Signature of the Leggett mode in the $A_{1g}$ Raman response: From MgB$_2$ to iron-based superconductors

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The Raman response in a superconductor is a powerful probe to investigate the symmetry of the superconducting gap. Here we show that in a multiband superconductor it also offers the unique opportunity to establish whether the driving pairing interaction has an intraband or interband character. In the model with one hole and one electron band the full gauge-invariant Raman response, obtained by accounting for the fluctuations of both the density and superconducting phase degrees of freedom, is always dominated by the Leggett mode, regardless its nature. However, while in the case of intraband-dominated pairing the Josephson-like phase fluctuations of the two condensates identify a well-defined peak, as observed in MgB$_2$, for dominant interband pairing the Leggett resonance is pushed at the largest gap, resembling apparently a pair-breaking peak. The latter case is in very good agreement with experimental data in iron-based superconductors, suggesting that an interband pairing mechanism should be at play in these systems. These results have also interesting implications for the nonlinear optical response probed by means of intense THz fields.

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I. INTRODUCTION

The inelastic scattering of light represents one of the most powerful spectroscopic probes for the investigation of the superconducting (SC) state of a system. In a Raman experiment the net effect of the photon-in/photon-out process can be modeled as a perturbation that couples to the electron density at long wavelength [1,2]. More specifically, the particle-hole excitations are modulated in the momentum space by a form factor $\gamma_k$ dictated by the polarization of the incoming/outgoing light and by the symmetry of the band structure, with $\gamma_k$ roughly scaling as the inverse effective mass $1/m^*$ of the carriers. In the SC state BCS theory predicts that the Raman charge response displays a threshold and a square-root singularity at twice the gap $\Delta$ edge, simply reflecting the two-particle density of states [1,2]. This result, along with the polarization dependence of the $\gamma_k$ prefactor, allows one to probe the SC gap at different momenta [3], giving crucial information on the symmetry of the underlying SC state. For this reason, Raman has been proven to be crucial for the spectroscopic investigation of both conventional and unconventional superconductors [2–5].

While standard BCS theory only predicts the existence of a quasiparticle pair-breaking peak, several other collective modes can appear in principle in the Raman response. Physically, they arise because the two particles created when a Cooper pair breaks apart continue to interact before then recombining together. In the usual diagrammatic language for the computation of the Raman response function this can be accounted for by including random-phase-approximation-like (RPA-like) and vertex-correction-like diagrams due to all the possible intermediate processes coupled to the Raman density fluctuations [1,2,5]. Since also this coupling is dictated by symmetry arguments, Raman scattering becomes also a selective probe of collective fluctuations. A typical example is provided, e.g., by the so-called screening of the Raman response in the symmetric $A_{1g}$ channel, where $\gamma_k$ is more or less a constant. In this case the Raman scattering probes the fluctuations of the electron density, and the Raman response function coincides with the charge susceptibility at long wavelength and finite frequency, which is expected to vanish since it controls the response to a uniform potential. This requirement is violated by the BCS Raman response, but it can be restored by adding [1,2] the contribution of the charge fluctuations, mediated by Coulomb interactions. Even though this effect is often referred to as “Coulomb screening” the presence of long-range interactions is not necessary to obtain it. Indeed, the vanishing of the dynamic charge response is in general a requirement of charge conservation and gauge invariance [4]. As is well known [6], the BCS approximation violates gauge invariance since it lacks the contribution of all the SC collective modes, which include the fluctuations not only of the charge, but also of the SC phase, which is its conjugate variable [7–9].

For a multiband superconductor with bands having opposite hole/electron character the phenomenology can be even richer. Considering for example a two-band case, the form factor $\gamma_k$ will turn out to be positive/negative on the electron/hole bands, so that labeling the respective densities as $\rho_1$ and $\rho_2$ the Raman response in the $A_{1g}$ channel can access the relative density fluctuations $\rho_1 - \rho_2$ instead of the total ones $\rho_1 + \rho_2$. The consequences of this effect have been discussed so far in the context of both the MgB$_2$ superconductor [10,11] and iron-based superconductors (FeSC) [5,12–14]. In the former case it has been argued that the $A_{1g}$ Raman response, proportional to the relative $\rho_1 - \rho_2$ density fluctuations between the (electron-like) $\pi$ bands and (hole-like) $\sigma$ bands [15], couples to the relative fluctuations $\theta_1 - \theta_2$ of the SC phases in the two bands. As originally discussed by Leggett [16], this phase mode describes the Josephson-like oscillations between the phases of the two SC condensates. By using parameter values appropriate for MgB$_2$ the Leggett mode is expected [11,17] to lie at energies between the two gaps $\Delta_1 < \Delta_2$, in agreement with experimental measurements in the $A_{1g}$ channel [10].

Also in the case of FeSC the band structure is made by hole-like pockets and electron-like ones, located at the $\Gamma$ and $X/Y$ points of the 1Fe Brillouin zone, respectively [18,19].
However, in the context of FeSC the role of the Leggett-like mode for the Raman response has been neglected so far, and the main focus has been put instead on the effect of the sign change of the $\chi^g_1$ factors for the screening in the $A_{1g}$ channel. Indeed, by coupling the Raman response only to the density fluctuations the authors of Refs. [12–14] concluded that the usual screening of the symmetric channel is not operative in FeSC. This result has been used to understand the experiments in FeSC [20–23], which reported a pair-breaking-like peak in the $A_{1g}$ channel, with an overall intensity as large as in the other nonsymmetric channels, confirming apparently the lack of screening in FeSC.

Even though specific features of the band structure of different materials can be quantitatively relevant, the previous theoretical and experimental results seem to be in contradiction with each other. Indeed, starting from the same general model of a two-band superconductor with one hole and one electron band, in one case (MgB$_2$) the $A_{1g}$ response is claimed to measure only the Leggett mode; in the other case (FeSC) it is claimed to measure only the unscreened quasiparticle response, leading to the usual pair-breaking peak.

In the present paper we show that this apparent contradiction arises when charge and phase fluctuations are not treated on the same footing. While this does not appear to explicitly violate the total charge conservation, in the multiband case it does not describe properly the relative charge fluctuations between the two bands. By computing the Raman response within a full gauge-invariant effective-action formalism we show that the $A_{1g}$ Raman response of a multiband superconductor with bands having opposite character is always dominated by relative phase fluctuations $\theta_L = \theta_1 - \theta_2$ of the SC phases of the two order parameters. However, the resulting Raman susceptibility is drastically different depending on the nature of the pairing interaction. Indeed, while in the case of intraband-dominated pairing, as appropriate for MgB$_2$ [15], $\theta_L$ identifies a true collective mode, in the sense that it lies below the largest of the two gaps, when the pairing has dominant interband character $\theta_L$ identifies a resonance that occurs around twice the largest of the two gaps, with a typical profile that can be accidentally similar to a standard pair-breaking peak. Besides solving the paradox of existing theoretical results, the comparison between our findings and available experimental data in FeSC provides us with indirect evidence of a dominant interband pairing glue in these systems, whose most plausible candidates [18,19] are spin fluctuations, which naturally connect hole and electron pockets in FeSC. This result is particularly interesting for those families of FeSC, such as LiFeAs and FeSe, where the role of spin fluctuations on the pairing mechanism is still under debate [18,19,24–27]. From a more general perspective, our findings show that Raman scattering in multiband superconductors is a fundamental probe not only of the SC gap modulation in momentum space but also of the SC pairing mechanism itself. Finally, we also discuss the relevance of the Leggett mode in these two classes of materials for the nonlinear optical response [28] that has been shown to be experimentally accessible thanks to the use of intense multicycle THz pulses [29].

The structure of the paper is the following. In Sec. II we provide the derivation of the Raman response in the single-band case, to clarify the role of phase and density fluctuations. In Sec. III we derive the general form of the Raman response in a two-band superconductor, and comment on the simplified case of two equal bands with opposite (hole/electron) character. In Sec. IV we show the evolution of the Raman response in the general two-band case from interband-dominated to intraband-dominated pairing. The implications of our results, along with applications to nonlinear optical spectroscopy, are discussed in Sec. V. The concluding remarks are reported in Sec. VI. Finally, Appendixes A and B contain the technical details needed for the derivation of the Raman response in the single and two-band case, respectively.

