ELECTRON HEATING IN MAGNETOROTATIONAL INSTABILITY: IMPLICATIONS FOR TURBULENCE STRENGTH IN THE OUTER REGIONS OF PROTOPLANETARY DISKS

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ABSTRACT

The magnetorotational instability (MRI) drives vigorous turbulence in a region of protoplanetary disks where the ionization fraction is sufficiently high. It has recently been shown that the electric field induced by the MRI can heat up electrons and thereby affect the ionization balance in the gas. In particular, in a disk where abundant dust grains are present, the electron heating causes a reduction of the electron abundance, thereby preventing further growth of the MRI. By using the nonlinear Ohm’s law that takes into account electron heating, we investigate where in protoplanetary disks this negative feedback between the MRI and ionization chemistry becomes important. We find that the “e-heating zone,” the region where the electron heating limits the saturation of the MRI, extends out up to 80 AU in the minimum-mass solar nebula with abundant submicron-sized grains. This region is considerably larger than the conventional dead zone whose radial extent is ~20 AU in the same disk model. Scaling arguments show that the MRI turbulence in the e-heating zone should have a significantly lower saturation level. Submicron-sized grains in the e-heating zone are so negatively charged that their collisional growth is unlikely to occur. Our present model neglects ambipolar and Hall diffusion, but our estimate shows that ambipolar diffusion would also affect the MRI in the e-heating zone.

Key words: accretion, accretion disks – instabilities – magnetohydrodynamics (MHD)

1. INTRODUCTION

Magnetorotational instability (MRI; Balbus & Hawley 1991) is widely regarded as a mechanism driving turbulence in protoplanetary disks. Vigorous MRI turbulence provides an effective viscosity that allows disk accretion at a rate consistent with observations (Hawley et al. 1995; Flock et al. 2011). MRI also generates outflows from the disk surface (Suzuki & Inutsuka 2009; Suzuki et al. 2010; Bai 2013; Fromang et al. 2013; Lesur et al. 2013). In addition, MRI turbulence has many important effects on the evolution of solid particles and planet formation. The effects include diffusion of small grains (Carballido et al. 2005), concentration of larger solid particles (Johansen et al. 2006), enhancement of particles’ relative velocity that could lead to their collisional disruption (Carballido et al. 2010) of meter-sized bodies, and random migration of planetesimals (e.g., Laughlin et al. 2004; Nelson & Gressel 2010).

However, in weakly ionized protoplanetary disks, the saturation level of MRI turbulence depends strongly on nonideal MHD effects and hence on the ionization state of the disks. Since thermal ionization is relevant only close to the central star (Umebayashi 1983), the dominant part of the disks is ionized only by high-energy sources such as stellar X-rays (Glassgold et al. 1997) and galactic cosmic rays (Umebayashi & Nakano 1981). Deep inside the disks, the ionization fraction is significantly low because these ionizing radiations are attenuated and because recombination proceeds fast.

The low ionization fraction gives rise to fast ohmic dissipation that stabilizes the MRI (Sano & Miyama 1999). Such a region is called the “dead zone” (Gammie 1996). The MRI is also suppressed by ambipolar diffusion near the surface of the disks (Desch 2004; Bai & Stone 2011; Dzyurkevich et al. 2013). The Hall effect can either stabilize or destabilize the MRI depending on the orientation of the magnetic field relative to the disk rotation axis (Wardle 1999; Wardle & Salmeron 2012; Bai 2014).

A number of studies have quantified how far the dead zone extends in protoplanetary disks. Gammie (1996) assumed that the MRI is stable in a region where the column density exceeds the attenuation depth (∼100 g cm⁻²) of galactic cosmic rays. More sophisticated models that incorporate ionization and recombination (e.g., Sano et al. 2000; Semenov et al. 2004; Illner & Nelson 2006; Bai & Goodman 2009; Dzyurkevich et al. 2013) showed that the MRI can be inactive even at lower column densities, with the predicted dead zone extending to ~20 AU from the central star when micron-sized dust grains are abundant in the disks. The abundance of small grains is relevant here because these particles efficiently sweep up plasma particles and thus lower the ionization fraction.

All the previous studies on the dead zone assumed that vigorous MRI turbulence is sustained outside the zone. However, Okuzumi & Inutsuka (2015, henceforth OI15) suggested that the ionization fraction would be decreased by electric fields induced by MRI turbulence. In a magnetorotationally unstable region, the MRI turbulence generates strong electric fields associated with the growth of magnetic fields. Plasma particles are accelerated by the strong electric fields and are scattered isotropically by collisions with neutral gas particles, leading to an increase of their thermal velocity. In particular, electrons are more easily heated compared to ions because light particles are easily scattered. Therefore, the sufficiently developed electric fields of MRI turbulence increase electron temperature in a weakly ionized gas (electron heating; Inutsuka & Sano 2005). The heated electrons frequently collide with and stick to dust grains. As a result, the electron heating decreases the ionization fraction.

Reduction of the ionization fraction caused by the electron heating amplifies ohmic dissipation, and, as a result, MRI...
turbulence may be suppressed. This negative feedback the MRI growth causes suppresses the MRI and can be a saturating mechanism of MRI. Although previous simulations (e.g., Sano & Stone 2002; Bai 2015; Flock et al. 2015; Simon et al. 2015) in well-ionized regions showed that MRI turbulence sustains a fully developed state, the turbulence strength may be suppressed at a lower saturation level by the effect of the electron heating. However, it is not clear whether electric fields can sufficiently grow to heat up electrons before the MRI fully develops, and whether the decrease of the turbulent saturation level is meaningful. The goal in this paper is to investigate where in protoplanetary disks the electron heating affects MRI turbulence and estimate how the saturation level would be suppressed. This investigation is the first step toward exploring the importance of the electron heating in protoplanetary disks. In this study, we take into account only the ohmic dissipation and neglect the other nonideal effects of MHD for simplicity.

In Section 2, we present the disk model, simplified plasma heating model, and ionization balance. In Section 3, we present some conditions for MRI growth and some criteria for mapping of the turbulent state in a disk. We also briefly summarize the turbulent state and calculation steps. In Section 4, we show where the electron heating affects MRI turbulence. We also consider cases with various parameters. In Section 5, we estimate how the electron heating suppresses MRI turbulence. In Section 6, we discuss the effect of heated electrons on the electric repulsion and the collisional growth of dust grains. In Section 7, we discuss the effects neglected in our study. In Section 8, we present a summary of the results.

2. DISK AND IONIZATION MODELS

2.1. Disk Model

We consider a gas disk around a solar-mass star. We assume that the surface density of the disk gas obeys a power law

$$\Sigma(r) = 1.7 \times 10^3 f_c \left(\frac{r}{1 \text{ AU}}\right)^{-3/2} \text{ g cm}^{-2},$$

(1)

where $r$ is the distance from the central star and $f_c$ is a dimensionless parameter. The choice of $f_c = 1$ corresponds to the minimum-mass solar nebula (MMSN) model of Hayashi (1981), which we take as the fiducial model.

We assume that the disk is optically thin and give the temperature profile as (Hayashi 1981)

$$T(r) = 280 \left(\frac{r}{1 \text{ AU}}\right)^{-1/2} \text{ K},$$

(2)

where the central star is assumed to have the solar luminosity.

The sound speed is given by $c_s = \sqrt{kT/m_n}$, where $m_n$ is the mass of a neutral gas particle and $k$ is the Boltzmann constant. Assuming $m_n = 2.34$ amu and using Equation (2), we have

$$c_s(r) = 1.0 \times 10^5 \left(\frac{r}{1 \text{ AU}}\right)^{-1/4} \text{ cm s}^{-1}.$$

(3)

We assume that the gas disk is hydrostatic in the vertical direction and give the vertical distribution of the gas density as

$$\rho(r, z) = \rho_c(r) \exp\left(-\frac{z^2}{2H^2}\right),$$

(4)

where $\rho_c$ is the midplane density and $H = c_s/\Omega$ is the gas scale height, with $\Omega = 2.0 \times 10^{-7} \text{ (r/1 AU)}^{-3/2} \text{ s}^{-1}$ being the orbital frequency (note that a solar-mass star is assumed). Using the relation $\Sigma = \int_{-\infty}^{\infty} \rho dz = \sqrt{\pi} H \rho_c$, we have

$$\rho_c(r) = 1.4 \times 10^{-9} f_c \left(\frac{r}{1 \text{ AU}}\right)^{-11/4} \text{ g cm}^{-3}.$$

(5)

Thus, the number density of gas particles $n_n = \rho/m_n$ is given as

$$n_n(r, z) = 3.5 \times 10^{14} f_c \left(\frac{r}{1 \text{ AU}}\right)^{-11/4} \exp\left(-\frac{z^2}{2H^2}\right) \text{ cm}^{-3}.$$

(6)

As we will describe in Section 3.1, the criteria for MRI depend on the magnetic field strength in the disk. Following Sano et al. (2000), we consider a net (large-scale) vertical field $B_\phi$ threading the disk and specify its strength with the plasma beta at the midplane, $\beta_p = 8\pi \rho_c c_e^2 / B_\phi^2$. If we use Equations (3) and (5), the net vertical field strength can be expressed as

$$B_\phi(r) = 0.59 f_c^{3/2} \left(\frac{\beta_p}{1000}\right)^{1/2} \left(\frac{r}{1 \text{ AU}}\right)^{-13/8} \text{ G}.$$

(7)

For simplicity, we will assume that $\beta_p$ is constant in the radial direction.

