Does functional specialization of ribosomes really exist?

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ABSTRACT
It has recently become clear that ribosomes are much more heterogeneous than previously thought, with diversity arising from rRNA sequence and modifications, ribosomal protein (RP) content and posttranslational modifications (PTMs), as well as bound nonribosomal proteins. In some cases, the existence of these diverse ribosome populations has been verified by biochemical or structural methods. Furthermore, knockout or knockdown of RPs can diversify ribosome populations, while also affecting the translation of some mRNAs (but not others) with biological consequences. However, the effects on translation arising from depletion of diverse proteins can be highly similar, suggesting that there may be a more general defect in ribosome function or stability, perhaps arising from reduced ribosome numbers. Consistently, overall reduced ribosome numbers can differentially affect subclasses of mRNAs, necessitating controls for specificity. Moreover, in order to study the functional consequences of ribosome diversity, perturbations including affinity tags and knockouts are introduced, which can also affect the outcome of the experiment. Here we review the available literature to carefully evaluate whether the published data support functional diversification, defined as diverse ribosome populations differentially affecting translation of distinct mRNA (classes). Based on these observations and the commonly observed cellular responses to perturbations in the system, we suggest a set of important controls to validate functional diversity, which should include gain-of-function assays and the demonstration of inducibility under physiological conditions.

Keywords: ribosome deficiency; ribosome heterogeneity; ribosomopathy; specialized ribosomes

INTRODUCTION
The literature on functional ribosome heterogeneity is growing rapidly, and each new study inevitably proposes an exciting new role or ability for such ribosomes. Nevertheless, some of these conclusions remain controversial in the field, and merit critical examination. To do this, we need to first define the term “functional ribosome heterogeneity,” starting with a definition of the first term, ribosome heterogeneity.

Ribosomes are massive macromolecular complexes that have, with some early notable exceptions, mostly been thought of as uniform molecular factories with little regulatory capacity (a detailed history of this field can be found in Genuth and Barna 2018b). The conventional depiction was that all ribosomes were constructed according to identical specifications. Recent evidence suggests that ribosomes may be more heterogeneous than previously thought. Heterogeneity in this context means that ribosomes vary in the composition of their rRNA, proteins, or the post-transcriptional/translational modifications to either set of components. For the purpose of this review, we chose to ignore the additional layer of complexity brought by proteins that bind ribosomes (McMullin and Hallberg 1986; Damell et al. 2011; Fuchs et al. 2011; Matsuo et al. 2014; Simsek et al. 2017; Muto et al. 2018). While such interacting partners can unquestionably modify the functionality of ribosomes and promote specialization, this arises not from the ribosomes per se, and for simplicity is left out of the discussions here, even though many considerations herein will hold for such specialization as well. Similarly, a growing body of literature demonstrates that other parts of the translational machinery can also provide for functional specialization (Richter and Sonenberg 2005; Park et al. 2010; Starck et al. 2012, 2016; Valásek 2012; Gutierrez et al. 2013; Wolfe et al. 2014; Lee et al. 2015a, 2016; Meyer et al. 2015; Truitt et al. 2015; Schuller et al. 2017; Zinshteyn et al. 2017). Again, this is not considered herein, even though it is an exciting area of research.
Studies of heterogeneous ribosomes also suggest that ribosome composition can influence function. For a ribosome to be functionally different, it must still be able to perform its core duties of decoding and peptidyl transfer (a ribosome that cannot make proteins is hardly functional). Thus, it is in the application of the ribosome’s core catalytic activities where functional differences are manifest. These differences can include an altered preference for initiating translation of certain mRNAs, changes in fidelity resulting in alternative start- or stop-codon usage, etc. Thus, we define functional ribosome heterogeneity as variations in ribosome composition that influence its activity, thereby changing the output of translation. Importantly, while altered selectivity is the most commonly considered functional difference, other translational activities of the ribosome could be equally affected. An important example for functional differences arising from differences in ribosome composition is in No-go-decay (NGD), a ribosome-mediated mRNA quality control mechanism (Graiile and Séraphin 2012; Buskirk and Green 2017; Joazeiro 2017; Simms et al. 2017). NGD depends on the presence of Asc1/RACK1 in the small subunit (Ikeuchi and Inada 2016), thus ribosomes lacking this nonessential protein are defective in NGD.

Importantly, the separation of these terms indicates that it is possible that heterogeneous ribosome populations might exist within the cell, but not have divergent functions. Furthermore, as will be shown below, the evidence for heterogeneity is in most cases much stronger than the evidence for functional specialization, and even more rare is the evidence for physiological roles of such specialization.

**WHY WOULD CELLS USE RIBOSOME SPECIALIZATION FOR THE REGULATION OF GENE EXPRESSION?**

Gene expression is regulated at multiple levels (transcription, splicing, translation, mRNA and protein stability), but it is not immediately clear why regulation by ribosome customization would be useful. Building ribosomes is extremely resource-intensive for the cell compared to mRNA synthesis and turnover (Wamer 1999), so the customization of the translation machinery is expected to serve an important function. We suggest several explanations for why the cell would invest in translational regulation through ribosome modification, which range from accidental to highly beneficial. It is important here to keep in mind that in contrast to transcriptional induction, which is often switch-like, translational control via distinct ribosome pools appears to act more as a modulator, as the preferences exist on a continuum instead of being binary (Thompson et al. 2016; Ferretti et al. 2017, 2018; Shi et al. 2017).

Temporal control of gene expression is vital because cells must rapidly respond to changing external conditions. This is true for both unicellular organisms (which must account for environmental fluctuations in osmolarity, nutrient availability, temperature, etc.) and multicellular life forms (which require rapid temporal regulation of gene expression during cell cycle progression, intense exertion, oxidative stress, etc.). While transcriptional regulation occurs within minutes (Gasch et al. 2000), it still requires the engagement of the transcriptional machinery, mRNA export and translation. On the other hand, a posttranslational change to a ribosomal protein (RP) or the addition/removal of a protein from the ribosome requires only a single step, and so could in principle occur extremely quickly. This level of regulation could also allow cells to link their translational state to local metabolic conditions, as is seen in the case of Rps10-mediated phosphorylation of eIF2α by Gcn2 (Lee et al. 2015b) and translational activator feedback loops in mitochondrial ribosomes (Herrmann et al. 2013).

