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R. S. Ward

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Hopf solitons on compact manifolds

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Hopf solitons in the Skyrme-Faddeev system on $\mathbb{R}^3$ typically have a complicated structure, in particular when the Hopf number $Q$ is large. By contrast, if we work on a compact 3-manifold $M$, and the energy functional consists only of the Skyrme term (the strong-coupling limit), then the picture simplifies. There is a topological lower bound $E \geq Q$ on the energy, and the local minima of $E$ can look simple even for large $Q$. The aim here is to describe and investigate some of these solutions, when $M$ is $S^3$, $T^3$, or $S^2 \times S^1$. In addition, we review the more elementary baby-Skyrme system, with $M$ being $S^2$ or $T^2$. Published by AIP Publishing. https://doi.org/10.1063/1.5006891

I. INTRODUCTION

The Skyrme-Faddeev system$^{1,6,12}$ involves maps $\psi : \mathbb{R}^3 \to S^2$ satisfying a suitable boundary condition, with $\psi$ being characterized topologically by its Hopf number $Q \in \mathbb{Z}$. To get a stable static soliton (hopfion), we need an energy functional $E[\psi]$ which has the effect of fixing the soliton size. In the Skyrme-Faddeev case, the energy is $E = E_2 + E_4$, where

$$E_2 = \frac{1}{32\pi^2} \int |d\psi|^2 \, d^3x, \quad E_4 = \frac{1}{128\pi^2} \int |\psi^* \omega|^2 \, d^3x. \quad (1)$$

Here $\omega$ is the area element on $S^2$. The effect of the term $E_2$ is to prevent the soliton from shrinking, and the effect of $E_2$ is to prevent it from expanding. However, a more elementary way to prevent expansion is simply to take the space $M$ to be compact and to use $E = E_4$. In this compact situation, the usual $E_2 = \int |d\psi|^2$ term in the energy is not needed, and stable solitons can exist without it; in a sense the picture is simpler in this “strong-coupling limit.” The aim in this note is to investigate some of the features of this simpler system.

So we are dealing with maps $\psi : M \to S^2$ where $M$ is compact, and the energy functional is $E = E_4$. If $M$ is 3-dimensional, then with appropriate topological conditions and normalization, one has$^{13}$ a topological lower bound $E \geq Q$; more details of this will be given below. Our main question here is how close $E$ can get to its lower bound, for various manifolds $M$ and various values of the Hopf charge $Q$. This will be investigated in what follows, for the three cases $M = S^3$, $M = T^3$, and $M = S^2 \times S^1$.

There is another aspect to this story. The lowest-energy configurations of topological solitons with large topological charge $Q \gg 1$ typically have a rather regular structure. For example, consider the basic Skyrme model, involving a field $\psi : \mathbb{R}^3 \to SU(2)$, where the map $\psi$ extended to $\mathbb{R}^3 \cup \{\infty\}$ has the degree $Q$. The static energy, suitably normalized, satisfies the Faddeev bound $E \geq Q$. For large $Q$, the energy functional has many local minima, but the lowest of these is believed to resemble a chunk of Skyrme crystal, with $E/Q \approx 1.036$.$^{12}$ The linear behaviour $E \sim Q$ is compatible with a triply periodic lattice-like structure, and that is indeed what one gets.

The case of Hopf solitons in the Skyrme-Faddeev system (1) on $\mathbb{R}^3$ is, however, very different. Here the dependence of $E$ on $Q$ is sublinear, namely, $E \sim Q^{3/4}$. More precisely, there is a topological lower bound $E \geq CQ^{3/4}$, where $C = 2^{-3/2} \times 3^{3/8}$, and it is conjectured that the stronger bound with

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with equality if and only if $B$ takes the constant value $B = \pm 4\pi Q/V$. In fact, every critical point of the functional $E[\psi]$ has $B$ constant.

The case $M = S^2$ is rather simple and has been noted before. Here, the metric on $M$ is taken to be that of the standard unit 2-sphere. Let $z \in \mathbb{C}$ be a stereographic coordinate on the source space $M$, and $w \in \mathbb{C}$, a stereographic coordinate on the target space. So the field is described by a function $w = w(z, \bar{z})$. Then, for any positive integer $Q$, the bound (3) is saturated by

$$w(z, \bar{z}) = z^Q / |z|^{Q-1}.$$  

Thus, (4) is a critical point of the functional $E$, in fact a global minimum in the topological sector labeled by $Q$. Notice that the corresponding field $\psi$ is continuous, although it is not smooth if $Q > 1$.

