Nanostructured plasmonic metapixels

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State-of-the-art pixels for high-resolution microdisplays utilize reflective surfaces on top of electrical backplanes. Each pixel is a single fixed color and will usually only modulate the amplitude of light. With the rise of nanophotonics, a pixel’s relatively large surface area (~10 μm²), is in effect underutilized. Considering the unique optical phenomena associated with plasmonic nanostructures, the scope for use in reflective pixel technology for increased functionality is vast. Yet in general, low reflectance due to plasmonic losses, and sub-optimal design schemes, have limited the real-world application. Here we demonstrate the plasmonic metapixel; which permits high reflection capability whilst providing vivid, polarization switchable, wide color gamut filtering. Ultra-thin nanostructured metal-insulator-metal geometries result in the excitation of hybridized absorption modes across the visible spectrum. These modes include surface plasmons and quasi-guided modes, and by tailoring the absorption modes to exist either side of target wavelengths, we achieve pixels with polarization dependent multicolor reflection on mirror-like surfaces. Because the target wavelength is not part of a plasmonic process, subtractive color filtering and mirror-like reflection occurs. We demonstrate wide color-range pixels, RGB pixel designs, and in-plane Gaussian profile pixels that have the potential to enable new functionality beyond that of a conventional ‘square’ pixel.

High resolution reflective microdisplays, based on liquid-crystal-on-silicon (LCoS) technology, are ubiquitous, underpinning a plethora of optical modulation applications including projectors, telecommunication switches, head-mounted displays1–3 to name but a few. A single pixel typically consists of linear polarizers, RGB pigment-based color filters and an electrically switchable waveplate (liquid crystal layer) sitting on top of a mirror-quality reflector (a square aluminum electrode ~10 μm²) connected to electronic circuitry1, 3. The purpose of this surface is purely to reflect the incoming light. However, with the simultaneous rise of nanofabrication capabilities and utilization of the unique optical phenomena associated with plasmonic devices4–6, there exists an opportunity to exploit this relatively large metallic surface area in order to significantly increase the functionality of microdisplay pixels with nanophotonics. Pixels capable of modulating not only amplitude, but polarization, wavelength and even the ability to adjust their in-plane shape, have potential applications ranging from 3D holographic displays, that fully represent the plenoptic optical wavefront7, 8, to hyperspectral structured illumination microscopy9–11, requiring sample illumination across different wavelengths and polarizations. Surface plasmons (SPs) can be considered light waves trapped on a metal surface4 and can be used to localize light to dimensions far below the optical wavelength12–14. Subsequently, plasmonic nanostructures have attracted a wealth of interest over the past few decades leading to a myriad of potential optical applications including sub-wavelength spectral filters15, 16, nanoscale plasmonic waveguides17 and holographic display elements18–21. For reflective pixel purposes, the attraction of plasmonics lies in sub-pixel control of the light including polarization enhanced spectral filtering and the patterning of complex information directly on a pixel’s surface. Initially, this may alleviate the need for pigment filters and incident polarizers, yet engineered pixels offer the potential to re-imagine the way in which one considers what is meant by a single pixel. Specifically, a pixel now has the ability to encode wavelength, polarization, phase and amplitude information directly on its surface. Initially, this may alleviate the need for pigment filters and incident polarizers, yet engineered pixels offer the potential to re-imagine the way in which one considers what is meant by a single pixel. Specifically, a pixel now has the ability to encode wavelength, polarization, phase and amplitude information directly on its surface. This marks a step towards fully representing the plenoptic function of the light field, a requirement for future display devices7. Recent studies have highlighted the shortcomings of current plasmonic pixel technologies, and include: sub-optimal design schemes resulting in a low optical amplitude22–27, due to inherent loss mechanisms within color-generating metallic nanostructures; small color gamuts due to the type of plasmonic resonance utilized (i.e. poor full-RGB coverage)16, 24, 25, 28, 29.

