Frailty in the critically ill: a novel concept

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Abstract

The concept of frailty has been defined as a multidimensional syndrome characterized by the loss of physical and cognitive reserve that predisposes to the accumulation of deficits and increased vulnerability to adverse events. Frailty is strongly correlated with age, and overlaps with and extends aspects of a patient's disability status (that is, functional limitation) and/or burden of comorbid disease. The frail phenotype has more specifically been characterized by adverse changes to a patient's mobility, muscle mass, nutritional status, strength and endurance. We contend that, in selected circumstances, the critically ill patient may be analogous to the frail geriatric patient. The prevalence of frailty amongst critically ill patients is currently unknown; however, it is probably increasing, based on data showing that the utilization of intensive care unit (ICU) resources by older people is rising. Owing to the theoretical similarities in frailty between geriatric and critically ill patients, this concept may have clinical relevance and may be predictive of outcomes, along with showing important interaction with several factors including illness severity, comorbid disease, and the social and structural environment. We believe studies of frailty in critically ill patients are needed to evaluate how it correlates with outcomes such as survival and quality of life, and how it relates to resource utilization, such as length of mechanical ventilation, ICU stay and duration of hospitalization. We hypothesize that the objective measurement of frailty may provide additional support and reinforcement to clinicians confronted with end-of-life decisions on the appropriateness of ICU support and/or withholding of life-sustaining therapies.

What is frailty?

Gerontologists have defined frailty as a multi-dimensional syndrome characterized by the loss of physical and cognitive reserve that leads to increased vulnerability to adverse events [1]. Frailty overlaps and extends beyond disability (functional limitation) and comorbidity (coexistence of two diseases), and acknowledges that patients can be disabled and/or have comorbidities without being frail, and vice versa.

Ageing is a complex interplay between genetics and environment that begins during embryonic and fetal development. The mechanisms underlying the ageing process are only beginning to be clarified. In recognizing that ageing is a very heterogeneous process, many clinicians seek a method to quantify physiologic age rather than simply chronologic age. Since frailty has been shown to closely correlate with the ageing process [2], it has been suggested that the syndrome of frailty may be just such a measure.

One hypothesis whereby ageing is associated with and may predispose to development of frailty relates to the concept of inflamming: the dynamic interplay between the protective proinflammatory response to invading microorganisms and the similarly protective compensatory anti-inflammatory system, which defends against uncontrolled inflammation. Genetic polymorphisms in the proinflammatory and anti-inflammatory responses have been proposed as one potential mechanism to explain some of the individual variability in the rate of ageing, and may partly explain the poor discriminatory power of age alone to predict outcome [3]. An excessively strong proinflammatory response that may be protective during the reproductive years may become maladaptive later in life [4]. By exhausting the compensatory anti-inflammatory system, the proinflammatory response results in unintended damage to the host organism and predisposes to a vicious cycle of decreasing muscle mass, malnutrition and reduced energy expenditure. This cycle eventually culminates in the inability to maintain homeostasis and an 'avalanche-like destruction of the organism' [2,4]. One expression of this unbridled inflammation may be the syndrome of frailty, a state in which physiologic deficits accumulate that individually may be reversible but collectively often represent an insurmountable burden of disease and consequently vulnerability to adverse outcomes [5] (Figure 1).

Measuring and quantifying frailty

The syndromic nature of frailty presents challenges in creating an effective definition of the state. As previously
noted, frailty is strongly correlated with chronological age [6], but it is not an inevitable part of ageing [1]. Furthermore, the prevalence of frailty within closely aligned age strata, even in the very old person, is variable [7]. Consequently, a number of descriptive tools have been developed to define and quantify frailty.

