Diversity in olfactory receptor repertoires is associated with dietary specialization in a genus of frugivorous bat

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Abstract

Mammalian olfactory receptor genes (ORs) are a diverse family of genes encoding proteins that directly interact with environmental chemical cues. ORs evolve via gene duplication in a birth-death fashion, neofunctionalizing and pseudogenizing over time. Olfaction is a primary sense used for food detection in plant-visiting bats, but the relationship between dietary specialization and OR repertoire diversity is unclear. Within neotropical Leaf-nosed bats (Phyllostomidae), many lineages are plant specialists, and some have a distinct OR repertoire compared to insectivorous species. Yet, whether specialization on particular plant genera is associated with the evolution of specialized, less diverse OR repertoires has never been tested. Using targeted sequence capture, we sequenced the OR repertoires of three sympatric species of short-tailed fruit bats (Carollia), which vary in their degree of specialization on the fruits of Piper plants. We characterized orthologous vs duplicated receptors among Carollia species, and explored the diversity and redundancy of the receptor gene repertoire. At the species level, the most dedicated Piper specialist, Carollia castanea, had lower OR diversity compared to the two generalists (C. sowelli and C. perspicillata), but we discovered a few unique sets of ORs within C. castanea with high redundancy of similar gene duplicates. These unique receptors potentially enable C. castanea to detect Piper fruit odors better than its two congeners. Carollia perspicillata, the species with the most generalist diet, had a higher diversity of intact receptors, suggesting the ability to detect a wider range of odorant molecules. Variation among ORs may be a factor in the coexistence of these sympatric species, facilitating the exploitation of different plant resources. Our study sheds light on how gene duplication and changes in OR diversity may play a role in dietary adaptations and underlie ecological interactions between bats and plants.

Keywords: olfactory receptor; Piper; Carollia; Phyllostomidae; bats; gene duplication

Introduction

The fitness of an animal is dependent on finding food, locating mates, and avoiding predation. Because of their relevance to fitness and the ubiquity of chemosensation in animals, biochemical and cellular mechanisms underlying the sense of smell are excellent targets for natural selection (Hayden et al. 2010; Niimura 2012; Nikaido et al. 2013). To perceive a scent, odorant molecules within a chemical bouquet bind to olfactory receptor (OR) proteins in a combinatorial fashion (Malnic et al. 1999; Nara et al. 2011; Kurian et al. 2020), precipitating a signaling cascade that ultimately transmits the odorant information to the brain. The complexity of chemical odorant bouquets coupled with both the promiscuity of the ligand-receptor relationship and the combinatorial neural encoding of olfactory cues contribute to the immense challenge of identifying ligands and their receptors, and few receptors have been “de-orphaned” outside of model organisms. Nonetheless, each individual olfactory neuron expresses a unique OR allele; thus, the larger the intact OR repertoire, the larger the combination of different odorants an organism can sense (Rodriguez 2013). This direct interaction with environmental signals suggests natural selection likely fine-tunes OR binding motifs to optimally detect chemical cues relevant to fitness. However, deciphering the connection between ORs and the ecology of animals has proved challenging because ORs evolve through paralogous duplication and the chemical cues necessary to elicit olfactory responses are complex (Yohe and Brand 2018). ORs, as well as many other chemosensory receptor genes, evolve in a birth-death manner, such that genes are constantly duplicating and pseudogenizing through time (Nei and Rooney 2005). This genetic mechanism of change has led to extraordinary diversity amongst chemoreceptor genes, making them among

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the largest and fastest-evolving protein-coding gene families in the vertebrate genome (Niimura and Nei 2007; Nei et al. 2008; Niimura 2013; Yohe et al. 2020b). Mammalian OR genes, in particular, are ~900bp-long, intronless genes that encode seven-transmembrane G-protein coupled receptors (Dulac and Axel 1995). In mammals, counts of intact OR gene copies and OR pseudogenes can vary by orders of magnitude (Niimura et al. 2014), from hundreds to thousands. The fate of a gene duplicate includes several potential paths (Hahn 2009; Teufel et al. 2016; Yohe et al. 2019b). First, the duplicated gene may be completely redundant and not be expressed, and thus it could accumulate a deleterious mutation that may render it a pseudogene (Eyun 2019). Second, one of the two copies may be released from purifying selection and accumulate new mutations that enable a new function (Pegueroles et al. 2013). Third, the second copy may have a dosage effect, such that there is now increased expression of the ancestral single copy (Loehlin and Carroll 2016) and fixation of the same copy of the gene may be advantageous to fitness.

