Point-of-care CD4+ technology implementation in Free State, South Africa, was associated with improved patient health outcomes

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Background. Point-of-care (POC) CD4+ technologies have the potential to increase patient access to treatment and care through rapid testing and result delivery at or close to where patients seek care. South African (SA) guidelines suggest the use of CD4+ testing to prioritise patients most in need of antiretroviral therapy (ART) and to support identification of patients with advanced HIV disease and opportunistic infections. This study aimed to determine the impact of implementing POC CD4+ testing technologies compared with conventional laboratory-based CD4+ testing.

Objectives. To determine the patient impact (turnaround time of tests, loss to follow-up, and proportions of eligible patients proceeding to the next steps in the testing and treatment cascade) of implementing POC CD4+ testing technologies compared with conventional laboratory-based CD4+ testing.

Methods. This retrospective cohort study included all HIV-positive adults from 30 healthcare facilities in Free State Province, SA. Healthcare facilities were placed into two groups (POC and laboratory referral) using a stratified randomisation technique based on the presence of a POC CD4+ technology and minimal ART volumes. Patients who received a CD4+ test prior to ART initiation between September 2012 and September 2014 were included. Data were collected from patient charts and the POC devices.

Results. For new patients, the average time from HIV diagnosis and CD4+ testing was reduced from 7.6 days in the laboratory referral group to 4.5 days in the POC group, a decrease of almost 60%. Additionally, 59.6% of patients in the POC group received their HIV diagnosis and CD4+ test result on the same day, compared with 37.5% in the laboratory referral group (risk ratio (RR) 1.49; 95% confidence interval (CI) 1.01 - 2.18). Fewer patients were lost between HIV diagnosis and CD4+ testing (2.7% v. 8.6%) (RR 0.02; 95% CI 0.05 - 0.78) in the POC group. The average test error rate across the study time period was 8.4%; however, the error rate remained <5% for the final 5 months of the study.

Conclusions. Introduction of the Alere Pima POC CD4+ technology in the Free State, operated by nurses and lay counsellors, was associated with positive patient outcomes across all parameters analysed. While this study highlighted an effective conventional laboratory network, a full costing and affordability analysis coupled with patient impact and access data from this study will provide further insight into the potential deployment strategies of POC CD4+ technologies in SA.
eligibility of HIV-positive patients and are typically operated by nurses and lay counsellors. The primary objective and research question of this study was to determine the patient impact (turnaround time of tests, loss to follow-up, and proportions of eligible patients proceeding to the next steps in the testing and treatment cascade) of implementing POC CD4+ testing technologies compared to conventional laboratory-based CD4+ testing. Assessing the potential patient impact of POC CD4+ testing in the intended healthcare facility setting operated by lower cadres of healthcare facility staff will provide valuable insight into the appropriate and possible placement and use of POC CD4+ technologies throughout SA.

Methods
Study setting
Healthcare facilities with and without POC CD4+ were randomly placed into two groups using a stratified randomisation technique. A total of 235 publicly supported Department of Health facilities were considered for selection. To ensure that each healthcare facility included contributed relatively similar proportions of patient data, we stratified the random facility selection to include only those healthcare facilities with >100 pre-ART patients during the previous year. All 30 healthcare facilities with Pima technology were among the 135 healthcare facilities meeting these volumes. Fifteen of the 30 healthcare facilities with Pima technology were randomly selected to make up the POC group. Fifteen of the remaining 105 healthcare facilities without Pima technology were randomly selected to make up the laboratory referral group.

Healthcare facilities in both groups were widely distributed throughout the province, covering 14 of the 19 municipalities.

