Seroprevalence of HIV infection among cataract surgery patients in south-east Nigeria: A retrospective cross-sectional study

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Abstract

Background: Human Immunodeficiency Virus remains an important cause of morbidity and mortality especially in sub-Saharan Africa. Despite the availability of highly active antiretroviral therapy, many people with this disease are still present with its ocular complications. Cataracts remain the commonest reason for blindness in Nigeria and globally. The prevalence of HIV infection among cataract patients in south east Nigeria has remained unknown.

Objectives: To determine the prevalence of human immunodeficiency virus among cataract patients in south east Nigeria.

Materials and Methods: This was a retrospective cross-sectional study. The case files of all who had cataract surgery between September 1st, 2020 to March 31st, 2021 at City of Refuge Specialist Eye Clinic Onitsha, Nigeria was obtained from the Medical Record Department of the hospital to extract relevant information and demographic data. The outcome measures included the prevalence of HIV, as well as the gender and the age of affected cataract patients. Data was analyzed using SPSS 26.0 IBM Corporation. Fisher’s exact test was performed for categorical data, and Student’s t-test was applied to continuous variables depending on their distribution. A p value of <0.05 was considered statistically significant.

Results: In this study, 423 participants who underwent cataract surgery were included for analysis of which 16 were HIV positive. The overall seroprevalence of HIV among the study population was 3.78% with HIV prevalence showing the female to male ratio of 1:1. Up to 70.81% of the patients were at least 60 years of age. Although there was a statistically significant difference between the prevalence of HIV and seasonal presentation (p=0.015), there was no statistically significant relationship between the participants age and gender (p=0.195), prevalence of HIV and the participants’ age categories (p=0.149) or participants’ settlements (p=0.219).

Conclusion: The prevalence of HIV among cataract patients in this study was 3.78%. This study confirms that HIV infections are an important public problem among cataract patients in Nigeria. Our findings draw attention to the significant burden HIV has on cataract surgery in Nigeria. We recommend advocacy for the national policymakers to initiate HIV tests in routine ophthalmology services.
Keywords: Cataract; HIV; Ophthalmology; Prevalence; Policymaker

1. Introduction

Human Immunodeficiency Virus (HIV) infection remains an important cause of morbidity and mortality, especially in sub-Saharan Africa [1]. Many years after recording its first HIV case, the burden of HIV in Nigeria still continues to be high [2]. Though a blood borne disease transmitted through sharing of contaminated needles, syringes and other injecting equipment, HIV is also transmitted through unprotected anal or vaginal sex and through oral sex. Unsafe organ transplants, blood transfusions or blood products increase the risk of HIV infection. Health workers who attend to HIV patients are also at risk of contracting HIV infection [3].

The prevalence of HIV infection is affected by many factors and varies from country to country and even within the same country. Some of these factors affecting HIV prevalence include religious beliefs, cultural practices, access to Highly Active Antiretroviral Therapy (HAART), poor urban dwelling, malnutrition and having multiple sexual partners [4].

Cataract is still the leading cause of avoidable blindness worldwide with Nigeria having a high cataract burden. According to the Nigeria National Blindness and Visual Impairment survey, cataract remains the commonest cause of blindness in Nigeria [5]. About 10 million cataract surgeries are performed globally each year, making cataract surgery not only one of the commonest surgical procedures performed globally, but also one of the most cost effective [6]. This high volume of cataract surgeries increases the risk of contracting blood borne diseases like HIV from patients by eye care staff, especially the surgical team. The availability of HAART has helped many HIV positive patients to overcome deadly opportunistic infections thereby living longer and adding to the cataract burden. This risk of transmission is even higher where the seroprevalence of HIV cataract patients is not known, especially in low income countries like Nigeria with high burden of both HIV and cataract [7]. Therefore, awareness of HIV seroprevalence of booked cataract patients will help the cataract surgical team to engage universal precautions against HIV infections.

Patients with HIV often present with ocular diseases. These include cataract which could be complicated, uveitis, HIV retinopathy, squamous cell carcinoma, and herpes zoster ophthalmicus. Other HIV associated ocular diseases are Kaposi sarcoma, cytomegalovirus (CMV) retinitis and retinal detachment [7].

Most cataract services are usually offered within eye hospitals or community outreaches. Majority of cataract surgeries are done under local anesthesia [8]. These include topical anesthesia and pericocular injection of local anesthetic. Periocular injections carry the risk of needle stick injuries especially if the one giving the injection is inexperienced or the patient is uncooperative. Handling and exchange of very sharp micro-surgical instruments in the course of the cataract surgery on HIV positive patients portend danger to the surgical team. Eye care staff is also at risk of HIV infection while cleaning HIV contaminated instruments and during the disposal of HIV contaminated biomedical waste. Biometry and contact tonometry are frequent preoperative evaluations for cataract patients, also carry the risk of HIV infection [3].

