Electronic correlation and magnetism in the ferromagnetic metal $\text{Fe}_3\text{GeTe}_2$

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Motivated by the search for design principles of rare-earth-free strong magnets, we present a study of electronic structure and magnetic properties of the ferromagnetic metal $\text{Fe}_3\text{GeTe}_2$ within the local-density approximation (LDA) of the density-functional theory, and its combination with dynamical mean-field theory (DMFT). To compare these calculations, we measure magnetic and thermodynamic properties as well as x-ray magnetic circular dichroism and the photoemission spectrum of single-crystal $\text{Fe}_3\text{GeTe}_2$. We find that the experimentally determined Sommerfeld coefficient is enhanced by an order of magnitude with respect to the LDA value. This enhancement can be partially explained by LDA+DMFT. In addition, the inclusion of dynamical electronic correlation effects provides the experimentally observed magnetic moments, and the spectral density is in better agreement with photoemission data. These results establish the importance of electronic correlations in this ferromagnet.

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I. INTRODUCTION

Electronic correlation effects play an important role in many open $d$- or $f$-shell electronic materials. The competition between kinetic energy and the Coulomb interaction among electrons leads to many emergent phenomena, including magnetism and unconventional superconductivity. The emergent functionality has a huge potential for technological applications. Magnets have been widely used in different types of devices and motors [1], while superconductors have applications in energy transmission, high-resolution detectors, and many other technologies [2]. For the former, magnetocrystalline anisotropy (MA) is one of the most important properties of permanent magnets [3] and is prevalent in currently used strong magnets based on rare-earth transition-metal intermetallic compounds, such as $\text{SmCo}_5$ and $\text{Nd}_2\text{Fe}_14\text{B}$. Recently, the shortage of rare-earth elements has stimulated the search for rare-earth-free magnetic materials that could be technologically useful by harnessing sources of magnetic anisotropy other than that provided by the rare-earth components [1]. The $3d$, $4d$, and $5d$ transition metals are natural candidates for the search. There the spin-orbit coupling is central for the generation of the orbital component of the magnetic moment [4], which, together with the lattice anisotropy, determines the contributions of itinerant ferromagnetic electrons to the MA.

In the past, extensive band-structure calculations have been carried out to estimate the magnetocrystalline anisotropy energy (MAE) in ferromagnets. Although those earlier band-structure calculations gave the correct order of magnitude of MAE for certain transition-metal ferromagnets [5,6], the calculated MAE usually does not agree quantitatively with experiment [7,8], and, even more significantly, an incorrect easy axis has been predicted for some systems. This failure was either ascribed to the implementation challenge encountered in the early stage of electronic structure methods to calculate reliably an energy difference as small as 0.1 meV [7], or to the inadequacy of the exchange-correlation functional central to density-functional theory (DFT) [9,10]. With the significant progress made in the intervening years, the implementation of DFT-based electronic structure approaches has improved substantially, and this has allowed more attention to be focused on the electronic structure theory itself. In this aspect, $\text{YCo}_3$ has been a good case study, providing a rare-earth-free ferromagnet with a MAE comparable to $\text{SmCo}_5$. It has an easy axis along the $c$ axis of its hexagonal lattice structure, which contains two nonequivalent Co sites. Earlier band-structure calculations [10] have shown that the orbital moment obtained from the LDA is underestimated by a factor of 2 when compared with experiments, but the inclusion of an orbital-polarization (OP) potential improves the comparison. Fundamentally, the OP effect is a consequence of the Coulomb interaction between the open-shell $d$ (or $f$) electrons on the same ion, which modifies the orbital moment and the MAE. However, the on-site Coulomb interaction should also renormalize electronic band states, and this effect goes beyond the description of the LDA with OP. Very recently, we have combined the LDA with dynamical mean-field theory (DMFT) to study the magnetic properties in $\text{YCo}_3$, and we have demonstrated that the incorporation of electronic correlations leads to a reliable estimate of the orbital moment, as well as good approximations of both the mass enhancement and the MAE [11]. That study strongly suggested that the electronic correlation effects should be considered as an important part of design principles for itinerant rare-earth-free strong magnets.

