Esthetic Improvement through Orthodontic Treatment Involving Extraction: Use of Orthodontic Anchor Screws

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Abstract

Here, we report two cases of dental bimaxillary protrusion in which orthodontic anchor screws were used to improve the esthetics of the mouth and lips and facilitate complete closure of the mouth. Case 1 was a woman with dental maxillary protrusion and constriction of the dental arches, with the main complaint of protrusion of the lips and mandibular crowding. The maxillary and mandibular dental arches were first widened and traction applied to the maxilla via orthodontic anchor screws. The maxillary incisors were retracted 10 mm and the mandibular incisors 3 mm, resulting in upper lip retraction of 3 mm and bottom lip retraction of 5 mm with respect to the E-line. The nasolabial angle increased to 20°, and the inter-vermilion angle, which indicates the thickness of the vermillion, decreased to 20°, improving esthetics by reducing the thickness of the lips. Case 2 was a woman with bimaxillary dental protrusion, with the chief complaint of protruding lips and a gummy smile. Orthodontic anchor screws were used to achieve posterior traction and intrusion in the maxillary incisor region to improve the gummy smile. The maxillary incisors were retracted 9 mm and the mandibular incisors 8 mm. In the soft tissues, this yielded upper lip retraction of 3 mm and bottom lip retraction of 4 mm with respect to the E-line. The nasolabial angle increased to 10° and the inter-vermilion angle decreased to 30°, improving esthetics by reducing the thickness of the lips. The gummy smile was also improved, with 4 mm intrusion of the maxillary incisors. Increased ease in closing of the mouth was also a major factor in patient satisfaction with the results of orthodontic treatment.

Key words: Adult orthodontic treatment — Orthodontic anchor screw — E-line — Nasolabial angle — Inter-vermilion angle

Introduction

Recent years have seen an increase in demand for improved esthetics as a goal of orthodontic treatment in adults. This has increasingly involved not only improvement of crowding or over-occlusion, but also that of protrusion of the lips. In the past, anchorage by means of intermaxillary elastics or orthodontic headgear has been required in...
cases where the therapeutic strategy called for maximal anchoring. In such cases, however, outcome has often depended on the degree of cooperation from the patient. These days, however, orthodontic anchor screws are available in cases of extraction requiring maximal anchoring. Anchor screws are also reported to be effective in the improvement of a gummy smile.

Here, we report a dramatic improvement in esthetics by means of orthodontic anchor screws in two patients who visited our clinic with the main complaint of protrusion of the lips. Improvement of the shape of the mouth can be evaluated in reference to the E-line or nasolabial angle. Morphological change was observed in the lips in both the present cases, so change in the vermilion was also evaluated. In both cases, improvement of labial morphology was achieved to the satisfaction of the patient through retraction of the maxillary central incisors by over 10 mm.

The patients provided written informed consent for publication of the results of their orthodontic treatment.

Case Presentation

1. Case 1: Constricted dental arches and crowding with Angle Class I and maxillary protrusion

This patient was a woman aged 31 years and 9 months who visited our clinic with the main complaint of protrusion of the lips and mandibular crowding. She had experienced the onset of temporomandibular joint pain 10 years earlier, and was currently using a splint at night. She had attended the Department of Psychosomatic Medicine at another hospital 5 years earlier for depression, for which she had been prescribed medication and was still under observation. She felt that the mandibular crowding had worsened over the previous year or two.

Her family medical history revealed protruding teeth in her father and elder brother, but healthy dentition in her mother.

The findings were a convex-type facial profile, no frontal bilateral asymmetry, tension of the mentalis muscle when the mouth was closed, and a non-gummy smile.

Fig. 1 Case 1: Intraoral and facial photographs at pre-treatment
Intraoral findings revealed overjet of 9 mm, overbite of 2 mm, and an Angle Class I molar relationship. The dental arch morphology was a V-shaped dental arch in the maxilla and a constricted dental arch in the mandible, with considerable crowding of the maxillary and mandibular incisors (Fig. 1).

