Lattice QCD Constraints on the Nuclear Equation of State

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Abstract. Based on the quasi-particle description of the QCD medium at finite temperature and density we formulate the phenomenological model for the equation of state that exhibits crossover or the first order deconfinement phase transition. The models are constructed in such a way to be thermodynamically consistent and to satisfy the properties of the ground state nuclear matter comply with constraints from intermediate heavy–ion collision data. Our equations of states show quite reasonable agreement with the recent lattice findings on temperature and baryon chemical potential dependence of relevant thermodynamical quantities in the parameter range covering both the hadronic and quark–gluon sectors. The model predictions on the isentropic trajectories in the phase diagram are shown to be consistent with the recent lattice results. Our nuclear equations of states are to be considered as an input to the dynamical models describing the production and the time evolution of a thermalized medium created in heavy ion collisions in a broad energy range from SIS up to LHC.

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1 Introduction

QCD at the finite temperature $T$ and/or baryon chemical potential $\mu_B$ is of fundamental importance, since it describes the relevant features of particle physics in the early universe, in neutron stars and in heavy–ion collisions (see e.g. \textsuperscript{[12]}). With the relativistic heavy ion collision experiments at AGS, SPS and RHIC accelerators one explores the phase diagram of strongly interacting matter in a broad parameter range of temperature and baryon density. Lattice QCD results on the Equation of State (EoS) of QCD matter provide a basic input for the analysis of experimental signatures of a possible quark–gluon plasma formation in heavy–ion collisions. Directly addressing the EoS, hydrodynamics realizes the connection between the matter properties and observables. The hydrodynamic treatment of the whole time-evolution of colliding nuclei requires knowledge of the nuclear EoS within a large interval of its thermodynamic variables covering both quark–gluon and hadronic sectors.

In the recent years a significant progress has been made in understanding the phase diagram of QCD at non-zero baryon chemical potential as the nonperturbative lattice QCD methods were extended to access the relevant regions of the phase diagram. Recently, the first lattice calculations have been performed for a non-vanishing $T$ and $\mu_B$ for systems with $N_f = 2$ \textsuperscript{[4]} and $N_f = 2 + 1$ \textsuperscript{[4,5]} flavors. However, due to a set of approximation the Lattice Gauge Theory (LGT) is still not able to provide results on the properties of the hadronic matter in the confined phase. LGT is also restricted to moderate values of the baryon chemical potential $\mu_B$ such that $\mu_B \lesssim T$. That is why different phenomenological models are required to describe thermodynamic properties and equation of state of QCD matter for larger baryon densities. Obviously, such models depend on the set of parameters that are usually fixed to reproduce existing LGT results as well as the basic phenomenological properties of the nuclear matter obtained from the experimental data. Recently, the thermodynamics of the quark–gluon phase was interpreted quite successfully within the QCD inspired massive quasiparticle models \textsuperscript{[6,7,8,9,10,11,12,13,14]}. On the other hand, demonstrated by lattice calculations, a rapid growth of the energy density $\varepsilon$ and pressure $p$ when approaching the critical temperature $T_c$ was shown to be reproduced in terms of the hadron resonance gas model with scaled masses \textsuperscript{[15,16]}. Only recently there were attempts to describe lattice QCD thermodynamics both above and below $T_c$ in terms of a field theoretical model, including features of both deconfinement and chiral symmetry restoration \textsuperscript{[17]}, as well as within some phenomenological models that are based on lattice QCD results for the quark–gluon partition function \textsuperscript{[18]}. Some unique parametrization of the QCD EoS below and above $T_c$ was also presented in Ref. \textsuperscript{[19]}.

The phenomenological equation of state should be not only thermodynamically consistent \textsuperscript{[20]} but should also
be capable to reproduce the global behavior of the nuclear matter near the ground state and its saturation properties. In addition, there are experimental restrictions coming from the flow analysis in heavy–ion collisions which limit the acceptable theoretical values of pressure in a finite interval of baryon densities \( n_B \) at \( T = 0 \). Some constraints on the EoS are also imposed through the analysis of cold charge–neutral baryonic matter in \( \beta \)-equilibrium compact stars. There are also essential constraints on the model properties coming from the recent LGT results.

In this paper, we will construct the EoS of a strongly interacting QCD matter with deconfinement phase transition that satisfies the above mentioned hadronic constraints and those imposed by the recent lattice QCD results obtained for \((2+1)\) – flavor system at the finite \( T \) and non-vanishing baryonic chemical potential.

The paper is organized as follows: In Section 2 we introduce the quasi-particle model for the EoS with deconfinement phase transition. In Section 3 the model predictions are compared with the recent lattice data obtained in \((2+1)\)–flavor QCD at the finite \( T \) and \( \mu_B \). Our results and comments on the properties of the QCD equation of state and thermodynamics are summarized in the last Section.

## 2 The Equation of State

Lattice results show that even at temperature \( T \) much larger than deconfinement temperature \( T_c \) the thermodynamical observables like pressure or entropy, baryon number and energy density are still by \( \geq 20\% \) deviating from their asymptotic ideal gas values. Such deviations observed at \( T > 2T_c \) were shown to be well understood by a systematic contribution in the self–consistent implementation of quasi-particle masses in the HTL–resummed perturbative QCD. On the other hand, the LGT thermodynamics below \( T_c \) was shown to be well reproduced by the hadron resonance gas partition function. To possibly describe the thermodynamics at \( T = T_c \) or near the phase transition an additional model assumptions are required.

It is clear from the above that the straightforward model for the QCD EoS can be constructed by connecting a non-interacting hadron resonance gas in the low temperature phase with an ideal quark gluon–plasma in some non-perturbative bag for the color deconfined phase. These phases are matched at the phase transition boundary by means of the Gibbs phase equilibrium condition. By construction, this approach yields the first order phase transition. Such MIT bag-like model is so far the simplest method to implement the confinement phenomenon in the EoS, though it has some serious shortcomings.

