Interim $^{18}$F-FDG PET/CT improves the prognostic value of S-IPI, R-IPI and NCCN-IPI in patients with diffuse large B-cell lymphoma

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**Abstract.** The current study aimed to explore whether the efficiency of the standard International Prognostic Index (S-IPI), revised-IPI (R-IPI) and enhanced-IPI (NCCN-IPI) in evaluating the prognosis of patients with diffuse large B-cell lymphoma (DLBCL) may be improved by interim $^{18}$F-FDG PET/CT. A total of 185 patients with newly diagnosed DLBCL were enrolled in the current study. All patients underwent interim PET/CT following the 4th cycle of chemotherapy. Patients were divided into different risk groups using S-IPI, R-IPI and NCCN-IPI and further subdivided into risk groups using interim PET/CT. Interpretations were evaluated for 2-year progression-free survival (PFS) and overall survival (OS). With a median follow-up time of 44 months, the 2-year PFS and OS were 60% [95% confidence interval (CI) 53–67%] and 81% (95% CI 74–86%), respectively. Analysis of S-IPI and NCCN-IPI identified no significant difference in PFS and OS between high intermediate and high risk groups. However, there were significant differences in the PFS and OS between the low and low intermediate risk groups (P<0.01). Interim PET/CT was used to redistribute patients in the higher risk group into PET negative and positive groups (P<0.01) and parallel results were observed in the lower risk group. In R-IPI, interim PET/CT identified a significant difference between PFS and OS in the good and poor risk groups but not in the very good risk group. Therefore, the results of the current study indicate that S-IPI, R-IPI and NCCN-IPI are three clinically useful prognostic indexes for patients with DLBCL. Interim PET/CT may improve the prognostic value of S-IPI, R-IPI and NCCN-IPI in predicting 2-year PFS and OS, particularly in patients with a high IPI score.

**Introduction**

Diffuse large B-cell lymphoma (DLBCL) is the major histological subtype of non-Hodgkin lymphoma and accounts for 30-40% of all new diagnoses (1,2). It is an aggressive but potentially curable lymphoma. R-CHOP (rituximab plus cyclophosphamide, doxorubicin, vindristine and prednisone) chemotherapy regimens have been the primary treatment methods over the past decade (3). However, only ~60% of patients with DLBCL achieve durable remission following chemotherapy (4,5). Previous studies have demonstrated that the categorization of DLBCL phenotypes, particularly germinal centre B-cell-like (GCB) and non-GCB, may be used to determine patient prognosis (6,7). However, the results are controversial; it has been demonstrated that patients with the GCB phenotype have a better survival rate than those in the non-GCB group (8), however this has not been identified in other studies (9,10). Therefore, a reliable and reproducible prediction method is crucial to optimize patient care.

The standard International Prognostic Index (S-IPI) (4) is the most widely used and accepted prognostic tool for patients with aggressive lymphomas. Five individual risk factors, patient age, lactate dehydrogenase (LDH) concentration, Eastern Cooperative Oncology Group (ECOG) performance status (11), involvement of extra-nodal sites and Ann Arbor stage (12) are considered. S-IPI divides patients into four prognostic subgroups based on the number of risk factors present: A low risk group (0-1 risk factors), a low intermediate risk group (2 risk factors), a high intermediate risk group (3 risk factors) and a high-risk group (4-5 risk factors) (13). However, the addition of rituximab to CHOP-like regimens for patients with DLBCL resulted in a major improvement of patient outcome. Therefore, an updated version of IPI, the revised-IPI (R-IPI), was established by Sehn et al (14). Furthermore, an enhanced IPI (NCCN-IPI) was proposed by Zhou et al (15) which incorporates the same five variables as the S-IPI, but assigns different weights to age [≥40-60, 1 point (pt); >60-75, 2 pts; >75, 3 pts] and elevated LDH [≥1-3 (upper limit of
normal; ULN), 1 pt; ≥3 ULN, 2 pts] and identifies the presence of extranodal involvement in the bone marrow, central nervous system (CNS), liver, gastrointestinal tract or lung as a positive parameter. These methods of predicting patient prognosis (S-IPI, R-IPI and NCCN-IPI) are based solely on the clinical features of patients prior to chemotherapy. Owing to the clinical and biological heterogeneity of DLBCL (7,16), it would be beneficial to add the assessment of responses to treatment.

