A Novel and Efficient Method for the Synthesis of Methyl (R)-10-Hydroxystearate and FAMEs from Sewage Scum

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Abstract: In this work, the transesterification of methyl estolides (ME) extracted from the lipid component present in the sewage scum was investigated. Methyl 10-(R)-hydroxystearate (Me-10-HSA) and Fatty Acid Methyl Esters (FAMEs) were obtained in a single step. A three-level and four factorial Box–Behnken experimental design were used to study the effects of methanol amounts, catalyst, temperature, and reaction time on the transesterification reaction using aluminum chloride hexahydrate (AlCl₃·6H₂O) or hydrochloric acid (HCl) as catalysts. AlCl₃·6H₂O was found quite active as well as conventional homogeneous acid catalysts as HCl. In both cases, a complete conversion of ME into Me-10-HSA and FAMEs was observed. The products were isolated, quantified, and fully characterized. At the end of the process, Me-10-HSA (32.3%wt) was purified through a chromatographic separation and analyzed by NMR. The high enantiomeric excess (ee > 92%) of the R-enantiomer isomer opens a new scenario for the valorization of sewage scum.

Keywords: sewage scum; methyl (R)-10-hydroxystearate; FAMEs; biodiesel; estolides

1. Introduction

Hydroxy Fatty Acids (HFAs) are valuable raw materials widely used for several industrial applications, including resins, polymers, cosmetics, biofuels, biolubricants, and additives in coatings and paintings [1,2]. They are valuable intermediates for synthesizing chemicals and pharmaceuticals for their antibiotic, anti-inflammatory, and anticancer properties [3,4]. HFAs are ubiquitous as constituents of plants, seeds, insects, animals and other microorganisms [5,6]. Many of these natural sources are found as part of estolides, oligomeric fatty acid esters formed by hydroxy acyl groups bonded together with ester bonds [7]. Estolides are being marketed as biolubricants for automotive and industrial applications for their excellent physicochemical properties as high viscosity and flash point, good resistance and biodegradability [8–10].

Since its first discovery, 10-(R)-Hydroxystearic acid (10-HSA) has attracted great industrial interest. It is the natural precursor of γ-(R)-dodecalactone, a taste and aroma component used in the flavor and fragrance industry [11–13]. Moreover, it is used in the manufacturing of lubricants and cosmetics for its chemical properties similar to those of Ricinoleic acid (or 12-Hydroxystearic acid) [14,15].

In recent years, different studies have been carried out for the production of 10-HSA, based on the enzymatic hydrolysis of vegetable oils from bacteria and microorganisms, such as Elizabethkingia meningoseptica [16], Enterococcus faecalis [17], Lactobacillus plantarum [18], Lysinibacillus fusiformis [19], Nocardiia cholesterolicum [20], Selenomonas ruminantium [21], Stenotrophomonas nitritireducens [22], Stenotrophomonas maltophilia [23], Sphingobacterium thalpophilum [24]. Fatty acid hydratases have shown to be efficient catalysts with a good regio- and stereoselectivity, particularly useful to obtaining pure enantiomeric forms [25,26]. However, their applicability is not competitive with the currently existing conventional diesel-producing technology for a series of drawbacks, including (i) the specificity of the
substrate, which can be a problem for the conversion of some feedstocks, (ii) the instability of the enzymes in the organic solvents, often required for the solubilization of reacting substrate or recovery of the final product, and (iii) their excessive cost [27].

The use of edible oils for human consumption represents a non-sustainable choice from an economic and environmental perspective. The development of new solutions to produce 10-HSA or its derivatives, mainly if based on non-edible feedstocks, could be a challenging goal for economic reasons and environmental and ethical concerns. Although considered a waste, sewage scum can be used as a source of energy and resources, thus replacing non-renewable resources with a considerable environmental impact [28–31].

Identified as CER190809, it is a floatable by-product of the wastewater treatment plants (WWTPs) obtained from the primary and secondary settler tanks. It mainly consists of vegetable oils and grease, animal fats, and food waste deriving from households, restaurants, and animal product industries [32]. Due to their low density, these oily materials float on the wastewater surface. They can be easily skimmed off at the beginning of the treatment processes and used for energy purposes. Usually, sewage scum is processed in the anaerobic digester to produce biogas for electricity generation in the same plant [33]. However, the separation of sewage scum is often avoided compared with that of primary and secondary sludge, which instead accounts for about 50% of the total operating costs of a WWTP [34,35]. More frequently, they are directly disposed of in landfills, increasing the cost of treatment facilities and negatively impacting the environment. For these reasons, researchers have focused on developing novel technologies for their full exploitation. The use of sewage sludge as a lipid feedstock for biodiesel production is an alternative and sustainable approach to sludge management and disposal challenges [36].

