Active Apex Correction (Modified SHILLA Technique) Versus Distraction-Based Growth Rod Fixation: What Do the Correction Parameters Say?

Aakash Agarwal\(^1\), Loai Aker\(^2\) and Alaaeldin Azmi Ahmad\(^2\)

1) Department of Bioengineering and Orthopaedics Surgery, University of Toledo, Toledo, USA
2) Department of Orthopedics, Annajah Medical School, Nablus, West Bank

Abstract:

Introduction: SHILLA and growth rods are two main surgical correction techniques for patients with early-onset scoliosis. There have been some comparative studies between the two techniques, where a comparison was made between deformity identifying characteristics such as Cobb angle, apical vertebral translation, coronal balance, spinal length gain, etc. However, the SHILLA procedure experiences loss of correction or the reappearance of deformity through crankshifting or adding-on (e.g., distal migration). The current study identifies a solution with a modified approach to SHILLA (which could help in dynamically remodulating the apex of the deformity and mitigating loss of correction) and presents comparative correction data against the long-established traditional growth rod system.

Methods: The active apex correction (APC) group consisted of 20 patients and the growth rod group consisted of 26 patients, both with the same inclusion and exclusion criteria. The APC surgical procedure involved a modified SHILLA technique, that is, insertion of pedicle screws in the convex side of the vertebrae above and below the wedged one for compression and absence of apical fusion.

Results: There were no statistical differences between the various spinal parameters (namely, Cobb angle, apical vertebral translation, sagittal balance, and spinal length gain) of the two groups. However, significant differences existed for coronal balance, which in part may have been due to differences in its pre-op value between the two groups.

Conclusions: APC and the traditional growth rod system showed similar deformity correction parameters at current follow-ups; however, the latter requires multiple surgeries to regularly distract the spine.

Keywords: active apex correction, growth rod, SHILLA, growth guidance, modified SHILLA technique, crankshifting, distal migration, adding-on

Introduction

The growth guidance technique using SHILLA is a clinically accepted alternative to a distraction-based growth rod system\(^1\). There have been a few studies comparing these two techniques, where a comparison was made between deformity identifying characteristics such as Cobb angle, apical vertebral translation, coronal balance, spinal length gain, etc\(^2\)\(^-\)\(^4\). Most notably was the study by Andras et al, a case series demonstrating that patients who received growth rods had a greater improvement in Cobb angle and a greater increase in T1-S1 length than SHILLA\(^2\). However, in another case series by Luhmann et al, there were no statistically significant differences in the clinical parameters at follow-up between the two groups (growth rods vs SHILLA)\(^5\). In addition to the above variability in data against the traditional growth rod approach, there are still two major disadvantages of using SHILLA: loss of correction and need for osteotomies. To elaborate, a substantial percentage of patients undergoing the SHILLA technique experience loss of correction via crankshifting or adding-on (e.g., distal migration)\(^5\)\(^-\)\(^7\). In addition, the need for osteotomies on the concave side has the potential of severe complications\(^8\)\(^-\)\(^9\). Therefore, any modified SHILLA technique that
above and below the wedged vertebrae performed by placing pedicle screws on the convex side, the regular SHILLA approach, the addition of active apex correction (APC), is especially create compensatory pressure on the vertebral body by gradually allowing its remodulation (reverse modulation) and reduction in the wedging over time. In contrast to the gradual allowing its remodulation (reverse modulation) and (ii) complications related to could eliminate (i) the loss of correction via active reverse remodulation at the apex and (ii) complications related to the need for osteotomies on the concave side is very desirable, especially because growth guidance does not require repeated surgeries like traditional growth rods. This non-fusion SHILLA procedure, active apex correction (APC), is performed by placing pedicle screws on the convex side, above and below the wedged vertebrae. The pedicle screws are then compressed before the final tightening, to artificially create compensatory pressure on the vertebral body by gradually allowing its remodulation (reverse modulation) and reduction in the wedging over time. In contrast to the regular SHILLA approach, the addition of active apex correction does not fuse the apex of the deformity. The objective of the current study is to compare the clinical parameters at follow-up between the new APC technique and the traditional growth rod technique performed by the same team of surgical staff.

