Non-linear changes in modelled terrestrial ecosystems subjected to perturbations

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Perturbed ecosystems may undergo rapid and non-linear changes, resulting in ‘regime shifts’ to an entirely different ecological state. The need to understand the extent, nature, magnitude and reversibility of these changes is urgent given the profound effects that humans are having on the natural world. General ecosystem models, which simulate the dynamics of ecosystems based on a mechanistic representation of ecological processes, provide one novel way to project ecosystem changes across all scales and trophic levels, and to forecast impact thresholds beyond which irreversible changes may occur. We model ecosystem changes in four terrestrial biomes subjected to human removal of plant biomass, such as occurs through agricultural land-use change. We find that irreversible, non-linear responses commonly occur where removal of vegetation exceeds 80% (a level that occurs across nearly 10% of the Earth’s land surface), especially for organisms at higher trophic levels and in less productive ecosystems. Very large, irreversible changes to ecosystem structure are expected at levels of vegetation removal akin to those in the most intensively used real-world ecosystems. Our results suggest that the projected twenty-first century rapid increases in agricultural land conversion may lead to widespread trophic cascades and in some cases irreversible changes to ecosystem structure.

Human activities are impacting ecosystems across the globe, leading to impaired ecosystem function1, altered trophic structure2, trophic cascades3,4 and—with sufficient levels of impact—ecosystem collapse5. Such changes can have serious consequences for the human societies that rely on those ecosystems6. Because ecosystems are very complex, involving many interacting entities, it is likely that responses to change do not occur linearly, and ecosystems under increasing pressure may eventually undergo rapid regime shifts to fundamentally different states7,8. For example, coral reefs subject to human disturbance can shift rapidly to an algal-dominated state7,9, and loss of top predators can lead to marked shifts in vegetation structure8. However, in many cases these changes are not well understood, or predictable, particularly in terms of the threshold of impact beyond which a transition will occur. Ecosystems undergoing rapid non-linear changes or regime shifts can exhibit hysteresis, where the trajectory followed by the ecosystem subsequent to alleviation of a pressure differs from that during escalation of the pressure2. Changes may also be irreversible, with removal of the pressure not leading to a full recovery of the system, and possibly leading to the establishment of an alternative state7.

The search for empirical evidence of ecosystem responses to perturbations has tended to use simplified experimental systems, or to focus on particular ecosystems; and on incomplete subsets of the species in those ecosystems, often top predators, large herbivores or vegetation7,8. However, there are more complete empirical studies of a few well-studied systems5,10. An alternative way to explore perturbation responses is to simulate the effects of perturbations using ecological models of the structure of complex ecological communities. Widely used statistical models11,12 cannot generally capture or predict non-linear and dynamic responses of whole ecosystem structure to perturbations13, in part because they do not explicitly model the complex interplay of processes
underlying the functioning of ecosystems. However, a few hybrid statistical-mechanistic models do capture
dynamical changes to some extent. Alternatively, mechanistic models of specific subsets of the food web in
particular ecosystems have been used to explore how human perturbations might impact particular species or
groups of species, and ultimately cause the ecosystem subset to collapse. However, these models do not come
close to capturing the whole range of organisms within the ecosystem.

In contrast, mechanistic ecosystem models simulate the underlying biological interactions among individual
organisms, and other processes structuring ecosystems. Therefore, these models can begin to capture complex
dynamic interactions, and so are, in principle, much better suited to predicting dynamical changes and novel
configurations in whole ecosystems. Applications of mechanistic ecosystem models that capture more than just
primary producers, to predict the impacts of human activities have mostly been focused on marine ecosystems,
although the ecosystem model that we use here has previously been applied to understand the impacts of habitat
fragmentation. Dynamic Global Vegetation Models are widely used for understanding human impacts on primary
producers, but do not capture organisms at higher trophic levels. We use a general ecosystem model that
represents all plants and non-microbial heterotrophic organisms within ecosystems (as ‘functional groups’, within
which organisms are assumed to play a similar role in the ecosystem), the age- and size-structuring of ecosystems,
processes such as metabolism and growth, predator–prey interactions, and spatial interactions between different
locations. We ask whether collapses are likely to occur in more complex ecological systems. This approach also
allows us to apply the same general ecosystem model to four very different ecosystems.

