Dropwise condensation on solid hydrophilic surfaces

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Droplet nucleation and condensation are ubiquitous phenomena in nature and industry. Over the past century, research has shown dropwise condensation heat transfer on nonwetting surfaces to be an order of magnitude higher than filmwise condensation heat transfer on wetting substrates. However, the necessity for nonwetting to achieve dropwise condensation is unclear. This article reports stable dropwise condensation on a smooth, solid, hydrophilic surface (θ < 3°) having low contact angle hysteresis (<3°). We show that the distribution of nano- to micro- to macroscale droplet sizes (about 100 nm to 1 mm) for coalescing droplets agrees well with the classical distribution on hydrophobic surfaces and elucidate that the wettability-governed dropwise-to-filmwise transition is mediated by the departing droplet Bond number. Our findings demonstrate that achieving stable dropwise condensation is not governed by surface intrinsic wettability, as assumed for the past eight decades, but rather, it is dictated by contact angle hysteresis.

INTRODUCTION

Droplet nucleation and condensation are ubiquitous phenomena in nature and industry. Over the past century, research has shown that heat transfer rates during steam dropwise condensation on nonwetting (e.g., hydrophobic) surfaces can be 10× higher than widely accepted filmwise condensation on wetting substrates (1–4). However, the need for nonwetting hydrophobic coatings in achieving dropwise condensation of water is unclear. Sustained dropwise condensation requires high droplet mobility to achieve continuous droplet removal from the surface. The mobility of a droplet on homogeneous and heterogeneous surfaces is governed mainly by the contact angle hysteresis (i.e., the difference between the advancing and receding contact angles) (5–10) rather than the intrinsic wettability. While all prior work on achieving dropwise condensation has focused on using hydrophobic surfaces, we postulate that achieving stable dropwise condensation on a solid hydrophilic surface with low contact angle hysteresis is theoretically possible.

Dropwise condensation on hydrophilic surfaces has additional advantages including enhanced nucleation (Fig. 1A) due to reduced energy barriers for heterogeneous nucleation (Fig. 1B) (11), lower conduction thermal resistance (12), and higher (~500%) heat transfer coefficient (Fig. 1C). Furthermore, droplet shedding and mobility due to gravitational forces become invariant to intrinsic surface wettability as the contact angle hysteresis approaches zero (Fig. 1D), ensuring that high heat transfer is maintained even for solid hydrophilic substrates (Fig. 1E).

In this study, we report the first observation of stable dropwise condensation on a solid PEGylated surface having subnanoscale roughness and extreme chemical homogeneity, resulting in hydrophilic behavior (θ = 38°) with minimal contact angle hysteresis (<3°). We studied the nucleation site distribution on the hydrophilic substrate during water vapor condensation, showing that the distribution of droplet sizes from nano- to micro- to macroscale (about 100 nm to 1 mm) for coalescing droplets agrees remarkably well with the classical Rose distribution on hydrophobic surfaces. On the basis of our experimental findings, we developed a physical model to show that stable dropwise condensation, rather than being governed by the surface intrinsic wettability or advancing contact angle, as assumed for the past eight decades (1), is mainly dictated by the contact angle hysteresis. This work not only provides fundamental insights into dropwise condensation theory but also demonstrates a previously unexplored avenue of using durable and wetting inorganic surfaces with subnanoscale roughness and extreme chemical homogeneity to achieve high droplet mobility.

RESULTS

Hydrophilic surface

Maintaining a high intrinsic wettability (i.e., θ ≪ 90°) with minimal contact angle hysteresis is difficult to achieve. Many studies have noted the formation of discrete “flat” water droplets on hydrophilic substrates during condensation due to atmospheric contamination of volatile organic compounds (VOCs) after cleaning (13, 14); however, the corresponding receding contact angle is typically close to zero (15), resulting in filmwise condensation (16). Hence, for the past eight decades, dropwise condensation of water has been achieved mainly via the deposition of hydrophobic conformal coatings. However, as the contact angle hysteresis approaches zero, the minimum droplet sliding size becomes invariant to intrinsic surface wettability (Fig. 1D). We postulate that the performance of hydrophilic surfaces with low contact angle hysteresis in dropwise condensation is at least comparable to that of hydrophobic surfaces.

