Research Article

3D-Printed Patient-Specific Instrumentation Technique Vs. Conventional Technique in Medial Open Wedge High Tibial Osteotomy: A Prospective Comparative Study

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Purpose. The purpose of this study was to compare the accuracy and clinical outcomes of the medial open wedge high tibial osteotomy (MOWHTO) using a three-dimensional (3D-) printed patient-specific instrumentation (PSI) with that of conventional surgical techniques. Methods. A prospective comparative study which included 18 patients who underwent MOWHTO using 3D-printed PSI technique (3D-printed group) and 19 patients with conventional technique was conducted from Jan 2019 to Dec 2019. After the preoperative planning, 3D-printed PSI (cutting guide model) was used in MOWHTO for 3D-printed group, while freehand osteotomies were adopted in the conventional group. The accuracy of MOWHTO for each method was compared using the radiological index obtained preoperatively and postoperatively, including mechanical femorotibial angle (mFTA) and medial mechanical proximal tibial angle (mMPTA), and correction error. Regular clinical outcomes were also compared between the 2 groups. Results. The correction errors in the 3D-printed group were significantly lower than the conventional group (mFTA, 0° ± 2° vs. 1° ± 4°, P = 0.004) (mMPTA, 0.1° ± 2.2° vs. 1.2° ± 1.8°, P < 0.00001). There was a significantly shorter duration (P < 0.00001) and lower radiation exposures (P < 0.00001) for the osteotomy procedure in the 3D-printed group than in the conventional group. There were significantly higher subjective IKDC scores (P = 0.009) and Lysholm scores (P = 0.03) in the 3D-printed group at the 3-month follow-up, but not significantly different at other time points. Fewer complications occurred in the 3D-printed group. Conclusions. With the assistance of the 3D-printed patient-specific cutting guide model, a safe and feasible MOWHTO can be conducted with superior accuracy than the conventional technique.

1. Introduction

Medial open wedge high tibial osteotomy (MOWHTO) is a well-established surgical procedure in dealing with early or mild stage of knee osteoarthritis (OA), and this native knee-preserving surgery could ensure long-lasting clinical success (>10 years) in the overall treatments of knee OA [1, 2]. MOWHTO is typically applied for the correction of varus malalignment of the lower extremities in isolated medial compartment arthritis of the knee [3–5]. If accurately performed, MOWHTO has the potential to delay or even possibly prevent the development of end-stage OA, by shifting the weight-bearing axis toward the lateral compartment [3, 6]; the loading is redistributed, and knee function is thereby restored and could avert total knee arthroplasty (TKA).

Nevertheless, the downsides of this procedure remain notable. Except for the high rates of knotty local complications, including increased tibial slope, hinge fractures, infections, and delayed union [7, 8], the main obstacle lies in the accuracy of performing osteotomy [9]. A successful MOWHTO requires the angular correction to be achieved
accurately in both the sagittal and coronal planes, making it fairly challenging to determine the accurate osteotomy opening distance with the current conventional techniques \cite{4,10}. The systematic review by Van den Bemt et al. \cite{4} revealed that the accuracy of conventional MOWHTO was below 75% in 8 out of 14 cohorts. Small errors in osteotomy positioning can lead to severe local complications such as lateral cortex fractures \cite{11}, and minor inaccuracy of angular correction in the coronal plane hinders the long-term success of this operation and even accelerates the progression of OA \cite{12}. For the small tolerance for errors and the complexity for mastery, conventional MOWHTO gradually comes to be an unfavorable alternative \cite{13}.

However, the newly developed ancillary technology in the modality of 3D-printed patient-specific instrumentation (PSI) may be a solution to the accuracy requirements of HTO planning and execution \cite{13}. This technique was initially carried out in maxillofacial surgery \cite{14}; however, its practicability was more adequately embodied in the later orthopedic studies \cite{5,15–17}. The feasibility and proof-of-concept study by Victor and Premanathan \cite{17} reported PSI for 14 cases of osteotomy around the knee yielded satisfactory outcomes, suggesting it to be a prospective solution. In the study by Van Genechten et al. \cite{5}, similar competent postoperative overall results were achieved by MOWHTO with the assistance of the 3D-printed PSI. Moreover, with a safer and faster osteotomy, it allows orthopedists to perform more concomitant surgeries at one time, such as meniscectomy and anterior cruciate ligament reconstruction (ACLR) \cite{18–20}. Nevertheless, despite all these desirable superiorities, there was an evident scarcity of prospective comparative studies with robust evidences to prove the clinical advantages of PSI over conventional techniques in MOWHTO.

