The 3.6-Ma aridity and westerlies history over midlatitude Asia linked with global climatic cooling

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Midlatitude Asia (MLA), strongly influenced by westerlies-controlled climate, is a key source of global atmospheric dust, and plays a significant role in Earth’s climate system. However, it remains unclear how the westerlies, MLA aridity, and dust flux from this region evolved over time. Here, we report a unique high-resolution eolian dust record covering the past 3.6 Ma, retrieved from the thickest loess borehole sequence (671 m) recovered to date, at the southern margin of the Taklimakan desert in the MLA interior. The results show that eolian dust accumulation, which is closely related to aridity and the westerlies, indicates existence of a dry climate, desert area, and stable land surface, promoting continuous loess deposition since at least ∼3.6 Ma. This region experienced long-term stepwise drying at ∼2.7, 1.1, and 0.5 Ma, coeval with a dominant periodicity shift from 41-ka cyclicity to 100-ka cyclicity between 1.1 Ma and 0.5 Ma. These features match well with global ice volume variability both in the time and frequency domains (including the Mid-Pleistocene Transition), highlighting global cooling-forced aridity and westerlies climate changes on these timescales. Numerical modeling demonstrates that global cooling can dry MLA and intensify the westerlies, which facilitates dust emission and transport, providing an interpretive framework. Increased dust may have promoted positive feedbacks (e.g., decreasing atmospheric CO2 concentrations and modulating radiation budgets), contributing to further cooling. Unraveling the long-term evolution of MLA aridity and westerlies climate is an indispensable component of the unfolding mystery of global climate change.

dust emission | Taklimakan loess sequence | Asian inland aridification | global cooling | Plio-Quaternary

The vast arid regions in midlatitude Asia (MLA) are among the most prominent landscapes on Earth’s surface, spanning over nine countries and hosting about 0.6 billion people, most suffering from poverty exacerbated by increasing environmental stress due to aridification. Aridity in MLA has long been thought to have strong impacts on Pacific Ocean primary productivity, global geochemical cycling, and climate change by influencing the global radiation budget (1–4) and atmospheric CO2 variability (5–7) (Fig. L4). Uplift of the Tibetan Plateau and global cooling have been thought to control the aridification of the MLA interior, and global climates as well, through changes in the westerlies (9–11). However, lack of detailed aridity records hinders our understanding of which mechanisms drive MLA aridification and changes of the westerly jet, and hence the linkages between dust emission from the MLA interior and Pacific Ocean biogeochemical processes and global cooling.

The Tarim basin (560,000 km2) in northwest China (Fig. 1B), containing the world’s largest active dune field, the Taklimakan desert, provides nearly two-thirds of the total dust generated in the MLA interior (1), with approximately half of the <20 μm fine particles being transported by the upper-level westerly jet out of the region to the Pacific Ocean and beyond (1), even joining the global circulation (2, 4, 8) (Fig. L4). Most of the coarse fraction is transported by the lower-level westerly winds generally southward and deposited proximally, chiefly along the central southern Taklamakan desert and on the northern slope of the West Kunlun Shan (Mountains), accumulating as thick loess deposits carpeting various geomorphic surfaces (mountain slopes, foothill fans, river terraces, and basin rims) (12–14) (Fig. 1B). Both modern meteorological data and numerical modeling demonstrate clearly that both the lower and upper winds over the Tarim basin are controlled chiefly by the westerlies under modern and peak glacial conditions (Fig. L4 and SI Appendix, Fig. S1). This loess, like that in the Chinese Loess Plateau, bears a wealth of direct information about the MLA aridification and westerlies change.

The thickest and most continuous loess is found widely distributed across almost all geomorphic surfaces between the altitudes of 2,500 and 4,500 m (12) around the Hetian–Yutian area to the south of the basin, with its core showing the highest

Significance

We recovered the world’s thickest continuous loess record from the southern margin of the Taklimakan desert, a global-scale dust source area. The continuous high-resolution grain size and flux records of dust emission, reflecting histories of aridity and westerlies climate, indicate an extant dry climate, desert area, and stable land surface supporting continuous loess deposition at least since ∼3.6 Ma, and that global cooling, rather than Tibet uplift, modulated the histories of aridity and westerlies climate changes in inland Asia since ∼3.6 Ma. Moreover, our study may suggest potential positive linkages and feedback among dust emission, marine biogeochemical activity, atmospheric CO2, and global cooling, which might provide insights into dynamics of Earth’s climate system and improve predictions for the future.

