INTRODUCTION

Cells, whether normal or malignant, have the ability to 'sense' low oxygen condition, probably via a heme flavo-oxidoreductase protein or even through hypoxia-stimulated release of reactive oxygen species from mitochondria (1), which activates a signaling pathway for the expression of the hypoxia-regulated genes. A widespread oxygen sensing system exists in mammalian cells. This regulates the expression of a diverse group of genes including erythropoietin, glucose transporters, glycolytic pathway enzymes, vascular endothelial growth factor (VEGF), transferrin, heme oxygenase, and inducible nitric oxide synthetase, many of which are known to be up-regulated in cancer (2). In tumor cell lines, hypoxia-regulated gene expression has been shown to involve the stabilization, nuclear accumulation, and DNA binding of the transcription factors hypoxia inducible factors (HIFs). These proteins are heterodimers consisting of two different hypoxia-inducible alpha subunits (HIF-1α and HIF-2α) and a common, constitutive beta subunits (HIF-1β) identical to aryl hydrocarbon nuclear receptor translocator (ARNT) (3, 4). The critical determinant of HIF activity is the level of HIF-1α or HIF-2α protein, since ARNT or HIF-1β is constitutively present (5). On exposure to hypoxia, both HIF-1α and HIF-2α proteins accumulate rapidly in the nucleus and bind to short DNA sequences called hypoxia-response elements near or in oxygen-sensitive genes, stimulating gene expression. Although the exact mechanism of oxygen sensing remains to be elucidated, the cell probably senses its oxygen concentration through reactive oxygen species, so that stabilization of HIF-1α or HIF-2α protein is said to be redox induced (6). With reoxygenation, they disappear and their cellular levels are determined mainly by the rate of ubiquitin-proteasomal degradation (7).

Regions of hypoxia are known to exist within many tumors, and the extent of tumor hypoxia correlates with prognosis in a number of tumor types (8, 9). Hypoxia in the tumor microenvironment is sufficient to activate HIF-dependent gene expression (10). A major role of HIF proteins in determining gene expression, tumor angiogenesis, and growth has been demonstrated in xenograft experiments with a cell line deficient in ARNT (11). Description of the distribution within human tissue of HIF-1α has primarily been of mRNA. But the nuclear accumulation of HIF-1α protein can be also detected immunohistochemically and has been shown to occur in human malignancies and their metastases (12). Recently Gatromanolaki et al. (13) reported that the immunohistochemi-
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MATERIALS AND METHODS

Eighty-four NSCLC samples used in this study were obtained from patients who underwent lobectomy or pneumonectomy for operable lung cancers. They all were diagnosed at the Department of Pathology, Pusan National University Hospital between 1998 and 2000. The tumors were composed of 45 cases of squamous cell carcinoma (SCC) and 39 of adenocarcinoma (AC).

The latter was composed of acinar or papillary AGs of peripheral type. Seven cases of them showed focal bronchioalveolar carcinoma (BAC) pattern. As for ACs with BAC pattern, separate subtyping was not performed because they were generally known to show similar biologic behavior with usual ACs. Resected lung tissues were fixed immediately in 10% buffered formalin (pH 7.0) and embedded in paraffin, and 4 µm-thick serial sections were prepared. For histopathological diagnosis, one of these sections was stained with hematoxylin-eosin. The others were used for immunohistochemistry. The pathological diagnosis was based on light microscopic examination, according to the WHO classification (14).

