Combinatorial and Arithmetical Properties of Infinite Words Associated with Non-simple Quadratic Parry Numbers

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Abstract

We study arithmetical and combinatorial properties of \( \beta \)-integers for \( \beta \) being the root of the equation \( x^2 = mx - n \), \( m, n \in \mathbb{N}, m \geq n + 2 \geq 3 \). We determine with the accuracy of \( \pm 1 \) the maximal number of \( \beta \)-fractional positions, which may arise as a result of addition of two \( \beta \)-integers. For the infinite word \( u_\beta \) coding distances between consecutive \( \beta \)-integers, we determine precisely also the balance. The word \( u_\beta \) is the fixed point of the morphism \( A \rightarrow A^{m-1}B \) and \( B \rightarrow A^{m-n-1}B \). In the case \( n = 1 \) the corresponding infinite word \( u_\beta \) is sturmian and therefore 1-balanced. On the simplest non-sturmian example with \( n \geq 2 \), we illustrate how closely the balance and arithmetical properties of \( \beta \)-integers are related.

1 Introduction

In this paper, we focus on study of arithmetical and combinatorial properties of \( \beta \)-integers for \( \beta \) being a quadratic algebraic integer with positive norm. The notion of \( \beta \)-integer is related to greedy algorithm searching for the expansion of a real number \( x \) in base \( \beta > 1 \); this algorithm has been introduced in [23] by Rényi. The real number \( x \) is called \( \beta \)-integer if its \( \beta \)-expansion has the form \( \pm \sum_{k=0}^{\infty} x_k \beta^k \), i.e. if all of its coefficients at powers \( \beta^{-k} \) vanish for \( k > 0 \). The set of \( \beta \)-integers (denoted by \( \mathbb{Z}_\beta \)) equals in case of \( \beta \in \mathbb{N} \) to the set of integers \( \mathbb{Z} \). If \( \beta \) is not an integer, the set \( \mathbb{Z}_\beta \) has much more interesting properties:

1. \( \mathbb{Z}_\beta \) is not invariant under translation.
2. \( \mathbb{Z}_\beta \) has no accumulation points.
3. \( \mathbb{Z}_\beta \) is relatively dense (= distances between successive elements of \( \mathbb{Z}_\beta \) are bounded).
4. \( \mathbb{Z}_\beta \) is self-similar, i.e. \( \beta \mathbb{Z}_\beta \subset \mathbb{Z}_\beta \).

After the discovery of quasicrystals in 1982 [25], it has turned out that the set \( \mathbb{Z}_\tau \), where \( \tau = \frac{1 + \sqrt{5}}{2} \) is the golden mean, serves as a model describing coordinates of atoms in these materials with long-range orientational order and sharp diffraction images of non-crystallographic 5-fold symmetry. Later on, quasicrystals with other non-crystallographic symmetries have been found. In order to serve as a convenient model for quasicrystals, the set \( \mathbb{Z}_\beta \) must satisfy together with conditions 1. – 4. also another natural property, the so-called finite local complexity. In one-dimensional case, it means that there exist only a finite number of types of distances between successive elements of

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From results \cite{20, 22}, it follows that \( \mathbb{Z}_\beta \) has this property if and only if the Rényi expansion of unity in base \( \beta \) is eventually periodic. Such numbers \( \beta \) are called Parry numbers. It can be easily shown that every Parry number \( \beta \) is an algebraic integer, i.e. it is a root of a monic polynomial having integer coefficients. The task to describe which algebraic integers are Parry numbers has not been solved yet. It is known that each Pisot number is as well Parry. Let us remind that an algebraic integer \( \beta \) is a Pisot number if all of its conjugates have modulus less than 1. In case of \( \beta \) being a Pisot number, \( \beta \)-integers form the Meyer set, i.e. it holds

\[
\mathbb{Z}_\beta - \mathbb{Z}_\beta \subset \mathbb{Z}_\beta + F
\]

for a finite set \( F \subset \mathbb{R} \). Thus, the notion of Meyer set generalizes the notion of lattice, which is crucial for description of crystals. As we have already mentioned, in case of \( \beta \) being a Parry number, the set \( \mathbb{Z}_\beta \) disposes with a finite number of distances between neighbors. If we associate to different gaps different letters, it is possible to encode the set \( \mathbb{Z}_\beta \) as an infinite word \( u_\beta \) over a finite alphabet. Combinatorial properties of words \( u_\beta \) have been studied in several papers: \cite{12, 13} is devoted to description of factor complexity of \( u_\beta \), palindromes of \( u_\beta \) are described in papers \cite{3, 0}. So far the least studied problem is the balance of \( u_\beta \), i.e. the maximal difference in numbers of different letters in factors of the same length. Balance is clearly known for \( \mathbb{Z}_\beta \) which corresponds to sturmian words, i.e. for \( \beta \) being a quadratic unit. In \cite{27}, the balance property for \( u_\beta \), where \( \beta \) is the larger root of the quadratic polynomial \( x^2 - mx - n, m, n \in \mathbb{N}, m \geq n \geq 1 \), has been studied. For other types of irrationalities, the balance property has not been described yet.

The sets of ordinary integers and \( \beta \)-integers are very different also from the arithmetical point of view. \( \mathbb{Z}_\beta \) is not closed under addition and multiplication for any \( \beta \notin \mathbb{N} \). Sum of two \( \beta \)-integers may even not have a finite \( \beta \)-expansion. So far unsolved and likely very difficult is the question of characterization of those \( \beta \) for which this pathological situation does not appear. Mathematically expressed it means to describe \( \beta \) for which the set \( Fin(\beta) \), i.e. the set of numbers with finite \( \beta \)-expansion, is a subring of \( \mathbb{R} \). Frougny and Solomyak have shown in \cite{14} that the necessary condition for this so-called finiteness property is that \( \beta \) is a Pisot number. Some sufficient conditions can be found in \cite{2, 14, 19}. If sum or product of two \( \beta \)-integers has a finite \( \beta \)-expansion, there arises a question how long is the \( \beta \)-fractional part of the sum or product. This problem has been investigated in \cite{11, 7, 10, 15, 19}.

Here, the main attention is devoted to investigation of arithmetics of \( \beta \)-integers for a non-simple quadratic Parry number \( \beta \), i.e. for \( \beta \) being the root of the equation \( x^2 = mx - n, m, n \in \mathbb{N}, m \geq n + 2 \geq 3 \). We determine with the accuracy of \( \pm 1 \) the maximal number of \( \beta \)-fractional positions \( L_{\beta}(\beta) \), which may arise as a result of addition of two \( \beta \)-integers. So we improve considerably the estimate from the paper \cite{15}. We determine accurately also the balance of \( u_\beta \). On this easiest non-sturmian example, we illustrate how closely the arithmetical and combinatorial properties of \( \mathbb{Z}_\beta \) are related. Particulary, we show the relation between \( L_{\beta}(\beta) \) and the balance property. Our method might be applied also for determination of the balance property for words coding \( \beta \)-integers with irrationalities of a higher degree.

