Target-side Generation of Prepositions for SMT

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Abstract

We present a translation system that models the selection of prepositions in a target-side generation component. This novel approach allows the modeling of all subcategorized elements of a verb as either NPs or PPs according to target-side requirements relying on source and target side features. The BLEU scores are encouraging, but fail to surpass the baseline. We additionally evaluate the preposition accuracy for a carefully selected subset and discuss how typical problems of translating prepositions can be modeled with our method.

1 Introduction

The translation of prepositions is a difficult task for machine translation; a preposition must convey the source-side meaning while also meeting target-side constraints. This requires information that is not always directly accessible in an SMT system. Prepositions are typically determined by governors, such as verbs (to believe in sth.) or nouns (interest in sth.). Functional prepositions tend to convey little meaning and mostly depend on target-side restrictions, whereas content-bearing prepositions are largely determined by the source-side, but may also be subject to target-side requirements, as in the following example: go to the cinema/to the beach $\rightarrow$ ins Kino\slash an den Strand gehen.

In this paper, we treat prepositions as a target-side generation problem and move the selection of prepositions out of the translation system into a post-processing component. During translation, we use an abstract representation of prepositions as a place-holder that serves as a basis for the generation of prepositions in the post-processing step. In this step, all subcategorized elements of a verb are considered and allotted to their respective functions – as PPs with an overt preposition, but also as NPs with an “empty” preposition, e.g. to call for sth. $\rightarrow$ \emptyset etw. erfordern. In a standard SMT system, subcategorization is difficult to capture in the language model or by the translation rules if the verb and its subcategorized elements are not adjacent.

In the following, we outline a method to handle prepositions with a target-side generation model in an English-German morphology-aware SMT system. We study two aspects: (i) features for a meaningful abstract representation of prepositions and (ii) how to predict prepositions in the translation output using a combination of source and target-side information. In addition, we compare prepositions in the machine translation output with those in the reference translation for a selected subset. Finally, we discuss examples illustrating typical problems of translating prepositions.

2 Related Work

Most research on translating prepositions has been reported for rule-based systems. Naskar and Bandyopadhyay (2006) outline a method to handle prepositions in an English-Bengali MT system using WordNet and an example base for idiomatic PPs. Gustavii (2005) uses bilingual features and selectional constraints to correct translations in a Swedish-English system. Agirre et al. (2009) model Basque prepositions and grammatical case using syntactic-semantic features such as subcategorization triples for a rule-based system which leads to an improved translation quality for prepositions. Shilon et al. (2012) extend this approach
with a statistical component for ranking translations. Weller et al. (2014) use noun class information as tree labels in syntactic SMT to model selectional preferences of prepositions. The presented work is similar to that of Agirre et al. (2009), but is applied to a fully statistical MT system. The main difference is that Agirre et al. (2009) use linguistic information to select appropriate translation rules, whereas we generate prepositions in a post-processing step.

A related task to generating prepositions is the generation of determiners, which are problematic when translating from languages without definiteness morphemes, e.g. Czech or Russian. Tsvetkov et al. (2013) create synthetic translation options to augment a standard phrase-table. They use a classifier trained on local contextual features to predict whether to generate or remove determiners for the target-side of translation rules. Another related task is error correction of second language learners, e.g. Rozovskaya and Roth (2013), which also comprises the correction of prepositions.

In addition to the standard evaluation metric BLEU, we evaluate the accuracy of prepositions in cases where the governing verb and governed noun in the translation output match with the reference translation. Conceptually, this is loosely related to semantically focused metrics (e.g. MEANT, Lo and Wu (2011)), as we go beyond a “flat” n-gram matching but evaluate a meaningful entity, in our case a preposition-noun-verb triple.

### 3 Methodology

Our approach is integrated into an English-German morphology-aware SMT system which first translates into a lemmatized representation with a component to generate fully inflected forms in a second step, an approach similar to the work by Toutanova et al. (2008) and Fraser et al. (2012). The inflection requires the modeling of the grammatical case of noun phrases (among other features), which corresponds to determining the syntactic function. Weller et al. (2013) describe modeling case in SMT; we want to treat all subcategorized elements of a verb in one step and extend their setup to cover the prediction of prepositions in both PP and NPs (i.e., the “empty” preposition).

#### 3.1 Translation and Prediction Steps

To build the translation model, we use an abstract target-language representation in which nouns, adjectives and articles are lemmatized and prepositions are substituted with place-holders. Additionally, “empty” place-holder prepositions are inserted at the beginning of noun phrases. To obtain a symmetric data structure, place-holders for “empty” prepositions are also added to source NPs.

