CLINICAL SIGNIFICANCE OF P16-POSITIVE STATUS AND HIGH INDEX OF PROLIFERATIVE ACTIVITY IN PATIENTS WITH OROPHARYNGEAL SQUAMOUS CELL CARCINOMA

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Abstract

Introduction. In accordance with UICC and AJCC 8th edition TNM classifications, there is a strong evidence for division of oropharyngeal squamous cell carcinoma (OPSCC) into 2 molecular subtypes by HPV-status with distinct prognosis depending on biological differences. Such a division leads to differences in staging OPSCC and in future it will lead to implementation of preventive measures and new therapeutic strategies against HPV-positive cancer. Aim of the study: to assess the clinical and prognostic significance of the combination of P16, a surrogate marker for HPV-positivity, and high proliferative activity in patients with oropharyngeal carcinoma. Material and Methods. Immunohistochemical (ICH) analysis with monoclonal antibodies specific for P16 and Ki67 proteins was used to detect expression patterns in the formalin-fixed, paraffin-embedded tumor samples obtained from 104 patients with squamous cell carcinoma of the tongue and oropharynx, treated at Oncological Dispensery № 1 in Krasnodar from 2011 to 2016. HPV-positive status was determined if more than 70 % of tumor cells had moderate or strong nuclear and cytoplasmic P16-staining. High index of proliferative activity (Pa) was detected if more than 50 % tumor cells expressed Ki67 nuclear antigen. Results. P16-positive status was associated with tonsillar cancer (p=0.002), female gender (p=0.015), age ≤60 years (p<0.001), non – keratinizing morphology (p=0.022), and high index of Pa (p=0.01). The combination of P16≥70 % with high Pa demonstrated correlation with tonsillar cancer (p<0.001), female gender (p=0.015), age under 60 years (p<0.001) and non – keratinizing morphology (p=0.012). HPV-positive patients and patients with a combination of P16≥70 % and high index of Pa at N1–2 had an overall survival benefit (p=0.021). Conclusion. The correlation between IHC-complex for P16≥70 %/Ki67>50 % and clinicopathologic parameters and overall survival confirms the biological features of HPV-associated cancer. The evaluation of this IHC-complex can increase the diagnostic accuracy of IHC-analysis of HPV-status and predict the prognosis of patients with OPSCC.

Key words: head and neck cancer, squamous cell carcinoma, human papillomavirus, P16INK4a-expression, Ki67-expression.
КЛИНИЧЕСКАЯ ЗНАЧИМОСТЬ P16-ПОЗИТИВНОГО СТАТУСА И ВЫСОКОЙ ПРОЛИФЕРАТИВНОЙ АКТИВНОСТИ У ПАЦИЕНТОВ С ОРОФАРИНГЕАЛЬНОЙ ПЛОСКОКЛЕТОЧНОЙ КАРЦИНОМОЙ

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Аннотация

Введение. По классификации tNM uICC/aJCC 8-го пересмотра предлагается разделить орофарингеальную плоскоклеточную карциному на 2 молекулярных подтипа по ВПЧ-статусу с различными клиническими и прогностическими характеристиками ввиду биологических особенностей. Так, имеются отличия в подходах к стадированию орофарингеального рака, и в будущем это приведет к реализации профилактических мероприятий и внедрению новых терапевтических стратегий в отношении ВПЧ-позитивного рака.

Цель исследования – оценить клинико-прогностическую значимость комбинации суррогатного маркера ВПЧ-позитивного статуса P16 с высокой пролиферативной активностью при орофарингеальном раке.

Материал и методы. Иммуногистохимический анализ выполнен с моно克莱ональными антителами к P16 и Ki67 на срезах с парафиновых гистоблоков опухолевых образцов 104 больных плоскоклеточным раком языка и ротоглотки, проходивших лечение в ГБУЗ КОД № 1 г. Краснодара в 2011–16 гг. P16-положительный статус определяли при умеренном или сильном ядерном и цитоплазматическом окрашивании более 70 % опухолевых клеток. Высокий индекс пролиферативной активности устанавливался, если более 50 % опухолевых клеток имели ядерное окрашивание антигена Ki67.

