Effects of Longitudinal Asymmetry in Heavy-Ion Collisions

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In collisions of identical nuclei at a given impact parameter, the number of nucleons participating in the overlap region of each nucleus can be unequal due to nuclear density fluctuations. The asymmetry due to the unequal number of participating nucleons, referred to as longitudinal asymmetry, causes a shift in the center of mass rapidity of the participant zone. The information of the event asymmetry allows us to isolate and study the effect of longitudinal asymmetry on rapidity distribution of final state particles. In a Monte Carlo Glauber model the average rapidity-shift is found to be almost linearly related to the asymmetry. Using toy models, as well as Monte Carlo data for Pb–Pb collisions at 2.76 TeV generated with HIJING, two different versions of AMPT and DPMJET models, we demonstrate that the effect of asymmetry on final state rapidity distribution can be quantitatively related to the average rapidity shift via a third-order polynomial with a dominantly linear term. The coefficients of the polynomial are proportional to the rapidity shift with the dependence being sensitive to the details of the rapidity distribution. Experimental estimates of the spectator asymmetry through the measurement of spectator nucleons in a Zero Degree Calorimeter may hence be used to further constrain the initial conditions in ultra-relativistic heavy-ion collisions.

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I. INTRODUCTION

In collisions of heavy ions the geometrically overlapping region created by interacting nucleons from each nucleus is called the participant zone. Even at fixed impact parameter, the number of participating nucleons from each nucleus fluctuates around the mean due to fluctuations in the positions of the nucleons around the mean nuclear density profile. Event-by-event, the participant zone therefore has a net non-zero momentum in the nucleon-nucleon centre-of-mass (CM) frame, and hence its rapidity is shifted with respect to the CM frame, and is denoted by \( y_0 \) [1].

Experimental data and simulated data from different event generators show that the total produced particle multiplicity, measured over a wide phase space region, scales approximately with the number of participants \( N_{\text{part}} \). The observed \( N_{\text{part}} \) scaling indicates that the number of participants or wounded nucleons is a relevant parameter affecting the production and distribution of produced particles even at LHC energies. Fluctuations of the fireball shape in the longitudinal direction are expected to create nontrivial rapidity correlations, as explicitly demonstrated using the wounded nucleon model [2]. The different components of the fluctuating fireball shape suggested in [2], have been recently extracted from the measured two-particle rapidity correlations [9].

The distribution of charged particles averaged over a large number of events in collisions of identical nuclei is observed to be symmetric about the rapidity of the nucleon-nucleon CM frame. The observed forward backward asymmetry in collisions of non-identical nuclei d–Au [6] and p–Pb [7] has been argued to be due to shift of the rapidity of the participant zone. Unequal number of participants in a collision of two identical nuclei produces a shift of the participant zone. This shift can be observed by measuring asymmetry in the energy of the Zero Degree Calorimeters on either side of the interaction vertex, even though its estimate in central collisions is marred by large relative fluctuations; the measurement of shift may facilitate to separate effect of fluctuations on various observables [8]. Preliminary results of the ALICE collaboration show a difference between pseudorapidity distribution of charged particles in Pb–Pb collision events of different asymmetries, as estimated from the measurement of spectator neutrons in the neutron Zero Degree Calorimeters [9]. Simulations based on a fluid dynamical framework demonstrate the effect of longitudinal fluctuations on the azimuthal anisotropy coefficients and their rapidity dependence; a significant decrease in the values of \( v_1(y) \), and a wide plateau like behaviour for \( v_2(y) \), both near midrapidity, has been estimated due to the event-by-event fluctuations affecting the rapidity of the participant zone, the latter being a conserved quantity [10]. The similar transverse-momentum dependence of the rapidity-even directed flow and the corresponding estimate from two-particle correlations at mid-rapidity indicate a weak correlation between fluctuating participant and spectator symmetry planes and suggest the possibility of using the spectator nucleons to further determine and constrain the effect of initial conditions [11]. This possibility has recently been further explored by model studies using AMPT [12].