When generating surface forms for the translation output, a phrase containing a place-holder can be realized as a noun phrase (with an “empty” preposition) or as an overt prepositional phrase (by generating the preposition’s surface form).

Figure 1 illustrates the process: for the English input with extra null-prepositions (column 1), the SMT system outputs a lemmatized representation with place-holder prepositions (column 2). In a first step, prepositions and case for the SMT output are predicted (column 3). Then, the three remaining inflection-relevant morphological features number, gender and strong/weak are predicted on “regular” sentences without place-holders, given

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**Figure 1:** Prediction of prepositions, morphological features and generation of inflected forms for the lemmatized SMT output. German cases: Acc-Accusative, Nom-Nominative, Dat-Dative, Gen-Genitive.
the prepositions from the previous step (column 4). In the last step, fully inflected forms\(^2\) are produced based on features and lemmas (column 5). As the inflected forms are generated at the end of the pipeline, portmanteau prepositions, i.e. prepositions merged with an article in certain conditions, such as \(zu+\text{dem}=\text{zum (to+the)}\), are easily handled.

Due to the lemmatized representation, all subcategorized elements of a verb are available in an abstract form and can be allotted to their respective functions (subject, object, PPs) and be inflected accordingly. Furthermore, the generation of (functional) prepositions is independent of structural mismatches of source and target side: for example, as translation of \(\text{to pay attention to sth.}\), both \(\text{auf etw. achten}\) and \(\emptyset\text{ etw. beachten}\) are possible, but require a different realization of the place-holder (\(\emptyset\) vs. overt preposition).

For the prediction of prepositions, we combine source and target-side features into a first-order linear chain CRF which provides a flexible framework to make use of different knowledge sources. We use distributional information about subcategorization preferences to model functional prepositions, whereas source-side features (such as the aligned word) tend to be more important for predicting prepositions conveying content. These features address both functional and content-bearing prepositions, but are designed to not require an explicit distinction between the two categories because the model is optimized on the relevant features for each context during training.

During the generation step, the relevant information (such as governing verb/noun and subcategorization preferences) is presented in a refined form, as opposed to the limited information available in a standard SMT system (such as immediate context in a translation rule or language model). It is thus able to bridge large distances between the verb and its subcategorized elements.

4 Abstract Representation of Prepositions

In addition to providing a means to handle subcategorized elements by target-side generation, one objective of the reduced representation of prepositions is to obtain a more general SMT system with a generally improved translation performance. Our experiments will show, however, that replacing prepositions by simple place-holders decreases the translation quality. The effect that a simplified SMT system loses discriminative power has also been observed by e.g. Toutanova et al. (2008) who found that keeping morphological information during translation can be preferable to removing it from the system despite the problem of increased data sparseness. We will thus evaluate systems with varying levels of information annotated to the place-holders (cf. section 6.2).

As an extension to the basic approach with plain place-holders, we experiment with enriching the place-holders such that they contain more relevant information and represent the content of a preposition while still being abstract. To this end, we enrich the place-holders with syntactically motivated features. For example, the representation can be enriched by annotating the place-holder with the grammatical case of the preposition it represents: for overt prepositions, case is often an indicator of the content (such as direction/location), whereas for empty prepositions (NPs), case indicates the syntactic function. As extension, we mark whether a place-holder is governed by a noun or a verb.

Furthermore, we take into account whether a preposition is functional or conveys content: based on a subcategorization lexicon (Eckle, 1999), we decide whether a place-holder in a given context is subcategorized or not. This idea is extended to a system containing both place-holder and normal prepositions: assuming that merely functional prepositions contribute less in terms of meaning, these are replaced by an abstract representation (case and type of governor), whereas for all non-functional prepositions, the actual preposition with annotation (case and type of governor) are kept.

5 Predicting Prepositions

In this section, we explain the features used to predict the values of the place-holder prepositions and evaluate the prediction quality on clean data.

5.1 Features for Predicting Prepositions

Table 1 illustrates the features for predicting prepositions: in addition to target-side context in the form of adjacent lemmas and POS-tags (5 words left/right), we combine three types of features: (1) source-side features, (2) projected source-side information and (3) target-side subcategorization frames. The source-side information consists of

- the word aligned to the place-holder preposition: a source-side overt or empty preposition
“prp” in column “source-side” in table 1
- its governing verb or noun (column “g.verb”)
- the governed noun and its syntactic function
  in relation to its governor (col. “func,noun”)}
These source-side features, extracted from dependency parses (Choi and Palmer, 2012), are then projected to the target-side based on the word alignment (column “projected source-side”). Using source-side projections to identify the governor on the target-side eliminates the need to parse the disfluent MT output.

