Metformin as an archetype immuno-metabolic adjuvant for cancer immunotherapy

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ABSTRACT
The development of a single immuno-metabolic adjuvant capable of modulating, in the appropriate direction and intensity, the complex antagonistic and symbiotic interplays between tumor cells, immune cells, and the gut microbiota may appear pharmacologically implausible. Metformin might help solve this conundrum and beneficially impact the state of cancer-immune system interactions.

One of the greatest obstacles to making cancer immunotherapy more broadly effective could be rooted in a basic concept of cell biology, namely metabolism. Immunometabolism, which is a relatively new field in cancer immunotherapy, is gaining momentum through the realization that faulty metabolic remodeling underlies impaired antitumor immune responses, and also that controlling metabolism can enhance antitumor immunity and synergize with existing checkpoint inhibitors.1–5 There is no doubt that harnessing the highly complex, antagonistic and symbiotic metabolite-mediated communication between tumor cells and the range of immune cell compartments residing in the tumor microenvironment (TME) has such potential. The question now is how to resolve the apparent conundrum of simultaneously orchestrating the precise direction and intensity of multiple metabolic checkpoints not only in T-cells, immune suppressor cells (tumor-associated macrophages [TAM], myeloid-derived suppressor cells [MDSC], regulatory T- [Treg]-cells), and cancer cells within the TME, but also in the gut microbiota, and its consequent systemic effects on host metabolism.

Advances in understanding the communication between cancer cells and TME-associated immune cells have highlighted the importance of specific metabolic pathways and nutrient-sensing mechanisms to regulate anti-cancer immune responses and optimize the effectiveness of immunotherapy.6–10 A great deal is known about how the phenotypic characteristics of T-cells for cytotoxicity against tumor cells requires metabolic specialization, and how specific metabolic activities and tumor-driven shifts in the abundance of specific metabolites lead to local immunosuppression and reduce the metabolic fitness of tumor-infiltrating T-cells (TILs). However, while targeting the dynamic interacting and competing metabolic pathways in the TME holds promise for improving immunotherapies, one should acknowledge that the similar metabolic needs between cancer cells and immune cells might abash the expected synergistic effects of such combinations. Much is expected from tracking the metabolic pathways that are essential to cancer cells and immune cells and, in particular, those that are driven by tumor cells to impose metabolic stress on TILs and result in local immunosuppression. Nevertheless, it might be argued that it is pharmacologically implausible to develop a single drug capable of modulating, in the appropriate direction and intensity, the metabolic checkpoints responsible not only for the antagonistic (tumor cells versus effector/cytotoxic T-cells) and symbiotic (tumor cells, TAM, MDSC, and Treg cells) metabolic interplays of the TME, but also of improving the anticancer profile of gut microbiota to elevate the response rate of cancer immunotherapy.11,12 Although apparently unattainable, the challenge of enhancing cytotoxic T-cell immune surveillance, suppressing the immunosuppressive nature of TME, impeding the expression of immune checkpoints in cancer cells, and shifting the gut microbiota composition towards specific commensal species with a favorable response to cancer immunotherapy, could be achieved with a small metabolic molecule such as the anti-diabetic biguanide metformin (Figure 1(a)). We here present the first comprehensive overview of how metformin might have the capacity to beneficially impact all the cancer-immune system interactions in individual patients (Figure 1(b)).

Metformin enhances the anti-tumor functionality of T-cells

Ten years ago, metformin was shown to target the metabolic switch driving the expansion of CD8+ memory T-cells.30 Metformin appeared to operate in a rapamycin-like manner to facilitate the shift from a glucose-dependent anabolic state (effector T-cell) to a catabolic state of metabolism (memory T-cell) by blocking mTOR signaling downstream of AMPK and restoring mitochondrial fatty acid oxidation.31,32 This ability to directly enhance the number and functionality of memory T-cells proved an effective strategy for improving the
Metformin: A multi-faceted immuno-metabolic adjuvant for cancer immunotherapy

(a). Metformin might lead to systemically decreased levels of pro-inflammatory soluble T-cells, including the expression of exhaustion. Downstream of these major biological outcomes, metformin might have the capacity to impact all the cancer-TILs from apoptosis while restoring the protective effect took place after metformin withdrawal, it should be viewed as a vaccination outcome, which is different from TIL-mediated regression of established solid tumors.

