Osteoblastic behavior to zirconium coating on Ti-6Al-4V alloy

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PURPOSE. The purpose of this study was to assess the surface characteristics and the biocompatibility of zirconium (Zr) coating on Ti-6Al-4V alloy surface by radio frequency (RF) magnetron sputtering method.

MATERIALS AND METHODS. The zirconium films were developed on Ti-6Al-4V discs using RF magnetron sputtering method. Surface profile, surface composition, surface roughness and surface energy were evaluated. Electrochemical test was performed to evaluate the corrosion behavior. Cell proliferation, alkaline phosphatase (ALP) activity and gene expression of mineralized matrix markers were measured.

RESULTS. SEM and EDS analysis showed that zirconium deposition was performed successfully on Ti-6Al-4V alloy substrate. Ti-6Al-4V group and Zr-coating group showed no significant difference in surface roughness (P>.05). Surface energy was significantly higher in Zr-coating group than in Ti-6Al-4V group (P<.05). No difference in cell morphology was observed between Ti-6Al-4V group and Zr-coating group. Cell proliferation was higher in Zr-coating group than Ti-6Al-4V group at 1, 3 and 5 days (P<.05). Zr-coating group showed higher ALP activity level than Ti-6Al-4V group (P<.05). The mRNA expressions of bone sialoprotein (BSP) and osteocalcin (OCN) on Zr-coating group increased approximately 1.2-fold and 2.1-fold respectively, compared to that of Ti-6Al-4V group.

CONCLUSION. These results suggest that zirconium coating on Ti-6Al-4V alloy could enhance the early osteoblast responses. This property could make non-toxic metal coatings on Ti-6Al-4V alloy suitable for orthopedic and dental implants. [J Adv Prosthodont 2014;6:512-20]

KEY WORDS: Biocompatible materials; Surface-coated materials; Zirconium; Titanium; Surface properties; Cell proliferation

INTRODUCTION

Titanium (Ti) and Ti alloy are useful material for dental implants due to its biocompatibility and mechanical properties similar to bone.1,2 Among Ti alloys, Ti-6Al-4V alloy is considered to be physiologically inert, and to have high mechanical properties such as high strength and high wear resistance.3-5 However, metal ions released from Ti alloys into body fluids have been reported to be associated with implant failure.6-8

Coating method has been considered as one approach for preventing and reducing these problems. Liu and Mattews reported that physical vapour deposition (PVD) nitride coatings on Ti-6Al-4V alloy significantly reduced the corrosion rate and enhanced wear resistance compared to non-coated surface of Ti-6Al-4V alloy.9 Coatings of other non-toxic metals, such as tantalum (Ta), niobium (Nb) and zirconium (Zr) were also considered to prevent the metal ion release. These non-toxic metals react with oxygen at room temperature, forming a thin coating of metallic oxide surface.10 This coating plays a protective role as a barrier between the metal and host tissues.

The use of Zr as a biomaterial has increased due to its good chemical and dimensional stability.11-14 Zr has a good corrosion resistance to acid and other chemicals. Zr also

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has no adverse effect on living organism. It was reported that Ti-Zr alloy showed enhanced cell response.\textsuperscript{15} Recently, several researches have introduced the use of Zr or zirconium oxide (ZrO\textsubscript{2}) in surface modifications.\textsuperscript{14,16-18} Kurell and Dahotre showed that ZrO\textsubscript{2} coating on Ti-6Al-4V alloy led to a phase transformation on the surface and changed the surface roughness.\textsuperscript{16} They also pointed out that such a physical and chemical transformation by ZrO\textsubscript{2} coating could be effective for cells and tissues. ZrO\textsubscript{2} film on titanium produced by cathodic arc deposition showed enhanced osteoblastic response.\textsuperscript{17} It was reported that ZrO\textsubscript{2} coating enhanced dental implant osseointegration.\textsuperscript{18}

Among various coating techniques including plasma spraying, electrophoretic deposition, chemical vapour deposition and sol-gel method, radio frequency (RF) magnetron sputtering produced a smooth, uniform film and did not change surface roughness.\textsuperscript{19} The thickness of titanium substrate was thinner than other methods.\textsuperscript{20,21} Also, the adhesion strength of coatings obtained by RF magnetron sputtering was 46 MPa, which is expected to be strong enough to endure a torque of 30 Ncm.

