Zinc Supplements in COVID-19 Pathogenesis-Current Perspectives

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Abstract

Zinc is an indispensable trace element required for several critical functions of the human body. Deficiencies of micronutrients can impair immune function and increase susceptibility to infectious disease. It is noteworthy that higher susceptibility to the SARS-CoV-2 viral infection is seen in individuals with micronutrient deficiencies and poorer overall nutrition. Research in the last two decades suggests that one-third of the global population may be deficient in zinc, which affects the health and well-being of individuals of all ages and gender. Zinc deficiency is now considered one of the factors associated with susceptibility to infection and the detrimental progression of COVID-19. The trace element is essential for immunocompetence and antiviral activity, rendering zinc supplements highly popular and widely consumed. Zinc supplements are required in small doses daily, and their absorption is affected by food rich in fiber and phytate. The organic forms of zinc such as picolinate, citrate, acetate, gluconate, and the monomethionine complexes are better absorbed and have biological effects at lower doses than inorganic salts. Considering the present global scenario, choosing the right zinc supplement is essential for maintaining good health. In the present review, we reexamine the role of zinc in immunity and antiviral activity and a comparative account of different forms of zinc supplements.

Keywords: Zinc; SARS-CoV-2; Zinc deficiency; Antiviral immunity; Supplements

Introduction

Nutritional deficiency contributes to poor health and susceptibility to infection. The deficiency in micronutrients are not easily recognized as their manifestation is not very distinct and hence may not get noticed. Correcting the micronutrient deficiencies may be helpful in supporting the immune function and resist frequent infection, especially in the vulnerable population.

Zinc is only second to iron as an important trace element in the human body. It is abundantly distributed throughout body tissues and is vital for growth and development, gene expression, and immune functions [1,2]. Zinc is a structural component of nearly 2000 transcription factors and a required cofactor for more than 300 enzymes, which help in digestion, metabolism, and neuronal functions [2]. Numerous studies have shown that zinc is essential for maintaining a strong immune function, blood sugar levels and keeping skin, hair, eyes, and heart healthy [3]. Daily intake is required for maintaining the levels to support the essential biological functions as only 20–40% mineral is absorbed by the enterocytes in the gut, while the residual zinc is excreted [4]. Zinc may be stored in skeletal muscle and bone and a very small fraction (10-20 µM) is found circulating in the blood [5]. The prevalence of zinc deficiency is estimated to be 17–20% globally, predominant in African and Asian countries [6]. Zinc deficiency is commonly observed in the geriatric population, vegans/vegetarians, and individuals with chronic disease such as immunosuppression, Chronic Obstructive Pulmonary Disease (COPD), asthma, cardiovascular diseases, autoimmune diseases, kidney diseases, obesity, diabetes, liver disorders, inflammatory bowel disease and cancer, who are also known to be at high risk for SARS-CoV-2 infection [7,8]. Zinc is vital for a proper immune response as its deficiency results in defective lymphocyte responses, lymphopenia, and thymic atrophy [9]. In the present scenario of the pandemic viral infection, robust immunity is a major concern. In this review, we focus on the role of zinc in immunity and antiviral response, and the importance of zinc supplements in prophylaxis and treatment of Severe Acute Respiratory Syndrome Coronavirus-2 (SARS-CoV-2) infections.

Zinc in Respiratory Health: SARS-CoV-2 Infection

The world is now facing a serious pandemic, caused by the Severe Acute Respiratory Syndrome Coronavirus-2 (SARS-CoV-2), also known as the novel Coronavirus Disease 2019 (COVID-19). There is an urgent need for pharmacological, traditional, and complementary medicine approaches and nutritional intervention to aid in prevention, treatment, and recovery from the infection. SARS-CoV-2 is an enveloped beta coronavirus with a positive-sense single-stranded RNA genome [10]. It is transmitted via direct contact, respiratory secretions and remains stable on surfaces for days [11,12]. High morbidity has been observed among the elderly, especially those with prevailing chronic diseases [13]. The pathogenesis of COVID-19 is yet to be fully understood, but the multifatorial pathology results in a systemic hyperinflammatory response, cytokine storm, and an associated thromboembolic complication in severe cases [14,15].
Zinc, as a trace element, has potent antiviral and immunomodulatory properties [16]. It is used as a cofactor for different cellular proteins with immunomodulatory and antioxidant actions [17]. Zinc is essential for the development and activation of T-lymphocytes, which are the leading cells for defense against viral infections [18]. The antiviral property of zinc has been studied extensively in hepatitis C virus, coronavirus, human immunodeficiency virus and others [19].