Study design
This was a retrospective cohort study reviewing data from all HIV-positive adults aged ≥18 years who received a CD4+ test prior to ART initiation from each of the 30 selected healthcare facilities. The Alere Pima POC CD4+ technology implementation began in March 2012 and was completed in the Free State in September 2012; only patients with CD4+ tests after September 2012 in both groups were therefore included. Data collection was conducted in September 2014 with data included from the previous 2 years for both groups. Patient charts were the primary tools used for data collection, while CD4+ testing registers and ART registers were also consulted. Sex, age, clinic visit dates, CD4+ test result and ART initiation status were captured for each patient. No personal identifiers were collected from patients. Records with inaccurate dates were corrected upon confirmation from the healthcare facility or excluded from analysis. In addition, primary raw data from the Alere Pima devices were downloaded when available to find out the percentage and types of errors encountered by healthcare facilities in the POC group as well as daily control bead tests run and operator volumes and errors. Errors can be due to operator or device malfunctions and are fatal, therefore requiring a repeat test. Internal control bead cartridges, consisting of one low CD4+ count and one normal CD4+ count cartridge, should be run each day prior to patient testing.

Data analysis
Primary parameters measured included retention and loss to follow-up along the testing and treatment cascade as well as time between steps in the testing and treatment cascade. Medians (interquartile ranges (IQRs)) and percentages were used for descriptive comparisons of values. Retention and loss-to-follow-up parameters were analysed using a univariate logistic model to estimate the risk ratios (RRs) and 95% confidence intervals (CIs). Regression coefficients were estimated using generalised estimating equations, accounting for patient clustering by healthcare facility. Robust standard errors were used to allow for different variances between groups. We used the exchangeable working correlation matrix, which assumes equal correlations between all clusters. A log link function and the Poisson distribution were used with a contrast statement to directly estimate RRs. Not all patients had complete records; retention along the testing and treatment cascade was therefore calculated using patient records that contained all necessary data for the specific metric. If a required date or information was absent, the patient was excluded from that specific analysis.

Patients were considered lost to follow-up if they: (i) did not receive their CD4+ test result and did not return to the healthcare facility within 90 days; (ii) did not initiate ART (if eligible) and did not return to the healthcare facility within 90 days; or (iii) did not return to the healthcare facility within 90 days.

The SA ART guidelines changed in the middle of this study and were incorporated into the analysis. The ART eligibility criteria changed from a threshold of 200 cells/µL to 350 cells/µL in March 2013. During the study period, patients were considered eligible for ART initiation with an absolute CD4+ count ≤200 cells/µL before March 2013 and ≤350 cells/µL after March 2013.

Data were entered into Excel version 16.16.15 (Microsoft Corp., USA) and analysed using SAS version 9.3 (SAS Institute, USA) and GraphPad Prism version 6.0 (GraphPad Software Inc., USA). Two researchers independently analysed and confirmed the results. The protocol for the study was reviewed and approved by the Ethics Committee of the University of the Free State and the Free State Department of Health and received a protocol exemption from the Chesapeake IRB (USA) (ref. no. Pro000010543).

Results
Study demographics
A total of 1 491 patients were included in the study. Overall, 66.5% of the patients were female (Table 1). Approximately 50% of enrolled patients were between the ages of 30 and 45 years, while the median (IQR) age was 35 (29 - 43) years. The median CD4+ count was 314 cells/µL and the median CD4+ count at ART initiation 243 cells/µL. Of patients with World Health Organization (WHO) staging of 314 cells/µL and the median CD4+ count at ART initiation 243 cells/µL. Of patients with World Health Organization (WHO) staging (n=1 153), almost 70% were WHO stage 1 or 2 at presentation for CD4+ testing. In the POC group, the median CD4+ count was 327 (184 - 504) cells/µL, while the median CD4+ count at ART initiation for eligible patients was 240 (125 - 343) cells/µL. In the laboratory referral group, the median CD4+ count was 298 (152 - 463) cells/µL, while the median CD4+ count at ART initiation for eligible patients was 245 (115 - 342) cells/µL. These demographic results were not significantly different between the two groups. Approximately 52% of patients in the POC group and 59% of patients in the laboratory referral group were eligible for ART initiation (Fig. 1).

Patient impact outcomes
The median times between HIV diagnosis, CD4+ sample taken, CD4+ test completed and result received were ≤1 day for both groups, with no significant differences between the groups. The proportion of patients who received their CD4+ test result was >95% in both groups; however, more patients in the POC group than in the laboratory referral group received their result on the day of testing (83.5% v. 64.1%; RR 1.25; 95% CI 1.01 - 1.54; p=0.04) (Fig. 2A).

