VERY AMPLENESS AND HIGHER SYZYGIES FOR
ALGEBRAIC SURFACES AND CALABI-YAU THREEFOLDS

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ABSTRACT

This work consists of two parts. In the first part we develop new techniques to compute Koszul cohomology groups for several classes of varieties. As applications we prove results on projective normality and syzygies for algebraic surfaces. From more general results we obtain in particular the following:

a) Mukai’s conjecture (and stronger variants of it) regarding projective normality and normal presentation for surfaces with Kodaira dimension 0, and uniform bounds for higher syzygies associated to adjoint linear series,

b) effective bounds along the lines of Mukai’s conjecture regarding projective normality and normal presentation for surfaces of positive Kodaira dimension, and,

c) results on projective normality for pluricanonical models of surfaces of general type (recovering and strengthening results by Ciliberto; cf. [Ci]) and generalizations of them to higher syzygies.

In the second part we prove results on very ampleness, projective normality and higher syzygies for both singular and smooth Calabi-Yau threefolds. The general results that we prove are analogues of the results of St. Donat for K3 surfaces. From these general results we obtain bounds very close to Fujita’s conjecture regarding very ampleness of powers of an ample line bundle $A$ (for instance, if $A^3 > 1$, our bound is one short of Fujita’s). We generalize our results on very ampleness and projective normality to higher syzygies.
PART 1: PROJECTIVE NORMALITY AND SYZYGIES OF ALGEBRAIC SURFACES

Introduction

In this article we develop new techniques to compute Koszul cohomology groups. Koszul cohomology is important because of its relation to Hodge Theory and to the computation of syzygies of projective varieties. In the present work we focus on the latter application. The topic of syzygies is interesting because it deals with the interplay between algebra and geometry: the algebra coming from the equations defining the variety and the geometry arising from the knowledge of what line bundles live on the variety. The earliest result typical of this application we have in mind is the result of Castelnuovo, who showed that a curve of degree greater than $2g$ has a normal homogeneous coordinate ring ($g$ denotes the genus of the curve). He also proved that if the degree was greater than $2g + 1$, then the ideal of the curve was generated by quadratic equations. This result was rediscovered later by many people, among others Fujita, St. Donat, Mumford, Green, etc. Recently Mark Green threw new light on this connection between algebra and geometry by generalizing the study of homogeneous coordinate rings and ideals to the study of free resolutions. He linked the behavior of graded Betti numbers of the resolution of the homogeneous coordinate ring to the cohomology groups of certain vector bundles on the variety (see [G1], [G2] and [G3]; for a particularly nice introduction to the subject see also [L] and for the precise statement used in this article see Theorem 1.2). Green generalized Castelnuovo’s result proving that if the degree of the curve is greater than $2g + p$, then the resolution is in addition linear until the $p$th stage. This property of the resolution is the so-called property $N_p$. Connection between algebra and geometry is better seen in the case of the canonical curve. Here there are classical results by N"other and Petri on projective normality and normal presentation for canonical curves. The geometric part of the statements is summed up in the Clifford index of the curves. Green’s conjecture for canonical curves generalizes Nöther and Petri’s results, claiming that the shape of the free resolution of the canonical ring is determined by the Clifford index of the curve (precisely, if the Clifford index is $p + 1$, then the resolution satisfies exactly the property $N_p$).

There are still many open questions regarding linear series on curves, but for surfaces and higher dimensional varieties the field is almost entirely open. Among the open questions for surfaces and higher dimensional varieties, the conjectures of Fujita on very ampleness and Mukai on higher syzygies of surfaces have attracted attention in recent years. Fujita conjectured that on an algebraic variety $X$ of dimension $n$, if $A$ is an ample line bundle on $X$, then $K_X \otimes A^\otimes n+2$ should be very ample, where $K_X$ denotes the canonical bundle on $X$. Mukai’s conjecture says that if $S$ is a surface, $A$ is an ample line bundle on $S$, $L$ is a line bundle on $S$ equal to $K_S \otimes A^\otimes n$, and $n \geq p + 4$, then $L$ satisfies property $N_p$. This conjecture can be regarded as a two dimensional analogue of Green’s theorem for curves. Indeed, Green’s theorem can be interpreted as follows: any line bundle $L$ on a curve $C$ which is at least as positive as $K_C \otimes A^\otimes p+3$ satisfies property $N_p$, where $K_C$ is the canonical bundle of $C$ and $A$ is an ample line bundle on $C$. Fujita’s conjecture has been proved for algebraic surfaces and it
follows from a remarkable result of Reider (cf. [R]). For higher dimensional varieties some effective bounds have been obtained. Even though the bounds are far from what has been conjectured, they are considered an important step towards the goal of proving Fujita’s conjecture. Mukai’s conjecture has not yet been proved even for \( p = 0 \). Some progress has been made by Butler for ruled varieties (see [Bu]), Kempf for Abelian varieties (see [Ke]), and Ein and Lazarsfeld, who prove a beautiful, very general result on adjoint linear series associated to very ample line bundles (see [EL]). Y. Homma proved Mukai’s conjecture for the case \( p = 0 \) for elliptic ruled surfaces (see [H1] and [H2]). One of the things we do here is to prove Mukai’s conjecture in certain cases and obtain effective bounds towards it for all surfaces.

In this article we pursue a new direction to study syzygies of algebraic surfaces. This direction can be summarized in the following meta-principle:

**0.1.** If \( L \) is the product of \((p + 1)\) ample and base-point-free line bundles satisfying “certain cohomological” conditions, then \( L \) satisfies the condition \( N_p \).

With the meta-principle as a guiding light, we obtain the following as corollaries of our more general results:

1. We prove that Mukai’s conjecture regarding projective normality and normal presentation is true, lowering Mukai’s bound by one in the latter case, for all surfaces of Kodaira dimension 0 and show stronger variants of it (cf. corollaries 2.6 and 4.5).
2. We obtain a uniform bound along the line of Mukai’s conjecture for higher syzygies associated to adjoint linear series for all surfaces of Kodaira dimension 0.
3. We find effective bounds along the lines of Mukai’s conjecture regarding projective normality and normal presentation for surfaces of positive Kodaira dimension.
4. We obtain results on projective normality, normal presentation and higher syzygies for pluricanonical models of surfaces of general type, recovering and strengthening results of Ciliberto (cf. [Ci]).
5. We find effective bounds regarding projective normality and higher syzygies for multiples of ample bundles for regular surfaces of positive Kodaira dimension.

Result (3) can be interpreted as a higher syzygy analogue along the lines of Mukai’s of the effective results of Demailly, Ein and Lazarsfeld regarding Fujita’s conjecture. Result (5) is a higher syzygy analogue of the results of Siu and Fernández del Busto regarding effective Matsusaka’s theorems on base-point-freeness and very ampleness. On the other hand, since an effective bound regarding Mukai’s conjecture was obtained by Butler for ruled surfaces, results (1), (2) and (3), coupled with Butler’s give the best bounds so far towards Mukai’s conjecture for all surfaces.

Almost all known results on syzygies of algebraic surfaces (and several results on curves) fit into 0.1. For example, the normal presentation of line bundles of degree greater than \( 2g + 1 \) on curves, by Castelnuovo and others (see [GP1]), the result of Kempf referred to above (see Remark 4.6), and the result by Ein and Lazarsfeld. In [GP1], [GP2] and [GP3] we show the validity of 0.1 for surfaces of Kodaira dimension \(-\infty\) and K3 surfaces. We show in the present article that 0.1 holds for all other surfaces of Kodaira dimension 0 and for adjoint linear series (more general than those involved in Mukai’s conjecture) on surfaces of positive Kodaira dimension. We summarize here the results which give evidence of the above claims:

For surfaces of Kodaira dimension \(-\infty\), the \((p + 1)\)-th power of an ample, free and nonspecial line bundle satisfies property \( N_p \) ([GP2], Theorem 2.2, see also Lemma 2.8; our result is in fact more general as it is stated for surfaces with geometric genus 0). Theorem 1.3 of this paper generalizes this result and unifies among others Corollary 5.11 for surfaces of general type and Corollary 1.6
for Calabi-Yau threefolds. Moreover, in [GP1] and [GP2] we prove finer versions ([GP1], Theorem 4.2 and [GP2], Theorem 6.1) of the meta-principle for elliptic ruled surfaces, yielding among other things the fact that Mukai’s conjecture regarding normal presentation holds for such surfaces. For anticanonical rational surfaces we also prove finer versions of 0.1, in a modified version of [GP3].

For surfaces with Kodaira dimension 0 we show precisely the following:

**Theorem 0.2.** Let $S$ be a minimal surface with Kodaira dimension 0 and let $B_1, \ldots, B_n$ be numerically equivalent, ample and base-point-free line bundles. Assume that the sectional genus of the $B_i$ is greater than or equal to 2 if $X$ is an Enriques surface and that the $B_i$ are non-hyperelliptic with sectional genus greater than or equal to 4 if $X$ is a K3 surface. Then $B_1 \otimes \cdots \otimes B_n$ satisfies $N_p$ for all $n \geq p + 1$ and $p \geq 1$.

The proof of Theorem 0.2 can be found for Enriques surfaces in Section 2, for Abelian and bielliptic surfaces in Section 4 and for K3 surfaces in [GP3]. In the case of K3 surfaces we prove a stronger version of 0.1 imposing extra conditions on $B_i$ (see [GP3]). As a consequence of Theorem 0.2 we obtain the following:

**Theorem 0.3.** Let $S$ be a minimal surface with Kodaira dimension 0, let $B$ be an ample and base-point-free line bundle, and let $A$ be an ample line bundle. If $n \geq p + 1$ and $p \geq 1$, then the bundle $K_S \otimes B^\otimes n$ satisfies property $N_p$ and if $m \geq 2p + 2$ and $p \geq 1$, then the bundle $K_S \otimes A^\otimes m$ satisfies property $N_p$.

Theorem 0.3 recovers Kempf’s result for Abelian surfaces and implies the already mentioned result (1) regarding Mukai’s conjecture.

For surfaces of positive Kodaira dimension we prove results in the spirit of 0.1 for adjoint linear series and for powers of ample and base-point-free line bundles (see Theorems 5.1, 5.8 and 5.14). We apply these results to obtain the above mentioned results (3) and (5) regarding effective bounds for projective normality, normal presentation, and property $N_p$, and (4) on pluricanonical models of surfaces of general type (see Theorem 5.12 and Theorem 5.16). Our results on projective normality, normal presentation and higher syzygies of pluricanonical models recover and strengthen results of Ciliberto on projective normality. In particular, we show the following, which is a question posed by Bombieri (in [Bo]):

Let $X$ be a surface of general type such that $p_g \geq 2$ or $K_X^2 \geq 5$. If $n \geq 5$, then the image of $X$ by $|K_X^\otimes n|$ is projectively normal.

Moreover we improve results of [Ci] in the case of regular surfaces (Corollary 5.6).

We apply the techniques developed in this article to study syzygies of higher dimensional varieties. We show results in the spirit of 0.1 for Fano varieties in [GP3] and for Calabi-Yau threefolds. In [GP4] we prove optimal results on very ampleness, projective normality and higher syzygies for Calabi-Yau threefolds. These results are similar in spirit to the well known results of St. Donat for K3 surfaces and Lefschetz for Abelian varieties.

Another very interesting problem in this area is the relation between normal presentation and the Koszul property of coordinate rings. We show that whenever a line bundle on the variety under consideration (in this article) is normally presented then it embeds the variety with a Koszul homogeneous coordinate ring. This gives further evidence to the following (to paraphrase Arnold):

Any homogeneous coordinate ring which has a serious reason for being quadratically presented is Koszul. In Section 3 we develop the necessary tools to tackle this problem and restrict ourselves to Enriques surfaces. In the subsequent sections we apply these tools to prove the result for other surfaces.
A basic obstacle one encounters in the kind of problems we have been talking about in the previous paragraphs is the scarcity of techniques to compute Koszul cohomology groups of surfaces and higher dimensional varieties – as Green put it, there are more reasons to compute them than ways of computing them. In our experience, this is especially so if the adjoint linear series involves base-point-free or ample line bundles, to mention the case of Mukai’s conjecture. In this article and in previous ones we have developed techniques to compute these cohomology groups. Firstly in the proofs of the vanishings leading to results on higher syzygies we use induction on the number of ample and base-point-free line bundles composing the line bundle we are studying. To prove the vanishings which correspond to the first step of the induction we have found it necessary to use the intrinsic geometric properties of the varieties under consideration. We make here a distinction between two classes of varieties: those with irregularity \( h^1(\mathcal{O}_X) > 0 \) and those with irregularity \( h^1(\mathcal{O}_X) = 0 \). In the former case we use arguments involving Castelnuovo-Mumford regularity and the existence of enough homologically trivial line bundles to show the surjectivity of certain multiplication maps of vector bundles on the variety. In the latter case (comprising among others K3 surfaces, Fano varieties, Enriques surfaces, anticanonical rational surfaces and Calabi-Yau threefolds) we give uniform proofs using induction on the dimension of the variety. Precisely we choose a suitable divisor (we point out to the reader that it is not a hyperplane section!) on the variety to reduce the question of the surjectivity of multiplication maps on the ambient variety to a question of surjectivity of multiplication maps on the divisor. This allows us to use eventually semistability results and results on surjectivity of multiplication maps of vector bundles on curves, like the technical (and beautiful) results by Butler and Pareschi, [Bu], Proposition 2.2 and [P2], Corollary 4. These methods just introduced are displayed in more detail in the first sections, especially in Section 1 and Section 2. Later on similar arguments are dealt with sometimes in a less detailed way.

Convention. Throughout this article we work over an algebraically closed field of characteristic 0. For us surface will always mean minimal and smooth algebraic surface. We will denote numerical equivalence of line bundles by \( \equiv \).

Definition. Let \( X \) be a projective variety and let \( L \) be a very ample line bundle on \( X \). We say that \( L \) is normally generated or that satisfies the property \( N^0 \), if \( |L| \) embeds \( X \) as a projectively normal variety. We say that \( L \) is normally presented or that \( L \) satisfies the property \( N^1 \) if \( L \) satisfies property \( N^0 \) and, in addition, the homogeneous ideal of the image of \( X \) by \( |L| \) is generated by quadratic equations. We say that \( L \) satisfies the property \( N^p \) for \( p > 1 \), if \( L \) satisfies property \( N^1 \) and the free resolution of the homogeneous ideal of \( X \) embedded by \( |L| \) is linear until the \( p \)th-stage.

1. A general result on syzygies of algebraic varieties

As we mentioned in the introduction, Green interpreted the Betti numbers of the minimal free resolution of the coordinate ring of an embedded projective variety in terms of Koszul cohomology. Concretely, let \( X \) be a projective variety, and let \( F \) be a globally generated vector bundle on \( X \). We define the bundle \( M_F \) as follows:

\[
0 \to M_F \to H^0(F) \otimes \mathcal{O}_X \to F \to 0.
\]

If \( L \) is an ample line bundle on \( X \) and all its positive powers are nonspecial one has the following characterization of the property \( N_p \):

**Theorem 1.2.** Let \( L \) be an ample, globally generated line bundle on a variety \( X \). If \( H^1(\bigwedge^{p'+1} M_L \otimes L^{\otimes s}) \) vanishes for all \( 0 \leq p' \leq p \) and all \( s \geq 1 \), then \( L \) satisfies the property \( N_p \). If in addition \( H^1(L^{\otimes r}) = 0 \), for all \( r \geq 1 \), then the above is a necessary and sufficient condition for \( L \) to satisfy property \( N_p \).
We will obtain our results on syzygies using the previous lemma. For the proof of it we refer to [EL], Section 1. Recall that we are working over an algebraically closed field of characteristic 0, thus in our proofs we will check the vanishings of \( H^1(M_{\ell}^{\otimes p+1} \otimes L^{\otimes s}) \) rather than see directly the vanishings of \( H^1(\bigwedge^{p+1} M_\ell \otimes L^{\otimes s}) \).

The purpose of this section is to prove a general result about Koszul cohomology and, by the above lemma, about syzygies of varieties of arbitrary dimension.

**Theorem 1.3.** Let \( X \) be a projective variety. Let \( B \) be a base-point-free line bundle on \( X \) with regularity \( r \). If \( n \geq \max(r + p - 2, p) \), \( p \geq 1 \) and \( m \geq \max(r, 1) \), then

\[
H^1(M_{B^m}^{\otimes p+1} \otimes B^{\otimes n+2-i}) = 0 \quad \text{for all } i \geq 1.
\]

In particular, \( H^1(M_{B^m}^{\otimes p+1} \otimes B^{\otimes n+1}) = 0 \) and if \( B \) is ample and \( n \geq \max(r+p-2, r, p) \), then \( B^{\otimes n+1} \) satisfies the property \( N_p \).

To prove the theorem we will need the following

**Lemma 1.4.** Let \( X \) be and \( B \) be as in Theorem 1.3. If \( n \geq r - 1 \) and \( m \geq 1 \), then

\[
H^1(M_{B^m} \otimes B^{\otimes n+1}) = 0.
\]

In particular, if \( B \) is ample, then \( B^{\otimes n+1} \) satisfies the property \( N_0 \).

**Proof.** Since \( n + 1 \geq r \), \( H^1(B^{\otimes n+1}) = 0 \). Thus, tensoring the sequence (1.1) relative to \( B^{\otimes m} \) with \( B^{\otimes n+1} \) and taking global sections one sees that it is enough to check that the multiplication map

\[
H^0(B^{\otimes m}) \otimes H^0(B^{\otimes n+1}) \to H^0(B^{\otimes m+n+1})
\]

surjects. To see that, we use the following useful observation:

**Observation 1.4.1.** Let \( E \) and \( L_1, \ldots, L_r \) be coherent sheaves on a variety \( X \). Consider the map \( H^0(E) \otimes H^0(L_1 \otimes \cdots \otimes L_r) \to H^0(E \otimes L_1 \otimes \cdots \otimes L_r) \) and the maps

\[
H^0(E) \otimes H^0(L_1) \xrightarrow{\alpha_1} H^0(E \otimes L_1),
\]

\[
H^0(E \otimes L_1) \otimes H^0(L_2) \xrightarrow{\alpha_2} H^0(E \otimes L_1 \otimes L_2),
\]

\[
\ldots,
\]

\[
H^0(E \otimes L_1 \otimes \cdots \otimes L_{r-1}) \otimes H^0(L_r) \xrightarrow{\alpha_r} H^0(E \otimes L_1 \otimes \cdots \otimes L_r).
\]

If \( \alpha_1, \ldots, \alpha_r \) are surjective then \( \psi \) is also surjective.

In our case, we set \( L_i = B \) and \( E = B^{\otimes n+1} \), and to see that the maps \( \alpha_i \) are surjective we use the following generalization by Mumford of a lemma of Castelnuovo (see [Mu]; note that the assumption of ampleness is unnecessary):

**1.4.2.** Let \( L \) be a base-point-free line bundle on a variety \( X \) and let \( F \) be a coherent sheaf on \( X \). If \( H^i(F \otimes L^{-i}) = 0 \) for all \( i \geq 1 \), then the multiplication map

\[
H^0(F \otimes L^{\otimes i}) \otimes H^0(L) \to H^0(F \otimes L^{\otimes i+1})
\]

is surjective for all \( i \geq 0 \).
Finally, the vanishings required according to (1.4.2) follow from our assumption on regularity. □

1.5 Proof of Theorem 1.3. The proof is by induction on $p$. We prove the result for $p = 1$. First we show that

$$H^1(M_{B\otimes m}^{\otimes 2} \otimes B^{\otimes n+1}) = 0$$

for all $m \geq r, 1$ and all $n \geq r - 1, 1$.

We will use (1.4.2) and Observation 1.4.1 to prove this statement. Observe that tensoring the sequence (1.1) with $M_{B\otimes m} \otimes B^{\otimes n+1}$ and taking global sections yields the following long exact sequence:

$$H^0(M_{B\otimes m} \otimes B^{\otimes n+1}) \otimes H^0(B^{\otimes m}) \xrightarrow{\gamma} H^0(M_{B\otimes m} \otimes B^{\otimes m+n+1}) \rightarrow H^1(M_{B\otimes m} \otimes B^{\otimes n+1}) \otimes H^0(B^{\otimes m}).$$

The last term in the above sequence is zero by Lemma 1.4. Thus it is enough to prove that $\gamma$ surjects. By Observation 1.4.1 it is enough to show that the multiplication map

$$H^0(M_{B\otimes m} \otimes B^{\otimes n+1}) \otimes H^0(B) \rightarrow H^0(M_{B\otimes m} \otimes B^{\otimes n+2})$$

surjects for all $m \geq r, 1$ and all $n \geq r - 1, 1$. Since $B$ is base-point-free, by (1.4.2) we need to check the vanishings $H^i(M_{B\otimes m} \otimes B^{\otimes n+1-i}) = 0$ for all $i \geq 1, 1 m \geq r, 1$ and $n \geq r - 1, 1$. For $i = 2$, we tensor the sequence (1.1) corresponding to $B^{\otimes m}$ with $B^{\otimes n+1-i}$ and take global sections. The vanishings then follow from our assumption on the regularity of $B$. Since $m \geq r$ and $n \geq r - 1$ it follows in particular that $H^1(B^{\otimes m}) = H^1(B^{\otimes r}) = 0$, hence the vanishing required for $i = 1$ is equivalent to the vanishing of $H^1(M_{B\otimes n} \otimes B^{\otimes m})$, which follows in turn from Lemma 1.4.

