A NOVEL AUTOMATED METHOD FOR THE IMPROVEMENT OF PHOTOGRAMMETRIC DTM ACCURACY IN FORESTS

NOVA AUTOMATSKA METODA ZA POBOLJŠANJE TOČNOSTI FOTOGRAFETRIJSKOG DTM-A U ŠUMAMA

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Summary

Accuracy of a Digital Terrain Model (DTM) in a complex forest environment is critical and yet challenging for accurate forest inventory and management, disaster risk analysis, and timber utilization. Reducing elevation errors in photogrammetric DTM (DTM\textsubscript{PHM}), which present the national standard in many countries worldwide, is critical, especially for forested areas. In this paper, a novel automated method to detect the errors and to improve the accuracy of DTM\textsubscript{PHM} for the lowland forest has been presented and evaluated. This study was conducted in the lowland pedunculate oak forest (Pokupsko Basin, Croatia). The DTM\textsubscript{PHM} was created from three-dimensional (3D) vector data collected by aerial stereo-photogrammetry in combination with data collected from existing maps and field surveys. These data still present the national standard for DTM generation in many countries, including Croatia. By combining slope and tangential curvature values of raster DTM\textsubscript{PHM}, the proposed method developed in open source Grass GIS software automatically detected 91 outliers or 3.2% of the total number of source points within the study area. Comparison with a highly accurate LiDAR DTM confirmed the method efficiency. This was especially evident in two out of three observed subset areas where the root mean square error (RMSE) values decreased for 8% in one and 50% in another area after errors elimination. The method could be of great importance to other similar studies for forested areas in countries where the LiDAR data are not available.

KEY WORDS: digital terrain model (DTM), vertical accuracy, LiDAR, lowland forest

INTRODUCTION

UVOD

Accurate and reliable information of terrain surface, commonly represented using a Digital Terrain Model (DTM), is of a great importance to various environmental disciplines (Nelson \textit{et al.}, 2009). In forestry, DTMs are commonly used in forest inventory (Rahlf \textit{et al.}, 2015; Puliti \textit{et al.}, 2017, Balenović \textit{et al.}, 2017), in hydrological modelling (Furze \textit{et al.}, 2017), in disaster risk analysis (Ristić \textit{et al.}, 2017), and in various forestry operations including forest road network planning and design (Grigolato \textit{et al.}, 2017; Çalışkan and Karahalil, 2017a), timber utilization and harvesting (Çalışkan and Karahalil, 2017b; Đuka \textit{et al.}, 2017;...
2017; Talbot et al., 2017), as well as environmental aspect of harvesting technologies (Cambi et al., 2018; Salmivaara et al., 2018). However, accurate terrain modelling, either using terrestrial or remote sensing methods in the complex forest environment, is challenging as it often includes elevation errors that are hard to detect. Labour-intensive and time-consuming terrestrial surveys are difficult to obtain due to complex forest structure that often blocks satellite signals to Global Navigation Satellite Systems (GNSS) receivers or interrupts measurements with total stations. With the development of remote sensing technology, however, the collection of terrain information has become more practical and more feasible. The airborne Light Detection and Ranging (LiDAR) technology nowadays presents the most prominent and effective remote sensing method for DTM generation in complex forested areas (Gill et al., 2013; Stereńczak et al., 2016). Although many countries are capable of conducting nation-wide airborne LiDAR campaigns to produce DTMs, a comparatively large number of countries worldwide (e.g. European countries such as Croatia, Greece, Hungary, Slovakia, etc.) still rely on photogrammetrically-derived terrain data. In these countries, photogrammetrically-derived terrain data still present the national standard for DTMs (Höhle and Potuckova, 2011). However, only a limited number of studies have evaluated the accuracy of photogrammetrically derived DTM (DTM_{PHM}) in forested areas either from aerial (Balenović et al., 2018; DeWitt et al., 2015; Gill et al., 2013) or satellite images (DeWitt et al., 2017; Hu et al., 2016). Studies confirmed a lower accuracy of DTM_{PHM} when compared to LiDAR DTM (DTM_{LiD}), commonly observed through a certain number of outliers (i.e., gross errors). Balenović et al. (2018) conducted a comparative accuracy assessment of DTM_{LiD} and DTM_{PHM} in dense lowland even-aged pedunculate oak forests in Croatia. The authors discovered that the nature of the national digital photogrammetric data (from which DTM was generated) considerably affected the DTM accuracy. After manual detection and elimination of the outliers from photogrammetric data, the accuracy of DTM_{PHM} was notably improved. Unlike the studies related to the accuracy of DTM_{PHM}, there are several studies related to DTM errors detection and accuracy improvements of free global DTMs (Tran et al., 2014) or DTMs derived from aerial (Schultz et al., 1999; López, 2002) and satellite data (Felicísimo et al., 2004).

