The effects of disc winds on the spectrum and black hole growth rate of active galactic nuclei

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ABSTRACT

Several properties of the standard $\alpha$-disc model for active galactic nuclei (AGNs) are not entirely consistent with AGN observations. As well as such discrepancies, observations show evidence for the existence of high-mass outflow winds originating from the vicinity of the active black hole (BH). Such winds may originate from various parts of the disc and could change the local accretion rate which should alter the emitted spectral energy distribution (SED) and affect the global disc luminosity and the BH growth rate. The new calculations presented here show the effects of several types of winds on the observed and inferred disc properties. Some wind profiles can have a profound effect on the observed SED and can perhaps explain the poorly understood deviations of AGN spectra from standard disc spectra. We show a factor of $\sim 2$ possible error in estimating the disc luminosity and larger deviations in estimating $L/L_{\text{edd}}$. The BH growth rate computed without taking the effects of wind into account may be significantly overestimated. We also suggest a practical way to use the observed SED in order to make first-order corrections to BH growth rate and account for the effects of disc winds.

Key words: accretion, accretion discs – galaxies: active – galaxies: nuclei – quasars: general.

1 INTRODUCTION

Several properties of the spectral energy distribution (SED) of active galactic nuclei (AGNs) can be explained by mass accretion via a disc on to a central black hole (BH). AGN accretion discs (ADs) may vary in geometry, optical depth, accretion rate and other parameters. The basic theory of optically thick, geometrically thin ADs (known as $\alpha$-discs) is described in Shakura & Sunyaev (1973). More recent studies that include Comptonization in the disc atmosphere and improved treatment of vertical structure are described in Hubeny et al. (2001, and references therein). Observational evidence, mainly in the optical–ultraviolet (UV), suggests that the $\alpha$-disc model spectrum is a good approximation of real AGN continua. However, there are a number of characteristics which cannot be explained by this model. In particular, for AGNs with $M_{\text{BH}} \approx 10^9 M_\odot$ and $M/M_{\text{edd}} \approx 0.3$, where $M$ is the accretion rate and $M_{\text{edd}}$ is the Eddington accretion rate, the model predicts a power law, $F_\nu \propto \nu^\alpha$, with $\alpha = 1/3$ throughout much of the optical–near-ultraviolet (NUV) continuum. Vanden Berk et al. (2001) and Davis, Woo & Blaes (2007) found that for many objects observed in the Sloan Digital Sky Survey (SDSS), the 1350–4200 Å power law is considerably softer, with $\alpha \approx -0.5$. Observations of the far-ultraviolet (FUV) and extreme-ultraviolet (EUV) continua show much softer power laws (Telfer et al. 2002; Scott et al. 2004; Shall, Stevans & Danforth 2012). Apart from the $\alpha$-disc continuum, the overall SED is comprised of contributions from a number of other components including emission by hot dust in the near-infrared (NIR) part of the spectrum (Mor & Netzer 2012), a conglomeration of hundreds of broad Fe ii lines and Balmer continuum emission (the ‘Small Blue Bump’; Grandi 1982; Netzer et al. 1985) and a power-law X-ray source (Laor et al. 1997). Jin et al. (2012) tried to combine these processes in order to model a large sample of SDSS X-ray spectra but found that for a great number of objects the underlying continuum was softer than they were able to model. Similar conclusions were reached by Davis et al. (2007), who attempted to compare observed spectral properties of a large sample of AGNs to those predicted by their models. A detailed overview of the variations between AGN theory and observations can be found in Koratkar & Blaes (1999).

AGN ADs are also probably associated with high-velocity winds. X-ray observational evidence for such winds is given in numerous publications including Pounds et al. (2003), Reeves, O’Brien & Ward (2003), Tombesi et al. (2010) and Tombesi et al. (2011), and references therein. These studies claim to detect winds, based on Fe K-shell transitions with velocities reaching considerable fractions of the speed of light. Broad absorption line (BAL) outflows provide more evidence for material which may be ejected from the vicinity of the BH (e.g. Capellupo et al. 2011, and references therein). Statistical analysis of AGNs shows a correspondence between objects exhibiting BAL outflows and softened optical–UV spectra (Ganguly et al. 2007). Slower winds, which probably originate further from the BH, may be detected through ion or molecular lines.
Wind effects on AGN SED and growth rate

(a constant $M$, independent of radius ($R$)). The BH spin defines the innermost stable circular orbit (ISCO) around the central BH and sets the efficiency, $\eta$, of the conversion of rest energy to electromagnetic radiation ($L = \eta M c^2$). Angular momentum within the disc is transferred outwards causing mass accretion on to the central BH and viscous dissipation leads to heating of the gas and emission of radiation. A local balance is attained between work done by torque forces between adjacent differential annuli, gravitational potential energy, kinetic energy and emitted radiation. The torque forces, which are powered by gravitational energy, may correspond to either positive or negative work done by the torque. For the standard $\alpha$-disc, the work done by torque changes sign at a radius $R = 9/4 R_g$, where $R_g = GM_{\text{BH}}/c^2$ is the gravitational radius. Above this radius, energy is contributed to the emitted radiation and below this radius, energy is removed.