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Previously, the strong absorption mode of this wavelength thus eliminating the requirement for RGB filters in (a). The proposed pixel designs (i) and (ii), represent both 1D (sub-pixel 1D gratings) and 2D nanostructuring (sub-pixel isolated nanostructures) respectively, for achieving the different polarization dependent optical properties shown in the simulated reflection spectra above the schematics. For the 1D case (i), color modulation or near-perfect reflection can be controlled. For the 2D case (ii), multi-color modulation can be achieved. Hence now, pixels can include multiple state and multiple color functionality, yet still offer high reflection (due to MIM geometry).

In comparison to a conventional LCoS microdisplay Fig. 1(a), our proposed plasmonic metapixels, schematically updated. We overcome these shortcomings through multimodal absorption in nanostructured plasmonic metal-insulator-metal (MIM) pixel designs: metapixels. Our solution offers near-perfect reflection for the target color, wide wavelength selectively, polarization switchable sub-pixel optical properties and compatibility with industrial manufacturing techniques.

Generally, MIM structures are tailored for applications requiring near-perfect absorption of a particular wavelength range with near-perfect reflection of other wavelengths. Previously, the strong absorption mode associated with the structures presents a problem for wide-color gamut/RGB reflective pixels. In this work, by combining plasmonic nanostructures with MIM geometries, we can excite multiple modes simultaneously in order to tailor the optical properties of a reflector. We demonstrate new reflective pixel designs based on plasmonic nanostructure MIM geometries which offer highly reflective, polarization dependent, color filtering in the visible spectrum (400–700 nm). The devices suppress the unwanted colors either side of the target wavelength through the combination of the absorptive SP and plasmonic waveguiding modes (guided mode resonance). Hence, due to MIM geometry, mirror-like reflection for the target wavelength, and strong absorption for the unwanted wavelengths occurs. In addition, 1D, 2D and nanostructure arrays allow for the coupling of only certain polarization states and wavelengths to the absorption modes. We extend this concept to create more advanced pixels which incorporate in-plane 2D amplitude functions on the pixel itself, encoded with nanostructures. We use Gaussian-profile plasmonic pixels for the spatial tailoring of the color properties on a sub-pixel basis. A design whereby pixels are now no longer just rectangular, and moreover, each pixel has polarization controlled color spatial functions, ideal for the integration with liquid crystals (switchable waveplates). This represents a departure from the conventional paradigm of using square pixels that only encode amplitude. To achieve specific color properties, we use Ag(30 nm)-SiO2(100 nm)-Al(100 nm)-Si(bulk) layers. Aluminum is typically the material of choice for back-reflectors in microdisplays with silver an excellent and widely used plasmonic material across the visible. SiO2 is cheap, mechanically and chemically stable, and has relatively flat dispersion across the visible. Hence the devices presented in this work are an exciting prospect for integration with LCoS pixel technology, which includes multiple polarizers and incorporates pigment-based color filters for spectral filtering. By varying periodicity, grating widths and designs, a range of polarization dependent plasmonic MIM color pixels are produced which utilize the existing Al reflectors as the back-reflectors in a typical MIM-pixel backend. The work here can eliminate the need for additional polarizers and pigment-based color filters on the display backplane, and adds additional functionality through polarization-spectral control and in-plane pixel color functions. Moreover, the dimensions and materials utilized means the designs are highly compatible with a range of methods for larger scale manufacturing, including extreme-UV photolithography and nanoimprint lithography.

Results
In comparison to a conventional LCoS microdisplay Fig. 1(a), our proposed plasmonic metapixels, schematically shown in Fig. 1(b), utilize several key resonant phenomena to form their unique optical response. Through nanostructured periodicity (both 1D (b-i) and 2D (b-ii)), providing an additional in-plane momentum component, SPs can be excited at normal incidence: both propagating (PSPs) or localised (LSPs), depending on the geometry. Anisotropic geometry enables polarization dependency, and shrinking features to sub-wavelength, leads to
color filtering. Integration into MIM geometry results in the plasmonic quasi-guided modes (QGMs) and cavity modes (CMs) being excited 13, 36. For the former, the structure acts as a plasmonic waveguide for wave propagation tangential to the interface17, 31, 37, 38. Hence, metapixels, unlike conventional pixels, have optical functionality (color, polarization, amplitude, in-plane functions) encoded with nanostructures (or sub-pixels) on the pixel reflector itself. To excite a waveguide mode, top-layer periodicity (nanostructuring) is utilized - analogous to an out-of-plane grating coupler. The nanostructured top-layer grating scatters light into multiple modes, with varying wave-vector components. It is then possible to couple these diffracted modes into waveguide modes, albeit leaky, which propagate tangential to normal incidence. Moreover, depending on insulator thickness, plasmonic and or oscillatory (conventional) waveguiding modes can be excited13, 36, 39. The former (exploited in this work) has tangential E-fields confined to the interface. In addition, as the number of possible excitation modes increase, resonant-modes overlap, are simultaneously excited, and hybridization occurs. The modes in the devices in this work consist of hybridized forms of SP modes, CMs and QGMs (plasmonic).

