Factors associated with willingness to enter care homes for the elderly and pre-elderly in west of China

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Abstract
The research describes willingness of urban and rural residents over age 40 to enter care homes and examines personal factors which impact their willingness. Trained investigators gathered survey responses on sociodemographic information, physical health status, lifestyle behaviors, willingness to enter care homes, and specific service needs. 34.8% of the 1186 survey participants expressed willingness to enter care homes, with lower percentage of rural residents (25.8%) expressing willingness compared to urban residents (41.6%). The decision to enter care homes is impacted by occupation for urban residents and education status for rural residents. Healthcare remains the top consideration when considering care homes.

Abbreviations: CI = confidence interval, OR = odds ratio.

Keywords: aging, care homes, China, urban–rural differences, willingness

Key Points
• Compared to national policy goals, there is both a lack of supply of care home beds and an under-utilization of existing elder-care services in Dujiangyan, Sichuan Province, China.
• There is a significant difference in willingness to enter care homes with 41.6% of urban residents and 25.8% of rural residents willing to consider institutional elder-care in the future.
• Occupation (employed or not) is the main factor that affects urban residents’ willingness to live in an elder-care institution; the main demographic factor predicting rural residents’ willingness is level of education.
• Policies should recognize differences between urban and rural populations with regard to elder-care needs, and provide a range of options for individuals seeking forms of institutional elder-care.
• Further research is needed to understand possible cultural barriers to willingness to enter institutional elder-care; policies should look to international examples to provide multi-faceted and tiered elder-care, which involve family and social support to varying degrees.

1. Introduction
It is estimated that by 2030, the global population of people over 65 years old will exceed 1 billion, and the global population over 50 will be greater than the population of those under 17 years old[1]; in projected models, China’s population of those over
65 years old will surpass that of Japan in having the highest proportion of elderly, and China is aging at a faster pace than Japan.\[12\] According to the 2018 National Economic and Social Development Statistics Bulletin issued by the National Bureau of Statistics of China, by the end of 2018, the population in China of those over 60-years-old was 949.49 million, surpassing the population of 0 to 15 years old by 248.6 million.\[13\] It is estimated that by 2020, the population of those over 60 years old will reach 255 million and the number of elderly living alone will reach 118 million.\[14\] The speed and scale of China’s aging is unprecedented in the world. Although the number of care homes in China is increasing, only 2% of the elderly live in care homes.\[11\] As of 2017, there are 155,000 various types of elderly care service institutions and facilities in the country, with a total of 7.448 million beds in these elder-care institutions, or 30.9 elder-care beds for every 1000 elderly people. In 2017, the Chinese State Council released an official policy as part of the 13th Five Year Plan for the development and expansion of elderly care in China. This plan aims to develop and strengthen elderly care in China, with a specific goal of having 35 to 40 elder-care beds available for every 1000 elder over 60. Thus, there is a significant gap between the current status of elder-care and the proposed plan.\[6\]

China has a rapidly aging society, and children are often expected to care for their elderly parents, given Confucian values of filial piety.\[7,8\] Chinese society has undergone rapid changes in the past decades: the industrialization and urbanization brought about by China’s rapid development,\[9,10\] and the family planning policy which limited each family to 2 children\[10,11\] have had significant impacts on the demography of China and present challenges to the former norms of children living with and caring for their aging parents.\[11\] Previous studies have shown that adult children who are willing to live with their parents are decreasing in number and proportion.\[12,13\] According to survey results, in large urban cities, the number of empty nesters with no children nearby has reached 70%.\[14\] In rural areas, as migrant workers enter the city and the bukou system is relaxed, elderly people in rural areas are rarely cared for by their children.\[11\] These studies indicate that as the demographics in China shift, the role of the nuclear family in caring for the elderly is shrinking and the ability for a family to care for elderly has been weakening.\[15,16\]

