Post-mortem examination of high mortality in patients with heart failure and atrial fibrillation

Otilia Ţica1,2*, Ovidiu Ţica3, Karina V. Bunting1,4, Joseph deBono4, Georgios V. Gkoutos4,5,6, Mircea I. Popescu2 and Dipak Kotecha1,4,6*

Abstract
Background: The prevalence of combined heart failure (HF) and atrial fibrillation (AF) is rising, and these patients suffer from high rates of mortality. This study aims to provide robust data on factors associated with death, uniquely supported by post-mortem examination.

Methods: A retrospective cohort study of hospitalized adults with a clinical diagnosis of HF and AF at a tertiary centre in Romania between 2014 and 2017. A standardized post-mortem examination was performed where death occurred within 24 h of admission, when the cause of death was not clear or by physician request. National records were used to collect mortality data, subsequently categorized and analysed as HF-related death, vascular death and non-cardiovascular death using Cox proportional hazards regression.

Results: A total of 1009 consecutive patients with a mean age of 73 ± 11 years, 47% women, NYHA class 3.0 ± 0.9, left ventricular ejection fraction (LVEF) 40.1 ± 11.0% and 100% anticoagulated were followed up for 1.5 ± 0.9 years. A total of 291 (29%) died, with post-mortems performed on 186 (64%). Baseline factors associated with mortality were dependent on the cause of death. HF-related death in 136 (47%) was associated with higher NYHA class (hazard ratio [HR] 2.45 per one class increase, 95% CI 1.73–3.46; p < 0.001) and lower LVEF (0.95 per 1% increase, 0.93–0.97; p < 0.001). Vascular death occurred in 75 (26%) and was associated with hypertension (HR 2.83, 1.36–5.90; p = 0.005) and higher LVEF (1.08 per 1% increase, 1.05–1.11; p < 0.001). Non-cardiovascular death in 80 (28%) was associated with clinical obesity (HR 2.20, 1.21–4.00; p = 0.010) and higher LVEF (1.10 per 1% increase, 1.06–1.13; p < 0.001). Across all causes, there was no relationship between mortality and AF type (p = 0.77), HF type (p = 0.85) or LVEF (p = 0.58).

Conclusions: Supported by post-mortem data, the cause of death in HF and AF patients is heterogeneous, and the relationships with typical markers of mortality are critically dependent on the mode of death. The poor prognosis in this group demands further attention to improve management beyond anticoagulation.

Keywords: Heart failure, Atrial fibrillation, Mortality, Autopsy, Post-mortem
Background
Heart failure (HF) and atrial fibrillation (AF) are two of the most frequent cardiovascular conditions encountered in daily practice. Although they share similar risk factors with interconnected pathophysiology, they can also exacerbate one another leading to a worse prognosis [1–3]. Patients that share both conditions are typically multi-morbid, more often frail, and have worse symptoms. The combination of HF and AF poses an increasing burden on healthcare systems due to their hospitalization and treatment-related costs [4, 5]. Management strategies have typically focused on anticoagulation to prevent stroke and thromboembolism; however, the most common adverse event in these patients is actually mortality. Trials and observational cohorts have confirmed high mortality rates for HF and AF with both reduced ejection fraction (HFrEF) and preserved ejection fraction (HFpEF) [6, 7].

In clinical practice, we currently lack an understanding of what patient factors are associated with mortality in patients with both HF and AF and whether attention to these factors could reduce the high burden of adverse events. The cause of death is often frequently misrepresented in clinical practice [8], and attributed to death certificates using generic statements, such as heart failure-related death or sudden death. This imprecise categorization of death may be masking important associations and interactions [9]. For example, hypertension is likely to be a determinant of death related to vascular causes, such as myocardial infarction and stroke, but could confound the association between age, left ventricular ejection fraction (LVEF) and other causes of death, such as decompensated HF. In this study, we hypothesized that a better understanding of the cause of death, supplemented by post-mortem examination, could allow for differentiation of specific modes of death, reveal underlying etiological factors, and inform the clinical management of patients with concomitant HF and AF.

Methods
This retrospective observational study included consecutive adult patients hospitalized at the Emergency County Clinical Hospital of Oradea (SCJUO), Romania, between January 2014 and December 2017. The study was conducted in accordance with the ethical principles set out in the Helsinki Declaration and Recommendations for Good Clinical Practice and was approved by the SCJUO Ethics Committee (32,926/2017) without the need for individual patient consent. Additional file 1: Online Methods provides further details on the study approach. This follows the CODE-EHR best practice framework for the use of structured electronic healthcare records in clinical research [10]. This study meets all five of the CODE-EHR minimum framework standards (see Additional file 2 for the checklist).
Patient population
Patients were included if they presented with HF and AF to the cardiology department or transferred from another department at SCJUO or other local hospitals. Heart failure was categorized using common clinical classification into HFrEF (LVEF < 40%), mildly-reduced/intermediate (LVEF 40–49%) and HFpEF (LVEF ≥ 50%; see Additional file 1: Table S1 for definitions) [11]. LVEF was based on echocardiography performed by accredited cardiologists; the most recently available data were used, either performed during the admission or within the previous 6 months. The diagnoses of AF from the clinical team were retrieved and categorized using accepted definitions (Additional file 1: Table S2) [12]. To avoid missing data, patients without a complete follow-up available were excluded (for example, four patients who lived outside the region) and also patients whose death was due to a violent cause and required investigation by forensic specialists.

