RESEARCH PAPER

Leaf optical properties reflect variation in photosynthetic metabolism and its sensitivity to temperature

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Abstract

Researchers from a number of disciplines have long sought the ability to estimate the functional attributes of plant canopies, such as photosynthetic capacity, using remotely sensed data. To date, however, this goal has not been fully realized. In this study, fresh-leaf reflectance spectroscopy (λ = 450–2500 nm) and a partial least-squares regression (PLSR) analysis were used to estimate key determinants of photosynthetic capacity—namely the maximum rates of RuBP carboxylation (Vcmax) and regeneration (Jmax)—measured with standard gas exchange techniques on leaves of trembling aspen and eastern cottonwood trees. The trees were grown across an array of glasshouse temperature regimes. The PLSR models yielded accurate and precise estimates of Vcmax and Jmax within and across species and glasshouse temperatures. These predictions were developed using unique contributions from different spectral regions. Most of the wavelengths selected were correlated with known absorption features related to leaf water content, nitrogen concentration, internal structure, and/or photosynthetic enzymes. In a field application of our PLSR models, spectral reflectance data effectively captured the short-term temperature sensitivities of Vcmax and Jmax in aspen foliage. These findings highlight a promising strategy for developing remote sensing methods to characterize dynamic, environmentally sensitive aspects of canopy photosynthetic metabolism at broad scales.

Key words: Jmax, leaf mass per unit area, leaf optical properties, leaf reflectance, nitrogen, photosynthesis, temperature, Vcmax.

Introduction

Using an array of technologies, researchers from a number of disciplines continue to pursue methods for remotely estimating the biochemical, structural, and physiological traits of plant leaves and canopies based on their optical properties (Wessman et al., 1988b; Martin and Aber, 1997; Smith et al., 2002; Biewer et al., 2009). Thus far, target foliar traits have included concentrations of nitrogen (Nmass, Bolster et al., 1996; Gillon et al., 1999), lignin, cellulose (Wessman et al., 1988a; Martin and Aber, 1997; Kokaly and Clark, 1999; Petisco et al., 2006), and photosynthetic pigments (Richardson et al., 2002; Gitelson et al., 2003; Moorthy et al., 2008) as well as water content (Sims and Gamon, 2003; Stimson et al., 2005; Cheng et al., 2008). In addition, leaf isotopic ratios (δ13C and δ15N; Richardson and Reeves, 2005; Wang et al., 2007; Kleinebecker et al., 2009), specific leaf area (SLA; Asner and Martin, 2008), and leaf mass per area (LMA; Asner et al., 2011; Doughty et al., 2011) have been successfully estimated using leaf optical properties. To date, however, remote sensing of leaf functional attributes, such as photosynthetic metabolism, has not progressed as rapidly (Grace et al., 2007).

Much of the effort to relate optical remote sensing data to photosynthetic status and ecosystem function has focused on the use of the photochemical reflectance index (PRI, Gamon et al., 1992, 1997). The PRI, which provides a linkage with photosystem II (PSII) efficiency by tracking the variation in xanthophyll cycle pigments, has been successfully used to assess photosynthetic functioning.
across a range of vegetation types (Peñuelas et al., 1995, 1998; Gamon et al., 1997; Nichol et al., 2000; Stoylinski et al., 2000; Guo and Trotter, 2004; Fuentes et al., 2006; Drolet et al., 2008; Hilker et al., 2008; Middleton et al., 2009) and responses of plants to environmental stress (Dobrowski et al., 2005; Suarez et al., 2008; Gray et al., 2010).

A parallel avenue of research has focused on the detection of vegetation chlorophyll fluorescence (CF) to exploit its relationship with photosynthetic functioning (Freedman et al., 2002; Louis et al., 2005; van der Tol et al., 2009; Damm et al., 2010). CF has been detected through passive monitoring of solar-induced steady-state fluorescence (Zarco-Tejada et al., 2003; Dobrowski et al., 2005; Grace et al., 2007; Campbell et al., 2008) and active laser-induced methods (Ananyev et al., 2005). Although remote sensing of CF remains experimental owing to a variety of technical issues (Grace et al., 2007; Coops et al., 2010), the recent creation of regional and global CF maps using space-borne observations (Guanter et al., 2010) highlights the technique’s potential.