To experimentally reconcile our predictions and to investigate the mechanism governing stable dropwise condensation, we fabricated solid, smooth, slippery hydrophilic surfaces through PEGylation of silicon wafers (see Materials and Methods and section S1). Our PEGylated surfaces enable the formation of water droplets with relatively low intrinsic advancing contact angles, while maintaining minimal
The lubricant was hydrophobic ($\theta_2 \approx 22^\circ$), the apparent droplet-surface contact beneath advancing and receding contact angles of $\theta_a \approx 38^\circ \pm 1^\circ$ and $\theta_r \approx 36^\circ \pm 0.7^\circ$, respectively ($\Delta \theta \approx 2^\circ$). The low contact angle hysteresis on our PEGylated surfaces is due to the high degree of chemical homogeneity (i.e., uniform surface modification) and physical homogeneity (i.e., low surface roughness) ($6$, $7$, $17$). High-resolution C 1s x-ray photoelectron spectroscopy (XPS) spectra (Fig. 2B) at >30 locations showed the presence of a peak at 286.5 eV corresponding to the $\text{C} - \text{O}$ bond, implying excellent chemical homogeneity ($18$). Further, atomic force microscopy (AFM) showed a low root mean square roughness, $R_{\text{rms}} \approx 0.25 \text{ nm}$ (Fig. 2C), implying a high degree of physical homogeneity. Ellipsometry revealed that our PEGylated coatings were <1 nm thick.

Although the recent development of lubricant-infused surfaces (LISs) or slippery liquid-infused porous surfaces (SLIPSs) has shown the concurrent minimization of intrinsic contact angle and hysteresis for low–surface tension condensates ($19$, $20$), this was not achieved with water, which still showed hydrophobic states ($\theta_2 > 90^\circ$) ($12$, $21$). Furthermore, although past studies have shown the presence of apparent hydrophilic-state droplets ($\theta_a \approx 60^\circ$) during water vapor condensation on LISs ($22$), the apparent droplet-surface contact beneath the lubricant was hydrophobic ($\theta_a > 90^\circ$) ($23$, $24$), resulting in enhanced droplet shedding mainly due to lowering of the droplet-air interfacial tension via the conversion to a droplet-lubricant interface. Last, LISs and SLIPSs can suffer from miscibility, cloaking, and drainage degradation mechanisms not present on solid surfaces ($25$).

**Hydrophilic versus hydrophobic**

The nucleation, growth, and coalescence of condensing water droplets were studied by condensing water vapor either from the ambient laboratory environment or from a saturated vapor supply on our surfaces having temperatures $T_w = 5^\circ \pm 0.5^\circ \text{C}$. Details of the experimental setup can be found elsewhere ($26$). To compare the condensation dynamics on our PEGylated hydrophilic surfaces with classical dropwise condensation dynamics on hydrophobic surfaces, we also fabricated similar smooth silicon surfaces functionalized with a fluorinated polymer ($\text{C}_d\text{F}_8$) deposited using chemical vapor deposition under low pressure at room temperature. Microscale goniometric measurements of water droplets on the smooth hydrophobic silicon wafer showed $\theta_a = 110^\circ \pm 4^\circ$ and $\theta_r = 102^\circ \pm 5^\circ$, respectively.

Droplet nucleation studies using optical microscopy revealed the formation of discrete droplets on our hydrophilic PEGylated surfaces. Details of the optical microscopy setup can be found elsewhere ($14$, $27$, $28$). Because of the hydrophilic nature of the surface, the initial nucleation density, before droplet coalescence mediated growth, was one order of magnitude higher on the hydrophilic surface ($n \leq 2.23 \times 10^{10}$ droplets/m$^2$) when compared to the hydrophobic reference ($n \leq 2.47 \times 10^{9}$ droplets/m$^2$), in agreement with classical nucleation theory ($11$) and as observed in previous experimental studies of heterogeneous condensation of ambient water vapor on biphilic surfaces ($29$, $30$). Once droplet coalescence initiated between neighboring droplets on our hydrophilic PEGylated surface, analogous transitions to larger discrete droplets ensued, and dropwise condensation was maintained (fig. S1). Upon coalescence, the three–phase contact line was able to depin because of the ultralow contact angle hysteresis and maintain circular contact lines. To study the behavior of interacting hydrophilic droplets, we elevated the nucleation density on our PEGylated surface by increasing the saturation temperature of the incoming saturated ($\Phi = 100\%$) vapor supply to $T_{\text{air}} = 35^\circ \pm 0.5^\circ \text{C}$. The higher saturation temperature increased the supersaturation ($S = [\Phi P_{\text{sat}}(T_{\text{air}})]/P_{\text{sat}}(T_w)$) from $S = 1.02 \pm 0.035$ to $S = 6.45 \pm 0.4$, with a corresponding increase in the nucleation density to $N \geq 4 \times 10^{12}$, consistent with nucleation site activation ($11$). At the elevated densities,
the center-to-center spacing between neighboring droplets was as low as \( \approx 500 \text{ nm} \). Unexpectedly, the formation of discrete nanoscale droplets was stable on our hydrophilic PEGylated surface, with droplets having radii as small as \( R = 300 \pm 150 \text{ nm} \).