Biodiesel is a biodegradable and renewable fuel with chemical and physical properties similar to petroleum-based fuels [37–39]. It is a mixture of Fatty Acid Methyl Esters (FAMEs), which can be synthesized by the reaction of different lipid fractions with methanol in the presence of an acid, a base or an enzyme catalyst [40,41]. The main obstacle to its marketing is the raw materials (mostly vegetable oils and animal fats), which constitute about 70–85% of production costs [42,43]. As a result, the use of non-edible alternative oils is constantly growing. Lipids extracted from sewage scum are mainly constituted by Free Fatty Acids (FFAs, 45–55%wt) and calcium soaps of fatty acids (25–30%wt) [28,29]. After the chemical activation with formic acid [44], they can be easily converted into the corresponding methyl esters by direct esterification with methanol using aluminum chloride hexahydrate (AlCl$_3·$6H$_2$O) as a catalyst [45]. However, besides the biodiesel production (75–80%wt), methyl estolides (ME, 15–20%) were also isolated and characterized [28]. These last, obtained as a result of bacteria activity in sewage sludge [46], can be further converted into methyl-10-hydroxystearate (Me-10-HSA) and FAMEs, representing a valuable source for 10-HSA production (Figure 1) through a transesterification reaction.

![Figure 1. Schematic process of the transesterification reaction of methyl estolides with methanol for the synthesis of Me-10-HSA and FAMEs.](image-url)

Such a process would contribute to the production of Me-10-HSA from non-edible feedstocks and a complete valorization of the lipid fraction present in the sewage scum. In this study, an acidic transesterification was proposed for the synthesis of Me-10-HSA and FAMEs by direct conversion of ME isolated from sewage scum with methanol. Aluminum chloride hexahydrate (AlCl$_3·$6H$_2$O) and hydrochloric acid (HCl) were used as catalysts. The best operative conditions were determined through a response surface methodology,
widely adopted in studies concerning the production of biodiesel for optimizing the transesterification reaction [47–50]. Notably, a Box–Behnken factorial design of experiments was used. The amount of methanol and catalyst, temperature and reaction time were optimized with the aim of maximizing the conversion of ME into Me-10-HSA and FAMEs.

2. Analysis of Results

2.1. Characterization of the Lipid Component of Sewage Scum and Biodiesel Production

Biofuels are considered the leading renewable energy sources, presenting several advantages with respect to conventional fossil fuels [51,52]. Nevertheless, the high production costs associated with the raw materials (vegetable oils and animal fats) result in a significant increase in their price [42,43].

Sewage scum can be considered a cheap and available feedstock to synthesize biofuels due to its high lipid content (up to 36–50% of dry weight) [29]. The lipid fraction, very rich in FFAs, can be easily converted into FAMEs by acid-catalyzed direct esterification [53–55]. However, the high water content in the sewage scum (TS = 10–25%wt) represents a significant obstacle to biodiesel production at a commercial scale. The initial stages, from the collection of the raw sludge to the dehydration and drying, are expensive processes, which make the biodiesel production from sewage scum not economically feasible [56]. Furthermore, the subsequent extraction of the lipid fraction requires a significant amount of organic solvent, thus increasing the manufacturing costs [57].

Lastly, the method typically known for biodiesel production from sewage scum is based on homogeneous acid catalysts as H₂SO₄ [53–55]. Still, it is not competitive with the conventional technologies from triglycerides under alkaline catalysis for a series of drawbacks: (i) the recovery of the catalyst takes place only partially, and (ii) additional steps are required for the purification of the final products. A new methodology was then developed to successfully convert wastewater sewage scum into biodiesel, consisting of four different steps [28]. The overall process is outlined in Figure 2. First, sewage scum was heated at a temperature of 80 ºC, with the lipid component was recovered by centrifugation at 4000 rpm for 3 min (recoverability > 90%), without the addition of solvents or acids [29]. Subsequently, the lipid extract was activated by adding the stoichiometric amount of formic acid (HCOOH) to calcium soaps (25–30%), thus obtaining their complete conversion into FFAs [44]. Activated lipids (FFAs = 75–80%) were then efficiently converted into the corresponding methyl esters by direct esterification using AlCl₃·6H₂O as a catalyst [45].

As a result, about 95% FFAs were converted into FAMEs with minimal reactants under mild conditions (molar ratio FFAs:MeOH:catalyst = 1:10:0.02, 72 ºC, 2 h). Moreover, the use of AlCl₃·6H₂O favored a convenient separation of products between the two phases: the catalyst was recovered entirely, with the upper methanol phase along with the water produced during the reaction, whereas the methyl esters were present in the lower oily phase [45]. This resultant oily phase was recovered and pure FAMEs (75–80%wt) were collected by vacuum distillation (Figure 2).

The proposed scheme for exploiting sewage scum for biodiesel production has proven to be economically viable and applicable on an industrial scale. Nevertheless, the potential of the lipid component has not yet been fully exploited. After the distillation process, a residue was recovered (20–25%wt), which was analyzed by preparative chromatography. The residue was mainly composed of: ME (50.3%wt), polar compounds (33.8%), FAMEs (6.4%wt) and small quantities of Me-10-HSA (3.6%wt), Mineral oils (2.7%wt), Waxes (1.8%wt), FFAs (1.0%wt) and Methyl-10-ketostearate (0.4%wt). ME already have a potential market value as biolubricants [8–10]; however, to obtain a complete valorization of the lipid component, a further improvement of the reaction by-products could help to improve the economy of the overall process. For these reasons, the transesterification reaction of ME with methanol for the synthesis of Me-10-HSA and FAMEs was investigated, by optimizing the process parameters.
2.2. Optimization of Transesterification Conditions for the Conversion of ME into Me-10-HSA and FAMEs

The conversion of ME into Me-10-HSA and FAMEs (according to the scheme reported in Figure 1) was optimized using AlCl$_3$·6H$_2$O and HCl as catalysts. According to the Box–Behnken experimental design described in Section 3.6 experiments were conducted on the distillation residue to find the optimal reaction conditions and study the process parameters’ effect in the transesterification reaction. Experimental and predicted values for ME conversion at the design points are reported in Table 1.

