Cerebral Microbleeds, CSF p-Tau, and Cognitive Decline: Significance of Anatomic Distribution

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ABSTRACT

BACKGROUND AND PURPOSE: Cerebral microbleeds are associated with aging, hypertension, and Alzheimer disease. Microbleeds in a lobar distribution are believed to reflect underlying amyloid angiopathy, whereas microbleeds in the deep gray matter and infratentorial brain are commonly seen with hypertension. However, it is unknown how microbleeds in either distribution are related to Alzheimer pathogenesis. The purpose of this analysis was to test whether lobar and deep gray/infratentorial microbleeds demonstrate differential associations with CSF amyloid-β and phosphorylated tau 181 protein levels and longitudinal cognitive decline.

MATERIALS AND METHODS: A total of 626 subjects (151 cognitively normal, 389 with mild cognitive impairment, and 86 with Alzheimer disease) from the Alzheimer’s Disease Neuroimaging Initiative who had undergone 3T MR imaging and lumbar puncture were included in the analysis. The number and location of microbleeds were assessed visually. Associations between lobar or deep gray/infratentorial microbleeds with CSF amyloid-β levels, abnormal CSF phosphorylated tau 181 protein levels, and longitudinal cognitive decline were assessed by using ordinary least-squares, logistic, and mixed-effects regression models while adjusting for covariates.

RESULTS: Having ≥3 lobar microbleeds was associated with lower levels of CSF amyloid-β (P = .001). After adjusting for CSF amyloid-β level, lobar microbleeds were independently associated with a higher likelihood of having an abnormal CSF phosphorylated tau 181 protein level (P = .004). Lobar microbleeds were associated with accelerated longitudinal cognitive decline (P = .007). Deep gray/infratentorial microbleeds revealed no significant associations.

CONCLUSIONS: The distribution of microbleeds revealed different associations with amyloid-β and phosphorylated tau 181 protein levels and cognition. Lobar and deep gray/infratentorial microbleeds should be considered separately with regard to Alzheimer disease pathogenesis.

ABBRVIEATIONS: Aβ = amyloid-β, AD = Alzheimer disease; ADAS = Alzheimer Disease Assessment Scale; ADNI = Alzheimer’s Disease Neuroimaging Initiative; APOE = apolipoprotein E; p-tau = phosphorylated tau 181 protein

Cerebral microbleeds, typically associated with aging, hypertension, and Alzheimer disease (AD), are common findings on gradient recalled-echo and susceptibility-weighted MR imaging sequences. In a population-based study in which a gradient recalled-echo sequence at 1.5T was used, approximately 36% of people >80 years old were found to have microbleeds, compared with only 7% of the people who were 45–50 years old.1 In a systematic review of studies that used echo-planar and gradient recalled-echo sequences at 0.5–3T, hypertensive individuals were reported to be 4 times more likely than those in the general population to have microbleeds,2 particularly in association with other signs of small-vessel disease, such as white matter hyperintensities and lacunar infarcts.3,4 In a review of multiple studies that used gradient recalled-echo and SWI sequences at 1.5T and 3T, people with mild cognitive impairment and Alzheimer disease were reported to have microbleeds at a prevalence of 20%–43% and 18%–32%, respectively, compared with 0%–19% in cogni-

Received January 9, 2015; accepted after revision February 22.
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This work was supported in part by National Institutes of Health National Center for Advancing Translational Sciences/Clinical and Translational Science Center grant UL1 TR000457-06.
Paper previously presented at: Annual Meeting of the American Society of Neuroradiology, May 20–23, 2013, San Diego, California.
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*Indicates open access to non-subscribers at www.ajnr.org
Evidence-Based Medicine Level 2.
http://dx.doi.org/10.3174/ajnr.A4351

AJNR Am J Neuroradiol 36:1635–41 Sep 2015 www.ajnr.org 1635
tively normal individuals. In the setting of AD, microbleeds are associated with global brain amyloidosis, seen with increased uptake on positron-emission tomography scans by using [18F]florbetapir and decreased levels of CSF amyloid-β (Aβ).