Complex regulation of cellular activities is necessary for cellular survival. Specialized ribosomes could facilitate such regulation by allowing for a concurrent up-regulation of some mRNAs while others are down-regulated. In contrast, traditional transcriptional induction alone only allows for up-regulation of the induced genes. In this regard, specialized ribosomes could act similarly to alternative transcriptional start sites, which can produce mRNAs with long UTRs that are poorly translated (Cheng et al. 2018b).

Conversely, if changes in ribosome composition mirror transcriptional changes, then they could also function to sharpen the boundaries of protein gradients, such as those observed during embryogenesis (Fig. 1A; Christian 2012), or yeast mitotic cell division (Long et al. 1997; Takizawa et al. 1997). This would be similar to the function of proteins such as nanos or bicoid (Driever and Nüsslein-Volhard 1988a, 1988b; Irish et al. 1989). Interestingly, early embryonic development in zebrafish is coupled to a change in the rDNA template used for rRNA transcription, thus changing ribosome composition (Locati et al. 2017), perhaps to facilitate such a scenario.

Translational control via ribosome composition might also allow for different cellular outputs, depending on different stimuli, even though overlapping signaling pathways are used. This could arise if a given stimulus, A, induces transcriptional and translational changes (including ribosomal composition changes), via two different signaling pathways. An alternative stimulus, B, could result in the same transcriptional changes via the same signaling cascade, but if it does not induce the same changes in ribosome composition these transcriptional changes could have different outputs (Fig. 1B).

Furthermore, specializing ribosomes might not be as costly if one considers that rapidly growing cells constantly make new ribosomes. In addition, some RPs are replaced during the lifetime of a ribosome (Pulk et al. 2010; Mathis et al. 2017; Lilleorg et al. 2019). In both cases, generating
a pool of specialized ribosomes, especially to make longer
term shifts in translational control, requires only subtle ad-
justments to already existing processes. Similarly, mam-
als undergo turnover of their ribosome pool after the
initial developmental stages (Locati et al. 2017), perhaps
reflecting the fact that these ribosomes are “old” and pos-
sibly damaged as they were deposited into the oocyte prior
to the animal’s birth. Differentiating cells experience large
changes in ribosome numbers, and this also presents an
ideal opportunity to shift the ribosome population through
specialization (Ramagopal and Ennis 1984; Jacobs et al.
1985).

Finally, the evolution of functional ribosome specializa-
tion might simply have taken advantage of accidental oc-
currences. Ensuring all RPs are correctly incorporated into
ribosomes is not trivial: Given that most RPs are small poly-
peptides and ribosomes are mega-Dalton-sized machines,
this is like finding needles in haystacks. Moreover, this task is further compi-
licated by the fact that RP assembly oc-
curs via parallel pathways (Mulder
et al. 2010; Davis et al. 2016; Sanghai
et al. 2018), producing dozens of cor-
rect assembly intermediates and thus
rendering quality control for the in-
corporation of individual proteins
challenging. So long as they remain
functional (and are therefore not de-
graded), subpopulations lacking indi-
vidual proteins might have originally
just been unavoidable byproducts.

Similarly, given the fact that there are
multiple repeats of the rDNA operon
in all organisms, it is probably nearly
impossible to avoid mutations, as
there would be selective pressure
only against nonfunctional ribosomes,
not differentially functional ribo-
somes. Cases where small changes in
ribosome composition yielded useful
functions would be selected for over
time, potentially giving rise to a “ribo-
some code” (Mauro and Edelman
2002, 2007). From this perspective,
we note that biological processes
that are regulated appear to be predis-
posed to regulation by specialized ri-

FIGURE 1. Specialized ribosomes can help regulate gene expression. (A) A comparison of a
protein gradient generated by mRNA localization alone (blue), compared to one (purple) cre-
at ed by mRNA localization paired with a gradient of specialized ribosomes (red) that preferen-
tially translate the mRNA. The graph compares the distribution of protein in these two
scenarios. (B) A comparison of two theoretical stimuli that activate the same transcriptional sig-
ning pathway, X, but lead to different outputs because stimulus A also activates changes in
ribosome composition.
Posttranscriptional modification of rRNA

Of the nearly 5,500 rRNA bases in Saccharomyces cerevisiae, over 2% (∼110) can be post-transcriptionally modified (for a more extensive review, see Sloan et al. 2017). Many of these modifications involve 2′-O-methylations of ribose or isomerization of uridine to pseudouridine, and are thought to stabilize the structure of the ribosome, helping to maintain proper orientation of key regions (Liang et al. 2007; Polikanov et al. 2015).

The importance of these modifications is underscored by the consequences of their loss. While the loss of a single modification tends to have no discernible effect under the conditions studied (generally rich medium), multiple deletions often disturb ribosomal function. Loss of modifications to rRNA near the decoding center, effected through deletion of their corresponding small nucleolar RNAs (snoRNAs), impairs cell growth, reduces rates of amino acid incorporation, and disrupts ribosome biogenesis (Liang et al. 2009). In other studies, multiple modifications were removed using the same technique; translational accuracy and antibiotic resistance decreased as more modifications were lost (Baxter-Roshek et al. 2007; Baudin-Bailièvre et al. 2009).

Since the majority of rRNA modifications are guided by so-called box C/D or H/ACA snoRNPs (Lestradé 2006), and installed during assembly, they are unlikely to be helpful during rapid adaptation to changing environmental conditions. This is especially true if these changing conditions represent cellular stress, under which ribosome assembly is generally down-regulated (Warner 1999; Gasch et al. 2000; Viladevall et al. 2004; Boulon et al. 2010). Furthermore, rRNA modifications are generally (although not universally) irreversible, and thus removal of a specialized ribosome pool would require ribosome turnover, which is exceedingly slow (LaRiviere et al. 2006). For these reasons, variations in rRNA modification may be a strategy to enact long-term changes in translational preference for a cell.

