Contraceptive Special Issue

Retinoic acid receptor antagonists for male contraception: current status†

Md Abdullah Al Noman¹, Jillian L. Kyzer¹, Sanny S. W. Chung², Debra J. Wolgemuth²,³,⁴ and Gunda I. Georg¹,*

¹Department of Medicinal Chemistry, College of Pharmacy, Institute for Therapeutics Discovery and Development, University of Minnesota, Minneapolis, MN, USA, ²Department of Genetics and Development, Columbia University Irving Medical Center, New York, NY, USA, ³Department of Obstetrics and Gynecology, Columbia University Irving Medical Center, New York, NY, USA and ⁴The Institute of Human Nutrition, The Herbert Irving Comprehensive Cancer Center, Columbia University Irving Medical Center, New York, NY, USA

*Correspondence: Department of Medicinal Chemistry, College of Pharmacy, Institute for Therapeutics Discovery and Development, University of Minnesota, 717 Delaware Street, SE, Minneapolis, MN 55414, USA. Tel: (612) 626-6320; E-mail: georg@umn.edu

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Abstract

Retinoic acid receptor alpha (RARA), a nuclear receptor protein, has been validated as a target for male contraception by gene knockout studies and also pharmacologically using a pan-retinoic acid receptor antagonist. Retinoic acid receptor alpha activity is indispensable for the spermatogenic process, and therefore its antagonists have potential as male contraceptive agents. This review discusses the effects of systematic dosing regimen modifications of the orally bioavailable and reversible pan-antagonist BMS-189453 as well as studies with the alpha-selective antagonists BMS-189532 and BMS-189614 in a murine model. We also provide an overview of structure–activity studies of retinoic acid receptor alpha antagonists that provide insight for the design of novel alpha-selective ligands.

Summary Sentence

Fertility studies with retinoic acid receptor antagonists in male mice and the current state of RARA-selective antagonist development are reviewed.

Key words: retinoic acid receptor, antagonists, selectivity, male contraception, mouse model, structure–activity relationships.

Introduction

Despite making progress worldwide in providing birth control options to families, the rate of unintended pregnancies, defined as both unwanted and mistimed pregnancies resulting from not using contraceptives or incorrect/inconsistent contraceptive use, remains high [1]. While rates of accidental pregnancies have decreased, the unintended pregnancy rates in developed nations are 45% and remain around 65% in developing nations [1]. Approximately 56% of all unintended pregnancies ended in abortion between 2010 and 2014—55% in developing nations and 59% in developed nations [1]. There is thus a critical need for additional approaches and resources for reversible contraception. While many reversible contraceptive methods are available to women, such as hormonal birth control, emergency contraception, vaginal rings, cervical caps, and spermicides, reversible methods for men are limited to condoms and withdrawal. For in-depth reviews and discussions of male contraceptives, refer to Long et al. [2] and Blithe [3]. There has been
interest in the use of testosterone and various testosterone esters as potential contraceptive agents [4, 5]; however, testosterone alone does not completely suppress sperm production, and there are ethnic differences in its efficacy [4, 6]. Supplementation of testosterone administration with progestogens enhances the suppression of sperm production at lower doses of testosterone. However, the effects of long-term exogenous testosterone administration remain unclear. Treatment with testosterone has been associated with several negative side effects, which can include cardiac toxicity [7] and liver damage [8]. The most common negative side effect was erythrocytosis, which has been linked to cerebrovascular disease [9]. Additionally, exogenous testosterone has been shown to lower high-density lipoprotein (HDL) cholesterol and increase hematocrit, hemoglobin, and thromboxane, all of which are associated with cardiovascular disease [7]. In addition to the more serious side effects, patients also experienced weight gain, acne, injection-site pain, and mood changes like aggression and decreased libido [10]. In the study described above, 2.2% of patients failed to reach the oligozoospermia threshold, indicating that certain men are “nonresponders” to testosterone treatment [10].

