Plasmonics: influence of the intermediate (or stick) layer on the efficiency of sensors
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Abstract The efficiency of plasmon-resonance-based sensors can be greatly improved with an accurate design of the nano-structures that constitute them. In this work we focus our attention on the design of a particular kind of these nanometric structures that consists of planar multilayered systems. We show the significant influence, on the plasmon resonance conditions, of the intermediate layer used to adhere a thin film of gold to a dielectric substrate. To illustrate this effect, we consider different intermediate layers to compute the optimal geometry that vanishes the reflected energy. For this, we use the S-formulation and an evolutionary method for the electromagnetic and the optimization problems, respectively.

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1 Introduction

Since the early developments of the Near-Field optical microscopies, their wide variety of applications has not ceased to grow. Furthermore, a fairly recent area of nanotechnologies with applications ranging from telecommunications to biologic-sensors has appeared: The plasmonics. All the associated components of surface-plasmon resonance sensors are made of metal structured settlements that are deposited on dielectric, and many are illuminated in reflection, through the dielectric sample, with p-polarized wave, in the Kretschmann configuration [1]. The active metallic region is made of gold but is deposited on another metal thin layer to ensure adherence. Historically, the influence of this intermediate layer has been rarely considered and direct optimization has been made just with a gold layer [2]. Nevertheless, the dependence of the optical properties of such metallic structures on size and material choice still is an active area of researches. Moreover, the quality of the SPR sensor depends strongly on these parameters and nanosensors have a more complex behavior as the number of degrees of freedom increases [3–5].

It has been experimentally observed that the Cr interlayer influences the structural and optical properties of Ag films. The grain sizes and the reflectivity of Ag film in the Ag/Cr/glass system increase [6]. The influence of the intermediate Chromium layer has been studied in Refs. [7, 8]. The presence of a thin chromium adhesion layer shifts and broadens the surface plasmon resonance (SPR) shape. The magnitude of the resonance decreases when a thin film of chromium or a thin film of gold is employed. Its linear range
becomes narrower as the thickness of the metal films increases. In Ref. [9], authors demonstrate that titanium is preferred over chromium as an adhesion layer since titanium is less strongly absorbing.

In the present work, we not only show that this thin intermediate layer may influence the quality of the plasmon resonance, but also that both intermediate and gold layer thicknesses must be computed simultaneously to get a more efficient coupling between the light and the metallic layers. The best gold layer thickness is shown to differ from the commonly used value of 50 nm. We use an evolutionary procedure to search for the optimal plasmonic efficiency of the system studied. This is done in the visible range, through the design of the whole system (gold and intermediate layer thicknesses, as well as the angle of incidence of the illuminating light) in the visible range, for the best plasmon efficiency.

The paper is organized as follows. Section 2 is devoted to the description of the multilayer setup. In Sect. 3, we introduce the used computational model. In Sect. 4, numerical results are presented and discussed, before concluding in Sect. 5.

2 The multilayered setup

The purpose of this study is to design a nano-sensor with maximal SPR efficiency, through the minimization of the reflected light. For this, we consider the nano-sensor as the multilayered system shown in Fig. 1. The material parameters are the relative permittivities \( \epsilon_1 = 2.25 \) (glass), \( \epsilon_2(\lambda_0) \) (for chromium, tungsten or silver), \( \epsilon_3(\lambda_0) \) (gold) and \( \epsilon_4 = 1.77 \) [10]. The illuminating field is a p-polarized plane wave of wavelength \( \lambda_0 \) (in vacuum) and angle of incidence \( \theta_i \).

Our goal is to find the optimal set of parameters \( p = (\epsilon_1, \epsilon_2, \theta_i) \) that minimizes the reflection coefficient \( R/I_0 \), where \( I_0 \) is the incident intensity. If this minimum is close to zero, it corresponds to a maximal transfer of energy of the wave illuminating the metallic setup and therefore to a plasmon excitation. The plasmon has been described as the trace of the pole of the reflected intensity along the real axis in the complex plane [11]. Also, this point has been fully discussed for metallic gratings in Ref. [12]. Close to this pole, the computation of the electromagnetic field may present problems of convergence, and the blind use of classical methods of optimization can lead to convergence into another minimum not corresponding to the plasmon. Therefore, the S-formulation of the electromagnetic computation is required as well as a nonclassical optimization technique such as, for example, simulated annealing or evolutionary methods.