However, it is smooth on the complement of the two points $z = 0, \infty$, with bounded partial derivatives, and that is enough for the analysis to work. This rotationally symmetric but non-smooth solution has a counterpart for Hopf solitons, as we shall see below.

Let us turn now to the case of a flat torus $M = T^2$, with the Euclidean coordinates $(x, y)$ each having period $2\pi$. We use the unit vector $\phi^i = (\phi^1, \phi^2, \phi^3)$ with $\phi^i \phi_i = 1$ to coordinatize the target sphere $S^2$. Then there is a particularly simple solution with $Q = 2$, which has constant energy density and saturates the bound (3), namely,

$$\phi^1 = 1 - \frac{2}{\pi} |x - \pi|, \quad \phi^2 = \text{sgn}(x - \pi) f(x) \cos(y), \quad \phi^3 = f(x) \sin(y),$$  

where $f(x) = \sqrt{1 - \phi^1(x)^2}$. This is continuous and smooth except on the lines $x = 0, \pi$. By contrast, a $Q = 1$ solution appears not to exist. In fact, a $Q = 1$ field, if allowed to “flow down” the energy gradient in a numerical simulation, spreads out and approaches a discontinuous configuration. This is analogous to the situation for harmonic maps from $T^2$ to $S^2$, where the energy is $E_2 = \int d\psi |\psi|^2 \, d^2x$. There also no $Q = 1$ solution exists. In this case, however, the field “spikes” rather than spreading out.

III. HOPFIONS ON $S^2 \times S^1$

This section is concerned with the case $M = S^2 \times S^1$ and will describe a highly symmetric critical point of the functional $E = E_4$. To begin with, however, we examine the topological lower bound
$E \geq Q$ for general compact $M$. The proof summarized here is a restatement of the one in Ref. 13.

The pullback $F = \psi^* \omega$ is a closed 2-form on $M$, and we say that $\psi$ is algebraically inessential if $F$ is exact. If we represent $\psi$ by the unit vector field $\phi'$ as before, then $\omega = \epsilon_{jk} \phi' d\phi^k d\phi^j$. Let $x^\mu = (x^1, x^2, x^3)$ denote local coordinates on $M$, and $g_{\mu\nu}$ its metric, with determinant $g$. The energy is defined to be

$$E = k \int |\psi^* \omega|^2 \sqrt{g} \, d^3 x = k \int F_{\mu\nu} F^{\mu\nu} \sqrt{g} \, d^3 x,$$

where $F_{\mu\nu} = \epsilon_{jk} \phi' \partial_\mu \phi^j \partial_\nu \phi^k$ and where $k$ is some normalization constant. If we take $\psi$ to be algebraically inessential, then there exists a 1-form $A_\mu$ such that $F_{\mu\nu} = \partial_\mu A_\nu - \partial_\nu A_\mu$. Defining $B^\mu = \frac{1}{2} g^{-1/2} \epsilon^{\mu\alpha\beta} F_{\alpha\beta}$, we can write the Hopf number $Q$ as

$$Q = \frac{1}{16\pi^2} \int_M B^\mu A_\mu \, d^3 x.$$

Note that $E = 2k \int B_\mu B^\mu \sqrt{g} \, d^3 x$. We may take $A_\mu$ to be divergence-free, namely, $\nabla^\mu A_\mu = 0$, where $\nabla_\mu$ is the Levi-Civita connection on $M$. From Stokes’s theorem we have

$$\int_M \nabla^\mu (A_\nu F_{\mu\nu}) \sqrt{g} \, d^3 x = 0,$$

and expanding this gives

$$E = 2k \int_M A^\mu (\Delta A_\mu) \sqrt{g} \, d^3 x,$$

where $\Delta$ is the Hodge-Laplace operator

$$\Delta A_\mu = -\nabla^\nu \nabla_\nu A_\mu + R_{\mu\nu} A^\nu.$$

Now let $\lambda$ be the smallest positive eigenvalue of $\Delta$ acting on divergence-free 1-forms on $M$. Then we get the bound

$$E \geq 2k \lambda \int_M A^\mu A_\mu \sqrt{g} \, d^3 x.$$

Combining this inequality with the Cauchy-Schwarz inequality $||A|| ||B|| \geq \langle A, B \rangle$ gives

$$E \geq 32\pi^2 k \sqrt{\lambda} |Q|;$$

therefore, choosing the normalization factor $k = 1/(32\pi^2 \sqrt{\lambda})$ yields

$$E \geq |Q|.$$

(7)

