Optimization of immobilized *Lactobacillus pentosus* cell fermentation for lactic acid production

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Abstract

Parametric optimization is an effective way in fermentation process to improve product yield and productivity in order to save time, space and financial resources. In this study, Box–Behnken design was applied to optimize the conditions for lactic acid production by immobilized *Lactobacillus pentosus* ATCC 8041 cell fermentation. Two quadratic models and response surface methodology were performed to illustrate the effect of each parameters and their interactions on the lactic acid yield and glucose consumption rate in immobilized *L. pentosus* ATCC 8041 cell fermentation. The maximum lactic acid yield was obtained as 0.938 ± 0.003 g/g glucose with a productivity of 2.213 ± 0.008 g/(L × h) under the optimized conditions of 2.0 mm bead diameter, 5.60 pH, 115.3 g/L initial glucose concentration, and 398.2 mg biomass (CDW) in 100 mL hydrogel. The analysis of variance indicated that the quadratic model was significant and could be used to scale up the fermentation process.

Keywords: *Lactobacillus pentosus*, Immobilization, Lactic acid, Optimization

Introduction

Lactic acid (LA) is a hygroscopic non-toxic organic compound that has been widely utilized in the cosmetic, pharmaceutical, food, and chemical industries (Vijayakumar et al. 2008). In recent years, polyactic acid (PLA) polymerized from LA has received widespread attention for its excellent biocompatibility and bioabsorbability (Abdel-Rahman et al. 2011). PLA has a wide range of applications with the benefits of environmental protection and energy saving, which can be considered as a suitable alternative for traditional plastics produced by the petrochemical industry (Laopaiboon et al. 2010). Therefore, the market demand for LA has gradually increased (Okano et al. 2010).

Chemical synthesis and fermentation are the main methods of industrial production of LA (Abdel-Rahman et al. 2011). The racemic mixture (DL-LA) will be formed during LA production process by chemical synthesis method, resulting in an increase in its cost of separation and purification. In addition, the chemical synthesis method still has the problem of high energy consumption. Nowadays, the production of compounds based on microbial conversion has become an important research direction. Optically pure LA (D-LA or L-LA) can be produced by fermentation method according to specific LA bacteria (LAB), leading to a decrease in time period and cost of recycle process (Bahry et al. 2019). Moreover, the fermentation conditions for LA production are milder, which is regarded to be an environment-friendly production method (Zhao et al. 2016).

Immobilized cell fermentation has attracted great attention in the fields of scientific research and industry. Compared with free cell fermentation, immobilized cell fermentation has many advantages, such as higher cell density in the fermenter, higher yield and production rate during fermentation process, high...
biological activity maintained for a long fermentation period, and the convenience of product recovery (Kumar et al. 2014; Dishisha et al. 2012; Ghorbani et al. 2011; Goranov et al. 2013). In addition, immobilized cells with excellent reusability can be easily separated from the fermentation medium, so they can be used in repeated batch fermentations to reduce the time and cost of cell culture (Djukić-Vuković et al. 2013). In addition, immobilized cells with excellent reusability can be easily separated from the fermentation medium, so they can be used in repeated batch fermentations to reduce the time and cost of cell culture (Djukić-Vuković et al. 2013). Sodium alginate (SA) is a common material to prepare immobilized cell bead based on a cross-linking reaction with Ca$^{2+}$, while polyvinyl alcohol (PVA) can also be used to encapsulate cells due to its cross-linking reaction with borate ions (Lee and Mooney 2012; Tang et al. 2017). It has been reported that SA–PVA hydrogel for cell encapsulation has significant advantages over cell immobilization using a single material. PVA effectively improves the stability of immobilized cell beads with a promoted mechanical strength, while SA improves the surface properties of immobilized cell beads to keep from the tendency to agglomeration. Therefore, SA–PVA hydrogel can be regarded as a proper material for cell immobilization (Zhan et al. 2013).

*Lactobacillus pentosus* (*L. pentosus*) is a proper LAB to produce LA by consuming hexose via the Embden–Meyerhof–Parnas (EMP) pathway. When glucose is absent, its metabolic pathway changes from homologous fermentation to heterologous fermentation, which produces LA via phosphoketolase (PK) pathway (Martínez et al. 2013). Both pathways are shown as following equations:

$$
\text{C}_6\text{H}_{12}\text{O}_6 + 2\text{ADP} + 2\text{Pi} \rightarrow 2\text{C}_3\text{H}_6\text{O}_3 + 2\text{ATP} \quad (1)
$$

$$
\text{C}_6\text{H}_{12}\text{O}_6 + 2\text{ADP} + 2\text{Pi} \rightarrow \text{C}_3\text{H}_6\text{O}_3 + \text{CO}_2 + 2\text{C}_2\text{H}_5\text{OH} + 2\text{ATP}. \quad (2)
$$

In this study, the aerobic fermentation of immobilized *L. pentosus* cells utilizing glucose is still homologous fermentation with no ethanol production, which has been confirmed based on NMR spectroscopy in our previous research.

Currently, few research efforts are found on immobilized *L. pentosus* cell fermentation. Our previous research focus on the effects of the concentration of carrier solutions and cross-linking agent solutions on the efficiency of immobilized *L. pentosus* cell fermentation, but further research is needed on other conditions of immobilization and fermentation. At the same time, proper design of experiment (DOE) is necessary for effective optimization of the conditions of immobilization and fermentation. Plackett–Burman design, Taguchi design, central composite design, and Box–Behnken design are applied in most studies for optimization, while Doehlert design and superlative box design have also been used in researches to obtain a credible result (Huang et al. 2019; Wahla et al. 2019; Al-Gheethi et al. 2019; Sahin 2019; Chollom et al. 2019).

