Concomitant diabetes with atrial fibrillation and anticoagulation management considerations

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Atrial fibrillation is a highly prevalent cardiac arrhythmia. It is associated with numerous co-mobilities. Approximately 30% of diabetic patients have atrial fibrillation and 15% of atrial fibrillation regulation patients have diabetes mellitus. Diabetes increases the likelihood of the development of atrial fibrillation and contributes to the high risk of thromboembolism seen in patients with both diabetes and atrial fibrillation. Chronic kidney disease is often a consequence of diabetes and presents an additional challenge to the management of patients with both atrial fibrillation and diabetes. All non-vitamin K oral anticoagulants are partially eliminated via the kidney and must be carefully prescribed according to strict dosing schedules to avoid anticoagulation overdose. However, NOACs have the advantage of being associated with less progressive impairment of renal function compared with vitamin K antagonists therapy in both diabetics and non-diabetics. Otherwise, diabetic patients benefit from NOAC therapy as opposed to vitamin K antagonists to a similar extent as patients without diabetes. This review deals with anticoagulation treatment in patients with fibrillation and diabetes mellitus, often complicated by progressive renal impairment.

Introduction

The prevalence of atrial fibrillation continues to increase because of better case recognition and improved survival of patients already with atrial fibrillation or with comorbidities which are likely to lead to atrial fibrillation. Among these comorbidities are diabetes and chronic kidney disease (CKD), both of which promote the development of atrial fibrillation, worsen outcomes associated with atrial fibrillation, and present challenges with the treatment of the arrhythmia with anticoagulant therapy. This review focuses on the prognosis and management of atrial fibrillation and its complications in patients with diabetes, impaired renal function, or both conditions.

Diabetes

The global burden of diabetes is currently estimated to affect 463 million individuals or 1 in 11, according to the International Diabetes Federation, and projections estimate a 48% increase in the prevalence to 700 million people by 2045.1 The vast majority (80-90%) have type 2 diabetes. Diabetes predisposes to the development of atrial fibrillation, thus in the Framingham study, the odds for atrial fibrillation was increased 40% for men and 60% for women.2 In people with atrial fibrillation not receiving anticoagulation, diabetes is a risk factor for ischaemic stroke1-3 (Figure 1).

In addition to the increased risk for atrial fibrillation, diabetes is associated with a two- to four-fold increased risk...
for atherosclerotic cardiovascular disease (CVD) compared to the background population, and 30-40% with diabetes are affected by chronic kidney disease characterized by increased albuminuria and/or decreased glomerular filtration rate (GFR; or diabetic kidney disease, DKD) (Figure 1). The presence of kidney disease in diabetes increases the risk of CVD and the combination is associated with excess mortality. Increasing albuminuria or decreasing GFR increases the risk of CVD and mortality6 and the risk for end-stage kidney disease (ESKD). Furthermore, albuminuria and GFR levels form the basis on which chronic kidney disease is staged according to the Kidney Disease Improving Global Outcome guidelines.7 Early-onset DKD may shorten life expectancy by ~15 years,8 and excess mortality in diabetes is primarily due to mortality in DKD7; with a six-fold increased risk for mortality with albuminuria, and 15-fold increased risk with albuminuria and reduced GFR.9

As already stated, the prevalence of atrial fibrillation is increased in diabetes. It has been estimated that 15% of subjects with diabetes have atrial fibrillation10 and conversely that 30% of atrial fibrillation patients have diabetes, among a population from the ORBIT-AF (Outcomes Registry for Better Informed Treatment of Atrial Fibrillation) registry, a prospective, nationwide, outpatient registry of people with incident and prevalent AF including 9749 subjects with atrial fibrillation.11 In a large electronic health record database with administrative claims data, one in three with atrial fibrillation had diabetes.12 Similarly, analysis of a large database including 293 124 individuals found diabetes to be a strong independent risk factor with 100% increased odds for atrial fibrillation.13 Even the metabolic syndrome, with a cluster of multiple cardiovascular (CV) risk factors including hypertension, obesity, dyslipidaemia, and dysglycaemia, often preceding manifest diabetes, is associated with excess risk for atrial fibrillation, and even more when combined with chronic kidney disease.14