A chronic, repeated T-cell receptor presentation from CD8+ TILs specific for tumor antigens to cancer cells leads to a gradual loss in their ability to secrete multiple cytokines (e.g., IL-2, TNFα, IFNγ), and they ultimately undergo apoptotic elimination in a process known as immune exhaustion. This worsening of immune function is accompanied by phenotypic changes in CD8+ T-cells, including the expression of exhaustion markers such as the immune checkpoint molecule PD-1.

Therapeutic management of functional T-cell exhaustion within tumor tissues is largely based on the administration of blocking antibodies against PD-1 (pembrolizumab and nivolumab) or its ligand PD-L1 (atezolizumab, durvalumab, and avelumab). However, the possibility exists of metabolically counteracting apoptosis induction and diminished cytokine production in CD8+ TILs to block immune exhaustion within tumor tissues. Interestingly, metformin has been shown to protect PD1+ CD8+ TILs from apoptosis while restoring the production of multiple cytokines via their conversion from a central memory (TCM) to an effector, memory T-cell (TEM) phenotype fully active against tumors.

(b). Metformin might reverse an inhibitory tumor metabolism by remodeling the hypoxic TME via reduction of intratumoral hypoxia (5), a key driver of functional qualities of vaccine- or infection-induced T-cells, and further protected mice from challenge by tumor cells expressing ovalbumin. However, because the cancer-protective effect took place after metformin withdrawal, it should be viewed as a vaccination outcome, which is different from TIL-mediated regression of established solid tumors.

Figure 1. Metformin: A multi-faceted immuno-metabolic adjuvant for cancer immunotherapy. (a). Evidences. The anti-diabetic agent metformin might serve as an archetype immuno-metabolic adjuvant capable of simultaneously regulating, in the appropriate direction and intensity, antitumor immunity-related metabolic checkpoints not only in T-cells, cancer cells and associated immune suppressor cells of the TME, but also in the gut microbiota and its systemic effects on host metabolism. The capacity to improve the metabolic competence of T-cell immune surveillance, suppress the metabolic traits of immunosuppressive cell subsets in the TME, prevent both the constitutive and the inflammation (IFNγ)-inducible expression of immune checkpoint receptors in cancer cells, and shift the gut microbiota composition towards specific commensal microbes might optimize the effectiveness of cancer immunotherapy. Further studies are needed to determine the effects of metformin on tumor antigen cross-presentation by dendritic cells and tumor cell lysis by natural killer cells. (b). Mechanisms. As a consequence of the metformin-mediated inhibition of mitochondrial electron transfer, metformin is able to activate a variety of AMPK-dependent and -independent signaling pathways through which it facilitates the inhibition of mTOR, inhibits the inflammatory pathway, and lastly disturbs inflammation, cellular survival, stress defense, protein synthesis, autophagy, and epigenetic reprogramming. Downstream of these major biological outcomes, metformin might have the capacity to impact all the cancer-immune system interactions constituting the so-called “cancer immunogram.” Metformin might lead to systemically decreased levels of pro-inflammatory soluble inhibitors (e.g., serum levels of C-reactive protein and IL-6), which are known to drive tumor-associated inflammation, impair T-cell-mediated tumor control, and associate with poor outcomes in response to ICIs (1). Metformin might increase tumor sensitivity to immune effectors by augmenting the levels of major histocompatibility complex (MHC) class I antigens (2), which might impact also tumor foreignness by helping T-cells to recognize neoantigens (3). Metformin might alter the general performance of immune system via modification of the microbiome (4), specifically by changing microbial folate and serine/methionine metabolism. Metformin might reverse an inhibitory tumor metabolism by remodeling the hypoxic TME via reduction of intratumoral hypoxia (5), a key driver of poor outcomes upon ICIs. Metformin might sustain or restore the infiltration of tumor-reactive T-cells into the tumor (6) by preventing the occurrence of dysfunctional states characterized by impaired activity and proliferative activity, increased apoptotic rate, and reduced production of effector cytokines (i.e., T-cell exhaustion). Metformin might alter the expression profile of immune checkpoints (7) such as PD-L1 in the tumor compartment, thus suggesting that a combination of metformin-CTLA-4 blockade might have the potential to increase the efficacy of cancer immunotherapy.
the TCM population, which is known to migrate between lymphoid organs, metformin preferentially increases the TEM population, which circulates principally in the blood, spleen, and peripheral tissues.\textsuperscript{37,38}