As the tools to analyze base modifications have become more sensitive, instances of substoichiometric base modifications have been discovered. In these cases, only a subset of ribosomes within a cell are modified, creating populations of ribosomes with a heterogenous set of modifications (Krogh et al. 2016; Taoka et al. 2016; Erales et al. 2017). Furthermore, studies have shown that active regulation of RNA modification is a conserved behavior. For example, pseudouridylation of rRNA is up-regulated in the bloodstream form of the Trypanosoma brucei parasite (Chikne et al. 2016), and rRNA methylation by NSU5 regulates life span and stress resistance in flies, worms and yeast (Schosserer et al. 2015). Similarly, a study in X. tropicalis showed that failure to ubiquitylate the ribosome biogenesis factors NOLC1 and TCOF1 destabilizes these proteins, and subsequently disrupts neural crest formation during cell differentiation (Werner et al. 2015). Tcof1 is causally linked to the developmental disorder Treacher Collins syndrome (Dixon et al. 2006) and involved in rRNA transcription and rRNA modification (Lin and Yeh 2009; Larsen et al. 2014; Walker-Kopp et al. 2017). While these changes do not appear to affect overall ribosome number or global translation, they moderately affect the translation of a subset of mRNAs encoding proteins involved in neural crest formation (Werner et al. 2015). These observations may be explained by differences in the rRNA modifications in wild type and TCOF1 mutants, although such different ribosome populations have not yet been shown to exist.

Finally, altered expression of snoRNAs, such as overexpression of SNORA42 in lung cancers and SNORA21 in colorectal cancers, have been associated with oncogenesis (Mei et al. 2012; Yoshida et al. 2017). In addition, multiple transcriptome-wide surveys have identified snoRNAs as commonly differentially expressed in cancers, although for none of these studies has it been shown that alterations actually involve changes in rRNA modifications (Mannoor et al. 2012; Krishnan et al. 2016; Gong et al. 2017). Whether the divergent ribosome populations arising from rRNA modifications have physiological (as opposed to pathological) roles remains to be seen.

Diversity of rRNA sequence

In most organisms, rDNA is encoded in multiple (often hundreds) of repetitive operons throughout the genome. Initial characterization of these regions using restriction digests led to the conclusion that they were relatively homogenous, but this was quickly called into question (Gonzalez et al. 1988). Most bacteria and archaea have between 1 and 15 rDNA operons, which are often not identical (Hillebrand et al. 2005; Sun et al. 2013). Intriguingly, Streptomyces coelicolor transcribes different large-subunit rRNAs during its various stages of morphological development (Kim et al. 2007, 2008). Similarly, the halophilic archaeon Haloarcula marismortui possesses three rDNA operons: A, B and C. While A and C are nearly identical, operon B is highly divergent, and produces a 16S rRNA with a lower predicted free energy, and is likely more stable. At 50°C, rRNA B is expressed at four times the level of the other two operons combined, and strains lacking operon B are deficient at high-temperature growth. These data are consistent with a model wherein the archaeon produces specialized ribosomes with enhanced structural stability in order to grow at high temperatures (López-López et al. 2007), while maintaining the ability to produce a distinct set of ribosomes for “normal” temperature growth, where thermophilic ribosomes might be “frozen” and therefore not functional. Nevertheless, to date no functional data have been reported to support this model.
In *Escherichia coli*, there are seven rDNA operons with similar, but not identical, sequences (Hillebrand et al. 2005). The promoters of these rDNA operons are differentially responsive to various stimuli, causing the accumulation of distinct ribosome species under various environmental conditions (Condon et al. 1992; Kurylo et al. 2018; see also Maeda et al. 2015). Specifically, in conditions of nutrient limitation, *E. coli* switches the predominant rDNA template, thereby changing its 16S rRNA makeup. The resulting ribosomes are resistant to tetracycline, and more likely to bind AdhE, a putative RNA helicase, demonstrating functional differences for these ribosomes (Kurylo et al. 2018).

rRNA heterogeneity is also present in eukaryotes. In humans and mice, the many rDNA sequences throughout an individual genome are variable (Parks et al. 2018) and transcription from individual loci can vary across tissues and over the course of a cell’s life cycle (Kuo et al. 1996; Tseng et al. 2008; Parks et al. 2018). In zebrafish, a study of rRNA in oocytes and embryos found that maternally derived rRNA dominates during the initial stages of development and is eventually replaced by somatic rRNA, which differs significantly in sequence, although it remains to be seen whether and how these differences impact some functions (Locati et al. 2017). Like rRNA modification, customizing ribosome function with rRNA sequence variation only works over long timescales. Ribosome synthesis occurs on the scale of minutes, so using alternative rRNA transcripts is not helpful for immediate adaptation to acute stress conditions. Instead, this form of ribosome specialization may be more useful for extended tolerance to new environments.

**Mitochondrial ribosomes**

An extreme case of ribosome modification is found in mitochondria. These organelles produce distinctive mito-ribosomes dedicated to synthesizing the small number of genes found in the mitochondrial genome (Ott et al. 2016). Because mitochondria are descended from an ancient bacterial ancestor, their ribosomes bear similarities to bacterial ribosomes and are sensitive to many of the same antibiotics (De Silva et al. 2015). Nevertheless, mito-ribosomes have diverged significantly, in the content of their rRNA, RPs, and tRNA usage. Note that ribosomes found in chloroplasts appear to be equally divergent (Tiller and Bock 2014; Bieri et al. 2017), but are beyond the scope of this review.

Relative to cytosolic ribosomes, mito-ribosomes possess many structural specializations. The peptide exit channel is lined with hydrophobic protein residues, which may be beneficial as most genes on the mtDNA encode membrane proteins (Ott et al. 2016). In mammals, the mito-ribosome protein mL45 is able to attach to the inner mitochondrial membrane and has been suggested to facilitate protein insertion (Greber et al. 2014; Englmeier et al. 2017). In yeast mito-ribosomes, the mRNA exit channel is significantly remodeled and may act as a binding site for translational activators (Desai et al. 2017). These proteins bind the long 15′-UTRs of these mito-mRNAs to effect translation (Herrmann et al. 2013; Desai et al. 2017).

