Advances in Thiopurine Drug Delivery: The Current State-of-the-Art

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Abstract
Thiopurines (mercaptopurine, azathioprine and thioguanine) are well-established maintenance treatments for a wide range of diseases such as leukemia, inflammatory bowel disease (IBD), systemic lupus erythematosus (SLE) and other inflammatory and autoimmune diseases in general. Worldwide, millions of patients are treated with thiopurines. The use of thiopurines has been limited because of off-target effects such as myelotoxicity and hepatotoxicity. Therefore, seeking methods to enhance target-based thiopurine-based treatment is relevant, combined with pharmacogenetic testing. Controlled-release formulations for thiopurines have been clinically tested and have shown promising outcomes in inflammatory bowel disease. Latest developments in nano-formulations for thiopurines have shown encouraging pre-clinical results, but further research and development are needed. This review provides an overview of novel drug delivery strategies for thiopurines, reviewing modified release formulations and with a focus on nano-based formulations.

Key Points
- Controlled-release formulations for thiopurines have been clinically tested and shown promising outcomes in inflammatory bowel disease.
- Latest developments in nano-formulations for thiopurines have shown encouraging pre-clinical results, but further research and development is needed.

1 Introduction
In the 1950s, Gertrude Elion and George Hitchings developed thiopurines for the treatment of childhood leukemia, this revolutionized drug discovery. Historically, drug discovery was empirically based and often a result of a trial-and-error process. Elion and Hitchings rationally designed new molecules with specific molecular structures, which today is called rational drug design. For this, they were awarded the Noble Prize in Chemistry in 1988 [1]. The three thiopurines they discovered were thioguanine, mercaptopurine and azathioprine. These drugs have remained the cornerstone treatments for a wide range of diseases such as leukemia, organ rejection, inflammatory bowel disease (IBD) [2].
systemic lupus erythematosus (SLE) and other inflammatory and autoimmune diseases in general. In this context, probably the most experience with thiopurines has been gained in the management of IBD, where thiopurines have been used since the early 1960s. Thiopurines are prodrugs that undergo extensive metabolism before they are converted into the active metabolites, 6-thioguanine nucleotides (6-TGN). This pool of 6-TGNs consists of both thioguanine ribonucleotides and deoxyribonucleotides. It has long been known that 6-TGNs, especially induced by higher dosages of thiopurines, can be incorporated into RNA and DNA as fraudulent bases through competing pathways. Incorporation into DNA results in a stop of replication by single-strand breaks, crosslinking and sister chromatid exchange [3]. A more likely target of lower dose thiopurines is the Ras-related C3 botulinum toxin substrate 1 (Rac1) via ribonucleotide 6-thioguanine-triphosphate (6-TGTP) [4, 5]. This 6-TGTP binds to Rac1 instead of GTP [6], and this complex induces apoptosis of activated T lymphocytes [4, 7]. Thiopurines, due to their chemical properties, are known for low solubility in water and varying rates of bioavailability [8]. In the last decade, various drug delivery formulations and approaches have been tested to improve the delivery of thiopurines for this reason. This review provides an overview of novel drug delivery strategies for thiopurines, reviewing modified release formulations, liposomal delivery systems and nano-formulations.

2 Literature Search

A literature search on PubMed was performed using the query mentioned in the appendix. The most recent search was performed up to August 2021. Furthermore, references were searched by hand for additional studies. Studies were included that investigated a drug delivery system for thiopurines (azathioprine, mercaptopurine, thioguanine or thiamiprine). Both clinical and non-clinical studies were included; reviews were excluded.

3 Chemical and Pharmaceutical Properties of Thiopurines

The thiopurines are structurally alike. They all consist of a thio-group and a purine, consisting of a pyrimidine-ring bonded to an imidazole-ring. Azathioprine contains an additional imidazole bonded to the sulfur group of mercaptopurine. In Fig. 1, the structural formulas with relevant chemical properties are shown for azathioprine, mercaptopurine, thioguanine and thiamiprine. Thiopurines can be subdivided into two classes (imidazole and non-imidazole thiopurines) and two groups (mercaptopurine and thioguanine groups).

It is essential to make these distinctions. An often forgotten thiopurine, and one deemed an ‘impurity’ in the synthesis of azathioprine [9], thiamiprine, is a prodrug form of thioguanine with an imidazole group attached. Although the literature about this drug is limited, preclinical studies have shown that it has stronger immunomodulating effects than azathioprine [10], which is consistent with it being a prodrug of thioguanine. The rationale behind the 1-methyl-4-nitro-imidazoyl derivative in azathioprine and thiamiprine is that these compounds were vulnerable to a nucleophilic attack between the sulfur on the purine and the methyl-nitroimidazole ring because of the ortho-nitro substituent [11]. This leads to the split between the purine and imidazole groups. It is thought that azathioprine partially evades first-pass metabolism because azathioprine is converted to mercaptopurine after liver metabolism [12, 13]. In their early work, Elion et al. discovered that azathioprine and thiamiprine were as effective as mercaptopurine and thioguanine, but less toxic [11]. Furthermore, contemporary research has shown that a designer thiopurine analogue was less hepato- and myelotoxic and more effective in reducing inflammation than mercaptopurine [14]. Thus, thiopurines remain interesting compounds to be clinically tested for a wide range of diseases.