3 The computational model

The computation of electromagnetic field interacting with planar interfaces has been studied for several decades [13, 14]. The reflected intensity is computed from the field reflected by the multilayered system shown in Fig. 1. The calculation of the resulting Fresnel coefficients is analytic, and the general method for \( N \) materials, using the T-formulation can be found in Ref. [15]. Nevertheless, this formulation has proven to be numerically instable when the absorption and thickness increase [16]. In plasmonics, the accuracy of the numerical scheme must be carefully controlled due to the numerical instabilities related to the pole, especially if optimization is required. In Ref. [17], Vigoureux writes the reflection coefficient of a multilayered structure in terms of a polynomial development. Also, other efficient formulations have been extended from the theory of the diffraction of light by gratings [16, 18–20].

In the three plane interfaces problem under consideration, a simple analytical result may be used (Eq. 1), but the direct computation of the corresponding transmission coefficient \( T/I_0 \), where \( I_0 \) is the incident intensity, diverges when the thickness of the metal or the absorbance is increased. The direct computation of the reflection coefficient \( R/I_0 = |r_{14}|^2 \) can then become instable near the resonance.

\[
r_{14} = \frac{r_{12} + r_{23} \exp(2j\epsilon_1 w_2) + r_{34} \exp(2j(\epsilon_2 w_3 + \epsilon_1 w_2)) + r_{12}r_{23}r_{34} \exp(2j\epsilon_2 w_3)}{1 + r_{12}r_{23} \exp(2j\epsilon_1 w_2) + r_{12}r_{34} \exp(2j(\epsilon_1 w_2 + \epsilon_2 w_3)) + r_{23}r_{34} \exp(2j\epsilon_2 w_3)},
\]  

Eq. (1)
where \( r_{ij} \) are the Fresnel coefficients of the interface \( ij \):

\[
   r_{ij} = \frac{(\epsilon_j w_i - \epsilon_i w_j)}{(\epsilon_j w_i + \epsilon_i w_j)}
\]

with \( w_i = 2\pi \sqrt{\epsilon_i - u^2}/\lambda_0 \) and \( u = \sqrt{\epsilon_1 \sin(\theta_i)} \). Nevertheless, the analytical formulation establishes a relation between the thicknesses \( e_1 \) and \( e_2 \), and the incidence angle \( \theta_i \) if the zeros of \( R \) are searched. For example, the gold thickness \( e_2 \) can be deduced from the intermediate layer thickness \( e_1 \) (see Fig. 1) through

\[
   e_2 = -j \log \left[ \frac{\left\{ \exp(2j w_2 e_1) r_{23} + r_{12} \right\}}{\left\{ \exp(2j w_2 e_1) + r_{23} r_{12} \right\}} \right] / (2w_3).
\]

This equation gives an infinity of complex thicknesses \( e_2 \) that have no physical signification. Moreover, the solution depends on both \( \lambda_0 \) and \( \theta_i \). The expected solution for the thickness \( e_2 \) must be real, and therefore this formula will be used to test the optimization method.

Due to instabilities of the direct T-formulation of the electromagnetic problem, we use the S-formulation for plane multilayers. This allows the correct factorization of exponential functions to limit their influence on roundoff errors and to verify the energy conservation. The S-method and the algorithm have been fully described by Li [16]. The principle of the method lies on the factorization of the up and down exponentials that describe, in particular, the decrement of the skin depth of waves in metals [14]. The advantage of this formulation is its possibility to describe any \( N \) absorbing multilayered geometry. An iterative scheme gives both reflected and transmitted fields (Fig. 1).

Among the nonclassical or last resort optimization methods, we have found that the Evolution Strategies provide an efficient solution to problems where classical methods fail [21] and particularly for the resolution of the inverse problem in nanotechnologies [22]. Their operational principles are based on the evolution of the searched parameters (objective variables), through the imitation of process of variation and selection that take place in natural evolution.