The results of an effort to further explore the links between the photosynthetic and optical properties of tree leaves are summarized here. Specifically, in a glasshouse study of trembling aspen (Populus tremuloides) and eastern cottonwood (Populus deltoides) trees grown under different temperature regimes, it was assessed whether climate-mediated variation in leaf photosynthetic metabolism could be effectively estimated using data from visible and near-infrared reflectance spectroscopy (VIS/NIRS). With respect to photosynthetic metabolism, the focus was on two important parameters, the maximum rate at which ribulose bisphosphate (RuBP) is carboxylated ($V_{\text{cmax}}$) and regenerated ($J_{\text{max}}$). With robust estimates of these two traits, the photosynthetic performance of a given leaf can be predicted using a widely adopted biochemical model (Farquhar et al., 1980; Farquhar and von Caemmerer, 1982). This model has been effectively scaled to the canopy level (Medlyn et al., 2005; Thum et al., 2007), and its use in canopy and ecosystem process models is increasingly common. $V_{\text{cmax}}$ and $J_{\text{max}}$ vary substantially across plant species, functional groups, and growth environments (Wullschleger, 1993; Kattge et al., 2009). Moreover, both parameters are very sensitive to short-term (i.e. seconds to hours) dynamics in leaf temperature (Medlyn et al., 2002; Kattge and Knorr, 2007). The accuracy and credibility of outputs from process models would increase considerably if a feasible methodology were developed for remotely sensing canopy photosynthetic capacity and its temperature sensitivity across broad scales.

The principal objectives of our study were (i) to develop spectroscopic models for estimating $V_{\text{cmax}}$ and $J_{\text{max}}$ based on leaf data collected across a wide range of growth temperature regimes; and (ii) to assess the effectiveness of those models in estimating the short-term temperature sensitivity of $V_{\text{cmax}}$ and $J_{\text{max}}$ in the field. The second objective was addressed with measurements of leaf traits in field-grown aspen trees.

### Materials and methods

#### Glasshouse treatments and experimental design

The relationships were tested between leaf photosynthetic and optical properties in climate-controlled glasshouses at the University of Wisconsin–Madison Biotron. Aspen and cottonwood germplasm were reared in flats until they reached a height of about 20 cm. Seedlings were then transplanted into 4-litre pots and transferred to the Biotron glasshouses, where they were grown for 8 weeks under one of three different temperature regimes, with fixed day/night air temperatures of 30/23, 25/18, and 20/13 °C. These thermal regimes were chosen to span ranges in air temperature observed during the growing season along a latitudinal transect for a study that examined the physiological factors limiting geographic distributions of temperate and boreal tree species (Dillaway and Kruger, 2010). Each regime was replicated in two glasshouses.

#### Measurements of leaf gas exchange

During the fourth and eighth weeks of growth in the Biotron glasshouses, leaf gas exchange was measured on a total of 8–10 trees per species in each treatment (4–5 per species and glasshouse, n=78) using a LI-6400 portable photosynthesis system (Li-Cor Biosciences, Lincoln, NE, USA). All measurements were conducted on the youngest, fully expanded leaf of each tree. Leaves were measured under high light intensities (photosynthetic photon flux=1800 µmol m$^{-2}$ s$^{-1}$, provided by a red-blue LED array) at several CO$_2$ partial pressures (pCO$_2$) ranging from 7.5 to 120 Pa. Photosynthesis (A) was assessed first at a cuvette reference pCO$_2$ of 40 Pa, and then again after each of three step-wise decreases in pCO$_2$ (i.e. at 25, 15, and 7.5 Pa). Photosynthesis was then measured at 60, 90, and 120 Pa CO$_2$, respectively. Cuvette reference pCO$_2$ was controlled using the LI-6400 CO$_2$ injector system. The potentially confounding influences of diffusion leaks across the cuvette gasket on gas exchange calculations were taken into account by applying the manufacturer’s equation to determine the gasket diffusion coefficient (Anonymous, 2005).

At a given pCO$_2$, leaves were allowed to acclimate to cuvette conditions for 2–5 min, depending on when photosynthetic rate stabilized, Vapour pressure deficit between leaf and air in the cuvette ranged from 0.95–2.01 kPa. For each leaf, the photosynthetic response to pCO$_2$ was assessed at the daytime air temperature of each treatment (20, 25, and 30 °C), which, owing to concerns about IRGA signal stability, was maintained through the manipulation of cuvette rather than leaf temperature. Thus, because leaf temperature was not directly controlled, it ranged from 20.40–21.80, 25.06–26.14, and 30.05–30.40 °C at the target temperatures of 20, 25, and 30 °C, respectively. Measurements were conducted throughout the day, as long as stomatal conductance remained comparatively high (within 25% of the daily maximum).

