Research article

Social status and susceptibility to wildfire smoke among outdoor-housed female rhesus monkeys: A natural experiment

Heng Bia, John P. Capitanio b,c, Lisa A. Miller b,d, Jane E. Cloughertye,∗

a Department of Environmental and Occupational Health, Graduate School of Public Health, University of Pittsburgh, Pittsburgh, PA, USA
b California National Primate Research Center, Davis, CA, USA
c Department of Psychology, University of California Davis, Davis, CA, USA
d Department of Anatomy, Physiology, and Cell Biology, School of Veterinary Medicine, University of California Davis, Davis, CA, USA
e Department of Environmental and Occupational Health, Dornsife School of Public Health, Drexel University, Philadelphia, PA, USA

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ABSTRACT

Introduction: Wildfire smoke (WFS) exposure is a growing threat to human health, and lower socioeconomic position (SEP) has been shown to increase pollution susceptibility. Studies of SEP-related susceptibility, however, are often compromised due to spatial confounding between lower-SEP and pollution. Here we examine outdoor-housed nonhuman primates, living in natural social hierarchy in a common location, born during years of high vs. low WFS, to examine the separate and combined effects of WFS and social rank, an analog to SEP, on lung and immune function.

Methods: Twenty-one females were born during extreme WFS events in summer 2008; 22 were born in summer 2009, during low WFS. Pulmonary function and circulating cytokines were measured three years later, in adolescence. We estimated fine particulate (PM2.5) and ozone exposures during each animal’s first 90 days and three years of age using regulatory data. Early-life social status was estimated using maternal rank at birth, as rank in females is relatively stable throughout life, and closely approximates mother’s rank. We tested associations among WFS exposure, rank, and endpoints using linear regression and ANOVA.

Results: Higher WFS exposure in infancy was, on average, associated with lower functional residual capacity (FRC), residual volume (RV), tissue compliance (Ct), and IL-8 secretion in adolescence. Higher social rank conferred significantly higher expiratory reserve volume (ERV) and functional residual capacity (FRC) solely among those born in the high-WFS year (2008). Differences in effects of rank between years were not significant after adjustment for multiple comparisons.

Conclusions: Exposure to WFS in infancy generally conferred lower adolescent respiratory volumes and inflammatory cytokines. Higher rank conferred higher respiratory volumes only among females born during WFS, suggesting the possibility that the health benefits of rank may be more apparent under environmental challenge.

1. Introduction

Wildfire smoke (WFS) has posed increasing risks to human health in recent years [1], with an estimated 212 million people exposed to WFS during a series of wildfire outbreaks in the western United States in 2011 alone [2]. WFS has been associated with impacts on respiratory disorders including asthma, bronchitis, dyspnea, and COPD, cardiovascular disease, and mortality [3, 4, 5, 6, 7, 8, 9, 10]. Limited evidence shows that the level of systemic inflammation markers, such as interleukin-8 (IL-8) and C-reactive protein (CRP), are also associated with WFS [11, 12]. Separately, there is growing evidence that social status may increase susceptibility to pollution [13, 14], possibly mediated via chronic stress and associated ‘allostatic load’ pathways [15, 16]. A persistent challenge in the study of social-environmental interactions, however, has been that of spatial confounding; lower-income communities are often located alongside highways and industrial corridors, with greater exposures to air pollution, including peak events (e.g., industrial accidents) [17, 18, 19]. As such, there have been few examples of ‘natural experiments,’ wherein both high- and low-status individuals are equally exposed to elevated pollution events, with high-quality objective health data.

WFS composition varies by location and weather conditions, but generally includes combustion products long associated with adverse
impacts on health, including fine particulate matter (PM), carbon monoxide (CO), nitrogen oxides, volatile organic carbons (VOC), and secondary ozone formation [20]. Infants may be more vulnerable to air pollution exposures due to their immature immune system and smaller airways [21]. Studies have shown that early-life exposures can impact children’s lung development, causing adverse effects in later life [22, 23, 24, 25, 26]. Our prior study reported that WFS exposure during infancy conferred impaired immune and pulmonary functions in adolescence among rhesus monkeys [27], though the short- and long-term health impacts of infancy WFS exposure remain understudied.

