Non-local mean field effect on nuclei near $Z = 64$ sub-shell

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Abstract

Evolutions of single-particle energies and $Z = 64$ sub-shell along the isotonic chain of $N = 82$ are investigated in the density dependent relativistic Hartree-Fock (DDRHF) theory in comparison with other commonly used mean field models such as Skyrme HF, Gogny HFB and density dependent relativistic Hartree model (DDRMF). The pairing is treated in the BCS scheme, except for Gogny HFB. It is pointed out that DDRHF reproduces well characteristic features of experimental $Z$-dependence of both spin orbital and pseudo-spin orbital splittings around the sub-shell closure $Z = 64$. Non-local exchange terms of the isoscalar $\sigma$ and $\omega$ couplings play dominant roles in the enhancements of the spin-orbit splitting of proton $2d$ states, which is the key ingredient to give the $Z = 64$ sub-shell closure properly. On the other hand, the $\pi$ and $\rho$ tensor contributions for the spin-orbit splitting cancel each other and the net effect becomes rather small. The enhancement of the sub-shell gaps towards $Z = 64$ is studied by the DDRHF, for which the local terms of the scalar and vector meson couplings are found to be important.

Key words: Shell structure evolution, DDRHF theory, Gogny HFB, Skyrme HF, DDRMF theory, pseudo-spin symmetry, spin-orbit effect, Tensor force

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During the past years the developments on the radioactive ion beam (RIB) facility have opened the new frontier for nuclear physics – EXOTIC NUCLEI, which have intensively challenged our understanding on the nature of nuclear system from both experimental and theoretical sides. For such nuclear system with an extreme neutron-to-proton ratio, the systematics on the shell structure evolution is of special significance not only for the stability itself but also for the appropriate description of its exotic modes such as the halo phenomena $^1,^2$. This also provides the new criterion for the theoretical descriptions.

As an example the sub-shell closure $Z = 64$ has been identified experimentally and the increasing shell gaps towards $Z = 64$ are also found on this sub-shell closure as well as relevant spin-orbit splitting $^3$. In addition, the high-spin isomer study along the isotonic chain of $N = 83$ also indicates such trend for the $Z = 64$ sub-shell gap, which increases from 2.0MeV to 2.4MeV as the proton number increases from $Z = 61$ to 65 $^4$. While within the relativistic density functional (RDF) models, the sub-shell closure $Z = 64$ is not always reproduced properly. Even as the commonly used RDF model, the relativistic mean field (RMF) theory $^5,^6$ fails in describing the $Z = 64$ sub-shell closure, which is strongly compressed by neighboring unphysical shell closure $Z = 58$ $^5,^8$. With the presence of Fock terms, the new RDF model – density dependent relativistic Hartree-Fock (DDRHF) theory has achieved many successes in describing the nuclear structure properties $^8,10,11,12,13$. Under the frame of DDRHF, the one-pion exchange and $\rho$ tensor effects can be efficiently taken into account, which have brought significant improvements in describing shell structure and corresponding evolution $^8,11$. Especially with the inclusion of tensor $\rho$, the unphysical shell closures (58 and 92), the common disease in previous RDF calculations, have been eliminated by DDRHF, as well as appropriate recovery of the $Z = 64$ sub-shell closure $^8$. It is therefore an appropriate choice to study the enhancement concerning the $Z = 64$ sub-shell structure within the DDRHF theory.

In DDRHF, the Hamiltonian contains the degrees of freedom associated with $\sigma$ scalar, $\omega$ vector, $\rho$ tensor, $\pi$ pseudo-vector, and photon ($A$) vector couplings $^8,14$. In this paper all the calculations are restricted in the spherical symmetric systems. The spherical Dirac Hartree-Fock equation for nucleon can be obtained as,

$$ \int dr' h(r, r') \psi(r') = \varepsilon \psi(r), $$

where $\varepsilon$ is the single-particle energy (including the rest mass) and the single-particle Dirac Hamiltonian $h(r, r')$ contains the kinetic energy $h^{\text{kin}}$, the direct and exchange part of the potential energy, respectively the local $h^B$ and