Therefore, a critical need exists for an effective, nonsteroidal hormone-based reversible male contraceptive that exhibits few or any side effects, health risks, and further complications. While hormone therapy relies on interrupting the spermatogenic process, there are far more targets for non-hormonal-based therapies that can be pursued [11]. Non-hormonal male contraceptive approaches involve targeting proteins that affect either sperm production or sperm function and are anticipated to have minimal side effects, depending on the specificity and potency of inhibitors for the target protein. This review will not attempt to provide a comprehensive overview of hormonal and non-hormonal male contraception but will focus on one attractive target, the retinoic acid receptor alpha (RARA), as its ligand all-trans-trans retinoic acid (ATRA) is required for several phases of spermatogenesis, including differentiation of type A spermatogonial, the onset of meiosis, and spermiation [12, 13]. This review will discuss RARA, in particular, as a target and will explore what has been learned from systematic dosing regimens of antagonists to the receptor in a murine model. Furthermore, the review will provide an overview of structure–activity studies of existing RARA inhibitors, which are instructive for the design of novel potent and selective retinoid antagonists for reversible male contraception.

Implications of retinoid signaling for male contraception

Previous studies have demonstrated that retinoid signaling is required for the differentiation of undifferentiated type Aaligned spermatogonia to differentiating type A1 spermatogonia [12, 14, 15] and recently for aspects of meiosis in spermatocytes [16, 17]. In addition, spermiation is exquisitely sensitive to perturbation of retinoid signaling [18–22].

Figure 1. Molecular mechanisms underlying the role of RARα in regulating gene expression during spermatogenesis. The RAR alpha–RXR complex with repressor proteins inhibits gene transcription by facilitating chromatin condensation. After binding with ATRA, repressor proteins dissociate from the complex and coactivators are recruited, resulting in chromatin relaxation and expression of genes necessary for male germ cell differentiation during mammalian spermatogenesis.
ATRA, the active metabolite, is formed via the metabolic oxidation of dietary retinol (vitamin A). All-trans-retinol is first oxidized to all-trans-retinaldehyde by alcohol dehydrogenase or retinol dehydrogenase and then subsequently oxidized by aldehyde dehydrogenase to ATRA [14]. RA exerts its effects through binding to a family of nuclear receptors comprising the RARs as well as the retinoid X receptors (RXRs) (Figure 1) [20]. These receptors have three isoforms: alpha, beta, and gamma [20]. RARs are primarily activated through the binding of ATRA, while RXRs are exclusively activated by 9-cis retinoic acid [20]. These receptors are active as dimers, either as RXR/RXR homodimers or RXR/RAR heterodimers [20]. The dimers bind to RA response elements in the DNA to affect transcription [20]. In the absence of RA, histone deacetylases and other repressors of transcription are recruited, keeping DNA tightly bound to the histone (heterochromatin) to prevent transcription [14]. Once activated by RA, the complex recruits histone acetyltransferases and other coactivators that acetylate lysines and thereby neutralize the interaction between the negatively charged DNA and the positively charged histone; the tightly packed heterochromatin then unfolds to form transcription-ready lightly packed euchromatin, resulting in gene transcription (Figure 1) [14, 23].

The need for vitamin A and its active metabolite ATRA for normal spermatogenesis has been recognized for decades [24–26]. There are numerous excellent and comprehensive reviews on the role of vitamin A, its synthesis, transport, metabolism, and downstream function, and the potential interference with its function as approaches to male contraception to which the reader is referred [2–5, 12, 14, 17, 20, 21]. As mentioned above, metabolism of dietary vitamin A involves the conversion of retinol to retinal and finally to ATRA. Inhibitors of enzymes involved in this process could potentially disrupt ATRA synthesis and thereby stop spermatogenesis. Indeed, suppression of spermatogenesis involving the ATRA pathway was demonstrated with a bisdichloroacetyldiamine analog (BDAD) [2, 27, 28]. WIN 18 446, one of the BDAD analogs, was used in a clinical study to treat over 60 men for 1 year and was shown to efficiently suppress sperm production in men [27]. However, men taking WIN 18 446 exhibited a severe negative disulfiram reaction upon alcohol consumption, resulting in termination of development of the drug. The mechanism of suppression was subsequently elucidated when WIN 18 446 was shown to inhibit the enzymes aldehyde dehydrogenase ALDH1A1 and ALDH1A2 [29, 30]. Using X-ray crystallography, direct binding studies, and enzymatic analyses, a recent report determined the structural basis of ALDH1A2 inhibition by WIN 18 446, and two novel and reversible small molecule inhibitors were identified which are being investigated for their property of not blocking alcohol metabolism [28, 31]. Results provide a structural framework toward the rational design of potent and selective ALDH1A2 inhibitors that might be suitable for non-hormonal male contraception [28].