2 Preliminaries

An alphabet \( \mathcal{A} \) is a finite set of symbols called letters. A concatenation of letters is a word. The set \( \mathcal{A}^* \) of all finite words (including the empty word \( \varepsilon \)) provided with the operation of concatenation is a free monoid. The length of a word \( w = w_0w_1w_2 \cdots w_{n-1} \) is denoted by \( |w| = n \). We will deal also with infinite words \( u = u_0u_1u_2 \cdots \). A finite word \( w \) is called a factor of the word \( u \) (finite or infinite) if there exist a finite word \( \bar{w}^{(1)} \) and a word \( \bar{w}^{(2)} \) (finite or infinite) such that \( u = \bar{w}^{(1)}w\bar{w}^{(2)} \). The word \( w \) is a prefix of \( u \) if \( w^{(1)} = \varepsilon \). Analogically, \( w \) is a suffix of \( u \) if \( w^{(2)} = \varepsilon \). A concatenation of \( k \) words \( w \) will be denoted by \( w^k \), a concatenation of infinitely many finite words \( w \) by \( w^\omega \). An infinite word \( u \) is said to be eventually periodic if there exist words \( v, w \) such that \( u = vww^\omega \). A word which is not eventually periodic is called aperiodic. We will denote by \( \mathcal{L}(u) \) (language on \( u \)) the set of all factors of the word \( u \). \( \mathcal{L}_n(u) \) denotes the set of all factors of length \( n \) of the word
\[ \mathcal{L}(u) = \bigcup_{n \in \mathbb{N}} \mathcal{L}_n(u). \]

The measure of variability of local configurations in \( u \) is expressed by the factor complexity function \( \mathcal{C}_u : \mathbb{N} \to \mathbb{N} \), which associates with \( n \in \mathbb{N} \) the number \( \mathcal{C}_u(n) := \#\mathcal{L}_n(u) \). Obviously, a word \( u \) is eventually periodic if and only if \( \mathcal{C}_u(n) \) is bounded by a constant. On the other hand, one can show that a word \( u \) is aperiodic if and only if \( \mathcal{C}_u(n) \geq n + 1 \) for all \( n \in \mathbb{N} \). Infinite aperiodic words with the minimal complexity \( \mathcal{C}_u(n) = n + 1 \) for all \( n \in \mathbb{N} \) are called sturmian words. These words are studied intensively, several different definitions of sturmian words can be found in [8].

Another way how to measure the degree of variability in the infinite word \( u \) is the balance property. Let us denote the number of letters \( a \in A \) in the word \( w \) by \( |w|_a \). We say that an infinite word \( u \) is \( c \)-balanced, if for every \( a \in A \) and for every pair of factors \( w, \hat{w} \) of \( u \), with the same length \( |w| = |\hat{w}| \), we have \( |w|_a - |\hat{w}|_a \leq c \). Note that in the case of binary alphabet \( A = \{A, B\} \), this condition may be written in the simpler way as \( |w|_A - |\hat{w}|_A \leq c \). Sturmian words are characterized by the property that they are 1-balanced (or simply balanced) [21]. To determine the minimal constant \( c \) for which the infinite word is \( c \)-balanced is a difficult task. Adamczewski gives an upper bound on \( c \) for a certain class of infinite words. To describe his result, we must introduce the notion of morphism. A mapping \( \varphi \) on the free monoid \( A^* \) is called a morphism if \( \varphi(vw) = \varphi(v)\varphi(w) \) for all \( v, w \in A^* \). Obviously, for determining the morphism it suffices to give \( \varphi(a) \) for all \( a \in A \). The action of the morphism can be naturally extended on right-sided infinite words by the prescription

\[ \varphi(u_0u_1u_2\cdots) := \varphi(u_0)\varphi(u_1)\varphi(u_2)\cdots \]

A non-erasing morphism \( \varphi \), for which there exists a letter \( a \in A \) such that \( \varphi(a) = aw \) for some non-empty word \( w \in A^* \), is called a substitution. An infinite word \( u \) such that \( \varphi(u) = u \) is called a fixed point of the substitution \( \varphi \). Obviously, every substitution has at least one fixed point, namely

\[ \lim_{n \to \infty} \varphi^n(a). \]

To any substitution \( \varphi \) on the \( k \)-letter alphabet \( A = \{a_1, a_2, ..., a_k\} \), one can associate the so-called incident matrix \( M \) of size \( k \times k \) defined by

\[ M_{ij} := |\varphi(a_i)|_{a_j}. \]

The result of Adamczewski concerns infinite words \( u \) being fixed points of primitive substitutions. Recall that a substitution \( \varphi \) is primitive if there exists a power \( k \) of \( \varphi \) such that each pair of letters \( a, b \in A \) satisfies \( |\varphi^k(a)|_b \geq 1 \). In accordance with the Perron-Frobenius theorem, the incident matrix of a primitive substitution has one real eigenvalue greater than one, which is moreover greater than the modulus of all the other eigenvalues. This eigenvalue, say \( \Lambda \), is called the Perron eigenvalue of the substitution. In [11] it has been proved that if \( u \) is the fixed point of a primitive substitution with the incidence matrix \( M \), then \( u \) is \( c \)-balanced for some constant \( c \) if and only if \( |\Lambda| < 1 \) for all eigenvalues \( \lambda \) of \( M \), \( \lambda \neq \Lambda \).

### 2.1 Beta-expansions and beta-integers

Let \( \beta > 1 \) be a real number and let \( x \) be a positive real number. Any convergent series of the form:

\[ x = \sum_{i=-\infty}^{k} x_i \beta^i, \]

where \( x_i \in \mathbb{N} \), is called a \( \beta \)-representation of \( x \). As well as it is usual for the decimal system, we will denote the \( \beta \)-representation of \( x \) by

\[ x_kx_{k-1}\cdots x_0 \dot{x}_{-1}\cdots \quad \text{if } k \geq 0, \]
and
\[ 0 \cdot 0 \cdots 0 x_k x_{k-1} \cdots \text{ otherwise.} \]

If a $\beta$-representation ends with infinitely many zeros, it is said to be finite and the ending zeros are omitted. If $\beta \not\in \mathbb{N}$, for a given $x$ there can exist more $\beta$-representations.

Any positive number $x$ has at least one representation. This representation can be obtained by the following greedy algorithm:

1. Find $k \in \mathbb{Z}$ such that $\beta^k \leq x < \beta^{k+1}$ and put $x_k := \lfloor \frac{x}{\beta^k} \rfloor$ and $r_k := \{ \frac{x}{\beta^k} \}$, where $\lfloor x \rfloor$ denotes the lower integer part and $\{ x \} = x - \lfloor x \rfloor$ denotes the fractional part of $x$.

2. For $i < k$, put $x_i := [\beta r_{i+1}]$ and $r_i := \{ \beta r_{i+1} \}$.

The representation obtained by the greedy algorithm is called $\beta$-expansion of $x$ and the coefficients of a $\beta$-expansion clearly satisfy: $x_k \in \{ 1, \ldots, \lfloor \beta \rfloor - 1 \}$ and $x_i \in \{ 0, \ldots, \lfloor \beta \rfloor - 1 \}$ for all $i < k$, where $\lfloor x \rfloor$ denotes the upper integer part of $x$. We will use for $\beta$-expansion of $x$ the notation $\langle x \rangle_\beta$.

