Residual Hearing Affects Contralateral Routing of Signals in Cochlear Implant Users

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Abstract

Introduction: Contralateral routing of signals (CROS) can be used to eliminate the head shadow effect. In unilateral cochlear implant (CI) users, CROS can be achieved with placement of a microphone on the contralateral ear, with the signal streamed to the CI ear. CROS was originally developed for unilateral CI users without any residual hearing in the non-implanted ear. However, the criteria for implantation are becoming progressively looser, and the nonimplanted ear can have substantial residual hearing. In this study, we assessed how residual hearing in the contralateral ear influences CROS effectiveness in unilateral CI users. Methods: In a group of unilateral CI users (N = 17) with varying amounts of residual hearing, we deployed free-field speech tests to determine the effects of CROS on the speech reception threshold (SRT) in amplitude-modulated noise. We compared 2 spatial configurations: (1) speech presented to the CROS ear and noise to the CI ear (S\textsubscript{CROSNCI}) and (2) the reverse (S\textsubscript{CINCROS}). Results: Compared with the use of CI only, CROS improved the SRT by 6.4 dB on average in the S\textsubscript{CROSNCI} configuration. In the S\textsubscript{CINCROS} configuration, however, CROS deteriorated the SRT by 8.4 dB. The benefit and disadvantage of CROS both decreased significantly with the amount of residual hearing. Conclusion: CROS users need careful instructions about the potential disadvantage when listening in conditions where the CROS ear mainly receives noise, especially if they have residual hearing in the contralateral ear. The CROS device should be turned off when it is on the noise side (S\textsubscript{CINCROS}). CI users with residual hearing in the CROS ear also should understand that contralateral amplification (i.e., a bimodal hearing solution) will yield better results than a CROS device. Unilateral CI users with no functional contralateral hearing should be considered the primary target population for a CROS device.

Introduction

Unilateral cochlear implant (CI) users can benefit from an intervention referred to as contralateral routing of signals (CROS). This intervention involves placement of an ear microphone contralateral to the CI ear to redirect sound to the better hearing, implanted ear. In this way, a CROS system can eliminate the head shadow effect and enhance speech understanding in silence and noise [Arora et al., 2013]. The target population for fit-
ting a CROS device in conjunction with a CI consists of unilaterally implanted individuals without residual hearing on the contralateral side who cannot (or prefer not to) receive another implant. A CROS device is an attractive solution in countries where bilateral implantation is not the standard of care [Vickers et al., 2016]. Bilateral implantation or bimodal hearing solutions, however, are generally considered to be the treatments of choice because they restore binaural auditory input [Morera et al., 2005; Litovsky et al., 2006]. Nevertheless, CROS can significantly improve speech recognition when the target speech is coming from the front or is presented to the CROS ear. The benefits from CROS are most pronounced when listening in noise [Ernst et al., 2019] because CROS can improve the signal-to-noise ratio (SNR) by routing the speech signal to the better hearing ear. In quiet, this routing affects the sound level only in the better hearing ear because the contralateral signal is enhanced.

Advanced Bionics developed a CROS system that wirelessly streams the signal from a microphone on the side contralateral to the CI [Mosnier et al., 2019]. The system mixes the 2 signals from both devices equally with a 50:50 mixing ratio. When speech is presented at a right angle to the CROS ear and noise at a right angle to the CI ear in free field (S_CROS N_CI), the maximal benefit from a CROS device equals the head shadow effect, that is, approximately 7 dB [Ernst et al., 2019]. When the speech and noise angles deviate from 90°, the benefit of CROS declines. CROS is disadvantageous when speech is presented to the CI side and noise is directed to the CROS side (S_CI N_CROS) because the device mainly transmits noise to the better hearing ear and decreases the SNR in the CI ear [Taal et al., 2016].

