AffyRNADegradation: Control and correction of RNA quality effects in GeneChip expression data

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\begin{abstract}
\textbf{Motivation:} Gene expression experiments aim to accurately quantify thousands of transcripts in parallel. Factors posterior to RNA extraction can however impair their accurate representation. RNA degradation and differences in the efficiency of amplification affect raw intensity measurements using Affymetrix expression arrays. The positional intensity decay of specifically hybridized probes along the transcript they intend to interrogate is used to estimate the RNA quality in a sample and to correct probe intensities for the degradation bias. This functionality, for which no previous software solution is available, is implemented in the Bioconductor R package AffyRNADegradation presented here.

\textbf{INTRODUCTION}

A basic assumption in gene expression experiments is that the obtained data represent a snapshot of transcript abundances within the original sample. However, several effects can distort the amount of RNA during sample extraction and preparation, and thereby impair the reliability of those measurements. RNAses introduced by improper purification or incautious sample handling can degrade the rather unstable RNAs during storage (Fleige and Pfaffl, 2006). Also, the amplification of RNA mandatory to most RNA analytics differs in its efficiency and can therefore lead to variation in transcript yield and lengths (Ma et al., 2006).

Gene expression experiments are frequently conducted using high-density microarrays. Due to the importance of RNA quality for the reliability of the results it is advised to check the integrity of the RNA prior to hybridization to the array. RNA integrity (RIN) values (Schroeder et al., 2006) that are determined on the basis of an electropherogram trace have become the standard measure of RNA quality. Samples with RIN values > 7 should be discarded.

Researches increasingly conduct large-scale meta-analysis on the plethora of publicly available microarray data. For these data, RNA quality measures are mostly not available. However, it would be strongly advised to identify and to remove low RNA-quality experiments as they can lead to erroneous results. Methods to estimate RNA quality directly from microarray data are thus required. Existing options are the use of 3'/5' intensity ratios of control probe sets included on the microarray, as well as 3'/5'-summary degradation measures as provided by software tools such as the affy package (Gautier et al., 2004). Both methods have been shown to have drawbacks under circumstances that are relevant in large-scale studies (Fasold and Binder, 2012). Particularly, 3'/5' control probes might be affected by saturation whereas affyslope estimates are affected by background hybridization. Both methods are prone to systematically overestimating RNA quality.

Beyond strict quality control and the removal of bad-quality samples, the continuous levels of RNA quality transform into a gray area of biased expression results with questionable reliability. It has been previously found that, although moderate levels of RNA degradation are tolerated by differential expression analysis, especially long targets provide erroneous results.

In this work we present an R package that assesses RNA quality of Affymetrix expression data. It provides a RNA quality measure that overcomes the drawbacks of existing methods by strictly referring to specific hybridization. Furthermore, it enables correction of the 3'-probe intensity bias for improved downstream analysis.

For illustration we here use data from an experiment done by (Archer et al., 2006) where the same cell extract has been used for multiple microarray hybridizations, however either prepared with RNeasy to remove RNA degrading enzymes, or not.

\textbf{FUNCTIONALITY}

On Affymetrix 3' expression arrays up to 16 probes of length 25nt interrogate each transcript. Most of these probes cover a specific region located within 600nt distance to the 3' end of the transcripts. RNA-samples are usually prepared using an in-vitro transcription labeling and amplification assay with primers starting at the 3' poly-A tail of the source mRNA. Both degradation of mRNA as well as effectiveness of the amplification assay are thus captured by multiple probe measurements for each transcript.

Analyzing RNA degradation and amplification. Limited RNA quality of a given sample leads to intensity differences between probes located at the 3' end and those located closer towards the 5' end of the mRNA. The so-called degradation hook-plot, shown in Fig.1a and b, displays this 3'/5' intensity difference in dependence on the mean logged probe intensity approximating the expression degree

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of the respective gene. Cross-hybridization of partly matching targets of other genes causes nearly equal intensities for weakly expressed genes (Binder, 2006). With increasing expression competitive binding of specific targets progressively unmasks their actual 3'/5' gradient, until probe saturation sets in. Desirable would be equal intensities for 3' and 5' probes for all expression levels. The maximum height of the hook-plot reflects the relevant 3'/5'-intensity gradient of the selected array enabling the unbiased comparison of differentially expressed genes under variable RNA quality.

