Enhancement of orbital ordering and spin polarization by controlling the dimensionality of the octahedra network

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Two-dimensional (2D) systems have been widely investigated with perovskite superlattices because they show excellent epitaxy. A challenging question is naturally raised: whether lower dimensionality, e.g., one dimension (1D) and zero dimension (0D), can be achieved by perovskites? In this work, we propose a way to control the dimensionality of the octahedra network in perovskite superlattices by selecting the substrate orientation and superlattice period. Taking SrTiO3/SrRuO3 as an example, we demonstrate that the 1D structure is in a 1D Ising state, which is paramagnetic, while the 0D structure is ferromagnetic insulator with fully saturated magnetic moment on the Ru sites. New phenomena in the magnetic and electronic properties are observed, including large strain response, half-metallicity, and orbital-selective quantum confinement effects.

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INTRODUCTION

Heterojunctions of two perovskites can be now fabricated in an atomically flat fashion with the advent of the state-of-the-art pulse laser deposition. It provides an effective artifice to confine the electron motion within two dimension. Numerous remarkable properties have been discovered in these systems, e.g., two-dimensional electron gas, multiferroics, the coexistence of ferromagnetism and the superconductivity, etc.1,2 making it a sustaining attractive topic in the last decade. One representative example is the SrRuO3/SrTiO3 (SRO/STO) hybrid system. A two-dimensional (2D) half-metallic state can be built by simply embedding a single layer of metallic SRO into the insulating STO.3 Moreover, the magnitude of Ru magnetic moment in such superlattice has been proved tunable according to the applied strain.4,5 Manipulating the electronic and magnetic properties in this system sheds lights on the future design of functional devices.

Although most of the previous studies investigated thin films grown on conventional (001)-oriented substrates, recent works have shown that thin film properties can be improved by applying different substrate orientations.6,7 The superexchange interaction strength of BiFeO3 can be weakened by using a (111)-oriented substrate.6 Also, the octahedra tilt pattern for NdNiO3 can be changed by growth on a (111)-oriented LaAlO3 substrate, which leads to a noncentrosymmetric metallic phase.7 Therefore, choosing the correct substrate orientation is one of the key issues in material design. Here we report that by using substrates with different crystallographic orientations, one can control the dimensionality of the connection of the octahedra network in the superlattice (shown in Figure 1). These systems have distinct advantages compared with the nano systems. (i) They are well embedded in the bulk materials and therefore have nice contact. (ii) The B-site ion is selective by changing the mother compound to get a certain required property, e.g., proper exchange or correlation strength between sites, which provides flexibility for novelty.

Traditionally, dimensionality lower than 2D, i.e., one-dimension (1D) or zero dimension (0D), is achieved with the nano fabrication of nanowires and nanotubes (1D), or nano clusters like C60 (0D) and quantum dots. Most of these structures are dissociative units and difficult to have an excellent contact with another material like an electrode, which limits their application. On the other hand, several nanostructures, e.g., nano lines, have been observed by scanning tunneling microscopy on STO (001) and (110) surfaces.8,9 These nanostructures provide a hint that perovskites have inherent advantage to be the base of low dimensional systems. How to build a lower dimensional system that manifests distinct macroscopic properties is a challenge.

In the bulk ABO3 perovskites, the BO6 octahedra form a three-dimensional network spanning throughout the space. The dimensionality of the BO6 octahedra network can be changed by forming interface with a superlattice construction. When the ABO3/A'B'O3 type superlattice is grown on a conventional (001)-oriented substrate, the BO6 octahedra form a two-dimensional network, see Figure 1a (here we assume the superlattice periodicity is one by one). Each BO6 octahedron connects with four BO6 octahedra along one (110)-oriented direction, i.e., the growing direction, the connection is truncated by the upper and lower B'O6 octahedra. By selecting proper B and B' element, 2D electron gas is formed in structures of this type.3,10 When the (110)-oriented substrate is used, the growing direction deviates by 45° from the local z axis of the octahedron coordinates. Each BO6 octahedron connects with two BO6 octahedra along one of the in-plane axes, for example the y axis in Figure 1b), which connects octahedra arrays parallel to the y axis. Recent study has observed large anisotropy in the transport property of the 2D electron gas in the (110)-oriented LaAlO3/SrTiO3 system,11,12 and may be explained by the low-dimensional octahedral network. Finally on the (111)-oriented substrate, the superlattice has a 0D...
RESULTS

