Cancer Vaccination, Will You Have To Pay The Toll?

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Abstract

Cancer vaccines based on patient-derived, autologous immune cells are actively being pursued as a novel strategy to utilize the body’s natural defenses against malignancy. Harnessing the ability of the immune system to fight cancer involves overcoming many obstacles including tumor-specific targeting, overcoming tolerance, and generating effective tumoricidal responses. Co-administration of immune activating adjuvants may hold the key to breaking through several of these barriers. Toll-like receptors (TLR) are pathogen sensors of the innate immune system that activate proinflammatory responses to fight infection and initiate adaptive immune responses. TLRs are increasingly being explored in combination with cancer vaccine strategies since they may have the potential to enhance immunotherapy by promoting tumor-specific immunity. This review will focus on recent basic and clinical research on the use of TLR agonists in cancer therapy.

Keywords: Cancer vaccines; TLR; Innate immunity; Dendritic cells

Introduction

The immune system can function as an active tumor suppressor mechanism because tumors are more likely to arise in an immune compromised host versus immune competent [1]. In addition, it is widely accepted that host-associated antigens (TAA) can be targeted by the immune system since they can be used to raise tumor-specific antibodies or cytotoxic T cells. TAAs are otherwise normal cellular antigens that have been altered in a way during tumorigenesis such that their expression level or sequence is changed as compared to the antigen expressed on healthy cells. For example, TAAs can be highly expressed or mutated in tumor cells compared to normal cells, and as a result are immunogenic. Overexpressed antigens include Her-2 found in breast carcinoma and MUC-1 and Wilms tumor-1 (WT-1) found in several malignancies. Altered or mutated proteins include the key cellular signaling molecule Ras, and the well-known BCR-Abl mutation in chronic myeloid leukemia. This approach is the basis for an active area of cancer research, called immune therapy, in which the immune system is harnessed to fight cancer. However, even in light of recent successes in cancer vaccination, patient survival has only marginally increased, leaving open many questions regarding how to improve treatment protocols. It is clear that passive immunotherapy-based cellular vaccines generated ex vivo exhibit limited efficacy and are extremely costly. The best option may be to enhance existing cancer-specific immunity in vivo (otherwise known as active immunotherapy). For example, proposed strategies include co-administration of potent adjuvants with the cancer vaccine, inhibiting immune negative regulation mechanisms to overcome tolerance, and/or exploring combinational therapies such as vaccination and chemotherapeutic regimens. Another approach gaining momentum involves activating innate immune molecules called Toll-like receptors (TLRs) using cognate agonists in combination with cancer vaccines to positively regulate the immune response towards tumor-specific immunity [2]. This review will discuss the therapeutic benefit of TLR agonists in cancer vaccination by discussing putative roles for enhancing efficacy through stimulation of innate and adaptive immune responses.

History of Cancer Vaccines

Immune cancer therapy with monoclonal antibodies is well established and effective, with therapeutic antibodies Trastuzumab (Herceptin), Rituximab (Rituxan) and Cetuximab (Erbitux) being the best examples. Trastuzumab targets and blocks the HER2 transmembrane receptor and its intracellular signaling cascade. Since the HER2 gene is amplified in many breast cancer patients, treating the disease by inhibiting the signaling of the overexpressed HER2 protein results in significant clinical responses. This approach is also the basis for Rituximab and Cetuximab, which target cellular proteins CD20 and epidermal growth factor receptor (EGFR), respectively. Another approach involving adoptive transfer of autologous T cells that have been raised ex vivo against whole tumor cells or a TAA usually loaded onto dendritic cells was the first indication that cellular therapy may be a viable way to eradicate cancer [3]. Adoptive transfer was originally used against solid tumors and Epstein-Barr virus lymphoma [4]. However, there remains the possibility for tumor recurrence [5]. Just as vaccination against a virus must confer long-term protection to yield maximum efficacy, so too must a cancer vaccine. In order to establish cancer immunity, immune cells must be capable of recognizing the tumor, eradicating it and generating memory cells for future immune-mediated cancer targeting in the event of a relapse.

