Recent advances in understanding dominant spinocerebellar ataxias from clinical and genetic points of view [version 1; referees: 3 approved]

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Abstract

Spinocerebellar ataxias (SCAs) are rare types of cerebellar ataxia with a dominant mode of inheritance. To date, 47 SCA subtypes have been identified, and the number of genes implicated in SCAs is continually increasing. Polyglutamine (polyQ) expansion diseases (ATXN1/SCA1, ATXN2/SCA2, ATXN3/SCA3, CACNA1A/SCA6, ATXN7/SCA7, TBP/SCA17, and ATN1/DRPLA) are the most common group of SCAs. No preventive or curative treatments are currently available, but various therapeutic approaches, including RNA-targeting treatments, such as antisense oligonucleotides (ASOs), are being developed. Clinical trials of ASOs in SCA patients are already planned. There is, therefore, a need to identify valid outcome measures for such studies. In this review, we describe recent advances towards identifying appropriate biomarkers, which are essential for monitoring disease progression and treatment efficacy. Neuroimaging biomarkers are the most powerful markers identified to date, making it possible to reduce sample sizes for clinical trials. Changes on brain MRI are already evident at the premanifest stage in SCA1 and SCA2 carriers and are correlated with CAG repeat size. Other potential biomarkers have also been developed, based on neurological examination, oculomotor study, cognitive assessment, and blood and cerebrospinal fluid analysis. Longitudinal studies based on multimodal approaches are required to establish the relationships between parameters and to validate the biomarkers identified.

Keywords

spinocerebellar ataxias, biomarkers, antisense oligonucleotides, clinical trials, neuroimaging
Introduction
Spinocerebellar ataxias (SCAs) are a group of neurodegenerative diseases displaying autosomal dominant inheritance. To date, 47 SCA subtypes have been described, and 35 causative genes have been identified. SCAs are considered a rare group of cerebellar ataxias, with a mean prevalence of 2.7/100,000. Their most frequent forms are polyglutamine (polyQ) expansion diseases \((ATXN1/SCA1, ATXN2/SCA2, ATXN3/SCA3, ATXN7/SCA6, ATXN7/SCA7, TBPH/SCA17, and ATN1/DRPLA)\). These diseases manifest above a threshold number of CAG repeats, which is different for each gene. The same mutational mechanism is found in Huntington disease and in spinal bulbar muscular atrophy. Disease onset generally occurs between the ages of 20 and 40 years, and age at onset and CAG repeat expansion size are inversely correlated. Age at onset also displays variability due to interactions between polyQ genes, i.e., between the expanded allele and the alleles of normal repeat size in the other genes. The instability of expanded alleles results in genetic anticipation in successive generations. Unsteady gait and clumsiness are usually the first clinical symptoms at onset, followed by a progressive loss of the ability to walk. Cerebellar syndrome is often associated with extracerebellar signs (pyramidal syndrome, extrapyramidal syndrome, retinal degeneration, dementia, seizures, etc.). There is currently no preventive or curative treatment, but different therapeutic approaches are being tested, including the use of disease-modifying compounds and therapeutic approaches, which are described below. However, in SCAs, the lack of sensitive biomarkers and the small number of patients to be included in clinical trials are a challenge for the statistical sample size estimation. Outcome measures providing information about treatment efficacy are vital, particularly for these genetic diseases that can be treated before the onset of symptoms. This review provides an overview of findings for biomarkers, recent results of clinical trials in SCA patients, and future perspectives for treatment.

