Evolutionary Genomics of a Temperate Bacteriophage in an Obligate Intracellular Bacteria (Wolbachia)

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Abstract

Genome evolution of bacteria is usually influenced by ecology, such that bacteria with a free-living stage have large genomes and high rates of horizontal gene transfer, while obligate intracellular bacteria have small genomes with typically low amounts of gene exchange. However, recent studies indicate that obligate intracellular species that host-switch frequently harbor agents of horizontal transfer such as mobile elements. For example, the temperate double-stranded DNA bacteriophage WO in Wolbachia persistently transfers between bacterial coinfections in the same host. Here we show that despite the phage’s rampant mobility between coinfections, the prophage’s genome displays features of constraint related to its intracellular niche. First, there is always at least one intact prophage WO and usually several degenerate, independently-acquired WO prophages in each Wolbachia genome. Second, while the prophage genomes are modular in composition with genes of similar function grouping together, the modules are generally not interchangeable with other unrelated phages and thus do not evolve by the Modular Theory. Third, there is an unusual core genome that strictly consists of head and baseplate genes; other gene modules are frequently deleted. Fourth, the prophage recombinases are diverse and there is no conserved integration sequence. Finally, the molecular evolutionary forces acting on prophage WO are point mutation, intragenic recombination, deletion, and purifying selection. Taken together, these analyses indicate that while lateral transfer of phage WO is pervasive between Wolbachia with occasional new gene uptake, constraints of the intracellular niche obstruct extensive mixture between WO and the global phage population. Although the Modular Theory has long been considered the paradigm of temperate bacteriophage evolution in free-living bacteria, it appears irrelevant in phages of obligate intracellular bacteria.

Introduction

Bacteriophages, viruses that infect bacteria, play a major role in bacterial genome evolution and ecology through their global abundance [1] and their ability to laterally transfer their genomes between bacteria [2,3,4]. The most common bacterial viruses, the double-stranded (ds)DNA tailed phages, outnumber prokaryotic cells by 10-fold in environmental samples [5] and are responsible for the majority of intraspecific genome diversification in bacteria [6,7,8]. This diversification is due in part to bacteriophages triggering genomic rearrangement in their host bacteria and transmitting new genetic material both within and sometimes between different bacterial species. A striking example of bacteriophage diversity is found in Mycobacterium smegmatis, where eighty different dsDNA tailed phages from 21 different viral clusters are present [9,10,11,12]. Bacteriophages are also known to alter bacterial cell biology by facilitating the transfer of virulence factors such as superantigens, extracellular toxins, effector proteins that modulate host-cell invasion, and host-cell adhesion factors [13].

The Modular Theory of dsDNA phages, as originally proposed by Botstein (1980), asserts that phage genomes consist of conserved clusters of functionally-related genes (i.e. modules) that can be interchanged by horizontal transfer among a large common phage gene pool [14,15]. These phage modules are composed of contiguous sets of genes involved in a similar function, such as head assembly, tail formation, or regulation of the lysis and lysogeny cycles. While the genome of the phage is the total composite of the phage’s DNA, the Modular Theory asserts that phage evolution primarily acts at the level of gene modules due to promiscuous module exchange between unrelated phages, where essentially one module is replaced with another that has the same general biological function. Comparative approaches suggest modularity and mosaicism are major evolutionary hallmarks of dsDNA phages. However, generalizing the principles to all dsDNA phages will require an expanded analysis of phage genomics in diverse ecological ranges [16]. In this regard, obligate intracellular bacteria, which live and replicate within the cytosol of host cells, are an ideal test of the Modular Theory since the intracellular niche may pose ecological restraints on exposure to novel phage gene pools.

The genome sequences of obligate intracellular bacteria have brought a renewed interest in mobile element evolution in bacteria prone to genome reduction [17,18,19,20,21,22,23,24,25,26,27,28,29,30]. Comparative analyses of multiple genomes of obligate intracellular species demonstrate that although these bacteria have tiny genomes, their ecological range correlates with mobile element abundance. Specifically, species that host-switch tend to
harbor significantly more mobile elements than those species that transmit vertically through the maternal line [31,32]. Of the mobile elements studied in obligate intracellular bacteria, temperate bacteriophages are noteworthy for their ability to spread intercellularly [28,33,34,35] and diversify the host bacterial genome [36].

At least three arguments suggest phages from host-restricted bacteria may not evolve by the Modular Theory. First, point mutations can be the major cause of phage diversification [37] across a core genome that is recalcitrant to lateral gene transfer. Second, some phage types, such as the T4-like phages, show a mixed genomic structure involving both modular exchanges and a conserved core genome [38]. Third, and perhaps most importantly, constraints on phage evolution in a restricted intracellular niche could suppress recombination with novel gene pools and lead to a preponderance of single nucleotide mutations and deletions.

Here we test for the first time whether the Modular Theory governs the genome evolution of double-stranded DNA phages in an obligate intracellular genus (Wolbachia). The WO bacteriophages are an ideal system to discern the evolutionary forces that are shaping phage genome and protein evolution in obligate intracellular bacteria. Wolbachia are predicted to infect two out of three arthropod species globally [39,40,41] along with 90% of filarial nematode species [42]. Extrapolation of the infection frequency using estimates of the number of arthropod species makes this bacterium one of the most infectious microbes on the planet. Wolbachia are transmitted both vertically within species and horizontally between species, which promotes a higher exposure rate to novel gene pools. For this study, we selected sequences from three complete Wolbachia genomes (αMel, αPip, and αRi) containing WO prophages [29,30,43] and complete prophage sequences from two additional Wolbachia (αCauB and αVitA) [28,44]. These five Wolbachia induce cytoplasmic incompatibility, a reproductive modification that typically results in embryonic lethality between crosses of infected males and uninfected females. Each fully sequenced Wolbachia genome contains two to five WO prophage WO haplotypes, demonstrating that phage diversity is common within Wolbachia genomes. Molecular surveys have placed bacteriophage WO in 89% of Wolbachia from the A and B phylogenetic superfamilies that infect arthropods [45,46]. Thus, the abundance of phage WO and its ability to rapidly transfer between Wolbachia coinfections [28,33,35,45] in one of the most prevalent bacterial infections in animals demonstrates its potential impact on bacterial symbiont diversity and host-symbiont interactions.

