Investigation of the Sloshing Behavior Due to Seismic Excitations Considering Two-Way Coupling of the Fluid and the Structure

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Abstract: Sloshing behavior due to near-fault type and earthquake excitations of a fluid in a tank having a highly deformable elastic structure in the middle was investigated experimentally and numerically in this paper. In the numerical model, fluid was simulated with smoothed particle hydrodynamics (SPH) and structure was simulated with the finite element method (FEM). The coupling was satisfied with contact mechanics. The $\delta$-SPH scheme was adapted to lower the numerical oscillations. The proposed fluid-structure interaction (FSI) method can simulate the violent fluid-structure interaction problem successfully. The effects of near-fault type and earthquake excitations on free-surfaces of fluid and the elastic structure are presented.

Keywords: fluid-structure interaction; FSI; smoothed particle hydrodynamics; SPH; contact mechanics; near-fault type excitation; earthquake; seismic excitation

1. Introduction

Sloshing, a highly nonlinear free-surface movement of fluid in partially filled tanks, is an important subject in fluid-structure interaction (FSI) problems. Violent sloshing occurs in partially filled tanks during earthquakes can cause dynamic loads on the tank structure. To prevent structural damage, a proper prediction of forces due to sloshing is critical.

Sloshing is generally affected by the geometry of the tank, the frequency and the amplitude of the movement, the amount of the liquid on the tank and the properties of the liquid. Experimental and numerical studies have been conducted to investigate the effect of the dimensions and the elasticity of the base of the tank and the frequency of the fluid-tank system on the sloshing under harmonic excitations [1–10]. Seakeeping problems by considering sloshing in tanks were investigated numerically with smoothed particle hydrodynamics (SPH)-finite element method (FEM) coupling [11]. A particle-based FSI solver was proposed to solve sloshing flows in roller tanks with elastic baffles [12]. Researchers have studied sloshing due to earthquake excitation experimentally and numerically [9,13–17]. There are more recent studies investigating sloshing due to seismic excitation that consider the effect of the interaction between the fluid and the structure [18–20].

In the present study, sloshing due to seismic excitation was investigated both experimentally and numerically. Real earthquake data was applied to a tank that had an elastic buffer in the middle. Various numerical models to couple fluid-structure interaction problems, such as MPS-FEM coupling [21] or immersed boundary methods combined with volume of fluid or level-set approaches [22–24], have been proposed in the literature [25]. In the present numerical model, smoothed particle hydrodynamics was coupled with the finite element method, and the coupling was satisfied with contact mechanics [26,27]. SPH, a Lagrangian and mesh-free method, was originally developed to simulate astrophysical problems [28,29]. SPH has successfully been applied to different scientific areas including fluid, soil...
and structural mechanics, impact problems etc. [30–32]. Recently, SPH was coupled with FEM to solve FSI problems. The first study coupling SPH and FEM was conducted to couple the interaction between two structures [33]. Two bars were impacted and SPH particles were treated as the nodes of the finite element. The coupling was satisfied with a master-slave algorithm, in which if an SPH particle assigned as a slave node enters the structural domain assigned as master node, this SPH particle is expelled from structural domain by conserving linear and angular momentum. In addition, the velocity components perpendicular to the surface were assumed to be the same [34,35]. The biggest disadvantage of a master-slave algorithm is the necessity of determining the master and slave surfaces, which increases the computational time. In one study [36], a contact algorithm allowing frictionless sliding between SPH particles and finite element was proposed. In this algorithm, finite element nodes were treated as SPH particles and a particle to particle contact approach was applied. Contact surface did not need to be defined. A continuous blending method was adapted to satisfy the contact between fluid and structure [37]. In the method, there were three regions. One region had only FE elements, the other region had only SPH particles. In the third region, both SPH particles and FE nodes were located. This region was called the transition region and the continuous blending method, originally developed for the coupling of moving least square and FEM, was applied. The shape function used for the continuous blending method is hard to get and computational cost is high [38]. Similarly, by adding FE nodes to the SPH neighbor list, SPH-FEM was coupled and contact force was calculated from the SPH particle to particle contact algorithm [38]. Contact occurs when the distance between an FE node and an SPH particle is less than two times the smoothing length; this is not similar to the reality. To lower the computational time of SPH-FEM, a searching algorithm was proposed [39]. In a more recent study, a weak coupling strategy, in which both SPH and FE solvers were treated as black boxes and a coupling program was used to exchange fluid pressures and nodal positions, was adapted [40]. Although the implementation is easy, there is no control over FE solver, which may be considered to be a disadvantage. A ghost particle method was proposed to complete the kernel and satisfy the first order consistency near the boundaries in SPH-FEM [41]. Since ghost particles were defined at every time step, the computational cost is relatively high.

