DNA Binding Properties of Two Ruthenium(III) Complexes Containing Schiff Bases Derived from Salicylaldehyde: Spectroscopic and Electrochemical Evidence of CT DNA Intercalation

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RECEIVED NOVEMBER 28, 2012; REVISED MAY 15, 2013; ACCEPTED MAY 22, 2013

Abstract. The interaction of CT DNA by two anionic Ru(III) complexes with N-substituted salicylide-nimine ligands was investigated by spectroscopic titration and cyclic voltammetry. The result gives a surprising evidence for intercalation of DNA by the negatively charged complex species containing non-typical intercalating ligands with $K_b$ of order $10^4 \text{M}^{-1}$. Na[RuCl$_2$(N-R-5-X-salim)$_2$], where R represents butyl or phenyl and X = H, Cl, were characterized on the basis of elemental analysis, MALDI-TOF mass spectrometry, infrared, UV/visible spectroscopic measurements and cyclic voltammetry. (doi: 10.5562/cca2216)

Keywords: Schiff base, ruthenium, DNA intercalation, spectroscopy, cyclic voltammetry

INTRODUCTION

Ruthenium compounds have been the subject of great interest and impressive development in last decades for many reasons, especially due to their catalytic$^{1-4}$ and anticancer activities.$^{5-11}$ Ruthenium generally demonstrates affinity toward $N$-donor molecules such as proteins and DNA. The mechanism of the action of antitumor-active ruthenium compounds is not entirely known. Closely, it is thought that the complexes containing chlorides, or other easily leaving groups, can hydrolyze in vivo, allowing the covalent binding of the nucleobases from DNA to ruthenium.$^{12}$ Many Ru(II) and Ru(III) compounds with numerous different ligands are reasonably synthesized and investigated for the purpose of possible application in medicine and catalysis. There are no many complexes containing Schiff bases derived from salicylaldehyde described in literature, although such ligands generally show considerable stereochemical flexibility and capability to tune the reduction potential of metal center, acting as $N$- or $N,O$ ligands.$^{13,14}$ Some of them are described as very good catalysts in organic synthesis because the presence of salicylidime in the molecule increases the stability and catalytic activity.$^{15}$ Such ligands are derived from 2,4,6-tris substituted phenylamines and salicylaldehyde containing attached quaternary ammonium group to increase hydrophilic character.$^{16-18}$ Furthermore, some ruthenium complexes with salicyladi-mines showed significant biological activity against some bacteria, in contrast to the less active free ligands.$^{19}$ In addition, two dichloro-bis[N-phenyl-5-substituted-salicylideniminato-$N,O$]ruthenate(III) are described as electrochemical mediators for the low potential amperometric determination of ascorbic acid.$^{20,21}$

We aimed to investigate interaction of Ru(III) complexes containing simple Schiff bases derived from salicylaldehyde with DNA and we report spectroscopic and electrochemical evidence of CT DNA intercalation by two dichloro-bis[N-substituted-5-X-salicyldeniminato-$N,O$]ruthenate(III) compounds, hereinafter Na[RuCl$_2$(N-R-5-X-salim)$_2$] where R represents butyl or phenyl, while X = H, Cl.

EXPERIMENTAL

Chemicals were purchased from commercial sources and used without further purification. Calf thymus DNA (CT DNA) was purchased from Sigma Aldrich.

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Synthesis

Preparation of Ligands

N-substituted-5-X-salicylidenamines, hereinafter N-R-5-XsalimH (R = C6H5, C4H9, X = H, Cl) were prepared by simple condensation of butyl amine with salicylaldehyde and aniline with 5-chlorosalicylaldehyde in molar ratio 1:1 at room temperature. Solid N-phenyl-5-chlorosalicylidenimine was recrystallized from ethanol at 70 °C with 70–80 % yield.

Preparation of Complexes Na[RuCl2(N-R-5-X-salim)2]

Solution of RuCl3·3H2O (0.10 g, 0.38 mmol) in 5 mL absolute ethanol, 0.76 mmol freshly prepared dichloro-bis[N-butylsalicylideniminato-N,O] ruthenate(II), hereinafter Na[RuCl2(C22H28O2N2)Cl] was prepared according to the published procedure22 and precipitated as sodium salt. 0.46 g NaCl was washed with diethyl ether and kept at 80 °C within 90 minutes in rotary evaporator whereby the resulting solution has changed the color from brown to dark green. Precipitation was performed by adding the solution of 0.058 g NaCl (1 mmol) / 1 mL. The precipitation was performed with 1 mL water solution of NaCl. The resulting solution was kept at 80 °C maximally 1 day. Working electrode was polished prior overnight in ice-salt bath afterward the dark solid was filtered off and washed with ice-cold diethyleter. Concentrated stock solutions of complexes were prepared by initial dissolving DNA in small amount of DMSO and diluting to the same amount of DNA in reference solutions as in ruthenium-DNA solutions. Concentrated stock solutions in small amount of DMSO and diluting to the required concentrations.

