WHEN WILL THE STANLEY DEPTH INCREASE?

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Abstract. Let \( I \subset S = \mathbb{K}[x_1, \ldots, x_n] \) be an ideal generated by square-free monomials of degree \( \geq d \). If the number of degree \( d \) minimal generating monomials is \( \mu_d(I) \leq \min\left( (\binom{n}{d+1}), \sum_{j=1}^{n-d} \left( \binom{2j-1}{j} \right) \right) \), then the Stanley depth \( \text{sdepth}_S(I) \geq d+1 \).

1. Introduction

Throughout this paper, let \( \mathbb{K} \) be a field and \( S = \mathbb{K}[x_1, \ldots, x_n] \) a polynomial ring in \( n \) variables over \( \mathbb{K} \). The ring \( S \) has a natural \( \mathbb{Z}^n \)-grading. If \( M \) is a finitely generated \( \mathbb{Z}^n \)-graded \( S \)-module, a Stanley decomposition of \( M \) is a finite direct sum decomposition

\[ \mathcal{P} : M = \bigoplus_{i=1}^m u_i \mathbb{K}[Z_i] \]

of \( M \) as a \( \mathbb{Z}^n \)-graded \( \mathbb{K} \)-vector space, where each \( u_i \in M \) is homogeneous and \( Z_i \subset \{ x_1, \ldots, x_n \} \). Here, \( u_i \mathbb{K}[Z_i] \) is considered as a free \( \mathbb{K}[Z_i] \)-submodule of \( M \). The Stanley depth of this decomposition is \( \text{sdepth}(\mathcal{P}) = \min \{ |Z_i| : 1 \leq i \leq m \} \), and the Stanley depth of the module \( M \) is

\[ \text{sdepth}(M) := \max \{ \text{sdepth}(\mathcal{P}) : \mathcal{P} \text{ is a Stanley decomposition of } M \} . \]

If we consider isomorphism instead of equality in the previous Stanley decomposition \( \mathcal{P} \), we will land up in the notion of a Hilbert depth, which is the main topic of [BKU10].

The driving force for investigating the Stanley depth of a finitely generated \( \mathbb{Z}^n \)-graded module \( M \) is the conjecture raised by Stanley [Sta82], which says

\[ \text{sdepth}(M) \geq \text{depth}(M) . \]

This conjecture will imply ([HJY08, 4.5]) that Cohen-Macaulay simplicial complexes are partitionable, which was separately conjectured by Garsia [Gar80, 5.2] and Stanley [Sta79, p. 149].

To have an insight into the properties of Stanley depth, one lacks the many powerful tools as those for the normal algebraic depth. Deciding the Stanley depth of interesting modules is already a headache for researchers. Currently, the Stanley
depth is known only for a very narrow scope of modules, the overwhelming majority of which has equality in the Stanley conjecture (†).

The paper [HVZ09] by Herzog, Vladoiu and Zheng was a breakthrough along this line. Their method attacks the problem of computing the Stanley depth \( sdepth(I/J) \) for monomial ideals \( J \subset I \) in \( S \). This method, though not a panacea, contributes fundamentally to the knowledge of Stanley decompositions from both theoretical and computational perspectives. For instance, based on this method, Biró et al. [BHK+10] can show that \( sdepth_S(⟨x_1,\ldots,x_n⟩) = \lceil \frac{n}{2} \rceil \). Notice that \( \text{depth}_S(⟨x_1,\ldots,x_n⟩) = 1 \). Other nontrivial computations and estimates can be found in, for instance, [KY09], [Oka11], [She09] and their references.

Throughout this paper, \( I \) will be a monomial ideal in \( S \), generated by squarefree monomials of degree \( \geq d \). The task of the current paper is to investigate when \( sdepth(I) \geq d+1 \). Our main result is the following theorem.

**Theorem 1.1.** Let \( I \subset S = \mathbb{K}[x_1,\ldots,x_n] \) be an ideal generated by squarefree monomials of degree \( \geq d \). If the number of degree \( d \) minimal generating monomials \( \mu_d(I) \) satisfies

\[
\mu_d(I) \leq \min \left( \binom{n}{d+1}, \sum_{j=1}^{n-d} \binom{2j-1}{j} \right),
\]

then the Stanley depth \( sdepth_S(I) \geq d+1 \).

