The subsurface-shear shaped solar $\alpha\Omega$ dynamo

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Abstract

We propose a solar dynamo model distributed in the bulk of the convection zone with toroidal magnetic-field flux concentrated in a near-surface layer. We show that if the boundary conditions at the top of the dynamo region allow the large-scale toroidal magnetic fields to penetrate close to the surface, then the modeled butterfly diagram for the toroidal magnetic field in the upper convection zone is formed by the sub-surface rotational shear layer. The model is in agreement with observed properties of the magnetic solar cycle.

Subject headings: Dynamo — Magnetohydrodynamics (MHD) — Sun:dynamo
1. Introduction

It is widely believed that the 11-year sunspot activity is produced and organized by large-scale magnetic fields generated somewhere in the deep convection zone. Most of the solar dynamo models suggest that the toroidal magnetic field that emerges on the surface and forms sunspots is generated near the bottom of the convection zone, in the tachocline or just beneath it in a convection overshoot layer, (see, e.g., Choudhuri et al., 1995; Rüdiger & Brandenburg, 1995; Dikpati & Charbonneau, 1999; Bonanno et al., 2002; Tobias & Weiss, 2007). The belief in a deep-seated solar dynamo comes from the fact that this region is sufficiently stable, and can store magnetic flux despite the magnetic flux-tube buoyancy effect (Parker, 1975; Spiegel & Weiss, 1980; van Ballegooijen, 1982; Spruit & Roberts, 1983; van Ballegooijen & Choudhuri, 1988; Choudhuri, 1990). The tachocline represents a strong radial shear of the angular velocity. Yet, turbulent diamagnetism (see, e.g., Zeldovich, 1957 or Kitchatinov & Rüdiger, 1992) pumps the magnetic fields from the intensively mixed interior of convection zone to its boundaries. This effect can substantially amplify the toroidal magnetic fields near the convection zone boundaries, (see, e.g., Krivodubskij, 1987; Guerrero & de Gouveia Dal Pino, 2008).

However, an attention was drawn to a number of theoretical and observational problems concerning the deep-seated dynamo models (Brandenburg, 2005, 2006). A renewed discussion of the place of the solar dynamo can be found, e.g., in papers by Brandenburg (2005) and Tobias & Weiss (2007). In particular, there are some arguments that the sub-surface angular velocity shear could play an important role in the dynamo distributed in the convection zone. This shear layer becomes an important ingredient of the flux-transport dynamo models as well, (see, e.g., Guerrero & de Gouveia Dal Pino, 2008).

In this Letter we discuss the importance of the surface boundary conditions for the dynamo models, which include the subsurface shear layer. The boundary conditions
commonly used in the dynamo models correspond to a perfect conductor at the bottom of
the convection zone and vacuum boundary conditions at the top. Both the vacuum and
perfect conductor boundary conditions can be regarded as a mathematically convenient
idealization. The top boundary conditions play a particularly important role because they
control the escape of the dynamo generated magnetic fields from the Sun.

The perfect conductor boundary condition is usually identified as “closed”, (e.g.,
Choudhuri, 1984), because in this case there is no penetration of the generated magnetic
flux to the outside. For the axi-symmetric magnetic fields all magnetic field flux is closed
inside the dynamo region. The vacuum boundary condition is identified as “open”. In this
case the poloidal field lines are open to the outside, and the corresponding poloidal magnetic
flux “freely” escapes. Also, the strength of the toroidal magnetic field goes smoothly to zero
at the boundary. This means that the vacuum boundary condition does not allow to the
toroidal field penetrate to the surface. With such boundary condition it is hardly possible
to form sunspots from the near-surface large-scale toroidal magnetic fields.

Bearing in mind the dynamical nature of magnetic fields on the solar surface one
can model the near-surface behaviour by using a combination of the “open” and “closed”
types of the boundary conditions. Various consequences of this idea were explored, (see,
e.g., Choudhuri, 1984; Tavakol et al. 1995; Covas et al. 1998; Kitchatinov & Mazur, 1999;
Kitchatinov et al., 2000; Tavakol et al. 2002; Käpylä et al., 2010). Here, we apply this
approach to a solar dynamo model that extends from the bottom of the convection zone
to the top, including the region of the strong sub-surface rotational shear. We show that
allowing the toroidal magnetic flux to penetrate to the surface brings the butterfly diagram
of the toroidal large-scale magnetic field in the upper convection zone and also the phase
relations between the different components of the dynamo-generated magnetic field in
agreement with solar-cycle observations.
2. Dynamo equations

