Predictive model for the diagnosis of benign/malignant small pulmonary nodules

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Abstract

There is some doubt that all nodules <8 mm are really mainly benign and that simple follow-up is adequate in all cases. The purpose of this study is to create a predictive model for the diagnosis of benign and malignant small pulmonary nodules.

This was a retrospective case–control study of patients who had undergone pulmonary nodule resection at the Zhejiang University Jinhua Hospital. Patients with pulmonary nodules of ≤10 mm in size on chest high-resolution computed tomography were included. Patients’ demographic characteristics, clinical features, and high-resolution computed tomography findings were collected. Logistic regression and receiver-operating characteristic analysis were used to create a predictive model for malignancy.

A total of 216 patients were included: 160 with malignant and 56 with benign nodules. Nodule density (odds ratio [OR] = 0.996, 95% confidence interval [CI]: 0.993–0.998, P = .001), vascular penetration sign (OR = 3.49, 95% CI: 1.39–8.76, P = .008), nodule type (OR = 4.27, 95% CI: 1.48–12.29, P = .007), and incidence surrounding nodules (OR = 0.18, 95% CI: 0.04–0.84, P = .03) were independently associated with malignant nodules. These factors were used to create a mathematical model that had an area under the receiver-operating characteristic curve of 0.744. Using a cut-off of 0.762 resulted in 63.1% sensitivity and 75.0% specificity.

This study proposes a pulmonary nodule prediction model that can estimate benign/malignant lung nodules with good sensitivity and specificity. Mixed ground-glass nodules, vascular penetration sign, density of lung nodules, and the absence of incisure signs are independently associated with malignant lung nodules.

Abbreviations: CI = confidence interval, CT = computed tomography, HRCT = high-resolution computed tomography, mGNN = mixed ground-glass nodules, pGNN = pure ground-glass nodules, SD = standard deviation.

Keywords: benign, computed tomography, malignant, predictive value of tests, pulmonary nodule

1. Introduction

Lung cancer causes an estimated 1.6 million deaths every year, being the leading cause of cancer-related deaths in the world.[1–3] In China, lung cancer is the most common cancer and ranks 1st among the causes of cancer-related deaths, at 24.4%. [4,5] Tobacco use, occupational exposure (such as asbestos, coal, or silica), and family history of lung cancer are the 3 main risk factors.[6–8] Although smoking is the main risk factor for lung adenocarcinoma, it is not the only one, and nonsmokers can develop lung cancer, but lung cancer in never-smokers is a heterogeneous group of diseases.[9,10] In China, the rates of tobacco use and environmental exposure are high, especially in the setting where many households still use coal for heating and cooking.[10,11]

As for any cancer, early diagnosis of lung cancer yields the best prognosis, with a 5-year survival of 82% for stage IA and 6% for stage IV.[12] Unfortunately, many early stage lung cancers are asymptomatic and are at an advanced stage when discovered.[13] If lung cancer could be clinically diagnosed early, the prognosis of patients could be significantly improved.

Chest computed tomography (CT) is a commonly used imaging examination. The detection rate of lung nodules is much higher with CT than with an X-ray examination, and the National Lung Screening Trial showed that LDCT could reduce lung cancer mortality by 20%. [14,15] Indeed, the detection rates for stages I, II, III, and IV lung cancer were 50%, 7%, 21%, and 22%, respectively, in the low dose spiral computed tomography (LDCT) arm, compared to 31%, 8%, 25%, and 36% in the chest X-ray arm. Therefore, LDCT is currently the best screening method for lung cancer, but the qualitative diagnosis of pulmonary nodules remains a difficult problem for clinicians.

Indeed, the nature of pulmonary nodules is confirmed by invasive or minimally invasive pathologic examination, with significant burdens to the patient and the healthcare system.

