An Unmethylated 3’ Promoter-Proximal Region Is Required for Efficient Transcription Initiation

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The promoter regions of approximately 40% of genes in the human genome are embedded in CpG islands, CpG-rich regions that frequently extend on the order of one kb 3’ of the transcription start site (TSS) region. CpGs 3’ of the TSS of actively transcribed CpG island promoters typically remain methylation-free, indicating that maintaining promoter-proximal CpGs in an unmethylated state may be important for efficient transcription. Here we utilize recombinase-mediated cassette exchange to introduce a Moloney Murine Leukemia Virus (MoMuLV)-based reporter, in vitro methylated 1 kb downstream of the TSS, into a defined genomic site. In a subset of clones, methylation spreads to within ~320 bp of the TSS, yielding a dramatic decrease in transcript level, even though the promoter/TSS region remains unmethylated. Chromatin immunoprecipitation analyses reveal that such promoter-proximal methylation results in loss of RNA polymerase II and TATA-box-binding protein (TBP) binding in the promoter region, suggesting that repression occurs at the level of transcription initiation. While DNA methylation-dependent trimethylation of H3 lysine (K)9 is confined to the intragenic methylated region, the promoter and downstream regions are hypo-acetylated on H3K9/K14. Furthermore, DNase I hypersensitivity and methylase-based single promoter analysis (M-SPA) experiments reveal that a nucleosome is positioned over the unmethylated TATA-box in these clones, indicating that dense DNA methylation downstream of the promoter region is sufficient to alter the chromatin structure of an unmethylated promoter. Based on these observations, we propose that a DNA methylation-free region extending several hundred bases downstream of the TSS may be a prerequisite for efficient transcription initiation. This model provides a biochemical explanation for the typical positioning of TSSs well upstream of the 3’ end of the CpG islands in which they are embedded.

Introduction

DNA methylation is essential for mammalian development [1,2], playing an important role in maintaining transcriptional silencing of genes on the inactive X chromosome, imprinted genes, and parasitic elements [3,4]. In mammals, DNA methylation occurs predominantly on cytosines in the context of the 5’-CpG-3’ dinucleotide (mCpG), and this epigenetic mark is propagated on both parent and nascent strands after DNA replication. The CpG dinucleotide is generally found at a lower than expected frequency in the mammalian genome, with the exception of G+C-rich regions known as CpG islands, which have the statistically expected frequency of CpGs [5]. Analysis of the distribution of DNA methylation reveals that while the majority of cytosines in the context of the CpG dinucleotide are methylated in normal adult somatic tissues, promoter regions containing a high concentration of CpGs, which encompass approximately 70% of mammalian genes [6], typically remain methylation-free [7]. Surprisingly, the relatively high CpG density associated with CpG island promoters frequently extends ~400-1,000 bp downstream of the transcription start sites (TSS) of such genes [8], indicating that an unmethylated region extending 3’ of the TSS may be required for efficient transcription.

While it is clear that methylation of promoter regions, including that of the Moloney Murine Leukemia Virus (MoMuLV) [9], leads to silencing at the level of transcription initiation [4,10,11], several lines of evidence suggest that DNA methylation in the promoter proximal region 3’ of the TSS can also have an adverse affect on transcription. Methylation...
Author Summary

Genes, the functional units of heredity, are made up of DNA, which is packaged inside the nuclei of eukaryotic cells in association with a number of proteins in a structure called chromatin. In order for transcription, the process of transferring genetic information from DNA to RNA, to take place, chromatin must be decondensed to allow the transcription machinery to bind the genes that are to be transcribed. In mammals, promoters, the starting position of genes, are frequently embedded in “CpG islands,” regions with a relatively high density of the CpG dinucleotide. Paradoxically, while cytosines in the context of the CpG dinucleotide are generally methylated, CpGs flanking the start sites of genes typically remain methylation-free. As CpG methylation is associated with condensed chromatin, it is generally believed that promoter regions must remain free of methylation to allow for binding of the transcription machinery. Here, using a novel method for introducing methylated DNA into a defined genomic site, we demonstrate that DNA methylation in the promoter-proximal region of a gene is sufficient to block transcription via the generation of a chromatin structure that inhibits binding of the transcription machinery. Thus, methylation may inhibit transcription even when present outside the promoter region.

exclusively in the coding region of an episomal reporter for example, yields an ~10-fold reduction in expression, relative to an unmethylated control [12]. Similarly, transient transfection of reporter constructs methylated in vitro in regions exclusive of the promoter yields a dramatic decrease in expression level relative to unmethylated controls [13,14]. Furthermore, microinjection experiments of mammalian cells [15] or Xenopus oocytes [16] with in vitro methylated reporter constructs reveals that dense methylation 3’ of an unmethylated promoter can dramatically decrease expression level, particularly when located in close proximity to the promoter. Using the CreloxP-based recombination system, recombinase-mediated cassette exchange (RMCE) [11,17,18], we recently showed that a region of dense methylation located ~1 kb downstream of the TSS of a p16/CDKN2A CpG island promoter attenuates expression level by decreasing elongation efficiency [19]. Taken together, these results reveal that methylation 3’ of the TSS may adversely affect the efficiency of transcription. However, a detailed analysis of the interplay between proximity of DNA methylation to the TSS, transcription efficiency, and chromatin structure has not yet been reported.