If $x = \sum_{i=-\infty}^{k} x_i \beta^i$ is the $\beta$-expansion of a nonnegative number $x$, then $\sum_{i=-\infty}^{k-1} x_i \beta^i$ is called the $\beta$-fractional part of $x$. Let us introduce some important notions connected with $\beta$-expansions:

- The set of nonnegative numbers with vanishing $\beta$-fractional part are called nonnegative $\beta$-integers, formally
  \[ \mathbb{Z}_\beta^+ := \{ x \geq 0 \mid \langle x \rangle_\beta = x_k x_{k-1} \cdots x_0 1 \} \]

- The set of $\beta$-integers is then defined by
  \[ \mathbb{Z}_\beta := (-\mathbb{Z}_\beta^+) \cup \mathbb{Z}_\beta^+ \]

- All the real numbers with a finite $\beta$-expansion of $\lfloor x \rfloor$ form the set $\text{Fin}(\beta)$, formally
  \[ \text{Fin}(\beta) := \bigcup_{n \in \mathbb{N}} \frac{1}{\beta^n} \mathbb{Z}_\beta. \]

For any $x \in \text{Fin}(\beta)$, we denote by $fp_\beta(x)$ the length of its fractional part, i.e.
\[ fp_\beta(x) = \min \{ l \in \mathbb{N} \mid \beta^l x \in \mathbb{Z}_\beta \}. \]

The sets $\mathbb{Z}_\beta$ and $\text{Fin}(\beta)$ are generally not closed under addition and multiplication. The following notion is important for studying of lengths of the fractional parts which may appear as a result of addition and multiplication.

- $L_{\oplus}(\beta) := \min \{ L \in \mathbb{N} \mid x, y \in \mathbb{Z}_\beta, x + y \in \text{Fin}(\beta) \Rightarrow fp_\beta(x + y) \leq L \}.$
- $L_{\otimes}(\beta) := \min \{ L \in \mathbb{N} \mid x, y \in \mathbb{Z}_\beta, xy \in \text{Fin}(\beta) \Rightarrow fp_\beta(xy) \leq L \}.$

If such $L \in \mathbb{N}$ does not exist, we set $L_{\oplus}(\beta) := \infty$ or $L_{\otimes}(\beta) := \infty$.

The Rényi expansion of unity simplifies description of elements of $\mathbb{Z}_\beta$ and $\text{Fin}(\beta)$. For its definition, we introduce the transformation $T_\beta(x) := \{ \beta x \}$ for $x \in [0, 1]$. The Rényi expansion of unity in base $\beta$ is defined as
\[ d_\beta(1) = t_1 t_2 t_3 \cdots, \quad \text{where} \quad t_i := \lfloor \beta T_{\beta}^{i-1}(1) \rfloor. \]

Every number $\beta > 1$ is characterized by its Rényi expansion of unity. Note that $t_1 = \lfloor \beta \rfloor \geq 1$. Not every sequence of nonnegative integers is equal to $d_\beta(1)$ for some $\beta$. Parry studied this problem in his paper [22]: A sequence $(t_i)_{i \geq 1}$, $t_i \in \mathbb{N}$, is the Rényi expansion of unity for some number $\beta$ if and only if the sequence satisfies
\[ t_j t_{j+1} t_{j+2} \cdots \prec t_1 t_2 t_3 \cdots \quad \text{for every } j > 1, \]
where \( \prec \) denotes strictly lexicographically smaller.

The Rényi expansion of unity enables us to decide whether a given \( \beta \)-representation of \( x \) is the \( \beta \)-expansion or not. For this purpose, we define the infinite Rényi expansion of unity

\[
d^*_\beta(1) = \begin{cases} 
    d_\beta(1) & \text{if } d_\beta(1) \text{ is infinite} \\
    (t_1 t_2 \cdots t_{m-1} (t_m - 1)) & \text{if } d_\beta(1) = t_1 \cdots t_m \text{ with } t_m \neq 0
\end{cases}
\]

(1)

Parry has proved also the following proposition.

**Proposition 2.1.** Let \( d^*_\beta(1) \) be an infinite Rényi expansion of unity. Let \( \sum_{i=-\infty}^k x_i \beta^i \) be a \( \beta \)-representation of a positive number \( x \). Then \( \sum_{i=-\infty}^k x_i \beta^i \) is a \( \beta \)-expansion of \( x \) if and only if \( x_i x_{i-1} \cdots \prec d^*_\beta(1) \) for all \( i \leq k \).

### 2.2 Infinite words associated with \( \beta \)-integers

If \( \beta \) is an integer, then clearly \( \mathbb{Z}_\beta = \mathbb{Z} \) and the distance between neighboring elements of \( \mathbb{Z}_\beta \) for a fixed \( \beta \) is always 1. The situation changes dramatically if \( \beta \in \mathbb{N} \). In this case, the number of different distances between neighboring elements of \( \mathbb{Z}_\beta \) is at least 2. In [26], it is shown that the distances occurring between neighbors of \( \mathbb{Z}_\beta \) form the set \( \{ \Delta_k \mid k \in \mathbb{N} \} \), where

\[
\Delta_k := \sum_{i=1}^{\infty} \frac{t_i + k}{\beta^i} \quad \text{for } k \in \mathbb{N}.
\]

(2)

It is evident that the set \( \{ \Delta_k \mid k \in \mathbb{N} \} \) is finite if and only if \( d_\beta(1) \) is eventually periodic.

When \( d_\beta(1) \) is eventually periodic, we will call \( \beta \) a Parry number. When \( d_\beta(1) \) is finite, it is said to be a simple Parry number. Every Pisot number, i.e. a real algebraic integer greater than 1, all of whose conjugates are of modulus strictly less than 1, is a Parry number [9].

From now on, we will restrict our considerations to the quadratic Parry numbers. The Rényi expansion of unity for a simple quadratic Pisot number \( \beta \) is equal to \( d_\beta(1) = pq \), where \( p \geq q \). Hence, \( \beta \) is exactly the positive root of the polynomial \( x^2 - px - q \). Whereas the Rényi expansion of unity for a non-simple quadratic Pisot number \( \beta \) is equal to \( d_\beta(1) = pq^r \), where \( p > q \geq 1 \). Consequently, \( \beta \) is the greater root of the polynomial \( x^2 - (p + 1)x + p - q \). Drawn on the real line, there are only two distances between neighboring points of \( \mathbb{Z}_\beta \). The longer distance is always \( \Delta_0 = 1 \), the smaller one is \( \Delta_1 \). Conversely, if there are exactly two types of distances between neighboring points of \( \mathbb{Z}_\beta \) for \( \beta > 1 \), then \( \beta \) is a quadratic Pisot number.

If we assign letters \( A, B \) to the two types of distances \( \Delta_0 \) and \( \Delta_1 \), respectively, and write down the order of distances in \( \mathbb{Z}^+_{\beta} \) on the real line, we naturally obtain an infinite word; we will denote this word by \( u_\beta \). Since \( \beta \mathbb{Z}^+_{\beta} \subset \mathbb{Z}^+_{\beta} \), it can be shown easily that the word \( u_\beta \) is a fixed point of a certain substitution \( \varphi \) (see [11]); in particular, for the simple quadratic Pisot number \( \beta \), the generating substitution is

\[
\varphi(A) = A^p B, \quad \varphi(B) = A^q,
\]

(3)

for the non-simple quadratic Pisot number \( \beta \), the generating substitution is

\[
\varphi(A) = A^p B, \quad \varphi(B) = A^q B.
\]

(4)

Let us remark that the matrices of these substitutions are \( \begin{pmatrix} p & 1 \\ q & 0 \end{pmatrix} \) and \( \begin{pmatrix} p & 1 \\ q & 1 \end{pmatrix} \), respectively, i.e. both substitutions are primitive. Therefore it follows from result [11] that there exists \( c \) such that \( u_\beta \) is \( c \)-balanced.

