Dust in the interplanetary medium

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Abstract
The mass density of dust particles that form from asteroids and comets in the interplanetary medium of the solar system is, near 1 AU, comparable to the mass density of the solar wind. It is mainly contained in particles of micrometer size and larger. Dust and larger objects are destroyed by collisions and sublimation and hence feed heavy ions into the solar wind and the solar corona. Small dust particles are present in large number and as a result of their large charge to mass ratio deflected by electromagnetic forces in the solar wind. For nanodust particles of sizes $\lesssim 1–10 \text{ nm}$, recent calculations show trapping near the Sun and outside from about 0.15 AU ejection with velocities close to solar wind velocity. The fluxes of ejected nanodust are detected near 1 AU with the plasma wave instrument onboard the STEREO spacecraft. Although such electric signals have been observed during dust impacts before, the interpretation depends on several different parameters and data analysis is still in progress.

1. Introduction
Dust is an important component of space plasmas and the properties of dust and plasma are coupled through different processes. They include the charging of the dust particles, the sputtering of the dust species by impinging plasma particles, the dynamical friction and the electromagnetic forces. The presence of dust particles in the plasma can lead to heating, cooling and mass loading or unloading. Most of our understanding of the dust plasma interactions in space is derived from theory. Laboratory measurements provide only limited information. This is particularly true for the nanodust (of size $\lesssim 100 \text{ nm}$, mass $\lesssim 10^{-18} \text{ kg}$) which also evades most of the standard observational techniques. Dust particles reside in the solar wind that fills the interplanetary medium between the orbits of the planets in the solar system. Dust and plasma in situ measurements from spacecraft provide direct information and allow a close view of the dust and its interactions in the solar wind.
In section 2 we will give an overview of the solar system dust cloud and the different observations of dust. In section 3 we describe the different interactions of dust in the solar wind. We then discuss the dynamics and fluxes of nanodust in the solar wind (section 4) and end with a short summary (section 5).

2. Dust origin, evolution and observations

Origin. The vast majority of dust particles in the solar system form as a result of fragmentation of the small solar system objects (figure 1). Asteroids and comets, as well as meteoroids that originate from them, are the major dust source inward of the orbits of the terrestrial planets. Dust particles, except for the smallest ones, also enter the solar system from the interstellar medium and these interstellar dust particles form the most prominent dust component in the region of the orbits of the giant planets and probably also beyond this region, where no in situ measurements have been made.

Zodiacal light observations. The dust particles produce the Zodiacal light [15], which is made of two kinds of radiation. The first is scattering of sunlight, which makes the visible and near-infrared part. The second is thermal emission, which dominates the spectrum at longer wavelengths. The Zodiacal light is the dominant unresolved brightness component of the night sky beyond the terrestrial atmosphere from visible to far infrared ≃100 µm, and it does not exhibit any temporal variation. Its brightness increases toward the Sun, with a local maximum extending along the ecliptic plane that contains the orbits of the planets. Local enhancements in brightness reveal regions of enhanced dust production along cometary orbits (cometary dust trails) and regions of dust accumulation related to asteroid fragments (dust bands). Cosmic dust is also directly observed in the vicinity of comets (dust tails).

Collected samples. Samples of cosmic dust are collected in the upper Earth atmosphere and analyzed with standard laboratory techniques [27]. Various measurements suggest that the dust contains a large fraction of silicates, metal oxides, sulfur compounds as well as carbon.
bearing species. Its elemental composition is close to that of the solar photosphere, but the dust is depleted in hydrogen and other light elements and there is little information on the noble gases content. The dust particles have irregular shape and heterogeneous composition and a fraction of them is porous. The subunits out of which the heterogeneous dust particles are made cover a broad range of sizes with average \( \approx 0.1 \mu m \). Nanometric subunits are also observed and interplanetary dust particles frequently contain, for instance, FeNi particles of 5–10 nm size [22]. At these small scales, analysis is difficult since full laboratory analysis requires samples of mass \( \geq 10^{-12} \) kg (sizes \( \geq \) several micrometers) [29].

