Research Article

On Trees with Greatest $F$ – Invariant Using Edge Swapping Operations

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The $F$-index of a graph $Q$ is defined as $F(Q) = \sum_{p \in V(Q)} (d_{p})^{3}$. In this paper, we use edge swapping transformations to find the extremal value of the $F$-index among the class of trees with given order, pendant vertices, and diameter. We determine the trees with given order, pendant vertices, and diameter having the greatest $F$-index value. Also, the first five maximum values of $F$ index among the class of trees with given diameter are determined.

1. Introduction

Mathematical chemistry is providing effective and time-saving methods for evaluating the properties of chemical compounds without having to go through tedious laboratory experimentations. Topological indices are function maps that identify key computational and topological aspects of a structure and evaluate chemical compound properties without using quantum mechanics as final production [1].

The total $\pi$-electron energy ($E$) [2] of a molecule was found to be related to its thermodynamic stability that depends on the structure of a molecule that is its topology. Relationship between ($E$) and topology of a molecule was determined by its graphical structure [3]. Comparison was made between the original vertex degree-based indices and lately defined edge degree-dependent indices (termed as reformulated Zagreb indices), while relating the two versions of indices, the relation existing between the graph and its line graph was utilized. Yang et.al. [4] brought into consideration to researchers the relation between the subtree number index and the Weiner index in the class of spiro chains and polyphenyl hexagonal chains.

In this paper, we consider only simple finite and connected graphs. In a graph $Q$, we denote its vertex set and edge set by $V(Q)$ and $E(Q)$, respectively. Let $d_{Q}(p)$ denotes the degree of a vertex $p$. The distance between two vertices $p, t \in V(Q)$ is denoted by $d(p, t)$ and is defined as the length of the shortest path joining them. For more undefined terminologies related
to graph theory, we refer [5]. The first topological index were proposed by Weiner [6] (namely, the Weiner index), while he was working on the boiling point of paraffin. The Weiner index is denoted by \( W(Q) \) and is defined as

\[
W(Q) = \sum_{p,t \in V(Q)} d_{Q}(p,t).
\]

Zagreb indices were introduced by Gutman et al. [2] that depend on degrees of nodes and are defined as

\[
M_1(Q) = \sum_{p \in V(Q)} (d_p)^3,
\]

\[
M_2(Q) = \sum_{p \in E(Q)} d_p d_t.
\]

These terms were recognized to be a measure of the extent of branching of the carbon atom skeleton of the underlying molecule. Later, its additive version was brought into kind attention to researchers in [7], which as expected, revealed more hidden chemical properties of chemical compounds. This index is named as the general sum connectivity index, given as

\[
x_a(Q) = \sum_{p,t \in E(Q)} (d_p + d_t)^a.
\]

Furtula et al. [8] in 2015 introduced the \( F \)-index, also referred as the forgotten topological index, which is defined as

\[
F(Q) = \sum_{t \in V(Q)} (d_t)^3.
\]

This index is also a measure of branching and has same measure of predictability as that of the first Zagreb index. In case of the acentric factor and entropy, both \( M_1(Q) \) and \( F(Q) \) have a correlation coefficient greater than 0.95 [8].

Ali et al. [9] put forward the survey of work done on the Randic index for certain values of \( a \). Azari et al. [10] considered the forgotten topological index in detail and determined the bounds of this index in terms of other graphical parameters. They analyzed the relationship of this index with already exiting versions of Zagreb indices. Z. Che et al. [11] determined new bounds for the forgotten index in terms of graph irregularity, Zagreb indices, and many other existing graph invariants. Further they characterized the graphs attaining these bounds and proved that these newly attained bounds are sharper than the existing ones. Another version of the forgotten index namely the forgotten co-index was brought into attention by Ghalavand et al. [12]. The authors found bounds for this index and provided an ordering of graphs with respect to this index. Gutman et al. [13] provided a finite ascending sequence of the forgotten index for trees and moreover for graphs having some particular values of the cyclomatic number \( y \). Gutman et al. [14] proved two weighted inequalities of real nonnegative sequences and then used them to determine lower bounds of certain degree dependent indices.