Nomograms are attempting to predict the likelihood of malignancy of lung nodules. A prospective study showed that the malignancy rate of nodules of <5 mm was 0.4%, while the rates were 1.3% for nodules of 5 to 10 mm and 15.3% for nodules >10 mm.[16] According to the pulmonary nodule guidelines, pulmonary nodules of >8 mm are generally of concern by clinicians, while nodules <8 mm are also of concern, but the clinicians are often limited in what they can offer other than serial follow-up.[17]
Nevertheless, there is some doubt that all nodules <8 mm are really mainly benign and that simple follow-up is adequate in all cases. Therefore, the aim of the present study was to collect and analyze the data of pulmonary nodules that were pathologically diagnosed at our hospital. The results should provide a better understanding of the judgment of benign vs malignant pulmonary nodules, and subsequently improve the rate of early lung cancer diagnosis.

2. Materials and methods

2.1. Study design and patients

This was a retrospective case-control study of patients who had undergone pulmonary nodule resection at the Zhejiang University Jinhua Hospital between January 2015 and March 2018. The inclusion criterion was patients with pulmonary nodules of <10 mm in size on preoperative chest high-resolution CT (HRCT). The exclusion criteria were: 10% increase in maximum diameter within 3 months; personal or family history of tumor; or lesions completely calcified.

The study was approved by the ethics committee of Zhejiang University Jinhua Hospital and was conducted in accordance with the Helsinki Declaration of 1964 (revised 2008). The need for individual consent was waived by the committee.

2.2. Evaluation of CT features

The HRCT was conducted with a 64-detector CT scanner (Philips iCT 256 or Philips Brilliance 64, The Netherlands). Targeted thin-section helical CT scans were obtained from the lung apices to the level of the middle pole of both kidneys. Three-dimensional reconstruction methods were performed with a CT layer thickness of 1 mm. Two chest radiologists with at least 5 years of working experience independently read each chest CT examination. The radiologists were blind to the pathology results. Any inconsistencies were resolved by discussion to reach a consensus.

If the patient had multiple nodules, only the nodule with the largest diameter was included in this study. Pulmonary nodules were classified as being on the left or right side, as being in the upper, middle, or lower lobe (the lingual lobe was classified as the middle lobe), and as being single or multiple. The long and short axes of the nodules were measured, and the ratio of the short to long axis was calculated. Radiologic signs such as spiculation (sunburst appearance), cavitation sign (gas-filled space seen as a lucency or low-attenuation area), calcification, vascular penetration sign (when a pulmonary artery passes through the nodule), pleural adhesions, incisure surrounding nodules (a notch in the outer wall), nodules average density, and nodular type (solid, mixed, and pure ground glass) were described. The nodules were classified into solid nodules (appearing as solid nonhazy nodules), mixed ground-glass nodules (mGGN, appearing as nodules with both hazy and nonhazy areas), and pure ground-glass nodules (pGGN, appearing as an area of hazy increased attenuation).

2.3. Data collection

Sex, age, smoking history, personal tumor history, and family history of cancer were collected. Smoking was defined as smoking for >6 months, including being exposed to secondhand smoke. The cases in this study were from 2015 to 2018; therefore, some cases were pathologically diagnosed according to the old histologic classification (2004 WHO classification of tumors of the lung, pleura, thymus, and heart). The specimens were reclassified according to the 2015 WHO classification of tumors of the lung, pleura, thymus, and heart).

2.4. Statistical analysis

The SPSS 19.0 (IBM Corp, Armonk, NY) was used for statistical analysis. Categorical variables are presented as frequencies and percentages and were analyzed using the Chi-squared test or Fisher exact test, as appropriate. Continuous variables were tested for normality using the Kolmogorov–Smirnov test. Normally distributed continuous variables are presented as mean ± standard deviation and were compared using the Student t test. Non-normally distributed continuous variables are presented as median (range) and were compared using the Mann–Whitney U test. Multivariable logistic regression analysis was used to identify the factors independently associated with malignant pulmonary nodules. A prediction model was established for the risk of a pulmonary nodule of being malignant. Receiver-operating characteristic analysis was conducted for the prediction model. P < .05 was considered statistically significant.

