BUILDING ARCHAEOLOGY DOCUMENTATION AND ANALYSIS THROUGH OPEN SOURCE HBIM SOLUTIONS VIA NURBS MODELLING

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1. INTRODUCTION

The creation of a HBIM (Historic Building Information Modelling) platform for Cultural Heritage assets, such as for restoration and archaeological purposes, involves a deep critic knowledge of the analysed context in order to guarantee a complete documentation and monitoring processes over the time. Then, information plays a key role regarding BIM workflows and may be of different types depending on research needs. In this sense, information concerning historical buildings could be different, from land concessions to written archives, from historical pictures or paintings to past restorations and human memories. Although it is considered a stable research field in Geomatics, the application of BIM methodologies to building archaeological documentation and analysis as well as Cultural Heritage in general is a novel and pending study that could avoid data fragmentation derived from traditional studies, establishing a watershed between this new court of documentation through BIM methodologies and traditional building archaeology analysis, in several cases characterized by paper-based works that could avoid data segmentation and then entities classification inside the BIM case study, continues with point clouds management and testing experimental and unconventional ways to integrate this kind of historical data on BIM platforms (Murphy et al., 2013; Barazzetti L., 2016; Beltramo et al., 2019; Pocobelli et al., 2019). However, the integration of information not directly designed to be included inside a BIM platform is still a vivid problem as well as the willingness to solve different research issues, such as data fragmentation of traditional studies, limitations concerning BIM software modelling tools as well as the possibility of customizing BIM platform (especially looking at IFC classification) depending on specific needs.

1.1 Methodology

Focusing on an existing building, this project is based on scan-to-BIM methodology, which starts from metric acquisition of the case study, continues with point clouds management and segmentation and then entities classification inside the BIM platform. However, this work is based on a particular scan-to-BIM workflow, for the first time renamed scan-to-openBIM via NURBS, that has the same basis of the previous method but principally relies on NURBS modelling (overcoming BIM modelling limitations) and relies on a customized open source BIM platform as FreeCAD, allowing ad-hoc modification for specific researches (Logothetis et al., 2017; Diara et al., 2018). Moreover, this project is also focusing on a non-conventional integration between open source HBIM solutions and building archaeology documentation and analysis (based on stratigraphic archaeological method) and this integration and workflow should not be considered a proposal and evaluative early research (Diara et al., 2018; Beltramo et al., 2019; Trizio et al., 2019) but as a settled study based on an experimental custom-made workflow applied to the historical and stratigraphic study of the refectory inside the Staffarda abbey (CN, Italy), that required an updated and precise analysis on masonry stratification (Fig.3).
2. STRATIGRAPHY AND ITS ANALYSIS

Stratigraphy and stratifications have been originally referred to geologic and archaeologic layers, even if the notion of stratifications also regard other situations, such as historical architectures, cities as well as landscapes. Then, stratifications are referred to specific actions: deposits or accumulation actions, erosion or destruction actions, movement or transportation actions. Modifications are therefore caused due to natural / artificial and / or voluntary / involuntary actions affecting also the original conformation of buildings, and this court of analyses generated a particular discipline called Building Archaeology (Doglioni F., 1988; Parenti R., 2000; Brogiolo et al., 2012) because the archaeological documentation and analysis method can be applied to historical architectures where the stratification is evident (mostly medieval architecture) (Fig.2).

2.1 Stratigraphic analysis

Depending on archaeological or architectural contexts, the complexity of stratigraphy requires particular ways of acting and analyses based on documentation and interpretation of stratigraphic units.

Documenting stratigraphy means collecting alphanumerical and graphical data concerning stratigraphic layers, surfaces and evidence (Fig.2). This data is related to photographic, graphical (drawings) and textual (paper-based datasheets) description of stratigraphic units. However, the principal documentation phase is mostly executed by using CAD software for the boundaries’ delimitation of units on orthoimages. The chronological interpretation of the stratigraphy is essentially based on Harris’ Matrix (Harris E.C., 2002), that is a schematic relational diagram for graphical representation of physical relations among units; then, through this method the stratigraphic sequence can be detect in order to identify the relative chronology (early interpretation just based on physical relations) and the absolute chronology (initial chronology crossed checked with other external resources) (Parenti R., 2000; Brogiolo et al., 2012).