The charge reaction model adopted in this study takes into account the effects of grain charging on the ionization balance. For simplicity, we assume that dust grains are well mixed in the disk and internal density $\rho_c$ (fixed to be $3 \text{ g cm}^{-3}$). From these assumptions, the number density of dust grains $n_d$ is given by $3f_{de}\rho/(4\pi a^2 \rho_c)$, which is expressed as

$$n_d(r, z) = 1.1 \times 10^{3} f_{de} \left(\frac{f_{de}}{0.01}\right) \left(\frac{\rho_c}{3 \text{ g cm}^{-3}}\right)^{-1} \left(\frac{a}{0.1 \mu \text{m}}\right)^{-3} \times \left(\frac{r}{1 \text{ AU}}\right)^{-11/4} \exp\left(-\frac{z^2}{2H^2}\right) \text{ cm}^{-3}.$$

(8)

The disk is assumed to be ionized by galactic cosmic rays, stellar X-rays, and radioisotopes. The ionization rate can be expressed as

$$\zeta = \zeta_{\text{CR}} + \zeta_{\text{XR}} + \zeta_{\text{RN}},$$

(9)

where $\zeta_{\text{CR}}$, $\zeta_{\text{XR}}$, and $\zeta_{\text{RN}}$ stand for the contributions from cosmic rays, X-rays, and radioactive decay, respectively. The cosmic-ray distribution is expressed as (Umebayashi & Nakano 2009)

$$\zeta_{\text{CR}} = \frac{\zeta_{\text{CR,0}}}{2} \left\{ \exp\left(-\frac{\chi}{\chi_{\text{CR}}}\right) \left[1 + \left(\frac{\chi}{\chi_{\text{CR}}}\right)^{3/4}\right]^{4/3} \right\} + \exp\left(-\frac{\chi}{\chi_{\text{CR}}}\right) \left[1 + \left(\frac{\chi}{\chi_{\text{CR}}}\right)^{3/4}\right]^{4/3},$$

(10)

where $\zeta_{\text{CR,0}} = 1.0 \times 10^{-17} \text{ s}^{-1}$ is the characteristic ionization rate of cosmic rays, $\chi(r, z) = \int_{-\infty}^{\infty} \rho(r, z') dz'$ is the vertical gas column density above height $z$, and $\chi_{\text{CR}} = 96 \text{ g cm}^{-2}$ is the
attenuation depth of ionizing cosmic rays. The ionization rate of X-rays is expressed as \( \zeta_{\text{XR}} \) with a Maxwellian with temperature \( T_e \). The approach greatly simplifies the analytic expressions of the rate coefficients that otherwise involve confluent hypergeometric functions (see Section 3 of OI15). Such an approach was originally proposed by Hershey (1939) for calculating the mobility of heavy ions at a high electric field, and OI15 followed this approach to compute the ion temperature \( T_i \). In this study, we apply this approach to both \( T_i \) and \( T_e \). Second, we neglect the impact ionization of neutral molecules by electrically heated electrons by assuming that the electron energy in MRI turbulence is well below the ionization potential of the neutrals (~10 eV). The results of our calculations show that this assumption holds in most parts of protoplanetary disks.

We denote the mean drift velocity and mean kinetic energy of a charged species \( \alpha \) (=i for ions, \( e \) for electrons) by \( \langle v_\alpha \rangle \) and \( \langle e_\alpha \rangle \), respectively. In a weakly ionized gas with an applied electric field \( E \), the momentum and energy of the charged species are determined by the balance between the neutral gas drag and acceleration by the electric field (Hershey 1939). Explicitly, the solution of the momentum and energy balance equations can be written as (Equations (9) and (10) of OI15)

\[
\langle v_\alpha \rangle = \frac{m_\alpha + m_n}{m_n} q_\alpha E \Delta t_\alpha, \quad (13)
\]

\[
\langle e_\alpha \rangle = \frac{3}{2} kT + \frac{m_\alpha}{2(\rho_n)\sigma_{\text{en}}} (q_\alpha E \Delta t_\alpha)^2, \quad (14)
\]

where \( q_\alpha, m_\alpha, \) and \( \Delta t_\alpha \) are the charge, mass, and mean free time of the plasma particles, respectively (e.g., \( q_\alpha = -e \) and \( q_e = e \), where \( e \) is the elementary charge). Since the magnetic field is neglected in this study, the mean drift velocity is parallel to the electric field. In a weakly ionized gas, the plasma mean free time is determined by neutral gas particles,

\[
\Delta t_\alpha = (n_n \langle \sigma_{\text{en}} v_{\text{en}} \rangle)^{-1}, \quad (15)
\]

where \( \langle \sigma_{\text{en}} v_{\text{en}} \rangle \) is the relative velocity between a plasma particle and a neutral particle and \( \sigma_{\text{en}} \) is the momentum-transfer cross section for the plasma–neutral collision. For electrons, \( \sigma_{\text{en}} \) is approximately constant at low energies (Yoon et al. 2008), and therefore we may approximate \( \langle \sigma_{\text{en}} v_{\text{en}} \rangle \) as \( \sigma_{\text{en}} \langle v_{\text{en}} \rangle \). For ions, \( \langle \sigma_{\text{en}} v_{\text{en}} \rangle \) is approximately constant owing to the polarization force between ions and neutrals (Wannier 1953). Equations (13) and (14) are exact only when \( \Delta t_\alpha \) is constant, but are still sufficiently accurate even when \( \Delta t_\alpha \) is velocity dependent (Wannier 1953).

The plasma temperature \( T_e \) is defined so that \( 3kT_e/2 \) is equal to the kinetic energy of random motion, \( \langle e_\alpha \rangle - m_\alpha \langle v_\alpha^2 \rangle/2 \).

Using Equations (13) and (14), \( T_e \) can be written as

\[
T_e = T + \frac{(m_n + m_\alpha)^2}{3km_n^2 m_\alpha} (q_\alpha E \Delta t_\alpha)^2. \quad (16)
\]

For electrons, we approximate \( \langle v_{\text{en}} \rangle \) in \( \Delta t_e \) with \( \langle v_{\text{en}}^2 \rangle^{1/2} = \sqrt{3kT_e/m_e} \). This allows us to solve Equation (16) with respect to \( T_e \), and we obtain

\[
T_e = T \left( \frac{1}{2} + \sqrt{\frac{1}{4} + 2 \left( \frac{E}{E_{\text{crit}}} \right)^2} \right). \quad (17)
\]
where

\[ E_{\text{crit}} = \sqrt[\frac{6m_e}{m_n} kT_n \sigma_{en}} \]  

(18)

is the critical field strength above which electron heating becomes significant. We have assumed \( m_e \ll m_n \) in deriving Equation (17). For ions, Equation (16) directly gives

\[ T_i = T \left( 1 + \frac{2(m_i + m_n)^2 m_e}{m_i^2 m_n^2} \sigma_{en}^2 kT \right) \left( \frac{E}{E_{\text{crit}}} \right)^2, \]

\[ = T \left( 1 + \frac{7.6 \times 10^{-7}}{T_{100 \text{ K}} \left( \frac{E}{E_{\text{crit}}} \right)^2} \right), \]

(19)

where we have set \( \langle \sigma_{en} \rangle \) = 1.6 \times 10^{-9} \text{cm}^3 \text{s}^{-1} \) (Nakano & Umebayashi 1986) and \( \sigma_{en} = 10^{-15} \text{cm}^2 \) (Yoon et al. 2008) in the second expression and used \( m_i = 29 \text{amu} \).

