A continuous morphological approach to study the evolution of pollen in a phylogenetic context: An example with the order Myrtales

Ricardo Kriebel¹*, Mohammad Khabbazian², Kenneth J. Sytsma¹

¹ Department of Botany, University of Wisconsin, Madison, Wisconsin, United States of America, ² Department of Statistics, Columbia University, New York, New York, United States of America

* kriebelr@gmail.com

Abstract

The study of pollen morphology has historically allowed evolutionary biologists to assess phylogenetic relationships among Angiosperms, as well as to better understand the fossil record. During this process, pollen has mainly been studied by discretizing some of its main characteristics such as size, shape, and exine ornamentation. One large plant clade in which pollen has been used this way for phylogenetic inference and character mapping is the order Myrtales, composed by the small families Alzateaceae, Cryperoniaceae, and Penaeaceae (collectively the “CAP clade”), as well as the large families Combretaceae, Lythraceae, Melastomataceae, Myrtaceae, Onagraceae and Vochysiaceae. In this study, we present a novel way to study pollen evolution by using quantitative size and shape variables. We use morphometric and morphospace methods to evaluate pollen change in the order Myrtales using a time-calibrated, supermatrix phylogeny. We then test for conservatism, divergence, and morphological convergence of pollen and for correlation between the latitudinal gradient and pollen size and shape. To obtain an estimate of shape, Myrtales pollen images were extracted from the literature, and their outlines analyzed using elliptic Fourier methods. Shape and size variables were then analyzed in a phylogenetic framework under an Ornstein-Uhlenbeck process to test for shifts in size and shape during the evolutionary history of Myrtales. Few shifts in Myrtales pollen morphology were found which indicates morphological conservatism. Heterocolpate, small pollen is ancestral with largest pollen in Onagraceae. Convergent shifts in shape but not size occurred in Myrtaceae and Onagraceae and are correlated to shifts in latitude and biogeography. A quantitative approach was applied for the first time to examine pollen evolution across a large time scale. Using phylogenetic based morphometrics and an OU process, hypotheses of pollen size and shape were tested across Myrtales. Convergent pollen shifts and position in the latitudinal gradient support the selective role of harmomegathy, the mechanism by which pollen grains accommodate their volume in response to water loss.
Introduction

Recent and continuing intellectual and computational advancements have facilitated the integration of phylogenetics, ecology, character evolution, biogeography, and rates of evolution, enabling evolutionary biologists to rigorously test hypotheses in ways not possible even a decade ago [1–5]. These advances are now impacting the manner in which morphological characters are being used in a phylogenetic context. The use of continuous characters in a phylogenetic framework has been a contentious, conceptually difficult topic for systematists due to potential lack of objectivity in character scoring [6, 7]. The recent use of geometric morphometrics to describe quantitative variables of an individual’s character(s), as its ‘morphospace’, in combination with explicit phylogenetic methods [5, 8–16] has provided one solution to this problem.

The evolution and systematic utility of pollen shape and size within seed plants have been studied by quantifying pollen grains with traditional morphometric methods that take into account linear measurements and/or meristic variables [17–21]. Pollen evolution has been studied in a phylogenetic context in just over a dozen cases [22–28]. These studies for the most part have reconstructed the ancestral states of pollen characters onto molecular phylogenies as discrete traits, or as continuous traits that were binned under certain criteria such as the gap weighting method implemented in the program MorphoCode [6, 29]. In the case of shape, it is usually discretized in categories including oblate, subspheroidal, or prolate [25, 30]. The evolution of pollen shape and size may be influenced by ecological factors such as shifts in mode of pollination [31] and by water availability. For example, harmomegathy or change in pollen volume to accommodate changes in water availability [32, 33] may be important in pollen evolution within clades that have experienced repeated biome shifts [1]. Geometric morphometric tools are just beginning to be used with pollen. These include landmark based analyses [34] and elliptic Fourier analyses (EFA) [35–37] of pollen shape outlines [38].

We present here, using a recent phylogenetic framework for the angiosperm order Myrtales [39], the largest study of pollen shape and size undertaken with these new approaches. The order Myrtales is one of the largest in the Angiosperms with around 12,000 species and is estimated to have diverged from Geraniales about 124 million years ago either in Africa or South America during the early stages of the break up of Gondwana [39]. Phylogenetic relationships within the order are for the most part well established [39–41], and currently nine families are recognized within Myrtales: Alzateaceae, Combretaceae, Crypteroniaceae, Lythraceae, Melastomataceae, Myrtaceae, Onagraceae, Penaeaceae, and Vochysiaceae [42]. Species vary widely in habit and life history, including annual herbs to large trees. Melastomataceae and Myrtaceae represent some of the most common understory shrub and tree species in the world’s tropical forests, whereas Onagraceae are a common element of temperate habitats and deserts. The evolution of floral form in Myrtales is intimately connected with pollinator diversity. In some cases a large clade is almost totally restricted in its pollinators such as the Melastomataceae, in which most of its about 5,500 species are pollinated by female bees that buzz the flowers for the pollen reward [43]. In other cases like Myrtaceae, complex mechanisms of secondary pollen presentation have evolved [44, 45]. Documented pollinators within the order are diverse and include beetles, thrips, flies, bees, hawkmoths, hummingbirds, passerine birds, bats, rodents, and small marsupials [43, 46–49]. By far the vast majority of species within the order appear to be pollinated by bees [43, 48].