With regard to the skeletal pattern, the anteroposterior positional relationship of the maxilla and mandible on the cephalometric X-ray was an SNA angle of 80°, SNB angle of 77°, and ANB angle of 3°, which were roughly normal values. Vertically, the FMA was high, at 35°. As to the dental pattern, the maxillary incisors showed considerable labial inclination and forward displacement, with a U1 to FH of 121° and U1 to A-Pog of 16 mm. The mandibular incisors showed considerable labial inclination, with an FMIA of 48° and L1 to A-Pog of 7 mm. As to the soft tissues, the facial profile was convex-type, with protrusion with respect to the E-line of 2 mm in the upper lip and 5 mm in the lower lip (Table 1, Fig. 2). The frontal facial aspect was roughly symmetrical, and the tooth relationship was roughly in agreement with the center line.

1) Clinical procedures and outcomes

Based on the above findings, the diagnosis was Angle Class I and maxillary protrusion accompanying constriction of the dental arches and crowding.

The therapeutic strategy was to extract the maxillary and mandibular first premolars, and to use a multibracket appliance to relieve crowding and move the incisors distally. It was also decided to treat the constriction of the maxillary and mandibular dental arches by enlarging them with a quad helix appliance in

| Table 1 Case 1: Measurements on pre-, post-treatment, and retention cephalometric radiographs |
|---------------------------------|-----------------|-----------------|
|                                | Pre-treatment   | Post-treatment  |
|                                | 31Y9M           | 35Y5M           | Retention |
|                                |                 |                 | (4 yr)    |
|                                |                 |                 | 39Y6M     |
| SNA (deg.)                     | 80.3            | 79.7            | 79.9      |
| SNB (deg.)                     | 76.9            | 75.1            | 75.5      |
| ANB (deg.)                     | 3.4             | 4.6             | 4.4       |
| Facial angle (deg.)            | 83.7            | 83.5            | 83.5      |
| Y-axis (deg.)                  | 65.4            | 65.1            | 65.2      |
| FMA (deg.)                     | 34.7            | 34              | 34.2      |
| Occ. Plane to SN (deg.)        | 25.1            | 25.5            | 25.3      |
| U1 to FH (deg.)                | 121.1           | 98.6            | 99.1      |
| IMPA (L1 to MP) (deg.)         | 97.4            | 97.7            | 97.6      |
| FMIA (deg.)                    | 47.8            | 48.4            | 48.2      |
| Interincisal angle (deg.)      | 106.7           | 129.8           | 129.4     |
| U1 to A-Pog (mm)               | 16              | 5.9             | 6.1       |
| L1 to A-Pog (mm)               | 6.8             | 3.8             | 4.1       |
| E-line: Upper (mm)             | 2               | −1              | −1        |
| E-line: Lower (mm)             | 4.8             | 0               | 0         |
| Nasolabial angle (deg.)        | 88              | 112             | 111       |
| Inter-vermilion angle (deg.)   | 142             | 122             | 120       |
| Upper vermilion depth (mm)     | 13              | 10              | 10        |
| Lower vermilion depth (mm)     | 13              | 7               | 6         |
| Overjet (mm)                   | 9               | 2.5             | 3         |
| Overbite (mm)                  | 2               | 2               | 3         |
the maxilla and a bi-helix appliance in the mandible. In terms of mechanics, the excessive overjet was treated by implanting screw-type orthodontic anchors in the maxillary molar region to give maximum anchorage for traction. Screw-type anchors were selected because the molar relationship was Angle Class I and there was therefore no need for distal movement of the molars. Traction was applied, with care taken to avoid bite-raising and excessive lingual inclination. The mandibular crowding was relieved by extraction of the lower first premolar. The gap was closed taking care not to move the mandibular incisors too far in the lingual direction. The lip protrusion was improved by achieving the appropriate overjet through distal movement of the maxillary incisors.

