Computing runs on a trie

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Abstract

A maximal repetition, or run, in a string, is a periodically maximal substring whose smallest period is at most half the length of the substring. In this paper, we consider runs that correspond to a path on a trie, or in other words, on a rooted edge-labeled tree where the endpoints of the path must be a descendant/ancestor of the other. For a trie with \( n \) edges, we show that the number of runs is less than \( n \). We also show an \( O(n\sqrt{\log n \log \log n}) \) time and \( O(n) \) space algorithm for counting and finding the shallower endpoint of all runs. We further show an \( O(n\sqrt{\log n \log^2 \log n}) \) time and \( O(n) \) space algorithm for finding both endpoints of all runs.

1 Introduction

Repetitions are fundamental characteristics of strings, and their combinatorial properties as well as their efficient computation has been a subject of extensive studies. Periodically maximal substrings, or runs, is one of the most important types of repetitions, since they essentially capture all occurrences of consecutively repeating substrings in a given string. One of the reasons which makes runs important and interesting is that the number of runs contained in a given string of length \( n \) is \( O(n) \) \cite{15}, in fact, less than \( n \) \cite{1}, and can be computed in \( O(n) \) time assuming a constant or integer alphabet \cite{15,1}, or in \( O(n\alpha(n)) \) time for general ordered alphabets \cite{7}, where \( \alpha \) is the inverse Ackermann function.

In this paper, we consider runs that correspond to a path on a trie, or in other words, on a rooted edge-labeled tree where the endpoints of the path must be a descendant/ancestor of the other. The contributions of this paper are as follows. For a trie with \( n \) edges, we show:

- that the number of such periodically maximal paths is linear, and in fact less than \( n \).
- an \( O(n\sqrt{\log n \log \log n}) \) time and \( O(n) \) space algorithm for counting and finding the shallower endpoint of all such paths.
- an \( O(n\sqrt{\log n \log^2 \log n}) \) time and \( O(n) \) space algorithm for finding both endpoints of such paths.
1.1 Related Work
A similar problem was considered in [13, 6, 14], but differs in three aspects: they consider distinct repetitions with integer powers on an un-rooted (or un-directed) tree. In this work, we consider occurrences of repetitions with maximal (possibly fractional) powers on a rooted (directed) tree.

2 Preliminaries

2.1 Strings, Periods, Maximal Repetitions, Lyndon Words
Let $\Sigma = \{1, \ldots, \sigma\}$ denote the alphabet, where $\sigma = n^c$ for some constant $c$, and $\Sigma^*$ the set of strings over $\Sigma$. For any string $w \in \Sigma^*$, let $w[i]$ denote the $i$th symbol of $w$, and $|w|$ the length of $w$. For any $1 \leq i \leq j \leq |w|$, let $w[i..j] = w[i] \cdots w[j]$. For technical reasons, we assume that $w$ is followed by a distinct character (i.e., $w[|w| + 1]$) in $\Sigma$ that does not occur in $w[1..|w|]$.

A string is primitive, if it is not a concatenation of 2 or more copies of the same string. An integer $1 \leq p \leq |w|$ is called a period of $w$, if $w[i] = w[i + p]$ for all $1 \leq i \leq |w| - p$. The smallest period of $w$ will be denoted by $\pi(w)$. For any period $p$ of $w$, there exists a string $x$, called a border of $w$, such that $|x| = |w| - p$ and $w = xy = zx$ for some $y, z$. A string is a repetition, if its smallest period is at most half the length of the string. A maximal repetition, or run, is a maximal periodic substring that is a repetition. More precisely, a maximal repetition of a string $w$ is an interval $[i..j]$ of positions where $\pi(w[i..j]) \leq (j - i + 1)/2$, and $\pi(w[i..j]) \neq \pi(w[i'..j'])$ for any $1 \leq i' \leq i$ and $j \leq j' \leq n$ such that $i' \neq i$ or $j' \neq j$. In other words, a run contains at least two consecutive occurrences of a substring of length $p$, and the periodicity does not extend to the left or right of the run. The smallest period of the run will be called the period of the run. The fraction $(j - i + 1)/p \geq 2$ is called the exponent of the run.

