A Lightweight Modeling Middleware for Corpus Processing

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Abstract
Present-day empirical research in computational or theoretical linguistics has at its disposal an enormous wealth in the form of richly annotated and diverse corpus resources. Especially the points of contact between modalities are areas of exciting new research. However, progress in those areas in particular suffers from poor coverage in terms of visualization or query systems. Many limitations for such tools stem from the non-uniform representations of very diverse resources and the lack of standards that address this problem from the perspective of processing or querying. In this paper we present our framework for modeling arbitrary multi-modal corpus resources in a unified form for processing tools. It serves as a middleware system and combines the expressiveness of general graph-based models with a rich metadata schema to preserve linguistic specificity. By separating data structures and their linguistic interpretations, it assists tools on top of it so that they can in turn allow their users to more efficiently exploit corpus resources.

Keywords: data model, multi-modal, metadata

1. Introduction
The availability of richly annotated multi-layer corpora is one of the cornerstones of modern theoretical and computational linguistics. With a steady flow of newly created corpus resources and tools to annotate or process those corpora comes also the need for exploratory support tools, especially visualization and query systems, to get a better understanding of the resources at hand.

The context of this contribution is the successor project of the ICARUS (Gärtner et al., 2013) platform, an interactive visualization and query tool for corpora with dependency syntax, coreference structures (Gärtner et al., 2014) or rich annotations for intonation (Gärtner et al., 2015). This project aims at providing researchers a platform to explore and query even more diverse multi-layer and multi-modal corpus resources and artifacts through a single interactive interface. Our target resources include, but are not limited to, text and speech data annotated for a variety of different layers on varying linguistic levels and granularities, such as the SFB732 Silver Standard Collection, of which a first overview has been provided by Eckart and Gärtner (2016).

A strong focus lies hereby on connecting linguistic layers or modalities which so far have not received much attention in their particular combination. This is to support interesting research questions, such as the interaction of information status and prosodic realization (Baumann and Riester, 2013) or the incorporation of prosodic information into the task of automatic coreference resolution on spoken text as done by Roesiger and Riester (2015).

As a result we faced the challenge of modeling access from a single processing software to a very diverse set of corpus resources. And while several standards around the Linguistic Annotation Framework (LAF) (Ide and Suderman, 2014) exist, they primarily take the point of view of preparation or curation of data. The ongoing standardization effort for a Corpus Query Lingua Franca (CQLF, ISO/DIS 24623-1) (Banski et al., 2016) correctly identifies the lack of standards which address a quite different view, that is, the one of querying or processing of corpus resources. As such there is no standardized or universally accepted solution readily available for this modeling task, which led us to designing and implementing a new dedicated framework.

With “modeling corpus resources” we refer to the task of representing the structure and content of a corpus or similar resource by means of a data model in memory, i.e. during an application’s runtime. As a corpus or equivalent resource we treat any collection of utterances in arbitrary form, be they written, spoken or presented in yet another modality. Note that presently this definition excludes the modeling of other types of linguistic resources like lexicons or dictionaries as realized for example in the Lexical Markup Framework (Francopoulo et al., 2006).

In the remainder of this paper we present our approach for providing unified access to very different corpus resources. Section 2 discusses the underlying issue of a heterogeneous format multiverse and Section 3 contextualizes our work. We present a detailed overview of various aspects of our framework in Section 4 and then proceed to illustrate its usage with code examples in Section 5. Information on the availability of the software and its documentation is provided in Section 6 and Section 7 concludes.

2. Format Multiverse and Middleware
Extensive work has already been invested into designing and establishing interoperable formats for linguistic resources and annotations based on various common transport formats. Notable examples are the NLP Interchange Format (NIF) (Hellmann et al., 2013), an RDF/OWL-based format, or GrAF (Ide and Suderman, 2007), a pivot XML-based serialization format for the Linguistic annotation framework (LAF) (Ide and Suderman, 2014). GrAF has also been standardized by ISO as part of the “Language resource management” committee (ISO/TC 37/SC 4). The LAPPs Interchange Format (Verhagen et al., 2016) is a JSON-LD format and used for data transfer between service implementations in the The Language Applications
Grid (Ide et al., 2016). Less flexible exchange formats include for instance the Text Corpus Format (TCF) of the WebLicht (Hinrichs et al., 2010) environment, where the coverage of different annotation layers or hierarchical structures remains fixed.

