The accuracy of the UV continuum as an indicator of the star formation rate in galaxies

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ABSTRACT

The rest-frame intrinsic UV luminosity is often used as an indicator of the instantaneous star formation rate (SFR) in a galaxy. While it is in general a robust indicator of the ongoing star formation activity, the precise value of the calibration relating the UV luminosity to the SFR \( B_{\nu} \) is sensitive to various physical properties, such as the recent star formation and metal enrichment histories, along with the choice of stellar initial mass function (IMF). The distribution of these properties for the star-forming galaxy population then suggests that the adoption of a single calibration is not appropriate unless properly qualified with the uncertainties on the calibration. We investigate, with the aid of the GALFORM semi-analytic model of galaxy formation, the distribution of UV-SFR calibrations obtained using realistic star formation and metal enrichment histories. At \( z = 0 \), we find that when the IMF is fixed (to the Kennicutt IMF), the median calibration is \( B_{\text{fuv}} = 0.9 \) where \( \text{SFR}/\left[ \text{M}_\odot \text{yr}^{-1} \right] = B_{\nu} \times 10^{-28} \times L_{\nu}/[\text{erg s}^{-1} \text{Hz}^{-1}] \). However, the width of the distribution \( B_{\text{fuv}} \) suggests that for a single object there is around a 20 per cent intrinsic uncertainty (at \( z = 0 \), rising to \( \approx 30 \) per cent at \( z = 6 \)) on the SFR inferred from the FUV luminosity without additional constraints on the star formation history or metallicity. We also find that the median value of the calibration \( B_{\text{fuv}} \) is correlated with the SFR and redshift (at \( z > 3 \)) raising implications for the correct determination of the SFR from the UV.

Key words: galaxies: evolution – galaxies: formation – ultraviolet: galaxies.

1 INTRODUCTION

Observations of the rest-frame UV continuum of galaxies are widely used as a measure of the instantaneous star formation rate (SFR; e.g. Madau, Pozzetti & Dickinson 1998; Kennicutt 1998; Salim et al. 2007). UV observations are particularly important at high redshift (\( z > 2 \)) where the rest-frame UV is shifted into the observed-frame optical and near-IR making it easily accessible to ground- and space-based observatories (e.g. Lilly et al. 1996; Madau et al. 1996; Bouwens et al. 2007; Wilkins et al. 2007). This is in contrast to prominent optical emission line diagnostics, such as H\( \alpha \), which are shifted beyond the \( K \) band at such redshifts.

However, the use of the UV as a diagnostic has several problems. The primary shortcoming is the effect of dust attenuation, as even moderate optical attenuations can result in severe attenuation in the UV (Sullivan et al. 2001). To some extent far-IR (FIR) observations, which probe UV emission reprocessed by dust, or observations of the UV continuum slope (e.g. Bouwens et al. 2009; Wilkins et al. 2011b; Bouwens et al. 2012; Finkelstein et al. 2012), can be used to recover the intrinsic UV luminosity.1

The second principal shortcoming is that the UV-SFR calibration \( B_{\nu} \), where \( B_{\nu} \) is defined such that (cf. Madau et al. 1998)

\[
\text{SFR}/\left[ \text{M}_\odot \text{yr}^{-1} \right] = B_{\nu} \times 10^{-28} \times L_{\nu}/[\text{erg s}^{-1} \text{Hz}^{-1}],
\]

where \( L_{\nu} \) is the intrinsic UV luminosity and SFR is the SFR, is not unique but instead is sensitive to the recent star formation history, metal enrichment history and the form of the stellar initial mass

1 Of course both these techniques have associated problems: FIR observations generally have a much brighter flux sensitivity and are limited to low-redshift or extremely bright sources. The UV continuum slope is also sensitive to the star formation and metal enrichment histories. Sole use of the UV continuum slope plus observed UV luminosity may result in incompleteness due to heavily obscured galaxies being missed.
function (IMF; e.g. Madau et al. 1998; Wilkins et al. 2008a; Wilkins, Trentham & Hopkins 2008b).

In this study, we use the GAlFORM semi-analytical model of galaxy formation (Cole et al. 2000; Baugh et al. 2005, hereafter B05) to investigate how variations in the star formation and metallicity histories within a realistic population of galaxies affect the calibration, $B_{\nu}$. This paper is organized as follows: in Section 2 we describe the various physical processes which affect the calibration $B_{\nu}$, including the recent star formation history (Section 2.1), metal enrichment (Section 2.2) and IMF (Section 2.3). In Section 3 we use the GAlFORM galaxy formation model to determine the distribution of $B_{\nu}$ (Section 3.1) and investigate the correlation of the calibration with SFR (Section 3.2) and redshift (Section 3.3). Finally, in Section 4 we present our conclusions.

