Mixed regime of light-matter interaction revealed by phase sensitive measurements of the dynamical Franz-Keldysh effect

Fabio Novelli1, Daniele Fausti1,2, Francesca Giusti1, Fulvio Parmigiani1,2 & Matthias Hoffmann3

1Department of Physics, Università degli Studi di Trieste, 34127 Trieste, Italy, 2Sincrotrone Trieste S.C.p.A., 34127 Basovizza, Italy, 3SLAC National Accelerator Laboratory Menlo Park, California, United States.

Significant changes of the optical properties of semiconductors can be observed by applying strong electric fields capable to modify the band structure at equilibrium. This is known as the Franz-Keldysh effect (FKE). Here we study the FKE in bulk GaAs by combining single cycle THz pumps and broadband optical probes. The experiments show that the phase content of the selected electromagnetic pulses can be used to measure the timescales characteristic for the different regimes of matter-light interactions. Furthermore, the present phase-resolved measurements allow to identify a novel regime of saturation where memory effects are of relevance.

The intrinsic charge carrier dynamics limits the speed at which material properties can be manipulated by an electromagnetic (EM) pulse1–3. When the characteristic electronic timescale is comparable to the duration of a single cycle of the EM field, the details of the field carrier-envelope phase determine the material response: under these conditions matter can be excited into the non-perturbative regime4–7. An important quantity describing the “strength” of the field-matter interaction is the ponderomotive energy8–10

\[ U_p = \frac{e^2 E_0^2}{4m\omega^2} = \frac{\hbar \gamma}{c}, \]

which is defined as the mean kinetic energy of a particle of mass \( m \) and charge \( e \) which oscillates in the ac-electric field \( E(t) = E_0 \cos(\omega t) \). For \( \gamma \ll 1 \) the matter-field interactions can be described perturbatively, while for \( \gamma \gg 1 \) the field strength is much larger than the photon energy and the field-induced effects are dominant.

In this paper we report a study of the dynamical Franz Keldysh effect (DFKE) in the transition region between those two limits (1 < \( \gamma < 30 \)). Single-cycle THz electromagnetic pulses with maximum field amplitudes between \( \sim 30 \text{ kV/cm} \) (\( \gamma \sim 1 \)) and 100 kV/cm (\( \gamma \sim 30 \)) are used to gate the transmission in bulk gallium arsenide. The changes in transmission are dramatic and equal 60% transmission loss through a 0.4 mm sample. Our amplitude and phase-dependent study of the THz-induced opacity in bulk GaAs allows for the identification of a novel anomalous regime of the Franz Keldysh effect (FKE), that has static intensity dependence but a highly non-trivial phase evolution.

The static Franz Keldysh effect can be described as follows: in a semiconductor, the relative motion of an electron-hole pair in a uniform and static electric field \( F \) is described by a one-dimensional and time-independent Schrödinger equation,

\[ \left( \frac{\hbar^2}{2\mu} \partial_z^2 + e|Fz + E_Z| \right) \varphi(z) = 0, \]

whose solution is an Airy function

\[ \varphi(z) \rightarrow \varphi(\zeta) \propto \text{Airy}(\zeta) \]

obtained by the substitution \( z \rightarrow \xi = -\frac{E_z}{\sigma(F)} \left( \frac{2\mu |E|}{\hbar^2} \right)^{1/3}, \) with \( \sigma(F) = \left( \frac{e^2 \hbar^2 F^2}{2\mu} \right)^{1/3} \) the “electro-optical energy” of the field. The Airy function contains the salient features of the static FKE: it has an exponential tail for small and negative values of the argument (that can be related to below-gap field-induced absorption), and it approaches a plane-wave like solution for positive and increasing arguments (implying the above-gap oscillations).
Figure 1a shows the absorption of a conventional semiconductor in a static and uniform electric field. The standard “square root” absorption (black curve) in the presence of an electric field (red curve) is strongly perturbed in the energy region across the band-gap $E_{\text{Gap}}$: an exponential-tail absorption appears below $E_{\text{Gap}}$ (also known as electroabsorption) and an oscillatory behaviour in frequency of the optical properties of the semiconductor is revealed above the energy of the gap.

