Single-cell immunophenotyping of the fetal immune response to maternal SARS-CoV-2 infection in late gestation
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BACKGROUND: During the COVID-19 pandemic, thousands of pregnant women have been infected with severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2). The implications of maternal SARS-CoV-2 infection on fetal and childhood well-being need to be characterized. We aimed to characterize the fetal immune response to maternal SARS-CoV-2 infection.

METHODS: We performed single-cell RNA-sequencing and T cell receptor sequencing on cord blood mononuclear cells (CBMCs) from newborns of mothers infected with SARS-CoV-2 in the third trimester (cases) or without SARS-CoV-2 infection (controls).

RESULTS: We identified widespread gene expression changes in CBMCs from cases, including upregulation of interferon-stimulated genes and major histocompatibility complex genes in CD14+ monocytes, transcriptional changes suggestive of activation of plasmacytoid dendritic cells, and activation and exhaustion of natural killer cells. Lastly, we observed fetal T cell clonal expansion in cases compared to controls.

CONCLUSIONS: As none of the infants were infected with SARS-CoV-2, our results suggest that maternal SARS-CoV-2 infection might modulate the fetal immune system in the absence of vertical transmission.

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IMPACT:
● The implications of maternal SARS-CoV-2 infection in the absence of vertical transmission on fetal and childhood well-being are poorly understood.
● Maternal SARS-CoV-2 infection might modulate the fetal immune system in the absence of vertical transmission.
● This study raises important questions about the untoward effects of maternal SARS-CoV-2 on the fetus, even in the absence of vertical transmission.

INTRODUCTION
Millions of people worldwide have or will become infected with severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2), causing Coronavirus Disease 2019 (COVID-19), and the infection of pregnant women with SARS-CoV-2 infection has been widespread.1–6 Despite the prevalence of antepartum infection, we have a limited understanding of the implications of SARS-CoV-2 infection on fetal and offspring health. To date, there are limited case reports of vertical, mother-to-child transmission of SARS-CoV-2,7–12 and vertical transmission remains rare in most pregnancies complicated by maternal SARS-CoV-2 infection.1,4,13–17 Nonetheless, in the absence of direct fetal infection and toxicity, maternal SARS-CoV-2 infection may still affect fetal development. Maternal immune activation during pregnancy after viral infection without vertical transmission can have long-term consequences for the newborn, including abnormal neurologic18,19 or immune system development.20,21

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specific transcriptional landscape of umbilical cord blood mononuclear cells (CBMCs) from term gestation infants (>37 weeks) born to mothers infected with SARS-CoV-2 in the third trimester without vertical transmission. This immunogenomic investigation provides evidence of both innate and adaptive fetal immune transcriptional changes in pregnancies complicated by SARS-CoV-2 infection. Our results suggest that even in the absence of vertical transmission, SARS-CoV-2 maternal infection in the third trimester might modulate the fetal immune system.

RESULTS

To characterize the fetal immunologic landscape in pregnancies complicated by maternal SARS-CoV-2 infection, we performed droplet-based single-cell RNA-sequencing (scRNAseq) of CBMCs from infants born to mothers with SARS-CoV-2 infection during pregnancy (cases) and infants born to mothers without SARS-CoV-2 infection (controls). CBMCs from three cases and three controls were obtained from our biorepository.29 None of the three infants in this study born to mothers with SARS-CoV-2 were positive for SARS-CoV-2 postnatally, had detectable SARS-CoV-2 messenger RNA (mRNA) in the placenta, or developed any neonatal morbidity. All mothers with COVID-19 in the third trimester were classified as having mild disease without respiratory support.30

Infants born to mothers negative for SARS-CoV-2 and asymptomatic (universal screening at admission for labor) during the same epoch served as controls. Maternal comorbidities including well-controlled thyroid dysfunction, obesity, or gestational diabetes were matched between cases and controls as feasible. The time of maternal infection and birth in cases varied between 7 and 66 days. Table 1 displays demographic and clinical data from the cases and controls.