The present review is focused on the unique functions of the RARs and RARA in particular. In this light, we note the important insight into the molecular basis for the need of this micronutrient that was revealed by targeted mutagenesis of *Rara*, the gene encoding RARA. In *Rara*−/− mice, there was a disruption in spermatogenesis with defects similar to the effects of VAD and complete male infertility while the females were fertile [20, 32]. The majority of testicular tubules at 4–5 months of age were severely abnormal, with few germ cells, and the epididymides contained only very few abnormal spermatids [32]. A study determining the chronology of appearance of spermatogenic abnormalities in *Rara*−/− testes showed that in...
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Table 1. Structures and antagonist data for RAR inhibitors BMS-189453 (1) \([35]\), BMS-189532 (2) \([37]\), and BMS-195614 (3) \([38]\).

| Compound      | RARA IC\(_{50}\) (nM) | RARB IC\(_{50}\) (nM) | RARG IC\(_{50}\) (nM) | K\(_d\) (nM) |
|---------------|------------------------|------------------------|------------------------|-------------|
| 1 (BMS-189453) | 200                    | 200                    | 200                    | —           |
| 2 (BMS-189532) | —                      | —                      | —                      | 27          |
| 3 (BMS-195614) | 500                    | 5000                   | 10 000                 | 1020        |

\(^a^\)Transactivation assay.

Figure 3. Development of RAR antagonists from all-trans-retinoic acid.

the tubules that did contain germ cells, spermiation was defective \([18]\). Late spermatids are normally released at the end of stage VIII of the spermatogenic process; however, late spermatids in these mice were still present at the luminal edge at stage IX (Figure 2) \([18, 19]\). Spermatids were also observed undergoing apoptosis and engulfment by the Sertoli cells \([19]\). A study of germ-cell-specific Rara conditional knockout mice (Rara cKO) demonstrated that RARA is involved in modulating the synaptonemal complex of proteins that forms between homologous chromosomes during meiosis, which is consistent with the previous observation that meiosis is delayed in VAD animals and Rara/−/− mice \([16]\). The role of RARA during spermiogenesis in the germline is further supported by the partial rescue of spermiogenic defects by targeted expression of Rara cDNA specifically in haploid spermatids of otherwise RARA-deficient mice \([22]\). Another recent study has also shown that ATRA plays a role at two postmeiotic transitions: the initiation of spermatid elongation and spermiation \([17, 33]\). For a more detailed review of the functions that are important specifically during spermatogenesis and the basic cellular processes within testicular cells that may be regulated by retinol and ATRA, with a focus on cells within the seminiferous tubules, please refer to Chung and Wolgemuth \([20]\) and Endo et al. \([17]\).

Oral administration of pan-RAR antagonist

BMS-189453 reversibly inhibits spermatogenesis in mice

Studies showing “testicular toxicity” but no other side effects of several retinoid antagonists \([34]\) suggested that RAR antagonists might be attractive compounds for a non-hormonal approach to male contraception. Such a pharmacological approach to inhibit spermatogenesis in the mouse model was explored using low doses (initially 5 mg/kg for 7 days) of the pan-RAR antagonist BMS-189453 (1, Table 1) \([35]\). Morphological examination of testes from the treated animals showed that spermatogenesis was disrupted, with a failure of spermatid alignment and sperm release as well as loss of germ cells into the lumen, abnormalities that resembled those in VAD and Rara/−/− testes. Most importantly, the induced sterility was reversible as assessed by mating studies. There were no changes in testosterone levels, suggesting that the drug would not affect male libido or sexuality. Enhanced efficacy and a lengthened infertility period with full recovery of spermatogenesis were observed using systematically modified dosing regimens (5 mg/kg for 2 weeks versus 2.5 mg/kg for 4 weeks). Toxicological evaluation including hematology, serum chemistry, and hormonal and pathological evaluations was performed blinded in collaboration with Dr. Stephen Griffey, from the Comparative Pathology Laboratory, University of California Davis, and revealed no detectable abnormalities or
adverse side effects except the distinct testicular pathology \cite{35}. Finally, the resulting progeny of two recovered males (4 litters, 22 males and 22 females) were allowed to grow to adulthood. These progeny were healthy, and the males exhibited normal testicular weight, spermatogenesis, and serum testosterone levels \cite{35}. Both male and female progeny yielded a similar number of embryos upon mating. Because tailoring the dose-to-weight on a daily basis would not be practical for application in humans, a regimen in which the dose was modified weekly was examined. Induction of sterility and recovery of fertility were observed and were identical to those obtained with a regimen modified daily. These collective results suggest that testes are exquisitely sensitive to disruption of retinoid signaling and that RAR antagonists may represent new lead molecules in developing reversible nonsteroidal male contraceptives.

