Analysis of health service amenable and non-amenable mortality before and since China’s expansion of health coverage in 2009

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ABSTRACT
Objective: To explore early impacts of China’s health reforms in 2009 on mortality.
Methods: Annual mortality counts were obtained from 161 counties across all 31 provinces of mainland China between 2006 and 2012. We examined time-series of health service amenable mortality counts, including separate analyses for deaths from stroke and ischaemic heart diseases (IHD). Non-amenable mortality counts, including separate models for oesophageal and pancreatic cancers, were also analysed as part of a negative-outcome strategy to provide stronger foundations for falsification. Deaths due to amenable causes were hypothesised to decrease, whereas non-amenable causes of mortality would remain uninfluenced. All analyses were conducted using multilevel negative binomial regression.
Results: Geographical variation was observed for each mortality indicator, especially for IHD, oesophageal and pancreatic cancers. Negative covariances in all models indicated slight degrees of convergence in these geographic variations over time (but not significantly for deaths from oesophageal and pancreatic cancers). Linear and square functions of time indicated a curvilinear inverted parabolic trend between 2006 and 2012 for stroke and IHD mortality. Reduction in health-service amenable mortality over time was observed, but also for health service non-amenable mortality, including deaths from oesophageal cancer. Pancreatic cancer was found to increase across the study period. In counties where residents had more years of education, mortality from stroke was lower and reducing faster over time. A similar spatiotemporal pattern was observed for deaths from oesophageal cancer, and health service amenable and non-amenable causes. Counties with higher mean education years had higher mortality from IHD and pancreatic cancer, but also larger reductions in mortality were evident in areas with greater years of education.
Conclusions: Although there was no clear evidence of an early impact of China’s health reform on mortality, this does not rule out potentially important contributions to reducing the burden of disease in the longer term.

Introduction
Commentators suggest that a universal health insurance which provides access to good quality health services not only helps enhance health and prevents disease, but also serves to reduce socioeconomic inequity and strengthens the workforce. The pursuit for universal health coverage has been reflected in developments over the past 10–15 years in China, including the landmark reforms of 2009. China’s government began to re-establish rural health insurance from 2002 in which all rural Chinese residents were eligible to enrol. Attention then focused on cities with the launch of the urban resident basic health insurance in 2007. This was followed by China’s landmark reforms in 2009, which led to the rapid expansion of health insurance to over 90% of the population by the end of 2010. Five years on, we investigated whether the Chinese government’s investment of CN¥850 billion (US$125 billion) had early impacts on public health.

While universal health coverage is regarded as central to social and economic policy and a vital component for achieving health equity, the scientific evidence of...
health its impact is mixed.8 Population-wide investigations of the impact of universal health insurance are rare,9 with most focusing either on young children10–13 or the elderly.14 15 Previous work on the implementation of universal health coverage from 1995 onwards in Taiwan, for example, has reported a rise in health service utilisation among the newly insured16 and a marginally steeper rise in life expectancy within communities that had higher mortality rates before 1995.17 Yet both favourable and null findings have been reported on possible improvements in health status and reductions in mortality.18 19 The potential health impact of implementing universal health coverage is uncertain in a society as socially and geographically diverse as China.20 Furthermore, given the proliferation of particular diseases and causes of death amenable to healthcare intervention in particular areas of China, it is unlikely that any early impacts on health have been uniform across this vast country.

Accordingly, the aim of our study was to use a nationally representative time series data (2006–2012) across mainland China spanning the period prior and subsequent to the 2009 reform to explore for early impacts of the expansion of universal coverage on public health.

METHODS

Assessments of possible change in disease incidence and associated risk factors at this present time are unlikely to yield substantial findings given that near-universal health coverage was only recently achieved in China and the lag-times on these outcomes make them more suitable to long-term follow-up. However, the influence of the exogenous expansion in health coverage may be observable in the short-term by tracking ‘health service amenable mortality’ before and subsequent to 2009. The hypothesis is that the near-universal health coverage will have helped to raise standards of living, enhance health promotion and promoted greater equity of access to life-saving health services. The impact would then manifest in downward trends in mortality attributable to preventable causes, such as stroke and ischaemic heart disease (IHD).

