Compartmentalized T cell profile in the lungs of patients with HIV-1-associated pulmonary Kaposi sarcoma

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Abstract

Pulmonary Kaposi sarcoma (pKS) caused by Human herpesvirus 8 (HHV-8) is a devastating form of KS in patients with advanced acquired immunodeficiency syndrome (AIDS) and is associated with increased morbidity and mortality. Blood T cells play a central role in the response of HIV-1 and HHV-8. However, little information is available on T cells in the alveolar space of HIV-1-associated pKS patients.

Therefore, we examined CD8+ and CD4+ T cells in the alveolar space in comparison with the blood of patients with pKS. We recruited 26 HIV-1-positive patients with KS, including 15 patients with pKS. Bronchoalveolar lavage (BAL) cells and blood mononuclear cells were analyzed for T cell memory phenotypes, surface markers associated with exhaustion, and intracellular cytokine staining (ICS) using flow cytometry. HIV-1 and HHV-8 viral loads were measured in plasma by quantitative PCR.

BAL T cells showed reduced inflammatory capacities and significantly diminished polyfunctionality compared to blood T cells from patients with pKS. This was not accompanied by increased expression of exhaustion markers, such as TIM-3 and PD-1.

More importantly, we found a negative correlation between the production of MIP-1-8 and TNF-8 in T cells in BAL and blood, indicating compartmentalised immune responses to pKS and accentuated chronic HIV-1/HHV-8 pathogenesis via T cells in the lungs of people with pKS.

Abbreviations: ART = antiretroviral therapy, AIDS = acquired immunodeficiency syndrome, BAL = bronchoalveolar lavage, HHV-8 = human herpesvirus 8, KS = Kaposi sarcoma, pKS = pulmonary Kaposi sarcoma.

Keywords: acquired immunodeficiency syndrome, bronchoalveolar lavage, CD8+ T cells, human herpesvirus 8, pulmonary Kaposi sarcoma

1. Introduction

Acquired immunodeficiency syndrome (AIDS)-associated lung complications such as pulmonary Kaposi sarcoma (pKS) cause irreversible tissue damage with unfavourable outcomes after initiation of antiretroviral therapy (ART). Blood samples are frequently collected to improve our knowledge of cellular immune responses accompanying such severe disease stages. However, the concordance of the immune profiles of circulating and mucosal immune cells in pulmonary diseases is not well understood.

Human herpesvirus 8 (HHV-8) is the causative agent of Kaposi sarcoma (KS), the most common cancer among HIV-1 with AIDS and one of the most common cancers in countries with high HIV-1 prevalence, such as Zimbabwe.\textsuperscript{11-13} It has contributed to the increase in morbidity and mortality of AIDS patients worldwide.\textsuperscript{41} Persistent chronic inflammation and immunosuppression might drive tumor formation on the skin and in the mouth, and advanced KS disease involves visceral organs such as the gastrointestinal tract and lungs.\textsuperscript{51} pKS is a devastating illness that may involve the lung parenchyma, endobronchial tree, and visceral pleura, and is associated with high mortality.\textsuperscript{61} Diagnosis of pKS is made by a combination of clinical presentation, the presence of KS skin lesions, radiological abnormalities, and bronchoscopy. The latter allows visualisation of lesions characteristic of pKS in the endobronchial mucosa and collection of bronchoalveolar lavage fluid (BAL), to exclude other infectious conditions that can cause similar radiological findings.\textsuperscript{7-10} BAL...
has also been used to characterise the immunopathology and cellular immune responses against HIV-1 to better understand the mechanisms of infectious and non-infectious AIDS-associated lung complications. However, in the context of HIV-1-associated pKS, BAL immune cells have not been investigated to date.

HIV-1 infection induces an early strong T cell response which is associated with reduced viral loads and protection in people naturally controlling HIV-1 replication, whereas chronic HIV-1 infection with high viral burden is associated with viral escape, reduced Nef-mediated HIV-1 antigen presentation, and malfunction of T cells by upregulation of surface markers associated with exhaustion, such as PD-1, TIM-3, or CTLA4.

