BRG1 directly regulates nucleosome structure and chromatin looping of the α globin locus to activate transcription

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ABSTRACT

α globin expression must be regulated properly to prevent the occurrence of α-thalassemias, yet many questions remain unanswered regarding the mechanism of transcriptional activation. Identifying factors that regulate chromatin structure of the endogenous α globin locus in developing erythroblasts will provide important mechanistic insight. Here, we demonstrate that the BRG1 catalytic subunit of SWI/SNF-related complexes co-immunoprecipitates with GATA-1 and EKLF in murine fetal liver cells in vivo and is recruited to the far-upstream major-regulatory element (MRE) and α2 promoter. Furthermore, based on our analysis of Brg1null/ENU1 mutant mice, BRG1 regulates DNase I sensitivity, H3ac, and H3K4me2 but not CpG methylation at both sites. Most importantly, BRG1 is required for chromatin loop formation between the MRE and α2 promoter and for maximal RNA Polymerase II occupancy at the α2 promoter. Consequently, Brg1 mutants express α globin mRNA at only 5–10% of wild-type levels and die at mid-gestation. These data identify BRG1 as a chromatin-modifying factor required for nucleosome remodeling and transcriptional activation of the α globin locus. These data also demonstrate that chromatin looping between the MRE and α2 promoter is required as part of the transcriptional activation mechanism.

INTRODUCTION

The α and β globin must be expressed at similar levels in erythrocytes to produce functional hemoglobin consisting of α2–β2 tetramers; a failure to do so results in globin chain imbalances and the occurrence of thalassemias (1). To facilitate their coordinately regulated transcription, the α and β globin loci are structurally similar with a series of evolutionarily conserved regulatory elements that direct tissue-specific transcription of far-downstream globin genes (Figure 1A). Furthermore, the genes are arranged in the order of their developmental expression with primitive (embryonic) globin genes followed by definitive (fetal/adult) globin genes. To account for their similarity in genomic structure, the α and β loci arose by duplication of an ancestral locus at an early stage of vertebrate evolution ~500 million years ago and have been constrained by purifying selection (2,3).

The β globin locus has been characterized in considerable detail and serves as a model for studying transcriptional regulatory mechanisms in general and the relationship between sequence-specific transcription factors and chromatin-modifying factors in particular (4). For example, the zinc-finger transcription factors GATA-1 and EKLF bind to their consensus sequences [(A/T)GATA(A/G) and CACCC, respectively] in the locus control region (LCR) as well as the β major and β minor promoters and recruit several co-regulators including the CBP/P300 histone acetyltransferases (HATs) and the BRG1 catalytic subunit of SWI/SNF-related complexes (5–7). BRG1 occupancy is presumably reinforced by a bromodomain that binds acetylated histones (8), and it confers ATPase activity that alters the conformation and position of nucleosomes (9–11). As a result, DNase I hypersensitive sites (HSs) indicative of open chromatin are created in the LCR and the β major promoter. BRG1 also mediates chromatin looping such that GATA-1 and EKLF bound sites in HS2 of the LCR and β major promoter are brought into close physical proximity while the intervening ~40-kb DNA segment is looped out (12). This step culminates in maximal RNA Polymerase II recruitment at the promoter and transcriptional activation.

The α globin locus also contains GATA-1 and EKLF binding sites in upstream regulatory elements as well as...
the αl and α2 promoters. The regulatory elements share several properties with the β globin LCR including the presence of acetylated histones and HSs in erythroid cells but not other cell types (13–17). The most highly conserved of these regulatory elements, which corresponds to human HS -40 or mouse HS -26, confers robust, erythroid-specific enhancer activity on its own and is referred to as the major regulatory element (MRE) (18–22). The MRE is different from the LCR, however, because it is embedded in an intron of the ubiquitously expressed C16orf35 gene (Figure 1A) (23). Considering that the MRE does not impose erythroid-specific transcription on the C16orf35 promoter and also lacks a CTCF insulator, it is believed that the mechanism of transcriptional regulation is fundamentally different for the α and β globin loci.

Chromosome conformation capture (3C) experiments have recently demonstrated that the MRE and α2 promoter are brought into close physical proximity in erythroid cells and the ~40 kb of intervening DNA is looped out in a manner analogous to the LCR and β major promoter (24–26). This finding suggests α globin and C16orf35 are recruited to distinct higher order chromatin environments and are regulated differently. Interestingly, EKLF is dispensable for chromatin looping at the α globin locus but required at the β globin locus, which highlights another functional difference in how these two loci are regulated (24). Most importantly, unlike the β globin locus, it is not known which chromatin-modifying factors are recruited to the α globin locus to mediate histone acetylation, nucleosome remodeling, and chromatin looping. These factors must be identified to elucidate the mechanism of α globin transcriptional activation.

