This paper describes Charles University submission for Terminology translation Shared Task at WMT21. The objective of this task is to design a system which translates certain terms based on a provided terminology database, while preserving high overall translation quality. We competed in English-French language pair. Our approach is based on providing the desired translations alongside the input sentence and training the model to use these provided terms. We lemmatize the terms both during the training and inference, to allow the model to learn how to produce correct surface forms of the words, when they differ from the forms provided in the terminology database. Our submission ranked second in Exact Match metric which evaluates the ability of the model to produce desired terms in the translation.

1 Introduction

Terminology integration, or, more generally, constrained translation in NMT was extensively studied in recent years. Lexically constrained translation means that aside from the source sentence, we have available some additional knowledge of what tokens or expressions should appear in the translation and we want to force the system to include them in the generated output. Three main ways of enforcing these constraints have been studied.

First, replacing the source part of the constraint that is found in the source sentence with a placeholder which is then copied by the model into the output. There it gets replaced by the target part of the constraint (Luong et al. (2015); Crego et al. (2016)).

Second way is to modify the decoding search algorithm in a way that only allows hypotheses containing the constraints to be marked as finished (Anderson et al. (2017); Hasler et al. (2018); Chatterjee et al. (2017); Hokamp and Liu (2017); Post and Vilar (2018); Hu et al. (2019)).

Finally, some works focus on providing the constraints directly to the model as part of the input sequence. The model is trained to incorporate these constraints into the output, for example Dinu et al. (2019); Chen et al. (2020); Song et al. (2019) or Bergmanis and Pinnis (2021).

As apparent from previous paragraphs, the problem of integrating lexical constraints into NMT is well studied, but one issue was largely ignored. In inflected languages, the surface form of the constraint in the output cannot be known beforehand, as there are usually many possible ways to translate a sentence and many of them need different surface forms of the constraint to be fluent and grammatically correct. For example, let’s say we have a terminology database containing term pair influenza -> grippe and this source sentence:

During the 2018-2019 influenza season.

Possible correct translation is:

Pendant la saison **grippale** 2018-2019.

Where the term base noun form **grippe** is inflected into adjective **grippale**. Traditional constraint integration methods will try to enforce the term DB form **grippe** instead.

We have studied this problem in our recent work (Jon et al., 2021) concurrently with Bergmanis and Pinnis (2021), who used a very similar approach. Both works use different languages and evaluation pipelines and both show that the proposed approach is feasible.

2 Method

NMT models are known to produce fluent, consistent and grammatically correct outputs (Popel et al., 2020). Thus, it makes sense to utilize this ability of the model to inflect the constraint into correct form, instead of trying to disambiguate the form externally. Our approach is based on annotating
source sentences with the desired target constraints and training the model to incorporate these constraints into the output. We publish our preprocessing scripts at https://github.com/ufal/bergamot/wmt21-terminology

2.1 Term annotation

There are multiple possibilities in how to exactly annotate the source sentence. For example, let’s say the terminology database contains entries:

- *runny nose* -> *nez qui coule*
- *fever* -> *fièvre*

and we have a sentence:

And are you having a *runny nose* or *fever*?

One way is to replace the part of the source sentence containing the source constraint with the target part of the constraint:

And are you having a *nez qui coule* or *fièvre*?

Another option is to insert the translation tokens after the source part of the constraint and use factors to mark which tokens of a sentence belong to source constraint, which tokens are part of the target constraint and which are neither. For example, if factor with value 2 denotes that the token is part of the translation, value 1 means that the token is part of a source constraint and 0 means that it is just ordinary token, we get:

And are you having a 1*nez qui coule* or 1*fièvre*?

We use simpler method to integrate the constraints in our systems: we append them to the source sentence as a suffix, separated by a special token (`<sep>`) and in case of multiple constraints for a single sentence, we separate them by a different token (`<c>`):

And are you having a 1*runny nose* or 1*fever*? `<sep>` 2*nez qui coule* `<c>` 2*fièvre*?

For more details about the possible modifications of our method, comparisons with other approaches and detailed evaluation and analysis, we refer the reader to our previous work (Jon et al., 2021).

2.2 Training data generation

We prepare synthetic constraints for parallel training data by sampling random token subsequences from the target sentence. These subsequences are used as a suffix for the source sentence as described earlier. There is a number of parameters guiding this process. Every token in a sentence can become a start of a constraint with probability $s$. Unless stated otherwise, we set $s = 0.1$. Any subsequent token in an open constraint can end the constraint with probability $e = 0.75$. We permit multiple non-overlapping constraints for a sentence. We skip the sentence for constraint generation (i.e. leave it without any constraints) with probability $n = 0.1$.

