Event Extraction as Dependency Parsing

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(draft)

Abstract

Nested event structures are a common occurrence in both open domain and domain specific extraction tasks, e.g., a “crime” event can cause a “investigation” event, which can lead to an “arrest” event. However, most current approaches address event extraction with highly local models that extract each event and argument independently. We propose a simple approach for the extraction of such structures by taking the tree of event-argument relations and using it directly as the representation in a reranking dependency parser. This provides a simple framework that captures global properties of both nested and flat event structures. We explore a rich feature space that models both the events to be parsed and context from the original supporting text. Our approach obtains competitive results in the extraction of biomedical events from the BioNLP’09 shared task with a F1 score of 53.5% in development and 48.6% in testing.

1 Introduction

Recurring event structures in open domain texts are highly complex and nested: a “crime” event can cause an “investigation” event, which can lead to an “arrest” event (Chambers and Jurafsky, 2009). The same observation holds in specific domains. For example, the BioNLP’09 shared task (Kim et al., 2009) focuses on the extraction of nested biomolecular events, where, e.g., a REGULATION event causes a TRANSCRIPTION event (see Figure 1a for a detailed example). Despite this observation, many state-of-the-art supervised event extraction models still extract events and event arguments independently, ignoring their underlying structure (Björne et al., 2009; Miwa et al., 2010b).

In this paper, we propose a new approach for supervised event extraction where we take the tree of relations and their arguments and use it directly as the representation in a dependency parser (rather than conventional syntactic relations). Our approach is conceptually simple: we first convert the original representation of events and their arguments to dependency trees by creating dependency arcs between event anchors (phrases that anchor events in the supporting text) and their corresponding arguments. Note that after conversion, only event anchors and entities remain. Figure 1 shows a sentence and its converted form from the biomedical domain with four events: two POSITIVE REGULATION events, anchored by the phrase “acts as a costimulatory signal,” and two TRANSCRIPTION events, both anchored on “gene transcription.” All events take either protein entity mentions (PROT) or other events as arguments. The latter is what allows for nested event structures. Existing dependency parsing models can be adapted to produce these semantic structures instead of syntactic dependencies. We built a global reranking parser model using multiple decoders from MSTParser (McDonald et al., 2005; McDonald et al., 2005b). The main contributions of this paper are the following:

1. We demonstrate that parsing is an attractive approach for extracting events, both nested and otherwise.

1While our approach only works on trees, we show how we can handle directed acyclic graphs in Section 5.
2. We propose a wide range of features for event extraction. Our analysis indicates that features which model the global event structure yield considerable performance improvements, which proves that modeling event structure jointly is beneficial.

3. We evaluate on the biomolecular event corpus from the BioNLP’09 shared task and show that our approach obtains competitive results.

2 Related Work

The pioneering work of Miller et al. (1997) was the first, to our knowledge, to propose parsing as a framework for information extraction. They extended the syntactic annotations of the Penn Treebank corpus (Marcus et al., 1993) with entity and relation mentions specific to the MUC-7 evaluation (Chinchor et al., 1997) — e.g., EMPLOYEE OF relations that hold between person and organization named entities — and then trained a generative parsing model over this combined syntactic and semantic representation. In the same spirit, Finkel and Manning (2009) merged the syntactic annotations and the named entity annotations of the OntoNotes corpus (Hovy et al., 2006) and trained a discriminative parsing model for the joint problem of syntactic parsing and named entity recognition. However, both these works require a unified annotation of syntactic and semantic elements, which is not always feasible, and focused only on named entities and binary relations. On the other hand, our approach focuses on event structures that are nested and have an arbitrary number of arguments. We do not need a unified syntactic and semantic representation (but we can and do extract features from the underlying syntactic structure of the text).

Finkel and Manning (2009b) also proposed a parsing model for the extraction of nested named entity mentions, which, like this work, parses just the corresponding semantic annotations. In this work, we focus on more complex structures (events instead of named entities) and we explore more global features through our reranking layer.