It has been argued that the vorticity arising due to ini-
tial state angular momentum may survive the evolution process in a low viscosity state of quark-gluon plasma and may manifest in the rapidity dependence of directed flow, \( v_1(y) \), however its observability is affected by initial state fluctuations necessitating the requirement to determine the event-by-event centre of mass [13]. The need for determination of the event-by-event centre of mass rapidity is also highlighted by the possible observation of \( \Delta \)-polarisation in the CM frame, which may confirm attainment of local thermodynamical equilibrium and persistence of vorticity until freezeout of the expanding matter [14, 15]. The observation of \( \Delta \)-polarisation at the Relativistic Heavy Ion Collider requires that the models describing the evolution of a heavy-ion collisions incorporate the effect of large vorticity, thereby providing a complete characterisation of the system necessary to understand the dynamics of quarks and gluons in extreme conditions [16].

In the present work, we investigate the possible effect of the net (non-zero) momentum of the participant zone on experimentally measurable distributions of produced particles by exploring possible correlations between the participant asymmetry and the distribution of particles in the kinematic phase space. Events of the same net-momentum can be selected by classifying events on the basis of measured asymmetry in spectators for any centrality. The rapidity distribution of events of any asymmetry class is studied relative to corresponding distributions of another asymmetry class. The method has the advantage that most experimental uncertainties and corrections affecting the single particle distributions are cancelled. All variables used to develop this analysis can be estimated experimentally.

The paper is organized as follows. The rapidity-shift of the participant zone due to asymmetry of the event is discussed in Section [I]. The effect of a rapidity-shift is estimated using a toy model on a Gaussian rapidity distribution and is discussed in Section [III]. Section [IV] discusses the effect on various charged particle rapidity distributions for variable rapidity-shifts as calculated using Glauber model. The results of the present work are summarized in Section [V].

## II. RAPIDITY SHIFT OF PARTICIPANT ZONE

If the number of nucleons participating from the two colliding nuclei is \( A \) and \( B \), respectively, then the participant zone has a net momentum in the nucleon-nucleon CM frame. The net momentum corresponds to a shift in the rapidity of the participant zone, which can be approximated as

\[
y_0 \cong \frac{1}{2} \ln \frac{A}{B} \tag{1}
\]

Assuming each of the \( A \) (\( B \)) nucleons has a fixed momentum \( p \) (\( -p \), Eq. 1 is obtained using the sum of four-momentum vectors \( (0, 0, Ap, AE) \) and \( (0, 0, -Bp, BE) \), with \( E^2 = m_0^2 + p^2 \), and neglecting \( m_0 \ll p \). Since at the LHC in the TeV scale, \( m_0/p < 10^{-6} \), we replace the \( \gg \) sign by the equality sign hitherto.

Defining the asymmetry of participants for each event as \( \alpha_{\text{part}} = \frac{A-B}{A+B} \), the rapidity-shift \( y_0 \) can be written as

\[
y_0 = \frac{1}{2} \ln \frac{1 + \alpha_{\text{part}}}{1 - \alpha_{\text{part}}} \tag{2}
\]

and has a unique correspondence with \( \alpha_{\text{part}} \). For small \( \alpha_{\text{part}} \), the shift follows \( y_0 \approx \alpha_{\text{part}} \). The unequal number of nucleons in the participant zone imply unequal number of spectators of the two colliding nuclei, \( N − A \) and \( N − B \), respectively, where \( N \) is the total number of nucleons in each nucleus. The spectator asymmetry

\[
\alpha_{\text{spec}} = \frac{(N−A)−(N−B)}{2N−(A+B)} = \frac{B−A}{2−N(A+B)}
\]

is related to the participant asymmetry via \( \alpha_{\text{spec}} = −\alpha_{\text{part}} \frac{A+B}{2N(A+B)} \). Finally, the rapidity shift \( y_0 \) is related to the spectator asymmetry as

\[
y_0 = \frac{1}{2} \ln \frac{(A + B)(1 + \alpha_{\text{spec}}) - 2N\alpha_{\text{spec}}}{(A + B)(1 - \alpha_{\text{spec}}) + 2N\alpha_{\text{spec}}} \tag{3}
\]

which is accessible to experiment. Unlike the unique correspondence between \( \alpha_{\text{part}} \) and \( y_0 \), the presence of the \( (A + B) \) term in Eq. 3 leads to a distribution of \( y_0 \) for a given value of \( \alpha_{\text{spec}} \), even at fixed impact parameter or centrality.

