Demonstration of Rashba spin splitting in GaN-based heterostructures

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The circular photogalvanic effect, induced by infrared radiation, has been observed in (0001)-oriented n-GaN low dimensional structures. The photocurrent changes sign upon reversing the radiation helicity demonstrating the existence of spin splitting of the conduction band in k space in this type of materials. The observation suggests the presence of a sizeable Rashba type of spin splitting, caused by the built-in asymmetry at the AlGaN/GaN interface. © 2005 American Institute of Physics. [DOI: 10.1063/1.2158024]

Gallium nitride is a potentially interesting material system for spintronics since it is expected to become ferromagnetic with a Curie temperature above room temperature if doped with manganese. 1 Long spin relaxation times observed in this material are another promising property for possible applications. 2 Little is known so far about spin orbit interaction in GaN based heterojunctions like existence of Rashba spin splitting in the band structure which would provide a potential handle for spin manipulation. 3 Strong spin-orbit effects are expected to be in narrow-gap materials only. 4 A large piezoelectric effect which causes a strong electric field at the Al,Ga,N/GaN interface and the strong polarization induced doping effect, on the other hand, may result in a sizeable Rashba contribution to spin splitting of the band due to spin-orbit interaction (~1 meV). 5 Indeed a spin splitting of 9 meV was extracted from beatings of Shubnikov-de-Haas oscillations in Al0.25Ga0.75N/GaN heterostructures. 6 However, such beatings were ascribed to magnetointersubband scattering by others. 7 On the other hand, the observation of short spin relaxation times was attributed to D'yakonov–Perel mechanism which requires Rashba spin splitting. 8 To investigate the presence of a sizable spin splitting in this material class we investigate the circular photogalvanic effect (CPGE). 9–11

The CPGE as well as k-linear spin splitting of the band structure are only permitted in gyrotrropic media. In such materials a linear relation between polar vectors (electric current j, quasimomentum q, etc.) and axial vectors (photon angular momentum, spin, etc.) is allowed by symmetry. Both GaN/AlGaN low dimensional structures and bulk GaN belong to the family of wurtzite-type semiconductors which are gyrotrropic. As were pointed out in Refs. 12 and 13 in these media the spin-orbit part of the Hamiltonian has the form

\[ \hat{H}_{SO} = \alpha (\mathbf{r} \times \mathbf{k}) \]  

(1)

Here the z axis is directed along the hexagonal c axis and \( \mathbf{r} \) is the vector of Pauli matrices. In bulk wurtzite materials the constant \( \alpha \) in the Hamiltonian (1) is solely due to bulk inversion asymmetry (BIA). In heterostructures, an additional source of k-linear spin splitting, induced by structure inversion asymmetry (SIA), exists. It occurs in asymmetric semiconductor heterostructures of any material. 9 If both, bulk and structure asymmetries, are present the resulting coupling constant \( \alpha \) is equal to the sum of BIA and SIA contributions to the spin-orbit part of the Hamiltonian. Note that in bulk III–V semiconductors which lack the hexagonal c axis, the constant \( \alpha \) is zero.

To probe the presence of a sizable spin splitting below we employ the CPGE, which is sensitive to spin splitting of the band structure. By optical excitation with circularly polarized light spin-up and spin-down subbands get nonuniformly populated in k space. This is a consequence of optical selection rules and energy and momentum conservation, leading to a current which is probed in experiment. 9–11 The fingerprint of the CPGE photocurrent is its dependence on the helicity of the radiation field. The current reverses its direction by switching the light’s polarization from right-handed circular to left-handed circular and vice versa.

