Optimal follow-up observations of gravitational wave events with small optical telescopes

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We discuss optimal strategy for follow-up observations by 1-3 m class optical/infrared telescopes which target optical/infrared counterparts of gravitational wave events detected with two laser interferometric gravitational wave detectors. The probability maps of transient sources, such as coalescing neutron stars and/or black holes, determined by two laser interferometers generally spread widely. They include the distant region where it is difficult for small aperture telescopes to observe the optical/infrared counterparts. For small telescopes, there is a possibility that it is more advantageous to search for nearby region even if the probability inferred by two gravitational wave detectors is low. We show that in the case of the first three events of advanced LIGO, the posterior probability map, derived by using a distance prior restricted to a nearby region, is different from that derived without such restriction. This suggests that the optimal strategy for small telescopes to perform follow-up observation of LIGO-Virgo’s three events are different from what has been searched so far. We also show that, when the binary is nearly edge-on, it is possible that the true direction is not included in the 90% posterior probability region. We discuss the optimal strategy to perform optical/infrared follow-up observation with small aperture telescopes based on these facts.

I. INTRODUCTION

After long experimental efforts to construct high sensitivity gravitational wave detectors, gravitational wave (GW) signals were finally detected in 2015 during the first observation run of the advanced LIGO detectors [1, 2]. Up to now, two GW signals, named GW150914 and GW151226, have been confirmed to be true signals, and one candidate signal, LVT151012, with lower significance was observed. All of these signals, including one candidate, are GWs produced by the coalescence of binary black holes (BHs). The first GW event, GW150914, was produced by the coalescence of BHs with masses, 36 $M_\odot$ and 29 $M_\odot$. BHs with such mass have not been observed in x-ray binaries. Thus, this observation immediately raised a new astronomical question: how these massive stellar mass BHs were formed? It is now clear that a new era of GW astronomy has started.

In order to investigate the astrophysical property of GW sources, it is very important to determine the direction of the source and to identify the host galaxy. However, since the above observations were done with two LIGO detectors, the direction of the sources were not determined precisely. With two laser interferometers, the source direction is constrained along a ring on the sky which is determined by the difference of the arrival time of the signal at each detector. With additional information of the directional dependence of the antenna pattern of laser interferometers and the response of the detectors to two polarizations of GWs, the source direction was constrained finally to 230 deg$^2$ for GW150914 and 850 deg$^2$ for GW151226[1]. However, these accuracies are not enough for optical/infrared telescopes which field-of-view are at most only a few square degree. In the error region, there are $10^5 - 10^7$ galaxies within the estimated distance of 400 Mpc. Even in this situation, a lot of electromagnetic (EM) telescopes performed follow-up observation of these signals [3–7]. Although some of them are 6-8 m class telescopes, such like Subaru and DECam, most of them are much smaller, 1 m class telescopes.

Since the first three events were produced by the coalescence of binary BHs, it is not surprising that no EM counterparts were observed [8]. On the other hand, GWs from neutron star binaries and neutron star - black hole binaries are expected to be observed in the near future. They are the candidate of the progenitor of the short gamma ray bursts. It is also expected that the r-process nucleosynthesis occurs within the matter ejected during the coalescence. The ejecta is heated by the decay of the radioactive elements, and it may be observed in optical/infrared band. This is called kilonova or macronova. This radiation is predicted to become about 21 magnitude in optical/infrared band within a few days when the source is located at about 200 Mpc [9].

In this paper, we discuss optimal direction for small telescopes to perform follow-up observation of GW signals detected with only two laser interferometers. First, we reanalyze the three events observed by LIGO O1. For small telescopes, it is not easy to observe objects

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1 Here, the given sky map area corresponds to the 90% credible region.
with 21 magnitude. Thus, it is possible for small telescopes to detect EM counterparts only if the source is located nearby, e.g., within 100 Mpc. We evaluate the three dimensional posterior probability distribution for LIGO-Virgo’s events by restricting the distance prior to a nearby region where small telescopes can observe the EM counterparts. We find that the direction of the most probable region on the sky is very different from the cases when no such restriction to the distance prior is applied.