To study the scale dependency of discrete droplet formation and stability of dropwise condensation, we quantified the droplet distribution for nano- to macroscale droplets during condensation on a vertical flat plate. The experimental setup is described elsewhere (26). Briefly, the sample is cooled to \( 0.05^\circ \pm 0.1^\circ \text{C} \). Saturated water vapor was sparged from a second water bath of deionized (DI) water at \( 73^\circ \pm 3^\circ \text{C} \). The resulting condensation area spanned roughly 2.5 cm horizontally and 1 cm vertically and represented the topmost area of a vertical plate. To capture droplet data during all stages of a sweeping period and to ensure statistical significance, we captured one image every 20 s. Figure 3 shows the self-similar nature for both hydrophilic PEGylated and hydrophobic surfaces. On both hydrophobic (Fig. 3, A and B) and hydrophilic (Fig. 3, C and D, and movie S1) surfaces, all droplets remained as spherical caps and dropwise condensation ensued without film formation.

To verify that contact angle hysteresis governs dropwise condensation, we performed two additional tests on surfaces having elevated contact angle hysteresis, the droplet shedding length scale is so large that capillarity ceases to govern the droplet formation. We hypothesize that dropwise condensation heat transfer is no longer valid, resulting in the formation of irregular films on our PEGylated surface (Fig. 3, E and F). A polished copper substrate (fig. S2) having a higher advancing contact angle with similar receding angle of \( \theta_a = 97^\circ \pm 2^\circ \) and \( \theta_r = 27^\circ \pm 1^\circ \), respectively (\( \Delta \theta \approx 70^\circ \)) showed uniform filmwise condensation (Fig. 3, G and H), confirming the minimal role of advancing contact angle on dropwise condensation.

To quantify the distribution of droplet sizes during dropwise condensation, we measured the steady-state distribution of droplet sizes on both hydrophobic (Fig. 3, A and B) and hydrophilic (Fig. 3, C and D) samples. Figure 4 shows the experimental measured droplet number density \( N(r) \) as a function of droplet radius on our hydrophilic PEGylated surface (blue circles) along with the Rose model with \( \rho = r_{\text{max}}/1.3 = 0.36 \text{ mm} \) (dashed line; condensation on the C\text{\textsubscript{4}}F\text{\textsubscript{8}} polymer) (31), valid for dropwise condensation on hydrophobic surfaces having spherical cap–shaped droplets. Our experimental data points agree well with the Rose model for multiple decades of droplet size, irrespective of the surface wettability.

**Dropwise condensation heat transfer**

To study the impact of our findings and to quantify the effect of surface hydrophilicity on dropwise condensation heat transfer, we use an individual droplet growth model appropriate for hydrophilic dropwise condensation (\( \theta_a < 90^\circ \)) and combine it with droplet distribution theory using the experimental distribution results for both our PEGylated and hydrophobic surfaces characterized here (section S2). As suggested in Fig. 1C and shown in the inset of Fig. 4, the utilization of a hydrophilic droplet morphology during dropwise condensation of pure steam shows a 500% heat transfer enhancement when compared to a surface having identical droplet distribution but with a hydrophobic droplet morphology. Flatter spherical cap–shaped droplets enable the minimization of conduction heat transfer resistance through the droplet, which becomes a fundamental bottleneck once droplets grow to radii above \( R \approx 10 \mu \text{m} \). Study of the cumulative heat transfer shows that more than 90% of the heat removed from a surface occurs by droplets having radii \( R < 100 \mu \text{m} \), putting high importance on minimizing advancing contact angle (32), while maintaining dropwise condensation. Note that although past researchers have suggested that lowering of the advancing contact angle was the key to dropwise condensation heat transfer increase, assumptions related to the need for intrinsic hydrophobicity for achieving stable dropwise condensation precluded the analysis of hydrophilic substrates (\( \theta_a < 90^\circ \)) due to the assumption of dropwise-to-filmwise condensation transition (12, 28).

