Transient Transfection of the Respiratory Epithelium with Gamma Interferon for Host-Directed Therapy in Pulmonary Tuberculosis

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Nebulized gamma interferon (IFN-γ) protein has been studied for clinical safety and efficacy against pulmonary tuberculosis (TB). The protein is expensive, requires a cold chain, and is difficult to deploy in limited-resource, high-incidence settings. We generated a preclinical proof of concept (PoC) for a dry powder inhalation (DPI) containing DNA constructs to transiently transfect the lung and airway epithelium of mice with murine IFN-γ. Bacterial colony-forming units (CFU) in the lungs of mice infected with Mycobacterium tuberculosis (MtB) reduced from about 10^6/g of tissue to ~10^4 after four doses given once a week. Nodular inflammatory lesions in the lungs reduced significantly in number. Immunohistochemistry of infected lung sections for LC3-1 and LAMP-1 indicated autophagy induction between 18 and 48 h after inhalation. ELISA on bronchoalveolar lavage (BAL) fluid showed differences in kinetics of IFN-γ concentrations in the epithelial lining fluid of healthy versus infected mice. Uninfected mice receiving DNA constructs expressing a fluorescent protein were live-imaged. The fluorescence signals from the intracellular protein peaked at about 36 h after inhalation and declined by 48 h. These results establish preclinical PoC of the efficacy of a DPI and dosing regimen as a host-directed and transient gene therapy of experimental pulmonary TB in mice, justifying preclinical development.

INTRODUCTION
Gamma interferon (IFN-γ) has long been in clinical use for cancer therapy,1,2 and has been marketed as Actimmune since 1999. In a clinical investigation of IFN-γ as a potential host-directed therapy (HDT) of pulmonary tuberculosis (TB), Jaffe et al.3 demonstrated that delivery of the cytokine to the lungs activated lung macrophages, the immune cells that play a key role in host defense. Condos et al.4,5 reported airway and lung deposition and clinical efficacy of nebulized IFN-γ in patients with multi-drug-resistant (MDR) pulmonary TB.5 A subsequent study by the same group found that nebulized, but not subcutaneously injected, IFN-γ resolved classic symptoms of pulmonary TB faster and cleared the bacteria from the sputum when administered to patients at 200 µg/day for 3 days a week during 4 months, along with the standard anti-TB drug regimen.6 However, 500-µg doses nebulized to severely ill patients of MDR TB may not be capable of “salvage therapy” of patients with advanced pulmonary TB.7

Treatment of drug-sensitive (DS) and MDR/extensively drug-resistant (XDR) TB is prolonged over several months. Anti-TB agents have severe adverse effects,7 and reduction of the duration of treatment is an important objective. Host-directed intervention is an emerging approach to TB therapy.8-11 Agents aimed at inducing host responses to kill the pathogen, limiting immunopathology by modulating immune homeostasis,12 promoting autophagy,13 and shortening treatment duration can be delivered directly to the lungs. Combining inhaled IFN-γ with existing regimens may hold promise for improving treatment of pulmonary TB.14 However, the cost of nearly 10 mg (0.2 mg × three doses a week × 16 weeks) of IFN-γ per patient and the requirement of a “cold chain” for the use of IFN-γ at the point of care are impediments in developing nebulized IFN-γ. This is especially important in limited-resource settings where the incidence of TB is high.

The target indications of the product we aim to develop are DS and MDR/XDR TB, but the relevance of such a product to the treatment of respiratory viral infections, occlusive pulmonary disease, and fibrosing alveolitis,15 among others, is arguable from first principles.16 It is prudent to mention here that additional engineering of the construct to provide a 5' untranslated region that could avoid “cap snatching” by viral RNases would be required for deploying cytokine gene therapy against coronavirus respiratory infections.17

We hypothesized that it is possible to transiently transfect the lung and airway epithelium with IFN-γ for an optimal period of gene expression that would induce host response against the pathogen, but not immunopathology in the host. This hypothesis derives from the early and
counter-intuitive observation by Ernstoff et al.² that intermittent intravenous infusion of up to 1,000 mg/m² of IFN-γ during 2 h was safer than continuous infusion of the same amount spread out over 24 h, as well as from observations by Condos et al.¹⁰ and Dawson et al.¹¹ that intermittent inhalation of IFN-γ provided healing effects rather than inflammatory pathology in patients with pulmonary TB. IFN-γ is known, among other host defense functions, to induce autophagy in cells infected with the intracellular pathogen Chlamydia.¹² Our group has also observed that the lung architecture of Mycobacterium tuberculosis (Mtb)-infected mice and guinea pigs improves significantly upon pulmonary delivery of drugs that induce autophagy.¹³,¹⁹

We therefore hypothesized that intermittent exposure to IFN-γ would drive a host defense program against the pathogen that would include induction of autophagy, without inducing immunopathology. A dry powder inhalation (DPI) formulation for transient transfection of the airways and deep lungs was prepared and evaluated to test these hypotheses and provide preclinical proof of concept (PoC) for IFN-γ HDT using species-autologous gene delivery.

