Effect of Autologous Serum Eye Drops in Patients with Sjögren Syndrome-related Dry Eye: Clinical and In Vivo Confocal Microscopy Evaluation of the Ocular Surface

FRANCESCO SEMERARO, ELIANA FORBICE, GIUSEPPE NASCIMBENI, MARCO TAGLIETTI, VITO ROMANO, GERMANO GUERRA, and CIRO COSTAGLIOLA

1Ophthalmology Clinic, Department of Medical and Surgical Specialties, Radiological Specialties and Public Health, University of Brescia, Brescia, Italy; 2Rheumatology and Clinical Immunology Unit, University of Brescia, Brescia, Italy; 3Department of Corneal and External Eye Diseases, St. Paul’s Eye Unit, Royal Liverpool University Hospital, Liverpool, U.K.; 4Anatomy Institute, 5Eye Clinic, Department of Medicine & Health Sciences, University of Molise, Campobasso, Italy; 6IRCCS Neuromed, Pozzilli, Isernia, Italy

Abstract. Aim: To evaluate in vivo changes after therapy using autologous serum (AS) eye drops in Sjögren’s syndrome (SS)-related dry eyes by confocal microscopy. Patients and Methods: In this study, 24 patients with SS-related dry eyes [12 in AS eye drop therapy and 12 in artificial tear (AT) therapy] and 24 healthy volunteers were recruited. Ocular Surface Disease Index (OSDI), central corneal thickness, tear film, break-up time, corneal and conjunctival staining, Schirmer’s test and corneal confocal microscopy were investigated. Results: Tear production, tear stability, corneal staining, inflammation, and central corneal thickness, Langerhans cells, activated keratocytes, intermediate epithelial cell density, nerve tortuosity, number of sub-basal nerve branches, and number of bead-like formations differed between patients and controls (p<0.0001). The AT and AS groups differed in the OSDI, number of branches, and number of beadings (p<0.0001). Conclusion: AS eye drops improve symptoms and confocal microscopy findings in SS-related dry eyes.

Aqueous-deficient dry eye (DE) may be subdivided into Sjögren’s syndrome-associated dry eye (SSDE), and non-Sjögren’s syndrome-associated dry eye (NSSDE), that is mainly age-related (1). Although not as common as age-related DE, SSDE is considered the prototype for dry eye disease due to its severity (2). Sjögren’s syndrome is a chronic inflammatory autoimmune disease which affects the lacrimal and salivary glands. Confocal microscopy is increasingly used in in vivo studies of morphological changes of the cornea and conjunctiva in a wide range of physiological and pathological states (3, 4). It has also been used in several studies to investigate alterations in the sub-epithelial plexus, epithelium, and stroma of the corneas of patients suffering from DE disease (2, 4-7). In vivo confocal microscopy (IVCM) has proven to be capable of identifying different corneal structural aspects, which are considered pathognomonic of DE disease and may be useful in monitoring the disease and the effectiveness of therapy. IVCM has allowed the study of the nerve plexus, where it highlighted the presence of corneal neuropathy and was also able to highlight, in corneas of patients with DE disease, other fundamental parameters indicative of corneal pathology, such as a reduced number of epithelial cells, increase of Langerhans cells and activated keratocytes (2, 5-7).

The use of autologous serum (AS) for treating ocular surface disorders began in 1970 to treat ocular alkali burns (8). To date, it has been used for the treatment of different diseases of the ocular surface, such as persistent epithelial defects (9-15), DE (16-23), neurotropic keratopathy (21), recurrent erosion syndrome (22, 23), superior limbic kerato-conjunctivitis (24), and chemical injuries (25, 26). Human serum presents strong similarity to natural tears and contains many important components including: i) epithelial growth factor, which accelerates epithelial cell migration and has anti-apoptotic effects (27); ii) transforming growth factor-β.
(TGFβ), involved in the epithelial and stromal repair process (28); iii) vitamin A, which may prevent epithelial squamous metaplasia (29) and modulate the expression of thrombospondin 1 (30); iv) thrombospondin 2, vascular endothelial growth factor A, and metalloproteinase 9, which together with TGFβ promote wound healing (31); v) albumin, which has anti-apoptotic activity (32); and vi) α-2 macroglobulin, which exhibits anti-collagenase activity; and fibronectin, which is important in cell migration (4, 33).

Additionally, AS also contains neuronal factors such as substance P and insulin-like growth factor 1, which may have a role in corneal epithelial migration and adhesion (34). Moreover, AS contains immunoglobulins (Ig) such as IgG and IgA, and lysozyme, which provide bactericidal and bacteriostatic effects (35). It is also free of preservatives (36) which could potentially induce toxic or allergic reactions (37), and its osmolality and biomechanical properties are similar to those of natural tears.