Lower socioeconomic position (SEP) has been associated with a wide range of adverse health outcomes [28, 29, 30, 31], and early-life SEP impacts the trajectory of child development and severity of childhood illness, including greater cytokine (IL-5 and IL-13) and eosinophil counts in asthma [32]. Low childhood SEP is associated with childhood asthma [33], as well as adult illness including obesity, upper respiratory infections, chronic lung diseases, metabolic syndrome, and CVD [34, 35, 36, 37, 38, 39]; adults with lower early-life (first 2 years) SEP have shown higher circulating IL-6 levels [40].

Evidence suggests that lower SEP may increase susceptibility to environmental exposures [41, 42], and it is hypothesized that much of this susceptibility may be mediated through chronic life stress [15, 16]. People with lower SEP often experience higher levels of psychological stress, and consequent increases in urinary stress hormones (cortisol and epinephrine) [32, 43, 44, 45, 46, 47]. Chronic stress can alter secretion of pro-inflammatory cytokines, disturb lymphatic tissue function, and alter hormone levels via activating signaling pathways including the hypothalamic-pituitary-adrenocortical axis (HPA) and the sympathetic-adrenal-medullary (SAM) system [48, 49]. Studies have shown that children exposed to chronic stressors (neighborhood violence, parental stress) display greater susceptibility to the effects of traffic-related pollution in asthma etiology and exacerbation [50]. Likewise, controlled experiments in animals reveal stronger responses to environmental agents under chronic stress [51, 52, 53, 54, 55]. Finally, social status in some animal species (e.g., primates, rats) have proven environmental agents under chronic stress [51, 52, 53, 54, 55].

In rhesus monkey societies, female ranks are relatively stable, and generally comparable to those of the mother; in contrast, males typically emigrate to new groups at reproductive maturity, and physically compete with other males to establish rank, which can change substantially over the life course [65]. For these reasons, as our study extends from infancy through age 3 (adolescence), we opted to restrict the analysis to females only.

The original rank variable was constructed such that the highest-rank female in each cage would have a score of 1, and the lowest-rank female would have a score equivalent to the total number of females in the cage. To create a positive variable, wherein higher values indicate higher rank, across a consistent scale from 0-1, we calculated the ‘proportion outranked’ (= (total number of same-sex animals – animal’s original rank)/ total number of same-sex animals in the cage - 1). The resultant variable is continuously coded from 0 (lowest rank) to 1 (highest rank). For example, if a female animal was originally ranked 40th among 50 females (a relatively poor rank), her new rank score (proportion outranked) is (50-40)/(50–1) = 0.20.

2.4. Pulmonary function and cytokine response measurements

All monkeys underwent pulmonary function tests (PFT) at approximately 3 years of age (2010 and 2011) and findings were previously reported [27]. Females were tested during summer months (June to early September) to avoid pregnancies. Seven pulmonary function measures were collected on all subjects, including six measures of lung capacity [inspiratory capacity (IC), vital capacity (VC), expiratory reserve volume (ERV), functional residual capacity (FRC), residual volume (RV), total lung capacity (TLC)], and tissue compliance (Ct), as described in our previous paper [27]. We calculated the measures of lung capacity as follows: (1) FRC = ERV + RV; (2) TLC = IC + FRC = IC + ERV + RV; (3) TLC = IC + ERV + RV. All measures were bodyweight adjusted. Lung capacity measures were reported in milliliters per kilogram body weight (mL/kg), and Ct was reported per kilogram body weight (kPa). Peripheral blood mononuclear cells (PBMCs) were challenged by TLR ligand in vitro. The TLR ligand was flagellin, at a concentration of 500 ng/mL, which is recognized by TLR5. Cytokines measured by ELISA. Methods for pulmonary function assessment and cytokine measurement are detailed in [7, 27].

2.5. Statistical analyses

We used the nonparametric Wilcoxon signed-rank test to test mean differences between groups, given relatively small sample sizes, and one-way ANOVA was used to compare differences across multiple groups. Simple linear regression was used to test relationships between continuous rank and measures of pulmonary function or cytokine...
response. To examine associations between rank and each outcome (pulmonary function or cytokine response), we used Model 1, below, stratified by sex and birth-year [i.e., 2008 (high WFS) vs. 2009 (low WFS)]. Beta-coefficients were compared between each pair of models.

