D1 receptor-expressing neurons in ventral tegmental area alleviate mouse anxiety-like behaviors via glutamatergic projection to lateral septum

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Dopamine (DA) acts as a key regulator in controlling emotion, and dysfunction of DA signal has been implicated in the pathophysiology of some psychiatric disorders, including anxiety. Ventral tegmental area (VTA) is one of main regions with DA-producing neurons. VTA DAergic projections in mesolimbic brain regions play a crucial role in regulating anxiety-like behaviors, however, the function of DA signal within VTA in regulating emotion remains unclear. Here, we observe that pharmacological activation/inhibition of VTA D1 receptors will alleviate/aggravate mouse anxiety-like behaviors, and knockdown of VTA D1 receptor expression also exerts anxiogenic effect. With fluorescence in situ hybridization and electrophysiological recording, we find that D1 receptors are functionally expressed in VTA neurons. Silencing/activating VTA D1 neurons bidirectionally modulate mouse anxiety-like behaviors. Furthermore, knocking down D1 receptors in VTA DA and glutamate neurons elevates anxiety-like state, but in GABA neurons has the opposite effect. In addition, we identify the glutamatergic projection from VTA D1 neurons to lateral septum is mainly responsible for the anxiolytic effect induced by activating VTA D1 neurons. Thus, our study not only characterizes the functional expression of D1 receptors in VTA neurons, but also uncovers the pivotal role of DA signal within VTA in mediating anxiety-like behaviors.

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INTRODUCTION
Anxiety disorders are the most common psychiatric diseases, and the prevalence of anxiety disorders is increasing, especially during the COVID-19 pandemic [1]. Deciphering the neural mechanisms underlying anxiety-like behaviors will benefit the treatment of anxiety disorders [2]. Dopamine (DA) is one of the key regulators in mediating emotion. Ventral tegmental area (VTA) is the origin of mesocorticolimbic DA system. Impairment of VTA DA neurons is associated with the development of a persistent, generalized anxiety-like phenotype [3], and direct activation of VTA DA neurons may also trigger anxiety-like behaviors [4]. These seemingly inconsistent findings might be attributable to multiple downstream targets of VTA DA signal. Previous studies have shown that DA released from VTA DA neurons targets multiple mesocorticolimbic brain regions to regulate emotion, including medial prefrontal cortex (mPFC) [5–9], nucleus accumbens (NAC) [7, 8, 10], and amygdala [11, 12]. In addition to releasing DA in mesocorticolimbic regions, VTA DA neurons are also capable to release DA locally within VTA [13, 14], but the involvement of VTA local DA signal in regulating anxiety-like behaviors remains to be identified.

DA regulates neuronal activity to orchestrate brain functions by binding to DA receptors. DA Drd1 (D1) receptor and Drd2 (D2) receptor are two major DA receptors expressed in brain. D1 receptor couples to Gs to activate adenyl cyclase (AC) and increase cyclic AMP levels, while D2 receptor inhibits AC and calcium channels by coupling to Gi/α. D2 receptor is the main target for most antipsychotic drugs [15–17]. It is well-known that D2 receptor variants are associated with co-morbid depression and anxiety [18, 19], and dysfunction of D2 receptors in the anterior cingulate cortex and NAc will aggravate anxiety-like behaviors [20, 21]. D1 receptors are associated with cognitive function [22, 23]. D1 receptor in the VTA downstream regions is also found to be involved in regulating depression and anxiety-like behaviors. Activating mPFC D1 receptor-expressing pyramidal neurons produces rapid and long-lasting antidepressant and anxiolytic responses [24]. Stimulation of D1 receptors in dentate gyrus enhances the antidepressant effect of fluoxetine and improves depression-like behaviors [25]. VTA DA signal targets the interpeduncular nucleus to alleviate anxiety-like behaviors via activating D1 receptors [26].

In addition to the downstream brain regions of DA neurons, the expression and possible functions of DA receptors, especially D2 receptors within VTA have been investigated [27–29]. D2 receptors are expressed in the soma, dendrites and axon terminals of VTA DA neurons [30]. The expression of D2 receptors in VTA neurons plays an important role in coordinating locomotion and incentive motivation of psychostimulants [29, 31]. A recent study showed that VTA D2 receptors are the potential target for corticosterone-induced anxiety-like behaviors [32]. In addition to...
D2 receptors, previous studies also investigated the functional roles of D1 receptors in VTA, and implicated that blocking D1 receptors impaired excitatory and inhibitory synaptic plasticity [33, 34], and decreased cocaine-induced reward [35] and chemical stimulation-induced anti-nociception [36, 37]. These studies inferred that D1 receptors were expressed in the axonal terminals projecting to VTA neurons. However, the expression of D1 receptors in VTA neurons is still unclear [38–40], and the functional significance of VTA D1 receptors in emotion regulation has not yet been investigated.