Taken together, Zr coatings on Ti-based metals is thought to increase the life expectancy of surgical implants and prosthesis due to its greater wear and corrosion resistance and its better cell response than pure titanium alloys. However, cell responses to Zr coated surface on Ti-based metals by RF magnetron sputtering have poorly been studied.

Thus, the aim of this study was to evaluate on the surface characteristics and the biocompatibility of Zr-coating on Ti-6Al-4V alloy by RF magnetron sputtering.

### MATERIALS AND METHODS

Ti-6Al-4V discs were fabricated (15 mm and 25 mm diameter, 1 mm thickness, provided by the school of Materials Science and Engineering, Chonnam University, Gwangju, Korea). All discs were ground with 240 grit silicon carbide papers to remove debris and deposits of surfaces, and then ultrasonically cleaned in acetone and ethanol for 10 minutes each. All discs were rinsed with deionized water between ultrasonication.

The discs were divided into 2 groups. Group I was a non-coated machined Ti-6Al-4V surface (Ti-6Al-4V group). Group II was a Zr-coated surface by RF magnetron sputtering (Zr-coating group). Forty discs per group were used for this study.

The Zr deposition by RF magnetron sputtering was performed for 20 minutes at room temperature using L-210HS-F (Anelva Corp, Kanagawa, Japan). The power was 100 W, the base pressure was 3 × 10\textsuperscript{-4} Pa and the working gas was argon (Ar) and oxygen (O\textsubscript{2}). The inlet flow rate was 100 standard cubic centimeter per minute (sccm). During deposition, the Ar flow rate was fixed at 120 sccm and the O\textsubscript{2} flow rate was varied from 0 to 30 sccm, which caused a change in the total pressure in the chamber in the range from 1.5 to 1.7 Pa.

The surface morphology and the surface composition was evaluated using scanning electron microscopy (SEM, S-4700, Hitachi, Tokyo, Japan) equipped with energy dispersive spectroscopy (EDS, Emax, Horiba, Kyoto, Japan). Atomic force microscopy (AFM, MNAFM-2, Digital Instruments, Santa Barbara, CA, USA) was used to evaluate surface microprofile and surface microroughness. The cross-sectional image of Zr-coated Ti-6Al-4V was obtained by SEM.

Roughness test was performed in five samples from each group, using an electronic portable surface roughness tester (Davite DH-7, Asmeto AG, Richterswil, Switzerland). The mean surface roughness was calculated and represented as arithmetical mean roughness (Ra).

The water contact angle was analyzed using image analyzing software (Surfins QA 3.0, OEG GmbH, Frankfurt, Germany). Distilled water was dropped on the sample at room temperature. The image of the water droplet was captured at 30 seconds of the delivery using image analyzing microscope (Camscope, Sometech Inc., Seoul, Korea). The contact angle was measured for 3 samples per group.

The surface energy was calculated using the Good & Van Oss model.\textsuperscript{22}

\[
\gamma = \gamma_{S}^{LW} + \gamma_{S}^{AB}
\]

- \(\gamma\): surface energy
- \(\gamma_{S}^{LW}\): dispersive component (Lifshitz-Van der Waals interactions)
- \(\gamma_{S}^{AB}\): polar component (polar interactions, Lewis acid-base)
- \(\gamma_{L}^{S}\): Lewis acid, \(\gamma_{B}^{S}\): Lewis base

\(\gamma_{S}^{LW}\), \(\gamma_{S}^{AB}\) and \(\gamma_{S}^{-}\) were calculated using following equation:

\[
\gamma_{S}^{-}(1 + \cos\theta) = 2(\gamma_{S}^{LW}\gamma_{S}^{LW})^{1/2} + (\gamma_{S}^{AB}\gamma_{S}^{-})^{1/2} + (\gamma_{S}^{AB}\gamma_{S}^{-})^{1/2}
\]

The equation was calculated from measured contact angle (\(\theta\)) and known components (Table 1) of 3 different liquids, which are known as polar (distilled water and formamide) and dispersive components (diiodomethane). The contact angle for each solvent was measured for 3 samples per group, thus the surface energy was calculated for 3 samples per group.