Comparable or improved patient impact was observed in the POC group compared with the laboratory referral group across most parameters analysed (Fig. 2B). Approximately 60% of patients...
Table 1. Study patient demographics

|                     | Total          | POC            | Laboratory referral |
|---------------------|----------------|----------------|---------------------|
| All patients enrolled, N | 1 491          | 811            | 680                 |
| Female, %           | 66.5           | 67.3           | 65.6                |
| Age (years), median (IQR) | 35 (29 - 43)  | 35 (29 - 43)  | 35 (29 - 44)       |
| Age 30 - 45 years, % | 50.7           | 52.0           | 49.1                |
| WHO stage, %        |                |                |                     |
| 1                   | 43.0           | 42.0           | 44.1                |
| 2                   | 25.8           | 28.6           | 22.7                |
| 3                   | 27.4           | 26.3           | 28.7                |
| 4                   | 3.8            | 3.2            | 4.5                 |
| CD4+ count (cells/µL), median (IQR) | 314 (171 - 488) | 327 (184 - 504) | 298 (152 - 463) |
| CD4+ count (cells/µL) at ART initiation, median (IQR) | 243 (119 - 343) | 240 (125 - 343) | 245 (115 - 342) |

POC = point of care; IQR = interquartile range; WHO = World Health Organization; ART = antiretroviral therapy.

We next wanted to find out whether the observed changes in patient impact outcomes were due to early excitement about and attention to introducing a new technology to the healthcare facilities. Data were separated between the 2 years of the study for both groups, October 2012 - September 2013 and October 2013 - September 2014. For the POC group, although few samples were tested, eligible, and included in the first year, most patient impact parameters remained the same or improved in the second year of testing (data not shown).

**Quality control of the POC CD4+ technology**

The total Pima error rate for all healthcare facilities providing primary device data was 8.43%. The most prevalent errors observed were invalid test error 850 (25.2%), 880 (12.7%) and 910 (10.9%) (Fig. 3A). These three error types accounted for almost 50% of all errors. Manufacturer guidance suggests that invalid test error 850 and 880 can be either operator or equipment related, whereas invalid test error 910 is most often operator-related. Seventy percent of healthcare facilities had error rates <10% for the total time period analysed. Interestingly, the five highest-volume healthcare facilities had error rates <10% (Fig. 3B).

Although these error rates were higher than the minimal manufacturer-suggested error rate threshold of 5%, we investigated whether performance improved over time. When the Pima technology is initially implemented, before healthcare facility staff become familiar with the technology, error rates can be higher. Indeed, when we analysed the data across time we saw that error rates dropped progressively. Since September 2013, error rates have remained at or below the minimal error rate threshold of 5% (Fig. 3C). Four months were excluded from this analysis when healthcare facilities experienced stock-outs and were unable to test patients.

We also reviewed the regularity of running both the low and normal daily internal controls. Five out of the 13 healthcare facilities with data consistently ran both low and normal controls on <95% of testing days. The remaining eight healthcare facilities ran both the low and normal controls on <30% of testing days. Interestingly, however, 10 out of the 13 healthcare facilities ran at least one control on >80% of patient testing days.

**Healthcare cadres operating the POC CD4+ technology**

The POC CD4+ devices were placed in the ART clinics rather than in facility laboratories and were operated primarily by counsellors or nurses (Fig. 4). Counsellors conducted ~70% of all Pima tests and nurses ~15%. Although counsellors and professional nurses
conducted >85% of all Pima tests, their combined error rate was <7.5%. The error rate for counsellors was 6.8%. Of healthcare cadres that conducted >100 tests, registered nurses had the highest error rate of 10.1%.