A final point to note is that rescaling the metric of $M$ by a constant simply rescales $\lambda$ in such a way that the normalized energy $E$ is unchanged. So in each of the examples which follows, there is no loss of generality in fixing the overall scale of $M$.

We turn now to the specific case $M = S^2 \times S^1$. We may fix the scale by taking the length of the $S^1$ to be $2\pi$, and then the radius $L$ of the $S^2$ remains a free dimensionless parameter. To get the appropriate normalization of $E$, we need the eigenvalue $\lambda$ as described earlier. In effect, $\lambda$ is the smallest positive eigenvalue of the Hodge-Laplace operator $\Delta$ acting on divergence-free 1-forms on the $S^2$ with radius $L$, which is

$$\lambda = 2/L^2,$$

and so we set $k = L/(32\pi^2 \sqrt{2})$. With this normalization, we then have the bound $E \geq Q$.

Note that this is only valid for algebraically inessential maps $\psi$. We may view the situation as follows. The $S^1$ factor in $M$ allows the existence of vortices. Vortices in the Skyrme-Faddeev system have been studied previously, in particular the evolution of single vortices and bunches of vortices. For a field to be algebraically inessential, however, we need the net vortex number to be zero. So in the case of interest here, what we need is an equal number of vortices and antivortices on $S^2$.

The simplest example of such a configuration is to have a vortex at one point on the sphere and an antivortex at the antipodal point. Let us use standard spherical coordinates $(\theta, \varphi)$ for $S^2$ and $\chi \in [0, 2\pi]$ for $S^1$. This simplest vortex-antivortex field has the form

$$\phi' = (\sin(f) \cos(\varphi \pm \chi), \sin(f) \sin(\varphi \pm \chi), \cos(f)),$$

(8)
where the profile function \( f = f(\theta) \) satisfies the boundary conditions \( f(0) = 0, f(\pi/2) = \pi, \) and \( f(\pi) = 2\pi \) and where the upper or lower sign is chosen according to whether \( \theta \in [0, \pi/2] \) or \( \theta \in [\pi/2, \pi] \). This field is continuous, is algebraically inessential, and has Hopf number \( Q = 2 \). It has two rotational symmetries, generated by \( \partial_{\varphi} \) and \( \partial_{\chi} \). Substituting (8) into the energy (6) gives a functional \( \tilde{E}[f] \) which is easily minimized numerically, for any given value of \( L \). In particular, we find that the lowest energy is attained when \( L \approx 1.51 \), and it is \( E \approx 1.0670 \times 2 \), about 7% above the topological lower bound. The energy density \(|F|^2\) is peaked at \( \theta = 0, \pi \), in other words at the location of the vortices.

One may generalize (8) by replacing \( \varphi \pm \chi \) with \( m\varphi \pm n\chi \), where \( m \) and \( n \) are integers, but in fact this gives nothing new. For example, if \( n > 1 \), we can re-define \( n\chi \) as \( \chi \) and rescale the whole space to restore the period of \( \chi \) to \( 2\pi \), thereby effectively rescaling \( n \) to unity. So we get a solution with arbitrary (even) Hopf number \( Q \), having \( E_{4\text{-}E} \)-energy 7% above its topological lower bound. It remains an open question whether this doubly symmetric solution is stable under non-symmetric perturbations and whether it is the minimal-energy in its topological sector. But because its energy remains close to the lower bound, both of these conjectures would seem to be plausible.

**IV. HOPFIONS ON \( T^3 \)**

This section deals with maps \( \psi: T^3 \to S^2 \), where \( T^3 \) is the cubic 3-torus with coordinates \( x, y, z \), each having period \( 2\pi \). So the relevant eigenvalue \( \lambda \) equals 1, and therefore we take \( \kappa = 1/(32\pi^2) \) in (6) to give the bound \( E \geq |Q| \) for algebraically inessential maps.

