ADJOINT FUNCTOR THEOREMS FOR HOMOTOPICALLY ENRICHED CATEGORIES

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Abstract. We prove an adjoint functor theorem in the setting of categories enriched in a monoidal model category $\mathcal{V}$ admitting certain limits. When $\mathcal{V}$ is equipped with the trivial model structure this recaptures the enriched version of Freyd’s adjoint functor theorem. For non-trivial model structures, we obtain new adjoint functor theorems of a homotopical flavour — in particular, when $\mathcal{V}$ is the category of simplicial sets we obtain a homotopical adjoint functor theorem appropriate to the $\infty$-cosmoi of Riehl and Verity. We also investigate accessibility in the enriched setting, in particular obtaining homotopical completeness results for accessible $\infty$-cosmoi.

1. Introduction

The general adjoint functor theorem of Freyd describes conditions under which a functor $U : \mathcal{B} \to \mathcal{A}$ has a left adjoint: namely,

- $U$ satisfies the solution set condition and
- $\mathcal{B}$ is complete and $U : \mathcal{B} \to \mathcal{A}$ preserves limits.

The first applications of this result that one typically learns about are the construction of left adjoints to forgetful functors between algebraic categories, and the completeness of algebraic categories. In the present paper we shall describe a strict generalisation of Freyd’s result, applicable to categories of a homotopical nature, and shall demonstrate similar applications to homotopical algebra.

The starting point of our generalisation is to pass from ordinary Set-enriched categories to categories enriched in a monoidal model category $\mathcal{V}$. For instance, we could take $\mathcal{B}$ to be

- the 2-category of monoidal categories and strong monoidal functors (here $\mathcal{V} = \textbf{Cat}$);

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the $\infty$-cosmos of quasicategories admitting a class of limits and morphisms preserving those limits (here $\mathcal{V} = \text{SSet}$);

- the category of fibrant objects in a model category (here $\mathcal{V} = \text{Set}$ but with what we call the split model structure)

and $U : B \to A$ the appropriate forgetful functor to the base.

Now in none of these cases is the $\mathcal{V}$-category $B$ complete in the sense of enriched category theory, but each does admit certain limits captured by the model structure in which we are enriching — in particular, cofibrantly-weighted limits.

If we keep the usual solution set condition, which holds in many examples including those described above, the question turns to what kind of left adjoint we can hope for? Our answer here will fit into the framework of enriched weakness developed by the second-named author and Rosický in [22]. Given a class $\mathcal{E}$ of morphisms in $\mathcal{V}$, one says that $U : B \to A$ admits an $\mathcal{E}$-weak left adjoint if for each object $A \in A$ there exists an object $A' \in B$ and a morphism $\eta_A : A \to UA'$ for which the induced map

$$B(A', B) \xrightarrow{U} A(UA', UB) \xrightarrow{A(\eta_A, UB)} A(A, UB)$$

belongs to $\mathcal{E}$ for all $B \in B$. Here one recovers classical adjointness on taking $\mathcal{E}$ to be the isomorphisms. In this paper, we take $\mathcal{E}$ to be the class of morphisms in the model category $\mathcal{V}$ with the dual strong deformation retract property (see Section 3 below). We follow [8] in calling such morphisms shrinkable,\(^1\) and we introduce the name left shrink-adjoint for $\mathcal{E}$-weak left adjoint in this case where $\mathcal{E}$ consists of the shrinkable morphisms.

Our first main result, Theorem 7.7, is our generalisation of Freyd’s adjoint functor theorem, and gives a sufficient condition for a $\mathcal{V}$-functor to have such a left shrink-adjoint. It is a generalisation, since when $\mathcal{V} = \text{Set}$ with the trivial model structure, all colimits are cofibrantly-weighted, the shrinkable morphisms are the isomorphisms, and left shrink-adjoints are just ordinary left adjoints.

Our second main result, Theorem 8.9, applies our adjoint functor theorem to the construction of $\mathcal{E}$-weak colimits, in the sense of [22]. Namely, we prove that if $\mathcal{C}$ is an accessible $\mathcal{V}$-category admitting certain limits, then $\mathcal{C}$ admits $\mathcal{E}$-weak colimits. Once again, in our current setting where $\mathcal{E}$ consists of the shrinkable morphisms, we introduce the term shrink-colimit for $\mathcal{E}$-weak colimit.

The remainder of the paper is devoted to giving applications of our two main results in various settings. In particular, we interpret them

\(^1\)And we thank Karol Szumiło for suggesting the name.
for 2-categories and ∞-cosmoi, where we obtain new results concerning adjoints of a homotopical flavour and homotopy colimits. We also provide numerous concrete examples to which these results apply.

Let us now give a more detailed overview of the paper. In Section 2 we introduce our basic setting, including four running examples to which we return throughout the paper. These four examples capture classical category theory, weak category theory, 2-category theory and ∞-cosmoi. Furthermore, we assign to each \( \mathcal{V} \)-category \( \mathcal{C} \) its “homotopy ER-category” \( \text{h}_*\mathcal{C} \): a certain category enriched in equivalence relations. Since this is a simple kind of 2-category, it admits notions of equivalence, bi-initial objects, and so on — accordingly, we can transport these notions to define equivalences and bi-initial objects in the \( \mathcal{V} \)-category \( \mathcal{C} \).

The next five sections walk through generalisations of the various steps in the proof of the adjoint functor theorem given in [24], which we summarise below.

- The technical core of the general adjoint functor theorem is the result that a complete category \( \mathcal{C} \) with a weakly initial set of objects has an initial object. In Section 5 we generalise this result, establishing conditions under which \( \mathcal{C} \) has a bi-initial object.
- In the classical setting the next step is to apply the preceding result to obtain an initial object in each comma category \( A/U \). Accordingly Section 4 is devoted to the subtle world of enriched comma categories.
- The next step, trivial in the classical case, is the observation that if \( \eta : A \rightarrow UA' \) is initial in \( A/U \) then (1.1) is invertible. Another way of saying this is that (1.1) is terminal in the slice \( \text{Set}/\mathcal{B}(A, UB) \). In Section 3 we consider the class \( \mathcal{E} \) of shrinkable morphisms, which turn out to be bi-terminal objects in enriched slice categories.
- Putting all this together in Section 6, we prove our generalised adjoint functor theorem in the case where the unit \( I \in \mathcal{V} \) is terminal. In Section 7 we adapt this to cover the general case by passing from \( \mathcal{V} \)-enrichment to \( \mathcal{V}/I \)-enrichment.

In Section 8 we consider accessible \( \mathcal{V} \)-categories and prove that each accessible \( \mathcal{V} \)-category with powers and enough cofibrantly-weighted limits admits shrink-colimits.

In Section 9 we interpret our two main theorems in each of our four running examples. In the first two examples we recover, and indeed extend, the classical theory. Our focus, however, is primarily on 2-categories and ∞-cosmoi, where we describe many examples to which
our two main results apply. In particular, we show that many natural examples of $\infty$-cosmoi are accessible, which allows us to conclude that they admit \textit{flexibly-weighted homotopy colimits} in the sense of [28].

2. The setting

We start with a monoidal model category $\mathcal{V}$, as in [10] for example, in which the unit object $I$ is cofibrant. We may sometimes allow ourselves to write as if the monoidal structure were strict.

We shall now give four examples, to which we shall return throughout the paper. Several further examples may be found in Section 3 below.

\textbf{Example 2.1.} Any complete and cocomplete symmetric monoidal closed category $(\mathcal{V}, \otimes, I)$ may be equipped with the \textit{trivial model structure}, in which the weak equivalences are the isomorphisms, and all maps are cofibrations and fibrations. The case of classical category theory corresponds to the cartesian closed category $(\text{Set}, \times, 1)$.

\textbf{Example 2.2.} For our second example, concerning weak category theory, we take our motivation from the case of $\text{Set}$, in which case the relevant model structure has cofibrations the injections, fibrations the surjections, and all maps as weak equivalences.

For general $\mathcal{V}$ we refer to the corresponding model structure as the \textit{split model structure}, which we now define. By Proposition 2.6 of [30], in any category with binary coproducts there is a weak factorisation whose left class consists of the retracts of coproduct injections $\text{inj}: X \to X + Y$, while the right class consists of the split epimorphisms. Here the canonical factorisation of an arrow $f: X \to Y$ is given by the cograph factorisation

$$X \xrightarrow{\text{inj}} X + Y \xrightarrow{(f, 1)} Y.$$ 

As with any weak factorisation system (on a category with, say, finite limits and colimits), we can extend it to a model structure whose cofibrations and fibrations are the two given classes, and for which all maps are weak equivalences. Doing this in the present case produces the split model structure. It is a routine exercise to show that if $(\mathcal{V}, \otimes, I)$ is a complete and cocomplete symmetric monoidal closed category, then the split model structure on $\mathcal{V}$ is monoidal.

\textbf{Example 2.3.} The cartesian closed category $(\text{Cat}, \times, 1)$ becomes a monoidal model category when it is equipped with the \textit{canonical model structure}: here the weak equivalences are the equivalences of categories, the cofibrations are the functors which are injective on objects, and
the fibrations are the isofibrations. All objects are cofibrant and fibrant. The trivial fibrations are the equivalences which are surjective on objects; these are often known as surjective equivalences or retract equivalences.

**Example 2.4.** Our final main example is the cartesian closed category \((\text{SSet}, \times, 1)\) of simplicial sets equipped with the Joyal model structure. (Using the Kan model structure would give another example, but it turns out that this leads to a strictly weaker adjoint functor theorem.)

We follow the standard notation in enriched category theory of writing \(A_0\) for the underlying ordinary category of a \(V\)-category \(A\). This has the same objects as \(A\), and the hom-set \(A_0(A, B)\) is given by the set of morphisms \(I \to A(A, B)\) in \(V\). Similarly, we write \(F_0: A_0 \to B_0\) for the underlying ordinary functor of a \(V\)-functor \(F: A \to B\).

We shall begin by showing how to associate to any \(V\)-category a 2-category whose hom-categories are equivalence relations (that is, groupoids which are also preorders).

2.1. **Intervals.** An interval in \(V\) will be an object \(J\) equipped with a factorisation

\[
I + I \xrightarrow{(d, c)} J \xrightarrow{e} I
\]

of the codiagonal for which \((d, c)\) is a cofibration and \(e\) a weak equivalence. We shall then say that “\((J, d, c, e)\) is an interval”. Observe that if \((d, c)\) is a cofibration, then since \(I\) is cofibrant, \(d\) and \(c\) are also cofibrations, and the following are equivalent:

- \(e\) is a weak equivalence
- \(d\) is a trivial cofibration
- \(c\) is a trivial cofibration.

We may always obtain an interval by factorising the codiagonal \(I + I \to I\) as a cofibration followed by a trivial fibration; an interval of this type will be called a standard interval. \((J, d, c, e)\) is an interval if and only if \((J, c, d, e)\) is one. There are also two constructions on intervals that will be needed.

**Proposition 2.5.** If \((J_1, d_1, c_1, e_1)\) and \((J_2, d_2, c_2, e_2)\) are intervals, so is \((J, d_2d_1, c_1'c_2, e)\) where \(J\) is constructed as the pushout

\[
\begin{array}{ccccc}
& & J_1 & & J_2 \\
& c_1 \\
I & \downarrow d_1 & \quad & \downarrow d_2 & I \\
& & J & \downarrow e & \\
& & J_2 & \quad & e \quad \uparrow c_2 \\
& d_2 \\
& & & J & \\
& & & \downarrow e \\
& & c_1 & & \\
\end{array}
\]
Proof. Since $d'_2$ and $c'_1$ are pushouts of trivial cofibrations they are trivial cofibrations. Thus both $d'_2d_1$ and $c'_1c_2$ are trivial cofibrations. On the other hand we can construct $J$ as the pushout

\[
\begin{array}{ccc}
I + I + I + I & \longrightarrow & J_1 + J_2 \\
(1+\nabla+1) & \downarrow & \downarrow \ d'_2+c'_1 \\
I + I & \xrightarrow{\iota_{1,3}} & I + I + I \\
\end{array}
\]

and so the lower horizontal is also a cofibration. \qed

**Proposition 2.6.** If $(J_1,d_1,c_1)$ and $(J_2,d_2,c_2)$ are intervals then $J_1 \otimes J_2$ becomes an interval when equipped with the maps

\[
\begin{array}{ccc}
I & \xrightarrow{\cong} & I \otimes I \\
\xrightarrow{d_1 \otimes d_2} & J_1 \otimes J_2 \\
\end{array}
\quad
\begin{array}{ccc}
I & \xrightarrow{\cong} & I \otimes I \\
\xrightarrow{c_1 \otimes c_2} & J_1 \otimes J_2 \\
\end{array}
\quad
\begin{array}{ccc}
J_1 \otimes J_2 & \xrightarrow{e_1 \otimes e_2} & I \otimes I \\
\cong & & \cong \\
\end{array}
\]

2.2. **ER-categories.** Let $\textbf{ER}$ be the full subcategory of $\textbf{Cat}$ consisting of the equivalence relations: that is, the groupoids which are also preorders. This is closed in $\textbf{Cat}$ under both products and internal homs; it follows that $\textbf{ER}$ becomes a cartesian closed category in its own right, and that we can consider categories enriched over $\textbf{ER}$.

An $\textbf{ER}$-category is just a 2-category for which each hom-category is an equivalence relation. Equivalently, it is an ordinary category in which each hom-set is equipped with an equivalence relation, and this relation is respected by composition on either side (whiskering, if you will).

Since an $\textbf{ER}$-category is a special sort of 2-category, we can consider standard 2-categorical notions. Thus morphisms $f,g: A \to B$ are isomorphic in the 2-category just when they are related under the given equivalence relation. We may as well therefore use $\cong$ to denote this relation. An *equivalence* in an $\textbf{ER}$-category consists of morphisms $f: A \to B$ and $g: B \to A$ for which both $gf \cong 1_A$ and $fg \cong 1_B$.

**Definition 2.7.** An object $T$ of an $\textbf{ER}$-category $C$ is *bi-terminal* if for each object $C$, the induced map $C(C,T) \to 1$ is an equivalence.

In elementary terms, this means that there exists a morphism $C \to T$ and it is unique up to isomorphism. Dually there are *bi-initial* objects.
2.3. The homotopy ER-category of a $\mathcal{V}$-category. Any monoidal category has a monoidal functor to $\mathbf{Set}$ given by homming out of the unit object; in our case, this has the form $\mathcal{V}(I,-) : \mathcal{V} \to \mathbf{Set}$. The monoidal structure is defined by

$$\mathcal{V}(I, X) \times \mathcal{V}(I, Y) \longrightarrow \mathcal{V}(I, X \otimes Y)$$

(2.1)

with unit defined by the map $1 \to \mathcal{V}(I, I)$ picking out the identity.

Given $X \in \mathcal{V}$ and $x, y : I \to X$, write $x \sim y$ if there is an interval $(J, d, c, e)$ and a morphism $h : J \to X$ with $hd = x$ and $hc = y$. This clearly defines a symmetric reflexive relation on $\mathcal{V}(I, X)$; and it is transitive by Proposition 2.5. Given $f : X \to Y$, the function $\mathcal{V}(I, f)$ is a morphism of equivalence relations, since if $x = hd \sim hc = y$ then also $fx = fhd \sim fhc = fy$ for any $f$. Thus we have a functor $\mathcal{V} \to \mathbf{ER}$. Thanks to Proposition 2.6, the functions (2.1) lift to morphisms of equivalence relations. Since the unit map $1 \to \mathcal{V}(I, I)$ is trivially a morphism of equivalence relations, the monoidal functor $\mathcal{V}(I, -) : \mathcal{V} \to \mathbf{Set}$ lifts to a monoidal functor $h : \mathcal{V} \to \mathbf{ER}$.

This $h$ induces a 2-functor $h_* : \mathcal{V}-\mathbf{Cat} \to \mathbf{ER}-\mathbf{Cat}$. Explicitly, for any $\mathcal{V}$-category $\mathcal{C}$, the induced $\mathbf{ER}$-category $h_*(\mathcal{C})$ is given by the underlying ordinary category of $\mathcal{C}$, equipped with the equivalence relation $\cong$ on each hom-set $\mathcal{C}_0(A, B)$, where $f \cong g$ just when, seen as maps $I \to \mathcal{C}(A, B)$, they are $\sim$-related; in other words, if there is a factorisation

$$I + I \xrightarrow{(f, g)} \mathcal{C}(A, B)$$

$$\downarrow (d, c)$$

$$\downarrow h$$

$$J$$

for some interval $(J, d, c, e)$. We call this relation $\cong$ the $\mathcal{V}$-homotopy relation.

Remark 2.8. For $f$ and $g$ as above, let us refer to a given extension $h$ as above as a $(\mathcal{V}, J)$-homotopy, and denote it as $h : f \cong_J g$. We say that such an $h : J \to \mathcal{C}(A, B)$ is trivial if it factorises through $e : J \to I$, in which case of course $f = g$.

Given $a : X \to A$ and $b : B \to Y$ we naturally obtain $(\mathcal{V}, J)$-homotopies $h \circ a : f \circ a \cong_J g \circ a$ and $b \circ h : b \circ f \cong_J b \circ g$. It is only when considering transitivity of the relation $\cong$ that we need to change the interval $J$.

Remark 2.9. A priori, we now have two “homotopy relations” for morphisms $x, y : I \to X$ in $\mathcal{V}$: on the one hand $x \sim y$ and on the other $x \cong y$. But these are clearly the same.
More generally, morphisms \( f, g : X \to Y \) in \( V \) are \( V \)-homotopic if any (and thus all) of the following diagrams has a filler:

\[
\begin{align*}
X + X & \to Y & I + I & \to [X, Y] \\
\downarrow (d \cdot X) & \downarrow (d \cdot c) & \downarrow (\gamma \cdot c) & \downarrow Y \times Y.
\end{align*}
\]

In the case that \( X \) is cofibrant then \( e \cdot X : J \cdot X \to X \) is a weak equivalence so that \( J \cdot X \) is a cylinder object for \( X \); in this case the left diagram above shows \( V \)-homotopy implies left homotopy in the model categorical sense of [27]. Similarly if \( Y \) is fibrant the third diagram shows that \( V \)-homotopy implies right homotopy, but in general there need be no relation between \( V \)-homotopy and left or right homotopy.

Since a \( V \)-functor \( F : A \to B \) induces an \( ER \)-functor \( h_*(F) : h_*(A) \to h_*(B) \), if \( f \cong g \) in \( A \) then \( Ff \cong Fg \) in \( B \). This is one useful feature of \( V \)-homotopy not true of the usual left and right homotopy relations in the model category \( V \).

