Role of Acetate Anions in the Catalytic Formation of Isocyanurates from Aromatic Isocyanates

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ABSTRACT: The formation of isocyanurates via cyclotrimerization of aromatic isocyanates is widely used to enhance the physical properties of a variety of polyurethanes. The most commonly used catalysts in industries are carboxylates for which the exact catalytically active species have remained controversial. We investigated how acetate and other carboxylates react with aromatic isocyanates in a stepwise manner and identified that the carboxylates are only precatalysts in the reaction. The reaction of carboxylates with an excess of aromatic isocyanates leads to irreversible formation of corresponding deprotonated amide species that are strongly nucleophilic and basic. As a result, they are active catalysts during the nucleophilic anionic trimerization, but can also deprotonate urethane and urea species present, which in turn catalyze the isocyanurate formation. The current study also shows how quantum chemical calculations can be used to direct spectroscopic identification of reactive intermediates formed during the active catalytic cycle with predictive accuracy.

1. INTRODUCTION

Isocyanurates, heterocyclic structures of 1,3,5-triazine-2,4,6-trione, formed via cyclotrimerization of isocyanates are widely used to enhance the physical properties of a variety of polyurethanes.1−4 Whereas the controlled trimerization of aliphatic isocyanates is used for the preparation of isocyanurate cross-linkers for high-performance polyurethane coatings,5−8 the cyclotrimerization of aromatic isocyanates into polyisocyanurate (PIR) structures is used to improve the thermal stability and flame retardancy of polyurethane rigid foams.9−17 The initial molar ratio of functional groups and especially the type of catalyst are the main factors influencing the formation of PIR structures. The most commonly used catalysts in industrial applications are based on carboxylates, such as potassium acetate, potassium 2-ethylhexanoate, trimethyl hydroxypropyl ammonium formate, or phenolate such as 2,4,6-tris(dimethylaminomethyl)phenol.5,18−25 The various industrial and commercial applications of isocyanurate-based polyurethane materials have attracted much attention in developing more effective catalysts for isocyanate trimerization. A number of catalysts for the trimerization of isocyanates have been extensively studied and reported in academic literature, including among others tetrabutylammonium fluoride (TBAF),26 2-phosphaethynolate anion (OCP−),27 sodium p-toluenesulfinate (p-TolSO2Na)/tetrabutylammonium iodide (TBAI),28,29 tetrakis(dimethylamino)ethylene (TDAE),30 proazaphosphatrane,31−33 N-heterocyclic carbenes, olefins,34,35 etc. With more and more catalysts being reported, the exact nature of the cyclotrimerization mechanism has raised much interest.

The generally accepted mechanism for the anionic trimerization of aromatic isocyanates is shown in Scheme 1. In this mechanism, the nucleophilic anionic catalyst (1) adds to the isocyanate carbon forming a nucleophilic anionic intermediate 2, which reacts further in the presence of excess isocyanate to form the trimeric isocyanurates (6).26,28,32,34,36 In the case of industrially used acetate-based catalysts, however, the exact nature of the catalytic species is controversial. Most studies consider the catalytically active species to be the acetate ion itself,37,38 but, on the other hand, Hoffman’s early experimental work indicated that acetate anions are quickly converted to acetanilide when reacting with aromatic isocyanates, which in turn could potentially act as the anionic catalysts in the active cycle.39 Further, as the acetanilide anion can be expected to deprotonate urethane, allophanate, urea, and biuret groups in the PU matrix depending on their relative acidities, and their corresponding anions have been shown to be active PIR catalysts, the catalytically active species is expected to change several times during the polymerization.13,19,40 Recently, Siebert et al. also...

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suggested that the catalytically active species originating from acetate anions changes several times during the cyclotrimerization of aliphatic isocyanates. The exact species that catalyze cyclotrimerization of aromatic isocyanates, however, has not been explicitly characterized so far, which hampers the development of new PIR catalysts suitable for large-scale polyurethane production.

Here, we study the role of acetate anions in the cyclotrimerization of aromatic isocyanates. Our study is based on first using the state-of-the-art quantum chemical methods to investigate how the acetate anion reacts with aromatic isocyanates in a stepwise manner to identify plausible mechanistic pathways. These are then used to guide spectroscopic identification of the catalytically active species to confirm the predicted mechanistic pathways.

