Comparison of radical prostatectomy and external beam radiotherapy in high-risk prostate cancer

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Purpose: We evaluated clinical outcomes of high-risk prostate cancer patients receiving external beam radiotherapy (EBRT) or radical prostatectomy (RP).

Materials and Methods: Patients were classified as high-risk prostate cancer and received definitive treatment between 2005 and 2015. Patients with previous pelvic radiotherapy, positive lymph node or distant metastasis were excluded. The primary outcomes were prostate cancer-specific survival (PCSS) and distant metastasis-free survival (DMFS).

Results: Of 583 patients met the inclusion criteria (77 EBRT and 506 RP), the estimated 10-year PCSS was 97.0% in the RP and 95.9% in the EBRT (p = 0.770). No significant difference was seen in the DMFS (p = 0.540), whereas there was a trend in favor of RP over EBRT in overall survival (OS) (p = 0.068). Propensity score matching analysis with confounding variables was done, with 183 patients (66 EBRT and 117 RP) were included. No significant difference in DMFS, PCSS or OS was found.

Conclusion: Our data demonstrated similar oncologic PCSS, OS, and DMFS outcomes between EBRT and RP patients.

Keywords: Radiotherapy, Prostatectomy, Prostatic neoplasms
with poor prognosis [10,11], we aimed to compare oncologic outcome of RP and EBRT in very high-risk group.

Materials and Methods

The data on patients who were pathologically diagnosed with prostate cancer from 2005 to 2015 were retrospectively collected. All patients had at least one high-risk feature according to the NCCN guidelines (initial PSA ≥ 20 ng/mL, Gleason score ≥ 8, or clinical T stage ≥ 3a). Before treatment, all patients had transrectal prostate ultrasonographic biopsies and record of the number of biopsy cores and positive cores. The patients had undergone either RP or EBRT for definitive purposes. Patients who had pelvic radiotherapy before the diagnosis of prostate cancer were excluded. Patients with suspicious node metastasis or distant metastasis were also excluded. All patients had a minimum follow-up of 3 years. This study was approved by the Health Institutional Review Board of Seoul National University Hospital (No. H-1904-128-1028).

The patients were classified into the EBRT or RP groups. Local definitive treatment options were given to the patients in the outpatient urology clinic. Generally, relatively younger patients and those with fewer comorbidities were offered RP. Both robotic surgery and open retropubic prostatectomy were included in the RP group. Pelvic lymphadenectomy was done in half of the cases, at the preference of the urologist. In the presence of high recurrence indicators, such as positive surgical resections margins or lymph node metastasis, adjuvant radiotherapy or ADT was offered post-surgery. Adjuvant treatment was defined as the initiation of treatment within 6 months after surgery with PSA <0.2 ng/mL. Treatment intervals longer than 6 months or PSA levels greater than 0.2 ng/mL after surgery was considered to be salvage settings.

For definitive EBRT, most of the patients received ADT before or concurrently with EBRT. Most of the patients received intensity-modulated radiotherapy (IMRT). Before 2015, the patients received conventional fractionated radiotherapy, with a median dose of 81 Gy in 45 fractions. After the publication of randomized controlled trials of hypofractionation [12,13], 70 Gy in 28 fractions were commonly used. Clinical target volume (CTV) was usually confined to the prostate and seminal vesicles, often excluding pelvic lymph nodes. Planning target volume included CTV plus 5 mm to cover uncertainties during radiotherapy. Prostate brachytherapy was not performed in our institution.

Follow-up was usually done every 3 months until 2 years, then every 6 months until 5 years and annually thereafter. PSA was acquired at every follow-up. In the case of biochemical failure, pelvic magnetic resonance imaging and bone scans were done to check locoregional recurrence or bone metastasis. RP patients with biochemical failure were offered salvage ADT alone or salvage ADT followed by radiotherapy, whereas the EBRT patients received ADT alone. Salvage EBRT was given in a median dose of 70.2 Gy in 39 fractions to the prostate fossa. Pelvic lymph node irradiation was not routinely performed. If there was a failure after salvage treatment, chemotherapy or second-line ADT was offered until disease progression.