**Discussion**

Introduction of the Alere Pima POC CD4+ technology in the Free State was associated with positive patient outcomes across all parameters analysed. Patients received their CD4+ test result earlier than they did when using the conventional referral network. A higher proportion of patients received a CD4+ test result, and a higher proportion of ART-eligible patients initiated ART. In addition, a lower proportion of patients were lost to follow-up across all time periods considered, including between HIV diagnosis and CD4+ test, between CD4+ test and ART initiation, and overall throughout the study. Although POC CD4+ on-site testing improved
outcomes, it is important to note that the performance of the conventional referral network provided good access to testing, with lower turnaround times and improved patient impact parameters compared with conventional referral networks observed in studies outside SA.\cite{8-11}

The WHO recently released recommendations suggesting the uptake of ‘treat all’ for all HIV-positive patients.\cite{12} As countries clinically, logistically and financially consider implementing ‘treat all’ widely, POC CD4+ may help to prioritise patients in most need of ART, particularly in settings with unpredictable drug supplies. Furthermore, SA guidelines suggest the use of CD4+ testing at ART initiation and to support HIV disease and opportunistic infection management of patients on ART. POC testing may allow for wider decentralisation of HIV care, expedited clinical decisions and self-sufficient facilities, thus further improving the health system.\cite{7}

While it is worth noting that the present work was an evaluation of programmatic implementation of POC CD4+ and not designed as a study, the results were consistent with previous studies conducted in SA. One study found that patients with access to POC CD4+ were more likely to return for further care (RR 1.25).\cite{13} Additionally, two randomised controlled trials found that ART-eligible patients who received a POC CD4+ test were significantly more likely to initiate ART than those who received a laboratory-based CD4+ test.\cite{14,15} Rosen et al.\cite{15} also found that earlier ART initiation in patients using POC CD4+ did not result in greater attrition after initiation compared with the standard of care. Finally, similar time reductions were observed between HIV diagnosis, CD4+ staging and ART initiation.\cite{14,16} The present study highlights that the previous research findings are consistent and applicable in a provincial public implementation programme.

The results observed are not as significant as we expected, or very different compared with results from other countries.\cite{9} This is probably due to an optimised and efficient CD4+ sample collection and result delivery system established by the National Health Laboratory Service as well as strong national infrastructure to allow for swift transport of samples. Furthermore, many of the healthcare facilities in the laboratory referral group were within 100 km of their reference CD4+ laboratory. In fact, 7 of the 15 healthcare facilities in the laboratory referral group were within 25 km of their reference CD4+ laboratory. It would there-
fore be worthwhile to find out whether the impact of POC CD4+ testing would be more significant in rural healthcare facilities further from their reference CD4+ laboratory.

While this and other studies clearly emphasise superior patient impact performance of POC CD4+ technologies compared with conventional laboratory-based testing, the cost-effectiveness and affordability of implementing this programme in the light of new guidelines are unclear. POC CD4+ has been shown to be cost-effective in Mozambique,[17] however, no similar study has been conducted in the SA context. A conventional and POC tiered approach has been suggested,[14,18] but the costs associated with both testing types are not sufficiently clear to indicate the affordability of each scenario in SA. Additional cost-effectiveness, costing and modelling work would be beneficial to determine the implications of POC CD4+ implementation into the national network in SA.

Study limitations

This study has several limitations. It was an observational retrospective programmatic implementation evaluation and therefore lacked the strength of a randomised controlled trial. In designing the study, we sought to limit sources of bias through randomly selecting facilities, consecutively enrolling all patients who matched the inclusion criteria, and maintaining the same time periods for both groups. Additional confounders may have occurred that were not incorporated into the final analysis. Fortunately, data management was strong and patient records were typically complete.

Conclusions

POC CD4+ improves timeliness and linkage to ART, but POC technologies are not the solution to all systems challenges. Although error rates were reduced over time to <5%, refresher training and routine monitoring would improve the testing system. Furthermore, the POC CD4+ technologies were not enrolled in any quality assurance programme for proficiency testing or monitored remotely using connectivity. Additional strengthening of the supply chain network, service and maintenance, and clinical guidance could further increase the observed patient impact. Implementation of POC CD4+ technologies will support faster, high-quality test result delivery and linkage in SA, while future research highlighting the patient impact and cost-effectiveness of POC CD4+ technologies in the context of advanced HIV disease identification would be beneficial.

Declaration. None

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Conflicts of interest. None

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