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Triazole Fungicides Can Induce Cross-Resistance to Medical Triazoles in *Aspergillus fumigatus*

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Abstract

**Background:** Azoles play an important role in the management of *Aspergillus* diseases. Azole resistance is an emerging global problem in *Aspergillus fumigatus*, and may develop through patient therapy. In addition, an environmental route of resistance development has been suggested through exposure to 14α-demethylase inhibitors (DMIs). The main resistance mechanism associated with this putative fungicide-driven route is a combination of alterations in the *Cyp51A* gene (TR34/L98H). We investigated if TR34/L98H could have developed through exposure to DMIs.

**Methods and Findings:** Thirty-one compounds that have been authorized for use as fungicides, herbicides, herbicide safeners and plant growth regulators in the Netherlands between 1970 and 2005, were investigated for cross-resistance to medical triazoles. Furthermore, CYP51-protein homology modeling and molecule alignment studies were performed to identify similarity in molecule structure and docking modes. Five triazole DMIs, propiconazole, bromuconazole, tebuconazole, epoxiconazole and difenoconazole, showed very similar molecule structures to the medical triazoles and adopted similar poses while docking the protein. These DMIs also showed the greatest cross-resistance and, importantly, were authorized for use between 1990 and 1996, directly preceding the recovery of the first clinical TR34/L98H isolate in 1998. Through microsatellite genotyping of TR34/L98H isolates we were able to calculate that the first isolate would have arisen in 1997, confirming the results of the abovementioned experiments. Finally, we performed induction experiments to investigate if TR34/L98H could be induced under laboratory conditions. One isolate evolved from two copies of the tandem repeat to three, indicating that fungicide pressure can indeed result in these genomic changes.

**Conclusions:** Our findings support a fungicide-driven route of TR34/L98H development in *A. fumigatus*. Similar molecule structure characteristics of five triazole DMIs and the three medical triazoles appear the underlying mechanism of cross resistance development. Our findings have major implications for the assessment of health risks associated with the use of triazole DMIs.

Introduction

*Aspergillus fumigatus* is the most frequent cause of *Aspergillus* diseases in humans, which include allergic syndromes, aspergillosis and chronic or acute invasive aspergillosis. Antifungal agents of the azole class play a prominent role in the management of *Aspergillus* diseases. Three medical triazoles, itraconazole, voriconazole, voriconazole and posaconazole, are clinically licensed for the prevention and treatment of *Aspergillus* diseases [1]. It has become apparent that *A. fumigatus* can develop resistance to the medical triazoles. Azole resistance is commonly due to mutations in the *cyp51A*-gene, encoding the target enzyme of antifungal azoles, and both preclinical evidence and clinical experience suggests that reduced in *vitro* susceptibility is associated with increased probability of failure toazole therapy [2–4]. Azole resistance may develop during azole therapy, which has been primarily reported in patients with aspergillosis or other *Aspergillus* cavities that received long-term azole therapy [5]. This route of resistance development

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is characterized by recovery of azole-resistant *A. fumigatus* isolates exclusively from patients receiving azole therapy and by a high diversity of resistance mechanisms. Sometimes multiple resistance mechanisms were found in different *A. fumigatus* isolates from azole-naive patients and in patients with invasive aspergillosis, which is characterized by hyphal growth in the absence of asexual reproduction. One explanation for this observation could be that a second route of resistance development may exist through environmental exposure of *A. fumigatus* to 14α-demethylase inhibitors (DMIs) [4,6,7]. DMIs are abundantly used for crop protection against phytopathogenic molds, for prevention of spoilage post harvest and for preservation of materials. Evidence that supports such a route of resistance development is the dominance of a single resistance mechanism in over 90% of Dutch azole-resistant *A. fumigatus* isolates, recovered from epidemiologically unrelated patients [6-9]. This mechanism consists of a 34-bp insertion in the promoter region of the cyp51A gene combined with a substitution at codon 90 of leucine to histidine (TR34/L98H) [9]. TR34/L98H isolates were cultured from patients that were azole-naive as well as those with previous azole exposure, and were also recovered from the environment [10]. Genetic analysis showed clustering of clinical and environmental TR34/L98H isolates compared to wild type controls [6,10]. TR34/L98H isolates exhibit a multi-azole-resistant phenotype and azole-resistant invasive aspergillosis was associated with a high mortality rate of 80% [8,11]. In the Netherlands the first TR34/L98H isolate was cultured in 1998 and since then the prevalence of clinical isolates harboring TR34/L98H has increased over time [6,8].

Theoretically there are significant risks associated with the environmental route of resistance development in fungi. First there is the potential of migration of the resistance trait through sexual or asexual reproduction. It has been shown for phytopathogens that resistance mechanisms may develop locally and subsequently spread across countries [12]. There are early indications that suggest that migration is occurring in TR34/L98H as isolates harboring this resistance mechanism have now been reported in other European countries [13], and more recently in azole-resistant isolates in China [14]. The other risk of the environmental route of resistance development is the emergence of multiple resistance mechanisms over time due to continued azole pressure. There are recent reports that indicate that in addition to TR34/L98H other ‘environmental’ resistance mechanisms may be emerging [15,16].