**Prolonged oral administration of a pan-RAR antagonist inhibits spermatogenesis with a rapid recovery**

It was encouraging that oral administration of the pan-retinoic acid receptor antagonist 1 reversibly inhibited spermatogenesis, with no
detectable side effects. Given that contraception is typically used for long periods of time, the next experiments focused on determining the lowest dose and the longest dosing period regimens that inhibit spermatogenesis but also result in complete restoration of fertility upon cessation of administration of the drug [36]. The studies demonstrated that daily doses of 1 as low as 1.0 mg/kg with dosing periods of 4, 8, and 16 weeks resulted in 100% sterility in all regimens, with restoration of fertility upon cessation of the drug treatment even for as long as 16 weeks. There was no change in testosterone levels in these males. The progeny examined from two of the recovered males were healthy and fertile, with normal testicular weight and testicular histology.

Strikingly, a more rapid recovery, as assessed by mating studies, was observed at the lower dose and longer dosing periods (1 mg/kg for 16 weeks) [36]. Insight into possible mechanisms underlying this rapid recovery was obtained at two levels. First, histological examination revealed that spermatogenesis was not as severely disrupted at the lower dose and with the longer treatment regimens. Second, gene expression analysis revealed that the more rapid recovery may involve the interplay of ATP-binding cassette efflux and solute carrier influx transporters in the testes. These observations showed that (i) increasing the duration of treatment still resulted in restoration of fertility following cessation of treatment; (ii) even lower doses of the drug can be effective in inhibiting spermatogenesis; and (iii) longer dosing periods were characterized by a more rapid restoration of spermatogenesis and fertility [36].

A next logical question is whether such pan-RAR antagonists (or, if available, RARA-selective antagonists) will function in higher mammals by testing the drug in a nonhuman primate model and assessing the efficacy of the antagonists in the induction of sterility and restoration of fertility. This research may have a potentially important impact by identifying a prospective therapeutic for male contraception.

### RARA-selective antagonists may allow a better therapeutic efficacy in reversibly inhibiting spermatogenesis

After a successful demonstration of contraceptive effect by pan-RAR antagonist 1 (BMS-189453), RARA-selective antagonists were pursued to preclude effects on RARB and RARG functions. Two RARA-selective antagonists, BMS-189532 (2) [37] and BMS-189614 (3) [38] (Table 1), were characterized by transactivation and transactivation competition assays and were tested in a mouse model to determine whether they effectively inhibit spermatogenesis. These studies showed that although these two antagonists were potent in vitro, they displayed poor in vivo activity in mice when administered orally at the dose of 2 mg/kg and 10 mg/kg for 7 days [38]. Testicular weights were normal, and morphological analysis revealed normal spermatid alignment and sperm release. To assess properties that might have resulted in the poor in vivo efficacy of these compounds, in vitro drug property analyses were performed with one of these antagonists, compound 2, and compared with the pan-antagonist 1. The discrepancies may be explained by several factors, including high plasma protein binding, faster hepatic metabolism relative to the pan-antagonist 1. The conclusion of poor oral bioavailability was supported by more pronounced defects in mice when the antagonist was administrated intraperitoneally. These results are crucial for designing new RARA-selective antagonists for pharmaceutical application.

#### The medicinal chemistry of RAR inhibitors

In the following section, we will review structure–activity relationships for agonism, antagonism, and inverse agonism for the three RARs. Insights from these studies are expected to be useful for the design of novel RARA-specific antagonists with suitable pharmacokinetic properties.

The development of RAR ligands began in the 1980s to obtain potent RAR agonists with selectivity toward RAR over RXR. During initial development, conformational restriction was used to decrease rotational freedom of ATRA. The polyene chain next to the cyclohexene moiety was converted to an aromatic ring and the terminal dienoic acid to a benzoic acid moiety (Figure 3). This provided E)-4-(2-(5,5,8,8-tetramethyl-5,6,7,8-tetrahydronaphthalen-2-yl)prop-1-en-1-yl)benzoic acid, a chemically stable and potent RAR agonist that does not bind RXR [39]. The introduction of bulky substituents at the 8-position of an agonist molecule (such as the phenyl group in compound 1) led to antagonism and inverse agonism [40–43].

