Multiband Effects in the Superconducting Phase Diagram of Oxide Interfaces

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LaAlO$_3$/SrTiO$_3$ and LaTiO$_3$/SrTiO$_3$ heterostructures, exhibits a complex phase diagram controlled by the electron density.[1,2] While the system is in a weakly insulating state at low density, superconductivity emerges when electrons are added by means of electrostatic gating resorting to a back-gate, a side-gate, or a top-gate geometry[1,3,4] (Figure 1). When the carrier density ($n_{2D}$) increases, the superconducting $T_c$ rises to a maximum value, $T_{c,max} \approx 300$ mK, before decreasing as doping is further increased. The resulting dome-shaped superconducting phase diagram resembles that observed in other families of superconductors, including high-T$_c$ cuprates, Fe-based superconductors, heavy fermions, and organic superconductors.[5,6] Two noticeable doping points are universally observed in the phase diagram of oxide interfaces: a quantum critical point (QCP) at low density that separates a weakly insulating region and a superconducting one, and a maximum critical temperature point ($T_{c,max}$) at an optimal doping that defines the frontier between the underdoped regime and the overdoped one. Despite much research efforts, there is not yet a consensus on the origin of these two points. In LaAlO$_3$/SrTiO$_3$ heterostructures, electrons LaAlO$_3$/SrTiO$_3$ and LaTiO$_3$/SrTiO$_3$ heterostructures, exhibits a complex phase diagram controlled by the electron density.[1,2] While the system is in a weakly insulating state at low density, superconductivity emerges when electrons are added by means of electrostatic gating resorting to a back-gate, a side-gate, or a top-gate geometry[1,3,4] (Figure 1). When the carrier density ($n_{2D}$) increases, the superconducting $T_c$ rises to a maximum value, $T_{c,max} \approx 300$ mK, before decreasing as doping is further increased. The resulting dome-shaped superconducting phase diagram resembles that observed in other families of superconductors, including high-T$_c$ cuprates, Fe-based superconductors, heavy fermions, and organic superconductors.[5,6] Two noticeable doping points are universally observed in the phase diagram of oxide interfaces: a quantum critical point (QCP) at low density that separates a weakly insulating region and a superconducting one, and a maximum critical temperature point ($T_{c,max}$) at an optimal doping that defines the frontier between the underdoped regime and the overdoped one. Despite much research efforts, there is not yet a consensus on the origin of these two points. In LaAlO$_3$/SrTiO$_3$ heterostructures, electrons

1. Introduction

The superconducting 2D electron gas (2-DEG) that forms at the interface between two insulating oxides, such as in ($T_{c,max}$) at an optimal doping that defines the frontier between the underdoped regime and the overdoped one. Despite much research efforts, there is not yet a consensus on the origin of these two points. In LaAlO$_3$/SrTiO$_3$ heterostructures, electrons
are trapped in an asymmetric quantum well that extends on the SrTiO$_3$ side and accommodates a set of discrete $t_{2g}$-based sub-bands (see insets in Figure 1).

However, the $T^\text{max}$ point at the top of the dome, remains largely unexplained. Among a few different scenarios, it has been suggested that the suppression of $T_c$ in the overdoped regime could result from a strong pair breaking scattering in the presence of opposite-sign gaps $s_\pm$-wave superconductivity.

In 1980, Binnig et al. reported a double-gap structure in tunneling spectroscopy experiments performed on Nb-doped bulk SrTiO$_3$ demonstrating that the different $t_{2g}$ bands could accommodate several superconducting condensates. More recently, signatures of two-gap superconductivity consistent with a $s_\pm$-wave state were clearly observed in (110)-oriented LaAlO$_3$/SrTiO$_3$ interfaces in superfluid stiffness and critical field measurements.

