RING AUTOSOMES: SOME UNEXPECTED FINDINGS

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ABSTRACT

Ring chromosomes are rare entities, usually associated with phenotypic abnormalities in correlation with the loss of genetic material. There are various breakpoints and sometimes there is a dynamic mosaicism that is reflected in clinical features. Most of the ring chromosomes are de novo occurrences. Our study reflects the experience of three Romanian cytogenetic laboratories in the field of ring chromosomes. We present six cases with ring chromosomes involving chromosomes 5, 13, 18, and 21. All ring chromosomes were identified after birth in children with plurimalformative syndromes. The ring chromosome was present in mosaic form in three cases, and this feature reflects the ring’s instability. In case of ring chromosome 5, we report a possible association with oculo-auriculo-vertebral spectrum.

Keywords: Chromosomal instability; Variable phenotype; Mosaicism; Rare disease; Ring chromosome

INTRODUCTION

Ring chromosomes are rare chromosomal abnormalities with an overall frequency of 1/30,000-1/60,000 [1]. They are described for all human chromosomes and almost 50.0% of ring autosomes originated from acrocentric chromosomes [2].

In the majority of cases, the chromosomal abnormality is de novo and is formed during meiosis or early post zygotic divisions [3-5]. There are different formation mechanisms, the most frequent being breakage in both arms and fusion of the ends of the resulting centromeric fragment (these ends become sticky), with loss of terminal fragments. Another mechanism, demonstrated using high resolution molecular techniques, involves a telomere-to-telo-mere fusion that generates a pseudo-complete ring chromosome, associated with a small loss of genetic material responsible for cryptic deletions in the majority of cases [6-8]. The last mechanism described implies duplication with inversion associated with a terminal deletion [9,10].

Only 1.0% of all ring chromosomes are inherited, with demonstrated maternal origin in 90.0% of cases, while in men, the presence of a ring chromosome blocks spermatogenesis and induces infertility. Up to the present time, the reported inherited rings are derivatives of chromosomes 11, 14, 15, 17, 18, 20, 21 and 22 [11].

The ring chromosome can be detected in homogenous or mosaic form. In the first case, the anomaly originated in parental meiosis or in the early stages of embryogenesis. The mosaic abnormality can be explained by a mitotic non disjunction associated with ring instability. Some arguments for post zygotic origin of the anomaly was the detection of a line with monosomy in the case of small chromosomes (ring/monosomy mosaicism) and the presence of a diploid normal line in the case of chromosomes rich in euchromatin (ring/normal line mosaicism) [3,4,12].
The presence of a ring chromosome induces a phenotypic variability correlated with the size of lost genetic material and mitotic instability [9]. The severity of the phenotype depends on factors such as the length of the chromosome, the amount of euchromatin deleted, ring stability, presence of monosomic lines and other secondary aneuploid lines and the rate of mosaicism [13-17]. A familial variability was detected for inherited rings, but the phenotype is less severe than in sporadic rings [3,5,18].

A special condition called “ring syndrome” is characterized by severe growth retardation, but with a pseudo-normal phenotype (without major anomalies and with only a few minor dysmorphic features) [19]. In the largest study on ring chromosomes, Kosztolányi [5] showed that ring syndrome has a frequency of 20.0%. A plausible hypothesis for this syndrome’s relatively mild phenotype is the presence of an apparently complete ring chromosome without loss of genetic material, and thus, the phenotype does not depend on an implicated autosome. The mechanism proposed for growth retardation was ring instability that leads to cellular death [5,20].

A special mechanism was proposed for ring chromosomes that involve a large chromosome. In this case, a “dynamic mosaicism” was generated by sister chromatide exchanges that produce interlocked rings, broken rings, double rings or other anomalies [11,21].

**MATERIALS AND METHODS**

We collected the cases from three different laboratories in Romania, namely the Cytogenetic Laboratory of “Grigore T. Popa” University of Medicine and Pharmacy, Iasi, the Cytogenetic Laboratory of “Prof. Dr. Alfred Rusescu” National Institute for Mother and Child Care, Bucharest, and a private Cytogenetic Laboratory from Timisoara (Table 1). Our study was based on conventional banding cytogenetic and fluorescence in situ hybridization (FISH) analyses. In all cases, the chromosomal analysis was performed on a short time T lymphocyte culture stimulated by phytohemagglutinin. The chromosomes were G-banded using trypsin and Giemsa solution according to standard techniques. Conventional banding cytogenetic analysis was performed both for the proband and his/her parents in all cases.