To address this gap, we have performed a series of experiments to interrogate the functional expression of D1 receptors in VTA neurons and define the pivotal role of VTA local DA signal in mediating anxiety-like behaviors. We observed that activating/inhibiting VTA D1 receptors will bidirectionally change mouse anxiety level. Utilizing fluorescence in situ hybridization (FISH), combined with transgenic mouse lines and electrophysiological recording, we established the expression of D1 receptors in VTA neurons. We then explored the role and neural circuits of D1 receptor expression in VTA neurons in regulating anxiety-like behaviors with a combination of optogenetics, chemogenetics, pharmacological tools, and RNA interference. Our results uncover the significance of DA signal within VTA in mediating anxiety-like behaviors.

MATERIALS AND METHODS

Mice

Mice were handled following the protocols approved by the Fudan University Animal Care and Use Committee. Six to ten weeks old male and female animals were used in this study. Following mouse lines were used: C57BL/6, D1-Cre (034258-UCD, MMRRC), DAT-Cre (#006660, Jackson Laboratory), Vglut2-Cre (#016963, Jackson Laboratory), and Vgat-Cre (#017535, Jackson Laboratory) mice. Genotyping were conducted following standard procedures on the Jackson Lab or MMRRC websites.

Short-hairpin RNA and virus preparation

DA Drd1 receptor short-hairpin RNA sequence (‘SAGAGCATATGCC ACTTGTGATT3’)) was chosen according to previous published works [41, 42]. Sequences encoding shRNA were inserted into rAAV-U6-CMV-EGFP-pA or rAAV-CMV-DIO-(EGFP-U6) -WPRE-hGH-pA vectors. Adeno-associated virus 9 (AAV9) expressing Drd1 RNAi (5.0 × 10^{12} vector genomes/mL and 5.07 × 10^{12} vector genomes/mL for non-Cre and Cre-dependent viruses) or control RNAi (5.7 × 10^{12} vector genomes/mL and 5.66 × 10^{12} vector genomes/mL for non-Cre and Cre-dependent control viruses) were packaged by BrainVTA Technology Co, Ltd (Wuhan, China). The efficiency of the Drd1 siRNA construct was tested after behaviors with qRTPCR analysis using the tissue samples collected from VTA.

In vivo stereotaxic intracranial injection

Mice aged 4–7 weeks were anesthetized with 1–2% isoflurane, and placed in a stereotaxic apparatus (E07370-005, RWD). Recombinant AAVs were delivered into brain regions by a microsyringe pump controller (Nanoject III, Drummond Scientific Company). Animals recovered for at least three weeks after surgery.

Optical fiber and cannula implantation

For in vivo photostimulation experiments, an optical fiber (200 μm, 0.37 NA, Newdoo) was implanted into the related brain region. For local drug microinjection, mice were implanted with a stainless-steel guide cannula (26-gauge) above VTA or LS. At least 1 week after surgery, mice were used for behavioral tests. Brains were sectioned to verify fiber or cannula location after behavioral tests. Mice with incorrect implantation locations were excluded from analyses. Detailed information were summarized in Supplementary Table 1.

Acute slice preparation and electrophysiological recording

Acute brain slices were prepared from mice as previously described [43–45]. Neurons were visualized in slices using an IR/DIC microscopy. Recordings were made using 700B amplifier, data were digitized at 10 kHz and filtered at 4 kHz and collected using pCLAMP software (Molecular Devices).

Tissue processing, immunohistochemistry, and imaging

Animals were anesthetized with isoflurane, and perfused with 4% paraformaldehyde (PFA). Sliced tissues were chosen and pretreated in 0.2% Triton-X100 for 1 h at room temperature (RT), then blocked with 0.05% Triton-X100, 10% bovine serum albumin (BSA) in PBS for 1 h at RT and rinsed in PBS. Tissues were transferred into primary antibody solution for 24 h at 4 °C. After incubating with secondary antibody solution, slices were mounted onto glass slides, and imaged with an Olympus VS120 slide scanning microscope.

Quantitative fluorescence single molecule in situ hybridization (smFISH)

smFISH was conducted following previously published procedures [43, 44]. Following probes were used in this study: Slc17a6 (Vglut2, C1, 318171), Slc32a1 (Vgat, C1, 319191), Drd1a (C2, 406491), Thr (Tyrosine hydroxylase, C3, 317621), Eyfp (C3, 312131). Probe omission negative controls were carried out for every reaction.

mRNA quantification

Tissues were homogenized and total RNA was isolated using Trizol reagent (TianGen, China) according to the manufacturer's instruction and kept at −80 °C before use. Murine β-actin was used as a reference to normalize the targeted gene expression levels.

Behavioral assays. Behavioral tests were conducted between 1:00–9:00 pm. Mice were habituated in behavior room for at least 1 h before beginning the experimental testing. If not specifically stated, behavioral tests were performed with light off. Behavioral apparatuses were cleaned and wiped with 70% ethanol to remove odor clues left by the previous subject between trials. Mouse trajectories were detected with Toxtoc software (https://toxtoc.sourceforge.io), then analyzed with self-written MATLAB scripts.

