Nonlinear photonic crystals near the supercollimation point

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We uncover a strong coupling between nonlinearity and diffraction in a photonic crystal at the supercollimation point. We show this is modeled by a nonlinear diffraction term in a nonlinear Schrödinger type equation, in which the properties of solitons are investigated. Linear stability analysis shows solitons are stable in an existence domain that obeys the Vakhitov-Kolokolov criterium. In addition, we investigate the influence of the nonlinear diffraction on soliton collision scenarios.

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Photonic crystals (PhC) are under active investigation due to the rich physics and all-optical signal control\cite{1,2,3}. One of the striking features in PhCs is the supercollimation (SC) effect, that was experimentally\cite{4,5} and theoretically\cite{6,7,8} examined. This effect originates from the possibility of obtaining flat regions in the spatial dispersion relation or equifrequency contours of PhCs. At these particular points the phase propagation components along the direction of a beam are equal. In this way all components of the beam travel with the same phase velocity and it becomes nondiffractive. Recently, centimeter-scale SC was achieved in a large-area two-dimensional PhC\cite{9}. However, the study of nonlinear effects around these SC points is largely unaddressed. Here we propose a more fundamental approach, as opposed to a more numerical one\cite{10}.

In this letter we derive a phenomenological model that describes light beam propagation in nonlinear PhCs around the SC point. In most optical systems, nonlinearity is a small perturbation, and hence added to the linear equation of motion. In contrast, in the current system, one uncovers a strong coupling between nonlinearity and diffraction. More specifically, a nonlinear diffraction term is introduced into the nonlinear Schrödinger (NLS) equation. This term can control the magnitude, and sometimes even the sign of the diffraction. As a result, this is a unique system, and new physical phenomena emerge. For example, this modified equation gives rise to solitons up to an upper threshold for the propagation constant. Linear stability analysis demonstrates the solitons are stable in the existence domain, and obey the Vakhitov-Kolokolov criterium. In addition, we examine soliton interaction scenarios.

In order to deduce the model equations, we consider a 3D PhC with the same periodicity
in the transversal $x$ and $y$ directions (a scheme shown in Fig. 1), and write the electric field of a beam in the PhC as $E(x, y, z, t) = F(x, y, z)A(x, y, z)\exp(ik^c_z z - i\omega t)$, with $k^c_z$ the propagation constant of the central Bloch mode, $\omega$ the frequency of the beam, $A(x, y, z)$ the slowly varying amplitude, and $F(x, y, z)$ the Bloch mode profile corresponding to $\omega$ and $k^c_z$. The propagation direction is along $z$.

We study beams in the neighborhood of an SC point, so $\omega \approx \omega_{SC}$ with $\omega_{SC}$ the SC frequency. The special dispersion relation around this point is shown in Fig. 1. The sign and strength of diffraction depends strongly on the position of $\omega$ versus $\omega_{SC}$. Exactly at the frequency $\omega_{SC}$, all components of the beam travel with the same propagation constant along $z$, noted by $k^c_{z,SC}$, and there is no diffraction. For frequencies different than $\omega_{SC}$ we approximate the equifrequency contours by a parabola. In this case diffraction can be positive or negative, depending on the position of $\omega$ versus $\omega_{SC}$. Furthermore, the strength of diffraction increases as the difference between $\omega$ and $\omega_{SC}$ increases.

To include nonlinearity we apply first order perturbation theory\textsuperscript{[11]}. Thus, the nonlinear interaction causes a small shift of the local dispersion relation [Fig. 1], which is equivalent to shifting $\omega_{SC}$. To first order this shift is given by

$$\frac{\Delta \omega}{\omega_{SC}} = \frac{1}{4} \int \text{d}r \rho_2(\mathbf{r})n(\mathbf{r})[\mathbf{F} \cdot \mathbf{F}](\mathbf{F}^* \cdot \mathbf{F}^*) + 2|\mathbf{F}|^4]$$

$$\times |A(x, y, z)|^2 \equiv \kappa |A(x, y, z)|^2$$  \hspace{1cm} (1)

with $\kappa$ a nonlinear coefficient calculated from the linear Bloch mode profile.

