Vortex Flow on the Surface Generated by the Onset of a Buoyancy-Induced Non-Boussinesq Convection in the Bulk of a Normal Liquid Helium

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Abstract: The onset of the Rayleigh–Benard convection (RBC) in a heated from above normal He-I layer in a cylindrical vessel in the temperature range $T_\lambda < T \leq T_m$ (RBC in non-Oberbeck–Boussinesq approximation) is attended by the emergence of a number of vortices on the free liquid surface. Here, $T_\lambda = 2.1768$ K is the temperature of the superfluid He-II–normal He-I phase transition, and the liquid density passes through a well-pronounced maximum at $T_m \approx T_\lambda + 6$ mK. The inner vessel diameter was $D = 12.4$ cm, and the helium layer thickness was $h \approx 2.5$ cm. The mutual interaction of the vortices between each other and their interaction with turbulent structures appeared in the layer volume during the RBC development gave rise to the formation of a vortex dipole (two large-scale vortices) on the surface. Characteristic sizes of the vortices were limited by the vessel diameter. The formation of large-scale vortices with characteristic sizes twice larger than the layer thickness can be attributed to the arising an inverse vortex cascade on the two-dimensional layer surface. Moreover, when the layer temperature exceeds $T_m$, convective flows in the volume decay. In the absence of the energy pumping from the bulk, the total energy of the vortex system on the surface decreases with time according to a power law.

Keywords: convection; heat and mass transfer; free surface patterns; vortex flow

1. Introduction

The convective heat transfer in fluids and dense gases plays a role in nature (convection in planets’ atmospheres, in the bulk of oceans, and eruptions of volcanoes) and engineering. For this reason, the thermogravitational RBC in various media is being actively studied in numerous works [1,2]. The most recent developments about the transition to turbulence in convection can be found in the reviews [3–6].

Liquid helium is one of the most convenient model objects for the laboratory studies of the Rayleigh–Benard convection [7], as well as for the investigation of wave and vortex turbulent phenomena in the bulk and on the surface of liquids.

Most previous measurements were taken in a confined geometry. A liquid layer was placed between two solid plates made of high thermal conductivity materials and under the so-called Oberbeck–Boussinesq approximation. The thermal expansion coefficient is positive $\beta = -(1/\rho) \partial \rho / \partial T > 0$, the temperature gradient $\partial T / \partial z$ is positive and is directed opposite to a gravitational field, and other thermodynamic coefficients almost do not depend on temperature.

However, in the series of our recent experiments [8,9] we have studied pattern phenomena on the free normal helium surface in the process of the RBC onset under the strong non-Oberbeck-Boussinesq conditions: the liquid helium layer was heated from above in a narrow temperature range $T_\lambda < T \leq (T_\lambda + 6$ mK) where the liquid helium density passes
through a maximum [10] (see Figure 1). The thermal expansion coefficient of liquid $^4$He is negative $\beta < 0$ in the range of $1.4 \text{ K} < T < T_m$, and it passes through zero and becomes positive $\beta > 0$ at $T > T_m$, as in most convenient liquids.

![Figure 1. Temperature dependence of the thermal expansion coefficient of liquid $^4$He near $T_\lambda$.](image1)

In the volume of the He-I layer heated from above in the temperature range $\Delta T = T_m - T_\lambda \approx 6 \text{ mK}$ the RBC is developed due to a buoyancy-driven flow. The fluid layer in the wide cylindrical vessel is set into motion by natural convection as shown in Figure 2. The temperature of the liquid near the vessel bottom $T_b$ is less than that on the free liquid surface $T_s \leq T_m$. Blue hues demonstrate cold areas while the red hues are hot. A cold, less-dense lower boundary layer sends plumes of a cold liquid upwards, and likewise, a warm liquid moves from the top downwards.