The chemical properties of thiopurines depend on essential parameters such as the partitioning coefficient (log P) and the water solubility. Marvin was used for the prediction and calculation of the chemical properties of the different thiopurines: Marvin 20.12, 2020-04-27, ChemAxon (www.chemaxon.com). The water solubility is influenced by the acid dissociation constant (pKₐ). Thioguanine has pKₐ values of 1.2 and 10.0, while mercaptopurine has pKₐ values at 3.0 and 11.1. The clog P (at pH 7.4) of thioguanine and mercaptopurine are − 0.35 and − 0.12. Furthermore, the water solubility rates (at pH 7.4) of thioguanine and mercaptopurine are 0.05 and 0.09 mg/ml. Hence, the solubility of both drugs is poor (the highest strength of a drug substance is soluble in ≤ 250 ml aqueous media over the pH range of 1.0–6.8 at 37 ± 1 °C) according to the biopharmaceutical classification system (BCS) [15]. The bioavailability of thioguanine and mercaptopurine ranges from 5 to 42% [16, 17]. Thus, based on the solubility and bioavailability rates, thioguanine and mercaptopurine belong to BCS class IV drugs (poor solubility and poor permeability).

The poor water solubility of thiopurines remains a challenge for oral drug delivery. However, the magnitude of absorption is primarily determined by the extent of its unionized form at the site of absorption [18]. This explains why thiopurines can still be absorbed while the solubility is low in the gastrointestinal tract. More specifically, the absorption of thiopurine is controlled by the dissolution rate and the extent to which the drugs dissolve in the gastrointestinal tract [19]. As the pH in the stomach is low (~ pH 1–2), it
is expected that the entire dosages of thioguanine and mercaptopurine can be dissolved rapidly in the gastric fluids. This is because most of thioguanine and mercaptopurine species are present in their protonated forms, which significantly increases their solubility (~1 to 100 mg/ml at pH 1–2). However, further in the gastrointestinal tract, the pH levels increase, which causes a shift from protonated to unionized species of thiopurines in the small and large intestines where pH values are > 5.0 [20, 21]. According to the pH-partition hypothesis of drug absorption, the lipid bilayer of the gastrointestinal epithelium forms an impermeable barrier to protonated drugs, while the unionized forms are able to pass freely [18, 22].

### 4 Pharmacogenetics

Thiopurine methyltransferase (TPMT) and Nudix hydrolase 15 (NUDT15) are enzymes involved in thiopurine metabolism, and genetic polymorphisms in these enzymes are associated with thiopurine-related toxicities [23–25]. Testing of genetic polymorphisms has been implemented in clinical practice, especially in leukemia and IBD [26]. Moreover, novel data regarding thioguanine in treatment of childhood leukemia indicate that PGx testing is helpful to reduce thioguanine-related hepatic sinusoidal obstruction syndrome [27]. Furthermore, it has also been shown that TPMT genotype/phenotype is significantly associated with TGN levels in thioguanine-treated IBD patients, but that TGN levels do not correlate with laboratory parameters [28]. The use of PGx is clinically important for thiopurine therapy, and it can be combined with novel drug delivery formulations to reduce toxicity.

### 5 Pharmacokinetics

In medical practice, thiopurines are in general delivered orally; however intravenous and rectal delivery has been described in the past [29]. The pharmacokinetics of thiopurine have been extensively studied, especially in patients with leukemia. Different dosages for the three thiopurines are commonly used. The oral dosages of thioguanine range between 5 and 40 mg/day for IBD, while the dosages may go up three times higher for leukemia. The plasma half-life of thioguanine follows a bi-exponential figure, with an initial $t_{1/2}$ of 3.0 h and terminal $t_{1/2}$ of 5.9 h. The 6-thioguanineN4 half-life of thioguanine varies from 4.4 to 9 days.
The daily dosage of mercaptopurine ranges from 1 to 2.5 mg/kg. The plasma $t_{1/2}$ of mercaptopurine is 1.1 h [31], while the 6-TGN $t_{1/2}$ is approximately 5 days [32]. The daily azathioprine dosage ranges from 1 to 5 mg/kg, with a serum $t_{1/2}$ of azathioprine of 0.2–0.5 h [33]. A meta-analysis showed that in IBD patients with 6-TGN cutoff levels $>235$ pmol/$8 \times 10^8$ RBC and 250 pmol/$8 \times 10^8$ RBC had odd ratios for remission of OR 2.66 [95% CI 1.94–3.66] and 4.71 [95% CI 2.31–9.62] compared to below these cutoff levels [34]. Another meta-analysis also showed that higher 6-TGN levels were present in patients with leukopenia (mean difference 127.1 pmol/$8 \times 10^8$ RBC) and gastrointestinal intolerance (201.5 pmol/$8 \times 10^8$ RBC). Furthermore, 6-methylmercaptopurine ribonucleotides (6-MMPR) were significantly associated with hepatotoxicity (mean difference 3241.2 pmol/$8 \times 10^8$ RBC) and gastrointestinal intolerance (201.5 pmol/$8 \times 10^8$ RBC). Therefore, achieving adequate 6-TGN levels is clinically relevant for IBD patients. As the bioavailability of thiopurines is subject to high variability, drug delivery systems may aid in achieving more stable 6-TGN levels. This can in turn improve efficacy and reduce toxicity.

