Movement of individual humpback whales between wintering grounds of Oceania (South Pacific), 1999 to 2004

CLAIRE GARRIGUE1, ROCHELLE CONSTANTINE2, MICHAEL POOLE3, NAN HAUSER4, PHIL CLAPHAM5, MICHAEL DONOGHUE6, KIRSTY RUSSELL7, DAVE PATON7,8, DAVE K. MATTILA9, JOOKE ROBBINS10 AND C. SCOTT BAKER2,11

Contact e-mail: op.cetaces@lagoon.nc

ABSTRACT

The movement of individual humpback whales between regional breeding grounds of Oceania (South Pacific) was documented by individual identification photographs collected from 1999 to 2004. Photographs were collected with comparable effort across the six years in four primary island breeding grounds: New Caledonia, Tonga (Vava’u) the Cook Islands and French Polynesia (Mo’orea and Rurutu); with smaller effort in adjacent regions: Vanuatu, Fiji, Samoa, Niue and American Samoa. Interchange among wintering grounds was assessed first with all usable photographs included in each regional catalogue, representing 1,880 regional sightings (including within-region and between-region resightings) of 949 individual whales from Oceania. From this, 28 cases of movement between (mostly adjacent) regions were documented. Previously undocumented interchange was found between regions of central Oceania and the western South Pacific. No individual was sighted in more than two regions during this six-year period. The documented movement between regions was one-directional, except for one individual sighted first in French Polynesia, then in American Samoa and then back in French Polynesia (each in different years). Only one whale was resighted in more than one region during the same winter season. No directional trend was apparent and movement between regions did not seem to be sex specific. A systematic quality control review of all catalogues was then implemented to calculate standardised indices of within-region return and between-region interchange, resulting in a quality controlled catalogue of 776 regional sightings of 659 individuals. The standardised indices confirmed that the probability of between-region interchange was low, relative to within-region return, supporting the assumption of multiple management units or stocks in Oceania. The relative isolation of breeding regions and the movement of individuals across the longitudinal borders of Antarctic management Areas V and VI has important implications for the allocation of historical catches from the Antarctic and therefore, for assessing current levels of recovery for breeding stocks.

KEYWORDS: HUMPBACK WHALE; PHOTO-ID; MOVEMENTS; SITE FIDELITY; SOUTH PACIFIC; SOUTHERN HEMISPHERE

INTRODUCTION

Preliminary comparisons of humpback whales in the South Pacific through photographic catalogues and genetic analyses demonstrate fidelity to local wintering grounds, as well as a low level of migratory interchange among wintering grounds of Oceania, South Pacific (Garrigue et al., 2002; Olavarria et al., 2007; Baker et al., 2006b). In this paper the previously published information on individual movement between wintering grounds of Oceania is extended using photo-identification (photo-id) records collected during six winter seasons (1999–2004) in four primary and five secondary regions. All useable photographs were compared to document movement or interchange between regions. A thorough quality control review of all photographs was then implemented to calculate standardised indices of within and between region return. The fully reconciled (i.e. exhaustively compared) and quality controlled catalogue provided new insight into the migratory fidelity and interchange of individuals among breeding stocks E and F, as recognised by the IWC (Garrigue et al., 2006; IWC, 1998; Olavarria et al., 2007).

MATERIAL AND METHODS

Dedicated surveys of humpback whales in Oceania were conducted during the austral winters of 1999 to 2004, referred to as 'the synoptic years' in the four primary regions described below: New Caledonia, Tonga, the Cook Islands and French Polynesia (Fig. 1). Although photo-id records are available from previous years for most regions, comparisons described in this paper were restricted to these synoptic survey years. Surveys were conducted in only one or two seasons during the synoptic years in other adjacent regions: Vanuatu; Fiji; Samoa; Niue; and American Samoa, where surveys began in 2003 (Fig. 1).

Primary study sites

New Caledonia

The Exclusive Economic Zone (EEZ) waters of New Caledonia encompass over 1,450,000 km² between 18° and 23°S and between 158° and 172°E. Some whaling is known to have occurred in the Loyalty Islands of this region, although most of the effort was concentrated in the Chesterfield area (Townsend, 1935). Humpback whale
Surveys for humpback whales in the southern Cook Islands began with an exploratory three week project in 1998 and continued with a four-month field effort each winter from 1999 until 2004 (Hauser et al., 2000). To date the survey has been focused on three locations: (1) Palmerston Atoll, a small atoll lying at 18°04’S, 163°10’W on the north western margin of the Southern Cook group; (2) Aitutaki, an island located at 18°55’S, 159°47’W, roughly 300km east of Palmerston; and (3) Rarotonga, an island located at 21°14’S, 159°48’W, roughly 430km southeast of Palmerston. Other brief surveys included the islands of Atiu and Mangaia.

