Emergent dynamics of laboratory insect swarms

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Collective animal behaviour occurs at nearly every biological size scale, from single-celled organisms to the largest animals on earth. It has long been known that models with simple interaction rules can reproduce qualitative features of this complex behaviour. But determining whether these models accurately capture the biology requires data from real animals, which has historically been difficult to obtain. Here, we report three-dimensional, time-resolved measurements of the positions, velocities, and accelerations of individual insects in laboratory swarms of the midge Chironomus riparius. Even though the swarms do not show an overall polarisation, we find statistical evidence for local clusters of correlated motion. We also show that the swarms display an effective large-scale potential that keeps individuals bound together, and we characterize the shape of this potential. Our results provide quantitative data against which the emergent characteristics of animal aggregation models can be benchmarked.

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pontaneous, collective biological activity—in swarms, flocks, schools, herds, or crowds—has evolved independently across the entire biological size spectrum, from single cells1–3 to insects4, birds5,6, or fish7–9. Nature has found such self-organized behaviour to be a robust, simple solution to a broad range of biological problems.

The ubiquity of emergent collective behaviour suggests that it may arise from relatively simple interactions between individuals—and indeed, a vast literature on modelling animal aggregations has developed over the past few decades. Models with simple rules have been shown to reproduce, at least qualitatively, patterns and behaviours observed in the wild, including bulk alignment or polarisation10, milling11, swarming12, aggregation13, and predator avoidance14. Both continuum15 and discrete16 models can produce results that resemble observational data.

But qualitatively matching the large-scale emergent behaviour does not demonstrate that a model correctly captures the biology17,18; instead, detailed, quantitative comparisons with actual data are required. In recent years, such data have begun to become available, particularly for animals that move only in two dimensions4,19,20 or three-dimensional groups of a few individuals7,21–26. A recent landmark study from the STARFLAG group imaged and tracked wild flocks of starlings numbering in the thousands5,6,27–29, by far the largest groups of collectively moving animals measured to date. Due to the difficulties inherent to fieldwork, however, the temporal range and resolution of this work was limited; thus, the STARFLAG group focused on flock shape measurements and single-time velocity statistics27,29. Making more progress on understanding and modelling collective animal behaviour requires measurements of animal aggregations that simultaneously resolve the dynamics of the entire group (typically over large length scales and slow time scales) as well as the kinematics and history of motion of each individual in the group (typically over short length scales and fast time scales).

Recent developments in high-speed imaging for fluid dynamics and turbulence, where the challenge of accurately measuring dynamics over wide ranges of length and time scales is likewise unavoidable30, have now made such measurements possible. Here, using experimental tools originally developed to study turbulent flows, we report three-dimensional, high-speed measurements of the positions, velocities, and accelerations of all the individual members of laboratory swarms of Chironomus riparius midges. We find, as expected, that the group dynamics of our swarms are qualitatively different from bird flocks and fish schools, as characterized by the overall group shape, isotropy of acceleration, and bulk polarisation. But we also find evidence that local clusters of correlated motion may exist, as suggested by the presence of long tails in the speed distribution and by measurements of the spatial statistics of the midges. At large scales, we show that the swarms display an effective potential well that keeps the individual insects bound to it; the shape of this well, however, depends on how it is measured. Our results provide data that can be used to benchmark swarm models quantitatively, and that advance our fundamental understanding of collective animal behaviour.
Results
We established a self-sustaining laboratory colony of *C. riparius* midges using egg masses purchased from Environmental Consulting and Testing, Inc. *C. riparius* is an attractive organism for this work because it is available commercially, is relatively straightforward to maintain31–33 (our husbandry procedures are described in the methods section), and has been observed to swarm in captivity much as it does in the wild34,35. We image the mating swarms (also known as leks) using three synchronized high-speed cameras, as sketched in Fig. 1. By exploiting the redundant information recorded by the cameras36, we extract the three-dimensional positions of each individual in the swarm. We measure locations in a Cartesian coordinate system (x, y, z), where the z direction points upward and the origin is at the swarm’s centre of mass. Using a predictive particle tracking algorithm developed to study intense turbulence36 (described briefly in the methods section), we then record the motion of individual midges through time. Figure 1 shows both a snapshot of a swarm and the prior history of each individual.

