In Search of Life: Learning from Synthetic Data to Detect Vital Signs in Videos

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Abstract

Automatically detecting vital signs in videos, such as the estimation of heart and respiration rates, is a challenging research problem in computer vision with important applications in the medical field. One of the key difficulties in tackling this task is the lack of sufficient supervised training data, which severely limits the use of powerful deep neural networks. In this paper we address this limitation through a novel deep learning approach, in which a recurrent deep neural network is trained to detect vital signs in the infrared thermal domain from purely synthetic data. What is most surprising is that our novel method for synthetic training data generation is general, relatively simple and uses almost no prior medical domain knowledge. Moreover, our system, which is trained in a purely automatic manner and needs no human annotation, also learns to predict the respiration or heart intensity signal for each moment in time and to detect the region of interest that is most relevant for the given task, e.g. the nose area in the case of respiration. We test the effectiveness of our proposed system on the recent LCAS dataset and obtain state-of-the-art results.

1. Introduction

Vital signs monitoring is an important part of the medical field, at the intersection between medicine and the fast development of technology. It is no longer a topic that is only available in hospitals, as significant technical improvements in wearable devices make it suitable for every day use at home. Moreover, advancements in cameras and other devices, in combination with powerful vision and machine learning algorithms, prove that emerging smart medical technologies are able to provide measurements that meet or even surpass the traditional medical gold standards [1, 2].

Part of the wide variety of camera sensors, thermal cameras, which sense the skin temperature distribution, which is correlated with various other body signals, could also constitute a good source for estimating breathing patterns [3,4], pulse [5] and even stress levels [6]. A specific vital sign rate is measured as the number of cycles per unit of time (usually per minute). Normal vital sign rates vary according to multiple factors, such as age, psychical fitness and health issues, leading to wide ranges of normal vital signal rates. For example, in the case of adults, normal respiratory rates lie within 12 and 20 breaths per minute, and normal heart rates between 60 to 100 beats per minute. Besides the normal sign pattern, abnormal patterns may also occur [7], as temporary cessation (Apnea), abnormally low rate (Bradypnea) or abnormally high rate (Tachypnea). All these factors of variation make the task difficult, while powerful deep learning models, which could address such challenges, cannot be easily used due to lack of supervised training data.

Vital signals can be detected using multiple cues. A standard signal source for estimating breathing rate, in the thermal domain, is the variation in heat around the nose due to inhaling cold air and exhaling hot air [8] - but for that approach we would need to know where the nose is in the image. In the case of heart rate signal, information about the signal of interest can be detected by slight variation in face color [9] or superficial blood vessels [33], but there are many unrelated factors (e.g. illumination changes and other noises) that can affect these.

In our work, we propose to address all these limitations via a deep learning approach, with a recursive neural network, termed VSignNet, which learns, from synthetic data alone and without any human supervision, to predict both vital sign intensity and the corresponding regions of interest in thermal videos. Our method, to the best of our knowledge, is the first of its kind on this task, and achieves top results on the recent LCAS dataset [10], which is one of the very few ones available for this problem. VSignNet is applied directly on the input of thermal frames and predicts for each frame, along two output pathways, the value of the signal of interest and the region of interest that is likely to be the most important source of the signal e.g. the nose area for respiration.

The main contributions introduced are:

1. A novel deep learning approach trained without human supervision on synthetic data for detecting heart and
respiratory signs in thermal videos with state-of-the-art performance on the LCAS dataset [10].

2. Our deep-synthetic model is able to estimate not only the vital sign rate, but also learns, without any human supervision, to detect the intensity of the signal in every moment of time as well as the region of interest in the image corresponding to the signal.

3. A general method for synthetic training data generation which uses minimal medical information and anatomical cues and no strong prior knowledge of the target signal frequency.