To our knowledge, the effect of residual hearing on CROS performance has never been investigated in CI users or in other hearing solutions. Previous studies either used study participants with no appreciable residual hearing, for example, Weder et al. [2015], plugged the poorer hearing ear, for example, Van Loon et al. [2014], acknowledged residual hearing but did not account for it in the analysis, for example, Ernst et al. [2019], or did not mention residual hearing in the CROS ear at all, for example, Taal et al. [2016]. In this study, we determined the effects of residual hearing under conditions of maximal CROS benefit (S_CROS N_CI) and maximal disadvantage (S_CI N_CROS). To assess the effect of CROS, we performed speech-in-noise testing on study participants listening either with a CI and a CROS device or with only a CI. We recruited CI users with varying levels of residual hearing

### Table 1. Subject demographics

| ID | Sex | Age, years | CI use, months | Etiology                              | CVC, % | PTA_{500–2,000}, dB HL | Implant type | CROS | HA |
|----|-----|-----------|---------------|------------|--------------------------------------|--------|------------------------|--------|-----|----|
| S02 | ♀   | 62        | 67            | Meniere’s disease, progressive        | 100    | 60                     | 1j      | X    |    |
| S03 | ♀   | 77        | 81            | Unknown, progressive                 | 86     | 100                    | 1j      | X    |    |
| S04 | ♂   | 70        | 65            | Unknown, progressive                 | 94     | 120                    | 1j      | X    |    |
| S05 | ♂   | 60        | 47            | Otosclerosis, sudden                | 95     | 100                    | MS      |      |    |
| S06 | ♂   | 93        | 76            | Otosclerosis, progressive            | 86     | 65                     | 1j      |      |    |
| S07 | ♀   | 68        | 43            | Unknown, progressive                 | 85     | 80                     | MS      | X    |    |
| S08 | ♂   | 68        | 58            | Familial, congenital                | 90     | 90                     | MS      |      |    |
| S09 | ♂   | 66        | 52            | Otosclerosis, progressive            | 89     | 115                    | MS      | X    |    |
| S10 | ♂   | 82        | 45            | Unknown, sudden                     | 82     | 55                     | MS      | X    |    |
| S11 | ♂   | 49        | 37            | Meningitis                           | 82     | 10                     | 1j      | **   |    |
| S12 | ♀   | 64        | 18            | Unknown, progressive                 | 95     | 70                     | MS      | X    |    |
| S13 | ♀   | 21        | 11            | Unknown, progressive                 | 96     | 85                     | MS      | X    |    |
| S14 | ♂   | 69        | 16            | Unknown, progressive                 | 88     | 80                     | MS      |      |    |
| S15 | ♀   | 67        | 18            | Meniere’s disease, progressive       | 91     | 75                     | MS      |      |    |
| S16 | ♀   | 70        | 14            | Unknown, sudden                      | 88     | 100                    | MS      | X    |    |
| S17 | ♂   | 83        | 17            | Unknown, progressive                 | 84     | 85                     | MS      |      |    |
| S18 | ♀   | 55        | 18            | Unknown, progressive                 | 90     | 65                     | MS      |      |    |

### Notes

CVC, consonant-vowel-consonant phoneme score; PTA_{500–2,000}, median pure-tone audiometric threshold across 500, 1,000, and 2,000 Hz of the nonimplanted ear; CROS, contralateral routing of signals; HA, hearing aid; CI, cochlear implant. * S02 had participated in an earlier clinical trial on bimodal hearing and had worn a HA for that study but stopped wearing it after the trial was over because of dissatisfaction with it. ** S11 had near-normal hearing in the contralateral (CROS) ear and did not wear a HA. MS: HiRes 90K HiFocus Mid-Scala, 1j = HiRes 90K HiFocus 1j.
in the nonimplanted ear, ranging from no residual hearing at all to near-normal hearing. Of 17 participants, 6 normally wore a hearing aid in the nonimplanted ear (Table 1).

Materials and Methods

Participants and Study Procedure

This study had a prospective intervention design and was not blinded (researcher and participant were aware of the listening condition being tested), randomized (participants were selected from a database), or controlled (participants were their own control). Seventeen adults with postlingual deafness and unilaterally implanted with an Advanced Bionics CI were recruited from the Leiden University Medical Center (Table 1). Additional inclusion criteria were a CVC phoneme score of at least 80% in quiet when listening with the CI only and at least 6 months of experience with the CI. Participants were implanted with a HiRes 90K HiFocus Mid-Scala (n = 12) or 1j electrode array (n = 5), and all used the HiRes Optima strategy. The participants were aged 21–93 years (median: 68 years). The time after implantation ranged from 11 to 81 months (median: 43 months), and their CVC phoneme scores in quiet ranged from 82 to 100% (median: 89%). For those who wore a hearing aid in the nonimplanted ear (n = 6), the hearing aid was taken out during testing, but no ear plugs were used. Two participants had been clinically fitted with a CROS device before recruitment.