The vanishings of $H^i(M_{B\otimes m}^{\otimes 2} \otimes B^{\otimes n+2-i})$ for all $m \geq 1$, all $i \geq 2$ and all $n \geq r - 1$ follow from (1.1), Lemma 1.4, and the assumption on regularity.

Let us now assume that the desired vanishings occur for $p - 1$. We therefore have:

$$H^i(M_{B\otimes m}^{\otimes p} \otimes B^{\otimes n+2-i}) = 0$$

for all $n \geq \max(p + r - 3, p - 1), m \geq \max(r, 1)$ and all $i \geq 1$.

We first prove the desired vanishing for $p$ and $i = 1$. By tensoring the sequence (1.1) with $M_{B\otimes m}^{\otimes p} \otimes B^{\otimes n+1}$ and taking global sections one sees that the desired vanishing can be obtained by showing the surjectivity of the multiplication map $\delta$ sitting in the long exact sequence

$$H^0(M_{B\otimes m}^{\otimes p} \otimes B^{\otimes n+1}) \otimes H^0(B^{\otimes m}) \xrightarrow{\delta} H^0(M_{B\otimes m}^{\otimes p} \otimes B^{\otimes m+n+1}) \rightarrow H^1(M_{B\otimes m}^{\otimes p} \otimes B^{\otimes n+1}) \otimes H^0(B^{\otimes m}).$$

The last term is zero by induction assumption. In order to prove the surjectivity of $\delta$ we use Observation 1.4.1. By Observation 1.4.1 it suffices to show the surjectivity of the map

$$H^0(M_{B\otimes m}^{\otimes p} \otimes B^{\otimes n+1}) \otimes H^0(B) \xrightarrow{\epsilon} H^0(M_{B\otimes m}^{\otimes p} \otimes B^{\otimes n+2})$$

for all $n \geq p + r - 2, p$ and all $m \geq r, 1$.

To prove the surjectivity of $\epsilon$ we use (1.4.2). According to it, it suffices that the groups $H^i(M_{B\otimes m}^{\otimes p} \otimes B^{\otimes n+1-i})$ vanish, which follows by induction.

Finally, to show that $H^i(M_{B\otimes m}^{\otimes p+1} \otimes B^{\otimes n+2-i}) = 0$, for all $i \geq 2$ we consider again sequence (1.1) associated to $B^{\otimes m}$, tensor it with $M_{B\otimes m}^{\otimes p+1} \otimes B^{\otimes n+2-i}$ and take global sections. Then the vanishings follow again from induction hypothesis.

The fact that $B^{\otimes n+1}$ satisfies the property $N_p$ follows from the vanishing of $H^1(M_{B\otimes m}^{\otimes p'} \otimes B^{\otimes s(n+1)})$ for all $1 \leq p' \leq p$ and all $s \geq 1$, from Lemma 1.4 and from Theorem 1.2. □
The theorem just proven, which might seem at first glance somehow vague, holds however the power to unify several results for different kinds of varieties: it yields information about pluricanonical embeddings of surfaces of general type (Corollary 5.11). It also implies the following corollary concerning varieties of arbitrary dimension and canonical divisor numerically trivial, an example of which are Calabi-Yau n-folds:

**Corollary 1.6.** Let \( X \) be a variety of dimension \( m \) with \( K_X \equiv 0 \) and let \( B \) be ample and base-point-free line bundle. Let \( L = B^\otimes n+1 \). If \( n \geq p + m - 1 \), then \( L \) satisfies property \( N_p \). In particular, if \( X \) is a Calabi-Yau threefold, \( B \) is an ample and base-point-free line bundle on \( X \), \( n \geq p + 3 \) and \( p \geq 1 \), then \( B^\otimes n \) satisfies property \( N_p \).

**Proof.** The result is a straightforward consequence of Theorem 1.3, since by Kodaira vanishing Theorem, \( B \) is \((n+1)\)-regular. \( \square \)

Theorem 1.3 also implies a result for surfaces with \( p_g = 0 \) (among them elliptic ruled surfaces, Enriques surfaces and bielliptic surfaces):

**[GP2], Theorem 2.2.** Let \( X \) be a surface with \( p_g = 0 \). Let \( B \) be a nonspecial, ample, and base-point-free line bundle. Then \( B^\otimes p+1 \) satisfies the property \( N_p \) for all \( p \geq 1 \).

Therefore Theorem 1.3 and its corollaries are a good starting point for our study of syzygies of varieties. However, if one focuses on the particular examples and uses the specific geometry of the varieties in question, one can expect to obtain sharper and more complete results. Precisely this was done for elliptic ruled surfaces in [GP2] and is done for Enriques surfaces in Section 2, for bielliptic surfaces in Section 4, for surfaces of general type in Section 5.

## 2. SYZYGIES OF ENRIQUES SURFACES

In Section 1 we proved a general theorem, Theorem 1.3, which unifies a number of results for different kinds of varieties. In this section we focus on Enriques surfaces. The geometric genus of an Enriques surface is 0 and, in characteristic 0, a globally generated line bundle over an Enriques surface has null higher cohomology, hence it is 2-regular. Therefore the starting point of our study of syzygies of Enriques surfaces is the following theorem, corollary of Theorem 1.3, which fits indeed in 0.1:

**Theorem 2.1.** (cf. [GP2], Corollary 2.7.1). Let \( X \) be an Enriques surface. Let \( B \) be a base-point-free line bundle. Then the image of \( X \) by \(|B^\otimes p+1|\) satisfies property \( N_p \), for all \( p \geq 1 \). If in addition \( B \) is ample then \( B^\otimes p+1 \) is very ample and satisfies the property \( N_p \), for all \( p \geq 1 \).

Our intention now is to study a more general class of line bundles (namely, tensor products of \( p+1 \) different base-point-free line bundles), and in particular, adjoint line bundles. For that we need to follow a different approach: roughly, we are going to use “induction on the dimension”, in the sense explained in the introduction. This approach will unfold throughout this section and the machinery developed along the way will be used for other results of this article, concretely in Sections 3 and 5. We now resume with a result about normal generation:

**Theorem 2.2.** Let \( X \) be an Enriques surface. Let \( B_1, B'_1, B_2 \) and \( B'_2 \) be ample and base-point-free line bundles on \( X \), such that \( B_1 \equiv B'_1, B_2 \equiv B'_2 \) and, either \( B_1 \cdot B_2 \geq 4, B_1^2 \geq 6, \) and \( B_2^2 \geq 6 \) or \( B_1 \cdot B_2 \geq 5 \). Let \( L = B_1^\otimes r \otimes B_2^\otimes s \) and \( L' = B'_1^\otimes k \otimes B'_2^\otimes l \). If \( r, s, k \geq 1, \) and \( l \geq 0 \), then the map \( H^0(L) \otimes H^0(L') \rightarrow H^0(L \otimes L') \) surjects and \( H^1(M_L \otimes L') = H^1(M'_L \otimes L) = 0 \). In particular, \( L \) is very ample and satisfies property \( N_0 \).
Before we go on with the proof of Theorem 2.2, we isolate for convenience three ingredients of the argument, which will be used in many other instances. The first is an observation on the relation between the surjectivity of multiplication maps, and the surjectivity of its restrictions to divisors. The other two are a result due to Butler and another one due to Pareschi, about the surjectivity of multiplication maps of vector bundles on curves.

**Observation 2.3.** Let $X$ be a regular variety (i.e., a variety such that $H^1(\mathcal{O}_X) = 0$). Let $E$ be a vector bundle on $X$, let $C$ be a divisor such that $L = \mathcal{O}_X(C)$ is globally generated line bundle and $H^1(E \otimes L^{-1}) = 0$. If the multiplication map $H^0(E \otimes \mathcal{O}_C) \otimes H^0(L \otimes \mathcal{O}_C) \to H^0(E \otimes L \otimes \mathcal{O}_C)$ surjects, then the map $H^0(E) \otimes H^0(L) \to H^0(E \otimes L)$ also surjects.

**Proof.** We construct the following commutative diagram:

$$
\begin{array}{ccc}
H^0(E) \otimes H^0(\mathcal{O}_X) & \rightarrow & H^0(E) \otimes H^0(L) \\
\downarrow & & \downarrow \\
H^0(E) & \rightarrow & H^0(E \otimes L) \\
\end{array}
$$

The surjectivity of the left hand side vertical map is obvious. The surjectivity of the right hand side vertical map follows by hypothesis. The exactness of the top horizontal sequence follows from the fact that $X$ is regular. The claim is the surjectivity of the middle vertical map. □

**Proposition 2.4 ([Bu], Proposition 2.2).** Let $E$ and $F$ be semistable vector bundles over a curve $C$ such that $E$ is generated by its global sections. If

1. $\mu(F) > 2g$, and
2. $\mu(F) > 2g + \text{rank}(E)(2g - \mu(E)) - 2h^1(E),$

then the multiplication map $H^0(E) \otimes H^0(F) \to H^0(E \otimes F)$ surjects.

**Proposition 2.5 ([P2] Corollary 4.).** Let $N$ and $L$ be two base-point-free line bundles on $C$ such that:

1. at least one of them is very ample;
2. $h^0(N), h^0(L) \geq 3$ and
3. $\text{deg}N + \text{deg}L \geq \max(3g - 3, 4g + 1 - 2h^1(N) - 2h^1(L) - \text{Cliff}(C)).$

Then the multiplication map

$$H^0(L) \otimes H^0(N) \rightarrow H^0(L \otimes N)$$

is surjective.

(2.6) **Proof of Theorem 2.2.** Note first that, since we are working over a field of characteristic 0, any base-point-free line bundle on $X$ has null higher cohomology. If we twist the sequences (1.1) relative to $L$ and $L'$ by $L'$ and $L$ respectively and take global sections, we see at once that $H^1(M_L \otimes L') = H^1(M'_L \otimes L)$ and equal to the cokernel of

$$H^0(L) \otimes H^0(L') \rightarrow H^0(L \otimes L').$$

To see that $\alpha$ indeed surjects, we use Observation 1.4.1. According to it we want to check that several (possibly more than one) multiplication maps surject. We check here the first one; the surjectivity of the rest can be seen in the same way. The map in question is

$$H^0(L) \otimes H^0(B'_i) \rightarrow H^0(L \otimes B'_i).$$
To see the surjectivity of $\beta$, we consider a smooth irreducible curve $C$ in $|B'_1|$ (such curve exists by Bertini’s Theorem because $B'_1$ is ample and base-point-free) and use Observation 2.3. It is therefore enough to check that

$$H^0(L \otimes O_C) \otimes H^0(B'_1 \otimes O_C) \xrightarrow{\gamma} H^0(L \otimes B'_1 \otimes O_C)$$

surjects. For that, if $B_1 \cdot B_2 \geq 5$, we may apply Proposition 2.4. Indeed, the line bundle $B'_1$ is globally generated, and by adjunction $\mu(L) = \deg L \geq 2g(C) + 3 > 2g(C) + 2$. If $B_1 \cdot B_2 = 4$ and $B'_1 \geq 6$, then $g(C) \geq 4$ and, since $C$ is irreducible, it follows that it is non-hyperelliptic (cf. [CD], Proposition 4.5.1). Then the surjectivity of $\gamma$ follows from Proposition 2.5. □

As a corollary of Theorem 2.2 we prove a stronger version of the conjecture of Mukai, in the case of Enriques surfaces and for the property $N_0$. To see that we use the following

Lemma 2.7. Let $A_1$ and $A_2$ be two ample divisors on a surface $X$ with Kodaira dimension 0. Then $A_1 \otimes A_2$ is base-point-free.

Proof. Since $K_X = 0$, $(A_1 \otimes A_2)^2 \geq 5$. By hypothesis $A_1 \otimes A_2$ is ample. If $A_1 \otimes A_2$ were not base-point-free, it would follow from Reider’s theorem that there would exist an effective divisor $E$ such that one of the following holds:

(a) $(A_1 \otimes A_2) \cdot E = 0$ and $E^2 = -1$ or
(b) $(A_1 \otimes A_2) \cdot E = 1$ and $E^2 = 0$.

None of the two possibilities can occur since, $A_i$ being ample, $A_i \cdot E \geq 1$. □

Corollary 2.8. Let $X$ be an Enriques surface and $A_1, \ldots, A_n$ ample line bundles on $X$. Let $L = K_X \otimes A_1 \cdot \cdots \cdot A_n$. If $n \geq 4$, then $L$ satisfies property $N_0$.

Proof. By Lemma 2.7, $K_X \otimes A_1 \otimes A_2$ and $A_3 \cdot \cdots \cdot A_n$ are base-point-free line bundles. There are furthermore ample, and, by adjunction, $(K \otimes A_1 \otimes A_2)^2 \geq 6$, $(A_3 \cdot \cdots \cdot A_n)^2 \geq 6$ and $(K \otimes A_1 \otimes A_2) \cdot (A_3 \cdot \cdots \cdot A_n) \geq 4$. Then the result follows from Theorem 2.2. □

We now generalize these results to higher syzygies. To do so, we need another two lemmas. In the case in which $q$ is a curve $C$, the former allows us to pass from a multiplication map involving non-semistable bundles (note that $M_F \otimes O_C$ is unstable if $H^1(L \otimes O(-C)) = 0$) to a multiplication map involving semistable bundles. This situation is of course easier to handle. The latter lemma deals with positivity and semistability of bundles on curves. They will not only be used for the arguments in the remaining of this section but also in Section 3 and 5.

Lemma 2.9. Let $X$ be a projective variety, let $q$ be a nonnegative integer and let $F_i$ be a base-point-free line bundle on $X$ for all $1 \leq i \leq q$. Let $Q$ be an effective line bundle on $X$ and let $q$ be a reduced and irreducible member of $|Q|$. Let $R$ be a line bundle and $G$ a sheaf on $X$ such that

1. $H^i(F_i \otimes Q^*) = 0$
2. $H^0(M_{F_{j_1} \otimes O_q}) \otimes \cdots \otimes M_{F_{j_q} \otimes O_q} \otimes R \otimes O_q) \otimes H^0(G) \rightarrow$
   \[ \rightarrow H^0(M_{F_{j_1} \otimes O_q}) \otimes \cdots \otimes M_{F_{j_q} \otimes O_q} \otimes R \otimes G \otimes O_q) \text{ surjects for all } 0 \leq q' \leq q.

Then, for all $0 \leq q'' \leq q$ and any subset $\{ j_k \} \subseteq \{ i \}$ with $\# \{ j_k \} = q''$ and for all $0 \leq k' \leq q''$,

\[ H^0(M_{F_{j_1} \otimes \cdots \otimes M_{F_{j_{k'}}} \otimes M_{F_{j_{k'+1} \otimes O_q}} \otimes \cdots \otimes M_{F_{j_{q''} \otimes O_q}} \otimes R \otimes O_q) \otimes H^0(G) \rightarrow \]
\[ \rightarrow H^0(M_{F_{j_1} \otimes \cdots \otimes M_{F_{j_{k'}}} \otimes M_{F_{j_{k'+1} \otimes O_q}} \otimes \cdots \otimes M_{F_{j_{q''} \otimes O_q}} \otimes G \otimes R \otimes O_q) \]
surjects.

Proof. We prove the result by induction on \( q'' \). For \( q'' = 0 \) the corresponding statement is just Condition 2 when \( q = 0 \). Assume that the result is true for \( q'' - 1 \). In order to prove the result for \( q'' \) we will use induction on \( k' \). If \( k' = 0 \), the statement is again just Condition 2. Assume that the result is true for \( k' - 1 \). Now for any \( F \) globally generated vector bundle and for any effective divisor \( q \) such that \( H^1(F \otimes Q^*) = 0 \), for \( Q = O(q) \), we have this commutative diagram:

\[
\begin{array}{cccccc}
0 & \rightarrow & H^0(F \otimes Q^*) \otimes O_q & \rightarrow & H^0(F \otimes Q^*) \otimes O_q & \rightarrow & 0 \\
0 & \rightarrow & M_F \otimes O_q & \rightarrow & H^0(F) \otimes O_q & \rightarrow & F \otimes O_q & \rightarrow & 0 \\
0 & \rightarrow & M_{(F \otimes O_q)} & \rightarrow & H^0(F \otimes O_q) \otimes O_q & \rightarrow & F \otimes O_q & \rightarrow & 0 \\
0 & \rightarrow & 0 & \rightarrow & 0 & \rightarrow & 0
\end{array}
\]

We are interested in the left hand side vertical exact sequence:

2.9.1

\[0 \rightarrow H^0(F \otimes Q^*) \otimes O_q \rightarrow M_F \otimes O_q \rightarrow M_{(F \otimes O_q)} \rightarrow 0\]

By Condition 1, \( F \) can be taken to be \( F_{jk'} \). Tensoring 2.9.1 by

\[M_{F_{j_1}} \otimes \cdots \otimes M_{F_{j_{k'-1}}} \otimes M_{(F_{j_{k'-1}} \otimes O_q)} \otimes \cdots \otimes M_{(F_{j_{k''}} \otimes O_q)} \otimes R \otimes O_q,\]

taking global sections and tensoring by \( H^0(G) \) we obtain this commutative diagram:

\[
\begin{array}{cccccc}
0 & \rightarrow & A \otimes H^0(G) & \rightarrow & B \otimes H^0(G) & \rightarrow & C \otimes H^0(G) & \rightarrow & 0 \\
0 & \rightarrow & A' & \rightarrow & B' & \rightarrow & C'
\end{array}
\]

where \( A = H^0(F_{j_{k'}} \otimes Q^*) \otimes H^0(\bigotimes_{r=1}^{k'-1} M_{F_{j_r}} \otimes \bigotimes_{r=k'+1}^{k''} M_{(F_{j_r} \otimes O_q)} \otimes R \otimes O_q), B = H^0(\bigotimes_{r=1}^{k'} M_{F_{j_r}} \otimes \bigotimes_{r=k'+1}^{k''} M_{(F_{j_r} \otimes O_q)} \otimes R \otimes O_q), C = H^0(\bigotimes_{r=1}^{k'-1} M_{F_{j_r}} \otimes \bigotimes_{r=k'+1}^{k''} M_{(F_{j_r} \otimes O_q)} \otimes R \otimes O_q), A' = H^0(F_{j_{k'}} \otimes Q^*) \otimes H^0(\bigotimes_{r=1}^{k'-1} M_{F_{j_r}} \otimes \bigotimes_{r=k'+1}^{k''} M_{(F_{j_r} \otimes O_q)} \otimes R \otimes O_q)), B' = H^0(\bigotimes_{r=1}^{k'} M_{F_{j_r}} \otimes \bigotimes_{r=k'+1}^{k''} M_{(F_{j_r} \otimes O_q)} \otimes G \otimes O_q), C' = H^0(\bigotimes_{r=1}^{k'-1} M_{F_{j_r}} \otimes \bigotimes_{r=k'+1}^{k''} M_{(F_{j_r} \otimes O_q)} \otimes G \otimes O_q).\]

The left hand side vertical sequence surjects by the induction hypothesis on \( q'' \) and the right hand side exact sequence surjects by induction on \( k' \) (we have assumed the result to be true for \( q'' - 1 \) and \( k' - 1 \)). Therefore we obtain the surjectivity of the vertical sequence sitting in the middle of the commutative diagram. \( \square \)

**Lemma 2.10.** Let \( E \) be a semistable vector bundle with \( \mu(E) > 2g \) and \( F \) a vector bundle on a curve \( C \) of genus \( g \).

1. If \( \mu(F) \geq 2g + 4 \), then \( \mu(M_E \otimes F) > 2g + 2 \).
2. If \( \mu(F) \geq 2g + 2 \), then \( \mu(M_E \otimes F) > 2g \).
Moreover, if $F$ is in addition semistable, then $M_E \otimes F$ is semistable.

