Protein oligomer modeling guided by predicted interchain contacts in CASP14

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Abstract
For CASP14, we developed deep learning-based methods for predicting homo-oligomeric and hetero-oligomeric contacts and used them for oligomer modeling. To build structure models, we developed an oligomer structure generation method that utilizes predicted interchain contacts to guide iterative restrained minimization from random backbone structures. We supplemented this gradient-based fold-and-dock method with template-based and ab initio docking approaches using deep learning-based subunit predictions on 29 assembly targets. These methods produced oligomer models with summed Z-scores 5.5 units higher than the next best group, with the fold-and-dock method having the best relative performance. Over the eight targets for which this method was used, the best of the five submitted models had average oligomer TM-score of 0.71 (average oligomer TM-score of the next best group: 0.64), and explicit modeling of inter-subunit interactions improved modeling of six out of 40 individual domains ($\Delta$GDT-TS > 2.0).

KEYWORDS
deep learning, interchain contact prediction, protein complex structure prediction, protein-protein docking

1 | INTRODUCTION
Hetero and homo-oligomeric states of proteins are critical to their function.1-3 Many computational methods have been developed to predict oligomer structures,4-9 but good performance has required matching oligomer template structures or utilization of experimental data, and accurate subunit structures.10,11 Protein interchain contact predictions have been utilized for oligomer modeling,12-14 but accuracy has been limited due to the limited predicted contact accuracy and the lack of efficient modeling methods.

In this CASP, we aimed to improve oligomer modeling performance by (1) developing deep learning-based interchain contact prediction methods for both homo-oligomeric and hetero-oligomeric complexes, (2) modeling entire complex structures from scratch guided by predicted intrachain distances and interchain contacts when available, and (3) taking advantage of the recent progress in tertiary structure modeling15-18 for oligomer template search.

2 | METHODS

2.1 | Overall pipeline

We used three different approaches to generate oligomer structures depending on the available information as depicted in Figure 1. We first generated multiple sequence alignments (MSA) by HHblits19 searches against UniRef3020 and metagenomic databases provided by JGI21 (step 0). Interchain contacts were predicted using GREMLIN22,23 or deep learning techniques based on MSAs (step 1, details are described in the next section). We also searched for oligomer...
templates based on sequence similarity using HHsearch\textsuperscript{19} and structure similarity using TM-align\textsuperscript{24} (step 2).

Based on these results, oligomer models were generated using one of three approaches: template-based modeling (step 3-1), gradient-based fold-and-dock (step 3-2), or \textit{ab initio} docking (step 3-3). The approaches taken for each target are summarized in Table 1. Details for steps 1-3 are provided in the following sections.

### 2.2 Step 1: interchain contact prediction using trRosetta-homo and trRosetta-discont

For homo-oligomer targets, we developed a deep learning-based homo-oligomer contact prediction method called trRosetta-homo to predict interchain contacts from MSAs generated by searching sequence databases. trRosetta-homo (Figure 2A) is based on a 2D residual convolution network having the same architecture as the original trRosetta\textsuperscript{16} except for the last layer. It was trained to predict not only intrachain distances and orientations but also interchain contacts at a 12 Å $C_\beta-C_\beta$ distance threshold so that the network could distinguish interchain coevolution signals from intrachain signals. The input features for the network are derived from MSAs, including (1) one-hot-encoded amino acid sequence of the query protein, (2) position-specific frequency matrix, (3) positional entropy, and (4) coevolution couplings derived from the inverse of the shrunk covariance matrix. The network was trained on 6932 homo-oligomer structures from the original trRosetta training set.\textsuperscript{16} High-probability GREMLIN contacts which were not made within the monomer were also treated as potential interchain contacts. The predicted interchain contacts for homo-oligomers were converted to the Rosetta bounded restraints (contact probability >0.95) or sigmoidal restraints (0.5 < contact probability <0.95)\textsuperscript{25} (shapes shown in Figure 2A) and were used to guide the overall sampling process and to select final models. For homo-oligomers having more than two subunits, distances were evaluated for the relevant residue pair over all pairs of chains, and the constraint score was taken for the one best matching the restraint.