5.1 Effects of Food Intake on Bioavailability of Thiopurines

The effect of food has not been clarified sufficiently for thiopurine pro-drugs, but overall it appears that steady-state TGN drug levels are unaffected by concomitant ingestion of food. Concomitant food intake with oral thioguanine administration led to significantly decreased maximal plasma concentrations (Cmax) and decreased area-under-the-curve (AUC) values. That this did, however, not affect 6-TGN values 4 weeks after administration compared to fasting patients still requires further explanation [36]. The concomitant consumption of unprocessed cow’s milk with mercaptopurine might also reduce the bioavailability of mercaptopurine, because unprocessed cow’s milk contains high levels of xanthine oxidase [37]. Based on the chemical properties of the thiopurines at physiological conditions, it is to be expected that food and drugs affecting the gastric pH might affect the gastric solubility of thiopurines [36, 38].

5.2 Drug-induced Effects on Thiopurine Metabolism

The pharmacokinetics of thiopurines, not unexpectedly, can also be influenced by concomitant therapy with other drugs. The first step in the metabolism of mercaptopurine and its prodrug azathioprine is the conversion into 6-thioguanosine monophosphate (6-TIMP) by hypoxanthine-guanine phosphoribosyl transferase (HPRT) [3]. 6-TIMP is further metabolized by inosine monophosphate dehydrogenase (IMPDH), and in the end the pharmacologically active 6-TGNs are formed. Alternatively, mercaptopurine can be metabolized by thiopurine methyltransferase (TPMT) into the 6-methylmercaptopurines (6-MMP) pathways, associated with hepatotoxicity, or be catabolized by XO into 6-thiouric acid (6-TUA). The addition of allopurinol, a non-selective XO inhibitor, to azathioprine or mercaptopurine therapy leads to a rise in 6-TGN and a concomitant reduction in 6-MMPs [39]. Although the biological mechanism of this switch in preferential metabolism is not completely elucidated, it is suggested that TPMT is directly inhibited because of an increase in thioxanthine, which is a consequence of the inhibition of xanthine dehydrogenase by oxypurinol, the active metabolite of allopurinol [40]. While allopurinol only leads to the inhibition of reduced forms of XO, febuxostat, a newer selective non-purine-based XO inhibitor, inhibits both oxidized and reduced forms and seems to have a greater potency to inhibit XO [41, 42]. It seems likely that co-administration of XO inhibitors and thiopurines causes a similar metabolic shift of thiopurine metabolism, and a case series demonstrated that concomitant use is indeed associated with TGN-induced myelosuppressive adverse events [42]. Sulfasalazine and other 5-aminosalicylic acid (5-ASA) derivatives, used in gram doses to treat IBD, were shown to be potent in vitro inhibitors of recombinant human TPMT [43–45]. In vivo studies demonstrated increased levels of 6-TGN during concurrent therapy, especially with time-dependent 5-ASA formulations, and there has now been at least one report of a potentially serious drug interaction when these agents were administered to a patient who was also being treated with a standard doses of thiopurine drugs [43, 44, 46–52]. However, a randomized controlled trial involving CD patients who were randomly assigned to post-surgical treatment with azathioprine or mesalazine at all study visits found that TPMT activity did not differ between the two patient groups after 1 year follow-up [53]. Therefore, the mechanism by which thiopurines and 5-ASA derivates interact with each other is not completely understood. Still, physicians should be cautious when combining these drugs. Furosemide and to a lesser extent bendroflumethiazide and trichlormethiazide are in vitro and ex vivo inhibitors of TPMT activity in red blood cells, although contradicting results, with elevated TPMT activities in subjects on diuretics, were reported in a population study [54–56]. The same study demonstrated that the use of non-steroidal anti-inflammatory drugs (NSAIDs) and antihypertensives seems to be associated with lower TPMT activity in red blood cells [56]. IMPDH is a target for drug interaction when azathioprine and ribavirin are used concomitantly because the inhibition of IMPDH by ribavirin leads to the conversion of 6-TIMP into 6-methylthioguanosine monophosphate (6-MTIMP) via TPMT, and accumulation of 6-MTIMP is associated with myelotoxicity [57]. Unsurprisingly, a modest decrease in 6-TGN levels was observed during concomitant therapy, moderating azathioprine efficacy [58].
5.3 Effects of the Microbiome on Thiopurines Metabolism

Besides systemic conversion, thiopurines and especially thioguanine can also be prone to bacterial metabolism. It was demonstrated in vitro that representative gut bacteria were able to generate the pharmacologically active 6-TGN after incubation with thioguanine [59]. Dextran sulfate sodium (DSS)-treated HPRT-deficient mice had detectable fecal 6-TGN, suggesting a bacterial conversion of thioguanine into the active metabolites [60] given that host cells of HPRT-deficient mice cannot generate 6-TGN. Consistent with this, in vivo studies demonstrated an improvement of DSS-induced colitis in HPRT-deficient mice with oral thioguanine treatment [60]. When treating Winnie mice, a mouse with a spontaneous colitis due to a variant polymorphism in Muc2, with rectal thioguanine, a rapid and significant improvement of distal colitis was observed [60]. Following these observations of microbial conversion of thioguanine and their rectal benefit in Winnie with intact HPRT activity, a few IBD patients have been treated with rectally administered thioguanine. Systemic 6-TGN levels were low, and promising treatment responses were observed in an uncontrolled series [61]. Therefore, colonic delivery, via enemas, suppositories or oral tablets designed for that purpose, may provide additional benefit via microbial conversion of thioguanine to active drug and by actions at the level of the inflamed epithelium.