Statistical analyses. In our study, no statistical method was used to pre-determine sample sizes, and the sample sizes were determined based on previous studies conducted in the same field. Normality was assessed by the Shapiro-Wilk test. For normal distributions, homoscedasticity was assessed by F-test using GraphPad Prism. For homogeneous variances, two-tailed t-test and paired/unpaired t-test were used for single and paired comparisons, and one-way ANOVA followed by post hoc Tukey's test was used for multiple comparisons. When variances were not homogeneous, a t-test with Welch's correction was used. For data that were not normally distributed, Wilcoxon signed rank test, Mann-Whitney test or Wilcoxon matched-pairs signed rank test was used for single and paired comparisons, and Friedman test followed by post hoc Dunn's test was used for multiple comparisons. Detailed statistical information and p value for every data set were summarized in Supplementary Table 2. More details on experimental procedures can be found in the Supplementary Information.

RESULTS

Manipulating VTA D1 receptors bidirectionally regulates mouse anxiety-like behaviors

With a cannula unilaterally implanted into the VTA of male and female mice, we explored the effect of activating/inhibiting D1 receptors on mouse anxiety-like behaviors via microinjecting D1 receptor agonist (A68930)/antagonist (SCH23390) (Fig. 1A). Male mouse anxiety-like behaviors were evaluated with open-field test and elevated plus maze (EPM) test [2]. Activating D1 receptors had no effect on mouse locomotion, but increased the time spent at center region in open-field test in both male and female (Fig. 1B, D, E). Consistent with open-field test, D1 agonist increased the time spent at open arms in EPM test (Fig. 1B, F), but did not change the frequency entering open arms (Supplementary Fig. 1A). On the contrary, inhibiting VTA D1 receptors induced anxiety-like behaviors, as indicated by decreased time exploring...
center region in open-field test and open arms in EPM test (Fig. 1C, G–I). However, manipulating VTA D1 receptors had no significant effect on social motivation and social exploration (Supplementary Fig. 1A, B). These results suggest that activating/inhibiting VTA D1 receptors bidirectionally regulate mouse anxiety-like behaviors.

We then evaluated the effect of reducing D1 receptor expression in VTA neurons on anxiety-like behaviors. D1 receptors were knocked down by using RNA interference (RNAi) [41, 42]. The efficiency of RNAi in reducing VTA Drd1 expression was validated by quantitative PCR, and VTA Drd1 mRNA was reduced to be about 70% (71.71 ± 7.5%), but Drd2 and Th mRNA levels were not changed...
D1 receptors are functionally expressed in VTA neurons

Since D1 receptor expression in VTA neurons remains unclear, we explored the expression of D1 receptors in VTA with quantitative fluorescence in situ hybridization (FISH). Different from the extensive expression of Drd2 in VTA DA neurons [29], Drd1 mRNA was sparsely expressed (Supplementary Fig. 2A). In addition to DA neurons, VTA also contains GABA and glutamate neurons [46]. The co-localization of Drd1 with Slt32a1 (Vgat), Slt17a6 (Vglut2), and Th (Tyrosine hydroxylase) were analyzed (Fig. 2A, B). The composition of Drd1+ neurons in VTA includes ~48.8% Th+, ~24.4% Vgat+, and ~43.1% Vglut2+ neurons. VTA DA neurons are capable to co-release glutamate and GABA [46–48], so we further analyzed the co-localization of Drd1+, Th+ neurons with glutamate and GABA neurons, and found that ~68.1% of Drd1+, Th+ neurons are Vglut2+, but very few are Vgat+ (~8.7%) (Fig. 2B). Meanwhile, compared with a high proportion of VTA DA neurons expressing Drd2, ~20% of Th+ neurons co-localize with Drd1 probes (24.0 ± 5.8%, 5761 Th+ neurons from 5 mice), ~20% of Vglut2+ neurons express Drd1 mRNA (20.34 ± 3.1%, 1036 Vglut2+ neurons from 2 mice), and only ~10% of Vgat+ neurons express Drd1 mRNA (10.33 ± 0.2%, 2193 Vgat+ neurons from 2 mice). Drd1 expression in VTA DA neurons is sex invariant, with 21.8 ± 7.9% and 27.2 ± 11.5% VTA Th+ neurons co-localize with Drd1 for male and female mice (3 male and 2 female).