The experiments were carried out on Al0.3Ga0.7N/GaN heterojunctions grown by metalorganic chemical vapor deposition on a C(0001)-plane sapphire substrate. The thickness of the AlGaN layers was varied between 30 and 100 nm. An undoped 33-nm-thick GaN buffer layer, which was grown under a pressure of 40 Pa at 550 °C, is followed by an undoped GaN layer (2.5 μm), grown under a pressure of 40 Pa at 1025 °C. The undoped Al0.3Ga0.7N barrier was grown at 6.7 Pa and a temperature of 1035 °C. The electron mobility in the two-dimensional electron gas was typically about 1200 cm²/V·s at electron density (1–1.4)×10¹³ cm⁻² at room temperature. To measure the photocurrent two pairs of Ohmic contacts have been centred along opposite sample edges. The experiments were carried out in two different spectral ranges: the mid infrared (MIR) regime with wavelength between 9.2 and 10.8 μm and the terahertz (THz) regime at 77, 90.5, 148, 280, and 496 μm. The latter wavelengths were achieved by an optically pumped molecular NH₃ laser 14 while a pulsed TEA CO₂ laser and a commercial...
measurements are presented for room temperature and irradiation by light of Q-switched CO2 laser at the wavelength \( \lambda = 10.61 \, \mu m \). The current \( j_x \) is measured for direction perpendicular to propagation of light (angle of incidence \( \theta_0 = \pm 30° \)). Solid and dashed lines show ordinate scale fits according to Eqs. (2)-(5). Insets show the geometry of the experiment and the temporal structure of the current response for right- and left-circularly polarized radiation.

Q-switched CO2 laser with a peak power of about 1 kW were employed in the MIR regime. While the THz radiation causes indirect Drude-like optical transitions in the lowest subband of the 2DEG, the MIR radiation can additionally induce direct optical transitions between the subbands. To obtain circularly polarized radiation needed for CPGE the laser light was passed through a Fresnel rhombus or quartz \( \lambda/4 \) plates for MIR and THz radiation, respectively. The helicity \( P_{\text{circ}} = \sin 2 \varphi \) of the incident light was varied from \(-1\) (left-handed circular, \( \sigma_- \)) to \(+1\) (right-handed circular, \( \sigma_+ \)). Here \( \varphi \) is the angle between the initial polarization plane and the optical axis of the \( \lambda/4 \) plate or the Fresnel rhombus. The current \( j \) generated by the circularly polarized light in the unbiased samples was measured at room and liquid nitrogen temperatures via the voltage drop across a 50 \( \Omega \) load resistor in a closed circuit configuration. The voltage was recorded with a storage oscilloscope.

Irradiating the (0001) AlGaN/GaN heterojunction by circularly polarized light at oblique incidence, as sketched in the inset of Fig. 1, causes a photocurrent signal measured across a contact pair. The measured current follows the temporal structure of the applied 40 ns laser pulses and is shown in the insets in Fig. 1 for two polarization states. The current reverses its direction by switching the sign of the radiation helicity (see Fig. 1). The fact that the current is proportional to the radiation helicity proves the circular photogalvanic effect as origin of the photocurrent. The signal proportional to the helicity is only observed under oblique incidence. This is shown in Fig. 2 where, for fixed helicity, the angle of incidence, \( \Theta_0 \), is varied. The current vanishes for normal incidence and changes its polarity. The photocurrent in the layer flows always perpendicularly to the direction of the incident light propagation and its magnitude does not change by rotating the sample around the growth axis. All characteristic features persist from 77 to 300 K. The observed photocurrents have the same order of magnitude as those measured in GaAs, InAs, and SiGe QWs.

The effect is observed for all wavelengths used between 9 and 496 \( \mu m \). The data in Fig. 1 show the effect for a wavelength of 10.6 \( \mu m \) using a Q-switched CO2 laser. Data for \( \lambda = 148 \, \mu m \) are shown in Fig. 2. While the overall signature is the same the strength of the photocurrent depends on the wavelength (see Fig. 3). The spectral dependence of the CPGE in the THz range (\( \lambda \approx 77 \, \mu m \)) agrees with the expected behaviour of the CPGE for indirect (Drude-like) transitions. However, the rapid resonance-like increase of the signal at short wavelengths (see inset of Fig. 3) obtained with the CO2 laser (9.2–10.8 \( \mu m \)) cannot be explained by this mechanism. We ascribe this spectral dependence to resonant direct intersubband optical transitions between the ground and the first excited size-quantized subbands. In GaN quantum wells which are not yet sufficiently investigated, spectroscopic data are still not available. However, we estimate

![Image](https://example.com/image1.png)

**FIG. 1.** Photocurrent in AlGaN/GaN heterostructure normalized by the radiation power \( P \) as a function of the phase angle \( \varphi \) defining helicity. Measurements are presented for room temperature and irradiation by light of Q-switched CO2 laser at the wavelength \( \lambda = 10.61 \, \mu m \). The current \( j_x \) is measured for direction perpendicular to propagation of light (angle of incidence \( \theta_0 = \pm 30° \)). Solid and dashed lines show ordinate scale fits according to Eqs. (2)-(5). Insets show the geometry of the experiment and the temporal structure of the current response for right- and left-circularly polarized radiation.