Let us finish this introduction by going over the structure of this paper. In section 2, we will go over Herzog, Vladoiu and Zheng’s method for computing the Stanley depth of monomial ideals. We will tailor it to the squarefree case and prove a special case of the main theorem. In section 3, we will inspect several combinatorial constructions, which are essential for deciding when the Stanley depth will increase. In the final section, we will complete the proof and provide additional remarks and questions.

### 2. Herzog, Vladoiu and Zheng’s Method

By convention, we denote the set \( \{1,2,\ldots,n\} \) by \([n]\). For the squarefree monomial ideal \( I \), consider the associated set

\[
P_I := \{ \{ i_1,\ldots,i_m \} \subset [n] : x_{i_1} \cdots x_{i_m} \in I, 1 \leq m \leq n \}.
\]

This is a partially ordered set (poset) with respect to inclusion. When \( A,B \in P_I \), the interval \([A,B]\) is the set \( \{ C \in P_I : A \subset C \subset B \} \). Herzog, Vladoiu and Zheng’s method [HVZ09 2.5] for squarefree monomial ideals can easily be checked to be equivalent to the following characterization:

**Lemma 2.1.** Let \( k \) be a positive integer. Then \( sdepth(I) \geq k \) if and only if \( P_I \) has a disjoint partition \( P : P_I = \bigcup_{i=1}^{l} [A_i,B_i] \) such that the cardinalities \( |B_i| \geq k \), \( 1 \leq i \leq l \).

This can be further simplified. Consider the reduced associated poset

\[
P_I^k := \{ \{ i_1,\ldots,i_m \} \in P_I : m \leq k \}.
\]

It is partitionable if \( P_I^k \) has a disjoint partition \( P : P_I^k = \bigcup_{i=1}^{l} [A_i,B_i] \) such that the cardinalities \( |B_i| = k \), \( 1 \leq i \leq l \). Thus, the previous lemma is equivalent to saying (‡)

\[
sdepth(I) \geq k \iff P_I^k \text{ is partitionable}.
\]
It follows that if $I$ is generated by squarefree monomials of degree $\geq d$, then $\operatorname{sdepth}(I) \geq d$. Meanwhile, we also have $\operatorname{depth}(I) \geq d$ in this case; see [HVZ09 1.3, 3.1].

**Remark 2.2.** Let $I$ be an $S$-ideal generated by squarefree monomials of degree $\geq d$ and $I_d$ the subideal generated by the degree $d$ generators of $I$. Since $P^{d+1}_I$ differs from $P^{d+1}_{I_d}$ only in the degree $d+1$ part, $\operatorname{sdepth}(I) \geq d+1$ if and only if $\operatorname{sdepth}(I_d) \geq d+1$. Using the observation $\operatorname{sdepth}(I), \operatorname{sdepth}(I_d) \geq d$, this is equivalent to saying that $\operatorname{sdepth}(I) = d$ if and only if $\operatorname{sdepth}(I_d) = d$. Thus, in the following, we may assume that $I = \langle I_d \rangle$; we will say $I$ is pure of degree $d$ in this case.

**Remark 2.3.** Let $I \subset J$ be two $S$-ideals which are generated by squarefree monomials of degree $d$. If $\operatorname{sdepth}(J) \geq d+1$, then $P^{d+1}_I$ is partitionable by $(\dagger)$. The restriction of such a partition to $P^{d+1}_I$ shows that $P^{d+1}_I$ is also partitionable. Thus, $\operatorname{sdepth}(I) \geq d+1$. Notice that, in general, we cannot compare $\operatorname{sdepth}(I)$ with $\operatorname{sdepth}(J)$ even if there exists containment between $I$ and $J$. For instance, for the three squarefree monomial ideals $I_1 := \langle 1 \rangle \supseteq I_2 := \langle x_1, x_2 \rangle \supseteq I_3 := \langle x_1 \rangle$ in $S = \mathbb{K}[x_1, x_2]$, we will have $\operatorname{sdepth}(I_1) = \operatorname{sdepth}(I_3) = 2 > \operatorname{sdepth}(I_2) = 1$.

**Corollary 2.4.** Suppose $I$ is generated by squarefree monomials of degree $\geq d$ and $\operatorname{sdepth}(I) \geq d+1$. Then the number of degree $d$ minimal generators is $\mu_d(I) \leq \binom{n}{d+1}$.