The evolution of the axi-symmetric magnetic field (\(B\) being the azimuthal component of the magnetic field, \(A\) is proportional to the azimuthal component of the vector potential) is governed by the following equations:

\[
\begin{align*}
\frac{\partial A}{\partial t} &= r \sin \theta \mathcal{E}_\phi, \\
\frac{\partial B}{\partial t} &= -\sin \theta \left( \frac{\partial \Omega}{\partial r} \frac{\partial A}{\partial \mu} - \frac{\partial \Omega}{\partial \mu} \frac{\partial A}{\partial r} \right) + \frac{1}{r} \frac{\partial \mathcal{E}_\phi}{\partial r} + \frac{\sin \theta}{r} \frac{\partial \mathcal{E}_\phi}{\partial \mu},
\end{align*}
\]

where,

\[
\begin{align*}
\frac{r \sin \theta \mathcal{E}_\phi}{r} &= \frac{\partial^2 A}{\partial \mu^2} + \frac{1}{r} \left( \mathcal{E}_r \right) \\
\mathcal{E}_r &= \frac{\eta f_1}{r} \left. \left( \frac{\partial f_2}{\partial \mu} + \frac{\partial f_1}{\partial \mu} (1 - \mu^2) \frac{\partial \sin \theta B}{\partial r} + 2f_1 \mu \sin \theta \frac{\partial B}{\partial r} \right) \right|_r \\
r \mathcal{E}_\theta &= \frac{\eta f_1}{r} \left. \left( \frac{\partial f_2}{\partial \mu} + 2 \frac{\partial f_1}{\partial \mu} \mu \right) \frac{\partial r B}{\partial r} + 2f_1 \mu \sin \theta \frac{\partial B}{\partial \mu} \cos 2\theta + f_3 \mu \sin \theta B \right|_r \\
\end{align*}
\]

These equations are similar to those used by Pipin & Seehafer (2009) and Seehafer & Pipin (2009). We use the same notations for the functions and parameters as in the paper of Pipin (2008) (hereafter, P08). Here, \(G = \partial_r \log \rho\) is the density stratification scale. Functions \(f_{1,2,3,10}^{(a,d)}\) depend on the Coriolis number \(\Omega^* = 2\tau_c \Omega_0\); functions \(\psi_{\eta,\alpha}\) describe magnetic quenching and depend on \(\beta = B/\sqrt{\mu_0 \rho u^2}\). For reference, these functions are given in Appendix. The parameter \(C_\alpha\) controls the strength of the \(\alpha\)-effect. In the presented model the \(\alpha\)-effect is distributed in the bulk of the convection zone. For a more clear
demonstration of the boundary condition impact, we confine the $\alpha$-effect in a low-latitude region where the radial gradient of the angular velocity is positive in the most part of the solar convection zone. Similarly to Dikpati et al. (2004) we specify the confinement function:

$$f(\theta) = \left(1 + e^{30(|\theta-\pi/2|-\pi/6)}\right)^{-1}. \quad (6)$$

In the radial direction the $\alpha$-effect depends on the density stratification, $G$, and function of the Coriolis number $f_{10}^{(\alpha)}(\Omega^*)$. We introduce parameter $C_\eta$ to control the turbulent diffusion coefficient, $\eta_T = C_\eta \eta_T^{(0)}$, where $\eta_T^{(0)} = \tau_c \bar{u}^2 / 3$. The internal parameters of the solar convection zone are given by Stix (2002). At the top of the solar convection zone the stratification is strongly deviates from adiabatic, and also the turbulence parameters vary sharply. For this reason we confine the integration domain between $0.71R_\odot$ and $0.972R_\odot$ in radius, and it extends from the pole to pole in latitude. The differential rotation profile, $\Omega = \Omega_0 f_\Omega(x, \mu)$ (shown in Fig.1a) is a slightly modified version of the analytical approximation proposed by Antia et al. (1998):

$$f_\Omega(x, \mu) = \frac{1}{\Omega_0} [\Omega_0 + 55 (x - 0.7) \phi(x, x_0) \phi(-x, -0.96) - 200 (x - 0.95) \phi(x, 0.96)] + (21P_3(\mu) + 3P_5(\mu)) \left(\frac{\mu^2}{j_p(x)} + \frac{1 - \mu^2}{j_e(x)}\right)/\Omega_0 \quad (7)$$

where $\Omega_0 = 2.87 \cdot 10^{-6} \text{s}^{-1}$ is the equatorial angular velocity of the Sun at the surface, $x = r/R_\odot$, $\phi(x, x_0) = 0.5 [1 + \tanh [100(x-x_0)]]$, $x_0 = 0.71$. The distribution of the Coriolis number, turbulent diffusivity and the RMS convection velocity are shown in Fig.1b. The radial profile of the $\alpha$-effect is shown in Fig.1c.