In the biomedical domain, two recent papers proposed joint models for event extraction based on Markov logic networks (MLN) (Riedel et al., 2009; Poon and Vanderwende, 2010). Both works propose elegant frameworks where event anchors and arguments are jointly predicted for all events in the same sentence. One disadvantage of MLN models is the requirement that a human expert develop domain-specific predicates and formulas, which can be a cumbersome process because it requires thorough domain understanding. On the other hand, our approach maintains the joint modeling advantage, but our model is built over simple, domain-independent features. We also propose and analyze a richer feature space that captures more information on the global event structure in a sentence. Furthermore, since our approach is agnostic to the parsing model used, it could easily be tuned for various scenarios, e.g., models with lower inference overhead such as shift-reduce parsers.

Our work is conceptually close to the recent CoNLL shared tasks on semantic role labeling, where the predicate frames were converted to semantic dependencies between predicates and their arguments (Surdeanu et al., 2008; Hajic et al., 2009).
In this representation the dependency structure is a directed acyclic graph (DAG), i.e., the same node can be an argument to multiple predicates, and there are no explicit dependencies between predicates. Due to this representation, all joint models proposed for semantic role labeling handle semantic frames independently.

3 Approach

Figure 2 summarizes our architecture. Our approach converts the original event representation to dependency trees containing both event anchors and entity mentions, and trains a battery of parsers to recognize these structures. The trees are built using event anchors predicted by a separate classifier. In this work, we do not discuss entity recognition because in the BioNLP’09 domain used for evaluation entities (PROTEINS) are given (but including entity recognition is an obvious extension of our model). Our parsers are several instances of MSTParser\(^2\) (McDonald et al., 2005; McDonald et al., 2005b) configured with different decoders. However, our approach is agnostic to the actual parsing models used and could easily be adapted to other dependency parsers. The output from the reranking parser is converted back to the original event representation and passed to a reranker component (Collins, 2000; Charniak and Johnson, 2005), tailored to optimize the task-specific evaluation metric.

Note that although we use the biomedical event domain from the BioNLP’09 shared task to illustrate our work, the core of our approach is almost domain independent. Our only constraints are that each event mention be activated by a phrase that serves as an event anchor, and that the event-argument structures be mapped to a dependency tree. The conversion between event and dependency structures and the reranker metric are the only domain dependent components in our approach.

3.1 Converting between Event Structures and Dependencies

As in previous work, we extract event structures at sentence granularity, i.e., we ignore events which span sentences (Björne et al., 2009; Riedel et al., 2009; Poon and Vanderwende, 2010). These form approximately 5% of the events in the BioNLP’09 corpus. For each sentence, we convert the BioNLP’09 event representation to a graph (representing a labeled dependency tree) as follows. The nodes in the graph are protein entity mentions, event anchors, and a virtual ROOT node. Thus, the only words in this dependency tree are those which participate in events. We create edges in the graph in the following way. For each event anchor, we create one link to each of its arguments labeled with the slot name of the argument (for example, connecting gene transcription to IL-2 with the label THEME in Figure 1b). We link the ROOT node to each entity that does not participate in an event using the ROOT-LABEL dependency label. Finally, we link the ROOT node to each top-level event anchor, (those which do not serve as arguments to other events) again using the ROOT-LABEL label. We follow the convention that the source of each dependency arc is the head while the target is the modifier.

The output of this process is a directed graph, since a phrase can easily play a role in two or more events. Furthermore, the graph may contain multiedges due to related events sharing the same anchor (example below). To guarantee that the output of this process is a tree, we must post-process the above graph with the following three heuristics:

1. **Step 1:** We simplify multiedges to edges, e.g., in the text “the domain interacted preferentially

\(^2\)http://sourceforge.net/projects/mstparser/
with underphosphorylated TRAF2,” there are two events anchored by the same underphosphorylated phrase, a NEGATIVE REGULATION and a PHOSPHORYLATION event, and the latter serves as a THEME argument for the former. Due to the shared anchor, our conversion component creates an self-referential THEME dependency. By removing these edges, 1.5% of the events in the training arguments are left without arguments, so we remove them as well.