6 Strategies for Thiopurine Drug Delivery

There are various strategies to adjust or improve thiopurine drug delivery. This depends on the specific aims of these drug delivery strategies. These specific drug delivery aims include improved local delivery (e.g., intestinal drug exposure), reduced systemic exposure or reduced frequency of drug intake. This may result in improved efficacy, reduced toxicity and/or higher drug compliance. In this review, various strategies are discussed such as physical-chemical modifications, liposomes, polymer-based approaches, nanoparticles, controlled-release formulations and other strategies. A summary of studies performed that have investigated thiopurine drug delivery strategies are listed in Table 1.

6.1 Physical-chemical Modifications

By modifying physical or chemical properties of thiopurines, higher dissolution rates might be achieved, which might improve the bioavailability of thiopurines. Yang et al. [62] studied an amorphous complex of bismuth (III) bonded to three mercaptopurine molecules (Bi(mercaptopurine)_3(NO_3)_2NO_3). The solubility of the complex was increased compared to conventional mercaptopurine (1.2 vs 0.14 mg/ml). In vitro, the complex showed strong inhibitory effects compared to conventional mercaptopurine on lung cancer cells. Another Chinese group [63] reported improved dissolution and bioavailability rates of co-crystallized mercaptopurine with isonicotinamide, a compound that has as the capability of inducing apoptosis in leukemia cell lines/models. Other studies also reported on the synthesis and structure determination of co-crystals of mercaptopurine [64, 65]. Thus, physicochemical modifications that increase solubility might be applied to advantage depending on where and how quickly the drug should be released for clinical ends.

6.2 Nanomedicine Approaches

Another promising strategy for thiopurine distribution is nano-based drug delivery. This field within drug delivery research has grown since the rise of nanotechnology. Nanoparticles are solid, colloidal particles that range from 10 to 1000 nm in size. Novel nano-based formulations have been developed and tested for thiopurines. These approaches include liposomal delivery, micelles, microspheres and metallic and polymeric based nanoparticles (see Fig. 2) [66–77].