The prevalent combination of diabetes and atrial fibrillation is also reflected in the randomized controlled clinical trials (RE-LY, ROCKET-AF, ARISTOTLE, ENGAGE AF-TIMI 48) investigating non-vitamin K oral anticoagulants (NOACs) compared with warfarin, in subjects with non-valvular atrial fibrillation, where 23-40% of subjects included had diabetes.15 Diabetes has been shown to increase the risk of incident AF,7 as it promotes maladaptive and pro-fibrillatory structural (mediated by oxidative stress, advanced glycosylation end products, and connective tissue changes), electromechanical, and autonomic nervous system changes.16

Not only is diabetes associated with increased occurrence of atrial fibrillation but also based on follow-up of 11 140 type 2 diabetes subjects, the combination of diabetes and atrial fibrillation is associated with increased risk for death (61% increased risk) and major cerebrovascular deaths (68% increased risk).17 This increased event rate may be explained by the underlying pathophysiological mechanisms where diabetes with hyperglycaemia and AF contribute to the risk of stroke and thromboembolism via partly shared pathophysiological pathways. Thus, both promote oxidative stress and inflammation which leads to endothelial damage, increasing susceptibility to thrombus formation. Also, diabetes and AF are independently associated with platelet and fibrinogen activation contributing to changes in the blood constituents which also increases the likelihood of thrombus formation. Adding the changes in left atrial dimensions and abnormal flow and stasis further increases the propensity for thromboembolism.16

This was supported and extended from the ORBIT-AF register showing that patients with diabetes (30%) were younger, more likely to have hypertension, chronic kidney disease, and were less likely to have left atrial enlargement.18
of 0.80 (0.64–0.99) and a significant interaction with diabetes ($P = 0.037$).

In the ARISTOTLE trial, there were similar benefits on reducing stroke, decreasing mortality, and causing less intracranial bleeding with apixaban compared to warfarin in people with and without diabetes. People with diabetes receiving apixaban had lower rates of stroke and systemic embolism (HR of 0.75, 95% Cl 0.53–1.05), all-cause mortality (HR 0.83, 95% Cl 0.67–1.02), CV mortality (HR 0.85, 95% Cl 0.66–1.20), intracranial haemorrhage (HR 0.49, 95% Cl 0.25–0.95), and a similar rate of myocardial infarction (HR 1.02, 95% Cl 0.62–1.67) compared with warfarin.

Figure 2. Outcomes of diabetic patients in Phase 3 non-vitamin K oral anticoagulants versus warfarin (19, 20–22). CV = cardiovascular; ICH = intra cranial hemorrhage SE = systemic embolus.

| ROCKET AF | ARISTOTLE | RE-LY | ENGAGE-AF |
|-----------|-----------|-------|-----------|
|           |           |       |           |
| HR (95% Cl) | HR (95% Cl) | HR (95% Cl) | HR (95% Cl) |
| CV death* | 0.80      | 0.81  | 0.93      |
| Stroke/SE | 0.82      | 0.75  | 0.93      |
| Major bleeding† | 1.00 | 0.96  | 0.79      |
| ICH       | 0.62      | 0.58  | 0.40      |

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Atrial fibrillation, diabetes, renal failure, and anticoagulants

(8.9% vs 11.6%, \(P < 0.0001\)) including ischaemic stroke (5.4% vs. 6.7%, \(P < 0.0001\)).\(^{22}\) In another study using MarketScan claims data for a cohort of only type 2 diabetes with non-valvular AF (NVAF), 10,700 users of the NOAC rivaroxaban and 13,946 users of warfarin were identified. The study found rivaroxaban was associated with a 25% (95% CI 4.41) reduced risk of MACE compared to warfarin. Major bleeding risk did not significantly differ between cohorts (HR 0.95).\(^{23}\)

When applying the CHA2DS2-VASc score to assess risk for stroke in atrial fibrillation the D (diabetes) is defined as fasting blood glucose >7 mmol/L, or treatment with oral hypoglycaemic drugs, and/or insulin.\(^{24}\) It has now been included in the ESC guideline that the excess risk for stroke associated with diabetes, is very similar in type 1 and type 2 diabetes except perhaps for a slightly increased risk in type 2 diabetes compared to type 1 in patients <65 years of age.\(^{25}\) The risk for stroke increases with longer diabetes duration, as well as with more diabetes comorbidities such as retinopathy.\(^{26,27}\) In diabetes guidelines, diabetes is also diagnosed by elevated haemoglobin A1c >6.5% or 48 mmol/L, which does not require fasting and this could be an alternative.

