An analysis of the trends, characteristics, scope, and performance of the Zimbabwean pharmacovigilance reporting scheme

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Abstract
We aimed to determine the reporting trends and characteristics of Individual Case Safety Reports (ICSRs) from the Zimbabwean national pharmacovigilance system. ICSRs submitted to VigiBase, the World Health Organisation's ICSR database between January 1993 and December 2017 were retrospectively reviewed with respect to the suspected medicine, System Organ Class (SOC), adverse drug reaction (ADR) type and seriousness, Anatomic Therapeutic Chemical (ATC) group, age, and gender. In total, 4071 ICSRs were submitted to VigiBase from targeted spontaneous reporting (n = 2909; 71.5%), vaccine surveillance (n = 679; 16.7%), and passive spontaneous reporting (n = 483; 11.9%), respectively. The median age, ICSR completeness score and timeliness of reporting were 34.0 years (IQR: 14.0; 43.0), 0.90 (IQR: 0.70; 1.00), and 548.0 days (IQR: 266:1131), respectively. More than half of the ICRS were from female patients (n = 2233; 54.9%). Antiretrovirals, antibiotics, vaccines, and anti-tubercular medicines were reported in 62.9%, 27.9%, 16.7%, and 13.3% of submitted ICSRs, respectively. The most frequent ADRs involved the skin and subcutaneous systems (n = 1111; 20.5%), nervous system (n = 733; 13.5%), and gastrointestinal disorders system (n = 654; 12.1%). The number of ADRs reported for each patient was significantly related to the reported medicine's ATC category (P = .001. The number of ADRs was significantly related to the use of antiretroviral agents. In conclusion, Zimbabwe has made significant progress in establishing a functional pharmacovigilance system. However, the present system reports on a limited therapeutic spectrum of medicines and potentially underestimates the national ADR burden. Further work is required to strengthen the more sustainable spontaneous reporting system which potentially captures a variety of therapeutic classes.

Abbreviations: ADR, adverse drug reaction; AEFI, adverse events following immunization; ATC, anatomic therapeutic class; ICH, International Conference on Harmonisation; ICSR, individual case safety report; MCAZ, Medicines Control Authority of Zimbabwe; MEdDRA, Medical Dictionary For Regulatory Activities; PIDM, programme for international drug monitoring; PMS, post-marketing surveillance; PV, pharmacovigilance; SOC, system organ class; TSR, targeted spontaneous reporting; UMC, Uppsala Monitoring Centre; WHO, World Health Organisation.

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1 | INTRODUCTION

The safety information on any medicinal product initially derives from pre-clinical studies and randomized controlled trials during drug development. However, this information may not include all possible adverse drug reactions (ADRs) because of factors such as controlled clinical trial conditions and small sample sizes. Therefore, post-marketing surveillance (PMS) provides important, additional safety information from millions of patients. In light of this, the World Health Organisation (WHO) formed the Programme for International Drug Monitoring (PIDM) in 1968 to collect as many ADRs from diverse populations to best reflect and capture the aggregate safety profiles of individual medicines. This global ADR data are contained in VigiBase™, a WHO repository managed by the Uppsala Monitoring Centre (UMC). Analysis of VigiBase™ data allows for early detection of serious and/or unexpected ADRs in addition to drug risk-benefit analyses. The ADR burden in most Sub-Saharan Africa (SSA) remains unknown despite training and analytical support from the UMC. Developed countries contribute the majority of individual case safety reports (ICSRs) in VigiBase™, whilst Africa contributes a mere 0.88% of the cumulative global ADR data. Most of these ADRs are related to antibiotics in contrast to the rest of the world where cardiovascular and neurological medicine related ICSRs predominate. These observations reflect the unevenly distributed global disease burden, different drug utilization patterns, cultural norms, and medical practices. Furthermore, limited resources, government support and the over-reliance on donor funded public health programmes may explain the preponderance for antibiotic related ICSRs in Africa. Moreover 50% of SSA countries lack the legal mandate to monitor ADRs and/or engage market authorization holders in PMS activities. Consequently, the ADR profile of some medicines is inadequately reflected in their product characteristics.

