Feature Space Saturation during Training

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Abstract

We propose layer saturation - a simple, online-computable method for analyzing the information processing in neural networks. First, we show that a layer’s output can be restricted to an eigenspace of its covariance matrix without performance loss. We propose a computationally lightweight method that approximates the covariance matrix during training. From the dimension of its relevant eigenspace we derive layer saturation - the ratio between the eigenspace dimension and layer width. We show evidence that saturation indicates which layers contribute to network performance. We demonstrate how to alter layer saturation in a neural network by changing network depth, filter sizes and input resolution. Finally we show that pathological patterns of saturation are indicative of parameter inefficiencies caused by a mismatch between input resolution and neural architecture.

1 Introduction

In recent years various techniques have been proposed for exploring the properties of neural network layers. Understanding how neural networks process information and how this processing may be influenced is vital for designing more efficient and better performing neural architectures. The works of Zeiler et al.\textsuperscript{[20]}, Szegedy et al.\textsuperscript{[21]} and Yosinski et al.\textsuperscript{[18]} are examples of experimental work that show the boundaries and limits of generalization and transferability of features. Recent works by Raghu et al.\textsuperscript{[9]} and Alain et al.\textsuperscript{[1]} propose techniques that allow for a deeper analysis of networks on a layer wise level.

The common problem with these and other techniques for analyzing the properties of neural networks is their complexity and computational inefficiency, which makes them impractical to use in neural architecture development or in more quantitative studies\textsuperscript{[1, 9, 22]}. This work shows, that a simple, on-line computable property like the covariance matrix of the layer outputs is able to give interesting insights into the dynamics of the inference process.
process. To enable practical application, we provide a technique to efficiently compute the covariance matrix. We show how to use Principal Component Analysis (PCA) to project the output of all layers into low-dimensional spaces while not negatively affecting predictive performance. We refer to these subspaces as relevant eigenspaces.

Based on these findings, we derive saturation as a metric for analyzing the dynamics of the inference process. Similar to the work of Alain et al. [1], saturation can be thought of as a level indicator or thermometer, showing the complexity of the processing in the respective layers. By analyzing the distribution of saturation values within the network, we identify the “tail pattern” as a pathological symptom of a parameter-inefficient inference process. Finally, we propose simple, saturation-based strategies for altering the neural architecture to resolve such parameter inefficiencies.

2 Related work

In this work, we are interested in analyzing convolutional neural network models layer by layer. The most notable inspiration for this work is SVCCA by Raghu et al. [9] as well as the follow-up work by Morcos et al. [7], who use singular value decomposition for comparing the learned features of different models and layers. Another inspiration for this paper is Montavon et al. [6], in which kernel PCA with radial basis functions alongside linear classifiers are used to perform their analysis. Functionally similar to saturation are logistic regression probes proposed by Alain et al. [1], which will be utilized in this work as well in order to relate saturation patterns to parameter-inefficiencies caused by unproductive layers. Saturation was initially proposed by Shenk [13] and applied to model parameterization by Shenk et al. [14]. The saturation metric is used by our follow-up work to study the role of the input resolution in neural network training [10]. Further follow-up publications are basing proposed design guidelines for neural architectures on insights gained by a saturation-based analysis [11, 12].

3 Layer eigenspaces

In this section, we will explore the properties of the variance eigenspaces of each layer’s feature space in order to motivate the derivation of the metric saturation. First, the methodology of computing the layer wise variance eigenspaces during training is described. This is followed by an experimental part, where we demonstrate that the eigendirections of the highest variance contain most of the information required to solve the trained classification task. We will further show that relevant eigenspaces exist and that they contain fewer dimensions than the original feature spaces of the network. Based on these findings, we will introduce saturation as a metric for studying the inference dynamics of neural network models.

3.1 Computing variance eigenspaces and relevant eigenspaces

Below is a brief discussion of the method we use to compute variance eigenspaces and relevant eigenspaces in our experiments. We apply PCA on the layer output to determine the eigenspace of the layer’s features. Then we sum the largest eigenvalues that explain a percentage $\delta$ of the layer output variance. The space spanned by these eigenvectors is the
We replace the network is trained in a distributed manner. or node, which furthermore allows to update the covariance matrix asynchronous when the training. Our algorithm uses a thread-save common value store on a single compute device reset at the beginning of each epoch to minimize the bias induced by weight updates during the respective layer does; thus we compute saturation after every epoch. The variables are requires roughly the same number of computations as the processing of a forward pass of samples, we require constant memory and computation is done batch-wise. The algorithm Since we only store the sum of squares, the running mean and the number of observed variance eigenspace. In this way, we find candidate eigenspaces for the layer. This process is described in section 3.2.

