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The unintended consequence of SO$_2$ and NO$_2$ regulations over China: increase of ammonia levels and impact on PM$_{2.5}$ concentrations

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Abstract. Air pollution reaching hazardous levels in many Chinese cities has been a major concern in China over the past decades. New policies have been applied to regulate anthropogenic pollutant emissions, leading to changes in atmospheric composition and in particulate matter (PM) production. Increasing levels of atmospheric ammonia columns have been observed by satellite during recent years. In particular, observations from the Infrared Atmospheric Sounding Interferometer (IASI) reveal an increase of these columns by 15 % and 65 % from 2011 to 2013 and 2015, respectively, over eastern China. In this paper we performed model simulations for 2011, 2013 and 2015 in order to understand the origin of this increase and to quantify the link between ammonia and the inorganic components of particles: NH$_4^+(p)$/SO$_4^{2-}(p)$/NO$_3^-(p)$. Interannual change of meteorology can be excluded as a reason: year 2015 meteorology leads to enhanced sulfate production over eastern China, which increases the ammonium and decreases the ammonia content, which is contrary to satellite observations. Reductions in SO$_2$ and NO$_x$ emissions from 2011 to 2015 of 37.5 % and 21 % respectively, as constrained from satellite data, lead to decreased inorganic matter (by 14 % for NH$_4^+(p)$ + SO$_4^{2-}(p)$ + NO$_3^-(p)$). This in turn leads to increased gaseous NH$_3(g)$ tropospheric columns by as much as 24 % and 49 % (sampled corresponding to IASI data availability) from 2011 to 2013 and 2015 respectively and thus can explain most of the observed increase.

1 Introduction

Particulate matter (PM) pollution poses serious health concerns all over the world and particularly over China (Cohen et al., 2017; Landrigan et al., 2017). Among PM precursors, several studies (Seinfeld and Pandis, 2006; Schaap et al., 2004; Lelieveld et al., 2015; Bauer et al., 2016; Pozzer et al., 2017) pointed out the importance of ammonia (NH$_3(g)$), whose main source is agriculture and which acts as a limiting species in the formation of fine particulate matter (PM$_{2.5}$, particulate matter with an aerodynamic diameter less than 2.5 µm; Banzhaf et al., 2013). Balance between SO$_2$, NO$_x$ and NH$_3$ emissions will define cation- or anion-limited regimes of inorganic particulate matter formation, and this is key for PM control policies (Paulot et al., 2016; Fu et al., 2017). Yet, an increase in the ammonia atmospheric content over China has been observed by the satellite instrument AIRS, with a trend of +2.27 % yr$^{-1}$ from 2002 to 2016 (Warner et al., 2017). Infrared Atmospheric Sounding Interferometer instrument (IASI) satellite observations

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(Van Damme et al., 2017) also show an increased density of the NH$_3$(g) column over China between 2011 and 2015, with a sharper trend between 2013 and 2015. Several factors could explain this enhancement, including an increase of NH$_3$(g) emissions in China, whereby agricultural activities represent 80%–90% of total ammonia emissions in China (Kang et al., 2016). However, ammonia emissions appear to have reached a maximum in 2005 and have been almost constant since (Kang et al., 2016). Another study (Zhang et al., 2018) estimates a 7% increase of NH$_3$ emissions between 2011 and 2015, much lower than the observed trends. Other sources, such as biomass burning and associated ammonia emissions, do not show particular trends (Wu et al., 2018). Consequently, the increase of atmospheric NH$_3$(g) concentrations over China does not seem to be explained by changes in ammonia emissions. The rise of ammonia concentrations over China could be explained by increased NH$_3$(g) evaporation from inorganic PM due to a rise in temperature, as shown by Riddick et al. (2016). Meteorological variations would change both the NH$_3$(g) volatilization and the equilibrium between ammonia, ammonium nitrate (NH$_4$NO$_3$) and nitric acid (HNO$_3$). Finally, a decrease in sulfate and total nitrate availability caused by SO$_x$ (SO$_2$ + SO$_{2x}$) and NO$_x$ (NO + NO$_2$) emission reductions (Liu et al., 2017; de Foy et al., 2016) could leave more ammonia in the gas phase, since less ammonium is required to neutralize particle-phase acids, following a mechanism already observed by Schiferl et al. (2016) over the United States. Such a decrease in SO$_2$ emissions has also occurred over China since 2011 (Koukouli et al., 2018). Its impact on atmospheric ammonia concentrations has not been quantified yet. Wang et al. (2013) examined the change of Chinese sulfate–nitrate–ammonium aerosols due to anthropogenic emission changes of SO$_2$ and NO$_x$ from 2000 to 2015. However, they assumed an increase of NO$_x$ emissions from 2006 to 2015 and not the large decrease observed since 2013.

A recent study by Liu et al. (2018) suggests that ammonia increase comes mainly from SO$_2$ emission policies. They found that the changes in NO$_x$ emissions decreased the NH$_3$ column concentrations in their study period. Conversely, Fu et al. (2017) have shown that SO$_2$ and NO$_2$ emissions control was an important factor affecting the significant enhancement of NH$_3$ column concentrations over China during the period 2011–2014. In addition, our study also presents a comparison to NH$_3$ IASI satellite observations. Our goal here is to understand the factors controlling atmospheric ammonia concentrations over China in recent years. In order to identify the drivers of NH$_3$(g) variability over China, we conducted different sensitivity studies with a regional chemistry-transport model, isolating the impacts of (i) meteorological conditions and (ii) decrease of anthropogenic SO$_2$ and NO$_x$ emissions. Section 2 presents the regional chemistry-transport model (CTM) CHIMERE used in this study and the different settings of the performed sensitivity tests. It also presents IASI NH$_3$ column and surface PM observations. Section 3 gives the results of the sensitivity tests and shows the impacts of meteorological conditions and emission changes on ammonia and ammonium concentrations. The simulations are also evaluated by comparison of the modelled PM$_{2.5}$ concentrations with surface measurements. Finally, the modelled NH$_3$(g) column interannual variability is compared to that retrieved from satellite (IASI) data.