In this work, we select SRO and STO as the two parent materials to build the superlattices, with the idea that the relative extended Ru 4d states are truncated by the localized Ti 3d states.\(^5\) In this sense, we realize for the first time a systematic manipulation of the Ru 4d electron from an extended 2D state to a confined 1D chain state, and finally down to a localized 0D state. The magnetic moment on the Ru site is enhanced and controllable. A sharp ferromagnetic-to-paramagnetic transition is noticed theoretically, which is an indication of the Ru 4d site to be fully saturated as 2\(\mu_B\) in the ionic limit (\(t_{2g}^{\uparrow}\), \(t_{2g}^{\downarrow}\)). Experimentally, however, the measured magnetic moment in this system typically ranges from 0.8 to 1.6\(\mu_B/\text{Ru}\), rather than fully saturated. This can be attributed to the enhanced spin fluctuations due to the electron itineracy. This means that if one can suppress the metallicity, the magnetic moment will increase. In this sense, the 0D configuration is an ideal structure to serve this goal as it isolates each of the RuO\(_2\) octahedra, making the electrons difficult to hop between Ru 4d and \(t_{2g}\) orbitals. On the other hand, the electron hopping is confined within the octahedra chain along the y axis for the 1D superlattice, while difficult to happen along the other axes. This leads to a unique hybridization situation between Ru and the surrounding oxygens, which provides an opportunity to study the 1D metallic Ising chain.

[SRO]/[STO] superlattice samples are grown on (001)-, (110)- and (111)-oriented STO substrates using pulse laser deposition method. All the samples have a superlattice period of 1:1. The quality of the epitaxial film is strictly controlled in experiments, as that described in our previous work. The M-T and M-H hysteresis loops are measured using Superconducting Quantum Interference Device (SQUID), plotted in Figure 2. As it is known, the finite temperature solution for the 1D Ising model is a paramagnetic state, which is in agreement with our measurement. However, although small, there is non-vanishing magnetic moment in our 1D sample. This is owing to the extended character of the 4d electrons. There is interaction between neighboring RuO\(_2\) chains, which draws the system back from truly 1D. The system is close to insulating and therefore feasible to be described by the Heisenberg model. In this case, the system should hold a fully spin-polarized state, i.e., 2\(\mu_B/\text{Ru}\). The measured magnetic moment has an increase compared with the 0D measurements, which may also be owing to the extended feature of the 4d electron, or the disorder in our sample during thin film fabrication.

To get insight into the current results, we performed further investigation systematically with the 0D superlattice. Samples of [SRO]\(_m\)/[STO]\(_n\) with different superlattice periods \((m:n = 1:1, 1:2, 2:1, 3:2)\) were grown on the STO substrates using pulsed laser deposition method. All the samples have a superlattice period of 1:1. The quality of the epitaxial film is strictly controlled in experiments, as that described in our previous work. The M-T and M-H hysteresis loops are measured using Superconducting Quantum Interference Device (SQUID), plotted in Figure 2. As is known, the finite temperature solution for the 1D Ising model is a paramagnetic state, which is in agreement with our measurement. However, although small, there is non-vanishing magnetic moment in our 1D sample. This is owing to the extended character of the 4d electrons. There is interaction between neighboring RuO\(_2\) chains, which draws the system back from truly 1D. The system is close to insulating and therefore feasible to be described by the Heisenberg model. In this case, the system should hold a fully spin-polarized state, i.e., 2\(\mu_B/\text{Ru}\). The measured magnetic moment has an increase compared with the 2D case, however, it is still smaller than the predicted value. This may also be owing to the extended feature of the 4d electron, or the disorder in our sample during thin film fabrication.