2. RESULTS AND DISCUSSION

We studied the cyclotrimerization of aromatic isocyanates using phenyl and p-tolyl isocyanates as model substrates for identifying the species that are formed when acetate anion reacts with an excess of aromatic isocyanates (see Scheme 2). First, we calculated the relative free energies for several plausible mechanistic pathways for acetate anion-catalyzed cyclotrimerization of phenyl isocyanate in toluene and tetrahydrofuran (THF). Calculations were performed using accurate quantum chemical methods, i.e., all structures were optimized using dispersion-corrected density functional theory, namely the TPSS-D3\(^{42,43}\) functional with triple-\(\zeta\) def2-TZVPP\(^{44,45}\) basis sets, and the final relative free energies were calculated using resolution-of-identity random phase approximation (RIRPA)\(^{46}\) with quadruple-\(\zeta\) def2-QZVPP basis sets in toluene and tetrahydrofuran (THF) as described in the experimental section. The accuracy of the used methods has been recently discussed elsewhere and is thus not addressed here.\(^{47−49}\) These data were then used to understand which intermediates are formed during the active catalytic cycle for guiding their experimental identification directly from the reaction mixture using liquid chromatography–mass spectrometry (LC–MS) and \(^1\)H–\(^{13}\)C heteronuclear single quantum coherence (HSQC) nuclear magnetic resonance (NMR) spectroscopy. In the experiments in toluene and THF, catalyst loading of 10 mol % relative to isocyanate was used to ensure that the ionic intermediates are formed in high enough concentrations to be detected with the analytical methods used (see Section 4 and the Supporting Information for full details on computational and experimental methods).

The calculated mechanistic pathways in THF and their corresponding relative free energies are shown in Figure 1 (for results in toluene, see Tables S1 and S2). In the generally accepted reaction mechanism, acetate anions react in a stepwise manner with 3 equiv of isocyanates to form isocyanurate (6) (see Figure 1a,b). The reaction is initiated by straightforward nucleophilic addition of an acetate anion (1) to aromatic isocyanate forming an acetate bound isocyanate complex 2. This nucleophilic intermediate can then react with the second isocyanate to form an allophanate acetate complex 3 that can reversibly cyclize intramolecularly to form 1,3-diphenyl-2,4-uretidinedione (4) as the kinetic product or react with the third isocyanate to form intermediate 5, leading to the formation of isocyanurate 6 via its catalyst bound intermediate 6-cat as the thermodynamic product. Overall, the reaction is strongly exergonic and the calculated low activation free energies agree well with the experimentally observed fast reaction at room temperature, i.e., the rate-limiting activation free energies are 65 and 67 kJ/mol in THF and toluene, respectively (1 → TS3-5).

However, while the straightforward mechanism is energetically plausible, we do not consider this mechanism to be the active catalytic cycle, as the allophanate acetate intermediate 3 is predicted to react intramolecularly with lower activation free energy to form intermediate 3b via acetyl migration instead of reacting intermolecularly with isocyanate to form the intermediate 5. After the elimination of CO\(_2\), the intramolecular pathway is expected to form intermediate 2′ irreversibly, a product of deprotonated amide and isocyanate. The amide could also be formed directly from intermediate 2 via a four-membered transition state TS2-2b: the free energy difference between transition states TS2-2b and TS2-3 is calculated to be only 12 kJ/mol, indicating that both pathways are plausible or can even coexist.

The formed deprotonated aromatic amide 1′ is nucleophilic and reacts with excess isocyanates to form isocyanurate 6 identically to the previously explained catalytic cycle as shown in Figure 1. Both catalytic cycles are also energetically very similar with activation free energies of 61 and 60 kJ/mol in THF and toluene, respectively (3′ → TS3′-5′). While in the catalytic cycle on the right, the active species cannot undergo similar catalyst migrations as previously, the allophanate isocyanate intermediate 3′ is interestingly predicted to