Josephson experiments suggest that such state could also take place in the conventional (001)-oriented LaAlO$_3$/SrTiO$_3$ interfaces. In this letter, we analyze the transport properties of a double-gate LaAlO$_3$/SrTiO$_3$ field-effect device. We evidence a bifurcation in the dependence of $T_c$ on the carrier density $n_{2D}$ when the 2-DEG is electrostatically doped either with a top-gate or a back-gate. To explain this behavior we used numerical simulations of the self-consistent Schrödinger–Poisson equations and show that different superconducting regimes related to different subband occupations can be accessed close to the optimal doping level. The suppression of $T_c$ in the overdoped regime can be delayed by adding electrons into the already populated $d_{x^2-y^2}$ band with a top-gate. In turn, the action of a back-gate is associated with filling an additional high-energy $d_{z^2}$ subband, prospectively leading to the formation of a fragile $s_\pm$-wave superconducting state.

2. Results

2.1. Double-Gate Field-Effect Device

Whereas back-gate control of the 2-DEG properties is routinely realized in SrTiO$_3$-based interfaces, efficient top-gating has proven to be more challenging and has only been achieved in a limited number of studies. Nevertheless, the electrostatic control of the superconducting $T_c$ and the Rashba spin-orbit coupling has been demonstrated in field-effect devices with a top gate evaporated either directly on the LaAlO$_3$ thin film or isolated by an additional dielectric layer. More recently, the manipulation of quantum orders at the mesoscopic scales with local top gates was demonstrated in Josephson junctions and SQUIDs by quantum dot devices. In this work, a 30 $\mu$m Hall bar was first fabricated in a (001)-oriented LaAlO$_3$/SrTiO$_3$ heterostructure by the amorphous LaAlO$_3$ template method. A metallic top-gate separated by a Si$_3$N$_4$ dielectric layer was then deposited on the Hall bar using a standard lithography and lift-off process. Finally, a metallic gate was added on the backside of the SrTiO$_3$ substrate. More information on the fabrication of the device can be found in ref. [3] and in Experimental Section. After the sample was cooled down to 4K, both the top-gate voltage, $V_{TG}$, and back gate voltage, $V_{BG}$, were increased to their maximum positive value, beyond the saturation threshold of the resistance, to ensure that no hysteresis would occur during further gate sweeps. In the following, we will compare the evolution of the 2DEG properties when electrostatically doped with a back-gate or a top-gate voltage. However, since the two dielectric materials have different thicknesses and permittivities ($\varepsilon_{\text{LaAlO}_3} \approx 24000$, $\varepsilon_{\text{Si}_3\text{N}_4} \approx 7.5$), we will plot the relevant quantities as a function of carrier density and not gate voltages.

2.2. Effect of Top-Gating and Back-Gating on the Electronic Mobility

To understand the role of the two gates and check the operation of the device, we first compare the evolution of the electronic mobility with carrier density when either the top-gate or the back-gate voltage is changed. The total carrier density, $n_{2D}$, is first extracted by combining the Hall effect and gate capacitance measurements at 4 K as explained in Experimental Section, from which the mobility $\mu = 1/e n_{2D} R_s$ is deduced ($R_s$ is the sheet resistance). Note that the electronic mobility considered here is the weighted sum of the mobilities in each subband. The results are summarized in Figure 2c. In both cases, $\mu$ increases monotonically with $n_{2D}$ in the entire gating range, but the slope is much sharper for $V_{BG}$ than for $V_{TG}$. Such behavior is consistent with previous results reported in the literature on conventional semiconducting hetero-interfaces. It can be qualitatively understood by considering the sketches presented in Figure 2a,b.
which explain the main differences between the two types of electrostatic doping execution. On the one hand, increasing $V_{\text{TG}}$ makes the confining potential sharper because of charges accumulation, which tends to attract the electrons toward the interface and limits their extension in SrTiO$_3$ (panel a). On the other hand, doping with $V_{\text{BG}}$ repels the electrons from the interface by “pulling down” the conduction band in the SrTiO$_3$ substrate, thus deconfining the 2-DEG deeper in the SrTiO$_3$ substrate which is naturally less disordered than the interface.[2,22] (panel b).