2.3. Ionization Balance and Accuracy of Simplified Approach

We calculate the plasma densities in a protoplanetary disk taking into account grain charging. The equations that describe the ionization balance in a dusty disk are (Equations (32), (33), and (35) of OI15)

\[ \dot{n}_i - K_{\text{rec}}(T_e)n_i n_e - K_{\text{de}}(\phi, T_e)n_d n_e = 0, \]

(20)

\[ \dot{n}_i - K_{\text{rec}}(T_e)n_i n_e - K_{\text{di}}(\phi, T_e)n_d n_i = 0, \]

(21)

\[ n_i - n_e + Z n_d = 0, \]

(22)

where \( n_e \) and \( n_i \) are, respectively, the number density of electrons and positive ions, \( K_{\text{rec}} \) is the gas-phase recombination rate, \( K_{\text{de}} \) and \( K_{\text{di}} \) are the adsorption rates of electrons and ions onto grains, \( Z \) is the grain charge number, and \( \phi \) is the Coulomb potential on a grain surface. \( \phi \) is related to \( Z \) as

\[ \phi = \frac{eZ}{a}. \]

(23)

As the collisional frequency, \( K_{\text{rec}} \) and \( K_{\text{de}} \) depend on the electron temperature \( T_e \), while \( K_{\text{di}} \) depends on the ion temperature \( T_i \). \( K_{\text{de}} \) and \( K_{\text{di}} \) also depend on the Coulomb potential of a grain surface \( \phi \). For HCO\(^+\), the recombination rate \( K_{\text{rec}} \) is given by (Ganguli et al. 1988)

\[ K_{\text{rec}}(T_e) = 2.4 \times 10^{-7} \left( \frac{T_e}{300 \text{ K}} \right)^{0.66} \text{cm}^3 \text{s}^{-1}. \]

(24)

Approximating the ion velocity distribution by a Maxwellian with mean velocity \( \langle v_i \rangle \) and temperature \( T_i \), \( K_{\text{di}} \) is given by (Shukla & Mamun 2002; OI15)

\[ K_{\text{di}}(\phi, T_i) = \pi a^2 \sqrt{\frac{2kT_i}{m_i}} \exp \left( -\frac{m_i \langle v_i \rangle^2}{2kT_i} \right) \]

\[ + \left| \langle v_i \rangle \right| 1 + \frac{kT_i + 2e|\phi|}{m_i \langle v_i \rangle^2} \]

\[ \times \text{erf} \left( \frac{\left| \langle v_i \rangle \right|}{\sqrt{2kT_i/m_i}} \right) \]

(25)

In this study, we also approximate the electron velocity distribution by a Maxwellian with temperature \( T_e \). The drift velocity \( \langle v_e \rangle \) can be neglected here since the drift speed \( \left| \langle v_e \rangle \right| \) is generally much smaller than the random speed \( \sqrt{kT_e/m_e} \) owing to the smallness of \( m_e/m_i \) (see Golant et al. 1980; Lifshitz & Pitaevskii 1981). The electron adsorption rate coefficient \( K_{\text{de}} \) is given by the simple expression (Shukla & Mamun 2002)

\[ K_{\text{de}}(\phi, T_e) = \pi a^2 \sqrt{\frac{8kT_e}{m_e}} \times \begin{cases} 1 + \frac{e\phi}{kT_e}, & \phi > 0, \\ \exp \left( \frac{e\phi}{kT_e} \right), & \phi < 0. \end{cases} \]

(26)

It should be noted that Equations (25) and (26) assume perfect sticking of ions and electrons onto grain surfaces. This is a good approximation as long as the plasma temperatures are well below 100 eV (see Section 3.2.2 of OI15 for more discussion).

Equations (20)–(22) determine \( n_e, \ n_i, \) and \( Z \) at each location in a disk as a function of \( E \). We solve these equations using the procedure presented by Okuzumi (2009, their Section 2.2; see also Section 3.2.4 of OI15).

To test the accuracy of our simplified approach, we reproduce the current–field relation including plasma heating (the nonlinear Ohm’s law of OI15), adopting the calculation steps in OI15. Current density is generally given by

\[ J(E) = q_e n_e \langle v_e \rangle + q_i n_i \langle v_i \rangle. \]

(27)

Including plasma heating, the number densities depend on the electric field strength \( E \). To obtain the current density, we first calculate plasma temperatures \( T_e \) and \( T_i \) from Equations (17) and (19) in an applied electric field \( E \). We then calculate the number densities of plasma \( n_e \) and \( n_i \) from the ionization balance (Equation (22)). We finally obtain the current density using Equations (13) and (27). In Figure 1, we compare our result with the result of OI15 for the parameter set “model C”
of OI15. We find that our calculation reasonably reproduces the previous result even at high field strengths \( E \gtrsim 10^{-9} \text{ esu cm}^{-2} \) where electron heating is significant. The maximum relative difference between the two results is 37%.

3. ACTIVE, DEAD, AND E-HEATING ZONE

3.1. Conditions for MRI Growth

In the limit of ideal MHD, the criterion for the MRI is given by (Balbus & Hawley 1991)

\[
\lambda_{\text{ideal}} < H, \tag{28}
\]

where

\[
\lambda_{\text{ideal}} = 2\pi \frac{\nu_{Az}}{\Omega} \tag{29}
\]

is the characteristic wavelength of the most unstable axisymmetric MRI modes and \( \nu_{Az} = B_z / \sqrt{4\pi \rho} \) and \( B_z \) are the vertical components of the Alfvén velocity and magnetic field, respectively. Equation (28) expresses that the MRI operates when the length scale of the MRI modes is smaller than the vertical extent of the disk. When viewed as a function of \( z \), \( \lambda_{\text{ideal}} \) increases with \( z \) because \( \rho \) decreases toward the disk surface. If we use Equation (4), the above MRI criterion can be rewritten in terms of height as

\[
z < \sqrt{2 \ln(\beta_2/8\pi^2)} \quad H \equiv H_{\text{ideal}}, \tag{30}
\]

where the height \( H_{\text{ideal}} \) defines the upper boundary of the MRI-active zone.

Inclusion of a finite ohmic resistivity \( \eta \) introduces another criterion for MRI growth. The criterion can be expressed in terms of the Elsässer number (Turner et al. 2007)

\[
\lambda \equiv \frac{v_{Az}^2}{\eta \Omega}. \tag{31}
\]

The instability grows when

\[
\lambda > 1 \tag{32}
\]

and decays when \( \lambda < 1 \) (e.g., Sano & Miyama 1999).

3.2. Zoning Criteria

Here we describe how to determine the turbulent state at a position in protoplanetary disks. Electron heating affects the MRI turbulence when the ionization fraction is sufficiently decreased. We express the condition in which the heating takes place and affects MRI turbulence and then summarize three turbulent states of MRI and steps of zoning a disk into the state.

For electron heating to take place, the field must be sufficiently amplified before MRI turbulence reaches a fully developed state, which means the end of MRI growth. Muranushi et al. (2012) performed a local unstratified resistive MHD simulation and found that the fully developed current density is

\[
J_{\text{max}} = f_{\text{sat}} \sqrt{\frac{\rho}{2\pi}} c\Omega, \tag{33}
\]

where \( f_{\text{sat}} \approx 10 \) according to the results by Muranushi et al. (2012). Here we assume \( f_{\text{sat}} \) to be \( f_{\text{sat}} = 10 \) and the maximum current density is \( J_{\text{max}} \). Thus, when the current density reaches \( J_{\text{max}} \) before the electric field reaches the criterion for electron heating \( E_{\text{crit}} \), MRI turbulence does not cause the electron heating.

As we will describe later in this section, we use current density to decide whether electron heating takes place or not. Therefore, we transform the condition for suppressing MRI into a form using current density. We adopt \( \lambda = 1 \) (Equation (32)) as the criterion for suppressing MRI that is triggered by electron heating. Using the electric conductivity \( \sigma_e \) and the relation \( \eta = c^2/4\pi \sigma_e \), the condition for sustaining MRI turbulence \( \lambda > 1 \) leads to a condition \( \sigma_e > c^2/(4\pi L_{A}^2) \). Under Ohm’s law \( J(E) = \sigma_e E \), the condition can be rewritten as a lower limit to the current density

\[
J(E) \gtrsim J_{\lambda=1}(E), \tag{34}
\]

where

\[
J_{\lambda=1}(E) \equiv \sigma_e (\lambda = 1) E = \frac{c^2 \Omega}{4\pi L_{A}^2} E. \tag{35}
\]

Using the above criteria, we can classify a region in protoplanetary disks into three different zones corresponding to three turbulent states of MRI.

1. Dead zone. Because of the low ionization fraction, ohmic dissipation suppresses the entire unstable MRI mode. Suppressed MRI does not generate turbulence or current density. We will refer to the region where MRI is completely suppressed as the “dead zone.” In this case, the condition of ohmic dissipation (Equation (35)) is satisfied with no MRI turbulence.

2. E-heating zone. Electric fields of MRI turbulence become sufficiently high for electron heating to be caused. The ohmic dissipation is amplified by the electron heating after the MRI grows. We will refer to the region where electron heating affects MRI turbulence as the “e-heating zone,” where the “e” refers to both “electric field” and “electron.” In this case, current density falls down the critical current density of ohmic dissipation (Equation (35)).

3. Active zone. MRI sustains a fully developed turbulent state because the gas is sufficiently ionized so that ohmic dissipation is not efficient. We will refer to the region where vigorous MRI turbulence is sustained as the “active zone” in this study. In this case, the current density \( J \) reaches and sustains its maximum value \( J_{\text{max}} \) before electron heating reduces the MRI turbulence.

