ON FINITENESS THEOREMS FOR AUTOMORPHIC FORMS

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ABSTRACT. In this paper, for any Shimura datum \((G, \mathcal{D})\) satisfying reasonable conditions that many interesting cases satisfy, we prove some finiteness theorems for any graded vector space consisting of automorphic forms on \(\mathcal{D}\) of some weights over the graded ring of automorphic forms on \(X\) with positive parallel weights. We also discuss the integral base ring which we can work on. To realize automorphic forms as global sections on some coherent sheaves on the minimal compactification, we use the notion of reflexive sheaves and higher Koecher principle due to Kai-Wen Lan. Further, we give a more finer version of finiteness results for Siegel modular forms by using only the results of Chai-Faltings.

1. Introduction

We refer \[20\], \[17\] and \[26\] for Shimura data and Shimura varieties. Let \(\mathcal{D}\) be the Hermitian symmetric domain associated to a Shimura datum \((G, \mathcal{D})\) where \(G\) is a connected reductive group over \(\mathbb{Q}\). Let \(G(\mathbb{R})^+\) be the connected component of \(G(\mathbb{R})\) with the identity in the real topology. Put \(G(\mathbb{Q})^+ = G(\mathbb{Q}) \cap G(\mathbb{R})^+\). Let \((G^\text{ad}, \mathcal{D}^+)\) be the connected Shimura datum for \((G, \mathcal{D})\) such that \(\mathcal{D}^+\) is a connected component of \(\mathcal{D}\) and \(G(\mathbb{R})^+\) acts transitively on \(\mathcal{D}^+\). Let \(\mathbb{A}_f = \mathbb{Z} \otimes \mathbb{Q}\) be the finite part of the ring of adeles of \(\mathbb{Q}\). For any open compact subgroup \(\mathcal{U}\) of \(G(\mathbb{A}_f)\), put

\[
X_{\mathcal{U}} := G(\mathbb{Q}) \backslash \mathcal{D} \times G(\mathbb{A}_f)/\mathcal{U} \simeq G(\mathbb{Q})^+ \backslash \mathcal{D}^+ \times G(\mathbb{A}_f)/\mathcal{U} = \coprod_{i \in I} \Gamma_i \backslash \mathcal{D}^+
\]

where \(G(\mathbb{A}_f) = \coprod_{i \in I} G(\mathbb{Q})^+ g \mathcal{U}\) and \(\Gamma_i = (g \mathcal{U} g_i^{-1}) \cap G(\mathbb{Q})^+\). Clearly each \(\Gamma_i\) is commensurable with \(G(\mathbb{Z})\). Here \(G(\mathbb{Z})\) is defined by, first, choosing an embedding \(\iota_N : G \hookrightarrow GL_N\) for some positive integer \(N\) and then by talking the pullback of \(GL_N(\mathbb{Z})\) under \(\iota_N\). It is well-known (cf. Theorem 1 of \[29\]) that each component \(\Gamma_i \backslash \mathcal{D}^+\) has a structure as a quasi-projective algebraic variety over \(\mathbb{C}\) even when \(\Gamma_i\) is not neat. Let \(K\) be a maximal compact subgroup of \(G(\mathbb{R})\) and \(K_C\) be its complexification. Then \(\mathcal{D} = G(\mathbb{R})/Z_G(\mathbb{R})K\). For \(\gamma \in G(\mathbb{R})^+\) and \(z \in \mathcal{D}^+\) we write \(\gamma \cdot z\) for the natural left action. For each algebraic, finite dimensional representation \(\rho\) of \(K_C\) with the representation space \(V_\rho\), we define the holomorphic vector bundle \((G^+(\mathbb{R}) \times K_{\rho|K} V_\rho(\mathbb{C}))/K\) on \(\mathcal{D}^+\) as a quotient of \(G^+(\mathbb{R}) \times V_\rho(\mathbb{C})\) by the relation \((g, v) \sim (g k, \rho^{-1}(k) v)\) for \((g, v) \in G^+(\mathbb{R}) \times V_\rho(\mathbb{C})\) and \(k \in K\). Since \(\mathcal{D}^+\) is simply connected, the above holomorphic vector bundle is trivialized. Therefore, there is a canonical automorphic factor associated to \(\rho\):

\[
J_\rho : G(\mathbb{R})^+ \times \mathcal{D}^+ \longrightarrow \text{Aut}_\mathbb{C}(V_\rho)
\]

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which is holomorphic in the complex variables of $\mathcal{D}^+$ and it satisfies the cocycle condition. We can also associate the automorphic vector bundle on $X_\mathcal{U}$ by

$$W_{\rho,\mathcal{U}} = Z_G(\mathbb{R})G(\mathbb{Q})^+ \backslash (G(\mathbb{R}) \times G(A_f) \times V_\rho(\mathbb{C}))/ (K \times \mathcal{U}) \simeq \prod_{i \in I} \Gamma_i \backslash (\mathcal{D}^+ \times V_\rho(\mathbb{C}))$$

with the relation $(g_\infty, g_f, v) \sim (z_\infty^\gamma g_\infty k, \gamma g_f u, \rho^{-1}(k)v)$, $z_\infty \in Z_G(\mathbb{R}), \gamma \in G(\mathbb{Q})^+, k \in K, u \in \mathcal{U}$ for $(g_\infty, g_f, v) \in \mathcal{D} \times G(A_f) \times V_\rho$ and each $\Gamma_i$ acts on $\mathcal{D}^+ \times V_\rho(\mathbb{C})$ by $\gamma_i(z, v) = (\gamma_i Z, J(\gamma_i, Z)v)$.

Fix an algebraic (or holomorphic) character $\lambda : K_C \rightarrow \mathbb{C}^\times$ is a positive parallel weight ([17]) such that when we apply the results to more concrete cases including the case of Siegel modular forms. For any arithmetic subgroup $\Gamma \subset G(\mathbb{Q})^+$ and an algebraic representation $\rho$ of $K_C$ as above, we define the space $M_\rho(\Gamma)$ which consisting of all holomorphic $V_\rho(\mathbb{C})$-valued functions $F : \mathcal{D}^+ \rightarrow V_\rho(\mathbb{C})$ enjoying the conditions:

- $F(\gamma \cdot z) = J_\rho(\gamma, z)F(z)$ for any $\gamma \in \Gamma$,
- $\lim_{z \rightarrow \partial \mathcal{D}} J_\rho(\delta, z)^{-1}F(\delta \cdot z)$ is finite for any $\delta \in G(\mathbb{Q})^+$

where $\partial \mathcal{D}$ is the boundary of Satake compactification [28] or Baily-Borel compactification [1]. In this paper we call $F$ a (classical) automorphic form of weight $\rho$ with respect to $\Gamma$. If we replace the above second condition with

- $\lim_{z \rightarrow \partial \mathcal{D}} J_\rho(\gamma, z)^{-1}F(\gamma \cdot z) = 0$ for any $\gamma \in G(\mathbb{Q})^+$,

then we call $F$ a (classical) cusp form of weight $\rho$ with respect to $\Gamma$. We denote by $S_\rho(\Gamma)$ the space of all cusp forms of weight $\rho$ with respect to $\Gamma$. It is well-known that both of $M_\rho(\Gamma)$ and $S_\rho(\Gamma)$ are finite dimensional vector spaces over $\mathbb{C}$. We also define the graded vector spaces by

$$M_{\rho,\lambda}(\Gamma) := \bigoplus_{k \in \mathbb{Z}} M_{\rho \otimes \lambda^k}(\Gamma), \quad S_{\rho,\lambda}(\Gamma) := \bigoplus_{k \in \mathbb{Z}} S_{\rho \otimes \lambda^k}(\Gamma).$$

Put

$$M_{\lambda^*}(\Gamma) = M_{1^*}(\Gamma).$$

where $1^*$ stands for the trivial representation of $K_C$. Put

$$M_{\rho,\lambda^*}(\mathcal{U}) = \bigoplus_{i \in I} M_{\rho,\lambda^*}(\Gamma_i), \quad S_{\rho,\lambda^*}(\mathcal{U}) = \bigoplus_{i \in I} S_{\rho,\lambda^*}(\Gamma_i), \quad M_{\lambda^*}(\mathcal{U}) = \bigoplus_{i \in I} M_{1,\lambda^*}(\Gamma_i)$$

with respect to (1.1). It will be revealed in the course of proofs of the main theorems that (1.5) can be defined as the global sections of coherent sheaves related to $W_{\rho,\mathcal{U}}$.

