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Indocyanine green as effective antibody conjugate for intracellular molecular targeted photodynamic therapy

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Abstract. The fluorescent dye indocyanine green (ICG) is clinically approved and has been applied for ophthalmic and intraoperative angiography, measurement of cardiac output and liver function, or as contrast agent in cancer surgery. Though ICG is known for its photochemical effects, it has played a minor role so far in photodynamic therapy or techniques for targeted protein-inactivation. Here, we investigated ICG as an antibody-conjugate for the selective inactivation of the protein Ki-67 in the nucleus of cells. Conjugates of the Ki-67 antibody TuBB-9 with different amounts of ICG were synthesized and delivered into HeLa and OVCAR-5 cells through conjugation to the nuclear localization sequence. Endosomal escape of the macromolecular antibodies into the cytoplasm was optimally triggered by photochemical internalization with the photosensitizer BPD. The second light irradiation at 690 nm inactivated Ki-67 and subsequently caused cell death. Here, we show that ICG as an antibody-conjugate can be an effective photosensitizing agent. Best effects were achieved with 1.8 ICG molecules per antibody. Conjugated to antibodies, the ICG absorption peaks vary proportionally with concentration. The absorption of ICG above 650 nm within the optical window of tissue opens the possibility of selective Ki-67 inactivation deep inside of tissues.

Keywords: Indocyanine green; Ki-67 protein; antibody conjugate; intracellular delivery; light inactivation; photodynamic therapy; biomedical optics; medicine; targets; cells; infrared.

1 Introduction

Indocyanine green (ICG) has been used in clinical diagnostics for over 40 years with an excellent safety record.1 It has a very broad absorption band between 600 and 800 nm and a fluorescence emission above 800 nm. In this wavelength range, light absorption and scattering of biological tissue is relatively low and light can penetrate deep into the tissue. Also, autofluorescence of tissue is low at this wavelength range.2,3 ICG is approved by the U.S. Food and Drug Administration (FDA) and the European Medicines Agency for ophthalmic and intraoperative angiography, measurement of cardiac output and liver function, and more recently as a contrast agent in cancer related surgery.4 Especially, the literature about the use of ICG in cancer diagnosis has grown exponentially in the last years.5 Here, ICG is used for Sentinel lymph node mapping in breast cancer, skin cancers, cervical cancer, and enteric cancers, or the detection of metastatic lesions in liver cancer and staging of lung cancers.5,6

These in vivo applications are based on the near infrared (NIR) fluorescence of ICG. NIR fluorescence imaging is faster, less expensive, and shows a reduced tendency to miss metastasis in comparison to computer tomography or positron emission tomography. ICG has not only been investigated for diagnosis but also as a photosensitizer for photodynamic therapy (PDT). PDT is an approved treatment modality for various malignant and nonmalignant diseases.8,9 ICG generates singlet oxygen when exposed to light and damages the surrounding tissue.10 Bäumler et al.11 have shown that ICG leads to lipid peroxidation upon light irradiation and has a very low-dark toxicity compared with porphyrines. Phototoxic effects of ICG have been studied in different cancer cell lines, originating from breast cancer,12,13 pancreatic cancer,14 oral squamous cell cancer,15 and skin melanoma.16 ICG has also been encapsulated in theranostic nanoparticles for tumor imaging or targeted PDT approaches.17,18 Liu et al.19 encapsulated ICG into micelles with folic acid (FA) targeting specificity where ICG was loaded in such a high concentration that self-quenching occurred. After uptake into FA positive cells, the particles became highly fluorescent and phototoxic after cellular degenerative processes. Kuo et al.20 show a combined approach of photothermal therapy and PDT for elimination of malignant cells where ICG was conjugated to gold nanoparticles. Further photochemical active nanoparticles include TiO2 nanoparticles that are under irradiation of UV and visible light capable of photocatalytic degeneration of large molecules as well as Escherichia coli due to decomposition of the lipopolysaccharide in the outer leaflet of the bacteria.21