**Scheme 1. Generally Accepted Anionic Trimerization Mechanism of Aromatic Isocyanates**

**Scheme 2. Model Reaction for Studying the Catalyzed Trimerization of Aromatic Isocyanates**
reversibly form the cyclized anion 3″, which we consider to lead to the formation of electron-poor N-heterocyclic olein 6′ in agreement with recent findings in the cyclotramization of aliphatic isocyanates. The total reaction yields one molar equivalent of hydroxyl anions, but we assume the reaction to proceed via first protonating 3′ by a trace amount of proton sources and then fragmenting water. Water and hydroxyl anions are well known to lead to the formation of urea first by hydrolyzing isocyanate to carbamic acid and forming an aromatic amine after fragmentation of CO₂. The formed aromatic amine reacts with the second isocyanate equivalent to form urea, which under basic conditions can be deprotonated to form a new anionic catalyst similar to 1′. Therefore, forming olefin 6′ does not end the catalytic isocyanurate formation, but instead changes the catalytically active species from the deprotonated amide to deprotonated urea in agreement with findings for aliphatic isocyanates.

Then, we studied the trimerization reaction experimentally in THF and toluene at room temperature to verify our mechanistic hypothesis. For experiments, we chose phenyl and p-tolyl isocyanates as model substrates and tetrabutylammonium acetate (TBAA) as catalyst because of their high solubility in both solvents. The reaction was monitored using Fourier-transform infrared spectroscopy (FTIR) and once all isocyanates had reacted, the mixture was analyzed using liquid chromatography–mass spectrometry (LC–MS) to detect the reactive intermediates present in the solution (see Table 1 and Figure 2). The observed signals agree completely with the mechanism depicted in Figure 1a, being exclusively associated with the deprotonated amide cycle, product 6, olefinic product 6′, and urea (see Table 1). Results were independent of the substrate (phenyl or p-tolyl isocyanate) or of the solvent (THF or toluene). Therefore, only results from experiments with phenyl isocyanate in THF are discussed below unless...
otherwise noted. Additional experimental data are found in the Supporting Information.

The calculated relative free energies of the reactive intermediates indicate that we should be able to detect intermediates $1'$, $2'$, and $3'$ but not intermediate $5'$ due to its higher relative free energy and fast cyclization to form product 6. The intermediates $1'$ and $2'$ are observed in their protonated forms with signals $m/z = 136.00$, $m/z = 255.00$, but at the same time intermediate $3'$ in its protonated form at $m/z = 374.15$ is too weak to be conclusively analyzed although it is predicted to be thermodynamically more stable than intermediate $2'$ (see Figure 1c). The rationale for the absence of a peak at $m/z = 374.15$ is that intermediate $3'$ is actually found at $m/z = 396.00$ as a complex with one sodium cation. Intermediate $3'$ was also detected as dimer coordinating to one sodium cation (see the Supporting Information for further discussion, Figure S1).

We observed two signals associated with the products: protonated triphenyl isocyanurate ($6$) was detected at $m/z = 358.17$ and the protonated "olefinic isocyanurate" $6'$ was found at $m/z = 356.17$. The formation of $6'$ was also confirmed by the presence of NMR signals of olefinic CH$_2$ protons at 3.01 ppm and the associated sp$^2$ carbon signal at 75.6 ppm using $^1$H-$^1$C-HSQC spectroscopy (see Figure 3). Detection of urea at $m/z = 213.08$ and biuret at $m/z = 332.08$ also supports the hypothesis that the eliminated water starts a new catalytic cycle with deprotonated urea as the catalytically active species although trace amounts of water could also have been present as impurities. When $p$-tolyl isocyanate was used as a trimerization starting material, the corresponding isocyanurate $6$ was detected as a dimer with one sodium cation at $m/z = 820.83$ (Figure S3). This assignment was also confirmed by finding the same signal in a purified sample of tris-$p$-tolyl-isocyanurate 6 in LC-MS (Figure S5), which can be rationalized by the strong tendency of isocyanurate rings to form supramolecular dimers as simulated by Lenzi et al.51