F-18 fluorodeoxyglucose positive emission tomography/computed tomography ($^{18}$F-FDG PET/CT) may be a powerful tool for monitoring the response to therapy in aggressive lymphomas (17,18). It was demonstrated that PET/CT could be used to evaluate the response in FDG-avid tissues using the 5-point scale (5-PS) (19) at the 11th International Conference, which was held in Lugano, Switzerland. Studies have suggested that the use of FDG PET/CT to monitor early responses may guide therapeutic strategies for patients with DLBCL (20-22). Therefore, interim $^{18}$F-FDG PET/CT following the 4th cycle of R-CHOP-like regimens was used to monitor the response of patients with DLBCL to treatment in the current study.

The present study aimed to explore the value of S-IPI, R-IPI and NCCN-IPI in predicting the prognosis of patients with DLBCL and to determine whether the prognostic value may be improved by interim $^{18}$F-FDG PET/CT response.

Patients and methods

Patients. Between January 2004 and January 2014, a total of 185 patients with newly diagnosed DLBCL were enrolled from Nanfang Hospital (Guangzhou, China) in the retrospective study, which was approved by the institutional ethics review board of Nanfang Hospital. Informed consent was obtained from all individual participants included in the study. The patients' clinical and biological characteristics are summarized in Table 1.

Inclusion criteria were: i) ≥16 years of age at diagnosis, ii) histologically proven DLBCL, iii) treatment with R-CHOP-like regimens with curative intent and iv) an interim FDG PET/CT scan following the 4th cycle of chemotherapy. Patients were excluded if they were treated with surgery or exhibited evidence of a secondary malignant tumor. All patients were reviewed on pathological diagnosis by two hematopathologists.

PET/CT scanning protocol. All patients underwent whole body $^{18}$F-FDG PET/CT scan using a Discovery LS PET/CT scanner (GE Healthcare, Chicago, IL, USA). Following 6 h fasting, 185-370 MBq $^{18}$F-FDG (5.18 MBq/kg) was administered intravenously to each patient. Blood glucose levels were monitored prior to the scan to ensure that blood glucose levels were normal (<7 mmol/l). Approximately 60 min after the injection of FDG, whole-body PET/CT (from the vertex of the skull to the mid-thigh) was performed following the guidelines for tumor imaging with PET/CT (23). A spiral CT scan was performed with a scout view using an 0.8 sec rotation time, 80 mA, 140 kVP, 5-mm slice thickness and a 4.25-mm interval in high-speed mode. A whole-body PET/CT scan was acquired in the 2-dimensional acquisition mode with 3 min per bed position. Acquired PET and CT images were sent to the Xeleris workstation (version 2.1; GE Healthcare) for registration and fusion.

PET/CT analysis. The interim PET scan was performed following the 4th cycle of chemotherapy, with a median interval of 16 days after the first day of the second or third cycle (range, 14-21 days). All PET/CT images were interpreted by two experienced nuclear physicians in consensus using the Xeleris (version 2.1; GE Healthcare) workstation. A visual interpretation was performed using the Deauville five-point scale (24): 1, no residual uptake; 2, uptake ≤mediastinum; 3, uptake >mediastinum but ≤liver; 4, uptake moderately >liver; and 5, uptake markedly increased compared with the liver and/or progression of new lesions (25). Interim PET/CT images were reclassified into negative and positive groups; scores of 1-3 were considered negative and scores of 4-5 were considered positive (24). These criteria were used to determine extranodal disease and Ann Arbor stage.