#### Measurement of leaf optical properties

Reflectance was measured for both species on the same leaves assessed for gas exchange using a high-spectral-resolution ASD FieldSpec® 3 Full-Range (350–2500 nm) spectroradiometer (Analytical Spectral Devices, Boulder, CO, USA). All measurements occurred on the leaf adaxial surface using a leaf-clip assembly attached to a plant probe with an internal, calibrated light source. The relative reflectance of each leaf was determined from the measurement of leaf radiance divided by the radiance of a 99.9% reflective white standard (Spectralon, Labsphere Inc., North Dutton, NH, USA). Reflectance was measured on ten different areas of each leaf lamina, and the resulting ten spectra were averaged to determine mean optical properties for each leaf. Each measurement required less than 5 s. Measures of leaf optical properties and gas exchange occurred within 24 h of one another.
Leaf nitrogen and leaf mass per area
Immediately following gas exchange and optical assessments, leaves were harvested and measured for projected area using a LI-3100 leaf area meter (LI-Cor Biosciences, Lincoln, NE, USA), oven-dried to a constant mass at 70 °C, and weighed. These data were combined to calculate leaf mass per area (Marea, g m⁻²). Dried leaves were then finely ground and analysed for nitrogen concentration using an Elementar Vario Macro CHN analyser (Elementar Analysetechnik GmbH, Hanau, Germany).

Estimation of leaf photosynthetic traits
Relationships between photosynthesis (A) and intercellular pCO₂ (Cᵢ) were used to estimate maximum rates of RuBP carboxylation (Vcₘₐₓ) and regeneration (Jₘₐₓ) at a given leaf temperature with a curve-fitting method that minimized the sums of squares for error resulting from comparisons of observed versus estimated A (Long and Bernacchi, 2003). Michaelis–Menten constants for CO₂ (Kc) and oxygen (Kₒ), and photosynthetic (CO₂) compensation point (Γ*) were calculated with formulae from Long and Bernacchi (2003). Vcₘₐₓ was estimated from the lower portion of the A–Cᵢ curve (where Cᵢ <30 Pa), and Jₘₐₓ was estimated from the upper portion of the curve (Cᵢ >60 Pa). It was noted that this approach does not account for the influence of mesophyll conductance on estimates of Vcₘₐₓ and Jₘₐₓ (Dillaway and Kruger, 2010), and thus our reported values are based on intercellular as opposed to chloroplastic pCO₂.

It was assumed that leaf temperature closely tracked air temperature in the glasshouses, and thus, because the two differed somewhat during gas exchange measurements, temperature-response models were used to estimate Vcₘₐₓ and Jₘₐₓ for each leaf at its respective glasshouse daytime temperature (i.e. 20, 25, or 30 °C). Temperature-response models were generated for each species based on Vcₘₐₓ and Jₘₐₓ data pooled across the three temperature treatments (data not shown). Owing to its exponential form, the temperature sensitivity of Vcₘₐₓ was modelled using an Arrhenius equation (Hikosaka et al., 2006), whereas the asymptotic temperature response of Jₘₐₓ was characterized with a ‘peak’ model (Kattge and Knorr, 2007). The resulting models produced unbiased estimates of Vcₘₐₓ and Jₘₐₓ in the cases of both species and all measurement treatments. Specifically, the slopes and intercepts of relationships between observed and predicted Vcₘₐₓ and Jₘₐₓ did not differ significantly from 1 and 0, respectively (data not shown). Implicit in this approach was the assumption that photosynthetic metabolism of glasshouse tree foliage did not, to any appreciable extent, acclimate to the different thermal regimes, which would be consistent with our observations in the field (Dillaway and Kruger, 2010).

Generation of predictive models from leaf reflectance spectra
Predictive models of metabolic, biochemical, and morphological traits based on leaf optical properties were examined using partial least-squares regression (PLSR) analysis (Wold et al., 1984; Geladi and Kowalski, 1986; Wolter et al., 2008). While PLSR has not been widely embraced in ecology (Carrascal et al., 2009), its use in remote sensing research has increased in recent years (Smith et al., 2002; Townsend et al., 2003; Ollinger and Smith, 2005; Martin et al., 2008; Wolter et al., 2008). This is because PLSR is useful in situations of high predictor collinearity and/or the predictor variables are equal to or higher than the number of observations, which is often the case in spectroscopic and/or remote sensing research. In addition, models developed using PLSR are much more robust than classical regression in that the calibrated model parameters do not vary greatly given different calibration subsets from a population of observations (i.e. high parameter stability; Geladi and Kowalski, 1986).

