Improved wind system using non-linear power control

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Article Info

Article history:
Received Nov 14, 2018
Revised Jan 15, 2019
Accepted Jan 29, 2019

Keywords:
Backstepping controller
DFIG
MPPT
Sliding mode controller
Wind system

ABSTRACT

This article, present a new contribution to the control of wind energy systems, a robust nonlinear control of active and reactive power with the use of the Backstepping and Sliding Mode Control approach based on a doubly fed Induction Generator power (DFIG-Generator) in order to reduce the response time of the wind system. In the first step, a control strategy of the MPPT for the extraction of the maximum power of the turbine generator is presented. Subsequently, the Backstepping control technique followed by the sliding mode applied to the wind systems will be presented. These two types of control system rely on the stability of the system using the LYAPUNOV technique. Simulation results show performance in terms of set point tracking, stability and robustness versus wind speed variation.

1. INTRODUCTION

Currently, DFIG-based variable speed wind turbines are most commonly used in wind farms because of its high efficiency, energy quality and the ability to control the power supplied to the grid, as well as the ability to operate in a ± 30% speed range around the synchronous speed, thanks to the design of the three-phase static converters for a portion of the ± 30% nominal power, which makes it possible to reduce the losses in the electronic power components and the overall increase of the system. However, the disadvantage of the DFIG-based wind turbine is that it is very sensitive to load disturbances, turbine rotational speed variation, and variation in internal and external system parameters. Likewise, the double-fed Induction generator is characterized by a nonlinear and multi-variable mathematical model with a strong coupling between the input variables, i.e. it is not possible to independently control the voltage or current. For this reason, many implementation works of linear models-based approaches have been applied, but the linear controller approach has quickly shown its limits, that is why research is oriented towards non-linear techniques to increase the robustness and precision of the systems to be controlled. The main idea of this approach is to analyze the stability of the nonlinear system without solving the differential equations of this system. It is a very powerful tool for testing and finding sufficient conditions for the stability of different dynamic systems. The study presented in this article aims to optimize the energy performance of a wind turbine to maximize captured wind energy while reducing the problems mentioned above and improving control performance by reducing response time. This optimization is able to minimize the costs of generating electricity. Among these techniques, we find the sliding mode control known for its robustness to changes in external parameters and disturbances, but the disadvantage of this type of control lies in the CHATTERING phenomenon which makes it possible to destabilize the system. The second technique is the non-adaptive
BACKSTEPPING control which gives the stability of the nonlinear system, the good tracking ... etc, but which is sensitive to parametric variations [2], [3].

In this article, we will carry out a comparative study between the Sliding Mode control techniques and BACKSTEPPING in order to improve the performances of the wind system. We will start by exposing a monetization of the wind turbines. Then, a study of the operating point and maximum power tracking technique will be presented. Subsequently, we present a DFIG model in the "dq" framework and the general principle of controlling both power converters based on the Backstepping and the Sliding Mode control strategy.

2. WIND SYSTEM MODELLING

The wind system is mainly composed of the wind turbine, gearbox, the doubly fed induction generator whose stator is directly connected to the grid and the rotor is connected via the Rotor Side Converter (RSC) and the Grid Side Converter (GSC) as shown in Figure 1.

Figure 1. Global wind energy conversion system

2.1. Wind Turbine Model

The power generated by the wind turbine is modeled from the following [4-8]:

\[
\begin{align*}
P_v &= \frac{1}{2} \cdot \rho \cdot \pi \cdot R^2 \cdot v^3 \\
P_{aero} &= \frac{1}{2} \cdot C_p(\lambda, \beta) \cdot \rho \cdot \pi \cdot R^2 \cdot v^3 \\
\lambda &= \frac{\Omega}{v} \\
C_B &= \frac{C_{aero}}{\Omega} \\
\Omega_t &= \frac{\Omega \cdot \rho}{\rho} \\
C_p(\lambda, \beta) &= C_1 + C_2 \frac{\beta}{\lambda} - C_3 \beta - C_4 \exp\left(-C_5 \left(\frac{1}{(\lambda^{1+0.05\beta})^{0.05}}\right)\right) + C_6 \lambda
\end{align*}
\]