Swarm spatial structure. Since we track each individual midge, we can quantify the three-dimensional spatial structure of their collective motion. Figure 2a shows the distributions of the distance from each individual to the swarm centre, defined as \( r = (x^2 + y^2 + z^2)^{1/2} \), measured for ten different swarms. By normalising by the mean swarm radius \( R_s = \langle r \rangle \), we find that the shape of the distribution is similar for all ten swarms, even though their sizes vary. As shown in Fig. 2b, \( R_s \) itself scales as \( R_s \propto \langle N \rangle^{1/3} \), where \( \langle N \rangle \) is the average number of individuals in the swarm, suggesting that the number density of midges in the swarm is approximately fixed. We note that this result is different from what has been observed for bird flocks, where the number density can fluctuate enormously from flock to flock37.

To characterize the swarm shape in more detail, we calculated the inertia tensor for each swarm. The eigenvectors \( e_1, e_2, \) and \( e_3 \) specify the intrinsic orientation of the swarm, and the standard deviations \( I_1, I_2, \) and \( I_3 \) (labelled in decreasing order) of individual midge positions along these eigenvectors give a measure of the swarm size in each direction. In Fig. 2c, we show the aspect ratios \( I_1/I_2 \) and \( I_2/I_3 \) for our measured swarms. Our swarms tend to have one dimension that is somewhat shorter than the other two, which are comparable; but unlike, for example, bird flocks37, all three dimensions are fairly similar. Our swarms are thus weakly axisymmetric. To quantify the overall swarm orientation, we measured the angles \( \theta_1, \theta_2, \) and \( \theta_3 \) between the direction of gravity and each eigenvector. As shown in Fig. 2d, all of our swarms have one eigenvector that is nearly vertical; note that we plot \( \cos \theta_i \) rather than \( \theta_i \) itself, since \( \cos \theta_i \) is uniformly distributed for random angles37. This vertical eigenvector corresponds to the longest dimension of the swarm for large swarms, but surprisingly to the intermediate dimension for smaller swarms. The origin of this effect is unclear; it may be that individuals join the swarm by flying above it, thereby extending large swarms in the vertical direction. More details of swarm shape are revealed by plotting slices through the full three-dimensional probability density function (PDF) of midge position, as shown in Fig 2e for a single swarm; midges are found most often in red regions and least often in

![Figure 1](image-url) | Snapshot of a swarm and experimental arrangement. (a–c) Snapshots from each of the three synchronized cameras (left, center, and right, respectively) focused on a common volume near the center of the swarm. Regions identified as midges are coloured red. (d) The experimental arrangement, seen from above and drawn to scale. Swimming midges remain far from container boundaries. (e) The corresponding three-dimensional snapshot. An arrow indicates the location of each tracked midge; the arrow lengths are proportional to speed and their orientations indicate flight direction. (f) The same snapshot, with each individual’s current position indicated by a dot and past flight path indicated by a curve.
blue ones. Consistent with our observations of aspect ratios, the long axis of this swarm is nearly vertical. Finally, let us note that our swarms do not fill the entire laboratory enclosure; the midges remain far from the walls, and the shape of the swarm is an emergent property.

**Velocity statistics**. Since we track individual midges over long times, we can measure the individual, instantaneous three-dimensional velocity \( \mathbf{v} \) and acceleration \( \mathbf{a} \) of each. We find that the swarms are roughly fixed in space, and so the mean velocity is nearly zero; the fluctuations, however, are not. Figure 3a shows the standard deviation of the vertical velocity component (\( \sigma_{vz} \)) and one horizontal velocity component (\( \sigma_{vx} \)); the other horizontal component is statistically the same, as expected given that the horizontal orientation of our coordinate system is arbitrary. Individual midges tend to fly faster horizontally than vertically, since \( \sigma_{vx} \) exceeds \( \sigma_{vz} \) by about 50% in all cases. It has been argued on aerodynamic grounds that near-horizontal flight should be most efficient for birds\(^{38}\), and observations of starling flocks confirm this behaviour\(^{27}\). Our observations of the flight paths of individual midges (see, for example, Fig. 1c) show a similar preference, even though the Reynolds number for the flying midges, and therefore the aerodynamic regime, is quite different. But despite this similar tendency of individual midges to fly horizontally, our swarms do not show an overall polarisation, as shown in Fig. 3b. Here polarisation is defined\(^{12}\) as