The graded ring $M_{\lambda^*}(\Gamma_i)$ (or $M_{\lambda^*}(\mathcal{U})$) is consisting of automorphic forms of scalar weights proportional to $\lambda$. For such a $\lambda$, $M_{\lambda^*}(\Gamma)$ (or $M_{\lambda^*}(\mathcal{U})$) is finitely generated by Theorem (1.1) below. For positive parallel weights, all cases are treated in Section 3.3. For example, when $G$ is $\text{Res}_{F/\mathbb{Q}}\text{SL}_2/F$ or $\text{Res}_{F/\mathbb{Q}}\text{GL}_2/F$ for any totally real field $F$ of degree $g$, then the weights of characters are parametrized by $g$-tuple non-negative integers $(k_1, \ldots, k_g)$ and are said to be positive and of rational type if $k_1 = \ldots = k_g > 0$. We have the same condition for the symplectic group $Sp_{2g}$ over $\mathbb{Q}$ of rank $g$ whose corresponding highest weights of characters are parametrized by $g$-tuple integers $(k_1, \ldots, k_g)$. When $G = GSp_{2g}/\mathbb{Q}$, the positive parallell weights are given by the same weights for $Sp_{2g}/\mathbb{Q}$ by ignoring the similitude part (see Section 3).
Henceforth we assume

\[ (\text{dim}) \] Every \( \mathbb{Q} \)-simple factor of each component of \( X_\Gamma \) or \( X_U \) is compact or of dimension greater than one.

The following theorem seems to be well-known for some cases for experts after Cartan Seminaires.

**Theorem 1.1.** Assume \((\ref{1.6})\) for \( G \) and an arithmetic subgroup \( \Gamma \) of \( G(\mathbb{Q})^+ \). For any algebraic representation \( \rho \) of \( K_C \) and any positive algebraic character of \( K_C \) which is of rational type, the graded vector spaces \( M_{\rho,\lambda,*}(\Gamma) \) and \( S_{\rho,\lambda,*}(\Gamma) \) are finitely generated over the graded ring \( M_{\lambda,*}(\Gamma) \).

Note that \( \Gamma \) in the claim is not needed to be congruent. A key is to realize automorphic forms and cusp forms as global sections of coherent sheaves on the minimal compactification of \( X_\Gamma \). However the minimal compactification is highly singular in general and therefore it seems difficult to directly construct desired coherent sheaves. As usual, we first consider automorphic vector bundles on \( X_\Gamma \) and then extend them to a suitably chosen toroidal compactification of \( X_\Gamma \). Among them, we lose the ampleness of a natural automorphic line bundle \( \omega \) on any toroidal compactification in most cases. However we can push forward the extended automorphic vector bundles in question to the minimal compactification preserving the coherence and descend \( \omega \) to an ample line bundle. In proving these things, we often use the results from reflexive sheaves, Serre’s extension theorem (for the classical case), and later its variant over integral bases due to Kai-Wen Lan. Then the claim follows from a standard argument for coherent sheaves on projective schemes. Though the claim of Theorem 1.1 seems to be a folklore except for some cases or even a standard text book result, it has been missing, such a finiteness might not have been clearly documented after Cartan Seminaires. Some important language is maintained during the last decade by Kai-Wen Lan and his collaborators though the classical modular forms on Shimura varieties have been understood very well in terms of various methods including keywords as \((g,K)\)-cohomology, mixed Hodge theory and so on.

Next we consider similar claims for integral bases. To define an integral structure of the space of automorphic forms or cusp forms, let us assume that

- \( (G, \mathcal{D}) \) is a Shimura datum of PEL type.

For each rational prime \( p \), let us fix an isomorphism \( \iota_p : \overline{\mathbb{Q}}_p \to \mathbb{C} \) and we say a subring \( R \subset \mathbb{C} \) is \( p \)-adically integral if \( \iota_p^{-1}(R) \subset \mathbb{Z}_p \). Note that \( \mathbb{Z}(p) \) and \( \mathbb{Z}_p \) are standard examples of \( p \)-adically integral rings.

Let \( p \) be a good prime and \( R_1 \) be a \( p \)-adically integral ring defined in Subsection \( \ref{2.2} \). If \( G = \text{GSp}_{2g}/\mathbb{Q} \), then any rational prime \( p \) is good and \( R_1 \) can be any of \( \mathbb{Z}(p) \) and \( \mathbb{Z}_p \).

Then it will be explained in next section that by using the moduli interpretation of \( X_U \) for any open compact subgroup \( U = U^pU_p \) such that \( U^p \) is an open compact subgroup in \( G(\hat{\mathbb{Z}}^p) \) and \( U_p = G(\mathbb{Z}_p) \), for any \( R_1 \)-algebra \( R \), we can define geometric automorphic forms or geometric cusp forms over \( R \). As in the cases before, we define the \( R \)-module \( M_{\nu_0}(U, R) \) (resp. \( S_{\nu}(U, R) \)) consisting of automorphic forms (resp. cusp forms) over \( R \) of weight \( \nu_0 \in X^*_M \) with respect to \( U \) (see Subsection \( \ref{2.2} \) for weights). According to this definition, as \((\ref{1.3}),(\ref{1.4})\), we also define the graded \( R \)-modules

\[ (1.7) \quad M_{\nu_0,\lambda,*}(U, R) = \bigoplus_{k \in \mathbb{Z}_{\geq 0}} M_{\nu_0+k\lambda}(U, R), \quad S_{\nu_0,\lambda,*}(U, R) = \bigoplus_{k \in \mathbb{Z}_{\geq 0}} S_{\nu_0+k\lambda}(U, R), \]
and
\[(1.8) \quad M_{\lambda, s}(U, R) = \bigoplus_{k \in \mathbb{Z}_{\geq 0}} M_{k \lambda}(U, R)\]

where \(\lambda \in X^+_M\) is a positive parallel weight in the sense of Definition 7.1, p.1153 of [23].

**Theorem 1.2.** Let \(p\) be a good prime and \(R_1\) be as above. Assume that the symmetric space \(X\) is a Shimura variety of PEL type. Let \(\nu_0 \in X^+_M\) be a weight and \(\lambda\) be a positive parallel weight. Then it holds that

1. the graded ring \(M_{\lambda, s}(U, R)\) is finitely generated over \(R\);
2. the graded modules \(M_{\nu_0, \lambda, s}(U, R)\) and \(S_{\nu_0, \lambda, s}(U, R)\) are finitely generated over \(M_{\lambda, s}(U, R)\).

Let \(R_1\) be as above and \(R\) be \(R_1\)-algebra which is \(p\)-adically integral in the above sense. For any finite \(R\)-module \(M\) we denote by \(M^{TF}\) the maximal \(R\)-free quotient of \(M\). We can also study a more finer structure on these \(R\)-modules:

**Corollary 1.3.** Keep the notation in Theorem 1.2. Assume that an \(R_1\)-module \(R\) is \(p\)-adically integral. Then it holds that

1. the graded ring \(M_{\lambda, s}(U, R)^{TF} := \bigoplus_{k \in \mathbb{Z}_{\geq 0}} M_{k \lambda}(U, R)^{TF}\) is finitely generated over \(R\);
2. the \(R\)-free graded modules \(M_{\nu_0, \lambda, s}(U, R)^{TF} := \bigoplus_{k \in \mathbb{Z}_{\geq 0}} M_{\nu_0 + k \lambda}(U, R)^{TF}\) and \(S_{\nu_0, \lambda, s}(U, R)^{TF} := \bigoplus_{k \in \mathbb{Z}_{\geq 0}} S_{\nu_0 + k \lambda}(U, R)^{TF}\) are finitely generated over \(M_{\lambda, s}(U, R)^{TF}\).

