Optical properties of drug metabolites in latent fingermarks

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Drug metabolites usually have structures of split-ring resonators (SRRs), which might lead to negative permittivity and permeability in electromagnetic field. As a result, in the UV-vis region, the latent fingermarks images of drug addicts and non drug users are inverse. The optical properties of latent fingermarks are quite different between drug addicts and non-drug users. This is a technic superiority for crime scene investigation to distinguish them. In this paper, we calculate the permittivity and permeability of drug metabolites using tight-binding model. The latent fingermarks of smokers and non-smokers are given as an example.

In 1968, negative index material (NIM) was first introduced by Veselago. NIM can have negative permittivity and permeability simultaneously. Pendry et al. gave a deep discussion and pointed out that a configuration which was called split-ring resonator (SRR) could put negative refraction into practice, apart from some particular configurations with non-trivial symmetry breaking. From then on, negative refraction became a focus in scientific research, for example NIM can be used to fabricate perfect lenses to enhance local field and detection sensitivity. Two years later, Shelby et al. realized NIM experimentally. Metamaterial, made up of SRRs or molecules which consist of SRRs, e.g. extended metal atom chains, becomes a new branch of study. Many new directions are developed, such as electromagnetic cloaking, toroidal moment, liquid crystal magnetic control, etc. On the other hand, in forensic science, on highly reflective surface, the latent fingermarks are difficult to be observed. The traditional method of visualizing the invisible fingermarks is using fluorescent tag. In Boddis and Russell’s paper, they made use of antibody-magnetic particle conjugates to visualize them. During this procedure, they find the latent fingermarks of smokers and non-smokers are quite different. As a result, the latent fingermarks of these two kinds of donors are observed to be inverse and thus they can be used to identify the smokers. The research of distinguishing drug users by metabolites becomes a new focus in forensic science field. In this paper, we first introduce negative refraction phenomenon to forensic science. We point out that when we put those latent fingermarks of drug addicts and non-drug users in the light field, they can also be identified. Furthermore, our method is physical and non-damaged, because the latent fingermarks will not be destroyed. More importantly, due to quantum effect, a small volume of molecules could sufficiently respond negatively to the applied electromagnetic fields. We give the theoretical derivation and calculation of this phenomenon. Our result is not only suitable for smokers but also for drug addicts. In other words, except for cotinine, the metabolite of nicotine, benzoylecgonine and morphine can also be detected using our method.

Results

Tight-binding Approximation and Hückel Model. Many molecules of drug metabolites have a broken ring configuration, i.e. SRR. This structure gives them special optical properties. Without loss of generality, we calculate cotinine, i.e. the metabolite of nicotine, as an example.

Figure 1(a) demonstrates the structure of cotinine molecule. The main part of cotinine is the hexagon part which is called pyridine as shown in Fig. 1(b). In this part, one carbon atom of the ring is substituted with one nitrogen atom. For the sake of simplicity, the remaining part of cotinine molecule is simplified as a methyl in the same plane. This simplification is reasonable because the main contribution to the optical property comes from the electrons in the conjugate part of cotinine molecule, i.e. single-nitrogen-substituted heterocyclic annulene or 3-methylpyridine in Fig. 1(c). Hückel calculation is justifiable and sufficient for the 3-methylpyridine model, though it is a simplified model. Recently, by using a small set of empirical parameters, the Hückel method has been successfully applied to calculate the energy of highest-occupied or and the first transition energy for a large set of organic molecules with less than 13% deviation. As a consequence, we utilize the Hückel model with the set of empirical parameters to simulate the optical properties of cotinine molecules in our paper.

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Alternatively, we also notice that by taking \( \sigma \) orbital into account, extended Hückel theory\(^{51-54}\) may provide better result with much less consuming time as compared to other more accurate method, e.g. time-dependent density function theory.

\( \pi \) electrons of the pyridyl interact with the electromagnetic fields and therefore result in special optical properties of cotinine. The structure of 3-methylpyridine consists of one pyridyl and one methyl with sites labeled as in Fig. 1(c). Although there are seven electrons in 3-methylpyridine, it has been discovered that one of the electrons is mainly located at the methyl and does not contribute to the current in the ring. Because the magnetic response originates from the circular current, hereafter we shall restrict our calculation to the pyridyl. However, due to the presence of methyl, two carbon-carbon bond lengths have been slightly modified. The problem starts from toluene (methylbenzene) which adds a methyl on a benzene. The quantum dynamics of six \( \pi \) electrons of benzene are described by the Hückel model as\(^{55}\)

\[
H_{\text{benzene}} = \sum_{j=1}^{6} \alpha_j |j\rangle \langle j| + \sum_{j=1}^{6} \beta_j |j\rangle \langle j+1| + |j+1\rangle \langle j|,
\]

where \( j \) is the site label, \( |j\rangle \) denotes the state with a \( \pi \) electron at site \( j \), \( \alpha_j \) is the site energy and \( \alpha_C = -6.7 \text{ eV} \). The coupling strength between \( j \)th and \( (j+1) \)th sites is given by the resonant integral \( \beta_{j,j+1} = \beta_{CC} = -2.462 \text{ eV} \).