6.2.1 Liposomal Drug Delivery

The discovery of liposomes, which are primarily composed of phospholipids, was attributed to Alec Bangham in 1961 [78]. Liposomes are closed spherical vesicles containing an aqueous core surrounded by mono- or bilayer membranes alternating with aqueous compartments. Liposomes can be formulated in sizes ranging from 30 nm to several micrometers in diameter. Important chemical properties are the size, composition, porosity and degradability of the liposomes [79]. Liposomes are biocompatible and are generally considered safe to use [80]. Furthermore, liposomes can be conjugated with various molecules that may increase specific targeting to the desired action site [81]. The liposomal delivery of mercaptopurine had already been studied in the mid-1970s. The results of these earlier studies were discouraging because of low encapsulation rates [82, 83]. More recently, liposomal drug delivery has been studied for azathioprine [84], thioguanine [85, 86] and mercaptopurine [87–90]. Taneja et al. [89] studied conventional and stealth liposomes in vitro and in albino rats. They found drug release rates after 6 h of the liposomal formulations of approximately 17%, while free mercaptopurine had a drug release rate of 95% after 4 h. Furthermore, they observed prolonged half-lives and increased AUC values for the liposomal formulations compared to free mercaptopurine. The most recent published study (2007) by Umethia et al. [88] investigated the liposomal delivery of mercaptopurine using
| References      | Country   | Delivery platform                          | Thiopurine | Chemistry (modality) | Study phase  | Comments                                                                 |
|-----------------|-----------|--------------------------------------------|------------|----------------------|--------------|--------------------------------------------------------------------------|
| Florin [61]     | Australia | Controlled-distal ileum and colonic release tablets | TG         | Eudragit             | In vivo (dogs) | ↓ C\text{max}, ↓ AUC for coated tablets compared to uncoated tablets     |
| Israeli [102]   | Israel    | Controlled-small intestinal release tablets | MP         | Eudragit             | Phase II clinical trial | Clinically non-inferior to conventional tablets                        |
| Kesisoglou [90] | US        | Liposomes                                   | MP         | Non-phospholipids    | In vivo (Sprague-Dawley rats) | No statistically significant differences were observed between MP solution and liposomal MP formulation |
| Zins [99]       | US        | Delayed release (DR) oral formulation       | AZA        | Eudragit S-coated capsules | Phase 0      | AZA 200 mg tablets                                                        |
| Van Os [101]    | US        | Delayed-release oral formulation            | AZA        | Eudragit S-coated capsules | Phase 0      | AZA 50 mg tablets                                                        |
| Agrawal [87]    | India     | Liposomes                                   | MP         | PC + cholesterol + cardiolipin | In vitro (Hut78 and jurkat cells lines) | Very low encapsulations rates of MP (0.5–1.5%) |
| References      | Country  | Delivery platform | Thiopurine | Chemistry (modality) | Study phase              | Comments                                                                                                                                 |
|-----------------|----------|-------------------|------------|----------------------|--------------------------|-----------------------------------------------------------------------------------------------------------------------------------------|
| Taneja [89]     | India    | Liposomes         | MP         | Sphingomyelin + PC   | In vivo (albino rats)    | † Inhibition rates, † AUC, † T1/2 for liposomes compared to MP solution. Inhibition rates 90.3% vs 96.1% for MP solution and MP liposomes. AUC (ng∙h/ml) is 925, 1736 and 2623 for MP solution, HE liposomes and stealth HE liposomes. |
| Gulati [84]     | India    | Liposomes         | AZA        | Multilamellar vesicles + large unilamellar vesicles | In vitro                | This paper describes the synthesis and characterization of the liposomes.                                                              |
| Casals [86]     | Spain    | Liposomes         | TG         | PC                   | In vitro                | This paper describes the synthesis and characterization of the liposomes.                                                              |
| Foradada [85]   | Spain    | Liposomes         | TG         | PC                   | In vitro                | This paper describes the synthesis and characterization of the liposomes.                                                              |
| Chatterjee [73] | India    | Polymer-based drug delivery | TG       | PLGA                 | In vitro (HeLa cells)    | Encapsulation rate of TG in the nanoparticles was 97.22%. Slow release with 95% of TG released after 60 days. Significant amount of cytotoxicity 48 h after nanoparticle exposure, probably caused by intracellular uptake of TG nanoparticles by pinocytosis. |
| Rajashekarajah [68] | India   | Polymer-based drug delivery | TG       | Chitosan             | In vitro (PA-1 cells)    | Drug release pH 4.8 > pH 7.4 of TG nanoparticles after 48 h (91.4% vs 74.0%). IC50 values of 5.8, 12.9 and 3.9 µM for TG, curcumin and TG nanoparticles. |
| Govindappa [70] | India    | Polymer-based drug delivery | MP       | Chitosan             | In vivo (Wistar rats)    | This study investigated the in vivo toxicity profile of MP-NPs and MP.                                                                   |
| Ahmed [74]      | Egypt    | Polymer-based drug delivery | MP       | Chitosan             | In vitro (MCF-7 cells)   | † Cytotoxicity of MP NP compared to MP. Decreased cell survival of 8% (3.1 µM) and 55% (6.2 µM) for MP and MP NP.                 |
| Wan [71]        | China    | Polymer-based drug delivery | TG       | Dialdehyde sodium alginate | In vitro (HL-60 cells)   | Drug release at pH 5.0 (98.6%), no drug release at pH 7.4.                                                                          |
| References      | Country     | Delivery platform          | Thiopurine | Chemistry (modality) | Study phase       | Comments                                                                 |
|-----------------|-------------|----------------------------|------------|---------------------|------------------|---------------------------------------------------------------------------|
| Qiu [69]        | China       | Polymer-based drug delivery| MP         | Hyaluronic          | In vivo (nude mice)   | ↑ $T_{1/2}$, ↑ reduction tumor volume for HA-MP compared to MP ($P < 0.01$) |
| Kumar [72]      | India       | Polymer-based drug delivery| MP         | Chitosan            | In vivo (Wistar rats)| ↑ $C_{\text{max}}$, ↑ bioavailability, ↑ $T_{1/2}$ for MP-NP compared to MP. $C_{\text{max}}$ (ng/ml) of 25.3 (at 4 h) vs 13.5 (at 2 h) for MP-NP and MP. Bioavailability of 56.5% and 37.8% for MP-NP and MP. |
| Suhasini [92]   | India       | Polymer-based drug delivery| AZA        | Chitosan            | In vitro         | This paper describes the synthesis and characterization of the nanoparticles |
| Kaur [113]      | Australia   | Polymersic micelles        | MP/TG      | Thymine             | In vitro         | This paper describes the synthesis and characterization of the polymeric micelles |
| Moezi [114]     | Iran        | Graphene scaffold          | TG         | Graphene            | DFT              | This paper used the density functional theory (DFT) approach to examine thiopurine delivery capability |
| Mahdinia [115]  | Iran        | Fullerene cage             | TG         | Fullerene           | DFT              | This paper used the density functional theory (DFT) approach to examine thiopurine delivery capability |
| Mahboobi [116]  | Iran        | Phosphorene nanosheet      | MP         | Phosphorene         | DFT              | This paper used the density functional theory (DFT) approach to examine thiopurine delivery capability |
| Zaboli [117]    | Iran        | Nanotubes                  | MP         | Boron nitride and carbon nanotubes | In silico | This paper used the density functional theory (DFT) approach to examine thiopurine delivery capability |
| Arunkumar [94]  | India       | Microspheres               | AZA        | Gelatin and magnetic microspheres | In vitro | Drug loading 17.2% and 13.1% for gelatin and magnetic microspheres |
| Abd el Mageed [118] | Egypt    | Nanoparticles              | MP/TG      | $B_{12}N_{12}; AlB_{11}N_{12}$ and $GaB_{12}N_{12}$ nanoclusters | DFT            | This paper used the density functional theory (DFT) approach to examine thiopurine delivery capability |
## Table 1 (continued)