Biochemical failure has multiple definitions and they have changed during the treatment era. Also, different definitions of biochemical failure in RP and EBRT make it difficult to directly compare the outcomes of RP and EBRT. Thus, in this study, the endpoints were focused on PCSS, OS, and DMFS. The base of follow-up defined as the day of RP or the first day of EBRT or ADT. Survival length was calculated as the period from the start of follow-up to the event date. Prostate-specific death was classified by the main investigators as death after evident signs of distant metastasis or non-metastatic castration-resistant prostate cancer.

To compare the patient characteristics between the RP and EBRT groups, chi-squared analysis was used for categorical data, but for a small sample size, Fisher exact test was done. For comparison of survival data, the method by Kaplan–Meier and the Mantel–Cox log–rank test were used. Multivariate analysis was done based on Cox proportional hazards regression model for DMFS and OS. For PCSS, Fine and Gray proportional hazard regression model [14] was used assuming non prostate-specific death as competitive factor. Factors which had p-value less than 0.1 in univariate analysis were chosen for multivariate analysis. Factors with p-values less than 0.05 were defined as statistically significant. To reduce selection bias caused by confounding factors, propensity score matching was used. Propensity scores were calculated by a logistic regression model using factors with a significant effect on OS or PCSS. The nearest neighbor matching algorithm was used to select best control matches of 1:2 ratio with a caliper size of 0.25. Matchit package in R was used for propensity score matching analysis [15]. All the analyses were performed using R 3.5.2 (http://www.r-project.org/).

Results

A total of 583 patients were analyzed. Seventy-seven patients received EBRT and 506 received RP. The baseline characteristics are described in Table 1. Patients with EBRT were significantly older, had higher initial PSA levels, and higher rates of core biopsies ≥ 5 with Gleason scores of 8–10. The median dose of EBRT was 81 Gy given in 45 fractions. Three patients had relatively lower doses of 70.2 Gy in 39 fractions, whereas others received 75 Gy or more. All except three had IMRT. Sixty-seven patients (87.0%) had ADT before or concurrently with EBRT. The median length of ADT was 25.0 months
(range, 4.2 to 48.5 months). Surgery was usually done by an open technique (61.1%). Adverse pathologic features were found in many patients after surgery; 20.1% of the patients had positive pelvic lymph nodes, even though no signs of lymph node metastasis were seen in preoperative imaging. Also, about half of the patients had positive surgical resection margins and 78.9% had at least one finding, such as perineural invasion, lymphatic invasion or venous invasion. After surgery, adjuvant treatment was given to 16.2% of the patients, most of whom received ADT alone.

Table 2 summarizes the treatment profiles and pathologic features in each arm.

After a median follow-up 6.25 years (range, 3.2 to 14.0 years), 38 patients were diagnosed with distant metastasis; five in the EBRT group (6.5%) and 33 in the RP group (6.5%). Of them, 30 patients had bone metastasis as the first site of distant metastasis, 6 as distant lymph node metastasis, 1 lung and 1 brain metastasis. The median time to distant metastasis was 4.18 years (range, 0.1 to 11.1 years). The estimated 5-year and 10-year OS were 94.6% and 77.0% in the EBRT arm and 97.7% and 85.8% in the RP arm, respectively. Although there was a trend toward an increase in any cause of death by EBRT ($p = 0.071$), this difference was insignificant in multivariate analysis ($p = 0.785$). No significant difference in PCSS was found between the two arms (5-year and 10-year PCSS, 98.7% and 96.0% in the EBRT group; 99.2% and 97% in the RP group, respectively). Fig. 1A and 1C shows the survival curves for OS and PCSS in the EBRT and RP patients. In variables related to overall survival, higher age, advanced clinical T stage, higher Charlson Comorbidity Index, and treatment modality were significantly associated with poor survival. After multivariate analysis, older age ($p = 0.001$) and advanced T stage ($p = 0.008$) were selected as significant variables for overall survival. For PCSS, Gleason score $\geq 9$, clinical T stage $\geq 3b$, and core biopsy $\geq 5$ with Gleason score 8–10 were associated with poor prognoses in univariate analysis. Gleason score and clinical T stage were still identified as prognostic factors for PCSS after multivariate analysis. Table 3 shows the multivariate analysis results for DMFS, OS, and PCSS.