In the present study, the target is the minimal value of \( R \). The basic steps of the evolutionary method can be summarized as follows: initialization of a random initial population of parameters \( p \) (\( \mu_1 \) elements), random recombination (\( \mu_2 > \mu_1 \) elements), random mutation, selection of the \( \mu_1 \) best elements, and loop to the second step to reach the convergence of the \( \mu_1 \) elements of the \( p \) population [21]. Each iteration of the evolutionary loop corresponds to a generation of parameters.

### 4 Results and discussion

For our numerical experiments, we use \( \mu_2 = 100 \) and \( \mu_1 = 14 \) elements for the secondary and initial populations, respectively. The required number of generations to reach convergence is less than 40. At least 15 realizations of the whole evolutionary process are made to verify stability. That

\[ e_2 = -j \log \left[ \frac{\left\{ \exp(2j w_2 e_1) r_{23} + r_{12} \right\}}{\left\{ \exp(2j w_2 e_1) + r_{23} r_{12} \right\}} \right] / (2w_3). \]
is, the search for the objective parameters starts from 15 different initial populations. The computed value of $R/I_0$ is less than $2 \times 10^{-11}$, corresponding to the total coupling of the incoming light with the metallic multilayered system. The transmission is also equal to 0. Figure 2 shows the result of the optimization for $e_2$ (a), $e_1$ (b), and $\theta_i$ (c), as function of the wavelength in the whole visible domain, respectively. We compare the gold layer with and without Cr, Ag, Ti intermediate layers.

Figure 2(a) shows that the optimal thickness of gold deposited on Cr, Ti, or Ag is smaller than this of gold alone. It may be half of this without the intermediate layer. The Ti intermediate layer requires the smaller thickness of gold, whereas the Ag stick layer is the less active. In the red domain of wavelengths, the Cr layer influence differs from the Ag one. The optimization method gives the three unknown parameters $(e_1, e_2, \theta_i)$ simultaneously for each wavelength, and Eq. 3 enables to check the result of the optimization. The optimal intermediate thickness is plotted in Fig. 2(b).

We can remark that $e_1$ may reach 16 nm and is not the smallest as possible. It is an increasing function of the wavelength and is always greater than 2 or 3 nm, thicknesses that are commonly used in experiments. The whole system must be considered, and the intermediate layer contributes to the quality of the plasmon excitation. The thicknesses of Chromium or Titanium are almost the same along the spectrum, on the contrary of silver, for which it is smaller. Figure 2(c) gives the best incidence angles. In some experimental setups, the divergence of the p-polarized incoming wave may be of the same order of magnitude as the variations of $\theta_i$ along the visible spectrum. This parameter seems to be noncritical.

In order to discuss the influence of the various metals $M$ in the intermediate layer, we show the relative difference between dispersion curves $C(u, \lambda_0)$ for the best values of thicknesses $(e_1, e_2)$ in Fig. 3:

$$C(u, \lambda_0) = \frac{R_{Au-M} - R_{Au}}{R_{Au-M} + R_{Au}}.$$  \hfill (4)
The visual inspection of these gray level maps exhibits both the decay and the widening of the SPR when the intermediate layer is considered in the computation for the best parameters \(e_1, e_2\) (Fig. 2). The difference of two dispersion curves shows the red-shift of the plasmon as well as the best quality of the Ag intermediate layer to produce SPR close to that obtained for the Au alone.

To finish, in Fig. 4(a–b), the SPR \((R/I_0)\) is plotted in the same conditions as in Ref. [8] at \(\lambda_0 = 670\) nm with \(e_1 = 2\) nm and \(e_2 = 50\) nm. The permittivities are \(e_1 = 2.329, e_2 = 13.45 + 8.74j, e_3 = -14.33 + 0.765j,\) and \(e_4 = 1.769,\) respectively. After optimization, the best values of the thicknesses are \(e_1 = 2\) nm and \(e_2 = 56\) nm inducing a sharper and narrower SPR value.

5 Conclusion

In this study, it has been shown that thin intermediate layer significantly modifies the conditions for the generation of a plasmon. The thickness of both the intermediate and gold layers must be controlled and depends strongly on the working wavelength. On the contrary, the plasmon optimization is hardly dependent on the angle of incidence. Such results would be of great interest in the optimization of nanogratings and nanosensors for both biological and physical applications. Moreover, a more complete study of sensitivity of sensors will be possible from the results of the same method of optimization for more complex geometries of sensors.

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