Purpose
In most forms of open angle glaucoma, the trabecular meshwork is the main barrier for aqueous humor outflow, causing elevated intraocular pressure (IOP). The Trabectome is a minimal invasive device for the surgical treatment of open angle glaucoma, particularly eliminating the juxtacanalicular meshwork. This study was conducted to compare the effectiveness and complication profile among different glaucoma subgroups.

Methods
Single center prospective observational study. There were 557 consecutive eyes of 487 patients included in this study. Trabectome surgery was performed either alone or in combination with cataract surgery. Intraoperative and postoperative complications were documented systematically. Main outcome measures were IOP reduction over time and the preoperative and postoperative number of IOP-lowering medications. Due to subgroup sizes, only data from eyes with primary open angle glaucoma and pseudoexfoliation glaucoma were processed for statistical analysis.

Results
For the 261 eyes classified as primary open angle glaucoma, preoperative IOP was 24±5.5 mmHg (mean ± SD) under 2.1±1.3 IOP-lowering medications. After a mean follow-up of 204±238 days, IOP was reduced to 18±6.1 mmHg, and medication was reduced to 1.2±1.1. For the 173 eyes classified as pseudoexfoliation glaucoma, after a mean follow-up of 200±278 days, IOP was reduced from 25±5.9 mmHg to 18±8.2 mmHg, and medication was reduced from 2.0±1.2 to 1.1±1.1. A Cox proportional hazards model hinted forward superiority of the combined surgery cases (Trabectome+Phaco+intraocular lens) in comparison to Trabectome surgery only in phakic or pseudophakic eyes. No serious complications were observed.

Conclusions
Minimal invasive glaucoma surgery with the Trabectome seems to be safe and effective. The subgroup analysis of different kinds of open angle glaucomas presented in this study may help in first-line patient selection. The lack of ocular surface alterations makes it a valuable addition to glaucoma surgery.

Keywords
Primary and secondary open angle glaucoma · Trabectome · Minimal invasive · Combined surgery

Introduction
Glaucoma is one of the leading causes for irreversible blindness worldwide [1]. So far, the only proven therapy to slow down the process of optic nerve atrophy is to lower intraocular pressure. Classic glaucoma filtration surgery by means of trabeculectomy or episcleral aqueous drainage implants is the most effective way of lowering intraocular pressure permanently down to low-normal levels. Although both surgical procedures are well established, potentially dangerous intraoperative and postoperative complications might appear in non-negligible frequency. This has led to the development of non-penetrating glaucoma surgery (NPGS) and, more recently, minimal invasive glaucoma surgery. The minimal invasive surgical approach does not alter the conjunctiva, acts in a postoperatively closed and therefore stable eye, offers an excellent opportunity for combined cataract-glaucoma surgery and is further characterized by a minimized intraoperative and postoperative risk profile.

The Trabectome uses a minimal-invasive, gonioscopic clear-cornea surgical approach to reduce outflow resistance.
by removing the juxtacanalicular trabecular meshwork. This is altered pathologically in different forms of glaucoma, acting more as a barrier for the aqueous than as an active and regulative tissue as it is known to be in healthy eyes. Collector channels in the sceral wall of Schlemm’s canal are exposed to the anterior chamber and recruited for aqueous drainage into the scleral and episcleral venous plexus.

This prospective, non-comparative study is to report comprehensive follow-up data after Trabectome surgery in different forms of open angle glaucoma.

Methods

Ethics statement This prospective non-randomized observational study was approved by the local ethics committee (University of Freiburg Ethics Committee No. 235/10_160678) and followed the regulations of GCP and the Declaration of Helsinki. It was designed as an analysis of effectiveness contrary to a controlled efficacy analysis.

After written informed consent, a total of 557 consecutive eyes of 487 Caucasian patients were included from June 2009 to June 2013. In the eyes included, intraocular pressure (IOP) was uncontrolled under topical and/or systemic antiglaucoma medication. Target pressure for these eyes was a reduction in intraocular pressure by at least 20 % from baseline. Patients presenting with a concomitant cataract below 15 mmHg were considered as not suitable for inclusion into this study. Patients presenting with a concomitant cataract (visual acuity ≤20/32) were offered combined surgery (Trabectome + phacoemulsification + intraocular lens).

