THE FATE OF THE FIRST GALAXIES. III. PROPERTIES OF PRIMORDIAL DWARF GALAXIES AND THEIR IMPACT ON THE INTERGALACTIC MEDIUM

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ABSTRACT

In two previous papers, we presented simulations of the first galaxies in a representative volume of the universe. The simulations are unique because we model feedback-regulated galaxy formation, using time-dependent, spatially inhomogeneous radiative transfer coupled to hydrodynamics. Here we study the properties of simulated primordial dwarf galaxies with masses \( \lesssim 2 \times 10^8 M_\odot \), and investigate their impact on the intergalactic medium. While many primordial galaxies are dark, about 100–500 per comoving Mpc\(^2\) are luminous but relatively faint. They form preferentially in chain structures and have low surface brightness stellar spheroids extending to 20% of the virial radius. Their interstellar medium has mean density \( n_H \approx 10–100 \text{ cm}^{-3} \), metallicity \( Z \sim 0.01–0.1 Z_\odot \), and can sustain a multiphase structure. With large scatter, the mean efficiency of star formation scales with halo mass, \( (f_* \propto M_{DM}^{\alpha}) \), independent of redshift. Because of feedback, halos smaller than a critical mass, \( M_{crit}(z) \), are devoid of most of their baryons. More interestingly, we find that dark halos have always a smaller \( M_{crit}(z) \) than luminous ones. Metal enrichment of the intergalactic medium is inhomogeneous, with only a 1%–10% volume filling factor of enriched gas with [Z/H] > -3.0 and 10%–50% with [Z/H] > -5.0. At \( z \approx 10 \), the fraction of stars with metallicity \( Z < 10^{-3} Z_\odot \) is \( 10^{-6} \) of the total stellar mass. However, this study focuses on the effects of radiative feedback: mechanical feedback from SN explosions is only included in two of the seven simulations we have analyzed. Although detections of high-redshift dwarf galaxies with the James Webb Space Telescope will be a challenge, studies of their fossil records in the local universe are promising because of their large spatial density.

Subject headings: early universe — galaxies: dwarf — galaxies: formation — intergalactic medium — methods: numerical

1. INTRODUCTION

In cold dark matter (CDM) cosmologies, the first galaxies in the universe are predicted to have been about \( 10^6 \) times smaller than the Milky Way, with characteristic masses comparable to mass estimates for the smaller dwarf spheroidal (dSph) galaxies observed around our Galaxy and Andromeda (Mateo 1998; Belokurov et al. 2007). The gravitational potentials of these \( 10^8–10^9 M_\odot \) objects are so weak that the warm and hot ionized phases of their interstellar medium (ISM) are weakly bound. As a result, each episode of star formation may produce powerful outflows that could temporarily inhibit further star formation.

For the sake of brevity, we hereafter refer to “dwarf primordial” (dPri) galaxies to indicate galaxies with virial temperature \( T_{vir} \lesssim 20,000 \text{ K} \) (or circular velocity \( v_c \lesssim 20 \text{ km s}^{-1} \)). In contrast to more massive galaxies, the dark matter (DM) halos of dPri galaxies are too shallow to contain much photoionized gas with temperatures 10,000–20,000 K. During their formation, the gas is heated to temperatures below \( 10^4 \text{ K} \), where it is unable to cool by atomic hydrogen (Ly\( \alpha \)) line emission. The mass of these DM halos is \( M_{DM} \approx 2 \times 10^8 M_\odot \) at their typical redshifts of formation (redshift \( z \gtrsim 10 \)). These galaxies rely primarily on the formation of molecular hydrogen (H\( _2 \)) to cool and form stars because metal cooling is negligible as long as the gas has almost primordial composition. This situation changes, after the metallicity of the intergalactic medium (IGM) rises above a critical value, \( Z_{crit} \), which could be as large as 1% solar (Santoro & Shull 2006) at halo gas densities \( n_H \approx 10–100 \text{ cm}^{-3} \). As the first few stars are formed, these requirements no longer hold, since some gas is heated above 10,000 K and is polluted with heavy elements.

The relevance of understanding the formation of the first galaxies is not purely academic. Instead, it is closely connected to many outstanding questions in cosmology, including the following:

1. The Wilkinson Microwave Anisotropy Probe (WMAP) satellite has detected a polarization signal in the cosmic microwave background (CMB) radiation that indicates that the optical depth to Thomson scattering is \( \tau_e \approx 0.09 \pm 0.03 \) (Spergel et al. 2007). This result may require massive star formation at redshift \( z > 6 \) (Haiman & Bryan 2006; Shull & Venkatesan 2008), along with partial ionization by X-rays from intermediate-mass black holes (BHs) in the first galaxies (Ricotti & Ostriker 2004b, hereafter RO04; Madau et al. 2004; Venkatesan et al. 2001; Oh 2001).

2. The eventual formation of seed BHs in dPri galaxies is important for the assembly of supermassive BHs in the bulges of galaxies and the nature of ultraluminous X-ray (ULX) sources observed in nearby galaxies (e.g., Miller et al. 2003).

3. Future radio observations of redshifted 21 cm emission from gas at \( 6 \lesssim z \lesssim 10 \) will probe the ionization and thermal history of the IGM prior to reionization (e.g., Madau et al. 1997), where dPri galaxies could be the dominant population.

4. The origin of the metals observed in the low-density Ly\( \alpha \) forest at redshifts \( z \sim 2–5 \) is an argument of debate (Simcoe et al. 2004). One view invokes nearly uniform IGM preenrichment produced by the first stars at high redshift (Madau et al. 2001). The other view attributes the origin of the observed metal lines to
hot, metal-enriched superbubbles located around Lyman break galaxies (Adelberger et al. 2003). Given the difficulties associated with both scenarios, it is important to know the amount and volume filling factor of metal-enriched IGM produced by dPri galaxies.

5. If a substantial population of dPri galaxies existed at redshift \(z \approx 10\), we expect that about 10% of these galaxies may survive without further mergers to the present (Gnedin & Kravtsov 2006). It is a fascinating possibility that some of the dSph galaxies observed in the Local Group could be identified as the few well-preserved fossils of dPri galaxies (Ricotti & Gnedin 2005, hereafter RG05).

6. Finally, a new generation of large telescopes will push the frontiers of the observable universe to the ages when this primordial galaxy population is forming. Given the current uncertainties on their importance or even their existence, it is crucial to make reliable models to predict what these telescopes might observe. In particular, infrared observations with the James Webb Space Telescope (JWST) or the Giant Segmented Mirror Telescope (GSMT) should be able to constrain theories for the formation of the first galaxies.

In order to help understand some of the aforementioned cosmological problems, it is essential to know whether a cosmologically significant number of dPri galaxies formed and to predict their cosmological impact. Despite recent progress, the answer to this question is controversial, largely because of the uncertain effects of radiative and dynamical feedback from galaxy formation. In two previous papers (Ricotti et al. 2002a, 2002b, hereafter Papers I and II, respectively) we described our cosmological simulations of high-redshift galaxy formation with radiative feedback from star formation. Those papers dealt primarily with implementing radiative transfer in the ionizing continuum and understanding both “positive and negative feedback” on the formation and destruction of \(H_2\). We found significant effects of “radiative feedback” on the first galaxies and processes of reionization, from redshifts \(z \approx 30\) to 10.

Our current study (Paper III) focuses on simulation results on the population of dwarf primordial galaxies. This paper is organized as follows. In § 2 we describe the set of cosmological simulations that we analyze in this work. In § 3 we introduce and discuss the feedback processes that may determine the frequency of the dPri galaxies. We analyze the cooling mechanisms and large-scale clustering properties (bias) of galaxies to understand which physical processes are involved in the self-regulation of star formation and which is dominant. In § 5 we discuss the processes that eject metals from the galaxies and their importance for the enrichment of the IGM. In § 6 we analyze statistical properties of dPri galaxies such as their mean stellar and baryon fraction. We also study their internal properties: the ISM and the properties of their stellar and dark halos. In § 7 we address the observability of primordial galaxies at high redshift with the JWST. We also address the prospects for the identification of their fossil records in the local universe, noting that this topic has been investigated in greater detail in separate papers (RG05; Gnedin & Kravtsov 2006; Bovill & Ricotti 2008). We show that most low-mass galaxies in the Local Group are expected to be either dark or too faint to be detected. Therefore, the so-called missing satellite problem (Moore et al. 1999) for the Milky Way and Andromeda is not a fundamental challenge to CDM cosmology. We present a summary of our results in § 8.

### 2. SIMULATION DATA

In this work we study in greater detail the statistical properties of primordial dwarf galaxies in a set of simulations from Paper II, which focuses on the study of the effects of radiative feedback (runs S1, S2, S3, and S4 in Table 1). In addition, we analyze one simulation from RO04b that focuses on the effect of preionization and heating by X-rays (run S5) and one from Ricotti et al. (2005, hereafter ROG05) that focuses on models with early IGM reionization by Population III stars and includes a recipe for mechanical feedback from supernova (SN) explosions (run S6 and S7, respectively). In all the simulations we use a fast method to solve three-dimensional radiative transfer of H\(_1\), He\(_1\), and He\(_\text{II}\) in ionizing radiation and follow the nonequilibrium chemistry of neutral and molecular hydrogen and helium and a simple treatment of metal cooling. We simulate a cosmologically representative volume of the universe with initial conditions drawn from the concordance flat, CDM cosmology with cosmological constant (\(\Lambda\)CDM). We stopped the simulations at redshift \(z \approx 8–10\) because of their small volume. We included a phenomenological description of star formation and which is dominant.
formation and, in some simulations (run S6 and S7), the effects of mechanical feedback from SN explosions.

The list below provides a summary and all the references necessary to better understand the code and the physics incorporated in our simulations:

1. Code: The simulations presented in this paper were performed with the “softened Lagrangian hydrodynamics” (SLH-P^M) code described in detail in Gnedin (1995, 1996), Gnedin & Bertschinger (1996), and Gnedin & Ostriker (1997). The code solves the system of time-dependent equations of motion of four main components: DM particles (P^M algorithm), gas particles (quasi-Lagrangian deformable mesh using the SLH algorithm), “star particles” formed using the Schmidt law in resolution elements that sink below the numerical resolution of the code, and photons solved self-consistently with the radiative transfer equation in the OTVET approximation (Gnedin & Abel 2001).