Throughout this work we consider three artificial rest-frame UV/optical filters: a far-UV filter FUV ($T_{\nu} = [0.13 < \lambda / \mu m < 0.17]$), a near-UV one: NUV ($T_{\nu} = [0.18 < \lambda / \mu m < 0.26]$) and a $u$-band filter: $u$ ($T_{\nu} = [0.30 < \lambda / \mu m < 0.38]$). The wavelength range of the FUV and NUV filters is chosen to reflect the range of the FUV and NUV filters at $z = 0$ while the $u$ band is chosen to cover a similar range to the SDSS $u$ and Hubble Space Telescope Wide Field Camera 3 $U$/3600 bands (at $z = 0$). The decision to use rest-frame filters is motivated by the desire to consistently compare the calibration at different redshifts. A top-hat profile is assumed because it is conceptually simpler but also to allow the easy calculation of the required $k$-correction from an observed-frame filter. Fig. 1 shows the three filter transmission functions together with the spectral energy distributions (SEDs) of three star-forming galaxies (with different previous durations of star formation) for context.

2 PHYSICAL PROPERTIES AFFECTING THE CALIBRATION

The stellar UV emission of a star-forming galaxy is predominantly driven by high-mass stars ($m > 10 M_\odot$). The short main-sequence lifetimes of these stars suggest that the UV luminosity is a potential diagnostic of the ongoing (or instantaneous) SFR. In reality, the UV emission from a star-forming galaxy is produced by stars with a range of masses, and thus main-sequence lifetimes. Fig. 2 shows the cumulative UV luminosity as a function of mass assuming a Kennicutt IMF for several fixed metallicities, as labelled. As the duration of preceding star formation increases, the calibration factor declines in amplitude due to the increase in $L_{\text{UV}}$ caused by the accumulation of 10 per cent of the UV luminosity. For $m < 10 M_\odot$, the fraction of mass has increased by 10 per cent but the luminosity has increased by a factor of 3.

2.1 Recent star formation history

The significant contribution to the UV luminosity of actively star-forming galaxies of stars with $m < 10 M_\odot$, which have main-sequence lifetimes $> 30$ Myr, means that the total UV luminosity (and thus the UV-SFR calibration) is sensitive not only to the instantaneous SFR but also to the recent star formation history. In Fig. 3 the calibration $B_{\text{UV}}$ is shown as a function of the duration of previous (constant) star formation using the PEGASE.2 SPS code and a Kennicutt IMF for several fixed metallicities. As the duration of preceding star formation increases, the calibration factor declines in amplitude due to the increase in $L_{\text{UV}}$ caused by the accumulation of 10 per cent of the UV luminosity. For $m < 10 M_\odot$, the fraction of mass has increased by 10 per cent but the luminosity has increased by a factor of 3.
of stars with \( m < 10 \, M_\odot \) which are still luminous in the UV. After roughly 1 Gyr of continuous star formation, the additional contribution of new stars to the UV luminosity is balanced by the loss of older low-mass stars, leaving the luminosity and thus the calibration approximately constant.

Specifically, after 100 Myr of continuous star formation with constant metallicity \( Z = 0.02 \) and a Kennicutt (1983) IMF the PEGASE.2 SPS code predicts \( B_{\text{FUV}} = 0.89 \) for the FUV filter (for the NUV and \( u \) bands we instead obtain \( B_{\text{FUV}} = 0.97 \) and \( B_u = 1.16 \), respectively, for the same scenario). If instead the Salpeter (1955) IMF (see definition in Section 2.3) over the mass range 0.15–120 \( M_\odot \) is assumed, the PEGASE.2 SPS code predicts \( B_{\text{FUV}} = 1.21 \). This is similar to the Madau et al. (1998) value \((B_{\text{FUV}} = 1.25)\) which was determined using the Bruzual & Charlot (1993) SPS code with an updated stellar library and assuming a Salpeter IMF over the range \( m = 0.1–125 \, M_\odot \).\(^3\)

### 2.2 Metallicity

The UV luminosity of a star is dependent not only on its initial mass but also on its chemical composition. Fig. 3 shows dependence of the calibration \( B_{\text{FUV}} \) on the duration of previous star formation assuming several different (fixed) stellar metallicities. At a given age, lowering the metallicity reduces the calibration \( B_{\text{FUV}} \), suggesting that lower metallicity stars produce a greater UV luminosity per unit stellar mass formed. This is due to the reduced effect of opacity in low-metallicity stellar cores which allows high-mass stars to achieve higher energy production rates and thus luminosities (and temperatures). Assuming a star formation duration of 100 Myr, reducing the metallicity from \( Z = 0.02 \) to \( Z = 0.004 \) reduces the calibration from \( B_{\text{FUV}} = 0.90 \) to 0.79.