While some of these structural adaptations could facilitate the production of the mitochondrial proteins, whether this is actually the case has not been probed. In fact, the observation that the proteins encoded by mitochondrial genomes are almost entirely nonoverlapping between yeast and mammals suggests that the mito-ribosome adaptations are not necessary for efficient translation, although it should be noted that mito-ribosomes vary much more significantly between organisms than the cytoplasmic ribosomes. Conversely, a super-folding GFP reporter inserted into a mitochondrial ORF was successfully translated in the organelle (Suhr et al. 2018), again suggesting that specialization, if it exists, is moderate, and does not preclude the translation of a cytosolic protein.

**Posttranslational modification of ribosomal proteins**

One of the most straightforward paths to ribosome customization is the posttranslational modification (PTM) of RPs (for review, see Saier et al. 2015; Simsek and Barna 2017; Genuth and Barna 2018a). These changes can be enacted rapidly and do not require the construction of new ribosomes. However, while there are many PTMs of RPs, in most cases it remains unclear whether they are regulated or have functional consequences for ribosome activity.

One example of a PTM that affects ribosome function, is the hydroxylation of Rps23/uS12, which is conserved from yeast to humans, and important for preventing stop-codon readthrough (Loenarz et al. 2014; Singleton et al. 2014). Mutation of the hydroxylated proline residue in Rps23/uS12 in yeast is linked to a syndromic presentation, including microcephaly and hearing loss, and in yeast, this mutation causes defects in ribosome biogenesis and polysome formation that may be due to reduced Rps23 recruitment (Paolini et al. 2017). Likewise, mutation of the methylation site of Rpl3/uL3, or deletion of the cognate methyltransferase, decreases translational accuracy (Al-Hadid et al. 2016). However, at this point, neither Rps23 hydroxylation or Rpl3 methylation are known to be regulated by the cell to exert translational control in certain growth conditions.

**Ribosomal protein content**

Although the catalytic functions of the ribosome are carried out by the rRNA, most RPs are essential for its proper function. While some RPs promote structural integrity, thereby supporting fidelity, others have roles in the binding of initiation, elongation, and release factors. Some
Multiple studies have shown that ribosomes lacking specific RPs are present in cells. For instance, when ribosomes from mouse embryonic stem cells (ESCs) were fractionated on sucrose gradients and then analyzed by mass spectroscopy, RP content varied in ribosomes isolated from different translational pools (Slavov et al. 2015). Likewise, in another similar study of mouse ESCs, four RPs, Rpl10A/uL1, Rpl38, Rps7, and Rps25, were present at substoichiometric levels when analyzed by selected-reaction monitoring-based mass spectroscopy (Shi et al. 2017). A recent manuscript used cryo-EM and mass spectrometry to identify a fraction of S. cerevisiae ribosomes without Rps1 and Rpl10/uL16 (Sun et al. 2018). Similarly, cryo-EM has identified populations of bacterial ribosomes lacking uS2 (Loveland et al. 2016). Finally, exposing yeast to high salt or high pH causes a fraction of ribosomes to become Rps26-deficient, and accumulation of these ribosomes increases tolerance to those stresses (Ferretti et al. 2017). Together these studies demonstrate that ribosomes lacking the full complement of RPs can be found in cells.

In addition to ribosomes lacking RPs being present in normal tissues, they are also associated with various cancers. The protein RACK1/Asc1 affects ribosomal activity in multiple contexts, and ribosomes lacking RACK1/Asc1 are deficient in the translation of short mRNAs and have an increased association with certain autophagy-related mRNAs (Thompson et al. 2016; Kim et al. 2017). Furthermore, both cap-dependent and IRES-mediated translations are reduced in RACK1-depleted cells (Majzoub et al. 2014; Gallo et al. 2018). Thus, RACK1/Asc1 impinges on translation generally, but can also act on specific mRNAs. Studies from our laboratory have shown that Asc1 (and Rps10)-deficient ribosomes accumulate in some breast cancer cell lines, relative to glioma cell lines (Collins et al. 2018). This is due to insufficient amounts of the assembly factor Ltv1, whose function is to ensure the stoichiometric incorporation of these two proteins, apparently by promoting the proper incorporation of Rps3 (Collins et al. 2018). While it is possible that this heterogeneity is an accidental consequence of the aneuploidy found in cancers, it is noteworthy that while functionally related ribosome assembly factors tend to be amplified in cancer genomes, Ltv1 is frequently deleted (Cerami et al. 2012; Gao et al. 2013), indicating that Ltv1 deletion is not unique to breast cancers and selected for in cancer cells.

In the context of RP-dysregulation and cancer, Asc1 is not unique. Changes in the expression of other RPs, including Rps10 and Rps26, are found in other cancers (Guimaraes and Zavolan 2016; Ajore et al. 2017; Kulkami et al. 2017), and are associated with a poor prognosis (although in these cases ribosome composition per se has not yet been analyzed). Rps10/Asc1-deficient ribosomes are also more prone to mistakes during translation, and are deficient in ribosome-mediated RNA quality control (Thompson et al. 2016; Sundaramoorthy et al. 2017; Collins et al. 2018; Gallo et al. 2018). How these properties, as well as the mRNA specificity of Rps10/Asc1-deficient ribosomes, promote cancer progression remains to be determined, although the connection to autophagy is intriguing.

Ribosomes can also be specialized through incorporation of different paralogs. In bacteria, RP paralogs are infrequent and, when present, often have significant sequence divergence (Makarova et al. 2001). A recent study using pulse chase labeling of amino acids to analyze ribosome composition changes during different growth phases in E. coli revealed that bl31 and bl36 paralogs changed between exponential and stationary growth phase, and that the A and B paralogs of each appeared to be mutually exclusive on ribosomes (Lilleorg et al. 2019). Finally, both paralogs were validated to be components of the 70S ribosome by X-ray crystallography. The functional consequence of switching between paralogs is unclear, though only the A paralogs of both proteins can bind zinc. It has been proposed that this pattern among bacterial RPs is tied to survival during zinc starvation (Graham et al. 2009; Hensley et al. 2012).

