Beyond Generic Summarization: A Multi-faceted Hierarchical Summarization Corpus of Large Heterogeneous Data

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Abstract

Automatic summarization has so far focused on datasets of ten to twenty rather short documents, typically news articles. But automatic systems could in theory analyze hundreds of documents from a wide range of sources and provide an overview to the interested reader. Such a summary would ideally present the most general issues of a given topic and allow for more in-depth information on specific aspects within said topic. In this paper, we present a new approach for creating hierarchical summarization corpora from large, heterogeneous document collections. We first extract relevant content using crowdsourcing and then ask trained annotators to order the relevant information hierarchically. This yields tree structures covering the specific facets discussed in a document collection. Our resulting corpus is freely available and can be used to develop and evaluate hierarchical summarization systems.

Keywords: hierarchical summarization, large corpora, heterogeneous sources, crowdsourcing, aspect-oriented summarization

1. Introduction

Automatically created summaries are most useful if they allow readers to save time when reading long and/or many documents from a large number of sources. However, many state-of-the-art approaches in automatic multi-document summarization (MDS) are still evaluated on small clusters of ten to twenty short articles. The most prominent document collections from the DUC and TAC conferences have, for example, only about 6,700 (DUC ’04) and 17,400 (DUC ’06) tokens per topic cluster. This evaluation setup does not cover the full potential of automatic summarization, which could easily aggregate collections of over hundred documents with more than 100,000 tokens.

In some respects, the current setup is not even very realistic, as the vast majority of the available datasets cover only newswire text about a single event or entity (Nenkova, 2005). Given the large amount of redundancy in this text type, a human reader could read only one or two of the source documents and quickly skim over the remaining ones to get a good overview of the article’s main event or entity – albeit update summaries would be helpful in this situation. Even more recent work in social media and real-time summarization is based on high-redundancy text (Chua and Asur, 2013; Lin et al., 2016). In large heterogeneous document collections, there are important facts and arguments that appear only in few of the available documents and are therefore missed by generic summary strategies and absent from both automatic and reference summaries.

With increasing volume, velocity, and variety of the source documents, it gets, however, extremely difficult to construct suitable evaluation corpora. Assuming a reading speed of 228 ± 30 words per minute for English (Trauzettel-Klosinski and Dietz, 2012), it already takes more than seven hours (excluding breaks) to read a document collection with 100,000 words. It is hardly possible for an individual annotator to stay equally concentrated for that many hours. This yields a bias in the resulting summary, as the annotators will gradually shift their notion of what is important – especially in heterogeneous low-redundancy texts where frequency of occurrence is not a good indicator for importance. Although query-focused or aspect-oriented summaries yield a frequency-agnostic notion of importance, the resulting summarization corpora cover only a small fraction of the collection’s content, which makes the annotation less cost-efficient. Corpora covering only a few narrow queries also lack the general overview of the large variety of facets typically discussed in broad and large collections.

In this work, we propose a novel approach to create summarization corpora for large document collections by structuring the important information hierarchically. We particularly focus on controversial topics from the educational domain, such as alternative ADHD treatments. This topic also serves as a running example throughout the paper, as it may be viewed from many different facets (or points of view), including ADHD prevalence, risk groups, diagnosis, nutrition treatment, herbal treatment, hypnosis, and music therapy. We would expect this kind of information in a generic summary about the topic. However, each facet should also branch off and discuss the most important symptoms for affirming or excluding a diagnosis in one branch, as well as different procedures, their advantages and disadvantages, and evidence for their effectiveness in other treatment-specific branches. A hierarchical structure of this and similarly complex topics therefore covers general information about the topic as well as detailed information on each facet discussed in the document collection. Methods for automatically creating such hierarchical summaries are highly relevant to complex information seeking processes that assist users in gaining an overview and diving into specific facets of a controversial topic. However, we require new hierarchical summarization corpora in or-
der to research and evaluate automatic systems. Our approach is suitable to create such corpora for large, heterogeneous datasets of over 100,000 tokens spanning multiple genres (e.g., scientific articles, blogs, forum posts).

