Extreme Saharan dust event over the southern Iberian Peninsula in September 2007: active and passive remote sensing from surface and satellite

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Abstract. This study investigates aerosol optical properties during the extreme Saharan dust event detected from 3 to 7 September 2007 over Granada, southern Iberian Peninsula, with both active and passive remote sensing instrumentation from surface and satellite. The intensity of the event was visualized on the aerosol optical depth series obtained by the sun-photometer Cimel CE 318-4 operated at Granada in the framework of AERONET from August 2004 until December 2008 (level 2 data). A combination of large aerosol optical depth (0.86–1.50) at 500 nm, and reduced Angström exponent (0.1–0.25) in the range 440–870 nm, was detected on 6 September during daytime. This Saharan dust event also affected other Iberian Peninsula stations included in AERONET (El Arenosillo and Évora stations), and it was monitored by MODIS instrument on board Aqua satellite. Vertically resolved measurements were performed by a ground-based Raman Lidar and by CALIPSO satellite. During the most intense stage, on 6 September, maximum aerosol backscatter values were a factor of 8 higher than other maxima during this Saharan dust event. Values up to $1.5 \times 10^{-2}$ km$^{-1}$ sr$^{-1}$ at 355 and 532 nm were detected in the layer with the greatest aerosol load between 3–4 km a.s.l., although aerosol particles were also detected up to 5.5 km a.s.l. In this stage of the event, dust particles at these altitudes showed a backscatter-related Angström exponent between $-0.44$ and 0.53 for the two spectral intervals considered. The results from different measurements (active/passive and ground-based/satellite) reveal the importance of performing multi-instrumental measurements to properly characterize the contribution of different aerosol types from different sources during extreme events. The atmospheric stabilization effect of the aerosol particles has been characterized by computing the solar heating rates using SBDART code.

1 Introduction

Mineral dust particles originated over deserts like Sahara and Gobi play an important role on Earth’s climate system. Dust particles interact with the solar and thermal radiation modulating Earth’s radiative budget. According to the Fourth Report of the Intergovernmental Panel on Climate Change (Forster et al., 2007), the radiative forcing due to atmospheric aerosol has an error that is twofold its magnitude. In order to estimate the total uncertainty in the estimations of direct/indirect forcing, the uncertainties introduced by the different contributing factors must be known. In particular, dust particles introduce large uncertainties in the estimation of climate forcing due to atmospheric aerosol. It is accepted that dust particle radiation absorption can affect the thermal structure of the atmosphere (effects of warming/cooling by desert dust is strongly linked to its height; Gobbi et al., 2000) and, thus, the atmospheric circulation on synoptic scale (Pérez et al., 2006). In addition, dust particles modify microphysical properties of clouds, acting as condensation and ice nuclei, and can cause a decrease in the photolysis rates of ozone (Balis et al., 2002; Zerefos et al., 2002). Likewise, Saharan dust particle deposition affects biochemical cycles in...
oceans (Kremling and Streau, 1993) and terrestrial ecosystems (Reichholf, 1986), as well as interfering with the monitoring of the anthropogenic component of PM$_{10}$ levels over the Mediterranean countries (López et al., 2005).

On a global scale, the Sahara desert is the most important source of mineral dust (Papayannis et al., 2005; Liu et al., 2008). At present, the dust cycle is assessed using models constrained by the available measurements. In order to improve knowledge of mineral dust particles it is necessary to develop a data set of measurements with three-dimensional space and temporal resolution of dust aerosol. The Saharan dust aerosol properties and its spatial and temporal variability over the Iberian Peninsula during the dust events has already been studied using ground-based sun-photometer data in recent years (Olmo et al., 1999; Lyamani et al., 2004, 2005, 2006a, b, 2008; Elias et al., 2006; Díaz et al., 2007; Alados-Arboledas et al., 2008; Cachorro et al., 2008). However, comprehension of vertically resolved Saharan dust optical properties and their spatial and temporal evolution is still limited over our area. This is also true for the whole Mediterranean region, for which only a few publications exist, including non-systematic data on vertically resolved measurements of mineral dust particles optical properties (Hamonou et al., 1999; Gobbi et al., 2000; de Tomasi et al., 2003; Papalardo et al., 2003; Balis et al., 2004; Papayannis et al., 2005; Müller et al., 2009), and a study with systematic measurements (Papayannis et al., 2008).

In this paper we present an analysis of the extreme Saharan dust event detected over the period from 3 to 7 September 2007 over Granada (Spain, 37.16° N, 3.61° W), southern Iberian Peninsula and monitored by the Atmospheric Physics Group of the University of Granada. Special emphasis is paid to the uniqueness of this event over our region, which was monitored with both active and passive remote sensing instrumentation. Section 2 gives a brief description of the experimental site and the instrumentation employed in this study. Sections 3–5 are focused both on the synoptic conditions which prevailed over southern Iberian Peninsula during the period from 3–7 September 2007, and on the analysis of the photometric and Lidar data. Section 6 presents the consequences of this extreme Saharan dust event on the atmospheric vertical structure.

2 Experimental site and instrumentation

Measurements of atmospheric aerosol have been performed in the urban area of Granada (37.16° N, 3.61° W and 680 m a.s.l.). Granada, located in south-eastern Spain is a non-industrialized, medium-sized city with a population of 300,000, 600,000 if the whole metropolitan area is considered. The city is situated in a natural basin surrounded by mountains with altitudes over 1000 m. The highest range is located at the East of the valley and corresponds to Sierra Nevada.

