Sea Level Rise and the Dynamics of the Marsh-Upland Boundary

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During sea level rise, salt marshes transgress inland invading low-lying forests, agricultural fields, and suburban areas. This transgression is a complex process regulated by infrequent storms that flood upland ecosystems increasing soil salinity. As a result upland vegetation is replaced by halophyte marsh plants. Here we present a review of the main processes and feedbacks regulating the transition from upland ecosystems to salt marshes. The goal is to provide a process-based framework that enables the development of quantitative models for the dynamics of the marsh-upland boundary. Particular emphasis is given to the concept of ecological ratchet, combining the press disturbance of sea level rise with the pulse disturbance of storms.

**Keywords:** marsh upland boundary, sea level rise, effect of storms on forests, salt marsh, soil salinity, marsh transgression, marsh boundary

**INTRODUCTION**

The retreat of coastal forests and farmland as sea level rises and their replacement with salt marshes is well documented (Williams et al., 1999a; DeSantis et al., 2007; Doyle et al., 2010; Smith, 2013; Kirwan et al., 2016); however, the mechanisms that control this retreat vary with the geomorphological, hydrological, and ecological setting of the marsh-forest interface. Trees and other upland vegetation can be killed by increased tidal flooding and storm surges, either because they are not flood-tolerant or because they are not tolerant to the salinity of flood waters. Saltwater intrusion in which the groundwater table becomes more saline, whether due to sea-level rise or groundwater withdrawals, can also lead to vegetation change (Pezeshki et al., 1990). Droughts can amplify these effects by reducing the supply of fresh water (DeSantis et al., 2007).

Sea-level rise and storms act at very different spatial and temporal scales on the marsh-upland boundary. Storms are a pulse disturbance that affects coastal forests in the short-term, damaging trees and triggering diebacks that make ecological space for new vegetation species. Sea level rise is a press disturbance, which alters in the long-term soil salinity and flooding regime thus favoring the growth of halophytic grasses. Both disturbances are instrumental in the dynamics of the marsh-upland boundary, and they will be presented in detail in sections The pulse disturbance: effect of storms on forests and The press disturbance: sea level rise and marsh migration into forests.

In the ecological ratchet model presented in section The Ecological Ratchet Model of Marsh Migration these two processes are combined. In the ratchet model the transition zone between salt marsh and forest is defined by two boundaries. Above the upper boundary a healthy forest thrives while below the lower boundary only salt marshes are present. Between these
limits the forest is replaced by marsh vegetation with a complex dynamics. In this area mature trees can persist but not regenerate and are eventually replaced by marsh vegetation. In the ratchet model sea-level rise moves the upper boundary into the forest, while storm surges advance the lower boundary, expanding the salt marshes.

The landuse and slope of marsh-adjoining areas are two other important factors that determine the rate of upslope migration of salt marshes. We will explore how different coastal slopes affect the dynamics of the marsh-landup boundary in the section The Effect of Landscape Slope on Marsh Migration. Sea level rise does not always trigger marsh migration, but when this happens the marsh directly replaces another land cover type such as agricultural, developed, or managed landscapes that formerly existed. Expansion of salt marshes in suburban lawns in presented in section The Effect of Urban Landuse on Marsh Migration.

Agricultural fields are more exposed to projected sea-level rise effects than grasslands or woodlands (Feng et al., 2018). For coastal communities around the world, sea-level rise is not only a major threat to urban populations (Gunerat et al., 2015), it also causes loss of salt marshes (Kirwan et al., 2010) and loss of farmland through its conversion to salt marshes or mangroves (Nicholls and Leatherman, 1995). In 1995, Nicholls and Leatherman predicted that a one-meter rise in sea-level would result in submergence of 12–15% Egypt’s farmland, “tens of thousands of hectares” of agricultural land in China, and loss of 16% of national rice production in Bangladesh. More recently, Clark et al. (2015) report that in Bangladesh there are about 2.5 Mha of low-elevation coastal lands, defined as 0.9–2.1 meters above msl, of which approximately 1.51 Mha (53%) are already affected by increasing salinity. In section Marsh Migration and Farmland Loss we will briefly summarize how sea-level rise threatens agricultural fields, transforming them in saline wetlands.

The conversion from one land cover type into another alters the value of the land. This change in value determines how society will respond to marsh migration. If the value of the ecosystem services provided by the marsh is higher than the value of the upland landuse then marsh migration will not be impeded; if the upland value is higher, coastal communities will try to stop marsh migration, by building sea walls and other hard structures. In Section The Economics of Marsh Migration in the USA: a Private vs. Public Zero-Sum Game we will address this important issue and how a cost-benefit analysis of marsh migration can be implemented. A section listing the gaps in our understanding of marsh migration and the most pressing research needs is closing this review.

**THE PULSE DISTURBANCE: EFFECT OF STORMS ON COASTAL FORESTS**

Global warming not only triggers an increase in sea level, but likely augments the intensity and frequency of storms near the shore (Webster et al., 2005). Storms, and in particular tropical cyclones, can affect the health of coastal forests, speeding up their conversion to salt marshes. In particular, storm surges are magnified by sea level rise, since the frequency and magnitude of flooding dramatically increases when sea level is higher. It is therefore impossible to neglect the effect of storms on the dynamics of the marsh-forest boundary, both in terms of wind damage and flooding, because storms and sea level rise are intertwined consequences of global warming.

The first step in the conversion of forests to salt marsh is the elimination of the forest canopy. Growth of marsh grasses is hindered under the shade of trees, and forest dieback is necessary to provide enough light for the invading species. Very often large forest diebacks at the marsh boundary is caused by storms and hurricanes that kill the trees. In this section we will explore how storms can affect forests, paving the way to marsh transgression.

Along the U.S. Atlantic and Gulf of Mexico coasts, hurricanes have largely damaged forest structure in regions around its landfall location as observed over the last few decades with the advancement of satellite imagers (Wang and Xu, 2009; Hu and Smith, 2018). Strong winds and inundation by storm surge and tide (up to 10 ft above ground level) during Hurricane Harvey caused extensive vegetation damage near Texas (Blake and Zelinsky, 2018). Reports of foliage loss, trees being uprooted, broken, and fallen during hurricanes Irma and Maria have emerged (Cangialosi et al., 2018; Hu and Smith, 2018; Pasch et al., 2018). Similar damage has also been described during hurricanes Sandy and Katrina in the recent past (Chapman et al., 2008; Middleton, 2016). Forests are primarily affected by two types of perils: strong winds and storm surges associated with hurricanes.

