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MEMS Tunable Frame Antennas Enabling Carrier Aggregation at 600 Mhz

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ABSTRACT The goal of this paper is to propose a tunable antenna system, that aims to cover the LTE low band (699–960 MHz), medium band (1710–2100 MHz) and high band (2100–2690 MHz), as well as the 600 MHz bands by tuning. The architecture consists of four multiband antennas, made up of different radiators, placed in the frame of the mobile handset at the optimized distance of 0.5 mm from each other. Simulations including MEMS tunable capacitors prove that, it is possible to reconfigure the dual resonance in low band, without deteriorating the antennas performance. The tuning ability of the fabricated structure is tested with the PNA and measurements of the total efficiency are performed in the MVG SG24. The results of the measurements are in accordance with the simulations and confirm that the operating frequency of the proposed frame antenna array in low band can be tuned down to the starting point of band 71, with a $-6$ dB impedance bandwidth of 32 MHz, without altering the antennas behaviour in medium and high band. The resulting peak value of the total efficiency of $-5.3$ dB at 615 MHz is acceptable, considering the mobile phones in the market. Moreover, the analysis of the user effects, carried out via simulations both in data and in talk mode, reveals a reduced total efficiency of 4 and 8 dB on average, due to the user hand and the user hand-head respectively, compared with the performance in free space.

INDEX TERMS 4G mobile communication, mobile terminal antennas, antenna efficiency, reconfigurable antennas, multifrequency antennas.

I. INTRODUCTION

The standardization of the fourth generation (4G) of mobile communication, featuring long term evolution (LTE) and LTE-advanced technologies allows to provide higher data rates to the users. The enhanced bandwidth, including bands ranging from 699 to 2690 MHz, requires the mobile phone antennas to cover nearly 40 bands in Frequency Division Duplex (FDD) and Time Division Duplex (TDD) [1]. Moreover, considering the low frequency range, beside the frequencies between 699 and 960 MHz, the 600 MHz band (614–698 MHz), previously used for television broadcasting, is also acquired, given the advantages of building penetration and coverage typical of the low bands. Also known as band 71, the 600 MHz Band Plan, shown in Fig. 1, includes 70 MHz of licensed spectrum [2], divided in a downlink band (617 – 652 MHz), an uplink band (663 – 698 MHz), a duplex gap in between (652 – 663 MHz) and a guard band (614 – 617 MHz).

However, the design of handset antennas in low band represents a challenge for antenna engineers, due to the trade-off between size, bandwidth and efficiency [3]. In fact, in order to guarantee the same efficiency, reducing the operating frequency requires to increase the antennas dimensions. Therefore, to the best of our knowledge, tunable antennas result suitable candidates for the low band of 4G mobile phones,
TABLE 1. Gap between frame radiators of mobile phones popular in the last two years.

| Brand | Model * | Year | Gap [mm] ** |
|-------|---------|------|-------------|
| Apple | iPhone X | 2017 | 1           |
|       | iPhone 8/8 Plus | 2017 | 2           |
| Samsung | Galaxy S9/S9+ | 2018 | 2           |
|        | Galaxy S8 | 2017 | 2           |
| Huawei | P20 Pro | 2018 | 1.5         |
|        | P10/P10 lite | 2017 | 2.5/1.5     |
|        | Honor 9 | 2017 | 1.5         |
| Nokia | 7 Plus | 2018 | 2           |
| OnePlus | 6 | 2018 | 2           |

* Mobile phones available at the APMS section.
** Gap measured with a digital caliper with 0.01 mm resolution.

since they are reconfigurable to a wide range of frequencies, while keeping a low profile. A drawback is represented though by the insertion loss of the tuning component. When the antenna resonant frequency is tuned far from the natural value, the quality factor Q increases, generating higher currents on the antenna structure, that flow to the tuners and determine a significant insertion loss, that negatively affects the total efficiency [4], [5].