2.2 HBIM and stratigraphic analysis

Since stratigraphic data is an exclusive historical information that cannot be find outward from the context, it become fundamental also for historical buildings studies and for this reason it also become a particular feature to be included inside a HBIM project for building archaeology purposes, because it enables to understand transformations and evolutions of the architectural stratification (constructive and destructive actions on wall surfaces) and then allowing to comprehend mechanical behaviours affecting the static asset (Diara et al., 2018; Beltramo et al., 2019). In this sense, the inclusion of stratigraphic analysis - and then the surface peculiarities of historical architectures (also including weatherings processes) – as main historical information inside a HBIM platform could become essential for management and monitoring processes (Diara et al., 2018; Bruno et al., 2019; Beltramo et al., 2019; Pocobelli et al., 2019; Trizio et al., 2019).

3. KNOWLEDGE PROCESSES

As mentioned before, the refectory of Staffarda abbey (CN, Italy) has been the case study of this HBIM project. Despite several studies on Staffarda abbey (Beltramo S., 2010; 2016), the architectural complex, as well as the refectory, require constant stratigraphic analyses especially since its structures have experienced different morphological and structural changes during the years. So, it required updated stratigraphic analyses whereby can be understand several modifications occurred to the original morphology of architectural elements visible on wall stratigraphy (Fig.3), especially the vaulted ceiling.

For this reason, the refectory has been surveyed by performing two different knowledge processes, metric survey and stratigraphic survey; a LiDAR metric survey for the purpose of acquiring metric and accurate and explorative and cognitive stratigraphic survey in order to collect sensitive information such as stratigraphy for the comprehension of the historical evolution of the refectory through the stratigraphic analysis.

3.1 Metric survey and data processing

LiDAR acquisitions were planned for the purpose of scanning the entire refectory, external fronts as well as the internal area. In total were performed twelve scans, of which three of them were executed for each external front, so in total six external scans. Metric acquisitions produced high density point clouds data that needs to be managed in order to extract the needed information derived from the metric survey (Macher et al., 2017) for the next NURBS and parametric modelling step for HBIM platform (Fig.4).
First of all, triangulated meshes have been generated for the purpose of obtaining a complete reality-based model as well as in order to extract planar sections on which NURBS modelling has been carried out: in fact, profiles generated from planar sections represent a correct framework where hang NURBS curves and surfaces up. Manual segmentation and planar sections extraction phase, despite have proved to be a time-consuming operation, it has been revealed as an important interpretation step of refectory geometries and peculiarities (Fig.4).

At the same time, best fitting planes have been generated for the purpose of overcoming irregularities of the internal clay ground of the refectory, obtaining simplified flat surface that will be managed on Rhino3D and subsequently as a parametric object inside the HBIM platform.

3.2 Stratigraphic survey and analysis

The stratigraphic survey of the Staffarda refectory has been planned for the purpose of documenting and registering stratigraphic units of external (cloister side) and internal fronts: this survey has been coupled to the required photographic and metric acquisition, in order generate rectified images (orthophotos) of architectural fronts to use them as a basis for a detailed map of units and their edges drawn on CAD. Then, stratigraphic analysis of the refectory has been performed detecting and classifying units using architectural element (EA), masonry stratigraphic units (USM) and render stratigraphic units (USR). Moreover, internal pillars and groined vaults have been subdivided and catalogued because they are strictly linked to the internal wall stratigraphy (Fig.5).

The interpretation step has been carried out by using Harris’ Matrix, or stratigraphic diagram, in order to establish physical relations among units through connection lines. This interpretation has been refined and crossed with precise external historical information, such as historical drawings of the refectory as well as a typological chronology of architectural elements (Fig.5).