Natural history of spinocerebellar ataxias (SCA1, 2, 3, and 6)
The natural history of SCA1, 2, 3, and 6 was established by the longitudinal European cohort study EUROSCA, which included 462 patients with at least one year of follow-up and a median of 49 months of observation. This study used the Scale for the Assessment and Rating of Ataxia (SARA), an accurate measurement of cerebellar dysfunction in SCA1, 2, 3, and 6 patients and premanifest individuals. The most severe disease turned out to be SCA1, with an annual progression of 2.11 on the 40-point SARA, followed by SCA3 (1.56 points), SCA2 (1.49 points), and SCA6 (0.8 points). SCA1 has also been reported to have the most severe prognosis for progression in other studies, such as one in Europe and another conducted by the North American consortium (the Clinical Research Consortium for Spinocerebellar Ataxias). This may be because of motoneuron involvement, which, particularly in this form, leads to bulbar dysfunction and, thus, to respiratory and swallowing failure. These results are consistent with 10-year survival, which is lowest for SCA1 patients, intermediate for SCA2 and SCA3, and highest for SCA6 patients. A high SARA score has also been identified as one of the strongest risk factors for death in all subtypes. Other associated risk factors include dysphagia for SCA1, longer CAG length in pathologic allele for SCA2, and dystonia and interaction between age and CAG length in SCA3. The Composite Cerebellar Functional Severity (CCFS) score is another quantitative score for assessing cerebellar ataxia that has been validated in adults and children. This score is calculated from scores for two tasks for the dominant hand—the nine-hole pegboard test and the click test—and is age dependent and available from an open source (https://icm-institute.org/en/tutorial-for-making-ccfs-board/). In a study on Friedreich ataxia and SCA1, 2, 3, and 7 patients, SARA and CCFS scores were higher in SCAs than in Friedreich ataxia after adjustment for disease duration, revealing a slower progression in Friedreich ataxia than in SCAs. Considering each SCA subtype separately, SCA2 and SCA1 patients had higher CCFS scores than did patients with other subtypes, despite an absence of difference in SARA scores, probably because of the more accentuated cerebellar syndrome. Based on SARA score progression, the EUROSCA study identified, for each genotype, the sample sizes required to detect a 50% decrease in SARA score progression in a two-arm clinical trial lasting one year: 142 patients for SCA1, 172 for SCA2, 202 for SCA3, and 602 for SCA6. These are large numbers of patients for such rare diseases, making it necessary to carry out clinical trials at an international level. Additional biomarkers are required to overcome this problem. Furthermore, these dominantly inherited cerebellar ataxias have very different progression profiles, as shown in Figure 1. Given the progressive nature of the disease and the sample sizes required, biomarkers are very important and essential.

Biomarkers
Neuroimaging biomarkers
The most powerful biomarkers identified to date are derived from neuroimaging examinations. Specific patterns of brain atrophy have been described in vivo in polyQ SCAs and confirmed in post-mortem studies. Changes in brain MRI findings are already evident at the premanifest stage in SCA1 and SCA2 carriers in the form of losses of gray matter from the cerebellum and brainstem. In SCA2 carriers only, an additional decrease in brainstem volume relative to non-carriers has also been reported. A recent case-control study on a Cuban-German cohort of premanifest and manifest SCA2 carriers reported remarkable decreases in cerebellum and pontine volume and in the anteroposterior diameter of the pontine brainstem. A negative correlation was found between CAG repeat size and brainstem and cerebellum volume in premanifest individuals. Cerebellar and pons atrophy was more pronounced in manifest patients, representing a potential outcome measure. By contrast, midbrain and medulla volumes did not differ significantly between the preclinical and clinical stages. In SCA2, white matter alterations in the parietal lobe and anterior corona radiata (detected by fractional anisotropy), and in the cerebellum and middle cerebellar peduncle (detected by mean diffusivity), were found to be correlated with SARA scores, leading the authors of the report concerned to suggest that connections between the motor and sensory integration areas might be impaired. However, with
the exception of rare follow-up studies\(^1,27\), only cross-sectional brain MRI studies have been performed to date. Longitudinal studies will be required to establish the rate of progression of cerebellar and brainstem atrophy.

Cervical spinal cord atrophy has been proposed as a possible biomarker for SCA1, as it is correlated with SARA score, CAG repeat length, and disease duration\(^28\). Indeed, the clinical presentation of this subtype can include pyramidal signs and it may, at early stages, mimic spastic paraplegia\(^29\). Cervical spinal cord atrophy has also been identified as a potential biomarker for SCA3\(^30\).

