Measurement of Low-Energy Cosmic-Ray Antiprotons at Solar Minimum

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The absolute fluxes of the cosmic-ray antiprotons at solar minimum are measured in the energy range 0.18 to 1.4 GeV, based on 43 events unambiguously detected in BESS '95 data. The resultant energy spectrum appears to be flat below 1 GeV, compatible with a possible admixture of primary antiproton component with a soft energy spectrum, while the possibility of secondary antiprotons alone explaining the data cannot be excluded with the present accuracy. Further improvement of statistical accuracy and extension of the energy range are planned in future BESS flights.

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The strict upper limit [1] on the cosmic-ray antihelium/helium ratio provides most direct evidence for Galaxy and nearby part of Universe being composed solely of particles. If so, antiproton (\(\bar{p}\)) in cosmic-ray must have been produced in pairs with protons (p’s) by some elementary-particle processes in Galaxy or in Universe. One such process which should certainly exist is the interaction of high energy cosmic rays with interstellar gas. The energy spectrum of \(\bar{p}\)’s from this “secondary” process is expected to show a characteristic peak at 2 \(\sim\) 3 GeV and sharp decreases of the flux below and above the peak. One can also conceive more novel or exotic elementary-particle processes such as the annihilation of neutralino dark matter or the evaporation of primordial black hole [2]. The \(\bar{p}\)’s from these “primary” sources are expected to show very soft energy spectra [3], peaking toward the lower energies, and would exhibit large solar modulations [4].

Although general characteristics of cosmic-ray \(\bar{p}\) data accumulated over last 20 years seem compatible with the secondary \(\bar{p}\), poor statistics of the data do not allow further investigation. A detector with much larger acceptance is needed both to determine the secondary \(\bar{p}\) flux and to perform a sensitive search for low-energy primary \(\bar{p}\) component. We report here (for detail see [5]) on such an attempt with the BESS spectrometer, which was designed [6] and constructed for this purpose. By using BESS ’93 data, the first mass-identified detection of cosmic-ray \(\bar{p}\)’s was reported [7,8] based on four events detected in the low-energy range of 0.3 to 0.5 GeV. This measurement (BESS ’95) extends the range to 1.4 GeV, and was conducted at a period close to solar minimum.

The BESS detector [9] is shown in Fig.1. The thin superconducting coil [10] (4 g/cm² thick including the cryostat) produces a uniform axial magnetic field of 1 Tesla. The rφ-tracking in the central region is performed by fitting up to 28 hit-points, each with 200 μm resolution, in the JET- and IDC-drift chambers, resulting in a magnetic-rigidity [11] resolution of 0.5 % at 1 GV/c. Tracking in the z-coordinate is done to an accuracy of 300 μm by fitting points in IDC measured with vernier pads and points in the JET chamber measured using charge-division. The continuous and redundant 3-dimensional tracking with the drift chambers, all equipped with multi-hit capacity, enables us to recognize multi-track events and tracks having interactions and scatterings, thus minimizing the background. The dE/dx of the particle in the JET chamber is obtained as a truncated mean of the hits in the JET chamber is obtained as a truncated mean of the JET and tracks having interactions and scatterings, resulting in a resolution of 110 ps as compared to previous 280 ps.

The first-level trigger is provided by a coincidence between the TOF scintillator hodoscopes, newly built for ’95 flight, measure the time-of-flight of particles with a resolution of 110 ps as compared to previous 280 ps.

FIG. 1. Cross-sectional view of the BESS ’95 detector with one of the \(\bar{p}\) events.
between the top and the bottom scintillators, with the threshold set at 1/3 of the pulse height from vertically incident minimum ionizing particles. The second-level trigger, which utilizes the IDC and ODC hit-patterns, first rejects the null- and multi-track events (pattern-selection) and makes a rough rigidity-determination to select negatively-charged particles (rigidity-selection). In addition, one of every 90 first-level triggers is recorded, irrespective of the second-level trigger condition, to build a sample of unbiased triggers from which the efficiencies are determined. The '95 BESS flight was carried out on July 25, from Lynn Lake, Canada. The scientific data were taken at an altitude of 36 km (a residual atmosphere of 5 g/cm²) with a rigidity cutoff ranging 0.3 to 0.5 GV/c. During the live time of 2.72 × 10⁴ sec, 4.6 million events were recorded on magnetic tapes, out of 60 million cosmic rays which passed through the detector.