A derivation of the emissivity associated with viscous dissipation may be done using the Navier–Stokes equations for a non-compressible viscous fluid (see Clarke & Carswell 2007). We derive the same result as theirs using the approach of Frank, King & Raine (2002). The emissivity of the disc at various radii depends on the local gravitational energy and work done by the local torque. We show below that both these components are altered when a wind is taken into account. The total emissivity is

$$D(R) = D_N(R) + D_G(R),$$

(1)

where

$$D_G(R) = \frac{GM_{\text{BH}}}{8\pi R^5}$$

(2)

is the emissivity component due to loss of gravitational and kinetic energy. $D_N(R)$ is obtained by considering the luminosity due to the work done by the torque:

$$L_N(R) = -\left(\frac{GM_{\text{BH}}}{R^3}\right) \int_{R_{\text{in}}}^{R} M (GM_{\text{BH}})^{1/2} d\left(\frac{R}{R_{\text{out}}}\right),$$

(3)

where the integrand is the differential of the local torque. The emissivity associated with this component is then

$$D_N(R) = \frac{dL_N}{4\pi R dR}$$

(4)

The effective temperature of the disc as a function of radius is

$$T(r) = \left[\sigma^{-1} D(r)\right]^{1/4} = \left[\frac{3c^3}{8G^{2/3}c}\right]^{1/4} \left[\frac{M^{1/4}}{M_{\text{BH}}^{1/2}}\right] f(r)r^{-3/4},$$

(5)

where $r = R/R_g$ and $f(r)$ is a function of the order of unity (for large values of $r$) which depends on the GR terms. Assuming local blackbody (BB) emission, the isotropic $L_v$ is

$$L_v = \int_{R_{\text{in}}}^{R_{\text{out}}} 4\pi R^2 \left[\pi B_v(T)\right] dR = \frac{8\pi^2 h v^3}{c^2} \int_{R_{\text{in}}}^{R_{\text{out}}} \frac{R dR}{\exp(x) - 1},$$

(6)

where $x = h v/kT$ and $R_{\text{in}}$ and $R_{\text{out}}$ are the innermost and outermost radii of the disc, respectively. The dependence of $L_v$ on temperature and disc geometry is isolated in the integral

$$\theta(v) \equiv \int_{R_{\text{out}}}^{R_{\text{in}}} \frac{R dR}{\exp(x) - 1}.$$  

(7)

Our AD calculations include Comptonization of the emitted radiation in the AD atmosphere. We model Comptonization in the manner applied by Laor & Netzer (1989), namely, we calculate the parameter $y$ (their equation 29) which is a function of $r$ and $v$ and is dependent on the viscosity parameter, $\alpha_{\text{disc}}$, and on the gas composition. We have compared our values of $y$ to those of Hubeny et al. (2001) and find them to be in good agreement.

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2 CALCULATIONS

2.1 Standard $\alpha$-disc models

Our calculations are similar to several earlier AD calculations based on the standard $\alpha$-disc model, with the addition of general relativistic (GR) corrections and treatment of Comptonization in the disc atmosphere (Bardeen, Press & Teukolsky 1972; Laor & Netzer 1989; Hubeny et al. 2001; Davis & Laor 2011). For completeness, we summarize the ingredients of the model that are needed for the new calculations.

Three parameters define the emitted SED for a standard $\alpha$ disc: BH mass ($M_{\text{BH}}$), accretion rate ($\dot{M}$) and normalized BH spin ($\alpha$). Viscous torque may be parametrized via the $\alpha_{\text{disc}}$ parameter as defined in Shakura & Sunyaev (1973). The standard model assumes
We define $\dot{M}$ causes $\dot{M}$ the disc can affect the SED. Ejection of mass from various radii
we now explore how winds ejected directly from the surface of
function, such as that described by Blandford & Payne (1982), (2) a
nosity and $\dot{M}$ through
integral of the existence of wind. We assume the local $\dot{M}$ depends on $\dot{M}$ and $\alpha$. BH spin governs the radius of the
Fig. 1 also demonstrates the known dependence of the SED shape on $M_8$ [defined by $M_8 = M_{\text{BH}}/(10^8 M_\odot)$], $\dot{M}$ and $\alpha$. $M_{\text{BH}}$ and $\dot{M}$ change the spectral shape through $T(r)$. The $\alpha$-disc model leads to a simple relation between the luminosity and $\dot{M}$:
where $L = \int_0^\infty L_\nu \, d\nu$, $L_{\text{edd}}$ scales linearly with $M_{\text{BH}}$ and $\dot{M}_{\text{edd}}$ depends on $M_{\text{BH}}$ and $\alpha$. As shown below, this simple relation breaks down when $\dot{M}$ is no longer constant.

### 2.2 Wind-ejecting $\alpha$-disc models

We now explore how winds ejected directly from the surface of the disc can affect the SED. Ejection of mass from various radii causes $\dot{M}$ to change as a function of $r$, thus $\dot{M}$ is replaced by $\dot{M}(r)$. We define $\dot{M}_{\text{edd}}$ exactly as above; thus, its value is independent of the existence of wind. We assume the local $\dot{M}(r)$ to be approximately constant within each differential annulus, allowing us to calculate the local emissivity of each annulus in a way similar to the standard $\alpha$-disc model. We assume a certain mass inflow rate, $\dot{M}_{\text{in}}$, at the ISCO. We then specify a radius-dependent mass outflow rate (hereafter ‘wind’) which defines $\dot{M}(r)$. We experimented with three functional forms for the wind profile: (1) a self-similar wind function, such as that described by Blandford & Payne (1982), (2) a step function wind profile and (3) a wind function which decreases linearly with radius.