The rapidity-shift \( y_0 \) (Eq. 1) as well as its dependence on \( \alpha_{\text{part}} \) (Eq. 2) and \( \alpha_{\text{spec}} \) (Eq. 3) can be calculated within a Monte Carlo Glauber (MCG) framework [17], as implemented in Refs. [18] [19] or in Ref. [20]. In the present work, we have generated 1.2 million minimum bias events of Pb–Pb collisions at \( \sqrt{s_{NN}} = 2.76 \) TeV using HIJING (v1.383) [20] with default settings. The generated impact parameter \( \langle b \rangle \) is used to define the event centrality. Limits on \( b \) used for 5%-wide centrality intervals with corresponding \( \langle N_{\text{part}} \rangle \) are provided in Tab. I.

The mean number of participants provide an estimate of

| Centrality | \( b_{\text{min}} \) (fm) | \( b_{\text{max}} \) (fm) | \( \langle N_{\text{part}} \rangle \) | \( |y_0| \) |
|------------|-----------------|-----------------|-----------------|--------|
| 0–5%       | 0.0             | 3.61            | 383.9           | 0.0144 |
| 5–10%      | 3.61            | 5.12            | 330.0           | 0.0263 |
| 10–15%     | 5.12            | 6.27            | 280.2           | 0.0352 |
| 15–20%     | 6.27            | 7.24            | 236.5           | 0.0431 |
| 20–25%     | 7.24            | 8.09            | 198.5           | 0.0512 |
| 25–30%     | 8.09            | 8.86            | 165.5           | 0.0589 |
| 30–35%     | 8.86            | 9.57            | 136.5           | 0.0678 |
| 35–40%     | 9.57            | 10.23           | 110.2           | 0.0777 |
| 40–45%     | 10.23           | 10.85           | 88.6            | 0.0887 |
| 45–50%     | 10.85           | 11.43           | 70.2            | 0.1012 |
| 50–55%     | 11.43           | 11.99           | 54.6            | 0.1155 |
| 55–60%     | 11.99           | 12.52           | 41.6            | 0.1326 |
| 60–65%     | 12.52           | 13.03           | 31.0            | 0.1528 |
| 65–70%     | 13.03           | 13.52           | 22.5            | 0.1764 |

TABLE I: Centrality classes defined by impact parameter as well as corresponding \( \langle N_{\text{part}} \rangle \) and \( \langle |y_0| \rangle \) values.
the order of magnitude of the rapidity-shift. Assuming that the number of nucleons from each of the two nuclei fluctuate by their root mean square while retaining the total number to be equal to $N_{\text{part}}$, the resulting value of rapidity shift for the most central class of events would be $\approx 0.05$. In practice, events in any centrality class will have a distribution peaked at zero. The distributions of $y_0$, $\alpha_{\text{part}}$ and $\alpha_{\text{spec}}$ calculated with the HIJING MCG are shown in Fig. 1 for three centrality classes along with a Gaussian fit for each. The width of the $y_0$ distribution increases with decreasing centrality, i.e. for larger impact parameters the relative fluctuations increase since the number of participants decreases. Events can be classified according to their rapidity-shift: $y_0 < 0$ are events of negative asymmetry (-asym) and $y_0 > 0$ are events of positive asymmetry (+asym). For each centrality class, the mean value of $\langle y_0 \rangle$ is also reported in Tab. I. The increase of $\langle y_0 \rangle$ with decreasing collision centrality is in agreement with results obtained earlier [1]. The $\alpha_{\text{part}}$ distributions are nearly identical to the $y_0$ distributions, while the $\alpha_{\text{spec}}$ distributions are different. The widths of the $\alpha_{\text{spec}}$ distributions increase with increasing centrality, i.e. the relative fluctuations increase with decreasing number of spectator nucleons.