### Materials and Methods

Institutional review board approval was received, and the study duration spanned 6 years (2013-2019). The APC group consisted of 20 patients with either scoliosis or kyphoscoliosis undergoing index surgery or revision surgery and demonstrating clear radiographic evidence of vertebral

### Table 1. Diagnoses, Age at Surgery, Gender, and Spinal Parameters at Pre-op and Follow-up in the APC Group, Used with Permission.

| APC                      | Diagnosis                  | Age | Gender | Follow-up time | Cobb angle Pre | AVT | Kyphosis Pre | Sagittal balance Pre | Spine length Pre | Coronal balance Pre | Cobb angle FU | AVT | Kyphosis FU | Sagittal balance FU | Spine length FU | Coronal balance FU |
|--------------------------|----------------------------|-----|--------|----------------|----------------|-----|--------------|----------------------|-----------------|---------------------|----------------|-----|-------------|----------------------|-----------------|---------------------|
| 1                        | Syndromic scoliosis        | 7   | M      | 24             | 57             | 59  | 34           | 69                   | N/A             | 281                 | 299           | 5   | 20          | 281                  | 293             | 6                   | 24                  |
| 2                        | Congenital scoliosis       | 5   | F      | 15             | 69             | 53  | 38           | 18                   | 281             | 293                 | 244           | 2   | 25          | 244                  | 292             | 10                  | 25                  |
| 3                        | Syndromic scoliosis        | 3   | M      | 20             | 40             | 52  | 13           | 29                   | 260             | 244                 | 244           | 2   | 25          | 244                  | 292             | 10                  | 25                  |
| 4                        | Syndromic scoliosis        | 6   | M      | 24             | 69             | 33  | 56           | 23                   | 244             | 292                 | 244           | 2   | 25          | 244                  | 292             | 10                  | 25                  |
| 5                        | Congenital scoliosis       | 4   | F      | 24             | 61             | 46  | 25           | 25                   | 233             | 258                 | 233           | 6   | 3           | 233                  | 258             | 6                   | 3                   |
| 6                        | Congenital scoliosis       | 3   | F      | 24             | 47             | 32  | 26           | 30                   | 231             | 238                 | 231           | 3   | 7           | 231                  | 238             | 3                   | 7                   |
| 7                        | Congenital scoliosis       | 3   | F      | 16             | 40             | 32  | 34           | 38                   | 273             | 296                 | 273           | 13  | 8           | 273                  | 296             | 13                  | 8                   |
| 8                        | Syndromic scoliosis        | 6   | F      | 8              | 48             | 51  | 32           | 26                   | 343             | 396                 | 343           | 4   | 17          | 343                  | 396             | 4                   | 17                  |
| 9                        | Neurofibromatosis          | 7   | F      | 15             | 63             | 60  | 39           | 34                   | 299             | 292                 | 299           | 11  | 18          | 299                  | 292             | 11                  | 18                  |
| 10                       | Syndromic scoliosis, Noonan syndrome | 5 | F | 14             | 92             | 55  | 56           | 44                   | 211             | 245                 | 211           | 19  | 19          | 211                  | 245             | 19                  | 19                  |
| 11                       | Neurofibromatosis with scoliosis | 5 | M | 12             | 82             | 79  | 57           | 57                   | 284             | 317                 | 284           | 48  | 22          | 284                  | 317             | 48                  | 22                  |
| 12                       | Congenital scoliosis       | 3   | F      | 12             | 62             | 60  | 46           | 52                   | 229             | 253                 | 229           | 3   | 2           | 229                  | 253             | 3                   | 2                   |
| 13                       | Achondroplasia with kyphoscoliosis | 3 | M | 97            | 53             | 30  | 26           | 24                   | 54              | 62                  | 54           | 14  | 8           | 54                  | 62              | 14                  | 8                   |
| 14                       | Congenital kyphoscoliosis  | 4   | M      | 74             | 42             | 38  | 29           | 27                   | 32              | 10                  | 32           | 5   | 14          | 32                  | 10              | 5                   | 14                  |
| 15                       | Muscular dystrophy kyphoscoliosis | 4 | F | 72          | 50             | 34  | 19           | 9                    | 40              | 12                  | 40           | 23  | 65          | 218                  | 264             | 2                   | 1                   |
| 16                       | Syndromic kyphoscoliosis   | 6   | M      | 42             | 55             | 41  | 47           | 14                   | 55              | 38                  | 55           | 28  | 26          | 251                  | 278             | 42                  | 3                   |
| 17                       | Congenital kyphoscoliosis  | 4.5 | F | 85         | 20             | 21  | 17           | 8                    | 45              | 25                  | 45           | 22  | 8           | 262                  | 313             | 21                  | 23                  |
| 18                       | Macopolysach. kyphoscoliosis | 5 | F | 32  | 27             | 14  | 28           | 9                    | 55              | 16                  | 55           | 124 | 51          | 174                  | 216             | 15                  | 16                  |
| 19                       | Achondroplasia with kyphoscoliosis | 5 | M | 12  | 45             | 48  | 42           | 38                   | 100             | 23                  | 100          | 34  | 20          | 274                  | 280             | 6                   | 12                  |
| 20                       | Congenital kyphoscoliosis  | 3   | F      | 24             | 55             | 39  | 8            | 7                    | 76              | 24                  | 76           | 23  | 8           | 227                  | 270             | 4                   | 3                   |