In this study, we use ICG for the inactivation of the nuclear protein Ki-67 with the aim of eliminating proliferating cancer cells. The expression of Ki-67 is strongly correlated with cell proliferation22,23 and therefore Ki-67 is an established marker in tumor diagnosis for cell proliferation in histopathology.24 In our study, ICG was conjugated to the antibody TuBB-9,
which specifically recognizes a physiologically active form of Ki-67 that is involved in the early steps of the synthesis of rRNA.\textsuperscript{25,26}

In earlier studies, we have shown that light inactivation of Ki-67 leads to a selective elimination of proliferating cells.\textsuperscript{23–25} In these studies, we have used fluorescein isothiocyanate (FITC) as a photosensitizer. To study the effectiveness of ICG to inactivate Ki-67, we synthesized conjugates with different ratios of ICG per TuBB-9 antibody. ICG is an amphiphilic molecule and the absorption properties are strongly dependent on the solvent and the ICG concentration. Especially, the first absorption peak at 700 nm is influenced by concentration effects.\textsuperscript{30} This can lower the efficiency of ICG as a photosensitizer and is challenging for the application of the right light dose for therapeutic treatments. Here, we show that ICG-antibody conjugates can be effective photosensitizing agents. In contrast to free ICG, the absorption peaks vary proportionally with concentration, and wavelength shift is diminished.

For nuclear delivery of the TuBB-9-ICG photoimmunoconjugates, we used a recently developed delivery platform.\textsuperscript{28} First, TuBB-9-ICG was covalently linked to the nuclear localization signal (NLS) peptide sequence for intracellular delivery. In addition, for active nuclear transport, NLS is known to act also as a cell penetrating peptide and proteins labeled with NLS can be transported into cells.\textsuperscript{31} This cellular transport, especially for macromolecules like antibodies, ends often in the endosomal pathway, where the delivered agents are finally degraded in lysosomes, before they can exert their action.\textsuperscript{32}

In order to provide endosomal escape of the macromolecular TuBB-9 antibodies into the cytoplasm, we utilized photochemical internalization (PCI) with optical irradiation after incubation of the cells with an appropriate photosensitizer. Lipophilic and amphiphilic porphyrins or porphyrin-related compounds localize primarily in endosome membranes.\textsuperscript{33} Light irradiation can disrupt the membranes and can set their contents free.\textsuperscript{34} PCI is based on the same working mechanism as PDT. Both techniques utilize photosensitizers for the generation of singlet oxygen and other reactive oxygen species (ROS) upon light irradiation.\textsuperscript{35} In order to restrict the photochemical effect to endosomes, PCI requires lower photosensitizer concentrations and light than PDT.\textsuperscript{34} The short lifetime (<0.04 \mu s) and short range of action (<20 nm)\textsuperscript{36} of these ROS limits most of the damaging effects to the endosomal membranes. Endosomes can be disrupted with the help of ROS by oxidizing its membrane constituents, which facilitates the escape of trapped therapeutic macromolecules into the cytoplasm.\textsuperscript{33}

Here, we incubated HeLa and OVCAR-5 cells with the lipophilic photosensitizer benzoporphyrin derivative MA (BPD) for PCI to deliver the TuBB-9-ICG-NLS constructs to the cell nucleus. The second light irradiation at 690 nm (for ICG) or 490 nm (for FITC) leads to the inactivation of Ki-67 or its binding partners.

2 Materials and Methods

2.1 Antibody and Indocyanine Green Labeling

The monoclonal mouse antibody TuBB-9 was produced from hybridoma cells kindly provided by Leibnitz Institute Borstel, Germany.\textsuperscript{38} Hybridoma cells were cultivated in bioreactor cell culture flasks (INTEGRA Biosciences, Switzerland). The antibodies were purified from the culture supernatant with protein G columns. For labeling with ICG, antibodies (1 mg/ml in PBS at pH 7.6) were mixed with the ICG-NHS (1.21 mM in PBS at pH 7.6, Intracure medical, Switzerland) in a molar ratio of 1:2, 1:4, and 1:8, respectively. The solution was incubated at room temperature on a shaker for 1 h, and the labeled antibody was purified with a NAP-5 Sephadex column (GE Healthcare). After elution with PBS (pH 7.4), the labeled antibodies were concentrated with Microcon tubes (Millipore) and resuspended in PBS (pH 7.4). The absorption spectrum of free ICG, ICG-NHS and ICG labeled TuBB-9 (TuBB-9-ICG) was measured by UV-VIS spectroscopy (Hitachi, Japan).

