AN ENTRY OF RAMANUJAN ON HYPERGEOMETRIC SERIES IN HIS NOTEBOOKS

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Abstract. Example 7, after Entry 43, in Chapter XII of the first Notebook of Srinivasa Ramanujan is proved and, more generally, a summation theorem for \( _3F_2(a, a, x; 1 + a, 1 + a + N; 1) \), where \( N \) is a non-negative integer, is derived.

1. Introduction

In the Notebooks of Ramanujan, identities for hypergeometric series occupy a prominent part (see \[3\] Chapters X, XI). In fact, Ramanujan discovered for himself all the (now classical) summation theorems established by Gauß, Chu-Vandermonde, Kummer, Pfaff-Saalschütz, Dixon and Dougall. For example, Dougall’s summation theorem (of which all the others mentioned are special cases), which was discovered by Dougall \[5\] in 1907, was independently found by Ramanujan during 1910–1912 (see Entry 1 in Chapter XII of Notebook 1 and in the corresponding Entry 1 in Chapter X of Notebook 2). We cannot assert an exact date, because there are no dates anywhere in the Notebooks of Ramanujan. In any case, Ramanujan rediscovered not only all that was known in Europe on hypergeometric series at that time, but he also discovered several new theorems, and, in particular, theorems on products of hypergeometric series \[11\].

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as well as several types of asymptotic expansions.

On the other hand, it is well known \[3, 4\] that Ramanujan did not publish any of his results from his Chapters on hypergeometric series that are to be found in his Notebooks. The Chapters on hypergeometric series, in particular, Chapters X and XI, in his second Notebook, have been extensively studied by Hardy \[8\] and Berndt \[3\], since the Chapters of Ramanujan’s second Notebook are considered as revised, enlarged versions of the Chapters in his first Notebook. However, Example 7, after Entry 43, in Chapter XII of the first Notebook did not find a place in the “corresponding” examples after Entry 10 in Chapter X of his second Notebook, and is therefore not discussed in \[3\].

The purpose of this article is to provide a proof for Example 7, after Entry 43, in Chapter XII, (XII, 43, Ex. 7), in the first Notebook of Ramanujan, using well-known transformation and summation theorems of hypergeometric series. In fact, we prove a more general identity (which may have been the identity that Ramanujan actually had, and from which he recorded the most elegant specializations into his Notebook – a conjecture consistent with a wide spread belief that that was Ramanujan’s style).

Our paper is organized as follows: In Section 2, Entry (XII, 43, Ex. 7) in the first Notebook of Ramanujan and some related entries are presented in Ramanujan’s notation, and subsequently translated into current notation. This leads us to the statement of a summation theorem for a \(3F_2(x, \frac{1}{2}; \frac{3}{2}; \frac{3}{2}; 1)\) hypergeometric series, for \(\Re x < 2\). In Section 3, the statement is generalized to a summation theorem for the \(3F_2(a, a, x; 1+a, 1+a+N; 1)\) series, where \(N\) is a non-negative integer, and it is proved. Finally, in Section 4, some remarks regarding special cases of the theorem are made.

2. Ramanujan’s Entry

In Ramanujan’s notation, Example 7, after Entry 43, in Chapter XII, of the first Notebook reads as follows:

\[
\frac{\pi}{\tan(\pi x)} \left( 2x \frac{l(x)}{2} \right)^2 (1 - 2x) \left( \sum \frac{1}{2x} - \frac{1}{2} \sum \frac{1}{x} + \frac{1}{1 - 2x} - \frac{\pi}{2} \tan(\pi x) \right) = \frac{1}{2^2} + \frac{x}{L^2} + \frac{x(x + 1)}{3^2} \cdot \frac{1}{L^2} + \&c. \tag{XII, 43, Ex.7}
\]

Here, \(l(x)\) is Ramanujan’s notation for the gamma function \(\Gamma(x+1)\), which, for him, was a function over real numbers \(x\) (see \([10]\)). We, of course, adopt the contemporary point of view and regard the gamma function as a function over the complex numbers.