Finally, to verify that deprotonated amides catalyze the reaction, we performed the same reaction using commercially available acetanilide as a catalyst deprotonated in situ by excess triethylamine. The reaction was significantly slower due to the
unfavorable deprotonation of the amide in toluene and THF, but isocyanurate product 6 was still observed in LC–MS despite the low conversion. Catalysis by deprotonated amides is also supported by early work done by Kogon, who reported the formation of aromatic isocyanate trimers in high yield at elevated temperatures when phenyl isocyanates were trimerized in the presence of N-methylmorpholine and ethyl alcohol or ethyl carbanilate.13

To understand how general the observed catalyst migration mechanism is when carboxylates are used as catalysts, we also...
performed trimerization of p-tolyl isocyanate using potassium 2-ethylhexanoate at room temperature and cesium pivalate at 60 °C as the (pre)catalysts in THF (see Scheme 3). As expected, only intermediates related to the deprotonated amide cycle were found, indicating that the conversion of carboxylates into amides with aromatic isocyanates is general rather than restricted to only sterically small carboxylates such as acetate (Figures S6 and S7). For these two precatalysts, 2-ethylhexanoate could, in principle, also form an olefinic isocyanurate structure similar to 6′ via deprotonation of the tertiary α-proton, but this was not observed in our experiment.

Finally, we considered the role of the deprotonated amide cycle in catalyzing the trimerization of aromatic isocyanates during the formation of real PU materials. In these cases, several functional groups, i.e., alcohols, water, urethanes, urea, allophanates, and biurets, are present that are in deprotonation equilibrium with amide. To establish their roles, we calculated the reaction free energies for proton transfers between acetate, amide, urethane and urea (see Scheme 4). The calculations were performed in toluene and THF to understand how the polarity of the reaction media affects the relative stability of the corresponding anions, and in water as a model for a polar protic environment. In all media, the aromatic amide anion is predicted to deprotonate aromatic urethane with exergonic free energies between −7 and −13 kJ/mol, while deprotonation of urea is more dependent on the reaction media that is exergonic in toluene and THF (−23 and −15 kJ/mol, respectively), but endergonic in water (+3 kJ/mol). As expected, acetate itself is calculated to be much less basic than the deprotonated amide and direct deprotonation of urethane by acetate is calculated to be endergonic by 20–67 kJ/mol depending on the solvent. Therefore, acetate anions form amides and CO2 with isocyanates, independent of the reaction media, but the amide anion may in turn deprotonate urethanes, urea, allophanates, or biurets present, forming new catalytically active amides that are expected to catalyze trimerization in a cycle, which is similar to that of the deprotonated amide. This is supported also by a kinetic study by Schwetlick and Noack,40 who measured trimerization kinetics of phenyl isocyanate in acetonitrile at 50 °C using tetramethylammonium octanoate as a catalyst in the presence of several X–H active adductives such as alcohols, carbanates, phenols, and amides. The measured kinetics showed that additives change the rate of isocyanurate formation significantly, confirming our hypothesis that the role of carboxylate precatalyst is twofold: first, it generates a strong base out of a weak base by reacting with aromatic isocyanate to generate a deprotonated amide, a reaction that is thermodynamically facilitated by the favorable entropy of the decarboxylation reaction. Second, the amide anion can then catalyze the PIR formation via the nucleophilic mechanism of Scheme 1, but the basic deprotonated amide can also deprotonate functional groups such as urethane and urea groups, which in turn will catalyze the anionic trimerization.

3. CONCLUSIONS

We investigated the role of acetate anions in the trimerization of aromatic isocyanates by the state-of-the-art experimental and computational methods. Our study reveals that during the anionic cyclotrimerization, the actual catalytically active species changes at least once with the acetate anion only serving as a precatalyst. The reaction of acetate anion with an excess of aromatic isocyanates leads eventually to irreversible formation of deprotonated amide species that are formed after intramolecular rearrangement and decarboxylation. The deprotonated amide is the new active catalyst that forms isocyanurate via a nucleophilic anionic mechanism. The deprotonated amides are much more basic than the acetate precatalyst and, therefore, are capable of deprotonating other protic groups in the system such as urethane and urea groups, which in turn catalyze isocyanurate formation. Carboxylate migration to amide anions is expected to take place regardless of the size of the carboxylate because migration was observed for acetate, 2-ethylhexanoate, and pivalate alike. Acetate, on the other hand, can also lead to the formation of an electron-poor N-heterocyclic olefin and water, which can further lead to a catalytic cycle where deprotonated urea is the active catalytic species. We demonstrated that the qualitative mechanism is independent of the solvent polarity. However, the effect that changes in acid-base equilibrium in protic solvents may have on the mechanism is the subject of further studies. The current study also highlights that mechanistic investigations can greatly be accelerated when using a combination of the state-of-the-art computational and experimental analytic techniques, and importantly discover details that may not be analyzed by either of the methods alone.

