The Generalized Dehn Property does not imply a linear isoperimetric inequality

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Abstract

The Dehn property for a complex is that every non-trivial disk diagram has spurs or shells. It implies a linear isoperimetric inequality. It has been conjectured that the same is true of a more general property which also allows cutcells. We give counterexamples.

La propriété Dehn pour un complexe est que chaque diagramme de disque non trivial a des éperons ou des shells. Cela implique une inégalité isopérimétrique linéaire. Il a été supposé qu’il en était de même pour une propriété plus générale qui autorise également les cellules de coupe. Nous présentons des contre-exemples.

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A disk diagram $D \subset S^2$ is a finite contractible 2-complex. The boundary path $\partial_\mu D$ is the attaching map of the 2-cell $R_\infty$ giving the decomposition $S^2 = D \cup R_\infty$. A disk diagram in a 2-complex $X$ is a combinatorial map $D \to X$. It is minimal area if it contains the minimum number of 2-cells among all $D \to X$ with the same restriction to $\partial_\mu D$. Minimal diagrams are reduced: there do not exist adjacent ‘back-to-back’ 2-cells in $D$ overlapping in at least an edge $e$, mapping to the same cell in $X$ with the same induced edge sequence on the boundaries starting from $e$. A spur is a valence 1 vertex in $\partial D$. A shell is a 2-cell $R$ for which more than half the perimeter forms a contiguous segment of the boundary attaching map $\partial_\mu D$. An $X$ in which every minimal nontrivial disk diagram contains a shell or spur is said to satisfy the Dehn property.

Removing a shell $R$ (more precisely, removing the interior of $R$ from $D$ and the longer portion of the boundary) decreases the area of $D$ by one and the perimeter by at least one. Removing a 1-cell $S$ leading to a spur (more precisely, taking the closure of $D - S$) decreases perimeter by two. So the Dehn property gives rises to a linear isoperimetric inequality: that is, there exists $K > 0$ (in this case $K = 1$) such that every null-homotopic edge-loop in $X$ (equivalently, every edge-loop in the universal cover $\hat{X}$) of length at most $n$ admits a disk diagram with at most $Kn$ 2-cells. (We do not consider our 2-complexes $X$, disk diagrams, or the boundary circuits of the 2-cells that comprise them to have base points.)

Gaster and Wise [1] declare that a 2-complex $X$ satisfies the generalized Dehn property if each minimal disk diagram $D \to X$ is either a single 0-, 1-, or 2-cell, or contains a spur, shell, or cutcell—a type of 2-cell which we shall describe momentarily. They conjecture [1, Conjecture 2.2] that if $X$ is a compact 2-complex with this property, then its universal cover $\hat{X}$ enjoys a linear isoperimetric inequality. The likely intuition behind the conjecture is that a cutcell will be the site of a neck or of branching in a diagram.

Gaster and Wise propose three definitions of increasing strength for when a 2-cell $R$ in a disk diagram $D$ is a cutcell:

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(1) $D - \text{closure}(R)$ has more than one component.

(2) The preimage of $\partial R$ in $\partial_p D$ consists of more than one component.

(3) (Strong) The preimage of $\partial R$ in $\partial_p D$ consists of more than one component, each a nontrivial path.

Wise [2] suggests adding the assumption that each 2-cell $R$ in $X$ embeds in the universal cover $\tilde{X}$—that is, $(R, \partial R) \to (X, X^{(1)})$ lifts to an embedding $(R, \partial R) \to (\tilde{X}, \tilde{X}^{(1)})$. This renders definitions (1) and (2) equivalent.

We present a counterexample to the strong form:

**Theorem 1.** The presentation 2-complex $X$ associated to the presentation

$$\langle a_1, a_2, b_1, b_2, c_1, c_2, c_3 \mid a_2 b_1 b_2 a_2^{-1} a_1^{-1} b_1 c_1 c_2 c_3, \ a_1^{-1} b_1 c_1 c_2 c_3 \rangle$$

of $G = \mathbb{Z}^2 \ast F_4$ enjoys the strongest form of the generalized Dehn property (definition (3) of cutcell). Moreover, both 2-cells in $X$ embed in its universal cover $\tilde{X}$.

