Demonstration of site-selective angular-resolved absorption spectroscopy of the $^4I_{15/2} \rightarrow ^4I_{13/2}$ erbium transition in the monoclinic crystal $Y_2SiO_5$

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ABSTRACT

We study the angular dependence in polarized light of the optical absorption for the Er$^{3+}$ transition $^4I_{15/2} \rightarrow ^4I_{13/2}$ in $Y_2SiO_5$, revealing thus the associated anisotropy and the orientation of the related absorption principal directions in the dielectric plane perpendicular to the monoclinic axis b. The measurements are performed at low temperature. This allows us to isolate the lowest crystal field levels in the ground and excited states. We spectrally resolve and independently characterize the two yttrium substitution sites in the $Y_2SiO_5$ matrix. The absorption tensor components cannot be unambiguously determined yet while only considering the investigated dielectric plane. Still, measurements remarkably demonstrate that this transition of interest for quantum optical memories is not only a magnetic-dipole allowed transition but indeed a hybrid electric-magnetic transition.

1. Introduction

The interest for high resolution spectroscopy in rare-earth samples have been recently renewed by the active quest for quantum photonics devices Zhong and Goldner (2019). Lower doping levels are generally targeted, 50 ppm in our case, as compared to those for laser applications but the host matrices are the same, benefiting from years of development to improve crystal quality. Among them, the monoclinic yttrium orthosilicate ($Y_2SiO_5$) is certainly the most studied, with an unprecedented record quantum coherence time for the europium dopant Zhong et al. (2015). The low symmetry of both the crystal matrix and involved substitutional sites lead to a strong anisotropy being then exploited to tune the spin properties by accurately adjusting the magnetic field in the crystal frame Böttger et al. (2009); Zhong et al. (2015); Rančić et al. (2018). Erbium shows remarkable performances in $Y_2SiO_5$ in terms of coherence Böttger et al. (2009); Rančić et al. (2018). Among the other lanthanides, Er$^{3+}$ holds a lot of promises because of its compatibility with the fiber telecom range which opens many perspectives in classical and quantum processing. Major effort has thus been made to fully understand the orientation of the magnetic properties. Using advanced spectroscopic studies, the anisotropic tensors describing the spin are accurately known, including the crystal-field Li et al. (1992); Horvath et al. (2019), the different g-tensors Sun et al. (2008) (in both substitution sites of yttrium and in the ground and optically excited state of Er$^{3+}$). The hyperfine tensors for odd-isotopes are also known Guillot-Noël et al. (2006); Chen et al. (2018).

Even if the optical $^4I_{15/2} \rightarrow ^4I_{13/2}$ transition is put forward to stimulate the applications, the orientation of the absorption principal directions as well as the associated principal values are essentially unknown. Absorption is indeed a key parameter for the efficiency of the different optical functions currently under investigation, like quantum memories (see Heshami et al., 2016; Chanelière et al., 2018, and references therein) or the more recent opto-RF quantum transduction (see Lambert et al., 2020; Lauk et al., 2020, and references therein). Despite its importance, the absorption is only known along the so-called extinction axes, which corresponds to the principal axes of the dielectric tensor Liu and Jacquier (2006). However, the off-diagonal components of the absorption tensor are not known. The impact on the propagation of the mismatch between the absorption and dielectric tensors has been already addressed in Pr$^{3+}:Y_2SiO_5$. This pioneering work also performed at low temperature, where all the absorption lines are resolved, corresponds to a simplified situation because the absorption lies in a principal plane of the dielectric tensor.

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Sabooni et al. (2016); Kinos (2018). In any case, a better understanding is necessary to maximize the absorption by properly cutting and orientating the promising Y$_2$SiO$_5$ crystal.

A complete characterization of the optical properties in monoclinic crystals is not obvious and requires an accurate control of the sample orientation and incoming polarization. Despite implications in laser physics and non-linear optics, and even though the fundamental background is well established for electric-dipolar transitions, experimental data are quite rare Kaminskii et al. (1979); Owen et al. (1998); Petit et al. (2013).

