Erythropoietin in the critically ill: do we ask the right questions?

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Abstract
There is a plethora of experimental data on the potential therapeutic benefits of recombinant human erythropoietin (rhEPO) and its synthetic derivatives in critical care medicine, in particular in ischemia/reperfusion injury. Most of the recent clinical trials have not shown clear benefits, and, in some patients, EPO-aggravated morbidity and mortality was even reported. Treatment with rhEPO has been successfully used in patients with anemia resulting from chronic kidney disease, but even a subset of this patient population does not adequately respond to rhEPO therapy. The following viewpoint uses rhEPO as an example to highlight the possible pitfalls in current practice using young healthy animals for the evaluation of therapies to treat patients of variable age and underlying chronic co-morbidity.

Erythropoietin and its receptor
While EPO is mainly produced in the peri-tubular cells of the kidney in response to hypoxia, low levels of EPO mRNA have also been reported in the central nervous system, lungs and spleen. EPO is well-known as a regulator of erythrocyte production to optimize tissue oxygenation: A drop in local $O_2$ tension leads to the stabilization of hypoxia inducible factor, which binds to the hypoxia-responsive elements of the EPO gene activating its transcription. EPO needs a receptor (EPO-R) to perform its function, and this EPO-R is expressed on erythroid cell progenitors and in a variety of tissues and cell types - for example, the brain, retina, heart, kidney, vascular smooth muscle cells, myoblasts and vascular endothelium. Administration of EPO up-regulates EPO-R expression and increases endothelial nitric oxide (NO) production. EPO-R expression was also confirmed in primary human kidney tubular epithelial cells, in rat cortical and medullary tubules as well as in porcine wound healing fluid, granulation tissue, and kidney [7-9]. However, children with acute kidney injury presented with elevated EPO-R expression in the kidney but decreased EPO plasma levels [10], and differential regulation of EPO-R expression in renal tissue biopsies from young, healthy versus older, co-morbid swine was reported [11].

Accruing evidence suggests that EPO exerts tissue-protective properties via a different heteroreceptor...
EPO-R isoform, which has been proposed to comprise a classic EPO-R homodimer and the cytokine β-common receptor (βcR). Gorio and colleagues [12] demonstrated both the association of the βcR subunit and the EPO-R as well as the need for the heteroreceptor combination for the recovery of motor function after spinal cord compression injury. Sajib and colleagues [9] showed in a porcine model of wound healing that EPO was associated with an increase of granulation tissue, and demonstrated higher expression and the co-localization of EPO-R and βcR in the cellular constituents of the granulation tissue. It is noteworthy that the βcR is involved in EPO-mediated endothelial nitric oxide synthase (eNOS) activation in endothelial cells [13]: both EPO- and eNOS-derived NO inhibit neo-intima formation and improve re-endothelialization in a dose-dependent manner [14]. Furthermore, synthetic EPO derivatives like carbamylated EPO (cEPO) provided additional insight into the properties of the EPO hetero-receptor complex: cEPO does not bind to the hematopoietic EPO-R and thus does not increase the hematocrit, but exerted cytoprotective effects in cerebral infarction, spinal cord trauma, and kidney ischemia/reperfusion (I/R) injury [15]. Recently, cEPO was even reported to more effectively reduce kidney inflammation in brain-dead rats than rhEPO [16], and a newly developed cEPO-FC fusion protein was at least as protective as rhEPO in a porcine aortic balloon occlusion-induced spinal cord I/R injury [17]. At present, four clinical trials have evaluated the safety and pharmacokinetics of cEPO for acute ischemic stroke (ClinicalTrials.gov identifiers NCT00870844 and NCT00756249), in advanced kidney remodeling. In rodent [15,16,18-27], large animal [17,27-41] and primate [42] models, EPO protected against I/R injury in the central nervous system [17,26,27], the heart [20,21,29-37], and the kidney [22-26,38-42]. Tables 1 to 3 present major pre-clinical studies documenting the tissue-protective effects of EPO in rodent and large animal models. It is interesting to note that in the majority of these studies, EPO had more pronounced therapeutic effects in rodents than in large animal models. One porcine study even reported that EPO failed to exert any cardioprotective effect [29]. Clearly, the less efficacy in large animals may be due to the lack of resuscitative measures in small animal experiments. Nonetheless, the pleiotropic effects of EPO are well-established in many pre-clinical studies, through the use of commercially available rhEPO, synthetic EPO derivatives or mimetic peptide analogs such as ARA-290. Therefore, let us now take a look at the recent clinical trials.