Further, these objects give integral structures of the classical forms (1.5) respectively.

In the course of proving the main theorems, we will use the results of Kai-Wen Lan and his collaborators. However, if we focus on Siegel modular forms, we will have more finer results which will be explained in Section 4 by using only the results in [3].

This paper will be organized as follows. In Section 2 we will built up the settings precisely and prove the main theorems. In Section 3 we give an explicit form of positive parallel weights and good prime for the individual groups. In the last section, we will prove the more finer version of the finiteness results for Siegel modular forms.

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2. **Settings and results**

2.1. **Shimura data and Shimura varieties.** Let us start with recalling some basic facts of Shimura varieties. We refereee [20] and [26]. Let \((G, D)\) be a Shimura datum introduced in Section 1 where \(G\) is a connected reductive group over \(\mathbb{Q}\) and \(D\) is the \(G(\mathbb{R})\)-conjugacy classes of homomorphisms \(h : S := \text{Res}_{\mathbb{C}/\mathbb{R}} G_{m, \mathbb{C}} \rightarrow G_{\mathbb{R}}\) which satisfy the following conditions:
(1) The adjoint action of $G(\mathbb{R})$ on the complexification $\mathfrak{g}$ of the Lie algebra $\text{Lie} G(\mathbb{R})$ and $h$ yield the homomorphism $\text{Ad} \circ h : \mathbb{S}(\mathbb{R}) = \mathbb{C}^\times \rightarrow \text{Aut}_\mathbb{C}(\mathfrak{g})$ and it induces a decomposition

$$\mathfrak{g} = \mathfrak{k} \oplus \mathfrak{g}^+ \oplus \mathfrak{g}^-$$

such that $h(z)$, $z \in \mathbb{C}^\times$ acts on the right hand side of the above decomposition by $1, z/\overline{z}, \overline{z}/z$ respectively.

(2) $h(\sqrt{-1})$ induces a Cartan involution on $G^{\text{ad}}(\mathbb{R})$ where $G^{\text{ad}} = G/Z_G$ and $Z_G$ is the center of $G$.

(3) $G^{\text{ad}}$ has no nontrivial $\mathbb{Q}$-simple factor $H$ such that $H(\mathbb{R})$ is compact.

Factoring through a connected Shimura variety (see Lemma 5.11 of [26]) the set $D$ has a structure as a Hermitian symmetric domain (see Proposition 4.8 of [26]). The third condition for Shimura data guarantees that $G^{\text{ad}}$ is semisimple. By Proposition 4.1 of [26], $G(\mathbb{Q}) \cap U$ is a congruence subgroup for any compact open subgroup $U$ and conversely any congruence subgroup is recovered in this way. As explained in Section [1] of the Shimura variety

$$X_U := G(\mathbb{Q})\backslash G(\mathbb{A}_f)/U \approx \coprod_{i \in I} \Gamma_i \backslash D^+$$

has a structure as a quasi-projective variety over $\mathbb{C}$ for any open compact subgroup $U$ of $G(\mathbb{A}_f)$. We may work on $X_\Gamma = \Gamma \backslash D^+$ for any congruent subgroup $\Gamma$ of $G(\mathbb{Q})^+$ such as each connected component of $X_U$. Since $\Gamma$ has a finite index normal subgroup which is neat and the cohomologies in question are $\mathbb{C}$-vector spaces, we may assume that $\Gamma$ is neat in proving Theorem [11] (cf. the argument around the equation (2) in Chapter IV p.140 of [2]). For an automorphic factor $J_\rho$ in [12] one can associate the holomorphic automorphic vector bundle $W_\rho$ on $X_\Gamma$ such that $H^0(X_\Gamma, W_\rho) \simeq M_\rho(\Gamma)$ (see Chapter III of [25] or [31]).

To apply some results on projective varieties we need to compactify $X_\Gamma$ and canonically extend our sheaf $W_\rho$ there. Under this process the condition (dim) is reasonable to make no difference between holomorphic automorphic forms and holomorphic global sections of the extended coherent sheave.

Let $X_{\Gamma, \Delta}$ be a smooth toroidal compactification of $X_\Gamma$ with respect to a fan $\Delta$ (cf. Chapter V of [25]). In fact, one can choose such a fan by using good cone decompositions. Then there exists a suitable choice of $\Delta$ such that $W_\rho$ extends to a vector bundle (so called a canonical extension) $W_\rho^{\text{can}}$ on $X_{\Gamma, \Delta}$ such that

$$H^0(X_{\Gamma, \Delta}, W_\rho^{\text{can}}) \simeq M_\rho(\Gamma), \ H^0(X_{\Gamma, \Delta}, W_\rho^{\text{sub}}) \simeq S_\rho(\Gamma)$$

where $W_\rho^{\text{sub}} = W_\rho^{\text{can}}(-D_\Delta)$ and $D_\Delta = (X_{\Gamma, \Delta} \backslash X_\Gamma)_{\text{red}}$ (see Theorem 6.1 of [25] for $W_\rho^{\text{can}}$ and $W_\rho^{\text{sub}}$). The isomorphisms [24] for cusp forms follow from Proposition 5.4.2 of [7] when $\Gamma$ is a congruence subgroup and Theorem 4.7 of [17] for general case since the codimension condition is fulfilled under the assumption (dim). In particular as mentioned in Remark 2.4 of [18] the case of Siegel modular forms of degree greater than one, or Hilbert modular forms for totally real fields of degree greater than one satisfies (dim). The interesting readers for this condition may consult the table in Example 3.19 of [19].

To work on projective varieties it would be better to use toroidal compactifications rather than the minimal compactification. For example the former one is smooth while the latter one is normal
and in general it has bad singularities. Nevertheless there are some advantages to work on the minimal compactification to naturally get suitable automorphic line bundle which is ample there but not on toroidal compactifications (see Section 3.1 of [19]). In fact we need to study (holomorphic) automorphic bundles on the minimal compactification to get the finiteness results.

Let $j_{\text{min}}^* : X_{\Gamma} \hookrightarrow X_{\Gamma}^{\text{min}}$ be the minimal compactification. Since $X_{\Gamma}^{\text{min}}$ and the codimension of $X_{\Gamma}^{\text{min}} \setminus X_{\Gamma}$ is greater than or equal to 2, it follows from Serre’s extension theorem [33] that $j_{\text{min}}^* W_{\rho}$ is coherent (see the proof of Theorem 10.14 of [1]) and this is a unique extension of $W_{\rho}$ to $X_{\Gamma}^{\text{min}}$. Let $\pi : X_{\Gamma,\Delta} \longrightarrow X_{\Gamma}^{\text{min}}$ be the canonical proper surjective morphism (see the proof of Lemma 17). Then $\pi_* W_{\rho}^{\text{can}}$ and $\pi_* W_{\rho}^{\text{sub}}$ are both coherent since $\pi$ is proper. It follows from (2.1) that

$H^0(X_{\Gamma}^{\text{min}}, \pi_* W_{\rho}^{\text{can}}) \simeq M_{\rho}(\Gamma)$

and

$H^0(X_{\Gamma}^{\text{min}}, \pi_* W_{\rho}^{\text{sub}}) \simeq S_{\rho}(\Gamma)$.

Therefore, we have two coherent sheaves on the normal projective variety $X_{\Gamma}^{\text{min}}$ which give rise to automorphic forms and cusp forms respectively.