First we will present an example which is more elementary, but where one of the 2-cells of $X$ fails to embed in the universal cover $\tilde{X}$.

**Theorem 2.** The presentation 2-complex $X$ associated to the presentation $\langle a, b \mid [a, b] c, c \rangle$ of $G = \mathbb{Z}^2$ enjoys the weakest form of the generalized Dehn property (definition (1) of cutcell).

Both $\mathbb{Z}^2 \ast F_4$ and $\mathbb{Z}^2$ have quadratic Dehn functions, so the complexes $X$ of Theorems 1 and 2 do not admit linear isoperimetric functions.

![Figure 1: A diagram over $\langle a, b \mid [a, b] c, c \rangle$.](image)

The example of Theorem 2 illuminates the difference between the three definitions of cutcell. In the diagram depicted in Figure 1 each of the four pentagonal cells are cutcells in the sense of (1) since removing the closure of any one disconnects a monogon. But they are not cutcells in the sense of (2) or (3). For an example with cutcells in the sense of (1) and (2), but not (3), see the remark at the end of this note. The cutcells discussed in our proof of Theorem 1 are cutcells in all three senses.

In general, disk-diagrams need not be topological disks. They can have 1-dimensional portions or cut-vertices. The following lemma tells us that we may focus on disk diagrams that are topological disks.

**Lemma 3.** For the generalized Dehn property (with any of the three definitions of cutcell), it suffices to restrict attention to minimal disk diagrams $D \to X$ where $D$ is a topological disk.

**Proof.** If $D$ is 1-dimensional and is not a single vertex, then it possesses spurs. Otherwise, consider a maximal subdiagram $D_0$ of $D$ which is a topological disk. A cutcell in $D_0$ will be a cutcell in $D$. If a shell in $D_0$ is not a shell in $D$, then it is a cutcell in $D$. \qed
Proof of Theorem 2. The universal cover \( \widetilde{X} \) is a plane tessellated in the manner of Figure 1. Suppose \( D \to \widetilde{X} \) is the lift of a reduced topological-disk diagram \( D \to X \) such that \( D \) is not a single 2-cell. By Lemma 3 it is enough to show that \( D \) contains a cutcell in the sense of definition (1) or contains a shell. Now \( D \) cannot consist only of monogons, for it would then have to be a single monogon. So \( D \) includes a pentagonal 2-cell. One such pentagonal 2-cell \( R \) must map to a square in \( \widetilde{X} \) with the property that the square above it and the square to its right do not contain the image of pentagons from \( D \). It follows (since \( D \) is reduced and a topological disk) that its upper and right edges are in \( \partial D \). If \( R \) encloses a monogon, then \( R \) is a cutcell. If \( R \) does not enclose a monogon, a portion of its boundary labelled \( a c^{-1} b^{-1} \) is part of the boundary circuit of \( D \) and so \( R \) is a shell.

![Diagram](image)

Figure 2: The presentation 2-complex \( X \) of Theorem 1 (left) and a portion of a tessellation of the plane which is a quotient of the universal cover \( \widetilde{X} \) (right).

Proof of Theorem 1. The presentation 2-complex \( X \) is depicted leftmost in Figure 2. It has two 2-cells, a “triangle” of perimeter 5 and a “pentagon” of perimeter 9. If we let \( a = a_1 a_2 \) and \( b = b_1 b_2 \) and eliminate \( a_1 = a a_2^{-1} \), \( b_1 = b_2 b_1^{-1} \), and \( c_1 = b_1^{-1} a_1 c_3^{-1} c_2^{-1} \), we obtain

\[
G \cong \langle a, b, a_2, b_2, c_2, c_3 | [a, b] \rangle \cong \mathbb{Z}^2 \ast F_4.
\]

That both 2-cells in \( X \) embed in its universal cover \( \widetilde{X} \) is then evident from the observation that every subword one reads along a proper portion of its boundary circuit represents a non-trivial element in \( \mathbb{Z}^2 \ast F_4 \).

