Occurrence of COVID-19 Symptoms During SARS-CoV-2 Infection Defines Waning of Humoral Immunity

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Approximately half of the SARS-CoV-2 infections occur without apparent symptoms, raising questions regarding long-term humoral immunity in asymptomatic individuals. Plasma levels of immunoglobulin G (IgG) and M (IgM) against the viral spike or nucleoprotein were determined for 25,091 individuals enrolled in a surveillance program in Wuhan, China. We compared 405 asymptomatic individuals who mounted a detectable antibody response with 459 symptomatic COVID-19 patients. The well-defined duration of the SARS-CoV-2 endemic in Wuhan allowed a side-by-side comparison of antibody responses following symptomatic and asymptomatic infections without subsequent antigen re-exposure. IgM responses rapidly declined in both groups. However, both the prevalence and durability of IgG responses and neutralizing capacities correlated positively with symptoms. Regardless of sex, age, and body weight, asymptomatic individuals lost their SARS-CoV-2-specific IgG antibodies more often and rapidly than symptomatic patients did. These findings have important implications for immunity and favour immunization programs including individuals after asymptomatic infections.

Keywords: COVID-19, humoral immunity, asymptomatic, symptomatic, collective/herd immunity

INTRODUCTION

Currently, the world faces a global COVID-19 pandemic. As of August 9, 2021, more than 202.7 million people had a laboratory-confirmed SARS-CoV-2 infection and nearly 4.2 million people died during or in the direct aftermath of COVID-19 (1). Calculations of the excess mortality and sero-prevalence surveillance programs indicate that the actual numbers of infections and fatalities are far higher. An important determinant for the number of unrecorded cases is the occurrence of very mild and/or asymptomatic infections, which are the focus of this study. The scarcity of...
secondary SARS-CoV-2 infections (2, 3) indicates that adaptive immune responses prevent re-infections in the vast majority of cases - at least during the approximately one-year period during which SARS-CoV-2 has been studied to date. Others and we have shown that binding and neutralizing antibodies develop rapidly after infection and are maintained in the majority of symptomatic COVID-19 patients for a period of 6-10 months after disease onset (4–6). It is important to emphasize that this observation period was defined by the end of the studies rather than the decline of detectable antibodies. However, recent reports suggest that binding antibodies and the neutralizing activity against SARS-CoV-2 is either not similarly strong and/or last-longing in individuals who had only mild or no symptoms (7–10). Most important landmark studies either included relatively few patients (e.g., 37 per arm) or only examined a relatively short period (e.g., 8 weeks). Additionally, different studies came to contradicting conclusion concerning waning neutralizing antibody responses in asymptomatic individuals (10–12). Therefore, we felt that the duration of protective immunity in asymptomatic individuals should be elucidated in larger cohorts and with a more informative study design.

There is a controversial debate concerning the question with which frequencies bona fide asymptomatic SARS-CoV-2 infections occur. Another important matter of debate is the question if and how they contribute to the spread of the virus (13). A large study from Wuhan suggests that asymptomatic individuals seem not to be very infectious for their contact persons (14). Obviously, unspecific symptoms such as headache, myalgia, and fatigue are not always linked to COVID-19, because they are rather common in the general population and may have various reasons. Thus, the incidence of asymptomatic SARS-CoV-2 infections appears to vary considerably in different studies and/or populations. Descriptions range from 17.8% in Diamond Princess cruise ship tourists (15) to 21.9–35.8% in a nationwide seroprevalence study in Spain (16). Factors influencing this wide range appear to be related to the study design (e.g., retrospective questionnaires), personal expectations of being infected, and maybe the patience and perseverance during interviews and interrogations. Regardless of the actual percentage, two points are beyond doubt: (I) a highly relevant proportion of persons acquires a SARS-CoV-2 infection (as indicated by diagnostic antibody testing) without seeking medical help and without recognizing and/or remembering unusual symptoms, and (II) such asymptomatic individuals have no or far milder symptoms as compared to individuals who actively seek medical help due to the occurrence of symptoms. Thus, asymptomatically SARS-CoV-2-infected individuals are often hard to find for larger immunological studies.

