Sustainability Assessment of Advanced Machining Technologies

Luka Sterle – Damir Grguraš – Matjaž Kern – Franci Pušavec*
University of Ljubljana, Faculty of Mechanical Engineering, Slovenia

Efficient cooling and lubrication techniques are required to obtain sustainable machining of difficult-to-cut materials, which are the pillars of aerospace, automotive, medical, and nuclear industries. Cryogenic machining with the assistance of lubricated Liquid Carbon Dioxide (LCO₂) is a novel approach for sustainable manufacturing without the use of harmful water-based metalworking fluids (MWFs). In case of unavoidable use of MWFs under high pressure, such as turning finishing processes of difficult-to-cut materials, the pulsating high pressure delivery of MWFs prolongs the tool life and enables the control over chip length to prevent surface damage of high value-added parts. In this paper, sustainability assessment of both advanced principles was carried out, considering overall costs and operational safety. Experimental tests were executed on difficult-to-cut materials in comparison to conventional flood lubrication. For both techniques, longer tool life compared to flood lubrication was observed additional cleaner production and higher part quality led to reduced long-term overall costs. These advanced machining technologies are also operation safe, proving to be a sustainable alternative to conventional machining.

Keywords: cryogenic machining, high pressure machining, sustainability, cost assessment, risk assessment

Highlights
• This paper presents sustainability assessment of both cryogenic machining with the assistance of lubricated LCO₂ and pulsating high pressure assisted machining.
• For both cryogenic and pulsating high pressure machining, tool life tests were carried out compared to conventional flood lubrication.
• The cost analysis was performed to show the feasibility of both technologies.
• Risk assessment for operational safety of LCO₂ was conducted.

0 INTRODUCTION

Machining presents an important step in production to achieve the final shape of a product. Although additive manufacturing technologies aim to reduce the need for material removal by cutting, demanded dimensional and surface tolerances are mainly obtainable by machining processes. Furthermore, the global consumption of natural resources and the resulting pollution are leading factors for development of sustainable technologies, which can improve machining performance on economic, social, and environmental levels.

The use of metalworking fluids (MWFs) in machining processes aims to improve machinability through prolonged tool life, improved surface integrity and chip evacuation. However, their use is correlated to environmental and health hazards and can present up to 17 % of total manufacturing costs [1]. Dry cutting and Minimum Quantity Lubrication (MQL) are alternatives to conventional flood lubrication, but their application is limited, especially when difficult-to-cut materials are considered [1] to [3]. These materials, namely titanium- and nickel-based alloys are known for their high temperature resistance, high ductility and low temperature conductivity, thus resulting in poor machining performance. To counteract these effects, while offering a cleaner and safer approach of cooling and lubrication, cryogenic machining has been under development in the last decade [4] and [5]. The most used cryogenic medium was Liquid Nitrogen (LN₃), which exists in liquid state at –195.8 °C and is delivered as such into the cutting zone, offering cooling mechanisms without lubrication. Moreover, due to its low temperature, lubricant cannot be added to the LN₃ without freezing [8]. In addition, the cooling capability of LN₃ is inferior to Liquid Carbon Dioxide (LCO₂) [7]. Low temperature of the LN₂ is also its disadvantage; therefore, it’s delivery through spindle/turret is challenging and risky, the LN₂ leakage can cause serious damage to spindle/turret mechanics. Although it presents a cleaner alternative, the drawbacks of the LN₂ prevent its wider use in industrial sector.

Machining with the assistance of LCO₂ is thus becoming the focus of cryogenic machining research. The cooling mechanism here is different to liquid nitrogen; LCO₂ is in liquid state at 57 bar and 20 °C. Due to the decrease of the saturation pressure upon exiting the nozzle, the LCO₂ vaporizes and expands, absorbing heat from the surroundings. If the amount of LCO₂ is sufficient, the micro-region is cooled down to the boiling point of CO₂, –78.5 °C [6] and [8]. This phase change (from liquid to gas) is shown in Fig. 1.