The anatomic distribution of microbleeds is believed to reflect their underlying pathology. Microbleeds located in the deep gray matter and infratentorial brain are typically seen in hypertensive individuals and correspond to foci of hemosiderin leakage from small abnormal blood vessels. Conversely, microbleeds in the setting of aging and AD are typically lobar, at the corticosubcortical junction, and correspond to amyloid angiopathy. However, it remains unclear whether microbleeds, either from hypertension or amyloid angiopathy, relate to tau pathology or cognitive changes that lead to Alzheimer pathogenesis independent of global brain amyloidosis.

The purpose of our analysis was to determine whether the distribution of microbleeds, either lobar or deep gray/infratentorial, has differential associations with downstream events in Alzheimer pathogenesis. Specifically, by using data from the multicenter Alzheimer’s Disease Neuroimaging Initiative (ADNI) (adni.loni.usc.edu), we tested the hypotheses that lobar microbleeds 1) are associated with brain amyloidosis, reflected by lower CSF amyloid-β levels, 2) predict the presence of tau pathology after overall brain amyloidosis is adjusted for, and 3) predict greater longitudinal cognitive decline.

MATERIALS AND METHODS

Subjects

The subjects of these analyses were 626 ADNI participants who had undergone 3T MR imaging and lumbar puncture for CSF analysis (151 cognitively normal, 389 with mild cognitive impairment, and 86 with AD). The ADNI is a longitudinal multicenter observational cohort study designed to identify imaging and biochemical biomarkers for the diagnosis and monitoring of AD. The study was approved by the institutional review board of each of the participating institutions. Informed written consent was obtained from each participant at each site. Each of the subjects who enrolled in the ADNI-2 or ADNI–Grand Opportunity underwent a T2* gradient recalled-echo sequence, which was used to enumerate the microbleeds in the brain. Subjects were between the ages of 55 and 90 years and showed no clinical or structural evidence of a significant neurologic or psychiatric disease and no systemic medical illness or laboratory abnormalities that would interfere with follow-up. To reduce confounding by comorbid vascular disease, a subject was enrolled in the ADNI only if he or she had a low modified Hachinski score of ≤4. Cognitive function was assessed by using the Alzheimer Disease Assessment Scale (ADAS), which is the most widely used measure for clinical trials.

MR Image Acquisition

Each subject underwent a standardized 3T MR imaging protocol, which included T2* gradient recalled-echo and T1-weighted 3D MPRAGE sequences (http://adni.loni.usc.edu/methods/documents/mri-protocols/). This standardized protocol was distributed digitally to all ADNI-certified scanners and included the following parameters for the gradient recalled-echo sequence: TE, 20 ms; TR, 650 ms; flip angle, 20°; section thickness, 4 mm; section gap, 0 mm. Before any subject was scanned using this protocol, an ADNI phantom was used to assess linear and nonlinear spatial distortion, signal-to-noise ratio, and image contrast, which were reviewed by a single quality-control center to ensure harmonization among the sites.

Microbleeds were quantified visually by a board-certified neuroradiologist with subspecialty certification (G.C.C.). Microbleeds were defined as hypointense lesions within the brain parenchyma that measured <10 mm on the gradient recalled-echo sequence. Only microbleeds that were considered definite were included in the analysis.

Microbleeds were classified visually by location as 1) deep gray matter/infratentorial, if they involved the basal ganglia, thalami, brain stem, or cerebellum, or 2) lobar, if they involved other regions of the brain parenchyma.

CSF Biomarkers

Each subject included in this analysis underwent lumbar puncture to obtain CSF samples for quantifying levels of CSF amyloid-β and phosphorylated tau 181 protein (p-tau). Briefly, each lumbar puncture was performed with a 20- or 24-gauge spinal needle at the baseline visit after an overnight fast. The CSF samples were transferred into polypropylene transfer tubes, frozen on dry ice within 1 hour after collection, and shipped on dry ice overnight to a single designated laboratory. After thawing for 1 hour at room temperature and gentle mixing, 0.5–mL aliquots were prepared from these samples. The aliquots were then stored in bar-code-labeled polypropylene vials at −80°C and measured by using the xMAP Luminex platform (Luminex, Austin, Texas) with INNO-BIA AlzBio3 (Innogenetics, Ghent, Belgium) immunoassay kit-based reagents. Monoclonal antibodies specific for Aβ and p-tau, which have been found to be useful in predicting AD, were used as reagents. Total CSF tau level was not included in this analysis, because it was not available for some of the participants. In addition, p-tau has a higher specificity and negative predictive value for ruling out the presence of AD with 90% probability.

