Photonic topological Anderson insulators

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The hallmark property of two-dimensional topological insulators is robustness of quantized electronic transport of charge and energy against disorder in the underlying lattice. That robustness arises from the fact that, in the topological bandgap, such transport can occur only along the edge states, which are immune to backscattering owing to topological protection. However, for sufficiently strong disorder, this bandgap closes and the system as a whole becomes topologically trivial: all states are localized and all transport vanishes in accordance with Anderson localization3.4. The recent suggestion4 that the reverse transition can occur was therefore surprising. In so-called topological Anderson insulators, it has been predicted4 that the emergence of protected edge states and quantized transport can be induced, rather than inhibited, by the addition of sufficient disorder to a topologically trivial insulator. Here we report the experimental demonstration of a photonic topological Anderson insulator. Our experiments are carried out in an array of helically evanescently coupled waveguides in a honeycomb geometry with detuned sublattices. Adding on-site disorder in the form of random variations in the refractive index of the waveguides drives the system from a trivial phase into a topological one. This manifestation of topological Anderson insulator physics shows experimentally that disorder can enhance transport rather than arrest it.

In parallel to investigations into electronic topological insulators, the recent demonstration of photonic topological insulators5–7 has shown that topological phenomena are not limited to the motion of electrons in solid-state materials. In fact, topological protection is a general wave phenomenon that applies equally well to many wave systems, including electromagnetic waves5–15, acoustic waves16,17, mechanical waves18,19 and cold atoms20,21. Among these, photonic topological systems have been found to be useful in demonstrating effects that would otherwise be unreachable in the context of condensed-matter physics, such as Anderson localization22,23, very strong strain24, non-Hermitian behaviour25 and the concept of topological bound states in the continuum26. Furthermore, topological photonic systems provide a complementary set of potential technological applications, including new mechanisms for integrated optical isolation and general robustness to imperfections in the fabrication of photonic devices.

Here we demonstrate a topological Anderson insulator. Our experiments are carried out in a photonic platform, as proposed theoretically27, based on a two-dimensional time-reversal-symmetry-broken Floquet topological insulator. In particular, when sufficient disorder is introduced, we enter the topological phase and observe unidirectional edge transport. Our key result, which demonstrates the possibility of inducing a topological phase using disorder, is universal and carries over to different dimensions28 and to symmetry-protected topological phases4–5. The experimental platform that we use is an array of evanescently coupled helical waveguides, where the diffraction of light through the system is described by the paraxial wave equation, which is mathematically equivalent to the Schrödinger equation. A closely related system has been used for the observation of Floquet photonic topological insulators5.

To explain the mechanism that underlies our photonic topological Anderson insulator, we start with a honeycomb lattice of helical waveguides, which is a photonic Floquet topological insulator. The equation that describes the diffraction of a paraxial beam of light in this lattice can be written, under the tight-binding approximation, as

\[ i \partial_z \psi_i = c \sum_{j \in \{n,m\}} e^{-iA(z)} \delta \psi_j + m_i \delta \psi_i \equiv \sum_j \hat{H}_i(z) \psi_j \] (1)

where \( z \) is the distance of propagation along the waveguide axis, \( \psi_i \) is the envelope function of the electric field in the \( i \)th waveguide, \( c \) is the coupling strength between waveguides, \( A(z) = kR \Omega z (\cos(k \Omega z), \sin(k \Omega z), 0) \) is the gauge field induced by the helicity, \( k \) is the wavenumber of the light in the medium (fused silica), \( R \) is the radius of the helix, \( \Omega \) is the longitudinal frequency associated with the helix, \( a \) is the nearest-neighbour spacing and \( r_{ij} \) is the displacement vector pointing from waveguide \( i \) to waveguide \( j \). The honeycomb lattice comprises two triangular sublattices. The parameter \( \delta \) takes the value 1 in one sublattice and −1 in the other, such that the on-site energies of the two are separated by the detuning 2\( m_i \). Equation (1) defines \( \hat{H}(z) \) as the Hamiltonian at propagation distance \( z \), and the summation therein is taken over nearest-neighbour waveguides. This is exactly the Schrödinger equation, where \( z \) takes the role of time. Because \( A(z) \) is \( z \)-dependent and periodic, solutions to equation (1) can be obtained by using Floquet’s theorem. Thus, the band structure can be obtained by diagonalizing the unitary evolution operator for one period40. Detuning the two triangular sublattices breaks the inversion symmetry of the structure and opens a trivial bandgap. This can be quantified with a mass \( m_i \) associated with the effective Dirac equation of the honeycomb lattice in the absence of the periodic driving. In the undetuned case (\( m_i = 0 \)), the Dirac-cone dispersion is equivalent to that of massless relativistic particles. Such detuning can be realized experimentally in waveguide arrays by allowing the two honeycomb sublattices to have different refractive indices.