Neurochemical abnormalities can be detected by MRI spectroscopy (MRS), as demonstrated by the group headed by Gulin Oz\(^31\). Decreases in N-acetylaspartate and glutamate levels reflect a loss of neurons, whereas increases in myoinositol serve as a marker of gliosis. N-acetylaspartate and N-acetylaspartylglutamate levels are significantly lower in the vermis and pons of SCA1, 2, 3, and 7 patients than in controls. Myoinositol levels are higher in SCA1, 2, and 3 patients than in controls. This neurochemical profile is particularly evident in SCA2 and SCA3 patients and is correlated with SARA score\(^32\). Interestingly, multicenter acquisition of this technique has been validated\(^32\).

Such alterations have been demonstrated by ultra-high-field MRS, even at the premanifest stage, with SCA2 patients showing the most compromised profile, followed by SCA1, SCA3, and SCA6 variant carriers\(^33\).

Another recent neuroimaging technique applied to SCAs is functional MRI (fMRI) at rest\(^34\) or during a task\(^34\). This technique can be used to characterize circuitry reorganization, making it possible to identify specific patterns of cerebellar activation\(^35,36\).

Using this approach in the early phase of SCA3 disease, Duarte \textit{et al}. discovered a reorganization of the motor network that could potentially serve as a biomarker\(^37\). However, more detailed analyses of fMRI outcomes are required for future application.

Neuroimaging biomarkers are more powerful than clinical scores for the detection of disease progression. In a cohort of SCA1, 2, 3, and 7 patients, greater longitudinal effect size was detected for brain volumetry (>1.2) than SARA and CCFS scores (<0.8)\(^27\). In conclusion, measures of pons and cerebellum atrophy seem to be the most promising biomarkers.

**Oculomotor biomarkers**

Oculomotor involvement is present at an early phase of SCA and is detectable even at premanifest stages as a higher rate of gaze-evoked nystagmus or other alterations, such as a square-wave jerk during central fixation, impaired vertical smooth pursuit, slow saccade, and a higher antisaccade error rate in SCA3 carriers than controls\(^13,38,39\). These alterations have been studied in detail in SCA2 patients, all of whom present specific oculomotor abnormalities (slow horizontal saccades up to saccade paresis)\(^40\). Preclinical SCA2 carriers present a reduced saccade velocity and antisaccade task errors\(^41\).

Moreover, in manifest and premanifest SCA2 carriers, both the progression of oculomotor impairment and the slowing of horizontal saccade velocity are correlated, respectively, with CAG repeat size\(^42\) and pontine atrophy\(^25\). The annual decrease in saccade velocity and saccade accuracy and an increase in saccade latency may soon be used as biomarkers. This correlation between saccade measurements and other parameters, such as brain MRI and/or cognitive assessment, may make it possible to reduce sample size in future trials\(^42\).
Biological biomarkers

No biological biomarkers for SCAs have yet been identified, a situation contrasting with other neurodegenerative diseases, such as Alzheimer’s disease and frontotemporal dementia. There is, therefore, a need to search for blood or cerebrospinal fluid (CSF) biomarkers of these diseases. In addition to guiding diagnostic process, biomarkers may be of use in clinical management if linked to disease progression.

In this respect, several recent studies in patients with SCA3, the most frequent SCA subtype, have yielded promising results. SIRT1 encodes sirtuin-1, an NAD+-dependent deacetylase involved in several cellular functions, including chromatin modulation, the cell cycle, apoptosis, and autophagy regulation in response to DNA damage. SIRT1 mRNA levels are lower in SCA3 mice than in wild-type mice and are also low in the fibroblasts of SCA3 patients. In SCA3 mice, the rescue of SIRT1 by caloric restriction or the administration of resveratrol induces motor improvement and neuropathological changes, such as a decrease in neuroinflammation and reactive gliosis with an activation of autophagy. SIRT1 overexpression has been shown to activate autophagy and thus to induce higher levels of mutant protein clearance. For these reasons, resveratrol may be a useful neuroprotective drug, as shown in some assays in Drosophila models of SCA3 and in the rat 3-acetylypyridine-induced cerebellar ataxia model. Other studies have also demonstrated an imbalance between autophagy and apoptosis in SCA3. For example, an increase has been reported in the levels of BECN1, encoding the pro-autophagic Beclin 1 protein, and BCL2/BAX ratio has been shown to be lower in pre-ataxic SCA3 carriers than in controls, enhancing apoptotic processes.