In China, due to traditional norms of children rearing and caring for the elderly,\[17\] many elderly people expect to be financially supported by their children.\[16\] For example, elderly people with more children are less likely to purchase insurance than those with fewer children.\[15\] The expectation that children will financially support their elderly parents is even greater in rural areas, where there are lower levels of state financial investment and support.\[20\] Thus, as the proportion of elderly people grow and the rate of inter-generational cohabitation continues to decline, the importance of institutional elder-care has become more prominent.\[21,22\] In China, nursing homes have traditionally had a negative reputation, as those individuals who resided in nursing homes were assumed to have no children, relatives, or income.\[23\] In the common “4–2–1” or “4–2–2” (4 grandparents, 2 parents, 1 or 2 children) family structures, adult children are under significant pressure to care for their aging parents and grandparents themselves, putting enormous pressure on only children. This pressure may lead to negative consequences including caregiver burnout and depression.\[24,25\]

Because of this, the Chinese government has implemented a series of policies to support the development of institutional care as one of the important pillars of the 13th Five-Year Plan (2016–2020). The State Council has also issued a series of documents to support the development of care homes.\[26\]

In recent years, the numbers of old-age institutions in major cities such as Beijing, Shanghai, Wuhan, and Chongqing have steadily increased.\[19,27,28\] The purpose of this study is to analyze the current state of willingness of residents to choose care homes and the factors which influence their choices. Previous studies determined that willingness to enter elder-care correlated with age, gender, income, education, marital status, and presence of non-communicable diseases (NCDs), etc.\[29–31\] and studies have shown that poor health self-assessment is related to willingness to consider an care home.\[32,33\] Considering the impact of physical activity on the physical and mental health of the elderly, there are also studies that have focused on effects of physical activity. Previous research has focused on those over the age of 60.\[34,35\] However, as the one-child policy was implemented in 1982, those aged 40 to 59 are the first generation affected by this family planning policy and recent economic growth and urbanization; this generation will be soon entering the “aging population.” This study therefore expands previous research by including this critical population. Additionally, this study compares differences between urban and rural residents, given known differences in investment between urban and rural pensions and social benefits.\[36\] The purpose of this study is to study the personal factors affecting individuals’ willingness to consider care homes and the specific needs sought in elder-care, in order to provide policy recommendations for alleviating the gap between supply and demand for care homes and adjusting relevant policies to effect necessary change.

2. Methods

2.1. Ethics consideration

The Ethical Committee of Sichuan University approved the study protocol. Participants were informed about the aim of the survey, the selection criterion of the sample, and the assurance that the information was only used for research. All participants gave written informed consent before inclusion in the study.

2.2. Study setting and study population

This cross-sectional study was conducted between August and October 2018 in Dujiangyan, Sichuan Province, China. Dujiangyan City is located northwest of Chengdu in Sichuan Province. In 2016, the resident population of Dujiangyan was 690,900, and the proportion of those over 65 reached 13.92%, higher than the national average of 10.8%. There were 132,000 people who were aged 60 and over, accounting for 20.1% of the total population. The old-age burden coefficient is 18.43% higher than the national level of 14.30%,\[14,37\] and there are 20,42 elder-care beds per 1000 elderly people, which is lower than the national level of 31.6 elder-care beds per 1000 in the country.\[18\] Given the population of elderly people, the demand for elder-care services is significant and the supply is insufficient. However, the occupancy rate of the public care homes in Dujiangyan City is 68.3% and the occupancy rate of private care homes is 41.8%,\[37\] evidence that residents are not yet willing to consider care homes.

Dujiangyan is composed of 10 rural townships (each of which has several villages) and 9 urban districts (which are each
composed of several communities). We utilized a staged cluster sampling method, first randomly selecting 5 townships and 5 districts. From each township or district, 150 residents were randomly selected to participate in the survey from a resident database provided by local government. Residents were contacted by the investigator, and the significance and purpose of the research was explained to the participant. After obtaining informed consent, each participant was provided either a digital or paper-copy of the close-ended survey, and a graduate student from West China School of Public Health College of Sichuan University was present to provide clarification for any questions. The survey took an average of 30 minutes. All questionnaires were checked for completeness.