Zinc is considered a potential supportive treatment due to its immune-modulatory and antiviral effect [16]. Hydroxychloroquine, a zinc ionophore, has been increasingly used as antiviral therapy for COVID-19 patients [21,22]. Further, zinc is known to improve antiviral immunity and diminish the risk of hyper-inflammation. The anti-oxidative effect of zinc could reduce lung damage and curtail secondary infections [22,23]. A significant number of COVID-19 patients were found to be zinc deficient compared to healthy individuals in a recent study. Severity and complications due to infection, were higher in zinc deficient patients, who had prolonged hospital stay associated with increased mortality [24].

**Mechanism of Antiviral Activity of Zinc**

**Viral entry**

The entry of infectious agents is prevented by the ciliary cells in mucosal layers. The coronavirus infection damages the ciliated epithelium and ciliary dyskinesia, thus impairing the mucociliary clearance [25]. Zinc could increase the ciliary beat frequency, the number, and the length of bronchial cilia, thus improving the elimination of virus particles and prevention of secondary bacterial infections [25]. Disruptions in the respiratory epithelial integrity facilitate the entry of the virus. Decreasing zinc level was found to increase the epithelial leakage in the respiratory tract in an *ex vivo* model of COPD, while lung integrity could be improved by zinc supplementation [26,27]. Zinc supplementation was shown to increase proteolysis of E-cadherin/beta-catenin and increase the expression of tight junction proteins like Claudin-1 and ZO-1, which improved lung integrity in a murine model of acute lung injury [27,28]. Further, zinc had an inhibitory effect on LFA-1/ICAM-1 interaction, which reduced leukocyte recruitment and inflammation in the respiratory tract, while high zinc levels improved the tolerance of the lung towards damage induced by mechanical ventilation [29,30].

SARS-CoV-2 infects cells expressing the surface receptors Angiotensin-Converting Enzyme 2 (ACE-2). Zinc binds to the active center of the ACE-2 active center and is thus essential for its enzymatic activity. The expression of ACE-2 expression is regulated by Sirt-1, which is downregulated by zinc. Thus, zinc is likely to have an indirect effect on ACE-2 expression and thus viral entry into the cell [31].

**Viral replication**

The antiviral effects of zinc have been demonstrated against several human viruses. Increasing the intracellular zinc concentration with zinc-ionophores like pyrithione was reported to impair viral replication *in vitro* [32,33]. Recent studies have shown the efficacy of chloroquine, a zinc ionophore, as an antiviral treatment for COVID-19 [20]. Positive stranded RNA viruses use RNA-dependent polymerase for replication. Increased intracellular zinc ion concentrations was found to inhibit the viral replication by directly inhibiting the RNA polymerase activity in Vero cells [34]. Zinc could also interfere with the synthesis and assembly of viral proteins [35]. It was suggested that zinc plays a vital role in preventing viral fusion with the host membrane, decrease the viral polymerase function, impair protein translation and processing, block viral particle release, and destabilize the viral envelope in different viral models [34,36,37]. Zinc was also reported to act in a synergistic manner with standard antiviral therapy [38]. Overexpression of metallothionines was also reported to inhibit replicating few viruses such as flaviviruses and encephalitis virus. Metallothionines are hypothesized to sequester Zn⁺ away from the viral proteins by acting as zinc chaperones and facilitating antiviral signaling [39].

