Programmed Cell Death Protein 1 Blockade Reduces Glycogen Synthase Kinase 3β Activity and Tau Hyperphosphorylation in Alzheimer’s Disease Mouse Models

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Alzheimer’s disease (AD) is a central nervous system degenerative disease, with no effective treatment to date. Administration of immune checkpoint inhibitors significantly reduces neuronal damage and tau hyperphosphorylation in AD, but the specific mechanism is unclear. Here, we found that programmed cell death-receptor 1 (PD1) and its ligand PDL1 were induced by an intracerebroventricular injection of amyloid-β; they were significantly upregulated in the brains of APP/PS1, 5×FAD mice and in SH-SY5Y-APP cell line compared with control. The PD1 and PDL1 levels positively correlated with the glycogen synthase kinase 3 beta (GSK3β) activity in various AD mouse models, and the PDL1-GSK3β immune complex was found in the brain. The application of PD1-blocking antibody reduced tau hyperphosphorylation and GSK3β activity and prevented memory impairments. Mechanistically, we identified PD1 as a critical regulator of GSK3β activity. These results suggest that the immune regulation of the PD1/PDL1 axis is closely involved in AD.

Keywords: PD1, PDL1, GSK3β, Aβ, tau hyperphosphorylation, APP/PS1, 5×FAD

INTRODUCTION

According to an epidemiological report, approximately 50 million elderly people suffer from different degrees of dementia, and this figure is likely to rise to 150 million by 2050 (Peprah and McCormack, 2019). As one of the main forms of dementia, Alzheimer’s disease (AD) is a degenerative disease of the central nervous system characterized by progressive cognitive impairment and memory deficit, which poses a major public health threat worldwide (Berger...
et al., 2020). According to the amyloid hypothesis (Haass and Selkoe, 1993; Selkoe and Hardy, 2016), accumulated extracellular amyloid-β (Aβ) peptide is the primary contributor to the disease and one of the hallmarks of AD (Bloom, 2014). Glycogen synthase kinase 3 beta (GSK3β) is overactivated in AD (such as Aβ insult), thereby contributing to its progression (Takashima et al., 1996a; Takashima et al., 1996b); it is considered a marker for neurodegeneration in AD (Takashima, 2006). In the brain, GSK3β activity is associated with the generation of several phosphorylation sites on tau, as identified in in vitro and in vivo studies on AD (Leroy et al., 2007). More than 36 residues in tau are phosphorylated by GSK3β (Hanger et al., 2007), with Thr231 and Ser396 being the major phosphorylation sites (Billingsley and Kincaid, 1997; Li et al., 2006; Li and Paudel, 2006; Leroy et al., 2010; Moszczyński et al., 2015).

Programmed cell death protein 1 (PD1) is an inhibitory receptor on antigen-activated T cells. PD1, together with its ligand PDL1, constitutes a critical component in the induction and maintenance of autoimmune tolerance (Fié and Pauken, 2011). The PD1/PDL1 axis is an extensively studied immune checkpoint worldwide. Inhibition of the interaction between PD1 and PDL1 enhances T-cell response and confers potent anti-tumor activity (Brahmer et al., 2012). Increased interaction of inactivated GSK3β with PDL1 has been found in basal-like breast cancer (Li et al., 2016), and GSK3α/β inactivation blocks PD1 expression in CD8⁺ cytotoxic T lymphocytes (CTLs) and enhances immunity through PD1 downregulation (Taylor et al., 2016). These results suggest a close relationship between the PD1/PDL1 axis and GSK3β activity (Schulz et al., 2019). Despite extensive research on PD1 in tumor and immune cells, the mechanism of PD1 signaling in the central nervous system is largely unclear. PD1 is considered an inducible protein, and its expression is mainly limited to the thymus (Ishida et al., 1992). Functional PD1 has also been found in the dorsal root ganglion (Ishida et al., 1992) and other brain regions such as the thalamic and cortical neurons (Jiang et al., 2020), suggesting a neuronal role in the brain. Although PD1/PDL1 blockade reportedly exerts strong anti-AD effects, the expression of PD1 and PDL1 in the brain of AD mouse model is still unclear. However, a reduction in tau hyperphosphorylation has been reported in an AD Mouse model after blocking antibodies of the PD1/PDL1 axis (Baruch et al., 2016; Rosenzweig et al., 2019; Schwartz et al., 2019), suggesting that PD1 blockade may regulate tau hyperphosphorylation by affecting the activity of some key kinases. Even though the PDL1/GSK3β immune complex has been observed in tumor cells, it is still unclear whether this interaction occurs in the brain and whether GSK3β is the direct downstream target of PD1/PDL1 to regulate tau hyperphosphorylation in AD.