The factor on the left-hand side of (XII, 43, Ex.7):

\[
\frac{\pi}{\tan(\pi x)} \left( 2x \frac{l(x)}{2} \right)^2 (1 - 2x)
\]
is the same factor that appears on the left-hand side of (XII, 43, Ex.4) which, in Ramanujan’s first Notebook is

\[
\frac{\pi}{\tan(\pi x)} \frac{2x}{(2^x x)^2 (1 - 2x)} = 1 + \frac{x}{\Gamma(1)} + \frac{x(x + 1)}{\Gamma(2)} - \frac{1}{5} + \&c.
\]  
(XII, 43, Ex.4)

This is given in Ramanujan’s second Notebook as:

\[
\frac{\sqrt{\pi}}{2} \frac{n}{n + \frac{1}{2}} = 1 - \frac{n}{\Gamma(1)} + \frac{n(n - 1)}{\Gamma(2)} - \frac{1}{5} + \&c.
\]  
(X, 10, Ex.4)

As pointed out by Berndt [3], the Entry (X, 10, Ex.4), or, (XII, 43, Ex.4), is the special case of (X, 10), or, (XII, 43), where we do the replacements \(n \rightarrow \frac{1}{2}\) and \(x \rightarrow n\).

The factor \(\Gamma(1 - x)\) which occurs on the left-hand side of (XII, 43, Ex.4) can be shown to be equal to:

\[
\frac{\sqrt{\pi}}{2x} \frac{\Gamma(1 - x)}{\Gamma(\frac{3}{2} - x)} = \frac{\sqrt{\pi}}{2x} \left(\frac{-x}{-x + \frac{1}{2}}\right),
\]  
(2)

after using the reflection formula: \(\Gamma(z) \Gamma(1 - z) = \pi/\sin(\pi z)\), and the duplication formula \(\Gamma(2z) = 2^{2z-1} \pi^{-1/2} \Gamma(z) \Gamma(z + \frac{1}{2})\) and some algebraic manipulations.

When written in the standard hypergeometric notation

\[
\sum_{k=0}^{\infty} \frac{(a_1)_k \cdots (a_r)_k}{(b_1)_k \cdots (b_s)_k} \frac{x^k}{k!}
\]

where the Pochhammer symbol \((\alpha)_k\) is defined by \((\alpha)_k = \alpha(\alpha + 1)(\alpha + 2) \cdots (\alpha + k - 1)\), \(k > 0\) \((\alpha)_0 = 1\), the series on the right-hand side of (XII, 43, Ex.4) is:

\[
\sum_{k=0}^{\infty} \frac{(\frac{1}{2})_k \cdots (\frac{1}{2})_k}{(\frac{1}{2})_k \cdots (\frac{1}{2})_k} \frac{x^k}{k!}
\]

which by the Gauß summation theorem (see [III, (1.7.6); Appendix (III.3)]):

\[
\sum_{k=0}^{\infty} \frac{(a)_k \cdots (a)_k}{(b)_k \cdots (b)_k} \frac{x^k}{k!} = \frac{\Gamma(c) \Gamma(c - a - b)}{\Gamma(c - a) \Gamma(c - b)}
\]  
(3)

valid for \(\Re(c - a - b) > 0\), becomes:

\[
\sum_{k=0}^{\infty} \frac{(\frac{1}{2})_k \cdots (\frac{1}{2})_k}{(\frac{1}{2})_k \cdots (\frac{1}{2})_k} \frac{x^k}{k!} = \frac{\sqrt{\pi} \Gamma(1 - x)}{2 \Gamma(3/2 - x)} = \frac{\sqrt{\pi}}{2} \left(\frac{-x}{-x + \frac{1}{2}}\right).
\]  
(4)

If we compare the right-hand side of this equation with (2), which, as we outlined, is equal to the left-hand side (I) of (XII, 43, Ex.4), it is clear that Ramanujan missed a multiplicative factor \(x\) on the left-hand side of (XII, 43, Ex.4), while his Entry (X, 10, Ex.4) is correct. As we shall see, the same applies to the Entry (XII, 43, Ex.7). To be precise, the factor (I) on the left-hand side of that entry must also be replaced by the correct value (I).