![Figure 2. Illustration of the onset of the natural thermal RBC in the bulk of the normal helium layer heated from above in a narrow temperature range near $T_\lambda$ in gravity field $g$.](image2)

In the experiments discussed below the inner diameter of the vessel was $D = 12.4 \text{ cm}$ and thickness of the liquid layer was $h \approx 2.5 \text{ cm}$, thus the aspect ratio was $G = D/h \approx 5$.

Using the known values of the liquid helium [10], the density $\rho = 0.14 \text{ g/cm}^3$, the liquid viscosity $\nu \approx 1.4 \times 10^{-4} \text{ cm}^2/\text{s}$, and the thermal diffusivity $\chi = \kappa/\rho C_p \approx 2.5 \times 10^{-4} \text{ cm}^2/\text{s}$ (here $\kappa$ is the thermal conductivity and $C_p$ is the heat capacity), the thermal expansion coefficient is negative and close to $\beta \approx -0.018 \text{ K}^{-1}$ at $T \approx 2.178 \text{ K}$, and assuming that the difference in temperatures is $\Delta T \approx 3 \text{ mK}$, one can estimate the dimensionless parameters the Prandtl number $P = \nu/\chi \approx 0.56$, and the Rayleigh number $Ra = g \Delta T h^3 \beta/(\nu \chi) \geq 10^7$.

The estimated $Ra$ value is four orders of magnitude higher than the critical $Ra_c \approx 1.1 \times 10^3$ found for a case of a free upper liquid surface. In other words, turbulent vertical convection should be established with time in the He-I layer volume [1–6].
2. Materials and Methods

2.1. Materials and Experimental Method

The inset to a metallic cryostat specially designed for studying nonlinear phenomena on the free liquid helium surface was earlier described in [8,9]. The schematic of the measurements is presented in Figure 3a. The characteristic dimensions of the duralumin cylindrical vessel 1 are: the inner diameter \( D = 12.4 \) cm, the wall thickness \( l = 0.2 \) cm, and the height of 4 cm. The vessel was hermetically sealed with the transparent Plexiglass window (with the thickness of \( \sim 0.8 \) cm). The stainless steel capillary 3 was used to fill vessel 1 with high pure gaseous \(^4\)He. Thermometers 2 and 5 were used to monitor the liquid helium temperature at the bottom of vessel 1 and in the auxiliary bath 4, respectively.

![Figure 3. (a) Scheme of the experimental setup: 1-working cylindrical vessel with a Plexiglas window; 2, 5-thermometers; 3-filling line; 4-auxiliary bath. (b) The upper curve demonstrates the time dependence of the liquid temperature near the bottom of the vessel \( T_b \) (red line), and the lower curve is the liquid temperature in the auxiliary bath \( T_a \) (blue line) after switching off the external mechanical pump. The He-II to He-I phase transition inside the vessel occurs at a time of \( \sim 55 \) s.](image)

Vessel 1 was filled with liquid helium by the condensation of gaseous helium through capillary 3 when the temperature of liquid helium in the cryostat bath was \( T = 4.2 \) K. Then, the liquid temperature in the cryostat and auxiliary bath 4 decreased down to \( T < T_m \) by pumping the helium vapors with an external mechanical pump.

On achieving the thermal equilibrium in the cryostat bulk the pump was turned off, and the temperature of superfluid He-II in the cryostat began to increase smoothly. The radiative heat flux emitted by the warm upper cap of the cryostat was adsorbed almost entirely by the Plexiglas window 1. Next, the heat adsorbed by the window propagated over the helium vapor and the vessel sidewalls toward the liquid helium surface inside the vessel 1 (Figure 2).

The plateau on red line in Figure 3b (a time range of \( \sim 60–110 \) s) corresponds to the development of the turbulent convective flows in the normal helium layer heated from above in the temperature range of \( T_m < T < T_m \). With raising the liquid temperature near the vessel bottom above \( T_m \) the liquid density near the bottom exceeds that on the layer surface. Moreover, under these conditions (\( \beta > 0 \)), convective flows in the bulk should decay quickly. Because of this, the effective thermal conductivity of the He-I layer decreases, and well pronounced kinks occur on red line (time of \( \sim 110 \) s). Analogous dependence on time of the helium layer temperature in a glass dewar during the RBC onset was observed by Peshkov [11].