The accompanying pollen diversity to this remarkable ecological and floral diversity (summarized comprehensively by [50]), not surprisingly, has been utilized in phylogenetic analyses of the Myrtales [50–54]. A pioneering morphological cladistic study included five binary pollen characters: presence or absence of syncolporate pollen, the shape of the pollen in equatorial
view ("more or less oblate" or not), absence or presence of viscin threads, and the absence or presence of both subsidiary colpi and an "onagraceous exine" [53]. The potential of these characters as diagnostic for different families was demonstrated by mapping syncolporate pollen and pollen shape onto a molecular phylogeny [55]. More recently, fossil pollen in Myrtales, especially in the Lythraceae, Myrtaceae and Onagraceae, have been used to time calibrate molecular phylogenies [27, 39–41, 56, 57]. Onagraceae fossil pollen is particularly easy to identify due to the presence of viscin threads [58–60]. In other cases fossil pollen grains have been assigned with doubt, such as those of *Heterocolpites palaeocenica*, which were tentatively placed in the family Melastomataceae [61], whereas other fossil grains resembling *Heterocolpites* have been suggested to belong to the Combretaceae [52].

Here we use elliptic Fourier analysis to quantify shape variation from two-dimensional images of equatorial and polar views of pollen grains from almost 600 species of Myrtales to address specific hypotheses of pollen evolution using an expanded phylogenetic framework of the order. The extensive and descriptive documentation of Myrtales pollen with Light, Scanning Electron and Transmission Electron microscopy provides an ideal image database for this continuous morphological approach. Previous discretization of shape and ornamentation for phylogenetic analysis and character mapping [40, 53] was done for practical reasons despite known issues of categorizing continuous pollen traits (e.g., shape of the pollen as "more or less oblate" in equatorial view). Lastly, the controversial terminology surrounding pseudocolpi [62], not widespread in angiosperms but a synapomorphy for Myrtlaes [52], could benefit from additional tools that are able to quantify variation across the order.

Specifically, we ask the following questions using morphometrics of pollen in this phylogenetic framework for Myrtales: Is pollen of Myrtaceae and Onagraceae "distinctively oblate" in equatorial view as has been suggested and is this the result of convergent evolution? What important transitions in pollen shape and size have occurred during the evolutionary history of the group? Is there a signature of climatic niche space as captured through the latitudinal gradient on pollen size and shape evolution?

**Materials and methods**

In order to quantify shape and size of pollen in Myrtales, images of pollen grains in both equatorial and polar view (see Fig 1) were extracted for morphometric analyses and measuring

![Fig 1. Examples of pollen grains in Myrtales. Scanning electron micrographs of pollen grains from selected species of Myrtales. Representing the CAP clade is *Saltera sarcocolla; Bucida macrostachya* in equatorial view and *Conocarpus erecta* in polar view (Combretaceae); *Heimia salicifolia* (Lythraceae); *Miconia alypifolia* in equatorial view and *Miconia caesia* in polar view for (Melastomaceae); *Tristania conferta* (Myrtaceae); *Calylophus toumeyi* (Onagraceae). Scale bars are 5 um except for Onagraceae which is 50 um. Adapted from [50] with permission from the Annals of the Missouri Botanical Garden Press.](https://doi.org/10.1371/journal.pone.0187228.g001)
from the following literature sources: [27, 50, 56, 61–104]. When the view was not clearly equatorial or polar, those grains were excluded from the analyses.

**Pollen size**

Images of pollen grains from the literature were measured using the software ImageJ [105]. Four measurements were taken for each pollen grain, two for each view (Fig 1). In polar view, grains were consistently positioned with one aperture pointing upward and two apertures placed at the base. A linear measurement was taken from the aperture vertically down the middle of the two opposing apertures to represent the length in polar view. A measurement at the widest point was taken to represent width in polar view. In equatorial view, the apertures were used to guide a horizontal and linear measurement of the widest part of the grain. Prior to comparing pollen size by family within Myrtales, a Shapiro-Wilk test of normality was conducted with the stats package for R [106]. As the test showed that none of the four pollen measurements were normally distributed, they were subsequently log transformed. Pollen size was compared between groups using approximate randomization tests in the R package coin [107], with post hoc multiple comparisons implemented in the R package multcompView [108] and functions available in rcompanion [109]. Double boxplots to visualize size variation in both the equatorial and polar view of the pollen were constructed with the package boxplotdbl [110].

**Pollen shape**

After extracting images of pollen grains from the literature, they were outlined using GIMP 2.8 (http://www.gimp.org). In order to quantify shape of the pollen, we conducted analysis of outlines with elliptic Fourier transformations on both the equatorial and the polar views independently with the R package Momocs [37]. To this end, the outlines are read into R and converted to lists of coordinates that describe them and then subjected to elliptic Fourier analysis (EFA) using normalization of the Fourier coefficients for rotation, translation, size and orientation. Small amounts of noise between outline halves were removed using the rm_asym and rm_sym functions in Momocs. After, a Principal Components Analysis (PCA) is conducted to summarize variation in the harmonic coefficients resulting from the EFA. A total of 32 harmonics which contributed 99% of harmonic power were used in the PCA. We opted for EFA instead of a landmark based approach because the only reliable homologous points in the pollen grains are the pores, and these result in just three landmarks in polar view and sometimes, depending on the image, one single landmark in equatorial view. For this study we were particularly interested in the area between the pores, thus the landmark based approach was insufficient to capture variation in this area. EFA on the other hand places many coordinates all around the outline and can extract very accurate information from curved areas such as in between the pollen pores. A multivariate analysis of variance (MANOVA) was done in Momocs to test for significant differences between the families using the first 15 principal components scores resulting from the EFA, which for both pollen views correspond to more than 95% of the variation. All pollen outlines and R code needed to reproduce the analyses are available at the Dryad Digital Repository (http://dx.doi.org/10.5061/dryad.j17pm).