French Polynesia comprises five archipelagos (the Marquesas, the Tuamotu atolls, the Gambiers, the Society Islands and the Australs) in the central South Pacific Ocean. Sightings of humpback whales in French Polynesia’s waters have been noted since 1988 (Poole and Darling, 1999). The primary study area for fieldwork has encompassed the nearshore waters of the high island of Moorea in the Society Islands, lying at 17°30’S and 149°50’W, 18km northwest of Tahiti. Observational surveys of humpback whales in the nearshore waters of Moorea began in 1991. Additional shore- and boat-based observations of humpback whales began in 1999 at Rurutu in the Austral Islands. From 1999 to 2004 most of the field effort was concentrated around Moorea and Rurutu (Poole, 2002).

Individual identification and matching process
Humpback whales were individually identified in each region from photographs of the unique markings on the ventral surface of their tail flukes, i.e. photo-id (Katona et al., 1979). All photographs considered ‘usable’ (e.g. potentially matchable) by the regional coordinators were first matched within regions, leading to ‘reconciled’ regional catalogues of all annual sightings within regions and between years. These regional catalogues of unique individuals for the nine regions were then matched by exhaustive pair-wise comparisons during annual meetings of the South Pacific Whale Research Consortium (SPWRC). The number of between-region (within- and between-year) resightings were recorded. All between-region resightings were confirmed by three independent matchers. This initial comparison resulted in a fully reconciled, non-quality controlled catalogue, including all within-region (between-year) resightings and all between region (within- and between-year) resightings. Although it was assumed that usable photographs of marginal quality would be more difficult to match, no evidence of false matches (e.g. mismatches, Perry et al., 1990) was found in the subsequent quality control review of all catalogues (see below).
The results of this initial non-quality controlled comparison were reported to the IWC workshop on the Comprehensive Assessment of Southern Hemisphere humpback whales held in Hobart in April 2006 (SPWRC et al., 2006). Subsequent to this workshop, members of the SPWRC agreed to review all photographs following a set of quality control standards in order to minimise bias (i.e. missed matches, defined as a match that is not recognised because of poor quality photographs) in calculating return and interchange rates, as well as for subsequent estimates of abundance.

Quality control review
All images of the fully reconciled, non-quality controlled catalogue of Oceania were reviewed according to a standard set of quality control criteria originally developed for the SPLASH programme in the North Pacific (Calambokidis et al., 2008). This is a scoring system based on objective quality measures of the images, irrespective of distinctiveness of the fluke. It consists of five quality criteria, each of which is scored from the highest (1) to the lowest (5) quality. These five categories were: (i) proportion of the fluke visible; (ii) fluke angle; (iii) the lateral angle of the photographer; (iv) exposure quality; and (v) contrast quality, as described in Calambokidis et al. (2001). An image that received a score of four or five in any of the five quality categories was judged to be of insufficient quality for standardised comparison of within-region return rates and between-region resight rates. As recommended by Friday (1997) and Friday et al. (2000), the quality control review of all catalogues was undertaken by a single researcher familiar with cetacean photo-id (RC) in order to ensure consistency. Exhaustive matching of all regional catalogues using the quality control scores resulted in a single ‘quality controlled, fully reconciled’ catalogue for Oceania.

Return and interchange indices
The within-region return index and the between-region interchange index were calculated to evaluate the relative magnitude of migratory interchange (Garrigue et al., 2002) following Baker et al. (1986) and Calambokidis et al. (2001).

The return index of within-region annual resightings was calculated as:

\[ R_{ij} = \frac{M_{ij}}{(A_i \times B_i)} \times 1,000 \]

where

- \( A_i \) = number of whales marked in all the years before 2004;
- \( B_i \) = number of whales identified in 2004; and
- \( M_{ij} \) = number of whales marked in any previous years and resighted in 2004.

An interchange index of between-region resights was calculated as:

\[ R_{ij} = \frac{M_{ij}}{(A_1 \times B_2)} \times 1,000 \]

where

- \( A_1 \) = number of whales identified in region A;
- \( B_2 \) = number of whales identified in region B; and
- \( M_{ij} \) = number of whales identified in both regions.

The indices were considered to be zero when there were no whales were sighted within or between regions (i.e. when \( M_{ij} = 0 \)).

Indices were calculated for the synoptic period 1999–2004 using all photographs contained in the fully reconciled, quality controlled catalogue of Oceania.