Zimbabwe previously boasted one of Africa’s most robust healthcare systems, but over the past few years it has been stagnating due to decreasing government healthcare expenditure. HIV/AIDS and tuberculosis have also contributed to the strain on resources necessitating donor funding for service provision. However, pharmacovigilance activities for HIV and tuberculosis medicines have disproportionately been supported from donor funded public health programmes for the respective diseases. Non-communicable diseases such as diabetes mellitus and hypertension have been steadily increasing and are projected to increase markedly in people living with HIV. Due to limited pharmacovigilance funding, the majority of any collated ADRs are expected to be from the mandatorily reported ICSRs linked to the funded programmes.

Zimbabwe’s national pharmacovigilance scheme was established in 1993, but the country officially joined the PIDM in 1997. It currently utilizes passive spontaneous ADR reporting, vaccine safety surveillance and targeted spontaneous reporting (TSR) to collect unsolicited ADRs, adverse events following immunization (AEFIs) and anti-retroviral and/or anti-tubercular medicine related ADRs respectively. Stimulated reporting through TSR started in 2012 after its promulgation by the WHO as a simple, inexpensive PV tool to leverage existing public health and PV programmes. TSR aimed to increase ICSRs by mentoring practitioners in high case-load clinics whilst task shifting ADR reporting to non-physician healthcare practitioners. All collected ICSRs are verified and collated centrally by the Medicines Control Authority of Zimbabwe (MCAZ), the national medicines regulator before causality assessment and subsequent upload into VigiBase™.

Given the importance of continuous ADR data analysis, we set out to evaluate the trends and characteristics of Zimbabwean derived ICSRs in VigiBase™. We also aimed to compare the reporting patterns for AEFIs and ADRs across all therapeutic areas; and to determine the relationship between age, gender, drug anatomic therapeutic class (ATC), reporter type and the number of reported ADRs.

2 | MATERIALS AND METHODS

2.1 | Study design

A retrospective descriptive analysis of anonymized ICSR data from Zimbabwe collected during the period 1 January 1993 to 31 December 2017 was conducted. The ICSR data was extracted from VigiBase™ using VigiLyze®, the database’s search and analysis software tool on 2018-10-06 (dataset date: 2018-09-30). We included ICSRs meeting the minimum criteria for regulatory reporting in accordance with the International Conference on Harmonisation of Technical Requirements for Registration of Pharmaceuticals for Human Use (ICH) E2D guideline.

2.2 | Classification of ICSRs

Adverse drug reaction preferred terms (PTs) were classified according to the Medical Dictionary for Regulatory Activities (MedDRA) version 21.0 System Organ Class (SOC), whereas the ICHE2A guideline’s definitions were used to define serious ADRs. All PTs were mapped to the corresponding primary SOC using the Biopoint MedDRA ontology repository. Only the main SOC affected was coded for each ICSR. The information about the pharmacological subgroup was classified according to the Anatomical Therapeutic

**KEYWORDS**

adverse drug reaction, drug safety, pharmacovigilance, post-market surveillance, spontaneous reporting system
Chemical (ATC) Classification system at level 2 for the suspected medicines.\textsuperscript{23} In this system, drugs are divided into five different levels based on the system or organ on which they act; their chemical, pharmacological and therapeutic properties.\textsuperscript{24} These classification criteria are similar to those used by Ozcan et al and de Vries et al\textsuperscript{24,25}