**Damage From Strong Winds and Storm Surges**

Sustained winds and gusts associated with storms alter the structure and dynamics of forests in various ways, including but not limited to defoliation, breakage, and uprooting (Duryea et al., 2007). As the hurricanes make landfall, changes in wind direction and gust intensity affect the transfer of energy from the wind to the tree crown (Drouineau et al., 2000). This most commonly results in defoliation. Trees sway, twist and rock, which stresses their stem and root system (Merry et al., 2009). Individual stems may bend, or if the compression strength of the wood is exceeded, they break or uproot (Merry et al., 2009). Salt spray may also injure the tree crown (Wells and Shunk, 1938).

Storm surges exert multiple stresses on pine forests by saltwater intrusion (Fernandes et al., 2018). Flooding can affect the soil structure, deplete soil O2, accumulate CO2, reduce Fe, and Mn, produce potentially toxic compounds, and induce anaerobic decomposition of organic matter (Ponnamperuma, 1984; Kozlowski, 1997). As salts enter the soil, the increased salinity levels may lead to browning and loss of needles or leaves, along with a decrease in water uptake through roots, nitrogen retention, nutrient use efficiency, and physiochemical retention mechanisms, as seen in loblolly pines (Blood et al., 1991; Merry et al., 2009). High salinity and flooding can also suppress seed germination as well as vegetative and reproductive growth of the trees (Kozlowski, 1997).

Moreover, roots can be damaged by sedimentation and decreased supply of oxygen during flooding. Damage due to
such root suffocation may involve inhibition of root formation, growth, and branching, as well as decay (DeBell et al., 1984; Kozlowski, 1985). Poor root aeration may also decrease the ability of trees to absorb nutrients (Shoulders and Ralston, 1975). Flooding also increases the activity of soil fungi which may lead to the development of root rot (Kozlowski, 1997). These stresses may cause root mortality.

In addition to saltwater intrusion, storm surges can also cause physical damage to trees by strong incoming waves. As the waves approach forests in the nearshore environment, they exert pressure on the trees. This force mechanically stresses the trees and causes bending, uprooting or breakage (Stanturf et al., 2007; Puijalon et al., 2011). Debris transported by waves can also damage the bark and underlying cambium creating flood scars (Phillips, 1999; Stoffel et al., 2010). Heavy rains associated with hurricanes affect pine forests too. Flooding can deplete soil O$_2$ and cause tree mortality from windthrow (Schaetzl et al., 1989). Damage and dieback of trees can facilitate the encroachment of halophytes plants and the transition from forest to marsh. However, other factors play a role in the transition, because in several locations the forest recovered fast after a hurricane disturbance (Everham and Brokaw, 1996).

### Sea Level Rise and Temporal Dynamics of Storm Disturbance

Storms can interact with sea level rise in numerous ways to affect coastal forests. One such interaction is the increase in sea level, potentially increasing the storm surge heights and the spatial extent of saltwater intrusion (Smith et al., 2010; Woodruff et al., 2013). Flood index projections along the U.S. East Coast, accounting for changes in the flood magnitude and duration due to both sea level rise and storm surges, are found to be significantly higher (by about 25% in some representative scenarios of global warming) by the 2080–2099 period compared to the projections incorporating sea level rise alone (Little et al., 2015). In addition to the increased flood risk, storm surges can also cause changes in the local geomorphology by erosion or sedimentation (Williams et al., 1999a; Williams and Flanagan, 2009). The net effect of these changes in elevation alter how coastal vegetation interacts with sea level rise, increasing the vulnerability of the forest edge to rising seas (Williams et al., 1999b).

Storms trigger a plethora of biotic responses in forests including shift in ecological equilibrium and forest mortality (Lugo, 2008). Recovery of the forests following hurricanes may be short- or long-term, depending on the nature and severity of the damage. Trees have been observed to start recovering their foliage within a few weeks or months from the passage of a hurricane, which classifies as short-term recovery (Pimm et al., 1994; Everham and Brokaw, 1996). Recovery from physical damage (e.g., bending, root injury) or changes in soil salinity could take from a number of seasons to a few years. Changes in soil salinity can also lead to a shift in ecological space potentially creating non-optimal growth conditions. Furthermore, the effects of changes in soil salinity, uprooting and root damage may cause tree mortality.

Characterizing the susceptibility of different tree species to damage from hurricanes and their trajectories of response is difficult. Stands of longleaf pines are generally more resilient to wind damage compared to loblolly pines or pond pines due to their deep root system, providing them with additional stability (Gresham et al., 1991; Barry et al., 1993). Slash pines are generally more resistant to damage from salinity changes compared to longleaf pines and loblolly pines (Barry et al., 1993). There is no uniform response to all types of damage from various species of trees; however, they are all affected in one way or the other. In addition to the individual species characteristics, the response of a forest to a storm depends on storm characteristics, spatial distribution of trees, soil conditions, and topography (Gardner et al., 1991, 1992; Merry et al., 2009). Figure 1 summarizes the most probable pathways of storm damage on a forest, the amount of time it requires to recover or whether it leads to mortality.

### Morphological Change Triggered by Storms

Violent storms can also modify the boundary between forest and salt marsh through erosion and sediment deposition. The geomorphic effect of storms on the marsh-boundary ecotone depends on the width of the marsh and the intensity of wind, waves and storm surges. In fact salt marshes dissipate wave energy very effectively (Knutson et al., 1982; Jadhav et al., 2013), with wave height reduced by 20% in the first 40 m of marsh (Möller et al., 2014). Therefore, extensive soil erosion in the forest can be expected only if the adjacent marsh is narrow, or the storm very energetic.

Deposition and soil accretion at the marsh-forest boundary is more common than erosion during storms. Hurricane surges have been recorded to deposit sediments in tropical forests (Whelan et al., 2009). Gardner et al. (1991) measured deposition of mud, up to 3 cm in thickness, as far as 1 km in a pine forest in South Carolina during hurricane Hugo. Loope et al. (1994) observed massive deposition of litter and debris in a freshwater swamp forest in Florida after hurricane Andrew, but minimal erosion due to the storm surge. Sustained deposition in the forest would increase elevation, partly mitigating the effect of sea level rise and flooding. However, contrary to salt marshes, there is no evidence for widespread deposition that enables the coastal forest to keep pace with sea level rise. When present, deposition seems limited to the first tens of meters near the forest boundary (Gardner et al., 1991).