| p-value (2-tailed) | 0.002 | 0.2 | 0.01 | 0.5 | <0.00001 | 0.3 |
|-------------------|-------|-----|------|-----|----------|-----|
| Average           | 5     | 12F & 8M | 32 | 54 | 44 | 34 | 29 | 57 | 26 | 40 | 31 | 255 | 281 | 16 | 12 |
| Standard deviation | 1     | 17 | 15 | 14 | 17 | 22 | 17 | 35 | 23 | 37 | 39 | 17 | 9 |
| Maximum           | 7     | 97 | 79 | 57 | 69 | 100 | 62 | 124 | 65 | 343 | 396 | 57 | 25 |
| Minimum           | 3     | 8  | 20 | 14 | 8  | 7  | 32 | 10 | 22 | 8  | 174 | 216 | 2 | 1 |

APC, active apex correction; FU, follow-up; AVT, apical vertebral translation; N/A, they didn’t have abnormal sagittal values and it was purely scoliosis.
wedging at the apex. All patients were under 8 years of age with Cobb’s angle more than 40°. Following the same criteria, the major Cobb angle was selected for the major wedge vertebra. The surgical procedure was a modified version of the SHILLA (Fig. 1, used with permission), using either the rod to screw (SHILLA screws from Medtronic) sliding mechanism or the analogous rod to domino (4.5 mm rod in 5.5 mm domino hole) sliding mechanism. In this modified technique, the most wedged vertebra was selected following the same criteria, the major Cobb angle was selected for the major wedge vertebra.

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Table 2. Diagnoses, Age at Surgery, Gender, and Spinal Parameters at Pre-op and Follow-up in the Growth Rod Group.