### 2.3 | Statistical analysis

The de-duplicated, MedDRA version 21.0 coded ADR data were exported into a Microsoft Office Excel\textsuperscript{TM} package for further analysis (Microsoft Corporation, Redmond, WA, USA). The timeliness of reporting was calculated by subtracting the date of onset of ADR from the date of VigiBase\textsuperscript{TM} entry.\textsuperscript{26} Where the date for the onset of the ADR was incomplete, the first day of the month was used, otherwise the ICSR was excluded from timeliness calculations.\textsuperscript{26} VigiGrade\textsuperscript{TM} completeness scores for ICSRs were obtained from VigiLyze\textsuperscript{TM} measuring the completeness of time-to-onset, age, gender, indication, outcome, report type, dose, country, primary reporter, and comments.\textsuperscript{27}

Descriptive statistical methods were used to analyse the surveillance data. We used the ANOVA to compare continuous variables and the chi-square test or Fischer’s exact tests were used for categorical variables, as appropriate. Poisson regression analysis was done using the number of ADRs as the dependent variable and age, gender, ATC drug therapeutic class, number of drugs, and reporter type as independent variables. The Statistical Package for Social Sciences (SPSS) version 22.0 (IBM Corporation, Somers, NY, USA) and Stata 12 (StataCorp LLC, College Station, TX, USA) were used for the statistical analyses and for graphing the analyses respectively. All statistical tests were done at the 5% significance level.

### 2.4 | Ethical considerations

Ethical exemption for the study was granted by the Medical Research Council of Zimbabwe (MRCZ Ref: MRCZ/E/207). The exemption was granted because ICSR data collection is a routine surveillance programme which uses anonymized data.

### 3 | RESULTS

#### 3.1 | Characteristics of the pharmacovigilance system

A total of 4126 ICSRs were extracted from VigiBase\textsuperscript{TM} for the period under review. Of these, 4071 ICSRs met the inclusion criteria and the average number of ADRs per ICSR was 1.33 ± 0.70 and the median number of ADRs per ICSR was 1.0 (range: 1-7). The median time between the date of ADR occurrence and the date of ICSR entry in VigiBase\textsuperscript{TM} was 548.0 days (IQR: 266.0-1131.0). The median VigiGrade\textsuperscript{TM} completeness score was 0.90 (IQR: 0.70-1.00), indicating well-documented ICSRs according to Bergvall et al\textsuperscript{27} The majority of the ICSRs were collected through the TSR programme (n = 2909; 71.5%) and the vaccine safety surveillance programme (n = 679; 16.7%) as shown in Figure 1. Passive spontaneous ADR reporting contributed 483 (11.9%) ICSRs. Nurses (n = 2767; 68.0%) reported the highest number of ICSRs, followed by medical doctors (n = 989; 24.3%), pharmacists (n = 195; 4.8%), and consumers (n = 5; 0.1%).

#### 3.1.1 | Demographic characteristics

The demographic, reporter and reporting characteristics are shown in Table 1. A total of 2233 (54.9%) of the ICSRs were recorded for females and 1792 (44.0%) were for males indicating an overall 0.80 male:female ratio. The male:female ratio was greater than 1 in children below 5 years of age (1.18) and infants (1.09). The male to female ratio was highest in children equal to or under 1 year of age, 1.75 (42 male:24 female ICSRs). The median age was 34.0 years (IQR: 14.0; 43.0). The majority of the ICSRs were from adults (n = 2880; 68.7%) while pediatric patients (≤16 years) comprised (n = 1069; 26.2%). However, most of the ICSRs were observed in the 20 - 65 years age group (n = 2730; 67.0%), while 72 (1.8%) in adults older than 65 years of age.

#### 3.2 | Reporting trends

The annual number of ICSRs gradually increased during the study period. No reports were captured into VigiFlow\textsuperscript{®} in the calendar years 1994, 1997, and 2002. However, a marked increase in the number of reports was noted starting in 2003. The increase in reporting of ICSRs was particularly notable for anti-retroviral medicines (J05) and vaccines (J07) as shown in Figure 2.