Here we used RMCE to target a MoMuLV long terminal repeat (LTR)-based transgene encoding “humanized” green fluorescent protein (GFP), either unmethylated or methylated in vitro exclusively in a region 3’ of the TSS, to a specific intergenic genomic site in murine erythroleukemia (MEL) cells. We show that the methylation pattern introduced in vitro is unstable in vivo, with spreading of methylation towards the TSS occurring in a subset of the clones isolated. When methylation spreads to within ~320 bp 3’ of the TSS, expression is dramatically reduced, relative to clones bearing an unmethylated cassette integrated at the same site. Surprisingly, such promoter-proximal methylation inhibits RNA polymerase II (RNAPII) recruitment and TATA-box-binding protein (TBP) binding at the unmethylated promoter. Analysis of the modification state of the amino-terminal tail of H3 reveals that while H3 trimethylated on lysine 9 (H3K9me3) is confined to the patch-methylated region, the unmethylated promoter is hypo-acetylated at this residue, and nucleosome positioning is dramatically altered around the TSS. Based on these observations, we propose that while methylation ~1,000 bp 3’ of the TSS has a relatively modest effect on transcription elongation, methylation ~300 bp 3’ of the TSS generates a chromatin structure that precludes efficient transcription initiation from a methylation-free promoter.

Results

To determine if DNA methylation exclusive of the promoter/TSS region of a CpG island promoter influences transcription initiation, we introduced a transgene containing the MoMuLV LTR driving expression of GFP, either unmethylated or “patch” methylated in vitro exclusively in a region ~1 kb 3’ of the TSS (Figure 1A), into the RL5 integration site in MEL cells by RMCE [11,17]. This integration site was recently cloned and mapped to the intergenic region between the Tal1 and Map17 genes on Chromosome 4 [20]. Flow cytometric analysis of the pool of ganciclovir resistant cells electroporated with the control unmethylated (−) cassette revealed a high and homogeneous level of GFP expression (Figure 1B). In contrast, analysis of the pool of ganciclovir resistant cells harboring the patch-methylated cassette revealed heterogeneous GFP expression, with one population expressing at a level approaching that of the unmethylated cassette and another expressing at a relatively low level. To study the transcriptionally active subpopulations in greater detail, GFP+ cells were sorted, and subclones generated. As expected, the majority of clones generated with the unmethylated cassette harbor the transgene at the RL5 site in one of two possible orientations, as determined by Southern blotting (Figure 1C). Thus, consistent with our previous work, these data reveal that an unmethylated cassette is expressed at relatively high levels, irrespective of genomic orientation. In all subsequent experiments, control clones harboring an “orientation-matched” unmethylated cassette were analyzed in parallel with clones harboring the patch-methylated cassette. To determine whether the heterogeneity in expression detected in the pool of cells harboring the patch-methylated cassette reflects the presence of cells with distinct, stable expression states, clones were analyzed by flow cytometry at day 38 post-electroporation (Figure 2A and 2B). Patch-methylated clones (identified with an affixed “P” throughout the remainder of this article) of two distinct classes were detected; one expressing GFP at levels close to the unmethylated cassette and another expressing at significantly reduced levels. Comparison of the median GFP fluorescence values of ten clones harboring the patch-methylated cassette reveals that the “dull” clones express at a level ~2%-10% of the unmethylated control (with the exception of clone 3P, which shows a heterogeneous pattern of expression; unpublished data), while the “bright” clones express at a level approaching that of the unmethylated control (Figure 2B). To independently determine the relative expression level of the “low-expressing” class of clones, the steady state level of mRNA of an unmethylated control (6−), and a representative low-expressing patch-methylated clone (9P) was determined by RT-PCR (Figure 2C). Consistent with the flow cytometry
results, the methylated clone was found to express mRNA at \(\sim 2\%\) of the level of the unmethylated clone. To confirm that the clonal populations showing a low level of expression do not include transcriptionally silent cells, subpopulations of clone 9P cells showing relatively high (above the 98th percentile of the GFP negative parent line) or low (indistinguishable from the parent line) expression were sorted, cultured for 5 d, and reanalyzed by flow cytometry (Figure 2D). The expression profiles of these populations were very similar, indicating that the cells in this clone are homogeneous with respect to expression level. Taken together, these results reveal that a subset of patch-methylated clones harbor a cassette from which expression is dramatically reduced relative to an unmethylated control cassette integrated at the same site in the same orientation.

Given the heterogeneity in expression profiles of clones harboring the initially patch-methylated cassette, we next determined the methylation status of the cassettes in each of the clones described in Figure 2. Genomic DNA isolated 35 days post-electroporation was digested with BamHI alone, or in combination with the methylation-sensitive restriction enzyme HpaII, and Southern analysis was conducted using a GFP probe (Figure 3A and 3B). Two classes of clones are readily apparent, one with a relatively high molecular weight band of \(\sim 1.65\) kb (clones 1P, 4P, 8P, 9P, and 10P), and another with relatively low molecular weight bands between \(\sim 0.5\) and 0.9 kb (clones 2P, 5P, 6P, and 7P). The former is indicative of the absence of methylation at the first HpaII site 5′ of the patch-methylated region, but maintenance of methylation at all HpaII sites 3′ of this site, while the latter is indicative of
loss of methylation at some or all of the premethylated sites. Comparison of the methylation state with the expression data, as measured by flow cytometry (see Figure 2B), reveals that clones harboring cassettes with varying degrees of demethylation of the patch-methylated region are all in the high-expressing class, while clones showing maintenance of methylation at the premethylated sites, are all in the low-expressing class of clones.

