SOX after SOX: SOXession regulates neurogenesis

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Vertebrate embryonic stem (ES) cells give rise to many different cell types in multistep processes. These involve the establishment of a competent state, specification, differentiation, and maturation, and often involve Sox transcription factors. In this issue of Genes & Development, Bergsland and colleagues (pp. 2453–2464) determine the genome-wide binding profile of Sox2, Sox3, and Sox11 as ES cells become specified to neural precursors and differentiate into neurons. An ordered, sequential binding of these Sox proteins to a common set of gene enhancers was found to drive neurogenesis, as Sox proteins first help to preselect neural genes in ES cells and later ensure their proper activation in neural precursors or neurons.

Pioneer factors and gene preselection in embryonic stem (ES) cells

A unique set of transcription factors is required to establish ES cells and keep them in a pluripotent and proliferative state. Equally unique is the chromatin state in ES cells, in which DNA is less tightly associated with core histones and heterochromatin protein 1 than in other cells (Meshorer et al. 2006; Ram and Meshorer 2009; Smale 2010). This open chromatin structure depends on the remodelling activity of Chd1 (Gaspar-Maia et al. 2009) and allows for the low-level expression of many genes, including those that are later predominantly or exclusively expressed in specific tissues or cell types (Guenther et al. 2007; Efroni et al. 2008). Enhancers of tissue-specific genes coincide with windows of unmethylated DNA in ES cell chromatin [Xu et al. 2009] and exhibit a bivalent chromatin signature characterized by the joint presence of active chromatin marks, such as H3K4me3, and repressive ones, such as H3K27me3, due to the activity of Polycomb complexes (Mikkelsen et al. 2007; Ku et al. 2008). This bivalent chromatin signature likely represents a poised state that is convertible into an active one by removal of the repressive marks and is a hallmark of regulatory regions associated with genes whose products have to be activated once stem cells lose pluripotency and develop into defined cell types through consecutive stages of specification and differentiation [Ram and Mesheror 2009; Smale 2010]. Transcriptional competence for particular developmental pathways is thus already established in ES cells.

The same cell type-specific regulatory regions are also bound by pioneer transcription factors. These factors recognize and influence the chromatin state and may thus be instrumental in establishing or maintaining the poised state [Smale 2010; Magnani et al. 2011]. For eventual enhancer activation in the context of a developmental program, pioneer factors then get replaced and lineage-specific transcription factors take over. In the case of the well-studied albumin gene, the pioneer factor FoxD3 occupies the liver-specific enhancer in ES cells and is replaced by FoxA1 once cells commit to the endoderm lineage [Xu et al. 2009].

Functional dichotomy of Sox2 in ES cells

ES cells express the Sox2 transcription factor, which, together with the closely related Sox1 and Sox3 proteins, forms the SoxB1 subgroup of the Sox protein family [Fig. 1A; Schepers et al. 2002; Guth and Wegner 2008]. Sox2 is required for establishing ES cells, maintaining their pluripotency, and ensuring self-renewal [Avilion et al. 2003]. It is also part of the transcription factor combination that is capable of re-establishing pluripotent stem cell characteristics in terminally differentiated cells [Takahashi and Yamanaka 2006]. In combination with other ES cell transcription factors, such as Oct4, Sox2 is found on the regulatory regions of many genes with ES cell-specific expression [Fig. 1B; Boyer et al. 2005; Chen et al. 2008, Marson et al. 2008]. Additionally, Sox2 binds to several other sites in the genome, arguing that it may also function as a pioneer factor in ES cells. In accord with such a function, Sox2 has recently been found in ES cells on several enhancers that become activated only later during B-cell development [Liber et al. 2010]. By the time of activation, Sox2 is no longer present and has been replaced by Sox4 as the transcription factor that finally induces these enhancers in differentiating B cells. Sox4 is
also a member of the Sox family of transcription factors. However, it is only distantly related to Sox2 and is not a member of the SoxB1 subgroup [Schepers et al. 2002; Guth and Wegner 2008]. Instead, Sox4 forms the separate SoxC subgroup with Sox11 and Sox12 (Fig. 1A).

