Invasive versus non-invasive assessment of valvuloarterial impedance in severe aortic stenosis

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ABSTRACT

Background As a measure of the global left ventricular afterload, valvuloarterial impedance (ZVA) can be estimated using transthoracic echocardiography (TTE) and invasive measuring methods. The objective of this study was to compare the performance of TTE in measuring ZVA with invasive haemodynamics, direct Fick and thermodilution (TD), in patients with severe aortic stenosis (AS).

Methods This is a retrospective cohort study of 66 patients with severe AS who underwent TTE and bilateral heart catheterisation preaortic valve replacement. ZVA was calculated non-invasively from TTE and invasively using TD and Fick. The differences in measurements were estimated using a generalised estimating equation approach. The exchangeability of the measurements from different methods was evaluated under binary risk stratification rules.

Results The mean±SD ZVA by TTE was 4.6±1.4 vs 4.9±1.6 by TD vs 4.3±1.2 mm Hg m²/mL by Fick. From multivariate analyses, ZVA by TTE was 5.9% (95% CI −15.0 to 2.5) lower than by TD and 5.9% (95% CI −1.5 to 12.8) higher than by Fick. At the same time, ZVA by TD was 12.5% (3.0 to 22.9) higher than with Fick. Risk classifications for ZVA-based binary decision rules showed poor agreement between TTE and invasive methods (kappa ≤0.3).

Conclusions The differences in ZVA estimates between TTE and invasive standards do not appear to exceed those between the standards. As such TTE-based estimates may be deemed acceptable as a clinical measure of global haemodynamic load. However, TTE-based and invasive measurements may not be interchangeable to identify patients at risk using binary classification rules based on ZVA.

INTRODUCTION

Valvuloarterial impedance (ZVA) is a measure of the global left ventricular (LV) afterload. In patients with aortic stenosis (AS), the haemodynamic index is defined as the ratio of the LV systolic pressure over stroke volume indexed to body surface area (BSA), that is, ZVA=(SAP+MPG)/SVI, where SAP is the systolic aortic pressure and MPG is the mean transvalvular pressure gradient after pressure recovery.1 Thus, the ZVA index takes into account both the aortic and LV valvular loads. Previous studies have suggested that ZVA may be associated with LV systolic and diastolic dysfunction,2 and may serve as an independent predictor of mortality in both symptomatic3 and asymptomatic3 patients with severe AS. This is particularly useful in patients who do not meet the classic guideline
criteria of severe AS like those with low-flow, low-gradient severe AS and preserved LV ejection fraction (LVEF) (paradoxical low-flow, low-gradient severe AS). Hachicha et al. have demonstrated that in these patients, ZVA (>5.5 mm Hg mL/m²) is an independent predictor of increased mortality and hence should be considered for risk stratification and clinical decision making.

The ZVA index can be estimated using invasive and non-invasive techniques. The invasive thermodilution (TD) and Fick CO₂ rebreathing methods are the established clinical standards to measure cardiac output (CO). More recently, non-invasive transthoracic echocardiography (TTE) has been proposed to measure global LV haemodynamic load in AS. Earlier studies of LV functional measurements compared the methods for CO estimation. However, despite broad adoption of ZVA as a measure of global LV haemodynamic load, the agreement between TTE estimates and current bilateral heart catheterisation (BHC) standards has not been quantified yet.

The goal of this study was to compare measurements of ZVA from TTE and current invasive standards on a cohort of patients with severe AS who underwent BHC and TTE as part of their clinical work-up. The TD and Fick methods were used as established standards to measure stroke volume. We hypothesised that ZVA measurements by the three methods are equivalent in the sense that the differences in ZVA estimates from TTE versus BHC methods do not exceed the difference in ZVA between the two BHC standards. Furthermore, we also hypothesised that in binary risk stratification rules, the ZVA measurements from invasive and non-invasive methods may be used interchangeably.