To determine the molecular evolutionary forces shaping WO prophage genomes, we addressed four interconnected questions. (i) First, does the Modular Theory explain the genetic changes in WO genomes or do point mutations provide most of the genetic diversity? (ii) Second, does the obligate intracellular niche constrain the acquisition of new genes and/or modules in WO prophages? (iii) Third, is the WO integration site and mechanism conserved in Wolbachia? We explore WO integration by comparing the recombinases encoded in each WO type and the areas of the host Wolbachia genome surrounding the integrated prophages. (iv) Finally, what is the relative strength of selection and recombination on prophage WO protein evolution across the functional modules of the genome?

Results

I. Does the Modular Theory explain the evolution of prophage WO genomes?

Comparative sequence analyses of 16 prophage WO genomes from Wolbachia strains that induce cytoplasmic incompatibility (Table 1) specify the largest WO prophages, WOCauB2 and WOCauB3, as 43.2 kb (46 genes) and 45.2 kb (47 genes), respectively. We define each prophage as a contiguous set of phage-related genes and each haplotype as a genetic variant of the prophage WO family. There are six haplotypes that are capable of forming virions, including WOCauB2 and WOCauB3 [47], WOVirA1 [28,48], and at least one haplotype each from Wolbachia infecting Culex pipiens [49], Drosophila simulans, and D. melanogaster [50]. For the analyses below, the prophage region cutoffs for each haplotype are estimated according to the terminal genes of the WOCauB2 and WOCauB3 reference genomes. The first and main observation from these comparisons (Figure 1) is that the WO haplotypes do not exemplify one of the two patterns consistent with the Modular Theory [14]. While the genomes are modular in nature, there is no evidence of promiscuous exchange of functional modules between unrelated phages. The closest sequence relatives of all gene modules in WO are from other WO haplotypes based on nucleotide and protein BLAST searches. Thus, the recent, genetic changes of prophage WO within the niche of Wolbachia principally arose from descent with modification. However, the ancestral gene modules of WO were previously annotated to be from diverse phages [44].

Second, each Wolbachia strain has at least one complete prophage with head, baseplate, virulence, and tail modules in addition to one or more partial prophages (Figure 1). We classify partial prophages as genomes that lack one of these modules; they are unlikely to be active by themselves as they are generally missing tail genes that are required for adsorption and infection. However, an intact copy of each known structural gene in a Wolbachia genome could allow for bacteriophage protein “commandeering” where the prophages lack the tail module could use proteins encoded by the other functional haplotype within the genome to complete their assembly and movement. Alternatively, these partial prophages may form virions that are tailless or they do not form virions at all.

The presence of partial prophage sequences can be explained by three possible scenarios: (i) recurrent infections by new WO types followed by degeneration, (ii) duplications of the resident WO haplotype(s) by errors in replication or recombination, followed by degeneration of one of the copies, or (iii) a combination of the two scenarios. To distinguish these alternatives, we compare the average nucleotide identity of seven WO prophage genomes within each Wolbachia to that between different Wolbachia (Table S1). If the haplotypes arose by duplication within a Wolbachia genome, then we expect to observe higher prophage sequence homology within a Wolbachia genome than between them. Six of the genes selected for this analysis are homologs of WOCauB2 genes gp17, gp18, gp19, gp21, gp22, and gp23; they occur in all of the prophages. The seventh gene is a homolog of WOCauB2 gp15 that is absent only in WOPip4. The average nucleotide identity of these prophage genes within a Wolbachia genome ranged from 53.4% in αCauB (gp23) to 99.1% in αPip (gp15) [Table S1]. The genes analyzed from most WO phages never had the highest level of nucleotide identity with another prophage in the same Wolbachia genome. For example, WOMelB and WORIA-1 and WORIA-2 (identical copies, hereafter referred to as WORIA) are more closely related to each other (99.9% identical) than to the other prophages within their Wolbachia genomes (75.6% in αMel and 80% in αRi). One exception is strain αPip from Culex pipiens, where prophage WO genes are more likely to have their closest homolog in the same genome. The genes in WOPip1, WOPip2, and WOPip3 are most closely related to each other, with an average of 93.5% similarity, when compared to other WO prophages (MWU, two-tailed,
Table 1. Prophage strains used in this study.