Результаты. P16-позитивный статус был связан с раком нёбных миндалин (р=0,002), характерен для женщин (р=0,015), ассоциирован с возрастом моложе 60 лет (р<0,001), морфологией без ороговения (р=0,02), высокой пролиферацией (р=0,01). Сочетание P16-позитивного статуса с высокой пролиферацией продемонстрировало корреляцию с раком нёбных миндалин (р<0,001), женским полом (р=0,015), возрастом молодее 60 лет (р<0,001) и морфологией без ороговения (р=0,012). ВПЧ-позитивные больные и пациенты с комбинацией P16-позитивного статуса и высокого индекса пролиферативной активности с метастазами лимфатических узлов (N1–2) имели более высокие показатели 3-летней общевойживаемости (р=0,021). Вывод. Корреляция ИГХкомплекса P16-позитивности и высокой пролиферативной активности с клинико-морфологическими особенностями и общей выживаемостью подтверждает особенности биологии ВПЧ-ассоциированного рака. Исследование комбинации индекса пролиферативной активности в дополнение к суррогатному маркёру ВПЧ-статуса приведет к повышению диагностической точности ИГХ-анализа ВПЧ-статуса и позволит определять прогноз пациентов с плоскоклеточным раком головы и шеи.

Ключевые слова: рак головы и шеи, плоскоклеточная карцинома, вирус папилломы человека, P16INK4a-экспрессия, Ki67.

Introduction

Head and neck squamous cell carcinoma (HNSCC) is the 5th most common malignancy and the 8th leading cause of cancer-related death worldwide [1, 2]. More than 600, 000 new cases of HNSCC are diagnosed annually. In Russian Federation, 5607 cases of pharyngeal cancer were registered in 2018 year. In the Krasnodar region, the South of Russia, 245 cases of pharyngeal cancer were diagnosed in the previous year. The 1-year mortality rates in Russia as a whole and in the Krasnodar region remained dramatically high, being 41.5 % and 45.5 % respectively [3]. Further studies dealing with the development of new treatment strategies and prognostic markers are required.

Tobacco smoking and alcohol consumption are the most important risk factors for HNSCC. In addition, human papillomavirus (HPV) infection plays a causal role in HNSCC [4, 5]. Oropharyngeal squamous cell carcinoma (OPSCC) is strongly associated with HPV infection. The majority of HPV-related OPSCC cases are caused by HPV16 (50–90 %) [6]. The incidence of oropharyngeal squamous cell carcinoma (OPSCC)
is increasing in epidemic proportion due to increase in HPV-related squamous cell carcinoma incidence. According to the National Comprehensive Cancer Network (NCCN) guidelines, HPV testing is recommended for all oropharyngeal tumors. Much evidence suggests that HPV-positive and HPV-negative OPSCC represent distinct subgroups of OPSCC, each with unique epidemiological and biological profiles [7–9].

In some cases, HPV infection can result in the integration of viral DNA into the nuclear DNA of human cells and the expression of oncogenic proteins E6 and E7. This process of integration is a key element of carcinogenesis. E6 protein interacts with tumor growth suppressor protein p53 and gives a signal to the cell to its destruction. P16 is a tumor growth suppressor that inhibits cyclin-dependent 4A kinase (CDK4a). In the presence of a transcriptionally active HPV virus, the hypophosphorylated retinoblastoma protein binds to the HPV E7 oncoprotein, allowing the E2F transcription activator to be constitutionally active, effectively blocking negative free pRb feedback on the CDKN2A gene incoding P16. Increased expression of P16 protein occurs as an attempt to hold uncontrolled cell division, which is mediated by a violation of the pRb pathway. In parallel with HPV detection, immunohistochemical (IHC) determination of P16 expression is often used as a surrogate biomarker for detection of HPV infection and activity of viral oncoproteins, which means the presence of transcriptionally active high oncogenic virus. IHC-staining for P16 detection is mainly an affordable procedure, and the cost of technical research is significantly cheaper than HPV-specific tests. In accordance with UICC and AJCC 8th edition TNM classifications, it is recommended to use a separate staging system for P16-positive OPSCC [10, 11]. The Guidelines from the College of American Pathologists recommend that pathologists should perform high-risk HPV testing on all patients with newly diagnosed OPSCC using surrogate marker P16 IHC. Additional HPV-specific testing may be done at the discretion of the pathologist and/or oncologist or in the context of a clinical trial. Expression of P16 ≥70% of tumor cells with moderate and strong nuclear and/or cytoplasmic P16-staining. IHC staining is considered positive when more than 70% of tumor cells inclusive had moderate and strong nuclear and cytoplasmic P16-staining. IHC staining of Ki67 less 25% of tumor cells was characterized as a low degree of expression, the interval 25–50% was considered as moderate proliferation and a high index of proliferation activity was established if more than 50% of tumor cells expressed Ki67 antigen.