The spherical crystal shape is ideal to explore all possible orientations with a constant propagation length Petit et al. (2013). However, a full three dimensional rotation of a sphere is not compatible with measurements in our cryostat and it appears technically challenging at low temperature. As the cylindrical geometry (with its revolution axis along the crystallographic b-axis) may be sufficient for monoclinic crystals Dinndorf et al. (1992), we choose this configuration for our experiment performed at cryogenics temperature. Still, as further detailed in section 4, the cylindrical geometry won’t be sufficient to fully reconstruct the absorption tensor of the $^4I_{15/2} \rightarrow ^4I_{13/2}$ transition. Nevertheless, the low temperature measurements give an unprecedented spectral resolution to characterize unambiguously the different crystal field levels of the two substitutional sites as detailed in section 2. We will first present the experiment and then discuss the characterization of the absorption tensor. As we will see, we cannot fully distinguish between the electric-dipole (so called forced ED) and magnetic dipole (MD) contributions, but we can only bound their relative weight. The MD transition is indeed allowed for the the $^4I_{15/2} \rightarrow ^4I_{13/2}$ of Er$^{3+}$ Li et al. (1992).

2. Optical setup

Following the method in Ménart et al. (2017), we prepare a polished cylinder of Y$_2$SiO$_5$ (thickness 3 mm, diameter 5.8 mm) doped with an Er$^{3+}$ atomic concentration of 50 ppm grown by Scientific Materials (Fig. 2, centre). The b-axis, the cylinder axis, is fixed by monochromatic diffraction ($\pm 0.1^\circ$ precision) in order to properly shape the cylinder in the (a,c) plane (cylindricity error under 1%). Finally, the exact in-plane orientation is determined with a Laue diffractometer with a sub degree precision. We chose this configuration because the b-axis, also called the special monoclinic axis, defines the axis of the dielectric frame that remains fixed as a function of any dispersive parameter of the dielectric permittivity, like the wavelength or the temperature for example. The two other axes, perpendicular to the b-axis, will be called D$_1$ and D$_2$ in the following, and may vary as a function of wavelength and temperature. The other reason is that the special axis is a principal axis for both the real and imaginary part of dielectric permittivity Petit et al. (2013). The cylinder is placed in a variable-temperature-insert liquid helium cryostat. The rotation is controlled manually from room-temperature though an O-ring sealed rotating pole. The precision is 2$^\circ$, which corresponds to the rotating mount scale increment. We collimate the beam in the cylinder by properly focusing the incoming beam using cylindrical lenses with a focal length of 75 mm at the input and output of the cryostat. We place a polarizing cube and half-wave plate to control the incoming polarization to independently address each of the two polarization Eigenmodes, i.e. parallel or orthogonal to the b-axis.

Figure 1: Reduced crystal cell representing the two possible substitutional sites in the Y$_2$SiO$_5$ matrix: site 1 (left) and site 2 (right) with 6-coordinate and 7-coordinate respectively. Colour code: cyan - yttrium, red - oxygen, yellow - silicon and green - erbium substituting an yttrium.
Our objective is to scan the \(^{4}I_{15/2} \rightarrow {^{4}I_{13/2}}\) transition between the lowest crystal field levels of both the sites 1 (6-coordinate) and 2 (7-coordinate) which represent the two possible substitutional sites in the \(\text{Y}_2\text{SiO}_5\) matrix (see Fig.1). Then we use the same fibred DFB laser (slow diode Peltier device temperature tuning) centered at 1536.5 nm and 1538.9 nm, for both sites respectively. We operate the cryostat at 45 K. At this temperature, the measured optical depth corresponding to the natural logarithm of the transmission is of the order of one, the transition linewidths being \(\sim 2\ \text{GHz}\). At lower temperature, the linewidth is lower (\(\sim 0.5\ \text{GHz}\)) thus increasing the absorption to a point where the transmission is becoming too weak to be accurately measured. We scan the laser frequency across the resonance to record a complete line spectrum for each absorption measurement. The natural logarithm of the transmission spectrum is fitted by a Gaussian curve whose height gives the optical depth.