We use the Madingley Model, a general ecosystem model, to simulate human impacts on the fundamental
structure of a complex terrestrial ecosystem, including all autotrophs and all heterotrophs larger than 10 μg.
In sample ecosystems within four terrestrial biomes, spanning the major global productivity and seasonality
gradients (Table 1), we ask how ecosystem-level properties—including both total biomass and functional properties—respond to the imposition of human perturbations, and in a second set of simulations we explore how ecosystems respond to the subsequent removal of human perturbation. Specifically we ask: (1) whether responses to perturbations of broad-scale ecosystem properties (biomasses and abundance) are non-linear; (2) whether high-level functional properties of ecosystem also respond to perturbation; (3) whether ecosystems recover to pre-perturbation states, or whether impacts lead to alternative states after recovery.

Land use is one of the primary human perturbations to terrestrial biodiversity globally, and removal of plant biomass is one of the main manifestations of human use of the land, both as a result of converting natural vegetation to human use, and subsequently harvesting plant biomass from agricultural areas. We used removal of plant biomass, expressed as a fraction of net primary production (NPP), as a proxy for the impacts of land use on ecosystems. Analysis focuses on uniform perturbations to ecosystems across a 3 x 3 grid at 1° spatial resolution, and does not consider landscape-wide effects of habitat fragmentation that have been considered elsewhere. Human land use has additional effects on ecosystems besides the simple removal of vegetation, such as loss of vegetation vertical structure and changes in local climatic conditions. We are not able to capture these changes in the Madingley Model currently, and since these changes are likely to cause additional declines in biodiversity their omission likely means that our results are conservative. Obtaining a sufficient understanding of such changes to permit their inclusion in future modelling exercises will allow a more complete understanding of human impacts on ecosystems.

As expected, as levels of NPP removal increased, the biomass in all trophic levels and the abundance of herbivores, omnivores and carnivores were all reduced (Figs. 1 and 2). The maximum level of NPP removal currently experienced by real-world ecosystems is 94%. At this level of human pressure, changes to ecosystem structure in the modelled system were profound, with losses of biomass and abundance greater than 60% in all modelled ecosystems, and approaching 100% losses in many cases (Figs. 1 and 2). The functional properties of ecosystems also responded strongly to perturbation (Fig. 3). The ranges of both body masses and trophic levels present in the ecosystem declined substantially (Fig. 3), supporting suggestions that human impacts are simplifying the structure of ecosystems. The trophic level reduction was driven entirely by the loss of organisms at high trophic levels, i.e. top predators, because plants and herbivores were never completely lost from ecosystems (Appendix 1, Figure S2); for body mass range both the largest and smallest organisms were often lost, although the pattern varied across simulated ecosystems (Appendix 1, Figure S3). As suggested previously by empirical studies of subsets of organisms within ecosystems, mean trophic level also declined (Fig. 3).

In most cases responses to human pressure were non-linear (shown by departures from the dashed line at y = 100 – x in Figs. 1 and 2). The non-linearity was more pronounced: (1) for biomass than for abundance; (2) for organisms in the higher trophic levels; and (3) for the two locations with low seasonality, whether of high (Fig. 1a) or low overall productivity (Fig. 1d). The disproportionate non-linearity in the responses of high trophic levels is likely because of the dynamic nature of predator–prey interactions and because the resources for predators are scarcer and more patchily distributed in nature, making predators more sensitive to bottom-up resource
limitation. In the high-productivity, low-seasonality system, non-linear responses to perturbation occurred because higher trophic levels persisted until a high proportion of plant biomass was removed. This system was characterized by a very low natural ratio (0.15%) of heterotrophs to autotrophs (Appendix 1, Figure S4), meaning that top-down forces are likely more important in structuring the system than bottom-up resource limitation, at least under conditions of low plant biomass removal. In the low-productivity, low-seasonality (desert) system, non-linear responses occurred because heterotrophs were lost very rapidly, even at low levels of perturbation. This system had a very high ratio (8.9%) of heterotrophs to autotrophs, and very low plant biomass, placing a high degree of resource limitation, and thus sensitivity to resource reduction, on higher trophic levels. The impact threshold at which the most rapid changes occurred (in terms of both level of human pressure and the intactness of the ecosystem prior to the rapid changes) varied depending on location and on the property measured (compare panels a–d in Figs. 1, 2 and 3). For example, in the highly productive and aseasonal tropical-forest system, rapid changes in ecosystem structure occurred only for levels of vegetation removal greater than 50%.