To the best of the authors’ knowledge, no previous studies have considered the automatization of error detection and improvements of DTM_{PHM} in forested areas. The main aim of this study is to develop an automatic method for detection and elimination of elevation errors in photogrammetrically derived terrain data, and consequently to improve the vertical accuracy of DTM_{PHM} for lowland pedunculated oak forests in Croatia. The idea is to develop a fast, simple and efficient method, which will be applicable for this and other similar forested areas worldwide. This paper presents the continuation of the previous research conducted by Balenović et al. (2018), which confirmed the improvements of DTM_{PHM} accuracy after manual detection and elimination of the outliers.

**MATERIALS AND METHODS**

**MATERIJAL I METODE**

**Study area – Područje istraživanja**

The study area is the management unit Jastrebarski lugovi, located in the Pokupsko Basin forest complex. The area covers 2,005.74 ha of the state-owned productive lowland forests, located in Central Croatia, approximately 35 km southwest of Zagreb (Figure 1). Even-aged pedunculate oak (Quercus robur L.) forests of different age classes ranging from 0 to 160 years are the main forest type and cover approximately 77% of the study area. The oak stands are commonly mixed with other tree species such as common hornbeam (Carpinus betulus L.), black alder (Alnus glutinosa (L.) Geartn.), and narrow-leaved ash (Fraxinus angustifolia Vahl.). The rest of the study area (≈20%) is covered by even-aged narrow-leaved ash forests aged between 0 to 80 years. The ash stands are predominantly homogeneous and occasionally mixed with other tree species such as black...
alder and pedunculate oak. The understory species, such as common hazel (*Corylus avellana* L.) and common hawthorn (*Crataegus monogyna* Jacq.), are present in the entire area. The terrain is flat with ground elevations ranging from 105 to 121 m a.s.l. For more details on forest stands and site characteristics of the study area, please refer to the papers of Ostrogović Sever et al. (2017) and Balenović et al. (2018).

### Photogrammetric Digital Terrain Model (DTM<sub>PHM</sub>) – Fotogrametrijski digitalni model reljefa (DTM<sub>PHM</sub>)

To create the DTM<sub>PHM</sub> for the study area, an official digital terrain data for the territory of Croatia were used. The data consisted of three-dimensional vector data including line data (breaklines, formlines) and point data (spot heights, mass points) (Figure 2). The data were primarily obtained from manual stereo photogrammetric methods using aerial images with the ground sampling distance of ≤30 cm.

DTM<sub>PHM</sub> in the raster format with a spatial resolution of 0.5 m was generated from the national digital terrain data with the triangulated irregular network (TIN) and linear interpolation techniques using the Global Mapper software (ver. 19, Blue Marble Geographics, Hallowell, Maine, USA). A detailed description of each vector data type as well as of the vertical accuracy assessment of DTM<sub>PHM</sub> for the present study area can be found in Balenović et al. (2018).

### LiDAR Digital Terrain Model (DTM<sub>LiD</sub>) – LiDAR-ski digitalni model reljefa (DTM<sub>LiD</sub>)

The DTM<sub>LiD</sub> was provided by the Hrvatske Vode Ltd. (Zagreb, Croatia) in the raster format with a spatial resolution of 0.5 m. The LiDAR data were collected with an Optech ALTM Gemini 167 laser scanner under the leaf-on conditions in several surveys between 29 June and 25 August 2016. The resulting point densities considering ‘all returns’ and the ‘last return’ were 13.64 points·m<sup>–2</sup> and 9.71 points·m<sup>–2</sup> respectively.