The contribution of this research work is to implement a tunable handset antenna to address the 600 MHz band, while covering also both low and high frequencies of the 4G spectrum. Therefore, the proposed design employs four antennas in the frame, consisting of radiators working in different bands. The structure presents the advantage over the state-of-the-art frame antennas of a reduced distance of 0.5 mm between adjacent radiating elements, which can still guarantee an acceptable value of the mutual coupling, without using any decoupling structure, as confirmed by the designs in [6]–[12] and the comparison with some of the most popular mobile phones, displayed in Table 1. Moreover, the proposed frame handset antenna gives the benefit of a short clearance, as required for the new generation mobile phones.

Among the alternative techniques to realize frequency tuning proposed in [13]–[15], Micro-Electro-Mechanical Systems (MEMS) tunable capacitors are chosen for the low insertion loss, high voltage handling and very low power consumption [16], as demonstrated in the research efforts in [17]–[21], that make them suitable for tunable antennas to address the 600 MHz band. The antennas system exhibits four resonances in low band, which are independently tunable with four different tuners, in order to optimize the required bandwidth, and multiple resonances in medium and high band, that are not affected by the tuning process, as opposed to [12], [22].

The paper is organized as follows. The design of the structure is presented in Section II. Section III describes the operating principles of the proposed antenna package, showing the analysis conducted on the simulated prototype. Section IV discusses the results of the measurements run on the realized component, comparing them with the results obtained from the simulations. Section V investigates the effect of the user on the antennas performance. Finally, Section VI concludes the paper.

II. SYSTEM CONFIGURATION

A. FRAME ANTENNA STRUCTURE

The proposed antenna package, shown in Fig. 2(a), is made up of four antennas placed symmetrically on the frame. In particular, antennas 1 and 2 are characterized by a coupled structure consisting of a coupler, connected to the feeding line, and three radiators, two vertical, fed by the coupler, that exhibit a dual resonance in low band, and one horizontal, grounded, covering the medium band. Antennas 3 and 4 are two radiators directly fed by the feeding line, working in medium and high band. The dimensions of the antennas are shown in Fig. 2(b), where the short spacing of 0.5 mm between adjacent radiators and the small clearance of 2 mm are highlighted. The entire structure occupies a volume of $158 \times 78 \times 7.5 \text{ mm}^3$, in order to represent a typical smart-phone form factor, where a 4 layer PCB, made up of three layers of FR-4, with permittivity $\varepsilon_r = 4.3$ and loss tangent $\delta = 0.025$, is located inside. The substrates have length 146 mm, width 67 mm and thickness 0.71 mm for the central and 0.36 mm for the top and bottom ones.
B. CIRCUIT IMPLEMENTATION

Four tuners are embedded in the PCB in order to independently tune the four tunable resonances generated by the low band radiators of antennas 1 and 2 from the 800 MHz region down to band 71. Each tuner consists of four independent Micro-Electro-Mechanical Systems (MEMS) tunable capacitors, provided by WiSpry [23], namely the die 1041, described in detail in [24], [25]. The MEMS package contains two banks, each having a series inductance $L_{\text{series}}$ of $4.5 \times 10^{-4}$ nH and a shunt capacitance $C_{\text{sh}}$ of 1 pF throughout the operating frequency range, as referred in the schematic representation in Fig. 3. The MEMS tuner exhibits an $ESR$ of 0.2 $\Omega$ and $Q$ of 200 at 600 MHz at $C_{\text{max}}$. It presents for each resonance a minimum capacitance $C_{\text{min}} = 0.46$ pF, a maximum capacitance $C_{\text{max}} = 2.30$ pF and a resolution of 0.0625 pF. Since each of the two low frequency antennas uses two devices, one for each of the two independent resonances, the total tuning range is exploited to tune each resonance from the 800 to the 600 MHz band, while in [22] the two independent banks of the same tuner control each of the two resonances.

