Epidemiology of Neonatal Septicemia in the Era of Extended Spectrum Beta-Lactamase Producing Bacteria: A Prospective Study in a Tertiary Referral Hospital

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Abstract

A surge of extended spectrum beta lactamase (ESBL)-producers is being witnessed in the neonatal intensive care units (NICUs). Hence, the present study was conducted to analyze both the bacteriological profile and clinical outcome of neonatal septicemia, and to identify the prevalence and sensitivity of the incriminated pathogens with emphasis on ESBL producers. We conducted this study in the NICU of a tertiary referral hospital over a one-year-period. All neonates with a clinical diagnosis of sepsis (371 participants) were enrolled. Blood cultures were performed, and subsequent cultures of various specimens were done according to clinical suspicion. Antibiotic susceptibility tests were carried out and the neonates were followed up until discharge. Out of the 371 neonates, 137 (37%) had positive blood culture results, of whom 49% died versus only 7.7% of neonates with a negative blood culture (P-value< 0.0001). Low birth weight, prematurity, and the duration of hospital stay were considered as positive blood culture risk factors. Meanwhile, among 85 cultures that yielded Gram-negative pathogens, 16 isolates were identified as ESBL producers with Klebsiella pneumoniae being the most frequently encountered isolate (19.7%). Of the neonates inflicted with ESBL-sepsis, 62.5% died versus 11.6% with non-ESBL sepsis. Judicious antibiotic stewardship together with infection control practices can hinder the spread of drug-resistant pathogens. This is especially compelling among the vulnerable population of the NICUs. Meanwhile, rapid diagnostic modalities and timely antibiotic susceptibility tests are of paramount importance to initiate appropriate therapy which can hugely impact the clinical prognosis.

Keywords: Acinetobacter, ESBL, Klebsiella, MDR, Neonatal septicemia, NICU
INTRODUCTION

Neonatal sepsis is considered among the top three causes of neonatal morbidity and mortality and accounts for approximately 25% of neonatal deaths around the globe. In the presence of minimal warning signs, the diagnosis and treatment of sepsis pose a tedious predicament to health care givers in the neonatal intensive care units (NICUs). Consequently, surveillance has become vital to determine the neonatal sepsis related risk factors, as well as to identify the incriminated pathogens and their antibiotic sensitivity patterns.

Nonetheless, the problem has been aggravated by the escalation of multidrug-resistant (MDR) microorganisms which constitute a menace to the debilitated newborns thus limiting treatment options and delaying effective therapy. Notably, extended-spectrum beta-lactamase (ESBL) producers have become the most frequent factor of neonatal sepsis in many countries. In the meantime, antibiotics active against ESBL-producing pathogens may not be available in most settings, and even when procured, are often too expensive.

Hence, this study aimed to identify risk factors of neonatal sepsis in the NICUs of a tertiary care hospital. We also endeavored to detect the causative bacterial pathogens and their susceptibility patterns, including the prevalence of ESBL producers and their impact on the neonate’s clinical outcome.

MATERIALS AND METHODS

This is a cross sectional analytical study that has been carried out on neonates admitted to the NICU of Al-Mounira Pediatric Hospital, Cairo University, in the period from May 2016 throughout April 2017.

Compliance with Ethical consideration

Prior to commencing the current study, the Ethical Committee of the Pediatrics and Neonatology Department, Cairo University has approved our protocol. For each enrolled neonate, an informed consent was procured from the parents/guardians.

Inclusion criteria

All neonates (aged ≤ 28 days) admitted to the NICU during the one-year-study period (371 participants) were enrolled in this study, while infants aged > 28 days upon admission were excluded.

Clinical history taking

All enrolled neonates underwent detailed history taking as follows: prenatal history including maternal medical disorders during pregnancy, maternal medications, maternal nutrition, antenatal care, premature rupture of membranes (PROM), chorioamnionitis, prolonged rupture of membranes, and history of recurrent abortion.

• Natal history including the mode of delivery, multiple pregnancy, Apgar score at 1 and 5 minutes, birth asphyxia and the presence of meconium.

• Postnatal history including birth weight, sex, gestational age, feeding type (breast, artificial, total parenteral nutrition [TPN] and its duration), admission diagnosis, age at admission, presence of central venous catheterization (including umbilical venous catheterization and jugular venous catheterization) and its duration, chest tube and its duration or continuous positive airway pressure (CPAP) use, duration of mechanical ventilation, antibiotics usage (types and duration) before collecting clinical specimens, duration of hospital stay, the use of H2-blockers or proton pump inhibitors, and the clinical outcome.