Potentiodynamic polarization test was performed to analyze the corrosion behavior using a potentiostat (PARSTAT 2273, Princeton applied research, Oak Ridge, TN, USA). The reference electrode was a saturated calomel electrode, a counter-electrode was a platinum wire, and a working electrode was the exposed surface of the Ti-6Al-4V and Zr-coating discs. The electrolyte was phosphate.

### Table 1. Energy components of liquids (mN/m)

|                | \(\gamma_{L}^{S}\) | \(\gamma_{L}^{LW}\) | \(\gamma_{L}^{AB}\) | \(\gamma_{L}^{-}\) | \(\gamma_{L}^{M}\) |
|----------------|---------------------|---------------------|---------------------|---------------------|---------------------|
| Water (polar)  | 72.8                | 21.8                | 51.0                | 25.5                | 25.5                |
| Formamide (polar) | 58.0              | 39.0                | 19.0                | 2.28                | 39.6                |
| Diiodomethane (non-polar) | 50.8            | 50.8                | 0                   | 0                   | 0                   |

The Journal of Advanced Prosthodontics 513

\[\text{Osteoblastic behavior to zirconium coating on Ti-6Al-4V alloy}\]
buffered saline. The samples were anodically polarized from -1 V to 2 V at a scan rate of 100 mV/min.

Discs (15 mm and 25 mm diameter) for evaluation of biologic response were placed in the bottom of culture dishes (12-well and 6-well, respectively), rinsed in 70% ethanol for 3 times, exposed to UV light for 1 hour and air-dried in a laminar flow.

Cultures of mouse MC3E3-T1 cells (ATCC, Rockville, MD, USA) were grown in alpha minimum essential medium (α-MEM, Invitrogen Corp., Carlsbad, CA, USA) supplemented with 10% heat-inactivated fetal bovine serum (FBS, Invitrogen Corp., Carlsbad, CA, USA), 100 mg/mL penicillin, and 100 µg/mL streptomycin (Invitrogen Corp., Carlsbad, CA, USA) at 37°C in humidified atmosphere of 5% CO₂-95% air.

Cell attachment and cell spreading were evaluated by SEM using MC3E3-T1 cells. Cells were cultured on samples in 12-well plate at a density of 2 × 10⁴ cells/mL with α-MEM containing 10% FBS. After incubation for 1 hour, the dishes were washed with phosphate buffered saline (PBS, Invitrogen Corp., Carlsbad, CA, USA) for three times and fixed with 2.5% glutaraldehyde (Sigma, St. Louis, MO, USA) in 100 mM cacodylate buffer (Sigma, St. Louis, MO, USA). Samples were dehydrated in ethanol (30%, 60%, 95%, and 100%, in order), immersed in hexamethyldisilazane (Sigma, St. Louis, MO, USA) for 15 minutes and air-dried. Samples mounted on aluminum stubs were coated with platinum to be evaluated by SEM.

The extent of cell adhesion and spreading were classified into four stages according to Rajaraman et al.²³ as follows: stage 1, rounded cells in initial contact with the surface via a few filopodia; stage 2, cells exhibiting centrifugal growth of filopodia; stage 3, cells exhibiting cytoplasmic webbing; and stage 4, fully spread cells in round or polygonal shape. The number of cells at stage 3 and 4 in 6 random fields on 3 samples per group were counted using SEM at a magnification of ×500. The mean number of cells at each stage was expressed as a percentage of the total number of cells present on the samples.

