Direct determination of supermassive black hole properties with gravitational-wave radiation from surrounding stellar-mass black hole binaries

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A significant number of stellar-mass black-hole (BH) binaries may merge in galactic nuclei or in the surrounding gas disks. With purposed space-borne gravitational-wave observatories, we may use such a binary as a carrier to probe modulations induced by a central supermassive BH (SMBH), which further allows us to place constraints on the SMBH’s properties. We show in particular the de Sitter precession of the inner stellar-mass binary’s orbital angular momentum (AM) around the AM of the outer orbit will be detectable if the precession period is comparable to the duration of observation, typically a few years. Once detected, the precession can be combined with the Doppler shift arising from the outer orbital motion to determine the mass of the SMBH and the outer orbital separation individually and each with percent-level accuracy. If we further assume a joint detection by space-borne and ground-based detectors, the detectability threshold could be extended to a precession period of $\sim 100$ yr.

Introduction – A significant number of stellar-mass binary black holes (BH) detectable by LIGO [1] and Virgo [2] may merge in the vicinity of supermassive BHs (SMBHs) due to both dynamical interactions [3–9] and gaseous effects if accretion disks are present [10–17]. This possibility is strengthened as the Zwicky Transient Facility [18, 19] detected a potential electromagnetic counterpart [20] to the LIGO-Virgo event GW190521 [21, 22], consistent with a binary BH merger in the accretion disk of an active galactic nucleus (AGN).

Beyond ground-based detectors, multiple space-borne gravitational-wave (GW) observatories have been planned/conceived for the coming decades, including LISA [23], TianQin [24], Taiji [25], B-DECIGO [26, 27], Decihertz Observatories [28], and TianGO [29]. Their sensitivities cover the 0.001–1 Hz band where a typical stellar-mass BH binary stays in band for years. It thus opens up the possibility of using a stellar-mass BH binary as a carrier to probe modulations induced by a tertiary perturber which, as argued above, can be an SMBH in many cases. This is in analog to how pulsars are used to test the strong-field relativity [30] and it provides a complementary way to probe SMBH properties to extreme and very extreme mass-ratio inspirals (EMRI and X-MRI) [31–34].

The leading-order modulation is a Doppler shift due to the inner binary’s orbital motion around the SMBH[35], creating frequency sidebands at $\Omega_o = (M_3/a_o^3)^{1/2}$ with $M_3$ the mass of the SMBH and $a_o$ the semi-major axis of the outer orbit. The extra dephasing of this effect can be determined up to $a_o \approx 1$ pc [36]. When $2\pi/\Omega_o \sim T_{\text{obs}}$ with $T_{\text{obs}}$ the duration of observation, $\Omega_o$ can be further resolved to constrain the mass density enclosed by the outer orbit [37].

In the Letter, we extend the field by including higher-order effects. The most significant one is that the inner orbital angular momentum (AM) $\mathbf{L}_i$ will experience a de Sitter-like (dS) precession around the outer AM $\mathbf{L}_o$ whose secular effect is [38–40]

$$\frac{d\mathbf{L}_i}{dt} = \Omega_{\text{dS}} \mathbf{L}_o \times \mathbf{L}_i = \frac{3}{2} \frac{M_3}{a_o(1-e_o^2)} \Omega_o \mathbf{L}_o \times \mathbf{L}_i,$$ (1)

where $e_o$ is the eccentricity of the outer orbit. Here we have used the hat symbol to indicate unity vectors. As the binary precesses, the waveform undergoes both amplitude and phase modulations, thereby allowing the extraction of the precession signatures.

We illustrate the periods of the dS precession in the $(M_3, a_o)$ space in Fig. 1 with brown traces. The upper panel assumes a circular outer binary and the lower one has $e_o = 0.9$. The solid (dashed) traces correspond to $P_{\text{dS}} = 2\pi/\Omega_{\text{dS}} = 100$ (10) yr. As we will see later, these periods are the approximate detectability thresholds assuming a detection of a source 1 Gpc away performed jointly by space-borne and ground-based detectors and by a TianGO-like detector alone. Also shown are the periods of the outer orbit (grey traces) and sub-leading corrections due to the Lense-Thirring precession (olive traces) and the Lidov-Kozai effect (i.e., the Newtonian tidal effect; cyan traces). The explicit expressions are provided in the Supplemental Material.

To connect to astrophysical formation mechanisms of the inner binary, we indicate in dotted-orange lines the expected locations of migration traps in accretion disks [42] where massive objects are likely to accumulate and binaries may frequently merge. We find $P_{\text{dS}} < 100$ yr (10 yr) at the migration trap at $\approx 600 M_3$ if $M_3 \lesssim 2 \times 10^7 M_\odot$ and the outer orbit is circular. When the outer orbit is eccentric, the $P_{\text{dS}} < 100$ yr boundary could be extended to further include $M_3 \approx 10^5$ yr.

For bare nuclei, binaries can also be produced by various dynamical processes. Studies suggested a detection rate of $O(10–100)$ yr$^{-1}$ BH binaries produced in the $a_o \lesssim 0.1$ pc region by the interaction channel [3, 5, 6, 43]. Assuming a density profile $\propto a_o^{-2}$ [3], it...
indicates $O(0.1-1)$ detection per year in the central 0.001 pc region ($\approx 200 M_3$ for $M_3 = 10^8 M_\odot$) where the dS precession could be significant. In fact, binary formed in this channel may be launched to an outer orbit with significant eccentricity that reduces $P_{dS}$ by a factor $1 - e_o^2$ and allows binary formed at greater $a_o$ to also experience significant precession (see the bottom panel of Fig. 1).

Once observed, the dS precession allows a direct determination of properties of the SMBH and the outer orbit. Note its rate is $\Omega_{dS}/\Omega_o = M_3/\sqrt{a_o (1 - e_o^2)}$. When combined with the outer orbit’s Doppler shift which tells us $\Omega_o = \sqrt{M_3/a_o^3}$ and $e_o$ for elliptical orbits as we illustrate in the Supplemental Material which includes Ref. [44]), we can therefore infer the values of $M_3$, $a_o$, and $e_o$ individually.