**Comparative methods**

**Phylogeny**

We generated a matrix of DNA for Myrtales from sequences available in GenBank—these included 12 regions representing 3346 tips. Among these tips we included the most likely sister
Reconstructing pollen shape and size across Myrtales

The four variables analyzed in downstream applications were pollen size as represented by log-transformed pollen length and width in polar view, PC1 of pollen shape in polar view, and PC1 of shape in equatorial view. Pollen size in equatorial view was not analyzed due to the large amount of missing data relative to that of pollen size in polar view and the similarity in the distribution of the available data to that of pollen size in polar view. Given the different numbers of species in the phylogeny that match either the shape or size data, we generated
three separate datasets of different sizes for downstream analyses. The first two with all taxa that match either the shape and size data, and a third dataset in which only species with all shape and size data were retained. We used these data sets to explore the evolutionary dynamics of pollen in Myrtales as described in the “Modeling shifts” section below, and also used a phylomorphospace approach to visualize the exploration of morphospace with the phylogeny projected in said space using the library phytools for R [116].

We also tested the hypothesis of a relationship between equatorial and polar shape due to developmental constraints by using phylogenetic generalized least squares regression (PGLS) as implemented in the R package phyloLM [117]. Using the same approach, we tested for allometry using pollen length in polar view and pollen shape in polar view. For both tests we compared the fit of the Brownian Motion (BM) evolutionary model and the Ornstein-Uhlenbeck (OU) process with the ancestral state estimated at the root (“OUfixedRoot”) as the phylogenetic models for the error term. PGLS models were constructed for the three phylogenies to test for sensitivity of topological uncertainty on the results.

**Modeling shifts in pollen evolution using Ornstein-Uhlenbeck process**

To assess the role of selection acting on pollen traits during the evolution of Myrtales, we modeled shifts in pollen shape and size independently, as well as in a total evidence analysis with the four variables together and on the three phylogenies under an Ornstein-Uhlenbeck (OU) process. The OU process is a convenient way to model the evolution of continuous traits that allows the detection of shifts to different morphological regimes, each with different adaptive optimum, along the edges of a time calibrated phylogeny [118–122]. To graphically represent the different regimes, the edges of the phylogeny are painted with different colors corresponding to the different regimes. If no shifts are detected during the analysis and a single regime is found across the tree, then evolution would be occurring under a Brownian Motion model. We used the R package l1ou, which uses the LASSO (Least Absolute Shrinkage and Selection Operator [123]) to detect the best shift configuration [122]. An analysis with l1ou requires a phylogeny and one to many continuous traits for which we want to test for shifts. One of the advantage of this approach is that it does not require a priori identification of the location of the shifts on the phylogeny. The shift detection procedures were run on each of the three topologies. In order to avoid the over-fitting of shifts, we used the phylogenetic Bayesian Information Criterion (pBIC) to select the number of shifts. This recently developed model selection criterion accounts for the phylogenetic correlation between species and has lower false shift detection rate than criteria such as AIC [122, 124]. The maximum number of shifts to detect was set to 50 and a non-parametric bootstrap procedure was used to assess the support for the shifts that were detected in the initial search. This bootstrap procedure which is also implemented in l1ou, takes as input the best shift configuration detected by l1ou and calculates phylogenetically uncorrelated standardized residuals for each node of the phylogeny. These residuals are sampled with replacement and mapped back onto the tree creating bootstrap replicates [122]. Each bootstrap replicate was also analyzed under the pBIC model selection criterion. An additional step was run in l1ou to identify convergence to the same morphological regime. In this step similar regimes are collapsed into convergent regimes that are then painted with the same color on the edges of the tree. During this step, we also used the pBIC model selection criterion.

**Testing linkage of ecological space and pollen features**

To explore the role climate might have had in pollen shape and size evolution in Myrtales (see [125]), we examined in a phylogenetic framework the correlation of latitude and pollen
features. Latitudinal gradients can be considered coarse proxies for temperature and precipitation when examining plant responses to climate, and many life-history traits of plants vary along these gradients [126–128]. We characterized the distributions for species of Myrtales by mining the Global Biodiversity Information Facility (GBIF; www.gbif.org). Using conservative approaches [129], we downloaded georeferenced samples and filtered them for inaccurate and ambiguous data (e.g., duplicate records, accessions clearly outside of known species ranges, accessions with ambiguous taxonomy). The mean absolute latitude value for each species was then calculated and used in downstream analyses. We tested the hypothesis that latitude is correlated with pollen morphology in Myrtales using PGLS regression with the R package phylolm [117]. Here we also compared the fit of the Brownian Motion (BM) and Ornstein-Uhlenbeck (OU) evolutionary models. As with the other phylogenetic regressions, PGLS models were constructed for the three phylogenies to test for sensitivity of topological uncertainty.