To enhance this pre–post time-series analysis we leveraged a negative outcome study design22 wherein causes of death that could not have been affected by the health reforms were also analysed. This analysis of so-called ‘negative outcomes’ provides additional foundations for falsifiability of the main hypothesis that expansion of health service coverage had a favourable impact on health service amenable mortality. For this purpose, we selected oesophageal and pancreatic cancers specifically due to their short survival times,23 24 as well as the broader group of mortality causes that are not classified as ‘amenable’.

Access to annual mortality counts for 161 ‘disease surveillance points’ (DSP) was provided by the Chinese Center for Disease Control and Prevention (China CDC). The DSP is nationally representative and covers a sample population of approximately 73 million people, including urban and rural counties in all 31 provinces, municipalities and autonomous regions within China. Amenable mortality (table 1) and other mortality counts were stratified by year, gender and age group (50–59 years, 60–69 years, 70–79 years, 80+ years) at the DSP level for years 2006–2012 inclusive. Population counts from the 2010 census were used to ascertain denominators.

| Table 1 | Amenable mortality definition |
|---------|-----------------------------|
| No. | Name | Age | ICD10 |
| 1 | Intestinal infections | 0–14 | A00-A09 |
| 2 | Tuberculosis | 0–74 | A15-A19, B90 |
| 3 | Other infectious (diphtheria, tetanus, poliomyelitis) | 0–74 | A36, A35, A80 |
| 4 | Whooping cough | 0–14 | A37 |
| 5 | Septicaemia | 0–74 | A40-A41 |
| 6 | Measles | 1–14 | B05 |
| 7 | Malignant neoplasm of colon and rectum | 0–74 | C18-C21 |
| 8 | Malignant neoplasm of skin | 0–74 | C44 |
| 9 | Malignant neoplasm of breast | 0–74 | C50 |
| 10 | Malignant neoplasm of cervix uteri | 0–74 | C53 |
| 11 | Malignant neoplasm of cervix uteri and body of the uterus | 0–44 | C54, C55 |
| 12 | Malignant neoplasm of testis | 0–74 | C62 |
| 13 | Hodgkin’s disease | 0–74 | C81 |
| 14 | Leukaemia | 0–44 | C91-C95 |
| 15 | Diseases of the thyroid | 0–74 | E00-E07 |
| 16 | Diabetes mellitus | 0–49 | E10-E14 |
| 17 | Epilepsy | 0–74 | G40-G41 |
| 18 | Chronic rheumatic heart disease | 0–74 | I05-I09 |
| 19 | Hypertensive disease | 0–74 | I10-I13, I15 |
| 20 | Ischaemic heart disease | 0–74 | I20-I25 |
| 21 | Cerebrovascular disease | 0–74 | I60-I69 |
| 22 | All respiratory diseases (excluding pneumonia/ influenza) | 1–14 | J00-J09, J20-J99 |
| 23 | Influenza | 0–74 | J10-J11 |
| 24 | Pneumonia | 0–74 | J12-J18 |
| 25 | Peptic ulcer | 0–74 | K25-K27 |
| 26 | Appendicitis | 0–74 | K35-K38 |
| 27 | Abdominal hernia | 0–74 | K40-K46 |
| 28 | Cholelithiasis and cholecystitis | 0–74 | K80-K81 |
| 29 | Nephritis and nephrosis | 0–74 | N00-N07, N17-N19, N25-N27 |
| 30 | Benign prostatic hyperplasia | 0–74 | N40 |
| 31 | Maternal deaths | All | O00-O99 |
| 32 | Congenital cardiovascular anomalies | 0–74 | Q20-Q28 |
| 33 | Perinatal deaths, all causes excluding stillbirths | All | P00-P96, A33, A34 |
| 34 | Misadventures to patients during surgical and medical care | All | Y60-Y69, Y83-Y84 |
Socioeconomic circumstances were measured using the mean years of education among residents within each DSP, also extracted from the 2010 census.