| Prophage | Host insect | Common Name | Wp group | Wp strain | Rp | ORFs | Head/Bp | Vir./Tail | Rec. | Rep. | Unchar. | Ref |
|----------|-------------|-------------|----------|-----------|----|------|---------|----------|------|------|---------|-----|
| WOCauB2  | Cadra cautella | moth | B | wCauB | Cl | B2gp1-gp47 | gp11-gp27 | gp28-gp47 | gp1-gp3 | gp4-gp10 | NA | [44] |
| WOCauB3  | Culex pipiens | mosquito | B | wPip | Cl | B2gp1-gp46 | gp12-gp28 | gp29-gp46 | gp1-gp3 | gp4-gp11 | NA | [20] |
| WOPip1   | Culex pipiens | mosquito | B | wPip | Cl | WPa_0242-0272 | WPa_0242-0261 | NA | NA | WPa_0242-0272 | NA | [20] |
| WOPip2   | Culex pipiens | mosquito | B | wPip | Cl | WPa_0297-0322 | WPa_0301-0318 | NA | NA | WPa_0319-0322 | NA | [20] |
| WOPip3   | Culex pipiens | mosquito | B | wPip | Cl | WPa_0323-0342 | WPa_0323-0336 | NA | NA | WPa_0337-0342 | NA | [20] |
| WOPip4   | Culex pipiens | mosquito | B | wPip | Cl | WPa_0411-0455 | WPa_0415-0430 | WPa_0438-0455 | WPa_0411-0414 | WPa_0433-0437 | WPa_0431-0432 | [20] |
| WOPip5   | Culex pipiens | mosquito | B | wPip | Cl | WPa_1294-1340 | WPa_1294-1311 | NA | NA | WPa_1312-1318 | WPa_1319-1320 | [20] |
| WOMelA   | Drosophila melanogaster | fruit fly | A | wMel | Cl | WD_0261-0288 | WD_0261-0284 | NA | NA | WD0285-0288 | NA | [30] |
| WOMelB1  | Drosophila melanogaster | fruit fly | A | wMel | Cl | WD_0565-0610 | WD_0593-0605 | WD_0581-0565 | NA | WD_0582-0592 | WD_0606-0610 | [40] |
| WOMelB2  | Drosophila melanogaster | fruit fly | A | wMel | Cl | WD_0634-0644 | WD_0638-0644 | NA | WD_0634-0637 | NA | NA | [43] |
| WORIA-1  | Drosophila simulans | RL | fruit fly | A | wRi | CI | wRl_005400-005720 | wRl_005460-005650 | wRl_005400-005450 | wRl_005660-005720 | NA | [43] |
| WORIA-2  | Drosophila simulans | RL | fruit fly | A | wRi | CI | wRl_010060-010380 | wRl_010120-010310 | wRl_010060-010110 | wRl_010320-010380 | NA | [43] |
| WORIB    | Drosophila simulans | RL | fruit fly | A | wRi | CI | wRl_006880-007250 | wRl_007230-007070 | wRl_007060-006880 | wRl_007240-007250 | NA | NA | [43] |
| WORIC    | Drosophila simulans | RL | fruit fly | A | WOr | Cl | wRl_012450-012670 | wRl_012670-012470 | wRl_012450-012460 | wRl_012460 | wRl_012460 | wRl_012460 | NA | NA | [43] |
| WOVitia1 | Nasonia vitripennis | wasp | A | wVitA | Cl | VA1gp1-gp3 | VA1gp5-gp23 | VA1gp35-gp51 | VA1gp1-gp4 | VA1gp27-gp34 | VA1gp24-gp26 | [28] |
| WOVitia2 | Nasonia vitripennis | wasp | A | wVitA | Cl | VA2gp1-gp39 | VA2gp9-gp31 | VA2gp1-gp3 | VA2gp4-gp8 | VA2gp32-gp39 | NA | [20] |
| WOVitia4 | Nasonia vitripennis | wasp | A | wVitA | Cl | VA4gp1-gp31 | VA4gp5-gp27 | VA4gp1-gp4 | NA | VA4gp28-gp31 | NA | [20] |

Wp- Wolbachia pipientis; Rp- reproductive parasitism; CI – cytoplasmic incompatibility; NA – not applicable; Bp – Baseplate; Vir. – Virulence; Rec. – Recombinase; Rep. – Replication; Unchar. – Uncharacterized. WOVitia3 was not analyzed because the initial identification via PCR product published in [45] was found to be a chimera. For the purposes of this study, WOMelB1 and WOMelB2 are combined into one haplotype, WOMelB; see text for justification. Similarly, WORIA-1 and WORIA-2 are analyzed as one haplotype, WORIA, since they are identical copies.

P<0.001). For five of the seven genes and four out of six genes, respectively, WOPip5 and WOPip4 are most closely related to another Wp Pip prophage. Therefore, with the exception of Wp Pip that appears prone to within-genome WO duplications, independent acquisition of new WO haplotypes explains the variation within a single Wolbachia genome.

A third observation of prophage WO genomes is that while the genes are syntenous within modules, the position of the modules within the prophages is highly variable. For instance, Figure 1 shows that in WOCauB2, WOCauB3, and WORiB, the head, baseplate, and tail genes are oriented in the same direction. However, in WOPip4, WOPip5, and WOVitia1, the head and baseplate modules are inverted relative to the tail module. In WOVitiaB, the head and tail module (denoted WOMelB1) are contiguous but inverted from each other, while the presumed baseplate module and the recombinase (WOMelB2) that belong to this prophage are located 34.7 kb downstream. These two fragments of the prophage were putatively conjoined at one point because they are proximate to each other. The insertion between them is derived from a lateral transfer event with a Rickettsia plasmid [36], and the two prophage fragments complement each other exactly to make an intact prophage. Interestingly, despite the orientation of the other modules in the genome, the recombinase gene is always positioned so that the 3’ end is adjacent to the flanking region of the prophage (Figure 1), which typically contains an ankyrin repeat family protein.

WO is temperate and should therefore have identifiable endolysin genes. Surprisingly, the prophages do not contain a conserved endolysin, despite electron micrograph evidence that phage WO can lyse Wolbachia [35,48]. No holins and only two lysosomes (in WOVitia4 and WORiC) were identified in the WO prophages. Therefore, the proteins encoding the lysin by which WO exits the bacterial cell may be novel. The patatin-like phospholipase encoded on the terminal portion of the tail module could be involved in cell exit or entry of the bacteriophage. Likewise, other than the integrase, proteins that comprise a typical lysogeny module, such as transcriptional regulators, are unidentified.

