Comparison of multiple diagnostic tests to measure dynamic hyperinflation in patients with severe emphysema treated with endobronchial coils

Marlies van Dijk (✉ m.van.dijk05@umcg.nl)  
University Medical Center Groningen  https://orcid.org/0000-0002-2043-1276

Jorine E. Hartman  
University Medical Centre Groningen: Universitair Medisch Centrum Groningen

Sonja W.S. Augustijn  
University Medical Centre Groningen: Universitair Medisch Centrum Groningen

Nick H.T. ten Hacken  
University Medical Centre Groningen: Universitair Medisch Centrum Groningen

Karin Klooster  
University Medical Centre Groningen: Universitair Medisch Centrum Groningen

Dirk-Jan Slebos  
University Medical Centre Groningen: Universitair Medisch Centrum Groningen

Research Article

Keywords: Dynamic hyperinflation, cardiopulmonary exercise testing (CPET), emphysema

Posted Date: February 18th, 2021

DOI: https://doi.org/10.21203/rs.3.rs-180746/v1

License: ☑️ This work is licensed under a Creative Commons Attribution 4.0 International License.  
Read Full License

Version of Record: A version of this preprint was published at Lung on March 9th, 2021. See the published version at https://doi.org/10.1007/s00408-021-00430-0.
Abstract

Purpose

For this study we aimed to compare dynamic hyperinflation measured by cardiopulmonary exercise testing (CPET), a six-minute walking test (6MWT) and a manually paced tachypnea test (MPT) in patients with severe emphysema who were treated with endobronchial coils. Additionally, we investigated whether dynamic hyperinflation changed after treatment with endobronchial coils.

Methods

Dynamic hyperinflation was measured with CPET, 6-MWT and an MPT in 29 patients before and after coil treatment.

Results

There was no significant change in dynamic hyperinflation after treatment with coils. Comparison of CPET and MPT showed a strong association (rho 0.660, p<0.001) and a moderate agreement (BA-plot, 202 ml difference in favor of MPT). There was only a moderate association of the 6-MWT with CPET (rho 0.361, p 0.024).

Conclusion

MPT can be a suitable alternative to CPET to measure dynamic hyperinflation in severe emphysema, but may overestimate dynamic hyperinflation possibly due to a higher breathing frequency.

Introduction

In patients with emphysema, reduced elastic recoil of the lungs and increased airway resistance lead to static hyperinflation, i.e. an increased end expiratory lung volume. During exercise, due to a higher breathing frequency the end expiratory lung volume may further increase at the expense of the inspiratory capacity (IC). This so called dynamic hyperinflation contributes to dyspnea, reduced exercise tolerance and reduced quality of life [1].

There are various techniques to measure dynamic hyperinflation. Measuring the reduction in IC during cardiopulmonary exercise testing (CPET) is most commonly used and considered to be the reference standard[2]. Reduction in IC can also be measured with a metronome or manually paced tachypnea test (MPT), where the patient is instructed to breath with a high frequency for a set amount of time, or with a six-minute walk test (6-MWT)[3, 4].

Bronchoscopic lung volume reduction with endobronchial coils is a guideline treatment for selected patients with severe static hyperinflation and emphysema.[5] Coil treatment can lead to an improvement in static hyperinflation, exercise tolerance and health status.[6, 7]
For this study we aimed to compare dynamic hyperinflation measured by CPET, 6MWT and MPT in patients with very severe emphysema who were treated with endobronchial coils. More specifically, we wanted to assess the accuracy and agreement of measuring dynamic hyperinflation with the MPT and 6-MWT compared to CPET. Additionally, we investigated whether dynamic hyperinflation changed after treatment with endobronchial coils.

Methods

This single center prospective study included patients with severe emphysema and hyperinflation who participated in an open label trial investigating endobronchial coil treatment which was approved by the local ethics committee (NCT02179125). All patients provided written informed consent. Patients were included between September 2015 and November 2017.

The coil treatment was performed during two separate bronchoscopic procedures under fluoroscopy while the patient was under general anesthesia. During each bronchoscopy, 9 to 13 nitinol coils were placed in one (usually upper) lobe. After 6 weeks the second target lobe was treated during a second bronchoscopy, unless there were complications of the first treatment, in which case the second procedure was postponed or cancelled.

