Introductions. The dependence of renormalized correlation functions on renormalization scale $\mu$, which may appear to a newbie particle physicist as an additional parameter in quantum version of a given classical model, provides a powerful method of predicting terms in perturbation expansion that have not been calculated yet \cite{1}. Indeed, apart from an extra parameter, the quantum theory possesses also an additional (purely quantum) symmetry known as the (perturbative) renormalization group equation (RGE). Unlike most of other global symmetries, RGE mixes different orders of perturbation calculus, and thus allows for extraction of (some of) the higher order terms. Moreover, RGE gives an important consistency check for multi-loop calculations, as many of the terms in perturbation expansion are fixed by lower order RGE coefficients.

While RGE is by now a standard textbook material, it may seem surprising at first that the standard formula for the anomalous dimension, i.e.

$$\gamma_F = \left(\sqrt{Z_F}\right)^{-1} \frac{d}{d\mu} \sqrt{Z_F}, \quad (1)$$

leads at three-loop order to $\gamma_F$ matrices in the Standard Model (SM), which still contain poles at $d = 4$ in the MS scheme of Dimensional Regularization, if $\sqrt{Z_F}$ is the Hermitian square-root of a matrix that renormalizes kinetic terms (see Eq. \cite{2} below) of Weyl fields that create/annihilate quarks \cite{2}. The same problem has been observed in two Higgs doublet models (2HDMs) \cite{3}. Moreover, as factoring out $\sqrt{Z_F}$ is a part of the standard definition of ‘bare couplings’, problems with anomalous dimensions affect also the beta functions for Yukawa matrices of quarks. It was shown in the original papers \cite{2,3} that a unitary matrix $U$ can be found (which by itself also diverges in the $d \to 4$ limit) such that the replacement $\sqrt{Z_F} \to U \sqrt{Z_F}$ in Eq. \cite{1}, as well as in the definition of ‘bare Yukawa matrices’, leads to finite anomalous dimensions and finite beta functions for Yukawa matrices. It was also emphasized in Ref. \cite{3} that, even without the unitary factors, the poles at $d = 4$ only affect beta functions of unphysical parameters, such as the Yukawa matrices, while beta functions of flavor-invariant quantities in the quark sector are finite. More recently, Ref. \cite{4} proved that these divergent anomalous dimensions and beta functions still lead to a finite RG flow of renormalized correlation functions. At the same time a generic prescription for finite (‘flavor improved’) beta and gamma functions is given in \cite{4}, however these functions are defined in terms of additional diagrams involving external fields.

Still, the RGE coefficients in a generic renormalizable model are most easily expressible in terms of these unphysical Yukawa matrices, and the like. Moreover, the RGE is an intrinsic feature of renormalized correlation functions, and as such has, in principle, nothing to do with counterterms. Indeed, even in renormalization schemes without divergent counterterms, the RGE controls terms in perturbation expansion, see e.g. \cite{5}. Thus, the necessity to search for additional divergent unitary factors, or to calculate extra diagrams of a spurion field, to get finite RGE coefficients, seems unnatural (not to mention working with divergent RGE coefficients). The purpose of the present paper is therefore to provide a solution to the problem of divergences in RGE coefficients, which is more natural and easier to use than approaches proposed so far in the the literature.

The RGE coefficients are usually calculated from counterterms, rather than from renormalized correlation functions, especially in Dimensional Regularization (Dim-Reg). This is, however, not the cause of the problems discussed in this paper, as RG-invariance simply reflects regulator-independence of renormalized correlation functions which, because of purely dimensional reasons, still remember that the logarithmic divergences existed. But, unlike plain counterterms, square-roots of $Z_F$ factors are completely unnatural creatures from the point of view of perturbative calculations. While such square-roots allow for an introduction of ‘bare fields’ and ‘bare couplings’, working with the bare objects is usually inconvenient and unnecessarily complicates problems one is trying to solve. For instance, the proof of renormalizability of non-Abelian gauge theories in gauge-symmetry-preserving regularizations is simpler if one abandons bare fields altogether, as then the nonrenormalization theo-
rums for gauge fixing terms in linear gauges have more natural form \[3, 7\]. In fact, to the best of my knowledge, there is no regularization that is at the same time consistent and automatically preserving chiral gauge symmetries, see e.g. \[8\] and references therein. Therefore, renormalization is always an additive procedure, even in ‘multiplicatively renormalizable’ theories, and there is really no obvious definition of ‘bare fields’ and ‘bare couplings’. One should keep this fact in mind, even though practical multi-loop calculations (in particular, the ones discussed here) are usually done in DimReg with the chiral-gauge-symmetry-preserving naive prescription for \(\gamma^5\), what requires verification that its inconsistencies does not (yet) affect the result.