Immunohistochemical staining

Sections from paraffin-embedded blocks were transferred to poly-L-lysine coated glass slides and air-dried overnight at 37°C. They were dewaxed in xylene (three changes), rehydrated in a graded series of decreasing ethanol concentrations, and then rinsed in Tris-buffered saline (50 mM Tris/HCl, pH 7.4, containing 100 mM sodium chloride). For the blockage of endogenous peroxidase activity, sections were immersed for 20 min in methanol containing 0.3% hydrogen peroxide. Table 1 presents the summary of immunohistochemical panel used in this study. For the detection of HIF-1α, tissue sections were immersed in 10 mM/L citrate buffer (pH 6.0), and subjected to microwave irradiation for 5 min × 3. After antigen retrieval, a cooling-off period of 30 min was followed, and HIF-1α antibody was incubated with the tissue sections one hr at 20°C in moisture chamber. Thereafter, the catalysed signal amplification system (DAKO Co., Carpinteria, CA, U.S.A.) was used according to the manufacturer’s instructions. All other antibodies to CD34, VEGF and PCNA were used without antigen retrieval treatment. They were incubated with the tissue sections overnight at 4°C, and then immunohistochemical procedures were performed by Histostain Plus kit (Zymed Laboratories Inc., South San Francisco, CA, U.S.A.) using the standard streptavidin-biotin complex method. The reaction products were visualized by exposing sections to 3,3-diaminobenzidine for HIF-1α, and to 3-amino-9-ethylcarbazole for the others. Nuclei were lightly counterstained for about 20 sec with Meyer’s hematoxylin.

All immunohistochemical evaluation was performed by two independent observers. Interobserver variability was minimal (p<0.05 by z² test). HIF-1α staining was regarded as positivity if the tumor cells of more than 1% within tumor tissue showed completely darkly stained nuclei. Cytoplasmic staining, observed occasionally, was ignored because active HIF-1α is located only in the nucleus (15).

Angiogenic activity was assessed by estimating CD34-positive microvessels in the surrounding stroma of invasive tumor nests as described in the literature (16). In short, in four adjacent fields of vision in the most vascularized area, microvessels were counted at ×200 magnification using an Olympus microscope (BX 50, Olympus Optical Co., Japan), and then MVD was expressed as the mean value of microvessels/mm² for each case. For PCNA evaluation, we examined at least 500 tumor cells, to determine whether the nuclei of the cells were positive for the PCNA staining at high power (×400) after screening for areas of highest intense staining at low power (×100). We did not divide the

Table 1. Immunohistochemical panel used in this study

| Antigen | Antibody clone | Dilution | Antigen retrieval | Source          |
|---------|----------------|----------|------------------|-----------------|
| HIF-1α  | Mouse Mab (H1a67) | 1:1,000 | MW               | Novus*          |
| CD34    | Mouse Mab (QBEnd/10) | 1: 50 | ND               | NeoMarkers†     |
| VEGF    | Goat poly (A20) | 1: 50 | ND               | Santa Cruz‡     |
| PCNA    | Mouse Mab (PC10) | 1: 1,500 | ND               | Sigma Chemical Co., St. Louis, Mo, USA. |

MAb, monoclonal antibody; poly, polyclonal antibody; MW, microwaving with 10 mM/L citrate buffer, pH 6.0 (3 × 5 min); ND, not done; *, Novus Biological Inc., Littleton, CO, USA; †, NeoMarkers, Union City, CA, USA; ‡, Santa Cruz Biotechnology, Inc., Santa Cruz, CA, USA; †, Sigma Chemical Co., St. Louis, Mo, USA.
PCNA-expression level. Instead we used the percentage of PCNA-positive cells (PCNA index) for all analyses. For evaluation of VEGF expression a score corresponding to the sum of both (a) staining intensity (0, negative; 1, weak; 2, intermediate; 3, strong) and (b) percentage of positive cells (0, 0% positive cells; 1, <25% positive cells; 2, 26-50% positive cells; 3, >50% positive cells) was determined. Their sum reached a maximum score of 6. A score greater than 2 was regarded as positive (17).

