Optimal-power Configurations for Hover Solutions in Mono-spinners

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Abstract— Rotary-wing flying machines draw attention within the UAV community for their in-place hovering capability, and recently, holonomic motion over fixed-wings. However, they still fall behind in terms of the flight time, power consumption, and safety for centrally-powered and redundant multi-copters have been suggested and studied. In this paper, we investigate about the power-optimality in a mono-spinner, i.e., a class of rotary-wing UAVs with one rotor only, whose main body has a streamlined shape for producing additional lift when counter-spinning the rotor. We provide a detailed dynamic model of our mono-spinner. Two configurations are studied: (1) a symmetric configuration, in which the rotor is aligned with the fuselage’s COM, and (2) an asymmetric configuration, in which the rotor is located with an offset from the fuselage’s COM. While the former can generate an in-place hovering flight condition, the latter can achieve trajectory tacking in 3D space by resolving the yaw and precession rates. Furthermore, it is shown that by introducing a tilting angle between the rotor and the fuselage, within the asymmetric design, one can further minimize the power consumption without compromising the overall stability. It is shown that an energy optimal solution can be achieved through proper aerodynamic design of the mono-spinner for the first time.

I. INTRODUCTION

Unmanned Aerial Vehicles (UAVs) have gained a significant amount of attention with the industry and academia in recent years. Rotary-wing UAVs, in particular, are gaining attention within the research community for their leading advantage over fixed-wings for their in-place hovering capability, holonomy in motion, and safe operation due to their redundancy. They are used in a variety of applications such as: inspection of infrastructures, object delivery, sports and even for calibrating the antenna of a radio telescope [1]-[4].

Under- and also fully-actuated rotary-wing UAVs have been cited in the literature, [5]-[8]. Some examples of the under-actuated hover-capable rotary-wing UAVs are: quad/hexa-copters, co-axial helicopters, mono-spinners and ornithopters, [8]-[10]. Under-actuated UAVs have become popular due to their minimalistic and simple design. They can be classified under three different categories: (1) Samara-type vehicles, (2) flapping wing UAVs, and (3) spinners. The vehicles in the first category are inspired from nature through unpowered flight in maple/pine seeds (or Samaras), [11]. They offer a passively-stable flight with slow-rate descending altitude, therefore they would require no active control. A small propulsion system has been added to to the body, in lab-scale prototypes, to control the rotational speed and correspondingly the descending rate, [11].

The vehicles in the second category are inspired from birds species in nature. They normally have one or two flapping wings. Single actuator (wing) vehicles of this category are only capable of altitude control, while those with two actuators (i.e., two wings) are capable of controlling all three translational degrees of motion, [6]. For studying into the third category, namely spinners, generate a constant rotation about a fixed axis in space, precession axis, due to the presence of unbalanced moments in the system (aka, boomerang-type spinners). The minimum number of actuators required to achieve position control in these machines is one, which renders itself as the simplest structure of rotary-wing UAV that is controllable in all translational degrees of motion, [12].

Technical specifications of a spinner-type machine with only one actuator, (aka, a mono-spinner) can be found in [10], tri-spinners and quad-spinner [12]. A novel design of a small spinning vehicle that consists of one single propeller and an aerodynamically-designed streamline-shape fuselage is presented in [7]. A single blade spinning rotor-craft with two tilted rotors is presented in [13]. A transformable vehicle, also known as THOR, which transforms from a fixed-wing aircraft to a spinner can be found in [14].

This paper investigates about the optimal design of monospinners with a streamline-shape fuselage that can provide the most energy-efficient solution for hovering and also position control for the first time.

A comprehensive dynamic model of a mono-spinner whose rotor is positioned at an offset from the fuselage’s COM is developed considering the “blade element theory”, [15]. This dynamic model is then used to find the optimal
configuration, i.e., optimal aerodynamic characteristics of the rotor and the streamline-shape fuselage for inducing the largest lift and smallest drag simultaneously. More specifically, two possible configurations are explored: (1) a symmetric configuration, in which the rotor is located at the fuselage’s COM, and (2) an asymmetric configuration, in which the rotor is located with an offset w.r.t the fuselage’s COM. Only, in-place hovering can be achieved in (1). However, position control is possible in (2).