For hetero-oligomer targets, we developed a modified version of trRosetta called trRosetta-discont to predict oligomer structures based on paired alignments (Figure 2B). To extract coevolutionary signals between two proteins forming a hetero complex, the sequences from the corresponding MSAs must be properly paired.\textsuperscript{12} For H1047 and H1065, which are protein complexes present in bacteria, we deployed a simple sequence pairing strategy relying on the fact that genes encoding interacting proteins tend to be co-located on the same operon in the prokaryotic genome. First, we collected MSAs for both proteins forming a complex by performing sequence searches against UniProtKB/TrEMBL\textsuperscript{26} and metagenomic and metatranscriptomic sets from JGI.\textsuperscript{21} Next, assuming that UniProt Accession IDs and JGI’s IMG/M IDs are serially assigned in the genome or a contig, we paired all sequences from the two MSAs satisfying $\Delta ID \leq 10$ into one. The resulting paired alignments were cleaned at 95% sequence identity and 75% coverage cutoffs. For both H1047 and H1065 the majority of the sequences in the final MSA came from JGI. This approach could only be applied to these two targets.

During training of trRosetta-discont, long proteins over 300 residues in length were trimmed by randomly selecting two non-intersecting sequence fragments; input MSAs and target distance and orientation maps were cropped accordingly. The discontinuity in the resulting sequence was communicated to the network through the sequence separation feature which was first calculated from the nontrimmed sequence and then cropped in the same way as other network inputs and outputs. Despite the network being trained on single protein chains, we deployed its ability to make inferences on discontinuous sequence fragments to the target H1065. We treated each of the proteins in the hetero-complex as an individual sequence...
fragment and increased the sequence separation feature by adding 500 to approximate a chain break (this number was not optimized) to the interchain regions of this feature map. Predicted residue-residue distances and orientations were then used to recreate the 3D structure model of the complex.

### 2.3 Step 2: oligomer template search based on sequence and structure similarity

HHsearch\(^{19,27}\) and TM-align\(^{24}\) were used to detect oligomer templates from the PDB100 database based on not only sequence similarity but also structure similarity to the subunit structures predicted by BAKER or BAKER-ROSETTASERVER group. For homo-oligomers, using HHsearch, up to five oligomer templates in the given oligomer state were selected according to their ranks among the top100 HHsearch hits. In addition to the sequence-based oligomer templates, up to five oligomer templates were chosen purely based on the structural similarity to the given subunit models using TM-align. Among the selected hits from both sequence- and structure-based searches, those having similar subunit structures to the given model (TM-score > 0.5) were chosen as final oligomer templates to build complex structures. For hetero-oligomers, we identified HHsearch hits having the same PDB ID for both subunits of the target and