A quadratic regression model was used to fit the experimental data, by obtaining the following relationships between factors and response for the two catalysts (Equations (1) and (2)):

\[
\text{ME conversion } \text{AlCl}_3 \cdot 6\text{H}_2\text{O} \text{ (%) } = -45.6167 + 11.6267C + 38.4455\text{cat} + 1.75413T + \\
+0.410478t - 1.01146C^2 - 34.8958\text{cat}^2 - 0.00761458T^2 - 0.00848126t^2 - 2.875\text{cat}t + \\
-0.000690335Ct + 0.00192708Cl + 0.203125\text{cat}T + 0.0865385\text{cat}t - 0.00134615Tt
\]

\[
\text{ME conversion } \text{HCl} \text{ (%) } = 57.6733 + 10.5721C + 33.0761\text{cat} - 0.0389744T + \\
+0.684689t - 10.4948C^2 - 10.4948\text{cat}^2 - 0.00192708T^2 - 0.000690335t^2 - 2.4375\text{cat}t + \\
-0.0054375CT + 0.00384615CT - 0.034375\text{cat}T - 0.177885\text{cat}t + 0.00442308Tt
\]

The graphs between the predicted and the experimental ME conversion (%) reported in Figure 3 show that expected values are similar to the observed values, therefore validating the model’s reliability in establishing the correlation between the process variables and the ME conversion.
Table 1. Box–Behnken design matrix for the four independent variables and the experimental ME conversion (%) using AlCl$_3$·6H$_2$O and HCl as catalysts.

| E  | Methanol (mL) | Catalyst (mmol) | Temperature (°C) | Time (h) | ME Conversion (%) | AlCl$_3$·6H$_2$O | HCl |
|----|---------------|-----------------|------------------|---------|------------------|-----------------|-----|
|    |               |                 |                  |         | Pred. | Exp. | Pred. | Exp. |
| 1  | 0             | 0               | -1               | -1      | 88.2  | 89.0 | 93.1  | 93.3 |
| 2  | 1             | 0               | -1               | 0       | 94.2  | 94.5 | 86.2  | 84.3 |
| 3  | 0             | 1               | -1               | 0       | 87.5  | 89.4 | 93.9  | 95.6 |
| 4  | -1            | 0               | -1               | 0       | 89.9  | 90.5 | 96.7  | 96.9 |
| 5  | 0             | -1              | -1               | 0       | 81.5  | 80.2 | 98.4  | 98.6 |
| 6  | 0             | 0               | -1               | 1       | 85.3  | 84.3 | 89.3  | 88.6 |
| 7  | 0             | 1               | 0                | -1      | 86.3  | 87.7 | 89.7  | 89.6 |
| 8  | 1             | 0               | 0                | -1      | 95.2  | 94.7 | 95.4  | 95.3 |
| 9  | 0             | -1              | 0                | -1      | 94.5  | 92.8 | 95.2  | 96.3 |
| 10 | -1            | 0               | 0                | -1      | 87.2  | 87.7 | 96.7  | 96.9 |
| 11a| 0             | 0               | 0                | 0       | 92.9  | 93.4 | 95.3  | 95.5 |
| 12 | -1            | -1              | 0                | 0       | 87.7  | 86.6 | 99.9  | 98.1 |
| 13 | 1             | 1               | 0                | 0       | 97.1  | 97.9 | 94.8  | 93.3 |
| 14a| 0             | 0               | 0                | 0       | 89.9  | 90.5 | 99.6  | 99.7 |
| 15 | 1             | -1              | 0                | 0       | 83.0  | 82.6 | 96.9  | 98.1 |
| 16a| 0             | 0               | 0                | 0       | 94.2  | 93.9 | 96.7  | 96.4 |
| 17 | -1            | 1               | 0                | 0       | 89.3  | 89.2 | 98.7  | 98.5 |
| 18 | 0             | -1              | 0                | 1       | 77.3  | 76.6 | 98.8  | 98.5 |
| 19 | -1            | 0               | 0                | 1       | 80.7  | 82.7 | 98.3  | 98.1 |
| 20 | 0             | 1               | 0                | 1       | 97.2  | 97.5 | 94.8  | 93.3 |
| 21 | 1             | 0               | 0                | 1       | 86.7  | 85.3 | 97.0  | 96.2 |
| 22 | 0             | 0               | 1                | -1      | 94.2  | 94.1 | 96.8  | 98.7 |
| 23 | 0             | 1               | 1                | 0       | 87.0  | 87.8 | 97.2  | 97.6 |
| 24 | -1            | 0               | 1                | 0       | 94.3  | 95.4 | 99.3  | 99.0 |
| 25 | 0             | -1              | 1                | 0       | 83.6  | 83.5 | 98.9  | 98.2 |
| 26 | 1             | 0               | 1                | 0       | 97.2  | 97.5 | 95.3  | 95.5 |
| 27 | 0             | 0               | 1                | 1       | 78.5  | 79.9 | 92.9  | 92.8 |

* Denoted as central points. Pred. = predicted values, Exp. = experimental values.