2.2. Standalone DFIG Model

The Doubly Fed Induction Generator (DFIG) model using Park transformation is presented by the following [4-18], [20]:

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2.3. Filter (R.L) Model

The GSC Converter is connected to the DC-BUS and the Grid via a filter (Rf, Lf). It has two roles: sustaining the DC-BUS voltage constance regarding the amplitude and the rotor power direction and maintaining a unit power factor at the link point to the grid [21]. The filter model in the referential (d, q) is given by (3):

\[
\begin{align*}
\frac{dv_d}{dt} &= R_f i_d + \frac{d\phi_d}{dt} - \omega_s \phi_{dq} \\
\frac{dv_q}{dt} &= R_f i_q + \frac{d\phi_q}{dt} + \omega_s \phi_{dq} \\
\frac{dv_d}{dt} &= R_f i_r + \frac{d\phi_d}{dt} - \omega_r \phi_{qr} \\
\frac{dv_q}{dt} &= R_f i_q + \frac{d\phi_q}{dt} + \omega_r \phi_{qr} \\
\phi_{sd} &= L_s i_{sd} + M_r i_{rd} \\
\phi_{sq} &= L_s i_{sq} + M_r i_{rq} \\
\phi_{rd} &= L_r i_{rd} + M_r i_{sd} \\
\phi_{rq} &= L_r i_{rq} + M_r i_{sq} \\
C_{em} &= p \left( \phi_{sd} i_{sq} - \phi_{sq} i_{sd} \right) \\
P_s &= v_{sd} i_{sd} + v_{sq} i_{sq} \\
Q_s &= v_{sq} i_{sd} - v_{sd} i_{sq}
\end{align*}
\]

(2)

3. Non-Linear Controllers Synthesis

The main objective of the DFIG-based WECS control is to maintain a constant stator voltage amplitude and frequency when the wind speed is changed. For this reason, the presence of a controller is very necessary. Many control techniques are developed in the literature for such systems. However, the implementation of these controllers seems very complicated. In this work, two non-linear controllers are developed:

a) SLIDING MODE controller.

b) BACKSTEPPING controller.

3.1. Sliding Mode Controller Design

The variable structure system (VSS) is a system whose structure changes during its operation. It is characterized by the choice of a structure and a switching logic. This latter allows the system to switch from one structure to another at any time by the choice of a function, which separates the state space into two parts, and an appropriate switching logic. The Sliding mode technique is a special case of (VSS). It consists of forcing the system state trajectory to attain a hyper surface in finite time and the stay there. This latter presents a relation between the system state variables which defines a differential equation, and consequently, determines the system dynamics if it remains on the hyper surface. The evolution of a system subject to a control law no longer depends on the system or the external disturbances, but on the properties of the hyper surface. The system will therefore be robust to uncertainties (specific to the system) and disturbances (external to the system) but will be totally insensitive [19], [25-28].

3.1.1 RSC Control using Sliding Mode Controller

The sliding surface proposed by J.SLOTINE is given by [29]:

\[
S(X) = \left( \frac{d}{dt} + \beta \right)^{n-1} e(X)
\]

(4)

We take n=1, we obtain:

\[
\begin{align*}
S(P_s) &= P_{s\text{ref}} - P_s \\
S(Q_s) &= Q_{s\text{ref}} - Q_s
\end{align*}
\]

(5)
The derivative of sliding surface is given by:

\[
\dot{S}(P_s) = V_s \cdot M \left( \frac{V_{rdeq} + V_{rdn}}{L_s} - \frac{R_{ref} \cdot I_{eq} - \omega_p \cdot I_{rd} - \omega_p \cdot V_{s}}{L_s \cdot \omega_p \cdot \sigma} \right) + \frac{V_{ref} \cdot V_{s}}{L_s}
\]

\[
\dot{S}(Q_s) = V_s \cdot M \left( \frac{V_{rdeq} + V_{rdn}}{L_s} - \frac{R_{ref} \cdot I_{rd} + \omega_p \cdot I_{eq}}{L_s \cdot \omega_p \cdot \sigma} \right)
\]