Next, we discuss the cases when the binary is nearly edge-on. We find that if the observation is done only with two LIGO detectors, there is a possibility that the 90% posterior probability map on the sky does not include the true location. In such a case, the estimated inclination angle and the distance are also very different from the true value. This is related to the gravitational wave Malmquist effect \( \text{[10–12]} \). Based on these facts, we discuss the strategy to perform follow-up observations with small telescopes.

There are already several papers which discussed the strategy to perform the EM follow-up of GW events. Among them, in \([13]\), a careful scheduling of the EM follow-up of GW events is proposed based on lightcurve models of counterparts by constructing the detectability map based on the binary’s parameters extracted from the GW signal. One of the differences of this paper from these works is that our work is based on the reanalysis of actual GW events observed by LIGO. Another difference is we discuss the GW Malmquist bias.

Note that the use of three or more detectors is essential to improve the sky localization accuracy. However, even if Virgo \([15]\) as well as KAGRA \([10, 17]\) join the network of gravitational wave detectors in the near future, since each gravitational wave detector has some down time for some commissioning works, there will always be a possibility that only two detectors are operational. This paper discusses such cases.

The remainder of this paper is organized as follows. In Sec. \([II]\) we reanalyze the first three events observed by LIGO O1 and evaluate the posterior probability distribution of the direction with the distance prior restricted to the nearby region. In Sec. \([III]\) we discuss the gravitational wave Malmquist effect. In Sec. \([IV]\) we discuss the sky localization in the case of nearly edge-on binaries. Section \([V]\) is devoted to the summary and discussion.

\section*{II. EFFECT OF DISTANCE PRIOR ON LOCALIZATION FOR O1 EVENTS}
In this section, we evaluate the posterior probability distribution of the direction for the first three events of LIGO O1 by setting the distance prior to the nearby region. We use 4096 seconds of data around O1 events available at LIGO Open Science Center \([18]\). We compute probability density functions (PDFs) and model evidences by using stochastic sampling engine based on nested sampling \([19, 20]\). Specifically, we use the parameter estimation software, \textsc{LALInference} \([21]\), which is one of the software of LIGO Algorithm Library (LAL) software suite \([1]\). We follow the analysis done in Ref. \([2, 22]\). However, the calibration error is not taken into account in our analysis. For simplicity, we use an effective precessing-spin waveform model called \textsc{IMRPhenomPv2} \([23]\), in which the waveform is constructed by twisting up the nonprecessing waveform with the precessional motion \([24]\). The \textsc{IMRPhenomPv2} has two spin parameters: one is an effective inspiral spin \(\chi = (m_1 \chi_1 + m_2 \chi_2)/(m_1 + m_2)\) where \(m_1\) and \(m_2\) are two component mass, \(\chi_1\) and \(\chi_2\) are the components of the dimensionless spin, \(\chi_i = S_i \cdot L_i / m_i^2\), projected along the unit Newtonian orbital angular momentum \(\mathbf{L}\). \(S_i\) is the spin angular momentum of each star. The other spin parameter is an effective precession spin, \(\chi_p = \max(A_1 S_{1\perp}, A_2 S_{2\perp})/(A_2 m_2^2)\), where \(S_{1\perp}\) and \(S_{2\perp}\) are the magnitudes of the projections of two spins in the orbital plane and \(A_i = 2 + (3 n_{1\perp})/(2 m_i)\) \([23]\).

The other parameters which describe the waveform are the chirp mass, the mass ratio, the luminosity distance to the source, the right ascension and the declination of the source, the polarization angle, the inclination angle which is the angle between the total angular momentum and the line of sight, the coalescence time, the phase at the coalescence time. We compute the posterior probability distribution for these 11 parameters.

