISA validation. a. Specificity of SARS-CoV-2 ELISA in healthy blood donors (n=106) from an era prior to the pandemic. Each dash line represents the cutoffs for 99% specificity for spike trimer assay for IgG (S-IgG, black) and IgM (S-IgM, blue), nucleocapsid protein assay for IgG (NP-IgG, red). b. Specificity of spike trimer ELISA for IgG in contemporaneous patients with respiratory illnesses who tested negative for SARS-CoV-2 by PCR. c. Sensitivity of S-IgM assay in PCR-positive patients. Color dots represent patients with renal transplant on immunosuppression (red), aplastic anemia (blue) or Rituximab treatment (green). The sensitivity numbers shown in the insert table are calculated with the immunosuppressed patients excluded. d. Sensitivity of S-IgG assay in PCR-positive patients. Color dots represent the same patients described in c, and the sensitivity numbers shown in the insert table are calculated with the immunosuppressed patients excluded.
Figure 3
Seroprevalence in discarded serum samples and testing to confirm seropositivity. Percentage of discarded serum samples testing positive by ELISA for IgG and/or IgM to SARS-CoV-2 spike trimer in a. CUIMC-ED and b. CareMount clinics. Bars denote 95% confidence intervals. Arrows denote institutional procedural changes. March 15: CareMount significantly decreases all elective procedures (peach); March 19: CUIMC SARS-CoV-2 Biorepository opens (white); March 23: CUIMC opens cough and fever clinics (red) and CareMount scales up telemedicine (magenta). c. binding curves on quantitative ELISA of serum samples from earliest positive ED samples (ED15, ED88, and ED166) and two healthy donors (ADARC02 and ADARC03) from a pre-pandemic era against SARS-CoV-2 NP, S trimer and RBD; d. Western blot analysis of serum samples from the three ED cases as well as negative (−) and positive (+) controls binding to SARS-CoV-2 NP, S trimer and RBD.

**Figure 4**

Imputed dates of SARS-CoV-2 infection for earliest samples detected in CUIMC discarded sera. The shaded areas on the right represent the 7-day moving average for daily new cases detected by PCR reported in New York City and Columbia University Irving Medical Center. The blue arrow denotes the first reported case of community-acquired SARS-CoV-2 in New York. The green circles denote the three cases identified in the CUIMC ED. Brown rectangles denote the estimated date of anti-SARS-CoV-2 antibody development for the earliest three cases; pink rectangles estimate the date of infection of Cases 1-3. The hatched rectangle shows the 95% confidence interval for the date range for infections for Cases 1-3, two to four serial intervals earlier.
Supplementary Files

This is a list of supplementary files associated with this preprint. Click to download.

- SupplementaryFigures.docx