Surgery was performed by two surgeons (JFJ and MN) using the Trabectome® system, including the Trabectome single-use handpiece including an irrigation-aspiration (I/A) system (Neomedix Inc., Tustin, USA). In combined surgery, the Trabectome surgery was performed prior to phacoemulsification. After topical anæsthesia using proxymetacainehydrochloride eye drops (Proparakain-POS 0.5 %®, Ursapharm, Germany), a 1.7 mm clear cornea tunnel was made; lidocain 1 % (Xylocaín®, AstraZeneca, Germany) was instilled into the anterior chamber for intraocular anæsthesia.

A methylcellulose-based viscoelastic (Ocucoat®, Bausch & Lomb) was used in all cases to stabilize the anterior chamber during surgery as recommended by the manufacturer of the Trabectome. Surgery was performed under gonioscopic control using the modified Swan-Jacob-Lens. The trabecular meshwork was removed over 90 to 120° starting with a power of 0.8 W, increasing in steps of 0.1 until the electrosurgical effect allowed smooth removal of the juxtacanalicular tissue without tearing. Viscoelastic was removed using the provided I/A-system with a modified Simco-cannula.

Pilocarpine 2 % eye drops, a topical steroid and antibiotic ointment were instilled after surgery. All eyes were given Pilocarpine 2 % tid for the first week after surgery, and bid thereafter for four more weeks. Steroid eyedrops (prednisolone 1 %) were given five times daily or more frequently in the case of stronger postoperative intraocular reflux bleeding during the first week after surgery. Steroids were tapered over four weeks after surgery. Intraocular pressure (IOP)-lowering medication was given topically or systemically according to individual postoperative IOP-levels.

Intraocular pressure was recorded in a masked fashion using Goldmann application tonometry. Values were recorded by an independent person. IOP measurements were recorded on postoperative day one, after one week, one month, and every three months thereafter.

Absolute success was defined as a reduction of IOP from baseline of at least 20 % without the need of additional medication. Qualified success was a reduction of IOP from baseline of at least 20 % with or without the need for topical antiglaucomatous medication. Data was collected using an intranet-based relational database.

To account for censoring, we estimated the cumulative percentages of absolute and qualified success as well as the failure rates over time with the Kaplan-Meier method. Differences between subgroups were tested with the logrank statistics. Statistical significance was assumed for \( p \leq 0.05 \). We fitted a Cox proportional hazards model to assess the risk factors for failure or subsequent glaucoma surgery in that eye. We, i.e., tested whether cataract surgery at time of Trabectome surgery is beneficial contrary to performing Trabectome in pseudophacic eyes against the background of age at time of surgery and glaucoma entities.

Results

A total of 557 eyes of 487 patients have been included in this comprehensive effectiveness analysis. Please see Table 1 for the detailed demographic data and descriptive statistics on all patients. Due to the small sample size of most glaucoma entities, further subgroup analysis was only performed for the eyes classified as Primary Open Angle Glaucoma (POAG, \( n=261 \)) and the eyes classified as Pseudoexfoliation Glaucoma (XFG, \( n=173 \)).

Figure 1 shows the Kaplan-Meier cumulative survival analysis, displaying absolute success (Fig. 1a; \( \Delta \text{IOP} \geq -20 \) % from baseline, no medication) and qualified success (Fig. 1b; \( \Delta \text{IOP} \geq -20 \) % from baseline, topical medication allowed) over time. For qualified success, the difference between the two groups proved to be statistically significant at \( p = 0.01 \).

Intraocular pressure (IOP) and number of topical medications (qualified success) over the follow-up period...
Table 1  Demographic data and descriptive statistics for all patients included: Primary Open Angle Glaucoma (POAG), Pseudoexfoliation Glaucoma (XFG), Myopia associated Glaucoma (Myopic), Uveitic secondary Glaucoma (Uveitic), Steroid-induced Glaucoma (Steroid), Normal Tension Glaucoma (NTG), Ocular Hypertension (OHT), Dysgenetic Glaucoma (Dysgenetic), Pigmentary Glaucoma (Pigment), Traumatic secondary Glaucoma (Trauma) (*) marks glaucoma subgroups that have not been included for further statistical analysis due to small group size.