**Sox transcription factors in neurogenesis**

Although Sox2 is best known for its role in ES cells, it has numerous other functions during development, including a prominent one in neurogenesis [Wegner and Stolt 2005; Pevny and Nicolis 2010]. Sox2 is strongly expressed throughout the early neuroectoderm and in neural precursor cells [NPCs] [Collignon et al. 1996; Ferri et al. 2004]. In fact, it can still be found in NPCs that persist as neural stem cells in the neurogenic niches of the adult brain [Ferri et al. 2004; Favaro et al. 2009]. In most NPCs, Sox2 becomes down-regulated during the final cell cycle immediately before differentiation, so that it can no longer be found in immature neurons. Sox2 is required for the maintenance of NPC properties and functions, at least in part, through the Shh and Notch pathways [Bani-Yaghoub et al. 2006; Taranova et al. 2006, Favaro et al. 2009]. Its importance in NPCs is evident from electro-poration studies in the chicken neural tube [Bylund et al. 2003; Graham et al. 2003]. Overexpression of Sox2 kept cells in a precursor state and prevented the up-regulation of neuronal markers by interfering with the function of proneural genes, whereas overexpression of a dominant-negative version of Sox2 caused the cells to leave the cell cycle, turn on neuronal markers, and differentiate prematurely. Indeed, deletion of Sox2 in the mouse attenuates the self-renewal capacity. As a result, neural stem cells are completely lost from the early postnatal hippocampus [Favaro et al. 2009]. However, depletion of the stem cell pool is delayed, and not all NPCs in the brain are equally affected [Miyagi et al. 2008]. In this context, it is important to note that mammalian ES cells turn on Sox3 and Sox1 once they commit to the neural lineage. As a consequence, Sox2 expression in NPCs overlaps substantially with expression of these closely related SoxB1 factors [Collignon et al. 1996; Pevny et al. 1998; Miyagi et al.
2008). It is thus likely that the three SoxB1 factors share common functions and act, at least in part, redundantly. In support of this conclusion, overexpression of the three SoxB1 proteins in the electroporated chicken neural tube led to very similar results [Bylund et al. 2003; Graham et al. 2003].

Eventually, SoxB1 factors and activities have to be down-regulated in NPCs for neurogenesis to proceed. There is evidence of an involvement of proneural genes (Bylund et al. 2003; Graham et al. 2003), which, among other targets, induce Sox21 (Sandberg et al. 2005). Sox21 and Sox14 form the SoxB2 sister group to the SoxB1 proteins (Fig. 1A; Schepers et al. 2002; Guth and Wegner 2008). Whereas SoxB1 factors seem to act predominantly as transcriptional activators, Sox21 has repressor activity [Uchikawa et al. 1999]. On the basis of electroporation experiments in the chicken neural tube, a model has been proposed in which Sox21, once induced in NPCs, counteracts the activity of SoxB1 proteins and allows the cells to progress to immature neurons [Sandberg et al. 2005]. Presumably, this involves repression of the same target genes that are normally induced by SoxB1 proteins in NPCs.

The SoxC factors (Sox4 and Sox11) are another set of Sox factors that are induced by proneural proteins as NPC develop into immature neurons (Bergsland et al. 2006). As the third SoxC protein, Sox12 is likely induced as well, but is functionally less prominent [Hoser et al. 2008]. When assessed in the chicken neural tube by electroporation studies, SoxC function contrasts sharply with SoxB1 or SoxB2 function, as overexpression of Sox4 or Sox11 led to precocious induction of neuronal markers [Bergsland et al. 2006]. Deletion of Sox4 or Sox11 in mice, in contrast, had little consequences on neurogenesis, arguing that SoxC proteins function redundantly in this process [Cheung et al. 2000; Sock et al. 2004]. In accord with such an assumption, simultaneous deletion of both SoxC factors led to massive apoptosis throughout the developing nervous system that predominantly affected immature neurons (Bhattaram et al. 2010; Thein et al. 2010).

In summary, these studies argue that neurogenesis crucially depends on several different Sox proteins and that these Sox proteins have to act in a strictly defined temporal order, with Sox2 already being present in ES cells and other SoxB1 factors joining Sox2 in NPCs before Sox21 helps to leave the NPC stage and SoxC proteins induce neuronal differentiation.

