NetCTLpan: pan-specific MHC class I pathway epitope predictions

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Abstract Reliable predictions of immunogenic peptides are essential in rational vaccine design and can minimize the experimental effort needed to identify epitopes. In this work, we describe a pan-specific major histocompatibility complex (MHC) class I epitope predictor, NetCTLpan. The method integrates predictions of proteasomal cleavage, transporter associated with antigen processing (TAP) transport efficiency, and MHC class I binding affinity into a MHC class I pathway likelihood score and is an improved and extended version of NetCTL. The NetCTLpan method performs predictions for all MHC class I molecules with known protein sequence and allows predictions for 8-, 9-, 10-, and 11-mer peptides. In order to meet the need for a low false positive rate, the method is optimized to achieve high specificity. The method was trained and validated on large datasets of experimentally identified MHC class I ligands and cytotoxic T lymphocyte (CTL) epitopes. It has been reported that MHC molecules are differentially dependent on TAP transport and proteasomal cleavage. Here, we did not find any consistent signs of such MHC dependencies, and the NetCTLpan method is implemented with fixed weights for proteasomal cleavage and TAP transport for all MHC molecules. The predictive performance of the NetCTLpan method was shown to outperform other state-of-the-art CTL epitope prediction methods. Our results further confirm the importance of using full-type human leukocyte antigen restriction information when identifying MHC class I epitopes. Using the NetCTLpan method, the experimental effort to identify 90% of new epitopes can be reduced by 15% and 40%, respectively, when compared to the NetMHCpan and NetCTL methods. The method and benchmark datasets are available at http://www.cbs.dtu.dk/services/NetCTLpan/.

Keywords MHC class I pathway · HLA · Pan-specific prediction · CTL epitope · MHC polymorphism

Introduction Cytotoxic T lymphocytes (CTLs) are a subgroup of T cells able to induce cell death of other cells. CTLs kill only infected or otherwise damaged cells. In order to discriminate between infected and healthy cells, all nucleated cells present host cell peptide fragments on the cell surface in complex with major histocompatibility complex class I molecules (MHC class I). Not all possible peptides originating from cell proteins will be presented by MHC class I. In fact, it is estimated that only one out of 2,000 potential peptides will be immunodominant (Yewdell and Bennink 1999). One of the first steps involved in MHC class I antigen presentation is the degradation of intracellular proteins, including proteins from the cytoplasm and nucleus, by the proteasome (Larsen et al. 2007; Paz et al. 1999; Craiu et al. 1997; Altuvia and Margalit 2000; Mo et al. 1999; Stoltze et al. 1998; Juncker et al. 2009). These peptides may be trimmed at the N-terminal end by cytosolic exopeptidases (Lévy et al. 2002). A subset of the peptides is transported by transporter associated with antigen processing (TAP)
complex into the endoplasmic reticulum (ER), where further N-terminal trimming occurs (Ritz and Seliger 2001; Koch et al. 2004; van Endert et al. 1994; Schatz et al. 2008). Inside the ER, a peptide may bind to an MHC class I molecule and the peptide–MHC complex will be transported to the cell surface, where it subsequently may be recognized by CTLs. These successive steps from protein to ligand presented on the cell surface are limiting the number of possible epitopes. The most restricting step in antigen presentation is peptide binding to MHC class I molecule (Yewdell and Bennink 1999).

Reliable predictions of immunogenic peptides can minimize the experimental effort needed to identify epitopes. We have previously described a method, NetCTL (Larsen et al. 2007, 2005), integrating MHC class I binding, TAP transport efficiency, and proteasomal cleavage predictions to an overall prediction of CTL epitopes. The NetCTL method has proven successful in identification of CTL epitopes from, for instance influenza (Wang et al. 2007), HIV (Pérez et al. 2008), and Orthopoxvirus (Tang et al. 2008). Several other groups have developed methods for CTL epitope identification by integrating steps of the MHC class I pathway (MAPPP, Hakenberg et al. 2003; WAPP, Dönes and Kohlbacher 2005; EpiJen, Doytchinova et al. 2006; MHC-pathway, Tenzer et al. 2005). All these methods are limited by the fact that they only allow for prediction of peptide binding to a highly limited set of different MHC molecules. In a large-scale benchmark evaluation of publicly available server of MHC class I pathway presentation prediction, Larsen et al. (2007) showed that the NetCTL method significantly outperformed all these methods, closely followed by MHC-pathway. The MHC-pathway method has recently been updated to include more accurate predictions of MHC binding and a broader allelic coverage (close to 60 human leukocyte antigen (HLA)-A and HLA-B alleles are covered by the default MHC-pathway method in the 2009-09-01 release). In contrast to this, the NetCTL method has not been updated since 2007, and the MHC binding prediction remains limited to the 12 common HLA supertypes (Lund et al. 2004). In the following, we describe an improved and extended version of NetCTL, called NetCTLpan, which is able to make predictions for all MHC class I molecules with known protein sequence. In addition, NetCTLpan can identify 8-, 9-, 10-, and 11-mer epitopes, as opposed to NetCTL, which only allowed for prediction of 9-mer epitopes. The method has been trained on a large data set of experimentally identified MHC ligands from the SYFPEITHI database (Rammensee et al. 1999).