4. EXPERIMENTAL SECTION

4.1. Computational Details. All computations were performed using Turbomole 7.3 program package.22 Structures were optimized using dispersion-corrected TPSS-D322,23 density functional with def2-TZVP44,45 basis sets and by employing multipole-accelerated resolution-of-the-identity approximation for Coulomb term (MARIZ)46 with the corresponding auxiliary basis sets to speed up the computations.53,54 The final energy of each structure was calculated using resolution-of-identity random phase approximation (RIRPA)47 with def2-QZVPP basis sets and with corresponding auxiliary basis sets.47,55 RPA calculations were performed using gas-phase TPSS orbitals, and the core orbitals were kept frozen for computing the RPA correlation energy. Default settings and convergence criteria of Turbomole were used in all optimizations except a finer integration grid of m₄ was used. In RPA calculations, grid m5 and a higher threshold for energy convergence (scfconv 7) were used for calculating the TPSS orbitals. Harmonic vibrational frequencies were calculated numerically at the level of optimization for all optimized structures.

Solvation effects were accounted for during structure optimizations using the COSMO solvation model with a dielectric constant of infinity.25,58 Final solvation free energies were calculated for each structure at 25 °C using the COSMO-RS59 model in COSMOTHERM (version 2018) with the parameter file BP_TZVP_18.ctl based on BP8660/def-TZVP level. The Gibbs free energies in solution were then calculated from a thermodynamic cycle $G = E + c.p. + G_{\text{sol}}$, where E is the gas-phase energy of the system at the RPA level, c.p. is the chemical potential based on standard rigid-rotor harmonic oscillator approximation at 25 °C, and $G_{\text{sol}}$ is the free energy of solvation obtained from COSMO-RS. The thermodynamic reference state of the so-obtained free energies refers to a hypothetic mole fraction of 1 for all species, which were converted into a reference state of 1 mol/L using solvent molarities ($\kappa$) of 9.41 and 12.3 mol/L for toluene and tetrahydrofuran, respectively, by adding a term $RT \ln (\kappa)$ to the free energy of all species.

Initial structures that were used for structure optimizations correspond to the lowest energy conformer of each structure obtained from extensive conformational searches performed with respect to all rotatable bonds at the level of optimization without D3 correction. In the case of transition states, the forming and breaking bonds were constrained during the conformer search according to their initial guess structures, which were obtained using the single-ended growing string method.61−63 Pictures of the computed structures were
generated using CYLView. Cartesiaan coordinates of all optimized structures are included in the Supporting Information.

4.2. Experimental Details. Phenyl isocyanate (≥98%), p-tolyl isocyanate (≥98%) and cesium pivalate (≥98%) were purchased from Sigma-Aldrich; tetrabutylammonium acetate (>90%), triethylamine (≥99%), and potassium 2-ethylhexanoate (≥95%) were purchased from TCI. All of the reagents above were used directly without treatment. THF (without stabilizer BHT) was directly obtained from the dry solvent system; toluene was dried by mol-sieves before use; and pentane was directly used without treatment. Fourier-transform infrared spectroscopy (FTIR) measurements were carried out in the attenuated reflection mode on a Spectrum One (Perkin Elmer) spectrometer at room temperature. Liquid chromatography–mass spectrometry (LC–MS) measurements were carried out on a LCQ Fleet ESI-MS (Thermo Fisher Scientific) with H₂O (0.1% formic acid) as eluents. As the intermediates are negatively charged, a negative–positive mode LC–MS was adapted, and water and the formic acid eluent were used to protonate the intermediates, which provided clear signals in the positive spectrum. The reaction mixtures were further analyzed identically for reactions done with phenyl and p-tolyl isocyanates to assist the identification of different intermediates in LC–MS spectra by indicating the number of aromatic groups present. NMR measurements for characterization of the compounds and identification of double bonds were performed using either a Bruker UltraShield 400 MHz or Varian Mercury-400 MHz spectrometer at room temperature using acetone-\(d_6\) as a solvent.