Apolipoprotein E Genotyping

Each participant underwent apolipoprotein E (APOE) genotyping at the baseline visit. Approximately 6 mL of blood was obtained from each participant in an ethylenediamine tetraacetic acid tube, gently mixed by inversion, and shipped at ambient temperature to a single designated laboratory within 24 hours of collection for genotyping analysis.

Statistical Analysis

All statistical analyses were programmed in StATA version 13 (StataCorp, College Station, Texas). Comparisons of baseline variables among the groups were performed by using the Wilcoxon rank sum, Fisher exact, Kruskal Wallis, or χ² test, depending on the number of groups and type and distribution of the variables.

To test the hypothesis that lobar microbleeds are associated with overall brain amyloidosis, we used ordinary least-squares regression with age, sex, years of education, history of hyperten-
sion, APOE2 and APOE4 status, and diagnostic group (normal cognitive function, mild cognitive impairment, or AD) as covariates. CSF Aβ values were log transformed for normality and included as the outcome variable. Lobar microbleeds were dichotomized as 0 or 1 (indicating presence or absence, respectively) and used as the predictor. The number of lobar microbleeds was then categorized as an ordinal variable of 0, 1, 2, 3, or >3 to determine whether increasing numbers of lobar microbleeds were associated with greater brain amyloidosis, reflected by decreased CSF Aβ levels. The regressions were then repeated by using deep gray/infratentorial microbleeds. As expected, the subjects (88%) had at least one lobar microbleed, and 27 (12%) had only one microbleed. Of the 219 subjects with microbleeds, 192 (88%) had at least one lobar microbleed, and 27 (12%) had only deep gray/infratentorial microbleeds. As expected, the subjects with microbleeds were older (P < .001).1 Those with lobar microbleeds, either alone or in combination with deep gray/infratentorial microbleeds, were more likely to be APOE4 carriers (P = .03), which has also been described previously.1 Finally, those with mixed lobar and infratentorial or only infratentorial microbleeds were more likely to have a history of hypertension (P = .009).

**Having >3 Lobar Microbleeds Was Associated with Abnormal Levels of CSF Aβ, Whereas Having Deep Gray/Infratentorial Microbleeds Was Not**

After adjusting for covariates, having at least one lobar microbleed was associated with greater brain amyloidosis, reflected by a lower CSF Aβ level, though this association did not reach statistical significance (coefficient = −0.04; P = .08). However, accounting for increasing numbers of microbleeds, having 3 (coefficient = −0.30; P = .001) or >3 (coefficient = −0.18; P = .001) lobar microbleeds was also associated with lower levels of CSF Aβ (Table 2). Deep gray/infratentorial microbleeds were not associated with CSF Aβ levels (P = .64), and increasing numbers of deep gray/infratentorial microbleeds also were not associated with CSF Aβ levels (P = .29–.97).

**Lobar Microbleeds Are Associated with a Higher Likelihood of Having an Abnormal CSF p-Tau Level, Independent of the CSF Aβ Level; Deep Gray/Infratentorial Microbleeds Are Not Associated with Abnormal CSF p-Tau Levels**

Using logistic regression after adjusting for the CSF Aβ level, having at least one lobar microbleed was associated with more than...
The second major finding is that having at least one lobar microbleed is associated with greater overall brain amyloidosis, is concordant with the results of previous studies that showed lower CSF Aβ levels and higher uptake on PET amyloid scans in people with microbleeds. Previous studies have also suggested that lobar microbleeds are more suggestive of deep gray/infratentorial microbleeds with Alzheimer pathogenesis, which reveals the importance of lobar microbleeds in prognostication, independent of CSF Aβ levels.