Choosing a performance measure for evaluating a prediction method is a nontrivial task, and the definition of performance measure will often influence the benchmark outcome and subsequent choice of best method. A commonly used measure for predictive performance is the area under the receiver operating characteristic (ROC) curve, the AUC value. This measure integrates the sensitivity curve as a function of specificity for the range of sensitivity from one to zero. This measure might not be optimal if a prediction method is required to have a very high specificity in order to lower the false positive rate for subsequent experimental validation. In such situations, it could be beneficial to use only the high specificity part of the ROC curve to calculate the predictive performance. To match such requirements for a low false positive rate, we have therefore in this work focused on optimizing the method to achieve high specificity at a potential loss in sensitivity.

The predictive performance of the NetCTLpan method is validated on large and MHC diverse data sets derived from the SYFPEITHI (Rammensee et al. 1999) and Los Alamos HIV databases (http://www.hiv.lanl.gov/), and its performance has been compared to other state-of-the-art CTL epitope prediction methods.

It has been suggested that supertype-specific differences exist in how dependent MHC class I presentation of peptides is on transport via TAP molecules (Brusic et al. 1999; Anderson et al. 1993; Henderson et al. 1992; Smith and Lutz 1996) and proteasomal cleavage (Wherry et al. 2006). Likewise, it has been suggested that the rescaling procedure commonly used to correct for possible discrepancies between the allelic predictors (Sturniolo et al. 1999; Larsen et al. 2005, 2007) could mask genuine biological difference between MHC molecules and potentially lower the epitope predictive performance (MacNamara et al. 2009). In the context of the NetCTLpan method, we investigate to what extend such differences are observed in large data sets that are diverse with regard to both MHC restriction and CTL epitopes.

Materials

SYF data set

The SYFPEITHI database (Rammensee et al. 1999) was used as the source of MHC class I ligands. MHC class I binding peptides classified as ligands were downloaded in August 2009. Altogether, the database contained 2,966 HLA class I ligand pairs. Considering only ligands with length of 8 to 11 amino acids (the lengths for which the MHC class I binding predictor NetMHCPan can perform predictions), the data set consists of 2,752 unique HLA class I ligand pairs. Data used for training the individual MHC class I pathway predictors—MHC binding (Nielsen et al. 2007; Hoof et al. 2009), proteasomal cleavage (Nielsen et al. 2005), and TAP transport efficiency (Peters...
— was removed from the data set, downsizing it to 2,309 unique HLA class I ligand pairs.

Peptides in the data set with only serotypic HLA assignment were assigned to the most common HLA allele in the European population for this serotype (e.g., the serotype HLA-A*01 was assigned to the specific allele HLA-A*0101). The HLA allele frequencies were obtained from the dbMHC database (http://www.ncbi.nlm.nih.gov/mhc/). Subsequently, for every peptide, the source protein was found in the UniProtKB/Swiss-Prot database (Uniprot Consortium 2009). If more than one matching protein was a possible source for a peptide, the protein was selected with preference for human and long protein sequences. Peptides without corresponding source protein in UniProtKB/Swiss-Prot were searched against NCBI NR database (http://www.ncbi.nlm.nih.gov). These steps consequently resulted in the SYF data set consisting of 2,267 HLA class I ligand pairs with corresponding source proteins, where 226 ligands are 8-mers, 1,443 are 9-mers, 430 are 10-mers, and 168 ligands belong to the group of 11-mers. Note, that HLA-C ligands are included in these numbers. In the evaluation, HLA-C ligands are merged to a separate test set.

HIV data set

The same HIV data set has been used as for the paper describing the original NetCTL method (Larsen et al. 2007). For comparison reasons, the data set has not been updated. The data set is derived from the Los Alamos HIV database (http://www.hiv.lanl.gov/). It consists of 216 HLA class I ligand pairs with corresponding source proteins covering the 12 supertypes (Lund et al. 2004).

Training and test sets

Each of the HLA alleles in the SYF data set was assigned a supertype association using the distance measure described by Nielsen et al. (2007). In short, an HLA allele was associated to the most similar supertype defined in terms of the correlation coefficient between NetMHCpan prediction scores for 1,000,000 random natural 9-mer peptides for the HLA allele in question and any of the 12 supertype representatives (Larsen et al. 2005). In a few cases (less than ten), the supertype association was ambiguous. In these cases, the association was assigned by applying the classification from the work by Sidney et al. (2008). The associated supertypes for each HLA class I allele are shown in Supplementary Table S1. Some supertypes in the 9-mer SYF data set contain more HLA class I ligand pairs than others. Only four out of the 12 supertypes had more than 100 HLA class I ligand pairs assigned. In order to minimize bias toward only a few supertypes, a training data set with maximum 50 randomly selected ligands per supertype was generated. For seven supertypes, it was possible to select 50 ligands for the training set, while the selection for the five remaining supertypes consisted of between 19 and 47 ligands. This results in a training set of 504 HLA class I ligand pairs. Remaining HLA-A and HLA-B ligands not included in the training data were assigned to a separate set used for evaluation. This evaluation set covers seven supertypes and consists of 889 9-mers. All HLA-A and HLA-B 8-, 10-, and 11-mer ligands were merged into another evaluation set, resulting in a total of 806 ligands. The HIV data set was used as a third independent evaluation set. The numbers of ligands per supertypes for the training and test sets are listed in Table 1. Finally, a set of 65 HLA-C ligands from the SYFPEITHI database of length 8–11 amino acids was used as a fourth evaluation set.