FUNCTIONAL SPECIALIZATION

While the examples presented above (as well as the many not mentioned here but previously reviewed [Gilbert 2011; Xue and Barna 2012, 2015; Filipovska and Rackham 2013; Sauert et al. 2015; Shi and Barna 2015; Dinman 2016; Genuth and Barna 2018a; Guo 2018]) provide compelling evidence for ribosome heterogeneity, demonstrating that these differences in ribosome composition have functional consequences is challenging. This is because most manipulations of ribosomal composition also change ribosome number (Rotenberg et al. 1988; Choesmel et al. 2007; Li et al. 2009; Bhattacharya et al. 2010; McIntosh et al. 2011; Steffen et al. 2012; Ferretti et al. 2017; Palumbo et al. 2017; Cheng et al. 2018a; Segev and Gerst 2018). Furthermore, changing the expression of one paralog often affects the expression of the other. Additionally, biochemical handles used to study functional ribosomes can perturb ribosome function. Finally, as ribosome degradation is initiated on mRNAs (Cole et al. 2009), it might be impossible to entirely rule out that ribosomes lacking individual proteins are not degradation intermediates. Below, we will discuss each of these cases, before presenting a “cheat-sheet” to control for these possible artifacts as much as possible. Furthermore, we suggest the importance of gain-of-function studies to demonstrate that the ribosome populations have physiological roles, an important consideration when ruling out artifacts.
Ribosome numbers matter

Because initiation is the limiting step in translation (Lackner et al. 2007; Shah et al. 2013), and involves association of ribosomal subunits with the mRNA, first-principle considerations suggest that initiation rates (for all mRNAs) should be proportional to ribosome concentrations. However, more detailed mathematical modeling of global protein synthesis rates, validated in in vitro translation systems, predicts that an overall reduction in 40S subunit numbers can cause mRNA-specific effects on translation (Lodish 1974). The modeling (for review, see Mills and Green 2017) predicts that 40S ribosome depletion only marginally attenuates the translation of mRNAs with high initiation rates, while translation of poorly initiated mRNAs is greatly attenuated. This is because a term for the probability of finding an empty start codon is introduced into the equation, which is affected by 40S concentration. Importantly, the Lodish model does not make any predictions about 60S subunit concentrations (Lodish 1974).

However, our current understanding of translation initiation is that 40S ribosomes are first recruited to the mRNA cap (Fig. 2A). Assuming that cap-40S contacts are broken during scanning in order to recruit another ribosome, one specific consequence of the initial 40S recruitment to the cap is that the probability of finding an empty cap is likely a function of the 5′-UTR length, or more precisely, the distance between the cap and the first start codon (in the case of upstream open reading frames in the 5′-UTR). The caps of mRNAs with short 5′-UTRs might not be available because a ribosome is still bound downstream at the start codon, trying to initiate, and thus blocking ribosome binding to the cap. In contrast, cap availability for mRNAs with longer 5′-UTRs is unlikely to be limited by start codon clearance, and one might assume it is always available. This latter point is generally consistent with recent experimental data in yeast (Archer et al. 2016). Thus, the prediction that well-translated mRNAs are insensitive to 40S ribosome concentration, is likely broadly true only for mRNAs with short 5′-UTRs, while it is unlikely to be correct for mRNAs with long 5′-UTRs as their caps seem to be generally available. (Note that Lodish tested his predictions with globin mRNA, which has a short 5′-UTR of 55 nt.)

In contrast, mRNAs with a poor Kozak context could be sensitive to 60S subunit concentration if we consider that not all initiation attempts at start sites might be successful (Fig. 2D). This possibility is consistent with stochastic bypass of uORFs, which is dependent on the strength of the Kozak sequence (Calvo et al. 2009; Loughran et al. 2012; Chew et al. 2016) and would introduce an initiation probability function. This can be considered in simplified terms as a partitioning at the start codon between joining of 60S (which is assumed here to render initiation irreversible), and the resumption of scanning (Fig. 2D). According to this model, less stable initiation complexes, such as those with a suboptimal Kozak sequence, should be less successful at initiation (as observed for uORFs), and their success would depend on the concentration of 60S subunits. In contrast, preinitiation complexes on mRNAs with an optimal start codon context might be so stable that the partitioning does not change in the range of physiologically attainable 60S changes. Thus, we predict that translation initiation of mRNAs with poor Kozak context is sensitive to 60S concentrations.

These considerations broadly suggest four classes of mRNAs (Fig. 3), distinguished by their mRNA features (long or short 5′-UTR, strong or weak Kozak context), for which different sensitivities to variances in 40S and 60S concentrations are predicted. Naturally, these predictions are complicated by other features in the 5′-UTR. For instance, uORFs, in particular the 5′-most uORF, will be similarly sensitive to subunit availability, affecting its translatability, and

FIGURE 2. Model of ribosome translation and its dependence on subunit concentration. (A) Binding of the 40S subunit to the mRNA cap is normally mRNA-agnostic and depends solely on 40S and mRNA concentration. The exception is if a pileup of 40S subunits blocks additional binding. (B) After cap binding, the 40S subunit scans through the 5′-UTR for a start codon. Its probability of success is a function of UTR length and structure. (C) Once a start codon is recognized there are two outcomes modeled by the equation on the right: (D1) The 40S resumes scanning, or (D2) a 60S subunit binds and translation begins. We assume for simplicity that successful binding of 60S always results in translation. The equation describing the chance of initiation is influenced by both the concentration of 60S and the time the 40S spends on the start codon. The latter factor is controlled by the start codon’s context and the composition of the 40S. For the equation, the extreme cases are illustrative. When the complex is very stable, such that \( k_{\text{init}}(60S) \gg k_{\text{assume}} \), then the chance of initiation approaches 1, and is insensitive to 60S concentration. In contrast, if the complex is unstable (due to a poor Kozak context), then initiation could become linearly dependent on 60S concentration.