**MIM grating pixels.** By combining ultra-thin (30 nm) plasmonic 1D gratings with MIM geometry, we now investigate our first pixel concept. Figure 2 shows the concept (a) and results of a matrix of 1D plasmonic MIM grating arrays with varying grating widths, \( w_g \) (nm), and grating period, \( \Lambda \) (nm), with duty cycle, \( \Gamma = w_g / \Lambda \). The pixels are patterned using electron-beam lithography (EBL), the back-reflector is made from sputtered Al (100 nm), the insulator is reactively sputtered SiO\(_2\) (100 nm) and the nanostructured top-layer is thermally evaporated Ag (30 nm) - full fabrication details described in the **Methods** section. These parameters are chosen to optimize for dual-resonant (SPP and QGM) operation within visible wavelengths. Specifically, the insulator thickness is set such that only the fundamental plasmonic waveguiding mode (QGM) can exist13, 17, 36, and Ag top-layer for
optimal SPP excitation behavior. Extensive details and supporting simulations are shown in the Supplementary Material.

Crucially, each 1D reflector pixel, Fig. 2(a), exhibits a minimum of two resonances: the first, is a SP-mode and the second is a QGM. Figure 2(b) shows the typical reflection response and field profiles (c) of a nanostructured MIM-grating ($w_g=120$ nm, $\Lambda=400$ nm, duty cycle = 0.3) for TM-polarization from full-wave FDTD simulations. The two absorption modes are highlighted: the shorter wavelength mode (~427 nm) - which is close to the fundamental vertical CM - exhibits SPP, CM and QGM field-profile characteristics; the longer wavelength mode (~575 nm) exhibits SPP (Ag-SiO$_2$) and QGM characteristics. We provide a more in-depth explanation of layer thicknesses and origins of the modes in the Supplementary Material - with specific consideration to dispersion relations. The modal dispersion is such that the grating width and duty cycle variation leads to stronger or weaker coupling into the associated system modes.

Each grating is 10 $\mu$m in length but with variation in total width. The designed grating width varies from ~80–310 nm and grating unit cell varies from ~180–600 nm. SEM images of the smallest and largest spacing pixels are shown in Fig. 2(e). For polarized incident light and analyzer aligned with TM-polarization (parallel to grating vector), a range of vivid colors in reflection are observed (d). The surrounding white part of this image is 100% reflection from the Al-back reflector. By varying the analyzer rotation the arrays transition from conventional reflector pixels to plasmonic-enhanced pixels, shown in (d). At a large grating period (low duty cycle), resonant spectra are observed. Figure 2(f) presents the experimental reflection spectra ($\lambda$ vs. $\Lambda$) of a selection of the rows (labeled 1–20) as a function of duty cycle, in good agreement with simulations results (Supplementary Material). For all spectra, at least two modes are observed, which decrease in resonant wavelength as duty cycle increases (spacing decreases). In the spectra with narrowest grating widths, i.e. up to ~85–175 nm ((f) - sub-figures 1–7) strong, sharp, reflection peaks are obtainable in the visible part of the spectrum (~400–650 nm) with absorption modes either side. The reflection is normalized to a reference mirror, and due to MIM-geometry, efficiencies are high.