In a previous study, we recorded a significant improvement in the signs and symptoms after therapy with eye drops in patients with different ocular surface disorders (25). The main objective of this study was to investigate the mechanisms by which AS eye drops are able to ameliorate alterations in the corneal epithelium and sub-basal nervous plexus in patients with SSDE.

**Patients and Methods**

**Patients.** The study was conducted from January 2013 to July 2014. Twenty-four patients with SSDE were recruited from the Cornea Unit of Spedali Civili Hospital (Brescia, Italy). The patients were divided into two groups according to the assigned treatment: 12 patients were treated with AS eye drops (AS group) (all women; mean age=54.00±9.88 years); and 12 patients with artificial tears (AT group) (all women; mean age=54.67±16.16 years). The division between the two groups was made in a randomized order assigning 12 patients observed before January 2013 to the AT group and the 12 patients from January to July 2013 to the AS group.

Twenty-four age-matched women were also recruited as controls (control group; all women; mean age=54.17±12.95 years).

Written informed consent was obtained from all participants after a detailed explanation of the procedure used and of the aim of this work. The Institutional Review Board of the Spedali Civili Hospital (Brescia, Italy) Ethics Committee approved the study (15A09709). The Declaration of Helsinki was followed. All patients had a detailed explanation of the procedure used and of the aim of this work. The Institutional Review Board of the Spedali Civili Hospital (Brescia, Italy) Ethics Committee approved the study (15A09709).

In a previous study, we recorded a significant improvement in the signs and symptoms after therapy with eye drops in patients with different ocular surface disorders (25). The main objective of this study was to investigate the mechanisms by which AS eye drops are able to ameliorate alterations in the corneal epithelium and sub-basal nervous plexus in patients with SSDE.

**Clinical evaluation.** The Ocular Surface Disease Index (OSDI) questionnaire was used to grade the symptoms of DE in participants (38). All participants underwent a complete examination of the ocular surface of both eyes, which included Schirmer’s test, TBUT, corneal and conjunctival staining, corneal thickness, and IVCM analysis of the central cornea.

Schirmer’s test was performed without anesthesia for 5 minutes with the patient’s eyes closed. TBUT was measured by instilling fluorescein into the inferior fornix and calculating the average of two consecutive breakup times. Corneal and conjunctival staining were evaluated using the Oxford scale after instillation of fluorescein under a yellow filter, and the Van Bijsterveld score was evaluated after instillation of lissamine green and white light. Corneal thickness measurements were performed using Pentacam (Oculus GmbH, Wetzlar, Germany).

A minimum of 10 images of the epithelium and anterior stroma were acquired sequentially, and the five images best in focus were analyzed. Images of the sub-basal nervous plexus of the central cornea were acquired by focusing the microscope beneath the basal epithelium. Approximately 10 images of the corneal sub-basal nervous plexus were acquired in the central cornea, and the five images demonstrating the most sub-basal nerve fibers were selected for quantitative analysis. Images of corneal sub-basal nerves were analyzed retrospectively using NeuronJ (Biomedical Imaging Group, Erasmus University Medical Center, Rotterdam, the Netherlands) by a single researcher. The images were acquired in two sizes and with a definition of 384×384 pixels, equivalent to an area of 400×400 μm.

The different parameters measured using confocal microscopy were as follows: Sub-basal corneal nerves (26, 27). Density of corneal nerve: This refers to the total length of nerve fibers visible within a frame, expressed in mm/mm². Number of sub-basal nerves: This refers to the total number of parallel nerves visible in one frame, expressed in number of fibers/mm². Number of sub-basal nerve branches: This refers to the sum of the number of nerve branches seen in one frame, and was manually calculated after elaboration with NeuronJ (Biomedical Imaging Group), and expressed as the number of branches/mm². Number of bead-like formations: This is defined as the number of such formations along 100 μm of fiber. Beads are highly reflective broadening portions of the sub-basal nerve. Tortuosity of nerves: Classified into four grades according to a previously validated scale (27).

**Epithelial cell density.** This was evaluated at the level of the basal epithelial and intermediate layers. In all cases, cell density was determined manually, using a cell counting procedure present in the NeuronJ software (Biomedical Imaging Group), taking into consideration the whole area marked as being available for the cell.
count. The cells partially contained in the area analyzed were counted only along the right and lower margins. The results are expressed as the number of cells/mm².

