Homeotropic Self-Alignment of Discotic Liquid Crystals for Nanoporous Polymer Films

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ABSTRACT: Nanostructured polymer films with continuous, membrane-spanning pores from polymerizable hexagonal columnar discotic liquid crystals (LCs) were fabricated. A robust alignment method was developed to obtain homeotropic alignment of columns between glass surfaces by adding a small amount of a tri(ethylene glycol) modified analogue of the mesogen as a dopant that preferentially wets glass. The homeotropic LC alignment was fixed via a photoinitiated free radical copolymerization of a high-temperature tolerant trisallyl mesogen with a divinyl ester. Removal of the hydrogen-bonded template from the aligned columns afforded a nanoporous network with pores of nearly 1 nm in diameter perpendicular to the surface, and without noticeable collapse of the nanopores. The effect of pore orientation was demonstrated by an adsorption experiment in which homeotropic films showed a threefold increase in the initial uptake rate of methylene blue compared to planarly aligned films.

KEYWORDS: liquid crystals, nanoporous membranes, adsorption, polymer network, homeotropic alignment

Among the potential applications of liquid crystal (LC) based functional materials, the development of nanoporous membranes is one of the most attractive, yet challenging goals. A high density of monodisperse, nanometer-sized pores in such membranes is likely to bring about a high flux combined with enhanced size- and chemical-selecitivity for high-throughput ultrafiltration. In addition, nanoporous membranes with membrane-spanning pores will likely find their way as selective electrodes in batteries. In order to further develop this approach toward nanoporous membranes that can potentially be used as an active layer for nano- and ultrafiltration, an effective method needs to be developed to obtain pores that span the entire thickness of the film. Bringing these materials more closely to practical applications requires homeotropic alignment of the DLCs with the column director perpendicular to the surface over large areas prior to polymerization. However, DLCs usually align in a planar fashion, with the column director parallel to the substrate because a surface that preferentially interacts with either the core or the periphery of a DLC will lead to a planar orientation of the columns (Figure 1). When there is a strong preferential surface-core interaction, it is believed that the aliphatic tails will form a strongly hydrophilic layer resulting that the next layer will again planarly align. In case of planar alignment, large area uniaxial alignment can be obtained by shearing the samples in the LC-phase. DLCs can also be aligned in a planar fashion by the help of, e.g., confined geometries such as microchannels or by the controlled casting.

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Unfortunately, after polymerization and template removal, such films will have low transport rates because the pores do not span the membrane.

Obtaining homeotropic alignment in thin films of DLCs, however, is more challenging than obtaining planar alignment, and much effort has been devoted to this goal. The use of external fields to induce a uniform homeotropic alignment has been widely explored, e.g., electric field alignment, using circularly polarized IR irradiation to control the alignment, or by applying a rotating magnetic field while slowly cooling down from the isotropic phase. The most common method to induce homeotropic alignment is by tuning the surface energy of the substrate, e.g. by covering a substrate with a sacrificial polymer layer that has the proper interfacial energy (Figure 1c). When self-alignment of the DLC is homeotropic, large aligned areas can be obtained by the use of zone-casting. The potential of using self-alignment is reflected by the observation that changing the surface energy of triphenylenes by fluorination, leads to homeotropic alignment on glass substrates compared to planar alignment in case of the fully aliphatic homologues. Additionally, the interfacial energy between a nematic LC phase and water has been tuned by the addition of an amphiphilic dopant to the LC phase, which partitions to the interface, and is replenished from the bulk. Inspired by this work, we sought to identify surface-active dopants that could play a similar role at the DLC—glass interface.

In the present work, homeotropically aligned DLC films are obtained by modifying the surface energy of the LC phase with a PEG derivative of the mesogen as a dopant. This alignment methodology is applied to a reactive mesogen, from which homeotropically aligned DLC based nanoporous polymer films with 1.3 nm membrane-spanning pores are prepared (Figure 2). For fixation of the homeotropic LC films, a high-
temperature compatible copolymerization method of allyl ethers with vinyl esters is used.