**Definition 2.10.** An object of a \( V \)-category \( A \) is bi-terminal or bi-initial if it is so in \( h_*(A) \).

In elementary terms, \( T \) is bi-terminal in \( A \) if for each \( A \in A \) there is a morphism \( A \to T \), which is unique up to \( V \)-homotopy.

If \( A \) has an actual terminal object \( 1 \), then \( T \) is bi-terminal if and only if the unique map \( ! : T \to 1 \) is an equivalence. Explicitly, this means that there is a morphism \( t : 1 \to T \) with \( t! \cong 1_T \). The dual remarks apply to bi-initial objects.

**Proposition 2.11.** Right adjoint \( V \)-functors preserve bi-terminal objects.

**Proof.** Let \( U : B \to A \) be a \( V \)-functor with \( F \dashv U \) a left adjoint, and let \( T \in B \) be bi-terminal. For any \( A \in A \), there is a morphism \( FA \to T \) in \( B \) and so a morphism \( A \to UT \) in \( A \). If \( f, g : A \to UT \) are two such morphisms in \( A \), then their adjoint transposes \( f', g' : FA \to T \) are \( V \)-homotopic in \( B \), hence \( f = Uf' \circ \eta_A \cong Ug' \circ \eta_A = g \) in \( A \).

3. **Shrinkable morphisms and shrink-adjoints**

**Definition 3.1.** A morphism \( f : A \to B \) in a \( V \)-category \( C \) is said to be shrinkable if there exists a morphism \( s : B \to A \) with \( f \circ s = 1_B \), an interval \( J \), and a \((V, J)\)-homotopy \( h : sf \cong_J 1_A \) such that \( fh : f = fsf \cong_J f \) is trivial.
In elementary terms, such an \( h \) is a morphism as below

\[
\begin{array}{c}
\xymatrix{ I + I \ar[r]^{(1_A, s \circ f)} & \mathcal{C}(A, A) \\
J \ar[u]^{(d, c)} \ar[r]_{h} & C(A, B) \ar[u]^{C(A, f)} & \\
I \ar[r]_{f} & \mathcal{C}(A, B) & \\
}
\end{array}
\]

rendering the diagram commutative.

Let us record a few easy properties.

**Proposition 3.2.** Shrinkable morphisms are closed under composition, contain the isomorphisms, and are preserved by any \( \mathcal{V} \)-functor.

*Proof.* Closure under composition follows from the fact — see Proposition 2.5 — that intervals can be composed. Verification of the remaining facts is routine.

**Proposition 3.3.** Let \( \mathcal{C} \) be a model \( \mathcal{V} \)-category. Any trivial fibration \( f: A \to B \) in \( \mathcal{C} \) with cofibrant domain and codomain is shrinkable.

*Proof.* Since \( B \) is cofibrant, \( f \) has a section \( s \). Since \( A \) is cofibrant, the induced \( \mathcal{C}(A, f): \mathcal{C}(A, A) \to \mathcal{C}(A, B) \) is a trivial fibration and so we obtain a diagonal filler \( h: J \to \mathcal{C}(A, A) \) as in (3.1).

**Proposition 3.4.** Let \( \mathcal{C} \) be a model \( \mathcal{V} \)-category. If \( f: A \to B \) is shrinkable in \( \mathcal{C} \) and either \( d \cdot A: A \to J \cdot A \) or \( d \upharpoonright A: J \upharpoonright A \to A \) is a weak equivalence then so is \( f \). In particular, any shrinkable morphism whose domain is either cofibrant or fibrant is a weak equivalence.

*Proof.* Suppose first that \( d \cdot A \) is a weak equivalence. We have commutative diagrams

\[
\begin{array}{c}
\xymatrix{ A \ar[r]^{d \cdot A} \ar[rr]_{1} & J \cdot A \ar[r]^{h} & A \\
\ar[rr]_{c \cdot A} & & \ar[rr]_{s \cdot A} & & \ar[rr]_{1} & \\
A \ar[rr]_{c \cdot A} & & A \\
}
\end{array}
\]

and since \( d \cdot A \) is a weak equivalence it follows successively that \( c \cdot A \), \( c \cdot A \), \( h \), and finally \( s \cdot A \) are so. But since \( f \cdot s = 1 \) it follows that \( f \) (and \( s \)) are also weak equivalences.

Since \( d \) is a trivial cofibration, if \( A \) is cofibrant then \( d \cdot A \) will be a trivial cofibration, and in particular a weak equivalence.

The cases of \( d \upharpoonright A \) a weak equivalence, and of \( A \) fibrant, are similar.

Using the preceding two propositions, we have the following result.
Corollary 3.5. If all objects of $C$ are cofibrant, then each trivial fibration is shrinkable and each shrinkable morphism is a weak equivalence with a section.

Remark 3.6. If all objects of $V$ are fibrant and $I = 1$, then in any interval $(J, d, c, e)$, the morphism $e: J \to I = 1$ is not just a weak equivalence but a trivial fibration. Thus in this case any two intervals give the same notion of $V$-homotopy, and the shrinkable morphisms can be described using any one fixed interval.

Definition 3.7. We write $E$ for the collection of all shrinkable morphisms in $V$ itself.

Examples 3.8. In each of our four main examples, all objects are cofibrant, and so by Corollary 3.5 every trivial fibration is shrinkable, and every shrinkable morphism is a weak equivalence with a section. In the first three of them, every weak equivalence with a section is a trivial fibration, and so the shrinkable morphisms are precisely the trivial fibrations.

(1) For $V$ equipped with the trivial model structure, the trivial fibrations and the shrinkable morphisms are both just the isomorphisms.

(2) For $V$ with the split model structure, the trivial fibrations and the shrinkable morphisms are both just the split epimorphisms.

(3) For $\text{Cat}$ equipped with the canonical model structure, the trivial fibrations and the shrinkable morphisms are both just the surjective equivalences.

(4) Now consider $\text{SSet}$ equipped with the Joyal model structure. By Corollary 3.5 the shrinkable morphisms lie between the weak equivalences and the trivial fibrations.

Not every shrinkable morphism is a trivial fibration. For example, let $J$ be the nerve of the free isomorphism, with its standard interval structure, then form the pushout of the two morphisms $1 \to J$ to obtain a new interval $J'$, as in Proposition 2.5. The induced map $J' \to J$ is a shrinkable morphism but is not a trivial fibration: in particular, $J$ is fibrant while $J'$ is not, so $J' \to J$ cannot be a fibration.

In general, all that we can say is that the shrinkable morphisms are weak equivalences with sections; however for a shrinkable morphism $f: X \to Y$ between quasicategories, the fibrant objects, we can say a little more. First recall that an equivalence of quasicategories is a map $f: X \to Y$ for which there exists a $g: Y \to X$ with $fg \cong J_Y 1_Y$ and $gf \cong J_X 1_X$ where $J$ is the...
nerve of the free isomorphism as discussed above. Let us call $f$ a surjective/retract equivalence if in fact $fg = 1_Y$. Now since all objects are cofibrant in $SSet$ each weak equivalence between quasicategories is a homotopy equivalence relative to any choice of interval; in particular, each shrinkable morphism $f: X \to Y$ between quasicategories is a surjective equivalence. (Note, however, that we do not assert that the $(\mathcal{V}, J)$-homotopy $gf \cong J1_X$ is $f$-trivial — indeed, while there is an $f$-trivial $\mathcal{V}$-homotopy relative to some interval, it does not seem that $f$-triviality is independent of the choice of interval.)

**Example 3.9.** The category $CGTop$ of compactly generated topological spaces is cartesian closed, and the standard model structure for topological spaces restricts to $CGTop$ (see [10, Section 2.4] for example). Here the notion of shrinkable morphism is dual to that of strong deformation retract, and it is in this case that the name “shrinkable” originated, as explained in the introduction.

**Example 3.10.** Another example is given by the monoidal model category $Gray$: this is the category of (strict) $2$-categories and $2$-functors with the model structure of [18], as corrected in [19]: the weak equivalences are the biequivalences, the trivial fibrations are the $2$-functors which are surjective on objects, and retract equivalences on homs. The monoidal structure is the Gray tensor product, as in [9]. We shall see that in this case, the shrinkable morphisms are exactly the trivial fibrations which have a section.

Not all objects are cofibrant, and not all trivial fibrations have sections, so not all trivial fibrations are shrinkable. On the other hand, all objects are fibrant, so we can use a standard interval for all $\mathcal{V}$-homotopies; also all shrinkable morphisms are weak equivalences. If we use the free adjoint equivalence as our standard interval, a $\mathcal{V}$-homotopy between $2$-functors in a pseudonatural equivalence. If $p: E \to B$ is shrinkable, via $s: B \to E$ and a pseudonatural equivalence $sp \simeq 1$, then clearly $p$ is surjective on objects and an equivalence on hom-categories. Thus it will be a trivial fibration provided that it is full on $1$-cells. For this, given $x, y \in E$ and a morphism $\beta: px \to py$ in $B$, we may compose with suitable components of the pseudonatural equivalence to get a morphism

$$\begin{align*}
x \xrightarrow{\sigma} spx \xrightarrow{s\beta} spy \xrightarrow{\sigma'} y
\end{align*}$$

and by $p$-triviality of $\sigma$, both $p\sigma$ and $p\sigma'$ are identities, and so $p$ is indeed full on $1$-cells.
Suppose conversely that \( p: E \to B \) is a trivial fibration, and that \( s: B \to E \) is a section of \( p \). For each object \( x \in E \), we have \( pspx = px \) and so there is a morphism \( \sigma_x: spx \to x \) with \( p\sigma_x = 1 \) and similarly a morphism \( \sigma'_x: x \to spx \), also over the identity. These form part of an equivalence, also over the identity. For any \( \alpha: x \to y \) the square

\[
\begin{array}{ccc}
spx & \xrightarrow{\sigma_x} & x \\
\downarrow s\alpha & & \downarrow \alpha \\
spy & \xrightarrow{\sigma'_y} & y
\end{array}
\]

need not commute, but both paths lie over the same morphism \( p\alpha \) in \( B \), and so there is a unique invertible 2-cell in the diagram, which is sent by \( p \) to an identity. It now follows that \( \sigma \) determines an equivalence \( sp \simeq 1 \) over \( B \), and so that \( p \) is shrinkable.

**Example 3.11.** Let \( R \) be a finite-dimensional cocommutative Hopf algebra, which is Frobenius as a ring. Then the category of modules over \( R \) is a monoidal model category [10, Section 2.2 and Proposition 4.2.15]. All objects are cofibrant. The trivial fibrations are the surjections with projective kernel. In particular, the codiagonal \( R \oplus R \to R \) is a trivial fibration, and so \( R \oplus R \) itself is an interval. Thus the shrinkable morphisms are just the split epimorphisms. Every trivial fibration is shrinkable, while the converse is true (if and) only if every module is projective.

**Example 3.12.** Let \( R \) be a commutative ring, and \( \text{Ch}(R) \) the symmetric monoidal closed category of unbounded chain complexes of \( R \)-modules. This has a model structure [10, Section 2.3] for which the fibrations are the pointwise surjections and the weak equivalences the quasi-isomorphisms. A morphism \( f: X \to Y \) is shrinkable when it has a section \( s \) and a chain homotopy between \( sf \) and \( 1 \) which is \( f \)-trivial. This is of course rather stronger than being a trivial fibration.

The paper [22] introduced the notion of \( \mathcal{E} \)-weak left adjoint for any class of morphisms \( \mathcal{E} \). Here we consider the instance of this concept obtained by taking the class \( \mathcal{E} \) of shrinkable morphisms, and use the prefix “shrink-” to indicate \( \mathcal{E} \)-weak notions in this case.

**Definition 3.13.** Let \( U: \mathcal{B} \to \mathcal{A} \) be a \( \mathcal{V} \)-functor. A shrink-reflection of \( A \in \mathcal{A} \) is a morphism \( \eta_A: A \to UA' \) with the property that for all \( B \in \mathcal{B} \) the induced morphism

\[
\begin{array}{ccc}
\mathcal{B}(A', B) & \xrightarrow{U} & \mathcal{A}(UA', UB) \\
\downarrow A(A', UB) & & \downarrow A(A, UB)
\end{array}
\]
is shrinkable. If each object \( A \in \mathcal{A} \) admits a shrink-reflection then we say that \( U \) admits a left shrink-adjoint.

**Examples 3.14.**

1. For \( \mathcal{V} \) with the trivial model structure, a \( \mathcal{V} \)-functor \( U: \mathcal{B} \to \mathcal{A} \) as above admits a left shrink-adjoint just when it admits a genuine left adjoint.

2. For \( \mathcal{V} \) with the split model structure, \( U: \mathcal{B} \to \mathcal{A} \) admits a left shrink-adjoint if we have morphisms \( \eta: A \to UA' \) for each \( A \) such that the induced \( \mathcal{B}(A', B) \to \mathcal{A}(A, UB) \) is a split epimorphism. In the case that \( \mathcal{V} = \text{Set} \), this recovers ordinary weakness — that is, for each \( f: A \to UB \) there exists \( f': A' \to B \) with \( UF(g) \circ \eta_A = f \).

3. In the \( \text{Cat} \) case each \( \mathcal{B}(A', B) \to \mathcal{A}(A, UB) \) is a retract equivalence. In this setting we can form \( F \) as in the previous example, and with a similar definition \( \alpha \mapsto F\alpha \) on 2-cells. Then given \( f: A_1 \to A_2 \) and \( g: A_2 \to A_3 \), since \( \mathcal{B}(A_1', A_3') \to \mathcal{A}(A_1, UA_3') \) is a retract equivalence, we obtain a unique invertible 2-cell such that \( F_{f,g}: Fg \circ Ff \cong F(g \circ f) \).

4. For \( \text{SSet} \) equipped with the Joyal model structure, if \( \mathcal{B} \) and \( \mathcal{A} \) are locally fibrant — that is, if they are enriched in quasicategories — then each \( \mathcal{B}(A', B) \to \mathcal{A}(A, UB) \) is a retract equivalence of quasicategories. As before, we can define \( F \) as a graph morphism as in the preceding two examples. Then given \( f: A_1 \to A_2 \) and \( g: A_2 \to A_3 \) let us write \( k: \mathcal{B}(A_1', A_3') \to \mathcal{A}(A_1, UA_3') \) for the retract equivalence, with section \( s \), and write \( a = F(g \circ f) \) and \( b = Fg \circ Ff \).

The proof of Freyd’s general adjoint functor theorem given in [24] uses the fact that in order to construct a left adjoint to \( U: \mathcal{B} \to \mathcal{A} \), it suffices to construct an initial object in each comma category \( A/U \), for
A ∈ A. Indeed, the universal property of the initial object \( \eta_A : A \rightarrow UA' \) implies that the induced map

\[
B(A', B) \xrightarrow{U} A(UA', UB) \xrightarrow{A(\eta_A, UB)} A(A, UB)
\]

is a bijection, so that the maps \( \eta_A : A \rightarrow UA' \) give the components of the unit for a left adjoint.

In order to frame this in a manner suitable for generalisation, observe that to say that the above function is a bijection is equally to say that it is a terminal object in the slice category \( \textbf{Set}/A(A, UB) \) — thus one passes from an initial object in the comma category to a terminal object in the slice.

In the present section we develop the necessary results about comma categories in the enriched context. In our setting, we shall only be able to construct bi-initial objects in the comma category \( A/U \) and so are led to consider bi-terminal objects in enriched slice categories, which turn out to be the shrinkable morphisms of the previous section.

### 4.1. Comma categories and slice categories.

Given \( \mathcal{V} \)-functors \( G : \mathcal{C} \rightarrow \mathcal{A} \) and \( U : \mathcal{B} \rightarrow \mathcal{A} \) the enriched comma-category \( G/U \) is the comma object, below left

\[
\begin{array}{ccc}
G/U & \xrightarrow{P} & \mathcal{C} \\
\downarrow Q & & \downarrow \theta \\
\mathcal{B} & \xrightarrow{U} & \mathcal{A}
\end{array}
\]

in the 2-category \( \mathcal{V}\text{-Cat} \) of \( \mathcal{V} \)-categories. This has the universal property that, given \( \mathcal{V} \)-functors \( R : \mathcal{D} \rightarrow \mathcal{C} \) and \( S : \mathcal{D} \rightarrow \mathcal{B} \), and a \( \mathcal{V} \)-natural transformation \( \lambda : G \circ R \Rightarrow U \circ S \), there exists a unique \( \mathcal{V} \)-functor \( K : \mathcal{D} \rightarrow G/U \) such that \( P \circ K = R \), \( Q \circ K = S \) and \( \theta \circ K = \lambda \), as well as a 2-dimensional aspect characterising \( \mathcal{V} \)-natural transformations out of such a \( K \).

For the reader unfamiliar with 2-dimensional limits, we point out that it is equally the pullback on the right above in which \( \mathcal{A}^2 \) is the (enriched) arrow category, and \( \xrightarrow{(\text{dom})} \) the projection which sends a morphism to its domain and codomain.

The objects of \( G/U \) consist of triples \((C, \alpha : GC \rightarrow UB, B)\) where \( C \in \mathcal{C}, \ B \in \mathcal{B}, \ \text{and} \ \alpha : GC \rightarrow UB \) is in \( \mathcal{A}_0 \); and with hom-objects as
in the pullback below.

\[
\begin{array}{ccc}
G/U((C, \alpha, B), (C', \alpha', B')) & \rightarrow & \mathcal{C}(C, C') \\
\downarrow & & \downarrow \text{G} \\
\mathcal{A}(GC, GC') & \rightarrow & \mathcal{A}(1, \alpha')
\end{array}
\]

\[
\begin{array}{ccc}
\mathcal{B}(B, B') & \rightarrow & \mathcal{A}(UB, UB') \\
\downarrow \text{U} & & \downarrow \text{A(1,1)} \\
\mathcal{A}(GC, UB')
\end{array}
\]

A standard result of importance to us is the following one.

**Proposition 4.1.** Suppose that \( \mathcal{B} \) and \( \mathcal{C} \) admit \( W \)-limits for some weight \( W: \mathcal{D} \rightarrow \mathcal{V} \) and that \( U: \mathcal{B} \rightarrow \mathcal{A} \) preserves them. Then \( G/U \) admits \( W \)-weighted limits for any \( G: \mathcal{C} \rightarrow \mathcal{A} \), and they are preserved by the projections \( P: G/U \rightarrow \mathcal{C} \) and \( Q: G/U \rightarrow \mathcal{B} \).

