Efficacy and safety of venous thromboembolism prophylaxis with apixaban in major orthopedic surgery

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Abstract: Over the last 15 years, low-molecular-weight heparins (LMWHs) have been accepted as the “gold standard” for pharmaceutical thromboprophylaxis in patients at high risk of venous thromboembolism (VTE) in most countries around the world. Patients undergoing major orthopedic surgery (MOS) represent a population with high risk of VTE, which may remain asymptomatic or become symptomatic as deep vein thrombosis or pulmonary embolism. Numerous trials have investigated LMWH thromboprophylaxis in this population and demonstrated high efficacy and safety of these substances. However, LMWHs have a number of disadvantages, which limit the acceptance of patients and physicians, especially in prolonged prophylaxis up to 35 days after MOS. Consequently, new oral anticoagulants (NOACs) were developed that are of synthetic origin and act as direct and very specific inhibitors of different factors in the coagulation cascade. The most developed NOACs are dabigatran, rivaroxaban, and apixaban, all of which are approved for thromboprophylaxis in MOS in a number of countries around the world. This review is focused on the pharmacological characteristics of apixaban in comparison with other NOACs, on the impact of NOAC on VTE prophylaxis in daily care, and on the management of specific situations such as bleeding complications during NOAC therapy.

Keywords: major orthopedic surgery, apixaban, dabigatran, edoxaban, rivaroxaban, deep vein thrombosis, venous thromboembolism, VTE prophylaxis

Introduction
Over the last 15 years, low-molecular-weight heparins (LMWHs) have been accepted as the “gold standard” for pharmaceutical thromboprophylaxis in patients at high risk of venous thromboembolism (VTE) in most countries around the world. Patients undergoing major orthopedic surgery (MOS) represent a population with high risk of VTE, which may be found asymptomatic in screening exams or present as symptomatic events such as deep vein thrombosis (DVT) or pulmonary embolism (PE). Numerous trials have investigated LMWH thromboprophylaxis in this population and demonstrated high efficacy and safety of these drugs. However, LMWHs have a number of disadvantages. First of all, daily injections of parenteral anticoagulants are cumbersome and impair the quality of life of patients, especially in prolonged prophylaxis up to 35 days after MOS. Furthermore, allergic skin reactions are quite common, and cases of heparin-induced thrombocytopenia, however rare, demonstrate potentially life-threatening complications of heparin therapy. Therefore, frequent monitoring of platelet count is necessary during LMWH exposure.
Finally, LMWHs are derived from animal sources, and manufactures have faced changes in the processing methods and hygiene problems in the past. Consequently, production costs will remain comparatively high and might even increase in future. Some of these problems could be resolved by using the synthetic indirect factor Xa inhibitor fondaparinux, which has been shown to be highly effective in VTE prevention after MOS. On the other hand, fondaparinux also needs to be injected daily and, at least in some countries, is associated with high costs.

All of these problems with parenteral thromboprophylaxis provide the medical background for the development of new oral anticoagulants (NOACs). These are of synthetic origin and act as direct and very specific inhibitors of different factors in the coagulation cascade. The most developed NOACs are dabigatran, rivaroxaban, and apixaban, all of which are approved for thromboprophylaxis in MOS in a number of countries around the world, based on large Phase III trials demonstrating favorable efficacy and safety results compared with LMWH prophylaxis. Another factor Xa inhibitor, edoxaban, has also been tested in patients undergoing MOS but is currently not approved.

This review is focused on the pharmacological characteristics of apixaban in comparison with other NOACs and on the impact of apixaban on the management of VTE prophylaxis in patients undergoing MOS.

### Pharmacological characteristics of apixaban and other new oral anticoagulants

Activation of factor X to factor Xa (FXa) plays a central role in the cascade of blood coagulation. FXa directly converts prothrombin to thrombin through the prothrombinase complex, which leads to fibrin clot formation and activation of platelets by thrombin. A single molecule of FXa is able to generate more than 1000 molecules of thrombin due to the amplification nature of the coagulation cascade. Furthermore, the reaction rate of prothrombinase-bound FXa increases 300,000-fold compared with that of free FXa. Therefore, factor X activation and binding in the prothrombinase complex causes an explosive burst of thrombin generation.