Measuring adaptation at the species level in large gene families has proven difficult because of the challenges of simultaneously tracking both orthology vs. paralogy and the rate of adaptive substitution (Hahn 2009; Han et al. 2009; Yohe et al. 2019b). Here, we present a novel approach to understanding the evolutionary history of OR gene duplicates among recently diverged species. Using unrooted codon model gene trees, we first detect orthologous genes and associated paralogs and then measure diversity by applying metrics from community ecology. Ecological diversity statistics have previously been used to summarize nucleotide diversity at sites in an alignment (Lowry and Atchley 2000) or transcriptome complexity (Holding et al. 2021). We propose these metrics are also useful to characterize the diversity within orthologous clusters of genes and recent paralogs, and apply this method to investigate OR diversity and evolution in three sympatric species of short-tailed fruit bats (Carollia spp.).

Carollia is a genus of neotropical Leaf-nosed bats (Phyllostomidae) that diverged around 12 Ma and is composed of eight described species found throughout the Neotropics (Shi and Rabosky 2015; Rojas et al. 2016). The Carollia system is ideal for investigating a connection between ecological specialization and OR diversity for two reasons. First, several Carollia species can co-occur while showing divergent diets. The three nonsister sympatric species in our analysis consume fruits of the genus Piper, but the degree of Piper specialization varies from Carollia castanea feeding almost exclusively on Piper fruits throughout the year, to the diet of C. perspicillata consisting of about 50% Piper fruits plus a variety of other plant genera from several families, nectar from flowers, and occasionally insects; the diet of C. souelli falls between that of the other two species (Figure 1A; Fleming 1991; Lopez and Vaughan 2007; Maynard et al. 2019). Second, behavioral assays have revealed that Carollia primarily use their sense of smell to locate fruiting patches and individual fruits, with echolocation used at closer range to pinpoint the target fruit before grabbing it (Thies et al. 1998). Carollia also only seem to perform feeding attempts in the presence of scent cues from Piper fruit (Thies et al. 1998; Leiser-Miller et al. 2020). Piper scent cues are remarkably diverse with strong signatures of phylogenetic overdispersion, but some chemical compounds remain conserved even in paleotropical Piper (Salehi et al. 2019; Santana et al. 2021) and several chemical compounds are associated with the primary diets of particular Carollia species (Santana et al. 2021). Thus, the reliance of Carollia on olfaction to locate Piper fruits (and reciprocal reliance of Piper on chemical cues to attract Carollia for seed dispersal) makes it likely that evolution has optimized the OR repertoires of each of these bat species for food detection. Because C. castanea primarily needs to locate ripe Piper fruits, we predict the bouquet of potential odorant ligands and therefore the diversity of respective receptors might be narrower than those of C. perspicillata, which need to detect not just ligands from Piper, but also from the diversity of other plant foods it consumes. We apply our novel approach of using ecological metrics of diversity to measure diversity among orthologous and paralogous genes to investigate how evolution has shaped OR repertoires in the context of specialist and generalist diets.