Cancer vaccines have been tested for a number of malignancies including advanced melanoma, breast, pancreatic and prostate cancers. While initial trials mainly focused on safety, immune responses to tumor antigens were observed, suggesting that active immunization against tumors could be achieved. Several clinical trials have confirmed this, albeit with mixed results. BiovaxID (Biovest International, INC), a follicular-lymphoma (FL) idiotype-derived (Id) antigen conjugated to keyhole limpet hemocyanin (KLH) therapeutic vaccine with granulocyte macrophage-colony stimulating factor (GM-CSF), showed...

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significant lymphoma-specific CD8+ cytotoxic T lymphocyte (CTL) responses in combination with chemotherapy in a phase II trial, which correlated with some tumor remission [6,7]. Recently, a phase III trial of treatment-naïve patients with advanced stage FL using BiovaxID in combination with KLH adjuvant and GM-CSF demonstrated that patients vaccinated against Id showed a disease-free survival of 44.2 months versus 30.6 months for the control. This is contrasted with two phase III Id-vaccines, Genitope and Favrique, which did not show increased clinical benefit. BiovaxID has also been tested in a phase II trial against Mantle Cell lymphoma in patients depleted of B cells [8]. The results of this study suggested that even in the absence of B cells, tumor specific immune responses could be.

Sipuleucel-T (Provenge) is the first FDA approved cellular immunotherapy for the treatment of asymptomatic or minimally symptomatic metastatic castration-resistant prostate cancer. In a large, double-blind phase III trial, patients who received APCs pulsed with prostatic acid phosphatase (PAA) and GM-CSF showed an increased median survival of approximately four months [9,10]. This modest increase in overall survival is a major advance for a disease that has a poor prognosis and therapeutically is very difficult to treat. Another prostate cancer immunotherapeutic is PROSTVAC, which is based on recombinant vaccinia and fowlpox poxviruses platforms encoding prostate specific antigen (PSA) and costimulatory molecules-lymphocyte function-associated antigen 3 (LFA-3), intercellular adhesion molecule 1 (ICAM-1) and B7-1 (CD80) [11]. Altogether, PROSTVAC is designed to elicit PSA-specific immunity through virus-dependent immune activation, and PSA antigen presentation. PROSTVAC treatment resulted in a 43% reduction in death and 8.5 month increase in median survival compared to the control arm [11]. Despite clinical success, these therapies may have limited long-term efficacy since they are designed to stimulate immune responses to only one antigen, and both PAA and PSA are normal self antigens that may present autoimmune complications in healthy tissue. Targeting multiple self antigens by vaccinating with whole tumor cells may be a better strategy since it would present additional potential immunogenic epitopes. GVAX, which is composed of prostate cancer cell lines LNCaP and PC3 that express GM-CSF, was developed to treat asymptomatic, castration-resistant prostate cancer. Unfortunately, two large phase III trials resulted in failure [12]. However, BioSante, in collaboration with Johns Hopkins University, reinitiated clinical research into GVAX and various carcinomas [16-19]. Moreover, TLR stimulation as an adjuvant may promote several key immunological events necessary for successful cancer vaccination in situations where the vaccine itself does not generate an effective immune response. For instance, upregulation of costimulatory molecules CD80 and CD86 on the surface of APCs is necessary for TLR activation. TLR stimulation also shifts the cytokine response to produce IL-12 from DCs and enhance the generation of CD4+ Th1 polarized T cells [20,21]. Th1 cells are important for the secretion of effector cytokines like interferon-γ and the T-cell proliferative cytokine, IL-2 [22].

TLRs have been investigated for their ability to generate strong immune responses to treat cancer, particularly metastatic melanoma and various carcinomas [16-19]. Moreover, TLR stimulation as an adjuvant may promote several key immunological events necessary for successful cancer vaccination in situations where the vaccine itself does not generate an effective immune response. For instance, upregulation of costimulatory molecules CD80 and CD86 on the surface of APCs is important for CTL activation. TLR stimulation also shifts the cytokine response to produce IL-12 from DCs and enhance the generation of CD4+ Th1 polarized T cells [20,21]. Th1 cells are important for the secretion of effector cytokines like interferon-γ and the T-cell proliferative cytokine, IL-2 [22].