Oxidative stress has been implicated in several neurodegenerative disorders, and SCA3 patients have been shown to produce abnormally large amounts of reactive oxygen species. This phenomenon results from a decrease in antioxidant capacity: both superoxide dismutase and glutathione peroxidase (GPx) activities are lower in symptomatic than in pre-symptomatic carriers. Moreover, the observed correlation of the decrease in GPx levels with disease severity suggests that GPx may be a reliable biomarker.

Cytokines have also been investigated as possible markers of SCA3. Indeed, enhanced inflammation has been linked to stronger staining for IL1B and IL6 and higher levels of activated microglia and reactive astrocytes in the brains of SCA3 patients. In a study on Brazilian SCA3 patients, no difference in cytokine levels was detected between 79 carriers and 43 controls. On the contrary, higher eotaxin levels were observed in asymptomatic carriers than in symptomatic carriers. It has been suggested that the levels of eotaxin released by astrocytes are inversely correlated with disease progression. In another cohort of SCA3 patients recruited in the Azores, lower IL6 mRNA levels, due to the presence of the IL6*C allele, were associated with an earlier age at onset than the presence of the IL6*G allele. In this study, the earlier age at onset (by about 10 years on average) resulted from the presence of the APOE*e2 allele in IL6*C carriers, probably because of additional decreases in the levels of other cytokines, such as IL1B and TNF, due to the presence of the APOE*e2 allele.

In a recent study, serum neurofilament light chain (NFL) was identified as a powerful potential serum biomarker in polyQ SCAs. Neurofilaments are components of the neuron cytoskeleton, and their levels in the blood reflect damage to the axons of long fiber tracts. In Huntington disease, which is also a polyQ disease, a correlation between serum NFL levels and disease severity (UHDRS, cognitive decline, brain atrophy) has been reported, even after adjustment for age and CAG repeat size. NFL dosage is also useful for predicting disease onset in pre-symptomatic carriers and the progression of Huntington disease. In a study by Wilke et al., NFL levels were higher in SCAs patients than in controls, particularly for SCA1 and SCA3. The CSF has been only briefly explored in SCAs. CSF NFL levels are a potential diagnostic and prognostic biomarker for SCAs, as in amyotrophic lateral sclerosis. A study in SCA1, SCA2, and SCA6 patients evaluated α-synuclein, DJ-1, and glial fibrillary acidic protein levels in CSF. The levels of all of these proteins were higher in CSF from patients than in control CSF, but this difference was significant only for tau, the levels of which were significantly higher in SCA2 patients. No correlations were found for CAG repeat size, disease severity, and disease duration. It will be very interesting to determine polyQ protein levels in the CSF of SCA patients, as has been done already for Huntington disease.

Cognition in spinocerebellar ataxias

Cognitive impairment, of various degrees of severity, can occur in polyQ SCAs. It involves mostly the executive functions and verbal memory, as shown in one of the first cognitive studies to compare the profiles of SCA1, 2, and 3 patients. Cognitive decline was more prominent and rapid in SCA1 than in the other genotypes and was associated with an increase in the incidence of depression. In another study, SCA2 patients were found to have more visuospatial and visuo-perceptual deficits than SCA1 patients. In a recent study focusing on cognitive dysfunction in SCA6 patients, mild impairments of executive functions, mental flexibility, and visuospatial skills were found to be correlated with decreased resting-state connectivity in the frontoparietal network. These data support a role for the cerebellum in cognitive processes, given that the cerebellum is the principal cerebral structure affected and neuronal loss is less severe in SCA6 than in other polyQ diseases. The scales most widely used to assess dementia, such as the Mini-Mental State Examination or Montreal Cognitive Assessment, are not appropriate for the detection of cognitive impairment in SCA patients, who present a cerebellar cognitive affective/Schmahmann’s syndrome (CCAS). This syndrome includes executive dysfunctions, spatial cognition difficulties, language deficits, and personality changes. A CCAS scale has recently been validated in a large cohort of cerebellar patients with acquired, genetic, or idiopathic cause and shown to have a sensitivity of 95% and a selectivity of 78% for CCAS diagnosis. This scale, which is easy and fast to administer, can be used for cognitive evaluation, which should be performed in longitudinal studies of patients.
Genetic advances in spinocerebellar ataxias