**Methods**

**Sampling and sequencing**

To test whether specialist and generalist species have distinct receptor profiles, we sequenced the ORs of three Carollia species using targeted sequence capture of probes designed from transcriptomic data. Samples were collected at La Selva Biological Station in Costa Rica during an August 2017 expedition. One male individual of each of the three Carollia species found at La Selva was captured on the evening of August 4, 2017 at the same locality within the station (Supplementary Table S1). Bats were trapped in mist nets and immediately placed in cloth bags prior to processing. Bats were euthanized using isoflurane and liver dissections were performed according to published video protocols (Yohe et al. 2019a). Bats and samples were processed in accordance with Stony Brook University Institutional Animal Care and Use Committee protocol #48712-3. Samples were collected with Costa Rica research permit CONABIO #R-041-2017, exported from Costa Rica in alliance with country guidelines, and imported following U.S. Center for Disease Control and U.S. Fish & Wildlife guidelines (USFW 3-177 2018NY2190224). For the targeted bait capture, probes were designed from a previously published analysis (Yohe et al. 2020a). Briefly, chemosensory receptors were identified in the transcriptomes of the main olfactory epithelium in 12 species of bats and probes were subsequently designed from the diversity of these receptor transcripts. While targeted bait capture provided optimal de novo sequencing of ORs (Yohe et al. 2020a), it is still known to be incomplete, and interpretation of the results should consider these confounding factors. DNA was extracted from flash-frozen liver tissue stored in RNA-later using the Qiagen QI Amp DNA Micro kit (Qiagen 56304). DNA quality was assessed using 260/280 ratios in a Nanodrop, and DNA was quantified using a Qubit. DNA extractions were sent to Arbor Biosciences (Ann Arbor, MI, USA) where the chemoreceptor probes were enriched for ORs. Amplified targets were sequenced using Illumina HiSeq sequencing technology with 100-bp paired-end reads by Arbor Biosciences (Ann Arbor, MI, USA).

**Quality control and assembly**

All sequence bait capture assemblies were performed using previously published methods optimized for large multigene families (Yohe et al. 2020a). Briefly, raw paired-end reads were trimmed using the bbduk.sh script in the BBTools genomic tools suite, in which regions with a quality score of less than 10 were trimmed. Using the bait designs as guides for assembling the raw reads, we implemented the reads_first.py in the HybPiper toolkit (Johnson et al. 2016). Each lane was assembled individually, then resulting receptors were pooled, and duplicates were removed.

**Olfactory receptor annotation**

In both the transcriptome assembly output and cleaned targeted bait capture output, contigs were run through the Olfactory
Receptor Assigner v. 1.9.1, in which ORs were binned into respective subfamilies (Hayden et al. 2010). Pseudogenes were determined as either open reading frames disrupted by a frameshift or premature stop codon mutation or sequences less than 650 bp that would prevent a complete seven-transmembrane domain from being translated. Exact duplicates and pseudogenes were removed from the analysis.

Alignment and gene tree inference
Each subfamily of intact receptors was aligned using the transAlign (Bininda-Emonds 2005) option in Geneious v. 10.2.3 (Kearse et al. 2012) with MAFFT v. 7.388 (Katoh and Standley 2013) and the FFT-NS-2 algorithm for the protein alignment. The human adenosine A2b receptor, an ancestral G-protein-coupled receptor gene, was included in each alignment in order to root the gene trees (NM_000676.2), as suggested from previous publications on mammalian ORs (Niimura 2013). For model selection and tree inference, stop codons were removed. Model selection was performed on each alignment using ModelOMatic v. 1.01 (Whelan et al. 2015), in which 75 amino acid, codon, and nucleotide evolutionary models were tested. Maximum likelihood tree inference was performed on each alignment with the estimated best-fit model using IQ-TREE v. 1.6.11 (Nguyen et al. 2015) with 1000 ultrafast bootstrap replicates.

Orthogroup characterization
To characterize orthologous OR genes, as well as associated duplicates accumulated both prior to (out-paralogs) and after species divergence (in-paralogs), we used an unrooted phylogenetic assessment of the gene trees for each subfamily (Ballesteros and Hormiga 2016). For each gene tree, we used the UPhO.py script within UPhO implemented with Python v. 2.7.15 with the -iP flag to track in-paralogs and minimum number of species in an orthogroup set to 1 (Ballesteros and Hormiga 2016). See Figure 2 for an example of an inferred orthogroup.