First, we show results for GW150914 in Fig. \([1]\). The upper part of Fig. \([1]\) show the sky map (left) and the two dimensional posterior PDF for the luminosity distance \(d_L\) and the inclination \(\cos(\theta_{1N})\) (right). In this case, the 90% credible region of the sky map is \(\Delta \Omega = 193\) deg\(^2\). Bottom figures in Fig. \([1]\) show the sky map (left) and the two dimensional posterior PDF for the source luminosity distance and the binary inclination, obtained with a distance prior restricted to less than 100 Mpc. The 90% credible region of the sky map is 616 deg\(^2\). The signal-to-noise ratio (SNR) for both cases are 23.9.

We find that the sky maps for two cases are very different. It is shown in the posterior PDF for the source luminosity distance and the binary inclination that when no distance prior is applied, large distance and \(\cos(\theta_{1N}) \approx -1\) (face-off) is favored. On the other hand, when the distance prior is applied, small distance and \(\cos(\theta_{1N}) \approx 0\) (edge-on) is favored.

The same analyses are done for GW151226 and LVT151012. Figure \([2]\) shows sky map and posterior PDF.
of $d_L \cos(\theta_{\text{JN}})$ for GW151226. The 90\% sky localization accuracy is 1032 deg$^2$ in the case of no strong distance prior and 335 deg$^2$ in the case of the distance prior, $d_L \leq 100$ Mpc. The sky map indicates completely different region along the ring determined by the time delay. For the inclination angle, $\cos(\theta_{\text{JN}}) \simeq \pm 0.8$ is favored in the case of strong distance prior and $\cos(\theta_{\text{JN}}) \simeq -0.4$ is favored in the case of the distance prior, $d_L \leq 100$ Mpc.

Figure 3 is for LVT151012. The 90\% sky localization accuracy is 1415 deg$^2$ in the case of no strong distance prior and 157 deg$^2$ in the case of a distance prior, $d_L \leq 100$ Mpc. Similar to GW151226, the sky map indicates completely different region along the ring determined by the time delay. For the inclination angle, $\cos(\theta_{\text{JN}}) \simeq \pm 0.8$ is favored in the case of strong distance prior and $\cos(\theta_{\text{JN}}) \simeq 0.3$ is favored in the case of a distance prior, $d_L \leq 100$ Mpc.

### III. RELATION OF ORIGIN OF THE BIAS TO THE GRAVITATIONAL WAVE MALMQUIST EFFECT

Now, we discuss the reason why the sky maps change. Among the parameters which describe the waveform, five parameters including distance $d_L$, inclination angle of the orbital plane $\theta_{\text{JN}}$, inclination angle of the total angular momentum vector and the line of sight, polarization angle, and two angle parameters which determine direction of the source on the sky, determine the amplitude of GWs together with two mass parameters. These five parameters appear in the amplitude of the waveform in the form,

$$
\left[ F_+^2 \left( \frac{1 + \cos^2 \theta_{\text{JN}}}{2} \right)^2 + F_x^2 \cos^2 \theta_{\text{JN}} \right]^{1/2} d_L^{-1}, \tag{1}
$$

$F_+$ and $F_x$ are the antenna pattern functions of the detector which are the functions of the source sky location and the polarization angle. This suggests that those parameters are correlated.

When we evaluate the posterior probability density for a given signal candidate, we assume that the source is distributed uniformly in the comoving volume. Thus, within a unit distance interval, there are more sources at larger distance. This suggests that the posterior probability density of distance becomes larger at larger distance. From Eq. (1), we find that for a given amplitude of a signal, if larger distance is preferred, larger value of $| F_+ |$, $| F_x |$ and $\cos(\theta_{\text{JN}})$ are also preferred. The sky map is determined in this way.

When the distance prior is limited to nearby region, in order to realize the same amplitude of the signal data, the sky location with smaller value of the antenna pattern functions and $\cos(\theta_{\text{JN}})$ are favored. This produces the sky map which is located along the ring determined by the time delay, but very different from the original map.

The origin of this effect is similar to the well-known gravitational wave Malmquist effect \cite{10, 11}, which states that gravitational wave detectors detect more face-on/off binaries ($\cos \theta_{\text{JN}} \sim \pm 1$) located at relatively larger distance.

