Coarse-to-fine Face Alignment with Multi-Scale Local Patch Regression

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Abstract

Facial landmark localization plays an important role in face recognition and analysis applications. In this paper, we give a brief introduction to a coarse-to-fine pipeline with neural networks and sequential regression. First, a global convolutional network is applied to the holistic facial image to give an initial landmark prediction. A pyramid of multi-scale local image patches is then cropped to feed to a new network for each landmark to refine the prediction. As the refinement network outputs a more accurate position estimation than the input, such procedure could be repeated several times until the estimation converges. We evaluate our system on the 300-W dataset [11] and it outperforms the recent state-of-the-arts.

1. Introduction

The performance of face recognition and analysis applications heavily depends on the effectiveness of facial landmark localization [15, 14, 6, 21], which seeks to find the accurate positions for a group of fiducial points pre-defined on the face. Though under constrained settings, where the face image are without large out-of-plane tilting and occlusion, this task has been considered solved, more general “in-the-wild” cases, where face images are with large pose, illumination, and expression variations, are still regarded as a difficult problem. Recently, as several challenging facial landmark localization benchmarks proposed, a lot of works have been published and demonstrated promising results under the "in-the-wild" settings [13, 22, 4, 10, 5, 16, 3, 7, 23, 9, 19].

The coarse-to-fine regression framework has been proposed in the recent approaches [13, 22, 23]. It tries to estimate the facial landmark positions by a sequence of regression models. In this paper, we present an end-to-end solution under this framework. Initially, a single neural network is used to predict the facial landmarks holistically. A subsequent geometric correction is applied to turn the face image to a canonical form according to the estimated scale and rotation. Then a series of networks are applied to refine each landmark’s position estimation. Each network takes a pyramid of multi-scale local image patches surrounding the landmark as input and outputs a more accurate position estimation. Such refinement is repeated until convergence. We evaluate our method on the popular 300-W [11] dataset. The results show that the proposed approach outperforms the state-of-the-arts by a remarkable margin.

2. Proposed Method

In Fig. 1, we illustrate our coarse-to-fine landmark localization pipeline with three steps.

Step 1. An off-the-shelf face detector is used to give an initial bounding box of the face. The face region patch is cropped and scaled to a normalized size. A global deep convolutional network taking the normalized image patch as input predicts the landmark positions.

Step 2. The in-plane rotation and scaling of face are corrected. After getting a global estimate from the Step 1,
the size of the face (more accurate than then face bounding box provided by face detector) and the in-plane face rotation angle is calculated. Then we canonicalize the face image according to the estimated rotation and scale by applying a similarity transformation.

**Step 3.** A series of networks refine the landmark estimate sequentially until convergence. Each network $i$ takes a multi-scale image pyramid as input and attends to refine a set of landmarks $s_i$, which have a spatial or semantic relationship in the face. Each landmark is predicted by at least one network. We average the prediction of each landmark obtained from multiple networks to compute the final result. We sequentially apply this refinement until the prediction converges.

3. Experiments and Comparisons

3.1. Datasets

**Megvii Facial Landmark Database.** We collect a large amount of data from Internet, referred to as Megvii Facial Landmark Database (MFLD). It contains about 21,000 faces with manually labeled 81 landmark points. We select 20,000 faces as the training set and leave the remains as test set.

**300-W Dataset.** The 300-W dataset [11] is a popular face alignment benchmark which contains faces collected in-the-wild with large pose, illumination, and expression variants. The dataset consists 3,148 training images and 689 test images with 68 labeled landmarks. The test images are evaluated with three part: common set, challenging set and full set. The common set contains the 554 images from test set of LFPW and HELEN and the challenging set contains 135 images from iBUG. The full set is the union of common and challenging sets.

3.2. Results

We train our system on the MFLD to output a estimation of 81 predefined landmark coordinates. Given the landmark definition mismatch between the MFLD and 300-W’s dataset, we then apply a linear least-square regression to map the MFLD’s 81-coordinates to the 300-W’s 68-coordinates. Finally, we re-train the refinement networks in the Step 3 to further fine-tune the results. Both the least-square regression and the refinement networks are trained on the training set of 300-W.

During the evaluation, the performance is measured as the average distance between prediction and ground truth, normalized by the inter-pupil distance.

In table 1, we report the mean error of the test set of MFLD through the coarse-to-fine pipeline. We observe that the refinement steps boost the performance significantly. The recursive application of local refinement improves the results further and finally converges.

| Stage | Mean Error |
|-------|------------|
| Coarse estimate (Step 1) | 5.93 |
| Refinement-0 (Step 3) | 4.27 |
| Refinement-1 (Step 3) | 4.16 |
| Refinement-2 (Step 3) | 4.15 |

Table 2 shows the comparison results with several recent state-of-the-arts on the 300-W dataset. We significantly improve the performances and yields the highest accuracy on the 300-W dataset in all settings. We also present all the prediction results from the challenging part of the 300-W (also named as iBUG dataset) in Fig. 2. It can be observed that our system predicts accurate results even with large pose, illumination, and expression on the faces.

4. Conclusions

In this paper, we propose an end-to-end framework, which predicts the facial landmarks through a coarse-to-fine pipeline with multi-scale local patch regression. Our results outperform the recent state-of-the-arts on the 300-W dataset.

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Figure 2: **Results on the iBUG dataset (the challenging part of 300-W test set).** Our system predicts accurate results even on the faces with large pose, illumination, and expression variations. We use face bounding boxes provided by Megvii Face API [1]. The images are sorted from the largest normalized mean error to the smallest.
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