2.2 Conjugation of TuBB-9-ICG with Nuclear Localization Signal Peptides

Cysteine modified NLS (CGGGPKKKVED, Anaspec) was conjugated to TuBB-9-ICG using the linker molecule sulfosuccinimidyl-4-(N-maleimidomethyl)-cyclohexane-1-carboxylate (sulfo-SMCC; Pierce).\textsuperscript{39} The maleimide groups were introduced to the conjugates by the reaction of 3 mg TuBB-9-ICG with 0.14 mg sulfo-SMCC in phosphate buffered saline (PBS, pH 7.4) in a ratio of 1:15 at room temperature for 1 h. The maleimide-derivatized TuBB-9-ICG was then purified on a NAP-5 mini-column (exclusion limit 5 kDa; GE Healthcare) eluted with PBS, pH 7.0. The fractions containing maleimide TuBB-9-ICG were transferred to an ultrafiltration device (100 kDa cutoff; Amicon). The device was centrifuged at 800 g for 20 min to concentrate maleimide-TuBB-9-ICG to 2 mg/ml, which were then mixed with a 60-fold molar excess of NLS-peptides (10 mg/ml in PBS, pH 7.0) for 18 h at 4°C. NLS-TuBB-9-ICG conjugates were purified from excess NLS-peptides and concentrated to 2 mg/ml in PBS (pH 7.4) by ultrafiltration.

NLS-TuBB-9-ICG conjugates were analyzed by sodium dodecyl sulfate-2-polyacrylamide gel electrophoresis (SDS-PAGE) under nonreducing conditions on a 5% Tris-HCl ready-minigel (Bio-Rad) stained with Coomassie Brilliant Blue. The migration distance in the gel relative to the bromphenol blue dye front (Rf) was measured and the numbers of NLS-peptides bound to the TuBB-9-ICG were estimated. For characterization and concentration measurements the absorption spectra of NLS-TuBB-9-ICG conjugates were measured by UV-VIS spectroscopy (Hitachi, Japan) and the emission spectra were recorded by fluorescence spectroscopy (FluoroMax, SPEX).

2.3 Cell Culture

Human cervical cancer cell line HeLa and human ovarian adenocarcinoma cell line OVCAR-5 were obtained from American Type Culture Collection. HeLa cells were maintained in Dulbecco’s modified Eagle’s medium (DMEM low glucose, Sigma) containing 10% fetal bovine serum (FBS gold, PAA) and 1% Penicillin/Streptomycin (PAA). OVCAR-5 cells were cultured in RPMI-1640 medium (Sigma) containing 10% FBS and 1% Penicillin/Streptomycin. Fibroblast cells were maintained in DMEM medium (High glucose, Sigma) containing 10% FBS and 1% Penicillin/Streptomycin. Cells were incubated in humidified atmosphere at 37°C with 5% CO2.

2.4 Endosomal Release of TuBB-9-ICG-NLS

HeLa and OVCAR-5 cells were plated in glass bottom imaging dishes (4 × 10^4 cells/ml) in a medium containing 100 nM BPD (benzoporphyrin derivative monoacid Ring A, Sigma). After 18 to 20 h, cells were washed twice with PBS and incubated for 4 h with (15 µg/ml) NLS-TuBB-9-ICG conjugates. Then, the cells...
were washed once with serum-free DMEM and twice with PBS. Light irradiation for endosomal escape was performed with a 410 nm LED (Roithner Lasertechnik, Vienna, Austria) with 15 mW/cm² for 60 s. Untreated cells, treated cells without irradiation, and treated cells with irradiation were fixed with 4% Paraformaldehyde and permeabilized by 0.25% Triton, then the fixed cells were incubated with 5 µg/ml Alexa 546 labeled Goat Anti-Mouse IgG Antibody (Life Technologies) for 45 min. Cells were washed twice with PBS and imaged with a fluorescence microscope (Nikon, Japan).