The near-continental conditions prevailing at this site are responsible for large seasonal temperature differences, providing cool winters and hot summers. The area also experiences periods of a low humidity regime especially at summer time. The study area is also at a short distance, about 200 km away from the African continent and approximately 50 km away from the western Mediterranean basin.

Due to its location in the Mediterranean basin, Granada is influenced by two major aerosol source regions: Europe as a major source of anthropogenic pollutants and North Africa as principal source of natural dust. Furthermore, the Mediterranean area is characterized by a complex meteorology which favors the aging of polluted air masses in the basin and induces high level of airborne particles. Thus, the Mediterranean basin can represent an additional source of atmospheric aerosol for the study area. In summer, the study area is isolated from travelling lows and associated frontal systems, and the intensification of the Azorean high during the warm season induces a very weak pressure gradient and a strong subsidence that reduces entrainment from the free troposphere. High temperatures and low humidity in summer induce numerous forest fires in the Iberian Peninsula, whose smoke represents an additional source of atmospheric aerosol to our study area.

Daytime, column-integrated characterization of the atmospheric aerosol has been done by means of a sun-photometer CE-318-4 included in the AERONET network (Holben et al., 1998). This sun-photometer makes direct sun measurements with a 1.2° full field of view at 340, 380, 440, 675, 870, 940, and 1020 nm. The full-width at half-maximum of the interference filters are 2 nm at 340 nm, 4 nm at 380 and 10 nm at all other wavelengths. Solar extinction measurements are used to obtain aerosol optical depth at each wavelength except at 940 nm which is used to retrieve total column water vapour. A complete description can be found in Holben et al. (1998).

Night-time measurements of columnar aerosol have been done by means of a star photometer, Excalibur, based on a Schmidt-Cassegrain telescope. A complete description is given by Pérez-Ramírez et al. (2008a, b).

The Raman Lidar model LR331D400 (Raymetrics S.A., Greece) is described in detail by Guerrero-Rascado et al. (2008). It is configured in a monostatic biaxial alignment pointing vertically to the zenith and based on a pulsed Nd:YAG laser. The fundamental emission is at 1064 nm, however additional emissions at 532 and 355 nm are obtained by using second and third harmonic generators. The receiving system is a Cassegrainian telescope and a wavelength separation unit that consists of dichroic mirrors, interference filters and a polarization cube. Detection is carried out in seven channels corresponding to elastic wavelengths at 1064, 532 p (parallel-polarized), 532 s (perpendicular-polarized) and 355 nm, and 387 (nitrogen Raman-shifted signal from 355 nm), 408 nm (water vapour Raman-shifted signal from 355 nm) and 607 nm (nitrogen Raman shifted signal
from 532 nm). Due to the low intensity of Raman signals, they are only used for retrievals at night-time when the sky background is low and steady enough. Since November 2004, the Raman Lidar was operated at Granada station, and in April 2005, the instrument was incorporated to the EARLINET network (Bösenberg et al., 2001).

3 General context of the extreme Saharan dust event

In order to describe this extreme Saharan dust event over southern Iberian Peninsula, several tools have been employed. The HYSPLIT4 model has been used (Draxler and Rolph, 2003) to calculate five-day backtrajectories of air masses coming to Granada at six different altitudes above ground level (a.g.l.) using the GDAS database (Global Data Assimilation System, ftp://www.arl.noaa.gov/pub/archives/gdas1/), as Fig. 1 shows. For 3 September the air mass origin at low levels (500 and 1500 m a.g.l.) is the Mediterranean basin and Europe, and at higher levels the back-trajectories pass over the Iberian Peninsula and North Atlantic. For 4 September it is clear that a change took place in the synoptic conditions favouring passage of the air masses over North Africa (marginally). For 5–7 September the air masses at several levels indicated North African origin and thus mineral dust particles could be expected to advect to Granada from this source region. In this period the low level back-trajectories indicate European and Mediterranean origin so anthropogenic particles could be detected in our area. Finally, on 8 September the flow pattern changes, being residually of North African origin at high levels and of European and Mediterranean origin at low and medium levels.

In general, forecast models predicted this Saharan dust event. The Navy Aerosol Analysis and Prediction System (NAAPS) model (http://www.nrlmry.navy.mil/aerosol) predicts the generation of a dust-loaded plume over North Africa and subsequent transport, affecting the South of Iberian Peninsula. According to the NAAPS simulations, the dust plume shifted north-westward and arrived at Granada on 5 September. The highest values of aerosol optical depth (up to 0.8 at 550 nm) were forecasted for 6 September over southern Iberian Peninsula. On 7 September the dust plume kept shifting north-westward and, on 8 September finally only a residual concentration was predicted over the North Atlantic. In Fig. 2 the DREAM model (http://www.bsc.es/projects/earthscience/DREAM) forecast is presented. Results are in agreement with those provided by NAAPS model, except that the DREAM model forecasts a longer event duration, concluding on 9 September (versus 8 September predicted by the NAAPS model). This dust event has been confirmed visually from satellite by the Aqua-MODIS images (http://modis.gsfc.nasa.gov). The Saharan dust event is captured by the image sequence (not shown here). The images clearly show the outflow of a dense aerosol layer from North Africa on 4 September which is visually intense on 6 September as predicted by the forecasting models.