**Material and Methods**

**Object of study and design**

We investigated medical records and formalin-fixed, paraffin-embedded tumor samples from 104 patients with squamous cell oropharyngeal carcinoma and squamous cell carcinoma of the tongue (table 1). The patients were treated at Clinical Oncological Dispensary № 1of Krasnodar region, from 2011 to 2016. The follow-up period ranged from 6 to 72 months.

**Immunohistochemical analysis**

Pretreatment tumor specimens were obtained and immunohistochemistry was performed on paraffin sections by the automated method on immunohisto-tstainer ThermoScientific using monoclonal antibodies specific for P16 (INK4 BioGenex clone at a dilution of 1:25) and Ki67 (clone SP6, LabVision at a dilution of 1:400). The reaction was visualized by the UltraVisionQantoDetectionSystem HRP DAB (ThermoScientific) detection system. HPV-status was considered positive when more than 70% of tumor cells inclusive had moderate and strong nuclear and cytoplasmic P16-staining. IHC staining of Ki67 less 25% of tumor cells was characterized as a low degree of expression, the interval 25–50% was considered as moderate proliferation and a high index of proliferation activity was established if more than 50% of tumor cells expressed Ki67 antigen.

**Statistical analysis**

The statistical analysis was performed using the statistical package IBM SPSS statistics version 22. Under the normal distribution of the trait in accordance with the Kolmogorov–Smirnov test, the Student’s t-test for independent samples was used in the comparative analysis of the mean values. Otherwise, the method of nonparametric analysis (Mann–Whitney U-test) was used. To assess the reliability of differences in the clinical and histopathological features in subgroups of HPV-status was used the method of distribution according to Pearson χ², in the cases provided by statistical methods, the index was calculated with Yates’ correction. The value of p<0.05 was estimated as statistically significant. The relative risk of detecting HPV-positivity was assessed depending on individual clinical and morphological parameters with the calculation of 95% CI. To assess overall survival depending on risk factors, the Kaplan-Meier curves were used, significance of differences was determined by log-rank test. The value of p<0.05 was estimated as statistically significant.
Table 1/Table 1
Clinical and pathologic characteristics of patients

| Parameter                      | Number of patients |
|-------------------------------|--------------------|
| **Age**                       |                    |
| ≤60 years                     | 59 (56.7 %)        |
| >60 years                     | 45 (43.3 %)        |
| **Gender**                    |                    |
| Male                          | 87 (84 %)          |
| Female                        | 17 (16 %)          |
| **Localization**              |                    |
| Base of the tongue            | 23 (22 %)          |
| Lateral pharyngeal walls      | 29 (28 %)          |
| Palatine tonsils              | 31 (30 %)          |
| Soft palate                   | 8 (8 %)            |
| **Pathologic features**       |                    |
| G1                            | 19 (18 %)          |
| G2                            | 60 (58 %)          |
| G3                            | 25 (24 %)          |
| Non-keratinizing              | 38 (40 %)          |
| Keratinizing                  | 62 (60 %)          |

**Results**

**P16-expression**

A total of 104 patients were diagnosed with HPV-positive OPSCC. According to HPV status, patients were divided into two groups. The first group consisted of 79 patients with HPV-negative status. The second group included 25 HPV-positive patients with P16-positive status. There were significantly more men than women in both groups (88.6 and 68 % respectively), but the proportion of female gender was significantly higher in HPV-positive group (RR=2.8, 95 % CI=1.213–6.503, p=0.015). As for age, in the first group there were 54.4 % (43 of 79) of patients older than 60 years. 22 (88 %) HPV-positive patients were significantly younger than 60 years (RR=1.9, 95 % CI=1.458–2.558, p<0.001).