In this study, Box–Behnken design was used to optimize the bead diameter, pH, initial glucose concentration, and the weight of biomass for immobilized *L. pentosus* ATCC 8041 cell fermentation. The effects of these parameters on the efficiency of immobilized *L. pentosus* ATCC 8041 cell fermentation were discussed based on the response surface and regression model.

**Materials and methods**

**Preliminary experiment**

Based on Plackett–Burman design, nine significant factors have been found in the fermentation of immobilized *Lactobacillus pentosus* cells by our preliminary experiment as shown in Table 1. The effects of the concentrations of two carrier solutions (SA and PVA) and two cross-linking agent solutions (CaCl$_2$ and H$_3$BO$_3$) on the fermentation performance of immobilized *Lactobacillus pentosus* cells have been reported in our previous study, and the effect of temperature has also been investigated. The influences of the remaining four factors will be discussed in this study.

**Seed culture preparation**

*Lactobacillus pentosus* ATCC 8041 was purchased from the American Type Culture Collection (ATCC), and stored in a refrigerator at −8 °C. The lyophilized cells were activated in de Man, Rogosa and Sharpe (MRS) medium at 37 °C and 150 rpm for 8 h on a rotary shaker (GYROMAXTM 747R, Amerex Instruments, Lafayette, CA, USA) before immobilization.

**Table 1 Significant factors in the fermentation of immobilized *Lactobacillus pentosus* ATCC 8041 cells**

| Significant factor | Unit |
|-------------------|------|
| Concentration of SA | g/L |
| Concentration of PVA | g/L |
| Concentration of CaCl$_2$ | g/L |
| Concentration of H$_3$BO$_3$ | g/L |
| Temperature | °C |
| Bead diameter | mm |
| pH | |
| Initial glucose concentration | g/L |
| Biomass (CDW) | mg |
Design of experiment
Box–Behnken design was used to optimize four parameters with three levels. As shown in Table 2, the four factors are bead diameter \((D_B)\), pH, initial glucose concentration \((C_G)\), and biomass \((B_W, \text{CDW})\); respectively. Their levels from low to high were coded as \(-1, 0,\) and \(+1,\) respectively.

Cell immobilization
SA and PVA were gradually added to deionized water and dissolved with continuous agitation at 30 °C and 80 °C, respectively, and subsequently mixed to prepare SA–PVA hydrogel. The concentration of SA and PVA in mixed solution was 2.0% and 6.0%, respectively (Wang et al. 2020). A specific amount of \(L.\) pentosus ATCC 8041 cells were inject into 100 mL sterilized SA–PVA hydrogel solution with continuous agitation. The fully mixed SA–PVA hydrogel solution containing \(L.\) pentosus ATCC 8041 cells were injected into the mixed cross-linking agent solution consisting of 0.10 M \(\text{CaCl}_2\) and 2.5% \(\text{H}_3\text{BO}_3\) by an electrostatic droplet generator (Wang et al. 2020; Poncelet et al. 1999). Immobilized cell beads with the certain diameter were prepared with the diameter error of \(±0.3\) mm. The prepared immobilized \(L.\) pentosus ATCC 8041 cell beads with a shape of approximate sphere were washed by sterilized deionized water to remove residual \(\text{CaCl}_2\) and \(\text{H}_3\text{BO}_3\) (Bahry et al. 2019; Zhu et al. 2009).

Batch fermentation
Batch fermentation was carried out in a 1.0-L New Brunswick Bioreactor (BIOFLO 110; New Brunswick Scientific Co., Edison, NJ, USA) with the working volume of 800 mL for 48 h. Impellers of the bioreactor were disassembled to keep from the breakage of beads. Agitation speed was maintained at 150 rpm by a magnetic stirrer. Fermentation medium prepared under optimum conditions of 5 g/L peptone, 5 g/L yeast extract, 0.5 g/L \(\text{MgSO}_4\), and 0.5 g/L \(\text{KH}_2\text{PO}_4\) and glucose with specific concentration were prepared and sterilized (Lee et al. 2011). The fermentation pH was controlled at designed value by adding 5 mol/L NaOH, and the fermentation temperature was maintained at 35 °C by a heat blanket.

Chemical analysis
The concentrations of glucose and LA were measured by proton nuclear magnetic resonance spectroscopy (\(^1\text{H} \text{NMR}\)). NMR samples consisting of 0.5 mL fermentation sample, 0.4 mL deuterium oxide (Acros organics), and 0.1 mL internal standard were prepared in 5-mm o.d. nuclear magnetic resonance (NMR) tubes (Corning, NY, USA) for \(^1\text{H} \text{NMR}\) analysis (Holzgrabe 2010). The internal standard contained 95.5 wt% deuterium oxide, 4.2 wt% glucosamine, 0.2 wt% trimethylamine and 0.1 wt% trimethylsilyl propionate. The signal peak area of \(\alpha\)-glucose (C1–H), \(\beta\)-glucose (C1–H), and LA (C3–H) was integrated by using MestReNova software on \(^1\text{H} \text{NMR}\) spectrum at 5.25 ppm, 4.65 ppm and 1.35 ppm (Mittal et al. 2009; Buyondo and Liu 2013). The calibration curve was developed by the linear relationship between the concentration and peak area for the calculation of glucose concentration and LA concentration.

