A Review of Robot-Assisted Gait Training in Stroke Patients

Ha Yeon Kim, Joshua Sung Hyun You

Highlights

• End-effector type robot-assisted gait training systems were found to be more effective in locomotor recovery in stroke patients when they were applied in conjunction with conventional gait training rather than conventional gait training alone. However, this study does not confirm that the exoskeleton type robot-assisted gait training was more effective when it was applied in conjunction with the conventional gait training rather than the conventional gait training alone.

• The robot-assisted gait training paradigm offers intensive, repetitive, accurate kinematic feedback and symmetrical gait practice while reducing the workload for the therapist, reducing the cost of stroke rehabilitation.
ABSTRACT

While a variety of robot-assisted gait training systems have been widely applied for locomotor rehabilitation in stroke patients, the best supporting evidence for robot-assisted gait training systems remains unknown. The purpose of this study was to provide the best robot-assisted gait training and clinical evidence by comparing the effects of exoskeleton and end-effector type robot-assisted gait training in stroke rehabilitation. The present study underwent a review of the literature to determine the best clinical evidence of the most commonly utilized robot-assisted gait training paradigms (end-effector and exoskeleton types) in stroke gait rehabilitation. The review corroborates the compelling evidence that combined robot-assisted gait training was advantageous in stroke rehabilitation, as it offers additive special therapeutic effects that were not afforded by conventional therapy alone. Most importantly, the robot-assisted gait training paradigm provided more intensive, repetitive, accurate kinematic feedback and symmetrical gait practice, while reducing therapist labor, which is often not affordable in current stroke rehabilitation care. Both the robot-assisted gait training with either the end-effector type or exoskeleton type was beneficial for improving motor recovery, gait function, and balance in stroke patients when it was combined with the conventional physical therapy. The robot-assisted gait training should be used as an augmented gait intervention for stroke population.

Keywords: Robot-Assisted Gait Training; Stroke; End-Effector Type Robot; Exoskeleton Type Robot

INTRODUCTION

Hemiparetic gait impairment is a hallmark sequela in stroke victims and affects ambulation and quality of life [1]. Due to advanced medical technology and care, the mortality rate from stroke has significantly decreased. However, as many as 80% of stroke survivors suffer from locomotor dysfunction, which is characterized as asymmetrical step length, slow velocity, and altered biomechanical alignment [2,3].

Hemiparetic gait kinematics encompass excessive ankle plantar flexion during the swing phase and knee hyperextension and hip flexion during the stance phase, whereas kinetically decreased and asymmetrical ground reaction force is manifested during the
push up phase. Recent stroke rehabilitation evidence suggests that a large number of repetitions (> 600) [4] and accurate sensorimotor feedback (e.g., kinematics and kinetics) are necessary to produce neuroplastic changes and associated locomotor functional recovery in stroke patients [5].

To restore hemiparetic gait, 2 innovative robot-assisted gait training paradigms utilizing the end-effector and exoskeleton robot types have recently been adopted to provide an ample number of repetitions with precise kinematics and kinetic sensorimotor feedback. In fact, the cumulative robotics studies demonstrated promising effects when robot-assisted gait training was employed using either end-effector or exoskeleton types in conjunction with conventional gait training. Conventional gait training often poses inherent issues, as it is labor intensive, costly, and does not provide accurate sensorimotor feedback.

Hence, the present study underwent an extensive review of the literature to determine the best clinical evidence of the most commonly utilized robot-assisted gait training paradigms (end-effector and exoskeleton types) in stroke gait rehabilitation.

End-effector devices work by applying mechanical forces to the distal segments of limbs. In end-effector devices, a subject’s feet are placed on foot-plates, whose trajectories simulate the stance and swing phases during gait training [6]. End-effector type robots offer the advantage of easy setup, but suffer from limited control of the proximal joints of the limb, which could result in abnormal movement patterns. Examples of end-effector devices are the Gate Trainer GT1 (Reha-Stim, Berlin, Germany) and the G-EO-System (Reha Technology AG, Olten, Switzerland). In contrast, exoskeleton-type robotic devices have robot axes aligned with the anatomical axes of the wearer. These robots provide direct control over individual joints, which can minimize abnormal posture or movement. Construction of exoskeleton-type devices is more complex and more expensive than that of the end-effector type. Exoskeleton devices are outfitted with programmable drives or passive elements that move the knees and hips during the phases of gait [6]. Examples of the exoskeleton type of device are the Walkbot (P&S Mechanics, Seoul, Korea) and the Lokomat (Hocoma AG, Zurich, Switzerland).