Participants were fitted with a research Q90™ processor (Advanced Bionics, Valencia, CA, USA) with their own, preferred home-use threshold and maximum comfortable levels and a Naida™ Link CROS device (Phonak, Sonova AG, Stäfa, Switzerland). For regular home use, they each had been clinically fitted with a Tmic, an omnidirectional microphone suspended from the behind-the-ear unit of the CI with a rigid wire to place it just in front of the ear canal [Gifford and Revit, 2010]. The experimental speech processor used during experimentation was also fitted with a Tmic. The CROS device operates with a built-in processor microphone and thus does not feature a Tmic. We performed the testing using omnidirectional microphone settings, with all noise cancellation algorithms turned off. The acoustic filter setting was set as “standard”. All participants used the “extended low” setting in their home-use device. The “standard” filter option deploys a low-pass cutoff of 350 Hz (on electrode 1) and a high-pass cutoff of 8,700 Hz (on electrode 16), whereas the “extended low” setting deploys a lower cutoff of 250 Hz and the same high-pass cutoff. We opted for the standard filter setting because we have used it in previous studies and wanted to be able to make direct comparison among studies (data not presented here). We did not, however, expect these filter settings to have much effect on CROS.

The median pure-tone audiogram of the nonimplanted ear for all participants is shown in Figure 1 (shaded areas indicate the interquartile range). Some audiometric pure-tone thresholds could not be determined because they exceeded the maximum stimulus level (>120 dB). For this reason, we used the pure-tone median instead of the average. As a measure of functional residual hearing, we determined the median pure-tone audiometric threshold across 500, 1,000, and 2,000 Hz [Carhart, 1971]. Although this value was a median, we retained the naming convention PTA500–2,000.

One participant (S11) had near-normal hearing in the nonimplanted ear. CROS is most effective when a speech signal is received on a deaf ear and routed to the better hearing ear. The better hearing ear of S11 was the CROS ear so that the CROS device was expected to offer little benefit for speech recognition in noise. Participant demographics are shown in Table 1.

Speech-In-Noise Testing

Speech recognition in noise was free-field tested in an audiometric, sound-attenuated booth. Participants were seated on a chair between 2 loudspeakers (MSP5A monitor speaker, Yamaha Corp., Japan) placed 1.2 m from the chair. We determined the effects of CROS by testing participants with a CI and CROS device (CROS condition) or without CROS (CI-only condition). The testing involved 2 spatial configurations: (1) target speech was presented to the CROS side and noise on the CI side (SCROSNCI) and (2) the reversed configuration where speech and noise were reversed (SCI NCROS) by turning the chair 180°.

To assess speech recognition in noise, we used the Flemish/Dutch Matrix sentence material [Luts et al., 2014]. This test consists of a closed-set speech corpus of 13 combinations of 20 sentences spoken by a Flemish female. Each list was used only once per session, and the list order was randomized. The sentence order per list was not randomized. Lists 1 and 2 were used for training purposes only. Speech reception thresholds (SRTs) were measured by adaptively varying the speech level, based on a protocol described previously [Dyballa et al., 2015]. Participants listened to a sentence and repeated it out loud to the experimenter, who manually scored the correctly perceived words. We allowed guessing, and the experimenter gave no feedback. A microphone suspended from the ceiling just above the partici-
Participant ensured that the experimenter could clearly hear the participant’s answers. We ran the test in a MATLAB 2017b environment (MathWorks, Inc., Natick, MA, USA). Speech recognition was tested in the presence of babble noise, consisting of temporally modulated broadband noise with spectral characteristics resembling single-talker, male speech [Stronks et al., 2020]. The babble noise was unintelligible and presented continuously throughout the tests. We obtained it from the noise material produced by the International Collegium for Rehabilitative Audiology [Dreschler et al., 2001]. The test sessions also included approximately 6 Matrix tests conducted before those described here as part of another study (data not presented). Thus, the participants were already thoroughly familiar with the Matrix test before starting the tests used in the current work.