| Target | Difficulty | Interchain contact | Modeling method | Model 1 | Best out of 5 (based on Z-score) |
|--------|------------|--------------------|-----------------|--------|---------------------------------|
|        |            |                    | Model 1         |        |                                 |
|        |            |                    | Z-score\(^a\)  | ICS    | TM-score (oligo)                |
|        |            |                    |                 |        |                                 |
|        |            |                    |                 |        |                                 |
| H1036c | Medium     | No                 | Template        | 0.84   | 0.68 0.70                       |
| H1036v0c| Medium    | No                 | Template        | 0.93   | 0.27 0.69                       |
| H1045  | Medium     | No                 | Template        | 1.05   | 0.71 0.87                       |
| H1047  | Hard       | Yes (G)            | \(ab\) initio   | 1.35   | 0.04 0.39                       |
| H1060v1| Medium     | No                 | \(ab\) initio   | 0.94   | 0.06 0.31                       |
| H1060v2| Medium     | No                 | Template        | 0.38   | 0.09 0.86                       |
| H1060v3| Medium     | No                 | Template        | 0.43   | 0.01 0.75                       |
| H1060v4| Medium     | No                 | Template        | 0.74   | 0.22 0.75                       |
| H1060v5| Medium     | Yes (G)            | Template        | 1.52   | 0.48 0.95                       |
| H1065  | Hard       | Yes (DL)           | Fold-and-dock   | 1.74   | 0.40 0.79                       |
| H1072  | Medium     | Yes (DL)           | Fold-and-dock   | 0.10   | 0.04 0.34                       |
| H1081v0| Medium     | No                 | \(ab\) initio   | 1.46   | 0.35 0.97                       |
| H1097  | Medium     | Yes (T)            | Fold-and-dock   | 2.13   | 0.44 0.73                       |
| T1032  | Easy       | No                 | Template        | 1.08   | 0.38 0.69                       |
| T1034  | Medium     | No                 | Template        | -0.81  | 0.00 0.17                       |
| T1038  | Hard       | No                 | \(ab\) initio   | -0.58  | 0.00 0.17                       |
| T1048  | Medium     | Yes (DL)           | Fold-and-dock   | 3.09   | 0.50 0.59                       |
| T1052  | Easy       | No                 | Template        | 0.63   | 0.51 0.69                       |
| T1054  | Hard       | No                 | \(ab\) initio   | 0.13   | 0.00 0.44                       |
| T1061  | Hard       | No                 | Template        | 1.85   | 0.15 0.64                       |
| T1070  | Hard       | No                 | Template        | 0.94   | 0.06 0.31                       |
| T1078  | Medium     | No                 | \(ab\) initio   | 0.19   | 0.00 0.54                       |
| T1080  | Hard       | Yes (DL)           | Fold-and-dock   | 1.92   | 0.12 0.55                       |
| T1083  | Medium     | Yes (DL)           | Fold-and-dock   | 1.48   | 0.23 0.63                       |
| T1084  | Medium     | Yes (DL)           | Fold-and-dock   | 2.17   | 0.81 0.92                       |
| T1087  | Medium     | Yes (DL)           | Fold-and-dock   | 2.27   | 0.36 0.79                       |
| T1099v0| Medium     | No                 | Template        | -0.23  | 0.03 0.24                       |
| T1099v1| Medium     | No                 | Template        | 0.15   | 0.00 0.55                       |
| T1099v2| Medium     | No                 | Template        | 0.75   | 0.13 0.60                       |

Abbreviations: DL, Deep learning-based methods; G, GREMLIN; T, Partial templates.

\(^a\)Calculated on model 1 submissions.

\(^b\)Calculated on all model submissions.

\(^{18}\)Having a completely wrong prediction for the antigen-antibody interface.
ranked these based on the HHsearch ranking and structural similarity to the subunit (TM-score > 0.5).

### 2.4 Step 3-1: template-based complex modeling

The Rosetta hybridization protocol was used to refine oligomer models starting from initial complex structures generated by superposing subunit structures to one of the detected templates. During the hybridization process, local regions were rebuilt by recombining the secondary structure segments with detected templates and inserting fragments in the centroid representation. The overall structures were further optimized by relaxing full-atom structures using Rosetta FastRelax. The intrachain restraints derived from the trRosetta prediction were applied during the entire model building process, and interchain restraints were also applied if there were predicted interchain contacts from either GREMLIN or deep learning-based methods. The whole process was symmetry-aware for homo-oligomers. Total 500 structures were sampled by running the independent template-based modeling protocol, and five models having the lowest Rosetta REF2015 energy (with interchain contact restraints if applicable) were selected after clustering.

### 2.5 Step 3-2: Gradient-based fold-and-dock

Even with reasonable subunit structures and interchain contact predictions to guide overall conformational search, small local inaccuracies at the interface can hinder generating correct oligomer structures with ab initio docking. Moreover, as proteins interact with other proteins, their lowest free-energy backbone conformations can shift in response to their partners, complicating typical docking after folding approaches. A “fold-and-dock” method was developed to overcome this limitation, but it is quite computationally expensive as it employs Monte Carlo fragment assembly trajectories.

For CASP14, we developed a fold-and-dock approach using gradient-based energy minimization to sample structures instead of fragment assemblies. As depicted in Figure 3A, this approach has two stages. In the first low-resolution stage with the Rosetta centroid level representation, oligomer conformations are sampled by alternating gradient-based folding and low-resolution docking starting from a conformation with random backbone torsion angles. Gradient-based folding employs L-BFGS (Limited memory Broyden–Fletcher–Goldfarb–Shanno algorithm) minimization against the Rosetta centroid energy function supplemented with intrachain restraints derived from trRosetta predictions and interchain restraints derived from predicted contacts using either GREMLIN, trRosetta variants (trRosetta-homo or trRosetta-discont depending on complex type), or partial oligomer templates. To optimize orientation between subunits, low-resolution docking was used with a centroid level scoring function consisting of Motif Dock Score, clash terms (quadratic penalties for overlaps), and interchain restraints.