All measurements were performed at baseline and three months post-treatment. Post-bronchodilator spirometry, body plethysmography and diffusing capacity were measured according to ATS/ERS guidelines [8, 9]. The CPET (an incremental cycle-ergometer test) and 6-MWT were performed according to current guidelines [2, 10]. For both tests IC was measured with the use of a pneumotachograph beforehand (the mean of three reproducible measurements, defined as < 150 ml and/or <5% between measurements) and 30 seconds after maximal exercise (mean of two measurements). IC was measured in a semi-recumbent position for the CPET and standing upright for the 6MWT. The protocol for measuring dynamic hyperinflation with MPT was described in an earlier publication[3]. In short, after measurement of the baseline IC, patients were asked to breath 40 times/minute for one minute, after which the IC was immediately measured again. This measurement was repeated three times. Dynamic hyperinflation was calculated by subtracting the IC post-test from the IC pre-test.

Results

Twenty nine patients were included: 21 female; median age 63 (range 44 to 76) years; FEV₁ 25 (14 to 43)%pred; RV 231 (176 to 322)%pred. Treatment with coils resulted in a change in FEV₁ of +95 (-5 to +320) ml, RV -390 (-1490 to +10) ml, six-minute walking distance +28 (-7 to +174) meters (all p<0.001, Wilcoxon Signed Rank test). At the baseline CPET the median workload was 27 (3 to 51) Watt, with a peak VO₂ of 10 (7.7 to 15.4) ml/min/kg. Post-treatment there was a clinically relevant change in workload of +7 (-5 to +16) Watt (p<0.001) [11], with no significant change in peak VO₂ (+0.2 (-2.2 to +2.9)).
At baseline, dynamic hyperinflation was -740 (-1530 to -170) ml, -900 (-1470 to -540) ml and -335 (-690 to +60) ml measured with CPET, MPT and 6-MWT, respectively (table 1). These differences between baseline dynamic hyperinflation per test were statistically significant (all p<0.01). Three months after treatment, there was no significant change in dynamic hyperinflation measured with CPET (0 (-750 to +430)ml, p 0.93), MPT (-18 (-550 to +230)ml, p 0.17) and 6-MWT (-85 (-510 to +500) ml, p 0.11). Post-treatment, there was a significant change in tidal volumes during maximum effort for CPET (+110 (-10 to +710) ml, p 0.001) and average tidal volumes for MPT (+55 (-55 to +260) ml, p<0.001) (table 1).

There was a strong association between dynamic hyperinflation measured by CPET and MPT (rho 0.660, p<0.001), and a moderate association between CPET and the 6-MWT (rho 0.361, p 0.024). Measurements of dynamic hyperinflation with CPET and MPT were plotted in a Bland-Altman plot (figure 1). There was a mean difference of 202 ml (95% CI -287 to +690 ml) between dynamic hyperinflation measured by CPET and MPT.

**Discussion**

In this single center prospective study, dynamic hyperinflation was measured in patients with severe emphysema using cardiopulmonary exercise testing, a manually paced tachypnea test and a 6-minute walk test. There was a strong significant association between dynamic hyperinflation measured by CPET and MPT. A Bland-Altman plot showed a moderate agreement between these two tests, with a mean difference of dynamic hyperinflation of 202 ml in favor of MPT. We found only a moderate association of 6-MWT with CPET.

We previously demonstrated measurement of dynamic hyperinflation with MPT to be safe and feasible in patients with severe COPD [3]. Additionally, this study demonstrates a strong association and moderate agreement between dynamic hyperinflation measurements with CPET and MPT. This strong association is in line with earlier studies [12, 13]. An advantage of MPT over CPET is that it is less costly and time consuming.