As the basis for the current work, a previously reported hydrogen-bonded LC complex of a methylated \(N\)-heterocyclic template tris-4-methyl-benzimidazolyl benzene (MeTB) is used, which binds three organic acids to form a hydrogen-bonded DLC.\(^{25,27}\)

RESULTS AND DISCUSSION

Homeotropic Alignment of MeTB-Acid\(_1\) Complexes.

The 1:3 LC complexes were formed by first dissolving the MeTB template and the gallic acid derivative in a MeOH/CHCl\(_3\) mixture (1:4 v/v), followed by solvent removal. In polarized optical microscopy (POM), the 1:3 complex of aliphatic acid 1 and MeTB (MeTB-1\(_{13}\)) exhibited fan-shaped domains after cooling down from the isotropic phase, typical for random planar alignment (Figure 3a). In contrast, the 1:3 complex with hydrophilic tri(ethylene glycol) analogue 2, MeTB-2\(_3\), had birefringent planar as well as optically isotropic homeotropic domains. The grain boundaries of the homeotropic domains are highlighted under 45° crossed polarizers (Figure 3b). Apparently, the switch from apolar–hydrophobic to polar–hydrophilic tails switched the mode of self-alignment for the LCs.

However, for membrane applications, the use of hydrophilic oligo(ethylene glycol) containing components must be minimized since they will cause swelling of the membrane in water changing the morphology. Therefore, the efficacy of small amounts of hydrophilic acid 2 to induce homeotropic alignment of MeTB in mixtures with hydrophobic 1 was investigated.

Figure 4 shows the orientation of thin films of mixtures with intermediate composition, MeTB-1\(_{(3-x)}\)2\(_x\), where \(x\) stands for the equivalents of 2 in the complex. Analysis of the thermal phase transitions of the mixtures with differential scanning calorimetry (DSC) reveals that MeTB-2\(_3\) has a low clearing temperature of \(\sim 80^\circ\)C while the mixtures (MeTB-1\(_{(3-x)}\)2\(_x\)) had a clearing temperature close to that of MeTB-1\(_{13}\) (Figure 4a). Doping the complex with 1 equiv of 2 decreased the isotropization temperature by \(\sim 20^\circ\)C from 240 to 223 °C. The efficacy of inducing homeotropic alignment by doping with 2 was determined as follows: 6 \(\mu\)m thick layers of the appropriate MeTB-1\(_{(3-x)}\)2\(_x\) mixture were put between glass coverslips and were cooled from the isotropic melt at 2 °C/
min until a fully developed LC texture was observed at approximately 200 °C. The imaged field was directly used without scanning for optimal images. The area fraction of homeotropic domains was determined by overlaying two binarized optical micrographs, each with 90° crossed polarizers, the second image with both polarizers rotated by 45° relative to the first micrograph (Figure 4b,c). In such an overlaid representation, homeotropic domains show up as black.19,23,28

The area fraction of homeotropic domains, a measure for the degree of homeotropic alignment, was determined twice and the average is plotted as a function of the level of doping with 2 (Figure 4d). The addition of 2 to the mixture induced homeotropic alignment at slow cooling rates up to 2 °C/min. The homeotropic area fraction was dominant when the MeTB-1_3, complex contained at least 0.1 equiv of 2. Below 0.1 equiv, the homeotropic area quickly drops (Figure 4d). When a higher cooling rate of 5 °C was used, the required level of doping for predominantly homeotropic alignment increased to 0.3 equiv of 2. A predominantly homeotropic sample was also obtained when a low concentration of 2, 0.037 equiv., was combined with an extremely low cooling rate of 0.1 °C/min. Hence, a small amount of 2 is sufficient to obtain homeotropic samples.