Clinical factors, tests and comorbidities
Clinical factors were determined at the time of admission from the patient’s electronic medical record. Information on participants was collected within a database system separate from any clinical databases. Data collected included demographic information, associated clinical evaluations and comorbidities and treatments received during the hospital admission and at discharge. Laboratory data and drug information were used to confirm specific comorbidities, in addition to complementary investigations, such as cardiac and non-cardiac imaging. The diagnosis of ischemic heart disease was based on the patient’s history of significant coronary heart disease by coronary angiography or based on chest pain associated with an increased level of changes in cardiac markers (troponin I or highly sensitive troponin I) and/or echocardiographic changes consistent with ischemia or a positive non-invasive stress test. Hypertension was defined based on clinical history, use of antihypertensive therapy, a systolic blood pressure ≥ 140 mmHg or a diastolic blood pressure ≥ 90 mmHg. Diabetes was defined based on serum glucose levels greater than 126 mg/dL, glycosylated haemoglobin values greater than 6.5% or the use of oral antidiabetics or insulin. Ischaemic or haemorrhagic stroke was certified by a computed tomography performed during hospitalization in patients with neurological deficits. The CHA2DS2-VASc thromboembolic risk score was calculated with points for heart failure, hypertension, age ≥ 75 years [double], diabetes mellitus, previous thromboembolism [double], vascular disease, age 65–74 years and female gender. The HAS-BLED bleeding risk score was calculated with points for uncontrolled hypertension, creatinine > 2.2 mg/dL or dialysis, cirrhosis or elevated liver tests, prior stroke, prior bleeding, labile control of warfarin, age > 65 years, medication predisposing to bleeding and excess alcohol intake. N-terminal pro-B-type natriuretic peptide (NTproBNP) levels were analysed using an accredited and calibrated Pathfast assay (Pathfast devices, Mitsubishi Chemical Europe), with a detection range of 15–30,000 pg/mL.

Assessment of mortality
Deaths were extracted from the hospital database electronic medical record, as well as from the Unique Integrated Computer System of the Social Health Insurance in Romania (SIUI). The primary outcome was mortality in-hospital or during the follow-up period, categorized into heart failure-related death, vascular death and non-cardiovascular death.

Confirmation of events required documentary evidence supporting the diagnosis (for example, a death certificate, pathologist notes and autopsy report). Post-mortem autopsies were performed where death occurred within 24 h of admission, when the cause of death was not immediately clear or when requested by the attending physician, unless the next of kin refused consent. Post-mortems were performed according to a standardized protocol (Additional file 1: Online Methods). At the time that autopsies were performed, pathologists had full access to the patient charts, the clinical medical history and the results of any additional investigations, in order to fully correlate post-mortem findings (see example in Additional file 1: Fig. S1).

Statistical analysis
Values are presented as median ± interquartile range (IQR; 25th to 75th centiles) or percentage. Group comparisons were assessed with the Kruskal–Wallis non-parametric analysis of variance test. Mortality was analysed with Cox regression models, presented as hazard ratios (HR) with associated 95% confidence intervals (CI). Multivariate models were prespecified to include age, gender, New York Heart Association (NYHA) class, LVEF, type of AF (paroxysmal versus non-paroxysmal), obesity (body mass index ≥ 30 kg/m²), coronary artery disease, hypertension, chronic obstructive pulmonary disease and diabetes. For multivariate analysis, patients with new-onset AF were included in the paroxysmal category, regardless of therapy, with sensitivity analyses excluding these patients finding no material difference in results. As natriuretic peptides are not specific to HF and are also prognostic in patients with coronary disease [13], NTproBNP was kept out of multivariate models so as not to obscure other associations. All models were also adjusted for medical therapy at baseline (statins,
renin–angiotensin–aldosterone antagonists, beta-blockers, diuretics, amiodarone and digoxin therapy). Interactions were assessed with likelihood ratio testing, and the proportional hazards assumption in Cox models was confirmed using Schoenfeld residuals. In those that died, separate Cox models were generated to compare the differences in associations between heart failure-related death, vascular death and non-cardiovascular death. Kaplan–Meier plots were used to present the pooled, unadjusted data and number at risk, with the log-rank test of equality used to compare the groups. LVEF was modelled using a restricted cubic spline analysis in the Cox model.

A two-tailed \( p \)-value of < 0.05 was considered statistically significant. Where multiple comparisons were performed, an adjusted \( p \)-value was used. Analyses used complete case data as the amount of missing data was small (no imputation performed). Statistical analysis was performed with Stata (version 14.2, StataCorp LP, TX).

**Results**

A total of 1009 consecutive patients with HF and AF were hospitalized in the study period, with a median age of 72.8 ± 10.5 years and 476 (47.2%) women. The mean LVEF was 40.1 ± 11.0%, with HFrEF (LVEF < 40%) present in 487 (48.3%), mildly reduced/intermediate HF (LVEF 40–49%) in 342 (33.9%) and HFpEF (LVEF ≥ 50%) in 180 (17.8%). The mean NYHA class was 3.0 ± 0.9, with 727 (72.0%) in class III or IV indicating severe or disabling symptoms. New-onset AF was seen in only 33 patients (3.3%), with the remainder evenly distributed between paroxysmal, persistent, long-standing persistent and permanent AF. All patients (100%) were anticoagulated with either vitamin K antagonists or a direct oral anticoagulant (dabigatran, rivaroxaban or apixaban) prior to or during admission.

**All-cause mortality**

Over a mean follow-up of 1.5 ± 0.9 years, 291 patients (28.9%) died, of which 186 had a post-mortem performed (63.9% of patients who died; see Additional file 1: Table S3 for the characteristics of those undergoing autopsy). Baseline characteristics and comorbidities, comparing those alive and dead at follow-up, are presented in Table 1. There was no difference in either the CHA2DS2-VASc thromboembolism score or the HAS-BLED bleeding risk score. The median NTproBNP value on admission for those that died was 8710 pg/mL (IQR 4871–20,430); Additional file 1: Fig. S2. In-patient ventricular arrhythmias were documented in 57 patients (5.7%); 21 subsequently died (36.8%) which was not significantly different to those without ventricular arrhythmias (28.4%; \( p = 0.18 \)).