We previously generated and characterized an ENU-induced hypomorphic mutation in the mouse brahma-related gene 1 (Brg1, also known as Smarca4) gene that results in a single amino-acid substitution (E1083G) in the ATPase domain (27). The mutant protein is stable and assembles into SWI/SNF-related complexes, but Brg1null/ENU1 mutants have markedly reduced nucleosome remodeling, chromatin looping, and transcription of the β globin locus and die between embryonic day (E) 11.5 and 14.5 due to severe anemia (12,27,28). Based on additional analysis of these mutants, we demonstrate here that BRG1 also remodels nucleosomes, mediates chromatin looping, and activates transcription of the α globin locus. These findings indicate that α and β globin transcription are regulated by a common, BRG1-dependent mechanism.

**MATERIALS AND METHODS**

**RT–PCR**

RNA was prepared using Trizol reagent (Invitrogen) and reverse transcribed using random hexamers and SuperScript II RT (Invitrogen) according to standard procedures. For end-stage PCR, the Actin primers were 5'-CTGATTCTGACAGACTCAGG-3' and 5'-AC CAAGAGGTACAGGTGCAA-3'. The α globin primers did not distinguish between αl and α2 because the sequences are nearly identical. Multiplexed amplification of actin and α globin was performed at 1 mM MgCl2 and 55°C annealing temperature and yielded 1020-bp (Actin) and 525-bp (αl/2 globin) products. For quantitative PCR (qPCR), validated TaqMan assays (Applied Biosystems) were used with TaqMan gene expression master mix (Applied Biosystems) on an ABI 7300 instrument under default cycling conditions (95°C 15 s followed by 60°C 1 min for 45 cycles). Relative expression levels were determined from a standard curve of serial dilutions of wild-type cDNA samples and were normalized to Gapdh expression levels. Control reactions lacking RT yielded little or no signal.

**ChIP assays**

For each experiment, 2 × 10⁶ fetal liver cells were crosslinked in 0.4% or 1.0% formaldehyde and sonicated with four 10-s pulses at 30% of maximum power. IP dilution buffer (0.01% SDS, 1.1% Triton X-100, 1.2 mM EDTA, 16.7 mM Tris at pH 8.1, 167 mM NaCl, protease inhibitors) was added, 5% of the volume was removed and used as input while the remainder was incubated overnight at 4°C with the appropriate antibody: BRG1 (J1 antibody from G. Crabtree and W. Wang), total H3 (Abcam), pan-acetyl H3 (H3ac, Millipore/Upstate), H3K4me2 (Millipore/Upstate), GATA-1 (Santa Cruz), Pol II (Santa Cruz N-20) and P-Ser5 Pol II (Covance). Protein A/G agarose beads (Santa Cruz) were added and incubated for at least 2 h at 4°C, and then washed and eluted according to standard procedures.

qPCR was performed using Power SYBR Green Master Mix (Applied Biosystems) on an ABI 7300 instrument under default cycling conditions (95°C 15 s followed by 60°C 1 min for 45°C cycles). The MRE primers were 5'-CTCCTAGACTAGGTCAAAGG' and 5'-TGCCCAGGTGTGGCTTCTTCTT-3', while the α2 promoter primers were 5'-TGAGGGCGAAGGACGAGTTGTG-3' and 5'-GATCAAGGTCCTGTTCTCACCC-3'. Dissociation curves and agarose gels demonstrated a single PCR product in each case without primer dimers. Relative enrichment was determined from a standard curve of serial dilutions of input samples.

**Co-IPs and western blots**

Immunoprecipitations were performed as previously described (27) with the following modifications. Single-cell suspensions of E12.5 fetal liver cells were incubated in the presence of 30 mM dithiothreitol and non-reducible (DSP) (Pierce) at room temperature for 15 min and utilized anti-GATA-1 (Santa Cruz) or anti-EKLF (AEK-1 provided by Yu-Chiau Shyu and Che-Kun James Shen) (29). Western blots were performed with a BRG1 antibody (Santa Cruz C-7) as described previously.

**DNase I sensitivity assays**

Real-time, quantitative DNase I sensitivity assays were performed as previously described (30). The MRE and
α2 promoter primers and cycling conditions were the same as for the ChIP assays (see above). The D9Mit59 control primers were 5'-CAGCCAGGCGAGTGTAT-3' and 5'-TAGGGTTCAAGCTGCAACTCATAG-3'.

