Using software Vortex as a tool towards conservation actions for sharks

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ABSTRACT

Elasmobranchs, especially sharks, are part of the most threatened group of animals in the world. Among the factors that negatively affect these populations, the environmental degradation and disordered fishing can be highlighted. Although these threats are well known, the responses of most species to these factors are not fully understood, which makes it difficult to predict potential population responses according to conservation actions. The present study aims to develop a theoretical model based on a real population of white sharks (Carcharodon carcharias) that inhabit Gulf of Mexico, using their biological patterns to identify trends for the populations using Vortex (version 10.0.0.3) as a tool. The construction of the model was based on bibliographic data, considering parameters of life history, demographic rates and main known threats. Data were entered into Vortex software, and two population sizes were tested in the population viability analysis, indicating in both cases that the species tends to lose its genetic diversity over 500 years with a 12% and 51% of probability of extinction, respectively, considering exclusively its biological potential. This results can reflect on an mislead assumption of the real subpopulation’s size, which shows that the species is inserted into the vortex of extinction even with the existence of protective measures regarding white sharks on the location of the study, and it must incorporate neonates and juveniles individuals on the analysis to generate a consistent result. Despite this, the study suggests that the utilization of Vortex is capable of generating responses
necessary for the conservation of sharks by analyzing stochastic events and life history in a simple way.

**Key-words**: Elasmobranchs; Population Viability Analysis; Vortex; Demography.
1. INTRODUCTION

Sharks are apex predators in the food chain in different marine ecosystems; however, they are susceptible to decline in all the oceanic basins, turning the state of conservation of many populations a considerable concern (Myers, 2007). According to Evans (2001), sharks have a vital role in the maintenance of the ecological balance in the oceans, and, in this context, the establishment of adequate measures to the management of marine elasmobranchs are fundamental for the health of many marine ecosystems.

The main threat to elasmobranchs is uncontrolled fishing (Bornatowski, 2012). The capture of these animals has been increasing globally, and it is estimated that about one million tons per year were captured in the last decades (Bonfil, 1994). Different methods of modern fisheries are exploring a wide variety of sharks for human consumption and also to commercialize their fins (practice internationally known as finning). There is still the accidental catch when fishing for other species, threat known as by-catch (Musick, 2005). However, the management of elasmobranch stocks is hindered mainly by the lack of basic information on the dynamics of populations worldwide (SBEEL, 2009).

Some of the group’s biological characteristics result in intrinsic growth rates and very low resilience capacity, as well as slow growth, late sexual maturity and low fertility, and these conditions cause populations to be more susceptible to overfishing due to the low replacement of new individuals, which leads to the decline in populations (Hoenig et al., 1990; Sminkey & Musick, 1995, 1996; Camhi et al., 1998; Musick, 1999; Smith et al., 1998). There is a concern about sustainability by the International Union for Conservation of Nature (IUCN), because sharks are not specifically managed
or conserved by any regional or multilateral treaty that aims the management of marine resources (IUCN, 2002).

The biology of most species of sharks is little known and there are poor data about demography and life history (Pierce et al, 2009; Frisk et al, 2010). Information about their population demography offers ways to estimate intrinsic population growth rate “r” in response to impacts as fishing, and also allows to predict the future tendencies for their population size according to the life history of the analyzed species (Gilpin et al., 1986; Caillet et al., 2005; Dulvy & Forrest, 2010). With these data combined with studies on population structure, age and growth and distribution, it can be possible to provide a proper management to each species (Palsboll et al., 2007).

The Population Viability Analysis (PVA) models the effect of deterministic processes, inbreeding, allelic drift, environmental and demographic stochasticity in a population (Lacy, 2005). This analysis predicts the tendencies in population size to increase or decrease in the future according to the life history of the species analyzed and the current situation of the population, taking into consideration the deterministic or stochastic factors that may cause fluctuations in the population size (Gilpin et al., 1986). However, its widespread use is hampered by the scarcity of information on vital rates and lack of ecological information of the species, which are required as input parameters for the models (Pardini, 2001; Beerkircher, 2003).

The PVA assesses the risk of a population of wildlife animals to diminish or extinguish due to current or future conditions. Demographic information of the population serve as data to be added to a “Vortex” model with a structure that defines the basic biological characteristics of the species and habitat use patterns, so the model can project the demographic behavior of the simulated population for a specific period of the future, considering the specific conditions assumed. Within these aspects, the
preconditions for growth or decline of the population can be determined, as well as the best options for management of the species in order to minimize the risk of extinction (Lacy, 1993).