4 Passive remote sensing southern Iberian Peninsula

This unique Saharan dust event is characterized for its extreme intensity over the southern Iberian Peninsula. The intensity of the event is evident in the aerosol optical depth series obtained by Cimel CE 318-4 at Granada from August 2004 until December 2008 (AERONET level 2 data), and has been confirmed to be the most intense event for this period. The time series of the instantaneous values of aerosol optical depth at 500 and 550 nm, derived from the Cimel CE 318-4 and Excalibur, respectively, are given in Fig. 3. The same figure shows the Ångström Exponent values calculated in the ranges 440–870 nm and 440–880 nm derived from Cimel CE 318-4 and Excalibur measurements, respectively. The aerosol optical depth uncertainty derived by Cimel CE 318-4 sunphotometer is of the order of 0.01 at wavelengths larger than 440 nm and around 0.02 at wavelengths shorter than this value. According to the error propagation theory, the Ångström exponent uncertainty can be estimated as 0.2 (Holben et al., 1998). Similarly, the aerosol optical depth uncertainty derived by Excalibur starphotometer is in the range 0.01 to 0.03 for the different wavelengths.

On the first days of the analyzed period (3–4 September) the column integrated aerosol optical properties values are associated with local aerosol contributions. Diurnal cycles observed in the Ångström exponent for these days, with a minimum located around midday, are typical in our region during summer due to local processes. The mean Ångström exponent presents a decreasing trend over the event with values lower than 1 and a minimum during the middle days. On 6 September, when the intense dust event influences the area, a sharp increase in aerosol optical depth is evident. Thus, a combination of large aerosol optical depth in the range 0.86–1.50 at 500 nm and reduced Ångström exponent in the range 0.1–0.25, was detected on 6 September during daytime. These values correspond to a dust-loaded plume, caught by the MODIS Aqua, coming from North Africa and shifting north-westward. The strong anti-correlation between the aerosol optical depth and Ångström exponent evidenced in Fig. 3, in combination with the reduced values of this last parameter, is a clear signature of coarse dust particles included in the atmospheric column (Lyamani et al., 2005, 2006a). After this intense stage, mean values are similar to those during previous days before the arrival of Saharan dust mineral particles.

Figure 4 presents volumetric size distributions retrieved throughout the selected period. While all distributions exhibit two modes, the relative weight for each mode depends on the dominant aerosol particles in the atmospheric column. In general, fine mode is associated with anthropogenic particles whereas the presence of a coarse
Fig. 1. 5 day back-trajectories ending at Granada at 12:00 GMT at different levels (500, 1500, 2500, 3500, 4500, 5000 m a.g.l.) for the indicated dates.

mode indicates particles with larger size like dust particles. Column-integrated volumetric size distributions, calculated by means of the Cimel CE-318-4 inversions, show the great contribution of the coarse mode in the atmospheric column. On 3 and 4 September the volumetric size distribution presents a balanced bimodal behaviour, indicating a similar relative predominance for fine and coarse particles. Observed differences in the fine mode for the different times reveal changes of the urban contribution (traffic) at our study area. Thus, larger values in fine mode are found early in the
morning and late in the afternoon. By contrast, the coarse mode is steadier over these days, indicating a quasi permanent background of local mineral dust particles. As a result, a U-shape behaviour is found in the Angström exponent.

Throughout 5 September the coarse mode intensified in agreement with the increasing aerosol optical depth and the decreasing Angström exponent, as observed in the Fig. 3. As a result of this trend, on 6 September extreme volume size distributions are retrieved (note the different scale for this day in Fig. 4). Thus, maximum values in the coarse mode on 6 September are increased by a factor of ∼5 with respect to the maxima detected during other days. The Angström exponent values between 0.12 and 0.17 registered on this day are associated with the largest coarse mode shown in Fig. 4. On 7 September the U-shape behaviour observed in the Angström exponent is explained in terms of first an increase and a later decrease of the coarse mode throughout the day. Finally coarse mode tends to decrease at the end of the event on 8 September.

Contributions to aerosol optical depth by fine and coarse modes have been computed from the Angström exponent values (O’Neill et al., 2001, 2003). The fine mode contribution to the total aerosol optical depth is approximately constant during the event with values below 0.15 except on 6 September when the value increases up to 0.25, indicating that the aerosol plume can also bring fine particles. This was expected because of the high stability of the fine mode over the analyzed period. In contrast, the coarse mode contribution experiences the same trend as the total aerosol optical depth, indicating that the variability associated in aerosol optical depth is caused by the arrival of coarse particles coming from the Saharan desert. The coarse mode fraction is larger than 0.45 for the whole period and around 0.90 during the most intense day.

Using passive remote sensing tools, this Saharan dust event has been monitored on the basis of Cimel CE-318 sunphotometers included in the AERONET network. (http://aeronet.gsfc.nasa.gov/). A single ground-based aerosol monitoring station is not enough to assess regional aerosol distributions due to the strong spatial and temporal variability of aerosol particles in the atmosphere, especially during severe dust loading events. AERONET operates three
Fig. 4. Size distributions derived from Cimel CE 318-4. Level 2 data (almucantar retrieval, version 2) provided by AERONET. Note the different scale for 6 September. Extreme values for coarse mode values were identified on 6 September, in accordance with the results of the aforementioned models and images. Maximum values on 6 September increased by a factor of $\sim 5$ with respect to the maxima for the other days.

sun-photometers in the southern Iberian Peninsula: Granada (Spain, 37.16° N, 3.61° W, 680 m a.s.l.), El Arenosillo (Spain, 37.11° N, 6.73° W, 0 m a.s.l.), and Évora (Portugal, 38.60° N, 7.90° W, 293 m a.s.l.) (Fig. 2).