The number of genes implicated in SCAs has steadily increased over time. The last causal gene to have been identified, in SCA47 patients, is PUM1, encoding Pumilio1, a member of the PUMILIO/FBF RNA-binding protein family. The loss of Pum1 led to an SCA1-like phenotype in mice by causing a 30–40% increase in wild-type Atxn1 protein levels in the cerebellum.

These two proteins are functionally related: in SCA1 mice, the disease is more severe if one copy of Pum1 is removed, whereas the motor phenotype of Pum1-heterozygous mice is improved by the removal of one copy of Atxn1. Mutations of PUM1 were reported by Gennarino et al. in 15 patients, with different ages at disease onset (5 months to 50 years) and phenotypic presentations. A 50% loss of the protein resulted in a severe infantile disease and a developmental syndrome called Pumilio1-associated developmental disability, ataxia, and seizure (PADDAS), whereas the loss of 25% of the protein caused Pumilio1-related cerebellar ataxia (PRCA), with a later onset and incomplete penetrance.

Using whole-exome sequencing (WES), Nibbeling et al. identified the genes associated with SCA46 and SCA45: PLD3 and FAT2, respectively. These genes, which are strongly expressed in the cerebellum, cause pure adult-onset cerebellar ataxia. However, in some cases, SCA46 patients may present with a sensory neuropathy.

Genes encoding ion channels are frequently involved in dominant cerebellar ataxia: mutations of CACNA1A are the most frequent genetic cause of autosomal dominant cerebellar ataxia in patients negative for polyQ SCAs, followed by other channel-coding genes, such as KCND3, KCNC3, and KCNA1. These genes predispose the patient to an earlier disease onset, intellectual deficiency, and slower disease progression. CACNA1G mutations, underlying SCA42, lead to a slowly progressive pure or complicated cerebellar ataxia associated with a spastic gait. Patients with earlier onset may also present facial dysmorphisms, microcephaly, digital abnormalities, and seizures.

Another channel gene implicated in SCA44 is GRM1, encoding the metabotropic glutamate receptor 1 (mGluR1) responsible for two phenotypic manifestations: adult-onset cerebellar ataxia in the presence of gain-of-function mutations and early onset ataxia with intellectual deficiency when associated with loss-of-function mutations.

The use of next-generation sequencing (NGS) techniques, such as WES, in everyday clinical practice has increased the diagnostic rate of complex neurological diseases. The application of NGS in ataxia patients has revealed new SCA genes and improved our knowledge of the molecular pathways involved in cerebellar ataxia. It also affects the development of new therapeutic approaches: for example, elucidation of the role of mGluR1 in the excitability of Purkinje cells led to experiments modulating mGluR1 activity in SCA1 mice with drugs such as baclofen or the negative allosteric modulator JNJ16259685, both of which improved motor function. As well, in a recent study by Bushart et al., the administration of potassium channel modulators induced improvement in SCA1 mice motor phenotype via electrophysiological changes; the authors also showed that chlordiazepoxide and baclofen co-administration was well tolerated by SCA1 patients and should be considered as a promising approach for treating symptoms.