We establish that the layer’s output is contained in the eigenspace as follows. We project all validation set output vectors into the space and determine whether the network’s validation performance changes. To do so, we add special projection layers that only change the output at validation time. This we call a projected network. We then apply Student’s paired t-test to determine if the network validation performance difference between the projected and the normal network is statistically significant. We pick the smallest δ such that there is not a statistically significant difference (p<.01). The details are in section 3.3. We consider the eigenspaces of projected networks with no statistically significant changes in performance as an approximation of the relevant eigenspaces.

3.2 Finding layer eigenspaces

A common problem of many analysis tools for neural networks is, that they are resource intensive to compute. For example, logistic regression probes by Alain and Bengio [9] and SVCCA by Raghu et al. [3] can require significantly more computation time and RAM than the training of the model, especially for large datasets and models. For practical application, this is a significant drawback. Ideally, the analysis can be conducted during training with little computational overhead to minimize the cycle time of experiments. For this reason, we propose an on-line algorithm for computing the covariance matrix during the regular forward pass. In this section, we are interested in finding a subspace of the layer output space to which we can restrict layer output vectors z_{l,i} without changing the network’s validation performance. We use PCA on the layer output matrix A_l := (z_{l,1},...,z_{l,n}) of n samples at training time, thus A_l ∈ ℜ^{n×w} where w is the layer width. To do this efficiently, we compute the covariance matrix Q(Z_l,Z_l), where Z_l := ∑_{i=1}^{n}(z_{l,i})/n, using the covariance approximation algorithm between two random variables X and Y with n samples:

$$Q(X,Y) = \frac{\sum_{i=1}^{n} x_i y_i}{n} - \frac{(\sum_{i=1}^{n} x_i)(\sum_{i=1}^{n} y_i)}{n^2}$$

(1)

We make this computation more efficient by exploiting the shape of the layer output matrix A_l: We compute ∑_{i=1}^{n} x_i y_i for all feature combinations in layer l by calculating the running squares ∑_{b=0}^{B} A_{l,b}^T A_{l,b} of the batch output matrices A_{l,b} where b ∈ {0,...,B−1} for B batches. We replace (\sum_{i=1}^{n} x_i)(\sum_{i=1}^{n} y_i) by the outer product \bar{A}_l \otimes \bar{A}_l of the sample mean \bar{A}_l. This is the running sum of all outputs z_{l,k}, where k ∈ {0,...,n} at training time, divided by the total number of training samples n. The final formula for covariance approximation is then:

$$Q(Z_l,Z_l) = \frac{\sum_{b=0}^{B} A_{l,b}^T A_{l,b}}{n} - (\bar{A}_l \otimes \bar{A}_l)$$

(2)

Since we only store the sum of squares, the running mean and the number of observed samples, we require constant memory and computation is done batch-wise. The algorithm requires roughly the same number of computations as the processing of a forward pass of the respective layer does; thus we compute saturation after every epoch. The variables are reset at the beginning of each epoch to minimize the bias induced by weight updates during training. Our algorithm uses a thread-save common value store on a single compute device or node, which furthermore allows to update the covariance matrix asynchronous when the network is trained in a distributed manner.
In convolutional layers, we treat every kernel position as an individual observation. The advantage of this strategy is that no information is lost, while keeping $Q$ at a manageable size. This strategy was proposed by Raghu et al. [9] after their initial publication and Garg et al. [3], who use it in their PCA-based pruning strategy for CNNs.

To determine the eigenspace $E^k_l$ such that the projection of output vectors $z_{l,i}$ to $E^k_l$ is as lossless as possible, we find $k := \arg\max \left( \frac{\sum \lambda_k}{N} \right) \leq \delta$ where $\sum \lambda_k$ is the sum of the largest $k$ eigenvalues. This technique is similar to how Raghu et al. [9] apply singular value decomposition in SVCCA - that study fixes $\delta$ to 99% of the variance. Pruning strategies by Garg et al. [3] and Chakraborty et al. [2] settle on $\delta$ of 99.9% and 99% respectively.

