BMJ Open  Internet-based cognitive behavioural therapy for adults with tinnitus in the UK: study protocol for a randomised controlled trial

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ABSTRACT

Introduction: Tinnitus is one of the most distressing hearing-related symptoms. Innovative ways of managing tinnitus distress and the related healthcare burden of treating tinnitus are required. An internet-based cognitive behavioural therapy (iCBT) intervention has been developed in Sweden to improve access to evidence-based tinnitus treatments. This study aims to determine the feasibility and effectiveness of iCBT in reducing the impact associated with tinnitus, in the UK. It, furthermore, aims to establish whether there are subgroups of tinnitus sufferers for whom this iCBT intervention may be more suitable.

Methods and analysis: A two-armed randomised control trial—with a 1-year follow-up design—will be used to evaluate the effectiveness of iCBT on tinnitus distress. A delayed treatment design using a weekly check-in control group will be used. 70 participants will be randomly assigned to each group by an independent researcher by using a computer-generated randomisation schedule, and after being prestratified for age and tinnitus severity. They will undergo the iCBT e-health intervention online together with audiological therapeutic support. The main outcome measure is the Tinnitus Functional Index. Process evaluation of the intervention will also be conducted. Data analysis will be in accordance with Consolidated Standards of Reporting Trials guidelines.

Ethics and dissemination: Ethical approval has been granted. If this intervention proves effective, it may be possible that at least some tinnitus sufferers can be managed though an iCBT e-learning treatment programme. This would be cost effective and potentially will free up services for those with more severe problems that need face-to-face treatment.

Trial registration number: ClinicalTrials.gov; NCT02370810, date 05/03/2015.

INTRODUCTION

Tinnitus is one of the most distressing and debilitating hearing-related symptoms. Unwanted sounds, such as ringing or buzzing, are experienced in the absence of a concurrent external sound source. It is highly prevalent, affecting between 10% and 15% of adults in the UK, with an increased prevalence in older adults.

Despite much research, medical treatments are usually ineffective at reducing tinnitus and a cure remains to be found. Tinnitus is, therefore, managed as a chronic condition, thus adding strain on current healthcare systems. For some, experiencing tinnitus can result in a complex set of symptoms. As there is a strong relationship between tinnitus and hearing difficulties, this adds to the distress experienced. In addition to the possible adverse effects on daily life, such as the impact on sleep, mood and concentration, there may also be a number of indirect psychological and psychosocial effects, including depression and anxiety. These concurrent physical and psychological effects add to the healthcare burden as further inputs may be required from various health professionals.
When it comes to tinnitus management strategies, there is a low evidence-base for many practices. Good Practice Guidelines for tinnitus management were set by the Department of Health in the UK in 2009. Hoare et al. found poor guideline adherence, unequal access to care, lack of standardisation, and limited use of psychological interventions for tinnitus. Innovative ways of addressing these issues in the UK are, therefore, required.

Cognitive behavioural therapy (CBT) is a technique which reduces the distress associated with tinnitus, and is one of the tinnitus treatment options with the most evidence of effectiveness. It has been shown to add to the efficacy of audiology/ear, nose and throat (ENT) approaches to treatment. It is, however, rarely offered in clinical practice, particularly in the UK. This is largely due to a shortage of healthcare professionals with appropriate CBT training, such as psychologists who are well-trained Audiologists willing to manage tinnitus.

To provide an accessible evidence-based tinnitus treatment, an internet-based CBT (iCBT) intervention was developed by Andersson et al. and results of their initial randomised control trial (RCT) showed promising effects. Following intervention improvements, further studies conducted in Sweden and Germany indicated similar results for face-to-face group CBT. A further RCT in Australia, by Abbott et al., found results of iCBT did not show any statistically significant benefit over an information-only control programme (without CBT content). In addition, the attrition rate was higher in the iCBT group. This poses questions regarding whether the structure and presentation of the intervention requires updating to improve overall outcomes. If iCBT for tinnitus distress is feasible in the UK, it may bridge a gap for those who have not been able to access appropriate tinnitus services. It could, furthermore, reduce the burden on the healthcare system from those who do not require supra-specialist support.

