One pion exchange and the quantum numbers of the $P_c(4440)$ and $P_c(4457)$ pentaquarks

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The LHCb collaboration has recently discovered three pentaquark-like states — the $P_c(4312)$, $P_c(4440)$ and $P_c(4457)$ — close to the $D\Sigma_c$ and $D^*\Sigma_c$ meson-baryon thresholds. The standard interpretation is that they are heavy antimeson-baryon molecules. Their quantum numbers have not been determined yet, which implies two possibilities for the $P_c(4440)$ and $P_c(4457)$: $J^P = \frac{1}{2}^-$ and $J^P = \frac{3}{2}^-$. The preferred interpretation within a contact-range effective field theory is that the $P_c(4440)$ is the $J^P = \frac{3}{2}^-$ molecule, while the $P_c(4457)$ is the $J^P = \frac{5}{2}^-$ one. Here we show that when the one pion exchange potential between the heavy-antimeson and heavy-baryon is taken into account, this conclusion changes, with the contrary identification being as likely as the original one. The identification is however cutoff dependent, which suggests that improvements of the present description (e.g. the inclusion of subleading order corrections, like two-pion exchanges) are necessary in order to disambiguate the spectroscopy of the molecular pentaquarks.

I. INTRODUCTION

The $P_c(4312)$, $P_c(4440)$ and $P_c(4457)$ are three hidden-charm pentaquark-like states recently discovered by the LHCb collaboration$^1$. Owing to their closeness to the $\bar{D}\Sigma_c$ and $\bar{D}^*\Sigma_c$ meson-baryon bound states$^{2-8}$ (other explanations include hadrocharmonium$^9$ or a compact pentaquark$^{10,11}$). The most natural identification is that the $P_c(4312)$ is a $D\Sigma_c$ molecule and the $P_c(4440)$ and $P_c(4457)$ are $\bar{D}^*\Sigma_c$ molecules. This interpretation unambiguously predicts the quantum numbers of the $P_c(4312)$ to be $J^P = \frac{1}{2}^-$. In contrast there are two possibilities for the quantum numbers of the $P_c(4440)$ and $P_c(4457)$: $J^P = \frac{1}{2}^-$ and $J^P = \frac{3}{2}^-$. That is, the identification is ambiguous. Yet checking which quantum number corresponds to each one of these two pentaquarks is important to clarify their nature, in particular when confronted with future experimental measurements of their properties. From the recent theoretical models for the spectroscopy and decays of these two molecules, the preferred identification so far seems to be that the $P_c(4440)$ and $P_c(4457)$ are the $J^P = \frac{1}{2}^-$ and $J^P = \frac{3}{2}^- \bar{D}^*\Sigma_c$ molecules$^{3,4,6}$, respectively. On the other hand, from the seminal predictions of molecular hidden-charm pentaquarks we expect the $J^P = \frac{1}{2}^-$ and $J^P = \frac{3}{2}^- \bar{D}^*\Sigma_c$ molecules to be degenerate$^{12,14}$ or for the $J^P = \frac{3}{2}^- \bar{D}^*\Sigma_c$ state to be the lighter than the $\frac{1}{2}^-$ one$^{13}$.

The present manuscript considers this problem from the point of view of spectroscopy within the effective field theory (EFT) framework. Specifically we investigate the effect of including pion exchanges in the masses of the $P_c(4312)$, $P_c(4440)$ and $P_c(4457)$ pentaquarks. Previously Ref. $[16]$ proposed a contact-range EFT to describe the $D\Sigma_c$ molecular states, which was used to predict a $J^P = \frac{3}{2}^- \bar{D}^*\Sigma_c$ molecular pentaquark from the old $P_c(4450)$ peak$[17]$ (where we note that this state was first predicted in Ref. $[14]$). This EFT has been recently used in Ref. $[4]$ to analyze the LHCb pentaquark trio, where the following two conclusions were reached: (i) the molecular pentaquarks belong to a multiplet with seven members (among which we count the aforementioned $\frac{3}{2}^-$ state of Refs. $[14,16]$) and (ii) the preferred quantum numbers for the $P_c(4440)$ and $P_c(4457)$ are $J^P = \frac{1}{2}^-$ and $J^P = \frac{3}{2}^-$, respectively. The first of these conclusions is relatively robust and has been independently confirmed by other theoretical works$^{[4,18,19]}$, while the second is not so stringent, as originally discussed in Ref. $[4]$. Here we review these conclusions from the point of view of a pionful EFT, i.e. a theory that besides contact-interactions also incorporates pions. As we will see the inclusion of pions will be able to change the preferred quantum number identification of the $P_c(4440)$ and $P_c(4457)$ pentaquarks (in agreement with the recent work of Ref. $[19]$ which also considers the effects of pion exchanges).

The central idea of the present manuscript can be summarized as follows. Heavy-quark spin symmetry (HQSS)$^{20,21}$ when applied to hadronic molecules indicates that the interaction among heavy hadrons is independent of the spin of the heavy quarks within the aforementioned heavy hadrons$^{22-26}$. For the case of $D\Sigma_c$ and $\bar{D}^*\Sigma_c$ molecules, this symmetry implies that their S-wave potential takes the form$^{[16]}

\begin{align*}
V(D\Sigma_c, \frac{1}{2}) &= V_a, \\
V(\bar{D}^*\Sigma_c, \frac{1}{2}) &= V_a - \frac{4}{3}V_b, \\
V(\bar{D}^*\Sigma_c, \frac{3}{2}) &= V_a + \frac{2}{3}V_b,
\end{align*}

