Neurodevelopmental consequences of early plasma sodium changes in very preterm infants

Anne-Sophie Gervais, Thuy Mai Luu, Astrid Viennet, Audrey-Anne Milette, Jocelyne Vallée, Anik Cloutier, Francine Lefebvre, Anne Monique Nuyt and Adrien Flahault

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BACKGROUND: Sodium fluctuations in very preterm neonates and their neurodevelopmental consequences are not well described.

METHODS: We assessed the changes in plasma sodium and glucose in the first days of life in very preterm neonates and studied the association of glucose-corrected plasma sodium fluctuations on neurodevelopmental outcomes. We included 147 consecutive neonates born before 29 weeks of gestation in our center and retrospectively obtained plasma sodium, glucose, and glucose-corrected sodium levels. Neurodevelopmental assessment was obtained from the Canadian Neonatal Follow-Up Network.

RESULTS: Mean ± standard deviation of plasma sodium changes within the first 10 days of life were 16.2 ± 6.0, 14.8 ± 5.3, and 11.1 ± 5.2 mmol/l in neonates born ≤25, 25–26, and 26–27 weeks of gestation, respectively (p < 0.001). Non-steroidal anti-inflammatory drug administration was associated with larger plasma sodium fluctuation. Eighty-six percent had a known neurological status at 18 months. Higher fluctuations in glucose-corrected plasma sodium were associated with death or neurodevelopmental impairment at 18 months corrected age (B = 3.19, 95% CI [1.24, 5.14]), and this association remained after adjustment for gestational age (B = 2.1, 95% CI [0.16, 4.04]).

CONCLUSIONS: Neonates born very preterm show fluctuations in glucose-corrected plasma sodium during the first days of life, which may increase the risk of death or developmental impairment.

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IMPACT:
● Risk factors and neurodevelopmental consequences of plasma sodium changes in early neonatal life of preterm infants are not well characterized.
● This study shows for the first time that glucose-corrected plasma sodium fluctuations within the first days of life are more severe in preterm infants receiving non-steroidal anti-inflammatory drugs (NSAIDs) and are associated with death or neurodevelopmental impairment at 18 months corrected age.
● Large plasma sodium and glucose fluctuations should be expected more often in preterm infants receiving NSAIDs and should be avoided.

INTRODUCTION
For infants born extremely preterm (<28 weeks gestational age (GA)), the first days of extra-uterine life are associated with large fluctuations in electrolyte levels including plasma sodium levels resulting from extra-renal water and electrolyte losses and tubular immaturity, leading to difficult fluid and electrolyte management. Previous research has shown large plasma sodium changes, with rapidly occurring hypernatremia and hyponatremia, during the first days of life in neonates born very or extremely preterm. In the longer term, changes in neonatal plasma sodium have also been shown to be associated with bronchopulmonary dysplasia (BPD) and alterations in neurodevelopment.

Preterm neonates are at increased risk of both hyperglycemic and hypoglycemic episodes, which may also alter neurodevelopment. In order to maintain plasma osmolality, plasma sodium levels are finely regulated in response to hyperglycemia. Plasma osmolality is therefore influenced by both plasma glucose and sodium levels. The brain is vulnerable to changes in plasma osmolality, with osmolar changes leading to changes in brain volume.

Whether plasma osmolality, reflected by sodium levels corrected for blood glucose levels, is associated with neurodevelopment in infancy, or whether the association of plasma sodium changes and neurodevelopmental outcomes is simply the reflection of the adverse effect of poor blood glucose control on the immature brain, remain to be assessed.
In this study, we hypothesized that fluctuations of plasma sodium corrected for blood glucose during the first days of life in preterm neonates would be more severe in the most prematurely born infants and that the administration of drugs interfering with water and sodium metabolism at the kidney level, such as non-steroidal anti-inflammatory drugs (NSAIDs), may be associated with larger variations in plasma sodium. Greater fluctuations would be associated with an increased risk of death and neurodevelopmental impairment at 18 months’ corrected age (CA).

METHODS

Study design and population

This is a retrospective observational cohort study of all infants born preterm ≤28 weeks’ GA between June 1, 2017 and December 31, 2018 at the CHU Sainte Justine neonatal intensive care unit, or admitted therein within 48 h of life. Infants deceased within the first 10 days of life were excluded from the study. Ethical approval for this study was obtained from the CHU Sainte-Justine research ethics committee (approval number 2020-2691). Written informed consent had been obtained from all participating families to be part of the Canadian Neonatal Follow-Up Network research database, which allows for retrospective analyses upon ethics approval. Access to medical charts was granted by the director of medical affairs of CHU Sainte-Justine, as per provincial regulations.