Therefore, it is of great importance to explore the relationship between the use of DMIs and the emergence of TR34/L98H in *A. fumigatus* as this may enable effective measures to be taken that prevent further increase of TR34/L98H isolates or of the emergence of new resistance mechanisms. The aim of our current research was to determine if route of TR34/L98H development could have been fungicide driven. Our hypothesis was that cross-resistance could develop if DMIs and medical triazoles share similar molecule characteristics. This was investigated through molecule alignment and docking studies using a homology model of the CYP51A protein. Furthermore, temporal relationships between DMI exposure and TR34/L98H emergence were investigated. Finally, we investigated if the TR34/L98H substitutions could be induced through DMI-exposure under laboratory conditions. We were able to identify five triazole DMIs that exhibit highly similar molecule characteristics to medical triazoles and could have caused the emergence of TR34/L98H in *A. fumigatus*.

### Methods

#### Susceptibility testing

A collection of 25 clinical wild type *A. fumigatus* isolates, 25 clinical azole-resistant TR34/L98H isolates, 17 environmental wild type isolates, and 13 environmental TR34/L98H isolates were selected for investigation of the *in vitro* activity of fungicides. In addition, two clinical isolates were included that have a tandem repeat as underlying resistance mechanism similar to TR34/L98H: one isolate harbored a 53-bp tandem repeat and the other a 46-bp tandem repeat in combination with two substitutions in the cyp51A-gene at codons 121 and 289. Finally, four isolates were included that had a resistance mechanism that arose through azole therapy, consisting of point mutations in the cyp51A-gene. All isolates were previously identified by sequencing parts of the β-tubulin gene and the calmodulin gene. Furthermore, the full coding sequence and promoter region of the cyp51A-gene was sequenced and microsatellite genotyping was performed [6]. Sequences were aligned with a reference cyp51A sequence (GenBank accession no. AF338659) to identify mutations. All isolates were stored in 10% glycerol at −80°C and subcultured on Sabouraud slants at 37°C.

Between 1970 and 2005 33 compounds were authorized by the Dutch Board for the Authorization of Plant Protection Products and Biocides for use as fungicides, herbicides, herbicide safeners and plant growth regulators, in The Netherlands. Of these 31 were available for testing including: amitrole, benomyl, biteranol, bromuconazole, carbendazim, cyazoamide, cyproconazole, difenoconazole, epoxiconazole, fenamidone, fenarimol, fenchlorazole-ethyl, fuberidazole, imazamethabenz-methyl, imazilil, metconazole, myclobutanil, nuarimol, paclobutrazole, penconazole, propchloraz, propiconazole, prothioconazole, pyrimethanil, tebuconazole, tebuconazole, thiabendazole, thiophanate-methyl, triadimefon, triadimenol I, triadimenol II, triflumizole (Sigma Aldrich). The compounds were dissolved in DMSO and autosterilized for 30 minutes at room temperature. The minimal inhibiting concentration (MIC) was determined using a microbroth dilution format according to the CLSI M38-A2 reference method [17].

#### Docking studies

The structure of wild type CYP51A protein *A. fumigatus* was derived from the crystal structure of human [PDB code: 3I3K] and *Mycobacterium tuberculosis* (Mt) [PDB code: 1EA1] lanosterol 14α-demethylase by homology modeling. Both proteins share 38% and 24% sequence identity with CYP51A of *A. fumigatus*, respectively and contain ligands in the active site bound to heme. The three-dimensional structures have been predicted by YASARA's homology modeling experiment (http://www.yasara.org). The experiment consists from building four models based on different alignment variants. The missing loops were modeled and optimizations of structures were performed. The model with the best Z-score derived from the crystal structure of human lanosterol 14α-demethylase was used for the presented studies. In a recent publication by Frazekz et al. the *Mycobacterium* and human structures were also both compared and confirmed the choice for the human lanosterol 14α-demethylase as the best template for the *A. fumigatus* model [18]. The structures of tested fungicides and medical triazoles (Table 1) were downloaded from PubChem (http://pubchem.ncbi.nlm.nih.gov/). We used FlexX for the docking experiment [19,20]. The coordination of ligands to the iron atom of heme was treated as pharmacophore during the docking procedure. The water molecule present in the active site according to the crystal structure of 1EA1 was treated dynamically. The program checked automatically whether the presence of
the water molecule had favorable contribution to the docking pose and only in such a case the water molecule was reported back, otherwise it was neglected. The flexibility of hydrogen atoms of Y107, Y121 and S297 was introduced to find an optimal docking pose for the ligand. Docking the respective compounds back into their crystal structure validated the docking procedure. The root mean square deviation (RMSD) of the positions for fluconazole (PDB code 1EA1) was 0.28 Å and for ketoconazole (PDB code 313K) 0.44 Å. All the binding modes present in the crystal structures were conserved [21,22].