Marsh erosion and forest transgression are affected differently by storms. Marsh erosion is caused by wind waves, and therefore a function of wind intensity, direction and fetch (Mariotti et al., 2010; Leonardi et al., 2016). Forest transgression is influenced by storm surges, which are moderated by the extent of marsh bordering the forest, and depends on the elevation of the forest. Although the ocean/marsh boundary has historically been relatively stable in some areas (e.g., Raabe and Stumpf, 2015), where marsh erosion is faster than forest retreat, storms may reduce marsh extent, exposing the forest to erosion by waves.
Uneven shoreline retreat caused by waves can therefore affect the upland boundary. Long-term simulations of wave climate and associated morphological change (i.e., Marani et al., 2011; Leonardi et al., 2016) are therefore important in order to understand the evolution of the marsh-forest boundary.

**THE PRESS DISTURBANCE: SEA LEVEL RISE AND MARSH MIGRATION INTO FORESTS**

Once forest dieback occurs, salt marsh species can invade the area. This is possible because sea level rise has changed the edaphic characteristics of the forest, so that the forest cannot regenerate. In the absence of sea-level rise, the forest would likely recover a dieback event caused by storms. In this section we explore how sea level rise can affect tree regeneration, thus paving the way for marsh migration.

Sea-level rise may favor the expansion of salt marshes in forests through several mechanisms. Encroachment of salt marsh species is generally favored by increased flooding and/or salt concentrations on marshy coastlines with low elevation gradients (Williams et al., 1999a, Figure 2). A complex set of interactions governs the salinity of the waters that forest trees are exposed to at coastal margins (Figure 2). Thus, both the proximal cause of forest decline and lack of regeneration at the coast and...
the composition of the marsh that replaces it (salt marsh or freshwater/oligohaline marsh) may vary along coastlines.

Simplistic bathtub models of sea-level rise can account for both increases in freshwater flooding and increases in salinity with sea-level rise, as long as other conditions affecting water flows and salinity do not change from average historical conditions (Figures 3A,B). This assumption is widely acknowledged to be unrealistic (Williams et al., 1999a; Anisfeld et al., 2016). Bathtub models often overestimate flooding; realistic models describing the propagation and attenuation of water levels are therefore required (e.g., Lentz et al., 2016; Rodríguez et al., 2017; Silvestri et al., 2018).

Salt incursions into coastal swamp forests (from various causes) has been credited with tree death and failure of regeneration in those forests (e.g., Penfound and Hathaway, 1938), and freshwater outflows from extensive aquifers may maintain forest at unusually low elevations (Williams et al., 2007, Figure 3D). In general, however, changes in flooding and salinity, are credited with the suppression of tree regeneration, and the movement of the boundary between marsh and forest.

Forest replacement by marsh tends to proceed by a series of steps in which tree regeneration fails before mature tree death occurs (Clark, 1986; Conner and Day, 1988; Williams et al., 1999b), and thinning of the forest canopy occurs before marsh plants colonize the site to any great extent (Brinson et al., 1995; Williams et al., 1999b, 2007; Langston et al., 2017). These patterns occur because tree seedlings tend to be more susceptible to salt and flooding than mature trees (Williams et al., 1999b and references therein), and marsh species often have low shade tolerance (Brinson et al., 1995; Poulter et al., 2009). These stages of transition from healthy forest to coastal marsh may only be visible, however, on coastlines with very shallow elevation gradients (cf. Field et al., 2016).

In relatively saline areas, feedbacks from forest canopy thinning and colonization by halophytic vegetation may further salinize the site, leading to hypersaline conditions at the forest edge. Exposure of soil where coastal forest trees have died, may cause high surface evaporation that, when combined with low tidal flushing at the higher fringes of the marsh, may concentrate salts. Hence, the high salt marsh and barren flats at the forest edge...
frequently have the highest soil salinity across the marsh/forest ecotone (Kurz and Wagner, 1957). Additionally, halophytes that colonize salty soils or brackish sites appear to contribute to soil salinization, presumably through continued, superior water uptake in brackish soils that leaves the salts behind (Sternberg et al., 2007; Wendelberger and Richards, 2017). This positive feedback makes the forest edge even more hostile to tree survival, potentially hastening tree death and making glyphophytic tree regeneration even more unlikely (Jiang et al., 2012). Conversely, in areas with low salinity, marsh vegetation may protect the site from accumulating salt (Poulter et al., 2008). Thus, feedbacks from marsh vegetation on soil salinity will depend on the initial salinity of the site and, consequently, the type of marsh species that replace forest, either reinforcing the forest boundary by increasing salinity or by moderating salt accumulation.

Resistance of the site to soil salinization and reversibility of salt accumulation depend largely on the sources and dynamics of freshwater inputs to the site. Rainfall that precedes an overland incursion of saltwater (such as a high tide or storm surge) may protect the soil from saltwater infiltration by filling soil pores with fresh water (Gardner et al., 1992; Allen et al., 1998). Flushing of salts that do infiltrate soils is often difficult. However, there are some indications that freshwater inputs are sufficient to counteract salinization of some tidally-flooded sites. Patches of fresh-water marsh species surrounded by salt marsh and the occurrence of forest patches at unusually low elevations, together with isotopic studies suggest that some sites might be supplied with fresh ground water at high enough volume to flush salts and maintain non-halophytic vegetation longer than would be expected from a simple bathtub model of sea-level rise. Patterns of freshwater outflow, especially on karstic coastlines, may be difficult to predict (see Figure 3), and the salinity of water in the aquifer that feeds the coastal fringe is determined by both recent and long-past conditions (Delsman et al., 2014; Figure 3). These will modify the rate at which forest is eliminated and is replaced by salt marsh. Determining the extent to which forest stands at the marsh boundary are supplied by local, rain-fed freshwater lenses (Figure 3C) or by connections to more regional groundwater supplies (Figure 3D) requires site-specific studies (e.g., Williams et al., 2003, 2007; Saha et al., 2010, 2011).