The aerosol optical depth at 675 nm (a wavelength common among the radiometers operated at the different stations), derived from sun-photometers included in AERONET at the three aforementioned stations, is presented in Fig. 5. In order to guarantee the quality of the data, our analyses have been performed on the highest level data provided by AERONET for each station (Level 2 data at Granada and Évora, and Level 1.5 at El Arenosillo). The details of Fig. 5 are worthy of comment. During the study period all stations underwent a Saharan dust outbreak indicated by the increasing aerosol optical depth trends throughout 5 September. For Granada and El Arenosillo stations the increasing trend achieved the maximum value around 13:30 GMT on 6 September. From this point, the dust load decayed with time down to aerosol optical depths lower than 0.15, detected over these stations on 8 September. At the El Arenosillo station the detected values were slightly larger than those recorded at Granada during the most intense stage of the Saharan dust event. Nevertheless, the event was delayed by one day over the Évora station as is clear from the high values of aerosol optical depth on 7 September. Furthermore, the highest values detected over Évora during the most intense stage were half those detected at the other stations. This seems to indicate that the dust plume coming from North Africa crossed the southern Iberian Peninsula with the main loads over the Granada and the El Arenosillo stations, and a weaker flank overflew Évora one day later.
Although the information provided by Fig. 5 is relevant, we must recall that it is restricted to three particular stations that cover the southern Iberian Peninsula. A more complete spatial coverage is given by satellite data. Thus, the global image over the Iberian Peninsula can be analyzed by means of the aerosol optical depth maps generated by the MODIS (v005 collection, spatial resolution 1° × 1°), obtained through the Giovanni system from NASA (http://daac.gsfc.nasa.gov/techlab/Giovanni/). Analyses and visualizations used in this study were produced with the Giovanni online data system, developed and maintained by the NASA Goddard Earth Sciences (GES) Data and Information Services Center (DISC) (Acker and Leptoukh, 2007). The MODIS aerosol optical depth at 550 nm for the pixels over the considered AERONET stations are shown in Fig. 6, for each day of the period analyzed. On 3 and 4 September aerosol optical depths over the stations are below 0.31 at 550 nm. On 5 September a dust-loaded plume reaches the Iberian Peninsula affecting the South of Spain and Portugal with a maximum aerosol optical depth at 550 nm of 0.47. On 6 September an intense broad plume extends from North Africa to the southern Iberian Peninsula with an aerosol optical depth at 550 nm around 0.6 over Granada and El Arenosillo (half the values observed from surface), and lower than 0.45 over Évora. The event continues its evolution injecting the dust plume into the North Atlantic on 7 September, decreasing its influence over the Spanish stations and increasing it over Évora (aerosol optical depth values around 0.48). Finally, on 8 September the dust plume is almost entirely confined over the North Atlantic, with low values of aerosol optical depth over stations in southern Spain and a remaining contribution over Central Portugal.

This satellite-detected temporal behaviour agrees with that derived from ground-based information, although some differences can be found. Thus, MODIS underestimates aerosol optical depth around 35% for Granada and Évora stations, and around 60% for El Arenosillo station. Uncertainties in satellite sensors can be larger under specific areas and circumstances like highly reflecting surfaces, land-ocean sub-pixel contamination, presence of clouds and non-spherical dust particles (Kaskaoutis et al., 2008). A number of papers have attempted to assess these differences (e.g. Chu et al., 2002; Ichoku et al. 2003; Levy et al., 2005; Remer et al., 2005). Most of these validation studies have shown that although MODIS generally derives aerosol optical depth within the expected error, the instrument tends to overestimate aerosol optical depth in conditions of small loads and underestimate high loads (Chu et al., 2002; Levy et al., 2005; Remer et al., 2005). In our case, the underestimation is marked during the most intense stage of this extreme Saharan dust event.

It is evident that AERONET sun-photometric provides a ground truth for MODIS aerosol products. Satellite derived aerosol optical depth enables a global mapping while ground based stations allow the retrieval of more detailed information about the particle distribution and other aerosol microphysical properties.

5 Vertical profiles by active remote sensing

Before focusing on the vertically-resolved quantitative information retrieval, we focus on the time series of Lidar range-corrected signals. Figure 7 shows several periods from 3 to 7 September (both day and night). This allows us to know what
occurs over the station as a function of time. The color scale has been adjusted in the plots in order to enhance the detected layers for each case. Changes can be seen in the vertical distribution of aerosols over the Lidar system throughout the event. As we will see in this section, this event is characterized by a combination of mineral dust particles at higher levels and polluted particles at lower altitudes. On 3 September (not shown here), both day and night, and on 4 September at noon, it is evident that the range-corrected signal inside the planetary boundary layer decreases with altitude. During the night of 4 September an aerosol layer \( \sim 3.0 \) km a.s.l. is advected to Granada. During the rest of the event, the vertical range for the aerosol over Granada is higher, exceeding 5.5 km a.s.l. in some cases, with layers coupled to the planetary boundary layer. It is worth mentioning the layering on 6 and 7 September (Fig. 7). On 6 at noon a layer centered at 3.0 km a.s.l. is detected with range-corrected signal levels a factor of \( \sim 4 \) larger than those in lower altitudes. After that, on 6 September at night and 7 September at noon, range-corrected signals in the aerosol plumes do not show such contrast, but the complexity of the vertical structure increases showing a larger number of layers.

Figures 8 and 9 present the aerosol backscatter coefficient and backscatter-related Angström exponent (which describe the spectral dependence of the backscatter coefficient) profiles from 3–7 September at noon. These profiles were computed using the Klett-Fernald-Sasano algorithm (Fernald et al., 1972; Fernald, 1984; Klett, 1981, 1985; Sasano and Nakane, 1984; Sasano et al., 1985) including a synergetic approach with sunphotometer data to select an appropriate Lidar ratio value (Marenco et al., 1997; Landulfo et al., 2003; Guerrero-Rascado et al., 2008). Only profile segments not corrupted by overlap or misalignment effects are considered. Figures 8 and 9 include error bars that consider the effect of the signal noise in the final retrieval. For this purpose Monte Carlo techniques have been used as established in EARLINET network (Pappalardo et al., 2004; Guerrero-Rascado et al., 2008). The profiles show the aerosol vertical distribution evolution during the Saharan dust event. The combination of these properties allows us to obtain information on the kind of particles present at each altitude.