Methods

MHC class I affinity prediction

The current version of the pan-specific MHC class I binding prediction method, NetMHCpan-2.2 (Hoof et al. 2009), is an updated version of the original NetMHCpan method (Nielsen et al. 2007). It has been evaluated as the best pan-specific method in large benchmark study (Zhang et al. 2009 and is now including the extension to perform predictions for 8-, 10-, and 11-mer peptides (Lundegaard et al. 2008). NetMHCpan-2.2 was trained on a data set of 102,146 quantitative peptide–MHC affinity data points covering more than 100 distinct MHC molecules. The prediction server is available at http://www.cbs.dtu.dk/services/NetMHCpan-2.2/.

Table 1 Numbers of ligands per supertype in the training and test set

| Supertype | Train | Test 9-mer | Test 8-/10-/11-mer | HIV |
|-----------|-------|------------|---------------------|-----|
| A1        | 36    | 0          | 29                  | 5   |
| A2        | 50    | 208        | 94                  | 82  |
| A3        | 50    | 49         | 75                  | 41  |
| A24       | 19    | 0          | 5                   | 9   |
| A26       | 50    | 43         | 74                  | 2   |
| B7        | 50    | 8          | 57                  | 32  |
| B8        | 28    | 0          | 19                  | 5   |
| B62       | 47    | 0          | 27                  | 10  |
| B27       | 50    | 224        | 141                 | 3   |
| B39       | 50    | 21         | 36                  | 1   |
| B44       | 50    | 336        | 227                 | 16  |
| B58       | 24    | 0          | 22                  | 10  |
| Total     | 504   | 889        | 806                 | 216 |
TAP transport efficiency prediction

The prediction of TAP transport efficiency is based on the matrix method described in Peters et al. (2003). The method predicts TAP transport efficiency of peptides by a scoring method using only the C terminus and the tree N-terminal residues of a peptide. The contribution to the prediction score of the N-terminal residues is down-weighted by a factor of 0.2 in comparison with the score of the C terminus. In the original publication, the TAP transport efficiency score was computed as the average of the values for the 9-mer and its 10-meric precursor. Here, we extend this approach and predict the TAP transport efficiency score for peptides of length from 8 to 11 amino acids, as the average of the values for the original peptide and its precursor extended by one amino acid N-terminally. The matrix published in Peters et al. (2003) was modified as all values in the TAP scoring matrix were multiplied by a factor of −1, in order to have a high predicted value corresponding to high transport efficiency. This way the interpretation is consistent with the prediction of proteasomal cleavage and MHC class I binding affinity.

Proteasomal cleavage prediction

NetChop C-term 3.0 (Nielsen et al. 2005) was used for predicting cleavage sites. As in the original NetCTL publication, only the C-terminal cleavage score of a peptide was included.

Combined class I pathway presentation prediction—NetCTLpan

The NetCTLpan prediction value is defined as a weighted sum of the three individual prediction values for MHC class I affinity, TAP transport efficiency, and C-terminal proteasomal cleavage. Optimal relative weights on TAP transport efficiency and proteasomal cleavage were estimated using the training data set and based on the average AUC value per HLA class I ligand pair.

The AUC measure is a commonly used measure for quantitative tests and model comparison. AUC is the area under the ROC curve, summarizing the sensitivity as a function of 1—the specificity. The specificity is given as 1—the false positive ratio defined as the fraction of the number of correctly predicted nonligands relative to the total number of nonligands in the dataset (Lund et al. 2005). A specificity of 100% is interpreted as all nonligands are actually classified as nonligands. The sensitivity is the true positive rate (TPR) and is defined as the number of correctly predicted ligands relative to the total number of ligands in the dataset. The higher the TPR, the more actual positives are recognized. The AUC measure might not be optimal if a prediction method is required to have very high specificity in order to lower the false positive rate in subsequent experimental validations. In such situations, it is beneficial to use only the high specificity part of the ROC curve to calculate the predictive performance. Therefore, a search optimizing the AUC value integrated for specificities from 1 to x (AUCx), where x [0:1] was performed to optimize the method to achieve high specificity. High values of x will focus the method toward high specificity at a potential loss in sensitivity, whereas low values of x will result in equal focus on sensitivity and specificity.