It is seen in Figure 3b that at the beginning of measurements the temperature of He-II in the vessel \( T_b \) (red line) is noticeably higher than that of the liquid in the auxiliary bath \( T_a \) (blue line): superfluid helium in the vessel 1 is overheated in comparison with the liquid in the auxiliary bath, and \( \Delta T = T_b - T_a \approx 15 \) mK. It can be used to estimate by an order of magnitude the heat flux density \( Q_0 \) propagating through the He-II layer in vessel 1 to auxiliary bath 4. Using the literature values of the Kapitza resistance at the metal-liquid
helium boundary \( R_k \approx 20/T^3 \) (Kcm\(^2\)/W) [12], the thermal conductivity of duralumin walls \( \kappa_w \approx 2 \times 10^{-2} \) W/cm K at \( T \approx 2 \) K [13] and the vessel wall thickness \( l = 0.2 \) cm one can write \( \Delta T = 2 \cdot (Q_c \cdot R_k) + Q_c \cdot 1/\kappa_w = Q_c \cdot (2 + R_k + 1/\kappa_w) \approx 15 \cdot Q_c \), thus, by an order of magnitude \( Q_c \approx \Delta T/15 \leq 1 \times 10^{-3} \) W/cm\(^2\). Assuming that the density of the heat flux passing through the free surface of the helium layer to the vessel bottom \( Q_c \) does not change significantly on heating the liquid above \( T_\lambda \), the temperature difference on the layer surface and near the bottom is \( \Delta T_c \approx 3 \div 6 \) mK, and the thermal conductivity of He-I near the bottom is \( \kappa_h \approx 4 \) mW/cm K, one could estimate the Nusselt number. The ratio of the convective \( Q_c \) to the conductive \( Q_d = \kappa \cdot (\Delta T_c / h) \) heat flux transfer across a boundary is \( N_u = Q_c / Q_d = Q_c \cdot h / \kappa_h \Delta T_c \leq 10^2 \). In principle, this estimate agrees with the estimates of the Nusselt number from the relations between the Rayleigh and Nusselt numbers given in the literature for large \( R_a \) \([3,4]\): at \( R_a \approx 10^6 \div 10^8 \) the ratio \( N_u / (R_a)^{1/3} \approx 0.08 \), or at \( R_a \approx 10^7 \) the Nusselt number should be \( N_u \approx 20 \).

It is known that the \( N_u \) value between 1 and 10 corresponds to a slug flow or a laminar flow, and the larger \( N_u \) numbers correspond to a turbulent flow (the \( N_u \) values fall between 100–1000 [1,2,14]).

### 2.2. Image Acquisition and PIV Measurements

To study any motion on the free surface of liquid helium, we used light 50 \( \mu \)m glass microspheres. It was observed that the glass spheres had combined into small aggregates (tracers) with an average diameter of 0.1–0.3 cm under the liquid surface [15].

To record the motion of tracers on the helium surface we installed the video camera above the transparent top of the cryostat. The frame rate of the video was equal to 24 fps. In order to process the video records and to identify the trajectories of the tracers on the liquid surface, we have used the well-known PIVLab software [8,9,15,16]. The images processing made it possible to estimate the velocities of the tracer movement on the liquid surface \( V_x \) and \( V_y \), the tracers trajectories, and then to calculate the vertical vorticity \( \Omega \) on the helium surface, the energy distribution \( E(x, y) \) over the surface, and total kinetic energy of the vortex motion \( E \) on the surface.