In the case of \( \beta \) being the root of \( x^2 - px - q \), i.e. \( \beta \) quadratic simple Parry number, the smallest possible constant \( c \) was found: In [27] it is shown that the infinite word generated by substitution (3) is \( (1 + ((p - 1)/(p + 1 - q))) \)-balanced. Also the values of \( L_\varnothing(\beta) \) have been quite precisely estimated in [15]:

\[
L_\varnothing(\beta) = 2p, \quad \text{if } q = p;
\]
\[
2 \left\lfloor \frac{p+1}{p-q+1} \right\rfloor \leq L_\oplus(\beta) \leq 2 \left\lceil \frac{p}{p-q+1} \right\rceil, \quad \text{if } q < p.
\]

In this paper, we consider therefore the arithmetical properties of \( \mathbb{Z}_\beta \) and associated infinite words \( u_\beta \) for \( \beta \) being the larger root of the equation \( x^2 - (p+1)x + p - q \).

### 3 Beta-arithmetics for non-simple quadratic Parry number

The aim of this section is to improve the upper bound on the number \( L_\oplus(\beta) \) for \( \beta \) having the Rényi expansion of unity equal to \( d_\beta(1) = pq^\omega \) for \( q \leq p - 1 \). In the case of \( q = p - 1 \), \( \beta \) is the larger root of the equation \( x^2 - (p+1)x + 1 = 0 \), thus \( \beta \) is a quadratic unit. For quadratic units in \( \mathbb{Z}[\beta] \), it is shown that \( L_\oplus(\beta) = L_\otimes(\beta) = 1 \). Let us focus on the case of \( q < p - 1 \). In [13], one can find the following estimates:

\[
L_\oplus(\beta) \leq 3(p+1) \ln(p+1) \quad \text{and} \quad L_\otimes(\beta) \leq 4(p+1) \ln(p+1).
\]

Here, the estimate on \( L_\oplus(\beta) \) will be improved. In [14] and in [4], it is shown that if \( d_\beta(1) = t_1t_2 \cdots t_m(t_{m+1})^\omega \) and \( t_1 \geq t_2 \geq \cdots \geq t_m > t_{m+1} \), then \( \text{Fin}(\beta) \) is closed under addition of positive elements. This fact implies that if a number \( x \) has a certain finite \( \beta \)-representation, then \( x \) has as well finite \( \beta \)-expansion. It follows from the definition of greedy algorithm that if \( x_kx_{k-1} \cdots x_0 \cdot \beta^{-1}x_{-2} \cdots \) is the \( \beta \)-expansion of \( x > 0 \) and \( \hat{x}_k \hat{x}_{k-1} \cdots \hat{x}_0 \cdot \hat{x}_{-2} \cdots \) is a \( \beta \)-representation of \( x \), then

\[
\hat{x}_k \hat{x}_{k-1} \cdots \hat{x}_0 \hat{x}_{-1} \hat{x}_{-2} \cdots \leq x_kx_{k-1} \cdots x_0x_{-1}x_{-2} \cdots
\]

Thus, the \( \beta \)-expansion of \( x \) is the lexicographically greatest \( \beta \)-representation of \( x \).

Let us limit our considerations to the special case of \( d_\beta(1) = pq^\omega \). The shortest and lexicographically smallest words that do not fulfill the Parry condition are the words \( (p+1) \) and \( pq^s(q+1) \), where \( s \geq 0 \).

Using the equation \( \beta^2 = (p+1)\beta - (p-q) \), one can easily obtain:

\[
(p+1) \cdot = 10 \cdot (p-q) \tag{5}
\]

\[
pq^s(q+1) \cdot = 10^{s+2} \cdot (p-q) \tag{6}
\]

Let us remark that on the right-hand side of the equations, there are already \( \beta \)-expansions.

Repeating the rules \( \text{[5]} \) and \( \text{[6]} \), it is possible to transform any finite \( \beta \)-representation of a number \( x \) into the \( \beta \)-expansion of \( x \). As we reduce the sum of digits in the \( \beta \)-representation by applying rules \( \text{[5]} \) and \( \text{[6]} \), after a finite number of steps we get the \( \beta \)-expansion.

**Example 3.1.** \( (p+2)q(q+1) \cdot = (p+1)00 \cdot + 1q(q+1) \cdot = 10(p-q)0 \cdot + 1q(q+1) \cdot = 11p(q+1) \cdot = 1200 \cdot (p-q) \)

On the other hand, the rules \( \text{[5]} \) and \( \text{[6]} \) raise the sum of digits on the right-hand side of the fractional point \( \bullet \). It means that the number of digits in the \( \beta \)-expansion of \( x \) on the right-hand side of \( \bullet \) is greater or equal to the number of digits in any \( \beta \)-representation of \( x \).

Therefore, the following fact holds true.

**Observation 3.2.** If \( x, y \geq 0 \) and \( x, y \in \text{Fin}(\beta) \), then \( fp_\beta(x+y) \geq fp_\beta(x) \).

The following lemma is the most important tool to estimate \( L_\oplus(\beta) \).

**Lemma 3.3.** Let \( x_kx_{k-1} \cdots x_0 \bullet \) be the \( \beta \)-expansion of a positive \( \beta \)-integer \( x \) and let \( l \in \mathbb{N} \). Then \( x + \beta^l \in \mathbb{Z}_\beta \) or there exists \( s \geq l \) such that
1. for $l = 0$,
   \[(x + \beta^l)_\beta = x_k \cdots (x_{s+1} + 1)0^{s+1} \cdot (p-q),\]

2. for $l \geq 1$,
   \[(x + \beta^l)_\beta = x_k \cdots (x_{s+1} + 1)0^{s-l+1}(x_{l-1} - q) \cdots (x_1 - q)(x_0 - q - 1) \cdot (p-q).\]

Proof. 1. For $l = 0$. Let us suppose that $x + \beta^0 = x + 1 \not\in \mathbb{Z}_\beta$. Then $x_k x_{k-1} \cdots (x_0 + 1)$ is not a $\beta$-expansion of $x + 1$. Therefore the suffix has the form $(p+1)$ or $pq^{s-1}(q+1)$, where $s \geq 1$. Applying the rule (6), resp. (7), the $\beta$-representation of $x + 1$ can be rewritten as
   \[x_k x_{k-1} \cdots x_1 (p+1) \cdot (p-q) = x_k \cdots x_2 (1+1)0 \cdot (p-q)\]

or
   \[x_k x_{k-1} \cdots x_{s+1} pq^{s-1}(q+1) \cdot (p-q) = x_k \cdots (x_{s+1} + 1)0^{s+1} \cdot (p-q).\]

Now, it suffices to show that the expressions on the right-hand side are already $\beta$-expansions, or, equivalently, they fulfill the Parry condition. It follows immediately from the fact that if $x_k \cdots x_1 p$ and $x_k \cdots x_{s+1} pq$ fulfill the Parry condition, then $x_k \cdots (x_1 + 1)0$ and $x_k \cdots (x_{s+1} + 1)0^{s+1}$ fulfill this condition, too.

2. For $l \geq 1$. Let us suppose that $x + \beta^l \not\in \mathbb{Z}_\beta$. Then
   \[x_k \cdots x_{l+1} (x_1 + 1) x_{l-1} \cdots x_0 \]

does not fulfill the Parry condition. There can be three reasons for that.

   (a) $x_l = q - 1,$

   (b) $x_l = p$,

   (c) $x_l = q$.

(a) Let $x_l = q - 1$. Denote $s = \min\{i > l \mid x_i = p\}$. Obviously, $x_i = q$ for all $i$, $s > i > l$.