Sequential enhancer occupancy by Sox proteins during neurogenesis

While this model for the role of Sox proteins in neurogenesis is fairly detailed, it provides very little mechanistic insight. In particular, it remains largely unknown to what extent the activity of Sox2 in ES cells relates to its activity in NPCs, or to what extent the activity of SoxB factors in NPCs impacts SoxC protein activity in immature neurons. The study by Bergsland et al. [2011] in this issue of *Genes & Development* fills this gap.

Using established ES cell differentiation protocols, Bergsland et al. [2011] generated NPCs and young neurons in culture. They then performed chromatin immunoprecipitation (ChIP) with antibodies against Sox2, Sox3, and Sox11 and determined the genome-wide binding pattern for each of these factors in NPCs and young neurons by ChIP combined with massively parallel sequencing (ChIP-seq). Comparison with the known binding pattern of Sox2 in ES cells [Chen et al. 2008; Marson et al. 2008] and expression profiles from ES cells led to several important and remarkable conclusions.

First of all, Bergsland et al. [2011] found that the genome-wide binding patterns of Sox2 and Sox3 in NPCs overlap extensively, with 96% of the Sox2-bound sites also bound by Sox3. This impressively confirms the assumed functional redundancy of SoxB1 proteins in NPCs on a genome-wide level. Bergsland et al. [2011] also report a good correlation between Sox3-binding sites and regions bound by the p300 coactivator in the embryonic brain. Therefore, a substantial number of the identified binding sites are part of brain-specific regulatory regions. From their position relative to the associated genes, most regions are enhancers rather than promoters.

Comparison of the binding profile of Sox2 in ES cells with that of Sox3 in NPCs (which is very similar to the Sox2-binding pattern in NPCs and thus paradigmatic for the SoxB1-binding pattern) confirmed that there are binding sites in ES cells that are not occupied by SoxB1 proteins in NPCs. These binding sites were enriched in the vicinity of genes with ES cell-specific expression and likely correspond to ES cell enhancers. Additionally, there was also a large number of binding sites that were recognized by both Sox2 in ES cells and Sox3 in NPCs. These binding sites were preferentially located near neural genes, including genes already known as (or presumed to be) SoxB1 targets. Many of these sites were also associated with bivalent histone domains that carried both H3K4me3 and H3K27me3 marks and likely correspond to neural enhancers that are preselected by Sox2 in ES cells for future activation during neural development [Fig. 1B]. The study therefore confirms Sox2 as a pioneer factor that establishes transcriptional competence for neural development in ES cells.

Intriguingly, Bergsland et al. [2011] also found that the genome-wide binding profile of Sox3 in NPCs extensively overlaps with the genome-wide binding profile of Sox11 in young neurons. In fact, only 8% of the Sox11 targets are not bound by Sox3, and just 30% of the Sox3 targets are not recognized by Sox11. Further bioinformatic analysis indicated that selective occupation by either factor is restricted to genes that are predominantly expressed in late populations of neurons and glia. This correlates with the finding that SoxB1 factors eventually reappear during late phases of neurogenesis in select and largely non-overlapping populations of mature neurons, where they perform functions that are unrelated to the earlier ones in NPCs [Malas et al. 2003; Ferri et al. 2004].

In contrast, the overlapping targets correspond to genes that are expressed in either NPCs or young, immature neurons. Bergsland et al. [2011] provided evidence from
expression profiling of Sox3-overexpressing NPCs and from the detailed functional characterization of several enhancers identified in their ChIP-seq study that both Sox3 and Sox11 overwhelmingly act as transcriptional activators. Sox3, however, activates only the fraction of genes expressed in NPCs, whereas the identified neuron-specific enhancers are selectively activated by Sox11.

This suggests that SoxB1 factors (exemplified by Sox3) bind not only to regulatory regions of genes that are activated by these factors in NPCs, but also to regulatory regions of genes that are later activated by SoxC proteins (exemplified by Sox11) in immature neurons. The analysis of representative regulatory regions from both groups further indicates that the two groups are characterized by different chromatin signatures. In NPCs, SoxB1-activated enhancers are solely marked by H3K4me3, while not-yet-active neuron-specific enhancers carry both H3K4me3 and H3K27me3 marks [Fig. 1B]. SoxB1 factors may thus also function as pioneer factors in NPCs by keeping neuronal enhancers in a silent but poised state for activation. Some of the neuronal maturation defects observed in mouse models with SoxB1 gene deletions may actually be caused by such a compromised pioneer factor function [Cavallaro et al. 2008]. SoxB1 factors may additionally exert their function by sterically interfering with SoxC protein binding during the short time of coexpression in late NPCs and may thereby prevent precocious activation of neuronal enhancers.