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non-local $h^E$,

$$h^{\text{kin}}(r, r') = [\mathbf{\alpha} \cdot \mathbf{p} + \beta \mathbf{M}] \delta(r - r'),$$

$$h^D(r, r') = [\Sigma_T(r) \gamma_5 + \Sigma_0(r) + \beta \Sigma_S(r)] \delta(r - r'),$$

$$h^E(r, r') = \left( \begin{array}{cc} Y_G(r, r') & Y_F(r, r') \\ X_G(r, r') & X_F(r, r') \end{array} \right).$$

Among the single particle Hamiltonian [2], the local self-energies $\Sigma_S, \Sigma_0$ and $\Sigma_T$ contain the contributions from the direct (Hartree) terms [6, 15, 16, 17] and the rearrangement terms [18], whereas the non-local ones $X_G, X_F, Y_G$ and $Y_F$ are contributed by the exchange (Fock) terms [19, 14]. These self-energies contain effects of the occupation probabilities in the BCS scheme.

From Dirac Hartree-Fock equation [1], the contributions of the single particle energy $E = \varepsilon - M$ can be written as,

$$E = E_{\text{kin}} + \sum_\phi E_{\phi}^D + \sum_\phi E_{\phi}^E + E_R,$$  

where $E_{\text{kin}}$ corresponds to the kinetic energy, $E_{\phi}^D$ and $E_{\phi}^E$ are respectively the direct and exchange contributions of various meson (photon) nucleon couplings, and $E_R$ responds for the rearrangement term.

In this work, the calculations are performed along the isotonic chain of $N = 82$ by DDRHF with PKA1 [8], as compared to those by Gogny Hartree-Fock-Bogoliubov (HFB) with D1S [20], Skyrme Hartree-Fock (HF) with Sly4 [21], density dependent RMF (DDRMF) with PKDD [22]. In the Gogny calculations, the pairing effects in open shell nuclei are considered by Bogoliubov transformation and the same interaction (D1S) is adopted as effective pairing force. In the other calculations, the effects of pairing correlations are treated with BCS approximation and the density dependent zero range pairing force [23] is utilized in pairing channel. The Hartree-Fock or Hartree Hamiltonian is evaluated with the occupation probabilities in the BCS approximation. In DDRHF and DDRMF calculations, the pairing strength $V_0$ is adopted as 900MeV as in Ref. [8] whereas in Skyrme calculations it is set to 1100MeV. Because of the fact that the studied isotones around $Z = 64$ lie in the stability valley, different pairing treatments would not bring substantial difference.

In Fig. 1 are shown the proton ($\pi$) single particle energies calculated by DDRHF with PKA1 [8], Gogny HFB with D1S [20], Skyrme HF with Sly4 [21] and DDRMF with PKDD [22] for the isotope $^{146}$Gd. In this work, the Gogny HFB single particle energies are obtained by diagonalizing the HF Hamiltonian $h$ in the HFB equation, which is constructed with the density matrix of the HFB solution. Besides the magic shell ($Z = 50$), DDRHF, Gogny and Skyrme models present distinct gap between the $\pi 2d_{5/2}$ and $\pi 2d_{3/2}$ states, namely the sub-shell closure ($Z = 64$). In Fig. 1 it seems that the recovery of $Z = 64$ sub-shell is tightly related to the restoration of pseudo-spin symmetry. Above and below the sub-shell there are two pseudo-spin doublets, respectively $\pi 2\tilde{p}$ ($\{\pi 3s_{1/2}, \pi 2d_{3/2}\}$) and $\pi 1f$ ($\{\pi 2d_{5/2}, \pi 1g_{7/2}\}$). Consistent with the sub-shell recovery, well conserved pseudo-spin symmetry on the doublets $\pi 2\tilde{p}$ and $\pi 1f$ are found in these three calculations. In contrast, an unphysically large gap between the $\pi 2d_{5/2}$ and $\pi 1g_{7/2}$ states is obtained by the DDRMF calculation, which suppresses the physical sub-shell structure $Z = 64$.