The aim of the present study is to investigate sloshing due to the seismic excitations with the SPH-FEM method developed by the author [27]. The coupling of fluid and structure is satisfied with contact mechanics. Due to the rapid displacement of the ground, simulating an FSI problem under heavy earthquake excitation is difficult. Earthquake excitation is highly transient. It may cause high deformation on the structure interacting with fluid. In addition, the direction of the earthquake excitation is not constant and can change at any instant. Therefore, numerical method should be very stable in order to simulate the FSI problem under earthquake excitation. The numerical model should also capture the complex behavior of free-surfaces, which is hard if FEM is used instead of particle methods for simulating fluid flows. To the best of the author’s knowledge, this is the first study investigating sloshing behavior of the water as well as the deformation of the elastic plate interacting with water due to both near-fault and earthquake excitations by coupling SPH and FEM with contact mechanics.

This paper is organized as follows. Firstly, the equations of \(\delta\)-SPH are shown and the method is explained briefly. Secondly, the finite element model is described. Thirdly, the SPH-FEM coupling algorithm is presented. Finally, the experimental setup is demonstrated and numerical results are compared with the experimental data followed by the conclusions.

2. Numerical Models

2.1. Smoothed Particle Hydrodynamics

In SPH, a field function \(f\) at position \(r_i\) and its derivative can be approximated in the following form:

\[
\langle f(r_i) \rangle = \sum_j \frac{m_j}{\rho_j} f(r_j) W(r_i - r_j, h) \tag{1}
\]
\[ \langle \nabla \cdot f(r_i) \rangle = \sum_j \frac{m_j}{\rho_j} f(r_i) \nabla W(r_i - r_j, h) \]  

(2)

where \( m \) and \( \rho \) denote mass and density, respectively, \( W(r_i - r_j, h) \) is the kernel function, and \( h \) is the smoothing length. Hereinafter, \( W(r_i - r_j, h) \) is referred to as \( W_{ij} \). The choice of the kernel function affects the accuracy of the solution. A detailed discussion about the kernel function can be found in [30, 42]. In the present work, cubic spline kernel is employed by taking \( h = 1.33dx \), where \( dx \) is the initial particle spacing.

The Lagrangian form of Navier-Stokes equations can be shown as:

\[
\frac{dp}{dt} = -\rho \nabla \cdot u \tag{3}
\]

\[
\rho \frac{du}{dt} = -\nabla p + \mu \nabla^2 u + g \tag{4}
\]

where \( \frac{d}{dt} \) is the time derivative operator, \( u \) is the velocity vector, \( g \) is the gravitational acceleration, \( p \) is the pressure, and \( \mu \) is the dynamic viscosity. In SPH, for stability reasons, an artificial viscosity term was used instead of the dynamic viscosity shown in Equation (4). In the present work, the \( \delta \)-SPH scheme proposed by [43] was used. In \( \delta \)-SPH, an artificial diffusion term was added into the continuity equation to remove the numerical oscillations in the pressure field. The \( \delta \)-SPH scheme and other governing equations can be shown as follows [31, 44, 45]:

\[
\frac{dp_i}{dt} = -\sum_j m_j u_{ij} \nabla_i W_{ij} + \delta h_0 \sum_j \varphi_{ij} \frac{m_j}{\rho_j} \nabla_i W_{ij} \tag{5}
\]

\[
\rho_i \frac{du_i}{dt} = -\sum_j m_j (p_i + p_j) \nabla_i W_{ij} + \rho_i g_i + 0.02h_0 \rho_0 \sum_j \pi_{ij} \frac{m_j}{\rho_j} \nabla_i W_{ij} \tag{6}
\]