The mechanism according to which we realize the photonic topological Anderson insulator is depicted schematically in Fig. 1a. The band structure of the honeycomb lattice with straight and identical waveguides, so that the induced gauge field \( A(z) = 0 \) and \( m_i = 0 \), is shown in Fig. 1b. This band structure corresponds to that of a ribbon (associated with the zigzag edge of graphene31). As known in graphene physics, the ribbon band structure exhibits two Dirac cones (red ellipses in Fig. 1b) that are connected by a flat band of edge states31. When the waveguides follow a helical trajectory such that \( A(z) \) is non-zero (Fig. 1c), the \( z \)-reversal symmetry is broken and a bandgap opens. In this case, each valley acquires an opposite mass: \( m_i \) and \( -m_i \), for the left and right valleys, respectively. These opposite masses imply that the edge states cross the bandgap, with each edge state localized to opposite sides of the ribbon. Therefore, the bandgap is topological; the edge states form a single backscattering-free chiral edge state that is localized to the edge of the structure. This is the essence of a Floquet topological insulator in the honeycomb system6.

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Consider now what happens when the sublattices of the Floquet topological insulator are detuned so that the two sublattices exhibit different refractive indices. Introducing non-zero detuning breaks the inversion symmetry of the lattice, which adds a positive mass term $m_\tau$ to each valley. If the detuning is large enough ($m_\tau > m_\sigma$), then the masses $m_\tau + m_\sigma$ and $-m_\tau + m_\sigma$ in both valleys become positive and the bandgap becomes trivial (Fig. 1d). In other words, the outcome of a sufficiently detuned honeycomb lattice of helical waveguides is a topologically trivial system with broken inversion and time-reversal symmetry. This is where disorder comes into play: as was recently proposed\(^2\), this system can be brought into a topologically non-trivial phase by introducing disorder and increasing its strength, causing an effective decrease in the detuning $m_\tau$. The decrease in $m_\tau$ grows stronger as the strength of the disorder is increased. Therefore, upon introducing disorder and increasing its strength, the mobility gap closes at a fixed energy ($\delta = m_\tau = m_\sigma$) and opens for $m_\tau > m_\sigma$. When the gap opens, the system is topological (Fig. 1e). This is precisely what we see. In Fig. 2b we show the output facet of the array in the case where $k_x$ lies in the bandgap (top) and the case where it lies in the band (bottom). When $k_x$ is in the gap, a chiral edge state is excited: the optical wave packet is launched from the straw and couples to the chiral edge state, where it propagates unidirectionally upwards in a clockwise direction around the honeycomb lattice, but does not penetrate into the lattice. On the other hand, when $k_x$ lies in the band, the wave packet couples to bulk states; hence, it penetrates into the lattice and spreads into the array, so does not stay confined to the edge. The evolution of the edge state is shown in Fig. 2c. As the input beam is brought closer to the array, the edge state travels farther along the edge until it passes the top corner. The fact that it moves only upwards, and stays confined to the edge, is a signature of the chirality of the edge state. We note that for the choice of parameters of the waveguide array used in the experiment the longitudinal frequency of the helix $\Omega$ is smaller than the total bandwidth ($6c$) in the absence of the helix. This results in an additional topological gap, which opens at a quasienergy of around $k_z = \Omega/2$ and hosts chiral edge states, as shown in Fig. 2a. However, by using the selective excitation through the straw, in this experiment we always excite states with quasienergies close to $k_z = 0$, and do not probe states with quasienergy near $k_z = \Omega/2$.