**Langerhans cells.** This refers to bright, corpuscular, or spicular elements that appear to be scattered among nerve fibers or within the corneal epithelium at the basal level, or in the sub-basal nerve plexus. The cell density was manually determined, and the results expressed as the number of cells/frame.

**Activated keratocytes.** This refers to hyper-reflective stromal cells with an elongated and dendritic appearance; the cell density was manually determined, and the results expressed as the number of cells/mm² (24).

**Statistical analysis.** All the data are expressed as mean±SD values. Comparisons between the control and the Sjögren groups and between the AS and AT groups were made using the *t*-test.

Linear regression analysis was performed to test statistical associations between DE tests and patient’s symptoms; DE tests and quantitative confocal microscopic variables; and within confocal microscope variables (*p*<0.01).

**Results**

**Clinical data.** The demographic and clinical data and the comparisons between the SS and control groups are shown in Table I. No significant differences were present in the demographic data between the two groups.

All the patients with SS had significantly more symptoms compared to the control group, as assessed by the OSDI (*p*<0.0001).

Tear production was significantly lower in patients of the AS and AT groups than in controls (*p*<0.0001). Additionally, tear stability was significantly lower in these patients than in the controls (*p*<0.0001). Corneal fluorescein and lissamine staining was demonstrated as severe in patients with SS, but absent from the control group (*p*<0.0001). Moderate and severe inflammation was present in all patients with SS with disease than in the control group (Langerhans, *p*<0.0001; activated keratoctyes, *p*<0.0001; keratocytes, *p*<0.0001).

**Confocal microscopy.** Table III shows the results of the confocal microscopy data for the SS and control groups.

| Parameters                  | DE group  | Control group | *p*-Value |
|-----------------------------|-----------|---------------|-----------|
| Patients, n                 | 24        | 24            |           |
| Gender, female              | 12        | 12            |           |
| Age, years                  | 54.3±11.49| 48.8±6.50     | 0.076     |
| Disease duration, years     | 13.3±5.57 | -             |           |
| OSDI, AU                    | 19.46±7.02| 1.00±0.59     | <0.0001   |
| Schirmer test, AU           | 1.69±0.47 | 0.00±0.00     | <0.0001   |
| TBUT, s                     | 7.85±1.64 | 12.67±1.63    | <0.0001   |
| Oxford scale, AU            | 1.08±1.02 | 0.00±0.00     | <0.0001   |
| Conjunctival inflammation, AU | 1.46±0.65 | 1.00±0.00     | 0.001     |
| Corneal thickness, μm       | 549.08±29.51| 593.83±8.45  | <0.0001   |

**AU:** Arbitrary units; **OSDI:** Ocular Surface Disease Index; **TBUT:** tear film break-up time.

**Table II. Demographic and clinical test results in artificial tear (AT) and autologous serum (AS) groups.**

| Parameter                  | AT group | AS group | *p*-Value |
|----------------------------|----------|----------|-----------|
| Patients, n                | 12       | 12       |           |
| Gender, female             | 12       | 12       |           |
| Age, years                 | 54.00±7.27| 54.67±15.41| 0.89     |
| Disease duration, years    | 12.29±6.37| 14.50±4.93| 0.34     |
| OSDI, AU                   | 23.29±5.14| 15.00±4.46| 0.0027   |
| Schirmer test, AU          | 1.71±0.47| 1.67±0.49 | 0.8       |
| TBUT, s                    | 7.00±1.47| 8.83±1.27 | 0.025     |
| Oxford scale, AU           | 0.71±0.91| 1.51±1.00 | 0.05      |
| Conjunctival inflammation, AU | 1.29±0.47| 1.67±0.78 | 0.14      |
| Corneal thickness, μm      | 558.57±35.81| 538.00±14.67| 0.076    |

AU: Arbitrary units; **OSDI:** Ocular Surface Disease Index; **TBUT:** tear film break-up time.

Statistically significant differences were found in tortuosity of nerves (*p*<0.0001), number of sub-basal nerve branches (*p*<0.0001), and number of bead-like formations (*p*<0.0001) in the comparison between patients and the controls. In relation to the number and density of sub-basal nerves, no significant differences were observed between patients with DE disease and the control group (number, *p*<0.02; density, *p*<0.51).

Intermediate epithelial cell density was significantly low in patients with DE disease than in the control group (*p*<0.0001). The basal epithelial cell density shows non-statistically significant differences between the two groups (*p*<0.17). Langerhans cell and activated keratocytes were found at a significantly lower frequency in patients with DE disease than in the control group (Langerhans, *p*<0.0001; keratocytes, *p*<0.0001).
The results and the comparison between the AS and AT groups are shown in Table IV. There were no differences between the confocal data of the AT and AS groups, with exception of the number of branches (p < 0.0001) and the number of beadings (p < 0.0001), which were lower in the AS group.