We define $r_{1/2}$ to be the radius at which 50 per cent of total wind mass has been ejected from the disc (see Fig. 2). The self-similar, type (1) wind function, is of the form

$$\frac{dM_{\text{wind}}(r)}{dr} \equiv \frac{a_1}{r},$$

(9)

where $a_1$ is a normalization parameter and depends on $\dot{M}_{\text{in}}$ and $\dot{M}_{\text{edd}}$. For such a wind and for $M_8 = 1$, $\alpha = 0.9$ and a disc with a maximum radius of $1080 R_g$, we get $r_{1/2} = 50$. The type (2) wind profile resembles a step function and depends on two parameters, $b_1$ and $b_2$,

$$\frac{dM_{\text{wind}}(r)}{dr} \equiv b_1 \left(1 + \exp \left(-2b_2 (r - r_w)\right)\right)^{-1},$$

(10)

where $r_w$ is a parameter which defines $r_{1/2}$. The type (3) function is a linear function of the form

$$\frac{dM_{\text{wind}}(r)}{dr} \equiv (c_1 - c_2 \times r),$$

(11)

where $c_1$ and $c_2$ are chosen such that $r_{1/2}$ has the same definition as above. In all three cases, the wind function defines $\dot{M}(r)$ according to

$$\dot{M}(r) = \dot{M}_{\text{in}} + \int_{r_w}^r dM_{\text{wind}}(r).$$

(12)

Diagrams of $\dot{M}(r)$ for some of the examples discussed in this paper are shown in Fig. 2. We found very similar results for winds of types (2) and (3) (see details in Section 3), and significant differences for winds of type (1). In this work we show results for wind types (1) and (2).

The varying $\dot{M}(r)$ has a number of effects on the disc geometry and the emitted SED. The removal of energy from the AD

1 Note that we do not consider the fate of the wind after it leaves the disc.

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changes the balance between the gravitational potential and torque energies within the disc. \( D(r) \), \( T_v(r) \) and \( T_T(r) \) may be calculated locally according to equations 2–5, with the values of \( \alpha \) at each radius. The dependence of the torque component on \( \alpha \) is less straightforward. The radius where \( D_v(r) = 0 \) is no longer \( r = 9/4 \). Fig. 3 shows \( D_v(r) \) and \( D_T(r) \) for four different values of \( M_{ \text{out}} \) and the same \( M_{ \text{in}} \), calculated without including the effects of Comptonization and GR (discussed below). The modifications of the emissivity change \( T(r) \), and accordingly \( L \) is altered through the modification of the function \( \theta(r) \) (equation 7).

To the best of our knowledge, GR corrections, which have the effect of cooling the inner radii of the disc, have never been calculated for a wind-ejecting disc. We have therefore adopted the following procedure. We calculated the function

\[
F(r) = \frac{T_v(r)}{T_{\text{new}}(r)}
\]

using the standard \( \alpha \)-disc model (i.e. \( M = \text{const} \)), where \( T_{\text{new}}(r) \) and \( T_v(r) \) are Newtonian and GR temperatures, respectively. The values of the function \( F(r) \) depend only on the gravitational potential and therefore only on \( \alpha \). For the wind calculations we obtain \( T(r) \) numerically and multiply by \( F(r) \). This has the effect of cooling the inner radii of our models, where relativistic effects are most prominent.

**Table 1.** Parameters of the models shown in this paper. All models have \( M_b = 1 \) and \( a_{\text{visc}} = 0.1 \). Models with wind type (2) (equation 10) have \( b_2 = 0.4 \). Models 1.1, 2.1, 1.5 and 2.5 are standard \( \alpha \)-discs with constant \( M \). All other models are wind-ejecting discs. Models 1.2–1.8 and 2.2–2.8 were calculated with wind type (2); models 3.3, 3.6, 3.7 and 3.8 were calculated with wind type (1) and have the same parameters as models 2.3, 2.6, 2.7 and 2.8, respectively. Models with wind type (2) are divided into categories with either the same \( M_{\text{in}} \) and \( M_{\text{out}} \) and differing \( r_{1/2} \) (category A) or the same \( M_{\text{in}} \) and \( r_{1/2} \) and differing \( M_{\text{out}} \) (category B). Category (C) models are 1.3, 1.8, 2.3, 2.8, 3.3, and 3.8 which have the same \( M_{\text{out}} \) and \( r_{1/2} \).

| Model   | BH spin \((a)\) | \( M_{\text{in}} \) \((M_{\text{edd}})\) | \( M_{\text{out}} \) \((M_{\text{edd}})\) | \( r_{1/2} \) | Wind type | Category |
|---------|----------------|-------------------------------|-------------------------------|-----------|----------|----------|
| Model 1.1 | 0              | 0.1                           | 0.1                           | --        | --       | A        |
| Model 1.2 | 0              | 0.1                           | 0.3                           | 10        | 2        | A        |
| Model 1.3 | 0              | 0.1                           | 0.3                           | 50        | 2        | A        |
| Model 1.4 | 0              | 0.1                           | 0.3                           | 250       | 2        | A        |
| Model 1.5 | 0              | 0.01                          | 0.01                          | --        | --       | A        |
| Model 1.6 | 0              | 0.01                          | 0.03                          | 50        | 2        | A        |
| Model 1.7 | 0              | 0.01                          | 0.1                           | 50        | 2        | A        |
| Model 1.8 | 0              | 0.01                          | 0.3                           | 50        | 2        | A        |
| Model 2.1 | 0.9            | 0.1                           | 0.1                           | --        | --       | A        |
| Model 2.2 | 0.9            | 0.1                           | 0.3                           | 10        | 2        | A        |
| Model 2.3 | 0.9            | 0.1                           | 0.3                           | 50        | 2        | A        |
| Model 2.4 | 0.9            | 0.1                           | 0.3                           | 250       | 2        | A        |
| Model 2.5 | 0.9            | 0.01                          | 0.01                          | --        | --       | A        |
| Model 2.6 | 0.9            | 0.01                          | 0.03                          | 50        | 2        | A        |
| Model 2.7 | 0.9            | 0.01                          | 0.1                           | 50        | 2        | A        |
| Model 2.8 | 0.9            | 0.01                          | 0.3                           | 50        | 2        | A        |
| Model 3.3 | 0.9            | 0.1                           | 0.3                           | 50        | 1        | A        |
| Model 3.6 | 0.9            | 0.01                          | 0.03                          | 50        | 1        | A        |
| Model 3.7 | 0.9            | 0.01                          | 0.1                           | 50        | 1        | A        |
| Model 3.8 | 0.9            | 0.01                          | 0.3                           | 50        | 1        | A        |