A RepA-family helicase, a primase, and a sigma-70 subunit that may direct the bacterial RNA polymerase to these genes for initiation of DNA strand synthesis are present in the predicted replication module. At least one of the genes is encoded in ten of the sixteen WO prophages, but only six WO genomes encode all three genes. Two other genes with a predicted function, a Holliday junction resolvase/endonuclease and a DNA methylase, are present that could be involved in DNA replication and packaging.
These two genes are adjacent to each other and lie between the replication and head modules in the ten prophages for which they are both present. The endonuclease could assist DNA packaging of mature phage heads by cleaving branched DNA structures of replicated phage DNA. The methylases may modify the packaged phage DNA such that it becomes resistant to bacterial restriction systems. The endonuclease is present in three additional prophages in which the methylase is absent. Interestingly, the endonuclease and methylase genes are oriented so that they appear to be part of the head/baseplate modules and not the replication module. The endonuclease is likely to degrade either bacterial DNA to inhibit the host during WO’s lytic cycle or superinfecting phage DNA. In three prophages (WOMelB, WORiA-1, and WORiA-2), a second repA gene is present and adjacent to a SNF2-family helicase. In WOPip2, the SNF2 helicase is part of the replication module that also contains a single repA and the sigma-70 subunit.

II. Does the obligate intracellular niche constrain the acquisition of new genes in WO phages?

Figures 1 and 2 show that WO is comprised of core genes that are present in nearly all WO types and dispensable genes that are only present in some prophage WO types. When comparing gene content between the prophages with and without tail genes, there is a demarcation in whether certain functional modules are preserved. Across all genomes, the baseplate and head modules span 15 genes and may comprise a single module based on gene orientation and the close proximity of reading frames. These modules are also present in nearly all WO genomes (Figure 2). Furthermore, an integrase gene is present in 14/16 WO genomes, but this gene is highly variable and groups into three major phylogenetic clusters (Figure S1). For example, the family of integrase found in WOCauB2 is only present in four other WO genomes. In contrast, the dispensable gene clusters chiefly include the replication and tail/virulence gene modules. However, when just considering the prophages with tail genes, the tail genes and putative virulence genes VrlA, VrlC, and patatin are present in 100% of these WO prophages (Figure 2), suggesting that these genes play a functional role in tailed WO or Wolbachia biology.

Indeed, patatin was originally annotated as a storage protein in potatoes, but they also have the lipolytic activity of phospholipase, catalyzing the cleavage of fatty acids from membrane lipids. Such enzymatic activity would be especially useful for phage WO when entering or exiting a membrane-bound intracellular bacteria.

Notably, there are a few WO prophages that contain genes that are not present in the reference genome of WOCauB2. These ‘unique genes’ are summarized in Table S2 and encode conserved hypothetical proteins, an M1 lysozyme, an addiction module toxin, and an M1 lysozyme...
an RNA-directed DNA polymerase, a helicase of the SNF2 family, and a DNA methylase. The presence of an addition module toxin but not an antitoxin gene to rescue it is unexpected. Toxin-antitoxin loci are common in mobile elements of free-living bacteria and employed as post-segregational killers to spread the mobile genetic elements they are associated with. The observation of a toxin gene in prophage WO without an antitoxin complement may indicate that this toxin has evolved a new function in the intracellular niche, such as killing the host Wolbachia cell during lysis. The presence of a DNA methylase in WO is also interesting, as it is present in a high fraction of WO haplotypes (9/16). Methylases are common on bacteriophages and may modify the DNA such that it becomes resistant to bacterial restriction systems.

Additionally, a few genes only occur once within the 16 WO genomes, making them unique to that particular prophage haplotype (Figure 3A, Table S3). These genes can comprise up to 13% of a prophage genome and are distributed broadly across 12/16 prophages with the exception of WOMelA, WOPip2, WOMelB2, and WOCauB2. Unique prophage genes can be classified into two groups – those that differentiate WO genomes but that also occur in other locations in the Wolbachia genome, including conserved hypothetical genes and a prophage uncharacterized gene (Table S3), and those genes that are found solely within the WO genome, including insecticidal toxin gene SpvB of WOCauB3, a bleomycin resistance gene found in WOPip4, ankyrin repeat protein-encoding genes, and conserved hypothetical protein-encoding genes.

As the prophage functional modules are comprised of operons that could be disrupted or enhanced by the acquisition of new gene content, we assessed if unique genes to a WO genome occurred in specific modules of the genome or randomly across the prophage genome. Novel genes are distributed in all prophage modules, with the highest percentage of novel genes found in the head/baseplate region (39.3%) and the virulence/tail region (25%). The remaining 10.7%, 7.1%, and 17.9% of unique genes are found in the replication, recombinase, and uncharacterized modules, respectively. The uncharacterized areas are found either between the head/baseplate module and the tail module (WOVisA1 and WOPip5) or the terminal region of the prophage upstream from the head genes (WOVisA4, WORiA, WOPip1, WOPip2, WOPip4, WOMelA, and WOMelB1). However, after normalizing the data to the gene number of these different regions (Figure 3B), as larger regions with more genes could contain more unique DNA, the fractions of unique genes per module were 3.9% (11 unique genes/285 total genes) for the head/baseplate module, 4.2% (2/48) for the recombinase region, 5.5% (7/127) for the virulence/tail module, 4.8% (3/63) for the replication module, and 15.2% (5/33) for the regions not assigned to a specific module. In summary, prophage WO is capable of acquiring a limited number of novel genes throughout the prophage WO genome, especially in the uncharacterized regions that may be under relaxed selection relative to the structural or lifecycle modules.