Our key idea is to first collect the most relevant information independent of the actual use for the summary and then identify redundancy, granularity, and facet by organizing the collected information bottom-up into a hierarchy. Each tree of this hierarchy covers a different facet discussed in the document collection, including general definitions, specific facts, and opinions. More general information resides near the root of the tree, while more specific facts and opinions branch off to deeper tree levels grouped by topical or argumentative strand. Within the same hierarchy, we also mark redundant information by combining two information nuggets in a single tree node. Figure 1 shows an overview of our corpus construction approach. For the first step, content selection, we use crowdsourcing, which allows us to process large document collections. For the second step, we rely on expert annotators and provide them with clear guidelines and a novel open-source annotation tool enabling the hierarchical organization of the content.

The scientific community can benefit from the proposed solution in multiple ways: Our corpus of hierarchical summaries can be used as a benchmark for automatic hierarchical summarization and information structuring methods, such as the works by Christensen et al. (2014) and Erbs et al. (2015), where there is yet almost no data available. While the hierarchical structure qualifies as a useful summary in itself, our data additionally allows us to generate textual summaries based on different parts of the hierarchy. A particular advantage of this approach is that we can summarize all facets discussed in a document collection by summarizing each tree of the hierarchy individually. This will save much time when creating large multi-faceted summarization corpora compared to summarizing documents. They focus on news reports and related reader comments and opinions, for which they observe that information items will not be included in a summary unless they are salient – even if the information might be interesting to readers. Li et al. (2017) also discuss comments expressing sentiments that contradict the source documents. Our proposed corpus aligns well with their work, since a hierarchy contains both salient information typically found in generic reports and opinionated and controversial statements from user comments.

Query-focused summarization (Allan et al., 2008; Baumel et al., 2016) and real-time summarization (Lin et al., 2016) are similar tasks to our work, since they aim at summarizing a specific facet discussed in a document collection or address the summarization of large amounts of data. Our hierarchical corpus construction approach yields interesting evaluation data for these tasks, since query-focused summarization systems can be trained towards multiple facets discussed in a document collection at the same time, whereas real-time summarization systems have to decide about the importance even if they do not have access to all source documents yet. Hierarchical summarization systems that generate a hierarchy similar to our manually constructed ones could yield a promising solution to this task. So far, a lot of research in automatic summarization has been done on news documents, which has a range of shortcomings, as discussed by Zopf et al. (2016) and Bemikova et al. (2016). They argue that the spectrum of possible applications is severely limited when focusing on homogeneous
datasets of a single text type. Both approaches propose heterogeneous summarization corpora of generic, text-based summaries, which are different from our hierarchical summaries. Nevertheless, our document collections have similar properties of incorporating heterogeneous text types.

3. Content Selection

Figure 1 shows the main steps of our corpus construction approach. In this section, we describe the content selection step, including the heterogeneous sources we use as input data, our methodology to frame the selection of important information nuggets as a crowdsourcing task, and the analysis of the resulting data.

3.1. Heterogeneous Sources

The basis for our experiment is the ClueWeb12-based focused retrieval dataset by Habernal et al. (2016). This dataset consists of 49 broad educational topic clusters with about 40–100 English documents per topic cluster. The documents are highly heterogeneous, including scientific articles, blogs, forums, personal ads, etc. Accordingly, we find both objective facts and opinionated or controversial content in this dataset. We remove duplicate sentences and documents and use only sentences that are marked relevant for a given topic in the focused retrieval dataset. This reduces the corpus from 4,820 documents with 628,026 sentences to 3,984 documents with 171,976 sentences. For our corpus, we have selected ten of those broad topic clusters. Table 1 shows the number of documents, sentences, and tokens in each topic cluster. While all topic clusters are much larger than the commonly used DUC ’06 data, we sample three large (>125,000 tokens), four medium-sized (>50,000), and three smaller topic clusters (<50,000). This allows us to analyze the scalability of our corpus construction approach.