Multiple Institutional Review Board (COMIRB). Written consent was obtained from all the patients prior to sample collection. The detailed inclusion and exclusion criteria and study protocol are provided in the Supplementary Methods section (see Supplementary Digital Content, Methods, http://links.lww.com/MD/G533). We received de-identified anonymized samples from 11 HIV-1 positive patients with KS confined to the skin (pKS negative) and 15 samples from HIV-1 positive patients with endobronchial (pulmonary) KS (pKS positive) according to the KS Staging ACTG criteria. pKS was diagnosed by visual examination of the airways using bronchoscopy. After the initial assessment, all participants initiated ART, if not already started, and the standard of care KS treatment. T cell analysis was performed on all subjects with a sufficient number of cells collected (pKS negative=10 subjects and pKS positive=11 subjects). CD4 counts and viral loads for HIV-1 and HHV-8 in the blood were not significantly different between patients with and without pKS (Table 1 and see Supplemental Digital Content, Table S1, http://links.lww.com/MD/G531).

### 2. Materials and methods

#### 2.1. Cohort and ethical statement

The study was approved by the Joint Parirenyatwa Hospital and College of Health Sciences Research Ethics Committee (JREC), Medical Research Council of Zimbabwe IRB, and Colorado Multiple Institutional Review Board (COMIRB). Written consent was obtained from all the patients prior to sample collection. Peripheral blood was collected 7 days before bronchoscopy, and peripheral blood mononuclear cells (PBMCs) were isolated by density centrifugation. PBMCs were stored in freezing medium (90% fetal bovine serum (FBS) and 10% DMSO) for several weeks at −80°C and analyzed in batches. Bronchoscopy was performed as described in the Supplementary Material (see Supplementary Digital Content, Methods, http://links.lww.com/MD/G533). Bronchoalveolar lavage (BAL) fluid was collected from all 26 patients and processed immediately. BAL cells were obtained by centrifugation and used for downstream analyses.

#### 2.2. Sample collection and processing

### 2.3. Flow cytometry

BAL cells and PBMCs were stained with antibodies to investigate T cells for cytokine/chemokine production, memory phenotypes, and exhaustion markers. Details of all antibody clones and manufacturers are provided in Supplementary Data (see Supplementary Digital Content, Table S2, http://links.lww.com/MD/G533). For intracellular cytokine and chemokine production, cells were stimulated for a short period with phorbol myristate acetate (PMA) and ionomycin (PMA/I, 1:500; BioLegend, Cat. 423301) In the presence of 2.5 μL of the Golgi inhibitor Brefeldin A (BioLegend) and 2.5 μL anti-CD49d/CD28 (BD) for 4 hours in a CO2 incubator at 37°C and 5% CO2. To exclude dead cells, samples were stained with LIVE/DEAD Fixable dyes (ThermoFisher) for 10 minutes at room temperature (RT). Cells were washed with washing buffer (PBS + 1% FCS) and centrifuged for 5 minutes at 400xg. The following antibodies were used to phenotype T cells in the ICS panel: CD3 (BD Bioscience, UCHT1), CD4 (BioLegend, OKT4), CD8a (BioLegend, HLT8a), and CCR7 (BD Bioscience, 150503). For the memory and exhaustion panel, CD3 (BioLegend, OKT3), CD4 (BD Bioscience, SK3), CD8 (BioLegend, RPA-T8), CD14 (BioLegend, M5E2), CD19 (BioLegend, HIB19), CD56 (BioLegend, HCD56) and CD45RA (BioLegend, HI100) were used. CD19, CD56, and CD14 were used as exclusion markers for non-T cells. These antibodies were incubated for 10 minutes at RT, followed by intracellular staining of cytokines and chemokines with the antibodies IFN-γ (BioLegend, 4G.B3), IL17A (BioLegend, BL168), MIP1-β (BD Biosciences, D21–1351), TNF-α (BioLegend, Mab11), CD28 (BioLegend, CD28.2), CTLA4 (BD Bioscience, BN13), PD-1 (BioLegend, EH12.2H7), and TIM-3.
Table 1
Summary of Kaposi sarcoma patient cohort and clinical information.