Proof. Since $E$ is semistable and $\mu(E) > 2g$, $E$ is globally generated and $h^1(E) = 0$, hence the vector bundle $M_E$ is defined and has slope

$$\mu(M_E) = \frac{-\mu(E)}{\mu(E) - g}.$$  

Then, for (1), $\mu(M_E \otimes F) \geq \frac{-\mu(E)}{\mu(E) - g} + 2g + 4$. Thus if $\frac{-\mu(E)}{\mu(E) - g} + 2g + 4 > 2g + 2$ we are done, but that inequality is equivalent to $\mu(E) > 2g$. The proof of (2) is analogous. Now, if $F$ is semistable by [Bu], Theorem 1.12 and [Mi], Corollary 3.7, $M_E \otimes F$ is also semistable. □

**Theorem 2.11.** Let $X$ be an Enriques surface. Let $B_1$, $B_1'$, $B_2$ and $B_2'$ be two ample and base-point-free divisors such that $B_1 \equiv B_1'$, $B_2 \equiv B_2'$ and $B_1 \cdot B_2 \geq 6$. Let $L = B_1^{\otimes k} \otimes B_2^{\otimes r}$ and $L' = B_1'^{\otimes k} \otimes B_2'^{\otimes l}$. If $k, l, r, s \geq 1$, then $H^1(M_L^{\otimes 2} \otimes L') = 0$. In particular, $L'$ satisfies property $N_1$.

Proof. The cohomology group $H^1(M_L^{\otimes 2} \otimes L') = 0$ sits in the long exact sequence

$$H^0(L) \otimes H^0(M_L \otimes L') \xrightarrow{\alpha} H^0(M_L \otimes L \otimes L') \rightarrow H^1(M_L^{\otimes 2} \otimes L') \rightarrow H^0(L) \otimes H^1(M_L \otimes L'),$$

obtained by tensoring (1.1) relative to $L$ with $M_L \otimes L'$ and taking global sections. The last term is zero by Theorem 2.2, thus it is enough to prove that $\alpha$ is surjective. To show the surjectivity of $\alpha$ we use Observation 1.4.1. According to it we need to check the surjectivity of several maps. Here we will only show the surjectivity of the first of them, since the rest are analogous:

$$H^0(B_1) \otimes H^0(M_L \otimes L') \xrightarrow{\beta} H^0(M_L \otimes L' \otimes B_1).$$

Let $C$ be a smooth member of $|B_1|$. From Theorem 2.2 it follows that $H^1(M_L \otimes L' \otimes B_1) = 0$, therefore we may apply Observation 2.3 to reduce the question of surjectivity of $\beta$ to the surjectivity of the following multiplication map on $C$:

$$H^0(B_1 \otimes O_C) \otimes H^0(M_L \otimes L' \otimes O_C) \rightarrow H^0(M_L \otimes L' \otimes B_1 \otimes O_C).$$

By Lemma 2.9 it is enough to check that the following multiplication maps on $C$ are surjective:

$$H^0(B_1 \otimes O_C) \otimes H^0(L' \otimes O_C) \rightarrow H^0(B_1 \otimes L' \otimes O_C)$$

$$H^0(B_1 \otimes O_C) \otimes H^0(M_L \otimes O_C \otimes L' \otimes O_C) \rightarrow H^0(M_L \otimes O_C \otimes L' \otimes B_1 \otimes O_C).$$

The surjectivity of the first map was already seen within the course of proving Theorem 2.2. For $\gamma$, we use Proposition 2.4. Since deg($L \otimes O_C$) and deg($L' \otimes O_C$) are both greater than or equal to $2g + 4$, it follows from Lemma 2.10 that the bundle $M_L \otimes O_C \otimes L' \otimes O_C$ is semistable with slope strictly bigger than $2g + 2$. Then it follows from Proposition 2.4 that $\gamma$ is surjective and we are done. Now, since $L'$ is ample, it follows from the vanishing of $H^1(M_L^{\otimes 2} \otimes L'^{\otimes s})$ for all $s \geq 1$, Theorem 2.2 and Theorem 1.2, that $L'$ satisfies property $N_1$. □

We obtain the following corollary, which proves Mukai’s conjecture (and when considering powers of the same ample bundle, improves his bound), regarding property $N_1$ for Enriques surfaces.
Corollary 2.12. Let $X$ be an Enriques surface. Let $A, A_1, \ldots, A_n$ be ample line bundles. Then the line bundles $K_X \otimes A^{\otimes m}$ and $K_X \otimes A_1 \otimes \cdots \otimes A_n$ satisfy property $N_1$ if $m \geq 4$ and $n \geq 5$ respectively.

Proof. For the former case, let $B_1 = K_X \otimes A^{\otimes 2}$ and $B_2 = A^{\otimes m-2}$. For the latter, let $B_1 = K_X \otimes A_1 \otimes A_2 \otimes A_3$ and $B_2 = A_1 \otimes \cdots \otimes A_n$. In both cases, $B_1$ and $B_2$ are ample, and base-point-free by Lemma 2.7. Furthermore, $B_1 \cdot B_2 \geq 6$, consequently the result follows from Theorem 2.11. $\square$

To finish this section we show a result for higher syzygies of adjoint bundles. Before that we state a useful lemma dealing with the numerical nature of the property of base-point-freeness.

Lemma 2.13. Let $X$ be a surface with nonnegative Kodaira dimension and let $B$ be an ample and base-point-free line bundle such that $B^2 \geq 5$. If $B' \equiv B$, then $K_X \otimes B'$ is ample and base-point-free. In particular, if $\kappa(X) = 0$, $B'$ is ample and base-point-free for all $B' \equiv B$.

Proof. The line bundle $B'$ is ample because ampleness is a numerical condition and has self-intersection greater than or equal to 5. If $K_X \otimes B'$ has base points, by Reider’s theorem there is an effective divisor $E$ such that:

(a) $B' \cdot E = 0$ and $E^2 = -1$ or
(b) $B' \cdot E = 1$ and $E^2 = 0$.

The former cannot happen because $B'$ is ample. We will also rule out (b). The divisor $E$ must be irreducible and reduced because $B'$ is ample and $B' \cdot E = 1$. On the other hand, the arithmetic genus of $E$ is greater than or equal to 1. Now $B \cdot E = B' \cdot E = 1$ so $h^0(B \otimes O_E) \leq 1$. Since $B$ is base-point-free, $E$ should be a smooth rational curve and this is a contradiction. $\square$

Theorem 2.14. Let $X$ be an Enriques surface. Let $B$ be an ample and base-point-free line bundle such that $B^2 \geq 6$ and let $N, N'$ be line bundles numerically equivalent to 0 (i.e., they are either trivial or equal to $K_X$). Let $L = B^{\otimes p+1+l} \otimes N$, $L' = B^{\otimes p+1+k} \otimes N'$ for $p \geq 1$. Then $H^1(M_L^{\otimes p+1} \otimes L')$ vanishes for all $k, l \geq 0$. In particular $L$ satisfies property $N_p$.

Proof. Since $B^2 \geq 6$, by Lemma 2.13 the line bundle $B \otimes N$ is also ample and base-point-free. The proof is by induction. The result is true for $p = 1$ by Theorem 2.11. We assume now the result to be true for $p-1$. In particular we have $H^1(M_L^{\otimes p} \otimes L') = 0$. Tensoring the sequence (1.1) with $M_L^{\otimes p} \otimes L'$ and taking global sections yields therefore the following long exact sequence

$$H^0(L) \otimes H^0(M_L^{\otimes p} \otimes L') \xrightarrow{\alpha} H^0(M_L^{\otimes p} \otimes L \otimes L') \to H^1(M_L^{\otimes p+1} \otimes L') \to 0,$$

thus it is enough to prove that the multiplication map $\alpha$ is surjective. Then by Observation 1.4.1 it is enough to see the surjectivity of

$$H^0(B') \otimes H^0(M_L^{\otimes p} \otimes B^{\otimes p+1+k} \otimes N') \xrightarrow{\beta} H^0(M_L^{\otimes p} \otimes B^{\otimes p+1+k} \otimes B' \otimes N'),$$

where $B'$ is either $B$ or $B \otimes N$. Now to complete the proof one can argue in two ways. One of them is using (1.4.2). The path to follow is shown in the proof of Theorem 1.3 but we outline here the steps to be taken. The first cohomology vanishing required,

\begin{equation}
H^1(M_L^{\otimes p} \otimes B^{\otimes p+1+k} \otimes N' \otimes B'^*)
\end{equation}

follows directly by induction. For the second cohomology vanishing one may observe that, after iteratively chasing the cohomology sequence, it follows by induction, from Theorem 2.2 and Kodaira vanishing Theorem. The other way to argue is as for the surjectivity of $\beta$ in the proof of Theorem 2.11: one uses Lemma 2.9 to reduce the problem to checking the surjectivity of multiplication maps on a curve.

Finally since $L$ is ample, Theorem 1.2 implies that $L$ satisfies $N_p$. $\square$
Corollary 2.15. Let $X$ be an Enriques surface, let $A$ be an ample line bundle and $B$ an ample and base-point-free line bundle on $X$. If $m \geq p + 1$, then $K_X \otimes B^{\otimes m}$ satisfies property $N_p$. If $n \geq 2p + 2$, then $K_X \otimes A^{\otimes n}$ satisfies property $N_p$.

Proof. The first statement is a straightforward consequence of the theorem. By Lemma 2.7, the line bundle $A^{\otimes 2}$ is base-point-free, so if $n$ is even the second statement follows from the first. If $n$ is odd the result follows from a slight variation of the argument in the proof of Theorem 2.14: we break up $K_X \otimes A^{\otimes n}$ as tensor product of $n - 1$ copies of $B = A^{\otimes 2}$ and $B' = A^{\otimes 3}$, which is base-point-free by Lemma 2.7. When applying Observation 1.4.1 we take the last map among the $\alpha_i$ to be precisely the map involving $B'$. The reader can easily verify that the vanishings needed in order to apply (1.4.2) follow by induction or, eventually, by Kodaira vanishing Theorem. \qed

3. Koszul rings of Enriques surfaces

We have devoted Section 2 to the study of syzygies of embeddings of Enriques surfaces. We show in particular a result, Theorem 2.11, about normal presentation of line bundles which were the tensor product of two base-point-free line bundles. Recall that the normal presentation property means that the homogeneous ideal of the (projectively normal) variety is generated by forms of degree 2. As already pointed out in the introduction, an interesting algebraic property that many normally presented rings have is the Koszul property. There exist many significant examples: canonical rings of curves (cf. [FV], [PP]), rings of curves of degree greater than or equal to $2g + 2$ (cf. [Bu], [GP1]), elliptic ruled surfaces (cf. [GP1], Theorem 5.8) and those line bundles on Enriques surfaces which are normally presented according to Theorem 2.1 (cf. [GP1], Corollary 5.7). This section provides yet one more case in favor of this philosophy: we will show in Theorem 3.5 that those line bundles on an Enriques surface which are normally presented according to Theorem 2.11 also satisfy the Koszul property. Moreover, in the course of proving the result, it can be seen how the property $N_1$ is one of the first conditions required for the ring to be Koszul.

To begin we recall some notation and some basic definitions: given a line bundle $L$ on a variety $X$, we set $R(L) = \bigoplus_{n=0}^{\infty} H^0(X, L^{\otimes n})$.

Definition 3.1. Let $R = k \oplus R_1 \oplus R_2 \oplus \ldots$ be a graded ring and $k$ a field. $R$ is a Koszul ring iff $Tor_i^R(k, k)$ has pure degree $i$ for all $i$.

We recall now a cohomological interpretation, due to Lazarsfeld, of the Koszul property for a coordinate ring $R(L)$. Let $L$ be a globally generated line bundle on a variety $X$. We will denote $M^{0,L} := L$ and $M^{1,L} := M_L \otimes L = M_{M^{0,L}} \otimes L$. If $M^{1,L}$ is globally generated, we denote $M^{2,L} := M_{M^{1,L}} \otimes L$. We repeat the process and define inductively $M^{h,L} := M_{M^{h-1,L}} \otimes L$, if $M^{h-1,L}$ is globally generated. Now we are ready to state the following slightly modified version of [P1], Lemma 1:

Lemma 3.2. Let $X$ be a projective variety over an algebraic closed field $k$. Let $L$ be an ample and base-point-free line bundle on $X$. Then $R(L)$ is Koszul iff $M^{h,L}$ exists, is globally generated and $H^0(M^{h,L}) \otimes H^0(L^{\otimes s+1}) \rightarrow H^0(M^{h,L} \otimes L^{\otimes s+1})$ is surjective for all $h \geq 0$, $s \geq 0$. If, in addition, $H^1(L^{\otimes s+1}) = 0$ for every $s \geq 0$, then $R(L)$ is Koszul iff $H^1(M^{h,L} \otimes L^{\otimes s}) = 0$ for every $h \geq 0$ and every $s \geq 0$.

The proof of Theorem 3.5 will follow the same strategy of Section 2, i.e., we will translate the problem in terms of a question about vector bundles over a suitable curve $C$ of $X$. For that purpose we need now a way to relate $M^{(h,L)}$ to $M^{(h,L) \otimes \mathcal{O}_C}$. We carry this out link by link:

Definition 3.3. Let $X$ be a variety, let $L$ be a line bundle on $X$ and let $\mathcal{b}$ be a (smooth) effective divisor on $X$. Assume that $M^{h',L}$ is defined for all $h \geq h' \geq 0$ (i.e., inductively, $M^{h'-1,L}$ is defined
and globally generated). We then define, for all \(0 \leq h' \leq h\), \(M^{h',L}_{h',b} = M^{h',L} \otimes \mathcal{O}_b\). Then \(M^{h',L}_{h',b}\) is globally generated and we define \(M^{h'+1,L}_{h',b} = M^{h',L}_{h',b} \otimes L\). If \(M^{h'+1,L}_{h',b}\) is again globally generated we define \(M^{h'+2,L}_{h',b} = M^{h'+1,L}_{h',b} \otimes L\) and so on.

**Lemma 3.4.** Let \(X\) be a variety, \(b\) be a (smooth) effective divisor on \(X\) and let \(B = \mathcal{O}(b)\). Let \(L\) be a base-point-free line bundle on \(X\) such that \(M^{h',L}\) is globally generated and \(H^1(M^{h',L} \otimes B^*) = 0\) for all \(0 \leq h' \leq h - 1\), \(H^1(L \otimes \mathcal{O}_b) = 0\), and \(L \otimes \mathcal{O}_b\) is Koszul. Then,

1. \(H^1(M^{h,L}_{h,b}) = 0\) for all \(0 \leq h' \leq h\).
2. \(H^1(M^{h,L}_{h,b}) = 0\) for all \(0 \leq h' \leq h\).
3. \(0 \rightarrow H^0(M^{h-1,L} \otimes B^*) \otimes M^{h-1,L}_{0,b} \rightarrow M^{h,L}_{h,b} \rightarrow M^{h,L}_{h-1,b} \rightarrow 0\), for all \(1 \leq h' \leq h\).

**Proof.** The proof is by induction on \(h\). If \(h = 0\), the result is part of the hypotheses. If \(h = 1\), the exact sequence in (3) is 2.9.1 when we set \(F = L\) and twisted by \(L\). Let us write \(L_b = L \otimes \mathcal{O}_b\).

Since \(H^1(L_b) = 0\) and \(L_b\) is Koszul, \(H^1(M^{1,L}_{1,b}) = 0\), therefore using (3) we obtain indeed that \(H^1(M^{1,L}_{1,b}) = 0\). The bundle \(M^{1,L}_{1,b}\) is globally generated because \(L_b\) is Koszul. Finally the fact that \(M^{1,L}_{1,b}\) is globally generated follows again from (3): we have the following exact commutative diagram

\[
\begin{array}{ccc}
H^0(L \otimes B^*) \otimes H^0(L_b) \otimes \mathcal{O}_b & \rightarrow & H^0(M \otimes L_b) \otimes \mathcal{O}_b \\
\downarrow & & \downarrow \\
H^0(L \otimes B^*) \otimes L_b & \rightarrow & M \otimes L_b \\
\end{array}
\]

in which the vertical side arrows are surjective because \(L_b\) and \(M \otimes L_b = M^{1,L}_{1,b}\) are both globally generated. Let us now assume the result to be true for \(h - 1\) and prove it for \(h\). We again prove (3) first. If \(h = h'\); again (3) is nothing but 2.9.1, setting \(F = M^{h-1,L}\) (which we know by induction hypothesis to be globally generated) and twisted by \(L\). If \(h > h'\), by induction on \(h\) we have the sequence

\[
0 \rightarrow H^0(M^{h-1,L} \otimes B^*) \otimes M^{h-1,L}_{0,b} \rightarrow M^{h-1,L}_{h',b} \rightarrow M^{h-1,L}_{h'-1,b} \rightarrow 0 .
\]

Call \(V = H^0(M^{h-1,L} \otimes B^*)\). Taking global sections, we build this exact commutative diagram:

\[
\begin{array}{ccc}
V \otimes H^0(M^{h-1,L}_{0,b}) \otimes \mathcal{O}_b & \rightarrow & H^0(M^{h-1,L}_{h',b}) \otimes \mathcal{O}_b \\
\downarrow & & \downarrow \\
V \otimes M^{h-1,L}_{0,b} & \rightarrow & M^{h-1,L}_{h',b} \\
\end{array}
\]

The top horizontal sequence is exact at the right because \(H^1(M^{h-1,L}_{0,b}) = 0\), by induction hypothesis. The vertical arrows are surjective because the vector bundles involved are globally generated by induction hypothesis on \(h\). The short exact sequence of kernels is then, after tensoring by \(L_b\), the sequence wanted for (3). To prove (2), we use induction on \(h'\). If \(h' = 0\) both (1) and (2) follow from the fact that \(L_b\) is Koszul and \(H^1(L_b) = 0\). Now assume that (1) and (2) hold for \(h' - 1\). Condition (2) is a straightforward consequence of already proven (3) and induction hypothesis on both \(h\) and \(h'\). For (1) we use induction on both \(h\) and \(h'\) and (3) just proven. If \(h = 0\) the surjectivity just follows from the fact that \(L_b\) is Koszul, hence normally generated. If \(h = 0\) the surjectivity just follows from the fact that \(L_b\) is Koszul. Assume now that the claim holds for \(h' - 1\). The surjectivity of the map for \(h'\) follows then by chasing the commutative diagram of multiplication maps, built upon
(3), having in account the vanishing of $H^1(M_{h-b}^{h-h'}L)$, which follows from (2), and the surjectivity of the vertical side maps, which follows from induction hypothesis on $h$ and $h'$. Then the fact that $L_b$ is ample implies the global generation of $M_{h,b}^{h,L}$ as wished. □

We are now ready to prove the main theorem of this section:

**Theorem 3.5.** Let $X$ be an Enriques surface. Let $B_1$ and $B_2$ be ample and base-point-free line bundles, such that $B_1 \cdot B_2 \geq 6$. If $L = B_1 \otimes B_2$, then $R(L)$ is Koszul.