Necessarily, $x_{s+1} < p$. If we knew that for all $i < l$ it holds $x_i \geq q$ and $x_0 \geq q + 1$, then we could apply the rule (6) for rearranging the $\beta$-representation of $x + \beta^l$ in the following way:

\[
x_k \cdots x_{s+1} pq^{s-1} x_{l-1} \cdots x_0 \cdot = (x_{l-1} - q) \cdots (x_1 - q)(x_0 - q - 1) \cdot + x_k \cdots x_{s+1} pq^{s-1}(q+1) \cdot = (x_{l-1} - q) \cdots (x_1 - q)(x_0 - q - 1) \cdot + x_k \cdots (x_{s+1} + 1)0^{s+1} \cdot (p-q) = x_k \cdots (x_{s+1} + 1)0^{s-l+1}(x_{l-1} - q) \cdots (x_1 - q)(x_0 - q - 1) \cdot (p-q)
\]

Since the last expression fulfills the Parry condition, we have obtained the $\beta$-expansion of $x + \beta^l$. Let us show that the conditions $x_0 \geq q + 1$ and $x_i \geq q$ for all $i < l$ are always true. Firstly, we prove that $x_i \geq q$ for all $i < l$. Let us prove it by contradiction. Let us denote by $i_0$ the maximal index $< l$ such that $x_{i_0} \leq q - 1$. Then, let us denote by $j_0$ the minimal index $> i_0$ such that $x_{j_0} \geq q + 1$. Such an index exists because (6) does not fulfill the Parry condition. Hence, the chain (7) has the following form:

\[
x_k \cdots x_{s+1} pq^{s-1} x_{l-1} \cdots x_{j_0+1} x_{j_0} q^{j_0 - i_0 - 1} x_{i_0} x_{i_0-1} \cdots x_0
\]

Using the rule (6), we get the $\beta$-representation of $x + \beta^l$ in the form:

if $j_0 > i_0 + 1$,

\[
x_k \cdots (x_{s+1} + 1)0^{s-l+1}(x_{l-1} - q) \cdots (x_{j_0+1} - q)(x_{j_0} - q - 1) pq^{j_0 - i_0 - 2} x_{i_0} x_{i_0-1} \cdots x_0
\]
if \( j_0 = i_0 + 1 \),
\[
x_k \cdot (x_{s+1} + 1)0^{s+1}(x_{t-1} - q) \cdots (x_{j_0+1} - q)(x_{j_0} - q - 1)(x_{i_0} + p - q)x_{i_0} \cdots x_0
\]

In both cases, these \( \beta \)-representations are already the \( \beta \)-expansions, thus we get a contradiction with the fact that \( x + \beta^l \not\in \mathbb{Z}_\beta \). Secondly, we show that \( x_0 \geq q + 1 \). Let us prove it again by contradiction. Let us suppose that \( x_0 = q \), then there exists \( t \geq 1 \) such that \( q^t \) is the suffix of the chain \( x_k \cdots x_0 \). Let us consider the maximal such \( t \). Then the \( \beta \)-representation of \( x + \beta^l \) has the following form:
\[
x_k \cdots x_{s+1}p q^{s-l}x_{l-1} \cdots x_{t+1}x_t q^t
\]
where \( x_i \geq q \) for all \( i \in \{ t+1, \ldots, l - 1 \} \) and \( x_t \geq q + 1 \). Applying the rule \( 8 \), we can rewrite the \( \beta \)-representation as
\[
x_k \cdots (x_{s+1} + 1)0^{s+1}(x_{l-1} - q) \cdots (x_{t+1} - q)(x_t - q - 1)p q^{t-1}
\]
which is a contradiction with \( x + \beta^l \not\in \mathbb{Z}_\beta \).

(b) Let \( x_t = p \). Then \( x_{l+1} < p \) and \( x_{l+1} \leq q \). Using the rule \( 9 \), we obtain
\[
x_k \cdots x_{s+1}(p + 1)x_{l+1} \cdots x_0 = x_k \cdots (x_{s+1} + 1)(x_{l+1} + p - q)x_{l-2} \cdots x_0
\]

Since \( x_k \cdots x_{s+1}x_0 = px_{l+1} \cdots x_0 \leq q^{s-l} \), we have \( x_{l+1} \cdots x_0 \leq q^{s-l} \), and, consequently, \( (x_{l+1} + p - q)x_{l-2} \cdots x_0 \leq q^{s-l} \). Thus, the expression on the right-hand side of \( 8 \) is already the \( \beta \)-expansion of \( x + \beta^l \), which is a contradiction with \( x + \beta^l \not\in \mathbb{Z}_\beta \).

(c) Let \( x_t = q \), then there exists \( t \geq 1 \) such that \( x_k \cdots x_0 = x_k \cdots x_{t+1}p q^{t-l}x_{l-1} \cdots x_0 \). The \( \beta \)-representation of \( x + \beta^l \) equal to \( x_k \cdots x_{t+1}p q^{t-l-1}(q + 1)x_{l-1} \cdots x_0 \) can be rewritten, using the rule \( 6 \), as
\[
x_k \cdots (x_{t+1} + 1)0^{t-l}(x_{l-1} + p - q)x_{l-2} \cdots x_0
\]

which is already the \( \beta \)-expansion of \( x + \beta^l \). Thus, we arrive again at a contradiction with \( x + \beta^l \not\in \mathbb{Z}_\beta \).

\[\square\]

**Proposition 3.4.** Let \( x, y \in \mathbb{Z}_\beta \), \( x \geq y \geq 0 \), and let all digits in the \( \beta \)-expansion of \( y \) be \( \leq q \). Then the \( \beta \)-fractional part of \( x + y \) is either 0 or \( \mathbb{Z}_\beta \).

**Proof.** We will proceed by induction on the positive elements of \( \mathbb{Z}_\beta \). For \( y = 1 \), the statement follows from Lemma \( 3.3 \) as well as for \( y = 2, \ldots, q \). Let \( y \geq q + 1 \), \( \langle y \rangle_\beta = y_i y_{i+1} \cdots y_0 \), where \( y_i \geq 1 \) and \( y_i \leq q \) for all \( i \in \{ 0, \ldots, l \} \). If \( x + \beta^l \in \mathbb{Z}_\beta \), then \( x + y = x + \beta^l \), and the statement follows by applying the induction assumption on \( y = y - \beta^l \leq y \). If \( x + \beta^l \not\in \mathbb{Z}_\beta \), then using Lemma \( 3.3 \) we get
\[
x + y = x + \beta + (y - \beta^l) = x_k \cdots (x_{s+1} + 1)0^{s-l}(y_{l-1}y_{l-1} - q) \cdots (x_0 + y_0 - q - 1)(p - q)
\]

According to Lemma \( 3.3 \), \( x_k \cdots (x_{s+1} + 1)0^{s-l}(x_{l-1} - q) \cdots (x_0 - q - 1)(p - q) \) is the \( \beta \)-expansion of \( x + \beta^l \). Moreover, \( y_{l-1} \leq q - 1 \) and \( x_{l-1} + y_{l-1} - q \cdots (x_0 + y_0 - q - 1) \leq x_{l-1} \cdots x_0 \). Consequently, the right-hand side of \( 11 \) is already the \( \beta \)-expansion of \( x + y \).

\[\square\]

It is known that if \( d_\beta(1) \) is eventually periodic, then the set \( \text{Fin}(\beta) \) is not closed under subtraction of positive elements. In our case, we have for instance: \( \beta - 1 = (p - 1) \cdot q^a \).

**Observation 3.5.** Let \( x \geq y \geq 0 \), \( x, y \in \mathbb{Z}_\beta \), then \( x - y \in \mathbb{Z}_\beta \) or \( x - y \not\in \text{Fin}(\beta) \).

