RADIAL STELLAR PULSATION AND THREE-DIMENSIONAL CONVECTION. III. COMPARISON OF TWO-DIMENSIONAL AND THREE-DIMENSIONAL CONVECTION EFFECTS ON RADIAL PULSATION

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ABSTRACT

We have developed a multi-dimensional radiation hydrodynamics code to simulate the interaction of radial stellar pulsation and convection for full-amplitude pulsating models. Convection is computed using large eddy simulations. Here, we perform three-dimensional (3D) simulations of RR Lyrae stars for comparison with previously reported 2D simulations. We find that the time-dependent behavior of the peak convective flux on pulsation phase is very similar in both the 2D and 3D calculations. The growth rates of the pulsation in the 2D calculations are about 0.1% higher than in the 3D calculations. The amplitude of the light curve for a 6500 K RR Lyrae model is essentially the same for our 2D and 3D calculations, as is the rising light curve. There are differences in the slope at various times during falling light.

Key words: convection – hydrodynamics – methods: numerical – stars: oscillations – stars: variables: general – stars: variables: RR Lyrae

1. INTRODUCTION

RR Lyrae and classical Cepheid variables have long played an important role as standard candles in the development of our understanding of the structure and evolution of our Galaxy and nearby galaxies. Their importance has led to a long history of trying to model the pulsation of these variables (e.g., Christy 1964, 1966a; Cox et al. 1966a; Stellingwerf 1975; Bono & Stellingwerf 1994) with one-dimensional (1D) hydrodynamic simulations. These were successful in computing a number of full-amplitude light curves that agreed in some detail with those observed, at least as long as the models were not chosen too close to the red edge of the instability strip. It was speculated early on that convection in the ionization regions for models near the red edge would be important (Christy 1966b; Cox et al. 1966b), but neither models in which mixing length convection was frozen in at static model levels (e.g., Tuggle & Iben 1973) nor models in which convection instantaneously adjusted to the static flux for the current state variables (Cox et al. 1966b) predicted a return to stability at the red edge. This led to the development of more sophisticated time-dependent mixing length approaches (e.g., Stellingwerf 1982a, 1982b, 1984a, 1984b, 1984c; Kuhfuss 1986; Xiong 1989) and 1D hydrodynamic simulations using convective models such as these were able to compute a red edge (e.g., Bono & Stellingwerf 1994; Gehmeyr 1992a, 1992b, 1993). Further calculations (e.g., Bono et al. 1997a, 1997b; Marconi et al. 2003; Marconi & Degl’Innocenti 2007) were able to produce full-amplitude light curves of RR Lyrae variables that somewhat resemble what is observed, although the agreement between the observed and computed light curves for low-amplitude RR Lyrae variables near the red edge remains relatively poor (Marconi & Degl’Innocenti 2007). The general conclusion appears to be that the treatment of convection in pulsating stars remains unsatisfactory (e.g., Buchler 2009; Marconi 2009), as evidenced by the relatively poor agreement between the observed and computed light curves near the red edge of the RR Lyrae gap.

The problems with the local mixing length approach, including the necessity of assuming values for free parameters that can significantly change the solution, led Deupree (1977a) to approach the problem of the interaction between convection and radial pulsation in RR Lyrae variables in a different way. He performed 2D hydrodynamic simulations following the largest eddy developed in two dimensions by the convective instability in the hydrogen ionization zone. This allowed him to determine the red edge location (Deupree 1977b) and to show that the first overtone red edge was to the blue of the fundamental red edge (Deupree 1977c). The reason for the red edge is that convection essentially allows energy transport out of the hydrogen ionization region when pulsational instability needs to store it and stops transporting energy near maximum velocity when it needs to be released to drive the pulsation. However, he was not able to compute full-amplitude models because the algorithm he used to determine the radial flow of his mesh (by forcing the mesh to move at the horizontal average radial velocity at each radial mesh point) could not keep the very narrow hydrogen ionization zone resolved in the mesh for more than about 20 periods. There have been some other 2D calculations undertaken recently to study the interaction of convection and pulsation (Mundprecht et al. 2013; Gastine & Dintrans 2011), but these have not yet led to a comparison of full-amplitude solutions with observations.

