Confinement and deconfinement of spinons in a frustrated
spin-1/2 Heisenberg model.

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Abstract

In this publication I discuss the phase diagram of a frustrated spin-1/2 Heisenberg model suggested in A. A. Nersesyan and A. M. Tsvelik, Phys. Rev. B67, 024422 (2003). The phase diagram contains $(\pi, 0)$ and $(\pi, \pi)$ antiferromagnetic phases separated by the Valence Bond Crystal (VBC) state. I argue that the point of the phase diagram with deconfined spinons, predicted in the aforementioned work, is situated in the middle of VBC state, at the point where the dimerization order parameter changes sign.

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I. INTRODUCTION

In our previous paper we described a model of spin-1/2 antiferromagnet (the so-called Confederate Flag or CF model) where the fine tuning of interactions gives rise to a state with fractional quantum spin number excitations (spinons) [1]. The subsequent studies (2, 3, 4, and especially 5) have provided a support to our results. In the original publication we did not discuss what happens if one deviates from this special point. Such discussion is a subject of the present publication. Here I discuss the phase diagram of CF model concentrating primarily on the vicinity of the deconfinement (D) point. This gives us a better understanding of the physics involved and also helps to put the CF model in the broader context of studies of frustrated magnetism. Though the corresponding literature is enormous (see, for example, 6 for review), the theoretical efforts are primarily concentrated on idealized models (such as models of dimers or gauge field theory models) whose relation to microscopic models with realistic interactions is not clear. The standard argument invokes universality: the belief is that low energy behavior of such systems will be independent on microscopical details following some universal patterns. It is always interesting to check general considerations against concrete models. In that sense, CF model, being simply a model of a Heisenberg magnet with short range interactions, presents an almost unique example.

The literature knows two scenario for realization of the D-point. In one of them deconfined spinons exist on the boundary between antiferromagnetic and VBC state in the hypothetical situation when these states touch each other at a Quantum Critical Point 7. In the other scenario, based on the study of models of quantum dimers, spinons appear at the boundary between two VBC states (the Rokrar-Kivelson critical point) 8, 9. As I shall argue in this paper, neither situation is realized in CF model. In that model the D-point separates two VBC states, as in the second scenario, but the analogy does not go much further. First, according to 8, 9, the spectrum at the D-point in the dimer models consists of spinless particles ("photons") with a quadratic $\omega \sim k^2$ spectrum. This is absolutely incompatible with CF model which is approximately (1+1) Lorentz invariant when the interchain coupling is weak. This symmetry dictates that the dispersion of the gapless excitations along the chains direction must be linear. Second, one of the VBC phases in the dimer models contains a ‘devil’s staircase’ of commensurate and incommensurate phases which does not agree with
the situation in CF model where both VBC phases are simple. So it appears that CF model is quite distinct and does not fit into the known categories.

II. THE MODEL

The model suggested in our original paper [1] is a spin-1/2 Heisenberg magnet with spatially anisotropic exchange interactions. The exchange in one direction is much stronger than in the others and therefore this model can be viewed as a model of weakly coupled chains. Recently Batista and Trugman [5] have found an isotropic version of the CF model and shown that it has the same ground state degeneracy and possesses spin-1/2 excitations. Therefore the requirement of the space anisotropy is only a matter of convenience. It allows us to use the continuum limit in one direction and to apply the field theory methods. The existence of the deconfined point also does not depend on the number of transverse directions, therefore for the sake of simplicity I will discuss the two-dimensional version of the model. In that case the interaction pattern reminds the Confederate Flag (see Fig. 1). The CF model Hamiltonian is given by

$$H_{CF} = \sum_{j,n} \left\{ J_\parallel S_{j,n} \cdot S_{j+1,n} + \sum_{\mu=\pm 1} \left[ J_r S_{j,n} + J_d (S_{j+1,n} + S_{j-1,n}) \right] \cdot S_{j,n+\mu} \right\} + \lambda V_{quarter},$$  

where $S_{j,n}$ are spin-1/2 operators, and $J_\parallel >> J_r, J_d > 0$. The term $V_{quarter}$ contains a four- (and possibly higher) spin exchange interaction with a small coupling constant $\lambda \sim J_r^2 / J_\parallel$. This term was absent in the original publication, but, as was recently demonstrated by Balents and Starykh [4], one needs to introduce it to fine-tune the model to the state with deconfined spinons.