|                    | POAG | XFG | Myopic | Uveitic | Steroid | NTG | OHT | Dysgenetic | Pigment | Trauma |
|--------------------|------|-----|--------|---------|---------|-----|-----|------------|---------|--------|
| Number of patients included | n    |     |        |         |         |     |     |            |         |        |        |
| Age [years]        | 70.6±10.6 | 74.1±9.3 | 59.6±9.5 | 44.2±16.2 | 53.4±15.3 | 72.0±7.0 | 64.8±10.8 | 46.3±12.1 | 53.5±10.6 | 46.3±30.5 |
| Female n           | 137 (52 %) | 96 (55 %) | 20 (65 %) | 10 (45 %) | 7 (37 %) | 11 (85 %) | 7 (3 %) | 4 (31 %) | 4 (50 %) | 1 (25 %) |
| Cup-disc-ratio     | 0.7±0.1 | 0.72±0.2 | 0.8±0.1 | 0.7±0.2 | 0.6±0.2 | 0.8±0.1 | 0.5±0.1 | 0.6±0.2 | 0.7±0.2 | 0.4±0.3 |
| Phakic at time of surgery n | 78 (31 %) | 57 (34 %) | 13 (42 %) | 9 (43 %) | 10 (56 %) | 7 (54 %) | 6 (50 %) | 10 (83 %) | 6 (75 %) | 2 (50 %) |
| Pseudophakic at time of surgery n | 72 (28 %) | 43 (25 %) | 13 (42 %) | 9 (43 %) | 10 (56 %) | 7 (54 %) | 6 (50 %) | 10 (83 %) | 6 (75 %) | 2 (50 %) |
| Combined surgery phaco + trabecome n | 105 (40 %) | 70 (41 %) | 5 (16 %) | 3 (14 %) | 1 (6 %) | 4 (31 %) | 4 (33 %) | 0 | 0 | 0 |
| Surgery on both eyes n | 73 (28 %) | 29 (17 %) | 10 (32 %) | 5 (23 %) | 4 (21 %) | 6 (46 %) | 5 (38 %) | 7 (54 %) | 1 (12 %) | 0 |
| Mean IOP preop. [mmHg] | 24.2±5.5 | 25.0±5.9 | 24.8±4.4 | 31.7±10.7 | 32.1±10.3 | 18.9±3.6 | 23.8±8.0 | 26.3±5.5 | 23.2±5.3 | 27.0±12.0 |
| Mean medication preop. n | 2.1±1.3 | 2.0±1.2 | 2.2±1.1 | 1.7±1.3 | 1.6±1.2 | 2.7±1.2 | 1.7±1.4 | 2.0±1.2 | 2.0±1.3 | 1.8±1.3 |
| Mean follow-up [days] | 204.1±237.8 | 200.1±278.5 | 235.9±286.7 | 114.1±149.6 | 185.6±249.7 | 426.5±461.9 | 186.6±220.9 | 291.6±422.5 | 213.2±164.2 | 409.1±261.7 |
| Mean IOP postop. [mmHg] | 18.2±6.1 | 17.6±8.2 | 18.8±6.8 | 25.7±11.9 | 20.9±8.9 | 15.4±4.0 | 17.5±6.2 | 15.7±6.6 | 19.1±6.9 | 25.5±17.2 |
| Mean medication postop. n | 1.2±1.1 | 1.1±1.1 | 1.3±1.2 | 1.6±1.1 | 1.3±1.1 | 1.5±1.3 | 0.9±0.6 | 1.5±1.1 | 2.1±1.3 | 1.3±1.5 |

(*) marks glaucoma subgroups that have not been included for further statistical analysis due to small group size.
Groups are sub-classified as phakic or pseudophakic at the time of Trabectome surgery, or having undergone combined Trabectome – cataract (Trabectome + phaco + intraocular lens) surgery.

We further analyzed the effectiveness after Trabectome–only surgery in phakic and pseudophakic eyes, as well as the effectiveness of the combined surgery (Trabectome + Phacoemulsification + intraocular lens implantation). A Cox proportional hazards model (corrected for age and lens state) hints towards superiority of the combined surgery over the Trabectome-only surgery when performed in either phakic or pseudophakic eyes (Table 2).

No severe intraoperative and postoperative complications were observed. Minor events included reflux bleeding that occurred in 92 % of patients and resolved spontaneously. Only two patients needed one lavage of the anterior chamber to remove the blood. This was not associated with failure. However, there was one case of intraoperative ciliolenticular block that occurred during anterior chamber lavage with acetylcholine (Miochol-E®, Bausch & Lomb). It resolved under postoperative topical cycloplegic treatment. No postoperative hypotony (defined as an IOP<6 mmHg) was observed. Eight percent of patients presented with an acute postoperative rise in IOP (>30 mmHg) that was controlled with temporary systemic carboanhydrase inhibitors (CAI). Three patients developed clinically evident cystoid macular edema after combined surgery that resolved under topical anti-inflammatory treatment (prednisolone and diclofenac eye-drops) and systemic carboanhydrase inhibitor. IOP values measured under systemic CAI therapy were not collected for statistical analysis.