S-IPI, R-IPI and NCCN-IPI. S-IPI, R-IPI and NCCN-IPI were examined in this cohort of $^{18}$F-FDG PET/CT staged patients with DLBCL. The risk factors identified by S-IPI were: Age >60 years, ECOG performance score ≥2, elevated LDH (>ULN), involvement of >1 extranodal site and Ann Arbor stage III/IV. S-IPI divided the patients into four prognostic subgroups, based on the number of risk factors present: A low risk group (0-1 risk factors), a low intermediate risk group (2 risk factors), a high intermediate risk group (3 risk factors) and a high-risk group (4-5 risk factors) (13). The R-IPI involves the same individual factors, but with only three risk groups: Very good (0 risk factors), good (1-2 risk factors) and poor (>2 risk factors) (14). NCCN-IPI also uses the same five risk factors as the IPI but further refines the categorization of age and normalized LDH and specific sites of involvement (bone marrow, CNS, liver, gastrointestinal tract or lung). Four risk groups were formed: A low risk group (0-1), a low intermediate group (2-3), a high intermediate group (4-5) and a high-risk group (6-8) (15). All risk factors of patients were available in the present study.

Statistical analysis. Descriptive statistics of clinical characteristics were generated as proportions. Fisher's exact test was analyzed to compare the differences between groups of categorical values. End points were 2-year progression free survival (PFS; defined as time from diagnosis to progression, relapse or mortality from any cause) and 2-year overall survival (OS; defined as time from diagnosis to mortality from any cause). PFS and OS were determined by Kaplan-Meier analysis and differences across groups were analyzed using a log-rank test. Prognostic factors were tested using a Cox proportional hazard model. All tests were considered significant when P<0.05 and were not adjusted for multiple comparisons. Statistical analyses were performed by GraphPad Prism version 5.0 (GraphPad software, Inc., La Jolla, CA, USA).

Results

Patient outcomes. The median follow-up time of patients was 44 months (range 4-148 months). Of all 185 patients, 88 patients exhibited no progression (PFS 47.6%) and the median time
prior to relapse was 34 months (range 2-148 months). By the end of follow-up (148 months was the longest follow-up time for one patient), OS was 67% (124/185 patients).

**Outcomes according to S-IPI and interim PET/CT.** PFS and OS curves present the outcome of patients treated with R-CHOP like regimens (Fig. 1). The 2-year PFS and OS of all risk subgroups are presented in Table II. Of the entire cohort, 2-year PFS and OS were 60% [95% confidence interval (CI), 53-67%] and 81% (95% CI, 74-86%), respectively (Fig. 1). As demonstrated in Fig. 2, analysis of S-IPI results identified statistically significant differences in PFS and OS between patients in the lower and higher risk groups (P<0.001; Fig. 2A). The results also identified statistically significant differences in the lower risk group between score 0-1 and score 2 in PFS (P=0.01) and OS (P<0.01). However, in the higher risk group, it exhibited no statistically significant difference in PFS (P=0.47) and OS (P=0.16) between score 3 and score 4-5.

Analysis of visual 5-PS results demonstrated that there were significant differences in the PFS and OS of patients with a positive interim PET scan compared with patients that had a negative interim PET scan (P<0.01; Fig. 3). The 2-year PFS and OS were 82% (95% CI, 76-88%) and 96% (95% CI, 90-99%), respectively, in patients with negative PET results. By contrast, in patients with positive PET results, 2-year PFS and OS were 23% (95% CI 14-34%) and 55% (95% CI 42-66%), respectively.

In the higher risk group, 2-year PFS and OS were 37% (95% CI, 26-48%) and 66% (95% CI, 53-76%), respectively (Table II). Patients in the low risk group were reclassified into PET negative and positive groups by interim PET/CT and it was demonstrated that patients in the PET positive group had a significantly lower PFS and OS than those in the PET negative group (P<0.001; Fig. 2B). Furthermore, patients in the low and low intermediate risk groups were reclassified into PET negative and positive groups using interim PET/CT (Fig. 4). It was demonstrated that the differences in PFS and OS between the PET negative and positive groups were significant in the low risk group (both P<0.05; Fig. 4A). However, in the low intermediate risk group, the difference in PFS between PET negative and positive patients was significant (P=0.0001); however, the difference in OS was not (Fig. 4B).