**Step 2:** We break structures where one argument participates in multiple events, by keeping only the dependency to the event that appears first in text. For example, in the fragment “by enhancing its inactivation through binding to soluble TNF-alpha receptor type II,” the protein TNF-alpha receptor type II is an argument in both a BINDING event (binding) and in a NEGATIVE REGULATION event (inactivation). As a consequence of this step, 4.7% of the events in training are removed.

**Step 3:** We unify same-type events anchored on the same anchor phrase. For example, for the fragment “Surface expression of intercellular adhesion molecule-1, P-selectin, and E-selectin,” the BioNLP’09 annotation contains three distinct GENE EXPRESSION events anchored on the same phrase (expression), each having one of the proteins as THEMES. In such cases, we migrate all arguments to one of the events, and remove the empty events. 21.5% of the events in training are removed in this step (but no dependencies are lost).

Note that we do not guarantee that the resulting tree is projective. In fact, our trees are more likely to be non-projective than syntactic dependency trees of English sentences, because in our representation many nodes can be linked directly to the ROOT node. Our analysis indicates that 2.9% of the dependencies generated in the training corpus are non-projective and 7.9% of the sentences contain at least one non-projective dependency (for comparison, these numbers for the English Penn Treebank are 0.3% and 6.7%, respectively).

After parsing, we implement the inverse process, i.e., we convert the generated dependency trees to the BioNLP’09 representation. In addition to the obvious conversions, this process implements the heuristics proposed by Björne et al. (2009), which reverse step 3 above, e.g., we duplicate GENE EXPRESSION events with multiple THEME arguments.

### 3.2 Recognition of Event Anchors

For anchor detection, we used a multiclass classifier that labels each token independently. Since over 92% of the anchor phrases in our evaluation domain contain a single word, we simplify the task by reducing all multi-word anchor phrases in the training corpus to their syntactic head word (e.g., “acts” for the anchor “acts as a costimulatory signal”).

We implemented this model using a logistic regression classifier with L2 regularization over the following features:

- **Token-level:** The form, lemma, and whether the token is present in a gazetteer of known anchor words.
- **Surface context:** The above token features from a context of two words around the current token. Additionally, we build token bigrams in this context window, and model them with similar features.
- **Syntactic context:** We model all syntactic dependency paths up to depth two starting from the token to be classified. These paths are built from Stanford syntactic dependencies (Marnette and Manning, 2008). We extract token features from the first and last token in these paths. We also generate combination features by concatenating: (a) the last token in each path with the sequence of dependency labels along the corresponding path; and (b) the word to be classified, the last token in each path, and the sequence of dependency labels in that path.
- **Bag-of-word and entity count:** Extracted from (a) the entire sentence, and (b) a window of five words around the token to be classified.

### 3.3 Parsing Event Structures

Given the entities and event anchors from the previous stages in the pipeline, the parser generates l-
beled dependency links between them. Many dependency parsers are available and we chose MST-parser for its ability to produce non-projective and n-best parses directly. MSTParser frames parsing as a graph algorithm. To parse a sentence, MSTParser finds the tree covering all the words (nodes) in the sentence (graph) with the largest sum of edge weights, i.e., the maximum weighted spanning tree. Each labeled, directed edge in the graph represents a possible dependency between its two endpoints and has an associated score (weight). Scores for edges come from the dot product between the edge’s corresponding feature vector and learned feature weights. As a result, all features for MSTParser must be edge-factored, i.e., functions of both endpoints and the label connecting them. McDonald et al. (2006) extends the basic model to include second-order dependencies (i.e., two adjacent sibling nodes and their parent). Both first and second-order modes include projective and non-projective decoders.