The vertical vorticity on the liquid surface \( \Omega \) was determined as (Equation (1)):

\[
\Omega = \frac{dV_x}{dy} - \frac{dV_y}{dx}
\]  

(1)

The energy distribution over the surface was calculated with Equation (2):

\[
E(x,y) = \frac{1}{2} \cdot \rho \cdot (V_x^2 + V_y^2)
\]  

(2)

The energy distribution \( E(k) \) over the wave vector in the k-space was estimated by averaging over the ring (Equation (3)):

\[
E(k) = \frac{1}{(2\pi \Delta k)} \int \frac{d^2 q}{(2\pi)^2} \left[ |V_k|^2 \right]
\]  

(3)

The integration was performed over the ring \( k < q < k + \Delta k \), and the resulting value was normalized to the liquid surface area \( S \). Here, \( V_k \) is the Fourier component of the tracer velocity. The brackets ‘‘\( \left[ \right] \)’ denote averaging over the frames taken at different times.

### 3. Results and discussion

The direct observations showed that at the first seconds after the passage of the temperature through the critical temperature \( T_\lambda \), the video camera had recorded the appearance of an intense flow on the surface directed from the sidewalls to the vessel centre (Figure 4). The centripetal velocity of the tracer front moving from the vessel walls was \( V \approx 2 \) cm/s. The Reynolds number of the tracer motion reaches \( Re = (V L) / \nu \sim 10^4 \), which indicates the possibility of vortex flow appearance on the liquid surface.
The tracer front collapsed near the vessel center, and then a number of vortices of different sizes appeared on the surface. The vortices were rotated in opposite directions. Figure 5a shows vortices on the liquid surface ~40 s after the He-II–He-I phase transition. The energy distribution of the vortex system E(k) in the k space at this time is illustrated Figure 5b.

It is clearly seen that several vortices rotating in opposite directions are excited on the liquid surface at this time. The maximum energy in the E(k) spectrum is at the wave vector k of ~1.6 cm⁻¹ (Figure 5b). The estimated from the Figure 5 value of the vorticity Ω in a single vortex reaches about ~3 s⁻¹. Using the calculated from experiment values of the vortex dimensions L~1.5 cm and the viscosity of liquid helium, the Reynolds number can be estimated: Re=(ΩL²/ν)~4 × 10⁴. A high Re value indicates that the vortex motion on the liquid surface excited by convective motion in the layer is highly nonlinear, that points to a strong interaction between the vortices. Moreover, due to this by 80 s the distributions of vortices along the layer surface has changed significantly, and two large vortices rotating

![Figure 4](image4.png)

**Figure 4.** The tracers front on the liquid surface is moving from the sidewalls to the vessel center at times of ~2 s (a) and ~3 s (b) after the moment of the superfluid He-II—normal helium He-I phase transition. The tracer front is marked by white arrows.

![Figure 5](image5.png)

**Figure 5.** (a) Streamlines on the He-I surface ~40 s after the He-II–He-I phase transition. (b) Energy distribution of the vortex system E(k) in the k space at this time.
in opposite directions have been formed. Vortex dipole occupies almost the entire surface of the liquid in the vessel. The corresponding streamlines and the energy distribution $E(k)$ of the vortex motion on the surface at this time are shown in Figure 6. The energy of the vortex motion on the surface reaches its maximum value.

![Figure 6](image-url)

**Figure 6.** (a) Current lines on the He-I surface ~80 s after the He-II–He-I transition. (b) Energy distribution $E(k)$ of the vortex system in the k space at this time. The straight line corresponds to the dependence $E(k) \sim k^{-5/3}$.

The appearance on the He-I surface of two large-scale vortices with characteristic dimensions exceeding the thickness of the liquid layer by more than two times indicated the formation of an inverse vortex energy cascade on the surface of the quasi-two-dimensional liquid layer. This is also evidenced by the observed power-law dependence of the energy distribution of the vortex system over the wave vectors $E(k) \sim k^{-5/3}$ (Figure 6), which is characteristic of the appearance of an inverse energy cascade in a two-dimensional layer. The position of the energy maximum in the spectrum $E(k)$ shifts towards small $k$ ($k \sim 0.76 \text{ cm}^{-1}$), which is also pointed out to the formation of two large-scale vortices.