Plasma sodium and glucose measurements

In order to obtain daily plasma sodium and glucose levels from day 1 to day 30 of life, we retrospectively collected all plasma sodium and glucose values available from the hospital’s biochemistry department for these dates. When more than one value was available for a single day, we used the median of these values. We found that plasma sodium and glucose-corrected plasma sodium variability was maximal in the 10 days following birth. We, thus, specifically studied the determinants and possible consequences of high glucose-corrected sodium changes occurring during the first 10 days of life in preterm neonates. From day 1 to day 10 of life, we obtained 1818 daily plasma sodium and 1803 daily glucose values. When no value was available for the day, we used a linear extrapolation to estimate the daily value from the previous and the next available values. From day 1 to day 10 of life, 302 plasma sodium values and 317 plasma glucose values were extrapolated. Sodium and glucose fluctuations were defined by the difference between the maximal value and the minimal value recorded for each individual. Plasma sodium and glucose were obtained from capillary blood gas measurements (ABL800, Radiometer, Mississauga, ON, Canada). Plasma sodium was corrected for glucose using the Katz formula: $\text{Na}^\text{corr} = \text{Na} + 0.3 \times (\text{Glucose} - 5) \ [\text{all in mmol/l}]$

Some of the most extreme values of measured plasma sodium and glucose were outliers due to measurement errors (i.e., inaccurate blood sampling from the catheter). In such cases, repeat measurements were made to either confirm the outlier value or to provide a corrected value. The use of median values allowed us to avoid taking into account unconfirmed outliers.

18-month outcomes

As part of standardized follow-up care, all included preterm children were seen at 18–21 months’ CA for neurodevelopmental assessment as per the Canadian Neonatal Follow-Up Network protocol. Death after neonatal discharge was also ascertained through chart review or contact to families. At the 18-month visit, surviving children underwent a neurological examination by a trained pediatrician and developmental assessment with the Bayley Scales of Infant and Toddler Development, third edition, a standardized norm-referenced instrument that yields cognitive, language, and motor composite scores (mean 100, standard deviation 15). Medical charts were reviewed for auditory and visual function based on audiology and ophthalmology reports.

For the current study, we used a composite outcome of death at 18 months’ CA or neurodevelopmental impairment as these are competing events. Neurodevelopmental impairment was defined as the presence of any of the following: cerebral palsy, hearing loss requiring amplification, blindness, and a Bayley score ‘85. If the child was untestable due to significant developmental delay as per clinician’s judgment, that child was also considered to have neurodevelopmental impairment.

When unavailable for on-site visit, parents were contacted by phone to ensure vital status at 18 months CA.

Other variables of interest

Maternal and neonatal data were obtained from the Canadian Neonatal Network database and from medical charts. The presence and severity of BPD in the preterm group were defined using the classification from Jobe et al.12 Moderate-to-severe BPD was defined by oxygen supplementation requirement, continuous positive airway pressure or mechanical ventilation at 36 weeks postmenstrual age. We also used a composite outcome of death at 36 weeks postmenstrual age or BPD, as they are competing events.

We used the Score for Neonatal Acute Physiology (SNAP) to account for severity at birth.13 Acute kidney injury was defined by an increase in serum creatinine of at least 0.2 mg/dl (17 μmol/l) per day from a previous lower value, or by a serum creatinine level higher than 1.5 mg/dl (133 μmol/l).

Data analysis

Results are shown as means (standard deviation), medians (interquartile range) or n (%). Unadjusted comparisons between 3 groups of decreasing GA were performed using the Kendall test (continuous variables) or the Cochran–Armitage test (categorical variables). Associations between sodium or glucose fluctuations and composite outcomes of death or neurodevelopmental impairment, and death or BPD, were studied using linear models for variables in order to obtain estimates, 95% confidence intervals and p values. P values <0.05 were considered statistically significant. The normality of residuals was assessed visually and using the Shapiro–Wilk test. All analyses were performed using R version 3.6.0 (International Open Source collaborative).14

RESULTS

Population characteristics

There were 169 infants born during the study period. Neonates who died before 11 days of life (n = 22, including 15 with a GA <25 weeks) were excluded from the study. Thirteen children died between 11 days of life and the 18-month CA visit. At 18 months’ CA, neurodevelopmental assessment was available for 114 (85%) children, missing data being mainly due to COVID-19 pandemic restrictions. Table S1 provides the characteristics of patients with and without missing data at 18 months’ CA.