Our features for MSTParser use both the event structures themselves as well as the surrounding English sentences which include them. By mapping event anchors and entities back to the original text, we can incorporate information from the original English sentence as well its syntactic tree and corresponding Stanford dependencies. Both forms of context are valuable and complementary. MSTParser comes with a large number of features which, in our setup, operate on the event structure level (since this is the “sentence” from the parser’s point of view). The majority of additional features that we introduced take advantage of the original text as context (primarily its associated Stanford dependencies). Our system includes the following first-order features:

- **Path**: Syntactic paths in the original sentence between nodes in an event dependency (as in previous work by Björne et al. (2009)). These have many variations including using Stanford dependencies (“collapsed” and “uncollapsed”) or constituency trees as sources, optionally lexicalizing the path, and using words or relation names along the path. Additionally, we include the bucketed length of the paths.

- **Original sentence words**: Words from the full English sentence surrounding and between the nodes in event dependencies, and their bucketed distances. This additional context helps compensate for how our anchor detection provides only the head word of each anchor, which does not necessarily provide the full context for event disambiguation.

- **Graph**: Parents, children, and siblings of nodes in the Stanford dependencies graph along with label of the edge. This provides additional syntactic context.

- **Consistency**: Soft constraints on edges between anchors and their arguments (e.g., only regulation events can have edges labeled with CAUSE). These features fire if their constraints are violated.

- **Ontology**: Generalized types of the endpoints of edges using a given type hierarchy (e.g., POSITIVE REGULATION is a COMPLEX EVENT\(^5\) is an EVENT). Values of this feature are coded with the types of each of the endpoints on an edge, running over the cross-product of types for each endpoint. For instance, an edge between a BINDING event anchor and a POSITIVE REGULATION could cause this feature to fire with the values [head:EVENT, child:COMPLEX EVENT] or [head:SINGLE EVENT, child:EVENT].\(^6\) The latter feature can capture generalizations such as “simple event anchors cannot take other events as arguments.”

Both **Consistency** and **Ontology** feature classes include domain-specific information but can be used on other domains under different constraints and type hierarchies. When using second-order dependencies, we use additional **Path** and **Ontology** features. We include the syntactic paths between sibling nodes (adjacent arguments of the same event anchor). These **Path** features are as above but differentiated as paths between sibling nodes. The second-order **Ontology** features use the type hierarchy information on both sibling nodes and their parent. For example, a POSITIVE REGULATION anchor attached to a PROTEIN and a BINDING

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\(^5\)We define complex events are those which can accept other events are arguments. Simple events can only take PROTEINS.

\(^6\)We omit listing the other two combinations.
event would produce an **Ontology** feature with the value `[parent:COMPLEX EVENT, child_1:PROTEIN, child_2:SIMPLE EVENT]` (among several other possible combinations).

To prune the number of features used, we employ a simple entropy-based measure. Our intuition is that good features should typically appear with only one edge label. Given all edges enumerated during training and their gold labels, we obtain a distribution over edge labels ($d_f$) for each feature $f$. Given this distribution and the frequency of a feature, we can score the feature with the following:

$$score(f) = \alpha \times \log_2(freq(f)) - H(d_f)$$

The $\alpha$ parameter adjusts the relative weight of the two components. The log frequency component favors more frequent features while the entropy component favors features with low entropy in their edge label distribution. Features are pruned by accepting all features with a score above a certain threshold.

### 3.4 Reranking Event Structures

When decoding, the parser finds the highest scoring tree which incorporates global properties of the sentence. However, its features are edge-factored and thus unable to take into account larger contexts. To incorporate arbitrary global features, we employ a two-step reranking parser. For the first step, we extend our parser to output its $n$-best parses instead of just its top scoring parse. In the second step, a discriminative reranker rescores each parse and reorders the $n$-best list. Rerankers have been successfully used in syntactic parsing (Collins, 2000; Charniak and Johnson, 2005; Huang, 2008) and semantic role labeling (Toutanova et al., 2008).