Microsatellite genotyping
Microsatellite genotyping was used to determine the genetic distances between TR34/L98H A. fumigatus isolates. A collection of 144 consecutive TR34/L98H isolates were used that originated from two prospective surveillance studies that were performed in the Netherlands. The first study included A. fumigatus isolates that were collected in Dutch hospitals between 1994 and 2007. A total of 1,912 A. fumigatus isolates were obtained from 1,219 patients from the Radboud University Nijmegen Medical Center [6]. In addition, 147 A. fumigatus isolates from 101 patients, from 28 other medical centers in the Netherlands were collected [4,6]. The second culture collection included 1,792 A. fumigatus isolates that were collected from 1,192 patients in seven University Medical Centers in the Netherlands between 2007 and 2009 [8]. Both studies included an unselected collection of A. fumigatus isolates (clinically relevant as well as colonizing isolates) and used agar supplemented with itraconazole to detect for azole-resistance.

From six loci, consisting of three tri- and three tetranucleotide repeats, fragments were amplified by using fluorescently labeled primers. The sizes of the fragments were determined by addition of the GeneScan LIZ [500] marker and subsequent analysis of the fragments on the Applied Biosystems 3730 DNA analyzer. Assignment of repeat numbers in each marker was determined from the GeneScan data by using the Peak Scanner version 1.0 software (Applied Biosystems) [23]. By plotting the number of observed new genotypes versus the time on a semi-logarithmic scale, the year that the first new genotype emerged in The Netherlands was calculated with a 95% confidence interval by using the software package GraphPad Prism v5.00.

Induction experiments
Induction experiments were performed with the medical triazole itraconazole (5 mg/l), the triazole DMIs bromuconazole (8 mg/l), difenoconazole (8 mg/l), epoxiconazole (16 and 32 mg/l), propiconazole (32 mg/l) and tebuconazole (8 mg/l) and all five triazoles itraconazole (5 mg/l), the triazole DMIs bromuconazole (r = 0.96), difenoconazole (r = 0.94), epoxiconazole (r = 0.94), metconazole (r = 0.94), imazalil (r = 0.94), and propiconazole, difenoconazole, epoxiconazole (r = 0.96), bromuconazole (r = 0.95), metconazole (r = 0.94), imazalil (r = 0.94), and tebuconazole (r = 0.95) (Figure 2B). These compounds were DMIs from the triazole class, with the exception of imazalil. Isolates with a 46 bp or 53 bp tandem repeat insertion showed similar correlation effect sizes as TR34/L98H isolates (data not shown), while isolates that had become resistant through patient azole therapy generally showed lower r-values (Table 1) [25].

Molecule alignments and docking
We used a homology model of the A. fumigatus CYP-protein to predict the preferred orientation of DMI-compounds to form a stable complex with the 14α-lanosterol demethylase enzyme. A crystal structure of the A. fumigatus CYP51A protein is not available, therefore to see structural similarities in CYP51s for azole inhibition we superimposed the fluconazole-bound Mycobacterium tuberculosis (Mt) structure (PDB code 1EA1), the ketoconazole-bound human structure (PDB code 313K) and ketoconazole-bound A. fumigatus homology structure. Both fluconazole and ketoconazole bind to the heme iron via the nitrogen of an azole ring. The dihalogenated phenyl group, a common structural moiety of ketoconazole and fluconazole, occupied the same spaces at the active site of the heme molecule but interacts with the binding pockets lined by different residues when the human CYP51, the Mt CYP51 and the A. fumigatus CYP51 homology model are aligned. In human and A. fumigatus CYP51, residues Y145 and Y121, respectively formed van der Waals contacts with the dichlorophenyl group of ketoconazole, whereas their side-chain hydroxyl group made hydrogen bonds to the D-ring propionate (C2H3COO−) of the heme. Residue Y131 (PDB code 313K) 0.44 Å. All the binding modes present in the crystal structures were conserved [21,22].

Statistical analysis
In order to express differences in MIC50 between wild type and TR34/L98H for the different compounds we first log transformed the MIC50 data and then computed point biserial correlations as correlation effect sizes (r) [24]. Values of r = 0 indicate similarity between MIC50’s and values of r = 1 indicate the largest relative dissimilarity. These correlation effect sizes cannot be computed in cases where all samples have identical MIC50 values, such as with compounds that show no in vitro activity against both wild type and TR34/L98H isolates. In those cases the correlation effect size was considered r = 0.

