Predictors of frailty in older people users of Primary Health Care

ABSTRACT
Objective: to identify the prevalence and predictors of frailty in older people in Primary Health Care. Method: this is a descriptive and correlational study, carried out in a convenience sample of 136 older people in the community. Data were collected through a sociodemographic and clinical questionnaire and frailty phenotype. Student’s t test or U-Mann-Whitney test, chi-square and binary logistic regression were used for data analysis. Results: the prevalence of frailty was 26.5% (n=36). Frail individuals had older age (p=0.011), worse self-rated health (p=0.001) and lower physical capacity (p<0.001). In the multivariable regression, it was observed that frail individuals had older age (Odds Ratio=1.111; 95% confidence interval=1.026-1.203) and worse physical capacity (Odds Ratio=0.673; 95% confidence interval=0.508-0.893). Conclusions: the prevalence of frailty in older people in Primary Health Care was considerable. Advanced age and worse physical capacity were the most relevant predictors of frailty in the elderly.

Descriptors: Elderly; Health of the Elderly; Frailty; Prevalence; Primary Health Care.

RESUMEN
Objetivo: identificar la prevalencia y predictores de fragilidad en ancianos en Atención Primaria de Salud. Método: estudio descriptivo y correlacional, realizado en una muestra de conveniencia con 136 ancianos de la comunidad. Los datos fueron recolectados a través de un cuestionario sociodemográfico, clínico y con el fenotipo de fragilidad. Para el análisis de los datos se utilizaron la prueba t de Student o U-Mann-Whitney, el Qui-Quadrado y la regresión logística binaria. Resultados: la prevalencia de fragilidad fue del 26.5% (n=36). Los ancianos frágiles tenían mayor edad (p=0.011), peor autoevaluación de la salud (p=0.001) y menor capacidad física (p<0.001). En la regresión multivariada, se observó que los ancianos frágiles tenían mayor edad (Odds Ratio=1.111; Intervalo de confianza del 95%=1.026-1.203) y peor capacidad física (Odds Ratio=0.673; Intervalo de confianza del 95%=0.508-0.893). Conclusiones: la prevalencia de fragilidad en ancianos en Atención Primaria de Salud fue considerable. La edad avanzada y la peor capacidad física fueron los predictores más relevantes de fragilidad en el anciano.

Descriptors: Anciano; Salud del Anciano; Fragilidad; Prevalencia; Atención Primaria de Salud.
INTRODUCTION

With the aging of the population, the frailty syndrome (FS) emerges as an emerging phenomenon with implications for public health and clinical practice. In the search for an explanation for this syndrome, the investigation of the last three decades has given rise to three models: phenotypic or biological, accumulated deficit and comprehensive. Although there is no unanimous definition related to FS, in 2013 a consensus reported it as a medical syndrome with multiple causes and contributing factors, characterized by decreased strength, endurance and physiological functions, which increase an individual’s vulnerability to develop functional dependence and/or die.

The clinical picture presented in the FS situation increases the vulnerability of individuals when exposed to a stress factor, to negative outcomes, such as organic instability, functional disability/dependence, institutionalization, falls, acute illnesses, hospitalization, increased demand for health care, poor recovery, high risk of iatrogenesis and death.

A review work (n=43 studies) reported an estimated prevalence of FH in the community, assessed through the frailty phenotype (FP), of 12%, with a prevalence rate between 10 and 14%. More than 50% of people in the community aged 50 and over were considered pre-frail or frail in another study, predominantly women. However, it is estimated that a quarter to half of people aged 85 years and over have FS, and the prevalence of frailty increases with age. However, despite this information, FS is not synonymous with advanced age, multimorbidity or disability. Additionally, a systematic review of population studies (n=11 studies) showed that FS is prevalent and is associated with a decrease in the survival of older people. In Portugal, data on the prevalence of FS in the community, in different studies, ranged between 34.5% and 36.5%.

FS appears related to different risk factors, and its wide variability of aspects and conditions is consensual, including sociodemographic, clinical, lifestyle and biological domains. Additionally, the vulnerability inherent to this syndrome emerges not only from the number of risk factors, but from their interaction – a concentric interactive model. Significant sociodemographic and clinical predictors, reported in systematic review studies, were old age, female, ethnicity, access to health care, low education, low socioeconomic status/social vulnerability, isolation and/or loneliness, obesity, malnutrition, depression, cognitive deficit, multimorbidity, smoking, excessive alcohol consumption and physical inactivity.