| References | Country | Delivery platform | Thiopurine | Chemistry (modality) | Study phase | Comments |
|------------|---------|-------------------|------------|----------------------|-------------|----------|
| Zou [119]  | China   | Nanoparticles     | MP         | PLGA (RG 502H)       | In vivo (Sprague Dawley rats) | Encapsulation efficacy of 80.7% (polymer drug 6:1). 6-MP was more easily released from 6-MPCs at pH 7.4 than at pH 4.8 because of its higher solubility in an alkaline environment. Cmax is 478.2 ng/ml at 0.5 h, AUC 558.7 mg/l∙h, T1/2 is 1.5 h. Survival time (days of 51, 23.5 and 22.5 days for MP NP vs MP vs PBS solution |
| Grabowska-Jadach [120] | Poland | Nanoparticles | TG | CdSexS1−x/ZnS quantum dots | In vitro (A549, MRC5 and K562 cells) | ↑ Cytotoxicity of CdSexS1−x/ZnS–6-TG compared to TG (Fig. 4 in the article by Jadach et al. [120]) |
| Sierpe [67] | Chile   | Nanoparticles     | TG/MP      | Au and βcyclodextrins | In vitro | This paper describes the synthesis, characterization and permeability of the nanoparticles |
| Aghevlian [75] | Iran | Nanoparticles | TG | Au | In vitro (MCF-7 cells) | ↑ Cytotoxicity for TG-NPs compared to TG (P < 0.001) (see Fig. 5 in the article by Aghevlian et al.) |
| Dorniani [76] | Malaysia | Nanoparticles | MP | Magnetite + chitosan | In vitro (WEHI-3 cells) | 93.2% and 50.6 release at pH 4.8 and 7.4, similar cytotoxicity of NPs compared to MP |
| Sleightholm [77] | US | Nanoparticles | TG | Au | In vitro | This paper describes the synthesis and characterization of the nanoparticles |
| Podsiadlo [96] | US | Nanoparticles | MP | Au | In vitro (K562 cells) | Au-NPs > MP inhibition of K-562 leukemic cells |

NP nanoparticles, HA hyaluronic acid, PC phosphatidyl choline, PEG polyethylene glycol, Au gold, TG thioguanine, MP mercaptopurine, AZA azathioprine, AUC area under the curve, T1/2 half-life, DFT density functional theory, DR delayed release
conventional and stealth liposomes. The stealth liposomes exhibited higher encapsulation rates ($E_{24}$ 94 vs 33%) and higher AUC values (42 vs 25 µg h/ml) compared to conventional liposomes in a mouse model. The liposomal formulations showed favorable pharmacokinetics (higher AUC values, lower $C_{\text{max}}$ values) compared to free mercaptopurine. Furthermore, they reported that the liposomal formulations had significantly less systemic exposure to various tissues such as the liver, kidney, heart, lungs and spleen compared to free mercaptopurine. The stealth liposomes had similar serum biochemical values compared to control, while the free mercaptopurine and conventional liposomes had significantly increased serum biochemical values compared to control. This study underlined that oral stealth liposomes could achieve higher bioavailability while potentially decreasing systemic side effects. Liposomal delivery seems to be especially useful for systemic diseases such as leukemia [91].

6.2.2 Polymer-based Approaches

Polymers are substances composed of macromolecules with many repeating subunits, which gives polymers the unique ability to be chemically modified to yield specific properties. An advantage of polymers is that they can be biodegradable and biocompatible. The most studied polymer for thiopurine delivery is chitosan. Thiopurine-loaded chitosan nanoparticles have been studied preclinically for thioguanine, azathioprine and mercaptopurine [68, 70, 72, 74, 92]. Chitosan nanoparticles display a pH-dependent drug release profile due to the solubility of chitosan [93]. Other conjugated polymeric approaches have been described. These include thioguanine-dialdehyde sodium alginate nanoparticles [71], thioguanine-poly-lactic-co-glycolic acid (PLGA) nanoparticles [73], azathioprine-gelatin nanoparticles [94] and glutathione-sensitive hyaluronic acid-mercaptopurine nanoparticles [69].

Govindappa et al. [70] studied the toxicity of mercaptopurine-conjugated chitosan nanoparticles in an animal model. Both mercaptopurine and the mercaptopurine nanoparticles were categorized as category 4 (> 300–2000 mg/kg bw) according to the Globally Harmonized Classification System. Furthermore, usage of low dosages (15 mg/kg) of mercaptopurine and mercaptopurine nanoparticles did not lead to signs of myelotoxicity and hepatotoxicity. High dosages (50 mg/kg) led to a statistically significant reduction of hematological parameters compared to saline, low and mid (30 mg/kg) dose treatment, whereas biochemical parameters were significantly increased. These data suggested that mercaptopurine nanoparticles had a favorable toxicity profile compared to normal mercaptopurine drug formulations. Chatterjee et al. [73] investigated thioguanine conjugated PLGA nanoparticles. They obtained an encapsulation rate of 97% and a sustained drug release rate of 60–65% in the first 30 days. The nanoparticles exhibited cytotoxic properties in HeLa cells within 48 h of treatment, which was mediated by intracellular uptake of the nanoparticles. The high encapsulation rates and in vitro efficacy seem promising, but the

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Fig. 2 Simplified overview of various delivery platforms that have been used for thiopurine drug delivery, created with BioRender.com
polymer-based approaches have yet to reach the stage of clinical development.