Factors independently associated with all-cause mortality in the multivariate model were limited to the presence of coronary artery disease (HR 2.34, 95% CI 1.77–3.08; \( p < 0.001 \)) and hypertension (HR 1.45, 95% CI 1.11–1.88; \( p = 0.066 \)); Additional file 1: Table S4. Category of HF (according to LVEF) and type of AF (according to temporal pattern) were not significantly associated with all-cause mortality; Fig. 1. LVEF was not related to all-cause mortality overall (HR 1.00 per 1% increase, 95% CI 0.98–1.01; \( p = 0.44 \)). Figure 2 graphically depicts this lack of relationship between LVEF and all-cause mortality across the range of LVEF observed in study participants.

**Cause-specific mortality**

A full list of causes of death is presented in Table 2, including the differences between patients that underwent post-mortem examination. The 291 deaths were classified as HF-related in 136 patients (46.7%), vascular death in 75 patients (25.8%) and non-cardiovascular death in 80 patients (27.5%). The mode of death according to the HF category and AF type is presented in Fig. 3. Baseline variables associated with the different modes of death were unique and contrary, particularly with regard to LVEF (Table 3).

Comparing HF-related death with the other causes of death, multivariate analysis identified higher NYHA class (HR 2.45 per one class increase, 95% CI 1.73–3.46; \( p < 0.001 \)) and lower LVEF (HR 0.95 per 1% increase, 0.93–0.97; \( p < 0.001 \)) as independently associated with HF-related death. The median NTproBNP level in this group of 11,869 pg/mL (IQR 5498–25,410) was significantly higher than for other causes of death, but with a broad range (\( p < 0.0001 \); Additional file 1: Fig. S2).

Factors associated with vascular death compared to other causes were hypertension (HR 2.83, 1.36–5.90; \( p = 0.005 \)) and more preserved LVEF (HR 1.08 per 1% increase, 1.05–1.11; \( p < 0.001 \)). The median NTproBNP level in this group was 7098 pg/mL (IQR 5054–9841).

Non-cardiovascular death compared to other causes identified obesity (HR 2.20, 1.21–4.00; \( p = 0.010 \)) and more preserved LVEF (HR 1.10 per 1% increase, 1.06–1.13; \( p < 0.001 \)) as significant predictors. The median NTproBNP level in this group of 6618 pg/mL (IQR 2029–9767) was not significantly different compared to patients with vascular death (\( p = 0.08 \)).

Each mode of death had recognizable comorbidities and characteristics associated with them (Additional file 1: Table S5). However, the overall multi-morbidity burden (as estimated by clinical risk scores) was similar across those alive and the various modes of death. The mean baseline CHA2DS2-VASc risk score for stroke
and thromboembolism was 5.2 ± 1.3 for patients subsequently alive at the end of follow-up, 5.0 ± 1.2 for HF-related death, 5.4 ± 1.4 for vascular death, and 5.1 ± 1.3 for non-CV death (p = 0.15). Similarly, HAS-BLED was similar across the groups: 3.1 ± 1.4, 2.9 ± 1.6, 3.4 ± 1.6 and 2.8 ± 1.4.

Discussion

Uniquely supported by post-mortem examinations for in-hospital or unclear causes of death, this analysis of anticoagulated patients with HF and AF was able to differentiate clinical factors associated with specific modes of death. We identified that neither HF type nor AF type was independently associated with all-cause mortality, and the value of NTproBNP and LVEF assessment to predict the cause of death were limited. The extremely poor prognosis demonstrated in this population with both HF and AF highlights the need for a clear focus on the prevention of mortality and improvements in the management for these patients beyond anticoagulation.

There is a paucity of studies that report and assess post-mortem data in a patient with HF, AF or both. In 232 autopsies in patients with HF, discrepancies between clinical and post-mortem diagnoses were seen in 191 (82%) of cases, with major discrepancies with potential clinical impact in 91 (39%) [8]. By far, the most common

Table 1 Baseline characteristics

| Characteristics                        | Died during follow-up (n = 291) | Alive at the end of follow-up (n = 718) | p-value |
|----------------------------------------|---------------------------------|----------------------------------------|---------|
| Age, mean % ± SD                       | 73.2 ± 9.0                      | 72.6 ± 11.0                            | 0.65    |
| Women, n (%)                           | 133 (45.7%)                     | 343 (47.8%)                            | 0.55    |
| Background (urban vs rural setting), n (%) | 133 (45.7%)                     | 370 (51.5%)                            | 0.09    |
| NYHA class                              | Mean ± SD                       |                                        |         |
| Class I, n (%)                         | 3.0 ± 0.9                       | 3.0 ± 0.9                              | 0.25    |
| Class II, n (%)                        | 23 (7.9%)                       | 35 (4.9%)                              |         |
| Class III, n (%)                       | 53 (18.2%)                      | 171 (23.8%)                            |         |
| Class IV, n (%)                        | 110 (37.8%)                     | 289 (40.3%)                            |         |
| LVEF                                   | Mean % ± SD                     |                                        |         |
| <40%, n (%)                            | 146 (50.2%)                     | 341 (47.5%)                            |         |
| 40–49%, n (%)                          | 98 (33.7%)                      | 244 (34.0%)                            |         |
| ≥50%, n (%)                            | 47 (16.2%)                      | 133 (18.5%)                            |         |
| AF type, n (%)                         | New onset, n (%)                | 9 (3.1%)                               | 0.45    |
| Paroxysmal, n (%)                      | 85 (30.1%)                      | 202 (29.1%)                            |         |
| Persistent, n (%)                      | 52 (18.4%)                      | 122 (17.6%)                            |         |
| Long-standing persistent, n (%)        | 76 (26.9%)                      | 178 (25.6%)                            |         |
| Permanent, n (%)                       | 69 (24.5%)                      | 192 (27.7%)                            |         |
| Coronary artery disease, n (%)         | 213 (73.2%)                     | 331 (46.1%)                            | <0.001  |
| Hypertension, n (%)                    | 204 (70.1%)                     | 378 (52.6%)                            | <0.001  |
| Chronic obstructive pulmonary disease, n (%) | 88 (30.2%)                     | 263 (36.6%)                            | 0.054   |
| Diabetes mellitus, n (%)               | 98 (33.7%)                      | 195 (27.2%)                            | 0.039a  |
| Chronic kidney disease, n (%)          | 94 (32.3%)                      | 215 (29.9%)                            | 0.46    |
| Prior stroke or transient ischemic attack, n (%) | 45 (15.5%)                     | 116 (16.2%)                            | 0.79    |
| Obesity (clinical diagnosis), n (%)    | 92 (31.6%)                      | 175 (24.4%)                            | 0.018a  |
| CHA2DS2-VASc score, mean ± SD          | 5.1 ± 1.3                       | 5.2 ± 1.3                              | 0.26    |
| HAS-BLED score, mean ± SD              | 3.0 ± 1.6                       | 3.1 ± 1.4                              | 0.11    |
| ACE inhibitor or ARB, n (%)            | 225 (77.3%)                     | 514 (71.6%)                            | 0.063   |
| Beta-blockers, n (%)                   | 176 (60.5%)                     | 377 (52.5%)                            | 0.021   |
| Diuretics or MRA, n (%)                | 242 (83.2%)                     | 587 (81.8%)                            | 0.60    |
| Amiodarone, n (%)                      | 111 (38.1%)                     | 228 (31.8%)                            | 0.052   |