The following Fig. 4 shows the circuit implementation of the antennas. In particular, antenna 1 in Fig. 4(a) consists of a coupler connected through a feeding line to the connector, that feeds the two radiators resonating in low frequency. A MEMS tuner is connected to each of them and powered by a battery. The radiator working in medium band is grounded. Moreover, antenna 3 in Fig. 4(b) is directly fed by the feeding line, while for antenna 4 two capacitances, one in series and one in parallel, are needed in order to improve the impedance matching.

III. ANTENNA PERFORMANCE

The structure is analyzed using the electromagnetic simulator CST Microwave Studio 2019 and the results are presented in the following section. The curves in Fig. 5(a) reproduce the S-parameter characteristics related to the initial and final tuning states of the proposed antenna system, when tuning from 830 to 660 MHz.

A. PERFORMANCE IN THE LOW AND HIGH BANDS OF 4G

The solid lines in Fig. 5(a) represent the state 0, i.e. the natural behaviour of the antenna before the tuning procedure. Antennas 1 and 2 exhibit a dual resonance in low band, resulting from the two vertical radiators. In particular, as proved by the surface current distribution on antenna 1, showed in Fig. 6, the radiator down is responsible of the first resonance and the one up generates the second. In fact, at 760 MHz the currents are concentrated on the radiator in the bottom and directed down (Fig. 6(a)), whereas at 820 MHz the currents flow up along the radiator on top (Fig. 6(b)). It is important to highlight that the radiator on top cannot be a standalone resonance, since it is not fed directly, and the same applies to the radiator in the bottom of antenna 2. Both antennas present also a resonance in middle band, due to the third radiator, allowing the overall bandwidth at $-6$ dB to cover the LTE.
bands 730 – 830 MHz and 1800 – 1950 MHz. Fig. 6(c) shows the surface currents along the speaker of the middle-band radiator of antenna 1 (radiator on the left) at the resonant frequency of 1900 MHz. Antenna 3 and 4 resonate in middle and high band, where antenna 3 shows a −6 dB impedance bandwidth from 1800 to 2240 MHz, and antenna 4 covers from 1700 to 1800 MHz, from 2100 to 2230 MHz and from 2360 to 2600 MHz. Fig. 6(d) reports a high concentration of currents on antenna 3 at the resonant frequency of 2070 MHz, directed to the jack, placed in the middle-band radiator of antenna 2. Moreover, the opposite direction of the currents on antenna 4 and in the USB port, shown in Fig. 6(e), explains the multiple ripples of $S_{44}$. The mutual coupling, reported in Fig. 5(b), is acceptable, considering the short separation of 0.5 mm. In fact, further simulations, carried out increasing the width of the gap, prove that the selected value generates a mutual coupling only 1.5 dB higher than the one given by a gap of 2 mm, as shown in Fig. 7(b). In addition, analysing the plot in Fig. 7(a), it results that the smaller gap allows antenna 1 and 2 to cover a bandwidth of 65 MHz, 30 MHz wider than the one achievable with the larger one, making thus the chosen value a good trade off between bandwidth and isolation.

B. PERFORMANCE IN THE 600 MHZ-BANDS

The tuning process involves only the low band and does not affect middle and high band, as the dashed lines juxtaposed to the solid lines in Fig. 5(a) prove. The four tuners allow to independently tune the four resonances in order to reach a reasonable compromise between bandwidth and matching. The tuned antennas present a −6 dB impedance bandwidth from 660 to 730 MHz, approximately 20 MHz narrower than the original and with a higher reflection coefficient. The correlation coefficient, reproduced in Fig 8, is lower than 0.5 in the band of interest. From the comparison with the envelope generated before tuning, it is evident that, moving from the original resonant frequency, the curve becomes narrower and higher in value, as for the reflection coefficient.