In pseudocode:

```python
s = 0.1
e = 0.75
n = 0.1
for sent in text:
    r = random()
    constraints = []
    if r > n:
        open = False
        constraint = ""
    for t in tokens(sent):
        r = random()
        if open:
            if r < e:
                constraints.append(constraint)
                open = False
                else:
                    constraint += t
            else:
                constraint += t
                open = True
    print(sent, constraints)
```

Since the task allows for multiple target variants for a single source term, we have to account for such possibility in our training data generation. We assume that each generated constraint can have a variant with probability $v = 0.1$. This variant is sampled randomly (with no relation to the source sentence) from n-grams extracted from the target training corpus (so it is not a part of a current target sentence, but it is still a plausible subsequence in the target language). The variant has the same number of tokens as the original constraint with probability $l = 0.9$, otherwise the length of the variant is taken from triangular distribution between 1 and 9 with mode 2. The variants of a single constraint are delimited with another special token `<v>`.

None of the probabilities were tuned for improving results, we chose them based on manual inspection of the generated data. We use values that produced similar counts and lengths of the constraints as in...
the validation set.

2.3 Lemmatization

The training data generation method described above works, but suffers from the issues described in the introduction – the system learns to generate only the exact tokens supplied as constraints in the suffix, but doesn’t account for different possible inflections of the constraints in different contexts. To overcome this issue, we lemmatize the constraints both during the training and during test time. This way, the model learns to not only generate the correct words in the output, but also to correctly inflect them.

2.4 Source-side terminology matching

To find term pairs from terminology database in the input text, we lemmatize both the database source side and input sentences and search for the terms that appear either on lemma or surface form level. Since our lemmatizer works with context, we lemmatize both the text and the database word by word to ensure consistent lemmas. For the models trained with lemmatized constraints, we lemmatize also the target side of the terminology database and annotate the source sentence with lemmas of the target terms, instead of the surface forms.

3 Experiments

3.1 Data

We used all English-French corpora allowed by the organizers, aside from Paracrawl (with the exception of one model, which is marked). Namely this means Europarl v10, Common Crawl, UN Parallel Corpus v1.0, News Commentary v16 and Gigaword. We used WMT15 news test set as our validation set. After deduplication and filtering, the resulting training set consists of 24.6M sentences without Paracrawl and 125.9M including Paracrawl.

3.2 Tools

We use MarianNMT (Junczys-Dowmunt et al., 2018) to train Transformer-big models with standard parameters (Vaswani et al., 2017). The corpora are filtered using Moses cleaning script\(^1\) and fasttext langid (Joulin et al., 2016). We split the text into subwords using FactoredSegmenter\(^2\) based on SentencePiece (Kudo and Richardson, 2018) and lemmatize using UDPipe (Straka and Straková, 2017). BLEU scores are computed using SacreBLEU (Post, 2018), other metric are obtained by an evaluation script provided by the organizers\(^3\)(ibn Alam et al., 2021).

3.3 Evaluation

The script provided by the task organizers computes multiple metrics: BLEU, (Lemmatized) Exact Match, Window overlap and 1-TERm.

Exact match is a fraction of constraints which were produced in the outputs (the output sentences are lemmatized and the search is performed on both lemma and surface form level). This metric can be cheated in two ways – first, the system can place the target constraint at arbitrary place in the output, e.g. we can just translate with a non-constrained MT model, append the constraints at the end and obtain a perfect score. Second way is related to lemmatization – the system can produce any valid surface form of the constraint and even though this form is not grammatically correct in context of the output sentence, it still gets counted as matching. On the other hand, without lemmatization, only the word forms listed in the terminology database would get accepted, which would not cover all the possible correct forms.

Window overlap aims to overcome the first shortcoming of EM by evaluating placement of the constraint in the output. For each constraint in the translation and in the reference, windows of \(n\) tokens are extracted and compared with each other to see if the system places the constraint in similar context as in the reference. 2 and 3 token windows are used.