with $V_a$ and $V_b$ a central and spin-spin contribution that are in principle unknown. If the particles are heavy enough we
can assume that the binding energies are proportional to the potential \( E_a \sim -10 \text{ MeV} \) and \( \frac{3}{2}E_b \sim +5 \text{ MeV} \),

\[
E_a \sim -10 \text{ MeV} \quad \text{and} \quad \frac{3}{2}E_b \sim +5 \text{ MeV},
\]

indeed fits the spectrum of the pentaquark trio. The inclusion of pion exchanges can potentially change this conclusion though. One pion exchange (OPE) contains a spin-spin and a tensor piece: while the spin-spin piece can be easily subsumed into the term \( V_0 \) of the S-wave potential, the tensor piece will effectively generate a central contribution to the \( D^* \Sigma_c \) molecules that is not present in the \( D \Sigma_c \) system. In practice we can modify the previous relations to

\[
V(D^* \Sigma_c, \frac{3}{2}) = V_a - \frac{4}{3}V_b + \delta V_a^T, \\
V(D^* \Sigma_c, \frac{1}{2}) = V_a + \frac{2}{3}V_b + \delta V_a^T,
\]

where \( \delta V_a^T \) is the contribution to the tensor force.\(^\dagger\) If the effective contribution to the binding energy is \( \delta V_a^T \sim -5 \text{ MeV} \), the preferred quantum numbers of the pentaquark trio will change. In fact the following identification

\[
E_a \sim -10 \text{ MeV} \quad , \quad \frac{3}{2}E_b \sim -5 \text{ MeV} \quad , \quad \delta E_a^T \sim -5 \text{ MeV},
\]

also fits the spectrum of the pentaquark trio. However the previous is merely a heuristic argument which has to be supported by concrete calculations. HQSS for heavy hadron molecules does not directly apply to the binding energies, but rather to the potential between heavy hadrons. As a consequence, HQSS will in general not translate into the type of clean relations derived in the previous paragraph. For instance, in analogy to the discussion around Eq. (4) the predictions of pionless EFT prefers indeed the identification of the \( P_c(4440) \) with the \( J^P = \frac{1}{2}^- \ D^* \Sigma_c \) molecule, but there is room for the opposite identification to be possible.\(^\dagger\) In this manuscript we will investigate how the inclusion of pions modify the previous conclusion. In pionful EFT the opposite identification — the \( P_c(4440) \) is the \( J^P = \frac{1}{2}^+ \ D^* \Sigma_c \) molecule — is preferred, yet the conclusion is not particularly strong at leading order. Uncertainties both within pionless and pionful EFT make it not possible to make a strong point based solely on spectroscopy. Yet they suggest a preference.

The manuscript is organized as follows: in Sect. II we review how HQSS applies to heavy baryon-meson molecules, in which we advocate the use of a particular notation — the light-quark notation\(^\dagger\) — for the description of the contact-range and the OPE potential within EFT. In Sect. III we derive the one pion exchange potential for the heavy antimeson-baryon system. In Sect. IV we study the bound state spectrum for the heavy antimeson-baryon system within the pionful EFT and discuss their impact on the quantum numbers of the known hidden-charm pentaquarks. Finally, we present our conclusions in Sect. V.

\footnote{This contribution is not necessarily the same in the spin-\( \frac{1}{2} \) and \( \frac{3}{2} \) molecules (see Ref. \[24\]), but the spin dependence can be reabsorbed in \( V_0 \) leaving an effective tensor contribution which is spin independent.}

II. HEAVY-QUARK SPIN SYMMETRY

In this section we briefly explain how HQSS constrains the interaction between a heavy meson and a heavy baryon. For this, we will use two different notations. The first is the standard heavy superfield notation, in which we define a superfield that groups together the heavy hadrons belonging to the same HQSS multiplet. The second is the light-quark notation, which is based on the quark model and in which we simply write down the light-quark subfield of the heavy hadrons, see Ref. [27] for a detailed exposition and Refs. [15 28] for previous examples of its use.

A. Heavy Superfield Notation

We begin by defining the superfields that are commonly used for the description of heavy meson and heavy baryons. The quark content of the S-wave heavy mesons is \( Q\bar{q} \) with \( Q \) and \( q \) a heavy- and light-quark, respectively. If the spin of the \( Q\bar{q} \) pair couples to \( S = 0 \) we have the ground state heavy meson \( P \), and if it couples to \( S = 1 \) we have the excited heavy meson \( P^* \), where \( P \) and \( P^* \) are degenerate in the limit in which the heavy-quark mass goes to infinity. For the \( P \) and \( P^* \) heavy mesons the non-relativistic superfield is

\[
H_Q = \frac{1}{\sqrt{2}} \left[ P + P^* \cdot \vec{n} \right],
\]

which is adapted from the relativistic definition of Ref. [29]. \( H_Q \) is a 2x2 matrix and \( \vec{n} \) are the Pauli matrices.

For the S-wave heavy-baryons the quark content is \( Qqq \). If the light-quark pair is in the sextet configuration of the SU(3)-flavor symmetry group (the case we will be considering here), the spin of the light-quark pair couples to \( S_L = 1 \). This implies that the total spin of the heavy-baryon is \( S = \frac{5}{2} \) for the ground state \( \Sigma_Q \) and \( S = \frac{3}{2} \) for the excited state \( \Sigma_Q^* \), where \( \Sigma_Q \) and \( \Sigma_Q^* \) are degenerate in the heavy-quark limit. With this we define the non-relativistic superfield as

\[
\vec{S}_Q = \frac{1}{\sqrt{3}} \vec{n} \cdot \Sigma_Q + \Sigma_Q^*,
\]

which again, corresponds to the non-relativistic limit of the superfield originally defined in Ref. [30].