**Zinc and Immune Response**

Zinc is crucial for the proper folding and activity of various cellular enzymes and transcription factors. It is a component of the thymic hormone and thus mediates the normal development and function of innate immune response cells, neutrophils, and natural killer cells [38]. Zinc deficiency suppresses human immunity by affecting T-helper cells and the balance of the helper T cell (Th1 and Th2) functions, antibody response, activity of natural killer cells and macrophages [40,41]. Supplementation with zinc could activate the interleukin -2 expression and normalize the cellular immune response in elderly individuals and reduce mortality from infections [42,43]. Apart from improving immune response, zinc is known to play an important role in maintaining immune tolerance. It induces regulatory cell differentiation while dampening the proinflammatory Th17 and Th9 differentiation [44-46]. Thus, zinc supplements improve the T cell function, thereby strengthen the cell-mediated immunity [47]. Zinc also enhances the phagocytosis, intracellular killing, and cytokine production in macrophages [47]. In elderly population, zinc supplementation is believed to help to manage immune senescence [48]. Zinc deficiency is associated with higher susceptibility to infections, which could be reversed with supplementation. Hepatitis and human papilloma virus-infected individuals showed an enhanced response to antiviral therapies when supplemented with zinc [49,50].

**Zinc and antiviral immunity**

Zinc was shown to induce the production of antiviral interferons (IFN-α and IFN-γ) in leukocytes and reduce the release of proinflammatory cytokine, TNF-α [51]. Zinc supplementation in the elderly restored the production of IFN-α [52]. Imbalance in the immune response is a hallmark of SARS-CoV-2 infection. Heightened cytokine release increases reactive oxygen and nitrogen species (ROS and RNS), and hyperactive immune cells in the lungs complicate the disease, leading to lung tissue destruction, systemic inflammation, and organ failure [53]. This leads to the development of Acute Respiratory Distress Syndrome (ARDS) in patients, accompanied by fluid accumulation in the lungs, interstitial edema with severely limited oxygen exchange [54]. Elevated levels of proinflammatory mediators, increased ROS levels reversible by zinc supplementation [27,55]. COVID-19 patients show increased expression T cell exhaustion markers like Tim-3 and PD-1 and neutrophilia and lymphopenia, associated with poor prognosis of these patients [56,57]. Zinc is
required for the development and function of lymphocytes and its supplementation can reverse lymphopenia [58,59]. In the recovery phase of COVID-19 patients an increase of CD14+ monocytes and NK cells could be correlated with clinical improvement [60]. These CD14+ cells require sufficient intracellular zinc levels for phagocytic activity and inflammatory response [9], while zinc supplementation increased the cytotoxicity of NK cells and cytotoxic T cells toward their target cells [61]. These studies suggest that zinc balances immune response by influencing several cellular pathways.

**Zinc supplementation in viral infections**

Several studies showed reduced symptom severity, frequency, and duration of common cold after zinc supplementation. Higher susceptibility to infections associated with zinc deficiency could be reversed with supplementation. Further, zinc supplementation enhanced the response to antiviral therapies in hepatitis and human papilloma virus-infected individuals [49,50]. In patients with human immunodeficiency virus (HIV) infections, zinc supplementation was found to increase the peripheral CD4+ T cells [62]. An increase in zinc deficiency with age increases the susceptibility of older individuals to viral infections. Elderly subjects supplemented with 45 mg elemental zinc/day for a year, demonstrated a remarkable reduction in the incidence of infection and plasma oxidative stress markers [38].

**Zinc absorption and homeostasis**

The cellular homeostasis of zinc is mediated by two protein families of zinc transporters and metallothionein [67]. Zinc absorption occurs mostly in the small intestine by a carrier-mediated mechanism [68]. Zinc ions released from food during digestion, are transported across the cell membrane into the portal circulation by specific transport proteins. Zinc is delivered to tissues through systemic circulation as a complex bound to albumin or metallothionein [69]. Elimination of zinc from the body is mediated mainly through the gastrointestinal tract. The balance between total zinc absorption and endogenous intestinal excretion is the primary means of maintaining zinc homeostasis in animals [69]. Phytic acid and fibers in diet, bind to zinc in the gastrointestinal tract and limit its bio-availability, while proteins have a positive influence on absorption [70]. High dietary calcium intake [71], high dosage of iron [72], and cadmium level [73] are also reported to limit the bioavailability of zinc. A complex of zinc

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**Figure 1:** Role of Zinc in immune response and antiviral activity: Zinc supplementation may prevent viral entry, suppress viral replication and improve the antiviral immune response.