\[
p = \frac{1}{N} \sum_{i=1}^{N} \frac{v_i}{N},
\]

where \( N \) is the number of individuals, \( v_i \) is the velocity of an individual, and \( 0 \leq p \leq 1 \). Our swarms have \( p \approx 0.09 \) in all cases, whereas bird flocks, for example, have been found to have \( p \) near unity\(^{29}\). On the average, unlike bird flocks and fish schools, midges have little tendency to align with their neighbours.

In addition to the statistical moments of the velocity, we can also measure its full PDF; velocity PDFs for all ten swarms measured are shown in Fig. 3c–e. In all cases, we plot the PDFs of the standardised velocities \( \tilde{v}_i \):

\[
\tilde{v}_i = \frac{v_i - \langle v_i \rangle}{\sigma_{v_i}},
\]

where \( v_i \) is the \( i \)-th component of the velocity. We note that the mean velocities \( \langle v_i \rangle \) are all nearly zero. The standardised PDFs of both the horizontal and vertical velocities have similar, nearly Gaussian shapes near their cores, with tails that deviate slightly from Gaussian values. This trend is more clear when we plot the PDF of the standardised speed \( \tilde{u} = \left( \tilde{v}_x^2 + \tilde{v}_y^2 + \tilde{v}_z^2 \right)^{1/2} \), as shown in Fig. 3e. There, we compare the swarm data with the Maxwell-Boltzmann distribution for the speeds of a
hard-sphere gas in thermal equilibrium, since a previous, pioneering study of midge swarming found results consistent with Maxwell–Boltzmann statistics for small swarms. Our smallest swarms agree well with Maxwell–Boltzmann statistics; for our larger swarms, however, the speed distributions show a long, nearly exponential tail that grows monotonically with swarm size.

One possible origin for the long tails we observe in the speed distributions is clustering; that is, a highly non-uniform distribution of the individual midges in space. This effect, and the corresponding standardised PDFs are shown in Fig. 4b, and the statistical signature of clustering should be deviations of these data from similar calculations for uniformly distributed particles. Thus, we also computed nearest-neighbour distances for simulated data where we fixed the number of particles $N$ and overall size of the domain $R$, to be the same as those measured for the swarms but distributed the particles randomly in space. The resulting data are included in Fig. 4. Comparing, we find that the distributions for the swarms are wider than for the simulated data, implying that nearest-neighbour distance fluctuates more strongly in the swarms. The peaks of the distributions, however, lie at somewhat smaller distances for the swarms than for the random particles, so that the midges in our swarms are typically slightly closer to their neighbours than the randomly placed particles are.

**Acceleration statistics.** In addition to measuring position and velocity, we image the midges in the swarm rapidly enough that we can measure their instantaneous accelerations. Since the acceleration of an individual midge is directly proportional to the net force acting on it, acceleration measurements provide a useful way to begin to relate kinematics to dynamics; that is, we can consider the measured accelerations to be effective net forces on the midges. In Fig. 5a, we

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Figure 3 | Statistics of individual midge velocities. (a) Standard deviations of swarm velocity components; note that the mean velocity in all directions is nearly zero. Typical horizontal velocities, as measured by these standard deviations, exceed vertical velocities, perhaps improving flight efficiency. (b) Polarisation $p$ is near zero for all swarms observed, distinguishing swarming behaviour from flocking and schooling. (c, d) Standardised velocity distributions along (c) the vertical direction and (d) one horizontal direction. The distributions are nearly Gaussian (a reference Gaussian curve is shown in grey), with slight deviations in the tails. (e) Standardised speed distributions, with the standardised Maxwell–Boltzmann distribution shown in grey for comparison. A heavy, nearly exponential tail develops for large swarms, which may indicate the formation of clusters.