**RESULTS**

**Bacterial Burden and Lung Pathology**

We infected 36 mice by the aerosol route with a low dose of Mtb and started treatment with once-weekly inhalations 28 days later (Figure 1A). The mice were made to inhale about 100 μg of a dry powder containing about 5 ng of plasmid bearing the mouse gene for IFN-γ (Ifng) by nose-only exposure.²⁰ The initial inoculum of bacteria received by the mice was about 100 colony-forming units (CFU) per gram of lung tissue as shown in Figure 1B. The CFU/g grew to about a million in the lungs and 10,000 in the spleen by the time treatment was started. At the end of the fourth week, no culturable bacteria were found in the lungs of one of the two mice receiving once-weekly inhalations. In a two-sample t test of actual power 0.99 at an alpha of 0.05, the mean lung CFU/g in the group after 4 weeks of treatment was significantly different from that in the untreated mice. The CFU counts in the spleen were apparently reduced on treatment but were not significantly different between treated and untreated animals (Figure S1).

Figure 2 shows the lungs of the mice after they had received one, two, three, or four doses of the DPI. There was progressive improvement in the gross organ pathology and reduction in the number of visible nodular lesions. When the mice received no treatment, severe pathology was evident at 56 days post-infection (Figure 2E).

**Autophagy in Lung Tissue**

Lung sections of mice infected with Mtb 28 days prior to receiving a single inhaled dose (100 μg of DPI, 5 ng of plasmid) were stained for markers of autophagy. Figure 3 shows that LC3-II and LAMP-1 expression was low in animals that received no treatment and in treated animals examined at 7 h after inhalation. After 18 h, expression of these markers increased discernibly. The two markers colocalized extensively at 48 h post-inhalation.

**Gene Expression Kinetics In Vitro**

Figure 4 shows transient transfection of A549 human alveolar epithelial cells by DPI particles added to culture wells, as well as a pattern of rising and falling concentrations of IFN-γ in the bronchoalveolar lavage (BAL) fluid of infected mice receiving inhalations. Cells were transfected either with a plasmid bearing Ifng or a chimeric construct of green fluorescent protein (-gfp-Ifng) under the cytomegalovirus (CMV) promoter. IFN-γ was secreted into the culture medium in a dose-dependent manner, showing peak concentrations at 12 and 24 h after adding plasmid DNA in DPI particles. Flow cytometry of cells transfected with gfp-Ifng indicated that intracellular concentrations of the foreign protein peaked at 12 h post-transfection. Confocal microscopy at different time intervals revealed that fluorescence could be observed starting 6 h after exposure to particles. At 48 h post-transfection, GFP co-localized with Lysotracker red dye, suggesting that the expressed protein was targeted for degradation at this time.

**Pharmacokinetics of IFN-γ in Uninfected and Infected Mice**

We drew blood samples, conducted BAL, and prepared homogenates of the lavaged lung tissue recovered at different time points from uninstructed mice (n = 3 per time point) after a single inhalation dose of 5 ng of plasmid DNA (Figure 5). IFN-γ peaked at about 8 h after inhalation in the BAL fluid and was, surprisingly, discernible almost immediately after inhalation in the lung homogenate. The half-life (t½) in
The time \(T_{\text{max}}\) taken to attain maximal concentrations \(C_{\text{max}}\) with Mtb 28 days before the experiment were markedly different. Airway epithelial lining had received plasmid DNA inhalations. The kinetics of foreign gene and other factors, was observed in these mice, as well as in mice that anal region, most likely due to dietary components, gut microflora, and other factors, was observed in these mice, as well as in mice that had received plasmid DNA inhalations. The kinetics of foreign gene expression indicate that RFP expression was detectable from 6 h onward, peaking at 24 h and depleting substantially by 48 h after dosing.