By selecting the widths and gratings which correspond to RGB color profiles, we can create sub-pixel arrays which act as polarization selective color pixel reflectors - shown in Fig. 3 (concept - e). Initially, we use gradually increasing spacing spiral design pixels (a-b), to investigate the color properties under varying analyzer conditions. These show bright color gradients from blue-to-red, which can be varied/switched with analyzer rotation. From this, the main RGB plasmonic-MIM pixel array concept is created - Fig. 3(c–f), which is loosely based on a typical Bayer color filter mosaic. Under incoherent, unpolarized illumination, orthogonal analyzer polarization results in either broadband reflection or plasmonic color selection - shown in (d-f). For the latter, each sub-pixel exhibits absorption modes either side of their respective color - shown in the reflectance spectra in (f). With TE-mode selected (analyzer parallel to grating-vector), the reflectance tends to that of thin-film silver. Note that for plasmonic color selection, due to high reflectance of the target wavelength from the back-reflector, the
reflectance tends to that of perfect linearly polarized light reflection i.e. 50%. The demonstration of the importance of MIM-geometry is shown in the Supplementary Material - experimental section.

2D plasmonic MIM pixels. 2D nanostructured-MIM-pixel-arrays allow for additional degrees-of-freedom for polarization and spectral control, instead of just color or mirror-like reflection, as shown in the previous section. Moreover, in comparison to existing display technology, a pixel now has the ability to become more than just one color. Figure 4 shows the concept (a), and optical results (d–e) of the 2D plasmonic MIM pixel with two resonant absorption modes - taken from larger set of simulations shown in Supplementary Material. α is the x-z plane intersection, β the x-y plane and γ the y-z plane. (d,e) Experimental optical characterization results of a range of pixels exhibiting vivid colors under varying polarization conditions, with selected pixels exhibiting RGB behavior shown in (g). (f) SEM images showing the extremes of the range of different arrays; from smallest-to-largest grating period (A–E) and smallest-to-largest Ly parameter.

Figure 4. 2D plasmonic-MIM pixel: (a) Schematic of nanostructured MIM plasmonic structures, with the nanostructure dimension in the x-dimension, Lx, and y-dimension Ly, with symmetric grating period, Λxy. (b,c) Selected FDTD simulations (reflection response and associated field profiles) of a typical 2D plasmonic MIM pixel with two resonant absorption modes - taken from larger set of simulations shown in Supplementary Material. α is the x-z plane intersection, β the x-y plane and γ the y-z plane. (d,e) Experimental optical characterization results of a range of pixels exhibiting vivid colors under varying polarization conditions, with selected pixels exhibiting RGB behavior shown in (g). (f) SEM images showing the extremes of the range of different arrays; from smallest-to-largest grating period (A–E) and smallest-to-largest Ly parameter.
Orthogonal polarization conditions are symmetric about \( L_x = L_y \), as shown through the color symmetry in the optical images in (e). Hence, the reflectivity map in (d) will be transposed upon orthogonal analyzer conditions, resulting in polarization specific color properties. The optical properties are characterized through the reflectance spectra in (d) - whereby the spectra is recorded with analyzer aligned with \( L_x \). Each plot in (d) represents one of the pixels in the \( 6 \times 6 \) array (with \( L_x \) and \( L_y \) variations), and each plot consists of 5 sub-plots representing the symmetrical unit-cell spacing variations. Hence, within each \( 6 \times 6 \) array, anisotropy (aspect ratio) is increased in both \( x \) and \( y \), and each \( 6 \times 6 \) array (out of 5, A–E) representing a different unit-cell spacing (indicated in the Figure).

The results in Fig. 4(d) show that it is possible to achieve both dual and single resonances in order to tailor the reflection spectra across the visible part of the spectrum. As the grating period, \( \Lambda_{xy} \), increases (A–E), the resonant modes increase in wavelength, and in combination with increasing anisotropy, eventually split into multiple modes arising from the plasmonic MIM structure - more detail on the nanostructure modes are found in the Supplementary Material. From left-to-right (e), we can observe two short wavelength modes to begin with (i), slowly separate (ii–ii), then transition into a single mode (v–vi), which then eventually begins to split. Due to the spectral position of the shorter wavelength mode, which increases in wavelength as the spacing increases, it can be considered the grating-coupled SPP mode. The longer wavelength mode, which increases in wavelength as the spacing increases, is a hybrid SPP-QGM - more details in Supplementary Material. A wide color gamut is readily available by selecting various geometries i.e. based on the spectra in (d), it is relatively straightforward to generate a wide range of colors in reflection. Hence, in (g) we select three arrays to indicate RGB color properties. For comparison, selected reflectance spectra, from Figs 3(f) and 4(g), are plotted on CIE 1931 chromaticity diagrams in the Supplementary Material.