On 3 and 4 September most of the aerosol load is confined to altitudes below 3.0 and 3.5 km a.s.l. respectively, and distributed in two different layers. The backscatter-related Angström exponent in the range 355–532 nm reaches values from slightly below 1 up to 1.2 at the top of the lower layer. This suggests the presence both of fine and coarse particles. This layer is very different from the one located above 2.5 km a.s.l. where particles are found with a wider range of Angström exponents between 0.9 and 1.6. A similar behaviour is observed for the profiles on 4 September even though the backscatter-related Angström exponent profiles are displaced toward lower values. These findings are in agreement with the air mass origin (Fig. 1) and ground-based photometry observations (Fig. 3) previously mentioned. Thus, the meteorological conditions which favour the stagnation of air masses on 3 September changed slightly on 4 September allowing the arrival of air flows from North Africa. Under this situation some mineral dust can be advected from desert regions. This can be the reason for the decrease in the Angström exponent, detected by sun and star photometry (Fig. 3). However the particle load seems to be not too large, according to the rather low values of aerosol optical depth measured these days (Fig. 3).

The atmospheric vertical structure over Granada changed drastically as is indicated by the optical properties profiles
Fig. 8. Vertical profiles of aerosol backscatter coefficient at 355, 532 and 1064 nm, and backscatter-related Ångström exponent in the range 355–532 nm and 532–1064 nm for 3–5 September 2007 (profiles averaged 12:00–12:30 GMT). Lidar ratio values used for the Klett-Fernald-Sasano retrieval are included in the graphs. Error bars that consider the effect of signal noise in the final retrieval were retrieved by Monte Carlo techniques.

on 5 September. On this day aerosol particles reached higher altitudes (>5.5 km a.s.l.) showing two differentiated layers. In the upper layer, located between 2.5 and 5.5 km a.s.l. the Ångström exponent was in the range 0.68–1.2. The lowest values were reached at the top of the layer at altitudes where the back-trajectory analyses indicated advection of air masses touching the surface of North Algeria and Morocco. In a lower layer (<2.5 km a.s.l.), the Ångström exponent ranged from 1.2–1.7. Values observed in this layer are substantially larger than those obtained at higher altitudes as a consequence of advection patterns that incorporate polluted air masses coming from the Mediterranean basin (Fig. 1). Our description of the event, supported by active remote sensing from surface, is coherent with satellite observations. Thus, the attenuated backscatter quicklooks derived from CALIOP on board CALIPSO satellite (Cloud-Aerosol Lidar Infrared Pathfinder Satellite Observations) on 5 September 2007 show aerosol-loaded plumes up to 5.0 km a.s.l. over areas surrounding Granada (Fig. 10). The observed differences in the vertical distribution of dust particles, detected from surface and satellite, can be justified in terms of the distance between CALIPSO ground-track and Granada (around 78 km for this CALIPSO overpass). In addition, there is a delay between the CALIPSO overpass (∼13:30) and the Lidar ground based measurements (12:00–12:30 GMT).

During the most intense stage (6 September), the maximum values of aerosol backscatter are eight times greater than for the other days, in temporal coincidence with
the extreme values for optical properties detected by passive remote sensing (note the different scale for the plot on 6 September in Figs. 8 and 9). Values up to $1.5 \times 10^{-2}$ km$^{-1}$ sr$^{-1}$ at 355 and 532 nm were detected in the layer with the larger aerosol load between 3.0 and 4.0 km a.s.l., although aerosol particles were also detected up to 5.5 km a.s.l. In this stage of the event, dust particles at these altitudes showed a backscatter-related Ångström exponent between $-0.44$ and 0.53 for the two spectral intervals considered, in agreement with the results of other authors (Ansmann et al., 2003; Balis et al., 2004). These results are also in accordance with the aerosol optical depth, Ångström exponent and volume size distributions retrieved by sun-photometry. As in previous days, huge differences in optical properties, with respect to those at lower altitudes, were observed. Thus, particles advected at altitudes below 2.5 km a.s.l. with Mediterranean and local origin showed a backscatter-related Ångström exponent up to 1.66, typical of anthropogenic particles (Balis et al., 2004). This kind of data integration from different instruments reveals the importance of performing multi-instrumental measurements to properly characterize the contribution of different aerosol types from different sources during extreme events. As can be seen in Fig. 3, the local and Mediterranean contributions are masked by the spectacular contribution of Saharan dust particles on 6 September from the point of view of sun-photometry.

Finally, the range-corrected signals and optical properties for 7 September (Figs. 7–9) exhibit a complex layering at
the end of the Saharan dust event. An important extension of the profile shows backscatter-related Angström exponents larger than 1. Nevertheless, a backscatter-related Angström exponent around 0.21 detected above 4.0 km a.s.l. indicates the presence of coarse particles at the highest altitudes.