A possible explanation for the 202 ml mean difference between dynamic hyperinflation measured with CPET and MPT in our study could be the difference in maximum breathing frequency (CPET: 26/min at maximum workload versus MPT: 40/min). MPT may therefore overestimate dynamic hyperinflation, since in real life patients with severe emphysema may rarely reach a breathing frequency this high. Other possible explanations are a different interval between the end of the test and the IC-measurement, a different posture (CPET: semi-recumbent versus MPT: sitting) and a different exercise state. However, earlier studies in patients with moderate to severe emphysema did not find a significant difference in dynamic hyperinflation between MPT and CPET [12, 13].

In this study, the 6-MWT appears to be a less suitable alternative for CPET to measure dynamic hyperinflation. During the study the interval to IC measurement at the end of the test was often over the prespecified 30 seconds, mainly because of exhaustion of the patient who was then unable to correctly
perform the IC maneuver after this short interval. This may explain in part why dynamic hyperinflation measured with the 6-MWT was significantly lower than CPET and MPT.

There was no difference between dynamic hyperinflation before and after coil treatment in the current study. This is different from an earlier study we performed demonstrating an increase in dynamic hyperinflation measured by MPT after bronchoscopic lung volume reduction with either coils or endobronchial valves [14]. The proposed underlying mechanism for this increase in dynamic hyperinflation is an increase in the inspiratory capacity because of the reduction in static hyperinflation, which leaves more room for dynamic hyperinflation to occur. In the current study, the improvement in static hyperinflation was less pronounced than in the earlier study (-390 versus -765 ml), which may in part explain why dynamic hyperinflation did not change. Furthermore, for the CPET en 6MWT there was a median increase in exercise capacity after treatment (+7 Watt and +28 meters, respectively), which means that the same amount of dynamic hyperinflation occurred at a later moment during exercise. However, this does not apply to the MPT, which is based on hyperventilation without exercise.

In the current study all patients had severe static hyperinflation and airflow obstruction and demonstrated an impaired exercise capacity on the basis of a ventilatory limitation during CPET. Both at baseline and follow up, dynamic hyperinflation was demonstrated in all participating subjects. So even after coil-treatment dynamic hyperinflation is still likely to contribute to the reduced exercise tolerance. Therefore, one could argue that measuring the presence of dynamic hyperinflation in this patient category may not give additional information, since it is already highly likely that dynamic hyperinflation is present. However, to learn more about the quantity of dynamic hyperinflation and the effects of new treatments on dynamic hyperinflation we believe it is still useful to perform measurements of dynamic hyperinflation in these patients.

Study limitations are the relatively small sample size and that we investigated a selected group of emphysema patients with severe static hyperinflation, since these are prerequisites for bronchoscopic lung volume reduction treatments. Furthermore, there were some differences in the interval between the end of exercise or hyperventilation and the moment the IC was measured between the three investigated tests, which could account for some of the differences in measured dynamic hyperinflation.

In conclusion, we demonstrated that a manually paced tachypnea test is a suitable alternative to cardiopulmonary exercise testing to measure dynamic hyperinflation in patients with severe emphysema, although it may slightly overestimate dynamic hyperinflation. The 6-minute walking test was a less suitable substitute to cardiopulmonary exercise testing for the measurement of dynamic hyperinflation. Furthermore, we measured no change in dynamic hyperinflation after treatment with endobronchial coils.

Declarations

Data availability: The datasets used and/or analyzed during the current study are available from the corresponding author on reasonable request.
Funding: No funding was received for this study.

Competing interest: MD, JH, SA, NH, KK have no real or perceived competing interests. DS reports grants and non-financial support from PneumRx/BTG, outside the submitted work.

Author’s contributions: MD was responsible for data analysis, interpretation and preparing the first draft of the manuscript. JH was responsible for the study design, recruiting participants, and data interpretation. SA was responsible for data acquisition. NH was responsible for the study design and recruiting participants. KK was responsible for the study design and recruiting participants. DS was responsible for the study design, recruiting participants and supervision of the preparation of the manuscript. All authors were responsible for revising the manuscript.

References

1. Cooper CB. Airflow obstruction and exercise. Respir Med. 2009;103(3):325-34.

2. American Thoracic S, American College of Chest P. ATS/ACCP Statement on cardiopulmonary exercise testing. Am J Respir Crit Care Med. 2003;167(2):211-77.

