MINIATURE LIGHTWEIGHT X-RAY OPTICS (MIXO) FOR SURFACE ELEMENTAL COMPOSITION MAPPING OF ASTEROIDS AND COMETS

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ABSTRACT

The compositions of diverse planetary bodies are of fundamental interest to planetary science, providing clues to the formation and evolutionary history of the target bodies and the Solar system as a whole. Utilizing the X-ray fluorescence unique to each atomic element, X-ray imaging spectroscopy is a powerful diagnostic tool of the chemical and mineralogical compositions of diverse planetary bodies. Until now the mass and volume of focusing X-ray optics have been too large for resource-limited in-situ missions, so near-target X-ray observations of planetary bodies have been limited to simple collimator-type X-ray instruments.

We introduce a new Miniature lightweight Wolter-I focusing X-ray Optics (MiXO) using metal-ceramic hybrid X-ray mirrors based on electroformed nickel replication and plasma thermal spray processes. MiXO can enable compact, powerful imaging X-ray telescopes suitable for future planetary missions. We illustrate the need for focusing X-ray optics in observing relatively small planetary bodies such as asteroids and comet nuclei. We present a few example configurations of MiXO telescopes and demonstrate their superior performance in comparison to an alternative approach, micro-pore optics, which is being employed for the first planetary focusing X-ray telescope, the Mercury Imaging X-ray Spectrometer-T (MIXS-T) onboard BepiColumbo. X-ray imaging spectroscopy using MiXO will open a large new discovery space in planetary science and will greatly enhance our understanding of the nature and origin of diverse planetary bodies.

Subject headings: X-ray fluorescence elemental abundance X-ray imaging

1. INTRODUCTION

ROSAT, the Chandra X-ray Observatory and other X-ray observatories have revealed that most planetary bodies in our Solar System emit X-rays via various physical processes including X-ray fluorescence (XRF) and charge exchange with plasma. In XRF, the observed energies are unique to the atomic levels of each element. This property of XRF enables a powerful way to probe the surface distribution of elemental composition in the emitting bodies. Fig. 1 shows a simulated X-ray spectrum from an asteroid of C1 chondrite composition located at 1 AU during a typical quiet sun state. X-ray emission from the asteroid contains numerous XRF lines. The compositions of diverse planetary bodies are of fundamental interest to planetary science.

While Earth-orbiting X-ray observatories are capable of high angular resolution X-ray imaging (e.g. subarcsec angular resolution for Chandra), the large distance to relatively X-ray faint rocky airless bodies severely limits the photon statistics and often makes it difficult to identify interesting surface features or substructures of X-ray emission. For instance, the observed X-ray flux of the Crab nebula, one of the brightest X-ray sources in the sky is a few photons cm\(^{-2}\) s\(^{-1}\) keV\(^{-1}\) at around 1 keV. This is comparable to the XRF flux from an asteroid located at 1 AU from the Sun observed by a telescope with a FoV of \(\geq 10\) deg \(\times\) 10 deg at the surface. Due to the Lambertian reflectance, the XRF flux observed by such a telescope will be more or less the same regardless of the distance as long as the FoV is fully occupied by the emitting surface. On the other hand, if the asteroid of \(\sim 1\) km size is observed at a distance of roughly 1 km, only a tiny fraction of the XRF flux (\(10^{-5}\)) will reach the telescope since the emission of the XRF is more or less isotropic.

X-ray optics in the past are massive, so X-ray instruments flown on near-target missions in the past have foregone true imaging capabilities. Instead, they had to employ simple collimator-type instruments as in the X-ray spectrometers (XRS) on NEAR-Shoemaker (Trombka et al. 2000), Hayabusa (Okada et al. 2006) or Messenger (Nittler et al. 2011). This is largely because a large portion of the resources in a typical planetary mission often has to be reserved for the spacecraft to make possible the long journey to the target and the remaining resources are shared by a collection of onboard instruments required to unveil the mysteries of the targets. Hence the resources allowed for each instrument are limited, and conventional X-ray focusing optics used in the past are simply too large and too heavy to be a part of planetary missions.