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stable platforms with loess surface elevations of $\sim 3,300$ m to $3,200$ m, $\sim 2,000$ m above the basin floor (SI Appendix, Fig. S2). The current circulation, dust storm track/deposition, and dust–loess geochemical and geological comparative analyses show that the lower westerly winds flow over the lower divides of the Pamir and through the Turpan Wind Pass, between the Tian Shan and the Bogda Mountains (15), generating and carrying dust from the desert to the southern rim of the basin and the northern slope of the West Kunlun Shan, forming a continuous loess deposit (12, 16, 17) (Fig. 1B). Thus, this loess deposit archives the continuous histories of change in the westerly climate, the drying of the Asian interior, and dust emission in the Tarim basin. Analysis of these sections will shed light on whether these deposits are driven by the uplift of the Tibetan Plateau and contribute to and impact global climatic change.

**Results and Discussion**

Few studies have been carried out on the loess deposits from the lower terraces in the southern Tarim basin, due to the scarcity of good outcrops, the remote location, and the sparse attention that has been paid to the dust emission history of the major contributor of the MLA dust budget. In 2006, we carried out a drilling program on the highest fan surface, with the loess platform surface elevation at $3,300$ m, at the central southern margin of the Tarim basin (36°11′58.4″N, 81°20′15.4″E). The drilling extended down to 207 m depth. The paleomagnetism of the core determined its basal age to be $\sim 1$ Ma (18). We recently drilled a second core at the same site using a more powerful drilling rig. This latter campaign completely penetrated the loess sequence and reached the fan surface of the Xiyu (Conglomerate) Formation at the depth of 671 m (SI Appendix, Fig. S2), with a recovery of 96.1%. This drilling provides evidence that central Asia has a thick loess sequence, which is 2 to 3 times thicker than the loess on the Chinese Loess Plateau and is the thickest known in the world.

This loess deposit shows similar lithologic characteristics to those we see on the Loess Plateau (SI Appendix, Figs. S2–S4). The lithology of the loess core shows a much coarser grain size and much weaker paleosols than those on the central Loess Plateau (19), as the location is much closer to the desert source area, and climate is much drier. The loess is light-yellowish, sandy silt, massive, homogeneous, and loose. In comparison, the paleosols show slightly darker color, less sandy silt (harder), and some biological root channels and pores as well as small white carbonate spots (SI Appendix, Fig. S3). High-resolution paleomagnetism...
determined that the loess sequence was formed from ∼3.6 Ma to the present (Methods) (Fig. 2 A–C and SI Appendix, Figs. S5 and S6).

To sustain a large area of continuous deposition of thick loess sediments requires a persistent desert source region providing a stable and considerable dust supply, the presence of a prevailing wind system to transport dust, and a stable land surface for loess accumulation (12, 15). Thus, the appearance of the widely distributed continuous West Kunlun Shan loess at ∼3.6 Ma indicates a rapid desiccation of the Tarim basin at this time and an extant desert area (dunes and vast arid desert fans, exposed river flood valleys with overbank deposits, wind-eroded yardangs, exposed lake floor terrain, etc.) in the Tarim basin, although patches and discontinuous loess-like silt deposits were found earlier in some time intervals, that is, ∼5.3 Ma in the fan sandstone and conglomerate below our loess sequence (16). The increase of Asian dust flux in the Late Pliocene represented by the West Kunlun Shan and Tarim loess record seems to be consistent with the eolian records of the North Pacific Ocean (25).