*Corr. Author’s Address: University of Ljubljana, Faculty of Mechanical Engineering, Laboratory for Machining, Aškerčeva 6, Ljubljana, Slovenia, franci.pusavec@fs.uni-lj.si

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As the LCO₂ is at room temperature right up to the exiting point, it can transport lubrication media with it [9]. This combination can also be denoted as LCO₂ + MQL, as the two principles are combined. State-of-the-art LCO₂ assisted machining shows promising results in terms of prolonged tool life [10] to [17], improved surface integrity [11], [13], [14], [17] and [20], lower cutting forces [13], [14], and [16] and reduced cutting temperatures compared to MQL and/or dry machining [10], [11], [16] and [18]. However, the LCO₂ is freely released into the atmosphere, contrary to conventional MWFs, which are stored back into the reservoir. Therefore, the cost assessment is needed to economically justify the use of LCO₂ based machining processes. In addition, CO₂ concentration should be monitored, as the workplace CO₂ levels in surrounding air should not exceed 0.5 % concentration for 8-hour exposure time according to Occupational Safety and Health Administration (OSHA) [19].

Contrary, total elimination of MWFs may be hard to reach, especially when considering their benefits when delivered to the cutting zone under high pressure. In continuous cutting, such as turning or drilling, long chips can be problematic especially when machining difficult-to-cut materials. In conventional High Pressure Jet Assisted Machining (HPJAM), one or more focused and high-energetic coolant jets are delivered into the chip-forming zone, thus increasing the productivity [20] to [22], tool life [15], [20] to [23] and chip breakability [24] and [25]. Two main high pressure MWFs supply variants are shown in Fig. 2; blue arrow indicate the high pressure MWFs supply: a) between chip and rake face, b) between workpiece and flank face, or combination of a) and b) is also in use [26].

Despite the positive effects of HPJAM, the industrial application is not yet wide spread, due to: (i) High energy consumption [21], [23], and [24]; (ii) Surface anomalies by interaction between broken chips and machined surface [22], [24] and [25]; (iii) Unpredictable behaviour of broken chips [22] and [23] and (iv) Unknown potential in wider scope [15] and [23]. Moreover, in practical applications HPJAM is still limited to roughing processes. The extension to finishing processes is desired, but currently challenging due to stated reasons. In order to address these issues, pulsating HPJAM has been proposed in collaboration with WZL, RWTH Aachen, Germany [27]. Principle of operation is presented in Fig. 3. By pulsating the high pressure jet, the high pressure is achieved only at short intervals when chip breakage and removal is required. In the meantime, the pressure is reduced to lower values only to provide the necessary cooling and lubrication with considerable savings in energy consumption. Presented pulsating HPJAM concept represents novelty in the field, wherein only few scientific studies have been found, yet all of them based on pulsating MQL [28] to [34]. Pulsating MQL does not have the same pressure nor flow rate (jet force) compared to pulsating HPJAM and therefore these two cannot be directly comparable.

Both presented machining technologies are advanced with great research potential. In addition, both advanced principles are currently holding the status of patent pending [9] and [27]. This paper presents their performance. Therefore, the goal of this study is to: (i) Evaluate machining performance on difficult-to-cut materials; (ii) Perform cost assessment and (iii) Perform risk assessment.
1. EXPERIMENTAL PROCEDURE

1.1 LCO₂ + MQL Machining Experiments

Milling experiments were performed on CNC machining centre Doosan NX 6500 II with through tool delivery. Workpiece material was Ti-alloy Ti-6Al-4V (α+β). Prototype milling cutter with four 0.4 mm nozzles for LCO₂ + MQL mixture was used. The flow rates were 12 kg/h for LCO₂ and 60 ml/h for MQL oil. The principle of mixing oil into the stream of LCO₂ is shown in Fig. 4a. More detailed explanation of the principle can be found in [9]. Cutting parameters are found in Fig. 5. For tool life comparison, LCO₂ + MQL principle was directly compared to flood lubrication, where the emulsion Blaser B-Cool 9665 with 7 % concentration was used. Same parameters and tools were used in both cases and the tool wear was monitored at specified time intervals. After the experiments, the chips were collected to study their morphology. At the same time, CO₂ levels in the air were monitored using Witt-Gasetechnik RLA 100 air monitor. The measurements were taken in close proximity of CNC command module, where the operator is usually located when operating the machine. Due to the nature of the expanding LCO₂,
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oil droplets are atomized to about 10 μm in diameter [9] and the monitoring of sufficient ventilation is necessary for risk assessment. Power consumption of single-channel supply system of LCO₂ + MQL was also monitored using multifunction instrument PowerQ MI2492.