When the static electric field is replaced by a time dependent one (Fig. 1b) the response of the system is described by the Dynamical Franz-Keldysh effect (DFKE). The DFKE is qualitatively similar to the static FKE below the gap (i.e. both effects exhibit an exponential absorption tail) but the above-gap oscillations are much weaker and the absorption edge is blue-shifted by the ponderomotive energy $U_p$. When $U_p$ is of the same order of magnitude of the photon energy ($\gamma \sim 1$) the conduction and valence bands cannot follow adiabatically the applied EM field and the field-induced opacity is better described by the DFKE. On the other hand, for growing $U_p$ ($\gamma \gg 1$) the effects become better and better described by a quasi-static FKE, which is the proper model for a uniform dc field ($\gamma = N\theta$).

**Results**

**Field induced optical absorption experiments.** Here we study the FKE at the transition between a dynamical ($\gamma \sim 1$) and a quasi-static regime ($\gamma = 30 \gg 1$). To this purpose we developed a pump-probe experiment which uses strong almost single cycle EM pulses at THz frequencies as pump and broadband near-infrared pulses as probe. It is important to note that the probe pulses used in our experiments are shorter than the THz wavelengths so that our technique allows the phase sensitive measurement of the DFKE.

Fig. 2 shows the THz electric field in the time domain (a) and a spectrogram of the observed transmission change $\Delta T(t)/T$, where $\Delta T(t)$ is the time-dependent perturbed transmission and $T$ is the field-free transmission in GaAs. At the peak of the THz field the transmission is reduced by up to 60% (Fig. 2b) at photon energies just below the band gap. This large modulation is caused by the strong sub-gap absorption as shown for the static FKE in Fig. 1(a).

Fig. 2c shows the measured $\Delta T(t = 0 \text{ ps})/T$ as function of photon energy (red curve) compared to the transmission change calculated from eq.2 assuming a static electric field of 100kV/cm (black curve) and a square-root gap. The only free parameter of the calculation is a phenomenological scaling factor accounting for the size of the matrix elements of the dipole transitions. It should be noted that taking into account realistic shapes of the equilibrium absorption (including excitonic effects), rather than a simple square-root gap, gives only minor differences in the field driven absorption.

While the shape of the change as function of photon energy is accurately described at every time step, a comparison between Fig. 2a and Fig. 2b reveals that we cannot reproduce the observed temporal dependence of the transient transmission by calculating the static FKE with the THz field profile shown in Fig. 2a. Nevertheless, this...
suggest that an effective electric field can describe the temporal evolution of the transients, as will be discussed in the following.

**THz pump reflectivity probe.** In order to study the transition region between the static and dynamical FKE, and to elucidate the phase evolution of the field-induced variations of the optical properties, we carried out single-color reflectivity measurements for different pump intensities ($I \lesssim 30$). Reflection geometry experiments increase temporal resolution by avoiding dephasing of pump and probe pulses due to the slightly different group velocities in a bulk sample.

Fig. 3b shows the reflectivity change $\Delta R(t)/R$ at 900 nm induced by the presence of the strong field in Fig. 3a. The shape of the transient reflectivity changes dramatically as a function of the pump field strength (Fig. 3b). In order to highlight the main result of this report, i.e. the different phase content of the response to fields with different intensities, in Fig. 3c we plot the normalized $\Delta R(t)/R$ at low and high pump intensity together with the modulus of the THz field (dashed line). Fig. 3d shows the maximum $\Delta R/R$ versus THz field strength. We observe an almost linear dependence for $\Delta R/R$ up to $I \approx 50\%$, while a sub-linear behaviour appears at higher field strengths. From the theory of the static FKE we expect that the pump-induced opacity scales with the amplitude of the applied electric field$^{22}$, while the DFKE predicts a linear dependence with pump intensity$^{20}$. Hence, from the maximum amplitude of the transient reflectivity (Fig. 3d), we can identify a dynamical Franz-Keldysh regime for low THz fields and an anomalous behaviour for field strengths higher than 70 kV/cm. The analysis of the transient reflectance in this regime reveals a "static-like" behaviour, i.e. a linear dependence of max $\Delta R$ over field amplitude.

