Corona-heated Accretion-disk Reprocessing: A Physical Model to Decipher the Melody of AGN UV/Optical Twinkle

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Abstract

Active galactic nuclei (AGNs) have long been observed to “twinkle” (i.e., their brightness varies with time) on timescales from days to years in the UV/optical continua. Such AGN UV/optical variability is essential for probing the physics of supermassive black holes (SMBHs), the accretion disk, and the broad-line region. Here, we show that the temperature fluctuations of an AGN accretion disk, which is magnetically coupled with the corona, can account for observed high-quality AGN optical light curves. We calculate the temperature fluctuations by considering the gas physics of the accreted matter near the SMBH. We find that the resulting simulated AGN UV/optical light curves share the same statistical properties as the observed ones as long as the dimensionless viscosity parameter $\alpha$, which is widely believed to be controlled by magnetohydrodynamic (MHD) turbulence in the accretion disk, is about 0.01–0.2. Moreover, our model can simultaneously explain the larger-than-expected accretion disk sizes and the dependence of UV/optical variability upon wavelength for NGC 5548. Our model also has the potential to explain some other observational facts of AGN UV/optical variability, including the timescale-dependent bluer-when-brighter color variability and the dependence of UV/optical variability on AGN luminosity and black-hole mass. Our results also demonstrate a promising way to infer the black-hole mass, the accretion rate, and the radiative efficiency, thereby facilitating understanding of the gas physics and MHD turbulence near the SMBH and its cosmic mass growth history by fitting the AGN UV/optical light curves in the era of time-domain astronomy.

Unified Astronomy Thesaurus concepts: Supermassive black holes (1663); Quasars (1319); Active galactic nuclei (16); Accretion (14)

Supporting material: figure set

1. Introduction

The ultraviolet (UV) to optical continuum emission of active galactic nuclei (AGNs) is widely believed to be emitted by a geometrically thin but optically thick accretion disk (i.e., the classical standard thin disk, hereafter SSD; see, e.g., Shakura & Sunyaev 1973; Czerny & Elvis 1987). The gravitational energy released in the disk is balanced by the blackbody radiative cooling, and the effective temperature decreases with increasing distance from the central supermassive black hole (SMBH). The UV-to-optical emission is a superposition of multitemperature blackbody radiation. The expected UV-to-optical spectral energy distribution (SED), however, might be altered by additional physical processes, e.g., strong disk winds (e.g., Slone & Netzer 2012; Laor & Davis 2014; Li et al. 2019; Sun et al. 2019) or a disk atmosphere (e.g., Hall et al. 2018). Also, for very faint or luminous AGNs, cooling due to advection or photon trapping plays an important role (e.g., Abramowicz et al. 1988; Yuan & Narayan 2014). In the innermost regions or above the accretion disk, there also exists a hot and optically thin corona that produces hard X-ray emission (e.g., Haardt & Maraschi 1991; Liu et al. 2002).

UV and optical emission often possesses small-amplitude (~10% on timescales of a few years) stochastic variability; violent AGN flares are also observed in a small fraction of AGNs (e.g., MacLeod et al. 2016; Yang et al. 2018). The statistical properties of AGN UV and optical stochastic variations have been explored in great detail in many works. The major observational results of these works can be summarized as follows.

1. A damped random walk (DRW) process (whose power spectral density, PSD, $P(f) \propto 1/(f\tau)^{3/2}$, where $f\tau = 1/\tau$ is the damping frequency) seems to be able to describe AGN UV/optical variability on timescales of months to years (Kelly et al. 2009; MacLeod et al. 2010; Zu et al. 2013). On very short timescales (e.g., days), the observed variability amplitude is lower than the prediction of the DRW model (Mushotzky et al. 2011; Kasliwal et al. 2015; Smith et al. 2018a). On very long timescales (e.g., several decades), the DRW model seems to underpredict the actual variability amplitude (MacLeod et al. 2012; Guo et al. 2017).

2. AGN UV/optical fractional variability amplitude increases with decreasing rest-frame wavelength (i.e., UV emission is more variable than optical emission; see, e.g., MacLeod et al. 2010; Morganson et al. 2014; Sun et al. 2015; Simm et al. 2016; Sánchez-Sáez et al. 2018).
3. AGN UV/optical fractional variability amplitude anticorrelates with AGN luminosity (e.g., MacLeod et al. 2010; Zuo et al. 2012; Morganson et al. 2014; Li et al. 2018; Sun et al. 2018c), the iron strength (i.e., the ratio of optical iron emission to Hβ; see, e.g., Ai et al. 2010; Sun et al. 2018c), Eddington ratio (e.g., MacLeod et al. 2010; Zuo et al. 2012; Simm et al. 2016), or additional parameters (e.g., Kang et al. 2018).

4. The damping timescale \( \tau \) correlates with AGN luminosity (Sun et al. 2018c), black-hole mass (\( M_{\text{BH}} \)), or wavelength (MacLeod et al. 2010).

5. AGN color tends to follow a bluer-when-brighter pattern (e.g., Ruan et al. 2014). The bluer-when-brighter behavior seems to be more evident on timescales of weeks to months rather than on timescales of years (Sun et al. 2014).

6. Variations in different bands are well coordinated. Changes of short-wavelength emission lead those of long-wavelength emission (e.g., Sergeev et al. 2005; Fausnaugh et al. 2016; Jiang et al. 2017; Cackett et al. 2018; Kokubo 2018; McHardy et al. 2018; Mudd et al. 2018; Yu et al. 2020; Edelson et al. 2019; Homayouni et al. 2019). Current observations have a broad diversity of measured time lags beyond the SSD theory: some AGNs have time lags that are about three times larger than the flux-weighted light-travel-time delays of the SSD theory. The time lags between X-ray and UV emission can even be about 10 times larger than the expectations of the SSD theory, and their correlations often seem to be weak (Edelson et al. 2019).

7. AGN microlensing observations also suggest that the accretion-disk sizes are larger than the flux-weighted radii of the SSD theory (e.g., Morgan et al. 2010; Cornachione et al. 2019).

Variations in the UV-to-optical bands might be caused by the reprocessing of variable X-ray emission (Collin-Souffrin 1991; Kroll et al. 1991). In the X-ray reprocessing model, as the variable X-ray emission propagates to the disk surface, it is absorbed by the cold disk surface. It is then reprocessed immediately in the UV/optical bands (see Equation (1) and Section 2.1). That is, UV/optical emission is expected to vary in response to X-ray light curves after a light-travel-time delay; the time delay increases with increasing wavelength since the effective temperature anticorrelates with radius. The UV/optical interband correlations and time lags are indeed observed (see the observational fact \# 6). They can be used to probe the temperature profile and to constrain the fundamental physical processes of the accretion disk (e.g., Lawrence 2018). However, the expected tight correlations between X-ray and UV/optical emission are not observed, at least for some AGNs (for a summary, see Edelson et al. 2019).

AGN broad emission lines (BELs) arise from Doppler-broadened line emission from gas clouds in the broad-line region (BLR); these BLR gas clouds are photoionized by the extreme UV (EUV) emission. BELs are also expected to respond to EUV emission after a light-travel-time delay; the time delay can probe the spatial structure of BLR (i.e., reverberation mapping, hereafter RM; Blandford & McKee 1982). The EUV variations are not monitored for most RM AGNs; instead, the time lags between BELs and the nearby UV/optical continua are measured. The underlying assumptions are as follows: first, the EUV and the nearby UV/optical emission are tightly correlated; second, the time lags between EUV and the nearby UV/optical emission are negligible with respect to the time delays of BELs. The first assumption is probably robust since the tight correlations between BELs and the nearby UV/optical continua are indeed observed (for exceptions, see, e.g., Goad et al. 2016). In addition, a good correlation between the EUV and the 1350 Å UV emission indeed exists for NGC 5548 (see Figures 3 and 4 of Marshall et al. 1997). The second assumption may require some attention (e.g., Vestergaard 2019) since the interband time lags are larger than the expectations of the SSD theory for at least some AGNs (see observational fact \# 6). Nevertheless, the distance of the BLR to the central SMBH was measured for some AGNs with diverse properties (e.g., Bentz et al. 2009; Du et al. 2016; Grier et al. 2017, 2019), which enables us to estimate \( M_{\text{BH}} \) of non-local SMBHs (for recent reviews, see, e.g., Shen 2013; Peterson 2014). Therefore, exploring AGN UV/optical variability is of fundamental importance to our understanding of black-hole accretion and our improvement of \( M_{\text{BH}} \) measurements.

In the era of time-domain astronomy, large time-domain surveys like LSST (Ivezić et al. 2019) will offer a tremendous amount of AGN variability data (e.g., Brandt et al. 2018). These data can refine the observational conclusions summarized above, help constrain accretion disk models, and obtain AGN physical parameters. These goals can be achieved if we correctly understand the physical origin of AGN UV/optical variability (rather than adopting more complicated empirical stochastic models; Vio et al. 2005).

A few models have been proposed to explain AGN UV/optical variability (for a brief discussion, see, e.g., Czerny et al. 2004; Czerny 2006). For instance, global (Li & Cao 2008; Liu et al. 2016) or local (Lyubarskii 1997) accretion-rate fluctuations might induce the observed UV/optical variations. However, the timescale for the accretion rate to change is the viscous timescale, which is \( \gtrsim 100 \text{yr} \) for the UV/optical emission regions; therefore, this timescale is much longer than our current observations. Instead, local temperature fluctuations (Kelly et al. 2009), which should happen on a much shorter timescale, the thermal timescale (see also Equation (12)), are suggested to be responsible for UV/optical variability. The temperature fluctuations are often assumed to follow the DRW process (e.g., Dexter & Agol 2011). Such a temperature fluctuation model (with further modifications; see Cai et al. 2016) has the potential to explain the bluer-when-brighter tendency and its timescale dependence. However, this model cannot explain the interband correlations (e.g., Kokubo 2015). Cai et al. (2018) suggested that there are fast-propagating temperature fluctuations (possibly caused by strong outflows or variability in corona; the detailed physical mechanism remains unclear) in the accretion disk. By again assuming that the resulting temperature fluctuations follow the DRW process, they constructed a model to explain the larger-than-expected interband time lags in several nearby AGNs. The assumption of DRW fluctuations in Dexter & Agol (2011) and Cai et al. (2018) is mostly motivated by observations rather than directly by the gas physics of matter near the central SMBH.