**Proof.** Consider a diagram \( T = (R, \lambda, S): \mathcal{D} \rightarrow G/U \) and let us write

\[ W \text{-cone}(X, T) := [\mathcal{D}, \mathcal{V}](W, G/U(X, T-)) \]

for \( X = (C, \alpha, B) \in G/U \). We must prove that \( W \text{-cone}(\cdot, T): G/U^{op} \rightarrow \mathcal{V} \) is representable. First observe that the definition of the hom-objects in \( G/U \) gives us the components of a pullback

\[
\begin{array}{ccc}
G/U(X, T-) & \rightarrow & \mathcal{C}(C, S-) \\
\downarrow \text{Q} & & \downarrow \text{G} \\
\mathcal{B}(B, R-) & \rightarrow & \mathcal{A}(UB, UR-) \\
\downarrow \text{U} & & \downarrow \text{A(1,1)} \\
\mathcal{A}(GC, UR-)
\end{array}
\]

in \([\mathcal{D}, \mathcal{V}]\). Applying \([\mathcal{D}, \mathcal{V}](W, -)\), we obtain a pullback

\[
\begin{array}{ccc}
W \text{-cone}(X, T) & \rightarrow & W \text{-cone}(C, S) \\
\downarrow \text{Q} & & \downarrow \text{G} \\
W \text{-cone}(B, R) & \rightarrow & W \text{-cone}(UB, UR) \\
\downarrow \text{U} & & \downarrow \text{A(1,1)} \\
W \text{-cone}(GC, UR-)
\end{array}
\]
in \( \mathcal{V} \). Since the limits \( \{W, S\} \) and \( \{W, R\} \) exist and since \( U \) preserves the latter, this pullback square is isomorphic to

\[
\begin{array}{ccc}
W \text{-cone}(X, T) & \xrightarrow{\phi^*} & \mathcal{C}(C, \{W, S\}) \\
\downarrow & & \downarrow G \\
\mathcal{B}(B, \{W, R\}) & \xrightarrow{\alpha^*} & \mathcal{A}(GC, U\{W, R\})
\end{array}
\]

for \( \varphi: G\{W, S\} \to U\{W, R\} \) the morphism induced by

\[
\begin{array}{ccc}
W & \xrightarrow{G} & \mathcal{C}(\{W, S\}, S-) \\
\downarrow & & \downarrow \lambda_* \\
\mathcal{A}(G\{W, S\}, GS-) & \xrightarrow{\lambda_*} & \mathcal{A}(G\{W, S\}, UR-)
\end{array}
\]

and the universal property of \( U\{W, R\} \). By definition, the pullback in this square is \( G/U((C, \alpha, B), (\{W, S\}, \varphi, \{W, R\})) \). Hence the object \( (\{W, S\}, \varphi, \{W, R\}) \) represents \( W \text{-cone}(-, T) \) and is therefore the limit \( \{W, T\} \). \( \square \)

Let \( \mathcal{I} \) denote the unit \( \mathcal{V} \)-category, which has a single object with object of endomorphisms the monoidal unit \( I \) of \( \mathcal{V} \). An object \( A \in \mathcal{A} \) then corresponds to a \( \mathcal{V} \)-functor \( A: \mathcal{I} \to \mathcal{A} \). We denote by \( A/U \) the comma object depicted below left

\[
\begin{array}{ccc}
A/U & \xrightarrow{P} & \mathcal{I} \\
\downarrow Q & & \downarrow A \\
B & \xrightarrow{U} & \mathcal{A}
\end{array}
\]

in which we shall consider bi-initial objects, and the enriched slice category \( \mathcal{A}/A \) as above right in which we shall consider bi-terminal ones. We record for later use that if \( (B, b: B \to A) \) and \( (C, c: C \to A) \) are objects of \( \mathcal{A}/A \), the corresponding hom is given by the pullback

\[
\begin{array}{ccc}
(\mathcal{A}/A)((B, b), (C, c)) & \xrightarrow{A(B, c)} & \mathcal{A}(B, C) \\
I & \xrightarrow{b} & \mathcal{A}(B, A).
\end{array}
\]

Remark 4.2. If the unit object of \( \mathcal{V} \) is also terminal, then \( \mathcal{I} \) is the terminal \( \mathcal{V} \)-category, and is complete and cocomplete. We may then use Proposition 4.1 to deduce that, if \( \mathcal{B} \) has \( W \)-limits and \( U: \mathcal{B} \to \mathcal{A} \) preserves them, then \( A/U \) has \( W \)-limits for any \( A \in \mathcal{A} \). But if \( I \neq 1 \), then \( \mathcal{I} \) is not complete; indeed, almost by definition, \( \mathcal{I} \) has a terminal object if and only if \( I = 1 \).
Proposition 4.3. Let $\mathcal{A}$ be a $\mathcal{V}$-category and $A$ an object of $\mathcal{A}$. The identity morphism on $A$ is a terminal object in the slice $\mathcal{V}$-category $\mathcal{A}/A$ if and only if $I = 1$.

Proof. For any $f: B \to A$ we have the pullback

\[
\begin{array}{c}
\mathcal{A}/A((B, f), (A, 1_A)) \\ I \\
\end{array} \xymatrix{
(B, A) \ar[r]^-{\mathcal{B}(B, 1_A)} & \mathcal{B}(B, A) \\
I \ar[ru]^-{f} & \ar[u] & \ar[u]}
\]

and since the pullback of an isomorphism is an isomorphism, we conclude that $(\mathcal{A}/A)((B, f), (A, 1_A)) \cong I$, which is terminal if and only if $I$ is so. $\Box$

Example 4.4. By the preceding result, $1: A \to A$ is never terminal in the $\mathcal{V}$-category $\mathcal{A}/A$ unless $I = 1$. For a concrete example, let $\mathcal{V} = \text{Ab}$, and consider $\text{Ab}/A$ for a given abelian group $A$. An object consists of a homomorphism $f: B \to A$, and a morphism from $(B, f)$ to $(A, 1_A)$ consists of a pair $(h, n)$ where $h: B \to A$ is a homomorphism, $n \in \mathbb{Z}$, and $h = n.f$. There is such a morphism $(n.f, n)$ for any $n \in \mathbb{Z}$, and these are all distinct, thus $(A, 1_A)$ is not terminal.

Proposition 4.5. Suppose that $I = 1$, and let $U: \mathcal{B} \to \mathcal{A}$ and $A \in \mathcal{A}$.

1. Then $\mathcal{A}/U$ has underlying category $A/U_0$. Furthermore, given parallel morphisms in $\mathcal{A}/U$

\[
\begin{array}{c}
A \\
\downarrow^b & \downarrow^c & \\
UB & Uf & UC \\
\downarrow^{Ug} & & \downarrow^{Ug} \\
\end{array}
\]

a $\mathcal{V}$-homotopy $f \cong g$ in $\mathcal{A}/U$ is a $\mathcal{V}$-homotopy $h: f \cong g$ in $\mathcal{B}$ such that $Uh_{ob}$ is trivial, which we will refer to as a $\mathcal{V}$-homotopy under $A$.

2. Then $\mathcal{A}/A$ has underlying category $A_0/A$. Furthermore, given parallel morphisms in $\mathcal{A}/A$

\[
\begin{array}{c}
B \\
\downarrow^b & \downarrow^g & \downarrow^c \\
A & \downarrow & \end{array}
\]

\[
\begin{array}{c}
C \\
\downarrow^g & \downarrow^c & \end{array}
\]

$\begin{array}{c}
f \end{array}$
a $\mathcal{V}$-homotopy $f \cong g$ in $A/A$ is a $\mathcal{V}$-homotopy $h$: $f \cong g$ in $A$ such that $c \circ h$ is trivial, which we will refer to as a $\mathcal{V}$-homotopy over $A$.

Proof. A morphism in $(A/U)_0$ is given by a map into the pullback as in

\[
\begin{array}{ccc}
I & \to & (A/U)((B,b),(C,c)) \\
\downarrow & & \downarrow U \\
I & \to & A(UB,UC) \\
\downarrow c & & \downarrow b^* \\
I & \to & A(A,UC)
\end{array}
\]

where the map $f': I \to I$ is necessarily the identity by virtue of the assumption that $I = 1$. Therefore it amounts to a morphism $f: B \to C$ in $B_0$ such that $Uf \circ b = c$: that is, a morphism of $A/U_0$. Similarly, for $J$ an interval, a $(\mathcal{V},J)$-homotopy $f \cong g$ in $A/U$ is specified by a map from $J$ into the pullback whose component in $I$ is fixed as the structure map for the interval $e: J \to I$, again by the assumption that $I = 1$. The component in $B(B,C)$ then specifies a $(\mathcal{V},J)$-homotopy $h$: $f \cong g$ for which $Uh \circ b$ is trivial.

This analysis applies to the special case $A/A$ and so, by duality, establishes the corresponding claim for $A/A$. \qed

**Proposition 4.6.** If $I = 1$ then $f: A \to B$ is shrinkable if and only if it is bi-terminal in $C/B$.

Proof. By Proposition 4.3 the identity $1_B$ is terminal in $C/B$. Therefore $f: A \to B$ is bi-terminal if and only if the unique map $f: (A,f) \to (B,1_B)$ is an equivalence. Now a morphism $s: (B,1_B) \to (A,f)$ is specified by a section $s: B \to A$ of $f$ so that we have $f \circ s = 1_B: (B,1_B) \to (B,1_B)$. By Proposition 4.5 a $\mathcal{V}$-homotopy $s \circ f \cong 1_A$ in $C/B$ is specified by a $\mathcal{V}$-homotopy $h: s \circ f \cong 1_A$ in $C$ such that $f \circ h$ is trivial, as required. \qed

In the case $I$ is not terminal, the above proposition need not hold. We deal with this, and other issues related to a non-terminal $I$, by passing from $\mathcal{V}$ to the slice category $\mathcal{V}/I$, which has an induced monoidal structure for which the unit is terminal. Moreover, the comma $\mathcal{V}$-categories $A/U$ and $A/A$ naturally give rise to $\mathcal{V}/I$-categories. See Section 7 below.
Theorem 4.7. Suppose that $I = 1$. Let $\mathcal{B}$ be a $\mathcal{V}$-category with powers, and $U: \mathcal{B} \to \mathcal{A}$ a $\mathcal{V}$-functor which preserves powers; if $\eta: A \to UA'$ is bi-initial in $\mathcal{A}/U$ then

$$\mathcal{B}(A', B) \overset{U}{\longrightarrow} \mathcal{A}(UA', UB) \overset{A(\eta, UB)}{\longrightarrow} \mathcal{A}(A, UB) \quad (4.3)$$

is bi-terminal in $\mathcal{V}/\mathcal{A}(A, UB)$.

Proof. By Proposition 4.5 we need to find, for any object $\alpha$ of $\mathcal{V}/\mathcal{A}(A, UB)$ a morphism $f$ of $\mathcal{V}/\mathcal{A}(A, UB)$ as below

$$\begin{array}{c}
\xymatrix{ \alpha \ar[dr]^f & \mathcal{B}(A', B) \ar[dl]_\alpha \\
& \mathcal{A}(A, UB) }
\end{array}$$

and for any two such morphisms a $\mathcal{V}$-homotopy between them over $\mathcal{A}(A, UB)$.

Using the universal property of the power $X \sqcup B$ and the fact that $U(X \sqcup B) \cong X \sqcup UB$, the above problem is translated to $\mathcal{A}/U$ as below.

$$\begin{array}{c}
\xymatrix{ \eta \ar[dr]^\alpha & A \ar[dl]_{\alpha^2} \\
UA' \ar[dr]_U & U(X \sqcup B) \\
A' \ar[dr]_f & X \sqcup B }
\end{array}$$

Since $\eta$ is bi-initial, the required morphism $f^2$ and so $f$ exists. If $f$ and $g$ are two such morphisms then we can, by bi-initiality of $\eta$, find a $\mathcal{V}$-homotopy between $f^2$ and $g^2$ under $A$; writing it as

$$h^2: A' \to J \sqcup (X \sqcup B) = X \sqcup (J \sqcup B),$$

we obtain $h: X \to \mathcal{B}(A', J \sqcup B) \cong J \sqcup \mathcal{B}(A', B)$ and this is the required $\mathcal{V}$-homotopy between $f$ and $g$ over $\mathcal{A}(A, UB)$.

Remark 4.8. A more conceptual explanation for the preceding result is as follows. Given $U: \mathcal{B} \to \mathcal{A}$ and objects $B \in \mathcal{B}$ and $A \in \mathcal{A}$, we obtain a $\mathcal{V}$-functor $K: (\mathcal{A}/U)^{op} \to \mathcal{V}/\mathcal{A}(A, UB)$ sending $\eta: A \to UA'$ to the morphism (4.3). If $\mathcal{B}$ has powers and $U$ preserves them, then $K$ has a left $\mathcal{V}$-adjoint. The preceding result then follows immediately.
5. Enough cofibrantly-weighted limits

In this section we describe the completeness and continuity conditions which will arise in our adjoint functor theorem. We start by recalling the notion of cofibrantly-weighted limit.

5.1. Cofibrantly-weighted limits. Let \( \mathcal{D} \) be a small \( \mathcal{V} \)-category, and consider the \( \mathcal{V} \)-category \( [\mathcal{D}, \mathcal{V}] \) of \( \mathcal{V} \)-functors from \( \mathcal{D} \) to \( \mathcal{V} \). The morphisms in (the underlying ordinary category of) \( [\mathcal{D}, \mathcal{V}] \) are the \( \mathcal{V} \)-natural transformations. We shall say that such a \( \mathcal{V} \)-natural transformation \( p: F \to G \) is a trivial fibration if it is so in the pointwise/levelwise sense: that is, if each component \( p_D: FD \to GD \) is a trivial fibration in \( \mathcal{V} \).

We say that an object \( Q \in [\mathcal{D}, \mathcal{V}] \) is cofibrant if, for each trivial fibration \( p: F \to G \) in \( [\mathcal{D}, \mathcal{V}] \), the induced morphism

\[
[\mathcal{D}, \mathcal{V}](Q, p): [\mathcal{D}, \mathcal{V}](Q, F) \to [\mathcal{D}, \mathcal{V}](Q, G)
\]

is a trivial fibration in \( \mathcal{V} \). In many cases, the projective model structure on \( [\mathcal{D}, \mathcal{V}] \) will exist and make \( [\mathcal{D}, \mathcal{V}] \) into a model \( \mathcal{V} \)-category, and then these notions of cofibrant object and trivial fibration will be the ones that apply there.

Example 5.1. Each representable \( \mathcal{D}(D, -) \) is cofibrant: this follows immediately from the Yoneda lemma, since \( [\mathcal{D}, \mathcal{V}](\mathcal{D}(D, -), p) \) is just \( pD: FD \to GD \).

Proposition 5.2. The cofibrant objects in \( [\mathcal{D}, \mathcal{V}] \) are closed under coproducts and under copowers by cofibrant objects of \( \mathcal{V} \).

Proof. The case of coproducts is well-known. As for copowers, let \( Q: \mathcal{D} \to \mathcal{V} \) and \( X \in \mathcal{V} \) be cofibrant. If \( p: F \to G \) is a trivial fibration in \( [\mathcal{D}, \mathcal{V}] \) then \([\mathcal{D}, \mathcal{V}](X \cdot Q, p)\) is (up to isomorphism) given by \( [X, [\mathcal{D}, \mathcal{V}](Q, p)] \). Since \( Q \) is cofibrant and \( p \) is a trivial fibration, it follows that \( [\mathcal{D}, \mathcal{V}](Q, p) \) is a trivial fibration; and now since also \( X \) is cofibrant it follows that \([X, [\mathcal{D}, \mathcal{V}](Q, p)]\) is also a trivial fibration. \( \square \)

Many of the \( \mathcal{V} \)-categories to which we shall apply our adjoint functor theorem have all cofibrantly-weighted limits, but the hypotheses of the theorem will be weaker than this. There are several reasons for this, and in particular for not requiring idempotents to split. For instance, the weak adjoint functor theorem of Kainen [13] which we wish to generalise does not assume them. Furthermore, in 2-category theory there exist many natural examples of 2-categories admitting enough (but not all) cofibrantly-weighted limits. An example is the 2-category...
of \emph{strict} monoidal categories and \emph{strong} monoidal functors: see [6, Section 6.2]. We start with the case where $I = 1$.

5.2. \textbf{Enough cofibrantly-weighted limits (when $I = 1$).} We now define our key completeness and continuity conditions in the case $I = 1$; these will be modified later to deal with the case where $I \neq 1$.

**Definition 5.3.** Suppose that the unit object $I$ of $\mathcal{V}$ is terminal. We say that a $\mathcal{V}$-category $\mathcal{B}$ has \emph{enough cofibrantly-weighted limits} if, for any small $\mathcal{V}$-category $\mathcal{D}$, there is a chosen cofibrant weight $Q: \mathcal{D} \to \mathcal{V}$ for which the unique map $Q \to 1$ is a trivial fibration and for which $\mathcal{B}$ has $Q$-weighted limits. Similarly, a $\mathcal{V}$-functor $U: \mathcal{B} \to \mathcal{A}$ preserves enough cofibrantly-weighted limits if, for each small $\mathcal{D}$, there is such a $Q$ for which $U$ preserves $Q$-weighted limits.

In particular, $\mathcal{B}$ will have enough cofibrantly-weighted limits if it has all cofibrantly-weighted limits.

**Remark 5.4.** In our definition of “enough cofibrantly-weighted limits”, we have asked that there be a chosen fixed weight $Q: \mathcal{D} \to \mathcal{V}$ for each small $\mathcal{D}$. But in fact it is possible to allow $Q$ to depend upon the particular diagram $\mathcal{D} \to \mathcal{B}$ of which one wishes to form the (weighted) limit. The cost of doing this is that it becomes more complicated to express what it means to preserve enough cofibrantly-weighted limits, but our main results can still be proved with essentially unchanged proofs.

**Example 5.5.** If $\mathcal{V}$ has the trivial model structure, then the trivial fibrations in $[\mathcal{D}, \mathcal{V}]$ are just the isomorphisms, and all objects are cofibrant. Thus in this case a $\mathcal{V}$-category has enough cofibrantly-weighted limits if and only if it has all (unweighted) limits of $\mathcal{V}$-functors (with small domain).

**Example 5.6.** If $\mathcal{V}$ has the split model structure, then a $\mathcal{V}$-category will have enough cofibrantly-weighted limits provided it has products. To see this, let $\mathcal{D}$ be a small $\mathcal{V}$-category and consider the terminal weight $1: \mathcal{D} \to \mathcal{V}$. Then $\sum_{D \in \mathcal{D}} \mathcal{D}(D, -)$ is a coproduct of representables, and so is cofibrant. The unique map $q: \sum_{D \in \mathcal{D}} \mathcal{D}(D, -) \to 1$ has component at $C \in \mathcal{D}$ given by $\sum_{D \in \mathcal{D}} \mathcal{D}(D, C) \to 1$, which has a section picking out the identity $1 \to \mathcal{D}(C, C)$. Thus $q$ is a pointwise split epimorphism, and so a trivial fibration in $[\mathcal{D}, \mathcal{V}]$. And the $\sum_{D} \mathcal{D}(D, -)$-weighted limit of $S: \mathcal{D} \to \mathcal{B}$ is just $\prod_{D \in \mathcal{D}} SD$.