A study to determine whether iCBT could be a suitable intervention is, therefore, of value. The aim of this study is to further our knowledge of the feasibility and effectiveness of iCBT for tinnitus management in the UK. This research is timely and in line with two of the tinnitus research priorities recommended by the James Lind Alliance, namely: research investigating which management strategies are more effective than the usual model of audiological care in improving outcomes for people with tinnitus, and research determining whether CBT, delivered by audiology professionals, is effective for people with tinnitus.

The research objectives for this study are, therefore, as follows:
1. To establish the feasibility of using iCBT for tinnitus distress as an intervention for adults with tinnitus in the UK;
2. To evaluate the effectiveness of iCBT for tinnitus distress in reducing the impact associated with tinnitus for adults in the UK;
3. To ascertain predictors of outcome for whom this iCBT intervention is a suitable intervention;
4. To determine the longer term effects of iCBT, 12-months post-intervention.

METHODS
Study design
This will be an internet-based study run in the UK. This intervention study will be implemented as a two-armed RCT, with a 1-year follow-up, to evaluate the effectiveness of iCBT for tinnitus distress. An effectiveness trial has been selected to increase the extent to which the study results can be generalised, and will be used to identify for which subgroups of tinnitus sufferers this is a suitable intervention. A delayed treatment design using a weekly check-in (WCI) control group will be used, as shown in figure 1. The objective is to test the superiority of the intervention, with the hypothesis that the treatment group will perform better than the WCI group. These groups will be followed prospectively for 1 year, to establish the long-term effectiveness of the intervention programme.

This study design is described using SPIRIT (Standard Protocol Items: Recommendations for Interventional Trials) checklist, and has been registered with Clinical Trials.gov: NCT02370810, date 05/03/2015.

Study groups
There will be two study groups. The Test Group will receive the 8-week duration iCBT treatment following baseline measurements and allocation (after $T_0$). The WCI Control Group will be monitored during the active treatment phase by means of the Tinnitus Handicap Inventory—Screening version (THI-S) but will have no other contact or involvement in the study during this period. The control group will undergo the same iCBT intervention once the treatment group finishes the programme (after $T_1$).

Inclusion criteria
A clinical evaluation prior to partaking in the study is desirable to rule out the presence of a serious auditory pathology or associated psychological problems, which may be related to having tinnitus. If there are any concerns, a letter will be provided to the participants to give to their general practitioner for further tinnitus management.

Participant’s eligibility for the study is as follows:
1. Aged 18 years and over, and living in the UK;
2. The ability to read and type in English;
3. No barriers to using a computer (eg, significant fine motor control or visual problems);
4. Internet and email access, and the ability to use these;
5. Commitment to completing the programme;
6. Completion of the online screening and outcome questionnaires;
7. Agreeing to participate in either group and to be randomised to one of these groups;
8. Understanding and working towards the end goal of reducing the impact and distress of tinnitus, although the strength of the tinnitus may remain the same;
9. Be available for 12 months after starting the study to complete a 1-year follow-up questionnaire;
10. Suffering with tinnitus for a minimum period of 3 months;
11. Tinnitus outcome measure scores indicating the need for tinnitus care (26 or above on the Tinnitus Functional Index (TFI)).

Exclusion criteria
1. Reporting any major medical or psychiatric conditions;
2. Reporting pulsatile, objective or unilateral tinnitus which have not been investigated medically;
3. Tinnitus is a consequence of a medical disorder and is still under investigation;
4. Undergoing any tinnitus therapy concurrently to the participation in this study.
A two-staged selection procedure will be followed:
1. An online screening questionnaire, which includes health and mental health-related questions;
2. A telephone interview during which the researcher will recheck eligibility, and provide the opportunity for potential participants to ask any questions related to the study.