Statistical analysis
All the experimental values are shown in Table 3 as the format “mean value \(±\) standard deviation”. The analysis of variance (ANOVA) was carried out by Design Expert (Version 11). The response surface was applied to describe the effects of different factors on the efficiency of immobilized \(L.\) pentosus ATCC 8041 cell fermentation. Quadratic models of LA yield and LA productivity were generated to show the functional relationship between factors and responses (Aslan and Cebeci 2007). For Box–Behnken design, a proper quadratic model will be established to show the effect of each factor and their quadratic interaction, which is shown as following format:

\[
Y = a_0 + \sum_{i=1}^{n} a_i x_i + \sum_{i=1}^{n} a_{i^2} x_i^2 + \sum_{i=1}^{n} \sum_{j=1}^{n} a_{ij} x_i x_j + \varepsilon,
\]

where \(Y, a_0, a_i, a_{ii}, a_{ij}, x_i,\) and \(x_j\) represent predicted responses, offset constant, linear term coefficient, square

Table 2 Range of factors for LA production by immobilized \(L.\) pentosus ATCC 8041 cells
| Factor | Parameter | Coded value | Unit | Level |
|--------|-----------|-------------|------|-------|
| 1      | Bead diameter | \(D_B\) | mm   | 2     |
| 2      | pH        |             |      | 5     |
| 3      | Initial glucose concentration | \(C_G\) | g/L  | 100   |
| 4      | Biomass (CDW) | \(B_W\) | mg   | 200   |
term coefficient, interaction term coefficient, $i$th factor, and $j$th factor, respectively (Thakur et al. 2018); whereas, $\varepsilon$ is the random error or uncertainties between predicted values and measured values (Lu et al. 2010).

**Results and discussion**

**Regression model**

For LA yield ($Y_{LA}$), the quadratic model of normalized factors with the value from $-1$ to $1$ is shown as the following format:

$$Y_{LA} = 0.9322 - 0.0058 \times D_B + 0.0112 \times \text{pH} + 0.0080 \times C_G - 0.0009 \times B_W + 0.0013 \times D_B \times \text{pH}
+ 0.0010 \times D_B \times C_G - 0.0015 \times D_B \times B_W
- 0.0030 \times \text{pH} \times C_G - 0.0128 \times \text{pH} \times B_W
+ 0.0125 \times C_G \times B_W - 0.0019 \times D_B^2 - 0.0328 \times \text{pH}^2 - 0.0078 \times C_G^2 - 0.0056 \times B_W^2.$$  

(4)

The actual quadratic model of LA yield has been obtained as follows:

| Run | Bead diameter $D_B$ (mm) | pH | Initial glucose concentration $C_G$ (g/L) | Biomass $B_W$, CDW (mg) | LA yield $Y_{LA}$ (g/g) | LA productivity $P_{LA}$ g/(L × h) |
|-----|--------------------------|----|-----------------------------------------|-------------------------|-------------------------|---------------------------------|
| 1   | 3                        | 5  | 110                                     | 200                     | 0.894 ± 0.006           | 1.903 ± 0.013                  |
| 2   | 2                        | 5  | 110                                     | 300                     | 0.916 ± 0.004           | 2.004 ± 0.009                  |
| 3   | 3                        | 5  | 100                                     | 300                     | 0.907 ± 0.003           | 1.838 ± 0.007                  |
| 4   | 4                        | 6  | 110                                     | 400                     | 0.919 ± 0.002           | 1.891 ± 0.007                  |
| 5   | 3                        | 6  | 120                                     | 400                     | 0.922 ± 0.006           | 2.210 ± 0.014                  |
| 6   | 4                        | 6  | 100                                     | 300                     | 0.924 ± 0.002           | 1.828 ± 0.006                  |
| 7   | 3                        | 6  | 110                                     | 300                     | 0.930 ± 0.001           | 2.090 ± 0.003                  |
| 8   | 3                        | 6  | 100                                     | 400                     | 0.911 ± 0.003           | 1.720 ± 0.006                  |
| 9   | 4                        | 6  | 120                                     | 300                     | 0.908 ± 0.005           | 2.117 ± 0.012                  |
| 10  | 3                        | 5  | 110                                     | 400                     | 0.917 ± 0.006           | 1.965 ± 0.014                  |
| 11  | 3                        | 6  | 100                                     | 200                     | 0.942 ± 0.002           | 1.972 ± 0.007                  |
| 12  | 2                        | 6  | 110                                     | 400                     | 0.934 ± 0.004           | 2.071 ± 0.009                  |
| 13  | 3                        | 6  | 110                                     | 300                     | 0.935 ± 0.001           | 2.101 ± 0.004                  |
| 14  | 3                        | 6  | 110                                     | 300                     | 0.932 ± 0.003           | 2.049 ± 0.007                  |
| 15  | 3                        | 7  | 120                                     | 300                     | 0.871 ± 0.008           | 1.975 ± 0.016                  |
| 16  | 2                        | 6  | 100                                     | 300                     | 0.937 ± 0.002           | 1.911 ± 0.006                  |
| 17  | 3                        | 7  | 100                                     | 300                     | 0.893 ± 0.006           | 1.756 ± 0.012                  |
| 18  | 3                        | 5  | 120                                     | 300                     | 0.897 ± 0.005           | 2.059 ± 0.012                  |
| 19  | 3                        | 6  | 110                                     | 300                     | 0.933 ± 0.002           | 2.096 ± 0.005                  |
| 20  | 2                        | 7  | 110                                     | 300                     | 0.892 ± 0.004           | 1.891 ± 0.009                  |
| 21  | 3                        | 7  | 110                                     | 400                     | 0.866 ± 0.007           | 1.782 ± 0.014                  |
| 22  | 4                        | 5  | 110                                     | 300                     | 0.902 ± 0.003           | 1.914 ± 0.006                  |
| 23  | 2                        | 6  | 120                                     | 300                     | 0.917 ± 0.001           | 2.202 ± 0.005                  |
| 24  | 4                        | 7  | 110                                     | 300                     | 0.883 ± 0.005           | 1.815 ± 0.010                  |
| 25  | 3                        | 6  | 110                                     | 300                     | 0.931 ± 0.003           | 2.092 ± 0.007                  |
| 26  | 3                        | 6  | 120                                     | 200                     | 0.903 ± 0.007           | 1.987 ± 0.016                  |
| 27  | 3                        | 7  | 110                                     | 200                     | 0.894 ± 0.003           | 1.902 ± 0.006                  |
| 28  | 2                        | 6  | 110                                     | 200                     | 0.928 ± 0.002           | 1.972 ± 0.004                  |
| 29  | 4                        | 6  | 110                                     | 200                     | 0.919 ± 0.005           | 2.025 ± 0.011                  |
\[ Y_{LA} = -1.05297 - 0.008400 \times D_B + 0.449533 \times \text{pH} + 0.014037 \times C_G - 0.000236 \times B_W + 0.001250 \times D_B \times \text{pH} + 0.000100 \times D_B \times C_G - 0.000015 \times D_B \times B_W - 0.000300 \times \text{pH} \times C_G - 0.000128 \times \text{pH} \times B_W + 0.000012 \times C_G \times B_W - 0.001892 \times D_B^2 - 0.032767 \times \text{pH}^2 - 0.000078 \times C_G^2 - 5.64167 \times 10^{-7} \times B_W^2. \]  