New orally acting substances have been developed to inhibit FXa selectively (FXa inhibitors such as apixaban, rivaroxaban, or edoxaban), prevent this burst of thrombin generation, or inhibit the excessively generated thrombin (direct thrombin inhibitors such as dabigatran).

Apixaban is a small molecule with a molecular weight of 460 Da, which inhibits factor Xa reversibly and additionally inhibits trypsin and thrombin generation. In addition to inhibiting circulating factor Xa, apixaban also blocks factor Xa bound within the prothrombinase complex or factor Xa activity within the clot (Figure 1). After oral intake, apixaban is rapidly absorbed with bioavailability in the stomach and small intestine of approximately 66% and a high protein binding of 87%. Maximum concentration levels are seen after 1–3 hours. The half-life of apixaban is 8–15 hours in young subjects after metabolism by a cytochrome P450 (CYP)3A4-related pathway with 25% renal excretion and 55% elimination by the feces (Table 1).

The other new oral factor Xa inhibitors rivaroxaban (molecular weight of 436 Da) and edoxaban (molecular weight of 536 Da) were also found to inhibit free and clot-bound factor Xa, which seems to be a class effect of all new oral factor Xa inhibitors. Of note, rivaroxaban does not inhibit other serine proteases such as trypsin.

The bioavailability of rivaroxaban is approximately 100% with plasma protein binding above 90% and metabolism via CYP3A4-, CYP2C8-, and CYP-independent mechanisms. Thirty to forty percent of the substance is renally excreted as unchanged drug, whereas 30% is renally excreted as inactive metabolites and the remainder is excreted as unchanged drug in the feces. The intestinal excretion appears to be mediated by p-glycoprotein (p-Gp) – an intestinal drug transporter – so potent p-Gp inhibitors may increase drug concentrations. The half-life ranges between 5 hours and 9 hours in healthy subjects and between 11 hours and 13 hours in elderly subjects.

Compared with apixaban and rivaroxaban, edoxaban has a lower bioavailability of around 50% and a half-life of 9–11 hours in young healthy subjects with a combined elimination pathway: 35% is renally excreted (24% active metabolite) and 62% is excreted via feces. Edoxaban is also a substrate of p-Gp, so strong inhibitors could lead to a higher concentration of edoxaban. The metabolism in liver microsomes is mediated mainly by CYP3A4-related pathways.

In contrast to these oral factor Xa inhibitors, dabigatran is an oral direct thrombin inhibitor (Figure 1), which binds to the active binding site of thrombin (free and clot-bound thrombin) and inhibits its activation. Dabigatran exhibits a pharmacological profile different from that of FXA inhibitors (Table 1). Given as a prodrug (dabigatran etexilate), the substance is rapidly absorbed. However, dissolution and absorption require an acidic microenvironment, and therefore dabigatran etexilate capsules contain a core of tartaric acid to stabilize the variations in gastric pH. Despite this,
oral bioavailability is low with values around 6%. Peak plasma concentrations of dabigatran are reached approximately 2 hours after oral administration. Half-life in healthy volunteers is 12–17 hours but prolonged in elderly patients or patients with impaired renal function, because nearly 90% of dabigatran is renally excreted. Dabigatran is not metabolized by CYP450 isoenzymes.

### Drug-drug interactions of NOACs

With apixaban, pharmacological interactions are seen with comedications of azol-type antimycotics such as ketoconazol or HIV-protease inhibitors such as ritonavir, which result in an increase of the area under the curve and the maximum concentration for apixaban, potentially increasing bleeding risks. Therefore, apixaban treatment is contraindicated in patients receiving these drugs. Similar interactions are seen with rivaroxaban and edoxaban. On the other hand, coadministration of rifampicin leads to a significantly lower area under the curve and thereby to a significantly lower efficacy of apixaban, rivaroxaban, or edoxaban, which needs to be considered because insufficient anticoagulant efficacy may result from this interaction.

In patients receiving dabigatran, concomitant treatment with strong p-Gp inhibitors like amiodaron, verapamil, chinidin,
or clarithromycin leads to higher plasma concentrations of dabigatran, requiring a dose reduction. Furthermore, the combination of dabigatran and ketoconazole, ciclosporin, itraconazol, and tacrolimus is prohibited. Due to the reduction of dabigatran plasma concentrations, concomitant therapy with St Johns wort or rifampicin is not recommended.