Let \( \pi : G \to \mathbb{Z}^2 \) be the projection killing the factor \( F_4 \) and sending \( a \) and \( b \) to \((1,0)\) and \((0,1)\), respectively. Thus \( \pi(a_1) = (1,0) \), \( \pi(b_1) = (0,1) \), \( \pi(c_1) = (1,-1) \) and \( \pi(a_2) = \pi(b_2) = \pi(c_2) = \pi(c_3) = (0,0) \). This map \( \pi \) is induced by the combinatorial map \( \rho \) from \( X \) to the presentation complex \( T \) (a torus) of \( \langle a_1, b_1, c_1 | b_1 a_1^{-1} c_1, a_1^{-1} b_1 c_1 \rangle \) obtained by collapsing \( a_2, b_2, c_2, c_3 \). The cell complex structure on \( \widetilde{T} = \mathbb{R}^2 \) is that of the unit square grid with each square divided into two triangles, as shown on the right in Figure 2. The lift \( \tilde{\rho} : \widetilde{X} \to \widetilde{T} \) sends “pentagons” to the upper-right triangles in \( \widetilde{T} \) and adjacent (via the path labelled \( c_1 c_2 c_3 \)) “triangles” to lower-left triangles of the same square.

Now consider a reduced topological-disk diagram \( D \to \widetilde{X} \) which is not a single 2-cell. By Lemma 3, to establish the generalized Dehn property, it suffices to show that \( D \) contains a shell or cutcell.

Let \( p = (x, y) \in \widetilde{T} \) be the point of the image under \( \tilde{\rho} \) with minimal \( x \) among all points with minimal \( y \).

Let \( R \) be a 2-cell of the disk diagram whose image in \( \widetilde{T} \) contains \( p \). We consider cases. If \( R \) is a pentagon then its left, bottom and diagonal faces (i.e. edges) are free. Otherwise the image in \( \widetilde{T} \) of an adjacent 2-cell would contradict our choice of \( p \) or the fact that \( D \) is minimal area and so reduced. Therefore \( R \) is a shell in this case. If, instead, \( R \) is a triangle then, similarly, its left and bottom faces are free. So (as \( D \) is reduced) it abuts a pentagon along its length-3 diagonal boundary segment. But then this pentagon is a (strong) cutcell. So \( X \) satisfies the (strong) generalized Dehn property.
We conclude with three remarks.

**Remark 4.** The presentation

\[
\langle a_1, b_1, c_1, c_2, c_3 \mid b_1 a_1^{-1} c_1 c_2 c_3, a_1^{-1} b_1 c_1 c_2 c_3 \rangle \cong \mathbb{Z}^2 \ast F_2, \tag{1}
\]

obtained by killing \(a_2\) and \(b_2\) in \(G\) of Theorem 1, produces an example which, by adapting the above argument, can be proved to have the following properties. The 2-cells of its presentation 2-complex embed in its universal cover. It almost satisfies the generalized Dehn property in the sense of definition (1) or, equivalently, (2) of cutcell. It admits arbitrarily large minimal area diagrams which fail the generalized Dehn property with cutcells as per definition (3).

For example, in the diagram for \([a_2^2, b_2^2]\) of Figure 3 the triangle labelled \(R\) is a cutcell in the sense of definition (1) or (2), but this diagram contains no spurs or shells and it has no cutcells in the sense of (3).

![Figure 3: A diagram over presentation (1).](image)

**Remark 5.** Wise [2] proposes imposing an additional hypothesis on \(X\). Say there are no big pieces in \(X\) if any common boundary arc between two 2-cells is always strictly shorter than half the shorter perimeter of the two 2-cells.

This no big pieces condition in conjunction with the generalized Dehn property does not imply a linear isoperimetric inequality. Our argument for Theorem 1 establishes an example in the form of the presentation 2-complex (shown in Figure 4) of

\[
\langle a_1, a_2, b_1, b_2, c_1, c_2, c_3, d_1, d_2 \mid a_2 b_1 a_2^{-1} a_1^{-1} b_2^{-1} c_1 c_2 c_3, b_1 c_1 d_1^{-1}, d_1 c_2 d_2^{-1}, d_2 c_3 a_1^{-1} \rangle \cong \mathbb{Z}^2 \ast F_4. \tag{2}
\]

**Remark 6.** The question remains whether some reasonable notion of cutcell can be added to the definition of the Dehn property without losing the linear isoperimetric inequality. We have no local condition to suggest. The best we can see stems from:

**Proposition 7.** Suppose a finite connected 2-complex \(X\) satisfies the property that every minimal disk diagram \(D \to X\) that is non-trivial (i.e. \(D\) is not a single vertex) has a vertex, edge, or face \(R\) for which the closure of \(D \setminus R\) is a union of disk diagrams each with boundary circuit strictly shorter than the boundary circuit of \(D\). Then \(X\) enjoys a linear isoperimetric inequality.