| Growth rods | Diagnosis                              | Age | Gender | Follow-up time | Cobb angle | AVT | Kyphosis | Sagittal balance | Spine length | Coronal balance |
|-------------|----------------------------------------|-----|--------|----------------|------------|-----|----------|------------------|-------------|----------------|
| 1           | Congenital scoliosis                   | 3   | F      | 72             | 88         | 43  | 60       | 44               | N/A         | 241            | 311             | 12            | 34             |
| 2           | Congenital scoliosis                   | 2.5 | M      | 60             | 55         | 63  | 22       | 44               | 211         | 221            | 33              | 27             |
| 3           | Congenital scoliosis                   | 2.5 | F      | 84             | 59         | 90  | 50       | 26               | 180         | 193            | 55              | 44             |
| 4           | Infantile idiopathic scoliosis         | 5   | F      | 36             | 70         | 30  | 40       | 26               | 273         | 306            | 30              | 4              |
| 5           | Syndromic scoliosis, hemi L1, bony bar | 5   | M      | 81             | 87         | 79  | 72       | 57               | 275         | 324            | 32              | 27             |
| 6           | Syndromic scoliosis, NF, T4-10         | 8   | F      | 77             | 73         | 61  | 42       | 57               | 308         | 404            | 32              | 29             |
| 7           | Congenital scoliosis                   | 7.5 | F      | 54             | 58         | 59  | 52       | 52               | 281         | 300            | 16              | 23             |
| 8           | Neuro muscular scoliosis               | 3.5 | F      | 63             | 58         | 72  | 24       | 23               | 226         | 288            | 34              | 80             |
| 9           | Neuro muscular scoliosis               | 7   | M      | 60             | 79         | 47  | 70       | 52               | 212         | 251            | 8               | 13             |
| 10          | Congenital scoliosis                   | 6.5 | F      | 57             | 80         | 60  | 44       | 30               | 258         | 273            | 22              | 21             |
| 11          | Congenital scoliosis                   | 8.5 | M      | 34             | 77         | 71  | 16       | 30               | 230         | 247            | 71              | 52             |
| 12          | Idiopathic scoliosis                   | 8.5 | F      | 53             | 49         | 33  | 48       | 29               | 304         | 369            | 18              | 44             |
| 13          | Neuro muscular scoliosis               | 4   | M      | 26             | 48         | 36  | 19       | 2                | 262         | 284            | 47              | 17             |
| 14          | Congenital scoliosis                   | 2   | F      | 72             | 45         | 18  | 12       | 8                | 242         | 297            | 18              | 23             |
| 15          | Congenital scoliosis                   | 8   | F      | 54             | 71         | 61  | 20       | 40               | 215         | 255            | 23              | 20             |
| 16          | Congenital scoliosis                   | 2.5 | F      | 84             | 56         | 62  | 37       | 66               | 219         | 273            | 4               | 17             |
| 17          | Congenital scoliosis                   | 7   | F      | 24             | 62         | 46  | 33       | 49               | 323         | 367            | 21              | 12             |
| 18          | Juvenile idiopathic scoliosis          | 10  | F      | 44             | 47         | 35  | 30       | 21               | 351         | 386            | 2               | 3              |
| 19          | Syndromic kyphoscoliosis, Marfan       | 4   | F      | 72             | 50         | 34  | 19       | 9                | 40          | 12             | 23              | 65             |
| 20          | Congenital kyphoscoliosis              | 3   | F      | 70             | 100        | 91  | 46       | 60               | 68          | 39             | 60              | 35             |
| 21          | Neuro muscular kyphoscoliosis          | 7.5 | M      | 60             | 67         | 46  | 51       | 18               | 85          | 56             | 39              | 7              |
| 22          | Congenital kyphoscoliosis              | 10  | M      | 24             | 70         | 57  | 15       | 67               | 91          | 53             | 3               | 32             |
| 23          | Congenital kyphoscoliosis              | 4   | M      | 116            | 52         | 44  | 23       | 18               | 67          | 56             | 7               | 18             |
| 24          | Syndromic kyphoscoliosis, NF, T4-9     | 4.5 | F      | 50             | 50         | 74  | 20       | 27               | 68          | 57             | 6               | 5              |
| 25          | Syndromic (achondroplasia) kyphoscoliosis | 3   | M      | 91             | 53         | 29  | 26       | 21               | 54          | 47             | 24              | 27             |
| 26          | Congenital kyphoscoliosis              | 4   | M      | 84             | 42         | 41  | 29       | 28               | 32          | 11             | 40              | 33             |