Clinical trials of apixaban in major orthopedic surgery

Dose-response relationship and the safety of escalating doses of apixaban were tested in a trial comparing enoxaparin twice daily 30 mg subcutaneously, open-label warfarin target international normalized ratio (INR) 1.8–3.0, and six double-blind apixaban doses 5 mg,10 mg, and 20 mg daily as once- or twice-daily divided dose in patients undergoing total knee replacement.

Usual exclusion criteria applied, and a mandatory bilateral venography was scheduled for Day 12 after the last study drug dose. Primary efficacy outcome was a composite of VTE and all-cause mortality during treatment. Primary safety outcome was major bleeding, defined as reduction of hemoglobin > 2 g/dL and/or requirement of two units of packed red blood cells, need for discontinuing study medication, intracranial, retroperitoneal, intraspinal, or necessitating reoperation or intervention, intrapericardial or fatal. Minor bleeding were all events not meeting these criteria.

A total of 1217 patients were eligible for safety and 856 patients for efficacy analysis. In all apixaban treatment arms, patients had lower primary efficacy event rates than either comparator (Figure 2). The primary outcome decreased with increasing apixaban dose. Efficacy outcome was 9.0% for 2.5 mg apixaban twice daily and 11.3% for 5 mg apixaban once daily, compared with 15.6% in the enoxaparin and 26.6% in the warfarin group. Total VTE rates were lower in the twice-daily group than in the once-daily regimen. For the composite outcome of proximal DVT or PE and allcause mortality, each apixaban group had a lower event rate (0%–2.7%) compared with the enoxaparin group (4.6%), which was not statistically significant. For both once-daily and twice-daily apixaban regimens, a significant dose-related increase in the incidence of bleeding events was noted. Incidence ranged from 0% (2.5 mg twice daily) to 3.3% (20 mg once daily). No major bleeding was observed in either the enoxaparin group or the warfarin group. Minor bleeding incidences during apixaban, enoxaparin, and warfarin treatment were 0.7%–7.2%, 4.0%, and 5.3%, respectively. For patients receiving apixaban, rates of myocardial infarction (0.44%) and stroke (0.55%) were in line with other studies.

The authors concluded that 2.5 mg apixaban twice daily started 12–24 hours after surgery exhibits a favorable benefit-risk profile compared with standards of care. Consequently, apixaban 2.5 mg twice daily was chosen in three large Phase III trials evaluating the efficacy and safety of apixaban thromboprophylaxis against standard of care enoxaparin.

In ADVANCE-1, the North American regimen of enoxaparin 30 mg twice daily was tested against oral 2.5 mg apixaban twice daily in elective knee replacement for 10–14 days, started 12–24 hours post surgery.

Primary efficacy outcome was a composite of asymptomatic and symptomatic DVT, nonfatal PE, and death from any cause during treatment. Definition of major bleeding was acute clinically overt bleeding accompanied by one or more of
the following: a decrease in hemoglobin concentration of 2 g/dL or more during 24 hours, transfusion of two or more units of packed red blood cells, critical site bleeding (intracranial, intraspinal, intraocular, pericardial, or retroperitoneal bleeding), bleeding leading to reoperation, intramuscular bleeding with compartment syndrome, or fatal bleeding.

Patients were followed for 60 days after anticoagulation therapy was stopped. In total, 1157 patients receiving apixaban and 1588 patients receiving enoxaparin were included in the primary efficacy analysis. The rate of primary efficacy outcome was 9.0% with apixaban as compared with 8.8% with enoxaparin (relative risk [RR] 1.02 [95% confidence interval (CI) 0.78–1.32]). Secondary efficacy endpoint of major VTE event was seen in 2.1% and 1.6%, respectively. Of note, PE fatal and nonfatal occurred in 1.0% (apixaban) versus 0.4% (enoxaparin). Apixaban did not meet the pre-specified statistical criteria for noninferiority, because event rates in both treatment arms were significantly lower than expected and the study was underpowered to prove noninferiority for efficacy. Major bleeding events occurred in 0.7% with apixaban and 1.4% with enoxaparin (P = 0.053). The incidence of the composite safety endpoint major bleeding and clinically relevant nonmajor bleeding was 2.9% with apixaban and 4.3% with enoxaparin (P = 0.03). Other adverse events, such as hepatotoxicity and arterial thromboembolism, were rare in both groups. The authors concluded that apixaban 2.5 mg twice daily and enoxaparin have a similar efficacy that is within limits and which should be acceptable to clinicians. Furthermore, apixaban was found to reduce the risk of bleeding complications.