Despite the lack of imaging capability, X-ray observations of the targets have been proven to provide unique insights. For instance, XRS observations of 433 Eros by NEAR-Shoemaker revealed that the elemental composition of the asteroid from the X-ray spectra is different from the asteroid classification acquired by the optical and infrared spectra (Trombka et al. 2000). It is sus-
Figure 1. (Top) Simulated X-ray fluorescence spectrum of an asteroid of C1 chondrite composition at 1 AU during the quiet sun state illustrating diverse elemental composition. (Bottom) Abundance ratios (Mg/Si vs. S/Si) as an identifier of a wide range of meteorite specimen types (Nittler et al. 2004).

expected that ongoing space weathering alters the surface of the asteroids up to a few µm depth, which modifies the optical and infrared spectra, whereas the XRF probes more than 20 µm below the surface and can reveal the true, unweathered, composition (Binzel et al. 2010). In the case of Itokawa, definitive evidence of space weathering on the asteroid was later found in the returned sample (Noguchi et al. 2011, 2014).

From recent images of Comet 67P/Churyumov-Gerasimenko taken by NAVCAM (~18′ resolution) on the Rosetta mission one can imagine exciting potential of future X-ray observations of asteroids and comets when X-ray spectroscopy is combined with high resolution imaging. The optical image of Comet 67P shows diverse terrain and volatile activity (Fig. 2). In particular, the high ratio of deuterium in the water vapor of the jets questions our understanding of the origin of the water on Earth (Altwegg et al. 2015). While soft X-ray observations (0.1–15 keV) are insensitive to isotope variation, X-ray imaging spectroscopy can separate the elemental composition of gaseous jets from the comet’s surface as well as the compositional variation of the surface due to impacts and volatile activities in the past.

As the need for sample retrieval of various planetary bodies rises for in-depth analysis in attempt to unambiguously unveil their nature, origin and evolutionary history, many future sample return planetary missions are being developed. The retrievable size of samples, however, is very small: e.g. sub-grain in Hayabusa 2 (Tachibana et al. 2013), less than 1 kg in OSIRIS-REx (Lauretta and O.-R. Team 2012). Therefore, X-ray imaging spectroscopy onboard future near-target missions becomes an indispensable tool in acquiring a global context of the atomic composition for the returned samples as well as assisting the sample site selection during the mission.

In Sec 2, we review the major challenges encountered in near-target X-ray observations using collimator instruments, and we illustrate how focusing X-ray optics can overcome these challenges and enhance a chance of success and new discoveries especially in observing relatively small bodies such as asteroids and comet nuclei. In Sec 3, we briefly review the advances of Wolter-I X-ray optics, and introduce our new approach to build compact, lightweight X-ray optics by merging Electroformed Nickel Replication (ENR) and Plasma Thermal Spray (PTS) processes. In Sec 4, we show example MiXO configurations suitable for planetary missions and estimate the performances in comparison to an alternative approach, micro-pore optics (MPO).

2. ADVANTAGES OF FOCUSING X-RAY OPTICS

X-ray observations in near-target missions in the past have been challenging. Some of the challenges originate from incomplete prelaunch ground calibration of the instruments and inadequate onboard calibration system (usually due to tight assembly schedule and budget constraints). As a result, the data analysis was complex and often led to somewhat ambiguous interpretation. On the other hand, a cause of many challenges in the past planetary X-ray observation lies in the very nature of non-focusing optics of collimator instruments as described below.

REGolith X-ray Imaging Spectrometer (REXIS) onboard OSIRIS-REx, which is scheduled to launch in 2016 to collect samples from Bennu, was designed to take into account lessons learned from the previous missions (Inamdar et al. 2014). For instance, REXIS will
be equipped with one of the most comprehensive on-board calibration systems in space X-ray instruments: a set of $^{55}$Fe radioactive sources are strategically mounted to monitor any potential drifts or changes of spectral gain and resolution of every node of 4 X-ray CCDs onboard. In addition, the team plans to conduct a series of extensive ground and flight calibrations to understand the detector response and to track any variation during the observation.

REXIS utilizes coded-aperture imaging to identify $\sim$ 50 m scale surface variation of the elemental composition of Bennu. The addition of imaging capability is the first of its kind (coded-aperture imaging) in planetary X-ray observations (see below about the Be:picolumbo mission). Coded-aperture imaging is basically shadowgram imaging using a mask coded with a pattern of open and opaque elements in front of the detector. It is a novel technique to enable imaging without focusing optics, and thus allows a relatively compact, simple telescope design (REXIS fits in an envelope of $\sim$ 10 cm $\times$ 10 cm $\times$ 20 cm). However, coded-aperture imaging still operates under the basic principle of collimator instruments, facing the same challenges. Thus its imaging sensitivity is relatively low compared to focusing instruments and it has been mainly employed to identify point sources in astrophysics in the past.

