The role of Patient Health Engagement Model (PHE-model) in affecting patient activation and medication adherence: A structural equation model

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Abstract

Background

Increasing bodies of scientific research today examines the factors and interventions affecting patients’ ability to self-manage and adhere to treatment. Patient activation is considered the most reliable indicator of patients’ ability to manage health autonomously. Only a few studies have tried to assess the role of psychosocial factors in promoting patient activation. A more systematic modeling of the psychosocial factors explaining the variance of patient activation is needed.

Objective

To test the hypothesized effect of patient activation on medication adherence; to test the hypothesized effects of positive emotions and of the quality of the patient/doctor relationship on patient activation; and to test the hypothesized mediating effect of Patient Health Engagement (PHE-model) in this pathway.

Material and methods

This cross-sectional study involved 352 Italian-speaking adult chronic patients. The survey included measures of i) patient activation (Patient Activation Measure 13 –short form); ii) Patient Health Engagement model (Patient Health Engagement Scale); iii) patient adherence (4 item-Morinsky Medication Adherence Scale); iv) the quality of the patients’ emotional feelings (Manikin Self Assessment Scale); v) the quality of the patient/doctor relationship (Health Care Climate Questionnaire). Structural equation modeling was used to test the hypotheses proposed.

Results

According to the theoretical model we hypothesized, research results confirmed that patients’ activation significantly affects their reported medication adherence. Moreover, psychosocial factors, such as the patients’ quality of the emotional feelings and the quality of
the patient/doctor relationship were demonstrated to be factors affecting the level of patient activation. Finally, the mediation effect of the Patient Health Engagement model was confirmed by the analysis.

**Conclusions**

Consistently with the results of previous studies, these findings demonstrate that the Patient Health Engagement Model is a critical factor in enhancing the quality of care. The Patient Health Engagement Model might acts as a mechanism to increase patient activation and adherence.

**Introduction**

An increasing body of scientific research today examines the factors and interventions affecting patients’ ability to self-manage and adhere to treatment. [1,2] Patient activation is considered to be the most reliable indicator of the willingness and ability to manage health and care autonomously. [3–5] The patient activation theory has been developed by Hibbard and Mahoney. [2] It describes an incremental process, which patients undergo when becoming protagonists of their care management. Specifically, this theory is rooted in the concepts of self-efficacy [6,7] and locus of control, [8,9] and in the transtheoretical model of change. [10] It refers to “the individual’s knowledge, skill and confidence in managing his/her own health and care” [11]. Drawing on this theory, Hibbard and Mahoney [2] developed the Patient Activation Measure (PAM-13), which is a 13-item Likert self-reported questionnaire. The PAM-13 is widely used to measure the level of empowerment and self-management of chronic care patients. [5] The scale features four levels of patient activation describing different levels of patients’ readiness to assume an active role in their care management.

Previous studies have shown the role of patients’ activation in influencing their adherence to treatment prescriptions. [12,13] Moreover, studies have found that patients actively involved in their care plans are also more likely to trust their clinicians [14] and less likely to experience adverse clinical events and hospital readmissions. [15] Furthermore, making patients active in their healthcare is also recognized as a key strategy with which to make healthcare more sustainable by reducing healthcare-related costs. [16] Numerous studies have demonstrated empirically that patients more activated in their care are also more likely to enact preventive behavior such as having regular check-ups, screenings, and immunizations. [17–20] More highly activated people are also significantly more likely to enact healthy behaviors such as following a healthy diet and taking regular exercise. [21] Moreover, those who are more activated are more likely to avoid health-damaging behaviors like smoking and substance abuse. [22] In general, studies have demonstrated the association of a high level of patient activation with positive clinical outcomes in several populations across chronic conditions [23–27]. This has generated the hypothesis that the patient activation process, its antecedents, and its consequences on patient care, are disease-transcending instead of disease-specific. [28]

A great deal of effort is being devoted to implementing programs and initiatives intended to improve patients’ activation as a crucial predictor of medication adherence and better healthcare utilization. But they often prove not to be effective. [28] The reason for this ineffectiveness is often attributed to the lack of personalization of patient activation interventions. [29] A further reason may relate to the lack of agreement on the factors associated with patient activation and which may promote it. [30] The variance empirically explained regarding the
level of activation in patients with chronic illness is still low,[31] so that it is difficult to design interventions effective in promoting self-management. In particular, the vast majority of studies exploring the variables that may impact on patient activation are primarily related to extrinsic factors related to the patient condition. In this domain, studies have demonstrated the association between patient activation and socio-cultural characteristics of the individual patient such as gender, age, level of education[28,32] and level of income[12,33]. Other studies have demonstrated the association between patients’ activation and their clinical condition: such as type and year of diagnosis[28], type of treatment regimen[34], level of health literacy[35–37], and psychiatric and cognitive condition of the patient[38]. However, the results are often partial and contrasting, which impedes final consensus on the factors, which predict the patient activation level.