| p-value (2-tailed) | 0.0005 | 0.9 | 0.0009 | 0.8 | <0.00001 (1-tailed) | 0.6 |
|--------------------|--------|-----|--------|-----|---------------------|----|
| Average            | 5      | 16F & 10M | 62 | 65 | 52 | 34 | 35 | 63 | 41 | 25 | 28 | 246 | 292 | 27 | 29 |
| Standard Deviation | 3      | 22 | 16 | 18 | 17 | 18 | 17 | 20 | 19 | 20 | 19 | 43 | 51 | 20 | 21 |
| Maximum            | 10     | 116 | 100 | 91 | 72 | 67 | 91 | 57 | 60 | 65 | 351 | 404 | 71 | 82 |
| Minimum            | 2      | 24 | 42 | 18 | 12 | 2 | 32 | 11 | 3 | 5 | 180 | 193 | 2 | 1 |

TGR, traditional growth rods; FU, follow-up; AVT, apical vertebral translation; N/A, they didn’t have abnormal sagittal values and it was purely scoliosis.
by the insertion of pedicle screws in the convex side of the vertebrae above and below the wedged one. No screws were placed on the concave side of the apex. For the growth rod surgery, the domino remained locked, distraction was applied every 6-9 months, and no apical screws were used, Fig. 2. All surgeries were performed under an intraoperative neumonitor and a C-arm. Additionally, no cast or brace was used for these patients postoperatively. The patients were followed up for an average period of 32 and 62 months in the APC and growth rod groups, respectively. Statistical comparisons (with significance set at \( p \leq 0.05 \)) were made among the different parameters between the two groups using the t-test (and the Fisher test for gender) with unequal variances in Microsoft Excel. The Cobb angle of the curve in the coronal view was measured from the superior endplate of a superior vertebra to the inferior endplate of an inferior vertebra. Apical vertebral translation was measured as the distance between the center of the thoracic (or lumbar) apical vertebra and the C7 plumb line (or central sacral vertical line). Kyphosis was measured between the most tilted upper endplate of the superior vertebra in the curve to the most tilted inferior endplate of the inferior vertebra. Sagittal balance was measured as the distance of the vertical line drawn from the middle of the body of C7 to the superior-medial border of S1. Spinal length included the whole spine length T1-L5. Coronal balance was measured as the horizontal distance between the vertical line going from C7 to mid-S2.

**Results**

Both the surgical groups showed significant correction of the Cobb angle and kyphosis (where applicable) at follow-ups (compared with the pre-op values) but no differences between the two groups at follow-up, Table 1 (used with permission) and Table 2, 3\(^{23}\). For spinal height gain, after adjusting for differences in the individual follow-up times, there was no statistical difference between the groups (0.8 ± 0.5 mm/month for growth rods vs 1.2 ± 1.6 mm/month for APC), Table 3. Apical vertebral translations and sagittal balance showed no statistical differences between the pre-op and follow-up or between the two surgical groups, Table 1 (used with permission) and Table 2, 3. There was a significant difference (\( p \)-value = 0.0006) in coronal balance between APC (12 ± 9 mm) and the growth rod (29 ± 21 mm) approach (at follow-up); there was also a borderline significant difference between the two groups at pre-op (16 ± 17 mm for APC vs 27 ± 20 mm for the growth rod approach, \( p \)-value = 0.052). Table 4 summarizes the complication rates at the latest follow-ups.

**Discussion**

This study presents comparative deformity identifiers on the active apex correction, a modified SHILLA procedure, and traditional growth rods with an average follow-up period of 32 and 62 months, respectively. In the former procedure, instead of apical fusion, apex compression was applied at the wedged vertebra. In addition to allowing a foundation for fixation at the apex, traditionally sought to control the curve, this procedure also seeks to dynamically modify the peak of the curve. The immediate benefits of the procedure alone are avoidance of risky osteotomies required to insert
the construct even within a single surgical group (e.g., using cross-links vs not using cross-links), varied pathogenesis of scoliosis, and overall unpredictable growth and development differences among children with such pathology. The main limitation of the current study is that there was a statistically significant difference between the pre-op values between both groups concerning follow-up time, Cobb angle, and coronal balance. The follow-up times varied because the two surgical methods were used in a consecutive series, as an evolution in the treatment philosophy itself. Nevertheless, the age of surgery and the female to male ratio were similar between the two groups. The differences in Cobb angle were inherent in the data set but not statistically significant at follow-up. However, the differences in coronal balance at pre-op became more prominent (statistically) at follow-up between the two groups. Furthermore, height gain, unlike other parameters, is unidirectionally proportional to follow-up times; that is, it gradually increases with time. Therefore, for accurate comparison we divided the total height for each subject with the follow-up times (duration of growth) and then made a statistical comparison between the two groups (the APC and the growth rod groups).