This case was investigated using a full 3-dimensional numerical procedure, brief details of which are as follows. The \( xyz \) space is modelled by an \( N^3 \) lattice, with the unit vector \( \phi_j \) being defined at each lattice site and with periodic boundary conditions. The image of a plaquette (say in \( xy \)) is a spherical quadrilateral on the target space \( S^2 \), and the spherical area of this image represents \( F_{xy} \). Then \( E \approx E_4 \) is modelled by summing the squares of these areas over all plaquettes, in all three directions. A conjugate-gradient code then minimizes \( E \). However, this procedure on its own is rather unstable: A field can easily become “discontinuous” as it flows down the energy gradient. To avoid this, one may add an \( E_2 \) term, as in (1), with this term being multiplied by a parameter \( \beta \) and then gradually phase out \( \beta \) so as to leave the pure case \( \beta = 0 \).

Applying this procedure to an initial \( Q = 1 \) field reveals the same behaviour as in the \( T^2 \) case described previously: The hopfion spreads out and approaches a discontinuous configuration. An initial \( Q = 2 \) field, however, relaxes to a continuous solution, which is depicted in the left-hand panel of Fig. 1. The picture shows the curve where \( \phi^3 = 1 \), which has two components (coloured dark), and the curve \( \phi^1 + \phi^3 = \sqrt{2} \), which also has two components (coloured light). The linking number of \( Q = 2 \) is clear. The energy density \( \mathcal{E} = \kappa F_{\mu\nu}F^{\mu\nu} \) is almost (but not quite) constant across \( T^3 \), and \( E/Q \approx 1.040 \) is 4% above its topological minimum. The diagram indicates that the solution may be

![Fig. 1. Q = 2 and Q = 4 solutions on T^3.](image-url)
viewed as a vortex-antivortex pair in the $x$-direction. It has zero net vortex number in each of the $x$, $y$, and $z$-directions, as is necessary for it to be algebraically inessential.

It is straightforward to see what happens if one changes the periods by scaling $x$, $y$, and/or $z$. As was pointed out previously, an overall scaling has no essential effect. So let us consider allowing the periods to differ from one another, with the largest period remaining $2\pi$. Then the bound $E \geq Q$ remains unchanged. Thus suppose the $x$-period remains $2\pi$, while the $y$-period becomes $2\pi(1 - \varepsilon_y)$ with $\varepsilon_y \geq 0$ and the $z$-period becomes $2\pi(1 - \varepsilon_z)$ with $\varepsilon_z \geq 0$. The energy (6) is $E = E_x + E_y + E_z$, where

$$E_x = 2\kappa \int (F_{xy})^2 \, d^3x$$

and similarly for $E_y$ and $E_z$. Then scaling the field to change the $y$- and $z$-periods changes the energy by

$$\delta E = (E_x - E_y - E_z)\varepsilon_y + (E_x - E_z - E_y)\varepsilon_z.$$ 

Therefore, as long as $E_x > E_y + E_z$ and cyclic, any such scaling will increase the energy. Now the numerical solution described earlier has

$$(E_x, E_y, E_z) = (0.906, 0.587, 0.587),$$

and so indeed satisfies these inequalities. In other words, we cannot lower the energy by changing the periods.

To obtain higher-charge solutions, it is not enough to simply assemble multiple copies of the $Q = 2$ field described earlier. For example, doubling in each of the $x$, $y$, and $z$-directions produces a configuration with $Q = 16$, but now the periods equal $4\pi$, which changes the normalization factor $\kappa$, with the result that $E/Q$ doubles. In other words, this “multiple-cell” field has an energy which is considerably greater than the topological minimum. Instead, one may begin with (say) a $Q = 4$ initial configuration and allow it to relax numerically, and the result of such a procedure is depicted in the right-hand plot of Fig. 1. Here, we see two vortices and two antivortices, all parallel; the numerically minimized energy satisfies $E/Q \approx 1.122$. Once again, it is an open question but a plausible conjecture, that such parallel vortex-antivortex fields are the minimal-energy solutions for each even value of $Q$.

