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A tensor network annealing algorithm for two-dimensional thermal states

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Tensor network (TN) algorithms have become a powerful tool in the study of quantum many-body systems [1–4]. They build upon and further develop the so-called density matrix renormalisation group (DMRG) approach [5, 6], that is able to simulate ground states of one-dimensional strongly correlated systems essentially to machine precision. Once the structure of DMRG was understood as being a tensor network approach [7], further method development followed. This prominently included methods to capture time-dependent problems [8–10], as well as a machinery to describe open dissipative systems [11–15] and thermal states in one spatial dimension [16–18].

The study of two dimensional strongly correlated systems with TN methods, however, comes along with serious numerical effort and conceptual challenges [19, 20]. Projected entangled pair states (PEPS) allow to grasp pure ground states of two-dimensional models [21, 22]. For thermal states, though, the numerical challenge is even harder, where only few methods have been proposed for simple spin systems [23–25] in sharp contrast to ground state calculations and much remains to be explored. This is even more of a serious omission since two-dimensional quantum systems at finite temperature are ubiquitous in a number of context. This prominently includes effectively two-dimensional quantum materials in real laboratory conditions as well as systems of ultra-cold atoms in optical lattices in instances of quantum simulations [26, 27] in the quantum technologies [28].

In this work, we innovate an efficient tensor network algorithm for capturing thermal states of quantum lattice systems in two spatial dimensions and in the thermodynamic limit. Our approach significantly further develops a core idea of Ref. [13] in that it uses the vectorization of a PEPS together with simple update and corner transfer matrix (CTM) techniques [29–32]. The scheme starts from an infinite-temperature state and simulates the annealing towards lower temperatures until reaching the desired regime. Our method is particularly efficient and practical in realistic situations. Compared to previous attempts to simulate 2D thermal states with TNs, it can go well beyond Ising-type simulations of locally-purified thermal PEPS [33], and is far more efficient than 2D TN algorithms based on cluster updates and self-consistent environment calculations [34]. To exemplify this point, equipped with this powerful tool, we turn to simulating thermal Ising and Bose-Hubbard models on an infinite square lattice. While the Ising model is an excellent benchmark [35], the study of the Bose-Hubbard model allows to compare with realistic lab settings in optical lattice experiments performing quantum simulations [26–28] of strongly correlated matter.

Methods. Our approach is a “cooling down” or “annealing” technique that we describe in what follows. A thermal state in the canonical ensemble and for Hamiltonian $H$ can be written, up to normalization, as $\rho = e^{-\beta H}$ for some inverse temperature $\beta = 1/T > 0$. This state can also be written as

$$\rho = e^{-\beta H/2} \otimes e^{-\beta H/2},$$

(1)

where $\mathbb{I}$ is the identity operator which, for a lattice system, decomposes as the tensor product of identities for every site. As such, this state can be understood, at least intuitively, as an imaginary time evolution with respect to both the vector (“ket”) and the dual vector (“bra”) degrees of freedom. The identity $\mathbb{I}$ can easily be written as a projected entangled pair operator (PEPO) of unit bond dimension. Moreover, $\mathbb{I}$ is also the mixed quantum state (again up to normalization) at $\beta = 0$ ($T = \infty$). Thus, in order to obtain a thermal state at finite temperature $T$, one can simply cool down, i.e., anneal, this state. Ideally, this is done as “slowly” as possible in the sense of a large number of steps in order to avoid getting stuck in metastable states. To simulate this procedure, one can divide the final anticipated $\beta$ into positive integer $m$ “temperature slices” $\Delta \beta \ll 1$, such that $m\Delta \beta = \beta$. The mixed state can
then be written as
\[ \rho = (e^{-\Delta \beta H})^{m/2} \vert I \rangle \langle I \vert, \] (2)
see Fig. 1(a). The exponential \( e^{-\Delta \beta H} \) can be well approximated via a Suzuki-Trotter expansion. For instance, for a local Hamiltonian made of two-body non-commuting terms \( H = \sum_{i,j} h_{i,j} \) with \( \| h_{i,j} \| = O(1) \), one has \( \| e^{-\Delta \beta H} - \prod_{i,j} e^{-\Delta \beta h_{i,j}} \| = O(n^2 \Delta \beta^2) \) for a system size \( n \). In order to simulate Eq. (2), we build on the vectorization approach from Ref. [13], i.e., we consider vectors and dual vectors together, and implement the thermal state as an imaginary-time evolution of a vectorized mixed state \( \vert \cdot \rangle \) as
\[ |\rho\rangle^e = (e^{-\Delta \beta H \otimes 1})^{m/2}(e^{-\Delta \beta 1 \otimes H^T})^{m/2}|I\rangle^e, \] (3)
using an isomorphism between mixed states \( \rho \) and state vectors \( |\rho\rangle^e \). This equation means that the calculation of the thermal state is formally equivalent to the imaginary-time evolution of a state vector \( |\cdot\rangle^e \). The key point is that, for a Hamiltonian \( H \) consisting of local interactions on a two-dimensional lattice, we can actually implement such an evolution using the full machinery of algorithms that has been developed for pure states. We do this by choosing to work with the so-called “simple update” [36] scheme for tensor updates with \( \Delta \beta = 10^{-4} \), and CTM techniques [31, 32] for the evaluation of expectation values. The simple update scheme assumes a `mean-field` like environment while making the tensor updates. The effect of the whole environment is then included while calculating the observables using the CTM techniques as illustrated in Fig. 1(c).