The problem with the mesh propagation in multi-dimensional calculations has been successfully solved by Geroux & Deupree (2011), who devised a radial mesh flow algorithm in which the mass in a given spherical shell does not vary during the course of the calculation. This does not mean that the calculation is Lagrangian; it merely means that there is no net mass flow out of a spherical shell. This is a comparatively simple version of techniques where the computational mesh is allowed to move according to certain rules (e.g., Gehmeyr 1992a; Dorfi & Feuchtiger 1991; Feuchtiger & Dorfi 1996). The horizontal motion is determined by the conservation laws and mass can flow into and out of a spherical shell; there just cannot be any net mass flow out of the shell. This has allowed the calculation of full-amplitude pulsation models in 2D (Geroux & Deupree

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The primary results of these calculations are that the light curves resemble those produced by 1D codes for models not close to the red edge, although the amplitudes tend to be somewhat lower, while models near the red edge agree not close to the red edge, although the amplitudes tend to light curves resemble those produced by 1D codes for models 2013). The primary results of these calculations are that the \( \theta \) and \( \phi \) zones of \( 5 \times 5 \) up to zonings of \( 40 \times 40 \). Simulations with the largest number of angular zones had very large computational requirements and the amount of computational time required to reach full amplitude would have been prohibitively long. As a compromise, we chose 20 \( \theta \) and 20 \( \phi \) zones, zoning that is still quite computationally demanding (these calculations require several months). It should be emphasized that the calculation compare the 2D and 3D convective flow patterns. In Section 3, we examine how the difference between 2D and 3D convection affect the radial pulsation growth rates and how the convective strength depends on pulsation amplitude. In Section 4, we consider the differences in time-dependent behavior of full-amplitude pulsation with 2D and 3D convection.

2. CONVECTIVE FLOW PATTERNS

We have performed simulations of RR Lyrae pulsation with 3D convection at effective temperatures of 6200, 6300, 6400, 6500, 6700, and 6900 K. The initial parameters of these models match their 2D counterparts presented by Geroux & Deupree (2013): \( L = 50 L_\odot, M = 0.7 M_\odot, X = 0.7595, \) and \( Z = 0.0005 \). The difference is that these models have an extra dimension for fluid flow. Given the highly turbulent nature of convection in the surface ionization regions of RR Lyrae stars, the convective motion should be 3D. These 3D simulations have the same radial and \( \theta \)-zoning (140 \( \times \) 20) as the 2D calculations but also have 20 \( \phi \) zones covering 6\( ^\circ \), producing a 3D version of a pie slice subtending 36 \( \text{deg}^2 \). The choice of 6\( ^\circ \) coverage in each direction comes from relatively short 3D simulations with angular zoning that subtended total angles from 2\( ^\circ \times 2^\circ \) to 10\( ^\circ \times 10^\circ \) with both \( \theta \) and \( \phi \) stepping simultaneously in increments of 2\( ^\circ \) between the two extremes. These short simulations were for a 5700 K effective temperature model with strong convection and were carried out until convection had finished growing from machine-round-off errors and at least two additional pulsation cycles had been completed. The 6\( ^\circ \) \( \times \) 6\( ^\circ \) configuration was found to be a good compromise between the inclusion of multiple convective cells and good resolution. The 6\( ^\circ \) simulation was the smallest angular coverage for which we found more than one distinct convective cell. We have performed short 3D simulations with the number of \( \theta \) and \( \phi \) zones of \( 5 \times 5 \) up to zonings of 40 \( \times \) 40. Simulations with the largest number of angular zones had very large computational requirements and the amount of computational time required to reach full amplitude would have been prohibitively long. As a compromise, we chose 20 \( \theta \) and 20 \( \phi \) zones, zoning that is still quite computationally demanding (these calculations require several months). It should be emphasized that the calculation

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**Figure 1.** Upper 16\% by radius of a 2D simulation of a 6300 K effective temperature RR Lyrae model. Temperature is indicated by the color scale and the vectors show the direction of the convective flow. Note the relatively narrow, high-velocity downward convective flow in comparison with the slower moving wider area upward flow. The white radial lines indicate the horizontal periodic boundaries of the calculation.