Optimal configurations that would yield the most efficient in-position hovering for both configurations are formulated and design guidelines are provided. The optimal solutions, however, were obtained for a steady-state flight (no position control). It is noteworthy that in the second configuration, a pseudo in-place hovering is achieved in which case yawing and precession speeds of the spinner will not be zero, but bounded. Furthermore, it is shown that power consumption for the flight can be further reduced by introducing a tilting angle between the rotor and the fuselage’s principle axis.

This paper is organized as follows: Section II presents the mathematical model of the vehicle. Section III presents optimal hover solutions for two aforementioned configurations for mono-spinners. Section IV presents a discussion on results obtained via two possible configurations, while pinpointing key characteristics of the two possible designs. Finally, conclusions and future works are presented in section V.

II. MATHEMATICAL MODELING

This section presents complete mathematical modeling of the proposed vehicle considering the effects of tilting the rotor, having nonzero freestream velocity and having streamline-shape aerodynamic fuselage. Before jumping into equations, some explanations and clarifications in modeling are worthy of remark.

Firstly, freestream velocity can have significant effects on propeller’s performance. In spinners, when the propeller is located at a distance from COM of the vehicle and as the body is spinning, the COM of the propeller goes through a rotation about the COM of the vehicle and it experiences an almost uniform freestream velocity of magnitude \( V_\infty = rl \) as shown in Fig. 3. Depending on orientation of the propeller, the freestream velocity may have two components: (1) parallel to the axis of rotation of the propeller, and (2) perpendicular to the axis of rotation of the propeller. According to blade element theory (BET) [15], the former component changes the angle of attack of the blade element with respect to the local airflow. If freestream velocity is constant, this change in angle of attack can be compensated by adding it to the original angle of attack of the blade element (when freestream velocity is zero). The latter component changes the magnitude of the local airflow velocity over each blade element which could be either positive (for the advancing blade) or negative (for the retreating blade) and thus generates asymmetrical lift distribution over the propeller and a rolling moment \( \tau_p \) as shown in Fig. 3. Detailed analysis of these effects can be found in [16]-[17].

Secondly, designing an aerodynamic fuselage for the vehicle to maximize lift versus drag can help minimize the power consumption. In this paper, we assume that the fuselage is streamline-shape and aerodynamic as shown in Fig. 1. The reaction moment of the propeller and cross-coupling effects of angular momentum in the system can turn the streamline-shape fuselage and generate extra lift which may be used to balance weight partially and thus saving some energy.

Lastly, the effects of location and orientation of the propeller are incorporated into equations. By considering all of these effects, the complete mathematical model is derived. At the end, by selecting appropriate values for the parameters of the system (e.g., tilting angle of the rotor, distance of the propeller from COM of the vehicle and etc.), mathematical model for some specific configurations (e.g., co-axial helicopter and monospinner) can be obtained.

A. Notation & Parameters

Throughout this paper, boldface letter \( \mathbf{R} \) is used to represent a rotation matrix. Two reference frames are introduced: (1) an inertial frame that is attached to the earth and is represented by \( I \), and (2) a frame that is attached to the center of mass of the vehicle and rotates with it, represented by \( B \). The transformation matrix from body frame to the inertial frame is shown by \( ^I \mathbf{R}_B \). The angular velocity of the vehicle, as expressed in body frame, is represented by \( \omega_B = (p, q, r) \). The tilting angle of the rotor as shown in Fig. 3 is represented by \( \delta \) in radians. Greek letter \( \alpha \) is reserved to represent angle of attack of an airfoil.
B. Equations of Motion

The vehicle is consisted of several rigid bodies including the fuselage, the propeller, the battery and electronics. The propeller is located at distance $l$ from the COM of the vehicle along the $y$-axis and is tilted by angle $\delta$. The propeller has two blades with chord $c_p$ and has a radius $R_p$. The fuselage is assumed to be streamline-shape, aerodynamic and similar to the propeller with two blades with chord $c_g$ and radius $R_B$. NACA 4415 is selected as the airfoil in both propeller and fuselage.