The timescale problem can also be avoided by considering X-ray reprocessing because of the following reasons. First, the X-ray emission regions are expected to be compact, and the relevant timescales should be very short. Second, the (variable) hard X-ray photons should be absorbed in the surface layer of...
the thin cold accretion disk, and the corresponding response timescale is extremely small (Czerny 2006). However, the expected interband time lags are too small to be consistent with the observations (see observational fact # 6); although, this discrepancy could be resolved by adding an additional ingredient, e.g., nonblackbody emission (Hall et al. 2018) or strong winds (Sun et al. 2019). Moreover, the simplest X-ray reprocessing model also predicts too much short-term variability (note that this inconsistency can be solved by replacing the X-ray corona with a UV torus; Gardner & Done 2017). There are additional fundamental observational challenges. According to the simplest X-ray reprocessing model, the light curves at all different wavelengths should vary in a very similar way. This prediction is inconsistent with the observational facts # 2, 4, and 5 (Zhu et al. 2018). Meanwhile, as mentioned above, the expected tight correlations between X-ray and UV/optical emission are not observed at least for some AGNs (for a summary, see Edelson et al. 2019). Last but not least, there is a long-standing energy-budget problem (e.g., Clavel et al. 1992; Dexter et al. 2019) in the X-ray reprocessing model. According to this model, the X-ray luminosity should be comparable to the internal dissipation rate to produce the observed fractional variability of 10% ~ 30% in the UV/optical bands. At least for luminous AGNs, the required X-ray luminosity is likely to be too large to be consistent with X-ray observations (e.g., Just et al. 2007). The energy-budget problem is even more serious for highly variable AGNs (i.e., AGNs with UV/optical fractional variability amplitudes of about several to ten; see, e.g., Dexter et al. 2019). Therefore, the X-ray reprocessing model is unlikely to fully drive AGN UV/optical variability.

In this work, we propose a new model, i.e., Corona-Heated Accretion-disk Reprocessing (hereafter CHAR), to understand AGN UV and optical variability. In this model, the outer (>10 Schwarzschild radius) disk and the innermost corona are efficiently coupled via the magnetic field. As the magnetic turbulence/flaring in the corona drives X-ray variability, the same process also changes the accretion-disk heating rate and induces its temperature fluctuations (see Section 2). The energy-budget problem mentioned above might be avoided in our magnetic coupling picture if the corona is radiatively inefficient where most energy is carried by protons rather than electrons, and protons and electrons are decoupled (see, e.g., Di Matteo 1998; Różańska & Czerny 2000). If so, only a small fraction of the power of the magnetic flares/turbulence in the corona drives X-ray emission, the remaining of which can affect the disk interior and induce significant UV/optical variability. In our CHAR model, we can determine the statistical properties of temperature fluctuations and AGN UV/optical light curves (the correlation between X-ray and UV/optical variability is briefly discussed in Sections 3.2.3 and 5.2) by considering the thermal-energy conservation law of an AGN accretion disk.

This paper is formatted as follows. In Section 2, we present our model. In Section 3, we demonstrate the results of our CHAR model. In Section 4, we apply our model to explain high-quality Kepler AGN light curves and the multiwavelength light curves and interband time lags of NGC 5548. In Section 5, we discuss the assumptions of our CHAR model, compare our CHAR model with some previous works, and forecast AGN UV/optical variability in the era of time-domain astronomy. Our conclusions are summarized in Section 6. The Schwarzschild radius \( R_S \equiv 2GM_{\text{BH}}/c^2 \), where \( G \) and \( c \) are the gravitational constant and speed of light, respectively. We adopt a flat \( \Lambda \)CDM cosmology with \( h_0 = 0.7 \) and \( \Omega_M = 0.3 \).

2. The Model

2.1. Model Setup

The outer parts (i.e., \( R \gg R_S \)) of an accretion disk might receive external illumination via X-ray emission from a hot corona or the far-UV emission from the inner (e.g., within \( \sim 10R_S \)) disk (e.g., Gardner & Done 2017). A significant fraction of the illuminating emission will be absorbed by the thin surface of the outer accretion disk. In the simplest X-ray reprocessing model (e.g., Starkey et al. 2017), it is often assumed that the absorbed energy is fully reradiated away locally, i.e., the radiation cooling rate per surface area\(^9\) satisfies the following relation:

\[
Q_{\text{rad}}(t) = 2\sigma T_{\text{eff}}^4 \left( Q_{\text{in}}^X(t) + Q_{\text{X}}(t - R_X/c) \right) \tag{1}
\]

where \( \sigma, T_{\text{eff}}, R_X/c, \) and \( Q_X \) denote the Stefan–Boltzmann constant, the effective temperature, the light-travel-time lag between the hot corona/the innermost disk and the outer disk, and the external heating rate due to the X-ray corona, respectively; \( R_X = \sqrt{H^2 + R^2} \) is the distance to the corona, where \( H \) and \( R \) are the distance of the corona and disk with respect to the SMBH, respectively. In the lamppost approximation (Cackett et al. 2007), \( Q_X = (1 - A)L_XH/(2\pi R^2) \) where \( A \) is the albedo of the disk surface. \( L_X \) can vary on timescales of days or less since the X-ray external emission is produced in very compact regions (e.g., within \( \sim 10R_S \)), and various magnetohydrodynamic (MHD) instabilities may occur (Noble & Krolik 2009). If we neglect possible fluctuations in \( Q_{\text{in}}^X \), \( Q_{\text{rad}} \) should vary in response to \( L_X \) after a light-travel-time lag. However, this simple model fails to explain many observational facts (see Section 1).

Magnetic fields play a fundamental role in accretion-disk theories since the magnetorotational instability (MRI) is widely believed to be responsible for producing viscosity in the accretion disk and converting gravitational energy into MHD turbulence. Then, the MHD turbulence dissipates and transfers the magnetic energy to heat the gas in the accretion disk, which produces the observed multiwavelength emission. In the classical \( \alpha \)-prescription of viscosity (e.g., Shakura & Sunyaev 1973), it is assumed that MHD turbulence is controlled by the total pressure in the accretion disk. However, recent numerical MHD simulations of accretion disks reveal the opposite behavior, i.e., MHD turbulence controls heat fluctuations in the accretion disk (e.g., Hirose et al. 2009; Jiang et al. 2013) on timescales from the local orbital timescale to hundreds of times of the thermal timescale.\(^{10}\) Therefore, magnetic fluctuations can drive temperature variations in the accretion disk, which can lead to UV/optical flux flickering in AGNs. However, the magnetic fluctuations at neighboring radii are expected to be nearly independent on timescales much less than the viscous timescales (which are about several hundred years at the optical emission regions). If so, two consequences are expected: first, the UV/optical interband correlations

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\(^9\) Throughout this work, the heating/cooling rate are always per surface area, unless otherwise specified.

\(^{10}\) A delayed \( \alpha \)-prescription is proposed by two independent works (Lin et al. 2011; Ciesielski et al. 2012), i.e., on short timescales, MHD turbulence is not determined by the total pressure.
should be extremely weak or absent; second, the variability amplitude of UV/optical emission should be small since the observed UV/optical emission is an integration of blackbody radiation of many radii and the integration largely eliminates the flux variability due to the independence of the fluctuations. These predictions are inconsistent with observations (see Section 1).

To explain the interband correlations/time lags and other observational facts of AGN UV/optical variability, we propose that the X-ray corona and the underlying accretion disk are tightly coupled via the magnetic field (see Figure 1; we defer to Section 5.1 for a detailed discussion of this assumption). As the magnetic field of the corona fluctuates, the magnetic fluctuations (with the power of $Q_{mc}$) can also propagate into the accretion disk and induce coherent (i.e., the fluctuations at different radii are correlated) disk magnetic turbulence; the disk magnetic turbulence dissipates and drives a variable heating rate. As a result, the interior structure of the accretion disk should change in response to the variable disk heating rate; the fluctuations of disk structure at different radii are also correlated. Hence, when there are chaotic coronal magnetic fluctuations/ flares, we should expect coherent stochastic variations of the observed UV/optical fluxes.

A mathematical description of the above physical picture is complicated and depends on our complete understanding of MHD turbulence. In the absence of such a complete theory of MHD turbulence, we have to make a few assumptions to simplify the above physical picture. Without the corona, the time average (over the viscous timescale) of the vertically integrated heating rate $Q_{\text{vis}}$ (which is also the dissipation rate of the disk turbulent magnetic power) is

$$\dot{Q}_{\text{vis}} = \frac{3GM_{BH} M}{4\pi R^3} f_r$$

where $f_r = 1 - \sqrt{3R_g/R}$, and $M$ is the absolute accretion rate. In the presence of a magnetically coupled compact core, the magnetic fluctuations (with the power per surface area of $Q_{mc}$) from the corona propagate into the accretion disk, add to the disk magnetic power and induce fluctuations of the total magnetic power. The heating rate, which is determined by the dissipation rate of the total magnetic power, is a summation of $Q_{\text{vis}}$ and $Q_{mc}$. To specify the total heating rate, we introduce a new parameter $k = Q_{mc}^+/Q_{\text{vis}}^+$, which should be of the order of unity. For simplicity, we may assume that $k$ is constant in $R$; it is straightforward to revise our model to consider the case of $k$ as a function of $R$.

As the total heating rate (which varies in tandem with $Q_{mc}^+$) changes, the AGN accretion disk should not be in vertical hydrostatic equilibrium or thermal equilibrium. The timescale for re-establishing a vertical hydrostatic equilibrium is usually much smaller than the timescale for returning to thermal equilibrium. If we consider the long-term (i.e., much longer than the thermal timescale) variations of the total heating rate, the AGN accretion disk can always adjust its temperature and internal energy to re-establish a new (hydrostatic and thermal) equilibrium state, i.e., Equation (1) is valid but $Q_{mc}$ should be replaced by $Q_{mc}^+$. Instead, if we consider the short-term (i.e., shorter than the thermal timescale) variability of the total heating rate, the AGN accretion disk does not have enough time to reach new thermal equilibrium and Equation (1) is inaccurate.