\[
\varphi_{ij} = 2(\rho_j - \rho_i) \frac{r_{ij}}{|r_{ij}|} - [\langle \nabla \rho \rangle_i^L + \langle \nabla \rho \rangle_j^L] \tag{7}
\]

\[
\pi_{ij} = \frac{(|u_i - u_j| \cdot r_{ij})}{|r_{ij}|^2} \tag{8}
\]

\[
p_i = c^2 (\rho_i - \rho_0) \tag{9}
\]

\[
\frac{dr_i}{dt} = u_i + 0.05 \sum_j m_j \left( \frac{u_i - u_j}{\rho_i} \right) W_{ij} \tag{10}
\]

where \( \langle \nabla \rho \rangle^L \) is the renormalized density gradient and calculated by [46]:

\[
\langle \nabla \rho \rangle^L_a = \sum_j \frac{m_j}{\rho_b} (\rho_b - \rho_a) L_a \nabla_a W_{ab} \tag{11}
\]

\[
L_a = \left( \sum_b \frac{m_b}{\rho_b} \rho_{ab} \nabla_a W_{ab} \right)^{-1} \tag{12}
\]

where \( \delta \) is used to control the intensity of density and taken 0.1. An in-depth analysis about the choice of \( \delta \) can be found in [46]. According to Equation (10), the fluid is assumed as slightly compressible, and in the equation, \( c \) is the speed of sound and taken as much lower than the original speed of sound. According to [47] the square of the sound of speed should be compatible with the following equation:

\[
c^2 = \max \left( u_{b_i}^2 \frac{\rho_0}{\Delta \rho}, \frac{\mu_{b_i} \rho_0}{\Delta \rho}, \frac{f_i \rho_0}{\Delta \rho} \right) \tag{13}
\]
where \( F \) is the magnitude of external force, \( l \) is the length scale, \( \dot{u}_b \) is the fluid bulk velocity, and \( \Delta \rho \) is the absolute density variation.

Differential equations were integrated with the leap-frog algorithm and the maximum time step was calculated from the Courant-Friedrichs-Lewy (CFL) stability condition based on maximum velocity [48].

The boundary condition proposed by [49] was adapted, where the deformation of the structure was not important. Boundary particles were assigned at the solid boundaries and they exerted a repulsive force to the fluid particles. At the beginning of the simulation, the local unit normal vector that pointed from the boundary to the fluid was defined. While a fluid particle moves from one boundary particle to another, a constant boundary force was applied.

In the regions where structural deformation is important, contact mechanics is used. Slip boundary condition in which SPH particle slides on the solid structure during contact is preferred. The velocity component in local tangential direction is zero and skin friction is not observed. Therefore, the parallel movement of an SPH particle is not disturbed [27].

2.2. Finite Element Method

Finite element is a well-known numerical method used to solve problems in various areas [50]. To simulate structural part of FSI problem, FEM is employed in this study. The Lagrangian formulation of FEM for nonlinear structural analysis can be followed in [50].

The governing equation of structural domain is written as:

\[
\ddot{u} + C \dot{u} + K u = 0
\]

where \( M, C, \) and \( K \) denote the mass, damping, and stiffness matrices, respectively, and \( \ddot{u}, \dot{u}, \) and \( u \) are the acceleration, velocity, and displacement vectors, respectively. As can be seen in the equation, damping is included in the numerical calculations knowing that damping is not very effective for inertia-driven problems containing continuous interaction [26]. Rayleigh damping proportional to a linear combination of mass and stiffness was used:

\[
C = b_0 M + b_1 K
\]

where \( b_0 \) and \( b_1 \) are constants of proportionality. For more detailed information, the study of [51] can be investigated.