Characteristics of the cohort, according to GA, are provided in Table 1. Body weight changes were characterized by an initial decrease followed by a new increase in birth weight. Changes in body weight were similar across all groups of GA at birth, although initial weight loss tended to be more severe in the <25 weeks GA group (Fig. 1). Plasma urea and creatinine levels tended to decrease with time, irrespective of GA. Plasma urea changes within the first month of life were more severe in those with a lower GA (Fig. 2).

Plasma sodium and glucose changes in the neonatal period according to GA

The first assessment of plasma sodium was similar across GA groups. Plasma sodium levels showed high variability immediately after birth in preterm neonates (Fig. 3). We found an increase in plasma sodium levels in the first 2 days after birth, followed by a rapid decrease in plasma sodium that was larger in neonates born with a lower GA. We found large variations in plasma glucose levels that were more severe in those with a lower GA at birth. Glucose-corrected plasma levels also showed increased variability with decreasing GA.

Determinants of sodium and glucose fluctuations within the first 10 days of life and association with neonatal outcomes

Both glucose-corrected sodium and glucose fluctuations were more severe in the lowest GA group. More severe glucose-corrected sodium fluctuations were associated with a more severe
neonatal course, as indicated by an association with markers of neonatal severity (SNAP, BPD). These associations did not persist, however, after adjustment for GA. Use of NSAIDs, but not acute kidney injury, was associated with increased glucose-corrected plasma sodium fluctuations, independently of GA. Glucose-corrected sodium fluctuations were also associated with severe intraventricular hemorrhage, independently of GA (Table 2).

**Early sodium and glucose fluctuations and association with 18-month neurodevelopmental outcomes**

Plasma glucose, sodium, and glucose-corrected sodium fluctuations during the first 10 days of life were associated with an increased occurrence of death or neurodevelopmental impairment at 18 months' CA, and this association remained after adjustment for GA (Table 3).

**DISCUSSION**

In this study, we show that neonates born preterm ≤28 weeks’ GA experience large fluctuations in plasma glucose and sodium levels after birth. These changes appear to be maximal in the 10 days following birth, and in those with the lowest GA. Changes in glucose-corrected plasma sodium levels were associated with an increased rate of severe intraventricular hemorrhage. Furthermore, both glucose and glucose-corrected plasma sodium fluctuations within the first 10 days of life were associated with death or neurodevelopmental impairment at 18 months’ CA, and these associations remained after adjustment for GA.

It was previously reported that water and sodium handling are altered in the extremely preterm neonate, and especially so in the first days of life. Extremely preterm infants show a prolonged period of high urinary sodium losses that require increased sodium intake to maintain homeostasis. In addition, sodium intakes are difficult to control, due to numerous sources of...
inadvertent sodium intake in the neonatal care unit, including
through carrier solutions.\textsuperscript{1} Inability to maintain sodium home-
ostasis and difficulty to control sodium intake result in large
fluctuations in plasma sodium within the first days of life in
premature infants, which were previously reported in different
settings.\textsuperscript{2,4} In our study, we also found large fluctuations in plasma
dsodium levels, that were more severe when GA was lower,
similarly to previous research.\textsuperscript{3}

The administration of NSAIDs in the first days of life for patent
ductus arteriosus was associated with an increased risk of acute
kidney injury, as previously reported,\textsuperscript{16} but also with increased
plasma sodium fluctuations. NSAIDs promote water and sodium
retention by inhibiting renal chloride reabsorption and increasing
circulating levels of aldosterone and vasopressin.\textsuperscript{17} Ibuprofen,
which was the most commonly used NSAID in our study, has
previously been associated with hyponatremia when administered
for patent ductus arteriosus.\textsuperscript{18} Special care should thus be given to
plasma sodium levels in infants receiving NSAIDs for patent
ductus arteriosus.