#### Table 1 Airborne LiDAR sensor and data characteristics.

| Parameter – Parametar | Specification – Specifikacija |
|------------------------|--------------------------------|
| Platform – Platforma   | Pilatus P6                     |
| Sensor – Senzor        | Optech ALTM Gemini 167         |
| Flying date – Datum snimanja | 29.6.2016. – 25.8.2016. |
| Flying height – Visina leta (m) | 720            |
| Flying speed – Brzina leta (m·s<sup>–1</sup>) | 51              |
| Pulse repetition frequency – Frekvencija ponavljanja pulsa (kHz) | 125             |
| Scan frequency – Frekvencija skeniranja (Hz) | 40               |
| Field of view – Kut skeniranja (°) | ±25             |
| Swath width – Širina skeniranja (m) | 671               |
| Max No of returns per pulse – Max broj povrata po pulsu | 4               |
| Point density; all returns / last only | 13.64 / 9.71 |
| Gustoča točaka: svi povrati / samo zadnji povrat (points · m<sup>–2</sup> – točka · m<sup>–2</sup>) | 0.15 / 0.08<sup>1</sup> |
| Horizontal / vertical accuracy – Horizontalna / vertikalna točnost (m) | 0.14 / 0.09 / 0.10<sup>2</sup> |

<sup>1</sup> According to data provider, accuracies were based on a considerably larger area (which included forested and non-forested areas) than the one considered in this study.

<sup>2</sup> According to study of Balenović et al. (2018): the vertical accuracy of a raster DEM<sub>LiD</sub> with a spatial resolution of 0.5 m was evaluated over the part of the present study area (991.50 ha) using 22 ground checkpoints.
points m\(^{-2}\), respectively. Characteristics of LiDAR sensor, data processing, and the accuracy of DTM\(_{LD}\) are presented in Table 1.

**Method for an automatic detection of elevation errors in DTM\(_{PHM}\) – Metoda za automatsku detekciju visinskih pogrešaka u DTMPHM**

An automatic method for elevation errors detection in DTMPHM for the lowland forest was developed using Grass GIS software (Figure 3). The recent study of Balenović et al. (2018) revealed that the gross errors (outliers) in DTMPHM were caused by errors in the photogrammetric source data, primarily by the point data (mass and height points) used to generate DTMPHM. Therefore, the presented method in this study focused exclusively on point data, while line data were not analyzed. Line objects representing embankment edges, forest roads, and river basins were excluded from the raster DTMPHM by creating a 25-m buffer area around each feature, which is 50% less than the average distance of measured points for DTM. The slope analysis, performed on the raster DTMPHM, distinguished areas with high slope inclination angles (S) that included both potential error points as well as error-free points of their neighborhood (Figure 4). To extract the error points from DTMPHM, the method was complemented with the tangential curvature analysis (T) (Mitášová and Hofierka, 1993), where the tangential curvature represents the curvature orthogonal to the line of the steepest gradient (Alkhasawneh et al., 2013). The

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**Figure 3** The workflow of an automatic method for detection of elevation errors in DTMPHM.

**Slika 3** Hodogram (tijek radnji) metode za automatsku detekciju visinskih pogrešaka u fotogrametrijskom digitalnom modelu reljefa (DTMPHM).
output values of the analysis are always negative for concave
DTM features and positive for convex DTM features (Figure 4) (Mitášová and Hofierka, 1993). When detecting
error points, it does not matter if the points underestimate
or overestimate the terrain, the absolute value $|T|$ was used
to create the resultant raster. Analogously to the slope
analysis, if the areas with high $|T|$ values ($|T|>0$) are in the
nearest neighbourhood of a spot height or mass point, this
may indicate a gross error at that point.

By combining the slope and tangential curvature using the
expression: $R=|T| \cdot S$, the resultant raster ($R$) was calculated.
From the resultant raster ($R$) the potential error point areas
were selected (5% maximal values of $R$, according to Schultz
et al., 1999) and extracted in a new binary raster $R_s$.

