Maternal exposure to PM$_{2.5}$ may increase the risk of congenital hypothyroidism in the offspring: a national database based study in China

Li Shang$^{1,2}$, Liyan Huang$^{1,2}$*, Wenfang Yang$^{1,3}$*, Cuifang Qi$^1$, Liren Yang$^{1,2}$, Juan Xin$^{1,2}$, Shanshan Wang$^2$, Danyang Li$^{1,4}$, Baozhu Wang$^3$, Lingxia Zeng$^2$ and Mei Chun Chung$^{3,1}$

Abstract

Background: Maternal exposure to air pollution is related to fetal dysplasia. However, the association between maternal exposure to air pollution and the risk of congenital hypothyroidism (CH) in the offspring is largely unknown.

Methods: We conducted a national database based study in China to explore the association between these two parameters. The incidence of CH was collected from October 1, 2014 to October 1, 2015 from the Chinese Maternal and Child Health Surveillance Network. Considering that total period of pregnancy and consequently the total period of particle exposure is approximately 10 months, average exposure levels of PM$_{2.5}$, PM$_{10}$ and Air Quality Index (AQI) were collected from January 1, 2014 to January 1, 2015. Generalized additive model was used to evaluate the association between air pollution and the incidence of CH, and constructing receiver operating characteristic (ROC) curve was used to calculate the cut-off value.

Results: The overall incidence of CH was 4.31 per 10,000 screened newborns in China from October 1, 2014 to October 1, 2015. For every increase of 1 $\mu$g/m$^3$ in the PM$_{2.5}$ exposure during gestation could increase the risk of CH (adjusted OR = 1.016 per 1 $\mu$g/m$^3$ change, 95% CI, 1.001–1.031). But no significant associations were found with regard to PM$_{10}$ (adjusted OR = 1.009, 95% CI, 0.996–1.018) or AQI (adjusted OR = 1.012, 95% CI,0.998–1.026) and the risk of CH in the offspring. The cut-off value of prenatal PM$_{2.5}$ exposure for predicting the risk of CH in the offspring was 61.165 $\mu$g/m$^3$.

Conclusions: The present study suggested that maternal exposure to PM$_{2.5}$ may exhibit a positive association with increased risk of CH in the offspring. We also proposed a cut-off value of PM$_{2.5}$ exposure that might determine reduction in the risk of CH in the offspring in highly polluted areas.

Keywords: Particulate matter, Air quality index, Congenital hypothyroidism, Cut-off value
Background

Rapid economic development and increasing urbanization are mainly responsible for increased air pollution emissions. Air pollution has become a global environmental burden since currently 92% of the world’s population lives in highly polluted areas where air pollution level usually exceeds the stated values of WHO guideline [1]. As a result of rapid industrialization that occurred in the past two decades, China is heavily affected by air pollution. It was reported that the annual mean concentration (standard deviation [SD]) of PM$_{2.5}$ was 51.5 (21.6) μg/m$^3$ in China from 2014 to 2016, which is considerably higher than the standard guidelines (10 μg/m$^3$ for PM$_{2.5}$) of the WHO [2, 3]. In addition, the exposure levels of other pollutants have been reported to exceed the levels specified by the WHO guideline [4, 5].

In recent years, the adverse effects of environmental pollution on public health have been studied extensively. Several studies have reported that air pollutants can enter the human body through the respiratory tract and may cause inflammation reaction and oxidative stress, etc. [6], which may increase the risk for the development of cardiovascular and respiratory diseases [7–9]. This has led to more than 3 million premature deaths globally each year [10–14]. As a result of the increase in the tidal volume and respiratory rate [15], pregnant women are at higher risk from exposure to air pollution than non-pregnant women. Furthermore, embryo and fetal development are highly susceptible to air pollutants, notably particulate matter (PM), which can enter the placenta through the respiratory tract and the maternal blood. PM$_{2.5}$ is more likely to contain harmful substances, such as polycyclic aromatic hydrocarbons (PAHs), heavy metals [16–18]. These substances might pose further threat to maternal and fetal health including gestational diabetes, birth defects and low birth weight [19–23]. Among these disorders, the impact of PM on fetal development has received considerable attention.