Rapid changes in plasma sodium, leading to changes in
plasma osmolality, have been associated with neurological
damage.\textsuperscript{15,20} We found that plasma sodium fluctuations were
associated with an increased risk of severe intraventricular
hemorrhage and that this association remained after adjustment
for GA. These results are in line with previous research, which
found that hypernatremia,\textsuperscript{21} hyponatremia, and sodium fluctua-
tions\textsuperscript{22} were associated with the risk of intraventricular
hemorrhage in preterm infants. We, thus, aimed to investigate
the possible adverse effect of early plasma sodium fluctuations
on brain development in preterm infants. Baraton et al.
previously reported that large changes in plasma sodium levels
occurring during the first month of life were associated with
impaired functional outcomes at 2 years, after adjustment for
GA.\textsuperscript{9} Howell et al. found that hypernatremia, defined by the
occurrence of a plasma sodium level above 145 mmol/l, was
associated with lower fine motor scores at 18 months’ CA.\textsuperscript{23}

These two studies, however, did not account for plasma glucose
levels, which also contribute to effective osmolality. In our study,
we corrected plasma sodium levels for glucose levels, using the
Katz formula,\textsuperscript{9} and found an association between the fluctuation
of glucose-corrected plasma sodium levels during the first
10 days of life (when plasma sodium changes were identified as
maximal) with neurodevelopment at 18 months’ CA, that
remained after adjustment for GA and for the SNAP
severity score.

In contrast, while we found an association between plasma-
corrected sodium fluctuations and BPD, this association did not
persist after adjustment for GA.

We found that early fluctuations in glucose levels were also
independently associated with both a lower GA and suboptimal
neurological outcomes, in line with a previous study that reported
an association of neonatal hyperglycemia with impaired neuro-
developmental outcomes in extremely preterm infants.\textsuperscript{24} This
highlights the necessity to correct plasma sodium levels for
fluuctuations in glucose levels when attempting to determine whether acute
changes in plasma sodium may lead to adverse neonatal
outcomes.

Our study has limitations. First, we could not obtain a
neurodevelopmental assessment for all included infants in our
study. This was mainly due to the COVID-19 pandemic-related
travel and clinical restrictions; indeed, the assessment performed
at 18 months’ CA could not be performed on a number of
infants who should have been evaluated after March 2020.
Nevertheless, we were able to obtain neurodevelopmental
status for the majority of the infants included in the study.
Second, we did not record daily intakes of electrolytes. Indeed,
in the early neonatal period, a large proportion of sodium intake
is inadvertently provided by various sources\textsuperscript{1} (catheter flushes,
arterial blood pressure measurements, IV drugs including
antibiotics…) making a reliable collection of this data impossible
in this retrospective study. Third, a small number of patients \(n = 22\) (15%) had missing data for NSAID use. One can reasonably

\textbf{Fig. 2} Plasma urea and creatinine levels in extremely preterm neonates, according to gestational age. Each point represents the mean
daily value; when multiple measurements were performed in a single day, the median value was kept. When no measurement was obtained,
the daily value was extrapolated from linear interpolation of the two closest values. Error bars indicate the 95th confidence interval for
the mean.
presume that these infants (mostly 27–28 weekers) did not receive NSAID.

A strength of this study is the use of the Katz formula, which is largely used in clinical practice, to correct sodium values for blood glucose levels. Glucose levels were most of the time measured in the same sample as sodium samples, although when multiple measurements were performed in a single day, sodium and glucose were not necessarily measured contemporaneously. Hence, the use of both median glucose and sodium values allows to provide an approximation of daily glucose and sodium control, outside of extreme and possibly inaccurate fluctuations. We found a large variability of both plasma sodium and glucose levels within the first days of life in preterm neonates, and more specifically a high rate of hyperglycemia that was more severe in those with the lowest GA. We did not measure plasma osmolality. Measured plasma osmolality accounts for both effective osmoles (including sodium and glucose) and ineffective osmoles, which can freely cross cellular membranes and thus do not contribute to intracellular hydration. We show in this study large physiological variations in plasma urea within the first days of life, that is more severe in those born preterm. Urea is an ineffective osmole, that does not participate in an osmolar imbalance between the extracellular and the intracellular mediums. Hence, the use of the Katz formula to correct sodium levels for plasma glucose likely represents a more accurate estimation of effective plasma osmolality in this population and allows to estimate the deleterious effect of osmolar changes per se on neurological outcomes in very preterm infants.

