Prevalence and mechanisms of cortical superficial siderosis in cerebral amyloid angiopathy

ABSTRACT

Objective: We investigated the prevalence and clinical-radiologic associations of cortical superficial siderosis (cSS) in patients with probable cerebral amyloid angiopathy (CAA) compared to those with intracerebral hemorrhage (ICH) not attributed to CAA.

Methods: We conducted a retrospective multicenter cohort study of 120 patients with probable CAA and 2 comparison groups: 67 patients with either single lobar ICH or mixed (deep and lobar) hemorrhages; and 22 patients with strictly deep hemorrhages. We rated cSS, ICH, white matter changes, and cerebral microbleeds.

Results: cSS was detected in 48 of 120 (40%; 95% confidence interval [CI]: 31.2%–49.3%) patients with probable CAA, 10 of 67 (14.9%; 95% CI: 7.4%–25.7%) with single lobar ICH or mixed hemorrhages, and 1 of 22 (4.6%; 95% CI: 0.1%–22.8%) patients with probable CAA, but none of the other patients with ICH (p < 0.001 for trend). Disseminated cSS was present in 29 of 120 (24%; 95% CI: 16.8%–32.8%) patients with probable CAA, but none of the other patients with ICH (p < 0.001). In probable CAA, age (odds ratio [OR]: 1.09; 95% CI: 1.03–1.15; p = 0.002), chronic lobar ICH (OR: 3.94; 95% CI: 1.54–10.08; p = 0.004), and a history of transient focal neurologic episodes (OR: 11.08; 95% CI: 3.49–35.19; p < 0.001) were independently associated with cSS. However, cSS occurred in 17 of 48 patients with probable CAA (35.4%; 95% CI: 22.2%–50.5%) without chronic lobar ICH.

Conclusions: cSS (particularly if disseminated) is a common and characteristic feature of CAA. Chronic lobar ICH is an independent risk factor for cSS, but the causal direction and mechanism of association are uncertain. Hemorrhage into the subarachnoid space, independent of previous (chronic) lobar ICH, must also contribute to cSS in CAA. Transient focal neurologic episodes are the strongest clinical marker of cSS. Neurology 2013;81:626–632

GLOSSARY

CAA = cerebral amyloid angiopathy; CI = confidence interval; CMB = cerebral microbleed; cSAH = convexity subarachnoid hemorrhage; cSS = cortical superficial siderosis; FLAIR = fluid-attenuated inversion recovery; ICH = intracerebral hemorrhage; OR = odds ratio; T2*-GRE = T2*-weighted gradient recalled echo.

Sporadic cerebral amyloid angiopathy (CAA) is a common age-related small-vessel disease caused by progressive deposition of amyloid-β in the walls of small arteries, arterioles, and capillaries in the cerebral cortex and overlying leptomeninges.1 CAA is most often recognized in life by symptomatic lobar intracerebral hemorrhage (ICH) in elderly patients.1–3 CAA is also associated with characteristic MRI findings including lobar cerebral microbleeds (CMBs) and white matter hyperintensities (leukoaraiosis).1,4 Recent studies have identified cortical superficial siderosis (cSS) as another manifestation of CAA.5–8 In CAA, cSS has a characteristic predilection for the cerebral convexities, reflecting linear blood residues in the superficial layers of the cerebral cortex or in the subarachnoid space.8–10 cSS may

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have clinical relevance as an important cause of transient focal neurologic episodes (sometimes called “amyloid spells”), and a potential “warning sign” for future symptomatic ICH.

Although cSS is a promising diagnostic neuroimaging marker of CAA, the strength of the association and underlying mechanisms have not been systematically studied. In the present study, we therefore sought to determine the prevalence and extent of cSS in a European multicenter cohort of patients with clinical-radiologic probable CAA, and investigate its associations with other imaging findings including CMBs, white matter changes, and ICH. We hypothesized that cSS 1) is common in subjects with probable CAA, and more prevalent compared to comparison subjects with other spontaneous ICH not attributed to probable CAA, and 2) is associated with other hemorrhagic manifestations of CAA (lobar ICH and lobar CMBs).