Currently Portugal is the fourth oldest country in the world, which is reflected in the demand for health care, especially in Primary Health Care (PHC). The influence of geographic, cultural and sociodemographic differences on frailty underlines the importance of studying this syndrome in specific contexts. In Portugal, studies on predictors of frailty are scarce, especially in PHC. Thus, knowing these predictors by health professionals, especially nurses, can promote the recognition of frailty and implement interventions for potentially modifiable predictors.

OBJECTIVE

To identify the prevalence and predictors of FS of older people in PHC.

METHODS

Ethical aspects

The study was approved by the Regional Health Administration Ethics Committee. Participation was anonymous and voluntary and all participants signed the Informed Consent Form.

Study design, place and period

This is a quantitative, descriptive and correlational study, carried out in a Family Health Unit (FHU) belonging to the city of Vila Nova de Gaia, Northern Regional Health Administration (RHA), in Portugal. The collection took place between April and July 2017. For the preparation of the manuscript, the Strengthening the Reporting of Observational Studies in Epidemiology (STROBE) recommendations were followed.

Sample, and inclusion and exclusion criteria

The sampling was non-random for convenience. Inclusion criteria were being 65 years of age or older and going to a routine medical or nursing consultation at the FHU. People with gait and visual impairments, who prevented the performance of physical performance tests (inability to stand and walk independently), inability to communicate orally, elderly residents of long-term care facilities and with a history of neurocognitive disturbance (confirmed by clinical and/or family history) were excluded. Considering a range of 95% confidence intervals not exceeding 10%, with an estimated proportion of FS in the community of 12% (assessed by the FP scale), the estimated value for the sample size was 163 subjects. However, due to logistical issues regarding the place of collection, only a convenience sample of 136 older people in the community was obtained.

Study protocol

Data collection took place between April and June 2017, in which the investigator spent three times a week, two between 2 p.m. and 5 p.m. and one between 11 a.m. and 3 p.m., in a private room provided by the unit. Assessment of older people took place in a single consultation during this period. When older people arrived at the health unit, they were approached by the unit’s professionals about their availability and agreement to participate in the study. Persons who agreed were referred to the researcher responsible for data collection (IM). Data collection took place through a structured interview with multiple filling out of the questionnaire, followed by performance tests. The questionnaire consists of sociodemographic, family and clinical characterization, and FP. The characterization included sex, age, marital status, education level, cohabitation (with whom they live and how many people live in the same house), clinical history, reason for going to the FHU, self-rated health (1 to 5), weight, height and self-rated physical capacity (0 to 10).

FP is one of the most robust assessment methods for clinical use and was developed by Fried and colleagues who identified a cluster of five physical components that arise in vulnerable older people (syndromic approach). This phenotype...
has been validated and replicated in different population-based studies. Participants who had three or more components were considered frail, while those with less than three components were considered non-frail (less than three components). It should be emphasized that the pre-frail stage (one or two components) was not considered, as reported in other studies\(^{(16)}\).

A systematic review study identified 264 studies with versions of the FP published in recent years; however, only 24 studies ensured the original version assumptions\(^{(17)}\). In Portugal, Duarte\(^{(18)}\) proposes an adapted version of the FP in the community, which ensured the criteria proposed by Fried and colleagues (Chart 1)\(^{(2)}\) and confirmed the predictive validity of the adapted FP with adverse outcomes and concurrent validity with the Groningen Frailty Indicator\(^{(16)}\).

Hand grip strength was measured with a Support/GRIP-D dynamometer. This reads strength in kg and has a reliability between moderate and excellent. Although there is no consensus on the assessment protocol\(^{(16)}\), in this study, the strength in the dominant upper limb was evaluated twice and the highest value of the two measurements was used in the statistical analysis. In addition to cut-offs A\(^{(20)}\), other cut-off values were considered: 16 kg for women and 27 kg for men (cut-offs B)\(^{(21)}\) and 16 kg for women and 26 kg for men (cut-offs C)\(^{(22)}\). In this study, although cut-offs A were used as a reference, these different cut-offs were analyzed in determining the frailty of older people in the community.