Rerankers provide additional advantages in our case due to the mismatch between the dependency structures that the parser operates on and their corresponding event structures. We convert the output from the parser to event structures (Section 3.1) before including them in the reranker. This allows the reranker to capture features over the actual event structures rather than their original dependency trees which may contain extraneous portions. Furthermore, this lets the reranker optimize

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Supplementary notes:

7. Labels include `ROOT-LABEL`, `THEME`, `CAUSE`, and `NULL`. We assign the `NULL` label to edges which aren’t in the gold data.

8. For instance, event anchors with no arguments could be

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To improve performance and robustness, features are pruned as in Charniak and Johnson (2005): selected features must distinguish a parse with the highest F1 score in a $n$-best list, from a parse with a suboptimal F1 score at least five times.

Rerankers can also be used to perform model combination (Toutanova et al., 2008; Zhang et al., proposed by the parser. These event anchors are automatically dropped by the conversion process.

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As an example, getting an edge label between an anchor and its argument correct is unimportant if the anchor is missing other arguments.
### Table 1: BioNLP recall, precision, and F1 scores of individual decoders and the best decoder combination on development data with the impact of event anchor detection and reranking. Decoder names include the features order (1 or 2) followed by the projectivity (P = projective, N = non-projective).

| Decoder(s) | Unreranked | R | P  | F1 |
|------------|------------|---|-----|----|
| 1P         | 65.6       | 76.7 | 70.7 |
| 2P         | 67.4       | 77.1 | 71.9 |
| 1N         | 67.5       | 76.7 | 71.8 |
| 2N         | 68.9       | 77.1 | 72.7 |
| 1P, 2P, 2N | —         | —   | —   |

| Decoder(s) | Unreranked | R | P  | F1 |
|------------|------------|---|-----|----|
| 1P         | 44.7       | 62.2 | 52.0 |
| 2P         | 45.9       | 61.8 | 52.7 |
| 1N         | 46.0       | 61.2 | 52.5 |
| 2N         | 38.6       | 66.6 | 48.8 |
| 1P, 2P, 2N | —         | —   | —   |

(a) Gold event anchors

(b) Predicted event anchors

### Table 2: Effect of each major component to the overall performance in the development corpus. Components shown: AD — event anchor detection; Parse — best individual parsing model; RR — reranking multiple parsers; Conv — conversion between the event and dependency representations. ‘G’ indicates that gold data was used; ‘√’ indicates that the actual component was used.

| AD  | Parse | RR | Conv | R  | P  | F1 |
|-----|-------|----|------|----|-----|----|
| √   | √     | √  | √    | 45.9 | 61.8 | 52.7 |
| √   | √     | √  | √    | 48.7 | 59.3 | 53.5 |
| G   | √     | √  | √    | 68.9 | 77.1 | 72.7 |
| G   | √     | √  | √    | 68.5 | 78.2 | 73.1 |
| G   | G     | G  | √    | 81.6 | 93.4 | 87.1 |

4 Experimental Results

Our experiments use the BioNLP’09 shared task corpus (Kim et al., 2009) which includes 800 biomedical abstracts (7,449 sentences, 8,597 events) for training and 150 abstracts (1,450 sentences, 1,809 events) for development. The test set includes 260 abstracts, 2,447 sentences, and 3,182 events. Throughout our experiments, we report BioNLP F1 scores with approximate span and recursive event matching (as described in the shared task definition). For preprocessing, we parsed all documents using the biomedical McClosky-Charniak-Johnson reranking parser (McClosky, 2010). We bias the anchor detector to favor recall, allowing the parser and reranker to determine which event anchors will ultimately be used. When performing n-best parsing, n = 50. For parser feature pruning, α = 0.001.