**Table 1:** Zinc supplementation in respiratory viral infections.

| Supplement       | Dose/Duration                | Disease                      | Effect                        | Reference |
|------------------|------------------------------|------------------------------|-------------------------------|----------|
| Zinc gluconate   | 10 mg of elemental zinc per day for 60 days | Acute Respiratory Infections | Decreased episodes            | [125]    |
|                  | 30 mg of elemental zinc for 12 months. | Cystic fibrosis (children)   | Reduced duration of antibiotics | [126]    |
|                  | (5/11.5 mg) lozenges, every 2-3 h/d. | Common cold                  | Reduced duration of illness   | [127]    |
| Zinc acetate     | 20 mg/d for 5 days           | Lower RTI (children)         | Increased recovery rates (boys) | [113]    |
| Zinc bis-glycinate | 30 mg/d of elemental zinc for 7 days | Lower RTI (children)         | Decreased duration of lower RTI | [128]    |
| Zinc sulphate    | 15 mg/d for 7 months         | Common cold                  | Decreased incidence           | [129]    |
|                  | 60–80 mg/d for 12 months     | Ventilation associated pneumonia | Decreased incidence           | [130]    |
|                  | 20 mg/d of elemental zinc for 2 weeks | Lower RTI (children)         | Reduced morbidity             | [131]    |
| Zinc Oxide       | 5 mg/d for 12 months         | Upper RTI (children)         | Decreased incidence           | [132]    |

RTI: Respiratory Tract Infection; mg/d: Milligram Per Day.
with ligands, chelators, amino acids, and organic acids, increases its solubility and bioavailability [74].

**Zinc deficiency**

Zinc deficiency occurs most frequently in vegetarians, the elderly, and individuals with chronic gut diseases, which cause malabsorption [75]. Inherited diseases like acrodermatitis enteropathica and cystic fibrosis, as well as a high intake of copper, iron, or phytic acid, cause reduced absorption of zinc [76]. Currently, almost 17% of the global population suffers from zinc deficiency [77], and most importantly, it is responsible for 4% of global child morbidity and mortality [78]. Zinc deficiency is represented by growth retardation, loss of appetite, and impaired immune function. In severe deficiency cases, hair loss, diarrhea, delayed sexual maturation, impotence, hypogonadism in males, eye and skin lesions have also been reported [79-81]. Thymic atrophy, lymphopenia, and defective lymphocyte responses, resulting in a compromised immune system, are common due to zinc deficiency [82]. Inadequate zinc intake also causes significant etiological changes like adolescent nutritional dwarfism, diarrhea, pneumonia, disturbed neurological performances, and abnormal fetal development [83]. Zinc deficiency has also been correlated with acute viral hepatitis, liver cirrhosis, reduced testosterone and progesterone levels, and other reproductive abnormalities [76]. Other symptoms of zinc deficiency are weight loss, difficulty in wound healing, taste deviations, and mental fatigue [84-86]. In the absence of biomarkers to establish physiological zinc status, the early stages of zinc insufficiency are rarely recognized [87]. Clinical symptoms of zinc deficiency can be present even in the absence of abnormal laboratory indices [79]. Thus, it is always essential to determine the plasma levels of zinc, which is also not very straightforward as free zinc levels are very low in serum or plasma. Generally, clinical factors such as digestive diseases and zinc deficiency symptoms are considered when determining the need for zinc supplementation.