Assuming that the interchain couplings ($J_r, J_d, \lambda$) are much smaller than the exchange along the chains ($J_\parallel$) it is legitimate to adopt a continuum description of individual chains. In this description, the local spin densities are represented as sums of the smooth and staggered parts:

$$S_{j,n}/a_0 \rightarrow S_n(x) = M_n(x) + (-1)^j N_n(x), \quad x = ja_0,$$  

$a_0$ being the lattice spacing in the chain direction.

The low-energy dynamics of the spin–1/2 Heisenberg antiferromagnet

$$H_{1D} = J_\parallel \sum_j (S_j S_{j+1})$$  

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FIG. 1: Exchange interactions pattern for CF model, the red lines correspond to $J_r$ and the green ones to $J_d$.

FIG. 2: A possible realization of the Confederate Flag exchange pattern via a superexchange. The superexchange between spins of magnetic (black) ions occurs through orbitals of non-magnetic (green) ones with a big ionic radius. The paths 1 and 1’ generate the vertical interchain exchange ($J_r$) and the paths of type 2 generate the diagonal one such that $J_g = J_r/2$.

is described by the SU$_1$(2) Wess-Zumino-Novikov-Witten model. The latter Hamiltonian can be written in terms of the so-called chiral vector current operators, $\mathbf{J}$ and $\bar{\mathbf{J}}$, satisfying the level $k = 1$ Kac-Moody algebra (this approach has been described in a vast number of
publications; (for a review see \[10\] or \[11\], [12]):

\[ H_{1D} \rightarrow \frac{2\pi v}{3} \int dx \left[ : (J \cdot J) : + : (\bar{J} \cdot \bar{J}) : \right] + \cdots, \]  

(4)

with \( v = \pi a_0 J_{||}/2 \).

It is remarkable that the smooth part of magnetization,

\[ M = J + \bar{J}, \]  

(5)

and the spin current,

\[ j = v(J - \bar{J}), \]  

(6)

are locally expressed in terms of the chiral currents.

In the CF model, the exchange is frustrated in the direction perpendicular to the chains. In order to eliminate the coupling of the leading relevant operators (the staggered magnetizations \( N_n(x) \cdot N_{n+1}(x) \) and the dimmerizations \( \epsilon_n(x)\epsilon_{n+\mu}(x) \)) one has to fine tune the couplings \( J_r - 2J_d \) and \( \lambda \). In the leading order in \( J_r, J_d \) the fine tuning is achieved when \( J_r - 2J_d = 0, \lambda = 0 \).

To discuss the phase diagram of CF model one needs to deviate from the fine-tuned point with deconfined spinons. For weak interchain interactions one still can employ the continuum Hamiltonian obtained using the continuum description of individual chains following the asymptotic representation \([2]\) of the spin operators:

\[ H = H_1 + H_2, \]  

(7)

\[ H_1 = \sum_{n=1}^{2N} \left[ H_{1D,n} + \frac{\gamma}{2} \sum_{\mu=\pm 1} (J + \bar{J})_n \cdot (J + \bar{J})_{n+\mu} \right], \]  

(8)

\[ H_2 = \frac{1}{2} \delta J \sum_{n,\mu} N_n N_{n+\mu} \]  

(9)

where \( H_{1D} \) is given by Eq.(4). For the lattice Hamiltonian presented on Fig. 1 we have \( \gamma = J_r + 2J_d \) and \( \delta J = J_r - 2J_d \). One very important property of model \([3]\) is its (1+1)-dimensional Lorentz invariance which survives at \( \delta J \neq 0 \). This dictates the form of the excitation spectrum for all particles:

\[ E^2 = v^2 k^2 + \Delta^2(k_\perp) \]  

(10)

where \( \Delta(k_\perp) \) is a periodic function of the wave vector component perpendicular to the chains. I emphasise that this result is valid for an arbitrary number of chains. As I have mentioned
in Introduction, this property precludes the existence of $k^2$ gapless modes characteristic for dimer model critical points.