Where forest stands are no longer regenerating due to increased flooding or salinity, forest canopy removal and subsequent replacement by marsh species is irreversible (at least for the foreseeable future). Hypersalinization associated with regional drought may cause notable pulses of tree mortality at the coast; however, where these have been studied, such events remove trees primarily in non-regenerating, already-doomed forest stands (DeSantis et al., 2007). Where forest can regenerate, seedlings may establish and, after wind damage, suppressed trees may be released to replace the damaged forest canopy (Doyle and Gorham, 1996; Rodgers et al., 2006). Where episodic events introduce salts that are not easily removed, such replacement may be irreversible. And where episodic events result in erosion of the soil surface, or disturb tree roots, rendering soil around them more susceptible to erosion (Williams et al., 2003), or kill trees that, in some geologic settings may contribute to building the soil surface above the marsh surface (Sullivan et al., 2014, 2016) the site may irreversibly lose forest and become dominated by marsh species.

In the progression of forest to marsh (Figure 2), the only potentially reversible step is the first: the loss of tree regeneration. Subsequent steps are inevitable with the passage of time and tree aging, although episodic events may hasten tree death and canopy removal. Tree regeneration, however, is episodic in many ecosystems. In coastal areas, pulsed events such as saltwater incursions, hypersalinization, or unusually high water may cause only a temporary pause in tree regeneration. Where local precipitation and topography allow flushing after saltwater incursions, or where local geologic features and regional hydraulic connections allow such flushing, seedling establishment may resume after salinity falls. For some tree species, such incursions cause death of the most recent cohorts of tree seedlings and reduction of salt allows germination of
THE ECOLOGICAL RATCHET MODEL OF MARSH MIGRATION

After a forest dieback triggered by storms, sea-level rise may halt regeneration because seedlings can be more sensitive to environmental change and variability (Kozlowski, 1984; Donovan et al., 1988; Titus, 1990; Williams et al., 1999a). There is therefore a distinction between a regeneration niche (Grubb, 1977), in which seedlings are able to establish and a persistence niche (Bond and Midgley, 2001), in which mature plants that have already established maintain their position. Local variability in hydrology and geomorphology creates gradients in flooding and salt stress, which interact with the age-dependent stress response of trees to create demographic patterns across the landscape.

When these patterns are placed in the dynamic hydrologic setting of coastal landscapes, the transition between coastal forest and shrub or marsh ecosystems becomes an ecological ratchet (Jackson et al., 2009). Gradual sea-level rise pushes the lower boundary of regeneration further into the forest while extreme events move the lower boundary of the persistence zone up to the regeneration boundary (Figure 4).

The dynamics of the ratchet model are described in Figure 5. The hydrology of a site is characterized by an exceedance probability for water level. The water level can be measured in wells deployed in the field or modeled with a water balance approach (Bertassello et al., 2018). Pr[h≤z] is the probability that the water level exceeds the elevation z in a given year. The inverse of this exceedance probability is the return time for a flood that inundates the forest up to z. The tolerance of young trees to flooding is modeled as a threshold exceedance probability above which they cannot develop. This threshold is a physiological limit to seedling growth modulated by a term representing local ecohydrological processes. Two stands with the same physiological limit and water level distribution may have different thresholds if, for example, fresh groundwater inputs enable seedlings to grow at a lower elevation than at one of the sites.

Given the local water level distribution and the topography of a site, the threshold probability maps onto a regeneration boundary, which marks the lowest elevation at which young trees can grow. As sea level rises, the water level distribution moves upward. If neither the shape of the distribution nor the threshold exceedance probability for young trees changes, the regeneration boundary moves upslope at the same rate as sea level rises (Figure 5B). Trees that established at the initial regeneration boundary have matured and, because they are less sensitive to environmental stress, may persist below the new regeneration boundary, forming the persistence zone. The actual boundary between the forest and the marsh moves when these mature trees are killed by severe storms. The difference in elevation between the lowest mature trees and the present regeneration boundary is equal to the amount of sea-level rise since the establishment of those trees.

All the ecohydrological processes that structure the vegetation at a particular site are captured in a single threshold probability. As long as that probability remains constant at the site, this model predicts that the zone of persistence will span an elevation corresponding to the amount of sea-level rise since the establishment of the lowest mature trees, regardless of the actual value of the tolerance. The value of the tolerance does determine the elevation of the forest zones relative to sea level, so that forests with a higher threshold probability will lie closer to sea level than forests with a lower threshold probability.

An increase in water level variability, which accompanies an increase in storminess, for instance, can also drive migration of the regeneration boundary (Figure 5C). As the variance of the water level distribution increases, higher water levels become more likely, and the elevation at which the threshold exceedance probability is reached increases. If the variance of water levels increases after the low trees establish, then the regeneration boundary will move upwards, even in the absence of sea-level rise. The shape of the distribution of water levels can also change, because of variations in local climate and differences in hydrological characteristics of the soil when more forest is flooded.

A key assumption of this model is that the tolerance threshold of trees to flooding is constant in time. However, this tolerance could depend on the cumulative amount of stress experienced...
and the grass and shrub species of the understory (Poulter et al., 2009). We therefore expect fluctuations around the persistence zone size that is estimated with a constant threshold exceedance probability. Sites which have become more stressed since the trees established would have a larger persistence zone size than estimated from the sea-level rise, while those which have become less stressed should have a smaller zone size. These fluctuations could be studied by comparing the deviation from the predicted persistence zone size to stand-level physiological metrics.

The migration of the regeneration boundary is ultimately driven by the slow press of sea-level rise, but the actual movement of the marsh-forest boundary happens in pulses when storms kill mature trees in the persistence zone. On long time scales, i.e., centuries, the migration of the marsh-forest boundary integrates over these pulses and is likely to resemble a deterministic migration up slope.

Our ecological ratchet model relates the position of the boundary to the water level, assuming an instantaneous adaptation of the boundary to changes in mean sea level. However, it will take some time for the ecosystem to adapt to new edaphic and light conditions. For example, it would take several years for grasses to fully grow in a forested area, and decades for a forest to recover after a storm. Following a disturbance, steady state can be achieved only after a relaxation time. A similar lag time was already found between changes in sea level and marsh elevation (Allen, 1990; Kirwan and Murray, 2008). This lag is a function of physical and biological processes and can span few hundreds of years (D’Alpaos et al., 2011).