It is assumed that the vehicle is symmetric about its axes of rotation, therefore, its moment of inertia tensor will be diagonal. As the propeller is turning and the fuselage is spinning, their moment of inertia tensors represented by $I^p$ & $I^B$ respectively, are approximated by the moment of inertia of a disk. Also, the moment of inertia of the battery and electronics, represented by $I'$, is approximated by the moment of inertia of a cylinder located at the COM of the vehicle. Therefore, total moment of inertia of the vehicle can be calculated as follows:

$$I^T = I' + I^p + I^B$$

(1)

A DC motor with torque constant $K_{m}$, electric resistance $R_m$, electric inductance $L_m$ and electromotive force constant $K_e$ turns the propeller. Dynamic equations of the motor can be written as follows:

$$I^p \omega_p + \tau_{dp} = K_{m} \omega_p$$

$$L_m \frac{d}{dt} I^p + R_m I^p = V_m - K_e \omega_p$$

(2)

The power consumption of such a motor can be calculated as follows:

$$P_m = V_m I^p$$

(3)

The equations governing the rotational motion of the vehicle, as expressed in the body frame, can be written as follows:

$$I^f \dot{\omega}_B + I^p \dot{\omega}_p + sk(\omega_B)(I^f \omega_B + I^p (\omega_p + \omega_B)) = \tau_{ext}$$

(4)

where $sk(\omega_B)$ is the skew-symmetric matrix of the angular velocity vector of the vehicle. $\tau_{ext}$ represents total external moments applied to the vehicle as follows:

$$\tau_{ext} = \tau_f + \tau_p + \tau_{dp} + \tau_{db}$$

(5)

where $\tau_f$, $\tau_p$, $\tau_{dp}$ and $\tau_{db}$ represent moments due to thrust force of the propeller, asymmetrical lift distribution over the propeller, drag force of the propeller and drag force of the fuselage respectively. According to [17], using blade element theory, these moments can be calculated as follows:

$$\tau_f = f_p l$$

(6)

$$f_p = \rho c_p C_L p \left( \frac{R_p^3 \omega_p^3}{4} + \frac{R_p^3 \rho l \cos \delta}{3} + \frac{R_p^2 \rho l \cos \delta}{2} + \frac{R_p \rho l \cos \delta}{3} \right)$$

(7)

$$\tau_p = \rho c_p C_L p \left( \frac{R_p^3 \omega_p^3}{4} + \frac{R_p^3 \rho l \cos \delta}{3} + \frac{R_p^2 \rho l \cos \delta}{2} + \frac{R_p \rho l \cos \delta}{3} \right)$$

(8)

$$\tau_{dp} = \rho c_p C_D p \left( \frac{R_p^3 \omega_p^3}{4} + \frac{R_p^3 \rho l \cos \delta}{3} + \frac{R_p^2 \rho l \cos \delta}{2} + \frac{R_p \rho l \cos \delta}{3} \right)$$

\[ \frac{R_p^3 \rho l \cos \delta}{2} \] \[ \frac{R_p^3 \rho l \cos \delta}{2} \]

(9)

$$\tau_{db} = \left( \frac{1}{3} \rho c_B C_D D_B \rho l^2 - g \right) e_{\phi_B}$$

(10)

where $l$ represents the distance of the COM of the propeller from the COM of the vehicle and $\gamma$ represents drag coefficient of the central hub of the vehicle which includes battery and electronics. $e_{\phi_f}, e_{\phi_p}, e_{\phi_p}$ and $e_{\phi_B}$ are appropriate unit vectors to determine direction of the forces and moments expressed in the body frame. $\rho$ is the air density, $c_p, c_g, R_p$ and $R_B$ represent chord and radius of the propeller and fuselage respectively. $C_{Lp}, C_{Lg}, C_{Dp}$ and $C_{Db}$ represent aerodynamic coefficients of the airfoil used in propeller and the streamline-shape fuselage. NACA 4415 airfoil is used for both propeller and fuselage. These aerodynamic coefficients as a function of angle of attack can be obtained from experimental results from [21]. Assuming the angle of attack varies between zero and 10 degrees, the following linear functions can be written:

$$C_{Lp} = 0.1 \alpha_p + 0.5 , C_{Lg} = 0.1 \alpha_g + 0.5$$

$$C_{Dp} = 0.006 \alpha_p + 0.04 , C_{Db} = 0.006 \alpha_g + 0.04$$

(11)

where $\alpha_p$ and $\alpha_g$ are the angles of attack for the propeller and the streamline-shape fuselage respectively.

