Clicking throughout the year: sperm whale clicks in relation to environmental conditions off the west coast of South Africa

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ABSTRACT: Knowledge of cetacean occurrence and behaviour in southern African waters is limited, and passive acoustic monitoring has the potential to address this gap efficiently. Seasonal acoustic occurrence and diel-vocalizing patterns of sperm whales in relation to environmental conditions are described here using passive acoustic monitoring data collected off the west coast of South Africa. Four autonomous acoustic recorders (AARs) were deployed on 3 oceanographic moorings from July 2014 to January 2017. Sperm whale clicks were detected year round in most recording sites, with peaks in acoustic occurrence in summer and late winter through spring. Diel-vocalizing patterns were detected in winter, spring and summer. Higher percentages of sperm whale clicks were recorded by AARs deployed at 1100 m water depth compared to those concurrently deployed at 850 and 4500 m, likely inferring that the whales exhibited some preference to water depths around 1100 m. Acoustic propagation modelling suggested a maximum detection range of 83 km in winter for sperm whale clicks produced at 1100 m. Random forest models classified daylight regime, sea surface height anomaly and month of the year as the most important predictors of sperm whale acoustic occurrence. The continuous acoustic occurrence of sperm whales suggests that the study area supports large biomasses of prey to sustain this species’ food requirements year round. This is the first study to describe the seasonal acoustic occurrence and diel-vocalizing patterns of sperm whales off the west coast of South Africa, extending knowledge of the species previously available only through whaling records.

KEY WORDS: Sperm whales · Acoustic occurrence · Passive acoustic monitoring · Diel behaviour · Detection ranges · Environmental conditions · Random forest model · South Africa

1. INTRODUCTION

The seasonal acoustic occurrence and diel-vocalizing patterns of sperm whales Physeter macrocephalus off the west coast of South Africa have not previously been investigated owing to the lack of acoustic research effort in this region. Current knowledge about seasonal occurrence, distribution and behaviour of sperm whales off the west coast of South Africa derives solely from whale catch statistics (e.g. Best 1969, 1999, 2007, Elwen et al. 2016). Sperm whales were harvested in South African waters on the west coast (Donkergat whaling station) and east coast (Durban whaling grounds), and the total number of whales harvested in the southern African region is unknown (Gambell 1967, Best 1969, 2007, Findlay & Best 2016). Donkergat whaling station (see Fig. 1) was land based in Saldanha Bay (33°05’S, 18°00’E), and operated from 1909 to 1967, during which time about 10700 sperm whales were processed (Best 1974). Best (1969)

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reported that females and small males were caught mostly in autumn (March/April), whilst medium-sized and large males were caught mostly in autumn (April/May) and spring (August–October).

Open-boat whaling (1712–1920s) had reduced the pre-whaling worldwide population (approximately 1100000) by 29% in 1880, and modern whaling (1904–1988) further reduced the population to 32% of the pre-whaling population by the 1990s (Whitehead 2002). In total, over 400000 sperm whales were killed in the Southern Hemisphere between 1900 and 2005 (Clapham & Baker 2009). Recent quantitative analysis of sperm whale population trends indicate that there are limited signs of recovery (Branch & Butlerworth 2001, Whitehead 2002) whereby population recovery might be heavily dependent on female survivorship rates (Chiquet et al. 2013). Thus, both the International Union for the Conservation of Nature Red List of Threatened Species (Taylor et al. 2008) and the Regional Red List (Elwen et al. 2016) currently classifies them as Vulnerable. Presently, there are no reliable estimates of the sperm whale population in the southern African region (Best 2007).

Sperm whales are the largest species of odontocetes (toothed whales), and are cosmopolitan in their distribution range. They tend to inhabit deep oceanic, ice-free waters in both hemispheres and can dive to considerable depths, sometimes >3000 m (Jefferson et al. 1993, 2015), feeding on a variety of prey species, but mainly squids (Jefferson et al. 1993, 2015, Best 1999, 2007). Tagging data in the Atlantic Ocean have revealed that these whales can dive to a maximum foraging depth of 1200 m in an average water depth of 2015 m (range 1287–2786 m), with indication of occasional foraging on the seafloor based on reflections of seafloor echoes (Watwood et al. 2006). Sperm whales are likely to be important in the ecological functioning of the Benguela ecosystem off the west of South Africa because they may play a critical role in the transfer of nutrients from the abyss to the euphotic zone, as observed in the Southern Ocean (Lavery et al. 2010). They are also apex predators, increasing the value of enriching our knowledge about their spatio-temporal patterns and about how and when changes happen (Fais et al. 2016). This species may also be important for the growing ecotourism industry in South Africa, especially in light of the South African government’s initiative, Operation Phakisa (which means ‘hurry up’ in seSotho), which facilitates the rapid growth of the country’s blue or oceans economy (van Wyk 2015). Such growth in the ocean economy through Operation Phakisa might increase anthropogenic effects on this species and many other vulnerable, yet recovering species. For example, Purdon et al. (2020a) showed that anthropogenic stressors such as climate-related stressors and shipping stressors associated with blue economy growth are increasing more rapidly across the South African exclusive economic zone, affecting species richness and the protection of multiple cetaceans including sperm whales.

Ecotourism associated with blue economy growth may also affect whales (Purdon et al. 2020b), even when operated under the criteria and regulations set by the Marine Living Resources Act 18 of 1998 that respect protected species in South African waters (Department of Environmental Affairs 2017). The west coast of South Africa is located in the southern Benguela ecosystem in the southeastern Atlantic Ocean (Fig. 1). The Benguela ecosystem extends from the south coast of South Africa equatorward to southern Angola (Shannon 2006). It is a wind-driven upwelling system characterized by the cold, nutrient-rich water of the Benguela Current (Fig. 1) that promotes biological productivity (Andrews & Hutchings 1980, Brown 1992, Shannon 2009). Purdon et al. (2020b) is the only study to have investigated the relationship between sperm whale distribution and environmental conditions in the southern African region. It is important that relationships between sperm whale occurrence and environmental conditions be established specifically in South African waters in light of the recently estimated global increase in climate change-related effects on environmental variables such as sea surface temperature (Halpern et al. 2019).