The risk of HIV infection is therefore higher when the HIV sero-status of patients undergoing cataract surgeries is unknown. Moreover, outcomes of cataract surgeries are also influenced by HIV seropositivity. This means that cataract surgery in HIV patients will require special considerations in order to protect the eye care staff, protect other patients undergoing cataract surgeries at the same time and also to achieve a good outcome [9]. The objectives of this study were to assess the seroprevalence of HIV among cataract patients, to determine the age, gender and other demographic characteristics of HIV cataract patients who had cataract surgery, and to assess the significance of routine HIV screening before carrying out cataract surgery.

2. Material and methods

2.1. Study design

A cross-sectional retrospective study.

2.2. Study population

The study was conducted among patients that underwent cataract surgery at City of Refuge Specialist Eye Clinic, Onitsha, Nigeria.
2.3. Study site
City of Refuge Specialist Eye Clinic, Onitsha. It has consultant ophthalmologists, optometrists and ophthalmic nurses, laboratory scientists among other health workers. It serves as a referral centre for cataract surgeries for eye clinics within Anambra, parts of Imo, Enugu, Abia, Ebonyi and Delta states.

2.4. Inclusion criteria
All cataract patients who underwent cataract surgery between 1st September 2020 to March 31st 2021 at City of Refuge Specialist Eye Clinic, Onitsha, Anambra state, Nigeria.

2.5. Exclusion criteria
Patients who underwent surgery other than cataracts were excluded.

2.6. Sample size determination
The sample was calculated using a single population proportion with the following assumptions: an expected prevalence of 50%, margin of error of 5%, at 95% confidence level. The calculated sample size was 423. However, a total of 423 cataract patients, who were between 20 and 90 years old and eligible for the study, were identified during and their case files retrieved. Relevant data was extracted.

2.7. Sample technique
Non-random sampling technique was employed.

2.8. Study outcome Measures
The prevalence of HIV, as well as the gender and the age of affected cataract patients.

2.9. Procedures involved
The theatre records were reviewed to identify patients that underwent only cataract surgeries during the study period. These patients’ case records were then retrieved from the hospital record department. The patients’ socio-demographic data, HIV sero status and indication for the cataract surgery were retrieved and analyzed.

2.10. Sample Collection and Detection of Antibody for HIV
HIV was tested using commercially available rapid test kits following the manufacturer's protocol and Nigerian national HIV test algorithm. The national HIV test algorithm involves three tests to confirm HIV sero-positivity. According to the algorithm, Alere Determine (Alere Medical Co. Ltd., Matsudo, Japan) was the first screening test and any positive samples in Alere Determine need re-confirming with second test Uni-Gold Recombigen® (Trinity Biotech, Ireland) to be positive. Samples giving discordant results in the two tests (Alere Determine and Uni-Gold Recombigen®) were re-tested using tiebreakers STAT-PAK (Chembio Diagnostic Systems, Inc., USA). Patients who were positive on the third test were also considered positive. Strict national guidelines, manufacturer's direction, and standard operational procedures were followed for each test procedure to ensure quality.

2.10.1. Data Quality Control
Data extraction was conducted using a pre-tested structured review proforma. Data collection was performed by two trained medical professionals under the principal investigator’s supervision. The clarity and extensiveness of data were checked daily by the principal investigator.

2.10.2. Data Processing and Statistical Analysis
All collected data were entered and cleaned by Excel spreadsheet. The cleaned data were exported to SPSS version 26.0 statistical software for analysis. Continuous variables were presented using mean, median and standard deviation, while categorical variables were described by frequency and proportion; and presented using tables and figures. Data was analyzed using SPSS 26.0 IBM Corporation. Fisher exact test was performed. A p value of < 0.05 was considered statistically significant.
2.11. Ethical consideration

The study protocol was reviewed and approved by the Chukwuemeka Odumegwu Ojukwu University Teaching Hospital Ethics Committee (Reference No. COOUTHU/CMAC/ETH.C/VOL.1/FN:04/0090 and date of ethical approval obtained was 29/04/2021). Written informed consent was sought from each study participant, but for those who could not write and read; oral informed consent was also obtained. The confidentiality of the collected data was assured during data collection and anonymity of the study participants was maintained during analysis.

3. Results

In this study, 423 participants who underwent cataract surgery between September 2020 and March 2021 were included for analysis of which 16 (3.78%) were HIV positive. The prevalence of HIV among cataract patients was 3.78%.

Three hundred and ninety-one participants out of the 423 revealed their ages.

