Traces of a fixed point: Unravelling the phase diagram at large Nf

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With a sufficiently high number of fundamental fermionic flavours present, Yang-Mills theory develops an infrared fixed point and becomes (quasi-)conformal in nature. The range of flavour numbers for which this occurs defines the conformal window, the lower limit of which has yet to be determined. We studied the phase diagram of SU(3) Yang-Mills theory with twelve flavours of staggered fermions. Here we present evidence for the occurrence of a bulk transition towards a chirally broken phase and the existence of a Coulomb phase on the symmetric side of this transition, using results from the measurements of the chiral condensate and spectrum, leading to the determination of a positive sign of the beta function. Assuming the validity of the Appelquist-Miransky-Yamawaki scenario, this implies the existence of a conformal window that comprises the theory under investigation.

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There has been a recent surge in interest in the physics of gauge theories with a relatively large number of fermionic degrees of freedom. Research is ongoing on theories with different gauge groups and matter content in several representations \[1, 2\]. The common factor of interest for all of these is the possible appearance of so called Banks-Zaks fixed points, first described in two seminal papers of the late seventies and early eighties \[3, 4\]. The central observation of these papers was the appearance of a second zero before the loss of asymptotic freedom in the two-loop beta function of an SU(3) gauge theory with \(N_f\) massless fermions in the fundamental representation.

Restricting ourselves to the latter case, the beta function to second order in perturbation theory, the highest order to which all coefficients are universal, is given by

\[
\beta(g) = \mu \frac{dg}{d\mu} = -b_0 g^3 - b_1 g^5 + O(g^7),
\]

\[
b_0 = \frac{1}{16\pi^2} \left( 11 - \frac{2N_f}{3} \right), \quad b_1 = \frac{1}{(16\pi^2)^2} \left( 102 - \frac{38N_f}{3} \right).
\]

This equation has a root for \(N_f^* > 8.05\) at \(g^{*2} = -b_0/b_1\), causing, at this perturbative level, the appearance of an infrared fixed point up until \(N_f = 16.5\) when asymptotic freedom is lost. Physically, a IR fixed point implies a phase in which chiral symmetry is never spontaneously broken, as the coupling reaches an asymptotic value at infra-red scales and the theory turns quasi-conformal.

The actual value of the critical number of flavours \(N_f^*\) is modified by the non-perturbative dynamics of chiral symmetry breaking and its restoration \[5\], necessitating an approach beyond perturbation theory. Analytical approximations have been done, generally favoring a number larger than the perturbative prediction \[6–8\], but with no consensus on the actual value. Lattice studies have concluded that \(N_f = 8\) lies within the hadronic phase of QCD \[9–13\], but the case of \(N_f = 12\) is debated. A study based on the Schrödinger functional \[9, 10\] has concluded that \(N_f = 12\) should already be in the conformal window, but other groups have reported indications of a regular hadronic phase and spontaneous chiral symmetry breaking instead \[12–14\]. We here report on an investigation of the nature of SU(3) Yang-Mills theory with twelve flavours of quarks, employing the physics of phase transitions, to appear in print shortly \[15\].

1. Setup and implementation

In the setup of this study, we took guidance from the scenario painted in \[5\], a diagramatic depiction of which is given in figure 1. For a given value of the flavour number \(N_f > N_f^*\) and in the limit of massless quarks, decreasing \(\beta\) will cause one to cross the critical value and go from the chirally symmetric (S) and asymptotically free phase to a phase that is still symmetric, but not asymptotically free. The green dashed line connects these roots of the beta function. The numerical values for which these occur are action dependent, but since the presence of such a fixed point is physically observable, it will appear for some value at any choice of the action. Note, however, that the beta function is not universal away from fixed points with diverging correlation lengths and one can therefore not exclude the appearance of additional, spurious fixed points \[16\]. At even smaller values of \(\beta\), the lattice regularized theory could in general exhibit a transition to a strongly coupled chirally asymmetric (A) phase, driven purely by the value of coupling constant itself.
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Figure 1: Diagram of the phases of an $SU(3)$ gauge theory encountered through varying the flavor content $N_f$ in the fundamental representation and the strength of the coupling $g$. Theories for $N_f < N_{f}^*$ are QCD-like, while for $N_{f}^* < N_f > N_f^*$ develop a conformal phase. $S$ and $A$ refer to chirally symmetric and asymmetric, respectively. The dot-dashed (red) line is the line of lattice bulk transitions. We observed a bulk transition at $N_f = 12$ and also 16 (dots). The dashed (green) line is the conformal critical line, qualitatively indicating the location of the IRFP’s. The line at $N_f = N_{f}^*$ indicates a conformal phase transition with abrupt change of the spectrum, predicted in reference [5]. The non-perturbative beta function on the conformal side is also shown.