To characterize the methylation status of representative low- and high-expressing patch-methylated clones in greater detail, we analyzed clones 2P, 5P, 8P, 9P, and the unmethylated control clone 6—by bisulphite sequencing, using primers specific for the LTR (I), GAG (II), “methylation-junction” (III), and GFP/in vitro methylated (IV) regions (Figure S3; Figure S1; and unpublished data). Sequencing of cloned amplification products revealed that “alleles” of the high-expressing unmethylated control clone 6—and the initially patch-methylated clone 2P—are virtually methylation-free, explaining why the latter clone shows an expression profile similar to that of the initially unmethylated cassette. Interestingly, clone 5P, which shows an “intermediate” level of expression, retains the general methylation pattern introduced in vivo, with loss of methylation at some sites in the premethylated region but no spreading upstream of this region.

In contrast, “alleles” of the low-expressing clones 8P and 9P not only retained the initial methylation state at the majority of CpG sites, but also showed significant spreading of methylation upstream of this region (Figure S3, Figure S1). Thus, the apparently unmethylated HpaII site (as determined by Southern blotting) is embedded within the “de novo” methylated domain in these clones. Unfortunately, as this site is within the 3’ primer sequence of the GAG amplon (see Table S1), the bisulphite data is uninformative for this site. However, several other CpGs in the initially unmethylated promoter proximal region remain unmethylated, despite being flanked by newly methylated sites, indicating that specific CpGs flanking the patch-methylated region are de novo methylated with very different efficiencies.

Surprisingly, in the two low-expressing clones that were analyzed, methylation did not spread beyond ~320 bps 3’ of the TSS. With the exception of a few dispersed CpGs that are methylated in a subset of sequenced alleles, the promoter and TSS region in these clones remain methylation-free. These data indicate that spreading of methylation to within ~320 bp of the TSS is sufficient to dramatically reduce transcriptional efficiency from an unmethylated promoter, while methylation ~1,000 bp 3’ of the TSS has a relatively modest effect on transcription.

Previously, we found that the histone deacetylase (HDAC) inhibitor Trichostatin A (TSA) dramatically increased transcription from MoMuLV proviral clones constitutively expressing at a low level, but is incapable of activating expression in the same clones at a later time point when DNA methylation has accumulated in the TSS region [9]. These results indicate that deacetylation of histones enhances transcription from active promoters, but is ineffective at promoting transcription from promoters that are methylated. Treatment of representative clones (3’ and 6’) harboring the unmethylated L1-LTRGFP-1L cassette with 50nmol TSA for 48 h yielded a dramatic increase in expression level (Figure S2). In contrast, the same treatment of the low-expressing clones 8P and 9P yielded no increase in GFP expression, indicating that in the presence of promoter-proximal methylation, inhibition of HDAC activity is not sufficient for transcriptional induction.

To determine whether methylation in close proximity to the promoter region influences recruitment of RNAPII to the transgene, formaldehyde cross-linked chromatin was generated from a representative unmethylated control clone (6’) and a low-expressing patch-methylated clone (9P). Chromatin immunoprecipitation (ChIP) was performed using antibodies specific for the N-terminal domain (sc–899) or the unphos-
 phosphorylated C-terminal domain (CTD) (8WG16) of RNAPII. Quantitative real-time PCR was conducted using primers specific for the transgene (Figure 4A), as well as for the endogenous β-major (β-maj) globin gene, which is not expressed in uninduced MEL cells [21]. Analysis of the data generated from two independent chromatin preparations reveals that, as expected, no enrichment of RNAPII is detected in the promoter region of the β-maj gene, relative to control immunoglobulin G (IgG) (Figure 4B and 4C). While clone 9P also shows no enrichment of RNAPII in the promoter (TATA) and downstream (GFP) regions of the transgene, the unmethylated clone shows significant enrichment in both regions using both α-RNAPII reagents (Figure 4B and 4C). Not surprisingly, a higher level of enrichment of RNAPII was detected in the promoter than in the downstream region. Similar results were obtained using an antibody specific for RNAPII phosphorylated on Serine 5 of the CTD, the elongation-competent form of the holoenzyme (Figure S3). Taken together, these results reveal that methylation beginning 320 bp downstream of the TSS is sufficient to inhibit recruitment of RNAPII to the unmethylated transgene promoter.