The FISH protocol was only applied for the cases with ring chromosome 18. In these cases, FISH was performed using chromosome 18-specific direct-labeled probes: telomeric probes for chromosome 18 (Aquarius®; Cytocell Technologies Ltd., Cambridge, Cambridgeshire, UK) and Aquarius® Whole Chromosome Painting Probes (Cytocell Technologies Ltd.). The results of conventional banding cytogenetic analysis and FISH were elaborated in accordance with the guidelines of the International System of Human Cytogenetic Nomenclature (2009) (ISCN) [22].

**RESULTS**

**Case 1.** A girl, born at full-term, weight 1550 g, length 38 cm and head circumference (OFC) 28 cm. Postnatal development showed severe developmental delay, hyperactivity, aggression, mewing cry in the neonatal period. Clinical examination at 3 years of age revealed short stature (–2.8 standard deviation (SD), microcephaly (OFC –4.3 SD), dysmorphic face (triangular face, mild facial asymmetry, hypertelorism, abnormal, low set, asymmetric ears) (Figure 1). She presented severe mental retardation (IQ 40) and challenging behavior. Echocardiography showed: subaortic ventricular septal defect (VSD), tri-cuspid insufficiency, foramen ovale apertum with-
out pulmonary hypertension. Renal ultrasound was normal. The clinical diagnostic supposition was Cri du Chat syndrome. Blood karyotype: mos 46,XX,r(5)(p14q35)[80]/45,XX,-5[8]/47,XX,r(5)(p14q35),r(5)(p14q35)[3] (Figure 2). The karyotype of both parents was normal.

**Case 2.** A boy, born at full-term, weight 1600 g, length 42 cm and OFC 28 cm (severe intrauterine
The patient was the first child of a young, apparently healthy and unrelated couple. Clinical exam at age of 2 days showed: growth retardation, microcephaly with doli-cocephaly, hypertelorism, epicanthic folds, small nose with antverted nostrils, broad and prominent nasal bridge, ogival palatine vault, long philtrum, micrognathia, big, low set ears with posterior rotation, short neck, micropenis, anteriorly displaced anus and deep sacral dimple (Figure 3). Hematological investigations, liver and renal

Figure 3. Case 2: a) the facial dysmorphism; b) the aspect of urogenital region.

46,XY,r(13)(p11.2q34) 46,XY,dic r(13)(p11.2q34) 45,XY,-13

Figure 4. Karyotype of case 2.
function tests were normal. Cardiac ultrasonography attested patent ductus arteriosus (PDA), foramen ovale apertum and diastolic dysfunction of the left ventricle. The postnatal evolution was marked by severe growth retardation and the child died at the age of 2 months. Blood karyotype: mos 46,XY,r(13)(p11.2q34)[51]/45,XY,-13[12]/46,XY, dic r(13)(p11.2q34)[1] (Figure 4). The karyotype of both parents was normal.

Case 3. A girl born at full term weighing 3400 g and length of 54 cm. She is the second child of a couple apparently healthy and unrelated (mother aged 32 years and father aged 42 years at time of conception). Clinical examination at the age of 13 years and 7 months revealed: weight = 43.5 kg, height = 152 cm, OFC = 51.5 cm, dys-morphic features (hypertelorism, facial scar secondary to surgical procedures to correct cleft lip), mental retardation (she doesn’t attend a mainstream school). From pathological history we mention: left cleft lip surgically corrected, sensorineural hearing loss, bilateral stenosis of external auditory canals, clubfoot. Cranial magnetic resonance imaging: acquired lesion of corpus callosum. Blood karyotype: mos 46,XX,r(18)(p11.32q23)[43]/47,XX,r(18)(p11.32q23),+mar[7] (figure 5). Blood karyotype of both parents was normal.