Receptor diversity metrics
To quantify OR gene “diversity,” we used diversity indices commonly used in community ecology. The diversity of community composition is often assessed with species abundances (number of individuals per species) at different sites within a community. These metrics were then used to calculate community diversity. Applying this framework, we considered each OR subfamily as a “community” and each gene orthogroup a “site” within the community. Instead of measuring abundance as number of individuals per species within a site, we measured number of genes (duplicates) per species within the orthogroup. We can then calculated Shannon’s H’, or the Shannon Entropy, for total OR gene repertoires, as well as for each OR gene subfamily,

\[ H' = - \sum_{i=1}^{N} (p_i \times \ln(p_i)) \],

where p is the proportion of genes in an orthogroup for species i and N is the total number of species. Figure 2 provides an example calculation for an orthogroup. Diversity indices were calculated using the diversityresult() function within the BiodiversityR v. 2.12.1 (Kindt 2016) in R v. 4.0.2 (R Core Team 2020) for each OR subfamily. These values were then presented as means of each H’ for each species or for subfamilies per species. Values of H’ can be interpreted as an axis of diversity, such that low values of H’ suggest more species-level diversity and high-values of H’ suggest more diversity at the genus-level (among Carollia species). All values of H’ are presented in natural log scale.

To statistically compare diversity values among species, we performed a phylogenetically corrected linear mixed effects model using the MCMCglmm v. 2.29 (Hadfield 2010), in which both species and OR subfamily were group-specific effects and the phylogenetic distance among species was measured from an inverted relatedness matrix estimated from a previously published phylogeny (Rojas et al. 2016). This approach allows direct comparisons of the marginal posterior distributions of parameter

Figure 1 Target species of study that demonstrate varying degrees of Piper reliance. (A) Proportion of Piper species found in diet of each Carollia species [based on Fleming (1991); Lopez and Vaughan (2007); and Maynard et al. (2019)]. Estimates of 91–98% of the diet of C. castanea is Piper, while about 80% for C. sowelli and ~50–80% for C. perspicillata. (B) Number of intact olfactory receptor (ORs) genes from sequence capture analysis within each subfamily. Illustrations by Christina M. Mauro.
estimates. When 50% intervals around the median are nonoverlapping, notable differences among group coefficients were observed. To determine a threshold in which exceptional redundancy within an orthogroup exists, we performed a Poisson regression in a Bayesian framework, with the number of OR genes per orthogroups as the response, bat species as the covariate, and OR subfamily as a random effect. The MCMCglmm approach is ideal, as it accounts for exceptional residual variance that may confound our models through a built-in over-dispersion model. Residual variance that fails to be accounted for in the Poisson model may be derived from issues like incomplete sequencing or gene tree inference error (Hadfield 2019). The threshold of redundancy was determined through posterior predictive simulation using estimated model parameters and taking the upper limit of the 95% credible interval of the marginal distribution of predicted orthogroup abundance. All Bayesian models were run with 5 million iterations thinning every 500 samples and removing the first 1000 as burn-in.

**Results**

**Olfactory receptor distribution**

For each Carollia species, the number of intact OR genes were as follows: C. castanea 881, C. sowelli 1017, and C. perspicillata 1115 (Figure 1B; Supplementary Table S2). Figure 1B shows the abundance of ORs within each subfamily for each species. OR1/3/7 and OR5/8/9 showed twice the abundance relative to other subfamilies for all species, while subfamily OR5S, OR12, and OR14 were represented by fewer paralogs relative to other subfamilies.

**Alignment and orthogroup inference**

Alignments for each subfamily resulted in lengths ranging from 1065 to 1242 bp. For every alignment, codon models were the best-fit models of evolution, though the base frequencies varied (Supplementary Table S3). For all identified gene trees (e.g., Figure 2), a total of 1019 orthogroups were identified (Figure 3A). The number of orthogroups per subfamily are listed in Supplementary Table S2. Alignments, gene trees, and orthogroup cluster lists are available in FigShare. Figure 3B indicates the abundance of receptors for each orthogroup for each OR subfamily, demonstrating how some orthogroups have higher abundances in some species vs others.

Poisson model results found the upper limit of the posterior simulations to have a mean of 3.24 (±0.43), and thus orthogroups with 4 or more genes represented by the same species were considered outliers (Figure 3B).