Figure 11 presents the temporal evolution of extinction, backscatter and Lidar ratio profiles at 532 nm obtained by Raman algorithm (Ansmann et al., 1992) on 3, 4, 5 and 6 September at night time. These are in agreement with the evolution of backscatter profiles evaluated at noon (Figs. 8 and 9). The different altitudes of the dust layers are related to the temporal evolution of the aerosol properties along the event. The aerosol vertical extension reached up to 4.7 km a.s.l. during night of 4 September, several hours before the CALIPSO overpass (02:26 GMT). The attenuated backscatter quicklook shown in Fig. 10 indicates an aerosol dust-plume up to around 5.0 km a.s.l. Ground-based profile both elastic and inelastic (Figs. 8, 9 and 11) indicate an aerosol plume up to 4.7 and 5.7 km a.s.l. on 4 September at night time and 5 September at noon, respectively, in agreement with the CALIPSO retrievals (Fig. 10).

At night the aerosol optical depth derived directly from the Excalibur star-photometer and those computed by integration of the aerosol extinction coefficient profile, derived from Lidar, have been compared. On 5 and 6 September, in coincidence with the largest mineral dust load, the Lidar-derived aerosol optical depths at 532 nm for the period 20:30 to 21:00 were 0.44 and 0.63, respectively. While the corresponding star-photometer values at 500 nm were 0.38 and 0.66, respectively. Part of these differences can be explained in terms of the assumption of a height-independent extinction coefficient in the lower atmospheric layers for the Lidar computations. Furthermore, in the case of an atmosphere loaded with mineral dust particles, the requirement of homogeneity could not be fulfilled strictly. Therefore the aerosol optical depth computed along the vertical direction, as in the case of Lidar, and any given slant path, as the one used by the star-photometer, can be different.

The altitude-dependent Lidar ratio can be derived from the independent computation of aerosol extinction and backscatter profiles. The Lidar ratio at 2.0–3.6 km a.s.l. ranges around 27±6 sr and 24±9 sr on 3 and 4 September, respectively. On 4 September, below 2.0 km a.s.l. the Lidar ratio reached values up to 85 sr. On 5 September, when dust particles were transported over Granada, the Lidar ratio varied between 50–65 sr in the dust plume. Similar values were derived on 6 September. The Lidar ratios assumed for the elastic algorithm are included in the Figs. 8 and 9. These values, adjusted by comparison with sun-photometric data, are in agreement with the findings of active remote sensing (Raman methodology and backscatter-related Angström exponent profiles at noon) and synoptic analysis (including back-trajectories and modelling results). Thus, on 3 and 4 September the Lidar ratios are below 35 sr at 532 nm, but larger for the rest of the event.

Lidar ratio values found in this study are in agreement with those of other authors. For marine aerosols Müller et al. (2007) found values of 23±3 sr at 532 nm in Northern Atlantic during ACE2, and in the Tropical Indian Ocean during INDOEX (Müller et al., 2007) values of Lidar ratios at 532 nm of 23±5 and 29±8 sr have been measured in the planetary boundary layer and free troposphere, respectively. Likewise, Lidar ratios at 351 nm of 23 and 44 sr were registered in southern Italy (De Tomasi and Perrone, 2003). Finally, marine aerosols measured in Greece presented Lidar ratios of 28±11 sr at 355 nm (Amiridis et al., 2005). For polluted marine aerosol, values in the range 30–40 sr at 532 nm were obtained in the Tropical Indic Ocean during INDOEX field campaign (Müller et al., 2007).

On the other hand, mineral dust shows greater variability. In this sense, values in the range 40–80 sr and 50–90 sr at 532 and 355 nm, respectively, were found in Northern Europe (Ansmann et al., 2003). Values of 55±6 sr at 355 and 532 nm were registered in the planetary boundary layer for source regions in the Sahara desert during the SAMUM field campaign (Müller et al., 2007) and values of 59±11 sr at 532 nm for particles advected from Sahara desert in the free troposphere over Europe (Müller et al., 2007). Finally, values of 38±15 sr at 355 nm (Mona et al., 2006) and in the range 48–74 at 351 nm (De Tomasi and Perrone, 2003) were detected in southern Italy, and 51.7±24.7 sr at 355 nm in Greece (Balis et al., 2004).

During daytime, the required selection of an effective Lidar ratio can be obtained using the aerosol optical depth derived from the Cimel CE 318-4 as a constraint for the integral of Lidar-derived extinction profiles. Alternatively the Lidar ratio can be obtained directly from Cimel retrievals of single scattering albedo and Phase function by the following expression (Welton et al., 2002):

\[
L_r = \frac{4\pi}{\omega_0 P(180°)}. \tag{1}
\]

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Table 1. Layer contribution (percentage) to the aerosol optical depth at 532 nm, corresponding to the aerosol backscatter profiles shown in Figs. 8, 9 and 11 (D, day; N, night).

| Date | % Aerosol optical depth in Layers (a.s.l.) |
|------|------------------------------------------|
|      | <1.5 (km) | 1.5–2.5 (km) | 2.5–3.5 (km) | 3.5–4.5 (km) | 4.5–5.5 (km) | >5.5 (km) |
| 03/09 D | 51.3 | 34.6 | 11.1 | 1.7 | 0.7 | 0.1 |
|       N | 54.4 | 28.2 | 13.7 | 2.2 | 0.7 | 0.4 |
| 04/09 D | 43.1 | 32.5 | 20.4 | 2.8 | 0.5 | 0.1 |
|       N | 52.4 | 22.6 | 18.2 | 4.5 | 1.4 | 0.5 |
| 05/09 D | 27.5 | 25.9 | 19.9 | 15.8 | 9.4 | 0.7 |
|       N | 34.7 | 17.9 | 13.8 | 18.6 | 9.8 | 2.5 |
| 06/09 D | 6.1 | 9.1 | 33.2 | 33.4 | 16.1 | 1.4 |
|       N | 20.7 | 11.0 | 18.4 | 31.4 | 17.5 | 0.3 |
| 07/09 D | 18.3 | 24.4 | 26.7 | 24.6 | 5.0 | 0.0 |