1.2 Pulsating HPJAM Experiments

Turning experiments were performed on CNC lathe Mori Seiki SL153. Cutting parameters are found in Fig. 5. Inconel 718 was used as workpiece material and Sandvik CNMG 11408 SMH13A cutting inserts as tools. Iscar tool holder with high pressure nozzle (up to 300 bar, d = 1 mm) was used to guide the jet between the chip and the rake face (Fig. 2a). Similar as for cryogenic machining, pulsating HPJAM was compared to conventional flood lubrication (Blaser B-Cool 9665, 7 %) and conventional HPJAM. For flood lubrication, emulsion was supplied through standard 5 mm pipe under the pressure of 1 bar. Pulsating parameters were: high pressure 200 bar, low pressure 1 bar, pulsating frequency 5 Hz, (high pressure pulse time 60 ms, low pressure pulse time 140 ms). For conventional HPJAM pressure was set to same but constant value of 200 bar. Schematic presentation of the system is shown in Fig. 4b. Measured were: tool wear, chip morphology and overall power consumption using PowerQ MI2492 multifunction instrument. Emulsion atomization in machining area was also observed due to jet’s high pressure. This has been evaluated based on visual observation of time needed for mist elimination.

2 RESULTS AND DISCUSSION

2.1 Tool Life Experiments

For cryogenic milling, critical flank face wear was achieved after 47.9 minutes, which is a great improvement over the time of 17.8 minutes when using flood lubrication. The criteria for worn tool was maximum flank face wear \( V_{B_{\text{max}}} \) of 200 μm. It was also observed that the tool wear mechanism in flood lubrication was edge chipping, whereas in cryogenic machining main wear mechanism was abrasion that was evenly distributed between all four cutting edges of an end mill (Fig. 5).

Pulsating high-pressure turning experiments with same tool life criteria resulted in tool life of 3.2 minutes was reached. In comparison, tool life for flood lubrication was 2.4 minutes and for conventional high-pressure machining 4.4 minutes. Additionally to the flank face wear, crater wear was also observed (Fig. 5). The main tool wear mechanism was abrasion, as this coincides with the machining of Inconel 718 [21]. For both techniques, every experiment was conducted two times and the average value was calculated, while the difference between the values was within 5 %.

2.2 Chip Morphology

Cryogenic machining produced similar chips to conventional flood lubrication throughout all experiments, as seen in Fig. 6. As there are no visible differences in shape and color, we can assume the cryogenic machining provides sufficient cooling and lubrication, which are critical properties for sustainability of cryogenic machining.

On the other side, pulsating high-pressure had strong influence on chip morphology (Fig. 7). In conventional flood lubrication, the chips were long tubular chips. Shorter tubular chips were observed with the use of constant high-pressure machining, while the most suitable chips were produced by using pulsating HPJAM. Inconel is notorious for work-hardening and very elastic chips that are hard to break. In conventional HPJAM, sufficient pressure of coolant delivery must be achieved to break the chips [22]. From Fig. 7 we can see that the chips under constant high-pressure conditions had smaller up-curling radius compared to flood lubrication due to the high energy of the jet. However, the pressure was still not sufficient to achieve constant chip breakage. By maintaining the same pressure, but employing pulsating principle, chip breakage occurred controllably, with chips having much shorter and consistent overall length. The change in chip up-curling radius due to the impulse of the pulsating jet can be seen on the lower right side of Fig. 7. These differences imply that higher pressure is not
necessarily needed to improve chip breakability; it is also important to consider its dynamic ability of sudden impact on chip deformation and consequently, chip breakage. Additionally, the cost savings are evident as sufficient pressure of coolant supply may be lower as well as overall flow rate that is closely related to the power of the pump.

