Towards a Swiss National Research Infrastructure

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Abstract. In this position paper, we describe the current status and plans for a Swiss National Research Infrastructure. Swiss academic and research institutions are very autonomous. While being loosely coupled, they do not rely on any centralized management entities. A coordinated national research infrastructure can only be established by federating the local resources of the individual institutions. We discuss current efforts and business models for a federated infrastructure.

Keywords: grid, cloud, distributed computing, federation, funding

1 Introduction and Overview

Switzerland, as a country, is organized in a very decentralized manner, which has a deep impact on the organization of research and education. At the federal level, there is the national supercomputing center, CSCS in Lugano, the national research network SWITCH and several institutes of research and technology of the ETH Domain. At the cantonal level, there are ten universities, nine universities of applied sciences, and several specialized institutions for research and education. The organizational and funding structures are very heterogeneous. Today, infrastructure provisioning happens exclusively on the institutional level. We believe that the scientific research community, as well as resource providers, may greatly benefit from a coordinated, federated national research infrastructure.

There exist various architectures of federated clouds \textsuperscript{1} such as hybrid, broker-based, aggregated, and multi-tiered. The hybrid architecture allows a private cloud to cooperate with a public one by using specific drivers for cloud bursting. For example, OpenNebula \textsuperscript{2} can cooperate with Amazon (Amazon EC2 driver), and Eucalyptus \textsuperscript{3}. StratusLab \textsuperscript{5}, an OpenNebula-based cloud...
infrastructure, provides a toolkit to integrate the most recent cloud management technologies. In the broker based model, the broker coordinates the resources of several public clouds. There are a few online broker sites such as BonFire (www.bonfire-project.eu), Open Cirrus (opencirrus.org), and Future-Grid (futuregrid.org).

The Reservoir system [6] provides an infrastructure that may automatically deploy tasks of one organization in the clouds of other partners having spare capacities. A multi-tiered architecture is an interesting option for large companies with geographically distributed resources. Such resources are usually strongly coupled as they serve as sub-services of the same entity. However, we believe that none of these models serve our specific needs adequately for Swiss higher education and research.

There are several examples of past Swiss national initiatives working towards the goal of setting up services to address the needs of several scientific user communities. The Swiss Multi-Science Computing Grid project [7] provided services mainly to the Swiss High Energy Physics community and enabled that community to participate in the European Grid Initiative (EGI). In the life sciences domain, the SyBIT project [8] of the Swiss Initiative for Systems Biology, SystemsX.ch, makes use of the computing and storage infrastructure of all participating institutions. Infrastructure is not organized in a coherent manner, as SyBIT is focusing on bioinformatics support, not infrastructure.

The funding for these efforts is project-based, and is aimed to create infrastructure and services in tight cooperation with a user community. However, it is currently unclear what will happen to the services, infrastructure and all the other additional value created (mostly in the form of specialized know-how) after their funding expires. The user communities still require services beyond their lifetime.

An example of how long-term sustained funding can be set up, is given by the Vital-IT group [9] of the Swiss Institute of Bioinformatics SIB. Vital-IT provides services for bioinformatics in life sciences, coordinating bioinformatics infrastructure and resources for several universities in the Western part of Switzerland. Vital-IT is funded by the participating universities, through federal funds and to a large extent by second and third parties (industrial and academic research grants). Researchers are advised to request these charges as part of their project grant proposals as ‘bioinformatics consumables’.

Very recently, the Swiss government has initiated a project to work out a strategy for the development of a sustainable set of services for research, education and digital archiving communities. The individual sustainability models for the services developed are to be established in this project.

In this paper, we describe the current Swiss Academic Compute Cloud project that we use to prototype a federated Swiss infrastructure for research computing. We also summarize our current ideas about necessary policy and accounting models that have to be established to allow for a sustainable distributed Swiss research infrastructure. This should solve the remaining open issue of sustainable operation.
1.1 Cloud Definitions

We believe that it is necessary to specify our own definition of 'cloud' since the term has been used in many, sometimes conflicting contexts.

We expect the following properties from a service with a 'cloud' attribute:

**Self serviced** A consumer has immediate access to resources and can unilaterally access computing capabilities, such as server time and network storage.

**On-demand** As needed, at the time when needed, with the possibility of automatic provisioning. No need to do the full investment planning upfront.

**Cost transparent** Accounting of actual usage is completely transparent to both the user and service provider, which is measured in meaningful terms.

**Elastic, scalable** Capabilities can be elastically provisioned and released, in some cases automatically, to scale rapidly up and down, matching demands.