From the \( H_Q \) and \( \vec{S}_Q \) superfields, the most general contact-range Lagrangian with no derivatives we can construct is [16]

\[
\mathcal{L} = C_a \vec{S}_Q^i \cdot \vec{S}_Q^i \text{Tr} \left[ \hat{H}_{Q}^i \hat{H}_{Q} \right] + C_b \sum_{i=1}^{3} \vec{S}_Q^i \cdot (J_i \vec{S}_Q) \text{Tr} \left[ \hat{H}_{Q}^i \sigma_i \hat{H}_{Q} \right],
\]

where \( J_i \) with \( i = 1, 2, 3 \) refers to the spin-1 angular momentum matrices and with \( C_a \) and \( C_b \) coupling constants. Note that the \( H_Q \) superfield refers to the heavy-antimeson. If we particularize for the \( D \Sigma_c \) family of molecules, we obtain the contact-range potential of Table I.
TABLE I. The leading order contact-range potential for the charmed antimeson - charmed baryon system, i.e. the molecular hidden-charm pentaquarks. We show the potential for each particle and spin channel (the “Molecule” and “JP” columns), where the potential depends on two independent couplings $C_a$ and $C_b$. We do not explicitly show the isospin dependence of the couplings, but merely mention that the couplings in the $I = \frac{1}{2}$ and $\frac{3}{2}$ isospin configurations are different.

| $\vec{D}\Sigma_c$ | $\frac{1}{2}$ | $C_a$ |
| $\vec{D}^*\Sigma_c$ | $\frac{3}{2}$ | $C_a - \frac{1}{2} C_b$ |
| $\vec{D}^\prime\Sigma_c$ | $\frac{3}{2}$ | $C_a + \frac{1}{2} C_b$ |
| $\vec{D}^\prime\Sigma^*$ | $\frac{3}{2}$ | $C_a - \frac{3}{2} C_b$ |
| $\vec{D}^\prime\Sigma^*$ | $\frac{3}{2}$ | $C_a + \frac{3}{2} C_b$ |

III. THE ONE PION EXCHANGE POTENTIAL

In this section we derive the OPE potential as applied to the charmed antimeson and charmed baryon two-body system. The derivation employs the light-quark notation presented in Sect. II B. We discuss the coordinate and momentum space versions of the OPE potential and its partial wave projection.

A. Derivation of the Potential

For the pion interactions, we begin by writing the following Lagrangians written in terms of the superfields $H_Q$ and $\vec{S}^\prime_Q$:

$$L_{HHE} = \frac{g_1}{\sqrt{2} f_{\pi}} \text{Tr}[H_Q^\dagger \tau_a \vec{\sigma} \cdot \vec{\nabla} \pi_a H_Q],$$

$$L_{SSS} = \frac{g_2}{\sqrt{2} f_{\pi}} \vec{S}^\dagger \cdot (T_a \vec{\sigma} \pi_a \times \vec{S}_Q),$$

with $g_1$, $g_2$ the axial couplings of the pion to the heavy meson and heavy baryons, respectively, $f_{\pi} = 132$ MeV the pion decay constant, $\tau_a$ the Pauli matrices in isospin space, $T_a$ the $I = 1$ isospin matrices and where the latin index $a$ refers to the isospin. For the axial couplings we choose

$$g_1 = 0.60 \quad \text{and} \quad g_2 = 0.84,$$

where $g_1$ is taken from the $D^+ \to D^0 \pi^+$ decays $[21, 22]$ ($g_1 = 0.59 \pm 0.01 \pm 0.07$) and $g_2$ from the lattice QCD calculation of Ref. $[23]$. We notice that there are several conventions for $g_2$, which are discussed in Ref. $[33]$ (from which one can also find the relations among them). The convention we use here differs by a sign of the one by Cho $[20]$, i.e. $g_2 = -g_2^{\text{Cho}}$. From this Lagrangian we can write the OPE potential as

$$V_{\text{OPE}} = \frac{\mathcal{A}_1(\vec{q}) \mathcal{A}_2(-\vec{q})}{q^2 + m^2},$$

where $\mathcal{A}_1$ and $\mathcal{A}_2$ refer to the non-relativistic amplitudes

$$\mathcal{A}_1 = \mathcal{A}(H_Q \to H_Q^* \pi),$$

$$\mathcal{A}_2 = \mathcal{A}(S_Q \to S_Q^* \pi),$$

in the non-relativistic normalization of the amplitudes used in Refs. $[24, 26]$ (but notice that Ref. $[26]$ uses the normalization of Cho $[30]$ for the axial coupling of the heavy baryon). By specifying $\mathcal{A}_1$ and $\mathcal{A}_2$ for the particular heavy meson and heavy baryon of interest, we can obtain the potential for any of the cases. The procedure ends in seven possible potentials,
one for each of the possible S-wave molecules, which we will not write here in detail.