When calculating the AUC value, the source protein was divided into overlapping peptides of the size of the given ligand. All peptides, except those annotated as ligands in either the complete SYFPEITHI or Los Alamos HIV databases, were taken as negative peptides (nonligands) and the given ligand was taken as positive. A perfect AUC value of 1.0 corresponds to the ligand having the highest combined score (NetCTLpan score) compared to all other possible peptides originating from the source protein.

Another important issue to resolve is how to calculate AUC values. Should it have been done per protein, where an AUC value is calculated for each ligand–HLA–protein triplet and the performance reported as the average AUC value over all triplets or should it have been made in a pooled way, where all peptide data for the different source proteins and HLA alleles are merged together before calculating the AUC value? Here, we suggest using the per-protein measure, since pooling data from different proteins and HLA alleles will place ligands in a nonbiological competition for presentation. The source proteins in the SYF ligand data sets have a length distribution varying from 36 to more than 8,000 amino acids. Applying the NetCTLpan method to our training set (most homogenous data set) shows a tendency for shorter proteins having a lower AUC_{0.1} than longer proteins. Proteins from our training set with length of 0–200 have a mean AUC_{0.1} of 0.817, whereas proteins longer than 200 AA have a mean AUC_{0.1} of 0.876. The Spearman’s rank correlation between the protein length and AUC_{0.1} values for the training data set is 0.15. This value is significantly different from random (p<0.001, exact permutation test). In a pooled evaluation, where source protein data are merged, the predictive performance would predominantly reflect the performance for the longer protein. Further, not all proteins are expressed in equal amounts within the cell and the presentation of peptides in complex with HLA molecules happens in competition with the four most different HLA-A and HLA-B molecules within a given host and not 46, as it would be the case, when all the HLA
alleles from the SYF training data set are pooled. Finally, it is becoming apparent that not all MHC molecules present peptides at the same binding threshold (Rao et al. 2009). This observation would make an evaluation, where data for different HLA alleles is pooled, highly problematic, as illustrated in Fig. 1. Here, a ROC curve is shown for a pooled set of 29 HLA-A*0101, 50 HLA-B*4402, and 31 HLA-B*5101 ligands using the NetCTLpan method. In addition, the allele-specific sensitivity (fraction of ligands identified) for each allele is shown as a function of the pooled specificity. The figure clearly demonstrates that different alleles dominate the ROC curve in different specificity ranges. At a specificity of 0.0025, for instance, 60% (66) of the 110 ligands are identified. Of these are 25 (86% of 29) HLA-A*0101, 32 (62% of 50) are HLA-B*4401, and only nine (29% of 31) are HLA-B*5101 restricted. At very high specificities, the ROC curve is thus predominantly shaped by the HLA-A*0101 data, at intermediate specificities values the curve is shaped by the HLA-B*4402 data, and finally at low specificity values, the HLA-B*5101 data defines the curve. This is clearly not an optimal way of evaluating an overall predictive performance of a prediction method that is aimed at achieving uniform prediction accuracy across a broad range of HLA alleles. To conclude, we find that the proposed triplet evaluation per ligand–HLA–protein evaluation constitutes the least biased approach to evaluate a prediction method with broad allelic coverage.

Results

The NetCTLpan method

The optimal weights on proteasomal cleavage and TAP transport efficiency were calculated for AUC fractions (AUCx) varying x from 0.05 to 1, with a step size of 0.05. With x equal to 1, this corresponds to the conventional AUC value calculation and the way of selecting optimal weights for the original NetCTL method. The result of this analysis is shown in Fig. 2. For an AUC fraction of 1, the optimal weights were zero on both proteasomal cleavage and TAP transport. This implies that NetMHCpan 2.2, the method used for predicting MHC class I binding affinity, has a very high performance and that adding predictions for proteasomal cleavage or TAP transport decreased the overall performance. Figure 2 illustrates that the more the method is focused on high specificity (low values of x), the higher the weights and thus importance of proteasomal cleavage and TAP transport predictions become. This is, however, achieved at a loss in sensitivity at low specificity values. Based on this observation, the best performing weights on proteasomal cleavage and TAP transport were selected using an AUC fraction of 0.1 as benchmark measure and were found to be 0.225 for cleavage and 0.025 for TAP. This selection of weights defines the NetCTLpan method. When interpreting the weights for cleavage and TAP, keep in mind that the contribution of the different prediction methods is not directly reflecting their relative biological contribution in the pathway.
A comparison of the ROC curves for NetMHCpan and our described method NetCTLpan is shown in Fig. 3. The overall AUC value for the NetMHCpan method is 0.980 and the corresponding AUC0.1 value is 0.852. For the NetCTLpan method, the overall AUC value is 0.976 and the corresponding AUC0.1 value is 0.869. These numbers and the graphs in Fig. 3 illustrate the improved specificity of the NetCTLpan method compared to NetMHCpan. Up to a specificity of 0.85, the ROC curve for NetCTLpan has a higher sensitivity than NetMHCpan, indicating that this method will identify more true ligands at a given specificity threshold. On the other hand, below a specificity of 0.85, the two ROC curves cross and the NetMHCpan method achieves the highest sensitivity. This crossover, however, happens at a very low specificity corresponding to a false positive rate of 0.15 (15% of the negative peptides are falsely classified as positive) and is of limited use when doing actual epitope discovery work, underlining the importance of optimizing the methods on high specificity.