For supplementary investigation of the ring chromosome 18, FISH probes for telomeres of chromosome 18 (Aquarius®; Cytocell Technologies Ltd.) were applied. The results of the investigation showed the presence of telomeres for the normal chromosome 18 only (green signal) and absence of the telomeres for the ring chromosome 18 (Figure 6).
Case 4. A girl, born at full-term, weight 3000 g, length 50 cm and head circumference 34 cm. Her parents were young, apparently healthy and unrelated. Clinical examination at the age of 2 showed: weight = 8000 g, height = 84 cm, OFC = 46 cm, dysmorphic features (hypertelorism, narrow ear canals, micrognathia), club foot varus, impaired hearing, developmental delay. Abdominal ultrasound revealed horseshoe kidneys. Blood karyotype 46,XX,r(18) (p11.32q21.3) (Figure 7). Blood karyotype of both parents was normal.

To investigate the breakpoints on ring chromosome 18, we applied FISH probes for both subtelomeres 18p (D18S552 – red) and 18q (D18S1390 – green) of chromosome 18 (Aquarius®; Cytocell Technologies Ltd.). The results of the investigation showed the presence of subtelomeric FISH signals only on the normal chromosome 18 [red signal for 18 short arm (p) and green signal for 18 long arm (q)] and the absence of fluorescent signals on ring chromosome 18 (Figure 8). We also used FISH analysis with Aquarius® Whole Chromosome Painting Probes (Cytocell Technologies Ltd.) marked with a green fluoro-phore for chromosome 18; this attested the origin of the ring chromosome (Figure 9).

Case 5. A boy, born at full-term, weight 3500 g, length 52 cm and OFC 35 cm. His parents were young and non consanguineous. Clinical examination at age of 2 months showed: weight = 4940 g, height = 53 cm, dysmorphic features (short neck, narrow bitemporal diameter, preauricular pits, large ears, bulbous nose, anteverted nostrils, long philtrum, macrostomia, thin upper lip, short lingual fren-ula), hypospadias. Echocardiography discovered VSD and PDA. Blood karyotype 46,XY,r(18)(p11.32q23) (Figure 10). Blood karyotype of both parents was normal.
Case 6. A boy, born prematurely with a weight of 2000 g, length 48 cm, and an Apgar score of 7. Obstetrical history indicated an imminent abortion two months after conception. Clinical examination at 2 months old revealed: microcephaly, dysmorphic craniofacial features with high forehead, down-slanted palpebral fissure, low set ears, prominent antihelix, flattened helix, large concha, micro-gnathia, microtoma, cleft palate. The patient also presented muscular hypertonia, systolic heart murmur, umbilical and inguinal bilateral hernia, undescended right testis, right simian crease, left Sydney crease. The patient’s evolution did not improve and he died at 3 months. The postmortem examination revealed a large septal atrial defect (for this old case, the echocardiography was unavailable). Karyotype 46,XY,r(21) (Figure 11). Blood karyotype of both parents was normal.

DISCUSSION

We synthesized the results of conventional banding cytogenetic analyses using these criteria: number of chromosomes of each cell, presence of one monocentric ring chromosome, absence of ring chromosome and presence of some derivative chromosomes resulting from mitotic instability of the ring (two monocentric rings, dicentric ring), normal diploid cell (Table 2).

Ring chromosome is an unbalanced abnormality. According to the number of rings there are two situations. In the first situation, one chromosome is replaced by the ring and this generates deletion/monosomy of implicated genes. In the second situation, a supernumerary ring is present and it generates a duplication/trisomy of that part of the chromosome implicated in ring formation in association with partial monosomy [8]. For this reason the phenotype of the patient depends on the presence of secondary chromosome lines and the percentage of mosaicism. Also, a rare situation was described when one normal chromosome was replaced by two rings derived from the same chromosome. The first case was reported by Miller et al. [23] in 2003.

A condition similar to the second situation was identified for case 1. In this case, 91 cells were examined and three cell lines were found. The resolution of the karyotype was approximately 400 bands per haploid set. Eighty cells (88.0%) had a ring chromosome with apparent breakpoints in the short arm at band 5p14 and in the long arm at band 5q35. Eight cells (8.8%) had the entire chromosome 5 missing, and three cells (3.2%) had two 5 ring chromosomes and a normal 5 chromosome in the same cell. In case 1, lack of normal diploid line was an argument for meiotic origin. It is very likely that the most frequent line (for those with one ring) is the first one and the others are the expression of mitotic instability of the ring. The monosomic line could be the result of an