2. Cosmological model and ICs: We adopt a ΛCDM cosmological model with the following parameters: Ω_0 = 0.3, Ω_M = 0.7, h = 0.7, and Ω_b = 0.04. The initial conditions at z = 100, where we start our simulations, are computed using the COSMICS package (Bertschinger 1995) assuming a spectrum of DM perturbations with σ_8 = 0.9 and power-law slope n_s = 1.

3. Three-dimensional continuum radiative transfer using the OTVET approximation: The OTVET approximation (Gnedin & Abel 2001) is based on solving equations for the first two moments of the photon distribution function. However, the hierarchy of moment equations is not closed at any finite level, i.e., the flux (first moment) equation contains the second moment (flux tensor), which can be reduced to the variable Eddington tensor. In the OTVET approximation, the Eddington tensor is calculated in the optically thin approximation, hence the abbreviation OTVET: Optically Thin Variable Eddington Tensor approximation. Since the two moment equations can be solved very fast numerically, most of the computational time is spent in calculating the Eddington tensor, which can be done efficiently using the same algorithm used for computing gravity, in our case the adaptive P^M. This approach is equivalent to separating the algorithm for calculating absorption and emission of photons from the algorithm for calculating the direction of propagation of a photon.

4. Line radiative transfer of the background radiation in the Lyman-Werner bands: We solve the line radiative transfer of the background radiation in the H_2 Lyman-Werner bands with spectral resolution Δν/ν = 9.26 × 10^-6 (i.e., 20,000 logarithmic bins in the energy range 11.2–13.6 eV of the H_2 Lyman-Werner bands). This is important for the optical depth of the IGM to H_2 dissociating radiation (Ricotti et al. 2001; Paper I).

5. Chemistry and cooling/heating processes in a gas of primordial composition: Detailed atomic and molecular physics is included: secondary ionizations of H and He from X-rays, H_2 chemistry and cooling processes, heating by Lyα resonant scattering, H and He recombination lines, heavy-element production and radiative cooling, and spectrum of sources consistent with the assumed value of ⟨f_esc⟩ and the stellar IMF.

6. Heavy-element production and cooling: We do account for the metal enrichment produced by SNe. The cooling function is calculated according to the gas metallicity. Since it is impractical to treat detailed ionization and thermal balance for some 130 ionization states of most common heavy elements (metals), we assume that whenever metal cooling is important, the different ionization states of heavy elements are in ionization equilibrium. In that case, we can adopt a single cooling function that describes the cooling rate due to excitation of atomic transitions in heavy elements (see Wolfire et al. 1995; Ricotti et al. 1997). The metallicity of the stars produced in the simulation is recorded, but the emission spectrum is not calculated consistently with the metallicity of the stellar population.

7. Subgrid physics: We parameterize the unknown subgrid physics of star formation at high redshift with three free parameters: the star formation efficiency, ϵ_s; the energy fraction in emitted ionizing photons per baryon converted into stars, ϵ_{UV}; and the Lyman continuum (LyC) escape fraction, ⟨f_esc⟩. The value of ϵ_{UV} depends on the initial mass function (IMF) and the metallicity of the stellar population. The free parameter ⟨f_esc⟩ is the fraction of ionizing photons escaping from the resolution element of the simulation. According to its definition, this parameter is, in general, resolution and time dependent.

8. SN feedback: The present study focuses on radiative feedback effects; hence, most of our simulations do not include mechanical energy injection from SN explosions. However, we have also looked at the combined effect of radiative and SN feedback in runs S6 and S7. In those simulations we model SN feedback as in Gnedin (1998): energy and metal injection from SNe is local (within the resolution element). We assume perfect mixing of the metals within each cell (i.e., the subgrid multiphase medium has homogeneous metallicity). The energy injection from SNe contributes to the heating of the subgrid multiphase medium and to the increase of its velocity dispersion. These terms are calculated using analytical solutions of shocks propagating in a medium with temperature, pressure, and density equal to the mean values for the cell. The pressure of the gas includes two terms, for thermal and turbulent pressure.

Our recipe for SN feedback is model dependent for unresolved SN bubbles. Unresolved bubbles are those in cells with very little star formation, such that the energy of the SNe is insufficient to create a bubble that becomes larger than the cell size in which it resides. For all resolved bubbles (produced by sufficiently large star formation) the hydrodynamics will determine the proper bubble behavior. Even for unresolved bubbles, there will be buoyancy forces acting on them when the fraction of the cell volume in the hot gas is significant (Cooper et al. 2008).

Given that SN feedback in our simulation is included using a specific subgrid recipe, it is not clear whether different implementations of SN feedback would produce the same effects as in our study. Thus, this study does not explore the full (theoretically and observationally plausible) range of the impact of SNe on ISM and IGM.

The simulations are tailored to study stellar feedback in a cosmological volume in galaxies with masses M_{DM} ≳ 10^9 M_☉, virial temperatures T_{vir} ≲ 4 × 10^4 K). In our higher resolution simulation we are able to examine the properties of single objects in detail, since they are resolved with 10,000–50,000 DM particles and ~10,000 stellar particles. We also analyze the importance of dPri galaxies for metal enrichment of the high-z IGM. We attempt to understand in more detail the mechanisms that trigger and suppress star formation and the internal properties of the galaxies that can help us to distinguish these objects from more massive galaxies that did form at later times by Lyα cooling. RG05 further evolved the high-resolution simulation, including the effects of reionization, and compared the properties of the simulated galaxies at redshift z ~ 8 with dSph galaxies observed in the Local Group. They found that the properties of most dSph galaxies are consistent with being the fossils of this first population of galaxies.

3. FEEDBACK-REGULATED GALAXY FORMATION

Since the earliest works (e.g., Couchman & Rees 1986) it has been realized that in CDM cosmologies, the first subgalactic
structures form as a consequence of the collapse of rare DM density perturbations with masses of $10^3$–$10^6 M_\odot$ at redshifts $z \sim 30$–$40$. The initial gas cooling must be provided by collisionally excited H$_2$ rotational and vibrational transitions. Tegmark et al. (1997) estimated that a minimum H$_2$ abundance of $x_{H_2} \approx 10^{-4}$ is required to trigger star formation in a dark halo in less than a Hubble time. In a dust-free gas, H$_2$ formation is catalyzed by the H$^-$ ion, which forms as a consequence of the shocks that partially ionize and heat the gas during the virialization process. At a given redshift, the mass of the smaller halo that can form stars is determined by its virial temperature and therefore by its mass. This analytical result has been confirmed by hydrodynamical cosmological simulations. Abel et al. (2002) carried out such numerical simulations for a selected $10^6 M_\odot$ halo, using adaptive mesh refinement, that resolves the collapse over a large range of scales. They find that, in this selected halo, only one star with mass between 10 and $100 M_\odot$ will probably form. Bromm et al. (1999) have also found similar results using a variety of initial conditions for the protogalaxies. These numerical results confirm long-standing theoretical arguments that the first stars should be massive: their characteristic mass reflects the larger Jeans mass in the inefficiently cooling metal-free gas. However, the cooling by trace-metal fine-structure lines depends on the gas density (Santoro & Shull 2006) and coupling with the CMB. Thus, the Jeans mass and “critical metallicity” are sensitive to the gas density in the halos.

Because of space constraints, we do not discuss the many papers on the importance of Population III stars and the first galaxies for reionization (see Venkatesan et al. 2003 and references therein). The typical mass and IMF of the first stars are still not well constrained because of the uncertain role of radiative feedback during the final phases of the protostellar collapse. Even more uncertain is the impact of the first stars compared to Population II. This depends on how many Population III stars can form and the duration of time until they are outnumbered by normal Population II stars (Ricotti & Ostriker 2004a).

3.1. Negative Feedback

After the first few stars formed, the universe becomes difficult to model. The H$_2$ photodissociating radiation in the Lyman-Werner bands (11.3–13.6 eV) emitted by the stars themselves can destroy H$_2$ and inhibit gas cooling. In addition, the H$^-$ ionizing radiation (ultraviolet and X-ray photons) emitted by hot stars, BHs, and SN remnants may become important or dominant in producing the H$^-$ that catalyzes H$_2$ formation (e.g., Haiman et al. 1996; Ferrara 1998; Ricotti et al. 2001; Ahn & Shapiro 2007; Whalen & Norman 2008; Wise & Abel 2008). The formation of H$_2$ from collisionally ionized gas during virialization is still important, but it might become a subdominant effect, especially if galaxies are clustered, as observed today. The first semi-analytic (Haiman et al. 2000) and numerical (Machacek et al. 2001) studies of the radiative feedback from the first galaxies included the effects of an H$_2$ dissociating background produced by hot stars. In these models, the formation of dPri galaxies is strongly suppressed by the H$_2$ photodissociating radiation. As a result, efficient and widespread star formation in the universe is delayed until the collapse of more massive DM halos ($M_{DM} \approx 10^9 M_\odot$) at later times ($z \approx 15$) formed by Ly$_\alpha$ cooling (Oh & Haiman 2002). Yoshida et al. (2003) and Tassis et al. (2003) have also performed simulations on the collapse of pregalactic clouds, finding that for radiation in the H$_2$ Lyman-Werner bands with intensity $I > 10^{-23}$ ergs s$^{-1}$ cm$^{-2}$ Hz$^{-1}$ sr$^{-1}$. H$_2$ molecules are rapidly dissociated, rendering the gas cooling inefficient. They both find that dwarf-sized DM halos assembled prior to reionization are able to form stars and show large variations in their gas content because of stellar feedback and photoionization effects.

3.2. Positive Feedback Regions

Local feedback effects were not included in the aforementioned simulations. Ricotti et al. (2001) demonstrated the importance of positive feedback, finding that shells of H$_2$ can be created continuously both in precursors around the Strömgren spheres produced by ionizing sources and, for a bursting mode of star formation, inside recombining H I regions. Recent studies have confirmed the existence and importance of positive feedback regions (Johnson & Bromm 2007; Ahn & Shapiro 2007; Whalen et al. 2008; Whalen & Norman 2008) in greater detail.

This local positive feedback could be important, but it is difficult to incorporate into cosmological simulations because the implementation of spatially inhomogeneous, time-dependent radiative transfer is computationally expensive and challenging. In Paper I we used a fast method to solve radiative transfer coupled to hydrodynamics in cosmological simulations of a representative volume of the universe. In Paper II we explored the importance of different feedback processes in producing what appears as a self-regulated star formation mode on cosmological scales.