3. Results

3.1. Characteristics of the patients

A total of 216 patients met the inclusion criteria, including 160 cases of malignant nodules and 56 cases of benign nodules. Among all patients, there were 21 smokers and 195 nonsmokers, and the patients were 51.2 ± 10.9 years. There were no differences between the 2 groups regarding age, gender, location, and number of nodules (all P > .05), but there was a lower frequency of smokers in the malignant nodule group (5.6% vs 16.1%, P = .02) (Table 1).

3.2. Imaging features

There were no differences between benign and malignant nodules regarding spiculation, cavitation sign, calcification, and pleural adhesions (all P > .05). Compared with the benign nodules, the malignant nodules showed higher frequencies of the vascular penetration sign (46.3% vs 21.4%, P = .001) and mGGN and pGGN (36.9% vs 16.1% and 45.6% vs 39.3%, P < .001), lower frequency of the incisure sign (4.5% vs 16.1%, P = .001), longer long axis (6.9 ± 1.7 vs 6.1 ± 2.2 mm, P = .01), longer short axis (5.6 ± 1.5 vs 4.8 ± 1.63 mm, P = .002), and higher nodule density (−632.1 ± 113.4 vs −552.0 ± 206.4 HU, P = .007) (Table 1). Figures 1–3 present typical cases.

3.3. Pathologic characteristics

The malignant nodule group included 2 cases of atypical adenomatous carcinoma, 87 cases of adenocarcinoma in situ, 58 cases of minimally invasive carcinoma, and 12 cases of invasive adenocarcinoma and 1 case of carcinoid. The pathologic lesion size was higher in the malignant group (6.9 ± 1.7 vs 6.1 ± 2.2 mm, P = .01).

3.4. Multivariable logistic regression analysis and model establishment

All the influencing factors were included in the multivariable logistic regression analysis. The results showed that only 4 factors...
Table 1
Characteristics of the patients and morphologic features on high-resolution computed tomography of benign and malignant lesions.

|                           | Benign | Malignant | P    |
|---------------------------|--------|-----------|------|
| N                         | 56     | 160       | .172 |
| Age, yrs (mean ± SD)      | 52.9±10.6 | 50.6±11.0 | .172 |
| Gender, n (%)             |        |           | .079 |
| Male                      | 20 (35.7) | 37 (23.1) |      |
| Female                    | 36 (64.3) | 123 (76.9)|      |
| Smoking, n (%)            | 9 (16.1) | 9 (5.6)   | .023 |
| Location, n (%)           |        |           | .363 |
| Left                      | 21 (37.5) | 58 (36.3) | .867 |
| Right                     | 35 (62.5) | 102 (63.8)|      |
| Upper                     | 32 (57.1) | 101 (63.1)|      |
| Middle                    | 9 (16.1) | 13 (8.1)  |      |
| Lower                     | 15 (26.8) | 46 (28.6)|      |
| Number of nodules, n (%)  |        |           | .555 |
| Single                    | 13 (23.2) | 20 (12.5) |      |
| Multiple                  | 43 (76.8) | 140 (87.5)|      |
| Spiculation, n (%)        | 7 (12.5) | 20 (12.5) | 1.000|
| Cavitation sign, n (%)    | 7 (12.5) | 31 (19.4) | .245 |
| Calcification, n (%)      | 1 (1.8)  | 0         | .259 |
| Vascular penetration sign, n (%) | 12 (21.4%) | 74 (46.3%) | .001 |
| Pleural adhesions, n (%)  | 12 (21.4%) | 27 (16.9%) |      |
| Incisure surrounding nodules, n (%) | 9 (16.1%) | 4 (2.5%) | .001 |
| Nodule type, n (%)        |        |           | <0.001|
| Solid                     | 25 (44.6%) | 28 (17.5%) |      |
| Mixed                     | 9 (16.1%) | 59 (36.9%) |      |
| Pure ground glass         | 22 (39.3%) | 73 (45.6%) |      |
| Long axis, mm (mean ± SD) | 6.1±2.2 | 6.9±1.7 | 0.012|
| Short axis, mm (mean ± SD)| 4.8±1.6 | 5.6±1.5 | 0.002|
| Ratio of short-axis to long-axis of the nodule (mean ± SD) | 0.82±0.14 | 0.82±0.13 | 0.937|
| Nodule density, HU (mean ± SD) | −552.0±206.4 | −632.1±113.4 | 0.007|
| Histology, n (%)          |        |           |      |
| Benign                    | 56 (100%) |          |      |
| Adenocarcinoma in situ    | 2 (1.2%) | 87 (54.4%) |      |
| Minimal invasive carcinoma | 58 (36.3%) |          |      |
| Invasive adenocarcinoma   | 12 (7.5%) | 0.6%     |      |
| Carcinoid                 | 0       |           |      |
| Pathologic size, mm (mean ± SD) | 6.1±2.2 | 6.9±1.7 | 0.012|