2 Material and method

2.1 IASI satellite observations

NH$_3$(g) column observations from space are provided by the Infrared Atmospheric Sounding Interferometer instrument (IASI), operating between 3.7 and 15.5 µm, on board the Metop-A European satellite (Clarisse et al., 2009; Van Damme et al., 2018). The algorithm used to retrieve NH$_3$ columns from the radiance spectra is described in Whitburn et al. (2016) and Van Damme et al. (2017).

For this study we used the dataset ANNI-NH3-v2.2R, relying on ERA-Interim ECMWF (European Centre for Medium-Range Weather Forecasts) meteorological input data rather than the operationally provided EUMETSAT IASI Level 2 (L2) data used for the standard near-real-time version. An analysis of ANNI-NH3-v2.1 time series revealed sharp discontinuities coinciding with IASI L2 version changes (Van Damme et al., 2017). With the ECMWF ERA-Interim reanalysis, the time series is now coherent in time (except for the cloud coverage flag) and can therefore be used to study interannual NH$_3$(g) variability over eastern China between 2011 and 2015 (Fig. 1). We used the daily satellite information from morning orbits (at ~09:30 LT) to have daily information on IASI data availability. The IASI total columns are first averaged into daily “super-observations” (average of all individual IASI data within the 0.25° × 0.25° resolution of CHIMERE). The annual gridded means of Fig. 1 are calculated from these gridded daily super-observations. In this study, and as suggested in Van Damme et al. (2017), we do not apply a selection to the IASI observations. In 2011, a mean value of 4.7 × 10$^{15}$ molecules cm$^{-2}$ is observed for eastern China, increasing to 5.36 × 10$^{15}$ molecules cm$^{-2}$ in 2013 (+15%) and 7.76 × 10$^{15}$ molecules cm$^{-2}$ in 2015 (+65%).

2.2 The chemistry-transport CHIMERE model and updated NO$_x$ and SO$_2$ emissions

CHIMERE (2014b version) is a three-dimensional chemistry-transport regional model (Menut et al., 2013; Mailler et al., 2017; http://www.lmd.polytechnique.fr/chimere/, last access: 15 September 2018) run here over a 0.25° × 0.25° regular grid, on a domain completely covering China’s territory (72°30′–145°E, 17°30′–55°N).
The domain includes 290 (longitude) grid cells × 150 (latitude) grid cells and 17 vertical layers, with altitude going from the ground to 200 hPa (about 12 km), with eight layers within the first 2 km. Meteorological fields are provided by ECMWF meteorological forecasts (Owens and Hewson, 2018; Jingjing et al., 2015; Chen et al., 2010). To prescribe atmospheric boundaries and initial composition, climatological values are used from the LMDZ-INCA global model (Szopa et al., 2008). Biogenic emissions are calculated taking into account meteorological parameters with the MEGAN-v2 model (Guenther et al., 2006). We use the EDGAR-HTAP-v2.2 inventory delivered for the year 2010 (Janssens-Maenhout et al., 2015) to prescribe the anthropogenic emissions for the simulation. Chinese emissions in EDGAR-HTAP-v2.2 are derived from the Multi-resolution Emission Inventory for China (MEIC) developed by Tsinghua University, the NH_{3(g)} emission inventory from Peking University (Huang et al., 2012) and the Regional Emission inventory in Asia (REAS) (Kurokawa et al., 2013) to fill the remaining gaps. The respective total annual emissions of SO_{2}, NO and NH_{3(g)} for 2010 are 42.4, 25.2 and 20.3 Mt (Table 1), and the spatial distributions of these emissions are represented in Fig. 2.

We used the most recent HTAP emission inventory built for the year 2010 to simulate emissions of the year 2011, assuming that both years have similar emissions. Initially, a comparison of the OMI and CHIMERE NO_{2} columns for 2011 shows a Pearson correlation coefficient of 0.78 for daily values and an annual mean bias of −7 %. To understand the impact of the NO_{x} and SO_{2} emission reductions observed over China in 2013 and 2015, the model emissions need to be updated. The observed variability of satellite columns has been used to update emissions, as in Palmer et al. (2006). Here NO, NO_{2} and SO_{2} emissions have been updated following the variability observed by OMI between 2011, 2013 and 2015. We assume that NO_{2} variations are controlled by NO_{x} emissions changes. The yearly gridded relative variabilities seen by the OMI instrument between 2011 and 2013 and between 2011 and 2015 are applied to daily prior anthropogenic SO_{2}, NO and NO_{2} emissions. H_{2}SO_{4} emissions represent only a small fraction of SO_{2} (1 %) and, consequently, are not updated here. Equation (1) has been applied to each pixel of our regional grid for 2015 and 2013, where i can be SO_{2}, NO or NO and j the pixel number:

\[
\text{Emis}_{\text{year},i,j} = \text{Emis}_{2010,i,j} \times \left( \frac{\text{Col}_{\text{year},i,j}}{\text{Col}_{2010,i,j}} \right).
\]

Emis_{2010} represents the reference HTAP emission inventory, and Col_{year} and Col_{2010} the respective OMI satellite observation values for 2011 and 2015 (or 2013). Corrections for the emission inventory are shown in Fig. 3. Values of derived emissions have been limited to 500 % of initial values, to avoid outlier values located in North Korea. It should be noted that our update based on annual averages does not modify the seasonal cycle for NO_{x} and SO_{2} (with a maximum in winter; see Fig. S1 in the Supplement). In the model, spatial distributions for NO_{x} and SO_{2} emissions appear to have a similar structure (see Fig. 2). Ammonia emissions show a significant seasonal cycle with emissions higher during summer than during the other seasons. On a molar basis, NH_{3(g)} emissions are low compared to SO_{2} and NO_{x} emissions, except in summer when NH_{3(g)} emissions are in excess compared to SO_{2} alone (Fig. S1 in the Supplement).