V. HOPFIONS ON $S^3$

In this section, we take $M$ to be the standard unit 3-sphere $S^3$. Every map $\psi: S^3 \to S^2$ is algebraically inessential so that it is not a constraint in this case. Here we have $\lambda = 4$, and so we set $\kappa = 1/(64\pi^2)$. If $Q$ is a perfect square, then the bound $E \geq Q$ is saturated by an explicit solution which is invariant under a two-parameter group of symmetries. If $Q$ is not a perfect square, then this highly symmetric field has $E > Q$, but for some values of $Q$, it could still be the lowest-energy solution. The main aim here is to investigate this.

As just noted, the simplest solutions occur whenever $Q$ is a perfect square; they are analogs of the baby-Skyrme solutions (4) and satisfy $E = Q$. They can be described explicitly as follows. Use coordinates $x^\mu = (r, s, t)$, with $r \in [0, \pi/2]$ and $s, t \in [0, 2\pi]$, and with the metric $g_{\mu\nu}$ on $S^3$ being given by

$$ds^2 = dr^2 + \cos^2(r) \, ds^2 + \sin^2(r) \, dt^2.$$ 

Consider the field given, in terms of the stereographic coordinate $w$, by

$$w(r, s, t) = \cot(r) \exp[i\sin(s - t)],$$

where $n$ is a positive integer. Then this field has a Hopf number $Q = n^2$ and energy $E = n^2$; in fact, the energy density has the constant value $\mathcal{E} = n^2/(2\pi^2)$. For $n = 1$, this field is simply the standard Hopf map from $S^3$ to $S^2$. For $n > 1$, it is continuous and smooth except on the two “antipodal” circles $r = 0, \pi/2$ in $S^3$. It is highly symmetric, being invariant under the subgroup of the isometry group of $S^3$ generated by $\partial_s$ and $\partial_t$.

The fact that the field has constant energy density raises the question of how to visualize it. One way is simply to plot the inverse image $\psi^{-1}(p)$ of a regular value $p \in S^2$ of $\psi$; this is a curve in $S^3$.
FIG. 2. The $A_{22}$ and the $A_{32}$ fields.

possibly having several components. As an example, the left-hand plot in Fig. 2 depicts the $Q = 4$ solution. We see that the inverse image consists of two linked loops; the inverse of another regular value would be another linked pair, and the two pairs would link each other $2 \times 2 = 4$ times, as expected. The $xyz$ space here is a stereographic projection of the original $S^3$ in which the solution lives. The general $Q = n^2$ case is analogous to this, with each inverse image $\psi^{-1}(p)$ consisting of $n$ linked loops.

There is an obvious generalization of the solution (9), which remains symmetric under the two rotations, namely, $w(r, s, t) = f(r) \exp(ims - int)$, where $f(r)$ is a suitable profile function, and $m$ and $n$ are positive integers. Then the Hopf charge is $Q = mn$. Doubly symmetric fields of this type have been studied before. In the present context, where the energy functional is just $E_4$, the function $f(r)$ can be determined explicitly; the corresponding energy is

$$E_{m,n} = \frac{p - p^{-1}}{2 \log p} Q,$$

where $p = m/n$. Following the notation of Ref. 14, these fields are denoted $A_{m,n}$. Since $A_{m,n}$ and $A_{n,m}$ are essentially the same, we may take $m \geq n$. (This is not true for fields on $\mathbb{R}^3$, where $A_{m,n}$ and $A_{n,m}$ are different and have different energies, if $m \neq n$.) As an example, the energy of the $Q = 6$ solution $A_{3,2}$ is $E = 1.0276 \times 6$, around 3% above the topological bound. This field is depicted in the right-hand plot of Fig. 2; here, the inverse image is a single curve, specifically a trefoil knot.

It is worth noting that $w = 0$ and $w = \infty$, or equivalently $\phi^j = (0, 0, \pm 1)$, are not regular values of the map, and the corresponding inverse images are single circles in $S^3$ with multiplicity $m$ and $n$, respectively. If $m \neq n$, then the energy density is not constant but attains a minimum on one of these circles and a maximum on the other. In this case, the energy of $A_{m,n}$ is above the topological minimum, but the excess is small if $m$ is close to $n$ or equivalently if the number $p$ in (10) is close to unity. So one might expect that if $Q = mn$ with $m \approx n$, then the minimum-energy field with Hopf charge $Q$ is the highly symmetric solution $A_{m,n}$. At the other extreme, however (for example, if $Q$ is a large prime), the energy of $A_{m,n}$ is relatively high and the minimum-energy field is likely to be much less symmetric. What follows gives the results of investigating this, in a few cases, using a full 3-dimensional numerical minimization of the energy functional (the appropriate variant of the one described in Sec. IV).