We assume that an accretion disk can always adjust its vertical structure and scale height to respond to the variable $Q_{mc}^+$ and $Q_{\text{vis}}^+$. The temperature fluctuations may be understood by solving the vertically integrated thermal-energy conservation law11 (see Equation (4.58) of Kato et al. 2008, for an accretion disk without $Q_{mc}$).

$$\frac{\partial E}{\partial t} - (E + \Pi) \frac{\partial \ln \Sigma}{\partial t} + \Pi \frac{\partial \ln H}{\partial t} = Q_{mc}^+ + Q_{\text{vis}}^+ - Q_{\text{rad}}^+,$$

where $Q_{\text{vis}}^+ = Q_{\text{vis}}^+ - R_s/c_{avf}$, $Q_{\text{rad}}^+$, $E$, and $\Pi$ (which are functions of both time $t$ and radius $R$), describe the temperature fluctuations (and therefore determine UV/optical light curves), depend on the accretion disk model. Here, we consider the thin-disk model of Shakura & Sunyaev (1973) with minimum modifications according to MHD simulations. We choose the thin-disk model for the following reasons. First, the thin-disk model can well fit the

![Figure 1](image-url)
SEDs of some AGNs with X-shooter observations (Capellupo et al. 2015). Second, the disk-instability model for dwarf-novae and low-mass X-ray binary transients, which is built upon the thin-disk model, is widely adopted to adequately explain the outbursts of these systems and constrain the viscosity (e.g., Dubus et al. 2001; Lasota 2001). The expressions for $Q_{\text{rad}}$ $E$, $\Pi$, and $\Sigma$ are summarized as follows (for a complete discussion, we refer to Section 4.4.1 of Kato et al. 2008). The pressure scale height can be determined by

$$\frac{\Omega_c^2 H^2}{\Sigma} = \frac{\Pi}{\Sigma},$$

(4)

The vertically integrated pressure, $\Pi$, is

$$\Pi = \Pi_{\text{gas}} + \Pi_{\text{rad}} = \frac{2 \kappa_B}{m_p} \Sigma T_e + \frac{2 \alpha T_s^4}{3} H,$$

(5)

where $\kappa_B$, $m_p$, $a$, and $T_e$ are the Boltzmann constant, the proton mass, the radiation constant, and the inner temperature of the accretion disk, respectively. It is often convenient to define $\beta \equiv \Pi_{\text{gas}}/\Pi$. The vertically integrated thermal energy, $E$, is simply

$$E = E_{\text{gas}} + E_{\text{rad}} = \frac{\beta \Pi}{\gamma - 1} + 3(1 - \beta) \Pi,$$

(6)

where $\gamma = 5/3$ is the ratio of specific heat. The vertically integrated radiative cooling is

$$Q_{\text{rad}} = \frac{8 \alpha c T_s^4}{3 \tau_\text{opt}} = 2 \sigma T_s^4,$$

(7)

where $\tau_\text{opt}$ is optical depth. Optical depth $\tau_\text{opt}$ is

$$\tau_\text{opt} = \frac{1}{2} (\kappa_{\text{es}} + \kappa_{\text{op}} T_e^{-3.5}) \Sigma,$$

(8)

where $\kappa_{\text{es}} = 0.4 \, \text{cm}^2 \, \text{s}^{-1}$, and $\kappa_{\text{op}} = 6.4 \times 10^{23} \, \text{cm}^5 \, \text{g}^{-2} \, \text{K}^{-2/3}$ are the opacity due to electron scattering and free–free absorption, respectively. The total opacity is usually dominated by electron scattering at radii not larger than ~1000$R_s$.

If we focus on timescales smaller than the viscous timescale, the surface density $\Sigma$ can be regarded as constant in time. Combining Equations (3)–(6), we can obtain

$$C(\beta) \frac{\partial \ln T_c}{\partial t} = \frac{Q_{\text{vis}}}{\Pi} + \frac{Q_{\text{mc}}}{\Pi} - \frac{Q_{\text{rad}}}{\Pi},$$

(9)

where $C(\beta)$ is a function of $\beta$,

$$C(\beta) = \left\{ 12(1 - \beta) + \frac{\beta}{\gamma - 1} + \frac{(4 - 3 \beta)^2}{1 + \beta} \right\}.$$

(10)

In this work, we do not consider any independent non-coherent magnetic fluctuations in the accretion disk. Such independent fluctuations are indeed found in accretion-disk MHD shearing-box simulations (e.g., Hirose et al. 2009; Jiang et al. 2013). The observed AGN luminosity is an integration of blackbody radiation of numerous (of the order of $10^5$) shearing-boxes; the integration eliminates the variability of AGN luminosity due to the independent magnetic fluctuations.

2.2. General Remarks

Some general features can be inferred from Equation (9). It is convenient to define the so-called “thermal timescale,” i.e.,

$$\tau_{\text{TH}} = \frac{E_{\text{gas}}}{Q_{\text{vis}}^+} \times \frac{\beta}{\gamma - 1} \frac{\Pi}{Q_{\text{vis}}},$$

(11)

where $E_{\text{gas}}$, $Q_{\text{vis}}^+$, $\beta$, and $\Pi$ denote the internal energy of the gas, the viscous heating rate, the ratio of gas pressure to total pressure, and the total pressure of a steady solution of Equation (9). According to the $\alpha$-prescription of viscosity (Shakura & Sunyaev 1973) and Equation (11),

$$\tau_{\text{TH}} \sim \frac{1}{\alpha \Omega_c^2} \propto \alpha^{-1} \lambda^2 (k + 1) M^{0.5} \times \alpha^{-1} \lambda^2 L_{\text{bol}}^{0.5},$$

(12)

where $\alpha$, $\lambda$, and $L_{\text{bol}}$ are the dimensionless viscous parameter, wavelength, and bolometric luminosity, respectively. This scaling relation can be derived as follows. In the steady state, the effective temperature profile is (combining Equations (2), (3), and (7) and neglecting time variability), $T_{\text{eff}} = (3(k + 1)G M_{\text{BH}} M_r / (8\pi \sigma k R_s^3))^{1/4}$. For a given wavelength ($\lambda$), its emission region can be estimated by setting $k_B T_{\text{eff}} = h c / \lambda$, where $h$ and $c$ are the Planck constant and the speed of light, respectively. Therefore, it is straightforward to show that $\tau_{\text{TH}} \propto \alpha^{-1} \lambda^2 [(k + 1) M^{0.5} \propto \alpha^{-1} \lambda^2 L_{\text{bol}}^{0.5}$ since the bolometric luminosity $L_{\text{bol}} \propto (k + 1) M$ (i.e., by integrating the summation of $Q_{\text{vis}}$ and $Q_{\text{mc}}$ over the entire disk).

In a steady state, we have $Q_{\text{mc}}^{\text{rad}} = Q_{\text{vis}}^+/k$ and $Q_{\text{mc}}^{\text{rad}} = Q_{\text{vis}}^+/Q_{\text{mc}}$. We can then rewrite Equation (9) as

$$C(\beta) \frac{\partial \ln T_c}{\partial x} = \frac{\beta}{\gamma - 1} f_{\text{vis}} + \frac{\beta}{\gamma - 1} f_{\text{mc}} - \frac{\beta}{\gamma - 1} f_{\text{rad}} - \frac{3}{\gamma - 1} f_{\text{rad}},$$

(13)

where $x = t/\tau_{\text{TH}}$, $f_{\text{vis}} = Q_{\text{vis}}^+/Q_{\text{vis}}$, $f_{\text{mc}} = Q_{\text{mc}}^+/Q_{\text{mc}}$, and $f_{\text{rad}} = Q_{\text{mc}}^{\text{rad}}/Q_{\text{mc}}^{\text{rad}}$ respectively. Since $Q_{\text{vis}}$ varies in lockstep with $Q_{\text{mc}}$, we expect $f_{\text{vis}} \approx f_{\text{mc}}$. Equation (13) can be revised as

$$f_{\text{vis}} C(\beta) \frac{\partial \ln T_c}{\partial x} = \frac{\beta}{\gamma - 1} f_{\text{mc}} - \frac{3}{\gamma - 1} (f_{\text{rad}} - 1).$$

(14)

Note that both $f_{\text{rad}}$ and $f_{\text{vis}}$ are functions of $T_c$. For instance, let us consider $T_c = \tilde{T}_c (1 + \delta T)$ with $\delta T \ll 1$. According to Equation (7), $f_{\text{rad}} = (1 + \delta T)$. For gas-pressure dominated regions (i.e., $\beta \simeq 1$), $f_{\text{vis}} = (1 + \delta T)$ (see Equation (5)); for radiation-pressure dominated regions ($\beta \simeq 0$), $f_{\text{vis}} = (1 + 8\delta T)$ (see Equations (4) and (5)).

The second term in the right-hand side of Equation (14) can be regarded as a damping term. We use $\tilde{T}_c$ to represent the inner temperature of a steady solution of Equation (9). Suppose that $T_c > \tilde{T}_c$, then $f_{\text{rad}} > 1$, and the thermal-energy conservation law acts in such a way to reduce $T_c$ until $T_c = \tilde{T}_c$, i.e., the thermal equilibrium is re-established; the reverse is also true. The characteristic timescale of this adjustment is $\sim \tau_{\text{TH}}$.

The first term in the right-hand side of Equation (14) acts as random fluctuations if $Q_{\text{vis}}^{\text{rad}}$ suffers from stochastic fluctuations. Therefore, Equation (14) indicates that the inner temperature $\ln T_c$ is expected to vary stochastically with a damping process, which is similar to observed quasar light curves. The damping
timescale is roughly $\tau_{\text{TH}}$. In fact, since Equation (14) is (nearly) $\tau_{\text{TH}}$-scale-invariant, we also expect statistical properties of $\ln T_c$ fluctuations in AGNs with the same $k$ are similar if the relevant timescales are in units of $\tau_{\text{TH}}$ (Figure 15; see Section 3.3.3).

3. Results

Throughout Section 3, the wavelengths and timescales of quasar features are always in the rest frame, unless otherwise specified.

3.1. Model Parameters

To understand the temperature fluctuations, we perform numerical calculations. First, we must specify the variability behavior of $\dot{Q}_\text{mc}^+$ (which is presumably produced within $\sim 10 R_S$). Theoretical considerations show that uncorrelated fluctuations at different radii that propagate inward result in accretion-power fluctuations in the innermost regions, and the PSD of the fluctuations is $\propto 1/f$ (e.g., Lyubarskii 1997; King et al. 2004; Lin et al. 2016). Three-dimensional general relativistic MHD simulations (Noble & Krolik 2009) also suggest that the PSD of the corona-energy dissipation is $\propto 1/f$. Therefore, we also adopt the $1/f$ law as the PSD of $\dot{Q}_\text{mc}^+$. Other PSDs of $\dot{Q}_\text{mc}^+$ are possible if the fluctuations at different parts of the corona are not uncorrelated. Our model can easily be generalized to address other PSDs. The probability density distribution of $\dot{Q}_\text{mc}^+$ is assumed to be log-normal (e.g., Uttley et al. 2005). For illustrative purposes, we fix the fractional variability amplitude of $\dot{Q}_\text{mc}^+$ to be 10% on timescales of $10^8$ days in Section 3; our calculations can be easily generalized to consider a larger/smaller variability amplitude.

We must set the initial conditions for Equation (14) (or Equation (9)). At time $t = 0$, the initial $T_c$ and $T_{\text{eff}}$ are given by the stationary solution of the standard thin accretion disk with additional $\dot{Q}_\text{mc}^+$ (i.e., by considering the stationary solution of Equation (9) and the mass, momentum, and angular-momentum conservation laws; see Section 3.2.1 of Kato et al. 2008). At this stage, three parameters are introduced, i.e., the dimensionless accretion rate $\dot{m}$, $M_{\text{BH}}$, and the viscous parameter $\alpha$.

The inner and outer boundaries of the accretion disk are fixed to be $10 R_S$ and $1000 R_S$, respectively. The viscous parameter $\alpha$ is fixed to be 0.1. Our main conclusions would not be significantly changed if we adopted other reasonable values of $\alpha$; the only significant change would be the characteristic timescale $\tau_{\text{TH}}$ since this timescale is $\propto 1/\alpha$. $k$ is assumed to be one-third; our results remain unchanged if we consider other values of $k$.