We summarize the calculation steps for zoning the disk region under some assumptions. We assume that the electric field strength corresponds to the activity of MRI turbulence since developed MRI generates strong electric fields. The growth of MRI implies increasing electric fields, and the decay of MRI implies decreasing electric fields. Furthermore, we also assume that magnetic fields are not varied by the MRI growth for simplicity. Under these assumptions, we determine the turbulent state at the position with the following steps (see Figure 2): First, we select a calculated position in the region satisfying Equation (30) of a disk. We then calculate values at the position by setting \( E = 0 \). When MRI is initially suppressed by ohmic dissipation, i.e., \( \lambda < 1 \) at \( E = 0 \), the positions belong to the dead zone. During the satisfying unstable condition, i.e., \( \lambda > 1 \), the electric field strength \( E \) is increased from \( E = 0 \) with iterating until the turbulent state at
the position is determined. We calculate current density $J(E)$ and assess some conditions in $E$. When MRI turbulence causes electron heating and ohmic dissipation becomes efficient, i.e., $J(E) = J_{A=1}$, the position belongs to the e-heating zone. When MRI turbulence is fully developed, i.e., $J(E) = J_{e\text{-max}}$, the position belongs to the active zone. We conduct the above steps in the whole region in a disk, and we zone a protoplanetary disk into the dead, active, and e-heating zones.

4. LOCATION OF THE E-HEATING ZONE

We here predict the location of the e-heating zone in protoplanetary disks using the methodology described in Section 3.2. We conduct a parameter study varying the midplane plasma beta $\beta_c$, grain size $a$, dust-to-gas mass ratio $f_{\text{dg}}$, and surface density scaling factor $f_\Sigma$. Following Sano et al. (2000), we select the MMSN ($f_c = 1$ and $q = 3/2$) with $a = 0.1 \mu m$, $f_{\text{dg}} = 0.01$, and $\beta_c = 1000$ as the fiducial model. We start out with this fiducial model in Section 4.1, and we discuss the dependence on the parameters in the subsequent subsections. A summary of the parameter study is given in Table 1. We also describe ion heating in Section 4.5.

4.1. Fiducial Disk Model

Figure 3 shows the two-dimensional (radial and vertical) map of the dead, active, and e-heating zone in the fiducial disk model. The MRI criterion in the ideal MHD limit (Equation (28)) is satisfied at altitudes below $z = H_{\text{ideal}} \approx 2.3H$ (see Equation (30)). The region above this height is MRI-stable with the MRI modes suppressed by too strong magnetic tension. The dead zone is located inside 24 AU from the central star and near the midplane where the gas is shielded from ionizing irradiation. The size of the dead zone for this disk model is consistent with the prediction by Sano et al. (2000) (see their Figure 7(b)), although their dead zone is slightly thicker than

![Flowchart showing key steps of zoning a protoplanetary disk into the dead, active, and e-heating zones.](image)

![Figure 2](image)

![Figure 3](image)

Table 1

| $\beta_c$ | $a$ (\(\mu m\)) | $f_{\text{dg}}$ | $f_\Sigma$ | Outer Radius (AU) | Dead Zone | E-heating Zone |
|----------|-----------------|----------------|------------|-------------------|-----------|---------------|
| $10^2$   | 0.1             | 10^{-2}       | 1          | 18                | 74        |
| $10^3$   | 1               | 10^{-2}       | 1          | 24                | 82        |
| $10^4$   | 0.1             | 10^{-2}       | 1          | 34                | 82        |
| $10^5$   | 0.1             | 10^{-2}       | 1          | 56                | 82        |
| $10^6$   | 0.1             | 10^{-2}       | 1          | 24                | 82        |
| $10^7$   | 1               | 10^{-2}       | 1          | 11                | 39        |
| $10^8$   | 10              | 10^{-2}       | 1          | 8                 | 19        |
| $10^9$   | 100             | 10^{-2}       | 1          | 8                 | 11        |
| $10^{10}$| 0.1             | 10^{-1}       | 1          | 52                | 151       |
| $10^{11}$| 0.1             | 10^{-2}       | 1          | 24                | 82        |
| $10^{12}$| 0.1             | 10^{-3}       | 1          | 12                | 41        |
| $10^{13}$| 0.1             | 10^{-4}       | 1          | 8                 | 20        |
| $10^{14}$| 0.1             | 10^{-2}       | 10         | 55                | 149       |
| $10^{15}$| 0.1             | 10^{-2}       | 3          | 36                | 114       |
| $10^{16}$| 0.1             | 10^{-2}       | 1          | 24                | 82        |
| $10^{17}$| 0.1             | 10^{-2}       | 0.3        | 14                | 44        |

![Cross-section view of the fiducial protoplanetary disk indicating the location of the dead, e-heating, and active zones (red, green, and blue regions, respectively). The dashed line shows the gas scale height $H$, while the dotted line shows the critical height $H_{\text{ideal}}$ below which the MRI criterion in the ideal MHD limit is satisfied (see Equation (30)).](image)
ours because of the neglect of X-ray ionization. We find that the e-heating zone extends from the outer edge of the dead zone out to 82 AU from the central star. This means that MRI turbulence can develop without being affected by electron heating only in the outermost region of $r < 80$ AU.

To illustrate how our zoning criteria work in this particular example, we plot in Figure 4 the relation between the current density $J$ and electric field strength $E$ in the midplane at 15 AU (top left panel), 45 AU (top right panel), and 90 AU (bottom panel), which represent the $J$–$E$ relations in the dead, e-heating, and active zones, respectively. The thick solid line shows the current–field relation $J(E)$, the dotted line the maximum current density of MRI, $J_{\text{max}}$ (Equation (33)), the vertical gray solid line the criterion for electron heating, $E_{\text{crit}}$ (Equation (18)), and the dashed line the critical current density $J_{\text{crit}}$ below which the MRI decays owing to ohmic dissipation (Equation (35)). The black dots on the $J$–$E$ relations indicate the saturation points at which either fully developed ($J(E) = J_{\text{max}}$) or self-regulated ($J(E) = J_{\text{crit}}$) MRI turbulence is sustained.

Figure 4. Relations between the current density $J$ and electric field strength $E$ in the midplane at 15 AU (top left panel), 45 AU (top right panel), and 90 AU (bottom panel), which represent the $J$–$E$ relations in the dead, e-heating, and active zones, respectively. The thick solid line shows the current–field relation $J(E)$, the dotted line the maximum current density of MRI, $J_{\text{max}}$ (Equation (33)), the vertical gray solid line the criterion for electron heating, $E_{\text{crit}}$ (Equation (18)), and the dashed line the critical current density $J_{\text{crit}}$ below which the MRI decays owing to ohmic dissipation (Equation (35)). The black dots on the $J$–$E$ relations indicate the saturation points at which either fully developed ($J(E) = J_{\text{max}}$) or self-regulated ($J(E) = J_{\text{crit}}$) MRI turbulence is sustained.

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4.2. Dependence on the Magnetic Field Strength

Figure 5 shows how the sizes of the dead and e-heating zones depend on the midplane plasma beta $\beta_e$. Recall that a higher $\beta_e$ corresponds to a weaker magnetic field $B$ threading...
the disk. As we increase $\beta_c$, the dead zone expands because the Elsässer number $B^2 L \mu$ decreases. On the other hand, we find that the boundary between the e-heating and active zones is less sensitive to the choice of $\beta_c$. As can be inferred from the top right and bottom panels of Figure 4, this boundary is approximately determined by the condition that the current density $J(E)$ reaches $J_{\text{max}}$ at a local maximum lying at $E \approx E_{\text{cutter}}$. Since both $E_{\text{cutter}}$ and $J_{\text{max}}$ are independent of $B$ and hence of $\beta_c$, so is the boundary between the e-heating and active zones.

4.3. Dependence on the Grain Size and Dust-to-gas Mass Ratio

The size and amount of dust grains in disks are important parameters in the ionization model as they efficiently remove plasma particles from the gas phase. Obviously, these quantities change as the grains coagulate, settle, or are incorporated by even larger solid bodies like planetesimals. We here explore how the change of these parameters affects the size of the dead and e-heating zones.

To begin with, we show in Figure 6 the location of the dead, active, and e-heating zones with the dust-to-gas ratio $f_{\text{dg}}$ fixed to 0.01 but with the grain size $a$ varying between 0.1 and 100 $\mu$m. We can see that the e-heating zone shrinks with increasing grain size. On increasing $a$ by a factor of 10, the outer radius of the e-heating zone decreases by a factor of $\approx 2$. Qualitatively, this is simply because the ionization fraction of the gas increases with decreasing total surface area of the grains. Equation (20) shows that the electron abundance $x_e = n_e / n_\text{H}$ in equilibrium is inversely proportional to the total surface area of grains per unit volume $4\pi a^2 n_d$ as long as adsorption of plasma particles onto the grains dominates over gas-phase recombination. When dust grains aggregate, their total surface area decreases inversely proportional to $a$, and hence the electron abundance increases linearly with $a$. The resulting increase in the electric conductivity causes a shift of the $J-E$ curve toward higher $J$, enabling the curve to cross the $J = J_{\text{max}}$ line at smaller orbital radii. We also find that the outer radius of the dead zone decreases at a similar rate to that of the e-heating zone when we go from $a = 0.1 \mu$m to 1 $\mu$m. However, the decrease in the dead zone size stops beyond this grain size because gas-phase recombination takes over plasma adsorption onto dust grains. As a consequence, the e-heating zone becomes narrower and narrower as $a$ increases beyond 10 $\mu$m.