Sperm whales produce powerful clicks (with a maximum-recorded source level [SL] of 236 dB re 1 μPa @ 1 m; Møhl et al. 2003) that are used for communication and echolocation (Weilgart & Whitehead 1993, Møhl et al. 2000, Jaquet et al. 2001, Zimmer et al. 2005). Sperm whale clicks have been detected in different oceans with maximum detection ranges of between 15 and 35 km, mainly depending on the sea-state conditions (e.g. Mathias et al. 2013, André et al. 2017). These clicks contain energy in the frequency band from 10 Hz to about 32 kHz (Backus & Schevill 1966, Mellinger et al. 2004, André et al. 2017). Sperm whales produce clicks as: ‘usual’ or ‘regular’, ‘slow’, ‘codas’ and ‘creaks’. ‘Usual’ clicks are the most commonly heard sperm whale clicks, with a 0.5 to 2 s interclick interval (ICI), and are emitted by diving whales (Whitehead & Weilgart 1991). ‘Slow’ clicks are linked to large, mature males and have an ICI of 5 to 7 s (Weilgart & Whitehead 1988, Whitehead 1993). ‘Codas’ are short, patterned sequences of 2 to 40 clicks with irregular repetition rates, have an ICI of 0.5 to 2 s, are used mainly for communica-
tion (Watkins & Schevill 1977, Pavan et al. 2000) and may have different functions depending on coda type (Antunes et al. 2011). ‘Codas’ are largely produced within the social groups (females with young individuals), and can be specific to regions or to individuals (Watkins & Schevill 1977, Rendell & Whitehead 2004, Oliveira et al. 2016). ‘Creaks’ are sequences of very rapid clicks, with up to 200 clicks per second, used for short-range echolocation (Gordon 1987, Whitehead & Weilgart 1991). The duration of sperm whale clicks depends on the click type and whale body size (Backus & Schevill 1966, Gordon 1991, Möhl et al. 2003, Growcott et al. 2011). These clicks are highly directional at peak frequencies between 10 and 15 kHz (Thode et al. 2002, Möhl et al. 2003), and they have an almost omnidirectional low frequency component between 1 and 4 kHz (Thode et al. 2002, Zimmer et al. 2005).

This study emphasizes the importance of passive acoustic monitoring data for investigating and defining the seasonal acoustic occurrence and diel-vocalizing patterns of sperm whales in relation to environmental conditions off a previously unstudied region of the west coast of South Africa. We identify important habitats and environmental drivers that best predict the seasonal acoustic occurrence of sperm whales off the west coast of South Africa. Additionally, we provide the first description of the seasonal acoustic occurrence and diel-vocalizing patterns of sperm whales in South African waters, which will assist with the protection and conservation of important habitats of this species from activities associated with ocean economy growth.

2. MATERIALS AND METHODS

2.1. Acoustic data collection

Acoustic data were collected over a period of 3 yr in 3 different sites off the west coast of South Africa, southeastern Atlantic Ocean (Fig. 1, Table 1) as part of the South African Blue Whale Project (SABWP) to study the acoustic occurrence and behaviour of Antarctic blue whales (Shabangu et al. 2019). Different sampling rates and sampling protocols (number of minutes recorded per hour) were applied to different autonomous underwater recorders (AARs; Autonomous Underwater Recorder for Acoustic Listening Model 2 version 04.1.3, Multi-Électronique) (Table 1) to record low frequency sounds of baleen whales and to preserve the AAR battery life. AARs were used to record the acoustic data in 3 passive acoustic monitoring stations (Fig. 1). A gain of 22 dB was applied to all AARs, and factory-provided calibration settings were used (Table 1). AARs were deployed at different depths in the water column (Table 1) on oceanographic moorings. AAR1 was approximately 70 km from the coast whereas AARs 2 and 3 were 75 km from the coast, and the distance between the location of AAR1 and that of AARs 2 and 3 was 4.80 km (Fig. 1). AAR4 was approximately 240 km farther offshore than AARs 1, 2 and 3 (Fig. 1). AARs 2 and 3 were deployed in succession on the same oceanographic mooring at 1118 m water depth (Table 1). Acoustic data collected off the Maud Rise (65° S, 2.5° E), eastern Weddell Sea, Antarctica (Shabangu & Charif 2020, Shabangu et al. 2020a,b) were also analysed for sperm whale clicks. No sperm whale clicks were detected in that dataset, thus it was not further analysed.

2.2. Detection of clicks

Sperm whale clicks were visually detected using spectrograms and verified aurally when clicks were visually identified in Raven Pro (Bioacoustics Research Program 2017). Broadband pulses of ‘usual’ clicks, ‘slow’ clicks (Fig. 2), ‘codas’ and ‘creaks’ were used to determine acoustic occurrence of sperm whales. Acoustic presence of sperm whales was defined as the detection of any type of click within a sampling interval. A sampling interval is the time in which acoustic data were recorded depending on the set sampling protocol (Table 1); for example, acoustic data were recorded for 30 min for AAR1. Acoustic presence of sperm whales was used to define the acoustic occurrence of whales. Percentage of acoustic occurrence was defined as the ratio of the number of sampling intervals with acoustic presence to the total number of sampling intervals, in a given time period. For example, monthly percentage of acoustic occurrence was defined as the ratio of sampling intervals with acoustic presence per month to the total number of sampling intervals recorded per month, which was later translated to seasonal acoustic occurrence for 3 consecutive months of a season. Likewise, seasonal diel percentage of acoustic occurrence was defined as the number of sampling intervals with sperm whale clicks for each hour of the day for that season divided by the total number of sampling intervals recorded for each hour of the day for that season. The number of days with sperm whale clicks was derived from all days with acoustic occurrence of sperm whales. We did not classify clicks to types, as this
study focused primarily on the acoustic presence of sperm whales.

