Adeno-associated virus (AAV) vectors have emerged as a safe and efficient gene therapy platform. One complication is that a significant amount of empty particles have always been generated as impurities during AAV vector production. However, the effects of such particles on AAV vector performance remain unclear. Here we systematically evaluated the biological properties of three types of “empty” AAV particles: syngeneic pseudo-vectors with partial AAV genomes derived from DNA of the corresponding full particles, allogeneic pseudo-vectors with partial genomes different from the corresponding full particles, and null pseudo-vectors with no DNA inside the capsids. The syngeneic particles in excess increased the corresponding full AAV vector transgene expression both in vivo and in vitro. However, such effects were not observed with null or allogeneic particles. The observed differences among these pseudo-AAV particles may be ascribed to the syngeneic pseudo-vector DNA facilitating the complementary DNA synthesis of the corresponding full AAV particles. Our study suggests that the DNA content in the pseudo-vectors plays a key role in dictating their effects on AAV transduction. The effects of residual “empty” particles should be adequately assessed when comparing AAV vector performance. The syngeneic AAV pseudo-vectors may be used to enhance the efficacy of gene therapy.

INTRODUCTION

Adeno-associated virus (AAV) is a non-pathogenic parvovirus with a 4.7-kb single-stranded DNA (ssDNA) viral genome that encodes two large open reading frames (ORFs) flanked by two inverted terminal repeats (ITRs). Exciting progress has been made using AAVs as gene delivery vectors for genetic diseases such as congenital blindness1–3 and hemophilia.4–6

One major concern regarding AAV vectors used clinically is the presence of excessive empty capsids derived from typical AAV vector production processes.7–10 Such empty AAV particles were also present in wild-type AAV (WTAAV) grown in the presence of adenovirus, which are generally referred to as defective interfering AAVs because they can inhibit the replication of the WTAAV and adenovirus.11,12 Although these particles appear “empty” under the electron microscope, they may contain DNA that is shorter than the full viral genome,13,14 so they are called pseudo-AAV particles here. The recombinant AAV vectors usually generate even more pseudo-vector particles than WTAAV, especially those with oversized AAV genomes. The pseudo-vector-to-full vector ratios vary widely from 3:1 to 30:1, depending on the production and purification procedure employed.1 It has been reported that, in some clinical-grade AAV vectors, the pseudo-vector content was as high as over 90%.4

It is speculated that the presentation of pseudo-vectors in clinical-grade preparations can substantially increase the amount of AAV capsid proteins and potentially lead to unwanted immunological consequences. One example of such side effects was observed during a clinical trial for the treatment of hemophilia with an AAV8 vector that contained a 10-fold excess of empty capsids over the full vector.4 Recently, Gao et al. demonstrated that pseudo-vectors suppressed transgene expression and contributed to hepatic transaminase elevation in mice.15 Moreover, the pseudo-vectors cogenerated and separated from the bona fide AAV8 vectors, resulting in higher elevations of liver enzyme than the vector alone or mixed with a completely empty vector. Hence, it is commonly accepted that removal of empty capsids from vector preparations should be beneficial, especially for clinical-grade AAV vectors.

However, it remains unclear whether pseudo-vectors are beneficial or detrimental to the clinical outcome. A recent report by Mingozzi et al. demonstrated that AAV8 pseudo-vectors can actually enhance gene transfer by overcoming the pre-existing humoral immunity to AAV8.16 Even in situations without pre-immunization with intravenous immunoglobulin (IVIG), a 10-fold excess of pseudo-vectors would not significantly decrease the full AAV vector transduction. In nonhuman primates, the formulation of full AAV8-human FIX
Syngeneic AAV8 Pseudo-vectors Enhance Transduction of the Corresponding Full Vectors In Vivo