Decreasing the dust-to-gas mass ratio $f_{\text{dg}}$ has a similar effect to increasing the grain radius because the total surface area of the grains is linearly proportional to $f_{\text{dg}}$. This can be seen in Figure 7, where we show the location of the dead and e-heating...
zones for \( a = 0.1 \, \mu m \) with \( f_{\text{dg}} \) varying between \( 10^{-1} \) and \( 10^{-4} \). We see that the outer radii of the active and e-heating zones decrease by a factor of \( \approx 2 \) when \( f_{\text{dg}} \) is decreased by a factor of 10. This trend is similar to what we have seen when increasing the grain radius by the same factor.

4.4. Dependence on the Disk Mass

Finally, we examine how the size of the e-heating zone depends on the disk mass. Figure 8 shows the location of the e-heating zone for different values of the surface density scaling factor \( f_{\Sigma} \). Here we fix the dust-to-gas mass ratio \( f_{\text{dg}} \) so that both the gas and dust densities scale with \( f_{\Sigma} \). We find that the e-heating zone expands toward larger orbital radii and higher altitudes as \( f_{\Sigma} \) increases. In the horizontal direction, the expansion is mainly due to the increased amount of dust grains with increasing \( f_{\Sigma} \). As we have explained in Section 4.3, the ionization fraction of the gas scales inversely with \( 4\pi a^2 n_e \) and hence with \( f_{\Sigma} \). Therefore, increasing \( f_{\Sigma} \) by a factor has the same effect as increasing \( f_{\text{dg}} \) by the same factor as long as the ionization rate \( \zeta \) is unchanged (which is approximately true at \( \approx 100 \, \text{AU} \), where cosmic rays penetrate down to the midplane). This is exactly what we see in Figures 7 and 8, where the e-heating zone expands to 150 AU when either \( f_{\text{dg}} \) or \( f_{\Sigma} \) is increased by the factor of 10 from the fiducial value. By contrast, the vertical expansion of the e-heating zone is caused by the attenuation of X-rays that occurs at higher altitudes with increasing gas column density.

4.5. Ion Heating

We observe ion heating in two cases where \( \beta_c = 100 \) and where \( f_{\text{dg}} = 0.1 \). Figure 9 plots the distribution of the ion temperature \( T_i \) in the saturated state for these cases. In the case of \( \beta_c = 100 \) (top panel of Figure 9), \( T_i \) is 3–4 times higher than the temperature in a region slightly outside the e-heating zone. In this case, the Elsässer number \( \Lambda \) exceeds unity even after electron heating reduces \( \Lambda \). This allows the electric field strength to reach the critical value for ion heating (\( E \approx 10^3 \, E_{\text{crit}} \)) in the vicinity of the e-heating zone. In the case of \( f_{\text{dg}} = 0.1 \) (bottom panel of Figure 9), ion heating takes place near the upper boundary of the e-heating zone. However, the region is very narrow, and the temperature rise is less than the fiducial value. Therefore, in this case, ion heating might be practically negligible.

5. SATURATION OF TURBULENCE IN THE E-HEATING ZONE

We have shown in Section 4 that self-regulation of the MRI due to electron heating can occur over a large region of
protoplanetary disks. Then the question arises how strongly the e-heating will suppress the MRI turbulence in the e-heating zones. This question can only be fully addressed with MHD simulations including magnetic diffusion and electron heating in a self-consistent manner, which is far beyond the scope of this study. In this section, we attempt to estimate the saturation level of MRI turbulence from simple scaling arguments.

As usual, we quantify the strength of turbulence with the Shakura–Sunyaev \( \alpha \) parameter \( \alpha = T_{\alpha \phi}/P \), where \( P = \rho c_s^2 \) is the gas pressure and \( T_{\alpha \phi} \) is the radial component of turbulent stress. In MRI-driven turbulence, \( T_{\alpha \phi} \) is generally dominated by the turbulent Maxwell stress \( \delta B_\phi \delta B_\phi/4\pi \) (Hawley et al. 1995; Miller & Stone 2000), where \( \delta B_\phi \) and \( \delta B_\phi \) are the radial and azimuthal components of the turbulent (fluctuating) magnetic fields. Therefore, we evaluate the \( \alpha \) parameter for MRI turbulence as

\[
\alpha_{\text{MRI}} \approx -\frac{\delta B_\phi \delta B_\phi}{4\pi \rho c_s^2}.
\]

(36)

In reality, the Reynolds stress (Fleming & Stone 2003; Okuzumi & Hirose 2011) or the coherent component of the Maxwell stress (e.g., Turner & Sano 2008; Gressel et al. 2011) can dominate over the turbulent Maxwell stress at locations where the MRI is significantly suppressed. However, we do not include these components in our \( \alpha_{\text{MRI}} \) because they do not reflect the local MRI activity at such locations (see the references above).

Next, we relate the amplitude of turbulent magnetic fields to the amplitude of the electric current density \( J = |J| \) using Ampere’s law \( J = (c/4\pi) \nabla \times B \). We neglect large-scale, coherent components in \( B \) since the electric current is inversely proportional to the length scale of fields. We assume that the magnetic field in MRI-driven turbulence is dominated by the azimuthal component \( \delta B_\phi \) and varies over a length scale \( \sim \lambda_{\text{ideal}} \), where \( \lambda_{\text{ideal}} \) is the wavelength of the most unstable MRI modes already introduced in Equation (29). Then, from Ampere’s law, one can estimate the magnitude of the current density as

\[
J = \frac{c}{4\pi} |\nabla \times B| \\
\approx \frac{c}{4\pi} \frac{\nu_A}{\Omega} \delta B_\phi = \frac{\rho}{4\pi} c_s \Omega \delta B_\phi \frac{B_r}{B_z},
\]

(37)

where we have replaced the derivative \( \nabla \) with wavenumber \( 2\pi/\lambda_{\text{ideal}} = \nu_A/\Omega \). If we use the maximum current \( I_{\text{max}} \) for fully developed MRI turbulence (Equation (33)), Equation (37) results in a simple scaling relation

\[
\frac{\delta B_\phi}{B_z} \approx 10 \sqrt{2} \frac{J}{J_{\text{max}}}. 
\]

(38)

For fully developed MRI turbulence where \( J \approx J_{\text{max}} \), the above equation predicts \( \delta B_\phi/B_z \approx 10 \), in agreement with the results of MHD simulations (e.g., Hawley et al. 1995; Sano et al. 2004). Now let us consider situations where e-heating is so effective that the growth of the MRI is saturated at \( J \approx J_{\text{MRI,mid}} \). Assuming \( \delta B_\phi \lesssim B_0 \) for this case, we have

\[
\delta B_\phi \approx 10 \sqrt{2} B_0 \frac{J}{J_{\text{max}}}. 
\]

(39)

This equation predicts the amplitude of \( \delta B_\phi \) as a function of \( B_0 \) and \( J/J_{\text{max}} \). MHD simulations show that \( \delta B_\phi \approx -(0.4 \pm 0.6)B_0 \) in MRI turbulence (Hawley et al. 1995; Sano et al. 2004). Assuming that this scaling also holds in our case, we have \( \delta B_\phi \approx -100B_0^2/(J_{\text{max}})^2 \).

Finally, substituting this into Equation (36), we obtain the scaling relation between \( \alpha_{\text{MRI}} \) and \( J/J_{\text{max}} \),

\[
\alpha_{\text{MRI}} \approx \frac{100B_0^2}{4\pi c_s^2} \left( \frac{J}{J_{\text{max}}} \right)^2 \\
\approx 0.2 \left( \frac{\beta_0}{1000} \right)^{-1} \left( \frac{J}{J_{\text{max}}} \right)^2.
\]

(40)

where \( \beta_0 \approx 8\pi \rho c_s^2/B_0^2 = \beta_0 \exp(-z^2/2H^2) \) is the plasma beta (not necessarily at the midplane) associated with the net vertical field \( B_\phi \). Formally, the derivation leading to Equation (40) breaks down when MRI is so active that \( \delta B_\phi \gg B_0 \) and \( J \approx J_{\text{max}} \). Nevertheless, we find that Equation (40) reproduces the results of ideal MHD simulations with a reasonably good accuracy. Equation (40) predicts that \( \alpha_{\text{MRI}} \approx 2 \) for \( \beta_0 = 10^2 \) and \( \alpha_{\text{MRI}} \approx 0.02 \) for \( \beta_0 = 10^2 \) when \( J = J_{\text{max}} \). These are consistent with the results of isothermal simulations by Sano et al. (2004) showing that the Maxwell component of \( \alpha \approx 1 \) for \( \beta_0 = 10^2 \) and \( \sim 0.01 \) for \( \beta_0 = 10^4 \) (see their Table 2, column (10)). Therefore, we will apply Equation (40) to both the e-heating zone and active zone.