The following off-line selections are applied equally for positive- and negative-rigidity events. (i) Only one counter is hit in each layer of the TOF hodoscopes; (ii) Only one track, which is fully contained in the fiducial region, should be found in the JET chamber. The following cuts are then applied to ensure the qualities of the track and the timing measurements. (1) The fitted \( r_{\phi} \)- and \( z \)-track, respectively, should contain at least 10 and 5 hits in the JET chamber, and at least one hit in each of the upper two and lower two IDC layers; (2) The reduced \( \chi^2 \) of both the fitted \( r_{\phi} \)- and \( z \)-track have to be less than 5; (3) The extrapolated track should cross the fiducial region of TOF scintillators (\(|z| < 46.5 \text{ cm}\)); (4) The \( z \)-position determined by the left-right time difference measured by the PMTs should match the \( z \)-impact point of the extrapolated track at the TOF counter within 3.8 cm; (5) The ratio of the signal amplitude of the left and right PMTs should be consistent with the \( z \)-impact point of the extrapolated track. The combined efficiency of these off-line selections is found to be 81 % at 0.7 GV/c and 78 % at 2 GV/c for the protons.

We then require that the \( \bar{p} \)'s and the protons must have proper \( dE/dx \) in the top and bottom scintillators as well as in the JET chamber (shown in Fig.2). These \( dE/dx \) selections reject all of the particles with charge greater than 1 and most of the low-energy \( e/\mu \), while keeping 90 % efficiency for protons. The \( \beta^{-1} \) vs. rigidity \( (R) \) plot for the remaining events is shown in Fig.4. A clear band of \( \bar{p} \)'s is visible at the position exactly symmetric to the proton band. Each of the \( \bar{p} \) candidates was closely investigated on the event display for correctness of the track fitting etc., and no particular problems were found. The probability of positive-rigidity particles or albedo (up-going) particles faking any of the \( \bar{p} \) candidates is negligibly small. Also it is apparent from the cleanliness of the \( \bar{p} \)-band that there is no background, particularly in the high \( \beta^{-1} \) region. We limit further analysis to the region \( \beta^{-1} > 1.095 \) in order to have a negligible contamination (less than 0.5 events) due to the tail of the \( e^-/\mu^- \) distribution. The rigidity region is limited to \(|R| < 2.1 \text{ GV/c}\), since the efficiency of the above \( \beta^{-1} \) cut becomes lower than 50 % outside of this \( |R| \)-range. As seen in Fig.3, all of the 43 negatively-charged events observed in this \( \beta^{-1} \)-\( R \) region reside inside the 97 % inclusion band expected for the \( \bar{p} \)'s. Furthermore, the masses determined for these events show the correct central value, and the distribution is similar to the one observed for the protons. All these background-studies and the consistency-checks allow us to conclude that the 43 events are real \( \bar{p} \)'s incident on the BESS spectrometer. To check against the possibility that any of the \( \bar{p} \)'s were re-entrant albedo, we numerically traced back the trajectories of all \( \bar{p} \)'s through the Earth's geomagnetic field and confirmed that all events came from outside of geomagnetic sphere.