A standard PLSR approach for spectral-chemical analysis utilizes the continuous, full-spectrum data (Asner and Martin, 2008; Doughty et al., 2011) or a pre-determined spectral subset (Bolster et al., 1996; Richardson and Reeves, 2005). The spectral loadings (or regression coefficients), which directly relate the target leaf attributes to corresponding spectral features, are generated through the development of a smaller set of orthogonal linear latent components which are obtained through the decomposition of the model variables and the optimization of the covariance structure in the data (Wold et al., 1984; Geladi and Kowalski, 1986; Wolter et al., 2008). In this study, the choice was made to incorporate an automatic variable selection method similar to
Wolter et al. (2008) for predictor dimensionality reduction and model optimization. This allowed us to build more parsimonious models and investigate regions of the spectrum that were important for the prediction of each target variable.

The dimensionality reduction involved a two-stage selection of predictor variables (i.e., spectral wavelengths) whereby the variables and number of latent components chosen are those which minimized the model PRESS statistic (Wolter et al., 2008), followed by a second selection technique minimizing the Root Mean Square Error (RMSE), once the model PRESS is minimized. This enabled the continued elimination of redundancy in the spectral data until the model RMSE reached a minimum. In this second step, the iterative-cross validation PRESS statistic (Asner and Martin, 2008; Wolter et al., 2008) was used to select the optimal number of components for each variable subset. The remaining variables and components with the RMSE and PRESS minimized are chosen for the final model. This was done independently for each variable of interest (i.e., \( V_{\text{cmax}}, J_{\text{max}}, N_{\text{mass}}, \text{ and } M_{\text{area}} \)). This resulted in PLSR models with only salient variables and components for dependent variable prediction.

The PLSR analysis and variable selection was carried out using the PLSREGRESS function in Matlab (Mathworks, Natick, MA, USA) and a set of custom functions for the variable selection. The entire spectrum from 450–2500 nm was sub-sampled by retaining every fifth wavelength prior to running the analyses to decrease the computation time for variable and component selection. Once the optimal models were chosen, a final PLS-PRESS analysis was carried out to calculate the spectral loadings and model diagnostics.

As additional model verification, a 100× cross-validation of our \( J_{\text{max}} \) and \( V_{\text{cmax}} \) models was performed using a random 70/30% split of the data for model calibration and testing. This was done by using the final set of wavelengths and components selected for each variable during the two-stage PLSR modelling to generate new PLSR estimations of the observations left out of each iteration (i.e., 30% validation), based on the remaining data left for calibration (i.e., 70% calibration). The results from this analysis were used to examine model and data stability. From this, the distribution of error resulting from the multiple permutations of the data is reported.

To determine whether the results from our glasshouse PLSR analysis captured short-term (seconds to hours) temperature-dependent variation in leaf metabolic traits rather than—or in addition to-longer-term (days to weeks) photosynthetic acclimation of foliage to variation in growth conditions, leaf properties were examined on five field-grown trembling aspen trees. Measurements were made during an 8 h period on two different days across a range of ambient air temperatures. On 15 July 2009, under clear skies, gas exchange and temperature were measured (on the adaxial and abaxial surface using an Agri-Therm III infrared thermometer, Everest Interscience, Tucson, AZ, USA) on one sunlit leaf per tree in the morning and again in the afternoon. This protocol allowed us to obtain a 5–13 °C span in the temperatures at which a particular leaf was measured.

On a different set of aspen trees, gas exchange and temperature were again measured on one sunlit leaf per tree in the morning and afternoon of 16 June 2010. Here, in addition to measuring leaf temperature just prior to spectral measurements, it was monitored inside the spectroradiometer leaf-clip assembly using a fine-wire thermocouple. This allowed us to test the assumption that spectral measurements did not cause large perturbations of leaf temperature. On average, leaf temperature in the leaf-clip assembly was 0.15 °C (±0.55 °C) higher than the corresponding ambient value.

For these experiments, leaf gas exchange and spectral reflectance were measured in the same fashion as in the glasshouse study, with two exceptions: (i) owing to time constraints, gas exchange measurements in July 2009 were confined to the initial portion of the \( A-C_{\text{i}} \) curve (cuvette pCO\(_2\) <40 Pa), affording only the estimation of \( V_{\text{cmax}} \), while those in June 2010 were confined to cuvette pCO\(_2\)
values >60 Pa, affording only the estimation of $J_{\text{max}}$, and (ii) the spectroradiometer was used in its backpack configuration in order to collect leaf spectral data in situ. Using these data, the accuracy and precision with which the glasshouse-based PLSR models predicted short-term, temperature-mediated variation in $V_{\text{cmax}}$ and $J_{\text{max}}$ were examined on an independent set of data under different conditions.