To simplify the raster geometry of selected areas, the two-
step generalisation process (2 pixel expansion followed by
-2.5 pixel shrinking) of the $R_s$ was performed ($R_{s,2nd}$) (Ablam-
eyko and Pridmore, 2012). In the final step, the generalized
$R_{s,2nd}$ raster was vectorized and overlapped with the point
vector data of the original DTM PHM. The error points were
detected and removed from DTM PHM to produce the correc-
ted point data DTM (DTMPHMc). The DTMPHMc and DTMPHM
were generated in the Global Mapper software because the
triangulation process is much faster than in Grass GIS.

Accuracy assessment – Ocjena točnosti
To evaluate the proposed method, a difference raster model
between DTM PHM and DTMLiD as well as between DTM PHMc.
and DTMLiD with a spatial resolution of 0.5 m were created using the Global Mapper software.

The normality test of vertical errors distribution between DTMPHM and DTMLiD based on histograms and normal Q-Q plots revealed the non-normal distribution of vertical errors (Figure 5). Therefore, in addition to standard accuracy measures, robust accuracy measures, suggested by Höhle and Höhle (2009), were used for vertical accuracy assessment of DTMPHM and DTMPHMc. The standard accuracy measures included the maximum positive error (max), maximum negative error (min), mean error (ME), standard deviation (SD) and root mean square error (RMSE), whereas the robust measures included the median or 50% quantile (Q50), normalized median absolute deviation (NMAD), and 68.3% quantile (Q68.3) and 95% quantile (Q95). The equations for all the measures can be found in Höhle and Höhle (2009).

To evaluate the method efficiency in more detail, the accuracy assessment was carried out for the entire study area, as well as for the three smaller rectangular subset areas (700 m × 565 m) (Figure 1). Values of all pixels from the difference raster within the entire area and three subset areas (with the exclusion of pixels within a 25-m buffer around line objects) were used to calculate accuracy measures. All statistical analyses were performed using the R programming language (ver. 3.3.3, R Core Team, Vienna, Austria).

RESULTS WITH DISCUSSION
REZULTATI S DISKUSIJOM

For the entire study area, the method automatically detects 91 error points (outliers) or 3.2% of the total number of source points used to generate DTMPHM (Table 2). This means that, on average, one outlier occurs in the digital terrain source data within each 22.04 ha of the research area (0.05 outliers·ha⁻¹). Using the previously described manual method, Balenović et al. (2018) detected a total of 21 outliers at the same but the somewhat smaller area (991.50 ha). This means that, on average, one outlier was detected within each 47.21 ha (0.02 outliers·ha⁻¹). The greater number of outliers detected and eliminated by the automatic method leads to a considerably greater improvement of the DTMPHM vertical accuracy compared to the one obtained by the manual method, which is especially evident in subset areas 2 and 3 (Figure 1) according to several accuracy measures (Q95, max, SD, RMSE). Furthermore, the considerable decrease of Q50 and max values, as well as unchanged min values after removing the outliers indicate that only positive error points occur in DTMPHM when compared to reference DTMLiD.

The improvements in accuracy are also evident in Figure 6 and Figure 7. Namely, Figure 6a-c and Figure 7a,b show no change because error points are not detected in subset area 1. Conversely, Figure 6d-e and Figure 6g-i, as well as Figure 7c-f show the improvement in accuracy of DTMPHMc compared to DTMPHM for subset areas 2 and 3. Detected points are very noticeable in the difference raster in Figure 6d and Figure 6g while the justification for their removal is confirmed by vertical profile through exemplary areas (Figure 6f and Figure 6i). Furthermore, the elimination of outliers consequently leads to an improved coefficient of correlation (r) between DTMPHM and DTMLiD elevation values compared to r obtained between DTMPHM and DTMLiD elevation values (Figure 7).
Direct comparison with other similar studies (Felicísimo et al., 2004; López, 2002; Schultz et al., 1999; Tran et al., 2014) is hindered due to a number of differences between input data, DTM resolutions, land cover type, and validation data. Yet, the methods presented in the previous studies improved the DTM accuracy, i.e. decreased the RMSE for 2% (Felicísimo et al., 2004), >2% (López, 2002), 21% (Tran et al., 2014), and 27% (Schultz et al., 1999). This study suggests an accuracy improvement as the RMSE values decreased by 8% and 50% in the two subset areas for which the validation was conducted. Considering the fact that, unlike our study, neither of the mentioned studies was dealing with the improvement of DTM accuracy in forested areas, the obtained results of this research add to the significance of the research. Moreover, to the best of our knowledge, this is the first study that proposes the automatic method for the vertical accuracy improvement of the DTM in forests.