3. Experimental results

\begin{figure}[h]
\centering
\includegraphics[width=\textwidth]{figure2.png}
\caption{(i): a polished cylinder is centered on a brass holder and placed on a rotating mount in the cryostat. A small mirror is glued on the side of the brass holder, serving as a common orientation reference (laser auto-collimation) between the Laue diffractometer and the measurement setup. (ii): reference dielectric frame (\(\textbf{b}, \textbf{D}_2, \textbf{D}_1\)). The angle \(\theta\) is defined as the rotation angle from \(\textbf{D}_1\) toward \(\textbf{D}_2\). (iii) Projection and identification of the axes in the monoclinic plane perpendicular to \(\textbf{b}\). In practice, the propagation direction \(\vec{k}\) is kept constant while rotating the sample around \(\textbf{b}\). The electric field polarization can be either aligned with \(\textbf{b}\) (blue) or in the (\(\textbf{D}_1, \textbf{D}_2\)) plane (red).
}
\end{figure}

As reference frame for the measurement, we use the dielectric frame (\(\textbf{b}, \textbf{D}_2, \textbf{D}_1\)). This notation has been introduced for the first spectroscopic measurements of \(\text{Er}^{3+}:\text{Y}_2\text{SiO}_5\) Li et al. (1992) and is widely used to reference the spin Hamiltonian components. The (\(\textbf{b}, \textbf{D}_2, \textbf{D}_1\)) frame is the dielectric frame (\(\textbf{X}, \textbf{Y}, \textbf{Z}\)), these axes respectively bearing the low, intermediate and large principal indices of refraction (Liu and Jacquier, 2006; Woodburn et al.; Beach et al., 1990, p.386). The relative orientation of (\(\textbf{b}, \textbf{D}_2, \textbf{D}_1\)) and (\(\textbf{a}, \textbf{b}, \textbf{c}\)) is summarized in Fig.2. The dielectric frame slightly rotates around the \(\textbf{b}\)-axis as a function of wavelength, as observed in Traum et al. (2014)\). Moreover, such a rotation of the dielectric frame orientation becomes negligible in the infrared, allowing to consider that this orientation offset is within our measurement uncertainty. Therefore, we did not try to remeasure the exact positions \(\textbf{D}_2\) and \(\textbf{D}_1\) at our wavelength and we simply fix the position of \(\textbf{D}_1\) at 23.4° from \(\textbf{a}\), as chosen in [Fig.5.a]Traum et al. (2014). The monoclinic angle between \(\textbf{a}\) and \(\textbf{c}\) is \(\beta = 102.65^\circ\).

As we rotate the sample by an angle \(\theta\) from \(\textbf{D}_1\) to \(\textbf{D}_2\), the polarisation is either constant along \(\textbf{b}\) or it lies in (\(\textbf{D}_1, \textbf{D}_2\)) plane, respectively corresponding to the ordinary or extraordinary polarization eigenmodes, as defined in Fig.2. In the latter case, the polarization rotates in the plane as well (along a direction close to \(\theta+90^\circ\), while neglecting the minor influence of double refraction). The measurement of the optical depth is reproduced for site 1 and site 2, and results
are plotted in Fig. 3.

![Figure 3](image-url)

**Figure 3:** Angular distributions of optical depths for site 1 (top) and 2 (bottom) versus the cylinder orientation $\theta$, for the two Eigenmode polarizations either aligned with $b$ (blue) or in the $(D_1,D_2)$ plane (red). When $\theta = 0$, then $\vec{k} \parallel D_1$. When $\theta = 90^\circ$, then $\vec{k} \parallel D_2$. Right panel: same data in polar representation. The blue and red dashed curves are fitted with (1).

The different angular distributions in polarized light exhibit contrasted modulations, expect for site 2 when polarization lies in the $(D_1,D_2)$ plane. As a signature of the local anisotropy in the $Y_2SiO_5$ matrix, well-known for the different components of the spin Hamiltonian, the absorption varies a lot (see for site 2, polarisation along $b$ in Fig. 3). The absorption eigenaxes (maximum and minimum) that we exhibit by rotating the cylinder around $b$ do not coincide with the dielectric frame $(b,D_2,D_1)$ axes (see polar plots in Fig. 3) as expected Petit et al. (2013); Dinndorf et al. (1992). Moreover, it is remarkable to observe that the absorption eigenaxes from site 1 and site 2 are different. This results indeed from the different local environments of the two sites, and primarily the different coordination numbers, with oxygen in that case. Beyond the qualitative analysis of the angular distribution, the data can be discussed further by noting first that $Y_2SiO_5$ belong to the monoclinic class where $b$ is a fixed and common eigendirection to any optical tensors by symmetry, allowing the dielectric frame as well as any resonant absorbing or emitting frame to show orientation dispersion around $b$ Petit et al. (2013); and secondly that the $^4F_{15/2} \rightarrow ^4F_{13/2}$ is MD allowed.