In wind-ejecting discs, the BH growth rate no longer depends on the accretion rate at the outer radii of the disc, only on \( M_{\text{in}} \). Thus, equation (8) is no longer valid and measurement of \( L \) is no longer a direct measure of \( M_{\text{BH}} \) even if \( a \) is known. We later discuss the implications and possible errors in estimating BH growth rates when the effects of winds are ignored.

We tested our model for a large range of \( M_{\text{BH}}, a, M \) and \( a_{\text{visc}} \). \( M \) is characterized by \( M_{\text{in}}, M_{\text{out}}, r_{1/2} \) and the wind function. We show here only the most interesting results and only those with wind function types (1) and (2) (equations 9 and 10). The results are almost independent on our choice of \( a_{\text{visc}} \) which we have chosen to be 0.1 in all our calculations. In Table 1 we give details of the models shown in this paper. The models have all been calculated with \( M_b = 1 \) and each model has BH spin, \( M_{\text{in}}, M_{\text{out}}, r_{1/2} \), and values of \( r_{1/2} \). It is convenient to compare different models by dividing them into three categories: (A) keeping \( M_{\text{in}} \) and \( M_{\text{out}} \) constant while differing \( r_{1/2} \), (B) keeping \( M_{\text{in}} \) and \( r_{1/2} \) constant while differing \( M_{\text{out}} \) and (C) keeping \( M_{\text{out}} \) and \( r_{1/2} \) constant while differing \( M_{\text{in}} \). Models in Table 1 are classified according to BH spin, category (A) or (B) and wind types (1) or (2). Comparing models 1.3 and 1.8, models 2.3 and 2.8 and models 3.3 and 3.8 give category (C). For models with wind type (1), the radius of the outer edge of the disc was chosen such that \( r_{1/2} = 50 \). Note that models with the same \( M_{\text{in}}/M_{\text{edd}} \) and different \( a \) (e.g. models 1.1 and 2.1) do not have the same accretion rate, \( \dot{M} \), because \( M_{\text{edd}} \) depends on spin. Each model was used to
calculate a number of parameters which define the shape of the SED, the total luminosity, $L$, and the BH growth rate, $M_{\text{BH}} = (1 - \eta)M_{\alpha}$.

Energy conservation requires that the total loss of gravitational and kinetic energy within the disc is equal to the total emitted luminosity. Our calculations do not consider the fate of the mass within the wind after it has left the disc and hence it only includes half the energy required for the wind mass to escape the BH potential. There are a number of possibilities regarding the fate of this mass which are consistent with our model. One such possibility is that the ejected wind rises above the surface of the AD, but does not leave the physical vicinity of the BH and therefore does not require additional kinetic energy. This wind may re-enter the disc at larger radii or may accumulate and become ionized in regions above the disc (creating a ‘failed wind’). Another possibility may be that the wind is not isotropic (as suggested by many models) and that it escapes the system by absorbing radiation propagating at angles closely parallel to the disc. Therefore, if we view the AD at angles close to face-on, the radiation we observe may not be influenced by this effect. Of course, there are other possibilities that involve additional acceleration of the wind by part of the radiation that we included in our luminosity budget. A detailed discussion of these and similar possibilities is beyond the scope of our paper.

3 RESULTS

In the following section we analyse each model’s SED and compare the spectra of discs with various parameters to one another. Two major aspects of the wind-ejecting disc models are discussed: the spectral changes and the effect of mass outflow on the ability to evaluate $\dot{M}$. Results of the spectral changes are expressed by showing the approximated power-law behaviour, $F_\nu \propto \nu^\alpha$, within various wavelength ranges and by the mean weighted ionizing photon energy of the Lyman continuum, $(E_{\text{ion}})_{\text{phot}}$. The degree of inaccuracy in evaluation of $M_{\text{BH}}$ from the observations depends on our understanding of the effect of winds on the SED and on the ratio of the measured $L$ to the luminosity that would have been observed from a standard (constant $M$) $\alpha$-disc with $M = M_{\alpha}$. We denote this luminosity $L_0$, which for a wind-ejecting model satisfies the equation

$$\frac{L_0}{L_{\text{edd}}} = \frac{M_{\alpha}}{M_{\text{edd}}}$$

3.1 Effects of wind on the spectral energy distribution

We examine the SED shape by fitting a power-law, $F_\nu \propto \nu^\alpha$, to four wavelength bands: 456–912 Å, 912–1450 Å, 1450–4200 Å and 4200–5100 Å. For each model we calculate these four values of $\alpha$ and the mean ionizing photon energy for photons with energies above 13.6 eV. SEDs for several models are plotted in Figs 4 and 5. The results for $\alpha$ and the mean ionizing photon energies are listed in Table 2.

For a wind-ejecting disc with given BH mass and spin, the spectral shape is influenced by $M(r)$ which can be characterized by the values of $M_{\alpha}$, $M_{\text{out}}$ and $r_{1/2}$. In general, a large accretion rate throughout much of the disc has the effect of causing higher total luminosity and shifting the peak luminosity towards higher frequencies. The closer the high accretion rate reaches to $r_{1/2}$, the more high-energy UV radiation will be emitted. The low-energy, optical–NIR radiation, is emitted mainly from large radii and depends less on $r_{1/2}$.