Fig. 7. Chip morphology by using flood lubrication versus pulsating HPJAM

Chip morphology is especially important in finishing processes. A stable process is always desired and the control over chips is a part of that. In finishing processes, the product has the greatest added value and errors in manufacturing should be avoided. In finishing turning, long chips can damage the machined surface or in worst case damage the operator or machine tool. To gain control over chip breakage, pulsating HPJAM can show great benefits while also discarding some of the disadvantages of the conventional HPJAM such as high energy consumption, surface anomalies due to chip-workpiece collisions at higher pressures and higher costs related to the equipment needed to produce higher pressure.

2.3 Cost Assessment

In machining, total manufacturing cost of a produced part is usually a sum of manufacturing overheads (salaries, property taxes, rents, machine tools, depreciation, etc.), administrative overheads (salaries, travel costs, legal fees, etc.), direct labor costs and material costs (direct and indirect). For the purpose of this paper, the cost assessment is focused on indirect material cost as we assume that the manufacturing and administrative overheads as well as direct labour and material costs are constant, regardless of the cooling and lubrication technique.

Indirect material costs in this case are related to the purchase, maintenance and disposal costs of MWFs (emulsion), $C_{MWF}$, to the purchase of LCO$_2$ and MQL oil, $C_{CRYO}$, to the electrical consumption, $C_{EL}$, and to the cutting tool costs, $C_{TOOL}$. The cost of the system purchase and installation is separately included ($C_{SYS}$). In addition, $t_{TOOL}$ presents tool lifetime as described in section 2.1, where the time of 5 minutes has been added as a tool change time. For turning operation, this combined time has been additionally multiplied by 4, as there are four usable cutting edges on each insert. 3840 working hours per year (20 days, two working shifts) were considered for this calculation; working hours are denoted as $t_{WORK}$. Down time of the machine was not included in this study. Cryogenic consumptions, $Q_{CRYO}$, are the flow rates of both LCO$_2$ and MQL oil as mentioned in section 1.1, and cryogenic costs, $C_{CRYO}$, are based on their market price.

Measured electrical power in kW was multiplied by 0.20 €/kWh, the approximate European average price. Average power consumption when cryogenic machining was approximately 0.4 kW due to innovative and patented principle of using the pressure energy of LCO$_2$ to inject the oil in its flow [9]. Flood lubrication used on average 0.75 kW, conventional high-pressure 8 kW and pulsating high-pressure 3 kW of electricity. The energy consumption ratio of 0.38 between pulsating high-pressure and conventional high-pressure is close to theoretical ratio of 0.43, calculated by using high and low pressure pulse times of 60 ms and 140 ms, respectively.

The total cost, $C_{TOT}$, for the first year of running, based on Fig. 8, can be expressed as:

$$C_{TOT} = C_{MWF} + C_{SYS} + (C_{EL} + C_{TOOL} / t_{TOOL} + C_{CRYO} \cdot Q_{CRYO}) \cdot t_{WORK}.$$

Total annual costs for both advanced machining methods in comparison to conventional techniques are shown in Fig. 9. It can be observed that cost reduction of 44.7 % is possible by the implementation of cryogenic machining instead of conventional flood lubrication. The difference would be even greater in favor of cryogenic machining if costs related to part cleaning due to emulsion contamination were considered. According to Eq. (1), the most influential factor is the tool cost, especially due to short tool life as a result of machining of difficult-to-cut material.
The second most influential factor is the cost of LCO₂. As it is non-renewable, its consumption should be optimised in order to achieve optimal cutting temperature at lowest possible flow rate, as also discussed in [7].

On the other side, pulsating high-pressure showed highest costs between all three principles; with the 26 % increasement in costs over the flood lubrication. The tool cost is by far the most important factor of the total annual cost. Conventional high-pressure costs were almost identical to those of flood lubrication. However, the system installation costs are also included in the calculation. Assuming little to no maintenance to high-pressure systems, the cost savings would be evident on the 2nd year of use. If the system installation costs are excluded, the use of pulsating high-pressure technique results in roughly 5.2 % reduced annual costs compared to flood lubrication, shown with yellow dashed line in Fig. 9. The use of conventional high-pressure results in 9.2 % reduction if installation costs are not considered.