**Bisulfite mutagenesis**

Bisulfite mutagenesis was performed as previously described (27), and the MRE was amplified using 5'-GTA TATTTATATTAAATGTTAAAATTAG-3' and 5'-AC AAATCTACCAATTAAATTTACTCTCTT-3' modified primers. Subcloning and sequencing were also performed as described previously. Over 95% of cytosines outside the context of CpG were converted to thymines indicating the sodium bisulfite mutagenesis step occurred efficiently.

**3C assays**

3C assays were performed as previously described (31). Single-cell suspensions were isolated from wild-type and BrG1 mutant E12.5 FLs. 3C products were normalized to a control interaction at Erc3. Band intensities were quantified with ImageJ 1.38v software. 3C primer sequences are available upon request.

**RESULTS**

**BRG1 directly regulates α globin expression**

We previously identified reduced transcription of the α and β globin genes in flow-sorted erythroid cells from E12.5 BrG1null/ENUL fetal livers (FLs) (12,27,28). We subsequently validated the β globin result and have studied the role of BRG1 in β globin regulation (12,27,28). To validate the α globin result, we performed RT–PCR and demonstrated that expression is markedly reduced in mutants (Figure 1B). RT–qPCR indicated that mutants express α globin at 5–10% of wild-type levels (Figure 1C). A similar reduction was observed for β major previously and in this study (Figure 1C). Transcription of the ζ and εY embryonic globin genes from yolk sac derived primitive erythrocytes still circulating at E12.5 was also reduced but to a lesser extent, ~60% of wild-type levels (Figure 1C), which is similar to what was observed in BrG1fl/fl-Cre conditional mutants (32). We have analyzed other genes whose expression is not significantly affected in BrG1 mutants, including Gata1, Fog1 and Eklf, which indicates BRG1 does not regulate the expression of erythroid transcription factors and is not a general transcriptional co-regulator (12,28). Here, we analyzed the mouse ortholog of C16orf35 (also known as major alpha globin regulatory element containing gene or Mare), which serves as an important control because intron 5 contains the MRE. C16orf35 mRNA levels are unaffected in mutants (Figure 1C), which can be explained by the aforementioned 3C experiments demonstrating chromatin looping at the α globin locus.

To determine whether BRG1 directly regulates the α globin locus, we performed ChIP assays and localized it to the MRE and α2 promoter in FLs (Figure 2A). BRG1 did not occupy either site in non-erythroid head tissue, which indicates that it binds to the α globin locus in a tissue-specific manner (Figure 2A). GATA-1 and EKLF are also known to bind these sites and presumably recruit BRG1 based on their ability to physically interact with BRG1 in vitro and recruit it and SWI/SNF-related complexes to the β globin locus (6,7,33–38). However, these protein-protein interactions have not been
confirmed at physiological levels in vivo. Therefore, we performed co-immunoprecipitations (co-IPs) using E12.5 FLs, and western blot analyses indicated that endogenous GATA-1 and EKLF physically interact with endogenous BRG1 (Figure 2B). The ability of GATA-1 and EKLF to bind BRG1 and recruit it to the α globin locus was unaffected in mutants (Figure 2A, B). These findings are consistent with the fact that the E1083G substitution is in the ATPase domain located far away from the N-terminal domain of BRG1 that binds these zinc-finger transcription factors (7). BRG1 is also required for maximal GATA-1 occupancy at the MRE and α2 promoter (Figure 2C).

**BRG1 confers DNase I sensitivity and mediates epigenetic modifications of the MRE and α2 promoter**

Considering that mutant BRG1 is recruited to the α globin locus, we reasoned that impaired chromatin remodeling underlies the reduced expression in mutants. DNase I sensitivity assays demonstrated that the MRE and α2 promoter are hypersensitive in wild-type FL nuclei, as expected, but are significantly less sensitive in mutant samples (Figure 3). An Mit microsatellite marker that is relatively resistant to DNase I digestion served as a control and is unaffected in mutant nuclei as expected (Figure 3). To determine whether the reduced DNase I sensitivity of mutant samples is correlated with higher nucleosome density, we performed ChIP assays for total histone 3 (H3) using an antibody that binds to the C terminus. Compared to wild-type samples, mutants have increased total H3 at the MRE and α2 promoter (Figure 4A). Therefore, decreased DNase I sensitivity is correlated with increased H3 in mutants, and these findings are consistent with the role of BRG1 directly altering the conformation and position of nucleosomes.