In the present study, we demonstrated that PD1 and PDL1 are upregulated in AD models in vitro and in vivo. We also showed that the PDL1/GSK3β immune complex exists in the brain and hypothesized that the PD1-PDL1-GSK3β axis plays a vital role in tau hyperphosphorylation in AD. We found that the application of anti-PD1 blocking antibody reduced tau hyperphosphorylation and improved the memory ability of 5×FAD mice. Overall, our study highlights an important role of PD1 regulation in the treatment of human AD and provides a classic theoretical explanation for the immunotherapy of AD.

**MATERIALS AND METHODS**

**Materials**

Anti-hamster IgG was obtained from SouthernBiotech, and anti-PD1 blocking antibody (G4) and anti-PDL1 antibody (10B5) were produced in-house. Detailed information on the antibodies used in the present study is presented in Supplementary Table S1.

**Animals**

For this study, we used PD1 knockout (KO) mice, which has been described previously (Yao et al., 2009), APP/PS1 mice (obtained from GemPharmatech Co., Ltd.), 5×FAD mice, which has been described previously (An et al., 2019; Zheng et al., 2021), and their age-matched C57BL/6 wild-type (WT) mice. The age of PD1 KO, APP/PS1, and 5×FAD mice ranged from 2–3, 9–12, and 9–10 months, respectively. The experiments involving the mice were approved by the Experimental Animal Ethics Committee of Fujian Medical University (FJMU IACUC 2018-034).

**Intracerebroventricular Delivery of Aβ1–42 and anti-Programmed Cell Death-Receptor 1 Dosing Program**

Synthetic β-amyloid (1–42) peptides (corresponding to the human Aβ sequence) were provided by China Peptides Co., Ltd. The Aβ1–42 powder was dissolved in sterile saline solution to a concentration of 1 µg/µl. The solution was then aged for 96 h at 37°C. Aβ aggregates or the corresponding vehicle (4 µl/5 min/mouse) was injected into the lateral ventricle (I.C.V.) using a microsyringe at the following position: −0.2 mm anteroposterior (AP), +1.0 mm mediolateral (ML), and −2.4 mm dorsoventral (DV) relative to the bregma (Amin et al., 2017; Wu et al., 2018). The control group mice received the first injection of hamster IgG (0.30 mg/mice) 3 days after the surgical procedures and the second injection 7 days after surgery. The Aβ1–42 + anti-PD1 group was injected with the PD1-blocking antibody following the same dosing program. Twelve days after surgery, all mice were sacrificed and the brain tissue was sampled for analysis (Zhang et al., 2020).

**Behavioral Testing**

The dosing program of 5×FAD transgenic mice was based on a previous study (Rosenzweig et al., 2019). The mice were injected (i.p., 10 mg/kg) twice with anti-PD1 blocking antibody or anti-hamster IgG at a 3-day interval. The behavioral tests were conducted after 1 month, and all mice were sacrificed a month after the behavioral test. The Morris water maze (MWM) was performed to evaluate the spatial learning and memory ability of 5×FAD mice, as previously described (Qi et al., 2016; An et al., 2019). Briefly, a hidden escape platform (diameter: 100 mm, height: 230 mm) was placed at the center of one quadrant and 15 mm beneath the surface water in a pool of diameter 1,200 mm.
Non-fat milk powder was added to turn the water opaque, and the temperature was maintained at 23–25°C. The behavioral test consisted of training for 5 days and a probe trial on day 6. During training, all mice were subjected to four trials per day, and the inter-trial interval was at least 10 min. For each trial, a mouse was released into warm water from the selected starting locations and allowed to locate the hidden platform within 1 min. The probe test was conducted to evaluate spatial memory ability on day 6. Mean escape latency, swimming speed, and number of platform crossing were analyzed using a computer equipped with Morris 2.8.1 software provided by Mobile Datum Co. (China).