IV. MEASUREMENTS RESULTS AND DISCUSSION

The proposed antenna prototype is fabricated and showed in Fig. 9(a), where it is possible to distinguish the main components, the radiators in the frame, connected to the 4 layer PCB through pressure contacts or screw, the two couplers and the four tuners. With the aim to realize a mock-up as realistic as possible, the jack is included on top (Fig. 9(b)) and the speakers and the USB port in the bottom (Fig. 9(c)). In both pictures the small distance of 0.5 mm between adjacent radiators is highlighted. In this section, the description of the tuning system is followed by the discussion of the performance, measured in terms of tuning ability, bandwidth and total efficiency.
A. ANTENNA TUNING

The first step consists in tuning the four MEMS tunable capacitors of each tuner, setting values in the interval from $C_{\text{min}}$ to $C_{\text{max}}$, using the software SpryTune provided by WiSpry. In particular MEMS 1 and 2 are programmed with the same values, therefore they are connected to the same exit of the mini USB port (R3), highlighted in Fig. 9(a) with solid red lines. In fact, they tune the first resonances in low band generated by the radiator down of antenna 1 and the radiator up of antenna 2. Same settings are also given to MEMS 3 and 4, to tune the second resonances given by the radiator up of antenna 1 and the radiator down of antenna 2. They are both connected to exit R4, as showed by the dashed red lines in Fig. 9(a). In order to tune antennas 1 and 2 from 840 to the required 617 MHz, the first three tuning states in Table 2 are considered.

B. TEST OF THE TUNING ABILITY

The tunability of the antennas is tested through the evaluation of the S-parameters using the Keysight N5227A Power Network Analyzer (PNA) and the results are presented in Fig. 11. They confirm what is observed in the simulations, i.e. tuning the low band does not influence the middle and high band, therefore a zoom in the low band is chosen to better evaluate each tuning state. Moreover, from a general overview of the plots, it is possible to notice that the bandwidth shrinks, when the antenna is tuned far from the natural resonant frequency, and the reflection coefficient becomes higher. Accurate details about the achievable $-6$ dB impedance bandwidth are reported in Table 3, which highlights that, at the last state, state 3, the bandwidth is one third of its value at the initial state, but relatively wide, considering that the resonance is tuned approximately 130 MHz down. Even though not required by the specifications, the tuners allow to tune towards lower frequencies, outside band 71, reaching 500 MHz, and this final state is still able to guarantee a $-6$ dB impedance bandwidth of 17 MHz. For all the configurations analyzed the measured mutual coupling is less than $-10$ dB, reporting a good agreement between simulations and measurements.

In Fig. 12(a) the curves related to the three required tuning stages are juxtaposed to point out the gradual bandwidth reduction, as the operating frequency decreases, and to show the complete overlapping of the curves in middle band, which are not affected by the tuning.
FIGURE 11. Measured return loss in low band in the tuning stages referred in Table 2. (a) State 0 with tuners at $C_{\text{min}}$, (b) State 1, (c) State 2, (d) State 3 and (e) State $C_{\text{max}}$ with tuners at $C_{\text{max}}$.

Finally, Fig. 12(b) contains the frequency response of the whole antennas system, after tuning the low band to the desired frequency.

C. EVALUATION OF THE TOTAL EFFICIENCY

In order to evaluate the achievable efficiency of the proposed antenna design in the low bands of 4G, measurements are performed in the MVG SG24 located in the laboratory of the Antennas, Propagation and Millimeter-Wave Systems (APMS) section at Aalborg University. The measurements setup consists of a ring with 23 bi-directional and dual polarized test probes and a computer receiving the measured data from the device under test. The antenna under test (AUT) is suitably positioned in the center of the ring, as shown in Fig. 10, where it is possible to see the edge-to-edge screen in aluminium. The total efficiency is computed with 3D pattern integration technique and the results for antennas 1 and 2 in the tuning stages are shown in Fig. 13(a). Despite their symmetry, antennas 1 and 2 exhibit a different efficiency, higher for antenna 2 at the last two tuning states, possibly due to manufacturing errors. As expected, the efficiency decreases, when the antennas are tuned far from their natural resonance, as a significant amount of current flows to the tuners, causing a higher insertion loss. At the third state the maximum total efficiency is $-5.3$ dB, as registered in Table 3, which is an acceptable value in low band, considering the state-of-the-art mobile phones [26]. Finally, in Fig. 13(b), the total efficiency is plotted for the four antennas in the corresponding working bands. Once again, it is possible to notice how the matching components degrade the performance of
antenna 4, whose total efficiency is lower than $-10 \, \text{dB}$ at 2.6 GHz.