Descriptive analysis was conducted by calculating the mean annual mortality count for each cause of death for 2006–2012 inclusive. Statistical analysis was conducted via multilevel negative binomial regression with random coefficients to investigate potential spatiotemporal variation in each mortality count. An assumption of a standard random intercept multilevel model is that the relationship between outcome and exposure is the same regardless of the higher level unit, such as a geographic area. By fitting a random coefficient between DSPs and time in our models, we allowed the relationship between mortality and time to vary between DSPs, henceforth making potential geographic deviations in mortality trends (ie, spatiotemporal variation) more observable.

Initial models were fitted with gender, age group and year as fixed effects. The year variable was fitted as in the form of linear and square terms to explore for potential curvilinear trends. This was to help account for the hierarchical data structure, wherein each DSP-level mortality count was stratified by gender, age group and year. Henceforth, level 1 within the model was the DSP-level mortality count for every combination of gender, age group and year, nested within DSPs at level 2. Linear and square functions of year were fitted into the model to assess for deviation in the mortality trends through time. The degree of potential spatiotemporal variation was assessed by observing the covariance of the random slopes. DSP-level variances were re-expressed as median rate ratios (MRR). The DSP-level mean years of education was then added to each model along with an interaction with year to explore whether there was change in the level of socioeconomic inequity over the time period. All fixed effect parameters were exponentiated to rate ratios (RR) and 95% CIs. All analyses were conducted in MLwiN V.2.30 using penalised quasi-likelihood with second order Taylor series expansion to correct for downwardly biased variances.

RESULTS

Mean health service amenable mortality was observed at 151.5/100 000 in 2006, rising to 164.1/100 000 by 2008, but then decreasing from 2009 onwards to 150.7/100 000 by 2012 (table 2). Stroke mortality appeared to follow a similar inverted parabolic trend, whereas IHD mortality increased across the study period. Non-amenable mortality increased from 453.7/100 000 in 2006 to 495.0/100 000 in 2008, falling to 487.2/100 000 by 2012. Mortality from pancreatic cancer increased across the study period, but deaths from oesophageal cancer decreased.

Substantial geographical variation was observed for mortality counts from stroke (MRR 1.76), IHD (1.99; table 3), oesophageal cancer (2.76) and pancreatic cancer (1.95; table 4). The spatial patterning of health service amenable (1.49) and non-amenable (1.28) mortality counts was less varied by comparison (table 5). In models unadjusted for socioeconomic circumstances (model 1s, tables 3–5), stroke and IHD mortality increased but then curved downward over time period (table 3). Deaths from oesophageal cancer also reduced but those from pancreatic cancer increased (table 4). Both health service amenable and non-amenable causes of death appeared to decline across the study period (table 5). The covariances indicated a slight narrowing of the geographic variation of these mortality counts through the study period.

Adjusting for socioeconomic circumstances did not markedly change these results. Higher mean years of education in a DSP was associated with lower mortality from stroke, oesophageal, health service amenable and non-amenable mortality, but also higher IHD and pancreatic cancer mortality. Interaction terms indicated some degree of change in the socioeconomic patterning of each mortality outcome over time, with potential narrowing of the gradient for IHD and pancreatic cancer, but widening for other causes of death due to larger reductions in areas with greater years of education.

DISCUSSION

Unprecedented change in built, physical and socioeconomic environments associated with rapid urbanisation have manifested unevenly across China, presenting major challenges in disease prevention and detection. The expansion of health coverage in China as a result of the 2009 reforms has been interpreted as an important step towards ensuring access to healthcare and protecting health in the long term. In the short