**Figure 2.** Upper 16\% by radius of an \( r-\theta \) slice through a 3D simulation of a 6300 K effective temperature RR Lyrae model. Temperature is indicated by the color scale and vectors show the motion in the \( r-\theta \) plane. Note that, in contrast to Figure 1, the downward motion covers a wider area and there is little evidence of upward flow in this particular plane.
Figure 3. Temperature isosurface ($T = 10^4$ K) and convective velocity vectors for points on a horizontal plane above the isosurface. The color of the isosurface indicates upward convective motion in red and downward convective motion in blue. This “snapshot” is taken during radial pulsation contraction for a 6300 K effective temperature model.

Figure 4. Similar to Figure 3 except during radial pulsation expansion instead of radial pulsation contraction. Note that the convective velocities are larger during contraction than expansion.

Figure 5. Similar to Figure 3 except for a model with an effective temperature of 6700 K during radial pulsation contraction.

Figure 6. Similar to Figure 5 except during radial pulsation expansion instead of contraction.

be a result of the fact that the extra dimension allows some of the return flow to take place in a different plane.

While Figure 2 provides information about how the 3D convective flow pattern behaves in the radial and $\theta$ directions, it is more informative to see the flow pattern in both the horizontal directions. Figures 3 and 4 show the temperature isosurface at $10^4$ K spanning the full horizontal extent of the 6300 K effective temperature 3D simulation during the pulsation compression and expansion phases, respectively. One can see that the convection truly is 3D in nature. The reduction in convective strength from compression to expansion is clear, with larger velocity vectors and larger variations in the temperature isosurface showing stronger convective flows during compression and smaller velocity vectors and a flatter temperature isosurface during expansion. Figures 5 and 6 are the same as Figures 3 and 4, except for the 6700 K effective temperature model. Comparing the figures for the 6300 K effective temperature model with the figures for the 6700 K effective temperature model, it is clear that the change in convective strength from compression to expansion is smaller for the hotter model, although convection remains stronger during contraction than expansion for both models. It is interesting to note that the convective patterns show some similarity to the solar granulation pattern, in that they have
large, slow-moving, hot up flows, surrounded by fast, narrow, cool-down flows.

A possible criticism of these calculations is the small angular extent (6° × 6°) only containing two (\(T_{\text{eff}} = 6300\) K model) to four (\(T_{\text{eff}} = 6700\) K model) convective granules, as well as the poor resolution (20 × 20 horizontal zones). In an attempt to help validate that our simulations are getting the large-scale flows correct, we can compare the granule sizes and the up-flow filling factor with other 3D simulations of convection in stars. Recently, work by Magic et al. (2013) has mapped out granule diameters using high-resolution 3D atmosphere models. These authors derived a simple relation among the granule diameter and the two parameters \(T_{\text{eff}}\) and \(\log g\). Unfortunately, the \(T_{\text{eff}}\) and \(\log g\) of our models (around \(\log g = 2.8\) and \(T_{\text{eff}} = 6000–7000\) K) have not been modeled by Magic et al. The closest effective temperature of their models that bracket our gravity is 5500 K. Although the authors caution against extrapolation of their relations, it may still give some indication of the size one might expect for granules in our simulations. From Magic et al.'s relations, we obtain 10.20 and 10.18 for \(\log d_{\text{gran}}\) (with \(d_{\text{gran}}\) in cm) for the largest granules in the 6300 K and 6700 K effective temperature models, respectively. A simple way to estimate the diameter of the granule in our simulations is to divide the horizontal area of our computational domain by the number of granules and calculate the diameter of the granule from this area. Doing so results in \(\log d_{\text{gran}}\) of 10.5 and 10.3 for the 6300 K and 6700 K models, respectively. Our simulations have granules that are slightly larger than predicted from the work by Magic et al., but are the same order of magnitude.

We have also explored the filling factor of up flows (the fraction of the horizontal area with \(v_{r} - v_{0} > 0\), \(f_{\text{up}}\), and found that there is a time dependence on the pulsation phase. The filling factor was measured at the temperature where the temperature gradient is the steepest (10⁴ K). When the star is fully expanded \(f_{\text{up}} \approx 0.7\), while when the star is fully contracted \(f_{\text{up}} \approx 0.4\). The time average of the filling factor over four pulsation phases results in \(f_{\text{up}} \approx 0.6\). These values are quite similar for both the 6300 K and 6700 K models examined. The time-averaged value of 0.6 is reasonably close to that found by other authors of about 2/3 (Magic et al. 2013; Stein & Nordlund 1998).

