Changing the Mind of Transformers for Topically-Controllable Language Generation

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Abstract

Large Transformer-based language models can aid human authors by suggesting plausible continuations of text written so far. However, current interactive writing assistants do not allow authors to guide text generation in desired topical directions. To address this limitation, we design a framework that displays multiple candidate upcoming topics, of which a user can select a subset to guide the generation. Our framework consists of two components: (1) a method that produces a set of candidate topics by predicting the centers of word clusters in the possible continuations, and (2) a text generation model whose output adheres to the chosen topics. The training of both components is self-supervised, using only unlabeled text. Our experiments demonstrate that our topic options are better than those of standard clustering approaches, and our framework often generates fluent sentences related to the chosen topics, as judged by automated metrics and crowdsourced workers.

1 Introduction

Recently, Transformer-based language models (LMs) have achieved impressive performance in language generation tasks (Radford et al., 2019; Dai et al., 2019) such as open-domain story generation (See et al., 2019a). When writing with the LM, users often desire an intuitive and effective way to control what a LM is going to generate (Keskar et al., 2019). To address this need, interactive writing assistants provide options to reveal possible continuations of the story and generate continuations guided by the user-selected options.

Interactive writing assistants have wide applications in creative writing (Roemmele and Gordon, 2015; Clark et al., 2018; Akoury et al., 2020), education (Luo et al., 2015), and gaming (Walton, 2020). Nevertheless, the existing systems’ options usually do not provide fine-grained control and/or require substantial human labor. In some prior work (Keskar et al., 2019; Tu et al., 2019), users choose among a static set of predefined attributes (e.g., sentiment) that only provide coarse-grained control. Other work (Roemmele and Gordon, 2015; Clark et al., 2018) presents users with multiple generated continuations, which requires substantial reading effort and might not contain topics that users want to see. Finally, options could be nodes in a plot graph that are handcrafted (Luo et al., 2015) or derived from a collaboration between humans and machine (Li et al., 2013), but such choices are usually limited due to the high cost of preparing the options.

To address these limitations, we propose an interactive writing framework that provides a set of topics and guides the text generation by the user-chosen topics. The topic options are generated dynamically based on the input prompt to pro-
provide fine-grained control, and our models are self-supervised without the need to define the attributes or collect annotations. As depicted in Figure 1, a user can peek at the most probable \( K \) topics (shown as bags of words) appearing after the input prompt and control the generation by choosing the topics.

In Figure 2, we compare multiple generated sentences conditioned on different chosen topic(s) or specified word(s). For example, if the user chooses a topic about humanity, life, and spirituality, our system continues the input prompt “Barack Obama writes a new book” with “on spirituality and the roles of religion in society”. Then, we can use the generated text as the new input prompt and update the set of topics to include other more relevant topics such as God, Christ, and eternal. The process can be repeated to create a plot tree.

A user can also control the generation by specifying word(s) if the user wants to see the words that are not in the topic list or seeks a transition to a word that is not directly related to the input prompt. For example, a user can ask our system to generate a sentence about zombie. Consequently, the continuation of “Barack Obama writes a new book” becomes “about the United States entitled I Don’t Care...You Bet I’m a Zombie”.

The system is realized by two components: an option generator and a conditional text generator. Given a prompt, the option generator suggests a set of \( K \) topics. After a user chooses a subset of the topics and specifies some words, the embedding of every word or topic will guide the conditional text generator to produce the continuation that is both consistent with the existing prompt and relevant to the chosen topics and words.

Both components are self-supervised and use pretrained GPT2 models (Radford et al., 2019) to encode the input prompt. During training, the option generator predicts the cluster centers of future words, which are in the continuation of the prompt, based on the contextualized embeddings from GPT2. The conditional text generator fine-tunes GPT2 to predict the next words given the prompt and a few subsequent words. Since both components’ input and output only come from the prompt and its continuation, training the system only requires a raw corpus, word tokenizers, and a list of stop words. This makes the proposed method suitable for open-domain story generation and easily being fine-tuned for a specific domain.

In experiments, we demonstrate that our system recommends high-quality topics and often generate sentences that follow the chosen topics. We compare our option generator with global topic models such as LDA (Blei et al., 2001) or local topic models such as clustering the words in the input prompt. The results show that the proposed method generates significantly more topics that are plausible and promote the narrative. Moreover, we compare our conditional text generator with PPLM (Plug and Play Language Models) (Dathathri et al., 2020) and demonstrate that our generation is more fluent and relevant to the chosen topics. Our code is available at https://github.com/iesl/interactive_LM.

2 Method

The proposed framework consists of two components: option generator and conditional text generator. In Figure 3, we illustrate the two components and their interaction. First, given the prompt \( x_1, ..., x_I \) inputted by a user, the option generator at the bottom of the figure outputs \( K \) topics. After the user chooses two topics about book and election and specifies one extra word story, the topics
and word are passed to our text generator as the generation guidance. Accordingly, the generator continues to write the next token \( \hat{y}_1 \).

In the following subsections, we introduce our model designs and the way to train each component. More implementation details are described in Appendix B.

### 2.1 Option Generator

When we do not have labeled attributes in a corpus, we can create options by clustering all the words in a corpus into topics (Tu et al., 2019). The clustering could be done by topic modeling approaches such as LDA (Blei et al., 2001). The resulting topics are static (i.e., the clustering is performed globally without considering the prompt). However, the prompt might have a narrow focus and the related words of interest are all clustered into a single topic.

A simple remedy is to cluster only the words in the prompt rather than all the words in the corpus. The topics are created dynamically and locally given a prompt and can capture more fine-grained aspects in the continuations. However, the topics derived from the prompt might provide less inspiration because the users have seen the prompt. Another major drawback of the approach is that the generated topics might encourage the LM to generate repetitive sentences or make a narrative circle inside a loop.

Motivated by the challenges, we propose an option generator that predicts the cluster centers based on the prompt instead of clustering the words in the prompt during testing.