2.5 Cell Viability Assay

For viability assay, HeLa and OVCAR-5 cells were seeded with a density of 4 × 10⁴ cells/ml in 96-well plates, 100 nM BPD was added into each well, and incubated for 18 h. Then, cells were incubated for another 4 h with (15 µg/ml) NLS-TuBB-9-ICG constructs with different ICG ratios (antibody-ICG ratios: 1:0.6, 1:1.8, and 1:5.2) and for comparison with NLS-TuBB-9-FTTC conjugates. After incubation, the cells were washed twice with PBS and wells were divided into four groups: (1) no light irradiation, (2) irradiation with 410 nm laser for endosomal escape (15 mW/cm², 30 s), (3) incubation for 48 h and irradiation at 690 nm with a LED for photo-inactivation of Ki-67 (71 mW/cm², 5 min), and (4) irradiation at 410 nm and after 48 h a second irradiation at 690 nm for endosomal escape and photo-inactivation of ICG. After 72 h, cells were washed twice with PBS and 100 µl MTT-solution (1 mg/ml in culture medium) was added per well. After an additional incubation for 1 h, culture medium was removed and the samples were incubated in 200 µl DMSO for 30 min on a shaker to dissolve the formazan crystals. Absorbance was measured on a microplate reader (Spectramax M5, Molecular Devices) at 570 nm. Absorbance changes of treated samples compared to untreated controls were used as a measure for cell viability.

Table 1: Molar ratio of TuBB-9 and ICG-NHS used for conjugation and the ratios after reaction, determined after absorption measurements.

| Name of conjugates | Molar ratio of TuBB-9 and ICG-NHS used for conjugation | Measured molar ratio of TuBB-9 and ICG-NHS after conjugation |
|--------------------|-------------------------------------------------------|---------------------------------------------------------------|
| TuBB-9-ICG 1       | 1:2                                                   | 1:1.8                                                         |
| TuBB-9-ICG 2       | 1:4                                                   | 1:3.1                                                         |
| TuBB-9-ICG 3       | 1:8                                                   | 1:6.3                                                         |

One of the disadvantages for clinical application of ICG is the nonlinear dependence of light absorption on the concentration, because of aggregation. The ratio of the absorption peaks of free ICG at 700 and 770 nm changes with increasing concentration [Fig. 2(a)]. However, after conjugation to the antibody, the spectral shape remains stable and the two peaks at 730 and 800 nm tend to increase linearly with concentration [Fig. 2(b)].

3.2 Conjugation of TuBB-9-ICG with Nuclear Localization Signal Peptides

In order to increase cellular uptake for intracellular delivery, the three different TuBB-9-ICG conjugates listed in Table 1 were covalently conjugated with the cell penetrating peptide NLS. Figure 3(a) depicts schematically the chemical reaction of the conjugation. The resulting constructs were analyzed by SDS-PAGE to estimate the number of NLS-peptides conjugated to each molecule of TuBB-9-ICG conjugate. As shown in Fig. 3(b) after coomassie blue protein staining, the band of NLS-TuBB-9-ICG has a distinctly longer migration distance than TuBB-9-ICG. This difference in moving distance corresponds to different amounts of NLS-peptides conjugated to TuBB-9-ICG. Absorption spectra of the NLS-TuBB-9-ICG constructs showed no change on the absorption peak position of NLS-TuBB-9-ICG and TuBB-9-ICG. Nevertheless, the molar ratio of TuBB-9 and ICG decreased slightly after the NLS conjugation, since ICG and NLS are both connected via NHS groups to the amino groups of TuBB-9 [Fig. 3(c)]. The characterization results are listed in Table 2. Figure 3(d) shows the fluorescence emission spectrum of ICG-NHS, TuBB-9-ICG 2, and NLS-TuBB-9-ICG 2 excited by 730 nm irradiation. The fluorescence intensity also decreased slightly after the NLS conjugation since the number of ICG molecules per antibody decreased.
3.3 Nucleolar Localization of NLS-TuBB-9-ICG after Photochemical Internalization Irradiation

After incubation of HeLa and OVCAR-5 cells with NLS-TuBB-9-ICG, constructs are taken up by the cells and are entrapped in endosomal structures (Fig. 4, middle panel). Entrained constructs were released to the cytosol by the PCI process. Cells were first incubated with BPD and then irradiated at 410 nm. At 410 nm the photosensitizer BPD is efficiently excited without activating ICG, which exhibits a maximum absorption at 700 nm. Figure 4 shows the intracellular distribution of NLS-TuBB-9-ICG before and 48 h after PCI irradiation.