WO genomes are also clearly prone to degradation due to transposon insertions from multiple different families and gene mutations that lead to non-functional proteins (Figure S2A). One genome lacking a tail module, WORiC, and one genome for which the core modules are separated by a large genomic segment, WOMelB1, contain the highest fractions of pseudogenes (13.6% and 8.9%, respectively), including mutations to genes required to generate an active phage particle. The other five prophage genomes for which pseudogenes are present contain a lower percentage (2.1–7.1%) of pseudogenes. After the data is normalized to account for the number of total genes in each module, transposons are also most frequent in the uncharacterized modular region (2/33 or 6.06%). Interestingly, there is little difference between degradation in prophages with and without tail genes, as 44% of pseudogenes are found in prophages with tail genes and 56% are found in prophages without tail genes (Fisher’s exact test, two tailed, p = 0.7395).

III. Is the WO integration site and mechanism conserved in Wolbachia?

To determine if the WO recombinases are homologous in Wolbachia, and thus mediate integration in a similar fashion, a
protein alignment of the 14 site-specific recombinases was constructed. Three major families of integrases are represented in the WO prophages (Figure S1). First, the integrases encoded on a *Nasonia vitripennis* wVitA non-phage genome segment and WORiB are members of a family of phage-related tyrosine recombinases (93.9% amino acid identity) with the closest homolog found in *Ehrlichia canis*. Second, the integrases of WOPip2, WOPip3, WOPip4, WOVitA4, WOMeIA and WOMeIB are not closely related to the above integrases and belong to the serine recombinase family, and thus function using a different mechanism than the tyrosine recombinases [51]. These WO integrases share 84.4% amino acid identity. Finally, there are two more subgroups of recombinases including those in WOCauB2, WOCauB3, WOVitA2 and a WO remnant from wRi (96.4% amino acid identity) and those in WOVitA1 and WORiC (83.3% amino acid identity). These two groups of integrases also belong to the serine recombinase family. The high level of genetic and functional diversity in the recombinase genes supports the lack of a common integration site for all WO haplotypes and could be an indication of mosaic evolution that appears to not extend to other prophage WO modules.

In order to confirm that members of prophage WO do not target conserved gene sequences for integration, the genes flanking prophage WO were compared. While there is no conserved gene set in all WO flanking regions, there are similarities between some WO types (Figure 4). Four prophages spanning three haplotypes (WOMeIB, WORiA1, WORiA2, WOVitA2) have termini that are adjacent to a group of eleven genes also found in a plasmid from a *Rickettsia* symbiont of *Ixodes scapularis* ticks [52]. The average pairwise nucleotide identity between these four prophages in this region is 85.3%. In all but WOVitA2, the gene preceding this cluster is an SNF2-family helicase that, in eukaryotes, can regulate transcription, maintain chromosome stability during mitosis, and process DNA damage [53]. The presence of these genes within a prophage region was first reported by Ishmael et al. [36], who also demonstrated by microarray analysis that three closely-related *Wolbachia* infections from fruit flies (wAu, wSim, and wSan) contained the same genetic region. The more divergent *Wolbachia* infections of wPip and wBm do not have this region. A BLASTx search determined that this region is found in the genomic shotgun sequences of wWil and wAna of *Drosophila*.

Immediately downstream from this conserved gene cluster in WOMeIB and WORiA is a second set of conserved genes. These genes are also found adjacent to the phage terminal patatin gene in the phages WOPip1 and WOVitA1 (Figure 4), indicating a possible deletion of the *Rickettsia* homologs after prophage integration. These genes are oriented in the same direction, indicating that they may be cotranscribed, and include transcriptional regulators, the DNA repair protein RadC, and a conserved hypothetical protein. In WOVitA1 and WOPip1, this region extends to the DNA mismatch repair gene mutL. Interestingly, the region downstream of these genes in WOVitA1 (consisting of ankyrin repeat genes, the heat shock protein hspC2, and a hypothetical protein) is adjacent to the patatin in WORiB. This gene group is oriented in the same direction relative to each other, but opposite to the RadC gene cluster.

Figure 3. Unique genes in WO genomes are rare and scattered across functional modules. (A) The percentage of genes specific to a single prophage WO haplotype was calculated. (B) The percentage of unique genes present in each functional region across all WO prophages and standard error was identified by calculating the number of unique genes divided by the number of genes in the module.

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Strikingly, we found throughout the entire phage genome that the average value per gene was <1, indicating that WO phage genes are overall under strong, purifying selection (Table 2). Individual residues rarely experience significant, positive selection. The only exception in the dataset is gp15, which is predicted to encode phospholipases of the patatin family that may facilitate phage entry or exit into or out of the Wolbachia cell by digesting lipids. Its four 3’ terminal nucleotides are under significant, positive selection (mean $\omega = 6.61$–$6.69$; posterior probability of positive selection $>0.95$).

To statistically detect recombination within WO loci, we used the program LDhat [57], which analyzes sequence alignments and estimates the significance of intragenic recombination and the population rate of recombination ($2\mu_r$). It has been widely applied in several systems [57], including Helicobacter pylori, HIV, human mtDNA, and Wolbachia [43,58]. Four estimates were calculated (Table 2) across genes that occurred in all prophages, or prophages that are separated into those with and without tail genes: (i) the population mutation rate ($2\omega_m$), (ii) the correlation coefficients of linkage disequilibrium (LD) with distance, (iii) the significance of the correlation using three different permutation tests, and (iv) the population recombination rate ($2\mu_r$) per locus under a coalescent framework. Genes used in the analysis are listed in Table S4 and Table S5 and were chosen based on the criteria that these genes lacked stop codon mutations. Sampling of the prophage taxa was restricted to the fully coding prophages with tail genes, WOCauB2, WOCauB3, WOPip5, and WOPip1, and the prophages without tail genes, WOPip1, WOPip2, WOPip3, WORia, and WOVitA4.