In ADVANCE-2, patients undergoing elective uni- or bilateral total knee replacement were randomly allocated to receive oral apixaban 2.5 mg twice daily or enoxaparin 40 mg subcutaneously once daily.16 Apixaban was started 12–24 hours after wound closure and enoxaparin 12 hours before surgery, and both drugs were continued for 10–14 days when bilateral ascending venography was scheduled. Patients had follow-up assessments 30 days and 60 days after the last dose of study drug. The primary outcome was the composite of asymptomatic and symptomatic DVT, nonfatal PE, and all-cause death during treatment. Bleeding events were classified as major, nonmajor, and clinically relevant nonmajor.

A total of 1528 patients were eligible for primary efficacy analysis in the apixaban group, as were 1529 in the enoxaparin group. Primary outcome was reported in 15% of apixaban patients and 24% of enoxaparin patients (RR 0.62, 95% CI 0.51–0.74, P < 0.0001). Major or clinically relevant nonmajor bleeding occurred in 4% of patients receiving apixaban and 5% of those treated with enoxaparin. Of nine major bleeding events with apixaban, five occurred before the first dose of apixaban. Elevated liver enzyme levels were equally reported in both study groups. The authors concluded that oral twice-daily 2.5 mg apixaban offers a convenient and more effective alternative to 40 mg enoxaparin daily without increased bleeding.

In ADVANCE-III, apixaban 2.5 mg twice daily was given 12–24 hours post surgery and tested against enoxaparin 40 mg once daily, which was on the evening before surgery in patients undergoing hip replacement surgery.15 Both regimens were given for 35 days. Patients were followed for 60 days after the last intended study drug dose. For all patients, bilateral venography was scheduled on Day 35. Primary efficacy outcome was the composite of asymptomatic or symptomatic DVT, nonfatal PE, or death from any cause during the treatment period. Primary safety outcome was bleeding during treatment, defined as in the aforementioned studies.

Primary efficacy analysis was performed in 1949 apixaban-treated patients and in 1917 enoxaparin-treated patients. The primary efficacy outcome occurred in 1.4% and 3.9% of patients, respectively (RR 0.36, 95% CI 0.22–0.54; P < 0.001 for both noninferiority and superiority). The composite outcome of major and clinically relevant nonmajor bleeding occurred in 4.8% versus 5.0% (P = 0.72). Hepatic enzyme elevations as well as arterial thromboembolic events were rare in both groups. The authors concluded that apixaban at a dose of 2.5 mg twice daily was superior to enoxaparin at a dose of 40 mg per day, preventing one episode of major VTE for each 147 patients treated, without adding to the risk of bleeding.

Clinical impact of VTE prophylaxis with apixaban in major orthopedic surgery

General aspects of implementation of new oral VTE prophylaxis into daily practice

First of all, patients and staff need to be reminded that change of VTE prophylaxis from injectable drugs to oral anticoagulants does not indicate that VTE is no longer a relevant risk and therefore that lower compliance is acceptable. On the contrary, because VTE risk remains high for weeks after hip or knee joint replacement, a daily administration of VTE prophylaxis is indispensable. It is known that patient compliance with long-term prophylaxis decreases after discharge, if injectable anticoagulants are used. Therefore, the use of oral
anticoagulants should increase the acceptance of prolonged VTE prophylaxis, if patients are adequately instructed.

Secondly, hospital staff need to be aware that timing of the first dose of VTE prophylaxis is essential for the balance between effective VTE prevention and bleeding risks after major surgery. In contrast to LMWHs, which in many Western countries are started on the evening before surgery (in contrast to a postsurgical start in North America), the first dose of all new oral anticoagulants is given post surgery. However, the timing of the first dose of VTE prophylaxis post surgery depends on the substance used and needs to be carefully implemented. Historically, the parenteral anticoagulant fondaparinux has been shown to increase bleeding complications after MOS, if started before 6 hours post surgery, which leads to adjusted recommendations for fondaparinux.44

Based on these experiences, the timing of postsurgical oral thromboprophylaxis has been carefully considered. With apixaban prophylaxis, the first dose is given after 12–24 hours post surgery, allowing for a long time for primary hemostasis at surgical sites (Table 1). This is in contrast to other NOACs: dabigatran is started after 1–4 hours post surgery already, but with an initial dose of only 50% (110 mg instead of 220 mg, whereas rivaroxaban is started with a first full initial dose of 10 mg after 6–10 hours post surgery).