Albeit having very expressive and standardized formats available, the reality is that more often than not corpora or system outputs used in day-to-day research work are stored in specialized or proprietary formats. Especially tools used for a specific task or field of research often use formats that have emerged as a kind of “local” standard for the particular field, such as Praat TextGrid files (Boersma, 2001) for phonetic analysis. Tools designed in the context of a particular task not uncommonly motivate the design and limitations of their data model with the original data format provided. In the popular CoNLL Shared Tasks for example data sets consistently are made available in a tabular format tailored to the individual tasks (e.g. parsing 2009 (Hajic et al., 2009), coreference resolution 2011 (Pradhan et al., 2011) and again parsing to Universal Dependencies in the 2017 shared task). Tools developed for those tasks often made the respective CoNLL format their exclusive input and output representation, leading to a plethora of classic monolithic designs of the form shown in Figure 1a.

Processing applications such as search or visualization tools are then required to either support multiple formats and associated abstract models (see Figure 1b) or force the user into converting input data into a pivot format (further encouraging designs as in Figure 1a). This leads to increased workload for either the developers or end users. An alternative solution is to shift the unification effort into a framework that acts as a middleware between data sources and the actual processing logic of an application. The placement of our framework as this kind of middleware solution, in comparison to above situations, is presented in Figure 1c. Using a middleware solution also enables applications built on it to have their output freely converted into all formats supported by the framework. We believe that removing the need or motivation to build monolithic application architectures then in turn can even foster the use of standardized serialization formats like GraF.

3. Related Work

At present several software toolkits for modeling corpus resources in a flexible way exist. Graph-based models have become a very popular approach for their implementation. SALT (Zipser, 2009), a graph-based model toolkit, is used in an established ecosystem together with the converterframework PEPPER (Zipser et al., 2011) and the search interface ANNI3 (Krause and Zeldes, 2014), the latter combining it with a relational database for storage. SALT provides full bidirectional links between related nodes (such as a sentence and its tokens) and also imposes fixed restrictions on the scope of relations. It is well-suited for modeling shallow snapshots of a corpus, but especially the bidirectional linking makes it inherently expensive for modeling large numbers of concurrent structures (e.g. multiple parses for the same sentence) and hampers scalability. Motivated by the need to model rich annotations for text and speech data, the NITE Object Model (Carletta et al., 2003) is another graph-based model that allows linking of elements to portions of a singular shared timeline. Yet another approach is to use formalisms from the Semantic Web context like the Web Ontology Language (OWL) and combine them with existing storage technology like XML or a relational database as proposed by Burchardt et al. (2008).

Based on the Apache UIMA project a series of software components for natural language processing around the DKPro Core (Eckart de Castilho and Gurevych, 2014) framework have been developed. They are mainly focused on building shareable analysis pipelines and build on a strongly typed model, where linguistic types or theories are directly encoded. This can be helpful for data exchange between collections of predefined processing components such as parsers. However, it made this approach unsuited for us as alternative to designing our own modeling toolkit. Other text processing pipelines include OpenNLP (http://opennlp.apache.org) for Python. They provide solutions for various NLP tasks and also feature modeling approaches of varying expressiveness. Their general focus on text data however keeps them limited and unfit as basis for a middleware system that attempts to unify access to multiple modalities.

4. Architecture

An implementation of our modeling approach is provided as an open-source Java toolkit (further information on availability is provided in Section 6) which requires version 8 of the Java Runtime Environment (JRE). It offers a set of abstract building blocks and other components to compose the data structures that make up a given corpus resource once read into the model and an extensive API to interact with them in various ways.
4.1. Separation of Model Responsibilities

While the approaches described in Section 3 and many others are sufficient for the task of representing data in memory, the gain in representational generality is often achieved at the cost of specificity when it comes to the linguistic meaning or interpretation of modeled units or annotations. Thus even when using them as a developer one still needs to model this information and connect it with the data structures in such models.

To work around these shortcomings we decided to split the task of modeling corpus resources into two subparts:

One part for segmentation, structure and data storage maximizes generality but sacrifices any direct knowledge about linguistic specificity (equivalent to aforementioned general-purpose graph models). Independent of this the other part acts as metadata and carries the information describing composition and dependencies of a specific resource.