Results
Activity of fungicides against A. fumigatus
In the Netherlands 33 compounds have been authorized by the Dutch Board for the Authorization of Plant Protection Products and Biocides for use as fungicides, herbicides, herbicide safeners and plant growth regulators, between 1970 and 2005, of these 19 were DMIs (Table 1; Figure 1; Figure 2A). We were able to obtain 31 of these compounds as dry powder and investigated the in vitro activity against 38 TR34/L98H A. fumigatus isolates from clinical and environmental origin and 42 wild type controls. In addition, two azole-resistant isolates from environmental origin that harbor a transcriptional enhancer as a resistance mechanism and four isolates with point mutations in the cyp51A-gene that arose through patient therapy were also tested (Table 2). Differences in MIC50 between the wild type and TR34/L98H against all different compounds were computed as correlation effect sizes (r). The correlation coefficient is used as a measure of the size of an effect with a value of −1 indicating a negative correlation between the two variables, a value of 0 indicating no correlation and a value of 1 indicating a positive correlation. For the medical triazoles the effect sizes were 0.99 for itraconazole, 0.82 for voriconazole and 0.85 for posaconazole representing a positive correlation of dissimilarity between the MIC50’s of the wild type and TR34/L98H isolates. Dissimilarity between the MIC50’s was found for 20 compounds, with the greatest differences (r>0.90) found for propiconazole, difenoconazole, epoxiconazole (r = 0.96), bromuconazole (r = 0.95), metconazole (r = 0.94), imazalil (r = 0.94), and tebuconazole (r = 0.95) (Figure 2B). These compounds were DMIs from the triazole class, with the exception of imazalil. Isolates with a 46 bp or 53 bp tandem repeat insertion showed similar correlation effect sizes as TR34/L98H isolates (data not shown), while isolates that had become resistant through patient azole therapy generally showed lower r-values (Table 1) [25].
**Table 1.** Antifungal susceptibilities of medical triazoles and compounds used as fungicide, herbicide, herbicide safener and plant growth regulator.