**Figure 5.** Gaussian plasmonic MIM pixels: (a,b) SEM and optical images (microscope) of 2D Gaussian nanostructured pixels, with the overlaid Gaussian functions in x/y. The optical images are with two orthogonal analyzer conditions and a crossed-polarization state, showing gradient color functions associated with each pixel. \( \Lambda_x \) and \( \Lambda_y \) is the grating period in x and y respectively. \( \Delta L_x \) and \( \Delta L_y \) are increase in the geometry of the nanostructures dictated by the Gaussian functions, where the total length of each structure is \( L_0 + \Delta L_x \) and \( L_0 + \Delta L_y \) for x-and-y dimensions respectively and \( L_0 \) is the initial length, 60 nm (same for both axes). (c,d) As previous, but with a 1D Gaussian profile. The inset in (d), (i) is of the RGB channels of one of the Gaussian rows exhibiting how each pixel encodes varying responses depending on the wavelength. (e,f) Show the encoding of a 2D Gaussian with first-order partial derivative and second-order derivatives (Laplacian operator) for orthogonal polarization states.

**Plasmonic MIM Gaussian pixels.** The polarization-dependent, high reflectance, spectral control from the nanostructured plasmonic metapixels opens up the possibility of creating more innovative pixel designs than just RGB filter arrays. A conventional high-resolution pixel reflector is normally just single color and with rectangular form-factor, mainly for ease of manufacturing. Yet because microdisplays are used for a plethora applications, this pixel shape may not be optimal for information discretization and display. We propose and demonstrate here the use of graded-pixels, whereby the general in-plane shape and color can be polarization controlled. Here, we utilize in-plane Gaussian profile pixels, shown in Fig. 5. The dimensions of each nanostructure is controlled in 1 or 2D with a Gaussian function. Therefore, the pixel color function is now non-uniform, non-rectangular and hence the sub-pixel spatial profile varies depending on the color.

In Fig. 5 we show the SEM and polarizing optical microscope images (under a range of conditions) of Gaussian pixel profiles - taken from a larger matrix, shown in the Supplementary Material. Different polarizations correspond to different pixel color/spatial functions. That is, a pixel function with polarization and wavelength dependent 2D profiles across the pixel. Moreover, gradient RGB color profiles are obtained across a single pixel, shown...
in the optical microscope images in (b and d). With specific reference to the intensity plots in Fig. 5(d–i), we isolate the RGB channels of the captured image and show that for one pixel, there are varying 2D profiles for each wavelength (which is polarization controlled). In (e–f) we combine Gaussian and Gaussian–derivative profiles for orthogonal polarizations to show the potential for unconventional color pixel reflector designs - the larger set is shown in the Supplementary Material. This type of pixel, whereby the effective pixel shape and diffraction pattern (far-field) is controlled with an in-plane function encoded with nanostructures on the MIM reflector itself is an extremely attractive toolbox parameter for optical display engineers. Specifically, in designing future holographic display devices, which require a large amount of optical information to be available for modulation, per pixel, in order to form arbitrary optical field profiles \(^{7,22}\). More importantly, because the diffraction pattern (far-field) of a display pixel is related to its aperture function by a Fourier transform, by giving the pixel an in-plane function we can dictate the diffraction pattern of the pixel. Specifically, the far-field of a typical pixel shape (square) is sinc-like in nature \(^{69}\), resulting in unwanted higher-orders, yet a Gaussian, whose Fourier transform is yet another Gaussian, may offer an attractive solution to suppressing these artifacts.