**Correlation.** As significant correlation was found between patients’ symptoms and standard dry eye test such as TBUT, Schirmer’s test. The dry eye test results also correlated with each other: TBUT vs. Schirmer’s test, TBUT vs. lissamine, fluorescein vs. lissamine. Central corneal thickness showed significant correlation with the Schirmer’s test, fluorescein staining, and lissamine staining (Tables V and VI).

Confocal data correlated with clinical data; the patients’ symptoms in the OSDI test correlated with the number of branches, the number of beadings, the tortuosity of nerves, the intermediate epithelial cell density, and the number of activated keratocytes. TBUT correlated with the number of beadings, the tortuosity of nerves, the intermediate epithelial cell density, and the number of activated keratocytes. Schirmer’s test results correlated with the number of beadings, the tortuosity of nerves, the intermediate epithelial cell density, the number of activated keratocytes, and the number of activated Langerhans cells. Lissamine staining correlated with the density of the intermediate epithelial cells and the number of activated keratocytes.

Confocal data also correlated with each other; the number of beadings correlated with the number of branches and the tortuosity of nerves. The number of activated keratocytes correlated with the number of activated Langerhans cells.

**Discussion**

In a previous study, we found that patients with DE disease of different etiologies showed improvement in both clinical signs and symptoms after therapy with AS eye drops (24). In the present study, our objective was to demonstrate the changes occurring in the ocular surface after use of AS eye drops. For this, we supplemented the usual clinical tests with the IVCM study of the cornea; in fact, it has been demonstrated that traditional clinical diagnostic methods are
unsatisfactory and limited in the evaluation of DE disease. The use of IVCM introduces a new approach to the study of corneal morphology, offering resolutions comparable to those obtained in histological examinations, but in a non-invasive, safe and reproducible way (26, 27).

We analyzed the symptoms, clinical signs, and confocal aspects of patients receiving treatment with AS eye drops, compared to those being treated with AT. The data from these two groups were compared to data of healthy controls. In order to acquire more consistent and unequivocal data, we decided to evaluate only patients with a single etiology of DE, i.e. SSDE, which is considered the prototype of DE.

Our study shows that the symptoms reported using OSDI are closely related with the severity of the clinical signs in patients suffering from SS; similar to our findings, one previous study found a surprising association between the OSDI evaluation and some clinical signs in patients with SS (6). However, previous literature does not show a unique relationship between these aspects and, most articles emphasize a scant association between the signs and symptoms in DE disease (28-30, 37), while few others report occasional associations (2, 31) or inverse correlations (32). Such heterogeneous data are probably due to the small sample size used in many past studies, and because of the characteristics of the different questionnaires used.
We also analyzed the corneal changes using IVCM. Corneal sub-basal nerves in patients with SDDE showed greater tortuosity, increased bead-like formations, and increased number of beadings. Such patients also exhibited a reduced number of epithelial cells, an increase in Langerhans cells and activated keratocytes, and a reduced corneal thickness. However, there is no agreement regarding IVCM findings in the literature. When evaluating sub-basal nerve density, some authors observed a decrease (2-10, 33, 34), while others found no change (35, 36), or, in accordance with our study, an increase in density (37). However, most of these studies were conducted on groups with unequal numbers of patients with SSDE and NSSDE, making the comparison between studies difficult. We have also found that the structural and functional alterations of the sub-basal corneal nerve were related to the severity of DE disease.

The tortuosity and the number of beads seemed to correlate with the data from the OSDI, TBUT, and Schirmer’s tests, but not with the results of vital staining, or with visible inflammation. In addition, the density of the epithelium correlated with OSDI, TBUT, Schirmer’s test, but also to lissamine staining. On the other hand, corneal thickness correlated with the results of vital staining with both lissamine and fluorescein. These associations are important because they highlight the fact that the symptoms correlate with lacrimal function and nervous morphology, and poorly with vital staining and conjunctival inflammation.

Significant correlations were also recorded between fiber tortuosity, superficial epithelial density and anterior activated keratocytes density (6). These correlations make sense because all of this data are deemed as an index of high metabolic activity, possibly directed to repair the alterations observed at the epithelial level (39). Benitez del Castillo et al. highlighted the key role played by keratocytes in the inflammatory process linked with DE disease (2). As a matter of fact, the activated keratocytes produce nervous growth factors that are a contribution to the processes of activation and reorganization of the nerve fibers of the sub-basal plexus (37). A past study revealed a significantly higher number of hyper-reflective stromal cells, believed to be keratocytes, in a specific stage of metabolic activation in patients with DE disease (5).