The left panel of Figure 10 shows the radial distribution of \( \alpha_{\text{MRI}} \) for the fiducial disk model predicted from Equation (40). Here we plot the midplane value \( \alpha_{\text{MRI},\text{mid}} \equiv \alpha_{\text{MRI}}(z = 0) \) and the density-weighted average in the vertical direction,

\[
\bar{\alpha}_{\text{MRI}} \equiv \frac{\int_{-H_{\text{ideal}}}^{H_{\text{ideal}}} \alpha_{\text{MRI}}(z') \rho(z') \, dz'}{\int_{-H_{\text{ideal}}}^{H_{\text{ideal}}} \rho(z') \, dz'}.
\]

(41)

where we have assumed \( \alpha_{\text{MRI}} = 0 \) in the magnetically dominated atmosphere at \( |z| > H_{\text{ideal}} \). The former quantity...
measures the MRI activity at the disk midplane, while the latter quantity is more closely related to the vertically integrated mass accretion rate (Suzuki et al. 2010). For the fiducial disk model, we find that $\alpha_{\text{MRI,mid}} \sim 10^{-5}$ and $10^{-3}$ at the inner and outer edge of the e-heating zone (20 and 80 AU), respectively. These values are more than two orders of magnitude lower than the value $\alpha_{\text{MRI,mid}} = 0.2$ in the active zone ($r \gtrsim 80$ AU). This implies that the MRI is “virtually dead” deep inside the e-heating zone. We also find that $\alpha_{\text{MRI,mid}}$ changes discontinuously at the boundary between the e-heating and active zones. The reason is that when the saturated state changes at the point, $J/J_{\text{max}}$ also changes from unity to one order of magnitude of the N-shaped current–field relation (see top right and bottom panels of Figure 4). The vertical average $\bar{\alpha}_{\text{MRI}}$ decreases more slowly with decreasing $r$, because the upper layer of the disk remains MRI-active (see Figure 3). This picture is qualitatively similar to the classical layered accretion model of Gammie (1996). In the right panel of Figure 10, we also plot the radial distribution of $\alpha_{\text{MRI,mid}}$ and $\bar{\alpha}_{\text{MRI}}$ for a disk with $\beta_e = 10^4$. We find that $\alpha_{\text{MRI,mid}}$ in the e-heating zone is almost unchanged from the fiducial disk. The reason is that the increase of $(J/J_{\text{max}})^2 \approx 10$ cancels out the depletion of $\beta_e^{-1} \approx 10^{-1}$ in Equation (40). Therefore, $\alpha_{\text{MRI,mid}}$ remains at a low saturation level.

In summary, our simple estimate predicts that MRI turbulence can be significantly suppressed in the e-heating zone. In this sense, the e-heating zone acts as an extended dead zone. However, our estimate relies on the hypothetical scaling between the turbulent Maxwell stress and $J/J_{\text{max}}$, which is as yet justified by MHD simulations. In order to test our prediction, we will perform resistive MHD simulations including electron heating in future work.

6. CHARGE BARRIER AGAINST DUST GROWTH IN THE E-HEATING ZONE

So far we have focused on the role of electron heating on the saturation of MRI turbulence. As pointed out by OI15, electron heating also has an important effect on the growth of dust grains. In an ionized gas, dust grains tend to be negatively charged because electrons collide and stick to dust grains more frequently than ions. The resulting Coulomb repulsion slows down the coagulation of the grains through Brownian (thermal) motion. This “charge barrier” is also present in weakly ionized protoplanetary disks, in which dust grains tend to be charged as a fully ionized gas when their size is larger than 1 $\mu$m (Okuzumi 2009; Matthews et al. 2012). The important role of electron heating in this context is that electron heating further promotes the negative charging of the grains, because the grain charge in a plasma is linearly proportional to the electron temperature (e.g., Shukla & Mamun 2002). In this section, we explore how this affects dust coagulation in the e-heating zone.

For simplicity, let us assume that dust grains have the single radius $a$ and charge $Z$. The grains can collide with each other if the condition

$$\mathcal{E}_{\text{col}} > \mathcal{E}_{\text{ele}}$$

is satisfied (Okuzumi 2009). Here $\mathcal{E}_{\text{col}}$ is the kinetic energy of the relative motion of two colliding grains, and

$$\mathcal{E}_{\text{ele}} \approx \frac{(eZ)^2}{2a}$$

is the Coulomb repulsion energy of the grains just before contact. We focus on small dust grains near the midplane and assume that the relative motion is dominated by Brownian motion and turbulence-induced motion. Then, the kinetic
Energy of relative motion can be expressed as

$$\mathcal{E}_{\text{col}} = \mathcal{E}_{\text{Brown}} + \mathcal{E}_{\text{turb}},$$

where $\mathcal{E}_{\text{Brown}}$ and $\mathcal{E}_{\text{turb}}$ are the kinetic energy of Brownian motion and turbulence-induced motion, respectively. Brownian motion is the thermal motion of grains, and $\mathcal{E}_{\text{Brown}}$ is approximately expressed as

$$\mathcal{E}_{\text{Brown}} \approx \frac{1}{2} \mu u^2,$$

where the thermal velocity of grains $u$ is expressed as $u = \sqrt{8kT/\pi m}$ and the reduced mass of grains $\mu$ is expressed as $\mu = m^2/(m + m) = m/2$. The relative energy of turbulence-induced motion is expressed as

$$\mathcal{E}_{\text{turb}} \approx \frac{1}{2} \mu (\Delta u)^2,$$

where $\Delta u$ is the relative velocity of the grains excited by turbulence. For small grains, $\Delta u$ is approximately given by (Weidenschilling 1984; Ormel & Cuzzi 2007)

$$\Delta u \approx \sqrt{\alpha_{\text{disp}} \Re^2 c_s \Omega \tau_c},$$

where $\alpha_{\text{disp}} = \langle \delta v^2 \rangle/c_s^2$ is the velocity dispersion of the gas $\langle \delta v^2 \rangle$ normalized by $c_s^2$, $\Re$ is the Reynolds number of turbulence, and

$$\tau_c = \rho_s a^2 / (8\pi c_s \rho),$$

is the stopping time of the grains (we have adopted Epstein’s drag law for $\tau_c$). The Reynolds number is expressed as $\Re = \alpha_{\text{disp}} c_s^2 \Omega / \rho_{\text{mol}} v_{\text{mol}}$, where $\rho_{\text{mol}}$ is the molecular viscosity. We estimate $\alpha$ with and without electron heating, using Equation (40) presented in Section 5. Turbulence dominates the collisional energy when $\alpha_{\text{disp}}$ is high and/or $\alpha$ is large. For the moment, we simply assume $\alpha_{\text{disp}} = \alpha_{\text{MRI}}$, where $\alpha_{\text{MRI}}$ is the normalized local Maxwell stress introduced in Equation (36). This assumption holds when the Reynolds stress in the e-heating zone is comparable to the Maxwell stress. In reality, the Reynolds stress in the e-heating zone might be higher than the Maxwell stress for a reason discussed later. Therefore, the estimate of $\mathcal{E}_{\text{turb}}$ presented here should be taken as a lower limit.

To obtain $Z$ and $\alpha_{\text{MRI}}$, we calculate the ionization fraction (Section 2.3), determine the turbulent state (Section 3.2), and estimate the MRI-turbulent viscosity (Section 5) with changing grain radius $a$ at a location. We then obtain $\mathcal{E}_{\text{col}}$ and $\mathcal{E}_{\text{elc}}$ by the above-mentioned method. It should be noted that grains have a single size and changing grain radius means changing the size of all grains at the location. Thus, the turbulent state at the location also depends on $a$.

In Figure 11, we plot the ratio $\mathcal{E}_{\text{elc}}/\mathcal{E}_{\text{col}}$ as a function of $a$ at 35 AU in the midplane for the fiducial disk model. The ratio quantifies the effectiveness of the charge barrier: the collisional cross section of two equally charged grains is significantly suppressed when $\mathcal{E}_{\text{elc}}/\mathcal{E}_{\text{col}} \gg 1$. We find that electron heating significantly enhances the charge barrier for submicron-sized grains. If electron heating is not included, this location belongs to the dead zone and the active zone, with grain size being $<0.05 \mu m$ and $\geq 0.05 \mu m$, respectively. In this case, $\mathcal{E}_{\text{elc}}/\mathcal{E}_{\text{col}}$ is much lower than unity in all $a$. Thus, we can conclude that dust grains at this location can grow without the charge barrier. On the other hand, if electron heating is included, this location belongs to the e-heating zone when $0.05 \mu m \leq a \lesssim 2 \mu m$, which is the vertical diffusion timescale. Okuzumi et al. (2011) showed that the charge barrier is overcome on a timescale of $10^5$–$10^6$ yr, but they did not consider the amplification of grain charging due to electron heating. How much the growth is delayed in the e-heating zone can easily be lifted up to such high altitudes if only weak turbulence is present there (Turner et al. 2010; see also dust scale height $H_d$ in Section 7.1). The lifted grains are allowed to collide and grow there until they fall back to the e-heating zone. In this way, small grains in the e-heating zone are able to continue growing on a timescale much longer than the vertical diffusion timescale. Okuzumi et al. (2011) showed that the charge barrier is overcome on a timescale of $10^5$–$10^6$ yr, but they did not consider the amplification of grain charging due to electron heating. How much the growth is delayed in the presence of electron heating should be studied in future work.