In the second stage, side chains are built into the backbone conformations, and the overall structures are relaxed in torsion space using Rosetta FastRelax. High-resolution docking followed by full-atom relaxation in Cartesian space is then performed to refine overall
complex structures further. A total of 150 structures were generated in independent trajectories, and five models having the lowest Rosetta energy with interchain restraints were selected after clustering. For homo-oligomer targets, symmetry was considered during the entire process.

We used this gradient-based fold-and-dock approach when there were no oligomer templates, but interchain contacts were predicted with high confidence based on MSAs. We also utilized this method to predict complex structures when subunits were highly intertwined with each other and detected templates had many insertions and
deletions that made it hard to predict oligomer structures using the template-based approach. The codes for the gradient-based fold-and-dock method are available at https://github.com/RosettaCommons/trRosetta2. It requires about an hour per oligomer model having 500 residues using a single CPU core.

2.6 | Step 3-3: Ab Initio docking-based approach

When there were neither oligomer templates nor predicted contacts with high confidence for the target protein, oligomer structures were predicted using ab initio docking with subunit structures predicted by BAKER-ROSETTASERVER or BAKER group. SymDock2 was employed to predict symmetric homo-oligomer structures, while ZDOCK \textsuperscript{7} and RosettaDock \textsuperscript{9} were used to predict hetero-oligomers. For the targets having symmetric subunits, the symmetry axes of homo-oligomer subunits were aligned during the docking process. Rotations along the symmetry axes were sampled with 3° angular spacing, and translations, in 0.5 Å intervals along the aligned axis. Among the sampled conformations, the top 50 samples having the best centroid level energy combined with Motif Dock Score were subjected to full-atom relaxation, and five models having the lowest energy were selected after clustering.

3 | RESULTS

3.1 | Overall performance

The modeling strategies we used for 29 CASP14 assembly targets are summarized in Table 1. 15 out of 29 targets were modeled using the template-based approach, eight targets using the gradient-based fold-and-dock approach, and six targets with the ab initio docking approach. The quality of the predicted multimeric structures was assessed in terms of Interface Patch Similarity (IPS) score, Interface Contact Similarity (ICS) score, \textsuperscript{10} oligomer IDDT, \textsuperscript{34} and oligomer TM-score measured by MM-align. \textsuperscript{35} The modified Z-score was calculated based on CASP conventions (recalculating Z-score without outliers having Z-score < −2.0) for each of the evaluation metrics. The average Z-score is reported in Table 1 as well as raw ICS and oligomer TM-score. For 16 targets, we failed to submit the best model as model 1. For H1045, H1060v3, T1048, and T1078, the differences in ICS score between model 1 and the best model are larger than 0.05 points. This scoring failure might be overcome by a better model accuracy estimation method for complex structures in the future.

The best relative performance was with the gradient-based fold-and-dock protocol (Figure 4A) with an average Z-score > 2.0; there were no oligomeric templates for most of these targets. We also generated relatively good models by (1) generating complex structures with ab initio docking for two medium difficulty targets and (2) finding distant homologs based on structural template search for one hard and two medium difficulty targets. These examples will be discussed in the following sections.

3.2 | Improvements in subunit modeling led to better template detection for oligomer modeling