To investigate whether pseudo-vector AAV particles reduce transduction efficiency in vivo, three different pseudo-vectors (sAAV8, nAAV8, and aAAV8) were generated and intravenously injected into a BALB/c hemophilia A (HA) mouse model together with full AAV8 vectors. The purified full AAV8 vector was AAV8-TTR-hF8 (5.1 kb) to aAAV8 was a by-product purified during the AAV8-TTR-hF8 production process, which carried partial genomes of AAV-TTR-hF8 (Figures 3 and 4). nAAV8 was produced from HEK293 cells transfected with the AAV8 packaging and adenoviral helper plasmids only, which do not carry any genomic DNA of the AAV vector. aAAV8 was a by-product of AAV8-hHC production, whose genome (Figure 4A) carries the human heavy chain (hHC) of the hF8 gene driven by the AAT promoter in combination with an ApoE enhancer. aAAV8 was named allogeneic AAV pseudo-vector in reference to sAAV8 because it was derived from AAV8-hHC instead of AAV8-TTR-hF8. The full AAV8-TTR-hF8 vectors (2 × 10^11 vector genomes [vg]/mouse) were formulated in 1×, 3×, or 9× excess of nAAV8, sAAV8, or aAAV8 pseudo-vectors before co-injection.

As shown in Figure 1A, formulation of the full AAV8-TTR-hF8 vector with 1×, 3×, or 9× excess sAAV8 enhanced hF8 coagulation activity in a dose-dependent manner. This was confirmed by measuring the hF8 antigen level in mouse plasma using a HC-specific ELISA (Figure 1B). Formulation with 9× excess sAAV8 increased transgene expression by 150% and 62.2% 4 weeks post injection, as measured by both activated partial thromboplastin time (aPTT) assay and ELISA, respectively. 9× sAAV8 alone failed to produce detectable hF8, as measured either by aPTT assay or ELISA (Figure S1), suggesting that the increased hF8 expression level did not arise from sAAV8 itself. On the contrary, formulations with nAAV8 gave rise to moderate levels of coagulation activities of hF8 in plasma in HA animals, which is comparable with mice injected with full AAV8-TTR-hF8 vector alone (control). Similar to nAAV8, addition of 1× and 3× aAAV8 did not have any effect on AAV8-TTR-hF8 transduction in vivo. Although formulation of 9× excess of aAAV8 increased transduction by 50%, as measured using an aPTT assay at 4 weeks, no significant difference was observed when measured by ELISA.

Syngeneic AAV8 Pseudo-vectors Enhance the Transduction of Full AAV Vectors In Vitro

To understand the mechanism of the enhancing effects of the pseudo-vectors on AAV transduction, the effects of various pseudo-vectors were analyzed in in vitro-cultured cells. As shown in Figure 2, the sAAV8 particles derived from pAAV-CB-Cluc, in which the Cluc gene is driven by a CB promoter, was prepared. nAAV8 and aAAV8 were the same as mentioned in the above in vivo experiments. 9× pseudo-vectors (9 × 10^4 particles/cell) were mixed with 1× AAV8-CB-Cluc (1 × 10^4 vg/cell) and then co-infected in HeLa-S3 cells. As shown in Figure 2A, neither nAAV8 nor aAAV8 influenced the full AAV8 vector transduction in vitro. In contrast, sAAV8 increased Cluc expression by 34.7% (Figure 2A). To further characterize the effects of DNA content, 3.4-kb AAV8-CB-EGFP was co-infected with nAAV8, sAAV8, and aAAV8 (Figure 2B). 9× sAAV8, derived from AAV8-CB-EGFP, resulted in a statistically significant increase in EGFP expression in GM16095 cells, whereas nAAV8 and aAAV8 showed no effect on AAV8-CB-EGFP transduction. These studies suggested that only sAAV8 pseudo-vectors derived from the bona fide full vectors, not aAAV8 and nAAV8, had the potential to enhance the corresponding full particle transduction.

Biological Properties of AAV8 Pseudo-vectors

To elucidate the biological properties of the AAV pseudo-vectors, we first examined the morphology of those vectors using transmission electron microscopy (TEM). Most of the negatively stained
pseudo-vectors appeared to be empty because they displayed donut-like shapes of virions, and no significant difference was found among the three types of pseudo-vectors (Figure 3A). We also did not observe self-assembly intermediates, which should be smaller than the regular particles. Subsequently, we compared the capsid composition of those particles by silver staining. Besides the similarity in VP1, VP2, and VP3 capsid proteins between AAV8 pseudo-vectors and full vectors (Figure 3B), the additional VP1.5 band was also identical. The VP1.5 presumably arose from a G/C change at position 219 that introduced a novel CTG start codon for the polypeptide.