The main parameters that regulate the global star formation rate (SFR) at high redshift are $\langle f_{esc} \rangle$, defined as the fraction of ionizing radiation that escapes from the simulation resolution element, and the IMF of the stars. The intensity of the H$_2$ dissociating background and the assumed efficiency of star formation have, surprisingly, only a minor effect on the self-regulation of star formation. Adopting a Salpeter IMF and $\langle f_{esc} \rangle \lesssim 1\%$, we showed that dPri galaxies may account for most of the stellar mass at redshift $z \sim 9$. If the IMF is top heavy, or if $\langle f_{esc} \rangle \sim 1$, the global star formation is reduced but not fully suppressed. Internal sources of ionizing photons such as massive stars or quasars produce galactic winds in dPri galaxies that regulate their SFRs by reducing the gas supply. As a consequence, their star formation history is characterized by several short starburst episodes. The total fraction of stars produced in primordial galaxies depends on the intensity of these bursts of star formation. From the simulations, it appears that dPri galaxies cannot reionize the low-density regions of the IGM because the Strömgren spheres never reach the overlap phase. However, they can produce and eject a substantial mass in heavy elements.

Machacek et al. (2003) studied the effect of a moderate X-ray background on the formation of the first galaxies, finding that they have a minor effect on the global SFR. They concluded that the feedback does not completely suppress star formation in low-mass galaxies, in agreement with Paper II. However, in disagreement with this study, they find that, depending on the intensity of the dissociating background, star formation is delayed and less efficient in smaller mass halos. The reason for this disagreement may be their neglect of local feedback such as photoevaporation of the ISM from stellar winds. Susa & Umemura (2004) performed simulations of the effect of reionization on star formation in low-mass galaxies, finding that star formation in halos that collapse prior to reionization is completely suppressed after reionization if the halo is small.

4. LOCAL AND GLOBAL FEEDBACK

In hierarchical models, galaxies form preferentially in groups and filaments. The feedback processes that regulate the cooling of the gas, and therefore star formation in dPri galaxies, can be
grouped in two categories, with processes labeled as internal (I1, I2, I3) and external (E1, E2, E3, E4):

1. Internal feedback produced by star formation inside each galaxy: (I1) photoionization by massive stars and miniquasars is sufficient to evaporate most of the ISM and temporarily halt star formation; (I2) galactic winds produced by SN explosions may become important after about 10 Myr; (I3) heavy-element self-enrichment affects the ISM properties and possibly the stellar IMF.

2. External feedback can operate on local scales or on cosmological scales: (E1) the reheating of the IGM produced by ionizing photons (UV and X-rays) increases the Jeans mass of the IGM, preventing gas collapse inside the smaller mass halos; this is a negative feedback on cosmological scales; (E2) the radiation backgrounds operate on cosmological scales: the background in the H$_2$ Lyman-Werner bands (far-ultraviolet radiation) is a negative feedback as it dissociates molecular hydrogen, while the X-ray background, increasing the fractional ionization of the gas, may promote H$_2$ formation and can be a positive feedback; (E3) feedback from UV ionizing radiation is a local feedback as it operates on galactic scales. Ionizing radiation produces what we call positive feedback regions (Ricotti et al. 2001). These are regions of enhanced H$_2$ formation located just ahead of ionization fronts and inside recombining H ii regions; (E4) contamination of the IGM with heavy elements ejected from neighboring galaxies can promote gas cooling and galaxy formation and should be considered as a positive feedback.

Although these processes play a role in the regulation of the formation of stars and galaxies, it is generally possible to identify a dominant feedback process in each simulation. The dominant feedback is determined by the value of the free parameters of the simulation, such as $\langle f_{esc}\rangle$, the IMF, and the ionizing spectrum of the sources. In Paper II we found that when the efficiency of emission of ionizing radiation per baryon converted into stars becomes important after about 10 Myr; (I3) heavy-element self-enrichment affects the ISM properties and possibly the stellar IMF.

4.1. Cooling Processes

In this section we focus on the role of different cooling processes that ultimately lead to the gravitational collapse of the first stars. We show that, after the H$_2$ in the ISM and IGM is destroyed by the dissociating radiation emitted by the first stars, star formation in a subset of low-mass halos is not suppressed. This is a consequence of the positive feedback from the proximity to already formed galaxies or groups, whose ionizing radiation produces H$^-$ and H$_2$ (Ricotti et al. 2001). Only a small fraction of the first stars form directly from the collapse of low-temperature, zero-metallicity clouds, through cooling by H$_2$ rovibrational transitions. The collapse of most gas clouds is triggered by metal cooling and by Ly$\alpha$ cooling in the photoheated and metal-polluted gas produced by the first stars. Zero-metallicity stars are efficient catalysts for further episodes of star formation, not only inside their host halo but also in nearby halos. This has important implications for the clustering and bias properties of the first galaxies, which form preferentially chainlike structures, analogous to young star clusters at low redshift.

Metal enrichment from multiple episodes of star formation within a galaxy (process I3) and metal contamination from neighboring galaxies (process E4) are important in all simulations. H$_2$ cooling is responsible for the collapse of the first protostar clusters, but subsequently, as the first stars form in a galaxy, their heating and metal pollution provide the dominant cooling mechanisms (Ly$\alpha$ and metal cooling) and they are seeds for further star formation. In Figure 1 we show the temperature versus H$_2$ abundance of the sink “stellar particles” in two simulations. The right panels show the cooling time, compared to the Hubble time, as a function of the redshift of formation of stellar particles. The top and bottom panels show simulations S2 and S1, respectively. In both panels the colors show the metallicity of the star particle (see caption). Note that in our simulations the star particles lose track of their initial properties when the star formation is continuous, and the metallicity and H$_2$ abundance of the star particle are the mean mass, weighted over time. When star formation in a cell is stopped by feedback, the star particle is released with its properties. A new particle will be created in the same cell if it experiences a new burst of star formation.

The H$_2$ abundance in the collapsing stellar particles is $x_{H_2} \sim 10^{-5}$ to $10^{-6}$, lower than the value $x_{H_2} \sim 10^{-4}$ derived by Tegmark et al. (1997). However, the overdensity in the core of a newly virialized dark halo (i.e., with gas temperature equal to the virial temperature) is about 100 times larger than the mean overdensity $n_{vir}$ adopted in their work. This ensures that, as shown in Figure 1 (right panels), the cooling time is shorter than the Hubble time at any given redshift. The reaction H$^-$ + H $\rightarrow$ H$_2$ + e$^-$ that dominates the formation of H$_2$ absorbs kinetic energy from the gas, producing a net cooling rate that is also important at low temperatures.

In summary, the relative importance of cooling and feedback processes depends on the assumed IMF and $\langle f_{esc}\rangle$. Generally, H$_2$ cooling is important for the formation of the first few stars in each protogalaxy. After the first episode of star formation, if most gas has not been blown out, Ly$\alpha$ and metal lines become the dominant coolants. The strong clustering of the first dark halos also promotes positive feedback through metal contamination and photoionization (that promotes H$_2$ formation) of neighbor galaxies and satellites.

4.2. Clustering of Primordial Galaxies

Most galaxies in the Local Volume are approximately located in a sheet or filament also known as the “supergalactic” plane. Presently, within 5 Mpc from our Galaxy, only about two to three faint dwarf galaxies have been discovered that are not associated with any luminous galaxy: Tucana (Lavery & Mighell 1992), Cetus (Whiting et al. 1999), and perhaps the recently discovered Apple1 (Pasquali et al. 2005). The paucity of dwarf galaxies out of the supergalactic plane is a test for models of the formation of dPri galaxies. Unfortunately, the volume of our simulations is too small to allow us to study the spatial distribution of low-mass galaxies at $z = 0$. In order to answer this important question, M. Bovill & M. Ricotti (2008, in preparation) developed
a new method to follow the halo evolution of the first galaxies from the redshift of formation to $z = 0$. Already at redshift $z = 10$, low-mass galaxies are highly biased, reflecting the importance of local feedback processes in our simulations. Few low-mass galaxies are observed in isolation, and typically these are the faintest of the population.

We find that luminous galaxies form preferentially near previously formed galaxies and are highly biased. This reflects the importance of local positive feedback (i.e., the H$_2$ precursor in front of H II regions and metal enrichment) in promoting star formation. Figure 2 shows the projected positions of dark halos of mass $M_{DM} > 10^9 M_\odot$ in a slice of the run S1 at four different redshifts. The sizes of the black circles are proportional to the virial radii of the dark halos, and the filled circles mark halos hosting luminous galaxies, color-coded according to their luminosity: red being the faintest and yellow the brightest galaxies. We have assumed $M_\star / L_V = 1/50$ (solar units), appropriate for starbursts at $t \sim 100$ Myr. It appears that luminous galaxies are more clustered than dark halos of the same mass and line up along the DM filaments. If positive feedback is important, it may produce a wave of star formation that propagates along the dark filaments triggered by nearby galaxies. This is somewhat analogous to what is observed for star clusters in the ISM of galaxies. Although Figure 2 does not demonstrate the existence of a propagating wave of star formation, the importance of local positive feedback can be demonstrated rigorously. Figures 3 and 4 show that the presence of a starbursting galaxy enhances the probability and efficiency of star formation, $f_z$, in nearby low-mass halos. Figure 3 is analogous to Figure 2, but the blue and magenta symbols show luminous halos with mass $< 10^7 M_\odot$ for $f_z > 10^{-3}$ and $f_z < 10^{-3}$, respectively. Here $f_z = M_\star / M_{DM}^{\text{max}}$, where $M_{DM}^{\text{max}} = M_{DM} \Omega_\Lambda \Omega_m$. The yellow circles show luminous galaxies with mass $> 10^7 M_\odot$, and the black circles show all dark halos with $M_{DM} > 10^6 M_\odot$, as in Figure 2. The reason for dividing luminous galaxies into two groups according to their mass is evident in § 6. We show that galaxies of the same mass but with $M_{DM} < 10^7 M_\odot$ can be either dark or luminous. Instead, more massive halos have a luminosity that is roughly increasing with the halo mass (see Fig. 7 below). Galaxies with mass $< 10^7 M_\odot$ are more likely to be luminous and have a high efficiency of star formation (blue circles) if they are nearby other luminous galaxies (e.g., yellow circles) than if they are isolated (e.g., magenta circles). Even galaxies more massive than $10^7 M_\odot$ are more likely to remain dark (i.e., large black circles) if they evolve in isolation. Figure 4 also shows that starbursting galaxies enhance the probability and efficiency of star formation in nearby low-mass halos. The panels show the average star fraction ($\langle f_z \rangle$) as a function of halo mass at $z = 10.2$ (left panel) and 12.5 (right panel) for run S1. We divide all halos into three groups according to their proximity to a luminous halo. The value of $\langle f_z \rangle$ is larger for halos in the vicinity of luminous galaxies (solid histogram) than in halos far from any luminous galaxy (long-dashed histograms). About half of DM halos with masses $\sim 10^7 M_\odot$ are luminous, while only 1% of DM halos with mass $\sim 10^6 M_\odot$ are luminous (dot-dashed lines). However, due to the steep dependence of the number of dark halos as a function of their mass ($\propto M^2$), the total number of luminous galaxies is roughly constant as a function of the mass.