Previous studies regarding the effects of air pollution on fetal development have focused on fetal growth and birth defects. However, the effects of air pollution on fetal thyroid function have been investigated to a lesser extent. The development of fetal thyroid function is crucial for the fetus and congenital hypothyroidism (CH) can be caused if this function is inhibited. And autoimmune conditions such as hypothyroidism, diabetes and immune mediated allergic reaction often present with subtle signs and therefore requires a high index of suspicion for diagnosis and prevention strategies [24, 25]. CH exhibits a worldwide annual incidence of 1 in 2000–4000 live births [26]. In China, its incidence varies across provinces. According to nationwide statistics, the incidence of CH in Xinjiang (a province located in the northwest of China) in 2015 was 1 in 5327 live births, while Zhejiang (a province located in the southeast of China) reached an incidence rate of 1 to 1347 live births [27]. Several studies indicated that CH was considered as one of the most common preventable causes of mental retardation [28]. Certain irreversible complications for infants, such as neurological damage, slow growth, delayed skeletal maturation and mental retardation might be caused in case of untimely treatment of CH [29–32]. Therefore, the exploration of additional CH risk factors, such as air pollution plays a crucial role in reducing the incidence of CH and improving the quality of life in the offspring. However, to date only one study has explored the correlation between maternal air pollution exposure during gestation and fetal thyroid function. It was suggested that PM$_{2.5}$ exposure during the third trimester of gestation was associated with lower TSH levels and the FT4/FT3 ratio in cord blood. Consequently, the effects of air pollution exposure during gestation on the thyroid function of fetuses and infants require further investigation, notably in highly polluted areas.

Since 2013, China has been gradually including PM$_{2.5}$, PM$_{10}$ and other air pollutants in the national air quality monitoring network and in the public real-time monitoring data. In addition, the annual incidence of CH was recently summarized and published by the National Office of Maternal and Child Health Surveillance. Therefore, a national study was conducted to examine the association between annual exposure levels of PM$_{2.5}$, PM$_{10}$ and air quality index (AQI) in 2014 with the annual incidence of CH from October 1, 2014 to October 1, 2015.

Methods

Study design

A database based study was conducted to analyze the association between maternal exposure to air pollution and the incidence of CH in 30 provinces of China. Average incidence of CH in 30 provinces were collected from the October 1, 2014 to October 1, 2015. Considering that the exposed window of pregnancy is approximately 10 months, average exposure levels of PM$_{2.5}$, PM$_{10}$ and AQI in 30 provinces were collected from January 1, 2014 to January 1, 2015, and then calculated the relationship between air pollution exposure and the risk of CH in the offspring.

Data resource

CH newborn screening

The annual incidence of CH in 30 provinces of China from October 1, 2014 to October 1, 2015 was collected in the present study. The data was derived from the annals on the Chinese neonatal metabolic disease screening in 2015, which was compiled by the
National Office of the Maternal and Child Health Surveillance (NOMCHS) based on the Chinese Newborn Screening information System (CNSIS), and published in the Chinese Maternal and Child Health Surveillance Network in February 2017 [27]. CNSIS is a screening program for newborns performed across the country, including the screening of CH. Whole-blood was collected from every newborn on filter papers for measuring the serum levels of thyrotropin (TSH) between 72 h and 7 days following birth. While premature, low birth weight or sick neonates and those who were discharged early within 7 days of birth were sampled within 20 days after birth. Any cases which indicated elevated TSH levels (higher than 10 to 20 μIU/ml) through double testing were followed-up and subjected to further diagnosis. Finally, the diagnosis of CH was confirmed by trained pediatric endocrinologists based on serum thyroid function tests [increased serum TSH, and reduced serum free thyroxine (FT4)]. And each newborn diagnosed with CH was reported to local newborn screening centers (LNBSCs). Through the summary, annual screening results of each province were published on the public website by NOMCHS finally. The screening and diagnosis of the newborns adhered to the Technological Guideline on National Newborn Screening (2010) issued by the Ministry of health of the people’s republic of China. In addition, a written informed consent on CH screening was obtained from the neonates’ parents prior to the collection of their blood samples.