Different daylight regimes were classified over different seasons in accordance with the altitude of the sun (dawn [nautical twilight], daytime, dusk [nautical twilight] and nighttime) by averaging hourly sun altitudes over austral seasons. Austral seasons of the year were used to parse the data into seasons: summer (December to February), autumn (March to May), winter (June to August) and spring (September to November). Hourly sun altitudes for each day of the year from 34° 22′ S, 17° 37′ E were used for all AAR locations because all AARs were on the same latitudinal position. Data on sun altitudes were obtained from the United States Naval Observatory Astronomical Applications Department (http://aa.usno.navy.mil). Nautical dawn was defined as the period when the centre of the sun was geometrically between 0 and

Table 1. Deployment details and recording settings of the 4 autonomous acoustic recorders (AARs) used in this study. AARs are numbered (ID) according to the order of their chronological deployment. Hydrophone sensitivities were obtained from the factory calibrations of the HTI-96-MIN hydrophones (High Tech). Dates are given as d/mo/yr

| AAR ID | Latitude (S) | Longitude (E) | Water depth (m) | AAR depth (m) | Sampling rate (Hz) | Sampling protocol (min h⁻¹) | Hydrophone sensitivity (dB re 1 V µPa⁻¹) | Start recording date | Stop recording date |
|--------|--------------|---------------|----------------|---------------|-------------------|--------------------------|------------------------------------------|---------------------|--------------------|
| AAR1   | 34° 22.21′   | 17° 37.69′    | 855            | 200           | 4096              | 30                       | -164.20                                  | 24/07/2014          | 01/12/2014         |
| AAR2   | 34° 23.64′   | 17° 35.66′    | 1118           | 300           | 4096              | 20                       | -163.90                                  | 16/09/2014          | 01/12/2015         |
| AAR3   | 34° 23.64′   | 17° 35.66′    | 1118           | 300           | 8192              | 25                       | -164.10                                  | 04/12/2015          | 01/01/2017         |
| AAR4   | 34° 30.36′   | 14° 58.81′    | 4481           | 200           | 8192              | 25                       | -164.20                                  | 04/12/2015          | 13/01/2017         |

Fig. 1. Deployment positions of autonomous acoustic recorders (AARs) off the west coast of South Africa, Atlantic Ocean. The flow direction of the cold Benguela Current (blue arrows) on the west coast, Atlantic Ocean, and the warm Agulhas Current (red arrows) on the east coast, Indian Ocean, are shown on the insert map. The study area is highlighted with a box in the insert map.
12° below the horizon before sunrise. Daytime was between sunrise and sunset, and nautical dusk was between sunset and the evening (defined as when the sun was less than 12° below the horizon). Night-time was when the geometric centre of the sun was over 12° below the horizon between dusk and dawn. Since time of day is a circular variable, we smoothed the mean diel sperm whale acoustic occurrence per season through penalized cyclic cubic regression splines (Wood 2017) in a generalized additive model (GAM; Guisan et al. 2002). Diel sperm whale acoustic occurrence per season was calculated as the acoustic presence per hour in a season divided by the total number of sampling intervals for each hour in that season. Welch’s 2 sample t-test was conducted to evaluate the inter-annual variability in percentage of acoustic occurrence between AARs 2 and 3 deployed on the same location over 2 consecutive years. GAMs were fitted using the ‘mgcv’ package (Wood 2001) in R (version 3.6.0; R Core Team 2019). Welch’s t-tests were implemented using the ‘DescTools’ package (Signorell et al. 2020) in R.

### 2.3. Click detection range modelling

This analysis sought to calculate the seasonal detection range of broadband mid-frequency signals in the Benguela ecosystem, which were used to determine the spatio-temporal scales to extract environmental conditions around each AAR. Detection here is defined as signals with signal-to-noise ratios (SNRs) of 0 dB or greater to be intelligible by conspecifics as found by Miller et al. (1951), since there are no established SNR detection thresholds for sperm whales. Seasonal calculations were made for 4 scenarios to represent the year-round conditions at each deployment site. Signals were defined so as to represent the characteristics of sperm whale clicks as observed in the recordings. SNRs were modelled at the Nyquist frequency of each AAR recording, which was either 2048 or 4096 Hz depending on the sampling rate (Table 1). We did not model detection ranges at the low end of the recording frequency band (300 Hz) as there were high noise levels (NLs) at those frequencies, and the majority of energy of
sperm whale clicks is located at higher frequencies. The signal SL was defined as 190 dB re 1 μPa (equivalent to an SL density of 157.7 dB re 1 μPa² Hz⁻¹ @ 1 m) at 2000 Hz, and 200 dB re 1 μPa (equivalent to an SL density of 164.3 dB re 1 μPa² Hz⁻¹ @ 1 m) at 4000 Hz. The receiving level (RL) was calculated using the SL and TL:

\[ RL = SL - TL \]

where RL is the receiving level (in dB), SL is the signal level (in dB), and TL is the transmission loss (in dB).

BELLHOP beam tracing model (Porter 2011). The recording’s Nyquist frequency. The band of interest was defined to be 300 Hz to the noise was roughly 300 Hz. Therefore, the frequency auto-spectra from all records seemed free of strum in NL estimate. The lowest frequency at which the CAARs 3 and 4. The calculation was at 2000 Hz, and 200 dB re 1 μPa (equivalent to an SL density of 164.3 dB re 1 μPa² Hz⁻¹ @ 1 m) at 4000 Hz frequency bands as determined by Zimmer et al. (2005). SL density (p) was defined as SL normalized by the frequency bandwidth (BW):

\[ SLp = SL - 10 \log_{10}(BW) \]  

where BW was either 2000–300 Hz (for CAARs 1 and 2) or 4000–300 Hz (for CAARs 3 and 4). The calculations were at 2000 and 4000 Hz instead of 2048 and 4096 Hz for simplicity, which should not make more than 0.1 dB difference and is also less than the error in NL estimate. The lowest frequency at which the auto-spectra from all records seemed free of strum noise was roughly 300 Hz. Therefore, the frequency band of interest was defined to be 300 Hz to the recording’s Nyquist frequency.