### 3.3 Exploring the properties of projected networks

Our approximation of the relevant eigenspace is by the nature of PCA a linear approximation. Since neural networks are non-linear models, it is not guaranteed that a linear subspace can capture the information relevant for the inference process accurately. Therefore, we demonstrate experimentally in this section the usefulness of PCA for this application. First, we study the effects of different values for $\delta$ and show that variance eigenspaces contain more information necessary for the inference process than randomly chosen orthonormal subspaces of equal dimensionality. We will then demonstrate, on VGG13 and VGG19, that $\delta$ can be chosen such that the variance eigenspaces in all layers of the network are relevant eigenspaces. To study the effect of different values for $\delta$ we introduce PCA-Layers, inserted after any non-output (fully connected and convolutional) layer $l$. At training time PCA-Layers are pass-through layers. At testing time they project the output of the preceding layer $A_l$ into the variance eigenspace $E^k_l$. This is done by multiplying $A_l$ with the projection matrix, $P_{E^k_l} = E^k_l (E^k_l)^T$. For convolutional layers, we compute the $(1 \times 1)$ convolution $A_l \ast \text{vec}(P_{E^k_l})$. The net effect is to turn a dataset problem into a network parameter one; we study the properties of samples by changing the projection parameters.

First, we study how well the eigenspaces are able to maintain the predictive performance of the model compared to random orthonormal subspaces of equal dimensionality. We train 20 different variations of VGG-style networks on CIFAR10 [5]. The relative performance of a network for a value of $\delta$ is the ratio between the test accuracy with enabled and disabled PCA-Layers. We indirectly control the dimensionality of $E^k_l$ with $\delta$, which is set globally for the entire network.

The results in Fig. 1 show that the eigenspaces of the layer outputs are able to maintain the information better than equally sized random orthonormal projections. Relative performance given lower values of $\delta$ also degrades slower than random projections.

Next, we explore the upper bounds of this projection by finding a $\delta < 1$ for a trained model such that the difference in predictive performance to the model with disabled PCA-Layers is insignificant. For each network we compare a network’s projected and unprojected CIFAR10 validation set performance. We use the eigenspace $E^k_l$ computed during training.

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1 This turns an output-tensor of shape $(\text{samples} \times \text{height} \times \text{width} \times \text{filters})$ into a data matrix of shape $(\text{samples} \cdot \text{height} \cdot \text{width} \times \text{filters})$.

2 In order to achieve more accurate results on small networks, we treat the threshold as soft. If $\max(\sum \lambda_k) > \delta \leq \max(\sum \lambda_k) + 0.02$ an additional dimension is added. If $\text{dim}E^k_l = 0$, because a single dimension exceeds the $\delta$-threshold, we set $E := \left\{v_1\right\}$.

3 In order to include networks of different width (filter sizes) and depth (number of layer) we trained VGG[11,13,16,19] as well as variations of all those architectures with filter sizes reduced by a factor of [2,4,8,16]. All models were trained on a batch size of 128 using the Adam optimizer and a learning rate of 0.001 for 30 epochs.
Figure 1: Projecting the output of all hidden layers into an eigenspace $E^k_l$ that explains $\delta\%$ of the variance (left) maintains predictive performance better than projecting the layers into a random orthonormal subspace of the same size (right).

We test whether a network’s performance changes relative to the unprojected performance. Applying Student’s two-tailed $t$-test to VGG13 (Table 1) shows that at $\delta = 0.996$ we cannot

| $\delta$   | $\mu_{p - \bar{p}}$ | $\sigma$ | $t$    | $p$   |
|-----------|---------------------|---------|-------|------|
| 0.9999    | -0.0004             | 0.0008  | -2.42 | 0.023|
| 0.9998    | -0.0005             | 0.0009  | -2.81 | 0.010|
| 0.999     | **-0.0017**         | 0.0016  | -5.50 | 0.000|
| 0.998     | -0.0017             | 0.0022  | -3.92 | 0.001|
| **0.996** | -0.0005             | 0.0030  | -0.91 | 0.371|
| 0.994     | 0.0037              | 0.0043  | 4.45  | 0.000|
| 0.99      | 0.0178              | 0.0136  | 6.68  | 0.000|