TLR agonists can kill tumor cells when directly administered. For example, melanoma cells express TLR3 and TLR3 agonists induce apoptosis through activation of caspases [23]. Similar reports for other types of cancer cells with TLR3 ligand have been reported [24]. Clearly, in order for TLR agonists to work directly on tumors, it is imperative that the cancer cells express the cognate TLR. TLRs may also promote apoptosis, vascular permeability, lymphocyte homing to the tumor site and improve the sensitivity of the tumor to proapoptotic cytokines [24-26].

TAAs are capable of eliciting an immune response through the recruitment of APCs such as dendritic cells (DC), which take up the TAA and present it to tumor infiltrating CD8+ CTLs and also secrete the CD4+ Th1 promoting cytokine IL-12. Th1 cells secrete the proinflammatory cytokine interferon-γ and IL-2 to promote activation of CD8+ T cells and create an unfavorable environment for the tumor.
However, tumor cells may secrete immunosuppressive factors of their own such as transforming-growth factor β (TGF-β), indolamine-2,3-dioxygenase (IDO) and fas ligand that are capable of recruiting anti-inflammatory CD4+ Th2 T cells, Tregs and MDSCs. Despite the immunogenic potential of cancer, immune suppression is often observed within the tumor microenvironment itself, thereby enabling favorable growth conditions for the tumor [27,28]. The presence of negative regulators of tumor-specific immune responses eventually takes over in the tumor microenvironment and generally by the time therapy is initiated, the balance is heavily in favor of the tumor. The immune suppressive environment in which the tumor resides could be counteracted by the administration of an appropriate adjuvant in combination with cancer vaccines [29].

Several cancer models have shown significant efficacy with TLR agonists in combination with immunotherapy protocols, and recent clinical trial data suggests this approach may hold the key to breaking immunological tolerance necessary for tumor-specific immune responses. TAAs are limited in their immunogenicity since the immune system is tolerant of these antigens. Therefore, breaking tolerance against TAAs may be the key to generating effective cancer vaccines and TLR activation may be important in this process [30,32]. TLR stimulation, in combination with vaccination with APCs loaded with TAAs, has been shown to break tolerance leading to enhanced vaccine efficacy and clinical responses.

Evidence for the role of TLRs in cancer vaccine efficacy

One approach to inducing immunity to TAAs involves direct immunization with messenger RNA (mRNA) encoding the TAA itself. In theory, the TAA mRNA should serve as an agonist for the induction of innate immunity through TLR7 ligation and antigen-specific adaptive immune responses. Using E.G7-OVA tumor model, direct vaccination with mRNA encoding OVA or prostate carcinoma-associated antigen, PSMA, induced antitumor responses in vivo with IL-12 levels significantly increased, which suggests this strategy promotes a favorable environment for Th1-dependent cell activation [33]. Although prophylactic administration of mRNA vaccination was not demonstrated the authors did suggest such experiments may be possible. Currently, phase I/II trials are underway in patients with hormone refractory prostate cancer (NCT00831467 and NCT00906243).

Long-term immunity associated with donor-lymphocyte infusion (DLI) for the treatment of chronic myelogenous leukemia (CML) is hypothesized to be a result of adjuvant effects of nucleic-acid (DLI) for the treatment of chronic myelogenous leukemia (CML) or applicable to other malignant hematologic diseases.

In an effort to determine effective inducers of Th1 polarization and enhanced DC function, immunization with DCs treated with heparin-binding hemagglutinin (HBHA), a constituent of *Mycobacterium tuberculosis*, and pulsed with OVA peptide *ex vivo* resulted in decreased tumor growth and increased survival in a murine E.G7 thymoma model [35]. HBHA acts by stimulating TLR4 and upregulating the expression of costimulatory molecules, major histocompatibility complexes I and II, and the secretion of proinflammatory cytokines. Importantly, IL-12, a cytokine necessary for Th1 polarization, was significantly greater compared to control DCs, and IL-10, which generates a Th2 response, was not activated. This is in contrast to the TLR4 agonist LPS, which upregulates secretion of IL-10. Thus, the choice of TLR agonist used for a specific TLR is also critical in study design. These results demonstrate that TLR4 activation by HBHA is important in the E.G7 thymoma model, and that both MyD88 and TRIF arms were necessary. In support of this result, another study by Narayanan et al., utilizing an E.G7-OVA lymphoma model, showed that MyD88 and CD40 signaling were required for DC-dependent antitumor activity [36].