The ability of metformin to promote anti-tumor effects by rescuing exhausted CD8\textsuperscript{+} TILs in the TME of highly immunogenic tumors, including leukemia, melanoma, renal cell carcinoma, non–small-cell lung carcinoma, intestinal carcinoma, and breast cancer,\textsuperscript{37} has been confirmed and extended by the observation that it significantly augments the ability of CD8\textsuperscript{+} effector memory T-cells to mediate anti-metastatic activity in melanoma models.\textsuperscript{39} Such a promotion of a strong cancer-protective immune response was accompanied by the additional induction of local and systemic cytokine responses including production of IL-10 by metformin-expanded CD4\textsuperscript{+} regulatory T-cells, a key mechanism to enhance effector and memory CD8\textsuperscript{+} T-cell functions.\textsuperscript{40,41} The supra-additive capacity of metformin to prevent melanoma metastases to the lung when used with other clinically relevant anti-metabolic drugs, such as rapamycin and the dipeptidyl peptidase 4 inhibitor sitagliptin,\textsuperscript{39} further bolsters the clinical value of metformin against different facets of T-cell immunometabolism.

**Metformin neutralizes immune-inhibitory cell populations residing in the tumor microenvironment**

Management of the inevitable T-cell exhaustion within the TME should be accompanied by efforts to neutralize the immune-inhibitory cell populations residing in the TME, such as M2-polarized TAMs, MDCSs, and T\textsubscript{reg} cells, for achieving efficient cancer immunotherapy.

The glucose-deprived, lactic acid-enriched TME not only impairs T-cell functionality but also polarizes TAMs to an alternatively activated M2 (anti-inflammatory) phenotype, which enhances tumor-associated angiogenesis, promotes tumor migration and invasion, and suppresses anti-tumor immune responses. Metformin has been shown to prevent cancer metastasis by inhibiting the pro-inflammatory polarization of tolerogenic M2-TAMs via AMPK activation.\textsuperscript{42} The ability of metformin to directly suppress the M2-TAM-driven catabolism of tryptophan to kynurenine – a characteristic immunosuppressive metabolite of the TME that impedes T-cell activation and promotes the development of T\textsubscript{reg} cells – has not been explored. However, successful metformin treatment of insulin resistance leads to a normalization of the tryptophan-to-kynurenine conversion,\textsuperscript{33,44} making it mechanistically plausible that metformin decreases the contribution of the kynurenine metabolic pathway in M2-TAMs. Moreover, the immunological ability of metformin to suppress the growth of some tumors such as osteosarcoma is accompanied by a shift from an M2- to M1-like (inflammatory) phenotype of TAMs involving changes in lipid metabolism.\textsuperscript{45}

Metformin can decrease the number of neutrophils and polymorphonuclear MDSCs (PMN-MDCSs) both in the spleen and in tumors.\textsuperscript{39,45} However, its ability to metabolically reprogram MDSCs to curtail oxidative phosphorylation, decrease glucose uptake, and reduce lipid incorporation is restricted to those cells residing in the TME, in turn pushing it to a metabolic state capable of driving tumor growth inhibition independently of metformin’s affects on T-cells.\textsuperscript{45} The ability of metformin to generate sustained antitumor immunity in the TME might involve also an attenuation of tumor-infiltrating CD4\textsuperscript{+}CD25\textsuperscript{+} T\textsubscript{reg} cells.\textsuperscript{46} The negative impact of metformin on T\textsubscript{reg} cells involved the down-regulation of the expression and protein accumulation.\textsuperscript{50,41} The supra-additive capacity of metformin to prevent melanoma metastases to the lung when used with other clinically relevant anti-metabolic drugs, such as rapamycin and the dipeptidyl peptidase 4 inhibitor sitagliptin,\textsuperscript{39} further bolsters the clinical value of metformin against different facets of T-cell immunometabolism.