At the bottom of the integration domain we apply the perfect conductor ("closed") boundary conditions: $\mathbf{E}_\theta = 0$, $\mathbf{A} = 0$. The boundary conditions at the top are defined as the
Fig. 1.— Internal parameters of the solar convection zone: a) the contours of the constant angular velocity are plotted for the levels $(0.75 - 1.05)\Omega_0$ with a step of $0.025\Omega_0$, see Eq.(7); b) turnover convection time $\tau_c$, turbulent diffusivity $\eta_T$, RMS convective velocity $U'_c$; c) the radial profile of the dynamo $\alpha$-effect, $\alpha^\rho = \eta_T G f_{10}^{\alpha}$, see Eq.(3). The distance is measured in...
following. Bearing in mind the idea of the partial escape of the toroidal flux from the Sun discussed in Introduction, we explore a combination of the “open” and “closed” boundary conditions at the top, controlled by a parameter $\delta$. For the toroidal field we use condition:

$$\delta \frac{\eta T}{r_e} B + (1 - \delta) E_\theta = 0. \quad (8)$$

This is similar to the boundary condition discussed by Kitchatinov et al. (2000). For the poloidal field we apply a combination of the local condition $A = 0$ and condition of smooth transition from the internal poloidal field to the external potential (vacuum) field:

$$\delta \left( \frac{\partial A}{\partial r} \bigg|_{r=r_e} - \frac{\partial A^{(vac)}}{\partial r} \bigg|_{r=r_e} \right) + (1 - \delta) A = 0, \quad (9)$$

where the external potential field is:

$$A^{(vac)} (r, \mu) = \sum a_n \left( \frac{r_e}{r} \right)^n \sqrt{1 - \mu^2} P^1_n (\mu), \quad (10)$$

$P^1_n (\mu)$ is the associated Legendre polynomial of degree $n$. For the numerical implementation of Eq.(9), we take a one-side finite difference approximation for the radial derivative at an angular mesh point $\mu_j$:

$$\frac{\partial A_j}{\partial r} \bigg|_{r=r_e} = \frac{3A_{N,j} - 4A_{N-1,j} + A_{N-2,j}}{2h_r},$$

where $h_r$ is the radial discretization interval, and consider the expansion (10) at the top boundary $r = r_e$: $A_{N,j} = \sum a_n \sqrt{1 - \mu^2} P^1_n (\mu_j)$. Then, we define matrices $M^{'(a)}_{nj} = \sqrt{1 - \mu^2} P^1_n (\mu_j)$ and $\bar{M}_{jn} = n \sqrt{1 - \mu^2} P^1_n (\mu_j)$, with $\mu_j$ being collocation points of $P^1_n$ (see, Boyd, 2001). This procedure allows us to express coefficients $a_n$ in (10) via the values of potential $A$ at the grid-points: $a_n = M'^{(a)}_{nj}^{-1} A_{N,j}$. Substituting this in Eq.(9) and solving it we get:

$$A_{N,j} = \delta \left( 3E_{jk} + 2h_r \bar{M}_{jk} M'^{(a)}_{nk}^{-1} \right)^{-1} (4A_{N-1,jk} - A_{N-2,k}),$$

where $E$ is a unit diagonal matrix.
3. Results and discussion

Parameter $\delta$ in the boundary conditions describes a transition between the “closed” ($\delta = 0$) to “open” ($\delta = 1$) boundaries. Physically, it controls penetration of the dynamo-generated fields into the outer atmosphere.

Decreasing $\delta$ in Eqs. (8,9) results in stronger tangential and weaker radial large-scale magnetic fields at the surface. While the strong toroidal magnetic field is a desired feature of the model, the weak radial magnetic field decreases the efficiency of the radial subsurface shear to produce large-scale toroidal magnetic fields. In fact, the simulations reveal that the critical dynamo number, $C_\alpha$, is greater when the penetration parameter $\delta$ is smaller. For this reason we consider the case of a small deviation from the vacuum (“open”) boundary conditions. Moreover, in order to match the dynamo period to the solar cycle we choose the magnetic diffusivity parameter $C_\eta = 0.05$, which is significantly lower than the value predicted by the mixing length theory. To demonstrate the effect of the new boundary conditions with the field penetration we show for comparison in Figure 2 and 3 the results of two runs for $\delta = 0.95$ (corresponding to a partial penetration of toroidal field) and $\delta = 1$ (the vacuum boundary conditions).

These results show that allowing the large-scale toroidal magnetic field to penetrate into the surface layers of the Sun changes the direction of the latitudinal migration of the toroidal field activity and produces the magnetic butterfly diagram in a good qualitative agreement with solar-cycle observations. The dynamo-wave penetrates to the surface and propagates along the iso-surface of angular velocity in the subsurface shear layer. This is in agreement with the Yoshimura rule (Yoshimura, 1975).