Another potentially important mechanism is dust stirring by random sound waves. It is known that the Reynolds stress in a...
dead zone exceeds the Maxwell stress because of sound waves propagating from upper MRI-active layers (e.g., Fromang & Papaloizou 2006; Turner et al. 2010; Okuzumi & Hirose 2011). If this is also the case for our e-heating zone, the assumption \( \alpha_{\text{MRI}} = \alpha_{\text{cr}} \) would significantly underestimate the particle collision energy in the e-heating zone. To estimate this effect, we now calculate \( \alpha_{\text{disp}} \) using an empirical formula for the gas velocity dispersion in the dead zone (Okuzumi & Hirose 2011),

\[
\langle \delta v^2 \rangle \approx 0.78 \alpha_{\text{MRI}} \bar{c}^2 \exp \left( \frac{z^2}{2H^2} \right),
\]

where \( \alpha_{\text{MRI}} \) is the density-weighted vertical average of \( \alpha_{\text{MRI}} \) defined by Equation (41). Equation (49) expresses the amplitude of random sound waves inside a dead zone. Figure 12 shows \( \mathcal{E}_{\text{col}} / \mathcal{E}_{\text{col}} \) in this case and is obtained in the same way as in Figure 11, but we here use Equation (49) for \( \alpha_{\text{disp}} \) in Equation (47). The use of Equation (47) for the sound-wave-driven collision velocity assumes that the time correlation of the waves’ velocity fluctuations exponentially decays on the timescale of \( \Omega^{-1} \) as in the Kolmogorov turbulence. We find that \( \mathcal{E}_{\text{col}} / \mathcal{E}_{\text{col}} \) now falls below unity at all grain sizes. Thus, sound waves traveling from MRI-active layers, if they exist, could help dust overcome the charge barrier in the e-heating zone. However, the argument made here is not conclusive because the induced collision velocity depends on the assumed time correlation function, or equivalently power spectrum, of the random sound waves. If the power spectrum of the waves has only a small amplitude at high frequencies (to which small dust particles are sensitive) compared to the turbulent spectrum, the wave-induced collision velocity would be lower than given by Equation (47). The spectrum of velocity fluctuations in the e-heating zone should be studied in future MHD simulations.

7. DISCUSSION

7.1. Dust Diffusion

We have assumed so far that the dust-to-gas mass ratio is vertically constant. This assumption breaks down when dust particles settle toward the midplane. If this is the case, the dust-to-gas ratio would decrease at high altitudes, and consequently the e-heating zone would shrink in the vertical direction as expected from Figure 7.

However, as we will show below, dust settling is negligible even in the e-heating zone because even weak turbulence is able to diffuse small grains to high altitudes. Youdin & Lithwick (2007) analytically derived dust scale height \( H_d \) in the sedimentation–diffusion equilibrium. If the particle stopping time \( \tau_s \) is much smaller than the Keplerian timescale \( \Omega^{-1} \), which is true for small particles, the dust scale height can be approximately written as

\[
H_d \approx H \left( 1 + \frac{\text{St}}{\alpha_{\text{disp},z}} \right)^{-1/2},
\]

where \( \text{St} = \tau_s \Omega \) is the so-called Stokes number and \( \alpha_{\text{disp},z} = \langle \delta v^2 \rangle / \bar{c}^2 \) is the vertical component of the velocity dispersion normalized by \( \bar{c}^2 \). Equation (50) implies that dust settling takes place \( (H_d < H) \) when \( \text{St} > \alpha_{\text{disp},z} \). Under the disk model employed in this study, \text{St} can be expressed as

\[
\text{St} = 3 \times 10^{-8} \frac{a}{0.1 \mu \text{m}} \left( \frac{f^{-1}}{\text{AU}} \right) \left( \frac{r}{1 \text{ AU}} \right)^{3/2} \exp \left( \frac{z^2}{2H^2} \right).
\]

Therefore, for \( a = 0.1 \mu \text{m} \), dust settling in the e-heating zone (\( r \sim 10-100 \text{ AU} \)) occurs only if \( \alpha_{\text{disp},z} \lesssim 10^{-5}-10^{-6} \). In the e-heating zone, \( \alpha_{\text{MRI}} \sim 10^{-5}-10^{-3} \) at the midplane (see Figure 10), and therefore we may safely neglect dust settling even if the Reynolds stress is as small as the Maxwell stress \( \alpha_{\text{MRI}} \sim \alpha_{\text{MRI}} \). A larger \( a \) does not change this conclusion, because we then would have a higher \( \alpha_{\text{MRI}} \) or the e-heating zone would vanish.

7.2. Effects of Grain Size Distribution and Porosity

We have characterized dust grains with a single particle size \( a \) assuming that the size distribution of dust grains is narrow. Under this assumption, the e-heating zone covers only a small part of protoplanetary disks when the particles grow to millimeter sizes (see Figure 6). However, caution is required in applying our results to more general cases where particles have a size distribution. In such cases, the smallest grains tend to dominate the total surface area of dust (which controls the ionization balance), whereas the largest grains tend to dominate the total mass of dust, simply because smaller grains have a larger area-to-mass ratio. Therefore, it is not obvious what the typical particle size is in these cases.

Here we discuss more quantitatively how we can apply the results of single-size calculations to cases with a size distribution. Let us assume that the particle size distribution is given by the power-law form

\[
\frac{dn_a}{da} = \frac{3 \rho_g}{8 \pi \rho_a \sqrt{a_{\text{max}}}} a^{-3.5}
\]

with \( a_{\text{min}} < a < a_{\text{max}} \) \( (a_{\text{min}} \ll a_{\text{max}}) \), where \( \frac{dn_a}{da} \) is the number density of dust particles per unit particle radius and

![Figure 12. Same as Figure 11, but we here evaluate \( \alpha_{\text{disp}} = \langle \delta v^2 \rangle / \bar{c}^2 \) using Equation (49) assuming that sound waves propagate from upper MRI-active layers to the midplane as is observed for the conventional dead zone.](image-url)
\(a_{\text{min}}\) and \(a_{\text{max}}\) are the minimum and maximum particle sizes, respectively. The distribution is normalized so that the total particle mass density \(\int n_d(dh_d/da) da\) becomes equal to \(\rho_{d_{\text{tot}}}\). Equation (52) applies when the particle size distribution is determined by fragmentation cascade (Dohnanyi 1969) and is also known to reproduce the size distribution of interstellar dust grains (Mathis et al. 1977). The quantity we are interested in is the total surface area of the particles as it mainly determines the ionization balance in a gas–dust mixture (e.g., Sano et al. 2000). This can be calculated as

\[
\int_{a_{\text{min}}}^{a_{\text{max}}} 4\pi a^2 n_{d_1} da \approx \frac{3 \rho_{d_{\text{tot}}}}{\rho_s} \frac{1}{\sqrt{a_{\text{min}} a_{\text{max}}}}.
\]  

(53)

Note that the factor \(1/\sqrt{a_{\text{min}} a_{\text{max}}}\) comes from the fact that the integration in Equation (53) is dominated by the smallest particles (because \(a^2(dh_d/da) \propto d(a^{-0.5})\)), whereas the factor \(1/\sqrt{a_{\text{max}} a_{\text{min}}}\) comes from the fact that the total mass is dominated by the largest particles. By contrast, if all dust particles have a single size \(a_{\text{single}}\), their total surface area is \(4\pi a_{\text{single}}^2 n_{d_1} \approx 3 \rho_{d_{\text{tot}}} / (\rho_s a_{\text{single}})\). Comparing this with Equation (53), we find that the total surface area of particles whose size distribution is given by Equation (52) is equal to that of single-size particles if

\[
a_{\text{single}} = \sqrt{a_{\text{min}} a_{\text{max}}}.
\]  

(54)

Since the total surface area approximately determines the ionization state, Equation (54) may be used to generalize the results presented in this study to the cases where the particle size distribution obeys Equation (52).

Observations of millimeter dust emission from protoplanetary disks suggest that the largest dust particles in the disk have a size of centimeters (e.g., Testi et al. 2003; Natta et al. 2004; Rodmann et al. 2006; Ricci et al. 2010). Assuming \(a_{\text{max}} = 1\) cm and \(a_{\text{min}} = 0.1\) \(\mu\)m, we obtain \(a_{\text{single}} = 30\) \(\mu\)m. In this case, we expect from Table 1 that the e-heating zone extends to \(\sim 15\) AU. Thus, even if centimeter-sized grains exist in protoplanetary disks and the total mass of grains is dominated by such large grains, the e-heating zone can be present in the disks.

For the same reason, large dust particles can alone provide a large e-heating zone if the dust particles are highly fluffy aggregates of tiny grains. Okuzumi (2009) showed that the ionization balance is insensitive to the particle radius when the fractal dimension is \(\sim 2\), for which the total surface area of the aggregates is approximately conserved during the aggregation process.