| Compound              | Target site of action | Chemical group | Year   | Median (Range) MIC50 (µg/ml) | Clinical wild type | Environment wild type | Clinical TR/L98H | Environment TR/L98H | Effect size |
|-----------------------|-----------------------|----------------|--------|------------------------------|--------------------|-----------------------|------------------|---------------------|-------------|
| Itraconazole          | DeMethylation Inhibitors | SBI: Class I   | 1991   | 0.125 (0.063–0.5)            | 0.25 (0.125–1)     | 32 (4–32)            | 32 (16–32)       | 0.99                |
| Voriconazole          | DeMethylation Inhibitors | SBI: Class I   | 2001   | 0.5 (0.5–2)                  | 0.5 (0.5–4)        | 4 (2–8)              | 4 (1–32)         | 0.82                |
| Posaconazole          | DeMethylation Inhibitors | SBI: Class I   | 2006   | 0.031 (0.016–1)             | 0.063 (0.031–0.25) | 0.5 (0.25–1)        | 0.5 (0.25–0.5)   | 0.85                |
| Carbendazim           | Methyl Benzimidazole   | Carbamates     | 1973   | 32 (32)                      | 32 (32)            | 32 (32)              | 32 (32)          | 0*                  |
| Fuberidazole          | Methyl Benzimidazole   | Carbamates     | 1973   | 32 (32)                      | 32 (32)            | 32 (32)              | 32 (32)          | 0*                  |
| Thiabendazole         | Methyl Benzimidazole   | Carbamates     | 1973   | 32 (32)                      | 32 (16–32)         | 32 (16–32)           | 32 (32)         | 0.10                |
| Benomyl               | Methyl Benzimidazole   | Carbamates     | 1975   | 2 (2–8)                      | 4 (2–16)           | 8 (2–32)             | 4 (2–32)         | 0.31                |
| Thiophanate-methyl    | Methyl Benzimidazole   | Carbamates     | 1975   | 32 (32)                      | 32 (32)            | 32 (32)              | 32 (16–32)       | 0.01                |
| Cyazofamid            | Quinone inside Inhibitors | cyanoimidazoles | 2002   | 32 (32)                      | 32 (32)            | 32 (32)              | 32 (32)          | 0*                  |
| Imazalil              | DeMethylation Inhibitors | SBI: Class I   | 1978   | 0.125 (0.125–0.5)           | 0.25 (0.125–0.5)   | 2 (1–8)              | 2 (2–8)         | 0.94                |
| Prochloraz            | DeMethylation Inhibitors | SBI: Class I   | 1987   | 0.5 (0.25–0.5)              | 0.5 (0.25–0.5)     | 1 (1–32)             | 1 (1–32)         | 0.51                |
| Triflumizole          | DeMethylation Inhibitors | SBI: Class I   | 1992   | 8 (4–16)                     | 8 (4–32)           | 32 (8–32)            | 32 (32)         | 0.87                |
| Imazamethabenz-methyl | Acetyl-CoA Carboxylase inhibitors | imidazolone | 1993   | 32 (32)                      | 32 (32)            | 32 (16–32)           | 32 (32)          | 0.00                |
| Fenarimol             | DeMethylation Inhibitors | SBI: Class I   | 1983   | 8 (8–32)                     | 8 (8–32)           | 32 (16–32)           | 32 (32)         | 0.07                |
| Nuarimol              | DeMethylation Inhibitors | SBI: Class I   | 1993   | 16 (8–32)                    | 16 (8–32)          | 32 (16–32)           | 32 (32)         | 0.84                |
| Pyrimethanil          | Methionine synthesis inhibitors | anilino-pyrimidines | 1995   | 32 (32)                      | 32 (32)            | 32 (32)              | 32 (32)          | 0*                  |
| Fenamidine            | Quinone outside Inhibitors | imidazolinones | 2005   | 32 (32)                      | 32 (32)            | 32 (16–32)           | 32 (32)         | 0.00                |
| Fenchlorazole         | Acetyl CoA Carboxylase inhibitors | triazoles | 1992   | 32 (32)                      | 32 (32)            | 32 (32)              | 32 (32)         | 0*                  |
| Amitrole              | DeMethylation Inhibitors | SBI: Class I   | 1970   | 32 (32)                      | 32 (32)            | 32 (32)              | 32 (32)         | 0*                  |
| Triadimefon           | DeMethylation Inhibitors | SBI: Class I   | 1980   | 32 (32)                      | 32 (32)            | 32 (32)              | 32 (32)         | 0*                  |
| Bitertanol            | DeMethylation Inhibitors | SBI: Class I   | 1983   | 4 (2–32)                     | 16 (2–32)          | 32 (32)              | 32 (32)         | 0.71                |
| Penconazole           | DeMethylation Inhibitors | SBI: Class I   | 1986   | 32 (16–32)                   | 32 (16–32)         | 32 (32)              | 32 (32)         | 0.36                |
| Triadimenol I         | DeMethylation Inhibitors | SBI: Class I   | 1988   | 32 (32)                      | 32 (32)            | 32 (32)              | 32 (32)         | 0*                  |
| Triadimenol II        | DeMethylation Inhibitors | SBI: Class I   | 1988   | 32 (32)                      | 32 (32)            | 32 (32)              | 32 (32)         | 0*                  |
| Propiconazole         | DeMethylation Inhibitors | SBI: Class I   | 1990   | 2 (2–4)                      | 2 (2–8)            | 32 (16–32)           | 32 (16–32)       | 0.96                |
| Cyproconazole         | DeMethylation Inhibitors | SBI: Class I   | 1992   | 32 (8–32)                    | 32 (2–32)          | 32 (16–32)           | 32 (16–32)       | 0.32                |
| Tebuconazole          | DeMethylation Inhibitors | SBI: Class I   | 1992   | 1 (1–4)                      | 2 (1–8)            | 16 (8–16)            | 16 (8–16)       | 0.93                |
| Myclobutanil          | DeMethylation Inhibitors | SBI: Class I   | 1993   | 16 (8–32)                    | 16 (4–32)          | 32 (32)              | 32 (32)         | 0.78                |
| Difenconazole         | DeMethylation Inhibitors | SBI: Class I   | 1994   | 1 (1–2)                      | 1 (1–4)            | 16 (8–32)            | 16 (8–16)       | 0.96                |
313K) that is located in the B’ helix of the homology structure (Y107) is invariant in the CYP51 family and involved in hydrogen-bond formation with heme A-ring propionate in all three structures. In the Mt structure, Y145-corresponding F89 is away from the active site due to the conformational flexibility of the B–C–helix region. Instead, R95 and R96 of Mt CYP51 are near the heme and fluconazole difluorophenyl group (Figure 3). Thus, ketoconazole could bind to Mt enzyme, utilizing the same space as fluconazole for the dihalogenated phenyl ring, while the remainder of ketoconazole would occupy the access channel observed in the

| Compound          | Target site of action | Chemical group | Year | Median (Range) MIC50 (µg/ml) | Effect size |
|------------------|-----------------------|----------------|------|-----------------------------|-------------|
|                  |                       |                |      | Clinical wild type | Environment wild type | Clinical TR/ L98H | Environment TR/ L98H |
| Epoxiconazole    | DeMethylation Inhibitors SBI: Class I | triazoles | 1994 | 2 (2–8) | 2 (2–16) | 32 (32) | 32 (32) | 0.96 |
| Bromuconazole    | DeMethylation Inhibitors SBI: Class I | triazoles | 1996 | 1 (1–4) | 1 (1–4) | 16 (8–32) | 16 (16–32) | 0.95 |
| Paclobutrazole   | DeMethylation Inhibitors SBI: Class I | triazoles | 1997 | 16 (8–32) | 16 (8–32) | 32 (16–32) | 32 (32) | 0.82 |
| Metconazole      | DeMethylation Inhibitors SBI: Class I | triazoles | 2005 | 0.25 (0.125–0.5) | 0.25 (0.125–0.5) | 2 (1–4) | 1 (1–2) | 0.94 |
| Prothioconazole  | DeMethylation Inhibitors SBI: Class I | triazoles | 2005 | 8 (2–16) | 8 (2–16) | 16 (8–32) | 16 (16–32) | 0.71 |