Although the morphology and capsid composition of the pseudo-vectors are indistinguishable from each other, we further analyzed the DNA content of these pseudo-vectors. As presented in Table 1, the DNA measured in our AAV8-TTR-hF8 vector preparation (full particles) is only equivalent to 63% of that expected from intact AAV particles with a complete 5.1-kb genome, suggesting that either it contained partial genomes, or pseudo-vectors were not completely removed. AAV8-hHC showed similar phenomena even though it is a regular-sized vector. In contrast to full AAV8 particles, lower DNA content was observed in both sAAV8 and aAAV8 particles (Table 1). sAAV8 contained 142 ± 51 ng DNA in 1.0E+13 particles, which is only about 0.51% of the theoretical value of the full AAV-TTR-hF8 vector genome, whereas aAAV8 contained 0.96% of the theoretical DNA of the AAV-hHC genome.

To assess the DNA content, the extracted DNA from sAAV8 and aAAV8 particles was analyzed by electrophoresis on a 1.5% agarose gel. As shown in Figure 3C, the extracted DNA was mostly less than 0.5 kb, with peaks at approximately 120 bp and 200 bp (Figure 3D). Under denaturing conditions, DNA fragments for both sAAV8 and aAAV8 particles were detected. Four major bands (~120 nt, ~340 nt, ~410 nt, and ~570 nt) and one minor band (~450 nt) were detected in aAAV8. In contrast, the DNA fragments in sAAV8 were distributed over a large range, resulting in a more
diffusing pattern. In summary, this study suggested that the AAV8 pseudo-vectors separated from the regular AAV vector purification procedures were not completely empty in that they carried varying DNA sequences.

The DNA Fragments in AAV Pseudo-vectors Contain AAV Inverted Terminal Repeats

To define the DNA composition in AAV pseudo-vectors, we assessed the relative abundance of representing DNA fragments throughout the entire recombinant AAV genome using oligonucleotides specific to a particular location (Figure 4A; Table S1). The DNAs isolated from sAAV8 and aAAV8 all hybridized strongly to the probe (A) specific to the ITR sequence (Figure 4B), whereas the probes (C and F) specific to the vector sequences in the middle region did not show a convincing hybridization signal at all. Our results demonstrated that most of the DNA fragments in sAAV8 and aAAV8 contained AAV ITRs, which is consistent with the theory that AAV encapsidation initiated from the 3' ITR.

The DNA content of sAAV8 and aAAV8 was further assessed by qPCR. Thirteen pairs of primers spanning the whole vector sequences of AAV-TTR-hF8 and AAV-hHC were used to measure the relative abundance of specific DNA fragments in the AAV pseudo-vectors (Figure 4A; Table S2). A U-shaped plot was observed (Figures 4C and 4D), which demonstrated that AAV ITRs were the most abundant sequences in both sAAV8 and aAAV8. Few sequences corresponding to the midsection of the vector genome were detected, suggesting that the pseudo-vectors rarely contained sequences from the middle region.