Usually, the timing of asymptomatic infections is uncertain given that the virus itself has never been detected by nucleic acid or antigen testing. In such cases, the retrospective diagnosis is exclusively based on the presence of specific antibodies. Since immunity wanes over time, it is very difficult to accurately determine the prevalence and kinetics of binding and neutralizing antibodies in asymptomatic individuals. In the absence of virus detection and/or symptomatic disease episodes, it is nearly impossible to distinguish recent infection events associated with low IgG titers from past infections that had initially elicited strong immune responses that declined afterwards. This level of uncertainty increases even further when re-exposures are taken into account that are almost impossible to detect in natura but will almost certainly booster immunity. While the above applies to phases of on-going public virus spread, a clearly defined end of local virus transmission chains may be applied as ‘synchronization element’ since it excludes infections and the associated antigen re-exposure beyond a defined time point. Since April 2020 and despite large-scale public surveillance programs (17), no autochthonous virus transmissions have been detected in Wuhan strongly suggesting that the stringent non-pharmacologic interventions virtually terminated local virus spread. Given that this end excludes infections, re-infection, antigen re-exposures, and immunological boosting, we inferred that this serendipitous situation would enable an - at least to our knowledge - unprecedented study design dealing with the aftermath of a COVID-19 endemic. We screened 25,091 outpatients in April 2020 and surveyed antibody responses in more than 987 sero-positive persons during a six-month period after the epidemic in Wuhan had ended. Immunoglobulin M (IgM) and G (IgG) responses recognizing the receptor binding domain (RBD) of the spike (S) or the nucleocapsid (N) protein as well as neutralizing activities of clinical specimens derived from 405 asymptotically infected individuals who mounted a detectable antibody response, and 459 symptomatic COVID-19 patients were determined in a comprehensive and comparative study design. The results provide novel insights into the long-term immune status of asymptomatic individuals and have important implications for the understanding of collective immunity as well as the design of global vaccination programs.

**METHODS**

**Patients and Sample Collection**

In total, 29,177 clinical specimens obtained from 25,091 outpatients of the clinic of Wuhan Union Hospital during the period between April 2020 and October 2020 were included in this study. The levels of IgM and IgG antibodies recognizing the RBD of the S protein and the N protein (IgG-S, IgG-N, IgM-S, and IgM-N) were determined. A total of 987 individuals who have not been vaccinated against SARS-CoV-2 tested positive for at least one SARS-CoV-2-specific antibody. Focusing on the antibody-positive patients, we conducted interviews to assess whether the persons experienced symptoms such as fever, sore throat, cough, loss of taste or smell, and chest tightness during the epidemic. Of the 987 SARS-CoV-2-specific antibody-positive persons, 123 had to be excluded from further analyses for one or more of the following reasons: refusal to provide medical information, ambiguity of medical information or sole IgM positivity. The latter were excluded because of the limited specificity of IgM responses. Clinical specimens derived from
repetitive testing of the same individual during an one-month interval were also not taken into account. Individuals co-infected with human influenza A virus, influenza B virus or other viruses associated with respiratory infections were excluded. In the end, data of 405 asymptomatic persons and 459 symptomatic patients, found by screening 25,091 outpatients, were included in this study (Figure 1). The age range of asymptomatic and symptomatic individuals are 18-84 and 18-87, respectively. We retrospectively collected patients’ medical information including demographic factors (Supplementary Table 1). Plasma samples were separated by centrifugation at 3000g for 15 min after 30 min-inactivation at 56°C (complement inactivation) and tested concerning the presence of SARS-CoV-2-specific antibodies. All patients signed a general written consent that residual blood samples can be applied for scientific research. All procedures were approved by the Ethics Commission of Union Hospital of Huazhong University of Science and Technology in Wuhan.

Detection of IgG and IgM Against Spike Protein and Nucleocapsid Protein of SARS-CoV-2
IgG-S, IgG-N, IgM-S, and IgM-N levels were quantified by capture chemi-luminescence immunoassays (CLIA) Kit (Snibe, Shenzhen, China, Lot#: 130219015M/130219016M) using the MAGLUMI™ 4000 Plus as described previously (6). The cut-off value for IgM-S was 0.7 AU/mL and 1.0 AU/mL for IgM-N, IgG-S, and IgG-N.