**Discussion**

As indicated earlier, a static FKE model can describe the transient transmission at all wavelengths at each time delay (Fig. 2c) once a parameterized electric field is provided. This evidence leads us in the search of a phenomenological expression for an effective ac field that, once used within the static FKE theoretical framework, reproduces the fluence and phase dependent evolution of the transient reflectivity reported in Fig. 3b.
In order to give a phenomenological description of the anomalous regime investigated we follow an heuristic approach. When the potential in eq.2 is a function of time, the corresponding one-dimensional time-dependent Schrödinger equation\(^{15-25}\), with a potential that is linear in the space variable, has a solution known as Airy packet\(^{8}\). The Airy packet is similar to the Airy function, which is the solution to the static case, but its argument depends on the integral of the potential over time.

By inspection of the blue curve in Fig. 3c (\(\gamma \sim 7\)) we notice that for low intensity the peak in the THz field at \(t = -0.6\) ps (dashed line) has no effect on the transient reflectivity. Moreover, at later times (\(t = +0.7\) ps) the second peak in the field gives rise, slightly shifted, to a large variation of the reflectivity. The ratio of the THz field at \(t = +0.7\) ps over the one at \(t = 0\) ps is much smaller than the ratio of the two highest variations in reflectivity \(\Delta R(t=0.9\) ps)/\(\Delta R(t=0.1\) ps). This indicates that, for low pump intensities, the optical response at time \(t\) has memory of the THz field at all previous times or, equivalently, that an integral function of the potential is governing the transient optical properties. This behaviour is consistent with the solution of Berry\(^{25}\) and with the expectation in the dynamical regime of the Franz-Keldysh effect.

The phase dependence of the transient reflectivity is dramatically modified upon increasing the strength of the ac field (red curve in Fig. 3a). For \(\gamma > 1\) we expect to move towards the static regime with a response that follows adiabatically the THz electric field. However, our measurements in strong field (red curve in Fig. 3c) reveal that the relative variation of the reflectivity does not follow the THz amplitude, but shows mixed character between the static and dynamical regimes as highlighted by the non-vanishing response in the minima of the applied electric field (\(t \approx -0.2\) ps and \(t \approx +0.2\) ps). As expected for a quasi-static FKE the transient reflectivity is maximum for the field peaks (\(t \approx -0.6\) ps, \(t \approx 0\) ps, and \(t \approx +0.7\) ps). Nevertheless \(\Delta R(t)/R\) is non zero on the overall THz envelope and moreover the transient at \(t \approx -0.6\) ps is much higher with respect to the one due to the central THz peak (\(t \approx 0\) ps). This indicates that for strong fields we are exploring a saturation regime of the dynamical Franz Keldysh where memory effects are of relevance.

Led by all the previous consideration we could formulate a phenomenological expression for the effective ac field \(F_{\text{eff}}(p,t)\) to be used within a dc FK model that allows to describe our observations:

\[
F_{\text{eff}}(p,t) = A(p) \left( |F(t)|^2 \right) \left( 1 + B(|F(t)|^2) \right) g(p,t),
\]

(3)

where \(F(t)\) is the THz field amplitude (which is an experimental input measured by electro-optical sampling), \(I(t) = F^2(t)\) is the time-dependent intensity, \(A\) and \(B\) are integral functions of their respective arguments \((A(|I(t)|^2) = \frac{1}{\sqrt{p^4}} \int_0^{\infty} |I(t')|^2 dt'\) and \(B(|I(t)|^2) = \frac{1.2 \times 10^{-6}}{\sqrt{p^4}} \int_0^{\infty} |I(t')|^2 dt'\)). \(p\) is the normalized peak intensity, \(A(p)\) an analytical function of \(p\) equal to \(\frac{0.02}{p^4} + \frac{p^4}{10^9}\) and \(g(p,t) = e^{-(t-t')/(1+3p^2)}\) a convoluted gaussian. In Fig. 4a we plot the measured \(\Delta R(t)/R\) and the results of the simulation with the effective field given in eq.3 for different pump intensities. Apart from the slow dynamics observed at delays higher than 2 ps, that are related to incoherent pump-induced heating effects not included in eq.3, our phenomenological model contains the main features of the temporal and intensity dependence of the transient reflectivity probed at 900 nm. At low intensities the effective field is dominated by the contribution \(A(|I(t)|^2)\) which is consistent with the DFKE regime where the solution of the time-dependent Schrödinger equation depends on the integral of the potential over time\(^{25}\). On the other hand, when the intensity is increased, \(F_{\text{eff}}\) is suppressed by the renormalization due to higher order corrections at the denominator, leading to the recovery of the sub-linear dependence in the field intensity characteristic of the static FKE. The anomalous phase-dependence of the FKE with strong pump originates in our model from both the gaussian convolution, that phenomenologically takes into account the “broadening” at high fields (see the red curve in Fig. 3c), and the integral of the higher order power of the intensity at the denominator. This renormalization describes how the optical response of GaAs at time \(t\) still carries a memory of the history of the applied electric field for \(\gamma=30\).

