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Direct observation of the energy gain underpinning ferromagnetic superexchange in the electronic structure of CrGeTe₃

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(Received 23 December 2019; accepted 16 April 2020; published 18 May 2020)

We investigate the temperature-dependent electronic structure of the van der Waals ferromagnet, CrGeTe₃. Using angle-resolved photoemission spectroscopy, we identify atomic- and orbital-specific band shifts upon cooling through T_c. From these, together with x-ray absorption spectroscopy and x-ray magnetic circular dichroism measurements, we identify the states created by a covalent bond between the Te 5p and the Cr εg orbitals as the primary driver of the ferromagnetic ordering in this system, while it is the Cr t₂g states that carry the majority of the spin moment. The t₂g states furthermore exhibit a marked bandwidth increase and a remarkable lifetime enhancement upon entering the ordered phase, pointing to a delicate interplay between localized and itinerant states in this family of layered ferromagnets.

DOI: 10.1103/PhysRevB.101.205125

I. INTRODUCTION

The recent discovery of long-range ferromagnetic order in single/bilayer transition metal chalcogenides and halides [1,2] has opened new opportunities for studying fundamental questions in magnetism [3], and has provided a powerful and highly tunable platform for the engineering of magnetic interactions [3,4] and for the creation of novel device structures [5–7]. Typically, such two-dimensional magnets are realized by isolating individual layers of magnetic van der Waals materials systems. Even in the parent bulk compounds, however, the microscopic origins and nature of their magnetic ordering has proved controversial, and key quantities such as how the underlying electronic structure evolves into the magnetic state remains completely unexplored to date. In this work, we show how such electronic structure information, extracted from temperature-dependent angle-resolved photoemission spectroscopy (ARPES), can be used to provide a direct probe of atomic- and orbital-specific energy gains underpinning the magnetic ordering.

We focus here on CrGeTe₃, a ferromagnetic semiconductor with a T_C of ≈63 K in its bulk form [8–10]. The transition temperature decreases with reducing thickness, but long-range ferromagnetic order persists down to the bilayer (i.e., when the material is thinned such that it hosts only two Cr-containing layers stacked along the out-of-plane direction) [2]. Its crystal structure [Fig. 1(a)] can be considered as a variation on the CdI₂-type layered I'T transition metal dichalcogenides [11]. Compared to metastable I'T-CrTe₂ [12], however, one-third of the Cr sites are replaced by Ge dimers. Within a simple ionic picture, the remaining Cr ions are thus in a more stable 3+ nominal charge state, and are arranged in a honeycomb lattice, similar to the CrX₃ trihalide family [13].

II. METHODS

Single crystals of CrGeTe₃ were grown using Te as a flux following previously reported procedures [9]. The crystals were separated from the flux by centrifuging at 500 °C and the resulting crystal platelets were cleaned free of any excess Te. The crystals were found to exhibit a sharp magnetic transition at ~65 K [see Fig. SM1 in the Supplemental Material (SM) [14]] in good agreement with previous literature reports [10]. ARPES measurements were performed at beamlines APE-LE at Elettra, Italy and I05 at Diamond Light Source, UK. Single crystal samples were cleaved in situ, and ARPES measurements were performed using p-polarized photons (photon energies specified in the figure captions) at sample temperatures between 25 and 150 K.

Since CrGeTe₃ is a semiconductor with a resistivity that becomes large at low temperatures [9], charging of the sample due to the emission of photoelectrons can become a
restrictive issue. In practice, we found that certain regions of the sample suffered less from charging than others, despite having the same band features at higher temperature. This is presumably due to local variations in the residual conductivity, and thus ease of replenishing the photocurrent. We further reduced the beamline flux to a very low value. In this way, we were able to measure the temperature-dependent electronic structure down to $T \approx 40$ K with negligible shifting of the bands when doubling the photon flux (indicating an absence of charging). Below 40 K we were not able to fully avoid sample charging effects, but in the worst case, in our data taken at $T = 25$ K and $h\nu = 61$ eV, only a moderate rigid shift of the bands ($\approx 55$ meV) was observed when doubling the flux, without significant broadening of the features of interest. By analyzing only the lowest-flux data and also consistently referencing the energy scale to the valence band maximum, the sample charging effects are mitigated in the data presented here.