#### 2.1.1 Model Prediction

The goal of our option generator is to predict the \( K \) cluster centers of words in the possible continuations and use the cluster centers as the topics user could choose from. As in Figure 3 (b), the option generator uses GPT2 to encode the input prompt \( x_1, \ldots, x_I \) and passes the output embedding to \( K \) different linear layers \( L_1, \ldots, L_K \). To model the dependency of clusters, a Transformer (Vaswani et al., 2017) takes the \( K \) embeddings as input and predicts the cluster centers \( z_1, \ldots, z_K \) in GloVe (Pennington et al., 2014) space. During testing, each predicted cluster center is normalized by its L2 norm, and we use the \( M \) closest words in the normalized GloVe space to represent the topic \( T_i \), which users can choose.

We choose to learn the cluster centers in GloVe space rather than GPT2 or BERT (Devlin et al., 2019) space because the non-contextualized word embeddings are easier to visualize. Users can easily understand the meaning of a cluster center by seeing nearby words. We normalize GloVe space in this work to make the squared L2 distance equal to twice the cosine distance between two embeddings.

Our architecture is similar to the one in Chang et al. (2021), but we use a pretrained GPT2 encoder rather than train a BERT-like Transformer from scratch. Another difference is that we ignore the connection between the second Transformer and the output of GPT2 to save GPU memory for handling a longer input prompt.
2.1.2 Model Training

In Figure 4 (b), we visualize our training procedure. For each input prompt in the training corpus, we run a forward pass through the Transformers and get predicted cluster centers $c_1, \ldots, c_K$. Next, we collect 50 words in the continuation (except stop words) as positive examples and match the words with cluster centers as in the E-step of the EM algorithm (Dempster et al., 1977). We minimize the distances between the centers and their nearby positive examples by backpropagating the gradients through the matching and updating our Transformer models. Furthermore, we randomly sample some words as negative examples and maximize the distances between the centers and their nearby embeddings from negative examples.

Using Figure 4 (b) as an example, the orange cluster center is pulled closer toward the embedding of 2008, which appears in the continuation. The green cluster center is pushed away from the embedding of north, a randomly sampled word. Since each output embedding $c_k$ is pulled by only the nearby embeddings of words in the continuation, the output embedding will naturally become the cluster center of the nearby continuation word embeddings. Notice that the related topics like Democrats and Republicans are not observed in the prompt and continuation, but our model can predict a red cluster center close to them because the model can learn from other similar input prompts whose continuation mentions words like Democrats.

Chang et al. (2021) discover that non-negative sparse coding (NNSC) (Hoyer, 2002) could encourage the Transformers to predict more diverse and relevant topics compared with Kmeans, so we adopt NNSC as our clustering loss, and its formulation could be found in Chang et al. (2021).

2.2 Conditional Text Generator

After the user chooses topic(s) or specifies word(s), each topic or word is converted to a GloVe embedding. The component aims to generate the text given the input prompt and the GloVe embeddings of the topics or words we prefer to see in the continuation.

Users only see the $M$ words closest to the $k$th predicted cluster center $c_k$ from our option generator, so we compute the $k$th topic embedding as

$$ t_k = \frac{\sum_{m=1}^{M} \cos(e_m^w, c_k) e_m^w}{\| \sum_{m=1}^{M} \cos(e_m^w, c_k) e_m^w \|}, $$

(1)

where $e_m^w$ is the normalized GloVe embedding of the $m$th closest word and $\cos(e_m^w, c_k)$ is the cosine similarities between the $m$th word embedding and the embedding $c_k$. 

Figure 4: Training our two components using the same sentence. (a) We randomly pick $n = 3$ words in the actual continuation as our conditions for the text generator, and the null labels mean their predicted probabilities are ignored in our loss. (b) We visualize 5 out of $K = 10$ generated topics in a normalized GloVe space. Red words are the ones that appear in the continuation and pull the nearby cluster centers closer during training.
2.2.1 Model Prediction
During testing, the topic embeddings \( t_k \) or embedding of the specified words are inserted into GPT2 encoder before \( x_I \), the last word piece in the prompt. The inserted embeddings nudge the GPT2 to generate the sentences containing the desired words with a higher probability.

As Figure 3 (a) shows, the GloVe embeddings are first passed through a linear layer to make their dimension become the same as the hidden state size of GPT2. Then, the transformed embeddings are added with special positional embeddings \( p^f_I \), which are different from those for the prompt \( p^w_i \). The special positional embedding tells GPT2 that the inserted embeddings have a different meaning and where the conditional generation starts.

The GPT2 encoder’s output goes through a softmax layer, which computes the probability of each token being observed as the first word piece in the continuation \( y_1 \). We adopt top-k sampling (Fan et al., 2018), which reduces the chance of sampling words with low probability, to pick the next word, and autoregressively sample one token \( \hat{y}_o \) at a time to generate the continuation \( \hat{y}_1, \ldots, \hat{y}_O \).

2.2.2 Model Training
We train the generator using the continuation of a prompt and some randomly selected non-stop words in the continuation as its generation conditions. Since the continuation contains the randomly-selected words, the generator would be heavily penalized if it ignores the conditions by assigning low probabilities to the selected words in all the continuation positions.

An example is illustrated in Figure 4 (a). Given an input prompt in the training set, we randomly pick a number \( n \) from 0 to \( K \) and sample \( n \) words from the next \( O = 25 \) words (except stop words). Next, the normalized GloVe embeddings of \( n \) words are inserted to the GPT2 encoder before the last word piece in the prompt, and we ignore the output probabilities corresponding to the inserted positions during training. To speed up the training, we conduct the future word insertion in multiple positions of each training text sequence.

We insert the future words just before the text that might contain the words rather than at the beginning as in the classic seq2seq model, because we do not want the model to learn to generate the continuation based on the future topics that have not yet be specified by the users (e.g., The GPT2 should not know that it will see election in the future when it learns to generate Barack Obama ... during training).

By allowing the LM to see the upcoming words earlier, we leak partial label information to the LM input. Consequently, GPT2 learns to utilize the information and generate the sentence containing the desired words to achieve a lower perplexity loss. Notice that the training method allows us to specify our topical preference without significantly scarifying generation efficiency and fluency, but it cannot guarantee to generate all the desired topics, especially when we specify multiple ones.