**Exposure assignment**

Considering that the gestation period is approximately 10 months, we collected and summarized the monthly average levels of PM$_{2.5}$, PM$_{10}$ and AQI in cities of 30 provinces (with the exception of Tibet, Taiwan, Hong Kong and Macau) of China from January 1, 2014 to January 1, 2015. In the present study, ambient air pollution exposure data were provided by the Chinese Air Quality Online Monitoring and Analysis Platform [33], which had collected and released real-time and historical data on PM$_{2.5}$, PM$_{10}$ and AQI exposure levels. The data of this website originated mainly from the real-time data of ambient air monitoring which was recorded by the Ministry of Ecology and Environment of the People’s Republic of China [34]. Each pollutant was continuously measured, PM$_{2.5}$ was measured by β ray and Tapered Element Oscillating Microbalance, and PM$_{10}$ was measured by light scattering methods.

**Covariate factor**

Taking into account the difference of economy and other pollution factors among 30 provinces, our study also considered including per capital gross regional product (GRP) and the content of heavy metals [including lead (Pb), mercury(Hg), arsenic (As)] in wastewater in 30 provinces from January 1, 2014 to January 1, 2015 as potential covariates factors. Those indicators were collected from China Statistical Yearbook [35, 36] and incorporated into models as potential confounding factors.

**Statistical analysis**

GeoDa version 1.10 was used to visualize the average incidence of CH in 30 provinces from October 1, 2014 to October 1, 2015. The average exposure values of PM$_{2.5}$, PM$_{10}$ and AQI from January 1, 2014 to January 1, 2015 in each province were estimated based on monthly average exposure records in all cities of each provinces by the weighted average method. Spearman’s rank correlation analysis based on non-parameter was used to analyze the correlations among each indicators. Generalized additive model (GAM) was used to estimate the nonlinear relationship between air pollution exposure during pregnancy and the incidence of CH. Poisson distribution was fitted in GAM model because the incidence of CH was qualitative data and belonged to small probability event. The basis GAM equation is listed as below,

$$\log[E(Y_t)] = \alpha + \sum_{i=1}^{n} \beta_i X_i + \sum_{j=1}^{m} f_j(Z_i)$$

In this equation, $E(Y_t)$ is the expected incidence of CH in province t, $\alpha$ is the intercept and $\beta$ is the parameter vector that predict the effect of air pollutants (PM$_{2.5}$, PM$_{10}$, AQI); $X_i$ represents the exposure concentration of air pollutants (PM$_{2.5}$, PM$_{10}$, AQI) in province t; $f(Z_i)$ is natural spline function, and $Z_i$ represents the exposure value of covariate factors in province t, including per capital GRP, the content of heavy metal in wastewater(Pb, As, Hg); df means the degrees of freedom. Based on Akaike information criterion (AIC), the degrees of freedom of covariate were determined. Then, the single pollutant model was built as follow:

$$\log[E(Y_t)] = \beta X_t + \text{ns(PCGRP, df = 7)} + \text{ns(Pb, df = 3)} + \text{ns(As, df = 3)} + \text{ns(Hg, df = 5)} + \alpha$$

In the basic model, single pollutant was gradually added to evaluate the impact of each 1 unit increase of air pollution exposure on the incidence of CH.

Furthermore, 30 provinces was divided into high-incidence areas and low-incidence areas according to the 50th quantile of CH incidence (3.615 per 10,000 live births). Independent sample T test was used to compare the differences of pollutant indicators between those two areas. And then the cutoff value of air pollution for predicting CH and its sensitivity and specificity were
calculated by constructing receiver operating characteristic (ROC) curves and Youden index which is equal to the sum of sensitivity and specificity minus 1. In addition, we grouped the 30 provinces into high-polluted areas and low polluted areas based on the cut-off value, and used Fisher exact test to verify the cut-off value of air pollution. GAM was performed using 'mgcv (Mixed GAM Computation Vehicle)' package in R version 3.5.3, while other statistics were performed by the SPSS version 18.0. Significant results were considered at a $P$ value lower than 0.05 ($P < 0.05$).