Figure 3. Predicted vs. experiment value for ME conversion (%) using (a) AlCl$_3$·6H$_2$O and (b) HCl as catalysts.

Subsequently, the significance of each parameter was evaluated by the analysis of variance (ANOVA) followed by Fisher’s statistical test (F-test) for linear, interaction, and quadratic parameters in the second-order polynomial equations. In this work, the significance of the mathematical model adopted was associated with the p-value. A value of 0.05 was considered a suitable threshold with the corresponding significant parameters highlighted with an asterisk. The model’s main statistics and the components of the fitting equations are given in Tables 2 and 3.

Table 2. The ANOVA summary table for the conversion of ME into Me-10-HSA and FAMEs using AlCl$_3$·6H$_2$O as catalyst.

| ME Conversion (%) | AlCl$_3$·6H$_2$O | HCl |
|-------------------|-----------------|-----|
| Pred. | Exp. | Pred. | Exp. |
| 70    | 77.3 | 76.6 | 98.8 | 98.5 |
| 75    | 70.7 | 72.7 | 98.2 | 98.1 |
| 80    | 87.0 | 87.8 | 97.2 | 97.6 |
| 85    | 86.7 | 85.3 | 97.0 | 96.2 |
| 90    | 97.2 | 97.5 | 94.8 | 93.3 |
| 95    | 94.3 | 95.4 | 99.3 | 99.0 |
| 100   | 97.2 | 97.5 | 95.3 | 95.5 |

R$^2 = 0.9685$

Figure 3. Cont.
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| Source   | Sum of Squares | Df | Mean Square | F-Ratio | p-Value |
|----------|----------------|----|-------------|---------|---------|
| Model    | 731.455        | 14 | 182.864     | 14.02   | 0.0000 *|
| C        | 167.253        | 1  | 167.253     | 64.72   | 0.0000 **|
| cat      | 181.741        | 1  | 181.741     | 70.33   | 0.0000 **|
| T        | 272.653        | 1  | 272.653     | 105.51  | 0.0000 **|
| t        | 109.808        | 1  | 109.808     | 42.49   | 0.0000 **|
| Cat      | 21.16          | 1  | 21.16       | 8.19    | 0.0143 **|
| CT       | 6.0025         | 1  | 6.0025      | 2.32    | 0.1534  |
| Ct       | 11.2225        | 1  | 11.2225     | 4.34    | 0.0592  |
| cat*T    | 10.5625        | 1  | 10.5625     | 4.09    | 0.0661  |
| cat*t    | 0.81           | 1  | 0.81        | 0.31    | 0.5859  |
| Tt       | 0.49           | 1  | 0.49        | 0.19    | 0.6710  |
| C²       | 87.3001        | 1  | 87.3001     | 33.78   | 0.0001 **|
| cat²     | 166.259        | 1  | 166.259     | 64.34   | 0.0000 **|
| T²       | 49.4779        | 1  | 49.4779     | 19.15   | 0.0009 **|
| t²       | 10.957         | 1  | 10.957      | 4.24    | 0.0619  |
| Total error | 31.0092        | 12 | 2.5841      |         |         |
| Total (corr.) | 1018.31        | 26 |             |         |         |

R² = 96.95% * p < 0.05 indicates model is significant. R²(adjusted for d.f.) = 93.39% ** p < 0.05 indicates model terms are significant.
Table 3. The ANOVA summary table for the conversion of ME into Me-10-HSA and FAMEs using HCl as catalyst.

| Source | Sum of Squares | Df | Mean Square | F-Ratio | p-Value  |
|--------|----------------|----|-------------|---------|----------|
| Model  | 236.882        | 14 | 59.2204     | 11.79   | 0.0000 * |
| C      | 82.6875        | 1  | 82.6875     | 35.10   | 0.0001 **|
| cat    | 86.4033        | 1  | 86.4033     | 36.67   | 0.0001 **|
| T      | 36.75          | 1  | 36.75       | 15.60   | 0.0019 **|
| t      | 31.0408        | 1  | 31.0408     | 13.17   | 0.0035 **|
| Cat    | 15.21          | 1  | 15.21       | 6.46    | 0.0259 **|
| CT     | 18.9225        | 1  | 18.9225     | 8.03    | 0.0151 **|
| Ct     | 0.04           | 1  | 0.04        | 0.02    | 0.8985   |
| catT   | 0.3025         | 1  | 0.3025      | 0.13    | 0.7263   |
| ctt    | 3.4225         | 1  | 3.4225      | 1.45    | 0.2513   |
| Tt     | 5.29           | 1  | 5.29        | 2.25    | 0.1599   |
| C^2    | 13.9393        | 1  | 13.9393     | 5.92    | 0.0316 **|
| cat^2  | 15.0379        | 1  | 15.0379     | 6.38    | 0.0266 **|
| T^2    | 3.16898        | 1  | 3.16898     | 1.35    | 0.2687   |
| t^2    | 0.0725926      | 1  | 0.0725926   | 0.03    | 0.8636   |
| Total error | 28.2725 | 12 | 2.35604    |         |          |
| Total (corr.) | 347.365 | 26 |           |         |          |

R^2 = 93.32% * p < 0.05 indicates model is significant. R^2(adj for d.f.) = 90.46% ** p < 0.05 indicates model terms are significant.