TERm metric is weighted TER which uses higher weights for tokens which are part of a term from terminology database to increase sensitivity to differences in the terminology. In the experiments, we observed that 1-TERm score is influenced mainly by the overall translation quality and less so by the term integration. We believe that this metric alone is also not sufficient for comparing ability to integrate constraints in different models, as the results seem to rely mainly on the "baseline" model performance, i.e. big general NMT model, trained on more data, which provides better overall translation quality, but does not explicitly

\(^1\)https://github.com/marian-nmt/moses-scripts
\(^2\)https://github.com/microsoft/factored-segmenter
\(^3\)https://github.com/mahfuzibnalam/terminology_evaluation
Table 1: Results of our models on official validation set. The first column specifies whether the constraint were lemmatized (Lemm) or not SF (SF), second one shows which part of corpora we used. Base means all parallel data allowed by the organizers with exception of Paracrawl. Third column says whether we provided all possible variants of the target term from terminology database to the model, on we only the first one. Asterisk in Constraints column means that the model was trained with these form of constraints, but no constraints were provided during the test time.

| Constraints | Corpus      | Variants | BLEU | EM  | window 2 | window 3 | 1-TERm |
|------------|-------------|----------|------|-----|----------|----------|--------|
| None       | Base        | -        | 43.976 | 0.862 | 0.289    | 0.283    | 0.584  |
| None       | Base+paracrawl | -       | 45.084 | 0.851 | 0.283    | 0.279    | 0.587  |
| None       | Base+bt     | -        | 42.319 | 0.834 | 0.282    | 0.275    | 0.575  |
| SF         | Base        | no       | 43.771 | 0.953 | 0.297    | 0.290    | 0.581  |
| SF         | Base        | yes      | 41.656 | 0.982 | 0.253    | 0.255    | 0.555  |
| Lemm       | Base        | yes      | 42.317 | 0.919 | 0.278    | 0.274    | 0.552  |
| Lemm       | Base        | no       | 44.959 | 0.961 | 0.302    | 0.296    | 0.591  |
| Lemm*      | Base        | no       | 44.623 | 0.909 | 0.292    | 0.288    | 0.588  |
| Final combined | -    | -        | 45.590 | 0.989 | 0.309    | 0.304    | 0.600  |

integrate constraints, may obtain higher scores than a smaller constrained model with perfect constraint integration ability.

### 3.4 Results

We trained our models by techniques described earlier and we present metrics computed by the official evaluation script in Table 1. Due to time and computing constraints, most of the models were trained without Paracrawl corpus and we only trained one baseline on dataset including Paracrawl for comparison. We compared integrating constraints in the surface form (so the model needs to produce exactly the same token as provided in the input) and constraints in lemmatized form (the model can produce different inflection of the provided constraint). We also compared providing all possible variants of the target constraint from terminology database (delimited by <v>, as described earlier), or just the first possible translation.

We see that in most metrics, the model which is trained with lemmatized constraints and uses only one variant performs the best. Systems trained with multiple variants of the target term show large degradation in BLEU scores. We suppose one of the problems in our method is that during training, only the true constraint variant from the target is plausible translation of the source, others are n-grams sampled randomly from the whole corpus. Thus, the negative samples are very easy to distinguish during the training, but during the test time, the variants are provided by the term base and they are all plausible in the context. We will analyse these results further in the future.

Our final primary submission is a combination of all the models. They are ranked by their respective BLEU scores on validation set and we check if the produced translation contains the desired term either at lemma level. We use the best ranking systems’ translation that does, or, in case none of the systems produced the term, we use the translation of baseline system.

The task organizers provide test set results. Two metrics were considered for the ranking. First, COMET (Rei et al., 2020), which evaluates general translation quality without special regard for specific terminology. Secondly, exact match, which measures how many of the desired constraints were actually produced in the output, but suffers from the issues described earlier. Our primary submission was ranked on joint 6th-10th place out of 21 systems according to COMET and 1st-3rd according to exact match.

### 3.5 Error analysis

Our submitted system did not cover 10 out of 872 term occurrences in the validation set. We analyse these ten errors manually. Six of these errors are related to casing, notably by translating SARS-CoV as Sars-CoV, instead of keeping the original casing (five occurrences). This is caused by our lemmatization pipeline, which produces Sars as lemma of SARS. We confirmed that after manually fixing the input and restoring the original casing, the system produces correct output. Other five examples classified as errors are presented in Table 2.
Table 2: Rest of the examples with uncovered terms. Target terms column shows possible translations of the source terms (bold) as provided in the terminology database.

4 Conclusion

We describe our submission to Terminology translation Shared Task at WMT21. We show our method can effectively incorporate the terminology without negative effects on overall translation quality. We analysed all ten examples in the validation set where our model did not cover the desired term constraint and we show that most of them can be explained by preprocessing issues.

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