A total of 1500 residents were invited to participate in the survey and 27 refused to participate. Through this cross-sectional survey, 1473 questionnaires were obtained (98.2 response rate). 70 individuals did not provide complete sociodemographic data, 85 individuals did not provide physical health information, and 56 individuals did not answer questions regarding their preference regarding care homes. 76 individuals were excluded as they were younger than 40 years old. The remaining 1186 individuals were included in the analysis (Fig. 1).

2.3. Dependent variable

The dependent variable was willingness to consider a care home. Interviewers first defined care homes as institutions for elderly to receive comprehensive services such as food, cleaning, health care, health management, and social and recreational activities.[26] The interviewer then asked the respondent whether he or she understood the concept and could explain the concept to others. The interviewer then asked: “Would you be willing to reside in a care home in the future?” If the respondent answered yes, follow-up questions included: why would you choose a care home? What services do you think are necessary in a care home? Each of these questions had set responses validated and according to National Healthy City Questionnaires,[39] which include Beijing, Suzhou, Shanghai Healthy Cities Questionnaires, and the National Healthy Cities Evaluation Index System (China, 2018), the members of the research team selected items about elderly care. These questions developed via pilot qualitative interviews with on-the-ground researchers. A total of 30 subjects from public care homes, and the Civil Affairs Bureau participated in the pilot survey. The options in the questionnaire were pilot tested iteratively; the category of “other” captured all remaining responses. Respondents could

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**Figure 1.** Participants’ flow in the study (there are no symbols and abbreviations that need to be noted in the figure).
select as many of the options as they felt were relevant or none of the options. Those who answered that they would not be willing to reside in a care home were not asked further questions.

2.4. Independent variables

The independent variables consist of sociodemographic information, physical health status, and lifestyle behavior.

Sociodemographics: We collected the age (age 40–59, ≥60 years old), gender (male, female), education, (elementary school and below, junior high school, high school, undergraduate or junior college), marital status (married, not married), occupation (employed, unemployed), and monthly income (<$141, $141–$564, ≥$564) of the respondents.

Physical health: Data regarding chronic disease state (e.g., hypertension, diabetes, coronary heart disease, stroke, and primary malignant respiratory diseases) were collected from participants. Participants were organized according to whether they had fewer than 2 chronic diseases (less than 2), 2 or more chronic disease, or no chronic diseases.[40]

Lifestyle and behavior: Researchers recorded who the respondent currently resides with, as well as whether or not they smoke or drink, and their physical activity levels. Physical activity levels over the past 2 weeks were calculated with the validated Chinese version of the International Physical Activity Long Volume (IPAQ-L).[41]

2.5. Statistical analysis

Chi-square tests were used to analyze categorical variables, logistic regression was used to analyze the relationships between independent variables, and descriptive analysis was used to illustrate the reasons for people choosing institutions and the services needed. All P values were two-sided, and a P-value of <.05 was considered statistically significant. All survey data were entered using Epi data 3.1. Statistical analysis was performed using STATA 15.0.

3. Results

3.1. Sample characteristics

Characteristics of our study sample are shown in Table 1. A total of 1186 participants (489, 41.2% male) were enrolled in the study, with an average age of 52.4 years (range