The cell proliferation was assessed by 3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide (MTT) assay (CellTiter 96 AQueous, Promega, Fitchburg, WI, USA) using MC3E3-T1 cells at day 1, 3 and 5. Cells were cultured on samples in 12-well plates at a density of 2 × 10⁴ cells/mL with α-MEM medium. The control was cultured on tissue culture plate. The medium was changed after 24 hours. The number of viable cells can be estimated from the amount of reduced fomazan product. An enzyme-linked immunoabsorbant assay (ELISA) plate reader (VERSAmmax, Molecular Devices, Sunnyvale, CA, USA) was used for the quantification of fomazan accumulation by absorbance at 490 nm. All experiments were performed for 3 times and 4 samples per group were used for each experiment.

Alkaline phosphatase (ALP) activity was determined to assess the differentiation of cells using MC3T3-E1 cells at day 7. Cells were grown in 12-well plates at a density of 2 × 10⁴ /mL with α-MEM media containing 10% FBS, 40 µg/mL ascorbate and 20 µg/mL β-glycerol phosphate. Cultured cells were treated with 0.1% Triton (Triton X-100, Sigma, St. Louis, MO, USA) and followed by freeze-thawing p-nitrophenol phosphate (Sigma, St. Louis, MO, USA) and 2-amino-2-methyl-1-propanol buffer (Sigma, St. Louis, MO, USA) were added to cell lysates. The mixture was incubated in the oven at 60°C for 60 minutes. After 7 days of incubation, ALP activity was estimated by measurement of absorbance at 405 nm using a spectrophotometer (SmartSpec, BioRad, Hercules, CA, USA). All experiments were repeated 3 times and 2 samples per group were used for each experiment.

Gene expressions of bone sialoprotein (BSP), collagen type-1 (COL-1) and osteocalcin (OCN) were evaluated to study the molecular events using reverse transcription-polymerase chain reaction (RT-PCR). MC3T3-E1 cells were grown in 6-well plates at a density of 1 × 10⁵/mL in α-MEM media containing 10% FBS, 40 µg/mL ascorbate and 20 µg/mL β-glycerol phosphate.

First-strand cDNA was synthesized from RNA extracted at day 7 in an AmpliTiter II thermocycler using SuperScript II (Invitrogen, USA). PCR was carried out for 30 cycles. The primer sets (Sigma-Genosys, St. Louis, MO, USA) for the amplification of genes used in this study are listed in Table 2.

| Primer          | Expected base pairs | Sequence (5’-3’)                      |
|-----------------|---------------------|---------------------------------------|
| GAPDH-sense (+) | 418                 | CACCATGGAGAAGGCGCGGG                  |
| GAPDH-antisense (-) | GACCGGACATGTGCGGGTAG         |
| BSP-sense (+)   | 1068                | AACAAATCCGTGCACTCTCA                  |
| BSP-antisense (-) | GGAGGGGGCTTCCACTGAT       |
| COL 1-sense (+) | 250                 | TCTCCACTCTTCTGCTGCT                 |
| COL-1-antisense (-) | TTGCGTATTTCCACATGC      |
| OCN-sense (+)   | 198                 | TCTGAACACTCTCTAATGCTC                |
| OCN-antisense (-) | AAATAGTGTACCGTAGGCGG    |

GAPDH, glyceraldehyde-3-phosphate dehydrogenase; BSP, bone sialoprotein; COL-1, collagen type-1; OCN, osteocalcin.
All RT-PCR products were detected by agarose gel electrophoresis. A Gel-Doc imaging system (BioRad, Hercules, CA, USA) was used for the visualization. Glyceraldehyde-3-phosphate dehydrogenase (GAPDH) was used as a reference. These experiments were repeated 2 times and 2 samples per group were used for each experiment.

Surface roughness, water contact angle, surface energy, cell proportion, cell proliferation and ALP activity were evaluated for significant differences between two groups using one-way analysis of the variance (SPSS v.22.0, IBM, Armonk, NY, USA). Statistical significance was defined as $P < .05$.