Cyclic voltammograms of Na[RuCl3(N-R-5-X-salim)2] were recorded on an electrochemical work-station Autolab potentiostat/galvanostat (PGSTAT 12) in DMF (N,N-dimethylformamide) solution with sodium perchlorate as supporting electrolyte using glassy carbon working electrode and Ag/AgCl reference electrode in the range of potential of −1.5 to +0.1 V, with scan rate 0.8 V s−1. Working electrode was polished prior to measurements with 1 µm diamond paste. Electrochemical titrations of ruthenium compounds with CT DNA were recorded by cyclic voltammetry in Tris–HCl buffered water solution (pH 7.4), at ambient temperature in 5 mL-volume conical compartment self-made

Physical Measurements

Elemental analyses were performed on a Perkin Elmer 2400 Series CHNS/O Analyzer. Mass spectra were obtained on a matrix-assisted laser desorption / ionization-time–of-flight MALDI-TOF/TOF mass spectrometer (4800 Plus MALDI TOF/TOF analyzer, Applied Biosystems Inc., Foster City, CA, USA) equipped with Nd:YAG laser operating at 355 nm with firing rate 200 Hz in the negative ion reflector mode. 1600 shots per spectrum was taken with mass range 10−1500 Da, focus mass 500 Da and delay time 100 ns. Small amount of sample (on pipette tip) was resuspended in 10 µl of DHB MALDI matrix (5 mg/mL; dissolved in 50/50 acetonitrile/water, v/v) and 1 µl was spotted on MALDI plate. The spectrum was internally calibrated providing measured mass accuracy within 5 ppm of theoretical mass riboflavin and 3-aminosalicylic acid were used as internal calibrants in negative ion mode.

The infrared spectra were recorded as KBr pellets on a Perkin Elmer spectrum BX FTIR System in the region 4000−400 cm−1. UV/visible spectra, hydrolysates and CT DNA binding were measured on a Perkin Elmer lambda 35 spectrophotometer. Hydrolysis experiments were performed by adding the concentrated solution of complexes in DMSO to water buffered solutions (phosphate buffer, pH 7.5). The stock solution of CT DNA was prepared in Tris–HCl buffer at pH 7.4 and stored at 4 °C maximally 1–4 days. The concentration of DNA was calculated on the basis of extinction coefficient 6600 M−1 cm−1 at 260 nm.23 The purification of DNA by phenol extraction methods improved the ratio of UV absorbance at 260 and 280 nm A260/A280 ca. 1.8, indicating that DNA was satisfactory free from proteins. The absorption of DNA itself was removed by adding the same amount of DNA in reference solutions as in ruthenium-complex-DNA solutions. Concentrated stock solutions of complexes were prepared by initial dissolving compounds in small amount of DMSO and diluting to the required concentrations.

Cyclic voltammograms of Na[RuCl3(N-R-5-X-salim)2] were recorded on an electrochemical work-station Autolab potentiostat/galvanostat (PGSTAT 12) in DMF (N,N-dimethylformamide) solution with sodium perchlorate as supporting electrolyte using glassy carbon working electrode and Ag/AgCl reference electrode in the range of potential of −1.5 to +0.1 V, with scan rate 0.8 V s−1. Working electrode was polished prior to measurements with 1 µm diamond paste. Electrochemical titrations of ruthenium compounds with CT DNA were recorded by cyclic voltammetry in Tris–HCl buffered water solution (pH 7.4), at ambient temperature in 5 mL-volume conical compartment self-made

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cell. The volume of the cell is adapted to the experiments meaning to the required volumes of Na[RuCl3(N-R-5-X-salim)2] solutions (2 mL) and added µL-amounts (0–60) of CT DNA.