Now we are ready to prove Theorem 1.1.

**Proof.** Assume that $\lambda$ is a positive character of rational type. Then by Theorem 1 of [29] and Lemma 3.2 of [17] the line bundle $W_{\lambda}^{\text{can}}$ descend to the ample line bundle $\omega_{\lambda}$ which is nothing but $j_{\text{min}}^* W_{\lambda}$. In fact since $\pi$ is proper birational and $X_{\Gamma}^{\text{min}}$ is normal, by Zariski main theorem, $\pi_* O_{X_{\Gamma,\Delta}} = O_{X_{\Gamma}^{\text{min}}}$. It follows from the projection formula that $\pi_* W_{\lambda}^{\text{can}} = \pi_* \pi^* \omega_{\lambda} = \omega_{\lambda}$. Since $\omega_{\lambda}$ and $j_{\text{min}}^* W_{\lambda}$ are reflexive, and $\omega_{\lambda}\vert_{X_{\Gamma}} = (\pi_* W_{\lambda}^{\text{can}})\vert_{X_{\Gamma}} = W_{\lambda} = (j_{\text{min}}^* W_{\lambda})\vert_{X_{\Gamma}}$, we have

$\pi_* W_{\lambda}^{\text{can}} = \omega_{\lambda} = j_{\text{min}}^* W_{\lambda}$

by Proposition 1.6, p.126 of [10] or Theorem 3, p.817 of [5]. This is a key ingredient regarding the following cohomological description of automorphic forms and cusp forms.

Since $H^0(X_{\Gamma}^{\text{min}}, j_{\text{min}}^* W_{\lambda}) \simeq M_{\lambda}(\Gamma)$ for non-negative integer $k$, the graded ring $M_{\lambda,\ast}(\Gamma) \simeq \bigoplus_{k \in \mathbb{Z}_{\geq 0}} H^0(X_{\Gamma}^{\text{min}}, j_{\text{min}}^* W_{\lambda})$ is finitely generated by Lemma 16.1 of [34]. Similarly since

$M_{\rho,\lambda,\ast}(\Gamma) \simeq \bigoplus_{k \in \mathbb{Z}_{\geq 0}} H^0(X_{\Gamma}^{\text{min}}, \pi_* W_{\rho}^{\text{can}} \otimes (j_{\text{min}}^* W_{\lambda})^\otimes k)$

by (2.2), (2.4) and

$S_{\rho,\lambda,\ast}(\Gamma) \simeq \bigoplus_{k \in \mathbb{Z}_{\geq 0}} H^0(X_{\Gamma}^{\text{min}}, \pi_* W_{\rho}^{\text{sub}} \otimes (j_{\text{min}}^* W_{\lambda})^\otimes k)$

by (2.3), (2.4), the claim for these graded vector spaces follows from Lemma 16.1-(5) of [34].

**2.2. Integral models.** In this subsection we impose the following condition to work on $p$-adically integral bases:

$(\text{PEL}) (G, \mathcal{D})$ is a Shimura datum of PEL type.

We refer [16, 21, 24, 23, 22] and also [14]. Since the notations in [24, 23] would be heavy for most readers, we recall the results in [21] quickly and avoid explaining in detail. Instead we give a few examples which would be enough for applications to many interesting cases. By classification
any irreducible factor of \((G, \mathcal{D})\) is of type A, C, or D. According to Section 1.1 of [23], let us consider an integral PEL datum \((\mathcal{O}, \ast, L, \langle \ast, \ast \rangle, h_0)\) in the following sense:

1. \(\mathcal{O}\) is an order in a non-zero semisimple algebra, finite dimensional over \(\mathbb{Q}\) after tensoring with \(\mathbb{Q}\), together with a positive involution \(\ast\);
2. \((L, \langle \ast, \ast \rangle, h_0)\) is a PEL type \(\mathcal{O}\)-lattice (a polarized symplectic lattice in other word, cf. Definition 1.2.1.2 of [16])

Let \(F\) be the center of \(\mathcal{O} \otimes \mathbb{Z} \mathbb{Q}\) which is a product of number fields. Then we define for any \(\mathbb{Z}\)-algebra \(R\),

\[
G(R) := \{(g, r) \in \text{GL}_{\mathcal{O} \otimes \mathbb{Z} R}(L \otimes \mathbb{Z} R) \times \mathbb{G}_m(R) \mid \langle gx, gy \rangle = r(x, y), \forall x, y \in L \otimes \mathbb{Z} R\}.
\]

As explained in Remark 1.2.1.8, the group functor is not necessarily a smooth functor over \(\mathbb{Z}\) but an affine group scheme over \(\mathbb{Z}\). However one can easily check that \(G_\mathbb{Q}\) is a smooth reductive group over \(\mathbb{Q}\) if and only if \(\mathcal{O}\) is an imaginary quadratic \(\mathbb{Q}\)-algebra and \(F_0\) is a subfield of the Galois closure of \(K\) if \(G\) is the unitary similitude group \(\text{GU}(p, q) = GU(p, q)(K/F)\) for a CM extension \(K/F\) but \(F_0 = \mathbb{Q}\) if we further assume \(p = q\). To be more precise when \(K/\mathbb{Q}\) is an imaginary quadratic extension, \(F_0 = K\) unless \(p = q\) (see Chapter III, Section 1, p.143 of [8]). For Example 5.24, p.312 and Example 12.4-(d), p.344 (which is related to Shimura curves) of [20] we have \(F_0 = v(F)\) when \(I_{nc} = \{v\} \subset \text{Hom}_\mathbb{Q}(F, \mathbb{R})\) for a totally real field.

Let \(\text{Diff}^{-1}\) be the inverse difference of \(\mathcal{O}/\mathbb{Z}\) and put \(\text{Disc} = [\text{Diff}^{-1} : \mathcal{O}]\) (see (1.1.1.17), p.4 of [16]). We say a rational prime \(p\) is good if it satisfies

1. \(p \nmid \text{Disc}\);
2. \(p \neq 2\) if \(\mathcal{O}_\mathbb{Z} \otimes \mathbb{Q}\) involves a simple factor of type \(D\), in the sense of Definition 1.2.1.15, p.31 of [16];
3. the pairing \(\langle \ast, \ast \rangle\) is perfect after the base change to \(L \otimes \mathbb{Z} \mathbb{Z}_p\). This is equivalent to ask if \(p \nmid [L^2 : L]\) for the dual lattice \(L^2\) of \(L\).

For \(\text{GSp}_{2n}/F\) or \(\text{GU}(n, n) = GU(n, n)(K/F)\) (the similitude unitary groups for a CM extension \(K/F\)), \(\text{Disc}\) is nothing but the discriminant of \(F\) or \(K\) and \(p\) is a good prime if and only if \(p\) is unramified in \(F\) or \(K\) respectively.

By Lemma 1.2.5.9, p.52 of [16], there exists a finite extension \(F_0'\) of \(F_0\) in C, unramified at \(p\), together with an \(\mathcal{O} \otimes \mathbb{Z} \mathcal{O}_{F_0'(p)}\)-module \(L_0\) such that \(L_0 \otimes \mathcal{O}_{F_0'(p)} \mathbb{C} \simeq V_0\) as a \(\mathcal{O} \otimes \mathbb{C}\)-module. Here \(\mathcal{O}_{F_0'(p)}\) stands for the localization of \(\mathcal{O}_{F_0}\) with respect to the ideal \((p)\). One can easily find \(F_0\) out from the statement or the proof of above lemma. For instance, if \(F\) or \(K\) is Galois for an integral PEL datum which yields \(\text{GSp}_{2n}/F\) or \(\text{GU}(n, n)(K/F)\) as above, then \(F_0' = F_0\).