II. SCREENING AND GAUGE INVARIANCE IN THE SINGLE-BAND CASE

To clarify the role of charge and SC phase fluctuations for the screening of the $A_{1g}$ Raman response we first outline the derivation of the Raman response for a single-band superconductor by means of the effective-action formalism. By introducing the Raman density operator $\Phi_R(q) = \sum_\alpha \gamma_k c_{k-\alpha} c_{k+q+\alpha}$, where $\gamma_k$ is the Raman vertex, the Raman response is $S_R = \frac{1}{\omega} [1 + n(\omega)] \chi_{RR}(q = 0, \omega)$, where $n(\omega)$ is the Bose-Einstein distribution and $\chi_{RR}(q)$ is the Raman susceptibility after analytical continuation $i\omega_n \rightarrow \omega + i\delta$. To derive $\chi_{RR}$ we will take advantage of the effective-action formalism, as detailed in Appendix A. We start from a microscopic fermionic model including the pairing $U$ and the Coulomb interaction $V(q)$, plus an external source field $\rho_R$ that is coupled to the fermionic Raman operator. As usual, one can decouple the interacting terms by means of the Hubbard-Stratonovich bosonic fields representing the collective fermionic degrees of freedom [7–9,30]. After integrating out the fermions one is then left with an action expressed in terms of the relevant bosonic variables, i.e., the SC amplitude, the SC phase $\theta$, the electron density $\rho$, and the Raman field $\rho_R$. The amplitude sector is as usual [31,32] decoupled from the density/phase sector so one can write the effective action in the long-wavelength limit as

$$S_{FL} = \frac{1}{2} \sum_q \left\{ |\rho_R(q)|^2 \chi_{RR}(q) + 2i \rho_R(-q) \chi_R(-q) \times \left[ \rho(q) + i\omega_n \theta(q)/2 \right] + \left( \frac{1}{4} - \chi_R(q) \right) |\rho(q)|^2 + \frac{1}{4} \left( -\chi_R(q) \rho(q) i\omega_n \theta(q) \right) \right\}.$$

where $D_q$ denotes the superfluid stiffness, and we introduced the (bare) Raman-Raman ($\chi^0_{RR}$), the Raman-density ($\chi_{RR}$), and the density-density ($\chi_{rr}$) correlation functions, given at $q = 0$ by

$$\chi^0_{RR}(i\omega_n) = - \sum_k \gamma_k F_k(i\omega_n),$$

$$\chi_{RR}(i\omega_n) = - \sum_k \gamma_k F_k(i\omega_n),$$

$$\chi_{rr}(i\omega_n) = - \sum_k F_k(i\omega_n).$$
\[ F_k(i\omega_n) = 4\Delta^2 \tanh(\frac{E_k}{2T}) \frac{\text{tanh}(E_k/2T)}{E_k[4E_k^2 - (i\omega_n)^2]} \]  

(5)

and \( E_k = \sqrt{\xi_k^2 + \Delta^2} \), \( \Delta \) being the SC gap. Finally, the full Raman susceptibility \( \chi_{RR} \) can be computed from Eq. (1) by the functional derivative with respect to the \( \rho_R \) field, i.e.,

\[ \chi_{RR}(q) = \sum_{\rho} \frac{\delta^2 S_{FL}}{\delta \rho_R(-q) \delta \rho_R(q)} |_{\rho=R} = 0. \]  

(6)

As one immediately sees from Eq. (3) for a nonsymmetric Raman channel, such that \( \sum_k y_k = 0 \), the coupling \( \chi_{RR} \) to the density and phase fluctuations vanishes, and the Raman response coincides with the bare one, Eq. (2). On the other hand, in the \( A_{1g} \) channel \( \chi_{RR} \neq 0 \) and one must add the effect of charge/density modes, described by the third and fourth lines of Eq. (1) [7–9,32]. In particular, the phase mode has a sound-like dispersion, which is converted into a plasmon-like mode when the density fluctuations are integrated out. Notice that while in the usual diagrammatic language the density mode is included via RPA-like corrections and the phase mode via vertex-like corrections [1,2], in the effective-action formalism they are both included by Gaussian integration of \( \rho, \theta \) in Eq. (1). Moreover, they explicitly appear coupled to the Raman response by the same mixed susceptibility \( \chi_{RR} \), showing that they must be always treated on the same footing. The final result for the Raman response function can be derived in a straightforward way in the limit \( q = 0 \). Indeed, in this case Eq. (1) can be recast as

\[ S_{FL} = \frac{1}{2} \sum_q \left\{ |\rho|^2 \chi_{RR}^0 + 2i\rho \rho_R \chi_{RR} \rho + i\omega_n \theta / 2 \right\} \]

\[ + \frac{1}{V_q} |\rho|^2 - \chi_{RR} \rho + i\omega_n \theta / 2 \]  

(7)

making explicit the dependence only on the gauge-invariant combination \( \rho + i\omega_n \theta / 2 \). Since \( 1/V_q \to 0 \) as \( q \to 0 \) one can then shift \( \rho + i\omega_n \theta / 2 \to \rho \) so that only the coupling of the Raman density to the density fluctuations appears explicitly. Then the integration of \( \rho \) is straightforward and leads to the well-known result [2–4]

\[ \chi_{RR} = \chi_{RR}^0 = \frac{\chi_{RR}^2}{\chi_{\rho \rho}}. \]  

(8)

For almost parabolic bands, where \( y_k \approx 1/m \), one sees from Eqs. (2) and (3) that both \( \chi_{RR}^0 \) and \( \chi_{RR} \) are proportional to \( \chi_{\rho \rho} \). In this condition Eq. (8) guarantees that \( \chi_{RR} = 0 \), as expected since the Raman response becomes proportional to the dynamical charge susceptibility, which must vanish at long wavelength. It is worth stressing that the vanishing of the dynamical charge susceptibility at \( q = 0 \) is not a consequence itself of the presence of Coulomb interactions, but it is generally expected as a consequence of charge conservation [4]. Indeed, the charge susceptibility controls the charge redistribution in the presence of an external potential. Due to charge conservation, changing the charge density in one place can only be done by redistributing it, but this cannot be achieved with a uniform potential. On more general ground, the violation of this requirement for the BCS response function \( \chi_{\rho \rho} \) in Eq. (4) can be ascribed to the fact that in general the BCS approximation is not gauge invariant [6], due to the fact that it accounts for the modification of the quasiparticle response in the SC state, but it does not include the effects of the SC collective modes. Only adding the contribution of the phase and density degrees of freedom one can restore the gauge invariance of the charge susceptibility, and in general of all the electromagnetic response functions [7–9].

According to the above discussion, the result (8) must be independent of the presence of long-range Coulomb forces, and it must hold also when the interaction in the charge sector is short-ranged. This can be understood again from Eq. (1) by replacing \( 1/V_q \) with a generic short-range interaction \( 1/V \) (with \( V \gtrsim 0 \) for repulsive/attractive interaction). In this case the \( |\rho|^2 \) term in Eq. (7) is finite, and one must integrate out both the density and the phase field. Indeed, after integrating out only \( \rho \) one obtains (see Appendix A) for the Raman response \( \chi_{RR} \) the result

\[ \chi_{RR} = \chi_{RR}^0 - \chi_{\rho \rho}^2 / \chi_{\rho \rho} - 1/V. \]  

(9)

being finite also when \( y_k \) is constant. On the other hand, by adding also the contribution of phase modes one immediately finds back the gauge-invariant result (8). This example clarifies that in the computation of the Raman response in the symmetric \( A_{1g} \) channel the coupling to density and phase fluctuations must be treated on the same footing. In this respect, the approach used in the present work, based on the construction of the effective action including all the collective fluctuations coupled to the Raman response, is completely equivalent to the diagrammatic derivation of the vertex corrections discussed, e.g., in Refs. [1,2], but with one additional advantage. Indeed, it allows one to recognize immediately that the vertex corrections in the particle-particle channel account for the fluctuations of the SC phase. Since the phase is conjugate to the density, their effect must be always included along with RPA-like corrections in the particle-hole channel, which account for density fluctuations. While for the single-band case the presence of long-range Coulomb forces allows one to gauge away the phase mode [4], making apparently its presence irrelevant, for a multiband system additional care should be used, since several phase modes appear. As we shall see in the next section, this crucial fact explains the difference between the results discussed so far in the literature within the context of MgB\(_2\) [10,11] or FeSC [12–14] for a multiband model with hole and electron pockets.