Clinical T stage $\geq 3b$, Gleason score $\geq 9$, and core biopsy $\geq 5$ with Gleason score 8–10 were very closely associated with poor prognoses for DMFS or PCSS. These variables are also known as risk factors for very high-risk (VHR) prostate cancer in the NCCN guidelines. Not only was there a significant difference between VHR
Table 2. Treatment and pathologic details of patients with EBRT and RP

|                          | EBRT (n = 77) | RP (n = 506) |
|--------------------------|--------------|--------------|
| ADT                      |              |              |
| Use of ADT               | 67 (87.0)    | –            |
| Duration (m)             | 25.0 (4.2–48.5) | –          |
| EBRT profile             |              |              |
| EBRT dose (Gy)           | 81.0 (70.0–81.0) | –          |
| EBRT fraction            | 45 (28–45)   | –            |
| EBRT technique           |              |              |
| 3D-CRT                   | 3 (3.9)      | –            |
| IMRT                     | 34 (44.2)    | –            |
| VMAT                     | 40 (51.9)    | –            |
| EBRT field               |              |              |
| Prostate only            | 73 (94.8)    | –            |
| Prostate + pelvic lymph node | 4 (5.2)  |              |
| Surgery technique        |              |              |
| Open                     | –            | 309 (61.1)   |
| Robotic/laparoscopic     | –            | 197 (38.9)   |
| Pelvic node dissection   |              |              |
| Yes                      | –            | 313 (61.9)   |
| No                       | –            | 193 (38.1)   |
| Pathologic adverse feature |            |              |
| T3b/T4                   | –            | 150 (29.6)   |
| Positive lymph nodes a)  | –            | 63 (20.1)    |
| Positive resection margin | –            | 274 (54.2)   |
| Positive PNI/LVI         | –            | 399 (78.9)   |
| Adjuvant treatment       |              |              |
| Total                    | –            | 82 (16.2)    |
| EBRT alone               | –            | 15 (3.0)     |
| EBRT + ADT               | –            | 16 (3.2)     |
| ADT alone                | –            | 51 (10.1)    |
| Salvage treatment        |              |              |
| Total                    | 14 (18.2)    | 286 (56.5)   |
| EBRT alone               | 0            | 18 (3.6)     |
| EBRT + ADT               | 0            | 99 (19.6)    |
| ADT alone                | 13 (16.9)    | 155 (30.6)   |
| Chemotherapy             | 1 (1.3)      | 0            |
| Observation              | 0            | 14 (2.8)     |

Values are presented as number of patients (%).

EBRT, external beam radiotherapy; RP, radical prostatectomy; ADT, androgen deprivation therapy; 3D-CRT, three-dimensional conformal radiotherapy; IMRT, intensity-modulated radiotherapy; VMAT, volumetric arc therapy; PNI, perineural invasion; LVI, lymphovascular invasion.

a) Positive lymph nodes were calculated in patients who received pelvic node dissection.

Prostate cancer and non-VHR prostate cancer (p < 0.001), patients with multiple VHR features had poorer PCSS compared to patients with one VHR feature or non-VHR. Fig. 2 shows the survival difference between patients with VHR features and non-VHR. No significant difference in DMFS or PCSS between the treatment modalities was seen in the VHR prostate cancer patients.

Clinical variables that had a clinical impact on DMFS, OS, and PCSS were selected for propensity score matching. As a result, age (≥ 70 years), Gleason score (≥ 9), clinical T stage (≥ 3b), and core biopsy ≥ 5 with Gleason score 8–10, and Charlson Comorbidity Index were included in the model. After matching, a total of 183 patients were selected, with 66 in EBRT and 117 in RP arm. There were no statistical differences in age at diagnosis, Gleason score, initial PSA level, clinical T stage, core biopsy ≥ 5 with Gleason score 8–10, year of treatment or Charlson Comorbidity Index. Table 1 shows the clinical characteristics of the matched cohorts. No significant differences in DMFS, OS, and PCSS were found between the EBRT and RP arms in the matched cohort (Fig. 3).

Salvage treatment was offered to 300 patients (50.5%), with more in the RP arms (n = 286; 56.5%) than in the EBRT arm (n = 14; 18.2%; p < 0.001). Most patients in the RP arm received salvage EBRT combined with ADT or ADT alone, whereas most patients in the EBRT arm received salvage ADT alone. Salvage treatment was more often required in patients with Gleason scores ≥ 9 (p = 0.013), clinical T stage ≥ 3b (p = 0.079), core biopsy ≥ 5 with Gleason score 8–10 (p < 0.001), and RP (p < 0.001). After multivariate analysis of those four factors, core biopsy ≥ 5 with Gleason score 8–10 and RP were associated with shorter salvage treatment–free survival (Table 4). After propensity matching, 72 patients (61.5%) in RP arm received salvage treatment—41 received ADT alone, 28 RT + ADT, and 3 RT alone. While 13 patients (19.7%) in RT arm received salvage treatment—11 with ADT alone, 1 ADT with salvage operation for para-aortic lymph node, and 1 chemotherapy.