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The finding that only higher numbers of lobar microbleeds were found to be associated with abnormal CSF Aβ levels suggests that severe amyloid angiopathy is related more to overall brain amyloidosis and may contribute to the disease process. On the other hand, deep gray/infratentorial microbleeds, which are associated more typically with hypertension, were not associated with CSF Aβ levels. Previous work also demonstrated that deep gray, not lobar, microbleeds are associated with small-vessel disease. Therefore, hypertension may produce deep gray/infratentorial microbleeds and small-vessel changes but is likely involved in Alzheimer pathogenesis from a nonamyloid pathway, such as decreased cognitive reserve.

The second major finding is that having at least one lobar microbleed, unlike having deep gray/infratentorial microbleeds, was associated with greater odds of having an abnormal CSF p-tau level (P = .004). The sensitivity and specificity of detecting an abnormal CSF p-tau level with the presence of at least one lobar microbleed were 86% and 29%, respectively. The positive and negative predictive values of at least one lobar microbleed for an abnormal CSF p-tau level were 86% and 29%, respectively.

Having deep gray/infratentorial microbleeds was not associated with abnormal CSF p-tau levels (P = .97). Unlike with CSF Aβ levels, no dose-response relationship was seen; having one lobar microbleed was most associated with an abnormal CSF p-tau level, with an odds ratio of 2.8 (P = .001) (Table 3).

**Lobar Microbleeds Are Associated with Accelerated Longitudinal Cognitive Decline**

Using a linear mixed-effects model and adjusting for covariates, including CSF Aβ level and diagnostic group, having at least one lobar microbleed was significantly associated with an accelerated longitudinal change in ADAS score (P = .007) of 1.4 points per year compared with 0.8 points per year for those without microbleeds. Furthermore, having >3 lobar microbleeds was significantly associated with an increase of 2.3 points per year (P < .001) (Table 4). Deep gray/infratentorial microbleeds were not associated with change in ADAS scores (P = .31).

**DISCUSSION**

The following are the major findings of our analysis: 1) having ≥3 lobar microbleeds is associated with global brain amyloidosis, whereas having deep gray/infratentorial microbleeds is not; 2) lobar microbleeds, unlike deep gray/infratentorial microbleeds, are associated with elevated CSF p-tau levels but with no dose-response relationship; and 3) lobar microbleeds, unlike deep gray/infratentorial microbleeds, are associated with accelerated longitudinal cognitive decline. Overall, the results of our analysis suggest a differential association of lobar versus deep gray/infratentorial microbleeds with Alzheimer pathogenesis, which reveals the importance of lobar microbleeds in prognostication, independent of CSF Aβ levels.
cohorts with more comorbid vascular disease. Nonetheless, both of our studies revealed an association between microbleeds and elevated levels of forms of CSF tau. One hypothesis is that microbleeds might reflect damaged microvasculature, resulting in decreased blood flow to neurons, ischemia, neuronal degeneration, and increased tau pathology. In postmortem studies, p-tau seems to have increased aggregation around arteries and arterioles with Aβ in the vessel walls. Alternatively, microbleeds might induce enough inflammation, without duration, to cause an elevated CSF p-tau level. In an animal model, microhemorrhages were seen to trigger inflammation and activated microglia, macrophages, and lymphocytes rather than cell death or ischemia. This inflammation may be sufficient to produce neuronal release of tau into the extracellular space. This inflammation may also contribute to further Alzheimer pathogenesis. Finally, the presence of lobar microbleeds, reflecting amyloid angiopathy, may suggest that a patient is farther along in the Alzheimer disease cascade. In this case, lobar microbleeds, in addition to an abnormal CSF Aβ level, may signal more severe underlying disease.