This notion stimulated us further to study the role of strong electronic correlations in other $3d$-electron itinerant...
ferromagnets with similar crystal structure. The ternary compound Fe₃GeTe₂ has been reported to be an itinerant ferromagnet [12,13], and we have found that it is an easy-axis ferromagnet with an MAE at 5 K that is about 20% of that of YCo₅ [11]. In addition, Fe₃GeTe₂ is particularly interesting in that its crystal structure is built from Fe₃Ge heterometallic slabs that are sandwiched between two Te layers, the latter of which alternate along the c axis of a hexagonal unit cell. Therefore, Fe₃GeTe₂ provides an opportunity to study the magnetic and electronic properties in this quasi-two-dimensional (2D) itinerant ferromagnet. Its study is also interesting in the context of other quasi-2D Fe-based materials, which have been found to be antiferromagnetic bad metals and become superconductors upon chemical doping [14–16]. Here we report a theoretical and experimental study of Fe₃GeTe₂ that includes LDA+DMFT electronic structure calculations, as well as magnetic, thermodynamic, x-ray magnetic circular dichroism (XMCD), and photoemission spectroscopy measurements. Our results indicate that Fe₃GeTe₂ is a strongly correlated ferromagnetic metal and that quantum fluctuation effects are crucial for a correct description of this compound.

The remainder of this paper is organized as follows: In Sec. II we give details of the theoretical and experimental methods. The correlation effects are discussed by comparing the theoretical results of the density of states and magnetic moments with thermodynamic, XMCD, and photoemission spectroscopy measurements in Sec. III. Finally, a brief summary is given in Sec. IV.

II. THEORETICAL AND EXPERIMENTAL METHODS

Single crystals were grown via iodine vapor transport as previously described [17]. Refinement of single-crystal x-ray diffraction at room temperature confirmed the expected hexagonal structure type P6₃/mmc, which contains two inequivalent Fe sites Fe1 and Fe2 and is illustrated in the inset of Fig. 4. Previous studies have indicated a deficiency of Fe on the Fe2 site [18]. Our refinement also finds an Fe2 deficient occupancy of 0.866 but full occupancy of Fe1 as well as Ge and Te sites. Lattice parameters for our crystal are \( a = b = 4.0042(15) \) Å and \( c = 16.282(6) \) Å. Throughout the work, we will refer to Fe₂ₓGeTe₂ as simply Fe₃GeTe₂.

The specific heat and magnetic properties were measured on these crystals. Synchrotron-based PES measurements of the electronic structure were performed at the Swiss Light Source (SLS) on beamline SIS-X09LA. The energy resolution was set to be better than 15 meV and samples were cleaved \textit{in situ} and measured at 15 K in a vacuum better than 10⁻¹⁰ Torr. The surfaces were very stable and without signs of degradation over a typical measurement period of 20 h. The XMCD measurements were carried out in a total electron yield detection scheme at the beamline PGM at the Laboratorio Nacional de Luz Sincrontron (Brazilian Synchrotron Light Laboratory) [19]. The sample was post-cleaved under \( 10^{-8} \) mbar and measured under \( \sim 5 \times 10^{-9} \) mbar vacuum conditions. All measurements were carried out at a magnetic field of 20 kOe and a temperature \( T = 45 \) K. The scans were made over an energy range of 695–740 eV to measure the Fe \( L_3 \) and \( L_2 \) edges (706.8 and 719.9 eV, respectively).