To prove this statement by contradiction one assumes that \( x - y \in \text{Fin}(\beta) - \mathbb{Z}_\beta \), i.e. \( fp_\beta(x - y) \geq 1 \). Observation \( 3.2 \) implies that \( f p_\beta(x) = f p_\beta(x - y + y) \geq f p_\beta(x - y) \geq 1 \) and it is a contradiction with \( x \in \mathbb{Z}_\beta \).
Theorem 3.6. Let $d_\beta(1) = pq^w$. Then $L_{\oplus}(\beta) \leq \left\lceil \frac{\beta}{q} \right\rceil$.

Proof. Let $x, y \in \mathbb{Z}_\beta$ and $x, y \geq 0$. If $x - y \in \text{Fin}(\beta)$, then necessarily $f_p(x - y) = 0$, as we have mentioned in Observation 3.5. Consequently, it suffices to consider the addition $x + y$. Without loss of generality, we can limit to the case $x \geq y$. Apparently, $y$ can be written as:

$$y = y^{(1)} + y^{(2)} + \cdots + y^{(s)}$$

where $s \leq \left\lceil \frac{\beta}{q} \right\rceil$ and the digits of $y^{(i)}$ are $\leq q$ for all $i = 1, \ldots, s$. According to Proposition 3.8, if we add to a number of $\text{Fin}(\beta)$ a $\beta$-integer with small digits, the length of fractional part increases at most by 1. This proves the statement. \hfill \Box

As an immediate consequence of the previous proof, we have the following corollary.

Corollary 3.7. Let $x, y \in \mathbb{Z}_\beta$ and $x, y \geq 0$. Then there exists $\varepsilon \in \{0, 1, \ldots, \left\lfloor \frac{p}{q} \right\rfloor\}$ such that

$$x + y \in \mathbb{Z}_\beta + \varepsilon \frac{p - q}{\beta}.$$

3.1 An upper bound on the constant $c$ in the balance property of $u_\beta$

Corollary 3.7 allows us to derive an upper bound on the balance function of $u_\beta$. Let us remind that $u_\beta$ arises if we associate with the longer gap between neighboring $\beta$-integers the letter $A$ and with the shorter one the letter $B$. The length of the longer gap is $\Delta_A = 1$ and of the shorter one $\Delta_B = 1 - \frac{\varepsilon}{\beta}$.

Proposition 3.8. $u_\beta$ is $\left\lceil \frac{p}{q} \right\rceil$-balanced. Moreover, any prefix of $u_\beta$ contains at least the same number of letters $A$ as any other factor of $u_\beta$ of the same length.

Proof. Let $w$ be a factor of $u_\beta$ of the length $n$ and $\tilde{w}$ be the prefix of $u_\beta$ of the same length. Find $\beta$-integers $x$ and $y$, $x < y$, such that the sequence of distances between neighboring $\beta$-integers in the segment of $\mathbb{Z}_\beta$ from $x$ to $y$ corresponds to the factor $w$. Clearly,

$$y = x + |w|_A \Delta_A + |w|_B \Delta_B. \quad (10)$$

The prefix $\tilde{w}$ corresponds to the $\beta$-integer

$$z = |\tilde{w}|_A \Delta_A + |\tilde{w}|_B \Delta_B. \quad (11)$$

Corollary 3.7 implies that there exists $\hat{z} \in \mathbb{Z}_\beta$ such that

$$x + z = \hat{z} + \varepsilon(\Delta_A - \Delta_B), \text{ for } \varepsilon \in \{0, 1, \ldots, \left\lfloor \frac{p}{q} \right\rfloor\}. \quad (12)$$

Since $y, \hat{z} \in \mathbb{Z}_\beta$, it is possible to express the distance between $y$ and $\hat{z}$ as a combination of the lengths of gaps $\Delta_A$ and $\Delta_B$, i.e. there exist $L, M \in \mathbb{N}$ such that

$$\hat{z} - y = \pm (L \Delta_A + M \Delta_B). \quad (13)$$

Using (10), (11), and (12), we get

$$\hat{z} - y = x + z - x - |w|_A \Delta_A - |w|_B \Delta_B = (|\tilde{w}|_A - |w|_A - \varepsilon) \Delta_A + (|\tilde{w}|_B - |w|_B + \varepsilon) \Delta_B = (|\tilde{w}|_A - |w|_A - \varepsilon) \Delta_A - (|\tilde{w}|_A - |w|_A - \varepsilon) \Delta_B \quad (14)$$

In the last equation, we have used the fact that the factors $w$ and $\tilde{w}$ have the same lengths, and, consequently, $|\tilde{w}|_A - |w|_A = |w|_B - |\tilde{w}|_B$. As $\Delta_A = 1$ and $\Delta_B = 1 - \frac{\varepsilon}{\beta}$ are linearly independent over $\mathbb{Q}$, the expression of $\hat{z} - y$ in (14) as an integer combination of the lengths of gaps is unique. Since $L, M$ are nonnegative, from (13) and (14) it follows that $|\tilde{w}|_A - |w|_A - \varepsilon = 0$, i.e.

$$|\tilde{w}|_A = |w|_A + \varepsilon,$$

where $\varepsilon \in \{0, 1, \ldots, \left\lfloor \frac{p}{q} \right\rfloor\}$, which is exactly the statement of the proposition. \hfill \Box
4 Balance property of $u_\beta$

In the previous section, we have proved, using arithmetical properties of $\beta$-integers, that the infinite word $u_\beta$ is $\left\lfloor \frac{p-1}{q} \right\rfloor$-balanced. In this section, we will even show that $u_\beta$ is $\left\lfloor \frac{p-1}{q} \right\rfloor$-balanced, which is a better estimate in the case when $q$ divides $p - 1$. We will as well prove that this estimate cannot be improved. As a consequence, this result will be used to obtain a lower bound on $L_{\beta}(\beta) \geq \left\lfloor \frac{p-1}{q} \right\rfloor$.

At first, we state without any proof some trivial properties of the fixed point $u_\beta$ of the substitution. Let us recall it:

$$A \mapsto A^p B, \quad B \mapsto A^q B, \quad \text{for } p > q > 1.$$  

**Observation 4.1.** Let $BA^kB$ be a factor of $u_\beta$. Then $k = p$ or $k = q$. In particular, if $A^k$ is a factor of $u_\beta$, then $k \leq p$.

**Observation 4.2.** If $v$ is a finite factor of $u_\beta$, then $B\varphi(v)$ is also a factor of $u_\beta$.

**Observation 4.3.** Let $BvB$ be a factor of $u_\beta$. Then there exists a unique factor $w$ of $u_\beta$ such that $vB = \varphi(w)$.

Now we describe two sequences of factors of $u_\beta$ denoted by $(w_\beta^{(n)})_{n=1}^\infty$ and $(u_\beta^{(n)})_{n=1}^\infty$, whose behaviour fully determines the balance properties of $u_\beta$.

Let us define a sequence $(w_\beta^{(n)})_{n=1}^\infty$ recursively by

$$w_\beta^{(1)} = B, \quad w_\beta^{(n)} = B \varphi(w_\beta^{(n-1)}) \quad \text{for } n \in \mathbb{N}, \ n \geq 2.$$  \hspace{1cm} (15)

According to Observation 4.2, the words $w_\beta^{(n)}$ are factors of $u_\beta$. Note that the sequence $(w_\beta^{(n)})_{n=1}^\infty$ is strictly increasing.

