Critical initial-slip scaling for the noisy complex Ginzburg–Landau equation

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Abstract

We employ the perturbative fieldtheoretic renormalization group method to investigate the universal critical behavior near the continuous non-equilibrium phase transition in the complex Ginzburg–Landau equation with additive white noise. This stochastic partial differential describes a remarkably wide range of physical systems: coupled nonlinear oscillators subject to external noise near a Hopf bifurcation instability; spontaneous structure formation in non-equilibrium systems, e.g., in cyclically competing populations; and driven-dissipative Bose–Einstein condensation, realized in open systems on the interface of quantum optics and many-body physics, such as cold atomic gases and exciton-polaritons in pumped semiconductor quantum wells in optical cavities. Our starting point is a noisy, dissipative Gross–Pitaevski or nonlinear Schrödinger equation, or equivalently purely relaxational kinetics originating from a complex-valued Landau–Ginzburg functional, which generalizes the standard equilibrium model A critical dynamics of a non-conserved complex order parameter field. We study the universal critical behavior of this system in the early stages of its relaxation from a Gaussian-weighted fully randomized initial state. In this critical aging regime, time translation invariance is broken, and the dynamics is characterized by the stationary static and dynamic critical exponents, as well as an independent ‘initial-slip’ exponent. We show that to first order in the dimensional expansion about the upper critical dimension, this initial-slip exponent in the complex Ginzburg–Landau equation is identical to its equilibrium model A counterpart. We furthermore employ the renormalization group flow equations as well as construct a suitable complex spherical model extension to argue that this conclusion likely remains true to all orders in the perturbation expansion.
Keywords: critical aging, non-equilibrium relaxation, complex Ginzburg–Landau equation, driven-dissipative Bose–Einstein condensation, renormalization group

1. Introduction

Physical systems display characteristic singularities when their thermodynamic parameters approach a critical point. The ensuing singular behavior of various observables are governed by a considerable degree of universality: critical exponents and amplitude ratios are broadly independent of the microscopic details of the respective systems. The emergence of scale invariance, associated thermodynamic singularities, and universality near continuous phase transitions is theoretically understood and described by the renormalization group (RG), which also allows a systematic computation of critical exponents and associated scaling functions (see, e.g., [1–4]). These concepts and theoretical tools can be extended to dynamical critical behavior near equilibrium, which may similarly be grouped into various dynamical universality classes. In addition to global order parameter symmetries, the absence or presence of conservation laws for the order parameter and its coupling to other slow conserved modes crucially distinguish dynamical critical properties [5–8]. More recently, dynamical RG methods have been utilized to characterize various continuous phase transitions far from thermal equilibrium as well [8, 9], including the associated universal short-time or ‘initial-slip’ relaxation features and ‘aging’ scaling [10]. Yet a complete classification of non-equilibrium critical points remains an open task.

Our study is in part motivated by recent experimental realizations of systems with strong light–matter coupling and a large number of degrees of freedom, which hold the potential of developing into laboratories for non-equilibrium statistical mechanics, and specifically for phase transitions among distinct non-equilibrium stationary states [11]. We mention a few but significant examples: in ensembles of ultra-cold atoms, Bose–Einstein condensates placed in optical cavities have allowed experimenters to achieve strong light–matter coupling and led to the realization of open Dicke models [12, 13]. The corresponding phase transition has been studied in real time, including the determination of an associated critical exponent [14]. Other platforms, which hold the promise of being developed into true many-body systems by scaling up the number of presently available building blocks in the near future, are arrays of microcavities [15–18] and also certain optomechanical setups [19–21]. Genuine many-body ensembles in this latter class have been realized in pumped semiconductor quantum wells placed inside optical cavities [22]. Here, non-equilibrium Bose–Einstein condensation of exciton-polaritons has been achieved [23–25], where the effective bosonic degrees of freedom result from the strong hybridization of cavity light and excitonic matter states [11, 26, 27].

Two essential ingredients are shared among these non-equilibrium systems [28]. First, they are strongly driven by external fields and undergo a series of internal relaxation processes [11]. The irreversible non-equilibrium drive and accompanying balancing dissipation complement the reversible Hamiltonian dynamics, and generate both coherent and dissipative dynamics on an equal footing, albeit originating from physically quite independent mechanisms. The additional irreversible terms cause manifest violations of the detailed-balance conditions characteristic of many-body systems in thermal equilibrium, and induce the break-down of the equilibrium Einstein relations that connect the relaxation coefficients with the thermal noise strengths. Second, the particle number in these systems is not conserved due to the coupling of the electromagnetic field to the matter constituents, thus opening strong loss channels for the effective hybridized light–matter degrees of freedom. The
resulting quasi-particle losses must be compensated by continuous pumping in order to reach stable non-equilibrium stationary states.

Interestingly, detailed-balance violations generically turn out to be irrelevant for purely relaxational critical dynamics of a non-conserved order parameter in the vicinity of continuous phase transitions, which are hence characterized by the equilibrium model A universality class [29]. Yet in systems that undergo driven-dissipative Bose–Einstein condensation, an additional independent critical exponent associated with the non-equilibrium drive emerges, which describes universal decoherence at large length- and time scales; it was originally identified by means of a functional RG approach [30, 31], and subsequently computed within the perturbative RG framework [28]. This novel decoherence exponent should be observable in the momentum- and frequency-resolved single-particle response that may, e.g., be probed in homodyne detection of exciton-polaritons [32].