The main motivation behind this work is the idea practiced in [15], in which authors introduced some edge swapping operations on graph structures and analyzed the behavior of generalized sum connectivity descriptor. The authors found the decreasing behavior of the descriptor and provided the least five values of this descriptor for trees. Further they also provided the trees that attain these least values. In this work, making use of certain graph transformations that involve the swapping of edges from one node to another and contraction of edges, we have observed the behavior of the \( F \)-index. This enabled us to determine the decreasing sequence of values of \( F \)-invariant and the corresponding trees attaining these values. Novelty of work lies behind the fact that solving a research problem that is not solved already is always a good addition to the existing literature. Thus, this problem of determining members in a certain family of graph with first, second up to fifth extremal values has become good source of attraction to researchers.

2. \( F \)-Invariant under Certain Transformations

In this section, we first observe the increasing or decreasing behavior of \( F \)-invariant under certain graph operations involving swapping of edges from one node to another. Our next results show that this descriptor exhibit increasing behavior.

\[
\alpha_t \text{ - transform.}
\]

Let \( Q \) be the connected tree and \( x_1, y_1 \in V(Q) \). For \( p \geq 1, t \geq 1 \), suppose \( N(x_1) = \{y_1, x_{1,1}, x_{1,2}, \ldots, x_{1,t}\} \) and \( N(y_1) = \{x_1, y_{1,1}, y_{1,2}, \ldots, y_{1,t}\} \), where the vertices \( x_1 \) and \( y_1 \) have no common neighbors in \( Q \). Let \( \alpha_t(Q) \) be the graph derived from \( Q \) by deleting edges \( y_1 y_{1,1}, y_1 y_{1,2}, \ldots, y_1 y_{1,t} \) and attaching new edges \( x_1 x_{1,1}, x_1 x_{1,2}, \ldots, x_1 x_{1,t} \). We say that \( \alpha_t(Q) = Q' \) is a \( \alpha_t \)-transform of \( Q \) (see Figure 1).

\[ F(\alpha_t(Q)) > F(Q). \]

For any \( p > 1, t \geq 1 \).

Proof. Observe that \( d_{Q'}(x_1) = d_Q(x_1) + t > d_Q(x_1) \) and \( d_{Q'}(y_1) = d_Q(y_1) + t > d_Q(y_1) \). Consider that
Lemma 2. Let $\alpha_2(Q) = Q_t$ be a tree derived from $Q$ as depicted in Figure 2, where $d_Q(z_{1,u}) \geq 1$. Then

$$F(Q_t) - F(Q) = \sum_{i=1}^{p} \left[ \left( d_Q(x_{i,1})^2 + d_Q(x_{i,2})^2 \right) - \left( d_Q(x_{i,1})^2 + d_Q(x_{i,2})^2 \right) \right]$$

$$+ \sum_{j=1}^{t} \left[ \left( d_Q(y_{1,i})^2 + d_Q(x_{i,1})^2 \right) - \left( d_Q(y_{1,i})^2 + d_Q(x_{i,1})^2 \right) \right]$$

$$+ \left( d_Q(x_{i,1})^2 + d_Q(y_{1,i})^2 \right) - \left( d_Q(x_{i,1})^2 + d_Q(y_{1,i})^2 \right) + \left( d_Q(y_{1,i})^2 + d_Q(z_i)^2 \right) - \left( d_Q(y_{1,i})^2 + d_Q(z_i)^2 \right)$$

$$= \sum_{j=1}^{p} [1 + (p + t + 1)^2 - 1 - (p + 1)^2]$$

$$+ \sum_{j=1}^{t} \left[ 1 + (p + t + 1)^2 - 1 - (p + 1)^2 \right] + \left[ (p + t + 1)^2 + (p + 1)^2 \right]$$

$$+ \left[ (r + 1)^2 + (r + 1)^2 \right]$$

$$= 3t [p(t + p + 2) - (t + 3)] > 0.$$ 

Hence, the result holds.
Lemma 3. Let $\alpha_3(Q) = Q'$ be a tree obtained from $Q$ by applying $\alpha_3$-transform (see Figure 3), where \( d_\alpha(z_1, u) = d_{\alpha_i(Q)}(z_1, u) \geq 0 \) and \( d_\alpha(x_1, y_1) = d_{\alpha_i(Q)}(x_1, y_1) \geq 2 \). If \( t \geq 1 \) and \( s > 1 \) then

\[ F(\alpha_3(Q)) > F(Q). \] 