During the sliding and permanent mode, we have:

\[S(P_s, Q_s) = 0, V_{rdeq} = V_{rdn} = 0\]

The expression of the equivalent command becomes:

\[
V_{rdeq} = -\frac{L_v \cdot \omega_p \cdot \sigma}{M \cdot V_s} \cdot \frac{\sigma}{\omega_p \cdot V_s} \cdot \frac{\sigma}{\omega_p \cdot V_s} + R_{ref} \cdot I_{eq} - \omega_p \cdot I_{rd} - \omega_p \cdot V_s \cdot \frac{M}{L_s \cdot \omega_p \cdot \sigma}
\]

\[
V_{rdeq} = -\frac{L_v \cdot \omega_p \cdot \sigma}{M \cdot V_s} \cdot \frac{\sigma}{\omega_p \cdot V_s} \cdot \frac{\sigma}{\omega_p \cdot V_s} + R_{ref} \cdot I_{rd} + \omega_p \cdot I_{eq} + \omega_p \cdot M \frac{V_s}{L_s \cdot \omega_p \cdot \sigma}
\]

Therefore, the stabilizing control is given by the following equation:

\[
V_{rdeq} = K_{sat} (S(P_s)) - K_{q, sat} (S(Q_s))
\]

### 3.1.2 GSC Control using Sliding Mode Controllers

We consider the SLOTINE’s sliding mode surface. For \( n = 1 \), we obtain:

\[
S(P_f) = P_{ref} - P_f
\]

\[
S(Q_f) = Q_{ref} - Q_f
\]

The derivative of the sliding surface is given by:

\[
\dot{S}(P_f) = \frac{V_s \cdot R_f}{L_f} \cdot I_{df} + \frac{V_s \cdot (V_{qref} + V_{qfn})}{L_f} + \frac{w_s \cdot V_s \cdot I_{df} - \frac{V_s^2}{L_f}}{L_f}
\]

\[
\dot{S}(Q_f) = \frac{V_s \cdot R_f}{L_f} \cdot I_{df} - \frac{V_s \cdot (V_{qref} + V_{qfn})}{L_f} + \frac{w_s \cdot V_s \cdot I_{df} + \frac{V_s^2}{L_f}}{L_f}
\]

In the sliding and permanent mode, we obtain the expression of the equivalent command supplied as follows:

\[
V_{qref} = \frac{L_f \cdot V_s}{V_s} \cdot \frac{\sigma}{\omega_p \cdot V_s} \cdot \frac{\sigma}{\omega_p \cdot V_s} - R_{f} \cdot I_{df} + L_f \cdot \omega_s \cdot I_{df}
\]

\[
V_{qref} = -\frac{L_f \cdot V_s}{V_s} \cdot \frac{\sigma}{\omega_p \cdot V_s} \cdot \frac{\sigma}{\omega_p \cdot V_s} - R_{f} \cdot I_{df} - L_f \cdot \omega_s \cdot I_{df} + V_s
\]

The stabilizing control is expressed by:

\[
V_{qfn} = K_{qfn, sat} (S(P_f)) - K_{qfn, sat} (S(Q_f))
\]

### 3.2 Backstepping Controller Design

The principle of Backstepping control is based on the decomposition of the entire control system, which is generally multivariable and of high order into a cascade of first-order control subsystems. For each subsystem, a so-called virtual control law is calculated. The latter will serve as a reference for the next subsystem until obtaining the control law for the complete system. Moreover, this technique has the advantage of preserving the non-linearity’s useful for the performance and the robustness of the control,
unlike the linearization methods. The determination of control laws that follows from this approach is based on the use of control Lyapunov functions [22-24].