The experimental crystal structure parameters of Fe₃GeTe₂ were used for the electronic structure and magnetic properties calculations. The calculations were performed using a charge self-consistent LDA+DMFT approach [20,21] based on a full-potential linearized augmented plane wave (FP-LAPW) as implemented in the WIEN2K code [22]. The generalized gradient approximation (GGA) [23] was used for the exchange-correlation functional. Hereafter, we use LDA and GGA interchangeably as an acronym for a nonpolarized generalized gradient approximation. The spin-orbit coupling was included in a second variational way. The muffin-tin radius 2.29\( a_0 \) (\( a_0 \) being the Bohr radius), 2.03\( a_0 \), and 2.30\( a_0 \) for Fe, Ge, and Te, respectively, and a plane-wave cutoff \( RK_{\text{max}} = 7 \) were taken in calculations that included \( 16 \times 16 \times 3 \) k points. Within LDA+DMFT, to explicitly include the DFT on-site Coulomb interactions (with strength \( U \) and \( J \)) among Fe 3d electrons, a clear definition of the atomiclike local orbitals is required. In this work, we used the weight-conserved projection procedure [21] to extract the local Green’s function for the correlated Fe 3d orbitals from the full Green’s function defined in the DFT basis. For the DMFT, a strong-coupling version of the continuous-time quantum Monte Carlo (CT-QMC) method [24–26], which provides numerically exact solutions, was used to solve the effective multiple-orbital quantum impurity problem self-consistently. Since the DFT already includes the Hartree part of the Coulomb interaction, we included a double-counting correction \( E_{\text{dc}} = U(n_d^0 - 1/2) - J(n_f^0 - 1)/2 \) with a nominal value of \( n_d^0 = 6 \) for Fe 3d electrons. For very-well-defined local Fe 3d orbitals, this double-counting scheme has the virtue of numerical stability [27].

III. RESULTS AND DISCUSSIONS

Figure 1 plots the anisotropic magnetization at 5 K as a function of field where we see that the c axis is the easy axis, consistent with neutron diffraction results [28]. The c-axis saturated moment is 1.58\( \mu_B/\text{Fe} \), which is somewhat smaller.

![FIG. 1. Magnetization vs applied field for Fe₃GeTe₂ at 5 K with the field applied parallel and perpendicular to the c axis. The magnetization is normalized by the Fe content determined by refinement of x-ray data.](image)
than the value of 1.63μ_B/Fe reported in Ref. [17], even though both samples have the same Curie temperature T_C = 223 ± 3 K and similar paramagnetic effective moment μ_eff = 4.5μ_B/Fe−4.7μ_B/Fe. We note that the saturated moment decreases by only about 10% on raising the temperature to 100 K [17].

X-ray magnetic circular dichroism allows a determination of the orbital contribution. Figure 2(a) shows the total electron yield signals at the Fe L_3 and L_2 edges in Fe_3GeTe_2 recorded with left and right circularly polarized x rays, denoted by μ^+ and μ^−, respectively. The data were normalized so that the edge step at L_3 is equal to 1. In Fig. 2(b), we show the normalized x-ray absorption near-edge structure (XANES) μ_0 = (μ^+ + μ^-)/2, obtained by averaging the μ^+ and μ^- contributions. The background due to photoexcitations into continuum states has been fitted using an ad hoc step function, where the edge-step ratio between the L_3 and L_2 edges was set to 2:1 according to the occupation of the 2p_3/2 and 2p_1/2 core states [29]. In Fig. 2(c), this background has been subtracted, and only the white line of the recorded spectra is shown. The XMCD signal Δμ = μ^+ - μ^- for Fe_3GeTe_2 is finally shown in Fig. 2(d).

Using sum rules [30,31], the angular and spin moments of Fe (L_2) and (S_z) in the ground state of Fe_3GeTe_2 can be determined from the XANES and XMCD signals. Here we use the convention μ_L = -⟨L_z⟩ and μ_S = -2⟨S_z⟩ [32]. According to the sum rules, the angular moment μ_L is given by

\[-μ_L = ⟨L_z⟩ = -\frac{4}{3}n_h \frac{\int L_3 L_2 Δμ dE}{\int L_3 L_2 (μ^+ + μ^-) dE} \]  

(1)

and

\[2⟨S_z⟩ + 7⟨T_z⟩ = -6 \frac{\int L_3 Δμ dE - 4 \int L_3 L_2 Δμ dE}{\int L_3 L_2 (μ^+ + μ^-) dE}. \]  

(2)

Here μ_S = -2⟨S_z⟩ is the spin moment and ⟨T_z⟩ denotes the magnetic dipole contribution. Further, n_h is the number of holes in the 3d shell, where we assume n_h = 4 for the 3d^6 configuration of Fe in Fe_3GeTe_2. The various integrals in Eqs. (1) and (2) are denoted by the various shaded areas in Figs. 2(c) and 2(d). See the figure caption for details.