**Proof.** Since $\operatorname{sdepth}(I) \geq d+1$, $P^{d+1}_I$ is partitionable and has a partition $P^{d+1}_I = \bigcup_{i=1}^l [A_i, B_i]$ with $|B_i| = d+1$. Now $\binom{n}{d+1} \geq |\{B_i : 1 \leq i \leq l\}| \geq |\{B_i : |A_i| = d\}| = |\{A_i : |A_i| = d\}| = \mu_d(I)$. \(\square\)

When $n \geq 2d+1$, we have $\mu_d(I) \leq \binom{n}{d} \leq \binom{n}{d+1}$. Thus Corollary 2.4 does not provide much information in this case. However, we have

**Proposition 2.5.** Suppose $n \geq 2d+1$ and $I$ is generated by squarefree monomials of degree $\geq d$. Then $\operatorname{sdepth}(I) \geq d+1$.

**Proof.** Recall that the squarefree Veronese ideal $I_{n,d}$ is the ideal generated by all degree $d$ squarefree monomials of $S = \mathbb{K}[x_1, \ldots, x_n]$. It follows from [KSSY11 1.1] that $\operatorname{sdepth}(I_{n,d}) \geq d+1$. Now, we use Remarks 2.2 and 2.3. \(\square\)

Inspired by the proof of Proposition 2.5, we raise the following conjecture on the Stanley depth of squarefree monomial ideals:

**Conjecture 2.6.** If $I \subset S = \mathbb{K}[x_1, \ldots, x_n]$ is an ideal generated by squarefree monomials of degree $\geq d$, then

$$\operatorname{sdepth}_S(I) \geq d + \left[ \binom{n}{d+1} / \binom{n}{d} \right].$$

Thanks to [KSSY11 2.2], we know $\operatorname{sdepth}(I_{n,d}) \leq d + \left[ \binom{n}{d+1} / \binom{n}{d} \right]$. Hence this conjecture is stronger than the special case [KSSY11 2.4], which is also separately conjectured by Cimpoeaş in [Cim09 1.6].
3. THE ASSOCIATED PURE COMPLEX

Let $k$ be a positive integer. By [BH93 4.2.6], any integer $x \geq 1$ can be written uniquely in the form

\[
x = \binom{a_k}{k} + \binom{a_{k-1}}{k-1} + \cdots + \binom{a_i}{i}
\]

such that $a_k > \cdots > a_i \geq i > 0$. The above sum is called the $k$-th Macaulay representation of $x$. For any integer $j$, $1 \leq j < i$, we set $a_j = j - 1$. We shall call $a_k, a_{k-1}, \ldots, a_1$ the $k$-th Macaulay coefficients of $x$. They have the following nice property.

**Lemma 3.1** ([BH93 4.2.7]). Let $a_k, \ldots, a_1$, respectively $a'_k, \ldots, a'_1$, be the $k$-th Macaulay coefficients of $x$, respectively $x'$. Then $x > x'$ if and only if

\[
(a_k, \ldots, a_1) > (a'_k, \ldots, a'_1)
\]

in lexicographical order.

Now, let $\delta := n - d$ be the difference of degrees and write $\xi_\delta := \sum_{j=1}^\delta \binom{2j-1}{j}$. In Theorem 1.1 we need to compare the integer $\xi_{n-d}$ with $\binom{n}{d+1}$.

**Lemma 3.2.** Let $1 \leq \delta < n$. Then

\[
\min \left( \xi_\delta, \binom{n}{\delta-1} \right) = \begin{cases} 
\xi_\delta & \text{if } n \geq 2\delta, \\
\binom{n}{\delta-1} & \text{if } n \leq 2\delta - 1.
\end{cases}
\]

**Proof.** The cases when $\delta = 1$ and $2$ can be verified directly. Thus, we assume that $\delta \geq 3$. Note that the $(\delta - 1)$-th Macaulay coefficients of $\binom{n}{\delta-1}$ are $n, \delta - 3, \delta - 4, \ldots, 1, 0$. Meanwhile, the $(\delta - 1)$-th Macaulay coefficients of $\xi_{\delta}$ are $2\delta - 1, 2\delta - 3, \ldots, 7, 5, 4$. When $n \geq 2\delta$,

\[
(n, \delta - 3, \delta - 4, \ldots, 1, 0) > (2\delta - 1, 2\delta - 3, \ldots, 7, 5, 4)
\]

in lexicographical order. When $n \leq 2\delta - 1$, we have the opposite comparison result. Therefore, the conclusion follows from Lemma 3.1. \(\square\)

