Cognitive complaints compared to performance on a mental state screening test in elderly outpatients

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Abstract – Memory and other cognitive complaints are common in the elderly population. However, the clinical meaning of these complaints remains controversial. Objectives: The goal of this study was to investigate the association between cognitive complaints and performance on a mental state screening test in elderly patients attended for the first time at the Neurogeriatric and Dementia (NGA) Outpatient Clinic within a major University hospital. Methods: Two hundred patients referred to the NGA Clinic during 2005, 2006 and 2007 first semesters participated in the study. The variables of interest were: (a) source of and reason for referral; (b) whether or not they had previously been evaluated with the screening test (Mini Mental State Exam - MMSE) by their physicians before referral to our specialized clinic; (c) cognitive complaints; and (d) performance on the screening test (MMSE) at the NGA Clinic. Results: The main reason for referral to the NGA clinic was cognitive complaints 63% (N=126), where only 5% (N=10) of the referred patients had been previously evaluated by the cognitive screening test (MMSE or equivalent). Of the 135 patients who presented cognitive complaints during the first appointment, 52 (38%) presented MMSE scores below the education-adjusted cut-off. No association between cognitive complaint and performance on the MMSE during the first evaluation at the NGA Clinic was observed ($\chi^2=3.04$, $p=0.1$). Conclusions: Although cognitive complaints among elders should not be disregarded, the mental state screening evaluation is crucial for the detection of clinically significant cognitive impairment.

Key words: cognitive complaint, aging, elderly, Mini Mental State Exam, cognitive decline associated with aging, dementia, depression, memory.

Queixa cognitiva comparada ao desempenho em um teste de rastreio do estado mental em idosos atendidos em ambulatório

Resumo – Memória e outras queixas cognitivas são comuns na população idosa. No entanto, o significado clínico destas queixas permanece controverso. Objetivo: O objetivo deste estudo foi investigar a associação entre queixa cognitiva e desempenho em um teste de rastreio do estado mental em pacientes idosos que consultavam pela primeira vez no Ambulatório de Neurogeriatria e Demência (NGA) de um grande hospital universitário. Métodos: Duzentos pacientes que foram encaminhados ao Ambulatório de Neurogeriatria durante os primeiros semestres de 2005, 2006 e 2007 participaram do estudo. As variáveis de interesse foram: (a) fonte e razão do encaminhamento; (b) existência de avaliação, com um teste de rastreio (Mini Exame do Estado Mental - MEEM), pelos seus médicos assistentes prévia ao encaminhamento ao NGA; (c) queixa cognitiva na consulta do NGA, e (d) desempenho no teste de rastreio (MEEM) no Ambulatório NGA. Resultados: O principal motivo de encaminhamento ao ambulatório de NGA foi queixa cognitiva 63% (N=126), somente 5% (N=10) dos pacientes encaminhados foram submetidos a algum teste de rastreio cognitivo prévio (MEEM ou equivalente). Dos 135 pacientes com queixa cognitiva durante a primeira avaliação, 52 (38%) apresentavam escores do MEEM abaixo do ponto de corte, ajustados para escolaridade. Nenhuma associação entre queixa cognitiva e desempenho no MEEM durante a primeira avaliação no ambulatório de NGA foi observada ($\chi^2=3.04$, p=0.1). Conclusões: Embora, queixas cognitivas entre idosos não devem ser ignoradas, avaliação, através de rastreio do estado mental, é crucial para detecção de comprometimento cognitivo clinicamente significativo.

Palavras-chaves: queixa cognitiva, envelhecimento, idoso, Mini Exame do Estado Mental, declínio cognitivo associado ao envelhecimento, demência, depressão, memória.
Memory and other cognitive complaints are common in 60-year-olds and over, especially upon comparing their current and past performance. The range of prevalence of memory complaints is large and estimated to be around 22 to 56% in the geriatric population. In a cohort study with 524 elderly individuals, the prevalence rate observed was 27%. Another study involving a larger sample (n=2537) of elderly without dementia or depression reported a prevalence rate of 34%. The frequency of memory complaints increases with age, occurring in 43% of individuals between 65 and 74 years, and in as much as 88% of those above 85 years' old.

The association of memory complaints with depression, anxiety and personality traits is already well defined. Other factors such as female sex, low educational level and poor physical health have also been associated with memory complaints.