Virus Neutralization Test Assay
The SARS-CoV-2-neutralizing activity of patient plasma was tested against SARS-CoV-2 (Strain BetaCoV/Wuhan/WIV04/2019, National Virus Resource Center number: IVCAS 6.7512) in highly permissive Vero E6 cells using the previously described co-incubation methodology (6). Virus-specific cytopathic effects (CPE) were visualized and judged by microscopic inspection. The neutralizing antibody titers were expressed as reciprocal value of the highest actual dilution that significantly prevented CPE formation.

Statistics and Reproducibility
The mean and standard deviation were applied for describing continuous variables with a normal distribution. The median and the interquartile range (IQR) were used to describe continuous variables with a skewed distribution. For categorical variables, the number (n) and the percentage (%) were applied for description. We used the Mann-Whitney U test, χ² test, or Fisher’s exact test as appropriate. A non-parametric Spearman’s correlation test was applied for the correlation analyses. Longitudinal changes in antibody titers during April 2020 and October 2020 were depicted using the locally weighted regression and smoothing scatterplots (Lowess) model (ggplot2 package in R). All reported p values were two-sided, and a p value below 0.05 was regarded as hallmark for statistical significance. Levels of statistical significance were depicted as follows: ns, not significance; *p<0.05; **p<0.01; ***p<0.001; ****p<0.0001. All statistical analyses were conducted using R (The R Foundation, http://www.r-project.org, version 4.0.0).

RESULTS
The local COVID-19 epidemic in Wuhan was discovered in late 2019 (18) and lasted until the end of March 2020. During April and May, only seven new cases were identified among more than 11.2 million inhabitants of Wuhan, China. Despite enormous testing efforts (approximately 9.89 million tests were conducted), no autochthonous infections have been identified since June 2020 (Supplementary Figure 1). We figured that this temporarily well-defined epidemic might provide an opportunity to determine humoral immune responses elicited by a novel virus infection that necessarily must have occurred during a very precisely defined and narrow timeframe and in absence of subsequent antigen exposures during the post-epidemic period.
Previous virus proteome-wide analyses showed that asymptomatic infections mainly produce IgM and IgG antibodies recognizing the S1 or the N protein of SARS-CoV-2 (8). Therefore, we focused on these antibody responses. We determined specific IgG and IgM responses recognizing the N or RBD-S protein, applying capture chemi-luminescence immunoassays (CLIA). More than 29,177 clinical specimens were analyzed from 25,091 outpatients who visited the clinic of Union Hospital during the period from April to October 2020. A total of 1,219 plasma specimens obtained from 987 individuals showed at least one type of SARS-CoV-2-specific antibodies, corresponding to an overall sero-prevalence of 3.93% among the participants. This prevalence is highly consistent with two previous studies conducted among Wuhan residents which described sero-positivity rates of 2.39% and 3.9% (19, 20). After applying exclusion criteria such as uncertainty of medical information, repetitive testing during a one-month period, and IgM positivity only (see the Methods section for details), 864 subjects represented by 990 plasma samples were included in this study (Figure 1 and Supplementary Table 1).

Interestingly, nearly half of all subjects (n=405; ~46.9%) had no symptoms, whereas 459 (~53.1%) suffered from symptomatic COVID-19. The demographic characteristics of those asymptomatic individuals and symptomatic COVID-19 patients were compared. There were no significant differences concerning age, sex or body weight between individuals who experienced symptomatic and asymptomatic infections (Supplementary Table 1).