First, a quad helix was fitted to the maxilla and a bi-helix to the mandible. A multibracket appliance (Roth bracket, 0.022×0.028 inch; Sankin, Japan) was subsequently fitted to level the maxillary and mandibular dental arches while they were being widened (Fig. 3). The quad helix and bi-helix were removed after one year. A 0.019×0.025 stainless steel wire with hooks was fitted in the maxilla and traction performed using hyper-elastic coil springs with the orthodontic anchor screws as anchorage (Fig. 4). A 0.019×0.025 stainless steel wire was fitted to the mandible, after which the gap was closed by distal movement of the canines and the incisors within the mandible. Class II intermaxillary elastics were subsequently fitted to establish intercuspsation. The period of active treatment was 3 years and 2 months (Fig. 5).

The treatment outcome was as follows: the values from cephalometric analysis of the upper incisors revealed that U1 to FH improved from 121 to 99° and U1 to A-Pog from 16 to 6 mm; in the lower incisors FMIA remained unchanged at 48°, while L1 to A-Pog moved linguallly from 7 to 4 mm. As a result, the interincisal angle increased from 107 to 130°. In the skeletal pattern, no major change was seen in ANB or FMA (Table 1, Fig. 2).

Panoramic X-ray findings revealed that the tooth roots had a satisfactory parallel relationship, but the upper incisor roots showed slight resorption (Fig. 6).

In the soft tissues, prior to treatment the facial profile was convex-type, with upper lip protrusion of 2 mm and lower lip protrusion.
of 5 mm with respect to the E-line. There was considerable retraction as a result of the treatment, with protrusion reduced to $-1$ mm in the upper lip and 0 mm in the lower lip (Fig. 7). In addition, the nasolabial angle increased from 90 to $110^\circ$ (Fig. 8). The inter-vermilion angle, which indicates the thickness of the vermilion, decreased from 142 to 122° (Fig. 9). In addition, with the straight line passing through the alar curvature point (Ac) and perpendicular to plane FH taken as the Y-axis, the distance to the upper vermilion (upper vermilion depth) decreased from 13 to 10 mm, and the distance to the lower vermilion (lower vermilion depth) from 13 to 7 mm (Fig. 10). Tension of the mentalis muscle when the mouth was closed due to protrusion of the upper incisors at pre-treatment had disappeared after treatment.

A wraparound retainer was fitted to the maxilla, which the patient was instructed to wear all day for one year, after which use was reduced to only at night. The patient currently still uses the retainer at night. In the mandible, a fixed retainer was fitted from the mesial marginal ridge line of the second pre-
molar to the opposing side, and after 3 years it is still being used. The frequency of use of the maxillary retainer decreased from around the second year, however, resulting in the appearance of a space in the maxillary first premolar region (Fig. 11).
2. Case 2: Angle Class I and bimaxillary protrusion accompanying gummy smile

This patient was a woman aged 19 years and 7 months who visited the clinic with the main complaint of protruding lips and a gummy smile. The gummy smile had bothered her from junior high school onward, and she had been recently referred to this clinic after consultation with her uncle, an oral surgeon. Her family history revealed that both parents had healthy dentition.

The findings for this patient were a convex-type facial profile, no frontal bilateral asymmetry, tension of the mentalis muscle when the mouth was closed, and a gummy smile.

Intraoral findings revealed overjet of 4 mm, overbite of 2 mm, and an Angle Class I molar relationship. No abnormalities were found in the dental arch morphology, and there was only slight crowding. The tooth crown diameters were slightly on the large side in both the maxilla and the mandible, but the tooth size ratio was normal (Fig. 12).

In terms of skeletal pattern, the cephalometric X-ray revealed that the anteroposterior positional relationship of the maxilla and mandible was an SNA angle of 72° and an SNB angle of 70°, so that both showed retrusion, and the relative positional relationship was an ANB angle of 2°, which was a normal value. Vertically, the FMA was 31°, which was slightly high, but still within the normal range.