One concern of the method is that the LM cannot see all possible sets of topics or words users might specify during training. Besides, each GloVe embedding used to supervise LM comes from a single word, but we ask the LM to condition on average GloVe embedding of the top \( M \) words during testing. Nevertheless, we observe that the LM is often able to generalize well in our experiments because similar words have similar GloVe embeddings, lots of training instances could be easily prepared by the self-supervised method, and our option generator usually provides the topics mentioned in the continuation in our training corpus.

3 Experiments
We evaluate two components separately, and both evaluations include automated metrics and human judgment. Throughout the evaluation, the number of topics \( K = 10 \) and the length of generations is 50 word pieces. We find that fixing \( K = 10 \) works well in our experiments. If the possible continuations cover more than 10 topics, our option generator tends to output the important topics. If they cover fewer topics, our option generator tends to output the related topics that are not explicitly mentioned in the prompt or the duplicated topics. More experiment setup details could be found in Appendix C.

3.1 Datasets
We use 90% of English Wikipedia 2016 as our training set for both components, 5% as our validation set to determine the hyperparameters such as the number of epochs, and the remaining 5% as our test set to perform the automated evaluation.

For human evaluation, we collect labels from Amazon Mechanical Turk (MTurk). We randomly sample sentences from the training set of STS benchmark (STSb) (Cer et al., 2017) as our input
prompts. Compared with Wikipedia, the sentences from STSb are easier to understand for annotators because a large portion of sentences in Wikipedia involves terminologies, depends on a longer context, or might even just be a list of names.

In STSb, we sample 24 sentences as our prompts, and each method generates one continuation for each input prompt. Each generated continuation or topics will be scored by three different workers.

3.2 Option Generator Evaluation

We evaluate the topics from different option generators by judging whether the topics will appear in the continuation and whether the topics would promote the narrative. The goal is to have topics that are relevant and provide new information. The topics that are too similar to the prompt words might be redundant and not helpful because the users have already seen the prompt.

3.2.1 Automatic Evaluation Metrics

- **Sim**: If the generated topics $T$ can help users to write the continuation, the embedding of every non-stop word in the actual continuation should be similar to the embeddings of a generated topic. Thus, we compute

$$
\text{Sim}(\hat{Y}, T) = \sum_{o=1}^{O'} \sum_{k=1}^{K} \frac{\text{max}(\hat{t}_k)^T \text{emb}_{\bar{o}}}{\text{emb}_{\bar{o}}^T \text{emb}_{\bar{o}}},
$$

where $\hat{Y} = \{\bar{o}_o\}_{o=1}^{O'}$ is a set of non-stop words in the continuation and $O' = 25$. $\hat{t}_k$ is the normalized embedding of $k$th topic in $T$ from equation 1 and $\text{emb}_{\bar{o}}$ is the $o$th word in $\hat{Y}$.

- **Sim Short**: When computing Sim, we use the input prompts containing around 180 words on average. To examine the topic quality at the start of writing, where the authors might need assistance the most, we also report $\text{Sim}(\hat{Y}, T)$ on short input prompts (with 35 words on average).

- **Sim Diff**: The options that are helpful to users should be sufficiently different from the words in the input prompt to promote the narrative and avoid generating repeated content. Thereby, we also evaluate methods using $\text{Sim Diff} = \text{Sim}(\hat{Y}, T) - \text{Sim}(\hat{X}, T)$, where $\hat{X} = \{\bar{x}_i\}_{i=1}^{I'}$ are the non-stop words in the input prompt.

3.2.2 Human Evaluation

Our questionnaire shows the prompt and asks which generated topics are likely to appear in a reasonable continuation and which topics promote the narrative. For each method, we report the average number of its topics that are likely to appear (L), promote the topic (TP), and both (L&TP). For example, an MTurk worker is shown three topics generated by a method given a prompt: $ABC$. The worker thinks $A$ is likely to appear in the continuation and $AB$ promote the topic. Then, $L=|\{A\}|=1$, $TP=|\{AB\}|=2$, and $L&TP=|\{A\} \cap \{AB\}|=1$ for this prompt.

3.2.3 Option Generator Baselines

We compare our generator with two types of methods. The first type performs the clustering globally and selects the most relevant topics to the input prompt from the static set of clusters. We cluster all the words into $J = 150$ topics by LDA (Blei et al., 2001) (LDA-global) and into $J = 1000$ topics by Kmeans on the normalized GloVe embedding space (Tu et al., 2019) (Kmeans-global). We also randomly sample $K$ words from the whole vocabulary as our cluster centers (Sample-global).

Similar to equation 1, we find the $M$ words with the closest embeddings to each cluster center to represent the topic and compute the topic embedding $\hat{t}_j$ as the weighted average embedding of $M$ words in the $j$th topic. Among all $J$ cluster centers, we pick the $K$ topics with the closest $\hat{t}_j$ to the

| Scope | Method | Mean Score | Mean Sim Short | Mean Sim Diff |
|-------|--------|------------|----------------|---------------|
| Global | Sample | 36.86 | 36.02 | -2.82 |
| | LDA | 40.65 | 39.91 | -3.40 |
| | Kmeans | 47.94 | 43.89 | -16.12 |
| Local | Sample | 43.70 | 42.80 | -15.94 |
| | Kmeans | 47.94 | 43.89 | -16.12 |
| | Ours | 48.38 | 46.29 | 0.45 |

Table 1: Comparison of the option generators using automatic metrics. The best numbers within each scope are highlighted.

| Scope | Method | Mean L | Mean TP | Mean L&TP |
|-------|--------|--------|--------|---------|
| Global | LDA | 5.76 ± 0.50 | 6.24 ± 0.33 | 5.26 ± 0.31 |
| | Kmeans | 6.94 ± 0.36 | 6.13 ± 0.30 | 5.96 ± 0.31 |
| Local | Kmeans | 6.65 ± 0.16 | 5.31 ± 0.50 | 5.14 ± 0.50 |
| | Ours | 7.85 ± 0.25 | 6.96 ± 0.26 | 6.75 ± 0.28 |

Table 2: Comparison of option generators using human judgment (mean ± standard error). L and TP refer to likelihood and topic promotion, respectively.