**Results**

**Variation in target leaf traits among glasshouse temperature treatments**

In the glasshouses, leaf nitrogen concentration ($N_{\text{mass}}$), leaf mass per area ($M_{\text{area}}$), and maximum rates of RuBP carboxylation ($V_{\text{cmax}}$) and regeneration ($J_{\text{max}}$) varied considerably across temperature regimes and/or species (Fig. 1). Trait responses to growth temperature were fairly consistent across species, except that, as temperature rose, $N_{\text{mass}}$ increased in aspen and decreased in cottonwood. $N_{\text{mass}}$ and $M_{\text{area}}$ were generally higher in cottonwood than in aspen, and for both species $M_{\text{area}}$ decreased in the warmest glasshouses. $V_{\text{cmax}}$ increased exponentially with temperature, while $J_{\text{max}}$ exhibited a less pronounced, asymptotic response. At a given temperature, averages for $V_{\text{cmax}}$ and $J_{\text{max}}$ were higher in cottonwood than in aspen.

**Relationships between target leaf traits and optical properties in the glasshouse**

Leaf reflectance varied substantially within and across temperature treatments (Fig. 2a), with a 45%, 20%, and 37% range in reflectance in the visible (450–700 nm), near-infrared (NIR, 700–1300 nm) and shortwave infrared (SWIR, 1500–2500 nm) regions, respectively. The relative range in reflectance for individual wavelengths was at a minimum in the NIR (15% at 772 nm) and peaked in the SWIR (59% at 2494 nm). Target leaf traits were variably correlated ($r$, 0.25–0.75) with leaf reflectance at wavelengths broadly distributed across the full spectrum (i.e. 450—2500 nm; Fig. 2b). In general, positive correlations were observed in the blue (450–495 nm) and red-edge (650–680 nm) regions, whereas moderate to strong negative correlations occurred in the green (505–570 nm) and red (620–650 nm) regions, and across the NIR and SWIR (Fig. 2b, 2c).

![Fig. 3.](image-url)

The observed versus predicted values from the final PLS leave-one-out (LOO) cross-validation procedure for glasshouse leaf nitrogen concentration ($N_{\text{mass}}$, %), leaf mass per area ($M_{\text{area}}$, g m$^{-2}$), maximum rates of RuBP carboxylation ($V_{\text{cmax}}$, $\mu$mol m$^{-2}$ s$^{-1}$) and regeneration ($J_{\text{max}}$, $\mu$mol m$^{-2}$ s$^{-1}$). Note that the colour scale for $N_{\text{mass}}$ (a) depicts corresponding variation in $M_{\text{area}}$, while those for $M_{\text{area}}$ (b), $V_{\text{cmax}}$ (c), and $J_{\text{max}}$ (d) depict variation in $N_{\text{mass}}$. Each plot has a total of 53 observations and the symbols correspond to the three temperate regimes.
PLSR models based on glasshouse data

PLSR analysis yielded accurate and precise empirical predictions of target leaf traits based on leaf reflectance spectra (Table 1; Fig. 3). All models possessed a high coefficient of determination ($r^2$), while their root mean square error (RMSE) values averaged 8% of the mean. Through automated variable selection, a set of 13 wavelengths was found that described nearly 90% of the variation in $V_{\text{cmax}}$ across leaves and temperature treatments, while 44 wavelengths provided an effective model of $J_{\text{max}}$ (Table 1). Both the $V_{\text{cmax}}$ and $J_{\text{max}}$ models involved broadly similar portions within the visible and short-wave spectral regions, although the loadings were generally larger in the $V_{\text{cmax}}$ model (Fig. 4; see Supplementary data and Tables S1–S4 at JXB online). In contrast to that for $V_{\text{cmax}}$, the model for $J_{\text{max}}$ included wavelengths in the NIR related to leaf water content and the green reflectance peak. All models incorporated wavelengths in the visible spectrum (i.e. 450–700 nm), with all but $M_{\text{area}}$ including the chlorophyll absorption regions (i.e. ~430–460 nm and 640–670 nm). In addition, wavelength regions included in the $J_{\text{max}}$ and $V_{\text{cmax}}$ models overlapped somewhat with those selected for the $N_{\text{mass}}$ model, while $J_{\text{max}}$ further displayed some similarities with $M_{\text{area}}$ in the NIR and SWIR (Fig. 4).