Let $\prec_0$ denote an arbitrary total ordering on $\Sigma$, as well as the lexicographic ordering on $\Sigma^*$ induced by this ordering. We also consider the reverse ordering $\prec_1$ on $\Sigma$ (i.e., $\forall a, b \in \Sigma, a \prec_0 b \iff b \prec_1 a$), and the induced lexicographic ordering on $\Sigma^*$. For $\ell \in \{0, 1\}$, let $\bar{\ell} = 1 - \ell$. A string $w$ is a Lyndon word w.r.t. to a given lexicographic ordering, if $w$ is lexicographically smaller than any of its proper suffixes. A well known fact is that a Lyndon word cannot have a border.

Crochemore et al. observed that in any run $[i..j]$ with period $p$, and any lexicographic ordering, there exists a substring of length $p$ in the run, that is a Lyndon word [13, 14]. Such Lyndon words are called L-roots. Below, we briefly review the main result of [1] which essentially tied longest Lyndon words starting at some position with L-roots of runs. This will be the basis for our new results for tries.

**Lemma 1 (Lemma 3.2 of [1]).** For any position $1 \leq i \leq |w|$ of string $w$, and let $\ell \in \{0, 1\}$ be such that $w[k] \prec_{\ell} w[i]$ for $k = \min\{k' | w[k'] \neq w[i], k' > i\}$. Then, the longest Lyndon word that starts at position $i$ is $w[i..\bar{\ell}]$ w.r.t. $\prec_{\ell}$, and $w[i..j]$ for some $j \geq k$ w.r.t. $\prec_{\ell}$.

**Lemma 2 (Lemma 3.3 of [1]).** Let $r = [i..j]$ be a run in $w$ with period $p$, and let $\ell \in \{0, 1\}$ be such that $w[j + 1] \prec_{\ell} w[j + 1 - p]$. Then, any L-root $w[i'..j']$ of $r$ with respect to $\prec_{\ell}$ is the longest Lyndon word with respect to $\prec_{\ell}$ that is a prefix of $w[i'..|w|]$.

From Lemma 2 it follows that the number of runs is at most $2n$, since each position can be the starting point of at most two L-roots that correspond to distinct runs. In [1], a stronger bound of $n$ was shown from the observation that each run contains at least one L-root that does not begin at the first position of the run, and that the two longest Lyndon words starting at a

\[\text{Note that } j \text{ becomes } |w| + 1 \text{ when } i = |w|.\]
given position for the two lexicographic orders cannot simultaneously be such L-roots of runs. This is because if \( w[i′..i] \) and \( w[j′..j] \) were L-roots and the runs start before position \( i′ \), then, from the periods of the two runs, it must be that \( w[i′ − 1] = w[i′] = w[j′] \), contradicting that \( w[i′..j] \) is a Lyndon word and cannot contain a border. In Section 3.1, we will see that the last argument does not completely carry over to the case of tries, but show that we can still improve the bound again to \( n \).

The above lemmas also lead to a new linear time algorithm for computing all runs, that consists of the following steps:

1. compute the longest Lyndon word that starts at each position for both lexicographic orders \( \prec_0 \) and \( \prec_1 \),

2. check whether there is a run for which the longest Lyndon word corresponds to an L-root.

There are several ways to compute the first step in amortized constant time for each position, but essentially involves computing the next smaller values (NSV) in the inverse suffix array of the string. We describe the algorithm in more detail in Section 3.2 and will see that the amortization of the standard algorithm does not carry over to the trie case. We give a new linear time algorithm using the static tree set-union data structure (more specifically, decremental nearest marked ancestor queries) [12], which does carry over to the trie case.

The second step can be computed in constant time per candidate L-root with linear time-preprocessing, by using longest common extension queries (e.g. [11]) in the forward and reverse directions of the string. Unfortunately again, this does not directly carry over to the trie case because, as far as we know, longest common extension queries on trees can be computed in constant time only in the direction toward the root of the trie, when space is restricted to linear in the size of the trie. In Section 3.3, we will show how to apply the range predecessor/successor data structure of [2] to this problem.