For a good prime \(p\) and \(F_0'\), put \(W_0 = L_0 \oplus L_0'(1)\) and let us denote by \(\langle \ast, \ast \rangle_{can} : W_0 \times W_0 \rightarrow \mathcal{O}_{F_0'(p)}(1)\) the alternating pairing defined in Lemma 1.1.4.13, p.20 of [16]. This is an integral structure of Hodge decomposition \(L \otimes \mathbb{C} = V_0 \oplus V_0^c\). We define an integral model of \(G\) over \(\mathcal{O}_{F_0'(p)}\) as follows.
For any $O_{F_0,(p)}$-algebra $R$ set

$$G_0(R) = \{ (g, r) \in GL_{O \otimes_{\mathbb{Z}} R}(W_0 \otimes O_{F_0,(p)} R) \times G_m(R) \mid \langle gx, gy \rangle_{can} = r(x, y), \forall x, y \in W_0 \otimes O_{F_0,(p)} R \}. $$

Similarly the Siegel parabolic subgroup $P_0$ of $G_0$ and its Levi factor $M_0$ which are both defined over $O_{F_0,(p)}$ are given in Definition 1.4 of [23]. By line -14, p.1117 of [23] there exists a discrete valuation ring $R_1$ over $O_{F_0,(p)}$ satisfies the conditions (1),(2),(3) there. This relates the original \langle *, * \rangle_{can} over $R_1$. Hence $G_0 \times O_{F_0,(p)} R_1 \simeq G_{R_1}$. This is necessary to define an integral automorphic vector bundle over $R_1$ which will be revealed later on. As for $R_1$, one can take $R_1$ to be the localization of $O_{F_0,(p)}$ at a prime ideal dividing $(p)$ when $GSp_{2n}/F$ or $GU(n, n)(K/F)$ as above. Hence for a prime ideal $v$ dividing $(p)$ in $O_{F_0,(p)} = O_{F,(p)}$ or $O_{K,(v)}$, one can take $R_1 = O_{F,(v)}$ or $O_{K,(v)}$ respectively. We can also consider its $v$-adic completion $O_{F,v}$ or $O_{K,v}$ as $R_1$. In particular if $G = GSp_{2n}/\mathbb{Q}$, then $R_1$ can be $\mathbb{Z}_p$ or $\mathbb{Z}_p$.

Let us fix $R_1$ and set

$$G_1 := G_0 \times O_{F_0,(p)} R_1, \quad P_1 := P_0 \times O_{F_0,(p)} R_1, \quad M_1 := M_0 \times O_{F_0,(p)} R_1. $$

Since the polarization $h_0$ is a $\mathbb{R}$-algebra homomorphism, it is determined by $h_0(\sqrt{-1})$ and it also defines an element in $G(\mathbb{R})$ by Definition 1.2.1.2-1 of [16]. Hence $(G, G(\mathbb{R})h_0)$ define a Shimura datum where $G(\mathbb{R})$ acts on $h_0$ by conjugation. As in the previous subsection it gives rise to the Shimura variety $X_\mathcal{U}$ for any $\mathcal{U} = U^p U_p$ where $U^p$ is an open compact subgroup of $G(\mathbb{Z}^p)$ and $U_p = G(\mathbb{Z}_p)$. Here $\mathbb{Z}^p = \varprojlim_{N \mid p^N} \mathbb{Z}/N\mathbb{Z}$. Assume that $U^p$ is neat. As in Section 1.2 of [23], the PEL-datum $(\mathcal{O}, \ast, L, \langle \ast, \ast \rangle, h_0)$ and $U^p$ define a moduli problem $M_{U^p}$ over $S_0 := \text{Spec} O_{F_0,(p)}$, parameterizing tuples $(A, \lambda, i, \alpha_{U^p})$ over $S_0$-schemes $S$ of the following form:

1. $A \longrightarrow S$ is an abelian scheme;
2. $\lambda : A \longrightarrow A^\vee$ is a polarization of degree prime to $p$;
3. $\text{Lie}_A/S$ with its $O \otimes_{\mathbb{Z}} \mathbb{Z}((p))$-module structure given naturally by $i$ satisfies the (Kottwitz) determinantal condition in Definition 1.3.4.1, p.69 of [16];
4. $\alpha_{U^p}$ is an integral level $U^p$-structure of $(A, \lambda, i)$ of type $(L \otimes_{\mathbb{Z}} \mathbb{Z}^p, \langle \ast, \ast \rangle)$ as in Definition 1.3.6.2, p.72 of [16].

Then by Theorem 1.4.1.12, p.82 and Corollary 7.2.3.10, p.461 of [16], the moduli problem $M_{U^p}$ is represented by a smooth quasi-projective scheme over $S_0$. By Section 2 of [15] there is a canonical open and closed immersion $X_\mathcal{U} \hookrightarrow M_{U^p} \otimes O_{F_0,(p)} F_0$ which is defined over $F_0$. Let $\mathcal{X}_\mathcal{U}$ be the schematic closure of $X_\mathcal{U}$ in $M_{U^p}$ (it is written by $M_{\mathcal{H},0}$ for $\mathcal{H} = U^p$ in the notation of [23]). By Proposition 4.2, p.250 of [24] $X_\mathcal{U}$ admits a toroidal compactification $X^\text{tor}_\mathcal{U} = X^\text{tor}_{\mathcal{U},\Sigma}$, a scheme projective and smooth over $S_0 = \text{Spec} R_1$ depending on a cone decomposition $\Sigma$.

In what follows we refer Section 1, 2 of [23] for (integral) automorphic vector bundles and we follow the notation there. For any $\nu_0 \in X^+_M$ and any $R_1$-algebra $R$ we can define the automorphic vector bundle $W^\nu_{0,R}$ over $X_\mathcal{U}$. By Lemma 1.18 of [23] and by definition it is locally free sheaf on $X_\mathcal{U}$. As explained in Section 4 of [24] we can define the canonical extension $W^\text{can}_{\nu_0,R}$ and subcanonical extension $W^\text{sub}_{\nu_0,R} = W^\text{can}_{\nu_0,R} \otimes I_D$ where $I_D$ is the $O_{X_\mathcal{U}}$-ideal defining relative Cartier Divisor $(X^\text{tor}_\mathcal{U} \setminus X_\mathcal{U})_{\text{red}}$. 

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Then the space of geometric automorphic forms (resp. geometric cusp forms) over \( R \) of weight \( v_0 \) with respect to \( \mathcal{U} \) are defined by

\[
M_{v_0}(\mathcal{U}, R) := H^0(\mathcal{X}^\text{tor}_{\mathcal{U}, R}, W^\text{can}_{v_0, R}), \quad S_{v_0}(\mathcal{U}, R) := H^0(\mathcal{X}^\text{tor}_{\mathcal{U}, R}, W^\text{sub}_{v_0, R}).
\]

Let \( \lambda \in X^+_M \) be a positive parallel weight in the sense of Definition 7.1, p.1153 of [23]. Then define the graded vector space of geometric automorphic forms

\[
M_{v_0, \lambda,*}(\mathcal{U}, R) := \bigoplus_{k \in \mathbb{Z}_{\geq 0}} H^0(\mathcal{X}^\text{tor}_{\mathcal{U}, R}, W^\text{can}_{v_0, k+\lambda, R}) = \bigoplus_{k \in \mathbb{Z}_{\geq 0}} H^0(\mathcal{X}^\text{tor}_{\mathcal{U}, R}, W^\text{can}_{v_0, R} \otimes O_{\mathcal{X}^\text{tor}_{\mathcal{U}, R}} (W^\text{can}_{v_0, R})^\otimes k)
\]

and the graded vector space of geometric cusp forms

\[
S_{v_0, \lambda,*}(\mathcal{U}, R) := \bigoplus_{k \in \mathbb{Z}_{\geq 0}} H^0(\mathcal{X}^\text{tor}_{\mathcal{U}, R}, W^\text{sub}_{v_0, k+\lambda, R}) = \bigoplus_{k \in \mathbb{Z}_{\geq 0}} H^0(\mathcal{X}^\text{tor}_{\mathcal{U}, R}, W^\text{sub}_{v_0, R} \otimes O_{\mathcal{X}^\text{tor}_{\mathcal{U}, R}} (W^\text{can}_{v_0, R})^\otimes k).
\]

We also define \( M_{\lambda, *}(\mathcal{U}, R) = M_{0, \lambda,*}(\mathcal{U}, R) \) and \( S_{\lambda,*}(\mathcal{U}, R) = S_{0, \lambda,*}(\mathcal{U}, R) \) where \( 0 \) stands for the trivial element in \( X^+_M \).