The impact of diabetes therapy on the risk for stroke and AF has been debated. Although the SGLT2 inhibitor empagliflozin was associated with a reduction in major adverse CV events in the CV outcome study EMPA-REG, a non-significant trend towards an increase in stroke was seen,\(^{28}\) but this has not been seen in other SGLT2 inhibitor studies. With the GLP1 receptor agonist, a reduction in fatal and non-fatal stroke has been seen in the CV outcome studies HR 0.84 (95% CI 0.76-0.93).\(^{29}\) This was not evaluated in the context of atrial fibrillation. It has been suggested that metformin and pioglitazone may reduce the risk for AF.\(^{30}\) Recently, it was suggested that the SGLT2 inhibitor dapagliflozin-reduced occurrence of AF in type 2 diabetes in the CV outcome study DECLARE-TIMI 58. Dapagliflozin reduced the risk of AF/AFL events by 1% (264 vs 325 events; 7.8 vs 9.6 events per 1000 patient-years; HR 0.81 (95% CI 0.68-0.95); \(P = 0.009\)). The reduction in AF/AFL events was consistent regardless of the presence or absence of a history of AF/AFL or ASCVD at baseline. Dapagliflozin also reduced the total number (first and recurrent) of AF/AFL events incidence rate ratio, 0.77 (95% CI 0.64-0.92); \(P = 0.005\)).\(^{31}\) This finding was exploratory but very interesting as the SGLT2 inhibitors are now increasingly recommended for patients with type 2 diabetes,\(^{32}\) but the finding should be confirmed in additional studies. This has not been seen with other glucose-lowering medications, and intensive glycaemic control does not affect the risk for AF.\(^{33}\)

A prevalent complication in diabetes is the diabetic foot, or major adverse limb events (MALE) in clinical studies. In diabetes management, this is the most expensive complication related to diabetes. Interestingly, NOACs were found to reduce the risk of MALE in atrial fibrillation patients with diabetes when compared with warfarin. This was seen in a retrospective claims’ analysis in patients with atrial fibrillation and comorbid diabetes. The study identified 10,700 people treated with rivaroxaban and 13,946 patients treated with warfarin. At baseline, 11% of people had peripheral artery disease, 5.1% had coronary artery disease, and 5.1% had a prior MALE. Compared to warfarin, rivaroxaban was associated with a 63% (95% CI 35-79) reduced risk of MALE. For major limb amputations, the reduced risk with rivaroxaban compared with warfarin was 80% (95% CI 31-94).\(^{34}\) Similar results were found based on an analysis from the Taiwan National Health Insurance Research Database, where a total of 20,967 and 5812 consecutive AF patients with diabetes taking NOACs and warfarin, respectively were identified. Here, NOAC treatment was associated with a lower risk of major adverse CV events [adjusted HR: 0.88 (95% CI 0.78-0.99), \(P = 0.028\)], major adverse limb events [HR: 0.72 (95% CI 0.57-0.92); \(P = 0.0083\)], and major bleeding [HR: 0.67 (95% CI 0.59-0.76); \(P < 0.0001\)] compared to warfarin.\(^{35}\)

Given the limitation of non-randomized observations, this needed to be confirmed in a pre-specified subgroup of people with peripheral artery disease and diabetes in the Phase III COMPASS study which compared low-dose rivaroxaban + aspirin to aspirin alone in 7470 participants with peripheral artery disease.\(^{36}\) In the COMPASS study, rivaroxaban 2.5 mg twice daily with aspirin 100 mg once daily compared to aspirin alone, reduced MALE including major amputation with an HR of 0.54 (95% CI 0.35-0.82; \(P = 0.0037\)).\(^{37}\) The effect was smaller in people with comorbid diabetes, but there was no significant interaction with diabetes.\(^{38}\)

Thus, diabetes is prevalent, associated with increased risk for atrial fibrillation, and also other adverse CV and kidney outcomes. It may be argued that diabetes in atrial fibrillation should be considered more than ‘1’ point in the CHA2DS2-VASc risk score.