Table 1: Based on $n = 26$ trained VGG13 models, we find that $\delta = 0.996$ allows us to approximate the relevant eigenspace in all layers, such that the performance $p$ becomes indistinguishable from the unprojected model. This is based on a $t$-statistic (student’s two-tailed $t$-test), $\mu \neq 0$ (p<.01), selected $\delta$ at $\alpha = 0.1$. $\mu \neq 0$ in italics. $\mu_{p - \bar{p}}$ is the average pairwise distance between the test accuracies of unprojected and projected networks.

distinguish the projected network from the base network at significance $p< .1$. However, at $\delta = 0.999$, the projected network **outperforms** the base network by 0.17% at significance $p< .01$. This means we can **increase** validation performance by **restricting** layer output to a subspace known at training time.

VGG13’s validation performance improves in the range $\delta \in [0.998, 0.9998]$, with a maximum improvement 0.17%. This shows that there are noisy or not generalizing feature dimensions in the layer output; we use this to develop the concept of **layer saturation** and improve network performance further.

We also study saturation behavior in VGG19 (Table 2). At $\delta = 0.9995$, the $t$-value is insignificant. We need $1841 \pm 86$ of 5860 dimensions to describe the data. Thus, we can **remove** two out of three dimensions without changing network performance.

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4The full results are available in the supplementary material.
Table 2: The table depicts VGG19 $t$-statistic, as well as the mean and standard deviation of saturation and the sum of intrinsic dimensions ($\sum_i \text{dim}E_i^k$). It is also possible to find a relevant eigenspace for VGG19. Based on $n = 40$ trained models, the relevant eigenspace of the projected network can be computed by using the depicted values of $\delta$ using the same $\alpha$ as in Table 1. Interestingly, from the 5860 dimension in all feature spaces combined, only up to $2071 \pm 93$ are used to construct all relevant eigenspaces in the network.

### 3.4 Saturation

Based on previous results, we are interested in analyzing the sequence of eigenspaces that the data traverses during the forward pass. For this purpose, we propose layer saturation:

$$s_l = \frac{\text{dim}E_i^k}{\text{dim}Z_l}$$

Intuitively this layer saturation ratio represents the proportion of spatial dimensions occupied by the information in a layer $l$. Therefore we can think of saturation as a level indicator which shows the fraction of useful dimensions in the output space. We can analyze and compare the inference dynamics of multiple networks by plotting the saturation level of each network layer.

### 4 Exploring the Properties of Saturation

#### 4.1 Saturation patterns are stable over different model runs

The first question we want to answer is whether $s_l$ is stable enough to observe meaningful patterns. To investigate, we train VGG16 and ResNet18 100 times using the same setup as in section 3.3.

![Saturation Patterns](image)

Figure 2: Saturation only deviates slightly for VGG16 (left) and ResNet18 (right) between training using the same setup for 100 training, which allows us to analyze saturation-patterns with little bias caused by random fluctuations.

From the result in Fig. 2 we observe that for ResNet18 as well as VGG16 the emerging saturation patterns are stable. In fact, the standard deviation $\sigma_s$ of VGG16’s saturation is $0.281$ while the standard deviation of the accuracy-performance $\sigma_{\text{acc}}$ from the same model...
is significantly higher at 0.511, while both values are bound in [0, 1]. The same can be said for ResNet18, where $\sigma_s = 0.353$ and $\sigma_{acc} = 0.523$. Based on these observations, we can conclude that saturation is sufficiently stable to allow for the analysis of convolutional neural networks.

4.2 Saturation and Network Width

We find [11] that models with lower average saturation $s_\mu$ tend to perform better than architecturally similar models with higher $s_\mu$ (see later in Fig. 7). There are two main factors influencing $s_\mu$ [11]: Problem difficulty, increasing $s_\mu$, and the width of the network, decreasing $s_\mu$. The width of a network is the number of filters or units in each layer. Effectively, more difficult problems require more capacity in each layer and thus more computational resources to be processed effectively. Therefore, finding a sweet spot for $s_\mu$ for a given architecture and dataset by scaling its width optimizes the efficiency of the model for the given setup.