**MATERIALS AND METHODS**

**Patients**

Patients with severe AS who underwent both TTE and BHC within 7 days of one another at Allina Health institutions were identified from the medical record database. Severe AS was defined according to BHC measurements, that is, if an aortic valve area (AVA) ≤1 cm² or AVA indexed to BSA ≤0.6 cm²/m². The indication for BHC was preprocedure evaluation for aortic valve replacement (AVR). The exclusion criteria for this study were (1) greater than mild aortic regurgitation, mitral regurgitation, mitral annular calcification or mitral stenosis; (2) severe tricuspid regurgitation; (3) prior AVR and/or balloon aortic valvuloplasty; (4) catheterisation with a less than 6" French diagnostic catheter; and (5) transaortic mean gradient measured only via pullback with a single-lumen pigtail catheter.

A waiver of consent was granted for this study; individuals whose data were used were not individually approached. In accordance with the Minnesota Health Records Act, patients were provided the opportunity at the time of hospital/clinic registration to restrict the use of their data for research purposes. Those individuals who have not provided such a general consent were excluded. The study data were abstracted from the Allina Health Enterprise Data Warehouse.

**Echocardiography**

Clinical TTE was performed either with Acuson SC2000 (Siemens Healthcare, Mountain View, California) or EPIQ (Philips Medical Systems, Bothell, Washington) systems. Echocardiographic parameters were measured following the guidelines of the American Society of Echocardiography and the European Society of Echocardiography. For each patient measurements of LV stroke volume and the peak and mean transvalvular gradient were obtained using spectral Doppler and abstracted from TTE reports. SAP was measured by an arm-cuff sphygmomanometer. From these, valvuloarterial impedances, ZVATTE, were estimated.

**Heart catheterisation**

Patients underwent a standard (simultaneous) right and left heart catheterisation procedure using ≥6” French diagnostic catheters. Transaortic mean gradient was measured either by two diagnostic catheters placed simultaneously in the left ventricle and ascending aorta or by simultaneous readings from the LV and Aorta (AO) via a dual-lumen pigtail catheter. CO was measured via indirect Fick (oxygen consumption assumed) and TD methods and used to calculate valvuloarterial impedances: ZVA₉₀ and ZVA₉₀ of TTE and BHC.

**Statistical analysis**

Demographic and clinical variables as well as parameters of LV systolic function were summarised using counts (%) for categorical variables and mean±SD (median) for continuous variables. Differences and their SEs in ZVA and its components between TTE and BHC methods were estimated using a generalised estimating equation approach with Gaussian distribution and an exchangeable working correlation structure to account for within-patient dependencies; skewed variables were log-transformed prior to the analysis. The models were adjusted for baseline demographic characteristics (age, gender, BSA) and cardiovascular parameters (AVA, LVEF, SAP, MPG) as appropriate; estimates and their 95% CIs are reported.

The exchangeability of ZVA measurements from different methods was established with respect to risk stratification rules of the form ZVA>z₀. For this, 31 equidistant threshold values z₀ between 3.0 and 6.0 were selected and the binary rule was applied separately to ZVA from TTE and BHC; the agreements in risk classifications resulting from ZVA₉₀ and ZVA₉₀ were assessed using Cohen’s kappa statistic. Further, the performance of logistic regression as a calibration tool to improve the agreement in risk classification between TTE and BHC was assessed. For this, for each z₀, logistic regression models were used to estimate pᵢ, the probability of ZVA₉₀>z₀, for patient i separately from ZVA₉₀ and ZVA₉₀. The
Fick-based and TD-based models were compared using the Brier score, $B=\frac{1}{n}\sum(p_i-y_i)^2$, where the sum is over all $n$ patients; $y_i=1$, if $ZVA_{TTE}>z_0$ for patient $i$, and 0 otherwise. As a proper scoring rule, the Brier score is a relative measure of model performance that takes into account both calibration (ie, bias) and sharpness (variability). The score varies from 0 to 0.25, with 0 indicating that risk stratification based on one kind of measure (eg, TTE) can be recovered from measurements done by BHC (eg, TD). The 95% CI for the Brier scores was estimated via a bootstrap approach with 1000 samples. In addition, we conducted a sensitivity analysis to evaluate the effect of SAP and CO measurements by BHC and TTE on classification results. For this, ZVA* TTE values were calculated using SAP and CO measurements from BHC, then risk classifications based on ZVA* TTE were compared with those based on ZVA_Fick and ZVA_TD using kappa statistics.