Here we use Harrison expression\(^{57,58}\)

\[
\beta_{ij} = -\frac{\hbar^2}{m_e d_{ij}^2},
\]

where \( \hbar \) is the Planck constant, \( m_e \) is the mass of electron, \( d_{ij} \) is the bond length and can be attained from NIST\(^{56,59}\). Benzene has four energy levels \( \varepsilon_1, \varepsilon_2, \varepsilon_3, \varepsilon_4 \) which are labeled sequentially from the lowest eigen energy. Both the degeneracies of \( \varepsilon_3 \) and \( \varepsilon_4 \) are two, while \( \varepsilon_1 \) and \( \varepsilon_2 \) are non-degenerate.

For toluene, the resonant integrals of benzene have been modified, because the bond lengths are revised by the presence of the methyl. The bond length of benzene is \( d = 1.397 \text{ Å} \), while toluene have two values, i.e. \( d_1 = 1.394 \text{ Å} \) and \( d_2 = 1.395 \text{ Å} \). The smaller one is the bond length between carbon which is connected to the methyl and its adjacent atoms. The revised resonant integrals are \( \beta_1 = -2.469 \text{ eV} \) and \( \beta_2 = -2.473 \text{ eV} \). As labeled in Fig. 1(c), the Hückel Hamiltonian of toluene reads

\[
H_{\text{toluene}} = \sum_{j=1}^{6} \alpha_j |j\rangle \langle j| + \sum_{j=1,4,5,6} \beta_j |j\rangle \langle j+1| + |j+1\rangle \langle j| + \beta_2 |2\rangle \langle 3| + |3\rangle \langle 2| + |3\rangle \langle 4| + |4\rangle \langle 3|.
\]

In this case, the energy spectrum of toluene can be solved exactly as
The nitrogen atom is located at site 1, cf. Fig. 1(c). In this configuration, the site energies and coupling constants are explicitly given as

\[
\alpha_j = \begin{cases} 
\alpha_C &= -6.7 \text{eV}, \text{ for } j = 1, \\
\alpha_N &= -7.9 \text{eV}, \text{ for } j = 1,
\end{cases}
\]

(6)

\[
\beta_{j,j+1} = \begin{cases} 
\beta_1, \text{ for } j = 4.5, \\
\beta_2, \text{ for } j = 2.3,
\end{cases}
\]

(7)

By diagonalization, the Hückel Hamiltonian (5) can be reexpressed as

\[
H = \sum_{k=1}^{6} \varepsilon_k |\psi_k\rangle \langle \psi_k|,
\]

(8)

where \(|\psi_k\rangle = \sum_{j=1}^{6} C_k^{(j)} |j\rangle\) is \(k\)th single-electron molecular orbital, \(\varepsilon_k\) is the eigen energy.

In order to obtain \(|\psi_j\rangle\) and \(\varepsilon_j\), we use the perturbation theory in quantum mechanics. We assume that the unperturbed system is a toluene. Then, the perturbation originates from

\[
H' = H - H^\text{toluene} = (\alpha_N - \alpha_C) a_1^+ a_1 + (\beta_{CN} - \beta_1)(a_1^+ a_2 + a_2^+ a_1 + a_1^+ a_6 + a_6^+ a_1),
\]

(9)
where $a_j^\dagger$ is the creation operator on $j$th site and $a_j$ is the annihilation operator. As a consequence, the simplified cotinine molecule has also six energy levels (see Fig. 2), and all of them are non-degenerate. Hereafter, for the sake of simplicity, we further assume $\alpha_C = 0$.

According to the perturbation theory, the energy spectrum of the simplified cotinine molecule reads

\[
\varepsilon_1 = -5.384 \text{ eV}, \quad \varepsilon_2 = -2.956 \text{ eV}, \quad \varepsilon_3 = -2.471 \text{ eV}, \quad \varepsilon_4 = +2.245 \text{ eV}, \\
\varepsilon_5 = +2.471 \text{ eV}, \quad \varepsilon_6 = +4.895 \text{ eV}.
\]  

(10)

Following the degenerate perturbation theory, we can obtain the wave function to the first order. Since their explicit expressions are very complicated, we do not list the analytical expressions of energy and wave function here.