**DISCUSSION**

As the first step in the process of translational research, we present a preclinical PoC of transient transfection with IFN-\(\gamma\) as a stand-alone intervention in TB. As shown in Figure 1, transient transfection with inhaled Ifng reduces bacterial burden in the lungs by two orders of magnitude. It progressively and significantly improves gross morphology of the lungs.

**Figure 2. Lung Pathology**

(A–E) Photographs (scale graduations, mm) of freshly harvested lungs after the first (A), second (B), third (C), and fourth (D) doses show progressive reduction in nodular lesions, while the lungs of mice receiving no treatment showed a large number of lesions (E). Photographs were subjected to image analysis using ImageJ software. (F) The numbers of nodular lesions (scatter points) reduced progressively, and their dimensions were more diffuse in animals receiving inhalations. Scatter points refer to individual lesions. The average number of lesions at each time point/group is shown as a line, with error bars showing standard deviations. Statistically significant differences (t test, \(\alpha = 0.05\), actual power 0.67) are shown by asterisks. NS, not significant.

Concentration-time profiles of IFN-\(\gamma\) recovered from the lung and airway epithelial lining fluid sampled by BAL from mice infected with Mtb 28 days before the experiment were markedly different. The time \(T_{\text{max}}\) taken to attain maximal concentrations \(C_{\text{max}}\) differed strongly between uninfected (~8 h) and infected mice (~30 h) because of a lag time \(T_{\text{lag}}\) of nearly 18 h in the latter case. We note a correspondence between the pharmacodynamic outcome of induction of autophagy (Figure 3) starting at about 18 h and the \(T_{\text{lag}}\) calculated here (Table S1). The \(C_{\text{max}}\) in BAL fluid was nearly 3-fold higher in infected animals. A one-compartment pharmacokinetic model with a time lag following extravascular administration was fitted to the data using PKSolver 2.0.\(^{21}\) The values of other calculated pharmacokinetic parameters are given in Table S1.

**Gene Expression Kinetics of Intracellular Protein**

Mice \((n = 3)\) receiving inhalations of ~100 \(\mu\)g of particles containing ~5 ng of plasmid DNA with red fluorescent protein (RFP) under the CMV promoter were imaged at 6, 12, 24, 36, and 48 h post-inhalation (Figure 6). At 12 h after the dose, fluorescence was observed in the nasal/buccal area but not at other time points. It is likely that the mucosal epithelium of the nares and mouth was also transiently transduced during administration of the inhalation. Importantly, note that one of the mice showed fluorescence in only one lung (Figure 6G). Control mice received particles comprising all components except DNA. Background fluorescence in the urogenital, rectal, and perianal region, most likely due to dietary components, gut microflora, and other factors, was observed in these mice, as well as in mice that had received plasmid DNA inhalations. The kinetics of foreign gene expression indicate that RFP expression was detectable from 6 h onward, peaking at 24 h and depleting substantially by 48 h after dosing.

The effects of IFN-\(\gamma\) as HDT include, among others, induction of the self-cleaning cellular process of autophagy in TB-infected macrophages.\(^{19,25}\) We have earlier reported that inhalation of pharmacological inducers of autophagy improves alveolar architecture in mice and guinea pigs infected with Mtb.\(^{23}\) Figure 2 illustrates that autophagy was indeed induced in experiments reported herein, as early as 18 h after the first inhaled dose, and extensively after 48 h.

It has been demonstrated that most lipopolysaccharide (LPS)-induced proteins (including IFN-\(\gamma\)) are expressed by macrophages within 3 h of the stimulus.\(^{24}\) Similar to other cytokines, IFN-\(\gamma\) acts in a paracrine manner to amplify its own production. The observed time frame of
IFN-γ expression in vitro and in vivo (Figures 4 and 5) is consistent with the induction of autophagy in the TB-infected lung. Autophagy was not observed in the lungs of mice that were infected but received no treatment. These observations support the claim that autophagy was induced by secretion of functional IFN-γ in the lungs.

The kinetics of disposition of IFN-γ in the BAL fluid represent single-dose preclinical pharmacokinetics in a tissue compartment that is rarely sampled in clinical studies. In a study on plasmid delivery by nebulization preclinical pharmacokinetics in a tissue compartment that is rarely sampled in clinical studies. In a study on plasmid delivery by nebulization preclinical pharmacokinetics in a tissue compartment that is rarely sampled in clinical studies.

