Arm movements induced by noninvasive optogenetic stimulation of the motor cortex in the common marmoset

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Optogenetics is now a fundamental tool for investigating the relationship between neuronal activity and behavior. However, its application to the investigation of motor control systems in non-human primates is rather limited, because optogenetic stimulation of cortical neurons in non-human primates has failed to induce or modulate any hand/arm movements. Here, we used a tetracycline-inducible gene expression system carrying CaMKII promoter and the gene encoding a Channelrhodopsin-2 variant with fast kinetics in the common marmoset, a small New World monkey. In an awake state, forelimb movements could be induced when Channelrhodopsin-2-expressing neurons in the motor cortex were illuminated by blue laser light with a spot diameter of 1 mm to 2 mm through a cranial window to permit stimulation of a larger area (diameter of 1 mm to 2 mm) than is possible with an optical fiber inserted into the cortex. With fast kinetics (19) that was able to follow each high-frequency photostimulation, as is commonly used in electrical stimulation (100 Hz to 350 Hz; ref. 15). Furthermore, we used a cranial window to permit stimulation of a larger area (diameter of 1 mm to 2 mm) than is possible with an optical fiber inserted into the cortex.

Significance

Which brain area drives hand/arm movements after learning or brain injury? When does motor cortical activity generate appropriate hand/arm movements? To address these issues, it is necessary to manipulate motor cortical activity in a controlled manner. Optogenetic tools allow neuronal activity to be manipulated in a variety of animals, but forelimb movements in nonhuman primates have not previously been optogenetically induced or modulated. Here, we improved a method of optogenetic cortical stimulation and induced overt forelimb movements in the common marmoset, a New World monkey. Photostimulation also modulated voluntary forelimb movements, with the modulated movement trajectories depending on the stimulation site and timing. Our results open doors for noninvasive interrogation of motor circuits in behaving nonhuman primates.
**Results**

**Hand Movement Was Induced by Photostimulation of the Motor Cortex in ChR2-Expressing Awake Marmosets.** We performed intracortical electrical microstimulation (ICMS) to identify the right M1 region dominating left forelimb movement in 2 awake common marmosets (marmosets E and F; SI Appendix, Fig. S1A). Within the identified area, a mixture of 3 AAVs encoding Thy1S-tetramyxine-controlled transactivator (tTA), CaMKII-Cre, and the tetracycline response element (TRE)-flex-ChR2 variant (E123T/T159C)-enhanced yellow fluorescent protein (EYFP) was injected into 6 sites (18). Then, a 4.5-mm or 5.5-mm circular glass window attached to a titanium chamber was put over the mixture-injected area (SI Appendix, Fig. S1 B and C). We observed obvious EYFP fluorescence around the injection sites 4 wk to 6 wk after the injection (SI Appendix, Fig. S1C). EYFP fluorescence was detected in 18.0 ± 1.9% of neurons (mean ± SD, n = 5 imaging areas, a total of 187 EYFP-positive neurons out of 1,044 propidium iodide-positive neurons) in the cortical tissue 484 d after the virus injection, and 8.7 ± 3.1% of the EYFP-positive neurons were parvalbumin-positive (n = 3 imaging areas, a total of 8 parvalbumin-positive neurons out of 83 EYFP-positive neurons; SI Appendix, Fig. S1 D–F). We also injected a mixture of AAVs encoding Thy1S-tTA, CaMKII-Cre, and TRE-flex-Clover (20) into the cortex of another marmoset to investigate the ratios of excitatory and inhibitory neurons to GFP (Clover)-positive neurons by immuno-in situ hybridization for VGLUT1 (excitatory) and GAD67 (inhibitory) genes. We found that 1 mo after injection, 89.2 ± 7.9% of Clover-expressing neurons were VGLUT1-positive (n = 6 imaging areas, 157 out of 174), while 11.0 ± 6.6% were GAD67-positive (n = 8 imaging areas, 29 out of 253; SI Appendix, Fig. S1 G–I). This result is consistent with the histology of the ChR2-injected marmosets. Our results suggest that, in our expression system, (~90% of the transgene-positive neurons are excitatory and 10% are inhibitory.