A more complete method to model QCD EoS is based on the effective Hamiltonian that includes interactions of the constituents. In the quasi-particle approximation such Hamiltonian can be modelled through density–dependent mean–field interactions:

\[
H = \sum_{j=h,q,g} \sum_{s} \int dr \, \psi_j^+(r,s) \cdot \left( \sqrt{-\nabla^2 + m_j^2 + U_j(\rho)} \right) \psi_j(r,s) - C(\rho) \cdot V, \quad (1)
\]

where \( j \) enumerates different species of quasi-particles (hadrons and/or unbound quarks and gluons) and \( s \) stands for their internal degrees of freedom. Here \( U_j(\rho) \) is the density-dependent mean–field acting on the quasi-particle \( j \) described by the field operator \( \psi_j \) with \( m_j \) being the current mass of quarks and gluons or the free mass of hadrons. Applying the density-dependent Hamiltonian in the partition function requires some additional constraints that are needed to fulfill the thermodynamic consistency condition:

\[
\langle \frac{\partial H}{\partial T} \rangle = 0, \quad \langle \frac{\partial H}{\partial \mu_j} \rangle = 0, \quad (2)
\]

where \( \langle A \rangle \) denotes the average value of the operator \( A \) over the statistical ensemble. With the Hamiltonian the conditions can be also expressed as:

\[
\sum_j \rho_j \frac{\partial U_j}{\partial \rho_i} - \frac{\partial C}{\partial \rho_i} = 0, \quad \sum_j \rho_j \frac{\partial U_j}{\partial \rho_j} - \frac{\partial C}{\partial \rho_j} = 0. \quad (3)
\]

It can be shown that the conditions are satisfied only if the mean field \( U_j(\rho) \) and the correcting function \( C(\rho) \) are temperature independent.

In the following, we consider the basic structure of the effective Hamiltonian to model the EoS of hadronic and quark–gluon plasma phase.

### 2.1 The hadronic phase

The hadronic phase is considered as a gas of hadrons and resonances in the thermodynamic equilibrium. In general, the particle density of species \( j \) is obtained from:

\[
n_j = n_j(T, \mu_j - U_j) = \frac{d_j}{2\pi^2} \int_0^\infty dk \, k^2 \, f_j(k, T, \mu_j - U_j), \quad (4)
\]

where the one-particle distribution function with an argument \( z \) is:

\[
f_j(k, T, z) = \left[ \exp \left( \sqrt{\frac{k^2 + m_j^2}{T} - z} \right) + \mathcal{L}_j \right]^{-1} \quad (5)
\]

with \( \mathcal{L}_j = +1 \) for fermions and \( \mathcal{L}_j = -1 \) for bosons, while \( d_j \) is the spin–isospin degeneracy factor. The chemical potential \( \mu_j \) is related to the baryon \( (\mu_B) \) and strangeness \( (\mu_S) \) chemical potentials:

\[
\mu_j = b_j \mu_B + s_j \mu_S, \quad (6)
\]
with $b_j$ and $s_j$ being the baryon number and strangeness of the particle $j$. The hadronic potential $U_j \equiv U^{(h)}_j$ is described by a non-linear mean–field model \[30\]

$$U^{(h)}_j = g_{r,j} \varphi_1(x) + g_{a,j} \varphi_2(y),$$

(7)

where $g_{r,j} > 0$ and $g_{a,j} < 0$ are repulsive and attractive coupling constants, respectively. The effect of interactions results also in an additional density-dependent term $C(\rho)$ that contributes to the thermodynamic pressure and energy densities. If the particle interaction is taken in form of \[1\], the thermodynamic consistency implies that the functions $\varphi_h(x)$ and $\varphi_2(y)$ depend only on particle densities. In Ref. \[30\] these functions were chosen such that

$$b_1 \varphi_1 = x, \quad -b_1 \varphi_2 + b_2 \varphi_3 = y$$

(8)

with $b_1$ and $b_2$ being free parameters. The $\varphi_3$ term is introduced to get a slower than linear increase of interaction with density at a high compression as it happens in the relativistic mean–field models. Having in mind that the hadronic EoS will be compared with that of the quark–gluon plasma, it is convenient to rewrite \[3\] in terms of a number of constituent quarks and antiquarks $\nu_i$:

$$\rho_j = \nu_j n_j = \nu_j n_j (T, \mu_j - U_j).$$

(9)

In the original paper \[30\], the hadronic phase was modelled as a mixture of nucleons and $\Delta$'s (i.e. $j = N, \Delta$). Following \[20\], we generalize this approach by including all hadrons and resonances with the mass up to 1.6 GeV. One also assumes that all coupling constants scale with the number of constituent quarks $\nu$:

$$U^{(h)}_j = \nu_j \left( [\tilde{\varphi}_1(\rho^{(h)})]^{\alpha} + \tilde{\varphi}_2(\rho^{(h)}) \right),$$

(10)

where $\tilde{\varphi}_1$ and $\tilde{\varphi}_2$ satisfy Eq. \[8\] in the following form

$$c_1 \tilde{\varphi}_1^{\alpha} = \rho^{(h)}, \quad -c_2 \tilde{\varphi}_2 - c_3 \tilde{\varphi}_3 = \rho^{(h)}$$

(11)

with $\rho^{(h)} = \sum_j \nu_j \rho_j = 3 \sum_B n_j + 2 \sum_M n_j$. As compared to Eq. \[3\] a free parameter $\alpha$ is also introduced in Eq. \[10\]. This parameter is used to control the strength of the repulsive interactions at high density \[27, 28\]. The parameters in Eq. \[10\] are expressed as \[27\]:

$$c_1 = \frac{b_1}{(g_{r,j}/\nu_j)^2}, \quad c_2 = \frac{b_1}{(g_{a,j}/\nu_j)^2}, \quad c_3 = \frac{b_1 b_2}{(g_{a,j}/\nu_j)^4},$$

and are fixed by requiring that the properties of the ground state ($T = 0$ and $n_B = n_0 \approx 0.15$ fm$^{-3}$) of the nuclear matter are reproduced: zero pressure, binding energy per nucleon of -16 MeV and incompressibility of 210 MeV.