**Multi-tenant** The providers computing resources are pooled to serve multiple consumers, with resources dynamically assigned and reassigned according to consumer demands. Ideally, for the customers, other tenants are invisible.

**Programmable Services** The services expose a public programmable API that can be used to drive any aspect of the service programmatically.

These properties are technology-agnostic; the way we define cloud services has a consequence on how we expect the services to be exposed to the consumer and what kind of business model and usage policy is in place.

We also use the terms Infrastructure as a Service (IaaS), Platform as a Service (PaaS) and Software as a Service (SaaS) to define different fundamental types of services offered to researchers and educators. All these service types are considered to be part of the cloud ecosystem, they can be built on top of each other or directly on the underlying infrastructure.

2 Ecosystem Architecture

The development of a robust digital ecosystem is key to maintain competitiveness of Swiss research. Researchers need easy access to resources for their work and to maintain collaborations across institutional boundaries. We propose the establishment of an open informatics platform, which can be used to create the digital ecosystem by using clouds as an innovation enablement technology for sharing all types of resources.

The architecture of the digital ecosystem is based on cloud services, but at a very high level, it can be divided into the following elements (see Figure 1):

- Identity management (authentication of social and work identities)
- Social platform (information of interest to the researcher)
- Ideation platform (process of developing new services)
- Service Portals (access to resources)

This will provide a platform for researchers, research institutions, societies, and associations to rely on cloud services so that they can focus their energies in
areas of value creation. One such example is FASEB [10] (Federation of American Societies in Experimental Biology), which supports individual researchers and societies with tasks common to all of them.

In terms of identity management, every member of an institution of higher education in Switzerland has already an identity in a Shibboleth-based federated identity management infrastructure [11]. However, this infrastructure needs to be extended with additional services like inter-institution group management, to meet the needs of the full ecosystem.

Social and ideation platforms are instruments aimed to channel researcher requests, needs and ideas into a discussion network, in which problems (expressed in terms of challenges) are evaluated and discussed, and where solutions are agreed, designed, implemented, and then added to the service portals as part of the available service portfolio.

Service portals are a generic model to introduce new technology that can be offered and adapted to meet the needs of a variety of researchers. The services introduced will be based on use-cases delivered by researchers or by the ideation process.

3 The Swiss Academic Compute Cloud Project

The Swiss Academic Compute Cloud Project (SwissACC) has been set up to keep and extend the currently established Swiss-wide computational science platform composed of resources and services of various types (local clusters, grid and cloud infrastructures) as well as the excellent know-how for user and application support. Research communities can profit from this platform either to address
their computational needs, or to make use of the platform for collaboration purposes in national and international projects. It provides IaaS, PaaS and SaaS services to communities and helps to build their own service portals or tools.

The project builds upon previous initiatives at the national level such as the Swiss Multi Science Computing Grid (SMSCG) [7], the Academic Compute Cloud Provisioning and Usage [12], VM-MAD [13], GridCertLib [14], RS-NAS [15]; and international projects like EGI [16], Chemomentum [17], and PRACE [18].

The primary goal of SwissACC is to sustain and keep together the research communities that have been brought to distributed infrastructures in the previous Grid and Cloud projects. The secondary goal is to increase the number of supported research communities. A large community can profit from mutual benefits due to economies of scale as they gain more experience and receive more feedback, improving the overall quality of the provided services.

The final goal is to provide a sound basis for decision making on infrastructure models, like in-house vs. outsourcing, and on technologies, like running a cluster, a virtualized infrastructure, or going to a public cloud provider.

The project is composed of infrastructure providers, service providers and user communities that have very close and regular interaction with each other. This allows the project to continuously improve the services and to focus on items that users really need and use. The user support is set up using a collaborative distributed support model, helping scientists in bringing their small and large-scale data analysis pipelines to a flexible cloud-like platform that can easily be shaped to accommodate their needs.

The current infrastructure supports user communities who already solve research problems with help of computational processing. Virtualization techniques from e.g. the VM-MAD [13] and AppPot [19] projects and a powerful toolbox for job management on heterogeneous infrastructures (GC3Pie [20]) allows us to set up a standardized procedure for enabling new research communities. These technologies have allowed us to start supporting new users and communities with relatively little effort. However, it is not enough to just virtualize a certain application; there have to be several middleware layers to assure that this application can scale, e.g. make use of necessary infrastructure services like storage, and is easy to use by the end user.

The SwissACC project currently supports over 20 applications [21] from the domains of life sciences, earth sciences, economics, computer sciences, engineering, cryptography and physics. Table 1 lists the applications supported, which we intend to extend throughout the duration of the project.