To have a systematic understanding on that, Fig. 2 shows the pseudo-spin orbital splittings of $\pi 2\tilde{p}$ (Fig. 2a) and $\pi 1f$ (Fig. 2b) as functions of proton number for $N = 82$ isotones. The results are calculated by DDRHF with PKA1, Gogny with D1S, Skyrme with Sly4 and DDRMF with PKDD. As the reference the data extracted from Ref. [3] are also shown in Fig. 2. Along the isotonic chain, all the models present approximate degeneracy of the pseudo-spin doublet $\pi 2\tilde{p}$ less than 1MeV energy difference, while on the $\pi 1f$ doublet the DDRMF calculations show a large violation of the pseudo-spin symmetry by the amount of 3MeV, which turns out to create the unphysical shell closure $Z = 58$ [7, 8]. As compared to the experimental isotonic dependence, both DDRHF and Gogny calculation show a kink at $Z = 64$ for the doublet $\pi 2\tilde{p}$, whereas for $\pi 1f$ the kink is found only in DDRHF calculations with PKA1.

For the spin-orbit splitting of $\pi 2d$ states, namely the $Z = 64$ sub-shell in Fig. 1 the isotonic evolutions given by these four models are also shown in Fig. 3, in comparison with the data [3] which represents remarkable enhancement ($\sim$1MeV) towards $Z = 65$. Among the theoretical results, DDRHF calculations present the strongest enhancement ($\sim$0.6MeV) as compared to Gogny and DDRMF results ($\sim$0.2MeV). Much different from experimental trend, the Skyrme calculations give nearly constant spin-orbit splitting even slightly quenching from $Z = 58$ to 64.
and DDRMF model gives the kink at \( Z = 58 \). Thus, the non-local mean fields in DDRHF and Gogny models may play the important role to reproduce the general trend of the isotonic dependence of the spin-orbit splittings. More specifically Fig. 3 shows detailed contributions of the spin-orbit splitting \( \Delta E_{2d} \) from different channels given by the DDRHF calculations. In Fig. 3a it is clearly seen that the enhancement of the spin orbital splitting is mainly determined by the non-local Fock terms whereas very little effect is induced by the local term, i.e., 

\[
E_{\text{Local}} = E_{\text{kin.}} + E^\pi + E^\rho + E^\omega + E^p + E^p_R.
\]

Among the Fock channels, the isoscalar \( \sigma \) and \( \omega \) mesons together with the Coulomb field, i.e., 

\[
E^E_{\sigma+\omega+A} = E^E_{\sigma} + E^E_{\omega} + E^E_A,
\]

play the dominant role in determining the enhancement of spin-orbit effects towards \( Z = 64 \). Compared to strong isoscalar exchange terms, the isovector ones from \( \pi \) and \( \rho \) nucleon couplings present small effects. In fact the proton shell evolution along the isotonic chain, consistent with proton configuration, is mainly determined by the proton-proton interaction, among which the isoscalar exchange terms contribute much stronger effects than the isovector ones. As compared to the \( T = 0 \) tensor force \( ^{\text{24, 25, 26, 27, 11}} \), the tensor effects here (\( T = 1 \)) are fairly weak. In Fig. 3b) distinct cancelation between \( \pi \) and \( \rho \) (mainly tensor \( \rho \)) contributions is found from \( Z = 50 \) to 58, where the valence protons will gradually occupy the \( \pi \gamma_{7/2} \) state. Because of the tensor feature \( ^{11} \), the spin-orbital splittings are therefore enlarged by the one-pion exchange whereas the tensor \( \rho \) presents the opposite effects. As shown in Fig. 3b, such cancelation are always found along the isotonic chain of \( N = 82 \). The effects from the isovector channels are then strongly weakened. The non-local mean field, mainly the isoscalar exchange terms, therefore becomes specially significant to reproduce the enhancement of spin-orbit effect in \( \pi 2d \) states of the \( N = 82 \) isotones.

In addition if comparing the pseudo-spin and spin orbital splittings in Fig. 2 and Fig. 3, one can find that the data represent evident consistency between the systematics of pseudo-spin and spin orbital splittings, i.e., stronger spin-orbit effect accompanied by better preserved pseudo-spin symmetry. Among the theoretical calculations, such consistence between pseudo-spin degenerations and spin-orbit splitting is reproduced completely by DDRHF and partially by Gogny.

