On the formation of superoxide radicals on colloidal ATiO$_3$ (A = Sr and Ba) nanocrystal surfaces$^\dagger$

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Controlling the surface chemistry of colloidal semiconductor nanocrystals is critical to exploiting their rich electronic structures for various technologies. We recently demonstrated that the hydrothermal synthesis of colloidal nanocrystals of SrTiO$_3$, a technologically-relevant electronic material, provided a strong negative correlation between the presence of an O$_2$-related surface defect and hydrazine hydrate. When hydrazine is omitted during the aerobic hydrothermal synthesis, the surface defect is observed. However, it can be removed by either the addition of hydrazine hydrate or by purging the reaction solution with argon gas before the hydrothermal synthesis. We also propose that the formation of the O$_2$-related defect is mediated by the reduction of dissolved O$_2$ by lactate anions that are present from the titanium precursor. This work helps elucidate the nature of the O$_2$-related defect as a superoxide anion (O$_2$$^\cdot$) and presents a mechanism to explain its formation during the hydrothermal synthesis of SrTiO$_3$ and related BaTiO$_3$ nanocrystals.

Introduction

SrTiO$_3$ and BaTiO$_3$ are wide-gap semiconductors that have received much attention due to their rich defect chemistries that enable a wide range of electrical properties from insulating to metallic.$^1$ In addition to exhibiting prototypical quantum paraelectric behavior,$^2$ these semiconductors are also promising host lattices for visible-light photocatalytic H$_2$O splitting and CO$_2$ reduction,$^3-9$ sensors,$^{10,11}$ and memristors.$^{12-14}$ Recent advances in the colloidal synthesis$^{15-20}$ of ternary metal oxide nanocrystals (NCs) including SrTiO$_3$ and BaTiO$_3$ has generated further interest in the emergent phenomena that arise in these technologically-relevant materials when prepared with nanometer dimensions.

Most of the interesting applications of bulk SrTiO$_3$ results from the presence of two native n-type defects: oxygen vacancies (V$_{O}$) and self-trapped Ti$^{3+}$ ions. These defects increase the carrier density and mid-gap trapped states which give rise to tunable blue light emission$^{21}$ but also greatly influence light absorption in the visible region that has been shown to enhance photocatalytic performance in bulk powders.$^{22}$ For example, superoxide radicals (O$_2$$^\cdot$) present on the surface of Ti(n)-based metal oxides play an essential role in photocatalysis and degradation of organic pollutants.$^{23-25}$ These O$_2$$^\cdot$ are generally produced by post-synthetic treatments of reduced titanium oxide-based materials with molecular oxygen (O$_2$) or H$_2$O$_2$. Yu et al. generated surface O$_2$$^\cdot$ by injecting electrons from photoinduced excited states into adsorbed O$_2$ on the surface of colloidal TiO$_2$ nanoparticles.$^{26}$ Another potential use of O$_2$$^\cdot$ is the catalytic decomposition of H$_2$O$_2$ on the surface of titanium oxides$^{27}$ and oxidative coupling of alkyl radicals.$^{28}$ In most of these cases, the metal oxide surface needs to be activated prior to O$_2$$^\cdot$ formation by irradiation or suitable chemical treatments.

We recently reported the synthesis$^{29}$ of colloidal SrTiO$_3$ NCs by modified hydrothermal methods$^{15,17,18}$ that are readily suspended in non-polar solvents. Electron paramagnetic resonance (EPR) and optical spectroscopies showed that the SrTiO$_3$ NCs can display spectroscopic signatures that were assigned to an oxygen-related surface defect that is sensitive to the presence of hydrazine hydrate during synthesis. However, the role of hydrazine in the formation of this surface defect remained speculative. Hydrazine has been previously used as an additive during the hydrothermal synthesis of SrTiO$_3$ and BaTiO$_3$ NCs. Sun and co-workers have studied the absorption of hydrazine on the surface of BaTiO$_3$ nanoparticles.$^{28}$ Experimental and simulation analysis indicated that only amorphous BaTiO$_3$ particles are obtained without the addition of hydrazine. Similarly, Fujinami et al. claimed that SrTiO$_3$ NCs without cubic shape were obtained when synthesis was carried out in the absence of hydrazine.$^{27}$ However, our recent report presented evidence that near-cubic colloidal SrTiO$_3$ NCs can be prepared with or without the addition of hydrazine.$^{29}$
Herein we report a systemic investigation of this correlation between hydrazine and the presence of this surface defect in colloidal SrTiO₃ NCs. We hypothesize that the hydrazine may scavenge molecular O₂ inside the closed reaction vessel during synthesis, thus providing an inert atmosphere that would inhibit O₂⁻⁻ formation on the NC surface. To test the role of hydrazine, we performed the hydrothermal synthesis both with and without hydrazine prepared in ambient conditions, and also without hydrazine but under anaerobic conditions after purging the reaction solution with argon gas. Reactions performed with hydrazine or under anaerobic conditions produced the same observations and strongly suggest that hydrazine is indeed removing O₂ inside the closed reaction vessel. These experiments also strengthen our assignment of the surface-related defect species as O₂⁻⁻ ions for the SrTiO₃ NCs samples prepared aerobically without hydrazine. We propose that lactate ligands of the Ti(IV) precursor reduce O₂ to O₂⁻⁻ ions during the hydrothermal synthesis (200 °C and basic conditions). To support this mechanism and generality of O₂⁻⁻ formation and the importance of lactate ions, we prepared BaTiO₃ NCs from the same lactate-containing Ti(IV) precursors as well as a lactate-free precursor. O₂⁻⁻ defects are observed only in NCs prepared from precursor that contain lactate ligands under aerobic conditions. These results provide convincing evidence that the choice of precursor can lead to unique surface chemistry and the formation of O₂⁻⁻ defects on titanate-based NCs.