**Dust flux near 1 AU.** The size distribution of solar system objects ranges from the kilometre size of comet nuclei and asteroids to dust smaller than micrometric size. It is is only known near 1 AU from the Sun (the Earth’s orbit). Meteor observations, lunar crater studies and in situ measurements with conventional dust detectors reach down to approximate sizes of 0.1 \( \mu m \). Recent detections of smaller dust will be discussed below, but the transition to molecule and atom sizes is outside the observing domain. The cumulative flux, \( F(m) \) of particles with mass \( \geq m \) per area and time unit follows roughly \( F(m) \sim m^{-5/6} \). The flux is enhanced compared with this power law in certain mass intervals (cf [5, 8]). The mass density of solid objects of mass \( m \leq 10^{-3} \) kg at 1 AU is comparable to the solar wind mass density \( \sim 10^{-20} \) kg m\(^{-3}\) and is mainly provided by dust of size \( s \sim 10 \mu m \). These large dust particles also provide the maximum surface area (for interaction with the solar wind and for producing thermal emission and scattered light).

**Dust orbits and distribution.** The motion of the dust in the solar system is determined by the initial conditions (velocity and distance from the Sun) and by the acting forces. The main forces are solar gravity, radiation pressure, solar wind pressure and the Lorentz force. The dust particles of size \( \gtrsim 1 \mu m \) are predominantly influenced by the gravitational force, so that they move approximately in Keplerian orbits. Their initial orbits are close to that of the parent body. The perturbations modify the perihelion of the orbits and the longitudes of the ascending node. In result, the dust cloud is approximately cylindrically symmetric relative to the axis through the Sun perpendicular to the ecliptic. These large dust particles produce the vast majority of the observed Zodiacal light. Due to the non-radial component of the radiation pressure force the particles gradually lose orbital energy and angular momentum; this reduces the semi-major axis and eccentricity of the orbits (i.e. Poynting–Robertson effect). As a result, the particles migrate toward the Sun on time scales \( \leq 10^7 \) years. Note that the impinging solar wind particles also transfer momentum onto the dust. This process is generally negligible, but the tangential component of the momentum transfer gives rise to the plasma Poynting–Robertson effect. It is of the same order of magnitude as the normal Poynting–Robertson effect, and more important in the early solar system as well as in some planetary systems surrounding other stars [26]. During their passage toward the Sun the dust particles experience catastrophic collisions so that the inner solar system dust cloud contains a large fraction of freshly produced fragments. The Lorentz force plays an important role in the dynamics of the small grains, as described below. The interstellar dust particles stream into the inner solar system from a direction similar to that of the interstellar wind [16]. Those interstellar dust particles which are not deflected by the Lorentz force or the radiation pressure are focused by the solar gravitational attraction.

**Dust detection.** In situ dust measurements in space are mainly based on the detection of the charges generated by dust impacts (cf [2]). Dust-generated charges also influence plasma measurements from spacecraft, such as ion mass spectrometer data and magnetic and electric
field measurements. The latter was observed for the first time in space aboard Voyager during Saturn planetary ring crossings \cite{1, 10}. Electric signals produced by dust impacts have also been studied during comet encounters and other ring crossings (cf \cite{23}). Since 2007 the STEREO/WAVES instrument \cite{3} measures near 1 AU a large number of voltage pulses of shape similar to that previously observed during dust impacts. The large number of events can be explained by impacts of nanodust particles that are accelerated to large velocities and hence generate a large impact charge \cite{24}. Analysis of similar events measured onboard Cassini during Jupiter flyby showed that they were simultaneous with the detection of nanodust particles by a conventional dust analyzer instrument \cite{25}. Note that the conditions for dust charging and dynamics in planetary magnetospheres are different from those in the solar wind.