The transmission loss (TL) was computed using the BELLHOP beam tracing model (Porter 2011). The receiving level (RL) was calculated using the SL and TL:

\[ RL = SL - TL \]

To map the TL in Eq. (2) to a smooth model, the TL of the BELLHOP model (in dB) was modelled as:

\[ TL_R = a[10 \log_{10}(R)]^b + cR^2/10^4 \]

where R is the detection range (m) with the parameters a, b and c estimated via least-squares and a Nelder-Mead optimizer over the range of 1 to 90 km (wherever there are BELLHOP solutions). The standard errors for a, b and c were computed from the observed information matrix, and the fit was generally observed to be quite good.

Environmental parameters used in the BELLHOP model were an annual average sound speed derived from the World Ocean Atlas 2013 (Boyer et al. 2013) and the Thermodynamic Equation of Seawater - 2010 (www.teos-10.org), bathymetry from the Smith-Sandwell database (Smith & Sandwell 1997) and Thorp attenuation (Thorp 1967, Focke et al. 1982). Some environmental variables were either very smooth, or the quantity tabulated was very smooth. For example, the World Ocean Atlas is a long-term average which will smooth out daily, episodic and/or recent features. Furthermore, the atlas values are tabulated on a 2-dimensional (2-D) grid with a resolution of approximately 100 km. Modelling typically uses 2-D interpolation from the 4 nearest grid points to generate profiles at specific places. Unfortunately, for CAARs 1 to 3, which were all near the coast, several of these 'nearest' grid points were on land, and did not provide legitimate sound speed values, invalidating the 2-D interpolator. This problem affected all modelling locations near the moorings as well. As a proxy for the sound speed at the mooring, the climatology at −34.37° S, 16.0° E was chosen. As the modelling region was less than 80 km, which is under the resolution of the climatology, this sound speed profile was assumed to be valid throughout the modelling region. This location was chosen to not be a grid-registered location in order to include contributions from surrounding grid-registered values. Additionally, the extracted sound speed profiles did not go deep enough for modelling into the deeper open ocean. In all such cases, the sound speed profile was linearly extrapolated from the lowest 2 valid values down to 6000 m. Deeper levels do not change much from a depth-linear model, and the BELLHOP model also ignores values deeper than the local seafloor.

Given the tagging results of Watwood et al. (2006), vocalizing whales were assumed to be at depths of 200 and 800 m for CAAR1; 200 and 1100 m for CAARs 2 and 3; and 200, 1100 and 2700 m for CAAR4. We did not assume whales to be at depths around 4000 m for CAAR4, given the lack of evidence of whales vocalizing beyond 2700 m. A backstep of a few meters was applied to each CAAR, so that we did not start simulating from the seafloor. Depths of potential callers along bearings towards the coastline were typically not as deep as the moorings themselves, due to shoaling bathymetry in those directions. For example, within a few tens of km of the moorings, the water depth in the direction of the coast was only about 300 m, and decreasing. Therefore, TL (Eq. 3) was only modelled along a bearing of 225° from the mooring. This corresponds to a direction out into the southern Atlantic Ocean. The seafloor depth becomes greater along this bearing away from the mooring.

The SNR depends on the signal SL (provided above) and the ambient NLs at the CAAR (Fig. 3). The ambient NL was characterized very crudely by using 1 'sample' captured per season for each CAAR; data for only 2 seasons were available from CAAR1 (Table 1). Samples were 2.5 h long for CAAR1, 1.7 h long for CAAR2 and 1.3 h long for CAARs 3 and 4, and each sample consisted of multiple continuous sub-segments concatenated together by the CAAR software during data recording. Sub-segment durations are given in Table 1 as the sampling protocol for each CAAR. The raw data were corrected to in-water units using the fac-
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...ory-supplied hydrophone sensitivities (Table 1) and a gain of 22 dB. The sub-segments were processed independently in order to develop an estimate of the in situ ambient NL and a measure of the variability of the estimate. These estimates were then considered appropriate characterizations for the entire season. SLs of sperm whale clicks reported by Zimmer et al. (2005) were assumed to be derived from root mean square (RMS, i.e. broadband) measurements. The RL of RMS measurements can be computed by integrating the received signal spectral energy over the signal frequency band. Therefore, formally, the detection statistic should compare the received RMS energy to the noise spectral energy integrated over the same frequency band, which measures the degree of certainty with which the signal is detected. As a proxy for this calculation, a detection statistic was computed at the Nyquist frequency. Since ambient noise spectra were ‘spectral densities’ (Fig. 3), the SNR calculation used the levels at exactly these frequencies. The associated signal power was the SL density (Eq. 1). Near-ship contamination significantly changed the apparent NL error, for example in the case of AAR3 in winter.

2.4. Environmental data

We used sea surface temperature anomaly (SSTA), sea surface height anomaly (SSHA), chlorophyll a (chl a) and wind speed obtained from satellite data depositories to give an indication of the thermal, circulation and nutrient enrichment conditions around our AAR mooring positions. These variables were used as proxies for oceanographic features that are related to ocean productivity characterizing the Benguela ecosystem (Shannon 2009). Globally gridded products averaged over a daily temporal resolution were selected for all environmental variables; a summary description of each environmental variable used is given in Table 2.

Given the seasonal differences in sperm whale click modelled detection ranges between the AARs (Fig. 4), we treated each AAR position as an independent sampling point. To describe the variation in each environmental variable to which whales were likely exposed, we averaged environmental variables within the average seasonal detection ranges (Fig. 4) for each season from the deepest possible vocalizing depth, as sperm whales are known to produce most of their clicks at greater depths (e.g. Watwood et al. 2006). The seasonal radius of the average detection range around each AAR adjacent to the latitudinal and longitudinal grids of each AAR mooring position gave the spatial scale domain of environmental variable integration per season. For example, we averaged by 15 km grid (detection range at 800 m) for the AAR1 mooring in winter, where the values for four 15 km blocks adjacent to that location were averaged to obtain comparable daily environmental conditions within the AAR average detection range (Shabangu et al. 2019).