**Diversity metrics**

C. perspicillata had the most diverse OR repertoire among the three species (Figure 3C; H’ = 6.33) and C. castanea had the least diverse OR repertoire (H’ = 6.06), while C. sowelli had a diversity that fell in between the other two (H’ = 6.22; Figure 3C). The values of H’ represent the pooled values for the entire OR repertoire (not just within OR subfamily). After controlling for phylogeny and subfamily, C. castanea had notably lower diversity than C. perspicillata (Figure 3D). Subfamilies OR1/3/7 and OR5/8/9 had exceptionally higher diversity while OR11 showed notably low diversity (Figure 3E). Discernable differences in diversity can be observed in Figure 3E. Among OR subfamilies (Figure 3, B and D), C. perspicillata also consistently had the most diverse and C. castanea the least diverse OR repertoires, apart from OR56 (for which C. sowelli was most diverse) and OR11 (for which C. perspicillata was the least).

**Discussion**

Ecological specialization is expected to be linked to trait diversity, with generalist species exhibiting traits that enable access to a wider range of resources. We tested this hypothesis with three species of closely related neotropical short-tailed fruit bats (Carollia) with overlapping geographic ranges, but with differing degrees of dietary specialization on Piper fruits. We applied a new approach, ecological diversity indices, to examine how the ORs of these bats vary with increasing ecological specialization.

Measuring diversity among orthogroups provides deeper evolutionary insight than simply comparing numbers of genes and may illuminate the evolutionary processes and functions underlying current diversity in closely related, ecologically similar species. For example, C. perspicillata technically has more ORs in subfamily OR5/8/9 (Figure 1B), but measures of diversity are quite similar across the three species (Figure 2B). In contrast, subfamily OR1/3/7 shows substantial differences in diversity among the three species (Figure 2B) even though C. sowelli and C. perspicillata have quite similar receptor counts (Figure 1B). ORs are among the fastest evolving genes in the genome (Yohe et al. 2020b), and their
turnover via birth-death evolution makes it challenging to compare orthologs among species. For example, there have been so many OR gains and losses within rodents that there is less than 70% homology in ORs and less than 20% homology in vomeronasal type-1 genes (another chemoreceptor gene family) between mouse and rat (Zhang et al. 2007). The number of receptors only becomes meaningful in terms of describing the "diversity" of receptors in the repertoire, and increased numbers of orthologs may indicate more potential ligands to be perceived. Thus, if a species has more orthologs, there are more distinct forms of ORs present, and additional paralogs within these orthologs reinforce the diversity. However, fewer orthologs and increased paralogs suggest redundancy within an ortholog. This increased redundancy may suggest selection for retention of similar paralogs, and it potentially has a favorable dosage effect (Teufel et al. 2016; Yohe et al. 2019b). Tandem gene duplicates are often expressed even greater than twofold, with dramatically higher activity than other sites in the genome (Loehlin and Carroll 2016). Even if increased dosage of expression is not observed, selection for duplicate retention and increased redundancy may also be advantageous if the receptor is critical to detecting a food resource. Olfactory sensory neurons stochastically express a single OR gene (Rodriguez 2013; Monahan and Lomvardas 2015), and multiple tandem copies of a gene of similar function may increase the probability of expression. In other words, having multiple copies of a similar receptor may increase its chances of expression. Alternatively, more paralogs may indicate divergent function. While counterintuitive, functional evidence in primates suggests that orthologous ORs across divergent species are more likely to bind to the same odorant ligand than paralogs (Adipietro et al. 2012). Given the low levels of codon substitution observed in our gene trees, however, we predict that paralogs might be more similar in function and thus we advocate for the dosage effect hypothesis in Carollia.