|                          | Pulmonary KS negative | Pulmonary KS positive | Significance (P value) |
|--------------------------|-----------------------|-----------------------|-----------------------|
| #Of patients             | 11                    | 15                    | N/A                   |
| gender                   | 1 female: 10 male     | 2 female: 13 male     | 1.00                  |
| Median age (median, IQR range) | 35 (30–44) years       | 33 (28–40) years       | .699                  |
| Duration of HIV infection (median, IQR range) | 19 (3–32) months       | 42 (2–79) months       | .391                  |
| Duration of ART (median, IQR range) | 7 (0–24) months       | 6 (1–70) months       | .530                  |
| HIV-1 viral load (median, IQR range) | 20 (TND–592) copies/mL | 2329 (39–210 032) copies/mL | .393                  |
| HHV-8 viral load (median, IQR range) | 186 (25–363) copies/ml | 540 (183–631) copies/ml | .189                  |
| CD4+ Count (median, IQR range) | 196 (49–479) cells/ml | 245 (94–305) cells/ml | 1.00                  |
| BAL Cell Recovery (median, IQR range) | 9.5 (6.75–18.5) million cells | 14 (8–27.25) million cells | .421                  |
| Occurrence of mouth/oral lesions | 36% (4)               | 53% (8)               | .267                  |
| CXR – normal             | 64% (7)               | 33% (5)               | .431                  |
| Use of Cotrimoxazole - yes | 82% (9)               | 67% (10)              | .658                  |
| Previous respiratory infection | 18% (2)               | 36% (5)               | .391                  |
| Sputum culture - negative | 78% (7)               | 92% (12)              | .544                  |
| Sputum Genéxpert - negative | 100%                 | 100%                  | N/A                   |
| BAL culture - negative   | 64% (7)               | 73% (11)              | .683                  |
| BAL Genéxpert - negative | 100%                  | 100%                  | N/A                   |
| BAL POP – negative       | 100%                  | 100%                  | N/A                   |
| Pulmonary KS negative     | 15                    |                       |                       |
| Pulmonary KS positive     |                       | 15                    |                       |
| Significance (P value)    |                       |                       |                       |

Mann-Whitney U and Fisher exact tests were used to determine statistical differences between the 2 groups.

ART = antiretroviral treatment, CXR = chest X-ray, HIV-1 = human immunodeficiency virus 1, IQR = interquartile range, KSHV = Kaposi sarcoma-associated herpesvirus, n/a = not available, TND = target not detected.

(BioLegend, F38–2E2) using the perm/wash buffer (BD Biosciences) for intracellular staining, according to the manufacturer’s instructions. The stained cells were fixed with 4% paraformaldehyde (PFA, Sigma) and analyzed by flow cytometry using a BD LSRFortessa. Cell profiles and frequencies were analyzed using FlowJo v10.6 to v10.6.2 (BD). SPICE v6.1 algorithm[40] was used for the analysis of polyfunctional T cells.

2.4. Viral loads

Plasma was collected and stored at −80°C to determine viral loads of HIV-1 and HHV-8. For HIV-1, the COBAS AmpliPrep/COBAS Taqman HIV-1 Test v2.0 kit was used to detect HIV-1 RNA following the manufacturer’s instructions. After extraction of DNA from 200 μL of plasma (Qiagen blood kit), plasma HHV-8 DNA was quantified by real-time PCR amplification of a conserved region of the ORF 26 minor capsid gene, as previously described.[41]

2.5. Statistical analysis

All statistical analyses were performed using the Prism software (GraphPad v5.02). All data are expressed as medians and interquartile ranges. For comparison of 2 groups (Figs. 1 and 3), we used a nonparametric Mann–Whitney U test and for multiple group comparisons a nonparametric one-way ANOVA with Dunn’s test for multiple comparisons (Fig. 2). For correlations, we used a nonparametric Spearman’s correlation test (Fig. 4). For the comparison of specific clinical data, Fisher exact test was used, as the variables were nominal (Table 1). For all tests, differences were considered significant at P < .05.