The adoptively transferred cell type may play a significant role in the type of antitumor immunity that is activated, which may nevertheless be enhanced by TLR stimulation. Goldstein et al. recently studied whether malignant H11 lymphoma B cells treated with the TLR9 agonist CpG *ex vivo* were capable of loading antigen and activating a tumor directed CTL response [37]. TLR9 stimulated tumor B cells were then administered to mice and shown to induce antitumor T cell immunity; however, the major cell type activated was CD4+ T cells and not CD8+ T cell. H11 tumor cell specific immunity was observed in mice receiving H11 tumor cells with CpG, whereas previous attempts to activate APCs with B-cell lymphoma tumor antigens, although capable of antigen presentation, resulted in T cell tolerance. Therefore, despite a predominantly CD4+ T cell response, in the presence of the TLR agonist CpG, the tumor was targeted by the host. Unfortunately, characterization of whether the CD4+ T cells responsible for tumor rejection were Th1 was not explored. Finally, the authors suggest that since TLR9 expression is not required in the tumor cell itself (or in other words TLR9 expression in healthy cells is necessary for antitumor immunity), that this approach may be applicable to other tumor types. This research is the focus of a new clinical trial for patients with mantle cell lymphoma (NCT00490529).

Emerging evidence points to the possibility that TLR stimulation may also be important in T cells [38]. Specifically, TLR8 stimulation may reverse Treg function, which naturally suppresses CTL immunity and promotes tolerance. In contrast, stimulation of other TLRs on Tregs may enhance their suppressive activity, clearly signifying that targeted TLR therapy or Treg depletion is critical for success. Treg depletion studies are currently underway in several studies; however, the major drawback to this approach could be autoimmune side-effects [39]. Uregulating TLR expression in naïve T cells is necessary for optimal T cell responses and their survival, and possibly enhances differentiation into memory cells with long-standing anti-tumor capabilities. IL-2, IL-7, IL-12, IL-15, and IL-21 are cytokines necessary to obtain T cell antitumor functionality. Thus, discovery of TLR agonists that increase expression of cytokines beneficial for T cell antitumor immunity is imperative. In mice with lung carcinoma, leukemia or melanoma, TLR1/2 activation by bacterial lipoprotein resulted in regression of 3LL tumors with the generation of long-term immunity against tumor challenge [40]. TLR1/2 activation was associated with inhibited Treg cell function and increased tumor-specific CTL and was not seen in SCID mice lacking T cells, suggesting that CTL responses were dependent on TLR stimulation. Thus, TLR signaling has the potential to both induce good T cell responses in the form of TAA-specific CTLs while simultaneously breaking tolerance.

Synthetic approaches have been undertaken to mimic the natural abilities of TAA’s to stimulate T-helper responses and TLR stimulation to efficiently enhance antigen presentation on dendritic cells. Second generation liposomal vehicles containing both CTL- and CD4+ Th1-specific peptide epitopes derived from ErbB2 and TLR2/3/6/7/8/9.
agonists are capable of eradicating ErbB2-expressing tumors in vivo [41]. This work suggests that a combination of TAA with TLR agonists in a synthetic delivery mechanism may enhance immunogenicity and promote long-term immunity since immunization resulted in rejection of inoculated ErbB2-negative RenCa cell tumors.

TLR stimulation may not be advantageous in all settings. Pam2 lipopeptides (TLR2/6 agonists) administered in treatment of B16 melanoma in vivo resulted in increased Treg (Foxp3+CD4+) cells [42]. This correlated with better tumor responses when Tregs were depleted with anti-CD25 antibody. Thus, TLR ligands must be carefully selected to induce Th1 polarization, tumor-specific CTLs, and long-term immunity without Treg induction. Alternatively, combination regimens with Treg depleting antibodies could enhance clinical outcomes [39].