The effect of hydrophilic 2 on alignment is most probably induced by preferential wetting of the glass interface. Upon cooling, the temperature gradient across the device initiates nucleation of homeotropic domains at the top surface cover, the nuclei are likely to grow further toward the bottom glass plate, thus creating homeotropically aligned LC columns from top to bottom. Increasing the content of 2 promotes the formation of homeotropic domains caused by increased partitioning of 2 to the glass surface where the domains nucleate. When the glass surface was spin-coated with a 1 wt % aqueous solution of 2, a thin layer of less than 10 nm thick was formed, and the amount of dopant required to obtain homeotropic alignment could be further reduced to less than 0.1 wt % for a 20 μm thick LC layer (Figure 4b,c,e).

By lowering the cooling speed, the quality of alignment increased considerably: a 95% homeotropic sample was obtained with a cooling rate of 0.2 °C/min (Figure 4e). The two corresponding POM analysis are shown in Figure 4b,c. Treatment of the glass surface with trichlorododecylsilane gave full loss of the alignment effect of doping with 2, supporting the proposed preferential wetting mechanism.

**Homeotropically Aligned Polymer Films.** Because of the alignment efficacy and possibility to fixate the morphology by photopolymerizing the allylic moieties, MeTB-3, was selected as monomer for the fabrication of a nanoporous film (Figure 2a). As expected, MeTB-3 showed similar behavior as MeTB-1, the 1:3 complex with 3 aligned homeotropically when doped with 2. The LC complexes were formed by dissolving the components in MeOH/CHCl₃ (1:4 v/v) followed by drop-casting on a glass substrate. MeTB-3 displayed a similar fan-shaped texture as MeTB-1, the presence of a hexagonal columnar (Colhex) phase in both cases was confirmed with X-ray diffraction (MeTB-1/d(100) = 3.16 nm vs MeTB-3/d(100) = 3.19 nm). The transition temperatures could not be determined precisely with DSC since oxidative polymerization set in before the clearing temperature was reached. The phase transition temperatures were therefore determined with POM, the following phase sequence was observed during heating: K-[50 °C]—Colhex-[210 °C]—I. Formation of a 1:3 complex was further confirmed by ATR FT-infrared spectroscopy (ATR-IR), a number of differences were observed between the ATR-IR spectra of pure 3 and MeTB-3 (Figure 5). In the spectrum of the complex, a broad signal from the N−H vibrational band at 3259 cm⁻¹ was observed, indicating a strong (ionic-like) hydrogen-bonded interaction between MeTB and 3. The red-shift of the acid’s C=O stretch vibration from 1682 to 1673 cm⁻¹ was observed, suggesting the formation of a hydrogen-bonded complex. The additional vibrations observed at 1450 and
800 cm\(^{-1}\) were assigned as template specific ring-stretching and ring-bending frequencies. Since allyl ethers do not readily form homopolymers by radical polymerization, MeTB\(_3\) was polymerized in the presence of divinyl adipate, known to copolymerize with allyl ethers.\(^1\)\(^2\)\(^3\)\(^1\)\(^2\)\(^3\) 20 wt % of divinyl adipate as a comonomer (2.7 equiv) was readily taken up by MeTB\(_3\), with a 10 °C decrease in clearing temperature but without any noticeable changes of the LC texture under POM or in the infrared spectrum. Avoiding exposure to oxygen prevented the oxidative homopolymerization of MeTB\(_3\), which would result in early polymerization with low retention of the order.\(^1\)\(^2\)\(^3\) Copolymerization with divinyl adipate also improved the mechanical properties; homopolymerization of MeTB\(_3\) gave very brittle films, presumably due to the low degree of polymerization.\(^1\)\(^2\)\(^3\)

Aligning the LC phase by slowly cooling from the isotropic state requires that the mixture is able to withstand temperatures up to the isotropization temperature of \(~\)210 °C. Allyl ethers are stable when heated in an inert atmosphere. However, thermally stable photoinitiators are limited in number, but benzil proved to be a suitable candidate. When monomer mixtures containing 2 wt % benzil and comonomer were heated to the isotropization temperature of \(~\)195 °C, no polymerization took place, but when irradiated with UV light at 100 °C polymer films of good quality were obtained without noticeable thermal degradation. Furthermore, the alignment efficacy of the mixture was not affected by the addition of 20 wt % of divinyl adipate, 2 wt % benzil, and 5 wt % of 2, although the clearing point decreased by approximately 15 °C.