If the same enhancers are studied in young neurons instead of NPCs, their chromatin signature has changed such that the neuron-specific enhancers only possess H3K4me3 marks, and the NPC-specific ones exhibit only the H3K27me3 mark characteristic of the inactivated state [Fig. 1B]. Considering that the active neuronal and the inactive NPC enhancers are occupied by Sox11 in young neurons, it seems plausible to assume that Sox11 is not only involved in activating the neuronal enhancers, but may also help to shut off the NPC enhancers. Whether this involves a direct influence on the chromatin state is not known at the moment. What Bergsland et al. [2011] have shown, however, is that the presence of Sox3 alters the chromatin state of neuronal enhancers in nonneural cells from an inactive to a poised one, thus confirming—at least for Sox3—a direct influence on the chromatin state.

Neurogenesis thus depends on the ordered succession of a defined group of Sox proteins on a common set of target gene enhancers, with at least some of these Sox proteins functioning not only as stage-specific transcriptional activators, but also as pioneer factors with an impact on chromatin structure and the epigenetic state. Considering further that slightly different binding site preferences exist among Sox proteins, and that many other enhancers contain multiple Sox-binding sites [Wegner 2010], the reported succession of Sox proteins may be more complex than a simple exchange on the exact same site. It also needs to be remembered that the current picture does not yet include the SoxB2 protein Sox21, despite its proposed role as a direct antagonist of SoxB1 proteins in NPCs. To see how Sox21 fits in, it would be interesting to determine its genome-wide binding pattern as well. Another limitation of the current model stems from the fact that it only determines binding profiles until shortly after neuron formation. The analyzed neurons are still relatively immature and strongly express SoxC proteins. Once they mature, they lose SoxC expression [Cheung et al. 2000; Sock et al. 2004; Bergsland et al. 2006; Thein et al. 2010]. SoxC factors can therefore only be responsible for the induction and the early phases of neuronal gene expression, but not for maintenance. Thus, it would be interesting to know whether SoxC proteins are followed by yet another group of Sox proteins or whether Sox proteins are simply not that important for the final phases of neuronal maturation.

Also implicit in the findings of Bergsland et al. [2011] is that Sox protein function is strongly context-dependent and that there must be features other than their temporal expression patterns that determine the activity of a particular Sox protein on a specific enhancer at a given time. Sox2, for instance, must be able to differentiate in ES cells between those enhancers that it activates and those that it keeps in a poised state. The same holds true for Sox3 in NPCs, even Sox11 has to distinguish between the enhancers it activates in immature neurons and those that it does not, despite binding. As minor groove binders, Sox proteins generally cooperate with major groove-binding transcription factors to activate enhancers [Kamachi et al. 2000; Wegner 2010]. The POU factor Oct4 is such a partner for Sox2 in ES cells. It is safe to assume that cooperating transcription factors will also influence Sox protein function during neurogenesis. Among others, these factors include members of the POU family, such as Brn1 and Brn2. Determination of their genome-wide binding pattern and comparison with the Sox-binding
profile will therefore be instrumental in further clarifying the regulatory circuits during neurogenesis.

Finally, attention needs to be drawn to the architectural function of Sox proteins [Werner and Burley 1997]. Sox proteins induce dramatic topological alterations upon binding of their high-mobility group domain to the minor groove of their target DNA. This may be generally relevant in the context of chromatin and for the change of chromatin states. Considering that Bergsland et al. [2011] provided ample evidence that some Sox proteins read chromatin states as pioneer factors and may influence chromatin signatures by triggering changes in histone modifications, it will be interesting to see how common this link is and how widespread pioneer factor functions are among Sox proteins. Their architectural role certainly predisposes Sox proteins for a role in recognizing and changing chromatin environments.

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