In this manuscript, we used a Cochran search using keywords, including robot-assisted gait training, end-effector type, and exoskeleton type, to summarize the past 10 years of research concerning both end-effector and exoskeleton types of gait robot devices. The current status of robot-assisted therapy in stroke rehabilitation was discussed.

END-EFFECTOR TYPE OF ROBOT-ASSISTED GAIT TRAINING

The results of 10 randomized controlled trials that investigated end-effector robot gait training in stroke patients are summarized in Table 1. Three studies compared therapeutic effects on motor recovery, gait function, and balance between a combination of the robot-assisted gait training and conventional gait training and conventional gait training alone in patients with chronic stroke (> 6 to 12 months) [7-9]. Combined robotic and conventional gait training produced greater improvements in gait function than did conventional gait training alone. Similarly, combined robotic and conventional gait training yielded a superior effect on gait function, balance, and activities of daily living than did conventional gait training in patients with subacute stroke (< 6 weeks to 6 months). These collective findings
suggest that robot-assisted gait training provides an additive effect to conventional gait training in patients with hemiparetic stroke, regardless of the stroke phase condition.

EXOSKELETON TYPE OF ROBOT-ASSISTED GAIT TRAINING

Table 2 presents the summary of 11 randomized controlled trials that compared the effects of exoskeleton robot-assisted gait training with conventional gait training on motor recovery, gait function, and balance in hemiparetic stroke. Mayr et al. [10] and Husemann et al. [11] reported greater augmented effects from combined exoskeleton robot-assisted gait training combined with conventional gait training compared with conventional gait training alone in 10 subacute stroke patients. Hornby et al. [12] conducted a randomized controlled study that compared the effects of exoskeleton robot-assisted gait training and manual facilitation using an assist-as-needed paradigm on gait function in patients with chronic stroke. Moreover, Hidler et al. [13] also conducted a multicenter randomized trial that investigated the usefulness of Lokomat robot-assisted therapy in 72 patients with subacute stroke and found less effective in the 6-minute walk test (6MWT) and Functional Ambulation Category (FAC) tests than the conventional gait training. Such inconsistent results in the Lokomat robot-assisted studies may result from different experimental design and testing methods utilized [10], lack of volitional neuromuscular control [14], restricted pelvic and trunk movement control [15,16], arm swing, as well as altered acceleration and deceleration from pre-swing to initial contact [17].

Table 1. End-effector type of robot-assisted gait training

| Authors | Robotic device | No. of subjects | Stroke stage | Treatment intensity | Outcome measures | Additional therapy | Summary of results |
|---------|----------------|-----------------|--------------|--------------------|-----------------|-------------------|-------------------|
| Tong et al. [20] | Gait trainer | 46 | Subacute | 5 times a week for 4 weeks, 20 minutes | 5MWT, EMS, BBS, FAC, MI leg subscale, FIM, BI | Functional electrical stimulation | More effective |
| Dias et al. [7] | Gait trainer | 40 | Chronic | 5 times a week for 4 weeks, 40 minutes | MI, TMS, mASS, BBS, RMI, FMA, FAC, BI, 10MWT, TUG, 6MWT, step tests | - | No difference |
| Pohl et al. [21] | Gait trainer | 155 | Subacute | 5 times a week for 4 weeks, 20 minutes | FAC, BI, RMI, MI | - | More effective |
| Ng et al. [22] | Gait trainer | 54 | Subacute | 5 times a week for 4 weeks, 20 minutes | FAC, MI, BBS, 5MWT, BI, EMS, FIM, MMSE | Functional electrical stimulation | More effective |
| Peurala et al. [23] | Gait trainer | 56 | Subacute | 5 times a week for 3 weeks, 20 minutes | FAC, 10MWT, 6MWT, BI, BMI, MMAS, MRS, RMAS, SSS | - | More effective |
| Morone et al. [24] | Gait trainer | 48 | Subacute | 5 times a week for 4 weeks, 20 minutes | FAC, 10MWT, MI, 6MWT, RMI, AS, BI, CNS, MMSE, RS, TCT | - | More effective |
| Geroin et al. [8] | Gait trainer | 30 | Chronic | 5 times a week for 2 weeks, 50 minutes | FAC, 10MWT, MI, 6MWT, RMI, ESS, MMSE, Spatiotemporal Gait Parameters, MAS | Transcranial direct current stimulation | More effective |
| Conesa et al. [25] | Gait trainer | 103 | Subacute | 5 times a week for 4 weeks, 20–40 minutes (tolerably) | FAC, 10MWT, TBS, TGS | - | Improved |
| Hesse et al. [26] | G-EO | 30 | Subacute | 5 times a week for 4 weeks, 30 minutes | FAC, RMI, 10MWT, MI | - | More effective |
| Picelli et al. [9] | G-EO | 22 | Chronic | 5 times a week for 4 weeks, 30 minutes | 6MWT, MAS, TGS, TSA | Botulinum toxin type A | More effective |