2. Scientific context and a baseline

Important work has been developed for vital sign measurement in the RGB domain. In [28,29] authors present remote physiological measurement algorithms using signal analysis. In [30] authors introduce an algorithm using Independent Component Analysis to extract a signal of interest for heart rate, heart rate variability and breathing rate estimation. In [31] a Color Distortion Filter is introduced, which showed improved results when used as a pre-processing step with existing remote Photoplethysmography methods. In [32] introduce a novel convolutional attention network which recovers blood volume pulse and respiration signals from video, applicable on both RGB and Infrared.

The current literature for vital sign detection in thermal videos seems to revolve around a common experimental paradigm [10-12,14]. Usually, in the experimental setup, a small number of subjects is filmed with a thermal sensor. For example, in recent work [10], 5 videos are recorded of 5 subjects sitting in front of the thermal camera. The environment in which the experiment is performed is mostly constrained, being indoors and lacking variability. Of course, that such a small sample size and relatively limited experimental setup make it difficult to train powerful deep neural networks for vital sign monitoring. That justifies our approach of designing a method to synthetically generate training data, which would enable the training of large deep networks. The most common approach in the literature defines a pipeline with three steps:

The first step: is the detection of a region of interest (ROI), from which the signal of interest is extracted at each frame. For example, the approach in [12] detects the ROI (nostril region) in the first frame and tracks the corresponding ROI in the next frames using the Median Flow algorithm [13]. They provide three possible ways for detecting the nostril region in the first video frame: by manual initialization, by using the method from [14] using human anatomy queues in the thermal image, or by cross-correlation between the thermal image and a database of nostril ROI's. Alternatively, the method in [10] first segments the face in order to build a box around it, and then uses a pretrained landmark detector to find the position of the ROI (nostril region).

The next two steps: are signal extraction and frequency computation. For signal extraction, recent methods [10,14] compute the mean pixel value inside the ROI, after the first ROI detection step. In [11] pixel-wise signals are extracted to find the final breathing time series, whereas in [12] authors consider the ROI pixels as voxels and compute the volume of the resulting shape at each frame. For frequency computation, the approaches in [11, 12] perform spectral
Figure 2: Synthetically generated training data: target signal of interest $S_I$ (top row); corresponding input frames (middle row) and ground truth ROI segmentations (bottom row), sampled every 10 frames. Input frames are created by combining objects of interest "I" (with intensity varying w.r.t $S_I$), distractor objects "D" (with intensity varying w.r.t their own signal $S_D$) and varying background $\beta_{BG}$. Finally, the synthetic frame is smoothed with a Gaussian ($\sigma_{GB}$) and Salt-and-Pepper noise ($\beta_{SP}$) is added.

For our baseline signal extraction and breathing rate estimation we adopt a method similar to [10]. First we compute the mean pixel value in the ROI at each frame of the video. Having a signal of sufficient length (e.g. 1000 frames) we automatically remove peaks and edges that might appear due to noisy detections and movement by applying a Difference of Gaussians filter on the whole signal, then subtracting the filtered signal from the original one. We then normalize the resulting signal by subtracting its mean and dividing it by its standard deviation. After normalization we again filter it with a band-pass filter, so that only frequencies between 0.1 Hz and 0.8 Hz remain. Finally, we compute the absolute value of the Discrete Fourier Transform and obtain the maximum response in frequency, which gives the final breathing rate. Please see Table 2 for the results of our human made ROI and RetinaFace detected ROI methods.

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3. Our Deep-Synthetic Approach

Next we present our novel approach to detect vital signs in thermal videos based on VSignNet - a deep neural architecture, which learns from pure synthetic data and without human annotation to predict both the intensity of the vital sign (heart or respiratory rate) and the region of interest. Explained in detail in the next Sections, the synthetic data is generated elegantly and generally, requiring minimal domain knowledge, namely a very loose target frequency range. Our experiments also show strong robustness to this prior knowledge, with different ranges giving similar results.