This study aimed to compile biological and population data of white sharks *Carcharodon carcharias* (Linnaeus, 1758) through literature review, since this species has lots of biology and life history data available of the population that inhabits the northeastern Pacific Ocean based on a theoretical but biologically accurate population tested in different scenarios to analyze if using Vortex as a tool is applicable to identify future shark’s population trends.

2. METODOLOGY

2.1 BIBLIOGRAPHIC RESEARCH

The construction of the theoretical model was based on bibliographic search considering life history parameters, demographic and biological rates, and threats known from different populations. The individuals that inhabit the northeast Pacific Ocean were chosen for the analysis due to almost all individuals were properly registered, based on the article by Chapple et. al. (2011) and Burgess et al. (2014), therefore the population size was known.

A variety of sources were used to improve quantitative and qualitative information and to develop the estimates used in this analysis. Scholar Google, Research Gate and Scielo were used as primarily data survey for the PVA. The purpose of the data survey was to gather as much information as possible about the biology of the species, and it were not established a data limit, number of articles or quotes.

Some key-words were detected as relevant for the bibliographic search to evaluate the population viability: great white sharks, ICCAT, FAO, IUCN, CITES, population
dynamics, mortality, sexual maturity, gestation, *Carcharodon carcharias*, biology, phylogenetic, extinction, capture, reproduction, inbreeding, shark population model, shark demographic modeling, Vortex software.

### 2.2 MODELLING

The data obtained from the literature were condensed in Table 1 and then inserted into the software Vortex (version 10.0.0.3), which uses the Monte Carlo simulation that can determine the independent variables of possible values and behaviors related to them, and also describes the distribution and characteristics of possible values of a dependent variable. This simulation is used to incorporate uncertainty in the parameters and generate demographic population growth rates, generation time and elasticity for a large set of matrices which includes several parameters (Miller & Lacy, 2005).

Table 1. Synthesis of data used in the PVA.

| Parameters                                         | Basal value | References |
|----------------------------------------------------|-------------|------------|
| Number of populations                              | 1           | (Chapple, 2011) |
| Number of individuals                              | 219 / 2000  | (Chapple, 2011)/ (Burgess, 2014) |
| Carrying capacity (K)                              | 100%        | -          |
| Inbreeding depression                              | No          | (Ussami, 1995) |
| Percent due to recessive lethal alleles             | No          | Vortex 10.0.0.3 |
| Reproductive System                                | Polygynous   | -          |
| Age of first offspring (♂/♀)                       | (12-15/8-9)  | (Martin, 2007) |
| Maximum age of reproduction                         | 36          | (Mollet, 2002) |
| % adult females breeding annually/±SD               | 50% / ±10   | Vortex 10.0.0.3 |
| Average size of offspring                           | 6           | (Martin, 2007) |
| Density dependent reproduction                      | Yes         | -          |
| Maximum litter size                                 | 10          | (Martin, 2007) |
| Sex ratio of offspring                              | 50:50       | (Fisher, 1930) |
| Percent of adult males in the reproductive pool     | 100%        | Vortex 10.0.0.3 |
The basal model was defined to investigate the PVA of white sharks from the northeast of the Pacific Ocean based on this theoretical but biologically accurate population. The name given to the initial tested population was “Northeast of the Pacific Ocean”. As the longevity of the specie is 36 years old (Mollet, 2002), there were analyzed interactions in the period of 500 years to better observe the tendency of population to grow or decrease during their generations.

According to Ussami (1995), it was considered that there is no inbreeding depression. Little is known about the mating system of white sharks, however, giving into consideration the life history and that these animals are solitary, we assumed that they are polygamies. According to Mullet (2002), they meet in the period of two or three years to breed, with gestational duration of 12 months. In the present study, it was considered that the reproduction depends on the density based on biological aspects, because these animals are solitary and depend on the meeting between individuals to reproduce.

According to Martin (2007), males reproduce for the first time between ages 12 and 15, and females between 8 and 9. In Simulation 1, it was considered that females have their first offspring at 8 years old, and males copulate for the first time at 13. In Simulation 2, it was considered that females reproduce with 9 years old and males at 15.

According to Preston (1995), the infant mortality rate can reach over 80%. For adults, Baum et. al (2002) estimated that for every 1000 hooks, two white sharks are caught in the Gulf of Mexico. Using the population parameters of Mollet (2002), the mortality rate \( M \) is 0.153, or 86% chance of survival.

