Whole Blood Gene Expression Testing for Coronary Artery Disease in Nondiabetic Patients: Major Adverse Cardiovascular Events and Interventions in the PREDICT Trial

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Abstract The majority of first-time angiography patients are without obstructive coronary artery disease (CAD). A blood gene expression score (GES) for obstructive CAD likelihood was validated in the PREDICT study, but its relation to major adverse cardiovascular events (MACE) and revascularization was not assessed. Patients (N=1,160) were followed up for MACE and revascularization 1 year post-index angiography and GES, with 1,116 completing follow-up. The 30-day event rate was 23% and a further 2.2% at 12 months. The GES was associated with MACE/revascularizations (p<0.001) and added to clinical risk scores. Patients with GES >15 trended towards increased >30 days MACE/revascularization likelihood (odds ratio=2.59, 95% confidence interval=0.89–9.14, p=0.082). MACE incidence

Clinical Trial Information: PREDICT (http://www.clinicaltrials.gov), NCT 00500617

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overall was 1.5% (17 of 1,116) and 3 of 17 patients had GES $\leq 15$. For the total low GES group (N=396), negative predictive value was 90% for MACE/vascularization and $>99\%$ for MACE alone, identifying a group of patients without obstructive CAD and highly unlikely to suffer MACE within 12 months.

**Keywords** Coronary artery disease · Peripheral blood gene expression · Genomics · Angiography · Coronary interventions · MACE

**Abbreviations**
- GES: Gene expression score
- CAD: Coronary artery disease
- MACE: Major adverse cardiovascular events
- NPV: Negative predictive value
- MI: Myocardial infarction
- QCA: Quantitative coronary angiography
- D-F: Diamond–Forrester score
- PCI: Percutaneous coronary intervention
- CABG: Coronary artery bypass graft
- TIA: Transient ischemia attack

**Methods**

**General Study Design and Study Population**

Subjects were enrolled in PREDICT, a 39-center prospective study, between July 2007 and April 2009 (http://www.clinicaltrials.gov, NCT 00500617). The study complied with the Declaration of Helsinki, was approved by institutional review boards at all centers, and all patients gave written informed consent. Subjects referred for diagnostic coronary angiography were eligible with a history of chest pain, suspected angina equivalent symptoms, or a high risk of CAD and no known prior myocardial infarction (MI), revascularization, or obstructive CAD. Subjects were ineligible if at catheterization they had acute MI, high-risk unstable angina, severe noncoronary heart disease (congestive heart failure, cardiomyopathy, or valve disease), systemic infectious or inflammatory conditions, or were taking immunosuppressive or chemotherapeutic agents. Detailed eligibility criteria have been described [9].

From 1,354 enrolled nondiabetic subjects who met the inclusion criteria, 5 had angiographic images unsuitable for QCA and 6 had unusable blood samples. The remaining 1,343 were divided into independent algorithm development and validation cohorts sequentially based on enrollment [9]; of these, 1,166 patients had valid GES, 640 in algorithm development and 526 in validation [9]. These were evaluated for events, with six subjects from algorithm development not meeting the clinical inclusion criteria upon further evaluation.

**Clinical Evaluation and Quantitative Coronary Angiography**

Prespecified clinical data, including demographics, medications, clinical history, and presentation, were obtained by research study coordinators using standardized data collection methods and verified by independent study monitors. Coronary angiograms were analyzed by computer-assisted QCA. Specifically, clinically indicated coronary angiograms performed according to site protocols were digitized, deidentified, and analyzed with a validated quantitative protocol at the Cardiovascular Research Foundation, New York, NY, USA [10]. All lesions $>10\%$ diameter...
stenosis (DS) in vessels with diameter >1.5 mm were visually identified, and the minimal lumen diameter (MLD), reference lumen diameter (RLD=average diameter of normal segments proximal and distal of lesion), and %DS (% DS=(1−MLD/RLD)×100) were calculated.

The Diamond–Forrester (D-F) risk score, comprised of age, sex, and chest pain type, was prospectively chosen to evaluate the value of the GES with clinical factors [11]. D-F classifications of chest pain type (typical angina, atypical angina, and nonanginal chest pain) were assessed using subject interviews [11] and D-F scores assigned [12].