To determine whether the failure to recruit RNAPII is the result of the inhibition of formation of the preinitiation complex (PIC), we next carried out ChIP analysis of TBP, a subunit of the transcription initiation factor (TFIID) complex that binds to the TATA box and initiates formation of the PIC. Analysis of the data generated from two independent

Figure 3. The Low-Expressing Patch-Methylated Clones Show Spreading of Methylation towards the Promoter Region
(A) A map of the integrated L1-LTRGFP-1L cassette showing the relevant restriction sites, the probe used for Southern analysis (horizontal bar), the primer pairs used for bisulphite sequencing, and a CpG density map.
(B) Southern analysis of genomic DNA isolated from patch-methylated clones digested with BamHI alone or in combination with the methylation-sensitive restriction enzyme HpaII. The double digest reveals bands between ~1660 bps and ~530 bps, corresponding to the first HpaII site upstream of the methylated region (shown as an asterisk in [A]), or the fragment size predicted for complete digestion of the cassette, respectively.
(C) The methylation status of representative high- (5P) and low- (9P) expressing patch-methylated clones and an unmethylated (6/C0) control was analyzed in greater detail by bisulphite sequencing, using primers specific for the LTR (I), intron (II), and downstream/methylated regions (IV). The presence of methylation at specific CpG sites is shown (black ovals) for each molecule sequenced (horizontal lines). Empty ovals indicate CpGs embedded in the primer region. The first HpaII site 5’ of the patch-methylated region is marked with an asterisk.
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Figure 4. Promoter-Proximal Methylation Affects RNAPII Recruitment and TBP Binding

Chromatin isolated from unmethylated and patch-methylated clones 6- and 9P, respectively, was immunoprecipitated using antibodies (Abs) specific for the N-terminal domain of RNAPII (sc-899), the unphosphorylated CTD of RNAPII (8WG16), TBP, or control rabbit IgG. Quantitative real-time PCR was conducted using the transgene specific primers shown (A), or a control primer pair specific for the endogenous \( \beta \)-maj gene. The mean percent (+/- standard deviation) of bound material/total input chromatin for two to three independent chromatin preparations is shown for each ChIP experiment. No enrichment of RNAPII was detected in the silent \( \beta \)-maj gene in either clone with sc-899 (B) or 8WG16 (C), demonstrating the low level of background detected under the conditions used. Analysis of the TATA box and GFP regions of the transgene reveals significant enrichment of RNAPII exclusively in the unmethylated, highly transcribed clone, particularly at the 5' end of the transgene. Similarly, TBP binding is detected exclusively in the promoter region of the unmethylated clone (D), suggesting that inhibition of transcription of the patch-methylated cassette occurs as the level of transcription initiation.

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Chromatin was generated from clones 6– and 9P, and ChIP was conducted using antibodies specific for H3 acetylated on K9/K14, H3 trimethylated on K9 or control rabbit IgG. Quantitative real-time PCR was carried out on the immunoprecipitated DNA using primers specific for the endogenous Gnas and/or β-maj genes as internal controls and the TATA box or GFP regions of the transgene (shown in Figure 4A). For each antibody, values shown represent the mean percent (+/- standard deviation) of bound material/total input chromatin for three independent experiments.

(A) Analysis of the TATA box and GFP regions of the transgene reveals enrichment of H3K9/14Ac (H3Ac) exclusively in the highly transcribed unmethylated cassette. The level of enrichment of H3K9/14Ac in the β-maj gene promoter region was similar between the two clones, indicating that the immunoprecipitations worked with similar efficiencies.

(B) Analysis of the TATA box and GFP regions of the transgene reveals a significantly higher level of H3K9m3 (H3K9m) enrichment exclusively in the unmethylated clone, despite the fact that the promoter/TATA box region of the patch-methylated cassette is also unmethylated.

Given the absence of H3K9/K14ac throughout the patch-methylated cassette, the latter of which is the major substrate of the mammalian p300, PCAF and GCN5 histone acetyltransferases [34,35]. Similar levels of enrichment of H3K9/K14ac were detected at the endogenous β-maj gene in both the unmethylated and patch-methylated clones (Figure 5A), indicating that the efficiency of the immunoprecipitation was similar for both clones. Surprisingly, analysis of the TATA and GFP regions of the transgene revealed significant enrichment of H3 acetylation exclusively in the unmethylated clone, despite the fact that the promoter/TATA box region of the patch-methylated cassette is also unmethylated.

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Figure 5. Promoter-Proximal DNA Methylation Leads to Hypoacetylation of H3K9/14 across the Transgene and Local H3K9 Trimethylation

Chromatin was generated from clones 6– and 9P, and ChIP was conducted using antibodies specific for H3 acetylated on K9/K14, H3 trimethylated on K9 or control rabbit IgG. Quantitative real-time PCR was carried out on the immunoprecipitated DNA using primers specific for the endogenous Gnas and/or β-maj genes as internal controls and the TATA box or GFP regions of the transgene (shown in Figure 4A). For each antibody, values shown represent the mean percent (+/- standard deviation) of bound material/total input chromatin for three independent experiments.

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mCpGs 3’ of the TSS Block Transcription

methylation and H3K9 methylation [36–38], we next tested whether the patch-methylated cassette is marked by H3K9me3, using the endogenous Gnas gene, previously shown to be marked by H3K9me3 in MEL cells [39], as a positive control (Figure 5B). Analysis of the Gnas gene revealed similar levels of enrichment in the unmethylated and patch-methylated clones (~26- and 23-fold, respectively, relative to the IgG control), indicating that the H3K9me3 ChIP worked with similar efficiency in both chromatin preparations. A low level of enrichment, was also detected in the promoter region of the endogenous β-maj gene in both clones, consistent with the previous report showing H3K9me3 1 kb 3’ of the promoter region in uninduced MEL cells [40]. Analysis of the TATA box region of the transgene reveals that both clones 6– and 9P show a modest level of enrichment for H3K9me3. In contrast, analysis of the GFP region reveals a high level of H3K9me3 enrichment (~22-fold relative to IgG) exclusively in clone 9P. The unmethylated clone shows a relatively low level of enrichment in the same region, ~4.7-fold relative to IgG. Given the size of chromatin fragments generated via sonication, the slightly higher level of enrichment detected in the TATA region of clone 9P may be the result of amplification of template fragments bearing H3K9me3 in the downstream DNA methylated region. These data reveal that targeting of H3K9me3 to “euchromatic” regions in mammalian cells may be facilitated by the presence of DNA methylation per se, and that methylation-associated deposition of this mark may not spread beyond the DNA methylated regions.