**Model 1:** \[ y = \beta_0 + \beta_1 \times \text{Rank} + \epsilon \]

The formal test of the interaction between social rank and WFS exposure in infancy (differ by birth year) was provided by Model 2, stratified by sex:

**Model 2:** \[ y = \beta_0 + \beta_1 \times \text{Rank} + \beta_2 \times \text{High Exposure (birth year)} + \beta_3 \times \text{Rank} \times \text{High Exposure (birth year)} + \epsilon \]

In Model 2, we retain a main effect by birth year, to account for secular trends and potential confounders differing by birth year. Likewise, we retain a main effect for continuous rank, to account for potential confounders varying by rank. Because animals are housed in supervised facilities where conditions which would vary by rank in the wild (e.g., food access) are more controlled, we are better able to observe the direct effects of social rank per se (e.g., stress, social stability) as directly relates to pollutant susceptibility, less confounded by other rank-related factors.

Analyses were performed using the Proc GLM procedure in SAS version 9.4 (Cary, NC, USA). A p-value < 0.05 was considered significant, after accounting for multiple comparisons using False Discovery Rate (FDR) adjustment.

### 3. Results

Early-life exposures to PM$_{2.5}$ and ozone were significantly higher for animals born in 2008 (high WFS) than in 2009 (low WFS). During the first 90 days of life, mean daily PM$_{2.5}$ exposures were 14.2 (SD = 1.28) μg/m$^3$ for monkeys born in 2008, vs. 9.07 (SD = 0.44) μg/m$^3$ for animals born in 2009 (p-value for difference < 0.0001). Mean 8-hour peak ozone was 53.4 (SD = 0.35) ppb for monkeys born in 2008, vs. 48.3 (SD = 0.66) ppb for monkeys born in 2009 (p < 0.0001). Likewise, lifetime exposures (birth to PFT at age 3 years) were significantly higher for animals born in 2008 than in 2009 (Table 1).

Comparing mean pulmonary and cytokine measures between years (Table 2), females born in 2008 (high-WFS) had significantly lower FRC [μ = 26.7 (SD = 4.36) vs. 34.8 (SD = 6.92) mL/kg], RV [μ = 8.48 (SD = 3.75) mL/kg vs. 15.9 (SD = 6.56) mL/kg], CT [μ = 2.7 × 10$^{-4}$ (SD = 2.7 × 10$^{-4}$)/kg vs. 1.0 × 10$^{-3}$ (SD = 2.2 × 10$^{-3}$)/kg], and IL-8 [μ = 1791.2 (SD = 1146.5) pg/mL vs. 3221.8 (SD = 1266.4) pg/mL] than females born in 2009 (low-WFS) (Table 2).

In fully-stratified linear regression models, we found that higher social rank was significantly associated with higher ERV and FRC in 2008 (high-WFS); a 1-unit increase in rank (from lowest to highest rank in cage) conferred a 8.98 (95% CI = 8.2–9.8) mL/kg increase in FRC, robust to adjustment for multiple comparisons. Similarly, in linear regression models for the effect of rank on each outcome, with an interaction between rank and WFS (year), we observe significantly lower FRC, RV, and CT, on average, in the high-WFS year (2008). There were, however, positive benefits of higher rank in the high-WFS year, for ERV, FRC, and CT. These significant differences in rank effects by year were not robust to adjustment for multiple comparisons (Table 4).

### 4. Discussion

We found that WFS exposure in infancy, on average, conferred lower adolescent respiratory volumes and inflammatory response, but social rank influenced these measures as well. Among females, higher rank conferred larger lung volumes solely among those born during high WFS, suggesting that, under adverse environmental conditions, benefits of higher rank may be more apparent.

We found significantly lower average FRC, RV and CT among 3-year-old female monkeys exposed to high levels of WFS during infancy, compared to low-exposed females. As previously reported, high WFS exposure in infancy was associated with decreased lung volume and possibly altered structure during adolescence. We also explored if social rank was associated with WFS health effects. We found that among female monkeys with high infancy WFS exposure, higher social rank was associated with better ERV and FRC, but observed the reverse among females with low infancy WFS exposure.

Our data suggests that health effects of social rank may be particularly apparent under environmental pressures, and that social rank effects vary by health outcome. Another possible explanation is that the offspring of higher-ranked females may be healthier after birth, grow faster, and have higher chances of survival to age three [66, 67]. Finally, effects of WFS in infancy may well differ by individual’s baseline health conditions shortly after birth, plausibly altered by maternal rank.