When applying Eq. (1), the temporal evolution of OMI NO_{2} columns leads to a decrease in NO_{x} emissions (Figs. S3 and S4 in the Supplement), particularly after 2013 (+1 % in 2013 and −21 % in 2015 as compared to 2011). Liu et al. (2017) derived similar NO_{x} emission changes with the exponentially modified Gaussian method of Beirle et al. (2011) (e.g. decrease of 21 % of NO_{x} emissions within Chinese cities between 2011 and 2015). We have also compared our updated inventories for NO_{x} and SO_{2} with the DECSO
Table 1. Annual budgets of the EDGAR-HTAP-v2.2 inventory and of emissions corrected from the OMI instrument (this work), for SO$_2$, NO and NO$_2$, over Asia and over eastern China (in Mt). The Asian domain corresponds to our full domain (72° 30’–145° E, 17° 30’–55° N), and the eastern China domain corresponds to a smaller domain (110°–125° E, 20–45° N), displayed by a black rectangle in Fig. 2.

| Domains     | Species | Reference emissions EDGAR-HTAP-v2.2 for 2010 | Emissions derived for 2013 | Emissions derived for 2015 |
|-------------|---------|---------------------------------------------|-----------------------------|-----------------------------|
| Asia        | NH$_3$  | 20.3 Mt                                      | =                          | =                           |
|             | SO$_2$  | 42.4 Mt                                      | +3.8 Mt/ +08.9%             | −6.8 Mt/ −16.0%             |
|             | NO      | 25.2 Mt                                      | +1.2 Mt/ +04.8%             | −2.2 Mt/ −08.7%             |
|             | NO$_2$  | 4.1 Mt                                       | +0.2 Mt/ +04.9%             | −0.2 Mt/ −04.9%             |
| Eastern China| NH$_3$  | 6.0 Mt                                       | =                          | =                           |
|             | SO$_2$  | 19.7 Mt                                      | −4.7 Mt/ −23.8%             | −7.4 Mt/ −37.5%             |
|             | NO      | 13.0 Mt                                      | < +0.1 Mt/ < +1.0%          | −2.8 Mt/ −21.5%             |
|             | NO$_2$  | 1.85 Mt                                      | < +0.1 Mt/ +2.5%            | −0.4 Mt/ −21.0%             |

Figure 2. EDGAR-HTAP-v2.2 emissions for the year 2010 for (a) SO$_2$, (b) NO and (c) NH$_3$. Units are tons per cell (t cell$^{-1}$) (cell size of 0.25° × 0.25°). The black rectangle shows the eastern China domain.

v5 inventories calculated with an inverse modelling method based on an extended Kalman filter (Mijling and Zhang, 2013; Ding et al., 2017; http://www.globemission.eu/, last access: 4 July 2018). For DECSO, the a priori NO$_x$ anthropogenic emissions are taken from the EDGAR-v4.2 inventory, whereas our prior emissions come from EDGAR-HTAP-v2.2. EDGAR-v4.2 does not take into account shipping emissions, as shown in Fig. S2 in the Supplement. Our annual evolution rates are consistent with DECSO trend estimates (+1 % in 2013 and −14 % in 2015, compared to DECSO in 2011). A recent study from Zheng et al. (2018) evaluated NO$_x$ emissions and found a change of −17.4 % from 2011 to 2015, similar to our −24 % change. The OMI SO$_2$ trends imply (following Eq. 1) a continuous decrease of SO$_2$ emissions from 2011 to 2015 (−24 % in 2013 and −37 % in 2015 compared to 2011; see Table 1 and Fig. 2a). This decreasing trend is consistent with trend estimates of the SO$_2$ in DECSO, −11 % in 2013 and −25 % in 2014 compared to 2011. Nevertheless, our total SO$_2$ emissions in 2013 seem to be underestimated compared to the DECSO annual estimates (−15 % in 2011, −25 % in 2013). It should be noted here that our method to update emissions has some limitations. All the variability of satellite tropospheric columns is attributed to emissions, without taking into account variability associated to meteorology, transport, chemistry or instrumental degradation. However, the comparison with independent emission estimations shows good consistency. Zheng et al. (2018) found SO$_2$ emissions change of −41.9 % between 2011 and 2015, again similar to our −37.5 % evolution. Hence, we consider our estimated emission inventories realistic enough to conduct sensitivity tests.

The emission update helped correctly reproduce the column changes for SO$_2$ −44 % (CHIMERE) and −53 % (OMI) between 2011 and 2015 and for NO$_2$ −31 % (CHIMERE) and −23 % (OMI) between 2013 and 2015.

2.3 ISORROPIA

The composition and phase state of inorganic aerosol in thermodynamic equilibrium with gas-phase precursors are calculated using the ISORROPIA V2006 module (Nenes et al.,
The CHIMERE CTM calculates the thermodynamical equilibrium of the system: sulfate–nitrate–ammonium–water for a given temperature (in a range \([260–312\, \text{K}]\); increment: \(0.3–0.99\); increment: \(1\)) and relative humidity (RH, in a range \([0.3–0.99]\); increment: \(0.05\)). Considering temperature, relative humidity, TN (TN = \(\text{NO}_3^- + \text{HNO}_3\); total nitrates), TA (TA = \(\text{NH}_4^+ + \text{NH}_3\); total ammonia) and TS (TS = \(\text{SO}_4^{2-} + \text{H}_2\text{SO}_4\); total sulfates), the gas to particle partitioning of \(\text{NH}_4^+(\text{g})/\text{NH}_3(\text{g})\) and \(\text{HNO}_3(\text{g})/\text{NO}_3^-(\text{p})\) is calculated using tabulated values. Depending on the equilibrium calculation, both the absorption and desorption of ammonia are represented in the model. Within CHIMERE, a kinetic approach is also added to simulate transport barriers for the gas to the particle phase and vice versa. Over Europe, a recent study, conducted with CHIMERE and the ISORROPIA V2006 module, showed that higher temperature and lower RH will promote \(\text{NH}_3(\text{g})\) at the expense of \(\text{NH}_4^+(\text{p})\) (Petetin et al., 2016).