### 2.3 Initial mass function

The calibration is also strongly affected by the choice of stellar IMF. A popular representation of the IMF is a broken power law, with the slope below some characteristic mass \( m_c \) being flatter relative to that at high masses. This can be written as:

\[
\xi(m) = dN/dm \propto m^\alpha_1 [m_{\text{low}} < m < m_c] + m^\alpha_2 [m_c < m < m_{\text{high}}].
\]

In Fig. 4 we show how the calibration \( B_{\text{FUV}} \) is affected by simple changes to the IMF. The two curves show the relationship between \( B_{\text{FUV}} \) and the high-mass slope of the IMF for two different low-mass behaviours: (1) when \( \alpha_1 = \alpha_2 \), i.e. an un-broken power law, and (2) when \( \alpha_1 \) is fixed at \(-1.4 \) (with \( m_c = 1 \, M_\odot \)) which replicates the Kennicutt IMF at \( \alpha_2 = -2.5 \). In both cases \( B_{\text{FUV}} \) rapidly increases towards steeper high-mass slopes as the stellar mass formed becomes progressively dominated by low-mass, UV faint stars. A more detailed consideration of the effect of the IMF on the recovery of physical properties such as the SFR, stellar mass, mass-weighted age etc. is discussed in Wilkins et al. (in preparation).

### 3 Predictions from a Galaxy Formation Model

We use the GALFORM semi-analytical galaxy formation model (see Baugh 2006 for an overview of hierarchical galaxy formation mod-

\[^3\] The value \( \alpha_1 = 1.4 \) quoted by Kennicutt (1998) is derived in a similar way to the Madau et al. (1998) value but assumes a Salpeter IMF over \( m = 0.1–100 \, M_\odot \).

\[^4\] Which replicates the Salpeter (1955) IMF when \( \alpha_1 = \alpha_2 = -2.35 \).

Figure 4. The impact of the choice of IMF on the UV-SFR calibration \( B_{\text{FUV}} \). The two curves show the effect of changing the high-mass slope \( (\alpha_2) \) (upper curve: \( \alpha_1 = \alpha_2 \), lower curve: \( \alpha_1 = -1.4 \) with \( m_c = 1 \, M_\odot \)). The points denote the popular Salpeter and Kennicutt IMFs. The top-heavy IMF assumed in starbursts in the default implementation of the B05 GALFORM model (see Section 3) is also indicated. In all cases \( Z = 0.02 \) and there has been 100 Myr previous constant star formation.

#### 3.1 Predicted distribution of \( B_{\nu} \)

The distribution of UV-SFR calibrations, for star-forming galaxies (which we take to be those with SFR > \( 1 \, M_\odot \) yr\(^{-1} \)), predicted from the three implementations of the B05 GALFORM model are shown in Figs 5–7 assuming the FUV, NUV and \( u \)-band filters. The top panel of each figure shows the distribution at low redshift \( (z = 0) \) while the bottom panel shows the distribution at high redshift \( (z = 6) \). The 15.9, 50 and 84.1 percentiles of each of these distributions are also presented in Table 1, along with an estimate of the fractional uncertainty. We now discuss the predictions for the three implementations of the B05 model in turn.

#### 3.1.1 Single-IMF implementation

By considering an implementation of the B05 model in which the IMF is the same for all star formation modes we can investigate the effect of the recent star formation and metal enrichment histories on the calibration distribution. The distribution of \( B_{\text{FUV}} \) (shown in Fig. 5) in the single-IMF implementation of the model at low...
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Figure 5. The distribution of the UV-SFR calibrations ($B_{\text{fuv}}$) for star-forming galaxies ($\text{SFR} > 1 \, M_\odot \, \text{yr}^{-1}$) at $z = 0$ (top) and $z = 6$ (bottom) assuming the default (line histogram), single-IMF (shaded histogram) and single-IMF single-metallicity (hatched histogram) implementations of the B05 GALFORM model. The y-axis is arbitrary and on a linear scale. The bars show the median value of $B_{\text{fuv}}$, which is written at the side. The histograms in each panel are normalized to contain the same number of galaxies.