X-ray absorption spectroscopy (XAS) and x-ray magnetic circular dichroism (XMCD) measurements were performed in the high-field magnet end-station on beamline I10 at Diamond Light Source. The sample was cleaved in situ in the preparation chamber and transferred under ultrahigh vacuum to the high-field magnet chamber. Spectra were measured with 100% left- and right-circularly polarized light in total-electron yield detection, which probes the top 3–5 nm of the sample [15]. The XMCD measured as a function of field showed that at 20 K the sample magnetization saturates at $\sim 0.5T$. A field of 2 T was used for the measurements shown here. A reference sample of Cr$_2$O$_3$ was measured to provide an energy calibration, as well as to confirm that the measured CrGeTe$_3$ is free from surface oxidation.

Atomic multiplet theory calculations were employed to calculate the Cr $L_{2,3}$ XAS and XMCD spectra using the electric-dipole transitions $3d^n \to 2p^3 3d^{n+1}$, where the spin-orbit and electrostatic interactions are treated on an equal footing [16,17]. The wave functions of the initial- and final-state configurations are calculated in intermediate coupling using Cowan’s atomic Hartree-Fock (HF) code with relativistic corrections [18,19]. The atomic electrostatic interactions include the $3d$-$3d$ and $2p$-$3d$ Coulomb and exchange interactions, which are reduced to 80% and 75%,
respectively, of their atomic HF value to account for the intraatomic screening [16]. Hybridization effects are included by mixing the Cr $3d^3$ and $3d^3L$ wave functions, where $L$ represents a hole on the neighboring atoms in states of appropriate symmetry. The charge-transfer energies in the initial and final state are $E(3d^3L) - E(3d^3) = -1$ eV and $E(2p^33d^3L) - E(2p^33d^3) = -3$ eV, respectively. Note that a negative “charge transfer energy” here in the initial state implies that the average energy of the $E(3d^3L)$ configuration is lower; similarly negative values were used for Cr$_{1-x}$Te [20]. An octahedral crystal field of $10Dq = 1.8$ eV was included. The hybridization parameter $T = \langle \psi (d^3)h|\psi (d^3L)\rangle$ was 1.7 eV. The calculated Cr $L_3$ ($L_2$) line spectra are convoluted by a Lorentzian with a half width of $\Gamma = 0.2$ eV (0.4 eV) for the intrinsic lifetime broadening and a Gaussian with a standard deviation of $\sigma = 0.15$ eV for the instrumental broadening.

III. RESULTS

Our ARPES measurements within the paramagnetic state above $T_c$ are shown in Fig. 1. Consistent with previous studies [21–24], these indicate that the uppermost valence bands are comprised of a set of highly dispersive states, derived from the Te $5p$ orbitals [Figs. 1(c)–1(f)]. Very similar to the $1T$ transition metal dichalcogenides [25], the $5p_{x,y,z}$ orbitals form a pair of quasi-2D states at the valence band top, which are separated by a strong spin-orbit splitting of $\approx 500$ meV [Fig. 1(f)]. Additionally, there is a $5p_z$-derived state which has a strong out-of-plane dispersion, reaching a maximum at the bulk $\Gamma$ point [Fig. 1(e)].

Here, we focus on the deeper-lying states. Around 0.8–1.5 eV below the top of the valence bands we observe a set of flat bands [Fig. 1(d)]. Their spectral weight is particularly pronounced when measured using photon energies which are tuned into resonance with the core Cr $3p$ to $3d$ transition: they are barely visible when measured using off-resonant photon energies just below this $M$-edge transition in Fig. 2(a), but dominate the spectrum on resonance [Fig. 2(b)], before returning to a lower intensity for photon energies above the transition [2(c)]. This reflects a resonant enhancement of photoemission intensity from states with Cr $d$-orbital character [26]. Quantitative analysis of the spectral weight associated with these states [Fig. 2(d), red points] allows us to identify the flat bands as having almost entirely Cr $3d$ character.

In the simplest picture for Cr$^{3+}$ with a $3d^3$ configuration, and thus a half-filled $t_{2g}$ shell [Fig. 3(f)], metallic Cr-derived states would be expected at the Fermi level. From our resonant-ARPES measurements, it is clear that the uppermost Cr-derived states, which we thus assign as $t_{2g}$ states [27], are located around 1 eV below the valence band maximum, $E_{v}$.