Only few studies have sought to assess the role of psychological factors in influencing patient activation[24,35,39,40], although the level of patient activation may not be ascribable solely to external, contextual causes. In this regard, some studies have verified that patients’ health locus of control[41,42]—defined as the individual’s set of beliefs and motivation concerning self-determination of his/her health—is associated with patients’ level of activation.[13,40] Similarly, the perceived level of social support received from informal caregivers or the patient’s peers has been demonstrated to be a predictor of patient activation.[31] Other studies have argued that the emotional state of patients in a specific life moment may influence their ability to assume a proactive role in their care management[43]. These studies have shown that a higher level of patient activation is associated with lower depressive symptoms, although they have not demonstrated the causal relationship (and its direction) between these two variables (patient activation and depressive symptoms). Finally, some studies have explored the role of the perceived quality of the patient/doctor relationship in determining patients’ activation: in particular, a reference healthcare professional perceived as open to dialogue, emotionally supportive, and easily accessible has been demonstrated to be associated with a higher level of patient activation.[14,44]

This preliminary scientific evidence on the psychological factors associated with patient activation is promising. They suggest that more personalized interventions aimed at improving patients’ self-management and adherence can be devised. However, only few of the studies currently available in the scientific arena have explored the causal relationship between these different factors and patient activation.[39,45,46] A more systematic modeling of the psychosocial factors causing patient activation is therefore needed. Furthermore, the concept of patient activation often overlaps with the one of patient engagement. However, systematic reviews of the scientific literature demonstrated that the two concepts are diverse and linked to different phenomena. Basing on previous studies, patients’ engagement refers to the ability of patients to give sense and to adjust to their disease and their actual care condition. It may play an important mediating role in the activation process and in patient adherence to treatment. In previous studies, we have explored how the experience of patient engagement emotionally develops in the care journey as a consequence of a complex sense-making process related to patients’ health status and perceived role in the healthcare journey. We have described this process in its four evolving phases (blackout, arousal, adhesion, eudaimonic project) and termed it the Patient Health Engagement Model (PHE-model).[44,47–50] This model highlights how patients emotionally elaborate and give sense their illness status and patients’ identity, and it may be considered a crucial precursor of their ability and willingness to play a more active role in self-management. A previous study has highlighted how PHE-model plays a mediating role in the activation of type-2 diabetes patients.[44]

Based on these premises, we claim that the ability of the healthcare professional to legitimize the proactive role of patients in their healthcare might affect their reported adherence to
medical treatments. This variable might also predict the level of patient activation. Furthermore, the quality of the emotional feelings experienced by patients in relation to their illness might impact on patient activation levels. Finally, we assume that the PHE-model—due to its ability to capture the psychological journey of patient engagement—may be a mediator of the impact of the quality of emotional feelings and of the perceived quality of the relationship with the healthcare professional on patient activation and on patient adherence (Fig 1).

To sum up, this study sought to verify the following theoretical hypotheses:

1. The level of patients’ activation affects patients’ adherence to treatment;
2. The quality of patients’ emotional feelings about the illness experience affects patient activation;
3. The level of perceived quality of the relationship with the reference healthcare professional affects patient activation and patient adherence;
4. The PHE-model mediates the impact of positive emotions and of perceived quality of the relationship with the healthcare professional on patient activation and on patient adherence.

**Methods**

**Setting and participants**

The research was conducted on a sample of 352 Italian-speaking chronic patients randomly selected from the Research Now Panel (http://www.researchnow.com/en-US.aspx). The entire Research Now Panel covers a wide range of chronic diseases and comprises more than 6.5 million registered subjects worldwide. The panel provider screens subjects belonging to the Research Now Panel for their authenticity via digital fingerprint and geo-IP-validation. All Research Now’s panelists are profiled on the basis of their socio-demographic, clinical and lifestyle characteristics. To ensure data reliability, the Research Now Panel is certified to be

![Diagram](https://doi.org/10.1371/journal.pone.0179865.g001)