The hook-plot is accessible using the `PlotDegradationHook` function in the package. A complementary representation is the Tongs Plot shown in the supplement and accessible using the `PlotTongs` function.

**Estimation of the RNA quality of a sample.** One should only use specifically hybridized probes for estimation of RNA quality because of the 3'/5' gradient of the intensity as a function of the expression degree. For these probes we compute the mean probe intensity separately for each probe index k=1..11 starting from the 3' end of the target transcript. Fig.1c shows the resulting probe positional intensity decay after normalization with respect to the mean intensity for the first probe k=1. Alternatively the intensity decay can be calculated as a function of the distance L of the probes given in units of nucleotides from the 3'-transcript end (not shown).

We determine the decay-length parameter d from the mean intensity decays of all specifically hybridized probes. It provides an accurate estimate for the RNA quality of a particular array hybridization improving other array-based metrics (Fasold and Binder, 2012). The d(x=k,L) plot is available via the `PlotDx` function and the RNA quality estimate is available via the d function in the AffyRNAdegradation package.

**Correcting the RNA quality bias.** Differences in RNA quality and the resulting probe positional intensity decay are technical artifacts which can affect expression measures and the results of differential expression analysis. Microarray experiments are often subject to such RNA quality variation (Upton et al., 2009).

We here aim at removing the systematic differences in probe positional intensities between different conditions. Fig.1a shows two such conditions in the example data relating to degraded transcripts due to increased presence of RNases not removed by RNeasy treatment. The AffyRNAdegradation first estimates specific probes based on the degradation hook-plot described above. It then uses a correction function that reverses the probe positional intensity decay d(x) after applying the expression level dependency of the hybridization mode (details are given in the supplement). Optionally, the correction can be performed based on probe index k as well as probe distances L. Differences between both options are discussed in the supplement and in (Fasold and Binder, 2012). Fig.1b shows the degradation hook after application of the correction using probe indices k: The 3'/5' bias is almost completely removed. Corrected probe intensities are available via the `afBatch` function.

**Package usability.** The AffyRNAdegradation package extends the Bioconductor package Affy and integrates well in a typical microarray analysis workflow. All calculations are performed directly on the AffyBatch object and carried out separately for each particular microarray hybridization in a single-chip approach. Our approach corrects the 3'/5'-bias on the level of raw probe intensities which can afterwards be processed with any method. The runtime is about 2 minutes and 3 minutes per sample for index and distance based corrections, respectively. Since each chip is processed independently, arbitrarily large data sets can be processed.

**ACKNOWLEDGEMENTS**

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Supplementary text

AffyRNADegradation: Control and correction of RNA quality effects in GeneChip expression data
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1. Tongs plot and degradation hook

We present two graphical representations that allow assessing the degradation of RNA-transcripts in a chip-specific fashion. These so-called ‘degradation hook’ and ‘tongs plot’ estimate the 3’-enrichment of the probes and thus their degradation level in dependence on the expression degree. They depict the mean intensity difference between two selected subsets of perfect-match probes taken from the 3’- and 5’-ends of each probe set, respectively,

$$\Delta = \Delta \Sigma_{s3'/s5'} \equiv \left\langle \Sigma_{p_{s3'}} \right\rangle - \left\langle \Sigma_{p_{s5'}} \right\rangle \quad \text{(degradation hook)}$$

$$\Delta \Sigma_s \equiv \left\langle \Sigma_{p_s} \right\rangle - \left\langle \Sigma_{p_{psct}} \right\rangle \quad \text{(tongs plot)},$$

with $$\left\langle \Sigma_{p_s} \right\rangle \equiv \frac{1}{3} \sum_{k=i}^{i+2} \log I_{pk}^{PM}$$