Recruitment
Recruitment will be UK-wide, using as many different forms as possible to achieve adequate participant enrolment. Information about the study will be advertised in tinnitus and hearing-related support groups and charities, in their newsletters and drop-in centres. It will further be advertised in audiology departments and by using social media. Talks to professionals, such as ENT consultants and Audiologists, will also be given to introduce the study and aid recruitment. Those interested can obtain information and register interest on the study website.
Sample size
Sample size estimation was calculated using Power and Sample Size Programme, V.3.1.2, and based on achieving a clinically relevant change of 13 points (SD=24.7) in the main outcome measure to be used for this study, the TFI. This indicated 30 participants were required per group—with an allocation ratio of 1:1—to achieve a two-sided significance level of 0.05 and effect size of 0.8. As these calculations are based on a fairly new outcome measure and there may be dropouts, an additional 40 participants will be added to ensure that there is enough valid data to draw conclusions from. Therefore, 70 participants will be assigned to the experimental group, and 70 participants to the control group. This is roughly in line with the sample sizes used in other similar studies.

Allocation
Participants will be randomly assigned to either the control or experimental group with a 1:1 allocation with the use of a computer-generated randomisation schedule (http://www.randomizer.org/) by an independent researcher. To prevent an unequal distribution among groups, participants will be prestratified on the basis of age (≤60 or >60 years) and tinnitus severity (TFI≤50 or >50). Furthermore, block randomisation, with blocks of four, will be applied to ensure equal group sizes within each criteria stratification.

Following allocation, participants will be contacted by telephone to provide an opportunity for them to ask questions and discuss various aspects of the study. This initial contact has been found to be valuable to ensure participants are motivated to complete the treatment.

Intervention
The intervention to be followed is built on an iCBT self-help programme for reducing tinnitus distress as developed by Andersson et al. It incorporates a combination of a cognitive rational and learning theory approach. The original intervention was largely text based. The present authors have redesigned and modified the intervention content and presentation to set up an interactive e-learning version, as shown in figure 2. This will ensure the intervention is visually stimulating, engaging, and responsive to participants’ progress.

The intervention consists of fixed and optional modules, covered over a period of 8 weeks, as shown in table 1. The modules contain a mixture of information, videos, quizzes, diagrams, suggested techniques to apply to daily life, worksheets to keep track of progress, solutions for common problems, and downloadable information.

The iCBT intervention will be delivered on a secure web platform, for which the participants will receive a password protected login information.

Monitoring progress
Participants will be minimally guided via a secure online messaging system. This therapeutic alliance will allow for feedback and assistance if participants have any difficulties, and has shown better outcomes than internet interventions without this communication.

The clinician will contact the participants who have not completed their weekly progress worksheets to ensure that they are assisted as required. In these worksheets, participants record details about when, where, and for how long they practiced the suggested techniques, and how effective these techniques were.

As tinnitus therapy is largely delivered by the audiology community in the UK, an experienced audiological scientist, registered with the Health Professions Council, will undertake the role of supporting the participants; this will help to maintain consistency with the standard approach. Support will, however, be provided by a psychologist, with experience in iCBT interventions, and a protocol for dealing with different situations will be used.

Assessment
The assessment battery will consist of a screening eligibility questionnaire and relevant self-reported outcome measures that are related to areas which may be affected by tinnitus. Outcome measures for tinnitus severity, hearing handicap, insomnia, cognitive functioning, hyperacusis, anxiety, depression and life satisfaction were, therefore, selected. Careful consideration was given to ensure each questionnaire was as brief as possible and had good psychometric properties, as seen in table 2. Also, the outcomes that were selected had to be aligned with the International Classification of Functioning, Disability and Health (ICF) framework in the domains of activity limitation and participation restriction.

The assessment battery and outcome measures will include:

1. **Eligibility screening** A range of demographic, tinnitus-specific and health-related questions will be used to determine demographic variability and study eligibility. Open-ended questions asking about positive and negative experiences related to having tinnitus will also be included in the questionnaire.

2. **The main outcome measures** The TFI has been selected to determine tinnitus severity, as it is validated for assessing responsiveness to treatment—both for scaling the severity and negative impact of tinnitus, and for measuring treatment-related changes in tinnitus. The TFI was specifically designed to measure the effectiveness of interventions, a feature lacking in previous tinnitus outcome measures. Owing to its validation for assessing treatment responsiveness, it was given priority over some of the other more established questionnaires, such as the THI.