We outline the two aforementioned parts and how they interact with each other in Sections 4.3 to 4.5.

4.2. Design Foundations

Our model design builds on several observations and requirements for modeling diverse corpus resources, some of which have been proposed by Ide et al. (2003) in an early iteration of LAF. We list these requirements and show how our modeling approach fulfills them:

Expressive adequacy and Openness: We do not impose any limitations on the nature of linguistic information or theories that are expressed or stored in our framework to keep it as flexible as possible.

Uniformity: We utilize a compact set of universal “building blocks” (see Section 4.3) to model all kinds of structural or hierarchical information in a corpus (equal in expressiveness to a graph, but closer to linguistic universals).

Media independence: As stated in the introductory part of Section 4 our framework conceptually splits structural properties of a resource from its (media) content, treating all content as annotations. It thereby allows for arbitrary annotations to be associated with individual building blocks.

Semantic adequacy: We utilize a compact metadata schema (see Section 4.5) to formally define a resource’s overall composition. This includes declarations of available layers as well as their hierarchies and dependencies.

Granularity: For every resource there is at least one mandatory segmentation layer that defines atomic units as a common foundation. This guarantees spacial comparability of all other structural constructs on top of it. As an extension to the original observation made by Ide et al. (2003) we also acknowledge the need to retrospectively define an even finer granularity as originally declared for a resource.

Our framework supports subdivision of existing units in a corpus by declaring anchors into rastered annotation values for those blocks (see Section 4.3 for further explanation and Section 5.3 for a usage example).

Extensibility: We offer various mechanisms for extending the functionality of the corpus modeling framework:

• A template system for the metadata schema to for example allow sharable tagset definitions or format schemata for converters (see Figure 4 for a tagset template).

• Integration of new converter implementations via the Java Plugin Framework\[^{1}\] Java’s own Service Provider Interface (SPI) or the Open Services Gateway initiative\[^{2}\].

• An abstract type system for building blocks that allows custom implementations to optimize based on the underlying corpus resource, for instance read-only resources or when accessing a corpus stored in a (relational) database versus converting from classic file data.

• Interfaces to implement the handling of new media or annotation types for tasks such as fragmentation (cf. Section 4.3) or spacial comparison of those fragments.

Scalability: Special care is taken to ensure the framework and its performance are able to scale along two different and very important axes:

• Horizontally with the size of resources, i.e. the number of addressable units they contain (which can reach up to billions of tokens for web corpora like those from the WaCky family (Baroni et al., 2009)).

• Vertically in terms of the number of (parallel) annotation layers of arbitrary type. Especially speech corpora can contain very rich phonetic annotations on various levels when annotated by automatic systems.

4.3. Components

Instead of squeezing an entire corpus into one big graph we provide a series of types and constructs that model aspects of a corpus in ways closer to actual linguistic concepts.

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\[^{1}\] We borrow the term to describe the transformation of raw annotation values into collections of addressable discrete units, such as sequences or grids. Common examples are individual characters in a text, pixels in an image or frames in an audio stream.

\[^{2}\] \[http://jpf.sourceforge.net/](http://jpf.sourceforge.net/)

\[^{3}\] OSGi\[^{TM}\] \[https://www.osgi.org/](https://www.osgi.org/)
Units: At the core we provide generic building blocks to model addressable units in a corpus (see Figure 3 for a basic UML class diagram of those types and their relations and Table 1 for additional explanations). Those items can represent any individual data points, regions, edges or aggregations within a corpus. In addition to construct a corpus by aggregation, fragments offer a way of subdividing existing items into smaller addressable units.

Each item in a corpus can be identified by two ways: One is a numerical id that is unique within its host layer and the other is its current position in that layer. While the id is persistent, the current index can change for editable corpora and is mainly used for spatial comparisons or mappings. Unlike other approaches we do not maintain a full bidirectional linking between related items outside of their native host container. Instead a container only holds links to its contained items, but the reverse linking is moved into dedicated mapping facilities on the layer level. This keeps linking overhead to a minimum and makes the model scalable wrt concurrent segmental or structural information.