3.2.1 RSC Control using BACKSTEPPING Controller

The synthesis of this control can be achieved in two steps:

**Step 1:** Calculation of reference rotor currents:

In this step, we define the error between the active and reactive stator powers and their references. The errors of the stator power are defined by:

\[
\begin{align*}
    e_1 &= P_{sref} - P_s \\
    e_2 &= Q_{sref} - Q_s
\end{align*}
\]

The derivative of the errors is given by:

\[
\begin{align*}
    e_1' &= \frac{V_g}{L_q} \left( V_{iq} - \frac{E_r}{L_q \sigma} I_{iq} - \omega_r I_{rd} - \omega_s \frac{M}{L_r L_s \sigma} \right) \\
    e_2' &= Q_{sref} + V_s \frac{M}{L_s} \left( V_{id} - \frac{E_r}{L_d \sigma} I_{id} + \omega_r I_{rq} \right)
\end{align*}
\]

We first choose the candidate function of "LYAPUNOV" associated with the active and reactive stator power errors, in the following quadratic form:

\[
V_1 = \frac{1}{2} e_1^2 + \frac{1}{2} e_2^2
\]

Its derivative is given by:

\[
\dot{V}_1 = e_1 e_1' + e_2 e_2'
\]

By replacing the error by their expression, we obtain:

\[
\begin{align*}
    \dot{V}_1 &= e_1 \left( \frac{V_{sref} + V_s M}{L_s} \left( \frac{V_{iq}}{L_q \sigma} - \frac{E_r}{L_q \sigma} I_{iq} - \omega_r I_{rd} - \omega_s \frac{M}{L_r L_s \sigma} \right) \right) + \\
    &+ e_2 \left( \frac{V_{sref} + V_s M}{L_s} \left( \frac{V_{id}}{L_d \sigma} - \frac{E_r}{L_d \sigma} I_{id} + \omega_r I_{rq} \right) \right)
\end{align*}
\]

In order to ensure the stability of the subsystem according to LYAPUNOV, the derivative of \( V1 \) must be negative. So, we choose it in the following form:

\[
V_1 = -k_1 e_1^2 - k_2 e_2^2 < 0
\]

With \( k_1, k_2 \) are positive constants.

Equalizing the two equations, we obtain the virtual command \( I_{rd} \) and \( I_{rq} \) defined by:

\[
\begin{align*}
    I_{rqref} &= \frac{L_r \sigma L_s}{V_g R_s M} \left( \frac{V_{sref} + K_1 e_1 + V_s M}{L_s L_r \sigma} \left( V_{iq} - \frac{E_r}{L_q \sigma} I_{iq} - \omega_r I_{rd} - \frac{g M V_s}{L_s} \right) \right) \\
    I_{rdref} &= \frac{L_r \sigma L_s}{V_g R_s M} \left( \frac{V_{sref} + K_2 e_2 + V_s M}{L_s L_r \sigma} \left( V_{id} + L_d \sigma I_{id} + \omega_r I_{rq} \right) \right)
\end{align*}
\]

**Step 2:** Calculation of rotor voltages:

In this last step, it has been possible to deduce the true control law \( V_{rq} \) and \( V_{rd} \) which makes it possible to reach the design objectives for the global system. The errors of the rotor currents are defined by:

\[
\begin{align*}
    e_3 &= I_{rqref} - I_{iq} \\
    e_4 &= I_{rdref} - I_{id}
\end{align*}
\]
The derivative of the errors is given by:
\[
\begin{align*}
\dot{e}_3 &= i_{rq}^{\text{ref}} - \frac{1}{L_r \sigma} \left( V_{rq} - R_r i_{rq} - L_r \omega_r i_{rd} - g \frac{M V_s}{L_s} \right) \\
\dot{e}_4 &= i_{rd}^{\text{ref}} - \frac{1}{L_r \sigma} \left( V_{rd} - R_r i_{rd} + L_r \omega_r i_{rq} \right)
\end{align*}
\] (22)

The extended LYAPUNOV function is defined by:
\[
V_2 = \frac{1}{2} e_1^2 + \frac{1}{2} e_2^2 + \frac{1}{2} e_3^2 + \frac{1}{2} e_4^2
\] (23)