*Cannot be computed because at least one of the variables is constant.
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*Figure 1. Chemical structures of antifungal compounds.* Three medical antifungal compounds and 31 compounds that were authorized by the Dutch Board for the Authorization of Plant Protection Products and Biocides for use as fungicides, herbicides, herbicide safeners and plant growth regulators. The compounds are presented according to structural group.
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Figure 2. Overview of introduction of the 31 compounds by year and correlation effect sizes. A) Overview of compounds by year of authorization by the Dutch Board for the Authorization of Plant Protection Products and Biocides (data from the Dutch Foundation for Phytofarmacy, Nefyto). The five triazole DMIs that exhibited the most identical docking by molecule alignment are underlined in blue. B) Correlation effect sizes (r) of compounds and medical triazoles comparing differences in the median MIC of wild type and TR34/L98H isolates. The fungicides are represented by grey dots and those belonging to the DMIs by black. The medical triazoles are indicated in red, and the five triazole DMIs that exhibited the most identical docking by molecule alignment are indicated in blue. *Correlation effect sizes could not be computed if in at least one of the two groups all variables were constant. This was the case with compounds that showed no in vitro activity against both wild type and TR34/L98H A. fumigatus isolates, and the correlation effect size was considered 0.

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human enzyme although the channel would have to be open by relocation of some of the side chains like F78 and M433 (grey structure Figure 3). In the Mt structure, the hydroxyl group of fluconazole made a water-mediated hydrogen bond to the heme A-ring propionate. This water molecule is not observed in the human structure because the cycle ether group of ketoconazole filled in the space of water. In addition, in Mt and *A. fumigatus* CYP51s an invariant H259/H296 residue from helix I is pointed into the active site, whereas the confirmation of the corresponding H314 in human CYP51 prevents its interaction with the inhibitors. The itraconazole, posaconazole and voriconazole molecules were docked into the *A. fumigatus* homology structure. They showed the same binding pattern as described for the crystal structures and were able to align to the presented poses of fluconazole (representative of voriconazole) and ketoconazole (representative of itraconazole and posaconazole). The compounds from the groups of imidazoles, pyrimidines and triazoles adopted similar poses upon docking in the active site of the *A. fumigatus* as those observed for the medical triazoles. The largest dissimilarities were in the cases of compounds that lack a phenyl group next to

| Resistance mechanism | Median MIC (mg/l) |  |
|----------------------|------------------|--|
|                      | Medical triazoles | DMIs |
|                      |                   | Bromoconazole | Difenoconazole | Epoxiconazole | Propiconazole | Tebuconazole |
|                      | ITC              | VCZ           | POS           |            |             |               |
|                      |                  | 0.125         | 0.5           | 0.063       | 1            | 1             | 2             | 2             | 1             |
| Promoter region      | Coding region    | ITC           | VCZ           | POS         | Bromoconazole | Difenoconazole | Epoxiconazole | Propiconazole | Tebuconazole |
|                      | L98H             | 38            | >16           | 4           | 0.5          | 16            | >16           | >16           | 16            |
|                      | Y121F, T289A     | 1             | 2             | >16         | 0.5          | >16           | >16           | >16           | 16            |
|                      | –                | 1             | >16           | 16          | 0.25         | >16           | >16           | >16           | 16            |
|                      | G54W             | 1             | >16           | 16          | 0.25         | 16            | >16           | >16           | 16            |
|                      | G54E             | 1             | >16           | 0.25        | 1            | 0.25          | 0.25          | 0.25          | 0.5           |
|                      | M220I            | 1             | >16           | 1           | 0.5          | 4             | 4             | 16            | 16            | 4             |
|                      | M220V            | 1             | >16           | 1           | 0.5          | 8             | 4             | 4             |               |

**Table 2.** Activity of medical triazoles and five DMIs against clinical and environmental *A. fumigatus* isolates with different *cyp51A*-mediated resistance mechanisms.

*TR, tandem repeat.

**Figure 3.** 3D representation of three aligned structures of CYP51 with the ligands in their active site, constructed by using the *Yasara* software. In green human CYP51 bound with ketoconazole from PDB: 3I3K; in gray Mt bound with fluconazole from PDB: 1EA1; in cyan *A. fumigatus* bound with ketoconazole from the homology model. The ligands are represented in balls and sticks, only the residues important for binding a particular ligand are depicted in the picture and represented in sticks. Numbering of the residues corresponds with their colors to the models.