Layer: Layers in our model directly correspond to their linguistic counterparts and organize parts of a corpus such as annotations, segmentations, hierarchies or other types of structures. Layers carrying segmental or grouping information play a special role since they can act as foundation or boundary layers. The former provide a shared space for spatial comparisons equivalent to timelines in other systems and the latter are used for restricting the scope of complex constructs such as relations. To link different aggregating or foundation layers dedicated mapping facilities are used to translate between those index spaces.

Context: Layers are naturally grouped according to the data source their content originated from, for example a database, web-service or a local file. On the context level dependencies to external corpus resources are defined and each context must have a singular layer designated for the roles of foundation and primary, to define the segmentation of traversable data chunks of the context and their shared address space. Each context is associated with its individual converter implementation that mediates between the physical source of data and the in-memory model instances as described in more detail in Section 4.7.

Corpus: On the top of the hierarchy a corpus combines an arbitrary number of contexts, which enables modeling of resources that consist of multiple data sources or are expressed in several different formats. Each corpus in the framework is an independent and interactive object that client code can work with in many different ways, some of which are described and compared in Section 4.6.

Table 1: Basic types used to model addressable units, segmentation and structure in a corpus resource and their respective functions. Aggregating types are italicized.

| Type     | Function                                      |
|----------|-----------------------------------------------|
| Item     | basic addressable unit                       |
| Edge     | link/relation between items                  |
| Fragment | anchors used to split existing items based on their rastered annotation values |
| Container| logical grouping of items                    |
| Structure| container that augments its elements (= nodes) with edges |

4.4. Annotations

A very central requirement for successfully unifying richly annotated corpus resources across different modalities is the ability to deal with very diverse types of annotations. To achieve this we impose no direct limitations on the nature of annotation values that can be stored or retrieved through the model. In addition we defined a (extensible) collection of commonly used annotation types that are directly supported by specialized storage implementations. The most important ones are listed as follows:

- Character sequences (Strings). With Java natively modeling characters as UTF-16 code units in memory, this directly provides sufficient Unicode support.
- Numerical values (integer, long, float, double)
- Links to external resources such as files or websites (URL, URI and local file paths).
- Images modeled as bitmap graphics.
- Binary streams such as audio or video content. Our framework does not restrict or support specific multimedia formats. It is left to the producer of a resource description (cf. Section 4.5) to include sufficient information about the encoding, preferably in the form of standardized format identifiers so that Java’s default frameworks for handling media content can be used.
- References to other items to model simple structures such as arguments.
- Vector and matrix types to allow aggregation of other supported value types.

4.5. Metadata

In Section 4.3 we covered the design of a very flexible data model for representing the content of arbitrary corpus resources. This maximized generality reduces the typical overhead of developing very content specific software components (e.g. a custom-built search or visualization interface tailored to the content of a single corpus). Sacrificing specificity, if not compensated somehow, can on the other hand create certain disadvantages, such as not knowing how to visualize a certain piece of data expressed in the model. This is especially true for interactive systems that wish to provide assistive functions or information to the end-user. Such assistive functions are for example optimized visualization of linguistic data or automatically limiting user input for search constraints based on the con-
Figure 4: Part of a manifest in XML format describing a part of the STTS tagset for part-of-speech annotations as a reusable template. See Figure 5 for an example of another metadata component referencing this template.

```
<annotation id="common.tags.stts" name="STTS-Tagset", valueType="string">
  <value name="Adjective">JJ</value>
  <value name="Noun">NN</value>
  <value name="Determiner">DT</value>
  <value name="Verb, gerund">VBG</value>
  <value name="Verb, 3rd sg">VBZ</value>
</annotation>
```

Figure 5: Manifest XML specifying the structure of a simple two-level corpus resource with some shallow annotations. Note how the declaration for part-of-speech annotations in line 14 references a template previously defined in Figure 4. A sentence matching this structural description can be found in Figure 6 encoded in two different formats.

```
<corpus id="my.simple.corpus">
  <context foundation="my.simple.corpus">
    <layerGroup primary="token">
      <itemLayer id="token">
        <annotationLayer id="content">
          <baselayer layerId="token"/>
          <annotation key="id" valueType="int"/>
          <annotation key="form"/>
          <annotation key="lemma"/>
          <annotation key="pos" templateId="common.tags.stts"/>
        </annotationLayer>
        <context>
          <!-- Inherited from template -->
        </context>
      </itemLayer>
    </layerGroup>
  </context>
</corpus>
```