To assess chromatin structure in more detail, we evaluated two covalent histone modifications associated with transcriptionally permissive promoters. In wild-type FLs, the MRE and α2 promoter are enriched for H3 acetylation (H3ac) and H3 lysine 4 dimethylation (H3K4me2) in erythroid cells of the FL several fold higher than non-erythroid cells of the head as expected (Figure 4B, C). In contrast, mutant FLs had low-level enrichment of H3ac comparable to head tissue at both sites (Figure 4B). Higher H3ac levels in wild-type compared to mutant is consistent with BRG1 having a bromodomain and functioning in concert with HATs. Mutant FLs also had lower levels of H3K4me2 similar in magnitude to H3ac (Figure 4C). The previous total H3 ChIP experiment (Figure 4A) serves as a control to indicate that decreased H3ac and H3K4me2 in mutants is not due to depletion of H3. The effect of BRG1 on H3ac and H3K4me is presumably indirect and could be a consequence of decreased transcription (39).

Sodium bisulfite mutagenesis assays were also performed to evaluate DNA methylation. Wild-type and mutant samples were hypomethylated to a similar degree (Supplementary Figure 1). These results are different than what we previously observed at the β globin locus where wild-type samples were hypomethylated to an even greater extent and mutants were hypermethylated (27).
Previous studies have demonstrated that hypomethylation at the \( \alpha \) globin locus occurs in erythroid and non-expressing tissues, whereas hypomethylation at the \( \beta \) globin locus occurs only in erythroid tissues (40–42). Therefore, CpG methylation appears to be less utilized as a regulatory mechanism at the \( \alpha \) globin locus compared to the \( \beta \) globin locus.

BRG1 induces chromatin looping between the MRE and \( \alpha 2 \) promoter and facilitates maximal RNA Polymerase II occupancy at the \( \alpha 2 \) promoter

BRG1 has been shown to mediate chromatin looping between far-upstream regulatory elements and promoters at the \( CIITA \) and \( \beta \) globin loci (12,43). The MRE and \( \alpha 2 \) promoter are also known to undergo chromatin looping (24–26), so we hypothesized that BRG1 is required for this process to occur considering that it regulates nucleosome structure/position (manifest by reduced DNase I sensitivity and increased H3 in mutants) and covalent histone modifications at both sites. Therefore, we performed semi-quantitative 3C experiments and determined that the MRE and \( \alpha 2 \) promoter are in close physical proximity in wild-type FLs (Figure 5A), which is in accord with previously published studies. Importantly, however, this MRE-\( \alpha 2 \) promoter chromatin loop is undetectable in mutant samples (Figure 5A), despite having restriction enzyme cleavage efficiencies similar to wild-type samples (Figure 5B). BRG1 does not participate in chromatin-loop formation at several other loci including \( c-Kit \) and \( Gata2 \), which indicates that it does not play a general, non-specific role in chromatin-loop formation throughout the genome (12,43).

Chromatin looping is thought to transfer RNA Polymerase II (Pol II) from upstream regulatory elements to the promoter to facilitate transcription (44). Consistent with this notion, the \( Brg1 \) mutation does not affect Pol II occupancy at the MRE but the loss of chromatin looping in \( Brg1 \) mutants is associated with loss of high-level occupancy of Pol II and P-Ser5 Pol II at the \( \alpha 2 \) promoter (Figure 5C, D). This finding is similar to \textit{Drosophila} BRM promoting Pol II association with polytene chromosomes (39) and accounts for the observed decrease in \( \alpha \) globin transcription in this study.

**Figure 3.** DNase I sensitivity of the \( \alpha \) globin locus is reduced in \( Brg1 \) mutants. Nuclei from wild-type (WT) and mutant (Mut) E12.5 FL cells were undigested (0.0) or digested with increasing amounts of DNase I (from left to right: 0.1, 0.50, 1.0 U). Genomic DNA was subsequently prepared, and the percentage of templates remaining for the MRE and \( \alpha 2 \) promoter was determined by comparing qPCR yields to a standard curve of DNA from undigested sample qPCR yields (mean ± SE for three independent experiments). To correct for small differences in the amount of DNA that might have been recovered from each sample, an Mit marker that is relatively resistant to DNase I was amplified as a control; *\( P < 0.01; **P < 0.001.**

**Figure 4.** Aberrant epigenetic modifications at the \( \alpha \) globin locus in \( Brg1 \) mutants. (A–C) Quantitative ChIP assays showing total H3 (A), H3ac (B) and H3K4me2 (C) at the MRE and \( \alpha 2 \) promoter from wild-type (WT, unfilled) and mutant (Mut, filled) fetal liver (FL) and head (H) samples. FL samples were also immunoprecipitated with pre-immune (PI) serum, which were otherwise processed identically to ChIPs and serve as negative controls. Histograms show the relative enrichment by comparing each ChIP sample to input by qPCR (mean ± SE for three independent experiments).
DISCUSSION