We found that the more generalist frugivorous bat species, C. perspicillata, has a more diverse collection of distinct ORs compared to the specialist C. castanea. To interpret, we assume an increased number of different orthologs (not number of intact genes) reflects an increased potential to detect different odorant ligands. For example, during the transition from a specialist to a generalist diet in nymphalid butterflies (Vanessa), the generalist species expanded their gustatory receptor repertoire and this increased repertoire size is associated with a more diverse plant resource use (Suzuki et al. 2018). However, instead of measuring increased gene birth rates, we measure the result of gene duplicate retention as a function of diversity of different receptors in the genome. While the former assumes that duplication rates are deterministic and not stochastic processes, the latter focuses on diversity within orthologs and may more correctly reflect products of selection. In Carollia, because more than 50% of the diet of C. perspicillata relies on a diversity of plant resources outside of the genus Piper (Figure 1A; e.g., Fleming 1991; Maynard et al. 2019), the number of different compounds this species needs to detect may be greater than that of the Carollia species that primarily consume fruits within the Piper genus. Given the overlapping geographic distributions and dietary niches, divergent olfactory profiles among these Carollia species may optimize for the detection of different plant resources in a cluttered rainforest community. We propose this mechanism as a hypothesis that requires further investigation; without a deeper understanding of the plant volatile bouquets of both Piper and other plant species, there is certainly the possibility that the fruit volatiles that Carollia detects within the Piper genus are just as diverse as those across other plant families included in the diet of the generalist.
While which odont ligands bind to which ORs in bats is completely unknown, our analyses constitute a major contribution to help isolate clusters of receptors as candidates for future studies to functionally investigate whether relevant environmental scent cues initiate a response for these receptors. Because total numbers of intact receptors may be irrelevant to olfactory function, exceptional retention of recent gene duplicates and orthogroups containing overrepresentation of species-specific paralogs may be a more meaningful starting point for deciphering the ligands for which respective receptors bind. With this approach, instead of attempting to decode hundreds of receptors, our study has narrowed this down to 10–20 genes as good experimental candidates. For example, the Piper specialist C. castanea shows behavioral preference and attraction to volatile cues of ripened P. sancti-felicis fruits (Maynard et al. 2019; Leiser-Miller et al. 2020). 2-heptanol, for example, shows a strong signature of both C. castanea and abundance in Piper highly consumed by these bats (Leiser-Miller et al. 2020; Santana et al. 2021). Thus, a future study may test the hypothesis that receptors demonstrating exceptional redundancy within C. castanea (e.g., such as those found in OR4 (Figure 2B) or OR10 (Figure 3) respond to volatiles of ripened fruits such as 2-heptanol of P. sancti-felicis in a biochemical assay.

Detecting olfactory adaptation at the molecular level in olfaction remains an open challenge (Yohe and Brand 2018). Interpretation of our results includes several underlying assumptions. For example, because OR data were generated using targeted bait capture, highly divergent ORs that were not expressed may not have been sequenced. However, our approach obtained about five times more OR genes for Carollia than previous studies (Hayden et al. 2014). Past 20% sampling effort estimated that Carollia perspicillata would have 954 expected receptors (Hayden et al. 2014), of which the authors had only sequenced 194. We recovered 1115 intact receptor genes for this species, which is a reasonably comparable number to the expected given our completely de novo approach. Another caveat includes the difficulty in deciphering in-paralogs from allelic diversity, of which the latter is likely vastly underestimated (Yohe and Larsen 2014). Finally, we interpret redundancy within an orthogroup as more dosage, but it is entirely possible that a single amino acid change within a duplicate pair of receptors may result in different ligand interaction and potentially divergent behavioral responses with a given odorant. Distinguishing the two in large gene families continues to be a confounding issue requiring exceptionally high coverage to characterize read mapping bias of duplicates and high-quality reference genomes to map flanking regions of duplicate regions, both outside the scope of this analysis. With these assumptions in mind, our discovery of inverse patterns of divergence and high-quality reference genomes to map flanking regions of duplicate regions, both outside the scope of this analysis. With these assumptions in mind, our discovery of inverse patterns of divergence and high-quality reference genomes to map flanking regions of duplicate regions, both outside the scope of this analysis.

Data availability

Raw Illumina sequence reads from targeted sequence capture were deposited to GenBank Sequence Read Archive under BioProject PRJNA531951, BioSamples SRX11499917-19, and sequence accessions SRR15193284-86. Alignments, sequence baits, data sets, and R scripts that reproduce the analyses and figures were deposited into figshare: https://doi.org/10.25387/g3.14665179.

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Conflicts of interest

The authors declare that there is no conflict of interest.

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