In the following, let \(\text{Area}(w)\) denote the minimal area among disk diagrams with boundary circuit \(w\). The Dehn function \(\varphi(n)\) is the maximal \(\text{Area}(w)\) among all circuits \(w\) of length at most \(n\).

\(\footnote{We thank the referee for pointing out that the disk diagram for \([a_1, b_1]\) has area 2 but contains no spurs, shells, or cutcells. The argument of Theorem 1 shows this counterexample is unique.}

\[1\]
Proof. Let $c$ be the length of the longest boundary circuit of a 2-cell in $X$. Suppose $w$ is a circuit of length $n > 0$. Let $D$ be a minimal area disk diagram with boundary $w$. We have $\text{Area}(w) \leq 1 + \sum_i \varphi(n_i)$ where $\sum_i n_i \leq n + c$ and each $n_i < n$.

Define $f : \mathbb{N} \to \mathbb{N}$ recursively by $f(0) = 0$, $f(n) = 1 + \max \sum_{i \geq 1} f(n_i)$ where the maximum is taken over all finite sequences of non-negative integers $\{n_i\}$ satisfying $\sum_i n_i \leq n + c$ and $n_i < n$. Then $\varphi(n) \leq f(n)$ by the previous paragraph, so it suffices to demonstrate a linear upper bound on $f$. We show by induction on $n$ that $f(n-1)$ appears as a summand in a maximum sum defining $f(n)$ and that $f(x) - f(x-1)$ is nondecreasing on $[1, n]$. The base case $n = 1$ is trivial: $f(1) = 1 + f(0)$. For the induction step, note that if $n - 1$ does not occur among the $n_i$, then the nondecreasing condition implies we can increment some $n_i$ by 1 at the cost of decrementing another, until $n - 1$ occurs; hence the first conjunct of the induction hypothesis follows from the second. Now consider a sequence $\{\hat{n}_i\}$ such that $f(n-1) = 1 + \sum_{i \geq 1} f(\hat{n}_i)$. By the induction hypothesis, we may assume $\hat{n}_1 = n - 2$. Setting $n_1 = n - 1$ and $n_i = \hat{n}_i$ for $i \neq 1$, we find

$$f(n) \geq 1 + \sum_{i \geq 1} f(n_i) = 1 + f(n-1) + \sum_{i \geq 2} f(\hat{n}_i) = f(n-1) - f(n-2) + 1 + \sum_{i \geq 1} f(\hat{n}_i) = 2f(n-1) - f(n-2)$$

whence $f(n) - f(n-1) \geq f(n-1) - f(n-2)$. Therefore $f(x) - f(x-1)$ is nondecreasing on $[1, n]$, completing the induction.

Now that we know there is a sum defining $f(n) = 1 + \sum_{i \geq 1} f(n_i)$ with $n_1 = n - 1$, we deduce that there is such a sum in which the remaining terms satisfy $\sum_{i \geq 2} n_i = c + 1$. Let $K$ be the maximum of $\sum_{j \geq 1} m_j$ over all $\{m_j\}$ with $\sum_{j} m_j = c + 1$. Then $f(n) = 1 + f(n-1) + K$ for all $n \geq c + 2$. (The bound $n \geq c + 2$ means the condition $n_i < n$ automatically holds given that $\sum_{i \geq c} n_i = c + 1$.) Taking $n = c + 2$ we find $K = f(c + 2) - f(c + 1) - 1$. Therefore $f(n) = f(n-1) + f(c+2) - f(c+1)$ when $n \geq c + 2$. The desired linear upper bound on $f$ follows. \qed

If $R$ is a spur or shell, then it will satisfy the condition of the proposition. So any notion of cutcell which also satisfies the condition of the proposition will give a generalized Dehn property which implies a linear isoperimetric inequality.

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References

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[2] D. T. Wise. Private communication, 2018.