General recursive solution for one-dimensional quantum potentials: a simple tool for applied physics

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A revision of the recursive method proposed by S.A. Shakir [Am. J. Phys. 52, 845 (1984)] to solve bound eigenvalues of the Schrödinger equation is presented. Equations are further simplified and generalized for computing wave functions of any given one-dimensional potential, providing accurate solutions not only for bound states but also for scattering and resonant states, as demonstrated here for a few examples.

I. INTRODUCTION

Predicting physical properties of quantum systems has basically been treated as an eigenvalue problem. Since from single atoms and molecules up to nanostructured devices, chemical and electronic properties are determined by finding the eigenstates of the system’s Hamiltonian. Methods of solving eigenvalue/eigenfunction problems are therefore an inevitable part of the quantum mechanic theory. In real systems, even one dimensional problems can be quite difficult to solve analytically and often approximative, such as in perturbation theory, and/or numerical methods are required. Semiconductor heterostructures are good examples of one dimensional systems of technological interest where numerical solutions of the Schrödinger equation (SE) are often employed for self-consistent studies of electronic devices.

A revision of the recursive method proposed by S.A. Shakir [Am. J. Phys. 52, 845 (1984)] to solve bound eigenvalues of the Schrödinger equation is presented. Equations are further simplified and generalized for computing wave functions of any given one-dimensional potential, providing accurate solutions not only for bound states but also for scattering and resonant states, as demonstrated here for a few examples. Physical method at their actual stage of development might require higher computational cost than mathematical methods, but offers versatility and exactness in return, as well as the fact that they can be explained and used even by undergraduate students and no-experts in numerical methods.

II. THEORY

For a given potential energy function \( U(x) \), it is always possible to define the interval \( X = [x_0, x_N] \) such that outside its boundaries, i.e. \( x \notin X \), either the potential is constant or the amplitude of the wave function vanish before appreciable variation of the potential. In this interval, the potential has been discretized into \( N \) steps, and we seek for stationary states with wave functions in the form

\[
\Psi(x) = \sum_{j=0}^{N} \delta_j(x) \psi_j(x)
\]

in which

\[
\psi_j(x) = A_j e^{ik_j(x-x_j)} + B_j e^{-ik_j(x-x_j)}
\]

and \( \delta_j(x) = 1 \) for \( x \in [x_j, x_{j+1}] \) and 0 otherwise. The under arrow indicates that this format will be used here
FIG. 1: Discretization of a energy potential function $U(x)$ (dashed line), providing reflection, $R_j$ and $\bar{R}_j$, and transmission, $T_j$ and $\bar{T}_j$, coefficients at every step of the discretized function (solid line). Coefficients with and without bar stand for right-hand and left-hand scattering solutions, respectively. Wavevectors $k_j$ at each interval of constant potential value $U(x_j)$ is also shown.

for left-hand solutions, as better explained below.

Incremental distances $dx_j = x_{j+1} - x_j$, are small enough to guarantee a good numerical solution when taking $U(x) = U(x_j)\delta_j(x)$, as shown in Fig. 1, so that

$$k_j = \varphi \sqrt{E - U(x_j)}$$

where $\varphi = \sqrt{2m/\hbar^2}$. $E$ and $m$ stand for energy and mass of the particle, respectively.

To calculate the $A_j$ and $B_j$ amplitudes recursively, initial values at the boundaries of the interval $X$ have to be provided. For instance, normalized solutions for incidence from the left-hand side, i.e. incidence from $x < x_0$, must have $B_N = 0$ and $A_0 = 1$. Conservation of the probability current imposes that

$$\psi_{j-1} = \psi_j \quad \text{and} \quad \psi_{j-1}' = \psi_j' \quad (4)$$

at every step of the potential, i.e. at every $x_j$. The prime symbol indicates the first derivative in $x$. Left-hand solutions are obtained by applying these conditions, Eqs. (4), first at $x_N$ where $B_N = 0$ and

$$A_N = A_{N-1} \frac{2k_{N-1}}{k_{N-1} - k_N} e^{ik_{N-1}(x_N - x_{N-1})} = A_{N-1}T_N ,$$

and then at $x_{N-1}$ where

$$B_{N-1} = A_{N-1} \frac{k_{N-1} - k_N}{k_{N-1} + k_N} 2ik_{N-1}(x_{N-1} - x_N) = A_{N-1}R_N$$

and $A_{N-2}$ is analogous to Eq. (7) when replacing $N$ by $N - 1$.