One key novelty of our approach in the context of vital sign detection comes from learning only from synthetic data. There is an increasing number of works in computer vision, which learn from synthetic data, but on other tasks, such as: motion magnification[17], optical flow estimation[18], text localization in images [24], object detection [25,26], estimate depth and safe landing areas for UAVs [27]. Our synthetic data generation algorithm was inspired...
by approaches [10-12,14], which relied on the fluctuations on pixel intensities around the nostril area during inspiration and expiration. Surprisingly, the same procedure used for breathing rate estimation, with absolutely no modification, was able to generalize very well to the other task of heart beat detection from thermal videos - by only changing the prior target frequency range for generating the synthetic training data.

3.1. Synthetic Data Generation

The key motivation behind generating a fully synthetic training data set is the little publicly available data for research in the domain of vital signs monitoring. As we show next, our generated training videos are very different from the target domain. However, they are capable of capturing the quintessential elements of the targets, which makes training feasible and efficient.

The basis of synthetic frames creation: The generator is designed around the initial idea of producing data sequences that imitate thermal images containing a nostril area, as it changes in size and location over time while the person breathes. This area is considered a region of interest in many methods for respiratory signal detection [10-12,14]. Later the same generator proved effective in other learning tasks, such as heart rate prediction. Thus, the generator creates an input sequence of synthetic grayscale frames, which represent a disjointed linear combination (explained next) of three layers: 1) a layer (I) containing the region(s) of interest - one or several blobs fluctuating in intensity, size and location over time according to a random target frequency within a given range, 2) a random noise layer (N) and 3) a distractor layer (D) - containing distractor blobs that behave similarly to the target ROIs, but at a frequency outside the prior target range. Each synthetic training input frame is paired with a synthetic ground truth tuple, containing: 1) the signal of interest, as a function of time 2) the average rate of the signal and 3) a sequence of binary maps containing the interest blobs, exactly as they appear in layer I (point 1, from the synthetic input given).

An example synthetic training input sequence can be observed in Figure 2. Thus, the input data is a sequence of grayscale frames, containing one or several regions (objects) of interest whose pixel intensities fluctuate between a minimum and a maximum value - interval chosen at random in [0,1], in the same rhythm as the target signal: e.g. object is of intensity A at the maximum of the signal, and intensity B at the minimum of the signal. The objects of interest are ellipses of different sizes scattered around the image, all being in sync with the same signal.

Formally, each training videos is a sequence of T frames. For every moment \( t \) a frame, \( F(t) \) (Eq. 1) is a combination of signals \( S_I(t) \) and \( S_D(t) \), belonging to objects of interest in the set I and distractor objects in the set D. The value of the signal is multiplied with binary position masks \( M^I_t \) and \( M^D_t \), and then combined with a gradually shifting background, constructed based on the background mask \( M_{BG} \). The background mask is the complement of the union of all the other masks \( M_{BG}(t) = \bigcup_{i \in I \cup D} M^i(t) \), and background intensities \( \beta_{BG} \), which are sampled from interval \( U[0,1] \). We first form an image of lower resolution of background intensities, which we then upscale before forming the final background mask \( M_{BG} \). Then the resulting frame is first filtered with a Gaussian parameterized by \( \sigma, G_{\sigma} \), before a final salt and pepper noise \( \beta_{SP} \) is added.

The nature of the signal of interest \( S_I(t) \) is sampled from a selected family of cyclic functions [Sin,Step,Triangle,Gaussian], with a cycle period sampled from \( U[min_{I},max_{I}] \). The distractor signals are constructed similarly, sampled from \( U[\mathbb{R} \setminus [min_{I},max_{I}]) \)

\[
F(t) = G_d \left( \sum_{k \in I} (S_I(t) M^I_k(t)) + \sum_{k \in D} (S_D^k(t) M^D_k(t)) \right) + \beta_{BG}(t) M_{BG}(t) + \beta_{SP}(t) \tag{1}
\]