The position of the vehicle expressed in the inertial frame is denoted by $d$. Using Newton’s second law, the equation governing translational motion of the vehicle can be written as follows:

$$m \ddot{d} = mg + |f_p| e_{f_p} + |f_B| e_{f_B}$$

(12)

where $m$ is the total mass of the vehicle and $g$ is the gravitational acceleration as expressed in the inertial frame. $e_{f_p}$ and $e_{f_B}$ are the unit vectors expressed in the inertial frame determining the direction of thrust force of the propeller and the fuselage. Thrust force of the streamline-shape fuselage, $f_B$, can be expressed in the body frame as follows:

$$f_B = \frac{1}{3} \rho c_B C_D D_B \rho l^2 e_{f_B}$$

(13)

where $e_{f_B}$ is the unit vector determining the direction of $f_B$ in the body frame. Note that it is assumed the translational velocities are slow enough to neglect drag forces in translational motion.

C. Hover Solution

In most flying vehicles capable of hovering, equilibrium state is defined as maintaining a position with zero angular and linear velocities. However, in spinners hovering is defined as rotating about a fixed axis with constant angular velocity and bounded linear velocities [12].

Assume the vehicle is hovering and rotating about a fixed axis $n$. The evolution of $n$ in time as expressed in the body frame can be written as follows:

$$\dot{n} = -\omega_B \times n$$

(14)

In equilibrium, $n$ is fixed, therefore $\dot{n} = 0$. This means that the fixed axis $n$ must be parallel to the angular velocity vector of
the vehicle. In hover, assuming \( \hat{n} \) is a unit vector (\( |\hat{n}| = 1 \)), one can write the following (an overbar is used to denote equilibrium values):

\[
\hat{n} = \frac{\bar{\omega}_B}{|\bar{\omega}_B|}
\]

(15)

Total thrust force of the propeller and the streamline-shape fuselage help balance the vehicle’s weight in hover. This adds the following constraint to the system:

\[
|\bar{f}_p + \bar{f}_B| \cdot \hat{n} = mg
\]

(16)

Finally, by setting angular accelerations to zero, using two equations in (2), three equations in (4), three equations in (16), nine algebraic equations can be solved for nine unknowns. The nine unknowns are: \( \bar{\omega}_m, \bar{\omega}_p, \bar{q}, \bar{r}, \bar{\alpha}_x, \bar{\alpha}_y, \bar{\alpha}_z \).

III. OPTIMAL HOVER SOLUTIONS

In this section, hover solution for various configurations of a monospinner is presented. Various attempts have been made to reduce power consumption in robotic systems. Particularly, significant attempts in designing optimal control systems considering different constraints (e.g., on outputs, inputs, communication and etc) have been made [18]-[20]. However, the focus of this paper is on mechanical design inputs, communication and etc) have been made [18]-[20].

The goal is to find the best set of parameters in hovering as follows:

\[
x = (\alpha_p, \alpha_B, \frac{c_B}{c_p}, R_B, \frac{R_B}{R_p}, \delta, \frac{1}{R_B})
\]

(17)

where the first two variables are angles of attack for the propeller and fuselage, \( \delta \) is the tilting angle of the rotor and the other three variables are related to the geometry of the vehicle.

In order to compare different configurations in terms of power consumption, an optimization problem is defined to minimize the specific power (power-to-weight ratio) in hovering as follows:

\[
P_s = \frac{\bar{P}_m}{mT|g|} = f(x)
\]

(18)

The goal is to find the best set of parameters in \( x \) that minimizes [18].

\[
\arg\min_x f(x)
\]

s.t. \( \text{equations of motion} \)

(19)

Note that because the system of equations does not have an explicit solution, they should be solved numerically which is why numerical results for a specific vehicle with the following parameters for both configurations are presented.