Based on these 43 events, we obtain the \( \bar{p} \) energy spectrum at the top of the atmosphere (TOA) in the following way: The TOA energy of each event is calculated by tracing back the particle through the detector material and air by using Bethe-Heitler routine of GEANT 3.21. The corrections are usually small, 30 ± 3 MeV for a 1 GeV event. For the particular lowest-energy event which has 157 MeV at the center of the detector, the energy correction amounts to 66 MeV with estimated accuracy of ± 2 MeV. Among the factors necessary to obtain the flux, the geometrical acceptance \( (G) \) can be calculated reliably both analytically and by Monte Carlo methods due to the simple geometry and uniform magnetic field.
define the Gǫ
The efficiencies of the pattern-selection (spectrum measurement.
Monte Carlo simulated selection efficiencies for the ̅p ranging from 1.30 at 0.3 GeV to 1.12 at 1.4 GeV) of multiplying the proton selection efficiency by the ratio ǫ as the off-line selection efficiency for the protons are di-
ter measurements, especially below 1 GeV. The survival original inelastic (including the annihilation) cross sections, since accuracy of the simulation. The ̅p's through the air is estimated by the Monte Carlo simulation. We also subtract the ex-
ternal distribution and realistic detector performance in
ter. However, given the present large statistical errors
of ̅p's, which agree within ± 20 % relative accuracy, we take the one [12] which utilizes the most detailed nuclear model and the 3-dimensional Monte Carlo simulation.

Table I summarizes all relevant quantities and resultant ̅p fluxes in five energy bins. The first and the second errors, respectively, represent the statistical and systematic errors. The statistical errors are calculated properly [13] for the Poisson distributions. The dominant systematic error at low-energy bins is the uncertainty in the interaction losses, to which we attribute ± 40 % relative error. At high energy bins, the uncertainty in the atmospheric ̅p calculations, to which we attribute ± 30 % relative error, becomes an important systematic error. In all bins, the systematic errors are overwhelmed by the statistical errors. Table I also contains the ̅p/p ratios, obtained by counting the number of protons which survive the same selections as for the ̅p's. The interaction losses of the protons in the air and in the instrument are corrected by the same Monte Carlo simulations, and the atmospheric protons are subtracted based on Ref. [14].

Our data on the ̅p flux are shown in Fig. 3 together with previous measurements and various theoretical calculations. Our data are consistent with recent measurements (including BESS '93 result), all of which have much larger statistical errors. The energy spectrum we have obtained appears to be flat below 1 GeV within present statistical accuracy, and does not exhibit the steep de-
cline which seems generic to calculations [19, 21] for the secondary ̅p's. This might be due to a statistical fluctua-
tion, might indicate that the propagation models need to be modified, or might perhaps suggest a contribution of primary ̅p component with energy spectrum much softer than the secondary ̅p. We note [23] that an admixture of ̅p's from evaporating primordial black holes (PBH) [2], added to a standard leaky box (SLB) calculation [21] of the secondary ̅p's, could provide a fit (thick dashed curve in Fig. 4) to the data. Primary ̅p's component from the annihilation of neutralino dark matter could also explain [23] the observed spectrum, if we assume an astro-
physical enhancement factor of 15 or more, which might be possible [3] due to the clumpiness of the dark mat-
ner. However, given the present large statistical errors and the ambiguities in the flux of the secondary ̅p's, we

![Diagram](https://via.placeholder.com/150)

**FIG. 3.** The identification of ̅p events. The solid lines define the β−1–R region and the ̅p mass band used for the spectrum measurement.

The efficiencies of the pattern-selection (ǫpat) and of the rigidity-selection (ǫrig) for the second-level trigger as well as the off-line selection efficiency for the protons are directly determined by using the unbiased trigger sample. The selection efficiency for the ̅p's (ǫsel) is obtained by multiplying the proton selection efficiency by the ratio (ranging from 1.30 at 0.3 GeV to 1.12 at 1.4 GeV) of Monte Carlo simulated selection efficiencies for the ̅p's to protons. In this way we minimize the effect of inac-
curacy of the simulation. The GHEISHA code is used for the simulation by incorporating the detailed material distribution and realistic detector performance in order to also evaluate the interaction loss in the instru-
ment (ǫdet). Care is taken [13] to use the correct ̅p-nuclei inelastic (including the annihilation) cross sections, since original GHEISHA uses cross sections much higher than recent measurements, especially below 1 GeV. The survival probability (ǫair) of the ̅p's through the air is estimated by the Monte Carlo simulation. We also subtract the ex-
pected number of atmospheric ̅p's, produced by the collisions of cosmic rays in the air.

