An economic evaluation of a mobile text messaging intervention to improve mental health care in resource-poor communities in China: a cost-effectiveness study

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Abstract

Background: Severe mental disorders, a leading cause of disability has become a major public health problem. In order to promote mental health, a series of programs have been promulgated by the Chinese government. However, economic evaluations of such programs are lacking. The purpose of this study is to develop and validate an economic model to assess the cost and health outcomes of the LEAN (Lay health supporters, E-platform, Award, and iIntegration) program, and to perform an economic evaluation of LEAN versus the nationwide community-based mental health program that provides free antipsychotic medications.

Methods: A cost-effectiveness and cost-utility analysis of the LEAN intervention will be performed. A Markov model will be developed, validated and used to assess and compare the costs and outcomes for the LEAN intervention versus nationwide community-based mental health program. The calculated sample size is 258 participants for the analysis. A societal perspective will be applied with the time horizon of 1-year after the termination of the LEAN program. The cost-utility will be measured primarily using Quality Adjusted Life Years and the cost-effectiveness will be measured using number of relapses and number of re-hospitalizations avoided 6-month after the intervention. Univariate and probabilistic sensitivity analysis will be conducted for the analysis of uncertainty.

Discussion: If proven cost-effective, this study will contribute to the nationwide implementation of the program, not only for schizophrenia but for all kind of severe mental disorders. Markov model developed as part of the study will benefit potential researchers in analyzing cost-effectiveness of other programs. The Chinese context of the study may limit the generalizability of the study results to some extent.

Trial registration: This study was registered in a Chinese Clinical Trial Registry (ChiCTR2000034962) on 25 July 2020.

Keywords: Cost-effectiveness, Cost-utility, Mental disorder, Schizophrenia

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Background

With a rapid change in the socio-economic development over the past three decades, the rise in the incidence rates of severe mental disorders has become a major public health problem in China [1]. Severe mental disorders, which include schizophrenia, bipolar disorder and severe depression are complex psychiatric disorders that manifest into disabling conditions of hallucinations, delusions, and disordered thinking and behavior [2]. Due to their disabling effects, it has devastating costs to patient, families, and society. In China, the burden of mental disorders account for 13% of all non-communicable diseases burden and is expected to increase by 10% by the year 2025 [3], warranting an urgent prioritization of programs focused on targeted prevention and effective treatment.

Effective treatment is available for mental disorders, but the shortage of mental health professionals and non-adherence to treatment have led to large treatment gaps in China [4, 5]. A systematic review of the studies that had used the internet- and mobile-based interventions to overcome the barrier in providing mental healthcare showed clinically and cost-effective results [6]; however, no studies included in the review were conducted among the Chinese population. To understand the potential role of mobile intervention to help address the challenge in providing mental healthcare, our study team conducted a randomized controlled trial to leverage the power of family members by using mobile texting to assist in formal patient care in a resource constraint rural area in China. Our approach, called “LEAN” (Lay health supporters, E-platform, Award, and iNtegration of services with the health system), involved the recruitment of lay health supporters aided by text messages for medication reminders, health education, monitoring of early signs of relapses, and facilitating linkage to primary healthcare to the patients with schizophrenia [7, 8]. LEAN intervention adapted the Health Belief Model (HBM) to target individual components of LEAN from the empirical literature on task shifting and mHealth to improve patient adherence to medication [9]. With the increasing prevalence of the severe mental disorder, there is also an increase in the burden experienced by caregivers and health care providers. Nonadherence to antipsychotic medication is one of the major concerns among these patients that increases the risk of relapse and re-hospitalization [10], suggesting a need of the development of strategies that would reduce medication nonadherence [5, 11].