Model (8,9) can be viewed as the critical system (the bunch of non-interacting spin-1/2 chains) perturbed by relevant interactions. Each of these interactions generate their own energy scale according to their scaling dimension. The current-current interaction, being only marginally relevant, generates the scale

$$M \sim J_\parallel \exp\left[-\pi^2 J_\parallel^2 / 2(J_\parallel + 2J_d)\right]$$

(11)

and the interaction of the staggered spin components generates a scale $\sim \delta J$. When $|\delta J| > M$ the system orders antiferromagnetically (though in two dimensions only at $T = 0$). The corresponding Neel wave vectors are $(\pi, 0)$ for $\delta J < 0$ and $(\pi, \pi)$ for $\delta J > 0$. These things are absolutely obvious; it is less obvious however what happens in the opposite limit $M > |\delta J|$. For weak interchain interactions the best I can do in this case is to study the four chain model, where non-perturbative calculations can be carried out explicitly. I believe that the four chains are representative enough to give an insight into what happens for an infinite system.

III. THE FOUR CHAIN MODEL AS BOTH SOLVABLE AND REPRESENTATIVE CASE.

So let us consider the case of four chains with periodic boundary conditions in the transverse direction. Let me briefly recall the results for $\delta J = 0$ obtained in [1,13]. As was noticed that at $\delta J = 0$ the model acquires an additional symmetry: the sectors with different parity decouple. This follows from the fact that the relevant interactions couple only currents of different chirality belonging to different chains. Thus the relevant current-current interaction is

$$(J_1 + J_3)(\bar{J}_2 + \bar{J}_4) + (J_2 + J_4)(\bar{J}_1 + \bar{J}_3)$$

(12)

and the Hamiltonian decouples into two parts:

$$H = H_+ + H_-$$

(13)

The + parity sector contains $J_{1,3}$ and $\bar{J}_{2,4}$ currents and the $-$ parity sector contains $\bar{J}_{1,3}$ and $J_{2,4}$ currents. Each of the models represented by the Hamiltonians $H_\pm$ is integrable,
which can be demonstrated by rewriting them in terms of familiar integrable models. Let us recall how it was done in \[1\]. A sum of two \(k = 1\) SU(2) currents is the \(k = 2\) current; moreover, according to \[14\] the sum of two SU(2) WZNW models (the central charge 2) can be represented as the SU(2) WZNW model with central charge \(3/2\) and plus one massless Majorana fermion (a critical Ising model) with central charge \(1/2\). Using the results of \[14\] we rewrite the entire Hamiltonian density \[1\] as follows (here only the \((+)-\)parity part is written):

\[
\mathcal{H}_\pm = \mathcal{H}_{\text{massless}} + \mathcal{H}_{\text{massive}}
\]

\[
\mathcal{H}_{\text{massless}} = \frac{-i\nu}{2} \chi_0 \partial_x \chi_0 + \frac{i\nu}{2} \bar{\chi}_0 \bar{\partial}_x \bar{\chi}_0
\]

\[
\mathcal{H}_{\text{massive}} = \frac{\pi \nu}{2} (\mathbf{I} \cdot \mathbf{I} : + : \bar{\mathbf{I}} \cdot \bar{\mathbf{I}} :) + \gamma \mathbf{I} \cdot \bar{\mathbf{I}} = \frac{i\nu}{2} (-\chi^a \partial_x \chi^a + \bar{\chi}^a \partial_x \bar{\chi}^a) - \frac{\gamma}{2} \left(\chi^a \chi^a\right)^2
\]

where \(a = 1, 2, 3\) and

\[
\mathbf{I} = \mathbf{J}_1 + \mathbf{J}_3, \quad \bar{\mathbf{I}} = \bar{\mathbf{J}}_2 + \bar{\mathbf{J}}_4
\]

\[
\mathbf{J}_{1,3} = \frac{i}{2} \left\{ \pm \chi_0 \bar{\chi} + \frac{1}{2} [\bar{\chi} \times \bar{\chi}] \right\}, \quad \mathbf{J}_{2,4} = \frac{i}{2} \left\{ \pm \bar{\chi}_0 \chi + \frac{1}{2} [\chi \times \chi] \right\}
\]

The fields \(\chi, \bar{\chi}\) stand for real (Majorana) fermions.

Eq.(14) describes a critical Ising model; the corresponding excitations are gapless and non-magnetic; they appear in the sectors with both parities.