as a function of the average logged intensity $$y = \Sigma \equiv \left\langle \Sigma_{p_{psct}} \right\rangle$$ of all probes within the probe sets which estimates the expression degree to a rough approximation. The subscript $$s = s3', s5'$$ assigns the respective subsets of three consecutive probes from either the 3’ or the 5’ end of the probe set. Three probes are chosen from each side of the probe sets to ensure robust averaging over their intensities and to ensure sufficiently large differences between the averaged intensities of both subsets, and thus a proper tradeoff between robustness and sensitivity. Note that the number of three probes refers to about 1/3 to 1/4 of the size of each probe set of typically 11 probes for most array types. On the other hand, our choice is not crucial: Selecting subsets of two or four probes from both ends of the probe sets only marginally alters the results (data not shown).

Figure S 1 shows the tongs plot and degradation hook for the same two samples that were used also in the main paper. In general, the degradation hook is more suited to compare the degradation between different samples. The tongs plot reveals additional information such as an asymmetrical behavior of the 3’ and 5’ subsets.

2. Probe positional intensity decays

Two main factors related to RNA quality potentially affect the intensities of the probes: (i) the distance of a probe relative to the 3’-end of the transcript, L (or, alternatively, the probe index in the probe set, k, which counts the probes in direction away from the 3’-end of the transcript) and (ii), the hybridization mode (Fasold and Binder, 2012). In the specific (S-) hybridization mode the probes bind amplified RNA (aRNA) fragments of complementary sequence originating from the mRNA
transcripts which they intend to detect. In the N-hybridization mode the probes bind to aRNA fragments of partly complementary sequence originating however from other mRNA transcripts not referring to the interrogated gene. We normalize the intensity of probes at position \( x = k, L \) with respect to the intensity of probes located at the 3'-end to obtain the degradation index

\[
d^h(x) = \frac{I^h(x)}{I^h(3')} \quad \text{with} \quad x = k, L \quad \text{and} \quad h = N, S,
\]

where \( I^h(x) \) is the average perfect-match probe intensity of all probes with hybridization mode \( h = N \) or \( S \) and the same index \( k = 1 \ldots 11 \) (for \( x = k \)) or the same position \( L \) on the current array. For \( x = L \), we use all probes in windows of +/-25 bases about the absolute positions \( L = 25, 75, \ldots, 575 \) (typically more than 95% of all probes are located within the range \( L = 1..600 \)). \( I^h(3') \) is the average intensity level of the probes near the 3’ end, i.e. \( I^h(3') = I^h(k = 1) \) and \( I^h(3') = I^h(1 < L < 50) \) for \( x = k \) and \( x = L \), respectively.

The degradation index due to non-specific hybridization is virtually constant \( d^N(x) \approx 1 \). The degradation index due to specific hybridization shown in Figure 1 of the main paper is described using an exponential decay of the form

\[
d(x) = d^S(x) \approx \left(1 - d^S_x\right) \cdot \exp\left(\frac{-x - x_0}{\lambda_x}\right) + d^S_x
\]

3. Correcting the probe positional intensity bias

The raw probe intensities of each sample are corrected as follows:

1. The degradation hook \( \Delta \Sigma_{y55} - \Sigma \) is calculated using all perfect-match probe intensities for the current array as described in section 1.
2. Probes are considered as specifically hybridized if the sigma-value of the respective probe set meets the condition \( \Sigma_{y55}^{\max} - 0.4 < \Delta \Sigma_{y55} < \Sigma_{y55}^{\max} + 0.2 \) where \( \Sigma_{y55}^{\max} = \arg \max \{\Delta \Sigma_{y55}(y)\} \).
3. The decay function \( d^S(x) \) (\( x = k, L \)) is calculated as described in section 2 using the subensemble of all specifically hybridized probes.
4. The mean fraction of probe intensities due to specific hybridization is estimated for each probe set as, \( f^S(y) = \Delta \Sigma_{y55}(y) / \Sigma_{y55}^{\max} \).
5. The correction function \( C(x, y) \) is calculated as weighted sum of the decay functions due to specific and non-specific hybridization where the latter one is simply set to unity, \( d^N(x) = 1 \), i.e.