One of the most widely adopted tools is the operational definition described by Fried and colleagues [2] (Table 1). The Frailty Index, a detailed 70-item inventory of clinical deficits, is also broadly used in studies of frailty [8]. A more generic, less detailed but no less clinically valid impression of patient frailty has also been developed by Rockwood and colleagues [1]. In 2,305 patients aged 65 years or older participating in the second stage of the Canadian Study on Health and Aging, Rockwood and colleagues developed and validated a judgment-based seven-point Clinical Frailty Scale (CFS) to measure frailty [1] (Table 2). In their study, the CFS was highly correlated with the Frailty Index. Participants with higher CFS scores were older, more often female, and more likely to have cognitive impairment and impaired mobility. By multi-variable analysis, each one-point increase in the CFS translated into significantly higher hazards of death (hazard ratio = 1.30) and entry into an institutional facility (hazard ratio = 1.46). Each of these tools appears to perform similarly well in identifying older patients at risk for adverse outcomes, but to date have not been evaluated in other populations [1,2,9,10].

How is frailty relevant to critical care?
The prevalence of frailty in the older demographic may be as high as 43% [1,11]. Based on evidence showing that utilization of intensive care unit (ICU) resources by older people is rising, the prevalence of pre-existing frailty in patients admitted to the ICU is probably also increasing [3].

The relevance of frailty, however, is not limited to admission demographics. Whether due to chronic disease depleting the reserve or acute disease overwhelming the reserve, the critically ill patient is vulnerable to adverse clinical outcomes, as evidenced by the number and severity of unexpected deteriorations in clinical status requiring increases in the degree of life support, without which the critically ill patient would die. Additionally, deficits associated with frailty, which typically take years to accumulate in the outpatient geriatric population, rapidly develop in a large proportion of critically ill patients independent of age and illness severity. These features include muscle wasting, clinically significant weakness and poor functional status following discharge from the ICU [12,13]. A recent editorial underscored the potential importance of inflammation in the development of acquired muscle weakness in the critically ill patient [14]. Additional pathophysiologic mechanisms proposed for these findings have included immobilization, suboptimal nutritional supplementation and ineffective substrate utilization – all of which may be further compounded by medications such as neuromuscular blockers and corticosteroids [15]. In fact, functional dependence after critical illness is correlated with two of the phenotypic features of characterizing frailty: inability to walk and poor upper extremity strength [13].

Since critically ill patients of all ages may share many of the features seen in frail geriatric patients, we contend that the concept and measurement of frailty may have clinical, psychosocial and economic relevance to critical care medicine. Accordingly, we hypothesize that the objective evaluation of frailty in critical illness may complement and/or contribute important prognostic information in the clinical care of patients.

What are the prognostic implications of frailty?
Frailty is recognized as a major determinant of mortality, hospitalization, institutionalization and functional outcome in geriatric patients, and outperforms chronological
Traditionally, prognostication in critical illness has relied heavily upon measures of acute physiologic derangements present at or within 24 hours of ICU admission – that is, Acute Physiology and Chronic Health Evaluation II [18], Sequential Organ Failure Assessment [19], Simplified Acute Physiology Score II [20] – and has modeled illness severity to the estimate probability of survival [21-23]. These scoring systems incorporate a limited assessment of sociodemographic characteristics (that is, age, social support, education and comorbidity) and do not integrate any significant measures of prehospital functional status, scope or severity of comorbid illness, disability or frailty. Addressing these limitations is particularly important when considering long-term outcomes (that is, 6 or 12 months) following critical illness. The increasing recognition of poor intermediate and long-term outcomes of critical illness – including not only survival, but also functional status, institutionalization and quality of life – coupled with the huge financial cost of critical care therapy means that better tools to predict those patients who will benefit most from critical care treatment are urgently needed [24].

There is increasing evidence to suggest that physiologic reserve may be an important determinant of clinical outcome in critically ill patients and that baseline functional status and the burden of pre-existing comorbid illness have prognostic value [18,25-28]. We currently have no method, however, to estimate this physiologic reserve or capacity to heal in critically ill patients. Moreover, when considering the increasing age and complexity of patients admitted to the ICU, advances in life-support technology and changing societal expectations for recovery, accurate prognostication in the ICU becomes very emotionally charged and challenging [11].