Results

Pollen size

Pollen grains from 293 and 468 species were measured for size from the literature for equatorial and polar views, respectively. Onagraceae have larger pollen grains than all other families of Myrtales (Table 1, Fig 3). Myrtaceae have smaller pollen than other families in length of pollen in equatorial view, and Melastomataceae in pollen width in equatorial view (Table 1, Fig 3). These marked differences suggest shifts in pollen size along the stem lineages of some families. Families in the CAP clade (Cyperoniaceae, Alzateaceae, Penaeaceae), as well as Combretaceae, Lythraceae, Melastomataceae and Vochysiaceae in general have relatively small pollen.

Pollen shape

The elliptic Fourier analysis (eFa) of outlines in equatorial view included pollen from 444 species. 92.2% of the variation is explained in the first PC, and 4.2% in the second PC. In the first component, pollen varies in equatorial view from oblate on one extreme to rounded on the other extreme (Fig 4A and 4B). Variation in the second component describes a pronounced central body of the pollen grains with narrow ends on one extreme, to grains that are wider at the edges and narrower in the middle (Fig 4A and 4B). A multivariate analysis of variance shows significant difference between the shapes of pollen grains in equatorial view among the different families within Myrtales ($F(6, 437) = 9.9$, $p = <0.001$).

The elliptic Fourier analysis of outlines in polar view included pollen grains from 583 species. 74.4% of the variation is explained in the first PC, and 10.1% in the second PC. In the
first component of polar view, pollen varies from triangular, with the area between colpi being sunken on one extreme of the variation, to more rounded pollen including a small indentation in the are between the colpi on the other (Fig 4A and 4D). Variation in the second component describes the pollen pores going from pointed on one extreme to sunken inward on the other (Fig 4). A multivariate analysis of variance shows significant difference between the shape of pollen grains in polar view among the different families within Myrtales ($F(6, 576) = 12.8, p = <0.001$).

**Phylogeny**

The 12 region DNA matrix data set included 17507 characters representing 3346 tips and contained 77% missing data. The 10 cpDNA regions represented 2262 species and contributed 14042 characters, and the two nrDNA markers represented 2774 species and was 3465 characters in length. The phylogenies resulting from analyzing cpDNA and nrDNA independently both recover the order Myrtales as monophyletic as well as all the major families and the CAP clade. In general both topologies lack support in the backbone of the trees. The ML phylogeny resulting from the concatenated matrix recovered Myrtales and its major families as monophyletic but with low or no support for the main relationships among them. Although topology C (see Fig 2) was recovered, the differential placement of Combretaceae among the three topologies had no support. The resulting phylogenies are available from the Dryad Digital Repository (http://dx.doi.org/10.5061/dryad.j17pm).

**Reconstructing pollen shape and size across Myrtales**

The number of species in the pollen data set that matched tips in the phylogeny was 235 for size, 173 for shape, and 112 for both shape and size. The family with the least matches was
Vochysiaceae. To improve this match we used *Qualea rosea* as a phylogeny placeholder for data available of *Qualea cryptantha*. A phylomorphospace in three dimensions including the two axes of shape and one of size shows the exploration of morphological space by pollen of Myrtales using phylogeny A (Fig 5). The Myrtaceae and Onagraceae individually extend into similar shape space determined by the equatorial and polar views, but they do so in quite different regions of size morphospace, with Myrtaceae and Onagraceae having the smallest and largest pollen, respectively, within Myrtales. The rest of the families are concentrated in a corner of morphospace and show little variation.

Fig 4. Morphospaces of pollen shape in Myrtales grouped by family. Morphospaces of pollen shape in Myrtales grouped by family. The families Alzateaceae, Crypteroniaceae, and Penaeaceae are collectively included under the “CAP clade”. A: Empirical morphospace of pollen shape variation in equatorial view. B: Theoretical morphospace of pollen shape variation in equatorial view. C: Empirical morphospace of pollen shape variation in polar view. D: Theoretical morphospace of pollen shape variation in polar view.

https://doi.org/10.1371/journal.pone.0187228.g004
Comparative tests

Correlation between equatorial and polar view and allometry

For PGLS regression a comparison of both BM and OU model fits by AIC revealed the best model to be the OU in the three different trees for tests of allometry as well as developmental constraint (Table 2). Both the test of the developmental constraint hypothesis as well as the allometry hypothesis were significant across the three topologies (Table 2).

Modeling pollen evolution under an OU process

The maximum set number of 50 shifts was not reached in any of the three analyses (shape, size, or shape and size together) with l1ou using the three phylogenies (9 analyses total). The
The `l1ou` analysis of pollen shape detected the same 7 shifts on each of the three topologies (Fig 6, S1 and S2 Figs). Across the three trees, the background regime involves species with more rounded to pseudocolpate pollen that subsequently tends to shift to triangular pollen. Of the few shifts on edges subtending larger clades, all three analysis detected a shift to more triangular pollen in the MRCA of Myrtaceae and the MRCA of Onagraceae. The three analyses also detected convergent evolution to the same regime by Myrtaceae, Onagraceae, and *Cuphea* in the Lythraceae. Another consistent shift across trees was detected in the MRCA of the tribe Onagreae including the genera *Clarkia* and *Oenothera*, which evolved more concave areas in between the pollen pores as well as flatter equatorial shape. Singleton shifts to more triangular pollen were detected in *Trapa natans* of the Lythraceae and *Beaufortia orbifolia* of Myrtaceae and to more rounded, elliptical pollen in *Syzygium sarangense*. Bootstrap support for shifts in shape were high with only the shift on the edge leading to *Syzygium sarangense* receiving less than 80% support (Fig 6).