### IV. CASES FOR EDGE-ON BINARY COALESCENCES

#### A. Cases of O2 sensitivities

In this section, we discuss the observation of edge-on binary coalescences with two or three detectors’ network of LIGO and Virgo. We use the theoretical noise power spectrum density of LIGO and Virgo in \cite{25, 26} which were expected to be realized during the second observation (O2) of LIGO. The range for BNS is 108 Mpc for LIGO and 36 Mpc for Virgo\cite{27}. As in the previous section, we perform the parameter estimation with LALINFERENCE. For simplicity, we use a frequency-domain, spin-less inspiral waveforms, TaylorF2. \cite{27} as both signals and templates. The mass of the signals are $(1.4 \, M_\odot, 1.4 \, M_\odot)$.

Here, we consider three cases. Case A: two detectors’ network of LIGO Hanford (H) and LIGO Livingston (L), and no distance prior is used. Case B: two detectors’ network of LIGO Hanford and LIGO Livingston, and a distance prior is set to $d_L \leq 30$ Mpc. Case C: three detectors’ network of LIGO Hanford, LIGO Livingston and Virgo (V), and no distance prior is used.

In Fig. 4, we show the results of the parameter estimation in the case of nearly edge-on ($\theta_{\text{JN}}=75$ deg, $\cos(\theta_{\text{JN}}) \sim 0.26$) and distance of 20 Mpc. The right ascension and the declination for the injected signal are $12.1 \, \text{h}$ and $29.3^\circ$, and the SNR for the injected signal at each detector is $11.3$ (H), $12.9$ (L) and $13.5$ (V). The upper two figures are for case A, the middle two figures are for case B, and the bottom two figures are for case C. The estimated network SNR, the estimated 90\% sky localization accuracy, and the estimated cosine of the offset angle $\delta$ between true location and the location of the maximum PDF are, for case A: network SNR=$16.3$, 735 deg$^2$, $\cos(\delta) = -1.00$, for case B: network SNR=$19.8$, 605 deg$^2$, $\cos(\delta) = 0.960$, and for case C: network SNR=$22.1$, 10.8 deg$^2$, $\cos(\delta) = 1.00$. We find that in the case of the HL network in case A, both the estimated sky location and the estimated inclination angle are largely biased. In this case, larger distance and smaller inclination angle are more favored than true value. The reason for this

\footnote{The actual second observation of LIGO started on November 30th 2016. The range for BNS are around 60 to 80 Mpc.}
is similar to the GW Malmquist effect: the region with larger distance have a larger volume which produce larger posterior probability density, and consequently smaller inclination angle is favored. On the other hand, we find in case B, if a strong distance prior is applied, the bias of the sky location and the inclination angle become much smaller. However, the sky localization accuracy is still not good. Dramatic improvement can be found in case C. Especially, the sky location indicates the correct direction, and the sky localization accuracy becomes about 10 deg$^2$. This clearly show that, even if the sensitivity of third detector is only about 1/3 of other two detectors, the presence of the third detector is essential to improve the sky localization accuracy. Note however that, even in case C, the maximum posterior probability density of the inclination angle points $\cos(\theta_{JN}) \sim 1.00$. Corresponding to this, there is a second peak of the posterior probability density of the distance around 38 Mpc, although the distance is constrained much better than two detectors’ case.

In Fig. 5 we show an another example of a nearly edge-on binary. In this case, the distance and the inclination angle is the same as in Fig. 4 but the sky location for the injected signal is different. The right ascension and the declination are 4.76 h and 13.4, for the injected signal is 23.1 (H), 16.3 (L), and 3.54 (V). The estimated network SNR, the estimated 90% sky localization accuracy, and the estimated cosine of the offset angle $\delta$ between true location and the location of the maximum PDF are for case A: network SNR=29.7, 77.4 deg$^2$, $\cos(\delta) = -0.995$, for case B: network SNR=27.8, 336 deg$^2$, $\cos(\delta) = 0.985$, and for case C: network SNR=28.1, 31.9 deg$^2$, $\cos(\delta) = 0.994$. We find that in case A, the estimated sky location points completely different direction from the true one. The estimated inclination angle favors face-off ($\cos(\theta_{JN}) \sim -1.00$) which is very different from the true value.