In the Absence of Antigen Re-Exposure, Asymptomatic Individuals Lose SARS-CoV-2-Specific IgG-S Responses More Rapidly Than Symptomatic Patients Do

As expected, based on the short lifespan of IgM responses and in agreement with the literature (21), most plasma-positive individuals, regardless of the presence or absence of symptomatic episodes, did not show IgM responses recognizing SARS-CoV-2-N and RBD-S two months after the end of the epidemic (Figure 2A). Only, in the second month after the epidemic had ended, the levels of anti-RBD IgM-S and IgM-N in asymptomatic patients were significantly higher than that of symptomatic patients (Figure 2B). In contrast...
to the IgM responses, most individuals initially showed IgG responses recognizing N and RBD-S. While both the IgG-N and anti-RBD IgG-S levels remained rather stable during the observation period following symptomatic COVID-19, there was an obvious difference concerning the strength and the sustainability of individual IgG responses compared to asymptomatic individuals, who showed lower and less stable IgG responses (Figures 2A, B). Symptomatic patients exhibited an overall anti-RBD IgG-S positivity rate of 89% in April and remained at a prevalence of 80% in October (Figure 2C). The positivity rate for IgG-N started at 100% in April and remained at 92% during the six-month observation period. The positivity rate for IgG-N in asymptotically infected individuals also started at 100% and decreased slightly faster to 85% during the observation period (Figure 2C). However, given the importance of RBD-S-specific IgG for protection (e.g., through neutralizing antibodies), we were intrigued by the sharp decline in anti-RBD IgG-S responses (Figure 2A, lower left panel) and overall positivity rates that dropped from 75% in April to only 49% six months later (Figure 2C).

**Symptom Occurrence Is the Dominant Factor Determining the Strength and Stability of SARS-CoV-2-Specific IgG Responses**

Given these differences in humoral immunity associated with the symptom occurrence, we stratified asymptomatically infected individuals and symptomatic patients according to sex, age, and body mass index (BMI). We then compared the IgG-N and anti-RBD IgG-S antibody levels to examine the influence of symptomatic disease episodes. As shown in Figure 3, IgG-N as well as the anti-RBD IgG-S titers of asymptomatically infected individuals were significantly lower compared to symptomatic patients across most subgroups defined by sex (Figure 3A), age (Figure 3B), and BMI (Figure 3C), except for IgG-N responses in 30–39-year-old and low-weight subjects. We do not think that the lack of significance in the latter two groups indicates a meaningful immunological feature, especially since the trend pointed in the same direction. Taken together, across various groups and biological characteristics of individuals, symptom occurrence during the early phase was the dominant factor defining the strength and sustainability of IgG responses.

**Neutralization Activity Is Defined by the Occurrence of Symptoms**

Consistent with the essential role of the spike protein for SARS-CoV-2 entry, it represents the main target of neutralizing antibodies. Accordingly, numerous studies including our own documented a strong correlation between IgG-S titers, particularly those antibodies recognizing the receptor-binding domain (RBD) of S, and neutralizing activity (21, 22). The same was observed here: anti-RBD IgG-S titers demonstrated a significant positive correlation with neutralizing activity (Spearman r=0.5795, p<0.0001). A less stringent correlation was found for IgG-N titers (Spearman r=0.1620, p=0.0007) (Supplementary Figure 2, left and central panel). Accordingly, high levels of neutralizing activity (1:160 or 1:320) were found in association with high anti-RBD IgG-S levels (Supplementary Figure 2, right panel).

Since the IgG-S levels were lower in asymptomatic individuals compared to symptomatic patients, we wondered whether this difference also applies to neutralizing antibodies that are highly relevant for protection from re-infection. We compared neutralizing activities of the two groups at three time points:
April, July, and October 2020. As shown in Figures 4A, B, the neutralization titers and positive rate of sera obtained from asymptomatic individuals were significantly lower than those of symptomatic patients. The frequency of individuals showing neutralizing activity in the asymptomatic group showed a downward trend with 59.3%, 51.2%, and 46.3% in April, July, and October, respectively (Figure 4B). In contrast, the frequency of symptomatic patients with neutralizing activity was stable at a far higher level based on prevalence rates of 77.4%, 86.9%, and 86.0% at the indicated time points (Figure 4B). From April to July, there was even an increase in the percentage of clinical specimens showing neutralizing antibodies. This may reflect a long-term maturation of antibodies that has been reported after SARS-CoV-2 infection.