Relationships among target leaf traits in the glasshouse

The relationships among target leaf traits, within and across temperature regimes, were examined in an effort to clarify the nature of our PLSR models. In particular, an attempt was made to determine whether predictive models of $V_{\text{cmax}}$ and $J_{\text{max}}$ might have arisen primarily as a result of the commonly observed dependence of photosynthetic capacity on leaf N status (Field, 1983). To round out this assessment, leaf nitrogen content ($N_{\text{area}}$, the product of $M_{\text{area}}$ and $N_{\text{mass}}$) was included in the correlation matrix. Traits were positively correlated with one another in at least one—and often all three—temperature regimes (Fig. 6). In several relationships, however, growth temperature significantly affected the slope and/or intercept. For example, photosynthetic traits were positively correlated with leaf N status (either $N_{\text{mass}}$ or $N_{\text{area}}$) within a given temperature regime ($r \approx 0.45$, $P \approx 0.027$), but, especially in the case of $V_{\text{cmax}}$, the correlations deteriorated when data were pooled across temperatures (Fig. 6). Particularly in relationships among $V_{\text{cmax}}$, $J_{\text{max}}$, and $N_{\text{area}}$, this deterioration resulted from a marked separation of the trend at 30 °C from those at 25 °C and 20 °C (Fig. 4), brought about by the differential temperature responses of each leaf trait (or, in the case of $N_{\text{area}}$, its components $N_{\text{mass}}$ and $M_{\text{area}}$) illustrated in Fig. 1.

Predicting the temperature dependence of $V_{\text{cmax}}$ and $J_{\text{max}}$ in field-grown aspen

In July 2009, averages for leaf temperature and $V_{\text{cmax}}$ increased by 7.6 °C ($\pm$3.3 °C) and 59.5 μmol m$^{-2}$ s$^{-1}$ ($\pm$30.4 μmol m$^{-2}$ s$^{-1}$), respectively, between morning and afternoon on foliage from the five field-grown aspen trees (Fig. 7a). Using the spectral $V_{\text{cmax}}$ model developed with the glasshouse data, it was found that the observed $V_{\text{cmax}}$ variation across leaves and temperatures could be predicted with reasonable accuracy and precision ($r^2=0.86$, RMSE=10.2 μmol m$^{-2}$ s$^{-1}$) based solely on the corresponding leaf spectral data (Fig. 7c).

In June 2010, on a different set of field-grown aspen, leaf temperature increased by an average of 3.5 °C ($\pm$1.3 °C) from morning to afternoon (Fig. 7b), and corresponding $J_{\text{max}}$ responses to temperature were variable, showing no clear temperature sensitivity overall. Nevertheless, the spectral $J_{\text{max}}$ model yielded precise estimates ($r^2=0.93$,
RMSE=8.2 µmol m⁻² s⁻¹) based solely the corresponding leaf spectral data (Fig. 7d).

**Discussion**

Our success in estimating leaf morphology and nitrogen status with spectroscopic models is consistent with previous studies using PLSR approaches (Bolster et al., 1996; Asner and Martin, 2008; Doughty et al., 2011) and other methods (Wessman et al., 1988a; McLellan et al., 1991; Kokaly and Clark, 1999). Recently, spectroscopic data combined with PLSR modelling has been used to estimate levels of various other leaf biochemical and nutritional constituents (Gillon et al., 1999; Richardson and Reeves, 2005; Petisco et al., 2006). For example, Asner and Martin (2008) found that spectroscopy could be used to estimate leaf concentrations of chlorophylls (i.e. Chl a and Chl b), water, carotenoids, and phosphorus. Other studies have provided empirical evidence that concentrations of structural compounds such as lignin and cellulose, as well as tissue ¹³C and ¹⁵N isotopes, can be predicted effectively using the combined spectroscopic PLSR approach (Bolster et al., 1996; Brinkmann et al., 2002; Richardson and Reeves, 2005; Petisco et al., 2006; Kleinebecker et al., 2009).

At present, there is only one other study in the literature (Doughty et al., 2011) that relates the photosynthetic parameters $J_{max}$ and $V_{cmax}$ to full-spectrum leaf optical properties (within a 5 °C temperature range). The authors found weak to moderate predictive power for the $V_{cmax}$ ($r²=0.39$, RMSE=36 µmol m⁻² s⁻¹) and $J_{max}$ models ($r²=0.52$, RMSE=39 µmol m⁻² s⁻¹), in contrast to models of the other variables of interest (e.g. $A_{max}$, LMA, leaf N). This was attributed to error propagation in the estimation of $V_{cmax}$ and $J_{max}$ (Doughty et al., 2011). On the other hand, Styinski et al. (2000) found a close relationship between $J_{max}$ and the narrow-band photochemical reflectance index (PRI), an optical indicator of the xanthophyll cycle pigments (Gammon et al., 1992; 1997), in foliage of pubescent oak (*Quercus pubescens*) trees. They related this correlation to the down-regulation of electron transport capacity associated with an increase in non-photochemical quenching (NPQ, Demmig-Adams and Adams, 1996, 2006) provided by the xanthophyll cycle pigments.