As in the classical case, we try to relate theses spaces with coherent sheaves on the minimal compactification. An algebraic model \( \mathcal{X}^\text{min}_\mathcal{U} \) of the minimal compactification \( X^\text{min}_\mathcal{U} \) is constructed in Chapter 7 of [14] and it is a normal projective scheme over \( \text{Spec} \mathcal{O}_{F_0, (p)} \) together with a proper surjective birational morphism \( \pi : \mathcal{X}^\text{tor}_\mathcal{U} \to \mathcal{X}_\mathcal{U}^\text{min} \) which commutes with the natural embedding \( j^\text{min} : \mathcal{X}_\mathcal{U} \to \mathcal{X}^\text{min}_\mathcal{U} \) and \( j^\text{tor} : \mathcal{X}_\mathcal{U} \to \mathcal{X}_\mathcal{U}^\text{tor} \). It is well-known that the line bundle \( W_{\lambda, R} \) is obtained by the pullback of an ample line bundle \( L_{\lambda, R} \) on \( \mathcal{X}^\text{min}_\mathcal{U} \) via \( \pi \) (see Section 2A of [21]). Now we are ready to prove Theorem 1.2. The situation is more easier than the classical case.

**Proof.** It follows from the definition of direct images of sheaves that

\[
M_{v_0, \lambda,*}(\mathcal{U}, R) = \bigoplus_{k \in \mathbb{Z}_{\geq 0}} H^0(\mathcal{X}^\text{min}_\mathcal{U}, \pi_* W^\text{can}_{v_0, R} \otimes O_{\mathcal{X}^\text{min}_\mathcal{U}, R} \mathcal{L}_\lambda^\otimes k)
\]

and

\[
S_{v_0, \lambda,*}(\mathcal{U}, R) = \bigoplus_{k \in \mathbb{Z}_{\geq 0}} H^0(\mathcal{X}^\text{min}_\mathcal{U}, \pi_* W^\text{sub}_{v_0, R} \otimes O_{\mathcal{X}^\text{min}_\mathcal{U}, R} \mathcal{L}_\lambda^\otimes k).
\]

Notice that \( \pi_* W^\text{can}_{v_0, R} \) and \( \pi_* W^\text{sub}_{v_0, R} \) are coherent, since \( \pi \) is proper. The claim follows from Lemma 16.1-(1),(5) of [34]. \( \square \)

Next we give a proof of Corollary 1.3.

**Proof.** We may assume that \( R \) is a DVR by flat base change. Let \( \kappa_R = R/m_R \) where \( m_R \) is the maximal ideal of \( R \). By Serre’s vanishing theorem, for any \( i > 0 \) and \( k \gg 0 \)

\[
H^i(\mathcal{X}^\text{min}_\mathcal{U}, \pi_* W^\text{can}_{v_0, \kappa_R} \otimes O_{\mathcal{X}^\text{min}_\mathcal{U}, \kappa_R} \mathcal{L}_\lambda^\otimes k) = 0
\]

and

\[
H^i(\mathcal{X}^\text{min}_\mathcal{U}, \pi_* W^\text{sub}_{v_0, \kappa_R} \otimes O_{\mathcal{X}^\text{min}_\mathcal{U}, \kappa_R} \mathcal{L}_\lambda^\otimes k) = 0.
\]

For such a \( k \), the argument in the proof of Corollary 4.3, p.1877 of [22] shows that \( M_{v_0+k\lambda}(\mathcal{U}, R) \) and \( S_{v_0+k\lambda}(\mathcal{U}, R) \) are free over \( R \). Take any non-negative integer \( k \) such that \( v_0 + k\lambda \) satisfies the above vanishing for higher cohomology. The tensor product induces a paring

\[ M_{v_0}(\mathcal{U}, R) \times M_{k\lambda}(\mathcal{U}, R) \to M_{v_0+k\lambda}(\mathcal{U}, R), \quad (f, g) \mapsto f \cdot g. \]
Since $M_{v_0+k\lambda}(U, R)$ is torsion free, this paring factors through $M_{v_0}(U, R)^{\text{TF}} \times M_{k\lambda}(U, R)^{\text{TF}}$. It is the same for cusp forms. Hence we have the decomposition

$$M_{v_0,\lambda,*}(U, R) = T_1 \oplus \left( \bigoplus_{k \in \mathbb{Z}_{\geq 0}} M_{v_0+k\lambda}(U, R)^{\text{TF}} \right), \quad S_{v_0,\lambda,*}(U, R) = T_2 \oplus \left( \bigoplus_{k \in \mathbb{Z}_{\geq 0}} S_{v_0+k\lambda}(U, R)^{\text{TF}} \right),$$

and $M_{\lambda,*}(U, R) = T_3 \oplus \left( \bigoplus_{k \in \mathbb{Z}_{\geq 0}} M_{k\lambda}(U, R)^{\text{TF}} \right)$ where $T_1, T_2, T_3$ are torsion $R$-modules which are also finitely generated over $R$. Hence the claim follows from Theorem 1.2. \qed

## 3. Applications

In this section we will give some applications for individual cases and make the bases more precise in the case where the bases are $p$-adically integral.

### 3.1. Siegel modular forms

Let us consider $G = GSp_{2g}/\mathbb{Z}$ with the similitude character $\nu : G \rightarrow \mathbb{G}_m$. Its derived group $G^{\text{der}} = Sp_{2g} = \text{Ker}(\nu)$ is a semisimple reductive group scheme of type $(C)$. It naturally gives a Shimura datum of a PEL type (see Subsection 3.1 of [20]). Put $\Gamma = Sp_{2g}(\mathbb{Z})$ and for any positive integer $N$, we denote by $\Gamma(N)$ the principal congruence subgroup of level $N$. Let $K(N)$ be the open compact subgroup of $G(\hat{\mathbb{Z}})$ consisting of all elements which are congruent to the identity element modulo $N$. It follows that $K(N) \cap Sp_{2g}(\mathbb{Q}) = \Gamma(N)$ and $K(N)$ is neat if $N \geq 3$. Put $d_N = |\Gamma/\Gamma(N)| = |K(1)/K(N)| = N^{2g^2+g} \prod_{p | N} (1 - p^{-2i})$. Any element $v_0$ of $X_{\hat{M}_1}^{\text{ad}}$ can be written by a tuple $((k_1, \ldots, k_g); k_0)$ where $k_1 \geq k_2 \geq \cdots \geq k_g$ and $k_0$ are integers. In view of the application here the last entry $k_0$ is unnecessary and it will play an important role when we relate classical forms with adelic forms though we do not pursue it. Then we have that

1. any rational prime $p$ is good;
2. $R_1$ can be any of $\mathbb{Z}_{(p)}$ and $\mathbb{Z}_p$;
3. any positive rational weight can be represented by $k_1 = \cdots = k_g \geq 1$ (see Lemma 3.49, p.13 of [12]).