Outside the conformal window, in contrast, the theory will break chiral symmetry at zero temperature, but exhibit a thermal phase transition in the continuum to a high temperature chirally symmetric phase. Thus, as was argued in [11], observing such a transition implies the absence of an infra-red fixed point. To discriminate between a true thermal transition of the hadronic phase and the aforementioned bulk transition of the quasi-conformal phase, we observed the scaling of the transition with the physical temperature, given by $T = (a(\beta)N_\tau)^{1-1}$. The two types of transition are distinguished by their zero temperature, or infinite $N_\tau$ limit. If we obtain $\lim_{N_\tau \to \infty} \beta T \to \infty$, we are in the hadronic phase, while $\lim_{N_\tau \to \infty} \beta T = \beta_c$ implies a bulk transition for a finite value of $\beta_c$.

The bulk transition is a lattice artifact, one that specific forms of improvement may push down to arbitrarily low values of the lattice coupling and possibly have vanish altogether. Its potential appearance is relevant in that it would exclude its thermal counterpart. However, it is not inconceivable that one observes a transition between two different chirally broken phases, leaving room for a weak thermal transition at some smaller value of the coupling. This scenario can be excluded primarily by checking directly for chiral symmetry restoration. In addition, the presence of a Coulomb phase, signalled by a positive value of the beta function, is a necessary and sufficient condition for the presence of a Banks-Zaks fixed point in the discretized system.

Simulations were performed with twelve dynamical flavours of fermions in the form of three unrooted staggered fermions. A tree level Symanzik improved gauge action was used to suppress lattice artifacts. Fermions were Naik improved for an overall $O(a)$ improvement that should be valid in any phase of the theory. Allowing for the appearance of phases outside of regular hadronic QCD, other types of improvement may introduce unphysical terms in the continuum lattice action. Specifically, the Lepage-Mackenzie tadpole improvement scheme, an obvious choice when
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Figure 2: The bulk transition in the chiral condensate for $am_q = 0.05$ at several values of the temporal lattice extent $N_{\tau}$. The location of the transition is identical, in spite of the physical temperatures differing by substantially. Simulation errors are within symbol sizes.

attempting to observe thermal scaling for a phase transition, but derived from a perturbative expansion [17], may introduce unphysical effects when used in the absence of asymptotic freedom. Taste breaking effects were estimated by comparing different pion sources and found to be of the order of 40%. By increasing pion masses, the effect of taste breaking contributions should be to partially freeze chiral degrees of freedom and in this way effectively lower the number of fermionic degrees of freedom. Any conclusions drawn from the measurements presented here will therefore specifically apply to twelve staggered degrees of freedom at finite mass, with a non-trivial continuum and chiral limit. However, the shielding effect of the fermions responsible for any fixed point can only increase in those limits and if indications of its existence are indeed found, they will carry through.

Runs were done on several volumes, with explicit checks on the size of finite volume effects for the high precision measurements needed to perform the chiral extrapolation by comparing the results of three volumes and finding agreement within statistical errors for the largest two. Final fits were based on $24^4$ volumes. Spectral measurements were done on $16^3 \times 24$ volumes, introducing a moderate amount of finite volume corrections to the values obtained that tend to increase and equalize the obtained masses. Those effects, as long as they are not dominating, will wash out the signal obtained for the sign of the beta function, but should not be expected to change it qualitatively.