Finally, seven chronological periods have been detected, from about 1160 to 1925, from the early construction site of the refectory (related to a block of suspended round arches) to the principal construction period of 1180-1220 (main brick masonry, openings and architectural elements related to the first ceiling, probably composed by a wooden trussed roof supported by diaphragm stone arches). Main changes are referred to events after the Staffarda Battle in 1690 (characterized by the attacks on behalf of the French armies), especially concerning the removal of external buttresses and gallery in favour of construction site of the first floor and the new vaulted system with the related T-shape pillars replacing the old ceiling (Beltramo S., 2010; 2016).

4. NURBS 3D MODELLING

The 3D modelling of the Staffarda refectory has been performed by using Rhino3D software and NURBS (Non-Uniform Rational Basis-Splines) curves and surfaces for the purpose of reaching a good level of detail concerning complexes geometries and features of the building. This decision has been taken especially since actual BIM platforms, including open source solutions as FreeCAD, are affected by modelling limitations, based essentially on predefined libraries of theoretical architectural elements as well as the simplified parametric modelling starting from geometric primitives composing main volumes of architectural elements. So, this modelling choice can be adapted for the refectory as well as Cultural Heritage assets purposes, because their features and peculiarities can be hardly represented by using predefined libraries (Adami et al., 2017; Diara et al., 2019; Giannattasio et al., 2019).

However, NURBS surfaces and objects aren’t parametric models and for this reason they cannot be directly implemented inside a BIM platform, but, as we will see, there are different solutions for the purpose of parametrize NURBS models (Oreni et al., 2014; Diara et al., 2019), allowing to modify construction parameters of 3D objects, according to BIM modelling purposes.

4.1 Modelling the refectory

Then, NURBS modelling of the refectory started from the imported planar sections and best fitting planes (on Rhino3D) in order to interpolate NURBS curves and surfaces directly on sections and planes (Fig.6). The first step was the comprehension of geometric primitives of architectural elements of the refectory, and this procedure has
been performed manually interpreting geometrical shapes from imported planar sections, formerly subsampled into clean sections concerning individual architectural components of the building. NURBS curves have been therefore interpolated and drawn on sections in order to obtain a simplified wired profile of geometries: acting in this way the whole wired profile of the refectory has been created.

Figure 6. NURBS modelling strategy: from planar sections (a) to simplified wired profile on which surfaces have been modelled (b) to final model (c).

After the comprehension of geometric primitives by creating wired profiles, the modelling of architectural elements has been performed by using and merging principally main surfaces tool inside Rhinoceros: extrusion as solid, loft, sweep and patch. In this regard, internal subdivisions of surfaces (density of curves inside the surface) have been set depending on architectural components complexity, by editing U and V subdivision from 30 to 90. In this way the refectory has been modelled, including the ground, pillars, walls, openings (doors, single-lancet windows, squared windows), anchors, arches, beams, buttresses, columns, stairs and the vaulted ceiling (Fig. 7).

Moreover, the refectory model has been classified by using Grade Of Generation (GOG) classification system introduced by Banfi F. (Banfi F., 2016; 2017). GOG, based on 10 points list, evaluates the adopted 3D modelling tools depending also on restitution details: from the simple extrusion (GOG1) to the interpolation of curves and surfaces on a wired profile (GOG9) and on points clouds (GOG10) (Banfi F., 2017). Following this classification, the refectory modelling can be classified by using GOG8 (usage of loft and sweep tools) and GOG9, reaching a high level of detail.

However, the modelling phase has been affected by a voluntary simplification of some geometrical shapes and architectural elements, and this way of acting has been chosen for the next parametric modelling phase inside FreeCAD, in order to ease the creation of the HBIM conceptual model, and not another reality-based model. Indeed, despite the obtained model of the refectory has a high density of surfaces, shapes as well as details, it hasn’t the thickness parameter due to the presence of NURBS surfaces, so it cannot be integrated inside the FreeCAD BIM platform without performing the required parametrization processes.

4.2 Stratigraphic units modelling

Stratigraphic units have been mapped and individually modelled on the entire NURBS model of the refectory (Fig. 7). This operation started by importing orthophotos on Rhinoceros depending on walls as well as on right coordinates systems and it has continued by drawing stratigraphic units’ edges on orthophotos by using NURBS poly-curves, obtaining a wired profile for each unit. Moreover, the units’ surface has been generated thanks to Patch tool, by editing U and V internal subdivisions of curves from 30 to 60.