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and 3:3 on the (111) substrate are prepared to clarify the observed phenomena. To have theoretical contrast, density functional theory simulation is also performed. The magnetic moments of these superlattices are shown in Figure 2b. The results in experiment and simulation show good consistency, both revealing obvious increase in the magnetic moment. Especially for the 1:2 and 2:1 case, density functional theory shows that the magnetic moment in the supercell is close to saturation, although the magnetic moment for the 1:2 superlattice shows considerable discrepancy from the theoretical value in our experiments. This is probably owing to the imperfection in the sample: Along the growing direction of (111)-oriented thin film, there are two consecutive charged atomic planes, (SrO)3 and Ti4+/Ru4+ planes, respectively. Therefore, a certain extent of intermixing at the interface during the growth of superlattice should be expected, which could lead to a departure in the results.

The Ru magnetic moment varies as the superlattice period changes. The matched results in experiment and theory grant us the opportunity to explore the evolution of magnetic moment in this system. First of all, the 1:1 superlattice shows unexpected small moment. This can be caused by the small but non-vanishing coupling between Ru ions. We check this by theoretically increasing the thickness of STO layer. The 1:2, 1:5 and 1:11 superlattices all represent a near saturated moment. The special 1:1 case out of all other 0D superlattices shows that owing to the competition between the Ru distance and the vanishing length of the Ru-4d electrons, the coupling in this system is subtle. There are other ways to check the moment versus Ru–Ru distance. For example, one can double the x–y scale of the supercell, while keep only one Ru atom in the supercell. Tensile strain is also a decent candidate to manipulate the Ru–Ru distance. These will be discussed in the next section.

The magnetic moment from 0D to derived 0D varies with respect to the superlattice period. The values for 1:1, 2:1 and 3:3 are 0.02, 1.75 and 0.87 μB/Ru, respectively. The moment in the 2:1 case increases, even exceeds the bulk value of ~1.03 μB/Ru. This qualitatively agrees with the previous experimental results that the (111)-oriented SRO thin films possess a larger magnetic moment. We examine the magnetic moment of each Ru ion (integrated within the Wigner–Seitz radius of 1 Å) in the 2:1 and 3:3 structures (denoted in Figure 3a). For the 2:1 case, both Ru ions are located at the interface, with the magnetic moment of 1.2 μB. For the 3:3 case, there are two interfacial Ru ions and one at the center. The magnetic moment of the interfacial Ru is 0.76 μB while that of the center one is 0.18 μB. From these results, one finds that the (111) interface has different impacts on the interfacial Ru moment and the internal ones. The magnetic coupling between Ru atoms in this system is complicated.

To see the interface effect in detail, we change the thickness of the SRO layer while keeping that of STO layer fixed. Results in Figure 3b clearly shows that the average magnetic moment drops when m is larger than 2. If we look into the magnetic moment projected onto each Ru ion at different layers, the vibration feature is observed in Figure 3c. The largest magnetic moment is always found at the interface. For m = 1 and m = 2 structures, the interfacial moment is similar, ~1.2 μB. For m > 2, the interfacial moment slightly drops to ~1 μB whereas that for the internal Ru atoms ranges from 0.14 μB to 0.88 μB. The Ru atom adjacent to the interfacial one generally undergoes suppression in the magnetic moment. As the SRO thickness increases, the internal Ru moment gradually returns to the bulk value at the fifth layer off the interface.

For the ultrashort 1:1 superlattice, the magnetic moment is unexpectedly suppressed. Theoretically, the magnetic property of this structure is subtle. When the structure has perfect inversion symmetry, the magnetic moment is quenched; when the symmetry is artificially broken by slightly displacing the atoms in the z direction, a small but noticeable magnetic moment of ~0.11 μB/Ru is found. As in practice, perfect inversion symmetry is broken by the thin film substrate. Therefore, the interface and the surface, the quenched-spin state is usually concealed. Therefore, a small magnetic moment should be expected in this structure.