3.2. A Starting Case: $M_{\text{BH}} = 10^8 M_\odot$, $\dot{m} = 0.1$

We start by considering an AGN with $M_{\text{BH}} = 10^8 M_\odot$ and $\dot{m} = 0.1$ (hereafter case A). The bolometric luminosity is $L_{\text{bol}} = (1 + k) m L_{\text{Edd}} = 2.52 \times 10^{46}$ erg s$^{-1}$.

We solve Equation (9) with a time-step of 0.5 days to obtain the temporal evolution of $T_c$. The total time length of the light curve of $T_c$ is $10^5$ days. The effective temperature, $T_{\text{eff}}$, can be derived by considering Equation (7). We then obtain the multiwavelength light curves by integrating the multi-temperature blackbody emission. The light curves of $\dot{Q}_\text{mc}^+$, $T_c$, $T_{\text{eff}}$, and the 3000 and 5100 Å emission are presented in Figure 2. For illustrative purposes, we show $T_c$ and $T_{\text{eff}}$ at the 3000 Å emission characteristic radius ($R_{3000}$; i.e., where $k_B T_{\text{eff}}$ ($R_{3000}$) = $h\lambda/c$ with $\lambda = 3000$ Å). At first glance, the fast (i.e., short-term) variability in the light curves of the 3000 and 5100 Å emission is significantly suppressed.

3.2.1. Statistical Properties of Light Curves

To check the statistical properties of these light curves, we first calculate the PSDs of $2.5 \log \dot{Q}_\text{mc}^+$, $2.5 \log T_c$, and $2.5 \log T_{\text{eff}}$. We adopt the Welch method (Welch 1967) with the light curves broken into 10 equal-length segments to calculate the PSDs. The results are shown in Figure 3. At the low-frequency limit, the PSDs of $2.5 \log T_c$ and $2.5 \log T_{\text{eff}}$ follow that of $\dot{Q}_\text{mc}^+$ (i.e., these PSDs follow the $1/f$ shape). However, at higher frequencies, the PSDs of $2.5 \log T_c$ and $2.5 \log T_{\text{eff}}$ are steeper (i.e., having less variability power at higher frequencies) than that of $\dot{Q}_\text{mc}^+$.

$T_c$ and $T_{\text{eff}}$ are nonobservables. Therefore, we now consider the statistical properties of light curves of the 3000 and 5100 Å emission. We again adopt the Welch method to obtain the PSDs. We also measure the structure function (SF) of each light curve. The SF essentially measures the variability amplitude as a function of timescale $\Delta t$. It is argued that the SF is more robust than the PSD for low/irregular-cadence light curves (for a discussion of SF, please refer to Emmanoulopoulos et al. 2010), and it is widely used to quantify AGN UV/optical variability. The SF can be measured by using different statistical estimators (e.g., Sun et al. 2015); each estimator has its own (dis-)advantages (e.g., in terms of treatments of observational uncertainties and outliers). When dealing with simulated data without any measurement errors, we can use any estimator and choose to use the normalized median absolute deviation (NMAD), i.e.,

$$\text{SF}(\Delta t) = 1.48 \text{Median}(|\Delta m_{ij} - \text{Median}(\Delta m_{ij})|),$$

where $\Delta t = |t_i - t_j|$ is the time separation between two observations (with magnitudes $m_i$ and $m_j$, respectively) and $\Delta m_{ij} = m_i - m_j$.

To calculate the SF, we divide the full light curve of each wavelength into five segments and calculate the SF of each segment. For each wavelength, we then average the five SFs to obtain our final SF.

The PSDs and the SFs of the 3000 and 5100 Å light curves are shown in Figure 4. The SFs show some artificial “peaks” and “dips” on timescales around $10^4$ days (i.e., the longest timescale that can be probed by our simulated light curves); these “artificial” features have been identified and discussed by Emmanoulopoulos et al. (2010). Like the PSDs of $T_c$ and $T_{\text{eff}}$.
PSDs of the 3000 and 5100 Å light curves are steeper than that of $Q_{\text{mc}}$ at high frequencies.

Motivated by ground-based observations, it has been proposed that the luminosity variations follow a DRW process, whose SF is (Kelly et al. 2009)

$$\text{SF}(\Delta t) = \hat{s} \sqrt{\tau (1 - \exp(-\Delta t/\tau))},$$  

where $\hat{s}$ and $\tau$ are the normalization factor and the damping timescale, respectively.

The time duration of observed AGN UV/optical light curves is usually less than 5000 days (i.e., $\sim 14$ yr). To compare our results with the DRW model, we fit Equation (16) to SFs within timescales smaller than 5000 days. The best-fitting DRW SFs are included in the lower panels of Figure 4. On timescales of $10^2$ up to several thousands of days, the best-fitting DRW model can explain the SFs of our light curves. On shorter timescales, the SFs are steeper than the best-fitting DRW models, i.e., the DRW models over-predict the short-timescale variability. These results are in qualitative agreement with Kepler AGN light curves (e.g., Mushotzky et al. 2011). In fact, the SFs of our model on timescales $\lesssim 10^2$ days can be well described by the $\mu D$ relation, which is the best-fitting model of the best-studied Kepler AGN Zw 229-15 (Kasliwal et al. 2015). The disagreement timescale between the DRW process and our model is $\sim 100$ days in Figure 4. As mentioned in Section 2.2, our model is $\tau_{\text{TH}}$-scale-invariant (see also Section 3.3.3 and Figure 15). If we consider an AGN with $L_{\text{bol}} = 6.4 \times 10^{43}$ erg s$^{-1}$ (i.e., the bolometric luminosity of Zw 229-15; see Barth et al. 2011), its thermal timescale $\tau_{\text{TH}}$ is expected to be a factor of 6.3 smaller than that of the AGN considered here (see Equation (12)). Therefore, the disagreement timescale between the DRW process and our model should be around $100/6.3 = 15.8$ days, which is in agreement with that of Zw 229-15 (see Figure 12 in Kelly et al. 2014). Detailed comparisons between our model and the Kepler light curves of Zw 229-15 and several other Kepler AGNs are presented in Section 4.1.

In addition, on timescales $\gtrsim 5000$ days, the best-fitting DRW models under-predict the variability of our light curves. Observationally speaking, there is some indirect evidence that, on long timescales, AGNs are more variable than the prediction of the DRW model (MacLeod et al. 2012; Guo et al. 2017).

### 3.2.2. Wavelength Dependence

We explore the variability amplitude as a function of wavelength and find that the variability amplitude declines with increasing wavelength. An example is presented in Figure 5, which shows the PSDs and SFs of the 3000 and 5100 Å
emission. Indeed, the shorter/bluer (3000 Å) wavelength light curve is more variable than the longer/redder (5100 Å) wavelength one by a factor of $<2$, which is roughly consistent with observations (MacLeod et al. 2012; Sun et al. 2015); the differences are more evident on short timescales. The anticorrelation between the variability amplitude and wavelength can be interpreted as follows. The 3000 Å emission has a smaller thermal timescale than that of the 5100 Å emission (see Equation (12)). According to Equation (14), for fixed observing timescale $\Delta t$, the variability amplitude of $\ln T_c(R_{3000})$ is larger than that of $\ln T_c(R_{5100})$ (see Figure 6) since $\Delta x = \Delta t/\tau_{TH}$ of the former is larger than the latter.

3.2.3. Interband Cross Correlation

Interband cross correlations and time lags are well expected in our model. We use the cross power spectral density (hereafter CPSD; see Section 2.1.2 of Uttley et al. 2014) to explore interband correlations and time lags. We again adopt the Welch method to estimate $\text{CPSD}(f)_{1,2}$. $\text{CPSD}(f)_{1,2}$ is usually a complex function. The complex modulus reflects the tightness of the correlation between two light curves; the complex argument indicates the time lag between two light curves.

The tightness of the correlation can be obtained by defining coherence,

$$
\Phi(f)_{12} = \frac{\text{abs}(\text{CPSD}(f)_{1,2})^2}{P(f)_{1}P(f)_{2}},
$$

where $\text{abs}(\text{CPSD}(f)_{1,2})$ is the complex modulus of $\text{CPSD}(f)_{1,2}$ and $P(f)_{1}$ and $P(f)_{2}$ are PSDs of two light curves. We find that $\Phi(f)_{12} \cong 0.99$ (i.e., the correlation is tight).

We then calculate the frequency-dependent time lags from CPSD (see Equation (10) of Uttley et al. 2014),

$$
\tau(f)_{12} = \frac{\text{arg}(\text{CPSD}(f)_{1,2})}{2\pi f} \tag{18}
$$

where $\text{arg}(\text{CPSD}(f)_{1,2})$ is the argument of the complex variable $\text{CPSD}(f)_{1,2}$.

Unlike the simplest X-ray reprocessing model, the interband time lags of our CHAR model depend on frequency. The frequency-dependent time lags between the 2700 Å (close to the central wavelength of the Swift UVW1 band) and 5400 Å (close to the central wavelength of the V band) light curves are presented in Figure 7. To compare with the simplest X-ray reprocessing model, we also show the flux-weighted time lag (Fausnaugh et al. 2016) of a static SSD with the same $M_{\text{BH}}$ and...
fractional temperature fluctuations), the fractional temperature fluctuations in our model anticorrelate with radius on short timescales (see Figure 6) since $\tau_{\text{TH}} \propto R^2$. That is, the inner-disk regions have larger fractional temperature fluctuations that can induce larger fractional surface brightness variations. Therefore, the weighting factors of inner regions in our model are larger than the flux-weighted case, and our model time lag can be smaller than the static SSD time lag. On frequencies of $\lesssim 0.01 \text{ day}^{-1}$ (which corresponds to the timescales of $\gtrsim 100$ days, i.e., the duration of some high-cadence RM campaigns), the time lag approaches the static SSD time lag. Our model time lag can be significantly larger than the SSD time lag if the frequency is lower than $0.01 \text{ day}^{-1}$. At extremely low frequencies ($f \sim 10^{-9} \text{ day}^{-1}$), the time lag can be $\sim 200$ days, which is roughly the difference between the thermal timescale of the 2700 Å emission and that of the 5400 Å emission. Therefore, our model has the potential to explain the observed larger-than-expected time lags in some AGNs.

We also calculate the time lag (with respect to the 2700 Å emission) as a function of wavelength (see Figure 8). Again, it is shown that lower-frequency components appear to have larger time lags than those of higher-frequency ones; the slope and the normalization of the time lag-wavelength relation also depend on frequency. The observed time lag-wavelength relation is an average of various components with different frequencies. This average process is complicated and depends on the cadence and duration of the RM campaigns. A detailed comparison between our model and the interband time lags and multiwavelength SFs of NGC 5548 is presented in Section 4.2.