For each squarefree monomial $m = x_{i_1} \cdots x_{i_k} \in S$, we denote the set $\{1, \ldots, n\} \setminus \{i_1, \ldots, i_k\}$ by $m^c$. Suppose $I$ is a squarefree monomial $S$-ideal and $G(I)$ is the set of minimal generating monomials of $I$. We call the simplicial complex $\Delta^G(I) := \langle m^c : m \in G(I) \rangle$ the complement complex of $I$. For each simplicial complex over $[n]$, there is a unique squarefree monomial ideal $I$ such that $\Delta = \Delta^G(I)$. Thus, we will call $I$ the complement ideal of $\Delta$. It is clear that $I$ is generated by its degree $k$ part $I_k$ if and only if $\Delta^G(I)$ is pure of dimension $n - k - 1$. When $\Delta^G(I)$ is pure, the number of facets $f_{n-k-1}(\Delta^G(I)) = \mu(I)$.

Now, let $I$ be a squarefree monomial ideal which is pure of degree $d$. We will relate the reduced associated poset $P^{d+1}_I$ of $I$ with its complement complex $\Delta^G(I)$. Each interval $[A, B] \subset P^{d+1}_I$ with $|A| = d$ and $|B| = d + 1$ corresponds to the pair $([n] \setminus A, [n] \setminus B)$. Notice that $[n] \setminus A$ is a facet of $\Delta^G(I)$ and $[n] \setminus B$ is a face contained in $[n] \setminus A$. Now it is clear that the following three conditions are equivalent:

(a) The Stanley depth $\text{sdepth}(I) \geq d + 1$.
(b) The reduced associated poset $P^{d+1}_I$ is partitionable.
(c) For each facet $F$ of $\Delta = \Delta^G(I)$, we can suitably drop a vertex to get a face $\tilde{F}$ such that all these $\tilde{F}$'s are pairwise distinct.
The third condition is closely related to the problem of finding systems of distinct representatives (SDR). It provides the framework for our further investigation. In the following, we will call a pure simplicial complex \( \Delta \) uniformly collapsible if it satisfies the third condition above. It is straightforward to see that if \( \Delta \) is a uniformly collapsible complex of dimension \( \delta - 1 \), then \( f_{\delta-2} \geq f_{\delta-1} \). Here, \( f(\Delta) = (f_{-1} = 1, f_0, \ldots, f_{\delta-1}) \) is the \( f \)-vector of \( \Delta \). Actually, we have the following characterization:

**Lemma 3.3.** For any \((\delta - 1)\)-dimensional pure simplicial complex \( \Delta \), the following two conditions are equivalent:

(a) The complex \( \Delta \) is uniformly collapsible.

(b) For each \((\delta - 1)\)-dimensional (pure) subcomplex \( \Delta' \), we have \( f_{\delta-2}(\Delta') \geq f_{\delta-1}(\Delta') \).

**Proof.** Suppose (1) gives the Macaulay representation of \( a \) uniformly collapsible complex of dimension \( \delta - 1 \), then \( f_{\delta-2} \geq f_{\delta-1} \). Here, \( f(\Delta) = (f_{-1} = 1, f_0, \ldots, f_{\delta-1}) \) is the \( f \)-vector of \( \Delta \). Actually, we have the following characterization:

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**Proof.** For the pure complex \( \Delta \), we consider its associated bipartite graph \( G \) defined as follows. The vertex set is \( V(G) = X \cup Y \), where \( X \) is the set of all \((\delta - 1)\)-dimensional faces (facets) of \( \Delta \), while \( Y \) is the set of all \((\delta - 2)\)-dimensional faces of \( \Delta \). An edge of \( G \) has endpoints \( x \in X \) and \( y \in Y \) if and only if \( x \cap y \in \Delta \). We will use \( \Gamma(x) \) to denote the set of all vertices adjacent to a given vertex \( x \in X \). If \( A \) is a subset of \( X \), we denote by \( \Gamma(A) \) the set \( \bigcup_{a \in A} \Gamma(a) \). Let \( \Delta'(A) \) be the simplicial complex \( \langle A \rangle \). Then \( \Gamma(A) \) is the set of all \((\delta - 2)\)-dimensional faces of \( \Delta'(A) \). Now, our claim follows directly from P. Hall’s famous marriage theorem [\( \text{[VLaW01]} \ 5.1 \)], which says that a necessary and sufficient condition for there to be a complete matching from \( X \) to \( Y \) in \( G \) is that \( |\Gamma(A)| \geq |A| \) for every \( A \subset X \). Since \( f_{\delta-2}(\Delta'(A)) = |\Gamma(A)| \) and \( f_{\delta-1}(\Delta'(A)) = |A| \), we are done. \( \square \)

**Corollary 3.4.** The pure simplicial complex \( \Delta \) is uniformly collapsible if and only if \( f_{\delta-2}(\Delta) \geq f_{\delta-1}(\Delta) \) and all its proper subcomplexes of the same dimension \( \delta - 1 \) are uniformly collapsible.