It was revealed that cancer of the base of the tongue was associated with P16-positive status (RR=2.431, 95 % CI=1.218–4.851 p=0.014). Tonsillar cancer also demonstrated P16-positivity (RR=2.602, 95 % CI=1.507–4.492, p=0.002). Cancer of the lateral walls of the oropharynx had an inverse correlation with P16-positivity (RR=0.113, 95 % CI=0.016–0.788, p<0.05), and was observed in 35.4 % (28 out of 79) cases with P16-negative tumors and in 40 % (10 out of 25) of P16-positive tumors. The risk of P16-positive status for the non-keratinizing type of HNSCC increased by more than 1.5 times (p<0.05, RR=1.756, 95 % CI=1.127–2.734). There was no statistically significant association between HPV-status and the size (T) of the primary tumor (p=1.000). A direct significant correlation with HPV-positive status was revealed at N1–2 OPSCC (p=0.022).

**Ki67 proliferative activity (PA)**

The expression of Ki67 was determined by the percentage of positive tumor cells. The mean value was 52.15 ± 2.674 % (95 % CI=46.85–57.46), the median was 55 % with interquartile range 25–80. When assessing the proliferative potential of the cells in the studied groups, the presence of low (0–20 %), moderate (21–50 %) and high PA (51–100 %) was revealed. Of all patients, 55 (53 %) had high Ki67 expression and 37 (35.5 %) had moderate Ki67 expression. Patients were divided into 2 groups according to the index of PA. In the first group patients, Ki67 was expressed in less than 50 % of tumor cells. In the second group patients, Ki67 was expressed in more than 50 % of cancer cells. The Ki67 expression of more than 50 % was associated with female gender (RR=3.009, 95 % CI=1.050–8.622, p=0.027) and age ≤60 years (RR=1.6, 95 % CI=1.701–4.122, p=0.007). It was found that the mean value of Ki67 expression was 72.28 ± 4.193 % (95 % CI=63.63–80.93), the median was 80 % for P16-positivity. In the case of P16-negative status, the mean value was 45.78 ± 2.924 % (95 % CI=39.96–51.61), the median was 40 %. Comparison of the means of marker using the Mann-Whitney U-test indicated statistically significant differences in the groups and higher proliferation index in the group of P16-positive patients. High index of PA was observed in cancer of the base of the tongue (RR=2.116, 95 % CI=0.950–4.713, p=0.056). The reverse relationship between the cancer of the body of the tongue and the high index of PA (RR=0.278, 95 % CI=0.081–0.952, p=0.027) was statistically significant. Other cancer sites, such as tonsils, lateral oropharyngeal walls and soft palate did not demonstrate association with Ki67 expression (p=0.414, p=0.664, p=0.396, respectively). High index of PA was inversely associated with high grade of differentiation G1 (RR=0.331, 95 % CI=0.128–0.852, p=0.024). In the presence of high PA, the feasibility of G2 detection was increased (RR=1.49, 95 % CI=1.128–2.812, p=0.024). The relationship between the high index of PA and the non-keratinizing morphology was also ob-
served (RR=1.667, 95% CI=1.148–3.152, p=0.038).

Correlation of combination of expression of P16≥70 % and Ki67>50 % with clinical and morphological parameters

According to the revealed statistically significant association of HPV-positive status with high index of PA, and their independent correlations with sex, age, localization and morphology of HNSCC, it was advisable to evaluate the diagnostic value of combination of P16-positive status and high level of PA (P16≥70 % and Ki67>50 %) as a IHC-complex reflecting the biology of cancer and determining the clinicopathological features of the tumor (table 2).

Prognostic role of IHC-complex (P16≥70 % and Ki67>50 %) in overall survival (OS)

Of the 104 patients, 66 were followed up from 2011 to 2014 with the median follow-up time of 36 months. Of these 66 patients, 41 (62 %) were ≤60 years and 25 (38 %) were older than 60 years. The mean age of the patients was 57.39 ± 1.176 years (95 % CI=55.04–59.74). There were 18 (27 %) patients with cancer of the base of the tongue, 21 (32 %) with cancer of palatine tonsils, 7 (11 %), with cancer of soft palate, 15 (22 %) with cancer of lateral walls of the oropharynx, and 5 (8 %) with tongue cancer. Patients were distributed into N0 neck group (40 patients) and into N1–2 neck group (26 patients). Only 25 (40 %) patients had T1–2 primary tumor and 41 patients had T 3–4. Stages I–II were detected in 12 (18 %) and III–IV – in 54 (82 %) cases. Depending on the stage of the disease, patients received surgical treatment followed by radiation therapy, chemotherapy followed by RT, RT alone, chemotherapy, concurrent chemoradiation in 3 %, 27 %, 30 %, 1.5 % of cases, respectively.