The cotinine molecule has six non-interacting $\pi$-electrons. On account of the spin degree (see Fig. 3), the ground state can be expressed in the second-quantization form as

\[
|\Psi_0\rangle = a_1^\dagger a_2^\dagger a_3^\dagger a_4^\dagger a_5^\dagger a_6^\dagger |\emptyset\rangle,
\]  

(11)

and $E_0$ represents the ground-state energy of the whole cotinine system, i.e.

\[
E_0 = 2(\varepsilon_1 + \varepsilon_2 + \varepsilon_3),
\]  

(12)

where $a_k^\dagger$ is the creation operator of the orbital $k$ with spin $\sigma$ ($\sigma = \uparrow, \downarrow$).

The system has eighteen single-excitation states, for example, the 2th and 3th excited states are

\[
|\Psi_2\rangle = a_1^\dagger a_2^\dagger a_3^\dagger a_4^\dagger |\emptyset\rangle, \quad |\Psi_3\rangle = a_1^\dagger a_2^\dagger a_3^\dagger a_4^\dagger |\emptyset\rangle,
\]  

with corresponding eigen energies

\[
E_2 = 2(\varepsilon_1 + \varepsilon_2) + \varepsilon_3 + \varepsilon_4, \quad E_3 = 2(\varepsilon_1 + \varepsilon_2) + \varepsilon_3 + \varepsilon_5,
\]  

respectively. In the first case, the electron with energy $\varepsilon_3$ and spin down is excited to energy level $\varepsilon_4$ with spin conserved. In the second case, the electron with energy $\varepsilon_3$ and spin up is excited to energy level $\varepsilon_5$ with spin conserved. Here the flip of electronic spin is not taken into consideration. To sum up, the single-excitation states read

\[
|\Psi_n\rangle = |\Psi_{nq}^{pq}\rangle = a_q^\dagger a_p |\Psi_0\rangle,
\]  

(13)

where $p = 1, 2, 3, q = 4, 5, 6, \sigma = \uparrow, \downarrow$, and the eigen energies are

\[
E_n = E_0 + \varepsilon_q - \varepsilon_p.
\]  

(14)

In the subspaces spanned by the ground state and single-excitation states, the Hamiltonian without electro-magnetic field reads

\[
\mathcal{H}_0 = \sum_{n=0}^{18} E_n |\Psi_n\rangle \langle \Psi_n|.
\]  

(15)

Figure 3. Schematic for the single-electron excitation of cotinine molecule (red solid up-arrow) from the ground state (red dashed up-arrow), i.e. $|\Psi_0\rangle \rightarrow |\Psi_2\rangle$ with transition energy $\Delta E_3 = E_3 - E_0 = \varepsilon_5 - \varepsilon_3$. 
Perturbation Theory in Electromagnetic Field. When there is a time-dependent electromagnetic field applied on the molecule, based on the dipole approximation, the total Hamiltonian including the interaction between the molecule and the electromagnetic field can be written as

\[ \mathcal{H} = \mathcal{H}_0 - \vec{\mu} \cdot \vec{E}(r, t) - \vec{m} \cdot \vec{B}(r, t) \]

\[ \simeq \mathcal{H}_0 - \vec{\mu} \cdot \vec{E}_0 \cos(\vec{k} \cdot \vec{r} - \omega t) - \vec{m} \cdot \vec{B}_0 \cos(\vec{k} \cdot \vec{r} - \omega t), \]

where \( \vec{\mu} \) and \( \vec{m} \) denote the electric and magnetic dipole moments respectively. By assuming the spatial scale of the molecule is much smaller than the wavelength of the field since the coordinate is chosen as Fig. 1(c), we have

\[ \mathcal{H} \simeq \mathcal{H}_0 - \vec{\mu} \cdot \vec{E}_0 \cos(\omega t) - \vec{m} \cdot \vec{B}_0 \cos(\omega t). \]