The absolute value of the vertical vorticity for large-scale vortices reaches ~0.033 s$^{-1}$, and the characteristic dimensions of the vortex $L \sim 6 \text{ cm}$. From this data one can estimate that the Reynolds number $Re \sim 7000$. So, the large-scale vortices are characterized by a high $Re$ that indicates to the strong nonlinear interaction between the vortices. Moreover, one should wait that when the energy pumping from the bulk was switched off, at temperatures $T$ far above 2.2 K, the mutual interaction between vortices and their interaction with the vessel bottom and sidewalls should lead to the power-law dependence of the energy decay on time [17–19].

The time dependence of the total energy of the vortex motion on the liquid surface $E(t)$ observed in this experiment is shown in Figure 7. The moment of the crossing $T_x$ and transition from the superfluid to the normal state is taken as a reference point. The energy $E$ is shown in arbitrary units. Each energy point was calculated at a certain point in time over the entire velocity field obtained by averaging the movement of tracers for 4.2 s in the time interval up to ~140 s, and then by averaging for 8.4 s in the time interval after 140 s.
The direct measurements have shown that the emergence of the RBC in the heated liquid layer from above is accompanied by the formation of two large-scale vortices (vortex dipole) on the liquid surface. Any turbulent flow in the volume of He-I layer heated from above decays rapidly with an increase in the layer temperature above $T_m$. Note that in the absence of bulk pumping, at $T_b > T_m$, the total energy of large-scale vortices with characteristic dimensions twice the layer thickness (in the 2D situation according to the works in [17–19]) decreases with time as $E \sim (1/t)^{1.3}$, where the exponent $n$ varied from 1 to 2 in different experiments.

The power-law damping of energy could be explained by the nonlinear interaction between large-scale vortices and their interaction with the bottom and sidewalls of the vessel, as well as by the formation of small-scale vortices on the liquid surface.

Preliminary results of the measurements were presented in part at the conference on heat and mass transfer [20], and some of them were included in the collection of articles in the special issue of JLTP dedicated to the 90th anniversary of professors D. Lee and J. Reppy.

Figure 7. Time dependence of the total energy of the vortex system on the surface of the liquid. Energy $E$ is presented in arbitrary units.

In Figure 7, arrows 1 and 2 mark the times corresponding to the times in Figures 5 and 6, respectively. After $\sim 150$ s, the decay of the energy of the vortex motion on the surface could be represented by the power law $E \sim (1/t)^3$ with the exponent close to $n \approx 1$, as expected [18,19]. The blue arrows in Figure 7 show the temperature of liquid layer near the vessel bottom $T_b$ at the corresponding time points.

4. Conclusions and Outlook

The described experimental approach for studying the vortex flow on the surface generated by the buoyancy-driven non-Boussinesq convection in the bulk of a normal liquid helium has several principal advantages. Superfluid helium provides uniform initial conditions throughout the entire bulk of the liquid at the beginning of the experiment. Next, on heating the liquid layer in the vessel above $T_\lambda$, the energy is pumped into a vortex system in a natural way due to development of the thermogravitational RBC in He-I. The pumping stops working when the temperature of the liquid at the vessel bottom $T_b$ reaches the temperature at which the maximum density of the liquid is realized. The free surface of liquid helium enables studying the evolution of vortices on the He-I surface over a long period of time.

The direct measurements have shown that the emergence of the RBC in the heated layer of normal helium at temperatures just above $T_\lambda$ is accompanied by the appearance of a vortex flow on the free liquid surface. Nonlinear interaction between vortices on the surface and in the volume during the onset of turbulent convection in the layer (i.e., in the presence of energy transfer from the volume to the system of surface vortices) leads to the formation of two large-scale vortices (vortex dipole) on the liquid surface.
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