The p-associated values for the models adopted were less than 0.05, indicating that the model used to describe the transesterification reaction of ME with methanol was statistically significant. All linear parameters were substantial in the transesterification process. In particular, it was noted that there was a considerable difference in the relationship between the independent variables and their effects on the response variable (ME conversion) for the two catalysts. Using AlCl₃·6H₂O as a catalyst, temperature showed the most significant impact in the transesterification reaction followed by the amount of the catalyst, methanol and reaction time (Table 2). Instead, in the case of HCl, the amount of catalyst and methanol were the most significant variables with respect to temperature and reaction time (Table 3).

As for the other terms (interaction and quadratic parameters), only Ccat (the interaction between the amount of methanol and catalyst), C^2 (the quadratic term associated with the methanol amount) and cat^2 (the quadratic term related to the amount of catalyst) were significant for both catalysts. Then, the goodness of fit of the models was checked by the coefficient of determination R^2. The value obtained of 0.9339 and 0.9046, respectively, for AlCl₃·6H₂O and HCl in its adjusted form, confirmed the efficacy of the model adopted.

Finally, response surface plots were generated to investigate the influence of the process parameters in the conversion of ME and identify the optimal experimental conditions required for both catalysts. Figure 4a shows the combined effect of methanol and catalyst, at a fixed temperature of 100 °C and a reaction time of 17 h. By increasing the amount of methanol and catalyst, an increase of conversion of ME was observed: 95 and 100%, were respectively obtained for AlCl₃·6H₂O and HCl with 5 mL of methanol and 1 mmol of catalyst. In Figure 4b, the effect of the temperature with the reaction time was investigated (methanol = 3 mL, catalyst = 0.6 mmol). In this case, the key role played by temperature in the transesterification reaction for the two systems studied is clear. At 120 °C, for AlCl₃·6H₂O, the transesterification process’s kinetic was particularly slow and long reaction times (30 h) were required for the full conversion of ME. In contrast, the reaction was complete after a few hours (4–6 h) using HCl. Figure 4c,d show the combined effect of temperature and catalyst (methanol = 3 mL, time = 17 h) and reaction time and methanol (catalyst = 0.6 mmol, temperature = 100 °C), respectively. These combinations of factors positively influenced the conversion of ME, obtaining a value close to 100%.
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Based on these results, the optimal conditions were determined and directly applied in the transesterification of ME with methanol. The results obtained are reported in Table 4. Predicted responses are found to be in good agreement with the experimental results. In detail, using AlCl$_3$·6H$_2$O as a catalyst (0.76 mmol), a ME conversion of 99.6% was obtained at 115 °C after 30 h of reaction and 3.9 mL of methanol. Instead, the reaction catalyzed by HCl (1 mmol) was much faster: a ME conversion of 99.8% was achieved at 120 °C with a reduced amount of methanol (2.1 mL) after 4 h. In the absence of the catalyst, using the highest amount of methanol (5 mL) at 120 °C, a ME conversion of only 3% was obtained, confirming the efficiency of both catalysts.

**Table 4.** Results of the model validation under optimum conditions using AlCl$_3$·6H$_2$O and HCl as catalysts.

| Catalysts | Methanol (mL) | Amount (mmol) | Temperature (°C) | Time (h) | ME Conversion (%) |
|-----------|---------------|---------------|------------------|---------|-------------------|
| AlCl$_3$·6H$_2$O | 3.9 | 0.76 | 115 | 30 | 99.4 (Pred.) 99.6 (Exp.) |
| HCl | 2.1 | 1 | 120 | 4 | 100 (Pred.) 99.8 (Exp.) |

Pred. = predicted values, Exp. = experimental values.
The transesterification in methanol of stearyl stearate was also evaluated to compare the different reactivity between HCl and AlCl₃·6H₂O vs. a fatty ester less congested sterically.

As can be seen from Table 5, for both the catalysts, a total conversion of stearyl stearate in methyl stearate was obtained at 100 °C after 24 h. In the case of HCl catalysis, the conversion is high even after 2 h at 70 °C (80%), showing a higher reaction rate than that of AlCl₃·6H₂O, for which the conversion was only about 20%. Furthermore, regarding AlCl₃·6H₂O, the results obtained clearly show that the amounts of methanol and catalyst greatly influence the transformation of stearyl stearate. This different behavior could be ascribed to the different strength of acidity among these two catalysts and the higher steric hindrance related to the hexa-aquo complex of aluminum chloride [45]. In fact, it was already demonstrated that the partial substitution of water coordinated to the aluminum center in AlCl₃·6H₂O produces a mixed-aquo-alcohol complex, which acts as a Brensted acid.

Table 5. Stearyl stearate conversions under HCl and AlCl₃·6H₂O catalysis.

|                | HCl 70 °C, 2 h | HCl 100 °C, 24 h | AlCl₃·6H₂O 70 °C, 2 h | AlCl₃·6H₂O 100 °C, 24 h |
|----------------|---------------|------------------|----------------------|------------------------|
| Conversion (%) | 80            | 100              | 20                   | 100                    |

2.3. Analysis of the Reaction Products

Once identified the optimal experimental conditions required for the complete conversion of ME, the organic phase was processed by column chromatography [28] and the products obtained were isolated, analyzed and quantified (Figures S1–S8). Based on 100 g of distillation residue, 28.2 g FAMEs and 32.3 g Me-10-HSA were respectively achieved. A detailed analysis of the fatty acids (FAs) profile was carried out by comparing the chemical composition of the methyl esters obtained with that of biodiesel previously recovered from FAME distillation. The results are reported in Figure 5.