ACE inhibitor or ARB, AF atrial fibrillation, ARB angiotensin receptor blocker, CHA2DS2-VASc risk score for thromboembolism in AF, HAS-BLED risk score for bleeding in AF, IQR interquartile range, LVEF left ventricular ejection fraction, MRA mineralocorticoid receptor antagonist, NYHA New York Heart Association, SD standard deviation

* No longer significant when accounting for multiple testing
mode and underlying cause of death in our patient cohort were connected to HF itself. Our finding, that nearly half of all deaths were related to HF, is consistent with prior data [6–8] and presents a key challenge for clinicians, especially as only LVEF and NYHA class were independent predictors of HF-related death. Ensuring optimal management according to the guidelines is vital to preventing excess mortality, improving LVEF and reducing symptoms [14]. In HFrEF, angiotensin receptor-neprilysin inhibitors are effective even in the context of AF [15], although other therapeutic strategies (e.g. resynchronization therapy) have lower efficacy when both conditions combine [16]. Beta-blockers are well established in HFrEF with sinus rhythm [17] where...
they clearly improve LVEF and NYHA class, but analysis of double-blind trials has questioned their efficacy in patients with AF [18]. Apart from recent data on gliflozins, we lack other therapies in clinical practice with known prognostic benefits for patients with HFpEF, and the value of improving LVEF is likely limited. However, symptom class may be amenable to treatment, including for patients with concomitant AF. For example, compared to beta-blockers, the use of low-dose digoxin leads to significant improvements in symptom class with significantly lower NTproBNP and adverse events [19]. Although physical-related quality of life was no different, patients randomized to low-dose digoxin had substantially better symptom control than beta-blockers: mean NYHA class 2.4 ± 0.5 at baseline improving to 1.5 ± 0.6 at 12 months versus 2.4 ± 0.6 to 2.0 ± 0.6 for beta-blockers (p < 0.001) [20].

Vascular causes accounted for a quarter of all the deaths in this cohort and were more common amongst those with preserved LVEF and hypertension. Although elevated systolic blood pressure is well recognized in the pathogenesis of HF and the sequelae of AF, relatively little attention has been paid to the management of hypertension in these conditions, and we lack specific randomized trials that demonstrate prognostic benefit. In a meta-analysis of 37 trials that assessed drugs with

Table 2  Post-mortem and non-post-mortem causes of death

| Mode of death                               | Post-mortem performed (n = 186)                                      | Post-mortem not performed (n = 105)                                      |
|---------------------------------------------|---------------------------------------------------------------------|------------------------------------------------------------------------|
| HF-related death                            | Deaths = 92 (49.5%)                                                 | Deaths = 44 (41.9%)                                                    |
| Dilated cardiomyopathy (n = 35)             | Dilated cardiomyopathy (n = 17)                                     | Valvular heart disease (n = 7)                                         |
| Multi-organ congestion on post-mortem (n = 14) | Valvular heart disease (n = 5)                                      | Hypertensive cardiomyopathy (n = 4)                                    |
| Valvular heart disease (n = 12)             |                                                                 | Myo-pericardial disease (n = 4)                                        |
| Myo-pericardial disease (n = 11)            |                                                                 | Hypertrophic cardiomyopathy (n = 2)                                    |
| Cardiac dystrophy due to brown atrophy (n = 9) |                                                                 | Endocrine-related cardiomyopathy (n = 2)                                |
| Restrictive cardiomyopathy (n = 2)          |                                                                 | Cardiomyopathy with an underlying systemic autoimmune condition (n = 2) |
| Hypertensive cardiomyopathy (n = 4)         |                                                                 | Cardiomyopathy related to cancer treatment (n = 2)                     |
| Hypertrophic cardiomyopathy (n = 3)         |                                                                 | Cardiomyopathy related to neuromuscular conditions (n = 1)              |
| Cardiomyopathy with an underlying systemic autoimmune condition (n = 1) |                                                                 | Restrictive cardiomyopathy (n = 1)                                    |
| Cardiomyopathy related to neuromuscular conditions (n = 1) |                                                                 | Tako-Tsubo cardiomyopathy (n = 1)                                     |
| Vascular death                              | Deaths = 41 (22.0%)                                                 | Deaths = 34 (32.4%)                                                    |
| Myocardial infarction (n = 13)              | Stroke (n = 16)                                                     |                                                                      |
| Non-coronary/non-cerebral atherosclerosis (n = 11) | Non-coronary/non-cerebral atherosclerosis (n = 7)                  | Pulmonary embolism (n = 6)                                             |
| Stroke (n = 11)                             |                                                                      | Myocardial infarction (n = 5)                                          |
| Pulmonary embolism (n = 4)                  |                                                                      |                                                                      |
| Aortic dissection (n = 2)                   |                                                                      |                                                                      |
| Non-CV death                                | Deaths = 53 (28.5%)                                                 | Deaths = 27 (25.7%)                                                   |
| Malignancies (n = 19)                       | Kidney failure (n = 10)                                             |                                                                      |
| Haemorrhage (n = 6)                        | Decompensated diabetes (n = 9)                                     |                                                                      |
| Pneumonia (n = 5)                           |                                                                      |                                                                      |
| Kidney failure (n = 5)                      |                                                                      |                                                                      |
| Decompensated diabetes (n = 5)             |                                                                      |                                                                      |
| Hypovolemic shock (n = 4) a                 |                                                                      |                                                                      |
| Endocarditis (n = 3)                        |                                                                      |                                                                      |
| Bronchopneumonia (n = 3)                    |                                                                      |                                                                      |
| COPD (n = 2)                                |                                                                      |                                                                      |
| Sepsis (n = 1)                              |                                                                      |                                                                      |