RESULTS AND DISCUSSION

Synthesis and Spectroscopic Studies

Synthesis

Na[RuCl3(N-R-5-X-salim)2] compounds were synthesized from RuCl3 and freshly prepared ligands, butylsalicylidenimine (R = C4H9, X = H) and N-phenyl-5-chlorosalicylidenimine (R = C6H5, H = Cl), in absolute ethanol solutions in molar ratio 1 : 2. The water suspended in liquid Schiff base was not removed before the use because the synthesis of final product was not affected by the presence of water; moreover it is known that, in some cases, the traces of water in butylsalicylidenimine assists replacement of leaving group by Schiff base in starting compound. The precipitations of anionic complexes were performed by water solution of sodium chloride. The dark green solids are quite stable in air, insoluble in water, soluble in acetonitrile, DMF, acetone, ethyl alcohol, DMSO, CH2Cl2. The molar ratio of ruthenium and Schiff bases had to provide coordination of two anionic O, N ligands, keeping in octahedral environment of ruthenium, two chlorides as easily leaving groups. The characterization of compounds is based on elemental CHN analytical data, mass spectrum MALDI-TOF (Matrix Assisted Laser Desorption / Ionization; Time of flight mass analyzer), infrared and UV / visible spectroscopic measurements. Mass spectra showed molecular ions (M+) at m/z (100 %) = 524.06 which corresponds to \([C_{22}H_{24}Cl_{2}O_{2}N_{2}Ru]\) and m/z (100 %) found for \([C_{23}H_{26}Cl_{2}O_{2}N_{2}Ru]\) 633.91.

Infrared Spectroscopy

Infrared spectra of titled compounds and free ligands clearly demonstrate the mode of binding via azomethine nitrogen and deprotonated phenolic oxygen to ruthenium strongly supporting the octahedral structure of the compounds. Strong absorptions at 1583 and 1606 cm\(^{-1}\) in Na[RuCl3(N-Ph-5-Cl-salim)2] and Na[RuCl3(N-Bu-salim)2] are assigned to the stretching frequency of \(\text{C}=\text{N}\), compared to the frequencies at 1615 and 1633 cm\(^{-1}\) in free ligands, respectively. The shift of the frequencies after coordination toward lower wavenumbers for 32 and 27 cm\(^{-1}\) is a proof of strongly bound azomethine nitrogen on ruthenium. Taking into account the shifts as the rough measure of weakening of C\(^{\equiv}\)N from azomethine the absorptions at 669 cm\(^{-1}\) were assigned to Ru–N in both compounds. On contrary, phenolic C=O bond shows shift from 1271 and 1280 cm\(^{-1}\) in corresponding free ligands to 1305 and 1352 cm\(^{-1}\) in Na[RuCl3(N-Ph-5-Cl-salim)2] and Na[RuCl3(N-Bu-salim)2] as a result of increased electronic density on C=O(Ru) after deprotonization of C=O(H). The absorptions in the region 2850–2900 cm\(^{-1}\) were assigned to CH vibrations while moderate absorption at 1518 cm\(^{-1}\) belongs to skeletal C=C vibrations. The weak absorptions, appeared around 425 cm\(^{-1}\) after coordination of Schiff bases, were attributed to Ru=O.

UV/Visible Spectroscopy

Electronic spectra of free Schiff bases and corresponding complexes were recorded in CH3Cl2 solutions. Na[RuCl3(N-Bu-5-H-salim)2] exhibits one broad absorption band centered about 415 nm arising from intraligand transition of whole molecule of Schiff base. Compared to free ligand, when Ru–N bond is formed, the lone pair on nitrogen atom becomes stabilized and absorption assigned to n \(\rightarrow\) \(\pi^*\) showed hypsochromic shift (blue shift) for 7 nm. In Na[RuCl3(N-Ph-5-Cl-salim)2] this absorption appears around 495 nm. Weakly defined broad absorption centered around 350 nm, assigned to the transition of \(\text{HC}=:\text{N}\) group, is superimposed by Cl \(\rightarrow\) Ru(III) LMCT transition in Na[RuCl3(N-Bu-5-H-salim)2] while in phenyl derivative a well defined band appears around 338 nm. Weak broad absorption for both compounds, centered on 600 nm in the region of d-d spin allowed transition of low spin \(t_{2g}^5\) Ru(III), can be assigned to \(\left(\text{T}_{2g} \rightarrow \text{A}_{2g}\right)\).

Figure 1. Scheme of preparation of Na[RuCl3(N-R-5-X-salim)2]; R = C4H9 (Bu); C6H5 (Ph); X = H, Cl.

Figure 2. Hydrolysis of Na[RuCl3(N-Bu-salim)2] in phosphate buffer (pH 7.50; 0.1 M NaCl); c = 5.5 \(\times\) 10\(^{-4}\) M; \(t = 120\) min.
Behavior in Solutions

Understanding of behavior of compounds in solution, e.g. hydrolysis and electron transfer processes are thought to be very important for potential biological activity and possible antitumor purpose of complex compounds. The hydrolyses of Na[RuCl2(N-R-5-X-salim)2] compounds under physiological condition in phosphate buffer (pH 7.5; 0.1 M NaCl) designates reasonable stabilization of ruthenium(III) after chelation and blue shift of the LMCT bands over the time.