Clinical examination of the newborn

All newborns were exposed to full clinical examination including: recording of the anthropometric measures (weight, height, and head circumference). Systemic examination including cardiovascular, chest, and abdominal examination. Meanwhile, Ballard score was used to assess the gestational age.

Laboratory investigations including

For all participants, routine complete blood count (CBC) and blood culture were performed on admission. The subsequent CBCs and cultures of various clinical specimens were done according to the clinical circumstances (pus/ urine/ blood/ stools/ CSF/ tips of peripheral long line catheters /tips of central venous catheters /tips of endotracheal tubes (ETT)/ bronchoalveolar lavage (BAL) fluids /tracheal aspirates / fluids of pleural taps /fluids of pericardial tap/ fluids of ascitic taps).

All recruited specimens underwent the traditional microbiological techniques concerning identification and isolation of the pathogens;
determination of antibiotic susceptibility patterns, and detection of ESBL production.

Participants were classified according to the results of blood culture into neonates with positive blood culture and neonates with negative blood culture.

Gram negative sepsis was further classified according to the presence of ESBL-producers into ESBL sepsis and non-ESBL sepsis.

Bacterial identification:

Blood specimens were cultivated onto Bactec blood culture bottles and subcultured onto blood, chocolate and MacConkey agar plates (Oxoid Co. England).

Urine specimens were cultured onto CLED (Oxoid Co. England), while sputum, tracheal aspirates, BAL, tips of peripheral long line catheters, tips of central venous catheters, tips of ETT were all cultured onto blood, chocolate and MacConkey agar plates (Oxoid Co. England).

All plates were aerobically incubated for 24 hours at the temperature of 37°C. Identification of the bacterial isolates was carried out according to conventional microbiologic tools.

Antimicrobial Sensitivity testing

For all isolates, this was carried out via Kirby–Bauer disc diffusion method and was interpreted in conformity with the Clinical Laboratory Standards Institute (CLSI) recommendations. Quality control was performed as specified by the CLSI with strains of American Type Culture Collection (ATCC) being the control.

Screening for ESBL among the isolated Gram negative bacteria

To perform the double disc synergy test (DDST), the following discs (Oxoid Co. England) were employed:

- Cefotaxime (CTX) 30 μg
- Ceftazidime (CAZ) 30 μg
- Cefpodoxime (CPD) 30 μg
- Ceftriaxone (CRO) 30 μg
- Aztreonam (ATM) 30 μg
- AMC (amoxicillin 20 μg and clavulanic acid; CLA 10 μg).

The distance was adjusted to be 20 millimeters (from center to center) between each two adjacent discs. This was followed by an overnight incubation at the temperature of 37°C.

An extension that was readily visible from the edge of the inhibition zone around any disc towards that of the amoxicillin clavulanic disc was considered positive for CLA synergy.

Phenotypic confirmatory test for ESBLs in Gram-negative isolates

Cephalosporin/clavulanate combination disc method was applied. A half McFarland suspension was prepared from each isolate and then inoculated onto a 10-mm Mueller-Hinton agar plate, while employing ceftazidime disc (30 g), ceftazidime /clavulanate disc (30/10μg), cefepime disc (30 g), and cefepime /clavulanate disc (30/10 μg). After incubation, the inhibition zone around each of the discs was measured. ESBL production was confirmed by an enlargement of over 5 mm in the zone diameter around any antimicrobial drug tested combined with clavulanate compared to the zone diameter around that drug alone.

Statistical Analysis

Following coding, data were entered into the statistical package for the Social Sciences (18th version). The results were depicted as diagrams and tables and then interpreted.

For all variables that are quantitative; the mean, the range, and the standard deviation were employed; and for variables that are categorical, frequencies and percentages were employed. To compare categorical data, Chi square (χ²) test was employed.

To compare different groups, the t-test was applied. Z-test was used to examine the statistical significance of the estimated relative risk. To compare intergroup difference regarding nominal data, Fisher’s exact test was employed. P-values were regarded as statistically significant if they were below or equal to 0.05.

RESULTS

The current cross-sectional study was conducted on all neonates (371 participants) admitted at the NICU of Al-Mounira Pediatric University Hospital from May 2016 throughout April 2017.

The demographic and clinical criteria of the enrolled participants are outlined in Table (1). Meanwhile, a statistically notable difference was revealed between neonates with positive blood culture and those with negative blood culture regarding birth weight; neonates with lower birth weight being more probable to
yield positive results of blood culture (P-value = 0.005). Other risk determinants of sepsis with a positive blood culture included prematurity, meconium staining and the duration of hospital stay (Table 2).