Another alternative is to generate many continuations and cluster the words in the generation. However, the method takes time, which might be prohibited by limited computational resources and the real-time interaction requirement.
The study also found that skin cancer nearly tripled in Norway and Sweden since the 1950s. In this study, a study was conducted in Italy and in Finland. From the 1990s to the 1970s, there was a significant increase in skin cancer cases in these countries. Recent studies have shown that melanin causes a decrease in genetic susceptibility in people in Norway, as well as a decrease in the risk of developing skin cancer.

Table 3: Comparison of all $K$ topics for the input prompt using $M = 2$ words closest to each topic.

| Input Prompt | The study also found that skin cancer nearly tripled in Norway and Sweden since the 1950s. |
|-------------|--------------------------------------------------------------------------------------------|
|             | LDA-global                                                                  | Kmeans-local                  | Ours     |
| 1 population, households | 6 company, companies           | 1 Norway, Sweden          | 6 also, however |
| 2 patients, treatment    | 7 Norwegian, Norway            | 2 tripled, doubled    | 7 since, Since |
| 3 psychology, research  | 8 story, book                  | 3 nearly, almost         | 8 Sweden, Finland |
| 4 police, prison        | 9 hospital, Hospital           | 4 cancer, skin          | 9 studies, studies |
| 5 chemical, carbon      | 10 Icelandic, Iceland          | 5 1950s, 1940s         | 10 found, discovered|

Table 4: The continuations that are generated by conditioning on all of $K$ topics from different option generators. The input prompt comes from STSb.

| Input Prompt | The study also found that skin cancer nearly tripled in Norway and Sweden since the 1950s. |
|-------------|--------------------------------------------------------------------------------------------|
|             | LDA-global                                                                  | Kmeans-local                  | Ours     |
| LDA-global | Ours | A study of the Norwegian police has confirmed the cancer case. The law in Norway was the subject of the case. |
| Kmeans-local | Ours | In this study, a study was conducted in Italy and in Finland. From the 1990s to the 1970s, there was a significant increase in skin cancer cases in these countries. |
| None       | GPT2   | The study also revealed that only 20% of the deaths in Norway were caused by a sudden cardiac response. Recent studies have shown that melanin causes a decrease in genetic susceptibility in people in Norway. |
| Ours       | PPLM   | In this study, a study was conducted in Italy and in Finland. From the 1990s to the 1970s, there was a significant increase in skin cancer cases in these countries. Recent studies have shown that melanin causes a decrease in genetic susceptibility in people in Norway. |

The human evaluation results are presented in Table 2. Our method wins in terms of generating relevant topics that promote the narrative. The Kmeans-local performs better in L because most of the words in the input prompts could be mentioned again in the next sentence. However, it often leads to the redundant topics that are too similar to the prompt.

Table 3 compares the options generated by different methods while Table 4 compares the text generated using different option generators and text generators. More examples are presented in Appendix D. In Table 3, we can see that most topics in Kmeans-local do not promote the narrative, which makes the generated continuation become a copy of the input prompt in Table 4. We will quantitatively evaluate the generated continuations using different option generators in Appendix A. Notice that the high redundancy problem is hard to be solved by a conditional text generator because the relatedness between the prompt and the generated text is hard to be controlled (See et al., 2019b).

3.2.4 Results

In Table 1, we show that local methods generate the options more relevant to the input prompt than the global methods due to significantly higher Sim and Sim Short. Our method performs better compared to other local methods, especially in Sim Diff, which highlights the high novelty of our generated topics. The improvement on Sim Short is larger than that on Sim because our method could suggest the related topics that are not explicitly mentioned in the short prompt (e.g., U.S. in Figure 1).

The human evaluation results are presented in Table 2. Our method wins in terms of generating relevant topics that promote the narrative. The Kmeans-local performs better in L because most of the words in the input prompts could be mentioned again in the next sentence. However, it often leads to the redundant topics that are too similar to the prompt.

3.3 Conditional Text Generator Evaluation

To demonstrate our text generator’s effectiveness, we use our option generator to prepare the topic embeddings and randomly select $n$ topics as our conditions to simulate the user’s choice, where $n$ is a random number from 1 to $K$. The sentences generated by different methods are compared.

3.3.1 Automatic Evaluation Metrics

We match the union of $M \times K$ top words in the chosen topics with the words in the generated continuations and count the number of tokens that are matched exactly (token), the number of matched word types (word), and the number of topics that contain at least one matched word (topic) to measure the relevancy between the continuations and the chosen topics. Notice that the scores are underestimated because the generation might mention words in different morphological variations or other
words related to the topics. The fluency of the generated text is measured using the perplexity (Serban et al., 2016) of the original GPT2 (with 345M parameters) without being fine-tuned on Wikipedia. Dist-n (Li et al., 2016) is the ratio between the number of unique n-grams and the number of all n-grams in the continuations, where n=1 or 2. Higher Dist-n implies more diverse generations. The average inference time per input prompt is also presented.

### 3.3.2 Human Evaluation

We present the prompt and the generated continuation and ask the worker to score the generation’s fluency from 1 (not fluent at all) to 5 (very fluent). Next, we show K topics and ask which topics are mentioned in the generation. Treating the worker’s choices as prediction and the topics our model conditions on as ground truth, we report the average precision and recall of the prediction.

### 3.3.3 Conditional Text Generator Baselines

We compare our method with PPLM (Plug and Play Language Models) (Dathathri et al., 2020) due to its strong performance against the weighted decoding approach from Ghazvininejad et al. (2017) when the condition is a bag of words.

The condition for PPLM is the union of the top M words in the chosen topics and each word’s weight is neglected. We use our generation model without conditioning on any word (i.e., n = 0) during testing\(^3\) as the base model of PPLM. We also present the performance of the base model itself as a reference to know the significance of our improvement (denoted as GPT2).

### 3.3.4 Results

Table 5 indicates that our model outperforms PPLM in all metrics except in Dist-1 and Dist-2. We suspect that our model generates slightly less diverse sentences in order to make the generation more relevant to the given topics.

The generation might mention a topic even if it is not chosen as a condition, so we achieve similar precision compared to PPLM in human evaluation. The recall of PPLM means that only around 30% of given topics are mentioned. The low recall indicates the difficulty of mentioning multiple randomly selected topics in the next 50 word pieces while keeping the sentence fluent. By contrast, achieving 40% on recall demonstrates the effectiveness of our conditional text generator.