Methods Study population. We included consecutive patients diagnosed with probable CAA (according to the original Boston criteria, i.e., not including cSS as a criterion; see below) at 4 stroke centers over defined time periods (figure e-1 on the Neurology® Web site at www.neurology.org). At participating centers, MRI scanning is a routine investigation for cases of suspected CAA, unless there are contraindications. Essential inclusion criteria for the main CAA case group were 1) probable or pathologically verified CAA, defined according to the Boston criteria, including lobar CMBs, but not cSS; and 2) available MRI sequences of adequate quality including T1-weighted or FLAIR images. We did not include cSS if it was not associated with probable CAA (n = 48) and control, non-CAA cases (n = 27), the interrater agreement for the presence or absence of cSS was 93.3% (Cohen κ = 0.85) and for cSS categories was 95.1% (weighted Cohen κ = 0.86). White matter hypointensities (leukoaraiosis) were assessed with the 4-step simplified Fazekas Rating Scale, from 0 to 3 (0 = no lesions; 1 = focal lesions; 2 = early confluent; 3 = confluent). Statistical analysis. We compared demographic, clinical, and imaging characteristics of patients with probable CAA, with vs without cSS. Both binary and ordinal logistic regression analyses were used to identify predictors of cSS (presence and cSS extent, respectively). Multivariable analysis was adjusted for age, lobar CMBs (used as a categorical variable: 0, 1, 2–4, and >4 CMBs), presence of chronic lobar ICH, and history of transient focal neurologic episodes, based on the results of univariable analysis, plus other biologically plausible confounders. As a sensitivity analysis, we repeated these analyses with the addition of hypertension in our multivariable regression models. Because the results of binary and ordinal logistic regression analyses were consistent, we present only the results of the binary logistic regression analysis. A p value ≤0.05 was considered to be statistically significant. Data were missing in less than 5% of participants; these cases were excluded from univariable and multivariable analyses that included a missing variable of interest. For the main multivariable model presented, there were no missing data. All statistical
analyses were performed using STATA software (version 11.2; StataCorp, College Station, TX).

RESULTS

The final cohort consisted of 209 patients: 120 patients with probable CAA (9 with supportive pathology) based on the Boston criteria and 89 patients with other ICH not fulfilling the Boston criteria for probable CAA, forming 2 comparison groups—67 patients with a single lobar ICH or mixed (lobar and deep) hemorrhages, and 22 patients with strictly deep hemorrhages (table 1).

cSS was detected in 48 of 120 (40%; 95% confidence interval [CI]: 31.2%–49.3%) patients with probable CAA, compared with 10 of 67 (14.9%; 95% CI: 7.4%–25.7%) of the patients with a single lobar ICH or mixed (lobar and deep) hemorrhages, and only 1 of 22 (4.6%) patients with strictly deep hemorrhages (p, 0.001 for trend) (table 1 and figure 1). Within the single lobar ICH or mixed hemorrhage group, cSS (10 of 67) was always focal; of these 10 patients with cSS, 7 (70%) had lobar CMBs (table e-2).

Thirty-one of the 48 patients with probable CAA and cSS had chronic lobar ICH; the cSS was contralateral to the ICH or bilateral in 24 (87.4%) of these patients and restricted to the hemisphere ipsilateral to chronic lobar ICH in only 7 patients (22.6%) (i.e., in most cases, cSS was present distant from any chronic lobar ICH). In 17 of the 48 patients with probable CAA and cSS (35%), there was no evidence of chronic lobar ICH. Representative examples of cSS are shown in figure 2.

Within the probable CAA group, patients with cSS were older and less often hypertensive compared

| Characteristics | Probable CAA (n = 120) | Comparison groups not fulfilling criteria for probable CAA |
|-----------------|------------------------|----------------------------------------------------------|
| Age, y          | 71.8 (70–73.5)         | 72.6 (81–75.3)                                          |
| Male            | 67 (55.8)              | 35 (52.3)                                               |
| Hypertension    | 72 (64.3)              | 48 (71.6)                                               |
| Taking antithrombotics | 25 (22.1)      | 24 (35.8)                                               |
| Any symptomatic ICH | 104 (86.7)       | 67 (100)                                               |
| Cortical superficial siderosis | 48 (40)        | 10 (14.9)                                               |
| Focal, ≤3 sulci | 19 (15.8)              | 10 (14.9)                                               |
| Disseminated, ≥4 sulci | 29 (24.2)       | 0 (0)                                                   |
| Acute cSAH     | 15 (12.5)              | 3 (4)                                                   |

Abbreviations: CAA = cerebral amyloid angiopathy; cSS = convexity subarachnoid hemorrhage; ICH = intracerebral hemorrhage.

a Data are mean (95% confidence interval) or n (%).

b One hundred four of the patients with probable CAA were admitted for spontaneous lobar ICH, 8 with transient focal neurologic episodes, 2 because of cognitive decline, 2 with acute cSAH, and 2 because of an ischemic stroke.
with patients without cSS (table 2). Patients with cSS more often had a history of transient focal neurologic episodes and chronic lobar ICH than patients without cSS. However, we found no association between the presence of cSS and acute lobar ICH, lobar CMBs, or white matter hyperintensity severity.