Before this method, there are two common approaches to determine directly the mass of an SMBH with a typical accuracy of tens of percent, either through directly observing the dynamics of star or gas around the SMBH, or through reverberation mapping of the continuum emission of AGNs [45]. The former is limited to nearby ($\lesssim 100$ Mpc) SMBH and the later is applicable only to Type I (broad emission-line) AGNs, a trace of the population [45]. LISA could also constrain SMBH masses via equal-mass inspirals and EMRIs. However, it is only sensitive to mergers with masses $\lesssim 10^7 M_\odot$ [46–48]. Our approach, on the other hand, probes SMBHs across almost the entire mass range to a distance of a few Gpc and applies independent of the SMBH being active or quiescent. It is thus an invaluable complimentary to the existing methods. Furthermore, it also determines the outer orbit via measuring $a_o$ and $e_o$ that are hard to be extracted otherwise at $O$(Gpc) distances, thereby constraining the nuclei dynamics which currently has considerable theoretical uncertainties.

Hereafter, we will focus on the dS precession and how we can utilize it to measure $M_3$ and $a_o$. We neglect the sub-leading Lense-Thirring precession and Lidov-Kozai oscillations for simplicity (but see Ref. [49]) and treat both the inner and outer orbits to be circular (we will discuss the effects of eccentricities at the end of the Letter). Gaseous frictions [11, 50–54] and encounters with background objects [5, 55] have characteristic timescales ranging from thousands to millions of years and therefore can be ignored over an observation over $T_{obs} \approx 5$ yr (see the Supplemental Material for details). All the parameters in the Letter correspond to their inferred values in the detector frame [56]. We use geometrical units $G = c = 1$.

Waveforms – In Fig. 2 we demonstrate the geometry of the problem. We construct two reference frames. The $(x, y, z)$ frame is centered on the corner detector with $\hat{x}$ and $\hat{y}$ pointing along two arms of TianGO [29] (for LISA, this frame is constructed as in Ref. [57]). As the detector frame changes in both location and orientation, we also construct a fixed solar frame $(\hat{x}, \hat{y}, \hat{z})$ with $\hat{\hat{z}}$ perpendicular to the ecliptic. In the solar frame, the source sky location $\hat{N}$ and the total AM $\hat{J}$ with $J \equiv \hat{L}_1 + L_o \simeq L_o$ are labeled with polar coordinates $(\hat{\theta}_S, \hat{\phi}_S)$ and $(\hat{\theta}_J, \hat{\phi}_J)$, respectively. We further define $i_J$ as the angle between $\hat{N}$ and $\hat{L}_o$, and $\lambda_L$ the angle between $\hat{L}_1$ and $\hat{L}_o$. The problem now becomes projecting the GW radiation characterized by a time-varying orientation $\hat{L}_1(t)$ onto an antenna with also time-varying coordinates $(\hat{x}, \hat{y}, \hat{z})$.

To obtain the response, we follow Refs. [57, 58]. The explicit expressions for various quantities could be found.
in the Supplemental Material. The frequency-domain waveform under the stationary-phase approximation is
\[ \tilde{h}(f) = \Lambda(f) \tilde{h}_C(f) = [A_+^2(t)F_+^2(t) + A_\times^2(t)F_\times^2(t)]^{1/2} \]
\[ \times \exp \{-i[\Phi_D(t) + 2\Phi_T(t) + \Phi_D(t)]\} \tilde{h}_C(f), \]

(2)
where \( \Lambda \) characterizes the modulation due to antenna response and \( \tilde{h}_C \) is the antenna-independent “carrier”. We approximate \( \tilde{h}_C \) with the quadrupole formula, including four \textit{intrinsic} parameters, \((M, D_0, f_c, \phi_0)\), corresponding to the chirp mass, luminosity distance, and time and phase of coalescence. The antenna pattern depends on time which is further a function of frequency, \( t(f) = t_c - 5(8\pi f)^{-8/3} M^{-5/3} \).

The changing orientations affects the amplitude both via \( A_+ = 1 + (\hat{L}_i \cdot \hat{N})^2 \) and \( A_\times = -2\hat{L}_i \cdot \hat{N} \), and via \( F_{+\times}(x) (\theta_S, \phi_S, \psi_S) \), where \((\theta_S, \phi_S)\) are the polar coordinates of \( \hat{N} \) in the \((x, y, z)\) frame and \( \psi_S \) is the polarization angle.

Besides amplitude modulations, there are also extra phase terms. The \( \Phi_D \) term characterizes the polarization phase, and the precession of \( \hat{L}_i \) further gives rise to a Thomas precession term \( \Phi_T \). Lastly, \( \Phi_D \) describes a Doppler phase due to motions of both the outer orbit and the detector orbiting around the Sun.

To this point the expressions are generic. A waveform is specified when one supplies information about the orbits (for \( \Phi_D \)) and the orientations \( \hat{L}_i \) and \((\hat{x}, \hat{y}, \hat{z})\).

We model the Doppler phase as \([59]\)
\[ \Phi_D = 2\pi f \left[ a_0 \sin \epsilon_f \cos \left( \Omega_0 t - \phi(0) \right) \right] + A U \sin \theta_S \cos \left( 2\pi f \left( \frac{\text{yr}}{\text{yr}} - \theta_S \right) \right), \]

(3)
where \( \phi(0) \) characterizes an initial phase for the outer orbit. The dS precession of \( \hat{L}_i \) around \( \hat{L}_i \) can be written in terms of three additional parameters \((P_{dS}, \lambda_L, \alpha_0)\) with \( \alpha_0 \) an initial phase characterizing the initial orientation of \( \hat{L}_i \). The detector’s orientation for both LISA and TianGO is described in Ref. \([60]\).