In order to investigate the neutralizing capacities longitudinally, serum titers of 17 asymptomatically infected individuals and 54 symptomatic patients with repetitive sampling were analysed. Interestingly, the similar proportion of the two groups, 29.4% in symptomatic individuals and 27.7% in asymptomatic individuals, showed increasing neutralizing titers over time (Figure 4C). However, the proportion of individuals with decreasing titers of neutralizing antibodies was 52.9% in asymptotically infected individuals, but only 40.7% in symptomatic individuals. We found the opposite for individuals with no change neutralization titers over time. Here, the proportion of asymptomatic individuals was only 17.6% in contrast to 31.4% in symptomatic patients. This indicates that, on the individual level, more patients in the asymptomatic group compared to symptomatic patients show a decrease in their neutralizing capacity over time.

Taken together our data reveal that symptom occurrence during the primary SARS-CoV-2 infection is the dominant factor defining the strength and sustainability of binding and neutralizing IgG antibodies.

**DISCUSSION**

We present here, at least to our knowledge, the first comprehensive side-by-side comparison of asymptomatically infected individuals and symptomatic COVID-19 patients in the long-term aftermath of a SARS-CoV-2 endemic. We found striking differences concerning the strength and persistence of SARS-CoV-2-specific IgG responses, in particular in those antibodies recognizing the RBD of S, which mainly comprise neutralizing IgG molecules. Irrespective of sex, age, and body mass index, the symptom occurrence during the early SARS-CoV-2 infection phase was significantly positively correlated...
with stronger and more sustained anti-RBD IgG-S responses. The same difference was evident concerning the level of neutralizing antibodies.

To enable an investigation such as the present one, several highly unusual circumstances must ‘perfectly’ align: (I) the beginning and the end of the endemic must be well defined, (II) the duration of the endemic needs to be rather short, (III) public surveillance efforts are needed to trace the spread of the virus in the local community, (IV) sufficient numbers of individuals in general and infected subjects in particular need to be present and willing to share their information, and (V) immunologically related viruses must be negligible during the observational period in the studied area. All of these hold true for Wuhan, leading to an unprecedented situation: SARS-CoV-2 was identified here (18). The endemic started and ended between late 2019 and end of March 2020 (see Supplementary Figure 1). Other seasonal coronaviruses such as HCoV-229E did not circulate extensively during the observational period (23) and the antibody responses recognizing the N and S protein of SARS-CoV-2 (if at all) only minimally overlap with responses induced by other seasonal coronaviruses (24). In conjunction, these factors allowed us to probe into the strength and sustainability of humoral immunity in the aftermath of a COVID-19 epidemic and in absence of subsequent antigen re-exposure. Importantly, the most decisive factor across different sex, age, and body mass index groups was the occurrence of symptoms during the early SARS-CoV-2 infection.

Since we separately quantified levels of anti-RBD IgG-S and IgG-N antibodies, we were able to show that neutralizing antibody responses positively correlate best with anti-RBD IgG-S levels in asymptomatic individuals, similar to what we had shown for symptomatic patients (6).

Our results complement other studies based on the Wuhan population (10, 12, 25) collectively showing that the positivity rate and the actual titer levels of neutralizing antibodies in symptomatic patients are significantly higher and more sustained compared to those found in the asymptomatic group. We also observed that serum neutralizing antibody levels in asymptomatic patients decreased over time, while another study conducted in Wuhan did not find this (25). The reason may be that these authors applied another method to recruit their participants. They used a cluster random sampling method based on households to recruit participants. Accordingly, the study found a positivity rate of antibodies of 6.92% that was far higher than the one defined by us and by other studies based on the Wuhan population (19, 20).