With the D1-Cre mouse line [49], we confirmed the expression of D1 receptors in VTA neurons. To assess the fidelity of D1-Cre mouse line, AAV9-DIO-eYFP viruses were injected into VTA to label Cre-positive neurons (Fig. 2C). We observed that Drd1 was present in ~75% of eYFP+ neurons (267 Drd1+/357 eYFP VTA neurons from 2 mice, Fig. 2C, D). ~39.7% (739/1862 eYFP+ neurons from 2 mice) eYFP labeled neurons were colocalized with Th+ neurons (Supplementary Fig. 2B), in line with the FISH results (Fig. 2B). We then explored the effect of activating D1 receptors on VTA neurons. With intraperitoneal injection of AAV9-Dio (5 mg/kg), ~40% eYFP+ neurons in VTA were activated, immunolabeled via cFos staining, but very few (~4.5%) after saline injection (Fig. 2E). Using in vitro patch-clamp technique, 1 μM A68930 application significantly increased the activity of VTA eYFP+ neurons (Fig. 2F, G). We also investigated whether endogenous VTA DA release was sufficient to activate D1 receptors in VTA neurons. A small volume of AAV9-DIO-ChR2-mCherry viruses were injected into the VTA of DAT-Cre mice to infect DA neurons (Fig. 2H). As mentioned, VTA DA neurons co-release GABA and glutamate [46–48], and local DA release can activate D2 receptors, so cocktail drugs were used to block GABA(A), GABA(B), AMPA, NMDA, and D2 receptors during the experiment. Blue light pulses (10-ms long, 100 pulses) at 5 Hz, 10 Hz, and 20 Hz reliably excited VTA mCherry-positive neurons (Supplementary Fig. 2C). Firing rates of some VTA mCherry-negative neurons, recorded in cell-attached voltage-clamp mode, were increased when the light stimulation was given, especially at 10 and 20 Hz (Fig. 2I, J). Light-responsive neurons were defined as those exhibiting at least a 15% change of firing rate relative to baseline during light stimulation. The proportion of VTA mCherry-negative neurons excited by light stimulation was increased with optical stimulation frequency, and about 25% (5/20 neurons from 7 mice), 35% (7/20 neurons), and 50% (10/20 neurons) neurons were excited by optical stimulation at 5 Hz, 10 Hz, and 20 Hz, respectively (Supplementary Fig. 2D). To confirm the involvement of D1 receptors in light-induced firing rate increase, D1 receptor antagonist SCH23390 was added into the perfusion solution, and the degree of light-induced firing rate increase of VTA mCherry-negative neurons was significantly blocked (Fig. 2K and Supplementary Fig. 2E).

Activating/silencing VTA D1 neurons oppositely modulate mouse anxiety-like behaviors

Both FISH and electrophysiological results provided compelling evidence of that D1 receptors are functionally expressed in VTA neurons (Fig. 2). Since manipulating VTA D1 receptors changed mouse anxiety-like behaviors (Fig. 1), we explored the role of VTA D1 neurons in regulating anxiety. AAV9-DIO-ChR2-mCherry viruses were injected into VTA of D1-Cre mice to optically manipulate D1 neurons (Fig. 3A). VTA ChR2+ neurons reliably responded to the 10-ms long blue light pulses with frequency at 10 Hz and 20 Hz (Fig. 3B). Time spent at center region in open-field test was significantly increased when stimulating with 20 Hz, but not 1 Hz or 10 Hz light pulses in both male and female (Fig. 3C, E; Supplementary Fig. 3G, H). Optical activating VTA D1 neurons with 10 and 20 Hz, but not 1 Hz light pulses, increased mouse locomotor speed (Fig. 3C, D; Supplementary Fig. 3G, H). Further analyses showed that activating VTA D1 neurons only increased the locomotor speed at around region, but not at center region (Fig. 3F). The ratio between center and surround locomotor speeds was significantly reduced with optical stimulation at 20 Hz, but not changed at 1 Hz or 10 Hz (Fig. 3F and Supplementary Fig. 3E). The locomotion and time spent at center region in open-field test did not change with light stimulation for mice with only mCherry virus expression (Supplementary Fig. 3A–E).

We also validated the anxiolytic effect of activating VTA D1 neurons by EPM test. Activating VTA D1 neurons with 20 Hz light stimulation did not change the frequency entering open arms, but significantly increased the duration spent at open arms (Fig. 3G, H; Supplementary Fig. 3F). Activating VTA D1 neurons induced anxiolytic effect was consistent in both male and female (Supplementary Fig. 3I). Similar as microinjection of D1 receptor agonist A68930, D1 receptor antagonist SCH23390, or saline (SAL) were unilaterally injected into the VTA. C Same as B, but for mice with SAL and SCH23390 microinjection. D Summary of mouse locomotor speed with microinjection of SAL and A68930 into the VTA. n = 7 male mice and 6 female mice for SAL groups, and 9 male mice and 6 female mice for A68930 groups, Unpaired t-test for male and female (E and F) same as D, but for the time spent at the center region (E) and the time spent at the open arms in EPM test (F). *p < 0.05, **p < 0.01. Mann–Whitney test for locomotion in open-field test, and Unpaired t-test for other comparisons. J An example image showing AAV9-U6-shRNA(Drd1)-EGFP virus expression in VTA. TH IF: red. Q. Tong et al. Molecular Psychiatry (2023) 28:625 – 638
agonist, no effect on social exploration was observed when activating VTA D1 neurons (Supplementary Fig. 3J–L).