We compare in Fig. 3 sample waveforms with sensitivities of various space-borne detectors. The initial GW frequencies \( f(0) \) is chosen such that the inner binary mergers in 5 years, the fiducial value of \( t_{\text{obs}} \). For a stellar-mass inner binary (solid traces), various missions have similar sensitivities to the precession-induced modulation with the duclayhertz observatories having a greater total signal-to-noise ratio (SNR). With TianGO’s sensitivity, the system corresponding to the purple-solid trace has a total SNR of 80, and an SNR of 13 if we use only the data at least 0.1 yr prior to the merger (i.e., integrating from the initial frequency to the dot markers). While the SNR from the final 0.1 yr does not directly constrain the precession, it nonetheless reduces the uncertainties on other parameters that are partially degenerate with the precession signatures and is thus critical as well. Similarly, a joint detection of the source with ground-based detectors enhances the sensitivity further. If the inner binary consists of intermediate-mass BHs [the dashed trace; it has a total (early-stage) SNR of 36 (26) in LISA after combining two detectors’ responses], then LISA alone would be able to detect the modulations.

\textbf{Results} – We adopt the Fisher matrix formalism \([57]\) to quantify the detectability.\([61]\) We start by considering the parameter-estimation (PE) accuracy of a simple-precession problem (i.e., dropping the Doppler phase due to the outer orbit) and parameterize the modulation in terms of \((P_{dS}, \lambda_L, \alpha_0)\). Our aim is to establish the detectability thresholds for \( P_{dS} \) and \( \lambda_L \). The results are summarized in Fig. 4 (we have randomized \( \alpha_0 \) and plotted the median values). Throughout this Section we assume the source is detected by TianGO \([29]\) alone.

As expected, the accuracy in both \( P_{dS} \) and \( \lambda_L \) improves
as $P_{\text{dS}}$ decreases, and at $P_{\text{dS}} \approx 2T_{\text{obs}} = 10\text{ yr}$ we have approximately $\Delta P_{\text{dS}}/P_{\text{dS}} < 1$ and $\Delta \lambda L < 1\text{ rad}$, marking the boundary of detectability.

Note that at $P_{\text{dS}} \gtrsim 3\text{ yr}$, the error $\Delta P_{\text{dS}}$ is smallest when $\lambda L \approx 90^\circ$ as it maximizes the variation in the orientation. At smaller $P_{\text{dS}}$, the optimal detectability is achieved at $\lambda L \approx 40^\circ$ (and also at $140^\circ$). This is thanks to the Thomas phase $\Phi_T$. As shown in Ref. [58], when $\hat{N}$ is inside the precession cone ($|\hat{L}_o \cdot \hat{L}| < |\hat{L}_o \cdot \hat{N}|$), each precession cycle the Thomas term contributes approximately $(-2\cos \lambda L)$ to the phase. When $\hat{L}_o \cdot \hat{L} > |\hat{L}_o \cdot \hat{N}|$, however, the contribution per cycle changes sharply to about $2\pi(-\cos \lambda L + 1)$ [62]. Consequently, when $\lambda L \approx i_f$ (or $\pi - i_f$), $\Phi_T$ can be determined with high accuracy. Since the total $\Phi_T$ is proportional to the total number of precession cycles, it thus leads to good constraints on $P_{\text{dS}}$.

As we know the detector’s orbit, we do not see it significantly interfering with the results when $P_{\text{dS}} \approx 1\text{ yr}$. Moreover, the Thomas phase is associated with the precession of $\hat{L}_i$ only [57], further breaking the potential degeneracy between a changing $\hat{L}$ and a changing $\hat{z}$. It is nonetheless crucial to include the detector’s motion to constrain $\hat{N}$ [29, 57].

We now combine the dS precession with the Doppler shift to study the constraints on the SMBH properties. We use $M_3$ and $a_o$ as free parameters and write $\Omega_o$ and $P_{\text{dS}}$ in terms of $M_3$ and $a_o$. The initial phase $\phi^{(0)}$ is included and randomized over.

The result is shown in Fig. 5. We only include regions where $\Delta \lambda L \leq \lambda L$ so that the signature of precession is unambiguously detected. Note the boundary of $\Delta \lambda L = \lambda L$ is broadly consistent with the line of $P_{\text{dS}} = 10\text{ yr}$, agreeing with the results we obtained in the simple-precession analysis. Along the line of $P_{\text{dS}} = 10\text{ yr}$, the fractional error in the SMBH mass is constrained to $\Delta M_3/M_3 \sim 10\%$, demonstrating a direct determination of the SMBH property is indeed possible. We further find that $\Delta \log a_o \approx \Delta \log M_3/3$ for most of the parameter spaces because $\Omega_o$ is determined with the highest accuracy among all the parameters describing the modulations.

Along the line of constant $P_{\text{dS}}$, the error decreases with increasing $M_3$. This is because the “modulation depth” on the Doppler phase [Eq. (3)] increases with $M_3$. With the Doppler shift alone, we cannot utilize the modulation depth due to the unknown $\sin i_f$. Once the precession is included, however, $\hat{L}_o$ serves as the precession axis of $\hat{L}_i$, allowing the outer orbit’s inclination to be inferred. Once we know $\sin i_f$, the modulation depth provides another measurement of $a_o$, enhancing the sensitivity further.

Summary and Discussion. — Our analysis so far considered detections by TianGO alone. As ground-based detectors are more sensitive to stellar-mass BHs [29], they could constrain intrinsic parameters with much higher accuracy. We thus estimate the joint-detection effect by still computing the Fisher matrix using a spaceborne detector’s sensitivity but treating $(M, \phi_c, t_c)$ as known parameters. For a system with $(M_3, a_o) = (10^8 M_\odot, 100 M_3)$ and the rest the same as in Fig. 5, the errors in $(M_3, a_o)$ can be dramatically improved to $\Delta \log M_3 = 1.7 \times 10^{-4}$ and $\Delta \log a_o$ assuming the sensitivity of TianGO (LISA). The uncertainty in $\lambda L$ is also reduced by about a factor of 6 to $\Delta \lambda L = 0.02\text{ rad}$ for both TianGO and LISA. If a source instead has $a_o = 300 M_3$ with $P_{\text{pre}} \approx 100\text{ yr}$, we find a median error $\Delta \lambda L = 0.72\text{ rad}$ with LISA’s sensitivity after randomizing initial phases, indicating the precession would still be detectable. Knowing the source’s distance and sky location further improves the accuracy in $\lambda L$ by a factor of a few. For $a_o = 300 M_3$ and LISA’s sensitivity, we find $\Delta \lambda L = 0.16\text{ rad}$ in this case.