3. Dust-generated species in the solar wind

\textit{Dust effects on the solar wind.} Dust particles are destroyed by mutual collisions, sublimation and sputtering, and these processes feed the dust material into the solar wind in the form of smaller solid particles, electrons and atoms and molecules. Since the solar wind mass resides in the H and He species, while the dust mass resides in the heavier species, the destruction of dust particles can influence the minor solar wind components. Several space measurements reveal phenomena that possibly result from the presence of dust in the solar wind. The solar wind ion composition spectrometer (SWICS) aboard the Ulysses spacecraft discovered ions that originate from the vicinity of the Sun are singly charged and contain the abundant elements as well as molecular species (inner source pick-up ions \cite{7}). Although other possible explanations were given to explain the inner source pick-up ions, model calculations of the collision rates in the interplanetary dust cloud within 1 AU suggest that dust destruction by mutual collisions generates ion fluxes that are comparable to the singly charged heavy ion fluxes of this inner source \cite{17}. Dust collisions can also account for the observed molecular ions. Even so, the calculated ion fluxes vary by orders of magnitude depending on model assumptions of the dust distribution. The measured ion fluxes were derived from a small number of events accumulated over a wide range of spacecraft orbits. Other examples are the sporadic enhancements in interplanetary magnetic field and enhanced fluxes of the neutral solar wind. Both phenomena are possibly related to the solar wind crossing the interplanetary dust cloud, but are not quantitatively described yet \cite{18}. Although the resulting fluxes of heavy ions are smaller than the heavy ions production by dust destruction \cite{17}, some solar wind species may change their charge and energy due to interaction with the solar wind. Impinging solar wind particles pass through the grain and pick electrons by charge exchange with the atoms of the grain material and typically emerge neutralized or in a singly charged state, if they are not decelerated to a stop within a depth of several 10 nm.

\textit{Estimating dust sublimation.} Most of the dust particles sublimate within 0.1 AU (about 20 solar radii) from the Sun. A canonical value of a ‘dust free zone’ radius around the Sun is about 4 solar radii, but this cannot be derived from observations, and calculations show that some dust particles with small absorptivity can reach a distance of about 2 solar radii \cite{19}. We briefly address here sublimation of larger objects, since observations of sungrazing comets prove that these objects survive very close to the Sun. Figure 2 shows the mass loss of large objects falling into the Sun. The mass loss rates are calculated using ablation models similar to those used for the Earth’s atmosphere \cite{4} and for the Venus atmosphere \cite{20}. The calculations start with initial conditions at 20 solar radii and solve iteratively the equations for energy balance, mass loss and deceleration. This first-order model is one-dimensional and assumes
Figure 2. Left: mass versus altitude above solar surface for two objects with 1 kg initial mass falling into the Sun. Right: final altitude as a function of the initial mass of the object [14].

that objects fall straight to the Sun with a free-fall velocity. The left panel of figure 2 shows that most of the mass of the objects sublimes within a very small range of radial distance. It also illustrates that ablation is differentiating in the sense that more refractory materials can dive deeper into the corona. The right panel shows the final distance (remaining mass $10^{-10}$ kg) of penetration of objects as a function of their initial mass. Objects with masses larger than a few tons can reach the solar surface. This model allows estimating the mass deposition profile in the solar corona, as well as the influence of sungrazing comets in the corona (as does an improved version that is currently under development [14].

Estimating dust destruction by high velocity impacts. High velocity impact of a dust particle on a solid target in space causes destruction, sublimation and ionization of dust and target material. This occurs during mutual collisions of dust particles as well as during impact on a spacecraft. The impacts are described empirically by experiments carried out in accelerator facilities where the impact generated charge is measured for grains of several $10 \mu m$ and impact speeds of several $10 \text{ km s}^{-1}$. The charge, $Q$, that is produced increases steeply with the impact speed as [21]

$$Q \simeq 0.7m^{1.02}v^{3.48},$$

where charge $Q$ is in Coulombs, mass $m$ is in kg and impact speed $v$ is in km s$^{-1}$. In another approach the vapour production is estimated via the fragmentation laws for solids. The laws are based on the consideration of larger objects and macroscopic crater formation as a result of the propagation of impact induced shock waves in bulk solids. The fragment distributions that are derived using this approach match with the results of macroscopic impact experiments in size ranges of millimeter and centimeter and impact velocities smaller than km s$^{-1}$. An extrapolation was made for describing dust collisions in the interstellar medium [12]. These models predict vapour production for collision velocities of the order of km s$^{-1}$, but do not consider the charge state. A similar approach simulating the shock wave propagation in the solid leads to the predictions of temperature $10^4 \text{ K}$ and neutral and low charge states for the species in the vapour cloud [11]. Both the charge state and the temperature of the impact generated cloudlets are estimated with great uncertainty.