Statistical analysis

To evaluate whether the elevated level of HIF-1α correlated with histologic types, VEGF expression, lymph node status, and clinical stages, we performed χ² test for trend. Associations between HIF-1α expression and PCNA index and MVD were analyzed with Student t-test. Within the cases of HIF-1α positive NSCLC, we also evaluated the relationship between histologic types and VEGF expression by χ² test and between histologic types and PCNA index and MVD by Mann Whitney U test. Finally with relation to histologic parameters including HIF-1α expression, histologic type, VEGF expression, MVD, and PCNA index, each survival curve was analyzed using the Kaplan-Meier methodology. Then the log-rank test was used to determine statistical differences between life tables. All analyses were performed with the SPSS statistical package on a personal computer, Release 10.0.1 (SPSS Inc., Chicago, IL). p values less than 0.05 were regarded as statistically significant. All statistical tests were two-sided.

RESULTS

Relationship between HIF-1α expression and clinico-pathologic parameters in SCCs and ACs of lung

Immunoreactivity of HIF-1α was found in 38 of 84 cases of pulmonary SCCs and ACs (45.2% in positivity). For histologic type, SCCs showed HIF-1α positivity in 30 out of 45 cases (66.7%), whereas ACs showed the positivity in 8 out of 39 cases (20.5%). HIF-1α expression was significantly different between the two histologic types (p<0.001; Table 2). Among

Table 2. Relationship between HIF-1α expression and clinico-pathologic parameters in squamous cell carcinomas and adenocarcinomas of the lung

| Parameters | Total (No. of cases) | HIF-1α | p value |
|------------|----------------------|--------|---------|
|            |                      | Negative | Positive |        |
| Histologic |                      |          |         |         |
| types      |                      |          |         |         |
| SCC        | 45                   | 15       | 30       | <0.001  |
| AC         | 39                   | 31       | 8        | 0.753   |
| LNM        |                      |          |         |         |
| Yes        | 34                   | 20       | 14       |         |
| No         | 47                   | 26       | 21       |         |
| Stage      |                      |          |         |         |
| I          | 39                   | 20       | 19       | 0.570   |
| II         | 14                   | 8        | 6        |         |
| III        | 28                   | 18       | 10       |         |
| VEGF       |                      |          |         |         |
| Negative   | 27                   | 18       | 9        | 0.131   |
| Positive   | 57                   | 28       | 29       |         |
| MVD (mm²)  |                      |          |         |         |
|            | 84                   | 17.8±10.1| 20.5±9.7 | 0.215   |
| PCNA (%)   |                      |          |         |         |
|            | 84                   | 46.2±22.0| 54.9±22.3| 0.077   |

SCC, squamous cell carcinoma; AC, adenocarcinoma; LNM, lymph node metastasis; MVD, microvessel density; * and †, expressed as mean±SD.

Fig. 1. Immunostaining for HIF-1α protein. (A) Squamous cell carcinoma shows dark brown nuclear staining, predominantly around necrotic area of the tumor (× 40). (B) Moderately differentiated adenocarcinoma reveals positive nuclear reaction in a randomly scattered fashion (× 100). N, necrotic area.
39 SCC cases showing HIF-1α positivity, 22 cases exhibited the positive reaction predominantly around necrotic zones of tumor nests (Fig. 1A), and the others revealed the positivity in both around necrosis and non-necrotic area. In comparison with SCCs, five of the positive eight cases of ACs revealed the positive reaction only in non-necrotic areas (Fig. 1B), and three of them showed the combined positive reaction in both non-necrotic areas and around necrotic zones of tumor nests (Table 3). In ACs the positive reaction displayed the tendency to occur in histologically poorly differentiated tumor portions. In both histologic types, HIF-1α positive tumor cells was focally scattered in small clusters. In contrast to SCCs, ACs more frequently showed cytoplasmic immunoreactivity which was regarded as negative reaction. Some non-neoplastic respiratory epithelial tissues neighboring to carcinomas also displayed focal nuclear positive reaction.

With the whole cases of this study, HIF-1α expression was not correlated with lymph node status, tumor stage, VEGF expression, MVD, or PCNA index (p>0.05, respectively; Table 2).