**RESULTS**

Fig. 1 shows AFM images and SEM images of Ti-6Al-4V and Zr-coating group. AFM (Fig. 1B) and SEM (Fig. 1B’) images of Zr-coating group showed a surface with irregularities. EDS profiles showed peaks of Zr in Zr-coating group (inserted figure in Fig. 1B’). On the other hand, AFM (Fig. 1A) and SEM (Fig. 1A’) images of Ti-6Al-4V group showed a machined smooth surface.

Profile roughness measurements revealed that Zr-coating group was significantly rougher than Ti-6Al-4V group (0.34 and 0.27 mm, respectively, Table 3).

| Group       | Arithmetical mean roughness (Ra, µm, Mean ± SD) |
|-------------|-----------------------------------------------|
| Ti-6Al-4V   | 0.27 ± 0.08                                   |
| Zr-coating  | 0.34 ± 0.07*                                  |

* stand for there is a statistical difference between Ti-alloy and Zr-coating group.

In cross-sectional view of SEM (Fig. 2), the coating produced by RF magnetron sputtering was uniform in the thickness. The thickness of coated film in Zr-coated surfaces was 1.61 mm. In addition, the coating combined with the substrate without a gap.

There were significant differences in the water contact angle and the surface energy between Zr-coating group and Ti-6Al-4V group (Fig. 3). Zr-coating group showed significantly lower water contact angle and significantly higher surface energy (71.44 ± 4.40 degree and 40.13 ± 30.02 mN/m, respectively) than Ti-6Al-4V group (86.39 ± 2.40 degree and 24.06 ± 4.27 mN/m, respectively).

**Table 3.** Surface roughness of Ti-6Al-4V group and Zr-coating group.

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Fig. 1. AFM and SEM images of (A and A’) Ti-6Al-4V and (B and B’) Zr-coating group. The inserted shows Zr peaks of Zr-coating group.
The polarization curves for Ti-6Al-4V group and Zr-coating group were shown in Fig. 4. Ti-6Al-4V group shows unstable state in comparison with Zr-coating group (arrow heads). After that, as the potential (V) increases, both groups maintained passive state. At high potential, breakdown of passive film occurred (arrow). The result shows that Zr-coating group has faster formation of passive film and better stability compared to control group.

Cell morphology was assessed by SEM (Fig. 5). MC3E3-T1 cells cultured on all samples spread well and present normal osteoblast-like morphology. Any difference in cell morphology was not observed between Ti-6Al-4V group and Zr-coating group, showing polygonal-shaped cells with connecting projections on the surfaces of both groups.
Fig. 6 shows the mean proportion of spreading cells of stage 3 and stage 4 in Ti-6Al-4V group and Zr-coating group. At 1 hour incubation, the mean proportion of spreading cells of stage 3 was significantly lower in Zr-coating group than Ti-6Al-4V group (23% and 56%, respectively, $P < .05$), while the mean proportion of spreading cells of stage 4 was significantly higher in Zr-coating group than Ti-6Al-4V group (77% and 23%, respectively, $P < .05$).

Cell proliferation was evaluated by the MTT assay (Fig. 7). Both group showed good cell viability, as shown increased number of viable cells during the culture period ($P < .05$). At day 1, 3 and 5, Zr-coating group showed significantly higher cell proliferation level with respect to Ti-6Al-4V group (136%, 132% and 117% compared to that of Ti-6Al-4V group, respectively, $P < .05$).

After 7 days of culture, the ALP activity was measured (Fig. 8). ALP activity of Zr-coating group significantly increased to 140 %, compared to that of Ti-6Al-4V group ($P < .05$).