Our results are not likely generalizable to males, as social status among male primates changes substantially over the life course. Other studies have reported sex-specific pollution effects on lung function and cytokines in primates and humans [68, 69, 70, 71], and rank operates differently in male primates (i.e., males physically fight to establish and re-establish rank, and mid-ranked males, often in conflict with animals both above and below them, display particularly high social vigilance [72] and plasma vasopressin [73]. Likewise, lung development, function, and volume vary by sex in human [74, 75, 76] and animal populations; one human study found that maternal and early-life stress reduced postnatal lung function among boys but not girls [71]. In mice, males have been shown more sensitive to in utero second-hand smoke exposure than females during lung development [77].

#### 4.1. Strengths

This study is the first, to our knowledge, to leverage, together, the ‘natural experiments’ of primate social rank and real wildfire smoke. We prospectively assess WFS exposure in infancy and pulmonary and immune functions in adolescence, as modified by social rank. As animals were housed outdoors and exposed to naturally-occurring wildfire

| Ambient air pollution exposure | Birth year (Infancy WFS exposure level) | P-value |
|--------------------------------|----------------------------------------|---------|
|                               | 2008 (High WFS)                        |         |
| Mean                           | 14.2                                   |         |
| Median                         | 14.1                                   |         |
| SD                             | 1.28                                   |         |
| Min                             | 11.6                                   |         |
| Max                             | 15.7                                   |         |
| 8-hour peak Ozone: from birth to 90 days (ppb) | 53.4 | 0.0001* |
| Mean                           | 40.3                                   |         |
| Median                         | 40.2                                   |         |
| SD                             | 0.29                                   |         |
| Min                             | 39.8                                   |         |
| Max                             | 40.9                                   |         |
| 8-hour peak Ozone: from birth to 90 days (ppb) | 40.3 | 0.01* |
| Mean                           | 40.5                                   |         |
| Median                         | 40.5                                   |         |
| SD                             | 0.17                                   |         |
| Min                             | 40.0                                   |         |
| Max                             | 40.7                                   |         |

SD: standard deviation; Min: minimum; Max: maximum.

* Wilcoxon signed-rank test; differences between birth-year 2008 and 2009.

* Indicates results robust to FDR adjustment for multiple comparisons, at p < .05.
events, we capture effects of real wildfire exposures on animals of very different social ranks, experiencing the same environmental conditions. Importantly, WFS exposures were similar for animals across all ranks, eliminating the confounding between social status and pollution exposures that commonly occurs in humans.

Future studies would benefit from a larger sample, longer observational period, and blood cytokine measures.

4.2. Limitations

The sample size is relatively small, limiting our statistical power in tests for multiple comparisons. PBMCs were used for cytokine secretion analysis, though future studies would benefit from direct blood cytokine measures. Only maternal rank was used to represent each animal’s own rank, which is appropriate during infancy, and captures the period of wildfire exposure, to which rank is hypothesized to impact susceptibility. Because female rhesus monkeys rank is generally stable – females ranking similarly to, but generally just below, their mothers [78] - the difference in rank between infancy and adolescence is likely minimal. Substantial changes in rank over time (rare among females, but common among males), have been shown to alter immune response [70], and may consequently alter health and susceptibility, but are not seen in our cohort.

5. Conclusion

In summary, we found that exposure to WFS in infancy is associated with lower lung volume changes in adolescence among female rhesus monkeys. However, we also observed that, only among females born during WFS, higher status conferred higher respiratory volumes, indicating the resilience benefits of higher early-life social status. Future studies with longer observational periods could determine whether these effects persist into adulthood. Social hierarchy in macaques is a simple model, compared to the complexities of social status and SEP in humans – but, in this controlled