![Comparison of FAs profile (%wt.) of methyl estolides and lipids extracted from sewage scum.](image)

ME contained predominately oleic acid (C18:1) and linoleic acid (C18:2), totaling 73.9% of fatty acids present (AMW FAs = 276.8 g/mole). Instead, the distilled biodiesel from sewage scum (Figure 2) showed nearly equal amounts of saturated fatty acid and unsaturated fatty acid (AMW FAs = 266.8 g/mole) (Figure S9). This difference in FAs profile can be attributed to a possible origin of estolides as reaction products between 10-HSA (obtained from the enantioselective microbial hydration of oleic acid [25]) and the subsequent esterification and/or transesterification with the oils and fats present in the stream. Considering only the market value of biodiesel produced (EUR 0.8 kg⁻¹ [58]), a
potential gain of EUR 225 could be obtained for each ton of the sample treated, leading to a further enhancement of sewage sludge to produce biofuels and biochemicals. However, the greatest profits would be obtained from Me-10-HSA produced during the process.

A high enantiomeric excess (ee > 92%) of R-enantiomeric form was observed (Figure S10), compound employed as the precursor to produce biochemicals, namely γ-(R)-dodecalactone. Since its current market value ranges from EUR 800 to 3000 kg⁻¹ [59], the economy of the whole process would be greatly improved. As described above, the synthesis of 10-HSA generally requires the use of enzymes. The use of HCl or AlCl₃·6H₂O as a catalyst not only is significantly cheaper (EUR 0.8 kg⁻¹ [60]), but in the case of AlCl₃·6H₂O at the end of its use, it could potentially be used in WWTPs as a coagulant, further contributing to the overall economy of the process.

3. Materials and Methods
3.1. Reagents and Instruments
All chemical reagents used in this work were of analytical grade and were used directly without further purification or treatment. Hexane (C₆H₁₄, 99%), toluene (C₆H₆, 99%), methanol (CH₃OH, 99.8%) and methyl heptadecanoate (C₁₈H₃₆O₂, ≥99%) were purchased from Sigma-Aldrich. Aluminum chloride hexahydrate (AlCl₃·6H₂O, 99%) was obtained as pure-grade reagent from Baker. Ethanol (C₂H₅OH, ≥99.8%), diethyl ether ((C₂H₅)₂O, 99%), formic acid (HCOOH, 99%), sulfuric acid (H₂SO₄, 98%), hydrochloric acid (HCl, 37%) and potassium hydroxide (KOH, 85%) were purchased from Carlo Erba. A Rotofix 32 Hettich Centrifuge was used for the centrifugation experiments. Identification of ME, Me-10-HSA and FAMEs was carried out by gas chromatography-mass spectroscopy (GC-MS) using a Perking Elmer Clarus 500 equipped with a Clarus spectrometer. Quantitative determinations were performed using a Varian 3800 GC-FID. Helium was used as a carrier gas with a flow of 1.3 mL min⁻¹. Both instruments were configured for cold on-column injections with a HP-5MS capillary column (30 m; Ø 0.32 mm; 0.25 µm film). The same temperature program was employed for the injector and the oven. The initial temperature was set to 60 °C and kept constant for 2 min. Then, it increased to 300 °C with a 15 °C min⁻¹ ramp and kept constant for other 20 min. The temperature of detector (FID) was set to 300 °C. For GC-MS, the ion source was set to 70 eV and maintained at 250 °C.

FTIR spectra were recorded by a Perkin Elmer FTIR Spectrum BX instrument using KBr cells (neat compounds).

¹H NMR spectra were recorded on a Bruker AV-400 spectrometer using the residual solvent peak as a reference [61].

3.2. Sewage Scum
Sewage scum was collected from WWTPs of Bari West (240,000 Population Equivalent, PE), located in South of Italy. Samples were immediately processed to avoid long storage time (within two days, 4 °C) and characterized in terms of total solids, lipids, proteins, cellulose, lignin and ashes [29].

3.3. Experimental Procedure for Lipids Characterization
3.3.1. Determination of FFAs and Soaps
FFAs were determined by titration of the acidity present with a 0.1 N KOH solution and phenolphthalein (≥99%, Sigma-Aldrich) as an indicator. A total of 1 g of the sample collected was previously dissolved into 150 mL of a 1:1 v/v diethyl ether:ethanol mixture. Using the same experimental conditions, soaps were determined by titration with a 0.1 N HCl solution and methyl red (99%, Sigma-Aldrich) as an indicator.

3.3.2. Determination of Fatty Acids Profile and Average Molecular Weight
In a glass Pyrex reactor of 5 mL, 0.02 g of sample were dissolved with 2 mL of a 2:2:0.01 v/v/v toluene:methanol:concentrated H₂SO₄ solution. The system was closed
and placed into an ultrasonic bath at 70 °C for 5 h. Then, 1 mL of methyl heptadecanoate toluene solution (1000 ppm) was added as internal standard and the resulting solution gas-chromatographically analyzed (1 µL). Average molecular weight (AMW) was determined according to the following equation (Equation (3)):

\[ \text{AMW} = \frac{\sum A_i \cdot MW_i}{\sum A_i} \]  

(3)

where \( A_i \) and \( MW_i \) are the area and molecular weight of FFAs identified, respectively.