We find in case B of Fig. 5 that when we apply a strong distance prior ($d_L \leq 30$ Mpc), the difference of the sky location and the inclination angle become much smaller. However, the sky localization accuracy is still not good, and the posterior distribution of the inclination angle is divided into two region, and the accuracy is not very good.

On the other hand, in case C of Fig. 5 the sky location indicates the correct direction, and the sky localization accuracy is improved dramatically again. However even in this case, the accuracy of the inclination angle and the distance are still not good. Much larger distance ($\sim 60$ Mpc) than the injected signal and the inclination angle of face-on ($\cos(\theta_{JN}) \sim 1.00$) are favored.

The above results suggest an important caveat for the strategy of the follow-up observation. In the case of two detector network, the estimated direction can be completely different from the true one in the case of edge-on binaries. In such cases, it may be difficult to observe EM counterparts if we observe only high probability region. It is effective to evaluate the PDF by restricting the distance prior to a nearby region in order to improve the sky localization and the inclination. However, the accuracy is still not good enough for performing the follow-up observation. The best way to improve the situation is to add one more detector. The sky location and the sky localization accuracy can be improved dramatically with three detectors. However, even in that case, there can appear large bias in the estimated distance and the inclination angle. If we believe the PDF for distance, and search the galaxies located only around 60 Mpc, we may miss the EM counterparts. It is thus important to search the large region of the distance including nearby region in order not to miss EM counterparts.

### B. Cases of design sensitivities

Next, we investigate a case of BNS at 200 Mpc detected by LIGO and Virgo at their design sensitivity, which is more realistic in a sense that such events are expected to occur frequently in the near future. In Fig. 6, we show the results of a nearly edge-on ($\theta_{JN} = 75$ deg) BNS at 200 Mpc. The right ascension and the declination for the injected signal are 3.12 h and -28.9, and the SNR of the injected signal at each detector are 6.46 (H), 6.92 (L), and 1.07 (V). We consider a distance prior $d_L \leq 250$ Mpc for case B. The estimated network SNR, the estimated 90% sky localization accuracy, and the estimated cosine of the offset angle $\delta$ between true location and the location of the maximum PDF are for case A: network SNR=10.7, 1044.3 deg$^2$, $\cos(\delta) = -0.803$, for case B: network SNR=9.82, 1125.3 deg$^2$, $\cos(\delta) = 0.927$, and for case C: network SNR=9.73, 463.2 deg$^2$, $\cos(\delta) = 0.994$. These results are qualitatively the same as in the cases of O2 sensitivities in Fig. 5. In case A of Fig. 6 the estimated sky location spread widely. The peak of the posterior probability density of distance becomes larger than the true location due to “the GW Malmquist effect.” In case B of Fig. 6 when we apply a distance prior ($d_L \leq 250$ Mpc), the estimated distance is improved. However, the sky localization accuracy is not improved very much. In case C of Fig. 6 the sky localization accuracy is dramatically improved. However, the peak of the PDF of distance and $\cos(\theta_{JN})$ is maximum around 400 Mpc and 0.7 respectively. Thus, large bias in the estimated distance and inclination angle will occur even in the three detector case.

### V. SUMMARY AND DISCUSSION

In this paper, we investigated the optimal direction for 1-3 m class optical/infrared telescopes to search for optical/infrared counterparts of GW events detected with two laser interferometric gravitational wave detectors. The posterior probability distribution of the distance, the inclination angle and the sky location, determined with two laser interferometers generally spread widely.
They include the distant region where it is difficult for small aperture telescopes to detect optical/infrared counterparts if we assume a standard picture of the brightness of the optical/infrared counterparts like kilonova/macronova. We showed that in the case of first three events of advanced LIGO, the posterior probability maps of the direction on the sky, derived by using a distance prior restricted to a nearby region, are different from that derived without such restriction. It means that the direction which has been observed so far by follow-up observations may not be optimal direction for small aperture telescopes. Optimal direction may be the direction derived with a restricted distance prior.