### Chart 1 - Adapted version of the frailty phenotype, Porto, Portugal, 2015

| Frailty phenotype                                                                 | Adjusted Model                                                                 |
|----------------------------------------------------------------------------------|-------------------------------------------------------------------------------|
| Weight loss - Unintentional weight loss in the last year, less than 5% of body weight | Nutritional disorders - Have you lost or gained weight for no apparent reason in the past 6 months? Have you been eating worse from lack of appetite? Phenotype quotation: No=0/Yes=1 |
| Resistance/exhaustion - Depression Scale (CES-D) a) I felt that all I did was an effort and b) I couldn’t keep going | Geriatric Depression Scale (GDS) – Do you feel full of energy? Phenotype quotation: No=0/Yes=1 |
| Physical activity/energy expenditure - Minnesota Activity and Leisure Quiz        | Time Occupancy Scale - Do you usually practice sports activities (swimming, cycling, walking, gymnastics, fitness)? Phenotype quotation: No=1/Yes=0 |
| Slowness/walking time - walking time, 15 steps, sex and height stratification   | Timed Up and Go (TUG) test - 10 seconds=Independent ≥ 10 seconds=some dependency Phenotype quotation: No=0/Yes=1 |
| Weakness/hand strength - Handgrip strength stratified by sex and body mass index (BMI) quartiles | Handgrip Strength - Stratified by Sex (Men ≥ 31 Women ≥ 18 Kilogram (kg), - cut-offs A) Phenotype quotation: No=0/Yes=1 |

Source: adapted from Duarte (2015)\(^{(18)}\) and Fried et al. (2001)\(^{(2)}\).

### Analysis of results, and statistics

Methods of comparison of two groups of independent samples were used to detect significant differences between the groups of frail and non-frail older people (based on the classification obtained by FP). The parametric Student’s t test of independent samples was used when the assumption of normality was verified (through the visualization of the QQ plot). Otherwise, the nonparametric Mann-Whitney U test was used\(^{(23)}\). We also used the chi-square test for contingency tables to identify associations between qualitative variables and the classification of older people as frail and non-frail\(^{(24)}\). Statistical predictive models for FS (binary logistic regression models) were constructed using the instruments used and sociodemographic, family and clinical variables as independent variables. The approach consisted of identification of significant variables in the univariate model (1 dependent variable and one independent variable) and construction of the multivariate model (1 dependent variable and several significant independent variables) only with the significant variables obtained in the univariate model\(^{(24)}\). Values were presented in an Odds Ratio (OR) format and the respective 95% confidence intervals (95%CI). The Cox-Snell and Nagelkerke R2 pseudo-R2 values were calculated and the Hosmer and Lemeshow fit test was applied. The IBM Statistical Package for Social Sciences (SPSS) version 25 was used as statistical support, considered a p value <0.05 as statistically significant.

### RESULTS

#### Sample characterization

Seventy participants (51.5%) were women, with a mean (± standard deviation) age of 74 ± 6.2 years. Most were married (n=104; 76.5%) and had attended primary (n=71; 52.2%) or elementary school (n=24; 17.6%). More than half live with a spouse (n=78, 57.4%) or with a spouse and family (n=27, 19.9%). Seventy older people self-rated their health as good (51.5%), 47 as acceptable (34.6%), 11 as very good (8.1%) and eight as poor or very poor (5.9%). The routine consultation was the main reason for going to the FHU (n=107; 78.7%), followed by specific medical consultations (n=9, 6.6), examinations (n=6, 4.4%) and nursing consultations (n=5, 3.7%). Regarding the clinical history, hypertension (n=98, 72.1%), hypercholesterolemia (n=93, 68.4%) and diabetes (n=44, 32.4%) stood out. The mean weight was 71.6±12.9 kg and the mean height was 1.63±0.09 meters (m). The mean body mass index (BMI) was 27.1±4.5 (kg/m²), and it was found that 11.0% of respondents had low weight (BMI<22 kg/m²), 40.4% were eutrophic (BMI between 22 to 27 kg/m²) and 48.5% were overweight (BMI>27 kg/m²).