Tissue Preparation and Immunohistochemical Detection
For immunohistochemical evaluation of the mouse brain tissues, the mice were sacrificed and their brains were harvested, postfixed in 4% buffered paraformaldehyde overnight, embedded in paraffin, and cut into sections. Xylene was used to deparaffinize the paraffin-embedded tissue sections. The resulting sections were rehydrated with a gradient series of alcohol, and antigen retrieval was performed with citric buffer at 120°C for 10 min. To eliminate the influence of endogenous peroxidase and protein, 3% H2O2 and 10% fetal bovine serum (FBS) were successively added on the sections. Subsequently, the sections were incubated with the appropriate concentration of primary antibody overnight at 4°C, and then with HRP-conjugated secondary antibody for 30 min at room temperature. 3,3′-Diaminobenzidine was added on the sections under a microscope and allowed to react for 2–5 min; the reaction was then stopped by adding H2O. The sections were then stained with hematoxylin and dehydrated with a gradient series of alcohol. After permeabilization with xylene, the sections were covered with permanent mounting medium. Positive staining was detected and calculated using Image-Pro Plus software for Windows operating system.

Immunoblotting
Mouse hippocampal extracts were prepared by homogenizing the tissue in ice-cold RIPA lysis buffer supplemented with 1% (v/v)
phenylmethanesulfonyl fluoride, as previously described (Gan et al., 2021). Boiled protein samples were subjected to SDS-PAGE, followed by semi-dry film transfer of the proteins onto polyvinylidene fluoride membranes. The membranes were blocked for 2 h with 10% (w/v) non-fat milk, and then probed with different antibodies overnight and HRP-conjugated secondary antibodies the next day. Various immunocomplexes were detected using the ChemiDoc XRS + system (BioRad).

Coimmunoprecipitation

The brains of WT C57BL/6 mice were harvested and homogenized with a lysis buffer (Beyotime Biotechnology). Nonspecific IgG (Beyotime Biotechnology) or PDL1 antibody was added to the lysates, which were then incubated for 3–4 h. Thereafter, 40 μl of protein A/G beads (Santa Cruz Biotechnology) was added to the lysates and incubated for another 1–2 h at 4°C. The precipitates were washed with washing buffer at least five times and then separated by 10% SDS-PAGE for immunoblotting.

Cell Culture

SH-SY5Y and SH-SY5Y-APP cell lines were provided by Professor Tae Ho Lee; these cell lines have been described elsewhere (Kim et al., 2016; Chen et al., 2020). The cells were cultured in Hyclone DME-F12 supplemented with FBS (10% v/v).

Flow Cytometry

The cells were harvested and incubated with different primary antibodies for 30 min at 4°C, and then washed with PBS (1% FBS). The samples were analyzed using FACSVerse, and the data were analyzed using FlowJo software. APC-conjugated PD1 antibody and APC-conjugated PDL1 antibody were purchased from Biolegend.
Statistical Analysis
All data are presented as mean ± SD and analyzed using GraphPad Prism version 8.0 software for statistical analysis. A one-way or two-way analysis of variance followed by Dunnett’s post-hoc test when appropriate or paired Student’s t-test was used to calculate statistical significance.