Solving the cubic equation \[11\], one gets the interaction potential as

$$U^{(h)}_j = \nu_j \left[ \frac{1}{c_1} \cdot (\rho^{(h)})^\alpha - F(\rho^{(h)}) \right]$$

(12)

where the function $F$ depends on the density of quarks bounded inside hadrons as follows

$$F(t) = \frac{12^{1/3}}{6} \eta - 2\eta^{-1} \text{ with } \eta = \left( \frac{t}{a} + \sqrt{\beta^2 + \frac{t^2}{a^2}} \right)^2$$

(13)

Here $a, \beta$ are proportional to the coefficients of the equation \[11\]: $a = c_3/9$ and $\beta = c_2/12^{1/3}$.

In this representation we obtained for the hadronic pressure

$$p^{(h)}(T, \mu_j - U_j^{(h)}) = \sum_{j \in h} \frac{d_j}{6\pi^2} \int_0^\infty \frac{k^2}{\sqrt{k^2 + m_j^2}} f_j(k, T, \mu_j - U_j^{(h)}) k^2 dk + C(\rho^{(h)})$$

and for the energy density

$$\varepsilon^{(h)}(T, \mu_j - U_j^{(h)}) = \sum_{j \in h} \frac{d_j}{2\pi^2} \int_0^\infty \left( \sqrt{k^2 + m_j^2} + U_j^{(h)} \right) f_j(k, T, \mu_j - U_j^{(h)}) k^2 dk - C(\rho^{(h)})$$

(15)

where the function $C$ is obtained from

$$C(\rho^{(h)}) = \frac{1}{c_1} \frac{\alpha}{\alpha + 1} (\rho^{(h)})^\alpha - \rho^{(h)} F(\rho^{(h)}) + \int_0^{\rho^{(h)}} F(t) dt.$$

As shown in Fig. 1 the above hadronic EoS satisfies the constraint resulting from the nucleon flow analysis of heavy ion collisions in the energy range $< 10$ AGeV. The upper boundary of the shaded region is consistent with the constraint coming from the analysis of the neutron star properties \[23\]. In the high temperature regime there is also a reasonable agreement of our model with the thermodynamics of the interacting pion gas from \[31\] (see Fig. 2).
2.2 The two–phase bag model

In the MIT bag–like model, the deconfinement phase transition is determined by matching the EoS of an ideal relativistic gas of hadrons and resonances to that of an ideal gas of quarks and gluons. In the following we consider the two–phase (2P) model that accounts for interactions separately in the hadronic and quark–gluon plasma phase. The hadronic phase is described within the phenomenological mean–field model introduced in the previous Section. Following Eq. (14), the total baryon density and the strangeness density in the hadronic phase can be expressed as

\[ n_B^H = \sum_{j=\pi} b_j n_j(T, \mu_j - U_j^{(h)}) \quad (17) \]

\[ n_S^H = \sum_{j=\pi} s_j n_j(T, \mu_j - U_j^{(h)}) \quad (18) \]

where the sum is taken over all hadrons and resonances. Similarly, the pressure and energy density of the species \( j \) are given by Eqs. (14) and (15).

In the quasi-particle approximation, the QGP phase is commonly described as a gas of partons (non–interacting point–like quarks, antiquarks and gluons) confined in a "bag". The non–perturbative effects associated with confinement are presented by the constant vacuum energy \( B \). The recent LGT results show that such an approach is not adequate as the EoS differs from the asymptotic ideal gas values even at temperatures as high as 100 \( T_c \) [34]. The perturbative QCD results can be, however, improved through the so-called Hard Thermal Loop (HTL) expansion. According to the HTL perturbative expansion, the QCD thermodynamics at high temperature is controlled by quasi-particles with a temperature dependent mass \( m_q(T) \). For \( \mu_B = 0 \) one gets [32,33]:

\[ m^2_q(T) - m^2_{q_0} = \frac{N_g}{16N_c} T^2 g^2 \quad (19) \]

To model the HTL results within the mean–field approach one introduces the quark and gluon potentials to reproduce the behavior of the HTL masses (19) in the high temperature limit. This in general results in an additional equation for the unknown gluon density. To simplify the problem we modify the potential so that it coincides only with the HTL expression for quarks. In the high temperature limit and having in mind that \( \rho \sim T^3 \), the simplest phenomenological choice of the potential is

\[ U^{(pl)} = B \left( \rho^{(pl)} \right)^{1/3}, \quad (20) \]

where \( B \) is obtained comparing the asymptotic expansion of (20) with the HTP result

\[ B = g \frac{\sqrt{\frac{N_g}{16N_c}}}{\left( \frac{\zeta(3)}{2\pi} 2d_g + 3N_f d_q \right)^{1/3}} \quad (21) \]

with \( d_q \) and \( d_g \) being the degeneracy factors for quarks and gluons, respectively. For \( N_c = 3 \) and \( N_f = 8 \) one gets

\[ B(N_f = 3) = 0.2351 \quad (22) \]

\[ B(N_f = 2) = 0.2542 \quad (23) \]

where the strong interaction coupling constant \( g \) is treated as a free parameter.

The thermodynamic self-consistency conditions require that the mean–field contribution to the pressure and energy density in equations like Eqs. (14) and (15) is respectively

\[ U^{(pl)} = B \nu_1 \left( \rho^{(pl)} \right)^{1/3}, \quad (24) \]

\[ C(\rho^{(pl)}) = \frac{B}{4} \left( \rho^{(pl)} \right)^{4/3} + B \quad (25) \]

where the plasma particle density \( \rho^{(pl)} = \sum_{j=g,q} \rho_j \) and the bag constant \( B \) is included in the correcting function \( C \).