![Figure 3: ResNet18 can be considered overparameterized for the ImageNette-dataset, which is apparent from the low saturation level (blue line). Halving the number of filters also halves the computational and memory footprint while slightly improving performance (green line). Reducing the width of the network too much results in a poor performing, underparameterized model (red line). The saturation of all layers (dots) are depicted in the order of the forward pass from input to output.](image)

To demonstrate this, we train ResNet18 on ImageNette, a 10-class subset of ImageNet, using an $224 \times 224$ input resolution. To demonstrate over-, under- and well-parameterized variants of the model, we scale its width by a factor of $1, \frac{1}{8}$ and $\frac{1}{2}$ respectively. From the results in Fig. 3, we can see that ResNet18 with $\frac{1}{2}$ width provides the best performance while requiring half the memory and FLOPS compared to ResNet18 with full width. We attribute the slightly poorer predictive performance of the full-width-model to overfitting.

From additional experiments on VGG and ResNet-style models, we find that an average saturation $s_\mu$ of roughly 20% to 30% delivers the best performance in the tested scenarios, assuming all networks have roughly the same relative distribution in saturation across layers and follow the conventional pyramidal structure of modern classifiers [8, 15, 17]. Models with $s_\mu$ below this interval provide approximately similar performance at reduced efficiency, while models with higher $s_\mu$ will degrade in predictive performance, as figure 3 and the results of our follow-up work [11] demonstrate.

By adjusting the scaling of the network’s width based on the current $s_\mu$, the network can be optimized in an informed manner. While it is possible to manipulate individual layers similarly, we advise against it for multiple reason. First, the saturation of individual layers is also subject to noise induced by some components like $(1 \times 1)$ convolutions, downsampling and skip connections, which makes clear rules of action hard to quantify. Second, this practice can theoretically mask true inefficiencies like tail-patterns (see sections 4.3 and 5). For example, strongly overparameterizing the productive part of the model brings the saturation
of these layers down until tail-patterns in the (less overparameterized) unproductive layers become hard to locate.

4.3 Saturation patterns provide insight into the distribution of the inference process across the network

We move on to investigate the emerging saturation patterns when viewing the saturation levels of the individual layers in order of the forward pass. To accomplish this, we use logistic regression probes by Alain et al. [1]. Logistic regression probes measure the intermediate quality of the solution in a layer by training a logistic regression classifier on its output solving the same task as the model, allowing us to measure solution progress. The size and complexity of extracted features increases with every consecutive convolutional layer due to the expansion of the receptive field. We investigate whether this influences saturation and whether this can be connected to some patterns observed in logistic regression probes.

We train ResNet18 on Cifar10 (deliberately choosing a low-resolution dataset to avoid side effects caused by the addition of information by increasing the resolution) using three distinct input resolutions: 32 × 32 (Cifar10 native), 224 × 224 (ResNet18’s design resolution) and 1024 × 1024 (intentionally over-sized). We compute the test accuracy of logistic regression probes and saturation on every convolutional layer.

![Graphs showing test accuracy, probe accuracy, and saturation for different resolutions](image)

Figure 4: The input resolution changes how the inference is distributed in the model, observable from probe accuracy and saturation patterns. Even distribution and best accuracy is achieved at the design resolution of the model (224 × 224 pixels).

In Fig. 4 we observe three distinct patterns in saturation and probe performances. At Cifar10’s native resolution of 32 × 32 pixels, we observe a high saturated sequence of layers followed by a low saturated sequence. Only the high saturated sequence is contributing qualitatively to the inference process according to the logistic regression probes. This pattern is inverted, when drastically over-sizing the resolution to 1024 × 1024 pixels. The best predictive performance and most even distribution of the inference process is achieved when training ResNet18 on its original design resolution of 224 × 224 pixels. According to the logistic regression probes, we can see that low saturated subsequences of layers are indicative of unproductive layers in the network. We refer to this pattern indicating a parameter inefficiency as tail pattern. From additional experiments on a variety of models and datasets (see supplementary material for detailed results) we deduce a definition of a tail pattern:

A tail is a subsequence of at least 3 consecutive layers in a feed-forward neural architecture with an average saturation at least 50% lower relative to the average saturation of the rest of the network.