Molecular determinants of histone acetylation, nucleosome remodeling and chromatin looping at the α globin locus are unknown. Here, we demonstrate that the BRG1 catalytic subunit of SWI/SNF-related complexes is required for each of these inter-related processes and for α globin transcription. We also demonstrate that chromatin looping between the MRE2 and α2 promoter is an essential part of the mechanism of the transcriptional activation mechanism. In this regard, it is noteworthy that not all chromatin loops will be functionally important. For example, the H enhancer undergoes chromatin looping with numerous olfactory receptor genes but is required for the expression of only a relatively small subset that are most tightly linked (45).

Other transcription factors and chromatin-modifying factors are likely involved in chromatin-loop formation between the MRE and α2 promoter, and GATA-1 and EKLF are good candidates because they recruit BRG1 and are required for chromatin looping at the β globin locus (24,46). It should be noted, however, that EKLF also recruits BRG1 and is essential for chromatin looping at the β globin locus but is not a good candidate because it is not known to bind the α globin locus or regulate its expression (47). Conversely, ATRX is a good candidate because it is a putative chromatin-remodeling factor similar to BRG1 and is required for α globin expression (48,49).

Based on our previous work and data presented here, we propose that BRG1 performs a similar role at the α and β globin loci: 1, it binds to GATA-1, EKLF, and possibly other transcription factors such as p45/NF-E2 in erythroblasts (50), which leads to the recruitment of SWI/SNF-related complexes to the far-upstream regulatory elements (the αMRE and βLCR) and globin promoters; 2, it confers DNA-dependent ATPase activity and harnesses this energy to alter nucleosome conformation and position directly and promotes histone acetylation and H3K4me2 indirectly; 3, it is required for chromatin looping between the MRE-α2 promoter and the LCR-β major promoter, which is thought to transfer Pol II from the upstream regulatory elements to the promoters and initiate transcription (44).

ΔLCR mutants phenocopy Brg1 mutants, which supports our model, but ΔMRE mutants have a smaller decrease in α globin transcription (reduced to 50% of normal) and exhibit a more subtle phenotype (51,52). To reconcile this difference, the LCR consists of six HSs that function in an additive manner such that deletion of any one HS results in a relatively mild phenotype. Similarly, deletion of the MRE plus the other four HSs
at the mouse α globin locus, even though they confer less enhancer activity than the MRE when incorporated into transgenes, may result in a more severe phenotype similar to Brg1 mutants. There are also human–mouse differences with the human MRE playing a more important role based on transgenic mouse experiments and deletions in thalassemia patients (19,22). A gene-targeting experiment also has been performed that replaced 85 kb of the mouse α globin locus with the corresponding 120 kb of human sequence; although the human α globin genes knocked into the mouse were expressed in a tissue- and stage-appropriate manner, mRNA levels were 60% less than endogenous mouse transcripts (53). The MRE might function differently between the two species, despite being highly conserved, because of breaks in the conserved synteny within the locus that occurred after the rodent and primate lineages diverged (54).

The α and β globin loci are known to move from their chromosomal territories at the nuclear periphery, where they are transcribed at low levels, to transcription factories where multiple chromatin loops converge at Pol II foci and transcription is upregulated (25,55,56). The LCR is required for re-localization of β globin from its repressive compartment to transcription factories (56), but no other cis elements or trans-acting factors have been identified that are required for this process to occur at β globin or any other locus to our knowledge. BRG1 is an attractive candidate because it is a DNA-dependent ATPase that could provide the necessary energy and it participates in the formation of local chromatin loops, referred to as active chromatin hubs, at the α and β globin loci. Additionally, SWI/SNF-related complexes contain actin and actin-related subunits that could potentially move loci via the nuclear cytoskeleton/matrix to transcription factories (57). It must be determined whether BRG1 functions exclusively in the formation of local chromatin loops (where active chromatin hubs allow upstream enhancers to load Pol II onto promoters) or if it also transports these domains to transcription factories.

In summary, BRG1 plays a fundamental role in the mechanism of transcriptional activation at the α globin locus as well as the β globin locus. Our findings reveal a common regulatory mechanism, notwithstanding certain differences such as the role of EKLF and CpG methylation, which was not anticipated because the α globin locus contains a ubiquitously expressed gene (C16orf35) and lacks a CTCF insulator.

SUPPLEMENTARY DATA
Supplementary Data are available at NAR Online.

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