A nationwide mental health program (the 686 program) was launched in China in 2005 [12] with an aim to address the challenges around the lack of integration between hospital and community health care for managing severe mental disorders. The program moved specialty mental health services into community settings providing outreach services into the community. Although the program has been able to improve access to care, it has not been able to reach its ambitious goals due to enormous challenges in the delivery of the program, greater commitment of resources, and limited available services in the community [12, 13]. Potentially, a simple, easy-to-implement and sustainable service model that can be efficient even in the presence of few mental health professionals and small mental health budgets like LEAN intervention can bring significant benefits to China’s vast population of people, not only with schizophrenia but with other kinds of severe mental disorders too. Results of the LEAN intervention showed significant improvement in medication adherence, symptoms and functioning, reduction in the risk of relapses (defined as an overall and marked increase in symptoms assessed by the health professionals through interviewing patients and family members according to the 686 Program protocol) and re-hospitalizations among patients with schizophrenia [7]; however, the study did not collect sufficient cost data and failed to analyze and report the cost-effectiveness and cost-utility of the intervention.

As a decision to adopt a new strategy or service by health policy decision-makers depends on several factors such as the ability and willingness to pay for marginal health benefits, the effectiveness of the service, and the level of need in the community. Hence, there is a need for the development of a model that can be used to estimate the cost-effectiveness and cost-utility of this novel approach that could demonstrate the added value of the program over the existing program. Hence, the objective of this study is: (i) to develop an economic model to assess the cost and health outcomes of LEAN intervention from a societal perspective and validate the model and (ii) to assess the cost-effectiveness and cost-utility of the LEAN intervention versus the 686 Program alone.

Methods/design

Study design

This will be a cost-effectiveness analysis (CEA) and cost-utility analysis (CUA) of a randomized controlled trial that will compare people with schizophrenia who received the 686 Program alone (control group) with those who received the LEAN intervention along with the 686 program (intervention group) that delivered mobile phone text messaging (Table 1). CEA, the most commonly employed type of economic analysis [14] will compare the costs of averting the number of relapses and the number of re-hospitalizations in LEAN intervention as compared to the 686 program. The added value of the LEAN intervention over the 686 Program will be captured through the incremental cost-effectiveness ratio (ICER). For CUA, the effects of an intervention will
be expressed as a measure of ‘utility’, commonly measured using the quality-adjusted life-year (QALY) [14]. The study will adopt a broad societal perspective on value, specifically to incorporate all health care costs such as direct medical cost of identifying individuals with schizophrenia and their medication adherence, and all health effects such as patient symptoms, functioning, relapses, and re-hospitalizations [15]. The indirect non-medical costs will include production losses related to reduced efficiency at work and absenteeism at work [16].

The disease progression model as defined by McGorry et al. [17] that states different clinical staging of psychiatric disorders has been used to develop the basic design of the model. The final framework of the Markov model will be decided after the consultation with mental health experts, public health experts, and experts in economic modeling.

### Setting and duration

The LEAN trial was implemented in 9 rural townships (total population 356,900) of Liuyang municipality, Hunan Province, in central China. In this parent trial, the intervention group received LEAN intervention along with the 686 Program, while the control group received only the 686 Program that lasted from December 15, 2015 to June 15, 2016 for the duration of 6 months (Fig. 1).

### Study sample

Participants were randomly selected from the community-dwelling villagers having a primary diagnosis of schizophrenia in the parent study and were randomized 1:1 into two groups. Participants were selected if they were community-dwellers, were enrolled in the 686 Program, had a primary diagnosis of schizophrenia, were

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**Table 1** Types of economic analysis and economic costs of the LEAN intervention

| Type of analysis | Cost category | Costs | Outcome (effect) | Results expressed as: |
|------------------|---------------|-------|------------------|----------------------|
| CEA | • Cost of human resources<br>• Cost of intervention<br>• Cost of using medical resources | Monetary units (CNY) | Effect of intervention (number of relapses and re-hospitalizations averted) | • Cost per relapse and re-hospitalization averted<br>• ICER |
| CUA | • Cost of identifying individuals with schizophrenia and their medication adherence<br>• Cost of assessing change in symptoms and functioning | Monetary units (CNY) | Healthy lifetime gained (QALYs) | Cost per QALY gained |