### RESULTS

From 101 patients enrolled in the study, 66 had CO measured using all three methods: TTE, Fick and TD (5 patients had no Fick measurements and 30 were without TD). Patients in the study were predominantly Caucasian (92%) and male (58%), with a mean age of 77±9 years. Majority had hypertension (91%) and dyslipidaemia (82%) and were taking aspirin (83%), beta-blockers (74%) and diuretics (59%) (see Table 1 for more details).

The median (IQR) time from TTE (Table 2) to BHC was 2 (1–4) days. There were no appreciable differences neither in AVA measurements nor in SAP levels between TTE and BHC methods. The mean aortic valve pressure gradient on TTE was 5.8% (15.1, 4.6) lower than when measured by BHC. The SVI by TTE was 6.5% (−0.9, 13.5) higher than by TD and 5.2% (−12.9, 2.0) lower than by Fick. In comparison, SVI based on indirect Fick was 12.5% (3.7, 22.1) larger than by TD. Using the SVI <35 mL/m² criteria for low-flow state, 48 (73%) and 50 (76%) patients were classified into the same categories by TTE and Fick and TTE and TD, respectively. For additional information, see online supplementary appendix figures.

On average, the differences in ZVA between TTE and BHC methods appear to be smaller than that of the two BHC methods. At the same time, the differences in ZVA between TTE and BHC are larger than between the BHC methods; in particular, the SD of the pairwise differences is 1.7 mm Hg m²/mL for TTE versus Fick, 1.9 mm Hg m²/mL for TTE versus TD, and 1.0 mm Hg m²/mL for TD versus Fick (see figures 1 and 2 and Table 3). The fanning of the residuals in figure 2A,B indicates that the variability between ZVAs from TTE and BHC methods tends to increase with average ZVA. Indeed, for a 1% increase in average ZVA, the estimated increases (95% CI) in pairwise differences are 1.5% (−0.1 to 3.1) for TTE versus Fick and 1.3% (0.2 to 2.4) for TTE versus TD. For an average ZVA of 4.6 mm Hg m²/mL, these increases correspond to 0.07 mm Hg m²/mL and 0.06 mm Hg m²/mL, respectively; in comparison, the IQR of ZVA is 1.5 mm Hg m²/mL.

From the multivariate analyses, ZVA_TTE was on average 5.9% (−15.0 to 2.5) lower than ZVA_TD and 5.9% (−1.5 to 12.8) higher than by ZVA_Fick. In comparison, when CO is measured by TD, the resulting haemodynamic load is

### Table 1 Demographic and clinical characteristics of study patients

| Variable                           | All (N=66) |
|------------------------------------|------------|
| Age, years                         | 77±8 (78)  |
| Male                               | 38 (58)    |
| Caucasian                          | 61 (92)    |
| Hypertension                       | 60 (91)    |
| Diabetes                           | 28 (42)    |
| Dyslipidaemia                      | 54 (82)    |
| NYHA 3–4                           | 47 (71)    |
| Cerebrovascular disease            | 4 (6)      |
| Prior PCI                          | 22 (33)    |
| Prior CABG                         | 15 (23)    |
| Prior MI                           | 13 (20)    |
| Prior cardiac surgery              | 18 (27)    |
| CKD                                | 27 (41)    |
| Anaemia                            | 28 (42)    |
| PAD                                | 17 (26)    |
| Carotid disease                    | 8 (12)     |
| Asymptomatic                       | 4 (6)      |
| eGFR                               | 52±13 (60) |

Summaries shown as counts (%) for categorical variables and means±SD (median) for continuous variables. CABG, coronary artery bypass grafting; CKD, chronic kidney disease; eGFR, estimated glomerular filtration rate; MI, myocardial infarction; NYHA, New York Heart Association; PAD, peripheral artery disease; PCI, percutaneous coronary intervention.