(5)

LA yield model had 15 terms, including four linear terms, four quadratic terms, six terms of two-factorial interaction, and one constant term. The ANOVA of this model is shown in Table 4. The \( F \)-value of model was 118.09 with a \( p \)-value less than 0.0001, implying that the model was significant (Aslan and Cebeci 2007). The model terms with a \( p \)-value less than 0.05 could be regarded as highly significant terms in the model (Xu and Xu 2014). Therefore, \( D_B \), \( pH \), \( C_G \), \( pH \times C_G \), \( pH \times B_W \), \( pH^2 \), \( C_G^2 \), and \( B_W^2 \) were model terms that played a significant role in LA yield by immobilized \( L. \ pentosus \) ATCC 8041 cell fermentation. Other model terms with larger \( p \)-values could be identified to have minor influence on LA yield by immobilized \( L. \ pentosus \) ATCC 8041 cell fermentation. The correlation coefficient \( (R^2) \) between experimental results and predicted values of the response variable was used to check the goodness-of-fit formula (Miaou et al. 1996). The \( R^2 \) of LA yield model was 0.9916, implying that the model was able to describe the trend of experimental result accurately, and only 0.84% of the sample variation could not be explained by this model (Lu et al. 2010). The adjusted \( R^2 \) and predicted \( R^2 \) were 0.9832 and 0.9572, respectively, and their difference was less than 0.2, which indicated that the model value was in a reasonable agreement with a high accuracy (Miaou et al. 1996). The adequate precision of 38.1890 was larger than 4, illustrating that the conditions of immobilized \( L. \ pentosus \) ATCC 8041 cell fermentation could be theoretically predicted by this model with a high adequacy. The “Lack of Fit \( F \)-value” of 2.15 was not significant relative to the pure error, confirming that the significant model was good fitting and reliable (Thakur et al. 2018; Lu et al. 2010; Xu and Xu 2014; Miaou et al. 1996).

The quadratic LA productivity model of normalized factors with the value from –1 to 1 is shown as the following format:

Table 4 ANOVA for LA yield by immobilized \( L. \ pentosus \) ATCC 8041 cells

| Source                     | Sum of squares | Degree of freedom | Mean square | \( F \)-value | \( p \)-value |
|---------------------------|----------------|-------------------|-------------|--------------|--------------|
| Model                     | 0.0111         | 14                | 0.0008      | 118.09       | <0.0001      |
| Bead diameter \((D_B)\)   | 0.0004         | 1                 | 0.0004      | 58.95        | <0.0001      |
| pH                        | 0.0015         | 1                 | 0.0015      | 222.35       | <0.0001      |
| Initial glucose concentration \((C_G)\) | 0.0008         | 1                 | 0.0008      | 114.12       | <0.0001      |
| Biomass \((B_W)\)         | 0.0000         | 1                 | 0.0000      | 1.5          | 0.2411       |
| \( D_B \times \text{pH} \)      | 6.25 \times 10^{-6} | 1            | 6.25 \times 10^{-6} | 0.9287       | 0.3515       |
| \( D_B \times C_G \)         | 4.00 \times 10^{-6} | 1            | 4.00 \times 10^{-6} | 0.5944       | 0.4535       |
| \( D_B \times B_W \)          | 9.00 \times 10^{-6} | 1            | 9.00 \times 10^{-6} | 1.34         | 0.2669       |
| \( \text{pH} \times C_G \)       | 0.0000         | 1                 | 0.0000      | 5.35         | 0.0364       |
| \( \text{pH} \times B_W \)       | 0.0007         | 1                 | 0.0007      | 96.62        | <0.0001      |
| \( C_G \times B_W \)          | 0.0006         | 1                 | 0.0006      | 92.87        | <0.0001      |
| \( D_B^2 \)                  | 0.0000         | 1                 | 0.0000      | 3.45         | 0.0844       |
| \( \text{pH}^2 \)            | 0.0007         | 1                 | 0.0007      | 1034.84      | <0.0001      |
| \( C_G^2 \)                  | 0.0004         | 1                 | 0.0004      | 58.14        | <0.0001      |
| \( B_W^2 \)                  | 0.0002         | 1                 | 0.0002      | 30.68        | <0.0001      |
| Residual                   | 0.0001         | 14                | 6.73 \times 10^{-6} | 2.15*        | 0.2403       |
| Lack of fit                | 0.0001         | 10                | 7.94 \times 10^{-6} |           |              |
| Pure error                 | 0.0000         | 4                 | 3.70 \times 10^{-6} |           |              |
| Cor total                  | 0.0112         | 28                |             |             |              |
| Standard deviation         | 0.0026         |                   | \( R^2 \)   | 0.9916       |              |
| Mean                       | 0.9123         |                   | Adjusted \( R^2 \) | 0.9832       |              |
| Coefficient of variation (C.V.%) | 0.2844       |                   | Predicted \( R^2 \) | 0.9572       |              |
| Press                      | 0.0005         |                   | Adequate precision | 38.1890      |              |