We further utilized chemogenetic approach to corroborate the role of VTA D1 neurons in regulating anxiety-like behaviors. AAV viruses with hM3Dq-mCherry, hM4Di-mCherry, or mCherry were expressed into VTA D1 neurons (Fig. 4A). Intraperitoneal injection of clozapine-n-oxide (CNO, 2 mg/kg) increased cFos expression in hM3Dq-mCherry neurons, but not in hM4Di-mCherry neurons (Fig. 4B and Supplementary Fig. 4A, B). In cell-attached recording, 5 μM CNO application increased and decreased the activities of VTA D1 neurons expressing hM3Dq and hM4Di, respectively (Fig. 4B). Consistent with optical activation, chemogenetic activation of VTA
D1 neurons significantly increased the time spent at center region in open-field test, and chemogenetic inhibition reduced time spent at center region (Fig. 4C, E). Unexpectedly, both chemogenetic activation and inhibition increased mouse locomotor speed (Fig. 4C, D). Further analyses showed that chemogenetic activation and inhibition both increased the locomotor speed at surround region, while the center locomotor speed was only increased when chemogenetic inhibiting D1 neurons (Supplementary Fig. 4C, D). Consistent with optogenetic activating VTA D1 neurons, the ratio between center and surround locomotor speeds was reduced when chemogenetic activating D1 neurons, but not when inhibiting D1 neurons (Fig. 4F). We speculate that though activating/inhibiting VTA D1 neurons both increased locomotor speed, locomotion increased by activating D1 neurons may promote environment exploration related with less anxiety whereas locomotion increase induced by inhibiting D1 neurons may be an anxiety-like phenotype [50]. For the mice transduced with only mCherry viruses, CNQ had no effect on mouse behavior in open-field test (Fig. 4C–E, Supplementary Fig. 4C, D). However, chemogenetic manipulating VTA D1 neurons had no obvious effect on open arm duration in EPM test (Supplementary Fig. 4E), which may due to the repeated EPM tests for every mice [51]. Social exploration was also not changed by chemogenetic manipulation (Supplementary Fig. 4F).

To confirm the anxiety-like behavior change induced by inhibiting VTA D1 neurons, we expressed tetanus toxin (TetTox) into VTA D1 neurons (Fig. 4G), which will block synaptic transmission and may have a similar effect as inhibiting neurons [52]. Compared with the control mice, TetTox expression increased mouse locomotor speed, decreased time spent at the center region in open-field test, and had no effect on the center locomotor speed/surround speed ratio (Fig. 4H–J, Supplementary Fig. 4G, J). But mice with TetTox injection spent more time at open arms in EPM test (Supplementary Fig. 4H, J), which may due to the different effect of TetTox on DA release (see “Discussion”) [53–55]. TetTox expression had no effect on social interaction (Supplementary Fig. 4I). Together, these results further corroborate that manipulating VTA D1 neuronal activity will modulate mouse anxiety-like behaviors.

Reducing D1 receptors in VTA DA and glutamate neurons increases anxiety-like behaviors, and in GABA neurons alleviates anxiety

Since VTA consists of DA, GABA, and glutamate neurons [46] and D1 receptor mRNA has been detected in all of these types (Fig. 2), we investigated the role of D1 receptor expression in different VTA neurons in regulating anxiety-like behaviors. Firstly, the roles of different neurons in anxiety-like behaviors were separately studied with expressing ChR2 into VTA DA, GABA, or glutamate neurons, and optical fiber was implanted into VTA (Fig. 5A and Supplementary Fig. 5A). The 20 Hz optical stimulation same as used to activate VTA D1 neurons was utilized [56–59]. Activating DA neurons and GABA neurons oppositely changed mouse locomotor activity, but activating glutamate neurons had no effect (Fig. 5B and Supplementary Fig. 5B). Time spent at center region was significantly increased when activating DA neurons and glutamate neurons, but not GABA neurons (Fig. 5C). Though activating DA and GABA neurons changed mouse locomotor speed, the ratio between center and surround speeds was not changed after activation (Fig. 5D). In EPM test, activating glutamate neurons, but not DA or GABA neurons, significantly increased the duration spent at open arms (Fig. 5E, F). Thus, activating VTA glutamate neurons will consistently alleviate mouse anxiety-like behaviors.

In order to study the involvement of D1 receptor expression in VTA different neurons in regulating anxiety-like behaviors, Cre-dependent RNAi viruses were delivered into the VTA of DAT-Cre, Vgat-Cre, and Vglut2-Cre mice, respectively (Fig. 5G). Mouse locomotor speed was significantly increased after reducing D1 receptor expression in VTA DA neurons, but not changed in GABA or glutamate neurons (Fig. 5H–I). Lowering D1 receptors in VTA DA neurons had no effect on the time spent at center region in open-field test, but in GABA neurons increased the center staying time and in glutamate neurons decreased the duration (Fig. 5J). Reducing D1 receptor expression in DA neurons tended to increase locomotor speed at both center and surround regions (Supplementary Fig. 5C, D), but the ratio between center and surround speeds decreased after lowering D1 receptors in GABA neurons, but not in DA or glutamate neurons (Fig. 5K). In EPM test, the duration spent at open arms was slightly reduced after lowering D1 receptors in DA neurons and glutamate neurons, but not in GABA neurons (Fig. 5L). The frequency entering open arms and social related behaviors did not change after lowering D1 receptors (Supplementary Fig. 5E, F). Together, these results suggest that lowering D1 receptors in DA and glutamate neurons exerts anxiogenic effect, while reducing D1 receptor expression in GABA neurons will be anxiolytic.