Table 2 displays the comparison between NetCTLpan and NetMHCPan for the different data sets using both the overall AUC and AUC0.1 benchmark measures. Using the AUC0.1 measure, the NetCTLpan method has a significantly higher performance compared to NetMHCPan for all data sets. On the other hand, when comparing the overall AUC value, the two methods show comparable performance. Here, for the SYF data set, the NetMHCPan method has the highest performance, while for the HIV data set and the HLA-C test set, NetCTLpan performs best. So, if high sensitivity is essential (even at a cost in specificity), the NetMHCPan method should be preferred. In more common situations, where specificity is the more important issue, NetCTLpan should be the choice.

Table 2

| Data        | Measure | NetCTLpan | NetMHCPan | p value |
|-------------|---------|-----------|-----------|---------|
| Train (9)   | AUC     | 0.976     | 0.980     | 0.056   |
|             | AUC0.1  | 0.869     | 0.852     | 0.002   |
| Test (8/9/10/11) | AUC     | 0.977     | 0.979     | 0.273   |
|             | AUC0.1  | 0.863     | 0.855     | 0.002   |
| Test (HIV)  | AUC     | 0.933     | 0.920     | 0.028   |
|             | AUC0.1  | 0.612     | 0.593     | 0.106   |
| Test (HLA-C)| AUC     | 0.920     | 0.866     | <0.001  |
|             | AUC0.1  | 0.495     | 0.307     | <0.001  |

The performance values are calculated as average per protein AUC values over the corresponding data sets. p values are calculated by a paired t test excluding ties. The best performing method is, for each data set and performance measure, highlighted in bold.
In contrast to the NetCTL method, the NetCTLpan method does not use rescaling of predicted MHC class I affinities. Previously, rescaling has been used to make prediction values comparable between MHC class I molecules. It has been suggested that such a rescaling might remove genuine biological differences between MHC molecules and potentially lowers the epitopes predictive performance (MacNamara et al. 2009). To investigate, if the predictive performance of the NetCTLpan method is influenced when including rescaling, we defined a rescaling factor for each MHC allele and used that factor to rescale all MHC binding affinity values before integrating with proteasomal cleavage and TAP efficiency, this situation changes. Rescaling places all MHC binding predictions on a similar scale and hence similarity/redundancy could influence the performance estimates of the NetCTLpan method.

The training data set, for instance, consists of 504 HLA ligand pairs, but only 492 of these are unique peptides. The 9-mer test set consists of 889 9mer HLA ligand pairs, of which 802 are unique peptides. The training and 9-mer test sets share 42 identical ligands and three ligands with one mismatch, all coupled to different alleles. The training set contains four ligands identical with one mismatch. To investigate the impact on this data redundancy within the training data set and between the training and test data sets, we calculated the performance on redundancy-reduced data sets. The performance on the training set was calculated by removing duplicates and ligands with one mismatch and for the test set by excluding duplicates and ligands with one mismatch to ligands in the training data. Predictive performance was shown to be close to identical for both training and test set, suggesting that peptide redundancy plays a negligible role in our performance evaluation (see data in Supplementary Table S3).

MHC affinity rescaling

In contrast to the NetCTL method, the NetCTLpan method does not use rescaling of predicted MHC class I affinities. Previously, rescaling has been used to make prediction values comparable between MHC class I molecules. It has been suggested that such a rescaling might remove genuine biological differences between MHC molecules and potentially lowers the epitopes predictive performance (MacNamara et al. 2009). To investigate, if the predictive performance of the NetCTLpan method is influenced when including rescaling, we defined a rescaling factor for each MHC allele and used that factor to rescale all MHC binding affinity values before integrating with proteasomal cleavage and TAP transport efficiency. For each allele, the rescaling factor was determined as the 1 percentile score of the NetMHCpan method for a set of 1,000,000 random natural 9-mer peptides. An overall performance gain using rescaling as compared to not applying rescaling was observed if focusing on the overall AUC value (no rescaling AUC 0.976 versus rescaling AUC 0.978, p value 0.006, paired t test). For high specificity predictions (AUC0.1), however, the method without rescaling performed similar (AUC0.1 0.869) to the method using rescaling (AUC0.1 0.868) with a p value of 0.835. From these results, and to maintain potential biological differences in specificity between MHC molecules, we chose not to include rescaling in the NetCTLpan method. One might argue that rescaling versus non-rescaling cannot influence the performance of the NetCTLpan method, when the performance is calculated per ligand–HLA allele, as it is the case in this study. When focusing on MHC binding predictions alone, this is true and both methods give identical results. However, when integrated with proteasomal cleavage and TAP transport efficiency, this situation changes. Rescaling places all MHC binding predictions on a similar scale and hence