III. RAMAN RESPONSE IN THE TWO-BAND MODEL

The procedure discussed in the previous section can be easily extended to a generic SC two-band model, as detailed in the Appendix B. The microscopic starting point is the Hamiltonian

\[ H = \sum_{k,\sigma,j} \varepsilon_{k,j}^{\sigma \bar{\sigma}} c_{k,\sigma}^{\dagger} c_{k,\sigma} + H_P + H_C. \]  

(10)

\[ H_P = - \sum_{q,\sigma} U_{ij} \Phi_{i}^{\dagger}(q) \Phi_{j}(q). \]  

(11)

\[ H_C = \sum_q V(q) \Phi_{i}^{\dagger}(q) \Phi_{j}(q). \]  

(12)
where \( i, j \) are the band indexes, \( \Phi_{\Delta}^i(q) = \sum_k c^i_{k+q/2,1} c^i_{k-q/2,1} \) and \( \Phi_{\gamma}^i(q) = \sum_{k,i,\sigma} c^i_{k+q/2,\sigma} c^i_{k-q/2,\sigma} \) are the pairing and density operators, respectively, \( V(q) \) is the Coulomb potential, and \( \hat{U} \equiv U_{ij} \) is the matrix of the SC couplings. Notice that the interaction (11) always assumes pairing of carriers within the same band, with opposite momenta at \( q = 0 \). In addition, the pairing mechanism is intraband dominated when \( \det \hat{U} = U_{11}U_{22} - U_{12}^2 > 0 \), while it is interband dominated when \( \det \hat{U} < 0 \). The derivation of the Raman response follows the same strategy outlined for the single-band case. In particular by adding to the Hamiltonian (10) a source field \( \rho_R \) coupled to the total Raman density operator \( \Phi_R(q) = \sum_{i,k,\sigma} \gamma^i_k c^i_{k+q/2,\sigma} c^i_{k-q/2,\sigma} \), one can derive the effective action in terms of all the relevant collective modes coupled to the Raman density, equivalent to Eq. (1) above. As has been discussed in Ref. [33], some special care has to be used to implement the Hubbard-Stratonovich transformation in the case of interband-dominated pairing, i.e., \( \det \hat{U} < 0 \). The technical details of the derivation are given in Appendix B, while we limit here the discussion to the main results and their physical implications.

For the multiband case the various susceptibilities (2)-(4) depend now on the band index \( i \) via both the Raman vertices \( \gamma^i_k \) and the functions \( F^i_k \) computed on each band with dispersion \( \xi^i_k \) and SC gap \( \Delta_i \). As shown in Eq. (B7) of Appendix B, the Raman density \( \rho_R \) is coupled both to the electron density and to the SC phase fluctuations in each band. By retaining only the coupling to the density fluctuations and integrating them out one recovers again the equivalent of the result (8), which now reads

\[
\chi_{RR}^D \equiv \sum_i \chi_{RR}^{0,i} - \left( \frac{\sum_i \chi_{RR}^{0,i}}{\sum_i \chi_{RR}^{0,i}} \right)^2.
\]

This result, and its extension to more bands, has been used so far to interpret the experimental data in pnictides [12–14,22]. To get a deeper insight into the behavior of the expression (13) let us first focus on the simplified case of one hole and one electron band, with parabolic energy dispersions, an approximation that can be good for FeSC. In the symmetric \( A_{1g} \) channel the Raman vertex depends only on the electronic dispersion, so one has \( \gamma^i_k = 1/m_i \equiv \gamma_i \), with \( \gamma_1 < 0 \) (hole band) and \( \gamma_2 > 0 \) (electron band). Even though the real band structure of FeSC has more than two bands, here we just model the main effect of having hole pockets at \( \Gamma \), and electron pockets at \( X/Y \) (or at \( M \) in the 2Fe unit cell notation). Equation (13) can then be written [14] as

\[
\chi_{RR}^D = -(\gamma_1 - \gamma_2)^2 \frac{N_i F_i N_j F_j}{N_i F_i + N_j F_j}, \tag{14}
\]

where \( N_i \) is the density of states (DOS) in each band and \( F_i \) is the function obtained by integrating over momenta in Eq. (4), i.e.,

\[
F_i(i\omega_n) = 4\Delta_i^2 \int_{-\Delta_i}^{\Delta_i} d\xi \frac{\tanh[E_i(\xi)/2T]}{E_i(\xi)[4E_i(\xi)^2 - (i\omega_n)^2]}, \tag{15}
\]

where \( E_1(\xi) = \sqrt{\xi^2 + \Delta_1^2} \) and \( \omega_D \) is a typical cutoff for the SC interactions. In the limit where \( \omega_D \gg \Delta_i \) Eq. (15) admits an analytical expression at \( T = 0 \):

\[
\begin{align*}
\text{Re} F_i(\omega) &= \frac{2\Theta(2\Delta_i - \omega)}{x_i \sqrt{1 - x_i^2}} \arctan \frac{x_i}{\sqrt{1 - x_i^2}} \\
&- \frac{\Theta(\omega - 2\Delta_i)}{x_i \sqrt{x_i^2 - 1}} \ln \frac{x_i + \sqrt{x_i^2 - 1}}{x_i - \sqrt{x_i^2 - 1}}, \\
\text{Im} F_i(\omega) &= \frac{\Theta(\omega - 2\Delta_i)\pi}{x_i \sqrt{x_i^2 - 1}}, \quad x_i = \frac{\omega}{2\Delta_i}.
\end{align*}
\]

The real and imaginary parts of the function \( F_i(\omega) \) are shown in Fig. 1. According to Eq. (2), the bare Raman response is proportional to \( \Im F(\omega) \). Its square-root divergence at \( \omega = 2\Delta_i \) signals the proliferation of Cooper pairs broken apart by the electromagnetic field. (c) Typical \( A_{1g} \) spectrum for FeSC, as taken from Ref. [22]. The strong enhancement of the signal at \( \omega \approx 150 \text{ cm}^{-1} \) resembles the behavior of the unscreened Raman response, as would be predicted by Eq. (18).

![FIG. 1. (a) Real part and (b) imaginary part of the function \( F(\omega) \) of Eq. (15) computed with \( \delta = 0 \) (red thin lines) and \( \delta = 0.01\Delta_i \) (blue thick lines). According to Eq. (2) the \( \Im F(\omega) \) is proportional to the unscreened (bare) Raman response for a single-band superconductor. Its square-root divergence at \( \omega = 2\Delta_i \) signals the proliferation of the Cooper pairs broken apart by the electromagnetic field. (c) Typical \( A_{1g} \) spectrum for FeSC, as taken from Ref. [22]. The strong enhancement of the signal at \( \omega \approx 150 \text{ cm}^{-1} \) resembles the behavior of the unscreened Raman response, as would be predicted by Eq. (18).]
nonsymmetric channels, with a shape that resembles the usual pair-breaking peak at the largest gap.

Despite its apparent agreement with experimental data in FeSC, the result (13) turns out to be in general incorrect. As we explained above, in the single-band case the coupling to the phase and density modes appears only via the gauge-invariant combination $\rho + i\omega_0 \theta$. However, in the multiband case two different phase/density modes appear [16,17], the

$$\chi_{RR} = (x_{0,R} + x_{0,R}^*) - \frac{(i\omega_0)^2}{(i\omega_0)^2 - F_L(i\omega_0)}$$

where

$$\kappa = \frac{8\Delta_1\Delta_2 U_{12}}{U_{11}U_{22} - U_{12}^2}$$

is a positive or negative constant depending on the nature of the pairing. A quantity on the numerator, $\Delta_1\Delta_2 U_{12}$, is always positive irrespective of the sign of $U_{12}$. Indeed, for interband attraction ($U_{12} > 0$) the gaps have the same sign, while for interband repulsion ($U_{12} < 0$) the gaps must have opposite sign. On the other hand the quantity on the denominator, i.e., $d\tilde{U} = U_{11}U_{22} - U_{12}^2$, depends on the nature of the pairing, being positive for intraband-dominated pairing, where $d\tilde{U} > 0$ and $\kappa > 0$, and negative in the opposite case of interband-dominated pairing, where $d\tilde{U} < 0$ and $\kappa < 0$. Once more, in the case of parabolic bands the expression (19) simplifies leading to

$$\chi_{RR} = (\gamma_1 - \gamma_2)^2 - \frac{\kappa}{(i\omega_0)^2 - F_L(i\omega_0)}$$

where we introduced the function $F_L$

$$F_L(i\omega_0) = \frac{(N_1 F_1 + N_2 F_2)}{N_1 F_1 N_2 F_2}$$

Equation (21) has been derived by means of a standard diagrammatic implementation of vertex corrections in Refs. [10,11], and it has been used to interpret the experiments in MgB$_2$. Its physical interpretation is straightforward: while for equal bands having same character $\gamma = 1 = \gamma_2$ the $A_{ig}$ Raman response vanishes because of charge conservation, when the two bands have opposite character, i.e., $\gamma_1 = -\gamma_2$, the Raman density scales as the relative density fluctuations $\rho_1 - \rho_2$. As such, it couples to the relative phase Leggett mode $\theta_L = \theta_1 - \theta_2$, whose energy $\omega_L$ is identified [16,17] by the vanishing of the denominator of Eq. (21):

$$\omega_L^2 - F_L(\omega_L) = 0$$

When the interband coupling $U_{12}$ is small the solution of Eq. (23) can be found by taking the limit $F_L(\omega \rightarrow 0)$. Since $F_L(0) \simeq 2$, see Eq. (15), one sees that the energy $\omega_L^2$ of the Leggett mode is

$$\omega_L^2 = F_L(0) \simeq \frac{N_1 + N_2}{2N_1N_2} = \frac{4\Delta_1\Delta_2 U_{12}}{\det\tilde{U}} \frac{N_1 + N_2}{N_1N_2}$$

in agreement with the result found by Leggett [16] for intraband-dominated pairing. For larger interband coupling $\omega_L$ is found numerically from Eq. (23), but it always lies below the largest gap (see next section), giving rise to a sharp resonance in the $A_{ig}$ channel.