Its derivative becomes as follows:
\[
\dot{V}_2 = e_1 e_1 + e_2 e_2 + e_3 e_3 + e_4 e_4 = V_1 + V_3 + V_4
\] (24)

In order to ensure the stability of the system according to LYAPUNOV, the derivative of \(V_2\) must be negative. So, we choose it in the following form:
\[
V_2 = K_1 e_1^2 + K_2 e_2^2 + K_3 e_3^2 + K_4 e_4^2 \leq 0
\] (25)

Equalizing the two equations, we obtain:
\[
\begin{align*}
\dot{e}_3 &= \frac{1}{L_r \sigma} \left( V_{rq} - R_r i_{rq} - L_r \omega_r i_{rd} - g \frac{M V_s}{L_s} \right) = -K_3 e_3^3 \\
\dot{e}_4 &= \frac{1}{L_r \sigma} \left( V_{rd} - R_r i_{rd} + L_r \omega_r i_{rq} \right) = -K_4 e_4^2
\end{align*}
\] (26)

Which gives the expression global real command \(V_{rd}\) and \(V_{rq}\) defined by:
\[
\begin{align*}
V_{rd} &= L_r \sigma \left( K_4 e_4 + i_{rd}^{\text{ref}} + \frac{1}{L_r \sigma} \left( R_r i_{rd} - \omega_r L_r i_{rq} \right) \right) \\
V_{rq} &= L_r \sigma \left( K_3 e_3 + i_{rq}^{\text{ref}} + \frac{1}{L_r \sigma} \left( R_r i_{rq} + \omega_r L_r i_{rd} + g \frac{M V_s}{L_s} \right) \right)
\end{align*}
\] (27)

Hence, the asymptotic stability of the origin.

3.2.2 GSC control using Backstepping controllers
The powers exchanged between the Grid Side Converter and network are expressed by [21]:
\[
\begin{align*}
P_f &= V_{qf} i_{qf} \\
Q_f &= -V_{qf} i_{df}
\end{align*}
\] (28)

The expression of the filter currents is presented by the following equation:
\[
\begin{align*}
i_{qf} &= -\frac{V_{qf}}{L_f} - \frac{R_f}{L_f} i_{qf} + \omega_s i_{df} \\
i_{df} &= -\frac{V_{df}}{L_f} - \frac{R_f}{L_f} i_{df} - \omega_s i_{qf} + \frac{V_s}{L_f}
\end{align*}
\] (29)

According to these equations, we notice that the active and reactive powers are linked by the filter currents. So, it is sufficient to control the currents of the filter; the direct component \(i_{qf}\) controls the active power of the filter \(P_f\) and the quadratic component \(i_{df}\) controls the reactive power of the filter \(Q_f\). The errors of the filter currents \(e_5\) and \(e_6\) are defined by:
The derivatives of the errors are given by:

\[
\begin{align*}
\dot{e}_S &= I_d f \dot{r} e f - I_d f \\
\dot{e}_G &= I_q f \dot{r} e f - I_q f
\end{align*}
\] (30)

We choose the candidate function of "LYAPUNOV" associated with the filter current errors, in the following quadratic form:

\[ V_3 = \frac{1}{2} \dot{e}_S^2 + \frac{1}{2} \dot{e}_G^2 \] (32)

Its derivative is given by:

\[ \dot{V}_3 = \dot{e}_S \left( I_d f \dot{r} e f + \frac{V_{d f}}{L_d} I_{d f} - w \omega I_{d f} \right) + \dot{e}_G \left( I_q f \dot{r} e f + \frac{V_{q f}}{L_d} I_{q f} + w \omega I_{q f} - \frac{V_s}{L_d} \right) \] (33)

So as to ensure the stability of the subsystem according to LYAPUNOV, the derivative of \( V_3 \) must be negative. So, we choose it in the following form:

\[ V_3 = -K_5 \dot{e}_S^2 - K_6 \dot{e}_G^2 \leq 0 \] (34)

With \( k_5, k_6 \) are positive constants.