A first-order approximation of the size of this persistence zone, obtained under the assumption of a constant threshold exceedance probability, is the amount of sea-level rise experienced since the forest establishment. This proxy gives an estimate for persistence zone size that is insensitive to the particular conditions of any given coastal forest and that should apply in a wide variety of settings. Another test of the hypothesized relationship between sea-level rise and forest persistence would be the reproduction of both the qualitative demographic zonation and the quantitative zone sizes in mechanistic models of coastal forests forced by storms and sea-level rise. This key physiological process to include in these models is the age-dependent response of trees to the stresses induced by sea-level rise without which there is no difference between the persistence and regeneration niche, which is required to produce an ecological ratchet.

In the ecological ratchet model the upland slope plays a critical role on both rate and extent of marsh migration. In the next section we will focus on this important morphological driver.

THE EFFECT OF LANDSCAPE SLOPE ON MARSH MIGRATION

Even at the simple level of a bathtub inundation model, it is obvious that slope has a strong influence on the amount of land potentially available for marsh migration. Given a SLR rate of 3 mm yr$^{-1}$, a coastal plain with a slope of 0.0004—such as Florida’s Big Bend (Raab and Stumpf, 2015)—has 75 m
of land available for marsh migration each decade. On the other extreme, “pocket marshes” in glaciated landscapes such as the Connecticut coast of Long Island Sound are sometimes surrounded by steep bedrock outcrops, with little land available for migration. In between those extremes, Chesapeake Bay marshes have upland slopes ranging from 0.003 to 0.1 (Schieder et al., 2017), corresponding to potential horizontal migration rates of 10 to 0.3 m per decade.

However, these calculations beg the central questions of marsh migration: Do marshes in fact quickly occupy the land that is theoretically made available to them by SLR? And how do factors such as slope and landuse affect their ability to do so? In order to address these questions, it is helpful to focus on rates of migration expressed vertically, rather than horizontally, in order to remove the first-order effects of slope and allow direct comparison to rates of SLR.

Three studies—from Chesapeake Bay (CB; Schieder et al., 2017), Delaware Bay (DB; Smith, 2013), and Florida (Raabe and Stumpf, 2015)—have used aerial photographs and/or historical maps to estimate changes in the marsh-forest boundary over time (note that marsh migration, as measured in these studies, is really about the absence of upland vegetation rather than the presence of marsh vegetation: an area in which marsh plants are growing under trees would be classified as upland—an inherent shortcoming of the aerial photo approach). We have converted these literature values of migration rates from horizontal to vertical units and plotted them as a function of slope (Figure 6).

Both the CB and the DB studies show vertical migration rates (VMRs) that are mostly higher than local RSLR, while VMRs in the Florida study are about the same as RSLR. For the CB study, there is a significant positive effect of slope on VMR ($R^2 = 0.25, p = 0.001$), while the DB study shows no effect (possibly because the range of slope values is more limited). The Florida study only reported average migration rates across the entire study area, so we could not analyze the effects of slope, but it is interesting that this low-slope area shows the lowest average VMR of the three studies. Complicating matters, of course, is that each of the studies covers a different time period (CB: 1846–1912 to 2013–2014; DB: 1930–2006; Florida: 1852–1886 to 1995).

Overall, however, Figure 7 suggests that in many cases, higher slopes lead to higher VMRs, which is consistent with the study by Hmieleski (1994) and the model of Brinson et al. (1995). Why would higher slopes lead to higher VMRs? Figure 7 outlines several potential effects of slope on VMR. First, higher slopes presumably lead to more rapid drainage (Hmieleski, 1994; Brinson et al., 1995), which might be expected to decrease the impacts of occasional saltwater inundation on soil water content and salinity, and thus retard migration, not facilitate it. In addition, upland plants living on steeper slopes should be better able to survive saltwater inundation, since the distance that roots must traverse to access upslope fresh water is decreased. On the other hand, marsh plants migrating over steeper slopes benefit from the shorter distance they must travel, a factor that might be especially important for plants that spread with rhizomes, such as Juncus gerardii and Distichlis spicata. Furthermore, saltwater pulses (e.g., from storm surges)—which are likely critical for initiating migration—may be dampened in low-slope areas by the expanse of marsh vegetation that they must traverse (Hmieleski, 1994). Based on Figure 6, we hypothesize that these latter factors—the shorter distance for both marsh plants and saltwater pulses to travel—are responsible for the higher-than-expected VMRs in high-slope areas.

Edaphic conditions might also prevent marsh expansion irrespectively of topographic slope. In coastlines with high freshwater outflows or extensive freshwater lenses the migration is retarded if not stopped (Figure 3). Therefore, the feedbacks between topography (e.g., slope) and groundwater dynamics needs to be characterized in detail at the local scale in order to quantify marsh transgression. So far we have focused on marsh migration in forests. In the following sections we examine the mechanisms of salt marsh migrations in urban landscapes and in agricultural fields.
THE EFFECT OF URBAN LAND USE ON MARSH MIGRATION

Most studies of marsh migration have focused on migration into forested areas, with the exception of Wasson et al. (2013) and Anisfeld et al. (2016), which examined shrublands and mowed lawns, respectively. As indicated by the locations of these studies, non-forested uplands are particularly common in semi-arid (e.g., California) and urban (e.g., Long Island Sound) coastlines.

Urban uplands can be broadly classified into hardscapes and mowed lawns. In hardscapes such as parking lots, migration is strongly impeded, which points to the importance of mowed lawns as potential sites for marsh migration. In Connecticut, many of these lawns continue to be mowed even as marsh plants replace lawn grasses (Anisfeld et al., 2016). Migration into lawns is difficult-to-impossible to study using historical maps and aerial photographs, due to the challenge of distinguishing mowed lawn from mowed marsh.

Anisfeld et al. (2016) compared migration into lawns with migration into wooded areas at the same sites, and found no large differences in the extent of migration (expressed as vertical position of the marsh-upland ecotone). However, they found significant differences in the type of marsh vegetation that dominated the ecotone in the two landuses. In particular, migration into lawns was dominated by short-stature, mowing-tolerant plants such as *Juncus gerardii* and *Spartina patens*, while migration into wooded areas was dominated by tall and woody plants such as *Phragmites australis* and *Iva frutescens*; wooded areas also had more bare ground. This illustrates the important point that not all transitional marshes are identical in structure (and presumably function), a fact not captured by simple migration rates.