Objects and distractors moving in time and space: The masks are generated by drawing ellipses (originally inspired from nostrils’ shapes). The ellipses from the first frame is parameterized by a position \( (p_0^I, p_0^D) \) sampled from \( U[[0, Dim_{Frame}^I], (0, Dim_{Frame}^D)] \). a pair
of dimensions for the axes \((d_{k0}, d_{k1})\) sampled from \(U((1, \text{Dim}_0^{\text{Frame}}/4), (1, \text{Dim}_1^{\text{Frame}}/4))\), and a rotation angle \(\alpha\) sampled from \(U(0, 360)\). A secondary position is sampled as well, which represents the final destination of the ellipse, \((f^p_{k0}, f^p_{k1})\). Afterwards, at each frame, a new size is calculated by applying slight fluctuations to the previous size \(d_{ki}(t) = d_{ki}(t-1) \ast \delta\), \(\delta\) being sampled from \(N(1, 0.1)\). The new angle is calculated by similarly, \(\alpha_k(t) = \alpha_k(t-1) \ast \delta\), \(\delta\) being sampled from \(N(1, 0.1)\). The new position is calculated as the weighted average between start position and end position, over which a position noise, \(\delta\), is added \(p^t_{ki} = T_{\text{p}}^t p^t_{ki}(t-1) + \delta + T_{\text{p}}^t f^p_{ki}\).

\[
M^k(t) = \text{Ellipse}(p^k, p^k, d^k, d^k) \backslash \bigcup_{i \in I^k \cup D^k} M^i(t)
\]

(2)

**Modeling the target signal:** The target signal is composed of periodic functions (Fig. 3) with values between 0 and 1, and a function period sampled from the interval of interest specific to the task at hand. This interval of interest represents the prior of the synthetic data generation method. It is key to selecting the signal source from among other candidates irrelevant to our task.

**Synthetic data generation summary:** Along with the object of interest, the input data also contains the following forms of augmentation and noise, designed to make the network robust and generalize beyond the particular shape and appearance of the regions of interest in the ground truth:

1. Background level augmentations specific to the target domain: salt and pepper noise, designed to mimic the camera noise and a smoothly varying background, designed to mimic slight local changes.
2. Object level augmentations were used: size noise, designed to simulate slight changes in scale; position noise, designed to simulate slight movements of the head and position change, designed to simulate big movements, such as head rotations.
3. Signal level augmentations are applied as well: signal noise, designed to emulate different noise type present in the real domain and signal flattening, designed to emulate periods when the vital signs are missing. This augmentation has the effect of smoothing and cleaning the prediction of the network.
4. An important augmentation of the data is the addition of distractor objects, which look the same as the object of interest, but the signal frequencies are sampled from very different frequency intervals. This is a key component when dealing with the presence of multiple different signals in a video.

### 3.2. VSign-Net: Our Deep Learning Architecture

We propose VSignNet, our deep learning architecture (Fig. 4), which captures the temporal dimensions of the data on two levels, starting from a first local one and followed by a second, global one. The data pipeline is composed of 5 types of components: Temporal Convolutional Encoder [19], Bidirectional LSTM [20], Fully Connected Predictor, Temporal Convolutional Decoder [20], Signal Analysis Module. The Fully Connected Predictor and Temporal
Convolutional Decoder are both present before and after the bidirectional LSTM, capturing temporality on both a local and a global scale.

**Temporal Convolutional Encoder.** Applied on the sequence of input frames, it encodes spatial and temporal information together, creating a powerful embedding containing information about the slight fluctuations of the input.

Designed with a relatively small temporal receptive field, it is capable to capture local data evolution, as indicated by the values of the auxiliary local temporal loss. In our experiments a simple encoder was employed, consisting of 6 blocks containing Conv3D(kernel:3,stride:2,filters:64)-RELU-BatchNorm-[21]-Dropout-[22].

**Bidirectional LSTM.** Applied on the embedding resulting from the temporal encoder, it has the role of aggregating global temporal information and correcting the local information aggregated by the encoder. In our experiments two stacked bidirection LSTMs with 512 units each were used.