Table 2. Results of conventional banding cytogenetic analysis.

| Number | One Ring Line | Monosomy Line | Double-Size Ring | Normal Line | Marker | Two Ring Lines |
|--------|---------------|---------------|------------------|-------------|--------|----------------|
| Case 1 (%) | + (80/91) (88.0%) | + (8/91) (8.8%) | – | – | – | + (3/91) (3.2%) |
| Case 2 | + (51/64) | + (12/64) | + (1/64) | – | – | – |
| Case 3 | + (43/50) | – | – | – | + (7/50) | – |
| Case 4 | +a | – | – | – | – | – |
| Case 5 | + | – | – | – | – | – |
| Case 6 | + | – | – | – | – | – |

a Confirmed by FISH.
anaphase lag in mitosis of cell with ring chromosome 5. But the most likely mechanism is the chromatid non disjunction that explains the formation of secondary cellular lines: with monosomy 5 and with two ring chromosome 5.

The patient’s phenotype was suggestive for Cri-du-Chat syndrome. Because three different cellular lines were identified for this case, we compared the clinical signs specific for each of them if they appeared as singular abnormalities and clinical features observed for our patient (Table 3). The patient presented mild facial asymmetry and a unilateral ear abnormality (malformed, small, low set right ear). These features represent minimal diagnostic criteria for oculo-auriculo-vertebral spectrum (OAVS). The main feature that suggested the diagnosis was microtia. Isolated microtia is considered the mildest form of OAVS [25]. Tasse et al. [26] proposed the following diagnosis criteria: hemifacial microsoma with preauricular tags or microtia (with or without preauricular skin tags). Marked facial asymmetry is present in only 20.0% of cases, but the overall frequency is about 65.0%. It becomes more apparent by the age of 4. Our patient was examined at the age of 3 and we expect the facial asymmetry to be emphasized in the future. The association between 5p monosomy and OAVS has been described before and some authors suggested there are some genes on 5p implicated in OAVS pathogeny [27-30].

Heart defects have been reported in OAVS in 5.0-58.0% cases in different studies. Digilio et al. [31] communicated a frequency for VSD and PDA (these defects were also present in our patient) of 6/87, respectively of 1/87, but in that study, the patients with documented chromosomal abnormalities were excluded. These types of heart defects also appear in Cri-du-Chat syndrome and they have been frequently associated with deletions of the distal part of 5q and in rings with 5q35-5qter deletions [32].

Lorentz et al. [33] made a classification of cases with ring chromosome 13. They identified four categories of chromosomal anomalies named A-D, each of them having specific deletion: A was a mosaic partial monosomy 13q, B was non mosaic rearrangements such as rings or deletions that lead to a net deletion for distal 13q, C was mosaicism for a distal 13q deletion and complete monosomy 13 and D was complex chromosome rearrangements that result in mosaicism for partial duplications and partial deletions of chromosome 13 [33]. Previously, we showed that our case belongs to group C [34]. The presence of a dicentric ring chromosome 13 in the karyotype of our patient can be explained by a sister chromatid exchange of the monocentric ring after replication. In our case, we consider that dicentric ring chromosome 13 has a Tai Chi configuration (an inverted mirror image of the two halves of the double-sized ring chromosome 13) in correlation with the mechanism proposed by Hoo et al. [35].

For cases 1 and 2, the presence of a monosomic line as a part of “dynamic mosaicism” partially influences the phenotype, especially growth (both patients have growth retardation). Kosztolányi [5] postulate that the ring behavior and the structure are responsible for growth failure.

For case 3, the GTG-banded karyotype showed two cell lines, both with 18 ring chromosome. The less frequent line (7/50 metaphases) has a marker chromosome unidentified by conventional banding cytogenetic analysis or FISH. The marker chromosome looks like an inverted duplicated chromosome of an acrocentric but it was not checked by nuclear organizing region (NOR) banding. Over 70.0% of small marker chromosomes are de novo (like our case) and over 70.0% of the overall cases are derived from acrocentric chromosomes. The phenotype was normal in 74.0% of individuals with a de novo small supernumerary marker chromosome (sSMC). On the other hand, the frequency of marker chromosomes among different clinical situations varies from 7.0 to 28.0% and the incidence of marker chromosomes in mentally retarded patients is only 0.288%. Other phenomena that limited the phenotypic importance of marker chromosomes were the decrease of percentage of cells with marker chromosomes during lifetime. Thus, in our case, it is hard to say if some features such as mental retardation, were the result of a deleted region on chromosome 18 or was influenced by the presence of a marker chromosome [36]. Fluorescence in situ hybridization analysis with telomeric probes for both arms of chromosome 18 demonstrated the presence of a telomeric region just for the normal chromosome and absence for the ring chromosome.