### Table 2 Echocardiographic parameters

| Variable                           | All (N=66) |
|------------------------------------|------------|
| AV VTI, m                          | 71±18 (71) |
| Maximum velocity, m/s              | 3.2±0.6 (3.3) |
| LVOT, mm                           | 21±2 (21)  |
| LVOT VTI echo, m                   | 22±15 (20) |
| Dimensionless index                | 0.28±0.06 (0.28) |
| Dimensionless index <0.25          | 18 (27)    |
| LVEF, %                            | 51±17 (55) |
| RVSP, mm Hg                        | 35±13 (32) |

Summaries shown as counts (%) for categorical variables and means±SD (median) for continuous variables. AV, aortic valve; LVEF, left ventricular ejection fraction; LVOT, left ventricular outflow tract; RVSP, right ventricular systolic pressure; VTI, velocity timed interval.

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Figure 1  A scatter plot of ZVA measurements. (A) Fick versus TTE, (B) TD versus TTE, and (C) TD versus Fick. If agreement between methods was strong, the measurements would be clustered tightly along the diagonal (red line). (A) TTE overestimates ZVA when compared with Fick (points are mostly above the diagonal) and (B) underestimates ZVA when compared with TD (points are mostly below the diagonal). The spread of the scatter indicates that the variability between the TTE and invasive methods (A, B) is much larger than between the BHC methods (C). For risk rule ZVA >4.5 (dashed lines), Fick tends to underestimate the risk relative to TTE, and TD tends to overestimate the risk relative to both TTE and Fick; see shaded points off in the upper and lower off-diagonal quadrants, respectively. The r number in each plot is the estimated correlation coefficient. BHC, bilateral heart catheterisation; TD, thermodilution; TTE, transthoracic echocardiography; ZVA, valvuloarterial impedance.

Figure 2  Tukey’s mean difference plots for (A) TTE versus Fick, (B) TTE versus TD, and (C) TD versus Fick with estimated mean differences (red lines) and their variability (dashed lines; L and U correspond to mean difference ±2 SD). Strong agreement between the methods would be characterised by small mean difference and small variability. Here, the mean differences for TTE versus BHC methods (A, B) are much smaller than between Fick and TD (C); however, the latter differences between are less variable than the former. BHC, bilateral heart catheterisation; TD, thermodilution; TTE, transthoracic echocardiography; ZVA, valvuloarterial impedance.

12.5% (3.0, 22.9) higher than when estimated by Fick. For an average ZVA of 4.6 mm Hg m²/mL, the 6% and 13% differences in magnitude are 0.28 mm Hg m²/mL and 0.60 mm Hg m²/mL, respectively.

For risk stratification rules of the form ZVA > z₀, with z₀ in the 3.0–6.0 range, the median (IQR) of kappa statistics for TTE versus BHC measurements was 0.19 (0.12–0.23), suggesting poor agreement between classifications based on ZVA_TTE as compared with those based on either ZVA_TD or ZVA_Fick (see figure 3A). In comparison, the summary values for kappa for Fick versus TD were 0.41 (0.36, 0.48), showing better agreement between risk classification based on BHC measurements. For ZVA > 4.5, 43 (65%, K=0.29, p=0.02) patients were classified into the same risk groups by both TTE and Fick; for TD, these numbers were 41 (62%, K=0.25, p=0.04).