\[
\begin{align*}
&c_p = 0.03 \text{ m}, \quad R_p = 0.08 \text{ m} \\
&g = 9.81 \text{ m/s}^2, \quad \rho = 1.225 \text{ kg/m}^3 \\
&K_m = 0.02 \text{ N.m/A}, \quad K_v = 0.02 \text{ V/rad/s} \\
&\gamma = 9.75 \times 10^{-8} \text{ N.m.s/rad}
\end{align*}
\]

(20)

A. First Configuration: Co-axial

In the co-axial configuration, the propeller is located at the COM of the vehicle (\( l = 0 \)) and the tilting angle \( \delta \) is set to zero. It is assumed that the fuselage is streamline-shape and aerodynamic and the propeller and fuselage have a common axis of rotation. Unlike co-axial helicopters, in this configuration, the unbalanced moments in the system will turn the fuselage. In co-axial helicopters, some of the moments (e.g., reaction moment of the propeller) are used to balance total moments in the system to bring angular velocities down to zero. In spinners, this requirement is unnecessary and the angular velocity must remain constant instead of zero. Hover solution for different \( x \)’s is found and numerical results are presented in this section.

Variations of the specific power versus angle of attack of the propeller and the fuselage are shown in Fig. 4. According to Fig. 4 in optimal configuration, both fuselage and propeller should have the largest angle of attack (dark blue area). According to Fig. 5 a set of \( \frac{c_B}{c_p} \) and \( \frac{R_B}{R_p} \) could be found such that the specific power of the co-axial spinner is minimized during hover (dark blue area).

Note that in this co-axial configuration, because roll and...
Fig. 6 shows the strong relationship between the specific streamline-shape fuselage on reducing power consumption. It is assumed from COM of the vehicle B. Second Configuration: Propeller is located at distance l will be as follows:

The co-axial configuration is found when the fuselage is streamline-shape and aerodynamic. Without considering the fuselage, the optimal-power hover solution can be found for this configuration.

The resulting configuration is as follows:

\[
\begin{align*}
\frac{c_p}{R_p} &= 1.05, \quad \frac{R}{R_p} = 1.75, \quad P_t = 1.3296 \text{ W/N} \\
\alpha_p = \alpha_B &= 10^\circ, \quad \frac{1}{R_B} = \delta = 0
\end{align*}
\]

And the resulting hover solution is found as follows:

\[
\begin{align}
P_t &= 1.3296 \text{ W/N} , \quad \omega_p = 471.48 \text{ rad/s} \\
i_m &= 0.25 \text{ A} , \quad \vec{n} = (0, 0, 1)^T \\
\vec{\omega}_B &= (0, 0, -104.52)^T \text{ rad/s} , \quad V_m = 9.68 \text{ V}
\end{align}
\]

This means that the optimal power hover solution for the co-axial configuration is found when the fuselage is streamline-shape and aerodynamic. Without considering the streamline-shape fuselage, the optimal-power hover solution will be as follows:

\[
\begin{align*}
\frac{c_p}{R_p} &= 0, \quad \frac{R}{R_p} = 0, \quad P_t = 3.926 \text{ W/N} \\
\alpha_p = \alpha_B &= 10^\circ, \quad \frac{1}{R_B} = \delta = 0
\end{align*}
\]

The results clearly show the significant contribution of the streamline-shape fuselage on reducing power consumption. Fig. 6 also shows the strong relationship between the specific power and \(\frac{R}{R_p}\) ratio.

B. Second Configuration: Propeller is located at distance l from COM of the Vehicle

In this configuration, the propeller can be placed anywhere on the body along the y-axis of the body frame. It is assumed that l is a fraction of \(R_B\) and lies on y-axis of the body frame (could be either positive or negative). Like the previous configuration, it is assumed that the fuselage is streamline-shape and aerodynamic. Using the same design variables and optimization problem in [18] and [19] optimal-power hover solution can be found for this configuration.

As an example, without considering the effects of tilting the rotor, specific power for hovering for all values of l is presented in Fig. 7. It can be seen that the graph is symmetric meaning that the sign of l does not affect the power consumption. Also, it can be seen that the propeller should be placed at the COM of the vehicle, in order to obtain the minimum-power hover solution.