Figure 1. Impact, relative to an undisturbed state, of the removal of net primary production (NPP) on the biomass of organisms in different trophic levels—autotrophs (grey), herbivores (green), omnivores (purple) and carnivores (red)—in four ecosystems: (a) high productivity, aseasonal (Uganda); (b) relatively high productivity, highly seasonal (France); (c) relatively low productivity, seasonal (Gobi Desert, China); and (d) low productivity, aseasonal (Libyan Desert). Ecosystems were subjected to levels of NPP removal that increased by 1% per year for between 0 and 90 years, resulting in maximum levels of NPP removal ranging between 0 and 90%. Values shown here are the ecosystem properties after 100 years since the onset of impact. Error bars show ± 95% confidence intervals; open points show levels of impact for which at least one of the time series did not show a significant negative trend (according to a Mann–Kendall test, run using the 'Kendall' package Version 2.246 in R Version 3.3.344, \( P < 0.05 \)). The grey, horizontal dashed line indicates projected values for unimpacted ecosystems. The diagonal dashed line indicates the impact expected if that impact occurred in direct proportion to the amount of plant productivity removed (i.e. \( y = 100 − x \)).
whereas for the lower-productivity systems, rapid changes occurred even at very low levels of vegetation removal (Figs. 1 and 2).

The non-linear behaviour of ecosystems predicted here is supported by empirical evidence suggesting that gradual increases in levels of impact can lead to abrupt shifts between alternative stable states. However, our results go further in suggesting the existence of threshold levels of impact (varying here from less than 50% to greater than 90% removal of vegetation biomass, depending on the ecosystem simulated) beyond which ecosystems undergo almost complete collapse to a state of greatly reduced complexity and diversity, and minimal biomass. Importantly, levels of removal of plant biomass currently experienced in the most intensively used ecosystems (94% of primary productivity removed by humans) are on the edge of such thresholds.

Under the highest observed levels of human pressure, changes to ecosystem structure were substantial and often irreversible (Fig. 4). Gradually increasing vegetation removal to 95% and then gradually reducing it back to zero, led to temperate forest, temperate arid and desert ecosystems that were substantially altered compared to before perturbation. Irreversibility was more likely for higher trophic levels and in less productive ecosystems (Fig. 4). This is likely because landscape-wide biomasses were more likely to reach very low levels for high trophic levels and in less productive ecosystems (Fig. 1), with a corresponding reduction in functional diversity (Fig. 3), making rescue effects from immigration much less likely. Irreversibility was less pronounced, but still present, for lower levels of removal of plant biomass—up to 80%, or up to 90% (Appendix 1, Figures S5 and S6)—levels of plant-biomass removal already estimated to occur across 9.4% and 0.03% of the terrestrial area, respectively.

The irreversibility of human impacts is relevant to ongoing discussions about ecosystem restoration, suggesting that without external interventions restored ecosystems may be unlikely to approach the structure or
function of their natural state. The context-dependency of the irreversibility suggests that extrapolating effects observed for specific ecosystems and ecosystem components to other situations may give misleading results, and that further ecosystem-specific analysis is necessary to understand the full suite of idiosyncrasies and contingencies associated with each biome that ultimately inform its susceptibility to irreversible regime shifts.

Ecosystem complexity, unprecedented simultaneous impacts upon them and limited empirical evidence for non-linear responses and regime shifts in real ecosystems has led to problems in identifying, at a global scale, important thresholds of ecosystem change beyond which increasingly rapid changes are expected. A recent attempt to find a ‘planetary boundary’ for biodiversity, beyond which the whole earth system might depart from the relatively stable state characteristic of the Holocene, suggested a threshold value of somewhere between 30 and 90% of the total number of individuals remaining in ecosystems compared with the pre-perturbation state. The very wide uncertainty range makes the proposed boundary of limited use for policy application. Statistical modelling has suggested that the precautionary upper limit to this planetary boundary has been surpassed across much of the Earth’s land surface. This result, combined with the observation that most ecosystems have not ceased functioning, suggests either that lagged effects are likely to manifest in the future, or that the upper limit is overly conservative.