| Year | Amenable | Ischaemic heart disease | Stroke | Non-amenable | Pancreatic cancer | Oesophageal cancer |
|------|----------|-------------------------|--------|--------------|-------------------|-------------------|
| 2006 | 151.5    | 58.5                    | 120.0  | 453.7        | 3.2               | 12.3              |
| 2007 | 154.6    | 70.3                    | 127.7  | 468.6        | 3.7               | 13.0              |
| 2008 | 164.1    | 78.3                    | 144.8  | 495.0        | 3.7               | 12.7              |
| 2009 | 155.3    | 81.7                    | 141.9  | 473.2        | 3.7               | 11.9              |
| 2010 | 151.6    | 85.6                    | 142.6  | 471.1        | 3.7               | 11.1              |
| 2011 | 152.6    | 89.8                    | 141.7  | 469.9        | 4.2               | 10.8              |
| 2012 | 150.7    | 96.4                    | 141.6  | 478.2        | 4.1               | 10.7              |
term, has the expansion of health insurance in China had appreciable and early benefits or not? Building on recent work,28 29 our study found mixed evidence for impacts on mortality. Evidence in support of an early benefit was from the declines in mortality from health service amenable causes. The curvilinear trend of early impacts on mortality. Evidence in support of an early benefit was from the declines in mortality from health service amenable causes. However, declines in oesophageal cancer mortality and non-amenable21 has been previously used to assess the impact of a health insurance coverage expansion in Taiwan.18 Our findings are similar in that mortality from amenable and non-amenable causes decreased during the study period. This comparison afforded a negative outcome study design,22 a method which provides stronger grounds for assessing the potential impacts of the health reform intervention. It should be noted, however, that the negative outcome approach does not necessarily provide strong evidence for or against causation. The degree of health coverage policy implementation and diffusion and variation in exposure. It is plausible, for example, that while the less socioeconomically well-off areas and rural communities in particular could have received full implementation of the policy within the 2009 health reform.

The provision of universal health insurance remains a fundamental determinant of health33 and the equivocal findings from our study do not rule out the likely social and economic benefits experienced in many communities across mainland China. A full appreciation of the costs and benefits will only become clearer over time and with epidemiological studies on a range of health outcomes, including time-series analyses of mortality counts. The classification of mortality into causes of death that are recognised to be health service amenable and non-amenable21 has been previously used to assess the impact of a health insurance coverage expansion in Taiwan.18 Our findings are similar in that mortality from amenable and non-amenable causes decreased during the study period. This comparison afforded a negative outcome study design,22 a method which provides stronger grounds for assessing the potential impacts of the health reform intervention. It should be noted, however, that the negative outcome approach does not necessarily provide strong evidence for or against causation. The degree of health coverage policy implementation and diffusion and variation in exposure. It is plausible, for example, that while the less socioeconomically well-off areas and rural communities in particular could have received full implementation of the policy within the

Table 3 Spatiotemporal trajectories and socioeconomic inequities in mortality from stroke and ischaemic heart disease