Then, FAMEs content (%wt.) was calculated respect to methyl heptadecanoate as follows (Equation (4)):

\[ \text{FAMEs content} = \frac{\sum A_i}{A_{\text{sdt}}} \times \frac{m_{\text{sdt}}}{m_{\text{sample}}} \times 100 \]  

(4)

where \( A_{\text{sdt}} \) and \( m_{\text{sdt}} \) are the area and the mass of standard (methyl heptadecanoate), respectively, and \( m_{\text{sample}} \) is the amount of sample analyzed.

3.4. Extraction of Lipid Fraction from Sewage Scum and Chemical Activation

In a glass Pyrex reactor of 250 mL, 100 g of sewage scum were placed and closed. The system was heated in an oven at 80 °C. After this thermal treatment, the sample was rapidly centrifuged at 4000 rpm for 3 min by obtaining a three-phasic system consisting of: (i) an upper organic brown oily phase, (ii) a lower phase of wet residual solid, and (iii) an aqueous intermediate phase. The oily phase was recovered and stored at 4 °C for the subsequent operations. The isolated product was mainly constituted by FFAs (51.7%wt) and calcium soaps of fatty acids (30.4%wt). Then, the stoichiometric amount of HCOOH respect to the calcium soaps was added (4.8 g for 100 g of raw lipids) and the activated lipids recovered as clear oil, after centrifugation (4000 rpm, 1 min) at 80 °C [44].

3.5. Conversion of Activated Lipids into Methyl Esters of Recovery of Biodiesel Produced by Distillation Process

Activated lipids extracted from sewage scum were converted into the corresponding methyl esters, by direct esterification with methanol using \( \text{AlCl}_3 \cdot 6\text{H}_2\text{O} \) as a catalyst [45]. The reaction was carried out at 72 °C for 2 h with a molar ratio FFAs:MeOH:catalyst = 1:10:0.02. At the end of the process, the reagent mixture was cooled to room temperature with the formation of bi-phasic system consisting of: (i) a light methanol layer (in which the catalyst was present) and (ii) a lower oily layer composed of methyl esters. The oily phase was recovered, and the residual methanol removed under vacuum (60 °C, 700 mmHg). Finally, biodiesel (75–80%wt, purity > 99%) was collected by subsequently vacuum distillation (160–180 °C, 50 mm Hg). The distillation residue (20–25%wt) was instead recovered, dried under nitrogen flow and analyzed by column chromatography [29], obtaining the chemical composition reported in Table 6.

Table 6. Chemical composition of the distillation residue obtained after direct esterification of the lipid fraction with methanol and recovery of biodiesel produced by distillation process.

| Chemical Species          | Composition (%wt.) |
|---------------------------|--------------------|
| Mineral oil               | 2.7                |
| Waxes                     | 1.8                |
| FAMEs                     | 6.4                |
| Me-10-HAS                 | 3.6                |
| Methyl-10-ketostearate    | 0.4                |
| Methyl estolides          | 50.3               |
| Acids                     | 1.0                |
| Other polar compounds     | 33.8               |
3.6. Transesterification Reaction of Methyl Estolides (or Stearyl Stearate) with Methanol

In a typical reaction, 0.1 g of sample (the distillation residue recovered in the previous step, ME content = 50.3% or stearyl stearate) were placed with methanol (1 mL) and 0.2 mmol of catalyst (HCl or AlCl$_3$·$6\text{H}_2\text{O}$) in a glass Pyrex reactor of 15 mL. The system was closed and placed into a thermostatic bath at 80 °C for 4 h under agitation (250 rpm), using a magnetic stirring. Then, it was cooled to room temperature and the residual methanol was removed under nitrogen flow. Where it was possible, the catalyst was recovered by centrifugation and the organic phase was analyzed by gas chromatography for the determination of FAMEs content. Following the same procedure, Me-10-HSA and ME were also determined with the calibration curves obtained from the pure product, previously isolated by column chromatography [28].

Optimization of Transesterification Conditions

A three-step approach was used to investigate the effects of the process variables in the conversion of ME into Me-10-HSA and FAMEs and maximize their yield [44]. Methanol (C) and catalyst (cat) amount, temperature (T) and reaction time (t) were selected as independent variables (factors), while methyl estolides (ME) conversion was set as dependent variable (response). The experimental range of the levels and the independent variables considered in this study are presented in Table 7.

### Table 7. Experimental range and levels of independent variables.

| Variables       | Symbol | Lower Level (−1) | Center Level (0) | Upper Level (+1) | ΔX$_i$ a |
|-----------------|--------|------------------|------------------|------------------|----------|
| Methanol (mL)   | C      | 1                | 3                | 5                | 2        |
| Catalyst (mmol) | cat    | 0.2              | 0.6              | 1                | 0.4      |
| Temperature (°C)| T      | 80               | 100              | 120              | 20       |
| Time (h)        | t      | 4                | 17               | 30               | 13       |

* Step change values.