For cases 4 and 5, the GTG-banded karyotype revealed a homogeneous abnormality: 18 ring chromosome. In case 4, by using Aquarius®Whole Chromosome Painting Probes (Cytocell Technologies Ltd.) for chromosome 18, the origin of the ring
Table 3. Comparison of phenotypes in 5p monosomy, 5p trisomy, 5q trisomy and clinical features of case 1 [24]. ([+]: present; [-]: absent.)

| Clinical Feature                                | 5p monosomy | 5p Trisomy | 5q Trisomy | Case 1 |
|-------------------------------------------------|-------------|------------|------------|--------|
| Low birth weight                                | [+          | [-         | [+         | [+     |
| Early death                                     | [-          | [+         | [-         | [-     |
| Cat-like cry                                    | [+          | [-         | [-         | [+     |
| Microcephaly                                    | [+]         | [-         | [+]        | [-     |
| Macrocephaly                                    | [-          | [+         | [-         | [-     |
| Hydrocephalus                                   | [-          | [+]        | [-         | [-     |
| Round face                                      | [+]         | [-         | [-         | [-     |
| Abnormal skull shape                            | [-          | [-         | [+]        | [+]    |
| Micrognathia                                    | [+]         | [-         | [+]        | [-     |
| Downward-slanting palpebral fissures            | [+]         | [-         | [+]        | [-     |
| Upward-slanting palpebral fissures              | [-          | [+]        | [-         | [-     |
| Hypertelorism                                    | [+]         | [-         | [-         | [-     |
| Epicanthic fold                                  | [+          | [-         | [+         | [-     |
| Strabismus                                      | [+          | [-         | [+         | [-     |
| Bulbous nose                                    | [-          | [+]        | [-         | [-     |
| Broad nose bridge                               | [+]         | [-         | [+]        | [-     |
| Low set ears                                    | [+]         | [-         | [+]        | [-     |
| Posteriorly rotated ears                        | [-          | [-         | [+]        | [+]    |
| Preauricular tags                               | [+]         | [-         | [-         | [-     |
| Down-turned corners of the mouth                | [+]         | [-         | [-         | [-     |
| Narrow, high arched palate                      | [+]         | [-         | [-         | [-     |
| Cleft palate                                    | [-          | [-         | [+]        | [-     |
| Transverse flexion creases                      | [+]         | [-         | [-         | [-     |
| Clinodactyly                                    | [+]         | [+         | [+]        | [-     |
| Brachydactyly                                   | [+]         | [-         | [+]        | [-     |
| Cerebral malformations                          | [-          | [+]        | [-         | [-     |
| Congenital hearth defect                        | [+          | [+]        | [+]        | [-     |
| Lung malformations                              | [-          | [-         | [+]        | [-     |
| Gut malformations                               | [-          | [+]        | [-         | [-     |
| Kidney malformations                            | [-          | [+]        | [+]        | [-     |
| Limb malformation                               | [-          | [-         | [+]        | [-     |
| Club foot                                       | [+]         | [+         | [-         | [-     |
| Flat arches of feet                             | [+]         | [-         | [-         | [-     |
| Anteriorly placed anus                          | [-          | [+]        | [-         | [-     |
| Feeding difficulty                              | [+]         | [-         | [-         | [-     |
| Recurrent infections                            | [+]         | [+         | [-         | [-     |
| Hypotonia                                       | [+]         | [-         | [+]        | [-     |
| Seizures                                        | [+]         | [+         | [-         | [-     |
| Psychomotor retardiation                        | [+]         | [+         | [+]        | [-     |
| Lack of speech                                  | [-          | [-         | [+]        | [-     |
| Schizophrenia                                   | [-          | [-         | [+]        | [-     |
| Myelodysplastic syndrome                        | [-          | [-         | [+]        | [-     |
| Acute lymphocyte leukemia                       | [-          | [-         | [+]        | [-     |
chromosome was certified. In addition, subtelomeric FISH probes were used for both arms of chromosome 18 and demonstrated the lack of telomere regions for the ring formation. Based on this analysis, a minimum of 220 kb on terminal 18p and 290 kb on terminal 18q, were deleted in case 4.