\[
C(x, y) = d^S(x) \cdot f^S(y) + d^N(x) \cdot (1 - f^S(y)) = d^S(x) \cdot f^S(y) + \left(1 - f^S(y)\right)
\]

6. The biased probe intensities are then corrected using the inverse of the correction function,

\[
I_p^{x, \text{corr}} = I_p^N / C(x, y).
\]

Note that each probe intensity is rescaled according to value of the mean intensity decay at its position (\( x = k \) or \( L \)) and according to its hybridization mode as indicated by the abscissa-value of its probe set. Consequently, probe intensities taken from the non-specific hybridization range remain uncorrected. With increasing degree of specific hybridization the probes are progressively scaled up with increasing distance from the 3'-end of the transcript. The maximum correction applies to probe sets in the S-hybridization range. MM probe intensities are scaled using the mean logged MM-intensity of the probe set as argument.
4. Positional information of the probes

The distances of the probes to the 3’ end are obtained by aligning their sequences to the respective transcript sequence serving as target for the respective probe set as provided by Affymetrix. We have computed files containing probe positional information for a large number of GeneChip expression arrays. They are available via the website http://www.izbi.uni-leipzig.de/downloads_links/programs/rna_integrity.php.

These files are stored in R binary file format. The package documentation contains a section describing the contents of this file and explaining how the user can easily create and use custom probe location files, for example if he uses custom microarrays.

5. Choosing between absolute and relative probe positions

In the supplementary text of ref. (Fasold and Binder, 2012) we compare the two correction metrics based either on the absolute probe position (‘L-correction’) or on the relative probe position (index-based, ‘k-correction’) relative to the 3’ transcript end. The k-correction applies the same positional factor to all probe sets. In consequence, the probe set-specificity of the correction is solely determined by the degree of specific hybridization. Contrarily, the L-correction applies a specific factor to each probe-set depending on the particular location of its probes. Comparison of both correction methods shows that probe sets located on the average nearer to 3’-end of the transcript are corrected to a less degree using their absolute position than probe sets located more distant from the 3’-transcript end. Hence, the L-correction is more specific with respect to each particular probe set. On the other hand, the k-correction is more robust with respect to outliers.

We recommend use of absolute probe positions to cope with the effect of differently distributed probes. In practice the intensity changes due to index-based and position-based correction differ only slightly with, in general, small differences in the resulting expression values.

6. References

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\[ \Delta \Sigma_{s} = \langle \Sigma_{p} \rangle_{s} - \langle \Sigma_{p} \rangle_{pset} \]

as a function of the average logged intensity \( y = \Sigma \equiv \left \langle \Sigma_{p} \right \rangle_{pset} \) of all probes within the probe sets which estimates the expression degree to a rough approximation. The subscript \( s = s3’, s5’ \) assigns the respective subsets of three consecutive probes from either the 3’ or the 5’ end of the probe set. Three probes are chosen from each side of the probe sets to ensure robust averaging over their intensities and to ensure sufficiently large differences between the averaged intensities of both subsets, and thus a proper tradeoff between robustness and sensitivity. Note that the number of three probes refers to about 1/3 to 1/4 of the size of each probe set of typically 11 probes for most array types. On the other hand, our choice is not crucial: Selecting subsets of two or four probes from both ends of the probe sets only marginally alters the results (data not shown).

Figure S 1 shows the tongs plot and degradation hook for the same two samples that were used also in the main paper. In general, the degradation hook is more suited to compare the degradation between different samples. The tongs plot reveals additional information such as an asymmetrical behavior of the 3’ and 5’ subsets.