2.3. SPH-FEM Coupling

Interaction between fluid and the structure is satisfied with contact mechanics in which overlapping of fluid and structural domain was eliminated. In the FSI problem simulated with the proposed SPH-FEM method, there are fluid particles and a deformable structural body. At the beginning of the interaction, fluid particles were allowed to invade the structural domain, but these particles were expelled from the structural domain with the node to line equation of contact mechanics. The following equation developed for node to line contact mechanics was solved to represent the behavior of fluid and the structure under interaction [27]:

\[
\begin{bmatrix}
\left(K_\text{solid}(i^{-1})\right)_{t+\Delta t} & 0 & 0 \\
0 & \left(M_p(i^{-1})\right)_{t+\Delta t}^T & 0 \\
0 & 0 & \left(K_\text{fluid}(i^{-1})\right)_{t+\Delta t} \\
\end{bmatrix}
\begin{bmatrix}
\left(\Delta u\right)_{i}^{(i)} \\
\left(\Delta \lambda\right)_{i}^{(i)} \\
\end{bmatrix}
\begin{bmatrix}
\Delta \lambda_{\text{solid}}^{(i)} \\
\Delta \lambda_{\text{fluid}}^{(i)} \\
\end{bmatrix}
\end{bmatrix}

= \begin{bmatrix}
\begin{bmatrix}
\left(R\right)_{t+\Delta t} \\
0 \\
0 \\
\end{bmatrix}
- \begin{bmatrix}
\left(F(i^{-1})\right)_{t+\Delta t} \\
0 \\
0 \\
\end{bmatrix}
+ \begin{bmatrix}
\left(R\right)_{t+\Delta t} \\
0 \\
0 \\
\end{bmatrix}
\end{bmatrix}
\]
where \((K^{(i-1)}_{\text{solid}})_{t+\Delta t}\) is the tangential stiffness matrix of the solid structure in which geometrical nonlinearity is included, \((K^{(i-1)}_{\text{contact}})_{t+\Delta t}\) is the contact stiffness matrix, \((M_p^{(i-1)})_{t+\Delta t}\) is the mass matrix of the SPH particles, \(\Delta u^{(i)}_{\text{solid}}\) and \(\Delta u^{(i)}_{p}\) are the incremental displacements vectors, \(\Delta \omega^{(i)}\) is the incremental contact forces vector, \((R)_{t+\Delta t}\) is the total applied external loads vector, \((F^{(i-1)})_{t+\Delta t}\) is the equivalent nodal forces vector, \((R^{(i-1)}_c)_{t+\Delta t}\) is the contact forces vector, and \((\Delta u^{(i)}_{p})_{t+\Delta t}\) is the overlap’s vector. By solving this equation, displacements of SPH particles and the structure are obtained.

Contact force, displacements of the structure, and the fluid particles invading the structural domain were calculated from Equation (16). Contact force was applied to the structural nodes and according to the corresponding displacement vector, SPH particles were expelled from the structural domain in a single iteration. Iteration indices were used just for the sake of completeness.

In the numerical simulations, the convergence tolerance is taken as the minimum of \(1.0 \times 10^{-6}\) and \(0.0001 \times \text{residual force}\). Since the time step was very small due to the explicit solution of SPH, convergence criteria was satisfied within a single iteration.

Shifting the surface of the structural domain (SSOSD) was used to prevent possible instabilities near the surface of the structure. In SSOSD, an intermediate boundary layer shown in Figure 1 was defined. When a fluid particle invades this intermediate domain, it was relocated according to the following equation:

\[
\Delta u^{(i-1)}_{\text{new}} = \Delta u^{(i-1)} - d + e \left( \frac{\Delta u^{(i-1)}_{p}}{\text{area}} \right) d
\]

(17)

where \((\Delta u^{(i-1)}_{p})_{n}\) is the overlap of particle \(p\) in local normal direction \(n\) of the boundary surface, \((\Delta u^{(i-1)}_{\text{new}})_{n}\) is the new assumed overlap (which is the amount of displacement to be applied to particle \(p\)), and \(d\) is a flow dependent vector in local normal direction. This parameter defines the depth of the boundary violation. The upper and lower limits of \(d\) is explained in [27]. Hydrodynamic variable of the relocated SPH particle should be modified according to its new position.

\[
\omega_{\text{new}} = \omega_1 + \Delta u^{(i-1)}_{\text{new}} \cdot (\nabla \omega)_1
\]

(18)

where \(\omega_1\) is a general hydrodynamic variable.