We also point out that UV/optical emission and $Q_{\text{opt}}$ are highly correlated, and their time lags can also be much larger than the static SSD time lags, especially on long timescales. It should be noted that these time lags are not identical to the time lags between X-ray and UV emission. This is because there should also be time lags between X-ray and $Q_{\text{opt}}$. In principle, if the corona can be modeled as an advection-dominated accretion flow (e.g., Liu et al. 2002; Yuan & Narayan 2014), the variability of the X-ray emission can also be obtained by solving the thermal-

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17 As demonstrated by Tie & Kochanek (2018), the flux-weighted time lag is 1.5 times smaller than the expected light-travel time of the lamppost X-ray reprocessing model.
energy conservation law of the advection-dominated accretion flow. The resulting equation is similar to Equation (3) but with an additional advective cooling term on the right-hand side of Equation (3). Meanwhile, unlike the SSD, the surface density \( \Sigma \) cannot be treated as a constant in time for the advection-dominated accretion flow. Therefore, the relation between X-ray luminosity and \( Q_{\text{mc}}^+ \) can be complicated and their variations might not be well coordinated. For instance, an increase in \( Q_{\text{mc}}^+ \) may trigger an increase in \( \Sigma \) or the advective cooling without the necessity of invoking an increase in the radiative cooling \( Q_{\text{rad}}^+ \) (i.e., X-ray luminosity). This effect might be responsible for the observed weak correlations between X-ray and UV/optical emission (Edelson et al. 2019). In the future, we plan to model the relation between X-ray luminosity and \( Q_{\text{mc}}^+ \) in detail and determine the relation between UV/optical and X-ray emission.

### 3.2.4. Microlensing Accretion-disk Size

As mentioned in Section 1, AGN accretion-disk sizes can be measured via microlensing observations and the resulting accretion-disk sizes are larger than the flux-weighted radii of the static SSD (e.g., Morgan et al. 2010; Cornachione et al. 2019). Our model might be able to resolve this discrepancy.

Microlensing observations actually measure the half-light radius of the time-variable AGN emission. Therefore, we follow Tie & Kochanek (2018) and calculate the half-light radius of the time-variable 3000 Å emission as follows. First, we utilize a Taylor expansion to the Planck function and obtain the variation of intensity as a function of radius, i.e.,

\[
\Delta B(\lambda, R) = \frac{2hc^2}{\lambda^5} \frac{x}{(\exp(x) - 1)^2} \frac{\Delta T_{\text{eff}}}{T_{\text{eff}}},
\]

where \( \lambda = 3000 \) Å, \( x = hc/(k_B T_{\text{eff}} \lambda) \) and \( B(\lambda) \) is the Planck function. Second, for a fixed wavelength, we can calculate the cumulative contribution of \( \leq R \) regions to the total variability, i.e.,

\[
f_{\Delta l}(\lambda, R) = \frac{\int_{0}^{R} \Delta B(\lambda, R_0) R_0 dR_0}{\int_{0}^{R_{\text{s}}} \Delta B(\lambda, R) R_0 dR_0}. \tag{20}
\]

Then, the half-light radius, \( R_{\text{half}} \), can be calculated by setting \( f_{\Delta l}(\lambda, R_{\text{half}}) = 0.5 \).

### 3.2.5. Color Variability

Observationally, AGN color variations show a bluer-when-brighter tendency (Ruan et al. 2014), and this tendency is timescale-dependent (Sun et al. 2014). To check whether our model can predict such a timescale-dependent bluer-when-brighter tendency, we also calculate the color variations of our model. First, we follow the methodology in Section 5 of Ruan et al. (2014) to obtain 1500 differential spectra. The time separation of two spectra is fixed to be 50 days. We then scale the 1500 differential spectra to have the same 3000 Å emission and use the geometric mean to obtain the composite differential spectrum, which is shown in Figure 10 (for the differences among cases A–D, please see Table 1 and Section 3.3). Our model predicts a bluer-when-brighter power-law spectral variability that is quite similar to the observed one.

We also calculate the timescale-dependent color variability \( (S(\Delta t)) \), which measures the ratio of the variations of the shorter-wavelength emission to those of the longer one, by following the methodology in Section 4 of Zhu et al. (2018). The results of the color variability between the 3000 and 5100 Å emission are shown in Figure 11. Indeed, our model also predicts the timescale-dependent bluer-when-brighter behavior, i.e., the bluer-when-brighter behavior is also less prominent on long timescales.
The composite differential spectra of our model. The black dashed line corresponds to the observed spectrum with an index of $\Gamma = -0.56$ (Ruan et al. 2014). The bluer-when-brighter behavior is evident in all cases. The composite differential spectra are also similar to the observed one (Ruan et al. 2014). Cases A–D correspond to different $M_{\text{BH}}$, $\dot{m}$, and $L_{\text{bol}}$ (see Table 1).

![Figure 10](image)

Figure 10. The composite differential spectra of our model. The bluer-when-brighter behavior is evident in all cases. The composite differential spectra are also similar to the observed one (Ruan et al. 2014). Cases A–D correspond to different $M_{\text{BH}}$, $\dot{m}$, and $L_{\text{bol}}$ (see Table 1).

Figure 11. AGN time-dependent color variability of our model. The bluer-when-brighter behavior is more evident on short timescales than on long timescales.

![Figure 11](image)

### 3.3. Parameter Dependence

According to our model, AGN UV/optical variability depends upon AGN physical parameters, namely, $M_{\text{BH}}$ and $\dot{m}$. Therefore, we solve Equation (9) for four cases (see Table 1).

#### 3.3.1. Dimensionless Accretion-rate Dependence

To explore the relation between AGN UV/optical variability and $\dot{m}$, we compare case A with two cases (i.e., cases B and D). Cases A and B have the same $M_{\text{BH}}$ but different $\dot{m}$ and $L_{\text{bol}}$. On the other hand, cases A and D share the same $M$ and $L_{\text{bol}}$ but different $\dot{m}$ and $M_{\text{BH}}$.

For illustrative purposes, we focus on the statistical properties of the light curves of the 3000 Å emission. A comparison between the PSD and SF of case A and those of cases B and D is presented in Figures 12 and 13.

![Figure 12](image)

Figure 12. Upper panel: PSDs of the 3000 Å emission for cases A and B. Lower panel: SFs of the 3000 Å emission for cases A and B. For fixed $M_{\text{BH}}$, the variability amplitudes on timescales $\lesssim 10^3$ days decrease with increasing $\dot{m}$ or luminosity. This anticorrelation seems to be consistent with the empirical relation of MacLeod et al. (2010) for $\hat{\sigma}$ (see the text in Section 3.3.1).

The dimensionless accretion rate $\hat{\dot{m}} = \dot{m}/M_{\text{Edd}}$, where $M_{\text{Edd}} = 10L_{\text{Edd}}/c^2$. (4) The bolometric luminosity.

| Case | $M_{\text{BH}}$ ($M_\odot$) | $\dot{m}$ | $L_{\text{bol}}$ ($\text{erg s}^{-1}$) |
|------|------------------|-------|------------------|
| A    | $10^6$           | 0.1   | $2.5 \times 10^{35}$ |
| B    | $10^7$           | 0.3   | $7.6 \times 10^{35}$ |
| C    | $10^8$           | 0.1   | $2.5 \times 10^{44}$ |
| D    | $5 \times 10^7$  | 0.2   | $2.5 \times 10^{45}$ |

Note. (1) Case. (2) The black-hole mass. (3) The dimensionless accretion rate $\hat{\dot{m}} = \dot{m}/M_{\text{Edd}}$, where $M_{\text{Edd}} = 10L_{\text{Edd}}/c^2$. (4) The bolometric luminosity.

A full comparison between our model and the popular empirical relations, which considers statistical biases due to, e.g., irregular and sparse sampling, will be investigated in future works.
with the empirical relation of MacLeod et al. (2010). The Astrophysical Journal, 891:178 (21pp), 2020 March 10

Figure 13. Upper panel: PSDs of the 3000 Å emission for cases A and D. Lower panel: SFs of the 3000 Å emission for cases A and D. For fixed L_{bol}, the variability amplitudes are insensitive to \( m \) or \( M_{BH} \), which is roughly consistent with the empirical relation of MacLeod et al. (2010).

\( L_{bol} \), and this anticorrelation is roughly consistent with the empirical relation of MacLeod et al. (2010). Cases A and D have similar SFs, i.e., for fixed \( L_{bol} \) (or \( \dot{M} \)), AGN UV/optical variability is insensitive to \( m \) or \( M_{BH} \), which is again consistent with the empirical relation of MacLeod et al. (2010).

As for color variability, the differential spectra of cases B and D share a similar shape with that of case A (Figure 10). However, cases B and D show more evident bluer-when-brighter behaviors than case A (Figure 11). That is, the timescale-dependent color variability (bluer when brighter) correlates with \( m \).

3.3.2. Black-hole-mass Dependence

To explore the relation between AGN UV/optical variability and \( M_{BH} \), we compare case A with two cases (i.e., cases C and D). Cases A and C have the same \( m \) but different \( M_{BH} \) and \( L_{bol} \). On the other hand, cases A and D share the same \( L_{bol} \) but different \( m \) and \( M_{BH} \).

Compared with case A, case C predicts larger variability amplitudes (by a factor of about 2.2) of the 3000 Å emission on timescales of \( \lesssim 10^3 \) days (Figure 14). Therefore, if we control \( m \) (and \( Q_{mc}^- \)), AGN UV/optical variability and \( M_{BH} \) are anticorrelated. According to the empirical relation of MacLeod et al. (2010) for \( \delta \), the SF of case C is expected to be larger than that of case A by a factor of \( 10^{2.215} \sim 1.64 \), which is smaller than our model prediction by a factor of \( 2.2/1.64 \sim 1.34 \). This small discrepancy might be understood as follows. The sample of MacLeod et al. (2010) consists of luminous AGNs while the AGN of case C has a much lower bolometric luminosity \((2.5 \times 10^{44} \text{ erg s}^{-1})\). There is some evidence to suggest that the empirical relation of MacLeod et al. (2010) under-predicts the short-term variability amplitudes (by a factor of \( \sim 1.3 \)) of AGNs with relatively low luminosities (see Section 6.1 of Sun et al. 2015).

Instead, if we fix \( L_{bol} \) (i.e., case A vs case D; Figure 13) and \( Q_{mc}^- \), there is no strong correlation between AGN UV/optical variability and \( M_{BH} \), which is, again, consistent with the empirical relation of MacLeod et al. (2010).

As for color variability, the differential spectra of cases C and D share roughly the same shape as that of case A (Figure 10). However, case C (D) shows weaker (stronger) timescale-dependent color variability (bluer when brighter) than case A. Therefore, the dependence of AGN timescale-dependent color variability upon \( M_{BH} \) is complicated.

3.3.3. Luminosity Dependence

From Sections 3.3.1 and 3.3.2, we can conclude that the PSD and SF of the 3000 Å emission depend mostly on AGN luminosity. This tendency can be understood as follows. Equation (9) is roughly scale-invariant if timescale is in units of the thermal timescale \( \tau_{\text{TH}} \) (see Equation (14)) at the 3000 Å emission characteristic radius \( R_{3000} \); as mentioned in Section 2.2, \( \tau_{\text{TH}}(\lambda) \propto \alpha^{-1} \lambda^2 L_{bol}^{0.5} \). Indeed, if we express
timescale in units of $\tau_{\text{TH}}$ days, SFs and PSDs of cases A, B, and C are quite similar to those of case D (Figure 15). This feature might be responsible for the observed tight correlation between the short-term variability amplitude and AGN luminosity (e.g., MacLeod et al. 2010; Sun et al. 2018c).