The `l1ou` analysis of size data detected 10 shifts on topology A, 10 on topology B, and 9 in topology C (Fig 7, S3 and S4 Figs). Across the three analyses, like in the shape data, the shifts were consistent across the three trees containing the same taxa except that topology C lacked the shift in the MRCA of the sister pair *Thaleropia queenslandica* and *Tristania neriifolia* in Myrtaceae. Most shifts were on edges leading to singleton taxa or small clades, except for a shift to smaller pollen detected in the common ancestor of Myrtaceae, and a shift to larger pollen in Onagraceae. An additional shift to even larger pollen was detected in the MRCA of the genus *Oenothera* within Onagraceae. Bootstrap support for shifts in the size only data was generally moderate to high except notably in the shift to smaller size in the MRCA of Myrtaceae. A few cases of convergence in the large size of pollen were detected, including *Trapa natans* converging to the same regime with most of Onagraceae, and in two of the topologies also with *Octamyrtus pleiopetala*.

Finally, the `l1ou` analysis with shape and size data included together detected 8 shifts on topology A, 6 on topology B, and 10 in topology C (Fig 8, S5 and S6 Figs). In general the shifts are similar than in the previous analyses with changes between them mostly seen in differences in convergent regimes as well as bootstrap support. Notably on larger clades, shifts were detected in the MRCA of the Myrtaceae to smaller, more triangular and oblate pollen, and in Onagraceae to larger and more triangular and oblate pollen. In these analyses with all the data, convergence was not detected between Myrtaceae and Onagraceae do to the size difference of

### Table 2. Phylogenetic generalized least squares (PGLS) regression models of pollen traits. ***, <0.005; ***, <0.001.

| Test                  | Phylogeny | Model | Slope estimate | AIC     | t       | P-value |
|-----------------------|-----------|-------|----------------|---------|---------|---------|
| Allometry             | A         | BM    | -0.035         | -396.303| 4.252   | 0.001***|
|                       |           | OU    | -0.033         | -415.832| 3.939   | 0.001***|
|                       | B         | BM    | -0.045         | -354.044| 4.563   | 0.001***|
|                       |           | OU    | -0.038         | -389.904| 4.039   | 0.001***|
|                       | C         | BM    | -0.041         | -383.142| 5.578   | 0.001***|
|                       |           | OU    | -0.038         | -407.107| 4.873   | 0.001***|
| Developmental constraint | A         | BM    | 0.02           | -379.48 | 0.46    | 0.643   |
|                       |           | OU    | 0.30           | -409.95 | 9.70    | 0.001***|
|                       | B         | BM    | -0.11          | -344.48 | 3.18    | 0.002** |
|                       |           | OU    | 0.28           | -401.56 | 8.90    | 0.001***|
|                       | C         | BM    | -0.04          | -356.30 | 1.02    | 0.311   |
|                       |           | OU    | 0.30           | -407.35 | 9.41    | 0.001***|

https://doi.org/10.1371/journal.pone.0187228.t002
the pollen between the two families. One difference in the analysis on topology C was the detection of two shifts at the edges following the MRCA of the family Onagraceae instead of a shift at the edge preceding this MRCA. The bootstrap analysis on the other hand recovered support for shifts at this suspected, preceding edge. This result is not totally unexpected given shift detection can suffer from identifiability problems (Fig 1 of [122] illustrates this issue). Additional shifts were detected in a few singleton taxa as well as in the MRCA of the genus *Oenothera*. Bootstrap support for shifts was high except for some shifts nested deep within Myrtaceae in topologies A and C.

Fig 6. Shifts in shape during the evolutionary history of Myrtales on topology A. The color of the edges of the tree and the bars of the bar plot indicate the regime number of that clade. Asterisks highlight edges where shifts occurred and numbers at their side indicate bootstrap support for the corresponding shift.

https://doi.org/10.1371/journal.pone.0187228.g006
Testing linkage of ecological space and pollen features

A total of 1,896,305 specimens were retrieved from GBIF. This number was reduced to 813,227 after cleaning. This data set resulted in mean latitude values for a total of 10,347 species. The number of species for which there was a match between the latitudinal data, pollen data and the phylogeny was 109. The distribution of mean absolute latitude with respect to both axes of shape with the phylogeny projected inside shows the exploration of morphospace mainly by the Myrtaceae and Onagraceae (Fig 9). Phylogenetic least squares regression under the OU model was the best fitting model across the three phylogenies when comparing AIC.

Fig 7. Shifts in size during the evolutionary history of Myrtales on topology. Shifts of size during the evolutionary history of Myrtales on topology A. The color of the edges of the tree and the bars of the bar plot indicate the regime number of that clade. Asterisks highlight edges where shifts occurred and numbers at their side indicate bootstrap support for the corresponding shift.

https://doi.org/10.1371/journal.pone.0187228.g007
scores. PGLS regression tests of a correlation between latitude and pollen size were not signifi-
cant but those of shape were significant (Table 3).

Discussion

We present here for the first time a study of pollen evolution across a broad group of seed
plants, the order Myrtales, using morphometric size measurements and elliptic Fourier analy-
sis to quantify shape variation from two-dimensional images of equatorial and polar views of
the pollen. We then couple these morphometric and morphospace approaches with a phyloge-
netic framework of Myrtales that is time-calibrated and assess pollen evolution across and
within the nine families. We utilize an Ornstein-Uhlenbeck process and test for shifts in pollen
shape and size evolution and examine convergent pollen evolution within Myrtales. And
finally, we examine the specific hypothesis that the latitudinal gradient which includes changes
in moisture and temperature going from tropical to temperate latitudes, may be important in
the evolution of pollen size and shape because of harmomegathy.