**Figure 1.** Illustration of fluid and structural domains in surface of the structural domain (SSOSD).

In contact mechanics, fluid and structure are coupled and simultaneously solved together (strong coupling); thus, predictive corrective steps are not necessary and the completeness of the whole system is guaranteed. In addition, a continuous boundary is used by shifting the original boundary of the structure which prevents bunching of suspended particles.
3. Numerical Results

An experimental setup was developed to investigate the behavior of a highly elastic plate in a water tank under earthquake excitation. The experimental setup can be seen in Figure 2. A water tank was fixed on a shake table, which was connected to a computer. A motion was transmitted to the shake table with software compatible with the shake table. The acceleration of the shake table was also recorded with a single axis DC response accelerometer, which was also connected directly to the computer. There was no amplifier between the computer and the accelerometer.

The fluid was water, having a density of 1000 kg/m$^3$, dynamic viscosity of 0.001 Pa, and bulk modulus of 2.2 GPa. The free-surfaces of water and the deformation and displacement of the elastic plate under a ground movement were recorded. Average modulus of elasticity of the elastic plate was 10.0 MPa. The height and the width of the elastic plate were 8 cm and 0.3 cm, respectively. All the experiments were repeated five times. Free-surface profiles and the deformations of elastic plate obtained from repeated experiments were consistent.

In the numerical simulations, the number of SPH particles and finite elements for elastic plate were 13,146 and 120, respectively. The initial distance between SPH particles was 1.5 mm. Time step was controlled by the CFL stability condition. The depth of water in the tank is 10 cm. The parameters used in the numerical model are summarized in Table 1. The initial distribution of SPH particles and the initial mesh of the elastic structure are shown in Figure 3.

![Figure 2. Experimental Setup.](image)

![Figure 3. Initial particle and mesh distribution.](image)
3.1. Near-Fault Type Excitation

Seismic response of a structure due to near-fault excitation can be significantly different from the seismic response due to the far-field excitation [52]. Structures are exposed to high input energy in the beginning of the earthquake due to the ground motions in the near-fault region. Therefore, near-fault type excitations can considerably damage the structures [53]. In order to represent near-fault type excitation, two idealized pulses, one-cycle sine, and one-cycle cosine, shown in Figure 4, were utilized. Periods and maximum accelerations were the same for sine and cosine impulses.

| Parameter                                    | Value  |
|----------------------------------------------|--------|
| The height of the plate (cm)                 | 8.0    |
| The width of the plate (cm)                  | 0.3    |
| Modulus of elasticity of the plate (MPa)     | 10.0   |
| The initial distance between SPH particles (cm) | 0.15   |
| Max. time step (s)                           | 0.000016 |
| The number of SPH particles                  | 13,146 |
| The number of finite elements                | 120    |

Table 1. The parameters used in the numerical model.

In Figures 5 and 6, horizontal tip displacements of the elastic plate due to one-cycle cosine and one-cycle sine impulses are shown, respectively. As can be seen, simulated displacements were close to the experimental data. Similar displacement profiles were obtained for sine and cosine impulses. Maximum tip displacement due to one-cycle cosine was about 4 cm, whereas it was about 3.5 cm when one-cycle sine impulse was utilized. The second and the third tip displacement peaks were also higher for cosine impulse.

**Figure 4.** Idealized pulses to represent near-fault ground motion.

**Figure 5.** Horizontal tip displacement of the elastic plate due to one-cycle cosine impulse.
In Figures 7 and 8, free-surface profiles when cosine and sine impulses were utilized are given. The instants when the profiles are given were chosen according to the instants when peak displacements are observed. It is seen that simulated free-surfaces are similar to the experimental data. In addition, the behavior of the elastic plate is captured correctly.

Figure 6. Horizontal tip displacement of the elastic plate due to one-cycle sine impulse.

Figure 7. Free surface profiles under one-cycle cosine acceleration impulse for (a) experiment and (b) simulation.

(a) t=0.19 s
(b) t=0.42 s
(a) t=0.69 s
3.2. Earthquake Excitation

For the time history analysis, the 1940 El Centro earthquake (or 1940 Imperial Valley earthquake) was chosen. The acceleration spectrum is given in Figure 9. Applied ground motion with shake table is also compared with the measured one in Figure 10. It can be said that applied ground motion is the same with the measured one and the system works correctly.

Figure 8. Free surface profiles under one-cycle sine acceleration impulse for (a) experiment and (b) simulation.