Before the photostimulation sessions started, the animals were habituated to the head-fixed and loosely trunk-restrained condition, and were trained to perform forelimb movement tasks (ref. 17 and SI Appendix, Fig. S2). We used an optical fiber to illuminate blue laser light onto the ChR2-expressing M1 through the cranial window of the awake head-fixed marmosets (Fig. 1 A and B). The spot diameter was set to 2 mm (Fig. 1 A and SI Appendix, Fig. S1 A and C). Five pulses of 5-ms-duration blue laser light (450 nm, 38 mW) were illuminated at 100 Hz. Movements of the left forelimb were captured by 2 high-speed charge-coupled device (CCD) cameras recording at 100 Hz. The position of the left hand was successfully tracked with the deep learning-based software DeepLabCut (ref. 21 and Fig. 1 C–F), without any optical markers being attached to the body part, thereby allowing free movement of the hand. We found that the photostimulation induced a small but stable hand movement (Fig. 1 C–E). We also photostimulated the same area with the laser running at decreased power levels (21.1 and 3.9 mW; Fig. 1 F and G). In both marmosets, the strong (38.0 mW) and midstrength (21.1 mW) photostimulation induced significant hand movements compared with the non-photostimulation control condition, while the weak (3.9 mW) stimulation did not induce such movements (Fig. 1 F–H). Similar hand movement was induced even when the diameter of the illumination spot was reduced to 1 mm (SI Appendix, Fig. S3). When the strong and midstrength stimuli were used, hand movement was detected in all trials, and the latency from the photostimulation onset to the movement onset was ~60 ms (Fig. 1 I). These latencies were similar to those induced by 500-ms ICMS in macaque M1 (22). These results clearly indicate that the repetitive 50-ms photostimulation of ChR2-expressing M1 induced a small but significant hand movement in awake marmosets.

### Photostimulation of M1 Induced Short-Latency Arm Muscle Activity.

We also observed that the surface electromyogram (sEMG) recordings of the biceps, triceps, extensor, and flexor muscles responded to repetitive 50-ms photostimulation (5 pulses of 5-ms duration at 100 Hz and 38.0 mW). SI Appendix, Fig. S4 shows the raw sEMG of the biceps muscle evoked by photostimulation. In marmoset E, the biceps, triceps, and extensor muscles showed significant changes in the averaged and rectified sEMG from 10 ms to 40 ms after photostimulation onset. In marmoset F, the sEMGs of the biceps and flexor muscles significantly changed from 20 ms to 50 ms after the onset (SI Appendix, Fig. S4B). Thus, the photostimulation of ChR2-expressing M1 in the awake marmoset evoked muscle activity with a delay of ~20 ms. This latency is...
within the range of the values measured for muscle activity evoked by M1 ICMS in anesthetized squirrel monkey (15.5 ms to 36.6 ms; ref. 23), thereby suggesting that photostimulation of M1 can directly evoke arm muscle responses.

**Long-Duration Photostimulation of M1 Induced Discrete Forelimb Movement.** Next, we increased the duration of repetitive photostimulation to 500 ms, as has also been used in ICMS experiments (22, 24), and examined the trajectories of the induced movements. Repetitive 500-ms photostimulation (50 pulses of 5-ms duration at 100 Hz and 38.0 mW) induced large and smooth continuous movements. Approximately 60 ms after the stimulation onset, left hand movements started with rotation and/or movement of the left elbow (Fig. 2 A–C and SI Appendix, Fig. S5 A and C). In both marmosets, the hand trajectories during the first 200 ms mostly overlapped with those induced by the 50-ms stimulation (Fig. 2 B and C). The hand trajectories in both marmosets (Fig. 2 B and C) and the elbow trajectories in marmoset F (Fig. 2C and SI Appendix, Fig. S5C) were stable across trials. In the trajectories with a displacement of >20 mm, the SDs of the angle of the direction from the original to end points were only 3.1° to 8.4° (Fig. 2 D, Top, and E and SI Appendix, Fig. S5D). The distance between the original and end hand points during the 500-ms stimulation depended on the stimulation strength, in a similar manner to the distances during the 50-ms stimulation (Fig. 2F and SI Appendix, Fig. S5E). With high or midstrength laser power, the hand displacement either continually increased during the 500-ms photostimulation (marmoset E) or reached a plateau before the end of the photostimulation (marmoset F). In both marmosets, the movements stopped within 200 ms after the end of the photostimulation, and their hands returned to the original position in ~500 ms to 1,000 ms. These stable forelimb movements with clear end points were similar to those induced by 500-ms photostimulation of the mouse M1, in which ChR2 is strongly expressed in pyramidal neurons (14). These results indicate that the forelimb movement induced by the 500-ms stimulation at 100 Hz was continuous from the start point to the end point (i.e., discrete), rather than rhythmic (14, 25).