Percentages are the proportion of that mode of death in the post-mortem/non-post-mortem group (see Additional file 1: Online Methods for further details of the post-mortem process)

COPD chronic obstructive pulmonary disease, CV cardiovascular, HF heart failure

* The main cause of the hypovolemic shock was bleeding from ruptured oesophageal varices (three patients) and duodenal peptic ulceration (one patient)
blood pressure-lowering properties, a small but significant decrease in systolic blood pressure was noted in patients with HF; however, there was no apparent association between the magnitude of blood pressure-lowering and cardiovascular events [21]. Conversely, in AF, there is a 9% reduction in the hazard of major cardiovascular events per 5 mmHg reduction in systolic blood pressure, identical to that observed for patients without AF [22]. Despite this, almost a quarter of AF patients have uncontrolled hypertension, as noted in a registry that spans 176 clinics across the USA, highlighting potential avenues to reduce vascular deaths in patients with HF and AF. Closely linked with uncontrolled hypertension in AF are deaths following acute stroke, which predominantly occurs in those who have not received anticoagulation. Although the residual risk of stroke and systemic embolus in anticoagulated patients is higher in those with concomitant HF, the absolute risk from trial data is small at 0.9 per 100 patient-years in those with non-permanent AF and 1.3 per 100 patient-years with permanent AF [23]. These data support the almost universal application of anticoagulation in this multimorbid population, but also the need to look beyond anticoagulation, particularly when targeting mortality reduction.

---

**Table 3** Comparison of factors associated with different modes of death

| Multivariate analysis | Mode of death |  |  |  |  |  |  |  |
|----------------------|---------------|---------------|---------------|---------------|---------------|---------------|---------------|
|                      | HF-related death | Vascular related death | Non-CV death |
|                      | HR  | 95% CI | p-value | HR  | 95% CI | p-value | HR  | 95% CI | p-value |
| Age (per 1-year increase) | 1.00 | 0.97–1.02 | 0.76 | 0.99 | 0.96–1.02 | 0.42 | 1.02 | 0.99–1.06 | 0.17 |
| Gender (women vs men) | 1.04 | 0.71–1.54 | 0.83 | 0.88 | 0.52–1.48 | 0.64 | 1.08 | 0.64–1.80 | 0.78 |
| NYHA class (per 1 class increase) | *2.45* | 1.73–3.46 | < 0.001 | 0.82 | 0.61–1.10 | 0.19 | 0.76 | 0.56–1.02 | 0.07 |
| LVEF (per 1% increase) | *0.95* | 0.93–0.97 | < 0.001 | 1.10 | 1.05–1.11 | < 0.001 | 1.10 | 1.06–1.13 | < 0.001 |
| AF type (non-paroxysmal vs paroxysmal) | 1.00 | 0.62–1.60 | 0.99 | 1.06 | 0.57–1.99 | 0.85 | 1.60 | 0.91–2.82 | 0.10 |
| Clinical obesity (yes vs no) | 1.46 | 0.94–2.26 | 0.09 | 0.83 | 0.44–1.57 | 0.57 | 2.20 | 1.21–4.00 | 0.010 |
| Coronary artery disease (yes vs no) | 0.82 | 0.50–1.37 | 0.46 | 1.05 | 0.55–2.02 | 0.87 | 0.82 | 0.46–1.45 | 0.49 |
| Hypertension (yes vs no) | 1.04 | 0.65–1.67 | 0.86 | *2.83* | *1.36–5.90* | *< 0.005* | 0.84 | 0.49–1.45 | 0.53 |
| COPD (yes vs no) | 0.85 | 0.55–1.31 | 0.46 | 1.17 | 0.65–2.13 | 0.60 | 0.85 | 0.49–1.47 | 0.56 |
| Diabetes mellitus (yes vs no) | 0.86 | 0.56–1.32 | 0.48 | 1.33 | 0.78–2.26 | 0.29 |

Hazards for each covariate presented are for that particular mode of death compared to other modes. All models are also adjusted for statins, renin–angiotensin–aldosterone antagonists, beta-blockers, diuretics, amiodarone and digoxin at baseline (not shown).