Figure S 1. Tongs plots (Panel a and b) and degradation hooks (panel c) of two array hybridizations using either weakly or strongly degraded RNA: With progressing degradation the ‘tongs opening’ (i.e. the maximum gap between the red 3’ and black 5’ branches) and the height of the hook increase. The two branches of the tongs plot and the two different hook curves converge at small abscissa values owing to the insensitivity of non-specific hybridization for degradation effects. The curves of both hybridizations are slightly shifted from each other in horizontal direction due to different scanner settings. The samples are taken from ref. (Archer et al., 2006) (samples VOV1_GOOD.CEL and VOV1_INHIBITED.CEL, respectively).

2. Probe positional intensity decays

Two main factors related to RNA quality potentially affect the intensities of the probes: (i) the distance of a probe relative to the 3’-end of the transcript, L (or, alternatively, the probe index in the probe set, k, which counts the probes in direction away from the 3’-end of the transcript) and (ii), the hybridization mode (Fasold and Binder, 2012). In the specific (S-) hybridization mode the probes bind amplified RNA (aRNA) fragments of complementary sequence originating from the mRNA
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$$d^h (x) = I^h(x) / I^h(3') \quad \text{with} \quad x = k, L \quad \text{and} \quad h = N, S,$$

where $I^h(x)$ is the average perfect-match probe intensity of all probes with hybridization mode $h = N$ or $S$ and the same index $k = 1...11$ (for $x = k$) or the same position $L$ on the current array. For $x = L$, we use all probes in windows of +/-25 bases about the absolute positions $L = 25, 75, ..., 575$ (typically more than 95% of all probes are located within the range $L = 1..600$). $I^h(3')$ is the average intensity level of the probes near the 3' end, i.e. $I^h(3') = I^h(k=1)$ and $I^h(3') = I^h(1<L<50)$ for $x = k$ and $x = L$, respectively.

The degradation index due to non-specific hybridization is virtually constant $d^N(x) \approx 1$. The degradation index due to specific hybridization shown in Figure 1 of the main paper is described using an exponential decay of the form

$$d(x) = d^S(x) \approx (1 - d^S_\infty) \cdot \exp \left( \frac{x - x_0}{\lambda_x} \right) + d^S_\infty$$

3. Correcting the probe positional intensity bias

The raw probe intensities of each sample are corrected as follows:

1. The degradation hook $\Delta \Sigma_{3'/5'} -vs- \Sigma_\Delta$ is calculated using all perfect-match probe intensities for the current array as described in section 1.
2. Probes are considered as specifically hybridized if the sigma-value of the respective probe set meets the condition $\Sigma_{3'/5'}^{\max} - 0.4 < \Delta \Sigma_{3'/5'} < \Sigma_{3'/5'}^{\max} + 0.2$ where $\Sigma_{3'/5'}^{\max} = \arg \max \{ \Delta \Sigma_{3'/5'} (y) \}$.
3. The decay function $d^S(x)$ ($x = k, L$) is calculated as described in section 2 using the subensemble of all specifically hybridized probes.
4. The mean fraction of probe intensities due to specific hybridization is estimated for each probe set as, $f^S(y) = \Delta \Sigma_{3'/5'}(y) / \Sigma_{3'/5'}^{\max}$.
5. The correction function is calculated as weighted sum of the decay functions due to specific and non-specific hybridization where the latter one is simply set to unity, $d^N(y) = 1$, i.e. $C(x, y) = d^S(x) \cdot f^S(y) + d^N(x) \cdot (1 - f^S(y)) = d^S(x) \cdot f^S(y) + (1 - f^S(y))$.
6. The biased probe intensities are then corrected using the inverse of the correction function, $I^p_{x, \text{corr}} = I^p / C(x, y)$.

Note that each probe intensity is rescaled according to value of the mean intensity decay at its position ($x = k$ or $L$) and according to its hybridization mode as indicated by the abscissa-value of its probe set y. Consequently, probe intensities taken from the non-specific hybridization range remain uncorrected. With increasing degree of specific hybridization the probes are progressively scaled up with increasing distance from the 3'-end of the transcript. The maximum correction applies to probe sets in the S-hybridization range. MM probe intensities are scaled using the mean logged MM-intensity of the probe set as argument.
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