| Topic clusters | Doc. | Sent. | Tokens |
|----------------|------|------|--------|
| Concerns about religious classes | 87 | 7,654 | 210,211 |
| School punishment policy | 89 | 6,409 | 149,268 |
| Parents of kids doing drugs | 78 | 6,183 | 125,584 |
| Children’s obesity | 90 | 3,916 | 90,963 |
| Sleep problems in preschools | 86 | 3,119 | 65,216 |
| Student loans | 95 | 2,346 | 54,434 |
| Discipline in elementary school | 83 | 2,586 | 53,592 |
| Alternative ADHD treatments | 57 | 1,475 | 28,281 |
| Kids with depressions | 39 | 1,209 | 21,644 |
| Cellphone use in schools | 61 | 902 | 21,384 |
| Total | 786 | 38,304 | 820,577 |

Table 1: Overview of our document collections and topics

We therefore generate HITs showing seven consecutive sentences from our input data at a time. In each HIT, we ask the crowd workers to mark all facts, opinions, hypotheses/statements and claims (called information nuggets henceforth) that they would include in a summary on the overall topic of the document collection. Our notion of information nugget is similar to previous definitions of nugget (Voorhees, 2004 Benikova et al., 2016) and semantic content unit (Nenkova et al., 2007). Workers should select only information nuggets of at least three words and a maximum length of one sentence. Each nugget should include a verb and be understandable without further context. The workers may identify multiple information nuggets within a HIT. In case they cannot find any relevant nugget, we ask them to describe the document’s content to avoid spammers.

Below the task description, we show two examples to illustrate the HIT. Along with the full paper, we provide a HIT template and all collected data.

Figure 2 shows a HIT for our running example. The task description is located at the top of the page. Using the examples button, the workers can show or hide a number of annotated examples to understand the task. Recurring workers doing multiple HITs typically do not need the examples anymore, but immediately start the annotation. They create an information nugget by clicking on its first and last word in the text. The spanned words will then be highlighted in yellow and the information nugget will be listed as a relevant text segment. If workers cannot find any information nuggets in a text, we ask them to summarize the text in two to three keywords. This enforces involvement and prevents workers from submitting HITs without carefully reading them.

We determine the optimal task length, payment, and num-
Figure 2: Screenshot of a HIT for the alternative ADHD treatments topic cluster

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As a good trade-off between the number of HITs and the amount of work, we suggest to show short paragraphs of seven sentences in a single HIT. For each completed HIT, we pay US$ 0.07, which we find reasonable for a task of 60–90 seconds. The payment is high enough to attract reliable workers, while discouraging spammers. As quality is hard to control in a crowdsourcing setup (Bigham et al., 2015), we assign each HIT to seven workers. We select only workers with an acceptance rate of at least 98 %, we manually check annotations, reject work that does not meet our standards, and block workers where necessary.

### 3.3. Inter-Annotator Agreement

The crowd workers marked 68,220 information nuggets in total. Table 2 shows their inter-annotator agreement, computed using three commonly used metrics: percentage agreement $A_O$, Fleiss’ $\kappa$ (Fleiss, 1971), and Krippendorff’s $\alpha_U$ (Krippendorff, 1995) as implemented in DKPro Agreement (Meyer et al., 2014). While $A_O$ and $\kappa$ measure agreement at the token level, $\alpha_U$ considers agreement between spans of selected tokens (i.e., the entire information nuggets). Both $\kappa$ and $\alpha_U$ are chance-corrected agreement metrics (Artstein and Poesio, 2008).

The first row of Table 2 shows the scores for annotator agreement between all seven workers. The agreement is similar to previous work in summarization (Zechner, 2002; Benikova et al., 2016). In the second to fourth row, we report the agreement for the small, medium-sized, and large topic clusters individually without noticing a clear drop in annotation quality. This confirms that our crowdsourcing setup scales to large document collections.

To validate our results, we compare the best annotations of the seven workers according to MACE (Hovy et al., 2013) to an expert annotator, who selected information nuggets from 322 sentences. The results in the fifth row show that we reach relatively high agreement, with $\kappa$ of 0.311 and $\alpha_U$ of 0.314. This indicates that the crowd workers selected reliable information nuggets.