To directly determine whether the observed inhibition of transcription in the low-expressing patch-methylated clones is associated with an altered chromatin structure in the upstream unmethylated CpG island promoter/TSS region, DNase I hypersensitivity (HS) analysis was conducted. Previous experiments have shown that a transcriptionally active MoMuLV LTR harbors two HS sites, one in the upstream enhancer region, and another around the TSS [41,42]. Nuclei from clones 6– and 9P were isolated and treated with DNase I. Subsequently, genomic DNA was extracted, digested with BamHI, and analyzed by Southern blot using the indirect end-labeling technique (Figure 6A).

The unmethylated clone showed a predominant band at 2.3 kb, and an additional band at 2.5 kb, corresponding to the TSS and the enhancer regions, respectively. In contrast, the clone bearing promoter-proximal DNA methylation showed a band of comparable intensity in the enhancer region, but only a faint HS site around the TSS, indicating that the chromatin structure around the TSS is indeed altered by DNA methylation; and that methylation-associated deposition of this mark may not spread beyond the DNA methylated regions.
activity is dependent upon nucleosome positioning or the presence of bound transcription factors [44]. Patches of ~150 bp of relatively under-methylated regions correlate with the approximate positions of nucleosomes on individual sequenced molecules [43]. As the promoter region of the transgene is virtually methylation-free in both the patch-methylated and unmethylated clones (see Figure 3), the methylation detected predominantly reflects the accessibility of individual CpGs to M.SssI activity. Bisulphite analysis of isolated genomic DNA treated with M.SssI for 5 min revealed complete methylation of all 24 CpGs in the promoter region (unpublished data). In contrast, analysis of isolated nuclei treated with M.SssI for 15 min revealed a clone-dependent methylation pattern (Figure 7A). While clone 6– shows a relatively low level of methylation downstream of the TSS, clone 9P showed a relatively low level of methylation across a ~150-bp region centered on the TSS (Figure 7B). These distinct patterns of methylation sensitivity likely reflect the positioning of a nucleosome over the promoter/TSS region in clone 9P, and the absence of a nucleosome in this region in the unmethylated, highly transcribed control. Taken together, these data reveal that the chromatin structure around the unmethylated TSS is indeed altered in cells harboring DNA methylation ~320 bp 3′ of this region, demonstrating that this epigenetic mark can act at a distance to disrupt nucleosome positioning and in turn, transcription initiation in mammalian cells.

**Discussion**

Here we utilized RMCE to target a patch-methylated construct into a defined chromosomal region. Spreading of methylation towards the TSS in a subset of clones allowed us to study the influence of methylation on transcription when present at different distances downstream of a methylation-free promoter. When methylation spreads to within ~320 bp of the TSS, expression is dramatically reduced, relative to an unmethylated cassette integrated at the same site. Clones bearing such promoter-proximal methylation show loss of RNAPII and TBP binding and a reduction in the level of H3K9/14. Nevertheless, the observed loss of a promoter-specific DNase I HS site and alteration of nucleosome positioning around the unmethylated promoter/TSS region reveals that DNA methylation beginning 320 bp–three nucleosomes—downstream of the TSS generates a chromatin structure in the methylation-free promoter region that precludes efficient transcription initiation.

Previously, we conducted a similar set of experiments using a construct with the p16 promoter driving expression of the GFP gene [19]. This construct, which differs from the construct used in this study at the promoter region only, was patch-methylated in vitro, in the same region as the L1-LTRGFP-I L construct and integrated at the same genomic site via RMCE. In contrast to the LTR-based construct, only one GFP+ population was detected by flow cytometry. Analysis of the methylation status of GFP+ clones harboring the p16 promoter-based construct, which showed a decrease in expression of ~40% relative to unmethylated control
clones, revealed no spreading of methylation upstream of the premethylated region ~1 kb downstream of the promoter, and no apparent effect on transcription initiation rate, as determined by polII ChIP and run-on analyses of the transcribed region upstream of the patch-methylated domain. Given that the level of expression from the unmethylated LTR is approximately five times greater than that of the unmethylated p16 promoter (at the RL5 integration site), it is quite possible that methylation spreading inhibited transcription from the p16 promoter to such an extent that the level of GFP expression was below the threshold for detection by flow cytometry. If so, this class of clones was inadvertently excluded from our original study, as only cells showing detectable GFP expression were chosen for further analysis.