**Fig 1. The a priori model tested in the current study.** This is a path diagram describing the hypothesized effects of positive emotions and of the ability of the healthcare professionals to support patients’ autonomy on patient activation and medication adherence; it also describes the hypothesized mediating effect of patient engagement in this pathway. Unidirectional straight arrows indicate the predicted direction of the hypothesized effect. Note: HCCQ: Health Care Climate Questionnaire, PHE-s: Patient Health Engagement Scale, SAM: Self-Assessment Manikin Scale, PAM-13: Patient Activation Measure-short form, MMAS-4: 4 item-Morinsky Medication Adherence Scale.
statistically representative of all the populations covered. For the specific purposes of this research, we randomly selected a sample of patients enrolled by the Research Now panel, according to the following pre-defined inclusion criteria: patients included in our study sample had to be Italian-speaking, affected by a chronic condition, aged over 18 years old, and of each gender. Patients with dementia, cognitive impairments, active psychiatric disorders, blindness, deafness, or insufficient Italian language skills to answer the questions meaningfully, or without informed consent, were excluded from this study. In order to be certain regarding our sample patients’ characteristics we asked them to confirm—before completing the study’s survey—previously collected by the Research Now Panel such as their demographics (i.e. gender, date and place of birth, ethnicity, nationality, educational level, place of residence) and clinical diagnosis.

The sample included in our study is not a stratified and fully representative of the Italian chronic population but it was randomly selected in order to guarantee its probabilistic feature. However, in this study we did not seek a descriptive estimation of the variables under examination, rather we were interested in their associative relationship. Thus, we did not consider the full representativeness of the sample necessarily required. All participants provided written informed consent before being enrolled in the research.

Initially, we randomly selected and enrolled a convenience sample of 500 patients according to the inclusion criteria established for this study. Only 352 returned the completed survey. Patients who did not complete the entire survey did not differ from the ones who provided all responses in terms of socio-demographic characteristics (Table 1).

### Survey development and main measures

The survey was based on a structured self-administered online questionnaire (powered by the QUALTRICS online platform, [https://www.qualtrics.com/](https://www.qualtrics.com/)). The Research Now Panel provider mailed the online link to the survey to the enrolled participants.

The questionnaire developed by the Authors of this study included validated measures and ad hoc items. Following, a detailed description of the included measures:

- **Patient Health Engagement Scale (PHE-S)**[51]. This is a measure of patient engagement grounded in rigorous conceptualization and appropriate psychometric methods. The scale consists of 5 ordinal items and was developed on the basis of the authors’ conceptual model of patient engagement (PHE-model), which features four positions along a continuum of engagement (i.e. blackout; arousal; adhesion; eudaimonic project). These engagement positions result from the conjoint cognitive (think), emotional (feel), and conative (act) involvement of individuals in their health management. This instrument is today the only one specifically dedicated to assess the degree of emotional elaboration and adjustment reached by the patient concerning his/her own health condition when engaging in health management. The specificity of this scale lays in the fact that it allows not only to assess the current patient’s attitude towards his/her health condition, but also to forecast the patient’s risk for disengagement in health management and thus to design preventive targeted intervention to optimize care pathways. According to the ordinal nature of this scale, the median score is considered the more robust and reliable index to calculate the final PHE-s scoring [52]. To obtain the PHE-s level, the median of the row PHE-s scores should be calculated. And with a simple conversion it is possible to transform the row PHE-s scores in the corresponding patient’s engagement position [50,53–55].

- **Patient Activation Measure (PAM-13)** developed by Hibbard and colleagues [56,57]. The 13-item Patient Activation Measure is an interval-level, unidimensional Guttman-like
Table 1. Summary of the sample characteristics.

| Socio-demographic characteristics | Completers | Non completers |
|-----------------------------------|------------|----------------|
| Age (years) M = 53.1; DS = 15.1    |            | 55.2; DS = 16.3|
| Gender (% female) 46.1             |            | 54.7           |
| Disease duration M = 12.7; DS = 10.8|            | M = 14.2; DS = 9.7|

| Marital Status (%)                 |            |                |
|-----------------------------------|------------|----------------|
| Never married 21.2                 |            | 22.6           |
| Married 69.0                       |            | 67.3           |
| Divorced 7.8                       |            | 7.4            |
| Widowed 2.0                        |            | 2.7            |

| Employment (%)                     |            |                |
|-----------------------------------|------------|----------------|
| Employed 46.5                      |            | 48.8           |
| Retired 30.8                       |            | 28.5           |
| Housewife 8.4                      |            | 7.9            |
| Student 4.7                        |            | 4.5            |
| Unemployed 6.4                     |            | 7.9            |
| Other 3.2                          |            | 2.4            |