For any $\underline{k} = (k_1, \ldots, k_g) \in \mathbb{Z}^g$ satisfying $k_1 \geq \cdots \geq k_g$ and an arithmetic subgroup $\Gamma$ of $Sp_{2g}(\mathbb{Q})$, we denote by $M_{\underline{k}}(\Gamma)$ (resp. $S_{\underline{k}}(\Gamma)$) be the space of Siegel modular forms (resp. Siegel cusp forms) of weight $\underline{k}$ with respect to $\Gamma$. Assume that $\Gamma$ contains $\Gamma(M)$ for some $M$ as a finite index subgroup. Since $M_{\underline{k}}(\Gamma) \subset M_{\underline{k}}(\Gamma(M))$ and $S_{\underline{k}}(\Gamma) \subset S_{\underline{k}}(\Gamma(M))$, by using $q$-expansion principle (cf. Theorem 2 of [12]), for any subring $R$ of $\mathbb{C}$, we define the space $M_{\underline{k}}(\Gamma, R)$ consisting of all Siegel modular forms over $\mathbb{C}$ whose Fourier coefficients at the cusp with respect to the Siegel parabolic subgroup are defined over $R$. Similarly we can define $S_{\underline{k}}(\Gamma, R)$.

**Theorem 3.1.** Let $\underline{k}$ be as above. Let $K$ be an open compact subgroup of $G(\hat{\mathbb{Z}})$ such that $\nu(K) = \hat{\mathbb{Z}}^\times$. Put $\Gamma_K = Sp_{2g}(\mathbb{Q}) \cap K$. Let $p$ be a prime which never divides $d_M$ for some positive integer $M \geq 3$. Let $R_1$ be $\mathbb{Z}_{(p)}$ or $\mathbb{Z}_p$. It holds that

1. $M_*(\Gamma_K, R_1) := \bigoplus_{k \in \mathbb{Z}_{\geq 0}} M_{kI}(\Gamma_K, R_1)$ is finitely generated over $R_1$;
2. $M_{\underline{k},*}(\Gamma_K, R_1) := \bigoplus_{k \in \mathbb{Z}_{\geq 0}} M_{\underline{k}+kI}(\Gamma_K, R_1)$ and $S_{\underline{k},*}(\Gamma_K, R_1) := \bigoplus_{k \in \mathbb{Z}_{\geq 0}} M_{\underline{k}+kI}(\Gamma_K, R_1)$ are finitely generated over $M_*(\Gamma_K, R_1)$.
Proof. Let us consider the finite group $G = K/K(M)$ whose cardinality is coprime to $p$ by assumption. Then we have $M_{k+1}(\Gamma_K, R_1) = M_{k+1}(K(M), R_1)^G$ and it is the same for cusp forms. The claim follows from Corollary 1.3.

3.2. Hermitian modular forms for imaginary quadratic fields. We refer Subsection 4.2.1 of [11] for automorphic factors, Section 3 of [8], and [10]. Let us consider the similitude unitary group $G = GU(p, q)(K/Q)$ defined by $I_{p, q} := \begin{pmatrix} I_p & 0 \\ 0 & -I_q \end{pmatrix}$ for an imaginary quadratic extension. Then $K_Q \simeq GL_p \times GL_q \times GL_1$. Hence the highest weights of finite dimensional representation of $K_Q$ are the $(p + q + 1)$-tuples

$$\nu_0 := ((a_1, \ldots, a_p, b_1, \ldots, b_q) ; c), \ a_i, b_j, c \in \mathbb{Z}, \ 1 \leq i \leq p, \ 1 \leq j \leq q$$

such that

$$a_1 \geq \cdots \geq a_p, \ b_1 \geq \cdots \geq b_q, \ \sum_{i=1}^{p} a_i + \sum_{j=1}^{q} b_j \equiv c \mod 2.$$ 

4. Another classical setting

In this paper we will discuss the previous claims for the Siegel modular forms of level one by using only Chai-Faltings’s results in [10]. Let us keep the notation in Subsection 3.1. Assume that $g \geq 2$. Let $k_1 \geq \cdots \geq k_g$ be integers. Put $k = (k_1, \ldots, k_g)$. Let $\rho = \rho_k : GL_g(L) \rightarrow Aut_{\mathbb{C}}(V_\rho)$ be a unique irreducible representation with the highest weight $k$. In terms of classical language, as in [10] we can define the space $M_\rho(\text{Sp}_{2g}(L))$ (resp. $S_\rho(\text{Sp}_{2g}(L))$) consisting of Siegel modular forms (resp. Siegel cusp forms) of weight $\rho$ with respect to $\text{Sp}_{2g}(L)$. Let $\mathbb{H}_g$ be the Siegel upper half space of degree $g$. Put $A_g = \text{Sp}_{2g}(L) \backslash \mathbb{H}$. It is not a complex manifold but an orbifold since $\text{Sp}_{2g}(L)$ has non-trivial torsions. However there is a model $A_{g,Z}$ which is a quasi-projective normal scheme over $\mathbb{Z}$. By Theorem 2.3 of [3] there exists a canonical compactification, so called the minimal compactification $A_{g,Z}^{\text{min}}$, and an ample line bundle $L_k$ on it such that

$$M_{k1}(\text{Sp}_{2g}(L), L) \simeq H^0(A_{g,Z}^{\text{min}}, L^{\otimes k}).$$

for any integer $k \geq 0$. Here $1 = (1, \ldots, 1) \in \mathbb{Z}^g$. Further it follows from Theorem 2.3-(2) of [3] that the graded ring $M_\rho(\text{Sp}_{2g}(L), L) := \bigoplus_{k \in \mathbb{Z}_>0} M_{k1}(\text{Sp}_{2g}(L), L)$ is finitely generated over $\mathbb{Z}$.

There is no canonical way to define geometric Siegel modular forms of level one. To detour this issue we can apply the results in [3] in terms of stacks. However to save notation and to avoid using stacks we work on schemes and use reflexive-ness of some coherent sheaves related to Siegel modula forms. Let $A_{g,Z}^{\text{sm}}$ be the smooth locus of $A_{g,Z}$. Since $A_{g,Z}^{\text{min}}$ is normal and the codimension of $A_{g,Z}^{\text{min}} \backslash A_{g,Z}$ in $A_{g,Z}^{\text{min}}$ is greater or equal to $\frac{g(g+1)}{2} - \frac{g(g-1)}{2} = g \geq 2$, so is for the codimension of $A_{g,Z}^{\text{min}} \backslash A_{g,Z}$ in $A_{g,Z}^{\text{sm}}$. Let $f : X' \rightarrow A_{g,Z}^{\text{sm}}$ be the universal abelian variety. This will be defined as follows. As in [3], we first consider the universal abelian variety $X \rightarrow A_{g,Z}$ over the smooth stack $A_{g,Z}$. We regard $A_{g,Z}^{\text{sm}}$ with a sub-stack of $A_{g,Z}$. Then $f$ is defined to be the fiber product of $X \rightarrow A_{g,Z}$ and the natural inclusion, and further it yields the desired morphism $f : X' \rightarrow A_{g,Z}^{\text{sm}}$, for instance, by Example 5.1.7, p.121 of [27]. Since $f$ is smooth by the fiber-wise argument, $E = f_*\Omega_X^{1}/A_{g,Z}^{\text{sm}}$ is a locally free sheaf of rank $g$ and it is clearly reflexive. Let $\rho : GL_g \rightarrow Aut_{\mathbb{C}}(V_\rho)$ be an irreducible algebraic representation. Since Young symmetrizers in Weyl’s construction of $\rho$ are defined over...
There exists a quasi-projective model $A$ on $\mathcal{A}_{g,R_p}$. By Theorem 3, p.817 of \[9\], there exists unique extensions $\tilde{E}_\rho$ and $E_\rho^{\min}$ of $E_\rho$ on $A_{g,R_p}$ and $\mathcal{A}_{g,R_p}$ respectively. They are both coherent sheaves such that

$$H^0(A_{g,R_p}, \tilde{E}_\rho) = H^0(\mathcal{A}_{g,R_p}, E_\rho) = H^0(A_{g,R_p}, E_\rho^{\min}).$$