Several clinical studies involving TLR stimulation in vivo have confirmed that TLRs are critical for effective cancer immunity. TLR agonists in combination with radiotherapy may have the potential to induce antitumor clinical responses with long-term immunization [43]. Low-grade B cell lymphoma patients treated with 4Gy radiotherapy in combination with CpG-enriched PF-3512676 in situ resulted in a complete clinical response and several partial responses [44]. This strategy was successful at generating tumor-specific memory CTLs. The major advantage to this therapy design is the lack of need for an actual vaccine, however, systemic administration of TLR agonists may not be practical or meet the same results. This study suggests that TLR stimulation alone may break immunological tolerance and render lymphoma cells susceptible to immune surveillance. Moreover, patients with higher Treg induction generally performed poorer. Follow-up studies investigating the role of Tregs as markers of poor outcome or whether they indicate potential for treatment success are ongoing.

In a completed phase I study of CDX-1307 (Celldex Therapeutics, Inc), a vaccine candidate composed of human chorionic gonadotropin β-chain fused to mannose-receptor-specific antibody, administered with TLR3 and TLR7/8 agonists in patients with advanced breast, colorectal, pancreatic, ovarian, or bladder cancer, TLR stimulation enhanced antibody and T-cell specific responses [45]. This correlated with longer stable disease and clinical benefit as two patients with higher antibody titers and T-cell immunity had the best outcome. TLR stimulation was required for detection of T-cell responses to CDX-1307, suggesting that TLR activation enabled development of TAA-specific responses. Similarly, MUC1 antigen is a membrane-bound TAA overexpressed on tumor cells [46]. Using Cu+-catalyzed click chemistry, TLR2 ligand PamCSK4 was conjugated to MUC1 tandem repeats in mono-, di-, and trivalent fashion. This approach uses TLR agonists in combination with TAA much like CDX-1307. The ability of this technology to activate antitumor immunity is currently under preclinical evaluation.

Recently, a phase I/II trial was initiated to study the effect of Ampligen (poly-1-poly-C12U), a TLR3 agonist, in combination with oxidized tumor lysate (OC-L) as a vaccine against recurrent ovarian, fallopian tube or primary peritoneal cancer (NCT01312389). Their design may be effective in generating antitumor immune responses to multiple TAA as since the vaccine utilizes whole tumor lysates.

Patients with advanced or metastatic melanoma have a 5-year survival rate of less than 10%. A large phase III trial was recently completed using gp100:209-217 (210M) peptide vaccination with or without IL-2 administration [47]. Patients receiving the vaccine with IL-2 showed a 16% overall clinical response and median survival of 17.8 months versus 6% and 11.1 month survival for those patients receiving only IL-2, respectively. And while the study design was to assess the contribution of vaccine versus IL-2 alone, the vaccine enhanced cytokine therapy and presumably enhanced CD8+ T cell responses. The peptide vaccine was complexed in incomplete Freund’s adjuvant (Montanide ISA-51), which may induce a TLR-dependent response. Moreover, Amos et al. recently demonstrated that gp100 (25-33)-specific T cell activity is enhanced upon TLR3 and TLR9 stimulation, which enabled tumor recognition through enhanced immunogenicity [16]. Perhaps future studies will incorporate TLR-specific agonists that may augment vaccine and cytokine therapy for advanced melanoma and other cancers. Currently, a phase II clinical trial aimed at investigating the therapeutic effectiveness of the TLR8 agonist, resiquimod, is being studied in another gp100/melanoma antigen encoded gene (MAGE) vaccination protocol (NCT00960752). This protocol also will look specifically at the contribution of plasmacytoid DCs (pDC) to innate immune activation at the vaccination site. In the Netherlands, a phase I/II study is also investigating the toxicity and clinical efficacy of a TLR-matured DC vaccine against advanced melanoma (NCT00940004).

The glioblastoma survival rate at 5 years is less than 3.3% [48]. Previously in a phase I trial, it was found that patients treated with an autologous DC vaccine pulsed with tumor peptides resulted in low toxicity and antitumor CTL responses with one clinical response. Despite poor clinical activity, the stimulatory activity of the vaccine was effective. Therefore, a phase II trial designed to test the DC cancer vaccine against WHO grade III or IV glioma is being investigated in combination with either TLR3 agonist poly ICLC or TLR7 agonist imiquimod (NCT01204684) to enhance clinical efficacy. The TLR ligands will hopefully provide an adjuvant effect, enhancing recognition of the tumor cells by the host immune system.