Figure 4. Transient Transfection In Vitro
(A) IFN-γ secreted in culture supernatant by $3 \times 10^7$ A549 alveolar epithelial cells transfected with about 1 ng (filled symbols) or 1.5 ng (open symbols) of plasmid DNA. Means ± SD of three replicates are plotted. (B) A chimeric GFP-IFN-γ protein was not secreted, but it could be detected by flow cytometry. Means ± SD of three replicates are plotted. (C) The green fluorescence attributable to the chimeric protein co-localized with LysoTracker red at 48 h post-transfection to generate yellow fluorescence. (D) Detail of (C).

Figure 3. Induction of Autophagy
(A) Confocal micrographs of representative lung sections of mice that were infected 21 days prior to the experiment but received no treatment, Untreated control stained with DAPI to reveal nuclei, and with antibodies to LC3-1 and LAMP-1 conjugated with green and red fluorescent dyes, respectively. (B) Six hours after inhalation of the plasmid bearing Ifng. (C) Punctate fluorescence (arrows) was observed to initiate at 18 h after the dose due to sporadic co-localization of the two markers. (D) Extensive co-localization of the two markers indicative of autophagic flux was observed at 48 h after inhalation. Scale bars, 75 μm.

Finally, results of live animal imaging (Figure 6) corroborated the time course of gene expression following inhalation of plasmids formulated in the same way as plasmids bearing Ifng. The imaging study also points out a limitation of inhalations in general and their use for in vivo transfection in particular. One mouse out of three that received inhalations of a plasmid expressing RFP showed the fluorescence signal in only one lung (Figure 6G). This could have resulted either from deposition of the powder in only one lung, or because one of the lungs was not transfected even though the powder was deposited. It is unlikely that all of the powder that deposited in the lung lobes that did not show fluorescence was devoid of DNA. Although 5 ng of DNA in 100 μg of powder appears to be a very small amount, we prepare the powder by spray-drying a solution of all components (Table S2). Because a solution has uniform content of each batch of the DPI powder as critical quality attributes (CQAs) of a pharmaceutical product. Non-uniform and idiosyncratic deposition of inhale powder in the airways and lungs is therefore the likely explanation for this observation. If this were to happen in a patient with a tubercular lung, it would amount to missing a dose. This is also why our product is positioned as an adjunct or add-on therapy of pulmonary TB.

There are several limitations of the studies reported herein. The first is sample size in the efficacy study. Within the constraints of resources available, the actual statistical power of the study on bacterial counts...
in lungs and spleens was 0.83, suggesting a 17% chance that the efficacy reported is an artifact. The situation is also complicated by the observation that one mouse had no culturable bacteria in the lungs at the end of 4 weeks. The presence of bacteria in the same animal’s spleen (Figures 1B and S2) and residual nodular lesions in the lungs (Figure 2D) assures us that it received the initial inoculum, but ambiguity remains whether the animal’s spleen and residual nodular lesions in the lungs and bacterial biofilms cannot be eliminated by host-directed mechanisms, including autophagy. The effect of gene therapy needs to be studied in a model such as the guinea pig or non-human primate above, as well as detailed safety/toxicity studies. Efficacy in mice, guinea pigs, and ideally in non-human primates is required to be established. Safety should also be established in infected animals (guinea pigs and non-human primates) with different chronicity of infection. Clinical development (as an adjunct to standard treatment, for initiation as soon after the first confirmed diagnosis of pulmonary TB as possible, and with the objective of reducing the duration of treatment) may be undertaken if warranted by the results of animal studies.30-31

MATERIALS AND METHODS

Materials

Plasmids bearing Ifng or gfp in tandem with Ifng were sourced from Origin Technologies (USA). The plasmid carrying the gene for RFP (pCMV-DsRed-Express) was from Clonetech (USA). Plasmids were amplified in sufficient yield using a process that is easily adaptable to good manufacturing practices (GMPs) mandatory for regulatory approvals for human use.31 Plasmid DNA mixed with branched-chain polyethyleneimine (bPEI) of 10 kDa molecular weight (Polysciences, USA) yielded stable complexes. Drug master file (DMF)-grade pharmaceutical excipients were obtained from various suppliers. Culture media, fetal calf serum (FCS), and supplements were sourced from Sigma-Aldrich (India). Fluorescent-tagged antibodies to LC3-1 and LAMP-1, LysoTracker red, and DAPI were from Elabscience Biotechnology. Absolute ethanol was procured from Merck (EMSURE), and other reagents and chemicals were of molecular biology grade.