**Example 5.7.** In the case where $\mathcal{V} = \text{Cat}$, the enriched projective model structure on $[\mathcal{D}, \text{Cat}]$ exists and the cofibrant weights are precisely the flexible ones in the sense of [2]: see Theorem 5.5 and Section 6.
Thus a 2-category will have all cofibrantly-weighted limits just when it has all flexible limits. But it will have enough cofibrantly-weighted limits provided that it has all pseudolimits, and so in particular if it has products, inserters, and equifiers; in other words, if it has PIE limits in the sense of [26]. Once again, the 2-category of strict monoidal categories and strong monoidal functors is an example which has PIE limits but not flexible ones: see [6, Section 6.2].

**Example 5.8.** In the case of $\text{SSet}$, the enriched projective model structure on $[\mathcal{D}, \text{SSet}]$ exists (see Proposition A.3.3.2 and Remark A.3.3.4 of [23]) and has generating cofibrations

$$\mathcal{I} = \{\partial \Delta^n \cdot \mathcal{D}(X, -) \to \Delta^n \cdot \mathcal{D}(X, -); n \in \mathbb{N}, X \in \mathcal{D}\}$$

obtained by copowering the boundary inclusions of simplices by representables. The $\mathcal{I}$-cellular weights are, in this context, what Riehl and Verity call flexible weights — in particular, these are certain cofibrant weights. Since Quillen’s small object argument applied to the set $\mathcal{I}$ produces a cellular cofibrant replacement of each weight, a simplicially enriched category will have enough cofibrantly-weighted limits provided that it has all flexible limits — that is, those weighted by flexible weights. In particular, each $\infty$-cosmos in the sense of [29] admits enough cofibrantly-weighted limits.

**Lemma 5.9.** Let $Q: \mathcal{D} \to \mathcal{V}$ be a cofibrant weight for which the unique map $Q \to 1$ is a trivial fibration. Then $Q$ is bi-terminal in the full subcategory of $[\mathcal{D}, \mathcal{V}]$ consisting of the cofibrant weights.

*Proof.* Let $G$ be cofibrant. Then the unique map from $[\mathcal{D}, \mathcal{V}](G, Q)$ to $[\mathcal{D}, \mathcal{V}](G, 1)$ is a trivial fibration. Since the left vertical in each of the following diagrams is a cofibration in $\mathcal{V}$

$$\begin{align*}
\varnothing & \to [\mathcal{D}, \mathcal{V}](G, Q) \\
I & \to 1 = [\mathcal{D}, \mathcal{V}](G, 1)
\end{align*}$$

it follows that each diagram has a filler. This implies the existence and essential uniqueness of maps $G \to Q$. □

Consider a diagram $J: \mathcal{D} \to \mathcal{B}$, and let $L = \{Q, J\}$. Now $Q$ is bi-terminal with respect to cofibrant objects by Lemma 5.9, and each representable is cofibrant by Example 5.1, so that there exists a morphism $s_D: \mathcal{D}(D, -) \to Q$. Since weighted limits are (contravariantly) functorial in their weights, we obtain a morphism

$$p_D: L = \{Q, J\} \to \{\mathcal{D}(D, -), J\} = JD.$$
By bi-terminality of $Q$ once again, for any $f: C \to D$ in $\mathcal{D}$ there exists a $\mathcal{V}$-homotopy in the triangle below left

$$
\begin{array}{ccc}
\mathcal{D}(C, -) & \xrightarrow{s_C} & Q \\
\mathcal{D}(D, -) & \xrightarrow{s_D} & Q \\
\end{array}
\quad
\begin{array}{ccc}
L & \xrightarrow{p_C} & JC \\
J D & \xrightarrow{J f} & JC \\
\end{array}
$$

and so, on taking limits of $J$ by the given weights, a $\mathcal{V}$-homotopy in the triangle on the right.

**Lemma 5.10.** If $J: \mathcal{D} \to \mathcal{B}$ is fully faithful, and $L = \{Q, J\}$ as above, then the projection $p_D: L \to JD$ satisfies $f \circ p_D \cong 1_L$ for any morphism $f: JD \to L$.

**Proof.** Using fully faithfulness of $J$ we define $k: Q \to \mathcal{D}(D, -)$ as the unique morphism rendering commutative the upper square below

$$
\begin{array}{ccc}
Q & \xrightarrow{\eta} & B(L, J-) \\
& k \downarrow & \downarrow B(f, J-) \\
\mathcal{D}(D, -) & \xrightarrow{J} & B(JD, J-) \\
& s_D \downarrow & \downarrow B(p_D, J-) \\
Q & \xrightarrow{\eta} & B(L, J-) \\
\end{array}
$$

in which $\eta$ is the unit of the limit $L = \{Q, J\}$, and the lower commutes essentially by definition of $p_D$. Bi-terminality of $Q$ among cofibrant objects in $[\mathcal{D}, \mathcal{V}]$ implies that the composite $s_D \circ k$ is $\mathcal{V}$-homotopic to the identity.

Consider the weighted limit $\mathcal{V}$-functor $\{ -, J\}: [\mathcal{D}, \mathcal{V}]_{\mathcal{B}}^{\text{op}} \to \mathcal{B}$, where the domain is restricted to the full subcategory containing those weights $W$ for which $\mathcal{B}$ admits all $W$-weighted limits. Since $[\mathcal{D}, \mathcal{V}]_{\mathcal{B}}$ contains $Q$ and $\mathcal{D}(D, -)$ we use the fact that $\mathcal{V}$-functors preserve $\mathcal{V}$-homotopies to deduce that $\{k, J\} \circ s_D, J \cong 1$; in other words that $f \circ p_D \cong 1$. $\square$

**Example 5.11.** Let $\text{Eq}$ be the free parallel pair, involving maps $f, g: A \to B$, made into a free $\mathcal{V}$-category. Consider the constant functor $\Delta I: \text{Eq} \to \mathcal{V}$, which is equally the terminal weight. We first show how a (standard) interval gives a cofibrant replacement of $\Delta I$ and interpret the corresponding limit. Then we relate this special type of cofibrant replacement to a general one.
Given a standard interval — that is, an interval

\[
\begin{array}{ccc}
I & d & J \\
& c & e \\
& I & I
\end{array}
\]

in which \( e \) is a trivial fibration, one can show that the pair \( d, c \) constitutes a cofibrant weight \( Q : \text{Eq} \to \mathcal{V} \) and admits a pointwise trivial fibration

\[
\begin{array}{ccc}
I & d & J \\
& c & e \\
& I & I
\end{array}
\]

to the constant functor \( \Delta I \). A \( Q \)-cone from an object \( X \) to a diagram \( f, g : A \Rightarrow B \) amounts to a natural transformation

\[
\begin{array}{ccc}
I & k & C(X, A) \\
& c & f_* \\
& J & h & C(X, B)
\end{array}
\]

or equivalently a morphism \( k : X \to A \) equipped with a homotopy \( h : f k \cong g k \). Thus, a limit \( \{ Q, F \} \) possesses a universal such pair and is thus what one might call a \( Q \)-isoinserter, or isoinserter if \( Q \) is understood.

Next, suppose that \( Q' : \text{Eq} \to \mathcal{V} \) is cofibrant and \( q' : Q' \to \Delta I \) is a pointwise trivial fibration, as in

\[
\begin{array}{ccc}
I' & d' & J' \\
& c' & e' \\
& I & I
\end{array}
\]

and let \( u \) be a section of the trivial fibration \( a \). Factorise the induced map \((d' u c'u)\) as a cofibration followed by a trivial fibration as in

\[
\begin{array}{ccc}
I + I & u + u & I' + I' \\
& d & c \\
& J & v & J'
\end{array}
\]

to give an interval

\[
\begin{array}{ccc}
I & d & J \\
& c & e \\
& I & I
\end{array}
\]
together with a natural transformation

\[
\begin{array}{c}
I \\
\downarrow u \\
I'
\end{array}
\xrightarrow{d} 
\begin{array}{c}
J \\
\downarrow v \\
J'
\end{array}
\xrightarrow{c}
\begin{array}{c}
d' \\
\downarrow c'
\end{array}
\]

Thus, any \(Q'\)-cone gives a \(Q\)-cone and, in particular, a morphism \(k: X \to A\) and a homotopy \(h: fk \cong gk\). We call the limit \(\{Q', F\}\), the \(Q'\)-isinserter of \(f\) and \(g\), or just the isoinserter if \(Q'\) is understood.

5.3. **Enough cofibrantly-weighted limits** \((I \neq 1)\). For \(\mathcal{I}\) the unit \(\mathcal{V}\)-category we write \(I: \mathcal{I} \to \mathcal{V}\) for the \(\mathcal{V}\)-functor selecting the object \(I\).

**Definition 5.12.** We say that a \(\mathcal{V}\)-category \(\mathcal{B}\) has enough cofibrantly-weighted limits if, for each small \(\mathcal{V}\)-category \(\mathcal{D}\) and each \(\mathcal{V}\)-functor \(P: \mathcal{D} \to \mathcal{I}\), there is a cofibrant \(\mathcal{V}\)-weight \(Q: \mathcal{D} \to \mathcal{V}\) and pointwise trivial fibration \(Q \to IP\) for which \(\mathcal{B}\) has \(Q\)-weighted limits. Similarly, \(U: \mathcal{B} \to \mathcal{A}\) preserves enough cofibrantly-weighted limits if, for each small \(\mathcal{D}\) and each \(P\), there is such a \(Q\) for which \(U\) preserves \(Q\)-limits.

In the case where \(I = 1\), the \(\mathcal{V}\)-category \(\mathcal{I}\) is terminal, and so any \(\mathcal{V}\)-category \(\mathcal{D}\) has a unique such \(P\); furthermore, the composite \(IP\) is then the terminal object of \([\mathcal{D}, \mathcal{V}]\), and so this does agree with our earlier definition.

**Example 5.13.** For the trivial model structure on \(\mathcal{V}\), no longer assuming that \(I\) is terminal, a \(\mathcal{V}\)-category \(\mathcal{K}\) will have enough cofibrantly-weighted limits if and only if it has all limits weighted by functors of the form \(IP: \mathcal{D} \to \mathcal{V}\) for a small \(\mathcal{V}\)-category \(\mathcal{D}\), a \(\mathcal{V}\)-functor \(P: \mathcal{D} \to \mathcal{I}\), and \(I: \mathcal{I} \to \mathcal{V}\) the weight picking out the object \(I \in \mathcal{V}\).

**Example 5.14.** For the split model structure on \(\mathcal{V}\), no longer assuming that \(I\) is terminal, each weight \(W \in [\mathcal{D}, \mathcal{V}]\) admits a canonical cofibrant replacement \(q: W' \to W\) given by the evaluation map

\[
\sum_{D \in \mathcal{D}} WD \cdot \mathcal{D}(D, -) \xrightarrow{q} W
\]

To see this, observe that the left hand side is a coproduct of copowers of representables, and so cofibrant by Proposition 5.2. Furthermore, each component of \(q\) is a split epimorphism with section

\[
WA \cong WA \cdot I \to WA \cdot \mathcal{D}(A, A) \to \Sigma_{D \in \mathcal{D}} WA \cdot \mathcal{D}(D, A)
\]

obtained by first copowering the map \(I \to \mathcal{D}(A, A)\) defining the identity morphism on \(A\), and then composing this with the coproduct inclusion.
Thus \( q \) is a pointwise split epimorphism, and so a trivial fibration in \([D, V]\). In the case that \( W = IP \), as in Definition 5.12, each \( W A \) is just \( I \). Thus the right hand side above reduces to \( \sum_{D \in D} D(D, -) \), whence a \( V \)-category has enough cofibrantly-weighted limits provided it has products.

6. The Adjoint Functor Theorem in the Case \( I = 1 \)

The key technical step in the proof of Freyd’s general adjoint functor theorem is to prove that a complete category with a weakly initial set of objects has an initial object. We shall now show that, on replacing completeness by homotopical completeness, we can still construct a bi-initial object. It is then straightforward to deduce the adjoint functor theorem.

**Theorem 6.1.** Suppose that the unit object \( I \) of \( V \) is terminal. If \( B \) is a \( V \)-category with enough cofibrantly-weighted limits, and \( B_0 \) has a weakly initial set of objects, then \( B \) has a bi-initial object.

**Proof.** Let \( \mathcal{G} \) be the full subcategory of \( B \) consisting of the objects appearing in the weakly initial set. We write \( J : \mathcal{G} \rightarrow B \) for the inclusion of this small full subcategory. By assumption, \( B \) admits \( Q \)-weighted limits for some cofibrant weight \( Q : \mathcal{G} \rightarrow V \) for which the unique map \( Q \rightarrow 1 \) is a trivial fibration. We shall show that the limit \( L = \{Q, J\} \) is bi-initial in \( B \).

Following the notation of Section 5.2, we obtain morphisms \( p_C : L \rightarrow JC \) for each \( C \in \mathcal{G} \), such that for \( f : C \rightarrow D \) the triangle

\[
\begin{array}{ccc}
L & \xrightarrow{p_C} & JC \\
\downarrow_{p_D} & \cong & \quad \downarrow_{Jf} \\
JD & & \\
\end{array}
\]

commutes up to \( V \)-homotopy.

Let \( B \in B \). Since \( \mathcal{G} \) is weakly initial, there exists a \( C \in \mathcal{G} \) and a morphism \( f : JC \rightarrow B \), and now \( f \circ p_C : L \rightarrow B \) gives the existence part of the required property of \( L \).

Suppose now that \( B \in B \) and that \( f, g : L \rightarrow B \) are two morphisms. As in Example 5.11, we may form the isoinsert \( k : K \rightarrow L \) of \( f \) and \( g \), and \( f k \cong g k \). By weak initiality of \( \mathcal{G} \) there is a morphism \( u : JC \rightarrow K \) for some \( C \in \mathcal{G} \). Now

\[ f \circ k \circ u \circ p_C \cong g \circ k \circ u \circ p_C \]

and so it will suffice to show that \( k \circ u \circ p_C \cong 1 \). Lemma 5.10 gives \( v \circ p_C \cong 1 \) for any \( v : JC \rightarrow L \) and, in particular, for \( v = k \circ u \). \( \square \)
Theorem 6.2. Suppose that the unit object $I$ of $\mathcal{V}$ is terminal. Let $\mathcal{B}$ be a $\mathcal{V}$-category with powers and enough cofibrantly-weighted limits, and let $U: \mathcal{B} \to \mathcal{A}$ be a $\mathcal{V}$-functor that preserves them. Then $U$ has a left shrink-adjoint if and only if $U_0$ satisfies the solution set condition.

Proof. Suppose first that $U$ has a left shrink-adjoint, and $\eta_A: A \to UA'$ is a shrink-reflection. Then the singleton family consisting of $(A', \eta)$ is a solution set for $U_0$. This proves the “only if” direction.

Since $I$ is terminal the unit $\mathcal{V}$-category $I$ is terminal in $\mathcal{V}$-$\mathcal{C}at$ and hence complete as a $\mathcal{V}$-category. Then for any $A \in \mathcal{A}$, it follows from Proposition 4.1 that the comma category $A/U$ has any type of limit which $\mathcal{B}$ has and $U: \mathcal{B} \to \mathcal{A}$ preserves, and so has enough cofibrantly-weighted limits. By Proposition 4.5 we know that $(A/U)_0 \cong A/U_0$, which has a weakly initial set of objects since $U_0$ satisfies the solution set condition. Hence, by Theorem 6.1, $A/U$ has a bi-initial object $\eta: A \to UA'$. Since $\mathcal{B}$ has powers and $U$ preserves them, it follows from Theorem 4.7 that

$$\mathcal{B}(A', B) \xrightarrow{U} \mathcal{A}(UA', UB)^{A(\eta, UB)} \xrightarrow{A(A, UB)} \mathcal{A}(A, UB)$$

is bi-terminal in $\mathcal{V}/\mathcal{A}(A, UB)$ — in other words, by Proposition 4.6, it is shrinkable. □

7. $\mathcal{V}/I$-categories and the adjoint functor theorem in the case $I \neq 1$

The proof given in the previous section relied on the fact that the enriched comma categories $A/U$ had enough cofibrantly-weighted limits, which we were able to prove only in the case where $I = 1$, since only then could we be sure that the unit $\mathcal{V}$-category $I$ had enough cofibrantly-weighted limits. We shall overcome this problem by viewing $A/U$ as a category enriched in the monoidal category $\mathcal{V}/I$, whose unit is terminal. To this end, observe that, since the unit $I$ is (trivially) a commutative monoid in $\mathcal{V}$, the slice category $\mathcal{V}/I$ becomes a symmetric monoidal category, with unit $1: I \to I$ and with the tensor product of $(X, x: X \to I)$ and $(Y, y: Y \to I)$ given by $(X \otimes Y, x \otimes y: X \otimes Y \to I)$. In particular, the unit is indeed terminal in $\mathcal{V}/I$. The resulting symmetric monoidal category $\mathcal{V}/I$ is also closed, with the internal hom of $(Y, y)$ and $(Z, z)$ given by the left vertical in the pullback

$$\begin{array}{c}
\langle (Y, y), (Z, z) \rangle \quad \quad [Y, Z] \\
\downarrow \quad \quad \downarrow [Y, z] \\
I \quad \quad [I, I] \quad \quad [Y, I]
\end{array}$$

(7.1)
The category $\mathcal{V}/I$ is once again complete and cocomplete. It becomes a monoidal model category if we define a morphism to be a cofibration, weak equivalence, or fibration just when its underlying morphism in $\mathcal{V}$ is one.

A category enriched in $\mathcal{V}/I$ involves a collection of objects together with, for each pair $X, Y$ of objects, an object

$$C_{X,Y} : \mathcal{C}(X, Y) \to I$$

of $\mathcal{V}/I$. Translating through the remaining structure, this is equally to specify a $\mathcal{V}$-category $\mathcal{C}$ together with a functor $C : \mathcal{C} \to I$ to the unit $\mathcal{V}$-category. We sometimes write $\mathcal{C} = (C, C)$ for such a $\mathcal{V}/I$-enriched category, and we refer to the functor $C$ as the augmentation.

**Proposition 7.1.** This correspondence extends to an isomorphism of categories $\mathcal{V}/I\text{-}\mathsf{Cat} \cong \mathcal{V}\text{-}\mathsf{Cat}/I$.