Several studies have attempted to evaluate how memory complaints relate to present or future cognitive impairment. However, controversy remains over the clinical meaning of such complaints. The majority of cross-sectional studies do not show association between cognitive complaints and cognitive performance as measured by psychometric tests. Some of these studies have shown the association between cognitive complaints and psychometric measures to be small or nonexistent and that complaints were associated with depression, anxiety and personality traits. Carr and colleagues investigated a sample of individuals with very mild dementia of the Alzheimer type (DAT) containing no demented elderly subjects. They found no significant correlation between self-reported memory complaints and cognitive performance or further development of dementia. Informant-reported memory loss distinguished non-demented from demented individuals and predicted future diagnosis of DAT.

On the other hand, longitudinal studies have suggested that memory complaints could be associated to higher risk of cognitive decline. One such study observed an increased risk for the development of dementia among participants who presented lower cognitive performance, who were older and had more recent complaints. More recently, a study in elderly people with memory complaints but no dementia demonstrated that almost half of the evaluated participants displayed mild but measurable cognitive deficits. The authors stressed that memory complaints should not be underestimated and objective evaluation using psychometric tests allowed the identification of normal performance and possible cases of ‘mild cognitive impairment’ (MCI) in the elderly. At this juncture, the concept of MCI should be mentioned, a disease in which memory complaint is one of the core criteria for its identification. However, MCI remains a concept and not a specific diagnosis with the criteria proposed by the main authors in the field, and is not included in this format under any of the main diagnostic systems (i.e., DSM).

Nevertheless, there are other concepts similar to MCI, such as ‘age-associated memory impairment’ and ‘ICD-10 Mild Cognitive Disorder’, which include memory complaints in their diagnostic criteria. Considering the rising interest in treating some of these conditions, it is very important to establish the relationship between cognitive complaints and current and future cognitive impairment.

The “Mini-Mental State Examination” (MMSE) was designed to provide a mental state practical clinical assessment in geriatric inpatients and is one of the most widely used screening tests for this purpose. The MMSE is recommended as a screening tool for individuals with cognitive impairment and has been previously validated for use in Brazil.

The goal of this study was to investigate the association between cognitive complaints and performance on a mental state screening test (the Mini Mental State Examination – MMSE) in a sample of patients referred to the Neurogeriatric Clinic of a university hospital in Southern Brazil. It also analyzes the main reasons for referral and the rate of discharge from the Neurogeriatric Clinic.

**Methods**

All patients referred to the Neurogeriatric and Dementia Outpatient Clinic from any other outpatient clinic within Hospital de Clínicas de Porto Alegre (HCPA), during 2005, 2006 and 2007 first semesters, were analyzed. The number of patients referred was 200.

This sample size was sufficient to detect memory complaints based on other studies that showed rates of 30%, a 2.0 relative risk of memory complaint for the development of Alzheimer’s disease and dementia, and a confidence interval of 95% (N=200).

The variables of interest were: (a) source of and reason for referral (medical or surgical specialties that referred the patient for evaluation); (b) whether or not they had previously been evaluated with the screening test (Mini Mental State Exam - MMSE) by their physicians before referral to our specialized clinic; (c) cognitive complaint; and (d) performance on the screening test (MMSE) at the NGA. We also evaluated the demographic data of patients (age, sex, and educational level).

The MMSE was applied to all patients as a mental state screening test at the first NGA Clinic appointment. The adjusted-education cutoffs used were 24 and 18. The initial evaluation also encompassed anamnesis, physical and neurological examination. An open questionnaire, applied during the medical history at the Neurogeriatric clinic, investigated cognitive complaints. All patients who...
fell into the category of “suspected dementia” were further evaluated using the standard protocol followed at the NGA Clinic for dementia. Other cases, i.e., questionable dementia, were also followed at the Clinic until the resolution of this condition into dementia, normal or another category.

This study was approved by the Ethics and Research Committee of Hospital de Clínicas de Porto Alegre and all patients and their caregivers signed an informed consent form.

**Statistical analysis**

Descriptive statistics (mean, SD, and frequency) were calculated for demographic data, and MMSE. Categorical data were analyzed with the Chi-square test, employing Yates correction to check association between them. The Mann-Whitney test was used to compare total MMSE score from subjects who presented cognitive complaints and from subjects who showed other complaints. The statistical analysis was performed by the *Statistical Package for the Social Sciences* - SPSS version 14.0.