Proof. By definition of $F(Q)$ we get

\[
F(Q_t) - F(Q) = \sum_{i=1}^{p} \left[ \left( d_\alpha(x_{1,i})^2 + d_\alpha(x_i)^2 \right) - \left( d_\alpha(x_{1,i})^2 + d_\alpha(x_i)^2 \right) \right] 
+ \sum_{j=1}^{s} \left[ \left( d_\alpha(y_{1,j})^2 + d_\alpha(y_j)^2 \right) - \left( d_\alpha(y_{1,j})^2 + d_\alpha(y_j)^2 \right) \right] 
+ \left( d_\alpha(x_1)^2 + d_\alpha(y_1)^2 \right) - \left( d_\alpha(x_1)^2 + d_\alpha(y_1)^2 \right) 
+ \left( d_\alpha(y_1)^2 + d_\alpha(y_1)^2 \right) - \left( d_\alpha(y_1)^2 + d_\alpha(y_1)^2 \right) 
+ \left( d_\alpha(y_1)^2 + d_\alpha(y_1)^2 \right) - \left( d_\alpha(y_1)^2 + d_\alpha(y_1)^2 \right) 
= 3t \left[ (p + t + 2)^2 - (t + 3) \right] > 0.
\]

Hence the proof is complete. □

Lemma 4. Let $\alpha_4(Q)$ be a tree obtained from $Q$ after applying $\alpha_4$-transform (see Figure 4). For any $p, r \geq 0$, we have

\[ F(\alpha_4(Q)) > F(Q). \] 

Proof. If \( d_\alpha(x_1, z_1) \geq 2 \), then \( d_{\alpha_i(Q)}(x_1) + d_{\alpha_i(Q)}(z_1) = d_\alpha(x_1) + d_\alpha(z_1) = p + r + 2 \). Now by using the definition of $F$ index, we have

\[
F(Q_t) - F(Q) = \sum_{i=1}^{p} \left[ \left( d_\alpha(x_{1,i})^2 + d_\alpha(x_i)^2 \right) - \left( d_\alpha(x_{1,i})^2 + d_\alpha(x_i)^2 \right) \right] 
+ \sum_{j=1}^{r} \left[ \left( d_\alpha(z_{1,j})^2 + d_\alpha(z_j)^2 \right) - \left( d_\alpha(z_{1,j})^2 + d_\alpha(z_j)^2 \right) \right] 
+ \left[ \left( d_\alpha(x_1)^2 + d_\alpha(x_1)^2 \right) + \left( d_\alpha(x_1)^2 + d_\alpha(x_1)^2 \right) - \left( d_\alpha(x_1)^2 + d_\alpha(x_1)^2 \right) \right] 
+ \left( d_\alpha(y_1)^2 + d_\alpha(y_1)^2 \right) - \left( d_\alpha(y_1)^2 + d_\alpha(y_1)^2 \right) 
= p \left[ (p + 2)^2 + 1^2 - (p + 1)^2 - 1 + (r - 1)^2 + 1 \right] 
+ \left[ 1 + (p + 2)^2 \right] \left[ (p + 2)^2 + 2^2 - (p + 1)^2 - 2^2 - (r + 1)^2 \right] 
= 3 \left[ p(p + 3) - r(r + 1) + 2 \right] > 0.
\]

□
2.1. Greatest Value of F – Index for Trees of Given Diameter. The multistar graph denoted by $MS(r_1, r_2, \ldots, r_{d-1})$, where $r_1, r_{d-1} \geq 1$ and for $2 \leq j \leq d-2$, $r_j \geq 0$, is the caterpillar involving a path $a_1, a_2, \ldots, a_{d-1}$ of length $d-2$ having $r_j$ pendant vertices that are adjacent to $a_j$ for $1 \leq j \leq d-1$. The diameter of $MS(r_1, r_2, \ldots, r_{d-1})$ is equal to $d$, and can be derived by connecting the centers of $K_{1,r_1}, K_{1,r_2}, \ldots, K_{1,r_{d-1}}$ with edges. A bistar graph of order $r$ denoted by $BS(p, t)$, where $p + t = r - 2$, is formed by connecting the central vertices of $K_{1,p}$ and $K_{1,t}$ by an edge. A tree that has diameter $3$ is also a bistar. For integers $r, t$ with $2 \leq t \leq r - 1$, $S_{r,t}$ is tree derived by connecting $t - 1$ pendant vertices to the end node of the path $P_{r-t+1}$, with diameter $d(S_{r,t}) = r - t + 1$.

