Functional and Process Model on Big Data, Machine Learning, and Digital Phenotyping in Clinical Psychiatry

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Advances in information technology and the advent of big data (BD) and automated evaluation have changed how we acquire, analyze, and present data in the clinical care continuum.1 The use of technology is increasingly seen as a solution for addressing the skewed doctor–patient ratio in the field of mental health (MH), albeit with a number of challenges to be addressed.1 Subsequently, the role of data science and technology in psychiatric practice has been actively explored in recent years.1 Machine learning (ML) techniques use complex multimodal data in psychiatry for clinical decision-making, otherwise unexplored by psychiatrists.2-5 This technology deployment is shifting the clinical psychiatry practice from theory- and experience-driven to data- and technology-driven.2-3 The important aspect of this technology-enabled clinical psychiatry is the generation of BD and its analysis and presentation for clinical decision-making.2-3 This paradigm shift, if not accompanied by a corresponding increase in the skills of the psychiatrists to handle new technology, will nullify any advance in the technology.4

However, BD in psychiatry has been published with less straightforward implications for psychiatrists dealing with the patients directly. BD in MH has been predominantly discussed from a computational and engineering perspective.6 There are no reviews that broaden the knowledge landscape in clinical psychiatry that underpin the basic science of artificial intelligence (AI) for MH, psychiatry epidemiology, and MH policymaking.

This article aims to create an easy-to-understand functional and process model for psychiatrists with little to no knowledge about BD, ML, and AI. The best way to realize this aim is to synthesize knowledge from the existing literature and personal experiences in dealing with clinical data. Therefore, this article was written to provide familiarity with upcoming concepts and trends in BD, AI, and their potential applications for psychiatrists, without delving into the technical jargon. This viewpoint article will also help psychiatrists collaborate with technology counterparts to translate these ideas and concepts for effective clinical applications. Discussion on complex techniques and technology has been
from the studies should have a direct or indirect impact on clinical decision-making. A systematic review was done between January 2020 and June 2021, using the predefined criteria. Articles published in English and peer-reviewed from 2010 to 2021 were selected for review. A title search was done to find the correct papers. Then those screened in the title search were selected for reading the abstracts. Those that fulfilled the eligibility criteria were selected for reading the entire article. The papers were then studied to extract ideas. The articles provide insight on BD in psychiatry, ML in psychiatry, and applications of ML/AI in MH (according to the World Health Organization definition of MH).

**Results of the Review**

Analysis of the content revealed codes and categories summarized in Table 2. The findings and salient features of the functional and process model are discussed in detail under discussion.

**Discussion**

Some reviews and studies dealt with the technical aspects of AI in psychiatry and MH. The studies detailed the design of the algorithm, the architecture required to implement them, and the function of the algorithm. However, when a psychiatrist tries to understand this concept, it becomes overwhelming as these concepts are written from a nonclinical perspective. The need for describing these concepts from a clinical perspective is essential to ensure that psychiatrists can collaborate with technology experts in using AI to solve common problems in clinical practice. Additionally, this paper will serve as a starting point for psychiatrists trying to get an overview of data science and AI in MH without a technical conundrum.

Therefore, the discussion section has been divided into two parts.

Part A: A functional model that discusses the individual components of the
Part A: Functional Model

The functional model was derived from breaking the complex concepts into an easy-to-understand framework for direct clinical implications in psychiatry. Therefore, only insights that are relevant to clinical psychiatry have been discussed. Hence, concepts have been populated and discussed without definitions or detailed descriptions. Most of the insights apply to the entire health care sector, although specific implications in psychiatry have been discussed. Figure 2 shows the functional model from a clinical psychiatry perspective. The ultimate beneficiary is the patient, who is also the model’s starting point.

This section is further organized under the major themes of the review: data in MH/psychiatry, analysis, and applications in MH/psychiatry.

Data in Mental Health/Psychiatry

The major headings in this subsection are data acquisition (where, what, and how data is collected), data management entire bench-to-bedside pathway of data science and AI in psychiatry

Part B: A process model on how these components interact and what is expected out of the psychiatrist, the essential knowledge required, and how to enable meaningful innovation in psychiatry

Viewpoint

**TABLE 2.**

| Themes | Data in Mental Health/Psychiatry | Analysis | Applications in Mental Health/Psychiatry |
|--------|----------------------------------|----------|-----------------------------------------|
| Categories | Data Acquisition | Data management | ML Techniques | DPMH | CDSS | Detection and Diagnosis | Prognosis, Treatment, and Support | Public Health Applications | Research and Clinical Administration |
| Sources and methods | Storage | Classification | Supervised | Affect analytics | Basic/Advanced | Screening | Personalize treatment | Surveillance | Improve resource allocation |
| Verification | Unsupervised | Behavioral analytics | Diagnosis | Ensure adherence to treatment | Clinical Epidemiology | Improve research methods |
| Types | Validation | Social analytics | Risk Prediction | Detect Behavioral changes | Use existing secondary data for research |
| Characteristics | Update | Biomarker analytics | Public Health Applications | Research and Clinical Administration |
| Barriers to acquisition | |

CDSS, clinical decision support system; DPMH, digital phenotyping in mental health.