By using Eq. (1), the orbital moment of Fe_3GeTe_2 is estimated to be μ_L = 0.10(3)μ_B/Fe. Because the total 3d iron moment is μ = μ_s + μ_L, we use the total moment measured by bulk magnetization measurements to deduce the spin moment. We scale the total moment to the saturation magnetization at T = 5 K, M_s(5 K) = 1.58μ_B/Fe, and thus obtain the spin moment μ_s = 1.48(6)μ_B/Fe. Employing Eq. (2), we calculate the dipole contribution to be ⟨T_z⟩ = 0.24(5)μ_B/Fe. For completeness, we also calculate the ratio μ_L/(μ_s + 7⟨T_z⟩) = 0.03 using the ratio of Eqs. (1) and (2). The advantage of this quantity is that it does not depend on the number of holes n_h and therefore can be easily compared with other 3d materials.

In Fig. 3(a), we show the total density of states (DOS) as a function of energy from GGA calculations for both paramagnetic (PM) and ferromagnetic (FM) phases. In the PM phase, there is a sharp peak in the DOS [red line in Fig. 3(a)] appearing only about 65 meV below the Fermi energy (E = 0). These states are dominantly of Fe 3d character. The close proximity of this PM DOS peak to the Fermi energy favors an electronic instability of the PM state toward magnetic ordering, and together with the ratio of saturated to effective moment M_s/M_eff of ~0.3, the Stoner mechanism for itinerant ferromagnetism may be applicable. When ferromagnetic ordering is turned on in a spin-polarized GGA calculation, the DOS near the Fermi energy [blue line in Fig. 3(a)] is significantly suppressed but the Fe 3d states still contribute dominantly, indicating the system is a ferromagnetic metal. The spin-polarized GGA calculations give a spin moment of about 2.45μ_B and 1.59μ_B and an orbital moment of about 0.08μ_B and 0.03μ_B for Fe1 and Fe2 sites, respectively. The calculated moments agree very well with earlier electronic structure calculations [28]. The averaged total orbital moment of 0.063μ_B/Fe is close to the value of 0.1μ_B/Fe determined from XMCD measurements at T = 40 K. These values are
FIG. 3. Electronic density of states for Fe$_3$GeTe$_2$ in the paramagnetic (red lines) and ferromagnetic (blue lines) states calculated from the GGA (a) and GGA+$\mathrm{DMFT}$ (b). The energy is measured with respect to the Fermi energy ($E = 0$). In the GGA+$\mathrm{DMFT}$ calculations, the temperatures $T = 232$ K for paramagnetic and $T = 116$ K for ferromagnetic states were used. We chose $T = 116$ K for the FM state of Fe$_3$GeTe$_2$ in the GGA+$\mathrm{DMFT}$ method because it is computationally demanding. We have discussed in the text that an increase of the temperature up to 100 K only slightly reduces the moment. Therefore, the chosen temperature is indeed reasonable for the description of the FM state of Fe$_3$GeTe$_2$.

expected from the fact that the orbital moment is proportional to the spin-orbit coupling strength in an itinerant ferromagnet. However, spin-polarized DFT calculations significantly overestimate the total magnetic moment, giving an average spin moment $2.2\mu_B$/Fe as compared to the saturated moment of $1.58\mu_B$/Fe measured on our crystal. The saturated moment is the sum of spin and orbital contributions, and using our value of $M_s$ and the orbital moment from XMCD, we deduce the spin-only moment of $1.48\mu_B$/Fe. This disagreement between theory and experiment is reminiscent of parent compounds of Fe-based superconductors, where values of the ordered moment calculated by the DFT in the spin-density-wave phase, $M_{\text{DFT}} \approx 1.8\mu_B$ or higher [33], are much larger than the experimentally observed values (mostly below $1.0\mu_B$).