### Table 3. Distribution of HIF-1α staining in squamous cell carcinomas and adenocarcinomas of the lung

| Histologic types | Only around necrosis | Only non-necrotic area | Combined* |
|------------------|----------------------|------------------------|-----------|
| SCC              | 22                   | 0                      | 8         |
| AC               | 0                    | 5                      | 3         |

SCC, squamous cell carcinoma; AC, adenocarcinoma. *, positive nuclear staining presenting in both around necrosis and non-necrotic area.

### Table 4. VEGF expression, MVD, and PCNA index in squamous cell carcinomas and adenocarcinomas of the lung

| Histologic types | VEGF (No. of cases) | MVD (mm²) | PCNA (%) |
|------------------|---------------------|-----------|----------|
|                  | Negative | Positive | 21.7±9.4 | 16.0±9.8 | 24.9±21.6 |
| SCC              | 14       | 31       | 55.6±21.9 | 43.9±21.6 |
| AC               | 13       | 26       | 54.2±20.7 | 42.2±24.4 |
| p value          | 0.828    | 0.009    | 0.016    |

SCC, squamous cell carcinoma; AC, adenocarcinoma; MVD, microvessel density.

### VEGF expression, MVD, and PCNA index according to SCCs and ACs of lung

Immunoreactivity for VEGF showed no association between the two histologic types (68.9% in SCCs vs 66.7% in ACs, p=0.828; Table 4). In the positive cases the reaction was noted in the cytoplasm of tumor cells over the large areas of tumors (Fig. 2). In addition to tumor cells, stromal cells also displayed positive reaction. CD34 immunoreactivity for MVD was heterogeneous, with no difference between the central

### Table 5. VEGF expression, microvessel density, and PCNA index according to HIF-1α positive squamous cell carcinomas and adenocarcinomas of the lung

| Histologic types | VEGF (Positivity, %) | MVD (mm²) | PCNA (%) |
|------------------|----------------------|-----------|----------|
| SCC              | 24/30 (80.0)         | 21.5±8.5  | 54.2±20.7 |
| AC               | 5/8 (62.5)           | 12.7±7.4  | 42.2±24.4 |
| p value          | 0.363                | 0.023     | 0.227    |

SCC, squamous cell carcinoma; AC, adenocarcinoma; MVD, microvessel density.

Fig. 2. Immunostaining for VEGF. (A) Squamous cell carcinoma shows reddish brown cytoplasmic staining in a diffuse fashion (×200). (B) Adenocarcinoma reveals the positive cytoplasmic reaction in a rather focal pattern (×200).
and marginal tumor areas. MVD revealed a significant difference between the two histologic types (21.7 ± 9.4 microvessels/mm² in SCCs vs 16.0 ± 9.8 microvessels/mm² in ACs, \( p = 0.009 \); Fig. 3; Table 4). The PCNA index also showed a significant difference between the two histologic types (55.6 ± 21.9% in SCCs vs 43.9 ± 21.6% in ACs, \( p = 0.016 \); Fig. 4; Table 4).

**Fig. 3.** Immunostaining for CD34. (A) Squamous cell carcinoma shows increased CD34-positive microvessels in the interfaces between carcinoma nests and stroma (× 100). (B) Adenocarcinoma also reveals increased CD34-positive microvessels around the tumor (× 100).

**Fig. 4.** Immunostaining for PCNA. (A) Squamous cell carcinoma shows diffuse strong positivity in the nuclei of the tumor cells (× 100). (B) Adenocarcinoma reveals the positive nuclear reaction in a rather focal pattern (× 100).

**VEGF expression, MVD, and PCNA index according to HIF-1α positive SCCs and ACs**

VEGF expression was present in 24 of 30 cases (80.0%) of HIF-1α positive SCCs, and in 5 of 8 cases (62.5%) of HIF-1α positive ACs. Compared with HIF-1α positive AC, HIF-1α positive SCCs showed the tendency of higher VEGF expression, but there was no association between histologic types.
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(p=0.363; Table 5). MVD was significantly higher in HIF-1α positive SCCs than in HIF-1α positive AGs (p=0.023; Table 5). The PCNA index turned out to exhibit no difference between the two histologic types showing HIF-1α positivity (p=0.227; Table 5).