3. Results

3.1. Reduced inflammatory responses of BAL CD8+ T cells from patients with pKS

The profile of T cells from mucosal compartments, such as in BAL, differs significantly from T cells in the circulation.[42] Mucosal T cells in BAL primarily comprise TEM cells compared to naive and TCM cells in the periphery.[43] In our cohort, we also found a higher frequency of effector memory CD8+ and CD4+ T cells in BAL compared to PBMCs, while T cell phenotypes in blood were enriched for CD8+ TEMRA and CD4+ TCM cells (see Supplemental Digital Content, Figure S1 and S2, http://links.lww.com/MD/G533). The phenotypes of T cells in each compartment did not change when analyzed by pKS status (see Supplemental Digital Content, Figure S3, http://links.lww.com/MD/G533). Chronic HIV-1 infection leads to infiltration of pro-inflammatory CD8+ TEM cells in the alveolar space, but also to increased expression of exhaustion markers, which might result in a reduced cytokine response in the BAL of patients with pKS compared to KS.[44] Therefore, we set up an ex vivo stimulation assay to investigate the inflammatory potential of BAL and blood T cells from patients with KS and pKS after stimulation with PMA/I by ICS flow cytometry (see Supplemental Digital Content, Figure S4, http://links.lww.com/MD/G533). MIP1-β, TNF-α, and IFN-γ expression was significantly higher in CD8+ T cells from PBMCs than in BAL (Fig. 1 A–C), with similar trends for CD4+ T cells (Fig. 1 D–H). Overall, we did not observe any difference between BAL and blood T cells in samples from pKS-negative patients (see Supplemental Digital Content, Figure S5, http://links.lww.com/MD/G533). In contrast, pKS patients were significantly different between BAL and blood T cells (see Supplemental Digital Content, Figure S6, http://links.lww.com/MD/G533). Therefore, our data suggest that the pro-inflammatory capacity of BAL CD8+ and, to some extent, BAL CD4+ T cells are significantly reduced in patients with pKS compared to blood T cells. To further understand the impact of pKS on BAL T cells, we performed an analysis of polyfunctional T cells after PMA/I stimulation. TNF-α, MIP1-β, T cells constituted the highest proportion of all polyfunctional CD8+ or CD4+ T cells (Fig. 2). Interestingly, the frequency of all polyfunctional subpopulations was not altered in patients without pKS (Fig. 2A and B), while polyfunctional (IFN-γ+ MIP1-β+ TNF-α+, IFN-γ+ MIP1-β+, IFN-γ+TNF-α+, and MIP1-β+ TNF-α+) CD8+ and CD4+ T cells were significantly reduced in BAL.
compared to blood T cells from patients with pKS (Fig. 2C and D). In summary, we observed reduced single- and polyfunctional capacities of BAL, but not blood CD8+ and CD4+ T cells from patients with pKS to produce pro-inflammatory cytokines such as TNF-α and MIP1-β.

### 3.2. BAL T cell surface marker of exhaustion are not increased in patients with pKS

T cell exhaustion during chronic HIV-1 infection is well reported and is associated with a loss of the inflammatory and cytotoxic activities of these cells. Thus, we investigated the impact of pKS on T cell exhaustion by comparing the frequency of the surface receptors TIM-3, PD-1, CD28, and CTLA4 between BAL and blood T cells (Supplemental Digital Content, Figure S7, http://links.lww.com/MD/G533). We did not find a significant difference in the expression of exhaustion markers between BAL and blood (Fig. 3), independent of pKS status (see Supplemental Digital Content, Figure S8 and S9, http://links.lww.com/MD/G533). A poly exhaustion marker analysis for cells expressing more than 1 exhaustion marker revealed a high proportion of TIM-3 and PD-1 double-positive cells in the BAL and blood. However, this was not upregulated in BAL T cells from patients with pKS (see Supplemental Digital Content, Figure S10, http://links.lww.com/MD/G533). In conclusion, the analysis of cell surface markers associated with T cell exhaustion in T cells from...
Figure 2. Decrease of polyfunctional CD8+ and CD4+ T cells in BAL of patients with pKS. CD8+ and CD4+ T cells in patients with KS (A and B) or pKS (C and D) were analysed for the expression of multiple pro-inflammatory cytokines/chemokines using the software package pestle and spice. Scatter plots are labelled with median and interquartile range. P values were determined by a non-parametric Kruskal-Wallis test followed by Dunn’s multiple comparison test.

Figure 3. Comparable expression of exhaustion marker in BAL T cells compared to PBMCs. BAL or PBMCs CD8+ (A-D) and CD4+ (E-H) T cells from KS patients (open circles and squares) and pKS patients (closed circles and squares) were analysed for the expression of PD-1, CTLA4, CD28 and TIM-3. P values were determined by non-parametric Mann Whitney U test. Scatter plots are labelled with median and interquartile range.
BAL and blood did not reveal a significant upregulation of single or multiple exhaustion markers in BAL T cells from patients with pKS, suggesting that the reduced capacity of BAL T cells to produce MIP1-β, TNF-α, and IFN-γ compared to blood is not associated with the upregulation of exhaustion markers.