#### Frailty characterization

The prevalence of frail older people (three or more criteria in the FP) was 36 (26.5%). Of the phenotype domains, low activity level (n=76; 55.9%), slowness (n=88; 64.7%) of respondents exceeds the time limit≥10 seconds) and weakness/decrease in hand grip strength (n=51; 37.8%) stand out (Table 1). In the distribution of scores obtained by the FP instrument, it was found that 25 (18.4%), 37 (27.2%), 38 (27.9%), 29 (21.3%) and 7 (5.1%) had 0, 1, 2, 3 and 4 scores, respectively.

In the weakness dimension (hand grip strength), in absolute terms, males presented a higher mean (33.7±7.8 kg) than females (19.7±7.2 kg). Considering the left dominant hand (n=3), males had a higher mean (n=1, 24.5 kg) than females (n=2, 20.1±0.4 kg). In the right dominant hand (n=132), males presented a higher mean (n=65, 33.9±7.8 kg) than females (n=67, 20.1±0.4 kg).

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Table 1 - Frailty phenotype characterization according to the five domains (N=136), Porto metropolitan area, Vila Nova de Gaia, Portugal, 2017

| Dimensions       | Phenotype n (%) |
|------------------|-----------------|
| Weight loss      | Non-frail       | Frail           |
| D1               | 136 (100)       | 0 (0)           |
| Resistance       | Yes             | No              |
| D2               | 123 (90.4)      | 13 (9.6)        |
| Physical activity| Yes             | No              |
| D3               | 60 (44.1)       | 76 (55.9)       |
| Slowness         | < 10            | ≥ 10            |
| D4               | 48 (35.3)       | 88 (64.7)       |
| TUG (M±SD)       | 11.6±5.0        |                 |
| Weakness         | No              | Yes             |
| D5               | 84 (62.2)       | 51 (37.8)       |
| Total score (M±SD)| 1.7±1.2        |                 |

Note: D - dimension; TUG - Timed Up and Go; M - mean; SD - standard deviation.

Table 2 presents the results of hand grip strength with 3 different cut-offs. The number of frail older people is relatively higher when considering cut-off A (n=36, 26.5%) in relation to cut-offs B and C (both with n=27, 19.9%).

Table 2 - Analysis of different cut-offs of hand grip strength in the prevalence of frailty (N=136), Porto metropolitan area, Vila Nova de Gaia, Portugal, 2017

| Dimension       | Cut-off       | Phenotype n(%) |
|-----------------|---------------|----------------|
| Weight loss     | <18 kg (Female)<31 kg (Male) | 100 (73.5) 36(26.5) |
|                 | <16 kg (Female)<27 kg (Male) | 109(80.1) 27 (19.9) |
|                 | <16 kg (Female)<26 kg (Male) | 109(80.1) 27 (19.9) |

Comparison of frail versus non-frail groups

In the comparison of frail and non-frail older people (Table 3) with sociodemographic variables, significant results were found for older people (categorized age (years): χ²(2)=9.0 p=0.011, quantitative age (years): U=943.0 p<0.001), negative self-rated health (χ²(2)=14.577; p=0.001), and higher physical capacity (U=831.0; p<0.001). There were no statistically significant associations between frail and non-frail groups for sex, marital status, BMI and clinical history as well as no significant differences with the number of people living in the same house (Table 3).

Table 3 - Comparison of frail versus non-frail groups, Porto metropolitan area, Vila Nova de Gaia, Portugal, 2017

| Qualitative variables | Non-frail (n=100) | Frail (n=36) | Statistical test |
|-----------------------|-------------------|--------------|-----------------|
| Sex                   |                   |              |                 |
| Male                  | 51(77.3)          | 15(22.7)     | χ²(1)=0.9       |
| Female                | 49(70.0)          | 21(30.0)     | p=0.337         |
| Age (years)           |                   |              |                 |
| 65-74                 | 64(83.1)          | 13 (16.9)    |                 |
| 75-84                 | 32 (62.7)         | 19 (37.3)    | χ²(2)=0.9       |
| ≥85                   | 4 (50.0)          | 4 (50.0)     | p=0.111         |

Note: BMI - body mass index; M - mean; SD - standard deviation.

