Laparoscopic resection of neuroblastomas in low- to high-risk patients without image-defined risk factors is safe and feasible

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Abstract

Background: Several studies have reported that minimally invasive surgery (MIS) might be considered for resecting neuroblastomas without image-defined risk factors (IDRFs); however, there are no studies comparing the outcomes of laparotomy and laparoscopy in IDRF-negative patients. Thus, we investigated the feasibility of laparoscopic surgery and compared the two abovementioned approaches.

Methods: To compare the effects of laparotomy with those of laparoscopy in patients with neuroblastomas without IDRFs, the following items were retrospectively compared: largest tumor dimension, volume of blood loss, time required to initiate postoperative feeding, locoregional recurrence rate, survival, etc.

Results: Nine patients without IDRFs (three at low-to-medium risk and six at high risk) underwent laparotomy, and seven patients without IDRFs (two at low-to-medium risk and five at high risk) underwent laparoscopy. Median duration of surgery was 221 (130–304) and 172 (122–253) min in the laparotomy and laparoscopy groups, respectively, showing no significant difference. Median postoperative time required for resuming meal consumption was significantly longer in the laparotomy (4 days; 2–5) group than that in the laparoscopy group (3 days; 2–3; \( p = 0.023 \)). Median blood loss was significantly higher in the laparotomy group (5 ml/Kg; 2.6–16) than that in the laparoscopy group (2.1 ml/Kg; 0.1–4.0; \( P = 0.037 \)). Median follow-up period was 81 (52–94) and 21 (17–28) months, locoregional recurrence rates were 22 and 0% at 1 year, 1-year progression-free survival rates were 78 and 100%, and overall survival rates were 67 and 100% in the laparotomy and laparoscopy groups, respectively, with no significant differences.

Conclusions: MIS for the treatment of neuroblastomas without IDRFs in low- to high-risk patients is safe and feasible and does not compromise the treatment outcome.

Keywords: Image-defined risk factors, Minimally invasive surgery, Laparoscopy, Neuroblastoma
selected pediatric malignant solid tumors was found to be both feasible and safe [8, 9]. Long-term follow-up data are essential to confirm its oncologic safety [10], and several reports have claimed that minimally invasive surgery (MIS) might be considered to resect neuroblastomas without IDRFs [11, 12]. Minimally invasive adrenalectomy is a promising approach for the resection of small adrenal tumors, and benign diseases are excellent candidates for this minimally invasive technique [13]. However, there have been no studies comparing laparotomy and laparoscopy for the treatment of neuroblastomas in low- to high-risk patients without IDRFs. Thus, we investigated the feasibility of laparoscopic surgery and attempted to compare both these approaches in IDRF-negative patients.

Methods
Prior to this study, all protocols were approved by the ethics review board of our institute (approval number: 2015-0086). The medical records of all patients aged 0–15 years who underwent total or partial resection of abdominal neuroblastoma at our department between August 2003 and August 2016 were retrospectively reviewed. Laparoscopic resection was introduced in February 2014 and was chosen when the tumor had no IDRF-positive factor except contact with renal vessels.

Determination of the therapeutic strategy was based on the Japan Neuroblastoma Study Group (JNBSG) protocol, where surgical intervention was considered when the patients achieved IDRF-negative status and had no metastatic lesions [14–16]. To evaluate the effects of laparoscopic surgery, patients with neuroblastomas without IDRFs who underwent laparotomy were compared with those who underwent laparoscopic surgery. The following items were retrospectively compared: N-myc proto-oncogene protein (MYCN), vanillylmandelic acid (VMA), homovanillic acid (HVA), neuron-specific enolase (NSE), largest tumor dimension, duration of surgery, volume of blood loss, time required to initiate postoperative feeding, locoregional recurrence rate and survival.

Statistical analysis was performed using the Fisher’s exact test for categorical variables and Wilcoxon’s signed-rank test for continuous variables with JUMP pro * 11 (SAS Institute Inc., Cary, NC, USA). A p-value of <0.05 was considered statistically significant.

Surgical laparoscopic procedure
The patient was placed in the supine or semi-lateral decubitus position, three flaps were created in the umbilicus in an inverted Y shape, a multi-port device was inserted, and the first port was placed [17]. Through the multi-port device, one or two additional ports and one or two working ports were inserted in the abdomen, and abdominal air pressure of 8–10 mmHg was applied. Resection was performed using laparoscopic coagulating shears. Then, the resected tumor was placed in a specimen retrieval bag and removed through the umbilical layer if it was 5 cm or less in diameter or by performing an additional Pfannenstiel incision if larger.