5. METAL ENRICHMENT OF THE IGM

Metallicities of $Z \sim 10^{-3} Z_\odot$ are measured from observation of absorption lines in the Ly$\alpha$ forest at $z \sim 2$–5 (e.g., Songaila 2001; Schaye et al. 2003; Pettini et al. 2003; Simcoe et al. 2004). This mean metallicity, typically inferred from abundances of C IV and Si IV, shows little evolution from $z = 5$ to 2. However, it is not well understood if a possible redshift-dependent ionization correction may conspire in hiding a real metallicity evolution. The homogeneity of the metal distribution in the IGM is also unknown. This is an important measure because it can be used to determine the properties of the galaxies responsible for the observed metal enrichment. If underdense regions of IGM contain some metals, then star formation in high-redshift galaxies, of the type studied in this work, may have preenriched it (e.g., Madau
et al. 2001). If, instead, the metals detected along a line of sight are associated with nearby bright galaxies at \(z = \frac{1}{24} \), the metal distribution is probably inhomogeneous. In this second case, observations are not probing a minimum floor of metal enrichment produced by the first galaxies. The current observational sensitivity to metallicity in high-redshift absorbers is \(10^{-3.5} Z_\odot \) (Songaila 2001; Simcoe et al. 2004).

In this section we analyze the contribution to the IGM metallicity by low-mass galaxies at \(z > 8\). We show that the metals produced by the first galaxies fill the space between bright galaxies only in simulations that include mechanical feedback from SNe and a top-heavy IMF of Population III stars (i.e., in runs S6 and S7). However, even in this case, the IGM is enriched to a very low metallicity floor \(Z/Z_\odot \lesssim 10^{-3.5}\). In all the other simulations, the volume filling factor of metal-enriched gas is typically \(<10\%\) even at small metallicity floors. Thus, the first galaxies may not be able to pollute with metals all the IGM volume, leaving some large underdense regions of 0.5 comoving Mpc in size (at \(z \sim 10\)), with primordial composition.

The volume filling factor of the IGM enriched to the typical metallicities observed in the Ly\(\alpha\) forest is less than 1\% in most runs. It is therefore unlikely that the metal absorption systems associated with the Ly\(\alpha\) forest were produced by the very first low-mass galaxies.

In our simulations three different processes can be important in polluting the IGM with metals: (1) metals ejected by galaxy harassment, as proposed by Gnedin (1998); (2) by galactic winds produced by photoionization in low-mass galaxies (Paper II); and (3) by SN explosions (e.g., Ferrara et al. 2000; Madau et al. 2001; Fujita et al. 2004). Using a set of simulations, we can analyze separately the contribution from each process. Before showing the results, we should mention a few caveats. The metal ejection from SN explosions is model dependent, since it is not possible to resolve the multiphase structure of the ISM and SN shock fronts on subgrid scales. We model SN feedback as in Gnedin (1998). A brief description of the SN feedback model and its limitations is in §2. Of the three processes that eject metals into the IGM, only processes 1 and 2 are independent of "subgrid" physics. Finally, the total mass of metals produced and the volume filling factor of gas with metallicity larger than a floor, \(Z = Z_\odot\), are directly proportional to the assumed yields of the stellar population.
In Figure 5 we show the volume filling factor of metal-enriched gas as a function of redshift for a set of simulations listed in Table 1. Each panel, from top to bottom, shows the volume filling factor of the IGM, $f_{V}(Z > Z_0)$, that is enriched to metallicities larger than a “floor,” $Z_0$, taken to be $10^{-6}$, $10^{-5}$, $10^{-4}$, and $10^{-3} Z_\odot$. In most simulations the ratio of mass-weighted to volume-weighted metallicity is about 10 (see Table 2). The metallicity is larger than the mean in overdense regions and lower than the mean in underdense regions. We should be cautious in comparing the results of the high-resolution simulation with the lower resolution ones, as the metal filling factor may be affected by the numerical resolution. In addition, because of the small box size of the simulations, these metal filling factors become increasingly inaccurate at low redshifts due to the missing clustering on large scales. But galaxy clustering that we miss will only make the filling factors smaller. Hence, the values we calculate should be treated as upper limits.

In the left panels we show a set of simulations from Paper II, consistent with models of stellar reionization at $z \approx 6$. In all these simulations, we neglect the mechanical feedback from SN explosions; therefore, the metal ejection from the galaxies is produced by tidal stripping of gas, or photoevaporation from internal sources, or both. The long-dashed lines refer to run S4 without radiative feedback. All the other lines show runs that include radiative feedback; run S2 with $\langle f_{esc} \rangle = 1\%$ (weak radiative feedback; dotted lines), run S3 with $\langle f_{esc} \rangle = 100\%$ (strong radiative feedback; short-dashed lines), and high-resolution run S1 with $\langle f_{esc} \rangle = 10\%$ (solid lines).

In the right panels we show a set of simulations from RO04 and ROG05, consistent with early IGM reionization suggested by WMAP1 (optical depth to Thompson scattering $\tau_e \approx 0.17 \pm 0.04$). However, WMAP3 data (Spergel et al. 2007) imply a lower optical depth, $\tau_e = 0.09 \pm 0.03$, which may be explainable without large amounts of high-$z$ star formation (Shull & Venkatesan 2008). Some of these simulations also include mechanical feedback from SN explosions. In particular, the solid lines show a simulation with an early X-ray partial ionization and reheating (run S5). In this simulation metals are dispersed in the IGM mainly from the photoevaporation of low-mass galaxies. The dotted and dashed lines show simulations with early reionization from Population III stars with top-heavy IMF and $\langle f_{esc} \rangle = 0.5$. 

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Fig. 3.—Same as in Fig. 2, but showing the efficiency of star formation efficiency $f_s = M_*/M_{\text{max}}$, where $M_{\text{max}} = M_{\text{DM}}/\Omega_\Lambda$, rather than the luminosity of dwarf galaxies. Black circles show dark halos with mass $>10^6 M_\odot$, and yellow filled circles show luminous halos with mass $>10^7 M_\odot$. The blue and magenta symbols show luminous halos with mass $<10^7 M_\odot$ with $f_s > 10^{-3}$ and $f_s < 10^{-3}$, respectively. In addition, luminous halos with a mass $<3 \times 10^7 M_\odot$ are shown as a star rather than a circle. The plots illustrate the importance of local positive feedback in halos with $M < 10^7 M_\odot$; halos with identical masses can be either dark or luminous but are likely luminous if they are nearby another luminous halo.
(i.e., strong radiative feedback). The dotted lines (run S6) show a case where we also include feedback from SN explosions (e.g., Population III stars, having typical masses in the range \(100^{300} \, M_\odot\), some of which end their lives as pair-instability SNe). The dashed lines (run S7) show a case in which energy input from SNe explosions is weaker, such as for Population III stars with masses \(<140\) or \(>260\) \(M_\odot\), which end their lives collapsing into BHs without energetic SN explosions or without exploding at all.

The results show that low-mass galaxies are more effective than massive ones in enriching a large volume fraction of the IGM, but only to very low metallicities. SN explosions, tidal stripping of metals, and photoevaporation of low-mass galaxies have similar importance for transporting metals from galaxies to the low-density IGM.

We interpret the results shown in Figure 5 with the aid of a toy model, fitting the model to the simulation results. In order to calculate the volume of metal-enriched gas, we integrate the contribution of each galaxy as a function of time to find the IGM porosity,

\[
Q_V(Z > Z_0, t) = \frac{4\pi}{3} \int_0^t dt' \int_0^{\infty} \frac{dM_{DM}}{M_{DM}} \alpha \frac{\partial}{\partial t'} [n_{gal}(M_{DM}, t')] R_{met}^3(Z_0, M_{DM}, t'),
\]

TABLE 2

| Name      | Run      | A  | \(\alpha\) | \(\langle Z/Z_\odot \rangle_{M}\) | \(\langle Z/Z_\odot \rangle_{V}\) |
|-----------|----------|----|------------|---------------------------------|---------------------------------|
| S1        | 256L1p3  | 1.0| 0.35       | -2.84                           | -4.32                           |
| S2        | 128L1p2-2| 1.9| 0.35       | -2.95                           | -4.19                           |
| S3        | 128L1p2f1| 1.6| 0.50       | ...                             | ...                             |
| S4        | 128L1oRAD| 3.4| 0.30       | -2.31                           | -3.56                           |
| S5        | 128L1XR  | 3.0| 0.25       | -3.71                           | -5.145                          |
| S6        | 128L2PI  | 8.0| 0.30       | -2.28                           | -3.542                          |
| S7        | 128L2BH  | 5.0| 0.35       | -2.82                           | -4.056                          |

Fig. 4.—Average star fraction (\(f_\star\)) as a function of halo mass at \(z = 10.2\) (left) and 12.5 (right) for run S1. We divide all halos into three groups: those at distance \(d < 8\) kpc from the nearest luminous halo (solid histogram), those with \(8\) kpc \(< d < 50\) kpc (short-dashed histogram), and those with \(d > 50\) kpc (long-dashed histogram). The dot-dashed line shows the fraction of luminous halos \(f_{lum}\), as a function of the halo mass.