Our method has a number of advantages with respect to other approaches in two spatial dimensions. First, and as said above, we can straightforwardly apply everything we already know about imaginary-time evolution of PEPS, including different schemes of tensor updates, this one turning out to be particularly feasible. Second, it is much more efficient than schemes based on TNs for purifications of the mixed state [11, 12, 33], because we only need a single 2D layer of tensors to describe expectation values and effective environments, as compared to the double-layer approach of purification schemes. The scaling in our case is \( O(d^4 + \chi^2 D^4 + \chi^3 D^3) \) where \( d \) and \( D \) corresponds to the physical and bond dimension of the PEPO and \( \chi \) is the bond dimension of the CTM. Moreover, it is known that such purifications may lead to lower accuracies (on top of slower performance) in TN algorithms because of intrinsic limitations [37, 38]. Additionally, we choose to use the “simple update” of tensors throughout the evolution because it is particularly fast and efficient when dealing with gapped systems. The simple update has a cost of \( O(d^4D^4 + d^2D^5) \). Given the large degree of complexity of simulating two-dimensional thermal states, we find that this degree of efficiency is important, and it makes the algorithm way faster than those developed using other types of tensor updates, even without purifications [34]. All in all, and as we shall see, our method provides fast and accurate results for the studied systems not just in the limiting cases of infinite and zero temperature, but also in the intermediate-temperature regime, where strong thermal and quantum fluctuations are simultaneously present.

Results for the Ising model. We start by benchmarking the validity of our approach with the ferromagnetic Ising model on an infinite square lattice at finite temperature, which can be exactly solved as famously proven by Onsager [35]. It is easy to show that the thermal density matrix of this model can be written as a PEPO with bond dimension \( D = 2 \). In order to see this, consider the Hamiltonian of the model, given by
\[ H = -\sum_{\langle i,j \rangle} \sigma_i^z \sigma_j^z, \] (4)
where \( \sigma^z \) is the Pauli-Z matrix supported on site \( j \). The thermal density matrix at inverse temperature \( \beta \) can be written as
\[ \rho = e^{\beta \sum_{\langle i,j \rangle} \sigma_i^z \sigma_j^z} = \prod_{\langle i,j \rangle} (\cosh \beta \| + \sinh \beta \sigma_i^z \sigma_j^z). \] (5)
The expression in Eq. (5) is nothing but a product over the links of two-site matrix product operators (MPO) with bond dimension two. The product of all such MPOs on a square lattice amounts to an exact PEPO with bond dimension \( D = 2 \), as claimed. Thus, the fact that this model allows for an exact PEPO representation for any \( \beta > 0 \) implies that it is an excellent model to benchmark our numerical method. Following this idea, we obtain the PEPO for the thermal density matrix by using our numerical technique. The results are depicted in Fig. 2, where one can see that our algorithm produces re-
therefore we have a local Fock space of local dimension two

\[
\begin{align*}
\hat{c}_i \hat{c}_j^\dagger & = (\cosh \beta)^{1/2} \delta_{ij} \\
\hat{c}^\dagger_i \hat{c}_j & = (\sinh \beta)^{1/2} (\sigma^x)_{ij} \\
\hat{c}_i \hat{c}_j & = \cosh \beta + \sinh \beta \sigma_i^z \sigma_j^z
\end{align*}
\]

Results for Bose-Hubbard models. Next, we apply our method to study the finite-temperature properties of a non-integrable model, specifically the Bose-Hubbard model on the infinite square lattice. The model is itself very relevant in the context of ultra-cold atom experiments with optical lattices. Moreover, real-life laboratory conditions imply small thermal fluctuations, which we can conveniently target via our method. The ground state properties of this model have been widely studied with a variety of methods. For finite-temperature, quantum Monte Carlo methods are still applicable providing benchmark results [40, 41], but not in case of a sign-problem, in contrast to the method used here [42].

We start by considering the hard-core limit, i.e., the situation when only one boson per site is allowed at most and therefore we have a local Fock space of local dimension two

\[
\beta \approx 2 \exp(-\mu/J)
\]

Notice that the error increases around the critical value of $\beta$, as expected from CTM contraction methods when dealing with large amount of correlations.

We have computed the thermal phase diagram of this model using our annealing algorithm, and for completeness and

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FIG. 2: Finite-temperature phase diagram of the ferromagnetic Ising model on an infinite square lattice. The red curve is obtained by using our approach with bond dimension $D = 2$. The blue curve is the exact solution obtained by Onsager [39]. In the inset we show the relative error. Notice that the error increases around the critical value of $\beta$, as expected from CTM contraction methods when dealing with large amount of correlations.