The logistic regression analysis showed no apparent differences between Fick-based and TD-based ZVA values as predictors of high-risk patients per TTE threshold; the estimated balanced accuracy was 0.59±0.05 for both
Table 3  TTE and BHC parameters

| Variable       | TTE       | BHC       | Median (95% CI) |
|----------------|-----------|-----------|-----------------|
| BMI, kg/m²     | 30±7 (28) | 29±6 (27) | 1.3 (0.7 to 1.9) |
| BSA, m²        | 1.97±0.23 (1.97) | 1.96±0.22 (1.95) | 0.01 (0 to 0.02) |
| SBP, mm Hg     | 129±23 (130) | 130±24 (125) | 0.5 (−5.0 to 6.5) |
| DBP, mm Hg     | 67±13 (67) | 62±11 (63) | 5.0 (1.5 to 8.0) |
| AVA, cm²       | 0.96±0.22 (0.96) | 0.94±0.19 (0.94) | 0 (−0.05 to 0.06) |
| MPG, mm Hg     | 25±9 (25) | 28±10 (27) | −2.2 (−4.0 to −0.4) |
| SVI (Fick), mL/m² | 36±12 (37) | 39±10 (37) | −2.4 (−4.9 to 0.3) |
| SVI (TD), mL/m² | 35±11 (35) | 1.3 (−1.2 to 3.9) | 0.2 (−0.5 to 0.6) |
| ZVA (Fick), mm Hg m²/mL | 4.6±1.4 (4.4) | 4.3±1.2 (4.0) | 1.3 (−1.2 to 3.9) |
| ZVA (TD), mm Hg m²/mL | 4.9±1.6 (4.6) | 4.9±1.6 (4.6) | 0.1 (−0.7 to 0.1) |

The first and second columns show mean±SD (median) for variables measured at TTE and BHC. Stroke volume has two separate BHC measurements: by Fick and by TD. Therefore, SVI and ZVA have two separate lines for each of the two BHC methods. The last column shows estimated median for the differences between the parameters from TTE and BHC and their non-parametric 95% CI.

Ava: aortic valve area; BHC, bilateral heart catheterisation; BMI, body mass index; BSA, body surface area; DBP, diastolic blood pressure; MPG, mean transvalvular pressure gradient; SBP, systolic blood pressure; SVI, stroke volume index; TD, thermodilution; TTE, transthoracic echocardiography; ZVA, valvuloarterial impedance.

Fick-based and TD-based models, and the median difference (IQR) in Brier scores between the two models was 0.004 (−0.002 to 0.007) (see figure 3B, red and green curves). In contrast, lower scores indicate that the classification per TD can be recovered from Fick with much higher accuracy (see figure 3B, blue curve). At $z_0=4.5$, the Brier scores for Fick-based and TD-based models to recover classification per TTE were 0.23 and 0.22, respectively, whereas the score for Fick-based model to recover classification per TD was 0.17.

From the sensitivity analysis, the CO appears to be a more significant contributor to misclassification, that is, using ZVA TTE based on SVI BHC improves the agreement in risk classifications relative to either ZVA TD or ZVA Fick, with median (IQR) kappa values of 0.6 (0.5–0.7) and 0.6 (0.5–0.7) for Fick and TD, respectively (see figure 4).
**DISCUSSION**

The present study compared the performance of a TTE-based method for measuring ZVA in patients with severe AS relative to the two established invasive haemodynamic standards, TD and Fick. To our knowledge, this study is the first to compare TTE-based measurements of the global LV haemodynamic load with the established BHC standards. The following are the main findings of the study: (1) the differences in ZVA from TTE and BHC methods do not appear to exceed the difference in ZVA between the two invasive standards; thus, TTE may be deemed acceptable as a clinical measure of global haemodynamic load; and (2) applying a risk stratification rule of ZVA >4.5 mm Hg m²/mL, the misclassification rate for BHC relative to TTE-based measurements is about 40% for both TD and Fick; thus, TTE-based and BHC-based measurements may not be interchangeable to identify patients at risk.