Less than 30% of daily chl a and wind speed data were missing for some seasons, and these gaps were filled by interpolation of available data from the day before or after the date with the missing environmental data, as there is a strong temporal and spatial autocorrelation in chl a (e.g. Kahru et al. 2012) and wind speed (e.g. Monahan 2012) data. Chl a was log transformed before any statistical analyses because of data skewness, and will henceforth be abbreviated as lchl a. Since environmental data from AAR1 were collected within the second half of 2014, smoothed mean monthly values of environmental variables for AAR1 plots were calculated by the locally weighted polynomial regression (i.e. non-circular smoothing)
using the function 'loess' (Cleveland et al. 1992) in R. Given that AARs 2, 3 and 4 contained year-round data, smoothed mean monthly values of environmental variables for plots were calculated through penalized cyclic cubic regression splines in GAMs.

### 2.5. Statistical data analyses

The relative effect and importance of 6 predictor variables (daylight regimes, month of the year, lchl a, SSHA, SSTA and wind speed) on the acoustic occurrence of sperm whales from the 4 AARs were investigated using 4 random forest (RF) models (Ho 1995, Breiman 2001). The RF model is an ensemble modelling approach applicable to a wide variety of issues such as classification, regression, time series and survival data with non-parametric inferential properties (Breiman 2001, Hastie et al. 2009, Kane et al. 2014). As a machine learning method, the RF modelling approach provides higher statistical performance (i.e. high prediction accuracy and low prediction error) and has considerable benefits over commonly used regression methods such as the generalized boosted regression trees model (Friedman et al. 2000, Shabangu et al. 2017) and GAMs (Elith et al. 2008, James et al. 2013, Shabangu et al. 2019), owing to its non-parametric inferential properties. The RF modelling approach uses a set of unpruned or unbootstrapped decision trees in the forest that are bootstrapped as they grow with sample training data, and rely on randomly chosen subsets of predictor variables as candidate splitting tree nodes (Breiman 2001, Hastie et al. 2009, James et al. 2013). It is particularly useful and preferred for this kind of data and specific analysis given its observed higher predictive capabilities for modelling acoustic occurrence of other marine mammals (Shabangu et al. 2017, 2019, 2020a,b). The relative importance of each predictor variable in the model was computed by permuting the out-of-the bag (OOB) data, where the prediction error is recorded for each tree as detailed in Shabangu et al. (2017, 2019). The OOB also represents the goodness-of-fit for each RF model.

Prior to RF modelling, the effects of multi-collinearity between predictor variables (daylight regimes, month of the year, lchl a, SSHA, SSTA and wind speed) were determined using generalized variance inflation factors (GVIFs; Fox & Monette 1992) implemented through the ‘car’ package (Fox & Weisberg 2019). Weak to moderate multi-collinearities were found between predictor variables for AAR1 (highest GVIF was 3.18), AAR2 (highest GVIF was 2.83) and
AAR3 (highest GVIF was 3.04). High multi-collinearity was found between month of the year and other predictor variables for AAR4 as the estimated GVIF value was 12.41 (indicating high monthly changes of variables), but the highest GVIF value dropped to 1.06 after month of the year was excluded as a predictor variable.

The area under the receiver operating characteristic curve (AUC) was used to estimate optimal parameter configurations for each RF model corresponding to each of the 4 AARs used to investigate the effect and importance of predictors on sperm whale acoustic occurrence. The AUC measured the predictive accuracy of a range of RF models with different combinations of the number of growing trees (ntrees; range: 500–3000 by increment of 500), the splitting minimum size of terminal nodes of trees (nodesize; range: 1–5) and the number of acoustic occurrences randomly selected at the tree node (mtry; range: 1–5). The AUC determined how well each model correctly classified the acoustic occurrence, taking values between 0.5 and 1 where values closer to 1 indicate excellent classification ability (DeLong et al. 1988). Values for optimal parameter configuration of RF models were determined using the ‘ranger’ package as a computational-time-saving method for the implementation of the RF models (Wright & Ziegler 2017). Optimal parameter configurations for each RF model corresponding to each of the 4 AARs are given in Table 3. Using the above derived optimal parameter configurations, RF modelling was performed in R using the ‘randomForest’ package (Liaw & Wiener 2002). To expand interpretability of RF model outputs, we computed p-values for the feature importance metric through permutation using a technique described by Altmann et al. (2010) to measure the significance of each predictor variable.

## 3. RESULTS

### 3.1. Click detection

All 4 types of sperm whale clicks were detected from all 4 AARs over the 31 months of deployment, indicating that all AARs were suitable for recording sperm whale clicks. ‘Usual’ clicks were the most commonly detected. A total of 13,135 h of acoustic data were recorded from all AARs deployed off the west coast of South Africa, and the total number of hours of data recorded by each AAR per season is given in Table 4. In terms of the total number of hours containing sperm whale clicks, AAR3 produced the most and AAR4 produced the fewest (Table 4). The highest seasonal percentage of hours of data with detected sperm whale clicks was 61% from AAR3 in spring, followed by 43% from AAR2 in summer and spring (Table 4). The highest number of days with detected sperm whale clicks was 287 (the majority of those days were in summer and spring) at AAR3, followed by 283 (134 in spring) at AAR2 (Table 4). Spring at AAR3 had the highest seasonal percentage (94%) of days with clicks, followed by AAR2 with 80% in spring. Although AAR4 had the highest number of recorded hours of data, it

![Fig. 4. Average detection ranges of sperm whale clicks at various vocalizing depths: autonomous acoustic recorder (AAR) 1 at 200 and 800 m, AAR2 at 200 and 1100 m, AAR3 at 200 and 1100 m, and AAR4 at 200, 1100 and 2700 m at 2000 (AARs 1 and 2) and 4000 (AARs 3 and 4) Hz. Error bars represent standard deviations](image)

| AAR ID | mtry | ntree | nodesize |
|--------|------|-------|----------|
| AAR1   | 5    | 2000  | 1        |
| AAR2   | 1    | 1000  | 1        |
| AAR3   | 5    | 2500  | 1        |
| AAR4   | 5    | 500   | 1        |
produced the lowest percentage and number of hours and days with detected sperm whale clicks (Table 4).