CBMCs were processed on the 10X Genomics Single-Cell Immune platform (see “Methods”). After quality control and doublet removal, we included 14,748 cells with high-quality single-cell transcriptomes from cases and 11,222 cells from controls in our dataset. (See quality control metrics in Supplemental Fig. 1A, B.) The cell population composition was visualized using uniform manifold approximation and projection (Fig. 1a), and cell types were inferred by cluster-specific canonical marker genes (Fig. 1b, c). We did not observe any differences in cell cluster composition between cases and controls (Supplemental Fig. 1c, d).

To explore transcriptional signatures in fetal immune cells associated with maternal SARS-CoV-2 infection, we performed differential gene expression (DGE) analysis within cell types comparing cases and controls. Genes with a false discovery rate (FDR) < 5% were considered statistically significant. We identified hundreds of genes across nearly all cell types with altered expression (Fig. 2a). We used gene ontology (GO) analysis to broadly classify genes significantly disrupted by maternal SARS-CoV-2 infection based on DGE (Supplemental Table 1).

CD14+ monocytes were grouped into five clusters and CD16+ monocytes were grouped into one cluster (Fig. 2b). CD14+ subpopulations demonstrated variable expression of inflammatory genes, including ACSL1, ADGRE2, CD300E, and PAD4, which aligns with prior single-cell analysis showing monocyte diversity.41 Consistent with data from adult COVID-19 patients,52,53 we found that CD14+ monocytes from cases demonstrated increased expression of interferon (IFN)-stimulated genes (ISGs) (Fig. 2c) and concomitant IFNAR2 downregulation (Supplemental Fig. 2a), which could reflect exposure to IFN prenatally.44 Of note, we found that there was variable upregulation of ISGs among various CD14+ monocyte clusters in cases compared to controls (Fig. 2c), indicating that there is no homogeneous ISG regulation among fetal monocyte clusters secondary to maternal SARS-CoV2 infection. This finding is consistent with previous reports that upon stimulation with IFN-γ, fetal bone marrow monocytes have variable upregulation of ISGs when compared to adult bone marrow monocytes.35 GO analysis of DGE in CD14+ monocytes demonstrated enrichment of genes associated with antigen presentation and viral translational termination and reinitiation (Fig. 2d). CB CD14+ monocytes from cases also showed upregulation of major histocompatibility class (MHC) I and II genes (Supplemental Fig. 2A) suggesting activation in response to IFN signaling.36 However, some HLA genes were downregulated in CD14+ monocytes (HLA-E and HLA-B) (Supplemental Fig. 2A) consistent with decreased antigen presentation capacities in fetal bone marrow CD14+ monocytes compared to adult bone marrow counterparts upon in vitro stimulation with IFN-γ.37 Furthermore, CD14+ monocytes from cases showed upregulation of TLR receptor transcripts (TLR2, TLR4, and TLR5) paired with upregulation of FOS and downregulation of transcriptional inhibitors of nuclear factor kappa-light-chain-enhancer of activated B cells (NFκB), including NFKBIA and NFKBIE, all of which are associated with increased NFκB activation and cytokine production37 (Supplemental Fig. 2A). Of note, CD14+ monocytes from cases had decreased expression of autophagy (ATG14, ATG22, and ATG3) and endoplasmic reticulum stress (XBP1 and HSPAS) genes, which may contribute to a defect in macrophage differentiation38 (Supplemental Fig. 2A). Expression of S100A Alarmins in CB monocytes has been associated with chorioamnionitis and fetal inflammatory response syndrome (FIRS).39 S100A8, S100A9, and S100A12 were found to be decreased in CD14+ monocytes in our cases compared to controls, which might suggest that the response elicited from SARS-CoV-2 maternal infection in monocytes differs from the changes elicited in FIRS secondary to chorioamnionitis (Supplemental Fig. 2A).

Similar to CD14+ monocytes, we identified induction of ISGs in nonclassical CB monocytes (CD16+) (Fig. 2c). In contrast to CD14+ monocytes, we found that there was decreased expression of cell adhesion genes (including PLAUR and THBS1), attenuation of immune activation signaling pathways genes (FOS, FOSB, MAP3KB, STAT6, and FCER1G), and decreased expression of inflammatory molecules like resistin (RETN) (Supplemental Fig. 2B). Together, these results suggest induction of ISGs in monocytes from cases compared to controls and differences in transcriptional changes in classical and nonclassical monocytes that might indicate preferential activation of classical monocytes in cases compared to controls.