Table 1

| Variable | Total (n=1185) | City (n=670) | Rural (n=515) |
|----------|----------------|--------------|---------------|
|          | Willing | Unwilling | P       | Willing | Unwilling | P       | Willing | Unwilling | P  |
| Total    | 34.8%   | 65.2%     | <.001*  | 41.6%   | 58.4%     | .003*  | 25.8%   | 74.2%     |    |
| Age      |         |           |         |         |           |        |         |           |    |
| <60      |         |           |         |         |           |        |         |           |    |
| ≥60      |         |           |         |         |           |        |         |           |    |
| Gender   |         |           |         |         |           |        |         |           |    |
| Male     |         |           |         |         |           |        |         |           |    |
| Female   |         |           |         |         |           |        |         |           |    |
| Education|         |           | <.001*  | <.001*  | <.001*    |        |         |           |    |
| Elementary school and below |         |           |         |         |           |        |         |           |    |
| Junior high school          |         |           |         |         |           |        |         |           |    |
| High school                 |         |           |         |         |           |        |         |           |    |
| Undergraduate or junior college |       |           |         |         |           |        |         |           |    |
| Occupation                  |         |           | <.001*  | <.001*  | <.001*    |        |         |           |    |
| Employed                     |         |           |         |         |           |        |         |           |    |
| Unemployed                   |         |           |         |         |           |        |         |           |    |
| Monthly income ($)           |         |           | <.001*  | <.001*  | <.001*    |        |         |           |    |
| <$141                         |         |           |         |         |           |        |         |           |    |
| $141–$564                    |         |           |         |         |           |        |         |           |    |
| ≥$564                        |         |           |         |         |           |        |         |           |    |
| Physical activity level      |         |           | .025*   | .126    | .190       |        |         |           |    |
| High                           |         |           |         |         |           |        |         |           |    |
| Medium                        |         |           |         |         |           |        |         |           |    |
| Low                            |         |           |         |         |           |        |         |           |    |
| Non-infectious chronic disease |       |           | .543    | .684    | .300       |        |         |           |    |
| Greater than or equal to 2    |         |           |         |         |           |        |         |           |    |
| Less than 2                   |         |           |         |         |           |        |         |           |    |
| Smoking                       |         |           | .95     | .053    | .116       |        |         |           |    |
| Yes                            |         |           |         |         |           |        |         |           |    |
| No                             |         |           | .347%   | .38%    | .276%      |        |         |           |    |
| Drinking                      |         |           | .409    | .612    | .118       |        |         |           |    |
| Yes                            |         |           |         |         |           |        |         |           |    |
| No                             |         |           |         |         |           |        |         |           |    |

* P < .05.
Multivariate logistic regression analysis of willingness to enter elder-care facilities among urban and rural residents.

| Variable                        | Total | City       | Rural      |
|---------------------------------|-------|------------|------------|
|                                 | OR    | 95% CI     | OR         | 95% CI     | OR         | 95% CI     |
| Age (ref = more than 60 yr old) | 0.91  | 0.59–1.39  | 1.02       | 0.56–1.84  | 1.01       | 0.51–2.02  |
| Gender (ref = female)           | 0.95  | 0.69–1.29  | 1.03       | 0.69–1.55  | 0.75       | 0.46–1.24  |
| Marital status (ref = married)  | 0.80  | 0.59–1.35  | 1.09       | 0.64–1.85  | 0.68       | 0.35–1.32  |
| Employed (ref = unemployed)     | 1.09* | 1.17–2.44  | 1.56       | 0.96–2.56  | 1.69       | 0.93–3.09  |
| Education                       |       |            |            |           |           |           |
| Junior high school              | 1.49  | 0.91–2.44  | 1.13       | 0.55–2.32  | 1.68       | 0.82–3.45  |
| High school                     | 1.99* | 1.19–3.32  | 1.56       | 0.76–3.19  | 1.94       | 0.87–4.31  |
| Undergraduate or junior college | 1.94* | 1.13–3.33  | 1.25       | 0.61–2.62  | 2.44*      | 1.01–5.88  |
| Monthly income ($)              |       |            |            |           |           |           |
| $141–$564                       | 1.32  | 0.87–2.02  | 0.96       | 0.45–2.05  | 1.01       | 0.56–1.82  |
| $≥564                           | 1.72* | 1.03–2.89  | 1.33       | 0.57–3.08  | 1.23       | 0.55–2.74  |
| Physical activity level         |       |            |            |           |           |           |
| Medium                          | 1.21  | 0.91–1.95  | 1.13       | 0.77–1.65  | 1.28       | 0.77–2.14  |
| Low                             | 1.33  | 0.99–1.81  | 1.34       | 0.91–1.98  | 1.33       | 0.82–2.19  |
| Smoking (ref = no)              | 0.99  | 0.69–1.42  | 0.77       | 0.48–1.24  | 1.46       | 0.82–2.62  |

CI = confidence interval, OR = odds ratio. All models adjusted for age, gender, marital status, education, monthly income, physical activity level, smoking.