| Education (%)                      |            |                |
|-----------------------------------|------------|----------------|
| Elementary School or none 6.6     |            | 5.9            |
| Junior High School 12.5           |            | 16.7           |
| High School 48.1                   |            | 42.8           |
| College Education 29.0            |            | 31.5           |
| PHD or Master 3.8                  |            | 3.1            |

| Clinical variables (Disease condition, %) |    |
|--------------------------------------------|---|
| Asthma 25.5                                 |   |
| Celiac disease 4.8                          |   |
| Hypertension 35.6                           |   |
| COPD 8.1                                    |   |
| Type I diabetes 3.7                         |   |
| Type II diabetes 24.2                       |   |
| Cardiovascular disorder 15.3                |   |
| Cancer 9.6                                  |   |
| Chron's disease 2.9                         |   |
| Fibromyalgia 7.6                            |   |
| Ulcerous colitis 4.5                        |   |
| Lupus 2.2                                   |   |
| Osteoarthritis 10.8                         |   |
| Rheumatoid arthritis 11.1                  |   |
| Myeloid chronic leukaemia 0.6               |   |
| Hypercholesterolemia 22.1                   |   |
| Hepatitis 3.4                               |   |
| Anaemia 9.3                                 |   |

| Psychometric variables                 | Median = 3 (range 1–4); Entropy = .83; Ordinal Alpha = .84; Skewness = -.08; Kurtosis = -.44 |
|-----------------------------------------|------------------------------------------------------------------------------------------------|
| PHE–S                                   |                                                                                                 |
| PAM-13 M = 65.3 (range 0–100); DS = 16.8| Cronbach’s Alpha = .89; Skewness = -.17; Kurtosis = .69                                        |
| MMAS-4 M = 1.3 (range 0–4); DS = 1.3    | Ordinal Alpha = .78; Skewness = .71; Kurtosis = -.67                                             |

(Continued)
measure that contains items measuring self-assessed knowledge about chronic conditions, beliefs about illness and medical care, and self-efficacy for self-management. PAM-13-13 yields a scaled score ranging from 0 to 100 that assigns a patient to one of four incremental levels of patient activation (level 1: score of 47.0 or lower; level 2: score of 47.1 to 55.1; level 3: score of 55.2 to 67.0; level 4: score of 67.1 or above). The PAM-13 focuses on physical conditions, and it is designed to measure activation as a broad construct. In the present study, we used the Italian validated version of the PAM-13.

• Morisky Medication Adherence Scale (MMAS-4)[58,59]. Medication-taking behavior was assessed using the 4-item Morisky Medication Adherence Scale. This is a 4-item self-reported scale used to assess patients’ medication-taking behavior. The MMAS-4 addressed the essential reasons for non-adherence including forgetting, carelessness, and stopping the drug when feeling better or worse. Response categories were yes and no for each item with a dichotomous response. Scores obtained from the MMAS-4 ranged from 0 to 4. Scores of 0, 1 to 2, and 3 to 4 were classified as high, medium, and low adherence, respectively. In our research, we used the Italian validated version of the MMAS-4.

• Health Care Climate Questionnaire (HCCQ)[60]. This scale assesses patients’ perceptions of the ability of the healthcare professionals to support their autonomy (versus ‘controlling-ness’) and to motivate their initiative in care management. Autonomy support is associated with improved patient adherence, outcomes, and satisfaction, and is a critical element of patient-centered communication. Items in the scale include judgments about whether the physician provided patients with options about their health, conveyed confidence to them in their ability to make changes important for their health, and tried to understand their perspectives before suggesting medical or behavioral changes. Items also assess whether the patient felt understood by the physician, and whether the physician encouraged the patient to ask questions. The HCCQ consists of 15 items on a seven-point Likert scale ranging from strongly disagree to strongly agree. The patient’s score consists in the average score of the items composing the scale. According to the analysis instructions, a patient HCCQ’s score is calculated by taking the average of the individual item scores to yield a mean score between 1 to 7, after reversing the single-reverse item. Higher average scores represent a higher level of perceived autonomy support [61, 62]. The scale was first developed and validated on the diabetic population by Williams and colleagues [60].

• Self-Assessment Manikin Scale (SAM)[62]. The Self-Assessment Manikin (SAM) was used to assess the patients’ quality of emotional feelings (positive or negative) [63]. This scale has the value to eliminate much of the bias associated with verbal measures of emotions and it is quick and simple to use. This is a wide used a 5-point pictographic scale to assess emotions associated with an event (i.e. the patient’s illness experience). Moreover SAM scale pictorial representation being a more human like figure may direct to further reliable decision on experienced emotion. SAM ranges from a smiling, happy figure to a frowning, unhappy one. The version of the SAM used in the present study that has five icons that define a 9-point scale. Mean score are calculated to determine the patient’s quality of emotional feelings.
Subjects are asked to make a mark on the circle provided below each of the emotion figure by thinking about their illness experience.