### Experimental

#### Materials

Strontium hydroxide octahydrate [Sr(OH)₂·8H₂O, 99%, Alfa Aesar], barium hydroxide octahydrate [Ba(OH)₂·8H₂O, 99.95%, Acros Organics], titanium(IV) bis(ammonium lactate) dihydrate (TALH, 50% in water, Alfa Aesar), titanium(IV) tert-butyl oxide (Ti(OBu)₄, 99%, Acros Organics), 1-butanol (99%, Acros Organics), barium hydroxide octahydrate (Ba(OH)₂·8H₂O, 99.95%, Alfa Aesar), titanium(IV) bis(ammonium lactate) dihydrate (TALH, 50% in water, Alfa Aesar), titanium(IV) tert-butyl oxide (Ti(OBu)₄, 99%, Acros Organics), 1-butanol (99%, Acros Organics), tetramethylammonium hydroxide (NMe₄OH, Acros Organics), sodium hydroxide (NaOH, Certified ACS, Fisher Chemical), hydrazine hydrate (99%, Acros Organics), oleic acid (90%, Fisher Chemical), oleylamine (50%, TCI America), ethanol (200 proof, PHARMCO-AAPER) and hexanes (optim grade, Fisher Chemicals) were all used as received.

#### Synthesis of colloidal SrTiO₃ NCs from TALH

Synthesis of colloidal SrTiO₃ NCs was carried out by the hydrothermal method as reported previously by our group. In a typical procedure, 1.25 mmol of each of TALH and Sr(OH)₂ were dissolved in 30 mL of distilled water in 45 mL Teflon-lined autoclave. The pH of the solution was then adjusted to 12.1 with a 10 M NMe₄OH solution followed by the addition of oleic acid (2.5 mmol). The reaction vessel was then sealed and heated to 200 °C in oven for 24 hours. The resulting product was washed with ethanol three times and suspended in hexanes. This procedure described above is referred herein as method-I.

Method-I was modified to prepare two different sets of colloidal NCs. In the first modification referred to as method-II, hydrazine hydrate (5 mmol) was added in reaction mixture before adding oleic acid. The pH of the solution remained at 12.1 after addition of hydrazine hydrate. The last modification, method-III, was identical to method-I but the reaction solution was purged with argon gas for 60 minutes prior to closing the Teflon-lined reaction vessel.

#### Synthesis of colloidal BaTiO₃ NCs from TALH

Synthesis of colloidal BaTiO₃ NCs was also carried out by a similar hydrothermal method and TALH precursor but included constant magnetic stirring. In a typical preparation, 1.5 mmol of each TALH and Ba(OH)₂ were dissolved in 24 mL distilled water followed by addition of 6 mL of NaOH (5 M). The reaction solution was then transferred to a 45 mL Teflon-lined autoclave and oleylamine (6 mmol) and oleic acid (6 mmol) were added. The pH of the reaction solution was 12. The sealed autoclave was placed in custom-made aluminum block housing that was heated to 215 °C and stirred constantly for 24 h using a stirring hotplate. After the synthesis, autoclave was cooled to room temperature and the solid product was collected, washed with EtOH, and dissolved in nonpolar solvents such as hexanes to produce a transparent solution. This procedure described above is referred herein as method-A. The method-A was modified to prepare another set of BaTiO₃ NCs referred as method-B. In this modification, hydrazine hydrate (5 mmol) was added before adding the oleylamine and oleic acid. Method-A and -B for BaTiO₃ mimics method-I and -II for the SrTiO₃ NCs.