2.4 Set-up of the performed sensitivity tests

Six experiments were performed to discriminate factors that control the ammonia atmospheric budget over China over recent years. Configurations corresponding to the six experiments are described in Table 2. The 2011 reference simulation serves as a baseline for comparison with the other simulations (under different meteorological and emissions scenarios). The simulations labelled “A” are used to quantify the influence of meteorological parameters, the simulation labelled “B” to quantify the influence of \(\text{SO}_2\) emissions variations and simulations labelled “C” to quantify the influence of both \(\text{SO}_2\) and \(\text{NO}_x\) emissions variations. The 2013A and 2015A simulations were performed keeping emissions at 2010 levels, but the meteorology was updated to isolate effects of meteorological variability on simulated ammonia levels. Among scenarios performed in our study, the 2015B simulation uses the same meteorology as 2015A but with 2015 \(\text{SO}_2\) emission giving information on the role of Chinese \(\text{SO}_2\) emission reduction in the \(\text{NH}_3(\text{g})\) atmospheric content. Finally, the 2015C simulation uses the same meteorology as 2015A but using both \(\text{SO}_2\) and \(\text{NO}_x\) emissions corrected with 2015 OMI observations (see Table 2). As the updated 2013 \(\text{NO}_x\) emissions are similar to the initial EDGAR-HTAP-v2.2 emissions used for the 2011 reference simulation, we expect similar results for the 2013B and 2013C simulations. Consequently, the 2013B simulation was not conducted. Hence, 2013C and 2015C are the key simulations of our study showing the combined effect of \(\text{SO}_2\) and \(\text{NO}_x\) emission reductions and of meteorology on atmospheric ammonia.

3 Results and discussion

3.1 Impact of meteorological conditions on the ammonia/ammonium–sulfate–nitrate system

Three scenario simulations using the same emissions but different meteorological conditions (2011A, 2013A and 2015A) were performed to understand the role of meteorology in ammonia atmospheric concentrations. As our study uses satellite observations giving tropospheric trace gas columns for different purposes, the model results will be generally presented as vertical columns (reaching from the ground to 12 km height). However, most of the column content can generally be found within the first 2.5 km, close to the ground (more than 90% of \(\text{NH}_3(\text{g})\) is located within the first 2.5 km in CHIMERE). Hence, to study the meteorological influence on ammonia, we restrict the comparison to 0 to 2.5 km (which corresponds to about 720 hPa) partial columns, as we want to average meteorological parameters for a height that should be representative of conditions where pollutants are located. Figure 4 shows \(\text{NH}_3(\text{g})\) columns in 2011 (Fig. 4a) and simulated variations depending on meteorological condi-
tions for 2013 (Fig. 4b) and 2015 (Fig. 4c). It can be observed that the eastern China area includes regions with the highest \( \text{NH}_3(g) \) values (except the Indo-Gangetic Plain). Over the eastern China area, meteorological conditions affect \( \text{NH}_3(g) \) columns: an increase of 4% is simulated in 2013, whereas a decrease of 7% is simulated in 2015. In addition, it can be observed that over the southern China area (see black rectangle in Fig. 4b–c) ammonia decreases for 2013 and 2015. Figure 5 shows that ammonia changes caused by meteorological variations are opposite to sulfate and ammonium changes in both cases, for 2013 and 2015. Meteorological variations are opposite to sulfate and ammonium changes in 2015 (Fig. S5 in the Supplement) promoted the production of ammonium and sulfates (see Fig. 6a, with Pearson correlation coefficients of \( -0.47 \) and \( -0.56 \) in 2013 and 2015, respectively. When RH increases, the difference between 2013A and 2015A is observed in November and December 2013 and 2015, when RH variations and \( \text{NH}_3(g) \) column variations are opposite (Fig. 6a). Figure 6b shows that temperature changes do not control the \( \text{NH}_3(g) \) variation, as the Pearson correlation coefficients are \( -0.04 \) and 0.09 in 2013 and 2015 respectively. It should also be noted that decreases of ammonia and ammonium are observed over areas showing an increase in rainfall frequencies (see Fig. S6 in the Supplement) in 2015 and 2013 (in the south of China, Guangxi and Guangdong Province; see

Table 2. Description of the different experiments performed with the regional chemistry-transport model CHIMERE.

| Name       | Meteorology | \( \text{SO}_2 \) emissions | \( \text{NO}_x \) emissions | Objectives of the simulation                      |
|------------|-------------|-----------------------------|-----------------------------|---------------------------------------------------|
| 2011A      | ECMWF 2011  | EDGAR-HTAP-v2.2 2010       | EDGAR-HTAP-v2.2 2010       | Baseline simulation                               |
| 2013A      | ECMWF 2013  | EDGAR-HTAP-v2.2 2010       | EDGAR-HTAP-v2.2 2010       | Sensitivity to meteorology                         |
| 2013C      | Deduced from OMI for 2013 | Deduced from OMI for 2013 | Sensitivity to \( \text{SO}_2 \) and \( \text{NO}_x \) emission reduction |
| 2015A      | ECMWF 2015  | EDGAR-HTAP-v2.2 2010       | EDGAR-HTAP-v2.2 2010       | Sensitivity to meteorology                         |
| 2015B      | ECMWF 2015  | Deduced from OMI for 2015   | Deduced from OMI for 2015   | Sensitivity to \( \text{SO}_2 \) emission reduction |
| 2015C      | ECMWF 20115 | Deduced from OMI for 2015   | Deduced from OMI for 2015   | Sensitivity to \( \text{SO}_2 \) and \( \text{NO}_x \) emission reduction |