5. FREECAD FOSS HBIM PLATFORM

The willingness to test and observe how a BIM solution can adapt its workflow to Cultural Heritage assets brings this project towards new possibilities resulted from FOSS (free and open source) solutions, trying to understand how open BIM software is convenient for the purpose of creating a full operative HBIM platform for buildings archaeology purposes (Logothetis et al., 2017; Diara et al., 2018; Beltramo et al., 2019; Diara et al., 2019). In this sense, FreeCAD software has been used and fully exploited for this project.

Figure 7. Refectory NURBS model (a) also including all stratigraphic units (b)

5.1 Compiling and modifying FreeCAD

FreeCAD is a FOSS CAD and BIM software (developed by using Python and C++) based essentially on dynamic parametric modelling. However, as other FOSS software, FreeCAD needs to be compiled and integrated with necessary libraries and additional features, making it its potential. Indeed, through the big community behind, FreeCAD grows and improves its functionalities and stability, allowing new applications and possibilities.

In this sense, FreeCAD has been customized on different levels, especially in three areas: 1) libraries, macros and workbenches; 2) material database; 3) roles and IFC entities classification.

5.1.1 Libraries, macros and workbenches: Regarding the first group, a library as IfcOpenShell is fundamental for BIM purposes, in fact IfcOpenShell is an open source library that allows managing, importing and exporting the IFC format (Industry Foundation Classes), a necessary requirement for the BIM platform, developed by buildingSMART and widely adopted in the AEC industry and BIM applications, regularised by the International Organization for Standardization (ISO). Different additional workbenches have proved to be fundamental for this project and in general for HBIM purposes: in this regard, BIM workbench has been included because it allows setting up a BIM project by defining characteristics of the site and of the building; DynamicData workbench allows generating Python objects usable as data containers for customizable properties; Defeaturing workbench is an add-on that allows editing features of imported NURBS models; Reporting workbench allows creating and managing custom queries based on SQL language.
5.1.2 Material database: The second group of changes is referred to material database inside FreeCAD, that it has been implemented, through the material editor, with appropriate materials concerning the refectory, because the default database design is for AEC industry and are not suitable for historical architecture.

5.1.3 Roles and IFC entities classification: The last group is related to the modification of roles and classification for IFC entities, especially since FreeCAD, as other BIM software, doesn’t include predefined classification (entities and roles) related to historical buildings, because standard BIM classification is referred to IFC2X3 and IFC4.1 schemas, designed for AEC industry (Scianna et al., 2015; Diara et al., 2018; Beltramo et al., 2019). Since BIM elements and surfaces have to be classified as architectural typologies (Macher et al., 2017), by using FreeCAD and its libraries this classification can be extended and modified including entities related to building archaeology, even if this customization is referred to the local system and fully operative within FreeCAD environment (Fig. 8).

![IFC entities implementation inside FreeCAD](image)

Figure 8. IFC entities implementation inside FreeCAD: from the customized schema (a) to the usable new classification inside FreeCAD (b)

Indeed, by using FreeCAD the IFC roles modification has been performed customizing every python script file related to the entities: for instance, IFC roles of ifcWall entity have been implemented by adding USM – USR as custom roles and sub-types inside the ArchWall.py file according to stratigraphic classification; IFC roles concerning ifcRoof entity have been integrated with roles and sub-types as Vault – Rib Vault – Groin Vault. In this sense, the most important additions on FreeCAD for this project concerning the Staffarda refectory have been ifcUSM; ifcUSR; ifcVault; ifcButtress; ifcPillar; ifcArch (entities not present inside the default IFC schema of FreeCAD). After this modification of python script files custom roles and sub-types appear into the drop-down menu of FreeCAD (Fig. 8) concerning IFC roles, and in this way can be applied to architectural elements as well as stratigraphic units. Then, this step has played a fundamental role inside this project, especially regarding the data and query management.