3.2. Click detection ranges

Overall, there was a strong negative correlation (Pearson’s r = −0.99) between ambient NL and modelled detection ranges. AAR2 generally had consistent and stronger noise; the modelled detection range was therefore consistently shorter (Figs. 3 & 4). Near-ships created large swings in ambient NL, specifically in winter, which was then reflected in large uncertainties in the modelled detection range for AAR3 in winter (Fig. 4). Modelled detection ranges changed seasonally for each AAR in relation to NLs, where the highest detection ranges for AARs 1 and 3 were in spring and those for AARs 2 and 4 were in summer (Fig. 4). AAR1 had the shortest average detection range of 15 km for whales vocalizing at 800 m depth in winter (Fig. 4), which was characterized by a high NL (Fig. 3). There was a slight, and sometimes significant, increase in detection range for deeper sources, mainly for AARs 3 and 4 in spring (Fig. 4). The average modelled detection range was greatest (78 km) for a source at 2700 m calling from AAR4 in summer (Fig. 4), although it should be noted that ambient NLs on AAR4 were generally the lowest (Fig. 3).

3.3. Environmental conditions around AARs

Environmental conditions varied monthly around AAR positions (Fig. 5). There was a higher overall lchl concentration around AAR1 than around other AARs (Fig. 5a,e,i,m). A higher SSHA was observed in September around AAR1 (Fig. 5b), in February around AARs 2 and 3 (Fig. 5f,j), and in April around AAR4 (Fig. 5n). Negative (cold) SSTA was observed in December around AAR1 (Fig. 5c), and from March through September around AAR2 (Fig. 5g). SSTA was positive (warm) for most months around AAR3 but dropped to 0°C between October and November (Fig. 5k), and a negative SSTA was observed between April and June, and between October and November around AAR4 (Fig. 5o). Monthly wind speeds were comparable for AARs 1 and 2 between July and December (Fig. 5d,h), and changed similarly between corresponding months for AARs 3 and 4 (Fig. 5l,p).

3.4. Observed temporal variability

Sperm whale clicks were detected year round with a considerably higher percentage of acoustic occurrence in summer and late winter through spring (Fig. 6). August through October had the highest percentage of acoustic occurrence for AAR1 (Fig. 6). Clicks were acoustically absent from AAR1 in July and December 2014 as the instrument was deployed.

Table 4. Seasonal number and percentage of hours of acoustic recordings containing sperm whale clicks for each autonomous acoustic recorder (AAR). Sampling protocols were summed to constitute hours; – represents instances when no acoustic data were collected

| AAR ID | Season | No. of hours recorded | No. of hours with clicks | % of hours with clicks | No. of days recorded | No. of days with clicks | % of days with clicks |
|--------|--------|------------------------|--------------------------|-----------------------|----------------------|------------------------|-----------------------|
| AAR1   | Summer | 10                     | 0                        | 0                     | 1                    | 0                      | 0                     |
|        | Autumn | −                      | −                        | −                     | −                    | −                      | −                     |
|        | Winter | 465                    | 91                       | 19.57                 | 39                   | 18                     | 46.15                 |
|        | Spring | 1092                   | 242.50                   | 22.21                 | 91                   | 52                     | 57.14                 |
| AAR2   | Summer | 715                    | 308.88                   | 43.20                 | 91                   | 69                     | 75.82                 |
|        | Autumn | 729                    | 122.76                   | 16.84                 | 92                   | 34                     | 36.96                 |
|        | Winter | 729                    | 180.18                   | 24.72                 | 92                   | 46                     | 50                    |
|        | Spring | 1317                   | 562.32                   | 42.69                 | 167                  | 134                    | 80.24                 |
| AAR3   | Summer | 1211                   | 476.28                   | 39.33                 | 120                  | 85                     | 70.83                 |
|        | Autumn | 927                    | 250.74                   | 27.05                 | 92                   | 62                     | 67.39                 |
|        | Winter | 927                    | 258.30                   | 27.86                 | 92                   | 55                     | 59.78                 |
|        | Spring | 917                    | 562.80                   | 61.37                 | 91                   | 85                     | 94.41                 |
| AAR4   | Summer | 1324                   | 100.80                   | 7.61                  | 132                  | 38                     | 28.79                 |
|        | Autumn | 927                    | 12.60                    | 1.36                  | 92                   | 5                      | 5.44                  |
|        | Winter | 927                    | 11.34                    | 1.22                  | 92                   | 5                      | 5.44                  |
|        | Spring | 917                    | 96.18                    | 10.49                 | 91                   | 34                     | 37.36                 |
towards the end and beginning of those months respectively. During the period of deployment overlap between AARs 1 and 2 in 2014, the average percentage of acoustic occurrence was 17% for AAR1 but 39% for AAR2. Two peaks in sperm whale acoustic occurrence were observed for AARs 2 and 3 in summer (December through February), and at the end of winter through spring (August through November) (Fig. 6). November and December produced the highest percentage of acoustic occurrence for AAR4 (Fig. 6). AAR2 had the highest percentage of acoustic occurrence in 2015, whilst AAR3 had the highest in 2016.
AAR4 had the lowest percentage of acoustic occurrence of all AARs (Fig. 6). There was no inter-annual variability in the acoustic occurrence of sperm whales between AARs 2 and 3 (p-value > 0.05; Fig. 6), indicating that whale acoustic occurrence did not change over time at this water depth. Nonetheless, AAR3 had a higher average percentage of acoustic occurrence of 40% over all months in 2016, whereas AAR2 had an average of 37% over all months in 2015. For AAR4, the average over all months in 2016 was 5%, while AAR1 had an average of 15% over all months.

Seasonal diel percentages of sperm whale acoustic occurrence were observed to be slightly higher during the daytime for AARs 2 and 3 in summer, and for AARs 1 to 3 in spring, but there was no clear diel pattern in autumn for any AARs (Fig. 7). In winter, sperm whale acoustic occurrence was observed to increase from around 07:00 h to dusk for AAR1, from 07:00 to 20:00 h for AAR 2, and from 07:00 to 17:00 h for AAR3 (Fig. 7). Diel percentage of acoustic occurrence did not change with time of day in any season for AAR4, although there was a slight increase in acoustic occurrence during the day for most seasons (Fig. 7).