With such mean–field potentials the pressure and energy density in the plasma phase carried by \( u, d \) and \( s \)
quarks and antiquarks is obtained as

\[ p^Q(T, \mu_j - U_j^{(pl)}) = \sum_{j \in q, \bar{q}} p_j(T, \mu_j - U_j^{(pl)}) - C(\rho^{(pl)}) , \]

\[ \varepsilon^Q(T, \mu_j - U_j^{(pl)}) = \sum_{j \in q, \bar{q}} \varepsilon_j(T, \mu_j - U_j^{(pl)}) + C(\rho^{(pl)}) , \] (26)

To quantify these observables we use the quark masses \( m_u = m_d = 65 \text{ MeV} \) and \( m_s = 135 \text{ MeV} \), the gluon mass \( m_g = 700 \text{ MeV} \) and the bag constant \( B^{1/4} = 207 \text{ MeV} \). Such parameters yield a transition temperature \( T_c \approx 170 \text{ MeV} \) in agreement with the recent lattice result obtained for the vanishing net baryon number. For massless gluons the equation of state has a simple form

\[ p_g(T) = \frac{d_g n_g^2 T^4}{90}, \quad \varepsilon_g(T) = 3 p_g(T) = \frac{d_g n_g^2}{30} T^4 \] (28)

with \( d_g = 16 \).

The baryon number and strangeness density in the quark–gluon plasma are obtained following Eqs. 17 and 18 from

\[ n^Q_B = \sum_{j \in q, \bar{q}} b_j n_j(T, \mu_j - U_j^{(pl)}) , \]

\[ n^Q_S = \sum_{j \in q, \bar{q}} s_j n_j(T, \mu_j - U_j^{(pl)}) . \] (29) (30)

The equilibrium between the plasma and the hadronic phase is determined by the Gibbs conditions for the thermal \((T^Q = T^H)\), mechanical \((p^Q = p^H)\) and chemical \((\mu^Q_B = \mu^H_B, \mu^Q_S = \mu^H_S)\) equilibrium. At a given temperature \( T \) and baryon chemical potential \( \mu_B \) the strange chemical potential \( \mu_S \) is obtained by requiring that the net strangeness of the total system vanishes. Consequently, the phase equilibrium condition and strangeness conservation imply that:

\[ p^H(T, \mu_j - U_j^{(h)}) = p^Q(T, \mu_j - U_j^{(pl)}), \]

\[ n_B = (1 - \lambda) n^B_j(T, \mu_j - U_j^{(pl)}) + \lambda n^Q_j(T, \mu_j - U_j^{(pl)}), \]

\[ 0 = (1 - \lambda) n^S_j(T, \mu_j - U_j^{(pl)}) + \lambda n^Q_j(T, \mu_j - U_j^{(pl)}), \] (31) (32) (33)

where \( \lambda = V_Q / V \) is the fraction of the volume occupied by the plasma phase. The phase boundaries of the coexistence region are found by putting \( \lambda = 0 \) for the hadron phase boundary and \( \lambda = 1 \) for the plasma boundary. By construction the 2P EoS results in the first-order phase transition with discontinuous behavior of energy and baryon densities.

According to the Gibbs condition, the number of thermodynamic degrees of freedom that may be varied without destroying the equilibrium of a mixture of \( r \) phases with \( n_c \) conserved charges is \( N = n_c + 2 - r \). For the considered hadron–quark deconfinement transition \( r = 2 \). If the baryon number is the only conserved quantity then \( n_c = 1 \) and \( N = 1 \). Thus, the phase boundary is one–dimensional, i.e. a line. The Maxwell construction for the first-order phase transition corresponds to \( r = 2 \) and \( n_c = 1 \). When both the baryon number and strangeness are conserved, that is when \( n_c = 2 \), one has \( N = 2 \) and therefore the phase boundary is a surface. In such a system, a standard Maxwell construction is no longer possible.

When two phases coexist, the system is in general not homogeneous because the phases occupy separate domains in space. We do not, however, explicitly account for such a domain structure or a possible surface energy contribution to the equation of state. The only consequence of the phase separation in the considered 2P model is that the interaction between quasi-particles in the plasma and hadronic phase are neglected. This is different from the statistical mixed phase model that will be discussed in the next subsection.

The resulting phase boundaries between the hadronic phase and the quark–gluon plasma in the 2P model are shown in Fig. 3. At \( T = 0 \) the coexisting region appears at \( n_B / n_q \approx 8 \). This density is by factor two larger than that one obtained in the conventional MIT-bag like model (see also Ref. 20). This is because in our calculations the quarks and gluons are treated as massive quasi-particles. As it will be shown in the next Section, the finite mass of quasi-particles is needed in the quark gluon plasma to get the EoS that is consistent with LGT results.

### 2.3 The mixed-phase model

In the 2P model the interactions between quark, gluons and hadrons are entirely neglected in the coexistence region. In the following we introduce the MP model where such interactions are possible. The underlying assumption of the MP model is that unbound quarks and gluons may coexist with hadrons forming a homogeneous quark/glue–hadron phase. Since the mean distance between hadrons and quarks or gluons in this mixed phase may be of the same order as that between hadrons, the interaction between all these constituents (unbound quarks, gluons and hadrons) plays an important role as it defines the order of the phase transition.

Under a quite general requirement for the confinement of color charges, the mean–field potential of quarks and gluons in the plasma phase is approximated as

\[ U_q(\rho^{(pl)}) = U_g(\rho^{(pl)}) = \frac{A}{(\rho^{(pl)})^\gamma} + B (\rho^{(pl)})^{1/3} \quad ; \quad \gamma > 0 , \] (34)

1 In [33, 34] the baryonic and electric charge conservation was considered in application to a nuclear liquid–gas phase transition. As to strangeness conservation the emphasis was made mainly on the strangeness distillation effect [34]. Phase boundaries for this case were studied in detail in [35]. More complete list of appropriate references can be found in [20].
where \(\rho^{(p)} = n_q + n_{\bar{q}} + n_g\). The second term in Eq. (35) is introduced to account for the growth of the quasi-particle mass with the density as that obtained in the HTL approximation (see Eq. (21)). The first term in Eq. (35) reflects two important limits of the QCD interactions. For \(\rho^{(p)} \to 0\), this potential term approaches the infinity, i.e., an infinite energy is necessary to create an isolated quark or gluon that corresponds to the confinement of color objects. The other extreme limit of infinite density is consistent with the asymptotic freedom.