In addition, Wang et al. (2008) observed that an *in situ* broadband simple ratio (SR), based on infrared and photosynthetically active radiation (PAR) reflectance (i.e. 400–700 nm), was a good predictor of the $V_{cmax}$ in Japanese beech (*Fagus crenata*) forests. However, the relationship varied significantly among the three study sites along an elevation gradient, limiting the generality of the results (Wang et al., 2008). As such, a logical continuation of our research effort is an assessment of the utility of our PLSR models across other plant species, regions, and growth environments.

Perhaps the most novel outcome of this study is the apparent ability of spectral reflectance data to capture the short-term temperature sensitivity of $V_{cmax}$ and $J_{max}$. In particular, our predictive algorithms based on leaf optical
properties (Fig. 3) collapsed substantial species- and temperature-mediated variation in $V_{cmax}$ and $J_{max}$ (Fig. 1) into a single trend (Fig. 3c, d). This finding, along with the pronounced temperature-mediated variation observed in certain leaf-trait relationships (Fig. 6), indicate that the derived $V_{cmax}$ and $J_{max}$ PLSR models are not simply scalars of other leaf traits, or of one another. The fact that our glasshouse-based PLSR models for $V_{cmax}$ and $J_{max}$ also performed fairly well when applied to an independent data set of leaves from field-grown trees (Fig. 7c, d) underscores the considerable potential for remote sensing of environmentally sensitive traits governing photosynthetic metabolism in forest canopies.

An examination of the relationship between reflectance and other leaf traits (Fig. 2) highlighted that (i) leaf physiological traits are correlated with leaf optical properties, but the strength of these correlations varies across the VIS/NIR/SWIR regions; and (ii) there are regions of highly collinear wavelengths that allow for reduction of dimensionality in the predictors, potentially without penalty to overall model performance. Although PLSR can handle datasets with high data dimensionality, such as spectral data, appropriate variable selection techniques can enhance the results of PLSR and provide more parsimonious models (Martens and Martens, 2000; Lestander et al., 2003; Schmidtlein et al., 2007; Li et al., 2008; Wolter et al., 2008; Chun and Keles, 2010; Feilhauer et al., 2011).

Use of full-spectrum data can often result in PLSR models with spectral loadings that do not contribute...
Fig. 7. Relationships between observed \( V_{c_{\text{max}}} \) or \( J_{\text{max}} \) and leaf temperature (a, b), and observed versus PLSR-predicted \( V_{c_{\text{max}}} \) or \( J_{\text{max}} \) (c, d), for field-grown aspen trees. Predicted values are derived using only spectral reflectance data in conjunction with the glasshouse PLSR models (see Supplementary Tables S3 and S4 at JXB online), while the observed \( V_{c_{\text{max}}} \) and \( J_{\text{max}} \) data are derived from gas exchange \((A-C_{i})\) analyses.

There are two well-known water absorption features centred at around 970 nm and 1200 nm (Elvidge, 1990; Penuelas et al., 1993; Sims and Gamon, 2003; Kokaly et al., 2009), where both the \( J_{\text{max}} \) and \( M_{\text{area}} \) models contain selected wavelengths (Fig. 4). With the exception of the model for \( M_{\text{area}} \), all PLSR models contained wavelengths with relatively high spectral loadings in regions with known sensitivities to variations in leaf nitrogen, which occurs primarily in mesophyll proteins and chlorophylls. Nitrogen typically comprises 6.5% (by weight) of the primary light-harvesting molecules and 30–50% of green leaf N is allocated to the protein ribulose-1,5 bisphosphate carboxylase-oxygenase (Rubisco; Elvidge, 1990). In total, roughly 70% of leaf N is invested in compounds that support carbon fixation (Field, 1983; Evans, 1989) and leaf N status is often strongly associated with net photosynthetic capacity \((A_{\text{max}}; \text{Field and Mooney, 1986; Evans, 1989; Reich et al., 1997})\). Notably, Rubisco has several relatively broad spectral absorption features in the NIR and SWIR centred at 1.5, 1.68, 1.74, 1.94, 2.05, 2.17, 2.29, and 2.47 \(\mu \text{m} \) (Elvidge, 1990) that are located in close proximity to several of the wavelength regions selected for leaf \( N_{\text{mass}}, V_{c_{\text{max}}} \) and \( J_{\text{max}} \) (Fig. 4). For example, the \( V_{c_{\text{max}}} \) model had wavelengths selected at 1.51, 1.68, 1.76, 1.94, 2.21, and 2.49 \(\mu \text{m} \) (Fig. 4: see Supplementary data and Tables S1–S4 at JXB online).