We found 21 grade 2 toxicities, 16 (3.2%) in RP group and 5 (6.5%) in EBRT group. Mainly, there were hematuria or hematochezia in EBRT patients, while there were more cases of erectile dysfunction in RP arm. No life-threatening toxicities were reported.

**Discussion and Conclusion**

Our study demonstrated similar oncologic PCSS and DMFS outcomes between the EBRT and RP arms. In unmatched cohorts, there was a significant difference in OS. However, because there was a tendency for patients with older age, higher initial PSA levels, higher clinical T stages, and more comorbidities to receive EBRT, it is reasonable that the difference in OS was due to these confounding factors, not the treatment modality. This hypothesis is supported by the fact that no OS difference was found between two arms after propensity score matching.

Several studies on local treatment modalities in high-risk prostate cancer have been conducted. In 2014, Petrelli et al. [5] reported a meta-analysis of 17 studies and concluded that surgery was
associated with better PCSS and OS. Also, multiple retrospective studies have favored RP over EBRT \[4,6,16\]. However, it should be noted that these studies were based on patients in the 20th century and the early 2000s. In EBRT, the introduction of IMRT has presented the possibility of dose escalation for prostate cancer, while reducing doses to the bladder and rectum \[17-19\]. Along with IMRT, the combined use of ADT with EBRT has become standard treatment for advanced prostate cancer \[20,21\]. Due to these technical improvements and new treatment strategies, treatment outcomes have improved over time. Robinson et al. \[22\] demonstrated that treatment outcomes have improved by year of treatment, especially in the EBRT arm. They also reported smaller treatment differences in the EBRT and RP arms in patients who were treated after 2008. Recent studies have also shown similar trends \[7,8,22\]. Kishan et al. \[7\] reported that EBRT combined with brachytherapy was associated with better survival outcomes compared to EBRT alone or RP, whereas similar survival data were shown between EBRT alone and RP. Based on recent data and the results from this study, EBRT and RP seemed to have similar effects on controlling high-risk prostate cancer in the current era.

Biochemical failure was not reported in this paper due to different definitions of the two modalities. However, the percentage of salvage treatment was much higher in the RP arm compared to the EBRT arm. The high rate of salvage treatment in the RP group

Table 3. Univariate and multivariate analysis of factors for distant metastasis, death from any cause, and death from prostate cancer

| Distant metastasis | Death from any cause | Death from prostate cancer |
|-------------------|----------------------|---------------------------|
|                   | Univariate | Multivariate | Univariate | Multivariate | Univariate | Multivariate |
| Age at diagnosis (> 70 vs. ≤ 70 yr) | 1.19 | 0.617 | - | - | 2.69 | <0.001* | 2.44 | 0.001* | 2.04 | 0.188 | - | - |
| Gleason score (sum 9–10 vs. 6–8) | 5.24 | <0.001* | 2.39 | 0.04* | 1.50 | 0.180 | - | - | 6.66 | <0.001* | 4.26 | 0.01* |
| Initial PSA (> 20 vs. ≤ 20 ng/mL) | 1.12 | 0.748 | - | - | 1.04 | 0.895 | - | - | 0.65 | 0.460 | - | - |
| Clinical stage (cT3b–4 vs. cT1–3a) | 2.60 | 0.006* | 1.86 | 0.08 | 2.05 | 0.005* | 1.98 | 0.008* | 9.32 | 0.001* | 7.25 | <0.01* |
| Core biopsy ≥ 5 with Gleason score 8–10 (yes vs. no) | 5.96 | <0.001* | 3.38 | 0.00* | 1.66 | 0.104 | - | - | 4.56 | 0.005* | 1.55 | 0.43 |
| Year of treatment (2010–2015 vs. 2005–2009) | 0.53 | 0.108 | - | - | 0.74 | 0.325 | - | - | 0.41 | 0.230 | - | - |
| Charlson Comorbidity Index (> 0 vs. 0) | 0.88 | 0.733 | - | - | 1.83 | 0.018* | 1.62 | 0.060 | 1.46 | 0.484 | - | - |
| Treatment modality (RP vs. EBRT) | 1.44 | 0.541 | - | - | 0.55 | 0.071 | 0.91 | 0.785 | 0.79 | 0.766 | - | - |