**Proof.** A $\mathcal{V}/I$-functor $F : \mathcal{C} \to \mathcal{D}$ consists of a $\mathcal{V}$-functor $F : \mathcal{C} \to \mathcal{D}$ for which the action $F_{C,D} : \mathcal{C}(C, D) \to \mathcal{D}(FC, FD)$ on homs commutes with the maps into $I$; equivalently, such that $F$ commutes with the augmentations. □

**Example 7.2.** The augmentations $P : A/U \to I$ and $Q : A/A \to I$ equip the $\mathcal{V}$-categories $A/U$ and $A/A$ with the structure of $\mathcal{V}/I$-categories.

The next four results extend Propositions 4.3, 4.5, 4.6, and Theorem 4.7 respectively, with essentially the same proof as before in each case.

**Proposition 7.3.** The identity morphism on $A$ is a terminal object in the slice $\mathcal{V}/I$-category $A/A$.

**Proof.** From (4.2), for any $f : B \to A$ we have the pullback

$$\begin{array}{ccc}
(A/A)((B, f), (A, 1_A)) & \longrightarrow & \mathcal{B}(B, A) \\
\downarrow & & \downarrow^\mathcal{B}(B, 1_A) \\
I & \underset{f}{\longrightarrow} & \mathcal{B}(B, A)
\end{array}$$

and since the pullback of an isomorphism is an isomorphism, the left vertical map is an isomorphism over $I$. As such, it is terminal as an object of $\mathcal{V}/I$. □

**Proposition 7.4.** Let $U : \mathcal{B} \to \mathcal{A}$ and $A \in \mathcal{A}$.

1. Then the $\mathcal{V}/I$-category $A/U$ has underlying category $A/U_0$. Furthermore, parallel morphisms $f, g : (B, b) \Rightarrow (C, c)$ are $\mathcal{V}/I$-homotopic if and only if $f$ and $g$ are $\mathcal{V}$-homotopic under $A$.
(2) Then $A/A$ has underlying category $A_0/A$. Furthermore, parallel morphisms $f, g: (B, b) \Rightarrow (C, c)$ are $V/I$-homotopic if and only if $f$ and $g$ are $V$-homotopic over $A$.

Proof. Since the unit in $V/I$ is $1: I \to I$, a morphism in $(A/U)_0$ is given by a map into the pullback

\[
\begin{array}{cccc}
I & \to & (A/U)((B, b), (C, c)) & \to & \mathcal{B}(B, C) \\
\downarrow & & \downarrow U & & \downarrow b^* \\
I & \to & \mathcal{A}(UB, UC) & \to & \mathcal{A}(A, UC)
\end{array}
\]

whose component in $I$ is the identity. As in the proof of Proposition 4.5 this amounts to a morphism of $A/U_0$. Now a $V/I$-interval is an interval in $V$ viewed as a diagram

\[
(I + I, \nabla) \xrightarrow{(d, c)} (J, e) \xrightarrow{e} (I, 1)
\]

in $V/I$. Therefore a $(J, e)$-homotopy $f \cong g$ in $A/U$ is specified by a map from $J$ into the pullback whose component in $I$ is fixed as $e: J \to I$, and so as in the proof of Proposition 4.5 amounts to a $(V, J)$-homotopy $h: f \cong g$ for which $Uh \circ b$ is trivial. The case of $A/A$ follows by duality, as before. \qed

**Proposition 7.5.** A morphism $f: A \to B \in \mathcal{C}$ is shrinkable if and only if it is bi-terminal in the slice $V/I$-category $\mathcal{C}/B$.

Proof. The proof of Proposition 4.6 carries over unchanged on replacing the use of Propositions 4.3 and 4.5 by their respective generalisations, Propositions 7.3 and 7.4. \qed

**Theorem 7.6.** Let $\mathcal{B}$ be a $\mathcal{V}$-category with powers, and $U: \mathcal{B} \to \mathcal{A}$ a $\mathcal{V}$-functor which preserves them; if $\eta: A \to UA'$ is bi-initial in the $V/I$-category $A/U$ then

\[
\begin{array}{cccc}
\mathcal{B}(A', B) & \xrightarrow{U} & \mathcal{A}(UA', UB) & \xrightarrow{\mathcal{A}(\eta, UB)} & \mathcal{A}(A, UB)
\end{array}
\]

is bi-terminal in the $V/I$-category $V/\mathcal{A}(A, UB)$.

Proof. The proof of Theorem 4.7 carries over unchanged on replacing the use of Proposition 4.5 by the more general Proposition 7.4. \qed
We now turn to our main theorem. Its proof will rely on a technical result, Proposition 7.11, which the remainder of the section will be devoted to proving.

**Theorem 7.7.** Let $\mathcal{B}$ be a $\mathcal{V}$-category with powers and enough cofibrantly-weighted limits, and let $U: \mathcal{B} \to \mathcal{A}$ be a $\mathcal{V}$-functor that preserves them. Then $U$ has a left shrink-adjoint if and only if $U_0$ satisfies the solution set condition.

*Proof.* If $U$ has a left shrink-adjoint, and $\eta_A: A \to UA'$ is a shrink-reflection, then the singleton family consisting of $(A', \eta)$ is a solution set for $U_0$. This proves the “only if” direction.

Suppose conversely that $U_0$ satisfies the solution set condition. By Proposition 7.11 below, it follows that the $\mathcal{V}/I$-category $A/U$ has enough cofibrantly-weighted limits. By Proposition 7.4 we know that the underlying category $(A/U)_0$ of the $\mathcal{V}/I$-category $A/U$ is isomorphic to $A/U_0$. Since $U_0$ satisfies the solution set condition $A/U_0$, and so also $(A/U)_0$, have weakly initial sets of objects. Thus, by Theorem 6.1, $A/U$ has a bi-initial object $\eta: A \to UA'$, and now by Theorem 7.6 the morphism

$$
\mathcal{B}(A', B) \xrightarrow{U} \mathcal{A}(UA', UB) \xrightarrow{A(\eta, UB)} \mathcal{A}(A, UB).
$$

is bi-terminal in the $\mathcal{V}/I$-category $\mathcal{V}/\mathcal{A}(A, UB)$, and so is shrinkable by Proposition 7.5. \[\square\]

In order to prove Proposition 7.11, we need to consider weighted limits in $\mathcal{V}/I$-categories of the form $P: A/U \to I$. First, we show that these are comma categories in the $\mathcal{V}/I$-enriched sense. In order to formulate this statement correctly, let us first observe that the forgetful functor $\mathcal{V}/\mathcal{I} \to \mathcal{V}/\mathcal{A}$ has a right adjoint $R$, sending $A$ to the $\mathcal{V}/I$-category $\pi_2: \mathcal{A} \times I \to I$.

**Lemma 7.8.** Given a $\mathcal{V}$-functor $U: \mathcal{B} \to \mathcal{A}$ and an object $A \in \mathcal{A}$, the $\mathcal{V}/I$-category $P: A/U \to I$ is isomorphic to the comma $\mathcal{V}/I$-category $A/R(U)$.

*Proof.* By Proposition 7.4, an object of the $\mathcal{V}/I$-category $A/U$ is given by a pair $(B, f: I \to A(A, UB) \in \mathcal{V})$, which bijectively corresponds to a pair $(B, (f, 1): (I, 1) \to (A(A, UB) \times I, \pi_2) \in \mathcal{V}/I)$; that is, to an object of $A/R(U)$. \[\square\]
Given objects \((B, (f, 1))\) and \((C, (g, 1))\) of \(A/R(U)\), consider the following diagram, in which each region is a pullback.

\[
\begin{array}{ccc}
(A/R(U))((B, (f, 1)), (C, (g, 1))) & \to & \mathcal{B}(B, C) \times I \\
\downarrow & & \downarrow \pi_1 \\
\mathcal{A}(UB, UC) \times I & \to & \mathcal{A}(UB, UC) \\
\downarrow \quad \quad \uparrow \quad \quad \downarrow \\
I & \to & \mathcal{A}(A, UC) \times I \\
\downarrow g & & \downarrow \pi_1 \\
\mathcal{A}(A, UC) & \to & \mathcal{A}(A, UC)
\end{array}
\]

We may deduce an isomorphism

\[
(A/R(U))((B, (f, 1)), (C, (g, 1))) \cong A/U((B, f), (C, g))
\]

in \(V/I\), since the left hand side is defined by the pullback on the left, and the right hand side is defined by the composite pullback. The remaining verifications of functoriality are straightforward. \(\square\)

Next, we will consider weighted limits in the \(V/I\)-enriched sense. To get started, observe, on comparing (4.2) and (7.1), that \(V/I\), as a \(V/I\)-enriched category, is given by the slice \(V\)-category \(Q: V/I \to I\). We denote it by \(V/I = (V/I, Q)\).

Let \(D = (D, D)\) be a \(V/I\)-category. Then a \(V/I\)-weight \(D \to V/I\) is specified by a commutative triangle as on the left below.

\[
\begin{array}{ccc}
\mathcal{D} & \to & V/I \\
\downarrow Q & & \downarrow \psi \\
\mathcal{I} & \to & V
\end{array}
\]

By the universal property of the slice \(V\)-category \(V/I\) this amounts to a \(V\)-weight \(W: D \to V\) together with a \(V\)-natural transformation \(w: W \Rightarrow ID\). We write \(W = (W, w)\) for the \(V/I\)-weight.

Building on the above description, it is straightforward to see that the presheaf \(V/I\)-category \([D, V/I]\) is given by the slice \(V\)-category \([D, V]/ID\) equipped with its natural projection to \(I\). In particular, its homs are defined by the following pullbacks.

\[
\begin{array}{ccc}
[D, V/I](F, G) & \to & [D, V](F, G) \\
\downarrow U & & \downarrow g_* \\
I & \to & [D, V](F, ID)
\end{array}
\]
Finally, observe that given a diagram \( S : \mathcal{D} \to \mathcal{A} \) and an object \( X \in \mathcal{A} \) the induced presheaf
\[
\mathcal{A}(X, S-) : \mathcal{D} \to \mathcal{V}/I
\]
consists of the \( \mathcal{V} \)-weight \( \mathcal{A}(X, S-) : \mathcal{D} \to \mathcal{V} \) together with the augmentation \( \mathcal{A}(X, S-) \to I \) having components \( A_{X,SY} : \mathcal{A}(X, SY) \to I \).

**Lemma 7.9.** Let \( \mathcal{W} = (W, w) \) be a \( \mathcal{V}/I \)-weight. If \( \mathcal{B} \) has \( W \)-weighted limits then \( R(\mathcal{B}) \) has \( \mathcal{W} \)-weighted limits; if \( U : \mathcal{B} \to \mathcal{A} \) preserves \( W \)-weighted limits then \( R(U) \) preserves \( \mathcal{W} \)-weighted limits.

**Proof.** Consider a diagram \( \mathcal{D} \to R(\mathcal{B}) = (\mathcal{B} \times I, \pi_2) \), which is necessarily of the form \( \mathcal{S} = (S, \mathcal{D}) \) for \( S : \mathcal{D} \to \mathcal{B} \). We claim that the limit \( \{W, S\} \) also has the universal property of \( \{W, \mathcal{S}\} \).

Using the definition of homs in \( [\mathcal{D}, \mathcal{V}/I] \) we have a pullback
\[
[D, V/I](W, R(B)(B, S-)) \to [D, V](W, B(B, S-) \times I) \xrightarrow{\pi_2,} [D, V](W, I)
\]
and so, using the universal property of the product \( B(B, S-) \times I \), an isomorphism
\[
[D, V/I](W, R(B)(B, S-)) \cong [D, V](W, B(B, S-) \times I)
\]
over \( I \), and now the right hand side is naturally isomorphic to
\[
B(B, \{W, S\}) \times I = R(B)(B, \{W, S\}),
\]
as required. This proves that \( \{W, S\} \) also has the universal property of \( \{W, \mathcal{S}\} \). Given this, the corresponding statement about preservation is straightforward. \( \square \)

**Lemma 7.10.** If \( \mathcal{B} \) has enough cofibrantly-weighted limits and \( U : \mathcal{B} \to \mathcal{A} \) preserves them, then \( R(\mathcal{B}) \) has enough cofibrantly-weighted limits (in the \( \mathcal{V}/I \)-enriched sense) and \( R(U) : R(\mathcal{B}) \to R(\mathcal{A}) \) preserves them.

**Proof.** Let \( \mathcal{D} \) be a \( \mathcal{V}/I \)-category. Re-expressing the definition of enough cofibrantly-weighted limits in these terms, we see that there exists a weight \( \mathcal{W} = (W, w) \) with \( W \) cofibrant and \( w : W \to I \) a trivial fibration, and such that \( \mathcal{B} \) has \( W \)-weighted limits and \( U \) preserves them. By Lemma 7.9, this implies that \( R(\mathcal{B}) \) has \( \mathcal{W} \)-weighted limits and \( R(U) \) preserves them.

Now \( (I, 1) \) is the terminal \( \mathcal{V}/I \)-weight so that the trivial fibration \( w : W \to I \) equally specifies a trivial fibration \( w : \mathcal{W} \to 1 \). Therefore, if we can show that \( W \) cofibrant implies \( \mathcal{W} \) cofibrant, we will
have shown that $R(B)$ has enough cofibrantly-weighted limits as a $\mathcal{V}/\mathcal{I}$-category and that $R(U)$ preserves them.

To this end, suppose that $\alpha: F \to G$ is a trivial fibration and consider the commutative square in the top half of the following diagram.

\[
\begin{array}{ccc}
[D, \mathcal{V}/\mathcal{I}](W, F) & \xrightarrow{U_{W,F}} & [D, \mathcal{V}](W, F) \\
\downarrow \alpha^* & & \downarrow \alpha^* \\
[D, \mathcal{V}/\mathcal{I}](W, G) & \xrightarrow{U_{W,G}} & [D, \mathcal{V}](W, G)
\end{array}
\]

Pasting this with the pullback square (7.2) defining $[D, \mathcal{V}/\mathcal{I}](W, G)$ as in the bottom half of the diagram yields the pullback square defining $[D, \mathcal{V}/\mathcal{I}](W, F)$. Therefore the upper square above is a pullback in $\mathcal{V}$. Since $W$ is cofibrant, the right hand $\alpha^*$ is a trivial fibration whence so is its pullback on the left, as required.

With this in place, we can finally prove the missing proposition, so completing the proof of Theorem 7.7.

**Proposition 7.11.** If $B$ has enough cofibrantly-weighted limits and $U$ preserves them, in the $\mathcal{V}$-sense, then $A/U$ has enough cofibrantly-weighted limits in the $\mathcal{V}/\mathcal{I}$-sense.

**Proof.** By Lemma 7.10 $R(B)$ has enough cofibrantly-weighted limits and $R(U): R(B) \to R(A)$ preserves them. Therefore, by Proposition 4.1, the comma $\mathcal{V}/\mathcal{I}$-category $A/R(U)$ has enough cofibrantly-weighted limits. By Lemma 7.8, $A/R(U)$ is isomorphic to the $\mathcal{V}/\mathcal{I}$-category $P: A/U \to \mathcal{I}$, which consequently has enough cofibrantly-weighted limits too.

In the case of the ordinary adjoint functor theorem, there is of course a converse: if a functor has a left adjoint then it satisfies the solution set condition and preserves any existing limits. In the case of Theorem 7.7, if there is a left shrink-adjoint, then the solution set condition does hold (as stated in the theorem), but the preservation of enough cofibrantly-weighted limits need not, as the following example shows.

**Example 7.12.** Let $\mathcal{V} = \text{Set}$ with the split model structure, so that the shrinkable morphisms are just the surjections, and we are dealing with classical weak category theory. Let $G$ be a non-trivial group, and $\text{Set}^G$ the category of $G$-sets. The forgetful functor $U: \text{Set}^G \to \text{Set}$ has a left adjoint $F$. Let $P: \text{Set}^G \to \text{Set}$ be the functor sending a $G$-set
to its set of orbits. There is a natural transformation $\pi: U \to P$ whose components are surjective. For each set $X$, the composite of the unit $X \to UFX$ and $\pi: UFX \to PFX$ exhibits $F$ as a weak left adjoint to $P$. But $P$ does not preserve powers (or products): in particular, it does not preserve the power $G^2$.

This shows that having a left shrink-adjoint does not imply preservation of limits up to isomorphism, as would be needed for a genuine converse. A more reasonable request would be preservation in some homotopical sense. It turns out that in good cases this does hold, as in the following result, which can be seen as a sort of partial converse to our adjoint functor theorem.

**Theorem 7.13.** Let $B$ and $A$ be locally fibrant $\mathcal{V}$-categories, and let $U: B \to A$ have a left shrink-adjoint. Let $Q: \mathcal{D} \to \mathcal{V}$ be a cofibrant weight for which $[\mathcal{D}, \mathcal{V}](Q, -): [\mathcal{D}, \mathcal{V}] \to \mathcal{V}$ sends levelwise shrinkable morphisms between levelwise fibrant objects to weak equivalences. Then $U$ preserves homotopy $Q$-weighted limits.

**Proof.** The induced maps $B(A', B) \to A(A, UB)$ are shrinkable morphisms between fibrant objects and so are weak equivalences (between fibrant objects). Suppose that $\varphi: Q \to B(L, S)$ exhibits $L$ as the homotopy weighted limit $\{Q, S\}_h$, meaning that for each $B \in B$ the induced morphism $B(B, L) \to [\mathcal{D}, \mathcal{V}](Q, B(B, S))$ is a weak equivalence in $\mathcal{V}$. Then given $A \in A$ there is a commutative diagram

$$
\begin{array}{ccc}
B(A', L) & \longrightarrow & [\mathcal{D}, \mathcal{V}](Q, B(A', S)) \\
\downarrow & & \downarrow \\
A(A, UL) & \longrightarrow & [\mathcal{D}, \mathcal{V}](Q, A(A, US))
\end{array}
$$

in which the upper horizontal is the weak equivalence expressing the universal property of $L = \{Q, S\}_h$, the left vertical is a shrinkable morphism (and so a weak equivalence) expressing the universal property of $A'$, and the right vertical is given by $[\mathcal{D}, \mathcal{V}](Q, -)$ applied to a levelwise shrinkable morphism between levelwise fibrant objects, and so is a weak equivalence. Then the bottom map is also a weak equivalence, and so $U$ preserves the homotopy limit. \qed

**Example 7.14.** In [22], $Q$-weighted limits were said to be $\mathcal{E}$-stable if $\mathcal{E}$, seen as a full subcategory of $\mathcal{V}^2$, is closed under $Q$-weighted limits; in other words, if $[\mathcal{D}, \mathcal{V}](Q, -): [\mathcal{D}, \mathcal{V}] \to \mathcal{V}$ sends a $\mathcal{V}$-natural transformation whose components are in $\mathcal{E}$ to a morphism in $\mathcal{E}$. If moreover the shrinkable morphisms are weak equivalences then the hypothesis in the theorem holds, and so $U$ will preserve homotopy $Q$-weighted limits.
This is the case for all cofibrant $Q$ in the case of Examples 2.1, 2.2, and 2.3, but not in Example 2.4.