In the tested basal models, mortality rates were estimated automatically by the software, having Table 2 as a synthesis of the rates for males and females.
Table 2. Annual mortality rates of white sharks according to Vortex software.

| Mortality rate (M) according to age | ♀ | ♂ |
|------------------------------------|---|---|
| 0 to 1 year (SD ± 10)              | 50% | 50% |
| 1 to 36 years (SD ± 3)             | 10% | 10% |

Therewith, two simulations were analyzed, changing population sizes and age at first reproduction among them. According to Chapple et. al. (2011), the initial population that inhabits the northeastern Pacific Ocean consists of 219 individuals, with 106 individuals with known sex. However, Burgess et. al (2014) in his review to Chapple’s article concluded that at least 2000 individuals were present at the Central California region.

The support capacity was considered as 100, taking advantage of the result that the software itself provided. None environmental variation was added in the support capacity and none catastrophe as well. No supplementation deriving from other unrelated populations was incorporated into the demographic model.

3. RESULTS

The software estimated that according to the previous data patterns and concepts of life histories of the animals, 50% of the population reproduces at low density, and 25% reproduces in the supporting capacity (K=100). It was considered that all adult males were part of the reproductive pool, 65.7% of this generating brood, having the value of 1.6 as an average of successful mating.

Only one population was considered in the basal model, since it was analyzed only the subpopulation that inhabits the northeastern Pacific Ocean, with immigration or emigration.

3.1 Sexual Ratio
According to Chappel et. al. (2011), the sexual ratio taking into consideration the minimum value of abundance (69 males; 19 females; 42 unknown), is probably biased for males because it is easier to confirm the presence of claspers than absence of it, leading to larger numbers of unknown sex. Chapple also considers in his study that there are only sub adult and adult individuals in the studied population.

In Simulation 1, it was considered the age distribution according to the article by Chapple et. al. (2011), where it is estimated that the subpopulation of northeastern Pacific Ocean comprises 219 individuals. The total population used in the models was 106 individuals, as there are certainly 73 males and 33 females composing this population, ignoring the individuals where sex is unknown.

In Simulation 2, it was considered 2000 individuals composed the subpopulation. Thus, it was possible to synthesize sizes regarding age and the number of individuals by gender (Table 3), using the estimation of the age composition of white sharks according to the mark-recapture study by Chapple et al. (2011) combined with the theoretical age distribution by Cailliet et al. (1985). As there is a lack of record for several age groups, none individual composed neonate and juvenile slots.

### Table 3. Estimation of the age composition of white sharks according to the mark and recapture study by Chapple et. al. (2011) combined with the theoretical age distribution by Cailliet et. al. (1985).

| Length (cm) | Age related to growth | 106 individuals (CHAPPLE, 2011) | 2000 individuals (BURGESS, 2014) |
|-------------|-----------------------|-------------------------------|-------------------------------|
|             | (♂ / ♀)               | (♂ / ♀)                       | (♂ / ♀)                       |
| 245         | 4                     | 0 / 0                         | 0 / 0                         |
| 275         | 5                     | 0 / 0                         | 0 / 0                         |
| 305         | 6                     | 0 / 2                         | 0 / 38                        |
| 335         | 7                     | 1 / 0                         | 19 / 0                        |
| 365         | 8                     | 7 / 4                         | 132 / 75                      |
| 395         | 10                    | 9 / 2                         | 170 / 38                      |
| 425         | 11                    | 16 / 4                        | 302 / 76                      |
3.2 Modelling

Table 4 shows the results of the two simulations inputs on the software Vortex, which each differed according to the population size, first age reproduction and number of interactions to be analyzed. With (r) being the stochastic rate of population growth; SD (r) being the standard deviation of stochastic population growth rate; P.E. being the Probability of Extinction; Div. Gene being the Genetic Diversity and N being the population’s size final mean.

Table 4. Result’s summary of the two simulations modeling the subpopulation of northeastern Pacific Ocean without dispersion.

| Population of northeastern Pacific Ocean | r    | SD (r) | P.E. | Div. Gene | N  |
|-----------------------------------------|------|--------|------|-----------|----|
| Simulation 1                            | 0,006| 0,099  | 0,12 | 51%       | 65 |
| Simulation 2                            | -0,006| 0,104  | 0,57 | 43%       | 51 |

3.3 Simulation 1

The data of population size to be analyzed was inserted in the software, comprising 106 individuals having 500 independent interactions over 500 years. It was considered that females have their first offspring at age 8 old and males copulate for the first time at age 13 (Figure 1).

Figure 1. Representation of the results of Simulation 1.
It can be observed that the trend of this population is to decrease over 500 years, with a 12% extinction probability, leaving an average of 65 individuals and being stabilized after 25 years, which is considered to be inserted into the vortex of extinction, since the loss of genetic diversity is nearly 50%, thereby undergoing to the genetic bottleneck.