Conclusion

In summary a range of nanostructured plasmonic metapixel designs have been demonstrated which offer unique spectral (full RGB) and polarization control for use as novel pixel elements in state-of-the-art high-resolution reflective microdisplays. The designs, composed of ultra-thin Ag, SiO\(_2\) and Al back-reflector, exhibit a multitude of associated resonant absorption modes including surface plasmon and quasi-guided modes. Through simultaneous excitation of resonant modes, spectrally adjacent to the wavelength of interest, color filtering is achieved and the anisotropic nanostructured elements yield polarization control. We extend the concept to both 1D and 2D nanostructures for dual-resonant behavior leading to highly vivid color pixel profiles. Furthermore, with isolated nanostructures, we can tailor additional 2D (Gaussian) profiles across each pixel which have unique 2D functions for different wavelengths. Because the effects here can be polarization controlled, the integration with liquid crystals (switchable waveplates) makes them promising candidates for a plethora of microdisplay applications and technologies. This design method is compatible with existing manufacturing techniques, for example, aluminium is already the material of choice for existing pixel-reflectors and SiO\(_2\) is easy to deposit and long-lasting. Initially the work here eliminates the requirement for pigment based filters and input polarizers for reflective display pixels, yet nanoplasmic MIM pixels (metapixels), which have in-plane spatial profiles are now not limited by the rectangular form-factor of the conventional pixel. This offers the potential to re-imagine the way in which one considers what is meant by a ‘single-pixel’.

Methods

Experimental. Pattern designs are produced parametrically in MATLAB and output to a usable patterning format (full RGB) and polarization control for use as novel pixel elements in state-of-the-art high-resolution reflective microdisplays. The designs, composed of ultra-thin Ag, SiO\(_2\) and Al back-reflector, exhibit a multitude of associated resonant absorption modes including surface plasmon and quasi-guided modes. Through simultaneous excitation of resonant modes, spectrally adjacent to the wavelength of interest, color filtering is achieved and the anisotropic nanostructured elements yield polarization control. We extend the concept to both 1D and 2D nanostructures for dual-resonant behavior leading to highly vivid color pixel profiles. Furthermore, with isolated nanostructures, we can tailor additional 2D (Gaussian) profiles across each pixel which have unique 2D functions for different wavelengths. Because the effects here can be polarization controlled, the integration with liquid crystals (switchable waveplates) makes them promising candidates for a plethora of microdisplay applications and technologies. This design method is compatible with existing manufacturing techniques, for example, aluminium is already the material of choice for existing pixel-reflectors and SiO\(_2\) is easy to deposit and long-lasting. Initially the work here eliminates the requirement for pigment based filters and input polarizers for reflective display pixels, yet nanoplasmic MIM pixels (metapixels), which have in-plane spatial profiles are now not limited by the rectangular form-factor of the conventional pixel. This offers the potential to re-imagine the way in which one considers what is meant by a ‘single-pixel’.

Simulation. Full-wave finite-difference time-domain (FDTD) modeling \(^{60}\) is performed. Either periodic or symmetric-anti-symmetric boundary conditions (depending on geometry) are used (x-y boundaries of the unit cell) and perfectly matched layers (z-boundary) along with direction of propagation. For the 1D grating arrays, a 2D simulation environment is used, and for 2D nanostructure arrays, full-3D simulations are used. A uniform cubic mesh (Yee-cell) with dimensions \(< 1\) nm and broadband-pulse plane-wave (350–1000 nm) injection sources at a significant distance above the sample are used. For the E-and-H-field intensity plots, an additional finer mesh is included, whereby the smallest cubic mesh size is \(< 0.01\) nm (z-direction). Complex dispersive material models are used for silver (CRC model), aluminium (Palik) and SiO\(_2\) (material data). Reflection values are calculated from power monitors positioned above the range of structures and source injection. The E-and-H-fields are calculated from

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Author Contributions
C.W. conceived and designed the experiments. C.W. carried out the design and simulation of the devices. C.W. and G.R. fabricated the samples. C.W. performed the measurements. C.W. analyzed the data and wrote the paper. All authors discussed the results and commented on the manuscript.

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