When observing large planetary bodies such as the Moon, a simple collimator based X-ray instrument can efficiently collect the X-rays from the surface and identify spatial variations as the instrument orbits around the target. The large gravity and the spherical geometry of the target with a small eccentricity allows stable circular orbits very close to the surface (Fig. 3a). In addition, the field of view (FoV) of the instrument is fully occupied by the target, so that no external background can contaminate the data.

In the case of relatively small planetary bodies such as asteroids and comet nuclei, the small gravity and the irregular shape of the target often limit stable orbital configurations near the target (Fig. 3b & c). In order to collect faint X-ray emission from the target, the FoV of the instrument is usually designed to fill the target, and thus the collimator type instrument cannot efficiently identify the surface feature of small scales. At the same time, the exposure to the background sky in the FoV should be limited because the X-ray sky is not dark, but it shines with many diffuse and point X-ray sources, often brighter than the target itself. For instance, our

Figure 3. (a) For large spherical planetary bodies (radius $R_T \sim$ 1000 km), observation can be conducted at a close stable orbit ($R_O$) (i.e. $R_T \sim R_O$), where a simple collimator can be sufficient for identifying large surface variation. (b) & (c) For small targets ($R_T < \sim 100$ km), low gravity or irregular shape prevents observation at a close steady orbit (i.e. $R_T \ll R_O$), and the size of the imaging footprint on the surface can change dramatically over time or even in a single orbit. [Hong and Romaine 2014]

Figure 4. All sky soft X-ray background image in Galactic coordinates by ROSAT/PSPC after removing bright point sources (red: 0.1-0.4 keV, green: 0.5-0.9 keV, blue: 0.9-2.0 keV, Courtesy of Max-Planck-Institut für extraterrestrische Physik).
observations much farther out (e.g., during early phases of approach and debris scouting) by simply resolving and removing most of both external and internal back-scattering. The orbit radius and standoff distance are rescaled to the target size. Collimator instruments become useless beyond a certain distance due to high cosmic X-ray background, whereas focusing optics can extend the useful observing condition to a much wider range of orbit profiles, allowing a flexible mission design. The minimum detection time is the total exposure that is required to acquire the signal-to-noise ratio above a certain limit (e.g., 5σ).

Fig. 5. Comparison of minimum detection time (global, non-imaging) of XRF in 0.5–2 keV (depending on the optical/IR filtering scheme, mirror reflectivity and other optics configuration) as a function of distance to the target for NEAR-Shoemaker (green), Hayabusa (red) and MiXO (blue) with planned orbital profiles (OSIRIS-REx, yellow). The orbit radius and standoff distance are rescaled to the target size. Collimator instruments become useless beyond a certain distance due to high cosmic X-ray background, whereas focusing optics can extend the useful observing condition to a much wider range of orbit profiles, allowing a flexible mission design. The minimum detection time is the total exposure that is required to acquire the signal-to-noise ratio above a certain limit (e.g., 5σ).

Fig. 6 illustrates the advances of X-ray optics in weight reduction over the years while maintaining high angular resolution (<15″–30″) and improving collecting power. In Chandra, high resolution mirrors were made from directly grinding and polishing glass ceramic (zeolite), which enabled sub arcsec angular resolution. Electroformed Nickel Replication (ENR) process used to build X-ray mirrors in XMM-Newton (Fig. 6b) utilizes relatively thin Ni shell (1 mm, 8.9 g/cm³) as a substrate for the support of mirror figure and shape. The thin Ni shell enables reduction of the overall mass by a factor of more than 5 compared to X-ray mirrors in Chandra and allows larger light collecting area under the same packaging volume. In ENR, the mirror figure and angular resolution is determined by the surface quality of mandrel, and 10″–15″ can be achieved routinely. The Astronomical Roentgen Telescope (ART) (Gubarev et al. 2012) onboard Spektr-RG, which is scheduled to launch in 2016, employs a NiCo alloy (250 µm thick) as a substrate instead of Ni under the ENR process, further reducing the mirror mass (Fig. 6c).