### 2.2 Common Suffix Trie

A trie is a tree rooted tree with labeled edges, such that each edge to the children of a node is labeled with distinct symbols. A trie can be considered as representing a set of strings obtained by concatenating the labels on a root to leaf path. Note that for a trie with \( n \) edges, the total length of such strings can be quadratic in \( n \). An example can be given by the set of strings \( X = \{x c_1, x c_2, \ldots x c_n\} \) where \( x \in \Sigma^{n−1} \) is an arbitrary string and \( c_1, \ldots, c_n \in \Sigma \) are pairwise distinct characters. Here, the size of the trie is \( \Theta(n) \), while the total length of strings is \( \Theta(n^2) \).

Also notice that the total number of distinct suffixes of strings in \( X \) is also \( \Theta(n^2) \). However if we consider the strings in the reverse direction, i.e., consider edges of the trie to be directed toward the root, the number of distinct suffixes is linear in the size of the tree. Such tries are called common suffix tries [5]. However, we will use the terms parent/child/ancestor/descendant with the standard meaning based on the undirected trie, e.g., the root is an ancestor of all nodes in the trie.

For any nodes \( u, v \) of the trie where \( v \) is an ancestor of \( v \), let \( str(u, v) \) denote the string obtained by concatenating the labels on the path from \( u \) to \( v \). For technical reasons, we assume that the root node has an auxiliary parent node \( \perp \), where the edge is labeled by a distinct character in \( \Sigma \) that is not used elsewhere in the trie. We denote by \( suf(v) \) the string obtained by concatenating the labels on the path from \( v \) to \( \perp \), i.e., \( suf(v) = str(u, \perp) \).

Note that a trie can be pre-processed in linear time so that for any node \( v \), the ancestor of node \( v \) at an arbitrary specified depth \( d \) can be obtained in constant time (e.g., [4]).
3 Runs in a Trie

3.1 The Number of Runs in a Trie

We first define runs on a trie. A run \((v_i, v_j)\) on a trie \(T\) is a maximal periodic path with endpoints \(v_i\) and \(v_j\), where \(v_j\) is an ancestor of \(v_i\) and \(str(v_i, v_j)\) is a repetition. More precisely, \(\pi(str(v_i, v_j)) \leq \frac{|str(v_i, v_j)|}{2}\), and for any descendant \(v_i'\) of \(v_i\) and ancestor \(v_j'\) of \(v_j\), \(\pi(str(v_i, v_j)) \neq \pi(str(v_i', v_j'))\) if \(v_i' \neq v_i\) or \(v_j' \neq v_j\).

Noticing that for any node in the trie, its parent is unique, it is easy to see that analogies of Lemmas 1 and 2 hold for tries. Thus, we have the following.

**Corollary 1.** For any node \(v\) except the root or \(\perp\), let \(w_v = suf(v)\), and \(\ell \in \{0,1\}\) be such that \(w_v[k] \triangleleft_\ell w_v[1]\) for \(k = \min\{k' \mid w_v[k'] \neq w_v[1], k'>1\}\). Then, the longest Lyndon word that is a prefix of \(w_v = suf(v)\) is \(w_v[1..1]\) w.r.t. \(\triangleleft_\ell\) and \(w_v[1..j]\) w.r.t. \(\triangleleft_\ell\) for some \(j \geq k\).

**Corollary 2.** Let \(r = (v_i, v_j)\) be a run with period \(p\) in the trie, \(w_r = suf(v_i)\), and \(\ell \in \{0,1\}\) is such that \(w_r[x+1] \triangleleft_\ell w_r[x-p+1]\), where \(|x| = |str(v_i, v_j)|\). Then, any \(L\)-root \(str(v_i', v_j')\) of the run with respect to \(\triangleleft_\ell\) is the longest Lyndon word that is a prefix of \(suf(v_i')\).

Since the edge labels of the children of a given node in a trie are distinct, a given \(L\)-root can only correspond to one distinct run; i.e., the extension of the period in both directions from an \(L\)-root is uniquely determined. Therefore, the argument for standard strings carry over to the trie case, and it follows that the number of runs must be less than \(2n\). We further observe the following