**Erythropoietin clinical trials**

Corwin and colleagues’ report on the CRIT Study [43] examined the incidence of anemia and red blood cell transfusions in critically ill patients and determined that trauma patients were more likely to be transfused than non-trauma patients. Four separate randomized, placebo-controlled studies using rhEPO in this context were conducted, which enrolled 160, 1,302, and 1,460 anemic (total Hb concentration of <12 g dL⁻¹) critically ill patients [44-46] and 86 'long-term acute care patients' [47]. The first two trials demonstrated a reduction of transfusion requirements, and the second even had an increased survival rate in the treatment arm. Due to a lack of data of specific trauma events that could affect the outcome, however, a definitive assessment was impossible. Interestingly, in the third trial no transfusion reduction was observed with treatment despite the increase in Hb content. Furthermore, there was a clinically significant increase in thrombovascular events in rhEPO-treated patients in comparison to vehicle [44,45]. Finally, the most recent long-term trauma outcome study evaluating the role of rhEPO in anemic (Hb <12 g dL⁻¹) trauma subjects found no differences in physical function or safety between the treatment and control arms [46].

Ehrenreich and colleagues [48] showed promising neuroprotective effects of rhEPO in a pilot study of ischemic stroke. The subsequent large double-blind, placebo-controlled, randomized multicenter rhEPO stroke trial not only failed to show any neuroprotective benefit, but, contrary to all expectations, patients treated both with rhEPO and tissue plaminogen activator presented with increased intracerebral hemorrhage and mortality [49]. Yip and colleagues [50] also tried to assess the benefits of rhEPO after acute ischemic stroke: they reported an increase in endothelial progenitor cells and decrease of 90-day major adverse neurological events. The commentary by Minnerup and colleagues [51] highlighted the fact that the two trials focused on different primary endpoints: a reduced incidence of recurrent strokes at day 90 does not necessarily imply improved neurological function.

The two trials on EPO effects on spinal cord injury, Evaluation of Tolerability and Efficacy of EPO Therapy in Spinal Shock (NCT00220675) and EPO Spinal Cord...
Compression Randomized Trial (NCT00220675) were both terminated prematurely. An additional trial looking at the benefits of rhEPO without prophylactic anticoagulation in elective spinal surgery noted an increase in deep vein thrombotic events. The study concludes with the recommendation to add anti-thrombotic prophylaxis to rhEPO in the surgical setting [52].

The Reduction of Infarct Expansion and Ventricular Remodeling with Erythropoietin after Large Myocardial Infarction (REVEAL) trial enrolled 222 patients and showed unchanged infarct size after treatment compared to vehicle. Interestingly, in the treatment arm, older patients (aged >70 years) even presented with a doubling in infarct size in the first week [53].

In the setting of acute kidney injury (AKI) a study of 71 patients undergoing elective coronary artery bypass graft surgery had a reno-protective effect [54], whereas the larger (n = 162) Early Intervention in Acute Renal Failure (EARLYARF) trial, evaluating rhEPO therapy in a heterogeneous group of ICU patients, found no such effects [55]. Another clinical trial (Recombinant Human Erythropoietin use in Intensive Care Unit Patients: Does it prevent acute renal failure; NCT00676234) recruited 80 patients and was completed in 2009, but no data are available so far. Finally, a very recent follow-up report from the aforementioned trial [55] on the incidence of end-stage renal disease and mortality showed that rhEPO reduced all-cause mortality and development of end-stage renal disease in patients that had previously suffered from AKI [56]. This subset of patients with AKI comprised 21 patients, 14 in the placebo group and 7 in the rhEPO group. Interestingly, patients in the placebo group were older (67 to 84 years; 10 of the 14 patients were >70 years) than those in the rhEPO group (58 to 75 years; 3 of the 7 were >70 years). It may be too early to make definitive conclusions from these data, but the