Alternatively, we can write the Lagrangians of Eqs. (17) and (18) in terms of the light-quark fields within the heavy hadrons:

\[ \mathcal{L}_{dL,S} = \frac{g_1}{\sqrt{2}f_{\pi}} q_L^a \bar{q}_L \cdot \vec{\nabla}(\tau_a \pi_a) q_L, \]
\[ \mathcal{L}_{dL,d} = \frac{g_2}{\sqrt{2}f_{\pi}} d_L^a \bar{d}_L \cdot \vec{\nabla}(\tau_a \pi_a) d_L. \]  

From this, the OPE potential can be written in momentum space as

\[ V_{\text{OPE}}(\vec{q}) = -\frac{g_1 g_2}{2f_{\pi}^2} \bar{\tau}_1 \cdot \vec{\tau}_2 \frac{\vec{q} \cdot \vec{S}_{L2} \cdot \vec{q}}{q^2 + m_{\pi}^2}. \]  

We can Fourier-transform the OPE potential into coordinate space

\[ V_{\text{OPE}}(\vec{r}) = -\frac{g_1 g_2}{6f_{\pi}^2} \bar{\tau}_1 \cdot \vec{\tau}_2 \frac{\vec{S}_{L2} \cdot \vec{r}}{r^2} \]  

\[ + \bar{\tau}_1 \cdot \vec{T}_2 \left[ \hat{\sigma}_{L1} \cdot \vec{S}_{L2} W_C(r) + S_{L12} \hat{S}_{L2} W_C(r) \right], \]

where \( W_C \) and \( W_T \) are defined as

\[ W_C(r) = \frac{g_1 g_2 m^3_{\pi}}{24\pi f_{\pi}^2} e^{-m_{\pi}r}, \]
\[ W_T(r) = \frac{g_1 g_2 m^3_{\pi}}{24\pi f_{\pi}^2} e^{-m_{\pi}r} \left( 1 + \frac{3}{m_{\pi}r} + \frac{3}{(m_{\pi}r)^2} \right). \]

V. PARTIAL WAVE PROJECTION

Strong interactions preserve the total angular momentum \( J = L + S \), but not the orbital angular momentum or spin separately. As a consequence the OPE potential will mix partial waves with the same quantum number \( J \), but different quantum numbers \( L \) and \( S \). If we use the spectroscopic notation \( 2S + 1L \), the partial waves comprising the three pentaquark-like \( D_{\Sigma_c} \) and \( D_{\Sigma_c}' \) molecular candidates are

\[ \langle \check{D}_{\Sigma_c} | \frac{1}{2} \rangle = |_2S_\frac{1}{2} \rangle, \]
\[ \langle \check{D}_{\Sigma_c}' | \frac{1}{2} \rangle = |_2S_\frac{1}{2} \rangle, \]
\[ \langle \check{D}_{\Sigma_c}' | \frac{1}{2} \rangle = |_2S_\frac{1}{2} \rangle, \]
\[ \langle \check{D}_{\Sigma_c}' | \frac{1}{2} \rangle = |_2S_\frac{1}{2} \rangle, \]

plus the corresponding decomposition for the other four \( D_{\Sigma_c}' \) and \( D_{\Sigma_c}'' \) molecular configurations containing S-waves.

The partial wave projection is done by defining a generalized spherical harmonic for the \( 2S + 1L \) wave

\[ Y_{JLM}^{LS}(\Omega) = \sum_{M_S,M_S'} Y_{LM,S}(M_S) Y_{LM,S,M}(J,M), \]

where \( \Omega \) is the solid angle and which can be used to project the potential into the partial wave basis. For the momentum space potential this is done as follows

\[ \langle k, JLS| V(k') | J'L'S' \rangle = \frac{1}{4\pi} \int d\vec{k}' \frac{\mathcal{Y}_{J'M}^{LS}(\hat{k}) \mathcal{Y}_{J'S'}^{LS}(\hat{k}')}{|\vec{k} - \vec{k}'|}, \]

while for the coordinate space potential we have

\[ \langle JLS| V(r) | J'L'S' \rangle = \int d\vec{r} \frac{\mathcal{Y}_{J'M}^{LS}(\hat{r}) \mathcal{Y}_{J'S'}^{LS}(\hat{r})}{|\vec{r} - \vec{r}'|}, \]

where the projection is independent of the third component of the total angular momentum \( M \). In coordinate space a further simplification is possible by noticing that the partial wave projection only involves writing the spin-spin and tensor operators as matrices in the space of the partial waves comprising a particular state:

\[ \hat{\sigma}_{L1} \cdot \vec{S}_{L2} \rightarrow f_{12} \text{C}_{12} \quad \text{and} \quad S_{L12} \rightarrow f_{12} \text{S}_{12}, \]

B. PARTIAL WAVE PROJECTION

IV. THE MOLECULAR PENTAQUARK SPECTRUM

In this section we discuss the description of the LHCb pentaquark trio — the \( P_c(4312), P_c(4440) \) and \( P_c(4457) \) — within the molecular picture in a pionful EFT. We will consider the \( P_c(4312) \) as a \( D_{\Sigma_c} \) bound state and the \( P_c(4440) \) and \( P_c(4457) \) as \( D_{\Sigma_c}' \) ones. The consistent description of the pentaquark trio suggests a slight preference for the quantum numbers \( J^P = \frac{1}{2}^- \) for the \( P_c(4440) \) and \( P_c(4457) \), respectively. The pionful EFT will also lead to the prediction of other four molecular pentaquarks.

A. Bound state equations

We calculate the binding energies of a heavy baryon-antibaryon bound state by plugging the EFT potential into the
whether the EFT potential has been written in momentum or L indicate this situation with the superscript C contact-range piece with two unknown couplings C and a finite-range piece, given by OPE. In all cases we assume that the isospin of the listed molecules is I = 1/2. We determine the value of the C and C couplings from the condition of reproducing the location of the P(4440) and P(4457) resonances, which are known to be close to the DΣ threshold. We do not know however the quantum numbers of the P(4440) and P(4457), but consider two possibilities instead, scenario A and B, where in the first the P(4440) is the 1/2 molecule and in the second the P(4457) is the 1/2 molecule. If a molecular pentaquark becomes unbound but survives as a virtual state (a situation that happens for the DΣ and DΣ systems), we indicate this situation with the superscript V. Calculations are done in momentum space with the regularization described in Eq. (39) and a cutoff Λ = 0.75 to 1.5 GeV.