For improved interoperability most metadata elements can be linked to established identifiers of linguistic categories to better express their meaning, for example using ISOCAT/DatCatInfo as described in [Windhouwer and Wright, 2012]. Conventional metadata to describe a resource’s provenance is however outside the scope of this scheme. Using such a manifest enables tools to properly handle the general data structures provided by the storage model totally independent from the original format or data source of a corpus. Figure 6 shows instances of two different textual formats that both encode the same chunk of data matching the description in Figure 5. For an application the resulting representation in our data model looks exactly the same. It could also contain information on how to access the resource it is describing, but this is currently outside our intended scope for the metadata model. Note that it remains the responsibility of the producer of a resource to provide this metadata and also to some extent to implement means of converting the raw form of a resource into an actual model representation (more on this in Section 4.7).

4.6 Interaction

Modeling frameworks often confine themselves to providing generic data structures and support for their serialization. This keeps them usually easy to use, but leaves the bulk of additional management to client code. Different types of applications pose very diverse requirements to underlying model libraries. To accommodate a wide range of possible use-cases our framework features different operation modes for interacting with a corpus:

Navigation: Depending on their individual needs, applications can access data in a corpus directly (communicating with the converter level without intermediate abstraction layers), as a stream (forward-only iteration) or in a “window” mode through so called views. The latter approach allows for horizontal and vertical filtering (for instance to limit elements to candidates provided by some index system and to reduce the amount of required annotation layers) and also supports paging to traverse the selected sub-corpus block-wise.

Assistance: The components described in Section 4.3 can be used with a varying degree of framework support, for instance raw as generic building blocks if no management support by the framework is desired or fully managed when accessing the corpus content through a view or stream. Assistance includes for example structural or annotation verification based on the associated metadata definitions.

Editability: Resources are declared static or editable in their metadata and client code can additionally specify if it needs write access when connecting to a corpus. The framework is then able to optimize actual model instances for performance based on those decisions. When in write mode, the framework also offers an integrated edit history for live data to record (or undo) any modifications and a notification system to inform client code of changes.

4.7 Conversion

Of course the transformation of raw data into an in-memory model still requires a piece of software dedicated to that
particular representation or data source. This is an issue which cannot be solved universally due to the fundamental differences in the ways data is stored in different systems or file types (such as database system versus plain text or audio files). While ideally the existence of serialization formats for linguistic annotations such as GrAF (cf. Section 2) would obviate many less expressive or flexible approaches, in reality pragmatic considerations of sticking to alternative formats often prevail. The matter is further complicated when taking different use-cases into account where corpora of vastly different sizes are involved and where aspects like pure storage-efficiency takes priority over those of expressiveness or flexibility of the format being used. As such the necessity of decoupling in-memory modeling completely from the original representations still remains.

In our framework this task of physically connecting to a given corpus resource (i.e. converting between its native form and the respective in-model instances) is performed by implementations of the Driver interface. Drivers allow the core framework to completely abstract away from the specifics of individual data sources. From the framework’s point of view the complexity is reduced to querying the driver implementations for information such as the size of a layer and to issue read or write operations for selected parts of a resource (which in turn causes the driver to perform the necessary conversions).

While the initially stated complexity to some extent still remains, it is possible to implement this “converter” part of the application in such a way that the specifics of a physical representation can be defined by means of a schema which allows converters to adapt to input that is different but of a similar type and greatly reduces required development effort. We cover a series of common formats and sources natively and provide generic converter solutions which can be configured using such schemata (either as part of a manifest, via external files or programmatically).

5. Usage Examples

This section illustrates how client code uses the concepts introduced in previous sections and especially the different interaction modes outlined in Section 4.6. Where applicable, example code will assume the simple corpus structure shown in Figure 5 and the one-sentence content in Figure 6.

5.1. Building a Corpus

For low-level tasks, for instance a driver implementation, direct constructive interaction with the basic framework members is required. The following code snippet illustrates the construction of the sentence shown in Figure 6.

```java
// Create, add and annotate tokens
Item token1 = factory.newItem(sentence,1); // Create, add and annotate tokens
contentLayer.setValue(token1, "form", "Finding");
contentLayer.setValue(token1, "word", "is");
contentLayer.setValue(token1, "pos", "VBZ");
sentence.addSentenceRootContainer(sentence.addSentenceRootContainer, 1); // Finally add finished sentence
sentenceRootContainer.addItem(sentence);
```

The process involves manually constructing the individual tokens, grouping them into a sentence and attaching annotations. It also assumes an existing host corpus and access to certain parts of the associated manifest and layers to store new content in. For brevity only the first two tokens are constructed and other annotation layers besides the form layer are omitted.