**Proof.** According to Lemma 3.2 we need to show that $M^{h,L}$ is globally generated and that

$$H^0(M^{h,L}) \otimes H^0(L^{\otimes s}) \xrightarrow{\alpha} H^0(M^{h,L} \otimes L^{\otimes s})$$

surjects for all $h \geq 0$ and $s \geq 1$. To better carry out the argument, is convenient to also prove $H^1(M^{h,L} \otimes B_1^*) = H^1(M^{h,L} \otimes B_2^*) = 0$. The proof is by induction on $h$. If $h = 0$ the result is the projective normality of $L = B_1 \otimes B_2$, which follows from Theorem 2.2, and Kodaira vanishing. Now assume the result for $h-1$. Since $L$ is ample, the surjectivity of $\alpha$ implies the global generation of $M^{h,L}$, hence we can assume that $M^{h',L}$ is globally generated for all $0 \leq h' < h$ and we need only to prove that $\alpha$ surjects and that $H^1(M^{h,L} \otimes B_1^1) = H^1(M^{h,L} \otimes B_2^2) = 0$. We start proving the former and in the course of the proof we will also obtain the desired vanishings. According to Observation 1.4.1 we are done if we prove that certain collection of multiplication maps surject. We prove the surjectivity of the first of them, which is

$$H^0(M^{h,L}) \otimes H^0(B_1) \xrightarrow{\beta} H^0(M^{h,L} \otimes B_1).$$

The argument to prove the surjectivity of the rest is analogous. We prove it using again induction on $h$. We proved the statement for $h = 0$ in the course of proving the projective normality of $L$ in Theorem 2.2. Assume the statement to be true for $h-1$ (we may also assume the surjectivity of the map $\beta$ for $h-1$ if we substitute in the formula $B_1$ by $B_2$, since the roles of $B_1$ and $B_2$ are interchangeable. Consider the sequence

$$H^0(M^{h-1,L}) \otimes H^0(B_2) \xrightarrow{\gamma} H^0(M^{h-1,L} \otimes B_2) \xrightarrow{} H^1(M^{h,L} \otimes B_1^1) \to H^1(M^{h,L} \otimes B_2^2) = 0.$$ 

The multiplication map $\gamma$ is surjective by induction hypothesis. The group $H^1(M^{h-1,L})$ vanishes also by induction hypothesis, therefore $H^1(M^{h,L} \otimes B_1^1) = 0$. On the other hand $H^1(O_X) = 0$, so in order to see the surjectivity of $\beta$ it is enough to check the surjectivity of

$$H^0(M_{b_1}^{h,L}) \otimes H^0(B_1 \otimes O_{b_1}) \xrightarrow{\delta} H^0(M_{b_1}^{h,L} \otimes B_1),$$

where $b_1$ is a smooth irreducible curve in $|B_1|$. To see the surjectivity of $\delta$ we will use Lemma 3.4 inductively on $h'$. More precisely we want to prove that

$$H^0(M_{b_1}^{h,L}) \otimes H^0(B_1 \otimes O_{b_1}) \to H^0(M_{b_1}^{h,L} \otimes B_1)$$

surjects for all $0 \leq h' \leq h$. If $h' = 0$, $M_{0,b_1}^{h,L}$ is semistable with slope strictly bigger than $2g + 2$ by Lemma 2.10, hence by Proposition 2.4 the multiplication map in question is surjective. Now assume the statement to be true for $h' - 1$. We take global sections in the sequence in part (3)
of the statement of Lemma 3.4 and tensor with $U = H^0(B_1 \otimes O_{b_1})$ to obtain the following exact commutative diagram,

$$
\begin{align*}
W \otimes H^0(M_{0,b_1}^h) &\to H^0(M_{h,b_1}^L) \otimes U &\to H^0(M_{h,b_1}^L) \\
\downarrow & & \downarrow \\
W \otimes H^0(M_{0,b_1}^{h,L} \otimes B_1) &\to H^0(M_{h,b_1}^{L} \otimes B_1) &\to H^0(M_{h,b_1}^{L} \otimes B_1),
\end{align*}
$$

where $W = H^0(M_{h,b_1}^{L} \otimes B_1)$. The surjectivity of the left hand side vertical map and the exactness at the right of the top horizontal sequence follow both from Proposition 2.4 and Lemma 2.10. The surjectivity of the right hand side vertical map follows by the induction hypothesis on $h'$. □

4. ABELIAN AND BIELLIPTIC SURFACES

In this section we deal with the remaining classes of surfaces with Kodaira dimension 0, namely, those with nonzero irregularity. For the techniques employed we return to those used in the arguments of Section 1. The main theorem we will prove is

**Theorem 4.1.** Let $X$ be an Abelian or a bielliptic surface. Let $B$ be an ample and base-point-free line bundle with $B^2 \geq 5$ and let $N$ be a numerically trivial line bundle on $X$. Let $L_1 \equiv B^{\oplus l_1} + 1$ and $L_2 \equiv B^{\oplus l_2} + 1$. If $l_1, l_2 \geq p \geq 1$, then

$$
H^1(M_{L_1}^{\otimes p+1} \otimes L_2) = H^1(M_{L_1} \otimes L_2) = 0.
$$

In particular, if $n \geq p \geq 1$, then $B^{n+1} \otimes N$ satisfies the property $N_p$.

Before we prove Theorem 4.1 we need the following

**Lemma 4.2.** Let $X$ be a surface with $\kappa = 0$. Let $B$ be an ample and base-point-free line bundle. Let $L_1 = B_1^1 \otimes \cdots \otimes B_1^{l_1}$, where $B_1^i \equiv B$ are base-point-free line bundles and $l_1 \geq 1$ and $L_2 = B_2^1 \otimes \cdots \otimes B_2^{l_2}$, where $B_2^i \equiv B$ and $l_2 \geq 1$. If either

1. $l_1$ or $l_2$ are greater than or equal to 3 or,
2. $l_1 = 2$, $l_2 = 1$ or 2 and $H^2(L_1 \otimes (B_2^i)^{-2}) = 0$ or,
3. $X$ is Abelian or bielliptic surface, $B^2 \geq 5$, and $l_1 = 2 = l_2$,

then $H^1(M_{L_1} \otimes L_2) = 0$.

**Proof.** In cases (1) and (2) the result follows from iteratively applying (1.4.2) using Observation 1.4.1 and Kodaira vanishing. In case (3), let us write $L_1$ as $B^{\otimes 2} \otimes E_1$ with $E_1 \equiv 0$. We can find $E \equiv 0$ with $E^{\otimes 2} \neq E_1 \otimes K^*$, because not all elements in $\text{Pic}^0(X)$ (the group of all numerically trivial line bundles up to linear equivalence) have order 2. Then, by Lemma 2.13, we can assume that $B_2^i = B \otimes E$. Then $H^2(L_1 \otimes (B_2^i)^{-2}) = H^0(K \otimes E^{\otimes 2} \otimes E_1^*) = 0$, which follows from our choice of $E$, and we are in case (2). □

(4.3) **Proof of Theorem 4.1.** The vanishing of $H^1(M_{L_1} \otimes L_2)$ is a consequence of Lemma 4.2. The proof of the vanishings of $H^1(M_{L_1}^{\otimes p+1} \otimes L_2)$ is by induction. As usual the key step is the first: $p = 1$. We need to prove that $H^1(M_{R_1}^{\otimes 2} \otimes R_2) = 0$ if $R_1 \equiv B^{\oplus r_1}$ and $R_2 \equiv B^{\oplus r_2}$ and $r_1, r_2 \geq 2$. Using the sequence (1.1) we obtain

$$
\begin{align*}
H^0(M_{R_1} \otimes R_2) &\to H^0(M_{R_1} \otimes R_1 \otimes R_2) \\
&\to H^1(M_{R_1}^{\otimes 2} \otimes R_2) \to H^1(M_{R_1} \otimes R_2) \otimes H^0(R_1).
\end{align*}
$$
The group $H^1(M_{R_1} \otimes R_2)$ vanishes by Lemma 4.2. Therefore the sought vanishing is equivalent to
the surjectivity of $\alpha$. If $r_1 \geq 3$, let $B_1^1 = B$. If $r_1 = 2$, let $R_1 = B^{\otimes 2} \otimes E_1$. Analogously, if $r_2 = 2$, let $R_2 = B^{\otimes 2} \otimes E_2$. We may now assume if $r_1 \geq 2$, by Lemma 2.13, that $B_1^1 = B \otimes E$ with $E \equiv 0$ but $E^{\otimes 2} \neq K^* \otimes E_2$ and, if in addition $r_2 = 2$, that $E^{\otimes 2} \neq K \otimes E_2^{\otimes 2} \otimes E_1^*$ also. We can always find such an $E$ if not all elements in $\text{Pic}^0(X)$ have order 2, 4 or 6. This is the case for Abelian and bielliptic surfaces, which possess numerically trivial line bundles of infinite order. Then, to see that $\alpha$ surjects, by (1.4.2) and Observation 1.4.1 it suffices to check that

\begin{align*}
\text{(4.3.1) } & H^1(M_{R_1} \otimes R_2 \otimes (B_1^1)^*) = 0 \\
\text{(4.3.2) } & H^2(M_{R_1} \otimes R_2 \otimes (B_1^1)^{-2}) = 0 \\
\text{(4.3.3) } & H^1(M_{R_1} \otimes R_2^* \otimes B^{\otimes \gamma}) = 0 \text{ for all } 0 \leq \gamma \text{ and } R_2^* \equiv R_2 \\
\text{(4.3.4) } & H^2(M_{R_1} \otimes R_2^* \otimes B^{\otimes \gamma-1}) = 0 \text{ for all } 0 \leq \gamma \text{ and } R_2^* \equiv R_2 .
\end{align*}

The vanishing (4.3.4) follows from (1.1) and Kodaira vanishing Theorem. The vanishing (4.3.2) follows from (1.1), Kodaira vanishing Theorem and the way in which we have chosen $E$. The vanishing in (4.3.3) follows from Lemma 4.2. Finally, (4.3.1) follows from Lemma 4.2 once we see that if $r_1 = r_2 = 2$, $H^2(R_1 \otimes R_2^* \otimes (B_1^1)^{\otimes 2}) = H^2(E_1 \otimes E^{\otimes 2} \otimes E_2^*) = 0$, which follows from the way in which we have chosen $E$.

Assume the result true for $p - 1$ and $p > 1$. We have the following sequence:

$$H^0(M_{R_1} \otimes R_2) \otimes H^0(R_1) \xrightarrow{\beta} H^0(M_{R_1} \otimes R_1 \otimes R_2)$$

$$\rightarrow H^1(M_{R_1} \otimes R_2) \rightarrow H^1(M_{R_1} \otimes R_2) \otimes H^0(R_1) .$$

The last term is zero by induction hypothesis, so the desired vanishing is equivalent to the surjectivity of $\beta$. This follows from Observation 1.4.1 and (1.4.2). In fact, the required vanishings follow by induction, Kodaira vanishing Theorem and Lemma 4.2.

For the last conclusion of the theorem, note that

$$H^1(M_{L_1}^{\otimes p} \otimes L^{\otimes s}) = 0 \text{ for all } p \geq p' \geq 0 \text{ and all } s \geq 1 .$$

Then, by Theorem 1.2, $L$ satisfies property $N_p$.

Either as straight forward consequence of Theorem 4.1 or from the same ideas we have been using we obtain results for adjoint linear series:

**Corollary 4.4.** Let $X$ be an Abelian or bielliptic surface. Let $B$ be an ample and base-point-free line bundle such that $B^2 \geq 5$. Then $K \otimes B^{\otimes n}$ satisfies property $N_p$ if $n \geq p + 1$, $p \geq 1$.

Corollary 4.4 implies Mukai’s conjecture for Abelian and bielliptic surfaces and $p = 0$, and for $p = 1$ (in the latter case, our result improves Mukai’s bound):

**Corollary 4.5.** Let $X$ be an Abelian or bielliptic surface. Let $A$ be an ample line bundle and $L = K_X \otimes A^{\otimes n}$. If $n \geq 2p + 2$ and $p \geq 1$, then $L$ satisfies property $N_p$. In particular, if $n \geq 4$, $L$ satisfies property $N_1$.

*Proof.* $A^{\otimes 2}$ is base-point-free by Lemma 2.7 (for Abelian surfaces this also follows from Lefschetz’s Theorem) and since $K \equiv 0$, $A^2 \geq 2$ and $(A^{\otimes 2})^2 \geq 8$. Then, if $n$ is even the result is a straight forward consequence of Corollary 4.4. If $n$ is odd the situation is the same as that of Corollary 2.15 and we proceed analogously. □
Remark 4.6. If \( X \) is an Abelian surface the above result was proven by Kempf (cf. [Ke]). However, the results proven in this chapter are more general: for instance, since on an Abelian surface a polarization of type \((1,3)\) is base-point-free, Corollary 4.4 implies that a line bundle of type \((p + 1, 3p + 3)\) satisfies property \( N_p \). This fact does not follow from Kempf's result.

To end this section we carry out a study analogous to the one realized for Enriques surfaces in Section 3: the following theorem proves in particular that the line bundles satisfying property \( N_1 \) according to Theorem 4.1 have also a Koszul coordinate ring.

**Theorem 4.7.** Let \( X \) be an Abelian or a bielliptic surface. Let \( B_1 \) and \( B_2 \) be numerically equivalent ample and base-point-free line bundles with self-intersection bigger than or equal to 5. If \( L = B_1 \otimes B_2 \), then \( R(L) \) is Koszul. In particular \( L \) satisfies property \( N_1 \).

In order to prove the theorem we use the following result which is basically a reformulation of [GP1], Theorem 5.4 for the case of surfaces with \( \kappa = 0 \):

**Lemma 4.8.** Let \( X \) be a surface with \( \kappa = 0 \), let \( B_1 \) and \( B_2 \) be two ample and base-point-free line bundles. If \( H^2(B_1 \otimes B_2^*) = H^2(B_2 \otimes B_1^*) = 0 \), then the following properties are satisfied for all \( h \geq 0 \):

1) \( M^{h,L} \) is globally generated
2) \( H^j(M^{h,L} \otimes B_1^{\otimes b_1} \otimes B_2^{\otimes b_2}) = 0 \) for all \( b_1, b_2 \geq 0 \)
3) \( H^j(M^{h,L} \otimes B_j^*) = 0 \) where \( j = 1, 2 \)
4) \( H^j(M^{h,L} \otimes B_i \otimes B_j^*) = 0 \) where \( i = 1, 2 \) and \( j = 2, 1 \)
5) \( H^j(M^{h,L} \otimes B_i^{\otimes 2} \otimes B_j^*) = 0 \) where \( i = 1, 2 \) and \( j = 2, 1 \)

In particular \( H^j(M^{(h,L)} \otimes L^{\otimes s}) = 0 \) for all \( h, s \geq 0 \), and \( R(L) \) is a Koszul \( k \)-algebra.

**Proof.** For the proof of the lemma we refer to [GP1]. Since now \( B_1 \) and \( B_2 \) are ample and \( K_X \equiv 0 \), we obtain all the vanishings of the groups \( H^1(B_1^{\otimes a} \otimes B_2^{\otimes b}) \) when \( a, b \geq 0 \) and \( a + b \geq 1 \) needed in the proof, by Kodaira vanishing Theorem, making therefore unnecessary to assume the vanishings of \( H^1(B_1), H^1(B_2) \) and \( H^2(O_X) \). \( \square \)

4.9. **Proof of Theorem 4.7.** The result follows from Lemma 4.8. If \( X \) is bielliptic, the only problem we might have is if \( B_1 = B_2 \otimes K_X \) or if \( B_2 = B_1 \otimes K_X \). In the former case, choose a line bundle \( E \in \text{Pic}^0(X) \) such that \( E^{-2} \neq 0 \) and \( K_X^{\otimes 2} \otimes E^{\otimes 2} \neq 0 \). In the latter case choose \( E \) such that \( E^{\otimes 2} \neq 0 \) and \( K_X^{\otimes 2} \otimes E^{-2} \neq 0 \). Let then \( B_1' = B_1 \otimes E \) and \( B_2' = B_2 \otimes E^* \). The desired result follows if we apply Lemma 4.8 to \( B_1' \) and \( B_2' \) instead.

If \( X \) is an Abelian surface, \( K_X \) is trivial, so the only problem applying Lemma 4.8 would appear when \( B_1 = B_2 \). This is solved analogously considering \( B_1' = B_1 \otimes E \) and \( B_2' = B_2 \otimes E^* \), where now \( E \) is taken to have nontrivial square. \( \square \)

5. **Surfaces of positive Kodaira dimension**

In this section we focus on the study of adjoint linear series of surfaces of positive Kodaira dimension. We find sufficient conditions for the normal generation and the normal presentation of the adjoint linear series and of the powers of an ample and base-point-free line bundle. For the latter case we also generalize the results to higher syzygies. One can look upon these results as an analogue for projective normality and higher syzygies of the results of Kawamata and Shokurov (see [Ka] and [S]) for base-point-freeness and effectiveness, viewed in the special context of algebraic surfaces. They deal with nef bundles \( L \) for which \( L \otimes K^* \) is nef and big and conclude the freeness and
effectiveness of multiples of \( L \). We start with an ample and base-point-free bundle \( B \) which satisfies certain inequalities (see Theorems 5.1 and 5.8) which are immediate if one assumes that \( B \otimes K_S^* \) is nef and big and go on to prove projective normality and higher syzygy results for powers of \( B \) and for adjunction bundles associated to \( B \).

We obtain two interesting consequences from our study. The first is finding sufficient conditions for projective normality and quadratic generation of pluricanonical embeddings of surfaces of general type (Corollary 5.6, Remark 5.7 and Corollary 5.9). Bombieri asked in [Bo] whether \( |K_S^{\otimes 5}| \) maps \( S \) as a projectively normal variety. This question was answered affirmatively by Ciliberto in [Ci] (under basically the same assumptions of Theorem 5.5 below). Thus the above mentioned corollaries recover, and in the case of regular surfaces, improve Ciliberto’s result. The second consequence is an effective bound along the lines of Mukai’s conjecture using a result by Fernández del Busto. In the case of pluricanonical models of regular surfaces of general type we further our study to higher syzygies. Ein and Lazarsfeld’s results in [EL] together with Del Busto’s give effective bounds (slightly weaker than ours) along the lines of the Mukai’s conjecture, but for regular surfaces, the bounds we obtain are better. We also obtain as a corollary of Theorem 5.1 (4) effective bounds for property \( N_p \) for the multiples of ample line bundles on regular surfaces.

**Theorem 5.1.** Let \( S \) be a regular surface of positive Kodaira dimension and \( p_g \geq 4 \). Let \( B \) be an ample and base-point-free line bundle such that \( H^1(B) = 0 \). Let \( L = K_S \otimes B^{\otimes n} \) and \( L' = K_S \otimes B^{\otimes l} \). Let \( N = B^{\otimes m} \) and \( N' = B^{\otimes k} \).

1. If \( \kappa(S) = 1 \) and \( B^2 > K_S \cdot B \), and if \( n, l \geq 2 \), then \( H^1(M_L \otimes L') = H^1(M_L^{\otimes 2} \otimes L') = 0 \). In particular, \( K_S \otimes B^{\otimes n} \) satisfies property \( N_1 \), for all \( n \geq 2 \).
2. If \( \kappa(S) = 2 \) and \( B^2 \geq K_S \cdot B \), and if \( n, l \geq 2 \), then \( H^1(M_L \otimes L') = 0 \). In particular, \( K_S \otimes B^{\otimes n} \) satisfies property \( N_0 \), for all \( n \geq 2 \).
3. If \( \kappa(S) = 2 \) and \( B^2 \geq 2K_S \cdot B \), and if \( n, l \geq 2 \), then \( H^1(M_L \otimes L') = H^1(M_L^{\otimes 2} \otimes L') = 0 \); and if \( m, k \geq 2 \), then \( H^1(M_N \otimes N') = H^1(M_N^{\otimes 2} \otimes N') = 0 \). In particular \( K_S \otimes B^{\otimes n} \) and \( B^{\otimes m} \) satisfy property \( N_1 \), for all \( n, m \geq 2 \).
4. If \( \kappa(S) = 2 \) and \( B^2 \geq 2K_S \cdot B \), and if \( m, k \geq p + 1, p \geq 1 \), then \( H^1(M_N^{p+1} \otimes N') = 0 \). In particular if \( p \geq 1 \), \( B^{\otimes m} \) satisfy property \( N_p \), for all \( m \geq p + 1 \).

To prove Theorem 5.1 we need these two lemmas:

**Lemma 5.2.** Let \( S \) be a surface and \( B \) an ample and base-point-free line bundle with \( H^1(B) = 0 \) and \( B^2 > B \cdot K_S \) if \( \kappa(S) \leq 1 \), and \( B^2 \geq B \cdot K_S \) if \( \kappa(S) = 2 \). Then \( H^1(B^{\otimes m}) = 0 \) for all \( m \geq 1 \).

**Proof.** Let \( C \) smooth curve in \( |B| \). Since \( \deg(B^{\otimes m} \otimes OC) > 2g(C) - 2 \) when \( m \geq 3 \), we only have to prove \( H^1(B^{\otimes 2}) = 0 \). If \( B^2 > B \cdot K_S \) or \( B^{\otimes 2} \otimes OC \neq K_C \), then \( H^1(B^{\otimes 2} \otimes OC) = 0 \), hence \( H^1(B^{\otimes 2}) = 0 \) because \( H^1(B) = 0 \). If \( B^{\otimes 2} \otimes OC = K_C \), then \( B \otimes OC = K_S \otimes OC \). Consider the sequence

\[
0 \to H^0(K_S^2) \to H^0(B \otimes K_S^2) \to H^0(B \otimes K_S^2 \otimes OC) \to H^1(K_S^2).
\]

Since in this case \( S \) is a surface of general type, \( H^0(K_S^2) = H^1(K_S^* \otimes K_S^*) = 0 \), therefore \( B \otimes K_S^* \) is effective and since \( B \) is ample, it must be \( B \otimes K_S^* = OC \). Hence \( H^1(B^{\otimes 2}) = H^1(K_S^{\otimes 2}) = 0 \).

**Lemma 5.3.** Let \( S \) be an algebraic surface with nonnegative Kodaira dimension and let \( B \) be an ample line bundle. Let \( m \geq 1 \). If \( B^2 \geq mK_S \cdot B \), then \( K_S \cdot B \geq mK_S^2 \).