While a typical footprint for translating ribosomes is only ∼30 nt, providing a rough size cutoff for this effect, scanning and initiating ribosomes can have footprints of up to 75 nt in size (Archer et al. 2016), possibly due to the bound initiation machinery. Thus, mRNAs with 5′-UTRs of ∼75 nt might also be affected.
inhibited by depletion of Rps6, Rps2/US5, Rpl19, Rpl13, that also requires Asc1/RACK1 (Majzoub et al. 2014). (Landry et al. 2009; Carvajal et al. 2016), a family of viruses and Rps25 is required by HIV and the Dicistroviridae and mouth disease virus (Martínez-Azorín et al. 2008), depletion of Rpp1/2 disrupts replication of foot-and-mouth disease virus (Watanabe et al. 1993; Zinn et al. 1994; Strittmatter et al. 1988; Bhattacharya et al. 2010; McIntosh et al. 2011; Vesper et al. 2011; Steffen et al. 2012; Thompson et al. 2016; Ferretti et al. 2017; Palumbo et al. 2017; Cheng et al. 2018a; Segev and Gerst 2018), making these studies particularly vulnerable to this confounding variable, and demonstrating the importance of rigorously controlling for effects arising from ribosome numbers. An important example for such effects from ribosome numbers are recent observations that knockdown of two different RPs had highly overlapping effects on gene-specific translation in hematopoetic progenitor cells (Kajuria et al. 2018). Similarly, as detailed below, several studies on differential effects from knockout of RP paralogs in yeast are most simply consistent with effects arising from reduced ribosome numbers (Rotenberg et al. 1988; Rotenberg et al. 1988; Bhattacharya et al. 2010; McIntosh et al. 2011; Vesper et al. 2011; Steffen et al. 2012; Thompson et al. 2016; Ferretti et al. 2017; Palumbo et al. 2017; Cheng et al. 2018a; Segev and Gerst 2018), making these studies particularly vulnerable to this confounding variable, and demonstrating the importance of rigorously controlling for effects arising from ribosome numbers. An important example for such effects from ribosome numbers are recent observations that knockdown of two different RPs had highly overlapping effects on gene-specific translation in hematopoetic progenitor cells (Kajuria et al. 2018). Similarly, as detailed below, several studies on differential effects from knockout of RP paralogs in yeast are most simply consistent with effects arising from reduced ribosome numbers (Rotenberg et al. 1988; Watanabe et al. 1993; Zinn et al. 1994; Strittmatter et al. 2006; Palumbo et al. 2017). Another example for the likely effects from changes in ribosome numbers comes from the study of mRNAs containing internal ribosome entry sites (IRES). For example, the hepatitis C virus (HCV) IRES has reported sensitivity to the depletion of Rps25, Rpl10A/UL1, Asc1/RACK1, Rps5/US7, and Rpp1/2 (Landry et al. 2009; Majzoub et al. 2014; Bhat et al. 2015; Campos et al. 2017; Shi et al. 2017), depletion of Rpp1/2 disrupts replication of foot-and-mouth disease virus (Martínez-Azorín et al. 2008), and Rps25 is required by HIV and the Dicistroviridae (Landry et al. 2009; Carvajal et al. 2016), a family of viruses that also requires Asc1/RACK1 (Majzoub et al. 2014). Further, in plants, the replication of turnip mosaic virus is inhibited by depletion of Rps6, Rps2/US5, Rpl19, Rpl13, Rpl7/UL30, or Rpp1/2 (Yang et al. 2009; Rajamäki et al. 2017). Finally, deletion of Rpl38 prevents polysome recruitment of some Hox mRNAs that contain IRES-like elements (Kondrashov et al. 2011; Xue et al. 2015). Just this enumeration makes it clear that the effects might not be so specific for these individual RPs. Indeed, translation of viral RNAs containing IRES sequences appears to be sensitive to the depletion of nearly any RP (Cherry et al. 2005), strongly suggesting that the effect comes from ribosome numbers and not specific proteins. Nevertheless, while these considerations suggest that ribosome numbers can matter, and must be considered, it should be noted that they are not incompatible with a model in which ribosome composition also matters. In addition, it is also possible that ribosome numbers matter for some phenotypes (like growth or developmental deficiencies), while ribosome composition might matter for others (like cancer predisposition).

The expression of ribosomal protein paralogs is differentially regulated

In S. cerevisiae, 59 out of 78 RP genes are duplicated into A and B isoforms. These paralogs have highly similar, if not identical, amino acid sequences and have similar patterns of transcriptional regulation (Gasch et al. 2000). Some recent studies have suggested that these paralogs can impart functional specialization (e.g., Haarer et al. 2007; Komili et al. 2007; Samir et al. 2018; Segev and Gerst 2018). Nevertheless, while studying potential instances of such specialization it is important to keep in mind that the RP knockout collection has acquired additional mutations and duplications, which might mask or produce phenotypes (Steffen et al. 2012). Thus, yeast strains need to be carefully evaluated. Furthermore, in general, one of the two RP paralogs is dominant such that RP levels, but not specific paralogs, are the more important factor.

An ideal example is Rps26 (Strittmatter et al. 2006). Deletion of the minor paralog, Rps26B, has minimal effect on cellular growth rate or the ability to engage in filamentous differentiation (pseudohyphal growth for haploid cells, filamentous growth for diploid cells). Deletion of Rps26A, however, leads to slow growth and virtually eliminates filamentous differentiation. When ΔRps26A cells are supplemented with either Rps26A or B on a high expression plasmid, however, the defects are rescued in a paralog-independent manner, demonstrating that the effects arise from insufficiency of Rps26 and not one of the specific isoforms.

A similar case is seen with Rpl7B/UL30, where phenotypes that appear paralog-specific are actually responsive to Rpl7 dose (Palumbo et al. 2017). Deletion of Rpl7A leads to specific phenotypes including slow growth, tunicamycin resistance and defects in bud tip localization of the ASH1 mRNA. If the loss of Rpl7A, specifically, is responsible for these defects, a rescue with Rpl7B should...
fail. Instead, replacement of the Rpl7A coding region and introns (CRI) with the Rpl7B CRI rescues the defects. These data show that the defects which appear to be paralog-specific come instead from differences in paralog expression that originate in the mRNA UTRs, their promoter regions, or both.

Importantly, paralog mRNA levels do not always react similarly to cellular perturbations (Gasch et al. 2000; Yadav et al. 2016). For example, most RP paralogs are similarly regulated during stress, but the Rpl13 and Rpl18 paralogs diverge during cell quiescence, and the expression of 5 paralogs is decoupled during the response to heat shock (Fig. 4). These differences might arise at the level of transcription or splicing (Parenteau et al. 2011; Petibon et al. 2016), but regardless are often used to support functional relevance. For example, while both Rpl13 paralogs are equally expressed during vegetative growth, in quiescent cells expression of Rpl13A is reduced by nearly eightfold, so nearly all mRNA is from the B paralog. Thus, while in rich media ribosome numbers are not differentially affected by deletion of a specific paralog, in quiescent cells deletion of Rpl13B will have a much larger effect. This underscores the importance of careful controls for expression levels and ribosome numbers under all relevant growth conditions.