AF atrial fibrillation, COPD chronic obstructive pulmonary disease, CV cardiovascular, HR hazard ratio, LVEF left ventricular ejection fraction, NYHA New York Heart Association

* Not presented due to significant 3-way interaction with coronary artery disease and use of beta-blockers at baseline

---

**Fig. 3** Modes of death by HF category and AF type. Number of deaths stratified by mode of death. The category of HF (left) is based on the LVEF assessment. The type of AF (right) is based on clinical assessment and excludes 33 patients with new-onset AF. AF atrial fibrillation; CV cardiovascular; HF heart failure; LS long-standing; LVEF left ventricular ejection fraction.
Non-cardiovascular death was numerically more common than vascular causes, consistent with the change in patient demographics seen over recent years. In a cohort of 57,818 new AF patients in primary care in the UK, rates of ischemic heart disease dropped from 44.1% in 1998–2001 to 37.3% by 2007–2010, whilst diabetes increased from 8.4 to 13.5% [24]. In heart failure, a longitudinal study of >4 million patients demonstrated a rising number of comorbidities, increasing from 68% with 3 or more conditions in 2014 to 87% by 2014 [25]. We identified obesity as a significant independent predictor of non-cardiovascular death. The relationship between body mass index and mortality is complex, with the so-called ‘obesity paradox’ in HF likely due to a combination of induced selection biases (collider stratification bias) and other confounders [26, 27]. Our results would suggest weight loss could be valuable in patients with HF and AF to reduce the risk of non-cardiovascular death, consistent with previously identified benefits in other patient groups [28, 29]. Importantly, we confirmed that the management of coronary artery disease and hypertension should be a key focus in patients with HF and AF to prevent all-cause mortality, in line with current clinical consensus [30].

**Strengths and limitations**
The patients included in this study were predominantly of European descent, with highly symptomatic HF and AF at presentation. Patients came from a wide geographic area including around half from rural communities. Due to the study’s aim of assessing post-mortem evidence where possible, a retrospective design was required. However, this design, and the inherent observational nature of the study, can lead to the potential selection and ascertainment biases. To reduce bias, we enrolled consecutive patients and ensured that data completion rates were high with no need for imputation and no patients had missing information on their vital status. We did not assess follow-up therapy, so it is possible that some patients withdrew or were withdrawn from their anticoagulant therapy after discharge. Details on cardiac resynchronization therapy were not available, and only 49 (4.9%) had an implanted cardiac defibrillator as device implantation required referral to other hospitals.

A key strength of our analysis is the availability of post-mortem examinations for a large proportion of cases. The need for an autopsy was principally determined by the timing of death (within 24 h of admission). However, attending physicians were also able to request a post-mortem if the cause of death was not clear and the family did not object. We used the recorded cause of death to avoid any issues of competing diagnoses; however, in clinical practice, it is likely that other underlying conditions would also have played a role in the patient’s demise. We do not report on sudden cardiac deaths as these are rarely documented as causes of death within Romania. Pathologists instead record any underlying diseases contributing to mortality, which is a limitation to the generalizability of our study to non-hospitalized populations. Autopsies may have misrepresented deaths due to ventricular arrhythmia in cases with other incidental findings (e.g. occlusive coronary disease), although the clinical staff had full access to hospital records when ascertaining the cause of death. There is a possibility of a miscoded cause of death in those not undergoing post-mortem examination; however, we saw only small differences in the underlying aetiology, with fewer vascular and more HF-related deaths in those with autopsy information. Finally, any observations are limited by the number of death events; however, this was large in comparison with other published data with 3 in 10 patients dying during the study period.

**Conclusions**
The extremely poor prognosis in this anticoagulated population with HF and AF highlights the need for further attention to reduce excess deaths. This includes better management of HF to reduce symptoms and increase LVEF (thereby preventing HF-related death), a clear focus on control of hypertension (to prevent vascular death) and tackling lifestyle factors such as obesity (contributing to the prevention of non-cardiovascular death). Supported by post-mortem examinations, this study demonstrates that further research is required on the cause of death in these patients, beyond the simple classification of the category of HF and type of AF.

**Abbreviations**
AF: Atrial fibrillation; CHA2DS2-VASC: Risk score for thromboembolism in AF; HAS-BLED: Risk score for bleeding in AF; HF: Heart failure; HFrPEF: Heart failure with preserved ejection fraction; HFrEF: Heart failure with reduced ejection fraction; LVEF: Left ventricular ejection fraction; NTproBNP: N-terminal prohormone B-type natriuretic peptide; NYHA: New York Heart Association.

**Supplementary Information**
The online version contains supplementary material available at https://doi.org/10.1186/s12916-022-02533-8.

**Additional file 1:** Online Methods, Table S1. Definitions for heart failure. Table S2. Definitions for atrial fibrillation. Table S3. Baseline characteristics by post-mortem examination. Table S4. Factors associated with all-cause mortality. Table S5. Baseline characteristics by different modes of death. Fig. S1. Post-mortem histology examples. Fig. S2. NT-pro B-type natriuretic peptide values on admission for deceased patients.

**Additional file 2:** CODE-EHR minimum standards framework reporting checklist (use of health record data for clinical research).
Acknowledgements
This work was only possible with the support of the staff and patients at the Emergency County Clinical Hospital of Oradea, Romania. The authors would like to also acknowledge the members of the cardIAc and DaRe2THINK groups at the University of Birmingham/University Hospitals Birmingham NHS Foundation Trust who have contributed to this research paper.

Authors’ contributions
OT1 and OT2 collected patient data and have equally contributed to the manuscript, with MIP providing senior clinical supervision. OT1 and DK drafted the paper and performed the statistical analysis. All authors (KVB, XJB, CG) edited and approved the final manuscript.

Funding
No specific funding was used for the local collection of healthcare data in this study. Data collation, statistical analysis, and drafting of results were supported by grants awarded to the University of Birmingham/University Hospitals Birmingham NHS Foundation Trust: (a) Medical Research Council Health Data Research UK (HDRVUK/CFIC/01), an initiative funded by UK Research and Innovation, Department of Health and Social Care (England) and the devolved administrations, and leading medical research charities; (b) British Heart Foundation Accelerator Award to the University of Birmingham Institute of Cardiovascular Sciences (AA/18/2/34218); and (c) the Innovative Medicines Initiative 2 Joint Undertaking (116074 BigData@Heart), which receives support from the European Union’s Horizon 2020 research and innovation programme and the European Federation of Pharmaceutical Industries and Associations. The views expressed in this publication are those of the authors and do not represent any of the stated funders.

Availability of data and materials
Individual patient data are not available for this study; all relevant analyses are available on request to work with anonymized datasets.