This is a recursive procedure, repeatable since $j = N$ down to $j = 1$ as summarized by

$$A_j = A_{j-1}T_j \quad \text{and} \quad B_j = A_jR_{j+1} \quad (9)$$

where

$$T_j = \frac{2k_{j-1}}{(k_{j-1} - k_j)R_{j+1} + (k_{j-1} + k_j)} e^{ik_{j-1}(x_j - x_{j-1})} \quad (10a)$$

and

$$R_j = \frac{(k_{j-1} + k_j)R_{j+1} + (k_{j-1} - k_j)}{(k_{j-1} - k_j)R_{j+1} + (k_{j-1} + k_j)} e^{2ik_{j-1}(x_j - x_{j-1})} \quad (10b)$$

All amplitudes are proportional to $A_0$, and within the condition assumed when defining the interval $X$, $R_{N+1} = 0$.

Solutions for incident particles from the right-hand side, i.e. incidence from $x > x_N$, are obtained in analogous procedure, but for the sake of simplification in the final format of the recursive equations it is convenient to redefine the amplitudes as follow

$$A_j = C_{j+1}e^{-ik_jdx_j} \quad \text{and} \quad B_j = D_{j+1}e^{+ik_jdx_j} \quad (11)$$

and hence the wave function $\Psi(x)$ of the stationary states will be calculated by using

$$\psi_j(x) = C_{j+1}e^{ik_j(x - x_{j+1})} + D_{j+1}e^{-ik_j(x - x_{j+1})} \quad (12)$$

instead of $\psi_j(x)$.

By applying the continuity of $\psi_j$ and $\psi_j'$, as in Eqs. (4), analogous deduction of that in Eqs. (5)-(8) can be carried out but now starting from $x_1$ where $C_1 = 0$ up to $x_{N+1}$ where $D_{N+1} = 1$ (for normalized solutions).

It leads to the recursive equations

$$D_j = D_{j+1}T_j \quad \text{and} \quad C_j = D_jR_{j-1} \quad (13)$$
of the right-hand solutions where
\[ \bar{T}_j = \frac{2k_j}{(k_j - k_{j-1})R_{j-1} + (k_j + k_{j-1})} e^{ik_j(x_{j+1} - x_j)} \]  
(14a)
and
\[ \bar{R}_j = \frac{(k_j + k_{j-1})R_{j-1} + (k_j - k_{j-1})}{(k_j - k_{j-1})R_{j-1} + (k_j + k_{j-1})} e^{2ik_j(x_{j+1} - x_j)} \]  
(14b)
for \( j = 1, 2, \ldots, N \) and \( \bar{R}_0 = 0 \).

At certain energies \( E = \mathcal{E}_\nu \), quantum confinements can occur at local minima of the potential in which \( U(x) < \mathcal{E}_\nu \); given that each minimum is bounded on both sides by non-tunnelable barriers. Around one of such local minima, stationary wave solutions only exist when the waves reflected at both boundary “walls” interfere constructively with each other at any instant of time. It means that, left-hand and right-hand scattering solutions must coexist in the confinement region, i.e. \( \psi_j(x) = \bar{\psi}_j(x) \), which takes us back to Eqs. (14). Since \( B_j = A_j \bar{R}_{j+1} \) and \( C_{j+1} = D_{j+1} \bar{R}_j \), as given by Eqs. (13) and (14), all amplitudes cancel each other out in Eq. (11) so that \( \bar{R}_j \bar{R}_{j+1} = \exp(2ik_j dx_j) \). This latter relationship provides a criterion for numerical determination of the allowed modes in any confinement region of the potential since a minimization function such as
\[ f(E) = \sum_j \left| \bar{R}_j \bar{R}_{j+1} - e^{2ik_j dx_j} \right| \]  
(15)

can be defined with \( j \) running over all values where \( U(x_j) < E \). Then, the condition \( f(E) = 0 \) is fulfilled only for a set of discrete \( \mathcal{E}_\nu \) values of energy, corresponding to the eigenvalues of the one-dimensional SE at a given local minimum of \( U(x) \).