Improvements in our tertiary structure prediction method combined with structure-based template search made it easier to find distant oligomer templates that was hard to detect using sequence-based search. For example, for T1061 (Figure 4B), our tertiary structure modeling protocol with metagenome sequence database (BAKER group) predicted reasonable subunit structures (subunit TM-score to native: 0.67). Using these structures, we were able to find distant oligomer templates (PDB ID: 3CDD) with TM-align and generated complex structures using the template-based approach. The resulting model submitted as model 2 showed a reasonable global arrangement of each subunit (complex TM-score: 0.69) but failed to recapitulate accurate interfaces (ICS score: 0.17) because it was too large to refine (2847 residues in total) starting from the medium quality of initial subunit structure (Figure S1). In addition, C-terminal domains were not covered by detected oligomer templates resulting in huge errors (interface RMSD: 20.76 Å). For H1060v2, H1060v3, H1060v4, and H1060v5, we were able to find oligomer templates through either sequence-based or structure-based search (PDB ID: 5NGJ for H1060v2 and H1060v3, 6V8I for H1060v4, and 4V96 for H1060v5). Based on these templates, we generated oligomer structures having reasonable global subunit arrangements (oligomer TM-score: 0.88, 0.84, 0.73, and 0.95, respectively) but again failed to accurately model the interfaces (ICS score: 0.10, 0.12, 0.21, and 0.50, respectively) in part due to the large sizes of the proteins (1392 residues, 894 residues, 1680 residues, and 1224 residues for each target).

3.3 | Interchain contact predictions enabled to generate oligomer structures from scratch

As shown in Figure 4A, the predictions that most stood out from those of other groups were made primarily with the gradient-based fold-and-dock protocol that models oligomer structures starting from scratch based on interchain contacts predicted by deep learning-based methods or derived from partial templates. For the eight targets for which we used the gradient-based fold-and-dock approach, the resulting models were better than those produced using traditional template-based or ab initio docking approaches, with summed Z-scores 5.4 units higher than the next best group. For H1065, T1048, T1083, T1084, and T1087, reasonable interchain contacts were predicted using our deep learning-based methods resulting in better oligomer models with Z-score > 1.5 in all cases. Four cases (T1048, T1083, T1084, and T1087) are helical bundles; this simplicity in topology likely makes it easier to predict interchain contacts and to generate accurate models based on the gradient-based fold-and-dock method.

The quality of the predicted oligomer structures is correlated with the predicted interchain contact quality measured by F1-score as shown in Figure 3B. When interchain contacts were predicted accurately (T1048 and T1084, both having F1-score > 30.0), we were able to predict high accuracy oligomer structures not only having good
global arrangements (oligomer TM-score: 0.83 and 0.91, respectively) but also having accurate interface structures (ICS: 0.58 and 0.84, respectively). For T1048, we were the only group predicting the correct oligomer structure, exceeding the next best group by 0.4 in ICS score and by 0.5 in oligomer TM-score; accurate oligomer structure modeling was made possible by accurate prediction of both intrachain and interchain contacts (Figure 3C). For T1080, which forms a highly intertwined homo-trimer structure (Figure 3D), we generated a relatively better model (Z-score: 2.6) based on accurate predicted interchain contacts for the intertwined interactions at the C-terminal part of the target, but we failed to capture intertwining patterns at the N-terminal part resulting in a less accurate overall oligomer structure (ICS: 0.13, oligomer TM-score: 0.61).

For H1097 (Figure 3E), we identified 121 quite divergent oligomer templates from the PDB100 database. These contained many insertions and deletions, and it was expected to form highly intertwined oligomer structures from the templates. To generate intertwined models, we used the gradient-based fold-and-dock protocol guided by interchain pairwise distance and orientation distributions from 121 detected oligomer templates. With interchain restraints derived from trRosetta outputs, the gradient-based fold-and-dock protocol built a reasonable quality model (ICS: 0.44, oligomer TM-score: 0.75) that ranked first. The oligomer model of the next best group (likely using a template-based approach) has an ICS score of 0.31 and oligomer TM-score of 0.68.