Fig. 12. Lidar ratio at 532 nm computed by Cimel CE-318 via the Welton formula and computed by integration of tuned aerosol extinction profile (tuning via aerosol optical depth).

where $L_r$ is the Lidar ratio, $\omega_0$ the single scattering albedo and $P(180^\circ)$ the phase function at $180^\circ$. A comparison between these two approaches has been performed (Fig. 12). Time differences are due to the lack of synchronisation between the radiance measurements of Cimel CE 318-4 sunphotometer used to obtain the column-integrated microphysical properties, which fulfil appropriate criteria (symmetry and aerosol optical depth at 440 nm $>0.4$), and the Lidar measurements. In any case, the Lidar ratios derived from the two procedures are in agreement, showing values between 40 and 60 sr for all cases as is typical for Saharan dust events. On 5 September we performed an intense monitoring of the first stage of the Saharan dust event. A pattern can be seen during this day with minimum values at noon and an increasing trend at the end of the day. This behaviour is also seen on the next day (in spite of the low number of data). Finally, the Lidar ratio seems to be stable on 7 September.

According to the previous results on the vertical structure it is evident that the arrival of Saharan dust implied a large increase in the aerosol load in the free troposphere. To estimate the contribution of light extinction by the tropospheric particles to the aerosol optical depth, we calculated the contribution (in percent) to the aerosol optical depth for the different layers. Computations have been performed on the basis of backscatter profiles during daytime and both backscatter and extinction profiles at night. Table 1 presents the contribution of different layers to the aerosol optical depth at 532 nm, computed by considering the vertical profile associated with backscatter profiles at any time. The contribution computed on the basis of extinction profiles differs from that derived from backscatter profiles by less than 6%, except in some cases for the layer below 1.5 km a.s.l., where the difference is slightly larger. Thus, in the initial stages of the period analyzed the contribution below 2.5 km a.s.l. reached values around 85% and 75% on 3 and 4 September, respectively. During the rest of the event the contribution to the aerosol optical depth does not show large differences up to 4.5 km a.s.l., except on 6 September at noon where the layer confined between 2.5 and 4.5 km a.s.l. present a value as large as 67%.

As part of the most recent activities in EARLINET network, a validation of the DREAM model is presented during this extreme Saharan dust event. The vertical profile of the aerosol backscatter coefficient obtained by Lidar is presented in Fig. 13 along with the corresponding mineral dust density profiles forecast by the DREAM model. In order to perform a reliable comparison, forecasts of mineral dust density profiles were chosen in temporal coincidence with Lidar measurements at 12:00 and 21:00 GMT. From this comparison one can see that the DREAM model is able to forecast the main features of dust transport, especially during the day with the most intense values for optical properties (6 September). Thus, on 6 September at noon the DREAM model forecasts correctly the dust layer thickness (about 3 km) but underestimates its altitude by around 0.5 km. In addition, the complex layering is not properly predicted. However, at night the DREAM model locates the maximum values of mineral dust density at the altitude at which the Lidar retrieves the maximum values for aerosol backscatter coefficient, around 4.0 km a.s.l. Again, the Lidar reveals a more complex layering than that forecast by DREAM. Similar behaviour was observed during an extraordinary dust event over Beijing (China) in April 2006 (Papayannis et al., 2007).
Fig. 13. Aerosol backscatter coefficient profiles, obtained by Lidar at 532, for the most intense days of the analyzed event, both day and night, with the corresponding mineral dust density profiles forecast by the DREAM model.

The differences between the Lidar and the DREAM model are more obvious during the rest of this Saharan dust event, when optical properties achieve values lower than those on 6 September, especially at the lowest altitudes. In any case, the monitoring with aerosol backscatter coefficient and mineral dust density profiles shows good temporal correlation during the most intense dust stage. Therefore, this comparison has permitted validation of the DREAM dust forecast model’s vertical profiles over Granada. Possibly, the differences encountered could be minimized using more accurate meteorological data and more realistic regional topography.

6 Heating rate

The parameter traditionally used in climate modelling has been the radiative forcing calculated at the top of the atmosphere. Thus, aerosol particles mainly have a net cooling effect, which is a negative radiative forcing, because of the scattering of solar radiation in all directions. Nevertheless, some kind of particles like mineral dust or soot can absorb radiation causing the opposite effect. Therefore, aerosols are able to heat the atmospheric layers in which they are included when they absorb solar radiation, in spite of the possible net cooling effect for the atmospheric column (Pilewskie, 2007). These differing effects for surface and atmosphere have been observed previously. For example, convective coupling between the surface and troposphere is weak during the tropical dry season, and solar heating by aerosol particles are able to amplify the effect of greenhouse gases warming the atmosphere while simultaneously cooling the surface (Ramanathan et al., 2001). In this sense, our understanding of the vertical heating rate is crucial (Ramanathan et al., 2007). The absorption of solar radiation caused by several compounds is important for atmospheric heating. This can be quantified by the heating rate (Liou, 2002):

$$\frac{\partial T(z)}{\partial t} = -\frac{1}{\rho C_p} \frac{\Delta F(z)}{\Delta z},$$