We now describe the probing of a series of helical photonic lattices, where we introduce detuning between the sublattices ($m_\tau = 0$) of the honeycomb structure by making their refractive indices different. In practice, this is done by changing the speed of the laser-writing beam during the fabrication process (a higher writing speed results in a lower refractive index of the waveguides). In a series of six waveguide arrays, we systematically increase this detuning, decreasing the gap size as
$m_\delta$ increases, and examine how much the wavefunction launched through the straw penetrates into the lattice (plotted in Fig. 3). To do that, we choose $k_x = \pi/(2a)$, where the Bloch wavenumber in the straw corresponds to the value of $k_z$ in the centre of the bandgap of the honeycomb lattice. For sufficiently strong detuning $m_\delta$, we observe a sharp decrease in the penetration of light along the edge, which corresponds to the closing of the topological bandgap and the reopening of the trivial bandgap at $k_z = 0$. This observation constrains $m_\delta$ to be larger than 1.57 cm$^{-1}$, although precise determination of the on-site waveguide propagation constants within the array is not possible (owing to the exponential sensitivity of the refractive index to write speed and the effect of the lattice environment on the individual waveguides). Indeed, in the trivial gap, no states are present, and there is a dramatic drop in the penetration into the array along the edge. The inability to couple to edge states establishes that we have introduced sufficiently strong detuning, $m_\delta$, to have opened the trivial bandgap, which does not support edge modes.

Next, we introduce on-site disorder and demonstrate the formation of the photonic topological Anderson insulator by observing whether the mid-gap excitation gives rise to coupling to a topological edge state. The disorder enters equation (1) on the right-hand side via an additional term, $w r_i \psi_i$, where $r_i$ is a uniformly distributed random variable between $-0.5$ and $0.5$ and $w$ is the strength of the disorder. We find that for sufficiently strong disorder (corresponding to a maximum variation in laser write speed of 8 mm min$^{-1}$), the mid-gap excitation is able to couple into the lattice, staying largely confined to the edge (see Fig. 4a–c). As we move the input beam closer to the array, the light coupled into the array propagates farther along the edge, much as in the non-disordered topological system (with $m_\delta = 0$) of Fig. 2c. The beam moves up along the left edge, implying the presence of a chiral edge state (that was not present when the system was not disordered).

We find the group velocity of the edge state to be $21 \mu$m cm$^{-1}$. We fit the profile of the excited wavefunction and find that it decays exponentially away from the edge, consistent with having excited predominantly the edge states (see Methods). For comparison, we show the same scenario but with no disorder and with the same $m_\delta$ in Fig. 4d–f. When no disorder is present, there is no observable edge excitation and minimal bulk penetration; that is, the beam launched into the topologically trivial photonic bandgap is reflected. The small amount of bulk penetration seen in Fig. 4d–f probably arises from the finite bandwidth of the input beam in $k_z$. The appearance of the chiral edge state when the disorder is sufficiently strong is evidence of the photonic
Formation of the photonic topological Anderson insulator. a–c. When sufficient disorder is added, the system is driven into the topological Anderson insulator phase and chiral edge states form. This is demonstrated by moving the excitation in the straw (solid arrows) close to the (disordered) honeycomb lattice, so that the edge state crawls up the edge (dashed arrows). The excitation in the straw is far from the honeycomb lattice (22 waveguides away; a); the excitation is at an intermediate distance from the lattice (11 waveguides away; b); and the excitation is close to the lattice (4 waveguides away; c). The dashed boxes indicate the honeycomb waveguide array. d–f. By contrast, in the fully ordered, detuned helical honeycomb lattice, essentially all light is reflected into the straw, with only a small part penetrating into the bulk without exciting any edge mode. The excitation in the straw is far from the honeycomb lattice (d); the excitation is at an intermediate distance from the lattice (e); and the excitation is close to the lattice (f) (distances as in a–c). g. Phase diagram showing the trivial and topological phases as a function of the detuning mass, $m_d$, and disorder strength, $w$, obtained using experimental parameters (see Methods). The red arrow indicates the trajectory through the phase diagram as the system starts from the trivial phase and enters the topological phase with increasing disorder strength.