Little other data exist on how migration rates are affected by landcover. We suggest that four factors might be important to consider (Figure 8). First, marsh migration will clearly take longer if the upland plants are more salt tolerant. Tolerance of soil salinity and salt spray varies widely among both trees and grasses, and even for different varieties of the same species (Carrow and Duncan, 1998). For example, the forest being replaced by marsh in DB (Smith, 2013) includes both salt-sensitive species like *Acer rubrum* (threshold soil salinity <2dS/m; Wu and Dodge, 2005) and salt-tolerant ones such as *Nyssa sylvatica* (6–8 dS/m; Miyamoto et al., 2004); this may explain some of the variability in Figure 6. Likewise, commonly-used turfgrasses range from very salt-sensitive (e.g., *Poa annua* and *Agrostis tenuis*) to quite tolerant (e.g., some cultivars of *Festuca rubra*; Carrow and Duncan, 1998). At Hammonasset Beach State Park in Connecticut, marsh-adjacent lawns that have been disturbed by construction are replanted with a "New England Coastal Salt Tolerant Grass Mix" which includes 7 relatively salt-tolerant species (New England Wetland Plants, Inc., http://newp.com/data/2018/05/COASTAL-SALT-2018.pdf); this practice may retard marsh migration.

Despite the lack of a systematic difference in salt tolerance between trees and grasses, there is likely a difference in turnover rate (Figure 8). Once turfgrasses are salt-stressed, they are likely to die and be replaced by marsh plants relatively quickly, while trees may take longer to die, and their dead trunks and roots are likely to persist longer on the landscape, potentially slowing marsh migration.

A third factor affecting migration rates in non-forested areas (Figure 8) is the mowing regime. As noted above, certain marsh grasses can survive mowing, so it is not clear that mowing impedes marsh migration *per se*, although ecosystem function is unlikely to be fully developed. Fourth, the near-100% ground cover that is typical of turfgrasses may present a greater barrier to marsh plants than a relatively open forest understory (though a thick litter layer may change this).

Thus, theoretical considerations (Figure 8) suggest different possible impacts of landuse on migration rates. Further data are needed to test these hypotheses, with the ultimate goal of both understanding and facilitating marsh migration across different landscapes. Marsh migration in farmlands is also common in rural areas, and will be examined in the next section.

MARSH MIGRATION AND FARMLAND LOSS

Most studies of marsh migration into uplands have focused on forested land; fewer studies consider conversion of farmland to wetlands. While an obvious and direct impact of sea-level rise on coastal farms is conversion to subtidal mudflats, indirect factors associated with sea-level rise often are the primary cause of salt marsh transgression into farmland. Such factors include flooding due to sea-level rise enhanced storm-surge (Theiler and Hammar-Klose, 2000; Haigh et al., 2014; Kang et al., 2016), wetter conditions due to higher fresh groundwater levels (McCobb and Weiskel, 2003), and salinization of both soils (Clark et al., 2015) and groundwater (Masterson and Garabedian, 2007).

Efforts to predict farmland conversion to wetlands due to direct and indirect factors associated with sea-level rise have been largely regional and have focused on deltaic systems where the population density and the consequences of agricultural
land loss are high (e.g., Katsman et al., 2011; Kang et al., 2016; Feng et al., 2018; Timsina et al., 2018). Conversion of cropland to salt marshes due to sea-level rise in low-lying coastal areas of the mid-Atlantic USA, are just beginning to receive attention (Gewin, 2018). The reasons for focus on the USA mid-Atlantic are similar to those for deltaic regions; that is, the low elevation and shallow landscape slope of these areas allows for landward movement of marshes that results in the conversion of upland, including agricultural land, to salt marsh (Kirwan et al., 2016). The low elevation and shallow slope, coupled with high rates of sea level rise, leads to significant change in farmland to marsh that may offset marsh loss on the seaward marsh edge (Kirwan et al., 2016). Where the slope of the upland is mild, rates of marsh migration will be rapid; where the upland is well-drained and the slope of the boundary between the upland and marsh is steep, there will be a topographic constraint on transgression and marsh migration will stall (Brinson et al., 1995). Additionally, the relatively high rate of sea-level rise in the mid-Atlantic contributes to rapid upland migration of marshes. Sea-level rise in the mid-Atlantic is twice the global average and exceeds that of the rest of the US coast with the exception of coastal Louisiana (Sallenger et al., 2012). Titus et al. (2010) estimate that 192 ha of farmland are converted to salt marsh annually in Accomack and Northampton Counties, Virginia.

Observations of farm-fields undergoing conversion to salt marsh in Northampton, VA, suggest a new pathway for marsh migration into uplands. Farms in this region are generally cropped in a winter wheat-corn-soybean rotation, although other crops frequently include potatoes, cotton, and vegetables (USDA, 2012; Figure 9). Soils of farmlands adjacent to salt marshes are well-drained sandy loams, with low organic matter content, moderate pH, and low cation exchange capacity. Hydrologic fluxes in farm fields are dominated by vertical exchanges of water from the soil to the atmosphere or to the groundwater; these exchanges include precipitation, evaporation and infiltration (Brinson et al., 1995).

As sea-level rises, the groundwater table rises, the thickness of the freshwater lens decreases, bringing saltwater closer to the land surface. A similar dynamics has been reported for Cape Cod, Massachusetts (USA), coastal uplands (Masterson, 2004; Masterson and Garabedian, 2007). On Cape Cod, the rise in water-table levels increased proportionally to rising sea level (Mccobb and Weiskel, 2003); although the magnitude of the response was modified by proximity to non-tidal, groundwater-fed streams (Masterson and Garabedian, 2007). A similar response is likely in the mid-Atlantic region because the Cape Cod coastal aquifer system is similar to those along the entire US Atlantic coast (Barlow, 2003).

When the freshwater-saline water interface rises nearer to the land surface, the soils become waterlogged more frequently during rainstorms and take longer to drain, reducing the productivity of the farmland and leading to the eventual abandonment of the land. This scenario is supported by a survey of farmers attending the 2017 Virginia Eastern Shore Agriculture Conference. Over 70% of the survey respondents who farmed land adjacent to the estuary had abandoned portions of their fields due to prolonged periods of standing water after high rainfall events. Once the farmland is abandoned, and during times of low to moderate precipitation when the soil is not saturated, early successional-stage old-field plants colonize the land (Shiffllett et al., 2013). When precipitation is of sufficient intensity or duration to saturate the soil for extended periods, the resulting low soil-redox conditions facilitate colonization by wetland plants and favor accumulation of organic matter in the soil (Figure 10).