Estimating dust-generated species in the solar wind. While the processes of dust destruction are qualitatively known, they are not well quantified, which, together with the lack of knowledge
of absolute fluxes of dust and larger objects near the Sun, prevents an exact estimate of the production rates. For example, the calculated vapour production from dust collisions within 1 AU varies between $2 \times 10^2$ and $4 \times 10^4$ kg s$^{-1}$ depending on the assumed dust distribution and dust material composition [17] and due to the large number of unknown parameters no reasonable estimate can be given for the mass that dust sublimation feeds into the solar wind and into the solar corona at distances $\leq 0.1$ AU. The species that form by dust destruction are assumed to be in majority initially neutral or singly charged. The main ionization mechanisms are photoionization and charge exchange with solar wind protons. These processes ionize an oxygen or carbon atom at 1 AU within $10^6–10^7$ s, which is less than the dust orbital period. The formed singly ionized species are picked up within the Larmor gyration time of the order of seconds, and species that are picked up outward of 0.1 AU are likely to keep their acquired charge state [17]. The lifetimes of the neutrals decrease rapidly toward the Sun, where electron impact ionization becomes important and the ions are multiply charged. The species that are released in the inner corona, finally, have similar charge states as the solar wind ions.

4. Dust trajectories in the solar wind and fluxes near 1 AU

Detection of nanodust. The nanodust detected onboard STEREO has a high flux with large time fluctuations [24]. Figure 3 shows the variation in nanodust impact rate during the last 3 years [30]. Understanding the data requires studying the trajectories of small charged dust particles and estimating their fluxes. Since fragmentation rates increase toward the Sun, the nanodust may form by collisional fragmentation of larger dust particles moving in bound Keplerian orbits inward of 1 AU.

Dust in the solar wind. The size of the dust particles is small compared with the solar wind Debye length $\sim 10$ m and gyration (Larmor) radius ($\sim 10^3$ m for electrons at 1 AU). The solar wind flux onto the dust can be considered as single particle impacts at the solar wind speed ($\sim 300–800$ km s$^{-1}$) for ions and the thermal speed for electrons ($\sim 10^3$ km s$^{-1}$). The dust particles are mainly charged by collection of solar wind particles and photoionization. The latter process is generally dominant and leads to a positive surface potential of the order of

![Figure 3. The flux rate of nanodust measured with the plasma wave experiment S/WAVES onboard Stereo A from 2007 to 2009 [30].](image-url)
several volts on a time scale $\tau \propto 1/a$ (for a dust particle of size $a \approx 1\mu m$ at 1 AU, $\tau \approx 1$ s).

In the interplanetary medium (except very close to the Sun where secondary electron emission gains importance) the dust potential does not vary with distance or with grain size [13], so that the ratio of surface charge to mass $q/m \propto 1/a^2$. The charging process has no noticeable influence on the surrounding solar wind plasma and conditions for dusty plasma collective effects are not fulfilled. Since $q/m$ determines the coupling of the grains to the magnetic field, this coupling is larger for smaller grains.

Dust trajectory calculations. In this subsection we discuss the results of the first study of the dynamics of the nanodust particles in the interplanetary medium dust cloud that were carried out recently [6]. All previous studies were concerned with larger grains, with much lower surface charge to mass ratios. The equations of motion are solved assuming initial circular orbits at the release distance $r_0$, taking into account the Lorentz force, solar gravity and radiation pressure (the latter term is found to be unimportant for the nanodust because of small value of the radiation pressure to gravity ratio of 0.1). The magnetic field follows a Parker spiral, with regions of opposite polarity separated by a current sheet. The solar wind speed is $400 \text{ km s}^{-1}$ near the solar equator and $800 \text{ km s}^{-1}$ at high latitudes. The calculations were made for constant charge to mass ratios