* \( P < .05 \).

3.2. Multivariate logistic regression results

Significant variables include age, gender, marriage, education, occupation, monthly income, physical activity level, and smoking (Table 2). Overall regression results showed that participants with higher education levels were more likely to be willing to live in care homes. The employed were more likely to choose care homes compared to the unemployed (odds ratio (OR) = 1.69, 95% confidence interval (CI): 1.17–2.44); participants with monthly income (≥$564) were more likely to choose care homes (OR = 1.72, 95% CI: 1.03–2.89), and as the level of physical activity decreases, participants are more likely to be willing to choose care homes, controlling for income, education, and occupation. The regression results of urban participants and rural participants showed that the factors affecting urban participants’ choice of care homes are mainly occupation (OR = 1.56, 95% CI: 0.96–2.56), while for the rural population, the most significant factor was education (elementary school and below) (OR = 2.44, 95% CI: 1.01–5.88).

3.3. Demands for the care homes

We describe reasons why participants would be willing to choose care homes and described elder-care needs in Table 3. The results showed that participants who chose care homes ranked the following reasons as important: good medical care (78.2%), reduced burden for children (68.4%), assistance with daily activities (66.7%), good living atmosphere (53.1%), food and residential conditions (51.9%), low cost (25.7%). Among all of the participants, the health services that they considered to be most urgently needed were medical care (43.8%), physical exercise (35.7%), recreational activities (32.9%), spiritual comfort and psychological support (27.1%), assistance with daily activities (26.4%), participation in social activities (24.7%), emergency assistance (21.2%), senior learning and training (18.2%), and domestic services (9.1%).

4. Discussion

Our survey results show that 41.6% of the urban residents and 25.8% of the rural residents are willing to choose institutional elder-care. Given the context of Dujiangyan City, where care homes are clustered in cities and in prior research has shown that elder-care decisions are impacted by concerns about distance, rural residents may be reluctant to live in elder-care facilities because most are far away in urban areas. As China’s urbanization continues, and the young and middle-aged rural population migrate to urban settings, the rate of rural aging will be higher than the rate of urban aging and the current supply and demand of elder-care services in rural and urban areas will continue to be imbalanced. The government should introduce incentives to encourage care homes to be set up in rural areas, as care homes must address the specific needs of rural elderly.

Single-factor chi-square test results show that whether in urban or rural areas, age, education, occupation, and monthly income are all factors that affect the willingness of residents to join care homes. After multi-factor binary logistic regression, factors such as higher education, employees, higher monthly income, and lower physical activity levels are correlated with positive attitude...
toward care homes, which is consistent with previous research findings. However, regression results of urban residents show that employees are more inclined to care homes. This may due to employed people tends to have higher social and economic status than retirees. Though reported in other studies, this research found no significant difference in willingness to join care homes based on the presence of non-infectious chronic diseases, which may be due to different disease measurement methods. Though physical activity level is correlated with willingness to enter a care home, the daily activities differ between urban and rural areas. Rural residents are mostly farmers and have a higher physical-activity level. Our survey results show that employees are more inclined to care homes. This may be because the existing care homes are mostly dependent older persons have to depend on their family member for daily activities. We hypothesized that those under the age of 60 have been significantly affected by strict National family planning policies, and as most people have very few children, there is less family support. Additionally, due to China’s rapid industrialization and urbanization, this age group is uniquely influenced by different factors in their decision. The government should explore the establishment of a rural elder-care system that not only encourages the establishment of care homes in rural areas, but also develops community care centers and alternative options for partial care models where family members can also participate with care. This may be more in line with the norms of traditional Chinese Confucian culture. Government policies should take into account differences between rural and urban population needs and their different decision factors. The circumstance in China is different from that of western developed countries. For most Chinese cases, the dependent older persons have to depend on their family member because they have no other choices. Government policies should support family caregivers to reduce their burden. Prior research found that while in Western countries, decisions to participate in care homes were often based on health conditions, in China decisions considered family resources and cultural norms and that many elderly people did not in fact require assistance with daily activities. We hypothesized that those under the age of 60 have been significantly affected by strict National family planning policies, and as most people have very few children, there is less family support. Additionally, due to China’s rapid industrialization and urbanization, this age group is uniquely impacted by these demographic changes and their children are more likely to be urban workers. Due to these demographic shifts, we hypothesized that this population would be more inclined to consider care homes. The chi-square results show that differences are significant between urban and rural residents, but regression