The generalization of the mean-field potential from Eq. (35) to the case of the mixed quark–hadron phase is obtained replacing \(\rho^{(p)}\) in Eq. (35) by the total density of quarks and gluons \(\rho^{(mp)}\) with

\[
\rho^{(mp)} = \rho_q + \rho_{\bar{q}} + \rho_g + \eta \sum_j \nu_j \rho_j \equiv \rho^{(p)} + \eta \rho^{(h)}, \tag{35}
\]

The presence of the total number density \(\rho^{(mp)}\) in Eq. (35) implies interactions between all components of the mixed phase. For \(\eta = 0\) there is no interaction between hadrons and unbound quarks and gluons. This case corresponds to such a strong binding of hadron constituents that the presence of free color charges in their surrounding does not result in their color polarization, i.e., hadrons remain color neutral and do not see quarks and gluons outside hadron. Thermodynamically, the potential with \(\eta = 0\) implies the first order phase transition. For \(\eta = 1\) there is a very strong color polarization of hadrons. Consequently, there is no difference between bound and unbound quarks and gluons. This approximation was used in [27,28]. Here we consider \(\eta\) as a free parameter that is chosen in a way to reproduce the LGT results for the QCD equation of state.

The hadronic potential in the Hamiltonian (41) was described by a non-linear mean-field model. However, the presence of unbound quarks and gluons will modify this hadronic interaction due to the polarization of color charges. Thus, in general

\[
U_j^{(mp)} = U_j^{(h)} + U_j^{(h-p)} . \tag{36}
\]

The constraints imposed by the thermodynamic consistency conditions (44) can be used to find the potential for the interaction of unbound quarks/gluons with hadrons as

\[
U_j^{(h-p)} = \nu_j \eta \left( \frac{A}{\rho^{(mp)} \gamma} - \frac{A}{(\eta \rho^{(h)}) \gamma} \right) + B \left( \frac{(\rho^{(mp)})^{1/3} - (\eta \rho^{(h)})^{1/3}}{\gamma} \right) . \tag{37}
\]

Consequently, the pressure and the energy density in the MP model are obtained from

\[
p^{MP}(T, \mu_j - U_j^{(mp)}) = \sum_{j \in g,q,g} p_j(T, \mu_j - U_j^{(mp)}) + \sum_{j \in h} p_j(T, \mu_j - U_j^{(mp)}) - C(\rho^{(mp)}) , \tag{38}
\]

\[
\varepsilon^{MP}(T, \mu_j - U_j^{(mp)}) = \sum_{j \in g,q,g} \varepsilon_j(T, \mu_j - U_j^{(mp)}) + \sum_{j \in h} \varepsilon_j(T, \mu_j - U_j^{(mp)}) + C(\rho^{(mp)}) , \tag{39}
\]

where

\[
C(\rho^{(mp)}) = \frac{x \alpha}{\alpha + 1} (\rho^{(h)})^{\alpha + 1} - \rho^{(h)} \int_0^{\rho^{(h)}} \frac{F(\tau) d\tau}{1 - \gamma} - \frac{\gamma A}{1 - \gamma} \left[ (\rho^{(mp)})^{1-\gamma} - (\rho^{(h)})^{1-\gamma} \right] + \frac{B}{4} \left[ (\rho^{(mp)})^{4/3} - (\eta \rho^{(h)})^{4/3} \right] . \tag{40}
\]

The MP model described above exhibits a crossover deconfinement phase transition. The transition temperature \(T_c\) corresponds to a maximum in the \(T\)-dependence of the heat capacity at the given value of \(\mu_B\) (see the next Section). The resulting phase boundary is shown in Fig. 4. At \(T \leq 50\) MeV the maximum of the heat capacity is not well defined. The calculation in Fig. 4 was performed with the physical values of the parameters as introduced in the next Section.
In the MP model hadrons survive at $T > T_c$. If the fraction of unbound quarks is defined as $\rho^{(1)}/(\rho^{(0)}+\rho^{(2)})$, then one can see from Fig. 4 that at $\mu_B = 0$ and the temperature as high as $T - T_c \sim 100$ MeV there is still 40% quarks that are bounded inside hadrons.

### 3 The comparison with the lattice data

The Lattice Gauge theory is the only approach that allows to extract the physical EoS of QCD medium. To further constrain the phenomenological models for the EoS introduced in the last Section, we will compare their predictions with the available LGT results. We focus mainly on the recent LGT findings obtained in $(2+1)$–flavor QCD at the finite temperature and chemical potential. In order to use the mixed phase and 2P models for the further comparison with lattice results one needs, however, to take into account that lattice calculations are generally performed with quark masses heavier than those realized in the nature. Consequently, the hadron mass spectrum generated on the lattice is modified.

In Refs. [11] the ratio of the pion mass $m_\pi$ to the mass of the $\rho$ meson is around 0.5-0.75, which is roughly 3 times larger than its physical value. Thus, to compare the model predictions with LGT results the hadron mass spectrum used in the model calculations should be properly scaled. For this we use a phenomenological parametrization of the quark mass dependence of the hadron masses $m_j(x)$ that was shown in Refs. [15,16] to be consistent with the MIT bag model results as well as with LGT findings. For the non-strange hadrons this parametrization reads [15,16]:

$$\frac{m_j(x)}{\sqrt{\sigma}} \simeq \nu_j a_1 x + \frac{m_j}{\sqrt{\sigma}} \left[1 + a_2 x + a_3 x^2 + a_4 x^3 + a_5 x^4\right].$$