Knowing the structure and characteristics of photogrammetrically derived DTMs (e.g. low density of points, lower accuracy) in forested areas of Croatia, one should keep in
Figure 7. DTMPHM and DTMPHMc elevations in comparison with DTMLiD elevations for: (a), (b) subset area 1; (c), (d) subset area 2; (e), (f) subset area 3; (g), (h) entire study area.

Slika 7. Usporedba visinskih vrijednosti dobivenih iz DTMPHM i DTMPHMc s visinskim vrijednostima dobivenim iz DTMLiD za: podpodručje 1 (a, b), podpodručje 2 (c, d), podpodručje 3 (e, f) i čitavo područje istraživanja (g, h).
mind that the applicability of this method is limited to mostly flat terrains. In other words, the method might not perform well for mountainous areas characterized by steep terrain; not because of the method inefficiency but rather due to a very low density of photogrammetric data in such forested areas. However, the method is expected to be highly applicable to forests with mostly flat terrain (slopes <10°), similar to those that occupy ≈27% of a total forest area in Croatia (Ministry of Agriculture, 2016).

**CONCLUSIONS**

This research presented a novel automated method for detection and removal of elevation errors in a photogrammetric DTM for forest areas characterized by flat terrain. By combining slope and tangential curvature values of raster DTM in the open source Grass GIS software, the method automatically detected and removed the elevation errors in a practical, fast and costless fashion. The comparison with the highly accurate LiDAR DTM confirmed that the presented method successfully detected and eliminated the elevation errors from photogrammetrically derived DTM in a dense lowland forest, and consequently greatly improved its vertical accuracy. Although the application of the method is limited to mostly flat terrain, the findings of this research could be of immense importance to other studies that consider similar forested areas particularly in the countries where the highly accurate LiDAR DTM are still unavailable.

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**SAŽETAK**

Digitalni model reljefa (DTM, engl. *Digital Terrain Model*) ima široku i važnu primjenu u mnogim djelatnostima, uključujući i šumarstvo. Međutim, precizno modeliranje terena, odnosno izrada DTM-a u šumama, bilo korištenjem terenskih metoda ili metoda daljinskih istraživanja, izazovao je i vrlo zahtjevan zadatak. U većini razvijenih zemalja svijeta, zračno lasersko skeniranje (ALS, engl. *Airborne Laser Scanning*) bazirano na LiDAR (engl. *Light Detection and Ranging*) tehnologiji trenutno predstavlja glavnu metodu za izradu DTM-a. Usljed mogućnosti laserskog zračenja na zemlju glavnu metodu za izradu DTM-a. Uslijed mogućnosti laserskog zračenja na zemlju glavnu metodu za izradu DTM-a.

Međutim, u mnogim zemljama svijeta, izrada DTM-a u šumama, bilo korištenjem terenskih metoda ili metoda daljinskih istraživanja, izazovao je i vrlo zahtjevan zadatak. U većini razvijenih zemalja svijeta, zračno lasersko skeniranje (ALS, engl. *Airborne Laser Scanning*) bazirano na LiDAR (engl. *Light Detection and Ranging*) tehnologiji trenutno predstavlja glavnu metodu za izradu DTM-a. Usljed mogućnosti laserskog zračenja na zemlju glavnu metodu za izradu DTM-a.

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Stoga je glavni cilj ovoga rada razviti automatsku metodologiju za detekciju i eliminaciju vertikalnih pogrešaka, a zato u cilju bolje vrijednosti terena u šumskim područjima. U svim slučajevima, DTM temeljen na stereo-fotogrametrijskoj izmjeri aerosnimaka potpomognut s terenskim podacima najčešće predstavlja glavni izvor informacija za izradu DTM-a. Poznato je da tako izrađen DTM u šumskim predjelima ima manju točnost od DTM-a dobivenog na temelju zračnog laserskog skeniranja zbog pokrivenosti terena vegetacijom. Također, izrada DTM-a na temelju terenskih podataka ima manju točnost od DTM-a dobivenog na zračnom laserskom skeniranju. Međutim, u mnogim zemljama svijeta, uključujući i Hrvatsku, zračno lasersko skeniranje nije u potpunosti provedeno, tj. samo su manji dijelovi zemlje pokriveni s podacima zračnog laserskog skeniranja.