| Species | Model | Dose (IU·kg⁻¹) | Protocol | Outcome | Histology | Apoptosis | Reference |
|---------|-------|----------------|----------|---------|-----------|-----------|-----------|
| Rat     | Stroke: embolic middle cerebral artery occlusion | 500, 1,150, or 5,000 | 6, 24, and 48 h post-embolus | 50% improvement of foot-fault test and modified Neurological Severity Score | Dose-dependent reduction of infarct volume (17, 28, 36%); 3% reduction in activated microglial cells | 31% drop in TUNEL cells | [18] |
| Rat     | Stroke: left internal carotid artery occlusion | 5,000 | Immediately, 12, and 24 h after ischemia | 20% improved ‘corner test’; reduced oxidative stress and inflammation | Reduced infarct size (7 versus 25%); enhanced angiogenesis | 50% drop in TUNEL cells; increased Bcl expression | [19] |
| Swine   | Aortic balloon occlusion spinal cord ischemia/reperfusion injury | 5,000; cEPO-FC 50 μg·kg⁻¹ | 30 minutes before, over 4 h after ischemia | Improved lower limb neurological function (response score: vehicle 0, rhEPO 4, cEPO-FC 4) and motor evoked potentials (vehicle 0, rhEPO 10, cEPO-FC 63% recovery); reduced oxidative stress (blood isoprostane levels) | Less NISSL-positive neurons (thoracic: vehicle 27, rhEPO 5; cEPO-FC 8%; lumbar: vehicle 26, rhEPO 8; cEPO-FC 7%) | No TUNEL and caspase-3-positive neurons | [17] |
| Swine   | Hypothermic circulatory arrest | 500 | 60 minutes before cardiac arrest | No difference in mortality or neurological outcome; lower glutamate and glycerol levels (cerebral microdialysis) | No difference in brain histology | Apoptotic index (TUNEL) 0.0 versus 0.99 | [27] |
| Swine   | Deep hypothermic circulatory arrest | 500 | 24 and 3 h before, 24 h after cardiac arrest | No difference in mortality or neurological outcome; lower S-100β, lactate, and glycerol levels (cerebral microdialysis) | No difference in histology; reduced brain infarction (2/8 versus 8/8) | ND | [28] |
| Swine   | Aortic balloon occlusion spinal cord ischemia/reperfusion injury | 300 | 30 minutes before, over 4 h after ischemia | No differences in motor evoked potentials | Less NISSL-positive neurons in thoracic (25 versus 38%) spinal cord, lumbar spinal cord no difference | Thoracic spinal cord: less TUNEL cells (18 versus 65); lumbar spinal cord: no difference | [39] |