Obstructive CAD and Disease Group Definitions

Obstructive CAD (N=422) was defined prospectively as ≥1 atherosclerotic plaque in a major coronary artery (≥1.5 mm lumen diameter) causing ≥50% luminal DS by QCA; non-obstructive CAD (N=744) had no lesions >50%.

Clinical Procedure and Event Determination

Clinical interventions were defined as any PCI or coronary artery bypass graft (CABG). Clinical events were defined as stroke/transient ischemia attack (TIA), MI, or death. Index coronary angiography was defined as the date of planned coronary catheterization, irrespective of intervention. Coronary procedures or events occurring within 30 days of index angiography were considered baseline endpoints associated with this procedure. In addition, specifically identified staged procedures up to 45 days post-index angiography were also considered baseline endpoints. Analysis of all procedures and events was performed for the 1,160 subjects over the entire follow-up period, as well as selective analysis for patients with procedures and events beyond the 30-day threshold.

All coronary procedures and events were monitored against medical records for accuracy and were supported by medical records documenting the specific event or diagnosis and/or by supporting evidence, e.g., myocardial enzyme elevation or infarct on head computed tomography (CT). Discrepancies were resolved by direct investigator query. All other events such as aortic aneurysm repair, congestive heart failure exacerbation, and cardiac arrhythmias were reviewed and eliminated due to noncardiac origin or lack of direct association with acute coronary atherosclerosis etiology. The definitions of the MACE components, MI, stroke/TIA, and all-cause mortality are detailed in the Supplementary Methods.

GES Measurements

GES measurements were performed in the CardioDx clinical reference laboratory (Palo Alto, CA, USA) using the Corus™ CAD process [9]. Briefly, RNA was purified using an automated bead-based method from PAXgene® RNA preservation tubes (PreAnalytiX, Valencia, CA, USA). Subsequent cDNA synthesis and reverse transcription polymerase chain reaction were then carried out [9]. The GES were reported on a 1–40 scale.

Statistical Analysis

The primary endpoint for the study was whether the GES as a continuous variable was significantly related to the combination of procedures and MACE at 30 days and 12 months following index angiography. Subjects were censored if no event occurred prior to them being lost to follow-up. Only the first endpoint of a given type (procedure or event) was counted in the analysis. Secondary analyses included the relationship of the GES to MACE across the entire follow-up period and to the combination of revascularizations and MACE occurring >30 post-index catheterization.

For categorical analyses, the GES were divided into three ranges: 1–15 (<20% likelihood), 16–27 (≥20–<50% likelihood), and 28–40 (≥50% likelihood) [9]. Logistic regression was used to test the relation between the GES (continuous) and events/procedures; for comparison to clinical factor scores, multivariate logistic regression was used. Odds ratios (OR), associated 95% confidence intervals (95% CI), and p values were also estimated by logistic regression. A prespecified GES threshold of ≤15 was used to estimate test performance (sensitivity, specificity, NPV, and positive predictive value [PPV]), as well as for categorical GES OR analyses. The Cochran–Armitage trend test was used to test the relation between GES categories and events/procedures. Clinical factors were compared at baseline using either a two-sample t test (continuous measures) or Fisher’s exact test (binary measures). All analyses were performed in R version 2.11 [13].

Results

From 1,166 sequential PREDICT patients comprising the algorithm development and clinical validation cohorts with QCA and GES [9], 1,160 were eligible for follow-up and 1,116 (96%) were followed up for 1 year after index angiography. Clinical and angiographic characteristics of this entire cohort and the clinical validation subset (N=526) are shown in Table 1. The entire cohort was 58% male with an average age of 60. Factors which were significantly (p<0.001) associated with angiographically defined obstructive CAD at baseline included male sex, age, systolic blood pressure (SBP), dyslipidemia, smoking status, chest pain
symptoms, higher body mass index (BMI), aspirin, statin, and beta-blocker use (Table 1). Only 36% of patients had obstructive CAD (≥50% maximum percent stenosis) at index angiography.