### Table 2: Inter-annotator agreement

|                          | $A_O$ | $\kappa$ | $\alpha_U$ |
|--------------------------|-------|----------|------------|
| All crowd workers        | 0.664 | 0.149    | 0.201      |
| only large topic clusters | 0.691 | 0.152    | 0.222      |
| only medium topic clusters| 0.634 | 0.127    | 0.189      |
| only small topic clusters | 0.666 | 0.170    | 0.186      |
| MACE vs. Experts         | 0.688 | 0.314    | 0.311      |

### 3.4. Gold Standard

Most of the 68,220 information nuggets have been annotated by just a single crowd worker. To avoid singular nugget selections for the nonce, we consider only nuggets for our corpus that have been selected by at least three annotators. We remove nuggets shorter than three tokens.
Hierarchies that contain 10 to 30 facet trees with an average depth of five levels. They require about six hours on average per topic cluster. One beneficial characteristic of the hierarchical structures is that different facets of controversial topics are naturally structured. Thereby, the parent node represents a specific facet and the leaf nodes different viewpoints. In the topic cluster on alternative ADHD treatments, for example, the annotators have decided to distinguish different kinds of treatments and collected claims and evidence which confirm or refute their effectiveness. Table shows the number of nodes, facet trees, and average facet tree depth of all annotated hierarchies per topic. Our qualitative analysis shows that annotators are able to structure the facets of a topic in different parts of a hierarchy. Motivated by these results, we quantify the annotators’ agreement on creating the hierarchies.

4.3. Structural Analysis
To compare two hierarchies $H_1$ and $H_2$ for the same topic cluster and nugget set $N$, we use a modification of the taxonomy overlap (Maedche and Staab, 2002)

$$TO(n, H_1, H_2) = \frac{|SC(n, H_1) \cap SC(n, H_2)|}{|SC(n, H_1) \cup SC(n, H_2)|}$$

where $SC(n, H)$ is the set of all nuggets contained in sub- or supernodes (the semantic cotopy) of the node containing information nugget $n \in N$ in hierarchy $H$.

The averaged similarity between two hierarchies is the sum of the taxonomy overlap of all nuggets, normalized by the number of nuggets:

$$TO(H_1, H_2) = \frac{1}{|N|} \sum_{n \in N} TO(n, H_1, H_2)$$

This metric was originally developed to measure the similarity between taxonomies and ontologies. It has been used and adapted for a variety of tasks (Euzenat and Shvaiko, 2007). However, in this metric, the order of the nodes is not important, as the metric should also compare ontologies with symmetric relations (e.g., similar-to). In our work, the relations are strictly hierarchical. Using the $TO$ metric, a hierarchy $H_1$ with edges $(v_1, v_2), (v_2, v_3) \in E_1$ (“$v_1$ over $v_2$ over $v_3$”) compared to a hierarchy $H_2$ with edges $(v_3, v_2), (v_2, v_1) \in E_2$ (“$v_2$ over $v_3$ over $v_1$”) would yield a score of $TO(H_1, H_2) = 1$ (a perfect match), which contradicts our notion of a hierarchy branching from general to specific information. Therefore, we propose our new modification called the hierarchy overlap

$$HO(H_1, H_2) = a \cdot TO(H_1, H_2) + b \cdot SupO(H_1, H_2) + c \cdot SubO(H_1, H_2)$$

which is the weighted sum of $TO$, the superset overlap $SupO$, and the subset overlap $SubO$ score. We compute $SupO$ and $SubO$ from taxonomy overlap $TO$ variants that replace the full semantic cotopy $SC$ with the nugget set of sub- or supernodes, respectively. Choosing the right values for the parameters $a$, $b$ and $c$ sets a trade-off between overall facet tree content and correct ordering. For our scenario, we create a small test case, explore different values