It is evident that AGN timescale-dependent color variability (bluer when brighter) correlates with $L_{\text{bol}}$ or $M$ (i.e., by comparing case A with cases B and C; see Figures 10 and 11).

### 3.3.4. Interband Time Lags and AGN Parameters

To complete our study, we show the ratios of the time lags between the $UVW1$-band and the $V$-band emission to the expectations of a static SSD (with the same $M_{\text{BH}}$ and $m$) as a function of frequency for cases A–D. The results are presented in Figure 16. For fixed observing duration $T_{\text{dur}} = 1/f_{\text{dur}}$, the ratio of measured-to-expected time lag of a less luminous AGN is larger than that of a more luminous one (see Figure 16); this can explain observational fact # 6, i.e., the larger-than-expected time lags are observed for several local Seyfert 1 AGNs but not in some more luminous and distant AGNs.

More luminous AGNs tend to have larger thermal timescales (for fixed wavelength and $\alpha$). As a result, the anticorrelation between the fractional variability of the effective temperature and radius is more evident for more luminous AGNs than for less luminous ones. That is, the microlensing accretion-disk size (i.e., the half-light radius of the time-variable emission; see Section 3.2.4) is always overestimated if the static SSD model is adopted when studying AGN microlensing observations.

### 4. Confronting Our CHAR Model with Real Observations

We apply our CHAR model to explain two different sets of real observations. First, we consider the long-duration (~3 yr), high-cadence (~30 minutes) Kepler light curves of three AGNs (namely Zw 229-15, kplr 12158940, and kplr 2694186) that have reliable black-hole-mass measurements (Barth et al. 2011; Smith et al. 2018a). Second, we focus on the multiwavelength light curves of NGC 5548. Throughout Section 4, the wavelengths and timescales of quasar features are always in the observed frame, unless otherwise specified.

#### 4.1. Kepler Observations

The Kepler space telescope (Borucki et al. 2010) provided extremely high-cadence (~30 minutes) and long-term (~3 yr) optical light curves for about two dozen AGNs (Smith et al. 2018a). Previous works using such Kepler light curves have revealed that AGN short-term (i.e., $\lesssim 10$ days) variability is inconsistent with the DRW model (Mushotzky et al. 2011; Kasliwal et al. 2015); although, this model has been proven to be very useful in describing more limited ground-based data (e.g., Kelly et al. 2009; MacLeod et al. 2010).

We select Kepler AGNs with $M_{\text{BH}}$ estimates (via the RM or the single-epoch virial black-hole mass estimators) from Barth et al. (2011) and Table 1 of Smith et al. (2018a). The ~3 yr Kepler light curves were broken into multiple segments due to instrumental effects. To ensure that the Kepler data can efficiently probe both short-term and long-term variability, we reject AGNs with data from less than 10 segments. At this stage, five AGNs are selected, namely Zw 229-15, kplr 2694186, kplr 12158940, kplr 12208602, and kplr 9650712. Among them, kplr 12208602 is a radio-loud AGN, i.e., non-disk jet emission might be important; and kplr 9650712 might show a quasi-periodic oscillation signal (Smith et al. 2018b), which is beyond the scope of this work. Therefore, we do not consider these two AGNs, either. Our final sample consists of three sources, Zw 229-15, kplr 2694186, and kplr 12158940.

The Kepler light curves of the three AGNs are taken from Chen & Wang (2015). In their work, multiple-quarter light

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19 There is a weak dependence upon $M_{\text{BH}}$ (see case C). This is because $C(\beta)$ in Equation (14) relies on $M_{\text{BH}}$. 

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**Figure 15.** Upper panel: PSDs of the 3000 Å emission for cases A–D. Lower panel: SFs of the 3000 Å emission for cases A–D. When $\Delta f$ (the frequency $f$) is expressed in units of the thermal timescale $\tau_{\text{TH}}$ (the thermal frequency $1/\tau_{\text{TH}}$), the PSDs and SFs are nearly the same. The PSDs and SFs depend weakly on $M_{\text{BH}}$ (see case C).

**Figure 16.** The ratios of the frequency-dependent time lags of the $UVW1$ emission with respect to $V$-band emission to the static SSD time lags for cases A–D. Positive lags indicate that $V$ lags $UVW1$ band. For fixed frequency, less luminous sources tend to have larger ratios of measured-to-expected time lags than more luminous ones.
obtained. Following Kasliwal et al. (2012), the typical fractional simple aperture photometry (SAP) flux uncertainty is ~0.08%. The SAP flux is in units of count rate and the bandpass is from 4200 to 9000 Å.

curves are stitched together by considering the PyKE routines kepmask and kepextrend (Kinemuchi et al. 2012). Additional CBV (i.e., the cotrending basis vectors) corrections are not applied, as such corrections are unlikely to be highly accurate at least for the best-studied source Zw 229-15 (see Figure 27 of Smith et al. 2018a). The adopted light curves are presented in Figure 17.

For each source, \( M_{\text{BH}} \) is fixed to the observed value; \( \dot{M} \) is chosen to match the observed luminosity at rest-frame \( \lambda = 5100 \) Å; and \( \delta_{\text{mc}} \) is adjusted such that the predicted SF equals the observed one at \( \Delta t = 50 \) days. The only remaining free parameter is \( \alpha \), which determines the thermal timescale. We obtain mock light curves for two cases, i.e., \( \alpha = 0.01 \) and \( \alpha = 0.2 \). The former case (i.e., \( \alpha = 0.01 \)) corresponds to the results of some recent radiation MHD shearing-box simulations (Blaes 2014); the latter case (i.e., \( \alpha = 0.2 \)) is motivated by observational evidence (King et al. 2007) from outbursts of dwarf nova or soft X-ray transients.

We then solve Equation (3) to obtain \( T(t) \) using Euler’s method. As a second step, we calculate the light curve of AGN UV/optical emission by assuming perfect blackbody radiation at each radius and a face-on viewing angle. To avoid sampling issues, the cadence of the mock light curve is 7 minutes, which is higher than that of the Kepler light curves. The duration of the mock light curve is ~30 yr, which is much (i.e., 10 times) longer than that of the Kepler light curves.

To mimic the sampling patterns of the Kepler light curves, we pick a segment of the mock light curve that has the same length and cadence as the observed light curve; the starting time of the segment is generated from a uniformly distributed random variable. We then add measurement noise to every segment by using uncorrelated white noise whose standard deviation is determined by the Kepler observations. We repeat this process 512 times (i.e., 512 mock light curves, each with a duration of ~30 yr, are generated) to account for the stochastic nature of the AGN UV/optical light curves.

We compute the SFs of the observed and simulated light curves. For each AGN, a set of 512 simulated SFs can be obtained. Following Kasliwal et al. (2015), we calculate the ensemble mean \( \log_{10} \text{SF}(\Delta t) \) and standard deviation \( \sigma_{\text{SF}} \) of the set of 512 SFs. The SFs of our mock light curves for the three sources are presented in Figure 18. The similarities between our model SFs and the observed ones on short timescales (which cover nearly two orders of magnitude in timescale, i.e., from \( \geq 0.5 \) up to \( \lesssim 50 \) days) are intriguing (see Figure 18) since the shapes of the model SFs on short timescales are primarily determined by the thermal-energy conservation law of the accretion disk (\( \alpha \) has limited impact on the short-timescale SFs). This result indicates that our CHAR model reveals the physical nature of the disk-temperature fluctuations. In other words, with appropriate \( \alpha \) values, our CHAR model can almost precisely reproduce the observed SFs on all covered timescales for all the three Kepler AGNs with extremely high-cadence and long-duration light curves (i.e., the best optical AGN light curves ever in terms of these two aspects).

We use the following pseudo \( \chi^2 \) statistic to assess quality-of-fit,

\[
\chi^2_{\text{obs}} = \sum \frac{(\log_{10} \text{SF}(\Delta t) - \log_{10} \text{SF}_{\text{obs}}(\Delta t))^2}{\sigma^2_{\text{SF}}},
\]

where \( \text{SF}_{\text{obs}}(\Delta t) \) is the SF of an observed light curve. A list of the ratio of \( \chi^2 \) to the degrees of freedom (DOF) can be found in Table 2.

The pseudo \( \chi^2 \) statistic does not follow the classical \( \chi^2 \) distribution because the adjacent SF estimates are correlated and other statistical reasons (Emmanoulopoulos et al. 2010). To assess the quality-of-fit, we must use simulations to obtain the distribution of our pseudo \( \chi^2 \) (Utley et al. 2002; Kasliwal et al. 2015). That is, we use Equation (21) to obtain the pseudo \( \chi^2 \) (hereafter \( \chi^2_{\text{mc}} \)) for each of the 512 simulated SFs; in this step, \( \text{SF}_{\text{obs}} \) is replaced with the simulated SF. The distribution of 512 \( \chi^2_{\text{mc}} \) can be used to infer the distribution of the pseudo \( \chi^2 \) for our CHAR model. We then define a new statistical quantity, the likelihood of occurrence (\( P(\chi^2_{\text{mc}} > x) \)), which measures the probability of \( \chi^2_{\text{mc}} \) taking a value larger than a specific value \( x \) (i.e., statistically speaking, \( P(\chi^2_{\text{mc}} > x) \) is the survival function of the distribution of \( \chi^2_{\text{mc}} \)).

The likelihood of occurrence of each source is shown in Figure 19. For comparison, we also show \( \chi^2_{\text{obs}} \) for each source. For Zw 229-15, our CHAR model with \( \alpha = 0.01 \) is a poor fit (the fit is even poorer if we focus only on \( \Delta t < 50 \) days) since \( P(\chi^2_{\text{mc}} > \chi^2_{\text{obs}}) = 0.05 \); and the model with \( \alpha = 0.2 \) is a good fit because \( P(\chi^2_{\text{mc}} > \chi^2_{\text{obs}}) \sim 0.67 \). For kplr 12158940, our CHAR model with \( \alpha = 0.2 \) is a poor fit since \( P(\chi^2_{\text{mc}} > \chi^2_{\text{obs}}) = 0.02 \); instead, the model with \( \alpha = 0.01 \) is a reasonable fit because \( P(\chi^2_{\text{mc}} > \chi^2_{\text{obs}}) = 0.92 \). For the same reason, our CHAR model with \( \alpha = 0.01 \) is a better fit to the observed SF of kplr 2694186 than that with \( \alpha = 0.2 \) (\( P(\chi^2_{\text{mc}} > \chi^2_{\text{obs}}) = 0.03 \)).