The series on the right-hand side of (XII, 43, Ex.7) is, in hypergeometric notation:

\[
\sum_{k=0}^{\infty} \frac{(\frac{1}{2})_k \cdots (\frac{1}{2})_k}{(\frac{1}{2})_k \cdots (\frac{1}{2})_k} \frac{x^k}{k!}
\]  
(5)

\[
\sum_{k=0}^{\infty} \frac{(\frac{1}{2})_k \cdots (\frac{1}{2})_k}{(\frac{1}{2})_k \cdots (\frac{1}{2})_k} \frac{x^k}{k!}
\]  
(6)

\[
\sum_{k=0}^{\infty} \frac{(\frac{1}{2})_k \cdots (\frac{1}{2})_k}{(\frac{1}{2})_k \cdots (\frac{1}{2})_k} \frac{x^k}{k!}
\]  
(7)

\[
\sum_{k=0}^{\infty} \frac{(\frac{1}{2})_k \cdots (\frac{1}{2})_k}{(\frac{1}{2})_k \cdots (\frac{1}{2})_k} \frac{x^k}{k!}
\]  
(8)

\[
\sum_{k=0}^{\infty} \frac{(\frac{1}{2})_k \cdots (\frac{1}{2})_k}{(\frac{1}{2})_k \cdots (\frac{1}{2})_k} \frac{x^k}{k!}
\]  
(9)
There are two factors on the left-hand side of (XII, 43, Ex.7). Besides the factor (1), the other factor in (XII, 43, Ex.7) is:

\[
\sum 1 \over 2 x - 1 \over 2 \sum 1 \over x + \frac{1}{1 - 2x} \frac{1}{2} \tan(\pi x).
\] (5)

Ramanujan used the notation \( \sum \frac{1}{x} \) to indicate the extension of the function

\[
1 + \frac{1}{2} + \frac{1}{3} + \cdots + \frac{1}{n},
\]

representing the harmonic numbers, from positive integers \( n \) to real \( x \). In other words, \( \sum \frac{1}{x} \) is Ramanujan’s notation for the digamma function \( \psi(x) := \Gamma'(x)/\Gamma(x) \), the logarithmic derivative of the gamma function, or, more precisely, for \( \psi(x + 1) + \gamma \), where \( \gamma \) is the Euler-Mascheroni constant, that is

\[
\psi(x + 1) = \sum \frac{1}{x} - \gamma.
\] (6)

In fact, in Ramanujan’s very first research paper [9], the digamma function occurs as:

\[
\frac{d}{dn} \log \Gamma(n + 1) = 1 + \frac{1}{2} + \frac{1}{3} + \cdots + \frac{1}{n} - \gamma = \sum \frac{1}{n} - \gamma.
\]

Thus, the expression (5) becomes:

\[
\psi(2x + 1) - \frac{1}{2} \psi(x + 1) + \frac{1}{1 - 2x} \frac{1}{2} \tan(\pi x) + \frac{\gamma}{2}.
\] (7)

The digamma function satisfies the recurrence relation [6 1.7.1(8)]:

\[
\psi(z + 1) = \psi(z) + \frac{1}{z},
\] (8)

the reflection formula [6 1.7.1(11)]:

\[
\psi(-z) = \psi(z + 1) + \pi \cot(\pi z)
\] (9)

and the duplication formula [6 1.7.1(12)]:

\[
2\psi(2z) = \psi(z) + \psi\left(z + \frac{1}{2}\right) + 2 \log 2.
\] (10)

We remark that the duplication formula appears implicitly in Ramanujan’s first Notebook. Namely, a comparison of Entry (XII, 43, Ex.6) with \( n \to x - 1 \) in Entry (X, 10, Ex.6) shows that Ramanujan obtained:

\[
\sum \frac{1}{x + \frac{1}{2}} - \sum \frac{1}{x} = 2 \sum \frac{1}{2x} - 2 \sum \frac{1}{x} + 2 \log 2,
\]

which by (8) and (8) is equivalent to (10). Furthermore, the digamma function has the special values

\[
\psi\left(\frac{1}{2}\right) = -\gamma - 2 \log 2 \quad \text{and} \quad \psi(1) = -\gamma.
\] (11)