Albeit in far smaller collectives and in shorter analyses, other authors also observed difference between patients who experienced severe symptoms and asymptomatic and/or mildly symptomatic groups (10, 26). We can only speculate why symptoms correlate with the strength and sustainability of IgG responses. Patients with severe infections may produce higher levels of antibodies during the early disease stage because of the stimulation of a large number of antigens and B cell responses outside germinal centres (27). However, damaging effects of SARS-CoV-2 on lymphoid organs affecting the durability of antibody responses and the antibody affinity have also been described (28, 29). Although several studies reported an association between higher viral loads with more severe symptoms, they found little to no difference in respect to virus loads between pre-symptomatic, asymptomatic, and symptomatic patients (30). However, the duration of viral RNA shedding seems to be shorter in people who remain asymptomatic (13, 30). Thus, a shorter virus replication phase may be associated with an antigen availability that is simply not long enough to prime optimal B cell and/or antibody responses. Another explanation may rely on the association between innate immune responses and symptoms on the one hand and innate immune responses and antibody responses on the other hand. It is well known that interferons, besides their important antiviral activity, by themselves cause flu-like symptoms such as fatigue, fever, and myalgia (31, 32). Additionally, interferons enhance antibody responses and induce class switching (33). Thus, a simple and parsimonious explanation for the association between the occurrence of symptoms with strong and long-lasting IgG responses may simply be the overlapping dependence on interferons. Since interferon induction is stimulated by viruses, the first explanation (prolonged virus replication) and the second explanation (increased interferon induction) are by no means mutual exclusive.

Some recent studies claimed that neutralizing activities in clinical serum specimens obtained from patients with mild symptoms and/or asymptomatic infections disappears 2 months after infection (9). However, other studies (34) and our results presented above clearly oppose this view. Despite the apparent decline in antibody responses, nearly half of all asymptomatic individuals exhibited detectable neutralizing activity half a year after the end of the epidemic and in absence of additional antigen exposure. These dynamics are consistent with the change of antibody response during other acute virus infections such as influenza, MERS-CoV, SARS-CoV-1, and the seasonal human coronavirus 229E. Early after such infections, neutralizing antibody titers rise rapidly followed by an obvious contraction phase. However, after this intermediate decline, a stable plateau is established, which can be maintained for several years through the activity of long-lived plasma and memory B cells (5, 29).

Like all observational analyses, our study has certain limitations. Firstly, after half a year, a potential recall bias of asymptomatic carriers may affect the results of this study. Secondly, a fraction of asymptomatic patients may have been missed by our surveillance as consequence of anti-RBD IgG-S levels below the level of detection during the recovery period (10, 18). This study only enrolled asymptomatic individuals, who mounted a detectable antibody response.

In conclusion, half a year after the Wuhan COVID-19 epidemic ended, although asymptomatic individuals had lower anti-RBD IgG-S antibody titers, positivity rates, and neutralizing activities compared to symptomatic patients, nearly half of asymptomatic subjects had sufficient neutralization activity. These results suggest that a considerable fraction of asymptomatic natural infections stimulate a humoral immune response conferring the ability to resist reinfections. Despite this
good news, aforementioned disparity in the strength and duration of anti-RBD IgG-S responses raised by symptomatic and asymptomatic infections, strongly argue in favour of vaccine programmes including individuals who underwent asymptomatic SARS-CoV-2 infections, ideally with an intermediate prioritization adjusted between vulnerable uninfected individuals and symptomatic COVID-19 patients.

DATA AVAILABILITY STATEMENT

The datasets presented in this study can be found in online repositories. The names of the repository/repositories and accession number(s) can be found in the article/Supplementary Material.

ETHICS STATEMENT

The studies involving human participants were reviewed and approved by the Ethics Commission of Union Hospital of Huazhong University of Science and Technology in Wuhan. The patients/participants provided their written informed consent to participate in this study.

AUTHOR CONTRIBUTIONS

Conceptualization: JW, BY-L, JL, FD, UD, MT, and XZ. Methodology: BY-L, YH-F, HW, XL-Y, SS, SM-L, SH-L, and TD-X. Investigation: YH-F, HW, XL-Y, SS, SM-L, SH-L, and TD-X. Visualization: JW, BY-L, HW, XL-Y, LK-C, and VTKL-T. Funding acquisition: JL, DL-Y, MT, and XZ. Project administration: SS, JL, MJ-L, DL-Y, FD, and UD. Supervision: JW, MJ-L, DL-Y, UD, MT, and XZ. Writing – original draft: JW, BY-L, YH-F, HW, XL-Y, VTKL-T, MT, and XZ. Writing – review and editing: JW, MJ-L, FD, UD, MT, and XZ. All authors contributed to the article and approved the submitted version.

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SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at: https://www.frontiersin.org/articles/10.3389/fimmu.2021.722027/full#supplementary-material
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