RESULTS
Programmed Cell Death-Receptor 1 and Programmed Cell Death Receptor Ligand 1 Levels Were Elevated in Alzheimer’s Disease
Under normal physiological conditions, human primary neuroimmune cells express very low levels of PD1/PDL1, but neuronal PD1/PDL1 can be immediately induced by drug abuse or non-toxic doses of alcohol to contribute to neuroinflammation and neurodegeneration (Mishra et al., 2015; Mishra et al., 2020). The AD model generated by an intracerebroventricular injection of Aβ is commonly used for the following reasons: convenience and high cost-performance ratio. As shown in Figures 1A–C, the brain PD1 and PDL1 signals increased after Aβ42 insult compared with those after vehicle administration. SH-SY5Y cells stably overexpressing human APP have been widely used as an in vitro model to mimic AD pathology. We used flow cytometry and western blotting to compare the expression of PD1 and PDL1 between SH-SY5Y and SH-SY5Y-APP cells. There was an increase in the expression of PD1 and PDL1 in SH-SY5Y-APP cells, as shown in Figures 2A–E. Moreover, we performed western blotting and immunohistochemistry to detect the expression of PD1 and PDL1 in the brain of APP/PS1 and 5×FAD mice, respectively. The hippocampal levels of both PD1 and PDL1 were increased in APP/PS1 mice compared with those in the age-matched WT mice (Figures 2F–H). The PD1 and PDL1 levels were increased in a wide range of brain regions, including the cortex and hippocampus, in 5×FAD mice compared with those in the age matched WT mice (Figures 3A–C). These results suggest that PD1 and PDL1 are upregulated under AD conditions in vitro and in vivo.
The hyperphosphorylation of tau, especially Thr231, which is a phosphorylation site of tau, mainly mediated by GSK3β, affects the activities of some key tau kinases in the AD brain. We therefore sought to determine whether treatment with PD1-blocking antibodies affects the activities of some key tau kinases in the AD brain. We analyzed GSK3β as a downstream target molecule of PD1 and PDL1 based on the following findings: 1) PD1 interacts with GSK3β in tumor cells and GSK3β regulates PD1 level in CTL (Li et al., 2016); 2) GSK3β phosphorylates tau mainly at Thr231, Ser262, and Ser396 in the AD brain; and 3) GSK3β activity is closely associated with learning/memory impairment in AD. As low phosphorylated GSK3β (p-GSK3β) (Ser9) levels have been widely reported in various AD mouse models, such as APP/PS1 and 5×FAD (Crouch et al., 2009; Wang et al., 2019), GSK3β is considered the most important molecule in AD pathophysiology and a pivotal marker for neurodegeneration in AD (Takashima, 2006; Lauretti et al., 2020). In our study, the p-GSK3β (Ser9) level significantly decreased after Aβ insult (Figures 1A,D) and inversely correlated with PD1 or PDL1 expression, based on Pearson’s correlation coefficient (Figures 1E,F; $R^2 = 0.6263, p = 0.0340$ and $R^2 = 0.6358, p = 0.0317$, respectively). To further verify the relationship between GSK3β and PD1 and PDL1, two other transgenic mice were used in the following experiments. In APP/PS1 mice, decreased p-GSK3β (Ser9) level (Figures 2F,I,J) inversely correlated with the PD1 or PDL1 (Figures 2K,L), and inversely correlated with the PD1 or PDL1 level (Figures 2F,I,J) inversely correlated with the PD1 or PDL1 level ($R^2 = 0.6261, p = 0.0193$ and $R^2 = 0.6536, p = 0.0151$, respectively). Moreover, activated GSK3β level in 5×FAD mice was significantly increased compared to that in wildtype littermates (Figures 3A,D). Furthermore, as shown in Figures 3E,F, an inverse correlation was observed between PD1 or PDL1 expression and p-GSK3β (Ser9) level ($R^2 = 0.5383, p = 0.0383$ and $R^2 = 0.7131, p = 0.0083$, respectively). Moreover, co-immunoprecipitation and double immunofluorescence assay revealed the PDL1/GSK3β immune complex in the brain and in SH-SYSY-APP cells, respectively (Figure 3G and Supplementary Figure S1), suggesting that GSK3β might act directly downstream of the PD1/PDL1 axis. These results demonstrate that the PD1/PDL1 axis may be involved in AD pathology through GSK3β.
Programmed Cell Death-Receptor 1 Ablation Decreased Glycogen Synthase Kinase 3 Beta Activity and Tau Hyperphosphorylation Induced by Aβ42 Exposure