SD = standard deviation.

had statistical significance: nodule density (odds ratio [OR] = 0.995, 95% confidence interval [CI]: 0.993–0.998, P = .001), vascular penetration sign (OR = 3.39, 95% CI: 1.39–8.76, P = .008), nodule type (OR = 4.27, 95% CI: 1.48–12.29, P = .007), and incisure surrounding nodules (OR = 0.18, 95% CI: 0.04–0.84, P = .03) (Table 2). Hence, the prediction equation P = e/z/(1 + e) was obtained, where z = −2.957 − (0.004*8)X1 + (1.096*8)X2 + (1.198*8)X3 − (1.811*8)X4, X1 was “lung nodule density” (in HU), X2 was “vascular penetration sign” (with 1, without = 0), X3 was nodule type (mGGN = 1, solid or pGGN = 0), and X4 was incisure (with 1, without = 0).

The omnibus testing of model coefficients (interconnected X2 = 70.667, df = 19, P < .002) indicated that the model passed the omnibus tests. The maximum likelihood ratio test showed that the maximum likelihood value (−2*log likelihood) was 176.559, the Cox–Snell fitting value R2 was 0.279, and the Nagel–Kerke fitting value R2 was 0.409, indicating that the model fitting effect was good. The model passed the Hosmer–Lemeshow test (X2 = 4.051, P = .852).

4. Discussion

Currently, the most common cause of cancer-related death is lung cancer.[1–3] The incidence and mortality of lung cancer in China is the highest among all malignant tumors.[4,5] Most lung cancers are found at an advanced stage and have a poor prognosis. How to improve the detection rate of early lung cancer and then provide correct and reasonable treatment are the keys to improve the survival rate of lung cancer.[21] There is some doubt that all nodules <8 mm are really mainly benign and that simple follow-up is adequate in all cases. Therefore, this study aimed to collect and analyze the data of pulmonary nodules that were pathologically diagnosed at our hospital. This study proposes a pulmonary nodule prediction model that can estimate benign/malignant lung nodules with good sensitivity and specificity.

Mixed ground-glass nodules, vascular penetration sign, density of lung nodules, and the absence of incisure signs are independently associated with malignant lung nodules.

In recent years, the widespread application of CT led to high numbers of pulmonary nodules being found. Guidelines indicate that pulmonary nodules <8 mm can be followed, while those >8 mm should be investigated.[17] Nevertheless, as shown in the present study, many pulmonary nodules <10 mm can be malignant. The National Lung Screening Trial found that the rate of pulmonary nodule positivity was 25%.[14] Furthermore, in the present study, the frequency of smokers in patients with malignant pulmonary nodules <10 mm was lower than in those with benign nodules, suggesting that the disease history is different from the classical lung cancer. A previous study showed that most of the lung cancers in nonsmokers were slow-growing adenocarcinoma,[22] supporting the present study. Although no causality conclusions can be drawn from a retrospective case–control study, the concept of smoking being the main risk factor for lung cancer could be revisited as smoking being the main risk factor for rapidly growing lung cancers, but this is only a hypothesis and will need additional studies. Smoking is associated with lung squamous cell carcinoma and small cell carcinoma, but the association between smoking and lung adenocarcinoma is weaker.[23] In the present study, only adenocarcinoma and carcinoid were observed, which is consistent with the low frequency of smokers. In addition, female nonsmokers are significantly more frequently affected than male nonsmokers.[9,24,25] This suggests that lung cancer can be caused in some patients by carcinogens other than those found in tobacco smoke, as previously suggested.[9] This could be particularly true in countries where air pollution, and especially particulate pollution, is very high, such as in China.[24,27] Of note, a considerable proportion of Chinese households still use coal for heating and cooking, and it has an impact on the incidence of lung cancer.[28] Those associations with pulmonary nodules ≤10 mm and lung cancer in nonsmokers require further study.