The SEDs of the category (A) models, 1.1–1.4 and 2.1–2.4, are plotted in Fig. 4. All have $M_{\alpha}/M_{\text{edd}} = 0.1$ and $M_{\text{out}}/M_{\text{edd}} = 0.3$ (except for standard $\alpha$ discs 1.1 and 2.1 which have constant accretion rates). The shapes of the SEDs of wind-ejecting models as compared to standard models 1.1 and 2.1 are influenced by two competing effects: higher total luminosity together with a shift of the peak luminosity bluewards and a sharper fall in $L_\nu$ at high frequencies, caused by reduction in accretion rate at small radii. These two effects tend to cancel each other out in their influence on the shape of the UV spectrum as can be seen by the similar values of mean ionizing photon energies in the last column of Table 2. Comparing spectral shapes of models with wind to those of models without wind we see that the same two effects create very similar spectral shapes between models 1.1 and 1.4 and between models 2.1
discs 1.5 and 2.5 for which are plotted in Fig. 5. The growing $\dot{M}$ of less accretion at small radii.

optical continuum with the UV continuum less influenced because

$\nu \propto L_\nu \propto \nu^\alpha$) and mean ionizing photon energies for models shown in Table 1.

Table 2. Continuum power laws ($L_\nu \propto \nu^\alpha$) and mean ionizing photon energies for models shown in Table 1.

| Model  | $\alpha_{456-912\AA}$ | $\alpha_{912-1450\AA}$ | $\alpha_{1450-4200\AA}$ | $\alpha_{4200-5100\AA}$ | $\langle E_{\text{ion}} \text{phot} \rangle$ (eV) |
|--------|----------------------|----------------------|----------------------|----------------------|----------------------|
| Model 1.1 | -1.52               | -0.53               | -0.044              | 0.19                 | 21.32                |
| Model 1.2 | -1.31               | -0.43               | 0.016               | 0.33                 | 22.07                |
| Model 1.3 | -1.66               | -0.75               | -0.21               | 0.20                 | 21.10                |
| Model 1.4 | -1.55               | -0.60               | -0.20               | 0.063                | 21.27                |
| Model 1.5 | -3.58               | -1.47               | -0.40               | -0.0053              | 17.86                |
| Model 1.6 | -3.62               | -1.61               | -0.61               | 0.17                 | 17.83                |
| Model 1.7 | -3.65               | -1.80               | -0.71               | -0.15                | 17.81                |
| Model 1.8 | -3.41               | -1.66               | -0.56               | 0.060                | 18.06                |
| Model 2.1 | -0.61               | -0.14               | 0.097               | 0.21                 | 26.47                |
| Model 2.2 | -0.79               | -0.26               | 0.038               | 0.22                 | 25.53                |
| Model 2.3 | -0.72               | -0.35               | -0.13               | 0.085                | 23.098               |
| Model 2.4 | -0.63               | -0.19               | -0.031              | 0.025                | 26.40                |
| Model 2.5 | -1.59               | -0.58               | -0.086              | 0.11                 | 21.09                |
| Model 2.6 | -1.64               | -0.70               | -0.31               | -0.12                | 21.01                |
| Model 2.7 | -1.80               | -1.00               | -0.57               | -0.23                | 20.78                |
| Model 2.8 | -2.03               | -1.26               | -0.61               | -0.13                | 20.44                |
| Model 3.3 | -0.69               | -0.24               | -0.012              | 0.12                 | 26.14                |
| Model 3.6 | -1.63               | -0.67               | -0.19               | -0.0030              | 21.068               |
| Model 3.7 | -1.61               | -0.72               | -0.26               | -0.064               | 21.24                |
| Model 3.8 | -1.41               | -0.65               | -0.24               | -0.022               | 22.041               |

and 2.4. As $r_{1/2}$ grows, the spectral shape changes most in the NIR–optical continuum with the UV continuum less influenced because of less accretion at small radii.

Models compared from category (B) are 1.5–1.8 and 2.5–2.8, all with $M_{\text{in}}/M_{\text{out}} = 0.01$ and $r_{1/2} = 50$ (except for standard $\alpha$ discs 1.5 and 2.5 for which $r_{1/2}$ has no meaning). Their SEDs are plotted in Fig. 5. The growing $M_{\text{out}}$ has it’s greatest effect on amplification of the NIR–optical continuum and a lesser effect on the UV continuum. Spectral changes between models with wind to those without wind are more prominent for BHs with $a = 0.9$ than for BHs with $a = 0$ (as can be seen, for example, by comparing models 1.5 to 1.8 and models 2.5 to 2.8). This is because for higher spin values, smaller radii are influenced by the wind. The changes are most prominent at lower frequencies.

Comparison of models from category (C) (models 1.3, 1.8, 2.3, 2.8, 3.3 and 3.8) have different behaviour. For such AGNs, with the same $M_{\text{out}}$ and $r_{1/2}$ and different values of $M_{\text{in}}$, the most prominent spectral changes are in the UV spectrum. The reason for this is the very different accretion rates at small radii and the very similar accretion rates at large radii. We will later show that this characteristic of wind-ejecting discs enables us to best constrain BH growth rate for AGNs with known luminosities.

We find that our wind models are able to soften the optical–UV SED (decrease the value of $\alpha$) creating a result more similar to observations of large samples of AGNs. This can be seen by comparing the Vanden Berk et al. (2001) composite to our models in Fig. 5. Changes in the observed $\alpha$ can also be caused by a different combination of BH mass and accretion rates, in cases without wind.