This study is thus designed to identify the safety, feasibility, and reliability of 3D-printed PSI for MOWHTO and to determine whether this novel technique could achieve better clinical outcomes and accuracy, when compared with conventional MOWHTO, in terms of correcting the varus malalignment in patients with isolated medial compartment OA. The null hypothesis was that MOWHTO with PSI technique could offer better clinical outcomes, fewer complications, and more accurate realignment over the traditional MOWHTO.

2. Methods

2.1. Patients. 18 MOWHTO surgeries with 3D-printed PSI technique and 19 conventional MOWHTO were conducted between Jan 2019 and Dec 2019 at Sports Medicine Center, Western China Hospital, Sichuan University. The study was approved by the Health Sciences Research Ethics Board at Sichuan University and at the local research ethics board at each institution (ID: 2018534)

Patients were considered for inclusion if they meet the following criteria: (1) age between 35 and 60 years old; (2) isolated medial compartment OA, Kellgren-Lawrence grade ≤ III; (3) radiological evidences for varus malalignment (varus > 6°, mechanical medial proximal tibial angle, mMPTA < 85°); (4) ROM: flexion ≥ 120°, loss of extension ≤ 10°; and (5) outer bridge grade for cartilage injury < IV (defect area < 2.5 cm²). Patients were thoroughly informed about the pre- and postoperative radiology protocol, the planning procedure, and the PSI surgical technique. On a voluntary basis, for the patients who agreed to take HTO at our medical center, either with novel PSI or conventional technique, preoperative hip-to-ankle double-limb weight-bearing X-ray view of the knee (anteroposterior (AP), lateral view), whole lower limb CT scan of both sides, and MRI of the affected knee were taken. The same imaging protocol was repeated 3 months and 12 months after surgery to evaluate the angular correction in both sagittal and coronal planes, the accuracy of hardware positioning, the condition of the cartilage, and the healing of the osteotomy.

All included patients in both groups had completed the prementioned radiology protocol and clinical assessments. The demographic characteristics of the included patients were shown in Table 1.

2.2. Preoperative Planning. With reference to the methodology and parameters provided by Chieh-Szu et al. \cite{21,22}, under the guidance of a radiology engineer (B.J.), by using the DICOM (digital imaging and communication in medicine) data, continuum-based tibial and fibular models from the CT image (slice thickness: 1.5 mm; image resolution: 512 × 512 pixels) were reconstructed as the intact model. A computerized osteotomy simulation software (OsteoMaster) was adopted to create the 3D bone anatomy virtual models of the lower limbs (Figure 1).

After the optimal sagittal and coronal correction angles, depth, width, height, slope, and position of the osteotomy were determined, the PSI cutting guide model was then built accordingly using additive layer manufacturing (3D printing) for the accurate osteotomy in the material of hydroxyapatite. Every osteotomy case was planned by a single investigator (Y.X.) who was highly trained in working with 3D medical software programs according to the protocol previously mentioned (Figure 1).

2.3. Surgical Procedures. Surgeries were performed by a single senior surgeon (J.L.). Firstly, intra-articular procedures were performed, arthroscopy was taken at each patient in the exploration for concomitant diseases, and articular debride- ment, free body removal, meniscectomy, or ACLR were conducted if necessary.