A prospective multi-center study of 980 survivors of critical illness found recently that pre-existing comorbid disease was the strongest predictor of post-ICU quality of life [28]. Additionally, simple measures of burden of pre-existing disease and global function, such as residency in a nursing home facility, have also been shown to correlate with mortality [29]. Frailty explicitly captures this sort of functional dependence in an easily comprehensible and more descriptive fashion, but has not yet been evaluated in the critically ill patient. Owing to the potential similarities in frailty between geriatric patients and critically ill patients, the concept of frailty in critical illness may have clinical relevance, be independently predictive of outcomes and show interaction with several factors, including illness severity, comorbid illness, and the social and structural environment. We contend that studies of frailty in critically ill patients are needed to evaluate how frailty correlates with clinical outcomes such as survival and quality of life, but also how frailty correlates with resource utilization, such as lengths of mechanical ventilation, ICU stay and duration of hospitalization. We also believe that if frailty is proven to have clinical and prognostic relevance, its objective measurement may provide additional support and

### Table 2. Clinical Frailty Score

| Score | Frailty grade     | Description                                                                 |
|-------|-------------------|-----------------------------------------------------------------------------|
| 1     | Very fit          | People who are robust, active, energetic and motivated. These people commonly exercise regularly. They are among the fittest for their age. |
| 2     | Well              | People who have no active disease symptoms but are less fit than those of category 1. Often, they exercise or are very active occasionally (that is, seasonally). |
| 3     | Managing well     | People whose medical problems are well controlled, but are not regularly active beyond routinely walking. |
| 4     | Vulnerable        | While not dependent on other for daily help, symptoms often limit activities. A common complaint is being slowed up, and/or being tired during the day. |
| 5     | Mildly frail       | These people often have more evident slowing, and need help in high-order independent activities of daily living (finances, transportation, heavy housework, medications). Typically, mild frailty progressively impairs shopping and walking outside alone, meal preparation and housework. |
| 6     | Moderately frail  | People need help with all outside activities and with keeping house. Inside, they often have problems with stairs and need help with bathing and might need minimal assistance (cuing, standby) with dressing. |
| 7     | Severely frail     | Completely dependent for personal care, from whatever cause (physical or cognitive). Even so, they seem stable and not at high risk of dying (within ~6 months) |
| 8     | Very severely frail| Completely dependent, approaching the end of life. Typically, they could not recover even from a minor illness. |
| 9     | Terminally ill     | Approaching the end of life. This category applied to people with a life expectancy <6 months, who are not otherwise evidently frail. |

Adapted from Rockwood and colleagues [1].
reinforcement to critical care clinicians engaged in goals of care planning and/or end-of-life decisions [11].

How might we quantify frailty in the critically ill patient?
Time constraints necessitate a tool that is simple to understand and easy to administer rapidly, if the tool is to be used clinically on admission to the ICU. Furthermore, the required information must be obtainable from friends and family, as the patient is often unable to participate in the assessment in an active way. These make the commonly-used operational definition of Fried and colleagues difficult to apply. The Frailty Index is also difficult to incorporate into a busy critical care practice, as the degree of detail required makes the tool cumbersome and time consuming to use. On the other hand, the CFS is readily available at the bedside and is easier to understand and use than other frailty assessment tools. Consequently, the CFS may be the optimal tool for use on admission to the ICU. Furthermore, while the CFS is judgment based and has some subjectivity, it captures a spectrum of information that transcends several aspects of a patient’s premorbid health state. This flexibility is also likely to be advantageous and has been validated in other clinical settings [1,30]. The CFS has now been adapted and validated for administration by health research coordinators and by telephone interview, making it practically useful in the critical care setting where obtaining collateral history from family members and friends is an integral part of the information-gathering process [31].