Results
Thirty-four patients (six at low-to-medium risk and 28 at high risk) underwent laparotomy, and nine patients (two at low-to-medium risk and seven at high-risk) underwent laparoscopy. The proportion of IDRF-negative patients in the laparoscopy group was significantly greater than that in the laparotomy group (78% vs. 26%; p = 0.008). No differences in sex, age, MYCN, VMA, HVA, NSE or tumor size (largest tumor dimension) were apparent between the groups. Only one of the two IDRF positive case was converted to laparotomy (Table 1).

In the laparotomy group, total resection was performed in nine out of 25 IDRF-positive patients; partial resection was performed in the remaining 16 (Fig. 1).

In the laparoscopic group, one of two IDRF-positive patients was converted from laparoscopy to laparotomy during the course of the procedure; dissection was difficult because of strong adhesions around the renal vessels. IDRF was positive in this case, and the renal artery was encased by the tumor so he underwent laparotomy with partial resection. The other IDRF-positive patient underwent laparoscopy with total resection (Fig. 2).

Nine IDRF-negative patients (three at low-to-medium risk and six at high risk) underwent laparotomy, and seven IDRF-negative patients (two at low-to-medium risk and five at high risk) underwent laparoscopy (Fig. 1), and the outcomes were compared between the two groups. Seven of the 9 cases in the laparotomy group and 5 of the 7 cases in the laparoscopy group received neoadjuvant chemotherapy, consisting of vincristine, cyclophosphamide, ifosfamide, doxorubicin, pirarubicin, cisplatin, carboplatin and etoposide, based on the JNBSG risk-matched multidrug regimen. Total resection was performed in all patients. There were apparent differences in age, sex, body weight, Kaup index, MYCN, VMA, HVA, NSE and tumor size (largest tumor dimension) between the groups. The following items were compared between IDRF-negative patients who underwent laparotomy (n = 9) or laparoscopy (n = 7): duration of surgery, volume of blood loss, time required for initiating postoperative meal consumption, locoregional recurrence at 1 year, and survival. The median duration of surgery was 221 min (130–304) in the laparotomy group and 172 min (122–253; p = 0.626) in the laparoscopy group, indicating no significant difference. The median duration to resume meal consumption was significantly longer in the laparotomy group (4 days; 2–5) than that
in the laparoscopy group (3 days; 2–3; \( p = 0.023 \)). The median volume of blood loss was significantly higher in the laparotomy group (5 mL/kg body weight; 2.6–16) than that in the laparoscopy group (2.1 mL/kg body weight; 0.1–4.0; \( p = 0.037 \)). The median follow-up period was 81 and 21 months, locoregional recurrence rates at 1 year were 22% (2 of 9 cases) and 0%, 1-year progression-free survival rates were 78 and 100%, and overall survival rates were 67 and 100% in the laparotomy and laparoscopy groups, respectively, indicating no significant differences (Table 2). No surgical complications (greater than Grade II severity, according to the Dindo classification) were observed in the IDRF-negative group [18]. Comparing conditions between the initial visit and pre-surgery (after neoadjuvant therapy) among the IDRF-negative patients, we found that the tumor size markedly decreased at pre-surgery (3.4 cm; range, 1.1–8.7; \( p = 0.031 \)) compared with the initial visit (5.2 cm; range, 3.1–11.3). In addition, five patients who underwent laparoscopic resection transitioned from IDRF-positive to IDRF-negative after chemotherapy. For example, in Case 1 (a 28-month-old male at high risk), although the tumor was found to envelop the renal vessels at the initial visit, the tumor size markedly decreased (from 9.0 cm to 4.6 cm) and IDRFs were absent on imaging after chemotherapy.

### Table 1

| Removal | Laparotomy (n = 34) | Laparoscopy (n = 9) | \( p \) |
|---------|---------------------|--------------------|------|
|         | Total 18 (53%) | Partial 16 (47%) | Total 8 (100%) | Conversion 1 (11%) |
| Age (months) \(^a\) | 45 [12–60] | 28 [21.5–51] | 0.300 |
| Sex | males 12 (35%) | females 22 (65%) | males 5 (56%) | females 4 (44%) | 0.440 |
| IDRF (\%) | IDRF+ 10 (29%) | IDRF− 9 (26%) | IDRF+ 2 (22%) | IDRF− 7 (78%) | \( p = 0.008 \) \(^b\) |
| MYCN amplified | amplified 9 (26%), single 14 (41%), unknown 11 (32%) | amplified 2 (22%), single 3 (33%), unknown 4 (44%) | 0.790 |
| HVA (mg/gCr) \(^a\) | 16.1 [13.1–23.8] | 18.2 [11.2–43.3] | 0.702 |
| VMA (mg/gCr) \(^a\) | 9.70 [8.9–17.8] | 9.1 [4.0–24.7] | 0.702 |
| NSE (ng/mL) \(^a\) | 11.4 [9.0–15.7] | 19.1 [11.0–21.0] | 0.051 |
| Largest tumor dimension (cm) \(^a\) | 4.0 [3.0–6.0] | 4.3 [2.6–4.8] | 0.704 |