For a system with interband-dominated pairing, $\kappa < 0$, so that the expression (24) does not admit a solution, intended as a sharp resonance below the quasiparticle threshold. Even though this condition rules out the existence of a true Leggett mode [33,34], nonetheless it does not rule out the unavoidable coupling of the Raman response to relative phase fluctuations $\theta_L = \theta_1 - \theta_2$. As a consequence, the correct expression, Eqs. (19) and (21), for the Raman response in the $A_{ig}$ channel must be used irrespectively of the intra/interband nature of the pairing. The crossover from intraband-dominated to interband-dominated regime for the Raman response can be simply understood resorting to the simplified case of two bands with equal gap and opposite character, $\gamma_1 = -\gamma_2 = \gamma$. In this case Eq. (21) reduces to

$$\chi_{RR}(\omega) = \frac{4\kappa \gamma^2}{(\omega + i\delta)^2 - 2\kappa/\left[N F(\omega)\right]}$$

$$= \frac{-2\kappa \gamma^2 N F(\omega)}{1 - N F(\omega)(\omega + i\delta)^2/2\kappa}$$

which has to be contrasted to the result (18). From Eq. (16) one immediately sees that Re$F(\omega)$ diverges as $\omega \rightarrow (2\Delta)^+$, where it also changes sign from positive to negative. In this situation the denominator of Eq. (25) vanishes at $\omega < 2\Delta$ when $\kappa > 0$. Since in this regime Im$F = 0$, see Eq. (17), the resulting mode is sharp since it is undamped by quasiparticles; see Fig. 2. On the other hand when $\kappa < 0$ the real part of the denominator of Eq. (25) can only vanish at $\omega > 2\Delta$, where Re$F$ becomes negative. However, since at $\omega > 2\Delta$ also Im$F$ starts to develop this resonance is always strongly overdamped, and $\chi''_{RR}$ from Eq. (25) is dominated by the imaginary part of the numerator. This is the reason why the $A_{ig}$ channel displays a resonance right above the gap that can be qualitatively similar to the unscreened result obtained with the wrong expression (18), especially when a small residual damping is taken into account; see Fig. 2. More importantly, as shown in Fig. 2 this result is in good agreement with experimental data in FeSC, even within the simplified case of two equal bands. As we shall see in the next section, by considering a more general multiband model with different DOS and gap values in the two bands the qualitative differences between the two results (21) and (14) become more evident.
FIG. 2. Raman response for the case of two bands having the same density of states and the same SC gap, but opposite character, \( \gamma_1 = -\gamma_2 = \nu \). The solid line is the full gauge-invariant result (25) for intraband (\( \kappa > 0 \), red line) and interband (\( \kappa < 0 \), blue line) dominated pairing. The dashed line represents result (18), obtained by including only the contribution of density fluctuations. Here a residual damping \( \delta = 0 \) has been used in the analytical continuation of Eq. (15), that smears out the divergence of the function \( F(\omega) \) at \( \omega = 2\Delta \). The symbols represent the experimental data of Fig. 1, taken from Ref. [22]. As one can see, they are consistent with Eq. (25) in the case of dominant interband pairing.

IV. LEGGETT RESONANCE FROM INTERBAND TO INTRABAND PAIRING

To analyze the general evolution of the Leggett-mode resonance from intraband-dominated to interband-dominated coupling we study the case of two parabolic bands with opposite character, where the expression (21) holds. In the limit where only \( U_{12} \neq 0 \), i.e., when pairing is provided uniquely by interband interactions, one can easily see that the gaps must satisfy \( \Delta_1/\Delta_2 = \text{sgn}(U_{12})N_2/N_1 \) at \( T = 0 \) [35]. To mimic the case of FeSC, where an interband repulsion is expected, we then take \( U_{12} < 0 \). By fixing the value of \( N_1/N_2 \) we can then vary the SC coupling \( U_{ij} \) from \( \det U > 0 \) to \( \det U < 0 \), by retaining the same values of \( \Delta_1 > \Delta_2 \). If we define the dimensionless quantity

\[
\eta = \frac{|U_{12}| - \sqrt{U_{11}U_{22}}}{|U_{12}| + \sqrt{U_{11}U_{22}}} \tag{26}
\]

one immediately sees that \( \eta \) goes from \(-1\) to \(+1\) as the interband coupling increases, so that \( \eta = -1 \) is the case where \( U_{12} = 0 \) while \( \eta = 1 \) is the case where \( U_{11} = U_{22} = 0 \).

The full Raman response obtained from the expression (21) is shown in Fig. 3. As one can see, in agreement with the simplified case of two equal bands, in the range \( \eta < 0 \) the...
Leggett mode, given by the solution of Eq. (23), identifies a sharp resonance below the largest gap, whose spectral weight is maximum as \( \omega_L \) approaches the smallest gap. For very weak interband coupling \( \omega_L \to 0 \) it follows the analytical expression (24); see solid line in Fig. 3. On the other hand for larger interband coupling one cannot neglect the frequency dependence (22) of the \( F_k(\omega) \) function appearing in Eq. (23), reflecting the breaking of Cooper pairs at \( \omega > 2\Delta_2 \), so that \( \omega_L \) deviates considerably from the low-energy limit (24) and it is finally limited by the upper bound \( 2\Delta_1 \) given by the largest gap. In a recent numerical analysis \( 36 \) of collective modes in a two-band superconductor, this effect has been attributed to the coupling between the Leggett mode and the amplitude modes, which is zero in the particle-hole-symmetric \( q = 0 \) limit considered here, but becomes finite in the case of finite external momentum considered in Ref. \( 36 \). Even though this coupling can modify the expression (23), we checked that the softening of the Leggett mode with respect to the low-frequency result (24) observed in Ref. \( 36 \) follows mainly from the interplay between the Leggett mode and the quasiparticle continuum, encoded in Eq. (23) and shown in Fig. 3. Notice also that when \( \eta \to -1 \), i.e., the interband coupling goes to zero \( U_{12} \to 0 \), the signature of the Leggett mode in the Raman response disappears. This can be easily understood from Eq. (21), considering that \( \kappa \propto U_{12} \) as \( U_{12} \to 0 \); see Eq. (20). As a consequence when \( U_{12} \to 0 \) the Leggett mode, given by Eq. (24), scales as \( \omega_L \propto \kappa \), so that the imaginary part of Eq. (21) reads

\[
\chi''_{RR} \simeq \frac{\gamma_1 - \gamma_2}{2\omega L} \delta(\omega - \omega_L) \propto \frac{(\gamma_1 - \gamma_2)^2 \sqrt{\kappa} \delta(\omega - \omega_L)}{\omega L}, \quad \kappa \to 0.
\]

This result is again consistent with the fact that when the bands are decoupled the Raman response in the \( A_{1g} \) channel can only probe the total density fluctuations, which must vanish by gauge invariance in the long-wavelength limit.

In the regime of interband-dominated coupling, i.e., \( \eta > 0 \), Eq. (23) cannot have a solution for \( \omega < 2\Delta_1 \). Indeed, by closer inspection of Eq. (22) one sees that \( \text{Re} F_k(\omega) \) becomes negative only at \( \omega > 2\Delta_1 \) where both \( \text{Re} F_1 \) and \( \text{Re} F_2 \) are negative, compensating the negative sign of the prefactor \( \kappa < 0 \). However, since at \( \omega > 2\Delta_1 \) also the two imaginary parts of \( F_1 \) and \( F_2 \) are different from zero, the overall spectral function has always a maximum at \( \omega \simeq 2\Delta_1 \), i.e., at the largest of the two gaps, with an overall intensity quite smaller than in the intraband-dominated regime. When the two gaps have similar values, see right panels in Fig. 3, the resulting Raman response resembles qualitatively the case of identical bands with opposite character shown in Fig. 2 above.

In Fig. 4 we summarize the results for the \( A_{1g} \) Raman response for the model with one hole-like and one electron-like band, as a function of the interband coupling. For the sake of completeness we also show with dashed lines the result for \( \chi''_{RR} \), Eqs. (13) and (14), where only the contribution of the density modes is included. As one can see, in the case of interband-dominated coupling (\( \eta = -0.8 \), red curves) Eq. (13) completely fails to recover the Leggett resonance. Indeed, \( \chi''_{RR}^{D} \) is always peaked at the largest gap, the peak at the smallest gap being removed by the second term of Eq. (13), which is not zero in this case. We notice that the case \( N_1/N_2 = 0.3 \) and \( \eta = -0.8 \), so that \( \Delta_1 \simeq 2\Delta_2 \), exemplifies the situation for MgB\(_2\) \( 11,15,17 \), where the two gaps have rather different values and the Leggett mode is expected to lie between them, as indeed observed experimentally \( 10 \).