In a subpopulation of cells harboring the L1-LTRGFP-1L cassette described here, a region upstream of the in vitro methylated domain was de novo methylated, yielding a dramatic decrease in expression that was nevertheless above the threshold for detection by flow cytometry. Why methylation spreading did not extend into the promoter region remains to be determined. Given the persistence of H3K4 methylation in the promoter region of the patch-methylated cassette, it is tempting to speculate that this "active" mark may serve in part to protect this region from de novo DNA methylation. Regardless, these data reveal that while transcription is only modestly reduced in the presence of a dense patch of methylation; 1k bd o w n s t r e a m o f t h e T S S , methylation within 320 bp of the TSS is sufficient to dramatically reduce transcription initiation efficiency, results consistent with those of Graessmann and colleagues, who found using microinjection of premethylated HSV-based reporter constructs that methylation of specific CpGs 570 bp downstream of the TSS had no effect on expression, while methylation of CpGs within 570 bp of the TSS had a dramatic effect on transcription [15]. Similarly, using a transiently transfected luciferase reporter, Hisano et al. showed that methylation of a region ~100 bp downstream of the TSS of the minimal promoter (which lacks CpGs altogether) of the murine Tact1 gene yielded a dramatic reduction in expression, relative to an unmethylated control [45]. Taken together, these observations indicate that methylation of the promoter region per se is not a prerequisite for DNA methylation-mediated transcriptional inhibition. As outlined in the model described in Figure 8, we propose that the distance of DNA methylation downstream of the TSS dictates the nature and level of transcriptional inhibition, with a modest effect on elongation efficiency occurring in the presence of dense DNA methylation on the order of 1 kb downstream of the TSS [19], and a dramatic decrease in initiation efficiency occurring in the presence of DNA methylation on the order of 300 bp downstream of the TSS.

Recruitment of HDAC and/or H3K9 MTase complexes to the methylated region may play a role in inhibiting transcriptional initiation at a distance. However, while we did detect a high level of enrichment of H3K9me3 in the DNA methylated/GFP region in the patch-methylated clone analyzed here, enrichment of this mark was only 2-fold higher in the promoter region of the patch-methylated cassette than the control unmethylated cassette, indicating that H3K9me3 acts at a distance to prevent recruitment of TBP, or is not responsible for the observed initiation block. As Vakoc et al.

**Figure 7.** Promoter-Proximal DNA Methylation Influences Nucleosome Positioning in the Unmethylated Promoter Region

To determine nucleosome positioning around the promoter region, nuclei from clones 6– and 9P were treated in parallel with M.SssI for 15 min. Subsequently, genomic DNA was isolated and analyzed by bisulphite sequencing using primers flanking the promoter/TSS region. For each clone, 24 molecules were cloned and sequenced. Methylated and unmethylated CpGs are depicted as filled and open ovals, respectively (A). The fraction of molecules harboring an unmethylated cytosine at each CpG in the sequenced region is plotted in (B). Note the dramatic difference in methylation efficiency spanning the region from ~CpG #5 to ~CpG #18 (a distance of 148 bps), particularly those sites flanking the TSS at ~CpG #11. Based on these data, a diagram showing the predicted predominant sites of nucleosome occupancy (ovals) for each clone is shown. The enhancer region (CpGs #1–3) is likely to be constitutively nucleosome-free, consistent with the presence of a DNase H5 site in this region in both clones. doi:10.1371/journal.pgen.0030027.g007
recently showed that H3K9me3 is frequently found in the transcribed regions of actively transcribing genes [40], we favor the latter possibility. Regardless, given that the unmethylated control cassette shows a relatively low level of enrichment of the H3K9me3 mark in the otherwise identical but unmethylated GFP region, our experiments clearly reveal that the presence of a short patch of DNA methylation is sufficient to recruit an HMTase with H3K9me3 activity to a "euchromatic" region in mammalian cells. Consistent with the hypothesis that DNA methylation can act upstream of H3K9 trimethylation in mammalian cells, Feng and colleagues recently showed that DNA methylation can act "upstream" of H3K9 trimethylation in mammalian cells, Feng and colleagues recently showed that the presence of CpG dinucleotides is required for maintenance of H3K9 trimethylation [39].

Genome-wide analysis of the distribution of H3K9/K14 acetylation reveals that this mark typically extends ~1 kb downstream of TSSs, and along the entire length of CpG islands [46]. Acetylation of histone tails in promoter regions facilitates efficient remodeling of nucleosomes via recruitment of SWI/SNF chromatin remodeling complexes and TFIID [29,33,47,48], and a recent analysis of PIC formation in 29 ENCODE regions in human cells reveals a very strong correlation between H3 acetylation and the presence of a PIC [49]. As the unmethylated promoter region of the patch-methylated cassette described here is hypo-acetylated relative to the identical but unmethylated control cassette integrated at the same site, we propose that the observed decrease in histone H3 acetylation reduces nucleosome "fluidity" in the promoter proximal region, which inhibits repositioning of the nucleosome around the TATA-box and in turn, recruitment of TBP.

Support for this hypothesis comes from the DNase I and M-SPA analyses described here. For a number of genes, formation of promoter DNase I HS sites precedes active transcription [50–52], perhaps reflecting the activity of chromatin remodeling complexes. Indeed, maintenance of expression from the MoMuLV LTR requires Bramha, the catalytic subunit of one of the mammalian SWI/SNF complexes [53]. Clones bearing methylated CpGs 300bp downstream of the TSS showed loss of the HS site normally found at the TSS and the presence of a nucleosome centered on the TSS, clearly demonstrating that promoter-proximal methylation can alter the chromatin structure of an unmethylated upstream promoter.