Table 1 Distribution of age and gender among the study participants

| Age (years) | Total freq (%) (n=391) | Gender (%) |
|-------------|------------------------|------------|
|             |                        | Female (n=200) | Male (n=191) |
| 15-29 years | 9 (2.30)               | 2 (1.0)   | 7 (3.7) |
| 30-44 years | 20 (5.10)              | 13 (6.5)  | 7 (3.7) |
| 45-59 years | 85 (21.68)             | 47 (23.5) | 38 (19.9) |
| 60-74 years | 210 (53.71)            | 102 (51.0) | 108 (56.5) |
| 75-89 years | 62 (15.82)             | 32 (16.0) | 30 (15.7) |
| > 90 years  | 5 (1.28)               | 4 (2.0)   | 1 (0.5) |
| Mean Age (±STD) | 63.85±12.75 | 63.66±12.28 | 64.05±13.29 |

χ²-value = 7.363, df=5, p-value=0.195

Table 2 Prevalence of HIV distribution in males and females

| Viral disease | Total freq (%) | Gender (%) |
|--------------|---------------|------------|
|              |               | Female | Male |
| HIV          |               |         |     |
| Positive     | 16 (3.8)      | 8 (3.6) | 8 (4.0) |
| No disease   | 406 (96.2)    | 215 (96.4) | 191 (96.0) |
| Fischer’s exact (p) | 0.054 (0.816) |         |     |

Table 3 Prevalence of HIV distribution in among different age categories

| Viral disease | Total freq (%) | Age category (years) (%) |
|--------------|----------------|-------------------------|
|              | 15-29 | 30-44 | 45-59 | 60-74 | 75-89 | > 90 |
| HIV          |       |       |       |       |       |      |
| Positive     | 15 (3.8) | 0 | 3 (5.0) | 4 (4.7) | 6 (2.8) | 2 (3.2) | 0 |
| No disease   | 377 (96.2) | 9 (100) | 17 (85.0) | 81 (95.3) | 205 (97.2) | 60 (96.8) | 5 (100) |
| χ²-value (p) | 8.135 (0.149) |         |     |       |       |      |
Table 4 Prevalence of HIV distribution among rural, urban and semi-urban settlements

| Viral disease | Total freq (%) | Location (%) |
|--------------|----------------|--------------|
|              | Rural | Semi-urban | Urban |
| HIV          |       |            |        |
| Positive     | 7 (1.9)| 4 (3.4)    | 1 (3.6)| 2 (0.9) |
| No disease   | 362 (98.1)| 114 (96.6) | 27 (96.4) | 221 (99.1) |
| $\chi^2$-value ($p$) | 3.033 (0.219) | | | |

Table 5 Prevalence of HIV across different months of the year (September-March)

| Viral disease | Total freq (%) | HIV (%) |
|--------------|----------------|---------|
|              | No disease (n=407) | Positive (n=16) |
| December     | 59 (13.9) | 59 (14.5) | 0 |
| February     | 68 (16.1) | 67 (16.5) | 1 (6.2) |
| January      | 61 (14.4) | 55 (13.5) | 6 (37.5) |
| March        | 68 (16.1) | 67 (16.5) | 1 (6.2) |
| November     | 59 (13.9) | 57 (14.0) | 2 (12.5) |
| October      | 53 (12.5) | 48 (11.8) | 5 (31.2) |
| September    | 55 (13.0) | 54 (13.3) | 1 (6.2) |
| TOTAL        | 423 (100) | 407 (100) | 16 (100) |

$\chi^2$-value = 15.718, df=6, p-value = 0.015*

Figure 1 Distribution of HIV across different months of the year (September-March)

Table 1 shows the distribution of age and gender of the participants. Up to 70.81% of the patients were at least 60 years of age. Both male and females are equally distributed. There was no statistically significant relationship between the participants age and gender ($p=0.195$). Regarding gender, the HIV prevalence shows the female to male ratio of 1:1. This is shown in Table 2.

The prevalence of HIV distribution among different age categories is shown in Table 3. There was no significant difference between the prevalence of HIV and the participants’ age categories ($p=0.149$).
Table 4 shows the prevalence of HIV distribution among rural, urban and semi-urban dwellers. The prevalence of HIV was not influenced by the participants' settlements (p=0.219).

Table 5 and figure 1 show the prevalence of HIV across different months of the year (September-March). There was a statistically significant difference between the prevalence of HIV and the different months of the year presentation of the cases (p=0.015).

4. Discussion

This study represents the first published study of HIV-positive patients that exclusively underwent cataract surgery in a hospital setting in Nigeria, to the authors' knowledge. This study found that the prevalence of HIV among cataract patients was 3.78%. There was a significant difference between the prevalence of HIV and the different months of the year presentation of the cases (p=0.015).