Declarations

Ethics approval and consent to participate
The study was conducted in accordance with the ethical principles set out in the Helsinki Declaration and the Recomendations for Good Clinical Practice and was approved by the SCJUO Ethics Committee (32926/2017) without the need for individual patient consent.

Consent for publication
The images used are entirely unidentifiable, and there are no details on individuals reported within the manuscript.

Competing interests
All authors have completed the ICME uniform disclosure form and declare the following: Otilia Tica received salary funding from the EU/EEPIA Innovative Medicines Initiative (BigData@Heart 116074) and Amomed Pharma, awarded to Professor Kotecha. Professor Kotecha reports grants from the National Institute for Health Research (NIHR CDF-2015–08-074 RATE-AF, NIHR HTA-130208 DaRe2THINK), the British Heart Foundation (PG/17/55/33087 and AA/18/2/34218), EU/EEPIA Innovative Medicines Initiative (BigData@Heart 116074), the European Society of Cardiology supported by educational grants from Boehringer Ingelheim/BMS-Pfizer Alliance/Bayer/Daiichi Sankyo/ Boston Scientific, the NIHR/University of Oxford Biomedical Research Centre and British Heart Foundation/University of Birmingham Accelerator Award (STEEER-AF NCT04396418) and Amomed Pharma and IRCCS San Raffaeleo/ Menarini (Beta-blockers in Heart Failure Collaborative Group NCT0083244), in addition to personal fees from Bayer (Advisory Board), AtriCure (Speaker fees), Amomed (Advisory Board), Protherics Medicines Development (Advisory Board) and Myokardia (Advisory Board). Dr. Ovidiu Tica, Dr. deBonO, Professor Popescu and Professor Gioutos declare that they have no competing interests. Dr. Bunting was the research fellow for the RATE-AF trial funded by the NIHR (NIHR CDF-2015–08-074) and has been awarded a grant from the University of Birmingham’s British Heart Foundation Accelerator Award (BHF AA/18/2/34218) and the British Heart Foundation Fellowship scheme (FS/ CDRF/21/21032).

Author details
1 Institute of Cardiovascular Sciences, Medical School, University of Birmingham, Vincent Drive, Birmingham B15 2TT, UK. 2 Cardiology Department, Emergency County Clinical Hospital of Oradea, Gheorghe Doja street, No 65, 410165 Oradea, Romania. 3 Pathology Department, Emergency County Clinical Hospital of Oradea, Gheorghe Doja street, no 65, 410165 Oradea, Romania. 4 Queen Elizabeth Hospital, University Hospitals Birmingham NHS Foundation Trust, Mindelsohn Way, Birmingham B15 2GK, UK. 5 Institute of Cancer and Genomic Sciences, University of Birmingham, Birmingham B15 2TT, UK. 6 Health Data Research (HDR)-UK Midlands, Institute of Translational Medicine, B15 2GW Birmingham, UK.

Received: 22 April 2022  Accepted: 17 August 2022  Published online: 05 October 2022

References
1. Kotecha D, Piccinin JP. Atrial fibrillation in heart failure: what should we do? Eur J Heart 2015;36(46):3250–7.
2. Kotecha D, Lam CS, Van Veldhuisen DJ, Van Gelder IC, Voors AA, Rienstra M. Heart failure with preserved ejection fraction and atrial fibrillation: vicious circle? J Am Coll Cardiol. 2016;68(20):2217–28.
3. Tica OT, Khambrog MV, Kotecha D. Breaking the cycle of HFpEF and AF. Card Fail Rev. 2022. https://doi.org/10.15420/cfr.12022.15403.
4. Gallagher C, Hendriks JM, Middeldorp ME, Elliott AD, Lau DH, Sanders P. Reducing the burden of atrial fibrillation cost: is integrated care the answer? Can J Cardiol. 2019;35(9):1094–6.
5. Li X, Tse VC, Au-Doung LW, Wong ICR, Chan EW. The impact of ischaemic stroke on atrial fibrillation-related healthcare cost: a systematic review. Europace. 2017;19(6):937–47.
6. Kotecha D, Holmes J, Krum H, Altman DG, Manzano L, Cleland JG, Lip GY, Coats AJ, Andersson B, Kirchhof P, et al. Efficacy of beta blockers in patients with heart failure plus atrial fibrillation: an individual-patient data meta-analysis. Lancet. 2014;384(9961):2233–43.
7. Kotecha D, Chudasama R, Lane DA, Kirchhof P, Lip GY. Atrial fibrillation and heart failure due to reduced versus preserved ejection fraction: a systematic review and meta-analysis of death and adverse outcomes. Int J Cardiol. 2016;203:660–6.
8. Issa VS, Dinardi LFL, Pereira TV, de Almeida LKR, Barbosa TS, Benvenutti LA, Ayub-Ferreira SM, Bocchi EA. Diagnostic discrepancies in clinical practice: an autopsy study in patients with heart failure. Medicine (Baltimore). 2017;96(4):e9578.
9. Kanwath A, Bunting KV, Gill SK, Tica OT, Pendleton S, Aziz F, Barsky AD, Chernbumroong S, Duan J, Mobley AR, et al. Redefining beta-blocker response in heart failure patients with sinus rhythm and atrial fibrillation: a machine learning cluster analysis. Lancet. 2021;398(10309):1427–35.
10. Kotecha D, Asselbergs FW. European Society of Cardiology, BigData@Heart consortium, CODE-EHR international consensus group. CODE-EHR best practice framework for the use of structured electronic health-care records in clinical research. BMJ. 2022. https://doi.org/10.1136/bmj-2021-069048.
11. Ponikowski P, Voors AA, Anker SD, Bueno H, Cleland JGF, Coats AJS, Falk V, González-Juanatey JR, Harjola VP, Jankowska EA, et al. 2016 ESC guidelines for the diagnosis and treatment of acute and chronic heart failure: the task force for the diagnosis and treatment of acute and chronic heart failure of the European Society of Cardiology (ESC) developed in collaboration with EACTS. Eur Heart J. 2016;37(27):2129–200.
12. Kirchhof P, Benussi S, Kotecha D, Ahlsson A, Atar D, Casadei B, Castella M, Diener HC, Heidbuchel H, Hendriks J, et al. 2016 ESC guidelines for the management of atrial fibrillation developed in collaboration with EACTS. Eur Heart J. 2016;37(30):2885–982.
13. Kotecha D, Flather MD, Atar D, Collins P, Pepper J, Jenkins E, Reid CM, Eccleston D. Alternative Risk Markers in Coronary Artery Disease Study: B-type natriuretic peptide trumps other prognostic markers in patients assessed for coronary disease. BMC Med. 2019;17(1):72.
14. Ponikowski P, Voors AA, Anker SD, Bueno H, Cleland JG, Coats AJS, Falk V, González-Juanatey JR, Harjola VP, Jankowska EA, et al. 2016 ESC guidelines for the diagnosis and treatment of acute and chronic heart failure. Eur Heart J. 2016;37(27):2129–200.
15. McMurray JJ, Packer M, Desai AS, Gong J, Lefkowitz MP, Rizkala AR, Rouleau JL, Shi VC, Solomon SD, Swedberg K, et al. Angiotensin-nephrilysin inhibition versus enalapril in heart failure: N Engl J Med. 2014;371(11):993–1004.