#### Synthesis of BaTiO₃ NCs from Ti(OBu)₄

Synthesis of lactate-free BaTiO₃ NCs was done using an aerobic hydrothermal method adopted from literature. In a typical experiment, aqueous solutions containing 1 mmol of Ba(OH)₂ dissolved in 5 mL water and 12.5 mmol of NaOH dissolved in 5 mL water were mixed with 10 mL of 1-butanol containing 1 mmol Ti(OBu)₄ and 2.5 mL of oleic acid. The pH of the solution was 12.2. The resulting precursor solution was transferred to a 45 mL autoclave, closed under ambient conditions, and heated to 180 °C for 18 hours. The resulting product was collected, washed with EtOH several times and dispersed in non-polar solvents such as hexanes.

#### Physical characterization

All measurements were collected at room-temperature unless specified otherwise. Electronic absorption spectra were collected on colloidal suspensions in air-tight quartz cuvettes with 1 cm pathlengths (Cary 50 Bio). EPR spectra were measured at X-band frequency (9.6 GHz) with a Bruker Elexys-500 equipped with a Super High QE (ER4123SHQE) cavity. Transmission electron microscopy (TEM) images of NCs deposited onto copper grids (CF400-CU-50, Electron Microscopy Sciences) with a 3 nm carbon coating (JEOL TEM-2000FX). Powder X-ray diffraction patterns were collected in the Bragg-Brentano configuration with a Cu Kα source (Rigaku SmartLab SE). FTIR spectra were collected using Bruker Alpha-P equipped with a diamond attenuated total reflectance (ATR) crystal. High resolution electrospray ionization mass spectra (ESI-MS) were collected in negative ion mode with Bruker MicroTOF-II.
a typical sample preparation for ESI-MS, the aqueous reaction mixture from the hydrothermal synthesis was centrifuged to separate NCs from the rest of the water-soluble side-products. The aqueous supernatant was used for ESI-MS measurements with no further purification.

Results and discussion

The crystallinity and phase purity of the SrTiO3 NCs was confirmed by powder X-ray diffraction (see ESI†). All samples matched to cubic SrTiO3 in the Pm3m space group.12 The similar powder patterns among the samples suggests that modification of hydrothermal synthesis either by adding hydrazine hydrate or degassing the precursor solution has no effect on the crystalline phase or phase purity. TEM images also demonstrate cuboidal morphology of the SrTiO3 NCs prepared by method-III in agreement with previously reported NC shapes made by method-I or method-II (see ESI†).29

FTIR absorption measurements collected on SrTiO3 NCs prepared from each method are shown in Fig. 1. The prominent peaks at 1540 cm⁻¹ and 1450 cm⁻¹ correspond to the symmetric and asymmetric stretches of the bound carboxylate head group of the surface oleate ligand and is observed in each sample. Aliphatic bands from the oleate surface ligands also appear ca. 2900 cm⁻¹. All samples thus indicate the NC surfaces are passivated by oleate ligands within the sensitivity of the instrument. These spectra are similar to prior reports of SrTiO3 made in the similar method27 but at pH 13.5. There is no evidence of N–H stretches from hydrazine in the NCs prepared by method-II that would appear around 3400 cm⁻¹.33 This observation suggests that hydrazine is not being adsorbed on the surface of SrTiO3 NCs. Furthermore, hydrazine adsorption is not expected as it is known to decompose rapidly at this reaction temperature (200 °C) in the presence of oxygen to possible products of N₂ and H₂O.24 Fujinami et al. also observed essentially no change in pH of the reaction solution after SrTiO3 NC synthesis regardless of whether hydrazine was present, which was speculated to play a different role than decomposing to NH₄⁺.17

The electronic absorption spectrum of all colloidal SrTiO₃ NCs dispersed in hexanes is dominated by the band edge absorption at ca. 3.25 eV consistent with bulk SrTiO₃ (see Fig. 2).35 However, NCs prepared by the aerobic hydrothermal method-I also exhibit broad absorption throughout the entire visible region and appear reddish-brown (see color photographs shown in Fig. 2 inset). The broad sub-bandgap absorption is absent in SrTiO₃ samples prepared using either method-II or method-III.