**Example 7.15.** If the enriched projective model structure on $[\mathcal{D}, \mathcal{V}]$ exists, as is the case for Example 2.4, then once again the hypothesis holds for all cofibrant $Q$. For a levelwise shrinkable morphism between levelwise fibrant objects is a weak equivalence between fibrant objects in the projective model structure, and so sent by $[\mathcal{D}, \mathcal{V}](Q, -)$ to a weak equivalence (between fibrant objects).

### 8. Accessibility and shrink-colimits

In ordinary category theory an accessible category which is complete is also cocomplete — this provides one simple way to see that algebraic categories, which are obviously complete, are also cocomplete. The present section is devoted to generalising this to our setting, which we do in Theorem 8.9. In Section 9 we shall use Theorem 8.9 to deduce homotopical cocompleteness of various enriched categories of (higher) categorical structures.

#### 8.1. Shrink-colimits

The paper [22] introduced the notion of $\mathcal{E}$-weak colimit for any class of morphisms $\mathcal{E}$. We shall use this in our current setting where $\mathcal{E}$ consists of the shrinkable morphisms, and call the resulting notion a *shrink-colimit*.

**Definition 8.1.** Let $W: \mathcal{D}^{\text{op}} \to \mathcal{V}$ be a weight, and consider a diagram $S: \mathcal{D} \to \mathcal{A}$. A $\mathcal{V}$-natural transformation

$$W \xrightarrow{\eta} \mathcal{A}(S-, C)$$

in $[\mathcal{D}^{\text{op}}, \mathcal{V}]$ exhibits $C$ as the shrink-colimit of $S$ weighted by $W$ if the induced map

$$\mathcal{A}(C, A) \xrightarrow{\eta^* \circ \mathcal{A}(S-, 1)} [\mathcal{D}^{\text{op}}, \mathcal{V}](W, \mathcal{A}(S-, A))$$

(8.1)

is shrinkable for all $A \in \mathcal{A}$.

This is equally the assertion that $\eta$ exhibits $C$ as a shrink-reflection of $W$ along the $\mathcal{V}$-functor $\mathcal{A}(S-, 1): \mathcal{A} \to [\mathcal{D}^{\text{op}}, \mathcal{V}]$ sending $A$ to $\mathcal{A}(S-, A)$.

**Example 8.2.** For $\mathcal{V}$ with the trivial model structure, shrink-colimits are weighted colimits in the usual sense.

**Example 8.3.** For $\mathcal{V}$ with the split model structure, $\eta: W \to \mathcal{A}(S-, C)$ exhibits $C$ as the shrink-colimit just when the induced map $\mathcal{A}(C, A) \to$
[\mathcal{D}^{\text{op}}, \mathcal{V}] (W, \mathcal{A}(S-, A)) is a split epimorphism in \mathcal{V}. This implies in particular that given \( f : W \to \mathcal{A}(S-, A) \) there exists \( f' : C \to A \) such that the triangle

\[
\begin{array}{ccc}
W & \xrightarrow{f} & \mathcal{A}(S-, A) \\
\downarrow{\eta} & & \downarrow{A(\cdot, f')} \\
\mathcal{A}(S-, C) & \xrightarrow{} & \mathcal{A}(S-, A)
\end{array}
\]

commutes. Indeed, when \( \mathcal{V} = \text{Set} \), it amounts to precisely this condition, and then if \( W \) is the terminal weight, the shrink-colimit reduces to the ordinary weak (conical) colimit of \( S \).

**Example 8.4.** In the \( \text{Cat} \) case, the map

\[
\mathcal{A}(C, A) \to [\mathcal{D}^{\text{op}}, \text{Cat}](W, \mathcal{A}(S-, A))
\]

is required to be a surjective equivalence. So given \( f : W \to \mathcal{A}(S-, A) \) we have a factorisation as in (8.2) above; and furthermore, given \( \alpha : f \Rightarrow g \in [\mathcal{D}^{\text{op}}, \text{Cat}](W, \mathcal{A}(S-, A)) \) there exists a unique \( \alpha' : f' \Rightarrow g' \) such that \( \mathcal{A}(S-, \alpha') \circ \eta = \alpha \). For instance, the shrink-coequalizer

\[
\begin{array}{ccc}
X & \xrightarrow{f} & Y \\
\downarrow{g} & & \downarrow{e} \\
& C &
\end{array}
\]

satisfies \( e \circ f = e \circ g \) and has the following properties:

1. if \( h : Y \to D \) satisfies \( h \circ f = h \circ g \), there exists \( h' : C \to D \) such that \( h' \circ e = h \);
2. if moreover \( k : Y \to D \) satisfies \( k \circ f = k \circ g \), and \( \theta : h \Rightarrow k \) satisfies \( \theta \circ f = \theta \circ g \), there exists a unique \( \theta' : h' \Rightarrow k' \) such that \( \theta' \circ e = \theta \).

Let us compare shrink-colimits with the better known notion of bicollimits — given \( W \) and \( S \) as before, the \( W \)-weighted bicollimit \( C = W *_{b_s} S \) [16] is defined by a pseudonatural transformation \( \eta : W \to \mathcal{A}(S-, C) \) such that the induced map

\[
\mathcal{A}(C, A) \to \text{Ps}(\mathcal{D}^{\text{op}}, \text{Cat})(W, \mathcal{A}(S-, A))
\]

is an equivalence of categories, where \( \text{Ps}(\mathcal{D}^{\text{op}}, \text{Cat}) \) denotes the 2-category of 2-functors, pseudonatural transformations and modifications from \( \mathcal{D}^{\text{op}} \) to \( \text{Cat} \). To make the comparison, recall from [3, Remark 3.15] that the identity on objects inclusion of \( [\mathcal{D}^{\text{op}}, \text{Cat}] \) in \( \text{Ps}(\mathcal{D}^{\text{op}}, \text{Cat}) \) has a left adjoint \((-)' \) called the pseudomorphism classifier, with counit \( q_W : W' \to W \). The morphism \( q_W : W' \to W \) is in fact a cofibrant replacement of the weight \( W \) in the projective model.
structure on \([\mathcal{D}^{\text{op}}, \text{Cat}]\): see [20, Sections 5.9 and 6]. Given the isomorphism

\[ [\mathcal{D}^{\text{op}}, \text{Cat}](W', \mathcal{A}(S-, A)) \cong \text{Ps}(\mathcal{D}^{\text{op}}, \text{Cat})(W, \mathcal{A}(S-, A)), \]

the bicolimit of \(S\) weighted by \(W\) equally amounts to a 2-natural transformation \(\eta: W' \to \mathcal{A}(S-, C)\) for which the induced map

\[ \mathcal{A}(C, A) \to [\mathcal{D}^{\text{op}}, \text{Cat}](W', \mathcal{A}(S-, A)) \]

is an equivalence of categories for all \(A\). In particular, the shrink-colimit of \(S\) weighted by \(W\) is a \(W\)-weighted bicolimit of \(S\), but satisfies the stronger condition that genuine factorisations, as in (8.2), exist. Thus any 2-category admitting shrink-colimits also admits bicolimits (with a stronger universal property), but also admits some shrink-colimits, such as shrink-coequalizers, whose defining weights are not cofibrant, and so do not correspond to any bicolimit.

**Example 8.5.** In the \(\text{SSet}\)-case, the map

\[ \mathcal{A}(C, A) \to [\mathcal{D}^{\text{op}}, \text{SSet}](W, \mathcal{A}(S-, A)) \]

is a shrinkable morphism and so a weak equivalence (with respect to the Joyal model structure) with a section.

In the case that \(W\) is flexible and \(A\) is locally fibrant — as, for instance, if \(A\) is an \(\infty\)-cosmos — we can say rather more. For then, since \(\mathcal{A}(S-, A)\) is pointwise fibrant in \([\mathcal{D}^{\text{op}}, \text{SSet}]\) and \(W\) is cofibrant, the hom \([\mathcal{D}^{\text{op}}, \text{SSet}](W, \mathcal{A}(S-, A))\) is also fibrant: it is a quasicategory. It follows, by Example 3.8, that the shrinkable morphism \(\mathcal{A}(C, A) \to [\mathcal{D}^{\text{op}}, \text{SSet}](W, \mathcal{A}(S-, A))\) is a surjective equivalence of quasicategories.

For \(A\) an \(\infty\)-cosmos and \(W\) a flexible weight, Riehl and Verity [28] define the *flexibly-weighted homotopy colimit* of \(S\) weighted by \(W\) as an object \(C\) together with a morphism \(W \to \mathcal{A}(S-, C)\) for which the induced map \(\mathcal{A}(C, A) \to [\mathcal{D}^{\text{op}}, \text{SSet}](W, \mathcal{A}(S-, A))\) is an equivalence of quasicategories for all \(A\). In particular, if \(A\) admits shrink-colimits it admits flexibly-weighted homotopy colimits with the stronger property that the comparison equivalence of quasicategories is in fact a surjective equivalence.

### 8.2. Accessible \(\mathcal{V}\)-categories with enough cofibrantly-weighted limits have shrink-colimits.

In the present section we suppose that (the unenriched category) \(\mathcal{V}_0\) is locally presentable. It follows by [17, Proposition 2.4] that there is a regular cardinal \(\lambda_0\) such that \(\mathcal{V}_0\) is locally \(\lambda_0\)-presentable, the unit object \(I\) is \(\lambda_0\)-presentable, and the tensor product of two \(\lambda_0\)-presentable objects is \(\lambda_0\)-presentable. Moreover, the corresponding statements will remain true for any regular \(\lambda \geq \lambda_0\).
Notation 8.6. We let $\lambda_0$ denote a fixed regular cardinal as in the previous paragraph. Whenever we consider $\lambda$-presentability or $\lambda$-accessibility in the $\mathcal{V}$-enriched context, we shall always suppose that $\lambda$ is a regular cardinal and $\lambda \geq \lambda_0$.

If $\mathcal{H}$ is a small category we can speak of conical $\mathcal{H}$-shaped colimits in $\mathcal{C}$: these are $\mathcal{H}$-shaped colimits in $\mathcal{C}_0$ which are (required to be) preserved by $\mathcal{C}(-, \mathcal{C})_0: \mathcal{C}_0 \to \mathcal{V}_0^{\text{op}}$ for each $\mathcal{C} \in \mathcal{C}$. In particular we can speak of $\lambda$-filtered colimits in $\mathcal{C}$, corresponding to $\mathcal{H}$-shaped colimits for all $\lambda$-filtered categories $\mathcal{H}$.

An object $A$ in $\mathcal{C}$ is said to be $\lambda$-presentable if $\mathcal{C}(A, -): \mathcal{C} \to \mathcal{V}$ preserves $\lambda$-filtered colimits. We say that $\mathcal{C}$ is $\lambda$-accessible if it admits $\lambda$-filtered colimits and a set $\mathcal{G}$ of $\lambda$-presentable objects such that each object of $\mathcal{C}$ is a $\lambda$-filtered colimit of objects in $\mathcal{G}$. It follows, arguing as usual, that the full subcategory of $\lambda$-presentable objects in $\mathcal{C}$ is essentially small and we denote by $J: \mathcal{C}_\lambda \to \mathcal{C}$ a small skeletal full subcategory of $\lambda$-presentables. It follows from [14, Theorem 5.19] that $J$ is dense, and from [14, Theorem 5.29] that $J$ then exhibits $\mathcal{C}$ as the free completion of $\mathcal{C}_\lambda$ under $\lambda$-filtered colimits. (Indeed, the $\lambda$-accessible $\mathcal{V}$-categories are equally the free completions of small $\mathcal{V}$-categories under $\lambda$-filtered colimits.)

Notation 8.7. Let $D: \mathcal{A} \to \mathcal{C}$ be a $\mathcal{V}$-functor with small domain. We write $\mathcal{N}_D$ for the induced $\mathcal{V}$-functor $\mathcal{C} \to [\mathcal{A}^{\text{op}}, \mathcal{V}]$ sending an object $C \in \mathcal{C}$ to the presheaf $\mathcal{C}(DA, C)$ which in turn sends $A \in \mathcal{A}$ to $\mathcal{C}(DA, C)$. Other authors have written $\mathcal{C}(D, 1)$ or $\tilde{D}$ for $\mathcal{N}_D$; our notation is designed to remind that this is a generalised (N)erve.

Let $\mathcal{C}$ be a $\lambda$-accessible $\mathcal{V}$-category, and $J: \mathcal{C}_\lambda \to \mathcal{C}$ the inclusion of the full sub-$\mathcal{V}$-category of $\lambda$-presentable objects. Then $J$ is dense, so that the associated functor $\mathcal{N}_J: \mathcal{C} \to [\mathcal{C}_\lambda^{\text{op}}, \mathcal{V}]$ is fully faithful.

Proposition 8.8. If $\mathcal{C}$ is $\lambda$-accessible, with powers and enough cofibrantly-weighted limits, then $\mathcal{N}_J: \mathcal{C} \to [\mathcal{C}_\lambda^{\text{op}}, \mathcal{V}]$ admits a left shrink-adjoint.

Proof. The composite of $\mathcal{N}_J$ with the evaluation functor $\mathcal{e}_{X}$ at a $\lambda$-presentable object $X$ is the representable $\mathcal{C}(JX, -)$. Each such $\mathcal{V}$-functor preserves $\lambda$-filtered colimits; so, since the evaluation functors are jointly conservative, $\mathcal{N}_J$ also preserves $\lambda$-filtered colimits. Now $[\mathcal{C}_\lambda^{\text{op}}, \mathcal{V}]$ is locally $\lambda$-presentable as a $\mathcal{V}$-category by [15, Examples 3.4].

---

2A different notion of enriched accessibility was given in [4], but see [21] for an analysis of the relationship between the two notions, including the fact that they agree in the crucial examples $\mathcal{V} = \text{Cat}$ and $\mathcal{V} = \text{SSet}$. 
In particular, since \((N_J)_0: \mathcal{C}_0 \to [\mathcal{C}^\text{op}_\Lambda, \mathcal{V}]_0\) is a \(\lambda\)-filtered colimit preserving functor between \(\lambda\)-accessible categories, it satisfies the solution set condition. The result now follows from our adjoint functor theorem, Theorem 7.7. □

**Theorem 8.9.** Let \(\mathcal{C}\) be an accessible \(\mathcal{V}\)-category with powers and enough cofibrantly-weighted limits. Then \(\mathcal{C}\) admits all shrink-colimits.

**Proof.** Given Proposition 8.8, this follows directly from Proposition 4.3 of [22], the argument of which we repeat here for convenience. Let \(D: \mathcal{A} \to \mathcal{C}\) with \(\mathcal{A}\) small. We must show that \(N_D: \mathcal{C} \to [\mathcal{A}^\text{op}, \mathcal{V}]\) has a left shrink-adjoint; then the value of this adjoint at \(W \in [\mathcal{A}^\text{op}, \mathcal{V}]\) will give the shrink-colimit of \(D\) weighted by \(W\). First suppose that \(\mathcal{C}\) is \(\lambda\)-accessible and consider the dense inclusion \(J: \mathcal{C}_\Lambda \to \mathcal{C}\). We then have the following diagram:

\[
\begin{array}{ccc}
\mathcal{A} & \xrightarrow{D} & \mathcal{C} \\
\downarrow N_D & & \downarrow N_{(NJ,D)}=\left[\mathcal{A}^\text{op},\mathcal{V}\right](NJ,D-1) \\
& [\mathcal{C}^\text{op}_\Lambda, \mathcal{V}] & \downarrow N_J \mathcal{C} \\
& \left(\mathcal{C}_\Lambda^\text{op}, \mathcal{V}\right) & \sim \approx \mathcal{C}(D-, C) \sim N_DC
\end{array}
\]

Since \(N_J\) is fully faithful, there are natural isomorphisms

\[
N_{N_J D} N_J C \cong \left[\mathcal{C}_\Lambda^\text{op}, \mathcal{V}\right](N_J D-, N_J C) \cong \mathcal{C}(D-, C) \cong N_DC
\]

and so the triangle commutes up to isomorphism. Thus it will suffice to show that \(N_J\) and \(N_{N_J D}\) have left shrink-adjoints. The first of these does so by Proposition 8.8, while the second has a genuine left adjoint, namely the weighted colimit functor \(N_J D \star -\). □

We follow [22] in saying that \(W\)-weighted limits are \(\mathcal{E}\)-stable if the enriched full subcategory \(\mathcal{E} \hookrightarrow \mathcal{V}^2\) is closed under \(W\)-weighted limits. Similarly, we say that enough cofibrantly-weighted limits are \(\mathcal{E}\)-stable if \(\mathcal{E}\) has, and the inclusion \(\mathcal{E} \hookrightarrow \mathcal{V}^2\) preserves, enough cofibrantly-weighted limits. In that case we can use results from [22] to prove a converse to the previous theorem.

**Theorem 8.10.** Suppose that the shrinkable morphisms in \(\mathcal{V}\) are closed in \(\mathcal{V}^2\) under enough cofibrantly-weighted limits, and let \(\mathcal{C}\) be an accessible \(\mathcal{V}\)-category. Then the following are equivalent.

(i) \(\mathcal{C}\) admits \(\mathcal{E}\)-stable limits.

(ii) \(\mathcal{C}\) admits powers and enough cofibrantly-weighted limits.

(iii) \(\mathcal{C}\) admits shrink-colimits.