For the PSI technique, a 10-cm vertical medial tibia skin incision was made 2 cm below the tibial articular surface; then, the pes anserinus tendon was explored and loosen to allow greater surgical exposure; the tibial insertion of the superficial layer of the fibular collateral ligament (FCL) was then released, and osseous landmarks were made for the PSI cutting guide model positioning, fixed by saw pins. Then, the two-planar osteotomy was performed by a swing saw through the cutting grooves of the guide model, the wedge shape gap was widened length by length with steel rulers and fixed at the predetermined angle via a metal bar stabilizer, then a distractor was used to maintain this interspace, and the PSI guide model was removed. Finally, a properly curved HTO plate was attached to the medial surface of the tibia as closely as possible, and the locking plate was tightly
fixed by screws. Autogenous or allogenic bones were implanted if the lateral border of the osteotomy opening was larger than 10 mm (Figure 2).

As for conventional MOWHTO, under the guidance of intraoperative C-arm fluoroscope, the osteotomy sites were determined visually by the free hand of the senior surgeon (J.L.); the same two-plane osteotomy procedures were performed accordingly. The correction angle, hardware positioning, and accuracy were determined recurrently by the C-arm fluoroscope, and the exposures of radiography were recorded. The same criteria were applied for bone grafting.

### 2.4. Radiological and Arthroscopic Assessment.

Radiological measurements were performed for both groups after surgery in the aforementioned protocol (preoperatively, postoperatively, 3 months, and 12 months after surgery) by a single observer (Y.H.M.). All angles mentioned above were measured on the double-limb full-length standing position X-ray plain film (anteroposterior view), which is the benchmark of the measurement of the mechanical leg axis [23]. In the coronal plane, the mechanical femorotibial angle (mFTA), the mechanical proximal tibial angle (mMPTA), and the mechanical lateral distal femoral angle (mLDFA) were measured. Correction errors for the mFTA and the mMPTA accounting for accuracy in the coronal plane were also calculated. Special attention was paid to correct the positioning of both legs/feet on the full-length standing X-ray views before angle measurements were undertaken. OA severity was scored according to the Kellgren–Lawrence grading (I: II: III) [24]. The IKDC has been proven to be a valid and reliable instrument for patients who have knee injury and disability [25].

Intraoperative and postoperative adverse events up to 1 year were carefully documented for the assessment of technique safety. Common complications [8] including hinge fractures, delayed union/nonunion, infection, and deep vein thrombosis were strictly observed and duly managed. Visual analogue scale (VAS) was used to assess the preoperative pain and postoperative pain (24 hours, 48 hours, 1 month, 3 months, 6 months, and 12 months). The surgical duration for osteotomy, days of hospitalization, and dose of radiation (C-arm) were also recorded in every case. Standard follow-up with the senior surgeon (J.L.) was provided at 1 month, 3 months, 6 months, and 12 months postoperatively.

### 2.6. Statistical Analysis.

All statistical tests were performed in Software Package for Social Sciences (SPSS) Statistics version 25.0. Categorical data were compared with Fisher exact tests. Continuous data were tested for normality and compared with either Student t-tests or Mann–Whitney tests depending on normality. A bivariate Spearman rank correlation was conducted to evaluate the relation between the mMPTA and mFTA in terms of effective correction. P values <0.05 were considered statistically significant.

### 3. Results

#### 3.1. Radiological and Arthroscopic Outcomes.

The postoperative full-length double-limb weight-bearing X-ray was regularly taken in all patients for the assessment of postoperative mFTA, mMPTA, and mLDFA. There were 3 patients in the 3D-printed PSI group and 4 patients in the

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**Table 1: Demographic characteristics of the two groups.**

|                      | 3D-printed PSI (mean ± SD) | Conventional (mean ± SD) | P value |
|----------------------|-----------------------------|---------------------------|---------|
| Age, years           | 44.2 ± 11.7                 | 41.8 ± 10.2               | n.s     |
| Sex (male: female)   | 4:14                        | 5:14                      | n.s     |
| Right: left          | 11:7                        | 13:6                      | n.s     |
| BMI                  | 25.6 ± 3.68                 | 25.1 ± 3.91               | n.s     |
| ROM (°)              | 126 ± 11.2                  | 121 ± 10.3                | n.s     |
| mFTA (°)             | 172.2 ± 1.7                 | 172.0 ± 1.9               | n.s     |
| mMPTA (°)            | 86.3° ± 2.28°               | 83.4° ± 2.15°             | n.s     |
| mLDFA (°)            | 88.9 ± 1.86                 | 89.4 ± 1.57               | n.s     |
| OA Kellgren–Lawrence grading (I: II: III) | 2:8:8 | 4:5:10 | —       |
| Planned wedge opening (mm) | 8.9 ± 1.1 | 8.5 ± 1.5 | n.s     |
| Meniscus injury (n)  | 7                           | 8                         | n.s     |
| ACL injury (n)       | 2                           | 3                         | n.s     |