4.3. Study of Cyclotrimerization Mechanism of Phenyl and p-Tolyl Isocyanates Using Carboxylates (TBAA, Potassium 2-Ethylhexanoate or Cesium Pivalate) as Catalysts. Phenyl isocyanate (0.53 g, 4.44 mmol) or p-tolyl isocyanate (0.52 g, 3.92 mmol) was dissolved in THF or toluene (5.0 mL) in a dry flask. The catalyst solution was prepared by dissolving the catalyst (10 mol % to NCO groups) in THF or toluene (5.5 mL) in a separate dry flask and then added to the isocyanate solution (concentration of phenyl isocyanate: 0.5 g/10 mL solvent). The reaction was carried out at room temperature for all catalysts except that a temperature of 60 °C was set for cesium pivalate (due to its poor solubility). All reactions were performed under an Ar atmosphere until the disappearance of NCO stretching vibration at 2270 cm\(^{-1}\). Liquid chromatography–mass spectrometry (LC–MS) measurements were carried out on a LCQ Fleet ESI-MS (Thermo Fisher Scientific) with H₂O (0.1% formic acid) as eluents. As the intermediates are negatively charged, a negative–positive mode LC–MS was adapted, and water and the formic acid eluent were used to protonate the intermediates, which provided clear signals in the positive spectrum. The reaction mixtures were further analyzed identically for reactions done with phenyl and p-tolyl isocyanates to assist the identification of different intermediates in LC–MS spectra by indicating the number of aromatic groups present. NMR measurements for characterization of the compounds and identification of double bonds were performed using either a Bruker UltraShield 400 MHz or Varian Mercury-400 MHz spectrometer at room temperature using acetone-\(d_6\) as a solvent.

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4.4. Study of Cyclotrimerization Mechanism of Phenyl Isocyanates Using Deprotonated Acetanilide as a Catalyst. Phenyl isocyanate (0.58 g, 4.85 mmol) was dissolved in THF or toluene (5.0 mL) in a dry flask. The catalyst solution was prepared by dissolving acetanilide (10 mol % to NCO groups) and triethylamine (30 mol % to NCO groups) in THF (6.6 mL) in a separate dry flask and then added to the isocyanate solution (concentration of phenyl isocyanate: 0.5 g/10 mL solvent). The reaction was carried out at room temperature under an Ar atmosphere overnight. After that, most of the solvent was blown by an Ar flow and wet precipitates were obtained. The sample was diluted in water/acetonitrile (1:1) solution with a concentration of 1 mg/mL for LC–MS measurement.

4.5. Synthesis and Purification of Tris-p-Tolyl Isocyanurate Using Potassium 2-Ethylhexanoate as a Catalyst. p-Tolyl isocyanate (1.15 g, 8.67 mmol) dissolved in THF (3.0 mL) in a separate dry flask and then added to the isocyanate solution. The reaction was carried out in a 40 °C oil bath under an Ar atmosphere until the disappearance of NCO stretching vibration at 2270 cm\(^{-1}\) as observed by IR measurement. After the reaction, 10 mL of THF was added to dissolve all of the precipitates at 40 °C. Then, the tris-p-tolyl isocyanurate was precipitated by direct pouring the warm solution in cooled pentane. The precipitate was immediately filtered and dried in a vacuum oven at 60 °C overnight, giving tris-p-tolyl isocyanurate as a white powder (0.96 g, 2.40 mmol, yield: 83%). \(^{1}H\) NMR (400 MHz, acetone-\(d_6\)): δ 7.29 (t, J = 6.1 Hz, 12H), 2.36 (s, 9H) ppm; \(^{13}C\)\((^1H)\) NMR (100 MHz, acetone-\(d_6\)): δ 205.3, 129.4, 128.7, and 20.3 ppm.25

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**Notes**

The authors declare no competing financial interest.

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