Fig. 5.—Volume filling factor of metal-enriched gas as a function of redshift for the simulations in Table 1. Each panel, from top to bottom, shows the fraction of IGM volume with metallicity \(\log (Z/Z_\odot) > -6, -5, -4,\) and \(-3\). Left: These simulations are consistent with models of stellar reionization at \(z \sim 6\). In these simulations metals are ejected due to photoevaporation from internal ionizing sources and tidal effects (runs S1, S2, and S3) or, as in run S4, by tidal interactions only (long-dashed lines). Simulation S1 (solid lines) is our high-resolution run, and S2 (dotted lines) and S3 (short-dashed lines) are lower resolution runs with weak and strong radiative feedback, respectively. Mechanical energy input from SN explosions is not included. Right: These simulations have typically earlier epoch of reionization, consistent with WMAP1 and WMAP3 and top-heavy IMF for the stars. Here metals are ejected by photoevaporation due to X-ray heating produced by accretion on BHs from Population III stars, as in run S5 (solid lines), by mechanical feedback due to PI SNe, as in run S6 (dotted lines), or by weaker SN feedback from Population III stars with mass \(<140\) \(M_\odot\), as in run S7 (dashed lines).
where $0 < Q_f < \infty$ is the porosity, $n_{gal}(M_{DM}, t)$ is the volume number density of galaxies of total mass $M_{DM}$, and $R_{\text{met}}(Z_0, M_{DM}, t)$ is the radius of metal-enriched gas with metallicity $Z > Z_0$ around each galaxy. The volume filling factor is related to the porosity by the relationship $f_f(t) = 1 - \exp(-Q_f t)$, so that $f_f(t) \simeq Q_f t$ if $Q_f < 1$, and $f_f(t) = 1$ as $Q_f \to \infty$. Due to the effect of galaxy clustering, this simple model may break down for relatively small values of $f_f$. The interpretation with our toy model of the results shown in the top panels of Figure 5, for which $f_f > 1\%$, may be significantly inaccurate.

For fixed $Z_0$, we find that the porosity increases with time as $Q_f(t) \propto t^3$ within 20% error. Within the same error, the porosity of metal-enriched IGM with $Z > Z_0$ as a function of time is well approximated by the fitting formula,

$$Q_f(Z > Z_0) \simeq A \left( \frac{Z_0}{0.05 Z_\odot} \right)^{-\alpha} \left( \frac{t}{t_{z=9}} \right)^3,$$

where $t_{z=9}$ is the Hubble time at $z = 9$ and the parameters $A$ and $\alpha$ (Table 2) depend on the metal yield, the IMF, and the feedback processes included in the simulations.

The mean physical distance between low-mass galaxies at high redshift is only a few kiloparsecs. In principle, these small galaxies may pollute the IGM quite uniformly with metals. However, the smaller the mass of the galaxy, the lower its ability to form stars and produce metals. For this reason, the metals ejected into the IGM by the more numerous population of low-mass galaxies have a larger filling factor than metals produced by more massive galaxies but can only enrich the IGM to a very low metallicity floor.

We could assume, for simplicity, that galaxies of mass $M_{DM} > M_0$ are responsible for the enrichment to a metallicity $Z > Z_0$. For galaxies of comoving spatial density $n_{gal}$, the mean physical distance between star-forming galaxies is $d_{gal} \approx n_{gal}^{-1/3} / (1 + z) \sim 1$–$30$ kpc, depending on their mass (see § 7). Given that the volume filling factor is between a few percent and 50% depending on $Z_0$, we estimate from equation (2) that $R_{\text{met}} \sim 1$ kpc. Metal-enriched gas expanding at constant speed $v_{ej}$ for a time $t$ travels a distance

$$R_{\text{met}} = (1 \text{ kpc}) \left( \frac{v_{ej}}{10 \text{ km s}^{-1}} \right) \left( \frac{t}{100 \text{ Myr}} \right).$$

Since the Hubble time at high redshifts ($10 < z < 30$) is $t_{H} \sim 0.1$–$0.5$ Gyr and the bursting star formation has timescales $t_{\text{burst}} \sim 10$ Myr, this distance requires that $10$ km s$^{-1} < v_{ej} < 100$ km s$^{-1}$. The lower bound of $v_{ej}$ is about the escape velocity from dPri galaxies and is consistent with metal pollution by processes 1 and 2. Larger values of $v_{ej}$ could be produced by SN explosions, but from equation (3) we infer that these higher velocities are in place for only a fraction of the Hubble time at $z \sim 10$.

We note that, if our simulation volume at $z \sim 8$–$9$ samples a region of mean density or an underdense region of the universe at $z \sim 2$–$5$, the clustering and galaxy formation will be slow from $z = 8$–$9$ to $z \sim 2$–$5$, and the filling factor of metal-enriched gas should not increase considerably. The metallicity in overdense regions at $z \sim 2$–$5$ will be produced mostly by newly formed galaxies and clusters.

Chemical enrichment studies of dSph galaxies in the local group find that wind efficiencies $w \approx 2$–$15$ are required to reproduce observed metallicity distributions of the stars (Lanfranchi & Matteucci 2004, 2007; Lanfranchi et al. 2006a, 2006b, 2008). In those studies the wind efficiency is defined as $\dot{G}_{\text{out}} = w \dot{\phi}$, where $G_{\text{out}}$ is the gas outflow rate and $\dot{\phi}$ is the SFR. Integrating the SFR over the lifetime of the galaxy, we obtain that the total fraction of gas lost due to the wind is $f_{\text{gas, out}} = \dot{w} \dot{\phi}$. Neglecting gas inflow after virialization, we have $f_{\text{gas, out}} + f_s + f_{\text{gas}} = 1$ and $w + 1 = (1 - f_{\text{gas}})/f_s$. In our run S1, which does not include SN feedback, luminous galaxies have lost most of their gas ($f_{\text{gas}} \ll 1$) and metals due to outflows from internal photoionization sources by $z \approx 10$ (see Fig. 7 below). This implies that the wind strength due to photoheating alone is $w \approx f_s^{-1} - 1 \approx 10$–$10^4$. In our simulations, a Salpeter IMF, the inclusion of mechanical SN feedback does not increase substantially the efficiency of gas outflow from luminous halos. This does not imply that our subgrid treatment of SN feedback is ineffective. Rather, it implies that in low-mass galaxies the outflow induced by ionizing radiation from massive stars is comparable to the effect of SN explosions. We do not expect this to be true for more massive galaxies with $T_{vir} > 10,000$ K, for which photoionization alone is unable to produce strong winds. Assuming a top-heavy IMF as in run S6, SN feedback increases both the metal ejection rate into the IGM and the volume filling factor of enriched gas (Fig. 5).

Particular care needs to be taken when attempting to compare our results on the relative importance of SN versus radiative feedback in relation to other studies. Published studies differ in the typical halo masses resolved, in the morphology of the simulated galaxies and because the effect of winds induced by ionizing radiation is rarely included in the simulations. For instance, Scannapieco et al. (2006) resolve dark halos with masses $>10^8$–$10^9 M_\odot$; hence, they assume that smaller halos do not contribute to metal enrichment. The galaxies in these simulations form gas disks, and the SN winds are channeled in the direction orthogonal to the disk. Our simulations focus on halos with masses below $10^8 M_\odot$. Hence, the mass range of our halos is complementary to the aforementioned studies. The halos in our simulations do not cool by Ly$\alpha$ emission, their gas and stars have spheroidal geometry, and star formation in them is self-regulated by radiative and chemical feedback (see § 3). The SN wind efficiency in spheroidal galaxies is typically smaller than in disk galaxies because a larger fraction of the kinetic energy of the SN shells is radiated away if the shock is propagating toward the galaxy center (Mori et al. 2002). Finally, the typical SN star formation efficiencies, $f_s$, in our galaxies are much smaller than in halos with virial temperature above the Ly$\alpha$ cooling limit. These differences between simulations may play an important role in determining the relative efficiency of radiation-driven winds versus SN-driven winds. Much more work is needed to fully understand the role of SN feedback in relation to radiative feedback. This is beyond the aim of the present paper and will be explored in future works.

6. POPULATION OF DWARF PRIMORDIAL GALAXIES

In this section we analyze the properties of the simulated population of dPri galaxies (their stellar and gas content as a function of total mass) and the internal properties of individual objects (gas and stellar density profiles).

6.1. Statistical Properties of the Galaxies

One of the most distinctive properties of simulated dPri galaxies is their low baryon-to-DM ratios. This is not too surprising given the small mass of the halos in our simulations. However, in our simulations the baryon ejection is produced by radiative feedback, as the simulations analyzed below do not include mechanical energy input from SN explosions.

Most of the intergalactic gas that falls into the deep gravitational potential of massive galaxies and clusters is effectively trapped.
remarkably, the upper envelope of star formation efficiency, \( f_{\text{bar}} = \frac{M_*}{M_{\text{bar}}^{\text{max}}} \) in each galaxy. As expected, more massive galaxies retain on average a larger fraction of their initial baryon content, but the scatter of the \( f_{\text{bar}} - M_{\text{DM}} \) relationship increases with decreasing halo mass. The baryon content in star-free halos (smallest circles) depends on the IGM temperature. When we examine the same plots as in Figure 6 but at redshifts \( z \gtrsim 13 \), we find \( f \sim 1 \). As the temperature of the IGM increases, the baryon fraction diminishes, first in the smaller halos and then in the larger ones. In those halos that form some stars, the baryon fraction is further reduced by photoevaporative winds produced by the internal sources of radiation. As a result, the distribution of the baryon fraction inside halos as a function of their mass depends on whether they are dark or luminous: the typical cutoff mass decreases with decreasing \( f_* \) and eventually equals the minimum cutoff mass set by the IGM temperature.

The large variations of the mass-to-light ratio and the gas fraction in halos of identical total mass are an indication of the local nature of the feedback processes. This is illustrated in Figure 7, which shows the stellar fraction \( f_* = \frac{M_*}{M_{\text{DM}}} \) as a function of the halo mass, \( M_{\text{DM}} \), at \( z = 10 \) for run S1 (left panel) and run S2 (right panel). Each galaxy is shown with symbols of different sizes proportional to their gas fraction. Halos of masses \( M_{\text{DM}} < 10^7 M_\odot \) can be completely dark (most of them), while low-luminosity galaxies and a few brighter galaxies can have 10%–50% of their gas converted into stars. The scatter is much reduced for galaxies with \( M_{\text{DM}} > 10^7 M_\odot \) that retain most of their gas, and their stellar fraction shows a much tighter relation to their total mass. At \( z \sim 10 \), galaxies with \( M_{\text{DM}} > 10^7 M_\odot \) are still gas-rich, with a subdominant stellar component. The main physical processes responsible for the low efficiency of star formation are photoevaporation from internal sources and global feedback (e.g., photodissociation of \( \text{H}_2 \) and IGM reheating).