**Results**

Speech recognition scores with and without the CROS device are shown in Figure 2a. Higher SRTs represent poorer performance. The CROS device had a statistically significant overall effect on speech recognition (2-way RM ANOVA, F\([1,16]\) = 4.92, and \(p = 0.041\)). The effect of spatial configuration was not significant (F\([1,16]\) = 2.80, \(p = 0.11\)). As expected, the 2 factors showed a significant interaction (F\([1,16]\) = 87.2, \(p < 0.0001\)), with the effects of CROS depending on the spatial configuration of the signal and noise. As noted, to calculate the effects of CROS, we subtracted the SRT when using CROS from the value obtained using only the CI (SRT\(_{\text{CI}}\) – SRT\(_{\text{CROS}}\)). These results are shown in Figure 2b, where CROS benefits are positive and disadvantages are plotted as negative values. In the S\(_{\text{CROS}}\)N\(_{\text{CI}}\) configuration, CROS yielded a significant benefit of 6.4 dB (1-sample, Bonferroni-corrected t test against \(H_0 = 0\) dB difference, adjusted \(p < 0.0001\)). In the reverse configuration (S\(_{\text{CI}}\)N\(_{\text{CROS}}\), however, CROS resulted in a significant disadvantage of 8.4 dB (adjusted \(p < 0.0001\)). This disadvantage was significantly greater than the advantage, by 2.0 dB (paired, 2-tailed t test on the magnitude of the benefit and disadvantage, \(t[16] = 2.2\), and \(p = 0.041\)).

To investigate any relation between residual contralateral hearing and the difference in magnitude of the CROS benefit and disadvantage, we plotted CROS effects under the 2 listening conditions as a function of the PTA\(_{500–2,000}\) of the nonimplanted ear (Fig. 2c). The benefit of CROS (open circles) was significantly correlated with PTA\(_{500–2,000}\) (linear regression, \(r^2 = 0.45, F[1,15] = 12.4\), and \(p = 0.0030\)), as was the disadvantage (closed circles, \(r^2 = 0.39, F[1,15] = 9.8\), and \(p = 0.0070\)). Results for the participant with the near-normal hearing in the CROS ear (S11) are represented by the left-most data pair (PTA\(_{500–2,000}\) of 10 dB). These data carried a disproportionately large weight in the regression analysis because this participant’s PTA\(_{500–2,000}\) (10 dB) was approximately 70 dB better than the study population median (83 dB). Omitting these data from the regression analysis still yielded a significant correlation of PTA\(_{500–2,000}\) with the benefit (\(r^2 = 0.25, F[1,14] = 4.74\), and \(p = 0.047\)) but not with the disadvantage (\(r^2 = 0.084, F[1,14] = 1.28\), and \(p = 0.28\)).

To visualize the differences between the 2 data sets, we plotted the difference in CROS effects (i.e., the magnitude of the benefit [S\(_{\text{CROS}}\)N\(_{\text{CI}}\)] subtracted from the disadvantage [S\(_{\text{CI}}\)N\(_{\text{CROS}}\)]) as a function of PTA\(_{500–2,000}\) (Fig. 2d). In the figure, negative values represent participants for whom the disadvantage of CROS exceeded its benefit, as was the case in 12 of 17 participants (cf. Fig. 2b). The correlation between the difference in CROS effect and residual hearing was not statistically significant however (\(r^2 = 0.079, F[1,15] = 1.3\), \(p = 0.28\)). Excluding participant S11 yielded a similar result (\(r^2 = 0.11, F[1,14] = 1.7\), and \(p = 0.21\)).