We now use the duplication formula (10) to convert (7) into

\[
\frac{1}{2} \psi\left(x + \frac{1}{2}\right) + \log 2 + \frac{1}{1 - 2x} \frac{\pi}{2} \tan(\pi x) + \frac{\gamma}{2}.
\]
The recurrence relation (8) implies that \( \psi(x + \frac{1}{2}) = \psi(x - \frac{1}{2}) + \frac{2}{2x-1} \), while we know from (11) that \( \log 2 = -\frac{1}{2} \psi(\frac{1}{2}) - \frac{1}{2} \gamma \). If this is substituted in the last equation, and if we then apply the reflection formula (9), we obtain

\[
\frac{1}{2} \left( \psi\left(\frac{3}{2} - x\right) - \psi\left(\frac{1}{2}\right) \right)
\]

for the expression (9). Therefore, if we recall that the factor on the left-hand side of Ramanujan’s Entry (XII, 43, Ex.7) must be replaced by (4), this entry can be rewritten in contemporary notation as:

\[
\left[ x, \frac{1}{2}, \frac{1}{2}; 1 \right] = \sqrt{\pi} \Gamma\left(\frac{3}{2} - x\right) \left\{ \psi\left(\frac{3}{2} - x\right) - \psi\left(\frac{1}{2}\right) \right\}
\]

for the expression (13). Therefore, if we recall that the factor on the left-hand side of Ramanujan’s Entry (XII, 43, Ex.7) must be replaced by (4), this entry can be rewritten in contemporary notation as:

\[
\left[ x, \frac{1}{2}, \frac{1}{2}; 1 \right] = \frac{\sqrt{\pi} \Gamma(1-x)}{4 \Gamma\left(\frac{3}{2} - x\right)} \left\{ \psi\left(\frac{3}{2} - x\right) - \psi\left(\frac{1}{2}\right) \right\}
\]

for \( \Re x < 2 \).

In the next section we state and prove a theorem which is a generalization of (13), that is, of Ramanujan’s Entry (XII, 43, Ex.7).

3. The theorem

**Theorem 1.** Let \( N \) be a non-negative integer and \( a \) be a complex number which is not a negative integer. If \( \Re x < N + 2 \), then

\[
\left[ a, a, x \right] = \frac{a \Gamma(a + N + 1) \Gamma(1-x)}{N! \Gamma(a - x + 1)} (\psi(a - x + 1) - \psi(a) - \psi(N + 1) - \gamma)
\]

\[
- \frac{a \Gamma(a + N + 1) \Gamma(1-x)}{N! \Gamma(a - x + 1)} \sum_{k=1}^{N} \frac{(a)_k (-N)_k}{k! (a - x + 1)_k}.
\]

**Proof.** To evaluate the \( 3F_2(1) \) series on the left-hand side of (13), let us introduce a parameter \( \varepsilon \), and consider the series

\[
\left[ a, a - \varepsilon, x \right] = \frac{a, a - \varepsilon, x}{1 + a - \varepsilon, 1 + a - \varepsilon + N; 1}.
\]

First we apply the (non-terminating) transformation formula (see [7] Ex. 3.6, \( q \to 1 \), reversed):

\[
\left[ a, b, c \right] = \frac{\Gamma(a - b) \Gamma(d) \Gamma(e) \Gamma(d + e - a - b - c)}{\Gamma(a) \Gamma(d - b) \Gamma(e - b) \Gamma(d + e - a - c)} 3F_2\left[ b, d - a, e - a \right] 3F_2\left[ 1 - a + b, d + e - a - c; 1 \right]
\]