**Results**

From the 200 consecutive referrals to NGA Clinical, 130 subjects (65%) were female. Age was 67.0±14.1 (mean±SD) years, education was 5.5±3.7 (mean±SD) years, and total score on MMSE was 21.59±6.82 (mean±SD) across the whole sample.

The main reason for referral to the NGA clinic was cognitive complaint 63% (N=126 from 200 subjects) (Table 1). Among subjects who showed cognitive complaints (N=126), isolated memory was the most frequent (N=111 out of 126, 88%), and memory associated to other cognitive complaints, such as orientation, language or executive functions, were responsible for the minority (N=15 out of 126, 12%) of complaints. Only 5% (N=10) of the referred patients had been previously evaluated by a cognitive screening test (MMSE or equivalent) (Table 1). During the first appointment at the NGA clinic, 68% (N=135) of the patients reported cognitive complaints, mainly involving memory (Table 2). During the first appointment at the NGA clinic, patients were assessed by the MMSE, on which 131 (65%) presented scores above the education-adjusted cut-off (Table 2).

Of the 135 patients who presented cognitive complaints during the first appointment, 52 (38%) presented MMSE scores below the education-adjusted cut-off (Table 3). No association between cognitive complaint and performance on the mental state screening test (MMSE) during the first evaluation at the NGA Clinic was observed (χ²=3.04, p=0.1).

Comparison between total MMSE score from subjects who presented cognitive complaints and from subjects who showed other complaints did not demonstrate significant difference (p=.43).

We observed a differential in rate of follow-up stay among patients in the cognitive complaints group, the other neurological complaint group, and also for the sample as a whole. Of the 200 patients referred to the NGA Clinic, 50 (25%) remained at the clinic for follow-up (Table 2). Of the 135 patients with cognitive complaint, N=46 (34%) stayed for follow-up, while of the 62 patients with other neurological complaint, only N=3 (5%) remained (Table 4). There was a significant association between cognitive complaint and staying at the NGA Clinic for follow-up (p<0.001). There was also a significant association between MMSE scores below cut-off and remaining at the NGA Clinic for follow-up (p<0.001).

**Table 1.** Reason for referrals in the sample.

| Reason for referral | Frequency   |
|---------------------|-------------|
| Cognitive complaint | 126 (63%)   |
| Other neurological complaint | 38 (19%)   |
| Not registered/not found | 36 (18%)   |
| Patients submitted to a mental state screening test before referral (MMSE or equivalent) | 10 (5%)   |

**Table 2.** Sample characteristics at the NGA clinic appointments (N=200).

| Variables                                      | Frequency   |
|------------------------------------------------|-------------|
| Cognitive complaints at the first appointment at the NGA clinic | N=135 (68%) |
| Patients with MMSE scores above cut-off at the first appointment | N=131 (65%) |
| Source of referrals (medical specialties)      | N=126 (63%) |
| Outcome: remained for follow-up                | N=50 (25%)  |

**Table 3.** Association between cognitive complaint and performance on the mental state screening test (MMSE).

| MMSE       | Cognitive complaint (N=135) | Other neurological complaint (N=62) |
|------------|----------------------------|------------------------------------|
| Below cut-off (N=69) | 52 (38%)                     | 16 (26%)                          |
| Above cut-off (N=131)  | 83 (62%)                     | 46 (74%)                          |

Chi-square=3.04; p=0.1
Of the sixty three percent of the patients who were referred from the medical specialties, 27% were referred from surgical specialties, and 10% from Psychiatry. Among medical specialties, Neurology (15.8%) and Internal Medicine (14.6%) were those with the highest rates of referrals. There was no association between specialty and objective corroboration of cognitive impairment (p=0.9) or follow-up at the clinic (p=0.5). Gender did not present significant association to cognitive complaints (p=0.2). Age and education of the group of patients presenting cognitive complaints were not significantly different to the group presenting other neurological complaints (Table 5).

Discussion

The objective of this study was to analyze cognitive complaints in relation to the performance on a mental state screening test among elderly outpatients. We found no association between cognitive complaints and the Mini Mental State Exam in the sample of the present study. Our finding corroborates previous studies demonstrating that cognitive complaints were not associated to cognitive impairment, or to further development of dementia.6,10,12-15 In fact, complaints are often inconsistent with the actual levels of impairment and are frequently associated to psychiatric disorders.6,7,17,20 In addition, most dementia patients are not aware of their cognitive limitations.22 It is very important for physicians to understand that the diagnostic and predictive value of cognitive complaints for detection of cognitive impairment should not be overestimated. Other measures such as intra-individual and functional decline perceived and reported by informants can be better predictors of dementia19 and should always be investigated.