4.1. Expert Annotation and Annotation Tool
A hierarchy $H(V, E)$ is a forest – i.e., a directed and acyclic graph with a set of nodes $V$ and a set of hierarchical relations $E \subseteq V \times V$. Each node $v \in V$ contains one or more information nuggets. Thus, $V$ is a partition of the set of all information nuggets $N$ with $\bigcup_{v \in V} v = N$. Each edge $(v_1, v_2) \in E$ connects more general nuggets in $v_1$ with more specific nuggets in $v_2$ discussing the same facet. There is no shared root node, so the hierarchy typically consists of multiple facet trees. Each facet tree contains all nuggets from one facet of the overarching topic (e.g., prevalence of ADHD), which branches off from general (e.g., overall average prevalence) to more specific information (e.g., prevalence among certain age groups or regions. To create such a hierarchy, an annotator needs to find the globally best position within the current facet trees or start a new one. The results by Loret et al. (2013) suggest that this task cannot be broken down to a crowdsourcing setup without suffering quality problems. Therefore, we hire three expert annotators from the field of computational linguistics. This is reasonable, since the amount of data that remains after the content selection step is manageable.

To allow for an efficient annotation, we have developed a novel open-source hierarchy annotation tool with a graphical user interface. Figure 3 shows a screenshot. Input for this tool is a list of information nuggets with unique IDs and additional context from the source text, in our case the preceding and succeeding sentence.

Our tool presents a list of information nuggets that still have to be included in the hierarchy, and a working space displaying the current state of the hierarchy. Information nuggets can be added as new nodes, or into existing nodes to indicate redundant information. Alternatively, the user may structure nodes both vertically by descending salience and granularity and horizontally in new facet trees if they discuss a new facet of the overall topic. The output of the tool is the hierarchical structure in a simple XML file format.

4.2. Qualitative Analysis
For the three largest topic clusters, the annotators created hierarchies that contain 10 to 30 facet trees with an average number of nodes, facet trees, and average facet tree depth of all annotated hierarchies per topic. The annotations are licensed under CC-BY 4.0.
Figure 3: Screenshot of the annotation tool user interface. Area 1 is the main working space, with two annotated facet trees. Area 2 shows the full text of the hovered nugget, with preceding and succeeding sentences from the original document as context. Area 3 is a list of remaining nuggets that still have to be included in the hierarchy.

| Topic                                | Nuggets | Nodes | Facet trees | Depth |
|---------------------------------------|---------|-------|-------------|-------|
| Concerns about religious classes      | 717     | 705   | 706 711     | 5.42  |
| School punishment policy              | 796     | 704   | 787 747     | 5.45  |
| Parents of kids doing drugs           | 1,221   | 1,033 | 1,214 1,132 | 5.35  |
| Children’s obesity                    | 445     | 415   | 441 434     | 8.80  |
| Sleep problems in preschools          | 408     | 401   | 400 390     | 7.35  |
| Student loans                         | 586     | 521   | 586 507     | 5.92  |
| Discipline in elementary school       | 341     | 334   | 338 336     | 5.13  |
| Alternative ADHD treatments            | 235     | 185   | 221 204     | 3.00  |
| Kids with depressions                  | 146     | 144   | 143 144     | 8.50  |
| Cellphone use in schools               | 88      | 86    | 88 88       | 8.00  |

Table 3: Input nuggets, number of nodes, facet trees and average facet tree depth of final hierarchies (3 annotators per topic)

for the parameters and evaluate them manually. Since the partitioning of information nuggets into facet trees is our biggest priority, we use $a = 0.8$ and $b = c = 0.1$. In this case, $SupO$ and $SubO$ do not have major impact, but act as tie breakers to ensure correct information nugget order. The final $HO$ score is still between 0 and 1.

As a simple baseline, we compute $HO$ on randomly generated hierarchies for every topic cluster, which is between 0.09 and 0.15, depending on the topic size. In comparison, the pairwise $HO$ of the three manually annotated hierarchies is between 0.16 and 0.28. The higher hierarchical overlap indicates that the expert annotators did agree on substantial parts of the hierarchies.