**Proof.** We assume the contrary, i.e., that \( K_S \cdot B < mK_S^2 \), and get a contradiction. Let \( L = B \otimes K_S^* \). We have that \( L^2 > 0 \). By Riemann-Roch

\[
h^0(L^{\otimes n}) \geq \frac{n^2L^2 - nK_S \cdot L}{2} + \chi(OC) - h^0(K_S \otimes L^{-n}) + 1.
\]
If \( B^2 > mK_S \cdot B, (K_S \otimes L^{\otimes -n}) \cdot B < 0 \), for \( n \) large enough, and since \( B \) is ample, \( K_S \otimes L^{\otimes -n} \) is not effective, so finally \( L^{\otimes n} \) is effective for \( n \) large enough. But in that case \( nK_S \cdot L \geq 0 \), because \( K_S \) is nef, contradicting our assumption.

Now if \( B^2 = mK_S \cdot B \), we have that \( L^2 > 0, B^2 > 0 \) (because \( B \) is ample), and \( L \cdot B = 0 \), but this is impossible by the Hodge index theorem. \( \square \)

(5.4) **Proof of Theorem 5.1.** We start proving that \( H^1(M_L \otimes L') = 0 \) if \( B \) satisfies the conditions of (1) and (2). By Observation 1.4.1 it suffices to show that

\[
H^0(K_S \otimes B^{\otimes n}) \otimes H^0(B) \rightarrow H^0(K_S \otimes B^{\otimes n+1})
\]

\[
H^0(K_S \otimes B^{\otimes m}) \otimes H^0(K_S \otimes B) \rightarrow H^0(K_S \otimes B^{\otimes m+1}), \text{ for all } n \geq 2, m \geq 3
\]

surject. We want to show now that \( K_S \otimes B \) is base-point-free. Let \( C \in |B| \) smooth. From the arguments of the proof of Lemma 5.2, we see that \( h^2(B) \leq 1 \) with equality if and only if \( B = K_S \) and \( S \) of general type. Since \( S \) is regular we have

\[
0 \rightarrow H^0(\mathcal{O}_S) \rightarrow H^0(B) \rightarrow H^0(B \otimes \mathcal{O}_C) \rightarrow 0.
\]

Thus it follows from this and from Riemann-Roch that \( h^0(B \otimes \mathcal{O}_C) \geq p_g - 1 \) (again, with equality if and only if \( B = K_S \) and \( S \) of general type). Now by Clifford’s bound, \( B^2 \geq 6 \) except if \( B = K_S \) and \( S \) of general type. It is well known (cf. Theorem 5.5) that \( K_S^{\otimes 2} \) is base-point-free under the hypothesis of the theorem. Now, if \( B^2 \geq 6 \), \( K_S \otimes B \) is base-point-free by Lemma 2.13. Take now \( C' \in |K_S \otimes B| \), also a smooth curve. Since \( H^1(\mathcal{O}_S) = 0 \) and by Lemma 5.2 and Kodaira vanishing theorem, we may apply Observation 2.3 and conclude that it is enough to check that

\[
H^0(K_S \otimes B^{\otimes n} \otimes \mathcal{O}_C) \otimes H^0(B \otimes \mathcal{O}_C) \rightarrow H^0(K_S \otimes B^{\otimes n+1} \otimes \mathcal{O}_C)
\]

\[
H^0(K_S \otimes B^{\otimes m} \otimes \mathcal{O}_{C'}) \otimes H^0(K_S \otimes B \otimes \mathcal{O}_{C'}) \rightarrow H^0(K_S \otimes B^{\otimes m+1} \otimes \mathcal{O}_{C'})
\]

surject for all \( n \geq 2, m \geq 3 \). This follows from Proposition 2.4 or Proposition 2.5. We check this explicitly for the first family of maps. Let \( G = K_S \otimes B^{\otimes n} \otimes \mathcal{O}_C \) and \( G' = B \otimes \mathcal{O}_C \). It is enough to show that \( \deg G > 2g(C) \) and that \( \deg G' > 4g - 2h^1(G') \). For the first inequality, note that \( K_S \otimes B \otimes \mathcal{O}_C = K_C \) and that \( \deg(B \otimes \mathcal{O}_C) \geq 4 \). For the second,

\[
(5.4.1) \quad \deg G + \deg G' \geq K_S \cdot B + 3B^2 \geq 2(K_S \cdot B + B^2) = 4g(C) - 4.
\]

On the other hand \( h^1(G') = p_g - h^0(K_S \otimes B^*) \) and since \( B \) is ample, the inequality \( B^2 \geq K_S \cdot B \) implies \( h^1(G') \geq p_g - 1 \). By the bound on \( p_g \) we therefore have \( 4g - 4 \geq 4g + 2 - 2h^1(G') \). The reasoning for the second family of maps is similar.

We go on now to prove (3). The proof of the vanishing of \( H^1(M_N \otimes N') \) uses the same above arguments and we will not repeat them here. We now prove the vanishing of \( H^1(M^\otimes 2 \otimes L') \) and \( H^1(M^\otimes 2 \otimes N') \). By Observation 1.4.1 it is enough to show that the following maps

\[
H^0(M_L \otimes L') \otimes H^0(B) \xrightarrow{\alpha_1} H^0(M_L \otimes L' \otimes B)
\]

\[
H^0(M_L \otimes L' \otimes B) \otimes H^0(K_S \otimes B) \xrightarrow{\alpha_2} H^0(M_L \otimes L' \otimes K_S \otimes B^{\otimes 2})
\]

\[
H^0(M_N \otimes N') \otimes H^0(B) \xrightarrow{\alpha_3} H^0(M_N \otimes N' \otimes B)
\]
surject. We only sketch in some detail the proof of the surjectivity of \( \alpha_1 \), as the proofs for the other two maps are analogous. We will use Observation 2.3 and Lemma 2.9. For that we need to check that \( H^1(M_L \otimes L' \otimes B^*) = 0 \). This follows from the surjectivity of

\[
H^0(L) \otimes H^0(B) \xrightarrow{\beta_1} H^0(L \otimes B)
\]

\[
H^0(L) \otimes H^0(K_S \otimes B) \xrightarrow{\beta_2} H^0(L \otimes K_S \otimes B).
\]

The surjectivity of \( \beta_1 \) have already been shown previously in this proof. We show now the surjectivity of \( \beta_2 \). Let \( C' \in |K_S \otimes B| \). By the vanishing of \( H^1(B) \) from the hypothesis and Kodaira vanishing the surjectivity of \( \beta_2 \) follows from the surjectivity of

\[
H^0(L \otimes O_{C'}) \otimes H^0(K_S \otimes B \otimes O_{C'}) \xrightarrow{\beta_2} H^0(L \otimes K_S \otimes B \otimes O_{C'})
\]

by Observation 2.3. We want to apply Proposition 2.5. Note that \( \deg(L \otimes O_{C'}) + \deg(K_S \otimes B \otimes O_{C'}) \geq 2K_S^2 + 5K_S \cdot B + 3B^2 \) and \( 4g(C') - 4 = 4K_S^2 + 6K_S \cdot B + 2B^2 \). Since \( B^2 \geq 2K_S \cdot B \), by Lemma 5.3 \( B^2 \geq 2K_S^2 + K_S \cdot B \). Finally \( h^1(K_S \otimes B \otimes O_{C'}) = p_g \geq 4 \), so the inequalities needed to apply Proposition 2.5 are satisfied and the surjectivity of \( \gamma \) follows. Returning to the proof of the surjectivity of \( \alpha_1 \), we may now apply Observation 2.3 and Lemma 2.9 and therefore it suffices to check the surjectivity of

\[
H^0(M_L \otimes O_C \otimes L' \otimes O_C) \otimes H^0(B \otimes O_C) \xrightarrow{\alpha_1} H^0(M_L \otimes O_C \otimes L' \otimes B \otimes O_C),
\]

which follows from Proposition 2.4. Indeed, let \( E = B \otimes O_C \) and \( F = M_L \otimes O_C \otimes L' \otimes O_C \). We need check that \( \mu(F) > 2g(C) \) and that \( \mu(F) > 2g + \text{rank}(E)(2g(C) - \mu(E)) - 2h^1(E) \). For the former inequality, \( \mu(F) \geq \mu(M_L \otimes O_C) + K_S \cdot B + 2B^2 \) and this is bigger than \( 2g(C) \) since \( 2g(C) = K_S \cdot B + B^2 + 2 \) and \( B^2 \geq 4 \). The latter inequality follows from \( \deg(L \otimes O_C) > 2g(C) \), as \( B^2 \geq K_S \cdot B \), \( h^1(B \otimes O_C) \geq 3 \) and \( B^2 \geq 4 \).

Finally the proof of the vanishing of \( H^1(M_L^{\otimes 2} \otimes L') \) if \( \kappa(S) = 1 \) follows by the same arguments and it is left to the reader.

The proof (4) is built upon (3), using induction on \( p \) and (1.4.2). □

We want now to apply this theorem to the study of pluricanonical models of surfaces of general type (regular, for the moment; we will complete the picture when we have at our disposal Theorem 5.8 which deals with irregular surfaces). The idea is to find a smallest power of \( K_S \) which is base-point-free, for it would play the role of \( B \). It is known that under certain mild conditions \( K_S^{\otimes n} \) is base-point-free if \( n \geq 2 \). The precise result, which is due to Bombieri, Francia, Reider and others, can be found in [Ca]:

**Theorem 5.5 ([Ca], Theorem 1.11 (i)).** Let \( S \) be a surface of general type. Assume that either

1. \( K_S^2 \geq 5 \) or
2. \( K_S^2 \geq 2 \) and \( p_g \geq 1 \), but it does not happen that \( q = p_g = 1 \) and \( K_S^2 = 3 \) or \( 4 \).

If \( n \geq 2 \), then \( K_S^{\otimes n} \) is base-point-free.

With this we are ready to obtain the following

**Corollary 5.6.** Let \( S \) be a regular surface of general type with ample canonical bundle and \( p_g \geq 3 \). Then

1. \( H^1(M_{K_S^{\otimes k} \otimes K_S^{\otimes l}}) = 0 \) for all \( k, l \geq 0 \), and
2. \( H^1(M_{K_S^{\otimes k} \otimes K_S^{\otimes k+l}}) = 0 \) for \( k, l = 0 \), for all \( k, l \geq 1 \), and for all \( k \geq 0, l \geq 2 \).
In particular, if $n \geq 4$, $|K_S^\otimes n|$ embeds $S$ as a projectively normal variety with homogeneous ideal generated by quadratic equations.

**Proof.** The result is a straightforward consequence of Theorem 5.1 if $p_g \geq 4$, setting $B = K_S^\otimes 2$. However, we can take advantage of the fact that we are dealing with base-point-free line bundles of particularly nice shape. If one goes through the steps of the proof of Theorem 5.1, one sees that one of the places where we use $p_g \geq 4$ is to prove that $K_S \otimes B$ is base-point-free. In this setting we know this to be true by Theorem 5.5. The other place where we use the bound on $p_g$ is when checking the inequalities needed to apply Proposition 2.5 and Proposition 2.4. The reader can see that in this particular case $p_g \geq 3$ suffices for such a purpose. □

**Remark 5.7.** Some hypothesis of Corollary 5.6 can be dropped or relaxed. If we don’t require $K_S$ to be ample, we obtain essentially the same result: the image of $S$ by $|K_S^\otimes n|$ is a projectively normal variety with homogeneous ideal generated by quadratic equations. Indeed, note that the ampleness of $B$ was used in Theorem 5.1 to obtain cohomology vanishings and the base-point-freeness of $K_S \otimes B$. Those are taken care now by Theorem 5.5 and Kawamata-Viehweg vanishing theorem. On the other hand we can relax the hypothesis on $p_g$ to obtain a weaker result, proven by the same techniques:

(5.7.1) Let $S$ be a regular surface of general type with either $p_g \geq 1$ and $K_S^2 \geq 2$ or $K_S^2 \geq 5$. If $n \geq 5$, then the image of $S$ by the complete linear series $|K_S^\otimes n|$ is a projectively normal variety.

We now complete the picture with the nonzero irregularity case:

**Theorem 5.8.** Let $S$ be an irregular surface of positive Kodaira dimension. Let $B$ be an ample line bundle such that $B^2 \geq 5$ and $B'$ is base-point-free and $H^1(B') = 0$ for all $B'$ homologous to $B$ (respectively numerically equivalent). Let $L$ homologous to $K \otimes B^\otimes n$ (respectively numerically equivalent) and $L'$ homologous to $K \otimes B^\otimes 1$ (respectively numerically equivalent).

1. If $\kappa(S) = 1$ and $B^2 > K_S \cdot B$, and if $n, l \geq 2$, then $H^1(M_L \otimes L') = 0$; if $n, l \geq 3$, then $H^1(M_L^\otimes 2 \otimes L') = 0$. In particular $L$ satisfies property $N_0$ if $n \geq 2$, and $L$ satisfies property $N_1$ if $n \geq 3$.

2. If $\kappa(S) = 2$ and $B^2 \geq 2K_S \cdot B$, and if $n, l \geq 2$, then $H^1(M_L \otimes L') = 0$. In particular, $L$ satisfies property $N_0$ if $n \geq 2$;

3. If $\kappa(S) = 2$ and $B^2 \geq K_S \cdot B$, and if $n, l \geq 3$, then $H^1(M_L \otimes L') = H^1(M_L^\otimes 2 \otimes L') = 0$. In particular, $L$ satisfies property $N_1$ if for $n \geq 3$.

**Sketch of proof.** The proof uses Lemma 5.2, the intersection number inequalities in our hypothesis and arguments similar to those in Section 4. We will outline the argument to show (1). Assume for simplicity’s sake that $L = B^\otimes n$. The vanishing of $H^1(M_L \otimes L') = 0$ is equivalent (because of Kodaira vanishing theorem) to the surjectivity of

$$H^0(L) \otimes H^0(L') \to H^0(L \otimes L').$$

Now we break up $L'$ as the tensor product $B_1 \otimes B_2 \otimes \cdots \otimes B_{l-1} \otimes (K_S \otimes B_l)$ where $B_1 = B \otimes E$ where $E \in \text{Pic}^0(S)$ and $E^\otimes 2 \neq \mathcal{O}$. Clearly, if $L'$ is homologous to $K \otimes B^\otimes 1$, $B_i$ is homologous to $B$ and in any case numerically equivalent, hence by hypothesis and Lemma 2.13 $B_i$ is base-point-free and so is $K_S \otimes B_i$. By Observation 1.4.1 it would suffice to show the surjectivity of several multiplication maps. The first one would be

$$H^0(L) \otimes H^0(B_1) \to H^0(L \otimes B_1).$$
This follows from (1.4.2), the required vanishings being obtained from Kodaira vanishing theorem and, if \( n = 2 \), from our choice of \( E \). The possible intermediate maps are surjective by (1.4.2) and Kodaira. The last of the maps is surjective (1.4.2), Kodaira vanishing theorem and our hypothesis. Indeed, the required vanishings of \( H^1 \) follow from Lemma 5.2 (here we use the condition \( B^2 > B \cdot K_S \)), using the divisibility of \( \text{Pic}^0 \). We also need the vanishings of \( H^2(N) \), where \( N \equiv B^k \otimes K_S^* \) and \( k \geq 1 \) which certainly occur because \( S \) is an elliptic surface.

Now we prove \( H^1(M_L^2 \otimes L') = 0 \) under the hypothesis in (1). This vanishing is equivalent to the surjectivity of

\[
H^0(M_L \otimes L') \otimes H^0(L) \rightarrow H^0(M_L \otimes L \otimes L')
\]

by virtue of the vanishing just shown. If we break \( L \) as tensor product of \( K_S \) and base-point-free line bundles homologous or numerically equivalent to \( B \) depending on the case, we can use Observation 1.4.1 and (1.4.2). The vanishings we need to check have already been shown in the first part of the proof. \( \square \)

**Corollary 5.9.** Let \( S \) be an irregular surface of general type with \( K_S^2 \geq 5 \). If \( n \geq 5 \), then the image of \( S \) by the complete linear series \( |K_S^m| \) is a projectively normal variety.

**Proof.** The observation about ampleness made in Remark 5.7 also applies here. Having that in account, the result follows from the arguments of the proof of Theorem 5.8 if \( n \) is odd, and for \( n \) even we argue as in Corollary 2.15. \( \square \)

Another quite interesting consequence of Theorems 5.1 and 5.8, and of a result by Fernández del Busto is the following effective bound along the lines of Mukai’s conjecture:

**Corollary 5.10.** Let \( S \) be an algebraic surface of positive Kodaira dimension, let \( A \) be an ample line bundle and let \( m = \left\lfloor \frac{(A(K_S+4A)+1)^2}{2A} \right\rfloor \). Let \( L = K_S \otimes A^m \). If \( n \geq 2m \), then \( L \) satisfies property \( N_0 \). If \( n \geq 3m \), then \( L \) satisfies property \( N_1 \). If \( S \) is a regular surface of general type and \( n \geq 2m \), then \( L \) satisfies property \( N_1 \).

**Sketch of proof.** The key observation is the fact that if \( k \geq m \) then it follows from [FdB], Section 2 that \( A^{\otimes m} \) is base-point-free and \( H^1(A^{\otimes m}) = 0 \). Then we take \( A^{\otimes m} \) as the base-point-free line bundle \( B \) in Theorems 5.1 and 5.8. One can easily verify that the numerical conditions in the statements are satisfied. Note that \( \text{Pic}^0(S) \) is divisible, so if \( S \) is irregular, Fernández del Busto’s result applies also to \( B' \) in the statement of Theorem 5.8. There is however one hypothesis of Theorem 5.1 which we have not assumed in this corollary, and which in fact does not occur in general under the hypothesis of our statement. That is the assumption of \( p_g \geq 4 \). This hypothesis was used in the proof of Theorem 5.1 to check the inequalities needed to apply Proposition 2.4 or Proposition 2.5. Under our current hypothesis \( B^2 \) is much larger than \( K_S \cdot B \) and in any case, large enough to render the mentioned assumption unnecessary (see for instance (5.4.1)). Therefore the theorem is either a direct consequence of Theorems 5.1 and 5.8 or follows from slight modifications of the arguments involved in proving those theorems, for we are in a situation similar to Corollary 2.15. \( \square \)

We focus now on the study of higher syzygies of pluricanonical models of surfaces of general type. Recall that one can obtain a result regarding them from Theorem 1.3:

**Corollary 5.11.** Let \( S \) be a surface of general type satisfying the assumptions of Theorem 5.5. If \( n \geq 2p + 4 \), then the image of \( S \) by \( |K_S^p| \) is projectively normal, its ideal is generated by quadrics and the resolution of its homogeneous coordinate ring is linear until the \( p \)th stage.

**Proof.** The line bundle \( K_S^p \) is base-point-free by Theorem 5.5. On the other hand, \( K_S^p \) is 3-regular by the Kawamata-Viehweg Vanishing Theorem. Hence from Theorem 1.3 the result follows for \( n \).
even. If \( n \) is odd we argue as in Corollary 2.15, writing \( K_S^{\otimes n} \) as \( B^{\otimes s-1} \otimes B' \), where \( B = K_S^{\otimes 2} \) and \( B' = K_S^{\otimes 3} \), which are base-point-free by Theorem 5.5. \( \square \)

This result can be improved for regular surfaces if we impose the hypothesis of Corollary 5.6:

**Theorem 5.12.** Let \( S \) be a regular surface of general type with \( p_g \geq 3 \). Let \( L = K_S^{\otimes 2p+2+1} \) and \( L' = K_S^{\otimes 2p+2+k} \).

1. If \( p \geq 2 \), \( H^1(M_L^{\otimes p+1} \otimes L') = 0 \) for all \( k \geq 0 \), and
2. if \( p = 1 \), \( H^1(M_L^{\otimes p+1} \otimes L') = 0 \) for \( k = 0 \). For all \( k \geq 1 \), and for all \( k \geq 0 \), \( l \geq 2 \).

In particular, if \( n \geq 2p+2 \) and \( p \geq 1 \), then the image of \( S \) by \( |K_S^{\otimes n}| \) is projectively normal, its ideal is generated by quadrics and the resolution of its homogeneous coordinate ring is linear until the \( p \)th stage.