#### 3.3 | Characteristics of the adverse drug reactions

The frequency of at least one serious ADR was 30.6% of the total ICSRs. Among serious ADRs, life threatening ADRs (n = 522; 12.8%)
were the most frequent followed by significant disability/incapacitation (n = 378; 9.3%), and hospitalization (n = 194; 4.8%). In general, males tended to have more serious ADRs compared to females. This was particularly notable for deaths and incapacitation. The outcomes of the ADRs were generally poor, with 1981 (48.6%) of ICSRs recorded as either "not yet recovered," 1787 (43.9%) or deaths 193 (4.7%). A total of 1156 (28.4%) were recorded as recovered whereas 935 ICSRs (23.0%) had no specified outcome (missing information). Children under one year had the highest death rate (14.2%; 56/395) followed by the 20-65 year age group (3.5%; 83/2370).

The most frequent ADRs by SOC involved the skin and subcutaneous systems (n = 1111; 20.5%), nervous system (n = 733; 13.5%), and gastrointestinal disorders (n = 654; 12.1%) as shown in Table 2. The skin and subcutaneous tissue disorders also had the highest number of reported PTs per SOC followed by the nervous and gastrointestinal systems respectively. The 10 most commonly reported PTs were rash, gynaecomastia, peripheral neuropathy, vomiting, diarrhoea, dizziness, injection site abscess, lipodystrophy acquired, Steven Johnson syndrome and anaemia. Bivariate analysis indicated that frequency of patients who experienced more than two ADRs was higher among patients who received vaccines (9.1%), antimycobacterial agents (11.1%), and anthelminthic agents (13.5%) compared to patients who received antiretrovirals (5.2%), antihypertensive agents (8.0%), and antibacterial agents (8.2%). Poisson regression analysis indicated that the number of ADRs a patient experienced was associated with the therapeutic class (ATC code) of the prescribed medicine (P = .001) as shown in Table 3. Patient age (P = .858), gender (P = .362), reporter qualification (P = .093), and the number of drugs a patient was on (P = .539) were not related to the number of ADRs the patient experienced.

### Characteristics of the drugs

The median number of recorded drugs per ICSR was 3.00 (IQR: 3.00; 4.00). A total of 14 medicines were suspected in the majority (n = 4072; 86%) of ICSRs. The most frequently suspected medicines were efavirenz (n = 761; 18.7%), nevirapine (n = 447; 11.0%), isoniazid (n = 379; 9.3%), stavudine (n = 350; 8.6%), zidovudine (n = 300; 7.4%), and measles vaccines (n = 289; 7.1%). Over the study period,
antiretrovirals, antibiotics, vaccines and anti-tubercular medicines were reported in 2560 (62.9%), 1137 (27.9%), 679 (16.7%), and 540 (13.3%) of submitted ICSRs, respectively, as indicated in Figure 3. The most common ATC class in children below 5 years of age were vaccines while the proportion of anti-retrovirals increased with patient age. ICSRs had a higher representation amongst females especially for anti-retroviral and anti-mycobacterial ATC classes compared to males who had a higher representation in the vaccine ATC class.

4 | DISCUSSION

Our analysis shows that the total number of collected ICSRs has been steadily increasing in line with African and international trends. The sharp increase in the number of ICSRs in 2004 may be explained by the increase in the number of public health programmes supported by development partners. However, marked increases in ICSRs mainly followed the introduction of active surveillance programmes in Zimbabwe. The cohort event monitoring (CEM) of artemisinin-based combination therapies (ACTs) surveillance programme was introduced in 2008 and ended in 2010 while the active surveillance programme for the influenza A (H1N1) vaccine was implemented between 2009 and 2012. However, the CEM data was not included in this study because it was captured into CemFlow® and therefore was not available in VigiBase. In addition, Zimbabwe introduced TSR of selected essential medicines, including antiretrovirals and antitubercular drugs in 2012. The TSR active ADR surveillance programme partially explains the sharper increases in ICSR submissions in 2012. However, from in-house experience, ICSR entry into VigiFlow depended on adequate staffing potentially explaining the observed ICSR trends. ICSRs were mainly submitted by healthcare workers in contrast to European trends where lawyers and the pharmaceutical industry contribute a significant proportion to the total number of submitted ICSRs. The latter has mostly been driven by strict legal reporting requirements which are not present in most African nations.