**Sources and daily recommended dose of zinc**

Zinc is found in several plant and animal products. Some of the major food products include oysters, red meat, poultry, seafood, fortified breakfast cereals, beans, nuts, whole grains, and dairy products [88]. The average daily Recommended Daily Allowance (RDA) of zinc as defined by the US Institute of Medicine/Food and Nutrition board in the 2001 Dietary Reference Intakes (DRIs) is 11mg/day for men and 8mg/day for women [89]. In a randomized, double-masked, 3-way crossover study, water-soluble zinc salts gluconate, sulfate, and acetate were given as a supplement in 15 healthy adults. This study showed that zinc citrate and gluconate had comparable absorption, which was significantly higher than zinc oxide [96]. The absorption of zinc from zinc methionine, zinc sulfate, and zinc polysorbate either in a water solution or added to a standard meal was compared in nine adults in another study. The plasma levels of zinc were significantly higher with zinc methionine and polysorbate than zinc sulfate. Supplementation with meal reduced the absorption of all the forms of zinc [91,97]. In one study, the comparative absorption of zinc after oral administration of zinc picolinate, zinc citrate and zinc gluconate were studied in 15 healthy human volunteers in a double-blind four-period crossover design. Zinc levels significantly increased in hair, urine and erythrocytes at the end of 4 weeks following oral supplementation of zinc picolinate but not with the citrate and gluconate forms suggesting picolinate form may have better bioavailability [98]. Zinc chelated with methionine was also found to have better bioavailability compared to zinc oxide and zinc polysaccharides in beagle dogs [97]. The higher bioavailability of zinc methionine over other zinc sources is attributed to the stable methionine complex, which is preferentially transported into tissues compared to other amino acids. It is reported to be a more potent antioxidant than vitamin E, vitamin C, β-carotene, and 4-6 times more effective than other zinc salts such as oxide and sulfate, citrate, gluconate, and picolinate [99,100]. Compared to polysorbate and sulfate, zinc methionine has 16% better absorption capability (Figure 2) [91]. These studies suggest that organic source of zinc is better absorbed compared to zinc salts.

Corroborating these studies, the efficacy of organic zinc was also reported to be better than zinc salts. Shrimp fed diets with organic zinc supplementation (methionine, lysine and glycine chelates) produced significantly higher growth, survival and immune parameters than ZnSO4 treatment [101]. Zinc nicotinate, an organic source, was significantly better than zinc carbonate salt in improving growth performance, hematology, serum biochemical constituents oxidative stress, and immunity in rats [102]. Similar results were observed in sheep supplemented with zinc methionine, which showed improved growth, energy balance, and gastrointestinal development [103].

**Clinical Studies with Zinc Supplements**

**Zinc citrate**

Zinc citrate has been widely studied in the improvement of oral health. In a crossover clinical study, zinc citrate dentifrice showed a 24-52% reduction in anaerobic bacteria and streptococci compared to control formulation after 14 days. The zinc citrate dentifrice could reduce biofilm formation and also significantly reduce anaerobic bacteria and streptococci, five hours post brushing compared to control [104]. In another clinical study, the use of zinc citrate dentifrice for 6 months showed a statistically significant (50.2%) reduction in severe plaque and severe gingivitis (66.7%) reduction in over the control dentifrice [105].

**Zinc gluconate**

Zinc gluconate supplementation for three months showed efficacy reported to meet the requirement at lower doses and have a better effect than inorganic salts [93-95].
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in reducing acne in a multicenter randomized, double-blind trial in comparison with minocycline [106]. In a randomized, double-blind, placebo-controlled trial, of zinc gluconate supplementation in elderly subjects for 12 months, the incidence of infections, TNF-α levels and plasma oxidative stress markers were significantly lower with zinc gluconate supplementation than placebo, suggesting its efficacy in immune modulation [107]. In another study, zinc gluconate supplementation for eight weeks significantly reduced the levels of hs CRP and IL-6 in serum compared to placebo, suggesting a favorable effect on obesity-related inflammation in young adults [108]. Zinc gluconate supplementation improved nutritional status and clinical outcome in patients with ulcerative colitis, reinforcing zinc’s role as an important dietary component in disease control [109]. Zinc gluconate administration prior to allergen exposure significantly decreased the neutrophil infiltration and TNF-α release into the airways in mice. Zinc supplementation reduced airway hyperresponsiveness and serum IgE levels, although Th2 cytokine expression was not affected [110]. Dietary supplementation with zinc gluconate for three months effectively reduced respiratory morbidity in preschool children, suggesting that zinc gluconate supplements can positively influence response to infection and build immunity [111].