### 6.2.3 Metallic Nanoparticles

Metallic nanoparticles are nanosized metals with a size ranging from 10 to 100 nm. These metallic nanoparticles have unique features because they can be synthesized and modified in a way that allows them to bind with ligand, antibodies and drugs. The large surface-to-volume ratio of metallic nanoparticles allows them to bind many molecules [95]. A few studies have been performed with thiopurine-coated metallic nanoparticles. Thiopurine gold nanoparticles have been studied for thioguanine and mercaptopurine therapies [67, 77, 96]. The multivalent and highly adjustable surface architecture of gold nanoparticles offers the opportunity to incorporate multiple drugs on the surface of a single nanoparticle [97]. Podsiadlo et al. [96] found that mercaptopurine-gold nanoparticles were more effective in inhibiting human chronic myeloid leukemia cells than mercaptopurine alone. Aghevlian et al. [75] studied the effects of thioguanine-gold nanoparticles against breast cancer cells. They found significantly stronger inhibition of MCF-7 cancers by the thioguanine-gold nanoparticles compared to free thioguanine at higher concentrations (6.2 µM). Furthermore, one study characterized mercaptopurine-coated magnetite nanoparticles as a controlled release delivery system in vitro [76]. Release rates of 93% and 51% were obtained at pH values of 4.8 and 7.4, respectively. The nanoparticle formulation showed sustained release kinetics, which may reduce systemic side effects. One disadvantage of metallic nanoparticles is that they might cause toxicity in the long term because of their size, as long-term exposure to metallic nanoparticles may affect cellular metabolism and energy homeostasis [98].

### 6.3 Controlled-Release Drug Formulations

The majority of thiopurines are administered by tablets via the oral route. The tablets used are known as ‘immediate-release’ or ‘conventional’ drug delivery. There are circumstances in which the ‘immediate release’ is not desirable; therefore, manipulation of the release profile of the tablets is required. This is especially relevant in IBD, where inflammation is mainly located in the distal ileum and colon. The modified-release tablets refer to the modification of drug release from a dosage form to change the drug release rate or the localization of the release within the gastrointestinal tract. Attempts to develop modified-release or controlled-release formulations have been tested in the past [99–101]. Israeli et al. [102] performed a phase II clinical trial of non-absorbable delayed-release tablets of mercaptopurine for Crohn’s disease. For conventional oral mercaptopurine tablets, they found $C_{\text{max}}$, $T_{\text{max}}$ and AUC values of 82.1 ng h/ml (SD 28.7), 1.9 h (SD 1.1) and 216.1 ng/ml/h (SD 73.8), while for the delayed-release tablets these values were 6.1 ng h/ml, 9 h and 10.2 ng H/ml. Only one tablet formulation led to systematic release, while the other did not show any absorption at all. Thus, these delayed-release tablets demonstrated significantly lower or no systemic uptake suggestive of a local drug effect. Subsequently, this group performed a multi-center, double-blinded, double-dummy, two-arm phase II randomized non-inferiority trial for patients diagnosed with active Crohn’s disease. The delayed-release tablets had significantly fewer adverse events than the conventional tablets (67.5% vs 95.8%, $P = 0.0079$). Higher clinical response rates after 8 weeks were obtained for the delayed-release tablets versus conventional tablets (48.3% vs 21.4%, $P = 0.01$). They concluded that the delayed-release tablets were non-inferior to conventional mercaptopurine tablets. Their formulation was patented for the treatment of Crohn’s disease (WO2015168448A1). This delayed-release formulation might also be interesting for thioguanine, which has similar physical-chemical properties to mercaptopurine and has also been proven safe and effective for Crohn’s disease [103, 104]. Recently, examples were given of direct extended release of thioguanine with enteric coating to prevent gastric dissolution and target the distal ileum and colon, the main sites of inflammation in IBD (WO2017054042A1) [61].

### 7 Future Perspectives and Challenges

In the past and present many different strategies have been proposed and tested for improvement of absorption and targeting the site of delivery of thiopurines. Drug delivery formulations have made it into clinical development; however, none has made it into clinical practice so far. Non-absorbable delayed-release tablets have been clinically non-inferior compared to conventional tablets for Crohn’s disease and were associated with fewer adverse events due to decreased systemic uptake [102]. Furthermore, a phase 0 clinical trial was performed to investigate azathioprine delayed-release tablets, which showed significantly lower bioavailability and lower $C_{\text{max}}$ compared to conventional azathioprine tablets [99, 101].