After this paper was submitted, a related paper appeared on arXiv that reported the observation of a topological Anderson insulator in one-dimensional atomic wires.

Online content
Any Methods, including any additional references and Source Data files, are available in the online version of the paper at https://doi.org/10.1038/s41586-018-0418-2.

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METHODS
Details of the fabricated lattice. In our waveguide structures, we use a helix pitch of \(Z = 1\) cm, a radius of \(R = 10\) \(\mu\)m and a nearest-neighbour spacing of \(a = 14\) \(\mu\)m; at our probe wavelength (\(\lambda = 633\) nm), this corresponds to a dimensionless gauge field strength of \(|A| = kR/\lambda = 1.26\), with \(k = 2\pi n_0/\lambda\), \(\Omega = 2\pi / Z\), an ambient refractive index \(n_0 = 1.45\) and \(a = 14\) \(\mu\)m nearest-neighbour spacing, which gives rise to a nearest-neighbour coupling strength of \(1.8\) cm\(^{-1}\). The waveguides are written at an average writing speed of 90 mm min\(^{-1}\). A broad input probe beam is incident on the wave, with a beam waist of approximately 100 \(\mu\)m.

Discussion of the topological invariant that characterizes the photonic topological Anderson insulator phase. The topological invariant that characterizes the non-interacting two-dimensional periodically driven systems (in the absence of any additional symmetry besides particle number conservation) is the winding number \(W_{\phi}\), which depends on the quasi-energy \(\epsilon_k\). For each quasienergy \(\epsilon_k\), the value \(W_{\phi}\) is equal to the number of chiral edge states at that quasienergy \(\epsilon_k\). To compute the winding number for \(\epsilon_k = 0\), we first compute the Bott index of the Floquet band \(\tilde{\epsilon}_{k_0}\), which lies in the quasienergy interval \(0 \leq \epsilon_k \leq \Omega / 2\). This Bott index essentially counts the number of chiral edge states that traverse the gap at \(\epsilon_k = \Omega / 2\) less the number of chiral edge states that traverse the gap at \(\epsilon_k = 0\). In addition, we verify that for the range of \(m_\ell\) shown in Fig. 4 (\(m_\ell > 1.4\) cm\(^{-1}\)), the gap at \(\epsilon_k = \Omega / 2\) hosts a single chiral edge state for the entire range of parameters with no disorder. Therefore, we conclude that, throughout the range of parameters shown in Fig. 4g, a Bott index of 0 corresponds to a topological gap at \(\epsilon_k = 0\) and a Bott index of 1 corresponds to a trivial gap at \(\epsilon_k = 0\).

Decay length of the edge state. We extract the decay length of the edge state from the experimental data. To achieve this, we analyse the intensity profile of the light propagating along the edge. To average over the disorder potential, we integrate the envelope of the intensity profile to an exponential function. We fit the envelope of the intensity profile to an exponential function (Extended Data Fig. 1a) decays with increasing distance from the edge, with peaks at the waveguide positions. We fit the envelope of the intensity profile to an exponential decay, which results in a decay length of the edge state of \(47\) \(\mu\)m (Extended Data Fig. 1b). This length scale is much smaller than the system size (158 \(\mu\)m). This result demonstrates that the system is sufficiently large for us to have observed the edge state. We do not observe any light at the far edge of the sample (the edge opposite the point at which the waveguide meets the sample), which indicates that the system size is sufficiently large that there is no considerable coupling between edge states on opposite sides.