We assumed both circular inner and outer orbits. In reality, finite eccentricities are expected especially if the inner binary is formed via dynamical channels. One plausible scenario is that both $e_i$ and $e_o$ follow a thermal distribution, with $e_i^2$ uniform in $[0, 1)$ [4, 63].

An elliptic outer orbit enhances the detectability. Note $e_o$ does not affect the inference accuracy of $\Omega_o$ and itself can be well constrained from the Doppler shift (as demonstrated in the Supplemental Material). Although the instantaneous precession rate [64] should be used for waveform modeling, the secular version [Eq. (1)] nonetheless indicates the qualitative effect of $e_o$, which is to make the rate greater by a factor of $1/(1 - e_o^2)$. Thus at a fixed $a_o$, the waveform is modulated by more precession cycles, making its signature more prominent. It also allows a system at greater $a_o$ to potentially experience a significant modulation (lower panel of Fig. 1).

The eccentricity of the inner orbit $e_i$ modifies only the carrier $h_c$. Therefore, it affects the results mostly through affecting the overall SNR. Following Ref. [65], for mild
eccentricities ($e_i \leq 0.7$ at $a_i = 1.4 \times 10^{-3}$ AU), we find both the total SNR and that from the early stage ($\geq 0.1$ yr prior to merger) in fact increase for TianGO, and decreases by a small amount (factor of 3) for LISA. A more extreme eccentricity would make inner orbit decay too quickly if we fix the initial $a_i^{0}$. Nonetheless, such a system can merge within $T_{\text{obs}}$ starting at much greater initial separations of $O(0.1)$ AU. From the evolution from 0.1 AU to $10^{-3}$ AU we can still obtain an integration time of more than a year and an SNR of about 5 (with the sensitivity of TianGO). Therefore, our results should not change qualitatively by the inner eccentricity (detailed calculations presented in the Supplemental Material).

We did not include the precessions of $\hat{L}_i$ due to the spins of $M_{1(2)}$. Nevertheless, this should be well distinguishable from the precessions around $\hat{L}_o$ thanks to the separation in scales. The spin-induced opening angle is $\lesssim M_2^2/L_1 \sim 1^\circ$ when $f \sim 0.01$ Hz, in general much smaller than $\Delta_L$ which distributes approximately uniformly between $0^\circ$ and $180^\circ$ (e.g., Ref. [66]). Moreover, the spin-induced precession rate is $\sim L_i/a_i^3$ [58], corresponding to a period of 10 days when $f = 0.01$ Hz, and the period decreases further as the inner binary decays. In contrast, the dS precession around $L_o$ has a constant and much longer period.

Whereas we used the quadrupole formula for the carrier, our formalism can be readily extended to incorporate more complicated dynamics of the inner binary (higher-order relativistic corrections as well as environmental effects due to gas [53] and/or gravitational lensing [67, 68] that alter the observed chirp mass [69]) by replacing the carrier part with the appropriate $\tilde{h}_C(f)$. Similar to the inner eccentricity, changing the carrier affects the detectability of extrinsic modulations mostly through changing the overall SNR.

To conclude, we demonstrated that the dS precession of $\hat{L}_i$ around $\hat{L}_o$ is detectable. The detectability threshold is $P_{\text{dS}} \simeq 10$ yr with space-borne detectors alone and $P_{\text{dS}} \simeq 100$ yr if the source is jointly detected by ground-based detectors. This effect allows a direct determination of the SMBH mass to better than 10% at Gpc distances and applies to both active and quiescent SMBHs. It also constrains the dynamics in galactic nuclei by pinpointing the outer orbit. Future studies incorporating the orbital eccentricities and sub-leading effects, as well as extending the PE to a more rigorous Bayesian framework would be of great value.

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Supplemental material for “Direct determination of supermassive black hole properties with gravitational-wave radiation from surrounding stellar-mass black hole binaries”

I. EXPLICIT EXPRESSIONS FOR VARIOUS TIMESCALES

Here we provide explicit expressions of various relevant timescales. The instantaneous GW decay timescale is

$$\tau_{gw} = \frac{a}{|\dot{a}|} = \frac{5}{64 \mu M^2} \left[ \frac{(1 - e^2)^{7/2}}{1 + \frac{22}{23} e^2 + \frac{32}{90} e^4} \right]^3,$$

where \(\mu\), \(M\), and \(\mathcal{M}\) are respectively the reduced mass, total mass, and the chirp mass of the binary of interest. In the second line we have scaled the number by the orbital frequency for future convenience, though we remind the reader that the timescale defined here is the instantaneous decay rate of the semi-major axis (instead of frequency). Furthermore, when the orbit is circular, the GW radiation has a single frequency component with \(f = 2f_{orb}\), and thus a factor of 2 is included in the scaling of \(f_{orb}\).

For a circular orbit, the total time to merger is \(t_m = \tau_{gw}/4\). By setting \(t_m = T_{obs} = 5\) yr (the fiducial observation time), we can then determine the initial frequency (or the initial orbital separation) for a given binary system. This is why we chose an initial GW frequency \(f^{(0)} = 2f_{orb} = 12\) mHz \((a_i^{(0)} = 1.4 \times 10^{-3}\) AU\) for the binary with \(M_1 = M_2 = 50 M_\odot\) and \(f^{(0)} = 2f_{orb} = 4.4\) mHz \((a_i^{(0)} = 4.7 \times 10^{-3}\) for the one with \(M_1 = M_2 = 250 M_\odot\) (see Fig. 3 in the main text). In comparison, for a typical outer orbit with \(M_1 + M_2 = 100 M_\odot\), \(M_3 = 10^8 M_\odot\), and \(a_o = 100 M_3 \approx 100\) AU, the merger time is \(t_{m,o} \approx 3 \times 10^7\) yr. Therefore, in most cases we can safely ignore the GW-induced decay of the outer orbit.