The third major finding is that having at least one lobar microbleed is associated with accelerated longitudinal cognitive decline. Results discussed in the literature evaluating the association between microbleeds and cognitive decline have been variable, depending on the cohort studied (community-based populations, people with a history of stroke or suspected stroke, or subjects in a memory clinic who had mild cognitive impairment and whether cognition was being evaluated on a cross-sectional basis, or longitudinal basis. Most of these studies demonstrated an association between microbleeds and either global or executive impairment cross-sectionally, as well as increased progression of memory impairment, greater longitudinal changes in Mini-Mental State Examination scores, higher likelihood of mild cognitive impairment converting to AD, and increased risk of developing incident dementia. One study also found cognitive improvement after stroke if no microbleeds were present, which suggests a detrimental role of microbleeds on cognitive recovery. However, none of these studies adjusted for concomitant Alzheimer pathology, which may have driven the longitudinal changes in cognition. In our study, the association between lobar microbleeds and accelerated cognitive decline persisted after adjusting for CSF Aβ levels, which suggests that microbleeds alone, perhaps reflecting underlying amyloid angiopathy, lead to cognitive impairment. This finding mirrors that of a postmortem study that found that moderate-to-severe amyloid angiopathy is associated with perceptual speed and episodic memory, even after adjusting for concomitant AD pathology. We also found that having ≥3 lobar microbleeds was more associated with decreasing cognition, similar to the results of previous studies that found greater cognitive decline with ≥2 or ≥5 lobar microbleeds. The finding that deep/infratentorial microbleeds were not associated with cognitive decline is concordant with results of a previous study but differs from those of another study that found mixed, not strictly lobar, microbleeds were associated with cognitive decline. Again, the differential effect on cognitive decline secondary to microbleed location may hint at differing etiologies of microbleeds.

Our study has several limitations. First, the ADNI is not a community sample. The cohort consisted of more white people, people who were more highly educated, and people who had fewer comorbidities than a community population at this age. Furthermore, subjects were excluded from the ADNI if they had significant comorbid vascular disease, reflected by a modified Hachinski score of ≥4. Therefore, although we did not find a significant effect on hypertension-related deep gray/infratentorial microbleeds on tau pathology and cognition, a significant association may be found in people with more significant vascular disease. As a result, generalization of these findings should be approached with caution, and further validation in prospective population-based cohorts, particularly those with concomitant vascular disease, is required.

CONCLUSIONS

The distribution of microbleeds provides clinically significant information, not only in suggesting differences in underlying etiology (ie, amyloid angiopathy versus hypertension) but also in demonstrating different associations with downstream events in Alzheimer pathogenesis (ie, tau and cognitive decline). Those who perform neuroimaging evaluations of older individuals who are being assessed for cognitive decline should consider microbleed distribution in their reports.

ACKNOWLEDGMENTS

Data used in the preparation of this article were obtained from the Alzheimer’s Disease Neuroimaging Initiative database (adni.loni.usc.edu). As such, the investigators within the ADNI contributed to the design and implementation of the ADNI and/or provided data but did not participate in the analysis or writing of this report. A complete listing of ADNI investigators can be found at http://adni.loni.usc.edu/wp-content/uploads/how_to_apply/ADNI_Acknowledgement_List.pdf.

The ADNI was launched in 2003 by the National Institute on Aging, the National Institute of Biomedical Imaging and Bioengineering, the Food and Drug Administration, private pharmaceutical companies, and nonprofit organizations as a $60-million 5-year public-private partnership. The primary goal of the ADNI has been to test whether serial MR imaging, positron-emission tomography, other biologic markers, and clinical and neuropsychological assessment can be combined to measure the progression of mild cognitive impairment and early Alzheimer disease. Determination of sensitive and specific markers of very early AD progression is intended to aid researchers and clinicians to develop new treatments and monitor their effectiveness and to lessen the time and cost of clinical trials.

The principal investigator of this initiative is Michael W. Weiner, MD, VA Medical Center and University of California, San Francisco. The ADNI is the result of efforts of many coinvestigators from a broad range of academic institutions and private corporations, and subjects have been recruited from >50 sites across the United States and Canada. The initial goal of the ADNI was to recruit 800 subjects, but the ADNI was followed by ADNI–Grand Opportunity and ADNI–2. To date, these 3 protocols have recruited >1500 adults to participate in the research, consisting of cognitively normal older individuals, people with early or late
mild cognitive impairment, and people with early AD. The follow-up duration of each group is specified in the protocols for ADNI-1, ADNI-2, and ADNI–Grand Opportunity. Subjects originally recruited for ADNI-1 and ADNI–Grand Opportunity had the option to be followed in ADNI-2. For up-to-date information, please see www.adni-info.org.