**Theorem 1.** Let $T$ be a tree on $r \geq 3$ vertices and diameter $d \geq 2$. Then the maximum value of $F(T)$ is attained for $T \equiv S_{r,r-d+1}$.

**Proof.** Applying $\alpha_1$-transform on the vertices that are not attached on the diametral path of $T$, we get that the maximum value of $F(T)$ is attained in the class of multistars $MS(r_1, r_2, \ldots, r_{d-1})$. Now applying the transformations presented in Lemma 2–Lemma 4, it follows that the maximum value of $F(T)$ is attained if and only if $r_1 = r - d, r_2 = r_3 = \ldots = 0$ and $r_{d-1} = 1$. Hence $T \equiv S_{r,r-d+1}$.

**Corollary 1**

(i) In the set of trees $T$ on $r$ vertices, we have

$$\max_{d(T) = d} F(T) > \max_{d(T) = j} F(T).$$

(ii) for $2 \leq i < j \leq r - 1$.

(iii) In the set of trees $T$ of order $r$ and diameter $d$ with $3 \leq d \leq r - 2$, the graphs with the greatest $F(T)$ value are (in this order) as follows:

$$MS(r - d, 0, \ldots, 0, 1), MS(r - d - 1, 0, \ldots, 0, 2), \ldots,$$

$$MS\left(\frac{r - d + 1}{2}, 0, \ldots, 0, \frac{r - d + 1}{2}\right).$$

**Proof**

(i) Let $T$ be a tree on $r$ vertices with diameter $i$. By Theorem 1 the maximum value of $F(T)$ is attained for $T \equiv S_{r,r-i+1} \equiv MS(r-i, 0, \ldots, 1)$. The result follows by applying many times $\alpha_1$-transform on $MS(r-i, 0, \ldots, 1)$.

(ii) Applying Lemma 1–Lemma 3 to $T$ yields the multistar $MS(p, 0, \ldots, 0, q)$ with $p + q = r - d + 1$. Now using Lemma 4 to $MS(p, 0, \ldots, 0, q)$, we get the required ordering.

**Theorem 2.** For tree of order $r \geq 8$, the maximum value of $F$-index is attained in the following order (see Figure 5).

$$F(K_{1,r-1}) > F(\text{BS}(r-3, 1)) > F(\text{BS}(r-4, 2)) > F(S_{r-3,r-3}) > F(\text{BS}(r-5, 3)).$$

**Proof.** Let $T$ be tree of order $r \geq 8$. By Corollary 1, the maximum value of $F(T)$ is achieved in the set of trees of diameter $2$. It follows that the trees with the maximum value of $F(T)$ is star $K_{1,r-1}$. The second maximum is attained for $S_{r-2,r-2} \equiv \text{BS}(r-3, 1)$ in the set of trees of diameter $3$. The next maximum is reached by $\text{BS}(r-4, 2)$ and $\text{BS}(r-5, 3)$ in trees of diameter $3$. Since $BS(r-3, 1)$ can be obtained from $BS(r-4, 2)$ by an $\alpha_4$ transformation, we get $F(K_{1,r-1}) > F(\text{BS}(r-3, 1)) > F(\text{BS}(r-4, 2))$. In the set of trees of diameter $4$, the maximum value is attained by $S_{r-3,r-3}$. 

![Figure 3: $\alpha_3$ - transform applied to $Q$.](image)

![Figure 4: $\alpha_4$ - transform applied to $Q$.](image)
To get the fourth maximum value we compare $F(BS(r - 5, 3))$ with $F(S_{r, r-3})$. We have

$$F(BS(r - 5, 3)) - F(S_{r, r-3}) = [(r - 5)[1 + (r - 4)^2] + (r - 4)^2 + 16 + 3(17)]$$
$$- [(r - 4)[1 + (r - 3)^2] + (r - 3)^2 + 4 + 8 + 5] = -3r^2 + 21r + 12 < 0. \quad (17)$$