Despite their unnaturalness, the \(\sqrt{Z_F}\) factors play a crucial role in a textbook derivation of RGE. Consider the Lagrangian density that generates renormalized one-particle-irreducible (1PI) correlation functions (i.e. includes all the relevant counterterms, summed up into \((Z_F)_{ab}, (Z_S)_{ijk}, \mathcal{Y}_{jab} \text{ etc.})\)

\[
\mathcal{L}_{\text{tree}} + \mathcal{L}_{\text{c.t.}} = i(Z_F)_{ab} \bar{\chi}^a \gamma^\mu \partial_\mu \chi^b + \frac{1}{2}(Z_S)_{jk} \eta^{\mu\nu} \partial_\mu \phi^j \partial_\nu \phi^k - \frac{1}{2} \phi^j (\mathcal{Y}_{jab} \chi^a \chi^b + \mathcal{Y}_{jba} \chi^b \chi^a) + \ldots
\]  

(2)

Here \(\chi^b, b = 1, 2, \ldots \) are Weyl fermions with different flavors/colors (spinor indices are suppressed), \(\phi^j\) are Hermitian scalar fields, \((Z_F)_{ab} = \delta_{ab} + O(h)\) is a Hermitian matrix, while \((Z_S)_{ij} = \delta_{ij} + O(h)\) is a real symmetric matrix. The ellipsis represents all other operators in the Lagrangian, in particular the quartic scalar couplings as well as interactions involving the gauge fields. The coefficients \(\mathcal{Y}_{jab}\) are symmetric in \(a \leftrightarrow b\) indices, and in DimReg in \(d = 4 - 2\epsilon\) dimensions they read

\[
\mathcal{Y}_{jab} = \mu^i (Y_{jab} + \mathcal{Y}_{jab})
\]  

(3)

where \(Y_{jab}\) are renormalized Yukawa matrices, \(\mathcal{Y}_{jab} = O(h)\) is the total counterterm for the \(\chi \chi \phi\) vertex. Once the square-roots of \(Z_{F,S}\) matrices are factored out from \(\mathcal{Y}_{jab}\) to define the conventional ‘bare couplings’ \(Y_{B}^{ij}\), one sees that the renormalized 1PI correlation functions depend on renormalized couplings and \(\mu\) only through the bare couplings and the square-roots of \(Z_{F,S}\) matrices. Moreover, factors \(Z_{F,S}^{-1}\) from the propagators, cancel the square-roots of \(Z_{F,S}\) from vertices connected by these propagators; thus what remains is a single \(\sqrt{Z_{F,S}}\) factor for each external line of a 1PI function. This observation is enough to write the RGE for that function (see e.g. \[7\] for the derivation of the Callan–Symanzik equation along these lines).

As said, however, neither \(\sqrt{Z_{F,S}}\) nor \(Y_{B}^{ij}\) are natural objects calculated in perturbation theory. By contrast, \(Z_{F,S}\) and \(\mathcal{Y}_{jab}\) naturally follow from Feynman diagrams. In fact, one of the ancillary files \[9\] for Ref. \[3\] provides \(Z_{F,S}\) and \(\mathcal{Y}_{jab}\) (and their counterparts for some other vertices) at 3-loop order for the SM and 2HDMs as a ready-to-use Mathematica package. It is therefore desirable to have a prescription for RGE coefficients, as well as a derivation of the RGE itself, that operates on natural entities \(Z_{F,S}, \mathcal{Y}_{jab}, \text{ etc.}\)

The remainder of the paper is organized as follows. First, a natural prescription for the RGE coefficients \(\beta\) and \(\gamma\) is given, and finiteness of the resulting \(\beta\)'s and \(\gamma\)'s is shown in general, as well as in the special case of 3-loop counterterms \[3, 4\] for the SM. Next, a natural derivation of the RGE, from which this prescription originates, is provided.