By a unitary transformation

\[ U^\dagger = \exp(-i\omega t|\Psi_0\rangle\langle\Psi_0|), \]

the Hamiltonian becomes time-independent

\[ \mathcal{H}' = U^\dagger \mathcal{H} U - iU^\dagger \dot{U} \]

\[ \simeq \sum_{n=1}^{18} E_n|\Psi_n\rangle\langle\Psi_n| + \langle E_0 + \omega \rangle|\Psi_0\rangle\langle\Psi_0| + \mathcal{H}''', \]

where

\[ \mathcal{H}''' = - \frac{1}{2} \sum_{n=1}^{18} \left( \vec{\mu}_{n0} \cdot \vec{E}_0 |\Psi_n\rangle \langle\Psi_0| + \vec{\mu}_{0n} \cdot \vec{E}_0 |\Psi_0\rangle \langle\Psi_n| \right) \]

\[ - \frac{1}{2} \sum_{n=1}^{18} \left( \vec{m}_{n0} \cdot \vec{B}_0 |\Psi_n\rangle \langle\Psi_0| + \vec{m}_{0n} \cdot \vec{B}_0 |\Psi_0\rangle \langle\Psi_n| \right), \]

\[ \vec{\mu}_{n} = |\Psi_n\rangle\langle\Psi_n|, \]

\[ \vec{m}_{n} = |\Psi_n\rangle\langle\Psi_n|. \]

In other words, we change the system from the static frame into a rotating frame. In the rotating frame, the state and operator become \( |\Psi\rangle = U^\dagger |\Psi\rangle \), and \( A' = U^\dagger A U \), respectively. Moreover, due to the interaction with electromagnetic field, the molecular ground state becomes

\[ |\Psi'_0\rangle = U^\dagger |\Psi_0\rangle = |\Psi_0\rangle + \sum_{n=1}^{18} \frac{\langle \Psi_n | H'' | \Psi_0 \rangle}{E_0 + \omega - E_n} |\Psi_n\rangle, \]

Permittivity. The electric dipole moment in the rotating frame reads

\[ \vec{\mu}' = U^\dagger \vec{\mu} U = \sum_{n=1}^{18} \left( \vec{\mu}_{0n} e^{-i\omega t} |\Psi_n\rangle \langle\Psi_0| + \vec{\mu}_{n0} e^{+i\omega t} |\Psi_0\rangle \langle\Psi_n| \right). \]

For the ground state, the expectation value for the dipole operator in the rotating frame is

\[ \langle \Psi'_0 | \vec{\mu}' | \Psi'_0 \rangle = -Re \sum_{n=1}^{18} \frac{\langle \Psi_n | \vec{E}_0 \cdot \vec{B}_0 |\Psi_n\rangle e^{-i\omega t}}{E_0 + \omega - E_n}. \]

In the electromagnetic field, the electric displacement field in a volume \( V \) with \( N \) identical molecules

\[ \vec{D} = \varepsilon \vec{E}_0 = \varepsilon_0 \varepsilon \vec{E}_0 = \varepsilon_0 \varepsilon \vec{E}_0 + \frac{\vec{\mu}}{V} \]

reads

\[ \vec{D} = \varepsilon_0 \varepsilon \vec{E}_0 - \sum_{s=1}^{N} \sum_{n=1}^{18} \frac{[\vec{\mu}_{n0}(s) \cdot \vec{E}_0]}{V (E_0 + \omega - E_n)}. \]

Thus, the total permittivity in different direction is
The relative dielectric constant of the system, i.e. the permittivity, gives
\[
\varepsilon_{ij} = \varepsilon_0 \delta_{ij} - \sum_{n=1}^{N} \sum_{i=1}^{18} \frac{\mu_{on}^{(i)}(s) \mu_{on}^{(j)}(s)}{(E_0 + \omega - E_n)V}, \quad \text{for } i, j = x, y, z
\]  
(28)

The magnetic induction in a volume \(V\) with \(N\) identical molecules

\[
B = \mu_0 H_0 = \mu_0 \mu_r H_0 = \mu_0 H_0 + \mu_0 \frac{M}{V}
\]

is explicitly given by
needs are different from unity in the vacuum case, as the presence of nitrogen (red solid line) along one main axis in the (blue dashed line) along the other main axis is always constant. In the magnetic and $E$ is shown.

Figure 4. The numerical results of (a) permittivity and (b) permeability of cotinine molecules vs the light frequency $\omega$. The permittivity $\varepsilon^{\prime}_{pp}$ (red solid line) along one main axis in the $xy$ plane is negative near the resonance frequency, while $\varepsilon^{\prime}_{pq}$ (blue dashed line) along the other main axis is always constant. In the magnetic response, the permeability along $z$ direction $\mu^{\prime}_{zz}$ is shown.

\[
\bar{B} = \mu_0 \bar{H}_0 - \sum_{s=1}^{N} \sum_{l=1}^{18} \frac{\mu_r \bar{m}_{0l}(s) \cdot \bar{B}_0}{V(E_0 + \omega - E_n)} m_{0n}(s).
\]

Notice that $\mu$ is the permeability of medium, different from the electric dipole moment $\bar{m}$ above.