\(^a\)Median [Interquartile range]

\(^b\)This \( p \) value shows that the proportion of IDRF-negative patients in the laparoscopy group was significantly greater than that in the laparotomy group

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**Fig. 1** Patient and risk-group stratifications

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This patient remained progression-free for 24 months after laparoscopic surgery. In Case 2 (a 51-month-old male at low risk), the tumor size was 8.7 cm and IDRFs were absent; thus, we performed laparoscopic total excision and removed the tumor through a Pfannenstiel incision without any complication (Fig. 4). This patient remained progression-free for 16 months after surgery.

**Discussion**

The advantages of MIS compared with those of open surgery, include marked reduction in postoperative pain and surgical site infection, and cosmesis [19]. Accordingly, there were significant differences in the volume of blood loss and time required to initiate postoperative feeding between laparotomy and laparoscopy for IDRF-negative patients. Thus, we considered that laparoscopy

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**Table 2** Characteristics of IDRF-negative patients who underwent laparotomy or laparoscopic tumor resection

| Risk                  | Surgery total removal | Laparotomy (n = 9) | Laparoscopic surgery (n = 7) | p   |
|-----------------------|-----------------------|--------------------|-----------------------------|-----|
| Low/intermediate 3 (33%) | Low/intermediate 2 (29%) | 28 [23–51]        | 0.143                      |
| High 6 (67%)           | High 5 (71%)          | total removal 9 (100%) | total removal 7 (100%)     | 0.838|
| Age (month) a          |                       | 12 [9.5–48]        | 28 [23–51]                  | 0.143|
| Sex                   | male 6 (67%)          | female 3 (33%)     | male 3 (43%)                | 0.615|
| Body weight (kg) a     | 8.65 [8.09–15.2]      | 11.8 [10.3–14.9]   | 0.143                      |
| Kaup index a           | 15.32 [13.87–16.65]   | 15.67 [15.51–16.45]| 0.626                      |
| MYCN amplified         | amplified 2 (22%), single copy 2 (22%), unknown 5 (56%) | amplified 2 (29%), single copy 2 (29%), unknown 3 (43%) | 0.771|
| VMA (mg/gCr) a         | 11.1 [9.6–17.0]       | 9.1 [7.8–19.8]     | 0.805                      |
| HVA (mg/gCr) a         | 21 [17.7–30.3]        | 18.2 [11.2–30.4]   | 0.805                      |
| NSE (ng/mL) a          | 9.6 [8.0–29.4]        | 19.3 [12.4–21.0]   | 0.326                      |
| Largest tumor dimension at surgery (cm) a | 3.3 [2.9–4.1] | 3.6 [2.7–5.0] | 0.626 |
| Duration of surgery (min) a | 221 [130–304] | 172 [122–253] | 0.626 |
| Loss of blood volume (mL/kg) a | 5 [2.6–16] | 2.1 [0.1–4.0] | **0.037** |
| Time to start postoperative feeding (days) a | 4 [2–5] | 3 [2–3] | **0.023** |
| Locoregional recurrence (1 year) | 22% | 0% | 0.475 |
| Progression-free survival (1 year) | 78% | 100% | 0.414 |
| Overall survival (1 year) | 67% | 100% | 0.231 |
| Follow up (months) a   | 81 [52–94]           | 21 [17–28]        | 0.626                      |

IDRF image-defined risk factor, MYCN N-myc proto-oncogene protein, VMA vanillylmandelic acid, HVA homovanillic acid, NSE neuron-specific enolase

aMedian [Interquartile range] bSignificant differences
was feasible for IDRF-negative patients. However, the tumor size limit for laparoscopic excision is unclear, and most reports have supported the indication of laparoscopic excision for tumors <4–6 cm [7, 20, 21]. In our laparoscopic IDRF-negative cases, the tumor sizes at operation were 1.1, 2.7, 2.8, 3.6, 4.6, 5.0 and 8.7 cm.