Impact of chronic kidney disease in patients with diabetes and atrial fibrillation

It is well established that patients with NVAF and chronic kidney disease (CKD) are at a higher risk of ischaemic stroke,\(^{39}\) although the presence of CKD (or renal impairment) unlike the diagnosis of diabetes is not implemented in the currently recommended risk stratification.\(^{40}\) Indeed, the inclusion of renal dysfunction into the CHA2DS2-VASc score lacks substantial additive predictive value.\(^{36}\) This is likely because the predictive value of CKD is already captured by other score components within CHA2DS2-VASc that strongly associate with CKD, most importantly age, hypertension, and diabetes. Hence, hypertension and diabetes represent the most common global causes of CKD,\(^{37}\) while the prevalence of both CKD and diabetes associates with age. In diabetes and CKD, several overlapping pathophysiological factors related to alterations in the three components of Virchow’s triad (changes in blood constituents, blood flow, and vessel wall and/or atrial tissue) contribute to the prothrombotic state in coexisting AF with either CKD or diabetes.\(^{38,39}\)

Interestingly, diabetic retinopathy did not emerge as an independent predictor for either stroke or severe bleeding,\(^{40}\) whereas regardless of AF, patients with CKD are perhaps at increased risk of bleeding due to several mechanisms that contribute to a prothrombotic state.\(^{38,39}\) During anticoagulation, the increased bleeding risk may be further
exacerbated by the significant effects of renal impairment on the pharmacokinetics and/or pharmacodynamics of anticoagulant drugs.\textsuperscript{40} Hence, abnormal renal function defined as chronic dialysis, renal transplantation, or serum creatinine $\geq 200 \mu$mol/L (which would relate to a CrCl of about 25 or 30 mL/min in a female or male patient at the age of 70 years and body weight of 70 kg) is a parameter accounting for 1 point in the HAS-BLED score\textsuperscript{41} that is recommended as a tool for bleeding risk assessment in patients with AF.\textsuperscript{24}

Against this background, the use of NOACs and particularly their safety in patients with CKD was followed with great interest from the beginning, because the four available NOACs for anticoagulation in AF are all to varying degrees eliminated by the kidney.\textsuperscript{40} In this regard, it was reassuring that in patients with renal impairment the safety and efficacy of NOAC compared with warfarin treatment was consistent with patients without CKD in the four landmark NOAC RCTs.\textsuperscript{42–45} However, patients with a creatinine clearance (CrCl) $< 25–30$ mL/min as estimated with the Cockcroft–Gault equation were generally excluded from these pivotal Phase 3 RCTs. Consequently, adequate safety and efficacy data are lacking in patients with CKD stage 4 (CrCl $< 15$ mL/min) and CKD stage 5, i.e. ESKD (CrCl $< 15$ mL/min) including the relatively large group of patients with diabetes and advanced CKD.\textsuperscript{37} Nevertheless, NOAC use in AF patients with CKD and a CrCl greater than 30 mL/min is based on evidence from RCT and thus not restricted in this vulnerable patient group.\textsuperscript{24,40}

The same considerations may apply to stroke risk assessment and selection of oral anticoagulants in these patients including patients with DKD and a CrCl $> 30$ mL/min. Moreover, pharmacokinetic data indicated that the change in NOAC plasma levels for the three factor Xa inhibitors apixaban, edoxaban, and rivaroxaban in severe CKD (CrCl 15–30 mL/min) were similar to patients with moderate renal impairment and led to their approval in patients with severe CKD.\textsuperscript{46} Accordingly, reduced dosing regimens for these drugs are feasible options for anticoagulation in patients with severe non-ESKD.\textsuperscript{24,46}

The use of OAC in patients with ESKD on maintenance dialysis is still a matter of debate.\textsuperscript{38,39,47} In Europe, NOACs are not approved and not recommended in patients with CrCl $< 15$ mL/min.\textsuperscript{24,46} In this regard, it is important to emphasize that although the FDA does mention the usage of oral anticoagulant in patients on dialysis with recommended dosing for VKA, apixaban, and rivaroxaban, the FDA itself has not endorsed this indication for use in this population as recently noted.\textsuperscript{39}