**Metformin down-regulates PD-L1 in cancer cells**

Metabolic changes in cancer cells are closely intertwined with aberrations in oncogenic and tumor-suppressive pathways (e.g., PI3K/PTEN/AKT, MYC, STAT3) that contribute to PD-L1 expression.\textsuperscript{49–51} Dysregulated activation of immune checkpoints might therefore represent a general mechanism of metabolism-driven tumor immune-tolerance. Accordingly, oncogenic activation of the archetypal PI3K-AKT-mTOR metabolic pathway, which coordinates the uptake and utilization of multiple nutrients including glucose, glutamine, nucleotides, and lipids, promotes immune escape by driving PD-L1 overexpression in tumor cells.\textsuperscript{52} Not surprisingly, treatment of cancer cells with metformin was shown to inhibit constitutive PD-L1 expression and protein accumulation.\textsuperscript{53} The AMPK-sensed metabolic crisis imposed by metformin reduced the stability and membrane localization of constitutively expressed PD-L1 by inducing its endoplasmic reticulum (ER)-associated protein degradation (ERAD) in cancer cells.\textsuperscript{54} In response to metformin, the activated form of AMPK directly phosphorylates PD-L1 in a manner promoting its abnormal glycosylation, resulting in ER accumulation and ERAD, which contributes to an enhanced cytotoxic T-cell activity against cancer cells.\textsuperscript{54} (Figure 2(a)). Concomitantly with AMPK activation, metformin-treated breast cancer tumor tissues exhibit reduced PD-L1 levels. In our hands, stimulating PD-L1 membrane sorting to ERAD via indirect or direct activation of AMPK with metformin or 5-aminomidazole-4-carboxamide, respectively, sufficed to significantly increase the cytolytic activity of T-cells against highly aggresive basal-like breast carcinoma cells (Figure 2(b)).

Tumors can express immune checkpoints such as PD-L1 either constitutively, which does not depend on the presence of tumor-infiltrating lymphocytes, or through a more common inducible mechanism in response to inflammatory cytokines, particularly to members of the interferon family. Cytokine-driven expression of PD-L1, which can be detected as patchy pattern of PD-L1 expression in T-cell-enriched tumor areas, is indicative of an ongoing immune response in the TME. We recently took advantage of the observation that human haploid HAP1 cells express high levels of PD-L1
on the cell surface in response to interferon-γ (IFN-γ) treatment to evaluate the impact of metformin on IFN-γ-induced PD-L1 expression. In HAP1 cells, pre-exposure to and concurrent metformin prevented IFN-γ-induced PD-L1 expression to a large extent (Figure 2(b)). Because the expression of PD-L1 affects T-cell responsiveness in a quantitative manner, with higher levels of PD-L1 expression leading to an increased impairment of T-cell survival/activity, the identification of metformin as a new regulator of PD-L1 expression provides a rationale to enhance the effectiveness of currently existing immune checkpoint blocking therapies.

**Metformin influences the gut microbiota composition**

The gut microbiota has been proven to participate in immune surveillance, suppressing malignant transformation, and specific commensal bacteria synergize with cancer treatments including radiotherapy, chemotherapy, and surgery. It is now emerging that shifts in the gut microbiota/microbiome composition can positively or negatively regulate the efficacy of immune checkpoint inhibitors. For instance, an increased abundance of *Akkermansia muciniphila* in the gut microbiota of advanced cancer patients improves antitumor immune CD8+ T-cell infiltration and activity, and increases the efficacy of anti-PD1 therapy. Likewise *Faecalibacterium*, *Bifidobacterium*, and short-chain fatty acid (SCFA)-producing bacteria, which are associated with anti-inflammatory responses aimed to prevent overactivation of the immune response, positively relate to higher response rates and better clinical outcomes in response to anti-CTLA-4 therapy. The beneficial effects of metformin on host metabolism are, at least in part, microbiobly mediated and are associated with inflammatory immune responses. Metformin treatment of mice on high-fat diet or of patients with diabetes has been shown to shift the microbiota composition to an increased relative abundance of *A. muciniphila*, a mucolytic bacterium, which are associated with anti-inflammatory responses aimed to prevent overactivation of the immune response, positively relate to higher response rates and better clinical outcomes in response to anti-CTLA-4 therapy.