\[
+ \frac{\Gamma(b - a) \Gamma(d) \Gamma(e) \Gamma(d + e - a - b - c)}{\Gamma(b) \Gamma(d - a) \Gamma(e - a) \Gamma(d + e - b - c)} 3F_2\left[ a, d - b, e - b \right] 3F_2\left[ d + e - b - c, 1 + a - b; 1 \right].
\]
and obtain
\[
\frac{\Gamma(1 + a - \varepsilon) \Gamma(\varepsilon) \Gamma(1 + a - \varepsilon + N) \Gamma(2 - \varepsilon + N - x)}{\Gamma(a) \Gamma(1 + N) \Gamma(2 + a - 2\varepsilon + N - x)} 2F_1 \left[ \begin{array}{c} \varepsilon, 1 - \varepsilon + N \\ 2 + a - 2\varepsilon + N - x \\ 1 \\ \end{array} \right] 
\]
\[
+ \frac{\Gamma(1 + a - \varepsilon) \Gamma(-\varepsilon) \Gamma(1 + a - \varepsilon + N) \Gamma(2 - \varepsilon + N - x)}{\Gamma(1 - \varepsilon) \Gamma(a - \varepsilon) \Gamma(1 - \varepsilon + N) \Gamma(2 + a - \varepsilon + N - x)} \times 3F_2 \left[ \begin{array}{c} a, 1, 1 + N \\ a, 1 + N \\ 1 + \varepsilon, 2 + a - \varepsilon + N - x \\ 1 \\ \end{array} \right].
\]

Clearly, the convergence of the hypergeometric series on the right-hand side will only be guaranteed if \( \Re x < 1 \). Therefore, for the moment we suppose \( \Re x < 1 \).

To the \( 3F_2 \)-series we apply the transformation formula (see \cite{2} Ex. 7, p. 98):
\[
3F_2 \left[ \begin{array}{c} a, b, c \\ d, e \\ \end{array} \right] = \frac{\Gamma(e) \Gamma(d + e - a - b - c)}{\Gamma(e - a) \Gamma(d + e - b - c)} 3F_2 \left[ \begin{array}{c} a, d - b, d - c \\ d, d - b - c \\ 1 + e, 1 - a + x \\ 1 \\ \end{array} \right],
\]
to get the expression
\[
\frac{\Gamma(1 + a - \varepsilon) \Gamma(\varepsilon) \Gamma(1 + a - \varepsilon + N) \Gamma(2 - \varepsilon + N - x)}{\Gamma(1) \Gamma(a) \Gamma(1 + N) \Gamma(2 + a - 2\varepsilon + N - x)} 2F_1 \left[ \begin{array}{c} \varepsilon, 1 - \varepsilon + N \\ 2 + a - 2\varepsilon + N - x \\ 1 \\ \end{array} \right] 
\]
\[
+ \frac{\Gamma(1 + a - \varepsilon) \Gamma(-\varepsilon) \Gamma(1 + a - \varepsilon + N) \Gamma(1 - x)}{\Gamma(1 - \varepsilon) \Gamma(a - \varepsilon) \Gamma(1 - \varepsilon + N) \Gamma(1 + a - x)} 3F_2 \left[ \begin{array}{c} a, \varepsilon, \varepsilon - N \\ a, \varepsilon, \varepsilon - N \\ 1, 1 + a - x \\ 1 \\ \end{array} \right].
\]

The \( 2F_1 \)-series is summed by means of the Gauß summation theorem \cite{3}, while the \( 3F_2 \)-series is written as a sum over \( k \), and subsequently split into the ranges \( k = 0, k = 1, 2, \ldots, N \), and \( k = N + 1, N = 2, \ldots \). This yields the expression
\[
\frac{1}{\varepsilon} \left( \frac{\Gamma(1 + a - \varepsilon) \Gamma(\varepsilon) \Gamma(1 + a - \varepsilon + N) \Gamma(1 + N) \Gamma(1 + a - \varepsilon - x)}{\Gamma(a) \Gamma(1 + a - \varepsilon - x)} - \frac{\Gamma(1 + a - \varepsilon) \Gamma(1 + a - \varepsilon + N) \Gamma(1 - x)}{\Gamma(a - \varepsilon) \Gamma(1 - \varepsilon + N) \Gamma(1 + a - x)} \right) 
\]
\[
- \frac{\Gamma(1 + a - \varepsilon) \Gamma(1 + a - \varepsilon + N) \Gamma(1 - x)}{\Gamma(a - \varepsilon) \Gamma(1 - \varepsilon + N) \Gamma(1 + a - x)} \sum_{k=1}^{N} \frac{(a)_k (\varepsilon - N)_k}{k! (k + \varepsilon) (1 + a - x)_k} 
\]
\[
- \frac{\Gamma(1 + a - \varepsilon) \Gamma(1 + a - \varepsilon + N) \Gamma(1 - x)}{\Gamma(a - \varepsilon) \Gamma(1 - \varepsilon + N) \Gamma(1 + a - x)} \sum_{k=N+1}^{\infty} \frac{(a)_k (\varepsilon - N)_k}{k! (k + \varepsilon) (1 + a - x)_k}.
\]