Glutamategic projection of VTA D1 neurons to lateral septum alleviates mouse anxiety-like behaviors

VTA neurons project to many brain regions, including NAc, mPFC, lateral septum (LS), basolateral amygdala (BLA), ventral hippocampus (vHipp) to regulate emotional behaviors [60–63]. With AAV-DIO-eYFP injected into the VTA of D1-Cre mice, we observed the distributions of D1 neuronal axons in mPFC, NAc, LS, BLA, and vHipp (Fig. 6A and Supplementary Fig. 6A), which suggested that
Fig. 3  Optogenetic activation of VTA D1 neurons relieves mouse anxiety-like behaviors. A Left: Schematic of viral transduction strategy to express ChR2-mCherry in VTA D1 neurons. Right: an example image showing the ChR2-mCherry expression and the location of implanted optical fiber. ChR2-mCherry: red; TH IF: cyan. B Cell-attached recording traces of a VTA ChR2-mCherry+ neuron in response to 10-ms-long 470 nm light pulses at 10 Hz (Top) and 20 Hz (Bottom). C Trajectories of one mouse in open-field test before (Top) and during light stimulation (Bottom) with frequency at 1 Hz (Left), 10 Hz (Middle), and 20 Hz (Right). Dashed boxes indicate the center region. D Statistical results of locomotor speed before, during, and after 5-min light stimulation with frequency at 1 Hz, 10 Hz, and 20 Hz, respectively. One-way ANOVA with Tukey’s multiple comparisons post hoc test, n = 13 (9 male and 4 female) mice, **p < 0.01, ****p < 0.0001. E Same as D, but for time spent at the center region. *p < 0.05, One-way ANOVA with Tukey’s multiple comparisons post hoc test. F Statistical results of the locomotor speed at the center region (Left), locomotor speed at the surround region (middle), and ratio between locomotor speed at center and surround regions before and during light stimulation with frequency at 20 Hz. n = 12 (8 male and 4 female) mice, *p < 0.05, ****p < 0.0001, Paired t-test for the surround locomotion and Wilcoxon matched-pairs signed rank test for the ratio between center and surround speeds. G Trajectories of one mouse in EPM test without (Left) and with (Right) light stimulation at 20 Hz. H Statistical results of times entering the open arms (Left) and the duration staying at the open arms (Right) without and with light stimulation at 20 Hz, respectively. n = 13 mice, **p < 0.01, Paired t-test.
Fig. 4 Chemogenetic manipulation of VTA D1 neurons and blocking synaptic transmission of VTA D1 neurons change mouse anxiety-like behaviors. A Schematic of viral transduction strategy to specifically express hM3Dq-mCherry or hM4Di-mCherry in VTA D1 neurons. B Left: intraperitoneal injection (2 mg/kg) of CNO increased cFos expression (white) in VTA hM3Dq-mCherry-positive neurons. Right: bath application of 5 μM CNO increased and decreased the firing rate of hM3Dq-mCherry (Top) and hM4Di-mCherry (Bottom) positive neurons, respectively. C Left: trajectories of one mouse in open-field test with hM3Dq-mCherry expression in VTA D1 neurons with saline (Top) and CNO (Bottom) intraperitoneal injection (2 mg/kg). Middle and Right: same as (Left), but with hM4Di-mCherry and mCherry expression in VTA D1 neurons. Dashed boxes indicate the center region. D Locomotor speed for mice with hM3Dq, hM4Di or mCherry expression in VTA D1 neurons after saline (SAL) or CNO injection in open-field test. n = 10 (7 male and 3 female), 12 (7 male and 5 female), and 10 (5 male and 5 female) mice for hM3Dq (M3), hM4Di (M4), and mCherry (Con) groups. Paired t-test, **p < 0.01. E Same as D, but for the time spent at the center region. Paired t-test, *p < 0.05. F The ratio between center speed and surround speed in open-field test for mice with hM3Dq, hM4Di, and mCherry expression in VTA D1 neurons after saline (SAL) or CNO injection. Paired t-test, **p < 0.01. G Example image showing TetTox-mCherry expression in VTA of one D1-Cre mouse. TetTox-mCherry: red; TH IF: cyan. H Locomotor speed of mice with mCherry (Con) and TetTox-mCherry (TetTox) expression in VTA D1 neurons. Unpaired t-test, **p < 0.01, n = 14 (6 male and 8 female) and 16 (7 male and 9 female) mice for Con and TetTox groups, respectively. I and J Same as H but for the time spent at the center region (I), and the ratio between center speed and surround speed in open-field test (J). Mann-Whitney test, *p < 0.05.
VTA D1 neurons may target these brain regions to regulate anxiety-like behaviors. To define the possible target(s) of VTA D1 neurons involved in regulating anxiety, ChR2-mCherry viruses were expressed into D1 neurons and optical fiber was unilaterally implanted into the mPFC, NAc, LS, BLA, or vHipp (Fig. 6B and Supplementary Fig. 6B, C). For the mice with fiber implanted into mPFC, NAc, BLA or vHipp, light stimulation at 20 Hz had no significant effects on locomotor speed and time spent at center region in open-field test, or time spent at open arms in EPM test (Supplementary Fig. 6D–G). Surprisingly, activating D1 neuronal...
Lowering D1 receptor expression in VTA DA, GABA, and glutamate neurons differently changes mouse anxiety-like behaviors.