Figure 4 | Distance to nearest neighbours. (a) Root-mean-square nearest-neighbour distance $\langle d_{nn}^2 \rangle ^{1/2}$ for midge swarms and randomly distributed particles, shown as circles and squares, respectively. The data follow the same trend for each data set, but $\langle d_{nn}^2 \rangle ^{1/2}$ is always larger for real swarms. (b) Standardised PDFs of $d_{nn}$ for measured swarms (upper) and randomly positioned particles (lower); note that the lower curves have been vertically offset for clarity. Each curve shows data for one swarm. The most probable $d_{nn}$ is smaller for the swarms than for the random particles, (see also (c), which shows the same plots on linear axes), but the swarms also show a much longer tail, indicating larger voids.
plot the standard deviations $\sigma_{ax}$ and $\sigma_{az}$ of the accelerations in the vertical and horizontal directions, respectively; we show only one horizontal component since the swarm statistics are empirically axisymmetric. These two standard deviations are nearly equal, showing no signs of any anisotropy due to gravity. In Fig. 5b,c, we show the full standardised PDFs of these acceleration components. The PDFs in both directions show very heavy tails compared with Gaussian distributions, as is commonly observed in strongly correlated fluid flows. The shape of the PDFs in the two directions is similar, although the tails are somewhat heavier in the horizontal plane.

Given that acceleration statistics are nearly isotropic in the laboratory frame, one might ask whether that isotropy extends to the animals’ own frames of reference. We therefore studied the statistics of acceleration in a coordinate system fixed to each individual midge, measuring the acceleration parallel to the direction of flight (that is, along the velocity vector) and perpendicular to it. In Fig. 5d, we plot the standard deviations of these two acceleration components. We see no appreciable difference between the two, again suggesting that the fluctuations in acceleration are isotropic and independent of reference frame. In particular, this result shows that (statistically) the midges show no preference for turning (which requires accelerating normal to the velocity vector) over speeding up or slowing down, or vice versa. This observation is borne out by measurements of the full PDFs of parallel and perpendicular acceleration, shown in Fig. 5e,f. This measured isotropy contrasts with observations for directed groups of birds or fish, where acceleration depends strongly on the direction of motion. This result is surely partially due to the smaller size and inertia, and therefore enhanced maneuverability, of midges as compared with birds or fish, but is also likely indicative of the different group dynamics.

**Spatial structure of acceleration.** Since we resolve the trajectories of each midge individually, we can probe the swarm dynamics with more detail by studying how the acceleration of the midges depends on their location inside the swarm. In Fig. 6a, we show the mean vertical acceleration conditioned on vertical position; similarly, Fig. 6b shows the mean horizontal acceleration conditioned on horizontal position. For both directions, the accelerations vary systematically with position in the swarm. On the average, midges above the centre of the swarm accelerate downwards, while midges below the centre accelerate upwards. A similar trend of acceleration towards the swarm centre is clear—and stronger—in the horizontal direction. Functionally, acceleration toward the centre keeps the swarm intact: midges tend to adjust their flight direction to point back towards the swarm. Moreover, the conditional acceleration

![Figure 5](https://www.nature.com/scientificreports/)

**Figure 5** | Statistics of individual midge accelerations. (a) Standard deviations of acceleration components as a function of swarm size. Horizontal and vertical accelerations are nearly identical in magnitude for all the observed swarms. (b,c) Standardised acceleration PDFs, for (b) the vertical and (c) horizontal directions. (d) Standard deviations of acceleration parallel and perpendicular to the instantaneous direction of flight. The two components are again nearly identical. (e,f) Standardised PDFs of acceleration parallel (e) and perpendicular (f) to the direction of flight.