Although the initial costs for installation of pulsating high-pressure system are high, apart from longer tool life, other benefits can be visible. One
such example is shown in Fig. 10 where turning of AISI 4142 alloy under flood lubrication led to long, continuous chips which wrapped around the workpiece, causing the damage to the workpiece and production delay. The main advantage of pulsating principle, over conventional high-pressure machining, is the ability to precisely control the chip length. If chips are too short they can partially absorb the energy of the high-pressure jet and fly with high velocity in unpredictable directions. This means that there is a high probability they will collide with the workpiece, causing collision anomalies on the already machined surface. This can be avoided by pulsating, so the chips have time to reach critical length at which they present the least risk to overtake high speed from emulsion jet.

Fig. 10. Continuous chips wrapped around workpiece when turning AISI4142 alloy

2.4 Risk Assessment

During cryogenic machining, CO$_2$ levels in the air, near vicinity of the machining area, did not exceed the OSHA prescribed value of 0.5 % (Fig. 11). However, it was observed that adequate suction and air filtration is needed to remove oil mist from the machining area. As described in section 1.1, LCO$_2$ atomizes the oil into small particles. The current OSHA prescribed maximum level of oil mist in air is 5 mg/m$^3$ as an 8-hour time-weighted average [19]. Workplace exposure to MWFs can lead to various health problems such as dermatitis, respiratory problems or even several types of cancer if the exposure is long-term [35]. Workplace measurements of the oil mist in air concentration are still scarce and further work is needed. By using the LCO$_2$ + MQL principle, the machining area should be an enclosed space with sufficient suction and ventilation to remove as much oil mist as possible before human intervention into the machining area occurs (workpiece clamping, tool replacement, etc.).

![Fig. 11. Cryogenic machining: CO$_2$ in air concentration at CNC command module](image)

Using the high-pressure cooling and lubrication principle results also in emulsion atomization. It has been observed that the total time for mist elimination after machining has been reduced by approx. 30 % when using pulsating high-pressure principle, compared to conventional high-pressure method where demisting happened after approx. 20 seconds. This time was determined solely on visual examination of the machining area through the door window. Further work with precise instruments to measure workplace air quality is needed. In conventional high-pressure machining short chips with high velocity present a threat to the operator if machining area is not an enclosed space. On the other side, continuous chips as shown in Fig. 10 are also dangerous due to sharp edges and unpredictable behaviour. Thus, pulsating high-pressure principle may reduce the risk of workplace injury due to unsuitable chips.

3 CONCLUSIONS

Two advanced machining technologies, i.e. cryogenic machining using lubricated LCO$_2$ and pulsating HPJAM, were presented and their sustainability was estimated over: (i) tool life; (ii) chip morphology; (iii) cost assessment and (iv) risk assessment. Main conclusions can be drawn, as follows.

- Cryogenic machining using LCO$_2$ + MQL and pulsating HPJAM exhibit prolonged tool life of 169 % and 33 %, respectively, in comparison to conventional flood lubrication.
- No major difference in chip morphology was observed in cryogenic machining compared to flood lubrication. On the other hand, pulsating...
HPJAM offered superior control over chip shape and size compared to both conventional flood lubrication and HPJAM.

- Transition to cryogenic machining reflects in 44.7 % lower running costs compared to conventional flood lubrication due to significantly longer tool life (+169 %). Both conventional and pulsating HPJAM offer lower running costs by 9.2 % and 5.2 %, respectively, compared to conventional flood lubrication.

- Cryogenic machining represents risk-free advanced machining technology if suitable safety measurements are met, such as enclosed machining area and appropriate ventilation. In such running conditions, CO₂ concentration near the machine tool is significantly lower than OSHA-prescribed maximum concentration. For pulsating HPJAM, approx. 30 % time reduction for mist elimination was achieved; however, bigger risk reduction impact using pulsating technology presents ability to precisely control the chip length. Moreover, future work will feature mist analysis (mist size and distribution) in the workplace zone for both cryogenic and pulsating high-pressure technologies.

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