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| TOA Kinetic energy (GeV) | No. of detected ̅p | No. of atm. ̅p | G (m²sr) | ǫpat | ǫrig | ǫsel | ǫdet | ǫair | TOA ̅p flux (m⁻²s⁻¹sr⁻¹GeV⁻¹) | TOA ̅p/p ratio |
|-------------------------|----------------|--------------|---------|------|------|------|------|------|-------------------------------|----------------|
| 0.175 - 0.3             | 3              | 0.17         | 0.30    | 0.73 | 0.96 | 0.68 | 0.49 | 0.87 | 1.30±0.10 ±0.10 × 10⁻¹        | 0.78±0.18 ±0.18 × 10⁻¹ |
| 0.3 - 0.5               | 7              | 0.78         | 0.31    | 0.73 | 0.92 | 0.74 | 0.62 | 0.88 | 1.30±0.10 ±0.10 × 10⁻¹        | 0.74±0.18 ±0.18 × 10⁻¹ |
| 0.5 - 0.7               | 7              | 1.40         | 0.31    | 0.73 | 0.87 | 0.74 | 0.65 | 0.89 | 1.25±0.15 ±0.15 × 10⁻¹        | 0.77±0.20 ±0.20 × 10⁻¹ |
| 0.7 - 1.0               | 11             | 2.80         | 0.32    | 0.72 | 0.80 | 0.73 | 0.67 | 0.89 | 1.25±0.15 ±0.15 × 10⁻¹        | 0.77±0.20 ±0.20 × 10⁻¹ |
| 1.0 - 1.4               | 15             | 3.50         | 0.32    | 0.72 | 0.72 | 0.56 | 0.68 | 0.90 | 1.85±0.30 ±0.30 × 10⁻¹        | 1.99±0.66 ±0.66 × 10⁻¹ |
cannot exclude the possibility of the secondary $\bar{p}$'s alone explaining the data, especially if the actual secondary $\bar{p}$ flux is among the largest predicted. To clarify the situation, one needs: a) to improve the statistical accuracy, b) to detect the predicted peak of the secondary $\bar{p}$'s by extending the energy range of the identification and to accurately measure its absolute flux, and c) to measure the change of the energy spectrum with solar activity. Concerning the point c), it was shown [3] that a clear signature of a primary $\bar{p}$ component can be obtained by observing an enhancement of low-energy $\bar{p}$'s at the solar minimum and a sharp decrease afterward. Independent
to the search for the primary $\bar{p}$ component, the precise measurement of the secondary $\bar{p}$ spectrum itself will be of crucial importance to determine the propagation mechanism of cosmic rays in the Galaxy. We intend to accomplish these purposes in future BESS flights.

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FIG. 4. Comparison of the BESS '95 $\bar{p}$ fluxes with previous data and various calculations. The upper (1) and the lower (2) thin lines represent respectively bounds in standard leaky box calculation by Simon et al. [19]. The middle thin line (3) is the median flux calculated in the standard leaky box model by Gaisser and Schaefer [20], who attribute a factor 2 ambiguities in both directions. The upper (4) and the lower (5) thick lines are the calculations by Mitsui et al. [21] in the standard leaky box model and in the diffusive reacceleration model (DR) [22], respectively. The thin (6) and thick (7) dashed lines [23] are, respectively, the spectrum from evaporating primordial black holes (with local explosion rate of $6 \times 10^{-3}$ pc$^{-3}$yr$^{-1}$) and its sum with the standard leaky box model calculation by Mitsui et al. The thin (8) and thick (9) dotted lines, respectively, are the spectrum from the annihilations of neutralino dark matter [4] and its sum with the diffusive reacceleration calculation by Mitsui et al. All theoretical fluxes are modulated [24] with $\phi_F = 530$ MV, which corresponds to the BESS '95 flight.