Abbreviations: 3D: three-dimensional; PSI: patient-specific instrumentation; SD: standard deviation; n.s: not significant; BMI: body mass index; ROM: range of motion; mFTA: mechanical femorotibial angle; mMPTA: mechanical medial proximal tibial angle; mLDFA: mechanical lateral distal femoral angle; OA: osteoarthritis; ACL: anterior cruciate ligament.
Figure 1: Continued.
conventional group requested for the removal of the internal fixation; all plates and screws were successfully removed, and concomitant arthroscopies were conducted. In 1 patient of the 3D-printed PSI group, arthroscopic results showed the cartilage degeneration recovered from the preoperative Outerbridge grade III to the postoperative Outerbridge grade I (Figure 3).

3.2. mFTA. The mFTA was corrected from a preoperative mean angle of $172.2\pm 1.7^\circ$ to a postoperative mean angle of $180.7\pm 0.7^\circ$ in the 3D-printed PSI group and from a preoperative mean angle of $173.3\pm 1.7^\circ$ to a postoperative mean angle of $179.7\pm 1.8^\circ$ in the conventional group. The PSI group preoperative planning for mFTA is to be corrected to $180.5^\circ \pm 0.91^\circ$. The postoperative results showed there was a larger absolute mFTA in the 3D group than the conventional group ($P = 0.02$). The mFTA correction in the 3D-printed PSI group was $8.5^\circ \pm 1.9^\circ$, which is significantly higher than the conventional group with a correction of $6.4^\circ \pm 1.90^\circ$ ($P = 0.0008$) (Table 2). When compared to the target mFTA in the preoperative planning, the 3D-printed PSI group had a significantly smaller correction error than the conventional group ($0.2 \pm 0.6$ vs. $1.2 \pm 1.4$, $P = 0.004$) (Figure 4).

3.3. mMPTA. The mMPTA was corrected from a preoperative mean angle of $86.3^\circ \pm 2.28^\circ$ to a postoperative mean angle of $91.2^\circ \pm 0.65^\circ$ in the 3D-printed PSI group and from a preoperative mean angle of $83.4^\circ \pm 2.15^\circ$ to a postoperative mean angle of $89.3^\circ \pm 2.13^\circ$ in the conventional group. The PSI group preoperative planning for mMPTA is to be corrected to $91.3^\circ \pm 0.87^\circ$. The postoperative results showed there was a larger absolute mMPTA in the 3D group than the conventional group ($P = 0.0002$). The mMPTA correction in the 3D-printed PSI group was $7.5^\circ \pm 2.16^\circ$, which is significantly higher than the conventional group with a correction of $5.9^\circ \pm 2.22^\circ$ ($P = 0.03$). When compared with the preoperative target mMPTA, there was a significantly smaller
correction error in the PSI group than in the conventional group \((0.2 \pm 0.6 \text{ vs. } 2.2 \pm 1.8, P < 0.00001)\) (Table 3).

Table 2: Preoperative, target, and postoperative mFTA measured at double-limb full-length standing position X-ray.

|                  | 3D-printed PSI \((n = 18)\) | Conventional \((n = 19)\) | \(P\) value |
|------------------|------------------------------|--------------------------|-------------|
| mFTA (°) Correction angle | 8.5 ± 1.9                    | 6.4 ± 1.9                | \(P = 0.0008\) |
| mFTA (°) Correction error     | 0.2 ± 0.6                    | 1.2 ± 1.4                | \(P = 0.004\) |

mFTA: mechanical femorotibial angle; 3D: three-dimensional; PSI: patient-specific instrumentation; \(P_{\text{pre}} = 0.05\); \(P_{\text{target}} = 0.15\); \(P_{\text{post}} = 0.02\).