We captured the transcriptomes of both plasmacytoid and conventional dendritic cells (pDC and cDC, respectively) in CB. In adults infected with SARS-CoV-2, both types of DCs are functionally impaired, and there is an increased ratio of cDCs to pDCs in severe patients.40 In our study, CB cDC from cases showed increased expression of ISGs like IFITM3 and APOBEC3A (Fig. 2e). Fetal cDC from cases showed a transcriptional profile suggestive of innate immune activation including increased expression of PIK3CB, which is downstream of TLR5 and TLR7,41 as well as increased transcription of CCL5, which can be upregulated after TLR3 stimulation (Fig. 2e).42 Evidence of impaired cDC maturation was suggested by upregulation of ID1, which antagonizes dendritic cell differentiation and antitumor immunity in mice, as well as increased MAFB transcription, which suppresses cDC maturation.44 pDCs from cases also demonstrated decreased expression of FOSB and many MHC II genes.45 pDCs in cases also showed markers of immune activation, including upregulation of RELB, which promotes DC activation through RelB-p50 dimer;46 upregulation of MHC class I and class II genes, and unfolded protein response activation, as shown by increased transcription of XBP1 (Supplemental Fig. 2C). Together, these transcriptional findings could be consistent with activation of pDC over cDC in the CB of cases, potentially through activation of TLRs.

In adults, SARS-CoV-2 infection is associated with fewer blood natural killer (NK) cells, but a higher activation state in circulating NK cells.47 We identified two clusters of CB NK cells. One
population of NK cells (cluster 1) expressed higher levels of **GZMB**, while the second population of NK cells (cluster 2) expressed **IL7R** and **XCL1**, suggesting that cluster 1 corresponded to CD56dim and cluster 2 corresponded to CD56bright NK cells, as **NCAM1** (CD56) is technically not well captured in scRNAseq.49 Similar to adult NK cells, CB NK cells from SARS-CoV-2-positive pregnancies showed signs of exposure to IFN, including induction of ISGs like **IFI6**, **IFIT2**, and **IRF9** (Fig. 2f).

### Table 1. Clinical characteristics of cases and controls.

| Subjects     | Onset of symptoms (GA) | SARS-CoV-2 PCR (GA) | Delivery (GA) | Days between the onset of symptoms at test | Maternal symptoms at test | Delivery mode | Any labor? | Maternal comorbidities | Sex assigned at birth | Placental viral load by RT-PCR | 24 h nasopharyngeal viral load by RT-PCR |
|--------------|-------------------------|---------------------|---------------|--------------------------------------------|---------------------------|---------------|------------|------------------------|------------------------|-------------------------------|-----------------------------------|
| Control 1    | NA                      | 39.9                | 40            | NA                                         | VD                        | Yes           | Male       | 1                      | NA                     | NA                            | NA                                |
| Control 2    | NA                      | 38.9                | 39w 4d        | NA                                         | CS                        | Yes           | Male       | BMI > 30, thyroid disease | Female                 | 1                             | NA                                |
| Control 3    | NA                      | 38.4                | 38w 6d        | NA                                         | CS                        | Yes           | Female     | BMI > 30               | ND                     | NA                            | NA                                |
| Case 1       | 30w 3d                  | 30w 7d              | 39w 7d        | 66                                         | Fever/chills, nasal congestion, loss of taste/smell, sore throat, night sweats | VD               | Yes        | Thyroid disease         | Male                   | 1                             | Negative                         |
| Case 2       | 34w 4d                  | 35w 4d              | 40w 1d        | 40                                         | Cough, fever/chills, myalgias, headache, chest discomfort | CS               | Yes        | Diabetes/GDM, BMI > 30, thyroid disease | Male                   | 1                             | Negative                         |
| Case 3       | 39w 0d                  | 39w 4d              | 40w 7         | 7                                          | Cough, fever/chills       | VD               | Yes        | BMI > 30               | Female                 | 1                             | Negative                         |

GA gestational age, VD vaginal delivery, CS cesarean section, ND not done, NA not applicable.