Data from observational studies suggest a possible reduction in the risk of bleeding in patients with ESKD who take an NOAC compared to VKA\textsuperscript{48,49} and possibly a similar risk of embolic and major bleeding events associated with the treatment with apixaban and rivaroxaban.\textsuperscript{50} Currently, there are at least three ongoing RCTs that are designed as safety (Phase 2) trials enrolling relatively low numbers (150–855) patients on dialysis including patients with diabetes. They will compare NOACs with VKAs or oral anticoagulation with no use anticoagulants (NCT03987711, NCT02933697, and NCT02886962). The challenge to conduct studies in this setting has been recently highlighted by the early termination of the RENAL-AF trial, investigating apixaban vs. warfarin in AF patients on haemodialysis, with inconclusive data on relative stroke and bleeding rates.\textsuperscript{51}

**Observational studies in AF patients and renal outcomes**

Of note, unlike AF patients on dialysis, to the best of our knowledge, there are no ongoing RCTs to explore the impact of OAC with VKA and NOACs in patients with non-dialysis advanced CKD particularly in patients with Stage 4 CKD (CrCl $< 30$ mL/min). We thus still face an unmet need of evidence from RCTs in this vulnerable patient cohort. Nevertheless, because there is a progressively increased risk of both ischaemic stroke and haemorrhage as renal function declines it is important to evaluate the
effectiveness of anticoagulation in this setting, which is the aim of ongoing prospective registries with blinded outcome adjudication in Europe (XARENO, NCT02663076) and Eastern Asia (XARENAL, NCT03746301). Importantly, there is a substantial number of observational studies available in this condition that include by virtue of the relatively high prevalence also AF patients with CKD and diabetes. This is important since a progressive decline in renal function is often observed in diabetes particularly in patients with pre-existing CKD, which associates with a poor prognosis.\(^5\)\(^2\)

Increased vascular calcification processes for which diabetes and CKD share complementary pathophysiology\(^5\)\(^3\),\(^5\)\(^4\) has been identified as a possible mechanism contributing to the decline in renal function in patients with diabetes and CKD (Figure 3). The latter is frequently worsened in the presence of other risk factors for calcification, such as advanced age and hypertension,\(^5\)\(^4\) which are very common in AF patients. While in patients with CKD, a disturbance in calcium-phosphate balance, accumulation of uremic toxins, and severe vitamin K deficiency have been implicated in the pathogenesis of vascular calcification, several other signalling pathways in addition to elevated glucose levels play a role in diabetes although the mechanisms are still not fully understood\(^5\)\(^3\),\(^5\)\(^4\) (Figure 3). In anticoagulated AF patients and particularly in patients with comorbid CKD and diabetes, the use of VKA may aggravate vascular calcification\(^5\)\(^5\),\(^5\)\(^6\) including calcification in the vascular bed of the kidney, thereby contribute to worsening renal function in these patients.\(^5\)\(^6\),\(^5\)\(^7\) This is mechanistically based on inhibition of the vitamin K-dependent gamma-glutamyl carboxylation that applies not only to the clotting factors II, VII, IX, and X but also to the other vitamin K dependent gamma-carboxyglutamic acid (Gla) proteins including the matrix Gla protein (MGP).\(^5\)\(^4\),\(^5\)\(^8\) Matrix Gla protein, which is in the vascular wall mainly produced in vascular smooth muscle cells, represents the most potent endogenous protector against vascular calcification and its diminished function has been linked to vascular calcification during VKA treatment.\(^5\)\(^8\) In contrast, NOACs, such as the factor Xa inhibitor rivaroxaban, due to their different mode of action do not only lack this negative effect, but may even provide beneficial protective effects against vascular injury and renal functional decline by decreasing vascular inflammation, remodelling, and vascular calcifications through reduced protease-activated receptor (PAR) signalling via PAR-1 and PAR-2\(^5\)\(^9\),\(^6\)\(^0\) (Figure 3).