![Image](https://example.com/image2.png)

**FIG. 2.** Photocurrent in AlGaN/GaN heterostructure normalized by the light power \( P \) as a function of the angle of incidence \( \theta_0 \) for right- and left-handed circularly polarized terahertz radiation measured perpendicular to light propagation \( (T=293 \, K, \lambda=148 \, \mu m) \). Solid and dashed lines show ordinate scale fits according to Eqs. (2)-(5).

![Image](https://example.com/image3.png)

**FIG. 3.** Spectral dependence of the CPGE current in AlGaN/GaN heterostructure at room temperature. Sketch demonstrates possible optical transitions.
that the energy separation between the two lowest subbands should be about 150 meV in the approximation of the triangular quantum well. This value slightly exceeds the photon energies used in our experiments. It is not surprising because the real shape of the quantum well is nonlinear and strength of the electrical field significantly falls as the distance from a surface increases. More accurate comparison needs self-consistent solution of Schrödinger and Poisson’s equations. In addition to the CPGE current detected in the direction normal to the in-plane wave vector of radiation a signal is also observed along the in-plane propagation direction. This signal has equal magnitude and the same sign for right- and left-handed circularly polarized radiation and is ascribed to the linear photogalvanic effect and the photon drag effect.10

The observed dependence of the photocurrent on helicity and angle of incidence can be described by phenomenological theory9,10 adapted to wurtzite-type low-dimensional systems. Spin-orbit interaction Eq. (1) leads to a CPGE current in the plane of a AlGaN/GaN heterostructure given by

\[ j = P_{\text{circ}} \gamma \hat{e}_z. \]  

Here \( j \) is the net current density, \( P_{\text{circ}} \) and \( \hat{e}_z \) are the degree of circular polarization and the projection onto the heterostructure plane of the unit vector \( \hat{e} \) pointing in the direction of light propagation, respectively. The second-rank pseudotensor \( \gamma \) is proportional to the spin-orbit constant \( \alpha \). It has two nonzero components and is described by one linearly independent constant

\[ \gamma_{xx} = - \gamma_{yy}, \quad \gamma_{zz} = 0. \]  

The dependence of the CPGE on the angle of incidence \( \Theta \) is determined by the value of the projection \( \hat{e}_z \) which is for the excitation say in the plane of incidence \( yz \) is given by

\[ \hat{e}_z = \hat{e}_z = t_p t_s \sin \Theta, \]  

where \( \Theta \) is the refraction angle defined by \( \sin \Theta = \sin \Theta_0 / n_{sw} \) and \( t_p \) and \( t_s \) are transmission coefficients for linear \( p \) and \( s \) polarizations, respectively. They are given by Fresnel’s formula

\[ t_p t_s = \frac{4 \cos^2 \Theta_0}{(\cos \Theta_0 + \sqrt{n_{sw}^2 - \sin^2 \Theta_0})(\sin^2 \Theta_0 - \cos \Theta_0)}. \]  

Equations (2)–(5) fully describe the experimental observations. Corresponding calculations are shown as solid lines in Figs. 1 and 2. The current follows the radiation helicity and reverses its sign upon reversing the direction of incidence, \( \hat{e}_z \rightarrow -\hat{e}_z \). In contrast to zinc-blende based systems like GaAs quantum wells (QWs) the CPGE in wurtzite based systems (with the hexagonal \( c \) axis normal to the heterostructure) should be independent of the in-plane propagation direction \( \hat{e}_z \). This prediction of the phenomenological theory is observed in experiment as discussed earlier.

The observation of the CPGE with strength comparable to that observed in GaAs and InAs QWs unambiguously demonstrates a substantial Rashba splitting of spin subbands in GaN heterojunctions. In contrast to zinc blende based III–V QWs, where interference of BIA and SIA results in varying angles between electron spin and its momentum, \( k \), for different crystallographic directions, the electron spin in GaN low dimensional structures is always perpendicular to \( k \). This is demonstrated by our experiments where the CPGE current always flows perpendicular to \( \hat{e}_z \) and does not change its amplitude if the in-plane direction is varied. The reason of this axial isotropy is that both, BIA and SIA, lead to the same form of spin-orbit interaction given by Eq. (1).

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