In addition to the difference in magnitude between CROS benefit and disadvantage, we found a significant difference of >5 dB (paired t test, \(t[16] = 6.3\), and \(p < 0.0001\)) between the absolute SRTs from the 2 spatial configurations when CROS was used (cf. Fig. 2a, gray bars). The average SRT was 4.6 dB at S\(_{\text{CROS}}\)N\(_{\text{CI}}\) and 9.8 dB at S\(_{\text{CI}}\)N\(_{\text{CROS}}\). Theoretically, these SRTs should be identical because a reversal in spatial configuration should not affect the SRT when CROS is used [Taal et al., 2016]. To investigate the contribution of residual hearing in this discrepancy, we determined the difference in the SRTs obtained in the 2 spatial configurations (the configuration effect, i.e., SRT\(_{\text{CROS}}\)N\(_{\text{CI}}\) – SRT\(_{\text{CI}}\)N\(_{\text{CROS}}\) and plotted the outcomes as a function of PTA\(_{500–2,000}\) (Fig. 3). Negative
values in this graph represent lower SRTs at $S_{\text{CROS}N_{\text{CI}}}$, that is, better speech recognition when speech was presented on the CROS ear. Because residual hearing in the implanted ear can be considered minimal, negative differences indicate a benefit of residual hearing in the CROS ear. The linear regression showed a significant dependence of the SRT difference on the PTA$_{500-2000}$ ($r^2 = 0.30$, $F_{[1,15]} = 6.3$, and $p = 0.023$). Excluding participant S11 attenuated it to the loss of significance ($r^2 = 0.24$, $F_{[1,14]} = 4.5$, and $p = 0.051$).
Discussion

Using 2 spatial listening configurations, we tested the benefits of a CROS device in a group of unilaterally implanted CI users with varying degrees of residual hearing. The CROS system improved speech recognition significantly, by 6 dB, in the SCROSNCI configuration. For SCI NCROS, however, the SRT was significantly worsened by 8 dB (Fig. 2b). The magnitudes of the advantage and disadvantage differed significantly. This finding was unexpected, as modeling studies have shown that the benefit (SCROSNCI) and disadvantage (SCI NCROS) of CROS should be equal when the mixing ratio is 50:50 [Taal et al., 2016]. Correlation analysis showed that both the benefit and disadvantage of the CROS device depended significantly on the amount of residual hearing in the CROS ear. In the SCROSNCI configuration, the CI ear was the better hearing one in most participants, and we expected an overall benefit of CROS of 7 dB. However, because the contralateral ear had more residual hearing, the benefit significantly decreased (Fig. 2c). We hypothesize that increasing residual hearing in the CROS ear increased its role in speech understanding because participants could become more able to use bimodal hearing. In the most extreme case of the participant with near-normal hearing in the CROS ear, the benefits of CROS were minimal (Fig. 2c). For this participant, speech was presented to the better hearing ear, and CROS improved the SNR in the poorer hearing ear with little effect on speech recognition. Conversely, we have shown that more residual hearing correlated with a lesser disadvantage of CROS in the SCI NCROS configuration. As with the correlation between residual hearing and the benefit of CROS, we can explain this observation by the fact that the CROS ear can increasingly sustain speech recognition in noise thanks to the benefits of bimodal hearing. Based on these observations, our results indirectly show that bimodal hearing has advantages over CROS for speech recognition. Bimodal hearing is thought to improve speech recognition of CI users by providing temporal fine-structure cues, low-frequency information, and binaural cues [Qin and Oxenham, 2003; Pyschny et al., 2014].

The decreased benefit of CROS in the presence of residual hearing in the contralateral ear may have arisen from the electronic processing delay of the CROS signal. These delays are introduced by the speech processor and by the streaming of information between the CROS and the speech processor. As a result, the CROS signal presented to the CI ear is delayed relative to the acoustic signal in the CROS ear. With an oscilloscope (SmartScope, LabNation, Antwerp, Belgium) and a custom-made dummy implant, we have determined that the processing delay of the CI and CROS devices adds up to approximately 55 ms. This delay must be corrected for the 1-ms delay imposed by the mechanotransduction process by hair cells [Temchin et al., 2005] and for the traveling wave time, which is approximately 7 ms at 150 Hz and <1 ms at frequencies >4 kHz [Ruggero and Temchin, 2007]. The net delay between the electrical and acoustical speech signals can thus be estimated at approximately 47–53 ms. This interaural delay is sufficiently large to disrupt speech recognition [Wess et al., 2017] and may result in the perception of echoes [Litovsky et al., 1999].