Finally, we use distributional subcategorization information as our third feature type (column “target-side subcat”). Relying on distributional subcategorization information (cf. section 6.1), we provide subcategorizational preferences for the observed verb in the form of verb-preposition-case tuples. The grammatical case indicates whether the noun is predominantly used as subject or direct/indirect object with an empty preposition. From the tuples, the system can learn, for example, that unter etwas leiden is a lot more plausible than∅-Nom:5∅-Acc:0unter-Dat:4∅-Nom (subject). This example illustrates how the features can bridge the gap between the verb leiden and the place-holder to be realized as unter (middle part of the sentence omitted in the table).

In addition to tuples of the form verb-preposition-case, we also use noun-noun case tuples (not shown in table 1) to help the system decide whether two adjacent nouns headed with a place-holder should be realized as a noun-noun construction (equivalent with English noun-of-noun), a noun-prep-noun construction or as two adjacent (subcategorized) NPs, for example NP Acc NP Dat (direct/indirect object).

5.2 Evaluation of Prediction Accuracy
The success of generating-prepositions in SMT depends to a large extent on the quality of the prediction component. Before beginning with the MT experiments, we thus evaluate the quality of predicting prepositions on clean data, the tuning-set.

We use the Wapiti toolkit (see section 6.1) to train a CRF to predict prepositions. We opted for a sequence model to take into account decisions from previous positions. Even though it only looks at previous decisions on bigram-level, the annotation of case on all elements of noun phrases should prevent that two adjacent noun phrases be assigned the same value for case.

Table 2 shows the performance of predicting prepositions on clean data. In the column “prep+case”, we evaluate the accuracy of the prediction of both the preposition and its grammatical case, whereas the column “prep” gives the accuracy when only looking at the predicted preposition. We compare a model using source-side and projected source-side features (1) and a model with additional subcategorization information (2). Source-side information and its target-side pro-
of the parallel data. The models to predict prepositions were trained on half of the parallel data due to the considerably larger amount of labels that can be predicted. The subcategorization tuples were extracted from German web data (Scheible et al., 2013), Faßb and Eckart (2013)) and Europarl. We used WMT’13 as tuning and WMT’14 as test sets.3

6.2 Evaluation with BLEU

Table 4 shows the results of experiments with the baseline system (a), a morphology-aware SMT system with no special treatment for prepositions4. As a variant of the baseline system (b), we removed all prepositions from the translation output to be re-predicted. This does not lead to much change in BLEU, illustrating that the prediction step itself is not harmful. However, only changing existing prepositions is not sufficient and it is not possible to model empty vs. overt prepositions.

Table 5 shows results for the variants of the place-holder systems. Using a basic place-holder (C) representation (S1) leads to a considerably drop in relation to the baseline in table 4. Annotating the place-holder with case (S2) leads to an improvement of ca. 0.4, indicating that the abstract representation of the place-holders plays a significant role here.

In (S3), we mark whether the preposition is governed by a verb or a noun, to no avail. As an extension, we annotate the status of the place-holder: subcategorized or non-subcategorized in (S4), which seems to slightly help, even though the observed differences are very small. Assuming that functional prepositions contribute only little in terms of meaning, only subcategorized prepositions are represented by place-holders, whereas non-functional prepositions are kept. Again, we show two variants: in (S5a), all prepositions are re-predicted, while in (S5b), the forms of non-functional prepositions are kept. Again, we show two variants: in (S5a), all prepositions are re-predicted, while in (S5b), the forms of non-functional prepositions in the MT output are kept and only those for functional prepositions are predicted – this last result reaches the baseline level.

While none of the variants outperforms the baseline, we consider the results encouraging as they illustrate (i) that the representation of prepositions during the translation step considerably influences the MT quality (S2) and (ii) that applying the prediction step to a carefully selected subset of prepo-


3In the current version, we only work with the 1-best output of the MT system, and do not consider the n-best list.
4For comparison, Baseline_{surface} shows the score for a non-morphology-aware system operating on surface forms.
6.3 Evaluation of Prepositions

BLEU is known to not capture subtle differences between two translation systems very well. Thus, we present a second evaluation in which we analyze the translation accuracy of prepositions.

It is difficult to automatically assess the quality of the translation of prepositions as the choice of a preposition depends on its context, mainly the verbs and/or nouns it occurs with. It is not sufficient to compare the prepositions occurring in the reference translation with those in the translation output, as the used verbs/nouns or even the entire structure of the sentence might differ. We will thus restrict the evaluation to cases where the relevant parts, namely the governing verb and the noun governed by the preposition are the same in the reference sentence and in the translation output\(^5\): in such cases, an automatic comparison of the preposition in the MT output with the preposition in the reference sentence is possible.