Recently, we have begun to develop a new approach, where we combine the Plasma Thermal Spray (PTS) technology and the ENR process to form metal-ceramic hybrid X-ray mirrors (Romaine et al. 2014). In the hybrid X-ray mirrors, the lightweight ceramic layer provides the stiffness needed to maintain the overall figure of X-ray optics, while the NiCo layer maintains the low surface roughness necessary for X-ray reflection. By replacing the majority of the NiCo layer (∼8.9 g/cm³) with...
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Figure 6. Advances of X-ray optics. (a) A ground and polished glass substrate, (b) electroformed Ni shell, (c) thin electroformed NiCo shell, and (d) a metal-ceramic hybrid shell. The weights (*) are for a 70 cm dia., 60 cm long mirror shell. For small mirrors (~3 cm dia.) suitable for planetary science, a single shell would weigh ~10 g or less.

Figure 7. A test single-bounce conical mandrel, a NiCo shell, two NiCo ceramic hybrid shells from left to right. The width of the image spans about 14 cm.

the lightweight alumina (Al$_2$O$_3$, 2.3–2.9 g/cm$^3$), we can further reduce the mirror mass by another factor of 3 to 10 compared to normal ENR mirrors, depending on the thickness of mirrors. Fig. 7 shows example modules: a conical mirror mandrel, a NiCo shell, and two NiCo ceramic hybrid shells from left to right. The modules in Fig. 7 is single bounce, but ENR process can form a mirror with two bounces in one package, simplifying the alignment structure without introducing any degradation in angular resolution (e.g. see Gubarev et al. 2012; Romaine et al. 2014). The hybrid shells in Fig. 7, which demonstrate a proof of concept, are made of an 300 µm ceramic layer with 100 µm of a NiCo layer. Given the properties of NiCo and ceramic layer, we expect that sub 20 µm NiCo + 200 µm ceramic X-ray mirror shell can be achieved for relatively small shells (2 – 20 cm diameter).

4. EXAMPLE MIXO CONFIGURATIONS AND PERFORMANCE

MiXO made of metal-ceramic hybrid X-ray mirrors shows a tremendous promise in enabling compact X-ray telescopes suitable for various types of planetary missions, which can open a new era of sensitive X-ray imaging spectroscopy in planetary science. Until now, micro-pore optics (MPO) was considered the only approach that can enable compact Wolter-I X-ray optics in planetary missions. The Mercury Imaging X-ray Spectrometer-T (MIXS-T) on Bepicolombo employs the MPO and will be the first focusing X-ray telescope in planetary science missions (Fraser et al. 2010). In MPO of MIXS-T, the inner surface of small square pores or microchannels (~20 µm pitch) in a 0.9–2.2 mm thick plate is coated with Ir for X-ray reflection. Then, mosaics of segmented MPO plates are assembled to form a Wolter-I configuration. In this section, we estimate the performance of MiXO using a few example configurations in comparison with Bepicolombo/MIXS-T.

Fig. 8 and Table 1 show two example configurations using the hybrid shells at 70 cm focal length: the baseline option (MiXO-70B) (left) and a wide field option (MiXO-70W) (right). Each shell in both the options consists of 20 µm NiCo + 200 µm Al$_2$O$_3$ layers. It is ~10 cm long (~5 cm for each bounce), and the gap between the adjacent shells is 500 µm. The optics alone weighs ~0.9–1.5 kg, and the support structure will add another 0.3–0.5 kg under a conservative estimate. The baseline design is scalable to a smaller or larger optics depending on available resources or mission objectives. For example, a bigger telescope similar to Bepicolombo/MIXS-T (f ~100 cm) or a smaller telescope similar to JUXTA (f ~25 cm) Ezoe et al. 2013 can be implemented. MiXO-70W is optimized for wide field monitoring with the combined FoV of 7 deg$^2$ and broad band coverage with relatively higher grasp at high energies due to small grazing angles of each module. The FoV of each module in MiXO-70W is independent of each other, so that the alignment between them is not critical.

The sensitivity or light collection power of an X-ray telescope is often described by the on-axis effective area. Since X-ray emission from planetary bodies is often dif-

| Parameters          | MiXO-70B | MiXO-70W |
|---------------------|----------|----------|
| Focal Length (f)    | 70 cm    | 70 cm    |
| No. of Shells       | 50       | 25 x 7   |
| Size (Diameter)     | 4 – 14 cm | 2 – 5.6 cm |
|                     |          | 17 cm overall |
| Mass (g)            | 920      | 210 x 7  |
| Detector            | 1.5 x 1.5 cm$^2$ | 5 x 5 cm$^2$ |
| FoV                 | 1 deg$^2$| 7 deg$^2$ |
| Ang. Resolution     | 30°      | 30°      |
| Effective Area      | 65 cm$^2$@ 1 keV | 6.4 cm$^2$@ 1 keV |
|                     | 15 cm$^2$@ 4 keV | 4.6 cm$^2$@ 4 keV |
| Grazing Angle       | 0.41 – 1.43 deg | 0.20 – 0.51 deg |