Modeling work [Taal et al., 2016] has shown that the advantage and disadvantage of the CROS system are maximal and approximately symmetrical when the speech and noise are presented on opposite ears. The reported symmetry, however, holds only under the as-
sumption that the CROS ear has no residual hearing. In the model of Taal et al. [2016], the advantage in this scenario was 10.4 dB, and the disadvantage in the reverse scenario was 9.9 dB. These values match reasonably well our interpolated values of approximately 11 dB at a PTA500–2,000 of 120 dB (Fig. 2c). For this reason, the trend in Figure 2d may be realistic because it approaches the point of equal benefit and disadvantage at a PTA500–2,000 of 120 dB HL (an approximately 11 dB benefit and disadvantage). However, the difference between CROS benefit and disadvantage in the 2 spatial configurations (SCROSNI and SCIINCROS) did not correlate significantly with the amount of residual hearing. Based on the current data, therefore, we can conclude that (1) more residual hearing is significantly associated with less benefit and a smaller disadvantage (Fig. 2c) and (2) in a group of participants with different levels of residual hearing, the overall disadvantage significantly exceeds the benefit (Fig. 2b). We cannot, however, deduce how the discrepancy between the benefit and disadvantage depends on residual hearing (Fig. 2d).

The values shown in Fig. 2b–d were obtained by arithmetic summation of SRTs, which increases the random errors. Our results, thus, should be interpreted with caution. In addition, the linear fits applied for the benefit and disadvantage of CROS in Figure 2c are in reality nonlinear curves because floor and ceiling effects are expected at the extreme ends of the PTA500–2,000 range. To achieve more realistic, nonlinear curve fits would require more participants with relatively good residual hearing (PTA500–2,000 <50 dB) and without functional hearing (PTA500–2,000 >100 dB).

Residual hearing could also explain the finding that absolute SRTs obtained with the CROS device were substantially higher (i.e., speech recognition was worse) in the SCROSN CI condition. The relatively pronounced effects we found in this study can be explained by the fact that the spatial configurations tested were the most extreme cases. For SCROSNI, the SNR in the CROS ear and the benefit of residual hearing on speech recognition are maximal. Conversely, for SCIINCROS, the disadvantage of residual hearing will be maximal, given that the SNR is at its lowest on the CROS ear.

Our findings must be placed in perspective. The indication for a CROS device is a unilateral implant with no residual hearing in the nonimplanted ear. In our study population, however, a substantial number of participants had residual hearing. Of the 17 included participants, 6 normally wore a hearing aid in the contralateral ear, referred to as bimodal hearing. The criteria for a bimodal fitting are not strictly defined, but some authors say that almost all unilateral CI users can benefit from a contralateral hearing aid, even when the nonimplanted ear has severe (65–79 dB) to profound (80–94 dB) hearing loss [Ching, 2005]. For this reason, many of our participants might be preferably fitted with a hearing aid rather than a CROS device.

Limitations of this study include the fact that all but one of the participants had relatively poor residual hearing. As a consequence, significance testing of the correlations between the various outcome measures and residual hearing depended disproportionately on a single participant (S11) with near-normal hearing in the CROS ear (Fig. 2c, d, 3). The benefit of CROS still significantly depended on PTA500–2,000 without inclusion of this participant, but the association with the disadvantage did not remain significant (Fig. 2c). Thus, the correlation between PTA500–2,000 and the disadvantage of CROS should be interpreted with some caution. Likewise, with exclusion of data for participant S11, the discrepancy between absolute SRTs was no longer associated significantly (p = 0.051) with PTA500–2,000 in either spatial configuration when listening with CROS (Fig. 3). The influence of the Tmic, if any, is unclear and requires further study.

Conclusion

Using 2 opposing spatial configurations – S CROSNI and SCIINCROS – we have shown that the benefit and disadvantage of CROS are maximal in the absence of functional residual hearing. Both the benefit and disadvantage decrease with increasing residual hearing, but the disadvantage always outweighs the benefit in these conditions. These findings point to the need to disable CROS when speech is coming from the CI side and noise from the other side because the disadvantage may outweigh any benefit. Professional health-care providers administering CROS devices should carefully advise their clients of these considerations, especially when residual hearing is present. In future applications, automated SNR analysis is worth pursuing as a way to switch off CROS functionality when the SNR is better on the CI side.
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Statement of Ethics

This study adhered to the tenets of the Helsinki declaration [World Medical Association, 2013] and was approved by the Institutional Review Board of the Leiden University Medical Center (P02.106/P02.0778L). All participants provided written informed consent before participating in the study.

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Author Contributions

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