For the patients of failed Trabectome surgery, a subsequent trabeculectomy with Mitomycin C was performed with a formerly untouched conjunctiva due to uncontrolled IOP. In two patients, a second Trabectome approach was performed but did not result in any further IOP reduction. In both cases, this was followed by a trabeculectomy.

Discussion

In this prospective, noncomparative study, the Trabectome seems to be a safe method of minimal invasive glaucoma surgery. Our data also hint towards the effectiveness in reducing IOP and postoperative number of medications.

In the 261 consecutive eyes classified as primary open angle glaucoma, we reached an overall IOP reduction of 25 %, with a simultaneous reduction in antiglaucoma medication of 43 %. For the 173 eyes classified as pseudoexfoliation glaucoma, we found an overall IOP reduction of 30 % with a simultaneous reduction in medication of 44 %. The Cox proportional hazards model correcting for age and lens state hinted towards
superiority of the combined surgery cases (Trabectome + Phaco + IOL), with a trend towards younger age being a risk factor for subsequent glaucoma surgery.

In the literature, the largest published data pool consists of 1127 eyes, a mixed population of different subgroups of open angle glaucomas [2]. These data were collected and published in affiliation with Neomedix Inc. In 738 of these eyes, only Trabectome surgery (without cataract extraction) was performed. After two years of observation, the IOP was reduced by 40% (n=46), medication could be reduced by 60%. In 366 of the eyes, Trabectome surgery was performed in combination with cataract surgery. Starting
with a lower baseline IOP, it was reduced by 20% with a concomitant reduction in medication by 57%. Also, 78% of all patients had intraoperative reflux bleeding from the collector channels. During the follow-up period, 5.9% of patients had to be converted to trabeculectomy, and 1.6% needed glaucoma implant surgery due to uncontrolled IOP.

In this data report, no subgrouping of the different forms of glaucoma was provided.

**Fig. 3** a Postoperative IOP data (ordinate [mmHg]) over time (abscissa [days after surgery]) from eyes classified as XFG, given separately for the eyes being phakic or pseudophakic at the time of Trabectome surgery, and for the eyes having undergone combined cataract and Trabectome surgery. Time ‘0’ gives the preoperative IOP value. N (below abscissa) gives the number of patients included at each time point. b Qualified success: Number of postoperative topical medications needed (ordinate [n]) over time (abscissa [days after surgery]) from eyes classified as XFG, given separately for the eyes being phakic or pseudophakic at the time of Trabectome surgery, and for the eyes having undergone combined cataract and Trabectome surgery. Time ‘0’ gives the preoperative number of topical medications.
was reduced from 25.5±8 mmHg to 16.8±4 mmHg (combined surgery cases were included. After one year, IOP in the pseudophakic state as the reference level. This rules out the finding. However, the Cox proportional hazards model took phacoemulsification in its own right. This could bias our better IOP-lowering effect might in part be caused by the phacoemulsification in its own right. This could bias our finding. However, the Cox proportional hazards model took the pseudophakic state as the reference level. This rules out the intraocular lens as confounder.

Of all eyes that failed, 56 eyes of 54 patients underwent mitomycin-C augmented filtration surgery, i.e., trabeculectomy. It is reported in the literature, that filtration surgery after Trabectome surgery is not affected in its surgical outcome and success rates [5]. We also did not see a higher complication or failure rate than that reported in the literature for primary mitomycin-C augmented trabeculectomy [6–8].

As Trabectome surgery does not alter the ocular surface (this in contrast to nonpenetrating glaucoma surgery, filtration surgery or drainage implants), it is of particular interest for all patients suffering from immunologic ocular surface diseases or having undergone penetrating keratoplasty. Further, it is known from the literature that over 80 % of glaucoma patients suffer from severe ocular surface disturbances due to chronic application of several antiglaucoma drops [9–11]. Early, minimal invasive surgery might be a good opportunity to ease and improve the therapy for those patients [12, 13].