Table 3
Descriptive analysis on the demands for care home.

| Case | % | Case | % | Case | % |
|------|---|------|---|------|---|
| Why do you choose a institution to support your aged? | | | | | |
| Good medical condition | 322 | 78.2 | 222 | 79.6 | 100 | 75.2 |
| Good care of life | 275 | 66.7 | 191 | 68.5 | 84 | 63.2 |
| Good food and shelter conditions | 214 | 51.9 | 158 | 56.6 | 56 | 42.1 |
| Reduce the burden on children | 282 | 68.4 | 194 | 69.5 | 88 | 66.2 |
| Good living atmosphere | 219 | 53.1 | 156 | 55.9 | 63 | 47.4 |
| Low cost | 106 | 25.7 | 76 | 27.2 | 30 | 22.6 |
| What do you think is the most urgently needed pension service project? | | | | | |
| Life care | 312 | 26.4 | 168 | 25.1 | 143 | 27.8 |
| Medical insurance | 518 | 43.8 | 282 | 42.1 | 236 | 45.8 |
| Emergency assistance | 250 | 21.2 | 135 | 20.1 | 115 | 22.3 |
| Mental comfort and psychological support | 320 | 27.1 | 168 | 25.1 | 152 | 29.5 |
| Recreational activities | 389 | 32.9 | 227 | 33.9 | 162 | 31.5 |
| Seniors learning training | 215 | 18.2 | 105 | 15.7 | 110 | 21.4 |
| Physical Exercise | 422 | 35.7 | 230 | 34.3 | 192 | 37.3 |
| Participate in social activities | 294 | 24.9 | 167 | 24.9 | 127 | 24.7 |
| Housekeeping | 107 | 9.1 | 64 | 9.6 | 43 | 8.4 |
results show that age (younger than 60 or older than 60) is not a significant factor. Significant factors included occupation and education level. Given differences in willingness based on education level, the government should consider education campaigns or increasing awareness around care homes to eliminate the stigma surrounding care homes.

With the trend of population aging and declining fertility, the issue of elderly care is increasingly a global concern. Some Asian countries, such as Japan, South Korea, and Singapore, are as deeply influenced by Confucian culture as China, and their population is also aging. To address the challenges of aging, it is necessary to simultaneously provide multi-faceted social support and to recognize the differences between urban and rural residents in their understanding of and acceptance of certain institutional and societal supports. By recognizing these differences, policymakers can better establish an elder-care system which will adequately and appropriately serve the diverse aging population in China.

There are several limitations of this study. As respondents in this survey were not currently at care homes, there may be biases in the response, as these responses were hypothetical. As Liu[47] discusses, individuals tend to prefer their current living arrangements, leading to limitations in studies. To further investigate the impact of the family planning policies on the willingness for individuals to enter elder-care facilities, a longitudinal study examining changes in willingness over time could be considered. Additional limitations of the study were that we did not ask those who were not willing to enter a care home the factors which impacted their negative response. Lastly, as this research utilized structured, close-ended surveys, we were not able to assess in-depth qualitative responses from participants to understand the complexity of their decision-making regarding care homes.

5. Conclusion
This study showed that difference in the willingness to care for the elderly between urban and rural areas. These differences may be due to the differing distribution of urban and rural care homes and the differences in education level and economic status between these populations. Despite epidemiological and economic changes between generations, this study found no evidence of difference between the willingness of 40 to 59 years old individuals and individuals over 60 years old, if controlling for occupation, or education and income levels. The decision to enter care homes is more impacted by occupation for urban residents and by education level for rural residents. Overall, healthcare remains the top priority when considering care homes.

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