In terms of dental pattern, the maxillary incisors showed considerable labial inclination and forward displacement, with a U1 to FH of 117° and U1 to A-Pog of 14 mm. The mandibular incisors also showed labial inclination, with an FMIA of 42° and L1 to A-Pog of 11 mm.

As for the soft tissues, the facial profile was convex-type, with protrusion with respect to the E-line of 2 mm in the upper lip and 4 mm in the lower lip (Table 2, Fig. 13). The frontal facial aspect was roughly symmetrical, and the tooth relationship was roughly in agreement with the center line.

1) Clinical procedures and outcomes

Based on the above findings, the diagnosis was Angle Class I and bimaxillary protrusion accompanying a gummy smile.

The therapeutic strategy was to extract the
maxillary and mandibular first premolars and use a multibracket appliance for distal movement of the maxillary and mandibular incisors. In terms of mechanics, orthodontic anchor screws were implanted in the maxillary and mandibular molar regions to give maximum anchorage for traction. Screw-type anchors were selected because the molar relationship was Angle Class I and there was therefore no need for distal movement of the molars. The lip protrusion was improved through distal movement of the maxillary and mandibular incisors. In addition, the gummy smile was addressed by implanting orthodontic anchor screws in the maxillary incisor alveolar region to intrude the upper incisors.

Following extraction of the maxillary and mandibular first premolars, maxillary and mandibular multibracket appliances (Roth bracket, 0.022×0.028 inch; Sankin, Japan) were fitted and leveling of the dental arch carried out using copper Ni-Ti wire. Orthodontic anchor screws were implanted in the maxillary and mandibular first molar mesial region and maxillary canine mesial alveolar region. After 5 months, 0.019×0.025 stainless steel wires with hooks were fitted to the maxilla and mandible and traction commenced by means of hyper-elastic coil springs, with the orthodontic anchor screws providing anchorage. At one year following commencement of traction, the multibracket appliances were temporarily removed, leaving the first molar bands and orthodontic anchor screws, as the patient was going to study abroad. The patient had an invisible retainer to use while abroad, but rarely wore it.

Treatment was recommenced at 1 year and 4 months later when the patient returned to Japan. Leveling was carried out using copper Ni-Ti wires, and after 4 months 0.019×0.025 stainless steel wires were fitted and traction commenced using the orthodontic anchor screws as anchorage. The incisors were pulled upward by elastics. Vertical elastics were used in the maxillary and mandibular incisor regions at night to prevent development of open bite (Fig. 14).

The period of active treatment was 4 years.
and 10 months, including the period spent studying abroad. During this time, the appliances were removed and treatment suspended for a period of 1 year and 4 months (Fig. 15).

The treatment outcome was as follows: cephalometric analysis of the maxillary incisors revealed that U1 to FH improved from 117° to 103° and U1 to A-Pog from 14 to 5 mm. In the mandibular incisors, the FMIA improved from 42° to 68° and L1 to A-Pog from 11 to 3 mm. As a result, the interincisal angle increased from 105° to 145°. No major change was seen in any aspect of the skeletal pattern (Table 2, Fig. 13).

Panoramic X-ray findings revealed that the tooth roots had a satisfactory parallel relationship, but the upper incisor roots showed resorption (Fig. 16).

In the soft tissues, prior to treatment the
facial profile was convex-type, with upper lip protrusion of 2 mm and lower lip protrusion of 4 mm with respect to the E-line. Treatment resulted in considerable retraction, with protrusion reduced to $-1$ mm in the upper lip and 0 mm in the lower lip (Fig. 17). In addition, the nasolabial angle increased from 100 to $110^\circ$ (Fig. 18). The inter-vermilion angle decreased from 140 to $110^\circ$ (Fig. 19). In the distance from the Y-axis to the vermilion as well, the depth of the upper vermilion decreased from 20 to 10 mm, and that of the lower vermilion from 20 to 5 mm (Fig. 20).

With regard to the gummy smile, the maxillary incisors showed intrusion of 4 mm. Tension of the mentalis muscle when the mouth was closed due to protrusion of the upper incisors at pre-treatment had disappeared after treatment.