The coarse size fraction (>30 μm) of the loess deposits has been widely used as a proxy of wind strength, while the dust flux is a direct index of the dust emission or aridity in the source area in the Chinese loess studies (19, 26, 27). These proxies have been proven conceptually and in practice and are also sensitive to the strength of the westerly jet and aridity of the Asian interior (12, 28). Field and satellite observations and measurements of grain sizes of modern dust storms in the Taklimakan desert further demonstrate that the grain sizes of the coarse size fraction increase linearly with westerly wind strength as recorded in deposits in the southern basin (27), while the finer size fractions (<20 μm) are mostly transported out of the region by the upper-level westerly jet to more remote regions, such as the Pacific Ocean and even Greenland (2–4, 8). Higher coarse size fraction >30 μm and its flux indicate stronger westerlies and increased aridity (28), and thus stronger dust emissions. Geologic records and numerical modeling demonstrate that expansion of Northern Hemisphere (NH) ice sheets will intensify the strength of the westerly jet and push it southward (29, 30). These changes in the westerly jet can impact the MLA and Chinese Loess Plateau (26, 31) by enhancing the persistent stationary wave of the westerlies along the northern rim of the Tibetan Plateau and drying the region (9, 10) leading to intensified dust storm activity (26, 31). Thus, the proxy indices of dust grain size and flux can also partially reflect the variations in high-latitude NH ice volume. Accordingly, we analyzed the grain size at 10-cm intervals (∼0.5 ka in resolution) and dust flux at various intervals, mostly 0.3 m to 1 m, following standard methods (Fig. 2 D and E and see Methods).

Based on the magnetostratigraphic age constraints, we carried out spectral analyses of the grain size and dust flux records to determine the dominant periodicities. On this basis, we astronomically tuned the records to orbital eccentricity and obliquity (Methods) (Fig. 2 G–I and SI Appendix, Figs. S7 and S8).

The astronomically tuned time series of high-resolution coarse size fraction (>30 μm) and the related flux records of the loess core reveal a long-term increasing trend with obvious stepwise increases at ∼2.7, 1.1, and 0.5 Ma, suggesting enhancements of the westerlies and drying climates at those times. The latest (1 Ma) drying trend was also revealed by the grain size record of the first core (18, 23). Spectral analyses of the records indicate that a dominant 41-ka cycle prevailed in the interval of 3.6 Ma to 1.1 Ma and changed through the interval of 1.1 Ma to 0.5 Ma to a dominant 100-ka cycle for the interval of 0.5 Ma to 0 Ma. Another obvious feature of the spectrum is a large contribution from an ∼400-ka cyclicity (Fig. 2 G–I).

The coarse size fraction and dust flux records of the loess core are well correlated with benthic marine oxygen isotopes since the Mid-Pliocene (22) (Fig. 2 D–F and SI Appendix, Fig. S9). The aridity and westerly climate changes in the MLA they record generally agree well with the global cooling trend, events in the temporal domain, and periodicity shift in the frequency domain (Fig. 2 D–I). Major coarser grain size and higher dust flux peaks are mostly correlated with glacial stages in the marine oxygen isotope record, while finer grain size and lower dust flux values are correlated with interglacial stages (Fig. 2 D–F and SI Appendix, Fig. S9). In the context of Plio-Quaternary long-term cooling,
there existed the first onset of large-scale glaciations in the high latitudes of the NH at ∼2.7 Ma, the occurrence of the so-called Middle Pleistocene Transition (MPT) at ∼1.1 Ma, decreased temperatures of glacial periods after 0.5 Ma, and a distinct shift of the orbital periodicity influence from obliquity-dominated to eccentricity-dominated cycles at ∼0.5 Ma (22, 32). However, the increased amplitudes of the dust grain size and flux at the MPT and 0.5 Ma are comparatively larger than that of the global average marine oxygen isotope values and their indicated temperature drops (Fig. 2).

Therefore, we believe that the general close matches of the Plio-Quaternary dust emissions driven by the intensification of the westerly and drying climates in the MLA interior with global cooling (Fig. 2) demonstrate that the ice volume and global cooling exert a major role in modulating the aridity and westerly climate in MLA that control the MLA dust emissions. We further hypothesize that the increases of high-latitude ice volume in the NH at the MPT and 0.5 Ma, as indicated by the increased ice volume recorded at Deep Sea Drilling Project 607 in the North Atlantic Ocean (32) and the growth of the extensive Laurentide and Scandinavian ice sheets (33) compared to that of global average marine oxygen isotope record (22) (SI Appendix, Fig. S9), are responsible for the increased amplitudes of aridity at the MPT and 0.5 Ma. Such increases in grain size and flux are also seen in Hi� and Loess Plateau (35) and northern Pacific Ocean (25) (SI Appendix, Fig. S10). This implies that the expansion of NH ice sheets can greatly enhance the strength of the westerlies, the jet, and aridity in MLA. Such signals might be further transferred to the Chinese Loess Plateau and North Pacific Ocean through dust conveyed by upper levels of the westerlies (25, 34–37).