From a more quantitative perspective, in a 2-DEG with several 2D subbands, the mobility is predicted to scale as a power-law of the density ($\mu \propto n_2D^\gamma$).[35] Hirakawa et al. demonstrated theoretically, and confirmed experimentally, that the exponent $\gamma$ is larger when using a back-gate rather than a top-gate.[36] In line with this, we find that at each point of the phase diagram ($V_{\text{BG}}, V_{\text{TG}}$), the variation of $\mu$ with $n_2D$ can be locally approximated by a power-law (inset Figure 2c). Although the exponents $\gamma_{\text{BG}}$ and $\gamma_{\text{TG}}$ vary in the phase diagram, the hierarchy $\gamma_{\text{BG}} > \gamma_{\text{TG}}$ is always satisfied in agreement with the prediction.[36] For example, for $V_{\text{BG}} = 0$ V we obtain $\gamma_{\text{BG}} \approx 1.9 > \gamma_{\text{TG}} \approx 1$ (inset Figure 2c), which
corresponds to exponent values comparable with those measured in GaAs/Al$_x$Ga$_{1-x}$As heterojunctions.\cite{36} In particular, values of $\gamma$ close to 1 have been associated to Coulomb scattering from ionized donors in the Al$_x$Ga$_{1-x}$As layer.\cite{35}

2.3. Effect of Top-Gating and Back-Gating on Superconductivity

We now focus on the superconducting properties of our device. All the resistance versus temperature curves measured for the different top-gate and back-gate voltages are shown in the Supporting Information. At low temperature, superconductivity emerges at a critical density, $n_{2D} \approx 1.4 \times 10^{13}$ cm$^{-2}$ (Figure 3). The $T_c$ then follows a dome-shaped dependence on $n_{2D}$ with a maximum value of $T_{c,m} \approx 260$ mK, similar to previous observations in SrTiO$_3$-based interfaces.\cite{1} At the lowest and highest carrier densities, $T_c$ displays the same dependence on $n_{2D}$ regardless of the gate being used. However, our data reveal a very peculiar behavior for intermediate values close to the optimal doping: a bifurcation is observed in the $T_c$ dependence on $n_{2D}$ depending on which gate is used. For instance, at $V_{BG} = 0$ V, adding electrons with a back-gate reduces $T_c$, whereas adding electrons with a top-gate increases $T_c$ (Figure 3 and inset). The region of higher $T_c$ in red color in the phase diagram of Figure 3 is not accessible resorting solely to a back-gate. Thanks to the two control parameters ($V_{TC}$ and $V_{BG}$), the two branches of the bifurcation can be followed reversibly.

2.4. Schrödinger–Poisson Numerical Simulations

The presence of a bifurcation in the $T_c$ dependence on $n_{2D}$ shows that in LaAlO$_3$/SrTiO$_3$ 2-DEG the superconducting $T_c$ is not solely determined by the absolute carrier density but also most likely by the sub-bands occupancy configuration. The key element to understand the bifurcation is the underlying mechanism that leads to the suppression of $T_c$ in the overdoped regime. It has been shown that in disordered two-band superconductors having repulsively coupled condensates (the so-called s$_{\pm}$-wave superconductors), scattering processes between bands with opposite-sign gaps are pair-breaking and can lead to a suppression of $T_c$.\cite{37} Trevisan et al. suggested that such mechanism could be responsible for the reduction of $T_c$ in the overdoped regime of (001)-oriented LaAlO$_3$/SrTiO$_3$ interfaces.\cite{13} The recent observation of single-gap to two-gap superconductivity transition associated to a decrease in $T_c$ in a (110)-oriented LaAlO$_3$/SrTiO$_3$ interface, consistent with s$_{\pm}$-wave superconductivity, supports this proposal.\cite{16,17} Although this system has some significant differences in the band structure with respect to the conventional (001)-orientation discussed here. Following this approach, we interpret the optimal doping point $n_{2D}$ in LaAlO$_3$/SrTiO$_3$ as the filling threshold of a new band, which accommodates a second superconducting gap repulsively coupled to the first one. To support this claim, we examine the band structure in the interfacial quantum well by solving the coupled Schrödinger and Poisson equations self-consistently in the presence of a back-gate ($V_{BG}$) and a top-gate ($V_{TC}$) voltage.