3.5. Patient-Reported Outcomes and Clinical Outcomes. In every case, a successful surgical procedure was conducted, and no intraoperative complications were observed, while the exposures of intraoperative C-arm fluoroscopy in the PSI group \((1.3 \pm 0.12\) were significantly smaller than the conventional group \((4.1 \pm 0.57\) \((P < 0.00001)\). Moreover, there was a significantly shorter time for the osteotomy procedure in the PSI group \((37.8 \pm 7.14\) than in the conventional group \((54.6 \pm 11.72\) \((P < 0.00001)\), and this allowed more concomitant treatments. No significant differences were found in the VAS scores postoperatively at each time point (Figure 6); neither was found in hospitalization days. There were 2 patients in the conventional group caught up with lateral hinge fracture at the 1-month follow-up, delayed weight-bearing and moderate rehabilitation protocols were made for them. There were 3 patients in the conventional group and one patient in the PSI group detected to have intermuscular venous thrombosis by ultrasound postoperatively (color Doppler ultrasound examinations of the lower extremity were performed 3 days after surgery regularly); no special anticoagulant therapy was applied, and those

3.5. mLDFA. All patients in both groups did not meet the surgical indications for DFO. As for the preoperative and postoperative mLDFA in 3D-printed PSI group, the mean angles were 88.9° ± 1.86° and 89.0° ± 1.82°, respectively; there was no significant change observed in this group. No significant changes were observed in the conventional group in terms of preoperative and postoperative mLDFA; the

mean angles were 89.4° ± 1.57 and 88.8° ± 1.85, respectively (Table 4).
patients were asymptomatic at each follow-up. Minor local infection signs were found in one PSI patient at the osteotomy site, which was probably caused by allogenic bone graft; the infection was controlled by antibiotics and immobilization. One patient in the conventional group had a postoperative intra-articular infection, debridement under arthroscopy was conducted, adequate drainage and antibiotic therapy were also applied, and the patient fully recovered afterwards (Table 5).

### 4. Discussion

The goal of MOWHTO is to change the abnormal load of the medial knee compartment in patients with varus deformity and prevent the further development of osteoarthritis [26–28]. By correcting the alignment, MOWHTO evenly distributed the excessive load from the lower medial compartment to the whole articular surface [12, 28]. The general aim was to bring the weight-bearing axis to 62.5% of the normal position.

| mLDFA (°)         | 3D-printed PSI (n = 18) | Conventional (n = 19) | P value |
|-------------------|-------------------------|-----------------------|---------|
| Preoperative      | 89.9 ± 1.86             | 89.4 ± 1.57           |         |
| Postoperative     | 89.0 ± 1.82             | 88.8 ± 1.85           |         |

**Table 4: mLDFA.**

Abbreviations: mFTA: mechanical femorotibial angle; mMPTA: medial mechanical proximal tibial angle; mLDFA: mechanical lateral distal femoral angle; n.s: not significant; 3D: three-dimensional; PSI: patient-specific instrumentation.

As for patient-reported functional measurements, there were significantly higher scores observed in the 3D-printed PSI group than the conventional group in terms of both subjective IKDC score (76.6 ± 7.9 vs. 69.1 ± 9.6, $P = 0.009$) and Lysholm score (76.4 ± 8.9 vs. 70.4 ± 7.8, $P = 0.03$) at the 3-month follow-up. No significant differences regarding both the IKDC scores and Lysholm scores were noticed between the two groups at other times of follow-up (Figures 7 and 8).
the proximal tibia width [29], but more recent biomechanical and clinical studies advocate a less aggressive overcorrection [6, 30, 31]. In this study, a 55%–60% proximal tibial width as the target weight-bearing axis was chosen. On the purpose of preserving a native knee joint, MOWHTO is an effective procedure of postponing the requirement of partial or total knee arthroplasty [7, 32] and creates the probability of cartilage recovery. The precision of the osteotomy is one of the cornerstones for successful OWHTO surgery. Conventional HTO planning and execution is commonly performed on two-dimensional radiographs [33] (X-rays, C-arm), and in face of deformities on both sagittal and coronal planes, the traditional technique seems to be incompetent and prone to error [34]. Moreover, the hip-knee-ankle angle (HKA), which is used to plan HTO, was reported to be inconsistent preoperatively, intraoperatively, and postoperatively in most cases [35, 36]; this is due to the variation in both knee rotation and flexion under different circumstances. In the era of precision medicine, the lack of consistency in conventional MOWHTO is probably the biggest barrier for this technique to become widely accepted [17].