3. **Secondary outcome measures**: A. The THI-S will be used as a concise outcome measure, particularly to monitor tinnitus handicap on a weekly basis during the active treatment phase.

B. As there is a strong relationship between tinnitus and hearing difficulties, the Hearing Handicap
Inventory for Adults—Screening version (HHIA-S) will be administered to quantify perceived hearing handicap. It includes emotional and social/situational subscales.

C. As sleep disturbances are commonly associated with tinnitus, the Insomnia Severity Index (ISI) will be included. This questionnaire assesses the nature, severity, and impact of insomnia by assessing sleep duration, sleep quality, the negative impact on daily functioning, and psychological well-being.

D. As having tinnitus may disrupt cognitive functioning, the Cognitive Failures Questionnaire (CFQ) will be included, which has been designed to assess a patient’s proneness to committing cognitive slips and errors in the completion of everyday tasks, such as failures in perception, memory, and motor functions.

E. As there is a high comorbidity of hyperacusis (a reduced tolerance for everyday sounds) in those with tinnitus, the Hyperacusis Questionnaire will be administered. Although further work on the structure of this questionnaire is required to establish its reliability for measuring hyperacusis in the tinnitus research population, the scores obtained will be useful to compare preintervention and postintervention changes.

F. As anxiety and depression are common comorbid conditions in individuals with tinnitus, the Patient Health Questionnaire (PHQ-9) to measure depression severity, and the Generalised Anxiety Disorder 7-item (GAD-7) scale, to assess anxiety severity, will be administered.

G. To include a measure of quality of life, the Satisfaction with Life Scales will be used for assessing global life satisfaction. For the purpose...

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**Figure 2** The modified internet-based cognitive behavioural therapy (iCBT) intervention.
of this study, it was felt that the questions in this measure were more appropriate than those relating to longer quality of life measures, which include areas of mobility and self-care that are not directly targeted by this study. Permission has been obtained to use the questionnaires whenever these are required, for example, the TFI.

**Questionnaire delivery**

The format of the questionnaire delivery will remain consistent in the study’s online questionnaires. Not all questionnaires to be used have been validated for internet use. Previous research has found comparable results in terms of psychometric properties between computer and paper questionnaire delivery, with high test–retest reliability and completion rate on the internet.46 47 See table 3 for the schedule of outcome measure delivery.

**Process evaluation**

An intervention, such as iCBT, is known as a ‘complex intervention’ as it combines different components, but does not involve drugs or surgical procedures.48 To fully investigate the different aspects of this complex intervention, we will be exploring the processes involved in implementing the iCBT intervention, as shown in figure 3, parallel to the iCBT study. By integrating this process

| Measure and validation reference | Items | Scale used | Internal consistency (Cronbach’s α) |
|---------------------------------|-------|------------|-------------------------------------|
| Tinnitus Functional Index27     | 25    | 1–10       | 0.97                                |
| Tinnitus Handicap Inventory—Screening25 | 10   | 1–3        | 0.87                                |
| Hearing Handicap Inventory—Screening34 | 10   | 1–3        | 0.93                                |
| Insomnia Severity Index36       | 7     | 0–4        | 0.74                                |
| Cognitive Failures Questionnaire38 | 25    | 0–4        | 0.89                                |
| Hyperacusis Questionnaire41     | 14    | 0–4        | 0.66–0.68                           |
| Patient Health Questionnaire42  | 9     | 0–3        | 0.83                                |
| Generalised Anxiety Disorder45  | 7     | 0–3        | 0.89                                |
| Satisfaction with Life Scales44 | 5     | 1–7        | 0.87                                |
evaluation information with the outcome data, we will maximise our ability to interpret the results and the effectiveness of the intervention.49

We will be combining components from three evaluation models—the Reach, Efficacy, Adoption, Implementation and Maintenance (RE-AIM model)30 31 and the model designed by Linnan and Steckler,32 as well as that designed by Baranowski and Stables.33 The following eight components have been selected:

1. **Recruitment**: Procedures used to approach and attract participants.
2. **Reach**: The extent to which the targeted population were drawn to the study and were willing to be involved in this intervention study.
3. **Context**: Characteristics of the participants that affect the iCBT intervention, including social, demographic, socioeconomic factors.
4. **Dose delivered**: The number of modules and component included in this iCBT intervention.
5. **Dose received**: The extent to which participants actively engage and interact with the resources provided by this iCBT intervention.
6. **Effectiveness**: Examining the positive and negative consequences of the intervention, as well as factors that may positively or negatively influence the effectiveness of the intervention from the participant’s perspective.
7. **Maintenance**: Keeping participants involved in this iCBT intervention and data collection.
8. **Fidelity**: The extent to which the intervention was implemented as planned.