Our results suggest that NPP removal pushes ecosystems towards collapse, while fragmentation reduces the potential for rescue (as shown elsewhere). As more of the Earth’s land surface becomes highly impacted by humans, it is possible that local or regional shifts will combine, and potentially cascade, to lead to global regime shifts in the Earth’s biosphere. Our results point toward large spatial variation in the location of regime shifts, which will be important to predict potential global thresholds, and suggests that mapping such variation in

**Figure 3.** Impact, relative to an undisturbed state, of removal of net primary production (NPP) on the functional structure—mean trophic level (dark blue), trophic range (light blue) and body-mass range (light green)—of four ecosystems: (a) high productivity, aseasonal (Uganda); (b) relatively high productivity, highly seasonal (France); (c) relatively low productivity, seasonal (Gobi Desert, China); and (d) low productivity, aseasonal (Libyan Desert). Simulations and plotting conventions are as in Fig. 1.
thresholds should become an urgent concern. We also show similar variation in the degree of ecosystem transformation, often irreversible, for a given level of NPP removal, which has profound implications for establishing ‘safe operating spaces’, levels of perturbation below which the risk of destabilising ecosystems and the services they provide is likely to remain low. This variability suggests that defining a ‘planetary boundary’ for biodiversity in terms of the intactness of ecosystems will be subject to a high degree of contingency and uncertainty, or at the very least will need to be defined in terms of regional specifics and ecosystem-to-ecosystem and biome-to-biome variation. There is mounting evidence that increasing biodiversity increases the stability of ecosystems. One prominent mechanism for this is related to the insurance hypothesis, which results from redundancy in ecological functioning and from asynchrony of species responses to changing environments. Further Madingley Model experiments focusing on the role of diversity in the responses observed here could provide a fruitful exploration into these biodiversity-stability mechanisms. There is empirical evidence that the evenness of ecological communities declines with increasing latitude and increasing climatic variability. Reduced evenness could reduce the insurance capacity of these ecosystems. However, we note that there is also evidence that evenness alone does not impact the stability of a system, and that overall species richness may be more important. Exploring the relationship between ecosystem stability and community richness in model ecosystems would be an interesting line of enquiry.

There are a number of caveats to the analyses. First, for computational tractability we focused on four ecosystems. These ecosystems were selected to represent a set of the major terrestrial climatic gradients. Further work would have to be conducted to determine the applicability and transferability of the reported patterns to other systems. Second, comprehensive data on ecosystem structure with which to evaluate the predictions of general ecosystem models are generally lacking. However, tests against available data suggest that the Madingley Model...
predicted increases in NPP appropriation by humans in the coming century will cause profound and potentially irreversible ecosystem changes, with unknown but almost certainly negative consequences for humanity. The complexity of real ecosystems is not necessarily sufficient to buffer them against collapse.

In conclusion, most attempts to predict the future of ecological communities have focused on species-centred measures of diversity, and it has been suggested that loss of species could impair ecosystem function. Here we provide a first-principles demonstration of how human impacts are expected to change the structure of whole ecosystems and their functioning, given the complexities that structure those ecosystems. We demonstrate a profound expected impact of the removal of net primary production (NPP)—one of the most important ways that humans influence the biosphere—on the fundamental structure of ecosystems. We demonstrate that ecosystems are expected to show non-linear responses to high but realistic levels of impact. Under more moderate levels of impact, ecosystems are not expected to collapse, but nonetheless undergo major shifts in functional structure. After release from impact, ecosystems cannot be expected to recover to their pre-perturbation state, at least in the absence of external rescue effects. Defining a safe operating space for biodiversity will therefore be difficult, as modelled ecosystems appear to be irreversibly transformed substantially before levels of perturbation that would cause collapse, and levels of impact leading to substantial ecosystem changes varied strongly among ecosystems. Fundamentally, our results suggest that the predicted increases in NPP appropriation by humans in the coming century will cause profound and potentially irreversible ecosystem changes, with unknown but almost certainly negative consequences for humanity. The complexity of real ecosystems is not necessarily sufficient to buffer them against collapse.