16. Mustafa U, Atkins J, Mina G, Dawson D, Vanchiere C, Duddyala N, Jones R, Reddy P, Dominic P. Outcomes of cardiac resynchronisation therapy in patients with heart failure with atrial fibrillation: a systematic review and meta-analysis of observational studies. Open Heart. 2019;6(1):e000937.

17. Kotecha D, Manzano L, Krum H, Rosano G, Holmes J, Altman DG, Collins PD, Packer M, Wikstrand J, Coats AJ, et al. Effect of age and sex on efficacy and tolerability of beta blockers in patients with heart failure with reduced ejection fraction: individual patient data meta-analysis. BMJ. 2016;353:i1855.

18. Cleland JGF, Bunting KV, Flather MD, Altman DG, Holmes J, Coats AJ, Manzano L, McMurray JIV, Ruschitzka F, van Veldhuisen DJ, et al. Beta-blockers for heart failure with reduced, mid-range, and preserved ejection fraction: an individual patient-level analysis of double-blind randomized trials. Eur Heart J. 2018;39(1):26–35.

19. Kotecha D, Bunting KV, Gill SK, Mehta S, Stanbury M, Jones JC, Haynes S, Calvert MJ, Deeks JJ, Steeds RP, et al. Effect of digoxin vs bisoprolol for heart rate control in atrial fibrillation on patient-reported quality of life: the RATE-AF randomized clinical trial. JAMA. 2020;324(24):2497–508.

20. Bunting KV, Stanbury M, Tica O, Kotecha D. Transforming clinical research by involving and empowering patients: the RATE-AF randomized trial. Eur Heart J. 2021;42(25):2411–4.

21. Pinho-Gomes AC, Azevedo L, Bidel Z, Nazarzadeh M, Canoy D, Copland E, Salam A, Rodgers A, Kotecha D, Rahimi K. Effects of blood pressure-lowering drugs in heart failure: a systematic review and meta-analysis of randomized controlled trials. J Hypertens. 2019;37(9):1757–67.

22. Pinho-Gomes AC, Azevedo L, Copland E, Canoy D, Nazarzadeh M, Ramakrishnan R, Berge E, Sundström J, Kotecha D, Woodward M, et al. Blood pressure-lowering treatment for the prevention of cardiovascular events in patients with atrial fibrillation: an individual participant data meta-analysis. PLoS Med. 2021;18(6):e1003599.

23. Seno K, Lip GY, Lane DA, Buller HR, Kotecha D. Residual risk of stroke and death in anticoagulated patients according to the type of atrial fibrillation: AMADEUS trial. Stroke. 2015;46(9):2523–8.

24. Lane DA, Skjofth F, Lip GYH, Larsen TB, Kotecha D. Temporal trends in incidence, prevalence, and mortality of atrial fibrillation in primary care. J Am Heart Assoc. 2017;6(5):e005155.

25. Conrad N, Judge A, Tran J, Mosheni H, Hedegocott D, Crespiello AP, Allison M, Hemingway H, Cleland JG, McMurray JIV, et al. Temporal trends and patterns in heart failure incidence: a population-based study of 4 million individuals. Lancet. 2018;391(10120):572–80.

26. Sperrin M, Candelish J, Badrick E, Remehan A, Buchanan I. Collider bias is only a partial explanation for the obesity paradox. Epidemiology. 2016;27(4):525–30.

27. Shah R, Gayat E, Januzzi JL Jr, Sato N, Cohen-Solal A, diSomma S, Fairman E, Harjola VP, Ishihara S, Lassus J, et al. Body mass index and mortality in acutely decompensated heart failure across the world: a global obesity paradox. J Am Coll Cardiol. 2018;63(8):778–85.

28. Ma C, Avenell A, Bolland M, Hudson J, Stewart F, Robertson C, Sharma P, Fraser C, MacLennan G. Effects of weight loss interventions for adults who are obese on mortality, cardiovascular disease, and cancer: systematic review and meta-analysis. BMJ. 2017;359:j4649.

29. Wing RR, Lang W, Wadden TA, Safford M, Knower WC, Bertoni AG, Hill JO, Brancati FL, Peters A, Wagenknecht L. Benefits of modest weight loss in improving cardiovascular risk factors in overweight and obese individuals with type 2 diabetes. Diabetes Care. 2011;34(7):1481–6.

30. Kotecha D, Lainscak M. Comorbidity (HFrEF and HFpEF) - atrial fibrillation. In: ESC Textbook of Cardiovascular Medicine 3rd edition. edn. Edited by Camm AJ. Oxford University Press; 2018.

Publisher’s Note
Springer Nature remains neutral with regard to jurisdictional claims in published maps and institutional affiliations.