To determine if specific genes/modules are more likely to recombine than others, it is helpful to control for variation in population sizes [$N_t$] that can affect the estimates of recombination rate. The ratio $2\omega_m/2\mu$ reduces to $2\omega_m/2\mu$, and then to $r/\mu$, yielding the likelihood of a base pair experiencing a recombination event relative to mutation in a given gene. The $r/\mu$ ratio for head and baseplate genes is notably different between the prophages with and without tail genes, where there is a significant eight-fold difference between the recombination rates (0.056, tailed vs 0.007, nontailed; MWU, two tailed $p = 0.007$) (Table 2). All seven genes analyzed from the head/baseplate modules for both the prophages with and without tail genes show evidence of recombination (at least 2/3 permutation tests with a $p<0.05$, Table 2). Seven of the nine genes in the virulence/tail module are positive for recombination; only the tailU (gp40) and late control D (gp42) genes do not show recombination (Table 2).

Among the prophages with tail genes, three genes in particular account for the higher rates of recombination relative to mutation in comparison to the prophages without tail genes: major capsid gene gp19 (0.167), putative virulence VrlC homolog gp30 (0.097), and conserved hypothetical gp51 (0.132) (Table 2). When just
Table 2. Recombination and Selection in WO genes.

| Gene | Length | n | 0w | r2, d | |D|, d | Pw | Pr2 | P|D| | 2Nw/r/Locus (-lnL) | 2Nw/site/0w | Mean |
|------|--------|---|-----|-------|------|-------|---|-----|-----|----------------|----------------|-----|
| Untailed | | | | | | | | | | | | |
| gp15 | 222 | 6 | 0.105 | −0.170 | na | 0.86 | 0.001 | 0.002 | 0(−13173.81) | 0.000 | 0.111 |
| gp17 | 957 | 6 | 0.119 | −0.052 | na | 0 | 0 | 0(−304995.29) | 0.000 | 0.179 |
| gp18 | 366 | 6 | 0.111 | 0.177 | na | 0.004 | 0 | 0 | 0(−40621.8) | 0.000 | 0.303 |
| gp19 | 1002 | 6 | 0.069 | −0.033 | −0.028 | 0.372 | 0.014 | 0.012 | 0(−118253.22) | 0.000 | 0.052 |
| gp21 | 387 | 6 | 0.145 | −0.129 | −0.069 | 0 | 0 | 0 | 1.0−70346.48 | 0.018 | 0.277 |
| gp22 | 462 | 6 | 0.154 | −0.031 | 0.015 | 0.001 | 0.015 | 0.843 | 1.0−95555.08 | 0.014 | 0.206 |
| gp23 | 438 | 6 | 0.119 | −0.115 | −0.085 | 0 | 0 | 0 | 1.0−68581.6 | 0.019 | 0.199 |
| Tailed | | | | | | | | | | | | |
| gp15 | 222 | 6 | 0.142 | −0.077 | −0.044 | 0 | 0.001 | 0.11 | 2.0−22892.43 | 0.063 | 0.090 |
| gp17 | 957 | 6 | 0.131 | 0.063 | 0.073 | 0 | 0 | 0 | 2.0−309686.81 | 0.016 | 0.190 |
| gp18 | 366 | 6 | 0.134 | −0.148 | −0.037 | 0 | 0 | 0.004 | 2.0−50448.29 | 0.041 | 0.286 |
| gp19 | 1002 | 6 | 0.083 | −0.036 | −0.028 | 0 | 0 | 0 | 1.4−154609.48 | 0.167 | 0.056 |
| gp21 | 387 | 6 | 0.165 | 0.026 | 0.004 | 0 | 0.002 | 0.414 | 1.0−84186.15 | 0.016 | 0.217 |
| gp22 | 462 | 6 | 0.183 | −0.081 | −0.006 | 0 | 0 | 0.251 | 5.0−117532.59 | 0.059 | 0.173 |
| gp23 | 438 | 6 | 0.214 | 0.012 | −0.015 | 0.002 | 0.091 | 0.028 | 3.0−136640.64 | 0.032 | 0.204 |
| gp28 | 681 | 6 | 0.150 | 0.143 | 0.051 | 0 | 0 | 0 | 4.0−210583.82 | 0.039 | 0.155 |
| gp30 | 1173 | 6 | 0.096 | 0.076 | 0.015 | 0 | 0 | 0.978 | 11.0−275129.17 | 0.097 | 0.094 |
| gp31 | 189 | 6 | 0.160 | −0.198 | −0.108 | 0 | 0 | 0 | 4.0−17092.57 | 0.132 | 0.171 |
| gp32 | 1230 | 6 | 0.120 | −0.228 | −0.070 | 0 | 0 | 0 | 1.0−534906.31 | 0.007 | 0.274 |
| gp37 | 495 | 6 | 0.143 | 0.037 | −0.041 | 0 | 0.006 | 0 | 2.0−104015.99 | 0.028 | 0.044 |
| gp40 | 336 | 6 | 0.220 | 0.007 | 0.003 | 0.636 | 0.254 | 0.645 | 0.0−110168.19 | 0.000 | 0.241 |
| gp41 | 171 | 6 | 0.207 | 0.065 | −0.043 | 0.532 | 0.017 | 0.033 | 0.0−18167.72 | 0.000 | 0.201 |
| gp42 | 867 | 6 | 0.196 | 0.003 | −0.005 | 0.999 | 0.157 | 0.089 | 0.0−516916.65 | 0.000 | 0.226 |
| gp45 | 906 | 6 | 0.155 | −0.155 | −0.086 | 0 | 0 | 0 | 1.0−393658.44 | 0.007 | 0.236 |

| w – the population mutation rate per site; r – correlation coefficient between pairs of loci; r2 – correlation of r2 with distance; |D|, d – correlation of |D| with distance; Pw, Pr2, P|D| – probabilities resulting from testing the null hypothesis of no recombination with a likelihood permutation test; 2Nw/Locus (-InL) – the population recombination rate per locus under a coalescent framework; 2Nw/site/0w – the population recombination rate per locus per site; Mean = the mean ratio of ds/ds.

comparing the rate of recombination between modules within the prophages with tail genes, the tail module had the lowest rate of recombination (0.016), which is 3.6-fold lower than the head/baseplate module (0.056; MWU, two-tailed, p = 0.01) and 3.4-fold lower than the virulence module (0.058; MWU, two tailed, p = 0.03).