To demonstrate the statistical distribution of \( \alpha \), we perform the following calculations. For Zw 229-15 and kplr12158940 (we exclude kplr 2694186 because the model with \( \alpha = 0.01 \) is only slightly better than that with \( \alpha = 0.2 \)), our model SFs are calculated by stepping through 16 values of \( \alpha \) from 0.01 to 0.5 in equal logarithmic increments. The likelihood that the observed SF is a realization of our model with a specified \( \alpha \) is estimated by considering the pseudo \( \chi^2 \) and the distribution of 512 \( \chi^2_{\text{mc}} \) as outlined above. For each source, we then interpolate the 16 likelihoods to estimate the likelihoods of other values of \( \alpha \) and
adopt the popular Python implementation of the Markov Chain Monte Carlo algorithm, emcee (Foreman-Mackey et al. 2013), to sample the model parameter $\alpha$. The resulting distributions of $\alpha$ for Zw 229-15 and kplr12158940 are shown in Figure 20. The required $\alpha$ for Zw 229-15 is indeed statistically larger than that for kplr12158940 since the probability that the required $\alpha$ for Zw 229-15 is smaller than that for kplr12158940 is less than 1%.

Our results demonstrate that, for light curves with sufficient quality (especially on long timescales), we can, in principle, infer the value of $\alpha$ by fitting the AGN UV/optical light curves. The fits to the three Kepler AGNs already suggest that different AGNs have different $\alpha$ values. The required $\alpha$ is not entirely consistent with the values derived from some recent radiation MHD shearing-box simulations of accretion disks where $\alpha$ converges around 0.01 (Blaes 2014). A similar discrepancy is also found when analyzing the observations of outbursts of dwarf nova or soft X-ray transients (King et al. 2007). Some possible explanations involve the large-scale poloidal magnetic field (as illustrated in Figure 1, the large-scale magnetic field is also required in our CHAR model) because $\alpha$ positively correlates with the initial field strength (see Figure 6 of Hawley et al. 1995) or the kinetic effect of MRI turbulence (e.g., Kunz et al. 2016); a detailed discussion of additional possibilities has been made by King et al. (2007).

4.2. NGC 5548

Our CHAR model also has the potential to self-consistently account for other observational characteristics of AGN UV/optical variability, e.g., the multiwavelength variability of the best-studied reverberation-mapped AGN, NGC 5548. To apply our CHAR model to NGC 5548, we fix the mass of the central SMBH to be $M_{\text{BH}} = 5 \times 10^7 M_\odot$ and choose $M$ such that the model luminosity at 5100 Å is consistent with the observed one (Fausnaugh et al. 2016); $\delta_{\text{ins}}$ is adjusted to ensure that the SF at 10 days of the model light curve at $B$-band matches the observed one. The remaining parameter is $\alpha = 0.2$. We then run simulations to generate 18-band model light curves (i.e., all 18 UV/optical bands listed in Table 5 of Fausnaugh et al. 2016; we do not consider X-ray observations because X-ray emission is not produced by the accretion disk but by the hot corona) following the methodology mentioned above. During the simulations, the time-sampling issues and the measurement errors are also considered, i.e., the model light curves share the same cadence and measurement noise as the observations of NGC 5548 (Fausnaugh et al. 2016).

For each band, we first compare the model SF with the observed one (see Figure 21 for the Sloan Digital Sky Survey (SDSS) i-band; the complete figure set for all bands is available online). Overall, our model can account for the observed SFs of the multi-band light curves of NGC 5548 on timescales $\lesssim 20$ days. On timescales of 20–50 days, our CHAR model overpredicts the observed variability. This deviation might have something to do with the anomalous state (Goad et al. 2016) in NGC 5548; in the anomalous state, the ionizing continuum is preferentially suppressed due to, e.g., the intrinsic change of the corona/disk structure (Mathur et al. 2017; Sun et al. 2018b) or external variations in line-of-sight obscuration (Dehghanian et al. 2019; Kriss et al. 2019). Indeed, if we split the full multiwavelength light curves of NGC 5548 into two segments at HJD–2450,000 $< 6747$, the first portions are more variable than the second ones, especially on timescales longer than 10 days (see Figure 5 of Sun et al. 2018b). We then reapply our CHAR model to the first segment of each band of the NGC 5548 light curve following the same methodology. The resulting SFs are shown in Figure 22. Our CHAR model can now also explain the observed SFs of NGC 5548 on timescales $\gtrsim 20$ days. Therefore, our results indicate that the magnetic fluctuations in the corona might also change as NGC 5548 entered into the anomalous state.

We then use PYCCF (Sun et al. 2018a), a python version of the interpolation cross-correlation function code (Peterson et al. 1998), to determine the interband time lags for the model light curves; the reference band is chosen to be the Swift UVW2 band (Edelson et al. 2019). We also use our code to re-estimate the observed time lags for the sake of self-consistency. We limit our analyses to the first segments of the multiwavelength light curves of NGC 5548. Our CHAR model can explain the observed interband time lags, which are larger than the expectations of the lamppost model (see Figure 23). This is because, unlike the lamppost model, the disk temperature cannot fully respond to the variations of $Q_{\text{total}}$ unless a thermal timescale has passed. That is, the interband time lags are superpositions of the magnetic fluctuation travel (the speed is assumed to be the speed of light) timescales and the response timescales (see Section 3.2.3).
Table 2
Quality-of-fit Assessment for the Three AGNs

| Name | log $M_{\text{BH}}$ ($M_\odot$) | $\dot{m}$ | $\alpha$ | $\sigma_{\text{nic}}$ | $\chi^2$/DOF | $P(\chi^2_{\text{nic}} > \chi^2_{\text{disk}})$ |
|------|-------------------------------|---------|---------|----------------|--------------|----------------------|
| Zw 229-15 | 7.00 | 0.034 | 0.20 | 0.065 | 0.53 | 0.67 |
| kplr 12158940 | 8.04 | 0.002 | 0.20 | 0.084 | 3.35 | 0.02 |
| kplr 2694186 | 7.66 | 0.043 | 0.20 | 0.074 | 2.71 | 0.03 |

Note. (1) Object name. (2) The black-hole mass (for Zw 229-15, see Barth et al. 2011; for others, see Smith et al. 2018a). (3) The dimensionless accretion rate $\dot{m} = \dot{M}/M_{\text{edd}}$, where $M_{\text{edd}} = 10L_{\text{edd}}/c^2$. (4) The dimensionless viscosity parameter. (5) The variability amplitude of $Q_{\text{nic}}^+$. (6) The ratio of $\chi^2$ to degree of freedom (DOF, which is $4 \times 10^4$). (7) $P(\chi^2_{\text{nic}} > \chi^2)$ is the survival function of the distribution of $\chi^2$.

5. Discussion

5.1. Physical Mechanisms

Considering the large optical depth from the surface to the mid-plane, external illumination (e.g., X-ray or UV emission) should be absorbed within a thin surface of the accretion disk. If so, the timescale for such a thin surface to adjust its structure and the absorbed energy to be reprocessed as UV/optical emission is rather short (it can be less than one hour; see, e.g., Collin-Souffrin 1991; Czerny 2006); that is, Equation (1) seems to be valid. However, as we discussed in Section 1, this simple and interesting model fails to explain many observational facts of AGN UV/optical variability (see also Edelson et al. 2019).

To overcome these problems, we propose that the corona and the accretion disk are tightly coupled by magnetic fields. Turbulent magnetic fields are well expected in an accretion disk since the MRI is responsible for removing angular momentum, releasing the gravitational energy and heating the gas in the accretion disk (Balbus & Hawley 1998). The interior magnetic fields might rise to the low-density surface owing to, e.g., magnetic buoyancy (Parker 1966) and can be effectively amplified to form large-scale poloidal magnetic fields (Rothstein & Lovelace 2008). In the vertical regions that are well above the accretion disk, the gas is highly magnetized; the puffed-up magnetic field might reconnect, dissipate its energy, and heat the ambient low-density plasma (e.g., Di Matteo 1998; Liu et al. 2002). If the dissipated energy is mainly converted into the internal energy of protons, and protons and electrons are largely decoupled (given the low-density nature, Coulomb coupling is inefficient; see, e.g., Di Matteo 1998; Różańska & Czerny 2000), the plasma will be radiatively inefficient (which is similar to an advection-dominated accretion flow; see Yuan & Narayan 2014). This hot and radiatively inefficient plasma might be responsible for the so-called “X-ray corona.” The magnetic power might also launch a relativistic jet from the coronal plasma (i.e., the corona might serve as the jet base; Markoff et al. 2005). In addition, the SMBHs might be supplied by the ambient hot gas (e.g., from stellar winds) and the accretion flow around the Bondi radius can be geometrically thick; an underlying thin and cold disk only forms at much smaller radii; then, the “X-ray corona” might be the innermost regions of this thick disk (Liu et al. 2015). Unlike the underlying cold thin disk (Shakura & Sunyaev 1973), the corona should have a large inflow velocity (Liu et al. 2015; Jiang et al. 2019) since the plasma is hot and the angular-momentum transfer due to the MRI should be efficient. Therefore, the anchored magnetic field in the corona can be “dragged” into the innermost regions. The same magnetic field that penetrates the interior of the cold accretion disk remains in its original radial location as the inflow velocity of the cold disk is small. Therefore, a magnetic coupling between the compact corona and the outer cold accretion disk might exist (see Figure 1).

As the magnetic field of the corona fluctuates (due to, e.g., magnetic reconnection), the disk turbulent magnetic field also changes accordingly after a time delay that accounts for the propagation of MHD waves from the corona to the disk; the time delay $\tau_{\text{delay}}$ is $R_X/c_{\text{avf}}$, where $R_X$ and $c_{\text{avf}}$ are the distance between the corona and the disk and the Alfvén velocity, respectively. The coherently variable disk turbulent magnetic power (i.e., the fluctuations of disk turbulent magnetic field at different radii are correlated) dissipates and changes the heating rate in the disk. As a result, the interior structure of the accretion disk changes in response to the variable disk heating rate. The timescale for the disk temperature to adjust to the variable disk heating rate is the thermal timescale. On timescales significantly longer than the thermal timescale, the disk temperature and the disk heating rate vary similarly; but on timescales shorter than the thermal timescale, the disk response time is important and the disk variability is less than the fluctuation in the heating rate. This naturally leads to less variability on short timescales and more variability on long timescales, explaining why the thermal timescale is a good fit to the “break” timescale between the two variability regimes (e.g., Kelly et al. 2009; Sun et al. 2015, 2018c).

5.2. The Correlation between X-Ray and UV/optical Variability

In this work, we assume that the disk-temperature variations are induced by corona magnetic fluctuations; the same magnetic fluctuations can also drive X-ray variability. Therefore, one might expect a tight correlation between UV/optical and X-ray emission. However, the relationship between $Q_{\text{nic}}^+$ and X-ray emission can be complicated due to the important advection cooling and the fluctuations of the corona surface density (see also Section 3.2.3). A detailed investigation of this topic is needed to understand the relation between X-ray and UV/optical stochastic variations; however, this is beyond the scope of this work.
5.3. Relationship to Other Models

Alternative models have been proposed to explain AGN UV/optical light curves. One of the most popular models is the X-ray reprocessing model (e.g., Krolik et al. 1991). In this model, the highly variable X-ray emission (which is presumably produced in the hot corona) can illuminate the underlying cold accretion disk; a significant fraction of the illuminating X-ray photons are thermalized in the disk surface. The absorbed X-ray emission is reprocessed as the UV/optical emission, which might be responsible for the observed AGN UV/optical light curves. However, this scenario is challenged by many observations (Uttley et al. 2003; Sun et al. 2014; Fausnaugh et al. 2016; Cai et al. 2018; Zhu et al. 2018; Edelson et al. 2019; see also Section I).