redshift ($z = 0$) is roughly Gaussian with a median $B_{\text{fuv}} = 0.90$. This median value is almost exactly the same as that found simply by assuming a solar metallicity and a 100 Myr previous duration of constant star formation (as is often assumed in the literature for the determination of $B_{\text{fuv}}$; e.g. Madau et al. 1998). The width of the 68.2 per cent confidence interval (i.e. the interval encompassed by the 15.9th–84.1th percentiles, $P_{0.15.9}$) is $P_{0.36}$ and the distribution is fairly symmetric (i.e. $P_{0.36} = P_{0.15.9} \approx P_{0.36} - P_{0.36}$). This implies a fractional uncertainty$^5$ on $B_{\text{fuv}}$ for an individual object of around 0.2. If instead of the FUV filter we consider the redder NUV or $u$-band filters (as shown in Figs 6 and 7, respectively) the median calibrations increase to $B_{\text{nuv}} = 0.93$ and $B_u = 1.06$ (this simply reflects that the intrinsic spectrum is blue, i.e. $\beta < -2$, as seen in Fig. 1 and discussed in more detail in Wilkins et al. 2012) and the intrinsic uncertainty, at $z = 0$, increases to 0.23 and 0.34, respectively. The increase in the scatter reflects the increasing sensitivity to the star formation history as a wider range of stellar masses contribute to the UV luminosity at these longer wavelengths.

The distribution at high redshift ($z = 6$, shown in the lower panel of Fig. 5) is similar in form though has both a larger median ($B_{\text{fuv}} = 1.00$) and broader confidence interval ($P_{0.36} - P_{0.36} = 0.60$), and is skewed towards larger values of $B_{\text{fuv}}$. The resulting fractional uncertainty increases to 0.30 (with 0.34 and 0.32 in the NUV and

$^5$ This is defined as $(P_{0.36} - P_{0.36})/2 \times P_{0.50}$. This would simply be the standard deviation divided by the median were $B_{\nu}$ normally distributed.

Figure 6. The same as Fig. 5 but assuming the NUV-band filter.

Figure 7. The same as Fig. 5 but assuming the $u$-band filter.
the B05 model at low redshift ($z = 0$) and that found in the single-IMF case but has a slightly higher median B$_{0.88}$, cf. Table 1. The distribution of B$_{3.1}$ is similar to that found in the single-IMF case, with the exception of a small second peak around B$_{0.36}$ in the single-IMF case. This suggests (for a fixed IMF) that the recent star formation history is the primary driver affecting the distribution in the UV-SFR calibration.

To assess the relative contribution of the star formation and metal enrichment histories to the scatter in the calibration we also consider an (unrealistic) implementation of the B05 model in which the metallicity is fixed at $Z = 0.02$ and a single IMF assumed. In this case the scatter in $B_{\nu}$ will be driven entirely by the variation in the star formation history. The resulting distribution in $B_{\nu}$ is similar to that found in the single-IMF case but has a slightly higher median and slightly smaller scatter ($P_{6.1} - P_{0.9} = 0.31$, cf. $P_{6.1} - P_{15.9} = 0.36$ in the single-IMF case). This suggests (for a fixed IMF) that the recent star formation history is the primary driver affecting the scatter in the UV-SFR calibration.

### 3.1.3 Default implementation

The distribution of $B_{\nu}$, assuming the default implementation of the B05 model at low redshift ($z = 0$) is similar to that found in the single-IMF case, with the exception of a small second peak around $B_{\nu} = 0.25$. This peak is due to merger-driven star formation which, in the default implementation of the B05 model, occurs with a top-heavy IMF ($\xi \propto m^{-1}$). At high redshift (lower-panel of Fig. 5) much of the star formation in galaxies with SFR > 1 M$_{\odot}$ yr$^{-1}$ is merger driven, and therefore occurs with a top-heavy IMF. This results in a strong peak at $B_{\nu} = 0.25$ and a lower amplitude distribution at $B_{\nu} > 0.5$. The resulting median of the distribution is $B_{\nu} = 0.30$, less than a third of that in the single-IMF implementation. This illustrates the difficulty in using the UV emission to infer the SFR in such a model, as $B_{\nu}$ effectively becomes time dependent.

