High-harmonic generation from monolayer and bilayer graphene

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High-harmonic generation (HHG) in solids is an emerging method to probe ultrafast electron dynamics in solids at attosecond time scale. In this work, we study HHG from monolayer and bilayer graphene. Bilayer graphenes with AA and AB stacking are considered in this work. It is found that the monolayer and bilayer graphenes exhibit significantly different harmonic spectra. The difference in the spectra is attributed to the interlayer coupling between the two layers. Also, the intraband and interband contributions to the total harmonic spectrum play a significant role. Moreover, interesting polarization and ellipticity dependence are noticed in total harmonic spectrum for monolayer and bilayer graphene.

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I. INTRODUCTION

Thanks to the technological advances that have enabled us to study strong-field driven processes in solids. Strong-field-driven electron dynamics opens an avenue to control and understand carrier dynamics on attosecond time scale [1]. High-harmonic generation (HHG) is one such strong-field-driven process in which radiation with integer multiples of the incident driving frequency is emitted. After the pioneering work of Ghimire et al. [2], HHG in solids has opened the door to study the electronic structure and its dynamics with the characteristic time scale in solids [1,3,4]. In recent years, HHG in solids has been employed to explore several exciting processes such as band structure tomography [5–7], probing the dynamics of the defects in solids [8,9], the realization of petahertz current in solids [10,11], and Bloch oscillations [12,13]. Moreover, HHG in solids offers an attractive all-solid-state compact optical device to obtain coherent and bright attosecond pulses in the extreme ultraviolet energy regime [1,3,14].

The realization of an atomically thin monolayer graphene has catalyzed a series of breakthroughs in fundamental and applied sciences [15]. Graphene shows unusual electronic and optical properties in comparison to its bulk counterpart [16]. The unique electronic structure of graphene exhibits varieties of nonlinear optical processes [17–19]. HHG from monolayer and few-layer graphenes has been extensively studied in the past [20–32]. The underlying mechanism of HHG in graphene [33] was different from the one explained using a two-band model by Vampa et al. [34]. The intraband current from the linear band dispersion of graphene was expected to be the dominating mechanism [29,30]. This is a consequence of the highly nonparabolic nature of the energy bands [2]. In contrast to this prediction, the interband and intraband mechanisms in graphene are found to be coupled [23,26,27,32] except for low-intensity driving fields [23]. Vanishing band-gap and diverging dipole matrix elements near Dirac points lead to strong interband mixing of valence and conduction bands in graphene [33,35]. The ellipticity dependence of HHG from graphene has been observed experimentally [24,26] as well as discussed theoretically [24–27]. Taucer et al. [26] have demonstrated that the ellipticity dependence of the harmonics in graphene is atomlike, whereas a higher harmonic yield for a particular ellipticity was observed by Yoshikawa et al. [24]. The anomalous ellipticity dependence was attributed to the strong-field interaction in the semimetal regime [24,36].

Along with monolayer graphene, bilayer graphene is also attractive due to its interesting optical response [37]. A bilayer graphene can be made by stacking another layer of the monolayer graphene on top of the first. Three suitable configurations of the bilayer graphene are possible: (a) AA stacking in which the second layer is placed exactly on top of the first layer; (b) AB stacking in which the B atom of the upper layer is placed on the top of the A atom of the lower layer, whereas the other type of atom occupies the center of the hexagon; and (c) twisted bilayer in which the upper layer is rotated by an angle with respect to the lower layer. AB stacking, also known as the Bernal stacking, is the one that is a more energetically stable structure and mostly realized in experiments [see Fig. 1(b)] [38,39]. Recently, electron dynamics [40–42] and valley polarization in bilayer graphene on ultrafast time scale have been discussed theoretically [43]. Avetissian et al. have discussed the role of the multiphoton resonant excitations in HHG for AB-stacked bilayer graphene [44]. Moreover, HHG from a twisted bilayer graphene has been explored recently [45]. However, the comparison of HHG from monolayer and bilayer graphenes and a thorough investigation of the role of interlayer coupling are unexplored.