A wraparound retainer was fitted to the maxilla, and a fixed retainer from the mesial marginal ridge line of the second premolar to the opposing side in the mandible. The patient was instructed to wear these all day for one year, after which use was reduced to only at night. The patient still continues with nighttime use of these retainers (Fig. 21).
1. Cases

In recent years, there has been an increase in the number of adults receiving orthodontic treatment. Adult orthodontic treatment is characterized by esthetic demands, problems regarding the number of teeth or periodontal disease, and social circumstances, and it therefore calls for different considerations.
from orthodontic treatment carried out during the growth period\textsuperscript{14}. In the present cases, also, there were considerable esthetic demands to be met, and in both patients the main complaint was protrusion and incomplete closure of the lips.

Case 1 was a patient with constricted dental arches and crowding with Angle Class I and maxillary protrusion. The constricted dental arches were treated by arch enlargement with quad and bi-helix appliances. Dental arch enlargement ensured distal displacement of the incisors, on top of which the maxillary and mandibular first premolars were extracted and the incisor region displaced posteriorly. Orthodontic anchor screws were implanted in the maxilla to obtain maximum anchor\textsuperscript{24}. This resulted in 10 mm of distal movement in the maxillary incisors and 3 mm in the mandibular incisors. This yielded 3 mm of retraction in the upper lip and 5 mm in the lower lip with respect to the E-line, improving the facial profile from one that was convex to one that was straight. In addition, a 20° increase in the nasolabial angle and 20° decrease in the inter-vermilion angle improved the shape of the mouth\textsuperscript{10,14}. Moreover, the patient had complained of incomplete closure of the lips due to maxillary incisor protrusion, and this also showed an improvement after treatment. Retention was used fully for 1 year, after which compliance was poor. As a result, although occlusion was stable, a gap was produced at the maxillary extraction site.

Case 2 was a patient with alveolar maxillary and mandibular protrusion, with no skeletal problems. Treatment to improve the labial inclination of the maxillary and mandibular incisors was carried out following extraction of the maxillary and mandibular first premolars. The problem in this particular case was how to control torque and intrusion with distal displacement of the maxillary incisors. Orthodontic anchor screws have been reported to be effective in improving a gummy smile\textsuperscript{24}. In Case 2, therefore, the gummy smile was improved by applying intrusion force from orthodontic anchor screws in the maxillary incisor region at the time of distal displacement. Furthermore, a 0.019 × 0.025 stainless steel wire configured with a curve of

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Fig. 21  Case 2: Intraoral and facial photographs at 3 years after end of active treatment
Spee was used to slowly retract the 6 maxillary front teeth by springs from the orthodontic anchor screws, which allowed maximum anchorage and an appropriate inclination of the axes of the maxillary front teeth. At the same time, labial inclination in the mandibular front teeth was improved as well by extraction of the first premolars and traction with maximum anchorage from the orthodontic anchor screws. This resulted in distal displacement of the maxillary front teeth by 9 mm and the mandibular front teeth by 8 mm. This gave 3 mm retraction of the upper lip and 4 mm retraction of the lower lip with respect to the E-line, improving the facial profile from convex to straight. The morphology of the mouth also showed an improvement, with a 10° increase in the nasolabial angle and 30° decrease in the inter-vermilion angle. In addition, the maxillary front teeth showed intrusion of 4 mm, resulting in an improvement in the gummy smile. Tooth root resorption was found in the maxillary front tooth region, however. Possible reasons for this include the effect of intrusion due to traction from the anchor screws; the extended period of time for which the appliance was fitted, which was 3 years and 6 months because use of the appliance was interrupted for 1 year and 4 months during treatment; the effect of extraction and orthodontic treatment; and age-related factors in adult orthodontic treatment.