A total of 27 experiments (including three replicates for the center point), were used for fitting a second-order response surface. The effects of factors on the response were analyzed according to the following quadratic function (Equation (5)):

$$ Y = \beta_0 + \sum_{i=1}^{n} \beta_i X_i + \sum_{i=1}^{n} \beta_{ii} X_i^2 + \sum_{i=1}^{n} \sum_{j=1}^{n} \beta_{ij} X_i X_j $$

(5)

where Y represents the ME conversion (%), $X_i$ and $X_j$ are the independent variables, $\beta_0$, $\beta_i$, $\beta_{ij}$ and $\beta_{ii}$ are the offset term, linear, interaction, and quadratic parameters, respectively. Statgraphics® Centurion XVI was used for the regression analysis and the plot response surface. Then, to verify the validation of the overall fit of the developed regression model, the data obtained were processed by the analysis of variance (ANOVA). The adequacy of the polynomial model to fit experimental data were expressed as $R^2$ (coefficient of determination) and in its adjusted form. The statistical significance of $R^2$ was checked by the F-test at a confidence level of 95%.

Finally, the optimization of reaction conditions was carried out using response surface methodology (RSM) combined with the desirability function approach to form the desirability optimization methodology (DOM) [45].

4. Conclusions

In this work, for the first time, an efficient method was proposed for the synthesis of Me-10-HSA and FAMEs by direct conversion of methyl estolides isolated from sewage scum. A response surface methodology was applied to investigate the effect of the process variables on the methyl estolides conversion and maximize the final yield. AlCl$_3$·$6\text{H}_2\text{O}$
and HCl were used as effective catalysts in promoting transesterification with methanol. HCl is more active in promoting the transesterification of methyl estolides to produce FAMEs and Me-(R)-10-HSA: a total conversion was in fact obtained already after 4 h. In the case of AlCl₃·6H₂O, under similar reactive conditions, 20 h were necessary to achieve a conversion of 99.4%. On the other side, AlCl₃·6H₂O is a solid catalyst, easy to manage and less corrosive than mineral conventional acids [62]. AlCl₃·6H₂O-catalyzed reaction resulted principally affected by temperature, whereas in the case of HCl, the amount of catalyst and methanol were the most significant variables. The obtainment of Me-(R)-10-HSA, in its (almost) pure enantiomeric form, increases the potential of sewage scum. For the specific case of AlCl₃·6H₂O, the possible final use of the relevant residues in WWTPs as a coagulant results in a new scheme of valorization of a special waste, namely sewage scum, in which no secondary waste was generated. The transesterification of methyl estolides could actually implement the scenario of the full valorization of sewage scum towards a multi-products biorefinery. With the use of a limited number of reagents namely MeOH and AlCl₃·6H₂O, and an integrated network of processes, biodiesel, methyl estolides, and Me-(R)-10-HSA would be effectively obtained from sewage scum in a sustainable way.

Supplementary Materials: The following are available online at https://www.mdpi.com/article/10.3390/catal11060663/s1, Figure S1: GC-chromatogram of methyl estolides isolated by distillation process, Figure S2: FTIR spectra of methyl estolides, Figure S3: GC-MS chromatogram of 10-(palmitoyloxy)-stearic methyl ester, Figure S4: GC-MS chromatogram of 10-(stearooyloxy)-stearic methyl ester, Figure S5: GC-gramotogram of methyl 10-(R)-hydroxystearate isolated, Figure S6: FTIR spectra of methyl 10-(R)-hydroxystearate, Figure S7: GC-MS chromatogram of methyl 10-(R)-hydroxystearate, Figure S8: ¹H NMR of isolated methyl 10-(R)-hydroxystearate, Figure S9: Comparison of chromatographic profiles of FAs obtained from methyl estolides and lipids extracted from sewage scum, Figure S10: Chemical structures of derivatizing agents (D1, D2 and D3) used for the determination of the absolute configuration of the Methyl 10-Hydroxy stearic acid (M10-HSA) isolated from sewage scum.

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Data Availability Statement: The data presented in this study are contained within the article and the supplementary material.

Conflicts of Interest: The authors declare no conflict of interest.

Abbreviations

Roman Letters
A Gas-chromatographic area of fatty acids detected
AlCl₃·6H₂O Aluminum Chloride Hexahydrate
A std Area of standard (methyl heptadecanoate)
C Amount of Methanol
Cat Amount of catalyst (AlCl₃·6H₂O or HCl)
C₂H₅OH Ethanol
C₁₈H₃₆O₂ Methyl heptadecanoate
CH₃OH Methanol
(C₂H₅)₂O Diethyl ether
C₆H₁₄ Hexane
C₇H₈ Toluene
FAMEs  Fatty Acid Methyl Esters
FAs  Fatty Acids
FFAs  Free Fatty Acids
HCOOH  Formic acid
HCl  Hydrochloric Acid
HFAs  Hydroxy Fatty Acids
10-HAS  10-(R)-Hydroxystearic acid
H₂SO₄  Sulfuric acid
KOH  Potassium hydroxide
m_{sample}  Mass of sample analyzed
m_{std}  Mass of standard (methyl heptadecanoate)
ME  Methyl Estolides
Me-10-HAS  Methyl 10-(R)-Hydroxystearate
MW_i  Molecular weight of fatty acids detected
T  Temperature
TS  Total Solids
T  Time
X_i, X_j  Independent variables
Y  Dependent variable

Greek Letters
\beta_0  Offset term
\beta_i, \beta_{ij}, \beta_{ii}  Linear, interaction, and quadratic parameters

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