It was found that gender, smoking, vascular penetration sign, incisure surrounding nodules, the long axis of pulmonary nodules, short axis of pulmonary nodules, nodules average density, and nodular type were significantly associated with malignancy in the univariable analyses. The multivariable analysis showed that the density of pulmonary nodules, vascular...
penetration sign, mGGN, and incisure surrounding nodules were independently associated with malignancy.

Indeed, nodule density has been consistently associated with the probability of malignancy.[29,30] A penetrating pulmonary artery into a nodule could indicate a higher blood supply, which is needed by malignant tumors.[20] Regarding mGGN, some controversies upon their management still exist.[31,32] The present study suggests that mGGN is independently associated malignancy of pulmonary nodules <10 mm, but the results should be considered within the model established here and not as a single factor. Finally, the presence of notches in the nodule margin is considered to be associated with malignancy.[33]

Other models for predicting the malignancy of pulmonary nodules are available. The Mayo clinic lung cancer prediction model is widely used to evaluate the probability of malignant pulmonary nodule.[34] This model is based on age, smoking history, diameter, spiculation, and vascular penetration sign, but is validated for nodules >10 mm in diameter, which limits its relevancy in the context of HRCT screening. Other models include the VA model, the PKUPH model, and the Brock University model.[34,35] The present study proposes a pulmonary nodule prediction model that can estimate benign/malignant lung nodules with good sensitivity and specificity. Mixed ground-glass nodules, vascular penetration sign, density of lung nodules, and

Figure 1. A 42-year-old nonsmoking woman with a peripheral pure ground-glass nodule in the left lower lobe. (A) High-resolution computed tomography showed a 7-mm nodule with the vascular penetration sign (blue arrow). (B) Three-dimensional reconstruction image of the same nodule (blue arrow). (C) The pathologic diagnosis of the resected specimen showed adenocarcinoma in situ.
the absence of incisure signs are independently associated with
malignant lung nodules. Nevertheless, we agree that how this
model does differ from existing models and whether it has a better
predictive value remains to be determined. Future studies should
compare those models using the same sample of patients to
determine their relative diagnostic value.

The present study suggests that nodule location was not
predictive for lung cancer, which is different from the literature.
Indeed, lung cancer occurs more frequently in the upper lobes,
with a predilection for the right lung. Nevertheless, one
study indicated that symptom history, family history, and upper
lobe location were not associated with a risk of malignant single
pulmonary nodules. In the PanCan trial, a multiplicity
of nodules was associated with a reduced risk of cancer
compared with the risk associated with a solitary nodule. In the present study, there was no statistically significant
difference between single and multiple pulmonary nodules in
predicting lung cancer.

There are some limitations to this study. The sample size was
small, and many independent variables were evaluated. In
addition, the patients were only those who had pathologic results
after surgery, introducing a clear selection bias. Among the
patients with lung cancer, only lung adenocarcinoma and
carcinoid were observed. Since the histologic subtype was not
a selection criterion, the small sample size probably precluded the
observation of other cancer subtypes. Some factors were
measured manually (nodule size), which inevitably will lead to
some error. This was a retrospective study, limited to the data
available in the charts and limiting the comparison with other
predictive models. In addition, causality conclusions cannot be
drawn from case–control studies. Additional studies are neces-
sary to address these issues.
This study proposes a pulmonary nodule prediction model that can estimate benign/malignant lung nodules with good sensitivity and specificity. Mixed ground-glass nodules, vascular penetration sign, density of lung nodules, and the absence of incisure signs are independently associated with malignant lung nodules. These results provide a better understanding of the judgment of benign vs malignant pulmonary nodules and could improve the rate of early lung cancer diagnosis.

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