Preparation of DPI

The DNA-PEI polyplex was mixed with various excipients (Table S2) in distilled water/ethanol (85:15 v/v). The solution was spray dried
Characterization

The size and morphology of the particles was determined by laser scattering (Malvern Mastersizer 2000, Malvern Instruments, UK) and scanning electron microscopy (Tecnai, Phillips, USA). Cascade impaction (MOUDI 100NRI, MSP, USA) was used to establish aerosol properties. We used an in-house apparatus for administration of dry powder inhalations to laboratory animals. The delivery port of this apparatus was interfaced with a MOUDI 100 cascade impactor, and 10 mg of powder samples (n = 3) were fluidized at a negative airflow rate of 30 L/min. Powder deposited at different stages was weighed using a five-digit balance (Mettler, USA). Agarose gel electrophoresis was used to confirm the quality of DNA after recovering it from the DPI particles by the standard phenol-chloroform-isoamyl alcohol method. DNA in samples collected on different stages was estimated quantitatively using the NanoDrop 2000c apparatus (Thermo Scientific). CQAs of the DPI formulations are illustrated in Figure S2.

Cell Culture, Microscopy, and ELISA

Human alveolar basal epithelial adenocarcinoma A549 cells (ATCC CCL-185) were maintained in RPMI 1640 with 10% FCS. Confluent wells of 24-well plates (with lysine-coated glass coverslips placed inside) were transfected in vitro to ascertain time kinetics of expression, secretion, and localization of foreign proteins within phagolysosomes (LysoTracker red, Invitrogen, USA). Confocal microscopy (Leica, TCS SP8) was carried out on the coverslips bearing A549 cells placed on glass slides. Apart from fluorescent proteins encoded by transfecting plasmids, cells were stained with DAPI and LysoTracker red according to the manufacturer’s instructions. ELISA was carried out on culture supernatants and BAL fluid (see below) using DuoSet ELISA reagents for mouse IFN-γ (R&D Systems, USA).

In Vivo Experiments

Animal experiments were conducted with approval by, and oversight of, the Institutional Animal Ethics Committee (IAEC/2017/283/Renew-0, dated October 31, 2017).

For assessment of whole-organ morphology and bacterial burden, animals were dosed once weekly starting at 28 days after low-dose aerosol infection. All procedures were carried out under animal biosafety level 3 (ABSL3) containment. Two animals were euthanized by cervical dislocation at the end of each week. Organs were harvested and their photographs were taken using a 48-megapixel cell phone camera through an observation port located outside the ABSL3 area. Tissue homogenates were plated in duplicate on 7H11 medium with oleic albumin dextrose catalase (OADC) supplement (Difco, Becton Dickinson, India). Colonies were counted after incubating the plates for 28 days in a biochemical oxygen demand (BOD) incubator maintained at 37°C. For kinetics of IFN-γ expression in Mtb-infected animals, BAL was conducted under ketamine-xylazine anesthesia on three mice each at time points of 6, 12, 18, 24, 36, and 48 h after the dose. After the BAL procedure, mice were perfused with 4% paraformaldehyde, and lung tissue was harvested for transport out of ABSL3 containment. Lung tissue was embedded in paraffin, and
micromtome sections were deparaffinized and stained for immunohistochemistry as per the manufacturer’s instructions.

For imaging, six male Swiss mice weighing between 15 and 20 g were randomly allocated to control and treatment groups (n = 3/group). The DPI was administered using an in-house inhalation apparatus for a period of 30 s.20 At time intervals of 6, 12, 18, and 31 h, mice were anesthetized with isoflurane, and in vivo imaging was carried out as per the manufacturer’s instructions (IVIS Spectrum, PerkinElmer, USA).

SUPPLEMENTAL INFORMATION
Supplemental Information can be found online at https://doi.org/10.1016/j.omtn.2020.10.023.

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AUTHOR CONTRIBUTIONS
Conceptualization, A.M., L.R., and R.B.; Methodology, L.R., J.S., and A.K.S.; Investigation, R.B., T.R., K.V., D.V.S.R., H.S., S.V., S.K.R., A.M., L.R., and R.B.; Writing – Review & Editing, A.M.; Funding Acquisition, A.M., A.K.S., L.R., and R.B.; Original Draft, R.B. and A.M.; Writing – Original Draft, R.B. and A.M.; Writing – Review & Editing, A.M.; Funding Acquisition, A.M., A.K.S., L.R., and J.S.; Resources, A.M., A.K.S., J.S., and L.R.; Supervision, A.M., L.R., A.K.S., and J.S.

DISCLOSURE OF INTERESTS
The authors declare no competing interests.

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