In $d > 2$ dimensions, the effective dynamical description or driven-dissipative Bose condensates utilizes a stochastic Gross–Pitaevskii equation with complex coefficients [30, 31], or, equivalently, a complex time-dependent Ginzburg–Landau equation that generalizes the equilibrium ‘model A’ relaxational kinetics [28]. The latter also features very prominently in the mathematical description of spontaneous spatio-temporal pattern formation in driven non-equilibrium systems [33, 34], and appears, for example, in the stochastic population dynamics for three cyclically competing species (May–Leonard model without particle number conservation) and related spatially extended evolutionary game theory systems [35]. Its critical properties have previously been investigated in the context of coupled driven nonlinear oscillators that undergo a continuous synchronization transition at a Hopf bifurcation instability [36]. Closely related mathematical models also describe stochastic wave turbulence in Langmuir plasmas [37]. Intriguingly, however, two-dimensional driven-dissipative Bose–Einstein condensation appears to be captured by an anisotropic variant of the Kardar–Parisi–Zhang stochastic partial differential equation [38].

An alternative and powerful method to extract dynamical critical exponents and identify dynamical universality classes proceeds through the analysis of non-equilibrium relaxation processes and the ensuing critical aging scaling [10, 39]. To this end, one prepares the system initially in a fully disordered state with vanishing order parameter, and studies its subsequent relaxation towards equilibrium or stationarity. The initial preparation breaks time translation invariance; if the system is quenched near a critical point, the resulting critical slowing-down renders relaxation times huge, whence the transient non-stationary aging regime extends for very long time intervals, and this critical initial-slip regime is governed by universal power laws [39, 40]. In the case of a non-conserved order parameter or equilibrium model A in the terminology of Halperin and Hohenberg [5], this process is in fact characterized by an independent critical initial-slip exponent $\theta$ and an associated universal scaling function with a single non-universal scale factor [39]. We remark that the original perturbative RG treatment for the critical universal short-time dynamics and aging scaling has only just been extended towards a non-perturbative numerical analysis [41].

In contrast to model A relaxational kinetics, for dynamical critical systems with a conserved order parameter, the aging scaling regime is entirely governed by the long-time asymptotic stationary dynamical scaling exponents [39]; this is true also for models that incorporate couplings to other slow conserved fields [42, 43]. Consequently, the initial-slip or aging scaling regime provides a convenient means to quantitatively characterize critical dynamics in numerical simulations (and presumably real experiments as well) during the system’s non-equilibrium relaxation phase [44]. Non-equilibrium critical relaxation and aging scaling has also been explored in driven systems that either display generic scale invariance, or are tuned at a continuous phase transition point. Prominent examples include the Kardar–
Parisi–Zhang equation for driven interfaces or growing surfaces [45–49], driven diffusive systems [46, 50], and reaction-diffusion or population dynamics models that display a transition to an absorbing state, e.g., in the contact process [51], and stochastic spatially extended Lotka–Volterra models for predator–prey competition, for which the emergence of aging scaling may serve as an early-time indicator for the predator species extinction [52].

Inspired by these significant findings, in this present work we address the question if the universal non-equilibrium relaxation processes in the critical complex time-dependent Ginzburg–Landau equation differ from the corresponding equilibrium dynamical model A? In order to attack this problem mathematically, we utilize the path integral representation of stochastic Langevin equations through a Janssen–De Dominicis functional [8, 53–55], as previously developed and analyzed in the critical stationary regime for driven-dissipative Bose–Einstein condensation in [28]. Following [39] for the initial-slip and aging scaling analysis of the relaxational models A and B in thermal equilibrium, we represent the randomized initial state through a Gaussian distribution for the complex-valued order parameter field. We then employ the perturbative field-theoretical RG approach [1–4], and specifically its extension to critical dynamics [6–8], to analyze the ensuing singularities and compute the critical exponents. Since the initial conditions at time \( t = 0 \) may be viewed as specifying sharp boundary conditions on the semi-infinite time sheet, one can borrow theoretical tools originally developed for the investigation for surface critical phenomena [56]. Near and below the upper critical dimension \( d_c = 4 \), the parameter \( \epsilon = 4 - d \) serves as the effective small expansion parameter for the ensuing perturbation series in terms of nonlinear fluctuation loops.

The bulk part of this paper is organized as follows: in the following section 2, we provide the mesoscopic dynamical model based on a stochastic Gross–Pitaevskii partial differential equation with complex coefficients that is motivated by experimental studies on driven-dissipative Bose–Einstein condensation [30, 31]. Equivalently, this non-equilibrium kinetics can be viewed as relaxational model A dynamics of a non-conserved complex order parameter field originating from a complex-valued Landau–Ginzburg functional [28]. Then, utilizing the harmonic Feynman diagram components, i.e., correlation and response propagators that are constructed from the linear part of the associated Janssen–De Dominicis response functional [39], we first discuss the system’s dynamics on the mean-field level, including the fluctuation–dissipation ratio [40]. Section 3 details our perturbative RG calculation to lowest non-trivial (one-loop) order in \( \epsilon \). Upon utilizing a additional renormalization constant for the order parameter field on the ‘initial-time sheet’, we obtain the scaling behavior of our model and determine the additional independent initial-slip critical exponent associated with a fully randomized initial state [39]. Through the extra renormalization constant acquired by the initial preparation that induces breaking of time translation invariance, we extract the initial-slip exponent which governs the universal short-time behavior as well as the non-equilibrium relaxation in the aging scaling regime. We then proceed to discuss the resulting two-loop and higher-order corrections through numerical solutions of the one-loop RG flow equations for the nonlinear coupling parameters [28] in section 4. In section 5, we construct a suitable complex spherical model A extension akin to [57] to provide an alternative demonstration for our main conclusion, namely that the critical aging scaling in the non-equilibrium complex Ginzburg–Landau equation is asymptotically governed by the equilibrium model A initial-slip exponent. We finally summarize our work in the concluding section 6. A brief appendix lists the fundamental momentum loop integrals evaluated by means of the dimensional regularization technique that are required for the perturbative RG calculations.
2. Model description and mean-field analysis