We have therefore searched for standard $\alpha$-disc models which give similar SEDs to those of our modified model. We allowed freedom of all relevant disc parameters ($M_{\text{BH}}, M, \alpha$) and created SEDs with optical–UV power laws $\alpha_{4550-4200\AA} \propto -0.5$. Fig. 6 shows contours of $\alpha_{4550-4200\AA} = -0.5$ for a large range of $M_{\text{BH}}, M$ and various values of $a$. We were only able to model such soft optical and UV spectra by choosing $M_{\text{BH}} \geq 1$ or $M/M_{\text{edd}} \leq 0.1$ which both have the effect of softening the optical continuum. We were unable to model soft enough optical–UV spectra with $M_{\text{BH}} \approx 1$ and $M/M_{\text{edd}} \approx 0.1–0.3$. This has important implications, since such soft continua are observed in objects where the BH mass is observed to be around $M_{\text{BH}} = 1$.

3.2 Effects of wind on the estimation of black hole growth rate

As discussed above, for a wind-ejecting disc, there is no simple way of calculating $\dot{M}_{\text{BH}}$ by measuring $L$. Since $\dot{M}_{\text{BH}}$ depends only on
spin and $M_{\alpha}$, and since models in each category have the same $M_{\alpha}$, all those with equal spin have the same BH growth rate. However, the total $L$ and the spectral shape of each can greatly vary. Table 3 shows a summary of factors influencing the correlation between $M_{\text{BH}}$ and $L$. $L/L_0$ is the ratio of the luminosity of the model to that of a standard $\alpha$-disc model with $M = M_{\text{in}}$ ($L_0$ is defined in equation 14). For models with the same $M_{\text{in}}$ and $M_{\text{out}}$ but different $r_{1/2}$, a disc which loses most of its mass at smaller radii (models 1.2 and 2.2) result in larger $L/L_0$ than a disc which loses most mass at larger radii (models 1.4 and 2.4), because the luminosity of the latter case is smaller. Obviously, $L/L_0$ can reach extremely large values when $M_{\text{out}}/M_{\text{in}}$ is large. For our most extreme models, $L/L_0 \approx 7$.

The standard way to estimate $L$ from observations is to use a wavelength-dependent bolometric correction factor, $b(\lambda) = L/\lambda L_\odot$, at a chosen wavelength. For example, $b(5100 \text{ Å})$ is used to estimate $L$ in low-redshift AGNs. Our calculated values of $b(5100 \text{ Å})$ and the standard bolometric correction calculated by the Marconi et al. (2004) method for 5100 Å ($b_{5100}$) are given in Table 3. We note that the Marconi et al. (2004) method includes contributions to $L$ from both the optical–UV and X-ray continua, whereas we do not include the X-ray continuum in our models and therefore calculate $L$ without the X-ray contribution. The same method, when used for $L(X\text{-ray})$, integrated over the X-ray continuum, results in $L(X\text{-ray})/L$ in the range of ~1–30 per cent depending on $L$ and on the energy range used for integration. Therefore, the fact that we do not take this luminosity contribution into account may lead to differences in bolometric correction factor of up to ~20 per cent for extreme cases and has a minor effect on the bolometric correction calculations for most of our models. The ratio $b_{5100}/b(5100 \text{ Å})$ depends on the spectral shape of the AGN. Values of this ratio close to unity imply accurate estimates of the disc luminosity even in the presence of wind.

Multiplying $L/L_0$ by $b_{5100}/b(5100 \text{ Å})$ (third and sixth columns of the table) gives the ratio $(b_\lambda L_\lambda)/L_\lambda$ which is the error in evaluation of $M_{\text{BH}}$ if only a measurement of $\lambda L_\lambda$ is available. These values are given in the last column of the table.

We see in Table 3 that for models with $M_{\text{out}}/M_{\text{in}} = 3$, $L/L_0$ is in the range of ~1–2.37 for models with $a = 0$, and in the range of ~1–1.66 for models with $a = 0.9$. The minimum values correspond to large $r_{1/2}$ and the maximum values to small $r_{1/2}$. The values of $b_\lambda L_\lambda/L_\lambda$ are in the range between 0.47 and 2.11 for all models, indicating a possible deviation of factor of ~2 if wind is not taken into account. Values of $b_\lambda L_\lambda/L_\lambda$ for models with $M_{\text{out}}/M_{\text{in}} = 3$ range between 1.07 and 2.95 for models with $a = 0$, and between 0.58 and 1.6 for models with $a = 0.9$. In general, higher spin values give lower ratios of $L/L_0$, $b_M/b(5100 \text{ Å})$ and their product, corresponding to smaller errors in estimation of $M_{\text{BH}}$ from $L$ assuming a standard (constant $M$) $\alpha$-disc. Models with larger ratios of $M_{\text{out}}/M_{\text{in}}$ may have even higher errors in estimation of $M_{\text{BH}}$. For our most extreme cases, with $M_{\text{out}}/M_{\text{in}} = 30$, we get $b_\lambda L_\lambda/L_\lambda \approx 12.5$.

For a standard $\alpha$-disc model, when $M_0$ is known, one may estimate $M$ using the method of Davis & Laor (2011), utilizing the fact that $L_\lambda$ is insensitive to BH spin at long wavelengths. For a wind-erupting model, use of this method may cause significant errors in estimation of the accretion rate at the ISCO and consequently the BH growth rate. We have calculated the ratio of $M$ according to the Davis & Laor (2011) method to the real $M_{\text{BH}}$ for all our models and find significant deviations for models with $M_{\text{out}}/M_{\text{in}} > 1$.