**Prescription.** Consider once again the Lagrangian density \(\mathcal{L}\) which generates renormalized 1PI correlation functions. Let \(\{g^C\}\) be the set of all independent renormalized parameters of a model. In particular, among \(g^C\) there are real and imaginary parts of independent entries of renormalized Yukawa matrices \(Y_{jab}\), as well as gauge couplings, quartic couplings and mass-(square) parameters (in non-Landau gauges, the gauge fixing parameters must also be included in this set). I want to stay as close as possible to perturbative calculations, and therefore prefer not to talk about running couplings. Instead, I track only the explicit dependence of correlation functions (and counterterms) on \(\mu\) and the renormalized parameters \(g^C\). In this approach, running couplings are nothing more than solutions of the resulting RGE for renormalized 1PI correlation functions via the method of characteristics.

Introducing a (yet to be found) beta function \(\beta^C\) for each independent parameter \(g^C\), one can define the differential operator

\[
\mathcal{D} = \mu \frac{\partial}{\partial \mu} + \beta^C \frac{\partial}{\partial g^C}.
\]  

(4)

Beta functions and anomalous dimensions can now be easily obtained by solving (in perturbation theory) the following system of linear equations (flavor indices are suppressed and matrix multiplication is used instead, \(\mathcal{Y}_k\) is a matrix with matrix elements \(\mathcal{Y}_{kab}\))

\[
\mathcal{D} Z_F = Z_F \gamma_F + \gamma_F^\dagger Z_F,
\]  

(5)

\[
\gamma_F^\dagger = \gamma_F,
\]  

(6)

\[
\mathcal{D} Z_S = Z_S \gamma_S + \gamma_S^\dagger Z_S,
\]  

(7)

\[
\gamma_S^\dagger = \gamma_S,
\]  

(8)

\[
\mathcal{D} \mathcal{Y}_j = \mathcal{Y}_j \gamma_F + \gamma_F^\dagger \mathcal{Y}_j + (\gamma_S)^k \mathcal{Y}_k,
\]  

(9)

together with analogous equations for other vertices and gauge fields. I have decided to separate out the Hermiticity (symmetry) conditions for anomalous dimensions of Weyl fermions (respectively, Hermitian scalars) as they serve a different purpose than remaining equations. It

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1 This operator could (but not necessarily should) be identified with \(\mu \frac{d}{d \mu}\), i.e. the total derivative with respect to \(\mu\) with \(g^C\) treated as a running coupling. I did such an identification in Eq. \[4\] to conform to the standard notation used in the literature.
will be shown below that any beta functions and anomalous dimensions that obey Eqs. (5), (7) and (9) (together with their counterparts for remaining fields and vertices) guarantee that the renormalized 1PI generating functional satisfies the RGE. By contrast, Hermiticity (symmetry) conditions ensure that the resulting RGE coefficients have finite limits when the regulator is removed. Before discussing finiteness, one first has to realize that, since both sides of Eqs. (5) (resp. (7)) are explicitly Hermitian (symmetric) and \( Z_{S,F} = 1 + \mathcal{O}(h) \), the Hermitian (symmetric) part of \( \gamma_F (\gamma_S) \) is uniquely fixed by these equations at every finite order of perturbative expansion. To get the \( n \)-loop contribution to this Hermitian (symmetric) part one needs only the \( n \)-loop contribution to \( Z_{S,F} \) and \((n-1)\)-loop contributions to beta functions. Similarly, Eq. (10) determines in perturbation theory the \( n \)-loop contribution to the beta function for a Yukawa matrix \( Y_j \) ab \( = Y_{j a b} \) (cf. Eq. (3))

\[
\beta_{Y_j} \equiv \beta_C \frac{\partial}{\partial q^a Y_j} Y_j, \quad (10)
\]

provided that \( n \)-loop contributions to \( \gamma_F \) and \( n \)-loop contributions to \( \gamma_S \) are known. Thus, Eqs. (3)-(9), supplemented by their counterparts for other field and vertex functions, have a unique solution in perturbation theory. It should be also stressed that (3)-(9) are valid not only in DimReg. In particular, in DimReg \( Z_{S,F} \) do strictly dimensionless and therefore the partial \( \mu \)-derivative in (10) does not contribute to the left hand side of Eqs. (5) and (7). By contrast, in mass-independent schemes based on (some sort of) cutoff regularization, \( Z_{S,F} \) do depend explicitly on \( \ln(\Lambda/\mu) \). In both classes of regularizations, \( \gamma_{S,F} = \mathcal{O}(h) \). Just like \( Z_{S,F} \), the counterterm \( \frac{1}{2} \gamma_{S,F} \) in Eq. (10) has no \( \mu \)-dependence in DimReg, but unlike \( \gamma_{S,F} \) beta functions in DimReg (because of the factor \( \mu^2 \)) have nonzero tree-level contributions that vanish only in the \( d \to 4 \) limit.