3.1 Infrastructure for SwissACC

The SwissACC project has currently access to 5 OpenStack cloud installations at the ETH Zurich, the University of Zurich, SWITCH, the Zurich University of Applied Sciences and the HES-SO site in Geneva. These clouds are relatively small: they range from 100 to 400 CPU cores each, but with relatively good memory and storage.
The project also has access to the Swiss National Grid infrastructure, an aggregation of relatively large clusters, as this is part of the LHC Computing Grid infrastructure.

The OpenStack versions of the various installations are not identical. The coordination does not involve upgrades being rolled out simultaneously across all sites, and we believe it is not necessary to aim for such strong coordination as long as interoperability can be maintained. The OpenStack distributions being used are also not identical. Some are based on Ubuntu, others on Red Hat, Scientific Linux or Fedora.

The choice of OpenStack as a reference cloud software stack has emerged as an evaluation done in a predecessor project, the Academic Cloud Provisioning and Usage project [12]. There, the ETH Zurich and the University of Zurich evaluated the available cloud solutions and set up several pilot cloud installa-

| Institution     | Applications               | Characteristics                                                                 | Research Field               |
|-----------------|----------------------------|--------------------------------------------------------------------------------|----------------------------|
| IMSB/ETHZ       | Rosetta, TPP, HCS pipeline| 2-3 users, 152k jobs, 300k walltime hours during last 12 months                 | life sciences / proteomics   |
| IBF/UZH         | gpremium                   | 1 user, 916k jobs, 238k walltime hours, (1.5.2011 – 1.7.2012)                     | Economics / financial models |
| GEO/UZH         | GEOTop                     | 4-8 users, 37k jobs with 11k walltime hours since June 2012                       | earth sciences              |
| UniBE/UniNE     | A4-Mesh                    | 15 users, real-time data collected since June 2013                               | environmental research / hydrogeological modeling |
| Lacal/EPFL      | gcrypto                    | 1-4 users, 16k jobs, 32k walltime hours during last 6 months                      | cryptography                |
| UNIL/Vital-IT   | Selectome                  | 1 production user, 71k jobs, 190k walltime hours during last 12 months           | Life-sciences/ phylogeny    |
| UniGE           | MetaPIGA                   | execution model still to be defined.                                             | Life-sciences / engineering |
| LHEP/UniBe      | ATLAS                      | Several (international) users, ca 27k jobs/month with 239k walltime hours        | High Energy Physics         |
| WSL/SLF         | CATS, Alpine3D, SwissEx    | CATS: 1 user, 1month, 2300 jobs, 38k walltime hours                             | earth sciences / climate modeling |
|                 |                            | SwissEx: cyclic analysis (30' frequency) processing data from 3 IMIS stations   |                            |

Table 1. Applications on SwissACC
tions. OpenStack has been evaluated against other public open cloud stacks (like OpenNebula, Eucalyptus, CloudStack [22]) as well as commercial cloud solutions (Flexiant, StackOps, HP CloudSystem Matrix, etc.).

Commercial cloud solutions are usually very mature, with several layers of well-tested software built into themselves, leveraging these companies’ experience in operating large-scale automated infrastructures. These layers make them very complex and not easy to maintain without proper training. Therefore, such systems should be optimally bought directly from the company including expert support, preferably by an on-site full-time specialist.

Lacking the funding for such a system and the expert, we chose OpenStack as the open cloud software stack, since currently it has the largest community and commercial support. However, OpenStack is not yet fully mature as a production system. It is already usable and there are very large installations running it (for example at CERN), but the expectations of what is possible and what the challenges are need to be set correctly, also towards the users. We have exposed a lot of stability and security issues, that are being addressed in newer releases. For example, the accounting and quota management functionality is still largely in development. Our recommendation to our partner resource providers is therefore to slowly ramp-up cloud services offered to the researchers, with proper training and expectation management, initially being mostly for testing and educational purposes. With the right high-level middleware like GC3Pie [20], the free OpenStack solution is already adequate for many use-cases. For more reliable services and more complete installations, a commercial OpenStack-compliant solution might be considered, as offered by Rackspace, Red Hat, etc.

In terms of cloud coordination for technology and adoption, we have initiated a dedicated interest group for cloud computing in the Swiss Informatics Society [23].

4 Cloud Charging Models for Federated Infrastructures

In order to exploit economies of scale, i.e., the consolidation of resources, the institutions need to be able to provide services to each other at a cost. However, there is currently no model and in fact no sufficient legal basis that would allow the institutions to charge each other for their respective incurring service costs. We are therefore proposing several cloud-like business models for the provisioning of services by higher-education centers, allowing for many different charging models for them to choose from, in the hope of starting a process that will eventually allow a much tighter cooperation than is possible today.