Fig. 9 shows the mRNA expression of BSP, COL-I and OCN from cells cultured on Ti-6Al-4V and Zr-coating group at day 7. The genes of BSP and OCN were highly expressed in Zr-coating group than in Ti-6Al-4V group (approximately 1.2-fold and 2.1-fold, respectively), while the gene of COL-I showed similar expression in Ti-6Al-4V and Zr-coating group.
DISCUSSION

Because the biologic response of bone tissues to implant materials is mediated by implant surface characteristics, such as surface morphology and surface chemistry, surface modification has been reported to enhance the success rate of implant materials. The key physical properties of implant materials should be remained, while the surface is modified to improve the biologic response between implant and host tissues. The coating technique is well-established as one of surface modifications. Among various coating techniques, RF magnetron sputtering method was used in this study, because it has been reported to form a uniform and thin coating layer with excellent bonding strength. RF magnetron sputtering has been introduced for calcium phosphate coating on the titanium implant surface. However, there is no study to evaluate the effect of Zr coating by RF magnetron sputtering in implant dentistry. Thus, the present study was to assess the surface characteristics and the biocompatibility of Zr coating on Ti-6Al-4V alloy by RF magnetron sputtering methods.

Zr-coating group showed a surface with irregularities, indicating that Zr-coating changed the surface topography. The coating uniformly combined with the substrate without a gap, indicating that the deposition by RF magnetron sputtering was successfully performed.

The surface roughness of Zr-coating group was significantly higher than that of Ti-6Al-4V group (P<.05). This result would contribute to the enhanced cell response. Surface roughness can affect the adsorption of protein and the proliferation of osteoblast cells. This result can explain the enhanced cell response, such as cell proliferation and ALP activity, in this study.

Wettability and surface energy are other factors that can affect cell response. The low contact angle represents the good wettability. High surface energy promote the adhesion of proteins. In the present study, Zr-coating reduced the contact angle and increased the surface energy. Increased surface roughness and the alteration of surface chemical composition are related with these results.

Electrochemical test showed a uniform and stable passive layer in Zr-coating group. This result could help to resolve a concern about metal ions release of Ti alloys in body fluids.

The cell morphology on the surfaces of both groups showed no significant difference. However, there was a difference in proportions of cells at stage 3 and 4 between groups. The higher proportion of fully-spread cells on Zr coating group indicates that Zr-coated surface are more cytocompatible, leading to higher cell proliferation level compared with that of Ti-6Al-4V group.

MTT assay showed cell proliferation increasing from day 1 to day 5 in both groups (P<.05). Zr-coating group had significantly higher proliferation than Ti-6Al-4V group at day 1, 3 and 5 (P<.05). The formation of stable passive layer might have improved biocompatibility, because the passive layer prevents the release of harmful metal ions from discs in culture media. In addition, improved initial cell attachment and cell spreading can result in increased cell proliferation.

Since ALP is associated with bone mineralization, ALP activity can be used as a biomarker for the differentiation of osteoblast. Zr-coating group showed 140% higher ALP levels compared to Ti-6Al-4V group. From the result of ALP activity, Zr-coating group could increase cell differentiation, thereby enhancing bone apposition on the implant surface. This result was confirmed on the molecular level by using RT-PCR.

The expressions of bone-associated markers were evaluated by semi-quantitative PCR at day 7. COL-I expression is necessary before mineralized matrix formation. In this study, mRNA level of COL-I on Ti-6Al-4V and Zr-coating
Osteoblastic behavior to zirconium coating on Ti-6Al-4V alloy

The limitation of this study is that the experimental time period was short for evaluating the effect of Zr-coating on the osseointegration. However, it was sufficient to assess the changes in osteoblast proliferation, differentiation, and maturation, which are important events in bone remodeling. Therefore, it can be assumed that Zr-coating might enhance bone remodeling. To clarify this, further in vivo and in vitro studies are necessary.

CONCLUSION

This study showed that Zr-coating on Ti-6Al-4V could enhance the early osteoblast responses, which are important for the subsequent cell interactions and bone healing in vivo. Enhanced cell response on Zr coating surface could make non-toxic metal coatings on Ti-6Al-4V alloy suitable for orthopedic and dental implants.

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