Lippmann-Schwinger or Schrödinger equation, depending on whether the EFT potential has been written in momentum or coordinate space. For momentum space, the bound state equation takes the form

$$\phi^{J}_{LS}(p) = \sum_{LS'} \int \frac{d^3q}{(2\pi)^3} \frac{\langle p | JLS | q,J'L'S' \rangle}{E - p\cdot q} \phi^{J}_{LS'}(q),$$  \hspace{1cm} (37)$$

where L, S and J are the orbital, intrinsic and total angular momentum, with \( \phi^{J}_{LS} \) the vertex function. This bound state equation can be solved by discretizing this integral equation and finding the eigenvalues of the ensuing linear equations. For coordinate space, we use the reduced Schrödinger equation

$$-u''_{LS} + \frac{La(L+1)}{\ell^2} u_{LS}(r) + \sum_{LS'} V^{J}_{LS,L'S'}(r) u_{LS'}(r) = \gamma^2 u^{J}_{LS}(r),$$  \hspace{1cm} (38)$$

which is a system of coupled ordinary differential equations that can be solved by standard means.

### Table III

| Scenario | Molecule | \( J^P \) | \( B \) (MeV) | \( M \) (MeV) |
|----------|----------|-----------|---------------|---------------|
| A        | DΣ  \( \frac{1}{2} \) | (2) - 7 | 4314 - 4319 \( \frac{1}{2} \) |
| A        | DΣ  \( \frac{1}{2} \) | (1) - 7 | 4378 - 4384 \( \frac{1}{2} \) |
| A        | DΣ  \( \frac{1}{2} \) | Input | 4440.3 |
| A        | DΣ  \( \frac{1}{2} \) | Input | 4457.3 |
| A        | DΣ  \( \frac{1}{2} \) | 27 - 44 | 4483 - 4500 |
| A        | DΣ  \( \frac{1}{2} \) | 16 - 20 | 4507 - 4512 |
| A        | DΣ  \( \frac{1}{2} \) | 4 - 6 | 4520 - 4523 |
| B        | DΣ  \( \frac{1}{2} \) | 0 - 12 | 4308 - 4321 |
| B        | DΣ  \( \frac{1}{2} \) | 0 - 13 | 4372 - 4385 |
| B        | DΣ  \( \frac{1}{2} \) | Input | 4457.3 |
| B        | DΣ  \( \frac{1}{2} \) | Input | 4440.3 |
| B        | DΣ  \( \frac{1}{2} \) | 4 - 14 | 4513 - 4523 |
| B        | DΣ  \( \frac{1}{2} \) | 11 - 16 | 4511 - 4516 |
| B        | DΣ  \( \frac{1}{2} \) | 26 - 29 | 4497 - 4501 |

### Table IV

| Scenario | Molecule | \( J^P \) | \( B \) (MeV) | \( M \) (MeV) |
|----------|----------|-----------|---------------|---------------|
| A        | DΣ  \( \frac{1}{2} \) | 1 - 8 | 4313 - 4320 |
| A        | DΣ  \( \frac{1}{2} \) | 1 - 8 | 4377 - 4384 |
| A        | DΣ  \( \frac{1}{2} \) | Input | 4440.3 |
| A        | DΣ  \( \frac{1}{2} \) | Input | 4457.3 |
| A        | DΣ  \( \frac{1}{2} \) | 28 - 36 | 4490 - 4499 |
| A        | DΣ  \( \frac{1}{2} \) | 17 - 20 | 4507 - 4510 |
| A        | DΣ  \( \frac{1}{2} \) | 4 - 7 | 4520 - 4523 |
| B        | DΣ  \( \frac{1}{2} \) | 5 - 14 | 4307 - 4315 |
| B        | DΣ  \( \frac{1}{2} \) | 6 - 14 | 4371 - 4379 |
| B        | DΣ  \( \frac{1}{2} \) | Input | 4457.3 |
| B        | DΣ  \( \frac{1}{2} \) | Input | 4440.3 |
| B        | DΣ  \( \frac{1}{2} \) | 3 - 8 | 4518 - 4523 |
| B        | DΣ  \( \frac{1}{2} \) | 11 - 15 | 4512 - 4516 |
| B        | DΣ  \( \frac{1}{2} \) | 28 - 33 | 4494 - 4499 |

### B. Regularization and renormalization

The EFT potential is not well-behaved at distances below the pion Compton wavelength, a problem that is taken care of by means of a regularization and renormalization procedure. The regularization part is as follows: for the momentum space version of the potential, we use a separable regulator of the type

$$\langle p'|V_s|p \rangle = \langle p'|V|p \rangle f(r) f(\frac{p'}{\Lambda}),$$  \hspace{1cm} (39)$$

where \( f(x) = e^{-x^2} \), i.e. a Gaussian regulator. For the coordinate space potential we use a local regulator, which is different depending on whether it is a applied for the contact- or finite-range piece of the EFT potential. For the regularization of the contact-range potential, we use a Gaussian regulator of the type

$$\delta(3)(r) \rightarrow e^{-4(r/R_c)^2},$$  \hspace{1cm} (40)$$

while for the OPE potential we use

$$V_{OPE}(r) \rightarrow V_{OPE}(r) \left[ 1 - e^{-4(r/R_c)^2} \right].$$  \hspace{1cm} (41)$$

This type of local r-space regulators have been recently put in use in pionful EFT as applied to nuclear physics [34]. We choose the Gaussian exponent to be \( n = 4 \) as this is enough to suppress the divergence of the tensor force at short distances.