Two important aspects of this study that permitted this broad scale analysis of pollen fea-
tures should be highlighted. First, we used an indispensable data set of pollen images generated
by an array of palynologists and systematists [27, 50, 56, 58, 75, 100–102]. As the field of
Phylogenetics enters a new era of morphometric and morphospace analyses [15], the value of detailed data and images from monographic works will be immense. Second, the development of a large molecular phylogeny of the Myrtales was critical. The most extensive phylogenetic sampling to date of the order in terms of species and gene regions was presented in [39], which included 102 species and six gene regions across three genomes with 98% cell coverage. In order to match tips on a Myrtales phylogeny to species with existing pollen images, a phylogeny with over 3300 tips from 12 gene regions was necessary but with resulting 77% missing cell coverage. However, the relationships between and within families recovered in our large phylogeny were essentially congruent with that from [39] except for the (weak) placement of Combretaceae. Our subsequent down-stream analyses thus used three different phylogenetic
frameworks differing in the position of this family. The following discussion is largely based on the phylogeny (topology A) placing Combretaceae sister to the rest of Myrtales given that results across the three phylogenies were similar [39].

### Evolution of pollen size in Myrtales

The results on pollen measurements highlight that most families in Myrtales (including the Myrtales crown) have small pollen (Figs 3 and 5), a conclusion that is not surprising given that pollen is under strong selective pressure to be small [130]. However, the size data analysis under the OU process revealed that a shift in pollen size occurred in the stem of Onagraceae, which have larger pollen grains than other families within the order (Fig 7), a result hinted at [50]. In fact, one species of this genus, *Oenothera biennis*, was found to have the largest pollen among extant plants in a recent survey of all pollen producing plants [130].

Several hypotheses have been put forth to explain evolutionary changes in pollen size. First, it has been suggested that polyploidy and/or genome size is a predictor of pollen size and they are linked to larger pollen [130, 131]. A recent study, however, found no relationship between pollen size and genome size when controlling for phylogenetic history of 464 species spread across seed plants [130]. The data available on genome sizes for species in Myrtales (available at the Kew Plant C-Value Database [132]) are limited. Combretaceae has the greatest variation in C-value in this data set. Thus, more focused studies on Combretaceae, as well as on Melastomataceae, with small pollen but many instances of polyploidy [43], and on Onagraceae (especially Onagreae), with large pollen and a fairly representative data set of C values [133–135], may provide better tests of a correlation of pollen size and genome size.

A second hypothesis proposes that pollen grain size is the result of biotic and abiotic pollinator preference and fluid dynamics [136]. Pollen grain size may be influenced by pollinator preference in the broad sense (*i.e.*, wind versus animal pollination). Support for this hypothesis, for example, is found in the pollen of the clusioid clade of Malpighiales [25]. Within this order, great variability in pollen size and other characters in Clusiaceae appear correlated to high levels of diversity in floral morphology, pollination mechanisms, and pollinators. In contrast, pollen size in related Podostemoideae is relatively uniform consistent with them being water pollinated, aquatic plants. At a finer scale, no relationship between pollinator type (bees versus birds) and pollen size was found across angiosperms [137]. Interspecific pollen size variation more probably reflects differences in conditions for pollen germination, pollen-tube

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**Table 3. Phylogenetic generalized least squares (PGLS) regression models of pollen traits and absolute latitude.*** *,<0.001.

| Phylogeny | Model | Slope estimate | AIC | t | P-value |
|-----------|-------|----------------|-----|---|---------|
| **Shape** |       |                |     |   |         |
| A         | BM    | -0.002         | 73.924 | -0.851 | 0.396 |
|           | OU    | -0.005         | -153.009 | -4.821 | 0.001*** |
| B         | BM    | -0.001         | 66.237 | -1.010 | 0.314 |
|           | OU    | -0.005         | -155.797 | -4.665 | 0.001*** |
| C         | BM    | -0.001         | 37.990 | -0.933 | 0.352 |
|           | OU    | -0.005         | -163.062 | -4.867 | 0.001*** |
| **Size**  |       |                |     |   |         |
| A         | BM    | 0.004          | 475.141 | 0.819 | 0.414 |
|           | OU    | 0.006          | 450.727 | 1.247 | 0.214 |
| B         | BM    | 0.002          | 489.263 | 0.411 | 0.681 |
|           | OU    | 0.005          | 459.261 | 1.049 | 0.295 |
| C         | BM    | 0.006          | 519.770 | 1.196 | 0.233 |
|           | OU    | 0.008          | 482.486 | 1.701 | 0.09 |
growth and ovule fertilization [137]. In the case of Myrtales, only a few comparisons have been made among closely related species. Pollen size differences between the closely related genera *Duabanga* and *Sonneratia* have been suggested not to be the result of pollinator mediated selection, since both are bat pollinated [138]. Taken together with the results obtained here of few shifts in pollen size during the evolutionary history of Myrtales, it seems likely that pollen size evolution in Myrtales might best be explained by phylogenetic constraints or by factors that are themselves phylogenetically constrained, but not by pollinator selection. More studies including sister species with different pollinators are needed to further understand the possible role of pollinators in the evolution of pollen size at these finer evolutionary scales.