When the stimulation frequency was reduced to 10 Hz (5 pulses of 5-ms duration at 10 Hz and 38.0 mW), the movement speed reduced, and the distance between the original and end points was shorter. However, the hand displacement accumulated across the photostimulations during the entire 500-ms stimulation period, and then decreased gradually after the end of the photostimulation (Fig. 2F and SI Appendix, Fig. S5E). Thus, low-frequency repetitive photostimulation with a sufficiently high laser power can also induce significant forelimb movements.

In the marmoset cortex, a TET-inducible system can maintain gene expression for more than 3 mo (17, 18). Therefore, we tested the duration for which the photostimulation could stably induce hand movements (SI Appendix, Fig. S6). The first session of the 500-ms photostimulation experiment was performed on postinjection day 183 in marmoset E and postinjection day 58 in marmoset F. In marmoset E, the movement direction was stable until postinjection day 441 (SI Appendix, Fig. S6 A and C). By contrast, in marmoset F, the direction changed over postinjection days 58 to 316, although the direction was similar across near days (SI Appendix, Fig. S6 B and D). When the experiments started, marmoset F was younger than marmoset E, and the postinjection day on which the experiments commenced was sooner in marmoset F. Thus, the difference in the direction stability might be due to changes in the gene expression level, and/or motor cortical organization during the experimental period, being larger in marmoset F than in marmoset E. The gradual decrease in the movement displacement in both marmosets might be caused by a decrease in the gene expression level. We concluded that the photostimulation induced significant hand movements for at least 259 d, and that the induced movement direction remained similar for at least 1 wk.

**Motor Mapping by Photostimulation.** We mapped the left hand movement within ~4 × 4 mm areas and presented the movement direction and displacement for each stimulation site as an arrow (SI Appendix, Fig. S7). In marmoset E, as the 500-ms photostimulation spot was moved from the medial to lateral axis of the cortex, the direction of the induced hand movement changed from a lateral (positive X), upward (positive Y), and forward (push, negative Z) direction to a lateral, upward, and backward (pull) direction (SI Appendix, Fig. S7B). In marmoset F, most stimulation sites exhibited the lateral, downward, and push direction (SI Appendix, Fig. S7D). Similar results were obtained with 50-ms photostimulation, although the movement directions were more varied (SI Appendix, Fig. S7 B and D). The sites that induced long-distance movements roughly corresponded with the sites where ICMS induced forelimb movements (SI Appendix, Fig. S1A). However, these sites were also around the virus injection sites (SI Appendix, Fig. S7 A and C), and, therefore, for...
marmoset G, we conducted ICMS in a larger field, increased the virus injections sites, and placed a larger (8.2 × 4.2 mm, rectangular) cranial window than we did for marmosets E and F (Fig. 3 A–C and SI Appendix, Fig. S8 A and B). On the basis of the ICMS current threshold determined in movement induction and other studies (26, 27), we determined the borders between M1 and the premotor area (PM) and between M1 and the somatosensory area 3a (Fig. 3A). Repetitive 500-ms photostimulation to M1 and parts of PM and area 3a, including the ICMS sites that induced forelimb movements, induced hand and/or elbow movements with large movement displacement (Fig. 3 C–G). Shorter duration (50 ms) and lower laser power (8.2 mW) photostimulation in some parts of M1, PM, and area 3a induced movements with small displacements, but the direction varied (SI Appendix, Fig. S8 C and D). The hand and elbow movement directions gradually changed as the stimulation was moved over the putative borders between PM and M1, and between M1 and area 3a (Fig. 3 D–G). The direction and displacement of hand movement in center–lateral and upward–downward axes appeared to gradually change with different sites over the cortex (Fig. 3D), while the movement direction in the push–pull axis steeply changed: push, pull, and push, as the photostimulation spot was moved from the medial to lateral M1 (Fig. 3E). By contrast, the elbow movement direction changed once from the push to pull direction (Fig. 3G). Ethologically relevant movements such as climbing, hand-to-mouth, and defensive movements, which accompany stereotyped movements of other parts of the body (24), were not induced at any photostimulation site. These results suggest that marmoset M1 has multiple domains responsible for discrete forelimb movements in different directions.