Here $x = m/\sqrt{\sigma}$, $\nu_j$ is the number of light quarks inside the non-strange hadron (i.e. $\nu_j = 2$ for mesons and $\nu_j = 3$ for baryons) and $\sigma = (0.42 \text{ GeV})^2$. For strange hadrons that carries strangeness $s_j = 1$ and $s_j = 2$ we have, respectively

$$\frac{m_j(x)}{\sqrt{\sigma}} = 0.55 \nu_j x + \frac{1.7 \cdot 0.42 \frac{m_j}{\sqrt{\sigma}}}{(1 + 0.068 x)}$$
$$\frac{m_j(x)}{\sqrt{\sigma}} = 0.5788 x + \frac{0.42 \frac{m_j}{\sqrt{\sigma}}}{(0.4758 + 0.0142 x)}.$$ Simultaneously with the change of the hadron mass spectrum with the pion mass one needs to account for the shift of the transition temperature $T_c$ with $m_\pi$. We use the parametrization that is extracted from LGT calculations [15]:

$$\left(\frac{T_c}{\sqrt{\sigma}}\right)_{m_\pi/\sqrt{\sigma}} \simeq 0.4 + 0.04(1) \left(\frac{m_\pi}{\sqrt{\sigma}}\right).$$

To compare our phenomenological model EoS with that obtained on the lattice in Refs. [15] we use the modified hadron mass spectrum form Eqs. [11,12,13] corresponding to the pion $m_\pi \sim 508$ MeV as fixed in these LGT calculations. In the deconfined phase the current quark masses and gluon mass are in general also free parameters. In the present calculations we fixed $m_u = m_d = 65$ MeV, $m_s = 2.08 m_u$ and $m_\rho \approx 700$ MeV as followed from the successful description of the quark sector of the above LGT data in terms of the quasi-particle model [5].

We look for a phase transition at the appropriate temperature $T_c$ defined by Eq. [14] by varying mainly the bag constant $B$ in the 2P model or strength parameter $A$ in the mixed phase model. The further fine tuning is carried out by means of remaining parameters (one parameter in the 2P model and three ones for the mixed phase model) to get the best description of LGT findings on temperature dependence of different thermodynamical quantities.

In the 2P model, where the two phases do not interact with each other, the critical temperature $T_c$ is governed mainly by the value of the bag constant $B$ and the parameter $\alpha$ that characterizes the hardness of the EoS. Choosing $B^{1/4} = 223$ MeV and $\alpha = 2.1$ one gets $T_c \approx 176$ MeV and $\varepsilon/T^4|_{T_c} = 7.84$ to be consistent with the LGT results. We have to stress, however, that for some values of the parameters, e.g. for too heavy masses, the set of Eqs. [14] may have no solution.

In the MP model the critical temperature is defined at the position of the maximum of the heat capacity

$$c_v = \frac{\partial \varepsilon}{\partial T}|_{V=\text{const}}$$

The value of $T_c$ depends mainly on parameters that quantify the quark/gluon interactions. With $A^{1/(3\gamma+1)} = 270$
MeV and $\gamma = 0.3$ the accepted value of the critical temperature is seen in Fig. 5 to be 188 MeV. As was noted in Ref. [20], $\gamma = 1/3$ corresponds to a string-like quark interaction.

![Fig. 5. Temperature dependence of the reduced heat capacity at $\mu_B = 0$.](image)

In Figs. 6 and 7 we show the comparison of the MP and the 2P model predictions with LGT data obtained for the thermodynamic pressure $p/T^4$ and the energy density $\varepsilon/T^4$ at the finite $T$ but for $\mu_B = 0$.

The lattice calculations in Refs. [4,5] were done on the lattices with $N_t = 4$ temporal extension. To account for the finite size effects, the LGT results have to be extrapolated to the continuum limit corresponding to $N_t \to \infty$. In general, such a procedure requires a detailed LGT calculations on the lattices with different $N_t$. In Refs. [4,5] to account approximately for the finite size effect the $N_t = 4$ data for the basic thermodynamic quantities were corrected being multiplied by the constant factors: $c_0 = 0.518$ and $c_n = 0.446$ for $\mu_B = 0$ and $\mu_B \neq 0$ respectively. These factors were determined from the ratios of the Stefan-Boltzmann ideal-gas limit for the thermodynamic pressure to its corresponding values calculated on the lattice with $N_t = 4$.

As it is seen in Fig. 6 a smooth $T$-dependence of pressure in a deconfined phase may be quite well reproduced within both the MP and 2P model. However, in the hadronic phase, that is for $T/T_c < 1$, the models overestimate LGT results from Refs. [14,15]. In Fig. 6 also shown are LGT results for $(2 + 1)$-flavor QCD at $\mu_B = 0$ from the Bielefeld group [39]. Improved gauge and staggered fermion actions were used there on the lattices with temporal extent of $N_t = 4$ and $N_t = 6$. These data were also extrapolated to the chiral limit [39]. As seen in Fig. 6 the Bielefeld data exhibit a smaller limiting pressure as compared to [14,15] and essentially higher pressure in the hadronic sector, though the pion mass is $m_\pi = 770$ MeV in the latter calculations. Our models are seen in Fig. 6 to coincide with Bielefeld results in the confined phase. The strongly suppressed pressure at $T \leq T_c$ found in Refs. [14,15] is non-physical and could be partly related with too simplified procedure to extrapolate LGT results to a continuum limit when applying the same constant scaling factor for all values of temperatures.

The energy density shown in Fig. 7 behaves differently in the MP and 2P model. As it is expected in the 2P model, that exhibits the first order phase transition, the $\varepsilon/T^4$ suffers a jump at the critical temperature. This jump corresponds to the energy density change by $\Delta \varepsilon \sim 0.9\,\text{GeV}/\text{fm}^3$. The LGT results on the temperature dependence of $\varepsilon/T^4$ are seen in Fig. 7 to be noticeably better reproduced within the MP than with the 2P model. This is because the MP model exhibits a crossover type transition as also found in the above LGT calculations. The difference between LGT results obtained with an improved and standard action is also seen on the level of energy density.

Having established the model parameters at $\mu_B = 0$ we can further study the model comparisons with LGT results at the finite baryon density. The temperature dependence of pressure and energy density for finite values of $\mu_B$ is shown in Figs. 8 and 9 in terms of the "net baryonic pressure" $\Delta p/T^4 = (p(T, \mu_B) - p(T, \mu_B = 0))/T^4$ and the "interaction measure" $\Delta/T^4 = (\varepsilon - 3p)/T^4$.