**Proof.** The proof is by induction on \( p \). The statement for \( p = 1 \) is Corollary 5.6 (2), having in account the observation on amphierness made in Remark 5.7. Let us assume the result to be true for \( p - 1 \) and prove the vanishing for \( p \). Tensoring (1.1) with \( M_L^{\otimes p} \otimes L' \) and taking global sections yields the following long exact sequence

\[
H^0(L) \otimes H^0(M_L^{\otimes p} \otimes L') \xrightarrow{\eta} H^0(M_L \otimes L \otimes L') \rightarrow H^1(M_L^{\otimes p+1} \otimes L') \; .
\]

The last term is zero by induction assumption, thus the vanishing is equivalent to showing the surjectivity of the multiplication map \( \eta \). Let \( B = K_S^{\otimes 2} \) and \( B' = K_S^{\otimes 3} \). By Observation 1.4.1 it suffices to show the surjectivity of several maps:

\[
H^0(B) \otimes H^0(M_L^{\otimes p} \otimes L') \rightarrow H^0(M_L \otimes (B \otimes L')), \quad \text{for all } l, k \geq 0 \quad \text{and,}
\]

\[
H^0(B') \otimes H^0(M_L^{\otimes p} \otimes L' \otimes B) \rightarrow H^0(M_L \otimes (B \otimes B' \otimes L')), \quad \text{for all } l, k \geq 0 .
\]

The surjectivity of \( \eta'' \) follows by (1.4.2), the vanishings required following by induction, from (1.1) and Kawamata-Viehweg. The surjectivity of \( \eta' \) also follows from (1.4.2) by the same reasons, but as in the proof of Theorem 2.14 we could alternatively argue restricting to a smooth curve \( C \) in \( |B| \). We would have to eventually use Proposition 2.4 and the fact that the needed inequalities hold follows from adjunction and the assumption on \( p_g \), having in account that \( h^1(B \otimes O_C) = h^2(O_S) \).

Finally, the statement on the coordinate ring of the image of the pluricanonical maps follows from Corollary 5.6, the vanishings just proven and Theorem 1.2. \( \square \)

As a corollary of Theorem 5.1 (4) and Del Busto’s result we obtain an effective bound for a power of an ample line bundle to satisfy property \( N_p \):

**Corollary 5.13.** Let \( S \) be a regular surface of general type, let \( A \) be an ample line bundle and let \( m \) as in Corollary 5.10. Let \( L = A^{\otimes n} \). If \( n \geq mp + m \), then \( L \) satisfies property \( N_p \).

We state now a result for normal presentation and Koszul property of adjoint linear series on regular surfaces of general type with base-point-free canonical bundle:

**Theorem 5.14.** Let \( S \) be a regular surface of general type with \( p_g \geq 4 \) and base-point-free canonical bundle. Let \( B \) be an ample and base-point-free line bundle on \( S \) with \( H^1(B) = 0 \) and let \( B^2 \geq B \cdot K_S \).
Let $L = K_S \otimes B^\otimes n$ and $L' = K_S \otimes B^\otimes m$. If $n, m \geq 2$, then $H^1(M_L \otimes L') = H^1(M_L^\otimes 2 \otimes L') = 0$. In particular, if $n \geq 2$, then $K_S \otimes B^\otimes n$ satisfies property $N_1$, and in addition, the Koszul property.

Proof.

Cohomology vanishing: First we check the vanishing of $H^1(M_L \otimes L')$. By Kodaira vanishing theorem, it suffices to check the surjectivity of

$$H^0(L') \otimes H^0(L) \to H^0(L \otimes L').$$

Recall that both $B$ and $K_S$ are base-point-free. By Observation 1.4.1 it suffices to check the surjectivity of

$$H^0(K_S \otimes B^\otimes n) \otimes H^0(B) \to H^0(K_S \otimes B^\otimes n+1) \text{ for all } n \geq 2$$

$$H^0(K_S \otimes B^\otimes m) \otimes H^0(K_S) \to H^0(K_S^\otimes 2 \otimes B^\otimes m) \text{ for all } m \geq 4.$$

Let $C$ be a smooth curve in $|K_S|$. The surjectivity of $\beta$ follows by (1.4.2), Lemma 5.2 and the inequality $B^2 \geq K_S \cdot B$. To see the surjectivity of $\alpha$, let us set $G = K_S \otimes B^\otimes n \otimes O_C$. Using Observation 2.3 it suffices to show the surjectivity of

$$H^0(G) \otimes H^0(B \otimes O_C) \to H^0(G \otimes B).$$

Note that $\deg G + \deg(B \otimes O_C) \geq K_S \cdot B + 3B^2 \geq 2(K_S \cdot B + B^2) = 4g(C) - 4$. Since by the inequality $B^2 \geq K_S \cdot B$ and the ampleness of $B$, $h^1(B \otimes O_C) = p_g$ or $p_g - 1$, and $p_g \geq 4$, the surjectivity of $\gamma$ follows from Proposition 2.5.

To prove the vanishing of $H^1(M_L^\otimes 2 \otimes L')$, by the vanishing just proved, it suffices to see the surjectivity of

$$H^0(M_L \otimes L') \otimes H^0(L) \to H^0(M_L \otimes L \otimes L').$$

Using Observation 1.4.1 it is enough to prove the surjectivity of

$$H^0(M_L \otimes L') \otimes H^0(B) \to H^0(M_L \otimes L' \otimes B)$$

$$H^0(M_L \otimes L' \otimes B^\otimes 2) \otimes H^0(K_S) \to H^0(M_L \otimes L' \otimes K_S \otimes B^\otimes 2).$$

The surjectivity of the second family of maps follows by (1.4.2) and the same arguments used for the cohomology vanishing already proven. For the first family we argue restricting to $C$. By Observation 2.3, Lemma 2.9, and having in account the already proven surjectivity of $\gamma$, we see that it suffices to check the surjectivity of

$$H^0(M_N \otimes N') \otimes H^0(B \otimes O_C) \to H^0(M_N \otimes B \otimes N'),$$

where $N = L \otimes O_C$ and $N' = L' \otimes O_C$. Now $\deg G \geq 2g(C) - 2 + B^2$ and $B^2 \geq K^2_S \geq 4$ by Lemma 5.3 and Nöther’s inequality, hence $M_G$ is semistable. Then $\delta$ surjects by Proposition 2.4.

Koszul: According to Lemma 3.2 we need to show that $M^{h,L}$ is globally generated and that

$$H^0(M^{h,L}) \otimes H^0(L^\otimes s) \to H^0(M^{h,L} \otimes L^\otimes s).$$
surjects for all $h \geq 0$ and $s \geq 1$. Let $B' = K_S \otimes B$, ample and base-point-free by Lemma 2.13. By Observation 1.4.1, it suffices to prove the surjectivity of

$$H^0(M^{h,L} \otimes B^\otimes l) \otimes H^0(B) \xrightarrow{\beta_l} H^0(M^{h,L} \otimes B^\otimes (l+1)) \text{ for all } l \geq 0,$$

$$H^0(M^{h,L} \otimes B^\otimes k \otimes B^\otimes r) \otimes H^0(B') \xrightarrow{\gamma_{k,r}} H^0(M^{h,L} \otimes B^\otimes k \otimes B^\otimes (r+1)) \text{ for all } k \geq 1, r \geq 0.$$

We explain in some detail one of the border cases:

$$H^0(M^{h,L}) \otimes H^0(B) \xrightarrow{\beta} H^0(M^{h,L} \otimes B)$$

and leave the others to the reader. The proof of the surjectivity of $\beta$ goes by induction and as in Theorem 3.5, it is convenient to prove the vanishing of $H^1(M^{h,L} \otimes B^*)$ at the same time. If $h = 0$, the surjectivity follows from the arguments sketched in the first part of the proof. Assume the statement to be true for $h - 1$. Consider the sequence

$$H^0(M^{h-1,L}) \otimes H^0(L \otimes B^*) \xrightarrow{\delta} H^0(M^{h-1,L} \otimes L \otimes B^*)$$

$$\quad \rightarrow H^1(M^{h,L} \otimes B^*) \rightarrow H^1(M^{h-1,L}) \otimes H^0(L \otimes B^*).$$

The multiplication map $\delta$ is surjective by induction hypothesis. The group $H^1(M^{h-1,L})$ vanishes also by induction hypothesis, therefore $H^1(M^{h,L} \otimes B^*) = 0$. Now since $H^1(O_X) = 0$, in order to see the surjectivity of $\beta$ we proceed as in Theorem 3.5, $B$ playing the role $B_1$ plays there and restricting to a smooth curve $C \in |B|$, which plays the same role as $b_1$. In order to obtain the inequalities needed to apply Lemma 2.10 and Proposition 2.4, note that $K_S \otimes B \otimes O_C = K_C$ and recall that $B^2 \geq B \cdot K_S$ and $\text{deg}(K_S \otimes O_C) = K_S^2 \geq 4$ by Noether’s formula. $\square$

As a corollary we obtain an improvement on another result by Ciliberto (cf. [Ci]). As in Remark 5.7 and Corollary 5.9 the hypothesis on the ampleness of $K_S$ can be removed, and we state the corollary without it:

**Corollary 5.15.** Let $S$ be a regular surface of general type with $K_S$ base-point-free. Let $p_g \geq 4$. Let $L = K_S^\otimes p + 2$. Then, if $p \geq 1$, the image of $S$ by $|L|$ is projectively normal, its ideal is generated by quadratic equations and the homogeneous coordinate ring is Koszul.

We end up the section with a generalization to higher syzygies of Corollary 5.15:

**Theorem 5.16.** Let $S$ be a regular surface of general type with $K_S$ base-point-free. Let $p_g \geq 4$. Let $L = K_S^\otimes p + 2$ and $L' = K_S^\otimes p + 2 + k$. Then, if $p \geq 1$, $H^1(M_L \otimes L') = H^1(M_S^\otimes p + 1 \otimes L') = 0$ for all $k, l \geq 0$. Moreover, if $p \geq 1$ the image of $S$ by $|L|$ is projectively normal, its ideal is generated by quadratic equations and the resolution of the homogeneous coordinate ring is linear until the $p$th stage.

**Sketch of proof.** If $K_S$ is ample, the vanishings of $H^1(M_L \otimes L')$ and $H^1(M_L^\otimes 2 \otimes L')$ follows from Theorem 5.14 and if $K_S$ is not ample, they follow by the same reasoning used for Theorem 5.14, arguing as in Remark 5.7. The proof for $p > 1$ follows now by induction. We argue as is the proof of Theorem 5.12. We use Observation 1.4.1, Observation 2.3, Lemma 2.9, and Proposition 2.4 in similar fashion.

Lastly, the statement about the syzygies of the resolution of the pluricanonical models follow from Theorem 1.2 and from the vanishings just proven. $\square$
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PART 2: VERY AMPLENESS AND HIGHER SYZYGIES FOR CALABI-YAU THREEFOLDS

INTRODUCTION

In this article we prove results on very ampleness, projective normality and higher syzygies for Calabi-Yau threefolds.

In the first section we prove optimal results on very ampleness and projective normality for powers of ample and base-point-free line bundles. Let $X$ be a Calabi-Yau threefold and let $B$ be an ample and base-point-free line bundle on $X$. The main results of Section 1 can be summarized in the two following theorems (for a stronger statement of Theorem 2, see Theorem 1.7):

Theorem 1 (cf. Theorem 1.4). The line bundle $B^\otimes 3$ is very ample and $|B^\otimes 3|$ embeds $X$ as a projectively normal variety if and only if the morphism induced by $|B|$ does not map $X : 2 : 1$ onto $P^3$.

Theorem 2. The line bundle $B^\otimes 2$ is very ample and $|B^\otimes 2|$ embeds $X$ as a projectively normal variety if $|B|$ does not map $X$ onto a variety of minimal degree other than $P^3$ nor maps $X : 2 : 1$ onto $P^3$.

A Calabi-Yau threefold is the three-dimensional version of a K3 surface and Theorems 1 and 2 are analogues of the well known results of St. Donat (see [S-D]) for K3 surfaces. Precisely, for a K3 surface $S$ and an ample and base-point-free line bundle $B$ on $S$, St. Donat proved the following:

1. $B^\otimes 2$ is very ample and $|B^\otimes 2|$ embeds $S$ as a projectively normal variety if and only if the morphism induced by $|B|$ does not map $S : 2 : 1$ onto $P^2$.
2. $B$ is very ample and $|B|$ embeds $S$ as a projectively normal variety if $|B|$ does not map $S$ onto a variety of minimal degree nor maps $X : 2 : 1$ onto $P^2$.

As corollaries of Theorems 1 and 2 and results of Ein, Lazarsfeld, Fujita and Kawamata on global generation on smooth threefolds, we obtain bounds very closed to Fujita’s conjecture. Precisely we show the following:

Corollary 1 (cf. Corollary 1.10). Let $X$ be a smooth Calabi-Yau threefold and let $A$ be an ample line bundle. Let $L = A^\otimes n$. If $n \geq 8$, then $L$ is very ample and $|L|$ embeds $X$ as a projectively normal variety. Moreover, if $A^3 > 1$ and $n \geq 6$, then $L$ is very ample and $|L|$ embeds $X$ as a projectively normal variety.

We end Section 1 with a result regarding very ampleness and projective normality on Calabi-Yau fourfolds.

Section 2 is devoted to the computation of Koszul cohomology groups on Calabi-Yau threefolds. The work of Mark Green in the 80’s connected Koszul cohomology with the study of equations and free resolutions of projective varieties. From our Koszul cohomology computations we obtain results regarding the equations and higher syzygies associated to powers of ample and base-point-free line bundles. We also study the Koszul property for these bundles (see Theorem 2.7). Regarding equations and higher syzygies we prove the following.
Theorem 3 (cf. Theorem 2.4). Let $X$ be a Calabi-Yau threefold and let $B$ be an ample and base-point-free line bundle on $X$ such that $|B|$ does not map $X$ onto $\mathbb{P}^3$. If $n \geq p + 2$ and $p \geq 1$, then $B^\otimes n$ satisfies property $N_p$. In particular, if $n \geq 3$, the homogeneous ideal associated to the embedding given by $|B^\otimes n|$ is generated by quadrics.

The parallelism between K3 surfaces and Calabi-Yau threefolds goes over to higher syzygies. In fact Theorem 3 is analogous to the following result proved by the authors in [GP2]:

Let $S$ be a K3 surface and let $B$ be an ample and base-point-free line bundle on $S$ such that $|B|$ does not map $S$ onto $\mathbb{P}^2$. If $n \geq p + 1$ and $p \geq 1$, then $B^\otimes n$ satisfies property $N_p$.

As a corollary of Theorem 3 we obtain bounds for a power of an ample line bundle to satisfy property $N_p$. We show precisely the following

Corollary 2 (cf. Corollary 2.8). Let $X$ be a smooth Calabi-Yau threefold and let $A$ be an ample line bundle. Let $L = A^\otimes n$. If $n \geq 4p + 8$, then $L$ satisfies property $N_p$ and the coordinate ring of the image of the embedding induced by $|L|$ is Koszul. Moreover, if $A^3 > 1$ and $n \geq 3p + 6$, then $L$ satisfies property $N_p$ and the coordinate ring of $X$ is Koszul. In particular, if $n \geq 12$, or if $n \geq 9$ and $A^3 > 1$, then the ideal associated to the embedding induced by $|L|$ is generated by quadratic equations.

The article focuses on smooth Calabi-Yau threefolds for the sake of simplicity. However the arguments used also go through for Calabi-Yau threefolds with terminal singularities and for Calabi-Yau threefolds with canonical singularities. In fact Theorems 1, 2 and 3 hold for Calabi-Yau threefolds with canonical singularities. From them we recover and strengthen results by Oguiso and Peternell (see [OP]). The case of singular Calabi-Yau threefolds is dealt with in the appendix at the end of the article.

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Convention. Throughout this article we work over an algebraically closed field of characteristic 0.

Definition. Let $X$ be a projective variety and let $L$ be a very ample line bundle on $X$. We say that $L$ is normally generated or that $L$ satisfies the property $N_0$, if $|L|$ embeds $X$ as a projectively normal variety. We say that $L$ is normally presented or that $L$ satisfies property $N_1$ if $L$ satisfies property $N_0$ and, in addition, the homogeneous ideal associated to the embedding of $X$ given by $|L|$ is generated by quadratic equations. We say that $L$ satisfies the property $N_p$ for $p > 1$, if $L$ satisfies property $N_1$ and the free resolution of the homogeneous ideal of $X$ is linear until the $(p - 1)$th-stage.

1. Very ampleness and projective normality.

A smooth Calabi-Yau threefold $X$ is smooth projective variety of dimension 3 with trivial canonical bundle and such that $H^2(\mathcal{O}_X) = 0$. In this section we study when a power of an ample and base-point-free line bundle $A$ on a Calabi-Yau threefold is very ample and when its complete linear series embeds $X$ as a projectively normal variety. We recall the following corollary of Theorem 1.3 in [GP2] which can be proven using arguments based upon Castelnuovo-Mumford regularity and Koszul cohomology:

Corollary 1.1 ([GP2], Corollary 1.6). Let $X$ be a smooth Calabi-Yau $m$-fold, and $B$ an ample and base-point-free line bundle on $X$. If $n \geq p + m$ and $p \geq 1$ then $B^\otimes n$ satisfies property $N_p$. 
Corollary 1.1 tells us in particular that if \( X \) is a Calabi-Yau threefold and \( n \geq 4 \), then \( B^{\otimes n} \) satisfies property \( N_0 \), i.e., is very ample and embeds the variety as a projectively normal variety. The main concern of this section is dealing with the case \( n = 2 \) (Theorem 1.7) and \( n = 3 \) (Theorem 1.4). For that purpose one has to take into account the particular properties of Calabi-Yau threefolds. The strategy to follow will be to find suitable divisors on the threefold and to translate the questions on surjectivity of multiplication maps on the threefold to questions on surjectivity of multiplication maps on the divisor. These arguments will be fruitfully repeated, eventually reaching the situation in which one confronts the question of surjectivity of multiplications maps on curves. Thus results on surjectivity of maps on curves, like [B], Proposition 2.2 and [P], Corollary 4, and on surfaces, like [G1], Theorem 3.9.3 for surfaces of general type (see also [C]), will be of great interest to us. Before we proceed with the statements and proofs of Theorem 1.4 and Theorem 1.7, we introduce two auxiliary tools which will be used throughout:

**Observation 1.2.** Let \( E, L_1 \) and \( L_2 \) be coherent sheaves on a variety \( X \). Consider the multiplication map of global sections \( H^0(E) \otimes H^0(L_1 \otimes L_2) \to H^0(E \otimes L_1 \otimes L_2) \) and the maps

\[
H^0(E) \otimes H^0(L_1) \xrightarrow{\alpha_1} H^0(E \otimes L_1) \quad \text{and} \quad H^0(E \otimes L_1) \otimes H^0(L_2) \xrightarrow{\alpha_2} H^0(E \otimes L_1 \otimes L_2).
\]

If \( \alpha_1 \) and \( \alpha_2 \) are surjective then \( \psi \) is also surjective.

**Observation 1.3 ([GP2], Observation 2.3).** Let \( X \) be a regular variety (i.e., a variety such that \( H^1(\mathcal{O}_X) = 0 \)). Let \( E \) be a vector bundle on \( X \) and let \( C \) be a divisor such that \( L = \mathcal{O}_X(C) \) is a globally generated line bundle and \( H^1(E \otimes L^{-1}) = 0 \). If the multiplication map

\[
H^0(E \otimes \mathcal{O}_C) \otimes H^0(L \otimes \mathcal{O}_C) \to H^0(E \otimes L \otimes \mathcal{O}_C)
\]

then the multiplication map

\[
H^0(E) \otimes H^0(L) \to H^0(E \otimes L)
\]

also surjects.

Now we are ready to state and prove the following result which give necessary and sufficient conditions for \( B^{\otimes 3} \) to satisfy property \( N_0 \):

**Theorem 1.4.** Let \( X \) be a Calabi-Yau threefold and let \( B \) be an ample and base-point-free line bundle. Then \( B^{\otimes 3} \) is very ample and \( |B^{\otimes 3}| \) embeds \( X \) as a projectively normal variety except if \( h^0(B) = 4 \) and the sectional genus of \( B \) is 3, in which case \( B^{\otimes 3} \) is not even very ample.