The most frequently reported SOCs were skin and subcutaneous tissue disorders, gastrointestinal disorders, general disorders and administration site conditions, and the nervous system disorders.
This is similar to the Nigerian, Togolese, Colombian, French, Turkish and overall global findings. However, some differences are notable between the Zimbabwean and French PV schemes on the prominence of blood, lymphatic system, and immune system disorders in the latter. The observed differences may be due to the varied ADR reporting practices in addition to differences in the most administered ATC groups within these countries. Moreover most ICSRs were reported for medicines in the ATC group J with a significantly higher proportion of anti-retrovirals as previously shown in other low income countries such as Togo and Nigeria. In contrast, cardiovascular and nervous system medications were more frequently reported in developed countries, such as France and Germany. The ADRs in Colombia and Portugal were mostly attributed to anti-infectives for systemic use followed by nervous and cardiovascular system medicines.

The quality of ICSR reporting as indicated by ICSR timeliness and completeness is comparable to the global average but lags behind some established European pharmacovigilance schemes. The median time between the date of onset of an ADR and the date of reporting to VigiBase of 2.35 years is comparable to the global average of 2.40 years. However, the median timeliness was much longer than the 73 days observed in France and the 330 days observed in Uganda. Timeliness has been noted to differ depending on the reporter qualification, the administered ATC groups and the number of reported ADRs per ICSR. Furthermore, the median ICSR completeness score of 0.80 is significantly higher than the 0.41 for VigiBase as a whole, but comparable to the yearly averages observed in the Indian PV scheme. Regular refresher ADR reporting trainings for healthcare practitioners in Zimbabwe may explain the observed differences. Deficiencies

### Table 3: Poisson regression analysis results for the number of reported ADRs/ICSR

| Parameter | Hypothesis test | 95% Wald CI for RR |
|-----------|----------------|-------------------|
| Number of outcomes | 6.407 | .093 |
| Number of outcomes | 1.078 | .510 | 2.280 |
| Number of outcomes | 1.046 | .495 | 2.212 |
| Number of outcomes | 1.230 | .578 | 2.617 |
| Number of outcomes | 1. | . | . |
| Number of outcomes | 1.027 | .970 | 1.087 |
| Number of outcomes | 1. | . | . |
| Number of recorded drugs | 8.930 | .539 |
| Number of recorded drugs | .445 | .143 | 1.389 |
| Number of recorded drugs | .467 | .149 | 1.459 |
| Number of recorded drugs | .448 | .144 | 1.398 |
| Number of recorded drugs | .441 | .141 | 1.374 |
| Number of recorded drugs | .449 | .144 | 1.401 |
| Number of recorded drugs | .483 | .154 | 1.517 |
| Number of recorded drugs | .420 | .132 | 1.335 |
| Number of recorded drugs | .468 | .148 | 1.484 |
| Number of recorded drugs | .480 | .143 | 1.612 |
| Number of recorded drugs | 1.065 | .265 | 4.285 |
| Number of recorded drugs | 1. | . | . |
| ATC code | 23.044 | .001 |
| ATC code | 1.000 | .998 | 1.002 |