Predictors of frailty

In the univariate analysis, it was observed that older people are more likely to be frailer (OR=1.155; 95%CI=1.077-1.239). Of the clinical variables, the FS’ OR was significant with people who presented an acceptable self-rated health (OR=2.470; 95%CI=1.073-5.688) or weaker (OR=14.357; 95%CI (2.621-78.653) when compared to the group who rated their health as being very good/good (reference group) and with worse physical capacity (OR=0.591; 95%CI=0.465-0.752 (Table 4).
Table 4 (concluded)

| Clinical history         | Univariable Odds Ratio | 95%CI | Multivariable Odds Ratio | 95%CI |
|--------------------------|------------------------|-------|--------------------------|-------|
| Hypercholesterolemia     | 2.330                  | 0.928-5.853 |                           |       |
| No                       | 1                      |       |                           |       |
| Diabetes                 | 2.057                  | 0.934-4.529 |                           |       |
| No                       | 1                      |       |                           |       |
| Hypertension             | 1.225                  | 0.514-2.923 |                           |       |
| No                       | 1                      |       |                           |       |
| Self-rated health        |                        |       |                           |       |
| Very good/good           | 1                      |       |                           |       |
| Acceptance               | 2.470*                 | 1.073-5.688 | 0.825                     | 0.284-2.397 |
| Weak/very weak           | 14.557***              | 2.621-78.653 | 4.020                     | 0.597-27.059 |
| Quantitative variables   |                        |       |                           |       |
| Age (years)              | 1.155***               | 1.077-1.239 | 1.111**                   | 1.026-1.203 |
| BMI (kg/m2)              | 1.040                  | 0.957-1.131 |                           |       |
| No of people living in   | 1.094                  | 0.780-1.536 |                           |       |
| the same house           |                        |       |                           |       |
| Physical capacity        | 0.591***               | 0.465-0.752 | 0.673**                   | 0.508-0.839 |

Note: BMI - body mass index; CI - confidence interval; *p<0.05; **p<0.01; ***p<0.001; n.a.: not applicable.

In the multivariate analysis (Table 4), it was observed that a significant OR of observing frailty for an older age (OR=1.111; 95%CI=1.026-1.203) and with worse physical capacity (OR=0.673; 95%CI=0.508-0.893). Age and physical capacity were shown to be significant as predictors of FS than the self-rated health variable (p<0.05). The proportion of variability explained by the model varies between 27.3% and 39.9%, with Cox-Snell values R² and R² being considered low. The Hosmer and Lemeshow goodness-of-fit test indicates that the model is appropriate to the data, and the existence of a statistical model was verified.

DISCUSSION

The identification of frailty as well as predictors of FS are central to the development of a care plan for older people in PHC. In this study, more than four of the older people investigated were considered frail, this value being higher when compared to data from a systematic review (n=45 studies) carried out in Europe with FP (26.5% versus 12%). However, other studies carried out in the community report higher values. Compared to studies carried out in Portugal, the prevalence of frail older people in the work on screen was lower. Duarte’s results are the closest to those reported in this study, probably because he used the same adapted version of the FP. However, other studies using the FP report significantly different values. It should be noted that these older people spontaneously sought services in PHC, which may favor smaller portions of the FS. The frailest people may not use these services due to the severity of this condition, which may limit access to these services. Additionally, different data are reported in the literature, in which the prevalence of frailty is influenced by the definition of frailty, type of instrument used, operationalization of the FP and sampling criteria, which may limit the comparability between studies. In this study, it was possible to verify that the use of different cut-offs of handgrip strength influenced the prevalence of frailty. Although there is no consensus on the best values for handgrip strength cut-offs, this FP component may have a significant role in frailty and should be considered according to the objective of the investigation. Additionally, handgrip strength proved to be an indicator of older people’s health status, being related to adverse events, namely, mortality and disability. Comparing the frail group with the non-frail group, people from the frail group were older and had a lower self-rated health, corroborating data from other studies, suggesting the importance of self-rated health as a significant risk factor. Another predictor for FS was worse physical ability. These data are in line with the study on physical activity in frail older people, where 58.4% showed a decrease in the level of physical activity and there was a statistically significant association between frailty and physical activity. In the univariate model, it was observed that older people, with an acceptable or weak self-rated health, and worse physical capacity, are frailer. These data corroborate the systematic review studies that demonstrate the positively significant association with frailty. With regard to other predictors, in this study, female sex was not a predictor for frailty, contrary to what was reported in systematic review studies. A possible explanation may be the smaller number of females included in this study, significantly lower than other studies. BMI also proved not to be a predictor, not corroborating other studies that report that this variable has a significant association with FS. The clinical history included also did not show a statistically significant difference. However, these were analyzed in isolation. A possible grouping considering multimorbidity (coexistence of multiple diseases and medical conditions in a person) could be considered, since its presence represents a risk factor for FS. Marital status and the number of people in the household did not prove to be a predictor, unlike other studies. This result may be due to the fact that most respondents live with their spouse (76.5%), and surveys suggest that marital status is more relevant for people who are alone, such as single men and people who have lost the spouse.