**Some “specialized” ribosomes may be degradation intermediates**

Ribosome degradation is an important factor to keep in mind when considering specialized ribosomes. While the degradation of ribosomes has been described in some cases (Kraft et al. 2008; Cole et al. 2009; Lafontaine 2010; Ossareh-Nazari et al. 2010; Cebollero et al. 2012; Niki et al. 2014; An and Harper 2018), the process is not fully understood. More importantly, the steps in ribosome degradation, and which degradation intermediates are stable, are still unknown. This is critical because what appear to be specialized ribosomes could, in some cases, be nonfunctional ribosomes in the process of being destroyed, especially because at least one form of decay occurs on mRNAs (Cole et al. 2009) and would therefore lead to RP-depleted ribosomes in the polysome fraction.

For instance, a recent study describes a fraction of ribosomes lacking Rps1 and Rpl10/uL10, identified by cryo-EM. This fraction increased upon shifting the cells from their preferred carbon source, glucose, to glycerol (Sun et al. 2018). In contrast to other ribosomes identified, these RP-deficient ribosomes did not have any tRNA bound. We can only speculate on the nature of these ribosomes, but they could simply be in the process of being recycled. This possibility is consistent with the increase in their number after the cells are switched to less preferred growth conditions and the absence of tRNAs.

In another case, the existence of mazF-induced specialized ribosomes in bacteria has recently been questioned (Culviner and Laub 2018). Previous work, relying on reporter assays and assaying only the “specialized” ribosome populations, appeared to show that under stress site-specific cleavage by mazF generates a pool of leaderless mRNAs that are preferentially translated by ribosomes cleaved by the same nuclease (Vesper et al. 2011; Sauert et al. 2016; Nikolic et al. 2017). However, a recent genome-wide analysis of this system shows that these ribosomes and mRNAs are instead the product of widespread mRNA and rRNA cleavages, and thus possibly degradation intermediates, and failures of ribosome biogenesis (Culviner and Laub 2018).

These examples demonstrate that caution is warranted when examining claims of specialized ribosomes, as cellular perturbations can potentially generate stable ribosome degradation products or dead-end assembly intermediates that look like specialized ribosomes.
Tagging RPs can create phenotypes

The addition of epitope tags to RPs of interest, while powerful and often necessary, can also suffer from distinct drawbacks. Tagged proteins can have altered function (Swulius and Jensen 2012; Heo et al. 2017; Collins et al. 2018) or stability (De Marco et al. 2004; Kim et al. 2013; Natsume et al. 2016). These problems are especially acute when dealing with RPs, which are often small and highly charged. Thus, when a tagged RP is used to isolate a specialized ribosome, extra care must be taken.

For example, we have recently shown that HA-tagged Rps29 interacts genetically with deletion of the assembly factor Ltv1 (Collins et al. 2018), consistent with either mispositioning or partial loss of this protein upon introduction of the tag. Similarly, Rpl40-HA confers resistance to the elongation inhibitor sordarin (Fernández-Pevida et al. 2012) and C-terminal tags on Rpl15 prevent its incorporation into ribosomes (Simoff et al. 2009). Furthermore, Rps31 (and Rpl40) are translated as ubiquitin fusion preproteins, which are processed during or before assembly (Ozkaynak et al. 1987). In the case of Rps31, failure to do so affects ribosome activity (Finley et al. 1989; Lacombe et al. 2009).

A recent study examining ribosomes lacking Rpl10A/uL1 and Rps25 utilized Flag-tagged versions of each protein to pull down ribosomes containing the protein, and compared them to heterogeneous populations of ribosomes with and without the target protein (Shi et al. 2017). These tagged, target RP-containing ribosomes were associated with different mRNAs compared to total (tagged and untagged/target RP-bound and deficient) ribosomes from their host cells. However, the mRNA levels (which could reveal ribosome stress) in these different cell types were not probed, and moreover, the polysome distribution of the Flag-tagged Rpl10A varied slightly from that of untagged Rpl10A, indicating that the Flag-tag might subtly affect function (Shi et al. 2017). As a control, ribosomes with HA-tagged Rpl22 were analyzed and did not exhibit significant mRNA bias. However, a primary component analysis reveals that the samples derived from Rpl22-HA cells diverged significantly compared to samples from Rps25-Flag and Rpl10A-Flag cells (Fig. 5). Whether these differences arise because of subtle defects in the tagged cell lines, or perhaps more likely, because the Rpl22-HA cell line came from mice with a different background is impossible to know, but illustrates the caveats involved with tagging of RPs.

Comparisons across strains do not always unveil ribosome-derived phenotypes

General effects on cellular translation are easy to mistake for ribosome-specific regulation. For example, researchers might suspect that the modification guided by a snoRNA alters mRNA-specific translation, knock out the snoRNA, and then compare ribosome footprints between the knockout and wild type strains. While there may be clear differences between the samples, this comparison alone cannot determine if the effect is caused by altered ribosome activity or some other change in the cells. Two recent careful studies demonstrate vast changes in transcription in response to subtle variations in ribosome composition (Cheng et al. 2018a; Kurylo et al. 2018).