Hence $F(BS(r - 5, 3)) < F(S_{r, r-3})$ for every $r \geq 8$. Also,

$$F(BS(r - 5, 3)) - F(MS(r - 5, 0, 2)) = [(r - 5)[1 + (r - 4)^2] + (r - 4)^2 + 1 + (r - 3)^2 + 4 + 13 + 20] = 30 > 0. \quad (18)$$

This shows that the second maximum value of $F$-index is achieved by $MS(r - 5, 0, 2)$ after $S_{r, r-3}$ in the set of trees of diameter 4. Using a $\alpha_1$-transform, it is easy to see that $MS(r - 5, 0, 0, 1)$ reaches the maximum value in the set of trees of diameter 5 and $F(MS(r - 5, 0, 0, 1)) < F(MS(r - 5, 0, 2))$, which completes the proof. \[ \Box \]

**Example 1.** Let $T$ be a tree on 10 vertices, then

$$F(K_{1,10-1}) = \sum_{uv \in E(Q_{1,10-1})} (d^2(u) + d^2(v)) = 9(1^2 + 9^2) = 738,$$

$$F(BS(10 - 3, 1)) = \sum_{uv \in E(BS(10-3,1))} (d^2(u) + d^2(v)) = [1^2 + 2^2] + [2^2 + 4^2] + 7[1^2 + 8^2] = 528,$$

$$F(BS(10 - 4, 2)) = \sum_{uv \in E(BS(10-4,2))} (d^2(u) + d^2(v)) = 2[1^2 + 3^2] + [3^2 + 7^2] + 6[1^2 + 7^2] = 378, \quad (19)$$

$$F(S_{10,10-3}) = \sum_{uv \in E(S_{10,10-3})} (d^2(u) + d^2(v)) = [1^2 + 2^2] + [2^2 + 2^2] + [2^2 + 7^2] + 6[1^2 + 7^2] = 366,$$

$$F(BS(10 - 5, 3)) = \sum_{uv \in E(BS(10-5,3))} (d^2(u) + d^2(v)) = 3[1^2 + 4^2] + [4^2 + 6^2] + 5[1^2 + 6^2] = 288.$$
This shows that
\[
F(K_{1,10-1}) > F(\text{BS}(10 - 3, 1)) > F(\text{BS}(10 - 4, 2))
\]
\[
> F(S_{10,10-3})
\]
\[
> F(\text{BS}(10 - 5, 3)).
\]

**Theorem 3.** In the set of trees \( T \) of order \( r \geq 5 \) with \( t \) pendant vertices, where \( 3 \leq t \leq r - 2 \), we have
\[
F(T) \leq t^3 - 7t + 8r - 8.
\]

The above equality holds if and only if \( T = S_{r,t} \).

**Proof.** First we prove that if \( x \) is a pendant vertex adjacent to \( y \), then
\[
F(T) - F(T - x) \leq 3t^2 - 3t + 2.
\]

With equality holds if and only if \( T = S_{r,t} \) and \( d(y) = t \).

Since \( 3 \leq t \leq r - 2 \), it follows that there exists a vertex \( z_0 \in N(y)/\{x\} \), such that \( d_{z_0} \geq 2 \). Otherwise \( T \) is a star having central vertex \( y \). We obtain
\[
F(T) \leq F(T - x) + 3t^2 - 3t + 2
\]
\[
\leq F(S_{r-1,t-1}) + 3t^2 - 3t + 2 = F(S_{r,t}),
\]
with equality holds for \( T - x = S_{r-1,t-1} \) and \( d(y) = t \). Hence \( T = S_{r,t} \).

\[\square\]

3. **Conclusion**

In this paper, our main focus is to obtain the greatest value of \( F \)-index for trees of given order, diameter, and pendant vertices. Also, we determine the ordering of corresponding extremal trees for \( F \)-index. We make use of some graph transformations to determine the greatest value of \( F \)-index for trees of given order, diameter, and pendant vertices. These transformations involves contraction and swapping of pendant edges from one vertex to other resulting in increase in the value of the forgotten index. Using these transformations continuously on a graph lead us to the desired extremal graph with respect to the forgotten index. On the way of obtaining extremal graphs, we also obtained some other members having the second, third, fourth, and fifth maximum value of the forgotten index.

**Data Availability**

No data were used to support this study.

**Conflicts of Interest**

The authors declare that they have no conflicts of interest.

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