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the 5- or 6-member aromatic ring that coordinates to the iron center. We performed a flexible alignment of the compounds on the structure of voriconazole in order to find the most similar compounds. The pharmacophores used as a filter for the alignment that consist of 5/6-member aromatic ring containing at least one nitrogen atom, a hydrogen-bond donor or acceptor and the aromatic functional group (Figure 4). The structures classified to groups of benzimidazoles, cyaninoimidazoles together with prochloraz, imazamethabenz from imidazolinone, and pyrimethanil from pyrimidines and fenamidone and amitrole from triazoles were filtered out from the set of the ligands as not similar to the voriconazole molecule. The remaining 20 of 31 structures were considered to be similar to the medical triazoles. We focused our analysis of the docking poses on the compounds that satisfied the given pharmacophores for alignment.

Docking poses of fungicides similar to medical triazoles

The triazole DMIs that have three nitrogen atoms in the aromatic ring coordinated to the iron atom of heme made a hydrogen bond contact to residue S297, present in the active site of the A. fumigatus CYP51 homology model. Residue H296, also present in the active site, interacted with most of the fungicides with the exception of imazalil, triflumizole, fenarimol, nuarimol, pencyclosanazole, metconazole that instead interacted with a bridging water molecule. Propiconazole, myclobutanil, difenoconazole lack any interaction with residue H296 or a bridging water molecule. Most of the DMIs share the core structure with medical triazoles and due to this similarity they adopt much the same poses in the active site of A. fumigatus as the medical triazoles. Propiconazole and bremiconazole exhibit the most alike poses with the core structure being the most similar to itraconazole and posaconazole (Figure 5A). Tebuconazole and epoxiconazole also adopted the most alike poses being most similar to voriconazole, except they interacted with residue H296 in the active site (Figure 5B). The analysis of the top three poses proposed by the docking program showed that these compounds were able to adopt also poses where they interacted with a bridging water molecule instead of H296. This makes the binding modes of propiconazole, bremiconazole, tebuconazole and epoxiconazole most identical to those represented by the medical triazoles. Difenoconazole (Table 1) was different in structure from the rest of the cross-resistant DMIs. Instead of one aromatic ring (Figure 5A and 4B) it has a biphenyl moiety, upon docking this part was placed into the access channel where the long tail of medical azoles is normally located.

The above mentioned five triazole DMIs were also among the compounds with the highest r-value and showed complete loss of in vitro activity against A. fumigatus isolates harboring TR 34/L98H (Figure 2B, Table 1). Moreover, these five DMIs were authorized for use in the Netherlands between 1990 and 1996 (Figure 2A), which preceded the first known isolation of a clinical TR34/L98H isolate in 1998 [6]. Imazalil and metconazole also showed a high correlation effect size (Figure 2A), but, unlike the five above-mentioned triazole DMIs, retained in vitro activity against TR34/L98H isolates (median MIC of 2 mg/l) (Table 1). Docking studies and molecule alignments showed that imazalil and metconazole

![Figure 4. Two-dimensional structure of voriconazole with indicated pharmacophores that were used to align and filter the 31 compounds (Table 1). The figure was constructed by using Marvin Sketcher form ChemAxon (www.chemaxon.com). doi:10.1371/journal.pone.0031801.g004](https://www.plosone.org/doi/10.1371/journal.pone.0031801.g004)

![Figure 5. Analysis of most modes binding modes compared to the medical triazoles. A) Binding modes of propiconazole. This fungicide exhibits the most similar binding modes compared to the medical triazoles located in the active site of human and A. fumigatus CYP51. B) Binding modes of tebuconazole. This fungicide exhibits the most similar binding modes compared to the medical triazoles located in the active site of Mt CYP51. The main difference between A and B is the interactions with residue H296 in the active site, which is lacking in A. doi:10.1371/journal.pone.0031801.g005](https://www.plosone.org/doi/10.1371/journal.pone.0031801.g005)
were less similar to the medical triazoles and therefore less likely to have caused the emergence of TR34/L98H in *A. fumigatus*.

**Microsatellite genotyping**

*A. fumigatus* isolates from two Dutch surveillance studies were used to investigate the evolution of TR34/L98H genotypes over time [6,8]. The collections were obtained prospectively over a 16 year period and included 3,847 isolates from 2,512 patients. All isolates were screened for azole resistance by subculture on agar supplemented with itraconazole. The collections included 144 consecutive TR34/L98H isolates which were genetically characterized by short tandem repeat genotyping [23]. By plotting the number of observed new genotypes versus time on a semi-logarithmic scale, we calculated a rate of change of 1.37±0.05 genotype-year⁻¹. Using the rate of change to calculate the year of first emergence of TR34/L98H, indicated that TR34/L98H had developed in the year 1997 (95% CI: 1993.7–1999.7) (Figure 6).