This reflects how on-site Coulomb repulsion terms ensure the $t_{2g}$ shell remains locally spin-polarized even in the paramagnetic phase, although without long-range order of the spin orientation. Nonetheless, the relatively small binding energy of these states, their small but finite dispersion, and the fact that they remain rather sharp and not completely incoherent in our measurements [Fig. 1(d)] indicates that the effective on-site Coulomb term is somewhat modest in this system. The overall appearance of this $t_{2g}$ manifold is in strong contrast with that observed in, e.g., PdCoO$_2$, where $t_{2g}$ states of Cr$^{3+}$ form a Mott-insulating subsystem and give rise to a very broad band of incoherent excitations at a higher binding energy of 2 eV [28]. Thus, although the local Coulomb repulsion terms are relevant for understanding the physics of CrGeTe$_3$, the material does not reach the limit of fully localized and totally incoherent excitations as suggested by recent dynamical mean field theory calculations [21]. Instead, we suggest that the “local band theory” [29] or the “disordered local moment” technique [30] could be more appropriate theoretical approaches to describing the paramagnetic phase of CrGeTe$_3$.

Our resonant-ARPES measurements also reveal an additional state located at $\approx 2$ eV below the valence band maximum which exhibits a roughly twofold spectral weight enhancement at the Cr $M$-edge resonance [blue points in Fig. 2(d)]. While less pronounced than for the resonant enhancement of the $t_{2g}$ states, our measurements still point to a partial Cr-derived character of this higher binding-energy state. We attribute this to the breakdown of an ionic picture for CrGeTe$_3$. The extensive $5p$ orbitals of Te significantly overlap with the Cr $e_g$ orbitals [Fig. 3(e)], and can be expected to form strongly covalent bonding and antibonding states [31,32].
FIG. 3. Evidence for partial occupation of the \( \epsilon_g \) orbitals from XAS and XMCD. (a) Dichroic absorption spectra for the Cr \( L_{2,3} \) edges measured in an applied field of 2 T, which saturates the magnetization (see methods). (b) Simulation of XAS and XMCD from multiplet calculations, reproducing the essential features of the experimental spectra. (c) Edge-sharing CrTe\(_6\) octahedra. (d) For perfect octahedra and exact bond angles, \( \sigma \) hopping is forbidden from the \( 5p \) to the \( t_{2g} \) states by symmetry. (e) In contrast, at least one of the \( \epsilon_g \) orbitals is available for \( \sigma \) hopping. (f) Schematic representation of the nominal ionic configuration of two adjacent Cr atoms and one of the two intermediary Te atoms. The orbitals are defined as in (d),(e) with \( \text{non} \) representing an additional nonbonding \( 5p \) state. (g) Corresponding orbital configuration for a state that additionally hosts a significant \( 5p - \epsilon_g \) covalent bonding. The unppaired spins on the Te site interact according to \( J''_{\text{Te}} \), which gives an energy gain for on-site alignment of spins; this process thus mediates a net ferromagnetic superexchange between the Cr sites.

bonding states will be fully occupied, while the antibonding states will form the unoccupied conduction band.

To confirm this, in Fig. 3(a) we show x-ray absorption spectroscopy (XAS) and x-ray magnetic circular dichroism (XMCD) measurements at the Cr \( L_{2,3} \) edge (see methods). Compared to a reference sample of Cr\(_2\)O\(_3\) (Cr\(^{3+}\), \( d^3 \)), we find that the Cr \( L_{2,3} \) edge of CrGeTe\(_3\) is shifted to lower photon energies (Fig. SM2 in SM [14]). Qualitatively, this indicates a higher \( d \)-electron count in CrGeTe\(_3\) as compared to Cr\(_2\)O\(_3\). Additional insight can be gained by comparison with atomic multiplet calculations (see Methods). To obtain the good agreement between the measured and calculated XAS shown in Fig. 3(b) necessitated treating the ground state of Cr as a hybridized state with approximately 50\% \( d^3 \) and 50\% \( d^5 \) character, corresponding to a \( d \)-electron count of \( n_d \approx 3.5 \). This simultaneously reproduces the measured XMCD spectrum, for which we observe a very strong dichroism [Fig. 3(a)], and for which our calculations yield physically reasonable values for the Cr ground state orbital moment of \( m_L = -0.022 \mu_B/\text{Cr} \) and effective spin moment \( m_S = 2.81 \mu_B/\text{Cr} \) (i.e., 0.80 \( \mu_B \) per 3\( d \) electron).