- Demographic and clinical characteristics. A set of ad hoc items was included in the questionnaire in order to collect socio-demographic and clinical characteristics of the study sample. These data were also used as screening variables in order to select panel respondents according to our inclusion criteria. These items assessed the following patients’ characteristics: age (<60; ≥ 60); gender (male or female); education (elementary school, junior high school, high school, college education, PhD or master degree); occupational status (employed, retired, housewife, student, unemployed, other); marital status (never married, married, divorced, widowed); clinical variables (disease condition).

Statistical analysis

Data analysis was conducted in five steps. In the first step descriptive analyses were conducted, with particular reference to socio-demographic characteristics of the sample.

In a second step of the analysis, the psychometric properties of the instruments were assessed in terms of reliability by using Cronbach’s Alpha for metric variables or Ordinal Alpha via Empirical Copula for ordinal variables [44]. A Cronbach’s or Ordinal Alpha via Empirical Copula, which was higher than 0.7 was considered acceptable. An evaluation of floor and ceiling effects for each item and a measure of skewness and kurtosis for the total scores of each scale were also performed in order to test Gaussian assumptions of next analyses.

In a third step of analysis, Gender, Education, Age, Employment and Marital Status Differences on outcome variables (PAM-13 and MMAS-4) were investigated. For gender and age factors a t-test was conducted; for other factors a univariate Anova. In a fourth step of analysis, correlations between all the considered variables were calculated. Since every instrument produced a metric score, the linear correlation coefficient $r$ was calculated and evaluated with a significance test.

In the last step, a Structural Equation Model with observed variables using ML estimation method was implemented [45] in order to evaluate the relationships between the considered variables and to explore the theoretical model hypothesized (see the hypotheses stated above). Structural equation analysis was conducted to test the hypothesized relationships among variables, which are based on a theoretical model previously developed (Fig 1). In the model we considered HCCQ and SAM as exogenous variables, and mediator (PHE-S) and dependent variables (PAM-13, MMAS-4) as endogenous ones. The Goodness-of-fit indexes were examined through Chi square test, RMSEA, CFI and SRMR. Models with acceptable fit presented non-significant Chi square value, RMSEA < .08 CFI > .90 and SRMR < .08 [46]. The normed fit index (NFI), relative fit index (RFI), incremental fit index (IFI) and Tucker-Lewis index (TLI) were used as incremental fit measures. An incremental fit measure value > 0.9 indicated a ‘good’ fit for the model. Parsimonious normed-of-fit index (PNFI) and parsimonious comparative fit index (PCFI) were used as parsimonious fit measures. A value > 0.05 was considered reasonable for a good model fit. To improve the goodness-of-fit, modification indices were considered.

Analysis was conducted with IBM SPSS 24.0 and AMOS 23.0.

Ethical concerns

The study received approval from the Università Cattolica del Sacro Cuore Ethics Committee. Patients consented to participate in the study, and they were allowed to withdraw from the
study whenever they wanted. The data were collected anonymously and analyzed in aggregated form.

**Results**

Overall, 500 patients were invited to participate in the study and completely answered the questionnaire for the analysis. 352 patients (159 female) completed the survey, mean age 53.1 (±15.1), and years with mean disease duration of almost 12 years. Table 1 lists the socio-demographic, clinical and psychometric characteristics of the sample. Mean, standard deviation (unless otherwise indicated) and a suitable reliability index (Cronbach Alpha or Ordinal Alpha via Empirical Copula) are reported for all the psychometric measures considered. All the psychometric measures presented a good or excellent reliability, with a Cronbach or Ordinal Alpha ranging from .77 to .93. No floor and ceiling effects were detected. Asymmetry and Kurtosis indices were in the acceptable limits [-1; + 1].