In conclusion, the result of this study suggests clinical equivalency with respect to correction between the two clinical procedures (APC and traditional growth rod systems) at the current follow-up period. However, the latter procedure presents an obvious disadvantage because it requires multiple surgeries to regularly distract the spine.

**Conflicts of Interest:** AA reports royalties from Paradigm Spine, Joimax, consultancy from Spinal Balance, Editorial Board membership from Clinical Spine Surgery, Spine, outside the submitted work. LA and AAA have nothing to disclose.

**Author Contributions:** Each co-author satisfied the four criteria as defined by ICMJE.

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**Table 3.** Statistical Differences between the Two Groups at Pre-op and Follow-up.

| Parameters     | Age | Gender | Follow-up time | Cob angle  | AVT  | Kyphosis | Sagittal balance | Spine length | Coronal balance |
|----------------|-----|--------|----------------|------------|------|----------|-----------------|--------------|-----------------|
|                | p-value |       |                | Pre | FU | Pre |FU |Pre |FU |Pre |FU |Pre |FU |
|                | 0.15 | NS (Fisher test) | 0.00037 | 0.037 | 0.12 | 0.87 | 0.29 | 0.58 | 0.12 | 0.32 | 0.76 | 0.48 | 0.39 | 0.052 | 0.0006 |

NS, not significant; FU, follow-up; AVT, apical vertebral translation

**Table 4.** Biomechanical Complications in the Two Groups.

| Biomechanical complications | No. of such complications (n) |
|-----------------------------|--------------------------------|
| TGR                         | APC                            |
| Proximal hook dislodgement  | 5                              | 1                              |
| Proximal junctional kyphosis| 2                              | 1                              |
| New proximal coronal curve  | 1                              | 0                              |
| Distal screw protrusion associated with infection | 1 | 0 |
| Distal screw dislodgement   | 1                              | 0                              |
| Iliac screw and rod loosening| 1                              | 1                              |
| Dislodgement of iliac screws | 1                              | 1                              |
| Implant prominence and infection | 1        | 0                              |
| Rod fracture                | 0                              | 1                              |
| Total (limited to current follow-up times) | 13 | 5 |

TGR, traditional growth rods; APC, active apex correction

screws at the concave end of the apex and more economical surgery (two screws instead of six at the apex of the curve) for underprivileged patients globally with no added risk over SHILLA. Furthermore, in the presence of more than one curve, this procedure is still applicable, whereas the SHILLA technique may not be as practical.

The current study demonstrates equivalent clinical results between the two groups at short to mid-term follow-up. Biomechanical complications were higher with the growth rod system and included the following: new proximal coronal curve (n = 1), distal screw protrusion associated with infection (n = 1), proximal hook dislodgement (n = 5), distal screw dislodgement (n = 1), iliac screw and rod loosening (n = 1), dislodgement of iliac screws (n = 1), implant prominence and infection (n = 1), and proximal junctional kyphosis (n = 2). The APC group included the following complications: dislodgement of iliac screws (n = 1), proximal hook dislodgement (n = 1), iliac screw and rod loosening (n = 1), rod fracture (n = 1), and proximal junctional kyphosis (n = 1). Besides the higher complication rate, which could easily have been due to longer follow-up times with growth rods (compared with APC), traditional growth rods had an obvious surgical disadvantage of repeated invasive procedures for lengthening.

Although one may argue the need for a more homogenous sample besides the presence of the same surgical team, it is seldom possible for the following reasons: differences in the deformity parameters at pre-op, variability between...
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