5MWT, 5-meter walk test; EMS, Elderly Mobility Scale; BBS, Berg Balance Scale; FAC, Functional Ambulatory Category; MI, Motricity Index; FIM, Functional Independence Measure; BI, Barthel Index; TMS, Toulouse Motor Scale; mASS, modified Ashworth Spasticity Scale; RMI, Rivermead Mobility Index; FMA, Fugl-Meyer Assessment; 10MWT, 10-meter walk test; TUG, Timed Up and Go; 6MWT, 6-minute walk test; MMSE, Mini-Mental State Examination; MMAS, Modified Motor Assessment Scale; MRS, Modified Ranking Scale; RMAS, Rivermead Motor Assessment Scale; SSS, Scandinavian Stroke Scale; AS, Ashworth Scale; CNS, Canadian Neurological Scale; RS, Rankin Scale; TCT, Trunk Control Test; ESS, European Stroke Scale; MAS, Modified Ashworth Scale; TBS, Tinetti Balance Scale; TGS, Tinetti Gait Scale.

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The present review highlights current clinical evidence regarding exoskeleton and end-effector robot-assisted gait training approaches in subacute and chronic stroke patients. A recent Cochrane review [18] reported superior benefits with robot-assisted gait training in stroke rehabilitation. However, the types of robot-assisted gait training devices and their clinical effects are undetermined. Therefore, our review has focused on the types of robot-assisted gait training devices and their clinical effects in robotic assisted gait training.

A meta-analysis of robot-assisted gait training studies is challenging owing to diverse robotic devices, heterogeneous subject characteristics, and varying experimental designs. Therefore, the present review attempted to provide clinical evidence as to which types of exoskeleton type or end-effector type devices are more effective for stroke rehabilitation and locomotor recovery and function. Taken together, the findings showed that combined robot-assisted gait training was advantageous in stroke rehabilitation, as it offers additive special therapeutic effects that were not afforded by conventional therapy alone. Most importantly, the robot-assisted gait training paradigm renders intensive, repetitive, accurate kinematic feedback and symmetrical gait practice, while reducing therapist labor, which is often not