Before we proceed to the next technical lemma, we need to review one nice combinatorial interpretation of the Catalan numbers \( C_n := \frac{1}{n+1} \binom{2n}{n} = \binom{2n}{n} - \binom{2n}{n+1} \) for \( n \geq 0 \).

**Remark 3.5 ([\( \text{VLaW01} \ 14.8 \)]. Consider walks in the \( X-Y \) plane where each step is \( U : (x, y) \to (x + 1, y + 1) \) or \( D : (x, y) \to (x + 1, y - 1) \). Let \( A = (0, k) \) and \( B = (n, m) \) be two integral points on the upper halfplane. It follows from André’s reflection principle that there are \( \binom{n}{l_1} - \binom{n}{l_1} \) paths from \( A \) to \( B \) that do not meet the \( X \)-axis. Here, \( 2l_1 = n - k - m \) and \( 2l_2 = n - m + k \). As a result, there are \( C_{n-1} \) paths from \( (0, 0) \) to \( (2n, 0) \) in the upper halfplane that do not meet the \( X \)-axis between these two points. Furthermore, if we allow the paths to meet the \( X \)-axis without crossing, then the number is \( C_n \).

With respect to the Macaulay representation \([ \( \text{I} \) \], we define

\[
\partial_{k-1}(x) = \binom{a_i}{k-1} + \binom{a_{k-1}}{k-2} + \cdots + \binom{a_i}{i-1}.
\]

**Lemma 3.6.** For any positive integer \( x \) such that \( x \leq \xi_k := \sum_{j=1}^k \binom{2j-1}{j} \), we have \( \partial_{k-1}(x) \geq x \).

**Proof.** Suppose \([ \( \text{I} \) \] gives the Macaulay representation of \( x \). We need to show

\[
\sum_{j=1}^k \binom{a_j}{j-1} \geq \sum_{j=1}^k \binom{a_j}{j}.
\]
In view of Lemma 3.1, we obtain \( a_k \leq 2k - 1 \). If \( a_k = 2k - 1 \), we can consider the case where \( k' = k - 1 \) and \( x' = x - \binom{2k-1}{k} \). Now \( x' \leq \sum_{j=1}^{k-1} \binom{2j-1}{j} \). The conclusion will follow from the induction on \( k \), with the case \( k = 1 \) being trivial.

Thus we may assume that \( a_k < 2k - 1 \). Let \( k_0 \) be the smallest integer such that for all \( k_0 \leq j \leq k \) we have \( a_j < 2j - 1 \). Now, it suffices to prove

\[
\sum_{j=k_0}^{k} \left( \binom{a_j}{j} - \binom{a_j}{j} \right) \geq \sum_{j=1}^{k_0-1} \left( \binom{a_j}{j} - \binom{a_j}{j} \right).
\]

First of all, let us look at the summand on the left hand side of inequality (2). By our choice of \( k_0 \), we have \( k_0 > 1 \) and \( a_{k_0-1} \geq 2k_0 - 3 \). Thus, for \( j = k_0, k_0+1, \ldots, k \), we have \( a_j \geq j + k_0 - 2 \). When \( a_j < 2j - 1 \), the integer

\[
\binom{a_j}{j} - \binom{a_j}{j} = a_j - (a_j - j + 1) = a_j - (a_j - j)
\]

is the number of paths in the \( X-Y \) plane from \( A = (0, 1) \) to \( B_j,a_j = (a_j, 2j - 1 - a_j) \) that do not meet the \( X \)-axis. In particular, this is a positive integer. When \( a_j < 2j - 2 \), any such path followed by a step \( D \) as in Remark 3.5 gives a path from \( A \) to \( B_j,a_j+1 \). Thus, (3) is an increasing function for

\[ a_j \in \{ j + k_0 - 2, j + k_0 - 1, \ldots, 2j - 2 \} . \]

Now the infimum of the left hand side of (2) is achieved when \( a_j = j + k_0 - 2 \). Henceforth, without loss of generality, we may assume that \( k = k_0 \) and \( a_k = 2k - 2 \), whence \( a_{k-1} = 2k - 3 \).