*Non-significant at 95% level
\[ P_{LA} = 2.09 - 0.0384 \times D_B - 0.0468 \times \text{pH} + 0.1271 \times C_G - 0.0102 \times B_W + 0.0035 \times D_B \times \text{pH} \\
- 0.0005 \times D_B \times C_G - 0.0583 \times D_B \times B_W \\
- 0.0005 \times \text{pH} \times C_G - 0.0455 \times \text{pH} \times B_W \\
+ 0.1187 \times C_G \times B_W - 0.0339 \times D_B^2 - 0.1386 \times \text{pH}^2 - 0.0442 \times C_G^2 - 0.0640 \times B_W^2. \]  

The actual quadratic model of LA productivity \( P_{LA} \) is shown as follows:

\[ P_{LA} = -7.26932 + 0.324383 \times D_B + 1.74727 \times \text{pH} \\
+ 0.070318 \times C_G - 0.004844 \times B_W + 0.003500 \times D_B \times \text{pH} - 0.000005 \times D_B \times C_G - 0.000582 \times D_B \times B_W - 0.000005 \times \text{pH} \times C_G - 0.00455 \times \text{pH} \times B_W + 0.000119 \times C_G \times B_W - 0.033925 \times D_B^2 - 0.138550 \times \text{pH}^2 - 0.000422 \times C_G^2 \\
- 6.40500 \times 10^{-6} \times B_W^2. \]  

The LA productivity model contained four linear terms, four quadratic terms, six terms of two-factorial interaction, and one constant term. The ANOVA of this model is shown in Table 5. In this significant model, \( D_B \), \( \text{pH} \), \( C_G \), \( D_B \times B_W \), \( \text{pH} \times B_W \), \( C_G \times B_W \), \( D_B^2 \), \( \text{pH}^2 \), \( C_G^2 \), and \( B_W^2 \) could be considered to have main influence on LA productivity as significant terms. The models of LA productivity were also confirmed to be adequate and reliable by ANOVA, which could be used to navigate the design space.

### Effect of parameters on immobilized *L. pentosus* ATCC 8041 cell fermentation

As shown in Figs. 1a–c and 2a–c, LA yield and productivity increase with a decreased bead diameter, indicating the promotion of mass transfer performance and reduction of product inhibition by smaller bead diameter (Wu et al. 2010). As the bead diameter decreased, the surface-to-volume ratio of the beads increased, leading to a decrease in diffusion resistance (Guoqiang et al. 1991). Therefore, the substrate could be more easily diffused into the smaller-sized beads and be utilized by cells, resulting in an increase in product yield and productivity (Park et al. 2002). Idris and Suzana (2006) reported that the maximum LA yield was obtained by immobilized

### Table 5 ANOVA for LA productivity by immobilized *L. pentosus* ATCC 8041 cells

| Source                        | Sum of squares | Degree of freedom | Mean square | F-value  | p-value |
|-------------------------------|----------------|-------------------|-------------|----------|---------|
| Model                         | 0.4522         | 14                | 0.0323      | 82.30    | <0.0001 |
| Bead diameter \( (D_B) \)     | 0.0177         | 1                 | 0.00177     | 45.12    | <0.0001 |
| pH                            | 0.0263         | 1                 | 0.0263      | 67.06    | <0.0001 |
| Initial glucose concentration \( (C_G) \) | 0.1938        | 1                 | 0.1938      | 493.80   | <0.0001 |
| Biomass \( (B_W) \)           | 0.0012         | 1                 | 0.0012      | 3.16     | 0.0972  |
| \( D_B \times \text{pH} \)    | 0.0000         | 1                 | 0.0000      | 0.1248   | 0.7291  |
| \( D_B \times C_G \)          | \( 1.000 \times 10^{-6} \) | 1             | \( 1.000 \times 10^{-6} \) | 0.0025   | 0.9605  |
| \( D_B \times B_W \)          | 0.0136         | 1                 | 0.0136      | 34.58    | <0.0001 |
| \( \text{pH} \times C_G \)    | \( 1.000 \times 10^{-6} \) | 1             | \( 1.000 \times 10^{-6} \) | 0.0025   | 0.9605  |
| \( \text{pH} \times B_W \)    | 0.0083         | 1                 | 0.0083      | 21.10    | 0.0004  |
| \( C_G \times B_W \)          | 0.0056         | 1                 | 0.0056      | 143.72   | <0.0001 |
| \( D_B^2 \)                   | 0.0075         | 1                 | 0.0075      | 19.02    | 0.0007  |
| \( \text{pH}^2 \)             | 0.1245         | 1                 | 0.1245      | 317.26   | <0.0001 |
| \( C_G^2 \)                   | 0.0015         | 1                 | 0.0015      | 29.40    | <0.0001 |
| \( B_W^2 \)                   | 0.0266         | 1                 | 0.0266      | 67.80    | <0.0001 |
| Residual                      | 0.0055         | 14                | 0.0004      |          |         |
| Lack of fit                   | 0.0037         | 10                | 0.0004      | 0.8594*  | 0.6171  |
| Pure error                    | 0.0017         | 4                 | 0.0004      |          |         |
| Cor total                     | 0.4577         | 28                |             |          |         |
| Standard deviation            | 0.0198         |                   | \( R^2 \)   | 0.9880   |         |
| Mean                          | 1.97           |                   | Adjusted \( R^2 \) | 0.9760   |         |
| Coefficient of variation (C.V.%)| 1.01           |                   | Predicted \( R^2 \) | 0.9469   |         |
| Press                         | 0.0243         |                   | Adequate precision | 34.5080  |         |