Concretely, when l and tilting angle \(\delta\) are zero, the unit vector \(\vec{n}\) will be parallel to the gravitational acceleration. However, when l is nonzero, only a component of \(\vec{n}\) will be parallel to gravitational acceleration and as a result, we should expect to have higher power consumption.

The next step is adding the effect of tilting the rotor to the system. Adding this angle to the equations, makes the system highly nonlinear and in some cases feasible hover solution does not exist. This also implies that the optimal solution is sensitive to the initial guess for the optimization problem as gradient based solvers return local minimum. For example, assuming the following initial guess (configuration) for the optimization problem:

\[
\begin{align*}
x_0 &= (10, 10, 1.5, 0, 0)
\end{align*}
\]

and solving the optimization problem to find the optimal-power hover solution results in the following configuration:

\[
\begin{align*}
x &= (10, 10, 0.95, 5.19, 1.06, 1)
\end{align*}
\]

and the hover solution for this configuration is as follows:

\[
\begin{align}
P_t &= 0.1325 \text{ W/N} , \quad \omega_p = -86.87 \text{ rad/s} \\
i_m &= 0.17 \text{ A} , \quad \vec{n} = (0.002, 0.017, 0.999)^T \\
\vec{\omega}_B &= (-0.1, -0.66, -38.77)^T \text{ rad/s} , \quad V_m = 1.91 \text{ V}
\end{align}
\]

It can be seen that the power consumption has reduced a lot. Because of the tilting angle, one component of the thrust force of the propeller generates fast yaw motion which consequently generates lift (using the streamline-shape fuselage) and helps hover more efficiently. However, for large tilting angles, because of the nonlinearities, the initial guess for the optimization problem becomes important. Also, feasible solution might not exist. Various libraries can be used for numerical evaluation of the nonlinear system including PETSc [22].

IV. DISCUSSION

In this section a summary of the different configurations and effects of three factors on the performance and power consumption of the proposed spinner is presented.
The outcomes for the first configuration (symmetric) can be summarized in the following statements:

- It is shown that in spinners, by making the fuselage streamline-shape and aerodynamic, one can use the fast rotations in the system to generate extra lift and lower power consumption significantly.
- Without the tilting angle, position cannot be controlled.
- The best ratio between the propeller and the fuselage is found to obtain minimum-power hover solution.

For the second configuration (asymmetric), the outcomes can be summarized as follows:

- The effects of moving the propeller away from the COM of the vehicle along the y-axis of the body frame are investigated and results show the farther it is moved, the higher power the vehicle will consume.
- The effects of the tilting angle on power consumption are presented. Results show that the tilting angle adds more nonlinearity in the system which makes the optimization solver sensitive to the initial guess of the solution.
- It is shown that for small tilting angles, the power consumption is reduced and an example of finding the optimal-power hover solution with an initial guess is also presented.

V. CONCLUSIONS AND FUTURE WORK

A comprehensive dynamic model of a mono-spinner UAV was presented. Two configurations were studied, namely (1) symmetric, and (2) asymmetric. The rotor is located on the fuselage’s COM in the former and at a distance in the latter. The effect of three design factors on the overall power consumption and flight stability were studied that include: (1) the aerodynamic shape of the fuselage, (2) the distance between the rotor and the fuselage COM, and (3) the tilting angle between the rotor and the fuselage’s principle axis.

Hover solutions for the two configurations were presented and compared. The concept of specific power was used via numerical optimizations for comparison purposes. Results show that power-optimal solutions exist. However, while a global optimal solution is achievable under the first configuration, a number of locally-optimal solutions would exist under the second configuration due to non-convexity of the optimization objective function. Also results show that using streamline-shape aerodynamic fuselage has significant effects on power-consumption. It was also shown that by introducing a tilting angle between the rotor and the principle axis of the fuselage, one can achieve position control even in symmetric mono-spinners.

This paper only presents the results under the assumption of a hovering flight at steady state. Future plans include: investigating about the power consumption when going through a flight state transition through conventional maneuvers such as tracking trajectories, serving towards an object, point to point motion, or landing on a desired spot.

Furthermore, conducting a sensitivity analysis on the design factors and their effects and consequently experimental validation of the results will be the subjects of the future investigation.

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