Data collection and sharing for this project were funded by the Alzheimer’s Disease Neuroimaging Initiative (National Institutes of Health grant U01 AG024904) and Department of Defense ADNI (Department of Defense award W81XWH-12-2-0012). The ADNI is funded by the National Institute on Aging and the National Institute of Biomedical Imaging and Bioengineering and through generous contributions from the following: Alzheimer’s Association; Alzheimer’s Drug Discovery Foundation; Aracron Biotech; BioClinica; Biogen Idec; Bristol-Myers Squibb; Eisai; Elan Pharmaceuticals; Eli Lilly; Euroimmun; F. Hoffmann-La Roche and its affiliated company Genentech; Fujirebio; GE Healthcare; IXICO; Janssen Alzheimer Immunotherapy Research & Development; Johnson & Johnson Pharmaceutical Research & Development; Medpace; Merck & Co; Meso Scale Diagnostics; NeuroRx Research; Neurotrack Technologies; Novartis Pharmaceuticals Corporation; Pfizer; Piramal Imaging; Servier; Synarc; and Takeda Pharmaceutical Company. The Canadian Institutes of Health Research is providing funds to support ADNI clinical sites in Canada. Private sector contributions are facilitated by the Foundation for the National Institutes of Health (www.fnih.org). The gratee organization is the Northern California Institute for Research and Education, and the study is coordinated by the Alzheimer’s Disease Cooperative Study at the University of California, San Diego. ADNI data are disseminated by the Laboratory for Neuro Imaging at the University of Southern California.

Disclosures: Gloria C. Chiang—RELATED: Grant: National Center for Advancing Translational Sciences/Clinical and Translational Science Center. Kejal Kantarci—RELATED: Grant: National Institutes of Health, Comments: R01AG040042, P50 AG144170/Project 2, and Minnesota Partnership for Biotechnology and Medical Genomics (PO3539020). OTHER: serves on the data safety monitoring board for Pfizer, Janssen Alzheimer Immunotherapy, and Takeda Global Research & Development Center and receives research support from the National Institutes of Health [grant R01AG040042 [principal investigator], Mayo Clinic Alzheimer’s Disease Research Center/Project one grant P50 AG16574 [PI [principal investigator]]; grant P50 AG144170/Project 2 [principal investigator], and grant R01 AG11378 [coinvestigator].

Clifford Jack—RELATED: Grant: National Institutes of Health [AG1378 and AG014815]; UNRELATED: Consultancy: Eli Lilly. OTHER: provides consulting services for Siemens Healthcare and receives research funding from the National Institutes of Health [grants R01-AG011378, R01-AG1406, R01-AG07551, U01-AG041691; U01-AG032438, and U01-AG024904] and the Alexander Family Alzheimer’s Disease Research Professorship of the Mayo Foundation. Michael Wiener—RELATED: Grant: National Institutes of Health/National Institute on Aging/National Institute of Mental Health, Department of Defense,* Alzheimer’s Association,* Alzheimer’s Drug Discovery Foundation,* Merck,* Avid,* and the Veterans Administration*; has been on scientific advisory boards for Pfizer and BOLT International; has been a consultant for Pfizer, Janssen, KLI Associates, Eastern Associates, Harvard University, inThought, INC Research, University of California, Los Angeles, Alzheimer’s Drug Discovery Foundation, and Sanofi-Aventis Group; has received funding for travel from Pfizer, Alzheimer’s Disease PD meeting, Paul Sabatier University, Novartis, Tohoku University, MCI Group, France, Travel Dreams, Neuroscience School of Advanced Studies, Danone Trading, BV, and CTAD ANT Congress; serves as an associate editor of Alzheimer’s & Dementia; has received honoraria from Pfizer, Tohoku University, and Danone Trading, BV; has research support from Merck, Avid, Department of Defense, and Veterans Administration; and has stock options in Synarc and Eli. *Money paid to the institution.

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