**Induction of TR34/L98H**

We investigated if the TR34/L98H substitutions could be induced during exposure to DMIs under laboratory conditions. A wild type *A. fumigatus* isolate and recombinants containing either the 34-bp insertion or the L98H substitution were exposed to itraconazole, bromuconazole, difenoconazole, epoxiconazole, propiconazole, tebuconazole or a mixture of these DMIs. The induction experiments generally resulted in a resistant phenotype within three passages. In three out of twelve clones of *A. fumigatus* cultured under itraconazole pressure, *cyp51A*-substitutions G138C or P216L were detected. These substitutions have been reported in patients who developed azole-resistant *Aspergillus* disease during itraconazole therapy [5]. TR34/L98H was not found in any of the clones that were exposed to itraconazole, single DMI compounds or to a mixture of DMIs. However, following exposure of the *A. fumigatus* conidia containing the 34-bp insertion in the *cyp51A*-gene promoter to 8 mg/l of tebuconazole resulted in one clone in which after three passages a triplicate of the 34 bp sequence was detected in the promoter region.

**Discussion**

Although the hypothesis of a fungicide-driven route of azole resistance development in *A. fumigatus* is controversial [26], we provide evidence that such a route may exist. Five triazole DMIs were identified that exhibited very similar molecule characteristics to the medical triazoles, resulting in the most identical binding modes and the greatest level of cross-resistance. These five DMIs were authorized for use between 1990 and 1996, which was in keeping with our calculated date of origin of TR34/L98H based on microsatellite typing, and precedes the first clinical TR34/L98H isolate in 1998. Continued triazole DMI pressure and lack of an apparent fitness cost in TR34/L98H isolates are probably important factors that have facilitated the ability of TR34/L98H to sustain in the field in competition with wild type isolates.

Although the relation between the use of antimicrobial agents outside human medicine and the development of resistance to clinically used compounds has been shown for bacteria, we show for the first time evidence that the same principle may occur in molds. Culture-based surveillance studies increasingly report TR34/L98H in clinical and environmental isolates in Europe and, most recently, in China [14]. Moreover, there is very recent evidence that two new "environmental" azole resistance mechanisms have emerged in *A. fumigatus* in the Netherlands, of which one has rapidly migrated across the country similar to TR34/L98H [27]. However, surveillance studies based on positive cultures may underestimate the prevalence of resistance. Detection of azole resistance mechanisms directly in clinical specimens from patients with chronic lung diseases showed that in culture-
negative, PCR-positive samples cyp51A-mutations were detectable in as many as 53.1% of respiratory samples [20]. These observations indicate that we are just beginning to understand the scale of the problem, but it suggests that azole resistance in A. fumigatus has become a public health problem and threatens an increasing proportion of (immuno)compromised patients.

Our study was limited by the fact that we were unable to induce the full TR34/L98H resistance mechanism during DMI-pressure under laboratory conditions, using an isolate that is deficient in DNA break repair. Previously, microsatellite genotyping showed shorter genetic distances for TR34/L98H isolates compared with wild type isolates [10], which suggests that TR34/L98H isolates may have originated from a common ancestor. If this would be the case, the development of TR34/L98H would be extremely infrequent in the environment and would explain why we were unable to induce TR34/L98H under laboratory conditions. However, this may point to other reasons for the emergence of TR34/L98H. TR34/L98H isolates may have other properties, such as increased fitness or virulence, or high sporation efficiency, that have made isolates harboring TR34/L98H more successful in the field than wild type A. fumigatus. However, at present there is no evidence that supports increased virulence in TR34/L98H isolates. Animal studies indicate that the virulence of TR34/L98H is similar of our studies was the lack of sequence-based evolutionary analysis. Further research should be aimed at understanding the conditions under which resistance mechanisms develop in the environment and which Aspergillus morphotype is most prone to develop resistance mechanisms. Reversal of resistance development may be achievable by restriction of certain triazole DMIs, but laboratory population studies and genetic mapping would be required to predict the impact of changes in DMI-pressure. In addition, there is limited insight in the use of fungicides for agricultural and non-agricultural applications.

The continued use of DMIs with activity against opportunistic human fungal pathogens is a risk for the management of fungal diseases caused by these pathogens. The number of classes of drugs available for treating non-invasive and invasive fungal diseases is limited and the triazoles are the only class of antifungal agents that can be administered orally. A fungicide-driven route of resistance development in TR34/L98H could indicate that such mechanisms may also occur in other Aspergillus species or other opportunistic fungi. It is therefore of great importance to perform above-mentioned research as it may allow the implementation of evidence-based strategies aimed at elimination of the fungicide-driven route ofazole resistance development in opportunistic fungi.

Author Contributions

Conceived and designed the experiments: ES MTTC GHJK WJGM PEV. Performed the experiments: ES MTTC HAL AK GS CHK. Analyzed the data: ES AK WJGM PEV. Contributed reagents/materials/analysis tools: HAL CHK. Wrote the paper: ES MTTC AK GHJK PEV.

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