Recently, Frigola and colleagues demonstrated that silencing of all of the genes within a 4-Mb band of Chromosome 2q.14.2 occurs frequently in colorectal cancer [54]. Surprisingly, while several "CpG island suburbs" within this region harbor genes with hypermethylated CpG island promoters, other genes within this band are silenced despite the absence of promoter-specific DNA methylation, indicating that DNA methylation within promoter regions per se is neither a prerequisite nor a necessary consequence of transcriptional silencing in cancer. In light of the results reported here, it would be of interest to analyze the methylation state of the regions flanking the unmethylated but silenced CpG island promoters in this region.

Bird and colleagues noted some time ago that a high
density of CpGs extend 3’ of the TSSs associated with CpG islands [53]. Indeed, recent bioinformatic analyses reveals that a relatively high density of CpGs is frequently found to extend on the order of 1 kb downstream of the TSSs of mammalian promoters [6,8], indicative of the absence of methylation within these regions, at least in the germ line [56]. Our results reveal that a methylation-free region extending downstream of the TSS may be necessary for efficient promoter activity, perhaps explaining why CpG islands promoters are structured in this way.

Materials and Methods

Generation and in vitro methylation of the L1-LTRGFPpolyA-IL cassette. A HindIII to BamHI fragment including the MoMuLV LTR and GFP from the previously described L1-MFGFP-IL plasmid [42] was cloned into the vector L1polylinkerIL. Subsequently, a fragment containing the SV40 polyA signal was inserted into the BamHI site at the 3’ end of the GFP gene of this intermediate construct, yielding the L1-LTRGFP-IL construct used in this study. To generate the “patch methylated” cassette, this vector was digested with BglII, yielding two fragments, one containing the LTR and transcribed GAG sequence, and the other the GFP gene and plasmid backbone. The latter fragment was methylated in vitro with M.SssI and ligated to the unmethylated promoter fragment, generating a plasmid in which the TSS of the LTR is −1 kb from the 3’ end of the methylated region. To confirm that the methylation reaction was carried to completion, methylated DNA was digested with the methylation-sensitive enzyme HpaII following organic extraction and visualized by electrophoresis on an agarose gel (unpublished data).

Preparation of genomic DNA. Unmethylated and patch-methylated plasmid DNA, the DNA probe, restriction digests, and membrane transfers were performed as described previously [42]. Clones harboring a methylation within these regions, at least in the germ line [57], using from 0.67–7.67 g DNaseI/ml. DNAse I HS analysis. DNAse I digestion of nuclei was performed as described previously [57], using from 0.67–7.67 μg DNAseI/ml. DNAse I digested genomic DNA was purified and digested with BamHI, prior to electrophoresis on a 0.8% agarose gel. The GFP probe used for hybridization was generated by digestion of the plasmid L1-MFG-GFP-IL with NcoI and BamHI, yielding a restriction fragment including the GFP gene as an internal control. Amplification products were quantified via storage phosphor imaging using a Typhoon 8600 and ImageQuant software (Molecular Dynamics, http://www.ump.com/mdynamic.html).

Flow cytometry and RT-PCR. For FACS analyses, MEL cells were processed as previously described [42]. Data on at least 10,000 viable cells (as determined by PI staining) were collected for each sample and analyzed using FlowJo software (Treestar, http://www.treestar.com). For RT-PCR, total RNA was isolated from 5 × 10^6 cells using the RNeasy kit (Qiagen, http://www.qiagen.com) following the manufacturer’s protocol. Quantitative RT-PCR was carried out using random primer amplification. For experiments using the z-RNAIP antibodies SC-899 and SWG16 (2 μg), the percentage of material allowed it to cool to room temperature. After repeating this step with 0.5% SDS only, the blot was rinsed with 2x SSC. The blot was subsequently exposed to film to establish the efficiency of stripping. The probe used for rehybridization was generated by digestion of the plasmid BSKSIImHS3TIM with EcoRI and BamHI, generating a 360-bp fragment that is specific for the “HS3” region of the murine β-globin Locus Control Region.

ChIP analyses. To generate cross-linked chromatin, 2.4–4 × 10^7 exponentially growing cells were incubated in the presence of 1% (v/v) formaldehyde for 10 min at 37°C. Chromatin and ChIP conducted as described previously [58]. Polyclonal antibodies specific for TBP (kindly provided by N. Hernandez) H3K914ac (5 μg; Upstate, 06–599), which is reported to preferentially recognize H3 acetylated on K9 [59], H3Kme2 (5 μl) (Upstate, 07–030, http://www.upstate.com), H3Kme3 (4.5 μg) (Abcam, ab8580, http://www.abcam.com), and H3Kme3 (4.5 μg) (Upstate, 07–142) were used in combination with control, purified rabbit IgG (20 μg) (Sigma, http://www.sigmaaldrich.com).