Without PCI, both HeLa and OVCAR-5 cells showed a dotted fluorescence pattern in the cytoplasm after staining TuBB-9 with a secondary antibody. This indicates the trapping of NLS-TuBB-9-ICG in endosomal structures. In contrast, 48 h after PCI irradiation fluorescence was detected uniformly spread over the cytoplasm and also located in the nucleoli at more distinct sites, which indicates the release of NLS-TuBB-9-ICG into the cytoplasm and binding to the Ki-67 protein. A similar relocalization from the cytosol to the nucleus was observed after cytosolic microinjection of TuBB-9-FITC conjugates in earlier experiments.26 Approximately, 90% of HeLa cells growing as monolayer cell culture are positive for Ki-67.28 This means that at the maximum 90% of the cells can show nucleolar localization of the TuBB-9 constructs. In earlier studies we have shown that with NLS-TuBB-9-FITC nucleolar localization was achieved in 78% of Ki-67 positive cells.28

At the time point of the second irradiation for the Ki-67 inactivation (72 h after incubation start), the BPD fluorescence is not detectable anymore in HeLa or in OVCAR-5 cells (Fig. 5).

3.4 In Vitro Ki-67 Photo-Inactivation with Indocyanine Green Conjugates

In previous studies, we demonstrated the ability of NLS-TuBB-9-FITC to kill various proliferating cells after the dual irradiation with wavelengths of 690 and 490 nm.27,28 The irradiation of NLS-TuBB-9-ICG leads to cell death by the inactivation of the Ki-67 protein or a binding partner. In order to evaluate the efficiency of the ICG constructs exerting their action after the dual irradiation with wavelength of 410 and 690 nm, an in vitro cytotoxicity assay was performed. Figure 6(a) shows the cytotoxic effects of different NLS-TuBB-9-ICG constructs in comparison with NLS-TuBB-9-FITC on HeLa cells. Untreated cells and cells incubated only with BPD served as controls. Due to damaging effects to endosomal membranes, all groups treated with BPD showed a loss in cell viability of approximately 20% to 30% after 410 or 690 nm irradiation alone. However, groups treated with NLS-TuBB-9-FITC or NLS-TuBB-9-ICG and irradiated at 410 and 690 nm showed a considerably higher cell killing compared to the groups of untreated cells and cells incubated with BPD but without irradiation. Differences in cell viability were observed between the different NLS-TuBB-9-ICG constructs and NLS-TuBB-9-FITC. Among the three different ICG constructs, the NLS-TuBB-9-ICG (ratio 1:1.8) showed the highest cell killing efficiency (cell viability of 13.7% ± 3.1%), compared to the group treated with the NLS-TuBB-9-ICG (ratio 1:0.6) (29.2% ± 5.8%) or with NLS-TuBB-9-ICG (ratio 1:5.2) (22.4% ± 2.6%). No significant difference was observed between NLS-TuBB-9-ICG (ratio 1:1.8) and NLS-TuBB-9-FITC.

The treatment procedure was also applied on ovarian carcinoma cells OVCAR-5 using NLS-TuBB-9-ICG (ratio 1:1.8) and NLS-TuBB-9-FITC conjugates. Cytotoxic effects were comparable, but slightly lower, compared with HeLa cells [Fig. 6(b)].

4 Discussion

In our study, we demonstrated the ability of cell penetrating ICG-antibody-conjugates to inactivate the Ki-67 protein. ICG is routinely used in angiography or as a contrast agent in cancer surgery and has an excellent safety profile. The broad absorption in the NIR allows for a large range of PDT applications of ICG. Although phototoxic effects were described, the use of unbound ICG is not very common for PDT. This is partly due to the aggregation pattern that leads to a subsequent quenching of the excited state with a reduction of singlet oxygen production.