Further evidence for the hybridization of Cr \( d \) states with Te \( 5p \) states comes from the observation of an additional peak in the XAS which we attribute to the Te \( M_5 \) edge. This is challenging to observe in Cr-based materials as the Te \( M_5 \) edge lies close in energy to the Cr \( L_3 \) edge, which will have a significantly larger intensity [33]. Nonetheless, in both the XAS and XMCD spectra, we find a small peak at 573 eV, which agrees well with the energy at which the Te \( M_5 \) edge is expected [green arrow in Fig. 3(a)]. The absence of such a feature in XAS measurements of CrI\(_3\) [34,35] support that the peak observed at 573 eV here is indeed from the Te \( M_5 \) edge. This directly indicates the presence of empty Te \( 5p \) states above the Fermi level, since the electric-dipole transitions from \( 3d \) initial states are only allowed to \( p \) and \( f \) final states.

On the basis of the XAS, XMCD, and resonant ARPES measurements, we thus attribute the state evident in our ARPES measurements at \( \approx 2 \) eV below the valence band top as a bonding \( 5p - \epsilon_g \) hybridized state. As shown in Figs. 4(a)–4(d), these \( 5p - \epsilon_g \) states at \( \Gamma \) shift to lower energy below \( T_C \). The large magnitude of the shift (\( \sim 130 \) meV), and its sharp onset at \( T_C \), are indicators that this state is the leading candidate for driving the ferromagnetic ordering in this system. In contrast, the Cr \( t_{2g} \) states exhibit more gradual shifts. These begin at temperatures above \( T_C \), and [from examining the overall spectra, and from analysis of EDCs at momenta away from the high-symmetry point, e.g., Figs. 4(e) and 4(f)] mostly reflect an increase in bandwidth of the \( t_{2g} \) states with decreasing temperature, rather than a rigid band shift.

The dichotomy in the temperature-dependent evolution of the \( 5p - \epsilon_g \) and \( t_{2g} \) states through \( T_C \) provides important insights into the exchange mechanism underpinning ferromagnetism in CrGeTe\(_3\). The long Cr-Cr bond length (3.944 Å) means that direct exchange will be minimal. Moreover, for the edge-sharing octahedral geometry here [Fig. 3(c)], with the Cr-Te-Cr bond angle being very close to 90° [8,9], the formation of \( \sigma \) bonds between the \( t_{2g} \) orbitals and the anions is strongly suppressed [Fig. 3(d)] [36]. Given this, for the nominal ionic configuration represented in Fig. 3(f), there would be no mechanism to link the spin directions of the \( t_{2g} \) shells of neighboring Cr sites. However, the \( 5p - \epsilon_g \) hybridization identified above is fully symmetry allowed [Fig. 3(e)]. The observation of the corresponding hybridized state in both our ARPES and XAS/XMCD measurements indicates how, beyond the nominal ionic configuration, there is also a significant occupation of orbital configurations with an electron in the \( \epsilon_g \) shell and a hole in the corresponding \( \sigma \) Te orbital [3(g)].

This provides a natural route to mediate the magnetic interactions via a superexchange mechanism involving the Te atoms. Due to the strong Hund’s rule coupling on the Cr sites, the \( \epsilon_g \) state must be occupied parallel to the local
spin orientation of the $t_{2g}$. When two such bonds are formed simultaneously towards the two neighboring Cr atoms, as shown in Figs. 3(e) and 3(g), the unpaired electrons in orthogonal $\sigma$ and $\sigma'$ orbitals also interact on the Te site, according to the Hund’s rule $J_{H}^{Te}$. This therefore gives an energetic incentive for the development of a net spin moment on the Te sites, antiparallel to the neighboring Cr sites, and overall mediating a ferromagnetic alignment of spins between the Cr sites. Such an antiparallel spin moment on the Te sites is consistently found in DFT calculations of the magnetic ground state [31,32]. Consistent with this, we note that the Te $M_{5}$ x-ray absorption edge discussed above [Fig. 3(a)] exhibits a negative XMCD signal, with the same sign as the Cr $L_{3}$ edge. Since the azimuthal quantum numbers for the orbitals in these two electric-dipole transitions are opposite (Te $3d \rightarrow 5p$ and Cr $2p \rightarrow 3d$, respectively), this means that the Te and Cr moments are aligned antiparallel.