\*Non-significant at 95% level
Fig. 1 Response surface of LA yield affected by a bead diameter and pH at 101.9 g/L initial glucose concentration and 204.6 mg biomass (CDW), b bead diameter and initial glucose concentration at pH 5.99 and 204.6 mg biomass (CDW), c bead diameter and biomass at pH 5.99 and 101.9 g/L initial glucose concentration, d pH and initial glucose concentration at 2.0 mm bead diameter and 204.6 mg biomass (CDW), e pH and biomass at 2.0 mm bead diameter and 101.9 g/L initial glucose concentration, and f initial glucose concentration and biomass at 2.0 mm bead diameter and pH 5.99
Fig. 2 Response surface of LA productivity affected by a bead diameter and pH at 119.6 g/L initial glucose concentration and 377.4 mg biomass (CDW), b bead diameter and initial glucose concentration at pH 5.21 and 377.4 mg biomass (CDW), c bead diameter and biomass at pH 5.21 and 119.6 g/L initial glucose concentration, d pH and initial glucose concentration at 2.0 mm bead diameter and 377.4 mg biomass (CDW), e pH and biomass at 2.0 mm bead diameter and 119.6 g/L initial glucose concentration, and f initial glucose concentration and biomass at 2.0 mm bead diameter and pH 5.21.
Lactobacillus delbrueckii cells with the bead diameter of 1 mm, and the LA yield decreased significantly when the bead diameter was equal to or larger than 5 mm. Park et al. (2002) studied biodegradation of hydrogen sulfide by immobilized Thiobacillus sp. IW and concluded that the biodegradation efficiency increased with a decrease in bead diameter from 4 to 1 mm. It has been reported that substrates with a higher concentration are more easily to diffuse into immobilized cell beads with larger diameter (Idris and Suzana 2006; Dwevedi and Kayastha 2009; Won et al. 2005). Other reports point out that larger numbers of cells were contained in the particles with a larger diameter, which cause a higher rate of substrate consumption (Wu et al. 2010; Won et al. 2005; Mundra et al. 2007; Gummadi et al. 2009). Therefore, the LA productivity increases with a properly increased bead diameter from 2 to 3 mm due to an increased initial glucose concentration and amount of biomass, while it decreases with the further increased bead diameter due to the substrate consumption for cell growth as shown in Fig. 2b, c.

As shown in Fig. 1a, d, e, the highest LA yield has been observed at around pH 6.0 by immobilized L. pentosus ATCC 8041 cell fermentation, while the highest LA productivity has been obtained at around pH 5.5 as shown in Fig. 2a, d, e. The same result was also obtained by Bahry et al. (2019) and Krischke et al. (1991) in the fermentation process of different immobilized cells. A higher external pH inhibited the activity of the ATPase combined with a change in pH of cytoplasm, resulting in a decrease in fermentation efficiency (Valli et al. 2005; Kourkoutas et al. 2004; Carmelo et al. 1997). Liu and Shen (2008) studied the effect of pH on ethanol production by immobilized S. cerevisiae. They indicated that the H\(^+\) in the fermentation broth diffused freely to the inside of the immobilized cell particles through the large pores of the calcium alginate matrix and caused a change in charge quantities of the plasma membrane, which led to a change in the permeability of the plasma membrane to nutrients and inorganic salt ions, affecting the fermentation efficiency. Another research showed that extreme acidic pH caused changes in intracellular ionic environment and damages to protein structure, which was detrimental to cell growth and metabolism, leading to a decrease in product yield and productivity (Bhushan et al. 2015). It has also been reported that the inhibitory effect of LA with the undissociated form on LA production is more significant than that of the dissociated lactate form if the pH is closer to the pK\(_a\) of LA (about 3.9) (Pal et al. 2009). When pH is lower than 5, LA production will be inhibited because of the increased amount of LA with the undissociated form, while LA production will also be inhibited due to the inhibited activity of related enzymes when pH is higher than 7 (Bahry et al. 2019). Therefore, the pH value from 5.5 to 6.0 is an ideal condition for LA production by fermentation process. When the concentrations of initial glucose and biomass were low, LA yield of immobilized L. pentosus ATCC 8041 cells at pH 7.0 was higher than that at pH 5.0, and its change from pH 5–6 was faster than that from pH 6.0–7.0. However, LA productivity of immobilized L. pentosus ATCC 8041 cells at pH 7.0 was lower than that at pH 5.0, and its change from pH 5.0–6.0 was slower than that from pH 6.0–7.0. It indicated that the cell growth of L. pentosus at pH 5 was more significant than that at pH 7. The enzyme activity for cell growth of L. pentosus was higher at pH 5.0 due to its acid-resistivity, and more glucose was consumed for cell growth rather than LA production at pH 5.0. When pH increased to be 7.0, the enzyme activity for cell growth was inhibited, and more glucose was utilized to produce LA (Buyondo and Liu 2013). When the concentrations of initial glucose and biomass were high, both LA yield and productivity were lower at pH 7.0 than that at pH 5.0.