Actions of TLR ligands on pDCs may be critical for anti-tumor vaccination

pDCs are a critical immune effector cell involved in arming of the innate and adaptive immune responses. pDCs express only TLR7 and TLR9 and via stimulation of these pathways are the chief interferon producing cells in the body in response to viral infection. Multiple lines of evidence have shown that pDCs are capable of antigen capture and presentation [49-51]. Ligation/activation of TLR7 and 9 via synthetic ligands or viral infection induces production of type I interferon and cytokines from pDCs which contribute to the ability of pDCs to both prime and boost primarily T cells [50-52] and also to activate NK cells [53,54]. The ability of pDCs to activate both T cells and NK cells in response to TLR agonists makes them an intriguing target for possible immunotherapeutic approaches to cancer treatment. At this point only a few studies have progressed far enough to begin to show the clinical relevance of pDCs as a component of a possible cancer vaccine strategy.

In 2007, stage I/II melanoma patients were injected intradermally in the sentinel lymph node (SLN) with a soluble CpG-B ODN to determine whether pDC activation could lead to possible tumor suppression [55]. Their findings indicate that injection of CpG-B does indeed activate pDCs, based on expression of the surface markers CD86 and CD40. In addition they also noted that injection of CpG-B resulted in increased leukocytes in the SLN, increased release of inflammatory cytokines, and lower numbers of suppressive T regulatory cells. In support of these observations, recent work by Nierkins et al., also indicates that pDCs and TLR stimulation can be critical in establishing an anti-tumor environment, and that stimulation of TLR9 is required for this effect. They showed that the introduction of wild type pDCs alone into TLR9-/- mice restored the ability to cross-prime both antigen-specific and functional CTLs upon CpG stimulation. They also showed that pDCs
were critical for the maturation of conventional DCs, the upregulation of CD80, and the ability of the conventional DCs (cDCs) to cross-present antigen [56]. These results are extremely promising and indicate that pDCs and TLR stimulation may be necessary for effective CTL responses in the context of cancer immunity.

Additionally, the therapeutic importance of pDCs was the focus of a novel cancer vaccine strategy [57]. This study employed HLA matched allogeneic pDCs pulsed with TAs to test the ability of these pDCs to induce tumor specific immune responses. In melanoma patients injected with TAA-loaded pDCs, CTLs were able to kill autologous tumor cells. In comparison to a similar strategy using myeloid DCs (mDCs), the pDCs elicited a much stronger induction of tumor specific CTLs with increased function as well. In addition, the CTLs created via the pDCs were much more efficient in the killing of autologous tumor cells than CTLs generated from mDCs. These results are very exciting and support the notion that pDCs can be a critical component of a candidate cancer vaccine strategy. It would be interesting to determine if the anti-tumor response would be even more profound if the peptide-pulsed pDCs were simultaneously activated with TLR7 or TLR9 agonists.

Conclusions

TLR combination therapy regimens for cancer vaccines hold significant promise for enhancing the efficacy of immunotherapeutics. Activation of innate and adaptive immune responses, blocking inhibitory cells and possibly generating long-term immunity against cancer remission are just a few of the possibilities. In the past year, exciting clinical data has emerged demonstrating that TLRs promote tumor immunity against some of the most common and deadly cancers. As exploring the addition of TLR ligands to these regimens increases, we must be cautious and choose the specific TLR agonist that works best for a particular protocol. The incorrect choice could promote autoimmune side-effects through dysregulation of tolerance or inhibit the cancer vaccine itself through the upregulation of immunosilencing factors. In addition, immunotherapeutics are costly and take a considerable amount of time to generate. Even though these therapies may be highly effective, they may lose practicality if costs balloon, insurance companies choose not to cover the drug or clinics are not swiftly reimbursed for large outlays, as was recently observed by the insurance companies choose not to cover the drug or clinics are not swiftly reimbursed for large outlays, as was recently observed by the insurance companies choose not to cover the drug or clinics are not swiftly reimbursed for large outlays, as was recently observed by the
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