We also determined the average genetic distance, which is the average proportion of amino acid substitutions between a pair of proteins within a gene family, between homologs of genes across prophage WO (Figure 5). Proteins from the head region are the most evolutionarily conserved (average 0.1498) and have a significantly reduced genetic distance relative to the baseplate region (average 0.3218, MWU, two-tailed, p = 0.004) but not to the tail region (average 0.2484, MWU, two-tailed, p = 0.31). Elevated rates of change in the baseplate and some tail protein sequences is further evident by their similar genetic distances to the hypervariable Wolbachia surface protein wsp.

Discussion

A null hypothesis for the evolution of dsDNA phages, known as the Modular Theory, is that phage genomes consist of clusters of functionally-related genes that can be interchanged by horizontal exchange within a large common gene pool [14,15]. This theory of modular and mosaic evolution is well established in phage from free-living bacteria. However, access should not be uniform in the niche of an intracellular bacterium because the host cell is presumably a significant barrier to exchange with the global population of phages. In this regard, obligate intracellular bacteria are an ideal test of the Modular Theory as the intracellular niche may pose ecological restraints on exposure to novel phage gene pools.

In order to determine if Modular Theory applies to dsDNA phages in the obligate intracellular niche, we analyzed the prophage gene pool from Wolbachia. We show that WO prophages do not have a recent history of modular exchange and instead evolve through point mutations, deletion, recombination, and purifying selection. This non-mosaic evolution is also partly seen in the structural genes of the P2 family of phages that infect E. coli [59]. However, unlike P2 where evolution coincides with that of its host, phage WO evolution is incongruent from its host Wolbachia [45], a feature likely due to the rampant phage transfer between Wolbachia coinfections [29,33,34,45].

While phage WO lacks mosaicism, it is modular in structure. The head and baseplate gene modules are found in every copy of WO, and the replication, virulence and tail modules are present in at least one WO type per Wolbachia genome. Given this pattern of one complete and at least one partial phage per Wolbachia genome, it is unsurprising that WO degradation is tolerated and pervasive.
The presence of at least one intact copy of each known structural gene in a Wolbachia genome could allow for bacteriophage protein “commandeering” where the prophages that have mutations or lack the tail module could use proteins encoded on other WO prophages within the genome to complete their assembly and movement. A similar mechanism of transfer by defective phages has been shown for the Sp family from E. coli O157 [60].

Despite a reduced exposure to novel gene pools, WO is not completely immune to acquiring new genes. Transposons are frequently found within WO genomes and are known to play important roles in shaping the genomic diversity of Wolbachia [61,62]. Additionally, the majority of WO prophages contain a few, unique genes with no defined role in phage functionality. Brussow and Hendrix [5] postulate that novel genes located within bacteriophages are frequently under their own transcriptional control and are maintained because they are advantageous to the bacterial host. Although a majority of novel WO genes are located in uncharacterized regions, some could be important to bacterial biology. Several of the novel genes are also found in non-phage regions of the Wolbachia genome, which indicates that WO could be exchanging new genes through recombination with the host genome.

Surprisingly, homologs of several genes required for complete function in dsDNA phages (holins, lysozymes, transcription factors) could not be identified in all prophage WO. It is possible that the large number of conserved hypothetical genes provide those missing functions. There is precedent for such genes that lack sequence homology to known functional phage proteins but perform identical roles [5,63]. Further, the modular structure of phages is conserved among phages that infect Bacteria and Archaea, but there is little to no sequence homology between genes that provide an equivalent function.

Recombinases are one example of WO genes that are diverse in nucleotide identity but are functionally comparable. Prophage WO haplotypes encode a range of recombinases. Since recombinase genes are frequently interspersed throughout the Wolbachia genome, it is easy to extrapolate that recombination between WO and the host genome facilitates the switching of prophage recombinases. The diversity of recombinases in WO correlates with the apparent lack of a consensus in their integration site. Unlike prophages that recombine into a specific gene, such as a tRNA gene, the integration point of prophage WO cannot be predicted and seems to vary based on the prophage haplotype.

Evidence from ssDNA phages that do not evolve modularly has shown that structural genes (“self” genes) are more evolutionarily conserved, while genes that interact with the host (“nonself” genes) evolve more rapidly [64]. In this system, the viral coat proteins have fewer than 1% amino acid changes, while the assembly proteins have 1–10% amino acid differences, and the genes required for phage entry and release are more than 10% divergent. This theory offers one explanation for how phages isolated from diverse areas and at different times have a high degree of genetic similarity. The WO prophages follow this trend. The head module, which does not interact with the host, is the most conserved while the baseplate module, which is involved in phage-host recognition, is the most genetically divergent.

Temperate bacteriophages, such as WO, tend to be highly recombinogenic. Within WO, rates of intragenic recombination...
are 8-fold greater in genes from prophages with tail genes than in genes from prophages without tail genes. Since prophages with complete tail modules have a greater chance of forming virions and being transferred into new genomes, the increase in recombination could be due to a wider exposure over time to other WO phages. The question still remains if WO prophages that occur in the same genome can recombine with each other. The nature of selection within prophage genes results in a complex dichotomy between what is advantageous for the bacteria versus what is best for the phage. Prophage WO genes are under strong purifying selection, where deleterious mutations are selected against and removed from the population. One major hypothesis of phage evolution is through illegitimate recombination, which often occurs within open reading frames [65]. If the recombination leads to knockdown of a functional module and lack of a viable phage, the deleterious event will be discarded [66,67,68]. In this case, the phage genes under strong purifying selection are akin to ‘housekeeping’ genes that are conserved to maintain function.