We linked ICG covalently in different ratios to the Ki-67 binding antibody TuBB-9, which is the only one that recognizes Ki-67 in an active state. All ICG antibody conjugates showed a linear increase of absorption with concentration in a relevant range. Obviously, the binding to macromolecular antibodies prevents aggregation of the dye. Furthermore, fluorescence is not compromised by the conjugation to NLS sequences. A slight decrease of ICG fluorescence is caused by a replacement of ICG molecules by the NLS sequence on the antibody.

With the help of the NLS peptides and the recently developed two step light controlled delivery of antibody conjugates into...
the cytoplasm, we were able to specifically deliver TuBB-9-ICG-NLS constructs to the cell nucleoli, where the Ki-67 protein is located. After intracellular uptake, macromolecules are often entrapped in endosomal structures of the cells. We successfully used PCI with the photosensitizer BPD to disrupt the endosomes. For many PCI applications, amphiphilic dyes are used, which bind to cellular membranes. BPD is a lipophilic Porphyrine and for HeLa and OVCAR-5 cells it worked as a good PCI sensitizer.\textsuperscript{28} Since the aim of this study is the investigation of the potential of ICG for protein inactivation, we irradiated BPD at 410 nm where the absorption of ICG is low, to prevent activation and bleaching of ICG. After irradiation of BPD we observed localization of TuBB-9-ICG-NLS in the nucleoli. We have shown in earlier studies, that with NLS-TuBB-9-FITC nucleolar localization was achieved in 78\% of Ki-67 positive cells.\textsuperscript{28} Nucleolar localization of the antibody is presumably due to cotransport of the antibody with newly synthesized Ki-67 protein from the cytoplasm to the nucleus, or due to binding to Ki-67 during mitosis after breakdown of the nuclear envelope. After a second light irradiation at 690 nm 48 h later cells showed a pronounced cell death. The time delay ensured effective nucleolar localization of the ICG conjugates in most of the cells. The cell death is selectively provoked by irradiation of ICG bound to the TuBB-9 antibody, since BPD was not detectable anymore in the cell at the time point of the second irradiation. Samples incubated only with BPD showed no significant increase in cell death after the second irradiation with 690 nm. Although the overall strategy is complex, BPD and ICG and their light-irradiation are clinically approved.

Light inactivation of Ki-67 with the antibody TuBB-9 leads to cell death. The inactivation of Ki-67 is most likely due to photochemical crosslinking with close-by interacting proteins. This mechanism is also proposed for the established method called chromophore assisted light inactivation where the oxidation of methionine side chains has been shown.\textsuperscript{39-41}

An antibody ICG ratio of 1:1.8 showed the highest amount of cell death compared to the other ratios of 1:0.6 and 1:5.2. The conjugation ratio of 1:0.6 was less effective most likely due to the lower number of dye molecules that are available for inactivation. When the number of dye molecules per antibody molecule increases over the ratio of 1:1.8, it might hinder the antibody to bind to its target protein or self-quenching may...
occur due to the close proximity of the dye molecules. Interestingly, these 1:1.8 TuBB-9-ICG-NLS constructs led to a similar extent of cell death compared with TuBB-9-FITC-NLS. From this, we conclude that ICG as antibody conjugate has a strong potential in molecular targeted PDT applications, where light absorption in the NIR is inevitable for good light penetration into the tissue. The advantage of our approach of Ki-67 inactivation is the effectiveness of cell elimination with...
low antibody and dye concentration in the nanomolar range. This effectiveness is combined with high selectivity for proliferating cells. The light irradiation adds an additional level of selectivity, since the antibody itself does not inhibit Ki-67. This approach can also be applied on other membrane or cellular target proteins for light triggered inactivation. The use of ICG allows the inactivation deeper in the tissue, which is important for further in vivo applications.

5 Conclusion
Our results show that ICG antibody conjugates are effective photosensitizers for molecular targeted PDT. Since it is FDA approved for many applications and can be useful for biomedical applications due to its absorption characteristics in the NIR, a rapid clinical use seems feasible.

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