$$q/m = 10^{-7}e/m_p \text{ to } 10^{-4}e/m_p.$$ 

This corresponds to an approximate size of 100 nm for the former, and of 3 nm for the latter $q/m$. A general finding of the calculations is that there exists a trapping region in the vicinity of the Sun, extending to about 0.15 AU in the ecliptic and farther at higher latitudes. The nanodust released inside this region is trapped in bound orbits, while the nanodust released at larger distances is accelerated outward. The trapped particles move in non-Keplerian orbits which can reach very low perihelion distances. The ejected particles roughly follow an outward trajectory that stays close to the rotating magnetic field line. Their gyro-frequency decreases quickly with increasing distance from the Sun. The orbits of the grains with $q/m = 10^{-4} – 10^{-5}e/m_p$ are found to be rather similar, with a gyration radius that is smaller for the smaller dust (larger $q/m$).

Qualitative picture of dust trajectories. Although the reported calculations did not use the guiding centre approximation, it helps to understand the nanodust dynamics qualitatively for both trapped and ejected particles ([6], see figure 4). The guiding center approximation decomposes the equation of motion into a guiding center component following the magnetic field line, components describing the gyration about the guiding center and the drift component. This approximation contains terms that can be interpreted as the magnetic mirror force, solar gravity and the centrifugal force. The dust particle gyrates about the magnetic field line with the velocity $V_\perp$. It follows the rotation of the magnetic field line and (in the case of a trapped particle) oscillates along it. The motion along the field line is governed by the solar gravity $F_g$ directed inward, and the centrifugal force $F_c$ and the mirror force $F_m$, that are pointing outward. The inner radius of the orbit is proportional to $F_m/F_g$, whereas the outer is proportional to $F_g/F_c$. Particles are ejected when the combination of centrifugal and magnetic mirror force exceeds gravity. Note that the actual trajectories drift away from the moving field lines. This is shown on the right of figure 4 for one particular dust trajectory, but looks similar for other cases. The magnetic field line that passes through the point of release at the time of release is shown at two subsequent moments of time and the nanodust positions at these moments are marked. The dashed line depicts the current sheet intersection with the ecliptic at the time of release.

Calculations agree with the qualitative discussion based on guiding center approximation. The maximum radius of the trapping region varies for dust released from orbits with different
inclinations and it is different for the case when the particles are released close to the solar equator and for the case when they are released at the point of maximum latitude of the orbit. The trapping region extends further outward for the dust released a high latitudes, but dust densities are small there. The perihelia of trapped orbits are in the range $0.002 \, \text{AU} \leq r_{\text{perihelion}} \leq 0.032 \, \text{AU}$ and they are smallest for the dust released close to the outer edge of the trapping zone. The time to reach the first perihelion is of the order of several days. Typical sublimation distances of dust are $r \simeq 0.025 \, \text{AU}$ and, even though the scattering and thermal properties that determine the sublimation of the nanodust are unknown, it is safe to assume that most of the trapped dust is quickly destroyed. The smallest dust with $q/m = 10^{-4} - 10^{-5} e/m_p$ released outside the trapping limit quickly gains velocity and reaches speeds close to $300 \, \text{km s}^{-1}$ at 1 AU. The results of orbital calculations assuming fixed charge do not depend strongly on $q/m$ for $q/m \geq 10^{-5}$. This suggests that, despite the small (several elementary charges) average charge of the small grains, charge fluctuations may not play a significant role.

The influence of the current sheet. Figure 5 shows absolute velocities versus distance from the Sun for the grains with different $q/m$. During field configuration with incoming magnetic field in the northern hemisphere (when the electric field $- (1/c) V \times B$ points toward the current sheet), the speeds drop during current sheet crossing and then increase again. This is shown with the solid lines. Current sheet crossing does not occur frequently during the opposite field configuration with outgoing magnetic field in the northern hemisphere and electric field pointing away from the current sheet (shown with dashed lines). For $q/m = 10^{-4} e/m_p$, and $q/m = 10^{-5} e/m_p$ the shown curves for the different field configurations overlap. Dust acceleration quickly drops down for smaller dust charges and grains with $q/m = 10^{-7}$ (about 100 nm) are ejected with moderate speed. During the phase of the solar cycle when the electric field points away from the current sheet these larger particles are ejected toward the solar poles.