Furthermore, timing of oral thromboprophylaxis and removal of spinal catheters is dependent on the NOAC in use, due to different half-lives, once- or twice-daily regimens, and a contraindication for dabigatran in patients with spinal catheters. Consequently, written standard operating procedures should be implemented before thromboprophylaxis is switched from injectable agents to NOAC.

Finally, the duration of postoperative thromboprophylaxis after MOS is determined by the fact that VTE risk remains high for weeks after hip or knee replacement. Therefore, current guidelines recommend prolonged thromboprophylaxis in these patients with a minimum of 10–14 days (Level 1B), but prolongation until Day 35 should be considered in MOS (Level 2B).45 However, these recommendations are similar for all types of medical thromboprophylaxis in use and do not differ with NOAC thromboprophylaxis.

Dose adjustments in special populations

For patients undergoing MOS, all new oral FXa inhibitors are currently contraindicated in patients with a creatinine clearance below 15 mL/min. Due to the low proportion of renal elimination of oral FXa inhibitors apixaban, edoxaban, and rivaroxaban, no dose adjustments are necessary if creatinine clearance is above 15 mL/min. This is in contrast to dabigatran, which is contraindicated at a creatinine clearance below 30 mL/min. Furthermore, dose adjustments are necessary in patients older than 75 years or with a creatinine clearance between 30 mL/min and 50 mL/min (150 mg instead of 220 mg once daily).

Monitoring of NOAC thromboprophylaxis

Similar to the VTE prophylaxis with LMWH or fondaparinux, no routine monitoring of NOAC prophylaxis is necessary. All new oral anticoagulants display a predictive dose response, which allows for standard dosing independent from laboratory test results. However, compared with LMWH or fondaparinux, an important difference exists. All oral FXa inhibitors produce a dose-dependent increase of prothrombin time (PT), INR, and clotting times.46,47 Of note, values need to be interpreted with caution, because standard measurements are not calibrated for these substances and short half-lives of FXa inhibitors would produce fast changes of test results within hours. Furthermore, a number of PT assays are available, which have vastly variable sensitivity to FXa inhibitors, and normal values as well as INR values above 3 may be found despite therapeutic anticoagulation. Consequently, interpretation of PT results would require specific calibration curves, the knowledge of the assay used to measure PT, and the exact timing of drug intake and blood sampling. This is in strict contrast to PT or INR measurements during vitamin K antagonist therapy (VKA), where values remain fairly constant during the day and an INR range between 2 and 3 indicates adequate VKA treatment, while values outside of this range indicate a sub- or supra-therapeutic anticoagulant effect of VKA. Therefore, PT or INR monitoring is not recommended with oral FXa inhibitors. However, new tests are currently being implemented to allow for exact quantification of oral direct FXa inhibitors, based on the measurement of anti-FXa activity via chromogenic FXa assays.48–52

In contrast to the oral direct FXa inhibitors, dabigatran as a direct thrombin inhibitor significantly alters partial thromboplastin time (PTT) and, to a lesser extent, PT and INR values. Again, these changes must not be interpreted in a similar way to heparin or VKA therapy, because test results do not necessarily correlate with dabigatran therapy. Specific tests such as HemoClot are available to monitor dabigatran therapy.53

Taken together, neither normal nor abnormal test values of PTT, PT, INR, or clotting times give any indication of the quality of NOAC therapy, and interpretation of test results needs to reflect type and dosage of NOAC, interval between...
intake and blood sampling, and renal and hepatic function. However, routine monitoring is not necessary for NOAC therapy, and specific tests will be available for the rare situations when management of emergency situations requires exact quantification of NOAC activity.