Homeotropic alignment of the monomer mixture was achieved by placing the material between two glass slides, spaced 6–20 μm apart, followed by cooling from the isotropic liquid. High cooling rates of 2–5 °C/min could be used when 0.2 equiv of dopant 2 was present. The relatively high amount of 2 allowed the preparation of homeotropic films of up to 20 μm thickness. The samples were polymerized by irradiating overnight with an EXFO photosource equipped with a collimator, which afforded the desired homeotropically aligned nanostructured polymer films. The films were easily peeled off from the glass substrates.

Monomer conversion of the polymer films was analyzed using transmission infrared spectroscopy instead of ATR-IR to make sure that the whole film thickness was sampled. The
polymerization of the monomers was confirmed by the disappearance of the C=O vibrational band at 1648 cm$^{-1}$ and the $=$CH and $=$CH$_2$ out of plane vibrations in the 800–900 cm$^{-1}$ region (Figure 6). Furthermore, a shift of the divinyl adipate carbonyl vibration band was observed from 1758 to 1731 cm$^{-1}$ confirming the copolymerization of the vinylic comonomer. Noteworthy is that the polymerization method did not appear to interfere with the complexation since the major absorption frequencies of MeTB·3 did not change. The formation of a cross-linked network was further supported by the observation that the resulting polymer was insoluble in CHCl$_3$ and DMSO.

In Figure 7a,b, a polarized optical micrograph with 45° crossed polarizers is shown together with the medium-angle 2D X-ray diffraction pattern of the homeotropically aligned polymer sample. The dendritic LC texture observed by POM is indicative of a homeotropic alignment, with only the grain boundaries being visible. Decreasing the X-ray beam size to <1000 μm$^2$ allowed us to selectively probe a monodomain. The 2D diffractogram showed the typical hexagonally arranged diffraction spots. With sufficient X-ray irradiation time, higher order diffraction peaks became also visible. In addition to the $d_{(100)}$ and the $d_{(110)}$ reflections at a mutual angle of 30°, the $d_{(200)}$ reflection became apparent, indicating the high degree of order within the domain. As expected, in the wide-angle X-ray diffractogram, no interdisk distance, $d_{(001)}$, was noticed for this particular alignment (data not shown). The 1D medium-angle X-ray diffractogram of the homeotropically aligned film is shown in Figure 10c.

Multidomain planar alignment was obtained by shearing the same LC mixture as used for the homeotropic sample at 100 °C between glass slides spaced 20 μm apart while monitoring the alignment using POM (Figure 7c,d). After alignment, the sample was polymerized using the same procedure as was used for the homeotropic sample. Rotating the polymerized LC film under crossed polarizers showed an alternation of bright and dark states every 45° confirming the planar alignment. The X-ray diffractogram of the film showed broadening of the diffraction peaks, which indicates imperfect alignment and the existence of multiple domains. In contrast to the homeotropic sample, the interdisk reflection was observed in the wide-angle X-ray diffractogram of the film with planar alignment (data not shown).

The two different alignments of the columnar mesophase were further studied with transmission IR and vibrational linear dichroism (Figure 8).$^{34}$ The individual IR spectra for both alignments are plotted at different azimuthal angles, with a range of 0–90° in steps of 10° (Figure 8a,c). For the sample with a planar alignment, clear dichroism is evident from the stacked spectra (Figure 8a). The molecular vibrational bands originating from the rigid core (in the fingerprint region) display a high negative anisotropy, while the N$^+$–H stretch of...
MeTB·3 at 3250 cm\(^{-1}\) and the C=O stretch at 1675 cm\(^{-1}\), had a high positive anisotropy. The orthogonal anisotropy for some of the core vibrations arises from the difference in the orientation of the IR transition moments of the respective moieties. The vibrations assigned to the aliphatic corona, e.g., 1732 cm\(^{-1}\) of the ester moiety of incorporated divinyl adipate and 725 cm\(^{-1}\) of the aliphatic backbone, are almost fully isotropic.