Following [28, 30, 31], we employ a noisy Gross–Pitaevskii equation with complex coefficients to capture the dynamics of a Bose–Einstein condensate subject to dissipative losses and compensating external drive:

\[ i \partial_t \psi(x, t) = \left[ -(A - iD) \nabla^2 - \mu + i \chi + (\lambda - i \kappa) |\psi(x, t)|^2 \right] \psi(x, t) + \zeta(x, t). \]  

(1)

Obviously, equation (1) coincides with the time-dependent complex Ginzburg–Landau equation, which has been prominently employed to describe pattern formation in nonequilibrium systems in the noise-free deterministic limit [33, 34]. The complex bosonic field \( \psi \) here represents the polariton degrees of freedom. The complex coefficients have clear physical meanings as well: \( \chi = (\gamma_p - \gamma_l) / 2 \) is the net gain, the balance of the incoherent pump rate \( \gamma_p \) and the local single-particle loss rate \( \gamma_l \). The positive parameters \( \lambda \) and \( \kappa \) represent the two-body loss and interaction strength, respectively; and \( A = 1/2m_{\text{eff}} \) relates to the quasi-particle effective mass. This stochastic partial differential equation is often not presented with an explicit diffusion coefficient \( D \), whereas a frequency-dependent pump term \( \sim \eta \partial_t \psi \) is added on its left-hand side [58, 59], whereupon equation (1) is recovered through dividing by \( 1 - i \eta \) on both sides, i.e., with \( D = A \eta \) and a subleading correction to the other coefficients, which are complex to begin with. Due to the freedom of normalizing the time derivative term as above in the equation of motion, this model accurately captures the physics close to the phase transition, since it describes the most general low-frequency dynamics in a systematic derivative expansion that incorporates all relevant coupling in dimensions \( d > 2 \) [28]. The complex Gaussian white noise term \( \zeta \) can be entirely characterized through its correlators

\[ \langle \zeta^*(x, t) \rangle = \langle \zeta(x, t) \rangle = 0, \]
\[ \langle \zeta^*(x, t) \zeta(x', t') \rangle = \gamma \delta(x - x') \delta(t - t'), \]
\[ \langle \zeta^*(x, t) \zeta^*(x', t') \rangle = \langle \zeta(x, t) \zeta(x', t') \rangle = 0. \]  

(2)

As mentioned above, the parameters \( A, D, \lambda, \) and \( \kappa \) should all be positive for physical stability. On the other hand, the coefficient \( \chi \) starts out negative initially and becomes positive as the system undergoes a continuous driven Bose–Einstein condensation transition, which results in a non-vanishing expectation value \( \langle \psi(x, t) \rangle \neq 0 \). The parameter \( \mu \), which can be considered as an effective chemical potential, needs to stay fixed as a requirement for stationarity. The Langevin equation (1) may be obtained from a microscopic description in terms of a quantum master equation upon employing canonical power counting in the vicinity of the critical point [30, 31, 60]. For analytical convenience, we introduce the following ratios to rewrite the Gross–Pitaevskii equation:

\[ r = -\frac{\chi}{D}, \quad r' = -\frac{\mu}{D}, \quad u' = \frac{6\kappa}{D}, \quad r_K = \frac{A}{D}, \quad r_U = \frac{\lambda}{\kappa}. \]  

(3)

Factoring out \( iD \) on the right-hand side of (1), and \( i \kappa \) in front of the nonlinear term, we arrive at the equivalent stochastic partial differential equation
\[
\partial_t \psi(x, t) = -D \left[ r + ir' - (1 + ir_K) \nabla^2 + \frac{\mu'}{6}(1 + ir_U)|\psi(x, t)|^2 \right] \psi(x, t) \\
+ \xi(x, t) = -D \frac{\delta \mathcal{H} [\psi]}{\delta \psi^s(x, t)} + \xi(x, t).
\]

(4)

The stochastic noise term \( \xi = -i \zeta \) can be characterized similarly as \( \zeta \) above. In the second line, we have written equation (4) in the form of purely relaxational kinetics with a non-Hermitean effective ‘pseudo-Hamiltonian’

\[
\mathcal{H} [\psi] = \int d^d x \left[ (r + ir')|\psi(x, t)|^2 + (1 + ir_K)|\nabla \psi(x, t)|^2 \\
+ \frac{\mu'}{12}(1 + ir_U)|\psi(x, t)|^4 \right].
\]

(5)