On the other hand, when the system has dominant interband coupling (\( \eta = 0.5 \)) the Raman response is always peaked at the largest gap, with a tail starting already at the smallest one, see Fig. 4(a), being also in this case qualitatively different from the result (14). Even though for similar gap values [panel (b)] the difference becomes less relevant, making the two results accidentally similar, the physical mechanisms behind them are completely different. Indeed, while the expression (14) attributes the resonance in FeSC to a pair-breaking mechanism, made visible by lack of Coulomb screening \( 12-14,22 \), the expression (25) always identifies the \( A_{1g} \) resonance with a Leggett mode, whose nature in turn depends on the intra- vs interband character of the pairing. In this respect, the comparison with experimental data in FeSC suggests that the \( A_{1g} \) Raman response in FeSC provides indirect evidence on the interband nature of the pairing in these systems, supporting the proposal \( 18,19 \) that pairing in FeSC is mediated by the exchange of spin fluctuations between hole and electron pockets. Indeed, such a pairing mechanism has a predominant interband character, so that det\( \bar{U} \) < 0 and consequently also \( \kappa < 0 \) in Eq. (20). This would explain the lack of a sharp subgap mode in the \( A_{1g} \) channel of FeSC, and the observation of a sizable

FIG. 4. Raman response in the \( A_{1g} \) for intraband-dominated pairing (\( \eta < 0 \), red line) and interband-dominated pairing (\( \eta > 0 \), blue line) for two different values of the ratio \( N_1/N_2 \). As in Fig. 3 the two gap values \( \Delta_2 < \Delta_1 \) are kept fixed, and identified by the vertical dashed lines. The dotted blue line represents \( \chi''_{RR}^{D} \) from Eq. (14) for the same values of the band parameters. As one can see, in the case (a) where the two gaps are rather different \( \chi''_{RR}^{D} \) differs qualitatively by the gauge-invariant result (21) for both values of \( \eta \). On the other hand, in the case (b) one observes an accidental similarity between \( \chi''_{RR} \) and the correct expression, due to the fact that in this case the Leggett resonance itself resembles an unscreened Raman response.
signal peaked approximately at twice the SC gap estimated by other measurements [20–23]. We also notice that as far as the pairing mechanism is interband dominated this result is also robust with respect to the presence of accidental nodes [18,19] of the gaps in one of the bands, even though in this case longer tails below twice the gap maxima could be expected.

The model (10)–(12) provides a rather general description of the SC properties of a multiband system. However, additional interactions could be present, specific to a given system. For the $A_1g$ channel of FeSC it has been suggested [37] that also short-range density interactions in the $p_1 - p_2$ channel should be included. In this case, the Raman response in the $A_1g$ channel couples also to relative density fluctuations, whose integration can lead to a contribution analogous to Eq. (9), where now $V < 0$. One can then easily understand that since $\chi_{\rho\rho}$ from Eq. (4) is proportional to $F(\omega)$, the divergence of its real part at $\omega = 2\Delta$ leads to a sharp subgap mode, as shown in Ref. [37]. This mechanism is somehow analogous to the one discussed in Ref. [38] for the $B_1g$ channel [39], where the Raman response couples to nematic density fluctuations having the same $B_1g$ symmetry, leading to a subgap resonance. This mechanism can be responsible for the subgap resonance observed in several FeSC in the $B_1g$ channel [21–23,38], even though it has been also attributed to a Bardasis-Schrieffer mode [21,22], due to the presence of an additional subleading pairing channel. For what concerns the $A_1g$ channel the signatures observed in FeSC have been mainly attributed to an unscreened Raman signal [12–14,22], even though recent data in 1111 NaFe$_1$–Co$_x$As samples have been interpreted in terms of the sharp subgap resonance predicted in Ref. [37]. While this is an open possibility, one should notice that this interpretation is based mainly on the fact that the $A_1g$ resonance emerges below twice the largest gap, whose value is estimated by ARPES measurements on electronic pockets and the outer hole pocket [40]. Indeed, its profile does not resemble a sharp mode, but it is similar to previous observations in 122 compounds [21,22]. In particular, by assuming that a lower gap opens also on the inner $\alpha$ hole pocket, which barely crosses the Fermi level in the normal state, the profile of the $A_1g$ signal reported in Ref. [23] could be easily compared with the results of Fig. 4(a), obtained for gaps with marked different values. This interpretation would allow one also to estimate the SC gap on the inner $\alpha$ pocket, which cannot be easily resolved by ARPES measurements [40].

V. THIRD-HARMONIC GENERATION IN NONLINEAR OPTICS

Finally, we would like to briefly discuss the connection between the present results, derived in the context of the Raman response, and the nonlinear optical response in the THz frequency range of a two-band superconductor. In the last few years the advances in the generation of intense multicycle THz fields has shown that nonlinear optical effects become experimentally accessible. This has been clearly demonstrated in Ref. [29] by the observation, in a BCS superconductor, of a component of the electromagnetic field oscillating three time faster than the incoming light. As has been recently discussed in Ref. [28], the third-harmonic generation (THG) can be understood by computing the equilibrium nonlinear response, which turns out to measure lattice-modulated density correlations, in close analogy with Raman spectroscopy. More specifically, one can see that the nonlinear current $J^{NL}_\alpha(t)$ is given by

$$J^{NL}_\alpha(t) = -2eA_\alpha(t) \int dt' \sum \beta K_{\alpha\beta}(t - t') A^2_\beta(t'),$$

(28)

where $A$ is the electromagnetic field gauge, $\alpha, \beta = x, y$ denote the spatial components, and the response function $K_{\alpha\beta}$ is given by

$$K_{\alpha\beta}(t, \Omega_{\alpha}) = \langle \rho_{\alpha} \rho_{\beta} \rangle$$

(29)

with the operator $\rho_{\alpha}$ defined as

$$\rho_{\alpha}(q) = \sum_k \left( \frac{\partial^2 \epsilon_k}{\partial k^2} \right)^\alpha c^\dagger_k a_k.$$  

(30)

As a consequence, the nonlinear response kernel $K_{\alpha\beta}$ in Eq. (29) probes density fluctuations, with the inverse mass tensor $(\partial^2 \epsilon_k/\partial k^2)^\alpha \partial^2 \epsilon_k/\partial k^2)\partial^2 \epsilon_k/\partial k^2$ accounting for the relative direction of the incoming electromagnetic field $A$ with respect to the main crystallographic axes, in full analogy with the Raman response where the $\chi^{\alpha\beta}_{\gamma\delta}$ vertex accounts for the polarization of the incoming and outgoing light. In the limit of parabolic hole/electron bands with mass $m$, which is the case considered here, $(\partial^2 \epsilon_k/\partial k^2)^\alpha \partial^2 \epsilon_k/\partial k^2)\partial^2 \epsilon_k/\partial k^2$ coincides exactly with the multiband Raman response in the $A_1g$ channel computed so far. By considering, e.g., an incident monochromatic field $A = A_0 \cos(\omega t) \hat{x}$ it is easy to show [28] from Eq. (28) that the nonlinear current $J^{NL}_x$ has a component oscillating at $3\omega$, whose intensity is defined as $I^{THG}_x(\omega) \propto \int dt J^{NL}_x(t) e^{3i\omega t}$ and is given by

$$I^{THG}_x(\omega) = I_0 e^{8} A^6_0 |K(2\omega)|^2 = I_0 e^{8} A^6_0 |\chi_{RR}(2\omega)|^2,$$

(31)

with $I_0$ an overall scale factor, and $\chi_{RR}$ given by Eq. (21), valid in the case of parabolic bands. The corresponding evolution of the THG intensity is shown in Fig. 5 for the same range of parameters as Fig. 3. Notice that in Eq. (31) appears the modulus of the complex response function $\chi_{RR}(\omega)$, which differs from the Raman response that only probes $\chi_{RR}$. Nonetheless, we still find in Fig. 5 that for a fixed value of the interband coupling the nonlinear response has a maximum when $2\omega = \omega_L$ matches the Leggett-mode frequency. On the other hand, as already observed in the case of the Raman response, the overall spectral weight of the Leggett resonance is rapidly suppressed at small interband coupling $\eta \to -1$, and it essentially disappears as soon as one enters the interband-dominated regime $\eta > 0$. As we mentioned above, the MgB$_2$ superconductor can be very well described by approximate parabolic bands: we then expect that only the Leggett mode contributes to the THG, in agreement with the experimental observation of the single Leggett resonance in Raman experiments [10]. Indeed, even though in the general lattice case [28] also the density fluctuations can give a resonant contribution at $2\omega = 2\Delta_{1,2}$, corresponding to the first two terms of Eq. (19), when $\gamma_\epsilon \approx$ constant in each band the only remaining resonance is the Leggett mode. Notice that this result differs from the conclusions a recent preprint [41] devoted to the THG in multiband superconductors, since...
the approximated expansion of the band dispersion proposed in this work misses the correct hierarchy of the various collective (density-like or SC-like) fluctuations to the nonlinear response, and the possible cancellations between them in the limit of parabolic band dispersion. The strong suppression of the Leggett resonance in the case of interband-dominated interactions suggests that in FeSC the observation of the Leggett resonance via nonlinear THz optical spectroscopy is quite unlikely, so that only density-like resonances at $\omega = \Delta_{1,2}$, triggered by nonparabolic lattice structures, are possible. To quantify this effect one needs to resort to a specific lattice band dispersion, which is beyond the scope of this paper. However, we stress that also in the more general case of a lattice model the equivalence between the general $K_{\alpha\beta}$ response function and the general Raman response function (19) still holds, provided that the $\chi_{\alpha}$ insertion in Eqs. (2) and (3) is replaced by the derivatives of the dispersion in each band according to the general prescription (29).