Management of bleeding complications

In Phase II, all NOACs exhibited a broad therapeutic window with only a slight increase in bleeding complications with higher dosages in dose-escalating studies in MOS.43,54-56 These results were supported in large Phase III trials, where severe bleeding complications were rare. Consequently, most bleeding complications seen after MOS will not relate to the anticoagulant in use but rather to patient-specific factors or surgical complications. Furthermore, most bleeding complications will present as nonsevere bleeding, which can simply be managed by reducing or interrupting NOAC prophylaxis for a short period of time. Because all NOACs are short acting with half-lives comparable with LMWH prophylaxis, no change of standard of care is necessary in nonsevere bleeding situations. Obviously, standard management of bleeding complications may include local compression, surgical, endoscopic, or interventional therapy as well as hemodynamic stabilization with fluids or whole-blood transfusions.

In cases of severe bleeding, oral FXa inhibitor activity may be antagonized using prothrombin complex concentrates (PCC), recombinant factor VIIa (rVIIa), or factor eight inhibitor bypassing activator (FEIBA or aPCC). Recombinant factor VII or FEIBA/aPCC may also be considered as treatment options in severe bleeding complications of dabigatran-treated patients.57,58

In case of suspected or suicidal overdosing of oral FXa inhibitors, gastrointestinal uptake can be reduced by activated carbon application within 3 hours after intake. In contrast, in patients receiving dabigatran, hemodialysis may reduce drug levels.58

The following steps provide a therapeutic guideline for patients with severe bleeding events: (1) delay the next administration of NOAC; (2) if the patient is treated with oral FXa inhibitors, consider activated carbon depending on the intake time; (3) if the patient is treated with dabigatran, consider hemodialysis; (4) consider usual treatment for bleeding, including endoscopic, surgical, or interventional bleeding control, blood transfusion, and fresh frozen plasma; and (5) if bleeding cannot be controlled or emergency surgery is indicated, consider administration of procoagulants such as PCC. If bleeding cannot be controlled, FEIBA or rVIIa may be used according to the guidelines. Of note, neither PCC nor rVIIa is approved for management of NOAC-associated bleeding complications.

Conclusion

Thromboprophylaxis in MOS is still an important issue, and the development of new oral anticoagulants has led to advances in both efficacy and safety in this indication. Apixaban as one of the new oral direct FXa inhibitors has been shown to be highly effective and safe to prevent VTE complications in patients undergoing elective hip or knee replacement. Provided that personnel and patients are instructed that high treatment compliance is required, it can be expected that apixaban will achieve this benefit over parenteral prophylaxis also in unselected patients in daily care.

Implementation of NOACs in thromboprophylaxis in daily care is simple, but specific pharmacological differences exist between apixaban, rivaroxaban, and dabigatran. Consequently, the choice of substance should reflect local specifics such as pre-existing experience with new oral anticoagulants, use of spinal catheters and timing of removal, proportion of older or renally impaired patients, typically used comedations, and preference of a late postoperative start or a once-daily regimen. Therefore, the authors do not recommend the use of different NOACs for thromboprophylaxis on the same orthopedic ward. Furthermore, we strongly recommend the implementation of standard operating procedures for NOAC use in orthopedic surgery to enhance compliance and avoid errors in dosing and management problems (such as initiating prophylaxis too early or too late), or catheter removal without interruption of NOAC, all of which may cause harm to the patient.

If oral FXa inhibitors such as apixaban are used in MOS prophylaxis, no dose adjustments for age, gender, or renal function are necessary, provided that renal function has a glomerular filtration rate above 15 mL/min. Furthermore, no routine monitoring is required.

Finally, major bleeding complications will be rare with NOAC thromboprophylaxis, and management of these will be comparable with that of bleeding complications in patients receiving LMWH prophylaxis, because all NOACs have predictable pharmacokinetics with comparatively short half-lives.

Disclosures

SW, KH, and JBW were investigators in numerous Phase III trials investigating apixaban, rivaroxaban, edoxaban, and dabigatran in VTE prophylaxis, VTE treatment, and stroke
prevention in atrial fibrillation. SW received honoraria from Bayer Healthcare for lectures. JBW received honoraria from Bayer Healthcare, Bristol-Myers Squibb, Pfizer, and Boehringer Ingelheim for lectures; serves as a member of advisory boards of Bayer Healthcare, Bristol-Myers Squibb, and Pfizer; and received support from Bayer Healthcare for an investigator-initiated registry on VTE prevention in major orthopedic surgery.

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