**Fig. 1** Cell composition of cord blood mononuclear cells by scRNAseq. a UMAP of all cases and control CBMCs, with cell populations labeled by color. N = 3 samples per group. b Dot plot of marker gene expression across cell clusters. Y-axis displays marker genes and X-axis displays cell clusters. Relative size of dots represents the percentage of gene expression within a cell cluster, and the relative color of dots represents average expression. c Marker gene plots for **Ms4a1** (B cells), **Klrf1** (NK cells), **Lyz** (monocytes), and **CD3D** (T cells). Colored dots indicate gene expression.
CCL4, expression of cytotoxic genes including GNLY, GZMA, GZMB, and GZMH, and increased transcription of IFNG, paired with decreased expression of NK inhibitory molecules (Fig. 2f). There were transcriptional changes associated with exhaustion, such as decreased expression of KLRG1 and SIGLEC7. DGE in NK cells between cases and controls were enriched for genes related to the IFN-α response, regulation of NK cell cytokine production, and viral transcription (Fig. 2g).

In adults with acute COVID-19, there is a heterogeneous adaptive immune response in peripheral blood, including B cell receptor and T cell receptor (TCR) arrangements specific to SARS-CoV-2. Given these findings, we evaluated whether maternal...
infection with SARS-CoV-2 had any effect on CB lymphocyte gene expression. In B cells from infants exposed to SARS-CoV-2 in utero, we identified three clusters of CB B cells corresponding to non-plasma/plasmablast (Clusters 1 and 2) and plasma/plasmablast cells (Cluster 3) based on MZB1 expression50 (Supplemental Fig. 2D). With the possible exception of germinal center (GC) B cells, all human B cell populations found in lymphoid tissues can also be demonstrated in peripheral blood53–56 with enrichment of mature, naive B cells and regulatory B cells in CB.54–56 Therefore, transcripts of genes related to B cell receptor activation are detected in circulating B cells57–59 and their products might participate in tonic B cell signaling under homeostatic conditions in peripheral blood.60 Human CB B cell transcriptional program differs from adult peripheral B cell and confers accelerated but transient responsiveness to stimulation.56 Surprisingly, in B cells from infants born to mothers infected with SARS-CoV-2, we identified decreased transcription of genes downstream of B cell receptors in all clusters compared to controls. Specifically, we found a decreased transcription of NR4A1, CD69, and CD83 in all B cells (Fig. 3a). NR4A1 encodes Nur77, an orphan nuclear receptor...
that is induced upon B cell activation in peripheral blood in humans. CD83 is expressed in peripheral B cells and correlates with the engagement of BCR, TLRs, or CD40. Concordant with transcriptional evidence of defective B cell activation in CB lymphocytes from cases, we also found decreased expression of CD69, activator protein-1, and nuclear factor of activated T cells genes, as well as anti-apoptotic genes, including BCL2 and BCL2A1 (Fig. 3a). These transcriptional changes suggestive of potential B cell dysfunction, combined with our prior findings of decreased transplacental transmission of IgG against SARS-CoV-2 compared to IgG against other antigens, might translate into potential impairments in antibody-mediated immunity to SARS-CoV-2 in neonates born to mothers with COVID-19. The humoral defect might be secondary to impaired passive immunity against SARS-CoV2 combined with potential impaired B cell activation in cases compared to controls, but this phenomenon requires further functional characterization.