As predictors of frailty, in the multivariate model, only the variables age and physical capacity stand out. Several studies corroborate the results regarding the predictive value of these variables. Data reported in other studies demonstrate that physical activity was negatively correlated with FS, constituting, in a statistically significant way, a protective factor when high. In this study, comparing subjective physical activity with objective measures, such as the TUG test and the handgrip strength test, it was possible to observe that older people with better physical condition are less frail. These results reinforce a strong correlation between these tests and the increased prevalence of frailty.

Study limitations

This study has limitations. First, the sample size inferring from that foreseen in its calculation is highlighted. This situation was due to logistical issues, such as the researcher’s time and changes in the unit team. Second, this study was carried out in a single PHC unit, with a convenience sample, limiting generalization to other units. Third, the existence of a large variability regarding the operationalization of the FP, which may limit the comparability of results between studies. Fourth, in the operationalization of clinical predictors, each pathology was considered as a predictor in isolation. Future studies should consider the presence of multimorbidity as a predictor, as their number of females included in this study, significantly lower than other studies. BMI also proved not to be a predictor, not corroborating other studies that report that this variable has a significant association with FS. The clinical history included also did not show a statistically significant difference. However, these were analyzed in isolation. A possible grouping considering multimorbidity (coexistence of multiple diseases and medical conditions in a person) could be considered, since its presence represents a risk factor for FS. Marital status and the number of people in the household did not prove to be a predictor, unlike other studies. This result may be due to the fact that most respondents live with their spouse (76.5%), and surveys suggest that marital status is more relevant for people who are alone, such as single men and people who have lost the spouse.

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suggested in the literature. Finally, there is no information available on the characteristics of older people who refused to participate in the study, which makes it difficult to generalize the results of our sample to other populations residing in the community.

**Contributions to nursing, health, and public policies**

PHC health professionals, namely nurses, are in a strategic position to recognize the FS, with FP being one of the instruments to be included in clinical practice. Through this screening, they can identify frail older people in order to mitigate their progression and prevent their adverse events. This study, by identifying the predictors of frailty in PHC users, especially the low level of physical activity, can guide the development of strategies directed by nurses. Thus, implementing and evaluating nursing interventions aimed at promoting physical activity in frail older people in PHC should be considered in future studies, especially because it is a potentially modifiable risk factor and can prevent or mitigate the progression of this syndrome.

**CONCLUSIONS**

The prevalence of frailty in the elderly in the analyzed PHC unit was considerable, which reinforces the importance of early recognition of patients with FS. In this study, this value was influenced by the operationalization of the FP, namely, by handgrip strength cut-off. Frail older people were those who were older, self-rated their health as lower and with worse physical capacity. In the univariate analysis, it was observed that older people with acceptable or weak self-rated health, and worse physical capacity are frailer. As predictors of frailty, in the multivariable regression model, age and physical capacity stand out. The older the elderly person is, the greater the OR of being frail. With regard to physical ability, the worse it is, the greater the OR of frailty.

**SUPPLEMENTARY MATERIAL**

Repository Data: Machado I. Identificação de pessoas idosas frágeis na comunidade [Internet]. Universidade de Aveiro; 2018 [cited 2021 Sep 1]. Available from: http://hdl.handle.net/10773/23031.

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