Next, let us consider the summand on the right hand side of inequality (2). Notice that \( a_k = 2k - 2 \); thus \( a_j \leq k - 2 + j \). Now we have

\[
\binom{a_j}{j} - \binom{a_j}{j} = \binom{a_j}{j} \frac{a_j - j + 1}{j} - 1 ,
\]

which is positive only when \( a_j \geq 2j - 1 \). When this condition is indeed satisfied, integer (4) is the number of paths in the \( X-Y \) plane from \( A = (0, 1) \) to \( B_j,a_j = (a_j, a_j + 1 - 2j) \) that do not meet the \( X \)-axis. Any such path followed by a step \( U \) as in Remark 3.5 gives a path from \( A \) to \( B_j,a_j+1 \). Thus, (4) is an increasing function for \( a_j \in \{ 2j - 1, 2j, \ldots, k - 2 + j \} \). Now the supremum of the right hand side of (2) is achieved when \( i = 1 \) and \( a_j = k - 2 + j \) for \( j = 1, \ldots, k - 1 \).

Now it suffices to prove

\[
\binom{2k-2}{k-1} \geq \sum_{j=1}^{k-1} \left( \binom{k-2+j}{j} - \binom{k-2+j}{j-1} \right).
\]
As a matter of fact, we have

\[ \text{LHS} - \text{RHS} = \sum_{j=1}^{k} \binom{k-2+j}{j-1} - \sum_{j=1}^{k} \binom{k-2+j}{j} \]

\[ = \sum_{j=2}^{k} \left( \binom{k-1+j}{j-1} - \binom{k-2+j}{j-2} \right) + \binom{k-1}{0} \]

\[ - \sum_{j=1}^{k} \left( \binom{k-1+j}{j} - \binom{k-2+j}{j-1} \right) \]

\[ = \left( \binom{2k-1}{k-1} - \binom{k-1}{0} \right) + \binom{k-1}{0} - \left( \binom{2k-1}{k} - \binom{k-1}{0} \right) \]

\[ = 1. \]

One can also explain this difference being 1 by the paths argument in Remark 3.5.

Next, consider the following property:

\((*)\) If \(\Delta\) is a pure simplicial complex of dimension \(\delta - 1\) and \(f_{\delta-1}(\Delta) \leq f_{\delta-2}(\Delta)\), then \(\Delta\) is uniformly collapsible.

To investigate this property, we have to be equipped with further apparatus. We will need the following fact from [Duv94, p79]. Define the reverse lexicographical order \(\leq_{\text{rlex}}\) on the \(k\)-subsets of \([n] := \{1, 2, \ldots, n\}\) as follows. Let \(S = \{i_1 < \cdots < i_k\}\) and \(T = \{j_1 < \cdots < j_k\}\) be two \(k\)-subsets. We say \(S <_{\text{rlex}} T\) if for some \(q\), we have \(i_q < j_q\) and \(i_p = j_p\) for \(p > q\). A collection \(C\) of \(k\)-subsets of \([n]\) is compressed if \(S <_{\text{rlex}} T\) and \(T \in C\) imply \(S \in C\). Since \(\leq_{\text{rlex}}\) is a total ordering, there is only one compressed collection of \(k\)-subsets of size \(l, 1 \leq l \leq \binom{n}{k}\).

We will call it \(C_{n,k}^l\) and denote the \((k-1)\)-dimensional simplicial complex \(\langle C_{n,k}^l \rangle\) by \(\Delta_{n,k}^l\). The complement ideal of \(\Delta_{n,k}^l\) will be written as \(I_{n,n-k}^l\). It is generated by \(l\) squarefree monomials of degree \(n-k\). For \(1 \leq d \leq n\) and \(l = \binom{n}{d}\), the ideal \(I_{n,d}^l\) is the usual squarefree Veronese ideal \(I_{n,d}\).

The shadow of any collection \(C\) of \(k\)-subsets is

\[ \partial C = \{ S : |S| = k-1, S \subset T \text{ for some } T \in C \}. \]

The shadow \(\partial C_{n,k}^l\) is also compressed and \(\partial C_{n,k}^l = \partial_{k-1}(l)\). The proof of this fact can be found, for instance, in [GK78, Section 8]. This implies that \(f_{k-2}(\Delta_{n,k}^l) = \partial_{k-1}(f_{k-1}(\Delta_{n,k}^l)) = \partial_{k-1}(l)\).