In its native unliganded conformation, helix H12 of RAR adopts a conformation that prevents coactivator binding but allows corepressor binding, thereby inhibiting gene transcription [40, 42]. After agonist binding, the H12 helix conformational change
Table 3. Structures and data for chromene and tetrahydronaphthalene RAR antagonists [37].

| Compound | X    | R   | Y   | Z   | Kᵢ (nM) | RARA | RARB | RARG |
|----------|------|-----|-----|-----|---------|------|------|------|
| ATRA     |      |     |     |     |         | 15   | 13   | 18   |
| 5 (AGN 193109) | CMe₂ | H   | —   | —   | 16      | 7    | 7    |
| 6        | O    | CH₃ | —   | —   | 14      | 5    | 14   |
| 7        | S    | CH₃ | —   | —   | 4       | 2    | 10   |

| 2 (AGN 193491) | CMe₂ | H   | H, H | H   | 27      | 1020 | 3121 |
| 8 (AGN 193618) | CMe₂ | H   | H, F | H   | 5.7     | 622  | 863  |
| 9 (AGN 194202) | CMe₂ | H   | F, F | H   | 32      | 2256 | >30000 |
| 10 (AGN 194301)| O    | CH₃ | H, F | Br  | 2.8     | 320  | 7258 |
| 11 (AGN 194574)| O    | CH₃ | F, F | Br  | 1.5     | 898  | 10618 |

prevents access to the ligand-binding domain (LBD) while generating a coactivator binding site to which coactivators are recruited that promote gene transcription (Figure 4A). As shown above for antagonist 1, an RAR agonist can be modified to become an antagonist by introducing a sterically demanding substituent. This tactic has been successfully employed for a number of ligands [46–48]. It was later discovered that the phenyl moiety is not large enough to induce complete antagonism and results in partial antagonism, whereas a tolyl group confers full antagonism [49]. Sterically demanding substituents present on the antagonist cause helix H12 to adopt a conformation that prevents coactivator binding. The crystal structures of agonists and antagonists bound to RARA (Figure 4A) provide insight into protein conformational changes depending on which ligand is bound. When antagonist Am580 is bound to RARA (Figure 4B), the H12 helix fails to cap the LBD and instead binds to the coactivator binding site resulting in coactivator recruitment failure [41, 44]. When mixed agonists bind to the LBD, H12 can assume agonist and antagonist conformations due to inadequate steric bulk that is required to completely inhibit H12 coactivator binding [45, 50]. In the case of inverse agonists, ligand binding stabilizes corepressor binding with RAR and further inhibits basal levels of gene transcription. The structural requirement for inverse agonism is less straightforward due to the highly variable nature of the H12 domain and the requirement for suitable H12 conformation for repressor binding (Figure 4C) [41].

RARA selectivity

A common structural feature for both agonists and antagonists among RARA-selective ligands is the presence of a hydrogen bond donor such as an amide (2, 8–11, Table 3) or a pyrrole (15–17; Table 4) interacting with Ser232 of RARA (Figure 5A) [51]. This selectivity can be explained by the structural differences between the three RARs. A comparison of their binding domains reveals the presence of Ser232 in RARA, but in the analogous position of both RARB and RARG, there is an alanine (Figure 5B and C). Therefore, the more polar binding site architecture of RARA favors the binding of polar groups. Moreover, substitution of Ser232 with alanine abolishes the selectivity of ligands toward RARA [52].

Selective RARA antagonists

The first RARA-selective antagonist, compound 4 (Ro 41-525) (Table 2), was reported in 1992 [53]. The compound has little structural similarity with other antagonists because it does not carry the sterically demanding substituent characteristic of the other antagonists. Instead, the compound has a heptyl ether chain ortho to the olefinic linkage. Additionally, it lacks the hydrogen bond donor commonly found in other RARA-selective antagonists. This deviation from the normal architecture suggested that the compound might exploit other structural differences in the RAR proteins to achieve selectivity.
Table 4. Structures of pyrazole agonist 12, pyrazole agonist 13 (ER-27191), pyrrole antagonist 14 [57], and structure–activity relationship data for quinolone pyrrole antagonists 15–17 [58].