**CEA** Cost-effectiveness analysis, **CUA** Cost-utility analysis, **CNY** Chinese yuan, **ICER** Incremental cost-effectiveness ratio, **QALY** Quality-adjusted life-year

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*Fig. 1* Basic design of Markov model illustrating different states of mental health through which an individual with schizophrenia could transition. Each state is associated with the cost and value of quality-adjusted life-year (QALY) gained. All states may also progress to death or disability.
on oral psychotropic medications, and physically resided in 1 of 9 rural townships of Liuyang municipality. Participants were excluded from the study if they were hospitalized due to schizophrenia at the time of recruitment, had missed the most recent three consecutive past drug refills, or were physically incapable of using voice or text messaging. The primary outcome of LEAN trial was medication adherence assessed by unannounced home-based pill-counts; secondary outcomes included patient-level functioning assessed using 12-item World Health Organization Disability Adjustment Scale 2.0 (WHO-DAS 2.0) [18, 19], the severity of symptoms assessed by Clinical Global Impression in Schizophrenia (CGI-Sch) [20], relapse, and re-hospitalization. Outcome data were collected at baseline during the recruitment process and at 6 months after the implementation of LEAN. In addition to the outcome data collected as part of the trial, we will do additional fieldwork to collect program cost (i.e., cost data and effectiveness data) of the implemented LEAN intervention. Trained research assistants (RAs) will be responsible for conducting interview using survey questionnaire with the lay-health workers and family members to collect program cost data. RAs will also be responsible for obtaining the signed informed consent form from the participants. On the consent form, participants will be asked if they agree to use of their data and for permission for the research team to share relevant data with people from the university taking part in the research or from regulatory authorities, where relevant.

**Sample size**

The required sample size of 258 participants was calculated in the parent study [7], which will also be used in the current economic evaluation. Given the nature of our planned cost-effectiveness study, a sample size of 258 participants will be sufficient and relevant for conducting interviews to assess costs thus meeting the purpose of our study.

**Data**

**Cost data**

The original research collected little cost data. Additional fieldwork will be conducted to supplement the cost data that will include cost data on human resources (family members and lay health supporters, and health professionals – village doctors, mental health administrators, and psychiatrists), intervention (text message development, and maintenance of texting platform and texting), project management, medication cost incurred due to increased adherence, and use of medical resources (outpatient and inpatient care), and indirect non-medical costs (efficiency at work and absenteeism at work in the past 4 weeks), assessed with the second part of the Trimbos/iMTA questionnaire for Costs associated with Psychiatric Illness (TiC-P) [21]. Primary data will be collected from the study participants by an interview and clinical data will be extracted from the medical records (Table 2).

Costs will be estimated using quantities of resource used and prices. The price will be estimated using existing market prices or shadow prices (the estimated price of a good or service for which no market price exists). Costs can be fixed (that cannot be changed) or variable (flexible and changes with productivity level); the sum of both these costs will be considered as the total cost. We will develop a standardized cost collection tool and will validate it before we collect new data. For the interpretation of costs of LEAN intervention, we will estimate the incremental change to total costs.

**Effectiveness data**

The effectiveness data will be extracted from published literature on risk-prediction. Published risk-prediction models will be used to estimate medication adherence [22] and the risk of developing relapses [23] and re-hospitalizations [24, 25].