For the renormalization part, the idea is that the contact-range couplings, \( \xi_1 \) and \( \xi_2 \) in this case, will be able to absorb the cutoff dependence. Thus the predictions derived within
the EFT framework are expected to be cutoff independent. For checking the cutoff independence hypothesis, we choose the following cutoff window in momentum space

$$\Lambda = (0.75 - 1.5) \text{ GeV} ,$$

(42)

which roughly corresponds to \( \{m_p, 2m_p\} \). This window is harder than the one we previously used in the contact-range EFT of Ref. \[4\], i.e. \( \Lambda = 0.5 - 1.0 \text{ GeV} \). The choice of a harder cutoff is driven by the experience from pionful EFT as applied to heavy meson-antimeson molecules \[33,34\], in which larger cutoffs than in a purely contact theory seemed to make a difference. For the coordinate space calculation we choose

$$R_c = 0.5 - 1.0 \text{ fm} ,$$

(43)

which comes from rounding up the \( \{\pi/2m_p, \pi/m_p\} \) cutoff window. This is approximately equivalent to the momentum space window if we consider the relation \( R_c = \pi/\Lambda \) for the r- and p-space cutoffs. Unfortunately cutoff independence is not achieved at the accuracy level we will require to unambiguously distinguish the quantum numbers of the \( P_c \) pentaquarks.

C. The quantum numbers of the pentaquark trio

The couplings \( C_a \) and \( C_b \) are actually determined from observable quantities, for which we will use the binding energies of the \( P_c(4440) \) and \( P_c(4457) \) pentaquarks. The natural expectation in the molecular picture is that the \( P_c(4440) \) and \( P_c(4457) \) are \( D^* \Sigma \) bound states with isospin \( I = \frac{1}{2} \), for which two possibilities exist for the total angular momentum: \( J = \frac{1}{2} \) and \( J = \frac{3}{2} \). We do not know which is the total angular momentum of each of the molecular pentaquark candidates, which means that we will consider two scenarios:

(i) scenario A: the \( P_c(4440) \) is the \( J = \frac{1}{2} \) molecule (while the \( P_c(4457) \) is the \( J = \frac{3}{2} \) molecule),

(ii) scenario B: the \( P_c(4440) \) is the \( J = \frac{3}{2} \) molecule (while the \( P_c(4457) \) is the \( J = \frac{1}{2} \) molecule),

which are the same two scenarios considered in Ref. \[4\]. The values of the couplings \( C_a \) and \( C_b \) that are obtained in each scenario can be consulted in Table II. Each of the scenarios predicts a different mass for the \( P_c(4312) \) pentaquark. In momentum space, scenario A predicts

$$M^A_1 = 4314 - (4319)^V \text{ MeV} ,$$

(44)

where the only uncertainty we have taken into account is the cutoff variation, with the \( V \) superscript standing for the fact that the bound state disappears and becomes a virtual state instead for \( \Lambda = 1.5 \text{ GeV} \). On the other hand scenario B predicts

$$M^B_1 = 4308 - 4321 \text{ MeV} .$$

(45)

This preliminary comparison indicates that scenario B is slightly favored over scenario A, but the conclusion is merely tentative at best.

The residual cutoff variation alone already indicates that the error of the pionful EFT at leading order is probably too large to distinguish between the two scenarios. Besides the cutoff uncertainty, there are two other error sources that we have not explicitly considered: the uncertainty (i) in HQSS and (ii) in the \( g_2 \) axial coupling constant of the pion with the sextet heavy baryons. Regarding (i), HQSS, the location of the \( P_c(4312) \) is determined from the contact-range coupling \( C_a \), but in doing so we are assuming that HQSS is exact for the hidden-charm molecular pentaquarks. This is not the case, with HQSS violations expected to have a size of \( \Lambda_{QCD}/m_\rho \), with \( \Lambda_{QCD} \sim 200 - 300 \text{ MeV} \) and \( m_\rho \) the charm quark mass, yielding a \( 15 - 20\% \) variation for the coupling \( C_a \) around the determination we have done. Regarding (ii), the \( g_2 \) axial coupling, the uncertainty in the lattice QCD calculation is sizable: \( g_2 = 0.84 \pm 0.20 \). Besides, this lattice QCD calculation applies to the heavy-quark limit \( (m_Q \to \infty, m_Q \text{ the mass of the heavy quark}) \). The \( g_2 \) axial coupling can be derived from the axial coupling involved in the sextet to antitriplet heavy baryon transitions, \( g_3 \), and a quark model relation (see Ref. \[37\] for a comprehensive review, which uses the normalization of Yan \[38\] for the axial couplings). In turn the \( g_3 \) axial coupling can be determined from the \( \Sigma \to \Lambda \pi \) decay. This procedure yields \( g_2 \sim 1.4 \) \[37\], a value considerably larger than the one we have chosen (and which indeed makes a difference). If this were not enough, the location of the \( P_c(4312) \) is not known with the required accuracy either. A recent theoretical exploration has proposed that the \( P_c(4312) \) is a virtual state instead of a bound state \[39\]: if this is the case, scenario A should be the preferred one.

We recognize the following three factors influencing the preference over scenarios A and B:

(i) softer cutoffs (\( \Lambda \sim 0.5 \text{ GeV} \)) favor scenario A, while harder ones (\( \Lambda \geq 1 \text{ GeV} \)) favor scenario B,

(ii) larger axial couplings (\( g_2 \sim 1.4 \)) favor scenario B,

(iii) a less bound (or virtual) \( P_c(4312) \) favors scenario A.