To obtain the set for which to evaluate the prepositions, we took each preposition in the reference sentence\(^6\) governing a proper noun or named entity. The governing verb is identified relying on dependency parses of the reference translation. For extracting the equivalents of the relevant parts (preposition, noun, verb) in the translation output, we made use of the alignments with the English source sentence as pivot. The matching is made on lemma-level.

\(^5\)We ignore PPs governed by nouns (such as *N von/an N (N of N)*) as they are often equivalent with genitive structures.

\(^6\)The preposition needs to be in the group of the 17 prepositions which are subject of modeling in this work.

Table 6 gives an overview of the amount of cases where the reference contains a preposition and its noun and governing verb are the same in the MT output; in the set of 3003 sentences, this is the case for a subset of 270 (baseline), 260 (S2, the best place-holder-only system) and 271 (S5). Note that the slightly less prep-noun-verb triples of S2 that match the reference compared to the baseline are not per-se a sign for inferior translation quality as we did not consider the possibility of synonymous translations.

Table 7 shows the amount of prepositions for the respective subsets that were considered correct, i.e. match with the reference. While the difference is very small, the percentage of correct prepositions is slightly higher for the systems S2/5a. Systems 5a/b are based on the same MT output; however, S5a fares better in this evaluation even though S5b had a higher BLEU score. We thus assume that BLEU did not improve based on the examined subset.

This analysis also shows that the translation quality of prepositions is a problem in need of more attention\(^7\). It has to be noted, though, that this evaluation only gives partial insights into the performance of the systems. The main problem is that the evaluation is centered around prepositions in the reference translation, which often is (structurally) different from the source sentence and consequently also the translation output. Thus, sentences with prepositions in the translation, but not in the reference, are not considered. Nevertheless, we regard this evaluation as suitable to evaluate the correctness of prepositions in an automatic way.

6.4 Examples

Here, we discuss outputs from the baseline and system 2 (cf. table 5) that cover the different synonyms.

\(^7\)In some cases however, prepositions in the MT output are acceptable even if they do not match with the reference.
tactic phenomena, namely different types of structural differences in source and target language, referred to in the introductory sections.

In (1), the preposition on should not be translated, as the verb verbessern (to improve) subcategorizes a direct object (Leistungen/performances). While there is a preposition (über) in the baseline, no preposition is produced by the new system, leading to a correct translation. As the reference does not match with the MT output, this sentence is not counted in the evaluation from the previous section or given credit from BLEU, even though it improved over the baseline.

In (2), the constellation is opposite: with no preposition in the English sentence, the baseline output is missing a preposition, marked with ∅. Here, the German structure is different as the verb studying is expressed by a noun (Studium). In this construction, the phrase containing Stoffe (substances) needs to be expressed as the PP von Stoffen (of substances). Alternatively, a noun-noun genitive structure is possible – our system is able to produce both versions.

In (3), the literal translation of in in the baseline is not grammatical and the translation does not express the meaning of the source sentence. The new translation contains the appropriate preposition für and also correctly reproduces the source sentence.

Similarly, the preposition bei in (4) is a better choice than in in the baseline, even though the baseline sentence is understandable. This sentence pair is counted in the evaluation from the previous section, as the verb (gespielt) and noun (Sonnensystem) each match with the reference translation.

7 Conclusion and Future Work

We presented a novel system with an abstract representation for prepositions during translation and a post-processing component for generating target-side prepositions. In this setup, we effectively combine relevant source-side and target-side features. By making use of an abstract representation and then assigning all subcategorized elements to their respective functions to be inflected accordingly, our method can explicitly handle structural differences in source and target language. We thus believe that this is a sound strategy to handle the translation of prepositions.

While the systems fail to improve over the baseline, our experiments show that a meaningful representation of prepositions is crucial for translation quality. In particular, the annotation of case resulted in the best of all placeholder-only systems –

Table 8: Example sentences.
this information can be considered as a “light” semantic annotation. Consequently, a more semantically motivated annotation representing the semantic class of a preposition (e.g. temporal, local) might lead to a more meaningful representation and remains an interesting idea for future work. Alternatively, integrating the generation step of the prepositions into the decoding process, e.g. following (Tsvetkov et al., 2013), might be another promising strategy.

In our evaluation we discussed typical problems arising when translating prepositions. Furthermore, we addressed the problem of automatically evaluating the quality of prepositions in sentences that are often structured differently than the reference sentence by considering only the respective relevant elements. As the translation of prepositions remains a difficult problem in machine translation, an automatic method that takes into account both the morpho-syntactic as well as the semantic aspects of the realization of prepositions in their respective contexts is needed. In our evaluation, we take first steps into this direction.

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