### Table 3: Supertype-specific weights benchmark

| Supertype | Weights | Train | Test (8/9/10/11) | Test (HIV) |
|-----------|---------|-------|------------------|------------|
|           | Cleavage | TAP   | Fixed Specific | p value    | Fixed Specific | p value | Fixed Specific | p value |
| A1        | 0.050    | 0.075 | 0.942 0.950     | 0.294      | 0.937 0.936   | 0.326   | 0.381 0.455   | 0.610 |
| A2        | 0.550    | 0.000 | 0.808 0.822     | 0.133      | 0.776 0.758   | 0.008   | 0.681 0.657   | 0.104 |
| A3        | 0.225    | 0.025 | 0.890 0.890     | 0.598      | 0.872 0.872   | (a)     | 0.648 0.648   | (a)   |
| A4        | 0.000    | 0.000 | 0.917 0.942     | 0.257      | 0.783 0.895   | 0.389   | 0.636 0.636   | 0.960 |
| A6        | 0.275    | 0.025 | 0.885 0.885     | 0.476      | 0.873 0.864   | 0.006   | 0.761 0.771   | 0.500 |
| B7        | 0.000    | 0.000 | 0.710 0.736     | 0.378      | 0.765 0.765   | 0.998   | 0.493 0.437   | 0.064 |
| B8        | 0.725    | 0.000 | 0.916 0.920     | 0.231      | 0.858 0.870   | 0.517   | 0.132 0.144   | 0.374 |
| B62       | 0.275    | 0.200 | 0.889 0.902     | 0.014      | 0.751 0.727   | 0.345   | 0.440 0.496   | 0.303 |
| B27       | 0.475    | 0.025 | 0.911 0.921     | 0.014      | 0.921 0.902   | 0.001   | 0.370 0.299   | 0.390 |
| B39       | 0.175    | 0.025 | 0.859 0.860     | 0.896      | 0.853 0.849   | 0.362   | 0.739 0.711   | (b)   |
| B44       | 0.100    | 0.025 | 0.859 0.868     | 0.127      | 0.885 0.896   | 0.001   | 0.636 0.631   | 0.845 |
| B58       | 0.025    | 0.025 | 0.959 0.963     | 0.399      | 0.820 0.887   | 0.161   | 0.774 0.882   | 0.128 |
| All       | 0.225    | 0.025 | 0.869 0.878     | 0.016      | 0.863 0.860   | 0.143   | 0.612 0.603   | 0.300 |

Optimal weights per supertype are shown. Performance is given as the average AUC0.1 value for each data set. Fixed weights for proteasomal cleavage and TAP transport efficiency are 0.225 and 0.025, respectively. The higher AUC0.1 value is highlighted in bold for each data set and supertype

(a) AUC0.1 values are equal for fixed and specific weights, (b) only one sample available for the given supertype
also places the relative weights on TAP and proteasomal cleavage on a similar scale across the set of MHC alleles. This is no longer the case if rescaling is left out. Here, alleles with low (predicted) binding affinity preference will have higher relative weights on TAP and proteasomal cleavage as compared to alleles with high binding affinity preference.

Supertype-specific weights on proteasomal cleavage and TAP scores

As mentioned earlier, previous work has suggested that different MHC molecules have different dependencies on TAP transport efficiency and proteasomal cleavage. Based on these observations, it seems natural to find allele-specific weights for TAP transport and proteasomal cleavage. Due to the small size of the training data set, we limited ourselves to a search for supertype-specific weights. For each supertype, we estimated the weights on proteasomal cleavage and TAP transport that give optimal average AUC0.1 values. Optimal weights per supertype and performance values for the different data sets can be seen in Table 3. It shows that relative large differences exist between the optimal weights across the different supertypes. Naturally, the average AUC0.1 for the training set is higher with supertype-specific weights as compared to the fixed weights (estimate for the complete training data set). Applying these weights resulted in an inconsistent pattern in performance gain across the different supertypes for the different test sets when compared to fixed weights. Only three supertypes (A24, B8, and B58) showed a consistent performance gain for the SYFPHITHI and HIV test sets using supertype-specific weights. This result strongly indicates that optimal weights per supertype are not reflecting biological differences but occur most likely due to overfitting. Note that we are not stating that proteasomal cleavage and TAP transport dependency could not vary between MHC molecules; we only state that based on our data, we cannot consistently reproduce such a differentiated dependency.

Comparison to NetCTL

The comparison of the performance between NetCTLpan and NetCTL is based on the 9-mer data sets, since NetCTL is only capable of predicting 9-meric epitopes. Table 4 shows the performance for NetCTLpan and NetCTL on the different data sets. For both SYF data sets, the NetCTLpan method significantly outperforms NetCTL. The HIV test set does not show NetCTLpan being significantly better than NetCTL. The HIV test set is supertype based, and the HLA restriction for each HIV epitope is assigned to the corresponding HLA supertype. This is in contrast to the SYF ligand data sets, where full typing HLA restriction is available for most ligands. One hundred nineteen out of 216 HIV peptide supertype pairs are, however, annotated in the Los Alamos HIV database with full typing for the HLA restriction. Using this additional information about the HLA restriction improves the mean AUC0.1 from 0.612 to 0.745 and the overall AUC from 0.933 to 0.959. Both measurements thus testify NetCTLpan as having a significantly better performance (both p values <0.001, paired t test) compared to NetCTL. These results clearly confirm earlier findings (Pérez et al. 2008; Hoof et al. 2009) of the importance of going beyond HLA supertypes and the use of full-type HLA restriction information when identifying MHC class I epitopes.