The possible differential impact of anticoagulation with either VKA vs. NOACs provided the biological basis to investigate the effects of these treatments for renal outcomes in addition to thromboembolic and bleeding events in AF patients with diabetes in retrospective real-world studies. Accordingly, a recent study using United States IBM MarketScan data included patients with NVAF and diabetes that newly initiated on rivaroxaban (\(N = 10,017\)) or warfarin (\(N = 11,665\)).\(^6\)\(^1\) Patients with Stage 5 CKD or undergoing haemodialysis at baseline were excluded and baseline covariates between cohorts were adjusted using inverse probability of treatment weighting based on propensity scores. In comparison to warfarin, rivaroxaban was associated with lower risks of acute kidney injury (AKI) events (HR 0.83, 95% CI 0.74–0.92) and development of Stage 5 CKD or need for haemodialysis (HR 0.82, 95% CI 0.70–0.96). The protective effect in favour of rivaroxaban was particularly pronounced in the subgroup of diabetic patients with pre-existing Stage 3–4 CKD for both AKI (HR 0.63, 95% CI 0.49–0.79) and the risk of stage 5 CKD or need for haemodialysis (HR 0.66, 95% CI 0.46–0.94).\(^6\)\(^1\) A similar retrospective study on data from a claims database in Germany evaluated confounder-adjusted risks for AKI and ESKD in AF patients with diabetes who received rivaroxaban (\(n = 6997\)) or the VKA phenprocoumon (\(n = 8545\)).\(^6\)\(^2\) The relative risks for AKI was decreased by 28% (HR 0.72, 95% CI 0.53–0.97) and for ESKD by 68% (HR 0.32, 95% CI 0.19–0.53) in AF patients with diabetes prescribed rivaroxaban vs. phenprocoumon.

Despite the methodological limitations of these observational retrospective studies including potential residual
not restricted to patients with comorbid diabetes.63,64 Retrospective real-world studies in the overall population of AF patients that included also diabetic patients but were not restricted to patients with comorbid diabetes.63,64 Apart from the generally increased risk of bleeding in patients with AF with CKD, there are also concerns about the risk of anticoagulation nephropathy (ARN) in these patients.65,66 Anticoagulation nephropathy is characterized by profuse glomerular haemorrhage leading to renal tubules filled with red cells and red cell casts resulting in AKI.65,66 While ARN may develop in response to any anticoagulant, including VKA and NOACs, it has been particularly associated with overdosing of warfarin with INR levels >3.65,66 Older patients with diabetes and CKD are particularly prone to develop ARN that can trigger episodes of AKI that may occur more frequently in AF patients as previously thought.66 Recurrences of these AKI episodes can in turn accelerate the progression of CKD and are associated with an increased mortality rate.67 Thus, although the pathogenesis of AKI is multifactorial, ARN may contribute to AKI events particularly in AF patients with CKD and diabetes. In this regard, the observational evidence for a significant risk reduction for AKI events by rivaroxaban vs. VKA may at least in part be attributable to a decrease in the rate of ARN.

Summary/conclusion: pending

In the recent 2020 ESC guidelines for the diagnosis and management of atrial fibrillation developed in collaboration with the European Association of Cardio-Thoracic Surgery (EACTS), the importance of an integrated management of AF patients was emphasized to deliver optimized treatment.24 In this guidelines, a core element to guide and simplify management represents the previously suggested simple Atrial Fibrillation Better Care (ABC) holistic pathway (‘A’ Anticoagulation/Avoid stroke; ‘B’ Better symptom management; ‘C’ Cardiovascular and Comorbidity optimization).24 In the ABC pathway, optimal management of comorbidities plays a pivotal role to improve outcome in patients with AF. As discussed in this review, diabetes per se and even more so when associated with CKD represents one of the most important comorbidities that requires optimal management by guideline-directed therapy.69 This is also acknowledged in the 2019 ESC Guidelines on diabetes, pre-diabetes, and CVDs developed in collaboration with the European Association for the Study of Diabetes, by recommending that anticoagulation in all AF patients with diabetes.69 This supports our notion that diabetes in atrial fibrillation should be considered more than ‘1’ score in the CHA₂DS₂-VASc score. In addition to the increased risk for stroke, diabetes is frequently associated with chronic kidney disease. It impacts choice of anticoagulation as reviewed: NOAC provide similar or better protection against stroke compared to VKA, but reduced risk for bleeding, and in addition rivaroxaban compared to VKA provided benefit on CV mortality, MALE, and progression of CKD.

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