Note that we discussed only one distance prior of 100 Mpc just to demonstrate a consequence of the use of distance prior. Of course, the observable range of optical counterparts depends on the aperture of the telescopes. Further, to set the abrupt limit to the observable distance for optical telescopes may not be realistic. The probability to observe faint objects may gradually decrease as the brightness of the object becomes fainter. Thus, to make a more realistic observational strategy, these facts must be taken into account.

Next, we showed two examples of nearly edge-on binary coalescences located nearby. In this case, with the two-detector network of LIGO, the direction of the maximum PDF is completely different from the true one. Since we do not know a priori the true direction, in this case, it may be difficult to observe to EM counterparts if we observe only high probability region. The best way to localize the source accurately is to add one more detector, as expected. The sky location and the sky localization accuracy can be improved dramatically with three detectors. Virgo detector will soon be operational, and KAGRA [16, 17] will also be operational in a few years. So that will be realized in the near future. However, even in that case, large bias in the estimated distance and the inclination angle may remain in some cases. If we search for only the region with high posterior probability density for distance, we may miss the EM counterparts. It is thus important to search the large parameter region of the distance as much as possible in order not to miss nearby faint EM counterparts.

The second observation run of LIGO started on November 30, 2016, only with two LIGO detectors. It is said that in this observation, three dimensional information of the posterior probability distribution in the direction and distance space is provided [28]. With that information, small aperture telescope can observe only nearby region or only nearby galaxies located in the high probability region. This is one way to optimize the follow-up observation.

Note also that there is an ambiguity in the model of kilonova/macronova [9]. Thus, it may be dangerous to decide the follow-up strategy by relying heavily on theoretical models. Note also that, as discussed in this paper, in the case of nearly edge-on binaries, there is a possibility that the direction of the source is completely different from the true one, and it is not possible completely to improve the direction by imposing the distance prior. In such a case, we should remember that if a region along the ring on the sky determined by the time delay has nonzero posterior probability density, it is physically possible that the source is located in that region. So it is worth performing follow-up observations for a wide region as much as possible.

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FIG. 1. Posterior PDFs for the sky location and the source luminosity distance and the binary inclination angle for GW150914 when no strong prior is applied (top), and when a distance prior ($d_L \leq 100$ Mpc) is used (bottom). The darker color corresponds to higher probability.
FIG. 2. The same figure as Fig. 1 but for GW151226.
FIG. 3. The same figure as Fig. 1 but for LVT151012.
FIG. 4. The posterior PDF for the sky location, and the two dimensional posterior PDF for the source luminosity distance and the binary inclination angle for a nearly edge-on signal with $\theta_{\text{JN}} = 75$ deg, and $d_L = 20\text{Mpc}$. Gaussian noise with LIGO O2 sensitivity is used. Results of three cases are shown. Case A (top panels): two detectors’ network of LIGO Hanford and LIGO Livingston, and no distance prior is used. Case B (middle panels): two detectors’ network of LIGO Hanford and LIGO Livingston, and a distance prior is set to $d_L \leq 30\text{ Mpc}$. Case C (bottom panels): three detectors’ network of LIGO Hanford, LIGO Livingston and Virgo, and no distance prior is used. The star in each figure denotes the location of the injected signal.
FIG. 5. The same figure as Fig. 4 but for an injection signal from different direction.
FIG. 6. The same figure as Fig. 4 but for an injection signal from different direction, and for a nearly edge-on signal with \( \theta_{JN} = 75 \text{ deg} \) and \( d_L = 200 \text{ Mpc} \). Gaussian noise with the design sensitivities of LIGO and Virgo are used. Case B (middle panels): two detectors network of LIGO Hanford and LIGO Livingston, and a distance prior is set to \( d_L \leq 250 \text{ Mpc} \).