The similarity of the granule sizes and filling factor with those obtained by higher resolution 3D studies of stellar convection, covering larger horizontal extents, provides some confidence that we are calculating the largest scale structures of convection correctly. Despite this order-of-magnitude agreement, we do not argue that our horizontal zoning is sufficient for our calculations to adequately represent the details of turbulent convection. Given the amount of computer time required for the current 3D calculations, full-amplitude 3D calculations with, say, an order of magnitude more zones in each horizontal direction are still some time away.

3. 2D AND 3D DEPENDENCE OF PULSATION GROWTH RATES AND CONVECTION ON PULSATION AMPLITUDE

Geroux & Deupree (2013) showed that the peak convective flux for a pulsating model depended on the pulsation amplitude. Here, we wish to see that this remains true in 3D. Figure 7 shows how the six-period average of peak convective flux per period varies with pulsation amplitude. This average is determined in the following way. First, we find the convective flux for every zone in a given model and select the largest value. We then compare this peak convective flux for all models within a given period and again select the largest value. The six-period average is then the average of these single-period peak fluxes. The peak convective flux averaged over the six periods clearly increases as the peak kinetic energy of the radial pulsation increases.

Figure 7 also shows that the corresponding 3D simulations have a larger peak maximum convective flux for a given peak kinetic energy than the 2D simulations. This does have an effect on the pulsational growth rates. We determine the growth of the stellar pulsation by using the three-period average of the peak kinetic energy per period. The three-period average reduces the variation introduced by the first overtone in these fundamental mode calculations. Figure 7 indicates that the 3D pulsational growth rate is less than the 2D growth rate. We have calculated the growth rates for the 2D and 3D simulations and find that the 2D growth rates are larger than the 3D growth rates by about 0.07%–0.09% per period, with larger differences for cooler models. This suggests that the relative behavior of convection in 2D and 3D, in terms of its interaction with pulsation, does not vary much across the fundamental mode region of the instability strip. In the calculation of the pulsational kinetic energy, it is assumed that the pulsation is given by the radial motion of the coordinate system. Note that this assumption does not affect the numerical simulation; it only affects our interpretation of the results. In fact, the pulsational kinetic energy vastly exceeds the convective kinetic energy so that some error in this assumption should not alter our conclusions. We note in this regard that all of our calculations are pulsation-dominated, not convectively dominated, including those near the red edge. This suggests that the density stratification does not strongly limit the pulsation, as found in some cases by Gastine & Dintrans (2011). The 2D and 3D growth rates are much closer to each other than are the 1D and 2D growth rates given in Geroux & Deupree (2013),

![Figure 7](https://example.com/figure7.png)

Figure 7. Six-period average of the peak convective flux during a pulsation period and three-period average of the log of the peak kinetic energy per period vs. the time since the beginning of the calculation. 2D calculations are denoted by the dashed curves and 3D calculations are denoted by the solid curves. Although the effects of convection on the growth rate are small, they become apparent over many periods. These results are for a 6500 K effective temperature model.
emphasizing that convection in either its 2D or 3D framework helps to slow the pulsational growth.

4. FULL-AMPLITUDE, TIME-DEPENDENT BEHAVIOR

We have indicated that the time-dependent behavior of convection as a function of pulsation phase is generally the same in 2D and 3D, based on general trends in the convective velocity and the warping of isothermal surfaces. Here, we wish to examine the relative strength of convection more quantitatively in both types of calculations. This is not overly straightforward because we need a definition of the convective strength that can account for the differences in the flow patterns in 2D and 3D. This comparison will be made for a 6500 K model at full amplitude in both 2D and 3D. To proceed, we need to compute the convective flux for the two cases. There is no explicit expression for the convective flux in the conservation equations, only expressions for the total energy advection, the \( PdV \) work, the conversion of the subgrid-scale kinetic energy into heat, and the radiation terms (see Equation (8) of Geroux & Deupree 2013). Thus, the energy equation includes the energy balance of the pulsation, radiation, and convection without explicitly dividing the flow into that associated with pulsation and that associated with convection. However, we would like to examine the behavior of the (radial) convective flux, which we will approximate by

\[
F_{\text{conv}} = c_p \rho (v_r - v_{r0}) \Delta T, \tag{1}
\]

where \( v_r \) is the radial velocity of a given zone, \( v_{r0} \) is the velocity of the coordinate system, and \( \Delta T \) is the difference between the temperature in the zone from the horizontal average temperature at that radial zone. Recall that the velocity of the coordinate system is that required for the mass in the spherical shell to remain constant throughout the calculation (see the discussion in Geroux & Deupree 2011).