A third hypothesis, related to the former, is that pollen size is correlated with pistil size and three reproductive processes that are related to the evolution of pollen size can be distinguished [31]. These include: (1) resource allocation to male function (trade-off between pollen grain size and number), (2) pollination (pollen removal, transport and deposition, pollinator type, etc.), and (3) post pollination processes (pollen germination and tube growth, fertilization, and pistil characteristics). In the future, careful selection of taxa throughout the Myrtales would permit testing of Torres’s hypothesis. At a first glance, however, it appears unlikely pollen size is related to style length in Myrtales because most clades have small pollen yet style length can vary significantly within clade.

**Evolution of pollen shape in Myrtales**

The continuous morphological approach to reconstruct pollen grain shape in Myrtales using the Ornstein-Uhlenbeck process with l1ou [122] recovered as a background regime the pseudocolpate and prolate pollen grains common in Combretaceae, Melastomataceae and the CAP clade with subsequent shifts to more triangular and oblate grains in Myrtaceae and Onagraceae (Fig 6). This approach elucidated convergent evolution in pollen shape between the Myrtaceae and Onagraceae, a similarity previously noted [50]. This result provides evidence for the hypothesis that Onagraceae and Myrtaceae have distinctly "more or less oblate" pollen shape in equatorial view as previously suggested [53] and later mapped onto the phylogeny of the order as a discrete character [55]. Here we present the first quantitative test of the latter hypothesis. Perhaps not surprisingly, the results support correlative change between the shapes of pollen grains in equatorial and polar view throughout the evolution of Myrtales and suggest that forces of developmental constraint are present during pollen development. Importantly, although Myrtaceae and Onagraceae have independently achieved their unique and similar pollen shape, the 3-D phylomorphospace projection (Fig 5) clearly indicates they occupy distinct regions of the morphospace when pollen size is also factored in. Also, as seen in Fig 8, there is no convergence detected when pollen size is analyzed simultaneously with pollen shape with l1ou.

**Shifts in pollen shape and size in Myrtales using OU process**

The results of the l1ou analyses also shed light on the shape and size of the common ancestor of the group. In all three tested Myrtales topologies, shifts are observed from the small heterocolpate grains present in Combretaceae, the CAP clade and Melastomataceae to more triangular and oblate grains in the Myrtaceae, Onagraceae and some Lythraceae. This result is in accord with the attribution of great importance to the presence of pseudocolpi, suggesting they had phylogenetic significance [52]. Within Onagraceae, an additional shift is consistently detected in the MRCA of the sampled of the tribe Onagreae to even larger grains with the area between the pores more concave. Lastly one of the most consistent shifts in a singleton taxon corresponded to that detected in *Trapa natans*. This shift was detected to be convergent with that at the edge
leading to the MRCA of Onagraceae in the size only analysis, but not it the all data one. This convergence between the pollen of the genus *Trapa* and the Onagraceae was previously noted [50], in particular to the genus *Ludwigia*.

The detection of shifts in the evolution of pollen shape and size in the common ancestor of both Onagraceae and Myrtaceae with no to few subsequent shifts within and outside these families suggests these traits are conserved in the two families as they are in most other Myrtales. Based on the chronogram presented here [39], the pollen shifts in these two families occurred about the same time, ca. 85 Mya. The evolutionary origin of two characters previously hypothesized as significant during the diversification of the Myrtales, namely the appearance of syncolporate pollen in Myrtaceae and viscin threads in Onagraceae, may thus represent independent evidence of an important past event towards the close of the Cretaceous that simultaneously affected pollen diversification at the crown radiation of both families. The subsequent convergent shift in Onagraceae and Myrtaceae may thus be the result of contingent evolution on the origin of earlier pollen traits such as viscin threads in the Onagraceae and syncolpae in the Myrtaceae.

**Harmomegathy: Does Myrtales pollen evolution track latitude?**

The last and perhaps the strongest hypothesis to explain the “form, composition, organization and architecture” of pollen grains argues that these changes are mostly a result of dealing with harmomegathic stress [32, 138, 139]. Harmomegathy, a term first used by Wodehouse [32] to indicate changes in pollen volume accommodation in response to water loss, is considered essential for life on land [33]. Pollen grains are usually exposed to dry environmental conditions once they are released from the pollen sacs, and thus have evolved the ability to fold themselves in ways that avoid dehydration [32, 33, 138, 140, 141]. Harmomegathy has only been examined in a few species within a genus or family [142, 143], including some species of Lythraceae [144]. Our study constitutes the first to evaluate the evolution of harmomegathy at the ordinal level, and in a phylogenetic context. Transition from the prolate, pseudocolpate type to the oblate, triangular types in Myrtaceae and Onagraceae appears to involve a shift from pollen that folds at the pseudocolpae when dehydrated in the case of most prolate grains, to a loss of this ability in Myrtaceae and Onagraceae which lack pseudocolpae. Importantly, the latitudinal distribution of the predominantly temperate families Myrtaceae and Onagraceae indicate that shifts in pollen shape are correlated to latitudinal shifts in both families (Fig 9). Additionally, these events are also linked to inferred long distance dispersal events between continents and exposure to a wider range of more xeric conditions during the crown radiations of both families [39]. It remains to be documented in more taxa and experimentally tested if the convergent shapes observed in Myrtaceae and Onagraceae have evolved to resolve harmomegathic stress by folding in similar ways.