Table 1a shows the performance of each of the decoders when using gold event anchors. In both cases where n-best decoding is available, the reranker improves performance over the 1-best parsers. We also present the results from a reranker trained from multiple decoders which is our highest scoring model.\(^{11}\)

In Table 1b, we present the output for the predicted anchor scenario. As before, the reranker trained from multiple decoders outperforms unreranked models and reranked single decoders. All in all, our best model in Table 1a scores 1 F1 point higher than the best system at the BioNLP’09 shared task, and the best model in Table 1b performs similarly to the best shared task system (Björne et al., 2009), which also scores 53.5% on development.

To show the potential of reranking, we provide or-

\(^{10}\)We only have n-best versions of the projective decoders. For the non-projective decoders, we use their 1-best parse.  

\(^{11}\)Including the 1N decoder as well provided no gains, possibly because its outputs are mostly subsumed by the 2N decoder.
Table 3: Oracle reranker BioNLP F1 scores for our n-best decoders and their combinations before reranking on the development corpus.

| Anchors | Decoder(s) | 1  | 2  | 10 | All |
|---------|------------|----|----|----|-----|
| Gold    | 1P         | 70.7| 76.6| 84.0|85.7 |
|         | 2P         | 71.8| 77.5| 84.8|86.2 |
|         | 1P, 2P, 2N | —   | —   | —   |86.7 |
| Predicted | 1P       | 52.0| 60.3| 69.9|72.5 |
|         | 2P         | 52.7| 60.7| 70.1|72.5 |
|         | 1P, 2P, 2N | —   | —   | —   |73.4 |

Table 4: Results in the test set broken by event class; scores generated with the main official metric of approximate span and recursive event matching.

| Event Class          | Count | R   | P   | F1 |
|----------------------|-------|-----|-----|----|
| Gene Expression      | 722   | 68.6| 75.8|72.0|
| Transcription        | 137   | 42.3| 51.3|46.4|
| Protein Catabolism   | 14    | 64.3| 75.0|69.2|
| Phosphorylation      | 135   | 80.0| 82.4|81.2|
| Localization         | 174   | 44.8| 78.8|57.1|
| Binding              | 347   | 42.9| 51.7|46.9|
| Regulation           | 291   | 23.0| 36.6|28.3|
| Positive Regulation  | 983   | 28.4| 42.5|34.0|
| Negative Regulation  | 379   | 29.3| 43.5|35.0|
| Total                | 3,182 | 42.6| 56.6|48.6|

Discussion

We believe that the potential of our approach is higher than what the current experiments show. For example, the reranker can be used to combine not only several parsers but also multiple anchor recognizers. This passes the anchor selection decision to the reranker, which uses global information not available to the current anchor recognizer or parser. Furthermore, our approach can be adapted to parse event structures in entire documents (instead of individual sentences) by using a representation with a unique ROOT node for all event structures in a document. This representation has the advantage that it maintains cross-sentence events (which account for 5% of BioNLP’09 events), and it allows for document-level features that model discourse structure. We plan to explore these ideas in future work.

One current limitation of the proposed model is that it constrains event structures to map to trees. In the BioNLP’09 corpus this leads to the removal of almost 5% of the events, which generate DAGs instead of trees. Local event extraction models (Björne et al., 2009) do not have this limitation, because their local decisions are blind to (and hence not limited by) the global event structure. However, our approach is agnostic to the actual parsing models used, so we can easily incorporate models that can parse DAGs (Sagae and Tsujii, 2008).

Conclusion

In this paper we proposed a simple approach for the joint extraction of event structures: we converted the representation of events and their arguments to dependency trees with arcs between event anchors and event arguments, and used a reranking parser to parse these structures. Despite the fact that our approach has very little domain-specific engineering, we obtain competitive results. Most importantly, we showed that the joint modeling of event structures is beneficial: our reranker outperforms parsing models without reranking in five out of the six configurations investigated.
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