### 3.3. Negative correlation of BAL CD8+ T cell cytokine expression compared to blood in patients with pKS

pKS significantly impacted the production of cytokines and chemokines by BAL T cells compared to blood. Instead, we observed a trend of increased TNF-α and MIP1-β production in blood CD8+ T cells from patients with pKS (see Supplemental Digital Content, Figure S11, http://links.lww.com/MD/G533). This suggests that pKS might have a different impact on circulating CD8+ T cells compared to BAL CD8+ T cells. To further investigate this observation, we ran a correlation matrix between donor-matched BAL and blood T cells expressing either TNF-α, MIP1-β, or both (TNF-α+MIP1-β+). We found a significant positive correlation between TNF-α and MIP1-β expression in CD8+ and CD4+ T cells in the same compartment, suggesting that increased expression of either cytokine or chemokine was associated with a higher expression of other pro-inflammatory analytes (Fig. 4). However, the same comparisons were negatively correlated between the compartments in a cross-sectional analysis, indicating that an increased expression of TNF-α or MIP1-β in blood is associated with a decreased expression in the BAL of the same patients (Fig. 4).

In conclusion, in this cohort of AIDS-associated KS patients, BAL CD8+ T cells were almost exclusively of a TEM phenotype, independent of pKS diagnosis, but significantly different from blood CD8+ T cells. pKS diagnosis was associated with decreased production of MIP1-β, TNF-α, IFN-γ, and polyfunctionality of BAL T cells. Interestingly, blood CD8+ T cells from patients with pKS did not follow this trend. Instead, we found a negative association between the inflammatory cytokine production of MIP1-β and TNF-α between BAL and blood CD8+ T cells. Therefore, the state of the local immune response in the mucosa of patients with HIV-1-associated pKS was not predictable by sampling the blood of these patients.

### 4. Discussion

Chronic HIV-1 infection has a significant impact on lung function and is associated with a vast number of infectious and non-infectious lung complications, such as pKS. T cell responses are an essential component of the immune system to control acute and chronic viral infections; however, immune responses to HIV-1-associated lung diseases are primarily studied by sampling the blood. Thus, it is not well understood how these findings reflect the immune response in mucosal tissues. Our analysis of BAL and blood T cells in patients with and without pKS confirmed the dominant presence of CD8+ and CD4+ TEM cells in BAL compared to blood. In patients with pKS, we found a decrease in the poly-functionality of BAL T cells compared to that in blood. Importantly, we found a negative association between BAL and blood T cells with the ability to produce MIP1-β and TNF-α after stimulation. Our data suggest that pulmonary KS might induce compartmentalised changes that are not reflected in the periphery of the same patient.
It is generally well described that the majority of T cells in the alveolar space are of a TEM phenotype, based on the absence of CD45RA and CD62L on their cell surface.\[48\] In our cohort, this phenotype was not altered by pKS. CD8+ T cells infiltrating the alveolar space during HIV-1 and other infections have been described as primarily of a TEM phenotype, suggesting that TEM cells, due to their expression of the lung-homing receptors CXCR3 and CCR5 are the primary recruited cell type in this compartment in health and disease.\[45,49\] The frequency of TEM cells was significantly lower in blood CD8+ T cells, which might result in a compartmentalised response to HIV-1 and HHV-8, such as differences in cytokine and chemokine expression. The high frequency of CD8+ TEMRA cells in the blood might have contributed to the observed differences. However, we were not able to investigate the impact of KS on the BAL CD8+ T cell phenotype or CD8+ T cell infiltration, since we did not recruit a KS negative control group. The focus of this study was to investigate the T cell profile at the mucosal site compared to the periphery in the context of pKS. The HIV-1 or HHV-8 viral load was not significantly different in plasma from pKS-positive versus-negative patients. However, pKS patients had relatively high and persistent HIV-1 viral loads in the peripheral blood, despite ART for at least 5 months before the bronchoscopy, which might indicate a role for viral replication in driving the decreased pro-inflammatory response of BAL CD8+ T cells. We did not measure the viral load in the BAL fluid; therefore, it remains to be determined whether our findings in this compartment are associated with increased viral replication. In this context, the lung and the alveolar space have been suggested to serve as a cellular reservoir for HIV-1, whereas cell-free virus might correlate between plasma and BAL fluid.\[38,50,51\] However, the heterogeneity of our cohort might have resulted in other confounders which could have contributed to our findings. Chronic HIV-1 infection has been reported to facilitate the recruitment of CD8+ T cells to the lungs\[52\] and ultimately leads to the dysfunction of CD8+ T cells and a reduced presence of CD4+ T helper cells.\[30,53\] Therefore, a reduction in total CD4+ T cells or HHV-8 specific BAL CD4+ T cells might significantly contribute to the development of pKS.\[54\] Likewise, an increased frequency of regulatory CD4+ T cells could impact the CD8+ T cell response against HHV-8 and enhance the development of KS.\[24\] Thus, HHV-8 specific T cell responses should be further investigated in this compartment.