The most important finding of this study is that this novel 3D-printed PSI technique is capable of delivering a higher level of accuracy in angular correction than conventional techniques. By the hand of an experienced surgeon, though the postoperative mFTAs of the conventional HTO also achieved the "acceptable range" (valgus from 3° to 6°) mentioned by Hernigou et al. [37]; nevertheless, there was a significantly shorter operation duration in the PSI group than the conventional technique. In addition to the improvement of accuracy and surgical duration, the PSI technique is a safer approach with higher feasibility for fewer complications and adverse events occurred in the 3D-printed PSI group, and there was a lower dosage of radiation brought by intraoperative C-arm scanning. These merits not only allow more concomitant treatment procedures (debridement, meniscectomy, ACLR, etc.) but also ensure enhanced recovery after surgery. To our knowledge, only a few studies have been reporting feasibility and accuracy outcomes about the clinical use of PSI in

| Table 5: Clinical outcomes. |
|-----------------------------|
|                             | 3D-printed PSI | Conventional | P value |
| Feasibility                 |                |              |         |
| Operation time of osteotomy (min) | 37.8 ± 7.14   | 54.6 ± 11.72 | P < 0.00001 |
| Radiation exposures (n)     | 1.3 ± 0.12     | 4.1 ± 0.57   | P < 0.00001 |
| Hospitalization (d)         | 5.6 ± 1.28     | 6.2 ± 1.34   | n.s      |
| Bone graft                  | 2.1 ± 0.33     | 2.2 ± 0.37   | n.s      |
| Complications (n)           |                |              |         |
| Displaced (>2 mm) lateral hinge fracture | 0       | 0           | —        |
| Undisplaced (<2 mm) lateral hinge fracture | 0       | 2           | —        |
| Deep vein thrombosis        | 1              | 3           | —        |
| Infection                   | 1              | 1           | —        |
| Hardware failure            | 0              | 0           | —        |

Abbreviations: VAS: visual analogue score; n.s: not significant; 3D: three-dimensional; PSI: patient-specific instrumentation.  

Figure 7: Preoperative and postoperative Lysholm scores at 1 month, 3 months, and last follow-up. 3D: three-dimensional; PSI: patient-specific instrumentation; pre-o: preoperative; mo: month. *P_{pre-o,1mo,last} > 0.05. & P_{3mo} = 0.03.

Figure 8: Preoperative and postoperative subjective IKDC scores at 1 month, 3 months, and last follow-up. 3D: three-dimensional; PSI: patient-specific instrumentation; pre-o: preoperative; mo: month. *P_{pre-o,1mo,last} > 0.05. & P_{3mo} = 0.009.
osteoarticular deformity correction in knee OA by the PSI technique, but the accuracy was limited due to the conventional planar osteotomy procedure. The use of 3D printing for PSI planning has resulted in superior outcomes compared to conventional techniques. The combination of precise planning and computer-assisted surgery has contributed to more accurate osteotomy correction, especially in cases with complex deformity. This approach has also demonstrated improved stability and reduced complications, such as non-union or plate failure.

5. Conclusion

With the assistance of 3D-printed PSI, a safe and feasible MOWHTO can be conducted with superior accuracy than the conventional techniques. The combination of precise 3D osteotomy cutting guide model contributed to a more accurate translation from planning to surgery, and a shorter operation duration created the opportunities for more concomitant treatments.

Data Availability

The results in this study are available from the corresponding author on reasonable request.

Conflicts of Interest

There are no conflicts of interest.

Authors’ Contributions

Yunhe Mao and Yan Xiong are co-first authors. Jian Li is the corresponding author.

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