With the above assumptions, we can construct the equivalent dynamical Janssen–De Dominis response functional [53–55] of this driven-dissipative model by introducing a Martin–Siggia–Rose response field \( \tilde{\psi}(x, t) \) to average the stochastic noise \( \xi \) through a Gaussian integral; see, e.g., [8] for more detailed explanations:

\[
A[\tilde{\psi}, \psi] = \int d^d x \int dt \left\{ \tilde{\psi}^s(x, t)[\partial_t + D(r + ir' - (1 + ir_K) \nabla^2)] \psi(x, t) \\
+ \tilde{\psi}(x, t)[\partial_t + D(r - ir' - (1 - ir_K) \nabla^2)] \psi^s(x, t) \\
- \frac{\gamma}{2} |\tilde{\psi}(x, t)|^2 + \frac{\mu'}{6}(1 + ir_U) \tilde{\psi}^s(x, t)|\psi(x, t)|^2 \psi(x, t) \\
+ D \frac{\mu'}{6}(1 - ir_U) \tilde{\psi}^s(x, t)|\psi(x, t)|^2 \psi^s(x, t) \right\}.
\]

(6)

In addition to this bulk action [28], we must specify randomized initial configurations at the \( t = 0 \) time sheet from which the system relaxes. To this end, we assume a Gaussian weight for the initial order parameter field characterized by \( \langle \psi(x, 0) \rangle = a(x) \) at the initial time surface. In addition to taking averages with the bulk weight \( \exp(-A[\tilde{\psi}, \psi]) \), we then require averaging with the Gaussian probability distribution

\[
e^{-\mathcal{H}_c [\psi]} = \exp \left[ -\Delta \int d^d x \left| \psi(x, 0) - a(x) \right|^2 \right],
\]

(7)

which specifies an initial state with mean spatially varying order parameter \( a(x) \) and the correlations

\[
\langle [\psi(x, 0) - a(x)] [\psi^s(x', 0) - a^s(x')] \rangle = \Delta^{-1} \delta(x - x').
\]

(8)

We now set \( \psi(x, t < 0) = 0 \), whereupon the Gaussian part of the action (6) becomes

\[
A_0[\tilde{\psi}, \psi] = \int d^d x \int_0^\infty dt \left\{ \tilde{\psi}^s(x, t)[\partial_t + D(r + ir' - (1 + ir_K) \nabla^2)] \psi(x, t) \\
+ \tilde{\psi}(x, t)[\partial_t + D(r - ir' - (1 - ir_K) \nabla^2)] \psi^s(x, t) - \frac{\gamma}{2} |\tilde{\psi}(x, t)|^2 \right\}.
\]

(9)

We finally complement the action with external source terms \( J \) and \( \tilde{J} \) conjugate to both the \( \psi \) and \( \tilde{\psi} \) fields:
Hence, the ultimate generating functional of our model becomes
\[
\mathcal{Z}[\mathcal{J}, J] = \int \mathcal{D}[i\widetilde{\psi}] \int \mathcal{D}[\psi] \exp \left[ - (A[\widetilde{\psi}, \psi] + H[\psi] + A_J[\widetilde{\psi}, \psi]) \right].
\] (11)

We first analyze the mean-field theory for our model. By means of the Green’s function technique, we may directly solve the classical field equations for the Gaussian generating functional \( \mathcal{Z}_0[\mathcal{J}, J] \) to obtain the mean-field expressions for the expectation values \( \langle \psi(x, t) \rangle_0 \) and \( \langle \psi(x, t) \rangle_0 \):
Under RG scale transformations, the initial configuration distribution width \( \Delta \) is a relevant parameter, and one expects \( \Delta \to \infty \) under the RG flow \[39\]. If this asymptotic limit \( \Delta \to \infty \) is taken, the second term in \( C^D_{\phi \psi}(q, t, t') \) becomes eliminated, and we are left with only the Dirichlet correlator \[16\].

It is instructive to follow \[40\], and use the Gaussian response and correlation propagators to evaluate the fluctuation–dissipation ratio

\[
X(q; t > t', t') = k_B T \frac{\chi(q; t > t', t')}{dC^D_{\phi \psi}(q; t, t')/dt'}.
\]  

In thermal equilibrium, this ratio is required to be 1 according to Einstein’s relation. To this end, we require the dynamic susceptibility or response function

\[
\chi(q; t > t', t') = D(1 + i\lambda_k)G^D_{\phi \psi}(q; t, t'),
\]  

wherefrom we obtain the inverse fluctuation–dissipation ratio \( X(q; t > t', t')^{-1} \) in momentum space for our model

\[
X(q; t > t', t')^{-1} = \frac{\gamma}{4Dk_B T (r + q^2)(1 + i\lambda_k)} \left[ r + ir' + (1 + i\lambda_k)q^2 
+ (r - ir' + q^2 - i\lambda_k q^2)e^{-2D(r + q^2)t'} \right]
- \frac{r - ir' + (1 - i\lambda_k)q^2}{\Delta(1 + i\lambda_k)} e^{-2D(r + q^2)t'}.
\]  

In the asymptotic time limit \( t' \to \infty \), this expression reduces to

\[
\lim_{t' \to \infty} X(q; t > t', t')^{-1} = -\frac{\gamma}{4Dk_B T (r + q^2)(1 + i\lambda_k)} \left[ r + (1 + i\lambda_k)q^2 \right].
\]  