When \(\Delta\) is pure of dimension \(\delta - 1\) and \(C\) is the set of all facets, then \(\partial C\) is the set of all \((\delta - 2)\) faces. In general, we will have \(f_{\delta-2}(\Delta) \geq f_{\delta-1}(f_{\delta-1}(\Delta))\), namely \(|\partial C| \geq \partial_{\delta-1}(|C|)\); see [GK78, 8.1].

**Example 3.7.** The simplicial complex \(\Delta = \Delta_{n,\delta}^{n,\delta}\) is not uniformly collapsible. For this, it suffices to observe that \(f_{\delta-1}(\Delta) = \xi_\delta + 1 = \binom{\delta}{1} + \sum_{j=2}^{\delta} \binom{2j-1}{j} \). Thus \(f_{\delta-2}(\Delta) = \partial_{\delta-1}(\xi_\delta + 1) = \binom{\delta}{0} + \sum_{j=2}^{\delta} \binom{2j-1}{j-1} = \xi_\delta\) and \(f_{\delta-1}(\Delta) > f_{\delta-2}(\Delta)\). Now apply Corollary 3.4.
If we combine Corollary 3.4 with Lemma 3.6, we obtain the following result:

**Corollary 3.8.** If $f_{\delta-1}(\Delta) \leq \xi_{\delta}$, then property $(\ast)$ holds.

However, property $(\ast)$ does not hold in general.

**Example 3.9.** We already know that the simplicial complex $\Delta_{\xi_{\delta}+1}^n$ over the vertex set $[n]$ is not uniformly collapsible. Now, let $\bar{\Delta} = \langle \Delta_{\xi_{\delta}+1}^n, \{ n, n+1, \ldots, n+\delta-1 \} \rangle$ be a new simplicial complex over the vertex set $[n+\delta-1]$. It is again pure of dimension $\delta-1$. Notice that

$$f_{\delta-1}(\bar{\Delta}) = f_{\delta-1}(\Delta) + 1 = \xi_{\delta} + 2$$

and, when $\delta \geq 3$,

$$f_{\delta-2}(\bar{\Delta}) = f_{\delta-2}(\Delta_{\xi_{\delta}+1}^n) + f_{\delta-2}(\{ n, n+1, \ldots, n+\delta-1 \}) = \xi_{\delta} + \delta.$$

Hence, we have $f_{\delta-2}(\bar{\Delta}) > f_{\delta-1}(\bar{\Delta})$. However, $\bar{\Delta}$ is not uniformly collapsible because of the existence of the pure subcomplex $\Delta_{\xi_{\delta}+1}^n$.

In the current context, we always assume that $n/2 \leq d < n$, whence $2\delta \leq n$. The obstacle in the previous example is created by introducing extra vertices; now the number of vertices is at least $3\delta-1$. Thus, we are interested in the following question:

**Question 3.10.** Fix the degree difference $\delta$. If $n = 2\delta$, does property $(\ast)$ hold? If the answer is positive, what is the largest integer $n < 3\delta-1$ such that $(\ast)$ holds?

## 4. Proof of Theorem 1.1

We have gathered all the apparatus for proving the main theorem.

**Proof.** By virtue of Remark 2.2 we may assume that $I$ is pure of degree $d$. For $1 \leq d < n$, write $\delta = n - d$ for the difference of degrees.

When $n \geq 2d+1$, we have $n \leq 2\delta - 1$. Thus

$$\min \left( \xi_{n-d}, \left( \begin{array}{c} n \\ d+1 \end{array} \right) \right) = \left( \begin{array}{c} n \\ d+1 \end{array} \right)$$

by virtue of Lemma 3.2. The condition $\mu(I) \leq \left( \begin{array}{c} n \\ d+1 \end{array} \right)$ is automatically satisfied, and we have $\text{sdepth}(I) \geq d+1$ from Proposition 2.5.