### 3.2 The predicted correlation with the intrinsic star formation rate

In Fig. 8 the median UV-SFR calibration is shown for galaxies binned by SFR for the single-IMF (top) and default implementations (bottom) of the B05 GALFORM model at $z = 0$ and $z = 6$. The correlation of the median UV-SFR calibration

| $z$ | Band | $P_{15.9}$ | $P_{50}$ | $P_{6.1}$ | Fractional uncertainty $\frac{(P_{15.9} - P_{15.9})/2 \times P_{50}}{}$ |
|-----|------|-----------|---------|----------|-----------------------------|
| 0   | FUV  | 0.64      | 0.88    | 1.07     | 0.25                        |
| 0   | NUV  | 0.68      | 0.91    | 1.13     | 0.25                        |
| 0   | $u$  | 0.70      | 1.02    | 1.33     | 0.31                        |
| 6   | FUV  | 0.26      | 0.30    | 0.92     | 1.10$^a$                    |
| 6   | NUV  | 0.32      | 0.36    | 1.02     | 0.95$^a$                    |
| 6   | $u$  | 0.34      | 0.42    | 1.02     | 0.81$^a$                    |

$^a$The distribution is clearly non-Gaussian and the fractional uncertainty is much less useful.

For the default implementation of the model (shown in the bottom panel of Fig. 8) the behaviour is more complex. At low redshift the correlation is similar to that seen in the single-IMF implementation of the model; there is a weak correlation between SFR and $B_{\nu}$. In contrast, at high redshift the median value of calibration drops dramatically and the width of the distribution increases for SFR > 1 M$_{\odot}$ yr$^{-1}$ resulting in a large uncertainty. This is because at high redshift, high SFRs are dominated by merger-driven star formation, which occurs with the flatter IMF which has a much lower calibration associated with it, as seen in Section 3.1.3.

**Figure 8.** The correlation of the median UV-SFR calibration $B_{\nu}$ with SFR at $z = 0$ (black) and $z = 6$ (grey) assuming the single-IMF model (top) and default implementation (bottom) of the B05 model. In each case the points denote the median value of $B_{\nu}$ in each SFR bin while the lines denote the 68.2 per cent confidence interval (CI$_{68.2}$per cent). The points are horizontally offset by $\pm0.05$ dex for clarity.
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ever, the calibration relating the UV luminosity to the star formation rate is sensitive to the recent star formation and metal enrichment history of the galaxy (as well as the choice of IMF).

Using the GALFORM galaxy formation model to produce realistic star formation and metal enrichment histories we determine that the median calibration $B_{\text{fuv}}$ (equation 1) is $\approx 0.9$ [assuming a Kennicutt (1983) IMF]. This value is almost identical to that of a stellar population forming stars continuously for 100 Myr at solar metallicity (as is typically assumed in the literature to determine the calibration). However, there is a distribution of calibrations with a 68.2 per cent confidence interval of $P_{1.14} - P_{1.9} = 0.36$. The width of this distribution implies, at $z = 0$, for a single object, there is an uncertainty on the SFR as measured from the intrinsic FUV luminosity alone of $\approx 20$ per cent (increasing to $\approx 23$ per cent in the NUV and $\approx 24$ per cent in the $\lambda$ band) in the absence of photometric noise, redshift uncertainty or dust. At higher redshift this uncertainty increases, becoming $\approx 30$ per cent for the FUV band at $z = 6$ ($\approx 34$ and $\approx 32$ per cent in the NUV and $\eta$ bands, respectively).

We also investigate whether the recovered calibration $B_{\text{fuv}}$ is correlated with star formation rate or redshift. Using a single-IMF implementation of the GALFORM model we find a weak positive correlation of $B_{\text{fuv}}$ with SFR (irrespective of redshift) and a positive correlation with redshift (though only at $z > 3$). If instead we use the default implementation of the B05 model, which adopts a top-heavy IMF in merger-driven star formation, the situation is more complex. At high redshift ($z > 2$), where merger-driven star formation dominates, the median calibration is $B_{\text{fuv}} \approx 0.3$ reflecting the larger proportion of high-mass stars due to the top-heavy IMF.

Our results have implications for both theorists and observers. For simulators, it is apparent that the UV luminosity of a galaxy cannot be accurately determined from its instantaneous SFR alone, rather the SED should be computed by building a composite stellar population using the predicted star formation and metal enrichment history. Similarly, a single conversion from UV luminosity to SFR is only a rough approximation. A distribution of values should be adopted when interpreting observation data, whose median and width could well be functions of redshift and SFR.

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