In order to satisfy the fluctuation–dissipation theorem as required for the system to relax towards thermal equilibrium at long times, one must thus demand the following relationships between the parameters in the modified Gross–Pitaevskii or complex time-dependent Ginzburg–Landau equation \(4\):

\[
r' = r_K r, \quad \gamma = 4Dk_B T.
\]  

In the critical regime \( r = r' = 0 \) and \( q^2 = 0 \), where the characteristic relaxation time scale \( t_c = [D(r + q^2)]^{-1} \) diverges, the fluctuation–dissipation ratio \(19\) will never reach the thermal equilibrium limit 1; in fact even with equilibrium parameters \(21\) it attains a fixed complex value at any time \( t' \),

\[
X(0; t > t', t') = \frac{1 + i\lambda_k}{2}.
\]  

In the asymptotic Dirichlet limit \( \Delta \to \infty \), the fluctuation–dissipation ratio becomes in real space
This result yields the corresponding equilibrium model A expression for $r_k = 0$ [40]. In the long-time limit $t, t' \to \infty$, with the time ratio $s = t'/t$ held fixed, we find near the critical point $r = 0$,

$$X_0(0; s = t'/t < 1)^{-1} = 1 + \frac{1 - ir_k}{1 + ir_k} \left( \frac{t - t'}{t + \frac{1 - ir_k}{1 + ir_k} t'} \right)^{d/2} \times \exp \left( -2Dt \left[ r - \frac{x^2}{4D^2(t - t')(1 + ir_k)^2(t + \frac{1 - ir_k}{1 + ir_k} t')} \right] \right).$$

(23)

This yields the corresponding equilibrium model A expression for $r_k = 0$ [40]. In the long-time limit $t, t' \to \infty$, with the time ratio $s = t'/t$ held fixed, we find near the critical point $r = 0$,

$$X_0(0; s = t'/t < 1)^{-1} = 1 + \frac{1 - ir_k}{1 + ir_k} \left( \frac{1 - s}{1 + \frac{1 - ir_k}{1 + ir_k} s} \right)^{d/2}.$$  

(24)

Thermal equilibrium is restored as $s \to 1$. However, for $s = 0$ the ratio (22) is reached: $X_0(0; 0)^{-1} = 1 + (1 - ir_k)/(1 + ir_k)$. This suggests a crossover between the time ratio regimes $s = 1$ and $s = 0$, which can be associated with the critical initial-slip exponent $\theta$. In the following section, we shall write down the associated general scaling laws, and explicitly calculate $\theta$ for our specific model by means of the perturbative dynamical RG to one-loop order, or first order in the dimensional expansion in $\epsilon = 4 - d$.

### 3. RG analysis to one-loop order

As established by Janssen, Schaub, and Schmittmann, the general scaling form in the initial-slip or critical aging regime $t' \ll t$ for the dynamical correlation function of the equilibrium model A for a non-conserved order parameter with purely relaxational kinetics reads

$$C(q; t, t' \to 0) = |q|^{-\nu - \eta} (t/t')^{\theta-1} \tilde{C}_0(q\xi, |q|^2Dt),$$

(25)

where $\xi \sim |q|^{\nu-\eta}$ denotes the diverging correlation length as the critical point at $\tau = 0$ is approached, with associated critical exponent $\nu; z$ indicates the dynamical critical exponent that describes critical slowing-down, while $\theta$ denotes the universal initial-slip exponent [39]. In thermal equilibrium, the fluctuation-dissipation theorem then yields the corresponding scaling form for the dynamic susceptibility:

$$\chi(q; t, t' \to 0) = D|q|^{-\nu-\eta} (t/t')^{\theta} \tilde{\chi}_0(q\xi, |q|^2Dt) \Theta(t).$$

(26)

For the driven-dissipative Gross–Pitaevskii equation or complex Ginzburg–Landau equation, the following more general scaling form applies for the dynamical response function [28]:

$$\chi(q; t, t' \to 0) = D|q|^{-\nu-\eta} (1 + ia|q|^{\nu-\eta})^{-1} (t/t')^{\theta} \times \tilde{\chi}_0(q\xi, |q|^2(1 + ia|q|^{\nu-\eta})Dt) \Theta(t).$$

(27)

Here, the universal correction-to-scaling exponent $\eta_c$ is induced by the external drive, and describes the ultimate disappearance of coherent quantum fluctuations at the critical point relative to the dissipative internal noise. To second order in the dimensional expansion, one obtains $\eta_c = -[4 \ln(4/3) - 1 + O(\epsilon)] \eta$. Similar additional terms apply to the dynamical correlation function (25), albeit in general with also modified Fisher exponent $\eta \to \eta'$ and initial-slip exponent $\theta \to \theta'$.
Yet both the non-perturbative and perturbative RG analysis (already to one-loop order) have established that this system eventually thermalizes in the critical regime, whereupon detailed balance becomes effectively restored. This thermalization, which requires that \( \Delta = n_f - r_K \to 0 \), implies the identities \( \eta' = \eta \) and also \( \theta' = \theta \). In addition, asymptotically in fact \( n_f = r_K \to 0 \) (as established by a two-loop perturbative RG calculation) and hence also \( r' \to 0 \), whereupon equation (4) turns into the equilibrium time-dependent Ginzburg–Landau equation with a non-conserved complex order parameter field. Thus the only infrared-stable RG fixed point for this stochastic dynamical system is in fact the equilibrium model A fixed point. Consequently the static and dynamic critical exponents \( \nu, \eta, \) and \( z \) all become identical to those for the two-component equilibrium model A \([28, 30, 31]\).