V. USER EFFECTS

A. BODY LOSS

The evaluation of the impact of the user on the performance of the frame antenna array is conducted in terms of total efficiency, calculated through simulations including a phantom hand in data mode and the phantom hand next to a phantom head in talk mode. Figure 14 shows the setups simulated in CST, where both right and left hand grip are considered. The simulated hands and specific anthropomorphic mannequin (SAM) head represent the prototypes used for the measurements, which are in accordance with the CTIA specifications [27].

First, in Fig. 15, the curves representing the return loss of each antenna in the four user cases are juxtaposed with that in free space. In general, they point out that the impedance matching is more affected by the user hand, whereas negligible effects are given by the head. At low band, considering antenna 1 in Fig. 15(a), the right hand grip causes more significant mismatch loss and detuning compared to the left hand, meaning that the thumb has a minor influence than the last three fingers. Nevertheless, the detuning can be compensated, since the proposed antenna is frequency-reconfigurable. Opposite considerations apply to antenna 2, whose reflection coefficients are shown in Fig. 15(b). Looking at the middle band, the left hand grip is more responsible for the mismatch of both antennas, particularly of antenna 1. Considering middle and high band, antenna 3 in Fig. 15(c) suffers from a shift of 100 MHz backward of the resonance frequency in all the user’s configurations. The effects on antenna 4 are more significant at 2.5 GHz, as proved in Fig. 15(d) and can be especially attributed to the touch of the palm of the right hand.

The total efficiency of the four antennas is reported in the bands of interest in Fig. 16, where the performance in the four different user scenarios are compared with the free space. Considering antenna 1 at 660 MHz (Fig. 16(a)), the simulation with right and left hand yield a total efficiency of $-9.5$ and $-7 \, \text{dB}$ respectively, versus $-4 \, \text{dB}$ in free space. Moreover, the simulations of the talk mode show a total efficiency $3 - 4 \, \text{dB}$ lower than the corresponding data mode. This values are in accordance with typical absorption loss due to the presence of the user [28]. By contrast, the total efficiency of antenna 2 (Fig. 16(b)) is higher in the right hand data mode and corresponding talk mode, as expected. Better values of the total efficiency are gathered in middle band, except for the configurations with left hand grip related to antenna 1, due to the mismatch highlighted in Fig. 15(a). However, when antenna 1 is affected by lower efficiency, implementing the diversity function allows to select antenna 2 and vice versa.

Concerning antenna 3, it is evident from Fig. 16(c) that both hands phantoms have a negligible impact on the total efficiency, since they do not interfere with the radiation. On the other hand, the presence of the head in talk mode decreases the performance by 5 dB on average.
FIGURE 15. Comparison of the return loss of antenna (a) 1, (b) 2, (c) 3 and (d) 4 when in free space (FS), in data mode hold by right hand (HR) and left hand (HL), and in talk mode in the configurations beside hand and hand right (BHHR) and beside head and hand left (BHHL).

The same comments valid for the return loss of antenna 4 apply to the results plotted in Fig. 16(d). The reduction of the total efficiency, when the phone is held with the right hand, is due to the palm that covers the antenna and obstructs the radiation.