The above discussions advance a description of the magnetic ordering in CrGeTe$_{3}$ via a double exchange mechanism, since both kinetic (delocalization of 5$p$ orbitals) and potential (Hund’s coupling on both sites) terms are involved. Indeed, it can be considered as a classic example of how superexchange generally favors ferromagnetism when the relevant bond angles are nearly 90° [37]. As it necessarily involves two separate anion orbitals, it is a weaker interaction than the standard 180° superexchange mechanism involving only one anion orbital, consistent with the much lower transition temperature in CrGeTe$_{3}$ than, e.g., antiferromagnetic LaCrO$_{3}$ ($T_{N} = 295$ K). The important role of ligand orbitals in understanding the ferromagnetic superexchange was also recently highlighted in Refs. [31,35,38,39], but here we are able to verify the dominance of the Te 5$p$-mediated exchange mechanism by identifying a key experimental signature, i.e., the energy lowering of the bonding $5p - e_{g}$ state below $T_{C}$ [Fig. 4(d)]. This is a signature of the Hund’s energy gain on the Te site between pairs of these bonding states, and in fact our measurements here can be taken as one of the most direct confirmations to date of the validity of Kanamori’s elegant reasoning regarding 90° superexchange [37].

The resulting emergence of long-range magnetic order below $T_{C}$ leaves several other intriguing fingerprints in the spectral function. The first is the increase in bandwidth of the $t_{2g}$ states below $T_{C}$ [Fig. 4(f)]. Although $pd\pi$ hoppings are geometrically suppressed for the $t_{2g}$ states (forbidden in the case of perfect octahedra), direct $dd$ (and some $pd\pi$) hopping processes are still allowed. Thus, in the ferromagnetic ground state, the $t_{2g}$ states hybridize to a limited degree, developing a finite dispersion [Fig. 4(b)]. As discussed above, in the paramagnetic state above $T_{C}$, the local $S \approx 3/2$ moment is still formed but fluctuations destroy long-range order. A large energy penalty is thus incurred due to the Hund’s interaction if an electron hops onto a neighboring site with a different spin orientation. The effective $dd$ hopping processes will therefore be suppressed, consequently narrowing the $t_{2g}$ bandwidth. Experimentally, we find that the bandwidth evolves slowly with increasing temperature, and continues to decrease well above $T_{C}$ [Fig. 4(f)]. This suggests that the probability of $dd$ hopping processes scales with the spin-spin correlation length, which will be gradually suppressed with increasing temperature above $T_{C}$ where short-range spin fluctuations are known to persist [40-42].

Furthermore, we find that the Cr-derived states measured in ARPES exhibit a particularly large increase in lifetime upon cooling through $T_{C}$. While this is already evident from a pronounced reduction in linewidth of both the Cr $t_{2g}$ and hybridized $5p-e_{g}$ states at the $\Gamma$ point (Fig. 4), it is particularly apparent for a somewhat more dispersive state 0.8 eV below the valence band maximum at the $\Gamma$ point, shown in Fig. 5. The relatively steep in-plane dispersion of this state likely reflects finite hybridization with Te 5$p$ orbitals, where they become nearly degenerate with the Cr $t_{2g}$ states. While the Te 5$p_{z}$ at the valence band top exhibit only weak linewidth changes with increasing temperature, the linewidth of this hybridized Cr-Te state increases by more than a factor of two at the ferromagnetic transition [Figs. 5(d) and 5(e)]. Although it is normal for ARPES spectra to display temperature-dependent linewidths due to electron-phonon
coupling and other scattering mechanisms, the magnitude of the change here is remarkable. We attribute this to a much reduced probability of spin-flip scattering in the ferromagnetic state, where the spins are aligned, pointing to a significant influence of magnetic fluctuations on shaping the underlying electronic structure of the paramagnetic state. The particular sensitivity of the state identified here likely reflects its more substantial degree of itineracy, and thus a more extensive wave function, which will exhibit greater sensitivity to longer-range spin alignment. Furthermore, our observations show an interesting correspondence with the substantial increase in phonon lifetimes below $T_C$ as reported by Raman scattering measurements [43], suggesting that electron-phonon as well as spin-phonon scattering could contribute to that effect.