One possible comparison is between the maximum convective fluxes anywhere through the computational mesh at a particular time. Once convection has developed sufficiently, this will be in a downward-moving column in either 2D or 3D. This maximum convective flux is merely the maximum value of the flux given in Equation (1) over all the zones in the calculation. However, one could argue that the strength of convection should be measured by the amount of convective energy transport through a spherical surface. Here, we must add up all the convective fluxes from all the zones at a given radius, with the individual zone surface areas taken into account. For convenience, we turn this into a convective luminosity. A comparison of these two convective flux-related quantities in 2D and 3D will not necessarily yield the same result because the fraction of the surface area taken up by the downward moving material is quite different in the differing dimensions. Specifically, the 2D extension into 3D would have the downward convective flows moving in a long “trench” not shown in the 3D simulations.

We present the results of such a 2D–3D comparison in Figure 8 for an effective temperature of 6500 K. The top panel shows the comparison of the 2D and 3D maximum convective flux and the second panel shows the maximum convective luminosity computed as described above. The maximum convective flux is higher in 3D than 2D, while the opposite is true for the convective luminosity. Clearly, the fraction of the surface taken up by the large convective flux situated in the downward flow makes the difference. Having said that, we note that the relative time dependence of either the maximum convective flux or the convective luminosity is quite similar in 2D and 3D. The convective energy transport increases markedly during the latter phases of pulsational contraction and decreases during early expansion. As noted by Deupree (1977a) and also by (Gastine & Dintrans 2011), this is the type of behavior that leads to a decrease in the pulsational driving by the ionization zones. This is not to say that this time-dependent behavior is the sole property affecting pulsational growth or decay. For example, Gastine & Dintrans (2011) present an example in which the static-model density stratification can appreciably affect the pulsation amplitude. While we do not believe this is an issue in this particular case because the pulsational kinetic energy is so much larger than the convective kinetic energy, we have not done a suite of calculations covering the possible range in the physical and model properties to determine if any of these affect the pulsation amplitudes.

The peak convective velocity, shown in the third panel of Figure 8, appears to be somewhat higher in 3D than 2D. The largest difference is at maximum convective flux, but the
velocity differences are not that large. The maximum horizontal temperature variation also appears to be a little larger in 3D than 2D at maximum convective flux. The combination of these two differences are responsible for the increased maximum convective flux in 3D.

Of course, the crucial test in modeling RR Lyrae stars at full amplitude is the light curve. In Figure 9, we compare the 2D light curve with the 3D light curve for the 6500 K full-amplitude model and the 3D light curve with that of RR Lyrae variable star V93 in the globular cluster M3 as observed by Cacciari et al. (2005). We first note that the amplitude of the light curve and the rising part of the light curve is quite similar. As full-amplitude 3D calculations are completed, we should be able to determine how pervasive these differences are, particularly closer to the red edge. Also, very little has been done in terms of parameter studies in the 3D calculations. These remain difficult simply because 3D calculations require several months to reach full amplitude.

We have computed a number of 3D hydrodynamic models of RR Lyrae variables, one of which has now reached full amplitude. The convective flow pattern, of course, is genuinely 3D and thus different from that found in our previous 2D models (Geroux & Deupree 2013). However, the differences of the effects between 2D versus 3D convection on pulsation appear to be comparatively modest. The phase-dependent behavior of the peak convective flux is quite similar between the 2D and 3D models, and the 3D models decrease the pulsational growth rate by only about 0.1% per period compared with the 2D models. The comparison between light curves from the 2D and 3D calculations for the one 3D model at full amplitude are somewhat different during falling light, although the amplitude of the pulsation and the rising part of the light curve is quite similar. As full-amplitude 3D calculations are completed, we should be able to determine how pervasive these differences are, particularly closer to the red edge. Also, very little has been done in terms of parameter studies in the 3D calculations. These remain difficult simply because 3D calculations require several months to reach full amplitude.

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