**A** Schematic of viral transduction strategy to express ChR2-mCherry in VTA DA, GABA, and glutamate neurons and optical fiber targeting the VTA. **B** Statistical results of locomotor speed before, during, and after 5-min light activation of VTA DA, GABA, and glutamate neurons with light stimulation frequency at 20 Hz. *n* = 8 (1 male and 7 female), 9 (6 male and 3 female), and 6 (3 male and 3 female) mice for DAT-Cre, Vgat-Cre, and Vglut2-Cre groups, respectively. ***p < 0.01, **p < 0.001, One-way ANOVA with Tukey’s multiple comparisons post hoc test. C and D Same as B, but locomotor speed at the center region (E) and ratio between locomotor speed in open-field test. ***p < 0.001, **p < 0.01, Paired t-test. E Trajectories in EPM test with and without optically activating VTA DA, GABA, and glutamate neurons. Arrows indicate the open arm side. F Statistical results of duration spent at open arms in EPM test with and without optical stimulation to activating VTA DA, GABA, and glutamate neurons. *p < 0.05, Paired t-test. G Example images showing AAV9-CMV-DIO-(EGFP-U6)-shRNA(Drd1) virus expression in VTA of DAT-Cre (Top), Vgat-Cre (Middle) and Vglut2-Cre (Bottom) mice. H Trajectories in open-field test for control mice (Left, Con) and the mice with lowering D1 receptors in VTA DA, GABA, and glutamate neurons (Right, RNAi), respectively. Dashed boxes indicate the center region. I Locomotor speed in control (4 male and 5 female) and RNAi (5 male and 7 female) DAT-Cre mice, control (9 male) and RNAi (9 male) Vgat-Cre mice, and control (4 male and 3 female) and RNAi (5 male and 3 female) Vglut2-Cre mice. ***p < 0.001, Unpaired t-test. J, K Same as I, but for the time spent at the center region (J) and the ratio between center locomotor speed and surround locomotor speed in open-field test (K). *p < 0.05, **p < 0.01, Unpaired t-test. L Duration spent at open arms in EPM test for control and RNAi in different types of VTA neurons. *p < 0.05, Unpaired t-test.

We further explored the involvement of LS-projecting VTA D1 neurons in mouse anxiety level in both male and female. We demonstrate that (1) Manipulating VTA D1 receptors bidirectionally regulate mouse anxiety-like behaviors; (2) D1 receptors are functionally expressed in VTA neurons, and activating/silencing VTA D1 neurons oppositely modulate anxiety-like behaviors; (3) Glutamatergic projection of VTA D1 neurons to LS, but not other limbic regions, alleviates anxiety-like behavior. These results suggest the anxiolytic effect of D1 receptor expression in VTA glutamate neurons.

Though previous study in rats did not observe obvious D1 immunoreactivity in the VTA [38], we verified the expression of D1 receptors in mouse VTA neurons using a combination of a sensitive mRNA detection method—FISH, confocal imaging, D1-Cre mouse line, and electrophysiological recording (Fig. 2). Different from the abundant Drd2 mRNAs expression in VTA DA neurons, sparse Drd1 mRNA puncta were observed in VTA neurons (Supplementary Fig. 2). Sparse transcription of G protein-coupled receptors is not unusual and can provide sufficient receptors for functional modulation [64]. Activating D1 receptors will up-regulate neuronal excitability via several ways [65]. Our results showed that both D1 agonist and endogenous DA release are sufficient to activate D1 receptors expressed in VTA neurons, elevating neuronal activity (Fig. 2). Optically activating VTA DA neurons at 10 Hz and 20 Hz, but not 5 Hz, preferentially excited VTA neurons, which is consistent with the relatively low affinity of D1 receptors to DA and the preferentially sensitive of D1 receptors to the phasic DA change [66].

DA release in several brain regions is reported to have anxiolytic effect via D1 receptor-mediated signal pathway [6, 9, 10, 26]. However, similar as observed in our study, activating VTA DA neurons has no significant effect on the anxiety-like behaviors or even promotes some anxiety phenotypes [4, 26]. D2 receptors are extensively expressed in the VTA DA neurons [31, 46], and local VTA DA release will activate D2 receptors as negative feedback to inhibit DA neurons [30]. In VTA, somatodendritich dopaminergic release is inferred to be involved in the corticosterone-induced anxiety-like behaviors via D2 receptors [32]. Though we found that directly bidirectional manipulation of VTA D1 receptors controls mouse anxiety-like behavior, the inhibition effect of D2 receptors may override the anxiolytic effect of activating D1 receptors via endogenous DA release. In spite of sparse Drd1 expression in the VTA, reducing VTA D1 receptors in VTA neurons elevates mouse anxious state (Figs. 1 and 5). Together, D1 and D2 receptors, these two complementary components expressed in VTA neurons,
cooperate to control VTA neuronal excitability and balance the emotional behavior.