Table 1. Demographic, clinical data and outcome of the enrolled neonates (N= 371 participants)

| Variable                          | Participants N (%) = 371 (100%) |
|-----------------------------------|---------------------------------|
| Gender                            | Male 76 (20.5%)                 |
| Birth weight (gm)                 | Range 1100 – 4600               |
| Mode of delivery                  | Range 2156.35 ± 670.40          |
| Gestational age (weeks)           | Mean ± SD 30 – 40               |
| PROM                              | Yes 114 (30.7%)                 |
| Mode of delivery                  | No 257 (69.3%)                  |
| Meconium staining                 | Yes 8 (2.2%)                    |
| Duration of admission (days)      | No 363 (97.8%)                  |
| Central venous catheterization    | Range 2 – 48                    |
| Mean ± SD 4.48 ± 2.31             |
| Duration of central venous        | Yes 68 (18.3%)                  |
| Mean ± SD 3.60 ± 0.705            |
| catheterization (days)            | No 303 (81.7%)                  |
| Duration of chest tube (days)     | Range 4 -11                     |
| Mean ± SD 5.9 ±1.7                |
| TPN                               | Yes 68 (18.3%)                  |
| Mean ± SD 5.90 ± 2.21             |
| TPN duration (days)               | No 303 (81.7%)                  |
| Variable                          | Participants                    |
| CPAP                              | Yes 319 (86%)                   |
| Duration of CPAP (days)           | No 52 (14%)                     |
| Range 1-7                         |
| Mean ± SD 1.60±1.10               |
| Mechanical ventilation            | Yes 316 (85.2%)                 |
| Duration of mechanical ventilation (days) | Range 1-30 |
| Mean ± SD 3.5±1.30               |
| Duration of oxygen support (days) | Range 2-38                      |
| Mean ± SD 7.5±4.50               |
| ETT                               | Yes 316 (85.2%)                 |
| Duration of ETT (days)            | No 55 (14.8%)                   |
| Range 1-30                        |
| Mean ± SD 3.5±1.30               |
| Clinical outcome                  | Died 85 (23%)                   |
| Survived 286 (77%)                |

NVD = normal vaginal delivery; PROM = premature rupture of membranes; TPN = total parenteral nutrition; CPAP = continuous positive airway pressure; ETT = endotracheal tube.
Table 2. Risk factors of sepsis among the studied neonates (N = 371)