In univariable logistic regression analysis, factors associated with cSS were age, history of transient focal neurologic episodes, and chronic lobar ICH (table e-3). Hypertension showed a negative association with cSS. In multivariable logistic regression analysis, age, presence of chronic lobar ICH, and history of transient focal neurologic episodes were independently associated with cSS, after adjusting for lobar CMBs (table 3). These results remained consistent after additional adjustment for hypertension and acute sSAH (data not shown). The results of cSS predictors in ordinal logistic regression (i.e., predictors of cSS extent: no cSS vs focal vs disseminated) were consistent and of similar effect size.

**DISCUSSION** To our knowledge, this multicenter retrospective study is the first systematic survey of the prevalence and clinical-radiologic associations of cSS in patients with probable sporadic CAA (diagnosed by the original Boston criteria) compared to those with ICH not fulfilling these criteria. A previous study investigated the presence of cSS in patients diagnosed with CAA on histopathology: cSS was detected in 60.5% of patients with histopathologically proven CAA (n = 38; mean age 70 years) compared with none of the controls with histopathologically proven non-CAA ICH (n = 22; mean age 54 years). The authors suggested that cSS might be helpful for the clinical diagnosis of CAA. cSS has also been found in patients with hereditary cerebral hemorrhage with amyloidosis–Dutch type, a distinct genetic type of CAA, and always in the direct vicinity of a lobar ICH or a CMB. Our findings strengthen the hypothesis that cSS (especially if disseminated) is a characteristic neuroimaging marker for CAA. We noted cSS in 40% of patients with probable CAA, disseminated cSS only in patients with probable CAA, and found that cSS is much rarer (prevalence less than 5%) in patients with a “strictly deep” pattern of ICH than with probable CAA. We also detected cSS in 15% of patients with a single lobar ICH or mixed (lobar and deep) hemorrhages; however, in most of these patients with mixed hemorrhages, lobar CMBs were also present, suggesting that they might in fact harbor some degree of CAA pathology.

Among patients with a single lobar ICH and no CMBs (i.e., “possible CAA” according to the original Boston criteria), the prevalence of cSS was also very low (6%). In a validation of these criteria, 16 of 26 patients (62%) classified as possible CAA had pathologically confirmed CAA, but only 11 of the pathologically diagnosed patients had T2*-GRE imaging, limiting the generalizability of the findings to current cohorts (including our study), in which such imaging is now routine. In another validation study of the Boston criteria, using T2*-weighted gradient echo MRI in a hereditary Dutch-type CAA population, all patients with lobar...
ICH also had CMBs. Our data suggest that further pathologic correlation is required to determine the prevalence of pathologically confirmed CAA in patients with lobar ICH (and no CMBs or cSS) on appropriate blood-sensitive MRI sequences. Further studies with histopathologic confirmation or in vivo amyloid imaging will also help to determine whether cSS is a useful diagnostic feature of CAA in patients with mixed patterns of hemorrhage.

In the healthy population-based Rotterdam Scan Study, cSS was found in 0.7% (7/1,062) of elderly individuals (mean age 69.6 years), all of whom had lobar CMBs (6 had strictly lobar CMBs, 5 of whom had multiple CMBs) in close vicinity to the cSS. In agreement with our findings, the mean age of persons with cSS was higher than in those without cSS (mean age 79.9 vs 69.6 years; p < 0.001), and also compared with persons who had CMBs but no cSS (mean age 71.8 years). Because the severity of CAA is age-related, these findings, together with our data showing an association of cSS with chronic ICH, suggest that cSS might be a marker