Let me say several words about the O(3) Gross-Neveu model \[15\]. Though Majorana fermion description presents some advantages, the staggered magnetization components as well as their product \[16\] are nonlocal with respect to these fermions. It turns out that the latter interaction can be expressed in terms of order and disorder parameter operators of the eight Ising models corresponding to each Majorana fermion species. Since we are interested in the case when \(|\delta J| \leq M\), we have to recast the perturbation in terms of the nonchiral fields of models \(H_\pm\). This can be done using Abelian bosonization representation for individual chains and the correspondence between \(C = 1\) theory and two critical Ising models (see \[11, 15, 12\]). The net result for the density of the perturbation Hamiltonian obtained after some algebra is

\[
\mathcal{H}_2 = \delta J (\mathbf{N}_1 + \mathbf{N}_3)(\mathbf{N}_2 + \mathbf{N}_4) =
\]

\[
\delta J (\sigma_0^+ \sigma_0^-)[(\sigma_1 \sigma_2 \sigma_3)^+ (\sigma_1 \sigma_2 \sigma_3)^- - 3(\mu_1 \mu_2 \mu_3)^+ (\mu_1 \mu_2 \mu_3)^- + ...] +
\]

\[
\delta J (\mu_0^+ \mu_0^-)[3(\sigma_1 \sigma_2 \sigma_3)^+ (\sigma_1 \sigma_2 \sigma_3)^- + (\mu_1 \mu_2 \mu_3)^+ (\mu_1 \mu_2 \mu_3)^- + ...]
\]

(17)
where the dots stand for the terms which do not have finite averages at $\delta J$. $\sigma^\pm_a, \mu^\pm_a$ $(a = 0, 1, 2, 3)$ are order and disorder parameter operators of the Ising models associated with the Majorana fermions $\chi_a, \bar{\chi}_a$ from $+$ and $-$ sectors. As we shall demonstrate later, this interaction leads to confinement of spin-$1/2$ particles.

The dimerization order parameter is

$$(N_1 - N_3)(N_2 - N_4) =$$

$$(\sigma^+_0 \sigma^-_0)[(\sigma_1 \sigma_2 \sigma_3^+) (\sigma_1 \sigma_2 \sigma_3^-) + 3(\mu_1 \mu_2 \mu_3^+) (\mu_1 \mu_2 \mu_3^-) + ...] +$$

$$(\mu^+_0 \mu^-_0)[-3(\sigma_1 \sigma_2 \sigma_3^+) (\sigma_1 \sigma_2 \sigma_3^-) + (\mu_1 \mu_2 \mu_3^+) (\mu_1 \mu_2 \mu_3^-) + ...]$$

(18)

As I have said, the terms in the square brackets in Eq.(17) have nonzero vacuum averages even at $\delta J = 0$. The unperturbed model has four ground states: with $\langle (\sigma_1 \sigma_2 \sigma_3)^\pm \rangle \neq 0$ (the $\sigma^\pm$ vacua) and $\langle (\mu_1 \mu_2 \mu_3)^\pm \rangle \neq 0$ (the $\mu^\pm$ vacua). Replacing in (17) the corresponding products by their vacuum expectation values $\sim M^3 / 8$ and identifying $\langle \mu^+_0 \mu^-_0 \rangle = \cos(\sqrt{\pi} \Phi_0), \langle \sigma^+_0 \sigma^-_0 \rangle = \sin(\sqrt{\pi} \Phi_0)$, we get

$$H_2 \sim (\delta J) M^{3/4} \cos[\sqrt{\pi} \Phi_0 + \tan^{-1} 3] \ (\langle \mu^\pm \rangle \neq 0)$$

$$\sim (\delta J) M^{3/4} \cos[\sqrt{\pi} \Phi_0 - \tan^{-1} 1 / 3] \ (\langle \sigma^\pm \rangle \neq 0)$$