**Future applications of pollen morphometric analyses**

We have demonstrated the utility of morphometric approaches in clarifying the evolution of both pollen size and shape across the 116 Mya history of Myrtales. This history involved both conservatism but also remarkable evolutionary convergence in pollen features as the order diversified following shifts in both biogeography and climate. Whether pollen can be evaluated in a similar fashion in all seed plant clades and at all taxonomic scales remains to be seen. We note, however, that issues of homology may be problematic if pollen analysis is extended out from Myrtales to encompass other rosid orders. For example, Geraniales is likely the closest sister order to Myrtales [39, 145, 146], but Geraniales pollen is so distinctive [147] compared to that of Myrtales that they will be difficult to compare across so distantly related species.
Perhaps not coincidentally, Geraniales, like Myrtales, have undergone a remarkable radiation in response to both climate and pollinators on an inter-continental scale [148].

Finally, we see an important role of these morphometric tools in the identification and phylogenetic placement of fossil pollen within extant clades. As microfossils generally are seen in greater abundance and earlier than macrofossils within plant fossil records, fossil pollen can be important for calibrating DNA phylogenies [102]. Like other fossils, both the taxonomic identity and the placement of fossil pollen onto branches or nodes of the tree can be contentious [146, 149–153]. The objective fashion in which elliptic Fourier analysis treats shape variables lends itself to perhaps more objective handling of fossil pollen for tree calibration. The phylogenetic framework provided here for Myrtales should allow more rigorous testing of the placements of fossil pollen recently used within the order and the family Myrtaceae [27, 39, 56, 154]. Indeed, these methods may soon more elegantly narrow down the taxonomic identity and placement of important, unknown pollen fossils, such as those found in the stomach of the Eocene (47 Mya) *Pumiliornis*, the earliest known flower visiting bird [155].

Supporting information

S1 Fig. Shifts in pollen shape variables during the evolutionary history of Myrtales on topology B. The color of the edges of the tree and the bars of the bar plot indicate the regime number of that clade. Asterisks highlight edges where shifts occurred and numbers at their side indicate bootstrap support for the corresponding shift. Only shifts with more than 50% bootstrap support are annotated. Bar plots next to the tree represent the trait values. (TIF)

S2 Fig. Shifts in pollen shape variables during the evolutionary history of Myrtales on topology C. The color of the edges of the tree and the bars of the bar plot indicate the regime number of that clade. Asterisks highlight edges where shifts occurred and numbers at their side indicate bootstrap support for the corresponding shift. Only shifts with more than 50% bootstrap support are annotated. Bar plots next to the tree represent the trait values. (TIF)

S3 Fig. Shifts in pollen size during the evolutionary history of Myrtales on topology B. The color of the edges of the tree and the bars of the bar plot indicate the regime number of that clade. Asterisks highlight edges where shifts occurred and numbers at their side indicate bootstrap support for the corresponding shift. Only shifts with more than 50% bootstrap support are annotated. Bar plots next to the tree represent the trait values. (TIF)

S4 Fig. Shifts in pollen size during the evolutionary history of Myrtales on topology C. The color of the edges of the tree and the bars of the bar plot indicate the regime number of that clade. Asterisks highlight edges where shifts occurred and numbers at their side indicate bootstrap support for the corresponding shift. Only shifts with more than 50% bootstrap support are annotated. Bar plots next to the tree represent the trait values. (TIF)

S5 Fig. Shifts of size variables and shape variables modeled together during the evolutionary history of Myrtales on topology B. The color of the edges of the tree and the bars of the bar plot indicate the regime number of that clade. Asterisks highlight edges where shifts occurred and numbers at their side indicate bootstrap support for the corresponding shift. Only shifts with more than 50% bootstrap support are annotated. Bar plots next to the tree represent the trait values. (TIF)
represent the trait values.

**S6 Fig.** Shifts of size variables and shape variables modeled together during the evolutionary history of Myrtales on topology C. The color of the edges of the tree and the bars of the bar plot indicate the regime number of that clade. Asterisks highlight edges where shifts occurred and numbers at their side indicate bootstrap support for the corresponding shift. Only shifts with more than 50% bootstrap support are annotated. Bar plots next to the tree represent the trait values.

**Acknowledgments**

We would like to thank Cécile Ané, Brent Berger, Daniel Spalink, Marcelo Reginato and the Sytsma lab phylogenetics group for comments. Also thank you for comments and feedback to Berthold Heinze, Andrew Leslie and an anonymous reviewer. US National Science Foundation’s Dimensions of Biodiversity program (awards DEB-1046355) provided the time commitment of Kriebel and Sytsma. Additional support came from the Hofmeister Fund, Botany Department, University of Wisconsin, Madison.

**Author Contributions**

**Conceptualization:** Ricardo Kriebel, Kenneth J. Sytsma.

**Data curation:** Ricardo Kriebel, Mohammad Khabbazian.

**Formal analysis:** Ricardo Kriebel.

**Funding acquisition:** Kenneth J. Sytsma.

**Investigation:** Ricardo Kriebel, Mohammad Khabbazian, Kenneth J. Sytsma.

**Methodology:** Ricardo Kriebel, Mohammad Khabbazian, Kenneth J. Sytsma.

**Project administration:** Ricardo Kriebel.

**Software:** Mohammad Khabbazian.

**Supervision:** Kenneth J. Sytsma.

**Visualization:** Ricardo Kriebel.

**Writing – original draft:** Ricardo Kriebel, Kenneth J. Sytsma.

**Writing – review & editing:** Ricardo Kriebel, Mohammad Khabbazian, Kenneth J. Sytsma.

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