A t-test was performed to determine whether there were significant differences by gender and age (under or over 65 years) related to the outcome variables PAM-13 and MMAS-4. An univariate Anova was performed to investigate differences related to the outcome variables for educational, employment and marital status. The results are reported in Table 2. Socio-demo characteristics did not impact on PAM-13 scores, while age and employment status had a

### Table 2. PAM-13 and MMAS-4 scores for socio-demo factors.

| Socio-demo factors      | PAM-13 mean score | MMAS-4 mean score | p-value PAM-13 | p-value MMAS-4 |
|-------------------------|-------------------|-------------------|----------------|----------------|
| **Gender**              |                   |                   |                |                |
| Male                    | 66.31             | 1.27              | 0.208          | 0.815          |
| Female                  | 64.02             | 1.31              |                |                |
| **Age**                 |                   |                   |                |                |
| Under 65 years          | 65.03             | 1.39              | 0.606          | 0.004**        |
| Over 65 years           | 66.19             | 0.88              |                |                |
| **Marital Status**      |                   |                   |                |                |
| Never married           | 63.92             | 1.49              | 0.445          | 0.054          |
| Married                 | 66.01             | 1.18              |                |                |
| Divorced                | 62.86             | 1.65              |                |                |
| Widowed                 | 65.26             | 1.29              |                |                |
| **Employment**          |                   |                   | 0.831          | 0.039*         |
| Employed                | 66.07             | 1.36              |                |                |
| Retired                 | 63.91             | 1.03              |                |                |
| Housewife               | 65.05             | 1.14              |                |                |
| Student                 | 67.97             | 1.50              |                |                |
| Unemployed              | 62.62             | 2.00              |                |                |
| Other                   | 67.08             | 1.45              |                |                |
| **Education**           |                   |                   | 0.093          | 0.979          |
| Elementary School or none | 64.83            | 1.67              |                |                |
| Junior High School      | 55.25             | 1.30              |                |                |
| High School             | 64.18             | 1.30              |                |                |
| College Education       | 65.17             | 1.27              |                |                |
| PHD or Master           | 67.57             | 1.34              |                |                |

*p < .05

**p < .01

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significant effect on MMAS-4. In particular, older and retired subjects presented a lower level of treatment adherence.

Table 3 reports linear correlation coefficients between the psychometric variables considered. HCCQ presented a significant correlation with all the measures except SAM: a positive correlation with PHE-S and PAM-13 and a negative correlation with MMAS-4 were detected.

SAM had a significant positive correlation with MMAS-4, and a negative correlation with PHE-S and PAM-13. PHE-S showed a significant direct correlation with HCCQ and PAM-13, and a negative correlation with SAM and with MMAS-4. PAM-13 had a significant direct correlation with all the measures except SAM and MMAS-4.

Considering the hypotheses to be tested in the study and the correlations between the psychometric measures detected, a Structural Equation Model was implemented to verify associations and relationship between the variables.

Relationships among patients’ perceptions of the ability of the healthcare professionals to support their autonomy (HCCQ), negative patients’ emotions (SAM), patients’ engagement (PHE-S), patients’ activation (PAM-13) and medication adherence (MMAS-4) were tested. Fig 2 shows the explanatory model of the hypotheses that we wanted to verify.

The hypotheses were verified. Evaluation of the modification indexes did not suggest any change to the structure of the model.

The model presented an acceptable goodness-of-fit. Chi square test was not significant ($\chi^2(1) = 1.9, p = .17$). All goodness of fit indexes were satisfactory (RMSEA = 0.052, CFI = 0.996, SRMR = 0.040). The estimated paths were significant ($p < .001$). The Adjusted Goodness-of-fit (AGFI) was superior to .90 (AGFI = 0.967). NFI = 0.984, RFI = 0.940, IFI = 0.992, TLI = 0.960 as incremental fit measures, and PNFI = 0.099, PCFI = 0.100 as parsimonious fit measures confirmed the adequacy and consistency of the model. Some path coefficients have not been significant. Table 4 reports all standardized path coefficients, standard errors, 95% confidence intervals (via percentile bootstrap method) and p-value.

In addition to overall model fit, path coefficients also provide information regarding the direct and indirect effects. The direction of the arrow in Fig 2 implies the flow of the causal effect and the impact of one variable on another. Standardized path coefficients (i.e., the direct effect of a variable on the other) varied between -1 and +1 and could be interpreted in the same way as standardized multiple regression coefficients, indicating the amount of standard deviations a dependent variable will change, per standard deviation increase in the predictor Variable. Table 5 shows the standardized total, direct and indirect effects of each variable (estimates and standard errors) on the dependent variable MMAS-4.

**Discussion**

The purpose of the analysis reported by this study was to contribute to the scholarly debate on the determinants of patient activation. In particular, given the crucial role that the level of

| HCCQ | SAM | PHE-S | PAM-13 | MMAS-4 |
|------|-----|-------|--------|--------|
| HCCQ | -   | -.07  | .31**  | .39**  | -.23** |
| SAM  | -   | -     | -.39** | -.32** | .11*   |
| PHE-S| -   | -     | -      | .38**  | -.15** |
| PAM-13| -  | -    | -      | -      | -      |

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Table 3. Linear correlation coefficients between psychometric measures and frequency of mhealth/ehealth use.