### Table 2: Characteristics and comparison of CAA patients with and without cSS

| Characteristics                     | All probable CAA (n = 120) | cSS (+) (n = 48) | cSS (-) (n = 72) | p Value* |
|-------------------------------------|----------------------------|-----------------|-----------------|---------|
| Age, y, mean (95% CI)               | 71.8 (70–73.5)             | 74.8 (72.3–77.3) | 69.7 (67.4–72.1) | 0.005   |
| Male, n (%)                        | 67 (55.8)                  | 25 (52.1)       | 42 (58.3)       | 0.499   |
| Hypertension, n (%)a               | 72 (64.3)                  | 20 (46.5)       | 52 (75.4)       | 0.002   |
| Taking antithrombotics, n (%)b     | 25 (22.1)                  | 9 (20.9)        | 16 (22.9)       | 0.811   |
| History of symptomatic ICH, n (%)   | 46 (40.7)                  | 18 (41.9)       | 28 (40)         | 0.845   |
| History of TFNE, n (%)             | 26 (21.7)                  | 19 (39.6)       | 7 (9.7)         | <0.001  |

### Neuroimaging characteristics

| Chronic lobar ICH, n (%)           | 61 (51.3)                  | 31 (64.6)       | 30 (42.3)       | 0.017   |
| Acute lobar ICH, n (%)            | 57 (48.3)                  | 26 (54.2)       | 31 (44.3)       | 0.291   |
| Acute ischemic lesions, n (%)     | 20 (18.7)                  | 10 (23.3)       | 10 (15.6)       | 0.321   |
| No. of CMBs, median (IQR)         | 4 (2–13.5)                 | 4.5 (1–10.5)    | 4 (2–15.5)      | 0.147   |
| Lobar CMBs, n (%)                 |                            |                 |                 |         |
| 0                                  | 13 (10.8)                  | 9 (18.8)        | 4 (5.6)         |         |
| 1                                  | 16 (13.3)                  | 7 (14.6)        | 9 (12.5)        |         |
| 2–4                                | 34 (28.3)                  | 8 (16.7)        | 26 (36.1)       |         |
| ≥5                                 | 57 (47.5)                  | 24 (50)         | 33 (45.8)       |         |
| Acute cSAH, n (%)                 | 15 (12.5)                  | 9 (18.8)        | 6 (8.3)         | 0.091   |

### Leukoaraiosis

| Fazekas category, n (%)           |                            |                 |                 |         |
| Absent                            | 19 (16.1)                  | 8 (17)          | 11 (15.5)       | 0.652c  |
| Mild                              | 36 (30.5)                  | 17 (36.2)       | 19 (26.8)       |         |
| Moderate                          | 41 (34.8)                  | 15 (31.9)       | 26 (35.6)       |         |
| Severe                            | 22 (18.6)                  | 7 (14.9)        | 15 (21.1)       |         |
| Moderate to severe leukoaraiosis, n (%) | 63 (53.4) | 22 (46.8) | 41 (57.6) | 0.244 |

Abbreviations: CAA = cerebral amyloid angiopathy; CI = confidence interval; CMB = cerebral microbleed; cSS = cortical superficial siderosis; ICH = intracerebral hemorrhage; IQR = interquartile range; TFNE = transient focal neurologic episodes.

* The p values refer to differences between CAA patients with vs without cSS, using χ² tests and the Fisher exact test for categorical variables, and 2-sample t tests or Mann-Whitney U tests depending on the distribution of continuous variables.

b There were 10 patients with missing data for one or more of these variables: hypertension (n = 9), taking antithrombotics (n = 8), and history of symptomatic ICH (n = 7).

c The p value for trend.

### Table 3: Multivariable regression analysis showing the factors associated with cortical superficial siderosis in patients with probable cerebral amyloid angiopathy

| Characteristics                              | OR (95% CI) | p Value |
|----------------------------------------------|-------------|---------|
| Age                                          | 1.09 (1.03–1.15) | 0.002   |
| Lobar CMBs (for each category increase)      | 0.77 (0.50–1.21) | 0.280   |
| Chronic lobar ICH                            | 3.94 (1.54–10.08) | 0.004   |
| History of transient focal neurologic episodes | 11.08 (3.49–35.19) | <0.001  |

Abbreviations: CI = confidence interval; CMB = cerebral microbleed; ICH = intracerebral hemorrhage; OR = odds ratio.

a The model remains consistent when history of hypertension and acute convexity subarachnoid hemorrhage (%) are included.
of more advanced CAA, but this also requires pathologic confirmation, with standardized grading of CAA severity.