| Potential risk factors | Positive blood culture sepsis (N = 137) | Negative blood culture sepsis (N=234) | P-value | RR (95% CI) |
|------------------------|----------------------------------------|---------------------------------------|---------|-------------|
| Gender                 | Male                                   | 23 (16.8%)                            | 53 (22.6%) | 0.177       | ———— |
|                       | Born weight Mean±SD (gm)                | 2029.42±674.46                        | 2230.66±658.15 | 0.005       | ———— |
|                       | ≥ 2500 gm                              | 44 (32.2%)                            | 51 (21.8)  | 0.022       | 0.728 (0.554 to 0.955) |
|                       | < 2500 gm                              | 93 (67.8%)                            | 183 (78.2%) | ————       | ———— |
| Gestational age (weeks)| Mean±SD                                 | 35.79±1.95                            | 35.82±1.60 | 0.858       | ———— |
|                       | Preterm                                | 101 (73.7%)                           | 130 (55.6%) | 0.001       | 1.700 (1.238 to 2.335) |
|                       | Full term                              | 36 (26.3%)                            | 104 (44.4%) | ————       | ———— |
| Mode of delivery       | NVD                                    | 26 (19.1%)                            | 54 (23.1%)  | 0.372       | ———— |
|                       | Mean±SD                                | 7.39±0.893                            | 7.40±0.913 | 0.914       | ———— |
|                       | PROM                                    | Yes                                   | 43 (31.4%)  | 71 (30.3%)  | 0.833 (1.031 (0.775 to 1.372) |
|                       |                                        | No                                    | 94 (68.6%)  | 163 (69.7%) | ———— |
|                       | Meconium staining                       | Yes                                   | 7 (5.1%)    | 1 (0.4%)    | 0.003 (2.443 (1.817 to 3.285) |
|                       |                                        | No                                    | 130 (94.9%) | 233 (99.6%) | ———— |
|                       | Duration of admission (days)            | Mean±SD                               | 5.24±3.43  | 3.72±1.19   | <0.0001 |
|                       | Central venous catheterization          | Yes                                   | 12 (8.8%)   | 56 (23.9%)  | 0.000 (0.428 (0.252 to 0.727) |
|                       |                                        | No                                    | 125 (91.2%) | 178 (76.1%) | ———— |
|                       | Duration of central venous catheterization (days) | Mean±SD | 5.10±0.000 | 2.10±1.41 | <0.0001 |
|                       | Duration of chest tube (days)           | Mean±SD                               | 6.3±3.2    | 6.6±3.8    | 0.92   |
|                       | TPN                                     | Yes                                   | 12 (8.8%)   | 56 (23.9%)  | 0.000 (0.428 (0.252 to 0.727) |
|                       |                                        | No                                    | 125 (91.2%) | 178 (76.1%) | ———— |
|                       | TPN duration (days)                     | Mean±SD                               | 6.80±3.42  | 5.0±1.0    | <0.0001 |
|                       | CPAP                                    | Yes                                   | 118 (86.1%) | 201 (86%)  | 0.950 (1.012 (0.688 to 1.489) |
|                       |                                        | No                                    | 19 (13.9%)  | 33 (14%)   | ———— |
|                       | Duration of CPAP (days)                 | Mean±SD                               | 1.51±0.870 | 1.69±1.32  | 0.492   |
|                       | Mechanical ventilation                  | Yes                                   | 116 (85%)   | 200 (85.5%) | 0.833 (0.961 (0.667 to 1.387) |
|                       |                                        | No                                    | 21 (15%)    | 34 (14.5%) | ———— |
|                       | Duration of mechanical ventilation (days)| Mean±SD | 5.00±1.1   | 2.00±1.41  | <0.0001 |
|                       | Duration of oxygen support (days)       | Mean±SD                               | 7.27±3.35  | 7.82±5.80  | 0.307   |
|                       | ETT                                     | Yes                                   | 116 (85%)   | 200 (85.5%) | 0.833 (0.961 (0.667 to 1.387) |
|                       |                                        | No                                    | 21 (15%)    | 34 (14.5%) | ———— |
|                       | ETT duration (days)                     | Mean±SD                               | 5.00±1.1   | 2.00±1.41  | <0.0001 |
Table 2. Cont...

| Potential risk factors | Positive blood culture sepsis (N = 137) | Negative blood culture sepsis (N=234) | P-value | RR (95% CI) |
|------------------------|----------------------------------------|---------------------------------------|---------|-------------|
| HB                     | Mean±SD 11.6 ± 1.94                   | 11.31 ± 1.77                         | 0.064   | ----        |
| HCT                    | Mean±SD 33.78 ± 6.18                  | 32.80 ± 5.17                         | 0.104   | ----        |
| PLT                    | Mean±SD 271.40±108.26                 | 283.32±110.56                        | 0.313   | ----        |
| TLC                    | Mean±SD 15.52±9.79                    | 15.79±6.84                          | 0.753   | ----        |
| Outcome                | Died                                   | 67 (49%)                             | <0.001  | ----        |
|                        | Survived                               | 70 (51%)                             |         |             |

RR = relative risk; 95% CI = 95% confidence interval; NVD= normal vaginal delivery; PROM= premature rupture of membrane TPN=total parenteral nutrition; ETT= endotracheal tube; CPAP = continuous positive airway pressure; HB = hemoglobin; HCT= hematocrit; PLT= platelet; TLC = total leukocytic count.

Table 3. Multivariable binary logistic regression analysis of independent predictors of positive blood culture

| Variable                  | Regression coefficient | Standard error | Wald statistic | P-value | Odds ratio | 95% CI of odds ratio |
|---------------------------|------------------------|----------------|----------------|---------|------------|----------------------|
| Duration of hospital stay (days) | -0.171                | 0.047          | 13.180         | <0.001  | 0.843      | 0.769 to 0.924       |
| Duration of TPN (days)    | -6.252                 | 939.471        | 0.000          | 0.995   | 0.002      | 0.00 to infinity     |
| Duration of MV (days)     | 0.132                  | 0.073          | 3.307          | 0.069   | 1.141      | 0.990 to 01.316      |
| Meconium staining         | -2.077                 | 1.091          | 3.626          | 0.057   | 0.125      | 0.015 to 1.063       |
| Constant                  | 2.849                  |                |                |         |            |                      |