While the aqueous-phase pathway is globally dominant, the gas-phase pathway can also be important under dry conditions (e.g. Seinfeld and Pandis, 2006). In our study, we did not investigate the relative importance of both pathways because this would have required inclusion of specific tagging. Nevertheless, for both pathways, RH increase favours \( \text{SO}_2(g) \) production, either through increased production of \( \text{OH} \) radicals in the gaseous phase (for a given temperature, so that specific humidity also increases) or through a larger cloud liquid water content (Hedegaard et al., 2008). In 2013, annual mean temperature and annual mean RH increased by +0.7 K and decreased by \( -0.9 \% \) respectively compared to 2011; cloud liquid water relative variation also shows a decrease of \( -7 \% \). In 2015, temperature and annual mean RH increased by 1 K and increased by \( +1.3 \% \) respectively compared to 2011, and cloud liquid water relative variation increased by \( +20 \% \). Accordingly, the total sulfate–nitrate–ammonium (called pSNA hereafter) production is increased by \( +7 \% \) in 2015A compared to 2011A, which explains the decrease in the \( \text{NH}_3(g) \) columns of \( -7 \% \). Conversely, meteorological conditions in 2013 (decrease of RH and liquid water) depressed the formation of pSNA (Fig. 5). Consequently, its production was lower by about 6% in 2013A compared to 2011A, and \( \text{NH}_3(g) \) columns were larger by \( +4 \% \). These relationships between meteorological parameters and \( \text{NH}_3(g) \) columns can also be documented by correlation statistics (Fig. 6). An inverse correlation between monthly RH and \( \text{NH}_3(g) \) column variations over the eastern China domain is shown in Fig. 6a, with Pearson correlation coefficients of \( -0.47 \) and \( -0.56 \) in 2013 and 2015, respectively. An even more pronounced negative correlation is also observed on a daily basis, with correlation coefficients for 2013 and 2015 of \( -0.71 \) and \( -0.61 \) respectively. When RH increases, the production of \( \text{NH}_4^+ \) from \( \text{NH}_3(g) \) also increases. The largest difference between 2013A and 2015A is observed in November and December 2013 and 2015, when RH variations and \( \text{NH}_3(g) \) column variations are opposite (Fig. 6a). Figure 6b shows that temperature changes do not control the \( \text{NH}_3(g) \) variation, as the Pearson correlation coefficients are \( -0.04 \) and 0.09 in 2013 and 2015 respectively. It should also be noted that decreases of ammonia and ammonium are observed over areas showing an increase in rainfall frequencies (see Fig. S6 in the Supplement) in 2015 and 2013 (in the south of China, Guangxi and Guangdong Province; see

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3.2 Impact of SO$_2$ and NO$_x$ emission reduction on NH$_3$ columns and inorganic aerosol

3.2.1 Impact of SO$_2$ and NO$_x$ emission reduction on NH$_3$ columns

Figure 7b represents the impact of the SO$_2$ emission reduction on NH$_3(g)$ columns for the 2015B simulations. For the year 2013, the comparison is made from the 2013C simulation (see Table 1, and Fig. S7 in the Supplement), since NO$_x$ emissions between 2011 and 2013 are similar. The SO$_2$ emission reduction ($-24\%$ for 2013 and $-37\%$ for 2015 as compared to 2011) strongly affects NH$_3(g)$ columns, which increase by $+10\%$ over eastern China in the 2013C simulation compared to 2013A and by about $+36\%$ in the 2015B simulation compared to 2015A. Thus, the effect of SO$_2$ reduction on NH$_3(g)$ columns appears to be non-linear because NH$_3(g)$ interactions are not limited to SO$_2$. It should be noted that the column change is mainly controlled by changes between the surface and the first few kilometres of altitude, as much of column content (> $90\%$) is located between the surface and 2.5 km of altitude; but as IASI and OMI satellite provide full column information, we present the entire CHIMERE column to be as consistent as possible with observations. In the two cases, the decrease of ammonia over western China and Mongolia (between $0\%$ and $-15\%$; Fig. 7b), where NH$_3(g)$ values are initially low (Fig. 7a), remains small. Figure 7c shows the additional impact of NO$_x$ emission reductions, of about $-21\%$ between 2015 and 2011, on the NH$_3(g)$ amount over eastern China (with the 2015C simulation, compared to 2015B). The additional increase of ammonia columns in the 2015C simulation, is about $15\%$ compared to the 2015B simulation (SO$_2$ emission decrease only) in the northern part of the eastern China subdomain. This statement on NO$_x$ emission evolution impacts is different from that in Liu et al.
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(2018), in which NO$_x$ emission reduction is considered not responsible for the NH$_3$ increase between 2011 and 2015.

Figure 8b represents the impact of the SO$_2$ emission reductions on NH$_4^{+}$ columns with a decrease of about 14 % in the 2015B simulation compared to 2015A. The reduction of NO$_x$ emissions between 2015B and 2015C leads to an additional decrease of ammonium levels in the 2015C simulation, −4 % compared to 2015B for eastern China, where the decrease is most pronounced (Fig. 8c). In addition, ammonium columns have decreased by about 2 % over eastern China in the 2013C simulation compared to 2013A. The spatial anti-correlation observed between NH$_4^{+}$ and NH$_3(g)$ is explained by less production of NH$_4^{+}$ from the NH$_3(g)$ due to emission modifications. It is interesting to note that the NO$_x$ and SO$_2$ emission reduction impacts on NH$_3(g)$ and NH$_4^{+}$ columns can differ depending on the area (see Fig. S8 in the Supplement). In the 2015B simulation the ammonium production decreases most strongly in the Sichuan Province and Chongqing municipality (black rectangle, Fig. 8b), and there is a large-scale decrease around SO$_2$ sources (Fig. 2a).

In the 2015C simulation, we can observe a larger decrease in the northern China region (red rectangle, Fig. 8c), where ammonium nitrate is produced with freshly formed HNO$_3(g)$, following Reaction (R8):

\[
\text{NH}_3(g) + \text{HNO}_3(g) \rightarrow \text{NH}_4\text{NO}_3(p).
\] (R8)

These relationships between sources and impacted regions are explained by the time needed for SO$_2^{2-}$ and NO$_3^{-}(p)$ formation from SO$_2$ and NO$_x$ precursors of several days and several hours to 1 d respectively. Thus, SO$_2$ to H$_2$SO$_4$ oxidation is more a regional process (unless it happens in the aqueous phase), whereas nitrate formation proceeds closer to the sources. However, for conditions of weak atmospheric dispersion or high humidity, as in the Sichuan Province and Chongqing municipality (located in an orographic depression), sulfates can be formed closer to sources. In this area, sulfates contribute as much as 32 % to the PM column, compared to 23 % over eastern China, SO$_2^{2-}(p)$ (Fig. S8 in the Supplement).