In a multicentre study of patients with classic low-flow, low-gradient severe AS, ZVA did not appear to differ between true and pseudo-severe AS and was not found to be associated with operative or long-term mortality in patients with AS who underwent AVR. Similarly, preoperative ZVA was not associated with the long-term (≥5 year) postoperative survival in patients with high-gradient, normal-flow severe AS and preserved LVEF. However, in patients with paradoxical low-flow, low-gradient severe AS (normal preserved ejection fraction), an increased ZVA (≥5.5 mm Hg mL/m²) was an independent predictor of increased mortality, thus guiding risk stratification and clinical decision making in clinically challenging patient populations.

The goal of the current study was to determine the accuracy of TTE-based measurements relative to the current BHC standards. We surmised that ZVA by TTE can be deemed clinically acceptable if differences in ZVA estimates between TTE and BHC methods do not exceed that between the two BHC standards. Here, the differences in ZVA from TTE relative to BHC standards were estimated using a statistical method for repeated measurements analysis that accounts for within-patient dependencies. The results suggest non-negligible differences in TTE measurements of ZVA in patients with severe AS as compared with existing BHC standards. In particular, ZVA on TTE was on average approximately 6% (or 0.28 mm Hg m²/mL) lower than on TD and higher than by Fick; from confidence limits ZVATTE could be as much as 12% (0.55 mm Hg m²/mL) higher than ZVAFick or as much as 15% (0.69 mm Hg m²/mL) lower than ZVATD. At the same time, ZVATD is 12% (0.52 mm Hg m²/mL) higher than ZVAFick, and this difference can be as large as 23% (1.0 mm Hg m²/mL). Taken together, the results indicate that if measured in quick succession (eg, within 7 days here), the differences in ZVA values between TTE and BHC standards do not appear to exceed the difference between the adopted standards. In that sense, the TTE-based estimates may be deemed acceptable for clinical use.
With increased adoption of data-driven models in clinical practice, our study evaluated the performance of TTE with respect to risk stratification rules of the form ZVA>z0. The majority of risk rules in the literature were derived from echocardiographic measurements. As both TTE and BHC methods are used commonly to estimate ZVA, it is important to determine whether the ZVA values can be used interchangeably to identify patients at risk. Our results indicate that TTE and BHC methods are not exchangeable with respect to threshold-based risk stratification rules. For example, consider a risk stratification rule of ZVA >4.5 mm Hg mL/m² derived from Doppler echocardiographic measurements. Applying this rule to ZVA TTE identifies 30 of 66 patients as high risk. If the rule is applied to ZVA BHC, then 23 (35%) patients would be misclassified and 14 (21%) of those may have their risk underestimated. For ZVA TTE, these numbers are 25 (38%) and 10 (15%), respectively. Furthermore, using a simple logistic regression to calibrate the ZVA measurements from different modalities does not appear to improve the agreement in risk classification for BHC methods relative to TTE. These results imply that while differences in ZVA between TTE and BHC do not appear to exceed those between the two standard invasive methods, ZVA estimates from different methods may not be used interchangeably in risk classification rules. Further outcome data studies are required to definitively address the question of exchangeability of ZVA measurement methods with respect to risk stratification rule.

Limitations

The echocardiographic components of ZVA (stroke volume, valve gradients) were based on published echocardiography reports in patients’ medical records. The retrospective study design is prone to selection bias. The TTE measurements were performed by trained technicians at our echocardiography department; however, the interobserver variability was not assessed. For TTE, the pressure recovery was not accounted for due to its generalised progressive arte.

CONCLUSIONS

In this study, we observed that differences in ZVA estimates between TTE and BHC standards do not appear to exceed those between the standards. As such TTE-based estimates may be deemed acceptable as a clinical measure of global haemodynamic load. However, ZVA-based risk stratification rules are specific to the measurement methods based on which they were originally developed. Thus, ZVA values obtained from TTE and BHC cannot be used interchangeably to identify patients at risk.

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