**3.4 Simulation 2**

For this simulation, it was considered a total of 2000 individuals, 1000 interactions in 500 years, with females producing their first offspring at age 9 and males reproducing for the first time at age 15 (Figure 2).

**Figure 2. Representation of Simulation 2.**

The population growth rate is negative, there is an abrupt drop in the first 5 years and it is assumed that this is related to the age distribution from Table 4, because an extrapolation of the proportion based on the mark-recapture study by Chapple et al. (2011) was used for the population estimation by Burgess et al. (2014), and this lead the probability of extinction to reach 57%, leaving an average of 51 individuals at the end of 500 years, which indicates that the population is inserted into the extinction vortex with high loss of genetic diversity through a drastic reduction in the population size.

**4. DISCUSSION**

White sharks are highly sensitive to over-exploitation and are currently in the IUCN Red List as Vulnerable. These animals are globally distributed in at least three genetically distinct populations, which are located in South Africa (Pardini et al., 2001; Bonfil et al., 2005), Australia/New Zealand (Pardini et al., 2001; Bruce et al., 2006) and the northeastern Pacific Ocean (Boustany et al., 2002; Weng et al., ...)
the last one migrating from Guadalupe Island in Mexico to the coast of California in the United States (Chapple et al., 2011).

It is the first time that the software Vortex is used for PVA of elasmobranchs, and since the software is mostly used for birds and mammals, the hypothesis was that it might work for sharks is because they have biological peculiarities that bring them much closer to reptiles and birds in terms of vulnerability than to bony fish themselves (Hoenig & Gruber, 1990).

Both models are not considered a real tendency on the sub-population of Northeast Pacific because of the extrapolating number of adults and sub-adults composing the population and zero presence of neonates or juveniles, which is an answer to why the value of intrinsic growth rate in Simulation 2 is demonstrating a decline. It is known from the study by Cortés (2007) that juveniles presence in populations have the most influence on population growth rate, and assuming that there are no presence of this age classes is probably an error in the tag-recapture study by Chapple et al. (2011).

Also, the two models from the present study have a total population size of <100, which definitely leads to a loss of genetic variability and high extinction rates according to Blower et al. (2012), that suggests by genetic studies that a population require a minimum of 500-1000 breeding individuals to not undergo to the genetic bottleneck. The demographic randomness varies with time, and when the genetic characteristics of the population are considered, it can generate low population rates and loss of genetic variability, causing reduction of allelic variation (Guedes, 2004).

Vortex was developed with the purpose of modeling based on one or more known populations of a certain species and their future tendencies. By considering their biological parameters, the data is submitted to different treatments and allow the
knowledge of the process that contribute to the vulnerability of the population and evaluate alternatives to improve the conservation of the species (Lacy, 1993). However, there are failures when using Vortex due to not take into consideration the process of natural variances, as well as uncertainty in the vital estimation of species. Despite this, the software is useful because it provides a simple population growth model and captures the essential information of changes in population size, enabling to make predictions about the future of this population, which assists significantly in decision-making for strategies focused on the conservation of species.

This software, as well as other methods of modelling, is not intended to give definitive answers, but to indicate tendencies. It is important to consider the effects that the uncertainty and the variation in vital rates may have on population parameters, specially referring to marine species, where the vital rates estimative are difficult to obtain and often result in great uncertainty (Caswell, 1998).

The software has three options to analyze the tendencies of extinction in years, being 100, 500 or 1000 years. Considering that the specie’s longevity is 36 years old, if 100 years were chosen, only three generations would be observed. Besides the results from this study, there are signs that this sub-population has increased the number of juveniles, showing that at least the efforts on protection are working (Lowe et al., 2012).

5. CONCLUSION

The utilization of PVA is growing increasingly in the conservation context. This tool is capable of generating responses necessary for the conservation of the species by analyzing stochastic events and life history in a simple way. This study aimed to introduce a new method on the conservation of sharks, and is not a real representation on the future of white sharks.
A more detailed study with representative data on real population size is needed. Pursuant to the results shown in the present study, the tendency of diversity genetic loss of the population analyzed reaches 50%, a result reflected on an mislead assumption of the real subpopulation’s size, which shows that the species is inserted into the vortex of extinction even with the existence of protective measures regarding this species on the location of the study.

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Population of Northeastern Pacific Ocean

![Graph showing population over time](image)
Population of Northeastern Pacific Ocean

Year

N(t)

0 50 100 150 200 250 300 350 400 450 500

0 200 400 600 800 1000 1200 1400 1600 1800 2000

Figure 2