5.2 NURBS parametrization

The refectory NURBS model has been subsampled (depending on architectural elements) and exported by using STEP format (Standard for the Exchange of Product model data). Then, NURBS shapes and surfaces are objects unusable inside a BIM environment because they are without the editable volumetric and thickness parameters and for this reason needs to be managed manually in order to become parametric objects (Oreni et al., 2014; Banfi F., 2017; Diara et al., 2019).

This procedure has been managed by using different FreeCAD workbenches: Defeatureing workbench has been used in order to remove original features of NURBS objects obtaining optimized surfaces ready to be extruded; the default Part workbench has been adopted for the purpose of using the extrusion command tool for the resulted surfaces, obtaining in this way editable volumetric and thickness parameters; DynamicData workbench has been helpful for the creation of Python “containers” for managing and customizing features, properties and metric data in real time, also using the internal database. Regarding the vaulted system, it has been extruded by calculating approximately the brick thickness (about 28-30 cm), because at the moment of the metric survey the crawl space was off limits. Moreover, the thickness value of stratigraphic units’ surfaces has been managed and set on about 5 mm maximum per units, obtaining an extruded solid for each unit.

![NURBS parametrization](image)

Figure 9. From NURBS objects to informative ones through specific properties and attributes inside FreeCAD

5.3 Refectory HBIM platform

5.3.1 IFC entity and roles attribution: The performed parametrization of NURBS surfaces allows to obtain parametric dynamic objects of architectural elements and stratigraphic units (Fig. 10), ready to be classified as IFC entities for BIM purposes. Despite the standard IFC attribution of the ISO normative – depending on IFC2X3 and IFC4.1 schemas and also depending on the implementation of these schemas on FreeCAD – for this project, as mentioned previously, has been designed customized and ad-hoc classification after the python script file integration related to entities. So, the customized classification has been carried out for the purpose of including inside the HBIM projects particular historical elements, such as architectural elements and stratigraphic units. In this sense, it is referred to the inclusion of these custom IFC roles and sub-types: ifcUSM; ifcUSR; ifcVault; ifcButtress; ifcPillar; ifcArch. This customized classification occurred in FreeCAD, despite unusual and overlooking ISO standards, will become fundamental for the purpose of querying historical and metric data.

![Refectory HBIM platform](image)

Figure 10. Refectory HBIM project: detail of the project tree and attributes on the left and the 3D space with the parametric model.
5.3.2 Description and information of entities: FreeCAD platform, apart from IFC classification, includes different parameters and attributes menu for IFC objects. Indeed, FreeCAD has a description voice fillable by using a text string: this space has been compiled with a brief text description of architectural elements and stratigraphic units; the material voice has been filled after the integration of the default material database with materials concerning the refectory (custom materials selectable from the new database); the standard code voice is referred to an optional identification code (text string) for objects and it has been used in order to integrate identification numbers of the stratigraphic units; tag voice permits to insert an optional text string tag for the object and it has been managed for the purpose of integrating the historical interpretation of the refectory (after the stratigraphic analysis) depending on historical phases and periods (Fig.11).

All these attributes and properties, as the IFC classification, will be deeply explored by managing custom querying operations, through the SQL query language.

6. DATA STORAGE AND QUERY

Semantic and information dimension for HBIM purposes have to be planned in order to spread out the knowledge achieved and this is the principal reason why data shall be investigated through the utilization of internal databases, semantic relations as well as custom querying operations, establishing and allowing a sort of an on-demand comprehension and analysis depending on specific researches. Going deeper the integration of historical information is a mandatory step especially for documentation, management and monitoring operations, and, in this sense, data related to stratigraphic analysis has been fundamental inside this project.

6.1 Semantic information

The semantic dimension inside the HBIM platform has been set, depending on architectural classifications – material definitions – description – identification codes (related to stratigraphic analysis references numbers) – tags (related to historical interpretation based on chronological periods). In addition to these basic information concerning entities, FreeCAD allows, through the related properties menu, the implementation of other kinds of data and attributes, such as Addition, Hi-Res references as well as internal database custom implementation. Indeed, the Addition property field has been set including the picture of the related stratigraphic diagram (Matrix) with phases and periods for each stratigraphic unit (Fig.12), for the purpose of obtaining an immersive analysis on stratigraphy and the referred three-dimensional parametric context.