In this work, we investigate HHG from monolayer and bilayer graphenes with AA and AB configurations. Moreover, the roles of interband and intraband contributions are investigated in both cases. The role of interlayer coupling in HHG from bilayer graphene is investigated. Furthermore, polarization and ellipticity dependences of the HHG are discussed. This paper is organized as follows: The theoretical model and
numerical methods are presented in Sec. II. Sec. III presents the results and discussion of our numerical simulations, and the conclusions are presented in Sec. IV.

II. NUMERICAL METHODS

The real-space lattice of monolayer graphene is shown in Fig. 1(a). Carbon atoms are arranged in a honeycomb lattice with a two-atom basis unit cell. The A and B atoms in Fig. 1(a) represent two inequivalent carbon atoms in the unit cell. The lattice parameter of graphene is equal to 2.46 Å. Nearest-neighbor tight-binding approximation is implemented by only considering the $p_z$ orbitals of the carbon atoms. The Hamiltonian for monolayer graphene is defined as

$$\hat{H}_0 = -t_0 f(\mathbf{k}) \hat{a}^\dagger_k \hat{b}_k + \text{H.c.} \quad (1)$$

Here, $\hat{a}_k$, $\hat{b}_k$ are, respectively, the annihilation operators as- 

$$\hat{H}_{AB} = -t_0 f(\mathbf{k}) [\hat{a}^\dagger_{1k} \hat{b}_{1k} + \hat{a}^\dagger_{2k} \hat{b}_{2k}] + t_1 \hat{a}^\dagger_{2k} \hat{b}_{1k} + \text{H.c.} \quad (2)$$

Here, 1 and 2 denote the carbon atoms in the upper and lower layers, respectively. An interplane hopping energy $t_1$ of 0.48 eV is used for an interlayer separation equal to 3.35 Å

$$\hat{H}_{AB} = -t_0 f(\mathbf{k}) [\hat{a}^\dagger_{1k} \hat{b}_{1k} + \hat{a}^\dagger_{2k} \hat{b}_{2k}] + t_1 \hat{a}^\dagger_{2k} \hat{b}_{1k} + \text{H.c.} \quad (2)$$

where $\hat{F}(t) = -dA(t)/dt$, $\hat{E}^{k+A}(t)$ and $\hat{d}_{mn}^{k}$ are the band-gap energy and the dipole-matrix elements between $m$ and $n$ bands, respectively. Here $\tilde{E}_{nm}$ is defined as (1-$\tilde{E}_{nm}$); $\tilde{d}_{nm}$ are calculated as $\tilde{d}_{nm} = -i(\langle u_{m,k}|\nabla_k|u_{n,k}\rangle)$, where $|u_{m,k}\rangle$ is the periodic part of the wave function. A phenomenological term accounting for the decoherence is added, with a constant dephasing time $T_2$. For HHG from monolayer graphene, a dephasing time within the range of 2–35 fs has been used in the past [26,27,32]. Moreover, a detailed investigation about dephasing time dependence on HHG from monolayer graphene has been discussed in Ref. [27]. In this work, dephasing time of 10 fs is considered for monolayer and bilayer graphene.

The total current at any $k$-point in the BZ is calculated as

$$J(k, t) = \sum_{m,n} \rho_{nm}^{k}(t) \rho_{nm}^{k+A}(t)$$

$$= \sum_{m \neq n} \rho_{nm}^{k}(t) \rho_{nm}^{k+A}(t) + \sum_{m,n} \rho_{nm}^{k}(t) \rho_{nm}^{k+A}(t)$$

Here, $p_{nm}^{k}$ are the momentum matrix elements, and $J_{\text{inter}}(k, t)$ and $J_{\text{intra}}(k, t)$ are interband and intraband contributions to the total current, respectively. The high-order harmonic spectrum is determined from the Fourier transform of the time derivative of the current as

$$I(\omega) = \left| FT \left\{ \frac{d}{dt} \left[ \int_{BZ} J(k, t) \, dk \right] \right\} \right|^2 \quad (5)$$

In the present work, driving laser pulse with an intensity of $1 \times 10^{11}$ W/cm$^2$ and wave length of 3.2 μm is used. The laser pulse is made up of eight cycles in duration with a sin-squared envelope. The intensity of the driving pulse is much below the damage threshold and lower than the one used in experimental HHG from graphene [24,26]. The same parameters of the driving laser pulse are used throughout unless stated otherwise.