This study demonstrates that plasma sodium fluctuations occurring within the first 10 days of life in very preterm infants are more severe in infants with a lower GA, those receiving NSAIDs, and are not solely related to changes in blood glucose. Large plasma sodium fluctuations may increase the risk of death or developmental impairment. These results have consequences in clinical practice. Indeed, while controlling for blood glucose and plasma sodium is a challenge, especially in the most preterm neonates, due to inadequate fluid and electrolyte homeostasis and inadvertent sodium intake, especially in those receiving NSAIDs, it may be of major importance for optimizing the neurodevelopmental outcomes of infants born extremely preterm.

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**Fig. 3** Plasma sodium, glucose, and sodium corrected for glucose levels in extremely preterm neonates, according to gestational age. Each point represents the mean daily value; when multiple measurements were performed in a single day, the median value was kept. When no measurement was obtained, the daily value was extrapolated from linear interpolation of the two closest values. Plasma sodium and corrected sodium are shown as the mean daily change from baseline level (defined as the first plasma sodium measurement after birth), while glucose is shown as the mean daily absolute value. Error bars indicate the 95th confidence interval for the mean. Corrected sodium is calculated according to Katz.
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Raw data are available upon reasonable request to the corresponding author.

DATA AVAILABILITY

Raw data are available upon reasonable request to the corresponding author.

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Table 2. Association of glucose and glucose-corrected plasma sodium fluctuations with neonatal characteristics and complications, without and with adjustment for gestational age.

|                        | Glucose | Glucose (adj. for GA) | Glucose-corrected plasma sodium | Glucose-corrected plasma sodium (adj. for GA) |
|------------------------|---------|-----------------------|---------------------------------|---------------------------------------------|
| Gestational age        | −0.95*  | —                     | −1.40*                          | —                                           |
| Male sex               | 0.03    | (−1.17, 1.24)         | 0.53                            | 0.94                                        |
| SNAP score             | 0.03    | (−0.03, 0.09)         | 0.10                            | 0.06                                        |
| Severe IVH             | 2.69    | (−0.09, 5.48)         | 7.22                            | 4.46                                        |
| NSAID-treated PDA      | 0.84    | (−0.48, 2.16)         | 4.89                            | 3.84                                        |
| Acute kidney injury    | 4.88*   | (3.23, 6.53)          | 2.93                            | 1.05                                        |
| Death at 36 weeks’ GA or moderate-to-severe BPD | 2.25* | (0.99, 3.52) | 3.68* | 2.02 |

Sodium and glucose fluctuations were defined by the difference between the maximal value and the minimal value recorded for each individual within the time period. Results are shown as coefficients B with 95% confidence interval (CI) calculated using linear regression.

Table 3. Association of glucose and glucose-corrected plasma sodium fluctuations with death and neurodevelopmental outcomes, without and with adjustment for gestational age and SNAP score.

|                        | Glucose | Plasma sodium | Glucose-corrected plasma sodium |
|------------------------|---------|---------------|---------------------------------|
| No adjustment          |         |               |                                 |
| Death at 18 months’ CA or neurodevelopmental impairment | 2.57 | 3.33 | 3.19 |
| Death at 18 months’ CA or neurodevelopmental impairment | 1.74 | 2.28 | 2.10 |
| Death at 18 months’ CA or neurodevelopmental impairment | 2.37 | 2.92 | 2.84 |
| Death at 18 months’ CA or neurodevelopmental impairment | 2.39 | 2.45 | 1.97 |
| Death at 18 months’ CA or neurodevelopmental impairment | 1.91 | 2.28 | 2.03 |

Sodium and glucose fluctuations were defined by the difference between the maximal value and the minimal value recorded for each individual within the time period. Results are shown as coefficients B with 95% confidence interval (CI) calculated using linear regression.

*Adjustment for gestational age, SNAP score, and NSAID use.
AUTHOR CONTRIBUTIONS
A.-S.G., T.M.L., A.V., A.-A.M., J.V., A.C., and F.L. collected the data. AF performed data analysis. A.-S.G., T.M.L., A.M.N., and A.F. wrote the first version of the manuscript. A.V., A.-A.M., J.V., A.C., and F.L. participated in the interpretation of the results. A.F. supervised the study. All authors revised the manuscript.

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COMPETING INTERESTS
The authors declare no competing interests.

ETHICS APPROVAL AND CONSENT TO PARTICIPATE
Written informed consent had been obtained from all participating families to be part of the Canadian Neonatal Follow-Up Network research database, which allows for retrospective analyses upon ethics approval.

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Correspondence and requests for materials should be addressed to Adrien Flahault.

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