The origin of the visible absorption in method-I NCs suggests the presence of defects with sub-bandgap levels. Native point defects such as VO or Ti³⁺ are also known to give rise to visible light absorption in bulk SrTiO₃. Electronic transitions from the valence band to different VO charge states have been observed between 450 and 550 nm.36-38 Rice et al. recently attributed a transition involving the VO to an absorption band at ca. 405 nm in reduced SrTiO₃ crystals.39 Similar VO defects have also been described as polarons. However, the electronic transition of the localized electron trapped on Ti⁴⁺ sites to the conduction band minimum is broad and centered in the near-IR region.40,41 To explore the origin of the brownish coloration of the SrTiO₃ NCs prepared by method-I, we carried out EPR spectroscopy measurements on all three types of SrTiO₃ NCs.

The room temperature, X-band EPR spectrum of the SrTiO₃ NCs prepared by method-I is shown in Fig. 3. These NCs exhibit a narrow resonance near 351 mT corresponding to g-value of 2.003. Upon addition of hydrazine (method-II) or purging the precursors with argon (method-III), this EPR signal disappears. Carter et al. have identified four types of oxygen-related defects on the surface of TiO₂ (anatase-type) using EPR spectroscopy and the signal at g = 2.003 was assigned to surface-adsorbed paramagnetic superoxide radicals (O₂⁻, S = 1/2).42 Those
authors also propose the formation involves electron transfer from trapped electrons at Ti³⁺ sites to surface-O₂ to produce O₂⁻. We also tentatively assigned the g = 2.003 signal to O₂⁻ in our initial report on the synthesis and characterization of SrTiO₃ NCs.²⁹ We also demonstrated in a more recent report that this g = 2.003 signal can be increased upon exposing photochemically reduced (Ti³⁺-rich) Cr³⁺-doped SrTiO₃ NCs to air.⁴¹ Others have also reported a TiO₂-based NCs treated with H₂O₂ that display similar absorption in the visible region originating from surface-adsorbed O₂⁻ defects.⁴²,⁴³ Based on the similarity to previous reports and observations,⁴¹,⁴² we attribute the reddish-brown coloration and EPR resonance at g = 2.003 observed in Fig. 2 and 3, respectively, to the presence of O₂⁻ radicals on the surface of SrTiO₃ NCs prepared under aerobic hydrothermal conditions (method-I). We further assign the visible absorption to defect-induced sub-bandgap states that give rise to charge transfer-like transitions.

Our working hypothesis is that the O₂⁻ ions are formed via reduction of dissolved O₂ during the hydrothermal synthesis. The lack of O₂⁻ formation when prepared by either method-II or method-III is thus presumably due to a very low O₂ content in the reaction solution during the hydrothermal synthesis. Despite these results, we do not have a direct mechanistic understanding of how the O₂⁻ is formed during synthesis. One outstanding question that we sought to answer is the identity of the electron donor. The O₂⁻ formation at TiO₂ surfaces is believed to proceed via electron transfer from Ti³⁺ sites to adsorbed O₂.⁴⁵,⁴⁶ In bulk SrTiO₃, oxygen vacancies are thought to exist between adjacent localized Ti³⁺ sites,⁴⁷,⁴⁸ which could serve as an electron donor. However, no spectroscopic evidence for the existence of V₀ and any localized Ti³⁺ defects were found in SrTiO₃ colloidal NCs. It is possible that these species are only formed under hydrothermal conditions during synthesis but suggests the presence of an alternative electron donors such as lactate ions.

The hydrothermal synthesis of SrTiO₃ NCs involves the hydrolysis of titanium(ν) bis(ammonium lactato) dihydroxide (TALH) as the titanium source under basic conditions. Möckel et al. have proposed that the hydrolysis of TALH to form TiO₂ NCs produces free ammonium lactate in neutral or slightly acidic conditions.⁴⁹ Seisenbaeva et al. have proposed hydrolysis of TALH forms a stable, tetrameric (NH₄)₄Ti₄O₄(lactate)₈ cluster that serves as a precursor to the formation of TiO₂ NCs under basic conditions.⁵⁰ In both of above mentioned references, lactate ions are commonly observed as hydrolysis by-product. Lactate ions have been shown to serve as electron donors in various chemical and biological systems to produce O₂⁻ and the corresponding by-product pyruvate anions as described by Scheme 1.

In Scheme 1, we depict ATiO₂ surface that is stabilized by oleate ligands under hydrothermal conditions (pH 12 and 200 °C). At this high temperature, we expect the surface ligands to rapidly exchange between bound and free. This dynamics surface would allow for O₂⁻ to bind to the surface in method-I and become stabilized upon cooling to room temperature. In the anaerobic cases, method-II and method-III, there is no direct way to produce O₂⁻ in solution and therefore rule out the possibility of O₂⁻ adsorption on NC surface.