RESULTS

Between-region resightings
The fully reconciled, non-quality controlled catalogue of Oceania represented 1,080 regional sightings (including within-region, between-year resightings) of 949 individually identified humpback whales in Oceania. This comparison provided 28 matches documenting movements between regions (Tables 1 and 2), of which 22 involved movement from or to Tonga, a central Oceania region represented by the largest catalogue. Of the 28 total, 9 corresponded to individuals observed in one of the four primary regions (mostly Tonga) and resighted in one of the secondary study regions (Vanuatu 3, Samoa 1, American Samoa 6, Niue 1). The other matches (\( n = 17 \)) were between Tonga and New Caledonia, the Cook Islands or French Polynesia. One individual was resighted during the same year in two regions (ID: TGVA9989-CIMn081999, Tonga and the Cook Islands). The majority of observed movements were uni-directional with one exception: an individual was sighted in French Polynesia, then in American Samoa and then back in French Polynesia (FP0003/AS012; Table 2). No individual was sighted in more than two regions during the six years of synoptic surveys.

Quality control review
Following the quality control review of the regional catalogues, 34% of the submitted photographs were judged to be of insufficient quality for standardised comparisons and were excluded from calculation of resighting rates (Table 3). The number of individual whales photographically identified

### Table 1

Movement by individual whales between regions before quality control (below diagonal) and after quality control (above diagonal, in italics).

| Regions       | NC | VT | FI | SA | TG | NI | CI | FP | AS |
|---------------|----|----|----|----|----|----|----|----|----|
| New Caledonia (NC) | 1  | 0  | 0  | 3  | 0  | 0  | 0  | 0  | 0  |
| Vanuatu (VT)   | 2  | 0  | 0  | 0  | 2  | 0  | 0  | 0  | 0  |
| Fiji (FI)      | 0  | 0  | 0  | 0  | 0  | 0  | 0  | 0  | 0  |
| Samoa (SA)     | 0  | 0  | 0  | 0  | 0  | 0  | 0  | 0  | 0  |
| Tonga (TG)     | 4  | 2  | 0  | 1  | 0  | 0  | 4  | 0  | 2  |
| Niue (NI)      | 0  | 0  | 0  | 0  | 0  | 0  | 0  | 0  | 0  |
| Cook Island (CI)| 0  | 0  | 0  | 0  | 0  | 7  | 1  | 0  | 0  |
| French Polynesia (FP)| 0  | 0  | 0  | 0  | 0  | 6  | 0  | 0  | 3  |
| American Samoa (AS)| 0  | 0  | 0  | 0  | 2  | 0  | 1  | 3  | 0  |
in each region after the quality control, and the number of individual whales resighted within region are presented in Table 3 (South Pacific Whale Research Consortium, 2001; 2002; 2003; 2004; 2005). The comparison of the fully reconciled, quality controlled catalogue of Oceania represented 776 regional sightings of 659 individual humpback whales in Oceania (Table 3). Of the 28 cases of between region movement documented in the non-quality controlled catalogue, 8 involved a photograph judged to be of unacceptable quality for calculating the standardised resighting indices (Table 1, upper diagonal). In each case, only one of the two photos was rejected from these matches (5 for Tonga, 2 for Cook Islands and 1 for American Samoa). Despite the lower quality of these photographs, there was no uncertainty in matches to the higher quality photographs and, thus, no uncertainty in the documentation of these individual movements.

**Within-region resightings and resighting indices**

In the quality-controlled catalogue of 776 regional sightings, 78 resightings were found between years within three of the primary regions. Between 1999 and 2004 a total of 33 individuals were resighted in New Caledonia representing 21% of the individually identified humpback whales during the same period (Table 3). In Tonga a total of 25 (9%) individual whales were resighted within the region. In French Polynesia, a total of 20 (13%) individual whales were resighted within the region (Table 3). All but one individual were resighted only once in Tonga and French Polynesia. More than one-third of the resighted individuals were observed more than once in New Caledonia with 11 individuals sighted three times and one sighted in four occasions. No individual was resighted between years in the Cook Islands (Table 3). These results lead to high within-region return indices for all primary regions except for Cook Islands (Table 4). No individual was resighted within the secondary regions in which sampling took place for more than one year (e.g. Samoa and American Samoa, Table 3).

Comparisons of the within- and between-region resight indices confirmed the previous reports of fidelity to individual breeding grounds (Table 4; Garrigue et al., 2001).
been conducted. If at least one of the regions has been attempted, it is also probable that humpback whales were resighted in regions of known historical abundance (e.g. Fiji and Samoa) during the six-year study. No within-region resighting was reported from the secondary study regions where numbers appear low. The highest percentage of within-region resightings was measured in New Caledonia (21%) and the lowest in the Cook Islands, where none were observed in the course of this study. 