As revealed in this study, the prevalence of HIV among cataract patients in this study was 3.78%. This finding was similar to a study conducted in Gabon that found HIV prevalence of 4.6% among people who underwent cataract surgery during humanitarian surgical mission [10]. However, published values continued to be high compared to 0.09% reported in the literature by Rawri et al [11] and 0.35% by Ambastha et al [12]. In a previous Nigerian study by Ezegwui et al aimed at highlighting the need to offer HIV voluntary counseling and testing services to ophthalmic surgery patients so that seropositive patients can be identified early and managed appropriately, an HIV seroprevalence of 3.0% was recorded [13]. Additionally, our findings were also substantiated by another previous Nigerian study by Alhassan et al, aimed at determining the proportion of patients for eye surgery who were HIV/AIDS seropositive at the National Eye Centre, Kaduna, Nigeria, whose prevalence of HIV was 0.2% [14].

The clinical implications of the current study is that ocular comorbidities can occur in HIV positive subjects as well as in HIV negative subjects [15]. For instance, in a previous study, the HIV positive patients with uveitis prior to surgery improved less (p = 0.046) than HIV negative participants (p < 0.001) [15]. The associated risk factor for HIV infections among cataract patients was month of the year which appears to be commoner in the dry season. Thus, routine HIV voluntary counseling and testing services are encouraged for all ophthalmic surgery patients so that seropositive patients can be recognized and prompt fitting management initiated. More recently, modified small-incision cataract surgery with posterior chamber lens implantation has been suggested as a surgical technique suitable for cataract surgery in regions with a high prevalence of HIV infection [16].

One of the lingering concerns of ophthalmologists operating on cataract patients with HIV infection has been risk of viral transmission during the procedure. However, despite the concerns, HIV transmission from patients to operating surgeons is tremendously rare [17]. Standard precautions to prevent occupational exposure to HIV and other infectious pathogens in the healthcare scenery and during surgery have been highly effective with only one healthcare worker confirmed contracting HIV from 2000 to 2013 [18]. These data reinforce the need for continuing and further education of eye care providers and staff regarding the usefulness of standard precautions and low risk of HIV transmission.

Although this current study brings very important evidence on the burden of HIV among cataract patients and forwarded recommendation for policy makers, it has its own limitations. The main limitation of the study was the study design which makes the study result not to be generalized to the general population. The key limitations of this study are related to the patients' population and number of cataract procedures performed in the single center study site among HIV positive participants. These limitations may impact the generalizability of findings. The overall number of HIV positive subjects was small, so findings should be interpreted cautiously. Participant responses may have been influenced by the interviewers' own biases and the presence of the interviewer may have in turn affected the participant response. There may have also been an issue of recall bias among participants. However, efforts were made to use trained research assistants who were well versed in the research methods, knowledgeable in how to probe for more accurate recall, and comfortable with the sensitivities of the subject matter. Despite these, the study can be used for better planning of HIV among cataract patients and endorse the initiation of HIV tests in routine ophthalmology services.

5. Conclusion

The prevalence of HIV among cataract patients in this study was 3.78%. This study confirms that HIV infections are an important public health problem among cataract patients in Nigeria. Many patients living with HIV infection may be appropriate candidates for cataract operation. The associated risk factor for HIV infection among cataract patients was month of the year which appears to be commoner in the dry season. Hence, this study suggested the need for structured
education of the population for HIV prevention, and recommended advocacy for the national policymaker to initiate HIV tests in routine ophthalmology services. We also suggest that screening for viral markers should be made mandatory before ocular surgeries so that early detection and treatment of patients can be done and risk of horizontal spread is minimized.

Compliance with ethical standards

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The data used to support the findings of this study are available from the site publicly.

Disclosure of conflict of interest

The authors declare that they have no competing interests.

All authors have made substantial contributions to: conception and design of the study, or acquisition of data, or analysis and interpretation of data; drafting the article or revising it critically for important intellectual content; and final approval of the version submitted. This manuscript has not been submitted for publication in another journal.

AA Onwuegbuna, EA Chianakwalam, and GU Eleje were involved in the overall conceptual design and implementation of the project, and overall revision of the manuscript. MC Amobi, AI Apakama, CM Okosa, EE Igboegwu and CG Chigbo were involved in the writing of this manuscript and overall revision. The authors read, approved the final manuscript and agreed to be accountable for all aspects of the work.

Statement of ethical approval

Ethical approval was obtained from Chukwuemeka Odumegwu Ojukwu University Teaching Hospital, Amaku, Awka, Nigeria, with registration number: COOUTH/CMAC/ETH.C/vol.1/FN: 04/009 (approval date: April 29, 2021).

Statement of informed consent

Informed consent was obtained from all individual participants included in the study.

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