Table 4. Pathogens isolated from blood cultures of the enrolled neonates and their susceptibility patterns

| Pathogen                  | N (%) | Antibiotic(s) to which the highest percentage of isolates was susceptible |
|---------------------------|-------|--------------------------------------------------------------------------|
| Klebsiella spp.           | 27 (19.7%) | Polymyxin (81%)                                                             |
| Acinetobacter spp.        | 21 (15.3%) | Polymyxin (71%)                                                             |
| E. coli                  | 19 (13.9%) | Polymyxin (68%)                                                             |
| Staph. aureus (MSSA)      | 18 (13.1%) | Vancomycin (46%)                                                            |
| CoNS                      | 16 (11.7%) | Linezolid, vancomycin (77%)                                               |
| MRSA                      | 12 (8.8%) | Rifampicin, linezolid (88%)                                               |
| Strept. Pneumoniae        | 7 (5.1%) | Linezolid, vancomycin (100%)                                              |
| Pseudomonas spp.          | 6 (4.4%) | Levofloxacin (81%)                                                          |
| Enterobacter spp.         | 3 (2.2%) | Amoxicillin-clavulanate (77%)                                              |

MSSA = methicillin-sensitive Staphylococcus aureus; CoNS = Coagulase-negative Staphylococcus; E.coli= Escherichia coli; MRSA= methicillin-resistant Staphylococcus aureus.

result (P-value = <0.001; adjusted odds ratio = 0.843) (Table 3).

Among the pathogens isolated from blood cultures, Klebsiella spp. was the most frequently encountered (19.7%), and 81% of the Klebsiella isolates were polymyxin-susceptible (Table 4). Out of 85 culture specimens that yielded Gram-negative bacteria, 16 of the retrieved isolates were proven to be ESBL producers (Figure 1). In the meantime, the relative risk (RR) for neonates with ESBL was calculated and showed no statistically significant association between
exposure to any of the risk factors and the occurrence of ESBL sepsis. All estimated RRs had 95% confidence intervals (CI) that included the null value of RR=1 (Table 5).

Employing the combination disc method, 16 isolates out of 85 Gram-negative isolates were identified as ESBL-producers (18.8%), of which 11 isolates were also detected as ESBL producers by the DDST (Table 6). A good agreement was found between the combination disc method and the DDST (Kappa value= 0.710). With the combination

### Table 5. Comparison between ESBL sepsis and with non-ESBL sepsis regarding the potential risk factors

| Potential risk factors          | ESBL sepsis N (%) =16 (100%) | Non-ESBL sepsis N (%) = 69 (100%) | P- value | RR (95% CI) |
|---------------------------------|------------------------------|-----------------------------------|----------|-------------|
| Gender                          | Male                         | 0 (0%)                            | 16 (23.2%) | 0.346 | ---- |
|                                 | Female                       | 16 (100.0%)                       | 53 (76.8%) |       |     |
| Birth weight (gm)               | Mean±SD                      | 2111.88±876.20                    | 2075.51±704.30 | 0.588 | ---- |
| ≥ 2500 gm                       | 4 (25%)                      | 29 (42%)                          | 0.227 | 1.904 | (0.670 to 5.409) |
| < 2500 gm                       | 12 (75%)                     | 40 (85%)                          |       |     |     |
| Gestational age (weeks)         | Mean±SD                      | 36.31±2.02                        | 35.95±1.78 | 0.192 | ---- |
| Preterm                         | 11 (68.8%)                   | 35 (50.7%)                        | 0.207 | 1.865 | (0.709 to 4.907) |
| Full term                       | 5 (31.3%)                    | 34 (49.3%)                        |       |     |     |
| Mode of delivery                | NVD                          | 3 (18.8%)                         | 19 (27.5%) | 0.002 | ----- |
| PROM                            | Yes                          | 6 (37.5%)                         | 21 (30.4%) | 0.584 | 1.289 |
|                                | No                           | 10 (62.5%)                        | 48 (69.6%) |       | (0.522 to 4.181) |
| Apgar score (1minute)           | Mean±SD                      | 5.06±0.854                        | 5.06±0.833 | 0.898 | ----- |
| Apgar score (5 minutes)         | Mean±SD                      | 7.75±0.931                        | 7.41±0.929 | 0.342 | ---- |
| Meconium staining               | Yes                          | 1 (6.3%)                          | 3 (4.3%) | 0.396 | 1.350 |
|                                | No                           | 15 (93.8%)                        | 66 (95.7%) |       | (0.233 to 7.830) |
| TPN                             | Yes                          | 1 (6.3%)                          | 4 (5.8%) | 0.346 | 1.067 |
|                                | No                           | 15 (93.8%)                        | 65 (94.2%) |       | (0.174 to 6.527) |
| TPN duration (days)             | Mean±SD                      | 5.00±1.00                         | 5.40±1.45 | 0.081 | ----- |
| CPAP                            | Yes                          | 15 (93.8%)                        | 59 (86%) | 0.414 | 2.230 |
|                                | No                           | 1 (6.3%)                          | 10 (14.5%) |       | (0.326 to 15.249) |
| Duration of CPAP (days)         | Mean±SD                      | 1.17±0.408                        | 3.48±2.87 | 0.760 | ----- |
| Mechanical ventilation          | Yes                          | 56 (81.2%)                        | 0.100 | 0.480 | (0.200 to 1.150) |
|                                | No                           | 6 (37.5%)                         | 13 (18.8%) |       |     |
| Duration of mechanical ventilation (days) | Mean±SD | 3.67±1.96 | 5.75±2.80 | 0.061 | ----- |
| Duration of oxygen support (days) | Mean±SD | 5.63±2.57 | 8.90±5.43 | 0.216 | ----- |
| HB                              | Mean±SD                      | 11.31±1.94                        | 11.45±1.83 | 0.620 | ----- |
| HCT                             | Mean±SD                      | 32.66±6.64                        | 32.96±5.87 | 0.931 | ----- |
| PLT                             | Mean±SD                      | 338.19±138.97                    | 269.99±115.97 | 0.693 | ----- |
| TLC                             | Mean±SD                      | 14.43±4.27                        | 14.67±5.095 | 0.822 | ----- |