cEPO, carbamylated erythropoietin; I/R, ischemia/reperfusion; ND, not determined; rhEPO, recombinant human erythropoietin; TUNEL, terminal deoxynucleotidyl transferase dUTP nick end labeling.
| Species | Model | Dose (IU·kg⁻¹) | Protocol | Outcome | Histology | Apoptosis | Reference |
|---------|-------|----------------|----------|---------|-----------|-----------|-----------|
| Rat     | Coronary artery ligation | 8,000 | Immediately, or 3 weeks after artery ligation, once a week over 3 weeks | Decrease in LVDEP by 27 to 38%, improved contractility and relaxation, no difference in mortality | Early treatment: reduced infarct size (23 to 30%); late treatment: no difference, but increased capillary density (39 to 48%) | ND [20] |
| Mouse   | Coronary artery ligation | 2,500 | 24 h and 30 minutes before, or immediately after ligation | nNOS-dependent reduction of ventricular arrhythmia | 50% reduction of infarct size | ND [21] |
| Swine   | Coronary artery occlusion | 500 | 24 h and 90 minutes, or 90 minutes alone before ischemia | No cardioprotective effects | Infarct size not different | ND [29] |
| Dog     | Coronary artery ligation | 1,000 | Immediately, 6 h, or 1 week after ischemia | Less ventricular fibrillation during reperfusion (0 versus 50%) | Reduced infarct size (8 versus 40%); Less TUNEL cells (50%) | ND [30] |
| Dog     | Coronary artery ligation | 1,000 | Bolus immediately, 6 h, or 1 week after ischemia | Increase in LVEF (42 versus 49/56%), improved capillary density and myocardial blood flow (by 50%) | Reduced infarct size (10 versus 18%); ND | ND [31] |
| Swine   | Chronic myocardial ischemia | 300 | Endocardial injection 2 weeks after start of ischemia | LVEF 64 versus 55%; 2.2 versus 3.3 hypokinetic segments | Reduced ischemic surface (19 versus 41%), less fibrosis (8 versus 27%); | ND [32] |
| Swine   | Coronary artery occlusion | Darbepoetin 30 μg kg⁻¹ | At time of reperfusion | Regional functional improvement | No reduction in infarct size, less fibrosis (7 versus 10%); increased capillary density (106 versus 89%); | ND [33] |
| Swine   | Chronic myocardial ischemia | 300 | Endocardial injection 2 weeks after start of ischemia | LVEF 66 versus 59%; 2.2 versus 3.3 hypokinetic segments | Less fibrosis 8 versus 27% | TUNEL cells not detected [34] |
| Swine   | Coronary artery occlusion | EPO analog 0.9/0.4 μg·kg⁻¹ | At time of reperfusion, once weekly over 4 weeks | LVEF 39 versus 33%; improved wall motion score | Less fibrosis 7 versus 12%; 50% increase in peri-infarct capillary density; infarct size not different | ND [35] |
| Swine   | Coronary artery ligation | 500 | 30 minutes and 24 h after ischemia | Fractional shortening 55 versus 36% at day 14; reduced oxidative stress and enhanced eNOS expression | 25% reduction of infarct size; enhanced angiogenesis | Less TUNEL cells (50%); less caspase-3 expression [36] |
| Swine   | Coronary artery embolization | 200 | Every 2 days over 8 days | Cardiac function not different; increased VEGF and angiogenesis | Infarct size and fibrosis not different | ND [37] |

EPO, erythropoietin; LVDEP, left ventricular end-diastolic pressure; LVEF, left ventricular ejection fraction; ND, not determined; nNOS, neuronal nitric-oxide synthase; rhEPO, recombinant human erythropoietin; TUNEL, terminal deoxynucleotidyl transferase dUTP nick end labeling; VGEF, vascular endothelial growth factor.
| Species | Model | Dose (IU·kg⁻¹) | Protocol | Outcome | Histology | Apoptosis | Reference |
|---------|-------|----------------|----------|---------|-----------|-----------|-----------|
| Rat     | Bilateral renal artery occlusion | 300 | 30 minutes before ischemia, or 5 minutes before, or 30 minutes after start of reperfusion | Less rise in creatinine (150 to 170 versus 220 μmol·L⁻¹) and higher creatinine clearance (0.3 versus <0.1 ml·minute⁻¹); | 50% lower histopathology score | Less TUNEL cells (50%), less caspase-3 expression | [22] |
| Mouse   | Bilateral renal artery occlusion | 1,000 | Daily over 3 days or immediately before ischemia | Less rise in urea and creatinine (pre-treatment: 200 versus 350/0.8 versus 2.0 mg·dl⁻¹; pre-reperfusion: 300 versus 350/1.5 versus 2.0 mg·dl⁻¹); attenuated tissue inflammation and lipid peroxidation | Reduced tubular dilatation, swelling and necrosis | ND | [23] |
| Rat     | Unilateral renal artery occlusion | 5,000 | 30 minutes before ischemia | Lower serum creatinine (0.04 versus 0.21 mmol·L⁻¹) and urea (13 versus 41 mmol·L⁻¹); enhanced tubular regeneration | Ameliorated tubular cast formation | Less ascending limb apoptosis (2.2 versus 6.5%) | [24] |
| Rat     | Bilateral renal artery occlusion | 500 | 20 minutes before ischemia | Less rise in blood urea (381 versus 193 mg·dl⁻¹) and creatinine (6.7 versus 2.3 mg·dl⁻¹); attenuated NFκB p65 | 50% reduction of tubular necrosis | Less TUNEL positive cells, less Bax expression | [25] |
| Rat     | Bilateral renal artery occlusion | 5,000 | At time of ischemia (T0), or 6 h post-ischemia (T6) | Less rise in serum creatinine (T0: 0.04 versus 0.17, 0.05 versus 0.3 mg·dl⁻¹; 2- to 3-fold higher mitosis in cortex and outer medulla | Reduced tubular luminal casts, no attenuation of necrosis | 50 to 70% less TUNEL cells | [26] |
| Swine   | Renal artery occlusion after nephrectomy | 1,000 | At time of ischemia, daily over 5 days of reperfusion | Ameliorated creatinine clearance at 12 h: 95 versus 68/74% at 12 and 36 h | Less necrotic cells | Less caspase-3 positive tubular cells | [38] |
| Swine   | Aortic balloon occlusion | 300 | 30 minutes before occlusion, over 4 h during reperfusion | Improved creatinine clearance (6.6 versus 46 ml·minute⁻¹) and lower fractional Na excretion (8 versus 12%) | Histology not different | TUNEL not different | [39] |
| Swine   | Unilateral renal artery occlusion | 5,000 | 1 h before clamping | Less (25 versus 75%) fall in glomerular filtration rate, no difference in fractional Na excretion | No difference in caspase-3 | No difference in caspase-3 | [41] |
| Primate | Unilateral renal artery occlusion | 2,400 | 5 minutes each before clamping and declamping | Lower creatinine, urea, and cystatin C (7 versus 3, 60 versus 40, 1.8 versus 2.5 mg·dl⁻¹; lower IL-6 levels (50 versus 100 pg·L⁻¹) | Ameliorated congestion, cell infiltration | Less tubular TUNEL cells | [42] |