To prove finiteness of the resulting \( \beta \) and \( \gamma \) coefficients, it is now enough to realize that Eqs. (5), (7) and (9) are structurally identical with the RGEs for the (formfactors of) corresponding renormalized 1PI correlation functions of fermions and scalars. These formfactors, just like \( Z_{S,F} \) and \( \gamma_F \), have the form \( 1 + \mathcal{O}(h) \) and, respectively, \( Y_j + \mathcal{O}(h) \). Therefore one can uniquely express beta and gamma functions in terms of renormalized (i.e. finite) correlation functions provided the Hermiticity/symmetry conditions (4)/(8) are imposed.

I have explicitly verified the correctness of the above prescription using the three-loop SM counterterms from Refs. 3, 4. Since these counterterms are available as Mathematica files, solving Eqs. (5)-(10) is a simple exercise in Mathematica programming. 4 In Ref. 2 the tree-level Lagrangian reads (I discuss here only the SM case)

\[
L_{\text{tree}}^{d=4} = - \left( \overline{Q_L} \Phi Y^{(u)} u_R + \overline{Q_L} \Phi Y^{(d)} d_R \right) + \text{h.c.} + \ldots,
\]

with the quark \( SU(2) \)-doublet \( Q_L \), quark \( SU(2) \)-singlets \( u_R \) and \( d_R \), as well as the Higgs doublet \( \Phi \) and \( \Phi^* \equiv i \gamma_5 \Phi \) with a Pauli matrix \( \gamma_5 \). Expressing the components of Dirac fields via the corresponding Weyl fields \( u_R \simeq \chi_u, d_R \simeq \chi_d, \overline{Q_L} \simeq \chi_Q \) one gets

\[
L_{\text{tree}}^{d=4} = - \left( \overline{\chi_Q} \Phi Y^{(u)} \chi_u + \overline{\chi_Q} \Phi Y^{(d)} \chi_d \right) + \text{h.c.} + \ldots,
\]

where flavor, color and spinor indices are suppressed. In particular, \( Y^{(u)} \) and \( Y^{(d)} \) correspond to certain submatrices of \( Y_j \), cf. Eqs. (3) and (7). Ref. 3, in addition to \( Z_{u_R} = Z_{\chi_u}, Z_{d_R} = Z_{\chi_d}, Z_{Q_L} = Z_{\chi_Q} \) and \( Z_{\Phi} \), contains also matrices \( Z_{Q\Phi} \) and \( Z_{Q\Phi^*} \) that are submatrices of \( Y_{j a b} \) and \( \gamma_{j a b} \), cf. Eq. (3), i.e.

\[
L_{\text{tree}} + L_{\text{ct.}} \supset - \mu^C \epsilon \left( \overline{\chi_Q} \Phi Z_{Q\Phi} \chi_u + \overline{\chi_Q} \Phi Z_{Q\Phi^*} \chi_d \right) + \text{h.c.}
\]

Because of (unbroken) \( SU(2) \) gauge symmetry, \( Z_{\Phi} \) is effectively a single (real) parameter, and so is \( \gamma_F \). Eqs. (11)-(15) now read (\( q \) represents \( u \) or \( d \))

\[
\gamma_1^q = \gamma_Q, \quad \gamma_1^q = \gamma_q, \quad (11)
\]

\[
\mathcal{D} Z_{\Phi} = 2 \gamma_\Phi Z_{\Phi}, \quad (12)
\]

\[
\mathcal{D} Z_{Q_L} = \gamma_Q Z_{Q_L} + Z_{Q_L} \gamma_Q, \quad (13)
\]

\[
\mathcal{D} Z_{Q_R} = \gamma_q Z_{Q_R} + Z_{Q_R} \gamma_q, \quad (14)
\]

\[
\epsilon Z_{Q\Phi} + \mathcal{D} Z_{Q\Phi} = Z_{Q\Phi} \gamma_q^* + \gamma_Q Z_{Q\Phi} + \gamma_q Z_{Q\Phi^*}. \quad (15)
\]