The latest developments in nano-formulations for thiopurines are promising because the first preclinical studies have provided encouraging results. Depending on the mechanism and properties of the nano-formulation, the design of these formulations could improve local delivery, reduce unwanted systemic side effects and offer comparable or improved efficacy at a lower concentration compared to conventional formulations. Local drug delivery is highly desirable in local bowel diseases such as IBD [105]. The disease localization should dictate the necessity for maximal intestinal drug
exposure, whereas systemic exposure should be minimalized to prevent systemic side effects [106]. Given the potential additional mode of intestinal conversion of thioguanine to 6-TGN, local delivery becomes even more attractive [107, 108]. However, systemic diseases such as leukemia will always require adequate systemic drug levels [109]. Sustained or controlled release profiles of nano-formulations might achieve these adequate systemic levels while reducing side effects, as demonstrated in the previously discussed studies [70, 76, 88]. However, studies in humans have yet to be performed. Ideally, the sustained release formulation is able to decrease high toxic peak concentrations while still achieving adequate therapeutic concentrations. Another possible advantage of sustained release formulations is the reduction of the frequency of oral tablet intake. The translation from preclinical to clinical development has challenges to be resolved. Most studies are ex vivo and have focused on the potential to improve drug delivery [110, 111]. Both the safety and efficacy of nano-formulations need to be clear to allow clinical development to progress. Another important issue is the potential of large-scale production of these nano-formulations. This might be very costly, and therefore it would not be cost-effective to use those drug delivery systems in daily practice [112].

8 Conclusion

Thiopurines are useful treatments in a wide range of diseases. The solubility of thiopurines is low, and the bioavailability of these drugs varies. The use of thiopurines has also been limited because of systemic side effects such as myelotoxicity and hepatotoxicity. Therefore, there is a need for novel drug delivery development approaches to improve targeted therapy and reduce side effects for millions of patients worldwide, especially those suffering from IBD. Delayed-release tablets are in clinical development, and preclinical data of nano-formulations show promising results. The combination of novel drug delivery formulations and adequate pharmacogenetic testing might improve patients’ benefit in the future.

Appendix

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("drug delivery systems"[MeSH Terms] OR "drug"[All Fields] AND "delivery"[All Fields] AND "systems"[All Fields]) OR "drug delivery systems"[MeSH Terms] OR "drug"[All Fields] AND "delivery"[All Fields] OR "drug delivery"[All Fields] OR "nano"[Journal] OR "nano"[All Fields] OR ("liposomalization"[All Fields] OR "liposomalized"[All Fields] OR "liposomally"[All Fields] OR "liposome s"[All Fields] OR "liposomes"[Pharmacological Action] OR "liposomes"[MeSH Terms] OR "liposomes"[All Fields] OR "liposomal"[All Fields] OR "liposome"[All Fields] OR "liposomalized"[All Fields] OR "liposomized"[All Fields]) OR ("polymer s"[All Fields] OR "polymer"[All Fields]) OR ("nanoparticle s"[All Fields] OR "nanoparticles"[MeSH Terms] OR "nanoparticles"[All Fields] OR "nanoparticle"[All Fields]) OR (micelle[All Fields] OR "micelle s"[All Fields] OR "micelles"[MeSH Terms] OR "micelles"[All Fields] OR "micelle"[All Fields] OR "micelle"[All Fields] OR "micellization"[All Fields] OR "micellized"[All Fields] OR "micellizing"[All Fields] OR "micelle s"[All Fields] OR "micelles"[All Fields] OR "micelle"[All Fields] OR "micelle"[All Fields] OR "micellization"[All Fields] OR "micellized"[All Fields] OR "micellizing"[All Fields] OR "micelle s"[All Fields] OR "micelles"[All Fields] OR "micelle"[All Fields]) OR ("microsphere s"[All Fields] OR "microspheres"[MeSH Terms] OR "microspheres"[All Fields] OR "microsphere"[All Fields]) OR ("controlled-release"[All Fields]) AND ("thiopurine"[All Fields] OR "thiopurines"[All Fields] OR "azathioprin"[All Fields] OR "azathioprine"[MeSH Terms] OR "azathioprine"[All Fields] OR "thioguanine"[MeSH Terms] OR "thioguanine"[All Fields] OR "thionaurine"[All Fields] OR "thioguanines"[All Fields] OR "mercaptopurine"[MeSH Terms] OR "mercaptopurine"[All Fields] OR "mercaptopurines"[All Fields] OR "thiamiprine"[Supplementary Concept] OR "thiamiprine"[All Fields]).

Declarations

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Conflict of interest AB Bayoumy, F Crouwel, N Chanda and HJC Buiter have nothing to disclose. THJ Florin is a director of an Australian startup company ProdrugXtend Pty Ltd, which owns a PCT relating to thiopurines. CJJ Mulder has served as a consultant and principal investigator for HLW Pharma BV, Douglas Pharma and Arega. NHK de Boer has served as a speaker for AbbVie and MSD and has served as consultant and principal investigator for TEVA Pharma BV and Takeda. He has received a (unrestricted) research grant from Dr. Falk, TEVA Pharma BV, MLDS and Takeda, all outside the submitted work.

Ethics Approval Not applicable.

Consent to participate Not applicable.

Consent for publication Not applicable.

Availability of data and material Not applicable.

Code availability Not applicable.
Authors' contributions AB Bayoumy performed the literature search, wrote the manuscript, and created the artwork and the table. F Crouwel reviewed the manuscript and wrote the Drug-induced effects on thiopurine metabolism section. CJJ Mulder, NKH de Boer, HJC Buiter, THI Florin and N Chanda critically reviewed the manuscript.

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