ATC code = C02 | 23 | .500 | .479 | .876 | .606 | 1.265 |
ATC code = J01 | 124 | 3.669 | .055 | .812 | .657 | 1.005 |
ATC code = J04AM | 403 | 2.591 | .107 | .866 | .727 | 1.032 |
ATC code = J05AR | 2372 | 10.419 | .001 | .771 | .658 | .903 |
ATC code = J07 | 637 | .448 | .503 | .941 | .787 | 1.125 |
ATC code = P02 | 113 | .149 | .699 | .956 | .763 | 1.199 |
ATC code = others | 114 | . | 1 | . | . |
Patient age | 3786 | .032 | .858 | 1.000 | .998 | 1.002 |
The ATC code had an influence on the number of reported ADRs whilst patient age, gender and the number of prescribed medicines had no influence as previously observed elsewhere. The number of ADRs was significantly related to the use of antiretroviral agents. ICSRs were observed to be more frequent in females regardless of the male to female gender ratio in the population as observed in Nigeria, Israel, Italy and the USA. However, ICSRs on deaths and significant disability/incapacitation were more common in males as previously observed in Italy and Sweden. In contrast to the general trend, the male to female ratio was higher in children under 5 years of age and even higher in infants as expected from previous studies.

In addition, it was noted that ICSRs were more common in the 20–65 year age group possibly due to multiple drug therapy secondary to anti-retroviral and anti-tubercular drugs co-prescription. This is supported by similar observations in Nigeria where comparatively higher HIV prevalence was noted in this age group. The overall predominance of female ICSRs could be due to underlying physiological differences, females’ higher medical-care seeking behavior and use of more prescriptions compared to males.

Despite the notable growth in the Zambian pharmacovigilance scheme, mandatory reporting by market authorization holders should be considered in order to increase ICSR reporting. In addition, decentralization of ICSR collection to provincial and district healthcare facilities and the utilization of mobile phone ADR reporting platforms can strengthen the collation and completeness of ADR data. The latter measures may reduce barriers to reporting and extend the reach and availability of ADR reporting platforms to all relevant stakeholders including patients as observed in Kenya. Furthermore, it is critical to provide relevant feedback to healthcare practitioners to provide meaning and an appreciation of ICSR reporting. This could subsequently help stimulate ICSR reporting, thereby increasing the numbers and spectrum of the submitted ICSRs. It is important to regularly review the performance of the scheme as a basis for informing regulatory measures. The spectrum of ICSRs could also be increased by encouraging the submission of ADRs from other therapeutic areas beyond anti-infectives.

The inherent limitations of a study based on spontaneous ADR reports include under-reporting and the inability to calculate incidence rates because of the unavailability of exposure/denominator data. While ICSR data is voluntarily submitted, stimulated reporting through TSR introduced bias especially regarding the observed ADR, ATC, and SOC profiles. The ICSRs were skewed towards anti-retroviral drugs, anti-tubercular medicines and vaccines as would be expected given the dominance of public health programmes targeting HIV, tuberculosis, and immunization. The observed profiles may also indicate the prescription patterns, prevalent diseases and acute, severe and/or well-known ADRs. The major strength of the current study is in highlighting areas in need of improvement and how the current set-up compares to international regulatory pharmacovigilance schemes.

In conclusion, significant progress has been made in establishing a functional pharmacovigilance system. However, the present pharmacovigilance scheme is based on a limited therapeutic spectrum of medicines and may underestimate the ADR burden. In addition, there is need to improve the timeliness of ICSRs to enable further case reviews and timely signal detection.

CONFLICT OF INTEREST

There are no competing interests to declare.

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Data from the WHO Collaborating Centre for International Drug Monitoring were used. The information is not homogeneous at least with respect to origin or likelihood that the pharmaceutical product caused the adverse reaction. The information in this paper does not represent the opinion of the World Health Organization.

AUTHOR CONTRIBUTIONS

JM conceived and designed the study and contributed to data collection, data analysis, and interpretation of the results. SK contributed to data analysis and interpretation. Both authors reviewed the manuscript and approved the final version of the manuscript.

DATA SHARING AND ACCESSIBILITY

The data that support the findings of this study are available from the corresponding author upon reasonable request.

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**SUPPORTING INFORMATION**

Additional supporting information may be found online in the Supporting Information section.