(19)

and 0 if for one parity $\langle \sigma \rangle \neq 0$ and $\langle \mu \rangle \neq 0$ for the other. Thus the ground state degeneracy is now reduced to two; the order (disorder) parameters in both sectors now condense simultaneously. The $\sigma \rightarrow \mu$ degeneracy is not lifted, since two forms of the potential (19) are equivalent under the uniform shift $\sqrt{\pi} \Phi_0 \rightarrow \sqrt{\pi} \Phi_0 + \pi / 2$ which does not affect the gradient term $(\partial_\mu \Phi_0)^2$. Perturbation (9) couples the critical Ising model sectors with different parity; being projected on the state with $\langle \mu^+ \rangle, \langle \mu^- \rangle \neq 0$ the resulting Hamiltonian becomes the sine-Gordon one:

$$H_{SG} = \frac{i}{2} \bar{\chi}_0^+ \tau^3 \partial_x \chi_0^+ + \frac{i}{2} \bar{\chi}_0^- \tau^3 \partial_x \chi_0^- + AM^{3/4}(\delta J)(\sigma^+_0 \sigma^-_0 + 3\mu^+_0 \mu^-_0) =$$

$$\frac{1}{2} [\Pi_0^2 + (\partial_x \Phi_0)^2] + \tilde{A}(\delta J) M^{3/4} \cos(\sqrt{\pi} \Phi_0 + \tan^{-1} 3)$$

(20)

where $\tilde{A} \sim 1$ is a numerical coefficient, $\tau^3$ is the Pauli matrix and $\Pi_0$ is the momentum density operator. The cosine term gives rise to the mass gap

$$\Delta \sim (\delta J)^{4/7} M^{3/7}$$

(21)
The average dimerization is
\[ \epsilon \equiv \langle (N_1 - N_3)(N_2 - N_4) \rangle = \]
\[ \sim M^{3/4} \langle \cos[\sqrt{\pi}\Phi_0 - \tan^{-1} 3] \rangle \quad (\langle \mu \rangle \neq 0) \]
\[ \sim -M^{3/4} \langle \cos[\sqrt{\pi}\Phi_0 + \tan^{-1} 1/3] \rangle \quad (\langle \sigma \rangle \neq 0) \]

This average does not depend on the choice of vacuum (that is whether \( \sigma \) or \( \mu \) are in the condensate):

\[ \epsilon \sim (\delta J)^{1/7} M^{6/7} \]

Thus the dimerization changes its sign when \( \delta J \) goes through zero following a power law dependence. The exponent is quite small and it is possible that in the limit of infinite number of chains the transition is the 1st order, as in the isotropic CF model \([5]\). I believe that this is indicative of the physics behind the deconfinement: it occurs on the boundary between two Valence Bond crystalline orders.

IV. CONFINEMENT OF SPINONS

The problem of kinks confinement in the potential \([17]\) is somewhat peculiar differing from the standard confinement problems studied by various authors \([16], [17], [18]\). In our case the string tension is provided by the \( \cos[\sqrt{\pi}\Phi_0], \sin[\sqrt{\pi}\Phi_0] \) terms and becomes energy dependent. Though it is not directly related to the main topic of the paper, it is interesting enough on its own right. So I will spent some time discussing this problem.

Let us consider a kink interpolating between the \( \sigma \)- and \( \mu \)-vacua in the + sector (\([\mu\sigma]^+ \) kink). It carries an isotopic (spin) index \( \alpha = \pm 1/2 \). According to \([17]\), the creation of such a kink will lead to the rise of the total energy proportional to the system size. To prevent this, one has to create a similar kink in the \(- \) sector. If we have two kinks \( \mu\sigma \) - one centered at \( x = x_1 \) and the other one at \( x = x_2 \), the effective potential for the \( \sqrt{\pi}\Phi = \sqrt{\pi}\Phi_0 + \tan^{-1} 3 \) field is

\[ \tilde{A}(\delta J)M^{3/4} \left\{ \theta(x_1 - x) \cos[\sqrt{\pi}\Phi] + \theta(x - x_2) \sin[\sqrt{\pi}\Phi] \right\} \]

The kinks are heavy particles whose mass \( M \) far exceeds the masses of excitations of the \( \Phi_0 \) field \( \sim \Delta \). This justifies the approximation which takes the kink configurations as step
functions. At $x < x_1$ field $\Phi$ is locked at $\sqrt{\pi}$, at $x > x_2$ it is locked at $3\sqrt{\pi}/2$. The solution in the middle is

$$\sqrt{\pi}\Phi = \frac{\pi}{2} \frac{x - x_1}{x_2 - x_1}$$

such that the total energy difference between the vacuum without kinks and the vacuum in the presence of two kinks is

$$V = B^2 \Delta^2 |x_{12}| + \frac{\pi}{8|x_{12}|}$$

(25)

where $B \sim 1$ (though its numerical value can be calculated, it is not of interest here) and

$$B^2 \Delta^2 = \tilde{A}(\delta J) M^{3/4} \langle \cos[\sqrt{\pi}\Phi] \rangle$$