The first of these factors refers to the inner workings of the EFT and probably can be only dealt with by improving the current EFT description, e.g. calculating the subleading order corrections \[4\], which will require new data as the next-to-leading order contact-range potential will involve new couplings. The second of these factors is difficult to settle experimentally — the \( g_2 \) axial coupling does not directly appear in decays or other quantities that are directly observable \[37\].

\[2\] We notice in passing that the subleading EFT potential has been calculated in Ref. \[40\], though with the aim of deducing the existence of the pentaquark trio from the two-nucleon system (by extrapolating the contact-range couplings from the two-nucleon system to the heavy antimeson-baryon system). That is, the use of pionful EFT in Ref. \[40\] is very different from the one in the present manuscript. Nonetheless we point out that it might be possible to combine the subleading potential of Ref. \[40\] with the ideas of Ref. \[35\] (properly adapted from the heavy meson-antimeson to the heavy baryon-antimeson case) to better pinpoint the quantum numbers of the pentaquark trio.
— but can probably be determined by lattice QCD calculations that take into account the finite charm quark mass. The third factor can eventually be determined in future experiments with smaller uncertainties.

At this point it is important to comment about cutoff independence. In principle we expect cutoff independence to be achieved by means of the renormalization process, where the contact-range couplings — $C_a$ and $C_b$ in this case — are expected to absorb the divergences associated with the short-range quirks of the EFT potential. However this is not the case for the calculations presented here: the effects of the tensor force have not been completely reabsorbed in the couplings $C_a$ and $C_b$. The manifestation of this problem is the binding energy prediction of the $P_c(4312)$ pentaquark. If we assume it to be a $D\Sigma^-$ molecule this system cannot exchange pions. As the cutoff $\Lambda$ grows, the effect of the tensor force will be increasingly attractive, forcing the $C_a$ coupling to be less and less attractive. Eventually, for $\Lambda$ hard enough, the $P_c(4312)$ will cease to be bound and will become a virtual state instead. In momentum space this indeed happens for scenario $A$ and a cutoff of the order of 1.5 GeV. It also happens for scenario $B$, though in this case a harder cutoff is required (around 2.0 GeV, give or take).

This is bad news because it partially invalidates one of the expected advantages of the EFT framework over phenomenological models: systematic error estimations. In a properly renormalized EFT, where calculations do not strongly depend in the cutoff, the cutoff variation might be used as a proxy of the EFT uncertainty. However it is impossible to describe the LHCb pentaquark trio in a cutoff independent way: large cutoffs invariably lead to the disappearance of the $P_c(4312)$ member of the trio. Of course this happens for relatively hard cutoffs in the 1.5–2.0 GeV range, which means that this disappearance is not physically relevant but rather an artifact. Yet, despite being an artifact, it prevents the systematic estimation of the theoretical uncertainty. Basically, even if the experimental error in the determination of the $P_c(4312)$ mass was negligible, there will be no completely model independent way to distinguish both scenarios in the pionful EFT proposed here. Despite this drawback, pionful calculations are still useful even if they begin to show a sizable cutoff dependence at $\Lambda > 1.5$ GeV. It is interesting to notice that a similar cutoff dependency has been discussed for EFTs involving heavy flavor symmetry [41], which is a different manifestation of heavy quark symmetry. Be it as it may, the degree of model dependence is probably smaller than for phenomenological models.

The conclusion is that there is a preference for scenario $B$. The fact that this preference is not particularly strong is in line with the early speculations about the existence of molecular pentaquarks, in which predictions showed a clear degeneracy in spin [12–14]. The inclusion of pions simply points towards the hypothesis of Karliner and Rosner [15], where the $J^P = \frac{1}{2}^-$ molecular pentaquark is expected to be more bound than its $J^P = \frac{1}{2}^+$ partner. In contrast in the traditional one boson exchange model this pattern is apparently inverted [2,11], with the lower spin molecules being more bound than the higher spin ones. However a recent work [42], which has revisited the application of the one boson exchange model to heavy antimeson-baryon molecules, suggests that this is not necessary the case and that scenario $B$ might be the most probable.

D. The pentaquark HQSS septuplet

The consistent description of the $P_c(4312)$, $P_c(4440)$ and $P_c(4457)$ pentaquark trio in the molecular picture fully determines the LO potential in pionful EFT. As a consequence we can compute the binding energies of all the $S$-wave molecular configurations. The results are summarized in Tables [III] and [IV] for the momentum and coordinate space versions of the LO potential. As happened in the pionless EFT at LO [3], we predict the seven possible HQSS partners of the pentaquark trio, independently of whether we use scenario A or B for the $P_c(4440)$ and $P_c(4457)$ quantum numbers. The most important difference with the contact-range theory is that the predictions for the $D\Sigma^-$ and $\bar{D}\Sigma^+$ molecules are less bound, leading to a marginal preference of scenario $C$ over A. In every other respect, Tables [III] and [IV] only confirm the patterns already discovered in Ref. [4]: scenario A (B) leads to higher spin states being more (less) massive. If this were not enough, further confirmation can be found in the recent pionless EFT calculation of Ref. [18], which also considers transitions among the $D\Sigma^-$, $\bar{D}\Sigma^+$, $D^*\Sigma^+$ and $\bar{D}^*\Sigma^-$ channels. In this regard, the eventual discovery of a $D^*\Sigma^+$ pentaquark molecule will probably settle the question about the quantum numbers of the $P_c(4440)$ and $P_c(4457)$: the prediction of the location of this $D^*\Sigma^+$ molecules varies by about 20 – 25 MeV depending on the scenario. However, owing to its angular momentum $J = \frac{3}{2}$, the experimental detection of a $D^*\Sigma^+$ pentaquark state is not probable in the $J/\Psi p$ channel where the other pentaquarks have been discovered. The $J = \frac{3}{2}$ state might indeed be difficult to observe from its decays to a charmonium: all possible charmonium decays for this state are $p$- or $d$-wave, which indicates that they might be relatively suppressed.