In contrast, the azimuthal scan of the homeotropically aligned polymer film did not show any anisotropy, indicating vertical alignment of the columns. Noteworthy, absorption of the aromatic out-of-plane vibrations is substantially lowered for the homeotropic sample. Because the columns are aligned vertical, the out-of-plane vibrations are orthogonal to the polarization vector of the incoming infrared beam. Additionally, the polar plots are shown for both alignments (Figure 9).

Figure 9. (a) TEM image of a planarly aligned film. (b) Fourier transform of the full image. (c) Azimuthal integration of the Fourier transform following the white dashed line.

Figure 10. (a) Inducing porosity in a homeotropically aligned nanostructured polymer of MeTB·3 by washing out the template molecule. In a second step the –COOH interior is converted to –COOK. (b) Transmission IR spectra of MeTB·3 after template removal and the corresponding potassium salt. (c) X-ray diffractograms before and after template removal. (d) 2D X-ray diffractogram of the corresponding –COOK lined porous polymer.
with a similar rate coefficient after template removal. Using a synchrotron X-ray source, it was verified that the homeotropic alignment was preserved in the porous polymer (Figure 10c,d). These last observations are crucial since the work of Osuji et al. shows that simpler polymerized acids collapse after removal of the template.13

From the lattice parameter of the nanostructured polymer network (a = 3.73 nm) combined with the molecular weight of the template (M_p = 468.56 g/mol) and the molecular weight of the unit cell (M_u = 3591 g/mol), the pore diameter was estimated to be 1.3 nm, which corresponds to a porosity of approximately 13%. In addition, it is believed that non-polymerizable dopant 2 was also removed to a great extent, if not fully, decreasing the overall material density without affecting the material its selectivity. The latter is governed by the smallest pore diameter.

A competitive adsorption experiment (1.5 mL, 10 μM, 0.005 equiv of dye, 2 h) between positively charged methylene blue and negatively charged sodium fluorescein showed that the nanoporous film with −COOK lined pores (3.1 mg, M_p = 3188 g/mol) selectively adsorbed methylene blue into the negatively charged pores while leaving the sodium fluorescein concentration unaffected (Figure 11c). Additionally, the difference between homeotropic and planar films was demonstrated by adsorbing methylene blue from solution (Figure 11). The aligned nanoporous films of approximately 0.1 mg (20 μm thick) with −COOK lined pores were exposed to methylene blue solution (2.0 mL, 52 μM, 1 equiv of dye), the concentration of dye was monitored over time by measuring the absorbance at 588 nm spectrophotometrically. From the decrease in absorption, the methylene blue adsorption was calculated using Lambert–Beer’s law (ε_{588 nm} = 20767 M^{-1}·cm, H_2O), expressed as the mass fraction q_c. The initial uptake of the films, the first 15 min, showed that the homeotropically aligned film adsorbed methylene blue 3–4 times faster than the film with planar alignment (Figure 11a). However, both the uptake speed and equilibrium adsorption of both films were similar during uptake over a longer time (Figure 11b).

Fitting the obtained concentration profile to a pseudo-first order reaction limited adsorption model gave a good quantitative description of the data (Figure 11b).39–41 From the obtained fitted parameters (k_1, q_c) using a least-squares optimization method, it can be seen that on the long scale both films have taken up the same amount of dye (q_c ~ 4.6 mg/g) with a similar rate coefficient k_1. Hence, in the steady-state, both films behave the same, meaning that the resistance for dye
uptake on longer time scales lies in the film itself and is not governed by pore entry. The better accessibility of pore ends in the homeotropically aligned sample likely caused the initial fast adsorption.

CONCLUSIONS

The self-assembly properties of discotic liquid crystals have successfully been harnessed to prepare homogeneous homeotropically aligned nanostructured polymer networks. A photo-initiated free radical polymerization method compatible with the high temperatures needed for alignment was developed. This method consisted of copolymerizing the tris-allylic LC monomers with a vinyl ester as comonomer with a thermally stable initiator.