![Table 3](https://doi.org/10.1371/journal.pone.0179865.t003)
Patient activation plays in promoting the better quality and effectiveness of healthcare, the first objective was to verify the association between PAM-13 level and patients' reported adherence to treatment. Consistently with other previous studies [64,65], our results confirmed this relationship by demonstrating that patients' activation is associated to their reported treatment adherence. This result is relevant to clinical practice because it confirms the importance of allocating time and effort to promoting patients’ empowerment and activation in order to assure their ability to self-manage and effectively adhere to treatment. In this study we verified the theoretical hypothesis and the hypnotized relationships among the variables included in our conceptual model. Structural equation analysis allows testing hypotesized relationships among variables, which are based on a theoretical model previously developed. Further research should be conducted in order to increase evidences about the direction of the hypotesized relationships among the variables. Indeed, reverse causality could be possible and should be tested by conducting longitudinal research design. For instance, further studies could be aimed at exploring the hypothesis that being empowered in their medical care cause patient to feel more positive in general and to experience higher quality patient-doctor relationships. 

Furthermore, the analysis sought to disentangle the roles of psychosocial variables, such as positive emotion and perceived quality of the doctor/patient relationship, in determining the level of patients’ activation. We claimed that not only extrinsic factors such as patients’...
demographic characteristics and their disease conditions might influence their level of activation.

In this framework, we primarily explored the role of positive emotional feelings in affecting patient activation, on the assumption that the psychological wellness of patients and their ability to adapt positively to their illness may be an antecedent of their ability to self-manage and assume an active role in the healthcare journey. This relationship was verified by confirming the role of the patients’ positive emotional state in affecting patient activation.

Furthermore, we explored the predictor role of the perceived quality of the patient/doctor relationship in sustaining patient activation. Also this relationship was verified, thus confirming the findings of previous studies [44,66–68]. In particular, the ability of healthcare professionals to motivate patients towards self-management and treatment adherence is important in this process; but especially so are their recognition and acceptance of the patients’ active role in the care journey. This study has demonstrated that the healthcare professional’s ability to make the patient autonomous in the care journey predicts the ability of patients to adopt a proactive role in the healthcare experience and to adhere to treatment [66,67,69]. This result suggests interesting further investigation on how the role of healthcare professionals and their attitudes to engagement are crucial assets with which to achieve activation. [70–75,68,67] Attitudes not given for granted since the concept of patient activation and engagement put into question the need of revisiting traditional power dynamics in the doctor-patients relationships [76,77].

A further purpose of our analysis was to explore the role of PHE-model in explaining patient activation. Particularly we proposed to consider Patient Health Engagement such as the patients’ psychological elaboration of their healthcare experience. In this study we explored the role of PHE-model in mediating the impact of positive emotions and the perceived quality of the doctor-patient relationship on the level of patient activation and medication adherence. Also this relationship was verified, confirming that PHE-model is a crucial factor in their ability to assume an active role in self-management and treatment adherence.

In previous studies, we argued that PHE-model must be conceived as a complex psychological process of adjustment to illness, which evolves in time and which is a function of several contextual factors [47]. In particular, engaging in the healthcare journey means becoming able not only to accept the diagnosis and its consequences for one’s health condition and lifestyle but also to understand one’s potential (starring) role in the care process. As a consequence, also the patient’s ability to become proactive in self-management and treatment adherence, thus improving his/her level of activation, is the result of a complex psychological elaboration and adjustment to the disease (and to the new “role patient”). Activation, therefore, may not be conceived as an ‘on/off’ state; it is determined by the developmental change in the patient’s identity on a complex journey of engagement. At the beginning of the care pathway, in fact, patients may be too overwhelmed and shocked (the ‘blackout’ phase in the PHE Model [50,78–80]) to be able to assume an active role in the care process. In this phase patients tend to be passive and to delegate all decisions and actions concerning their care to the reference

| Variable | Direct Effect | Indirect Effect | Total Effect |
|----------|---------------|----------------|-------------|
| HCCQ     | -.18 (.06)    | -.04 (.03)     | -.22 (.05)  |
| SAM      | .06 (.05)     | .04 (.03)      | .10 (.05)   |
| PHE-S    | -.05 (.06)    | -.03 (.02)     | -.07 (.06)  |
| PAM-13   | -.11 (.06)    | --             | -.11 (.06)  |

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healthcare professionals. Activation or promotion of patient empowerment may be difficult and even counterproductive in this phase because the patient would reject medical attempts to make him or her autonomous.