cEPO, carbamylated erythropoietin; I/R, ischemia/reperfusion; ND, not determined; NF-κB, nuclear transcription factor κB; rhEPO, recombinant human erythropoietin; TUNEL, terminal deoxynucleotidyl transferase dUTP nick end labeling.

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REVEAL study suggests putative harm by rhEPO in patients aged over 70 years [53]. Whether or not age (and the presence or not of underlying CKD) may provide useful information defining who may be best served by rhEPO therapy warrants further investigation.

**Chronic kidney disease and erythropoietin resistance**

In an effort to understand why results from recent clinical trials to treat AKI are mixed, let us take a look at renal disease and CKD-related co-morbidity and EPO resistance. Renal disease is associated with a graded increase in both inflammatory and oxidative markers: i) patients with CKD presented with increased blood lipid hydroperoxide, oxidized low density lipoproteins, F2-isoprostanes, TNF-α, IL-6, and IFN-γ when compared with patients with normal kidney function [6,57]; ii) in subgroup studies from clinical trials, patients with CKD responded differently to pharmaceutical interventions compared to patients with normal kidney function [58]; iii) atherosclerosis, which is characterized by an increase in low density lipoproteins, a decrease in high density lipoproteins, oxidative stress, endothelial dysfunction and inflammation, is prevalent in CKD, increases with age, and is the main risk factor for cardiovascular disease [58]. Finally, atherosclerosis is also associated with reduced NO bioavailability [59], and the constitutive production of NO has been shown to be attenuated in patients with CKD [60].

The mechanisms underlying EPO resistance are poorly understood and most likely multi-factorial, since endogenous EPO levels tend to be higher in these patients than in control subjects [61]. Age and the manifold aspects of ageing add to this complexity: in a geriatric cohort higher EPO blood levels were directly related to mortality [6]. Nevertheless, there is general consensus that inflammation and oxidative stress are key players [6,62,63]: the pro-inflammatory cytokines IL-6, IFN-γ, and TNF-α may antagonize the actions of EPO by inhibiting erythroid progenitor cells, activating suppressor of cytokine signaling, down-regulating EPO-R expression and generating reactive oxygen species that lead to lipid peroxidation of red cell membranes [6,62,63]. Moreover, EPO activates eNOS, which is considered to be critical for its tissue protective effects: genetic eNOS deletion is associated with a loss of response of endothelial progenitor cells to EPO stimulation ex vivo [64], and in vivo EPO not only failed to exert any vasoprotective effects but even worsened remodeling after vascular injury [59]. In rats with heminephrectomy-induced polycythemia, EPO aggravated arterial hypertension and only partially attenuated the fall of the glomerular filtration rate caused by non-selective NO synthase inhibition with L-NAME (N°-nitro-L-argininemethyl ester) [65].