Now we perform the limit \( \varepsilon \to 0 \). Thus, our original \( 3F_2 \)-series becomes
\[
3F_2 \left[ \begin{array}{c} a, a, x \\ 1 + a, 1 + a + N \\ 1 \\ \end{array} \right].
\]

On the other hand, the four-line expression which we obtained for this \( 3F_2 \)-series simplifies significantly. The last term simply vanishes because of the occurrence of the factor \((\varepsilon - N)_k\), which is equal to \((\varepsilon - N)_N \varepsilon (1 + \varepsilon)_{k-N-1}\) for \( k \geq N + 1 \), which is zero for \( N \) a non-negative integer. On the other hand, the limit of \( \varepsilon \to 0 \) of the first two lines can be easily calculated by means of l’Hôpital’s rule to obtain the final result \cite{14}.

As it stands, the assertion is only demonstrated for \( \Re x < 1 \). However, by analytic continuation, Equation \cite{14} is true for any values of \( x \) for which the \( 3F_2 \)-series on the left-hand side converges, i.e., for \( \Re x < N + 1 \). \qed
4. Some remarks

The following observations can be made:

(i) Clearly, the theorem (13) reduces to Ramanujan’s entry (XII, 43, Ex.7), in our notation (13), if \(a = \frac{1}{2}\) and \(N = 0\).

(ii) For \(x = 1\), in (13), the \(3F2(1)\) is a special case of Dixon’s theorem (see e.g. (III.8) of [11], for \(a = 1, b = c = 1/2\)), and it has the value \(\frac{\pi^2}{8}\).

(iii) For \(x = \frac{3}{2}\), the left-hand side of (13) is:

\[
\begin{align*}
3F2\left[\begin{array}{c}
\frac{3}{2}, \frac{3}{2}, \frac{1}{2} \\
2
\end{array}; 1\right] &= 2F1\left[\begin{array}{c}
\frac{1}{2}, \frac{1}{2} \\
\frac{3}{2}
\end{array}; 1\right] = \frac{\pi}{2},
\end{align*}
\]

by the Gauß summation theorem (3), which is the result for the right-hand side evaluated by l’Hôpital’s rule.

(iv) For \(x = -k\), a negative integer, in (13), we get:

\[
\begin{align*}
3F2\left[\begin{array}{c}
\frac{1}{2}, \frac{1}{2}, -k \\
2
\end{array}; 1\right] &= \sqrt{\pi} \frac{\Gamma(k+1)}{2 \Gamma(3/2+k)} \sum_{j=1}^{k+1} \frac{1}{2j-1}.
\end{align*}
\] (15)

(v) The \(3F2(a, a, x; 1 + a, 1 + a + N; 1)\) series can be related to the 3-\(j\) coefficient

\[
\begin{pmatrix}
-\frac{x}{2} & -\frac{x}{2} & 0 \\
-a + \frac{x}{2} & -a + \frac{x}{2} & 0
\end{pmatrix}
\]

(cf. [12]) provided \(x\) is a negative integer and \(-x \leq a \leq 0\). It also corresponds to the dual Hahn polynomial \(S_n(0; a, b = 1, c = 1 + N)\), for \(x = -n\).

Finally, as we already announced in Section 2, it has to be noted that as in the case of Entry (XII, 43, Ex.4), Ramanujan has missed a multiplicative factor \(x\) on the left-hand side of his Entry (XII, 43, Ex.7).

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