**Outcomes according to R-IPI and interim PET/CT.** R-IPI is a valid predictor of outcomes of patients with DLBCL treated with R-CHOP like regimens. Fig. 5 demonstrates that R-IPI identified 3 distinct prognostic groups with a very good, good and poor outcome, respectively, with significant differences between all groups in PFS and OS (P<0.001; Fig. 5A). The 2-year PFS and OS of all risk subgroups are presented in Table II. Analysis of PET results identified significant differences in the PFS and OS between PET positive and negative patients in the good and poor risk groups (P<0.01; Fig. 5C and D). However, no significant differences in PFS (P=0.60) or OS (P=0.07) between PET negative and positive patients were identified in the very good risk group (Fig. 5B). In all groups, 2-year PFS and OS were decreased in PET positive patients compared with PET negative patients (P<0.01; Fig. 5 and Table II).

**Outcomes according to NCCN-IPI and interim PET/CT.** Patients were classified into high and low risk groups, according to NCCN-IPI and it was determined that the PFS and OS were significantly lower in high risk patients compared with low risk patients (P<0.001, Fig. 6A). The 2-year PFS and OS of the risk subgroups are presented in Table II. In the higher risk group, there was no significant difference in PFS (P=0.84) and OS (P=0.16) between score 3 and score 4-5.

### Table I. Patient characteristics.

| Characteristic               | n (%)     |
|-----------------------------|-----------|
| Male/female ratio           | 116/69    |
| Median age, years (range)   | 49 (16-82)|
| ≤40                         | 54 (29.2) |
| 40-60                       | 87 (47.0) |
| 60-75                       | 38 (20.6) |
| >75                         | 6 (3.2)   |
| Ann Arbor stage             |           |
| I                           | 23 (12.4) |
| II                          | 38 (20.6) |
| III                         | 27 (14.6) |
| IV                          | 97 (52.4) |
| LDH, normalized             |           |
| ≤1                          | 108 (58.4)|
| 1-3                         | 57 (30.8) |
| >3                          | 20 (10.8) |
| ECOG performance status     |           |
| 0-1                         | 142 (76.8)|
| ≥2                          | 43 (23.2) |
| Extraneural disease         |           |
| Bone marrow                 | 18 (9.7)  |
| CNS                         | 11 (5.9)  |
| Liver                       | 17 (9.2)  |
| GI tract                    | 41 (22.2) |
| Lung                        | 14 (7.6)  |
| Others                      | 103 (55.7)|
| Standard IPI score          |           |
| 0-1                         | 74 (40.0) |
| 2                           | 40 (21.6) |
| 3                           | 40 (21.6) |
| 4-5                         | 31 (16.8) |
| Revised IPI score           |           |
| 0                           | 35 (18.9) |
| 1-2                         | 79 (42.7) |
| 3-5                         | 71 (38.4) |
| NCCN-IPI score              |           |
| 0-1                         | 38 (20.6) |
| 2-3                         | 90 (48.6) |
| 4-5                         | 45 (24.3) |
| 6-8                         | 12 (6.5)  |

All values are presented as n (%). ECOG, Eastern Cooperative Oncology Group; CNS, central nervous system; NCCN-IPI, enhanced International Prognostic Index; LDH, lactate dehydrogenase.
Table II. Comparison of S-IPI, R-IPI to NCCN-IPI for risk stratification and outcomes of 2-year PFS and OS in the PET-negative and positive groups.

| Variable | S-IPI | R-IPI | NCCN-IPI |
|----------|-------|-------|----------|
| 2-year PFS PET(−) | 74 (64-81) | 37 (26-48) | 63 (51-73) |
| 2-year OS PET(−) | 98 (90-99) | 65 (43-80) | 82 (59-93) |

All results are presented as the % (95% CI). OS, overall survival; PFS, progression free survival; S-IPI, standard International Prognostic Index; R-IPI, revised International Prognostic Index; NCCN-IPI, enhanced International Prognostic Index; PET, positron emission tomography; CT, computed tomography.