Interestingly, our dust records indicate a strong 400-ka cyclicity that is not present in global marine oxygen isotope records, but is present in globally distributed carbon isotope records (35–38) (SI Appendix, Fig. S11). The good match of the dust records with those of the North Pacific Ocean may support the hypothesis that the dust and iron addition to the northern Pacific Ocean promotes marine primary productivity and atmospheric CO₂ and temperature drops (5–7), forming a positive feedback enhancing further global cooling since the Mid-Pliocene. The marine benthic δ¹³C data partially record the atmospheric CO₂ concentration and have been suggested as an approximate measure of pCO₂ (39). Decreased ocean benthic δ¹³C records over oceans, indicating decreased global CO₂ (34–39), correspond generally with increased long-term dust emission in our record. Furthermore, the prominent 400-ka cycle in our dust records matches well with the benthic δ¹³C records in the South China Sea (35–37) and subtropical North Atlantic Ocean (38) (SI Appendix, Fig. S11). Both might indeed hint that the MLA dust emission has considerable impact on marine productivity and global CO₂ drop.

To examine in detail the connections between global cooling factors and the changes of the westerly climate and inland aridity for dust emissions over MLA, we applied the Community Atmosphere Model 3 (CAM3) to conduct a series of numerical simulations for the three typical periods of the Mid-Pliocene (~3.0 Ma to 3.3 Ma), the preindustrial (PI), and the Last Glacial Maximum (LGM) (Methods) (Fig. 3). In the Mid-Pliocene, which had the warmest ocean, the highest CO₂, and the smallest ice cover of the three periods, the simulated annual temperature over the NH is the highest, with a value of 16.7 °C. This value is warmer than those of the PI and LGM by 3.4 °C and 5.5 °C, respectively (Fig. 3 A–G). Over the Taklimakan desert region, the variation in the local temperature is similar to the NH mean. In particular, the temperature difference between the Mid-Pliocene and PI is amplified (6.9 °C), indicating that the temperature might be more sensitive to global cooling over the Asian interior. The significant NH cooling from the Mid-Pliocene to the PI and LGM amplified the meridional thermal gradient and thus induced the intensification of the high-level westerly jet. The increased westerly jet, as well as the local surface cooling, both contributed to greater surface wind speeds; especially during the LGM, both the high-level and surface wind speeds are substantially increased due to the high-latitude ice sheets. The annual precipitation rate decreases by 0.10 mm/d from Mid-Pliocene to LGM, which indicates that aridification is enhanced to some extent, although the simulated precipitation is overestimated over this area due to the poor resolution of the Pamir–Tianshan mountains related to relatively coarse model resolution (40). Spatially, compared to the Mid-Pliocene, the climate becomes drier over most regions of northwest China and Mongolia during PI (Fig. 3H). The Asian monsoon is also weakened in PI, leading to lower precipitation over nearly the whole of India and eastern China. The high-level westerly wind is slightly strengthened over the Taklimakan desert and becomes significant downwind over northern China and Japan. During the LGM, the precipitation rate is significantly suppressed over the whole of Asia, including both the arid and monsoon regions (Fig. 3I). Compared to that of the PI, the westerly wind is obviously intensified by the increased global ice sheets, especially over the Taklimakan and central Asia region. Overall, the modeling results indicate that the enhancements of dust emission and deposition in MLA have close connections with the climatic cooling from the Mid-Pliocene to the LGM (Fig. 3 F and G), which are mainly facilitated by the strengthened surface wind velocities and high-level westerly wind and inland aridity.