**Methods**

**The Madingley Model.** We simulated ecosystems in the Madingley Model. The Madingley Model is a mechanistic General Ecosystem Model that simulates the dynamics of all photoautotrophs, and all heterotrophs with body masses above 10 µg that feed on living organisms. Organisms are characterized by a combination of functional traits rather than by species identity. Categorical traits are used to group organisms into a set of functional groups—for example, leaf strategy and mobility for autotrophic organisms; trophic level (herbivores, omnivores and carnivores), reproductive strategy (semelparity vs. iteroparity), thermoregulatory mode (endothermy vs. ectothermy) and mobility for heterotrophic organisms. Continuous traits—total biomass of autotrophs; and current body mass, juvenile body mass, adult body mass, and optimal prey size of heterotrophs—also determine the outcome of ecological processes. The full source code for the Madingley Model with all necessary input data can be downloaded freely at https://github.com/Madingley. Alternatively, a pre-compiled version—ready to run the simulations and with all input data—can be downloaded at https://dx.doi.org/10.6084/m9.figshare.6531194.

Autotrophic organisms are represented as stocks of plant biomass, and the temporal change of these stocks is modelled through the processes of primary productivity, growth and mortality (including from herbivory). Heterotrophic animals are represented in the model as cohorts, which are assigned to individual organisms occurring in the same modelled grid cell with identical categorical and very similar continuous functional traits. We could not represent individual organisms for computational reasons but the cohort approach enabled the model to predict emergent properties of individuals. Performance of animals and interactions among them are captured in the ecological processes of eating, metabolism, reproduction, mortality and dispersal. The ecological processes of autotrophs and heterotrophs occur within, and are influenced by, the environment. For the terrestrial realm, which was the focus of this study, the environment is represented by air temperature, precipitation, soil water availability, number of frost days, and seasonality of primary productivity. The model can be run at varying spatial and temporal resolutions, but has been tested principally with 1° and 2° grid cells, and with time steps of one month. Within each grid cell, the environment is assumed to be spatially homogeneous. This is an important limitation of the model in its current form.

**Perturbation simulations.** At each of four locations (Table 1), we subjected a 3 × 3 grid of 1°×1° grid cells to different levels of vegetation removal. Removal of vegetation was simulated by allowing a proportion of the primary production in a given cell to be appropriated for human uses: this was defined as a proportion of primary production rather than a proportion of total plant biomass, for comparability with global datasets. Primary production was calculated as a function of climate. Appropriated biomass was assumed to become entirely unavailable to organisms in the modelled ecosystems. Vegetation removal was applied to the model following two protocols. Ten replicates of both protocols at each level of human impact were applied to each of ten simulated ecosystems, which had first been run for 1,000 years with no human impacts to allow dynamic steady-state ecosystems to emerge. All simulations were run with a
time step of one month. At the end of the no-impact initialization simulations, the model states for each of the
ten replicate ecosystems were saved and used as input to the human-impact simulations. Contemporary monthly
climate data were used throughout all simulations. The same monthly climatic values were used in every simu-
lation year (i.e., we did not include inter-annual climatic variability). Temperature, precipitation, and diurnal
temperature range data were derived from Microsoft Research’s FetchClimate tool43. Available soil water capac-
ity estimates were taken from a global dataset43. We did not account for the effect that vegetation removal may
have on local climatic conditions within ecosystems26, which would be an interesting avenue for future study.

The first protocol (Appendix 1, Figure S1a), which was used for most of the results presented, involved
gradually increasing the level of pressure (1% increase per year) until different specified levels of impact were
reached, ranging from 0% removal to 90% removal of primary production. Once the specified level was reached,
the ecosystem was subjected to this level of impact until a total of 100 years had elapsed (from the point at which
the escalation of impact began).