**Results**

**Incidence of CH in China**

In 2015, approximately 15.1 million newborns were screened in China. A total of 6500 cases were identified as CH, which suggested an overall incidence rate of 4.31 per 10,000 screened newborns. The provinces with the highest incidence were mainly concentrated in the eastern coastal areas of China (Fig. 1), among which Zhejiang (7.42 per 10,000) and Fujian (7.34 per 10,000) were mainly affected regions. The incidence of CH in the central provinces was relatively lower, which was between 2.83 per 10,000 and 5.04 per 10,000. The provinces with the lowest incidence were mainly located in the Western areas, among which Xinjiang (1.88 per 10,000) had the lowest incidence of CH in China.

**Characteristics of exposure factors in China**

Basic characteristic of CH and other pollutant indices were showed in Table 1. Moreover, it seemed that PM$_{2.5}$ exposure and per capital GRP were weakly correlated with the incidence of CH, while spearman’s correlation coefficients were 0.350 and 0.434, separately. And there are strong correlations among various air pollutants (Table 2).
Associations between air pollution exposure and the incidence of CH

It was observed that an increase of 1 μg/m³ in average concentration of PM2.5 could increase the risk of CH (adjusted OR = 1.016 per 1 μg/m³ change, 95%CI, 1.001–1.031). However, significant associations were not found with regard to PM 10 (adjusted OR = 1.009, 95%CI, 0.996–1.018) or AQI (adjusted OR = 1.012, 95%CI, 0.998–1.026) and the risk of CH in the offspring (Table 3).

Approximate cut-off value of PM2.5 for decreasing the incidence of CH effectively

Areas with high-incidence had slightly higher levels of PM2.5 exposure (t = 2.407, p = 0.023) and per capital GRP (t = 2.805, p = 0.011) compared with those with low-incidence (Additional file 1: Table S1). Based on the positive relationship between prenatal PM2.5 exposure and the incidence of CH for the offspring, ROC curve was performed to identify cut-off value of prenatal exposure concentrations of PM2.5 for predicting and decreasing the risk of CH. Prenatal PM2.5 exposure was associated with the risk of CH with Area Under Curve (AUC) of 0.749 (95%CI, 0.572–0.926) (Fig. 2). By calculating Yoden index, the cutoff value of PM2.5 for predicting CH was 61.165 μg/m³, with a sensitivity of 0.600 and a specificity of 0.867 (Fig. 3).

Sensitivity analysis

In order to evaluate the stability of the above results, 30 provinces were divided into low-pollution areas (n = 18) and high-pollution areas (n = 12) based on the cut-off value of PM2.5 (61.165 μg/m³). Finally 12 out of 15 low-incidence areas were low-polluted, while 10 out of 15 high-incidence areas were high-polluted. The results of Fisher’s accuracy test showed that the degree of air pollution had a significant effect on the incidence of CH (p = 0.025) (Additional file 1: Table S2).

Discussion

Associations between PM2.5, PM10, AQI and CH risk in the offspring

The present study provided evidence that the high long-term PM2.5 exposure levels during pregnancy might be associated significantly with the elevated incidence of CH in the offspring. This association was not found with regard to PM10 and AQI. We further demonstrated an approximate cut-off value of PM2.5 (61.165 μg/m³) below which the risk of CH in the offspring could be effectively reduced.
Maternal high exposure to PM$_{2.5}$ might increase the risk of CH in the offspring