The presence of the central SMBH will modulate the GW waveform emitted by the inner binary (i.e., the carrier) via various effects. The most significant one is the Doppler phase shift due to the motion of the outer orbit (Newtonian dipole effect), at a rate \(\Omega_o \simeq \sqrt{M_3/a_o^3}\), or a period

$$P_o = 2\pi \frac{\Omega_o}{\Omega_o} = 0.1\) yr \(\left(\frac{M_3}{10^8 M_\odot}\right)\left(\frac{a_o}{100 M_3}\right)^{3/2}.$$

The next leading-order effect is the de Sitter-like precession of the inner orbit (a 1.5 post-Newtonian-order, or 1.5 PN effect), which is the focus of the main text. It has a rate \([1, 2]\)

$$\Omega_{ds} = \frac{3}{2} \frac{M_3 + \mu_o/3}{a_o(1 - e_o^2)} \Omega_o \simeq \frac{3}{2} \frac{M_3}{a_o(1 - e_o^2)} \Omega_o,$$

where the second equality applies because \(M_3 \gg \mu_o = (M_1 + M_2)\). The corresponding period is thus

$$P_{ds} = 6.5\) yr \(\left(1 - e_o^2\right)^{5/2} \left(\frac{M_3}{10^8 M_\odot}\right)\left(\frac{a_o}{100 M_3}\right)^{5/2}.$$

When the central SMBH is fast spinning, the inner orbit will also precess around the spin of the SMBH \(S_3\) by the Lense-Thirring effect (2 PN). Its rate is

$$\Omega_{LT} = \frac{S_3}{a_o^3(1 - e_o)^{3/2}},$$

and period

$$P_{LT} = 2.0 \times 10^2\) yr \(\left(1 - e_o^2\right)^{3/2} \left(\frac{S_3}{M_3^2}\right)\left(\frac{M_3}{10^8 M_\odot}\right)\left(\frac{a_o}{100 M_3}\right)^3.$$

Additionally, the SMBH may perturb the inner orbit via the Lidov-Kozai effect (i.e., the Newtonian tidal effect as it comes at the quadrupole order). The rate is given by \cite{1}

\[
\Omega_{\text{LK}} = \frac{M_3}{(M_1+M_2)} \left( \frac{a_i}{a_o \sqrt{1-e_i^2}} \right)^3 \Omega_i,
\]

where \(\Omega_i = \sqrt{(M_1+M_2)/a_i^3}\) is the orbital frequency of the inner orbit. The corresponding period is thus

\[
P_{\text{LK}} = 1.8 \times 10^3 \text{yr} \left(1-e_i^2\right)^{3/2} \left(\frac{M_3}{10^8 M_\odot}\right)^2 \left(\frac{a_o}{100 M_3}\right)^3 \left(\frac{M_1+M_2}{100 M_\odot}\right)^{1/2} \left(\frac{a_i}{1.4 \times 10^{-5} \text{AU}}\right)^{-3/2}.
\]

Unlike the de Sitter and Lense-Thirring effects which are independent of \(a_i\), the Lidov-Kozai timescale increases as the inner orbit decays because the “lever arm” for the SMBH to perturb is smaller. The Lidov-Kozai effect is therefore less and less significant as the inner binary evolves towards the merger.

As the inner binary may reside in a gaseous disk, the frictional force from the background gas may both cause the inner binary as a whole to accelerate/decelerate from the Keplerian outer orbit, and harden the inner binary and make it merges in a shorter timescale than \(t_m\).

For the gaseous effect on the outer orbit, we estimate it with the dynamical friction derived in Ref. \cite{3}, which leads to a characteristic timescale \cite{4}

\[
\tau_{\text{gas}} = \frac{a_o}{|\dot{a}_o,\text{gas}|} = 8 \times 10^5 \text{yr} \left(\frac{\rho_{bg}}{10^{-8} \text{g cm}^{-3}}\right)^{-1} \left(\frac{M_1+M_2}{100 M_\odot}\right)^{-1} \left(\frac{a_o}{100 M_3}\right)^{-3/2},
\]

where \(\dot{a}_o,\text{gas}\) is the rate at which the outer orbit changes due to the hydrodynamic drag and \(\rho_{bg}\) is the background gas density. Although this effect may be important for the migration of the outer orbit over the entire evolution of the inner binary, over a period of \(T_{\text{obs}} \simeq 5\) yr, it only changes the outer orbit by a fractional amount of \(T_{\text{obs}}/\tau_{\text{gas}} \sim 10^{-5}\) and can thus be safely ignored.

As for the inner binary, a circumbinary mini-accretion disk may form. In this scenario, the inner binary hardens due to the gaseous effect over a timescale \cite{4}

\[
\tau_{\text{gas}'} = 4 \times 10^3 \text{yr} \times q^{-1} \left(\frac{2}{1+q}\right)^{3} \left(\frac{M_1}{50 M_\odot}\right)^{-1} \left(\frac{\rho_{bg}}{10^{-13} \text{g cm}^{-3}}\right)^{-1} \left(\frac{c_s}{102 \text{km s}^{-1}}\right)^3,
\]

where \(q = M_2/M_1\). A similar estimation can be found in Ref. \cite{5} where the authors found the inspiraling rate changes from gas dominated to GW dominated at an inner separation of \(a_i \sim R_\odot \simeq 5 \times 10^{-3} \text{AU}\). For the typical \(a_i\) we consider, this then indicates \(\tau_{\text{gas}'} \sim 100 \tau_{\text{GW}}\).

Ref. \cite{6} suggested yet another hardening mechanism due to the formation of overdense spiral tails lagging the BHs in the inner binary and exerting torques on them. This mechanism could efficiently half the inner semi-major axis in a few cycles of the outer orbit, and for \(a_o \sim 100 M_3\), such a timescale could be comparable to the duration of observation. Nonetheless, the model considered by Ref. \cite{6} applies for inner binaries with separations of \(a_i \sim r_H\), where \(r_H = a_o [M_3/3(M_1+M_2)]^{1/3}\) is the Hill radius. For \(a_o = 100 M_3\) and \(M_3 = 10^8 M_\odot\) \((M_3 = 10^6 M_\odot)\), we have \(r_H \simeq 0.7 \text{AU} \quad (r_H \simeq 0.03 \text{AU})\), much greater than the initial inner binary’s separation of \(a_i \simeq 1.4 \times 10^{-3} \text{AU}\) considered in our work. Therefore, our case is likely to be beyond the regime of validity of the model proposed in \cite{6} (see also the discussion in sec. 8.5 of Ref. \cite{6} and sec. 2.3 of Ref. \cite{7}). As a result, this is an effect critical for the early evolution of the inner binary but is likely subdominant for the final state when \(\tau_{\text{GW}} = O(10 \text{yr})\).