As is discussed before, both the measured and theoretical magnetic moment for the Ru chains in the (110)-oriented thin film is not strictly zero which is predicted for ideal 1D Ising chain. A residue moment of 0.03 μB is found on the Ru atom. We attribute this to the non-vanishing coupling between neighboring RuO6 chains owing to the relatively extended feature of the Ru-4d electrons. To verify this guess, the relationship between Ru-moment and Ru–Ru distance should be examined. The supercell method is used. The cell dimension along a is doubled, and one of the two Ru atoms is replaced by Ti atom (i.e., one Ru and three Ti atoms are contained in the supercell). In this way, the distance between neighboring RuO6 chains is doubled. The calculated Ru moment in this structure is zero. It vindicates our assumption that the residue moment originates from the coupling between the RuO6 chains owing to the extended Ru electrons. Similarly, we find that the non-saturated moment for the 0D (1:2) structure is also attributed to the extended feature of the extended feature of the Ru electrons. When the distance of Ru atoms is doubled by expanding the unit cell, the fully saturated magnetic moment of 2 μB is found. Note that the on-site Coulomb correlation is turned off in our calculation. Thus such fully saturated state indicates that the Ru4+ ion achieves the upper-limit of the low-spin state (t2g 1, t2g 1). The system is turned from an...
itinerant ferromagnetic state into the localized ferromagnetic state, maximizing the magnetic moment.

Experimentally, however, it is not practical to double the unit cell size and form such regular supercell structures. One effective way to change the distance between neighboring RuO$_6$ chains (or RuO$_6$ octahedra) in the 1D (0D) structure is to apply the epitaxial strain. Therefore, we investigate the strain effects. We artificially change the in-plane lattice constant of the structure and check the evolution of the magnetic moment. The results are plotted in Figure 4. It is clear that when the Ru–Ru distance increases, the magnetic moment in the 1D structure drops to zero, whereas that in the 0D structure increases to fully saturate. Note that in the strained cases, not only the distance between neighboring Ru atoms is changed, but also the local environment within the octahedron (i.e., the Ru–O bond lengths) is also changed. Therefore the strain effect has combined contributions from these two effects. The overall trends are in agreement with the supercell method, confirming that our theory works well in these systems.

On the other hand, the magnetic moment for the 0D structure in Figure 4 drops sharply under a compressive strain, which is consistent with previous results found by Zayak et al. in bulk SRO. In our case, the change is rather significant, from a nearly fully saturated value, ~1.80 $\mu_B$, to zero, which suggests the potential application of the 0D structure.

**DISCUSSION**

We examine the density of states (DOS) to check the spin polarization. Figure 5 shows the DOS projected on the Ru-$4d$ orbitals (pDOS) for different structures. Owing to crystal field splitting and the four-electron occupation condition, the Ru-$t_{2g}$ orbitals dominate the physics near the Fermi level. The most interesting result is that for the 0D superlattice, shown in Figure 5a. The spin-up channel of the $t_{2g}$ orbitals are nearly fully occupied, whereas the spin-down orbitals are occupied by the remaining electrons in an orbital-selective way. To check the...
isolated by TiO$_6$. The localized feature can be noticed in the partial number of 4 these bands is broadened and hybridized with the O-2p bands. As

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shows that the 0D structure is insulating within the whole channel disappears.

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emerge at higher energy and the Fermi level is lifted upward. In

Such orbital-selective electron occupation is caused by the quantum confinement effect in 0D system. As indicated from the structure analysis, in the 0D structure, the RuO$_6$ octahedra are isolated by TiO$_6$. The localized feature can be noticed in the partial DOS plotted in Figure 5c: Three localized peaks correspond to the van-Hove singularity of the truncated d$_{xy}$, $d_{xz}$, $d_{yz}$ orbitals, respectively. In the derived 0D case (2:1), as the thickness of the SRO layer increases, the three localized peaks still exist. The band width of these bands is broadened and hybridized with the O-2p bands. As the number of 4$d$ electrons increases, three extra sharp peaks emerge at higher energy and the Fermi level is lifted upward. In this way, the orbital selective occupation feature for spin-up channel disappears.

We experimentally measured the transport properties of our samples. The comparison between the 0D and the derived 0D is interesting. The temperature dependence of the resistivity clearly shows that the 0D structure is insulating within the whole measured temperature region. On the other hand, at high temperature, the derived 0D structure behaves as a metal, and when $T < T_c = 88$ K, this structure undergoes a metal-to-insulator transition. This experimental result seems to contradict the theoretical calculation, which always show non-vanishing DOS at the Fermi level. Though this inconsistency is usually the case for the SRO thin film system, one may resort to the semi-empirical correction of the exchange correlation to the LDA model, e.g., LDA+$U$. This may work; however, its result depends on a tunable parameter $U$ and loses the generic meaning. In this work, we use the hybrid functionals (HSE06) in the calculation, to include the more precise electron–electron correlation self-consistently. After including this correction, the theoretical and experimental results show excellent agreement: A band gap is opened in the DOS of 0D structure, while the derived 0D structure is still metallic, shown in Figure 6.