III. RESULTS AND DISCUSSIONS

Figure 2 presents the HHG spectra of monolayer graphene and its comparison with the spectra of the bilayer graphene for a linearly polarized laser pulse having polarized along the $x$ axis ($\Gamma$-K in the BZ). Here, AB stacking of bilayer graphene is considered. The intensity of the HHG spectra

FIG. 1. Monolayer and bilayer graphene (AB-stacked). (a) and (b) The top view of the monolayer and bilayer graphenes, respectively. The carbon atoms are arranged in a honeycomb lattice with two inequivalent carbon atoms (A and B). In bilayer graphene, the B atoms of the top layer are placed on top of A atoms of the bottom layer. (c) The band structure of the monolayer (green) and bilayer graphene (violet).
and intraband transitions take place at the same energy scales. Unlike the wide band-gap semiconductors [52], the interband contributions was reported for monolayer graphene [23,26,27].

There is a strong interplay of interband and intraband contributions from interband and intraband contributions is plotted in the insets of Figs. 2(b) and 2(c), respectively. For AB-stacked bilayer graphene, a hopping energy \( t_{\perp} \) of 0.3 eV is used [54,55]. The corresponding harmonic spectrum is presented in Fig. 3(b). It is evident from Fig. 3(a) that H5 and higher-order harmonics are changing with respect to \( t_{\perp} \). Moreover, different harmonic orders affected differently. Therefore, harmonic orders are non-linear functions of interlayer coupling.

To explore further how different hopping terms affect the HHG in bilayer graphene, an additional hopping term, \( t_3 \), between B atoms of the top layer and A atoms of the bottom layer is introduced. The modified Hamiltonian for AB-stacked bilayer graphene can be written as,

\[
\hat{H}_{AB} = -t_0 f(k) [\hat{a}_{1k}^\dagger \hat{b}_{1k} + \hat{a}_{2k}^\dagger \hat{b}_{2k}] \\
+ t_{\perp} \hat{a}_{3k}^\dagger \hat{b}_{1k} - t_3 f^*(k) \hat{a}_{1k}^\dagger \hat{b}_{2k} + \text{H.c.} \quad (6)
\]

Here, a hopping energy \( t_3 \) of 0.3 eV is used [54,55]. The corresponding harmonic spectrum is presented in Fig. 3(b). It is evident from the figure that the additional interlayer coupling \( t_3 \) affects all the harmonics higher than H3. It is apparent that the interlayer coupling has a strong role in determining the nonlinear response of bilayer graphene.

Now let us discuss how HHG depends on different stacking configurations of the bilayer graphene. As discussed in the introduction, bilayer graphene can be realized in AA and AB stacking. AA stacking of bilayer graphene is realized by stacking the monolayer precisely on top of the first layer. The top view of the AA-stacked bilayer looks exactly as a monolayer graphene [Fig. 1(a)], where A1 couples with A2 and B1 couples with B2 with a coupling strength of \( t_{\perp} \). The harmonic profile of the bilayer graphene with AA configuration matches well with the spectrum of monolayer graphene as presented in Fig. 3(c).

The band structures near the \( \Gamma-K \) direction is considered here. The high-harmonic intensity is normalized to the total number of electrons in monolayer and bilayer graphenes. The interband and intraband contributions to the high-harmonic spectrum for (b) monolayer and (c) bilayer graphenes. The total harmonic spectrum is also plotted for the reference. The relative harmonic yield (integrated) for different orders of interband and intraband contributions is plotted in the insets of (b) and (c), respectively. (d) The normalized optical joint density of states (JDOS) of monolayer (green) and bilayer (violet) graphenes.
slight change in band structure results in a significant change in the spectrum [see Fig. 3(b)]. On the other hand, for AA-stacked bilayer graphene, the difference in the band structure is not reflected in the spectrum [see Fig. 3(c)].