**Dropwise condensation model**

We now address the fundamental question of how solid surface wettability and contact angle hysteresis govern dropwise condensation. During condensation, we expect a partial wetting condensate liquid to spread to minimize the system free energy. For small liquid volumes, i.e., when the Bond number is less than 1 (\( \text{Bo} \leq 1 \)), capillarity is the dominant driving force, leading to hemispherical droplet shapes (33). As the condensate grows, i.e., \( \text{Bo} \gg 1 \), gravity becomes the dominant driving force in the condensate bulk (34, 35). In the gravity-dominated regime (\( \text{Bo} \gg 1 \)), the hemispherical droplet shape approximation is no longer valid, resulting in the formation of irregular films or puddles on the condensing surface. We hypothesize that dropwise condensation is stable as long as the contact angle hysteresis–mediated droplet shedding length scale is small enough to reside in the capillary-dominated regime, resulting in hemispherical droplet shapes. For surfaces having elevated contact angle hysteresis, the droplet shedding length scale is so large that capillarity ceases to govern the droplet...
is the gravitational surface tension and density, respectively, and \( r \) is the characteristic lateral length of the liquid droplet, taken to be its final equilibrium radius immediately before departure. The droplet Bond number is defined as \( Bo = \frac{R^2 g}{\gamma/\rho} \), where \( R \) is the characterstic lateral length of the liquid droplet. For the dropwise-to-filmwise transition studied here, the capillary-dominated droplet radius scaling was used as the transition is hypothesized to occur at \( Bo \approx 1 \) where the shedding model remains valid for discrete droplets. The surface wettability-dependent droplet volume is defined as \( V = \pi R^2 (2 - 3 \cos \theta_e + \cos^3 \theta_a) / (8 \sin \theta_e) \), where \( \theta_e = \cos^{-1}(0.5 \cos \theta_a + 0.5 \cos \theta_e) \) is the equilibrium contact angle immediately before shedding from the condensing surface. The droplet departure size \( R_d \) was calculated from a force balance between the gravitational body and contact line pinning forces and is defined as \( R_d = \left[6\gamma(\cos \theta_e - \cos \theta_a) \sin \theta_e)/(\pi \rho g(2 - 3 \cos \theta_e + \cos^3 \theta_a))\right]^{1/2} \). Taking the dependence of the contact angle hysteresis on the droplet volume and departure radius into account, we see a clear Bo-mediated boundary separating filmwise from dropwise condensation based on previous experimental results (Fig. 5). Our model predicts \( Bo_{crt} \approx 1.4 \) as the transition boundary, with \( Bo \leq 1.4 \) indicating capillary-dominated hemispherical droplet shapes at departure and \( Bo > 1.4 \) indicating gravitationally dominated puddle formation and filmwise condensation. Note that rivulet formation falls within our definition of filmwise condensation as rivulets eventually turn to films at higher subcooling. For full model development, see section S3.

DISCUSSION

The implications of our finding have substantial repercussions. Recent focus by researchers to create droplet-jumping surfaces to remove condensate at micrometer length scales has been fraught with barriers due to nucleation-mediated flooding (38), progressive flooding (39), droplet return due to gravity, and high conduction thermal resistance of droplets residing in the spherical superhydrophobic states (Fig. 1A) (28, 40). Jumping droplet condensation was only able to achieve 30% enhancement in heat transfer coefficient compared to dropwise condensation due mainly to the high apparent advancing contact angle of microscale droplets (41). Our work paves a new direction, whereby tailoring of the contact angle hysteresis, coupled with elevated nucleation density caused by high intrinsic wettability, can enhance condensation heat transfer by 500%.
In summary, we have clarified the physics behind dropwise condensation on solid surfaces, particularly highlighting the distinct role of contact angle hysteresis on dropwise-to-filmwise transitions, which are not captured by conventional advancing contact angle measurements. We demonstrated stable dropwise condensation of steam on solid hydrophilic surfaces. The experimental data revealed no statistical difference between the droplet size distribution for hydrophobic and hydrophilic substrates having low contact angle hysteresis. The discrete droplet formation we observe reinforces a picture where dropwise condensation is governed mainly by contact angle hysteresis and is fundamentally not limited by hydrophobicity or advancing contact angle. Our puddle formation model highlights the importance of the wettability-dependent departing droplet Bond number that dictates capillary- to gravity-dominated regime crossover and dropwise-to-filmwise transition at $B_o \leq 1.4$. The outcomes of this work not only show the important effects of surface wettability on the condensation process but also present an alternate pathway for the development of durable low-adhesion coatings on intrinsically wetting substrates such as metals, ceramics, and oxides (42).