HR, hazard ratio; PSA, prostate-specific antigen; RP, radical prostatectomy; EBRT, external beam radiotherapy. *p < 0.05.
might be attributable to several factors. First, positive surgical resection margins were reported in 54.2% of the patients and positive surgical resection margins are closely associated with higher progression and survival rates [23,24]. Advanced clinical T stage and the high rate (78.9%) of positive perineural invasion or lymphovascular invasion would have made complete surgical removal even harder. Second, salvage EBRT could be effectively given after RP, whereas salvage RP is not commonly performed after an initial EBRT. Our study also showed that no salvage surgery was performed on patients in the EBRT arm, whereas 40.9% (117/286) of the patients received EBRT alone or EBRT combined with ADT as salvage treatment in the RP arm. Third, the definition of adjuvant treatment was strictly applied in this study. Since adjuvant treatment was defined as both low PSA levels (<0.2 ng/mL for RP) and
treatment intervals of less than six months after treatment, patients who received treatment after increased postoperative PSA levels were classified as receiving salvage treatment. Despite these factors, salvage treatments were given more often to patients in the RP arm, even after propensity score matching.

It is well known that VHR prostate cancer patients have more aggressive features compared to non-VHR prostate cancer patients [10,11]. Our study also demonstrated both worse PCSS and DMFS in VHR prostate cancer patients. Notably, no survival difference was seen between the RP and EBRT arms. However, relatively poorer prognosis compared to high-risk prostate cancer without VHR features might lead to intensive treatment. A randomized controlled trial adding brachytherapy to EBRT plus ADT demonstrated improved biochemical progression-free survival in high-risk prostate cancer patients [25]. Consistent with that study, this study suggested that adding brachytherapy and ADT to EBRT might be an optimal approach to treating VHR prostate cancer. However, clinical trials are needed to confirm the results.

One limitation of this study was its retrospective design. Although we used propensity score matching to reduce selection bias, we acknowledge that there may have been other factors that were not adjusted for that may have affected the survival rates. Also, toxicity profiles were not collected because half of the patients did not complete questionnaires on patient-reported outcomes. Without these data, proper comparison could not be made. However, previous multiple, randomized controlled studies have shown distinctly different patterns in the quality of life of patients who received EBRT or RP, which can also apply to our study [26,27].

A strength of our study is that it was a large single-institution study of treatments with modern techniques, mainly EBRT combined with ADT and IMRT. Also, this study was based on a single race, which is known to be a cofounding factor for prostate cancer. Hopefully, SPCG–15, a randomized trial investigating the clinical effectiveness of RP and EBRT plus ADT that is currently underway, will provide answers to this long-debated question [28].

**Conflict of Interest**

No potential conflict of interest relevant to this article was reported.

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**Table 4. Multivariate analysis of factors for salvage treatment event**

| Factor                                      | HR (95% CI) | p-value |
|---------------------------------------------|-------------|---------|
| Age at diagnosis ( > 70 vs. ≤ 70 yr)        | -           | -       |
| Gleason score (sum 9–10 vs. 6–8)            | 1.04 (0.73–1.47) | 0.841   |
| Initial PSA ( > 20 vs. ≤ 20 ng/mL)          | -           | -       |
| Clinical stage (t3b–4 vs. t1–3a)            | 1.29 (1.00–1.66) | 0.052   |
| Core biopsy ≥ 5 with Gleason score 8–10 (yes vs. no) | 2.16 (1.54–3.03) | < 0.001 |
| Year of treatment (2010–2015 vs. 2005–2009) | -           | -       |
| Charlson Comorbidity Index (> 0 vs. 0)      | -           | -       |
| Treatment modality (RP vs. EBRT)            | 4.95 (2.87–8.53) | < 0.001 |

HR, hazard ratio; CI, confidence interval; PSA, prostate specific antigen; RP, radical prostatectomy; EBRT, external beam radiotherapy.

https://doi.org/10.3857/roj.2021.00486
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