Variations of accretion rate at each radius can also induce AGN luminosity fluctuations. In fact, Lyubarskii (1997) demonstrated that, if the accretion rate at each radius varies independently, the PSD of the AGN bolometric luminosity is \( \propto 1/f \). However, the required timescale for the accretion rate to vary is the viscous timescale, which should be around hundreds of years for the UV/optical emission regions of a typical AGN. Therefore, this model cannot explain the observed UV/optical variability. Instead, it might be able to explain the short-timescale (i.e., hours to years) magnetic energy fluctuations in the innermost regions or the compact corona where the corresponding viscous timescale can be less than days.

Another popular model is the strongly inhomogeneous disk model (Dexter & Agol 2011; Cai et al. 2016). While this model has the potential to explain the microlensing observations (Morgan et al. 2010), the timescale-dependent AGN color variability (Sun et al. 2014), and many other observational characteristics, the temperature fluctuations in this model are “assumed” to be a DRW process. Meanwhile, this model fails to explain the interband cross correlations since the temperature fluctuations at different radii vary independently. Cai et al. (2018) upgraded the strongly inhomogeneous disk model by adding a global common temperature fluctuation and found that this new model has the potential to yield the observed interband UV/optical time lags (Fausnaugh et al. 2016; Edelson et al. 2019). However, temperature fluctuations in Cai et al. (2018) are still assumed to be a DRW process.
model to fit future LSST light curves. To illustrate this idea, we consider five AGNs with five different choices of $M_{\text{BH}}, \dot{M}$, and $\alpha$, i.e., $M_1 = 1, M_{24} = 1.3$, and $\alpha = 0.2$ (hereafter case I); $M_2 = 5, M_{24} = 1.3$, and $\alpha = 0.2$ (hereafter case II); $M_3 = 5, M_{24} = 0.08$, and $\alpha = 0.05$ (hereafter case III); $M_4 = 1, M_{24} = 6.5$, and $\alpha = 0.2$ (hereafter case IV); $M_5 = 5, M_{24} = 1.3$, and $\alpha = 0.01$ (hereafter case V). Note that $M_0 = M_{\text{BH}}/(10^7 M_\odot)$ and $M_{24} = \dot{M}/(10^{24} \text{ g s}^{-1})$.

For each case, we use our CHAR model to simulate the light curves of the observed-frame 3500 Å and 8500 Å (which correspond to the central wavelengths of the $u$ and $z$ bands of the LSST filters, respectively) emission; the duration of every light curve is 10 yr (in the observed frame); the photometric noise is assumed to be 0.01 mag, and the cadence of the simulations is (observed frame) 3 days, which is motivated by the LSST surveys of the deep-drilling fields (Brandt et al. 2018; Scolnic et al. 2018). For each case, we repeat the simulation 512 times to account for statistical fluctuations due to photometric noise, limited cadence, and duration. For each case, $\delta_{\text{mc}}$ is chosen to ensure that the SF of the 3500 Å emission at 50 days is the same (i.e., $\pm 0.03$ mag).

The SFs of the observed-frame 3500 and 8500 Å emission and their ratios are calculated. The ratios are similar to the color variability in Section 3.2.5. That is, a bluer-when-brighter behavior is expected if the ratio is smaller than unity.

The SFs of the 3500 Å emission, the ratios of the SFs of the 8500 Å emission to those of the 3500 Å emission, and the expected SEDs are shown in Figure 24 for AGNs at $z = 0.017175$ (i.e., the same as that of NGC 5548). The results for the same AGNs at $z = 1$ are presented in Figure 25. The differences in the SFs are evident beyond the measurement noise if the corresponding $\tau_{\text{TH}}$ ($\propto M_{\text{BH}}^{0.5} \alpha^{-1}$; see Equation (12)) values are significantly different (i.e., comparing case I or II with case IV or V). While the SFs of cases A, B, and C (they have similar $\tau_{\text{TH}}$) are indistinguishable within measurement noise, their color variability is statistically different. Therefore, it is promising to infer $\dot{M}$ and $\alpha$ by considering the LSST light curves of thousands of type I AGNs in the LSST deep-drilling fields. The SEDs are sensitive to both $\dot{M}$ and $M_{\text{BH}}$. Hence, as long as $\dot{M}$ is determined, we can also infer $M_{\text{BH}}$ from the LSST data. Note that many of the brightest AGNs in the LSST deep-drilling fields will also have independent $M_{\text{BH}}$ measurements from the RM campaigns, e.g., the SDSS-V Black-Hole Mapper (Kollmeier et al. 2017) and 4MOST/TiDES (Swann et al. 2019). Then, we can use the two independent $M_{\text{BH}}$ measurements to perform a cross-validation study to improve the accuracy of $M_{\text{BH}}$. Moreover, the radiative efficiency $\eta \equiv L_{\text{rad}}/(\dot{M} c^2)$ can be calculated. For radiatively efficient accretion disks, $\eta$ should be determined by the innermost stable circular orbit (ISCO) radius $R_{\text{ISCO}}$ and magnetic stress at this radius (Agol & Krolik 2000); $R_{\text{ISCO}}$ depends on the SMBH spin $a^*$. Therefore, we can use the measured $\eta$ to deliver some insights on $a^*$ and/or magnetic stress at $R_{\text{ISCO}}$.

6. Summary

We propose a new model, Corona-Heated Accretion-disk Reprocessing (a.k.a. CHAR), to explain AGN UV/optical variability. In contrast to the simplest X-ray reprocessing model, we argue that, as the corona induces fluctuations in the heating rate, the temperature of the interior of an AGN accretion disk also changes. We assume that the AGN accretion disk can re-establish vertical hydrostatic equilibrium, and the
variability of \( Q_{\rm mc}^- \) can be described by a red-noise process. Then, the temperature fluctuations can be determined by considering the vertically integrated thermal-energy conservation law (see Equation (9) and Section 2).

We solve Equation (9) to obtain the temperature fluctuations and luminosity variability. We find that the fluctuations of the inner and surface temperature and luminosity differ from that of \( Q_{\rm mc}^- \) in many aspects. Our main results can be summarized as follows.

1. The fluctuations of the inner and surface temperature and luminosity contain less high-frequency components than that of \( Q_{\rm mc}^- \) (see Figures 2–4; Section 3.2.1).

2. According to our CHAR model, on timescales of \( 10^2-10^3 \) days, AGN UV/optical luminosity variability can be well fitted by the DRW process; on shorter/longer timescales, the DRW process underpredicts/overpredicts AGN UV/optical luminosity variability (see Figure 4).

3. The PSD and SF of AGN UV/optical luminosity variability have a characteristic timescale, i.e., the thermal timescale \( \tau_{\rm TH} \) (see Equation (12); Section 2.2).

4. The PSD and SF depend mostly on AGN luminosity or \( \dot{M} \) (see Figure 15; Section 3.3); their dependences on \( M_{\rm BH} \) or \( \dot{m} \) are weak.

5. AGN UV/optical luminosity variability decreases with increasing wavelength; the difference is more evident on short timescales (see Figure 5).

6. Our CHAR model predicts a bluer-when-brighter behavior (see Figure 10); the bluer-when-brighter behavior is more evident on short timescales than on long timescales (see Figure 11).

7. AGN timescale-dependent color variability (bluer when brighter) correlates with \( \dot{m} \) and \( L_{\rm bol} \); its dependence on \( M_{\rm BH} \) is complex (see Figures 10 and 11; Section 3.3.2).

8. Unlike the X-ray reprocessing model, the interband time lags of our CHAR model increase with increasing timescales (see Figure 7; Section 3.2.3). For an AGN with \( M_{\rm BH} = 10^7 \) \( M_\odot \) and \( L = 0.1L_{\rm Edd} \), on timescales of \( \sim 10^7 \) days, the interband time lags between UV and optical bands can be \( \sim 3 \) times larger than the expectations of the static SSD model.

9. Our CHAR model might also be able to explain AGN microlensing observations (see Figure 9; Section 3.2.4).

10. Our CHAR model can successfully explain the high-quality Kepler AGN light curves (see Figure 18; Section 4.1); the dimensionless viscosity, one of the basic parameters in the black-hole accretion theory, which cannot be determined by fitting AGN SEDs, is constrained to be \( 0.01-0.2 \) by our CHAR model.

11. Our CHAR model can also account for the larger-than-expected time lags in NGC 5548 (see Figure 23). With the same parameters, our CHAR model can simultaneously fit the SFs of the 18 light curves of NGC 5548 (see Figure 22; Section 4.2).

12. We demonstrate that \( M_{\rm BH}, \dot{M}, \) and \( \alpha \) can be constrained by applying our CHAR model to fit AGN multi-band light curves from LSST time-domain surveys (see Figures 24 and 25; Section 5.4).

Therefore, our CHAR model has the potential to explain many observational facts about AGN UV/optical variability.

If our CHAR model is correct, the time lag between optical and the ionizing continuum emission can be significant on long timescales (see Figure 7; Section 3.2.3). Most RM campaigns usually measure the time lag between the BEL and the nearby optical emission. Therefore, the distance of BLR to the central SMBH can be significantly underestimated for a long-term (i.e., the nearby continuum light curve contains long-term variability) RM campaign. This bias can be corrected by performing long-term detrending to the RM light curves.

Our work can be advanced in some theoretical aspects. For instance, disk winds can be strong and modify the structure of the accretion disk (Sun et al. 2019), and the disk emission may not be a perfect blackbody (Hall et al. 2018). We also ignore the UV/optical variability due to X-ray reprocessing of a static SSD or diffuse BLR clouds (e.g., Cackett et al. 2018; Sun et al. 2018b). It would be interesting to revise our CHAR model to include these physical processes. In addition, our analysis cannot be applied to timescales comparable to the viscous timescales unless accretion-rate fluctuations (which can be significant due to, e.g., radiation-pressure instabilities) are properly modeled; such accretion-rate fluctuations have been
proposed to explain the intermittent activity of compact GPS radio sources (Czerny et al. 2009).

In future works, we will test our model with additional observations, e.g., the interband cross correlations and time lags of other AGNs (Edelson et al. 2019), microlensing observations (Morgan et al. 2010), and other more sparse AGN light curves (Kelly et al. 2009; MacLeod et al. 2010). It could also be interesting to apply our CHAR model to fit the extremely short-timescale ($\gtrsim 100$ Hz) variability observed in black-hole X-ray binaries.

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**Figure 25.** Same as Figure 24, but for mock AGNs at $z = 1$. 

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