To generate cross-linked chromatin for ChIP with antibodies recognizing RNAIP, 1.6 × 10^7 exponentially growing cells were incubated in the presence of 1% (v/v) formaldehyde at 37°C, and ChIP was conducted, as described previously [60]. Sonicated fragments were generated using a BioRuptor water bath sonicator (Diagenode, http://www.diagenode.com), with chromatin fragments ranging in size from 250–750 bp, as determined by electrophoresis through a 0.8% agarose-Tris-borate-EDTA gel and ethidium bromide staining. z-RNAIP antibodies used include: the polyclonals SC-899 (2 μg) (Santa Cruz, http://www.scbt.com) and SWG16 (2 μg) (Covance, MMS-126R, http://www.covance.com), specific for the amino- and carboxy-termini, respectively, of the large subunit of RNAIP and the monoclonal H14 (20 μg) (Covance, MMS-134B), specific for RNAIP phosphorylated on Ser5 of the CTD.

For experiments using the z-RNAIP antibodies SC-899 and SWG16 as well as the TBP, H3K914ac and H3K9me3 specific antibodies, enrichment via ChIP was determined by real-time quantitative PCR using the Bio-Rad, http://www.bio-rad.com), with EvaGreen (Biotium, http://www.biotium.com), and hot-start Taq polymerase (Fermentas, http://www.fermentas.com). Primers specific for the TATA box and GFP regions of the transgene were used, as well as primers specific for the endogenous Gnas and β-myc genes (sequences shown in Table S1). Conditions are available upon request. The percentage of material bound, relative to total input, was determined using the standard curve method.

For ChIP using the H14, H3K9me2, and H3K9me3 antibodies, quantitative duplex PCR was performed using a PerkinElmer 9700 thermocycler (http://www.perkinelmer.com), as described [42]. Conditions of linear amplification were determined empirically for all primer combinations. Each 25 μl reaction was supplemented with 1 μCi of [α-32P]dCTP (PerkinElmer), Primers specific for the LTR, GAG, and GFP regions of the transgene, as well as the endogenous Amy2 gene, were designed with DNAse I digestion on a 5% (v/v) nondenaturing polyacrylamide gel, and the amount of amplified product was quantified as described for RT-PCR. To determine “fold-enrichment” values for a given region in the cassette, the ratio of the two PCR products (transgene/control) was calculated for the antibody bound fraction and normalized to the ratio obtained from the input material. Relative enrichment values were subsequently calculated by taking the ratio of the fold-enrichment values of the unmethylated/methylated samples in each of the regions analyzed.

Southern blotting and bisulphite analysis. Preparation of genomic DNA, the DNA probe, restriction digests, and membrane transfers were performed as described previously [42]. Clones harboring a single copy integrant at the RL5 integration site were identified by digestion of genomic DNA (isolated at day 21 post-RMCE) with BamHI or EcoRI and blotted using the Southern blotting protocol. Southern blot analysis using the indirect end-labeling technique with the GFP probe. Methylation status of the cassette was determined by digestion of genomic DNA with BamHI alone or in combination with the methylation sensitive enzyme HpaII. For bisulphite analyses, genomic DNA was digested with BamHI and sonicated by phenol-chloroform extraction, denatured, treated with bisulphite as described previously [9], and subject to nested or semi-nested PCR using primers designed to amplify bisulphite-converted template. Primers used for the LTR (I), GAG (II), and GFP regions (IV) are listed in Table S1. PCR products were cloned via T/A cloning using the pGEM-T easy kit (Promega, http://www.promega.com), and individual inserts were sequenced using the Big Dye version 3.1. Sequencing data was analyzed using Sequencer software (Gene Codes, http://www.genecodes.com).

mCpGs 3’ of the TSS Block Transcription
Supporting Information

Figure S1. Methylation State of Two Additional Patch-Methylated Clones

A map of the integrated L1-LTRGFP-IL cassette showing the primer pairs used for bisulphite sequencing is shown. The methylation status of regions I, II, and III of an initially patch-methylated clone that has undergone de-methylation in vivo (2P) and an additional low-expressing clone (8P) was determined by bisulphite sequencing, as described in Figure 1.

Found at doi:10.1371/journal.pgen.0030027.sgg001 (161 KB PDF).

Figure S2. Promoter-Proximal DNA Methylation Abolishes TSA Inducibility of the Transgene

To determine whether the transcriptional inhibition associated with DNA methylation exclusive of the promoter could be reversed by inhibiting HDAC activity, two patch-methylated clones (8P and 9P) and two control unmethylated clones (6N and 7N) were treated with 50 nM TSA. Cells were analyzed for GFP expression 88 h post-induction by flow cytometry and median GFP fluorescence values are shown (ND: not done). In contrast to the unmethylated controls, TSA does not induce expression of the low-expressing patch-methylated clones.

Found at doi:10.1371/journal.pgen.0030027.sgg002 (35 KB PDF).

Figure S3. Promoter-Proximal Methylation Affects RNAPII Recruitment and H3K4 Methylation

(A) A map of the integrated L1-LTRGFP-IL transgene, including the primer pairs used for ChiP is shown. Chromatin isolated from clones 6N, 7N, 8N, and 9N was sonicated and subject to ChiP analyses using antibodies specific for RNAPII phosphorylated at Ser5 (polII-S5) (B), or histone H3 di- (H3K4me2) (C) or tri-methylated on K4. Fold-enrichment values (± standard deviation) relative to the transcriptionally inert Amy2 gene were determined as described in the materials and methods section for two independent experiments.

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