Moreover, through the Hi-Res property field, detailed photographic references have been included for each stratigraphic unit as well as architectural elements (Fig.13). Regarding external references, inside the platform have been also included iconographic resources, historical pictures as well as bibliographic resources, in order to enrich the documentation value, allowing to carry out comparative analyses on the already performed historical interpretation and validate it.

Figure 12. Inclusion of Matrix (Addition field) for each stratigraphic units’ object: detail of east wall matrix with periods

6.2 SQL queries management

The investigation phase for HBIM projects is a mandatory process in order to exploit the informative and semantic dimension, especially for deep specific analyses. For this project, these fundamental analyses have been performed by using SQL (Structured Query Language) open source query language inside the FreeCAD platform. SQL language has been implemented inside the platform through specific libraries, such as sqlparser in order to unlock and evoke the SQL language into a Python environment as FreeCAD.

Figure 13. Inclusion of detailed photographs for each element and unit linked to Hi-Res property.
Moreover, the additional Reporting Workbench has revealed fundamental for the purpose of managing SQL query operations, that can be carried out in two ways: first method relies essentially on FreeCAD Python console by writing SQL query as input and the console returns the result of the investigation as output (green text below the input); second method is based on SQL statement as input and a result/report as output, generating automatically a datasheet as output report, resulting more user-friendly than the previous method (Fig.14). In this regard, last method could be the most suitable solution in order to pre-compile custom queries and share the results for example into an external database management system (Diara et al., 2018; Bruno et al., 2019).

6.3 Querying the refectory
The architectural elements as well as stratigraphic units of the refectory have been mostly investigated through the analysis of IFC entities, materials, standard codes (referred to reference number of stratigraphic units) and tags (related to chronological periods attribution).

Going deeper, IFC entities have been also interrogated testing the new custom classification referred to the implemented IFC schema inside FreeCAD, including, as we have seen, entities not designed for standard BIM projects such as ifcUSM – ifcUSR as sub-types of ifcWall; ifcVault as sub-type of ifcCone; ifcPillar as sub-type of ifcColum (Fig.15-16).

Indeed, these custom entities and roles resulted available on the drop-down menu of properties and can be associated to specific objects and, acting in this way, Reporting Workbench through the SQL language can investigate them: in fact, SQL queries based on the SELECT syntax – performed by using Python console as well as SQL statement coupled with datasheet reports – can include the new custom classification without generating syntax or content errors (Fig.15-16-17).

In this way, custom queries can be carried out designed exclusively for archaeology as well as building archaeology purposes, creating an ad-hoc dynamic system based on particular historical information database and unconventional methods to collect, document and query it.

7. CONCLUSIONS
The principal aim of this research was to take advantages and benefits of BIM methodology for buildings archaeology purposes through unconventional strategies, for example relying on FOSS solutions, especially since stratigraphic units as well as Cultural Heritage peculiarities have no BIM standard classification and BIM software support only workflow and project linked to AEC industry (Diara et al., 2018; Beltramo et al., 2019; Bruno et al., 2019).

Moreover, BIM software, both commercial and open source, have objective limitations concerning 3D modelling tools, based essentially on predefined architectural libraries that hardly fit with Cultural Heritage domain. This is the reason why this project relied on NURBS modelling by using Rhinoceros software, even if modelling the refectory by simplifying shapes and geometries. In this regard, FreeCAD acted well for the purpose of converting NURBS objects into parametric ones through additional libraries and workbenches.

Finally, analysing the obtained results we can argue that HBIM could be the proper methodology for building archaeology documentation and analysis, allowing to collect and share different kind of data, fundamental for deep analyses as well as...
conservation, restoration and monitoring operations (Beltramo et al., 2019; Bruno et al., 2019; Pocobelli et al., 2019; Trizio et al., 2019). Moreover, in this case FreeCAD – and its open source customizable code – has proved to be fundamental in order to overcome AEC limitations concerning IFC classification based on standard schemas, allowing custom analyses through ad-hoc queries, adapting software and methodology to specific research and not the opposite.

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