**Fully Connected Modules.** Present twice in the architecture, before and after the LSTMs, having the role of transforming the embedding of each frame in a single numerical value representing the magnitude of the vital sign at each frame. Our experiments used 3 Fully Connected layers, with 32, 8 and respectively 1 unit.

The first one is applied on the embedding resulted from the temporal encoder, containing only local temporal information. The second is applied on the embedding resulted from the LSTMs, containing global temporal information.

**Temporal Convolutional Decoder.** Present twice in the architecture, before and after the LSTMs, having the role of transforming the embedding of each frame in a heatmap encoding the location of the signal source.

The first one is applied on the embedding resulted from the temporal encoder, containing only local temporal information. The second one is applied on the embedding resulted from the LSTMs, containing global temporal information. In our experiments a simple encoder was employed, consisting of 6 blocks containing TransposedConv3D(kernel:3,stride:2,filters:64)-RELU-BatchNorm-Dropout.

**Signal Analysis Module.** Applied on the predicted signal based on global temporal information, it converts the signal to a numeric value representing the frequency of the target signal.

Given the smoothness of the network’s predictions, a peak detector based on local maxima was sufficient, having the advantage of its decisions being more transparent. As in [11], we set a minimum distance between peaks, having selected 40 frames, which is far below the average of an adult breathing rate. Another eligible candidate was Fourier frequency analysis [23], as applied in other methods [10,12].

### 4. Experimental Analysis

Our method has been evaluated on the LCAS thermal dataset ([10]). We perform an ablation study regarding the sensitivity of the prior on this dataset as well as experimental comparisons with our strong baseline and the methods published in the literature. LCAS consists of 5 videos of 5 subjects, who exhibit a regular breathing pattern. Each videos has about 2 minutes in length, captured at a 27 Hz sampling rate, with a resolution of 382 x 288. The subjects sit still in front of the camera for approximately half the length of the video, and for the second half they start moving closer and further, and change their head pose to extreme positions. The videos have ground truth annotations for breathing rate and heart rate. Breathing rate ground truth has inspiration start moments annotated, and heart rate ground truth is provided by a heart rate monitoring device.

Evaluation has been done in the same manner as in [10], by counting the number of vital sign cycles in a window of time. For respiration rate, the window is of length 1000 frames, and for heart rate it is of 250 frames, representing about 36 and 9 seconds, respectively. The evaluation is split in two sections, moving and still, depending on the head movement of the subjects. The standard evaluation metrics, also used in LCAS [10], are Mean Absolute Error (MAE) and its Standard Deviation (STD), reported per windows of a minute or 1620 frames. We also evaluate the temporal localization of our respiratory signal prediction, by measuring the distance between the predicted inspiration peaks (which can be easily detected) and the human annotated inspiration start points on LCAS (Table 3 and Figure 7).

We also introduce an in-house dataset, displaying different breathing patterns, absent in LCAS [1]. The person presents four breathing patterns, Normal Nose Breathing, from frame 1 to 1740, Hold Breath, from frame 1741 to 2610, Mouth Breathing, from frame 2611 to 3480 and Mouth and Nose Breathing, from frame 3480 to 4350. Results on this dataset are presented in Section 4.2.
4.1. The effect of prior target frequency range

Considering the importance of the frequency range prior, an ablation study have been done to test the impact of the precision of the frequency prior, knowing from annotations the frequency intervals of interest in the LCAS[10] dataset case. At the same time priors based on domain knowledge information, agnostic of dataset, have been tested.

As it can be observed from the results of the ablation study regarding the quality of the prior in Table 1, obtaining a good prior can improve the performance of our method. At the same time, less precise priors, with wider or smaller ranges than the range of the distribution of frequencies observed in the data, still obtain good results, indicating an ability of generalization to proximal, but unseen frequencies. The superior results on Moving section could be attributed to the improved visibility of superficial blood vessels [33] in lateral views.