To determine the source of the strong gain in predictive performance between the NetCTL and NetCTLpan methods, we compared the predictive performance of the NetCTLpan method to that of NetCTL using the supertype representative for each HLA allele also for the NetCTLpan method. This analysis clearly shows (see Table 5) that the shift from supertype to allele-specific predictions is the main driving force behind the gain in predictive performance between NetCTL and NetCTLpan. In all benchmarks has the NetCTLpan ST (supertype-specific NetCTLpan method) a similar predictive performance to that of NetCTL.

Comparison to state-of-the-art MHC class I pathway prediction methods

Next, we compared the performance of the NetCTLpan method to the MHC-pathway method (Tenzer et al. 2005). This method has earlier been shown to be a state-of-the-art MHC class I pathway predictor (Larsen et al. 2005). Like the NetCTLpan method, this method integrates predictions of
MHC binding, C-terminal proteasomal cleavage, and TAP transport into a combined pathway presentation score. Here, we use the method with default parameters via the link http://tools.immuneepitope.org/analyze/html/mhc_processing.html.

The MHC-pathway method is not pan-specific and hence does not allow predictions for all HLA class I alleles used in our benchmark data. Further, it does not allow for predictions of 8- and 11-mer epitopes and only allows 10-mer epitope predictions for a subset of the included alleles. To allow for a fair comparison, we therefore only included ligands from the SYF data set restricted to HLA alleles covered by the MHC-pathway method. The results of the benchmark calculation are shown in Table 6 and clearly show that NetCTLpan outperforms the MHC-pathway method for all three data sets. The improved performance is maintained for both the AUC and AUC0.1 measure. Further, the table shows that the MHC binding predictors for the two methods have close to identical performance (NetMHCpan versus MHC). The cleavage method employed by the NetCTLpan method is performing consistently better than the immunoproteasome prediction method used by MHC-pathway (NetChop versus Immu). The TAP prediction method is identical between the two methods. These results suggest that the integration method employed by MHC-pathway is not optimal either due to the relative low performance of the immunoproteasome predictor or as a consequence of how the three prediction scores have been integrated in the MHC-pathway method.

Table 5 Benchmark comparison of NetCTL, NetCTLpan, and NetMHCpan_ST (supertype-specific version of NetCTLpan)

| Data     | Measure | NetCTL | NetCTLpan | NetCTLpan_ST |
|----------|---------|--------|-----------|--------------|
| Train (9)| AUC     | 0.971  | 0.976     | 0.971        |
|          | AUC0.1  | 0.816  | 0.869     | 0.830        |
| Test (9) | AUC     | 0.975  | 0.982     | 0.971        |
|          | AUC0.1  | 0.802  | 0.877     | 0.805        |
| Test (8/10/11)| AUC | NA     | 0.972     | 0.961        |
|          | AUC0.1  | NA     | 0.848     | 0.770        |

The performance values are calculated as average per protein AUC values for the training and test data sets. The benchmark is made on the subset of the SYF ligand data sets covered by the MHC-pathway method.

Table 6 Benchmark comparison of the NetCTLpan and MHC-pathway methods

| Data     | Measure | NetCTLpan | MHC-pathway | p value | NetMHCpan | MHCa | TAP | NetChop | Immu b | N    |
|----------|---------|-----------|-------------|---------|-----------|------|-----|---------|-------|------|
| Train (9)| AUC     | 0.978     | 0.972       | <0.001  | 0.983     | 0.981| 0.839| 0.881   | 0.803 | 438  |
|          | AUC0.1  | 0.874     | 0.854       | 0.01    | 0.858     | 0.862| 0.278| 0.360   | 0.260 | 438  |
| Test (9) | AUC     | 0.978     | 0.974       | <0.001  | 0.978     | 0.977| 0.809| 0.870   | 0.774 | 615  |
|          | AUC0.1  | 0.871     | 0.847       | <0.001  | 0.864     | 0.870| 0.204| 0.362   | 0.215 | 615  |
| Test (10)| AUC     | 0.966     | 0.957       | <0.005  | 0.964     | 0.966| 0.810| 0.817   | 0.734 | 291  |
|          | AUC0.1  | 0.842     | 0.800       | <0.005  | 0.835     | 0.824| 0.272| 0.238   | 0.180 | 291  |

The performance values are calculated as average per protein AUC values for the training and test data sets. The benchmark is made on the subset of the SYF ligand data sets covered by the MHC-pathway method.