**Weak Photostimulation of M1 Modulated Voluntary Forelimb Movement.**

When we reduced the laser power to 0.5 mW to 1.2 mW, it did not induce visible hand/arm movements at rest. Therefore, we tested whether such weak photostimulation can affect movements during voluntary motor execution, when M1 is supposed to be activated. Marmosets E and F performed a visually cued pole-pull task, in which the marmosets needed to grasp and pull a pole beyond a threshold position during a 3-s cued period to obtain a juice reward (ref. 17 and Fig. 4 A–A and B). We photostimulated M1 for 500 ms (50 pulses of 5-ms duration at 100 Hz and 6 μA) or 200 ms (50 pulses of 5-ms duration at 100 Hz and 1.2 mW) at different timings to the cue onset (Fig. 4B). In marmoset F, when the 500-ms photostimulation started 500 ms before the cue onset, the position of the grasped pole was slightly but significantly moved to the push direction ~250 ms after the stimulation onset (Fig. 4 C and E). This slight movement of the pole was also observed when the photostimulation started 1,500 ms before the cue onset (Fig. 4G). In these cases, the reward reaching time (the time between the cue onset and the time when the pole position crossed the reward threshold) was unaffected or slightly shortened (Fig. 4 D, F, and H). However, photostimulation starting at the cue onset increased the reward reaching time (Fig. 4 D, F, and H), and photostimulation starting 500 ms after the cue onset disturbed the hand trajectory to the push direction ~700 ms after the cue onset (Fig. 4 C–H). When the laser illumination was outside the cranial window, the pole movement and reward reaching time were unaffected (Fig. 4 I and J). In marmoset E, the photostimulation resulted in the pole being moved in the pull direction, and decreased the reward reaching time in those trials in which the photostimulation started 500 ms before the cue onset (SI Appendix, Fig. S9). These directions of forelimb movement induced by weak photostimulation during the task are consistent with those induced by the strong stimulation (upward push direction of elbow movement in marmoset F and upward pull direction of hand and elbow movements in marmoset E; SI Appendix, Fig. S5 A and C). These results indicate that the weak photostimulation of M1 modulated cortical activity in a particular period during the forelimb moving task, with a bias toward inducing similar forelimb movement to that induced by strong photostimulation.

**Discussion**

In this study, we demonstrated that optogenetic stimulation of M1 successfully induced forelimb movements in 3 marmosets. By contrast, earlier optogenetic studies failed to induce or modulate any hand/arm movements in nonhuman primates (2, 12). In the macaque motor cortex, optogenetic stimulation increased the firing rate of neurons, but most of the stimulation-induced activity belonged to a neural subspace that was not related to the task performance (16). The success in this study might be due to the following 2 reasons. First, to amplify ChR2 expression, we used a TET-inducible system that has been used to amplify transgene expression in rodents (28) and marmosets (17, 18), a ChR2 variant (E123T/T159C) with fast kinetics, and a relatively large light illumination spot (1 mm to 2 mm). Therefore, a larger number of neurons, whose activity might be linked to the hand movement,
would be activated than in previous studies. Second, we used marmosets, whose M1 is smaller than that of macaques. The neuronal density and light permeability may be higher (29), and, therefore, even if the same cortical volume is illuminated, a larger proportion of the motor cortical neurons that are relevant to hand/arm movements would be activated in the marmoset than in the macaque. In contrast to the macaque, the marmoset (also the squirrel monkey and mouse) makes either no or only weak direct corticomotoneuronal connections (30–32). In the marmoset and mouse, photoactivation of other types of cortical neurons may be able to effectively induce muscle responses in the forelimb (13, 14, 33). In the macaque, gene transfection into corticomotor neurons might be too inefficient to be photoactivated. It is important to investigate whether a Tet-inducible system with the current combination of AAVs and a 1- to 2-mm-diameter laser beam can be used to activate a sufficient number of corticomotor neurons and/or other types of cortical neurons to induce forelimb movements in other nonhuman primates.