**FIGURE 1.**

**Summary of the Research Process**

**FIGURE 2.**

**Functional Model From a Clinical Psychiatry Perspective**
TABLE 3.
Sources and Methods of Data Collection (Traditional Perspective)

| Source Where It Is Generated (Traditional Approach) | Names of the Sources |
|-----------------------------------------------------|----------------------|
| Patient                                             | Case notes, electronic medical records (EMR), electronic health records (EHR), discharge notes, pharmacy, genomics, social media, imaging, claims, lab/biomarkers, clinical trials. |
| Physician                                           | Prescriptions, research experience, survey data, reference data. |
| Institutions                                         | Claims, sales consumption, research experience, reference data. |
| Countries                                            | Registries |

TABLE 4.
Sources and Methods of Data Collection (Big Data Perspective)

| Based on the Source of Collection (Big Data Approach) | Description | Objective |
|-------------------------------------------------------|-------------|-----------|
| Biomedical data                                      | Data from hospitals and scientific communities, research and development data from pharmaceutical companies, electronic medical records (EMR), electronic health records (EHR), and clinical trials data. | To develop tools for personalized medicine |
| Internet                                              | Navigation history, search history, and shopping history. | To understand real-time trends in health care |
| Social networks                                       | Social media like Twitter, Facebook, LinkedIn, etc. | To analyze the impact of disease To under people’s perception |
| Wearables                                             | Data from smart watches, medical devices | To understand human mobility and interaction patterns |
| Mobile phones                                         | Embedded sensors like GPS, accelerometer, gyroscope, camera, microphone, apps. | To understand human mobility and interaction patterns |

TABLE 5.
Characteristics of BD in Mental Health/Psychiatry

| Domain-specific/General               | Characteristics | Description |
|---------------------------------------|-----------------|-------------|
| Specific to clinical BD in psychiatry  | Data Fragmentation | Data is stored in different formats in different places |
|                                       | Unstructured    | The data doesn’t have a specific form |
|                                       | Lack of interoperability | Data from different sources can’t be integrated |
|                                       | Lack of standardization | There is no uniform way of collecting data |
| Applicable to all BD in health care    | Volume          | Data explosion because of exponential growth of data that is generated each day |
|                                       | Variety         | Data is generated in different types from different sources |
|                                       | Velocity        | Continuous and massive inflow of real-time data |
|                                       | Veracity        | Data has a lot of noise, abnormality, and biases |
|                                       | Validity        | Some data is irrelevant in most the cases |
|                                       | Volatility      | All data cannot be perpetually stored and managed |

Characteristics of Data in Psychiatry/MH

Please see Table 5.

Barriers to Efficient Data Acquisition in Clinical Psychiatry

The most common barriers to data acquisition are:\n1. Technical barriers (lack of interoperability).
2. Political barriers (lack of effective data-sharing policies).
3. Ethical barriers (lack of consensus on privacy and confidentiality).
4. Administrative barriers (inadequate human resources to handle clinical data).

Data Management and Retrieval

This is purely a nonclinical aspect of BD, consisting of verification, validation, storage, classification, update, standardization, and review. The process of extracting data from a database for analysis or visualization is called retrieval. This is beyond the scope of this paper to discuss in detail. However, psychiatrists need to be familiar with the vocabulary, terminologies, and various data standards in health care.
BD Analysis in Psychiatry

Machine Learning Techniques (ML Techniques)

ML techniques can be supervised, semi-supervised, or unsupervised. There are many reviews that detail ML techniques in MH. An important concept to remember is that ML techniques are a way to use computers to analyze BD. This vast domain is not for psychiatrists, although basic knowledge is necessary to collaborate with ML engineers. Each technique has a unique purpose and function. The choice of ML technique depends on the need, available data, and available resources. At any point, the choice of an ML technique is always taken by the nonclinical counterpart; therefore, a general understanding of the concept is enough.