Furthermore, as shown in Ref. \cite{4}, the gaseous friction’s effect on the inner binary is make the chirp mass appear heavier than the true value by a factor \((1 + \tau_{\text{gas}}'/\tau_{\text{GW}})^{3/5}\). It can therefore be absorbed into the carrier waveform \(h_c\) and be extracted from the frequency evolution of the waveform similar to high-order post-Newtonian parameter.

The inner binary, after formation, may also experience multiple encounter with the surrounding background stars/BHs. The typical timescale between two consecutive interactions can be estimated to be \cite{8, 9}

\[
\tau_{\text{enc}} = 2 \times 10^5 \text{yr} \left(\frac{\sigma}{0.01}\right) \left(\frac{n_{bg}}{10^{10} \text{pc}^{-3}}\right) \left(\frac{r_p}{0.01 \text{AU}}\right)^{-1} \left(\frac{M_1+M_2}{100 M_\odot}\right)^{-1} \left(\frac{M_{bg}}{10 M_\odot}\right)^{-1/2},
\]

where \(\sigma\) is the velocity dispersion, \(n_{bg}\) the number density of background stars/BHs, \(r_p\) the maximum considered close approach to the inner binary, and \(M_{bg}\) the mass of the background perturber. In the scaling above, we have conservatively (making \(\tau_{\text{enc}}\) smaller) set \(\sigma = 0.1 v_{\text{orb}}(a_o = 100 M_3)\) and \(r_p \simeq 10 a_i\). Note \(\tau_{\text{enc}} \propto r_p^{-1} \sim a_i^{-1}\), and
therefore encounters with background objects are important when the inner binary is far apart (e.g., when it is just formed). The frequent encounters at the early stages also play a critical role in giving the inner binary a nearly isotropic orientation so that \(\mathbf{L}_i\) is typically misaligned with \(\mathbf{L}_o\). However, at the end stage of the inner binary’s evolution with \(\tau_{gw} \sim T_{obs}\), we have \(\tau_{gw} \ll \tau_{enc}\), and therefore it is very unlikely for the inner binary to be disrupted during the observation.

II. EXPLICIT EXPRESSIONS FOR THE WAVEFORMS

Here we provide explicit expressions for various quantities used in our construction of the waveform. The “carrier” waveform in our study is given by

\[
\tilde{h}_C(f) = \left( \frac{5}{96} \right)^{1/2} \frac{M^{5/6}}{\pi^{2/3} D_L} f^{-7/6} \exp \left\{ i \left[ 2\pi f t_c - \phi_c - \frac{\pi}{4} + \frac{3}{4} (8\pi M f)^{-5/3} \right] \right\}. \tag{12}
\]

The antenna pattern coefficients are

\[
F_+(\theta_S, \phi_S, \psi_S) = \frac{1}{2} \left( 1 + \cos^2 \theta_S \right) \cos 2\phi_S \cos 2\psi_S - \cos \theta_S \sin 2\phi_S \sin 2\psi_S, \tag{13}
\]

\[
F_\times(\theta_S, \phi_S, \psi_S) = \frac{1}{2} \left( 1 + \cos^2 \theta_S \right) \cos 2\phi_S \sin 2\psi_S + \cos \theta_S \sin 2\phi_S \cos 2\psi_S, \tag{14}
\]

where \((\theta_S, \phi_S)\) are the polar coordinates of \(\mathbf{\hat{N}}\) in the time-varying \((x, y, z)\) frame, and

\[
\psi_S = \tan^{-1} \left[ \frac{\mathbf{\hat{L}}_i \cdot \mathbf{\hat{z}} - (\mathbf{\hat{L}}_i \cdot \mathbf{\hat{N}}) (\mathbf{\hat{z}} \cdot \mathbf{\hat{N}})}{\mathbf{\hat{N}} \cdot (\mathbf{\hat{L}}_i \times \mathbf{\hat{z}})} \right] \tag{15}
\]

is the polarization angle of the source.

We calculate the Thomas phase \(\Phi_T\) by integrating

\[
\Phi_T(t) = -\int_t^{t_c} dt \left[ \frac{\mathbf{\hat{L}} \cdot \mathbf{\hat{N}}}{1 - (\mathbf{\hat{L}} \cdot \mathbf{\hat{N}})^2} \right] \left( \mathbf{\hat{L}} \times \mathbf{\hat{N}} \right) \cdot \frac{d\mathbf{\hat{L}}}{dt}, \tag{16}
\]

and the polarization phase \(\Phi_P\) from the relation

\[
\Phi_P(t) = \arctan \left[ \frac{-A_\times(t) F_\times(t)}{A_+ (t) F_+ (t)} \right]. \tag{17}
\]

The time-dependent orientation of \(\mathbf{\hat{L}}_i\) in our case is given by

\[
\mathbf{\hat{L}}_i = \left[ \cos \lambda_L \sin \bar{\theta}_j \cos \bar{\phi}_j + \sin \lambda_L \left( -\cos \bar{\theta}_j \cos \bar{\phi}_j \cos \alpha + \sin \bar{\phi}_j \sin \alpha \right) \right] \mathbf{\hat{x}} \\
+ \left[ \cos \lambda_L \sin \bar{\theta}_j \sin \bar{\phi}_j - \sin \lambda_L \left( \cos \bar{\phi}_j \sin \alpha + \cos \bar{\theta}_j \cos \alpha \right) \right] \mathbf{\hat{y}} \\
+ \left[ \cos \lambda_L \cos \bar{\theta}_j + \sin \lambda_L \sin \bar{\theta}_j \cos \alpha \right] \mathbf{\hat{z}}, \tag{18}
\]

where \(\alpha = \Omega_{\text{dS}} t + \alpha_0\).