The $T$ dependence of $\Delta p/T^4$ for different values of $\mu_B$ is quite well reproduced by both the MP and 2P model. The fall of $\Delta p/T^4$ for $T \geq T_c$ is entirely determined by the value of the coupling $g$ that describes the strength of the interactions of quasi-particles and their effective mass. The observed fall does not require any artificial reduction of the number of quark–gluon degrees of freedom. It turns out that in both models a similar value of $g = 0.5$ is necessary to reproduce LGT results.

The interaction measure $\Delta/T^4$ exhibits a rather sharp maximum slightly above $T_c$ with the shape of $T$-dependence that is weakly changing with $\mu_B$. In general, both models reproduce the above properties of the interaction measure. However, quantitatively the $\Delta/T^4$ is overestimated in the 2P model and underestimated in the MP model near the maximum.

The interaction measure characterizes the strength of interactions in a system. It is equal to zero for the EoS of the ultrarelativistic ideal gas of massless particles where $\varepsilon = 3p$. In the considered 2P model and at $T > T_c$ we are dealing with a gas of massive quarks and gluons interacting via the HTL-like potential [21]. In contrast, in the MP model at $T > T_c$, there are interacting unbound quarks, gluons and bound quarks within hadrons. The fraction of the bound quarks amounts in about 85 $\%$ at $T \sim T_c$ (which allows to describe this region in terms of the resonance gas model [15,16]) and almost vanishes at $3T_c$ ($\sim 5\%$). In this context the quark–gluon plasma may be considered as a strongly interacting correlated system [20]. In the confined phase there is an admixture of quarks at $T < T_c$ until about 0.9 $T_c$. This property of the model is very essential for a possible explanation of the "horn" structure in the $K^+/\pi^+$ excitation function [11] due to manifestation of the strangeness distillation effect near the critical end point [22].

The model comparison with LGT results for the baryon density is shown in Fig. 10. It is clear from this figure that in the hadronic sector the baryon density $n_B/T^3$
obtained on the lattice is smaller than that predicted by the models. However, above $T_c$ there is quite a good agreement of the model with LGT results. This is particularly a case for the MP model which shows a better description of LGT data near the phase transition.

In our models the absolute values of $\Delta p/T^4$, $\Delta/T^4$ and $n_B/T^3$ are strongly affected by the parameter $\eta$ appearing in Eq. (35). In the actual calculations the $\eta = 0.025$, thus it is essentially smaller than $\eta = 1$ that was found in our earlier parametrization based only on the LGT data obtained for $\mu_B = 0$. If $\eta = 1$ is to be substituted in our actual calculations, then all the above quantities would increase by a factor of two.

The properties and the behavior of the LGT thermodynamics at finite $T$ and $\mu_B$ have been recently discussed in the context of the Polyakov-loop-extended Nambu and Jona-Lasinio (PNJL) model. This PNJL model represents a minimal synthesis of the spontaneous chiral symmetry breaking and confinement. The model correctly describes the pion properties but obviously is not applicable near the nuclear ground state. It also does not contain the resonance contributions to the QCD thermodynamics nor the hadronic correlations below and above $T_c$ that are essential near the phase transition. Nevertheless, the PNJL model reproduces the LGT data obtained in 2 flavor QCD on the pressure difference and the quark number density at various temperatures and chemical potentials remarkably well. However, in the PNJL model the interaction measure $\Delta/T^4$ was found to be underestimated by $\sim 25\%$ similarly as seen in Fig. 9 from our MP model comparison with the (2+1)–flavor QCD results obtained in LGT.

The phenomenological models considered here describe the EoS of the QCD matter in a broad range of thermal parameters that includes the hadronic and quark gluon plasma phase. These models are also applicable in cold nuclear matter as they satisfy essential phenomenological constraints expected near nuclear saturation. In heavy ion collisions, dense QCD matter created in the initial stage is expected to thermalize and expand without further generation of the entropy $S$. In the realistic expansion scenario some particles may be created and/or absorbed implying

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**Fig. 6.** The reduced pressure at $\mu_B = 0$ in 2P (the left panel) and MP (the right panel) models. Circles are the lattice data for the (2+1)–flavor QCD system multiplied by $c_0$, squares are the Bielefeld group data for the same case (see also results cited in [15].)

**Fig. 7.** The reduced energy density at $\mu_B = 0$ in 2P (left panel) and MP (right panel) models. Notation is the same as in Fig. 6.
changes in the total entropy of the system. In general, it is more convenient to consider the EoS at fixed entropy per baryon ($S/N_B$). This thermodynamic quantity should be strictly conserved in an equilibrium case and is also less affected by any possible particle losses or creation during the expansion stage. The predictions of our models for the evolution path in the $(T, \mu_B)$-plane as obtained from the condition of fixed $S/N_B$ is shown in Fig. 11. There are still no such isentropic lattice data for (2+1)-flavor system. Recently, the isentropic EoS was obtained on the lattice for 2-flavor QCD at finite $\mu_B$ [43], however still for non-physical mass spectrum that corresponds to the pion mass $m_\pi \simeq 770$ MeV. These data are plotted in Fig. 11 together with our model results obtained with the EoS parameters that are fixed for $m_\pi \simeq 508$ MeV and for (2+1)-flavor system.

In general, in the high-temperature deconfined phase, one should not expect a large difference between 2 and (2+1)-flavor thermodynamics. The value of the quark mass in the quark–gluon plasma is also not relevant thermodynamically if $m_q/T < 1$. In the hadronic phase the number of quark flavors is as well not essential and leads only to a moderate change of the global thermodynamics. However, here the value of the quark/pion mass is of particular importance as it influences the hadronic mass spectrum. Due to the non-physical and still large pion mass used in the actual LGT calculations it is not straightforward to associate the values of the reduced entropy with the specific bombarding energy. In particular, as noted in Ref. [43], the correspondence of $S/N_B = 30, 45$ and 300 to the AGS, SPS and RHIC energies, respectively, is only a rough approximation. The QGSM transport model results [45] for central Pb+Pb collisions at the top SPS energy show that the isentropic regime is reached after about 1 fm/c with $S/N_B \approx 25$. Also calculations performed in terms of 3–fluid relativistic hydrodynamic model show that the isentropic expansion of central Pb+Pb collisions at the bombarding energy 158 and 30 AGeV results in $S/N_B \approx 30$ and 15 [46] respectively. Thus, the above dynamical models imply noticeably lower values of $S/N_B$.