A better understanding about the HHG mechanism can be deduced by considering the roles of the band structure as well as the interband momentum-matrix elements. The energy bands of the AA-stacked bilayer graphene within nearest-neighbor tight-binding approximation are given by $E(k) = \pm t_{\perp} \pm t_0 |f(k)|$. This is equivalent to the shifted energy bands of monolayer graphene by $\pm t_\perp$ as shown in Fig. 3(e). On the other hand, in AB-stacked bilayer graphene, all pairs of bands have nonzero momentum matrix elements near the $K$-point as shown in Fig. 3(d). The similar band dispersion and joint density-of-states compared to monolayer graphene result in similar harmonic spectrum in AA-stacked bilayer graphene. On the other hand, in bilayer graphene, an electron in the conduction band can recombine to a hole in any of the valence bands near the $K$-points as shown in Fig. 3(d). These different interband channels interfere and therefore generate the resulting harmonic spectrum for the AB-stacked bilayer graphene.

From here onward only bilayer graphene with AB stacking is considered, as the HHG spectra of the monolayer and bilayer graphenes with AA stacking are the same. In the next subsections, we explore polarization and ellipticity dependences of the HHG from monolayer and bilayer graphenes.

**B. Polarization dependence of the high-harmonic spectrum**

The vector potential corresponding to a linearly polarized laser pulse can be defined as

$$ A(t) = A_0 f(t) \cos(\omega t) \cos(\theta) \hat{e}_x + \sin(\theta) \hat{e}_y. $$

(7)

Here, $f(t)$ is the envelope function and $\theta$ is an angle between laser polarization and the $x$ axis ($\Gamma$–$K$ in the BZ).

The polarization-direction dependence of the harmonic yield for monolayer and bilayer graphenes is presented in Figs. 4(a) and 4(b), respectively. All the harmonics mimic the sixfold symmetry of the graphene lattice. As reflected from the figure, H3 exhibits no significant polarization sensitivity for both monolayer and bilayer graphenes. However, harmonics, higher than H3, show anisotropic behavior in both cases. Moreover, H5 of monolayer and bilayer graphenes shows different polarization
dependence. The harmonic yield is maximum for angles close to 15° and 45° in monolayer graphene.

To understand the polarization dependence of the harmonic yield in monolayer graphene, we employ a semiclassical explanation as proposed in Refs. [56,57] by assuming that the interband transitions can be translated to a semiclassical real-space model [1,58]. One-to-one correspondence between interband transition and interatom hopping in graphene was shown by Stroucken et al. [53]. Here, we assume that an electron can hop between two atoms when the laser is polarized along a direction in which it connects the atoms. The contributions to the harmonic yield from different pairs of atoms drop significantly as the distance between the atoms increases. This is in principle governed by the interatom momentum matrix elements [53]. By assuming a finite radius for atoms, farther atoms show sharper intensity peaks as a function of angle of polarization.

Figures 4(c) and 4(d) show the nearest neighbors of A and B types of atoms in the unit cell, respectively. Brown-, orange-, green-, and violet-colored atoms are, respectively, first, second, third, and fourth neighbors. By only considering the nearest-neighbor hopping in graphene, we can see that the maximum yield should be for 30° (Γ-M direction). However, the maximum yield is near 15° and 45° as seen from Fig. 4(a).

This means that the contributions up to the fourth nearest neighbors should be considered to explain the polarization dependence of H5 and seventh harmonic (H7) of monolayer graphene.

In bilayer graphene, H7 follows the same qualitative behavior as that of H5 and H7 of monolayer graphene [Fig. 4(b)]. In contrast, H5 shows different behavior and obeys the symmetry of the second nearest neighbor. It is clear from Fig. 3(d) that there are multiple paths for interband transitions for bilayer graphene. In bilayer graphene, interband transitions from different pairs of valence and conduction bands can contribute to a particular harmonic, and these different transitions interfere. This makes the mechanism of harmonic generation from monolayer and AB-stacked bilayer graphene different.