### 3.5. Predictors of sperm whale acoustic occurrence

SSHA above 0.05 m, dawn, positive (warm) SSTA above 1.2°C, wind speeds below 9 m s⁻¹, lchl a values between 0.3 and 0.7 mg m⁻³, and month of the year (September and October) had the highest effect on sperm whale acoustic occurrence for AAR1 (Fig. 8a–f). Month of the year (January, February, August and September), low lchl a (around −1.8 mg m⁻³), dawn and dusk, wind speeds below 7 m s⁻¹, SSTA above 1°C and SSHA above 0.14 m had the highest effect for AAR2 (Fig. 8g–l). September and October, dawn and dusk, lchl a around 0 and 0.5 mg m⁻³, SSHA
above 0.14 m, wind speeds below 6 m s\(^{-1}\) and relatively warm SSTA around 1.2°C had the highest effect for AAR3 (Fig. 8m–r). Dawn and dusk, low lchl\(a\) levels (below \(-1\) mg m\(^{-3}\)), wind speed around 5 m s\(^{-1}\), SSHA around 0 m and negative SSTA around \(-1\)°C had the highest effect for AAR4 (Fig. 8s–w).

For AAR1 sperm whale acoustic occurrence, SSHA and daylight regime were the most important predictors, SSTA and wind speed were moderately important, and lchl\(a\) and month of the year were the least important (Fig. 8x). For AAR2, month of the year was the most important predictor, lchl\(a\), daylight regime and wind speed were moderately important, and SSTA and SSHA were the least important (Fig. 8y). For AAR3 sperm whale acoustic occurrence, month of the year was the most important predictor, daylight and lchl\(a\) were moderately important, and SSHA, wind speed and SSTA were the least important (Fig. 8z). Daylight regime was the most important predictor for AAR4, lchl\(a\) and wind speed were moderately important, and SSHA and SSTA were the least important (Fig. 8aa). All predictor variables were significantly important (Fig. 8x–aa), indicating that they are informative.

4. DISCUSSION

The year-round acoustic occurrence of sperm whales at most acoustic stations off the west coast of South Africa suggests that the high biological productivity (linked to environmental conditions such as lchl\(a\), SSTA, SSHA and wind speed) associated with the Benguela ecosystem upwelling regime can support high biomasses of prey to sustain the food requirements of these animals throughout the year. Best (1969) hypothesized that the lack of seasonal trend in sperm whale movement could be due to favourable oceanographic conditions on the west coast. Low acoustic occurrence of sperm whales in autumn could have potentially coincided with the seasonal rapid decline in biological productivity (characterized by low lchl\(a\), SSHA, SSTA and wind speed) off the South African west coast at this time of the year (Brown 1992, Shabangu et al. 2019, this study), which could have resulted in low prey biomasses. According to the RF models, these different environmental conditions differently but significantly influence the acoustic occurrence of whales at different AAR sites, indicating that environmental
Fig. 8. Relative effects and ranked relative importance of predictor variables on sperm whale acoustic occurrence from autonomous acoustic recorder (AAR) 1 (a−f, x), AAR2 (g−l, y), AAR3 (m−r, z) and AAR4 (s−x, aa) estimated by RF models. See Table 2 for definitions of variable abbreviations. WindSp: wind speed; chl a: log-transformed chl a. (a−w) y-axes are the partial effect of each predictor on the acoustic occurrence in logit scale; (x−aa) x-axes are the ranked relative importance of each predictor variable on call occurrence. ** predictor variable with significant (p < 0.05) importance.
variables significantly drive the seasonal occurrence of whales.

Variations in the acoustic occurrence of sperm whales between AARs might indicate variation in the spatio-temporal occurrence of sperm whales off the west coast of South Africa, where seasonal acoustic occurrence varied with the water depth at AAR deployment, month of the year, environmental conditions and seasonal changes in AAR detection ranges. For example, AARs 3 and 4 had the same sampling protocol and were deployed within the same period but at different water depths (1118 m for AAR3 versus 4481 m for AAR4); however, AAR3 produced more hours with sperm whale clicks than AAR4. Purdon et al. (2020b) found water depths between 750 and 1500 m and a distance to shore of approximately 130 km to have the highest effect on sperm whale distribution in the southern African region. Moreover, AAR3 had a higher detection range than AAR4 due to variations in ambient noise between the 2 positions. The same interpretation is not applicable to sperm whale click detection at AARs 1 and 2, since sperm whales produce more clicks in deeper waters (Watwood et al. 2006). Although AARs 3 and 4 had the same sampling protocols, AAR3 detected more clicks than AAR4, further endorsing a 1118 m water depth as crucial for this species. It also likely that a 300 m (AAR3) deployment depth is better than 200 m (AAR4), allowing more clicks to be recorded, since this is below the thermocline depth (Shabangu et al. 2020c).

The absence of sperm whale clicks from the AAR deployed off the Maud Rise (Shabangu & Charif 2020, Shabangu et al. 2020a,b) was somewhat unexpected as sperm whales have been sighted (Findlay et al. 2014) and acoustically recorded south of 65° to near the sea ice edge in the eastern Weddell Sea (Shabangu et al. in press). This acoustic absence of sperm whales off the Maud Rise might indicate that this area is not an important habitat for this species as it is covered by sea ice for most of the year from May through September (Shabangu & Charif 2020, Shabangu et al. 2020a,b). Sperm whales are known to avoid sea ice-infested waters (Jefferson et al. 1993, 2015). Our RF model results indicated that sperm whale acoustic occurrence was high for recorders (AARs 1–3) in environments characterized by warm SST compared to a recorder (AAR4) in an environment characterized by cooler SST (Fig. 8). Correspondingly, Purdon et al. (2020b) found sperm whale distribution to be influenced by warm SST. Results of Purdon et al. (2020) and the present study indicate that this species might be vulnerable to climate warming, not only in the high latitudes, but also in low latitude habitats such as the west coast of South Africa.
Given the observed seasonal patterns for the diel percentage of sperm whale acoustic occurrence for all AARs, the RF models classified daylight regime (dawn and dusk) either as the most or as a moderately important variable for all AARs, likely indicating elevated whale foraging activities during these transition periods in relation to diurnal migration patterns of prey. For some AARs, there was no variation in diel percentage of sperm whale acoustic occurrence for certain seasons, which could indicate that this whale species was foraging at all times of the day during those seasons (e.g. Best 1999, Davis et al. 2007). Variations in diel-vocalizing patterns of sperm whales per AAR could be due to the localized availability of prey and likely changes in the size of whales detected. Additionally, such diel and seasonal variations could be due to seasonal changes in NLs (Fig. 2) and oceanographic conditions affecting the position of the thermocline (Shabangu et al. 2020c). Similarly, Merkens et al. (2019) observed no single diel pattern across locations in the Pacific Ocean.