On the other hand, when $1 \leq d < n \leq 2d$, we have $n \geq 2\delta$. Now

$$\min \left( \xi_{n-d}, \left( \begin{array}{c} n \\ d+1 \end{array} \right) \right) = \xi_{n-d}.$$

If $\mu(I) \leq \xi_{\delta}$, its complement complex $\Delta^C(I)$ is uniformly collapsible from Lemmas 3.3 and 3.6. Thus $\text{sdepth}\langle I \rangle \geq d+1$. \qed

**Remark 4.1.** We want to emphasize that the condition in Theorem 1.1 is optimal. With $\delta = n-d$, there is not much to mention for the case $n \leq 2\delta - 1$. When $n \geq 2\delta$, we will take $I = I_{\xi_{\delta}+1}^n$. It has been manifested in Example 3.7 that the complement complex $\Delta_{\xi_{\delta}+1}^n$ is not uniformly collapsible, whence $\text{sdepth}\langle I \rangle = d$.

We finish by noticing that $\mu_d(I) = \xi_{\delta} + 1$. 

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Remark 4.2. When $n/2 \leq d < n$, the set

$$\Xi := \{ I \subset S \mid I \text{ is pure of degree } d \text{ and sdepth}(I) = d \}$$

is nonempty and partially ordered with respect to inclusion. If $I \in \Xi$ is minimal, then $\mu(I) \geq \xi_{d+1}$. This inequality can be strict if the dimension $n$ is not too small relative to the difference $\delta = n - d$. We will only show this in the special case when $d = n - 2$. Let $G$ be the graph on $[n]$ (1-dimensional pure simplicial complex) with edges

$$E(G) = \{ \{1, 2\}, \{2, 3\}, \ldots, \{n-1, n\}, \{n, 1\}, \{1, 3\} \}.$$ 

It is a circle with a chord. All 1-dimensional proper subcomplexes of $G$ are uniformly collapsible, while $G$ itself is not. Let $I$ be the degree $n - 2$ complement ideal of the complex $G$. It satisfies that $\text{sdepth}(I) = n - 2$ and $\mu(I) = n + 1$. Furthermore, this ideal is minimal in $\Xi$.

Since $n + 1$ is smaller when compared with $\binom{n}{d}$ or $\binom{n}{d+1}$ in this situation, we are interested in

**Question 4.3.** What is $\max \{ \mu(I) \mid I \text{ is minimal in } \Xi \}$?

Since $\binom{n}{d} > \binom{n}{d+1}$, the maximal element of $\Xi$ is the squarefree Veronese ideal $I_{n,d}$. Thus, the number

$$\max \{ \mu(I) \mid I \text{ is maximal in } \Xi \}$$

is clear.

**Remark 4.4.** When $n/2 \leq d < n$, the set

$$\Xi^0 := \{ I \subset S \mid I \text{ is pure of degree } d \text{ and sdepth}(I) > d \}$$

is also nonempty. For any $I \in \Xi^0$, we have $\mu(I) \leq \binom{n}{d+1}$. We will show that

$$\max \{ \mu(I) \mid I \in \Xi^0 \} = \binom{n}{d+1}.$$

Suppose $k$ is an integer with $1 \leq k \leq n - 1$. If a squarefree monomial ideal $I$ is pure of degree $k$ and sdepth$(I) \geq k + 1$, we have a union of disjoint intervals $\bigcup_{x^m \in G(I)} [m, \bar{m}]$ in $P_{k+1}$, with $|\bar{m}| = k + 1$ for each $x^m \in G(I)$. Here, $x^m$ stands for $x_{i_1} x_{i_2} \cdots x_{i_k}$ if $m = \{i_1, \ldots, i_k\}$. Now, simply set $J = (x^{ar{m}} \mid m \in G(I))$. The squarefree monomial ideal $J$ is pure of degree $n - k - 1$ and sdepth$(J) \geq n - k$. This correspondence from $I$ to $J$, though not one-to-one, preserves the minimal number of generators.

Now, we are reduced to show the existence of a squarefree monomial ideal $J$ that is pure of degree $n - d - 1$ with $\mu(J) = \binom{n}{d+1}$ and sdepth$(J) \geq n - d$. This monomial ideal $J$ has to be the squarefree Veronese ideal $I_{n,n-d-1}$. Since $2d \geq n - 1$, it has the desired properties.

Note that any set of squarefree monomials has a squarefree shadow; see [BEOS09, 2.2]. Thus, we can prove Theorem 1.1 directly without resorting to the complement complex. However, we find this approach less intuitive, especially during the construction of the simplicial complex $\tilde{\Delta}$ in Example 3.9 and the graph $G$ in Remark 4.2.
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