We have calculated the values of $L/L_{\text{edd}}$ for models with $M_8 = 1, a = 0, M_{\text{out}}/M_{\text{in}} = 0.3$ and all wind profiles. This

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**Table 3.** Changes in factors effecting estimation of $M_{\text{BH}}$ for wind-emitting $\alpha$-disc models. $L_0$ is defined in equation (14); $b(5100 \text{ Å})$ is the bolometric correction calculated according to $b(\lambda) = L/\lambda L_\odot$; $b_M$ is the bolometric correction as calculated by the Marconi et al. (2004) method at 5100 Å; $b_{5100}/b(5100 \text{ Å})$ is equal to $(b_\lambda L_\lambda)/L_\lambda$, $b_M L_\lambda/L_\odot$ is the error in evaluation of $M_{\text{BH}}$ if only a measurement of $\lambda L_\lambda$ is available and therefore may be written $M_{\text{BH}}$ estimated/ $M_{\text{BH}}$ real.

| Model | $L/L_{\text{edd}}$ | $L/L_0$ | $b(5100 \text{ Å})$ | $b_M$ | $b_{5100}/b(5100 \text{ Å})$ | $b_M L_\lambda/L_\odot$ |
|-------|------------------|---------|-----------------|------|-----------------|------------------|
| Model 1.1 | 0.1 | 1 | 8.95 | 7.41 | 0.83 | 0.83 |
| Model 1.2 | 0.23 | 2.37 | 10.41 | 7.028 | 0.68 | 1.60 |
| Model 1.3 | 0.14 | 1.42 | 7.24 | 7.10 | 0.98 | 1.40 |
| Model 1.4 | 0.11 | 1.11 | 7.50 | 7.25 | 0.97 | 1.07 |
| Model 1.5 | 0.01 | 1 | 4.69 | 8.61 | 1.83 | 1.83 |
| Model 1.6 | 0.014 | 1.42 | 3.94 | 8.17 | 2.08 | 2.95 |
| Model 1.7 | 0.029 | 2.90 | 3.60 | 7.61 | 1.11 | 6.13 |
| Model 1.8 | 0.071 | 7.14 | 4.07 | 7.16 | 1.76 | 12.56 |
| Model 2.1 | 0.1 | 1 | 16.50 | 7.80 | 0.47 | 0.47 |
| Model 2.2 | 0.16 | 1.66 | 13.79 | 7.37 | 0.53 | 0.87 |
| Model 2.3 | 0.12 | 1.20 | 11.71 | 7.46 | 0.64 | 0.76 |
| Model 2.4 | 0.103 | 1.05 | 13.79 | 7.65 | 0.55 | 0.58 |
| Model 2.5 | 0.01 | 1 | 8.41 | 9.17 | 1.091 | 1.091 |
| Model 2.6 | 0.012 | 1.20 | 6.57 | 8.76 | 1.33 | 1.60 |
| Model 2.7 | 0.019 | 1.89 | 4.88 | 8.12 | 1.66 | 3.14 |
| Model 2.8 | 0.038 | 3.86 | 4.48 | 7.57 | 1.69 | 6.52 |
| Model 3.3 | 0.13 | 1.35 | 13.71 | 7.49 | 0.55 | 0.73 |
| Model 3.6 | 0.013 | 1.35 | 7.40 | 8.76 | 1.18 | 1.59 |
| Model 3.7 | 0.025 | 2.55 | 6.84 | 8.15 | 1.19 | 3.043 |
| Model 3.8 | 0.059 | 6.00 | 7.43 | 7.61 | 1.02 | 6.15 |
results in a continuous range of values for $M_{\text{in}}/M_{\text{edd}} = 0.01 - 0.3$ and $r_{1/2} = 10 - 250$. The blue solid lines in Fig. 7 show $M_{\text{in}}/M_{\text{edd}}$ as a function of $L/L_{\text{edd}}$ for two values of $r_{1/2}$, 10 and 250. Each coordinate within these boundaries corresponds to a possible model with a certain $M_{\text{in}}$ and $L$. For a given $L/L_{\text{edd}}$, there is a broad range of allowed values of $M_{\text{in}}/M_{\text{edd}}$ for various wind profiles. The diagram shows that there is a value of $L/L_{\text{edd}}$ for which there is a maximum range of $M_{\text{in}}/M_{\text{edd}}$, corresponding to the luminosity for which we have maximum uncertainty in the accretion rate profile [i.e. in the form of $M(r)$]. We have calculated the values of $\alpha$ for the four wavelength ranges shown in Table 2 for all models within the parameter space confined by the blue lines of Fig. 7 (i.e. those with $M_{\text{in}} = 1$, $a = 0$ and $M_{\text{out}}/M_{\text{edd}} = 0.3$). The figure shows contours of $\alpha_{4200-5100 \, \text{Å}}$ (left) and $\alpha_{456-912 \, \text{Å}}$ (right). $\alpha$ is most sensitive to changes in $M_{\text{in}}$ in the UV part of the spectrum. We show this result only for $M_{\text{out}}/M_{\text{edd}} = 0.3$, but it holds true for all values of $M_{\text{out}}$, with the actual shapes of the contours varying for different values of $M_{\text{out}}$. In each panel of Fig. 7 we show in dashed black lines the borders of $L/L_{\text{edd}}$ versus $M_{\text{in}}/M_{\text{edd}}$ for AGNs with $M_{\text{in}} = 1$ and $M_{\text{out}}/M_{\text{edd}} = 0.2$. If measurements of $L$ and of $\alpha$ in the UV are available for an AGN, one can constrain the values of $M_{\text{BH}}$ by utilizing the sensitivity of $\alpha$ in the UV to $M_{\text{in}}$ and taking into consideration the unknown parameters $M_{\text{out}}$ and $\alpha$.