| Birth year (Infancy WFS exposure level) | 2008 (High WFS) | 2009 (Low WFS) | P-Value |
|----------------------------------------|-----------------|----------------|---------|
| N | Mean | Median | SD | Min | Max | N | Mean | Median | SD | Min | Max | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| **Lung Function** | | | | | |
| Inspiratory Capacity (mL/kg) | 21 | 37.2 | 36.6 | 3.16 | 30.8 | 43.6 | 22 | 34.6 | 33.7 | 4.74 | 24.0 | 42.1 | 0.09 |
| Vital Capacity (mL/kg) | 21 | 55.7 | 55.5 | 5.44 | 47.7 | 67.9 | 22 | 53.2 | 53.4 | 4.96 | 45.2 | 64.9 | 0.22 |
| Expiratory Reserve Volume (mL/kg) | 21 | 18.6 | 17.7 | 4.89 | 8.81 | 30.0 | 22 | 18.6 | 17.7 | 4.77 | 12.3 | 29.7 | 0.91 |
| Functional Residual Capacity (mL/kg) | 21 | 26.7 | 27.1 | 4.36 | 17.2 | 32.4 | 22 | 34.8 | 35.5 | 6.92 | 23.1 | 54.5 | <0.0001 |
| Residual Volume (mL/kg) | 21 | 8.48 | 8.68 | 3.76 | 1.52 | 14.3 | 22 | 15.9 | 15.2 | 6.56 | 4.97 | 35.9 | <0.0001 |
| Total Lung Capacity (mL/kg) | 21 | 64.2 | 65.3 | 5.66 | 49.2 | 73.1 | 22 | 69.1 | 68.3 | 8.94 | 56.5 | 96.3 | 0.051 |
| Tissue Compliance (/kg) | | | | | |
| IL8 Secretion Level (pg/mL) | 21 | 1791.2 | 1609.4 | 1146.5 | 460.7 | 4717.5 | 22 | 3221.8 | 3068.0 | 1266.4 | 1233.5 | 6090.4 | 0.001 |
| IL6 Secretion Level (pg/mL) | 21 | 81.5 | 71.2 | 57.9 | 15.4 | 248.3 | 22 | 62.3 | 59.1 | 44.7 | 8.83 | 152.3 | 0.26 |

SD: standard deviation; Min: minimum; Max: maximum. Bolded values were intended to highlight statistical significance.

* Wilcoxon signed-rank test; differences between birth-year 2008 and 2009.

* Indicates results robust to FDR adjustment for multiple comparisons, at p < .05.
Table 3. Simple linear regression models for association between social rank and each outcome, stratified by birth year (High/Low WFS).

| Lung Function                        | Birth year (Infancy WFS) | 2008 (High WFS) | β (95% CI) | p-value | 2009 (Low WFS) | β (95% CI) | p-value |
|--------------------------------------|--------------------------|----------------|------------|---------|----------------|------------|---------|
| Inspiratory Capacity (mL/kg)         | 4.01 (-8.46, 0.43)       | 0.07           | 0.61 (-7.54, 8.76) | 0.88    | 4.62           | 0.30 |
| Vital Capacity (mL/kg)               | 4.97 (-3.03, 13.0)       | 0.21           | -2.32 (-10.8, 6.15) | 0.57    | 7.29           | 0.20 |
| Expiratory Reserve Volume (mL/kg)    | 8.98 (2.84, 15.1)        | 0.006          | -2.93 (-11.0, 5.18) | 0.46    | 11.91          | 0.018 |
| Functional Residual Capacity (mL/kg)| 7.74 (2.19, 13.3)        | 0.009          | -5.17 (-16.8, 6.51) | 0.37    | 12.91          | 0.039 |
| Residual Volume (mL/kg)              | 0.54 (-5.22, 6.30)       | 0.85           | -1.78 (-13.1, 9.50) | 0.75    | 2.31           | 0.70 |
| Total Lung Capacity (mL/kg)          | 5.51 (-2.75, 13.8)       | 0.18           | -4.1 (-19.4, 11.2)  | 0.58    | 9.61           | 0.25 |
| Tissue Compliance (/kg)              | 2.1 × 10^{-4} (1.9 × 10^{-4}) | 0.28 | -3.0 × 10^{-4} (6.5 × 10^{-4}) | 0.08 | 5.1 × 10^{-4} | 0.051 |

Cytokine Response

| IL6 Secretion Level (pg/mL)          | -375.4 (-2123.8, 1372.9) | 0.66           | 293.4 (2011.7, 2598.5) | 0.79    | -668.8         | 0.63 |
| IL6 Secretion Level (pg/mL)          | 6.07 (42.6, 94.8)         | 0.89           | 2.28 (-79.3, 83.9)    | 0.95    | 3.79           | 0.95 |

SE: standard error; 95% CI: 95% confidence interval. * rank: ranges from 0 (lowest) to 1 (highest rank). ** difference between coefficients, calculated by SAS proc glm procedure. Bolded values were intended to highlight statistical significance.