Notice that other works lead to different predictions of the septuplet. In Ref. [6] the binding energy of the molecular pentaquarks is almost independent of the spin and the identification between scenarios A and B is done on the basis of the predicted decay widths. This approximate degeneracy of the binding energy is however a consequence of explicitly ignoring the coupling $C_a$: Ref. [4] determines the couplings from resonance saturation in the hidden gauge model, with $C_b$ receiving its main contribution from OPE, which is assumed to be weak. Ref. [7] also predicts a multiplet structure for the hidden charm pentaquarks, which relies on HQSS and OPE. But the multiplet structure of Ref. [7] is merely a subset of the septuplet of Refs. [4, 6]. The reason for the difference is that Ref. [7] only considers the longest-range part of the heavy antimeson-baryon potential, i.e. OPE. More recently,
Ref. [19] improves over the OPE calculation of Ref. [7] by explicitly including the $D_A$ and $D^*A_c$ channels and a compact $ccqqq$ core. These improvements lead Ref. [19] to predict the existence of the full pentaquark septuplet and to determine that the quantum numbers of the $P_c(4440)$ and $P_c(4457)$ are $J^P = \frac{3}{2}^-$ and $\frac{1}{2}^-$, i.e. scenario B. But there are two important differences between Ref. [19] and the calculations in the present manuscript: (i) Ref. [19] takes $g_2 \sim 1.5$ (notice that they use the normalization of Yan [38] for the axial coupling, where $g_2 = \frac{1}{2}(1.2g_1)$), (ii) the treatment of the short-range piece of the interaction is phenomenological and is modeled with a compact $ccqqq$ core, which in turn leads to a short-range potential.

V. SUMMARY

In this manuscript we have described the impact that pion exchanges have in the description of the hidden-charm pentaquarks, provided they are indeed molecular. Pion exchanges are an important factor in the ordering of the pentaquark spectrum, a factor that might determine which quantum numbers are more/less bound.

If we try to describe consistently the LHCb pentaquark trio with a pionful EFT, the preliminary conclusion is that the $P_c(4440)$ and the $P_c(4457)$ are the $J^P = \frac{3}{2}^-$ and $\frac{1}{2}^-$ $D^*\Sigma_c$ molecular pentaquarks, respectively. This conclusion agrees with the previous work of Karliner and Rosner [15], which is not surprising once we take into account that this is a consequence of OPE being attractive (repulsive) in the $\frac{1}{2}^-\left(\frac{3}{2}^-ight)$ channel. But this identification is only marginally preferred over the opposite one: the different uncertainties within the pionful EFT description we use make it impossible to reach a definite conclusion. This is further compounded with the uncertainties in the location of the $P_c(4312)$, $m = 4311.9 \pm 0.7^{+0.8}_{-0.7}$, where the systematic uncertainty (i.e. the $+0.8^{0.7}$ error) leans in the direction which results in a less bound molecular pentaquark. The recent amplitude analysis of Ref. [39], which claims that the $P_c(4312)$ could be a virtual state, cements this idea further. If this is the case, the preferences of both scenarios could likely change.

Besides the quantum numbers of the molecular pentaquarks, pion exchanges lead to the prediction of a total of seven hidden-charm molecular pentaquarks in the isodoublet $I = \frac{1}{2}$ sector. This confirms the previous conclusions obtained in a pionless EFT [4], a more sophisticated pionless EFT including coupled channels [18], the hidden gauge model (as constrained by HQSS) [6] and a recent phenomenological pionful calculation [19]. In turn this points toward the idea that the existence of the HQSS multiplet is more a consequence of HQSS than of the explicit dynamics leading to binding. In particular the most important factor determining the details of the binding energy is the quantum numbers of the $P_c(4440)$ and $P_c(4457)$.

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| Molecule | Partial Waves | $J^P$ | $d_1 \cdot d_2$ | $S_{12} = 3d_1 \cdot \vec{r} \cdot d_2 \cdot \vec{r} - d_1 \cdot d_2$ |
|----------|---------------|------|----------------|----------------------------------|
| $\Delta \Sigma$ | $^2S_{1/2}$ | $\frac{1}{2}^+$ | 0 | 0 |
| $\Delta \Sigma'$ | $^4S_{3/2}^2D_{3/2}$ | $\frac{1}{2}^- \frac{3}{2}^-$ | (0 0) (0 0) (0 0) |
| $\Delta \Sigma''$ | $^2S_{1/2}^2D_{1/2}$ | $\frac{1}{2}^- 
\frac{3}{2}^-$ | (0 0) (0 0) (0 0) |
| $\Delta \Sigma'''$ | $^2D_{3/2}^2S_{1/2}^2D_{3/2}$ | $\frac{1}{2}^- \frac{3}{2}^-$ | (0 0) (0 0) (0 0) |
| $\Delta \Sigma''''$ | $^2D_{3/2}^2S_{1/2}^2D_{3/2}^2G_{3/2}$ | $\frac{1}{2}^- \frac{3}{2}^-$ | (0 0) (0 0) (0 0) |
| $\Delta \Sigma'''''$ | $^2D_{3/2}^2S_{1/2}^2D_{3/2}^2G_{3/2}^2G_{3/2}$ | $\frac{1}{2}^- \frac{3}{2}^-$ | (0 0) (0 0) (0 0) |

**TABLE V.** Matrix elements of the spin-spin and tensor operator for the partial waves we are considering in this work.

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