Digital Phenotyping of MH (DPMH)\(^{28,29}\)

With such voluminous data and multiple correlations with different variables that can be spurious at times, it is essential to identify those data points that are clinically significant and serve as a surrogate marker for any underlying psychiatric disorder. Also, it is essential to find variables that represent many other variables or variables with higher weightage so that the less significant variables can be ignored from the overall decision-making model. The process of extracting clinically significant digital biomarkers that provide meaningful insight for decision-making in psychiatry is called as digital phenotyping of MH (DPMH). It is of the following types:

1. Affect recognition (emotion recognition and sentiment analysis)
2. Cognitive analytics (acoustic analysis, linguistic analysis, and emotion processing)
3. Behavioral anomaly detection (sleep monitoring, substance abuse, suicide, and eating disorder)
4. Social analytics (social influence, social dynamics, and social participation)
5. Biomarker analytics (genome and neurological imaging)

Clinical Decision Support Systems (CDSS)\(^{30}\)

Clinical decision support systems (CDSS) are computerized and noncomputerized tools and interventions that aid clinical decision-making for care, support, and treatment. Depending on the complexity of the problem to which support is provided, CDSS can be basic (simple alerts for taking drugs, prompts for better data collection in health management information systems (HMIS), etc.) or advanced (checks for drug-disease interactions, providing treatment recommendations, etc.). Basic CDSS is part of the regular clinical care continuum; however, advanced CDSS is still a theory.

Applications in Mental Health/Psychiatry\(^{31}\)

Data science and AI in MH/psychiatry can be used for the following:

1. Detection and diagnosis of clinical conditions (screening, diagnosis, and risk prediction).
2. Prognosis, treatment, and support (personalizing treatment, ensuring adherence to treatment, detecting behavioral changes, and predicting long-term outcome).
3. Public health applications (surveillance and clinical epidemiology).
4. Research and clinical administration (improving resource allocation and research methods, using existing secondary data for research).

Part B: A Process Model with Implications in Clinical Psychiatry

The challenge with translational research is that the knowledge of the application of concepts is different from the actual understanding of the concepts. This section is drafted considering that psychiatrists have little to no understanding of data science and AI concepts. However, this should not limit them in providing meaningful contributions to technology experts who require psychiatrists’ input and validation. Therefore, this section deals with a simple process model that any psychiatrist is likely to encounter when dealing with data science and AI in MH. The process can be broadly divided into three phases (Figure 3).

Ideation

This is the most important stage in any innovation or invention. Technology experts lack this insight and rely on psychiatrists to look for gaps where technology can play an efficient role. There is a need to identify a problem that needs a solution and define the problem statement in terms that technology experts can understand. Also, it is essential to determine if an AI-based solution is necessary or will it be another tool that will soon be forgotten and lost.

Design

The design phase is purely for the technology experts to work on, although the choice of tools for data collection, sources, and methods are largely determined by the psychiatrist in line with the clinical practice requirements. This phase is where active collaboration takes place between various stakeholders. Hence, holistic knowledge of various stakeholders and activities is necessary.

Execution

This phase is important for a psychiatrist only from the perspective of verification and clinical validation of the technology and ensuring that every procedure is in accordance with good clinical practices.

Table 6 summarizes the process model with examples, along with the role and essential skills for a psychiatrist.\(^{32}\)

Limitations of the Study

The paper is limited by a single author perspective. However, it is challenging to find psychiatrists who can understand the jargon in engineering and simplify the article. Therefore, a single author perspective is good to start with, considering the nascent
TABLE 6.
Role of a Psychiatrist and Essential Skills and Competencies

| Stage       | Step                                           | Role of a Psychiatrist                              | Essential Skills/Competencies                                                                 | Example (Build an Automated Data Collection) |
|-------------|------------------------------------------------|-----------------------------------------------------|-------------------------------------------------------------------------------------------------|---------------------------------------------|
| Ideation    | Defining the problem                           | Provide evidence that an AI-based solution is the best way forward | Clear communication skills                                                                                                               | Psychiatrists spend time doing clerical work that hinders the time spent with the patients. Patients are unable to provide an accurate history of their illness. |
|             | Exploring the need for AI-based solutions and also checking for alternate solutions | Brainstorming and making efficient decisions on the type of solution to focus on Conduct scoping/systematic reviews |                                                                                | Can an AI-based app be built that can collect automated information from the user? |
| Design      | Data acquisition                               | Actively design the tools for data collection       | Define tools for data collection Finalize the sources and methods of data collection Define the type of data to be collected       |                                            |
|             | Data management                                | Collaborate with the data management team to prepare the right dataset | Basic understanding of data cleaning process A fair understanding of data standards |                                            |
|             | Data analysis                                  | Collaborate with analysts to help make better choices of statistical techniques to use | Basic understanding of biostatistics |                                            |
| Execution   | Deployment and upgrade                        | Collaborate with the technical team to improve the product and do verification and validation. Collaborate with regulatory experts for necessary regulatory and ethical approvals. | Do quality control and provide the necessary feedback for improvement Have a complete understanding of the regulatory approval process Have professional ethics |                                            |

Conclusion
Psychiatrists have a huge responsibility in the ideation and execution of AI-based applications. Hence, it is necessary to understand the important concepts of data science, digital phenotyping, CDSS, and analytics from the process model perspective to make it easier for psychiatrists to understand and collaborate with different stakeholders wherever necessary. Future studies should focus on maintaining ethics, privacy, security, and confidentiality of the data collected through these novel modes of technology.

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