The relative permeability of cotinine medium is simplified as

\[
\mu^{\prime}_{ij} \equiv \delta_{ij} - \mu_0 \sum_{s=1}^{N} \sum_{l=1}^{18} \frac{\bar{m}_{0l}(s) \cdot \hat{e}_i \bar{m}_{0n}(s) \cdot \hat{e}_j}{V(E_0 + \omega - E_n)}, \text{ for } i, j = x, y, z.
\]

**Analysis.** Equations (29) and (43) present the analytical results for the relative permittivity and permeability of cotinine molecules in electromagnetic field. According to the expressions of these two quantities, they can be negative simultaneously when the second parts of the expressions are greater than unity. In order to fulfill this requirement, the denominators of the second parts should be small enough. In other words, $E_0 + \omega - E_n$ needs to be much smaller than numerator which means $\omega \approx E_n - E_0$. For a given initial energy of the electron before transition $E_n$, we can observe simultaneous negative permittivity and permeability of cotinine molecules in electromagnetic field when the driving frequency $\omega$ is tuned approximately equal to the transition frequency $E_n - E_0$.

**Numerical Simulation of Permittivity and Permeability.** In the above section, the analytical derivation suggests that relative permittivity and permeability of cotinine molecules might be negative simultaneously in certain frequency regime. Here we show and analyze the numerical result. In the investigated model, the cotinine molecule is simplified as a pyridine and a methyl, c.f. Fig. 1(b). The simplified cotinine model is of two dimension. Thus, we only need to analyze the electromagnetic responses of the molecules in two directions. Figure 4 shows the numerical simulation of relative dielectric constants in the $xy$ plane and relative magnetic permeability in $z$ direction of the system. Here we assume the site energies $\alpha_C = 0$, $\alpha_N = \alpha_C - 1.2$ eV, and the coupling strengths $\beta_{C} = -2.462$ eV, revised coupling strengths $\beta_{C} = -2.469$ eV, $\beta_{N} = -2.473$ eV, $\beta_{C,N} = -2.676$ eV. The excited-state life time $\tau = 10$ ns is within the range of experimentally observation, e.g. $90 \mu$s. For a transition to the first excited state, e.g. a spin-up electron is excited from $\varepsilon^{\prime}_{pp}$ to $\varepsilon^{\prime}_{pq}$, the contributions from transition dipoles $\mu_{pq}$ and $m_{0p}$ are much larger than others i.e., $\omega \sim \Delta E_1 = \varepsilon_1 - \varepsilon_0$. In Fig. 4(a), both relative dielectric constants in the two main axes $\varepsilon^{\prime}_{pp}$ and $\varepsilon^{\prime}_{pq}$ are different from unity in the vacuum case, as the presence of nitrogen atom breaks the reflection symmetry along the axis connecting site 3 and the origin. Furthermore, Fig. 4 clearly shows the negative permittivity and permeability at the same time. This result suggests that cotinine molecules can be detected by negative refraction.

**Discussion.** In this paper we research the optical properties of drug metabolites in latent fingerprints. All of these drug metabolites have a structure in common, i.e. SRR which could realize negative refraction. And negative refraction makes the optical properties of latent fingerprint quite different between drug addicts and non-drug users and thus can be used to distinguish them. Illuminated by the same incident field, the latent fingerprints of these two kinds of donors may be observed in the different directions with respect to the normal of the interface. The method is to print the donor’s fingerprints on the transparent media and to observe them in the light transmission direction.
on the opposite side with respect to the side for the normal refraction. In the ordinary case, the refracted light and incident light are on the opposite sides of the normal. However, if the donor is a drug addict, we can detect the refracted light on the same side of incident light with respect to the normal. Although the concentration of drug metabolites may not be evenly distributed in the fingermark, some parts of the fingermark can be detected by negative refraction once the concentrations of drug metabolites in these parts are sufficiently large. Because of negative refraction, the fingermarks of drug addicts can be distinguished from those of non-drug users. Without loss of generality, we take cotinine as an example to calculate electromagnetic response of metabolites in latent fingermarks of smokers. According to our analytic derivation and numerical simulation, we demonstrate the presence of negative refraction in cotinine molecules. The advantage of this method is that it is physical and can also be conveniently applied to distinguish drug addicts and non-drug users. For example, except for cotinine, benzoylcegonine and morphine can also be detected using our method.

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Author Contributions
Y.S. wrote the main manuscript text and did the calculations. Y.S. and Q.A. designed the project and reviewed the manuscript.

Additional Information
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