We also identified three clusters of T cells (Fig. 3b). Cluster 1 corresponded to cytotoxic (CD8+ ) T cells, while Clusters 2 and 3 corresponded to helper T cells. Naive helper T cells predominated in CB and retain a partial expression of a fetal-associated regulatory T cell signature. Increased expression of CCR7 in T cell Cluster 2 suggests that this cluster includes either naive T cells or central memory T cells, and increased CTSW and KLRB1 in Cluster 3 suggest that this cluster includes effector and memory T cells. In adults with COVID-19, CD8+ T cells show decreased cytotoxic potential and exhaustion driven by interleukin-6 (IL-6). Similar to adults, CB CD8+ T cells from cases demonstrated transcriptional signatures suggestive of impaired function including decreased expression of GZMA, FOS, and JUN (Supplemental Fig. 3A). Furthermore, there was increased expression of KLRB1 and CCR7 (Supplemental Fig. 3A). CCR7 is expressed in naive and central memory T cells and lost in effector, effector memory, and terminally differentiated T cells. KLRB1 encodes CD161. CD161 is associated with IL-17-secreting T cells and is found in CB-naive T cells that become IL-17-secreting T cells. CD161 is also expressed in innate-like T cells including mucosal-associated invariant T cells, gamma-delta-positive T cells, invariant NK T cells, and promyelocytic leukemia zinc-finger protein-positive CD4+ T cells. In innate-like T cells, CD161 may track with infections or inflammatory complications of pregnancy. GO analysis of DGEs in CD8+ T cells demonstrated enrichment for genes associated with T cell tolerance, proliferation, and the response to IFN-y (Supplemental Fig. 3B). In Cluster 2, we found increased expression of IL-6–IL-17 axis genes including RORA, ARID5A, RBPJ, and ILEST in cases compared to controls (Supplemental Fig. 3C). The IL-6–IL-17 axis has been implicated in mediating the neurodevelopmental effects of maternal immune activation in mice and coordinating tissue inflammatory responses.

T cell antigen receptor (TCR) repertoire in T cells reflects selection by self and foreign antigens. To investigate the repertoire of TCRs in CB from SARS-CoV-2-exposed pregnancies and controls, we performed single-cell TCR sequencing. A total of 1943 T cells were analyzed, and T cells with TCR information were functionally tested to evaluate the implications of the transcriptional observations we report.

Despite these limitations, the present study identifies transcriptional changes suggestive of a fetal immune response after maternal infection with SARS-CoV-2 in the absence of vertical transmission and suggests potential transplacental immune implications of maternal SARS-CoV-2 infection in the absence of mother-to-child transmission. The source of signals promoting transcriptional changes in neonatal monocytes and other immune cells in the absence of vertical transmission is unknown. Ex vivo studies have shown that transplacental transfer of IL-1β, IL-6, and tumor necrosis factor-α is limited. Type I IFNs are increased in the peripheral circulation of patients with mild COVID-19 but the ability of IFN to cross the human placenta is unclear. Our results raise the possibility that pro-inflammatory signaling in the mother in response to SARS-CoV-2 might promote IFN signaling at the feto-maternal interface, and placental barrier dysfunction could result in loss of selective placental permeability to circulating maternal factors. Consistent with this possibility, a recent report has identified placental inflammatory responses in SARS-CoV-2-infected mothers compared to controls in the absence of viral mRNA in the placenta. It is widely accepted that different viral infections, such as severe acute respiratory syndrome by influenza H1N1 virus or human immunodeficiency virus (HIV) in pregnant women, can compromise the offspring's fetal immune system even when the infection is limited to the mother or placental bed. Furthermore, even without fetal infection, maternal HIV and H1N1 infections can be associated with neurological and behavioral diseases in offspring. To our knowledge, this is the first time that scRNAseq has been used to explore the effect of maternal infection on the fetal immune system. Therefore, it is possible that the effects observed in our cohort are not SARS-CoV-2-specific. However, S100A genes in monocytes were downregulated in our
cohort in response to SARS-CoV2, while they are upregulated in monocytes of infants exposed to chorioamnionitis, which might suggest, at minimum, differences in the response of fetal monocytes to maternal infection with SARS-CoV2 compared to chorioamnionitis.

Further experimental and functional data need to be collected to clarify how maternal infection with SARS-CoV-2 influences the fetal immune system, whether similar changes occur during other maternal infections without vertical transmission and the potential effect in the newborn as reported for HIV and influenza. Given the extensive literature linking maternal immune dysregulation and abnormal fetal development in viral infections, this study raises important questions about untoward effects of maternal SARS-CoV-2 on the fetus, even in the absence of vertical transmission, and highlights the need for further studies to better characterize the fetal immune response in pregnancies affected by SARS-CoV-2 infection.