2. Esthetic evaluation

1) E-line
The Visual Treatment Objective (VTO) has long been used in treatment planning, with L1 to A-pog set at 3 mm. In addition, retraction of the upper lip accompanying posterior displacement of the maxillary central incisors is believed to require 2/3 the amount of movement of the central incisors. With the lower lips, the VTO is drawn up by matching the center of the OB and OJ so that the amount of lip retraction is not directly related to the amount of posterior displacement of the mandibular incisors. In the present study, L1 to A-pog improved from 7 to 4 mm in Case 1 and from 11 to 3 mm in Case 2, with the improved values corresponding to the standard values for Japanese people. One study using the facial profile in silhouette for evaluation reported that a lower lip profile of −2 mm with respect to the E-line was desirable in Japanese people. At the same time, another study basing its evaluations on silhouettes reported that the desirable profile in Japanese people was −3.45 mm with respect to the E-line. Therefore, we believe that near ideal values were obtained in both the present cases.

2) Nasolabial angle
The nasolabial angle is the angle formed by the base of the nose and the lips, which has been reported to be ideally 102.2 ± 8° in Caucasian men and 102.4 ± 8° in Caucasian women. Meanwhile, angles of 102.7 ± 11° have been reported in young Asian men and 101.6 ± 11° in young Asian women, so there are some differences between races. If the incisors are moved 5 mm posteriorly due to orthodontic treatment, then it may be estimated that the lips will move back by approximately 70% of this value, or 3.5 mm. Analyzing what will happen to the E-line and nasolabial angle due to change in the position of the lips with movement of the incisors in orthodontic treatment should allow better prediction of the mouth shape that will ensue. Orthodontic treatment involving extraction is effective in cases of protrusion of the lips and a small nasolabial angle. The nasolabial angle plays an important role in human esthetics. Clinicians should therefore place great emphasis on evaluating this area and planning a treatment mechanism that will place this angle within the acceptable standard deviation. Retraction of the maxillary incisors by 1 mm is reported to increase the nasolabial angle by 1–3°. In the present study, retraction of the maxillary incisors by 10 mm in Case 1 and 9 mm in Case 2 increased the nasolabial angle by 10° in both cases, thereby improving the mouth shape in each patient.

3) Change in vermilion morphology
Another change seen in the present cases
accompanying retraction of the lips was change in the morphology of the vermilion. One study reported a decrease in the height of the vermilion and an improvement in its morphology due to premolar extraction in patients with bimaxillary protrusion. Another study reported that the surface area of the upper vermilion was proportionally greater in maxillary protrusion patients, and that an increase in surface area of the lower vermilion after orthodontic treatment improved the balance between the upper and lower vermilion. In another earlier study, which investigated differences in the morphology of the vermilion between before and after orthodontic treatment for bimaxillary protrusion, it was shown that, while there was a high proportion of inward rotational change in the upper vermilion, the lower vermilion was characterized more by parallel rather than rotational displacement. In the present study, the inter-vermilion angle decreased from 142 to 122° in Case 1 and from 140 to 110° in Case 2, indicating a reduction in the thickness of the vermilion in both cases. The distance from the Y-axis to the vermilion also showed considerable retraction. In Case 1, the depth of the upper vermilion decreased from 13 to 10 mm and that of the lower vermilion from 13 to 7 mm; while in Case 2, the depth of the upper vermilion decreased from 20 to 10 mm and that of the lower vermilion from 20 to 5 mm.

These results indicate that esthetic improvement was obtained through morphological change in the vermilion.

Conclusion (s)

Here, extraction using orthodontic anchor screws yielded an improvement in the facial profile in adult patients with bimaxillary protrusion. The use of orthodontic anchor screws allowed control over the anchorage and appropriate distal movement of the incisors. Moreover, intrusion of the maxillary incisors allowed improvement of a gummy smile. Retraction of the upper and lower lips and morphological change in the vermilion resulted in considerable improvement in esthetics. In addition to which, increased ease in closing of the mouth was also a major factor in the patients’ satisfaction with their orthodontic treatment.

Conflicts of Interest

The authors wish to declare no conflict of interest with regard to this report.

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