|                                | Stroke mortality | Ischaemic heart disease mortality |
|--------------------------------|------------------|-----------------------------------|
|                                | Model 1          | Model 2                           | Model 1                          | Model 2                          |
| **Fixed part**                 |                  |                                   |                                   |
| Year                           | Rate Ratio (95% CI) | 1.08 (1.04 to 1.13) | 1.09 (1.05 to 1.13) | 1.10 (1.07 to 1.12) | 1.10 (1.07 to 1.13) |
| Year²                          | 0.99 (0.98 to 0.99) | 0.99 (0.98 to 0.99) | 0.99 (0.99 to 0.99) | 0.99 (0.99 to 0.99) |
| Gender (ref: male)             |                  |                                   |                                   |
| Female                         | 0.55 (0.54 to 0.57) | 0.55 (0.54 to 0.57) | 0.45 (0.43 to 0.46) | 0.45 (0.43 to 0.46) |
| Age group (ref: 50–59 years)   |                  |                                   |                                   |
| 60–69                          | 2.92 (2.84 to 2.99) | 2.92 (2.84 to 2.99) | 2.58 (2.51 to 2.65) | 2.58 (2.51 to 2.65) |
| 70–79                          | 9.77 (9.52 to 10.02) | 9.77 (9.52 to 10.02) | 8.28 (8.06 to 8.51) | 8.28 (8.06 to 8.51) |
| 80+                            | 25.53 (24.89 to 26.19) | 25.53 (24.89 to 26.19) | 28.65 (27.87 to 29.44) | 28.65 (27.87 to 29.44) |
| Gender×age group (years)       |                  |                                   |                                   |
| Female×60–69                   | 1.10 (1.06 to 1.15) | 1.10 (1.06 to 1.15) | 1.37 (1.32 to 1.43) | 1.37 (1.32 to 1.43) |
| Female×70–79                   | 1.25 (1.21 to 1.30) | 1.25 (1.21 to 1.30) | 1.77 (1.70 to 1.84) | 1.77 (1.70 to 1.84) |
| Female×80+                     | 1.47 (1.41 to 1.52) | 1.47 (1.41 to 1.52) | 2.07 (1.98 to 2.15) | 2.07 (1.98 to 2.15) |
| Mean years of education        |                  | 0.96 (0.90 to 1.02) | 1.16 (1.08 to 1.25) |
| Year×Mean years of education   |                  | 0.94 (0.91 to 0.96) | 0.97 (0.95 to 0.98) |
| Year²×Mean years of education  |                  | 1.01 (1.00 to 1.01) | 1.00 (1.00 to 1.01) |
| **Random part**                |                  |                                   |                                   |
| DSP×Year                       |                  |                                   |                                   |
| Intercept variance (SE) [MOR]  | 0.350 (0.041) [1.76] | 0.347 (0.041) [1.75] | 0.521 (0.060) [1.99] | 0.485 (0.056) [1.94] |
| Covariance (SE)                | −0.094 (0.015) | −0.101 (0.015) | −0.043 (0.010) | −0.036 (0.010) |
| Slope variance (SE)            | 0.060 (0.008) | 0.051 (0.007) | 0.018 (0.003) | 0.016 (0.003) |
| DSP×Year²                      |                  |                                   |                                   |
| Intercept variance (SE) [MOR]  | 0.010 (0.002) [1.10] | 0.011 (0.002) [1.11] | 0.004 (0.001) [1.06] | 0.003 (0.001) [1.05] |
| Covariance (SE)                | −0.007 (0.001) | −0.006 (0.001) | −0.002 (<0.001) | −0.002 (<0.001) |
| Slope variance (SE)            | 0.001 (<0.001) | 0.001 (<0.001) | 0.001 (<0.001) | 0.001 (<0.001) |

DSP, disease surveillance point; MRR, median rate ratio.
study period. Were these data to become available to empirically test, it would be possible to compare trends in mortality over time in ‘treated’ compared with ‘untreated’ communities within a regression discontinuity framework—an important and under-used study design for evaluations of the causal impact of large-scale policies on health.$^{34, 35}$

Previous research conducted on China’s health reforms provide important context for the findings. A large repeated cross-sectional study conducted in 2003, 2008 and 2011 assessed trends in access to healthcare coverage, healthcare activities and financial protection.$^{36}$ That study reported an increase in healthcare coverage, healthcare expenses that are likely to vary geographically and, henceforth, shape spatial inequities in health expenses described as being particularly vulnerable. Another study, focusing on Shaanxi Province in the west of China, reported decreasing medicine prices but also reductions in the availability of the lowest-priced generic medicines in the public and private sectors between 2010 and 2012.$^{38}$ This underlines our hypothesis that DSPs can be very large and within-DSP spatial heterogeneity is unobservable with the data available and, therefore, masks changes in mortality trends at a more local scale and inequities between different population groups is similarly crucial. It is nonetheless vital to note that DSPs can be very large and within-DSP spatial heterogeneity is unobservable with the data available and, therefore, masks changes in mortality trends at a more local scale and inequities between different population groups, such as urban migrants who do not have permanent residency status within China’s megacities.$^{39}$

It is important to acknowledge that the routine surveillance of mortality counts across a country as vast as China is not trivial. One key challenge is under-reporting of mortality counts. Previous research$^{40}$ using the DSP mortality data analysed in this study found significantly higher under-reporting of mortality in rural compared with urban areas. Further strengths of our study include the wide geographical scope of the data spanning all 31 provinces and the analyses of disease-specific causes of mortality for comparison purposes. The latter was demonstrably important given the different levels of geographic variation and temporal trajectories in mortality. Although deaths from causes that are and are not amenable to health service intervention are typically grouped separately, an appreciation of heterogeneity within these groups is similarly crucial. It is nonetheless vital to note that DSPs can be very large and within-DSP spatial heterogeneity is unobservable with the data available and, therefore, masks changes in mortality trends at a more local scale and inequities between different population groups, such as urban migrants who do not have permanent residency status within China’s megacities.$^{39}$