The specific heat, plotted as $C/T$, of Fe$_3$GeTe$_2$ and isostructural Ni$_3$GeTe$_2$ is shown in Fig. 4(a). A peak in $C/T$ of Fe$_3$GeTe$_2$ is observed at the ferromagnetic ordering temperature $T_C = 223$ K [Fig. 4(b)], but Ni$_3$GeTe$_2$ remains paramagnetic down to 2 K. The electronic contribution to the specific heat, obtained from a linear fit to $C/T$ versus $T^3$ between $2.0 \leq T \leq 11.7$ K for Fe$_3$GeTe$_2$, gives a Sommerfeld coefficient $\gamma = 110$ mJ/mol K$^2$, in agreement with previous measurements [17]. In contrast, a linear fit of $C/T$ for Ni$_3$GeTe$_2$ between $2.4 \leq T \leq 11.2$ K yields $\gamma = 9$ mJ/mol K$^2$, a factor of 10 times smaller than Fe$_3$GeTe$_2$. The enhanced electronic specific heat of Fe$_3$GeTe$_2$ relative to that of Ni$_3$GeTe$_2$ suggests the presence of significant electronic correlations that may enhance the magnetic anisotropy energy. In addition, our spin-polarized GGA calculations on Fe$_3$GeTe$_2$ give a density of states at the Fermi energy

FIG. 4. The formula-unit molar specific heat divided by temperature at low (a) and high (b) temperatures. (a) Specific heat divided by temperature $C/T$ vs $T^2$ down to 2 K of Fe$_3$GeTe$_2$ and Ni$_3$GeTe$_2$. The dashed arrow marks the value estimated from band-structure calculations in the FM phase of Fe$_3$GeTe$_2$. The inset shows the crystal structure of Fe$_3$GeTe$_2$. Fe, Ge, and Te are represented by orange, blue, and gray spheres, respectively. Each unit cell contains two Fe$_3$GeTe$_2$ layers, which alternate along the $c$ axis, and four Fe1 atoms occupy 4e sites and nominally two Fe2 atoms occupy 2c sites. (b) Specific heat divided by temperature around the Curie temperature $T_C = 223 \pm 3$ K.
that the quasiparticle mass is significantly enhanced and Fe$_3$GeTe$_2$ is a strongly correlated ferromagnetic metal.

We turn now to electronic structure calculations within LDA+DMFT, which explicitly includes quantum temporal fluctuations. A cubic harmonic basis was used for CT-QMC simulations in the DMFT. That is, for the PM phase, we used five distinct diagonal matrix elements in the self-energy for each of the nonequivalent Fe, while for the FM phase, the spin degeneracy was also lifted. Since the spin-orbit coupling is weak in Fe$_3$GeTe$_2$, this effect on the dynamical self-energy is negligible and we focus instead on the electronic correlation effects, which are dominant. In view of the fact that the spin moment obtained from LDA calculations on Fe1 is much larger than that on Fe2, we chose the Hubbard interactions $U_{Fe1} = 5.5$ eV and $U_{Fe2} = 5$ eV and an averaged Hund’s rule interaction $J = 0.79$ eV in the DMFT calculations, unless specified explicitly otherwise. These values of Coulomb interactions are close to those used in studies of iron pnictides and chalcogenides [34] except that only one nonequivalent type of Fe atom exists in their crystal structure. In addition, we chose different values of $U$ for Fe1 and Fe2 to ensure that the obtained magnetic moment on Fe1 is larger than that on Fe2 (see below for more details), a trend consistent with the spin-polarized GGA calculations. Figure 3(b) shows the total density of states for the PM phase (red line) and for the FM phase (blue line). Comparing results for the PM phase with those obtained from GGA calculations [Fig. 3(a)], one can see that the DFT band within the range of about $[-2, 2]$ eV is significantly renormalized due to the electronic correlation effects and that the band near the Fermi energy is narrowed in the range of $[-1, 1]$ eV. Upon ferromagnetic order (blue line), the narrow band near the Fermi energy spreads to enhance intensity at energies around $-0.82$ eV. However, peak intensity near the Fermi energy does not decrease much, which differs dramatically from the results of spin-polarized GGA calculations.