The nonlinearity shifts $\omega_{SC}$ to $\omega_{SC} + \Delta \omega$, so by Taylor expanding the dispersion relation
around the SC point, the modified dispersion relation becomes approximately

\[ k_z - k_z^{\text{SC}} + \alpha_1(\omega - \omega_{\text{SC}} - \kappa |A|^2 \omega_{\text{SC}}) \]
\[ = \beta_1(\omega - \omega_{\text{SC}} - \kappa |A|^2 \omega_{\text{SC}})(k_x^2 + k_y^2) \] (2)

with \( k_z \) the longitudinal, and \( k_x, k_y \) the transverse propagation vector components. The term with \( \alpha_1 \) corresponds to the frequency dependence of the central \( k_z \)-component (thus at \( k_x, k_y = 0 \)). Similarly, the term with \( \beta_1 \) describes the frequency change of the curvature (or the diffraction). Note that we neglect higher-order diffraction terms, such as fourth-order diffraction, as we are considering propagation of a broad beam with respect to the PhC period. We transform Eq. (2) into the space domain, and arrive at the following equation in dimensionless form:

\[ i \frac{\partial q}{\partial \xi} + \frac{1}{2} \alpha \nabla^2 q - \frac{1}{2} \beta |q|^2 \nabla^2 q + \gamma |q|^2 q = 0, \]

where \( \nabla^2 = \frac{\partial^2}{\partial \eta^2} + \frac{\partial^2}{\partial \zeta^2} \),

with the transverse coordinates \( \eta \) and \( \zeta \) scaled to the spatial characteristic width \( W_0 \) and \( \xi \) is the longitudinal coordinate scaled to the free-space diffraction length \( L_d = 2\pi W_0^2/\lambda \), for Gaussian-like beams [12]. \( \alpha = -2\beta_1(\omega - \omega_{\text{SC}})L_d/W_0^2 \) indicates the linear diffraction strength, and its sign characterizes the type of linear diffraction. The novel nonlinear diffraction term is preceded by \( \beta = 2\beta_1 \kappa \omega_{\text{SC}} c^2 L_d/W_0^2 \), with \( c \) the speed of light. The usual nonlinear term has \( \gamma = -\alpha_1 \kappa \omega_{\text{SC}} c^2 L_d \). In the following, we employ \( \delta n(I) > 0 \) (thus \( n_2 > 0 \)) and \( \beta_1 > 0 \).

In this paper we will mainly show results concerning 2D PhCs, so the resulting equation takes the form

\[ i \frac{\partial q}{\partial \xi} + \frac{1}{2} \alpha \frac{\partial^2 q}{\partial \eta^2} - \frac{1}{2} \beta |q|^2 \frac{\partial^2 q}{\partial \eta^2} + \gamma |q|^2 q = 0. \] (3)

Note that all the coefficients for this model can be deduced from rigorous numerical simulations [9]. Recently, an equation similar to Eq. (3) was reported as the continuous approx-
imation of the Salerno model. Here in contrast, we derive the model from a physical system, describing light beam propagation in nonlinear PhCs with SC. Eq. 3 conserves the power $U = \frac{1}{2} \beta \int \ln |\alpha - \beta |q|^2 \, d\eta$. The stationary solutions of Eq. 3 have the form $q(\eta, \xi) = w(\eta) \exp(ib\xi)$, where a real function $w(\eta)$ and a real propagation constant $b$ are found by iterative relaxation method. To analyze stability we examine perturbed solutions $q = (w + u + iv) \exp(ib\xi)$, where the real $u(\eta, \xi)$ and imaginary $v(\eta, \xi)$ perturbations can grow with complex rate $\delta$ upon propagation. The eigenvalue problem linearized from Eq. 3 around $w(\eta)$ is solved numerically.