sAAV8 Increased dsDNA Formation from Full AAV Particles

Because the AAV viral genome consists of ssDNA, which is transcriptionally inactive, it has to be converted to double-stranded DNA (dsDNA) to be transcribed. The transgene expression level is generally proportional to the amount of duplex vector genomes. Because sAAV pseudo-vectors contained a partial sequence derived from the vector genome, we hypothesized that these short DNA fragments may have annealed to the single-stranded DNA of the full AAV particles and, therefore, facilitate the synthesis of the complementary strand, resulting in the formation of dsDNA that can express transgenes. To test this hypothesis, we infected GM16095 cells with 9× AAV8 pseudo-vectors together with 1× full AAV8-CB-EGFP (1× 10⁴ vg/cell) vectors and examined the vector genome status by Southern blot (Figures 5A and 5B) and qPCR (Figure 5C). As expected, sAAV8 was able to increase the amount of dsDNA vector genome by 2~3-fold, whereas aAAV8 and nAAV8 did not have similar effects. This increase in dsDNA vector genomes was consistent with the increase in EGFP-positive cells (Figure 2C). To confirm this observation in vivo, we analyzed the genomic DNA from the livers of mice that received an injection of AAV8-TTR-hF8 vector. As shown in Figure 5D, the...
hF8 copy number in the mouse receiving the coinjection of sAAV8 was 3-fold higher than in the control receiving the full vector particles only. Coinjection of nAAV8 or aAAV did not result in any significant increases in hF8 genomic copy number compared with the full vector alone.

**DISCUSSION**

AAV-based gene therapy clinical trials have yielded promising outcomes in treating genetic diseases. One major complication in research or clinical AAV vectors is that AAV vector-related impurities, empty particles closely resembling the normal vectors, are often...

Table 1. DNA Amounts in AAV Pseudo-vectors and Full AAV Particles

| Vectors           | Density (g/cm³) | Genome Size (nt) | DNA Content (ng/1E+13 Particles) |
|-------------------|----------------|-----------------|----------------------------------|
|                   |                |                 | Theoretical Value | Experiment Value | Percent* |
| nAAV8             | 1.32–1.34      | –               | –                  | –               | –        |
| aAAV8             | 1.32–1.34      | < 1,000         | –                  | 193 ± 46        | 0.96     |
| sAAV8             | 1.32–1.34      | < 1,000         | –                  | 142 ± 51        | 0.51     |
| AAV8-TTR-hF8      | 1.40–1.47      | 5,080           | 27,847             | 17,543 ± 2,240  | 63.0     |
| AAV8-hHC          | 1.38–1.44      | 3,672           | 20,129             | 17,588 ± 3,291  | 87.4     |

*Percent is the ratio of DNA amount in sAAV8 or nAAV8 to their theoretical value with 100% of AAV vectors containing the intact genome.
difficult to remove completely and, thus, are being injected along with the full vectors. The effects of such impurities (i.e., AAV pseudo-vectors, AAV defective interfering (DI-AAV) particles, or empty particles), have been largely derived from early studies of wild-type AAV, showing their abilities to inhibit AAV and adenovirus replication.\textsuperscript{11,12} Hence, it appears logical to conclude that AAV pseudo-vectors would reduce the full AAV vector transduction by competing for receptor binding, internalization, and intracellular trafficking. However, recent studies showed that their functional effect on recombinant AAV vector transduction is controversial.\textsuperscript{15}

In the present study, we provide evidence that the DNA content in the AAV pseudo-vector is the main factor that affects the transduction of the full AAV vector (Figures 1 and 2). Co-administration of sAAV8 carrying partial DNA derived from the corresponding full vector can enhance the efficacy of gene expression in a dose-dependent manner. This is in agreement with the observation from the recent AAV8-FIX trial for hemophilia B, in which up to $10 \times$ empty capsids may have increased the therapeutic effects.\textsuperscript{4,17} In contrast, co-administration of excess aAAV8 or nAAV8 did not have any significant effect on the transduction of full AAV particles.

In contrast to nAAV pseudo vectors without any nucleic acid in the capsid, DNAs in sAAV and aAAV are structurally similar (i.e., short ITR-containing DNA fragments; Figures 3 and 4), which probably arises from the fact that AAV encapsidation initiates from the 3' ITR and aborted packaging prematurely.\textsuperscript{22} These short AAV DNA fragments anneal naturally to the 5' end of single-stranded AAV genomes, which will not lead to the increase in second-stranded DNA synthesis. However, after conversion of the 3' ITR fragments of the syngeneic pseudo-vector to a complimentary sequence, it can anneal to the corresponding AAV full genome and, subsequently, serve as 3' primer for second-strand DNA synthesis (Figure 6A). In contrast, DNA from the allogeneic pseudo-vector is unrelated to the full vector, which cannot anneal to the full vector genomes and did not have any effect on transduction (Figures 1 and 2). Alternatively, the 3' ITR fragments of the syngeneic pseudo-vector can anneal to the full vector genome that has already undergone its second-strand DNA synthesis and further increase the copy numbers of vector genomes that can express therapeutic genes (Figure 6B).