While phage WO is, to date, unique in the obligate intracellular bacteria, a modular dsDNA tailed phage, known as APSE, is present in the facultative symbiont of pea aphids, Hamiltonella defensa [69,70,71,72]. Diversity in APSE is driven by recombination and it has >90% nucleotide identity with other APSE genomes [72], indicating that, like WO, it may not evolve by modular evolution. Other similarities between APSE and WO include the ability to gain novel genes and its variable copy number in host genomes [70]. If the Modular Theory does not apply to both WO and APSE, then it must be considered that phages in bacterial endosymbionts have a reduced ability to exchange DNA with other phages owing to their restricted niche and limited exposure to other phage gene pools.

Materials and Methods

Prophages used in this study

Prophages analyzed in this study were i) from whole genome Wolbachia sequences from the infections of Culex pipiens Pel (wPip, NC_010981) [29], Drosophila melanogaster yw (wMel, NC_002978) [30], Drosophila simulans Riverside (wRi, NC_012416) [43], and ii) from shotgun or partial genome Wolbachia sequences from the infections of Cadra cautella (wCauB, AB161975.2, AB478515.1, AB478516.1) [44] and Nasonia vitripennis (wVitA, HQ906662, HQ906663, and HQ906664) [28]. Prophages were divided into head, baseplate, recombinase, replication, virulence, and tail genes from prophages without tail genes. Since prophages with complete tail modules have a greater chance of forming virions and being transferred into new genomes, the increase in recombination could be due to a wider exposure over time to other WO phages. The question still remains if WO prophages that occur in the same genome can recombine with each other. The nature of selection within prophage genes results in a complex dichotomy between what is advantageous for the bacteria versus what is best for the phage. Prophage WO genes are under strong purifying selection, where deleterious mutations are selected against and removed from the population. One major hypothesis of phage evolution is through illegitimate recombination, which often occurs within open reading frames [65]. If the recombination leads to knockdown of a functional module and lack of a viable phage, the deleterious event will be discarded [66,67,68]. In this case, the phage genes under strong purifying selection are akin to ‘housekeeping’ genes that are conserved to maintain function.

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Identification of phage gene homologs and unique genes

A tblastx search using each annotated gene from the sixteen prophage WO genomes as the query was performed against the NCBI nr database to identify potential homologs identified in a tblastx search of the nr database. To investigate the influence of recombination, the program LDhat [57] was used. LDhat estimates the population recombination rate by composite-likelihood method and employs a parametric approach, based on natural coalescence, to estimate the scaled parameter 2Nr, where N is the effective population size, and r is the rate of recombination. The estimate of the population recombination rate is normalized by the mutation rate (θ), which is estimated using a finite-series version of the Watterson estimator. All the data sets were run through both crossing-over model L and Gene conversion model C with respective gene length and average tract length of 50-bp and 100-bp for the analysis of biallelic sites. Because recombination tract lengths are unknown for Wolbachia and the estimates of 2Nr can be highly dependent on the recombination tract lengths, recombination rates from the genetic exchange model producing the best-likelihood score are presented and should be interpreted with some caution. Nonetheless, all data sets produced the best-likelihood score with a gene conversion, 50-bp tract model. In order to address the strength of adaptive evolution on point mutations, we used the method implemented in OmegaMap [54] that employs a Bayesian approach to parameter estimation that is independent of phylogeny, and therefore, less likely to falsely identify sites subject to diversifying selection in sequences that display clear evidence of recombination [53,56]. The program estimates both variation in selection (σ²) and the variation in relative recombination rate (σ²r) for each site.
population recombination rate (ϕ). The following prior distributions were used for the analyses: μ, k and O\textsubscript{abreaf}: improper inverse, u; inverse with range 0.01–10, p; inverse with range 0.01–10. The variable block model was chosen for both ω and ϕ, with block sizes of 30 and 30, respectively. Analyses were performed with 500,000 iterations and 10 reorderings as suggested in omegaMap documentation (Wilson, 2006). To summarize the data, the Summarize module of omegaMap program, which summarizes the results from every 100th generation of the run, was used. The first 50,000 sequences were discarded as a burn-in.

Mean evolutionary distance
To estimate the level of conservation for individual proteins within the WO phage family, homologous amino acid sequences for each protein were first aligned using ClustalW2 (http://www.ebi.ac.uk/Tools/msa/clustalw2/). The alignment was then imported into the program MEGA4 [78], and the overall mean distance was calculated under an equal input model. This model assumes that each amino acid site has the same substitution rate but adjusts for differing amino acid frequencies in the protein. Gaps within the alignment were ignored so that only sites that were present in all sequences were considered in the analysis. The overall mean distance represents the average proportion of amino acids that differ among the sequences aligned. For example, an overall mean distance of 0.2 indicates that, on average, the homologous proteins differ from one another in 20% of their amino acid residues. For comparison, the overall mean distances of two Wolbachia housekeeping genes, ftsZ and CoxA, and one Wolbachia gene with a high level of variability among strains, wsp, were calculated using protein sequences from the same strains of Wolbachia in which the WO prophages are located. Standard error for each overall mean distance estimate was also calculated by MEGA4 using bootstrap analysis with 500 replications.

Supporting Information
Figure S1 WO Recombinases are Diverse. A neighbor-joining phylogenetic tree based on nucleotide sequences demonstrates that prophage WO recombinases cluster into three major groups. Groups A belongs to the tyrosine-recombinase family. Groups B and C belong to the serine-recombinase family. (TIF)

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