Figure 2 displays event-by-event distributions of $y_0$ versus $\alpha_{\text{part}}$ and $\alpha_{\text{spec}}$, respectively, obtained for the 20–25% Pb–Pb centrality class with the HIJING MCG. The unique correspondence between $y_0$ and $\alpha_{\text{part}}$ is illustrated in the left panel of Fig. 2. The lack of a unique relation between $y_0$ and $\alpha_{\text{spec}}$ due to the presence of the $(A + B)$ term in Eq. 3 leads to a distribution of $y_0$ for a given value of $\alpha_{\text{spec}}$, even at fixed impact parameter or centrality, as illustrated in the right panel of Fig. 2. It can be regarded as the response matrix to obtain the values of $\langle y_0 \rangle$ for a given range of $\alpha_{\text{spec}}$. The mean values $\langle y_0 \rangle$ as a function of the $\alpha_{\text{spec}}$ asymmetry are shown in Fig. 3 for three different centralities for 0–5%, 20–25% and 40–45% Pb–Pb centrality classes calculated with the HIJING MCG.

If the experiments could measure the number of nucleons in the participant zone, $A$ and $B$, the participant-zone rapidity shift $y_0$ could be determined for each collision. However, neither $A$ and $B$, nor $\alpha_{\text{part}}$ is directly amenable to experimental measurement. The asymmetry $\alpha_{\text{spec}}$ can be estimated by measuring the number of spectator nucleons through their energy deposited in the zero degree calorimeters on either side of the interaction vertex in collider experiments [8]. Using unfolding methods and the estimated values of $\alpha_{\text{spec}}$, one can obtain an estimate of $\langle y_0 \rangle$, e.g. by using the response matrix in the right panel of Fig. 2. Almost all estimates of $y_0$ based on Glauber like models will show similar results even if there are differences in details. The ALICE experiment has determined a response matrix using information on
the number of neutrons in the spectator and also using the energy deposited in the Zero Degree Calorimeter, where a Glauber Monte Carlo model has been tuned to reproduce the energy distributions in neutron Zero Degree Calorimeters [21].

III. CONSTANT RAPIDITY SHIFT AND GAUSSIAN CHARGED PARTICLE RAPIDITY DISTRIBUTION

The measured rapidity distribution of charged particles produced in collisions of identical nuclei can be described by distributions which are symmetric about the CM rapidity [2, 3]. A Gaussian form is amongst the more common distributions used to describe data. We assume that the particles produced in asymmetric collisions of identical nuclei are also distributed symmetrically in the CM frame of the participant zone. Considering that the rapidity of the participant zone is shifted by \( y_0 \) from the rapidity of the nucleon-nucleon CM system, a symmetric distribution in the participant zone will appear as a shifted distribution in the nucleon-nucleon CM frame, which is also the laboratory frame for most collider experiments. For fixed \( y_0 \), the rapidity distributions of produced particles can be written as a Gaussian distribution of width \( \sigma \).

\[
\frac{dN}{dy} = N_0 \exp\left(-\frac{(y - y_0)^2}{2\sigma^2}\right). \tag{4}
\]

For symmetric collisions \( y_0 = 0 \), while for longitudinally asymmetric collisions \( y_0 \) is finite. The positive and negative values of \( y_0 \) correspond to the net momentum of the participant zone in the positive and negative direction, respectively, causing positive and negative participant \((\alpha_{\text{part}})\) asymmetries, respectively. Taking the ratio of single particle rapidity distributions of different asymmetry classes will eliminate the uncertainties arising due to experimental corrections and fluctuations affecting event-by-event distribution. The ratio of the rapidity distribution of particles in collisions with positive asymmetry to the distribution in collisions of negative asymmetry yields

\[
\frac{\frac{dN}{dy}^{+\text{asym}}}{\frac{dN}{dy}^{-\text{asym}}} = \exp\left(\frac{4y y_0}{\sigma^2}\right) = \sum_{n=0}^{\infty} c_n^g(y_0, \sigma)y^n, \tag{5}
\]