Compared with PPLM, our model requires an additional training step but achieves low inference time and high relevancy to the given topics/words once the training is finished. The benefits make it preferable in our interactive writing application.

| Text Generation Method | Automatic Metrics | Inference Time | Human Judgement |
|------------------------|------------------|----------------|-----------------|
|                        | Relevancy Hit    | Quality s()    | Recall         | Precision      | Fluency Score |
|                        | Token | Word | Topic | PPL (↓) | Dist-1 | Dist-2 | Time | Dist-1 | Dist-2 | Score |
| PPLM                   | 1.48  | 0.99 | 0.77  | 18.49 | 40.29 | 80.83 | 17.74 | 30.56 | 56.01 | 4.13 |
| Ours                   | 2.36  | 1.79 | 1.40  | 16.39 | 37.98 | 79.65 | 1.02  | 41.46 | 56.41 | 4.07 |
| GPT2                   | 1.27  | 0.84 | 0.64  | 14.24 | 39.80 | 80.22 | 1.00  | 24.49 | 48.69 | 4.15 |

\(^3\)We find the model performs similarly compared with the GPT2 with no condition during training.

\[\text{Table 5: Comparison of conditional text generators. The numbers in Dist-1, Dist-2, Recall, and Precision are percentages. Lower perplexity (PPL) and inference time are better. The better performances between PPLM and our method are highlighted. In human evaluation, we report the mean ± standard error of each method.}\]
the skeleton. The skeleton could be a sequence of SRL frames (Fan et al., 2019), a sequence of event structure (subject, verb, object, preposition, modifier) (Ammanabrolu et al., 2020), a story premise (Fan et al., 2018), or a story summary (Chen et al., 2019). Users can revise the skeleton to control the generated text, but the approaches assume the existence of the skeleton extractor or labels in the training corpus. Besides, the systems cannot suggest options given the partial text, which is one of the main focuses of our interactive writing assistant.

The skeleton could also be multiple keyphrases. The keyphrases are extracted based on word frequency (Ippolito et al., 2019; Tan et al., 2020; Wu et al., 2020), an off-the-shelf keyword extraction method (Peng et al., 2018; Goldfarb-Tarrant et al., 2019; Yao et al., 2019; Rashkin et al., 2020; Zhang et al., 2020), a sentence compression dataset and reinforcement learning (Xu et al., 2018), or image caption datasets and ConceptNet (Lin et al., 2020). Most of the studies focus on modeling the long-term dependency among the keyphrases and/or forcing the generation to contain the keyphrases. Instead, we focus on allowing users to determine the topical directions of the generation. Compared with conditioning on keyphrases, our interactive writing assistant is especially helpful when users do not know the exact phrases they want to see or when the given keyphrase extractor does not detect the desired topics.

5 Conclusion

We propose an interactive writing assistant that generates topic options given an input prompt and generates the continuation of the prompt given the topics chosen by a user. We decompose the framework into two components and propose a novel model for each component. The automated evaluation and human evaluation indicate that our system generates many topics that are related to but different from the prompt, and generates the sentences that are fluent and relevant to the chosen topics.

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| Scope   | Method | F     | NP     | A     |
|---------|--------|-------|--------|-------|
| Global  | LDA    | 3.07 ± 0.17 | 2.82 ± 0.16 | 3.06 ± 0.13 |
|         | Kmeans | 3.65 ± 0.13 | 3.42 ± 0.14 | 3.42 ± 0.12 |
| Local   | Kmeans | 3.71 ± 0.13 | 3.56 ± 0.15 | 3.39 ± 0.13 |
|         | Ours   | 3.85 ± 0.14 | 3.64 ± 0.15 | 3.67 ± 0.14 |

Table 6: Comparison of the continuations generated by different option generators using human judgment (mean ± standard error). F, NP, and A refer to fluency, narrative promotion, and overall, respectively.

## A Option Generator Comparison Using Generated Continuations

To see whether the proposed option generator improves the quality of the continuations, we use all of $K$ topics from different methods to guide our conditional text generator and compare their generated continuations. In addition to all the methods we described in Section 3.2.3, we also present the results of our text generator without conditioning on any topics (i.e., $n = 0$) as a reference and call the method None.

### A.1 Automatic Evaluation Metrics

- **BLEU**: For each generated text guided by the set of $K$ topics, we report BLEU-2 (Papineni et al., 2002) between the generation and the actual continuation containing $O = 25$ words. We adopt the smoothing method 3 in Chen and Cherry (2014) because there is sometimes no bigram overlapping between the predicted continuation and the actual continuation.

- **BLEU Diff**: Similar to Sim Diff, BLEU Diff is the BLEU score between the generation and the continuation minus the BLEU score between the generation and the input prompt.

- **Word Hit**: If the generated topics are not relevant to the input prompt, our conditional text generator might have difficulty in mentioning the related words in the continuation. We report how many unique word types representing $K$ topics are mentioned in the generated continuation.

- **Self-BLEU**: The metric computes the average pairwise BLEU scores of 3 generations (Zhu et al., 2018). Lower Self-BLEU implies the options encourage more diverse generations.

### A.2 Human Evaluation

We show the continuation guided by all topics and ask how fluent the sentence is (F), how helpful the sentence can promote the narrative (NP), and the overall quality of the generation (A). The worker...
Table 7: Comparison of the continuations generated by different option generators using automatic metrics. The values are percentages except in Word Hit. Higher numbers are better except in Self-BLEU. The best numbers within each scope are highlighted.

| Scope | Method | BLEU | BLEU Diff | Word Hit | Self-BLEU (\(\downarrow\)) | Dist-1 | Dist-2 |
|-------|--------|------|-----------|----------|-----------------------------|--------|--------|
| Global | Sample | 7.39 | 5.66 | 0.34 | 9.45 | 47.60 | 86.79 |
|       | LDA    | 7.19 | 4.87 | 2.01 | 13.06 | 36.02 | 78.73 |
|       | Kmeans | 7.12 | 4.65 | 1.30 | 12.23 | 36.62 | 81.49 |
| Local  | Sample | 8.38 | 2.71 | 2.93 | 18.03 | 35.76 | 77.00 |
|       | NNSC   | **8.44** | 3.24 | 2.94 | 17.20 | 35.43 | 76.71 |
|       | Kmeans | 8.32 | 3.06 | 2.96 | 16.97 | 35.39 | 77.10 |
|       | Ours   | 8.38 | 5.55 | 3.02 | **15.97** | **36.18** | **78.71** |
| NA    | None   | 8.50 | 5.59 | - | 13.17 | 39.69 | 80.17 |

can choose from 5 options, and 5 means very fluent, very helpful, and excellent, respectively.