While sea-level rise may result in rising groundwater levels and saltwater intrusion of the groundwater, ultimately it is soil salinization that leads to conversion of farmland to salt marshes. As sea-level continues to rise, the frequency of storm-surge flooding the abandoned land increases. The result is that the saline water infiltrates the soil, raising the salinity of both the soil and surficial groundwater, and decreasing the sharpness of the fresh-salt water interface. A further change is that seawater contains abundant sulfate, which in combination with low redox, favors the accumulation of sulfides (Ponnamperuma, 1972). The accumulation of sulfide and the osmotic effect of salt in the soils favors conversion from freshwater-wetland plants to

FIGURE 9 | Predicted inundation under one- and three-feet of sea-level rise in Dorchester and Somerset Counties, Maryland, USA relative to 2008 data. Red shading indicates inundated areas, from Epanchin-Niell et al. (2018).
high-salt-marsh plants (Koch and Mendelssohn, 1989) and the low redox conditions favors continued accumulation of soil organic matter (Figure 10).

Low land slope, rapid rates of sea-level rise, and increasing occurrences of coastal storms and droughts will accelerate marsh migration into farmlands. Further, predicting what soil salinity will be is a significant problem due to non-linear interactions among drivers (Clark et al., 2015) thereby compounding the difficulty of predicting how rapidly marsh will migrate into uplands.

What is clear is that marsh migration into farmland is driven by a combination of hydrological, climatological, and geomorphological processes that cause increasing soil saturation and salinization in response to sea-level rise. While the conversion of farmland to salt marsh may preserve and increase the ecosystem services provided by marshes (Craft et al., 2009), there is a cost to the local economy of productive land for farming operations. In the next paragraph we present how we can assess the economic impact of the conversion of upland areas into marshes.

THE ECONOMICS OF MARSH MIGRATION IN THE USA: A PRIVATE VS. PUBLIC ZERO-SUM GAME

In a period of accelerated sea level rise the survival of salt marshes relies on upland migration (Schuerch et al., 2018). Only conversion of upland areas to marshes can mitigate marsh loss due to rising sea levels. But marsh migration will likely be prevented by coastal communities in many areas, because it translates in loss of agricultural land, lawns, and infrastructure. Only a cost-benefit analysis showing the intrinsic value of wetlands will allow the transgression of marshes along developed shorelines.

A worldwide review of the ecosystem values and services of salt marshes is beyond the scope of this manuscript. Here we decided to focus our analysis on the US Mid-Atlantic region from Delaware to the Maryland-Virginia Eastern Shore, where the flat landscape and elevated rates of sea level rise lead to high rates of marsh migration and where information about agricultural loss is already available. Furthermore, we focus on an important
economic aspect of marsh migration: the discount rate applied to salt marsh ecosystem services. Marsh transgression occurs at a timescale of decades, so there is a need to compare the future benefits provided by marshes with the present loss of upland areas triggered by sea level rise. The discount rate assesses future benefits at today's equivalent value and it is used in the USA to economically justify the conversion of land to salt marshes. If the discount rate is not assessed correctly, marshes will not be allowed to migrate upland.

Conversion of productive farmland to salt marshes can have a large economic impact in rural areas. Epanchin-Niell et al. (2018) show large losses of agricultural land by crop type in Maryland's Dorchester and Somerset counties (Figure 9). Gewin (2018) reported that of 11,534 ha of cropland in Somerset County, approximately 1,619 ha have been abandoned due to saturation of the soil in the last several decades. In the Virginia portion of the Eastern Shore, agriculture is the largest contributor to the economy and was valued at $153 million annually in 2014 (http://www.a-npdc.org/wp-content/uploads/2016/02/CEDS-2014-Update_19Dec2014.pdf). Annual monetary productivity of a single hectare of farmland is between $1600 and $2000 such that the annual conversion of 192 ha of farmland to marsh represents a loss to the local economy that increases by $307,200 to $384,000 every year.

Also the replacement of coastal forests with salt marshes in the eastern United States is a matter of economic concern. These forests host several pine species which are not only home to the eastern United States is a matter of economic concern. The se

The loss of farmland or forest can be offset by the value of the ecosystem services provided by the new marsh. The value change can be assessed through traditional means, for example by assessing the real estate price of a piece of land or the selling price of agricultural commodities produced on the land. Such market-based assessments are relatively easy to conduct for uplands.

However, for marsh landscapes, market-based assessments often do not capture the true value of the benefits and services provided by the land to society. Their "ecosystem service values" must be assessed using techniques from the field of ecological economics (Costanza et al., 1997; Martinez et al., 2007). Generally, marshes have low market value due to flooding risks, yet high ecosystem service value. The value of marshes lies in their provision of fish and bird habitat, sequestration of relatively large quantities of carbon, filtration of nutrients from water, and provision of some flood/storm protection value (Woodward and Wui, 2001). These services can be measured and then converted to monetary value (e.g., Tong et al., 2007).

As a marsh migrates into former uplands, private interests are replaced by public interests. Value shifts from the market economy to non-market ecosystem services. Uplands are typically privately-owned. Marshes in the US can be either publicly-owned or privately-owned, yet their resources are heavily regulated according to the public interest through laws such as section 404 of the Clean Water Act or the Migratory Bird Act, or through subsidies such as the NRSC Wetlands Reserve Program (Gardner, 2011). Marsh migration exposes a wide range of economic conflicts between private and public interests (Feagin et al., 2010).

Time and the Importance of the Discount Rate

People tend to discount the value of having a given commodity or service in the future, relative to having it today. Imagine that you will pay $5 today for a fish extracted from the marsh today. How much less would you pay, if you had to wait 50 years to receive the fish? The term “discount rate” refers to the diminishing value of a product into the future, relative to today’s monetary units. The “discount rate” is also the inverse of “interest rate” or “rate of accumulation,” or “rate of return on investment” minus inflation. Now imagine that you do not have the money to go fishing because you need a boat, but a local bank is willing to lend at a 3% annual interest rate. In this example, the lender is forgoing the opportunity to use that cash for themselves over the term of the loan, and instead giving it to you to buy the boat.