As shown in Figs. 1b, d, f and 2b, d, f, an increased initial glucose concentration leads to a decreased LA yield but an increased LA productivity. It indicates that the initial glucose concentration increasing in a certain range would promote cell growth and subsequently promoted the LA productivity, while the LA yield was inhibited due to the limited substrate and enzyme activity (Bahry et al. 2019; Thakur et al. 2018; Liu et al. 2018). Qin et al. (2009) reported that the initial glucose concentration has a significant effect on LA production in batch fermentation. They found that an initial glucose concentration of 9.7% to 13.3% had non-significant limitation to the LA production, but an initial glucose concentration of 18.6% or higher would lead to a significant restriction on LA production. The similar result was also found by Wendhausen et al. (2001) that the initial glucose concentration strongly affected ethanol production of immobilized S. cerevisiae in batch fermentation of sugar cane syrup. A study reported that a higher glucose concentration leads to a longer retention time required to obtain a higher product yield due to a lower feed dilution (Liu et al. 2009). Feed dilution causes osmotic effects on the mass transfer performance and cell activity, leading to changes in product yield and productivity (Lee et al. 2011). Wada et al. (1980) studied effects of different glucose concentrations on the growth and production activity of immobilized cells and concluded that the largest number of cells in the gel beads was obtained by using a medium with the glucose concentration of 10%. They found that increased glucose concentration out of proper range caused a decrease in cell growth rate and number of viable cells in the gel, resulting in a reduction of product yield and productivity. Other reports explained
that the reduced water activity and plasmolysis led to decreased cell activity or cell dormancy when the substrate concentration exceeded the critical value, resulting in a decrease in fermentation efficiency (Roukas et al. 1991; Tapia et al. 2008). However, the result reported by Mariam et al. (2009) was different from the result shown in Fig. 1, which concluded that the sugar concentration of 15% led to the maximum product yield in both free cell fermentation and immobilized cell fermentation. As shown in Figs. 1f and 2f, when the amount of cell is lower, the product yield and productivity increases with the increase of the substrate concentration, and when the substrate concentration exceeds the critical value, the product yield and productivity show a decreasing trend with the increase of the substrate concentration (Liu et al. 2008).

As shown in Figs. 1c, e, f and 2c, e, f, regardless of other parameters, the increased amount of cell biomass results in a decrease in LA yield but an increase in LA productivity. The same result was obtained by Dong et al. (2017). They reported that more substrate was consumed for the cell growth and the maintenance for physiological activities of cells when the cell concentration was higher, resulting in a significant decrease in product yield due to substrate deficiency. As shown in Fig. 1f, with the sufficient substrate concentration, product yield increases as the amount of entrapped cells rose. When the substrate concentration is low, nutrients are not enough to support cell growth and metabolism for a larger amount of cells, resulting in a decrease in product yield due to the limited metabolism rate (Thakur et al. 2018). As shown in Fig. 2f, when the glucose concentration is low, the LA productivity decreases with the increase in biomass from 200 to 300 mg caused by the glucose consumption for cell growth. However, LA productivity increases with the further increase in amount of biomass. When the glucose concentration is high, the increased number of cells in a certain period of time promotes LA production with richer substrates, which lead to an increase in LA productivity. In addition, an extremely low cell density would lead to the cell growth to become the main activity of cells in the initial stage of fermentation, which subsequently causes a decrease in product yield and productivity (Wendhausen et al. 2001; Liu et al. 2009; Wada et al. 1980; Roukas et al. 1991; Tapia et al. 2008; Dong et al. 2017). Furthermore, it was observed that cell growth in immobilized cell particles led to the formation of distinct and large colonies when the concentration of the entrapped cells was low, and the size of colonies increased as the concentration of the captured cells reduced (Walsh et al. 1996). However, this result was not in agreement with that of Bhatnagar et al. (2016). They studied the biodegradation of carbazole by immobilized 

**Pseudomonas** sp. GBS.5 cells and concluded that the rate of biodegradation did not enhance with an increase in entrapped cell concentration when the substrate concentration is appropriate.

**Optimization of LA production and validation test**

Fermentation conditions for the highest LA yield and the highest LA productivity were numerically optimized, respectively. It was estimated based on the mathematical model that the highest LA yield was 0.942 g/g glucose with a productivity of 1.947 g/(L × h) at 2.0 mm bead diameter, 5.99 pH, 101.9 g/L initial glucose concentration, and 204.61 g biomass (CDW). However, the highest LA productivity was estimated as 2.242 g/(L × h) with a yield of 0.926 g/g glucose at 2.0 mm bead diameter, 5.21 pH, 119.6 g/L initial glucose concentration, and 377.4 mg biomass (CDW). In order to validate the effectiveness of estimated optimum conditions, a batch fermentation of immobilized *L. pentosus* ATCC 8041 cells under the estimated optimum conditions were carried out at 35 °C and 150 rpm in a complete fermentation period of 48 h. The experimental result of highest LA yield test was obtained as 0.941 ± 0.004 g/g glucose with a productivity of 1.950 ± 0.011 g/(L × h), while the data of highest LA productivity test was obtained as 2.245 ± 0.007 g/(L × h) with a yield of 0.928 ± 0.005 g/g glucose. Therefore, the close correspondence could be observed between the experimental data and the predicted result by mathematical model.