The numerical simulations, account for the electric field dependence of the SrTiO$_3$ permittivity, $\varepsilon_R$,\cite{38} and the boundary conditions imposed on the conduction band by the back-gate voltage $V_{BG}$ (see Supporting Information for details). The total carrier densities $n_{2D}$ used in the simulations are extracted from the combination of the Hall effect and gate capacitance measurements as previously discussed and correspond to those used in Figure 2c. Figure 4a shows an example
of band structure simulation obtained for a carrier density of \( n_{3D} \approx 2.75 \times 10^{13} \text{ cm}^{-2} \) corresponding to the optimal doping point \( T_c^{\text{opt}} \approx 260 \text{ mK} \). We plot the spatial dependence of the conduction band, the energies, and the square modulus of the wave functions for the different \( t_{yz} \) subbands. In this example, three low energy \( d_{xy} \) subbands and one high-energy \( d_{x^2-y^2} \) subband are filled. Corresponding carrier densities derived from simulations in the entire back-gating range, are reported on Figure 4b. At the lowest carrier density, \( V_{BG} = -15 \text{ V} \), only low energy \( d_{xy} \) subbands are filled. When the electron density is further increased with the back-gate voltage, the \( d_{x^2-y^2} \) subbands start to be populated, leading to a progressive delocalization of the 2-DEG in the \( \text{SrTiO}_3 \) substrate upon gating. Because the \( d_{x^2-y^2} \) and \( d_{x^2+y^2} \) subbands have a higher density of states than the \( d_{xy} \) one (by a factor \( \approx 4.4 \)), superconductivity emerges as expected in a BCS picture. The distribution of electrons in the \( d_{xy} \) and \( d_{x^2-y^2} \) subbands is consistent with the gate dependence of the Hall effect (Supporting Information). For \( V_{BG} = 5 \text{ V} \), a \( d_{xy} \) replica sitting higher in energy than the \( d_{x^2-y^2} \) subband is also populated providing a natural ground for two-gap superconductivity.

We now focus in more details on the behavior of superconductivity close to the optimal doping point and look at the results of simulations for a sequence of different back-gate and top-gate voltage steps in this region of the phase diagram (Figure 5). Starting with a carrier density of \( n_{2D} \approx 1.5 \times 10^{13} \text{ cm}^{-2} \) (\( V_{BG} = -20 \text{ V}, V_{TG} = 0 \text{ V} \)) in the weakly insulating region, we see that three low-energy \( d_{xy} \) subbands are occupied (point A in panel a). The inset illustrates the corresponding point (red circle) in the generic phase diagram of \( \text{LaAlO}_3/\text{SrTiO}_3 \) interfaces. When the electron density is further increased with the back-gate voltage, the \( d_{x^2-y^2} \) subbands start to be populated and the \( T_c \) rises until it eventually reaches its maximum value (point B in panel b). We now consider panels (c) and (d) that show the difference between a back-gate voltage step, \( \Delta V_{BG} = 50 \text{ V} \), and a back-gate voltage step, \( \Delta V_{BG} = 5 \text{ V} \), which both produce a similar carrier density variation \( \Delta n_{2D} \approx 4 \times 10^{12} \text{ cm}^{-2} \) beyond the optimal doping. For \( \Delta V_{BG} > 0 \), a new \( d_{xy} \) subband is populated under the combined effects of the electron density increase and the deconfinement of the quantum well (panel 5c). In contrast to the low-energy \( d_{xy} \) subbands that reside at the bottom of the quantum well, this new band extends deeper into the substrate. Because of the coupling with the \( d_{x^2-y^2} \) band, a second superconducting gap is likely to open in this band, prospectively leading to the formation of a \( s^\pm \) wave superconducting state as proposed by Trevisan et al.\cite{31} and observed in (110)-oriented interfaces.\cite{16,17} We therefore expect \( T_c \) to decrease in the overdoped region because of interband scattering (point C). In contrast, for \( \Delta V_{TG} > 0 \), the confining potential well becomes sharper as suggested in Figure 2a, and the \( d_{xy} \) subband is repelled to higher energy (panel 5d). The electron density in the \( d_{x^2-y^2} \) subbands increases, which produces a further increase in \( T_c \) in the single-gap superconducting regime (point D). Filling the high-energy \( d_{xy} \) subband is delayed, but it eventually occurs with a further increase of the top-gate voltage. The two different electrostatic doping executions (panels 5c and 5d) generate two nonequivalent subbands occupancy configurations that explain the bifurcation in the dependence of \( T_c \) on \( n_{2D} \) in the vicinity of optimal doping (Figure 3). Whereas increasing \( V_{BG} \) triggers a two-gap \( s^\pm \) wave superconducting state of reduced \( T_c \), increasing \( V_{TG} \) maintains the system in the single gap regime.