3.4 | Ab initio docking approach was successful only for a few cases

With ab initio docking, we were able to predict structures having oligomer TM-score higher than 0.6 only for two targets: H1081 and T1078. For H1081 (Figure 4C), we were asked to build a homo 20-mer structure by combining two homo-decamer subunits. The homo-decamer subunit structure was first predicted by RosettaCM28 based on the PDB structures having sequence identity over 70%. Homo-20-mer structures were generated by sampling the rigid body degrees of freedom (rotation and translation along the common symmetry axis) as described in the method section. Because decamer subunits were quite accurate and the system symmetry reduces six rigid-body degrees of freedom to just two, a reasonable quality complex structure
(ICS: 0.35, oligomer TM-score: 0.97) was generated. The errors in translational and rotational degrees of freedom are 4 Å and 5°, respectively. For T1078, we modeled a complex structure by symmetric docking with the subunit structure submitted as model 1 for the BAKER group in the TS category. As the N-terminal of the subunit was predicted to have low accuracy by DeepAccNet36 (Figure S2A), our accuracy prediction method, we trimmed the N-terminal part (residue 1-13) for docking and reconstructed it after selecting the final five models to submit. We generated a roughly correct oligomer structure (ICS: 0.25, oligomer TM-score: 0.67, Figure S2B) reflecting the quality of the subunit structure used for docking (GDT-TS: 66.7).

For H1060v1 and T1054, we failed to predict correct binding poses despite having subunit models with the right fold (subunit TM-score > 0.7), primarily due to local inaccuracies at the interface. For example, for T1054, the subunit has 80.7 GDT-TS to the experimental structure, but the N-terminal helix (which is missing in the crystal structure) was mislocated to the interface region as shown in Figure 4D. It hindered generating correct binding pose during docking, resulting in a complex structure having the wrong interface.

3.5 Assembly modeling can improve subunit quality when it provides correct interface information

To see the effects of considering binding partners on the subunit modeling for oligomer targets, we compared the GDT-TS values of model 1 structures from BAKER-experimental to those of the subunit structures modeled as monomers (Figure 5A). To eliminate differences coming from the quality of MSAs used to model the structures, we re-modeled subunit structures using our CASP14 tertiary structure modeling method (BAKER-ROSETTASERVER) with the same MSA used for oligomer modeling. The evaluation unit definition posted on the CASP14 web page (https://predictioncenter.org/casp14/domains_summary.cgi) was used for analysis.

The cases where modeling in oligomer contexts generated better subunit structures tended to have flexible regions at the interface (52% of interface residues did not have regular secondary structures), and we were able to predict interface contacts correctly using deep learning-based methods or templates. For T1065s1-D1 (Figure 5B), two beta hairpins (residues 87-92 and 111-118 highlighted by orange arrows) interact with an adjacent subunit. By modeling the entire complex together using the gradient-based fold-and-dock method, those hairpins moved to more correct positions to have better interactions with the binding partner resulting in overall rearrangement of secondary structure components with a 14.7 GDT-TS improvement. For T1095-D1 (one of the subunits for H1097, Figure 5C), the orientations of C-terminal helices are stabilized by interactions with neighboring subunits, making models without considering binding partners less accurate than models generated for the holo-complex. In some cases like T1034-D1, the subunit quality modeled as complex was worse than that modeled as monomer because our oligomer models were generated based on the wrong oligomer template (Figure S3).

4 CONCLUSION

We used a new gradient-based fold-and-dock approach incorporating predicted intra- and interchain contacts to build reasonably accurate
Models of protein assemblies in CASP14. This new gradient-based fold-and-dock approach outperformed the other more traditional template-based or ab initio docking approaches. Moreover, the inclusion of binding partners during the folding/docking process led to improvements in subunit modeling in regions at oligomer interfaces. We also obtained good results with a template-based approach, using subunit structures generated by deep learning-based structure prediction methods to find distant templates based on structural similarity search.

There is still considerable room for improvement in the modeling of higher-order assemblies. The performance of the fold-and-dock approach highly depended on the quality of predicted interchain contacts, and advances in deep learning-based interchain contact or distance prediction methods could considerably improve this approach. Predicting high accuracy complex structures based on distant templates remains challenging, as they only provide clues to the overall structure but not detailed interaction information on the interface. Moving forward, deep learning methods that utilize both MSA and template information, either to predict residue pairwise interactions for use in fold-and-dock protocols or to predict complex structure coordinates directly, are likely to become increasingly powerful.

5 | AVAILABILITY

Deep learning models (trRosetta-homo, trRosetta-discont) and a pyRosetta script for gradient-based fold-and-dock are available at https://github.com/RosettaCommons/trRosetta2 under the MIT license.

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CONFLICT OF INTERESTS

The authors declare no conflict of interest.

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**SUPPORTING INFORMATION**

Additional supporting information may be found online in the Supporting Information section at the end of this article.

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