As PD1 is considered a regulator of GSK3β phosphorylation in different AD models, we aimed to clarify whether the upregulation of GSK3β activity in AD conditions is associated with PD1. PD1 deficiency tends to increase pS473-AKT level in normal Kupffer cells and restores AKT activation after murine polymicrobial sepsis attack, suggesting that PD1 KO protects cells from injury stimuli (Wang et al., 2016). Unexpectedly, in the present study, the phosphorylation of GSK3β at Ser9 in the brain of PD1 KO mice was significantly increased compared with that in age-matched WT mice, as shown in Figures 4A–D, suggesting that PD1 deficiency downregulates the activity of GSK3β under normal physiological conditions. We also investigated the effect of PD1 deficiency on GSK3β activity and tau hyperphosphorylation (p-tau Thr231 and Ser396) after an intracerebroventricular Aβ42 insult. Consistent with previous results, the pSer9 level in GSK3β decreased significantly after Aβ exposure. Aβ-treated PD1 KO mice showed a significant increase in the pSer9 level and decrease in the p-tau Thr231 and Ser396 levels compared with the control mice (Figures 4E–I). These results indicate that PD1 is an important regulator of GSK3β activity under normal physiological and AD conditions.

Programmed Cell Death-Receptor 1 Blockade Reduced Glycogen Synthase Kinase 3 Beta Activity and Tau Hyperphosphorylation and Improved Memory in Alzheimer’s Disease Mice Models

Next, we examined the effect of PD1-blocking antibody on Aβ-induced GSK3β activation and tau hyperphosphorylation. PD1-blocking antibody increased the expression of phosphorylated GSK3β at Ser9 and reduced the p-tau Thr231 and Ser396 levels after Aβ42 administration.
compared with those in the control, as shown in Figures 5A–E. Similarly, we used 5xFAD mice to investigate the protective effect of PD1-blocking antibody. We examined pSer9-GSK3β and total GSK3β levels in the hippocampus of 5xFAD mice by western blotting. The results showed a significant increase in pSer9-GSK3β rather than the total GSK3β level in the brain of anti-PD1-treated 5xFAD mice compared with that in the IgG-treated mice (Figures 5F–H), suggesting that GSK3β activity decreased after PD1 blockade. We further explored the effects of immunotherapy on tau hyperphosphorylation. Consistent with the results of a previous study (Rosenzweig et al., 2019), we found that both p-tau Thr231 and Ser396 levels decreased after intervention with PD1-blocking antibody (Figures 5F,I,J). These results indicate that blocking the PD1/PDL1 axis significantly reduces GSK3β activity and ameliorates tau hyperphosphorylation in the AD brain.

To determine the potential role of PD1 blockade in the learning and memory abilities in 5xFAD mice, hippocampus-dependent cognitive performance was evaluated using the MWM 1 month after the antibody treatment. As shown in Figure 6A, the 5xFAD mice presented an increase in the mean escape latency from the hidden platform compared with the controls ($p < 0.01$). Moreover, the average escape latency of the anti-PD1+5xFAD group was significantly lower than that of the IgG+5xFAD group ($p < 0.05$). However, there was no significant difference in the mean swimming speed during the training phase among the three groups (Figure 6B, $p > 0.05$). In the probe trial, the number of platform crossing was determined for 1 min on day 6 of the test. As expected, 5xFAD mice treated with control IgG had fewer platform crossings than the normal control subjects, and this was reversed by PD1-blocking antibody treatment (Figure 6C). These results suggest that the application of PD1-blocking antibody alleviates impaired cognitive performance in 5xFAD mice.

**DISCUSSION**

We aimed to develop a suitable model wherein PD1 regulates GSK3β activity, which may be related to tau hyperphosphorylation and cognitive dysfunction in AD (Figure 6D). Inhibiting PD1 expression by KO or blocking PD1 with a specific antibody significantly reversed GSK3β
activity and tau hyperphosphorylation. Thus, we identified that PD1 is a critical regulator of GSK3β and that it could possibly bridge classic AD pathogenic theories and the recent immunotherapy strategies.