Experimentally the \( Z = 64 \) sub-shell is determined by the energy difference \( \Delta E_{1f} \) between the \( \pi 2d_{5/2} \) and

![Figure 2](image2.png)

Figure 2: (Color online) Pseudo-spin orbital splittings of pseudo-spin partners \( \{\pi 3s_{1/2}, \pi 2d_{3/2}\} \) (a) and \( \{\pi 2d_{3/2}, \pi 1g_{7/2}\} \) (b) along the isotonic chain of \( N = 82 \). The results are calculated by DDRHF with PKA1 \( ^{8} \), Gogny HFB with DIS \( ^{20} \), Skyrme HF with SLy4 \( ^{21} \) and DDRMF with PKDD \( ^{22} \), in comparison with experimental data \( ^{8} \).

![Figure 3](image3.png)

Figure 3: (Color online) (a) Spin-orbit splittings \( \Delta E_{2d} \) of proton \( \pi 2d \) states extracted from the calculations of DDRHF with PKA1 \( ^{8} \), Gogny HFB with DIS \( ^{20} \), Skyrme HF with SLy4 and RMF with PKDD \( ^{22} \), in comparison with experimental data \( ^{8} \); (b) Detailed contributions of \( \Delta E_{2d} \) from different channels given by the DDRHF calculations with PKA1.
π1h11/2 single-particle orbits. In Fig. 4(a) are shown the energy differences $\Delta E_{hd}$ along the $N = 82$ isotonic chain. For comparison are also shown the experimental data extracted from Nagai et al. in Ref. [3], which is consistent with the experimental systematics along the $N = 83$ chain extracted by Odahara et al., from the analysis of high spin isomers. Among the theoretical calculations, DDRHF with PKA1 presents similar substantial enhancement ($\sim 0.5$MeV) as the experimental one ($\sim 1$MeV) toward $Z=64$ sub-shell. Fig. 4b presents the contributions of $\Delta E_{hd}$ from different channels, given by the DDRHF calculations with PKA1. From Fig. 4b it is found that the systematics of the energy difference $\Delta E_{hd}$ is determined by the local term $E_{Local}$ while the isoscalar non-local terms $E_{\sigma+\omega, A}$ present opposite contributions. Here the contributions from the isovector exchange terms become negligible. One may notice that the energy difference $\Delta E_{hd}$ is given by two spin-up states, which has different physical mechanism from the spin-orbit splitting of $\pi 2d$ states. From this point view, one can understand different effects of the exchange terms on the spin-orbit splittings and energy difference $\Delta E_{hd}$ as shown in Fig. 4a and Fig. 4b, respectively.

In conclusion, the $Z = 64$ sub-shell evolution along the isotonic chain of $N = 82$ is investigated by relativistic and non-relativistic mean-field approaches. Mean fields in DDRMF and Skyrme HF are all local in the coordinate space, while those in DDRHF and Gogny HFB have non-local exchange contributions. The systematic trend of spin-orbit and pseudo-spin-orbit splitting of $N = 82$ isotones are also studied. The pseudo-spin symmetry is well reproduced by DDRHF, Gogny-HFB and Skyrme HF. In contrast, DDRMF produces too large splitting, leading to an unphysical shell closure of $Z = 58$. DDRHF and Gogny can also account for the $Z$-dependence of the pseudo-spin splitting. The empirical large increase of the spin-orbit splitting between $\pi 2d_3/2$ and $\pi 2d_5/2$ states towards $Z=64$ sub-shell is reproduced by the theoretical results calculated by DDRHF, and also by Gogny but less extent. It is found in DDRHF that the non-local effects due to the isoscalar $\sigma$ and $\omega$ exchange terms play an essential role in determining the enhancements of the spin-orbit splitting of $\pi 2d$ states, which creates properly the $Z = 64$ sub-shell closure at the same time. For the spin-orbit splitting, the $\pi$ and $\rho$ tensor contributions cancel each other and the net contribution becomes rather small. Although there exists some discrepancy on the order of states, the enhancement of the experimental sub-shell gap towards $Z = 64$, namely the energy difference between the $\pi 2d_3/2$ and $\pi 1h_{11/2}$ states, is reproduced by the DDRHF model for which the local terms of the scalar and vector mesons are responsible.

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