Also as part of the Academic Cloud Provisioning and Usage project [12], we tried to gain insights into service consumers’ and providers’ needs as a basis for a pricing strategy of cloud-based services and for future decision-making. Information was gathered by interviewing research groups currently using central computing services.

The interviews revealed that academic service consumers currently perceive cloud services as a playground for testing, experimenting, and training students.
However to date, most academic service consumers do not fully perceive the added value that the cloud computing model can provide. Some groups say they would use cloud services if they were available at a competitive cost. Currently, these cloud-based services are not necessary due to existing private infrastructures or service providers. These service providers do, however, see several advantages that a cloud model could provide in the future, such as flexibility, provisioning of additional services, time to service, self-serving aspects and increased automation, elasticity, and a more balanced workload.

There are three basic pricing schemes that are acceptable for academic consumers of cloud-based services:

- **'Pay per use’** Service consumers are charged a fee according to the time and volume of a computing service that has been consumed.
- **Subscription** The service consumer pays a fee on a regular basis for the usage of a service. Subscriptions allow services to be sold as packages.
- **'Pay for a share’** In this approach service consumers buy a share in order to get a corresponding amount of service.

It is of course possible to offer several of the three models above simultaneously or in a mixed form, for example adjusting the pay-per-use pricing on a pre-subscription volume, as it is already done by Amazon.

The interviews revealed that academic service consumers care little about pricing strategies. They want to focus on science and research. Foremost, they just want services that work. They expect an easy approach and want the university to clear all of the obstacles from their paths. From their perspective, the ‘per pay use’ and subscription approach can only work if there is a way to get funding for it.

From the service providers’ perspective, there is no pricing scheme that outperforms any of the other schemes. Each of the three pricing schemes has certain advantages and disadvantages. The usefulness of one approach can depend on the length of time a given service is needed. Pay per use qualifies best for a short-term service demand that lasts no more than three months or as an addition for peak usage on top of a subscription or share. The subscription scheme might be a good solution for mid- to long-term commitments. The pay for a share approach may be most suitable for long-term commitments, i.e., for more than two years.

In our definition of cloud we of course favor the pay-per-use model as it fits the operational-expense-only model best. However, until the funding obstacles for such a model are overcome (both on the provider and the consumer side), we need the other schemes at least during a transition phase, the length of which is to be determined.

Finally, many academic service consumers do not know much about cloud computing and in fact do not care much about what computing resources are used. They just need some powerful computing services in order to do their research. Therefore, the pricing scheme should be simple, yet fair and transparent. In this context, subsidization can play an important role to clear inconveniences out of the service consumers’ way. The institutions might set up a subsidization
scheme, providing the researchers with free access to resources, or other means for the researchers to pay for cloud-based services.

To the funding agencies, we propose to introduce an 'informatics consumable' concept based on the experience of the Vital-IT competence center. The idea is that projects simply put in a line item called 'informatics consumables', requesting funding to be spent on the available research computing infrastructure to meet their computing and storage needs. Obviously, the evaluation process applied by the funding agencies should take the amount of requested informatics consumables into consideration and the process should check back with the infrastructure providers to find out whether the request is technically sound and whether the requested consumables are adequate. In the Vital-IT model, such consumables also apply to user support services and to standardized data analysis services performed by bioinformaticians at the competence center, providing expertise to projects in this domain that do not have the project partners to perform a standardized analysis of their data.

5 Summary and Outlook

We have presented the current efforts to maintain a federated research computing infrastructure in Switzerland, with details on our thoughts for sustainability and funding models.

We are working towards a sustained national infrastructure model based on federation concepts where researchers can easily gain access to the necessary resources and support persons through local contacts at their individual institutions. Sustainable funding models have been presented but they still need to be refined, accepted and put in place by funding bodies and institutions to have a lasting, sustained effect on the Swiss research landscape.

Given the high expectations that cloud technologies set, there are certain risks that we need to be aware of and address properly. Based on our experience with federation in the context of Grid technologies, we know that it is very important to get actual scientific users on board early, to set expectations correctly and to immediately use new technologies and services.

Our goal is to advance scientific research by lowering the barriers to usage and adoption of information technologies in general. In an ideal scenario, a scientist has the choice to select from a large array of easy to use tools and services to perform his or her research. He or she should also be able to easily publish new scientific services based on their individual research or to contribute to the existing community of tools and services. Such frameworks and services need to be intuitive, resilient to failures, provide meaningful error messages, and integrate with social media to interact with other scientists and service supporters in the right context.

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