The BELLHOP model results indicated that AAR1 had a shorter average detection range of 15 km in winter, whilst AAR4 had the longest average modelled detection range of 78 km in summer. The maximum modelled detection range of 83 km for sperm whale clicks at the 1100 m animal vocalizing depth estimated for AAR3 in winter is more than twice that of the previous maximum detection range of 35 km reported by Mathias et al. (2013). Such big differences in the detection ranges of sperm whale clicks between our study and previous studies (e.g. Mathias et al. 2013, André et al. 2017) could be due to varying TL, sea state conditions (affecting NLs), SLs, recorder types, recorder depths, sound propagation models used and bathymetric properties of different regions. For example, Shabangu et al. (2020b) attributed the difference in detection ranges between their study and another study that used the same detection range estimation approach to the depth of the hydrophone in the water column, which resulted in different sound attenuation.

The seasonal variability in peaks of sperm whale acoustic occurrence coincided with changes in environmental variables, where summer and spring were characterized by high SSHA and wind speed but low SSTA. The RF model results showed that August and September had the most effect on sperm whale acoustic occurrence for AAR1; these months coincide with the increased primary productivity in the Benguela ecosystem in late winter and early spring (Andrews & Hutchings 1980, Brown 1992, Hagen et al. 2001). September and October were indicated by RF models to have more effect on sperm whale acoustic occurrence for AARs 2 and 3, likely due to the high primary productivity (high SSHA and wind speed but low SSTA) associated with spring in the Benguela ecosystem (Andrews & Hutchings 1980, Brown 1992, Hagen et al. 2001, Shabangu et al. 2019). Although month of the year was eliminated as a predictor from the RF model for AAR4 due to multicollinearity, September through December had the highest percentage of sperm whale acoustic occurrence. These months coincide with the known increased primary productivity in the Benguela ecosystem in spring and summer (Andrews & Hutchings 1980, Brown 1992, Hagen et al. 2001).

The increased sperm whale acoustic occurrence in summer and late winter through spring is comparable to the high sperm whale catches made in autumn and late winter through spring during the whaling era in this region off the west coast of South Africa (Best 1969). Sperm whale seasonal occurrence is likely to have changed slightly over time, as the first occurrence peak in summer is slightly earlier compared to that in autumn during the whaling era. Additionally, these observed variations in the seasonal acoustic occurrence of sperm whales between deployment sites could indicate the seasonal distribution change (i.e. migration) of males and females, as shown by whale catches off the west coast of South Africa (Best 1969, 1974, 2007). This pattern of 2 peaks in acoustic occurrence is different from those of Antarctic blue and fin whales (Shabangu et al. 2019), but comparable to that of Antarctic minke whales that likely dive to 300 m given the strong harmonics extending to 2 kHz detected by AAR2 positioned at 300 m (Shabangu et al. 2020a). Furthermore, the 2 peaks observed in our study might indicate northward migration in summer and southward migration in late winter through spring, as shown by seasonal whale abundance patterns off the Donkergat whaling station that depend on whale size and sex (Best 1969). These migration directions are different from those of baleen whales (e.g. Shabangu et al. 2019, 2020a,c), likely because the migration of these whales to the high latitudes is not dictated by sea ice conditions as they do not prey on the sea ice-dependent Antarctic krill *Euphausia superba*.

The RF models enabled us to explicitly interpret the relationship between the environmental conditions of the Benguela ecosystem and sperm whale acoustic occurrence. Such effects might indicate that sperm whales are dependent on the environmental conditions within the Benguela ecosystem. Changes in
these environmental conditions might lead to localized changes in seasonal abundances and distribution of sperm whale prey, which might in turn lead to changes in sperm whale occurrence as observed, for example, off the Galapagos Islands, Ecuador (Whitehead 1996) and in the Gulf of Alaska (Diogou et al. 2019).

5. CONCLUSIONS

The results of this research corroborate the effectiveness and benefits of using passive acoustic monitoring to provide information on the recent acoustic occurrence and behaviour of seldom-sighted marine mammals such as sperm whales. The observed year-round acoustic presence of sperm whales in South African waters indicates residency to a certain extent, and such occurrence is likely due to the high primary productivity in the west coast bioregion associated with the upwelling Benguela Current. The RF model results show that environmental conditions (chl a, SSHA, SSTA, wind speed, daylight regime and month of the year) significantly influenced the seasonal acoustic occurrence of sperm whales. This work indicates that sperm whales were acoustically present in high numbers in summer and late winter through spring, whereas diel-vocalizing patterns were detected in winter and spring and summer, updating and extending existing knowledge of seasonal occurrence and behaviour obtained from whaling statistics. These whales showed some preference for water depth around 1118 m, probably in relation to their prey distribution on the shelf edge and favourable environmental conditions characterizing this important habitat. Seasonal detection ranges varied between AARs, due to varying ambient NLs at different AAR deployment locations. Our highest maximum modelled detection range of 83 km is considerably farther than the 35 km previously reported in the literature. This is the first study to illustrate the seasonal acoustic occurrence and diel-vocalizing patterns of sperm whales in relation to environmental conditions off the west coast of South Africa based on empirical data, making it useful for the conservation and protection of the species in this region. The identified important habitats are fundamental for the conservation and protection of sperm whales from potential threats off the west coast of South Africa.

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