Due to the different optimum conditions for LA yield and productivity, the conditions should be optimized to obtain a higher LA yield and productivity simultaneously for practical application. The conditions optimized by this method can effectively improve the product conversion rate and fermentation efficiency during the fermentation process, which leads to a reduction of costs and fermentation period (Thakur et al. 2018). Based on the mathematical model, the highest LA yield and productivity were obtained as 0.936 g/g glucose and 2.210 g/(L × h), respectively. The estimated scheme of optimum condition was obtained as the bead meter of 2.0 mm, the pH value of 5.60, the initial glucose concentration of 115.3 g/L, and the biomass (CDW) of 398.2 mg. The result of the validation experiment was obtained as the LA yield of 0.938 ± 0.003 g/g glucose and a productivity of 2.213 ± 0.008 g/(L × h), which also confirmed a high reliability of this model. The density of viable cells was obtained as 8.13 × 10⁹ CFU/g (9.91 log CFU/g) beads, implying that the immobilized *L. pentosus* ATCC 8041 cells had high viability in batch fermentation under optimum conditions. The batch fermentation of free *L. pentosus* ATCC 8041 cells was also conducted under the
same fermentation conditions. It was observed to take approximately 72 h in free *L. pentosus* ATCC 8041 cell fermentation for glucose to be consumed almost completely with a LA yield of 0.826 ± 0.004 g/g glucose and a productivity of 1.323 ± 0.006 g/(L × h). The changes of LA and glucose concentrations during the fermentation process of both immobilized *L. pentosus* ATCC 8041 cells and free *L. pentosus* ATCC 8041 cells are shown in Fig. 3. Therefore, the LA yield and LA productivity of immobilized *L. pentosus* ATCC 8041 cells were 13.6% and 67.3% higher than that of free *L. pentosus* ATCC 8041 cells, respectively. The high LA yield and productivity obtained in the validation experiment indicated the high fermentation efficiency and excellent stability of the immobilized *L. pentosus* ATCC 8041 cells during the fermentation process under the optimum conditions. Repeated batch fermentation was also conducted to test the reusability of immobilized *L. pentosus* ATCC 8041 cells. As shown in Table 6, immobilized *L. pentosus* ATCC 8041 cells can maintain stable fermentation performance in 8 batches of

| Batch number | Residual glucose concentration C₆ (g/L) | LA concentration Cₓ (g/L) | LA yield Yₓ (g/g) | LA productivity Pₓ g/(L × h) |
|--------------|----------------------------------------|---------------------------|------------------|-------------------------------|
| 1            | 2.06 ± 0.31                            | 106.22 ± 0.34             | 0.938 ± 0.003    | 2.213 ± 0.007                 |
| 2            | 1.12 ± 0.27                            | 106.75 ± 0.48             | 0.935 ± 0.004    | 2.224 ± 0.010                 |
| 3            | 1.75 ± 0.42                            | 106.85 ± 0.67             | 0.941 ± 0.006    | 2.226 ± 0.014                 |
| 4            | 1.63 ± 0.34                            | 106.51 ± 0.39             | 0.937 ± 0.003    | 2.219 ± 0.008                 |
| 5            | 1.54 ± 0.23                            | 106.70 ± 0.58             | 0.938 ± 0.005    | 2.223 ± 0.012                 |
| 6            | 2.04 ± 0.31                            | 106.46 ± 0.63             | 0.940 ± 0.006    | 2.218 ± 0.012                 |
| 7            | 1.88 ± 0.34                            | 106.27 ± 0.37             | 0.937 ± 0.003    | 2.214 ± 0.008                 |
| 8            | 1.34 ± 0.41                            | 106.42 ± 0.54             | 0.934 ± 0.005    | 2.217 ± 0.011                 |

**Fig. 3** Time profiles of LA and glucose concentrations during the fermentation process of immobilized *L. pentosus* ATCC 8041 cells and free *L. pentosus* ATCC 8041 cells

**Table 6** The results of repeated batch fermentation of immobilized *L. pentosus* ATCC 8041 cells under optimum conditions
fermentation to reach a high LA yield and productivity, implying that immobilized *L. pentosus* ATCC 8041 cells have excellent reusability.

The maximum LA yield of 0.96 g/g substrate with a productivity of 1.69 g/(L×h) was obtained by Djukić-Vuković et al. in the study of non-mineral LA fermentation by immobilized *Lactobacillus rhamnosus* ATCC 7469 cells, and they also obtained the maximum LA yield of 0.96 g/g substrate with a productivity of 1.41 g/(L×h) by immobilized *L. rhamnosus* ATCC 7469 cell fermentation without nitrogen source (Djukić-Vuković et al. 2013, 2016). Radosavljević et al. (2019) studied immobilization of *L. rhamnosus* in PVA–SA hydrogel for LA production and obtained the maximum LA productivity of 0.8 g/(L×h) in batch fermentation and an overall productivity of 0.78 g/(L×h) in seven batches of repeated batch fermentation. Hajar et al. obtained the maximum LA productivity of 1.22 g/(L×h) in immobilized *L. rhamnosus* cell fermentation of carob pod waste (Bahry et al. 2019). The maximum LA yield of 0.93 g/g glucose with a productivity of 2.7 g/(L×h) in immobilized *Lactobacillus casei* cell fermentation with a dry cell concentration of 7.5 g/L was obtained by Maslova et al. (2016).

**Conclusion**

The immobilized *L. pentosus* cells perform with a high fermentation efficiency and excellent stability in homofermentation for LA production. The parameters have been optimized to be 2.0 mm bead diameter, 5.60 pH, 115.3 g/L initial glucose concentration, and 398.2 mg biomass (CDW) based on response surface methodology with Box–Behnken design for maximizing the LA yield. The highest LA yield and productivity have been obtained as 0.938±0.003 g/g glucose and 2.213±0.008 g/(L×h), respectively. The stable and high LA yield and productivity can be obtained by repeated batch fermentation by *L. pentosus* cells under optimum conditions.

The LA yield and glucose consumption rate of immobilized *L. pentosus* cells can be optimized with a quadratic model with a high accuracy, which can be used to navigate a design space.

**Abbreviations**

LA: Lactic acid; PLA: Poly(lactic acid); LAB: Lactic acid bacteria; SA: Sodium alginate; PVA: Polyvinyl alcohol; EMP: Embed–Menzer–Paranal; PK: Phosphoketolase; DOE: Design of experiment; ATCC: American Type Culture Collection; MRS: de Man, Rogosa and Sharpe; NMR: Nuclear magnetic resonance; ANOVA: Analysis of variance.

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**Competing interests**

The authors declare that they have no potential conflicts of interest.

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