VI. CONCLUSIONS

In the present work we used an effective-action formalism to derive the general expression for the Raman response of a two-band superconductor. We have explicitly shown that even though in the usual diagrammatic approach the contributions of density and SC phase modes originate from different (RPA-like or vertex-correction like) subset of diagrams, their contributions must be always treated on the same footing, in order to obtain the correct results. As an example, we discussed how in the single-band case the so-called notion of “Coulomb screening” in the symmetric $A_{1g}$ Raman channel is somehow misleading. Indeed, the vanishing of the Raman response when the Raman density is proportional to the full density is a general consequence of charge conservation and gauge invariance, that can only be restored by adding the contribution of both charge and SC phase fluctuations. Nonetheless, it is also true that in the single-band case the presence of long-range Coulomb forces allows one to gauge away the phase mode, recovering the gauge-invariant Raman response in the $A_{1g}$ channel by adding only the RPA-like resummation of density fluctuations.

In the multiband case the same procedure allows one to eliminate the SC Goldstone phase mode, but not the Leggett mode, that turns out to dominate the response of the $A_{1g}$ channel in the case of two bands having opposite (hole and electron) character. Interestingly, this result has been correctly pointed out in the context of MgB$_2$ superconductors [10,11],

FIG. 5. Top panels: Color plot of the intensity of the THG according to Eq. (31) as a function of the interband-coupling constant $\eta$, with the same parameters used in Fig. 3. Since $I_{\text{THG}}(\omega)$ is proportional to the Raman response computed at twice the light frequency $\chi_{\text{RR}}(2\omega)$, we marked with dashed lines the (absolute) values of the gaps, and with the green line $\omega_{L}/2$ as given by the analytical expression (24). Bottom panels: Cuts of the THG intensity for selected values of the interband coupling.
but has been completely overlooked so far in the discussion of the Raman response of iron-based superconductors [12–14, 22]. Indeed, by taking into account only the effect of charge fluctuations the main focus has been put so far on the lack of Coulomb screening when the two bands have opposite character. According to this interpretation the large $A_{1g}$ signal observed experimentally in FeSC [20–23] should be attributed to the unscreened pair-breaking peak. In this paper we explain why this result is formally not correct, and we show that also a Leggett resonance can account for the experimental data in FeSC, provided that the pairing has a dominant interband character. Indeed, in this case a true Leggett mode, intended as a sharp peak below the quasiparticle excitation threshold, cannot exist, as already discussed previously within the context of FeSC [33, 34]. Nonetheless, the Josephson-like fluctuations of the SC phases of the two condensates still identify a resonance pushed right above the largest gap, in close resemblance with Raman experiments in FeSC.

As we summarize in Fig. 4, the full gauge-invariant result can be accidentally similar to an unscreened Raman pair-breaking peak when the pairing is interband dominant and two gaps are similar. However, this coincidence does not rule out the profound difference between the two physical mechanisms discussed here and in previous work on FeSC [12–14, 22]. We notice also that the effects of charge and phase modes are not simply additive. Indeed, after integrating out the relative phase modes the divergence of the unscreened response, obtained by considering only the density modes, is removed in favor of the Leggett resonance, which is the only one visible in the $A_{1g}$ channel for two bands having opposite character. As we discussed above this result is also relevant for nonlinear optical spectroscopy in multiband superconductors, where the density of the so-called third-harmonic generation observed so far in single-band superconductors [29] is controlled by a response function analogous to the Raman one. In particular we expect that in MgB$_2$, where the bands are approximately parabolic, the Leggett-like fluctuations of the SC phases of the two condensates still identify a resonance pushed right above the largest gap, in close resemblance with Raman experiments in FeSC.

As we discussed in this paper, an interesting outcome of our results is the possibility to use Raman measurements to establish the nature (intra- vs interband) of the pairing mechanism in FeSC. So far, Raman results have been provided mainly for 122 systems, where quite a wide consensus already exists that spin fluctuations can provide an efficient mechanism for interband pairing between hole and electron pockets, leading in turn to an $s^\pm$ symmetry of the order parameter [18, 19]. On the other end, the situation is more controversial for other systems, such as LiFeAs or FeSe [24–27], where alternative gap symmetries have been proposed, eventually compatible with intraband-pairing mechanisms, as provided, e.g., by phonons or more unconventional orbital fluctuations [18, 19]. As a consequence, our results for the $A_{1g}$ Raman response pave the way to an alternative route to investigate the nature of the pairing interaction, which can be used to assess the relevant glue mechanism at play in FeSC.

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**APPENDIX A: DERIVATION OF THE RAMAN RESPONSE FOR THE SINGLE-BAND CASE**

We model a generic single-band $s$-wave superconductor via the following Hamiltonian:

$$
H = \sum_{k, \sigma} \xi_k c_{k, \sigma}^\dagger c_{k, \sigma} + H_P + H_C, \tag{A1}
$$

$$
H_P = -U \sum_{\mathbf{q}} \Phi^\dagger_{\mathbf{q}}(\mathbf{q}) \Phi_{\mathbf{q}}(\mathbf{q}), \tag{A2}
$$

$$
H_C = \sum_{\mathbf{q}} V(\mathbf{q}) \Phi^\dagger_{\mathbf{q}}(\mathbf{q}) \Phi_{\mathbf{q}}(\mathbf{q}), \tag{A3}
$$

where $\xi_k$ is the band dispersion, $\Phi_{\mathbf{q}}(\mathbf{q}) = \sum_{\mathbf{k}} c_{\mathbf{k}+\mathbf{q} \uparrow} - c_{\mathbf{k+q} \downarrow}$ and $\Phi^\dagger_{\mathbf{q}}(\mathbf{q}) = \sum_{\mathbf{k}} c_{\mathbf{k}+\mathbf{q} \uparrow}^\dagger - c_{\mathbf{k+q} \downarrow}^\dagger$ are the pairing and density operators, respectively, $V(\mathbf{q})$ is the Coulomb potential, and $U > 0$ is the SC coupling.

To better describe the SC pairing introduced by $H_P$ it is useful to represent the fermions via the Nambu spinor $\Psi_k = (c_{\mathbf{k}+\mathbf{q}}^\dagger, c_{\mathbf{k+q}}^\dagger \downarrow)^\dagger$. With this formalism the BCS Matsubara Green’s function in the SC state is the $2 \times 2$ matrix:

$$
G_0(\mathbf{k}, i\nu_n) = -\int_0^{1/T} d\tau \langle T \Psi^-_{\mathbf{k}}(\tau) \Psi^+_{\mathbf{k}}(0) \rangle e^{i\nu_n \tau} = \frac{i\nu_n \sigma_0 + \xi_k \sigma_3 - \Delta \sigma_1}{(i\nu_n)^2 - E_k^2}, \tag{A4}
$$

where $\nu_n = \pi T (2n + 1)$ are fermionic Matsubara frequencies, the $\sigma_i$ are the Pauli matrices, $E_k = \sqrt{\xi_k^2 + \Delta^2}$, and $\Delta$ is the SC gap, determined as a solution of the self-consistent mean-field equation:

$$
1 = UN\Pi, \tag{A5}
$$

with $N$ the density of states evaluated at the Fermi level and $\Pi = \int_0^{\omega_D} d\xi \tanh(E(\xi))/E(\xi)$. Here $\omega_D$ represents the Debye frequency for the standard phonon-mediated superconductivity, but more generally provides an upper cutoff for the SC interaction for any pairing mechanism, as, e.g., the one provided by the exchange of spin fluctuations in FeSC.

To introduce the dynamics in the model (A1) we use the path-integral formulation, by defining the imaginary-time action for the fermions:

$$
S[\Psi, \Psi^\dagger] = \int_0^{1/T} d\tau [\Psi^\dagger_{\mathbf{k}}(\tau) \partial_\tau \Psi_{\mathbf{k}}(\tau) + H], \tag{A6}
$$

from which the partition function is given as the functional integral: $Z = \int D[\Psi, \Psi^\dagger] e^{-\frac{1}{\beta}S[\Psi, \Psi^\dagger]}$.