The mean values of the stellar and gas fractions as a function of the halo mass are shown in Figure 8. In the left panel we show the mean as a function of DM mass at \( z = 12.5, 9.6, \) and 9 for a function of their total mass \( M_{\text{DM}} \). The figure refers to run S1 at \( z = 10 \). The size of each circle represents the fraction of stars \( f_* = \frac{M_*}{M_{\text{bar}}^{\text{max}}} \) in each galaxy. As expected, more massive galaxies retain on average a larger fraction of their initial baryon content, but the scatter of the \( f_{\text{bar}} - M_{\text{DM}} \) relationship increases with decreasing halo mass. The baryon content in star-free halos (smallest circles) depends on the IGM temperature. When we examine the same plots as in Figure 6 but at redshifts \( z \gtrsim 13 \), we find \( f \sim 1 \). As the temperature of the IGM increases, the baryon fraction diminishes, first in the smaller halos and then in the larger ones. In those halos that form some stars, the baryon fraction is further reduced by photoevaporative winds produced by the internal sources of radiation. As a result, the distribution of the baryon fraction inside halos as a function of their mass depends on whether they are dark or luminous: the typical cutoff mass decreases with decreasing \( f_* \) and eventually equals the minimum cutoff mass set by the IGM temperature.

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The mean values of the stellar and gas fractions as a function of the halo mass are shown in Figure 8. In the left panel we show the mean as a function of DM mass at \( z = 12.5, 9.6, \) and 9 for
run S1, and the right panel shows the same for run S2. Dwarf galaxies that form stars show large variations in their gas content because of stellar feedback and photoionization. The gas is photoevaporated first from low-mass galaxies and as time progresses in larger ones. Luminous galaxies with \( M_{DM} < 10^9 \, M_\odot \) lose most of their gas well before reionization, and star formation is halted. New star formation cannot take place in those small halos unless their mass increases as a result of subsequent minor mergers.

We find that the mean star formation efficiency \( \langle f_s(t) \rangle = \langle M_* / M_{bar, max} \rangle \) in a halo of mass \( M_{DM} \) is nearly time independent and is well approximated by a power law

\[
\langle f_s(t) \rangle \simeq \epsilon_s \left( \frac{M_{DM}}{10^8 \, M_\odot} \right)^\alpha,
\]

where \( \epsilon_s \) is the assumed star formation efficiency. There is a weak dependence of the exponent in the power law on the strength of the feedback: \( \alpha = 1.5 \) if the feedback is weak (run S2) and \( \alpha = 2 \) if the feedback is stronger (run S1).

However, contrary to what happens to the gas fraction, the stellar fraction does not evolve significantly with time. Equation (4) can be a useful approximation in semianalytic models for galaxy formation. The filled square in Figure 8 is the first galaxy simulated by Abel et al. (2002). The dot-dashed lines show the fit to \( \langle f_s(M_{DM}) \rangle \) that, remarkably, is independent of redshift.

### 6.2. Metallicity Distribution of the Stars

In this section we study the metallicity distribution of the first stars in the simulations from Paper II. First, we clarify the numerical limitations of the simulations, which do not attempt to model the mechanical energy input from SNe. The resolution of the simulation is insufficient to resolve the complexity of physical processes that regulate the physics of the multiphase ISM. In each resolution element, we assume perfect metal mixing, although it is possible that the metal distribution is inhomogeneous on smaller scales. Thus, pockets of zero-metallicity gas may survive even if the gas has a large mean metallicity. As discussed in §5, in those simulations where we have also included SN feedback, we find, in agreement with Gnedin (1998), that mechanical feedback does not affect star formation in galaxies with \( M_{DM} \gtrsim 10^9 \, M_\odot \). In lower mass galaxies, when we include the effect of SNe, the results are similar to simulations with strong photoevaporative galactic winds discussed in this section. Therefore, given the uncertainties introduced by the model-dependent treatment of SN explosions, we prefer to study only the cases that include the better understood radiative feedback.

Given the aforementioned caveat, the left panels of Figure 9 show the mass fraction of stars as a function of their metallicity \( Z \) in solar units. Each panel refers to a different simulation, at \( z = 17.5, 14.6, \) and 12.5. In the right panels of Figure 9 we show the cumulative mass in stars with metallicity lower than \( Z \) expressed in solar units. In each panel, from top to bottom, we show the metallicity distribution in simulations with the following properties:

1. **Panel (a): no feedback.**—Star formation is continuous and there are no galactic winds due to SN explosions or photoionization. Some enriched gas might be lost from interacting galaxies by tidal stripping during galaxy interactions or mergers (Gnedin 1998). Metals can be accreted by larger galaxies when smaller satellites fall into them. In this simulation, the low-metallicity stars with \( Z < 0.01 \, Z_\odot \) stop forming at redshift \( z \sim 17 \), and the mean metallicity of stars increases with time. By \( z = 10 \) most stars have \( 0.05 < Z/Z_\odot < 0.5 \).
2. **Panel (b): weak feedback.**—Star formation is continuous and takes place also in very low mass galaxies but with low efficiency. The formation of stars in these smaller galaxies is delayed, and zero-metallicity stars may continue to form at \( z \sim 10 \).
3. **Panel (c): strong feedback.**—Bursty star formation takes place only in higher mass galaxies and is suppressed in the smaller ones. There are fewer extremely low metallicity stars
(Z/Z_\odot < 10^{-5}) and a uniform distribution between Z/Z_\odot \sim 10^{-4} and 10^{-2}. After redshift z \sim 10, as low-metallicity stars stop forming, star formation takes place only in preenriched gas.

4. Panel (d): intermediate feedback and higher resolution.—In this simulation the metallicity distribution of stars is an intermediate case between panels (b) and (c).

In order to estimate the number of low-metallicity stars expected in the present-day universe from the data in our simulations, several assumptions need to be made. Here we briefly describe how one should proceed in order to estimate the number of low-metallicity stars in our Galactic halo. Given our rather simplistic treatment, these numbers should be considered order-of-magnitude estimates. More sophisticated modeling could be adopted to compare the simulations to detailed observational data (Tumlinson 2006).

First, we note that even at redshifts z \sim 10–20 most stars have metallicities of about 1/10 solar, while only 1% have ultra-low metallicities (Z \lesssim 10^{-3} Z_\odot). Let us assume that ultra–low-metallicity stars stop forming sometime before redshift z \sim 10, as seems to be the case in all of our simulations but S2, the one with weak radiative feedback. Using a rough estimate of the mass of stars that forms in the universe after z = 10, we estimate a fraction of stars (in mass) in the present universe with metallicity Z < 10^{-4} Z_\odot of roughly 10^{-6}. The stars in our Galactic halo have total mass of about 10^{10} M_\odot. Therefore, assuming a uniform mixture of low- and higher metallicity stars, a mass of about 10^4 M_\odot of our Galactic halo should be in stars with Z < 10^{-4} Z_\odot. Roughly, in our simulations, the number of low-metallicity stars decreases by a factor of 10, going down a decade in metallicity (see Fig. 9).

Thus, for stars with Z < Z_\odot where Z_\odot = 10^{-6}, 10^{-7}, 10^{-8}, and 10^{-3} Z_\odot, the mass in the halos is 100, 1000, 10^4, and 10^5 M_\odot, respectively. More precise estimates can be obtained using the metallicity distributions in Figure 9 for each simulation. Run S3, with strong feedback, gives smaller masses in ultra–low-metallicity stars than run S2, which has weak feedback.

Finally, we need to know how many stars have masses M_\star \leq 1 M_\odot, since more massive stars would evolve into unobservable compact remnants. Assuming a Salpeter IMF, we estimate that 100 M_\star in stars form about 100 stars with masses M_\star < 1 M_\odot. Therefore, the aforementioned values for the mass in stars express also the number of ultra–low-metallicity stars, assuming a Salpeter IMF. If ultra–low-metallicity stars have a top-heavy IMF, their number in the halo will be smaller. If there is a critical metallicity that determines the transition from top-heavy to Salpeter IMF, this should produce an observable feature in the observed number counts of stars as a function of their metallicity, unless the transition from top-heavy to Salpeter IMF is smooth.

6.3. Internal Properties of the Galaxies

In this section we study the internal structure of selected galaxies in our highest resolution simulation (S1 in Table 1) that, in physical coordinates, has spatial resolution of about 10 pc at z \sim 9–10. The stellar component of simulated dPri galaxies is a low surface brightness spheroid that closely resembles dSph galaxies observed in the Local Group.

In Figure 10 we show the average density of gas (circles) and stars (squares) assuming spherical shells, as a function of the distance from the center of six galaxies selected from the simulation S1 at redshift z \sim 10. The projected surface brightness, \Sigma (dashed lines), is shown on the right axis.