NetCTLpan was optimized to achieve high specificity in order to meet the need for a low false positive rate when

Table 5 Benchmark comparison of NetCTL, NetCTLpan, and NetMHCpan_ST (supertype-specific version of NetCTLpan)

Table 6 Benchmark comparison of the NetCTLpan and MHC-pathway methods

Discussion

Earlier work has demonstrated the benefit of integrating proteasomal cleavage, TAP transport efficiency, and MHC binding predictions when using reverse immunology to identify potential CTL epitopes. However, to the best of our knowledge, none of the publicly available methods providing this integration are pan-specific and hence do not allow for prediction of CTL epitopes restricted to any MHC allele.

Here, we have developed a pan-specific MHC class I epitope predictor, NetCTLpan. The method integrates prediction of proteasomal cleavage, TAP transport efficiency, and MHC binding into a MHC class I pathway presentation likelihood score. In large-scale benchmarks comprising more than 1,000 MHC class I ligands and CTL epitopes restricted by close to 60 different HLA alleles, the method was shown to outperform both the original NetCTL method, as well as MHC-pathway, another state-of-the-art class I presentation pathway prediction method. NetCTLpan was optimized to achieve high specificity in order to meet the need for a low false positive rate when
using the method for large-scale epitope discovery. If focusing on optimal sensitivity, it was shown that the optimal prediction method should exclude both cleavage and TAP predictions reducing the method to MHC binding prediction alone. This is in contrast to earlier work, where proteasomal cleavage and TAP transport efficiency consistently have been reported to improve the predictive performance. Whether this observation reflects true biological aspects of the specificity overlap between the three pathway players (see for instance Nielsen et al. 2005) or it simply occurs because the prediction of MHC class I affinity has gained accuracy during the recent years, whereas predictors for TAP transport efficiency and proteasomal cleavage have not changed or been updated, remains to be seen.

Recent publications have suggested that some MHC molecules are, compared to others, more or less dependent on TAP transport and proteasomal cleavage. Using the NetCTLpan method in large-scale benchmarks, we however find no consistent signal of such an HLA allele differentiated dependency of proteasomal cleavage and TAP transport efficiency. A performance gain using supertype-specific weights could only be observed for the training set. Applying these weights to the test sets resulted in an inconsistent pattern in performance gain for the different supertypes when compared to fixed weights, indicating that optimal weights per supertype are not reflecting biological differences but most likely are a result of overfitting.

NetCTL, the ancestor of NetCTLpan, uses a rescaling of MHC binding affinity values to make prediction values comparable between MHC class I molecules. It has been suggested that such a rescaling might remove genuine biological differences between MHC molecules and potentially lower the method’s predictive performance. Here, we show that rescaling has no significant impact on the overall predictive performance of the NetCTLpan method. Further, we observed a tendency of different MHC molecules presenting ligands at different (predicted) binding thresholds. Based on these observations, the NetCTLpan method is implemented without use of rescaling, thus maintaining potential genuine biological differences between MHC molecules. To allow comparison between presentation likelihood scores for different MHC molecules, we include a rank-score for each prediction. The rank-score is calculated as the percent rank of a given NetCTLpan likelihood score to a set of 200,000 random natural 9-mer peptides.

Our results on the HIV benchmark data set confirm the importance of going beyond HLA supertypes and use full-type HLA restriction information when identifying MHC class I epitopes. In this benchmark, we found a significantly improved predictive performance, if full HLA restriction were used, in comparison to the HLA supertype information proposed in the original NetCTL publication.

In contrast to earlier published methods for MHC class I pathway prediction, NetCTLpan allows for predictions of 8- to 11-mer CTL epitopes being presented by any MHC class I molecule of known protein sequence.

NetCTLpan, the method described in this work, has shown to perform best when focusing on high specificity predictions for CTL epitope identification. In order to easily grasp the predictive performance gain, we applied the rank measure as defined by Larsen et al. (2005). The rank measure reports the average fraction of epitopes identified as a function of the percentage rank (percentage of tested peptides) for a set of proteins. This measure indicates how large a fraction of the peptides for a given protein needs to be tested in order to identify the epitope with a given likelihood. To identify new epitopes with 90% likelihood by use of NetCTLpan, the rank measure reports that 3.7% of the peptides need to be experimentally verified. For a hypothetical protein of 300 peptides, this means that on average, 11 peptides need to be tested in order to identify the epitope. The corresponding numbers for NetMHCpan and NetCTL are 13 and 17 peptides. Hence, by applying the NetCTLpan method instead of NetMHCpan, the experimental effort can be reduced by 17%, and compared to NetCTL, approximately 40% fewer epitopes need to be tested. Based on this, it is clear that utilizing the NetCTLpan method can minimize experimental effort needed to identify new CTL epitopes. We believe that this improved performance, combined with the methods ability to provide predictions of potential CTL epitopes of length from 8 to 11 amino acids to any MHC class I molecules of known sequence, will be useful in both rational reverse immunogenetic epitope discovery and interpretation of observed immune responses in HLA diverse patient cohorts. The NetCTLpan method and benchmark data set are available at: http://www.cbs.dtu.dk/services/NetCTLpan.

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