In order to perform the integration over the fermions we use the standard Hubbard-Stratonovich (HS) technique [7–9, 30], which requires the introduction of bosonic fields to decouple the fermionic interaction terms. In this case, the presence of
the two-particle interaction terms $H_P$ and $H_C$ requires us to introduce a complex field $h$, which couples to the pairing operator $\Phi_A$ and represents the fluctuations of the SC order parameter around $\Delta$, and a real field, $\rho$, which couples to the density operator $\Phi_\rho$ and represents the density fluctuations. It is worth noting that the choice of the HS decoupling of an interacting model as in Eq. (A1) is not unique, as it has been often discussed in the literature [7,8,42]. However, since we are interested here in deriving the Raman in the SC sector, in order to describe both the SC ground state and the SC fluctuations above it. In addition, as explained in Sec. II, the divergence of the long-range potential $V(q)$ plays a crucial role in determining the screening of the Raman response, so we added explicitly the term (A3) where the momentum dependence of the density-density interaction, absent in Eq. (A2), is taken into account. Once the HS decoupling is performed the action is Gaussian in the fermionic fields, which can be explicitly integrated out. We are then left with the effective action for the HS fields only:

$$Z = \int D[h,h^\dagger,\rho]e^{-S_{\text{eff}}[h,h^\dagger,\rho]},$$  \hspace{1cm} (A7)

where

$$S_{\text{eff}}[h,h^\dagger,\rho] = S_{\text{MF}}(\Delta) + S_{\text{FL}}[h,h^\dagger,\rho],$$  \hspace{1cm} (A8)

is the mean-field action, which is stationary for $\Delta$ satisfying the BCS equation (A5), and $S_{\text{FL}}$ is the fluctuating action of the HS fields:

$$S_{\text{FL}}[h,h^\dagger,\rho] = \sum_q \left[ \frac{|h(q)|^2}{U} + \frac{|\rho(q)|^2}{2V(q)} \right] + \sum_{n>1} \frac{\text{Tr}(G_0 \Sigma)^n}{n},$$  \hspace{1cm} (A10)

where $q = (i\omega_n,\mathbf{q})$, $\omega_n = 2\pi T n$ are bosonic frequencies and $\Sigma$ is the self-energy of the HS fields. Below the SC critical temperature $T_c \simeq 1.13 \omega^2 e^{-1/N U}$ one is always allowed to represent the $h$ field in polar coordinates: $h = |h|e^{i\theta}$. Since we are ultimately interested in the Raman response at $\mathbf{q} \rightarrow 0$, we can neglect from the beginning the fluctuations of the amplitude of $h$, since they do not couple to the phase/density ones in the dynamic limit due to the particle-hole symmetry [31,32]. Thus the HS self-energy $\Sigma$ reads:

$$\Sigma(k,k') = -i \sqrt{T}_R (k-k')\sigma_3 - i \frac{1}{2} \sqrt{T}_R \theta(k-k')$$

$$\times \left[ (k-k')\sigma_3 - (\xi_k - \xi_{k'})\sigma_0 \right]$$

$$- \frac{T}{2d} \sum_{q_1,q_2 \perp} \frac{\theta(q_1)\theta(q_2)}{\delta^2 \xi_k} \sin(q_1/2) \sin(q_2/2)$$

$$\times \delta(q_1 + q_2 - k + k') + O(\theta^3),$$  \hspace{1cm} (A11)

with $d = 2$ the spatial dimension.

By retaining only the harmonic terms we finally obtain the following low-momentum expansion of $S_{\text{FL}}$ [7–9]:

$$S_{\text{FL}} \simeq \frac{1}{2} \sum_q \left[ \left( \frac{\theta(q)}{V_q} - \chi_{\rho\rho}(q) \right) |\rho(q)|^2 \right.$$  

$$+ \frac{1}{4} \left( -\chi_{\rho\rho\sigma_3}^2 + D_q \chi_{\rho\rho}^2 \right) \theta(q)^2$$

$$\left. - \chi_{\rho\rho}(q)\rho(-q)i\omega_\theta \theta(q) \right\},$$  \hspace{1cm} (A12)

where $D_q$ is the superfluid stiffness. Since both the density and SC phase carry out a $\sigma_3$ structure in the Nambu space, see Eq. (A11), the fermionic susceptibilities that appear as coefficients of the action are all proportional to the charge susceptibility, defined in general as

$$\chi_{\rho\rho}(q) = T \sum_k \text{Tr}(G_0 (k + q)\sigma_3 G_0(k)\sigma_3),$$  \hspace{1cm} (A13)

and its $q = 0$ value is given by Eq. (4).

To compute the Raman response function we introduce in the model (A1) a source term $\rho_R$ coupled to the Raman density operator $\Phi_\rho(q) \equiv \sum_\sigma \gamma_{k-q/2,\sigma}\xi^{\dagger}_{k+q/2,\sigma}$:

$$H \rightarrow H - \sum_q \rho_R(-q)\Phi_\rho(q).$$  \hspace{1cm} (A14)

The dynamic response function can then be obtained as a functional derivative with respect to the external field $\rho_R$; see Eq. (6) above. In the effective action formalism the field $\rho_R$ acts as an additional bosonic field in the self-energy $\Sigma$ of Eq. (A11), which now becomes:

$$\Sigma(k,k') \rightarrow \Sigma(k,k') - \sqrt{T}_R \rho_R(k-k')\gamma[(k + k')/2]\sigma_3.$$  \hspace{1cm} (A15)

Also the Raman field $\rho_R$ carries a $\sigma_3$ structure in Nambu space, a consequence of the fact that the Raman operator is a momentum-modulated density operator. The only difference in the fermionic susceptibilities appearing as coefficients in the effective action is in the $\gamma(k)$ factors entering the various bubbles (2)–(4) of Eq. (1) above, giving the action in the presence of Raman fluctuations. Since $V(q) \rightarrow \infty$ at long wavelengths, the $q = 0$ component of (1) reads

$$S_{\text{FL}} = \sum_q \left\{ |\rho_R|^2 \gamma_{R R}^2 + 2i\rho_R \chi_{R R} \rho + i\omega_\theta \rho^2 / 2 \right\} - \chi_{\rho\rho}(\rho + i\omega_\theta \rho^2 / 2)^2, $$  \hspace{1cm} (A16)

where we highlighted that the density and phase fluctuations act as a single field, appearing always as the combination $\rho + i\omega_\theta \rho^2 / 2$. This is an obvious consequence of the gauge invariance, which allows us to reduce the number of degrees of freedom by removing the field $\theta$ via the gauge transformation $\rho + i\omega_\theta / 2 \rightarrow \rho$. In this situation one immediately sees that the Raman response $\rho_R$ we are left with the effective action of the source field $\rho_R$ only:

$$S[\rho_R] = \sum_q |\rho_R|^2 \left( \gamma_{R R}^2 \chi_{\rho\rho} \chi_{\rho\rho} \right),$$  \hspace{1cm} (A17)

from which the functional derivative with respect to $\rho_R$, see Eq. (6), leads to the gauge-invariant result (8).
To prove that the result \( (6) \) is independent of the presence of long-range Coulomb interaction let us consider again the expression \( (1) \) for the effective action when \( V(q) \to V \) is replaced by a short-range repulsive potential. In this case the gauge transformation \( \rho + i\theta/2 \to \rho \) does not remove the coupling to the phase field. Indeed, after integration of the density field only one recovers the action:

\[
S_{FL} = \frac{1}{2} \sum_{q} \left\{ |\rho_{q}|^2 \left( \chi_{RR}^0 \chi_{\rho\rho}^2 - \frac{\chi_{RR}^2}{1 - V \chi_{\rho\rho}} - \frac{\chi_{\rho\rho} \alpha_{\rho\rho}}{1 - V \chi_{\rho\rho}} \right) \right\},
\]

(A18)

In this case, the coefficient of the \( |\rho_{q}|^2 \) term coincides with the expression \( (9) \), which is manifestly not gauge invariant. On the other hand, in Eq. (A18) the Raman density is still coupled to the phase field. If one then integrates \( \theta \) out it is easy to see that the gauge-invariant result \( (8) \) is once more recovered.

**APPENDIX B: DERIVATION OF THE RAMAN RESPONSE FOR THE TWO-BAND CASE**

As a microscopic model for a two-band superconductor we consider the straight generalization of \( (A1) \):

\[
H = \sum_{k,\sigma} \epsilon_{k+q/2,\sigma} c_{k+q/2,\sigma}^\dagger c_{k,\sigma} + \text{H}_{P} + \text{H}_{C},
\]

(B1)

\[
H_{P} = -\sum_{i,j,q} U_{ij} \Phi_{\Delta}^i(q) \Phi_{\Delta}^j(q),
\]

(B2)

\[
H_{C} = \sum_{q} V(q) \Phi_{\rho}^i(q) \Phi_{\rho}^j(q),
\]

(B3)

where \( i, j = 1,2 \) are the band indexes, \( \Phi_{\Delta}^i(q) = \sum_{k} \epsilon_{k+q/2,i} c_{k+q/2,i}^\dagger \) and \( \Phi_{\rho}^j(q) = \sum_{k,j} \epsilon_{k+j-q/2,j} c_{k+j-q/2,j}^\dagger c_{k-j+q/2,j}^\dagger \) are the pairing and density operators, respectively, and \( \hat{U} = U_{ij} \) is the matrix of the SC couplings.