The gas density profile has a core of a few hundred parsecs in radius, comparable to the core radius of the stellar component. The mean gas density in the core is n_H \approx 10–50 cm^{-3}. The spatial resolution of the simulation is not sufficient to resolve fluctuations around this mean on scales smaller than 10–20 pc. It is therefore impossible to study the structure of the multiphase ISM. But two interesting differences with respect to the Milky Way ISM structure can be noted. The mean gas density in the core is 10–50 times larger than the mean ISM density (\sim 1 cm^{-3}) in the Milky Way. The gas and stellar components extend to the outer...
edges of the DM halo. The virial radii of the dark halos are about 1000 pc, only a few times more extended. For comparison, the Milky Way stellar spheroid has radius of a few kiloparsecs and the virial radius is about 300 kpc. The mean temperature of the simulated ISM is $T \approx 500 \text{K}$, and the gas velocity dispersion is $\sigma_v \approx 10 \text{km s}^{-1}$, similar to the Milky Way. The thermal pressure is therefore quite large, $P/k \approx 5 \times 10^3 \text{--} 5 \times 10^4 \text{cm}^{-3} \text{K}$, several times larger than in the Milky Way (Jenkins & Tripp 2001; Wolfire et al. 2003). The ISM mean metallicity is $Z \approx 0.01$ solar. Assuming that the ISM is in pressure equilibrium and including all relevant cooling and heating processes as in Wolfire et al. (1995), we have calculated the phase diagram (thermal pressure as a function of density) for a gas of metallicity $Z$ (for details of the calculation see Ricotti et al. 1997). The dotted, solid, and dashed lines in Figure 11 show the phase diagram of the ISM with metallicity $Z/Z_\odot = 1, 0.01, \text{and } 10^{-3}$, respectively. For constant pressure, if the gas has metallicity $Z = 0.01 Z_\odot$ and the pressure is in the range shown by the shaded band, there are three possible equilibrium values for the density: the low-density value is thermally stable and is called warm neutral medium (WNM), the intermediate density value is thermally unstable, and the high-density value is stable and called cold neutral medium (CNM). If the pressure lies outside this interval, a multiphase medium in which dense clouds (CNM) and intercloud gas (WNM) coexist cannot develop. From the values of the pressure and metallicity in our simulated dPri galaxies, we expect that a multiphase ISM should be sustained.
We now return to the properties of the stellar component. Most simulated DM halos form stars very inefficiently or do not form stars at all. The gas density profiles in these dark galaxies are similar to the ones shown in Figure 10 and have a mean molecular hydrogen abundance $x_H \sim 10^{-4}$. The high density of the gas in these dark galaxies may have important implications for reionization, through increases in the clumping of the IGM. In luminous galaxies the stellar component is a spheroid with negligible angular momentum compared to the stellar velocity dispersion. The spheroid has low surface brightness, but it is relatively extended, with outer edges reaching ~20% of the virial radius, substantially more extended than in present-day galaxies. The most luminous galaxies in the simulation have surface brightness between 23 and 27 mag arcsec$^{-2}$, comparable to that of dSph galaxies in the Local Group and Andromeda (e.g., 26.8 for And IX, 25.5 for Ursa Minor, 25.3 for Draco, and 24.5 for And III). Detailed comparisons with the properties of dSph galaxies were the subject of a separate paper (RG05). The properties of the DM halos were also discussed in separate papers (Ricotti 2003; Ricotti & Wilkinson 2004) since they seem to show cores instead of cusps, in agreement with many observations of dwarf spheroidal galaxies and low surface brightness galaxies (e.g., Kleyna et al. 2003; Magorrian 2003; de Blok et al. 2003).

7. OBSERVABILITY OF DWARF PRIMORDIAL GALAXIES

In this section we study the feasibility of observing dPri galaxies during their formation at redshifts $9 < z < 30$. In particular, we calculate the number of point sources in the infrared bands detectable in the field of view of the JWST. Then, we briefly address the prospects for the identification of the fossil records of dPri galaxies in the Local Group. Even if most dPri galaxies merge into larger systems after their formation, a fraction of them (~10%) are expected to survive to $z = 0$. These relics could be observed today as satellites of larger galaxies or in isolation. We compare the mass function of the satellites observed around the Milky Way and Andromeda with the simulated mass function of luminous halos. For realistic values of the survival probability, our simulations, which include radiative feedback but not the effect of reionization, can reproduce the observed mass function of Galactic satellites.

7.1. Detection of Primordial Galaxies with JWST

The luminosity function of dPri galaxies at redshifts $z = 10.2$, 12.5, and 17.5 is shown in the left panel of Figure 12 for simulation S1. We assumed a mass-to-light ratio $M_*/L_V = 1/50$ (solar), appropriate for starbursts with age $t \sim 100$ Myr. The right panel shows the SFR as a function of the stellar mass for the same galaxies. The two lines show the values of the mean SFR that would produce a stellar mass, $M_*$, in a single burst of durations $t_{burst} = M_*/SFR \approx 10$ and 80 Myr.

About 10% of dwarf-sized DM halos with $M_{DM} > 10^6 M_\odot$ that assembled prior to reionization are able to form stars. There are ~500 dPri galaxies Mpc$^{-3}$ with luminosities spanning four decades, between $10^4$ and $10^8 L_\odot$. The luminosity function is rather flat, with ~10 galaxies Mpc$^{-3}$ in the higher luminosity decade ($10^4 L_\odot < L < 10^5 L_\odot$) and 200 Mpc$^{-3}$ in the fainter decade ($10^5 L_\odot < L < 10^9 L_\odot$).

The integrated number counts of galaxies at $z \geq 8$ in the IR bands are shown in Figure 13. The solid histograms show the number counts of sources at $z > 12.5$ (lower line) and at $z > 8.3$ (upper line) derived from the simulation data. The dashed lines are extrapolations of the bright end of the luminosity function to account for more massive galaxies not present in our simulations due to their small volume. The dashed lines are calculated using the Press-Schechter formalism to derive the number counts of dark halos and assuming a stellar mass in each of them that is a constant fraction of their total mass (hence neglecting feedback). The assumed constant value of the star formation efficiency is the same as for the most massive halos present in the simulation. Although the counts are uncertain, especially at the bright end of the luminosity function, Figure 13 clearly shows that JWST will not...
be able to detect any of the low-mass galaxies formed in our simulations.

For a Salpeter IMF and \( \langle f_{\text{esc}} \rangle \sim 1 \), JWST might be able to detect the most massive galaxies at redshift \( z \sim 10 \). But the prospects for observing the formation of the fainter low-mass galaxies that cool by \( H_2 \) are very small. The number of faint sources will not be able to resolve the controversy of whether dark halos with \( M < 10^7 \, M_\odot \) host luminous galaxies or have their formation suppressed by \( H_2 \) dissociating radiation. But it may be possible to infer the slope of the luminosity function below the sensitivity limit of the JWST by analyzing the fluctuation of the unresolved background (for a technique applied to the Chandra deep field see, e.g., Miyaji & Griffiths 2002). Only if the IMF in dPri galaxies is top heavy and \( \langle f_{\text{esc}} \rangle \ll 1 \) will the luminosity of dPri galaxies in the K bands (rest-frame UV) at the same mass be up to 10 times higher than in Figure 13. In this second case, it may be possible to use the faint number counts to constrain the theoretical models and quantify the relative importance of negative and positive feedback on the formation of dPri galaxies.

7.2. Relics of Primordial Dwarf Galaxies

How many galaxies that formed the bulk of their stars before reionization do we expect to observe in the local universe? In CDM cosmologies, galaxies similar to the Milky Way were formed by accreting the debris of old, lower mass galaxies and the intergalactic gas surrounding them. However, most low-mass galaxies that were the dominant galaxy population at high redshift have been destroyed and incorporated into larger galaxies, constituting a fraction of their bulge and halo stars. The probability that a galaxy formed at redshift \( z_f \) survives without being destroyed due to mergers with larger galaxies is roughly \( (1 + z_f)^{-1} \) (e.g., Sasaki 1994). Therefore, about 10% of the galaxies in our simulations are expected to survive to the present (similar survival probabilities have been found in detailed N-body simulations of the Local Group by Gnedin & Kravtsov 2006). Since the total number of low-mass galaxies per \( h^{-3} \, \text{Mpc}^3 \) at \( z = 10 \) is 10–100, the number of fossil primordial galaxies per \( h^{-3} \, \text{Mpc}^3 \) today should be about 1–10.

A detailed study on the identification of the fossils of the first galaxies in the Local Group is the focus of two previous works (RG05; Gnedin & Kravtsov 2006). In RG05 we have focused on comparing observable properties of the population of primordial dwarf galaxies from our high-resolution simulation (run S1) to the properties of Local Group dwarf galaxies. In RG05 we have evolved run S1 to lower redshift and have introduced a strong source of ionization in the simulated volume that fully reionizes the IGM (see the paper for details). After reionization, due to the increase of the IGM Jeans mass, low-mass halos are devoid of all gas and are not able to form new stars because gas accretion is suppressed by IGM reheating. Hence, the subset of galaxies in our simulation that survives tidal stripping can be compared to present-day galaxies simply by aging passively their stellar populations. The striking similarities between the properties of simulated galaxies and many Local Group dSph galaxies lead us to propose a scenario for their origin as the surviving well-preserved fossils of the first galaxies. In addition, the simulation shows the existence of a population of ultrafaint dwarf galaxies, not observed at the time of publication. This ultrafaint population may have been recently discovered in the Local Group (Belokurov et al. 2006, 2007; Irwin et al. 2007; Willman et al. 2005a, 2005b; Walsh et al. 2007; Zucker et al. 2006a, 2006b; Ibata et al. 2007; Majewski et al. 2007; Martin et al. 2006).

Gnedin & Kravtsov (2006) presented a detailed study of the prediction of the simulation in RG05, on the probability of survival of the fossils of dPri galaxies as they are incorporated in a Milky Way–type halo. The results of this study show that the galactocentric distribution of the simulated galaxies reproduces the observed distribution of normal dwarfs around the Milky Way, but the ultrafaint population of simulated dwarfs was not accounted for at the time of publication. Finally, in Bovill & Ricotti (2008) we show that the properties of the newly discovered population of dwarf galaxies match the theoretical prediction in RG05 and Gnedin & Kravtsov (2006). The galactocentric distribution of the recently discovered population of ultrafaint dwarfs nearly closes the gap between observations and theoretical predictions, hence solving the well-known “missing galaxy satellite problem.”

In this section we focus on another well-known observed property of the normal population (as opposed to the ultrafaint one, with \( L_V < 10^5 \, L_\odot \) or \( M_V \sim -7.7 \)) of dwarf galaxies in the Local Group: there seems to be a characteristic dynamical mass of about \( 10^7 \, M_\odot \). One may be tempted to assume that this mass is the smallest galactic halo mass, or the galaxy building block. However, some of the newly discovered ultrafaint dwarfs have dynamical masses that are smaller than in the normal population (Simon & Geha 2007). Here, comparing different simulations in Table 1, we show that radiative feedback processes determine the value of the characteristic mass of \( 10^7 \, M_\odot \) in the normal population of dSph galaxies and that the strength of radiative feedback determines the number and characteristic mass (typically \( < 10^7 \, M_\odot \)) of the ultrafaint dwarf population. In order to fully understand the origin and the physics of the mass cutoff, much more work is needed. This study goes beyond the scope of the present paper and will be explored separately.