The Hermiticity of anomalous dimensions has been used to simplify the remaining equations. Note that in the above equations \( \mathcal{D} \) acts only on dimensionless quantities, because \( \mu^2 \) has been explicitly factorized out from \( Z_{Q\Phi^*} \), and therefore one can effectively set \( \mathcal{D} \simeq \beta_C \frac{\partial}{\partial \mu} \). I have solved these equations (together with their counterparts for SM leptons) up to the terms \( \mathcal{O}(h^3) \). In the first step, I compared only the terms without the poles at \( \epsilon = 0 \) to get 3-loop anomalous dimensions of fermions (and the Higgs field), as well as 3-loop beta functions for Yukawa matrices. To that end, I needed only the tree-level beta functions for other SM couplings. The so-obtained 3-loop beta functions are identical with the ones explicitly given in Ref. 3 which relied on the adjustment of unitary factors. Moreover, the resulting anomalous dimensions of fermions are indeed Hermitian. Next, I have verified that these \( \beta \)'s and \( \gamma \)'s ensure that the pole parts on both sides of Eqs. (12)-(15) are the same, as expected. To check this, I needed also (respectively, 2-loop and 1-loop) \( \beta \) functions for gauge and quartic couplings (which are given in Ref. 3) as well as \( \beta \) functions for gauge-

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3 A full version of calculations reported here can be found in a supplementary Mathematica notebook 10.
The generation of counterterms of non-Abelian gauge theories \[6, 7\] in a gauge-symmetry-preserving regularization is taken into account. The latter, because of nonrenormalization theorems for gauge fixing terms in linear gauges \[6, 7\], depend only on anomalous dimensions of the gauge bosons, and, analogously to \[12\], can be easily obtained from the \(Z_{B,W,G}\) factors listed in \[6\]. Finally, I compared the anomalous dimensions obtained by the proposed prescription, with the ones that follow from Eq. (1) after the replacement \(\sqrt{Z_F} \rightarrow U\sqrt{Z_F}\) with unitary factors \(U\) from Ref. \[2\], and both approaches gave the same result.

Derivation of RGE. It remains to show that Eqs. (5)- (9) indeed guarantee that the renormalized 1PI generating functional \(\Gamma\) obeys the RGE. To that end, I follow the approach originally used by Zinn-Justin \[6\] to derive Slavnov-Taylor identities expressing the BRST symmetry of \(\Gamma\) functional in a gauge-symmetry-preserving regularization. Let \(\{g^C\}\) be the set of independent renormalized parameters of a given theory, while \(\{\Psi^I\}\) – the set of all (renormalized!) fields. Suppose that the coefficients \(\beta^C\) and \(\gamma^I_J\) have been found which guarantee that the action \(\mathcal{I}\) that contains counterterms (i.e. generates renormalized correlation functions) obeys the equation

\[
\mathcal{R}\mathcal{I} = 0, \tag{16}
\]

with the following differential operator \[1\]

\[
\mathcal{R} \equiv \mu \frac{\partial}{\partial \mu} + \beta^C \frac{\partial}{\partial g^C} - \gamma^I_J \int d^d x \Psi^I(x) \frac{\delta}{\delta \Psi^I(x)}, \tag{17}
\]

For vertices explicitly shown in Eq. (2), the identity (16) reduces to Eqs. (5), (7) and (9). In particular, the existence of such \(\beta^C\) and \(\gamma^I_J\) functions follows from the arguments given below Eq. (9), as their generalization to other vertices is trivial once the well-known structure of counterterms of non-Abelian gauge theories \[6, 7\] in a gauge-symmetry-preserving regularization is taken into account. \[6\]