Table 1: Clinical and demographic characteristics of PREDICT patient cohorts

| Set parameter | Complete cohort | Clinical validation subset |
|---------------|----------------|---------------------------|
| N             | 1,160          | 526                       |
| Male sex      | 668 (57.6%)    | 299 (56.8%)               |
| Age           | 59.9±11.8      | 60.3±11.6                 |
| SBP           | 134.9±18.3 (88 to 213) | 135.2±18.4 (90 to 213) |
| Dyslipidemia  | 734 (63.3%)    | 341 (64.8%)               |
| Smoker        | 412 (35.5%)    | 186 (35.4%)               |
| BMI           | 30.8±6.8 (13.8 to 69.4) | 30.7±6.5 (13.8 to 61.7) |
| Aspirin use   | 768 (66.5%)    | 363 (69.1%)               |
| Statin use    | 580 (50.2%)    | 265 (50.5%)               |
| Beta-blocker use | 425 (36.8%) | 212 (40.4%)               |
| QCA Max Stenosisa | 38.2±32.3 (0 to 100) | 38.9±32.1 (0 to 100) |
| QCA Num Lesionsb | 1.8±2.3 (0 to 12) | 1.9±2.4 (0 to 10) |
| QCA Obs Diseasec | 420 (36.2%) | 192 (36.5%)               |
| One-year follow-up | 1,115 (96.1%) | 507 (96.4%)               |

Table 2: Summary of procedures and events at 1-year follow-up

| Parameter | N at index angiogram | 1,160 |
|-----------|----------------------|-------|
| Baseline procedures PCI | 203 (17.5%) |
| CABG | 70 (6%) |
| Total proceduresa | 267 (23%) |
| Baseline events | 6 (0.5%) |
| All baseline endpoints | 267 (23%) |
| N with follow-up | 1,116 (96%) |
| Follow-up procedures | 14 (1.2%) |
| Follow-up events | 11 (0.9%) |
| Total follow-up endpoints | 25 (2.2%) |
| All procedures | 286 (24.7%) |
| All events | 17 (1.5%) |
| All endpointsb | 292 (25.2%) |

- Maximum percent stenosis determined by core laboratory QCA
- Number of ≥30% stenotic lesions by QCA
- Patients with ≥50% stenosis in a major coronary artery by QCA

- Some patients had more than one procedure and four patients had events after baseline procedures
- The total baseline number of patients is used as the denominator for all calculations as baseline endpoints greatly dominate total endpoints. Some patients had more than one endpoint

The patient study flow is shown schematically in Fig. 1. A total of 267 patients (23%) had endpoints within 30 days of index procedure with the vast majority being PCI or CABG. After censoring these patients, there were only 25 additional patients (3%) with procedures or events in the next year out of the remaining 850, yielding an overall endpoint rate of 25% for all patients in the entire period. For MACE alone, the rate was 1.5% for 12 months. Events and procedures are summarized in Table 2.
GES Analysis

The GES, comprised of the peripheral blood cell expression levels of 23 genes and sex-specific age dependencies of CAD likelihood, was associated with the composite primary endpoint of MACE and procedures over 1 year by logistic regression \( (p<0.001) \) and added to clinical factors, as quantified by D-F or Framingham risk scores (Supplementary Table 1). GES category also correlated with the likelihood of the combined procedures and MACE primary endpoint over this period as shown in Fig. 2a.

Previous analysis of obstructive CAD in the PRE-DICT clinical validation study identified a low likelihood (<20%) group with GES \( \leq 15 \) [9]. Using this threshold for the primary composite endpoint at 12 months follow-up, the sensitivity and specificity were 86% and 41%, respectively, corresponding to the NPV of 90% and PPV of 33%, with 396 patients (35%) in this group (Table 3). The OR for those with nonlow scores (>15) versus low scores (\( \leq 15 \)) for the 30-day and 12-month endpoints were 4.3 (95% CI, 3.0–6.4) and 4.3 (95% CI, 3.0–6.3), respectively, both \( p<0.001 \) (Table 3).