A.3 Results

The automatic evaluation results are presented in Table 7. As expected, the options generated by the local methods lead to the continuations that are more similar to the actual continuation (i.e., higher BLEU score) compared to that generated by the global methods. Global topics encourage the generated text to be unrelated to the input prompt, so leading to more diverse sentences (i.e., lower Self-BLEU and higher Dist-1 and Dist-2).

Our method performs better in most metrics than the other local methods, especially in BLEU Diff, while achieving comparable BLEU, which means our generated options often result in the relevant and diverse continuations that are sufficiently different from the prompt. Furthermore, the human evaluation results in Table 6 show that our method outperforms other baselines in all metrics.

B Implementation Details

The training algorithm for our option generator could be seen in Algorithm 1. The algorithm is similar to the training method in Chang et al. (2021). For each non-stop word in the continuation \(\bar{y}_o\), we linearly combine all the cluster centers \(c_1, \ldots, c_K\) to reconstruct the word embedding of \(\bar{y}_o\). We only allow positive weights, \(a_1, \ldots, a_K \geq 0\), and incorporate L1 loss \(\sum_{k=1}^{K} a_k\) to encourage the weights of the irrelevant cluster centers to be 0, so the clustering method is called non-negative sparse coding (NNSC) (Hoyer, 2002). Estimating \(a_1, \ldots, a_K\) could be viewed as E-step, which matches the clusters and the word embedding in the continuation. In the M-step, we fix the estimated weights \(\hat{a}_1, \ldots, \hat{a}_K\) and use backpropagation to encourage the cluster centers to be closer to the embedding of \(\bar{y}_o\). To encourage the cluster centers to be context dependent, we also use the same EM optimization to push away the clusters centers from negative samples’ embeddings.

During training, the input prompt is tokenized into word pieces, and the actual continuation is tokenized into words. We run the byte pair encoding (Sennrich et al., 2016) to get word pieces required by GPT2 and run Spacy tokenizer\(^4\) to get words required by GloVe. The two tokenization results are aligned to collect the training examples.

When training our option generator, we sample a word piece sequence with length 512 as the input of the GPT2 encoder. We randomly select a number from 1 to 199 as the size of the first input prompt and the next prompt always contains 200 more word pieces than the previous one. Each continuation includes 50 words (not including stop words) after the corresponding prompt. In the same text sequence, the last output embedding in every prompt receives gradients together from a single backward pass. We initialize our encoder using distilled GPT2 (Sanh et al., 2019) to save GPU memory and the parameters are trained using SGD as in Chang et al. (2021).

When training our conditional text generator, the size of the input to the GPT2 encoder is 256. We randomly select 5 positions from the input sequence to insert the future words sampled from the continuation containing 25 words (after removing stop words). Although we insert future words into multiple positions to speed up the training, we insert the future words once (only before the end of the prompt) during testing. We initialize our encoder using the GPT2 with 117M parameters and train the parameters using AdamW (Loshchilov

\(^4\)spacy.io/)
Algorithm 1: Training procedure for our option generator (using batch size = 1)

**Input**: Training corpus, stop word list, pretrained GPT2 encoder, and pre-trained word embeddings.

**Output**: Neural option generator

Initialize our encoder using a pretrained GPT2 model and randomly initialize the other parameters.

foreach $x_1, ..., x_I$ in training corpus do

Run forward pass of our model given $x_1, ..., x_I$ to compute the cluster centers $c_1, ..., c_K$.

Collect the positive examples $\bar{y}_1, ..., \bar{y}_O$ (i.e., non-stop words after $x_I$) and their word embeddings $\bar{e}_{\bar{y}_o}$.

Collect the negative examples $\bar{y}'_1, ..., \bar{y}'_O$ (i.e., a randomly sampled continuation without stop words) and their word embeddings $\bar{e}_{\bar{y}'_o}$.

$L = 0$

foreach $\bar{y}_o$ in the positive example do

Estimate $\hat{a}_1, ..., \hat{a}_K = \arg\min_{0 \leq a_1, ..., a_K \leq 1} || \sum_{k=1}^{K} a_k c_k - \bar{e}_{\bar{y}_o} ||^2 + \lambda \sum_{k=1}^{K} a_k$ using RMSprop

$L = L + || \sum_{k=1}^{K} \hat{a}_k c_k - \bar{e}_{\bar{y}_o} ||^2$

end

foreach $\bar{y}'_o$ in the negative example do

Estimate $\hat{b}_1, ..., \hat{b}_K = \arg\min_{0 \leq b_1, ..., b_K \leq 1} || \sum_{k=1}^{K} b_k c_k - \bar{e}_{\bar{y}'_o} ||^2 + \lambda \sum_{k=1}^{K} b_k$ using RMSprop

$L = L - || \sum_{k=1}^{K} \hat{b}_k c_k - \bar{e}_{\bar{y}'_o} ||^2$

end

Update our neural model by backpropagation through cluster centers $c_1, ..., c_K$ to minimize $L$

end

and Hutter, 2019). Notice that we insert at most $K$ words before each position during training. Therefore, the number of specified words plus the number of chosen topics cannot be greater than $K$ during testing.

We use the cased version (840B) of GloVe embedding. The GloVe embedding in both components is fixed to allow the two components that are trained parallely to communicate during testing. To simplify our method, we train the two components separately and bridge the components using GloVe. Training separately also allows the language generator to use a larger model on a GPU with limited memory. We use a GTX TITAN X and train the option generator for around three weeks and train the conditional text generator for about five weeks.