Glaucoma surgery of the juxtacanalicular trabecular meshwork aims to overcome the outflow resistance of a pathologically altered tissue [14]. Morphological changes of extracellular matrix and trabecular cells have been well characterized [15–18]. With the Trabectome, the scleral wall of Schlemm’s canal with its collector channel openings is exposed to direct aqueous flow. The theory that the main outflow resistance lies in the inner wall of Schlemm’s canal would suggest an inevitable, significant IOP reduction after surgery. Yet, all clinical data show limited success rates in almost all forms of open angle glaucoma. It is of particular interest that patients with a widely opened Schlemm’s canal on gonioscopy and OCT can present with both target as well as high IOP levels. The introduction of high-resolution imaging techniques has led to new insights into the anatomical structures beyond Schlemm’s canal. Applying both Micro-CT and spectral-domain OCT on human eyes, they are able to reveal highly complex patterns of collector channels as well as very irregular intracleral-and episcleral outflow vessels [19–21]. Further experimental studies will be essential to better understand the transport and resorption of aqueous humor across the collector channels and the complex downstream draining and vascular system. This knowledge will be crucial to better understand and predict success and failure of angle surgery with the Trabectome.

Due to the study design as a prospective observational study with the focus on the effectiveness of the Trabectome in different forms of open angle glaucomas, there was no randomization and no control population included. One limitation of this study is the inclusion of both eyes of several individuals (n = 70 among all subgroups). Nevertheless, their absolute numbers among the POAG and XFG groups are too small to cause statistically significant interference. Further, the limited numbers in many of the investigated subgroups might be a limiting factor of this study. Therefore, these subgroups have been excluded from statistical analysis. However, in

### Table 2 Cox proportional hazards model for surgical failure (POAG and XFG).

| HR    | CI lower 95 | CI upper 95 | p     |
|-------|-------------|-------------|-------|
| Phakic| 1.12        | 0.54        | 2.29  | 0.759 |
| Combined | 0.20        | 0.07        | 0.62  | 0.005 |
| Age   | 0.98        | 0.96        | 1.01  | 0.242 |

Yet another study with comparable preoperative IOP-values reports on the 1-year success rate after Trabectome surgery alone and after combined surgery. In the Trabectome group (n = 538), intraocular pressure was reduced by 31 % (26.3±7.7 mmHg → 16.6±4 mmHg), the concomitant medications by 28 % (n = 2.9±1.3 → n = 2.1±1.4). In the combined surgery group (n = 290), the reduction in IOP was only 23 % (to 15.6±3.7 mmHg), with a preoperative baseline of 20.2±6 mmHg. Medication was reduced by 33 % (n = 2.5±1.1 → n = 1.7±1.3). 65 % of the Trabectome group and 87 % of the phaco-Trabectome group met the criterion of qualified [3].

Ting et al., compared the outcomes of eyes classified as POAG or XFG, either after Trabectome surgery alone or after combined surgery by a retrospective, central database analysis [4]. For POAG, 450 Trabectome only and 263 combined surgery cases were included. After one year, IOP was reduced from 25.5±8 mmHg to 16.8±4 mmHg (−34 %) and from 19.9±5 mmHg to 15.6±3 mmHg (−22 %), medication was reduced by 18.5 % and 29 %, respectively. For XFG, in the 67 Trabectome only eyes, IOP was reduced by 45 % from 29.0±8 mmHg to 16.1±4 mmHg, in the 45 combined cases by 35 % from 21.7±8 mmHg to 14.2±3 mmHg after one year. Medication was reduced by 29 % and 36 %, respectively.

The published results are in agreement with the results from our study in terms of overall reduction in IOP and medications (25 % and 43 % for POAG and 30 % and 44 % for XFG, respectively). In a Cox proportional hazards model correcting for age and lens-state, in our study group there was a significant superiority in terms of sparing further glaucoma surgery for the combined Trabectome + phaco surgery in comparison with Trabectome surgery alone in eyes being either phakic or pseudophakic at the time of operation. The better IOP-lowering effect might in part be caused by the phacoemulsification in its own right. This could bias our finding. However, the Cox proportional hazards model took the pseudophakic state as the reference level. This rules out the intraocular lens as confounder.

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order to prevent publication bias, evaluating a relational database requires to at least descriptively reporting all data available. More data is required to further validate our first results and trends.

The results of our study confirm that minimal invasive glaucoma surgery with the Trabectome offers a valuable addition to the armamentarium of glaucoma surgery. The confirmation is convincing because of the facts of a fast operation in topical anesthesia, the low risk and complication profile, and the remaining option for filtration surgery, if necessary. The achievable target pressure makes it a good option for early surgery in eyes with moderate optic disc damage. It might also be considered to reduce topical antiglaucomatous therapy. Consequently, it should not be seen as an alternative to filtration surgery in advanced cases, neither regarding the achievable target pressure nor the definition of surgical success. It proved to be a good option for the combined cataract–glaucoma surgery.

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