4. Analysis

The theoretical analysis of absorption anisotropy relies on symmetry considerations Aminov et al. (1985); Stedman (1985); Petit et al. (2013). The key point for a monoclinic crystal such as $Y_2SiO_5$ is the fact that $b$ is an axis of symmetry.

To start up, as judiciously noted in Dinndorf et al. (1992), the transition dipole follows the general projection rules of a spin-1/2 particle submitted to an anisotropic Hamiltonian. In short, the absorption angular distribution can be
decomposed as

\[ d(\theta) = d_1 \cos^2(\theta - \theta_0) + d_2 \sin^2(\theta - \theta_0) \]

\[ = \frac{d_1 + d_2}{2} + \frac{d_1 - d_2}{2} \cos(2(\theta - \theta_0)) \]

where \(d_1, d_2\) and \(\theta_0\) allow the complete tensor to be reconstructed. This corresponds to the cross-section of an ellipsoid in general. The formula can be rigorously justified for the Maxwell equations by considering the first-order electric field susceptibility as a rank-2 polar tensor in the relevant monoclinic symmetry, and considering the imaginary part as a perturbative absorption behavior with respect to refractive aspects driven by the real part. Petit et al. (2013). In (1), if one finds \(\theta_0 = 0\), then the principal axes of the investigated absorption transition are aligned with those of the dielectric frame, showing diagonal values of \(d_1\) and \(d_2\). As shown in Fig.3, this is clearly not the case, highlighting here the monoclinic behavior of \(Y_2SiO_3\). The four data set in Fig.3 are fitted by (1) (dashed-dotted curves) to obtain the following table:

The two ideal cases independently with either a purely ED or MD transition are considered below.

(i) If the transition was purely ED (so called forced-ED), our configuration would be sufficient to extract the full transition tensor for both sites. Because \(b\) is an axis of symmetry, when the electric field polarization stands the ordinary polarization mode along \(b\), the absorption should be constant to a value \(d_b^E\) as \(\theta\) is varied: the blue curves in Fig.3 would be flat. In the \((D_1,D_2)\) plane, there is no reason for \(D_1\) nor \(D_2\) to be the absorption eigenaxes, so that the absorption angular distribution should be characterized by three values \(d_1^E, d_2^E\) and \(\theta_0^E\). The latter is to be non-zero due to the existence of off-diagonal components in such dielectric plane orthogonal to the monoclinic \(b\)-axis. As absorption is not constant while considering a fixed polarization mode along \(b\), it strongly evidences that the transition is not purely ED. This is expected because the \(^4I_{15/2} \rightarrow ^4I_{13/2}\) transition is MD allowed (with \(\Delta J = -1\) as selection rule Li et al. (1992)).

(ii) If the transition was purely MD, we can follow the previous reasoning for ED transition replacing the electric field by the magnetic field polarization, these fields being typically perpendicular to the propagation axis \(k\) and to each other. Namely, if the electric field lies in the \((D_1,D_2)\) plane, then the magnetic field is along \(b\) and the MD absorption should be constant \(d_b^H\): the red curves in Fig.3 would be flat. By analogy, if the electric field is along \(b\), then the absorption angular distribution should be characterized by the three values \(d_1^H, d_2^H\) and \(\theta_0^H\). The fact that the red curve for site 2 in Fig.3 is flat is not sufficient to logically conclude that the transition is purely MD for site 2. Indeed, the additional ED components could be accidentally isotropic in the \((D_1,D_2)\) plane, still contributing to a constant background under rotation.