### 4 DISCUSSION

The wind-ejecting disc model presented in this work is motivated by two observed phenomena: discrepancies between observed optical–UV SEDs of AGN to those predicated by standard $\alpha$-disc models (as found by Davis et al. 2007) and evidence for high-velocity winds which may be ejected from the inner AD. The models calculated here are not intended to represent real disc winds. The properties of such winds may depend, among other factors, on disc turbulence, the rate and direction of mass inflow, disc geometry and magnetic fields, all not very well understood. Our limited scope calculations are meant to study the influence of general properties of winds and their radius-dependent mass loss, on the fundamental disc properties such as total luminosity, SED shape and BH growth rate. Obviously, the calculations do not cover all such possibilities. Furthermore, we stress that in order to preserve energy conservation within our model, there are a number of possibilities regarding the kinetic energy within the ejected wind. As stated above, such possibilities include that the wind remains in the AD vicinity and therefore does not require excess kinetic energy for escape from the BH potential. Another possibility is that the wind’s excess kinetic energy is liberated from photons which are not along our line of sight and therefore does not affect the observed SED. Including all such considerations, there are two main results of the new calculations. The first is the understanding that disc winds are able to considerably soften the optical–UV SED of ADs. Secondly, we have shown that the estimation of $M_{\text{BH}}$ cannot rely solely on measurement of luminosity, as $L/L_{\text{edd}}$ can differ substantially from $M_{\text{in}}/M_{\text{edd}}$.

The SED of a wind-ejecting disc is influenced by the fact that removal of hot accreting gas from the inner regions of the disc removes energy mainly from the UV part of the SED and has less effect on the optical and NIR continua. The extent of this energy removal depends on $M_{\text{in}}$, $M_{\text{out}}$ and on the shape of the wind. $M_{\text{out}}$ represents the accretion rate over most of the disc and therefore adjusts the peak wavelength of the SED and contributes radiation mainly at low frequencies, with a lesser contribution to the optical–UV continuum which depends on $M_{\text{in}}$ and $r_{1/2}$. Furthermore, the contribution to the long-wavelength continuum is almost frequency independent, whereas the contribution to the UV is frequency dependent. $M_{\text{in}}$ governs the shape of the UV continuum such that low values of $M_{\text{in}}$ compared to $M_{\text{out}}$ soften the UV SED significantly. $r_{1/2}$ governs the relative importance of accretion rates at the inner and outer radii of the disc. Specifically, a large value of $r_{1/2}$ causes
energy to be removed at larger radii, influencing the SED over a large range of wavelengths from NIR to UV. A small $r_{\rm t/2}$ causes an almost constant accretion rate throughout almost the entire disc and a sharp fall of accretion at small radii, influencing mainly the UV SED. These factors together determine the spectral shape of a wind-ejecting disc and are evident in Figs 4 and 5. We find that we can model the observed $\alpha_{1450-4200\AA} \approx -0.5$ for $M_8 = 1$ BHs and a range of $M_{\rm in}$, $M_{\rm out}$, $a$ and $r_{\rm t/2}$. Such soft spectra cannot be modelled by standard $\alpha$-discs except for very large BH mass or very low accretion rates. In particular, some of our models (1.8 and 2.7) fit relatively well with the Vanden Berk et al. (2001) composite shown in Fig. 5. We note that these models have extremely high ratios of $M_{\rm out}/M_{\rm in}$ and that models 1.5 and 2.5 (which are standard $\alpha$-disc models with the same $M_{\rm in}$) already have relatively soft optical–UV spectra.

Since equation (8) is not valid for wind-ejecting discs, $M_{\rm BH}$ cannot be evaluated solely by measurement of $L$. As Fig. 7 shows, there is a range of values, $M_{\rm in}/M_{\rm edd}$, for a given $L/L_{\rm edd}$. We have found that estimating $L$ by using a certain $\lambda L$ and a bolometric correction may result in inaccuracy by a factor of $\sim 2$. Furthermore, we find that the method suggested by Davis & Laor (2011) for estimation of $M$ by measuring $L$, at optical wavelengths is not consistent with our wind-ejecting models and may cause significant discrepancies in evaluation of $M_{\rm BH}$. Together with knowledge of $L$, information on the spectral shape of the AGN can further constrain $M_{\rm BH}$. In Fig. 7, comparing the left-hand and right-hand panels, one sees that the slope, $\alpha$, in some spectral ranges is more sensitive to $M_{\rm in}$ than in other spectral ranges. For example, $\alpha$ at UV wavelengths is far more sensitive to changes in accretion rate at the ISCO than at NIR wavelengths. This is true for all values of $M_{\rm in}$, $a$ and $M_{\rm out}$. Therefore, for known $M_{\rm 8}$ and $a$ values, measurement of $\alpha_{1450-912\AA}$ or $\alpha_{912-1450\AA}$ can remove much of the uncertainty in $M_{\rm BH}$ caused by different wind profiles and leaves only the uncertainty caused by the unknown value of $M_{\rm out}$.

Finally, this work is not intended to explore the physics of real AGN winds or their influence on the disc geometry and physical state. As explained above, there are various types of winds (radiation-driven, thermally driven, magnetohydrodynamics) and a large range of factors which may influence the outflows. Our work shows that such winds affect the disc luminosity, the SED and the BH growth rate, under very general assumptions about the actual wind profile. The understanding of the effects of disc winds on the SED and on our ability to measure $L$ allows one to constrain the possible errors made in estimation of BH growth rate.

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