![Figure 6](https://www.nature.com/scientificreports/)

**Figure 6** | Mean acceleration as a function of position. (a,b) Mean acceleration conditioned on position in (a) the vertical direction, and (b) one horizontal direction. The conditional acceleration varies linearly with a negative slope as a function of position. (c,d) Effective “spring constants” extracted from linear fits to the data in (a) and (b). The effective elastic potentials are stiffer in both directions for smaller swarms. (e) Mean-squared displacement as a function of time averaged over all midges. The dashed line indicates a $t^2$ power law, and the data (solid) follow that trend, implying ballistic flight. This power law breaks down only at the swarm edges.
increases linearly as the distance from the swarm centre increases. Taking this conditional acceleration as an effective force, the individuals in the swarm behave on the average as if they are trapped in an elastic potential well (since the effective force is linear in position) that keeps them bound to the swarm. This result is consistent with earlier, less well resolved observations.

To characterize the effective forces we observed, we fit the data in Fig. 6a,b with straight lines and extracted their slopes. We plot these “spring constants” $k$ as a function of swarm size in Fig. 6c,d for the vertical and horizontal directions. In both cases, the stiffness of the effective elastic potential decreases linearly as the swarms become larger; we also find that the swarms are significantly stiffer in the horizontal direction than in the vertical direction. Midges behave as if they are more weakly bound for larger swarms.

These conditional acceleration measurements suggest that the effective potential binding the swarm together is quadratic, since the effective force is linear in distance from the swarm centre. But acceleration measurements are not the only way to estimate the large scale binding forces. We also studied the transport statistics of individual midges, since we have records of their time-resolved trajectories. In Fig. 6e, we plot the mean-squared displacement $(d^2)$ of midges from their (arbitrary) initial position to their final position; the average is taken over all the midge trajectories we measure in a given swarm. We find that $(d^2)$ grows like a power law in time for more than an order of magnitude, until $t = 0.5$ s; in fact, $(d^2) \propto t^2$, indicative of ballistic motion where distance scales like time. This ballistic $t^2$ growth saturates when $(d^2) = R^2$; that is, when the average midge has reached the edge of the swarm. Thus, these transport measurements suggest that the individuals in the swarm behave like particles in a square-well potential: they are free until they hit the wall. These measurements differ on the whole from random-walk models, though such models would apply in the transition away from ballistic motion at the swarm edge. Taken together with our conditional acceleration measurements, our results suggest the emergence of an effective potential well that binds individuals to the swarm. Inside the swarm, however, interactions appear to be statistically rare, so that the individual midges behave to lowest order as if they are freely moving particles. Statistical signatures of their interactions may appear in higher-order transport statistics, but significantly more data will be required to see them. Nevertheless, these results give quantitative observations that can be compared with the output of swarming models.

**Discussion**

In order to gain quantitative insight into the kinematics and dynamics of collective animal behaviour, we measured the individual three-dimensional positions, velocities, and accelerations of swarming *C. riparius* midges. Since our midge colony is maintained in the laboratory, we were able to acquire large amounts of data for many swarming events of different sizes.

As expected, simple position and velocity measures such as polarisation and overall swarm aspect ratio confirmed that swarms are qualitatively different from directed animal groups like bird flocks or fish schools. But our measurement tools also allowed us to perform a more detailed analysis. We observed heavy tails in the speed distributions, suggesting the formation of local, more correlated clusters of midges, particularly for larger swarms. Further evidence for clusters was found by comparing the statistics of the spatial arrangement of midges with randomly positioned particles. Though they are only statistical, these observations suggest that swarms may be more dynamically complex than directed animal groups, which show a strong overall polarisation. For flocks or schools, essentially two length scales are relevant: the inter-individual distance, which controls the local interactions, and the overall size of the aggregation, which is an emergent property. This characterization is consistent with, for example, the observation of scale-free velocity correlations in starling flocks. But clusters in midge swarms would suggest a broader range of important length scales: in addition to the local interaction scale and the overall swarm size, intermediate length scales characterizing these dynamical clusters may also emerge. We anticipate that these “sub-swarms” will be a fruitful topic for further study and are working toward an objective way to define them, so they can be studied individually as well as statistically.