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the on-site occupation number, \( \langle \hat{n}_j \rangle \) is shown in Fig. 3 for bond dimension \( D = 2 \) and different temperatures \( T \), as a function of the chemical potential \( \mu \) and taking \( J = 1 \).

For very low temperatures \( T \approx 0 \), we see that \( \langle \hat{n}_j \rangle = 0 \) for \( \mu \leq -4 \) and \( \langle \hat{n}_j \rangle = 1 \) for \( \mu \geq 4 \). These regions in the phase diagram contain thus an integer number of bosons per site and correspond to a Mott insulating (MI) phase, whereas the region \(-4 < \mu < 4\) is in the super-fluid (SF) phase. As the temperature increases, the size of the SF phase shrinks, until eventually disappearing around \( T \approx 1 \). We conclude that the SF-MI transition is not just a property of the zero-temperature state, but it also shows clear signatures at low but finite temperatures. At larger temperatures \( T > 1 \), though, we see that this transition is no longer visible. Additionally, we have computed the order parameter \( \rho_0 = |\langle a_j \rangle|^2 \), which reveals the broken \( U(1) \) symmetry of the SF phase and provides information on the “condensate fraction”. This is also shown in Fig. 3. Clearly, the order parameter is vanishing for large enough temperatures, as expected for the breaking down of the condensate when the temperature of the system increases.

The finite bond dimension \( D \) seems to induce a finite correlation length in the system, thus imposing an effective length scale. The physics that we are observing, thus, may also be more compatible in some situations with the expected behavior for a finite system: this concerns, e.g., the appearance of a finite condensate fraction at \( T \neq 0 \) [44]. The correct thermodynamic limit is then recovered doing finite-\( D \) scaling.

We now turn to relaxing the hard-core condition in order to allow for larger particle numbers per site. Here, we consider the simplest soft-core example, allowing up to two bosons per site, i.e., local Fock space of dimension three. This could interestingly be achieved as the limiting case of strong three-body dissipation [15, 45, 46] or by a proper tuning of the super-exchange regime of spin-1 particles [47]. Such a restricted Bose-Hubbard model might disclose paths towards interesting fractional quantum Hall states, once decorated with synthetic magnetic fluxes. The Hamiltonian is given by

\[
H = -J \sum_{\langle i,j \rangle} (a_i^\dagger a_j + a_j^\dagger a_i) - \mu \sum_i \hat{n}_i + \frac{U}{2} \sum_i \hat{n}_i (\hat{n}_i - 1),
\]

which takes the same form as the hard-core Hamiltonian, but with the extra term of the on-site repulsive density-density interactions of strength \( U \) (and which are zero in the hard-core limit \( U \to \infty \)). We perform a similar study as the one done in the hard-core case, focusing on the small-hopping regime for demonstrative purposes. In particular, we set the parameters to \( J = 1 \), \( U = 100 \), and we study the phase diagram as a function of the chemical potential \( \mu \). Our results are shown in Fig. 4, where we find similar results as for the hard-core limit, but with MI phases at \( \langle \hat{n}_j \rangle = 0, 1, 2 \) and SF phases at intermediate regions between these occupation numbers which shrink in size as the temperature increases and eventually tend to disappear at sufficiently large \( T \). Interestingly, the fluctuations of the on-site occupation number, \( \langle \hat{n}_j^2 \rangle - \langle \hat{n}_j \rangle^2 \) (not shown here), retain their qualitative behavior at all considered temperatures: i) They vanish where \( \langle \hat{n}_j \rangle \) exhibit plateaus, thus signaling a certain robustness of the Mott features versus thermal fluctuations. ii) They are sizeable in the intermediate regions, even when the order parameter \( \rho_0 \) vanishes, thus indicating that the system is compressible but not coherent there.

For example, we have found that for \( \mu/U = 0.4 \), the variance is of the order of \( 10^{-3} \) confirming the robustness of the MI phase even at \( T = 2 \). Moreover, the results of Fig. 4 also justify our approximation of low occupation number (i.e., up to two bosons per site, so that for larger \( \mu \) the simulations are no longer reliable), for the studied regime of chemical potential [48].

**Conclusions.** In this work we have introduced and discussed an efficient tensor network algorithm to compute finite-temperature properties of two-dimensional quantum lattice systems in the thermodynamic limit. The method uses an annealing procedure which is simulated via vectorization of pro-
jected entangled pair operators describing the thermal state. We have benchmarked the algorithm with the exact solution of the 2D Ising model on the square lattice, and applied it subsequently to study finite-temperature properties of the Bose Hubbard model on the square lattice, in the hard-core limit as well allowing up to two bosons per site. Our method is fast and easy to implement, whenever PEPS expertise is available for ground state calculations. Because of this, it is our belief that it will become a versatile tool in future studies of finite-temperature properties of two-dimensional quantum matter, as well as in the benchmarking of optical-lattice experiments in two dimensions under real-life laboratory conditions.

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