RR= relative risk; CI = confidence interval; NVD= normal vaginal delivery; PROM= premature rupture of membranes; TPN = total parenteral nutrition; CPAP= continuous positive airway pressure; HB= hemoglobin; HCT= hematocrit; PLT= platelet count; TLC= total leukocytic count.
disc method applied as a gold standard, the DDST was found to be 91.96% accurate (Table 7). The commonest ESBL-producing organism isolated from cultures was *Klebsiella* spp., while *Acinetobacter* spp. was the commonest organism among non-ESBL producers. It’s worthwhile to mention that 62.5% of the neonates with ESBL-sepsis died versus 11.6% of neonates with non-

### Table 6. Comparison between the combination disc method and the DDST regarding ESBL detection

|               | DDST | Total |
|---------------|------|-------|
|               | ESBL | Non-ESBL |
| Combined disk method | | |
| ESBL          | 11   | 5     | 16   |
| % within DDST | 84.6%| 6.9%  | 18.8%|
| Non-ESBL      | 2    | 67    | 69   |
| % within DDST | 15.4%| 93.1% | 81.2%|
| Total         | 13   | 72    | 85   |
| % within DDST | 100% | 100%  | 100% |

### Table 7. Performance of the DDST compared to the combination disc method as a gold standard

| Item            | Sensitivity | Specificity | Positive predictive value (PPV) | Negative predictive value (NPV) | Accuracy |
|-----------------|-------------|-------------|---------------------------------|---------------------------------|----------|
| DDST            | 84.6%       | 93.1%       | 68.7%                           | 97.1%                           | 91.96%   |

### Table 8. Comparison between ESBL and non-ESBL producing organisms according to specimen, retrieved isolates, and clinical outcome

| Specimen          | ESBL (N= 16) | Non-ESBL (N= 69) |
|-------------------|--------------|------------------|
| Blood (N= 16)     |              |                  |
| ETT aspirate (N= 2) |              |                  |
| Sputum (N= 3)     |              |                  |
| Wound swab (N= 1) |              |                  |
| Urine (N= 3)      |              |                  |

| Organism N (%)    | ESBL          | Non-ESBL         |
|-------------------|---------------|------------------|
| *Klebsiella*: 12 (75%) | Blood culture | Blood culture    |
| *E. coli*: 2 (12.5%) | *Acinetobacter*: 17 (24.6%) | *Klebsiella*: 15 (21.7%) |
| *Pseudomonas*: 9 (13%) | *E. coli*: 13 (18.8%) | *Enterobacter*: 6 (8.7%) |
| *Acinetobacter*: 2 (12.5%) | *ETT culture*: *Klebsiella*: 2 (2.9%) | *Sputum culture*: *Acinetobacter*: 3 (4.3%) |
| *Wound culture*: *Pseudomonas*: 1 (1.4%) | *Urine culture*: *Pseudomonas*: 2 (2.8%) | *E. coli*: 1 (1.4%) |

#### Clinical outcome

- Died: 10 (62.5%) vs 8 (11.6%)
- Survived: 6 (37.5%) vs 61 (88.4%)
- P-value: <0.0001
ESBL sepsis, revealing a statistically significant difference (P-value <0.0001) (Table 8).