Our loess proxy records and numerical modeling demonstrate that the aridity and westerly climate and thus the dust emissions in MLA have strong connections with global cooling since the Mid-Pliocene. This suggests that global cooling could be the primary forcing needed to dry inland Asia and to enhance the westerlies to form the great Taklimakan desert since the Mid-Pliocene. As well, our results suggest that dust-induced intensified surface albedo, dust reflection, cirrus cloud formation (2), and atmospheric CO₂ drawdown due to the enhanced primary productivity of microorganisms in the Pacific Ocean [increased availability of dust nutrients (5–7)] might induce positive feedback mechanisms, driving further global cooling.

**Methods**

**Paleomagnetic Analysis.** Paleomagnetic block samples were taken at 50-cm intervals in the upper 80 m of the core and at 25-cm intervals in the lower 200 m of the core. In total, 2,500 4-cm³ cubic samples were obtained. Each of the block samples was cut into three sets of 2 cm × 2 cm × 2 cm cubic subsamples for measurements, forming three sets of specimens. One set of the specimens was subjected to stepwise alternating-field (AF) demagnetization with nine steps of 5, 10, 20, 30, 40, 50, 60, 70, and 80 mT. For cross-checking, the second set of specimens was demagnetized in a Magnetic Measurements Thermal Demagnetizer (MMTD80). Then, all remanent measurements were carried out on a 2G Enterprises Model 760-R cryogenic magnetometer installed in a field-free space (<300 nT) at the Institute of Geology and Geophysics, Chinese Academy of Sciences. No significant difference was found in the characteristic remanent magnetizations (ChRMs) derived from AF demagnetization and thermomagnetization for most of the samples (SI Appendix, Figs. S5 and S6). A total of 2,331 (93%) samples gave reliable ChRMs to determine the magnetostriatigraphy.

**Magnetostatigraphic Correlation.** The reliable ChRMs from two sets of samples after removal of the secondary remanent magnetization components through stepwise alternative field demagnetization and thermomagnetization of secondary remanence are averaged for calculating their inclinations, using a maximum likelihood solution for inclination-only data (41) (SI Appendix, Figs. S5 and S6). The depth function of the average inclination determines the 20 normal (N1 to N20) and 19 reversed polarity zones (R1 to R19), which can be readily correlated with the Global Polarity Time Scale (GPTS) (20, 21) (Fig. 2 A–G). The upper 170 m of the loess core is dominated by normally polarized zones (N1 to N4) and is punctuated by three very short reversals (R1 to R3); the middle part (170 m to 483 m) has mostly reversed polarity zones (R4 to R11) intercalated with some short normal zones (N5 to N11); and the lower
H2O2 solution for 10 min. We then removed the carbonates from these samples by putting them into a 10% HCl solution for 10 min. Finally, we put the samples into a 3.6% (NaPO₃)₆ solution and dispersed them in an ultrasonic bath for 10 min.

Following the formula of An et al. (28), the dust flux of the grain size fraction > 30 μm (grams per square centimeter per thousand years) for the eolian deposits is estimated as

\[ \text{dust flux}_{>30 \mu m} = f_{\text{eolian}} \times SR \times DBD, \]

where \( f_{\text{eolian}} \) is the fraction > 30 μm of the eolian dust in the deposit, SR (centimeters per thousand years) is the dust accumulation rate, and DBD (grams per cubic centimeter) is the dry bulk density.

**Orbital Tuning and Power Spectrum Analysis.** Following the standard orbital tuning method (32, 42, 43), we first obtain an original age sequence for our grain size and dust flux records via a linear interpolation between the palaeomagnetic age points (SI Appendix, Fig. S7A). Spectral analyses of the records based on this time sequence indicate dominant cycles of 41, 100, and 400 ka. The filtered results of the 100-ka and 41-ka bands show distinct similarities with the eccentricity and obliquity cycles in the marine oxygen isotope record (22) and the orbital eccentricity and obliquity cycles (44) (SI Appendix, Fig. S7 B–H). Thus, we established an additional six tie points for the age control based on the visual comparison between our coarse size fraction record and the marine oxygen isotope record (22) aided by rough comparison of their filtered 100-ka and 41-ka cycles with variations of the eccentricity and obliquity cycles (44) (SI Appendix, Fig. S7). The final age model of our grain size and dust flux records was obtained by repeatedly tuning our filtered record (centered at 41 ka) based on an initial age model constrained by the palaeomagnetic constraints and six tie points to orbital obliquity (44), until the phases and amplitude between our filtered records and those of the orbital records reached maximum fits. We use a phase lag of 8 ka to obliquity (44), following the conventions of previous studies (42, 43, 45) in which the filtered 41-ka component of foraminiferal oxygen isotope data or loess records were considered to lag the NH 65° summer insolation maxima by 7.8 ka at the obliquity band. Orbital tuning was performed using the Match 2.0 program (46).