### Table 2. Exoskeleton type of robot-assisted gait training

| Authors            | Robotic device | No. of subjects | Stroke stage | Treatment intensity | Outcome measures | Additional therapy | Summary of results |
|--------------------|----------------|-----------------|--------------|---------------------|------------------|--------------------|--------------------|
| Mayr et al. [10]   | Lokomat        | 16              | Subacute     | 5 times a week for 6 weeks, 30 minutes | EWS, RMAS, 10MWT, 6MWT, MI, MRC, AS | -                  | More effective     |
| Husemann et al. [11] | Lokomat       | 32              | Subacute     | 5 times a week for 4 weeks, 30 minutes | FAC, 10MWT, MI, BI, MRC, Spatiotemporal Gait Parameters, MAS | -                  | More effective     |
| Hornby et al. [12] | Lokomat        | 62              | Chronic      | Total 12 sessions, 30 minutes | SSFWS, 6MWT, mEFAP, BBS, FAI, Physical SF-36 | -                  | Less effective     |
| Schwartz et al. [27] | Lokomat       | 67              | Subacute     | 3 times a week for 6 weeks, 30 minutes | FAC, NIHSS, FIM, SAS, 10MWT, 2MWT, TUG, Number of Climbed Stairs | -                  | More effective     |
| Hidler et al. [13] | Lokomat        | 72              | Subacute     | Total 24 sessions, 60 minutes | 6MWT, BBS, FAC, NIHSS, Motor Assessment Scale, RMI, FAI, SF-36 | -                  | Less effective     |
| Westlake and Patten [28] | Lokomat      | 16              | Chronic      | 3 times a week for 4 weeks, 30 minutes | SSFWS, 6MWT, FMA, BBS, SPPB | -                  | More effective     |
| Chang et al. [29]  | Lokomat        | 37              | Subacute     | 5 times a week for 2 weeks, 40 minutes | FAC, MI, FMA, aerobic capacity, cardiovascular response, ventilatory response | -                  | No difference      |
| van Nunen et al. [30] | Lokomat       | 30              | Subacute     | 2 times a week for 8 weeks, 60 minutes | 10MWT, FAC, BBS, FMA, MI, RMI, TUG, maximal voluntary isometric torque | -                  | No difference      |
| Bang and Shin [31] | Lokomat        | 18              | Chronic      | 5 times a week for 5 weeks, 60 minutes | Spatiotemporal Gait Parameters, BBS, ABC | -                  | More effective     |
| Taveggia et al. [32] | Lokomat       | 28              | Subacute     | 5 times a week for 5 weeks, 30 minutes | 6MWT, 10MWT, FIM, SF-36, TBS, TGS | -                  | More effective in functional independence and gait speed, less effective in gait endurance |
| Kim et al. [33]    | Walkbot        | 30              | Subacute     | 5 times a week for 4 weeks, 40 minutes | FAC, BBS, MBI, MAS, EuroQol-5 dimension | -                  | More effective in balance and gait function |

EWS, EU-Walking Scale; RMAS, Rivermead Motor Assessment Scale; 10MWT, 10-meter walk test; 6MWT, 6-minute walk test; MI, Motricity Index; MRC, Medical Research Council; AS, Ashworth Scale; FAC, Functional Ambulatory Category; BI, Barthel Index; MAS, Modified Ashworth Scale; SSFWS, Self-selected and fast walking speed; mEFAP, modified Emory Functional Ambulation Profile; BBS, Berg Balance Scale; FAI, Frenchay Activities Index; NIHSS, National Institutes of Health Stroke Scale; FIM, Functional Independence Measure; SAS, Stroke Activity Scale; 2MWT, 2-minute walk test; TUG, Timed Up and Go; RMI, Rivermead Mobility Index; FMA, Fugl-Meyer Assessment; SPPB, short physical performance battery; ABC, activities-specific balance confidence; TBS, Tinetti Balance Scale; TGS, Tinetti Gait Scale; MBI, modified Barthel index.

### CONCLUSION

The present review highlights current clinical evidence regarding exoskeleton and end-effector robot-assisted gait training approaches in subacute and chronic stroke patients. A recent Cochrane review [18] reported superior benefits with robot-assisted gait training in stroke rehabilitation. However, the types of robot-assisted gait training devices and their clinical effects are undetermined. Therefore, our review has focused on the types of robot-assisted gait training devices and their clinical effects in robotic assisted gait training. A meta-analysis of robot-assisted gait training studies is challenging owing to diverse robotic devices, heterogeneous subject characteristics, and varying experimental designs. Therefore, the present review attempted to provide clinical evidence as to which types of exoskeleton type or end-effector type devices are more effective for stroke rehabilitation and locomotor recovery and function. Taken together, the findings showed that combined robot-assisted gait training was advantageous in stroke rehabilitation, as it offers additive special therapeutic effects that were not afforded by conventional therapy alone. Most importantly, the robot-assisted gait training paradigm renders intensive, repetitive, accurate kinematic feedback and symmetrical gait practice, while reducing therapist labor, which is often not
affordable in current stroke rehabilitation care [19]. Furthermore, the current robot-assisted gait training incorporates virtual or augmented reality to motivate patients, as well as to provide fun and ecologically valid gait training. Since the additive effect of robot-assisted gait training is well established, a prospective study is warranted to determine if robot-assisted gait training is superior to conventional stroke locomotor rehabilitation alone or robot-assisted gait training combined with conventional gait training. It would be of great interest to examine the effects of repetitive robot-assisted gait training on neuroplasticity and associated locomotor recovery in a stroke population.

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