Experimental studies confirm that repeated bleeding into the subarachnoid space leads to subpial hemosiderin deposition.21 There are thus at least 2 possible pathophysiologic mechanisms that could lead to cSS deposition in CAA: a) repeated episodes of hemorrhage from brittle superficial cortical or leptomeningeal CAA-affected vessels into the subarachnoid space (independent of lobar ICH); and b) leakage from a previous lobar ICH (or superficial lobar CMBs) into the subarachnoid space. Our observation of cSS in 17 patients without chronic lobar ICH, and even in those with chronic lobar ICH mostly distant from the ICH (in nearly 90% of cases in the contralateral hemisphere or bilaterally), favors a contribution from direct hemorrhage into the subarachnoid space, independent of lobar ICH. This implies that cSS may arise independently of known characteristic imaging features of CAA (lobar ICH and CMBs), supporting its role as an independent diagnostic marker; further studies are needed to confirm the value of cSS in improving the sensitivity of in vivo CAA diagnosis.

Perhaps surprisingly, we did not find any association between lobar CMBs and cSS, which may reflect selection bias toward generally advanced disease with high lobar CMBs prevalence in our cohort. Nevertheless, in some cases, cSS was observed close to one or more lobar CMBs (see, e.g., figure 2), suggesting that leakage from very superficial CMBs may also be a mechanism of cSS.

Another interpretation of the association between cSS and lobar ICH is that cSS precedes lobar ICH. Although our cross-sectional data cannot confirm this hypothesis, some recent data support this explanation. A retrospective study of 51 patients with cSS attributable to possible or probable CAA found that after a median 35.3 months’ follow-up, 47.1% of the patients had new radiologic ICH or cSAH, often at the site of pre-existing siderosis,29 providing preliminary evidence that cSS heralds a high risk of future ICH. A small neuropathologic series of 6 autopsy cases of subcortical hematomas caused by CAA showed that at least in some cases the primary hemorrhage appeared to originate from the subarachnoid space.22 Further prospective studies are urgently needed to determine the risk of future intracranial bleeding associated with cSS in CAA.

Our study confirms a strong independent association of cSS with transient focal neurologic episodes (sometimes called “amyloid spells”23), which are increasingly recognized in CAA and can resemble TIAs, migraine auras, or focal seizures.11,12,23 Such attacks could plausibly be caused by disruption of cortical function due to cSS, for example by superficial cortical hemosiderin deposition inducing focal seizures or cortical spreading depression.11,23–25 CAA-related transient focal neurologic episodes are associated with a high early risk of symptomatic lobar ICH (24.5% [95% CI: 15.8%–36.9%] at 8 weeks)11; cSS may be one mechanism underpinning this increased clinical risk, reflecting focally active CAA near the cortical surface.26

Our study has several strengths, including the systematic evaluation of MRI scans by trained raters using validated scales for a range of imaging markers of small-vessel disease. A limitation is the lack of pathologic confirmation of the CAA pathology. In view of the imperfect specificity of the Boston criteria, especially for the "possible CAA" category,14 we focused on patients fulfilling the criteria for probable CAA, for which the specificity is between 82% and 100%.14,19 Limitations of our study include the retrospective design, the variation in inception point of the disease at inclusion, and the potential of bias in our sample because MRIs were performed as part of routine clinical care, tending to exclude more severe cases of CAA and ICH.

Our study indicates that cSS (particularly if disseminated) is a characteristic neuroimaging feature of CAA. Chronic lobar ICH is an independent risk factor for cSS, but the causal direction and mechanism of the association is uncertain: although leakage from previous lobar ICH into the subarachnoid space may lead to cSS, it is also possible that cSS heralds an increased risk of future lobar ICH. Further prospective studies are needed to clarify how cSS relates to future ICH risk, which may have important clinical relevance, for example regarding antithrombotic treatment. Our results also show that hemorrhage into the subarachnoid space, independent of lobar ICH, must also contribute to cSS in CAA, suggesting that cSS should be considered an additional neuroimaging marker of CAA-related hemorrhage.

AUTHOR CONTRIBUTIONS
A. Charidimou: project design, data collection, data analysis, write up. R.H. Jager: imaging analysis advice, critical revisions. Z. Fox: data analysis, data interpretation, critical revisions. A. Perets, Y. Vandermeeren, P. Laloux, and J.-C. Baron: data collection, critical revisions. D.J. Werring: project design, supervision, write up.

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