7.3. Hall Effect and Ambipolar Diffusion

The plasma heating model employed in this study neglects the effects of magnetic fields on the motion of plasma particles. In terms of nonideal magnetohydrodynamics, this is equivalent to neglecting ambipolar diffusion and the Hall effect (see, e.g., Wardle 1999). A full treatment of these non-ohmic effects introduces to the model additional complexities arising from the relative angle between the magnetic and electric fields (S. Okuzumi et al., in preparation), which is beyond the scope of this paper. In this subsection, we only briefly discuss how plasma heating and these nonideal MHD effects could affect each other.

Ambipolar diffusion can suppress MRI in low-density regions of protoplanetary disks (e.g., Blaes & Balbus 1994; Hawley & Stone 1998; Desch 2004; Kunz & Balbus 2004; Bai & Stone 2011; Simon et al. 2013a, 2013b). If MRI is effectively suppressed in the e-heating zone, electric fields may not sufficiently grow to cause electron heating. The effectiveness of ambipolar diffusion is characterized by the ambipolar Elsässer number \(Am = \gamma_1 \rho_e / \Omega\) (e.g., Blaes & Balbus 1994; Lesur et al. 2014), where \(\gamma_1 = \langle \sigma_n v_{in}/(m_n + m_i) \rangle\) and \(\rho_i = m_i n_i\). According to MHD simulations including ambipolar diffusion, MRI-driven turbulence behaves as in the ideal MHD limit if \(Am \gg 1\), while ambipolar diffusion suppresses turbulence if \(Am \ll 1\) (e.g., Bai & Stone 2011). Table 2 lists the values of Am and the ion abundance \(x_i = n_i / n_n\) at the inner and outer edges of the e-heating zone before electron heating sets in (\(E = 0\)). We find that \(Am \approx 0.2–0.7\), implying that ambipolar diffusion would moderately affect MRI turbulence in the e-heating zone. Therefore, MHD simulations including both electron heating and ambipolar diffusion are needed to assess which effect determines the saturation amplitude of MRI turbulence in these outer regions of the disks.

The Hall effect is also important at \(r \sim 10–50\) AU (see Figure 1 of Turner et al. 2014, p. 411). The Hall effect can either damp or amplify magnetic fields, depending on the relative orientation between the disk’s magnetic field and rotation axis and on the sign of the Hall conductivity (e.g., Wardle & Salmeron 2012; Bai 2014). At relatively high gas densities (\(n_g \gtrsim 10^{10}\) cm\(^{-3}\)), the Hall conductivity is usually positive (Wardle & Ng 1999; Nakano et al. 2002; Salmeron & Wardle 2003), but it can become negative when the number density of electrons is significantly lower than that of ions. Interestingly, our preliminary investigation shows that the Hall conductivity can indeed become negative as the electron number density is decreased by electron heating (Okuzumi et al. 2015, in preparation). This suggests that electron heating might reverse the role of the Hall term. Whether this occurs under conditions relevant to protoplanetary disks will be studied in future work.

8. SUMMARY

We have investigated where in protoplanetary disks the electron heating by MRI-induced electric fields affects MRI turbulence. Our previous study (OI15) showed that electron heating causes a reduction of the electron abundance, and hence an amplification of ohmic dissipation, when the recombination of plasma mainly takes place on dust grains rather than in the gas phase. To study where in disks this effect becomes important, we constructed a simplified ionization model that takes into account both recombination on dust grains and electron heating. The presented model is computationally much less expensive than the original electron heating model by OI15 and allows us to study the effects of electron heating for a wide range of model parameters. We then searched for locations in a disk where the enhanced ohmic diffusivity limits the saturation level of MRI turbulence, which we call the “e-heating zone,” by using analytic criteria for MRI growth. Our results can be summarized as follows:

1. We find that the e-heating zone can cover a large part of a protoplanetary disk when tiny dust grains are abundant. For instance, in a minimum-mass solar nebula with 1% of...
its mass consisting of 0.1 μm sized dust grains, the e-heating zone extends out to 80 AU from the central star (Figure 3; Section 4.1). In this case, MRI turbulence can develop without being affected by electron heating only in the outermost region of \( r \gtrsim 80 \) AU.

2. In the e-heating zone, the saturation level of MRI turbulence is expected to be considerably lower than that in fully MRI-active zones because the electron heating sets an upper limit to the electric current density attainable in MRI turbulence. Our simple estimate based on scaling arguments (Section 5) predicts that for our fiducial disk model, the turbulence \( \alpha \) parameter for MRI turbulence should be reduced to \( \sim 10^{-5} \) and \( 10^{-3} \) at the inner and outer edges of the e-heating zone, respectively (Figure 10). This implies that the MRI is “virtually dead” deep inside the e-heating zone.

3. Dust grains in the e-heating zone acquire a high negative charge owing to the frequent collisions with electrically heated electrons. This strengthens the charge barrier against the growth of micron-sized grains originally predicted by Okuzumi (2009) (Figure 11; Section 6). At midplane 35 AU in the fiducial model, the electric repulsion energy is larger than the collisional energy when the grain size is in the range of \( \sim 0.08 \)–0.5 μm. We find that electron heating significantly enhances the charge barrier for submicron-sized grains.

Our estimate of the turbulence strength in the e-heating zone (Equation (40)) largely relies on the scaling relations between turbulent quantities observed in previous MHD simulations. Although these scalings well predict the saturation level of MRI turbulence without electron heating, it is unclear whether they are still valid even in the presence of electron heating. Our future work will address this issue by performing MHD simulations including electron heating. We have also neglected the effect of magnetic fields on the kinetics of plasma, which means that non-ohmic effects such as the Hall effect and ambipolar diffusion are excluded from our analysis. However, these effects generally overwhelm ohmic diffusion in the outer parts of protoplanetary disks. Our estimate indicates that ambipolar diffusion would moderately suppress MRI in the e-heating zone (\( \alpha_m \approx 0.2 \)–0.7; Section 7.3). Therefore, MHD simulations including both electron heating and non-ohmic resistivities will be needed to assess which effect determines the saturation amplitude of MRI turbulence in the outer regions of the disks. We will address these open questions step by step in future work.

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Table 2

| \( \beta_s \) | \( a (\mu m) \) | \( f_{de} \) | \( f_c \) | Am in e-heating Zone | \( \xi_c \) in e-heating Zone |
|---|---|---|---|---|---|
| 10\(^3\) | 0.1 | 10\(^{-2}\) | 1 | 0.14 | 0.56 | 1.9 \times 10^{-12} | 4.7 \times 10^{-11} |
| 10\(^4\) | 0.1 | 10\(^{-2}\) | 1 | 0.17 | 0.62 | 3.4 \times 10^{-12} | 5.9 \times 10^{-11} |
| 10\(^5\) | 0.1 | 10\(^{-2}\) | 1 | 0.23 | 0.62 | 7.4 \times 10^{-12} | 5.9 \times 10^{-11} |
| 10\(^6\) | 0.1 | 10\(^{-2}\) | 1 | 0.41 | 0.62 | 2.5 \times 10^{-11} | 5.9 \times 10^{-11} |
| 10\(^7\) | 0.1 | 10\(^{-2}\) | 1 | 0.17 | 0.62 | 3.4 \times 10^{-12} | 5.9 \times 10^{-11} |
| 10\(^8\) | 1 | 10\(^{-2}\) | 1 | 0.21 | 0.72 | 1.7 \times 10^{-12} | 2.8 \times 10^{-11} |
| 10\(^9\) | 10 | 10\(^{-2}\) | 1 | 0.43 | 0.82 | 2.3 \times 10^{-12} | 1.3 \times 10^{-11} |
| 10\(^10\) | 100 | 10\(^{-2}\) | 1 | 0.54 | 0.72 | 2.6 \times 10^{-12} | 5.6 \times 10^{-12} |
| 10\(^11\) | 0.1 | 10\(^{-1}\) | 1 | 0.16 | 0.57 | 8.5 \times 10^{-12} | 1.2 \times 10^{-10} |
| 10\(^12\) | 0.1 | 10\(^{-2}\) | 1 | 0.17 | 0.62 | 3.4 \times 10^{-12} | 5.9 \times 10^{-11} |
| 10\(^13\) | 0.1 | 10\(^{-3}\) | 1 | 0.24 | 0.71 | 2.1 \times 10^{-12} | 2.8 \times 10^{-11} |
| 10\(^14\) | 0.1 | 10\(^{-4}\) | 1 | 0.41 | 0.80 | 2.3 \times 10^{-12} | 1.3 \times 10^{-11} |
| 10\(^15\) | 0.1 | 10\(^{-2}\) | 10 | 0.32 | 1.26 | 1.8 \times 10^{-12} | 2.5 \times 10^{-11} |
| 10\(^16\) | 0.1 | 10\(^{-2}\) | 3 | 0.22 | 0.82 | 2.5 \times 10^{-12} | 3.9 \times 10^{-11} |
| 10\(^17\) | 0.1 | 10\(^{-2}\) | 1 | 0.17 | 0.62 | 3.4 \times 10^{-12} | 5.9 \times 10^{-11} |
| 10\(^18\) | 0.1 | 10\(^{-2}\) | 0.3 | 0.16 | 0.65 | 5.4 \times 10^{-12} | 9.5 \times 10^{-11} |