**Proof.** Case 1: \( h^0(B) \geq 5 \). It is enough to see that the map

\[
H^0(B^{\otimes 3+k}) \otimes H^0(B^{\otimes 3+l}) \to H^0(B^{\otimes 6+k+l})
\]

surjects for all \( k, l \geq 0 \). By Observation 1.2 it is enough to prove a stronger statement, namely, that the map

\[
H^0(B^{\otimes 3+l}) \otimes H^0(B) \to H^0(B^{\otimes 4+l})
\]

surjects for all \( l \geq 0 \). Castelnuovo-Mumford regularity arguments will not work if \( l = 0 \), so we consider a smooth divisor \( S \in |B| \) and the following commutative diagram:

\[
\begin{array}{cccc}
H^0(B^{\otimes 3+l}) & \to & H^0(B^{\otimes 3+l}) \otimes H^0(B) & \to & H^0(B^{\otimes 3+l}) \otimes H^0(B \otimes \mathcal{O}_S) \\
\downarrow & & \downarrow & & \downarrow \\
H^0(B^{\otimes 3+l}) & \to & H^0(B^{\otimes 4+l}) & \to & H^0(B^{\otimes 4+l} \otimes \mathcal{O}_S)
\end{array}
\]
The map whose surjectivity we wish to show is the middle vertical map. The surjectivity of the left hand side vertical map is obvious. Note that $B \otimes \mathcal{O}_S = K_S$. Since $H^1(B^{\otimes 2} \overline{+}) = 0$ for all $l$, checking the surjectivity of the right hand side reduces to checking the surjectivity of

$$H^0(K^{\otimes 3 + l}_S) \otimes H^0(K_S) \xrightarrow{\alpha} H^0(K^{\otimes 4 + l}_S) \text{ for all } l \geq 0.$$ 

To see that $\alpha$ surjects we consider now a smooth divisor $C \in |K_S|$. By Observation 1.3 and Kodaira vanishing, checking the surjectivity of $\alpha$ reduces to checking the surjectivity of

$$H^0(\theta^{\otimes 3 + l}) \otimes H^0(\theta) \xrightarrow{\beta} H^0(\theta^{\otimes 4 + l}) ,$$

where $\theta = B \otimes \mathcal{O}_C$ is a theta-characteristic. We can now apply either [P], Corollary 4 or [B], Proposition 2.2 to show the surjectivity of $\beta$. For instance, to apply [P], Corollary 4, we need that either $\theta$ or $\theta^{\otimes 3 + l}$ be very ample, that both $h^0(\theta)$ and $h^0(\theta^{\otimes 3 + l})$ be greater than or equal to 3 and that $\deg \theta^{\otimes 3 + l} + \deg \theta$ be greater than or equal to both $3g - 3$ and $4g - 1 - 2h^1(\theta) - 2h^1(\theta^{\otimes 3 + l}) - \text{Cliff}(C)$.

The line bundle $\theta^{\otimes 3 + l}$ is very ample because of Clifford's bound $g(C) \geq 5$, and the required bounds on the number of linearly independent global sections of $\theta$ and $\theta^{\otimes 3 + l}$ are also satisfied since $h^0(B) \geq 5$. Finally, the last condition required follows from $\deg \theta^{\otimes 3 + l} + \deg \theta \geq 4g - 4$ and $h^1(\theta) \geq 3$.

**Case 2**: $h^0(B) = 4$. Let $\pi$ be the morphism induced by $|B|$. Let $C$ be as above. Since $B \otimes \mathcal{O}_C$ has degree $g(C) - 1$ and it is the pullback of $\mathcal{O}_{\mathbb{P}^1}(1)$ for a general $\mathbb{P}^1$ in $\mathbb{P}(H^0(B)) = \mathbb{P}^3$, the degree $n$ of $\pi$ is $g(C) - 1$. In particular, $g(C) \geq 3$. If $g(C) = 3$, $B^{\otimes 3} \otimes \mathcal{O}_C = K_C \otimes \theta$, where $\theta$ has degree 2. Therefore the restriction of $B^{\otimes 3}$ to $C$ is not very ample. Now we treat the case $g(C) \geq 4$. It suffices to see the surjectivity of

$$H^0(B^{\otimes 3 + l}) \otimes H^0(B^{\otimes 3 + k}) \rightarrow H^0(B^{\otimes 6 + k + l}) \text{ for all } l, k \geq 0 .$$

The key case is $k = l = 0$. If $l \geq 1$ or $k \geq 1$, the surjectivity of the above map follows from the arguments displayed below for the case $k = l = 0$, or alternatively from Observation 1.2, [M], p. 41, Theorem 2 and Kodaira vanishing Theorem. Therefore we focus our attention on the case $l = k = 0$.

It follows from Observation 1.2 that it is enough to check the surjectivity of

$$H^0(B^{\otimes 3}) \otimes H^0(B^{\otimes 2}) \xrightarrow{\alpha} H^0(B^{\otimes 5})$$

$$H^0(B^{\otimes 5}) \otimes H^0(B) \xrightarrow{\beta} H^0(B^{\otimes 6}) .$$

The map $\beta$ surjects by [M], Theorem 2 and Kodaira vanishing Theorem. Note that we cannot use Observation 1.2 again in order to prove the surjectivity of $\alpha$, because the map $H^0(B^{\otimes 3}) \otimes H^0(B) \rightarrow H^0(B^{\otimes 4})$ is actually non surjective, for otherwise the map

$$H^0(K_C \otimes \theta) \otimes H^0(\theta) \rightarrow H^0(K^{\otimes 2}_C)$$

would also surject, but this is false by base-point-free pencil trick. Instead the surjectivity of $\alpha$ will follow from the surjectivity of $\gamma$ and $\delta$ in the diagram

$$\begin{array}{ccc}
H^0(B^{\otimes 2}) & \otimes & H^0(B^{\otimes 2}) \\
\downarrow \gamma & & \downarrow \alpha \\
H^0(B^{\otimes 4}) & \leftrightarrow & H^0(B^{\otimes 5}) & \rightarrow & H^0(K^{\otimes 3}_S) \\
\downarrow \delta & & & & \downarrow \\
H^0(K^{\otimes 5}_S) ,
\end{array}$$
obtained from the sequence
\[ 0 \rightarrow B^* \rightarrow \mathcal{O}_X \rightarrow \mathcal{O}_S \rightarrow 0 \] (1.4.1).

To see the surjectivity of \( \gamma \) we construct yet another two similar diagrams arising from (1.4.1). Since \( H^1(B^{\otimes r}) = 0 \) for all \( r \geq 0 \), checking the surjectivity of \( \gamma \) reduces to seeing the surjectivity of
\[
\begin{align*}
H^0(K_S^{\otimes 2}) \otimes H^0(K_S^{\otimes 2}) & \rightarrow H^0(K_S^{\otimes 4}) \\
H^0(K_S^{\otimes 2}) \otimes H^0(K_S) & \rightarrow H^0(K_S^{\otimes 3}) 
\end{align*}
\]

On the other hand in order to see the surjectivity of \( \delta \), again by the vanishing of \( H^1(B^{\otimes r}) \) it is enough to check the surjectivity of
\[
H^0(K_S^{\otimes 3}) \otimes H^0(K_S^{\otimes 2}) \rightarrow H^0(K_S^{\otimes 5})
\]

For the surjectivity of \( \epsilon \), \( \eta \) and \( \varphi \) we build commutative diagrams like the one above, now upon the sequence
\[ 0 \rightarrow K_S^* \rightarrow \mathcal{O}_S \rightarrow \mathcal{O}_C \rightarrow 0. \]

For instance, to see the surjectivity of \( \epsilon \) we would write:
\[
\begin{array}{ccc}
H^0(K_S^{\otimes 2}) \otimes H^0(K_S) & \dashrightarrow & H^0(K_S^{\otimes 2}) \otimes H^0(K_S^{\otimes 2}) \\
\downarrow \eta & & \downarrow \epsilon \\
H^0(K_S^{\otimes 3}) & \dashrightarrow & H^0(K_S^{\otimes 4}) \\
\end{array}
\]

Since \( H^1(K_S^{\otimes r}) = 0 \) for all \( r \geq 0 \), the surjectivity of \( \epsilon \), \( \eta \) and \( \varphi \) will follow from the surjectivity of the maps
\[
\begin{align*}
H^0(K_C) \otimes H^0(K_C) & \rightarrow H^0(K_C^{\otimes 2}) \\
H^0(K_C) \otimes H^0(\theta) & \rightarrow H^0(K_C \otimes \theta) \text{ and} \\
H^0(K_C) \otimes H^0(K_C \otimes \theta) & \rightarrow H^0(K_C^{\otimes 2} \otimes \theta).
\end{align*}
\]

Recall that \( g(C) \geq 4 \), therefore \( C \) cannot be hyperelliptic as \( |B \otimes \mathcal{O}_C| \) is a base-point-free pencil of degree \( g - 1 \). Thus the first map above is surjective by Nöther’s theorem. For the second, recall that \( \theta \) is a theta-characteristic, that it is base-point-free and that \( h^0(\theta) = 2 \). Thus the surjectivity follows from the base-point-free pencil trick. Finally the third one follows from [P], Corollary 4. \( \Box \)

We show now by means of an example that there indeed exist ample and base-point free line bundles with four linearly independent global sections and sectional genus 3:

**Example 1.5.** Let \( X \) be the double cover of \( \mathbb{P}^3 \) ramified along a smooth degree 8 surface and let \( B \) be the pullback of \( \mathcal{O}_{\mathbb{P}^3}(1) \). The threefold \( X \) is Calabi-Yau, \( h^0(B) = 4 \) and the sectional genus of \( B \) is 3.

We now want to know when \( B^{\otimes 2} \) is normally generated. In the study we carry on we will use a theorem by M. Green. To apply this theorem we will require the image of the morphism induced by \( |B| \) not to be a variety of minimal degree. For that reason it is interesting to classify the different kinds of varieties of minimal degree which can appear in our setting and the structure of the Calabi-Yau threefold and of the morphism induced by \( |B| \). This is done in the next proposition.
Proposition 1.6. Let $X$ be a smooth Calabi-Yau threefold, let $\pi$ be the morphism induced by the complete linear series of an ample and base-point-free line bundle $B$ on $X$ with $h^0(B) = r + 1$, and let $n$ be the degree of $\pi$. If the image of $X$ by $\pi$ is a variety $Y$ of minimal degree, then $n \leq \frac{6r}{r+2}$ and one of the following occurs:

1. $Y = \mathbb{P}^3$.
2. $Y$ is a smooth quadric hypersurface in $\mathbb{P}^4$.
3. $Y$ is a smooth rational normal scroll of dimension 3 in $\mathbb{P}^5$. Then the threefold $X$ is fibered over $\mathbb{P}^1$, and the general fiber is either a smooth K3 surface, in which case $n = 2, 4, 6, 8$ or 10, or a smooth Abelian surface, in which case $n = 6, 8$ or 10.
4. $Y$ is a smooth rational normal scroll in $\mathbb{P}^r, r \geq 6$, the degree $n$ of $\pi$ is 2 and $X$ is fibered over $\mathbb{P}^1$ with a smooth K3 surface as a general fiber. The restriction of $B$ to the general fiber of $X$ is hyperelliptic, with sectional genus 2, and its complete linear series maps the fiber 2 : 1 onto a general fiber of the scroll.
5. $Y$ is a smooth rational normal scroll in $\mathbb{P}^r, r \geq 6$, the degree $n$ of $\pi$ is 6 and $X$ is fibered over $\mathbb{P}^1$ with a smooth Abelian surface as a general fiber. The restriction of $B$ to the general fiber of $X$ is a $(1, 3)$ polarization, and its complete linear series maps the fiber 6 : 1 onto a general fiber of the scroll.
6. $Y$ is a singular threefold of minimal degree which is either a cone over a rational normal curve or a cone over a Veronese surface.

Proof. First we prove the inequality $n \leq \frac{6r}{r+2}$ \textit{(*)} holds when if $Y$ is a variety of minimal degree. By Riemann-Roch $r + 1 = h^0(B) \geq \frac{1}{6}B^3 + 1 = \frac{1}{6}n(r - 2) + 1$, so we obtain the inequality.

Now we describe all the possible types of varieties of minimal degree that may occur. The variety $Y$ should be either $\mathbb{P}^3$, a smooth quadric hypersurface in $\mathbb{P}^4$, a singular 3-dimensional rational normal scroll in $\mathbb{P}^4$, a (possibly singular) 3-dimensional rational normal scroll in $\mathbb{P}^5$, $r \geq 5$ or a cone in $\mathbb{P}^6$ over a Veronese surface in $\mathbb{P}^5$. We see now that $Y$ cannot be a 3-dimensional rational normal scroll singular along a single point. In that case $Y$ would admit a small resolution and from that it would follow that $X$ can also be obtained by performing small contractions on another variety $\tilde{X}$, and hence $X$ would not be smooth.

We complete now the proof of the proposition describing the cases when $Y$ is a smooth rational normal scroll. In such case, $r \geq 5$, $Y$ is fibered over $\mathbb{P}^1$ and so is $X$. Let $\varphi$ the projection from $Y$ to $\mathbb{P}^1$. Then the general fibers $X \xrightarrow{\varphi \circ \pi} \mathbb{P}^1$ are, by adjunction, either smooth K3 surfaces or smooth Abelian surfaces. Let us denote by $F$ a general fiber of $\varphi$, and let $G$ be a general fiber of $\varphi \circ \pi$. We consider the following sequence:

$$0 \longrightarrow H^0(B(-G)) \longrightarrow H^0(B) \longrightarrow H^0(B \otimes O_G) \longrightarrow H^1(B(-G)) \longrightarrow 0.$$ 

If $r \geq 6$, $Y = S(a, b, c)$ (i.e., $Y$ is isomorphic to $\mathbb{P}(E)$, where $E = O(a) \oplus O(b) \oplus O(c)$, mapped in projective space by $|O_{\mathbb{P}(E)}(1)|$, with $a \leq b \leq c$, $a \geq 1$, and $c \geq 2$. Let $H$ be the restriction of $O_{\mathbb{P}^1}(1)$ to $Y$. Then $H(-E)$ is big and globally generated, in particular, big and nef, and $\pi$ being finite, so is $B(-G)$. Thus by Kawamata-Viehweg, $H^1(B(-G)) = 0$, which implies that $|B \otimes O_G|$ maps $G$ onto $\mathbb{P}^2$. If $G$ is a smooth K3 surface, then $(G, B \otimes O_G)$ is a genus 2, hyperelliptic polarized K3 surface, $\pi|G$ is 2 : 1 and so is $\pi$. If $G$ is a smooth Abelian surface, then $B \otimes O_G$ is a $(1, 3)$-polarization, hence $\pi|G$ has degree 6 and so has $\pi$.

If $r = 5$, consider the sequence

$$0 \longrightarrow H^0(B) \longrightarrow H^0(B \otimes G) \longrightarrow H^0(B \otimes O_G) \longrightarrow 0.$$ 

It follows from Riemann-Roch that $h^0(B \otimes \mathcal{O}_G) = h^0(B \otimes G) - h^0(B) = \frac{1}{2}B^2 \cdot G + \frac{1}{12}G \cdot c_2(X)$. Since $B^2 \cdot G = n$ and $G \cdot c_2(X)$ equals 0 if $G$ is Abelian and 24 if $G$ is a K3 surface, we finally obtain that $n = 2h^0(B \otimes \mathcal{O}_G)$ if $G$ is an Abelian surface and $n = 2h^0(B \otimes \mathcal{O}_G) - 4$ if $G$ is a K3 surface. The inequality (*) completes now the statement made in (3). □

We return our attention to the normal generation of $B^\otimes 2$:

**Theorem 1.7.** Let $X$ be a smooth Calabi-Yau threefold and let $B$ be an ample and base-point-free line bundle on $X$.

1. If the image of $X$ by the morphism $\pi$ induced by the complete linear series of $B$ is not a variety of minimal degree, i.e., is not one of the six cases in the list of Proposition 1.6, then $B^\otimes 2$ is very ample and embeds $X$ as a projectively normal variety.
2. In case 1 of Proposition 1.6, $B^\otimes 2$ is very ample and embeds $X$ as a projectively normal variety if and only if the sectional genus of $B$ is not 3.
3. If the degree $n$ of $\pi$ equals 2 (for instance, in case 4 of Proposition 1.6), $B^\otimes 2$ is not even very ample.

**Proof.** We prove (1) first. By hypothesis, $h^0(B) \geq 5$ and the image of $X$ by the morphism induced by $|B|$ is not a variety of minimal degree. We want to prove that $B^\otimes 2$ satisfies property $N_0$. We prove instead a more general statement, namely, we show that the multiplication map

$$H^0(B^{\otimes l+2}) \otimes H^0(B^{\otimes 2}) \rightarrow H^0(B^{\otimes l+4})$$

surjects for all $l \geq 0$. From Observation 1.2 it follows that it suffices to have the surjectivity of

$$H^0(B^{\otimes l+2}) \otimes H^0(B) \xrightarrow{\alpha} H^0(B^{\otimes l+3})$$

for all $l \geq 0$. The crucial cases are $l = 0, 1$. If $l \geq 2$, the surjectivity of $\alpha$ can be obtained from the same arguments used below for $l = 0, 1$, or from Kodaira vanishing and [M], Theorem 2. Case $l = 1$ was already dealt with in the proof of Theorem 1.4. Thus we focus on case $l = 0$, i.e., on the surjectivity of

$$H^0(B^{\otimes 2}) \otimes H^0(B) \xrightarrow{\beta} H^0(B^{\otimes 3}).$$

We first use Observation 1.3. Since $H^1(B) = 0$ and by adjunction $B \otimes \mathcal{O}_S = K_S$, it is enough to prove the surjectivity of

$$H^0(K_S) \otimes H^0(K_S^{\otimes 2}) \xrightarrow{\delta} H^0(K_S^{\otimes 3}).$$

Since the image of $S$ under the morphism defined by $|K_S|$ is not a surface of minimal degree, $h^0(K_S) = h^0(B) - 1 \geq 4$, and $H^1(\mathcal{O}_S) = 0$, by [G1], Theorem 3.9.3, the map $\delta$ surjects.

We prove now (2). Recall that $h^0(B) = 4$. We want to show the surjectivity of

$$H^0(B^{\otimes 2l}) \otimes H^0(B^{\otimes 2}) \xrightarrow{\alpha} H^0(B^{\otimes 2l+2})$$

if the sectional genus of $B$ is greater than 3. If $l = 1$, the surjectivity of $\alpha$ was shown in the proof of Theorem 1.4. If $l \geq 2$, the surjectivity of $\alpha$ follows from the same arguments or alternatively from Observation 1.2, Kodaira vanishing and [M], Theorem 2. On the other hand, if the sectional genus of $B$ is 3, the morphism induced by $|B|$ is a 2 : 1 cover of $\mathbb{P}^3$, hence a general curve $C$ in $B \otimes \mathcal{O}_S$, where $S$ is a general divisor in $|B|$, is a hyperelliptic curve. Therefore $B^{\otimes 2} \otimes \mathcal{O}_C = K_C$ is not very ample.
Finally we prove (3). Since now the morphism induced by $|B|$ is a 2 : 1 cover of a rational normal scroll and $C$ is again hyperelliptic, then $B^{\otimes 2}$ cannot be very ample. □

Looking at Theorem 1.7 it can be seen that the hyperellipticity of $C$ determines in many cases whether $B^{\otimes 2}$ satisfies the property $N_0$ or not. For instance, the fact of $C$ being hyperelliptic forces the image of $X$ by $|B|$ to be a variety of minimal degree. We also have this

**Corollary 1.8.** Let $X$ be a Calabi-Yau threefold and let $B$ be an ample and base-point-free line bundle on $X$. If $h^0(B) = 4$ or if $(X, B)$ is of type 2 (i.e., the morphism induced by $|B|$ is generically 2:1 onto its image), $B^{\otimes 2}$ satisfies the property $N_0$ if and only if there exists $S \in |B|$ and a smooth curve $C \in |B \otimes \mathcal{O}_S|$ which is non-hyperelliptic.

All the above motivates the following

**Conjecture 1.9.** Let $X$ be a Calabi-Yau threefold and let $B$ be an ample and base-point-free line bundle. Then $B^{\otimes 2}$ embeds $X$ as a projectively normal variety if and only if there is a smooth non-hyperelliptic curve $C$ in $|B \otimes \mathcal{O}_S|$ some $S \in |B|$.

Theorems 1.4 and 1.7 combined with results on global generation of powers of ample line bundles, such as Ein and Lazarsfeld’s (cf. [EL2]), Fujita’s (cf. [F]) and Kawamata’s (cf. [K]), yield the following

**Corollary 1.10.** Let $X$ be a smooth Calabi-Yau threefold and let $A$ be an ample line bundle. Let $L = A^{\otimes n}$. If $n \geq 8$, then $L$ satisfies property $N_0$. Moreover, if $A^3 > 1$ and $n \geq 6$, then $L$ satisfies property $N_0$.