One concludes that Eq. 3 allows soliton solutions [see e.g. Fig. 2(a)], but only in a finite band of propagation constants. More specifically, there exists an upper threshold for the propagation constant ($b_{co}$), which depends on the strength of nonlinear diffraction $\beta$ [Fig. 2(c)]. Above this value peakon solutions appear, which are unphysical given the assumptions of our physical model. To model what happens beyond that point in a real PhC, one would have to include more terms to Eq. 3. To emphasize, in our system it is the nonlinear diffraction term that leads to the reduction of the semi-infinite band of propagation constants (as in a pure cubic NLS system) to a finite one, which is typical for soliton families in models with competing nonlinearities, such as the cubic-quintic NLS equation. It is noted that the power is a non-monotonic function of the propagation constant [Fig. 2(b)]. Fig. 2(d) shows the dependence of the width of solitons on the amplitude (inset plot shows the dependence of maximum amplitude on the propagation constant). As one can see from this plot, the nonlinear diffraction has a significant effect at larger amplitudes. In addition, it should be pointed out that a lower power would be needed to generate a soliton in our
system because the diffraction is so weak to start with. Thus our system may provide an experimentally favorable way to manipulate nonlinear waves.

Linear stability analysis shows that solitons are stable in the whole domain of their existence. An instability growth rate calculation is shown in the inset of Fig. 2(c). It is noted that the Vakhitov-Kolokolov criterium applies to our system for fundamental solitons, namely solitons are stable when $\frac{dU}{db} > 0$. To confirm the outcome of the linear stability analysis we perform direct numerical simulations of Eq. 3. We employ a split-step Fourier method, in combination with fourth-order Runge-Kutta to deal with the nonlinear diffraction term. The input condition is $q(\eta, \xi = 0) = w(\eta)[1 + \rho(\eta)]$, with $w(\eta)$ the profile of the stationary soliton and $\rho(\eta)$ a random noise function with variance up to 10%. The simulations confirm the linear stability analysis.

As another example where nonlinear diffraction affects fundamental phenomena, we report its influence on soliton collisions for different input conditions. We use as input a soliton of the pure NLS ($\beta = 0$), and examine how changing $\beta$ affects collision scenarios. In the case of two in-phase parallel solitons as input, the colliding solitons behave periodically for a small nonlinear diffraction term [Fig. 3(a)], as in the case of the pure NLS. For larger $\beta$ the colliding solitons merge into a single localized state, with breather-like features [Fig. 3(b)]. For solitons moving in opposite directions, the colliding solitons feature similar behavior. One example, presented in Fig. 3(c), shows two solitons merging into a single localized state. Such inelastic effects often appear when dealing with a perturbed NLS, again, here it is caused purely by nonlinear diffraction. For two out-of-phase solitons, the results show that the repulsive force between neighboring solitons is reduced with an increase of
Finally, we investigated soliton properties in the (2+1)D model (two transversal dimensions and one propagation direction) but no stable solitons were found. The results show however that nonlinear diffraction modifies the critical power for collapse: positive (negative) $\beta$ reduces (increases) the critical power. This means the nonlinear diffraction can slow down the collapse.

In most PhC structures the SC effect is relatively broadband. This means that the curvature changes slowly near the SC point. Therefore, to have a significant nonlinear diffraction effect one needs to design a PhC with a large curvature change, meaning a large $\beta_1$. At the SC frequency the nonlinear diffraction term would then be the main contribution that can interact with the normal nonlinear term.

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Figure captions

Figure 1. (a) A scheme of 3D PhC. (b) Depiction of the linear dispersion relation in the proximity of a SC point. (c) Nonlinearity gives rise to an index change $\delta n$, and is modeled by a shift of the dispersion relation, here shown for the particular input frequency $\omega = \omega_{SC}$.

Figure 2. (a) Profile of a soliton for $b = 1$ with $\beta = 0.3$. (b) The dispersion diagram for $\beta = 0.3$. (c) Domain of existence for solitons in the $(b, \beta)$ plane, where the inset shows the real part of the perturbation growth rate versus propagation constant for $\beta = 0.3$. (d) The soliton width (FWHM) versus maximum amplitude for varying nonlinear diffraction, namely $\beta = 0.1$ (dotted line), $0.2$ (dashed line) and $0.3$ (solid line). The inset shows the dependence of maximum amplitude on the propagation constant. $\alpha = 1$ and $\gamma = 1$ for all cases.

Figure 3. Collision scenarios between solitons with $\alpha = \gamma = 1$: Two in-phase parallel solitons for (a) $\beta = 0.05$ and (b) $\beta = 0.15$. (c) Two solitons moving in opposite directions with an angle ($\theta = 0.2$), and $\beta = 0.15$. (d) Two out-of-phase parallel solitons for $\beta = 0.15$. 

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