In contrast, other studies have found association between cognitive complaints and measurable cognitive deficit20-26 and have considered complaints a risk factor for development of dementia.1,3,21,41-43 One possible explanation for these contradictory findings is the way in which memory complaints are assessed, as they may be spontaneously reported or measured by questionnaires in epidemiological studies. Another methodological problem is whether or not these studies take into account variables such as depression, level of education and cognitive impairment, which might determine the association between complaints and performance.8

Another interesting finding of this study was that the majority of referrals took place solely because the patient had complained about their cognitive capacity to his/her physician and seldom as a result of mental state screening to check the complaint (only 5%). Many physicians do not routinely perform mental state examinations and may not be familiar with the parts of the mental state assessment that are most useful for early detection of dementia.44-46 Referring patients based only on cognitive complaints was possibly responsible for the low frequency of cases that were not discharged from the Neurogeriatric clinic. Cognitive screening tools like the MMSE, are brief, reliable and feasible instruments, and should be part of the routine examination for any older subject in any specialty.

Another study carried out in patients with different neurological conditions reinforced the importance of including cognitive evaluation as a routine part of the neurological evaluation.47 Furthermore, if complaints constitute the main reason for suspecting dementia and referring subjects to the Neurogeriatric clinic, many demented persons who do not actually perceive their deficits might go undiagnosed.

Table 4. Analysis of cognitive complaint and MMSE scores below and above cutoff according to outcome on NGA clinic follow-up.

| Complaint*                                                                 | Follow-up at NGA clinic (N=49) | Discharged from NGA clinic (N=148) |
|---------------------------------------------------------------------------|-------------------------------|-----------------------------------|
| Cognitive (N=135)                                                         | 46 (34%)                      | 89 (66%)                          |
| Other neurological (N=62)                                                 | 3 (5%)                        | 59 (95%)                          |
| MMSE**                                                                   | (N=50)                        | (N=149)                           |
| Below cut-off (N=69)                                                     | 41 (82%)                      | 28 (19%)                          |
| Above cut-off (N=130)                                                    | 9 (18%)                       | 121 (81%)                         |

*Chi-square=19.43; p<0.001 (Fisher exact); **Chi-square=66.03; p<0.001 (Fisher exact).

Table 5. Mean±SD of age and education of the cognitive complaint and other neurological complaint groups (Student’s t test).

| Variables  | Cognitive complaint (N=135) | Other neurological complaint (N=62) | p value |
|------------|----------------------------|-----------------------------------|---------|
| Age        | 68.13±12.83                | 64.13±16.00                        | 0.08    |
| Education  | 5.5±3.7                    | 5.5±3.5                            | 0.88    |
Age, sex and educational level were not associated to cognitive or to other neurologic complaints. One limitation of the present study was that association to other non-cognitive variables, such as anxiety, depression and cognition was not investigated, where this warrants further studies. We could also call into question the way cognitive complaints were verified because no specific instrument was used for validation. An open question during the medical history at the Neurogeriatric clinic investigated this issue, although all members of the team were trained for the standard history at the clinic.

There is some debate on sensitivity of the screening test for detection of cognitive impairment, mainly for subjects with higher educational level.\(^{48}\) In such cases, individuals can perceive change in their cognitive performance and complaints, but this decline is insufficient to produce measurable abnormalities in neuropsychological tests.\(^{1}\) By the same token, low education level must also be considered when general cognitive screening tests such as the MMSE are applied.\(^{36,38,39,48,51-53}\)

Obviously, the current state of the art coupled with the serious repercussions of dementia diagnosis does not allow for a diagnostic process based on one single measure. However, it is very important to stress the development of “a geriatric consciousness”. Dementia is one of most frequent problems in older people\(^{49,50}\) and its incidence increases exponentially with age.\(^{50}\) Considering the epidemiology of dementia and worldwide ageing, we believe that mental state examination and the use of easy and feasible cognitive screening tools should be an integral part of the diagnostic instrument arsenal of any physician who deals with elderly patients.

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