3.2.2 Impact of SO$_2$ and NO$_x$ emission reduction on pSNA production

The emission update leads to changes in the pSNA production, as already suggested by changes in the NH$_4^{+}$ columns. As SO$_2$ columns are strongly decreased (−40 % for 2015B; −41 % for 2015C), less ammonium (−14 % for 2015B; −18 % for 2015C) is formed in the particulate phase from the reaction with sulfuric acid (Reactions R1, R2, R3), and more NH$_3(g)$ remains in the gas phase (+36 % for 2015B; +51 % for 2015C; see Figs. 9 and S9 in the Supplement). These higher NH$_3(g)$ levels trigger a larger conversion (Reaction R8) of gaseous nitric acid into particulate NO$_3^{-}(p)$ (+33 %; Figs. 9 and S10). In the 2015C simulation, the increase of NO$_3^{-}(p)$ is less notable (+11 % because NO$_x$ emissions decrease), and ammonia columns show a bigger increase than in 2015B over eastern China. On the whole, the reduction of emissions in the 2015B and 2015C simulations leads to a reduction of the total pSNA PM production (e.g. −16.6 % and −18.5 %, respectively, compared to 2015A), mainly promoted by the reduction of SO$_2$ emissions. Among PM components, a decrease of the sulfate molar fraction is observed (from 32 % to 29 %), and the NO$_3^{-}(p)$ fraction increases, from 12 % to 15 %, while the NH$_4^{+}$ fraction stays stable around 56 % (21 % of pSNA PM mass). It can also be observed in these scenarios that for similar emissions and meteorology, TA = [NH$_3(g)$] + [NH$_4^{+}$] decreases in 2015B and slightly more in 2015C (Fig. S9 in the Supplement). The reasons are that ammonia is favoured compared to 2015A and that deposition is a more efficient process for ammonia.

3.2.3 NH$_3(g)$: a key role in the regulation of PM pollution as a limiting reactant

The regime of nitrate production, limited either by NH$_3(g)$ or HNO$_3(g)$, can be evaluated using the $G_{\text{ratio}}$ calculation (Ansari and Pandis, 1999; Pinder et al., 2008; $G_{\text{ratio}}$ two-dimensional distribution is displayed in Fig. S10 in the Supplement). A negative value of the $G_{\text{ratio}}$ indicates that TA low

Figure 6. (a) Monthly variation of NH$_3(g)$ partial columns and relative humidity in 2013 and 2015 compared to 2011. (b) Monthly variation of NH$_3(g)$ partial columns and temperature in 2013 and 2015 compared to 2011.
 availability is strongly limiting nitrate production in competition to sulfate production, while a value in the range [0–1] indicates a TA-limited situation, and a value greater than 1 indicates that TA is in excess. In our study, as we want to know if we are facing a cation- or anion-limited regime, we chose to adapt the $G_{\text{ratio}}$ to a cation/anion ratio ($C/A_{\text{ratio}}$), easier to interpret with no negative values, as follows:

$$C/A_{\text{ratio}} = \frac{\text{TA}}{\text{TN} + 2 \times [\text{SO}_{2\text{(g)}}^2]}$$

where $\text{TA} = [\text{NH}_3] + [\text{NH}_4^+]$ is the total ammonia reservoir, and $\text{TN} = [\text{HNO}_3] + [\text{NO}_3^-]$ is the total nitrate reservoir. When the $C/A_{\text{ratio}}$ is in the range [0–1] mol$_C$ mol$_A^{-1}$, we are in a cation-limited regime, and when $C/A_{\text{ratio}}$ is larger than 1 mol$_C$ mol$_A^{-1}$, we are in an anion-limited regime. The $C/A_{\text{ratio}}$ has been calculated for columns, partial columns (up to 1 km) and for the surface, and results for various scenarios are displayed in Fig. 10. It should be noted that the ratio has an important month-to-month variability (normalized standard deviation of 10%), as displayed in Fig. 10d for the East Asia region. Note that NH$_4^+$ is the only cation considered here; the potential role of other cations is discussed below. Figure 10d presents the $C/A_{\text{ratio}}$ for the simulations considering several altitudes, at the surface, the 0–1 km column and the CHIMERE total column. $C/A_{\text{ratio}}$ is highly variable depending on the vertical layer considered, with a significantly lower $C/A_{\text{ratio}}$ Considering a total column than a reduced layer close to the surface, a statement also observable in Paulot et al. (2016). Close to sources (surface), more ammonium will be present, leading often in the 2015B and
2015C scenarios to an excess cation regime. If we consider vertical columns, as 0–1 km for example (which corresponds roughly to the atmospheric mixing layer), the ratio is below 1 (anion-limited regime) for most months. Considering the entire tropospheric column, a cation-limited regime occurs for all months. This decrease in the $C/A_{\text{ratio}}$ with altitude can be explained by the fact that sulfuric and nitric acid need some time to be formed from precursor gases, while the major cation NH$_4^+$ is directly emitted at the surface. In addition, a slight increase of $C/A_{\text{ratio}}$ is observed from January to May, when a maximum is reached. It is probably due to the increase of NH$_3$ emissions during this period compared to decreasing SO$_2$ and NO$_x$ emissions (Fig. S1 in the Supplement). Then, for July and August, the cation/anion ratio drops. This change is not explained by a change in emissions because these months display emissions close to June emissions. A probable explanation is the following: first, July and August correspond to the monsoon season, with higher water vapour content and solar radiation over the study area. This leads to enhanced OH radical concentrations (up to twice the annual mean) to form H$_2$SO$_4(g)$ and HNO$_3(g)$. Second, higher water content induces more SO$_2(g)$ dissolution in the aqueous phase. Both factors induce more SO$_4^{2-}$ formation (Stockwell and Calvert, 2016), which decreases the $C/A_{\text{ratio}}$.