We compare the experimentally obtained value of the decay length of the edge states with theoretical values obtained from a numerical simulation of a tight-binding model. The simulations are run for lattices with \(60 \times 30\) unit cells with periodic boundary conditions along \(y\) and open boundary conditions along \(x\) for a total time of \(N = 30\) periods of rotation of the helices. The parameters for the tight-binding model are chosen as \(\epsilon = 1.8\) cm\(^{-1}\), \(c_{\text{nnn}} = 0.234\) cm\(^{-1}\), \(m_\ell = 1.6\) cm\(^{-1}\), \(\Omega = 2\pi / Z\), \(kR/\lambda = 1.26\) and \(w = 1.6\) cm\(^{-1}\), where \(c_{\text{nnn}}\) is the next-nearest-neighbour hopping strength. To extract the decay length from the numerical simulation, we numerically compute the Green’s function \(G_\Omega(r_0, r, NT) = \langle \psi \bar{U}(t = NT, 0) | \psi \rangle\), where \(U(t = NT, 0)\) is the evolution operator over \(N\) periods. From the Fourier transform of the Green’s function in time, we compute \(g_\Omega(r_0, r, \epsilon) = \langle |G_\Omega(r_0, r, \epsilon)|^2 \rangle\), where the angle brackets denote averaging over multiple realizations of the disorder. (For more details on the definition of these quantities, see ref. \(^{27}\), in short, \(U(t, t_0)\) is the time-dependent propagator from time \(t_0\) to \(t\) and \(|\psi\rangle\) are position eigenstates and \(\bar{\psi}\) is the quasiparticle, equivalent to \(k_\ell\) in the experiment.) For initial positions \(r_0\) localized on the edge and \(\epsilon\) in the mobility gap (near quasienergy \(\epsilon = 0\)), the function \(g_\Omega(r_0, r, \epsilon)\) shows propagation along the edge and an exponentially localized profile confined to the edge. We integrate \(g_\Omega(r_0, r, \epsilon = 0)\), averaged over 100 realizations, along the direction parallel to the edge (that is, we integrate over the \(y\) component of \(r\)). We extract the decay length from the decay profile of the result (which is a function of only the distance from the edge). The results (Extended Data Fig. 1c) give a decay length of \(7\mu\)a.

From our numerical simulations, we can also extract the group velocity along the edge. This is achieved by examining the dependence of \(g_\Omega\) averaged along the \(x\) direction (perpendicular to the edge), as a function of time. The spread of this function along the \(y\) direction (parallel to the edge) can be quantified using a typical length scale \(\Lambda_{\text{typ}}(N)\), which is obtained by examining the inverse participation ratio of the disorder-averaged Green’s function (integrated over the \(x\) direction) \(^3\). In the inset of Extended Data Fig. 1c, we show the dependence of \(\Lambda_{\text{typ}}(N)\) on \(N\), which clearly shows a linear growth indicating the ballistic nature of the edge state. The slope gives the velocity, which is \(1.2\) cm\(^{-1}\).

From the numerically obtained disorder-averaged Green’s function, we also extract the localization length of the bulk states. We take an initial position \(r_0\) in the bulk of the system and plot the corresponding function \(g_\Omega(r_0, r, \epsilon) = \langle |G_\Omega(r_0, r, \epsilon)|^2 \rangle\) (Extended Data Fig. 1d). This yields a bulk localization length of \(4\mu\)a. Our numerical analysis thus shows that quasienergies near \(\epsilon = 0\) are indeed in a mobility gap. Furthermore, the bulk localization length in the mobility gap near \(\epsilon = 0\) is indeed sufficiently smaller than the system size.

Data availability. All data generated or analysed during this study are available from the corresponding author upon reasonable request.

36. Titum, P., Lindner, N. H. & Refael, G. Disorder-induced transitions in resonantly driven Floquet topological insulators. Phys. Rev. B 96, 054207 (2017).
Extended Data Fig. 1 | Experimental and numerical results for the disordered system. a, The averaged intensity profile of the edge state, which peaks at the waveguide positions. b, A fit through the waveguide peak intensities decays exponentially, with a decay length of 47 μm. c, The function $g_N(r_0, r, \varepsilon)$, integrated along the edge, showing a decay length of about 7a. The inset shows the simulated displacement of the wavefunction along the edge for the parameters listed in Methods, from which the group velocity can be extracted. d, The function $g_{\text{bulk}}(r_0, r, \varepsilon)$, for an initial position $r_0$ deep in the bulk of the system, showing that the bulk localization length is approximately 4a.