At mean-field level, the values of the gaps in each band are given by two coupled self-consistent equations:

\[
\Delta_{i} = \sum_{j} U_{ij} \Delta_{j} N_{j} \Pi_{j},
\]

(B4)

with \( N_{j} \) the density of the states of the \( j \)th band evaluated at the Fermi level.

The Hubbard-Stratonovich technique we used in the single-band model for deriving the effective action of the collective modes can be straightforwardly generalized to the case of a two-band system, with the foresight of introducing two complex HS fields, \( h_{1} \) and \( h_{2} \), representing the fluctuations of the SC order parameters in each band.

Defining \( \theta_{i} \) the phase of the field \( h_{i} \), the effective action of the phase and density fluctuations reads

\[
S_{MF}[\theta_{1}, \theta_{2}, \rho] = S_{MF}(\Delta_{1}, \Delta_{2}) + S_{FL}[\theta_{1}, \theta_{2}],
\]

(B5)

where

\[
S_{MF} = \sum_{ij} U_{ij}^{-1} \Delta_{i} \Delta_{j} - \sum_{i} \text{Tr} \ln [-G_{0,i}^{-1}]
\]

and

\[
S_{FL} \simeq \frac{1}{2} \sum_{q} \left( \chi_{RR_{ki}}^0 + \chi_{RR_{kj}}^0 \right) |\rho_{q}|^2 + 2i \rho_{q}(-q) \times \left( \chi_{RR_{ki},RR_{kj}} \phi_{RR_{ki}} \chi_{RR_{kj}} + \chi_{RR_{kj},RR_{ki}} \phi_{RR_{kj}} \chi_{RR_{ki}} \right) + \left( -\frac{i \omega_{n}}{2} \theta_{1}(-q), -\frac{i \omega_{n}}{2} \theta_{2}(-q), \rho(-q) \right) \times M(q) \left( \frac{i \omega_{n}}{2} \theta_{1}(q), \frac{i \omega_{n}}{2} \theta_{2}(q), \rho(q) \right),
\]

(B7)

\( M \) being the \( 3 \times 3 \) matrix:

\[
M(q) = \begin{pmatrix}
-\chi_{\rho_{i}i} + \frac{\epsilon + D_{q}}{q^2} & -\frac{1}{q^2} & -\frac{\epsilon + D_{q}}{q^2} \\
-\frac{1}{q^2} & -\chi_{\rho_{i}i} & -\frac{1}{q^2} \\
-\frac{\epsilon + D_{q}}{q^2} & -\frac{1}{q^2} & -\chi_{\rho_{i}i}
\end{pmatrix},
\]

(B8)

As has been discussed in Ref. [34], in the case of dominant interband pairing the derivation of Eq. (B7) is more involved, since in this case the matrix of the SC couplings \( \hat{U} \) admits a negative eigenvalue corresponding to the presence of an antibonding SC channel. In this case one can still implement the Hubbard-Stratonovich decoupling by introducing first a combination of the fermionic fields that allows one to impose a vanishing saddle-point value of the antibonding channel. Afterwards one can express back the fluctuations in terms of the collective modes in each band, obtaining the structure (B7) of the collective-mode action.

In the limit \( q = 0 \) one can notice that \( M(i \omega_{n}) \) is always singular, having \( (1,1,-1) \) as an eigenvector corresponding to...
the zero eigenvalue, as one can immediately check by summing the lines of the matrix (B8). This means that the description in terms of three degrees of freedom \( \theta_1, \theta_2, \rho \) is redundant and we can invoke the gauge invariance to remove one of them. To show this formally, it is useful to introduce the new variables

\[
\theta_G = \frac{\theta_1 + \theta_2}{2},
\]

\[
\theta_L = \frac{\theta_1 - \theta_2}{2},
\]

\[
\tilde{\rho} = \rho + \frac{i\omega_\rho}{2} \theta_G,
\]

where the subscripts \( G \) and \( L \) denote the Goldstone and Leggett phase mode, respectively, while \( \tilde{\rho} \) defines a gauge transformation of the field \( \rho \).

One can easily check that in the new frame the matrix \( M \) becomes

\[
M(q) = \begin{pmatrix}
0 & 0 & -\chi_{p_1,p_1} - \chi_{p_2,p_2} + 4 \frac{\xi}{\omega_\rho} & -\chi_{p_1,p_1} + \chi_{p_2,p_2} \\
0 & -\chi_{p_1,p_1} + \chi_{p_2,p_2} & 0 & -\chi_{p_1,p_1} - \chi_{p_2,p_2}
\end{pmatrix}.
\]

Then the field associated with the Goldstone mode \( \theta_G \) does not couple to any other field and \( S_{FL} \) reduces to a functional of the fields \( \theta_L \) and \( \tilde{\rho} \) only:

\[
S_{FL} \simeq \frac{1}{2} \sum_n \left\{ (\chi_{R,R_1} + \chi_{R,R_2})\rho_R(i\omega_n)^2 + 2i\rho_R(-i\omega_n)(\chi_{R,R_1} - \chi_{R,R_2})\chi_{R,R_1} + \chi_{R,R_2})\right\} \left( \frac{i\omega_n}{2} \theta_L(i\omega_n) \tilde{\rho}(i\omega_n) \right) + \left( -\frac{i\omega_n}{2} \theta_L(-i\omega_n), \tilde{\rho}(-i\omega_n) \right) \tilde{M}(i\omega_n) \left( \frac{i\omega_n}{2} \theta_L(i\omega_n) \tilde{\rho}(i\omega_n) \right)
\]

where \( \tilde{M} \) is the 2x2 matrix:

\[
\tilde{M}(i\omega_n) = \begin{pmatrix}
-\chi_{p_1,p_1} - \chi_{p_2,p_2} + 4 \frac{\xi}{\omega_\rho} & -\chi_{p_1,p_1} + \chi_{p_2,p_2} \\
-\chi_{p_1,p_1} + \chi_{p_2,p_2} & -\chi_{p_1,p_1} - \chi_{p_2,p_2}
\end{pmatrix},
\]

which becomes singular at \( i\omega_n = \omega_L \), where \( \omega_L \) is the Leggett frequency, given by the solution of

\[
\omega_L^2 = F_L(i\omega_n), \quad F_L(i\omega_n) \equiv -\kappa \frac{\chi_{p_1,p_1}(i\omega_n)\chi_{p_2,p_2}(i\omega_n)}{\chi_{p_1,p_1}(i\omega_n) + \chi_{p_2,p_2}(i\omega_n)} \approx \kappa \frac{N_1 F_1(i\omega_n) + N_2 F_2(i\omega_n)}{N_1 N_2 F_1(i\omega_n) F_2(i\omega_n)},
\]

which coincides with Eq. (23) above.

From Eq. (B17) one immediately sees that the coupling to the Legget \( \theta_L \) and to the charge \( \rho \) fluctuations is dictated by the same susceptibilities \( \chi_{R,p} \), even though combined with different signs. If one integrates out only the density modes it is straightforward to see that the coefficient of the \( |\rho_R|^2 \) field becomes Eq. (13), as stated in Refs. \{12–14\}. Thus, for parabolic bands having equal DOS and opposite character \( \chi_{R,p} = -\chi_{R,p} \), and the coupling to the density mode cancels out. However, the coupling to the Leggett mode cannot be removed, since it is maximum under the same condition. This is expected on physical grounds, since in this case the Raman operator is proportional to relative density fluctuations between the two bands, which are conjugated to the Leggett fluctuations.

To obtain the full Raman response function one should then integrate both the fields \( \theta_L \) and \( \tilde{\rho} \), obtaining the effective action of \( \rho_R \) only:

\[
S[\rho_R] = \frac{1}{2} \sum_q |\rho_R|^2 \chi_{RR},
\]

with

\[
\chi_{RR} = (\chi_{R,R_1} + \chi_{R,R_2}) + (\chi_{R,p_1} - \chi_{R,p_2})\chi_{R,p_1} + \chi_{R,p_2})\tilde{M}^{-1}(\chi_{R,p_1} + \chi_{R,p_2})
\]

\[
= \left( \chi_{R,R_1} + \chi_{R,R_2} \right) - \frac{(i\omega_n)^2 (\chi_{p_1,p_1} \chi_{p_2,p_2} + \chi_{p_2,p_2} \chi_{p_1,p_1})}{(i\omega_n)^2 \chi_{p_1,p_1} \chi_{p_2,p_2} + \kappa (\chi_{p_1,p_1} + \chi_{p_2,p_2})^2},
\]

which gives back Eq. (19).

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