Table 4. Linear regression models for modification of rank effects by WFS exposure (birth year).

| Intercept | β0 (95% CI) | p-value | Rank* | β1 (95% CI) | p-value | High WFS | β2 (95% CI) | p-value | Rank × High WFS | β3 (95% CI) | p-value |
|-----------|------------|---------|-------|------------|---------|----------|------------|---------|-----------------|------------|---------|
| Lung Function                        |            |         |       |            |         |          |            |         |                 |            |         |
| Inspiratory Capacity (mL/kg)         | 34.3 (30.8, 37.9) | <.0001 | 0.61 | (5.99, 7.21) | 0.0528 | 4.83 | (0.13, 9.79) | 0.06 | 4.62 | (13.4, 4.19) | 0.30 |
| Vital Capacity (mL/kg)               | 54.3 (49.7, 58.9) | <.0001 | -2.32 | (-10.8, 6.15) | 0.5826 | -1.09 | (-7.46, 5.28) | 0.73 | 7.29 | (-4.02, 18.6) | 0.20 |
| Expiratory Reserve Volume (mL/kg)    | 20.0 (16.0, 24.0) | <.0001 | -2.93 | (-10.3, 4.39) | 0.4230 | -5.92 | (-11.4, -0.42) | 0.036 | 11.9 | (213.217) | 0.018 |
| Functional Residual Capacity (mL/kg)| 37.3 (32.3, 42.3) | <.0001 | -5.17 | (-14.3, 3.98) | 0.2600 | -14.5 | (-21.4, -7.64) | 0.0001 | 12.9 | (0.70, 25.1) | 0.039 |
| Residual Volume (mL/kg)              | 16.8 (11.9, 21.7) | <.0001 | -1.78 | (-10.8, 7.20) | 0.6913 | -8.55 | (-15.3, -1.80) | 0.014 | 2.31 | (-9.68, 14.3) | 0.70 |
| Total Lung Capacity (mL/kg)          | 7.11 (64.4, 77.8) | <.0001 | -4.10 | (-16.4, 8.24) | 0.5055 | -9.64 | (-18.9, -0.36) | 0.042 | 9.61 | (-6.87, 26.1) | 0.25 |
| Tissue Compliance (/kg)              | 1.2 × 10^{-2} (9.8 × 10^{-3}, 1.4 × 10^{-2}) | <.0001 | -3.0 × 10^{-4} (6.9 × 10^{-4}, 8.2 × 10^{-5}) | 0.1192 | -4.7 × 10^{-4} (7.5 × 10^{-4}, 1.8 × 10^{-5}) | 0.0024 | 5.1 × 10^{-4} (3.0 × 10^{-5}, 1.0 × 10^{-2}) | 0.051 |

Cytokine Response

| IL8 Secretion Level (pg/mL)          | 3074.8 (1878.2, 4272.5) | <.0001 | 293.4 (1819.6, 2406.4) | 0.7800 | -1095.8 (-2685.7, 494.1) | 0.17 | -668.8 (-3435.1, 2097.5) | 0.63 |
| IL6 Secretion Level (pg/mL)          | 612.9 (511.2, 112.8) | <.016 | 2.28 (-88.9, 93.5) | 0.9598 | 17.4 (51.2, 86.0) | 0.61 | 3.79 (115.6, 123.2) | 0.95 |

*High WFS = 1 for birth year 2008; *High WFS = 0 for birth year 2009. Bolded values were intended to highlight statistical significance.

Indicates results robust to FDR adjustment for multiple comparisons, at p < .05.

setting, avoids inherent confounding by environmental co-exposures, food access, housing quality, and innumerable other physical and material factors which vary with SEP in humans [79]. Finally, there are substantial differences in the determination of social status among male and female primates - and social status appears to differently affect WFS susceptibility by sex - pointing to the potential utility of animal models to help elucidate some direct status-related aspects of pollution susceptibility.

Declarations

Author contribution statement
Heng Bai & Jane E. Clougherty: Conceived and designed the experiments; Performed the experiments; Analyzed and interpreted the data; Wrote the paper.
John P. Capitanio & Lisa A. Miller: Conceived and designed the experiments; Performed the experiments; Contributed reagents, materials, analysis tools or data.

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Data availability statement
Data will be made available on request.

Declaration of interests statement
The authors declare no conflict of interest.

Additional information
No additional information is available for this paper.
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