Zinc acetate

Zinc acetate was evaluated for the reduction in symptoms of cold and respiratory infections in clinical studies. Compared to placebo, zinc acetate supplemented individuals had a shorter mean overall duration of cold symptoms, cough, nasal discharge, and overall severity [112]. In a controlled trial, children in the age group of 2-24 months were treated with zinc acetate either alone or in combination with vitamin A. Recovery from illness severity and fever significantly better in zinc acetate treated boys compared to placebo control [113]. In another study, zinc acetate and chlorhexidine diacetate mouth rinse showed long-term efficacy against intra-oral halitosis than placebo mouth rinse [114].

Zinc picolinate

Zinc picolinate is an organic supplement wherein zinc atom is attached to a picolinic acid molecule. While the supplement is believed to increase bioavailability, scientific literature to support the claim is limited. In a clinical study, patients with COPD were supplemented with zinc picolinate for eight weeks, significantly increasing mean antioxidant (superoxide dismutase) and zinc levels. However, no significant change in levels of forced expiratory volume in one second (FEV1) and the ratio of FEV1 and Forced Vital Capacity (FVC), FEV1/FVC (%) parameters was observed after zinc supplementation [115].

Zinc monomethionine

Zinc methionine is a complex of zinc with DL- or L-methionine. The amino acid methionine is one of the essential amino acids for humans and a free radical scavenger due to the presence of sulfur atom. It is involved in the production of S-adenosyl methionine, L cysteine, and glutathione, which are involved in maintaining the cellular redox state. Dietary methionine and cysteine are important to ensure the health of the intestine and immune function. Unlike other zinc supplements, the methionine form does not remove iron from cells, causing anemia or increasing lead absorption [116].

Zinc methionine acts as a potent free radical scavenger and inhibitor of oxidative stress and cellular injury. Zinc methionine was shown to resist binding with dietary fiber and phytate, which usually inhibits zinc absorption. Compared to other salts, zinc methionine showed higher inhibition of superoxides (Figure 3a) and hydroxyl free radicals (Figure 3b) [99]. The antioxidant activity of zinc methionine was reported to be comparable to vitamins E, C, and β-carotene, and significantly more than other zinc salts.

Zinc methionine supplemented to 48 patients orally for three months showed improvement in the global acne count. 80-100 % improvement was observed in 78% (38/48) patients. A significant reduction in pustules, papules and closed comedones was reported in the study [117]. Zinc methionine as a dietary supplement in laying hens showed positive effects on the zinc status of liver, duodenum, jejunum, intestinal morphology, and metallothionein mRNA expression [118]. A 24 week, randomized controlled study on 27 client-owned dogs with chronic Canine Atopic Dermatitis (CAD) receiving zinc methionine showed a significant decrease in Canine Atopic Dermatitis Lesion Index (CADLI) and pruritus Visual Analog Scale (VAS) [119]. Better bioavailability of Cu-and Zn- in

Figure 2: Comparative bioavailability study of various Zinc salts [91].

Figure 3: Inhibition of a) superoxide anion and b) hydroxyl radicals by Zinc methionine in comparison to other salts at 50μM concentration [99].
Methionine is involved in the synthesis of several essential hormones and growth factors and is the methyl donor in biological reactions in the form of S-adenosyl methionine. Another advantage could be that its least affected by diet composition and does not affect the iron absorption. Robust immune system and resistance to infection are the need of the hour and zinc supplements may be the answer to tackle the pandemic positively. These strategies will definitely help humanity in facing the future emergence of pandemic infections.

**Conclusions**

The current pandemic of SARS-CoV-2 infection has prompted researchers to look for essential nutrient supplements with antiviral properties and induce an effective immune response. Although randomized controlled studies on the effect of zinc supplements on SARS-CoV2 infection are minimal, several trials are being planned and few are ongoing. Evidence from literature, strongly suggests that zinc supplementation may be highly beneficial in reducing the severity and morbidity associated with the infection. Zinc supplements are cost effective and are simple options to respond to oxidative stress, uncontrolled inflammation and infection caused by the virus. Choosing the right supplement for the population at risk may be highly helpful for tackling the pandemic more effectively.

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**Conflicts of Interest**

All the authors are affiliated with Sami-Sabinsa Group Limited or Sabinsa Corporation.

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