The between-region resightings reported here contributed to previously reported observations of connections (see Introduction) and revealed several new connections, especially in central Polynesia. Tonga showed interchange with Samoa, American Samoa, the Cook Islands and French Polynesia. Similarly, French Polynesia showed interchange with American Samoa. In the western South Pacific exchange was documented between Vanuatu and both New Caledonia and Tonga. No individual whale was sighted in more than two regions and all observed movement was limited to adjacent regions. Most of the whales resighted between regions have been identified only once in each region, suggesting that these movements are transient or exploratory (Madon, 2010) rather than permanent dispersal events (see below).

Most (70%) of the between-region resightings were in the central South Pacific and involved Tonga, the Cook Islands, French Polynesia, American Samoa and Samoa. The others (30%) were observed in the western South Pacific and involved New Caledonia, Vanuatu and Tonga. No movements were documented between the central and western South Pacific during the six years of this study. However, photo-id records outside of the synoptic years and recent genotyping comparisons (South Pacific Whale Research Consortium, 2008) revealed the movement of one whale from New Caledonia (1998) to Tonga (2001) and then on to French Polynesia (2004) and one whale from New Caledonia (2000) to the Cook Islands (2007). Thus, it is clear that, although infrequent, there is movement on an ocean-wide scale over years. No directional trends were found in the movements. Half of the documented movement was in a westerly direction and half in an easterly direction. Sex information was available for eight of the whales that moved between regions; six males and two females. Even though the sample size is small, this suggests that movement is not sex-specific (although it might be sexed biased).

Overall, the level of movement of individuals between adjacent sites within Oceania, and the apparent transient nature of this interchange, is consistent with the significant levels of differentiation observed in mtDNA from these regions (Olavarria et al., 2007). Assuming Wright’s Island model of gene flow and a generation time of 18 years, we can set the threshold of differentiation (Fst) for nine female individuals per year among the breeding grounds of Oceania. These low levels of maternal gene flow and the relatively low rates of between-region interchange (relative to within-region return) have been calculated from quality control catalogues for the four primary breeding grounds of Oceania.

### Table 3

| Regions               | Years of sampling effort | Number of usable ID photos | Number of ID photos after quality control | Number of annual sightings | Number of individual whales resighted between years, within regions |
|-----------------------|--------------------------|---------------------------|------------------------------------------|---------------------------|---------------------------------------------------------------------|
| New Caledonia (NC)    | 1999–2004                | 185                       | 160                                      | 206                       | 33                                                                  |
| Vanuatu (VT)          | 2003                     | 6                         | 6                                        | 6                         | –                                                                  |
| Fiji (FI)             | 2002–03                  | 2                         | 2                                        | 2                         | 0                                                                  |
| Samoa (SA)            | 2001, 2005               | 2                         | 2                                        | 1                         | 0                                                                  |
| Tonga (TG)            | 1999–2004                | 422                       | 282                                      | 312                       | 25                                                                 |
| Niue (NI)             | 2000–01                  | 2                         | 2                                        | 2                         | 0                                                                  |
| Cook Island (CI)      | 1999–2004                | 90                        | 36                                       | 36                        | 0                                                                  |
| French Polynesia (FP) | 1999–2004                | 230                       | 159                                      | 180                       | 20                                                                 |
| American Samoa (AS)   | 2003–04                  | 39                        | 31                                       | 31                        | 0                                                                  |
| Unreconciled, non-quality controlled catalogue of Oceania | 1999–2004 | 949 | – | 776 | – |
| Unreconciled, quality controlled catalogue of Oceania | 1999–2004 | – | 679 | 776 | 78 |
| Total individuals in reconciled, quality controlled catalogue | 1999–2004 | – | 659 | – | – |

### DISCUSSION

The first information on movements of individual humpback whales in the South Pacific came from Discovery marking (Dawbin, 1959; 1964). However, given the pattern of marking and recovery, no exchanges between the islands of Oceania were revealed by this method. The first, and only previous records have come from photo-id studies initiated in the early 1990s (Abernethy et al., 1992; Garrigue et al., 2001; Hauser et al., 2000; Poole, 2002). The present study confirms that the majority of inter-annual resightings of humpback whales in Oceania occurred within regions (80% of the matches for the quality-controlled catalogue). This is an order of magnitude greater that the between-region interchange, with the exception of Tonga and the Cook Islands (Table 4) confirming both site fidelity and limited demographic exchange between breeding grounds (Garrigue et al., 2002). The rate of resightings varied within the four primary regions presumably due to local abundance. The highest percentage of within-region resightings was measured in New Caledonia (21%) and the lowest in the Cook Islands, where none were observed in the course of this six-year study. No within-region resighting was reported from the secondary study regions where numbers appear low and sample sizes were small. Although surveying of all regions of known historical abundance (e.g. Fiji and Samoa) has been attempted, it is also probable that humpback whales inhabit other regions of Oceania where sampling has not yet been conducted.