FIGURE 16. Comparison of the total efficiency of antenna (a) 1, (b) 2, (c) 3 and (d) 4 when in FS, hold by the user hand phantom in data mode and in the presence of the user hand and head phantoms in talk mode. For all the configurations, the results before and after tuning (T) are plotted.
Figure 17 reports the envelope correlation coefficient between antenna 1 and 2 in data mode and talk mode. From the comparison with the results in free space, shown in Fig. 8, it is possible to notice that the impact of the user decreases the correlation, that is lower than 0.5 in a wider bandwidth. As expected, the curves generated after tuning are narrower than the corresponding ones before tuning also in the four user cases. However, the value of the correlation coefficient does not increase significantly after tuning, as opposed to the behavior in free space.

Finally, the influence of the user on the radiation characteristics of each antenna is investigated in data mode and talk mode and compared with the performance in free space in Fig. 18, in order to corroborate the previous discussion. The radiation pattern of antenna 1 remains unaltered throughout the tuning stages, therefore only the results at 680 MHz are included in Fig. 18(a). Analyzing the effects of the hand grip, it is possible to notice that the fingers of the right hand flip the pattern towards the front, whereas the thumb of the left hand slightly tilts it. Moreover, when bringing the system close to the right ear, the head fully reflects the pattern, but it directs it to the bottom of the neck, if the device is placed on the left side. Same considerations apply to antenna 2 in the opposite hand and side of the head.

Looking at the results of antenna 3 at the resonance frequency of 2070 MHz in Fig. 18(b), both hands do not alter the direction of the main beam. On the other hand, when the antenna touches the ears in talk mode, the beam is reflected externally.

As mentioned above, the palm of the hands has a significant impact on the radiation pattern of antenna 4, evaluated at 2470 MHz and shown in Fig. 18(c). Moreover, a large difference can be observed between the two placements in talk mode. In fact, on the right side the pattern results totally distorted, while the main beam is restored on the left side.

### B. SPECIFIC ABSORPTION RATE

The specific absorption rate (SAR) is a measure of the user exposure to the electromagnetic fields. Considering 23 dBm accepted input power for LTE frequencies, the resulting SAR must be below 1.6 W/kg over 1 g mass of tissue [29]. The values reported in Table 4 for the four frame antennas, evaluated both at the right and left side of the SAM head with the DUT in cheek position, meet the SAR specification.
specifications for commercial phones. The highest values are registered for antenna 1 and 2 in middle band, when the phone is placed on the left side of the head, and for antenna 3 the SAR reaches 1.4 W/kg on both sides at 2.1 GHz.

VI. CONCLUSION

This work proposes the design of a tunable antenna array to cover the LTE spectrum, with particular focus on the 600 MHz band. The proposed architecture consists of four multiband antennas on the frame and is equipped with MEMS tunable capacitors, that have the function to lower the natural resonant frequency to the desired point. The simulated component exhibits good performance in terms of bandwidth and mutual coupling, that maintains an acceptable value, despite the reduced distance between the radiating elements. The structure is manufactured and the tunability is tested with the PNA. The results demonstrate that three tuning states are required for the antenna system to resonate at the starting point of band 71 and report a relatively wide −6 dB impedance bandwidth of 32 MHz. The same behaviour is shown in middle and high band. The measurements conducted in the MVG SG24 report a total efficiency of −5.3 dB at 615 MHz, which is in line with the mobile phones in the market. Moreover, the effect of the user’s hand and head on the total efficiency is investigated through simulations and comparison of three different scenarios, free space, data mode and talk mode. As expected, the hand grip generates mismatching and detuning to different degrees to the four antennas. Concerning the impact on the total efficiency, a reduction of 4 dB on average is observed in data mode in comparison to the free space and a further decrease of 4 dB is evaluated when the device is placed in talk mode. Future work aims to improve the design of the frame antenna array, taking into account the user influence, in order to cope with the drastic reduction of the performance, once the device is used in the real scenario.

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