**Outcomes**

**Effectiveness outcomes**

The primary measure for cost-effectiveness will be the number of relapses and the number of re-hospitalizations avoided 6-month after the intervention. Relapses and re-hospitalizations cause a high burden to healthcare systems and patients and are used for different aims, such as a measure of cost control or quality of hospital care. There are no established criteria for defining relapse in schizophrenia. In our study, relapse will be defined as clinically significant exacerbation of psychotic symptoms evaluated by the investigators during interviews according to self-report or caregiver’s report [10]. The inter Resident Assessment Instrument-Mental Health (interRAI MH) assessment tool that has been validated in China will be used to assess the risk of re-hospitalization due to schizophrenia [26]. The interRAI MH includes a range of items measuring health service utilization, clinical and functional status, harm to self and others, social relationships, and vocational factors that assess the risk of re-hospitalizations [27, 28]. Study staff responsible for data collection will complete the assessment tool based on the interview, observation, and discussion with patients and family members. The study will also assess clinical/medical records to extract the data that will be valued in the model for predicting outcome measures. The secondary effectiveness measures will be medication adherence. The medication adherence will be measured using the proportion of dosage taken and good adherence will be defined as taking more than
80% of monthly pill counts, as stated in a previous study conducted among people with schizophrenia [29]. Adherence will be assessed using two unannounced home-based pill counts 30 days apart at the 6-month endpoint.

**Utility outcomes**
The measure for cost-utility will be Quality Adjusted Life Years (QALYs). QALY serves as a generic measure of health outcomes as it allows a combination of both morbidity, measuring the quality of life, and mortality, measuring the length of life, into a single score [30]. QALYs will be calculated using the 12-item version of the WHODAS 2.0 [18] that has been validated for the Chinese population [31, 32]. The WHODAS 2.0 measures daily functioning across six domains: cognitive (understanding and communicating), mobility (getting around), self-care, getting along with people, life activities and participation in society. Participants were asked to state the level of difficulty in accomplishing tasks and activities over the past 30 days, based on a 5-point ordinal scale (none, 1; mild, 2; moderate, 3; severe, 4; and extreme, 5) [33].

All data will be collected and managed using REDCap electronic data capture tool [34] hosted at the Sun Yat-sen University. To maintain confidentiality, all data collected as part of this study will be identified with the

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**Table 2** Description of key model variables

| Variables                     | Operational definition                                                                 | Type of variable | Instrument used                  | Source of the data                                      |
|-------------------------------|----------------------------------------------------------------------------------------|------------------|----------------------------------|--------------------------------------------------------|
| Demographic and epidemiologic variables |                                                                                        |                  |                                  |                                                        |
| Population data               | The initial number of people with a diagnosis of schizophrenia in each township         | Continuous       | Survey, records                  | Published literature, medical records, the 686 Program registry |
| Mortality rate                | Incidence of death due to any reason                                                    | Continuous       | Survey, records                  | Parent study, Medical record                            |
| Morbidity rate                | Incidence of developing relapse                                                         | Continuous       | Survey, records                  | Parent study, Medical record                            |
| Prevalence of Schizophrenia   | National schizophrenia prevalence                                                       | Continuous       | Survey, records                  | Published literature, China Health Statistical Yearbook |
| Outcome variables             |                                                                                        |                  |                                  |                                                        |
| Medication adherence          | The proportion of antipsychotic dosages taken over the past 30 days                    | Continuous       | Unannounced pills count          | Parent study, the 686 Program medication prescribing system |
| Relapse                       | Clinically significant exacerbation of psychotic symptoms                               | Dichotomous      | Interview (self-report and/or caregiver report) | Parent study                                           |
| Re-hospitalization            | Re-hospitalization due to schizophrenia                                                | Dichotomous      | interRAI MH                      | Parent study, Medical record, field work               |
| Functioning                   | Level of difficulty in accomplishing tasks and activities over the past 30 days        | Ordinal          | WHODAS 2.0                       | Parent study                                           |
| Symptoms                      | Severity of psychotic symptoms                                                         | Ordinal          | CGI-Sch                          | Parent study                                           |
| Program cost variables        |                                                                                        |                  |                                  |                                                        |
| Intervention cost             | • Cost of training in preparation for LEAN                                             | Continuous       | Cost estimating tool             | Fieldwork to be conducted as part of the study, parent study |
|                               | • Cost of identifying individuals with schizophrenia                                    |                  |                                  |                                                        |
|                               | • Cost of assessing medication adherence                                                 |                  |                                  |                                                        |
|                               | • Cost of message development and management                                            |                  |                                  |                                                        |
|                               | • Cost of phones                                                                        |                  |                                  |                                                        |
|                               | • Cost of texting to patients and lay-health supporters                                  |                  |                                  |                                                        |
| Human resource cost           | Cost of family members, lay health supporters, and health professionals (village doctors, mental health administrators, and psychiatrists) | Continuous       | Cost estimating tool             | Fieldwork to be conducted as part of the study          |
| Medication cost               | Antipsychotics cost incurred due to increased adherence                                 | Continuous       | Cost estimating tool             | Fieldwork to be conducted as part of the study          |
| Indirect non-medical costs    | • Efficiency at work                                                                    |                  |                                  |                                                        |
|                               | • Absenteeism at work                                                                   |                  |                                  |                                                        |