### Table 4

| Regions     | NC  | TG  | CI  | FP  |
|-------------|-----|-----|-----|-----|
| New Caledonia (NC) | 4.28 | 0.07 | 0.00 | 0.00 |
| Tonga (TG)   | 0.94 | 0.39 | 0.09 | 0.09 |
| Cook Islands (CI) | 0.00 | 0.00 | 0.00 | 0.00 |
| French Polynesia (FP) | 3.42 |     |     |     |
from the photo-id comparisons, suggest that populations of humpback whales wintering near or in New Caledonia, Tonga and French Polynesia are independent from each other (and from breeding grounds to the east and west), on a demographic time scale, and should be recognised as individual breeding stocks. This does not seem to be the case for the Cook Islands, which shows less evidence of isolation from neighbouring regions. Consequently, a comprehensive assessment of South Pacific stocks should, ideally, attempt to consider each of these primary breeding grounds independently in regards to current abundance, past catch rates and rates of increase. However, we recognise that such a fine-scale assessment might not be possible in the near term, given regional samples sizes available for estimating abundance and the uncertainty of allocating historical Antarctic catches. Other approaches should be explored, including aggregating regional catalogues for estimating abundance (Baker et al., 2006) and Bayesian modelling of catch allocation to two stocks, eastern Australia and Oceania (Jackson et al., 2008). In the longer term, a greater sampling effort for photo-identification and individual genotyping (Steel et al., 2008) is needed to better estimate abundance and interchange between breeding grounds and describing connections between Oceania and Antarctic feeding areas.

ACKNOWLEDGEMENTS

This large-scale comparison was made possible through the generous collaboration of members and affiliates of the South Pacific Whale Research Consortium (SPWRC), with the support of the International Fund for Animal Welfare (IFAW). For support in French Polynesia we thank West Marine Products, M. Poliza and the Starship Millennium Voyage, Office des Postes et Télécommunications, Sin Tung Hing Marine, Mercury Outboards, West Coast Whales Research Foundation, the Foundation Naturalia et Biologia, Hardy Jones-Julia Whitt Productions, the Raie Manta Club, Cine Marine, Eco-media Productions, Canal+, the BBC and the Conservation Action Fund. In the Cook Islands, we thank the Cook Island Government, T. Pryor, the people of Rarotonga, Aitutaki and Palmerston, J. Daeschler, H. Hauser and D. and J. Macrae. Surveys of humpback whales in New Caledonia were made possible by contributions of the Provinces Sud, North and Isles, Inco S.A. We thank Rémi Dodemont, Jacqui Greaves, Dominique Breitenstein, Veronique Dureau, Magaly Chambellant and all the volunteers that helped in the field. Field research was carried out by ‘Opération Cétacés’ under a permit issued by the Province Sud. Research in the Kingdom of Tonga was conducted under scientific permit from the Tongan Ministry of Fisheries and His Majesty, the King of Tonga, with funding from the International Whaling Commission, University of Auckland Research Council, Whale and Dolphin Conservation Society, South Pacific Regional Environment Program (SPREP), Whale and Dolphin Adoption Project, Pacific Development Trust of New Zealand and Cetacean Society International. We thank B. Abernethy, N. Patenaude, S. Childerhouse, N. Gibbs, T. O’Callaghan, C. Nichols, K. McLeod, A., N. and K. Baker, B. Todd, R. Barrel, the sailing vessel Nai’a and The Moorings-Tonga for field support. We acknowledge Natural Heritage Trust (Australia) for funding the survey in Vanuatu and Fiji proposed in 2003. We also wish to acknowledge the advice and assistance from Job Opu (Marine Mammals SPREP Officer) and Robyn McCulloch from Environment Australia (now DEWHA). For research in American Samoa, we thank the Government of American Samoa Division of Marine and Wildlife Resources, the Fagatele Bay National Marine Sanctuary and the National Park of American Samoa. Research activities were conducted under the authorization of NOAA fisheries permit 774-1437 and a scientific permit issued by the government of American Samoa. Finally, we thank the two anonymous reviewers for their comments that improved this paper.

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