**Grain Size and Mass Accumulation Rate.** Grain size analyses were measured at 10-cm intervals using an American Microtrac S3500 laser particle sizer at the Institute of Tibetan Plateau Research, Chinese Academy of Sciences. First, we removed the organic matter from the samples by boiling the sample in a 10% H₂O₂ solution for 10 min. We then removed the carbonates from these samples by putting them into a 10% HCl solution for 10 min. Finally, we put the samples into a 3.6% (NaPO₃)₆ solution and dispersed them in an ultrasonic bath for 10 min.

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Gaussian band-pass filter was applied to our record to extract the oscillations with 100-ka and 41-ka periodicities. Data (sampling) resolution is 0.5 ka per point. The detailed method is referred to in our previous publication (43). The final tuning results were presented in SI Appendix, Fig. S8. A comparison between the filtered 41-ka components of the coarse size fraction of the dust record and the SST were taken from the Pliocene Research, Interpretation, and Synoptic Modeling 3D dataset (48). The CO₂ concentration was set to 405 parts per million by volume (ppmv). For the LGM, the ICE-5G data (49) were employed for the cover and topography of the global ice sheets. The monthly SST data were obtained from the Community Climate System Model 3 (CCSM3) LGM experiment by University Cooperation for Atmospheric Research (UCAR), which is available at the Earth System Grid. The CO₂ concentration was set to 185 ppmv. For the PI, all boundary conditions were kept as those of the present, except the CO₂ concentration was set to a value of 280 ppmv and the SST was from the CCSM3 PI experiment at UCAR. The orbital parameters for the LGM and PI were calculated by Berger (50). The distributions of the dust source areas followed the methods of Shi et al. (51), and the annual precipitation was used to obtain the distributions of the dust sources. These experiments were integrated over 30 y at a horizontal resolution of 2.8° × 2.8°, and the variables for the Asian climate and dust cycle for the last 20 y were averaged to analyze their response to global cooling.

Data Availability Statement. There are no data underlying this work.

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Numerical Experiments. To examine the effects of the global cooling factors on the inland aridity and westerly jet over Asia, a set of experiments using CAM3 was conducted to represent the global climates of the Mid-Pliocene, the PI, and the LGM. In CAM3, a dust module is enabled (47) to simulate the cycle of eolian dust over Asia. For the Mid-Pliocene (−3.3 Ma to 3 Ma), the global topography, the ice sheets, and the monthly sea surface temperature (SST) were taken from the Pliocene Research, Interpretation, and Synoptic Mapping 3D dataset (48). The CO₂ concentration was set to 405 parts per million by volume (ppmv). For the LGM, the ICE-5G data (49) were employed for the cover and topography of the global ice sheets. The monthly SST data were obtained from the Community Climate System Model 3 (CCSM3) LGM experiment by University Cooperation for Atmospheric Research (UCAR), which is available at the Earth System Grid. The CO₂ concentration was set to 185 ppmv. For the PI, all boundary conditions were kept as those of the present, except the CO₂ concentration was set to a value of 280 ppmv and the SST was from the CCSM3 PI experiment at UCAR. The orbital parameters for the LGM and PI were calculated by Berger (50). The distributions of the dust source areas followed the methods of Shi et al. (51), and the annual precipitation was used to obtain the distributions of the dust sources. These experiments were integrated over 30 y at a horizontal resolution of 2.8° × 2.8°, and the variables for the Asian climate and dust cycle for the last 20 y were averaged to analyze their response to global cooling.

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