This leaves us with the explicit computation of the initial-slip or critical aging exponent \( \theta \) for our driven-dissipative system, for which we may closely follow the procedure in \([39]\). Hence we just sketch the essential points in this calculation. The first step is to list the basic components for the perturbation series and associated Feynman diagrams. The response and correlation propagators \((14)\) are already listed above, and are graphically represented by directed and non-directed lines, respectively. The nonlinear fluctuation terms \( \mu' \) in the Janssen–De Dominicis functional \((6)\) yield the four-point vertex

\[
\int \frac{d \omega}{2\pi} \frac{\Gamma^0_{\psi_\omega^* \psi_\omega^*}}{\omega^2 - \omega_0^2 + i \delta} = -D \frac{\mu'}{6} (1 + ir_\mu)
\]

and its complex conjugate. The randomized initial preparation of the system breaks time translation invariance, and induces one additional singularity that needs to be renormalized on the initial sheet in the temporal domain. Inspection of the ensuing Feynman graphs for the response propagator shows that it can generally be written as a convolution of its stationary counterpart and a one-particle reducible self-energy \( \Sigma' \), see figure 1; i.e.:

\[
\langle \psi(-q, t) \bar{\psi}(q, t') \rangle = \int_0^t \langle \psi(-q, t') \bar{\psi}(q, t') \rangle_{\text{stat}} \Sigma'(q, t') \, dt'.
\]
To first order in \( u' \), the only contribution to \( \Sigma' \) is the ‘Hartree loop’ shown in figure 2. In the asymptotic limit \( \Delta \to \infty \), it is to be evaluated with the Dirichlet correlator (16), which yields

\[
\Sigma'(q, t) = \delta(t) - \frac{2}{3} u'D(1 + \text{i}r_U)G^{\theta}_\psi(q, t) \int \frac{d^d k}{(2\pi)^d} c^*_\psi(k, t) c\psi(q-k, 0).
\]

(31)

It is crucial to note that as the loop closes onto itself at intermediate time \( t' \), the non-equilibrium component in the first term of equation (16) that contains \( r' \) and \( r_K \) disappears, and the Dirichlet propagator contributions are identical to those in equilibrium. After straightforward temporal Fourier transform, we obtain after integration with dimensional regularization (see appendix):

\[
\Sigma'(q, \omega) = 1 + \frac{\gamma u'(1 + \text{i}r_U)A_d}{6[(1 + \text{i}r_U)r + (1 + \text{i}r_K)q^2 + \text{i}\omega/D](d - 2)\varepsilon} \cdot \frac{1}{[3 + \text{i}r_U)r/2 + (1 + \text{i}r_K)q^2/2 + \text{i}\omega/2D]^{1-d/2}},
\]

where \( A_d = \Gamma(3 - d/2)/2^{d-1}\pi^d/2 \).

For the subsequent renormalization procedure, we set the normalization point to \( r = 0 \), \( q = 0 \), but \( \text{i}\omega/2D = \mu^2 \) outside the infrared-singular region, whence in minimal subtraction and with (21) and \( u = k_0Tu' \):

\[
\Sigma'(0, \omega)_{\text{NR}} = 1 + \frac{u(1 + \text{i}r_U)A_d \mu^{-\varepsilon}}{3\varepsilon}.
\]

(33)

Next we define the renormalization constant for the initial response field through \( \bar{\psi}(x, 0) = (Z_0Z_0)_{1/2}\bar{\psi}(x, 0) \), whence \( Z_0 \) absorbs the ultraviolet divergence in the renormalized self-energy: \( \Sigma'_R(q, \omega) = Z_0^{1/2}\Sigma'(q, \omega) \). Explicitly, we then find to one-loop order

\[
Z_0 = 1 - \frac{2u_R(1 + \text{i}r_{UR})}{3\varepsilon} + O(u_R^2),
\]

(34)

where \( u_R = Z_0uA_d\mu^{-\varepsilon} \) and \( n_R = Z_0n_r \) with \( Z_0 \) and \( Z_r \) determined in [28]. The associated Wilson’s flow function that enters the RG equation becomes

\[
\gamma_0(u_R) = \mu \partial_{u_R} \ln Z_0 = \frac{2}{3} u_R(1 + \text{i}r_{UR}) + O(u_R^2).
\]

(35)

As a final step, one resorts to a short-time expansion for the response field \( \bar{\psi}(x, t') = \bar{\psi}(t')\bar{\psi}(x, 0) + \cdots \), which through the RG flow translates into the asymptotic scaling \( \bar{\psi}(t') = (Dt')^{-\beta'\sigma} \bar{\psi}(t'/\xi^z) \) [39], where we identify

\[
\theta = \gamma_0(u^*)/2\varepsilon.
\]

(36)

Under the RG flow, as stated before, \( n_R \to 0 \) [28], and the nonlinear coupling \( u_R \) approaches an infrared-stable fixed point \( u^* = 3\varepsilon/5 + O(\varepsilon^2) \) in dimensions \( d < d_c = 4 \) (\( \varepsilon > 0 \)). Thus \( \gamma_0(u^*) = 2\varepsilon/5 + O(\varepsilon^2) \), and with the standard two-loop critical exponents for the equilibrium model A with two order parameter components [7, 8]

\[
\eta = \varepsilon^2/50 + O(\varepsilon^3), \quad z = 2 + [6\ln(4/3) - 1 + O(\varepsilon)]\eta,
\]

we at last obtain

\[
\theta = \varepsilon/10 + O(\varepsilon^2),
\]