PD1/PDL1 checkpoint blockade exerts a potent protective effect against cognitive impairment and tau hyperphosphorylation in various mouse models of AD (Baruch et al., 2016; Rosenzweig et al., 2019) and stroke (Ren et al., 2011; Bodhankar et al., 2015); but there are also some contradictory conclusions (Latta-Mahieu et al., 2018; Lin et al., 2019). It is impressive that tau hyperphosphorylation but there are also some contradictory conclusions (Latta-Mahieu et al., 2018; Lin et al., 2019). It is impressive that tau hyperphosphorylation and behavioral memory impairment can be rescued by a simple treatment with antibodies, but the underlying mechanism is not yet clear. Here, we elucidated the key role of PD1/PDL1 in various mouse models. It has been reported that the level of PD1 rather than PDL1 is increased in an experimental model of prion disease, a classic murine model of chronic neurodegeneration (Obst et al., 2018). Whereas, the expression of PD1 and PDL1 is upregulated after transient cerebral artery occlusion treatment, representing an experimental model of stroke (Ren et al., 2011), suggesting that the PD1/PDL1 axis may be closely involved in diseases of the central nervous system. PD1-blocking antibody exerted a strong AD therapeutic effect in aged (9–10 months old) 5×FAD mice (Baruch et al., 2016). However, it was still unclear whether PD1 and PDL1 are expressed in the brain of AD mice. In this milieu, to the best of our knowledge, this study is the first to demonstrate that the PD1 and PDL1 levels are increased in several AD mouse models and in SH-SY5Y cells overexpressing human APP695. It is interesting that PD1/PDL1 can be upregulated by APP overexpression, suggesting a critical role for APP in PD1/PDL1 expression. Moreover, the upregulation of PD1 or PDL1 in the AD mouse brain positively correlated with GSK3β activity, suggesting a relationship between PD1/PDL1 and GSK3β. To verify the AD pathological changes that are mediated by PD1, we chose GSK3β as the downstream target of PD1/PDL1 because the PD1/GSK3β complex exists in tumor cells and the brain. Unexpectedly, the GSK3β activity decreased in PD1 KO mice compared with that in age-matched WT mice. Mechanistically, intracerebroventricular administration of Aβ activates GSK3β through PD1. Similarly, in 5×FAD mice, PD1 blockade downregulated the GSK3β activity. The formation of intracellular neurofibrillary tangles because of hyperphosphorylation of tau is another pathological change in AD. Tau hyperphosphorylation in different sites is mediated by different kinases, including CDK5, GSK3β, and DAPK1. In this study, PD1 blockade significantly reduced the levels of p-tau Thr231 and Ser396 in an Aβ-induced AD mouse model and 5×FAD mouse model. This may be closely related to GSK3β as Thr231 and Ser396 are considered the main phosphorylation sites for GSK3β in AD. However, our study has some limitations. We investigated the therapeutic effects post PD1 blockade and the underlying mechanism in only amyloid mouse models. As changes in the cognitive function after PD1/PDL1 blockade in both amyloid and tauopathy models have been confirmed (Baruch et al., 2016; Rosenzweig et al., 2019), we will use diverse models such as 3×Tg-AD mice and htau transgenic mice to further verify the PD1-PDL1-GSK3β-tau axis in the future. On the contrary, behavioral changes in both 5×FAD and DM-hTAU mice post anti-PD1/PDL1 therapy could involve other mechanisms besides a reduction in GSK3β activity, such as recruitment of monocyte-derived macrophages to the central nervous system to evoke a systemic immune response (Baruch et al., 2016; Rosenzweig et al., 2019). Future studies should focus on the mechanism of anti-PD1/PDL1 therapy against AD.

In conclusion, our study lays a solid theoretical and experimental basis for the immunotherapy of AD, and immune checkpoint inhibitors are expected to become potent tools for the treatment of AD.

DATA AVAILABILITY STATEMENT

The datasets presented in this study can be found in online repositories. The names of the repository/repositories and accession number(s) can be found in the article/Supplementary Material.

ETHICS STATEMENT

The animal study was reviewed and approved by Experimental Animal Ethics Committee of Fujian Medical University (FJMU IACUC 2018-034).

AUTHOR CONTRIBUTIONS

YZ designed the experiments, conducted immunohistochemical analysis, and wrote the manuscript; CL-G performed most of the experiments; ZX helped with the behavioral test and collected mouse brain tissue; H-TZ helped with data analysis; QZ helped with western blotting; TL and XP provided constructive suggestions and edited the manuscript; ZC supervised the project and wrote the manuscript.

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SUPPLEMENTARY MATERIAL

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