One concern about AAV pseudo-vectors in clinical application is the potential complication of inducing innate or adaptive immune responses.\textsuperscript{4,15,17,23,24} The presence of pseudo-vectors increased the amount of AAV capsid antigen. It is also possible that AAV...
pseudo-vectors may reduce transduction efficiency by competing for vector binding sites when vectors are administrated at a high dose when potential AAV receptors are saturated. AAV pseudo-vectors can also serve as efficient bait for AAV-specific antibodies that are prevalent in the human population. Whether it is beneficial to include syngeneic pseudo-vectors in a clinical application is debatable despite the fact that it can increase therapeutic gene expression. However, because syngeneic pseudo-particle contamination is often present in the vectors for administration when using a common AAV purification protocol, their effects on preclinical studies are an important issue that should be carefully evaluated.

MATERIALS AND METHODS
Production and Purification of AAV Vectors and Pseudo-vectors
The pAAV-hHC, pAAV-CB-EGFP, pAAV-CB-Cluc, and pAAV-TTR-hF8 plasmids used for packaging the AAV vectors were described previously. All AAV vectors were generated using the triple plasmid co-transfection method. Brieﬂy, pAAV-RepCap (serotype 8), pAd helper, and the transgene plasmids were cotransfected into HEK293 cells and cultured in roller bottles at a ratio of 1:1:1. The vectors from transfected cells and medium were harvested 72 hr post transfection and purified by two rounds of CsCl gradient ultracentrifugation. sAAV8 was a by-product purified from its corresponding full AAV8 production process, and aAAV8 was a by-product generated from another full AAV8 containing a different genome. For example, while the empty AAV vectors generated in the AAV8-TTR-hF8 production process are syngenic to AAV8-TTR-hF8 itself (sAAV8), they are considered to be allogenic to the AAV8-hHC vector or other AAV8 vectors (aAAV8). By the same token, the empty AAV vectors purified from AAV8-hHC vector preparation are syngenic to AAV8-hHC(sAAV8), but allogenic to AAV8-TTR-hF8 or other AAV8 vectors (aAAV8). The nAAV8 pseudo-vectors were obtained by transfecting only pAAV-Rep-Cap and pAd helper plasmids into HEK293 cells. The AAV bands corresponding to the pseudo-vectors and full vectors in the CsCl gradient after ultracentrifugation were collected and extensively dialyzed against PBS containing 5% D-sorbitol.

Titration and Characterization of the AAV Capsid Using Silver Staining
The titers of AAV pseudo-vectors and the capsid composition of AAV vectors were determined by silver staining analysis. Brieﬂy, AAV vectors (~1 × 1010 particles) were resolved by electrophoresis on a 10% SDS-PAGE gel, and standard silver staining was performed according to the manufacturer’s procedures (Pierce Silver Stain Kit, Thermo Scientific). The titers of AAV pseudo-vectors were semi-quantified based on the titer of the full AAV, which was measured using qPCR analysis.

Analysis of AAV Pseudo-vector Genomes
AAV pseudo-vectors (~1 × 1013) were treated with DNase I and proteinase K, and the DNA was extracted using a GeneJET gel purification kit. After extraction, 10 ng of each DNA sample, with or without denaturation treatment, was examined on a 1.5% agarose gel with...
SYBR Gold staining. The DNA from the AAV pseudo-vectors was analyzed by 6% SDS-PAGE gel containing 7 M urea and visualized with silver staining. The digoxigenin (DIG)-labeled oligonucleotides (Table S2) were utilized for DNA hybridization to detect the AAV genomomes from the pseudo-vector.