Fig. 8. Temperature dependence of the reduced pressure $\left( p(\mu_B) - p(0) \right)/T^4$ at the baryon chemical potential $\mu_B = 210, 330, 410$ and 530 MeV from the bottom within 2P (the left panel) and MP (the right panel) models. Points are lattice data for the (2+1)-flavor system [4,5] multiplied by $c_\mu$.

Fig. 9. Temperature dependence of the interaction measure $(\epsilon - 3p)/T^4$ at the baryon chemical potential $\mu_B = 210, 330, 410$ and 530 MeV from the bottom within 2P (the left panel) and MP (the right panel) models. Points are lattice data for the (2+1)-flavor QCD system [4,5] multiplied by $c_\mu$. 
Fig. 10. Temperature dependence of the baryon density at the baryon chemical potential \( \mu_B = 210, 330, 410 \) and 530 MeV (from the bottom) in 2P (the left panel) and MP (the right panel) models. Points are lattice data for the (2+1)-flavor QCD system \([4,5]\) multiplied by \( c_\mu \).

The phenomenological model results, discussed so far, were obtained assuming the hadronic mass spectrum that corresponds to the pion mass \( m_\pi = 508 \) MeV. The extrapolation of the EoS to the physical limit is quite straightforward. It amounts in replacing the \( m_j(m_\pi) \) masses by their physical values. The quark and gluon masses are kept to be the same as being extracted from the LGT data. This approximation is justified since the change of \( m_q \) in the interval \( 5 < m_q < 70 \) MeV does not influence the thermodynamics in the plasma phase \([8]\) very much. Clearly, taking the physical limit in the EoS also requires to account for the shift in \( T_c \). In the 2P model the critical temperature is recalculated according to Eq. \([44]\) and fitted in the model by the bag \( B \) constant and the coupling \( g \) to satisfy also the condition that the critical energy density \( \varepsilon_c/T_c^4 \) \( \approx 6 \pm 2 \) as found in LGT \([15]\). Within the 2P model the physical limit is achieved choosing: \( B^{1/4} = 207 \) MeV and \( g = 0.7 \) which results in \( T_c = 173.3 \) MeV and \( \varepsilon_c/T_c^4 = 7.83 \).

The extrapolation of the MP model EoS to the physical limit is less transparent due to a rather strong nonlinear relation between the hadronic and plasma phase. In this model the physical limit is approximately accounted for by replacing the LGT mass spectrum by its physical form. All further parameters that are required in the MP model to quantify the EoS are kept the same as that found in the comparison of the model predictions with the LGT results. With the above chosen model parameters the crossover deconfinement transition appears at \( T_c = 183 \) MeV. Note that the phase boundaries in the preceding Section were calculated for these physical parameters of the EoS.

4 Summary

We have formulated two different phenomenological models for the equation of state within the quasi-particle approximation of the QCD matter: the two phase (2P) model with the first order deconfinement transition and the mixed phase (MP) model in which transition from
hadronic phase to quark–gluon plasma is of the crossover type.

In our approach both the hadronic and the quark–
gluon plasma phase are considered to be the non-ideal sys-
tems. The interactions between constituents are included
within the mean-field approximation. The modified mean-
field Zimanyi model is applied to describe the interacting
resonance gas component. In this approach, the saturation
properties of a symmetric nuclear matter in the ground
state are reproduced correctly and the Danielewicz con-
straints resulting from heavy–ion collision data at inter-
mediate energies are well fulfilled.

The quark–gluon phase in the 2P model is con-
ducted as a massive quasi-particle system supplemented
by the density-dependent potential term which simulates
the HTL interactions. The first order phase transition
from the hadronic phase to the deconfined quark–
gluon plasma is constructed within the 2P model by means of
the Gibbs phase equilibrium conditions.

In the MP model the coexistence and correlations be-
tween quarks/gluons and hadrons are assumed near de-
confinement. In addition to the HTL-like interaction term
a string-like interaction is introduced between both un-
bound quarks/glus and quarks that are confined within
hadrons. In this model we are dealing with strongly in-
teracting QCD matter which exhibits a crossover-type de-
confinement phase transition.

The models are constructed in the way to be thermo-
dynamically consistent and to reproduce the properties of
the EoS as calculated on the lattice. The limited set of
model parameters is defined from the constraints imposed
by the recent lattice data on the temperature and chemical
potential dependence of the basic thermodynamical ob-
servables. The comparison of the model predictions with
LGT data was performed within the same set of approxi-
mations as used on the lattice. Of particular importance is
a correct treatment of the hadronic mass spectrum which
in the LGT calculations is non-physical due to the still
too large value of the quark mass.

Keeping in mind a principal difference between the
first order and crossover type phase transition both the
2P and MP model were shown to provide quite satisfac-
tory description of the LGT thermodynamics for (2+1)–
flavor QCD. Both models reproduce the $T$ and $\mu_B$ depen-
dence of the main thermodynamic quantities in a broad
range of thermal parameters. The observed deviations of
the model predictions from the lattice results near $T_c$ and
in the hadronic sector for (2+1)–flavor case may be, to a
large extent, attributed to uncertainties in the LGT data
due to the finite size effect. The predicted isentropic tra-
jectories in the phase diagram were shown to be consistent
with that recently calculated on the lattice within the 2-
flavor QCD.

The phenomenological equations of state constructed
here satisfy all physically relevant constraints expected in
the cold and excited nuclear matter. These EoS can be
applied in a broad parameter range that covers the region
of deconfinement transition in QCD. Thus, both the MP
and 2P EoS could be used as an input in dynamical mod-
els that describe the space-time dynamics and evolution
of a medium created in heavy ion collisions. Within hy-
drodynamic models our EoS can be important to study
the role and influence of deconfinement and the order of
the phase transition on physical observables. Such studies
are in progress.

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