Note that \(\mathcal{R}\) is a first order partial differential operator and, importantly, the coefficients that multiply derivatives are at most linear in quantum (i.e. propagating) fields. Thus, \(\mathcal{R}\) belongs to the class of operators for which Zinn-Justin’s trick \[6, 7\] works, i.e. by putting Eq. (16) under the path integral and integrating by parts one sees that the generating functional

\[
Z[\mathcal{J}, g, \mu] = \int [\mathcal{D}\Psi] \exp \left\{ i\mathcal{I} + i \int d^d x \mathcal{J}_I(x) \Psi^I(x) \right\},
\]

obeys the following identity

\[
RZ[\mathcal{J}, g, \mu] = \Theta \times Z[\mathcal{J}, g, \mu],
\]

where

\[
R \equiv \mu \frac{\partial}{\partial \mu} + \beta^C \frac{\partial}{\partial g^C} + \gamma^I_J \int d^d x J_I(x) \frac{\delta}{\delta J_I(x)},
\]

and

\[
\Theta = \sum_I \left( \mp \gamma^I_I \right) \times \int d^d x \delta(0)^{\text{position}}(0),
\]

(upper/lower sign corresponds to bosonic/fermionic field \(\Psi^I\)). Since \(\delta(0)^{\text{position}}(0)\) is a pure quartic divergence, it vanishes in DimReg; in any case, \(\Theta\) disappears from the RGE satisfied by the functional \(W\) that generates connected Green’s functions provided it is defined by \[11\]

\[
\exp(\mu W[\mathcal{J}, g, \mu]) = \frac{Z[\mathcal{J}, g, \mu]}{Z[0, g, \mu]},
\]

and thus

\[
RW[\mathcal{J}, g, \mu] = 0. \tag{18}
\]

It should be stressed that \(Z\) and \(W\) generate renormalized correlation functions because the action \(\mathcal{I}\) already includes the counterterms. Performing the Legendre transform of \(W[\mathcal{J}, g, \mu]\), it is now easy to check that the functional \(\Gamma[\Psi, g, \mu]\) generating renormalized 1PI functions obeys the very same RGE as the action with counterterms, i.e.

\[
\mathcal{R}\Gamma[\Psi, g, \mu] = 0, \tag{19}
\]

with \(\mathcal{R}\) defined in (17). In particular, as I have already emphasized discussing finiteness of \(\beta\) and \(\gamma\) coefficients, the RGEs for ‘bare vertices’ \[6\], \[7\] and \[9\] are structurally identical with the RGEs for the corresponding renormalized 1PI correlation functions.

Conclusions. The prescription given in the present paper allows for an efficient extraction of finite RGE coefficients directly from counterterms calculated in perturbation theory with diagrams involving only the standard set of (quantum) fields in a given model. It is based on solving a set of linear equations that can be most easily obtained by extracting independent vertices on the left hand side of Eq. (16), and requires no adjustment of extra unitary factors whatsoever. In fact, there is even no need to calculate square-roots of \(Z\) matrices nor conventional ‘bare couplings’, as everything is naturally expressed in terms of entities directly accessible in perturbation theory.

The Hermiticity/symmetry conditions \[6\] / \[8\] ensure that the resulting system of linear equations has a unique solution (which, importantly, is finite). One can rephrase the arguments given above as follows. Since equations are linear, the unique solution is guaranteed by nonvanishing determinant of the coefficient matrix. Since the determinant is a formal power series, it is non-vanishing because the system of equations has a unique solution at the tree-level.
Nonetheless, conditions (6)/(8) are dictated by naturalness and simplicity, and without them the remaining equations have infinitely many solutions, reflecting the ambiguities in the approach based on adjusting unitary factors. In particular, I reproduced the original 3-loop beta functions of the SM because the unitary factors chosen in Ref. [2] also lead to Hermitian anomalous dimensions of quarks.

It is also worth noticing that, at the 3-loop order, flavor-improved RG coefficients used in Ref. [4] do not obey Hermiticity/symmetry condition and therefore they represent a different solution to the problem considered in this paper, which is more suitable in certain situations (e.g. conformal field theories can be identified by vanishing of flavor-improved betas [12]). Still, simplicity of conditions (6)/(8) makes the RG coefficients obtained by imposing them a strong candidate for ‘the standard’ beta and gamma functions.

I believe that the prescription given here can be useful for high-loop calculation of RGE coefficients in a generic renormalizable theory, and hope that it sheds some light on problems appearing in a more traditional approach.

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\(^6\) It is so because the coefficients calculated in Sec. 4.5 of Ref. [3] have non-vanishing non-pole terms.