Finally, our measurements of conditional acceleration and of midge displacement suggest the emergence of an overall, large-scale potential that keeps individuals bound to the swarm. Although both of these measures give evidence for an effective potential well, they give different characterizations of the shape of this well. Further study is needed to reconcile these two apparently different results. But, in the short term, these observations give a quantitative characterization of the emergent dynamics of insect swarms that can be used to benchmark swarming models. And, more fundamentally, our data and results add to the growing understanding of collective biological behaviour in nature.

**Methods**

**Insect husbandry.** We maintain our colony of *C. riparius* midges in a transparent 91-cm cubical enclosure kept at 23 °C by the laboratory climate control system. The midge culture is illuminated for 16 hours on a timed circadian cycle with 16 hours of light and 8 hours of darkness per day. The *C. riparius* larvae develop in 9 tanks, sketched in grey in Fig. 1a, filled with dechlorinated tap water and outfitted with bubbling air supplies to ensure that the water is sufficiently oxygenated. We provide a cellulose substrate into which the larvae can burrow. The water is cleaned twice a week; after cleaning, the midge larvae are fed crushed, commercially purchased rabbit food. In the last few days of their life cycle, larvae emerge out of the water and become flying adults.

**Imaging.** Male *C. riparius* midges swarm spontaneously at dusk as part of their mating ritual. In order to position the swarms in the field of view of our cameras, we use a black plastic “swarm marker” that resists the flow regimes where midges live in the wild; swarms form above this marker. We film the swarms with three hardware-synchronized 1-megapixel cameras (Photron Fastcam-SA5) at 125 frames per second. The midges are illuminated in the near infrared using 20 LED lamps that draw roughly 3 W of power each; infrared light is invisible to the midges, and so will not disturb their natural behaviour, but is detectable by our cameras. The swarms reported here are substantially smaller (typically 20–30 cm on edge; see Fig. 1d–f) than the 91-cm cubical enclosure, to avoid boundary effects.

The cameras are arranged in a horizontal plane on three tripods, as sketched in Fig. 1. With angular separations of $30^\circ$ and $70^\circ$. To calibrate the imaging system, we assume a standard pinhole camera model. The camera parameters are determined by fits to images of a calibration target consisting of a regular dot pattern. The calibration target is removed before swarming begins. Roughly 5400 frames of data were recorded for each swarming event.

**Tracking individual midges.** To track the motion of individuals in the swarm, we first located the midges on each 2D camera frame by finding the centroids of regions that had sufficient contrast with the background and were larger than an appropriate threshold size. After identification, the 2D locations determined from each camera were stereomatched together by projecting their coordinates along a line in 3D space using the calibrated camera models and looking for (near) intersections. For the results presented here, we have conservatively only considered midges that were seen unambiguously by all three cameras. Although in principle two views are sufficient for stereo imaging, in practice at least three cameras are typically required to resolve ambiguities and avoid false identifications. Arranging all three cameras in a plane, as we do in the laboratory, leaves some residual ambiguity, however, occurs extremely infrequently, and is more than compensated for by the simpler and superior camera calibration that can be obtained when all the cameras are positioned orthogonally to the walls of the midge enclosure.

Once the 3D positions of the midges have been determined at every time step, they are linked in time to generate trajectories using a three-frame predictive particle tracking algorithm that has been shown to perform well even in intensely turbulent fluid flow. The tracking algorithm has been described in detail elsewhere and sample code for a two-dimensional version is available online. Briefly, at every time step, the expected position of the midge is estimated using the prior history of its motion; located midges at subsequent times that are near these estimates are taken to identify trajectories for extending the trajectories. We note that since our swarms are dilute, tracking is relatively easy for these data sets; on average, 97.2% to 99.6% of the trajectories were extended at each time step, depending on the swarm. The total number of midges identified per frame varies from 12 to 111, giving us data sets that range from 6.5 × 10^3 to 6.0 × 10^4 total samples. Finally, we compute velocities and acceleration from the midge trajectories by convolving them with a differeniated Gaussian kernel that both smooths the data and differentiates it. We use several data points to compute each velocity and acceleration, so that our results are more accurate than simple low-order finite differences. These methods have been proved robust.
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