| Compound | R     | RARA  | RARB  | RARG  |
|----------|-------|-------|-------|-------|
| 15       | MeO   | 0.5   | 247   | 350   | 1.6  |
| 16       | Et    | 0.5   | 17    | 9     | 17   |
| 17 (ER 50891) | Ph | 1.2   | 278   | 181   | 6.4  |

Initially, phenylacetylene analogs 5 (AGN 193109), 6, and 7 were described as potent RAR antagonists that do not bind to RXRs (Table 3) [54]. Results from a transactivation assay showed that compound 5 binds to the RAR–RXR dimer and inhibits RA-induced gene expression in a dose-dependent manner. Evaluation of analogs in which the tolyl group was replaced with other substituted aromatic rings revealed that the para-substitution of phenyl rings with methyl, ethyl, and methoxy groups provided maximal activity [55]. Further truncation or elaboration of the para-substituent did not improve activity and confirmed the optimal activity for 4-methylphenyl and 4-ethylphenyl substituents on the aromatic rings [56]. Chromene and thiochromene substitution for the tetrahydronaphthalene ring also was explored. Thiochromenes were more potent than chromenes, and analogs carrying gem-dimethyl groups were superior to demethyl analogs. When the acetylene linker in tetrahydronaphthalene and chromene scaffolds of pan-RAR inhibitors 5 and 6 were replaced with the hydrogen bond donating amide linker, the resulting compounds 2 and 8–11 (Table 3) were found to selectively antagonize the activity of RARA over RARB and RARG [37]. This exemplifies how non-selective RAR antagonists can be modified to gain RARA selectivity. It was also reported that fluoro substitution on the ortho-position of the benzoic acid moiety increased the alpha selectivity of the antagonists, which increased further with difluoro substitution (8–9 and 10–11).

A similar approach to obtain an antagonist from a reported agonist was adopted to identify RARA-selective antagonist 15 (Table 4). Conformational restriction of agonist 12 (500 nM antagonism) [47] by introducing another ring and by adding a sterically demanding 3-pyridin-3-ylmethyl group to the N1-pyrazole moiety provided antagonist 13 (1.3 nM agonism in HL-60 promyelocytic leukemia cells). Subsequent modifications of this scaffold involved the introduction of a naphthalene moiety into the hydrophobic portion of the molecule, which provided RARA-selective inhibitor 14 (transactivation assay EC50 RARA = 2.8 nM, RARB = 250 nM, RARG = 2200 nM [57]. Based on these observations, the related quinolone derivatives 15–17 (Table 4) were designed to increase potency and selectivity [58]. Analog 15 was found to be a potent and selective antagonist (494-fold selective for RARA over RARB and 700-fold selective over RARG). Analog 15 is the most potent and selective RARA antagonist discovered to date.

Future directions

The orally bioavailable pan-antagonist 1 (BMS-189453) has provided proof of principle that inhibition of RAR leads to infertility in male mice and that this is a reversible process and well tolerated. Genetic studies underscore the critical role of RARA in particular in spermatogenesis and hence male fertility; however, an effective RARA-specific antagonist that is orally bioavailable has yet to be identified. Therefore, future studies should focus on discovering and evaluating orally active RARA-selective antagonists. RARA-specific antagonists are expected to possess marked effects on spermatogenesis and not display any possible side effects that might be due to the inhibition of the RARB or RARG. The highly lipophilic ligand-binding sites of RARs favor lipophilic ligands for binding. However, higher lipophilicity can be associated with high metabolic
liability, poor oral bioavailability, high plasma protein binding, in vivo toxicity, and target promiscuity. RARA-selective antagonists with lower lipophilicity would most likely be better suited for clinical applications. Given the preference for oral administration of male contraceptives, antagonists should be designed to maximize oral bioavailability [59]. This could be accomplished by judicious application of structure filters like Lipinski’s rule of five and Veber’s rule [60, 61]. Since the structural requirements for antagonism and selectivity have been established, additional agonists could be structurally modified to become antagonists that are selective for RARA in a manner similar to the discussion above [46–48]. In addition, RARA selectivity could be sought by introducing polar linkers (such as hydrogen bond donors) to pan-antagonists to facilitate interaction with Ser232 of RARA.

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Author contributions

All authors were involved in the writing of the review and all authors reviewed and edited the manuscript.

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