The detector’s orientations are

\[
\mathbf{\hat{z}}(t) = -\frac{\sqrt{3}}{2} \left( \cos \phi_d \mathbf{\hat{x}} + \sin \phi_d \mathbf{\hat{y}} \right) + \frac{1}{2} \mathbf{\hat{z}}, \tag{19}
\]

\[
\mathbf{\hat{x}}(t) = -\frac{\sin 2\phi_d}{4} \mathbf{\hat{x}} + \frac{3 + \cos 2\phi_d}{4} \mathbf{\hat{y}} + \frac{\sqrt{3}}{2} \sin \phi_d \mathbf{\hat{z}} \tag{20}
\]

and \(\mathbf{\hat{y}} = \mathbf{\hat{z}} \times \mathbf{\hat{x}}\). In the expressions above, \(\phi_d = 2\pi t/\text{yr}\) is the phase of the detector.

To summarize, when we consider the single-precession problem, the waveform is parameterized in terms 11 free parameters in total, \((M, D_L, t_c, \phi_c, \bar{\theta}_j, \bar{\phi}_j, \psi_S, \bar{\theta}_j, \bar{\phi}_j, P_{\text{dS}}, \lambda_L, \alpha_0)\). When consider the full SMBH effects (dS precession and Doppler shift due to the outer orbital motion), we further write \(P_{\text{dS}}\) in terms of \(M_3\) and \(a_0\), and include \(\phi(0)\) as the initial phase of the outer orbit’s Doppler phase.
FIG. 1. Fractional uncertainties in \(\Omega_o\) (top) and \(e_o\) (bottom) as a function of \(e_o\). The grey (olive) trace assumes the sensitivity of TianGO (LISA). We have dropped other antenna responses and used the angle-averaged sensitivity when evaluating the Fisher matrix (\(\sqrt{5}\) times greater than the intrinsic noise). When generating the waveform, we have used \(2\pi/\omega_o = 0.51\) yr and \(\mathcal{A} = 212\) AU, which can be further realized with \(M_3 = 10^8\) \(M_\odot\), \(a_o = 300 M_3\), and \(\iota = 45^\circ\).

III. DOPPLER PHASE SHIFT OF ELLIPTIC OUTER ORBITS

Here we demonstrate that we can extract simultaneously the orbital period (hence the enclosed mass density) and eccentricity of an elliptic outer orbit from the Doppler phase shift alone.

To do so, we consider a simple model with \(\tilde{h}(f) = h_C(f) \exp[-i \Phi_D(t)]\). In other words, we include only the Doppler phase shift due to the outer orbit (now has finite eccentricity) and drop other antenna responses for simplicity. The Doppler phase can be further written as

\[
\Phi_D(t) = 2\pi f r_{o,\parallel}(t),
\]

where \(r_{o,\parallel}(t)\) is the orbital separation projected along the line of sight. Specifically, we have

\[
r_{o,\parallel}(t) = \frac{A(1 - e_o^2)}{1 + e_o \cos u(t)} \sin[u(t) + \gamma],
\]

where \(u(t)\) and \(\gamma\) are the true anomaly and the argument of pericenter. The amplitude is further given by \(A = a_o \sin \iota\). Note that with Doppler shift alone we cannot separate out \(a_o\) and \(\sin \iota\), and thus we treat \(A\) itself as a free parameter. The true anomaly can be solved as a function of time (which is further a function of the GW frequency of the inner orbit) via the differential equation

\[
\dot{u} = \Omega_o \frac{(1 + e_o \cos u)^2}{(1 - e_o^2)^{3/2}},
\]

where \(\Omega_o = \sqrt{M_3/a_o^3}\). In summary, the Doppler shift can be parameterized in terms of 5 parameters: \((\Omega_o, e_o, A, \gamma, u_c)\) with \(u_c = u(t = t_c)\), and our goal here is to illustrate that \(\Omega_o\) and \(e_o\) can both be measured with high accuracy.

In Figure 1 we demonstrate the detectability of \(\Omega_o\) (top panel) and \(e_o\) (bottom panel) as a function of \(e_o\) using respectively the sky-averaged sensitivity [11] of TianGO (grey) and LISA (olive). To model the Doppler phase \(\Phi_D\), we have further assumed \(2\pi/\omega_o = 0.51\) yr and \(\mathcal{A} = 212\) AU. This set of parameters can be further realized by a physical system with \(M_3 = 10^8\) \(M_\odot\), \(a_o = 300 M_3\), and \(\iota = 45^\circ\). For reference, the de Sitter precession period for such a system would be \(2\pi/\Omega_{\text{dS}} = 101\) yr if \(e_o = 0\) and \(19\) yr if \(e_o = 0.9\). The values of \(\gamma\) and \(u_c\) are both randomized over when generating the plot. Consistent with the main text, we assumed \(M_1 = M_2 = 50 M_\odot\) and \(D_L\) for the carrier (in fact, only the chirp mass \(M = 44 M_\odot\) matters as we use the leading-order quadrupole formula for the carrier) and the initial frequency is set to \(f^{(0)} = 12\) mHz so that the system merges in \(T_{\text{obs}} = 5\) yr.

As shown in the plot, the frequency \(\Omega_o\) is essentially independent of the eccentricity of the outer orbit \(e_o\) and it can be constrained to a high accuracy of \(\Delta \Omega_o/\Omega_o \sim \text{a few } \times 10^{-6}\) by both TianGO and LISA. The fractional error in \(e_o\) shows more scattering due to the randomness of \(\gamma\) and \(u_c\), yet there is a trend that the fractional error decreases as \(e_o\) increases. Even in the worst cases, we still have \(\Delta e_o/e_o \lesssim 10^{-4}\). We therefore conclude that both \(\Omega_o\) and \(e_o\)
can indeed be well constrained from the Doppler phase even for elliptic outer orbits. Once we combine them with the period of the de Sitter precession $\Omega_{\text{dS}} \simeq 3M_3\Omega_o/\left[2a_o(1-e_o^2)\right]$ as discussed in the main text, we can therefore simultaneously determine both the mass of the center SMBH $M_3$ and the key properties of the outer orbit $(a_o, e_o)$.