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microbiota by metformin results in a higher relative abundance of SCFA (butyrate, propionate, acetate)-producing bacteria including *Bifidobacterium*, associated with inflammatory immune responses. Because both *A. muciniphila* and gut microbiota-derived SCFAs such as butyrate and propionate attenuate tissue inflammation by promoting T<sub>reg</sub> cell differentiation, and augmenting the size of the T<sub>reg</sub> cell pool by elevating histone H3 acetylation in the Foxp3 promoter region, it might be argued that metformin-driven anti-inflammatory bacteria and metabolites could induce T<sub>reg</sub> cell differentiation and proliferation, resulting in higher levels of CTLA-4 and increased sensitivity to CTLA-4 blockade. Further studies are necessary to elucidate whether metformin can promote AMPK/mTOR-related prevention of inducible T<sub>reg</sub> cells accompanied by elevation of CD4<sup>+</sup> TCMs in the tumor bed while simultaneously promoting SCFA-driven suppression of inflammation via augmentation of T<sub>reg</sub> cells in gut, which is related to colitis incidence and the potent efficacy of CTLA-4 inhibitors. Given that changes in host metabolism and microbiota can occur in tandem, the fact that the therapeutic effects of metformin in cancer patients are accompanied by significant elevations in circulating butyrate might provide support for the ability of metformin to impact gut microbial diversity and composition to modify the response to immunotherapy.

**Clinical efficacy and ongoing trials of metformin combined with immune-checkpoint inhibitors**

The ability of metformin to circumvent the tumor-driven metabolic barrier to antitumor immunotherapy by normalizing the hypoxic TME results in a significantly improved intratumoral T-cell function and tumor clearance in pre-clinical models of highly aggressive tumors. Such translational potential of metformin to convert immunotherapy-resistant patients into those showing clinical benefit has been supported by the discovery that adjuvant metformin plus anti-PD-1 treatment results in durable antitumor responses by preventing the presentation of PD-1<sup>+</sup>/CD8<sup>+</sup> T-cell infiltrates after drug withdrawal. A retrospective cohort study including patients diagnosed with metastatic malignant melanoma and treated with anti-PD-1 only or anti-CTLA4/anti-PD-1 combination therapies, with or without metformin, revealed favorable treatment-related outcomes in terms of objective response rate, disease control rate, overall survival, and progression-free survival in patients who have received metformin in combination with immune-checkpoint inhibitors, albeit without reaching statistical significance likely due to the small sample size. An analysis of the immunomodulatory effects of metformin in a clinical trial of head and neck squamous cell carcinoma revealed its ability to increase both CD8<sup>+</sup> effector T-cells and Foxp3<sup>+</sup> T<sub>reg</sub> cell infiltrates in the TME. A retrospective descriptive analysis carried out in the randomized phase III OAK trial for treatment of advanced or metastatic previously-treated non-small cell lung cancer revealed an encouraging improvement of overall response rate in patients receiving concomitant metformin treatment with the anti-PD-L1 antibody atezolizumab. Not surprisingly, large prospective clinical trials are currently underway to study the synergistic effect of metformin in combination with immune-checkpoint inhibitors before its recommendation as routine additive to cancer immunotherapy.

Based on the pre-clinical capacity of metformin to induce substantial tumor regression and augment the numbers of tumor-infiltrating CD8<sup>+</sup> T-cells when combined with the anti-PD-1 antibody nivolumab in mouse models, an investigator-initiated open-label phase-Ib clinical trial has been planned in Japan to investigate the safety, efficacy, and pharmacokinetics of metformin-nivolumab combination treatment. Similarly, the anti-tumor efficacy as well as the safety and tolerability profile of metformin-nivolumab combination in patients with non–small-cell lung cancer and without prior exposure to PD-1/PD-L1 inhibitors is currently being evaluated in the Northwestern University-sponsored NCT03048500 clinical trial (https://clinicaltrials.gov/ct2/show/NCT03048500). A pilot phase I trial is investigating the combined effect of metformin and the anti-PD-L1 antibody durvalumab on the TME (i.e., T-cell polarization and TAM M1/M2 ratios) of patients with head and neck squamous cell carcinoma (https://clinicaltrials.gov/ct2/show/NCT03618654). An investigator-initiated phase I clinical trial is evaluating the effectiveness and safety of the combination of the anti-PD-1 antibody pembrolizumab with metformin in advanced-stage melanoma (https://clinicaltrials.gov/ct2/show/NCT03311308). A Phase II trial is evaluating the effect of combining metformin with nivolumab on the overall response rate of patients with microsatellite stable stage IV colorectal cancer that has not responded to previous treatment (https://clinicaltrials.gov/ct2/show/NCT03800602). Although data from the FDA adverse event reporting system have suggested a higher risk of inflammatory bowel disease in lung cancer patients during the combined nivolumab-metformin therapy, we still lack clinical evidence of the impact of metformin on the risk of immune-related adverse events, which are associated with anti-PD-1/PD-L1 treatment efficacy and may include autoimmune diabetes and diabetic ketoacidosis.