In our data set, cell surface markers associated with T cell exhaustion were not increased in BAL versus blood T cells from pKS patients. However, the frequency of PD-1+ and TIM3+PD-1+ CD8+ T cells was high in this cohort, independent of the pKS diagnosis. TIM-3+PD-1+ CD8+ T cells have been shown to have reduced function and increased exhaustion.\[55\] TIM-3 expression also leads to exhaustion of T cells in the context of chronic stimulation and HIV-1 infection, which results in reduced cytotoxic activity, inflammatory capacities, or polyfunctionality.\[55-57\] However, we did not directly measure the cytokine production or cytotoxicity of TIM-3+PD-1+ CD8+ T cells. Other escape mechanisms of HHV-8 have been described and might also play a role in reducing T cell responses in BAL, but were not investigated in our study.\[27,28\]

In our experimental setup, we investigated the capacity of CD8+ T cells to produce pro-inflammatory cytokines/chemokines by strongly stimulating the cells with the protein kinase C activating compound PMA/I. This enabled us to measure the general inflammatory capacity of T cells independent of T cell receptor (TCR) specificity. Thus, for TCR-dependent stimulation, it needs to be further explored in a larger cohort to determine whether pKS diagnosis is associated with a decreased response in BAL T cells compared to blood. In addition to the possible presence of ongoing HIV-1 and HHV-8 replication, pKS patients often present clinically with other co-infections or co-morbidities such as TB or chronic obstructive pulmonary disease. Although not statistically significant in our cohort, there was a trend for increased mouth/oral lesions, abnormal chest X-rays, and previous diagnosis of respiratory illnesses in the pKS group. It cannot be excluded that additional lung complications accelerated the decreased cytokine and chemokine production of BAL T cells specific for additional pathogens such as M. tuberculosis in patients with HIV-1-associated pKS. However, all participants were tested for active tuberculosis and pneumocystis pneumonia (PCP), and therefore might not account for these differences. Our study is limited by its small sample size, which may not allow generalised conclusions. A larger cohort would be required to investigate the specificity of BAL T cells, association with viral loads, and the pro-inflammatory and cytotoxic capacity of BAL CD8+ T cells in a TCR-dependent manner. Furthermore, our study was biased in the recruitment of primarily male patients, which likely reflects the higher burden of AIDS-KS in men compared to women in African countries.\[58-60\] Nevertheless, these limitations cannot fully explain the discrepancy between BAL and PBMCs in pKS, with decreased expression of inflammatory cytokines and reduced polyfunctionality in BAL CD8+ T cells compared to blood T cells. Thus, our data indicate a negative correlation between the production of MIP1-β and TNF-α in CD8+ cells in BAL and blood. In the periphery, pKS was associated with a trend increase in the expression of inflammatory markers, while in the alveolar space with a diminished inflammatory T cell response. Our study underscores the relevance of investigations of the alveolar space in patients with HIV-1-associated lung complications in order to improve our knowledge about the mechanisms driving the pathology in these patients. Bronchoscopy is more invasive than collection of blood samples and requires specialized clinical training. However, we would like to argue that BAL sampling significantly improves our understanding of HIV-1-associated lung complications such as pKS and may contribute to the development of new treatment strategies against pKS.

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