Parent study is the LEAN intervention

*interRAI MH* inter Resident Assessment Instrument-Mental Health, *WHODAS* World Health Organization Disability Assessment Schedule, *CGI-Sch* Clinical Global Impression in Schizophrenia, *TiC-P* Trimbos/iMTA questionnaire for Costs associated with Psychiatric Illness
unique identification number allocated to the participants in LEAN trial.

Model development and validation

Model development

We will develop a Markov model [35] to compare outcome measures (e.g., medication adherence, symptoms, functioning, and QALYs) and costs of care in patients with schizophrenia approached with LEAN intervention plus 686 program versus 686 program alone. The model will employ Markov-type simulation of long-term health benefits, health care costs, and cost-effectiveness of the LEAN intervention. The iterative process of development of model structure and estimating model parameters will involve: reviewing of evidence-based literature, synthesizing data, consultation with health economists and statisticians, consultation with psychiatrists for expert opinion, and making some PAGs using the modified Delphi technique (Fig. 2) [36]. First-order Monte Carlo simulation [37] technique will be used to generate expected outcomes and costs of care for a hypothetical cohort of 10,000 patients.

Model validation

A framework developed by McCabe et al. to test the validity of the cost-effectiveness model will be used to validate the model in this study [38]. Validity will be assessed in terms of (i) the structure of the model (descriptive validity): ensuring that the possible pathways described by the model are feasible and sensible; (ii) the inputs of the model (internal validity): justification of the data utilized in the model; (iii) the results of the model (predictive validity): comparison of the modeled estimates with those produced in real life; and (iv) the value of the model to the decision maker: comparison of the structure, inputs and results of a model with those of existing cost-effectiveness models on treatment of mental health conditions [39, 40] and justification of differences.

Data analysis

Incremental cost-effectiveness ratio (ICER)

A healthcare sector perspective of the cost will be estimated using ICER and their uncertainty. The ICER will be calculated as the cost incurred to gain one QALY:

\[
\text{ICER} = \frac{CT - CC}{ET - EC} = \frac{\Delta C}{\Delta E}
\]

where, CT and ET are the cost and effect of a new health program i.e., LEAN intervention in this study and CC and EC are the cost and effect of the baseline comparator i.e., the community-based mental health program. Distribution of incremental costs and effects will be presented graphically on a cost-effectiveness plane, to show the estimated joint distribution of incremental costs against incremental effects. To avoid inconsistencies in the conclusions and account for the timing of events, we will apply a discounted rate of 3%; this is the rate typically used in the cost-effectiveness analysis [41].