where \( c_n^g = (4y_0/\sigma^2)^n/n! \) are the coefficients of the Taylor expansion of the exponential function, and the superscript \( g \) of the coefficients stands for the Gaussian shape of the parent rapidity distribution. The coefficients depend upon the parameters of the parent rapidity distribution and on the rapidity shift \( y_0 \). These parameters are effectively fixed by selecting events on the basis of centrality and asymmetry. For typical values of \( y_0 \) equal to 0.1 and \( \sigma \) equal to 3.6, the ratio \( c_1^g/\sigma_1 \sim 0.015 \) and the ratio \( c_3^g/\sigma_1^3 \sim 0.00015 \) with subsequent terms having negligible contribution to the values of the function describing the ratio of the two rapidity distributions. Hence, for a Gaussian rapidity distribution, where the relation between the shift of the participant-zone rapidity and the coefficients \( c_n \) are analytically known, the dominant con-
distribution can be expected for the linear term. The linear term is related to the rapidity-shift via \( y_0 = \frac{c_1 \sigma^2}{4} \).

FIG. 5: The dependences of \( c_1^0 \), \( c_2^0 \) and \( c_3^0 \) on \( y_0 \) for fixed \( \sigma = 3.6 \) obtained by the toy model. Dashed lines correspond to analytical functions of the coefficients from Eq. [5].

These results have been validated using a toy model simulation by generating Gaussian rapidity distributions which are shifted by a constant magnitude. The top panel of Fig. 4 shows two rapidity distributions for \( \sigma = 3.6 \) shifted by \( y_0 = \pm 0.1 \). The unshifted distribution would obviously lie in between the two distributions and is not drawn. The bottom panel of Fig. 4 shows the ratio of \( \frac{dN}{dy} \) distributions for events with \( y_0 = 0.1 \) to those with \( y_0 = -0.1 \), and the ratio is fitted to a third-order polynomial. The coefficient of the linear term is dominant with the other coefficients smaller by 2 and 4 orders of magnitude, respectively, as expected from Eq. [3]. The same calculation is repeated for different values of the shift \( y_0 \) to obtain the coefficients of the third-order polynomial fit as function of \( y_0 \), as shown in Fig. 4. The dependence of the coefficients on the rapidity-shift known from Eq. [3] is indicated with dashed lines, and agrees very well with the numerical calculation. The figure demonstrates that the dominant contribution to the \( \frac{dN}{dy} \) ratio arises from the first coefficient, which is linearly related to the rapidity shift \( y_0 \).

IV. VARIABLE SHIFT AND VARIOUS CHARGED PARTICLE RAPIDITY DISTRIBUTIONS

In the previous section we discussed the relation of coefficients of the third-order polynomial fit to the ratio of \( \frac{dN}{dy} \) distributions, assuming that the \( \frac{dN}{dy} \) distributions are Gaussian in nature, and that all events have the same value of \( y_0 \). In the following, the values of \( y_0 \) for different events are chosen according to the distribution of \( y_0 \) from the HIJING MCG, as shown for a few centrality classes in the left panel of Fig. 1. As before, the rapidity distributions of particles \( \frac{dN}{dy} \) are generated using a toy simulation taking into account event-by-event the rapidity-shift \( y_0 \).

In addition to a Gaussian shape for the rapidity distribution, inspired by the possibility of a double Woods-Saxon distribution [22], or a Woods-Saxon-like distribution [2], we also consider that the rapidities of produced particles can be described by a Woods-Saxon distribution.

\[
\frac{dN}{dy} = N_0 \frac{1}{1 + \exp \left( \frac{|y-y_0|}{\sigma} - \frac{y}{c} \right)}
\]

where \( y_0 = 0 \) for symmetric events, positive for events with positive asymmetry, and negative for events with negative asymmetry. Taking the ratio of the \( \frac{dN}{dy} \) for events of opposite asymmetry, and making a Taylor expansion about \( y = 0 \) yields a polynomial in \( y \), which can be written as

\[
\left( \frac{dN}{dy} \right)_{+\text{asym}} = \sum_{n=0}^{\infty} c_n^{ws} (y_0, a, c) y^n.
\]

The coefficients \( c_n^{ws} \) depend on the shift in rapidity and the parameters of the Woods-Saxon distribution in a non-trivial way. For a given set of parameters, however, the dependence on the rapidity-shift \( y_0 \) can be computed numerically.