Survival analysis

During the course of this study (maximal follow-up, 132 months; minimal follow-up, 1 month; median follow-up, 23 months), 9 cases were lost to follow-up. In this analysis all patients died of the disease, and data on postoperative treatment were not available. As for MVD and PCNA index, we used the median values (17.8 microvessels/mm² and 43.0%, respectively) as cut-off points in the univariate analysis of survival.

Firstly, with regard to HIF-1α expression, 14 of the positive 34 patients were censored and 20 died (mean and median survival times, 47.5 and 29.0 months, respectively). Nine of the negative 41 patients were censored and 32 died (mean and median survival times, 36.9 and 24.0 months, respectively).

The overall survival was not associated with HIF-1α expression (p=0.442 by log-rank test). It also had no relation with histologic types, VEGF expression, MVD, or PCNA index (p=0.672, p=0.277, p=0.890, and p=0.573 by log-rank test, respectively).

**DISCUSSION**

HIF-1α protein is known to activate the transcription of genes encoding transferrin, VEGF, endothelin-1, and inducible nitric oxide synthetase, which are implicated in vasoilidation, neovascularization, and tumor metastasis, and plays an essential role in oxygen homeostasis (2, 18, 19). In the present study, we investigated the differential expression of HIF-1α protein among common histologic types of NSCLC. In the cases of SCC, HIF-1α positive cells were predominantly located around tumor necrosis. The predominant perinectrotic expression of HIF-1α protein indicates that the hypoxic tumor microenvironment may directly contribute for induction of HIF-1α activity in these cancers. Nevertheless, some positively staining cells were also present in non-necrotic tumor nests. In the lower parts of columnar or squamous metaplastic epithelium neighboring to the tumor, the focal expression of HIF-1α protein was also observed, which would be consistent with the presence of low pH and hypoxia. In the cases of AC, however, it was interesting that the increased level of HIF-1α protein was noted more frequently in poorly differentiated areas than in necrotic areas. This finding may reflect the existence of alternative regulatory modes of HIF-1α expression. Altered patterns of gene expression in cancer could arise both from genetic alterations in the tumor cells and from stimulation by an abnormal microenvironment within the tumor. As a matter of fact, a growing line of evidence indicates that both oncogene activation and tumor suppressor gene inactivation are also associated with increased HIF-1α expression (20-22). In other words the persistent HIF-1α expression in poorly differentiated ACs may show the emergence of an aggressive phenotype with high oxygen consumption as a result of the transformation itself and not of the hypoxic environment. HIF-1α expression has been shown to be enhanced by v-src (20) and in response to several growth factors, including insulin-like growth factors (IGFs) 1 and 2, basic fibroblast growth factor, and epidermal growth factor (23). Activation of the phosphatidylinositol 3-kinase/AKT/FRAP pathway, which mediates signals from a broad range of growth factors, has likewise been demonstrated to increase HIF-1α expression (24). Though the precise mechanism of these interfaces with the hypoxia-sensitive pathway is still not clear, those findings suggest a more general influence of growth-promoting stimuli on HIF-1α activity. Thus, our results indicate that there may exist a different molecular event in tumor progression between SCCs and ACs.