To confirm the presence of lactate and pyruvate anions, we performed mass spectrometry analysis of reaction supernatants from the various syntheses without purification. Fig. 4 shows a zoomed in region of the negative ion mode ESI-MS spectra collected from all three methods. The dominant peak at m/z 89 is attributed to lactate ions which are formed in all three methods consistent with our hypothesis. Despite being weak, the presence of a minority peak at m/z 87 is detected in each of the samples and corresponds to pyruvate ions. When normalized to the lactate signals for the three methods, we detect about a three-fold higher relative intensity of pyruvate anion signal from the supernatant from method-I. While not absolutely quantitative, the ESI-MS results suggest this conversion yield is <1%. Despite this limitation, the observation is consistent with the proposed mechanism that at least some fraction of the lactate ions undergoes the conversion shown in Scheme 1.

Scheme 1 Proposed mechanism of superoxide formation and adsorption onto the dynamic ATiO₂ surface during the hydrothermal synthesis.
lower O$_2$ content in method-III precludes the O$_2^{--}$ formation which in turn can be supported by observing relatively a lower pyruvate concentration. However, the absence of any O$_2^{--}$ defects together with much lower pyruvate content in method-II questions the role of hydrazine in controlling the O$_2^{--}$ formation during hydrothermal synthesis.

Based on results above, hydrazine appears to control the formation of O$_2^{--}$ defects by limiting the available O$_2$ in the synthesis. Hydrazine has been used to remove O$_2$ in industrial-scale reactors for decades.$^{53,54}$ The reaction between hydrazine and O$_2$ produces N$_2$ and 2H$_2$O, which provides the inert atmosphere during synthesis as shown in Scheme 1.$^{14}$ Post-synthetic attempts to control the concentration of defects on the surface of the SrTiO$_3$ NCs prepared by method-I were also performed. When an excess of hydrazine hydrate is added to an aqueous mixture of defect-rich NCs and heated under aerobic hydrothermal conditions, no change in the appearance of the NCs was observed suggesting no change in surface defects (data not shown).

To test the generality of this approach, we extended the study to BaTiO$_3$ NCs using a similar hydrothermal-based synthesis with TALH and a literature solvothermal method (see ESI†). The room temperature EPR spectra of BaTiO$_3$ NCs prepared from all methods is shown in Fig. 5. Similar to the results for SrTiO$_3$, the appearance of a O$_2^{--}$ resonance at $g = 2.003$ is observed in BaTiO$_3$ samples prepared using TALH and air. The formation of these O$_2^{--}$ defects can be inhibited by adding hydrazine to remove O$_2$ from the reaction that is consistent with our proposed mechanism.

To confirm the critical role of lactate ions in the formation of these surface O$_2^{--}$ defects, we synthesized BaTiO$_3$ NCs from a lactate-free titanium precursor, Ti(Obu)$_4$, using similar hydrothermal conditions as used above with TALH.$^{31}$ The resulting lactate-free BaTiO$_3$ NCs are transparent below the band gap and do not display any detectable EPR signal as shown in Fig. 5 and in the ESI.$^{†}$ This result is entirely consistent with the critical role that lactate ions play in the formation of O$_2^{--}$ defects during hydrothermal synthesis of ATiO$_3$ and likely also TiO$_2$ NCs.

**Conclusions**

In conclusion, we have presented a systemic study of the surface defects formed during the hydrothermal synthesis of colloidal SrTiO$_3$ and BaTiO$_3$ NCs. We found that an electron transfer step presumably from lactate anions (from the Ti$^{4+}$ precursor) to dissolved O$_2$ may be the key step that leads to the formation of the surface-adsorbed O$_2^{--}$ ions under these reaction conditions (high pH and high temperature). These surface O$_2^{--}$ defects are EPR active and impart a broad feature throughout the visible region that produces a reddish-brown color to the NCs. We also provided evidence that the removal of dissolved O$_2$ by either (1) addition of hydrazine, (2) purging with argon, or (3) using lactate-free Ti(IV) precursors inhibit O$_2^{--}$ formation. These results shed light on the role of additives in the chemical synthesis of colloidal ATiO$_3$ NCs and we are currently investigating the generality of this approach to control the formation of O$_2$-related surface defects in other colloidal metal oxide NCs. Modification of these surface defects through post-synthetic treatments are currently underway.

**Conflicts of interest**

The authors declare that this research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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