Furthermore, we define sequence $(u_\beta^{(n)})_{n=1}^\infty$ by

$$u_\beta^{(n)} = \text{prefix of } u_\beta \text{ of the length } |w_\beta^{(n)}|.$$  

**Observation 4.4.** For all $n \in \mathbb{N}, n \geq 1$,

$$w_\beta^{(n+1)} = w_\beta^{(n)} \hat{u}^{(n)} B,$$

where $\hat{u}^{(n)}$ is a prefix of $u_\beta$.

**Proof.** By induction on $n$:

For $n = 1$, we have $w_\beta^{(2)} = B \varphi(w_\beta^{(1)}) = B \varphi(B) = B A^q B = w_\beta^{(1)} A^q B$; $\hat{u}^{(1)} = A^q$. Suppose that $w_\beta^{(n)} = w_\beta^{(n-1)} \hat{u}^{(n-1)} B$ and $\hat{u}^{(n-1)}$ is a prefix of $u_\beta$. Then

$$w_\beta^{(n+1)} = B \varphi(w_\beta^{(n)}) = B \varphi(w_\beta^{(n-1)} \hat{u}^{(n-1)} B) = B \varphi(w_\beta^{(n-1)}) \varphi(\hat{u}^{(n-1)}) A^q B = w_\beta^{(n)} \hat{u}^{(n)} B,$$

where $\hat{u}^{(n)} = \varphi(\hat{u}^{(n-1)}) A^q$ is a prefix of $u_\beta$ according to Observation 4.3.

**Observation 4.4** allows us to define an infinite word $w_\beta$ in $\mathcal{A}$ as

$$w_\beta = \lim_{n \to \infty} w_\beta^{(n)}.$$  

It follows from the definition of $w_\beta^{(n)}$ that this infinite word fulfills

$$w_\beta = B \varphi(w_\beta).$$  \hspace{1cm} (16)

Consequently, using Observation 4.3, we get the following observation.
Observation 4.5. Let \( w'B \) be a prefix of \( w_\beta \). Then the unique factor \( w'' \) of \( u_\beta \) satisfying \( w'B = B\varphi(w'') \) is a prefix of \( w_\beta \).

We know already from Proposition \([3,8]\) that prefixes of \( u_\beta \) are factors with the largest number of letters \( A \). The infinite word \( w_\beta \) plays the same role for letters \( B \).

Proposition 4.6. Any prefix of \( w_\beta \) contains at least the same number of letters \( B \) as any other factor of the same length.

Proof. We will prove the statement by contradiction. Let us assume that there exist a \( k \in \mathbb{N} \) and a factor \( v = v_0v_1v_2\cdots v_{k-1} \) of \( u_\beta \) such that \(|v|_B < |v|_B\), where \( w = w_0w_1w_2\cdots w_{k-1} \) is a prefix of \( w_\beta \). We choose the minimal \( k \) with this property. Then

\[
|v|_B = |w|_B + 1. \tag{17}
\]

Minimality of \( k \) implies that \( v_0 = B \), \( v_{k-1} = B \) and \( w_{k-1} = A \). The fact that \( w \) is a prefix of \( w_\beta \) which satisfies \([13]\), implies \( w_0 = B \). Thus \( v_{k-1} - qv_{k-q}\cdots v_{k-3}v_{k-2} = A^q \) according to Observation \([4,1]\) hence \( w_{k-1} - qw_{k-q}\cdots w_{k-3}w_{k-2} = A^q \) by virtue of minimality of \( k \). Observation \([4,1]\) together with the fact \( w_{k-1} = A \) imply that there is a uniquely determined integer \( j \) satisfying \( 0 \leq j \leq p - q - 1 \) such that \( wA^jB \) is a factor of \( u_\beta \). Since \( v_0 = B \), \( w_0 = B \) and \( v_{k-1} = B \), we may use Observation \([4,3]\) to deduce that there are unique factors \( v' \) and \( w' \) of \( u_\beta \) such that \( \varphi(v') = v_1v_2\cdots v_{k-1} \) and \( \varphi(w') = w_1w_2\cdots w_{k-1}A^jB, k \geq 1 \). Since \( \varphi(v') \) and \( \varphi(w') \) contain the same number of letters \( B \), clearly \(|v'| = |w'| < |w| \). Moreover, it follows from Observation \([4,5]\) that the factor \( w' \) is a prefix of \( w_\beta \). As \( \varphi(v') \) is shorter than \( \varphi(w') \), the word \( v' \) contains more letters \( B \) than \( w' \), which is a prefix of \( w_\beta \). It is a contradiction with the minimality of \( k \).

Lemma 4.7. Let \( v, v' \) be factors of \( u_\beta \) of the same length \( k \), let \( n \) be such a positive integer that \(|w_\beta^{(n)}| \leq k < |w_\beta^{(n+1)}|\). Then

\[
|v|_B - |v'|_B \leq |w_\beta^{(n)}|_B - |u_\beta^{(n)}|_B.
\]

Proof. Propositions \([3,8] \) and \([4,0]\) imply

\[
|v|_B - |v'|_B \leq |u'|_B - |u'|_B,
\]

where \( u' \) and \( w' \) are prefixes of \( u_\beta \) and \( w_\beta \), respectively, of length \( k \). Observation \([4,4]\) together with the assumption \( k < |w_\beta^{(n+1)}| \) implies that \( w' = w_\beta^{(n)}\hat{u} \) for some prefix \( \hat{u} \) of \( u_\beta \). Let us write the factor \( u' \) in the form \( u' = u_\beta^{(n)}\hat{v} \). Using Proposition \([3,8]\) we get

\[
|w'|_B - |u'|_B = |w_\beta^{(n)}|_B - |u_\beta^{(n)}|_B + |\hat{u}|_B - |\hat{v}|_B \leq |w_\beta^{(n)}|_B - |u_\beta^{(n)}|_B,
\]

which concludes the proof of the statement.

Lemma 4.7 will be very useful in the investigation of balance properties, since it enables us to find out the optimal balance bound of the word \( u \) from examining the sequence \((D_n)_{n=1}^\infty\), where

\[
D_n := |w_\beta^{(n)}|_B - |u_\beta^{(n)}|_B.
\]

In the sequel, we will show that the sequence \((D_n)\) has the form depicted in Figure 4 which shows that \( u_\beta \) is \([\frac{p}{q}-1]\)-balanced and that this bound cannot be diminished.

To determine the value of \( D_{n+1} \) using the value of \( D_n = |w_\beta^{(n)}|_B - |u_\beta^{(n)}|_B \), it is important to take in account that:

1. Since the number of letters \( A \) in the word \( u_\beta^{(n)} \) is by \( D_n \) greater than in \( w_\beta^{(n)} \), the length of \( \varphi(u_\beta^{(n)}) \) is by \((p-q)D_n\) letters longer than the length of \( \varphi(w_\beta^{(n)}) \).
2. \( u_{\beta}^{(n+1)} = B\varphi(u_{\beta}^{(n)}) \).

3. \( u_{\beta}^{(n+1)} \) is a prefix of \( u_{\beta} \) chosen so that \( |u_{\beta}^{(n+1)}| = |u_{\beta}^{(n+1)}| \).

4. Since \( u_{\beta} \) is the fixed point of the substitution, \( \varphi(u_{\beta}^{(n)}) \) is a prefix of \( u_{\beta} \) as well.

5. \( u_{\beta}^{(n+1)} \) can be obtained from \( \varphi(u_{\beta}^{(n)}) \) by erasing of its suffix of length \((p - q)D_n - 1\).

6. As the lengths of \( u_{\beta}^{(n)} \) and \( u_{\beta}^{(n)} \) are the same, \( \varphi(u_{\beta}^{(n)}) \) and \( \varphi(u_{\beta}^{(n)}) \) contain the same number of letters \( B \).