If now is considered a mixture of ED and MD transitions, then the ED and MD absorptions have to be simply added. Actually, the measurements do stand in the weak absorption approximation and we measure the linear absorption where ED and MD can be taken independently. Following the previous reasoning, the angular distribution when the electric field polarization is along \(b\) can be written as

\[ d_{\text{blue}}(\theta) = d_b^E + \frac{d_1^H + d_2^H}{2} + \frac{d_1^H - d_2^H}{2} \cos(2(\theta - \frac{\theta_0^H}{2})) \]
And when the electric field lies in the \((\mathbf{D}_1, \mathbf{D}_2)\) plane, it comes:

\[
d_{\text{red}}(\theta) = d^H_b + \frac{d^E_b + d^E_1}{2} + \frac{d^E_1 - d^E_2}{2} \cos(2(\theta - \theta_0^E))
\]

In order to determine unambiguously the components of the ED and MD absorption tensors, it is necessary to have 4 values per curve, i.e. \(d^E_b, d^H_b, d^H_1, \theta_0^H\) for the blue and \(d^E_b, d^H_b, d^E_1, \theta_0^E\) for the red one, while the fit gives only 3 parameters: \(d_1, d_2\) and \(\theta_0\) reported as underbraces in (3) and (4). So we can only take the values in table 1 as phenomenological parameters to describe the absorption in the \((\mathbf{D}_1, \mathbf{D}_2)\) measurement plane (with two polarizations) but without allowing for a complete determination of the absorption tensors. This is a consequence of the ED and MD mixture of the \(^4I_{15/2} \rightarrow ^4I_{13/2}\) transition of Er\(^{3+}\) in \(\text{Y}_2\text{SiO}_5\). A complete determination would require to repeat the measurement in different planes as \((\mathbf{b}, \mathbf{D}_1)\) and \((\mathbf{b}, \mathbf{D}_2)\), thus giving redundantly the ED and MD tensors values.

5. Conclusion

We perform for the first time to the best of our knowledge a low temperature measurement of the absorption angular distribution in polarized light in the plane perpendicular to the monoclinic special axis of \(\text{Y}_2\text{SiO}_5\), which allows us to spectrally resolve and independently characterize the two yttrium substitution sites. Measurements remarkably demonstrate that this transition of interest for quantum optical memories, namely the \(^4I_{15/2} \rightarrow ^4I_{13/2}\) transition of Er\(^{3+}\), is not only a magnetic-dipole allowed transition but indeed a hybrid electric-magnetic transition. Because of such behavior of ED and MD mixture, the absorption tensor components cannot fully be unambiguously determined yet while only considering the currently investigated dielectric plane. The use of a sphere instead of a cylinder would ideally permit to explore the different independent measurement planes by following the procedure from Petit et al. (2013). But it would be very difficult to rotate a sphere inside a cryostat. The use of three oriented cylinders should then be used, but at the price of tedious crystal machining and polishing.

Nevertheless, one should note that recent progress in determining the crystal field parameters in the low symmetry site of \(\text{Y}_2\text{SiO}_5\) Horvath et al. (2019) make possible a calculation of the allowed MD component, as opposed to the ED component which is forbidden or so-called forced. Complemented by a theoretical prediction of the MD component, our measurements would be sufficient to fully characterize the forced ED and allowed MD tensors.

To conclude with the spin Hamiltonian analogy outlined in the introduction, it would be interesting to repeat absorption measurements under magnetic field. One would obtain the equivalent of the g-tensor that can be extracted from electron spin resonance spectroscopy. It may sounds surprising that the g-tensor has 6 independent components Sun et al. (2008) while the ED and MD optical transition tensors only have 4 independent components each here. This is precisely because we do not apply a magnetic field that the monoclinic symmetry (and not the local \(C_1\) symmetry) strictly applies in the sense that \(\mathbf{b}\) stays an axis of symmetry. Under magnetic field, the optical transition tensors should have 6 independent components because \(\mathbf{b}\) may not remain an axis of symmetry, forcing the magnetically-stressed crystal to behave similarly to a triclinic crystal. One could alternatively reinterpret this global symmetry analysis as lifting the inequivalence between the so-called magnetic sub-sites, related by the crystal symmetry, whose degeneracy can be precisely lifted by applying a magnetic field, away from the \(\mathbf{b}\) axis or the \((\mathbf{D}_1, \mathbf{D}_2^H)\) plane. Such experiment is quite challenging because the crystal has to be rotated with the magnetic field in the cryostat. The latter should be sufficiently strong to lift the degeneracy and resolve the Zeeman transition. This is an interesting perspective.

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