5.2. Accessing Corpus Parts

As mentioned previously in Section 4.6 there are several ways for accessing (parts of) a corpus resource through the framework. The following two code examples show very different approaches, one involving horizontal filtering and one for simple sequential streaming.

In the first snippet client code is only interested in a selected subset of tokens. It qualifies the actual token indexes by

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Footnote:

9For example the support for schema definitions to read arbitrary tabular text data in the ICARUS [Gartner et al., 2015] exploration and query tool.
means of IndexSet instances and the layers it requires by a Scope in lines 3 and 5. With those parameters the corpus then creates a CorpusView object which essentially acts as a filtered sub-corpus. Iterating over the tokens contained in this view and outputting their respective form annotations then results in “the format is tricky” to be printed one token per line:

```java
corpus = ...;
// Define the layers of interest
Scope scope = Scope.withLayers(corpus, "token", "content");
// Define the layers of interest
Corpus corpus = ...;
// Create stream for entire corpus
ItemStream stream = corpus.getStream(scope, Indices, AccessMode.READ, Options.NONE);
// Fetch the allowed values for this annotation
Object value = tagset.getValue();
// Check if advanced documentation is available
if (value instanceof ValueManifest) {
    ValueManifest manifest = (ValueManifest) value;
    String description = manifest.getDescription();
    String name = manifest.getName();
    String string = manifest.getDoc();
    if (string.startsWith("Detailed description of the tag")) {
        System.out.println("This is a detail description of the tag.
    
    The next example shows how those tokens can be created by splitting annotation values to fragment the respective original text.

5.3. Fragmentation

What is the obligatory form for a corpus’ primary data? Should it be a collection of already segmented units? Or should it be the original raw data such as the entire text of a book? Rarely is there an absolute answer for such questions within the universe of highly diverse corpus resources.

Our framework therefore does not force a singular approach for composing a corpus. The previous code snippets always used elements of token layer that already were properly segmented. The next example shows how those tokens can be created by splitting annotation values to fragment the respective original text.

5.4. Using Manifests

Manifests (the metadata part of our framework) offer a great way for applications to optimize computation or graphical user interfaces specifically for the content of a corpus in advance. Since the entire composition of a corpus object is described in detail in a formalized manner by its associated manifest, client code can use this information without having to actually read the content of the corpus or to tailor its behavior to a certain type of resource. The following code snippet illustrates how an application can use the manifest in Figure 5 (more specifically, the tagset template from Figure 4) to create specialized components for its user interface (UI):

```java
manifest = ...;
layerManifest = ...;
layerManifest.getAnnotationManifest("pos");
// Pick part-of-speech annotations as example
String description = manifest.getDescription();
String string = manifest.getDoc();
if (string.startsWith("Detailed description of the tag")) {
    System.out.println("This is a detail description of the tag.

6. Availability

The core of our framework is available as a collection of individual Java libraries that cover and implement different aspects of our approach. We published the model libraries themselves, as well as a detailed documentation in the framework of CLARIN[10] and made it available via a persistent identifier[11] in order to ensure sustainability.

https://www.clarin.eu/
http://hdl.handle.net/11022/1007-0000-0007-C636-D
```
7. Conclusion

In this paper we presented our design and implementation of a novel corpus modeling framework. It approaches the task of modeling corpus resources from a perspective of processing and querying. Being available as a Java toolkit it can be used to provide unified in-memory representations of arbitrary corpus resources. Independence w.r.t. to linguistic theories or tagsets allows it to work across different modalities. While our reference implementation is provided in Java, the default metadata serialization format is XML and so the entire concept can be also transferred to other programming languages.

In the future we plan on broadening the support for (standardized) serialization formats and also provide a built-in interface for database connectivity. While currently focused strictly on the modeling of corpora as collections of utterances, we do consider extending the toolkit to at least conceptually recognize other resources such as lexicons for which adequate modeling solutions already exist.

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