This definition is imperfect and does not fit all patterns that we would visually classify
as similar to a tail pattern. However, to test the implications of the presence of such patterns, it is necessary to have a more rigorous definition than purely visual observation.

The experiment of this section demonstrates that we can use the saturation values of the individual layers to gain insights on how the inference process is distributed among the networks layers. The insights shown in this work are expanded on upon in our follow-up works [10, 12], where we explain these inefficiencies experimentally with the expansion of the receptive field.

5 Optimizing Convolutional Neural Networks with Saturation

In this section, we focus on the practical application of saturation for optimizing neural architectures. While, as previously demonstrated, it is possible to remove a tail pattern and therefore parameter inefficiency by altering the input resolution of the model (see Fig. 4), an increase in resolution scales quadratic with the memory footprint and the FLOPs required per forward pass, making it an expensive solution. We therefore present two simple strategies for altering the neural architecture on a few selected examples as an alternative way of removing this inefficiency. The first strategy is to bring the receptive field of the feature extractor closer to the input resolution. To optimize ResNet18 for a 32 × 32 pixel resolution, we replace the first two layers, which are sometimes referred to as “stem” by a 3 × 3 convolution, with stride size 1. By removing the initial downsampling layers, we reduce the receptive field size of ResNet18 from 435 × 435 to 109 × 109 pixels.

In Fig. 5 we can see an improved version of ResNet18 that was trained on Cifar10 at 32 × 32 pixel resolution. The performance of the model drastically increases to over 94% accuracy and the tail pattern is removed. The computations required for a forward pass of a single image increase from 0.04 GFLOPS to 0.56 GFLOPS. For comparison, increasing the input resolution to the original resolution of ResNet18, which is 224 × 224 pixels, yields the same result but requires 1.83 GFLOPs per forward pass per image.

Another, more simple possibility to remove the tail pattern is to remove the layers of the tail-pattern and retrain the model. A quick test on VGG19 (see Fig. 6) demonstrates that retraining the truncated model reduced the number of parameters from 20,170,826 to 2,363,338, the FLOPS needed per forward pass from 399 MFLOPS to 229 MFLOPS. The accuracy meanwhile improved from 86.63% to 89.35%.

![Saturation and Test Accuracy for ResNet18 and ResNet18 (no Stem)](image_url)
Figure 6: Removing the tail layers of VGG19 and retraining the truncated model reduced the computational and memory footprint, meanwhile improving the performance slightly. Both models are trained on Cifar10 at native resolution.

Figure 7: The width of the VGG16 trained on the ImageWoof dataset at $32 \times 32$ pixel input resolution does not change the size of the tail, meaning that inefficiencies caused by low-saturated tails and under-parameterized layers are independent.

Generally, the inefficiencies of width (too high / low $s_\mu$) and depth (tail pattern) can be considered independent, see Fig. 7. Both models are trained on the ImageWoof-dataset, another 10-class subset of ImageNet, and the images were downsampled to $32 \times 32$ pixels. The resulting difference in saturation and predictive performance does not affect the number of inactive layers caused by the tail pattern. Based on these observations, we conclude that width and depth can be treated as mostly independent factors when optimizing an architecture for a fixed input resolution, as long as the saturation is distributed similarly. For this reason, optimizing the depth should be done first to guarantee an even distribution of saturation. Then the width can be scaled to put $s_\mu$ into the sweet-spot of $s_\mu \in (20\%, 30\%)$ (see Section 4.2).

6 Conclusion

In this work, we propose a novel, on-line computable metric “saturation” for analyzing neural networks layer by layer. We base the development of saturation on the results of experiments that demonstrate the relevance of low-dimensional eigenspaces of the hidden layer output for the quality of the inference process. We show that saturation yields reliable and reproducible results. Over a series of experiments, we show that saturation can be used to detect two independent kinds of parameter-inefficiency in neural network architectures: Tail-patterns indicating unproductive layers, and over- or underparamterization that can be detected by the average saturation of the network. Based on these findings, we propose simple strategies and guidelines to remove those inefficiencies from the neural architecture, gaining predictive performance and efficiency.
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