**Theorem 1.** The maximum number of runs in a trie with \(n\) edges is less than \(n\).

**Proof.** Suppose \(str(v_i', par(v_i'))\) and \(str(v_i', v_j')\) are simultaneously \(L\)-roots of runs respectively w.r.t. \(\triangleleft_\ell\) and \(\triangleleft_\ell\), and that they do not start at the beginning of the runs. Let \(p = |str(v_i', v_j')|\) and \(w_{v_i'} = suf(v_i')\). If \(v_i\) has only one child, this leads to a contradiction using the same argument for the case of strings; i.e., if \(u\) is the child of \(v_i'\), then, from the periods of the two runs, \(str(u, v_i') = w_{v_i'}[1] = w_{v_i'}[p]\) contradicting that \(w_{v_i'}[1..p]\) is a Lyndon word and cannot contain a border. Thus, \(v_i\) must have at least two children, \(u, u'\), where \(str(u, v_i') = w_{v_i'}[1]\) and \(str(u', v_i') = w_{v_i'}[p]\). Let \(k\) be the number of branching nodes in the trie. Then, the number of leaves is at least \(k + 1\). Since a run cannot start before a leaf node, this means that a longest Lyndon word starting at a leaf cannot be an \(L\)-root that does not start at the beginning of the run. Therefore, although there can be at most \(k\) nodes such that both longest Lyndon words are such \(L\)-roots, there exist at least \(k + 1\) nodes where both are not. Thus, the theorem holds.

**Corollary 3.** The sum of exponents of all runs in a trie with \(n\) edges is \(O(n)\).

**Proof.** A given run \(r\) with exponent \(e_r\) contains at least \(|e_r - 1| \geq 1\) occurrences of its \(L\)-roots that do not start at the beginning of the run, each corresponding to a longest Lyndon word starting at that position. The claim follows from Corollary 2.

3.2 Computing Longest Lyndon Words

Next, we consider the problem of computing, for any node \(v\) of the trie, the longest Lyndon word that is a prefix of \(suf(v)\). We first describe the algorithm for strings, which is based on the following lemma.

**Lemma 3.** For any string \(w\) and position \(1 \leq i < |w|\), the longest Lyndon word starting at \(i\) w.r.t. \(\prec\), is \(w[i..j-1]\), where \(j\) is such that \(j = \min\{k > i \mid w[k..|w|] \prec w[i..|w|]\}\).
Theorem 2 (suffix tree of a trie \[15\]). The suffix tree of a trie on a constant or integer alphabet can be constructed in \(O(n)\) time.

The problem now is to compute, for each node \(v_i\), the closest ancestor \(v_j\) of \(v_i\) such that its lexicographic rank is smaller than that of \(suf(v_i)\). Algorithm 1 can be modified to correctly compute the NSV values on the trie; the for loop is modified to enumerate nodes in some order such that the parent of a considered node is already processed, and line 3 can be changed to \(x = par(x)\). However, the amortization will not work; the existence of branching paths means there can be more than one child of a given node, and the same position (node) \(x\) could be accessed in the while loop for multiple paths, leading to a super-linear running time.

To overcome this problem, we introduce a new, (conceptually) simple linear time algorithm based on nearest marked ancestor queries.

Theorem 3 (decremental nearest marked ancestor \[12\]). A given tree can be processed in linear time such that all nodes are initially marked, and the following operations can be done in amortized constant time:

- \(nma(v)\): return the nearest ancestor node of \(v\) that is marked.
Algorithm 2: Computing NSV on trie $A$ of integers

```plaintext
1 Preprocess trie for decremental nearest marked ancestor;
2 foreach node $v$ in decreasing order of ISA[$v$] do
  3 unmark[$v$];
  4 NSV[$v$] = nma($v$);
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- \text{unmark}($v$): unmark the node $v$.

**Theorem 4.** Given a trie of size $n$, the longest Lyndon word that is a prefix of \text{suf}($v$) for each $v$ can be computed in total $O(n)$ time and space.

**Proof.** It is easy to see the linear running time of Algorithm 2. The correctness is also easy to see, because the nodes are processed in decreasing order of lexicographic rank, and thus, all and only nodes with larger lexicographic rank are unmarked. \qed

### 3.3 Computing Runs

To compute all runs in a trie, we extend the algorithm for strings to the trie case. After computing the longest Lyndon word that is a prefix of \text{suf}($v$) for each node $v$ for the two lexicographic orderings $\prec_0$ and $\prec_1$, we must next see if they are $L$-roots of runs by checking how long the periodicity extends. Given a longest Lyndon word $y = \text{str}(v_i, v_j)$ w.r.t. $\prec_\ell$ that starts at $v_i$, we can compute the longest common extension from nodes $v_i$ and $v_j$ towards the root, i.e., the longest common prefix $z = \text{str}(v_j, v_k)$ between $\text{suf}$(v$_i$) and $\text{suf}$(v$_j$). To avoid outputting duplicate runs, $y$ will be a candidate $L$-root only if $|z| < |y|$ and $\text{str}(v_i)[|y| + |z| + 1] \prec_\ell \text{str}(v_i)[|z| + 1]$. Using the suffix tree of the trie, this longest common extension query can be computed in constant time after linear time preprocessing, since it amounts to lowest common ancestor queries (e.g. [3]). The central difficulty of our problem is in computing the longest common extension in the opposite direction, i.e. towards the leaves, because the paths can be branching. We cannot solve this problem by simply considering longest common extensions on the common suffix trie for the reverse strings, since, as observed in Section 2, this can lead to a quadratic blow-up in the size of the trie.

We overcome this problem by reducing the longest common extension query in the leaf direction to several queries of finding the lexicographically closest suffix that is in a specific subtree and at a specific depth. We use the following result for range predecessor queries multiple times to achieve Lemma 4. A range predecessor problem is to pre-process $n$ points on a $[1, n]^2$ grid where all points differ in both coordinates, so as to answer the query: given three integers $x_1, x_2, y$ find the point $r_{\text{pred}}(x_1, x_2, y) = (u, v)$ such that $x_1 \leq u \leq x_2$, and $v$ is the largest such that $v \leq y$.

**Theorem 5 (Range Predecessor Queries (Theorem 5.1 in [2]))**. Given $n$ points from the grid $[1, n]^2$, we can in $O(n \sqrt{\log n})$ time build a data structure that occupies $O(n \log n)$ bits of space and that answers range predecessor queries in $O(\sqrt{\log n} \log \log n)$ time. The construction uses $O(n \log n)$ bits of working space.

By converting each of the $y$ coordinates to $n - y + 1$, range successor queries $r_{\text{succ}}(x_1, x_2, y)$ can also be achieved in the same time/space bounds.

**Lemma 4.** A trie of size $n$ can be pre-processed in $O(n \sqrt{\log n})$ time and $O(n)$ space so that given a node $v$ and a positive integer $d > |\text{suf}(v)|$, we can answer in $O(\sqrt{\log n} \log \log n)$ time,
the node \( v' \) such that \( v' \) is a descendant of \( v \) where \( |suf(v')| = d \) and of all such nodes, has the longest common prefix with \( suf(v) \).

**Proof.** We construct several range predecessor/successor data structures of Theorem 5, where each point in the grid corresponds to a node in the trie. The first coordinate is given by the order of nodes that would appear in a breadth-first traversal of the trie, where nodes of the same depth are ordered as they would appear in a depth-first traversal. Thus, for any depth \( d \), all nodes at depth \( d \) can be represented in an interval \([i_d..j_d]\). The second coordinate is given by each of the following three types of values in the range \([1..n]\), and can be assigned to each node \( v \) by a simple depth-first traversal on the trie.

- \( b_v \): pre-order rank of node \( v \) in a depth-first traversal.
- \( e_v \): post-order rank of node \( v \) in a depth-first traversal.
- \( l_v \): lexicographic rank of \( suf(v) \) (i.e. \( ISA[v] \)).

The construction costs \( O(n\sqrt{\log n}) \) time and \( O(n) \) space.