There were 17 patients with MACE, of which 15 occurred more than 30 days after index angiogram; 4 of these patients had early revascularization. The clinical, angiographic, and MACE characteristics for all patient events are summarized in Table 4. The GES at index procedure was above 15 in 14 of 17 of these patients (Table 4, Fig. 2b). Thus, at most, 3 patients of 1,160 (0.3%) had both a low GES and an adverse event in the following year, yielding an NPV for events alone of 99.2%, although this did not reach statistical significance (OR \( = 2.41, 95\% \text{ CI} = 0.74–10.4, p = 0.16 \)). There were a total of eight patients with late revascularizations whose characteristics are summarized in Table 5, with seven of eight having GES above 15. Patients with either late revascularizations or MACE more than 30 days post-index catheterization trended towards higher GES (OR \( = 2.59, 95\% \text{ CI} = 0.89–9.14, p = 0.082 \)) (Table 3); the relationship between the GES and these late revascularizations and events are illustrated in Fig. 2b.

Discussion

This study extended our previous validation of a blood-based GES for obstructive CAD in nondiabetic patients from an angiographic endpoint to revascularizations and MACE. We followed up and identified revascularizations and adverse events in 1,160 patients from the PRE-DICT trial, including the previously defined validation cohort of 526 patients for 12 months from index procedure. As expected, revascularization (PCI and CABG) were closely associated with maximum percent stenosis and angiographically determined disease burden, with the exception of chronic total occlusions which had a reduced intervention rate.

Our previous analysis showed that, in the validation set of 526 patients, using obstructive CAD as the endpoint, 33% of patients had GES \( \leq 15 \) with an NPV of 83%. For actual clinical endpoints up to 1 year, the NPV for all procedures and MACE was 90% at this threshold in the
entire cohort. For those patients with GES ≤15 (396 of 1,160), representing 35% of total enrollment, only 41 of 1,160 (3.5%) had procedures or events. In these patients, the majority of endpoints (28 of 41) were PCI which has not been shown to improve long-term outcomes over optimal medical therapy in the COURAGE population [6]. It has been demonstrated that the fraction of obstructive CAD at cardiac catheterization in US patients without known CAD is 35–40% [5, 9]. In the entire cohort in this study, the yield of obstructive CAD was 36.2% and the fraction of patients with interventions was 23.7%. If one did not send patients with low GES for catheterization, the yield of patients with obstructive CAD and interventions would be increased to 48.2% and 31%, respectively.

We previously observed that increasing GES correlated with maximum percent stenosis. In the current analysis, the composite endpoint likelihood also monotonically increased with GES from approximately 10% for low scores to >35% with high scores (28–40) with an OR of >4 (Fig. 2a). For high scores, this was likely an underestimate as >80% of

**Table 3** Dependence of combined procedure and MACE risk on GES

| Duration and endpoints | NPV (%) | PPV (%) | Sensitivity (%) | Specificity (%) | OR | 95% CI | P value |
|------------------------|---------|---------|----------------|----------------|----|--------|---------|
| 12-month procedures and MACE | 90 | 33 | 86 | 41 | 4.32 | 3.02–6.25 | <0.001 |
| 12-month MACE | 99 | 1.8 | 82 | 34 | 2.41 | 0.74–10.5 | 0.16 |
| ≤30-day procedures and MACE | 91 | 3.3 | 87 | 40 | 4.31 | 3.00–6.38 | <0.001 |
| >30-day procedures and MACE | 99 | 3.0 | 79 | 41 | 2.59 | 0.89–9.14 | 0.082 |

a NPV, PPV, sensitivity, and specificity were calculated at a threshold of 15
b OR were calculated with a GES threshold of 15
c Procedures (PCI or CABG) and MACE (MI, stroke/TIA, death) within 12 months of the index angiography
d Not significant
e Procedures and MACE occurring within 30 days of the index angiography