A commodity or service generally is worth more today than it is in the future (using today’s monetary unit as the metric), and this relationship can be expressed as:

\[ C_t = C_0 \left(1 - \frac{r}{n}\right)^{nt} \]  

(1)

where \( C_t \) is the value at time \( t \) in the future and \( r \) is the discount rate charged at term \( n \). While \( r \) can be set using an empirical value at a given time, for example from the Consumer Price Index (Bureau of Labor Statistics, 2018) or tax appraisal and commodity-pricing data, it is commonly set to 0.03 if no detailed information exists on a particular commodity or service (representing a generalized inflation rate of 3% in the US in modern times). The term \( n \) can be removed for simplification, under the assumption that \( n \) is equivalent to the minimum increment of \( t \) (for example, a time increment and term increment of 1 year in both cases, or annualized discounting).

In the case of a zero-sum tradeoff between two land cover types of equal area, one public and one private, we can define the breakeven condition at which their values must be the same as \( C_{priv} = C_{pub} \). We can insert Equation 1 into each part of this relation for private vs. public land respectively, annualize the rate, and obtain a time-dependent breakeven condition where \( t \) can vary for private or public land. However, we typically want to compare the value of private vs. public land at the same time \( t \), allowing a proportional relationship to be made as:

\[ \left[ \frac{C_{priv}}{C_{pub}} \right]^* \left[ \frac{(1 - r)_{priv}}{(1 - r)_{pub}} \right] \propto t \]  

(2)

This relationship shows that the relative value of private to public property at a future time is more strongly driven by the ratio of the two discount rates, rather than their starting \( C_0 \) values, particularly as time moves forward; this fact is evidenced by the exponent above the discount rate ratio. For example, we graphed
The difference in discount rates applied to the resources held in private uplands vs. public marshes quickly alters the relative value of the two across time. In this graphic, a Relative Return on Investment (R-ROI) value into private property (colored lines) vs. public at 3% rate, equivalent to mean inflation. The starting price is assumed to be equal for the two types of lands (prefactor = 1).

Equation 2 by first setting a public land cover discount rate at the typical \( r = 0.03 \), as one might assume is typical for a public value (e.g., fishery value). We then adjusted the private rate from \(-0.03\) to \(0.08\) (ranging from a loss of 3% annually to a gain in 8% annually, in terms of the rate of return on private real estate investment).

For two lots of land, one a private upland and the second a public marsh—that are equal in value today, but are differentially discounted over time (6% for private, 3% for public)—the private land will be worth over twice as much within 25 years (Figure 11). Even for a difference of only 1% per year between the private vs. public rates, the upland is worth over 10% more than the marsh in only 10 years. Rate differentials such as these are quite common for portions of the US (e.g., Moszoro, 2016), and provide insight into why private interests are often protected at the expense of the public trust.

### Decision-Making on Marsh Migration

As local communities grapple with whether to protect uplands or to allow marshes to migrate by removing barriers such as seawalls, bulkheads, levees, concrete and managed lawns, the net economic-benefit is often sought (Figure 12). Coastal lands available for development are considered in short supply and high demand, driving up private interest rates. Conversely, the supply of public resources is often assumed to be consistently available in time or space, or provided by some “offsite” land, and so these resources are discounted. Political, legal, and social choices can often be reduced to an economic calculus of whether it is more valuable for our society to invest in private or public property.

As relative sea-level rise is a force that acts into future times, the key ratio that will govern the survival of marshes onto uplands is not the difference between their relative economic values today, but rather the difference between their relative discount rates. As the discount rate is compounded over time, it quickly overwhelms the effect of the original starting value of marshes vs. uplands. Through discounting, differentially inflating prices off into the future can strongly drive decision-making today.

It is important for conservation organizations and public entities to buy and set aside marginal uplands today, as they will one day lie at suitable elevations for marsh migration. If society waits to make the purchase until later in time, the private value of the uplands will have inflated faster than the rate of those public services that can be preserved. Thus, as society waits, the net benefit calculus will shift to further protect those private interests.

### Future Research Needs

Our understanding of the dynamics of the marsh-upland boundary is still limited. The complexity of the hydrological, ecological and geomorphic processes involved requires an interdisciplinary approach that is nowadays absent. In particular, we lack long-term measurements on how the feedbacks between vegetation and hydrology drive the migration of this ecotone.

In the ratchet model presented herein storms and sea-level rise play different roles in marsh migration. Studies have pointed toward episodic disturbances from storms, and the associated storm surges, to cause permanent changes in the forest structure where sea-level rise had already deteriorated regeneration in the stands (Williams et al., 2003). Such processes set in motion by hurricanes in the face of rising seas can affect coastal forests from a stand to an ecosystem level, favoring the replacement of forests with salt marshes. Further research is needed to determine the extent of persistence zones in coastal forests and to identify the sources of variability in persistence zone size.
The effects of sea-level rise and storms on the marsh-upland boundary are rarely studied in conjunction. This is because these processes act at very different temporal scales: storm surges last only few hours while a rise in sea level can be perceived only after decades. A rational framework and experiments bridging these different timescales is needed.

Recent research has mostly focused on the replacement of coastal forests with salt marshes, but many mid-latitude shorelines border urban areas and agricultural fields. More research is needed to understand how marshes migrate in agricultural fields and suburban lawns.

Predictions of global, regional, and local marsh migration into uplands are limited by the availability in many locations of high resolution elevation maps and digital elevation models of coastal topography. There is also a need to forecasting extreme water levels due to tropical and extra-tropical storms (Haigh et al., 2014), and interactions of storm-surge with increased rivers discharge during flooding (Katsman et al., 2011). Finally, we also lack of understanding of the compounding effects of sea-level rise with coastal landforms (Woodruff et al., 2013), and the regional variation in rates of sea-level rise (Woodworth and Player, 2003).

In the next century survival of salt marshes will be insured by their migration in upland areas. Only if we recognize the economic value of salt marshes and their benefits to society we will allow them to replace forests, lawns, and agricultural fields. More research on the ecosystem services provided by salt marshes and their economic value is clearly needed. In particular it is critical to estimate not only the present value of salt marshes, but also how this value will increase in the future because of unforeseen ecosystem services that will benefit forthcoming generations.

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