Homeotropic, face-on alignment was induced by addition of a hydrophilic analogue of the monomer as a dopant. Samples with planar alignment were obtained by unidirectionally shearing the LC, with the same composition, prior to fixation. Removal of the hydrogen-bonded template yielded a nanoporous polymer network with membrane-spanning near-1 nm pores. The presence of free carboxylic acid groups was illustrated by conversion to the corresponding potassium salt. The initial uptake of methylene blue was 3–4 times faster in homeotropically aligned films than in planar samples, while at longer times both materials have similar uptake speed and equilibrium.

The method to prepare nanoporous membranes with near-1 nm pores from polymerizable DLCs offers ample opportunities to develop fully functional materials. The strategy presented here can be easily extended toward the fabrication of functioning active layers for membrane technologies. The strategy of tuning the LC-interfacial energy can be generally applied under the condition that the additive is miscible and does not interfere with the LC self-assembly.

EXPERIMENTAL SECTION

General. Commercially purchased chemicals were used without further purification. MeTB and tri(ethylene glycol) analogue 2 were prepared according to their reported synthesis. Column chromatography was carried out using silica gel (0.035–0.070 mm, ca. 6 nm pore diameter). ATR FT-IR spectra were recorded at room temperature on a PerkinElmer Spectrum Two spectrometer equipped with a universal attenuated total reflectance (ATR) sampling accessory. Transmission FT-IR spectra were recorded on a Varian 670 IR spectrometer, equipped with a microscope setup. Scans were taken over a range of 4000–400 cm⁻¹, with a spectral resolution of 4 cm⁻¹, 100 scans per spectrum. Processing of the transmission FT-IR spectra was done using Varian FTS 3000 Excalibur Resolutions, version 4.0.5.009, software. NMR spectra were recorded at 298 K in CDCl₃, using a Varian INOVA 500 MHz spectrometer equipped with a 5 mm cryoprobe. 

Transmission FT-IR spectra were recorded on a Varian FTS 3000 Excalibur Resolutions, version 4.0.5.009, software. NMR spectra were recorded at 298 K in CDCl₃, using a Varian INOVA 500 MHz spectrometer equipped with a 5 mm cryoprobe.

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at 50 °C (to prevent oxidative polymerization). To obtain the homoeotropic alignment: after placing the cover substrate, the sample was heated to the isotropic temperature followed by cooling 2 K/min to 100 °C. For the planar aligned sample the mixture was directly heated to 100 °C, and manually sheared in one direction until an acceptable degree of alignment was achieved as was judged from the POM by the naked eye. Polymerization of the samples was done at 100 °C; irradiation time was 900 min. (15 h). Different ways of treating the glass surface, i.e., no treatment, washing with i-propanol, UV-ozone treatment, or treating with piranha solution (3:1, conc. H2SO4/H2O2 30%), had no noticeable effect on the alignment efficacy.

**Synthetic Procedures.** Synthesis of 3,4,5-tris((11-(allyloxy)-undecyloxy)benzoic acid (5): Methyl gallate (200 mg, 1.09 mmol, 1 equiv) was added to a suspension of potassium carbonate (1.5 g, 10.9 mmol, 10 equiv) in DMF (7 mL). The slurry was heated to 75 °C, for 30 min. 1-(Allyloxy)-11-bromoundecane (1 g, 1 mmol, 1 equiv) was added via syringe. The slurry was stirred overnight at 75 °C, the round-bottom flask was sealed using a U-tube filled with mineral oil. The mixture was allowed to cool to room temperature, followed by filtration over a silica plug (5 g) using chloroform. Separating the methyl ester from the starting acid; the crude wax was treated with white wax, overall 40% yield.1H NMR (400 MHz, CDCl3, δ 5.29 (s, 1 H). 5.14 (s, 1 H). 4.64 (s, 4 H). 4.04 (t, 3 H). 3.97 (d, 3 H). 3.42 (t, 2 H). 2.43 (s, 3 H). 1.82 (p, 1 H).

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