Figure 9. Acceleration spectrum of the 1940 El Centro earthquake (or 1940 Imperial Valley earthquake).
Horizontal tip displacement of the elastic plate is given in Figure 11. The maximum displacement was approximately 2 cm, which is 25% of the total length of the plate. Although minor deviations were observed between the present numerical results and the experimental data, overall, the agreement was seen to be very good. In addition, horizontal tip displacements were compared when δ-SPH scheme and standard SPH algorithm were applied. As can be seen, they are very similar. In contact mechanics algorithm, some SPH particles located in the SSOSD or intermediate region are suspended. The forces on the structure are calculated through the displacement of SPH particles interacting with the structure. Since there are always suspended particles in the SSOSD region, smoother contact forces were obtained. Therefore, similar tip displacements were obtained when δ-SPH scheme and standard SPH algorithm were utilized.

Time history of the water level near the right wall is given in Figure 12. Sloshing length near the wall of liquid tank was found to change approximately 20%. According to the figure, it can be said that numerical model can capture the free-surfaces near the wall correctly.
Pressures (in Pascal) of SPH particles were colorized in the numerical results. At $t = 2.10$ s, maximum pressure was found to be 1000 Pa and it was observed near the right wall, whereas at $t = 5.17$ s, maximum pressure was calculated as 1200 Pa and it was observed both near the right wall and at the right side of the elastic plate. Free-surface profiles were simulated correctly though the complex ground motion. The simulated and measured profiles of the elastic rubber were also in good agreement.

Figure 12. Free-surface wave height near the right wall.

Free-surface profiles at arbitrarily chosen times are given in Figure 13. Pressures (in Pascal) of SPH particles were also represented better in the $\delta$-SPH scheme. Although the differences observed in pressure distribution were also represented better in the $\delta$-SPH scheme. In addition, pressure distribution was also represented better in the $\delta$-SPH scheme. Although the differences observed in pressure distribution, the deformations of elastic plate were similar. This is due to the fact that in the proposed method, the forces on the structure were calculated with contact mechanics. In the literature, most of the researchers calculated the forces on the structure from the pressures of the surrounding SPH particles, which would cause differences in the deformation profile of the structure, as explained above.

Figure 13. Free-surface profiles of (a) experimental data and (b) numerical results.

Figure 14 shows the pressure contours at $t = 1.44$ s. At the left side of the figure, $\delta$-SPH scheme is given, whereas at the right side, standard SPH equations are shown. As can be seen in the figure, pressures on the SPH particles were smoother in the $\delta$-SPH scheme. In addition, pressure distribution was also represented better in the $\delta$-SPH scheme. Although the differences observed in pressure distribution, the deformations of elastic plate were similar. This is due to the fact that in the proposed method, the forces on the structure were calculated with contact mechanics. In the literature, most of the researchers calculated the forces on the structure from the pressures of the surrounding SPH particles, which would cause differences in the deformation profile of the structure, as explained above.
Horizontal and vertical velocity profiles are shown in Figure 15. The results of δ-SPH scheme and standard SPH are shown in the left and right columns, respectively. The regions where maximum and minimum velocities were observed are similar. However, there were small differences in the velocity profiles. The magnitude of maximum velocity found with the standard SPH scheme was slightly higher.

4. Conclusions

In this study, sloshing behavior of a fluid due to near-fault and earthquake excitations in a rectangular tank that has a highly elastic plate in the middle was investigated by including fluid-structure interaction effects. For this purpose, new experiments were conducted. In the experiments, free-surface profiles of fluid and deformation of the elastic plate were recorded. In addition, simulations were utilized by coupling δ-SPH and FEM with contact mechanics. Results obtained from the proposed method showed good agreement with the experimental data under highly nonlinear seismic excitations. When contact mechanics were used as a coupling algorithm, pressure noise does not disrupt the behavior of elastic plate significantly, because forces on the structure are not calculated through the pressures of surrounding SPH particles. Moreover, it was found that although the magnitude of maximum input accelerations of near-fault type and earthquake excitations were similar, maximum tip displacement of elastic plate due to near-fault type excitation was twice the one obtained due to earthquake excitation.

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