3. Discussion

Alternative scenarios, mostly involving multiband effects, can be considered to explain the dome-like shape of \( T_c \) as a function of gate voltage. For instance, Gariglio et al. correlate the non-monotonic gate-dependent \( T_c \) to a nonmonotonic variation of the 3D carrier density, \( n_{1D} \), at the interface.\cite{19} The effective thickness of the 2-DEG, needed to determine \( n_{1D} \), is inferred from a systematic comparison of the parallel and perpendicular depairing magnetic fields in the superconducting phase diagram. A strong deconfinement of the 2-DEG with back-gate voltage takes place in the overdoped regime leading to a decrease in \( n_{1D} \) density while \( n_{2D} \) continues to increase. Although we do observe an increase of the 2-DEG spatial extension in our simulations, it does not seem to be sufficient to produce a drop in the \( n_{3D} \) whose gate evolution remains monotonic in our case. Maniv et al. probed the area of the Fermi surface by using the Shubnikov–de Haas (SdH) effect and found that the population of mobile electrons associated
with the highest energy occupied band varies nonmonotonically with gate voltage, thus explaining the gate dependence of $T_c$.\[40\] They ascribed this peculiar carrier density evolution to repulsive electronic correlations between bands that repels the highest energy band and proposed a model that reproduces well the experimental observations. We could not access the SdH regime in this work due to limited magnetic field and rather low electronic mobilities. While we cannot rule out this alternative scenario, in our case, the analysis of nonlinear Hall effect and capacitance measurements is more consistent with a monotonous increase of both electrons populations. More recently, an extended s-wave symmetry of the gap has been proposed to explain the gate dependence of $T_c$.\[41\] Although little is known on the exact symmetry of the superconducting gap, tunneling and microwave conductivity experiments are more in favor of a nodeless isotropic gap.\[42–44\]

Figure 5. Results of the numerical simulations of coupled Schrödinger and Poisson equations showing the spatial dependence of conduction band energy, ($E_c$), the energies ($E_{xy}$, $E_{xz/yz}$) and the square modulus of the wave functions of the $d_{xy}$ and $d_{xz/yz}$ electrons for different carrier densities corresponding to a sequence of back-gate and top-gate voltage steps: a) $[V_{BG} = -20 \text{ V}, V_{TC} = 0 \text{ V}]$ and $n_{2D} = 1.5 \times 10^{13} \text{ cm}^{-2}$, b) $[V_{BG} = 0 \text{ V}, V_{TC} = 0 \text{ V}]$ and $n_{2D} = 2.75 \times 10^{13} \text{ cm}^{-2}$, c) $[V_{BG} = +5 \text{ V}, V_{TC} = 0 \text{ V}]$ and $n_{2D} = 3.16 \times 10^{13} \text{ cm}^{-2}$, d) $[V_{BG} = 0 \text{ V}, V_{TC} = +50 \text{ V}]$ and $n_{2D} = 3.13 \times 10^{13} \text{ cm}^{-2}$. The insets schematically indicate the corresponding carrier densities in the superconducting phase diagram (red circles).