Discussion

In the present study, the prognostic value of S-IPI, R-IPI and NCCN-IPI in patients with DLBCL treated with R-CHOP-like regimens was assessed. Interim PET following 4 cycles of induction chemotherapy was used to predict the 2-year PFS and OS of patients with DLBCL. The results of the current study demonstrated that S-IPI, R-IPI and NCCN-IPI are three clinically useful prognostic indexes that may guide the treatment planning of patients with DLBCL. Furthermore, interim PET/CT improves the prognostic value of S-IPI, R-IPI and NCCN-IPI in predicting the 2-year PFS and OS of patients with DLBCL, particularly in patients with high IPI scores.

The use of R-CHOP-like regimens has resulted in a major improvement of survival in patients with DLBCL across all risk groups. However, the usefulness of S-IPI in discriminating between patients in different risk groups has declined, particularly in higher risk groups. In the current study, the S-IPI revealed no significant differences in PFS and OS between patients in the high intermediate and high risk groups (P<0.01, Fig. 7B and C). Patients in the high risk group were further subdivided into a low risk group and a low intermediate risk group. In the low intermediate risk group, PFS and OS were significantly lower in PET-negative patients compared with PET positive patients (P<0.001; Fig. 7B). However, in the low risk group, there were no significant differences in PFS and OS between the PET negative and positive groups (P>0.05, Fig. 7A).

Patients that had DLBCL with involvement of bone marrow, CNS, liver, gastrointestinal tract or lung exhibited a significantly lower PFS (P<0.005, Fig. 8A) and OS (P<0.014, Fig. 8B) than patients without involvement of these organs. No significant difference in survival was observed between these involved organs for patients with DLBCL.
the version of lymphoid malignancies in 2008 (27). To date, the Hans algorithm has been the most widely used method of reclassifying DLBCL patients into GCB and non-GCB groups, based on the presence of three immunohistochemical markers [cluster of differentiation 10, B-Cell lymphoma 6 and melanoma associated antigen (mutated) 1] (28). However, the results of previous studies with regard to the prognostic value of GCB and non-GCB phenotypes for patients with DLBCL are conflicting (8-10). S-IPI, R-IPI and NCCN-IPI are all based solely on the clinical features of patients prior to chemotherapy. Considering the clinical and biological heterogeneity of DLBCL, improving the prediction of patient responses to treatment based on the IPI score is imperative.

It has been demonstrated that the use of interim PET/CT to assess the response to treatment may induce chemosensitivity and may help to guide therapeutic strategies for patients with DLBCL (21,30). Itti et al (31) reported that the accuracy at four cycles was better than at two cycles when visual interpretation was used. Patients who were PET2-positive (PET imaging following two cycles of chemotherapy) became PET4-negative (PET imaging following four cycles of chemotherapy), of whom only a small number experienced an event, whereas patients who were PET2-positive remained PET4-positive, of whom most rapidly had an event. By comparison, all PET2-negative patients who underwent PET-4 remained negative and few experienced an event (31). Therefore, in the current study, interim PET following four cycles of chemotherapy was used to monitor the treatment response of patients with DLBCL.

Figure 1. Overall outcome. (A) PFS and (B) OS in the 185 patients with DLBCL treated with R-CHOP-like regimens. PFS, progression free survival; OS, overall survival; R-CHOP, rituximab plus cyclophosphamide, doxorubicin, vincristine, and prednisone; DLBCL, diffuse large B-cell lymphoma.