*Assume 10% reduction for alignment fixture.
fuse by nature especially when observed near-by, another important parameter to describe the performance of X-ray telescopes is grasp, which is the product of the effective area and the FoV. Fig. 9 compares the expected grasp of various MiXO optics with MIXS-T on Bepicolombo. We assume that each shell of MiXO here is coated with Ir. With only ~1/3 of the packaging volume, MiXO-70B (♦) meets the performance of MIXS-T (♣); or MiXO-100B (♥ in Fig. 9 the same baseline configuration as MiXO-70B but f ∼100 cm with an optics envelope of 20 cm dia.), whose package is similar to MIXS-T in size, outperforms MIXS-T by a factor of ~3. In the case of MiXO-70W (♦), small grazing angles boost high energy response, enhancing a chance of the discovery of heavy elements. Since our approach enables additional optimizations such as polynomial profile of shell geometry for uniform response over the wide field (Conconi et al. [2010]) or multilayer coating to enhance hard X-ray response (e.g. NuSTAR; Harrison et al. [2013]), one can further improve the response of MiXO telescopes.

In addition to outperforming on throughput (both on-axis effective area and overall grasp) by a factor of 3 or more, our approach excels MPO in two other areas: first, MPO can focus X-rays effectively only below 2 keV due to the difficulty in coating the pore surface on the 20 µm scale. For instance, multilayer coating cannot be applied to small pores. On the other hand, MiXO-70W in Fig. 9 is designed for wide-field hard (> 2 keV) X-ray imaging and thus optimized for the detection and localization of heavy elements such as rare-earth elements.

Second, the resolution of MPO is limited to 2’ for lobster eye Angel optics and 5–8’ for Wolter-I optics. The additional degradation in resolution for Wolter-I optics comes from misalignment among segmented mirror facets in MPO. In fact, difficult alignment between segmented mirrors requires a complex support structure, which mostly erases its lightweight advantage relative to MiXO. On the other hand, in MiXO, each shell contains both bounces, greatly simplifying alignment structure and mass, and a 15–30” resolution (10–16 × improvement relative to MPO) can be achieved routinely. Compared to MIXS-T, MiXO-70B, though a smaller telescope, improves the detection sensitivity (∝ PSF_area^{1/2}) of small surface features by >10× or extends the observing distance (∝ PSF_area^{1/4}) by >3× due to relatively lower background (∝ PSF_area) of small PSFs enabled by high resolution (<30”).

High angular resolution planetary X-ray imaging with sub 100 cm² effective area will be normally flux limited during the quiet Sun phases. On the other hand, the full potential of the high angular resolution can be realized during strong solar X-ray flares which can generate higher solar X-ray flux by a few order of magnitudes. Given the angular resolution in MiXO that is comparable to NAVCAM on the Rosetta mission, a strong solar X-ray flare can enable an X-ray snapshot of the detailed atomic composition, which can be directly compared to the surface structure of comet nuclei and outgassing jets in an optical image.

5. SUMMARY

We have introduced a new approach to build lightweight focusing X-ray optics with metal-ceramic hybrid X-ray mirrors through the ENR process and the PTS technology. Hybrid X-ray mirrors are lighter by almost two orders of magnitude relative to X-ray mirrors in Chandra. This enables compact lightweight X-ray telescopes which can be mounted on resource-limited in-situ or near-target planetary missions.

We have illustrated the benefit of true imaging X-ray spectroscopy especially for observations of small planetary bodies such as asteroids and comet nuclei. With a few example configurations we have demonstrated efficient light-collection power (3× improvement relative to an alternative approach using MPO), high angular resolution (>10× improvement), high detection sensitivity (>10× improvement) and wide energy band coverage (up to 10–15 keV with multilayer coating) of MiXO. With MiXO, we can open a new era of planetary X-ray imaging spectroscopy.

6. AUTHORS’ CONTRIBUTION
JH carried out design and modeling work for MiXO, investigated its feasibility and applications to various planetary missions, and drafted the manuscript. SR demonstrated the proof of concept of MiXO by fabricating and testing prototype MIXO modules.

7. ACKNOWLEDGEMENT

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