The second ecosystem (Appendix 1, Figure S1b) was used only to test whether ecosystems recovered to the
pre-perturbation state after gradual removal of human pressure (i.e., whether responses to human perturbations
were reversible). This protocol involved gradually increasing the level of pressure (1% increase per year) until
95% of primary production was removed (just above the maximum level currently experienced by any terrestrial
ecosystem43). We also repeated these simulations with maximum rates of removal of 80% and 90% of primary
production, to test the sensitivity of the results. Once these maximum levels were reached, the impact was gradu-
ally decreased (by 1% per year) back to zero impact. These simulations were used to test whether ecosystems
showed irreversible responses to impact.

We simulated four locations with different natural environmental conditions (Table 1): (1) high productivity,
aseasonal (tropical forest in Uganda); (2) relatively high productivity, seasonal (temperate forest in Cen-
tral France); (3) relatively low productivity, seasonal (Gobi Desert, China); and (4) low productivity, aseasonal
(tropical forest in Uganda); (2) relatively high productivity, seasonal (temperate forest in Cen-
tral France); (3) relatively low productivity, seasonal (Gobi Desert, China); and (4) low productivity, aseasonal
(Libyan Desert). The same level of impact was applied to all nine grid cells in the simulated grid.

Output metrics. All model outputs were processed using R Version 3.3.344. At each monthly time-step, we
calculated the following measures of ecosystem structure and functional composition: biomass of autotrophs,
herbivores, omnivores and carnivores; abundance of herbivores, omnivores and carnivores; the range of body
masses and trophic levels, and the mean trophic level of organisms present.

We calculated the trophic index of each cohort (collections of organisms occurring in the same grid cell and
with similar traits) as a continuous value based on its trophic interactions. Using an adaptation of the equation
used by Christensen and Pauly45 we calculated the trophic index at time step t for a cohort i (Ti) based on the
mean trophic index of all prey items weighted by the dietary fraction each represents for i:

\[ T_i = 1 + \frac{\sum_{j \in C} N_j M_j P_{ij} T_j}{\sum_{j \in C} N_j M_j} + \sum_{k \in C} \frac{(B_k P_{ik} T_k)}{\sum_{k \in C} B_k P_{ik}}, \]

where j is a prey cohort, from among all cohorts Nj in the grid cell; Nj is the abundance, Mi the total mass (includ-
ing reproductive potential mass) and Ti the trophic index of prey cohort j; Pij is the fraction of cohort j eaten by
the predator cohort k; ki is an autotroph stock eaten, from among all stocks Ni in the grid cell; Bj is the biomass and
Tk the trophic index of the stock (Tk = 1); Pij is the fraction of stock k eaten by cohort i. For the calculation of the
fraction of prey cohorts and plant stocks eaten, and parameters for the relevant equations, see Ref.20.

The mean trophic level was calculated as the arithmetic mean trophic index across all cohorts weighted by the
total biomass in each cohort:

\[ MTL = \frac{\sum_{i \in C} N_i M_i T_i}{\sum_{i \in C} N_i M_i} \]

Trophic-level range at time t was calculated as the difference between the maximum and minimum trophic
indices across all cohorts i in a grid cell, standardized by dividing by the difference between a hypothetical
maximum (Tmax = 40.0) and the minimum possible (Tmin = 1.0) trophic indices:

\[ TR = \frac{\max_{i \in NC} T_i - \min_{i \in NC} T_i}{T_{max} - T_{min}}. \]

Body-mass range at time t was similarly calculated as the difference between the maximum and minimum
body masses across all cohorts i in a grid cell, standardized by dividing by the difference between the max-
imum (Mmax) and minimum (Mmin) potential body masses allowed in the model (0.00001 g and 150,000,000 g,
respectively):

\[ MR = \frac{\max_{i \in NC} M_i - \min_{i \in NC} M_i}{M_{max} - M_{min}}. \]

Data availability
This paper is simulation based and so there is no raw data. All of the source code required to run the simulations
is publicly available (see “Methods”).
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Author contributions
T.N., D.P.T., M.B.J.H. and D.W.P. designed the study. T.N. and M.B.J.H carried out the analyses. T.N., D.P.T., M.B.J.H., J.P.W.S. and D.W.P. wrote the manuscript. T.N., D.P.T. and M.B.J.H. contributed equally to the study.

Competing interests
The authors declare no competing interests.

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