In fact, the de Sitter precession also allows us to infer the inclination angle of the outer orbit and thus $\sin \iota$. As a result, we can also infer $a_o$ from the amplitude $A$ which makes the parameter inference even more accurate. Similarly, the precession of the pericenter (i.e., $\gamma$) would also provide additional constraints on $(M_3, a_o, e_o)$ and enhance the accuracy further.

IV. SNR OF ELLIPTIC INNER ORBITS

We now turn to study the effects of the eccentricity of the inner orbit.

First, we note that the observed waveform can be modeled as the product $\tilde{h}(f) = \Lambda(f)\tilde{h}_C(f)$ with $\Lambda$ the antenna response and $\tilde{h}_C$ the antenna-independent waveform of the carrier. We can consequently call parameters that affect only $\Lambda$ the extrinsic parameters (including $M_3, a_o, e_o, \lambda$, etc.), and those affecting only $\tilde{h}_C$ the intrinsic parameters (including $e_i$).

If we ignore the covariance between different elements, the error of an extrinsic parameter $\Delta \theta^{(\text{ext})}$ scales as

$$\Delta \theta^{(\text{ext})} \sim \frac{1}{|\partial h/\partial \theta^{(\text{ext})}|} = \frac{1}{\left| \partial \Lambda/\partial \theta^{(\text{ext})} \right| \tilde{h}_c}.$$ 

Therefore, we can see an intrinsic parameter such as $e_i$ affects the detectability of an extrinsic one (such as $M_3$) mostly through changing the overall signal-to-noise-ratio (SNR). Consequently, we can estimate how the inner eccentricity affects the results we drawn in the main text based on circular orbits by considering its effects on the SNR.

To estimate the SNR of an elliptic inner orbit, we consider the characteristic strain of the system. Specifically, we can first decompose the time-domain waveform into a sum over harmonics as $h(t) = \sum_k h_k(t)$ with each harmonic oscillating at a frequency $f_k$. Up to corrections due to the precession of the inner pericenter, we have $f_k \simeq kf_i$ with $2\pi f_i = \sqrt{(M_1 + M_2)/a_i^3}$. The characteristic strain for each harmonic is thus given by

$$h_{c,k} = \frac{1}{\pi D_L} \sqrt{\frac{2E_k}{f_k}},$$

where $E_k$ is the energy per frequency of the $k$th harmonic.
where $E_k$ is the GW power radiated to infinity at $f_k$. The SNR can then be obtained by summing over harmonics as

$$
\text{SNR}^2 = \sum_k \int \frac{h^2_{c,k}(f_k)}{5 f_k S_n(f_k)} d\ln f_k,
$$

where $S_n$ is the power-spectral density of the instrument noise. Here we drop the antenna response and use the sky-averaged sensitivity instead (which leads to the numerical factor of 5 in the denominator). We refer the interested readers to Ref. [12] for more details of the calculation.

One such example is shown in the top panel of Fig. 2. Here we consider an inner binary with masses of $M_1 = M_2 = 50 M_\odot$ and an initial semi-major axis of $a_i^{(0)} = 1.4 \times 10^{-3} \text{ AU}$ (same as the one considered in the main text). We vary the initial eccentricity $e_i^{(0)}$ and compute the SNR based on the characteristic strains using both the sensitivity of TianGO (grey traces) and LISA (olive traces). In addition to the total SNR shown in the solid traces, we also consider the SNR using only the data at least 0.1 yr prior to the final merger (shown in the dashed traces). This portion of the data is accumulated over a time comparable to the typical de Sitter precession periods of a few to tens of years and would thus directly help constraining the time-varying antenna pattern. For reference, we also show the total time to merger $t_m$ in the bottom panel.

As shown in the plot, if the inner binary’s eccentricity is mild ($e_i^{(0)} \lesssim 0.7$; this corresponds to about half of the sources if $e_i^{(0)}$ yields a thermal distribution), then both TianGO and LISA see mild changes in both the total SNR and that accumulated from the early stage only. In fact, the SNR seen by TianGO increases slightly first with an increasing eccentricity. This can be understood by examining Fig. 3. As the eccentricity increases, more GW power are emitted through high-order harmonics (instead of through only the $k = 2$ harmonic for circular orbits). These harmonics have higher frequencies and therefore are in the band where a dechertz detector like TianGO is more sensitive. For LISA, the SNR is reduced by a factor of 3 but is still above unity as $e_i^{(0)}$ changes from 0 to 0.7. These should not change our results qualitatively.

When the initial eccentricity is more extreme, $e_i^{(0)} \gtrsim 0.8$, the inner binary would merge within 0.1 yr and therefore the dashed line vanishes. However, this is an artifact of our fixing $a_i^{(0)} = 1.4 \times 10^{-3} \text{ AU}$, a value chosen so that our inner binaries (with circular orbits) would merge within 5 years, the fiducial duration of observation $T_{\text{obs}}$. In fact, once we allow the inner orbit to be eccentric, we can in fact capture the binary when it is at a much greater orbital separation. For example, an inner binary with $(a_i, 1 - e_i) = (1.4 \times 10^{-3} \text{ AU}, 0.2)$ can be further evolved from $(a_i, 1 - e_i) = (0.05 \text{ AU}, 6 \times 10^{-3})$ [note that as shown in Ref. [2], $(1 - e) \propto a^{-1}$ when $(1 - e) \ll 1$; also note that the Newtonian tide could be important for such an highly eccentric binary with a large semi-major axis, especially if $M_3 \lesssim 10^7 M_\odot$] in slightly less than 2 years $< T_{\text{obs}}$. During this process, the $k \simeq 3,000$ to $k \simeq 300$ harmonics consecutively sweep through TianGO’s most sensitive band, and together they contribute an SNR of 5.3 over the 2-year evolution. As a result, even for significantly eccentric inner binaries, it is still possible to obtain an SNR of a few with an integration time over a year to constrain the time-varying antenna pattern induced by the central SMBH.
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