Although the HIF-1α protein is commonly up-regulated in a variety of cancers, the positive staining is known not to be universal. At present there is no certain explanation for this discrepancy. However, the prolonged fixation on pellets of hypoxic cells is known to substantially compromise antigen detection, so that failure to stain some tumors might be artifical. In the survey of tissue culture cells by immunoblot analysis, Wiesener et al. (4) found that under maximal hypoxic stimulation, all cells had detectable levels of at least one HIFα subunit, albeit the levels were quite variable. On the basis of the above finding, it is possible that relatively low levels of induced expression were still below the detection threshold in this immunohistochemical analysis, or that some tumors were relatively well oxygenated so that the HIF-1α protein was not induced in the sections examined. Some tumors that were negative for the HIF-1α protein in our cases also may express the HIF-1α protein at levels below the limits of detection by the current immunohistochemical methodology. Otherwise other transcription factors that may have similar biological properties to HIF-1α, such as HIF-2α or HIF-3α, may also mediate hypoxic adaptation in tumors (25, 26).

Zhong et al. (12) found that the HIF-1α expression was noted in premalignant lesions such as colonic adenoma, breast ductal carcinoma in situ, and prostatic intraepithelial neoplasia, whereas every benign tumor was negative for the HIF-1α expression. They suggested that HIF-1α expression can occur very early in carcinogenesis. In our study, premalignant lesions were not included, but exceptionally a few of non-neoplastic epithelial cells adjacent to cancer showed the immunoreactivity for the HIF-1α protein. Zhong et al. (12) also found a significant association of HIF-1α expression with Ki-67 proliferation index. But we could not confirm a significant association of HIF-1α expression with the PCNA index in our cases of SCC and AC, although the use of a different proliferation marker might be related with this discrepancy. We also found
that the PCNA index in overall SCCs was significantly higher than that in overall ACs, but within the category of HIF-1\textalpha positive carcinomas the index did not show a significant difference between the two histologic types. Maybe this inconsistency stems from the hypothesis that pulmonary SCCs form a heterogeneous group of tumors with different biological properties and clinical behaviors (27). Feldser et al. (23) reported that the treatment of cultured prostatic carcinoma cells with insulin, IGF-1, or IGF-2 induced the expression of HIF-1\textalpha protein, which was in turn required for expression of IGF-2 mRNA, suggesting the involvement of HIF-1\textalpha protein in an autocrine growth factor loop. Thus, the HIF-1\textalpha expression may be associated with growth factors, which endowed tumors with a higher PCNA index.

Since HIF-1\textalpha stabilization up-regulates the expression of angiogenic and glycolytic pathways to restore oxygen homeostasis, the HIF-1\textalpha protein may have an important role for the survival and growth of cancer. We examined the expression of the most representative angiogenic factor VEGF and MVD in SCCs and ACs. The MVD of SCCs was significantly higher than that of ACs, whereas the VEGF expression of SCCs showed no significant difference from that of ACs. Even within the category of HIF-1\textalpha positive carcinomas, this tendency was also observed between SCCs and ACs. Thus, the MVD that we assessed by CD34 immunoreactivity was related to a specific histologic type of HIF-1\textalpha positive carcinomas. These findings somewhat reflect the impact of HIF-1\textalpha protein on the angiogenic process of common NSCLCs, especially SCCs. Otherwise the tendency of increasing MVD in SCCs could be associated with tumor necrosis, which was more frequently found in these types of cancer. On the contrary, Tsoli et al. (28), who used CD31 as the endothelial cell marker, reported no relationship between histologic types and MVD. This discrepancy is most likely associated with differences in the evaluation of the results, the use of different endothelial cell marker, and tumor heterogeneity. As concerned with VEGF, the lack of direct correlation between HIF-1\textalpha and VEGF expression might suggest that although hypoxia triggers the expression of VEGF through HIF-1\textalpha stabilization, the process of angiogenesis in pulmonary SCCs and ACs is also subject to other modulators such as platelet-derived endothelial cell growth factor, bcl-2, c-erbB-2, and MUC1 glycoprotein (29-31). But our result was in contrast with those of Giatromanolaki et al. (13), who reported that HIF-1\textalpha positive carcinomas the index did not show a significant difference from that of ACs. Thus, it seems that the process of HIF-1\textalpha expression is controlled by different mechanisms between the two histologic types.

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