Dust ejection by electromagnetic forces compared with radiation pressure. In figure 5 we compare the dust ejected by electromagnetic force with the larger dust ejected by the radiation pressure force (so-called $\beta$-meteoroids, note that in this manuscript $\beta = F_i/F_g$ denotes the radiation pressure to gravity ratio). The left panel shows the velocity, $v$, at distance, $r$, from
Figure 5. Speed versus distance from Sun for dust ejected by electromagnetic forces (solid and dashed lines) from top to bottom with $q/m = 10^{-4}, 10^{-5}, 10^{-7}e/m_p$. The dotted lines show velocities of dust ejected by radiation pressure with from top to bottom: $F_r/F_g = 2, 1.5, 1, 0.75, 0.5$. The right panel shows the average velocity as a function of mass at 1 AU for dust ejected by electromagnetic forces (solid line and dotted lines) and dust ejected by radiation pressure (dashed lines) based on the models described in the text.

the Sun of the nanodust (from [6]) and of the $\beta$-meteoroids calculated (with gravity constant, $G$, and mass of the Sun, $M_\odot$) from

$$v(r_0, r) = \sqrt{\frac{GM_\odot}{r} \cdot \left(2 - 2\beta + \frac{(2\beta - 1)}{r_0} \cdot r\right)^{1/2}}$$

(2)

assuming release of $\beta$-meteoroids from circular orbit of radius $r_0 = 0.1$ AU, since this is the region of largest collision rates. Dust that is affected by radiation pressure is ejected outward for $\beta \geq 0.5$ whereas for $\beta \geq 1$ velocities increase with distance, but final velocities are far below those of the nanodust. The right panel shows average velocities versus mass at 1 AU. The shown values for the nanodust are derived based on a model of nanodust production by collisional fragmentation inside 1 AU combined with the reported trajectory calculations [6]. The $\beta$-meteoroids velocities are derived by applying the radiation pressure to gravity ratios versus mass obtained for a highly absorbing cometary dust model (upper curve) and a dirty silicate asteroidal dust model (lower curve). The latter is the more common case within the solar system cloud and the values for both cases are taken from [28]. The curves show the absolute values of the velocity, but in all considered cases the trajectories have significant radial velocity components. This comparison shows that the dust ejected by electromagnetic forces and the $\beta$-meteoroids have distinctly different velocities.

Model calculations in comparison with STEREO observations. The trajectory calculations show that nanodust particles move at high speeds near 1 AU, which explains why they can be detected on STEREO. Applying dust collision models with several assumptions provides a flux estimate $\phi(a > 10\, \text{nm}) \approx 0.6\, \text{m}^{-2} \text{s}^{-1}$ [6], which agrees with the STEREO fluxes. This yields nanodust mass and momentum fluxes much smaller than the solar wind ones. The observed nanodust flux variations are not yet explained. The collision model shows that the majority of the nanodust is generated by large dust particles in bound orbits, whose flux is unlikely to vary on the short time scales observed. Similarly, since the dust is accelerated in the inner solar system, a correlation of the flux rate with the magnetic field measured onboard STEREO is unlikely. However, since about 80–90% of the nanodust is trapped near the Sun, small variations of the extension of the trapping zone may produce the flux variations observed. Variations in magnetic field and solar wind near the Sun can also cause flux variations.
5. Summary

We have briefly summarized the different contributions of interplanetary dust to the solar wind. The processes that release dust material into the solar wind appear relatively simple, but the absolute fluxes are not known. Since most dust destruction occurs near the Sun, future space missions into the inner solar system could possibly reveal the influence of the dust-generated species on the minor solar wind ions. The acceleration of nanodust is a newly found interaction with the solar wind. The detection of nanodust fluxes with plasma wave measurements is a new result that requires further studies of the detection process as well as of the different parameters that influence the dust speed and absolute fluxes. Likewise, the formation of nanodust during collisional fragmentation of larger dust, its composition and properties need further studies.

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