Consider first the situation when $m = n + 1$ so that $Q = n(n + 1)$. One would certainly expect $A_{2,1}$ to be the minimum-energy field in the $Q = 2$ sector, as it is for the Skyrme-Faddeev system on $\mathbb{R}^3$. This is indeed borne out by beginning with a non-symmetric deformation of $A_{2,1}$ and observing that it relaxes to $A_{2,1}$, with normalized energy $E = 1.0820 \times 2$. The next case is $n = 2$ so $Q = 6$: Here the minimum-energy solution in $\mathbb{R}^3$ is $1,9,14$ of the link type $L^{1,1}_{2,2}$ and looks nothing like $A_{3,2}$. But for the present system, an initial configuration of this link type relaxes to $A_{3,2}$, in fact the solution depicted in the right-hand plot of Fig. 2. The same thing happens for several other non-symmetric initial configurations. Consequently, it seems likely that $A_{3,2}$ is indeed the minimal-energy solution.
on $S^3$. Finally, this exercise was repeated for the case $n = 3$ ($Q = 12$) using various torus-type fields such as $K_{3,2}$, $K_{4,3}$, and $K_{5,3}$ as initial configurations (see Ref. 14 for details). Once more, these relax to the symmetric solution $\tilde{A}_{3,1}$, with energy $E = 1.0139 \times 12$. For larger values of $n$, the factor in (10) is even closer to unity, and so it seems likely that $A_{n+1,n}$ is indeed the minimum-energy field with $Q = n(n + 1)$.

Next consider the case $Q = n(n + 2)$, in other words, $m = n + 2$. For the Skyrme-Faddeev system on $\mathbb{R}^3$, the $Q = 3$ minimum is not $A_{3,1}$ but rather a “buckled” version $\tilde{A}_{3,1}$. In our case, however, the numerical results indicate that the symmetry is maintained and the minimum-energy $Q = 3$ field is $A_{3,1}$, with energy $E = 1.2137 \times 3$. If $n = 2$ and $Q = 8$, then the $\mathbb{R}^3$ system prefers non-symmetric fields such as $L_{3,3}^{1,1}$, but in our case, an initial configuration of this type relaxes to $\tilde{A}_{4,2}$. (Note that $A_{4,2}$ is closely related to $A_{2,1}$ and has the same value of $E(Q)$.) Therefore, once again, it is plausible that $A_{n+2,n}$ is the minimum-energy field with $Q = n(n + 2)$.

In more “extreme” cases, however, the symmetry is certainly lost. For example, the field $A_{5,1}$ has a relatively high energy and as in the $\mathbb{R}^3$ system it relaxes to a minimum, which is non-symmetric and quite different from $\tilde{A}_{5,1}$.

VI. CONCLUSIONS

We have considered local minima of the energy functional $E[\psi] = \int |\psi|^2 \omega^2$ for maps $\psi: M \to S^2$, where $M$ is compact. This is the Skyrme part of the energy in the baby-Skyrme or Skyrme-Faddeev systems, and it is also known as the $\sigma_2$-energy in the context of differential geometry.

In this compact situation, the picture is simpler than when $M = \mathbb{R}^2$ or $M = \mathbb{R}^3$, where an additional energy term such as $E_2 = \int |\psi|^2$ is needed to allow stable solutions. In the 3-dimensional case, the normalized energy is bounded below by the Hopf number $Q$, and minimal-energy solutions can be highly-symmetric.

Several examples were described in this note, but many open questions remain. For example, in the case $M = T^3$, are the critical points of $E$ necessarily of the parallel vortex-antivortex type, requiring $Q$ to be even? A more general question is as follows. One may introduce an additional length scale to the system by adding $\beta E_2$ to the Skyrme energy, where $\beta$ is a constant. As $\beta$ is increased from zero, we would expect a “phase transition” at some critical value. This was previously noted in the simplest case when $M = S^3$ and $Q = 1$, and it would be interesting to study the phenomenon more generally.

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