In the examined cohort of patients, the 3-year OS rate was 51.5 %. OS was assessed by P16-status. The first group consisted of 68 % (45 out of 66) HPV-negative patients, and the second – 32 % (21 out of 66) HPV-positive patients. The mean age of the patients with P16-negative status was 59.07 ± 1.460 years (95 % CI=56.12–62.01). The median was 59 years. The mean age of P16-positive patients was 53.81 ± 1.772 years (95 % CI=50.11–57.01). The median was 55 years. OS in P16-negative group was 35.161 ± 4.550 months. The median was 20 ± 6.707 months. OS for HPV-positive patients was 53.836 ± 4.819 months. Difference in OS depending on P16-status was assessed by log rank test. The 3-year OS rate was

**Table 2**

| Parameters/ Parameters | IHC-complex (P16≥70 % and Ki67>50 %) | Relativ risk/ RR (relative risk) 95% CI | Significance (p) |
|------------------------|-------------------------------------|----------------------------------------|-----------------|
| Женщины/Female         | Present    | 8 (35 %) | 3.130 (1.362–7.195) | 0.017*          |
| Мужчины/Male           | Absent     | 9 (11 %) |                                    |                 |
| Age/Возраст, 60        | Present    | 20 (87 %) | 1.854 (1.400–2.454) | 0.002*          |
| Age/Возраст, >60       | Absent     | 3 (13 %) |                                    |                 |
| Основание языка/Base of the tongue | Present | 8 (34.7 %) | 1.878 (0.912–3.868) | 0.170           |
| Основание языка/Base of the tongue | Absent | 15 (18.5 %) |                                    |                 |
| Миндалины/Palatine tonsils | Present | 14 (61 %) | 2.900 (1.699–4.951) | >0.05           |
| Латеральные ротоглоточные стенки/Lateral oropharingeal walls | Absent | 17 (21 %) |                                    |                 |
| Мягкое небо/Soft palate | Present    | 1 (4.3 %) | 0.990 (0.018–0.875) | <0.05*          |
| Мягкое небо/Soft palate | Absent     | 28 (34.5 %) |                                    |                 |
| G1                     | Present    | 3 (13 %) | 1.878 (0.912–3.868) | >0.05           |
| G2                     | Absent     | 16 (20 %) | 0.660 (0.211–0.701) | >0.05           |
| G3                     | Present    | 14 (61 %) | 1.072 (0.734–1.565) | 0.913           |
| G3                     | Absent     | 46 (56.6 %) | 1.112 (0.503–2.457) | 0.988           |
| G3                     | Present    | 19 (23.4 %) | 1.112 (0.503–2.457) | 0.988           |
| Группы/Groups           | Absent     | 27 (33.3 %) | 1.112 (0.503–2.457) | 0.988           |
| T1–2                   | Present    | 54 (66.7 %) | 1.957 (1.274–3.004) | 0.012*          |
| Т2–3                   | Absent     | 27 (33.3 %) | 0.783 (0.368–1.663) | 0.685           |
| N0                     | Present    | 7 (30 %) | 2.012 (1.344–3.013) | 0.006*          |
| N0                     | Absent     | 53 (65.4 %) |                                    |                 |

Примечание: * статистическая значимость, p<0.05

Notes: * statistical significance, p<0.05
71.4 % for HPV-positive patients and 42.2 % for P16-negative patients. A statistically significant advantage in OS for P16-positive patients was revealed ($\chi^2=5.325$, p=0.021, log rank test).