2. Results

The size of the chiral condensate $\langle \bar{\psi} \psi \rangle$ was determined over a series of values of the lattice coupling constant $\beta$ (see fig. 2) and found to be a smoothly varying quantity for all measurements. In the range between $\beta = 3.0$ and $\beta = 3.5$, the condensate experienced a rapid acceleration in its decline, that tapered off again towards the region of weaker coupling. As observed above, such a transition can occur for actions within and outside of the conformal window, but is driven by a different quantity for either case. To see whether the physical temperature was the relevant factor, measurements were repeated for values of the lattice extent in the temporal direction $N_{\tau}$ differing...
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Figure 3: Extrapolation to the chiral limit of the chiral condensate for $\beta = 3.9$ with a linear formula (reduced $\chi^2 = 3.81$), giving a small amount of residual chiral symmetry breaking, and a power law (reduced $\chi^2 = 0.86$), demonstrating a strong preference for the chirally symmetric scenario with a non-zero anomalous dimension.

by more than a factor of two. While the measurements on the weak coupling side of the transition show clear thermal sensitivity, we observed no associated shift in the position of the transition itself. This implies that $\lim_{N_t \to \infty} \beta_T$ remains finite and the transition is of bulk nature.

To check for the restoration of chiral symmetry, an extrapolation to the chiral limit was performed. The dependence was approximately linear, but a slight systematic deviation of this behaviour could be observed. Most notably, higher masses for coupling showed clear upward deviations from linear behaviour close to the transition. This behaviour was reported earlier by Damgaard et al. [16], and is explained by both a shift in the position of the transition to weaker coupling and a broadening of the transition region as masses become heavier, implying one observes remnants of the transition itself. Away from the transition these deviations rapidly weakened and the data developed a convex deviation from linearity. As these measurements were performed in an interacting system, one should generally allow for a non-zero anomalous dimension, as was, for example, shown for the chiral transition in the case of strongly coupling QED [18]. Additional high precision measurements were performed after the meeting, to be reported upon elsewhere, to perform a quantitatively reliable chiral extrapolation. Figure 3 displays an extrapolation to the chiral limit for a single value of the coupling of $\beta = 3.9$ using, both with a linear form and allowing for an anomalous dimension. The difference between both forms is small for the available masses, but the data prefer a scenario without spontaneous chiral symmetry breaking with a fitted exponent of $0.965(1)$.

Having observed a bulk transition to a phase that is compatible with the restoration of chiral symmetry, we tried to qualify the weakly coupling phase further. One can attempt to distinguish a very weakly coupling hadronic phase from a Coulomb phase directly, by determining the sign of the beta function. The beta function itself is a scheme dependent quantity, even when it is defined non-perturbatively, but the physical nature of the fixed point should make the sign of the beta function a rather robust feature. We set up such a scheme by following reference [16], measuring the spectrum of light mesonic excitation at different values of the coupling constant and bare
**Figure 4:** The measured ratio of $m_{vt}$ and $m_{ps}$ as a function of the bare quark mass, for a series of values of the coupling constant $\beta$. Identical values of this ratio define theories extrapolating to the continuum limit, sampled at different scales. The trend is towards higher values of $\beta$ with higher masses matching with lower values of beta and lower masses, indicating a decrease of the interaction strength for coarser lattices.

The spread in values for the ratio is smaller than that observed for the case of $N_f = 16$ in [16], but the overall trend is identical in that theories at weaker coupling and lower mass match to those at stronger coupling and higher mass. Because the signal-to-noise ratio is lowered, the result is not as outspoken. One way of improving this is by interpolating the values of the ratio, effectively combining statistics for the measurements. Such an extended analysis will be published [15]. Comparing the ratios in 4, the phase after the bulk transition is identified as a Coulomb phase. Assuming lattice artifacts are not dominating and under the assumption of continuity (which can be checked by scanning the parameter space towards zero coupling), this phase should connect smoothly to the perturbative function as the interaction weakens over larger distances. Since its sign is known to be negative perturbatively an intermediate zero has to be present.

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