In E. coli, Kurylo et al. demonstrate specific induction of one of the seven rDNA operons by nutrient stress and go on to generate strains differentiated only by the small difference in rDNA sequence between the induced and the otherwise predominant operon (Kurylo et al. 2018). The authors demonstrate how this difference in rDNA sequence triggered changes in mRNA levels for nearly 20% of the annotated genes, leading to a vastly changed gene expression program. Without measuring mRNA levels, the transcriptional changes could easily have been misconstrued as originating from translational regulatory changes by the ribosome. Similarly, a study of multiple yeast strains deleted individually for several proteins of the small or large subunit demonstrates substantial transcriptional changes in these yeast strains (Cheng et al. 2018a). Intriguingly, these changes in mRNA levels are similar for different strains with deletions in each subunit, but differ between the two subunits. In other words, there are subunit-specific responses to gene expression. In these cases, the effects on TE are nearly nonexistent, although other translational effects (e.g., on pausing, translocation or stop codon read through) might be hidden deeper in the data (Cheng et al. 2018a). These examples powerfully demonstrate the importance of controlling for changes in transcription as a result from perturbations to the ribosome, as well as the importance of carrying out controls to demonstrate protein specificity.
BEST PRACTICES FOR DESCRIBING SPECIALIZED RIBOSOMES

As described above, ribosomes and ribosome assembly are connected to nearly every process in the cell, so the study of ribosome specialization is inherently complex. In addition, as described above, there are caveats to consider when interpreting the often-tantalizing findings in our laboratories. Here we lay out some best practices that we hope will help in the evaluation of the literature and direct future studies of specialized ribosomes.

Control for other perturbations

Tagging RPs is an effective method for isolating specific populations of ribosomes. Adding tags to proteins and RNA can also affect their structure and function; thus, experimentalists utilizing these techniques must exercise caution. Experimental systems utilizing ribosome tags should demonstrate that the tagged ribosomes are functional, potentially by generating a strain in which the tagged RP is the only source of RP expression and showing full complementation, potentially by generating a strain in which the tagged ribosomes are functional, potentially by generating a strain in which the tagged RP is the only source of RP expression and showing full complementation, potentially by generating a strain in which the tagged ribosome helps to establish that such ribosomes are functional. This kind of data would ideally be paired with independent reporter assays to confirm that ribosome composition is responsible for the change and is not simply being co-regulated. The best way to design such experiments is to identify possible mechanisms by which a specialized ribosome is modifying the translational landscape, and then use molecular biology to probe for predicted changes.

Account for total ribosome numbers

Experimental systems developed to study specialized ribosomes will often drive their accumulation to levels not normally seen in the cell. Examples might include expression of an alternative rRNA, inactivation of a snoRNA, or depletion of a RP. Experiments examining ribosome specialization due to a specific RP paralog are particularly vulnerable to these artifacts. These systems generally require the deletion of one paralog to determine the functional changes caused by the other. As described above, a single RP locus is often unable to compensate for the loss of its partner, and the importance of each paralog can vary based on cellular conditions (Fig. 4). At minimum, expression of each paralog in a common UTR-context should be tested to ensure that differential effects arise from the ORF and not the noncoding regions. Ideally, western blot or mass spectroscopy should be used to validate that an RP is present at the same level as before the deletion of one paralog. Furthermore, when looking for specialization by loss of a specific RP, one should control for effects arising from a reduction in ribosome number, perhaps by ensuring the effects are observed only for the protein under investigation and not another RP.

Test for functionality

Finding ribosomes with altered composition does not mean they are functional. As noted above, degradation or assembly intermediates can be mistaken for specialized ribosomes, as can experimental artifacts, such as ribosomes that have lost RPs during isolation. In neither case can these ribosomes exert translational control.

To avoid this pitfall, ribosomal functionality should be tested. An in vitro translation assay would be the most direct measurement of activity. However, ensuring the purity or sufficient quantity of the ribosomes in this assay can be challenging. Testing for functional consequences of specialized ribosomes is viable but requires careful consideration of potential confounders. As we have described above, cells in which ribosomes have been altered can have unexpected defects that can be mistaken for specialized ribosome activity. For this reason, experiments that use binding or other observed functional differences to predict, and test for, gain-of-function phenotypes are the most powerful in vivo evidence of specialized ribosome functionality.

Look for regulation

Specialized ribosomes can exist without cellular mechanisms that regulate their construction. Nevertheless, evidence that cells control the levels of a particular kind of ribosome helps to establish that such ribosomes are functional. This kind of data would ideally be paired with independent reporter assays to confirm that ribosome composition is responsible for the change and is not simply being co-regulated. The best way to design such experiments is to identify possible mechanisms by which a specialized ribosome is modifying the translational landscape, and then use molecular biology to probe for predicted changes.
IS THERE EVIDENCE FOR PHYSIOLOGICAL ROLES OF SPECIALIZED RIBOSOMES?

The study of ribosome specialization is advancing rapidly, and much is still unknown about its frequency, conservation, and impact on gene expression. The criteria above lay out the considerations that claims of specialized ribosomes should be measured against. And while some potential alternative interpretations might be nearly impossible to entirely rule out (specialized ribosomes are degradation intermediates or perturbations from the tag), there are a now a few examples that suggest that ribosome heterogeneity has functional consequences and biological roles.

These include the production of Rps26-depleted ribosomes in yeast cells exposed to high salt or high pH values to promote the translation of mRNAs supporting the response to these stresses. This is mediated by loss of sequence selectivity within the Kozak sequence normally imparted by Rps26 (Ferretti et al., 2017, 2018). In other cases, mRNA specificity for individual ribosome populations has been demonstrated or suggested (Werner et al., 2015; Thompson et al., 2016; Shi et al., 2017), but biological roles for this specificity in the physiological regulation of gene expression remain unclear, and these might mainly manifest in disease-states. Similarly, whether the dependence of NGD on Asc1/RACK1 is ever exploited to block this pathway under certain conditions (or in certain cell types) remains to be seen. Kurylo et al. have provided evidence for shifting of ribosome populations in E. coli to effect functional differences in tetracycline binding that arise from variations in 16S rRNA (Kurylo et al., 2018). These variations also lead to changes in the association of AdhE, an alcohol dehydrogenase also implicated as an RNA helicase (Kurylo et al., 2018). Whether these are the cause for additional changes in ribosome function, or perhaps a reflection of other functional perturbations, which are compensated for by binding of this putative RNA helicase to the mRNA entry channel, remains to be seen. Nevertheless, this study strongly suggests the need to look beyond effects on mRNA selectivity that could arise from ribosome specialization. Such effects could include mRNA quality control, as already shown for NGD (Ikeuchi and Inada, 2016), but could also include effects on termination, pausing, nascent protein modification and folding. Importantly, such effects can be hard to uncover in a conventional analysis of a ribosome profiling data set (Thompson et al., 2016).

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