**Metformin as an archetype immuno-metabolic adjuvant for cancer immunotherapy: directions and cautions**

Metabolic alterations in tumors are emerging as crucial factors affecting the abundance of immune-checkpoints in tumor cells and, accordingly, the intercrossing of immune evasion and metabolic reprogramming cancer hallmarks might guide the development of new strategies capable of (re)installing immunosurveillance and converting cold tumor cells with primary and acquired resistance to immunotherapy into hot cells, susceptible to immune checkpoint strategies. Here, we delineated the ability of the anti-diabetic biguanide metformin to operate as an archetype immuno-metabolic adjuvant capable of performing several immuno-metabolic tasks simultaneously, given its capacity to improve the metabolic competence of T-cell immune surveillance, suppress the metabolic traits driving TME immunosuppressive cell compartments, prevent both the constitutive and the inflammation-inducible expression of immune checkpoint receptors in cancer cells, and shift the gut
microbiota composition to specific commensal microbes associated with a favorable response to cancer immunotherapy. During the last decade, an ever-growing number of epidemiological and preclinical studies have suggested that metformin may reduce overall cancer risk and mortality. Accordingly, many randomized clinical studies, ranging from proof-of-principle studies in the prevention setting to phase II/III trials in the adjuvant and metastatic settings, have been planned and/or currently underway (as of June 2019, the clinicaltrials.gov database lists more than 300) to test the causal nature of the suggested correlation between metformin use and clinical benefit in cancer patients. We should acknowledge, however, that recently reported first-generation clinical trials using metformin in combination with systemic therapy have failed to significant improve outcomes in cancer patients. Therefore, before we can recommend the use of metformin as a bona fide immuno-metabolic adjuvant in a combination with immune checkpoint inhibitors (ICIs) regardless of the metabolic status of the patient, more patient-level data collection (retrospective and especially prospective) are urgently needed. Indeed, the clinical relevance of the immunomodulatory functions of metformin, which might be synergistic and could overcome resistance to single agent anti-PD1/PD-L1 and anti-CTLA-4 inhibitors, should be reweighted when considering the apparently paradoxical association between obesity and increased anti-tumor efficacy and survival after PD-1/PD-L1 blockade. First, obesity and other metabolic disorders (e.g., diabetes) heighten PD1-driven T-cell dysfunction and tumor progression. Second, these very same immune-tumorigenic loops amplify the clinical benefits that derive from the normalization of T-cell metabolism imposed by ICIs, which impede the so-called immune metabolic anergy that take place upon the interaction of immune checkpoints such as the PD-L1 ligand in tumor cells with its cognate receptor PD-1 on T-cells and involves several metabolic pathways and mitochondrial fitness in these cells. Third, the anti-cancer effects of metformin might vary with host characteristics such as overweight or obesity with metabolic disturbances. Therefore, although ongoing clinical trials using metformin in combination with ICIs might begin to validate the role of metformin or novel biguanides as immuno-metabolic adjuvants capable of broadening the spectra of cancer patients and indications that could benefit from immunotherapy, a careful consideration to the metabolic characteristics of the study population should be given as it might significantly modify the capacity of metformin to impact the tumor and host-specific parameters characterizing the cancer-immune interaction and required for successful immunotherapy treatment. Indeed, enriching future metformin-based clinical trials with the inclusion of “cancer immunograms” (Figure 1b), could help identifying the population of metformin-responders by prospectively capturing those aspects of the cancer-immune interaction that characterize the dynamic process of antitumor immunity in an individual patient, thereby realizing the potential of precision immunotherapy for more cancer patients.

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Conflict of interest statement

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be a potential conflict of interest.

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