### Table 4 Spatiotemporal trajectories and socioeconomic inequities in mortality from oesophageal and pancreatic cancers

|                      | Oesophageal cancer mortality | Pancreatic cancer mortality |
|----------------------|------------------------------|----------------------------|
|                      | Model 1                      | Model 2                    |
|                      | Rate ratio (95% CI)          | Rate ratio (95% CI)        |
| Year                 | 0.98 (0.95 to 1.00)          | 0.96 (0.93 to 0.99)        |
| Year$^2$             | 1.00 (0.99 to 1.00)          | 1.00 (0.99 to 1.00)        |
| Gender (ref: male)   |                             |                            |
| Female               | 0.20 (0.19 to 0.21)          | 0.23 (0.21 to 0.24)        |
| Age group (ref: 50–59 years) | 2.38 (2.28 to 2.49)   | 2.62 (2.51 to 2.76)        |
|                      | 4.67 (4.47 to 4.87)          | 4.84 (4.64 to 5.09)        |
|                      | 6.85 (6.52 to 7.19)          | 7.10 (6.72 to 7.50)        |
| Gender×age group (years) |                       |                            |
| Female×60–69         | 1.42 (1.31 to 1.54)          | 1.39 (1.28 to 1.52)        |
| Female×70–79         | 1.81 (1.67 to 1.95)          | 1.72 (1.58 to 1.88)        |
| Female×80+           | 2.06 (1.89 to 2.24)          | 1.88 (1.71 to 2.06)        |
| Mean years of education | 0.87 (0.77 to 0.98)   | 1.27 (1.12 to 1.42)        |
| Year×Mean years of education | 0.97 (0.95 to 0.99) | 0.98 (0.96 to 1.00)        |
| Year$^2$×Mean years of education | 1.00 (1.00 to 1.01) | 1.00 (1.00 to 1.01)        |

**Random part**

| DSP×Year | Intercept variance (SE) | 1.131 (0.133) [2.76]   | 1.189 (0.139) [2.83]   |
|          | Covariance (SE)          | −0.024 (0.017)          | −0.022 (0.016)          |
|          | Slope variance (SE)      | 0.008 (0.003)           | 0.003 (0.004)           |

DSP, disease surveillance point; MRR, median rate ratio.

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$^{34}$ Feng X, et al. BMJ Open 2016;6:e009370. doi:10.1136/bmjopen-2015-009370

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questions to address under-reporting in China. The authors found the propensity score on a set of assumptions, with propensity score techniques using a household survey as gold standard, based on a comparative capture-mark-recapture technique comparing capture-mark-recapture and death information for China. This study. It should be acknowledged, however, that this study is that adjusted data set that we have analysed in this study. It should be acknowledged, however, that death information for China’s floating population (e.g., urban migrants without permanent residency) is incomplete and could not be analysed as a distinct group within this study.

By focusing on mortality and a relatively short study period of 7 years, including 3 years both prior and subsequent to the 2009 health reforms, other early benefits could not be assessed. These may include better geographical access to healthcare and health promotion activities that enhance community well-being, the results of which are more likely to emerge much later. A limitation of the analysis is that the DSP system, at 161 locations, did not contain information on the healthcare environments provided in each area during the time period. Our use of random coefficient multilevel models circumvented this limitation to a degree by allowing mortality trajectories for every DSP to vary over time (rather than adhere to a fixed slope for the entire country). This is an important methodological strength since, as we have already reflected on, it is unlikely that all areas of China witnessed the same level of change post-reform within the short time period of this study. Further work is warranted in this regard to ascertain how much change had occurred in terms of healthcare provision at a local level.

In conclusion, mixed findings in this time-series analysis of health service amenable mortality and negative outcomes indicate that it may be too early to identify appreciable benefits of the expansion of health coverage across China. Far from refuting the position that universal healthcare is an essential social good, our study demonstrates the need for more in-depth epidemiological investigation on the potential benefits for health status, lifestyle and health service use related factors that may yet determine clear reductions in amenable mortality across China.

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