These processes will be monitored during the intervention by reflecting on the study procedures and participants’ experiences. After undergoing the intervention, participant satisfaction will be established using a Likert scale and telephone interview.

**Pilot study**

All materials will be piloted prior to running the study. Both professionals and those with tinnitus will test all aspects of the platform. A Likert scale questionnaire will be administered, asking specific aspects of the platform. A Likert scale questionnaire will be used to minimise bias. Results at post-treatment will be based on an intention-to-treat paradigm, in which incomplete data sets will be analysed using multiple imputation offered by SPSS. For all analyses, a two-tailed significance level of <0.05 will be considered to be statistically significant. The data will be analysed, using a general linear model repeated measures approach, to look at the effects of the intervention and changes over time.

Qualitative content analysis with a positivist philosophical approach will be used to analyse the responses for open-ended questions.55 Integrating different analysis methods will yield further insight into the study outcomes.36

The study results will be shared in peer-reviewed publications by the present authors and presented at research conferences. A summary of the findings will be available to study participants, members of tinnitus support and tinnitus charity groups, as well as on Clinicaltrials.gov.

**Data collection**

All data will be collected online. Baseline data will be collected at pretreatment (−T1), prior to allocation. During the active phase of the intervention, the responses to the 10 questions on the THI-S25 will be collected on a weekly basis. Data will then be collected at post-treatment (T1) and after the control group completes the intervention (T2). To determine long-term effectiveness of the intervention, data will again be collected 12 months (T3) after the start of the intervention at the close out, and this will end the study. The specific outcome measures for each collection point are shown in table 3.

To improve rates at follow-up, an email will be sent to encourage participants to complete the questionnaires, with a maximum of three reminders. If they do not wish to further participate in the study, the reasons for their withdrawal will be recorded, where provided, by means of a post-treatment satisfaction questionnaire.

**Data management**

All participants will receive a non-traceable unique reference code to keep their identities blinded during the result analysis. All files used will be password protected. The researchers, statisticians and internal data monitoring committee (DMC) will have access to the final data set. The DMC includes researchers who are independent to this study, without competing interests. They will ensure accurate analysis and result interpretation.

**Data analysis**

Data analysis will be in accordance with Consolidated Standards of Reporting Trials (CONSORT) guidelines for randomised clinical trials.34 The SPSS V20.0 will be used, and the data analyst will be blinded to the groups to minimise bias. Results at post-treatment will be based on an intention-to-treat paradigm, in which incomplete data sets will be analysed using multiple imputation offered by SPSS. For all analyses, a two-tailed significance level of <0.05 will be considered to be statistically significant. The data will be analysed, using a general linear model repeated measures approach, to look at the effects of the intervention and changes over time.

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**Ethical considerations**

Participation is voluntary and all participants will provide informed consent online. A full explanation of every step of the study will be provided and participants will be able to withdraw at any stage without penalty.

A protocol has been established to ensure the security of participant’s confidentiality on the web portal,
complying with European guidelines for internet studies. Participants’ data will be anonymised as unique reference codes will be used. Protocols to minimise the risks to participants and the researcher have been put in place. The data together with any other spontaneously reported adverse events during the intervention will be reported. If any participants were identified as requiring additional support, a letter will be provided to them to show to their general practitioner so that this care can be arranged.

**DISCUSSION**

In this paper, the design of a study to investigate the effectiveness and feasibility of an internet-based intervention for tinnitus in the UK is outlined. The strength of the proposed methodology is the randomised design. A further strength is that not only the effects of the iCBT intervention, but also those from the process of evaluation, will be investigated, so as to fully determine the intervention’s feasibility and effectiveness.