Figure 2. Outcomes according to the S-IPI and interim PET/CT. (A) PFS and OS in the low and high risk groups, as determined by S-IPI. Redistribution of the (B) low and (C) high risk groups into PET negative and positive groups. Differences between the 2-year PFS and OS between the PET negative and positive groups were significant. S-IPI, standard International Prognostic Index; PET, positron emission tomography; CT, computed tomography; PFS, progression free survival; OS, overall survival.
The results of the current study confirmed that the redistribution of high risk patients into PET negative and positive groups provided a more clinically relevant prediction of patient outcome. Compared with the PET negative group, PET positive patients had a significantly lower PFS and OS. Therefore, an investigational approach involving clinical trials on PET positive patients in high or poor risk groups should be considered to ensure potential curative therapy. Although S-IPI and NCCN-IPI were able to discriminate between low and low intermediate risk groups in predicting PFS and OS, the results indicated that PFS and OS were higher in patients in the lower risk group that were PET negative than those that were evaluated using IPI scores alone. However, no significant differences were observed between PET negative and PET positive groups in patients determined to be in the low intermediate risk group by S-IPI. In the low risk NCCN-IPI group, there were also no significant differences in 2-year PFS and OS between PET negative and positive patients. This may

Figure 3. (A) PFS and (B) OS according to interim PET/CT (negative and positive) in 185 patients with DLBCL treated with R-CHOP-like regimens. PFS, progression free survival; OS, overall survival; PET/CT, positron emission tomography/computed tomography; R-CHOP, rituximab plus cyclophosphamide, doxorubicin, vincreistine, and prednisone; DLBCL, diffuse large B-cell lymphoma.

Figure 4. Analysis of the (A) low (score=0-1) and (B) low intermediate (score=2) risk group in the S-IPI. 2-year PFS and OS were redistributed by interim PET/CT into PET positive and negative groups. Differences between PFS and OS were significant between PET positive and negative patients, apart from the difference in 2-year OS in the low intermediate risk group (P=0.09). PFS, progression free survival; OS, overall survival; S-IPI, standard International Prognostic Index; PET, positron emission tomography; CT, computed tomography.
have been due to the fact that the number of patients in these groups was too small. Furthermore, the number of patients that were PET positive in the very good risk group of R-IPI was too small to obtain a valid statistical interpretation.

Zhou et al (15) suggested that the involvement of the bone marrow, CNS, liver, gastrointestinal tract or lung in lymphoma may be a better predictor of survival in patients with DLBCL than simply the number of extranodal sites involved. The results of the current study demonstrated that patients with involvement of these organs had a significantly lower 2-year PFS and OS than those without involvement. No significant difference of survival was observed among these involved organs for patients with DLBCL. This may be due to the fact that the involvement of the organs is small and often overlapping, thus it is difficult to clearly identify the effects of organ involvement alone on PFS and OS.

The present study used three different meaningful prognostic tools, S-IPI, R-IPI and NCCN-IPI, to evaluate the prognosis of patients with DLBCL. All of them identified significant differences in the PFS and OS between low and high risk groups. The
results of the current study demonstrated that low and high risk groups can be further reclassified into PET positive and negative groups using interim PET/CT at the 4th cycle of treatment with an R-CHOP like regimen. Patients that were PET negative in the low or high risk groups had a higher PFS and OS than those that were PET positive. However, the current study was a retrospective analysis and there were low numbers of patients in the low intermediate risk group in S-IPI, the low risk group in NCCN-IPI and in the very good risk group in R-IPI that were PET positive. Therefore, the results should be validated prospectively in a larger population of patients with DLBCL.

In conclusion, the present study demonstrated that the S-IPI, R-IPI and NCCN-IPI are three clinically useful prognostic indexes that may guide the treatment planning of patients with DLBCL. The results suggest that interim PET/CT improves the risk stratifications of S-IPI, R-IPI and NCCN-IPI in predicting 2-year PFS and OS, particularly in patients with high IPI scores.
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