**Proof.** Since shrinkable morphisms are always closed under powers, powers are \(\mathcal{E}\)-stable. Since also enough cofibrantly-weighted limits are
\( E \)-stable by assumption, (i) \( \Rightarrow \) (ii). The implication (ii) \( \Rightarrow \) (iii) holds by Theorem 8.9. For (iii) \( \Rightarrow \) (i), suppose that \( C \) is \( \lambda \)-accessible and consider the dense inclusion \( J : C^\lambda \to C \). Then \( N_J : C \to [C^\lambda_0, V] \) is fully faithful and has a left shrink-adjoint sending \( W \) to the shrink-colimit of \( J \) weighted by \( W \). Furthermore, since each morphism of \( E \) is a split epimorphism, the representable \( V(I, -) : V_0 \to \text{Set} \) sends morphisms of \( E \) to surjections: in the language of [22] this says that \( I \) is \( E \)-projective. Using Propositions 6.1 and 2.6 of [22] we deduce that \( C \) is closed in \([C^\lambda_0, V]\) under \( E \)-stable limits, as required. \( \square \)

8.3. **Recognising accessible enriched categories.** Examples of accessible \( V \)-categories \( C \) are much easier to identify in the case that \( C \) admits powers by a suitable strong generator \( G \) of \( V_0 \). As observed in [14, Section 3.8], if the \( V \)-category \( C \) has powers, the difference between conical colimits in \( C \) and conical colimits in the underlying category \( C_0 \) disappears. But since the map \( C(\text{colim}_i D_i, C) \to \lim_i C(D_i, C) \) expressing the universal property of a conical colimit will be invertible if and only if the induced \( V_0(G, C(\text{colim}_i D_i, C)) \to V_0(G, \lim_i C(D_i, C)) \) is so for each \( G \in G \), it suffices for \( C \) to have powers by objects in \( G \). Building on this well-known argument, the following proposition enables us to recognise accessible \( V \)-categories by looking at their underlying categories.

Recall that \( \lambda_0 \) satisfies the standing assumptions of Notation 8.6.

**Proposition 8.11.** Let \( V_0 \) be locally presentable, let \( G \) be a strong generator of \( V_0 \), and let \( \lambda \geq \lambda_0 \) be a regular cardinal such that each \( G \in G \) is \( \lambda \)-presentable in \( V_0 \). Then for any \( V \)-category \( C \) with powers by objects in \( G \), the following are equivalent:

1. \( C \) is \( \lambda \)-accessible as a \( V \)-category;
2. \( C_0 \) is \( \lambda \)-accessible and \( C(C, -)_0 : C_0 \to V_0 \) is a \( \lambda \)-accessible functor, for each \( C \) in some strong generator of \( C_0 \);
3. \( C_0 \) is \( \lambda \)-accessible and \( (G \triangleright -)_0 : C_0 \to C_0 \) is a \( \lambda \)-accessible functor, for each \( G \in G \).

Moreover, for such \( \lambda \) and \( C \), an object \( C \in C \) is \( \lambda \)-presentable if and only if it is \( \lambda \)-presentable in \( C_0 \).

**Proof.** Because of the powers, \( C \) has \( \lambda \)-filtered colimits if and only if \( C_0 \) does so, and a class \( H \) of objects of \( C \) generates \( C \) under \( \lambda \)-filtered colimits if and only if it generates \( C_0 \) under \( \lambda \)-filtered colimits. So the only possible difference between \( \lambda \)-accessibility of \( C \) and \( \lambda \)-accessibility of \( C_0 \) lies in the possible difference between \( \lambda \)-presentability in \( C \) and \( \lambda \)-presentability in \( C_0 \).
Since $I$ is $\lambda$-presentable in $\mathcal{V}_0$, if $C$ is $\lambda$-presentable in $\mathcal{C}$ then the composite

$$
\mathcal{C}_0 \xrightarrow{\mathcal{C}(C,-)_0} \mathcal{V}_0 \xrightarrow{\mathcal{V}_0(I,-)} \text{Set}
$$

preserves $\lambda$-filtered colimits, but this composite is $\mathcal{C}_0(C,-)$, and so $C$ is $\lambda$-presentable in $\mathcal{C}_0$. Thus $(\mathcal{C}_\lambda)_0 \subseteq (\mathcal{C}_0)_\lambda$; while if $\mathcal{C}$ is $\lambda$-accessible as a $\mathcal{V}$-category, then $\mathcal{C}_0$ is an accessible ordinary category, and (1) implies (2).

For an arbitrary $\mathcal{G}$-powered $\mathcal{V}$-category $\mathcal{C}$, however, a $\lambda$-presentable object of $\mathcal{C}_0$ might fail to be $\lambda$-presentable in $\mathcal{C}$.

For the remaining implications, consider the diagram

$$
\begin{array}{ccc}
\mathcal{C}_0 & \xrightarrow{(\mathcal{G}\mathcal{H}-)_0} & \mathcal{C}_0 \\
\mathcal{V}_0 & \xrightarrow{\mathcal{V}_0(G,-)} & \text{Set}
\end{array}
$$

(8.3)

in which $C \in \mathcal{C}$, $G \in \mathcal{G}$, and so the lower horizontal preserves $\lambda$-filtered colimits.

Suppose that (2) holds, so that there is a strong generator $\mathcal{H}$ for $\mathcal{C}_0$ consisting of objects which are $\lambda$-presentable in $\mathcal{C}$ (and so also in $\mathcal{C}_0$). As $C$ ranges through $\mathcal{H}$, the lower composite $\mathcal{V}_0(G, \mathcal{C}(C,-)_0)$ in (8.3) preserves $\lambda$-filtered colimits, while the $\mathcal{C}_0(C,-)$ preserve and jointly reflect them. Thus $(\mathcal{G}\mathcal{H}-)_0$ preserves them and (3) holds.

Now suppose that (3) holds. If $C$ is $\lambda$-presentable in $\mathcal{C}_0$, then the upper composite $\mathcal{C}_0(C, (\mathcal{G}\mathcal{H}-))$ in (8.3) preserves $\lambda$-filtered colimits, while the $\mathcal{V}_0(G,-)$ preserve and jointly reflect them, thus $\mathcal{C}(C,-)$ also preserves them, and $C$ is $\lambda$-presentable in $\mathcal{C}$. Thus $(\mathcal{C}(\lambda))_0 \subseteq (\mathcal{C}_\lambda)_0$ and (1) follows. \hfill \Box

In practice, it is often convenient to lift enriched accessibility from one (typically locally presentable) $\mathcal{V}$-category to another using the following.

**Corollary 8.12.** Let $\mathcal{A}$ and $\mathcal{C}$ be $\mathcal{V}$-categories with powers by objects in $\mathcal{G}$ and let $U : \mathcal{A} \rightarrow \mathcal{C}$ be a conservative $\mathcal{V}$-functor preserving them. Suppose that $\mathcal{C}$ is an accessible $\mathcal{V}$-category, $\mathcal{A}_0$ is an accessible category, and $U_0 : \mathcal{A}_0 \rightarrow \mathcal{C}_0$ is an accessible functor. Then in fact $\mathcal{A}$ is accessible as a $\mathcal{V}$-category.
Proof. Consider the following commutative diagram

\[
\begin{array}{ccc}
A_0 & \xrightarrow{(G\cdot -)_0} & A_0 \\
\downarrow U_0 & & \downarrow U_0 \\
C_0 & \xrightarrow{(G\cdot -)_0} & C_0
\end{array}
\]

where \( G \in \mathcal{G} \).

The categories \( A_0 \) and \( C_0 \) are both accessible, \( U_0 \) is accessible by assumption, and the lower horizontal by Proposition 8.11 and the fact that \( C \) is an accessible \( \mathcal{V} \)-category. By the uniformization theorem [1, Theorem 2.19] for accessible categories, we can choose \( \lambda \geq \lambda_0 \) such that each of the aforementioned categories and functors is \( \lambda \)-accessible. Since \( U_0 \) is conservative, the upper horizontal is also \( \lambda \)-accessible. Therefore \( A \) is a \( \lambda \)-accessible \( \mathcal{V} \)-category by Proposition 8.11 once again. \( \square \)

9. Examples and applications

To summarise, we have two main results: an adjoint functor theorem, and a shrink-cocompleteness theorem for accessible \( \mathcal{V} \)-categories with sufficient limits. In this final section we illustrate the scope of these results by describing what they capture in our various settings, with a particular emphasis on 2-categories and \( \infty \)-cosmoi.

9.1. The classical case. In the case of \( \textbf{Set} \) with the trivial model structure, a category \( \mathcal{A} \) has powers and enough cofibrantly-weighted limits just when it is complete. The shrinkable morphisms in \( \textbf{Set} \) are the bijections, and these are of course closed in \( \textbf{Set}^2 \) under (all) limits. Therefore our weak adjoint functor theorem specialises exactly to the general adjoint functor theorem of Freyd [24]. Theorem 8.10 becomes the well-known result that an accessible category is complete if and only if it is cocomplete: see for example [1, Corollary 2.47].

Since preservation of homotopy limits is just preservation of limits in this case, Theorem 7.13 is just the elementary fact that right adjoints preserve limits.

For general \( \mathcal{V} \) equipped with the trivial model structure, a \( \mathcal{V} \)-category \( \mathcal{A} \) has powers and enough cofibrantly-weighted limits just when it is
complete, now in the sense of weighted limits, and the shrinkable morphisms are again the isomorphisms. Therefore our adjoint functor theorem specialises exactly to the adjoint functor theorem for enriched categories. Theorem 7.13 says that right adjoints preserve limits, and Theorem 8.10 yields the well-known result that an accessible \( \mathcal{V} \)-category is complete if and only if it is cocomplete.

9.2. Ordinary weakness. In the case of \( \mathbf{Set} \) with the split model structure we know from Example 5.6 that for a category \( \mathcal{A} \) to have enough cofibrantly-weighted limits it suffices that it admit products. Furthermore the shrinkable morphisms are the surjections, and these are closed under products. Since powers are products in the \( \mathbf{Set} \)-enriched setting our adjoint functor theorem thus yields the weak adjoint functor theorem of Kainen [13]. Theorem 8.10 yields the well known result that an accessible category has products if and only if it has weak colimits: see for example [1, Theorem 4.11].

For general \( \mathcal{V} \) equipped with the split model structure, our results appear to be completely new. In this setting a \( \mathcal{V} \)-category \( \mathcal{A} \) has powers and enough cofibrantly-weighted limits provided that it has powers and products, and the shrinkable morphisms are the split epimorphisms, which are of course closed under powers and products. Using this, our main results (in slightly weakened form) are:

- Let \( \mathcal{B} \) be a \( \mathcal{V} \)-category with products and powers, and let \( U : \mathcal{B} \to \mathcal{A} \) be a \( \mathcal{V} \)-functor that preserves them. Then \( U \) has a (split epi)-weak left adjoint if and only if it satisfies the solution set condition.
- An accessible \( \mathcal{V} \)-category has products and powers if and only if it has (split epi)-weak colimits.

9.3. 2-category theory. One of our guiding topics is that of 2-category theory, understood as \( \mathbf{Cat} \)-enriched category theory, and where \( \mathbf{Cat} \) is equipped with the canonical model structure.

As recalled in Example 5.7, in this case the cofibrantly-weighted limits are precisely the flexible limits of [2], and any 2-category with flexible limits has powers. The shrinkable morphisms are the retract equivalences, and these are closed in \( \mathbf{Cat}^2 \) under cofibrantly-weighted limits as observed, for example, in [22, Section 9].

Our primary applications will be in the accessible setting which we describe now. Since each accessible functor between accessible categories satisfies the solution set condition, our adjoint functor theorem in this setting gives the first part of the following, the second part of which is the instantiation of (part of) Theorem 8.10.
• Let $U: B \to A$ be an accessible 2-functor between accessible 2-categories. If $B$ has flexible limits and $U$ preserves them then it has a left shrink-adjoint.

• An accessible 2-category has flexible limits if and only if it has shrink-colimits.

The first result is new; the second is part of Theorem 9.4 of [22]. The utility of these results lies in the fact that many, though not all, 2-categories of pseudomorphisms are in fact accessible with flexible limits. For instance, the 2-category of monoidal categories and strong monoidal functors is accessible (although, as recalled in Section 5, the 2-category of strict monoidal categories and strong monoidal functors is not [6, Section 6.2]). The difference between the two cases is that the definition of strict monoidal category involves equations between objects whereas that of monoidal category does not — in terms of the associated 2-monads this corresponds to the fact that the 2-monad for strict monoidal categories is not cofibrant (=flexible) whereas that for monoidal categories is. One result generalising this is Corollary 7.3 of [6], which asserts that if $T$ is a finitary flexible 2-monad on $\text{Cat}$ then the 2-category $T\text{-Alg}_p$ of strict algebras and pseudomorphisms is accessible with flexible limits and filtered colimits, and these are preserved by the forgetful functor to $\text{Cat}$. It now follows from our theorem that any such 2-category admits shrink-colimits, and in particular bicolimits, and also that if $f: S \to T$ is a morphism of such 2-monads, then the induced map $T\text{-Alg}_p \to S\text{-Alg}_p$ has a left shrink-adjoint, and so a left biadjoint. These results concerning bicolimits and biadjoints are not new, being special cases of the results of Section 5 of [3].

However, as shown in [6, Section 6.5], many structures beyond the scope of two-dimensional monad theory are also accessible. For instance, the 2-category of small regular categories and regular functors is accessible with flexible limits and filtered colimits preserved by the forgetful 2-functor to $\text{Cat}$. Similar results hold for Barr-exact categories, coherent categories, distributive categories, and so on. It follows from the above theorems that the 2-categories of such structures admit shrink-colimits, and so bicolimits, and this is the simplest proof of bicocompleteness in these examples that we know. Again, for such structures the adjoint functor theorem provides an easy technique for constructing left shrink-adjoints, and so biadjoints, to forgetful 2-functors between them.

Theorem 7.13 asserts that a 2-functor with a left shrink-adjoint preserves bilimits — since such 2-functors are right biadjoints, this is a
special case of the well known fact that right biadjoints preserve bilimits.

9.4. Simplicial enrichment and SSet-accessible $\infty$-cosmoi. Our second main motivation concerns the $\infty$-cosmoi of Riehl and Verity [29]. Here the base for enrichment is $\text{SSet}$ equipped with the Joyal model structure. In this setting, for a simplicially enriched category $\mathcal{B}$ to admit powers and enough cofibrantly-weighted limits it suffices, as observed in Example 5.8, that it admit flexible limits in the sense of [29]. As observed in Example 3.8, every shrinkable morphism is a weak equivalence which has a section.

In this example it can be shown that the shrinkable morphisms are not closed in $\text{SSet}^2$ under cofibrantly-weighted limits, so we have to content ourselves with Theorem 8.9 rather than Theorem 8.10. On the other hand, enriched projective model structures do exist, so Theorem 7.13 does imply that $\mathcal{V}$-functors with left shrink-adjoints preserve cofibrantly-weighted homotopy limits.

Specialising our main results, we then obtain:

- Let $\mathcal{B}$ be a $\text{SSet}$-category with flexible limits, and let $U : \mathcal{B} \to \mathcal{A}$ be a $\mathcal{V}$-functor which preserves them. Then $U$ has a left shrink-adjoint provided that it satisfies the solution set condition.
- If $\mathcal{A}$ is an accessible $\text{SSet}$-category with flexible limits then it has shrink-colimits.

We wish to examine these results further in the setting of $\infty$-cosmoi. By definition, an $\infty$-cosmos is a simplicially enriched category $\mathcal{A}$ equipped with a class of morphisms called isofibrations satisfying a number of axioms — see Chapter 1 of [29]. For the purposes of the present paper, the reader need only know that the homs of an $\infty$-cosmos are quasicategories and that it admits flexible limits, in the sense of Examples 5.8.

The idea is that the objects of an $\infty$-cosmos are $\infty$-categories, broadly interpreted, and that the axioms for an $\infty$-cosmos provide what is needed to define and work with key structures — such as limits and adjoints — that arise in the $\infty$-categorical world. For instance one can define what it means for an object of an $\infty$-cosmos to have limits. This is model-independent, in the sense that one recovers the usual notion of quasicategory with limits or complete Segal space with limits on choosing the appropriate $\infty$-cosmos.

The natural structure preserving morphisms between $\infty$-cosmoi are called cosmological functors, and cosmological functors preserve flexible limits. By a $\text{SSet}$-accessible $\infty$-cosmos, we mean an $\infty$-cosmos which
is accessible as a simplicial category\(^3\), whilst a cosmological functor will be called accessible if it is so as a simplicial functor.

Our main insight here is that many of the \(\infty\)-cosmoi that arise in practice are \(\mathbf{SSet}\)-accessible, as are the cosmological functors between them. Thus the following specialisations of our main results are broadly applicable. We remind the reader that in this context the shrinking morphisms are certain surjective equivalences of quasicategories — see Examples 3.8.

- Let \(U : \mathcal{B} \to \mathcal{A}\) be an accessible cosmological functor between \(\mathbf{SSet}\)-accessible \(\infty\)-cosmoi. Then \(U\) has a left shrink-adjoint.
- If \(\mathcal{A}\) is a \(\mathbf{SSet}\)-accessible \(\infty\)-cosmos then it has shrink-colimits, and in particular flexibly-weighted homotopy colimits (see Example 8.5.)

The first examples of \(\mathbf{SSet}\)-accessible \(\infty\)-cosmoi come from the following result, the first part of which is due to Riehl and Verity [29].

**Proposition 9.1.**  
(1) Let \(\mathcal{A}\) be a model category that is enriched over the Joyal model structure on simplicial sets, and in which every fibrant object is cofibrant. Then the simplicial subcategory \(\mathcal{A}_{\text{fib}}\) spanned by its fibrant objects is an \(\infty\)-cosmos, and is closed in \(\mathcal{A}\) under flexible limits.

(2) If \(\mathcal{A}\) is furthermore a combinatorial model category then \(\mathcal{A}_{\text{fib}}\) is a \(\mathbf{SSet}\)-accessible \(\infty\)-cosmos and the inclusion \(\mathcal{A}_{\text{fib}} \hookrightarrow \mathcal{A}\) is an accessible embedding.

*Proof.* Part (1) holds by Proposition E.1.1 of [29]. In Part (2), \(\mathcal{A}_0\) is locally presentable and \(\mathcal{A}\) is cocomplete as a \(\mathcal{V}\)-category by assumption. Since for each \(X \in \mathbf{SSet}\) the functor \((X \pitchfork -)_0 : \mathcal{A}_0 \to \mathcal{A}_0\) has left adjoint \((X.-)_0\) given by taking copowers (or tensors) it is accessible. Therefore \(\mathcal{A}\) is accessible as a \(\mathbf{SSet}\)-category by Proposition 8.11. Since \(\mathcal{A}_{\text{fib}}\) is closed in \(\mathcal{A}\) under flexible limits, it is closed under powers. Since \(\mathcal{A}\) is combinatorial, \((\mathcal{A}_{\text{fib}})_0 = \text{Inj}(J) \hookrightarrow \mathcal{A}_0\) where \(J\) is the set of generating trivial cofibrations in \(\mathcal{A}\). Now by Theorem 4.8 of [1] \(\text{Inj}(J)\) is accessible and accessibly embedded, so by Corollary 8.12 we conclude that \(\mathcal{A}_{\text{fib}}\) is accessible and therefore a \(\mathbf{SSet}\)-accessible \(\infty\)-cosmos. \(\square\)

As explained in Appendix E of [29], one obtains as instances of the above the \(\infty\)-cosmoi of quasicategories, complete Segal spaces, Segal categories and \(\Theta_n\)-spaces. Since all of the defining model structures are combinatorial, it follows furthermore that each of these \(\infty\)-cosmoi is \(\mathbf{SSet}\)-accessible.