$C/A_{\text{ratio}}$ two-dimensional distributions are shown in Fig. 10 for the 0–1 km column. The atmosphere is mainly cation-limited over eastern China in the 2015A initial scenario. As expected from the decrease in anion precursor emissions (i.e. SO$_2$ and NO$_x$), the $C/A_{\text{ratio}}$ is higher in the 2015C and 2015B simulations than in the 2015A simulation, as for example in the Sichuan Province and Chongqing municipality (black square in Fig. 10b) and northern China regions (red rectangle in Fig. 10c). Reductions of SO$_2$ and NO$_x$ emissions led to a $C/A_{\text{ratio}}$ increase and change in the limitation regime close to NH$_3(\text{g})$ source areas (Fig. 10a and c). In the future, emission reductions for NH$_3$ and anion precursors should reduce NH$_4$NO$_3(\text{g})$ and (NH$_4$)$_2$SO$_4(\text{g})$ formation, reducing observed PM levels, which was already suggested in Fu et al. (2017). It should also be noted that cations such as Ca$^{2+}$ or Mg$^{2+}$ (from dust or anthropogenic emissions) are not included in CHIMERE chemistry. They could induce a bias in our analysis, underestimating the $C/A_{\text{ratio}}$. Nevertheless, we can reasonably assume here that the NH$_4^+$ molar content is generally much higher than Ca$^{2+}$ and Mg$^{2+}$ content. A study by Li et al. (2013) with measurements in Beijing (from September 2006 to August 2007) shows that in winter, when the lowest NH$_4^+$ concentrations are met, the NH$_4^+$ content (about 0.5 µmol m$^{-3}$) still exceeds 3 times the (Ca$^{2+}$ + Mg$^{2+}$) amount (about 0.15 µmol m$^{-3}$). Another recent study measuring soluble ions of PM$_{2.5}$ in Beijing shows a large excess of NH$_4^+$ compared to Ca$^{2+}$ or Mg$^{2+}$ in summer and winter 2014 (Chen et al., 2017). Still, not taking into account this chemistry for mineral cation species can lead to simulated cation-limited situations instead of a cation-excess situation for restricted times of the year and areas, when the ratio reaches values close to 1.

### 3.3 Time evolution of inorganic PM and precursor species between 2011 and 2015

The combined impacts of meteorology and emission reductions on gaseous and particulate species are shown in Fig. 11 using simulations 2011A, 2013C and 2015C including meteorology and updated emissions for the three corresponding years. The time evolution between 2011 and 2015 is qualitatively similar to that presented in the previous section for emission changes alone. The impact of changing meteorology is to damp the negative changes of pSNA (Sect. 3.1, Figs. 5 and S11 in the Supplement present two-dimensional distribution of pSNA changes) and the positive changes in NH$_3(\text{g})$ due to emission reductions. As a result, in our simulations, NH$_3(\text{g})$ columns increased by as much as +14% in 2013 and by 41% in 2015 over eastern China, as compared to 2011, combining both meteorological and emission changes.

### 3.4 Evaluation against PM$_{2.5}$ surface measurements

The evolution of surface PM$_{2.5}$, induced by changes in SO$_2$ and NO$_x$ emissions in our simulations, is evaluated here against independent daily PM$_{2.5}$ surface measurements. Scores for normalized bias, normalized RMSE (NRMSE), ratios of model and observed variability and Pearson correlation coefficients are displayed in Table 3. We used data from the U.S. Department of State Air Quality Monitoring Program over China (e.g. in Beijing, Chengdu, Guangzhou, Shanghai and Shenyang; http://www.stateair.net/, last access: 5 October 2018), for the years 2013 and 2015. For the year 2011, data are available only for Beijing, so this year was discarded. We present results for updated inventories (simulations 2013C and 2015C). We also present changes between simulations 2013A and 2015A and 2013C and 2015C in Table 3. The PM$_{2.5}$ values measured in Chinese...
cities show large amplitudes, ranging from 0 to 500 µg m\(^{-3}\), and CHIMERE correctly represents both these amplitudes and the strong day-to-day variability of PM\(_{2.5}\) surface concentrations, as illustrated by good correlation coefficients (Pearson’s coefficient averaging 0.7) between time series and a ratio of standard deviations close to unity (except for Shenyang; Table 3). Our 2013C and 2015C simulations overestimate average PM\(_{2.5}\) concentrations for four cities (Beijing, Shanghai, Guangzhou and Chengdu) and underestimate them for Shenyang (bias = −13%). For the Beijing, Shanghai, Guangzhou and Chengdu stations, we observe a slight decrease of PM\(_{2.5}\) means (−4.3, −2.2, −1.1 and −11.5 µg m\(^{-3}\)) between our reference simulations (2013A and 2015A) and simulations with modified inventories (2013C and 2015C). This means that updating the emissions improves agreement with observations by reducing biases and errors (NRMSE). We also find a slight decrease at Shenyang station (−1.1 µg m\(^{-3}\)), making the already negative bias slightly worse. The strongest improvement is observed in Chengdu (central China), an area where inorganic PM mainly depends on sulfate and ammonium.

PM\(_{2.5}\) observations show a decrease from 2013 to 2015 for Beijing, Chengdu, Guangzhou and Shanghai of −19%, −20%, −30% and −15% respectively and an increase for Shenyang of +18%. These changes are not fully reproduced by CHIMERE, possibly because emissions have been modified for NO\(_x\) and SO\(_2\) only and not for organic or other inorganic species. In CHIMERE, PM\(_{2.5}\) decreases are calculated for Beijing and Chengdu (−3.6% and −10% respectively), no significant change is simulated for Guangzhou and increases are modelled for Shanghai and Shenyang (+12.5% and +3.7% respectively). All changes are calculated filtering simulation results according to the availability of measurements. The increase in Shenyang can be explained by a lack of data for a significant fraction of the sampling periods between 2013 and 2015 (229 d available against 341). In Shanghai, the modelled increase is not explained by PM\(_{2.5}\) inorganic components, which present a +1% trend at surface, but is due to the effects of meteorological conditions on other PM\(_{2.5}\) components, which present larger increases.

3.5 Correspondences between CHIMERE simulations and IASI NH\(_3\)\(_{35}\) column observations

Data retrieved from the IASI instrument allow us to compare satellite observations to simulations and to verify the simulated trends. Figure 12 shows the spatial distributions of ammonia over China for IASI and CHIMERE for 2011, 2013
and 2015, presenting a similar spatial pattern and a good correlation (~$R_{\text{IASI-CHIMERE}} = 0.91$ over eastern China) and an acceptable daily correlation (~$R_{\text{IASI-CHIMERE}} = 0.55$). Nevertheless, simulations underestimate ammonia levels with a bias of −39%, mainly because of the comparison over the sea area. As described above, IASI observations show a +65% increase between 2011 and 2015. Interestingly, our model results between 2011A and 2015C, taking into account emission and meteorology changes, show a rather similar difference of +49% of NH$_3$(g) (when CHIMERE simulations are sampled on daily IASI observations’ availability).