These considerations further highlight and reinforce plausible linkages between leaf photosynthetic metabolism and spectral reflectance data.

Remote-sensing approaches offer the potential to estimate the landscape- to regional-scale carbon, water, and energy fluxes, as well as other aspects of terrestrial ecosystem function (Carter, 1998; Rahman et al., 2001; Townsend et al., 2003; Fuentes et al., 2006; Asner and Martin, 2008; Hashimoto et al., 2008). As a consequence, there has been much research focused on the development of methods to relate remotely sensed observations, from the shortwave (i.e. 0.3–3 \(\mu \text{m} \)) through the mid-infrared and thermal (i.e. 8–15 \(\mu \text{m} \)) wavelengths, to the photosynthetic functioning of vegetation (Sellors et al., 1992; Gamon et al., 1997; Carter, 1998; Zarc-Tejada et al., 2003; Grace et al., 2007; Anderson et al., 2008; Hilker et al., 2008; Sims et al., 2008). The spectroscopic technique presented in this study complements previous remote sensing methods that utilize vegetation indices (Gamon et al., 1997; Fuentes et al., 2006; Sims et al., 2008), fluorescence observations (Louis et al.,

![Table 2.](image)
2005; Damm et al., 2010; Joiner et al., 2011) or light-use efficiency (LUE; Monteith, 1972, 1977) approaches (Rahman et al., 2001; Asner et al., 2004; Hilker et al., 2008) to estimate vegetation carbon fluxes. In this study, hyperspectral data were used to empirically estimate parameters that provide a mechanistic link to the biochemistry of carbon assimilation (Farquhar et al., 1980; Sellers et al., 1992). The method described here can potentially provide rapid and accurate assessments of key metabolic properties at the leaf level. In addition, this approach offers the opportunity to enhance or validate other methods through the integration with long-term monitoring networks such as FLUXNET (Baldocchi et al., 2001) and SpecNet (Gamon et al., 2006). SpecNet, in particular, is designed to explore the linkages between optical remote-sensing data and key parameters governing the exchange of CO2 and water between vegetation and the atmosphere.

The methods presented here and by others (Doughty et al., 2011) may also provide the basis for regional estimation of photosynthetic metabolism using imaging spectrometers such as the Airborne Visible/Infrared Imaging Spectrometer (AVIRIS; Green et al., 1998) as well as future instruments such as the Hyperspectral Infrared Imager [HyspIRI, a two sensor platform having a spectrometer (400–2500 nm) and an 8-band multi-spectral thermal instrument]. Utilizing such instruments, the potential exists to map parameters such as $V_{\text{cmax}}$ and $J_{\text{max}}$ while providing empirical and broad-scale observations that can be used to test for photosynthetic thermal acclimation in plants across large climatic gradients (Dillaway and Kruger, 2010; Gunderson et al., 2010). These observations could further be used to improve the parameterization of regional as well as dynamic global vegetation models (DGVMs; Kucharik et al., 2000; Sitch et al., 2003; Krinner et al., 2005; Alton et al., 2007) that rely on the Farquhar–von Caemmerer–Berry model of photosynthesis (Farquhar et al., 1980; Farquhar and Sharkey, 1982).

**Supplementary data**

Supplementary data can be found at *JXB* online.

Further details of the four PLSR models, including the wavelengths selected and corresponding regression coefficients, are provided. This information can be used to derive estimates of the four traits based on the linear summation of the reflectance values at each wavelength, multiplied by the corresponding regression coefficients, and the intercept value.

**Supplementary Table S1.** Summary of the leaf nitrogen PLSR model wavelengths, regression coefficients, and jackknife statistics.

**Supplementary Table S2.** Summary of the leaf mass per area PLSR model wavelengths, regression coefficients, and jackknife statistics.

**Supplementary Table S3.** Summary of the $V_{\text{cmax}}$ PLSR model wavelengths, regression coefficients, and jackknife statistics.

**Supplementary Table S4.** Summary of the $J_{\text{max}}$ PLSR model wavelengths, regression coefficients, and jackknife statistics.

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