**SAŽETAK**

Digitalni model reljefa (DTM, engl. *Digital Terrain Model*) ima široku i važnu primjenu u mnogim djelatnostima, uključujući i šumarstvo. Međutim, precizno modeliranje terena, odnosno izrada DTM-a u šumama, bilo korištenjem terenskih metoda ili metoda daljinskih istraživanja, izazovao je i vrlo zahtjevan zadatak. U većini razvijenih zemalja svijeta, zračno lasersko skeniranje (ALS, engl. *Airborne Laser Scanning*) bazirano na LiDAR (engl. *Light Detection and Ranging*) tehnologiji trenutno predstavlja glavnu metodu za izradu DTM-a. Uslijed mogućnosti laserskog zračenja na zemlju glavnu metodu za izradu DTM-a.

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Fotogrametrijski DTM (DTM_{PHM}) je izrađen iz digitalnih vektorskih podataka terena (prijelomnice, linije oblika, markantne točke terena i pravokutne mreže visinskih točaka) nabavljenih iz Državne geodetske uprave (Slika 2). Ti podaci predstavljaju nacionalni standard i jedini su dostupni podaci za izradu DTM-a u Hrvatskoj. Detaljan opis vektorskih podataka dan je u radu Balenović i dr. (2018). Prvo je iz digitalnih terenskih podataka izrađena nepravilna mreža trokuta, koja je potom linearnom interpolacijom pretvorena u rasterski DTM_{PHM} prostorne rezolucije (veličine piksela) 0,5 m. Automatska metoda za detekciju i eliminaciju vertikalnih pogrešaka fotogrametrijskog DTM-a u nizinskim šumskim područjima razvijena je u slobodnom programskom paketu Grass GIS (Slika 3). Kombinacijom vrijednosti nagiba i tangencijalne zakrivljenosti terena rasterskog DTM_{PHM} (Slika 4), automatskom metodom su detektirane 91 grube greške (engl. outliers). Drugim riječima, utvrđeno je da 91 točkasti vektorski objekt pogrešno prikazuje stvarnu visinu terena. Navedeni broj čini 3,2 % od ukupnog broja točkastih objekata korištenih za izradu DTM_{PHM}-a. Nakon eliminacije detektiranih pogrešaka izrađen je novi, korigirani fotogrametrijski DTM (DTM_{PHMc}).

Za ocjenu vertikalne točnosti izvornog (DTM_{PHM}) i korigiranog DTM-a (DTM_{PHMc}) korišten je visoko precizni DTM dobiven zračnim laserskim skeniranjem (DTM_{LiD}). U tu svrhu su izrađeni rasteri razlika između DTM_{PHM} i DTM_{LiD} te između DTM_{PHMc} i DTM_{LiD}. Kako je preliminarnom analizom utvrđeno da vertikalne razlike između DTM_{PHM} i DTM_{LiD} nisu normalno distribuirane (Slika 5), za ocjenu točnosti su uz normalne mjere točnosti korištene i tzv. robusne mjere točnosti (Tablica 2). Dobiene rezultati ukazuju na poboljšanje vertikalne točnosti fotogrametrijskog DTM-a primjenom razvijene automatske metode. To je posebice uočljivo na podpodručjima 2 i 3 (Slika 6 i 7) u kojima se nakon uklanjanja detektiranih grešaka, korijen srednje kvadratne pogreške (RMSE, engl. root mean square error) smanjio za 8 % odnosno 50 % (Tablica 2).

Na temelju dobivenih rezultata i usporedbi s DTM_{LiD}, može se zaključiti da predložena metoda uspješno detektira i eliminiira vertikalne pogreške fotogrametrijskog DTM-a u nizinskim šumskim područjima, te slijedom toga poboljšava njegovu vertikalnu točnost.

**KLJUČNE RIJEČI:** digitalni model reljefa (DTM), vertikalna točnost, LiDAR, nizinska šumski područja