Recognizing that frailty is not a static state, evaluating the patient for frailty in the recent past and quantification of developing frailty during hospitalization in the ICU may also add considerable predictive power to the assessment. In addition to quantifying admission frailty, obtaining a historical point estimate of frailty by retrospectively administering the CFS through friends and family regarding function in the recent past could create an estimate of premorbid health trajectory. Additionally, by tracking features related to Fried’s operational definition of frailty during the ICU stay (such as weight, nitrogen balance, adequacy of caloric supplementation, walking distance, upper extremity strength and self-reported exhaustion), one may be able to create an objective measure of healing that to date is limited to serial administration of admission prognostic scores [14]. Such an assessment that includes both premorbid trajectory and response to critical care intervention would be very useful both for physicians with respect to individualization of prognosis and for families during end-of-life discussions, by providing objective, easily comprehensible critical care benchmarks for response to treatment.

Are there therapeutic implications for frailty?
Previous studies looking at multi-dimensional interventions to prevent adverse events in older patients have shown promise [32,33]; patient deterioration after the completion of the trial was common, however, and inferences may have been limited due to lack of an agreed-upon definition for frailty. Recognition of the multifaceted nature of frailty has recently led to investigation of multi-dimensional home-based interventions intended to interrupt the vicious cycle of frailty. In the ongoing British Frailty Intervention Trial, individualized nutritional, social, psychological and physical interventions targeted at frailty are being evaluated in a group of older adults who are considered frail by the operational definition proposed by Fried and colleagues [2,34]. These interventions include nutritional intake analysis, home meal delivery and high-calorie/high-protein meal supplementation, day activity groups, psychiatric referral and home physiotherapy. It is hoped that this multi-faceted approach in a validated high-risk frail patient population will be effective.

Similarly, the importance of adequate nutritional support [35], the value of sedation interruption [36] coupled with early mobilization [37] and physiotherapy [38] to prevent physical deconditioning, and the psychological consequences of critical illness for both patients and their caregivers [39] are being increasingly recognized in the ICU setting. Since single interventions have historically had limited success in altering critical care outcomes with a few notable exceptions [40-42], a more effective approach may be to stratify critically ill patients based on frailty and intervene in a similarly-styled multidisciplinary way that targets multiple facets of the vicious cycle of frailty. It is conceivable that the pre-existing and/or newly developing frailty modifies the potential attributable benefit of timely and effective acute physiologic support in the critically ill patient. Accordingly, we hypothesize that a better characterization of pre-existing frailty and its ongoing development may represent a novel method for risk identification and stratification for future clinical and therapeutic interventions in critical illness.

Conclusion
Frailty is common in geriatric populations and has shown clear association with risk of death and institutionalization. The burden and potential modifying impact of frailty on the course and outcomes in critically ill patients is unknown. Although not yet clearly established in the ICU population, we believe that frailty has clinical relevance and may predict both short-term and long-term outcomes. The validation of available frailty instruments, such as the CFS, in critical care settings would be an important first step.
If the measures of frailty are proven to have compelling prognostic value, such evidence could then be used for risk identification for novel therapeutic interventions or could potentially be integrated into clinical decision-making – not only at the bedside, but also at a health policy/societal level. Similarly, the inclusion of measures of frailty into cost-utility analyses would aid in identifying subgroups of ICU patients for whom the ICU would be least likely to preserve quality-adjusted survival and/or functional independence. Furthermore, therapeutic strategies in the ICU designed to minimize the development and consequences of frailty may have significant beneficial effects on utilization, cost and effectiveness of ICU support.

Until recently, the main thrust of critical care diagnosis and management has been on the acute processes leading to homeostatic imbalance. A paradigm that includes a better understanding of frailty may cause a fundamental shift of focus, with the diagnosis, treatment and prevention of frailty being considered equally as important as acute physiologic support for critical illness.

Abbreviations
CFS, Clinical Frailty Scale; ICU, intensive care unit.

Competing interests
The authors declare that they have no competing interests.

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