(38)

precisely as for the two-component model A.
4. Effect of two-loop and higher-order fluctuation corrections

Higher-order loop corrections assuredly do not display the temporally local feature of the tadpole graph, figure 2; hence non-equilibrium contributions and phase-coherent interference terms from the dynamical correlation functions \( \Delta(1) \) cause deviations relative to the relaxation kinetics in the equilibrium model A. However, we know from the one-loop RG flow equations that asymptotically all non-equilibrium parameters flow to zero \([28]\). Any effects from the coherent quantum kinetics thus ultimately disappear at the critical point, which also applies to the critical aging scaling regime. Yet for some initial values of the running couplings, conceivably the RG flow might temporarily reach a transient metastable point in parameter space, with associated dynamic scaling properties distinct from those of the two-component model A.

In order to investigate this possibility, we consider the one-loop RG flow equations for the running counterparts of the nonlinear coupling \( u_R \) and the non-equilibrium parameter \( \Delta_R = u_R - \Delta_R \), as derived in \([28]\):

\[
\begin{align*}
\partial_l u(l) &= u(l) \left[ -\epsilon + \frac{5}{3} u(l) \left( \frac{\Delta(l)^2}{3[1 + r_k(l)^2]} u(l) + O(u(l)^2) \right) \right] \\
\partial_l \Delta(l) &= \Delta(l) \left[ 1 + \frac{2r_k(l)\Delta(l) + \Delta(l)^2}{1 + r_k(l)^2} \right] u(l) \left( \frac{1}{3} + O(u(l)^2) \right),
\end{align*}
\]

(39)
obtained from the characteristics $\mu \rightarrow \mu l$. Their ultimately stable equilibrium fixed point is $\Delta^* = 0$ and $\mu^* = 3e/5 + O(e^2)$. We solve the coupled system of nonlinear ordinary differential equations (39) numerically by means of a four-step Runge–Kutta method, for various initial values $u(t = 1)$ and $\Delta(t = 1)$.

For dimensional parameter $e = 1$, i.e., $d = 3$, the resulting RG flows of the coupling parameters $\Delta(t)$ and $u(t)$ are respectively shown in figures 3 and 4. We observe that the RG flow quite quickly runs into the asymptotic values $\Delta^* = 0$ and $\mu^* = 3/5$, which represents the equilibrium model A fixed point. No interesting transient metastable crossover region is discernible in these graphs for either parameter. This leads us to anticipate that the results from two- or higher-loop fluctuation corrections ultimately become identical to the corresponding ones for the two-component equilibrium model A [39], and no interesting distinct crossover region emerges.

5. Spherical model extension for the complex Ginzburg–Landau equation

Our goal in this section is to analyze the partition function $Z[h = 0]$ for an $n$-component extension of the complex Landau–Ginzburg pseudo-Hamiltonian in the spherical model limit $n \rightarrow \infty$, which can be directly generated from (5):

$$\tilde{H}[\psi] = \int d^4x \left[ (r + i r') \sum_{\alpha=1}^{n} |\psi^{\alpha}(x)|^2 + (1 + i r_K) \sum_{\alpha=1}^{n} |\nabla \psi^{\alpha}(x)|^2 + \frac{u'}{12} (1 + i r_K) \left( \sum_{\alpha} |\psi^{\alpha}(x)|^2 \right)^2 \right].$$

(40)

The corresponding spherical equilibrium model A has been investigated extensively in previous work, utilizing either a self-consistent decoupling method [39] or a Gaussian Hubbard–Stratonovich transformation to effectively ‘linearize’ the quartic nonlinear term in this Hamiltonian (see, e.g., [8, 10, 57]. These two approaches are equivalent, but we employ the latter to analyze our non-equilibrium system. To this end, we introduce an auxiliary field $\Psi(x)$, through which the Gaussian Hubbard–Stratonovich transformation can be performed

$$\int d(\Psi) e^{-(1 + i r_K) \left[ \sum_{\alpha} |\psi^{\alpha}|^2 \right] - 3\Psi^{\dagger} \Psi} \propto e^{-\left( 1 + i r_K \left[ \sum_{\alpha} |\psi^{\alpha}|^2 \right] \right) / 12}.$$

(41)

Substituting this transformation as well as $r' = r_K r$, the augmented Hamiltonian becomes

$$\tilde{H}[\psi, \Psi] = \int d^4x \left[ (1 + i r_K) [r + \Psi(x)] \sum_{\alpha} |\psi^{\alpha}(x)|^2 + (1 + i r_K) \sum_{\alpha} |\nabla \psi^{\alpha}(x)|^2 - \frac{3}{u'} (1 + i r_K) \Psi(x)^2 \right].$$

(42)

At this point the original order parameter fields can be integrated out, and one arrives at

$$Z[h = 0] \propto \int \mathcal{D}[\Psi]\exp \left[ 3(1 + i r_K) \Psi(x)^2 - n \ln \left( \frac{1 + i r_K G_{\psi}(x, x')^{-1}}{2\pi} \right) \right],$$