Cyclic Voltammetry Measurements

Ru(III)/Ru(II) reduction potentials change with ligand environment and is thought to be very important for possible antitumor properties of a compound. The electronic effect of nitrogen from azomethine group and phenolate oxygen on reduction potential is different. More electronegative and smaller oxygen atom, hard in character stabilizes Ru(III), while nitrogen, as softer, prefers lower oxidation state. Kinetically, Ru(II) compounds are more labile than Ru(III) which are believed to can be activated by reduction in vivo. Few compounds like glutathion in cells and ascorbic acid in blood are thought to contribute to the reduction in vivo. Cyclic voltammograms of Na[RuCl2(N-Bu-salim)2] and Na[RuCl2(N-Ph-5-Cl-salim)2] in DMF solution with sodium perchlorate as supporting electrolyte show defined $E_{pa}$ anodic peaks at −0.277 and −0.309 V respectively, while $E_{pc}$ cathodic peaks change slightly from −0.901 to −0.905 V. The half-wave potentials, assigned to Ru(III)/Ru(II) couple, $(E_{1/2}) = −0.607$ V for Na[RuCl2(N-Bu-salim)2] and $(E_{1/2}) = −0.588$ V for Na[RuCl2(N-Ph-5-Cl-salim)2], in addition to peak-to-peak separation values and apparent reduction waves as result of stabilization of Ru(III) after coordination trough phenolic oxygen from salycilidenimine, suggest the quasi-reversible one-electron transfer processes (Figure 4, Table 1).

CT DNA Binding

Spectroscopic Study

Interaction of metal complex compounds with DNA is taken as important initial signal about possible evaluation of biological properties of a compound. Activity of ruthenium compounds toward DNA, as a key target for anticancer drugs, may originate from either their covalent interaction with DNA nucleobases or non-covalent binding such as electrostatic interaction of positively charged species with phosphate backbone and intercala- tion as well. Electronic absorption is very useful method to determine the binding properties of metal complexes.

Table 1. Characteristic potentials of Na[RuCl2(N-Ph-5-Cl-salim)2] and Na[RuCl2(N-Bu-salim)2] from cyclic voltammetry measurements in DMF solution with NaClO₄ supporting electrolyte

| Complex                  | $E_{pc}$ / V | $E_{pa}$ / V | $E_{1/2}$ / V | $\Delta E$ / V |
|-------------------------|--------------|--------------|---------------|---------------|
| Na[RuCl2(N-Ph-5-Cl-salim)2] | −0.905       | −0.309       | −0.607        | 0.596         |
| Na[RuCl2(N-Bu-salim)2]   | −0.901       | −0.277       | −0.589        | 0.624         |

Figure 3. Hydrolysis of Na[RuCl2(N-Ph-5-Cl-salim)2] in phosphate buffer (pH 7.50; 0.1 M NaCl); $c = 1.22 \times 10^{-5}$ M; $t = 90$ min.

Figure 4. Cyclic voltammograms of Na[RuCl2(N-Bu-salim)2] and Na[RuCl2(N-Ph-5-Cl-salim)2]; DMF solution, supporting electrolyte NaClO₄; working electrode-glassy carbon vs Ag/AgCl reference electrode; scan rate 0.8 V s⁻¹; $E = 0.5 (E_{pa} + E_{pc}); \Delta E_p = E_{pa} − E_{pc}$. 

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with DNA. Spectroscopic study of interaction of Na[RuCl₂(N-Ph-5-Cl-salim)₂] and Na[RuCl₂(N-Bu-salim)₂] with CT DNA has performed by titration of fixed concentration of complex compounds with increasing concentrations of calf thymus DNA (CT DNA) in the [DNA] / [complex] ratio range 0–2.88 and 0–3.51, respectively. The constants of binding, $K_b$ were calculated on the basis of equation (1):

$$\frac{[\text{DNA}]}{(\varepsilon_a - \varepsilon_f) - [\text{DNA}]} \frac{[\text{DNA}]}{[\text{complex}]} = \frac{1}{K_b (\varepsilon_a - \varepsilon_f)}$$

$\varepsilon_a$, $\varepsilon_f$ and $\varepsilon_b$ represent apparent extinction coefficients for particular measurements ($A_{obs} / [\text{DNA}]$), free complex and completely bound form, respectively. By plotting $[\text{DNA}] / (\varepsilon_a - \varepsilon_f)$ vs $[\text{DNA}]$, $K_b$ is obtained as the ratio of the slope and intercept. Experimental data of spectroscopic measurements for interaction metal compounds-DNA are given in Figures 5 and 6.