**Table 4** Clinical characteristics of patients with subsequent events

| Patient ID | Sex | Age (years) | QCACase: Control | QCAMax Stenosis | ClinMax Stenosis | QCANum Lesions | GES | Event | Days post index |
|------------|-----|-------------|------------------|-----------------|-----------------|----------------|-----|-------|----------------|
| C002:00400185 | Male | 83 | Control | 0 | 15 | 0 | 31 | Stroke or TIA | 328 |
| C003:00400346 | Female | 58 | Case | 70 | 0 | 1 | 10 | MI | 121 |
| C004:00400011 | Female | 73 | Control | 39 | 50 | 1 | 17 | MI | 259 |
| C005:00400099 | Male | 50 | Case | 100 | 100 | 1 | 18 | CABG, MI, PCI | 10 |
| C014:00400055 | Male | 60 | Case | 76 | 90 | 5 | 29 | Stroke or TIA | 566 |
| C015:00400040 | Male | 51 | Case | 57 | 40 | 3 | 24 | Stroke or TIA | >180 |
| C015:00400058 | Female | 46 | Control | 0 | 0 | 0 | 2 | MI | 339 |
| C015:00400064 | Male | 66 | Control | 15 | 80 | 0 | 25 | Stroke or TIA | 321 |
| C015:00400092 | Male | 49 | Control | 19 | 30 | 0 | 25 | MI | >180 |
| C015:00400193 | Female | 66 | Case | 78 | 70 | 6 | 16 | MI | 129 |
| C051:00400300 | Male | 63 | Case | 75 | 90 | 6 | 26 | MI | 1 |
| C058:0040054 | Male | 69 | Control | 33 | 40 | 2 | 25 | Death | >180 |
| C063:00400007 | Female | 76 | Case | 80 | 90 | 5 | 27 | MI | 177 |
| C068:00400065 | Male | 86 | Case | 81 | 95 | 1 | 37 | Stroke or TIA | 235 |
| C073:00400040 | Male | 73 | Control | 44 | 65 | 1 | 30 | Stroke or TIA | 172 |
| C073:00400065 | Male | 60 | Case | 63 | 40 | 3 | 14 | MI | 224 |
| C079:00400014 | Male | 78 | Case | 100 | 50 | 7 | 39 | Death | 306 |

a Prospectively defined as ≥50% maximum stenosis
b Number of lesions >30% stenosis by QCA
c Discrepancy between clinical and core laboratory QCA reads; QCA confirmed on subsequent independent review
d These patients had a revascularization associated with their index catheterization
e Likely vasospastic MI given underlying clinical condition and chart review
f Event reported at 1 year follow-up without specific date
patients with chronic total occlusions, who were electively not intervened on, had high scores. A large recent study of patients referred for CT angiography has also shown that overall mortality risk correlated with the extent of maximum percent stenosis [14].

For the small number of patients who had events, >80% (14 of 17) had GES above the threshold of 15 (Tables 3 and 4), although this did not reach statistical significance. Retrospective analysis for the three patients with events and low GES showed one patient had no CAD angiographically with a GES of 2 and likely suffered a vasospastic MI. A second patient had no CAD by clinical angiogram, but subsequent QCA showed a 70% lesion. The third patient had a score of 14, close to the threshold, and an MI 7 months from index procedure. Thus, based upon clinical workup, 16 of 17 patients with events had scores above the threshold. Similarly, for late revascularizations, seven of eight had scores above 15.

A description of the genes which comprise the GES are shown in Table 6, along with the associated biological functions, where known. The predominant features of these gene terms are the innate immune response, as judged by increased expression of activation genes in both neutrophils and natural killer (NK) cells, as well as an increase in proapoptotic genes (terms 1–3). In addition, term 2, and specifically S100A12, has been shown to promote coronary artery calcification in a transgenic model [15]. In addition, the somewhat counterintuitive B cell to T cell ratio comprises term 4. Although it was originally thought that B cells were atheroprotective and T cells atherogenic, recent work in mouse models has suggested a more complex picture with atherogenic B cell subsets [16] and a potential atheroprotective role for regulatory T cells [17, 18].

Given that the GES was derived to discriminate obstructive CAD, why might it have prognostic value? First, the GES is proportional to maximum percent stenosis by angiography and a recent large CT angiography study has shown that event likelihood increases with the extent of disease, even for nonobstructive disease [19]. Second, specific terms in the GES algorithm