In addition to the number of activated keratocytes, the number of bead-like formations can also be interpreted as a confocal sign of ocular surface disease activity (7). The bead-like formations are characteristic of metabolically active nerve fibers containing transmitters, and the increased number found in DE disease cases indicates an attempt to improve the abnormal epithelial tropism (34, 36, 37, 40). The chronic inflammation and diminished volume and clearance of tears enriched with pro-inflammatory cytokines such as interleukin (IL)-1 and IL6 lead to the activation of keratocytes, which synthesize nerve growth factor and other factors of nerve growth. This effect explains why keratocyte activation is observed in the cornea of patients with DE disease, along with beadings and nerve sprouts.

As mentioned above, SS implies alterations in the epithelium (5, 6, 41). Surface morphological changes reflect the importance of the participation of epithelial cells in the inflammatory and immunologic process that characterizes keratoconjunctivitis sicca associated with SS. We found that in DE disease, the density of epithelial cells was lower compared to healthy eyes, possibly due to the enlargement of these cells during metabolic dysfunction (34, 36). The integrity of epithelial cells depends on the presence of intact innervation.

Another finding that proved to be in accordance with literature was the increase in Langerhans cells observed in our patients with DE disease (42). The mechanism underlying this finding is not yet fully understood, nor do we know how it links to the control of Langerhans cell migration and maturation in corneal epithelium. It was assumed that their migration and maturation are activated in response to any type of corneal irritation and pro-inflammatory stimulations (43, 44). Langerhans cells, serving as professional antigen-presenting cells, plays an important role in the immune surveillance of the eye (45). The higher density and more mature status of Langerhans cells in the central corneal epithelium of patients with aqueous tear deficiency may result from the inward migration of resident Langerhans cells at the limbus and peripheral cornea; it is likely they were rendered into a more mature phenotype by pro-inflammatory cytokines or chemokines.

Finally, another finding was that the thickness of the cornea was significantly reduced in our patients with DE disease, as in previous literature (6, 7, 40, 46–48). These changes occur for keratoconjunctivitis sicca in general (47) and for keratoconjunctivitis sicca combined with autoimmune disease (5, 36). One possible hypothesis for this thinning is that it is caused by increased apoptotic and proteolytic phenomena of the stroma. However, other authors consider it potentially to be the result of increased tangential forces that act on the epithelial surface, which can be abnormally irregular (16).

Therefore, the study was able to highlight the morphological IVCM corneal alterations in our patients and to show the efficacy of AS eye drops in symptom reduction. Nonetheless the most relevant data of this study are the changes of the ocular surface after AS therapy, data obtained with IVCM. In fact, we found that despite equally severe clinical signs (Schirmer’s test, TBUT, corneal staining and thickness results), the symptoms were significantly better in patients treated with AS eye drops than in patients treated with AT; in literature, this effect of AS eye drops on the symptoms has already described, but only a few studies have investigated the effect on the cornea of this therapy, and to our knowledge, no one has studied these changes using
IVCM. The use of this technique allowed us to analyze the response of corneal nerves and corneal cells after therapy with AS eye drops. We can say that in patients undergoing AS eye drop therapy, the diversity of symptoms was accompanied by no change in clinical signs (Schirmer’s test, TBUT, corneal staining and thicknesses), but there were some noticeable changes in structural IVCM data of the cornea, such as the number of bead-like formations and the number of sub-basal nerve branches.

One limitation of this study was that we did not take into account the degree of the severity of DE disease before the beginning of the therapy.

In conclusion, patients treated with AS eye drops, with the same results for Schirmer’s test, TBUT, corneal staining and thicknesses, have a lower OSDI than patients in AT therapy, associated with the better innervation in particular evidenced by fewer bead-like formations, and fewer sub-basal nerve branches. The major contribution to trophism given by a AS eye drops may explain this, as it leads to a reduction of neurotrophic disease. Matsumoto et al. showed that therapy with AS eye drops, thanks to nerve growth factor and surfactant proteins, can help in healing neurotropic keratopathy by facilitating nerve healing and epithelialization (49). This is also supported by investigations carried out by Lopez-Garcia et al. (50).

We justify the unchanged data of the Schirmer’s test and TBUT with the belief that AS eye drops are not able to act persistently on the quantity and constitution of tears. We explain the unchanged morphology of epithelium, and corneal vital staining with confocal microscopy and corneal sensitivity with noncontact esthesiometry in patients with dry eye. Invest Ophthalmol Vis Sci 48: 179-193, 2007.

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