**Table 3** Schedule of enrolment, interventions and assessment

| Study period | Enrolment $T_0$ | Allocation $T_1$ (8 weeks) | $T_2$ (16 weeks) | Close out $T_x$ (1 year) |
|--------------|----------------|---------------------------|-----------------|------------------------|
| **Time point** | **Eligibility screen** X | **Informed consent** X | **Experimental group** | **Control group** |
| **Enrolment** | **Tinnitus Functional Index** X | **Tinnitus Handicap Inventory—Screening version** X | **Insomnia Severity Index** X | **Cognitive Failures Questionnaire** X |
| **Interventions** | **Hearing Handicap Inventory—Screening version** X | **Screening version** X (weekly) | **Weekly** | **Hyperacusis Questionnaire** X |
| **Assessments** | **Tinnitus Handicap Inventory** X | **Tinnitus Functional Index** X | **Insomnia Severity Index** X | **Hearing Handicap Inventory—Screening version** X |
| **Satisfaction with Life** X | **Insomnia Severity Index** X | **Cognitive Failures Questionnaire** X | **Generalised Anxiety Disorder** X | **Patient Health Questionnaire** X |

**Figure 3** The processes to be evaluated following the study.

**CONTEXT**
- Reach: the extent to which the targeted population were involved
- Context: participant characteristics influencing the intervention

**IMPLEMENTATION**
- Recruitment: procedures used
- Maintenance: keeping participants involved
- Fidelity: extent to which the intervention was implemented as planned

**INTERVENTION**
- Dose delivered: module content
- Dose received: engagement with the resources

**OUTCOMES**
- Reach: participant’s perspectives
This particular tinnitus intervention has been selected due to the numerous potential benefits it may have. It is a standardised treatment, in which each participant has access to the same materials. The researchers have, however, carefully considered how to improve on methods used in similar previous studies and built on these. The following improvements will be made during this study. First, redesigning the module content and combining both CBT and audiology principles, thereby ensuring it is multidisciplinary in nature. Second, transforming the presentation of materials into an interactive e-learning version, which is visually stimulating and may help improve participant engagement. Third, using a main outcome measure that is specifically designed for measuring intervention effects. Fourth, assessing a range of outcomes to help identify for whom this intervention is most suited. Fifth, enabling the intervention to be presented by an Audiologist instead of a Psychologist. Finally, aiming for good participant retention by use of careful inclusion criteria and screening methods.

There are, however, potential barriers that can be forecasted for this study. Although according to the 2015 report from the Office of Statistics, 86% of the population in Great Britain have internet access, there is still a proportion who do not have access and who, therefore, not be able to participate in this study. Those without access may be older adults, which might impact the sample selected. There are also people with visual or motor disabilities who will be unable to use a computer effectively and who, therefore, may not be suitable for this intervention unless they get assistance. A further barrier is that participants will require motivation to complete the questionnaires and treatment modules. A limitation of the study design is that it is not possible to blind the researcher and participants during the intervention as they will know in which group they have been allocated. Bias will, however, be minimised by stratification and blinding during randomisation and data analysis.

Feasibility and uptake of such an intervention will be determined by this study. It is, however, encouraging that other internet-based studies run within the UK, for other health-related concerns, have reported sufficient interest. Owing to the multidisciplinary nature of the study, it furthermore has the prospect of determining the effects of the iCBT intervention on tinnitus outcomes, as well as on the person globally as various comorbid factors are being investigated.

The potential impact of this research is that it can change the way in which tinnitus services are delivered in the UK. If effective, iCBT may be suggested for certain tinnitus sufferers following their clinical examination. This will address differential clinical demands and reduce the number of tinnitus sufferers needing face-to-face consultations. This may in turn diminish the current burden on the National Health Service healthcare and lead to a significant potential cost saving to the health service. It may also make treatment available to many who are unable to access specialty tinnitus services.

If this intervention is feasible, future studies should focus on comparing iCBT to the usual tinnitus care in the UK. It is expected that the results of this study will become available early in 2017.

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