qPCR Analysis of AAV Genomes from AAV Pseudo-vectors and Full Vectors

A 10-μL aliquot of full AAV vectors was treated with DNase I and proteinase K. After treatment, the DNA was diluted to different ratios and analyzed. The DNA content of the AAV pseudo-vectors was diluted and analyzed directly after extraction. To determine the copy number of the genome in the liver, total DNA was extracted from the liver by using a GeneJET genomic DNA purification kit (Thermo Scientific, K0721) according to the standard protocol. To evaluate the genome copy number in the AAV vector-transduced cells, the total DNA was extracted using Hirt extraction. The qPCR analysis was performed using Fast SYBR Green Master Mix, as described previously, using the primers presented in Table S1. The genome copy number of the vector in each sample was determined by a standard curve obtained from the serial dilution of plasmids containing the corresponding AAV genomes.

Transmission Electron Microscopy

For TEM analysis, formvar was coated onto a microscope slide and floated onto a water bath. Cleaned 200-mesh grids were placed on this film and collected using parafilm. Five microliters of purified AAV (~1 x 10^{13} vg/ml) was placed on the grid and allowed to dry, and then 8 μl of 1% phospho-tungstic acid (Electron Microscopy Science) was applied and drawn off. After drying, the grids were observed using a Philips Transmission Electron Microscope CM 12 (Philips) with an accelerating voltage of 100 kV and imaged with a DVC detector controlled by AMT software.

rAAV Transduction In Vitro

The cell lines, HEK293, HeLa-S3, and GM16095, used in this study were grown in DMEM (Invitrogen) with 10% fetal bovine serum (FBS) (HyClone), penicillin (100 U/mL), and streptomycin...
(100 μg/mL) at 37°C in a humidified environment supplied with 5% CO2. For each transduction experiment, 50,000 viable cells were seeded in a 24-well plate 24 hr before transduction. AAV vectors, with or without pseudo-vectors, were added directly to each well. EGFP and Cluc expression was determined 48 hr post infection. EGFP expression was analyzed by flow cytometry, whereas Cluc expression from the medium was determined with the BioLux Cypridina luciferase assay kit and a POLARstar Omega bioluminescence plate reader (POLARstar Omega). All experiments were performed in triplicate, and the results are presented as the mean.

**rAAV Transduction In Vivo**

The in vivo transduction experiments were carried out with 6- to 8-week-old BALB/c and C57BL6/SvJ295 male HA mice. The animals were housed in a specific pathogen-free environment and provided a normal diet. They were treated in accordance with NIH guidelines, and all protocols were approved by the Institutional Animal Care and Use Committee (IACUC) at Temple University. AAV8-TRT-hF8 (2 × 10^11 vg/mouse) alone or formulated with 1 ×, 3 ×, and 9 × AAV pseudo-vectors was injected into the mice via the tail vein as described previously. Post vector administration, the plasma was harvested by retro-orbital bleeding at regular intervals as described. The biologically active hF8 in the plasma was determined by one-stage aPTT assay, and the protein level was estimated by ELISA using specific antibodies against hFc as described previously. RecFacto (Wyeth) was used as the standard for the aPTT assay and ELISA.

**Statistical Analyses**

Two-tailed Student’s t test and one-way ANOVA with Bonferroni multiple comparisons were performed. The differences were considered significant when p < 0.05. The analysis was conducted using SPSS 11.0.

**SUPPLEMENTAL INFORMATION**

Supplemental Information includes one figure and two tables and can be found with this article online at [http://dx.doi.org/10.1016/j.omtm.2016.12.004](http://dx.doi.org/10.1016/j.omtm.2016.12.004).

**AUTHOR CONTRIBUTIONS**

Q.W. helped with study design, performed experiments and data analysis, and prepared figures and the manuscript. B.D., K.A.P., J.F., and Z.W. performed some of experiments. J.F. and L.L. performed TEM experiments. J.F., M.P.S.C., X.C., and R.X. helped with manuscript writing and editing. Y.D. and W.X. helped with study coordination and manuscript writing and editing. All authors reviewed and commented on the manuscript.

**CONFLICTS OF INTEREST**

The authors declare no conflicts of interest.

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