These six simple facts imply the following recurrence relation for the sequence \( (D_n) \):

\[
D_{n+1} = 1 + |v|_B, \quad \text{where } v \text{ is a suffix of } \varphi(u_{\beta}^{(n)}) \quad \text{and } |v| = (p - q)D_n - 1 \quad (18)
\]

Consequently, to determine the value of \( D_{n+1} \), one needs to know the form of the suffix of \( \varphi(u_{\beta}^{(n)}) \), hence the form of the suffix of \( u_{\beta}^{(n)} \).

**Proposition 4.8.** Let us denote by \( t := \left\lfloor \frac{p+q}{q+1} \right\rfloor \) and \( T = \left\lceil \frac{p-1}{q} \right\rceil \).

1. If \( n \leq t \), then \( D_n = n \) and \( u_{\beta}^{(n)} \) has the suffix \( A^{(n-1)q+n} \).

2. If \( t + 1 \leq n \leq T + 1 \), then \( D_n = n - 1 \) and the suffix of \( u_{\beta}^{(n)} \) is \( ApBA^{(n-1)(q+1)-p} \).

3. If \( T + 1 \leq n \), then \( D_n = T \) and \( u_{\beta}^{(n)} \) has the suffix \( A^{T-1} \).

**Proof.** Let us show how the statement 3. follows from 2. For \( n = T + 1 \) the statement 2. implies that

\( u_{\beta}^{(n)} \) has the suffix \( A^{T-1} \) and \( D_n = T \). \quad (19)

Let us use the rule (18) to calculate \( D_{n+1} \). The word \( \varphi(u_{\beta}^{(n)}) \) has the suffix

\[ \varphi(A^{T-1}) = \underbrace{(ApB)(ApB)\ldots(ApB)}_{(T-1)-\text{times}}. \]
Lemma 4.10. For equation holds because in our substitution it is enough to verify that inequality.

\[(p - q)T - 1 \geq (p + 1)(T - 2) + 1. \quad (20)\]

In order to prove that by erasing of the suffix of length \((p - q)T - 1\), there are still at least \(T - 1\) letters left in the word \(\varphi(A^{T-1})\), one has to show

\[(p + 1)(T - 1) - (p - q)T + 1 \geq T - 1. \quad (21)\]

Consequently, if we verify the equations (20) and (21), it will be proved that \(D_{n+1} = T\) and \(u^{(n+1)}_\beta\) has the suffix \(A^{T-1}\). It means by virtue of \(\text{Th} 4.9\) for index \(n\), we have shown the virtue for index \(n + 1\), thus, using induction, for all \(n \geq T + 1\).

Equation (21) is equivalent to \(T \geq \frac{p - 1}{q}\), which is evidently true for the choice of \(T = \lceil \frac{p - 1}{q} \rceil\).

Equation (20) is equivalent to \(T \leq \frac{2p}{q+1}\). By means of the fact which holds for positive integers \(a, b\)

\[
\left\lfloor \frac{a}{b} \right\rfloor \leq \frac{a}{b} < \frac{b - 1}{b},
\]

we get

\[
T = \left\lfloor \frac{p - 1}{q} \right\rfloor \leq \frac{p - 1}{q} + \frac{q - 1}{q} = \frac{p + q - 2}{q}.
\]

It is enough to verify that \(\frac{p + q - 2}{q} \leq \frac{2p}{q+1}\), which is equivalent with \((q + 1)(q - 2) \leq p(q - 1)\). This equation holds because in our substitution \(p \geq q + 1\).

The validity of 1. and 2. can be shown analogically by induction on \(n\).

As an immediate consequence of the recently proven proposition, we have the following essential theorem.

**Theorem 4.9.** The infinite word \(u_\beta\) is \(c\)-balanced, where \(c = \lceil \frac{p - 1}{q} \rceil\). This value \(c\) is the smallest possible.

### 4.1 A lower bound on \(L_\oplus(\beta)\)

To derive a lower bound on \(L_\oplus(\beta)\), we will use the fact that there exist a factor \(w\) and a prefix \(\hat{w}\) of \(u_\beta\) such that \(|\hat{w}|_A = |w|_A + \lceil \frac{p - 1}{q} \rceil\). Let \(x, y \in \mathbb{Z}_\beta\), \(x < y\), such that the gaps in the segment of \(\mathbb{Z}_\beta\) from \(x\) to \(y\) correspond to the word \(w\). And, let \(z \in \mathbb{Z}_\beta\) be the \(\beta\)-integer corresponding to the prefix \(\hat{w}\). Then

\[x + z = y + \left\lfloor \frac{p - 1}{q} \right\rfloor (\Delta_A - \Delta_B) = y + \left\lfloor \frac{p - 1}{q} \right\rfloor \frac{p - q}{\beta}.
\]

From Observation 3.2, it follows that

\[f_{p\beta}(x + z) = f_{p\beta}(y + \left\lfloor \frac{p - 1}{q} \right\rfloor \frac{p - q}{\beta}) \geq f_{p\beta}(\left\lfloor \frac{p - 1}{q} \right\rfloor \frac{p - q}{\beta}) \geq f_{p\beta}(\left\lfloor \frac{p - 1}{q} \right\rfloor \frac{p - q}{\beta}).
\]

Now, it suffices to show that \(f_{p\beta}(\left\lfloor \frac{p - 1}{q} \right\rfloor \frac{p - q}{\beta}) = \lceil \frac{p - 1}{q} \rceil\).

**Lemma 4.10.** For \(j = 1, \ldots, \left\lfloor \frac{p - 1}{q} \right\rfloor\), the \(\beta\)-expansion of the number \(j \frac{p - q}{\beta}\) is

\[
\left\langle j \frac{p - q}{\beta} \right\rangle_\beta = (j - 1) \cdot a_j \cdots a_1,
\]

where \(a_1 := p - q\) and \(a_i := (p - 1) - iq\) for \(i = 2, \ldots, \left\lfloor \frac{p - 1}{q} \right\rfloor\).
Proof. The numbers $a_i$ are defined so that $a_i \geq 0$ and $(j-1)a_ja_{j-1} \cdots a_1 < pq^{-1}$. Thus, the expression $(j-1) \cdot a_j \cdots a_1$ is the $\beta$-expansion of a positive number. Now, we have to show that

$$j \frac{p - q}{\beta} = j - 1 + \frac{a_j}{\beta} + \frac{a_j \cdot a_{j-1}}{\beta^2} + \cdots + \frac{a_1}{\beta^j},$$

which can be done easily by mathematical induction on $j$.

Lemma 4.10 confirms that $f_{p\beta} \left( \left\lfloor \frac{p - 1}{q} \right\rfloor \frac{p - q}{\beta} \right) = \left\lfloor \frac{p - 1}{q} \right\rfloor$, in other words, it implies the announced lower bound on $L_\oplus(\beta)$. To sum up, we have derived the following theorem.

**Theorem 4.11.** Let $d_\beta(1) = pq^{-1}$. Then

$$\left\lfloor \frac{p - 1}{q} \right\rfloor \leq L_\oplus(\beta) \leq \left\lceil \frac{p}{q} \right\rceil.$$

Let us mention that difference between the upper bound $\left\lceil \frac{p}{q} \right\rceil$ and the lower bound $\left\lfloor \frac{p - 1}{q} \right\rfloor$ is always 1. Our computer experiments support the conjecture $L_\oplus(\beta) = \left\lfloor \frac{p - 1}{q} \right\rfloor$.

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