For the intermediate year 2013, the IASI satellite observed a +15% increase in NH$_3$(g) columns, while CHIMERE simulations showed a +24% increase in the 2013C scenario (again sampled on IASI observations). Conversely, simulations with unmodified emissions only show small changes for both years (+6% in 2013A; −3% in 2015A). Liu et al. (2018) estimated a +35% NH$_3$ column increase over the North China Plain, between 2011 and 2015, taking account of SO$_2$ emissions decreases, a value close to our result for this case (+27% between 2011A and 2015B). This suggests that the observed increase for ammonia by IASI can be explained to a large extent by changes in atmospheric chemistry induced by SO$_2$ but also by NO$_x$ emission reductions, with less ammonium present within inorganic aerosol and more ammonia remaining in the gas phase.

### Table 3. Daily PM$_{2.5}$ comparison between model and measurements for 2013C and 2015C simulations. “Changes” corresponds to differences between 2013C and 2015C comparisons on the one hand and 2013A and 2015A on the other (i.e. $\text{bias}_{\text{changes}} = \text{bias}_C - \text{bias}_A$). Bias and NRMSE are normalized using the measurement mean. $R$ corresponds to the Pearson correlation coefficient and $n$ represents the number of available daily means.

| Stations | PM$_{2.5}$ measurement mean (µg m$^{-3}$) | PM$_{2.5}$ model mean (µg m$^{-3}$)/ changes (%) | Bias (%)/ changes (%) | NRMSE (%)/ changes (%) | $R_{\text{CHIMERE}}$ | $R$ | $n$ |
|----------|------------------------------------------|-----------------------------------------------|----------------------|------------------------|----------------------|----------------|--------|
| Beijing  | 92.3                                     | 115.7/−4.3                                   | +25%/−05%            | 64%/−03%               | 1.0                  | 0.77         | 730    |
| Shanghai | 55.3                                     | 68.6/−2.2                                    | +24%/−04%            | 57%/−04%               | 1.1                  | 0.76         | 723    |
| Guangzhou| 47.6                                     | 59.8/−1.1                                    | +26%/−02%            | 64%/−01%               | 1.0                  | 0.54         | 719    |
| Chengdu  | 83.8                                     | 127.6/−11.5                                  | +52%/−14%            | 71%/−12%               | 1.0                  | 0.72/0.03    | 687    |
| Shenyang | 74.0                                     | 64.4/−1.0                                    | −13%/−02%            | 61%/−01%               | 0.6                  | 0.68/0.02    | 570    |

4 Conclusion

Sensitivity tests with the regional chemistry-transport model CHIMERE have been performed to understand the evolution of the NH$_3$(g) atmospheric content over China, with an increase observed by IASI measurements over eastern China of +15% between 2011 and 2013 and of +65% between 2011 and 2015. One of the main results of this study is that the strong observed changes in the NH$_3$(g) atmospheric content are mainly associated with a reduction of anthropogenic SO$_2$ emissions and, to a lesser extent, with a reduction in anthropogenic NO$_x$ emissions and with interannual changes in meteorological conditions. With SO$_2$ emissions reduced by 24% between 2011 and 2013 and by 37.5% between 2011 and 2015, and an additional NO$_x$ emission reduction of −21% between 2011 and 2015, CHIMERE reproduces an increase in NH$_3$(g) of +24% between 2011 and 2013 and of +49% between 2011 and 2015 (when filtering simulations according to IASI observations’ availability). Also, it should be recalled that NH$_3$ emissions have remained constant in our scenarios, as no precise information on NH$_3$ emission...
changes was available to us, but Zhang et al. (2018) suggested a 7% increase between 2011 and 2015, which could partly explain the difference between IASI and CHIMERE increases. SO$_2$ and NO$_x$ emission reductions have been inferred from OMI satellite observations, so our study is to a large degree constrained by observations. Simulations then allow us to state that observed decreases in SO$_2$ and NO$_x$ columns and increases in NH$_3$ are mutually consistent. The cation/anion ratio shows an interesting height dependence. It is below unity for total columns, above unity for surface and near unity for the first kilometre of the atmosphere. The latter is probably most relevant for inorganic aerosol formation affecting air quality. Thus it appears that in addition to SO$_2$ and NO$_x$ reductions, NH$_3$ emission reductions would also be efficient to reduce inorganic aerosol formation. The reduction of SO$_2$ and NO$_x$ emissions also leads to a decrease of inorganic pSNA production (−14% in the tropospheric columns between 2011 and 2015), which is a major contributor to PM$_{2.5}$ concentrations (about 50% of surface PM$_{2.5}$ and 33% of column PM). A shift from sulfate to nitrate is simulated due to stronger SO$_2$ reduction than NO$_x$ reduction, with more ammonia thus available for nitrate formation. Finally, based on our work, it appears that the changes in gaseous precursors must be updated each year to understand changes in PM. Current bottom-up inventories are not updated quickly enough. The method we used to derive inventories for 2013 and 2015 from satellite data provides an interesting first estimation but presents uncertainties when pollutants are transported or eliminated. It would be interesting to use inverse methods operating in synergy between regional CTM and atmospheric observations (i.e., DECSO; Mijling and Zhang, 2013) to better represent NO$_x$ and SO$_2$ emissions. Inverse modelling systems could also be used to quantify NH$_3$ emissions, as IASI space-based NH$_3$ observations have shown considerable potential to reveal the high spatio-temporal variability of NH$_3$ emissions (Fortems-Chiney et al., 2016).

Data availability. Data are available by contacting the author.

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Author contributions. ML and AFC designed the experiments, and ML carried them out. LC, CC, PFC and MVD were responsible for the satellite retrieval algorithm development and the processing of the IASI NH$_3$ dataset. GS prepared meteorological and emission

Figure 12. Ammonia column evolution for IASI (a, b, c) and CHIMERE (d, e, f) in (a) 2011, (b) 2013, (c) 2015, (d) 2011A, (e) 2013C and (f) 2015C (molecules cm$^{-2}$).
data. AFC prepared emission update and satellite data. ML adapted
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All authors contributed to the text and interpretation of the results
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