Our stimulation method involving laser illumination over the cortex is much less invasive than that involving insertion of an optical fiber into the cortex. In addition to the anterior–posterior axis, if the cranial window size were to be laterally extended to ~10 mm, the photostimulation site could cover most of the somatotopical map of the M1 and premotor cortex in the marmoset hemisphere (26), in a similar manner to covering the mouse dorsal neocortex (14). Marmosets are now being considered as a promising animal model for human psychiatric disorders, neurological disorders (34), and spinal cord injury (35), and transgenic marmoset models of a number of diseases, including spinocerebellar ataxia type 3, have been developed (36, 37). Therefore, further developments in model marmosets and stimulation techniques will allow us to clarify the long-term reorganization of the cortical body map not only during motor learning but also during rehabilitation after spinal cord injury, and will allow us to understand motor dysfunctions relevant to human psychiatric and neurological diseases.

In the present study, ethologically relevant movements (24) were not induced by 500-ms photostimulation. We think it is likely that ethologically relevant movements could be induced in the marmoset, because other New World monkeys, and even mice, show such movements (13, 14, 38). Induction of ethologically relevant movements might need the activation of a larger number of cortical neurons, especially corticospinal neurons. In addition, the stimulation parameters might need to be optimized to induce ethologically relevant movements. In our condition, the long-duration photostimulation did not induce hand movement directed toward the center of the body. This movement might be induced by activation to the more lateral (ventral) side of the motor cortex, because hand-to-central-space movement is induced by long-duration ICMS in the macaque lateral motor cortex (24). More experiments, including long-duration ICMS, are necessary to clarify the complex movement map in the marmoset.

In the visually cued pole-pull task, weak 500-ms photostimulation at any time point in respect to the cue onset caused the pole to be slightly moved in the same direction as the movement induced by the strong 500-ms stimulation. This suggests that M1 neurons are more activated by and more sensitive to photostimulation during a voluntary movement task than they are at rest. In both marmosets, the photostimulation that started 1,500 ms before the cue onset did not affect the reward reaching time, suggesting that the preceding perturbation of the M1 activity can be amended for ~1 s before the onset of the voluntary movement. By contrast, when the photostimulation started at the cue onset for marmoset F, and 500 ms before the onset for marmoset E, the reward reaching time changed according to the direction of the induced movement. The difference in the effect of the time window between marmosets E and F might be due to the difference in the extent of the photoactivation and/or stimulation position (39). These results suggest that the voluntary movement was biased toward the movement dominated by the domain of M1 with high activity.

In marmoset G, the mapped M1 was laterally divided into push-, pull-, and push-dominant domains for the hand movement direction. It is therefore necessary to clarify how voluntary arm
reaching in each direction is related to the combination of the neuronal activity in the relevant domain with that in other domains, and 2-photon calcium imaging is useful for this purpose. We previously demonstrated that 2-photon calcium imaging can detect motor cortical activity at a single-cell resolution while a head-fixed marmoset performs a pole-pull/push task and a pole-pull task with velocity-dependent perturbation of pole movement (17). In addition, calcium imaging of the motor cortex with miniature microscopy was applied to naturally behaving marmosets (40). In the present study, the forelimb trajectories could be tracked with a deep learning algorithm applied to the CCD camera images, without the use of any markers. Therefore, all-optical interrogation of motor control can be applied to behaving marmosets. Two-photon calcium imaging has also been recently applied to awake macaques (41). If the current photostimulation method is also effective in other monkeys, all-optical interrogation of the motor control system in behaving nonhuman primates could become prevalent.

Materials and Methods

All experiments were approved by the Animal Experimental Committee of the University of Tokyo. Laboratory-bred common marmosets were used in the present study. All surgical procedures and AAV injections were performed under aseptic conditions, as described previously (17). All surgical procedures and AAV injections were performed as described previously (44). Data are presented as mean ± SEM, unless otherwise noted. Detailed methods are described in SI Appendix. The data supporting the findings of this study are available from the corresponding author upon reasonable request. The data for the photostimulation-induced movements used in Figs. 1–4 have been deposited in figshare (45).

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