Note that $E_\rho^{\min}$ is also a unique extension of $\tilde{E}_\rho$. By construction the ample line bundle $\mathcal{L}$ is a unique extension of the line bundle $\omega := \text{det } E$. We denote by $\tilde{L}$ a unique extension of $\omega$ on $A_{g,Z}$. Then we also have

$$(4.1) \quad H^0(A_{g,R_p}, \tilde{E}_\rho \otimes \mathcal{L}^\otimes k) = H^0(\mathcal{A}_{g,R_p}, E_\rho \otimes \omega^\otimes k) = H^0(A_{g,R_p}, E_\rho^{\min} \otimes \mathcal{L}^\otimes k).$$

By flat base change (Proposition 9.3 in Chapter III of \[9\]), GAGA \[32\], and Serre’s extension theorem (see the proof of Theorem 10.14 of \[1\]), we have

$$(4.2) \quad M_{\rho \otimes \text{det}^b}(\text{Sp}_2 g(\mathbb{Z})) \simeq H^0(A_{g,R_p}, \tilde{E}_\rho \otimes \mathcal{L}^\otimes k) \otimes \mathbb{C} = H^0(\mathcal{A}_{g,R_p}, E_\rho^{\min} \otimes \mathcal{L}^\otimes k) \otimes \mathbb{C}.$$
Proof. Let \( g : X_N \rightarrow A_{g,R_N} \) be the universal abelian variety. Let \( F_\rho \) (resp. \( F'_\rho \)) be the vector bundle on \( A_{g,R_N} \) (resp. \( U_N/R_{\rho,N} \)) associated to the Hodge bundle \( g_*\Omega^1_{X_N/A_{g,R_N}} \) (resp. \( f'_*\Omega^1_{X_N/U_N} \)) and \( \rho \). By Theorem 3, p.517 of \[ 3 \] \( F'_\rho \) is extended to \( F_\rho \). By Lemma \[ 4.1 \] and \[ 4.1 \], since \( \pi \) is finite surjective, we have an injective map

\[
\iota'_{\rho,N} : H^0(A_{g,R_N}, \widetilde{\mathcal{E}}_\rho \otimes \tilde{L}^{\otimes k})^{TF} = H^0(A_{g,R_N}, \mathcal{E}_\rho \otimes \omega^{\otimes k})^{TF}
\]

as a \( R_\rho \) module. Here \( R_{\rho,N} \) is naturally regarded as a \( R_\rho \)-module. Clearly the base extension of \( \iota'_{\rho,N} \) is defined over \( C \) yields the isomorphism in \[ 1.2 \].

Since \( N \geq 3 \) we have a natural identification \( H^0(A_{g,R_N}, F_{\rho \otimes \det})^{TF} = M_{\rho \otimes \det}(\Gamma(N), R_{\rho,N}) \) by using \( q \)-expansion principle. Combining it with \( \iota'_{\rho,N} \), we have an injective morphism

\[
\iota_{\rho,N} : H^0(A_{g,R_N}, \widetilde{\mathcal{E}}_\rho \otimes \tilde{L}^{\otimes k})^{TF} \hookrightarrow M_{\rho \otimes \det}(\Gamma(N), R_{\rho,N})
\]

as \( R_\rho \) modules. Applying this to \( N = 3 \) and \( N = 5 \) we see that \( \text{Im(}\iota_{\rho,3}\text{)} \cap \text{Im(}\iota_{\rho,5}\text{)} \) is included in

\[
M_{\rho \otimes \det}(\Gamma(3), R_{\rho,3}) \cap M_{\rho \otimes \det}(\Gamma(5), R_{\rho,5}) \cap M_{\rho \otimes \det}(\text{Sp}_{2g}(\mathbb{Z})) = M_{\rho \otimes \det}(\text{Sp}_{2g}(\mathbb{Z}), R_\rho)
\]

since \( R_{\rho,3} \cap R_{\rho,5} = R_\rho \). Hence we have an injective homomorphism

\[
\iota_{\rho} : H^0(A_{g,R_N}, \widetilde{\mathcal{E}}_\rho \otimes \tilde{L}^{\otimes k})^{TF} \hookrightarrow M_{\rho \otimes \det}(\text{Sp}_{2g}(\mathbb{Z}), R_\rho)
\]

as a \( R_\rho \)-module such that its base change to \( C \) coincides with the isomorphism in \[ 1.2 \]. What we need to prove is the surjectivity of \( \iota_{\rho} \). Pick an element \( F \) in the right hand side. We regard it as an element in \( M_{\rho \otimes \det}(\Gamma(N), R_{\rho,N}) \) for some \( N \geq 3 \). Then by \( q \)-expansion principle it can be regarded as an element \( H \) in \( H^0(A_{g,R_N}, F_{\rho \otimes \det})^{TF} \) such that the finite group \( \Gamma(1)/\Gamma(N) \) acts trivially on \( H \). By using the trace map for \( \pi_{1,N} \) we see that \( H \) belongs to \( H^0(A_{g,R_N}, \widetilde{\mathcal{E}}_\rho \otimes \tilde{L}^{\otimes k})^{TF} \otimes_{R_\rho} R_{\rho,N}[\frac{1}{\rho}] \). However by \( q \)-expansion principle again, the Fourier coefficients of \( H \) are all defined over \( R_\rho \) since so is \( F \). Hence \( F \) belongs to \( H^0(A_{g,R_N}, \widetilde{\mathcal{E}}_\rho \otimes \tilde{L}^{\otimes k})^{TF} \). This completes the proof. \( \square \)

**Theorem 4.3.** Let \( \rho \) be as above. Fix a positive integer \( m \). Let \( R_\rho \) be the minimal subring of \( \mathbb{Z}[\frac{1}{g}] \) such that \( \rho \) is defined. Then it holds that the graded vector space \( M_{\rho,m,*} := \bigoplus_{k \in \mathbb{Z}_{\geq 0}} M_{\rho \otimes \det}^{km}(\text{Sp}_{2g}(\mathbb{Z}), R_\rho) \)

is finitely generated over \( M_{m,*} := \bigoplus_{k \in \mathbb{Z}_{\geq 0}} M_{\det}^{km}(\text{Sp}_{2g}(\mathbb{Z}), R_\rho) \).

**Proof.** The claim follows immediately from Theorem \[ 1.2 \] and the proof of Theorem \[ 1.2 \]. \( \square \)

**Corollary 4.4.** Let \( g = 2 \) and let \( k_1 \geq k_2 \geq 1, m \geq 1 \) be integers. The graded ring

\[
\bigoplus_{k \in \mathbb{Z}} M_{(k_1 + mk,k_2 + mk)}(\text{Sp}_4(\mathbb{Z}), \mathbb{Z})
\]

is finitely generated over \( \bigoplus_{k \in \mathbb{Z}} M_{mk}(\text{Sp}_4(\mathbb{Z}), \mathbb{Z}) \).

**Proof.** Clearly \( \rho = \text{Sym}^{k_1-k_2}\text{St}_2 \otimes \det^{k+k_2} \) is defined over \( \mathbb{Z} \). Hence \( R_\rho = \mathbb{Z} \). The claim immediately follows from Theorem \[ 1.3 \]. \( \square \)

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Remark 4.5. The strategy in proving Theorem 4.3 may work for other congruence subgroups, as \( \Gamma_0(M) = \left\{ \begin{pmatrix} A & B \\ C & D \end{pmatrix} \in \text{Sp}_2(\mathbb{Z}) \mid C \equiv 0 \mod M \right\} \) for \( M \in \mathbb{Z}_{>0} \). For example, the same claim for \( \Gamma_0(M) \) is true if we replace \( R_\rho \) with \( R_\rho\left[\frac{1}{M}\right] \). The results in [3] will be substituted into the corresponding results in [16]. Checking the details will leave to the interesting readers.

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