The present findings reported that per 1 μm/m$^3$ particle increase in PM$_{2.5}$ exposure during gestation was associated with the risk of CH in the offspring. The adjusted OR for this comparison was 1.016 (95% CI, 1.001–1.031). To the best of our knowledge, this was the first study to report on associations between maternal exposure to air pollution and the risk of CH in the offspring. The study by Janssen and colleagues conducted in Belgium suggested that PM$_{2.5}$ exposure during the third trimester of gestation was inversely associated with TSH levels and the levels of the FT4/FT3 ratio in cord blood [37]. However, this study did not analyze the direct effect of PM$_{2.5}$ exposure on the risk of CH in the offspring. In addition, a study that was conducted in animals demonstrated that outdoor as well as indoor airborne particulate matter (APM) extracts were able to strongly interfere with T4 binding to transthyretin (TTR) [38]. Thus, it was speculated that airborne particulate matter might have an effect on the newborn thyroid function. With the exception of these two reports, no other study has been conducted to examine the association between maternal exposure to air pollution and thyroid function in the offspring.

PM$_{2.5}$ may affect fetal thyroid function by interfering with placental nutrition

Previous studies reported that PM$_{2.5}$ exposure might contribute to systemic oxidative stress, which might in turn lead to DNA damage and an increase in the number of placental DNA adducts [39–41]. Alternatively, PM$_{2.5}$ might also bind to receptors required for placental growth factors leading to decreased fetal-placental exchange of oxygen and nutrients [42]. It may impact the growth of fetal endocrine system and thyroid function. In addition, it was found that PM exposure was associated with systemic inflammation and acute
placental inflammation [43–46], whereas inflammation could be associated with inadequate placental perfusion. This reduced perfusion of the placenta can mediate placental inflammatory responses and its biologic sequelae, resulting in impaired transplacental nutrient exchange. Thus, we speculated that inadequate placental perfusion caused by PM2.5 exposure might cause fetal organ and tissue growth restriction in utero might contribute to interference with specific processes, such as the nutrition of the fetus and reduced oxygenation of maternal blood.

Identification of an optimal cut-off value of PM2.5 for controlling CH

Through ROC curves, the cutoff value of PM2.5 for predicting CH for the offspring was calculated as 61.165 µg/m³ with a sensitivity of 0.600 and a specificity of 0.867. In previous studies, the dose-response relationship between air pollution and diseases was mainly explored on the respiratory system. A population-based study in China suggested that the cut-off value of PM2.5 was 83.0 µg/m³ for the prediction of acute exacerbation of chronic obstructive pulmonary disease (AECOPD) by ROC curves, which was higher than the corresponding value for PM2.5 noted in the present study (61.165 µg/m³) [47]. So it seemed that the interference of air pollution on maternal and fetal health may be more sensitive. Based on this, the effect of air pollution on maternal and fetal health should be taken into serious consideration. The results of the statistical analysis of the present study indicated that the monthly average concentration of PM2.5 in various provinces of China ranged from 19.83 µg/m³ to 91.83 µg/m³, which was considerably higher than the environmental air quality standards recommended by the WHO (10 µg/m³) [3]. However, China lacks the guidelines to suggest an interim target for the gradual reduction of air pollution. Therefore, 61.165 µg/m³ for PM2.5 could be used as an interim target with regard to maternal and child health, which may be more suitable for high polluted areas that could aim to gradually reduce air pollution levels.

Advantages and limitations

A main advantage of the present study was the combination of two databases to explore the association between air pollution exposure during gestation and the initial risk of CH in the offspring. Data analysis indicated that the incidence of CH was always higher in high PM2.5-exposed areas. However, a limitation of the present study should also be considered. We were unable to obtain the general demographic characteristics of the pregnant women directly from the Newborn Screening Centre. Thus, the present study did not adjust the potential risk factors for CH, such as gestational age, family history of thyroid function, maternal thyroid diseases, and iodine intake status, which may cause a certain bias in the results obtained. But per capital GRP and the content of heavy metals including Pb, Hg and As in wastewater in 30 provinces were adjusted in regression models in order to minimize bias as far as possible. In addition, although the cut-off value was not based on the global incidence of CH due to lack of authoritative data, it may provide a reference for other countries or areas with high incidence of CH [48, 49].