(26)

This energy difference provides an effective confining double-well potential in which the $[\mu\sigma]^+ - [\mu\sigma]^-$ bound states are formed. Recall that the potential does not depend on the spin configuration (this will be no longer the case when $\Delta \sim M$). Therefore the bound

FIG. 3: The dimerization patterns for two VBC orders. The dots are spins; the strong bonds are depicted in color.
FIG. 4: The schematic phase diagram for the CF model. The bold green lines denote the 1st order phase transitions between the antiferromagnetic and the dimerized states. $x = \delta J/M$. The dimerization changes sign at $x = 0$.

FIG. 5: The schematic form of the confining potential $U(X)$. The energy levels corresponding to odd and even parity states are colored in blue and red respectively.

states form 4-fold degenerate isotopic multiplets consisting of SU(2) triplets and singlets. The mass spectrum of kink bound states in such double-well potential is somewhat different from the purely linear confining potential studied in [16], [17], [18]:

$$M_n - 2M \approx \ldots$$
\[
B \Delta \left\{ \sqrt{\frac{\pi}{2}} + \left[ \sqrt{\frac{8}{\pi} \frac{B \Delta}{M}} \right]^{1/2} (n + 1/2)[1 \pm \delta(n)] + ... \right\}, \quad n << (M/\Delta)^{2/5} \quad (27)
\]

where
\[
\delta(n) \sim \exp \left[ -\left(\frac{\pi}{2}\right)^{17/8} (M/B \Delta)^{3/4} \frac{1}{\sqrt{n + 1/2}} \right]
\]

and
\[
M_n - 2M \approx B \Delta (n^2 B \Delta/M)^{1/3}, \quad n >> (M/\Delta)^{2/5} \quad (28)
\]

Not all these bound states are stable; the particles with masses greater than \(2M + \Delta\) can decay into particles with smaller masses emitting excitations of the \(\Phi_0\) field. Therefore particles with \(n > \sqrt{M/\Delta}\) are unstable. Since the power 1/2 is quite close to 2/5, the mass sequence of Eq.(28) is never reached.

As far as kink-antikink states are concerned, for them the string potential is not repulsive, but attractive and they are expelled from the spectrum.

V. CONCLUSION

The study of the four chain case gives reasons to believe that the infinite system has a phase diagram presented on Fig. 4. The deconfinement point appears in the middle of the VBC phase, as in the dimer models considered in [8],[9]. The excitation spectra are different, however. The spinless modes of the dimer models have a quadratic spectrum \(\omega \sim k^2\); such spectrum cannot emerge in CF model due to the (1+1)-dimensional Lorentz invariance. Thus I conclude that the D-point of CF model belongs to a universality class different from the universality class of the Roksar-Kivelson critical point.

In conclusion to this paper I would like to point out a rather curious parallel between the problem of frustrated magnetism and another long standing problem of condensed matter physics, namely the problem of heavy fermion state formation in rare earth compounds. In these compounds magnetic moments of highly localized electrons belonging to rare earth ions \(f\) shells interact with delocalized electrons from the broad conduction band. The problem is discussed in the literature in terms of competition between the Kondo screening and the induced interspin interaction (the so-called RKKY interaction). On the formal level this competition looks exactly like the competition between the less relevant current-current interaction in model (8) and the more relevant interaction of staggered magnetisations (9).
Indeed, the energy scale generated by the Kondo screening (Kondo temperature) is exponentially small in the coupling constant as in (11) and the energy scale generated by the RKKY interaction is proportional to the square of the spin-fermion coupling. Though one would expect that \( \exp(-1/g) \) is always much smaller than \( g^2 \), there is a vast class of materials where the Kondo screening is manifest at temperatures much larger than the temperature of magnetic ordering. One possible explanation is that the RKKY interaction with its oscillatory behavior, is highly frustrated which leads to cancellations similar to the one considered in this paper.

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