We investigate the systematic effect of the rapidity-shift on the coefficients characterising the ratio of Gaussian and Woods-Saxon rapidity distributions for different asymmetry classes. Using the parameterized form of experimental \( \eta \) and \( p_T \) distributions in conjunction with the relative yield of pions, kaons and protons [3] [23], toy-model simulations provide the rapidity distribution. This resulting \( \frac{dN}{dy} \) distribution is fitted once to a Gaussian form and then to a Woods-Saxon form to obtain the values of the parameters. Using these values of the parameters and the \( y_0 \) distribution obtained from HIJING events (Fig. 1), the rapidity distributions are obtained separately for positive and negative values of \( y_0 \) corresponding to different centrality classes. The ratio of the two distributions is fitted to a third order polynomial as shown in Fig. 4 for four centrality classes. In each case, the \( \chi^2/dof \) are the smallest in the most central class and are about 0.64 and 0.83 for Gaussian and Woods-Saxon rapidity distribution.

To investigate the possible contribution originating from the dynamics of the particle production mechanism, or in general, of any final state effects, the charged-particle rapidity distribution obtained from some commonly used event generators have also been used. We have generated about 1.2 million events of HIJING and about 1 million events each of both versions of AMPT (Default and String Melting) [21], and of DPMJET [25] for Pb-Pb collisions at \( \sqrt{s_{NN}} = 2.76 \) TeV. The rapidity shift \( y_0 \) is determined for each generated event from
the number of participating nucleons from each of the two colliding nuclei. The average rapidity distributions corresponding to positive and negative values of $y_0$ are obtained. The ratio of these two rapidity distributions is fitted to a third order polynomial to obtain the values of the coefficients. The ratios and the fits are shown in Fig. 6 along with the results for toy model simulations for parametrised rapidity distributions. The differences in the parent rapidity distributions manifest themselves in the ratios and the values of the coefficients in the polynomial.

For all rapidity distributions, the coefficients for the quadratic and cubic terms in the polynomial fitting of the ratio are much smaller than those of the linear term. The dependence of the first three coefficients $c_1$, $c_2$, and $c_3$ on the mean rapidity shift $\langle |y_0| \rangle$ is shown in Fig. 7. The six different rapidity distributions yield different dependence of the coefficients on $\langle |y_0| \rangle$, indicating a possibility of determining the details of the rapidity distribution from the knowledge of the behaviour of the coefficients.

V. SUMMARY

In collisions of identical nuclei at a given impact parameter, the number of nucleons participating in the overlap region of each nucleus, estimated with a Monte Carlo Glauber model, can be unequal due to nuclear den-
The effect on the ratio of rapidity distributions for positive and negative asymmetry has been systematically studied for Gaussian and Woods-Saxon particle rapidity distributions, using a toy model simulation and taking the distribution of $y_0$ from Fig. 1. The ratios and the polynomial fits are shown in Fig. 6 (a) and (b). The possible effect of dynamics of particle production is investigated using the ratio of rapidity distributions for positive and negative asymmetries from different event generators. The ratios and the fitted polynomials for rapidity distributions obtained from HIJING, AMPT and DPMJET are shown in Fig. 2 (c) to (f) for four centrality classes. The ratios are sensitive to the detailed shape of the parent rapidity distribution (Fig. 6), and can be quantitatively described by a third-order polynomial with a dominantly linear term (Fig. 7). The relation between coefficients and the rapidity shift confirm that the effect of initial state longitudinal asymmetry survives through the particle production process and the subsequent evolution to the observed final state. Experimentally, estimates of the longitudinal asymmetry via measurements of the spectator asymmetry can be used to systematically investigate the influence of the longitudinal asymmetry on various observables, and hence may further constrain the initial conditions in ultra-relativistic heavy ion collisions. Recent results from the ALICE collaboration confirm that the longitudinal fluctuations affect the pseudorapidity distributions; the effect finds a simple explanation in terms of the rapidity shift of the participant zone, and shows a sensitivity to the shape of the rapidity distribution [21]. As indicated in Sec. 1 determination of an event-by-event CM may be necessary for a complete description of the evolution of heavy-ion collisions, to separate the effects of initial state fluctuations from the dynamical evolution. Further attempts to devise methods for the determination of the CM of the participant zone in each event, using experimentally measurable quantities, is under investigation.

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