With time, clinical support and education, the patient may then evolve in his/her adjustment to the disease and the care management. S/he moves to the state of psychological ‘alert’: here the patient is over-sensitized and worried about his/her ill body, and hyper-vigilant on signs and symptoms that may occur. In this phase, the patient is disorganized in his/her activation in a way likely to be dysfunctional for the clinical relationship and the care management. In this phase the patient is ‘disease centered’ because his/her psychological energy and cognitive resources are all focused on the disease, but s/he may be disorganized and over-demanding in his/her navigation of the healthcare system.

As their psychological adjustment evolves, patients acquire better mastery of their illness condition and improved awareness of their important role in determining the effectiveness of their care (‘adhesion’ phase). In this psychological phase, patients become ‘good patients’, with an acceptable literacy about their disease and its treatment and able to comply with the medical prescriptions. Although they are activated, they are not autonomous in self-management because they are still very reliant on their reference healthcare professional, whom they tend to over-consult upon even minimum changes in their everyday and care routines. Patients in this state tend to be still rather unsure about their role in self-determining the care journey and still reluctant to be autonomous in self-management.

A phase of full engagement then follows. Patients are completely aware of their health and care conditions, and also able to make satisfactory life plans despite the disease. According to the PHE-Model these patients are in a state of Eudaimonic Project. They have fully mastered their patient identity and agreed to play an active and fulfilling role in the care journey. But they have also become able to perceive themselves as persons, and not just as patients afflicted by a disease and by medical treatments. These patients have determined that ‘they are not their disease’, and this new psychological awareness foster energy, positive emotions and self-confidence in them.

Patients in this phase are fully aware that they are co-authors of their health. They accept that the effectiveness of care is also dependent on their motivation and determination to fight the disease and improve their quality of life. These fully engaged patients are well able to navigate the healthcare system and adhere to the medical prescription. They are also able to enact effective shared decision–making. [66,67,81,82]. Moreover, they become apostles of engagement practices, providing crucial testimony for other patients affected by the same disease but at the beginning of their engagement journey. These patients are fully activated and empowered towards their medical journey.

Concerning this study’s limitations, the heterogeneity of the diseases suffered by the patients in our sample may be regarded as a weakness. Furthermore, although the sample analyzed by our research was not stratified and fully representative of the Italian chronic population, it was randomly selected in order to guarantee its probabilistic nature. We used it only to explore the relationships among the variables under analysis (i.e. for associative purposes, not for descriptive estimation of their dimensions); given these considerations, full representativeness was not necessarily required. Moreover, in this study we explored formal mediation among the included variables. This is basically an observational study with no experimental manipulation of the independent variables involved in the conceptual model under investigation. Indeed, it is possible that several of the relationships between the variables included in the analysis are actually operating in a reverse manner from the hypothesized relationships (i.e., perhaps adherence increases patient activation through feeling the positive benefits of treatment) and the cross-sectional nature of the data preclude determining this. For these
reasons, further longitudinal studies should be conducted to verify a causal relationship among the variables.

Furthermore, although the sample included in our study is not a stratified and fully representative of the Italian chronic population, it was randomly selected in order to guarantee its probabilistic feature. We used it only to explore the relationships of the variables under analysis (i.e. for associative purposes and not for a descriptive estimation of their dimensions): based on these considerations full representativeness is not necessarily required [83].

The PHE-model, measured in this study with the PHE-scale, casts light on possible psychological roots of patient motivation to self-management. The role the PHE-model in determining patient activation appears to us particularly promising for future research and clinical practice. PHE-model may be considered as lever to foster patients’ activation and—thus—patients’ adherence to treatments [84,85].

Our data suggest a cluster of factors associated with patient activation: mainly the level of patients’ elaboration of their disease, but also the quality of the patient/doctor relationship and positive emotional attitudes towards the health conditions [86]. If effective interventions to improve patient activation are to be developed, those targeting patients with lower levels of PHE-model should be prioritized [87].

**Author Contributions**

**Conceptualization:** GG SB.

**Data curation:** AB.

**Formal analysis:** AB.

**Investigation:** GG SB.

**Methodology:** GG SB.

**Project administration:** GG.

**Resources:** GG SB.

**Software:** AB.

**Supervision:** GG.

**Validation:** GG SB.

**Writing – original draft:** GG SB.

**Writing – review & editing:** GG SB AB.

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