In Fig. 5, we plot the spectral density obtained from photoemission spectroscopy on single-crystal Fe$_3$GeTe$_2$ in the FM phase and compare it to band-structure calculations. Spectral features measured above and around a binding energy of 2 eV are in qualitative agreement with both spin-polarized GGA and LDA+DMFT descriptions for the FM phase. The PES also identifies a sharp peak at a binding energy of about 0.5 eV. This is in disagreement with the spectral density obtained from spin-polarized GGA, which shows a strongly depressed intensity in the energy region between $-0.5$ eV and the Fermi level. However, the GGA+DMFT calculations capture a spectral intensity comparable to the PES peak, rendering a much better overall agreement with PES than the spin-polarized GGA results.

Even more significantly, calculations within the LDA+DMFT approach for the FM phase at $T = 116$ K give spin moments of 1.60$\mu_B$/Fe for Fe1 and 1.54$\mu_B$/Fe for Fe2 sites. The averaged spin moment of 1.58$\mu_B$/Fe agrees very well with values of total magnetic moment obtained from the magnetization measurement (1.58$\mu_B$/Fe) at 5 K and with the spin-only moment (1.48$\mu_B$/Fe) deduced from XMCD. This agreement between experiment and theory is still quite good when we consider that the quoted experimental moments decrease by only about 10% at the temperature of the calculations. We have also checked the $U$ dependence of the spin moments at $T = 116$ K, and we found that they have the values of 0.31$\mu_B$/Fe (0.26$\mu_B$/Fe), 0.45$\mu_B$/Fe (0.31$\mu_B$/Fe), and 1.32$\mu_B$/Fe (1.56$\mu_B$/Fe) for Fe1 (Fe2) when $U$ for both Fe1 and Fe2 takes the values of 3, 4, and 5 eV. LDA+DMFT also allows an estimate of the effective-mass enhancement due to correlation-induced renormalization. It is proportional to the ratio of the quasiparticle density of states to band DOS at the Fermi energy: $m^*/m_b = \tilde{\rho}(E_F)/\rho_b(E_F)$. Here $\rho_b(E_F) = \sum_{i,a} w_i \rho_{b,a}(E_F)$ and $\tilde{\rho}(E_F) = \sum_{i,a} w_i \rho_{b,a}(E_F)/z_{i,a}$, where $\rho_{b,a}$ is the partial density of states at the Fermi energy from the 10 spin orbitals for the $i$th type of Fe atom, $w_i$ is the number of equivalent atoms of a given type, and the quasiparticle weight is given by $z_{i,a} = [1 - \delta \text{Im}\Sigma_{a,i}(\text{i} \omega_n)/\partial \omega_n|_{\omega_n=\omega_c}]^{-1}$ with the self-energy $\Sigma_{a,i}$ defined on the Matsubara frequency $\omega_n$ axis. Our CT-QMC simulations give an estimated effective mass of 2.61$m_b$. Although this enhancement does not fully account for the large Sommerfeld coefficient, the theoretical value of $m^*/m_b$ for $T = 116$ K gives a lower bound for the consequences of electronic correlations in Fe$_3$GeTe$_2$.

**IV. CONCLUDING REMARKS**

We have studied the electronic and magnetic properties of the layered itinerant ferromagnet Fe$_3$GeTe$_2$ through band-structure calculations within LDA and LDA+DMFT approaches and a suite of experimental measurements including specific heat, XMCD, and photoemission spectroscopy. We have shown that the incorporation of quantum temporal fluctuations within LDA+DMFT gives the magnetic moments, mass enhancement, and spectral density in better agreement with experiments than LDA. These results have demonstrated...
clearly that Fe$_3$GeTe$_2$ is a strongly correlated ferromagnetic metal.

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