Because no obvious vascular involvement was present, we determined that the patient (Case 2) could undergo laparoscopic total resection and proceeded with the surgery. Five patients who underwent laparoscopic resection transitioned to IDRF-negative after chemotherapy. This finding indicates the importance of the timing of surgery in advanced cases. Simon et al. reported that 246 of 278 patients with stage 4 neuroblastoma aged 18 months or older did not undergo surgery prior to chemotherapy [22]. Kelleher et al. compared the clinical...
outcomes between laparotomy and laparoscopy, including advanced cases and found no difference in mortality among patients who met the selection criteria for the laparoscopic resection which were absence of vascular encasement and size <5 cm as the greatest dimension. Other patients underwent laparotomy [19, 23].

We performed neuroblastoma resection in 13 patients after introducing laparoscopic surgery, and of these, four underwent laparotomy (all were IDRF-positive) and nine underwent laparoscopy (two IDRF-positive for contact with or encasement of renal vessels and seven IDRF negative). One IDRF-positive patient with encasement of renal vessels was converted from laparoscopy to laparotomy because dissection appeared difficult owing to strong adhesion with renal vessels. Partial resection under laparotomy was performed in this patient, and we had to carefully determine the indication for laparoscopy, considering the obvious vascular involvement in this case. The tumor in the other IDRF-positive patient made contact with the renal vessels and inferior vena cava; however, dissection was possible by laparoscopic surgery, and total tumor resection was macroscopically performed. Furthermore, a negative margin was histopathologically diagnosed. This patient currently remains progression-free for 23 months after high-dose chemotherapy and autologous peripheral blood stem cell transplantation. Although IDRF is positive if renal vessels are not only encased but also in contact with the tumor, in our experience, laparoscopic surgery could potentially be used for contacted cases [11]. Thus, we still considered IDRF in renal vessels as IDRF-negative. Irtan et al. reported that the completeness resection was related only to the number of preoperative IDRFs. They treated patients according to ongoing SIOPEN protocols, which included a high-risk group who received high-dose chemotherapy followed by stem cell rescue before surgery. They suggested IDRF assessment after neoadjuvant chemotherapy is useful in these patients [24].

Another advantage of laparoscopic surgery is a reduced risk of intestinal obstruction, which is critical for pediatric oncology patients, especially if they receive radiotherapy [25]. In our study, all patients in the laparoscopy group started oral feeding within 3 days after surgery and no patients presented symptoms of ileus. However, we had too few cases to prove the competitive advantage of laparoscopy over laparotomy.

The results of the present study showed no differences in short-term prognosis between these two procedures; thus, we believe that laparoscopic surgery can achieve favorable outcomes comparable to those of laparotomy in IDRF-negative patients, even those considered at high risk.

This study was limited by its small sample size and short observation period. Future studies involving more patients and a longer follow-up period are warranted. Moreover, because this was a single-center, retrospective study, a multicenter, prospective study would be ideal to assess the merits of minimally invasive surgery for this patient population.

This is the first report for the treatment of neuroblastoma comparing laparotomy and laparoscopy for the treatment of neuroblastoma without IDRFs.

Conclusions
Although the limited number of cases and short-term observation period prevented the investigation of long-term outcomes, we found that laparoscopic surgery was a feasible minimally invasive procedure for the resection of neuroblastoma, particularly in IDRF-negative patients. Further studies focused on oncologic and safety outcomes are warranted to assess the merits of this surgical approach in neuroblastomas.

Abbreviations
COG: Children’s Oncology Group; HVA: Homovanillic acid; IDRFs: Image-defined risk factors; INRG: International Neuroblastoma Risk Group; INSS: International Neuroblastoma Staging System; MIS: Minimally invasive surgery; MYCN: N-myc proto-oncogene protein; NSE: Neuron-specific enolase; VMA: Vanillylmandelic acid

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Authors’ contributions
‘TT, AH and KC collected the patients’ data. CS analyzed the patients’ images. CS, HU and YT interpreted patient data regarding the operations. HU and CS were major contributors in writing the manuscript. All authors have read and approved the final manuscript’.

Competing interests
‘The authors declare that they have no competing interests’.

Consent for publication
‘Not applicable’.

Ethics approval and consent to participate
‘All protocols were approved by the ethics review board of Nagoya University Graduate School of Medicine (approval number: 2015–0086)’. ‘Because of the study is based on retrospective data, consent was not required for this study’.

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