\(^3\)There are further conditions that one could ask for, such as the accessibility of the class of isofibrations, but we shall not consider these here: see [7].
The above $\infty$-cosmoi can be regarded as basic. In future work [7] we shall vastly extend the scope of these examples by establishing that accessible $\infty$-cosmoi ($\mathbf{SSet}$-accessible $\infty$-cosmoi satisfying natural additional properties) are stable under a variety of constructions, such as the passage from an $\infty$-cosmos $\mathcal{A}$ to the $\infty$-cosmos $\mathbf{Cart}(\mathcal{A})$ of cartesian fibrations therein. For (not necessarily accessible) $\infty$-cosmoi this was done in Chapter 6 of [29], and it remains to add accessibility to the mix. (The corresponding stability results for the appropriate class of accessible 2-categories have been established in [6].)

In the present paper we content ourselves with describing two examples of $\mathbf{SSet}$-accessible $\infty$-cosmoi built from the quasicategories example: the $\infty$-cosmoi of quasicategories with a class of limits, and the $\infty$-cosmos of cartesian fibrations of quasicategories. In order to construct these $\infty$-cosmoi systematically, we shall make use of the generalised sketch categories $\mathcal{C}|\mathcal{C}$ of Makkai [25], which we now recall.

Given a category $\mathcal{C}$ and object $C \in \mathcal{C}$ we form the category $\mathcal{C}|\mathcal{C}$, an object of which consists of an object $A \in \mathcal{C}$ together with a subset $A_C \subseteq \mathcal{C}(C, A)$, which we often refer to as a marking. A morphism $f: (A, A_C) \to (B, B_C)$ consists of a morphism $f: A \to B$ in $\mathcal{C}$ such that if $x \in A_C$ then $f \circ x \in B_C$. The forgetful functor $\mathcal{C}|\mathcal{C} \to \mathcal{C}$ functor has a left adjoint equipping $A$ with the empty marking $(A, \varnothing)$.

The following straightforward result is established in Item (9) of Section (1) of [25], except that Makkai works with locally finitely presentable categories. In its present form, it is a special case of Proposition 2.2 of [11].

**Proposition 9.2.** Let $\mathcal{C}$ be locally presentable and $C \in \mathcal{C}$. Then $\mathcal{C}|\mathcal{C}$ is also locally presentable and the forgetful functor $\mathcal{C}|\mathcal{C} \to \mathcal{C}$ is accessible.

If $\mathcal{J}$ is a set of morphisms in $\mathcal{C}|\mathcal{C}$ then we may form the category $\text{Inj}(\mathcal{J})$ of $\mathcal{J}$-injectives in $\mathcal{C}|\mathcal{C}$. We follow Makkai’s terminology in calling such a category $\text{Inj}(\mathcal{J})$ a doctrine in $\mathcal{C}$.

**Corollary 9.3.** Let $\mathcal{C}$ be locally presentable, with $C \in \mathcal{C}$ and $\mathcal{J}$ a set of morphisms in $\mathcal{C}|\mathcal{C}$. Then $\text{Inj}(\mathcal{J})$ is accessible, and the composite forgetful functor $U: \text{Inj}(\mathcal{J}) \to \mathcal{C}$ is accessible.

**Proof.** By the preceding proposition $\mathcal{C}|\mathcal{C}$ is locally presentable whence, by Theorem 4.8 of [1], the full subcategory $\text{Inj}(\mathcal{J}) \subseteq \mathcal{C}|\mathcal{C}$ is accessible and accessibly embedded. The composite $\text{Inj}(\mathcal{J}) \to C|\mathcal{C} \to \mathcal{C}$ is accessible since its two components are.

We now combine this with simplicial enrichment.
Corollary 9.4. Let $\mathcal{A}$ be an $\infty$-cosmos and $U: \mathcal{A} \to \mathcal{C}$ a conservative simplicially enriched functor preserving flexible limits to a locally presentable simplicially enriched category. Suppose that $U_0: \mathcal{A}_0 \to \mathcal{C}_0$ has the form $\text{Inj}(\mathcal{J}) \to \mathcal{C}|\mathcal{C}_0$ for some $\mathcal{C} \in \mathcal{C}$ and set $\mathcal{J}$ of morphisms in $\mathcal{C}|\mathcal{C}_0$. Then $\mathcal{A}$ is accessible as a simplicial category, and so is a $\text{SSet}$-accessible $\infty$-cosmos.

Proof. Since flexible limits include powers, this follows immediately from Corollaries 8.12 and 9.3. □

In the following two examples, we consider the $\infty$-cosmoi $\text{QCat}_D$ of quasicategories with $D$-limits, and $\text{Cart}(\text{QCat})$ of cartesian fibrations respectively.

These are shown to be $\infty$-cosmoi in Propositions 6.3.13 and 6.3.14, respectively, of [29]; moreover the forgetful simplicial functors $\text{QCat}_D \to \text{SSet}$ and $\text{Cart}(\text{QCat}) \to \text{SSet}^2$ preserve flexible limits and are conservative. To prove that they are $\text{SSet}$-accessible $\infty$-cosmoi, it suffices by Corollary 9.4 to describe the categories $(\text{QCat}_D)_0$ and $(\text{Cart}(\text{QCat}))_0$ as doctrines, respectively, in $\text{SSet}_0$ and in $(\text{SSet}^2)_0$.

9.4.1. Quasicategories with limits of a given class. To begin with, we treat the simpler case of quasicategories with a terminal object. To this end, consider $\Delta^0|\text{SSet}$, whose objects are pairs $(X, U)$ where $X$ is a simplicial set and $U \subseteq X_0$ a distinguished subset of marked 0-simplices, and whose morphisms are simplicial maps preserving marked 0-simplices. We shall describe the category $\text{QCat}_T$ of quasicategories admitting a terminal object and morphisms preserving them as the small injectivity class in $\Delta^0|\text{SSet}$ consisting of those $(X, U)$ for which $X$ is a quasicategory and $U \subseteq X_0$ is the set of terminal objects in $X$.

To begin with, we view the inner horn inclusions

$$\{ \Lambda_k[n]: \Lambda^n_k \to \Delta^n | 0 < k < n \}$$

(9.1)

as morphisms of $\Delta^0|\text{SSet}$ in which no 0-simplex is marked in their source or target — then $(X, U)$ is injective with respect to the inner horns just when $X$ is a quasicategory. Now recall (see Definition 4.1 of [12] for the dual case of an initial object), that a 0-simplex $a$ of a quasicategory $X$ is *terminal* if the solid part of each diagram

$$\begin{tikzcd}
\partial \Delta^n \arrow{r}{f} \arrow{d}[swap]{j_n} & X \\
\Delta^n
\end{tikzcd}$$

(9.2)

in which $f: \partial \Delta^n \to X$ has value $a$ at the final vertex $n \in \partial \Delta^n$ admits a filler. Accordingly, if $(X, U)$ is a quasicategory with marked 0-simplices,
then each marked 0-simplex is terminal precisely if the solid part of each diagram

\[ (\partial \Delta^n, \{n\}) \xrightarrow{(X, U)} (\Delta^n, \{n\}) \]

in \( \Delta^0 \setminus \text{SSet} \) admits a filler. The existence of a terminal object can then be expressed via injectivity of \((X, U)\) with respect to the morphism

\[ (\emptyset, \emptyset) \rightarrow (\Delta^0, \{0\}) \]

where the unique 0-simplex 0 of \( \Delta^0 \) is marked. Combining this morphism with the inner horn inclusions (9.1) and the marked boundary inclusions (9.3) an injective object \((X, U)\) consists of a quasicategory \( X \) together with a non-empty subset of terminal objects in \( X \). If we stopped here, the evident full inclusion \( \text{QCAt}_T \rightarrow \Delta^0 \setminus \text{SSet} \) would not however be essentially surjective on objects; for this we require our injectives \((X, U)\) to have the property that \( U \) consists of all terminal objects in \( X \). Since each terminal object is equivalent to any other, it therefore suffices to add the repleteness condition that each object equivalent to one in \( U \) belongs to \( U \). To this end, we consider the nerve \( J \) of the free isomorphism which has two 0-simplices 0 and 1. The repleteness condition is captured by the morphism

\[ (J, \{0\}) \xrightarrow{id} (J, \{0, 1\}) \]

whose underlying simplicial map is the identity.

It is straightforward to extend this example to quasicategories with limits of shape \( D \) for \( D \) a general simplicial set. To see this, recall the join \( \star : \text{SSet} \times \text{SSet} \rightarrow \text{SSet} \) of simplicial sets. As explained in [12], there is a natural inclusion \( D \hookrightarrow A \star D \), whereby for fixed \( D \), we obtain a functor \( - \star D : \text{SSet} \rightarrow D/\text{SSet} \) with this value at \( A \). This has a right adjoint \( D/\text{SSet} \rightarrow \text{SSet} \) which sends \( t : D \rightarrow X \) to a simplicial set \( X/t \). Now the limit of \( t \) is defined to be a terminal object \( \Delta^0 \rightarrow X/t \). By adjointness, this amounts to a morphism

\[ \Delta^0 \star D \rightarrow X \]

extending \( t \) along the inclusion \( j : D \rightarrow \Delta^0 \star D \), which should be thought of as cones over \( t \), satisfying lifting properties obtained, by adjointness, from those of (9.2).

We work this time with the locally finitely presentable category \((\Delta^0 \star D)/\text{SSet}, \) whose objects \((X, U)\) are simplicial sets \( X \) equipped with a set \( U \) of marked cones \( \Delta^0 \star D \rightarrow X \), and whose morphisms are
simplicial maps preserving marked cones. There is a full embedding \((\mathbf{QCat}_p) \hookrightarrow (\Delta^0 \star D)|\mathbf{SSet}\) sending a quasicategory with limits of shape \(D\) to its underlying simplicial set with all limit cones marked. Now, given \((X, U) \in (\Delta^0 \star D)|\mathbf{SSet}\), the condition that a cone of \(U\) is a limit cone amounts to asking that each
\[
\begin{array}{c}
\Delta^n \star D, \{n \star D\} \\
\downarrow \downarrow \\
\Delta^n \star D, \{n \star D\}
\end{array} \rightarrow \begin{array}{c}
\partial \Delta^n \star D, \{n \star D\} \\
\downarrow \downarrow \\
(X, U)
\end{array} \quad (9.4)
\]
has a filler. Similarly, injectivity with respect to the inclusion
\[
j: (D, \emptyset) \to (\Delta^0 \star D, \{\text{id}\})
\]
asserts that each diagram \(t: D \to X\) admits a limit, with limit cone in \(U\). The repleteness condition capturing the fact that each limit cone belongs to \(U\) is expressed by injectivity with respect to
\[
\begin{array}{c}
J \star D, \{0 \star D\} \\
\downarrow \downarrow \\
J \star D, \{0 \star D, 1 \star D\}
\end{array} \rightarrow \begin{array}{c}
J \star D, \{0 \star D\} \\
\downarrow \downarrow \\
(X, U)
\end{array}
\]
while finally we equip the inner horns
\[
\{A_k[n]: \Lambda^n_k \to \Delta^n: 0 < k < n\}
\]
with the empty markings to encode the fact that the \(X\) in \((X, U)\) is a quasicategory.

9.4.2. Cartesian fibrations. An inner fibration \(p: X \to Y\) of simplicial sets is a morphism having the right lifting property with respect to the inner horn inclusions. Such an inner fibration is said to be a cartesian fibration if each 1-simplex \(f: x \to py\) in \(Y\) admits a lifting along \(p\) to a \(p\)-cartesian 1-simplex \(f': x' \to y \in X\), where a morphism \(g: a \to b \in X\) is said to be \(p\)-cartesian if each diagram
\[
\begin{array}{ccc}
\Delta^1 & \rightarrow & \Lambda^n_n \\
\downarrow \downarrow & & \downarrow \downarrow p \\
\Delta^n & \rightarrow & Y
\end{array}
\]
where \(i\) includes the vertices of \(\Delta^1\) as the vertices \(n - 1, n \in \Delta^n\), admits a filler as depicted. A commutative square is said to be a morphism of cartesian fibrations if it preserves cartesian 1-simplices. As such, we obtain a category \(\mathbf{Cart}(\mathbf{SSet})\) of cartesian fibrations.

Let \(\mathbf{SSet}^2\) denote the arrow category. Then an object of \((\text{id}: \Delta^1 \to \Delta^1)|\mathbf{SSet}^2\) is a morphism \(p: X \to Y\) of simplicial sets together with a
subset $U \subseteq X_1$ of marked 1-simplices, whilst a morphism is a commutative square whose domain component preserves marked 1-simplices; we write $p: (X, U) \to Y$ for such an object. We wish to describe $\text{Cart}(\text{SSet})$ as a small injectivity class in $\text{id}_{\Delta} \downarrow \text{SSet}^2$; that is, we shall describe a set of morphisms $\mathcal{J}$ such that $p: (X, U) \to Y$ is $\mathcal{J}$-injective if and only if $p$ is a cartesian fibration with $U$ the set of all $p$-cartesian 1-simplices. Note that $p$ is an inner fibration just when it is injective in $\text{SSet}^2$ with respect to each square

\[
\begin{array}{ccc}
\Lambda^n_k & \to & \Delta^n \\
\downarrow & & \downarrow 1 \\
\Delta^n & \to & \Delta^n
\end{array}
\]

with $0 < k < n$. (Here we are employing the trick (see, for instance, Lemma 1 of [5]) that $g$ has the right lifting property with respect to $f$ if and only if $g$ is injective in the arrow category with respect to the map $f \to 1_{\text{cod}(f)}$ determined by $f$ and $1_{\text{cod}(f)}$). Thus equipping the above squares with the empty markings captures as injectives those $p: (X, U) \to Y$ with $p$ an inner fibration. To express the requirement that the elements of $U$ be cartesian 1-simplices we use injectivity with respect to the squares

\[
\begin{array}{ccc}
(\Lambda_k^n, n-1 \to n) & \to & (\Delta^n, n-1 \to n) \\
\downarrow & & \downarrow 1 \\
\Delta^n & \to & \Delta^n
\end{array}
\]

whose sources have the unique 1-simplex $n-1 \to n$ marked. The existence of cartesian liftings is expressed by injectivity against

\[
\begin{array}{ccc}
(\Delta^0, \emptyset) & \to & (\Delta^1, 0 \to 1) \\
\downarrow d_0 & & \downarrow 1 \\
\Delta^1 & \to & \Delta^1.
\end{array}
\]

In order to ensure that each cartesian 1-simplex belong to $U$ we add a repleteness condition based on the following lemma.

**Lemma 9.5.** Let $p: X \to Y$ be a cartesian fibration. Let $f: a \to b$ and $g: c \to b$ be 1-simplices in $X$, with $g$ a cartesian lifting of $(pf: pa \to b)$. Then $g$ is a cartesian lifting of $f$ if and only if

\[
\begin{array}{ccc}
(\Delta^0, \emptyset) & \to & (\Delta^1, 0 \to 1) \\
\downarrow d_0 & & \downarrow 1 \\
\Delta^1 & \to & \Delta^1.
\end{array}
\]
pb, b) so that we obtain a 2-simplex

\[
\begin{array}{ccc}
  a & \xrightarrow{\alpha} & c \\
  \downarrow{f} & & \downarrow{g} \\
  b & & \\
\end{array}
\]

where \( p\alpha: pa \to pa \) is degenerate. Then \( f: a \to b \) is cartesian if and only if \( \alpha \) is an equivalence.

**Proof.** The degeneracy \( p\alpha \) is an equivalence. Thus, by Lemma 2.4.1.5 of [23], \( \alpha \) is an equivalence if and only it is cartesian. And now since \( g \) is cartesian, by Proposition 2.4.1.7 of [23], \( \alpha \) is cartesian if and only if \( f \) is. \( \square \)

Given this, consider the pushout below left

\[
\begin{array}{ccc}
  \Delta^1 & \xrightarrow{d_2} & \Delta^2 \\
  \downarrow{J} & & \downarrow{(\Delta^2)_{0 \neq 1}} \\
  (\Delta^2)_{0 \neq 1} & \xrightarrow{0 \simeq 1} & 1 \\
  \downarrow{2} & & \\
\end{array}
\]

which is the generic 2-simplex with \( 0 \to 1 \) an equivalence. There is a unique morphism \( (\Delta^2)_{0 \neq 1} \to \Delta^1 \) sending the equivalence \( 0 \to 1 \) to the degeneracy on \( 0 \), and \( 2 \) to \( 1 \). Then injectivity of \( p: (X, U) \to Y \) against the square below left

\[
\begin{array}{ccc}
  \Delta^1 & \xrightarrow{1} & \Delta^1 \\
  \downarrow{1} & & \downarrow{1} \\
  ((\Delta^2)_{0 \neq 1}, 1 \to 2) & \xrightarrow{((\Delta^2)_{0 \neq 1}, \{1 \to 2, 0 \to 2\})} & ((\Delta^2)_{0 \neq 1}, \{1 \to 2, 0 \to 2\}) \\
  \downarrow{1} & & \downarrow{1} \\
  \Delta^1 & \xrightarrow{1} & \Delta^1 \\
  \downarrow{1} & & \downarrow{1} \\
\end{array}
\]

asserts: for any 2-simplex in \( X \) as on the right above in which \( g \in U \) and \( \alpha \) is an equivalence sent by \( p \) to a degeneracy, the 1-simplex \( f \) is in \( U \). Since each morphism of \( U \) is cartesian this implies, by Lemma 9.5, that all cartesian morphisms belong to \( U \). In this way, we obtain the category of cartesian fibrations \( \text{Cart}(\text{SSet}) \hookrightarrow (\text{id}: \Delta^1 \to \Delta^1)|\text{SSet}^2 \) as the full subcategory of injectives.

Finally, to encode the full subcategory \( \text{Cart}(\text{QCat}) \hookrightarrow \text{Cart}(\text{SSet}) \) of cartesian fibrations between *quasicategories* we need to further encode that the target \( Y \) of \( p: (X, U) \to Y \) is a quasicategory — of course it then follows that \( X \) is also a quasicategory, since \( p \) is an inner
fibration. To this end, we add the injectivity condition

\[(\emptyset, \emptyset) \xrightarrow{1} (\emptyset, \emptyset) \xrightarrow{p} (\emptyset, \emptyset)\]

\[\Lambda^n_k \longrightarrow \Delta^n\]

for each inner horn.

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