Now, the desired node \( u \) that is the answer to our query lies in the range \([i_d..j_d]\). The nodes corresponding to descendants of \( u \) further lie in a sub-range, namely, \([i_d,v..j_d,v]\), which can be computed by \( i_d,v = rsucc(i_d,j_d,b_v) \) and \( j_d,v = rpred(i_d,j_d,e_v) \), respectively using the successor and predecessor data structures for pre-order rank and post-order rank.

Finally, the node \( u \) which gives the longest common prefix must be one of the lexicographically closest ones in this range, i.e., it is one of \( u_1 = rpred(i_d,v,j_d,v,l_v) \) or \( u_2 = rsucc(i_d,v,j_d,v,l_v) \), using the predecessor/successor data structure for lexicographic rank. The longest common prefix between \( suf(u_1) \) and \( suf(v) \) as well as that between \( suf(u_2) \) and \( suf(v) \) can be computed in constant time with linear time pre-processing, as mentioned before. Thus, the total time is \( O(\sqrt{\log n}\log \log n) \) for the four range queries.

We first use Lemma 4 once for each candidate \( L \)-root, to determine whether the periodicity of the candidate \( L \)-root can extend long enough in the leaf direction to form a run.

**Theorem 6.** Given a trie of size \( n \), we can in \( O(n\sqrt{\log n}\log \log n) \) time and \( O(n) \) space, count the total number of runs in the trie, as well as identify the shallower endpoint of all runs.

**Proof.** Let \( y = str(v_i, v_j) \) be a candidate \( L \)-root and let \( z = str(v_j, v_k) \) be its extension in the root direction as described in the beginning of Section 3. Let \( p = |y| \). Also, let \( v' \) be the node on the path from \( v_i \) and \( v_j \) at depth \( |suf(v_k)| + p \). We use Lemma 4 in order to check if there exists a node \( v_l \) that is a descendant of \( v' \) and at depth \( |suf(v_k)| + 2p \) such that the longest common prefix between \( str(v_l) \) and \( str(v') \) is at least \( p \). If there is, this implies that \( str(v_i, v') = str(v', v_k) \), and since \( z = str(v_j, v_k) \) is a suffix of \( str(v', v_k) \) and thus of \( str(v_i, v') \), \( v_l \) must be a descendant of \( v_i \) because labels on the edges to child nodes are distinct. Thus, the prefix of length \( 2p \) of \( suf(v_i) \) has period \( p \), contains \( str(v_i, v_j) \), and the periodicity ends at \( v_k \), i.e., it is an endpoint of a run with \( str(v_i, v_j) \) as an \( L \)-root.

Such queries are conducted at most once for each candidate \( L \)-root, so the total time is \( O(n\sqrt{\log n}\log \log n) \).

Finally, we describe how to compute the other endpoint.

**Theorem 7.** Given a trie of size \( n \), we can compute in \( O(n\sqrt{\log n}\log^2 \log n) \) time and \( O(n) \) space, all runs \((v_i, v_j)\) in a trie.
Proof. After confirming an occurrence of the run as described in Theorem 6, we further repeatedly use Lemma 4 to check if the periodicity can be further extended in the leaf direction by length $p$. The total number of times that this is repeated is bounded by the sum of exponents of all runs in the trie, which is linear (Corollary 3). Therefore, the total time for this is $O(n \sqrt{\log n \log \log n})$.

It remains to find the remaining extension shorter than the period $p$ of the run. We compute this by a doubling + binary search on its length $t$, thus conducting the query of Lemma 4 $O(\log t)$ times. Notice that each edge in the remaining extension implies an occurrence of a primitively rooted square, the total length is bounded by the total number of primitively rooted squares that occur in the trie. Due to the three squares lemma (Lemma 10 of [10]), it follows that for each node $v$, there can only be $O(\log |suf(v)|)$ primitively rooted squares that are prefixes of $suf(v)$. Thus, it follows that the total length of the remaining extensions for all runs is $O(n \log n)$. Let $R$ be the set of all runs in the trie, and let $t_r$ denote the length of the remaining extension for run $r \in R$. The total number of queries is thus $\sum_{r \in R} \log t_r$, where $\sum_{r \in R} t_r = O(n \log n)$. Since the logarithm function is sub-additive, this function is maximized when each $t_r$ has the same length, i.e., $O(\frac{n \log n}{r})$. Thus, $\sum_{r \in R} \log t_r = O(r \log \frac{n \log n}{r})$. Noticing that $r \log \frac{n}{r} = O(n)$, the total can be bounded by $O(n \log \log n)$. Therefore, the theorem follows. \qed

4 Conclusion

We generalized the notion of runs in strings to runs in tries, and showed that the analysis of the maximum number of runs, as well as algorithms for computing runs can be extended and adapted to the trie case, but with an increase in running time.

An obvious open problem is whether there exists a linear time algorithm for computing all runs in a trie. For strings, there exists another linear time algorithm for computing all runs that is based on the Lempel-Ziv parsing [15]. It is not clear how this algorithm could be extended to the case of tries.
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