*Proof.* The line bundle $A^{\otimes m}$ is base-point-free if $m \geq 4$ (cf. [EL2]) and, if $A^3 > 1$ and $m \geq 3$, then $A^{\otimes m}$ is base-point-free (cf. [F]). Using [M], Theorem 2 and Observation 1.2 it is not difficult to see that $A^{\otimes n}$ satisfies property $N_0$ if $n \geq 13$ (if $n \geq 10$ when $A^3 > 1$). On the other hand, if $n = 2l$ with $l \geq 4$ (with $l \geq 3$ if $A^3 > 1$), $A^{\otimes n}$ satisfies property $N_0$ as a consequence of Theorem 1.7. Indeed, set $B = A^{\otimes l}$. Riemann-Roch implies that $h^0(B) \geq 12 \geq 10$, if $A^3 > 1$. Then by inequality (*), the degree of the map induced by $|B|$ is less than or equal to 7 in both cases. By Proposition 1.6 the image of $\pi$ is a rational normal scroll $Y$ maybe singular along a line. Let $F$ be a general $\mathbb{P}^2$ among those contained in $Y$ and let $G = \pi^{-1}(F)$. Then $\deg \pi = B^2 \cdot G \geq 9A^2 \cdot G \geq 9$, since $A$ is ample, and this is a contradiction. There are therefore only a few cases still to be checked. If $A^3 > 1$, we still have to deal with $L = A^{\otimes 7}$ and $L = A^{\otimes 9}$. The case $m = 9$ follows directly from Theorem 1.4. For the case $m = 7$, we argue as follows. We use Observation 1.2. The only multiplication maps whose surjectivity cannot be checked using [M], Theorem 2 are

\[
H^0(A^{\otimes 7}) \otimes H^0(A^{\otimes 3}) \xrightarrow{\alpha} H^0(A^{\otimes 10})
\]
\[
H^0(A^{\otimes 10}) \otimes H^0(A^{\otimes 4}) \xrightarrow{\beta} H^0(A^{\otimes 14}).
\]

To see the surjectivity of $\alpha$ and $\beta$ one can argue as in Theorem 1.7, reducing the problem eventually to checking the surjectivity of multiplication maps on suitable curves. The surjectivity of these maps follows by [P], Corollary 4 or [B], Proposition 2.2. Finally, if we are in the case when no conditions are imposed on $A^3$, then we still have to deal with $m = 9, 11$ and 12. The argument is the same as before. □

To end this section we prove a result regarding very ampleness and projective normality on Calabi-Yau fourfolds. Recall that Corollary 1.1 tells among other things that if $X$ is a smooth Calabi-Yau fourfold and $B$ is an ample and base-point-free line bundle, then $B^{\otimes n}$ satisfies property $N_p$ if $n \geq p+4$
Theorem 2.2. Let $N$ following characterization of property $S$ (cf. [ACGH], page 151) which states that the map $B$ holds for $B^{\otimes 4}$ under certain conditions on $B$.

Theorem 1.11. Let $X$ be a smooth Calabi-Yau fourfold and let $B$ be an ample and base-point-free line bundle such that the morphism induced by $|B|$ is birational onto the image and $h^0(B) \geq 7$. Then $B^{\otimes 4}$ is very ample and $|B^{\otimes 4}|$ embeds $X$ as a projectively normal variety.

Proof. From Observation 1.2 it follows that it suffices to prove the surjectivity of

$$H^0(B^{\otimes n}) \otimes H^0(B) \rightarrow H^0(B^{\otimes n+1})$$

for all $n \geq 4$. When $n \geq 5$, this follows from [M], Theorem 2 and Kodaira vanishing theorem. If $n = 4$, we argue like in the proofs of Theorems 1.4 and 1.7. We consider a smooth curve $C$ obtained by iteratively taking hyperplane sections in $|B|$. Then we use Observation 1.3 and since $B^{\otimes 4} \otimes O_C = K_C$, the problem is eventually reduced to checking the surjectivity of the following map on $C$,

$$H^0(K_C) \otimes H^0(L) \rightarrow H^0(K_C \otimes L),$$

where $L = B \otimes O_C$. The line bundle $L$ is ample, base-point-free, $|L|$ induces a birational morphism from $C$ onto its image, and $h^0(L) \geq 4$, thus the surjectivity of $\alpha$ follows from a theorem of Castelnuovo (cf. [ACGH], page 151) which states that the map $S^n H^0(L) \otimes H^0(K_C) \rightarrow H^0(K_C \otimes L^{\otimes n})$ surjects for all $n \geq 0$ under the conditions satisfied by $L$.

2. Normal Presentation, Koszul Rings and Higher Syzygies.

The purpose of this section is to compute Koszul cohomology groups on Calabi-Yau threefolds and to apply this computation to the study of the ring, equations and free resolution of those threefolds. The connection between Koszul cohomology and syzygies was developed by Green (see [G2]; for a particularly gentle introduction to the subject see also [L]). We present now the statement we need for our purposes. Let $X$ be a projective variety, and let $F$ be a globally generated vector bundle on $X$. We define the bundle $M_F$ as follows:

$$0 \rightarrow M_F \rightarrow H^0(F) \otimes O_X \rightarrow F \rightarrow 0 . \tag{2.1}$$

If $L$ is an ample line bundle on $X$ such that all its positive powers are nonspecial there exists the following characterization of property $N_p$:

Theorem 2.2. Let $L$ be an ample, globally generated line bundle on a variety $X$. If the cohomology group $H^1(\mathbb{A}^{p' + 1} M_L \otimes L^{\otimes s})$ vanishes for all $0 \leq p' \leq p$ and all $s \geq 1$, then $L$ satisfies the property $N_p$. If in addition $H^1(L^{\otimes r}) = 0$, for all $r \geq 1$, then the above is a necessary and sufficient condition for $L$ to satisfy property $N_p$.

We use this characterization to prove our results on syzygies. For the proof of it we refer to [EL1], Section 1. Since we are working over an algebraically closed field of characteristic 0, for our proofs of higher syzygies results we will check the vanishings of $H^1(M_L^{\otimes p' + 1} \otimes L^{\otimes s})$ rather than see directly the vanishings of $H^1(\mathbb{A}^{p' + 1} M_L \otimes L^{\otimes s})$.

Before we state the main theorem of this section we state the following lemma (for the proof, see [GP2], Lemma 2.9):

and $p \geq 1$. Therefore if $n \geq 5$, $B^{\otimes n}$ satisfies property $N_1$, and in particular, $B^{\otimes n}$ is very ample and $|B^{\otimes n}|$ embeds $X$ as a projectively normal variety. In the following theorem we prove that the above holds for $B^{\otimes 4}$ under certain conditions on $B$. 


Lemma 2.3. Let $X$ be a projective variety, let $q$ be a nonnegative integer and let $F$ be a base-point-free line bundle on $X$. Let $Q$ be an effective line bundle on $X$ and let $q$ be a reduced and irreducible member of $|Q|$. Let $R$ be a line bundle and $G$ a sheaf on $X$ such that

1. $H^1(F \otimes Q^*) = 0$,
2. $H^0(M_{(F \otimes \mathcal{O}_q)} \otimes R \otimes \mathcal{O}_q) \otimes H^0(G) \to H^0(M_{(F \otimes \mathcal{O}_q)} \otimes R \otimes G \otimes \mathcal{O}_q)$ surjects for all $0 \leq q' \leq q$.

Then, for all $0 \leq q'' \leq q$ and all $0 \leq k \leq q''$,

$$H^0(M_{F^0} \otimes M_{(F \otimes \mathcal{O}_q)} \otimes R \otimes \mathcal{O}_q) \otimes H^0(G) \to H^0(M_{F^0} \otimes M_{(F \otimes \mathcal{O}_q)} \otimes G \otimes R \otimes \mathcal{O}_q)$$ surjects.

We are now ready the state the following

Theorem 2.4. Let $X$ be a Calabi-Yau threefold. Let $B$ be an ample and base-point-free divisor with $h^0(B) \geq 5$. Let $L = B^{\otimes p+2}$ and $L' = B^{\otimes p+2}$. If $k, l \geq 0$ and $p \geq 1$, then $H^1(M_{L^{p+1}} \otimes L') = 0$ and $L$ satisfies property $N_p$.

Proof. The proof is by induction on $p$. The most important step is $p = 1$. Consider the sequence

$$H^0(M_L \otimes L') \otimes H^0(L) \to H^0(M_L \otimes L' \otimes L)$$

$$\to H^1(M_{L^2} \otimes L') \to H^1(M_L \otimes L') \otimes H^0(L).$$

The last term of the sequence vanishes by Theorem 1.4, so it suffices to prove the surjectivity of $\alpha$. For that we use Observation 1.2. We see therefore that it is enough to show that

$$H^0(M_L \otimes L') \otimes H^0(B) \to H^0(M_L \otimes L' \otimes B)$$

surjects. Let $S$ be a smooth divisor in $|B|$. The cohomology group $H^1(M_L \otimes B)$ vanishes because the map $\alpha$ surjects, as shown in the proof of Theorem 1.4. Thus by Observation 1.3 it is enough to show the surjectivity of

$$H^0(M_L \otimes B \otimes \mathcal{O}_S) \otimes H^0(B \otimes \mathcal{O}_S) \to H^0(M_L \otimes B \otimes \mathcal{O}_S).$$

Applying now Lemma 2.3, we conclude that it suffices to see the surjectivity of

$$H^0(M_{K_S} \otimes K_S^{\otimes n} \otimes H^0(K_S) \to H^0(M_{K_S} \otimes K_S^{\otimes n+1}),$$

for all $m, n \geq 3$. Let $C$ be a smooth curve in $|K_S|$ and set $G = K_S^{\otimes m} \otimes \mathcal{O}_C$ and $G' = K_S^{\otimes n} \otimes \mathcal{O}_C$. We apply Observation 1.3 and Lemma 2.3. To apply Lemma 2.3 we need to see that

$$H^0(G' \otimes H^0(\theta)) \to H^0(G' \otimes \theta)$$

$$H^0(M_G \otimes G') \otimes H^0(\theta) \to H^0(M_G \otimes G' \otimes \theta)$$

surject, where $\theta = B \otimes \mathcal{O}_C$ is a theta-characteristic. To see the surjectivity of the first map, note that $\deg (G' \otimes \mathcal{O}_C) + \deg \theta \geq 4g(C) - 4$. Since $h^0(B) \geq 5$, then $h^1(\theta) \geq 3$, so the surjectivity follows by [B], Proposition 2.2 or [P], Corollary 4. To see that the second map surjects, note that $K_S^2 \geq 4$ by Nöther’s inequality, and therefore, $\deg G \geq 3g(C) - 3 \geq 2g(C) + 2$. Thus $M_G \otimes G'$ is semistable by [B], Theorem 1.12. We see now that the slope of $M_G \otimes G'$ is bigger than $2g(C)$. Since $H^1(G) = 0$,

$$\mu(M_G) = \frac{-\deg G}{\deg G - g(C)},$$
therefore
\[
\mu(M_G \otimes G') \geq \frac{\deg G}{\deg G - g(C)} + 3g(C) - 3 \geq \frac{-\deg G}{\deg G - g(C)} + 2g(C) + 2
\]
and the last term of the above sequence of inequalities is bigger than or equal to \(2g(C) + 1\). On the other hand
\[
\mu(M_G \otimes G') = \frac{-\deg G}{\deg G - g(C)} + 3g(C) - 3 > 3g(C) - 5 \geq 2g(C) + 2g(C) - \deg(\theta) - 2h^1(\theta).
\]
Thus the desired surjectivity follows from \([B]\), Proposition 2.2.

For \(p > 1\), we write a similar sequence:
\[
\begin{align*}
H^0(M_L^{\otimes p} \otimes L') \otimes H^0(L) &\xrightarrow{\beta} H^0(M_L \otimes L' \otimes L) \\
&\rightarrow H^1(M_L^{\otimes 2} \otimes L') \rightarrow H^1(M_L \otimes L') \otimes H^0(L).
\end{align*}
\]
The group \(H^1(M_L^{\otimes p} \otimes L')\) vanishes by induction hypothesis. By Observation 1.2 we only need to show that
\[
H^0(M_L^{\otimes p} \otimes L') \otimes H^0(B) \xrightarrow{\eta} H^0(M_L \otimes L' \otimes B)
\]
surjects. This follows arguing similarly as in the proof of the surjectivity of \(\beta\), using Observation 1.3, Lemma 2.3 to reduce the problem to checking the surjectivity of multiplication maps on \(S \in |B|\) first and to checking the surjectivity of multiplication maps on \(C \in |K_S|\) eventually, and once we are arguing on \(C\), the result follows from \([B]\), Proposition 2.2. We can argue alternatively by induction to see that \(\eta\) surjects. Indeed, applying \([M]\), Theorem 2, the vanishing of \(H^1(M_L^{\otimes p} \otimes L' \otimes B^*)\) follows by induction and the other two vanishings required follow from chasing cohomology sequences arising from (2.1) and again using induction.

Finally, the fact that \(L\) satisfies property \(N_p\) follows from the vanishings just proven, Theorem 1.4 and Theorem 2.2. \(\square\)

Theorem 2.4 says in particular that \(B^{\otimes n}\) satisfies property \(N_1\), i.e., that the image of the embedding induced by \(|B^{\otimes n}|\) is ideal-theoretically cut out by quadrics, if \(h^0(B) \geq 5\) and \(n \geq 3\). The bound imposed on \(h^0(B)\) is sharp, since Example 1.5 provides an example in which \(h^0(B) = 4\) and \(B^{\otimes 3}\) does not even satisfy property \(N_0\) (cf. Theorem 1.4). We present now an example in which \(B^{\otimes 3}\) satisfies property \(N_0\), but not property \(N_1\):

**Example 2.5.** Let \(X\) be a cyclic triple cover of \(P^3\) ramified along a smooth sextic surface and let \(B\) be the pullback of \(O_{P^3}(1)\) to \(X\). The threefold \(X\) is a Calabi-Yau threefold and \(h^0(B) = 4\). By Theorem 1.7, \(B^{\otimes 3}\) satisfies property \(N_0\). However, \(B^{\otimes 3}\) does not satisfy property \(N_1\).

**Proof.** We sketch the proof of the last claim. Assume \(L = B^{\otimes 2}\) satisfies \(N_1\). By Theorem 2.2 the assumption implies
\[
H^1(M_L \otimes L^{\otimes n}) = 0 \quad (2.5.1)
\]
for all \(n \geq 1\). Let \(S \in |B|\) and let \(C\) be a smooth curve in \(|B \otimes O_C|\). Using (2.1) it can be seen that both \(H^2(M_L^{\otimes 2} \otimes L^{\otimes n} \otimes B^*)\) and \(H^2(M_L^{\otimes 2} \otimes L^{\otimes n} \otimes B^* \otimes O_S)\) vanish. Those vanishings together
with (2.5.1) imply that $H^1(\bigwedge^2 M_L \otimes L^\otimes n \otimes O_C) = 0$. On the other hand there is an epimorphism between the vector bundles $M_L \otimes O_C$ and $M_L \otimes O_C$ on $C$. Therefore we have

$$H^1(\bigwedge^2 M_L \otimes O_C \otimes L^\otimes n) = 0 \quad (2.5.2)$$

for all $n \geq 1$. It is a well known result by Castelnuovo that a line bundle of degree greater than or equal to $2g + 1$ on a smooth curve satisfies property $N_0$. The curve $C$ has genus 4 and $L \otimes O_C$ has degree 9, hence $L \otimes O_C$ satisfies $N_0$. Thus it would follow from (2.5.2) that $L \otimes O_C$ satisfies also property $N_1$. But this contradicts a result by Green and Lazarsfeld (cf. [GL]), which says that a line bundle cannot satisfy property $N_1$ if it is the tensor product of the canonical bundle on $C$ and an effective line bundle of degree 3, as is the case of $L \otimes O_C$. Therefore the original assumption (2.5.1) is false and $L$ does not satisfies property $N_1$. □

Remark 2.6. In Theorem 2.4 we dealt with the vanishings needed for property $N_p$, but in fact the arguments used yield more general cohomology vanishings:

Let $X$ be a smooth Calabi-Yau threefold and let $B$ be an ample and base-point-free line bundle such that $h^0(B) \geq 5$. Then $H^1(M_B \otimes O_{C} \otimes \cdots \otimes M_B \otimes O_{C} \otimes B^\otimes n) = 0$ for all $n \geq p + 2$, $n_1 \geq 3$ and $n_2, \ldots, n_{p+1} \geq 1$.

We show now that the line bundle $L$ of Theorem 2.4 embeds the Calabi-Yau threefold as a variety with a Koszul coordinate ring.

Theorem 2.7. Let $X$ be a Calabi-Yau threefold. Let $B$ be an ample and base-point-free divisor with $h^0(B) \geq 5$. Let $L = B^\otimes p+2+k$ and $L' = B^\otimes p+2+l$. If $k, l \geq 0$ and $p \geq 1$, then the coordinate ring of the image of the embedding induced by $|L|$ is Koszul.

Sketch of proof. We follow the same philosophy used in other proofs in this article. The claim follows from results regarding the Koszul property proven for surfaces of general type in [GP2]. Precisely it follows as a corollary of [GP2], Theorem 5.14, using [GP2], Lemma 3.4, and Observation 1.3 with the same strategy used to prove [GP2], Theorem 3.5. □

As we did in Section 1, we obtain the following corollary for powers of ample line bundles. The proof is analogous to the proof of Corollary 1.10.

Corollary 2.8. Let $X$ be a smooth Calabi-Yau threefold and let $A$ be an ample line bundle. Let $L = A^\otimes n$.

1. If $n \geq 4p + 8$, then $L$ satisfies property $N_p$. Moreover, if $A^3 > 1$ and $n \geq 3p + 6$, then $L$ satisfies property $N_p$.
2. If $n \geq 12$ or if $A^3 > 1$ and $n \geq 9$, the coordinate ring of the image of the embedding induced by $|A^\otimes n|$ is Koszul.

Appendix: Singular Calabi-Yau threefolds.

Throughout the previous part of this article we have been concerned only with smooth Calabi-Yau threefolds for reasons of simplicity. In this appendix we show that our arguments can be adapted without much difficulty to canonical Calabi-Yau threefolds and that our main theorems hold indeed for them.

There were only two instances in the proofs of Theorem 1.4 and 1.7 when the assumption of the nonsingularity of $X$ was used. The first of them was when we wanted to guarantee the vanishing
of $H^1(B^\otimes n)$ for an ample line bundle $B$ and all $n \geq 0$. This vanishing holds as well for Calabi-Yau threefolds with canonical singularities. The second was to find, firstly a smooth surface $S$ in $|B|$, and secondly a smooth curve $C$ in $|B \otimes \mathcal{O}_S|$. If $X$ has canonical singularities, it is not possible in general to find a smooth surface $S$ in $|B|$, since $S$ could have (at worst) rational double points, but it is possible to find a smooth curve $C$ in $|B \otimes \mathcal{O}_S|$ using a Bertini-type argument. Thus the only troublesome point is the use of Green’s theorem. However this result can still be applied to $S$ general in $|B|$ if $X$ has canonical singularities, since we can apply it to the desingularization $\tilde{S}$ of $S$, for $S$ and $\tilde{S}$ have the same canonical ring. The upshot of all this is that Theorem 1.4, Theorem 1.7, and Theorem 2.4 hold for canonical Calabi-Yau threefolds, having only in account in the case of Theorem 1.7 that there is another case to add to Proposition 1.6 (6), namely the image of $X$ being a cone over a smooth 2-dimensional rational normal scroll.

As in the end of Sections 1 and 2, we state now corollaries regarding powers of ample line bundles. We use for this purpose a generalization of Ein and Lazarsfeld’s result on base-point-freeness, carried out by Oguiso and Peternell. They prove among other things (cf. [OP], Theorems I(2) and II(2)) that $A^\otimes n$ is base-point-free if $n \geq 5$ and $A$ is an ample line bundle on a Calabi-Yau threefold with $\mathbb{Q}$-factorial terminal and if $n \geq 7$ and $A$ is an ample line bundle on a canonical Calabi-Yau threefold. As corollaries we recover their results on normal generation of powers of ample line bundles (see [OP], Theorems I(3) and II(3)) and generalize them to normal presentation and higher syzygies. We point out that [OP], Theorem 3 can also be recovered as corollary of our Theorem 1.7.

**Corollary A.1 ([OP], Theorem I, (3)).** Let $X$ be Calabi-Yau threefold with $\mathbb{Q}$-factorial terminal singularities and let $A$ be an ample line bundle on $X$. If $n \geq 10$, then $A^\otimes n$ satisfies property $N_0$.

**Corollary A.2 ([OP], Theorem II, (3)).** Let $X$ be Calabi-Yau threefold with canonical singularities and let $A$ be an ample line bundle on $X$. If $n \geq 14$, then $A^\otimes n$ satisfies property $N_0$.

As corollaries of Theorem 2.4, we obtain:

**Corollary A.3.** Let $X$ be Calabi-Yau threefold with $\mathbb{Q}$-factorial terminal singularities and let $A$ be an ample line bundle on $X$. If $n \geq 5p + 10$, then $A^\otimes n$ satisfies property $N_p$. Furthermore, if $p \geq 1$, the coordinate ring of the image of the embedding induced by $|A^\otimes n|$ is Koszul.

**Corollary A.4.** Let $X$ be Calabi-Yau threefold with canonical singularities and let $A$ be an ample line bundle on $X$. If $n \geq 7p + 14$, then $A^\otimes n$ satisfies property $N_p$. Furthermore, if $p \geq 1$, the coordinate ring of the image of the embedding induced by $|A^\otimes n|$ is Koszul.

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