Analysis of uncertainty

One-way sensitivity analyses will be undertaken to determine which parameters have the most impact on the results. To analyze the overall uncertainty in the model, we will employ a Probabilistic Sensitivity Analysis (PSA) in which every parameter of the model will be assigned a statistical distribution. With this technique, we will obtain the distributions of costs, health outcome values, and the resulting cost-effectiveness ratios, that will include estimation of 95% uncertainty intervals. Key input
parameters to be examined will include, but will not be limited to, discount rate, intervention costs, non-adherent rates, and unit costs of schizophrenia-related morbidities. Results from the analysis will be presented in cost-effectiveness scatter plots. TreeAge Pro 2018 Software will be used as an analytical tool [42].

Discussion
The purpose of this study will be to assess the cost-effectiveness and cost-utility of the LEAN intervention as compared to the nationwide community-based mental health program. With this study, we aim to investigate the cost-effectiveness and cost-utility of LEAN intervention, see an improvement in the patient’s quality of life, achieve a reduction in the relapse of cases and re-hospitalization number, achieve an increase in the medication adherence, and be able to report a decrease in the health care costs. This study will provide a novel economic model to evaluate the cost-effectiveness and cost-utility of alternative public health interventions for schizophrenia and possibly other mental disorders. Further, the model can be used in the development of mental health programs and measuring cost-effectiveness of those program in different health care settings within China and in other resource-limited countries with mental health workforce gap.

If the cost-effectiveness and cost-utility of the LEAN intervention is demonstrated, professionals might use it in the primary health care settings of China with the expectation of improving quality of life of population with schizophrenia, decreasing incidence of disease comorbidities, and reducing social costs to the patient and his/her family. Thus, the findings from our study will be of interest to clinicians and others interested in costs and outcomes of mental health services among patients with schizophrenia or other severe mental disorders.

The strength of our study is that the LEAN intervention has been designed as a pragmatic effectiveness trial. In this type of design, the interventions reflect what may happen in practice and the results are often more generalizable and hence preferable for economic evaluation [43]. The economic model developed as part of this study will be flexible with a standardized framework, permitting the economic evaluation of schizophrenia management strategies in different health care settings of China. Our study will also have some limitations. Although the study by Xu et al. reported superior efficacy of LEAN in increasing medication adherence and substantial reduction in the risk of relapse and re-hospitalization [7], there is no any randomized controlled trial conducted for the nationwide program yet. Hence, the efficacy of nationwide program in unknown, making our comparison perhaps debatable. Further, due to the generalizability constraint of the Chinese context, the model developed in this study might need some adaptation before it could be used to other resource-limited settings with similar context. Despite these limitations, we believe our study has important clinical and economic implications.

Abbreviations
CEA: Cost-effectiveness analysis; CUA: Cost-utility analysis; CGI-Sch: Clinical Global Impression in Schizophrenia; HBM: Health Belief Model; ICER: Incremental cost-effectiveness ratio; interRAI-MH: Inter Resident Assessment Instrument-Mental Health; LEAN: Lay health supporters; E-platform, Award, and iNegation; PSA: Probabilistic sensitivity analysis; TiC-P: Trimbos/IMTA questionnaire for Costs associated with Psychiatric Illness; QALY: Quality Adjusted Life Year; WHODAS: World Health Organization Disability Assessment Schedule

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Authors’ contributions
All authors (RD, JL, DZ, YC, CH, FH, RW, ML, and DX) contributed to the conceptualization and design of the study. DX and RD conceived the initial study design. RD and DX prepared the first initial draft of the study and revised all drafts incorporating comments from all the authors. DX, JL, DZ, YC, CH, FH, RW, and ML provided critical review and revision of the manuscript. DX, YC, CH, and RD will oversee the data collection process. RD, DZ, CH, and RW will undertake data analysis. All authors read and approved the final manuscript.

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Availability of data and materials
Data will be available from the corresponding author on reasonable request.

Ethics approval and consent to participate
Ethics review committee at the Sun Yat-sen University approved the conduct of this study (No. 2019-133). Written informed consent will be obtained from each lay health workers and family members of the patient before participating in the survey.

Consent for publication
Not applicable.

Competing interests
The authors declare that they have no competing interests.

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