C Experiment Details

We truncate the probabilities after the top 40 in top-k sampling (Fan et al., 2018). In all the experiments, we set $M = 5$ words to represent each topic, although the figures and tables use $M = 2$ or $M = 3$ due to the space limit. We set $K = 10$ because $K = 10$ seems to work well in Chang et al. (2021). Our Transformer decoder for option generation has 5 layers.

In the following subsections, we describe the details about our baselines, the automatic evaluation, and human evaluation.

C.1 Baselines

We adopt the default hyper-parameters of LDA in gensim. The cluster centers of Kmeans are optimized using random initialization and EM algorithm for at most 300 iterations. We use RM-

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5 If we want to let the text generator directly condition on the topics rather than words during training, we need to know what topics that are mentioned by the actual continuation and how often our option generator predicts the topics. Trying the achieve this will complicate the method, so we leave this direction as future work.

6 https://radimrehurek.com/gensim/models/ldamulticore.html

7 https://scikit-learn.org/stable/modules/generated/sklearn.cluster.KMeans.html
defense chiefs from Estonia, Latvia, Lithuania, Germany, Italy, Spain and Slovakia signed the agreement.

Ours

Kmeans-local

4 Sommer, Steffen 9 expressive, portrayal

The MSN Messenger 6 software will be available from 11 a.m. PST on Wednesday, according to Microsoft.

I have years of “Neener Neener” rights Usually I get pretty decent care.

Kmeans-local

2 song, lyrics 7 really, know

5 creatures, creature 10 Thailand, Malaysia

4 Smith, Thompson 9 election, ballot

1 learning, concepts 6 funded, nonprofit

2 configuration, interface 7 provide, available

4 applicant, stipulated 9 tax, taxes

Ours

Kmeans-local

worse than ours in automated evaluation.

topics.

condition on any word in the randomly sampled

out the input prompt in the test set if

gle word piece, so we need to remove the rare

PPLM and also try to apply

ditioning on a bag of words in its GitHub reposi-

NNSC for 2,000 iterations.

Sprop (Tieleman and Hinton, 2012) to optimize

NNSC for 2,000 iterations.

PPLM uses the default hyperparameters for conditioning on a bag of words in its GitHub repository. We try several different hyperparameters in PPLM and also try to apply PPLM to the original GPT2 with 117M parameters and to the GPT2 that is fine-tuned on Wikipedia. They produce similar relevancy and perplexity, which are significantly worse than ours in automated evaluation.

The code of PPLM can only condition on a single word piece, so we need to remove the rare words that contain multiple word pieces. We filter out the input prompt in the test set if PPLM cannot condition on any word in the randomly sampled topics.

C.2 Automated Evaluation

Similar to training, we first randomly sample a word piece sequence with a length of 512 in the testing set and call the sequence a paragraph. We randomly choose a number from 1 to 79 as the number of word pieces that the first input prompt include and append 80 more word pieces to create the next input prompt until all the word pieces in the paragraph are added to the prompt. When we compute Sim Short in Table 1, only the first input prompt in the paragraph is used, while all prompts are included when we compute Sim.

In every automatic evaluation, we sample 300 paragraphs. We do not train our model using <startoftext> or <endoftext> because a paragraph might not start with the beginning of the first sentence, and a paragraph might contain multiple Wikipedia pages. The maximal input size of our conditional text generator is 256, and it needs to generate 50 word pieces, so we only consider the