The hatched histograms in Figure 14 show the mass function of galaxies at \( z \approx 10 \) (i.e., luminous halos) compared to the mass function of all halos (i.e., dark and luminous) in four simulations from Table 1. The hatched histograms in the top panels show the mass function of “normal” dwarf galaxies with \( L_V \geq 10^5 \, L_\odot \) (\( M_V < -7.7 \)), while the two bottom panels include also ultrafaint
dwarfs with \( L_V \geq 10^5 \, L_\odot \). Run S1 (the high-resolution run) and run S2 (the run with weak radiative feedback) are shown in the left panels. Run S3, with strong feedback, and run S4, without radiative feedback, are shown in the right panels. The panels illustrate two important properties of the first galaxies: (1) Dwarfs that have luminosities comparable to or larger than Ursa Minor or Draco (top panels) have a mass function with a characteristic mass cutoff \( M_{DM} \leq 5 \times 10^7 \, M_\odot \) (or \( L_V \geq 10^5 \, L_\odot \) assuming an old stellar population), and the bottom panels with \( M_{DM} \geq 5 \times 10^3 \, M_\odot \) (or \( L_V \geq 10^3 \, L_\odot \)), thus including ultrafaint dwarfs.

Here it is important to recall our definition of strong and weak feedback: whether a simulation has weak or strong radiative feedback depends on the intensity of ultraviolet radiation that escapes into the IGM. Hence, a run with top-heavy IMF and \( \langle f_{esc} \rangle > 1 \) is a simulation with strong feedback, while a run with Salpeter IMF and \( \langle f_{esc} \rangle < 1 \) corresponds to a simulation with weak feedback.

### 8. SUMMARY AND CONCLUSIONS

In this paper, the third of a series, we have analyzed in detail the properties of the first galaxies in a set of three-dimensional cosmological simulations. This paper is devoted to the analysis of statistical and internal properties of the population of dPri galaxies and their impact on the IGM.

In the first part (Paper I) of this study, we addressed the problem of simulating the formation of the first galaxies by implementing a cosmological code that includes time-dependent, three-dimensional radiative transfer of \( H_\alpha \), \( H_\beta \), and \( H_\gamma \) ionizing photons in a cosmological volume. Using a recipe for star formation and a fast method to solve radiative transfer (Gnedin & Abel 2001) at each hydro time step, we were able to simulate the radiative feedback processes by the first luminous sources. We modeled the physics of chemically pristine gas including a nonequilibrium treatment of the chemistry of nine species (\( e^- \), \( H \), \( H^+ \), \( He \), \( He^+ \), \( He^{+2} \), \( H_2 \), \( H_3^+ \), \( H^- \)), cooling by molecular hydrogen.

![Figure 14](image_url)
and metals, ionization by secondary electrons, Lyα pumping, and radiative transfer for the narrow lines in the H2 Lyman-Werner bands of the dissociating background radiation. Currently, these simulations are the state of the art for the formation of dPri galaxies, in the sense that they are the only simulations that, in addition to cooling/heating processes and a recipe for star formation, include time-dependent and spatially inhomogeneous radiative feedback without introducing subgrid analytical recipes.

In the second part of this study (Paper II) we found that, contrary to previous work, dPri galaxies are able to form enough stars to be cosmologically important. The reasons for disagreement of our results with previous ones may be due to the density-dependent reformation rate of H2 (quickly photodissociated in the voids, but not in the denser filaments), or time-dependent feedback produced by the bursting mode of star formation (e.g., H2 reformation in relic H i regions), or a combination of both. These processes are not included in previous semianalytic studies and numerical simulations (Machacek et al. 2001; Tassis et al. 2003). Our simulations do not include the effect of H2 self-shielding from photodissociating radiation, so it is possible that we are underestimating star formation in lower mass galaxies. However, we have shown that the global SFR is self-regulated and insensitive to the intensity of the photodissociating background. In most simulations (see Table 1) we did not include mechanical feedback by SN explosions, partially motivated by previous results (Gnedin 1998) showing that their effect is negligible unless we adopt a top-heavy IMF. However, the effect of SN explosions depends on the particular implementation in the code and is difficult to test. Our present study is far from being a complete study on the effect of SN explosions in the first galaxies. The method for solving radiative transfer has instead been tested on simple benchmark problems, but a better test of the reliability of our results will need to wait for other simulations that include a similar self-consistent treatment of radiative transfer and feedback.

In the following list we summarize the main conclusions of our simulations of properties of our sample of dPri galaxies and their effects on the metallicity of the IGM:

1. **Number and luminosity of dPri galaxies.**—About 10% of dwarf DM halos (\(M_{\text{DM}} > 10^6 M_{\odot}\)) assembled prior to reionization are able to form stars. We find \(\sim 500\) dPri galaxies at \(z \sim 10\) per Mpc\(^{-3}\) between \(10^4\) and \(10^8\) L\(_{\odot}\). The luminosity function is rather flat, with 10 galaxies Mpc\(^{-3}\) at \(10^4 L_{\odot} < L < 10^8 L_{\odot}\) and 200 Mpc\(^{-3}\) at \(10^4 L_{\odot} < L < 10^5 L_{\odot}\).

2. **Relative importance of H2 and metal cooling.**—H2 cooling is important for the formation of the first few stars in each protogalaxy. As the first few stars form, if the ISM has not been blown out, Lyα and metal-line cooling become dominant. If radiative feedback is strong (top-heavy IMF), star formation in lower mass dark halos is suppressed. If the feedback is weak (Salpeter IMF and/or small \(f_{\text{esc}}\)), star formation in lower mass galaxies is inefficient and delayed, but not suppressed.

3. **Clustering.**—The local nature of feedback has implications for the clustering and bias properties of the first luminous galaxies. Analogous to young star clusters at low redshift, these form preferentially in groups and chainlike structures and are more clustered than the dark halos of the same mass.

4. **Volume filling factor of metal-enriched IGM.**—The metals produced by the first galaxies can fill the space between bright galaxies only assuming top-heavy IMF and including SN explosion feedback. Otherwise, metal enrichment is inhomogeneous with only 1%–10% volume filling factor, depending on the metallicity floor. Most of the volume of the IGM is enriched to very low values of the metallicity \(Z/Z_{\odot} \lesssim 10^{-3}\). The volume filling factor of the IGM enriched to the typical metallicities observed in the Lyα forest is small. It is unlikely that the metal absorption systems seen in the Lyα forest were produced by the first low-mass galaxies.

5. **Gas photoevaporation.**—Star-forming dwarf galaxies show large variations in their gas content because of the combined effects of stellar feedback from internal sources and IGM reheating. Ratios of gas to DM lie below the cosmic mean in halos with masses \(M_{\text{DM}} < 10^8 M_{\odot}\). Gas depletion increases with decreasing redshift: the lower mass halos lose all their gas first, but as the universe evolves, larger halos with \(M_{\text{DM}} \sim 10^8 M_{\odot}\) also lose a large fraction of their gas.

6. **Mean star formation efficiency.**—The mean star formation efficiency \(\langle f_s(0) \rangle = \langle M_s/M_{\text{bar}}^{\text{max}} \rangle\). We assume that \(M_{\text{bar}}^{\text{max}} \approx M_{\text{DM}}/7\), with an efficiency independent of redshift and depending on total mass as \(\langle f_s(0) \rangle \approx M_{\text{DM}}^2/M_0^3\). There is weak dependence on feedback: \(\alpha = 1.5\) if the feedback is weak and \(\alpha = 2\) if the feedback is strong.

7. **Scatter of the mass-to-light ratio.**—A tight relationship between the star formation efficiency \(f_s\) and the total mass of halos holds only for galaxies with \(M_{\text{DM}} > 5 \times 10^7 M_{\odot}\). In lower mass halos, the scatter around the mean \(f_s\) is increasingly large. For a given halo mass, the galaxy can be without stars (dark galaxy, \(f_s = 0\)) or have \(f_s \sim 0.5\). A few dark galaxies as massive as \(M_{\text{DM}} \sim (1-5) \times 10^7 M_{\odot}\) may exist in the Local Group.

8. **Low-metallicity stars.**—The mass fraction of Population III stars (metallicity \(Z < 10^{-5}\)) with respect to Population II stars is about one in a million at \(z = 10\). The epoch dominated by Population III stars is short lived. In models with strong feedback, it ends at \(z \sim 17\), while if the feedback is weak, a small fraction \(\sim 10\) of Population III stars is still forming at \(z = 10\). About \(N = 1000(Z_0/10^{-3} Z_{\odot})\) stars with metallicity smaller than a floor \(Z_0\) should be present in the Galactic halo for a Salpeter IMF. If the feedback is strong, the distribution of low-metallicity stars has a sharp drop at \(Z \approx 10^{-5} Z_{\odot}\) and is almost flat at \(10^{-4} < Z/Z_{\odot} < 10^{-2}\). Furthermore, if there is a transition from a Salpeter IMF to top-heavy IMF at a critical value of the stellar metallicity \((Z < Z_{\text{crit}})\), the distribution should show a cutoff at that critical metallicity.

9. **Luminosity profile.**—Galaxies with masses \(M < 10^9 M_{\odot}\) have a low surface brightness and extended stellar spheroid. The outer edges of the stellar spheroid nearly reach the virial radius. In more massive galaxies that cool efficiently by Lyα radiation, the stars and light are more centrally concentrated.

10. **Multiphase ISM.**—The ISM of these galaxies has mean density \(10-100\) cm\(^{-3}\) and thermal pressure \(P/k \sim 10^5\) cm\(^{-3}\) K. This pressure is sufficiently high to develop a multiphase ISM in low-metallicity gas \((10^{-2} < \text{Z}/Z_{\odot})\).

11. **Deep field number counts.**—For a Salpeter IMF, JWST might detect only the most massive dPri galaxies. The prospects for observing the formation of the fainter low-mass galaxies that cool by H2 are very small. If, instead, the IMF in dPri galaxies is top heavy and \(f_{\text{esc}} \ll 1\), JWST might be able to detect dPri galaxies with masses as small as \(M_{\text{DM}} \sim 10^8 M_{\odot}\). In this second case, the faint number counts could constrain theoretical models and quantify the relative importance of negative and positive feedback for their formation.

12. **Typical masses of dSph galaxies and ultrafaint dwarfs in the Local Group.**—Radiative feedback suppresses star formation in low-mass halos. Halos with \(M_{\text{DM}} > 10^9 M_{\odot}\) produce galaxies with luminosities typical of normal dSph galaxies such as Ursa Minor and Draco. Halos with \(M_{\text{DM}} < 10^7 M_{\odot}\) may host a population of ultrafaint dwarfs, similar to the one recently discovered in the Local Group, or may be completely dark.
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