Dikpati et al. (2002) explored generation of toroidal magnetic fields by the $\Omega$-effect in the sub-surface shear layer in the Babcock-Leighton-type dynamo models. They found that the phase relation between the sub-surface toroidal magnetic field and the surface radial
The case of $\delta = 0.95$ (the top boundary conditions with a partial penetration of toroidal magnetic fields into the outer layers of the Sun). The top panel shows the near-surface toroidal component of large-scale magnetic fields (contour lines) and the surface radial component of the field (color background). The bottom panel shows snapshots of the poloidal (contour lines) and toroidal magnetic field components for a half of the magnetic cycle. The maximum strength of the toroidal field is about 1KG. Time is in years.

The same as in on the Fig. 2 for the vacuum boundary conditions, $\delta = 1$. 
magnetic field is inconsistent with observations. We believe that this inconsistency was because in their model the source of the surface poloidal magnetic fields was related with the bottom of convection zone. Therefore, the sub-surface toroidal field that is generated in the sub-surface shear layer does not contribute directly to the generation of the poloidal magnetic field.

Both of our simulation runs were started with the initial magnetic fields with of the equally mixed symmetrical and antisymmetrical relative to the equator components. The evolution retains only the dipole-like parity configurations in both cases though the relaxation time in the penetration case of $\delta = 0.95$ is much longer than in the case of the pure vacuum boundary conditions. If we relax the confinement of the alpha-effect in latitude, i.e., $f(\theta) = 1$ instead of Eq. (6), the general patterns of Fig. 2 are hold except that the maximum of the toroidal magnetic field is shifted to higher latitude $\approx 40^\circ$. Therefore we can conclude that the $\alpha\Omega$- dynamo model with the boundary conditions that allow a small partial penetration of the toroidal field into the outer layers of the Sun, can robustly reproduce the solar-cycle butterfly diagram for the near-surface large-scale magnetic field evolution. These results demonstrate the importance of the subsurface rotational shear layer in the solar dynamo mechanism.

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4.1. Appendix

Here, we give the definitions of the functions which were used in the model. The given functions describe the efficiency of the Coriolis force and the mean magnetic field to act on the stratified turbulence and to produce the dynamo $\alpha$-effect, anisotropy of magnetic diffusion, turbulent magnetic pumping, magnetic quenching of the turbulent effects, etc. These effects are discussed in (Pipin, 2008). Functions $f_{1,2,3,10}$ depend on the Coriolis number $\Omega^* = 2\tau_c\Omega_0$; functions $\psi_{\eta,\alpha}$ describe magnetic quenching and depend on $\beta = B/\sqrt{\mu_0\rho \bar{u}^2}$:

$$f_1^a = \frac{3}{4\Omega^*} \left( (\Omega^* + 3) \frac{\arctan \Omega^*}{\Omega^*} - 3 \right),$$
$$f_2^d = \frac{3}{4\Omega^*} \left( (\epsilon - 1) \Omega^* + 3\epsilon + 1 \frac{\arctan \Omega^*}{\Omega^*} - (3\epsilon + 1) \right),$$
$$f_3^a = \frac{3}{4\Omega^*} \left( (\epsilon - 1) \Omega^* + 3\epsilon - 3 \frac{\arctan \Omega^*}{\Omega^*} + 3 - \epsilon \right),$$
$$f_{10}^a = -\frac{1}{3\Omega^*} \left( 2(\Omega^* + 1) (\Omega^* + \epsilon - 1) \frac{\arctan \Omega^*}{\Omega^*} - (2\epsilon + 1) \Omega^* + 3\epsilon - 3 \right),$$
$$\psi_{\eta} = \frac{3}{16\beta^2} \left( (4\beta^2 + 3) \epsilon + 4\beta^2 - 1 \frac{\arctan (2\beta)}{2\beta} + 1 - 3\epsilon \right)$$
$$\psi_{\alpha} = \frac{5}{128\beta^4} \left( 16\beta^2 - 3 - 3 (4\beta^2 - 1) \frac{\arctan (2\beta)}{2\beta} \right).$$

The parameter $\epsilon$ measures the ratio between the turbulent energies of the kinetic and magnetic fluctuations $\epsilon = \bar{b}^2/\mu_0\rho \bar{u}^2$, in the background turbulence (in the absence of the mean-fields). Note, in notation of Pipin(2008), the turbulent diffusivity and $\alpha$-effect quenching functions are defined as follows, $\psi_{\eta} = \phi_3 + \phi_2 - 2\phi_1$, and $\psi_{\alpha} = -3/4\phi_6^a$, respectively. Expressions for $\phi_{1,2,3}$ and $\phi_6^a$ are given in (Pipin, 2008).

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