METHODS
Sample collection, cryopreservation, and placental viral load
The subjects were six infants born at term to mothers with or without SARS-CoV-2 infection in the third trimester. Parents of the infants provided informed consent before sample collection and study participation. The study was approved by the Institutional Review Board of the Mass General Brigham (IRB 2020P001478 and IRB2020P000804). Cord mononuclear cells were collected using Ficoll and cryopreserved as described. We used dimethyl sulfoxide as our cryopreservative agent as it adequately conserves gene expression profiles in cryopreserved cells compared to fresh cells in droplet-based single-cell RNA-sequencing. We excluded preterm infants, as a strong pro-inflammatory signature in CB has been reported in infants born preterm. None of the infants was exposed to prenatal steroids, was diagnosed with intrauterine growth restriction, or had any neonatal morbidities. Placental viral load was measured as previously reported.

Single-cell RNA-sequencing
CBMC aliquots were thawed in a 37°C water bath and resuspended in RPMI-1640 with 10% fetal bovine serum (FBS) (Thermo Fisher). Samples were centrifuged at 350 × g for 7 min at 4°C. Cells were resuspended in 100 μl of 1× phosphate-buffered saline with 2.5% FBS and 2 mM EDTA.

Dead cells and red blood cells were depleted using the EasySep Dead Cell Depletion Kit and EasySep RBC Depletion Reagent (STEMCELL), according to the manufacturer’s instructions. Cells were resuspended in RPMI/10% FBS and counted. Cells were loaded onto the 10X Chromium controller at a targeted recovery density of 10,000 cells per sample. Samples were processed and sequencing libraries were created using the 10X the Chromium Next GEM single-cell V(D)J Reagent Kit v1.1 with human TCR V(D)J enrichment following the manufacturer’s instructions.

Single-cell RNA-sequencing data analysis
Sequencing data were aligned to the genome and processed using the 10X Genomics Cell Ranger software, version 4.0.0. All cells were combined into a single dataset. Doubled were removed using Scublet version 0.2.1, and the remaining cells were reclustered. Mitochondrial genes were filtered from the dataset. Cells with fewer than 250 or more than 2500 unique genes were excluded. Cells were then clustered using the Seurat R package (version 3.2.3). Specifically, the SCT functionality of Seurat was used to identify cell types that did not depend upon unique aspects of individual samples. Clustering resolution was set to 0.8, and the first 15 principal components were used. The data were log normalized and scaled to 10,000 transcripts per cell. The expression of known marker genes was used to assign each cluster to one of the main cell types. The Seurat FindMarkers function was used to identify genetic markers of cellular subtypes.

Identification of differentially expressed genes between cases and controls
To identify differentially expressed genes by cell type, we performed a differential gene expression analysis using Monocle2. The analysis was conducted on each cell type and also certain unions of cell types with common traits. The data were modeled and normalized using a negative binomial distribution and counts data were normalized for gene length and read depth. Genes whose FDR was <5% were considered statistically significant. GO analysis was performed using gprofiler2 version 0.2.0, and terms were selected from the Biological Process category of GO terms.

TCR sequencing
TCR sequencing data were analyzed using the R package scRepertoire (version 3.12).

DATA AVAILABILITY
Sequencing data have been deposited in the Gene Expression Omnibus under accession no. GSE165193.

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AUTHOR CONTRIBUTIONS

J.D.M. and B.T.K. conceived and designed the study. J.D.M., B.T.K., D.P., X.A., J.Z.L., and A.G.E. performed experiments and acquired data. A.-C.V. provided essential protocols. J.D.M., B.T.K., B.F., N.P.S., A.G.E. and A.-C.V. analyzed data. J.D.M. and B.T.K. drafted the manuscript, and all authors edited the manuscript. J.D.M., X.A., A.G.E. and P.H.L. contributed to clinical sample collection. P.H.L. and B.T.K. cosupervised the study.

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INFORMED CONSENT

Parents of the infants provided informed consent before sample collection and study participation. The study was approved by the Institutional Review Board of the Mass General Brigham (IRB 2020P001478 and IRB2020P000804).

COMPETING INTERESTS

The authors declare no competing interests.

ADDITIONAL INFORMATION

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