**Animal models**

Animal models that use young and healthy animals are essential for the understanding of basic pathophysiological mechanisms. Any investigator will try to reduce inter-individual variation as much as possible and choose animals of the same sex, age and strain in order to control for physiology and establish reproducible and defined conditions. Such models are valuable inasmuch as they provide unique insights into the pathophysiology of specific experimental scenarios and even identify novel therapeutic targets. However, one of the problems with research conducted in naive animals is that a dramatic benefit is often observed that cannot be reproduced in the clinical study: a systemic review of pre-clinical and clinical trials concluded that the discordance was due, at least in part, to the failure in the pre-clinical trial to properly mimic the clinical disease [66]. A single factor such as age may have major effects: antibiotic therapy in cecal ligation puncture-induced murine sepsis halved mortality in young animals, while this intervention had no benefit in older mice [67]. In contrast to the epidemiology in patients, who usually present with variable co-morbidity, all data on EPO-related organ protection against I/R injury originate from models investigating young and healthy animals. This limitation thus assumes importance in light of failed clinical studies in comparison to pre-clinical trials. We found a similar lack of protection against I/R injury of rhEPO in adult swine with ubiquitous atherosclerosis resulting from familial hypercholesteremia resulting from familial hypercholesteremia [11] and an atherogenic diet (so-called familial hypercholesteremia Bretoncelles Meishian (FMB) swine) when compared to otherwise young and healthy animals [17,39]. These FBM swine present with hypercholesteremia and increased markers of oxidative stress, while creatinine clearance, blood levels of NO metabolites, and renal tissue expression of EPO-R are reduced - that is, this strain shows a biomarker pattern comparable with that found in patients with hypercholesteremia-induced atherosclerosis [11,68]. As age-matched wild-type and young (6 months) FBM swine without the atherogenic diet showed the same EPO-R expression as young and healthy animals, the reduced EPO-R expression may not only provide a plausible explanation for ineffectiveness of EPO in this model, but also potentially hint at one of the underlying causes of ‘EPO resistance’.

**Conclusion**

The promise of pre-clinical data on organ-protective effects of rhEPO has not been matched by successful clinical trials. The results from the animal models using young, healthy animals provide us with very important pathophysiological mechanistic information. The mechanisms may apply, but often other factors, including
gender, age, and, in particular, co-morbidity, confound the therapeutic strategy. The distinct contrast in the experimental results in kidney I/R injury between the young, healthy swine and the FBM swine might help to underline the importance of pre-existing co-morbid conditions for the design of pre-clinical experimental models. These results may not only offer a potential explanation for the differing results of receptor expression in human samples, which may be reconciled when the etiology of disease of the donors are better understood, but also suggest that animal models that more closely mimic the human disease conditions may provide better guidance for future therapeutic strategies. Finally, it is tempting to speculate whether pre-existing impairment of kidney function and decreased renal tissue EPO-R expression may explain the controversial effects of rhEPO in clinical trials.

Abbreviations
βbc, cytokine β-common receptor; AKI, acute kidney injury; cEPO, carboxylated erythropoietin; CKD, chronic kidney disease; CRF, Anemia and blood transfusion in the critically ill-current clinical practice in the United States; EARLYAF, Early intervention in acute renal failure; eNOS, endothelial (constitutive) nitric oxide synthase; EPO, erythropoietin; EPO-R, erythropoietin receptor; FBM, familial hypercholesteremia Bretoncelles Meishian; Hb, hemoglobin; IFN, interferon; IL, interleukin; I/R, ischemia/reperfusion; NO, nitric oxide; REVEAL, Reduction of Infarct Expansion and Ventricular Remodeling with Erythropoietin after Large Myocardial Infarction; rhEPO, recombinant human erythropoietin; TNF, tumor necrosis factor.

Competing interests
PR received research funding from Polymun Scientific GmbH (Klosterneuburg, Austria), a company involved in the commercial development of cEPO-FC. The other authors declare that they have no competing interests.

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Published: 26 September 2012

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doi:10.1186/cc11430
Cite this article as: McCook O, et al.: Erythropoietin in the critically ill: do we ask the right questions? Critical Care 2012, 16:319.