Regarding the susceptibility pattern of the isolated pathogens, *Klebsiella* spp. revealed 100% resistance to amoxicillin-clavulanate, ceftazidime, as well as to cefepime; while *Acinetobacter* spp. demonstrated 100% resistance to amoxicillin-clavulanate and ampicillin-sulbactam (Figure 2).

**DISCUSSION**

The WHO has evaluated that 1.6 million deaths happen universally due to neonatal infections and 40% of them happen in developing countries\(^\text{13}\). Neonatal sepsis, a life-threatening condition, requires quick antimicrobial therapy, and it is significant to choose an antibiotic combination that covers the foremost common pathogens\(^\text{14}\). Blood culture has remained the gold standard of diagnosis, despite its low sensitivity which may be ascribed to little volume of the blood sample, or empirical antibiotics prescription earlier to sampling\(^\text{15}\).

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**Fig. 1.** Outcome of cultures and ESBL detection tests in the studied neonates
In the present study, we investigated 371 neonates admitted to the NICU of a tertiary care hospital with a clinical suspicion of septicemia. The rate of blood culture demonstrated that sepsis among the neonates was 37% (137/371 cases). Comparative rates were detailed in other countries counting Tanzania (39%)\(^\text{16}\) and Cameroon (34.7%)\(^\text{17}\).

In the meantime, a few research depicted higher frequencies of 46% and 60.4% in India [18], where quarter of the worldwide neonatal mortality happens\(^4\).

In the meantime, our study demonstrated that *Klebsiella pneumoniae* was the foremost common isolated organism at the NICU followed by *Acinetobacter* spp. Likewise, a study from India reported that *Klebsiella* spp. was the leading pathogen in the NICU\(^9\).

Nonetheless, a study from Canada reported that *Pseudomonas aeruginosa* was the most common isolated organism followed by *Klebsiella* and *Acinetobacter*\(^20\).

Apparently, the organisms causing neonatal septicemia differ from one area to

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**Fig. 2.** Susceptibility patterns of the isolated pathogens
another and may also change with respect to time, even in the same area\textsuperscript{21}. This mandates the importance of surveillance programs and timely antibiotic sensitivity tests.

In our study, Candida was recognized in 5.8% of septic neonates, yet there is a wide variety within the detailed rate of fungal septicemia in the NICU; extending from 2.6% to 16.7% among very low birth weight infants\textsuperscript{22}.

In our study, about 81% of Klebsiella spp. were polymyxin-sensitive. And indeed higher susceptibility (100%) was found in a study from China\textsuperscript{23}.

On the other hand, Klebsiella isolates in our study were 100% resistant to amoxicillin-clavulanic, 3rd generation cephalosporins such as ceftazidime and 4th generation cephalosporins such as cefepime. Additionally, most of the isolates were highly resistant to meropenem (94%) and imipenem (79%).

The worrisome upward shove of MDR Gram-negative microorganisms with the relative dearth of new antibiotics has led to the revival of different drug classes as polymyxins, which are effective against Acinetobacter spp., Pseudomonas aeruginosa, Klebsiella spp., and Enterobacter spp.\textsuperscript{24}.

Because of the potential drawbacks of polymyxin (including neuro- and nephro-toxicity), it is generally reserved for resistant infections. It penetrates poorly into the CSF and the presence of meningeal infection would not improve its absorption\textsuperscript{25}.

Moreover, polymyxins monotherapy tends to be a possible cause of hetero-resistance among patients exposed to polymyxins solely\textsuperscript{26}.

Thus, an additional principle for employing combination therapy is to inhibit the hetero-resistance.

In our study, prematurity, longer period of hospital stay, mechanical ventilation, TPN and central venous catheterization had been determined to be risk factors of neonatal sepsis. Using the multivariate logistic regression analysis, the period of hospital stay has been described as an independent risk factor linked to neonatal infections.

Preterm infants are consistently highly prone to infection due to their immature host defense mechanisms and invasive life support systems. Stoll\textsuperscript{27} has mentioned a 3- to 10-fold higher incidence of infection than full-term normal birth weight infants.

Meanwhile, the duration of health facility stay proved to be an independent risk determinant of sepsis, suggesting that the health care surroundings are crucial factors in the transmission of pathogens. This corroborates the finding of in the past research\textsuperscript{28}.