Weak bathochromic shifts, hypochromism and constant binding values of order $10^4 \text{ M}^{-1}$ indicate that both compounds, containing Schiff bases derived from salicylaldehyde, act as moderate DNA-intercalators.

**Electrochemical Study**

Electrochemical method is useful complement method for UV / visible spectroscopic investigation of metal complex intercalative mode binding to DNA. Here we describe electrochemical measurements of redox couples Ru(II) complex / Ru(III) complex in the presence of increasing amounts of CT DNA (Figures 7 and 8, Tables 2 and 3).

Shifts of $E_{1/2}$ for both compounds toward more positive values, with increasing concentration of added DNA, suggest different ability of Ru(II) and Ru(III) compounds to bind DNA as a result of intercalation mode of binding. Changes in peak-to-peak separation, after addition of DNA, indicate an increase in reversibility of one-electron redox processes.
Figure 6. Graphical calculation of $K_b$ ($1.81 \times 10^4$ M$^{-1}$) on the basis of spectrophotometric titration of Na[RuCl$_2$(N-Bu-salim)$_2$] by CT DNA. Insets: upper left - absorption spectra of $9.80 \times 10^{-5}$ M Na[RuCl$_2$(N-Bu-salim)$_2$] with increasing concentration of CT DNA (stock solution $c = 9.75 \times 10^{-3}$); bottom right - experimental data for $K_b$ calculation.

Figure 7. Cyclic voltammograms of $5 \times 10^{-5}$ M Na[RuCl$_2$(N-Ph-5-Cl-salim)$_2$] in 1) absence DNA; 2) presence of $9.8 \times 10^{-5}$ M DNA ([DNA] / [complex] = 2); 3) presence of $5.88 \times 10^{-4}$ M DNA. ([DNA] / [complex] = 12); pH 7.4, Tris-HCl buffer. Working electrode-glassy carbon vs Ag/AgCl reference electrode; scan rate 0.8 V s$^{-1}$; $E_{1/2} = 0.5$ (E$_{pa}$ + E$_{pc}$); $\Delta E_p = E_{pa} - E_{pc}$.

Figure 8. Cyclic voltammograms of $4.9 \times 10^{-5}$ M Na[RuCl$_2$(N-Bu-salim)$_2$] in 1) absence; 2) presence of $9.8 \times 10^{-5}$ M DNA ([DNA] / [complex] = 2); 3) presence of $5.88 \times 10^{-4}$ M DNA. ([DNA] / [complex] = 12); pH 7.4, Tris-HCl buffer. Working electrode-glassy carbon vs Ag/AgCl reference electrode; scan rate 0.8 V s$^{-1}$; $E_{1/2} = 0.5$ (E$_{pa}$ + E$_{pc}$); $\Delta E_p = E_{pa} - E_{pc}$.
CONCLUSION

Some ruthenium complexes with planar and fused aromatic π-electronic acceptor system or similar aromatic heterocyclic rings are described as DNA intercalators which are able to keep base pair separated distorting double helix structure of DNA.31,32 Less extended planar ligands may cause partial intercalation due to omitting of the ancillary ligands and phosphate backbone e.g. in the cases of some 1,10-phenanthroline compounds.33,34 Although two easily leaving chlorides in the structures of Na[RuCl₂(N-Ph-5-Cl-salim)₂] do not exclude a priori covalent binding or even electrostatic interaction of neutral and positively charged complex species produced by loss of chlorides, our spectroscopic and electrochemical investigations suggest an intercalative mode of binding with constant of order 10⁴ M⁻¹. Possibly, this is the first report on anionic Ru(III) compounds with Schiff bases derived from salicyladehyde and simple amines which act as DNA intercalators.

We showed here that ruthenium(III) complexes containing unlike, non-fused kinds of aromatic ligands, such as N-phenyl-5-chlorosalicylidenimine and N-butylsalicylidenimine cause intercalation of CT DNA. In the case of Na[RuCl₂(N-Ph-5-Cl-salim)₂], with π-system aromatic ring-azomethine-aromatic ring (two aromates bridged by azometine), $K_b = 4.32 \times 10^4$ M⁻¹ value indicates good intercalating properties. The variation in structure of Schiff base ligands by altering one aromatic ring with butyl (aromatic – azomethine - butyl) in Na[RuCl₂(N-Bu-salim)₂] do not affect $K_b$ value significantly ($K_b = 1.81 \times 10^4$ M⁻¹). This implies that aromatic – azomethine part of Schiff base acts as an intercalating chromophore for DNA bases.

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