Hierarchy Overlap Example

Figure 4 shows two example hierarchies. The semantic cotopy of nugget $X$ in hierarchy $H_1$ consists of all nuggets contained in sub- or supernodes of $X$, $\{A, B, C, D, E\}$. The semantic cotopy of nugget $X$ in $H_2$ is exactly the same set. Therefore, the taxonomy overlap of nugget $X$ in hierarchies $H_1$ and $H_2$ equals

$$\frac{|SC(X, H_1) \cap SC(X, H_2)|}{|SC(X, H_1) \cup SC(X, H_2)|} = \frac{|\{A, B, C, D, E\}|}{|\{A, B, C, D, E\}|} = 1$$

The intersection of the respective supersets consists of only one nugget $\{A\}$, the union has four nuggets $\{A, B, D, E\}$. The superset overlap $SupO(H_1, H_2)$ equals

$$\frac{|SupS(X, H_1) \cap SupS(X, H_2)|}{|SupS(X, H_1) \cup SupS(X, H_2)|} = \frac{|\{A\}|}{|\{A, B, D, E\}|} = 1$$
with the set of all nuggets $SupS(n, H)$ contained in supernodes of the node containing nugget $n$.

Similarly, the intersection of the subsets consists of only one nugget $\{C\}$, the union has four nuggets $\{B, C, D, E\}$. The subset overlap $SubO(X, H_1, H_2)$ is $\frac{1}{2} = 0.25$. With $a = 0.8$ and $b = c = 0.1$, as proposed, the hierarchy overlap of nugget $X$ equals $HO(X, H_1, H_2) = 0.8 \times 1 + 0.1 \times 0.25 + 0.1 \times 0.25 = 0.85$

4.4. Gold Standard

The proposed comparison metric $HO$ enables us to create a gold standard hierarchy $H_G$ from the three manually annotated hierarchies $H_1$, $H_2$, and $H_3$ for a given topic cluster. In this automatic process, we consecutively add each information nugget $n \in N$ to an empty hierarchy with a greedy strategy in order to maximize $\frac{1}{3} \sum_{i=1}^{3} HO(H_G, H_i)$. Then, we improve the resulting hierarchy with a local optimization method: We successively remove each information nugget from $H_G$ and insert it again at the best possible position, again maximizing $\frac{1}{3} \sum_{i=1}^{3} HO(H_G, H_i)$. We repeat this process until there are no further changes. Since this local optimization can technically run into any (possibly bad) local optima, we analyze the effects of different random seeds. For one topic cluster, we perform the gold standard construction with ten differently shuffled nugget insertion orders. The normalized hierarchical overlap to the three manually annotated hierarchies varies from 0.464 to 0.496, with a mean of 0.481 and a standard deviation of 0.010. This shows that the initial position within the result space does influence the optimization result, but the effects are small. Therefore, we run each optimization with ten different random seeds and use the result with the highest $\frac{1}{3} \sum_{i=1}^{3} HO(H_G, H_i)$ as the gold standard.

In our corpus repository, we provide the Java source code of the hierarchy annotation tool, a runnable jar-file, all manually annotated hierarchies by the three annotators, and the gold standard hierarchies per topic in XML format. The software is licensed under the GNU General Public License v3.0.

5. Conclusion and Future Work

We introduced a novel approach to construct hierarchical summarization corpora, which enables us to summarize information from large document collections in a structured way. The resulting hierarchical summaries can be viewed from two perspectives: The root nodes and main branches of each tree in the hierarchy can be considered a generic summary, while each individual tree focuses on a specific facet discussed in the document collection yielding multiple aspect-oriented summaries. Our corpus can be used in a variety of problem settings within the field of automatic summarization, including table-of-contents generation, information exploration, structuring argumentative information, but also generic and query-based summarization. The logical next step is to use our corpus to train and evaluate automatic hierarchical summarization systems. We are not aware of any other dataset which can be used to evaluate all steps of such a system. Based on our annotation tool and HIT design, our approach can be easily reused by other researchers working on similar corpora for other domains or languages.

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