The phenotype in ring 18 syndrome has some main elements: developmental delay/mental retardation, typical facial features, major abnormalities and immunological problems [37]. The phenotype in all three cases had specific features, especially for 18q- syndrome, less for 18p- syndrome. Cleft lip (case 3), narrow or atretic ear canals and hearing loss (cases 3 and 4), foot deformities (cases 3 and 4) are typical signs of 18q- syndrome. Other features such as cardiac abnormalities (ventricular septal defect and patent ductus arteriosus in case 5) or renal defects (horseshoe kidney in case 4) can be present in both 18p- and 18q- syndromes. Stankiewicz et al. [6] suggested there were two types of 18 ring chromosomes according to the breakpoints. The first category has the breakpoints at the centromere or nearby and loses the short arm, and the second one has one breakpoint on the long arm and has only a deletion of distal 18q. Our cases with 18 ring chromosome belonged to the second category. In 2007, Feenstra et al. [38] updated the phenotypic map for chromosome 18q deletions. The authors identified some (various) critical regions for cardinal signs in 18q deletions (Table 4) and some of the clinical features of our cases are in accordance with these areas. The lack of a normal diploid line suggested that the 18 ring chromosome is formed in meiosis.

Ring chromosome 21 was reported to be de novo or inherited. The phenotypes were different: almost normal in familial cases and characterized by mental retardation or developmental delay, short stature, microcephaly, epicanthus, short neck and small ears. According to loss of material or extra material from chromosome 21, three types of 21 ring chromosome were described. Clinically, type I is associated with normal development, no malformations, in some cases short stature, infertility, and slightly delayed puberty in boys. The breakpoint is near the end of the q arm with minimal loss of genetic material [39]. The second type has different features synthesized by de Grouchy and

| Clinical Features | Critical Region | Case 3 (r(18)(p11.32q23)) | Case 4 (r(18)(p11.32q21.3)) | Case 5 (r(18)(p11.32q23)) |
|-------------------|----------------|---------------------------|---------------------------|---------------------------|
| Microcephaly      | 18q21.33       | -                         | -                         | -                         |
| Short stature     | 18q12.1-q12.3  | 18q21.32-q21.33           | 18q22.3-q23               | -                         |
| Congenital aural atresia | 18q22.3-qter | [+](bilateral stenosis of external auditory canals) | [+](narrow ear canals) | - |
| Cleft palate (with or without cleft lip) | 18q12.1-q12.3  | 18q22.3-q23               | + (cleft lip)             | -                         |
| Mid- and forefoot deformities | 18q22.3-q23 | + (club feet)            | + (club feet)             | -                         |
| White matter alterations and delayed myelination | 18q23 | NI | NI | NI |
| Learning difficulties | proximal to 18q21.33; distal to 18q21.33 (mild MR when present) | + | + | - |
| Immunoglobulin A deficiency | distal to 18q21.32 | NI | NI | NI |

NI: no information; MR: mental retardation.
Turleau [40]: hypertonia, proeminent occiput, protruding forehead, down-slanting palpebral fissures, large ears and others such as ocular anomalies, micrognathia, cleft lip or palate, heart defects, inguinal hernias, hypospadias, undescended testes, learning difficulties, defects in the immune system. These features were associated with the loss of region 21q22.3 or parts of this region in the mechanism of ring formation [40]. The third type of 21 ring chromosome shares features with Down’s syndrome because these patients have three copies of chromosome 21. It has been suggested as a possible mechanism two breaks on different arms of a 21q isochromosome, followed by fusion in a circular configuration [39]. Considering these features, we concluded that our case belonged to the second category.

In conclusion, ring chromosomes are rare abnormalities, most of the time of de novo origin, presenting a different phenotype according to the loss of genetic material and genetic instability. The karyotype represents the main analysis for detection of ring chromosomes, but other molecular techniques are necessary for complete characterization. Also, parental investigation is required for proper genetic counseling.

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