4.2. Predicting different breathing patterns

In order to test the behaviour of our method when encountering the edge case of persons not breathing, we used an in-house thermal video. As seen in Fig. 6, the variance of the signal is much lower on the second region (not breathing), making it possible to detect periods of time with no inspirations. Also, the predictions on the third and fourth periods, mouth breathing, mouth and nose breathing, respectively, are similar in quality to the ones predicted over the first region (nose breathing), making the model robust to all types of breathing.

4.3. Experimental comparisons on LCAS dataset

As seen in Table 2, our method outperforms LCAS[10] and the baselines by a good margin. Note that M ROI baseline uses manually annotated regions during both testing and training, while RF ROI uses instead the automatic RetinaFace detector both for training and testing. Our VSignNet uses no detector and takes as input raw full thermal images.
Heart Rate (BPM)

| Experiment   | Still MAE | Still STD | Moving MAE | Moving STD |
|--------------|-----------|-----------|------------|------------|
| LCAS [10]    | 29.68     | ±15.76    | 18.96      | ±22.51     |
| VSignNet     | **15.51** | ±9.93     | **14.91**  | ±**7.99**  |

Table 2: Performance comparison between our results (VSignNet) and the results reported by Cosar et al (LCAS [10]). We also report the results of the two baselines, using either manually annotated ROI (M ROI) or ROI detected with RetinaFace (RF ROI). MAE and STD metrics are computed as in Table 1.

Respiration Rate (BPM)

| Experiment   | Still MAE | Still STD | Moving MAE | Moving STD |
|--------------|-----------|-----------|------------|------------|
| LCAS [10]    | 3.72      | ±0.78     | 5.87       | ±2.18      |
| M ROI        | 1.87      | ±2.05     | 4.41       | ±4.41      |
| RF ROI       | 1.90      | ±1.72     | 14.77      | ±7.32      |
| VSignNet     | **1.12**  | ±**1.34** | **2.62**   | ±**2.07**  |

In Table 2, we present the MAE and STD of the Heart Rate and Respiration Rate for the experiments using our VSignNet and the baselines, including LCAS [10]. The results show that our method outperforms the baselines in terms of MAE and STD, indicating better accuracy in the prediction of heart and respiratory rates.

Respiratory Signal Temporal Localization

| Region     | Still Mean | Still STD | Still Median | Moving Mean | Moving STD | Moving Median |
|------------|------------|-----------|--------------|-------------|------------|---------------|
| Head ROI   | 0.25       | ±0.19     | 0.21         | 0.27        | ±0.24      | 0.21          |

Table 3: Estimating the difference between the moments when the predicted inspiration period reaches its maximum and the start of the inspiration period as marked by human annotators on LCAS. The differences are estimated as the ratio between the distance in absolute number of frames (between the two moments) and the total number of frames in that specific respiration period. We report mean values, standard deviation as well as median values for the two cases of Still head pose vs. Moving head pose. Note that the mean error of 0.25 (a quarter of the total inspiration-expiration period) is in fact expected, intuitively, between the start at the peak of the inspiration period.

In Table 3, we present the respiratory signal temporal localization for the head ROI. The values indicate the difference between the predicted inspiration period and the start of the inspiration period, as marked by human annotators on LCAS. The differences are estimated as the ratio between the distance in absolute number of frames (between the two moments) and the total number of frames in that specific respiration period.

5. Conclusions

We presented a novel deep learning approach with fully automated synthetic training for detecting vital signs and their source interest regions in thermal videos. Different from the published literature our method employs a novel deep neural net (VSignNet), with two, local and global, temporal stages of processing, which achieves state-of-the-art results on the recent LCAS dataset. Our second contribution is that we overcome the lack of proper supervised training data with an elegant and general algorithm for synthetic training data generation. Our method is based on minimum prior medical knowledge and it is applicable (without modification) to both heart and respiratory rate estimation, as our experiments show. It is truly interesting that a very general and relatively simple algorithm for generating synthetic training data can be successfully applied in the complex and specific domain of medical imaging. This fact opens up new questions, with broader impact, regarding the ability of such strategy to learn, without human supervision, other complex vision tasks in space and time.

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