To identify the dependence of OS on the IHC-combination of P16-positivity with high proliferative activity, patients were divided into 2 groups. The first group included patients with the presence of IHC-complex of markers. In the second group, it was absent. The mean life expectancy was 54.686 ± 5.005 months for the first group and 35.728 ± 4.435 months for the second group. Kaplan–Mayer survival curves were constructed (fig. 1). The overall survival difference depending on the IHC-complex P16≥70 % and Ki67>50 % was estimated by the log rank test. A statistically significant advantage in OS for patients with the presence of IHC-combination of P16-positivity with high proliferative activity was revealed ($\chi^2=5.041$, p=0.025).

These groups of patients were also analyzed in terms of OS, depending on the regional lymph node metastatic involvement (T). The median of OS was 51 month (25–60). In the case of N1–2, the median of OS was 24 months (12–24). In cases with N1–2, the median was 9 (2–76). The Kaplan–Mayer survival curves are constructed to estimate the differences in OS (fig. 2, 3). The OS rate depending on the IHC-complex P16≥70 % and Ki67>50 % was estimated by log rank test. Differences in overall survival by N0-status were not statistically significant ($\chi^2=0.381$, p=0.537). A significant advantage in OS by the presence IHC-complex P16≥70 % and Ki67>50 % for N1-2 patients was revealed ($\chi^2=1.137$, p=0.021).

Conclusion
The data indicate a significant role of viral carcinogenesis in the studied group of patients with OPSCC in the South of Russia. The incidence of P16INK4A surrogate marker of HPV-positive status in the examined cohort of patients was 24 %. In this regard, there are new opportunities for the prevention of head and neck cancer through the introduction of preventive measures against the human papillomavirus-infection of high oncogenic risk and vaccination of the population. The prevalence of women among patients with HPV-positive oropharyngeal cancer is not consistent
with the global data, where this group of patients mainly consists of young men. With regard to the age of patients with HPV-positive cancer, the study group confirmed the global trend towards a younger age of manifestation of the disease. Basically, tonsillar carcinoma and cancer of the base of the tongue demonstrate HPV-positive status. It seems appropriate to identify the features of local immunity in cancer of these localizations. Pathogenetic parameters such as non-keratinizing morphological type of tumor cells and a high proliferative activity also characterize HPV-positive cancer according to our data and the results of published studies in literature. Taking into account the statistically significant direct relationship between HPV status and Ki67 with the sex, age, localization and morphology of squamous cell carcinoma of the head and neck, it has seemed expedient to estimate the information content of the identification combination of expression of P16≥70 % and Ki67>50 % in tumors of patients with HNSCC. It was found that the presence of an IHC-complex of these markers significantly correlated with the female sex, age ≤60 years, localization in the tonsils, non-keratinizing morphology and increased risk of locoregional metastatic potential.

The statistically significant advantage of the OS in the group of patients with the presence of IHC-complex also confirms the peculiarities of HPV-positive tumor behavior. Taking into account this parameter, it may be feasible to increase the specificity and diagnostic accuracy of the method for determining HPV status by IHC analysis of the surrogate marker P16 including Ki67 expression detection.

**Discussion**

Simultaneous detection of P16 and determination of proliferative activity index in tumor epithelial cells can be interpreted as a surrogate marker of cell cycle regulation disorders in high oncogenic risk HPV infection. Proliferative activity is proposed to be a good prognostic marker of response to chemotherapeutic treatment due to established biological explanation of high sensitivity of actively proliferating tumors. Higher overall survival rates in HPV-positive OPSCC identify opportunities for de-intensification of treatment regimens to reduce the toxic effects of therapy. There is also strong evidence for the appropriate introduction of the combined IHC-analysis of P16 expression with Ki67 expression into clinical oncology practice as the diagnostic tool to determine the prognosis of patients with OPSCC.

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ВКЛАД АВТОРОВ

Стукань Анастасия Игоревна: разработка концепции научной работы, статистическая обработка, составление черновика рукописи.
Порханов Владимир Алексеевич: анализ научной работы, критический пересмотр с внесением ценного интеллектуального содержания.
Бодня Вадим Николаевич: анализ литературы по теме статьи, правка черновика рукописи, критический пересмотр с внесением ценного интеллектуального содержания.

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Anastasia I. Stukan: study conception, statistical data analysis, drafting of the manuscript.
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Vadim N. Bodnya: data collection, drafting of the manuscript, critical revision for the important intelectual content.

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Conflict of interest
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