4. Conclusion

In conclusion, we measured the low-temperature transport behavior of a field-effect LaAlO$_3$/SrTiO$_3$ device, whose electron density can be tuned simultaneously by means of a back-gate and a top-gate. In the superconducting state, we evidenced a bifurcation in the $T_c$ dependence on $n_{2D}$ that we relate to the filling threshold of a high-energy $d_{xy}$ subband using self-consistent Schrödinger–Poisson calculation of the quantum well band structure. Close to the optimal doping point $T_c^{max}$, a top-gate voltage step produces an increase in $T_c$ whereas a back-gate voltage step generates a decrease in $T_c$, corresponding respectively, to a single-band and a two-band superconducting state. In the latter case, a repulsive coupling between the two condensates leads to the formation of a $s_{\pm}$-wave superconducting state in which pair-breaking inter-band scattering suppresses superconductivity hence providing a generic
explanation for the dome-shaped phase diagram of $T_c$. Experiments on LaAlO$_3$/SrTiO$_3$ Josephson junctions demonstrated the presence of $\pi$-shift Josephson channels, which supports the formation of such a two-gap $s$-wave superconducting state in this system.[23,24] Interestingly, such unconventional pairing states has been predicted to be topologically nontrivial.[25]

5. Experimental Section

Sample Growth and Device Fabrication: TiO$_2$ termination was first achieved on a (001)-oriented SrTiO$_3$ substrate by a buffered HF treatment followed by annealing. The template of a Hall bar with contact pads was then defined by evaporating an amorphous LaAlO$_3$ layer through a resist patterned by optical lithography.[32] After a lift-off process, a thin layer of crystalline LaAlO$_3$ (8 u.c) was grown on the amorphous template by pulse laser deposition at a growth rate of $0.2 \, \text{Å s}^{-1}$ such that only the areas directly in contact with the substrate (Hall bar and contact pads) were crystalline. A KrF excimer (248 nm) laser was used to ablate the single-crystalline LaAlO$_3$ target at 1 Hz, with a fluence between 0.6 and 1.2 J cm$^{-2}$ under an O$_2$ pressure of 2 $\times$ 10$^{-4}$ mbar. The substrate was typically kept at 650°C during the growth, monitored in real-time by RHEED. After the growth, the sample was cooled down to 500°C under a O$_2$ pressure of 1 $\times$ 10$^{-1}$ mbar. The O$_2$ pressure was then further increased to 400 mbar to reduce the presence of oxygen vacancies for 30 min before being cooled down to room temperature. The 2-DEG forms at the interface between the crystalline LaAlO$_3$ layer and the SrTiO$_3$ substrate. Such method has already been used to fabricate undoped 500 nm wide channels without noticeable alteration of the 2DEG properties.[32] Once the channel is defined, a 500 nm thick Si$_3$N$_4$ dielectric layer was deposited on the Hall bar by a lift-off process. After this step, a gold top-gate layer was deposited and lifted-off to cover the Hall bar. A metallic back gate was also added at the end of the process. A scheme of the device is shown in Supporting Information.

Carrier Density: The Hall effect was measured in a low magnetic field range ($B < 5T$) for different values of the back-gate voltage $V_{BG}$ and top-gate voltage $V_{TC}$ (see Figure S1, Supporting Information). As already reported in LaAlO$_3$/SrTiO$_3$ 2-DEG, the Hall voltage is linear in magnetic field in the low carrier density regime ($V_C < 0$), and the carrier density is correctly extracted from the slope of the Hall voltage $V_H$ (i.e., $n_{2D} = 18\pi \times V_C / W L$ where $I$ is the bias current and $B$ the magnetic field). This was no longer the case in the high carrier density regime ($V_C > 0$), where $V_H$ is not linear with $B$ because of multiband transport.[23,24] In this case, $n_{2D}$ measured in the $B \rightarrow 0$ limit did not give the correct carrier density and showed a nonmonotonic decrease with gate voltage. The correct dependence of the total carrier density $n_{2D}$ with $V_{BG}$ can be retrieved from the charging curve of the gate capacitance $C(V_{BG})$:

$$n_{2D}(V_{BG}) = n_s(V_{BG} = -15V) + \frac{1}{C_A} \int_{-15V}^{V_C} C(V)dV$$

where $A$ is the area of the capacitor. Figure S2, Supporting Information shows the variation of $n_{2D}$ with top-gate and back-gate voltages extracted from the combination of the Hall effect and gate capacitance measurements.

Supporting Information

Supporting Information is available from the Wiley Online Library or from the author.

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Conflict of Interest

The authors declare no conflict of interest.

Data Availability Statement

The data that support the findings of this study are available from the corresponding author upon reasonable request.

Keywords

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