By doing the equality between the two equations, we get:

\[ \begin{align*}
\dot{e}_S &= I_d f \dot{r} e f + \frac{V_{d f}}{L_d} I_{d f} - w \omega I_{d f} + I_q f \dot{r} e f + \frac{V_{q f}}{L_d} I_{q f} + w \omega I_{q f} - \frac{V_s}{L_d} \\
&= -K_5 \dot{e}_S^2 - K_6 \dot{e}_G^2
\end{align*} \] (35)

Which gives the expression of the actual global command \( V_d f \) and \( V_{q f} \) defined by:

\[
\begin{align*}
V_{d f} &= -L_d \left( K_5 \dot{e}_S + I_d f \dot{r} e f + \frac{R_{d f}}{L_d} I_{d f} + w \omega I_{q f} \right) \\
V_{q f} &= -L_d \left( K_6 \dot{e}_G + I_q f \dot{r} e f + \frac{R_{q f}}{L_d} I_{q f} + w \omega I_{d f} - \frac{V_s}{L_d} \right)
\end{align*}
\] (36)

4. RESULTS AND DISCUSSION

To gauge the performance of the wind system based on the Doubly Fed Induction Generator, we tested the operation of the wind system by two types of control: the sliding mode technique and the BACKSTEPPING one in order to control the powers generated by the DFIG. To carry out this work, two tests were performed using MATLAB / Simulink:

a) Test 1: Tracking and regulation tests for SMC and Backstepping Controller.
b) Test 2: The robustness tests regarding the variation parameters.

The results obtained for the various simulation tests, are respectively exposed on the following Figures:

4.1. Tracking and Regulation Tests

In this case, we consider the aerodynamic power according to the MPPT strategy as a reference for the stator active power of the DFIG and zero as a reference of the stator reactive power to guarantee a unit power factor on the stator side to optimize the quality of the energy reverted on the grid.
From these figures we can note that the references of the powers are well followed by the generator for both controller without coupling effect between the two axes with a low response time for Sliding Mode controller (Table.1). The active stator power $P_s$ is negative means that the Grid receives the energy produced by the DFIG. The reactive stator power is null which gives a unit power factor. The stator voltages are a sinusoidal form with a fixed frequency 50Hz which implies a clean energy exchanges between the stator and...
the Grid. Using the FFT (Fast Fourier Transform). The total harmonic distortion (THD=2.36%) for backstepping is reduced compared to sliding mode (THD=3.99%) due to chattering phenomenon.

Table 1. Summarization table of the response time SMC and Backstepping Controller

| Parameter       | SMC Controller | Backstepping Controller |
|-----------------|----------------|-------------------------|
| Active Power Ps | 0.0858         | 0.09                    |
| Reactive Power Qs | 0.0535        | 0.0549                  |
| De-Bus          | 0.5494         | 0.6573                  |

4.2. Robustness Tests

The previous test was done considering the fixed machine parameters, but these parameters can be influenced by several physical phenomena such as the temperature which allows the increase of the resistance values, the saturation of the inductors etc... In addition, the identification of these parameters is expressed in infidelities owing to measuring devices and the adopted methodology. Therefore, it is interesting to compare the performance of both systems regarding this phenomenon. To test the performance of each controller against model uncertainties affecting system stability, we replace the parameters of the system used in the DFIG by the following equation:

\[
R_{l_i} = 0.8R_n, L_{l_i} = 1.8L_n
\]
5. CONCLUSION

This work presents a nonlinear power control of doubly-fed induction generator integrated in the Wind System. First, the model of the doubly fed induction generator under the park referential is presented. Secondly, a control strategy using sliding mode and backstepping is shown. The simulation results demonstrate the performance of the controllers. It is clear that the disadvantage of sliding mode is the chattering phenomenon that generates the harmonics and helps to stress the mechanical part, while the problem of the backstepping is not robust against the machine variation parameters. All this leads us to think of other improvements such as moving to a higher order sliding mode to eliminate the chattering phenomenon and the adaptive backstepping control to make the system robust, or to hybridize the two techniques of control.

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