However, today, WES will not detect trinucleotide repeat disorders, mitochondrial DNA mutations, or large structural variants. Novel or de novo repeat expansions are still difficult to detect by short-read sequencing. Tandem repeats are usually discarded by NGS pipelines, but bioinformatics efforts will be made to detect them. Recently, a novel intronic expansion, a pentanucleotide-repeat in SAMD12, has been identified in familial myoclonic epilepsy. Long-read sequencing will probably allow one to identify more neurological repeat disorders.

Therapeutic approaches in spinocerebellar ataxias

Over the last few years, several disease-modifying treatments have been tested with different outcomes. The most beneficial molecules to date are riluzole and valproic acid, whereas other drugs, such as lithium carbonate, trimethoprim-sulfamethoxazole, or zinc, have no significant effect. A randomized placebo-controlled clinical trial in 40 SCA patients (SCA1, 2, 6, 8, and 10) and 20 Friedreich ataxia patients treated with riluzole (100 mg/day) for 12 months revealed a one-point improvement in SARA score in treated patients compared to the placebo group. The use of valproic acid (1,200 mg/day) in SCA3 patients resulted in a SARA score at 12 weeks lower than that obtained for patients on placebo. However, further trials are required to identify the genotype associated with a positive response to riluzole (ClinicalTrials.gov Identifier: NCT03347344).

Based on the hypothesis that one of the main omega-3 polyunsaturated fatty acids of the cerebellum, docosahexaenoic acid (DHA), is present at low levels in SCA38 patients owing to ELOVL5 mutations, a clinical trial of DHA (600 mg/day) was performed. This drug improved SARA score at 16 weeks in a small group of SCA38 patients and it also increased cerebellar metabolism, as shown by a brain 18-fluorodeoxyglucose positron emission tomography scan at 40 weeks.

However, the most encouraging and innovative strategies developed to date are RNA-targeting therapies for polyQ SCAs, which have been validated in several mouse models. These approaches mostly use antisense oligonucleotides (ASOs) to downregulate levels of the pathological polyQ protein. SCA2 mice treated with intrathecal ASOs display improvements in motor abilities, a recovery of Purkinje cell firing frequency, and lower levels of mutated ATXN2 protein. ASOs have also been administered to SCA3 mice and were found to be well tolerated and to decrease the production of mutated protein. A correlation was also found with electrophysiological changes in Purkinje cells. These treatments may be used in patients with polyQ SCAs at early stages of the disease, even before the onset of symptoms. The long-term effects of decreasing levels of wild-type and mutated proteins and of decreasing the levels of other polyQ proteins remain unclear. The use of allele-
specific ASOs may be required. A therapeutic approach based on ASOs is already at an advanced stage of development for Huntington disease, and a phase 1A clinical trial in patients has yielded promising results. ASO-based treatments are also being used for spinal muscular atrophy, in a different context, to retain exon 7 and produce a full-length SMN protein, with promising results.

The advent of disease-modifying treatments for SCAs, including ASOs, will require the rapid identification and validation of robust biomarkers of disease progression or processes for the assessment of treatment response.

Conclusion

This review summarizes recent clinical and genetic advances in dominant SCAs, including discoveries of novel SCAs, development of biomarkers, and therapeutic progress. The identification of biomarkers will be essential to demonstrate treatment efficacy. Future longitudinal studies based on multiparamodal approaches to elucidate the relationships between parameters will be required for the establishment of valid biomarkers. To date, gene suppression therapies are the most promising in polyQ SCAs, and clinical trials in the next few years are justified.

Abbreviations

ASO, antisense oligonucleotide; CCAS, cerebellar cognitive affective/Schmahmann’s syndrome; CCFS, Composite Cerebellar Functional Severity; CSF, cerebrospinal fluid; DHA, docosahexaenoic acid; fMRI, functional MRI; GPx, glutathione peroxidase; mGlur1, metabotropic glutamate receptor 1; MRS, MRI spectroscopy; Ni, neurofilament light; NGS, next-generation sequencing; polyQ, polyglutamine; SARA, Scale for the Assessment and Rating of Ataxia; SCA, spinocerebellar ataxia; WES, whole-exome sequencing.

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47. F1000 Recommendation
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The referees who approved this article are:

Version 1

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