Finally, comparison between our theoretical and experimental results indicates that when considering the transport property of the SRO/STO system, the electron correlation should be taken high effective on-site Hubbard correlation ($U_{\text{eff}} = 4$ eV). On the other hand, when considering the magnetic property, this contribution should be excluded to reflect the correct trend of magnetic moment change. This can be a clue to solve the debate on the contradicting coercive field between magnetic hysteresis measurement and transport measurement, which is beyond the scope of this study.

In summary, we have theoretically designed and experimentally achieved the control of magnetic properties for the SRO/STO hybrid system. The magnetization is suppressed in the 1D structure, consistent with a 1D Ising chain model. Enhancement of Ru magnetic moment is observed at the interface of the 0D and derived 0D structures. An interesting orbital-selective quantum confinement effect is observed in the 0D structure, which results in lifting of the Ru $t_2g$ orbitals, localizing these states below Fermi level. In all, the magnetic and electronic properties are subtle because the Ru-Ru distance is controlled to a comparable range to the vanishing length of Ru 4$d$ electron.

**MATERIALS AND METHODS**

**Ab initio calculations and analysis**

We perform first-principles density functional theory calculations within the local density approximation plus on-site Coulomb interaction (LDA+$U$) as implemented in the Vienna Ab Initio Simulation Package$^{16}$ with the projector augmented wave$^{19}$ method to treat the core and valence electrons with the following electronic configurations: $3s^23p^64s^2$ for Sr, $4p^65s^24d^7$ for Ru, and $2s^22p^4$ for O. We use a plane-wave cutoff energy of 500 eV and the Γ-centered Monkhost–Pack k-point mesh to converge the total energy within 0.01 eV. Correlation effect of Ru-4$d$ electrons is taken into account with an effective $U_{\text{eff}} = 2.1$ eV within the Dudarev scheme.$^{20}$ The value of $U_{\text{eff}}$ is consistent with the previous studies,$^{8,17}$ giving a reliable description to this system. To exclude the ambiguity brought by the selection of $U$ and have more accurate results, we also perform the HSE06 type hybrid functionals$^{21}$ calculations. As tested in the previous study,$^{5}$ the hybrid functionals calculation predicts a correct itinerant ferromagnetic ground state for SRO. In the current study, it shows the best consistency to the transport measurement, as shown in Figure 5.
Experimental setups

For the growth of 0D and derived 0D SRO system, [SRO]/[STO] superlattice samples are grown on (111)-oriented STO substrates using laser-MBE at 750 °C, with the repetition frequency (2 Hz) and the constant laser intensity of 60 mJ/3.4 mm². All the samples were grown under an oxygen pressure of 0.005 mbar. The growth of superlattice films was monitored by reflection high energy electron diffraction, which showed a layer-by-layer growth mode. Atomic force microscopy was used to probe the roughness of these samples, which shows very smooth terminations with the surface roughness less than 1 unit cell. The samples were characterized by means of X-ray diffraction results also demonstrate the good quality of the beamline BL14B1 of Shanghai Synchrotron Radiation Facility, at room temperature. X-ray diffraction results also demonstrate the good quality of superlattice thin films. The electronic transport properties and magnetic properties were measured by physical property measurement system, vibrating sample magnetometer, from which the resistance was extracted as a function of temperature ranging from liquid helium temperature to room temperature, shown in Figure 5, as well as average magnetic moment that each Ru owns.

To be restricted, when sample is grown along the crystallographic direction other than [001], surface reconstruction might occur at the interface, however, we assume that the contribution from the interface is minor compared with that from the superlattice thin film and thus negligible. The contributions from surface reconstruction, vacancies, or intermixing should be examined in the following investigation.

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COMPETING INTERESTS

The authors declare no conflict of interest.

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