Future perspectives

The present findings were indicative of high long-term exposure to PM2.5 levels that were associated significantly with an elevated incidence of CH in the offspring investigated.

Although the present study did not reveal an association between PM10 and AQI exposure levels and the risk of CH in the offspring, this is a possible association that could be examined in future studies. Certain reports have shown that PM10 also exhibits an adverse effect on the body and that it may increase the risk of adverse perinatal outcomes. Therefore, it is necessary to conduct epidemiological investigations based on specific human populations in order to analyze the key exposure window and the dose-response relationship between air pollution (PM2.5, PM10, NO2, CO, O3 and SO2) and thyroid function in the offspring. Furthermore, subsequent studies could examine and pinpoint the associations among air pollution, neonatal thyroid function and neurodevelopment in infants with particular emphasis on the molecular mechanism of thyroid metabolism.

Conclusions

The present study suggested that PM2.5 exposure may exert a positive association with an increased risk of CH, and that the average concentration of PM2.5 during pregnancy should be less than 58.500 µg/m³. Our preliminary findings indicated that maternal exposure to air pollution might affect fetal thyroid development. The data can further aid the environmental administrative departments to formulate relevant policies in order to gradually improve air quality in highly polluted areas. Furthermore, the effect of air pollution on neonatal thyroid function and neurodevelopment should be explored and investigated in detail in further studies.

Supplementary information

Supplementary information accompanies this paper at https://doi.org/10.1186/s12889-019-7790-1.

Additional file 1: Table S1. Comparison of exposure characteristics in low-incidence areas and high-incidence areas. Table S2. Fisher exact test of air pollution and the incidence of CH.
Abbreviations
AECOPD: Acute exacerbation of chronic obstructive pulmonary disease; 
APM: Airborne particulate matter; AQI: Air quality index; As: Arsenic; 
AUC: Area under curve; CH: Congenital hypothyroidism; CI: Confidence 
interval; FT4: Free thyroxine; GRP: Gross regional product; Hg: Mercury; 
OR: Odd ratio; PAHs: Polycyclic aromatic hydrocarbons; Pb: Lead; 
PM: Particulate matter; PM10: Inhalable particles; PM2.5: Fine particulate 
matter; ROC: Receiver operating characteristics; SD: Standard deviation; 
TSH: Thyrotropin; TTR: Transthyretin; WHO: World Health Organization

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and ambient air quality continuous automated monitoring system for 
providing the data for the study.

Authors’ contributions
WY and LS conceived the idea and designed the research; LS and LH performed 
the statistical analysis and wrote the manuscript. DL and LZ also performed the 
statistical analysis. CQ, LY, JX, SW, BW and MCC participated in the data collection. 
All authors read, critically revised and approved the final manuscript.

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Availability of data and materials
The datasets generated during the current study are available in two open 
access websites, including Chinese Maternal and Child Health Surveillance 
Network [http://www.mchscn.cn/] and Chinese Air Quality Online 
Monitoring and Analysis Platform [https://www.aqistudy.cn/]. Additional data 
used during the current study are available from the corresponding author 
upon reasonable request.

Ethics approval and consent to participate
This research was approved by the Ethics Committee of Science of Medical 
center, first affiliated hospital of Xi’an Jiaotong University (No: XJFU1AF2018SLk. 
245). It was a database based study, and all data was collected from open ac-

Consent for publication
Not applicable.

Competing interests
The authors declare that they have no competing interest.

Author details
1 Department of Obstetrics and Gynecology, Maternal & Child Health Center, the First Affiliated Hospital of Xi’an Jiaotong University, No. 277, Yanta West Road, Xi’anShaanxi Province 710061 Xi’an, People’s Republic of China. 2 Department of Public Health and Community Medicine, Tufts University School of Medicine, Massachusetts Boston, USA. 3Department ofWomen’s and Children’s Health, Karolinska Institute, Solna, Stockholm, Sweden. 4Northwest Women’s and Children’s Hospital, Xi’an, Shaanxi, People’s Republic of China.

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