Table 8: Comparison of all K topics for the input prompts using M = 2 words closest to each topic.
| Input Prompt | Generated Text |
|--------------|----------------|
| defense chiefs from estonia, latvia, lithuania, germany, italy, spain and slovakia signed the agreement. | Generated Text |
| LDA-global Ours | After the talks, the League of Nations allowed the German Democratic Republic's representatives to negotiate the deal. |
| Kmeans-local Ours | These agreements were based on the agreement signed by the German king Frederick Barbarossa between 870 and 873 |
| Ours PPLM | For the period of five years in Lithuania were the chief ministers (procurator princeps or jegadvaris) and the chief |
| None GPT2 | (This treaty would come under Royal Decree 1282 on 8 September 1725.) On 9 December 1725, Russian armies entered |
| Ours Ours | These agreements were signed in 1756 by the sovereigns of Moldavia (Moorish) and the princely states of the Romanian |
| LDA-global Ours | The two Democrats on the five-member FCC panel held a news conference to sway opinion against Powell. |
| Kmeans-local Ours | The MSN Messenger 6 software will be available from 11 a.m. PST on Wednesday, according to Microsoft. |
| Ours PPLM | The MSN Messenger 8 software will be available from 9 a.m. PST on Thursday, according to Microsoft. The MSN |
| None GPT2 | On Tuesday January 22, 2016, Microsoft announced that the Internet Mail service, the Messenger Plus service, is going |
| Ours Ours | The Windows Messenger 6 web app now has a new web service for mobile devices to download the Windows product. |
| LDA-global Ours | Students that fail to meet state goals for three years in a row must offer tutoring in addition to transfers. |
| Kmeans-local Ours | Tuition on non-residential loans, and the availability of tutoring for at least three years, will be phased out before |
| Ours PPLM | The school also provides scholarships to students from the other districts who apply for the school to receive free or |
| None GPT2 | The program is an outgrowth of the Tisch School’s efforts to build the academic program required for graduate programs. |
| Ours Ours | Additionally, it must also provide a forum to discuss the learning needs of its students. California school districts a |
| LDA-global Ours | Declining issues outnumbered advancers slightly more than 3 to 1 on the New York Stock Exchange. |
| Kmeans-local Ours | New York Stock Exchange had been down for issues outnumbered 7 to 1 on the New York Exchange. Declining issues |
| Ours PPLM | On Tuesday January 22, 2016, Microsoft announced that the Internet Mail service, the Messenger Plus service, is going |
| None GPT2 | Microsoft plans to expand the coverage of MSN Messenger in the United States.. nbc.org; November 8, 2008. In its |
| Ours Ours | Students at the School of Business, Computer, and information science programs must complete their coursework in |
| LDA-global Ours | The police said it is likely that the heat wave is coming from the ocean around the park. There are a number of other |
| Kmeans-local Ours | The North American weather service said Europe’s heatwave was caused by a mass of hot, dry air moving from the |
| Ours PPLM | It was a record in the European part of the Western Hemisphere. At 2AM Eastern Europe will see two nights a week of |
| None GPT2 | On January 1, 2014, the station’s digital channel was shut down as digital television began broadcasting, ending |
| Ours Ours | The MSN Messenger 6 software will be available from 11 a.m. PST on Wednesday, according to Microsoft. |
| LDA-global Ours | He warns Tulku and Chinkua against spreading it because it is a secret operation by the Chinese and is a spy on the |
| Kmeans-local Ours | He and his former friend and fellow Democrat, James H. Jim White, were arrested on charges of corruption and child |
| Ours PPLM | She responded, The House has decided, ‘When the other candidates say, ‘Let Democrats take over the FCC.’ it’s kind of |
| None GPT2 | When questioned in the news, Powell stated The fact that she does not want to get a job with a group that includes me |
| Ours Ours | As a result, a Senate committee investigation by the Senate said that the Democratic party had been involved in the |
| LDA-global Ours | Students that fail to meet state goals for three years in a row must offer tutoring in addition to transfers. |
| Kmeans-local Ours | Tuition on non-residential loans, and the availability of tutoring for at least three years, will be phased out before |
| Ours PPLM | The school also provides scholarships to students from the other districts who apply for the school to receive free or |
| None GPT2 | The program is an outgrowth of the Tisch School’s efforts to build the academic program required for graduate programs. |
| Ours Ours | Additionally, it must also provide a forum to discuss the learning needs of its students. California school districts a |
| LDA-global Ours | Declining issues outnumbered advancers slightly more than 3 to 1 on the New York Stock Exchange. |
| Kmeans-local Ours | New York Stock Exchange had been down for issues outnumbered 7 to 1 on the New York Exchange. Declining issues |
| Ours PPLM | On Tuesday January 22, 2016, Microsoft announced that the Internet Mail service, the Messenger Plus service, is going |
| None GPT2 | Microsoft plans to expand the coverage of MSN Messenger in the United States.. nbc.org; November 8, 2008. In its |
| Ours Ours | Students at the School of Business, Computer, and information science programs must complete their coursework in |
| LDA-global Ours | The police said it is likely that the heat wave is coming from the ocean around the park. There are a number of other |
| Kmeans-local Ours | The North American weather service said Europe’s heatwave was caused by a mass of hot, dry air moving from the |
| Ours PPLM | It was a record in the European part of the Western Hemisphere. At 2AM Eastern Europe will see two nights a week of |
| None GPT2 | On January 1, 2014, the station’s digital channel was shut down as digital television began broadcasting, ending |
| Ours Ours | The MSN Messenger 6 software will be available from 11 a.m. PST on Wednesday, according to Microsoft. |
| LDA-global Ours | He warns Tulku and Chinkua against spreading it because it is a secret operation by the Chinese and is a spy on the |
| Kmeans-local Ours | He and his former friend and fellow Democrat, James H. Jim White, were arrested on charges of corruption and child |
| Ours PPLM | She responded, The House has decided, ‘When the other candidates say, ‘Let Democrats take over the FCC.’ it’s kind of |
| None GPT2 | When questioned in the news, Powell stated The fact that she does not want to get a job with a group that includes me |
| Ours Ours | As a result, a Senate committee investigation by the Senate said that the Democratic party had been involved in the |

Table 9: The continuations that are generated by conditioning on all of $K$ topics from different option generators. The input prompts comes from STSB.
BLEU, and BLEU Diff, we remove the first word piece in the continuation and last word piece in the input prompt because the word pieces might not form complete words in the evaluation. Furthermore, we ignore the input prompt in the test set if the length of continuation in the paragraph is smaller than $O = O' = 25$. When computing Dist-1 and Dist-2, we count unigram and bigram within each paragraph.

C.3 Human Evaluation

In STSb, we discard the sentences containing less than $K = 10$ words after removing stop words to ensure that Kmeans-local could generate 10 non-repetitive topics.

GPT2 fine-tuned on English Wikipedia sometimes generate sentences containing special characters (e.g., UTF-8 characters for other languages), which crowdsourcing workers might not understand. Thus, we filter out the input prompt in the STSb for human evaluation if the input prompt or the continuation generated by any method contains a character that cannot be encoded using the ASCII code.

On Amazon Mechanical Turk (MTurk), we prepare one task to evaluate the option generators and another task to evaluate the conditional text generators. In the first task, we show the input prompt and the $K = 10$ topics generated by a method. Before seeing the generated continuation, the worker needs to answer

- "Which topics do NOT promote the narrative?" (TP),
- "Which topics are NOT very likely to appear in the reasonable continuations?" (L).\textsuperscript{9}

Then, we show the generated continuation and ask

- "How fluent is the generated continuation? (Not fluent at all - Very fluent)" (F),
- "How helpful is this generated continuation in terms of promoting the narrative? (Not helpful at all - Very helpful)" (NP), and
- "Overall, how good is the generated continuation? (Terrible - Excellent)" (A).

In the second task, we show the input prompt and the generated continuation. The worker needs to answer

- "How fluent is the generated continuation? (Not fluent at all - Very fluent)" (Fluency), and
- "Whether the sentence is related to the specified topics?" (Relevancy).

We allow only masters on MTurk (the worker with a good reputation) to do our tasks. The workers are rewarded 0.4 or 0.5 dollars for each of the first tasks and 0.2 dollars for each of the second tasks.

In our instruction, we define the reasonable continuation as what the author might say next given only the input prompt, and what the author said in the real word is not important.

The average performance of generated text is between the score 3 and 4. That is, the quality of generated sentences are between somewhat fluent and fluent (F), somewhat helpful and helpful (NP), and medium and good (A). The results suggest the difficulty of generating the continuation for a sentence (mostly from the news in the filtered STSb).

D More Examples

We randomly select 8 examples with less than 130 letters from STSb as our input prompts. The topics of different option generators are visualized in Table 8. The continuations of different text generators are visualized in Table 9. You can download our code from https://github.com/iesl/interactive_LM and test our models using your own prompts via IPython notebook.

\textsuperscript{9}We reverse the question because there are often more topics that are likely to appear.