where $\partial T(z)/\partial t$ is the heating rate at altitude $z$, $\rho$ is the air density, $C_p$ is the specific heat at constant pressure, $\Delta F(z)$ is the net flux density divergence for a given layer with thickness $\Delta z$. The SBDART code (http://arm.mrcsb.com/sbdart/) has been used to compute vertical distributions of heating rate during this extreme Saharan dust event. SBDART is a tool that computes plane-parallel radiative transfer both within the atmosphere and at the surface, including all important processes that affect the radiation (Ricchiazzi et al., 1998). This radiative transfer computer code characterizes aerosols using as input the spectral aerosol optical depth, the spectral single scattering albedo, and the spectral asymmetry parameter (derived from radiometric measurements). Other inputs required by the code include the solar zenith angle, total ozone columnar content (retrieved from TOMS, http://toms.gsfc.nasa.gov/), and the selection of an atmospheric model to prescribe the gaseous composition of the atmosphere (summer mid-latitude atmosphere in our case). With respect to the vertical distribution of aerosol three different runs of the code have been considered: (i) aerosol-loaded atmosphere using Lidar profile, (ii) aerosol-loaded
Figure 14 shows the heating rate profile obtained for two days during this event, including the most intense stage (6 September). For both cases the heating rate has been computed using the real value of the aerosol optical depth. On 6 September the results at surface are completely different depending on the use of a Lidar profile or the assumption of an exponential decay. Thus, for the exponential decay Fig. 14 reveals an overestimation of the heating rate in the range below 3 km a.s.l. with a maximum of 4 K/day at surface. However, for upper altitudes (3 km to 6.7 km a.s.l.) the heating rate is underestimated. The maximum value of heating rate is obtained at the upper limit of the most intense layer (3 km to 4.5 km a.s.l.; Fig. 9). Overestimation around 0.3 K/day is observed in the free troposphere. Similar overestimation/underestimation trends are observed for the 7 September, but absolute differences are lower than those obtained for the previous day. Therefore, the exponential decay indicates a slight overestimation of the heating rate from the surface up to 1.7 km a.s.l., with maximum values of 0.4 K/day, and an underestimation above that altitude up to 6.7 km a.s.l. Finally, in the free troposphere there is an overestimation with maximum values below 0.1 K/day.

Large differences in computed heating rates can be observed depending on the vertical distribution of aerosol particles considered. Thus, the lack of knowledge of the real aerosol vertical distribution implies serious discrepancies in the vertical profile of heating rates. For the lower troposphere there is an overestimation, which is especially relevant within the planetary boundary layer, with the largest values near the surface. For altitudes at which lofted aerosol layers are located there is an underestimation, whereas for upper altitudes results are similar with a maximum uncertainty of 0.3 K/day. The heating rates computed considering the complex structure of atmospheric aerosol profiles suggest strong heating of upper layers that can modify vertical temperature profiles, leading to the formation of a stable layer where vertical exchanges are suppressed. This favours the trapping of aerosol particles below the stable layer and the suppression of cloud development.

7 Summary and conclusions

Long-range transport of dust from Northern Africa to the southern Iberian Peninsula from 3 to 7 September 2007 was studied based on active and passive remote sensing from surface and satellite to characterize atmospheric aerosol properties and their evolution in time and space (3-D). Analysis of this extreme event (the most intense in south-eastern Iberian Peninsula from August 2004 to December 2008 as registered in AERONET level 2 data) has shown that the synergetic use of active and passive instrumentation including sensors on surface and on board satellite improves our understanding of aerosol properties.

On the basis of photometry, the most intense stage over Granada took place on 6 September at noon, when a combination of large aerosol optical depth, in the range 0.86–1.50 at 500 nm, and reduced Angström exponents, in the range 0.1–0.25, were detected. The spatial and temporal analyses of this dust mineral plume revealed that several AERONET stations underwent the Saharan dust outbreak, with a temporal evolution that agrees with that described by MODIS. Nevertheless, we found that the aerosol optical depth generated by MODIS underestimates that derived by AERONET (between 35% and 60% depending on the station considered), especially during the most intense stage of this extreme Saharan dust event. Such discrepancy has been reported previously by other authors, and can be attributed principally to differences in spatial and temporal scales associated to the satellite and ground-based data.

Lidar measurements have also allowed inference that aerosol particles were distributed in two different layers during the event, although the vertical extent and optical properties were different for each day. During the most intense stage the maximum values of aerosol backscatter (1.5 × 10−2 km−1 sr−1 at 355 and 532 nm) and minimum Angström exponent were monitored (−0.44). These results are in agreement with the aerosol optical depth. Angström exponent and volume size distributions retrieved by sun-photometry. As in previous days, huge differences in optical properties at different altitudes were observed. This suggests the convenience of multi-instrumental measurements to properly characterize the contribution of different aerosol types from different sources during extreme events. Using sun-photometry, local and Mediterranean contributions were masked by the spectacular contribution of Saharan dust particles on 6 September, while Lidar revealed the complex situation. Active remote sensing from surface was coherent with satellite observations. On 5 and 6 September, when dust particles were transported through the atmospheric column over Granada, the Lidar ratio varied between 50–65 sr within the dust plume.

It has been shown that the DREAM model was able to forecast the main features of dust transport, especially during the most intense period. Thus, on 6 September at noon the DREAM model forecast correctly the dust layer thickness but underestimated its altitude slightly, and the complex layering was not properly predicted. The aerosol backscatter coefficient and mineral dust density profiles showed good temporal correlation when the dust event was more intense. The detected discrepancies could likely be minimized using more accurate meteorological data and a more realistic regional topography.

Finally, knowledge of vertical distribution plays an important role in computations of the atmospheric heating rates. Our computations revealed that the lack of knowledge of real aerosol vertical distribution implies an overestimation of
heating rate in the lower troposphere, especially within the planetary boundary layer, and a marked underestimation in the free troposphere where long-range transport of aerosol particles takes place.

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