In conclusion, we performed a systematic study of the transition region between the dynamical and quasi-static regimes of matter-light interaction by detecting the ac field induced opacity in bulk GaAs. The analysis of the dependence of the reflectivity at 900 nm on the EM field amplitude and phase revealed the existence of a novel regime of saturation for the dynamical Franz-Keldysh effect. Our phase-resolved technique allows to observe directly the memory effects and to establish a phenomenological model that uses the static FKE solution combined with an effective field to describe the new regime. We demonstrate, by tuning the amplitude of single cycle pump pulses, that the temporal response of the optical properties of GaAs exhibits highly non trivial phase content. Finally, we suggest that this novel regime will be of relevance for ultra-fast optical gating devices.

**Methods**

The single-cycle THz pulses, with energies of 2 \(\mu\)J and field strengths exceeding 100 kV/cm, were generated by optical rectification in LiNbO\(_3\) using the tilted pulse
front technique. The THz pulses were collimated and focused onto the sample with a 2 mm thick sapphire plate. The probe beam was overlapped spatially through a 3 mm hole drilled into the off-axis parabolic mirror. The white light was focused onto the sample using a thin (250 nm) CaF2 lens, resulting in a spot size smaller than 300 μm. The chirp of the probe continuum in the near IR region of interest is estimated to be less than 100 fs. A fiber-coupled spectrometer was used to measure the time dependent probe measurements in reflectivity with bandpass filters (10 nm full width half maximum) between the sample and photodetectors.

We can estimate from eq.1, in the free electron approximation and for central frequency $\nu = 1 \times 10^{12}$ Hz, that $\gamma$ for the EM pulses used in the experiments range from $\gamma \approx 1$ for maximum field strength $\sim 30$ kV/cm up to $\gamma \approx 30$ for the pulses with the highest energy ($\sim 100$ kV/cm). As reported in Fig. 1b, with this field parameters we expect to measure the intermediate region between the DFKE and the FKE.

We used a lightly doped n-type GaAs ($8 \times 10^{15}$ cm$^{-3}$) and GaAs:Fe ($2 \times 10^{15}$ cm$^{-3}$) for measurements near the flatband region. The femtosecond laser pulse was split off to generate white light in a 2 mm thick sapphire plate. The white light was focused onto the sample using a 3 mm thick CaF2 lens, resulting in a spot size smaller than 300 μm. The chirp of the probe continuum in the near IR region of interest is estimated to be less than 100 fs. A fiber-coupled spectrometer was used to measure the time dependent transmission change $\Delta T$/$T$. We used 405 nm filters to reject the IR light in the probe beam.

The THz pulses were characterized by electro-optical sampling using a 150 μm GaP crystal, revealing their field strength and spectral content which is peaked at 0.8 THz. The total pulse energy was measured independently with a calibrated pyroelectric detector. A pair of wiregrid polarizers was used for controlled attenuation of the THz field without changing its temporal profile.

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Author contributions

M.H. performed the experiments. F.N., D.F. confirmed the measurements. F.N., D.F. and F.G. participated into the experiments. M.H. analyzed the data and wrote the manuscript. F.P. participated into the discussions.

Additional information

Competing financial interests: The authors declare no competing financial interests.

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