(43)

with the inverse Green’s function

$$G_{\psi}(x, x')^{-1} = [r + \Psi(x) - \nabla^2] \delta(x - x').$$

(44)
and its Fourier transform in momentum space

\[
G_q(q, q') = (r + q^2)(2\pi)^d\delta(q + q') + \Psi(q + q').
\] (45)

Now recall that the RG fixed point for the nonlinear coupling is \(u^* \propto \epsilon/(n + 2)\); thus, for large \(n\), resetting the nonlinear coupling as \(u = u'/n\) will render the parameter \(u'\) independent of the number of components \(n\) as \(n \to \infty\). This yields the partition function

\[
\Phi[\Psi] = -\frac{3(1 + ir\nu)}{u'} \int d^d x \Psi^4 + \text{Tr} \ln \left(1 + ir_k G_q(x, x')^{-1}\right).
\] (46)

In the spherical model limit \(n \to \infty\), the steepest-descent approximation will become exact, that is we need to seek the solution of the classical field equation \(\delta \Phi[\Psi]/\Psi(x) = 0\). For simplicity, we assume a homogeneous solution \(\Psi(x) = \Psi\), whence the stationarity condition yields a self-consistent equation for \(\Psi\):

\[
\Psi = \frac{u'}{6(1 + ir\nu)} \int \frac{d^dq}{(2\pi)^d} \frac{1}{r + \Psi + q^2}.
\] (47)

This result looks precisely like its equilibrium spherical model A counterpart, aside from the overall complex prefactor \(1 + ir\nu\). Specifically, the integral is just the bare correlation function \(C_q(x = 0)\) with a shifted temperature parameter \(r \to r + \Psi\). Yet previous work [28] established that asymptotically \(n\) \(\to 0\) at the stable RG fixed point; therefore, one obtains the static critical exponents of the equilibrium spherical model A: \(\eta = 0\) and \(\gamma = 2\nu = 2/(d - 2)\) for \(d < d_c = 4\). Furthermore, the analysis for the dynamics of this non-equilibrium system will also be essentially identical as for the equilibrium spherical model [8, 10], and results in the dynamical critical and initial-slip exponents

\[
z = 2, \quad \theta = (4 - d)/4,
\] (48)

both coinciding with the equilibrium spherical model A values.

The above analysis of the spherical model extension for the time-dependent complex Ginzburg–Landau equation of course holds to all orders in a perturbative expansion. The fact that the spherical model limit too recovers the equilibrium values for all critical exponents of this system further supports our conclusion in the previous section 4 that higher-order fluctuation corrections to the critical initial-slip exponent for our driven non-equilibrium kinetics must be identical to those of model A in thermal equilibrium.

6. Conclusion and outlook

We have investigated the driven-dissipative non-equilibrium critical dynamics of a non-conserved complex order parameter field. Specifically, we have addressed the situation where the system experiences a sudden change in its parameters that quenches it from a random initial configuration into the critical regime. We have mainly focused on the initial-slip critical exponent \(\theta\) which governs the universal short-time behavior during the transient non-equilibrium relaxation period before the asymptotic long-time stationary regime is reached. We have employed the perturbative fieldtheoretical RG method to calculate the value of \(\theta\) to first order in the dimensional \(\epsilon\) expansion. Our explicit result turns out identical to that for the equilibrium dynamical model A [39]. Quantum coherence effects do not modify this universal scaling exponent owing to the temporal locality of the one-loop Feynman diagram, or equivalently the fact that the phase term in the correlation propagator is annihilated rendering
the results identical to those for the equilibrium system without drive. Rather than analytically calculating the complicated higher-order loop corrections, we have invoked the one-loop RG flow equations [28] as well as a suitable spherical model extension, constructed along the lines of [57], to argue that the above conclusion likely remains true to all orders in the perturbation expansion.

In the future, we intend to study this and related stochastic dynamical systems by means of direct numerical integration. Comparing the resulting data with our analytical theory should further aid our quantitative understanding of the dynamical critical properties of driven-dissipative quantum systems that experience parameter quenches, and hence take us another step closer towards the ultimate goal of obtaining a complete and systematic classification of non-equilibrium dynamical criticality.

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Appendix. Dimensional regularization, Feynman parametrization

In order to arrive at a small expansion parameter for the perturbational analysis in our field-theoretic RG approach, we need to consider non-integer spatial dimensions close and below the upper critical dimension $d_c = 4$. We may consider these non-integer dimensionalities as an analytical continuation of integer ones by means of dimensional regularization. The fluctuation loop integrals in momentum space associated with the Feynman diagrams are typically of the following form (see, e.g., [8]):

$$I_d^{(\sigma,s)}(T) = \int \frac{d^d k}{(2\pi)^d} \frac{k^{2\sigma}}{(\tau + k^2)^s} = \frac{\Gamma(\sigma + d/2)\Gamma(s - \sigma - d/2)}{2^{d/2}\Gamma(d/2)\Gamma(s)} \tau^{\sigma - s + d/2}. \quad (A.1)$$

Integrals with different denominators can be reduced to this form through Feynman’s parametrization:

$$\frac{1}{A'B'} = \frac{\Gamma(r + s)}{\Gamma(r)\Gamma(s)} \int_0^1 \frac{x^{r-1}(1-x)^{s-1}}{[xA + (1-x)B]^{r+s}} \, dx. \quad (A.2)$$

These expressions are widely used to evaluate the momentum loop integrals in section 2. Euler’s gamma function provides the appropriate interpolation for non-integer dimensions.

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