MATeRIALS AND METHODS

PEGylation of surfaces

Silicon wafers (〈100〉 orientation) were cut into 2 cm by 2 cm pieces, cleaned by sonication in acetone and ethanol, rinsed with DI water, and dried with nitrogen. For PEGylation, clean silicon wafers were exposed to oxygen plasma (Plasma Etch) for 15 min and subsequently immersed in a solution consisting of 1 μl of 2-[methoxy(polyethyleneoxy)-6-9propyl]trimethoxysilane and 8 μl of hydrochloric acid in 10 ml of anhydrous toluene for 18 hours at room temperature. Last, the PEGylated surfaces were rinsed thoroughly with anhydrous toluene, ethanol, and DI water and stored in DI water for further use.

Hydrophobic surfaces

Smooth silicon wafers (P type, 〈100〉 orientation, 0 to 100 ohm-cm, single side polished) were used as the base substrate. The wafers were first cleaned with acetone, isopropyl alcohol (IPA), and DI water and then dried with nitrogen. The wafers were descummed by oxygen plasma (March Jupiter RIE; 150 W) for 2 min to remove all remaining organic residues. After cleaning the surfaces completely, a conformal layer of octafluorocyclobutane (C$_4$F$_8$) was coated on the surfaces by chemical vapor deposition using STS Pegasus DRIE to uniformly functionalize the surfaces to be hydrophobic. The thickness of C$_4$F$_8$ was proportional to deposition time, and 3-min deposition yielded about 100 nm thickness.

Hydrophilic silicon wafer

Smooth silicon wafers (P type, 〈100〉 orientation, 0 to 100 ohm-cm, single side polished) were cleaned with acetone, IPA, and DI water and then dried with nitrogen. Afterward, the wafers were treated with air plasma for 10 min before being left out uncovered in a lab environment in Urbana, IL (40°06′14″N, 88°12′44″W) to adsorb hydrocarbons and VOCs for 1 week (13–15). Figure S2 shows AFM scans of multiple locations on the polished Cu surface, indicating a low level of nanoscale roughness. The high levels of contact angle hysteresis arose because of the nature of functionalization. We did not use a promoter coating to deposit a hydrophobic chemistry to achieve high advancing contact angles. Instead, we used hydrocarbon adsorption of VOCs to create a low surface coverage of hydrophobic molecules with large patchy areas of high-surface energy substrate exposed, resulting in substantial contact line pinning in the receding state and hence large contact angle hysteresis.

X-ray photoelectron spectroscopy

XPS analysis was conducted on the surfaces using a PHI-5800 spectrometer (Physical Electronics). XPS was conducted using a monochromatic Al Kα x-ray source operated at 15 kV, and photoelectrons were collected at a takeoff angle of $\approx 45°$ relative to the sample surface. At least 30 different locations were analyzed to assess the chemical homogeneity of the PEGylated surfaces.

Atomic force microscopy

The surface morphology and the surface roughness of the substrates were characterized with AFM (Bruker MultiMode 8-HR). AFM was conducted with silicon nitride probes mounted on cantilevers in the ScanAsyst mode. AFM images were acquired by scanning 5 μm by 5 μm areas on the PEGylated surfaces in air, under ambient laboratory conditions, at a scan rate of 1 Hz. The images were analyzed with NanoScope Analysis 1.8 software to obtain the root mean square roughness $R_{\text{rms}}$. At least 30 different locations were analyzed to assess the roughness of the PEGylated surfaces.

Fig. 5. Condensation regime map as a function of advancing contact angle, $\theta_a$, and contact angle hysteresis, $\theta_h - \theta_a$. The light blue and light red shaded regions represent dropwise and filmwise condensation, respectively. The dashed blue line represents $B_o = 1.4$. Data points in the regime map were obtained from previous works as well as this study (table S1).
Measurement of macroscopic contact angles
The contact angles were measured using a contact angle goniometer (ramé-hart 200-F1). The contact angles were measured by advancing or receding ~8-µl droplets on the surface. At least six measurements were performed on each surface.

Measurement of microscopic contact angles
Contact angle measurements of ~100-nl droplets on all samples were performed using a microgoniometer (MCA-3, Kyowa Interface Science). At least six measurements were performed on each surface.

SUPPLEMENTARY MATERIALS
Supplementary material for this article is available at http://advances.sciencemag.org/cgi/content/full/6/2/eaax0746/DC1

REFERENCES AND NOTES
Table S1. Promoter coatings and their wetting properties.
Fig. S1. Time-lapse images of droplet nucleation and departure.
Section S2. Dropwise condensation heat transfer

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