In brain, VTA neurons have diverse neural circuitry projections [67]. The well-studied downstream regions of VTA, such as mPFC, NAc, and vHipp, play vital roles in balancing emotional behaviors, and stimulating D1 neurons or D1 receptors in these regions has antidepressant and anxiolytic effects [6, 25, 68]. Our results show that activating VTA D1 neuronal axons in mPFC, NAc, vHipp, and amygdala has no effect on anxiety-like behaviors, but in LS exhibits anxiolytic effect (Fig. 6 and Supplementary Fig. 6). LS serves as an important relay center, which integrates cortical and subcortical inputs to regulate cognitive and emotional behaviors.
The projection of VTA DA/glutamate neurons to LS has been reported [61, 63], but the possible functions of this neural circuit remain unclear. A recent study showed that VTA DA neurons projecting to LS promoted mouse agression via D2 receptor-mediated inhibition of LS neurons [73]. Here, we uncover another role of VTA D1 neurons projecting to the LS in relieving anxiety-like behaviors via glutamatergic mechanism. Though activating LS type 2 CRF (CRF2R) neurons [69], oxytocin receptor neurons [71], and glutamatergic projection from mPFC to the LS increase anxiety-like behaviors [74], stimulation of the LS reduces feelings of fear and anxiety [72, 75]. Together with our study, we speculate that the diversity of LS neurons and their neural circuits may induce the oppositely anxiolytic and anxiogenic effects, and the target of VTA D1 neurons in LS remains to be further investigated.

Several evidence in our study support that the expression of D1 receptors in VTA glutamate neurons plays the dominant role in alleviating mouse anxiety-like behaviors. More than 40% Drd1− neurons in VTA are Vglut2−, and ~20% VTA Vglut2+ neurons express Drd1 mRNA (Fig. 2). Meanwhile, activating VTA glutamate neurons, but not DA or GABA neurons, had an anxiolytic effect, and reducing D1 receptor expression in VTA glutamate neurons significantly elevated mouse anxious level (Fig. 5). More importantly, blocking glutamatergic synaptic transmission in LS, but not in NAc or mPFC, occluded the effect of activating VTA D1 neurons on mouse anxiety-like behaviors, and ~90% VTA Drd1+ neurons projecting to the LS are Vglut2+ (Fig. 6 and Supplementary Fig. 6). Similar as the VTA DA neurons, VTA glutamate neurons send axons to many brain regions and are involved in regulating multiple behaviors [46, 67]. VTA glutamate neurons are reported to regulate reward/aversion via projecting to NAc and lateral habenula [48, 58, 76–78], innate defensive behavior by receiving inputs from lateral hypothalamus [56], and wakefulness through projections to the NAc and the lateral hypothalamus [57]. A lot of studies suggest that VTA neurons projecting to different downstream targets have different anatomical locations or organizations, neuronal properties, input and output circuit architectures, and behavioral functions [60, 67, 79, 80]. Our study broadens the functional role and neural circuitry connection of VTA glutamate neurons in regulating emotional behaviors.

In this study, five different approaches were used to mutually investigate the roles of VTA D1 receptors/neurons in regulating anxiety-like behaviors. The anxiolytic effect of VTA D1 receptors/neurons is consistent, but some discrepancies between approaches were observed. Firstly, all the approaches, except for pharmacological manipulation, increased locomotor activity. Similar phenomena in locomotion as our study were also observed in mPFC and NAc [6, 81, 82]. Pharmacological manipulation would target all the D1 receptors expressed in non-neurons, and also afferent axons [83, 84], which may induce the different effects on locomotion from directly targeting neurons. Secondly, chemogenetic manipulation were used to run EPM test twice with the counter-balanced strategy, and repeated EPM test may cover the difference observed in other manipulation methods due to the familiar of EPM apparatus environment [51]. Thirdly, the TetTox approach decreased the time in open-field center but increased time in EPM open arms. TetTox is widely used to block neurotransmitters release, including GABA and glutamate, but DA release is resistant to the TetTox, which may due to lack machinery in DA neurons for TetTox uptake [54, 55]. TetTox may even elevate DA level [55], and knocking down D1 receptors in VTA DA neurons had prominent effect on EPM open arm durations, so TetTox may elevate brain DA to increase time in EPM open arms.

In conclusion, we uncovered the functional expression of D1 receptors in VTA neurons, and found the roles of VTA D1 receptors and D1 receptor expression neurons in regulating anxiety-like behaviors and identified the possible downstream brain region target. Activation of VTA D1 receptors obviously alleviates anxiety, which might serve as a potential target for anxiety disorder treatment.

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