| Table 5 Clinical characteristics of patients with late revascularizations |
|----------------|----------------|----------------|----------------|----------------|----------------|----------------|
| Patient ID    | Sex       | Age (years) | QCACase: Control | QCAMax Stenosis | ClinMax Stenosis | QCANum Lesions30 |
| C015:00400017 | Male      | 54.4        | Case            | 60.37           | 70              | 3              | 26 PCI         | 341             |
| C054:00400009 | Female    | 70.3        | Case            | 100             | 80              | 3              | 25 CABG        | 75              |
| C015:00400060 | Male      | 55.5        | Control         | 36.43           | 100             | 3              | 23 PCI         | 118             |
| C055:00400036 | Female    | 68.3        | Control         | 24.2            | 40              | 0              | 20 PCI         | 345             |
| C068:00400058 | Female    | 55.2        | Case            | 100             | 99              | 8              | 3 PCI          | 347             |
| C001:00400105 | Male      | 73.7        | Case            | 60.7            | 90              | 2              | 32 PCI         | 70              |
| C015:00400177 | Male      | 64.5        | Control         | 43.19           | 50              | 2              | 26 PCI         | 246             |
| C068:00400087 | Male      | 68.1        | Case            | 100             | 100             | 5              | 37 CABG        | 84              |

a Prospectively defined as ≥50% maximum stenosis
b Number of lesions >30% stenosis by QCA
c Either PCI or CABG occurring without prior intervention associated with index catheterization

| Table 6 GES components and putative biological roles |
|----------------|----------------|----------------|
| Term | Genes | Functions |
| 1 | IL18RAP+TNFAIP6+CASP5+IL8RB+KCNE3+TLR4+TNFRSF10C | Innate immunity, apoptosis |
| 2 | S100A8+S100A12+CLEC4E+RPL28 (men), NCF4+AQP9 (women) | Neutrophil activation and necrosis |
| 3 | SLAMF7+KLRC4+TMCC8+CD3D | Innate immunity, NK cell activation |
| 4 | SPIB+CD79B+TMCC8+CD3D | Normalized to T lymphocytes |
| 5+6 | AF289562+TSPAN16 (men)+TFCP2+HNRPF | Unknown function genes |
reflect cell type-specific gene expression ratios, which in the case of the neutrophil to lymphocyte ratio has been shown to have prognostic significance in a large catheterization laboratory population [20]. In addition, a very recent large study has shown that neutrophil counts alone are associated with subsequent MI and mortality [21]. Third, circulating levels of the protein products of genes which are present in the GES, such as S100A8 and S100A12, have been shown to be associated with cardiovascular events [22, 23]. Finally, the observed GES proportionality to disease burden is most likely a reflection of the dysregulation of gene expression in the circulating cells in response to both the extent and inflammatory activity of atherosclerotic plaque, perhaps reflecting plaque composition.

This study had several limitations. First, the population was nondiabetic and largely symptomatic with high-risk unstable angina and low-risk asymptomatic patients excluded. Second, the follow-up period was limited and the number of events subsequent to the index catheterization small. Thus, any conclusions about the PPV of the GES for prognosis will require larger cohorts, more extended follow-up, and a higher absolute number of cumulative events. Given the observed OR for MACE in this study, we estimate that a study of 2,300 patients with 2-year follow-up would have 80% power to detect a significant relationship of the GES to MACE. The PROMISE study (http://www.clinicaltrials.gov, NCT 01174550) might be an appropriate setting to further test this hypothesis. Third, we did not have lesion-specific information to determine if revascularizations or events were due to baseline-identified lesions or disease progression. Fourth, since this was an angiographic population, it had more disease than an intended use population before referral, which may affect the results. Fifth, with respect to the GES analysis, the combined cohort may have been biased by inclusion of the algorithm development set. This seems unlikely to be a very significant factor as procedures and events were not used to derive the algorithm, and the validation subset analyses showed results indistinguishable from the entire population. Finally, while the GES added significantly to Framingham with respect to the primary composite endpoint, it did not add significantly to MACE prediction alone, although that comparison was underpowered due to the low event rate.

In summary, this study examined the relationship between a peripheral blood GES measured at index angiography and revascularization and MACE at up to 12 months. Independent of the GES, more than 75% of patients had neither a procedure nor MACE in the next year. For those with low GES, representing 35% of patients, 90% were in this category. Thus, low GES appeared to identify a population at low risk for both obstructive CAD and subsequent procedures or events. While these results were encouraging for a clinical correlation with the initial angiographic validation, studies in larger populations with longer-term follow-up would be needed to further support this hypothesis.

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