IV. CONCLUSIONS

Overall, our ARPES measurements reveal distinct temperature-dependent energy shifts and linewidth changes that are linked, in different ways, to the onset of ferromagnetic ordering. On the scale of the whole bandwidth, however, it is worth observing that the spectral functions of the ferromagnetic and paramagnetic states show a similar global structure. This implies that the Cr sites retain a local and instantaneous spin polarization even in the paramagnetic phase. Thus, as a starting point, the local moment perspective can be used as for CrGeTe$_3$ and related materials. However, the Cr $3d$ states also have significant dispersions, hybridize strongly with the Te $5p$ orbitals where allowed by symmetry, and display rich and complex temperature-dependent signatures in our experimental electronic structure measurements, exposing the limitations of the local moment picture. Nevertheless, by combining the analysis of the orbital character of states and energy shifts through $T_C$, one can obtain unique insights into the superexchange mechanism.

Due to the structural similarity between CrGeTe$_3$ and the CrX$_3$ family, we expect the ideas developed here to be applicable also in the trihalides. Indeed, it is notable that the magnetic ordering temperature in that family increases with increasing covalency: from 16 K in CrCl$_3$ to 61 K in CrI$_3$ [4,44], while a substantial occupation of Cr $e_g$ orbitals has also been detected in CrI$_3$ [34]. Excitingly, high-quality ARPES measurements can now be performed on exfoliated flakes of 2D materials [45,46]. Thus the spectroscopic approach outlined here opens powerful new routes to study how the magnetic ordering tendencies evolve when the material is thinned to the few-layer limit, where $T_C$ is known to be strongly suppressed [2]. We have shown how ARPES measurements provide direct access to the energy scales ultimately underpinning the formation of ferromagnetism; the observation of temperature-dependent band shifts such as those observed here will thus allow determining whether the driving force for ferromagnetism is weakened when interlayer coupling is removed or whether the suppression of $T_C$ can instead be attributed to the growth of fluctuations in the 2D limit. More generally, the results shown here indicate how an experimental band-structure perspective can give important insight even in a “local moment” magnetic system.

ACKNOWLEDGMENTS

We thank C. Cacho, C. Hooley, and S. Soltani for useful discussions. We thank G. Vinai and J. Fuji for support during beamtime measurements. We gratefully acknowledge The Leverhulme Trust (Grant No. RL-2016-006), The Royal Society, and the European Research Council (Grant No. ERC-71493-QUESTDO) for support. We thank Diamond Light Source for access to beamlines I05 (Proposals No. SI21986 and No. NT22794-3) and I10 (Proposal No. MM23785) and Elettra synchrotron for access to the APE beamline, which all contributed to the results presented here. This work has been partly performed in the framework of the Nanoscience Foundry and Fine Analysis (NFFA-MIUR, Italy) facility. I.M. and E.A.M. acknowledge financial support by the International Max Planck Research School for Chemistry and Physics of Quantum Materials (IMPRS-CPQM). The work at the University of Warwick was funded by EPSRC, UK through Grant EP/T005963/1. The research data supporting this publication can be accessed at the University of St Andrews Research Portal [47].

FIG. 5. Lifetime enhancements below $T_C$. (a)–(c) Temperature-dependent ARPES measurements at the T point ($h\nu = 61$ eV) at temperatures of (a) 102 K, (b) 66 K, and (c) 25 K. (d) Corresponding EDCs at the T point. (e) The extracted full width at half maximum of the uppermost $t_{2g}$ state, determined from fits to these EDCs, shows a remarkable sharpening upon cooling thought the ferromagnetic ordering transition. This indicates a pronounced increase in lifetime of this state, in contrast to the almost temperature-independent lifetime of the uppermost valence band states. For fit details, see Fig. SM3 in SM [14].
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