It is important to point out that the polarization dependence is sensitive to the wavelength of the driving laser pulse. For longer wavelengths, electron dynamics occur in the isotropic parts of the reciprocal space (close to K-points), and as a result the harmonic spectrum can be entirely isotropic. We have confirmed that the different symmetry observed for monolayer and bilayer graphenes is consistent with varying wavelengths of the driving laser (not shown here), and our explanation remains consistent.

C. Ellipticity dependence of the high-harmonic spectrum

The HHG spectra for monolayer and bilayer graphenes corresponding to different polarizations of the driving laser pulse are shown in Fig. 5. The vector potential corresponding to the elliptically polarized pulse with an ellipticity $\epsilon$ is defined as

$$\mathbf{A}(t) = \frac{A_0 \tilde{f}(t)}{\sqrt{1 + \epsilon^2}} [\cos(\omega t) \hat{e}_\epsilon + \epsilon \sin(\omega t) \hat{e}_\perp].$$

Here, the same laser parameters are used as mentioned in the Sect. II. Both monolayer and bilayer graphenes show significant ellipticity dependence in the harmonic yield. A negligible harmonic yield is obtained for circularly polarized laser pulse. This indicates that using a single color midinfrared circular driver is not an appropriate method to generate circularly polarized harmonics from graphene. This has already been experimentally demonstrated [24,26]. Recent theoretical studies revealed that efficient generation of circularly polarized harmonics is possible from graphene either by using a near-infrared circular laser pulse [28] or by using midinfrared bicircular counter-rotating laser pulses [31]. The harmonic spectrum corresponding to bilayer graphene shows the (6n ± 1) harmonic orders for circularly polarized laser, as expected from the symmetry considerations [30]. To have a better understanding about the variation of the harmonics as a function of ellipticity of the driving laser, we show the integrated harmonic yield below.

The harmonic yield as a function of ellipticity for the monolayer (top panel) and bilayer graphenes (bottom panel) are presented in Fig. 6. The total harmonic yield is normalized with respect to the harmonic yield for $\epsilon = 0$. The ellipticity dependence of all the three harmonics agrees qualitatively well for monolayer and bilayer graphenes. The atomiclike ellipticity dependence of H3 can be attributed to its isotropic nature (see first column of Fig. 6). However, H5 and H7 show a characteristic ellipticity dependence. The harmonic yield has a maximum for a finite value of the ellipticity and is polarized along the normal direction of the major axis of the ellipse. This interesting feature was observed for the monolayer graphene experimentally and explained as a consequence of the semimetallic nature of the monolayer graphene [24]. Since bilayer graphene is also semimetallic, it is also expected to exhibit similar ellipticity dependence, which we confirm here.

The different qualitative behaviors of the ellipticity dependence of H3 compared to H5 and H7 are also consistent with
the findings that the interband and intraband mechanisms respond differently to the ellipticity of the driving laser [59] [see also insets of Figs. 2(b) and 2(c)]. The characteristic ellipticity dependence of monolayer graphene was shown to be dominated by interband contributions in Ref. [27]. The ellipticity of the maximum yield is different for bilayer graphene as a consequence of interlayer coupling.

The averaged ellipticity of the emitted harmonics as a function of the laser ellipticity shows interesting behavior as shown in Fig. 6 (see green color). The averaged ellipticity of H3 of monolayer and bilayer graphene shows monotonically increasing behavior. On the other hand, the behavior is highly nonlinear for harmonics higher than H3. It is also interesting to note that harmonics with higher ellipticity can be obtained by a nearly linearly polarized pulse ($\epsilon < 0.3$).

IV. CONCLUSIONS

In summary, HHG from monolayer and bilayer graphenes having AA and AB stacking is discussed. The harmonic spectra of the monolayer and bilayer graphenes are significantly different and exhibit characteristic features of having a vanishing band gap. The HHG spectrum of the bilayer graphene shows signatures of the interlayer coupling, which affects high-order harmonics nonlinearly and different harmonics are affected differently. The role of interlayer coupling was also found to be stacking dependent, resulting in the similar harmonic spectrum for monolayer and bilayer graphenes with AA stacking. A strong interplay of the interband and intraband contributions to the total harmonic spectrum is noticed. Moreover, characteristic polarization and ellipticity dependence are observed in monolayer and bilayer graphenes.

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