3. Klooster K, ten Hacken NH, Hartman JE, Sciurba FC, Kerstjens HA, Slebos DJ. Determining the Role of Dynamic Hyperinflation in Patients with Severe Chronic Obstructive Pulmonary Disease. Respiration. 2015;90(4):306-13.

4. Satake M, Shioya T, Uemura S, Takahashi H, Sugawara K, Kasai C, et al. Dynamic hyperinflation and dyspnea during the 6-minute walk test in stable chronic obstructive pulmonary disease patients. Int J Chron Obstruct Pulmon Dis. 2015;10:153-8.

5. Singh D, Agusti A, Anzueto A, Barnes PJ, Bourbeau J, Celli BR, et al. Global Strategy for the Diagnosis, Management, and Prevention of Chronic Obstructive Lung Disease: the GOLD science committee report 2019. Eur Respir J. 2019;53(5).

6. Sciurba FC, Criner GJ, Strange C, Shah PL, Michaud G, Connolly TA, et al. Effect of Endobronchial Coils vs Usual Care on Exercise Tolerance in Patients With Severe Emphysema: The RENEW Randomized Clinical Trial. JAMA. 2016;315(20):2178-89.

7. Slebos DJ, Cicenia J, Sciurba FC, Criner GJ, Hartman JE, Garner J, et al. Predictors of Response to Endobronchial Coil Therapy in Patients With Advanced Emphysema. Chest. 2019;155(5):928-37.

8. Miller MR, Hankinson J, Brusasco V, Burgos F, Casaburi R, Coates A, et al. Standardisation of spirometry. Eur Respir J. 2005;26(2):319-38.

9. Wanger J, Clausen JL, Coates A, Pedersen OF, Brusasco V, Burgos F, et al. Standardisation of the measurement of lung volumes. Eur Respir J. 2005;26(3):511-22.

10. Laboratories ATSCoPSfCPF. ATS statement: guidelines for the six-minute walk test. Am J Respir Crit Care Med. 2002;166(1):111-7.

11. Puhan MA, Chandra D, Mosenifar Z, Ries A, Make B, Hansel NN, et al. The minimal important difference of exercise tests in severe COPD. Eur Respir J. 2011;37(4):784-90.
Table

Table 1

|                      | Baseline                  | Follow up                  | p-value |
|----------------------|---------------------------|----------------------------|---------|
| **CPET**             |                           |                            |         |
| Dynamic hyperinflation (ml) | -740 (-1530 to -170)     | -750 (-1300 to -320)       | 0.93    |
| Breathing frequency (x/min) at maximum workload | 28 (16-55)              | 26 (15-38)                | 0.017   |
| Tidal volumes (ml) at maximum workload      | 840 (480-1310)           | 1010 (630-1780)           | 0.001   |
| Maximum workload (Watt)                        | 27 (3 to 51)             | 33 (16 to 61)             | 0.001   |
| VO\(_2\) peak (ml/min)                          | 719 (508 to 1090)        | 770 (546 to 1109)         | 0.13    |
| **MPT**             |                           |                            |         |
| Dynamic hyperinflation (ml) | -900 (-1470 to -540)     | -990 (-1690 to -540)       | 0.017   |
| Breathing frequency (x/min)                   | 40 (39 to 42)            | 40 (39 to 41)             | 0.53    |
| Tidal volumes (ml)                             | 589 (390 to 895)         | 680 (460 to 975)          | <0.001  |
| **6MWT**            |                           |                            |         |
| Dynamic hyperinflation (ml) | -335 (-690 to +60)       | -480 (-930 to -110)       | 0.11    |
| Distance                                         | 321 (172 to 469)         | 362 (160 to 469)          | 0.004   |

Table 1. Baseline and follow up outcomes for manually paced tachypnea test (MPT), cardiopulmonary exercise test (CPET) and six-minute walk test (6MWT). VO\(_2\) = oxygen uptake.

Figures
Figure 1

A Bland-Altman plot of dynamic hyperinflation measured by cardiopulmonary exercise testing (CPET) and a manually paced tachypnea test (MPT).