On the other hand, parenteral nutrition (PN) is increasingly being used, and often at an earlier age; for preterm neonates before full enteral feeding can be administered. There is almost universal agreement that the administration of PN is a major risk factor for neonatal sepsis\textsuperscript{29}. Okada et al.\textsuperscript{30} reported that long term PN would impair host defense mechanisms and bactericidal activity. A study found that the risk of neonatal infections was about 13 times more among catheterized neonates and two times higher in those with TPN\textsuperscript{31}. Another study from Taiwan revealed that the administration of PN was associated with approximately 6-fold greater risk of blood stream infections\textsuperscript{32}.

Notably, the ventilatory assist and central venous lines are the two most common invasive-treatment modalities used in NICUs. Neonates exposed to these devices are frequently at excessive threat of the prevalence of sepsis\textsuperscript{33}. Infections associated with these devices can be preventable via good practice, such as aseptic techniques in insertion, surveillance and timely removal of devices as soon as they are no longer clinically required\textsuperscript{34}.

In the current study, the overall mortality rate among admitted neonates was 23% (85/371 cases) with the mortality in culture-positive sepsis reaching 49% (67/137 cases) in contrast to 7.7% in culture-negative sepsis.

Such a distressingly excessive mortality may also be linked to the severity of illness at presentation, type of organism and quality of neonatal care. Meanwhile, it is additionally vital to apprehend and address the risk factors leading to this negative outcome.

ESBL-associated infections represent a significant cause of neonatal morbidity and mortality all over the world. However, the incidence of such infections varies considerably in various areas, from 37% in Latin America and...
7% in the United States to 5–56% in a number of Asian territories. The existing study confirmed that out of 85 Gram-negative isolated pathogens, sixteen isolates (18.8%) were ESBL-producers, with Klebsiella spp. being the most common organism. However, greater percentages of ESBL-producers (39.6% and 67.3%) had been demonstrated in other research. Such variations in the percentage of ESBL-producers may be due to regional diversities. Distinct regional variations have been detected in the incidence of ESBL sepsis.

In our study, the mortality rate in ESBL-sepsis had been greater (62.5%) compared to non-ESBL sepsis (11.6%) and the difference was statistically significant. This finding coincides with that reported by Yusef et al. who cited that the mortality rate with MDR-associated sepsis was 60% versus 13% with non-MDR sepsis. Nonetheless, different studies mentioned lower mortality rates of 39.1% and 34.4% with ESBL sepsis. These discrepancies may additionally pertain to a number of elements like the turnaround time of blood cultures or the use of automatic assays.

In the present study, the DDST was able to identify eleven out of 13 ESBL-producers detected by using the combination disc method, hence displaying a good agreement (Kappa=0.71) and a sensitivity of 84.6%.

These findings were in line with those of Daef et al. who stated that 21 out of 23 (91.3%) potentially ESBL-producing Enterobacteriaceae tested positive by using the DDST.

Worth mentioning, the DDST can also miss a few ESBL cases because of the trouble of optimal disc spacing and the proper storage of the clavulanic acid containing discs. The CLSI therefore advocated the use of the combined disc test for the phenotypic affirmation of the ESBL producers among E. coli and K. pneumoniae.

The worrisome evolution of MDR microorganisms points to the significance of prudent antibiotic policies in the NICU. The rise in ESBL producers may additionally be stemming from the selective pressure imposed via the extensive use of antimicrobials. Meanwhile, the various percentages of ESBL-producing isolates amongst the studies could be incidental to regional variations.

Adhering to hygienic procedures, such as hand washing, the use of sterile equipment, patient cohorting and screening of attending group of workers and mothers for MDR pathogens can help stop the spread of these resistant strains. This study stresses that antimicrobial resistance is a worldwide issue and emphasizes the need for surveillance and promotion of restrictive antibiotic policies including a tailored therapy after studying sensitivity pattern. This can halt the further pervasion of ESBL-producers and improve the prognosis of neonatal sepsis.

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CONFLICT OF INTEREST
The authors declare that there is no conflict of interest.

AUTHORS’ CONTRIBUTION
All authors listed have made a substantial, direct and intellectual contribution to the work, and approved it for publication.

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ETHICS STATEMENT
The protocol of the study has been approved by the Ethical Committee of the Pediatrics and Neonatology Department, Cairo University. An informed consent was obtained from the parents/guardians of each enrolled neonate.

DATA AVAILABILITY
All datasets generated or analyzed during this study are included in the manuscript.

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