Eigenvectors \( \Psi_\nu \), of the energy eigenvalues \( \mathcal{E}_\nu \), are computed as either \( \bar{\psi}_j(x) \) or \( \bar{\psi}_j(x) \) solutions in the classical allowed region around the minimum. But both solutions are required to compute the evanescent parts of the eigenvectors inside the bounding walls, and then it is necessary to match the amplitudes of these solutions at some point. If the \( b \)th step, for which \( U(x_b) < \mathcal{E}_\nu \), is taken as the matching point, \( \psi_h = \bar{\psi}_h \) and hence
\[ D_h = A_h(1 + R_{h+1})/(1 + \bar{R}_{h-1}) \]  
by providing an arbitrary value to \( A_h \), such as \( A_h = 1 \), the non-normalized eigenfunction
\[ \Psi_\nu(x) = \sum_j \delta_j(x) \times \begin{cases} \bar{\psi}_j(x), & \text{if } h \leq j \leq N \\ \bar{\psi}_j(x), & \text{if } 1 \leq j < h \end{cases} \]  
(16)

can be calculated over the entire interval \( X \). Since
\[ S = \sum_{j=0}^{N+1} \left| \Psi_\nu(x_j) \right|^2 \]  
dx_j has to be equal to unit for a normalized eigenfunction, \( \sqrt{S} \) is the normalization factor.

FIG. 2: Resonant tunneling in a double barrier with V-shaped well at the middle. (a) Transmission coefficient \( T \), Eq. (17), as a function of the particle’s energy \( E \); plot resolution is \( \Delta E = 0.002 \text{eV} \). (b) Potential energy function of the barrier and wave functions for the two energies \( 0.406 \text{eV} \) and \( 0.45 \text{eV} \) pointed out by arrows in (a). A set of curves is shown for each wave function solution, corresponding to \( \psi(x)e^{-i\omega t} \) for several values of \( \omega t \). The amplitude of the wave function for \( E = 0.45 \text{eV} \) has been magnified by a factor of 2 regarding the amplitude of the resonant wave. Vertical displacements of both sets of curves are not related to the energy scale at left. Solutions computed for \( m = 511 \text{keV}/c^2 \), i.e. \( \varphi = 5.1232 \text{eV}^{-1/2} \text{nm}^{-1} \) in Eq. (3), and an uniform step width of \( dx_J = 0.02 \text{nm} \) in the interval \( x_{N,0} = \pm 10 \text{nm} \) (\( N=1000 \)).

III. EXAMPLES AND DISCUSSIONS

A simple application of this recursive method is in finding transmission coefficients,
\[ T = \left| A_N/A_0 \right|^2 = 1 - \left| B_0/A_0 \right|^2, \]  
(17)
across arbitrary potential barriers. It is known as being able to provide exact results even for truncated potentials where the most common approximative methods are used to fail, as compared elsewhere. Besides exactness, another advantage of the present formalism is that it is not just computing the values of \( T \), but the entire wave function instead. Hence, for more complex potential barriers, as those usually find in heterostructures and nanodevices where resonance can take place inside the barriers, amplitudes and evanescent times of resonant modes are simultaneously computed.

As an example, consider the potential given in Fig. 2, which is a double barrier with V-shaped well in the middle. By scanning the energy values \( E \), Eq. (3), in a chosen range from \( E_{\min} \) to \( E_{\max} \) in \( N_E \) steps of width \( dE \) so that \( E_n = E_{\min} + (n - 1)dE \) and \( E_{N_E} = E_{\max} \), a \( T(E) \) curve is obtained as the one shown in Fig. 2(a). By storing the coefficients \( A_j(E_n) \) and \( B_j(E_n) \) when calculating the T values, high-resolution plots of the wave functions in
the interval $X$ also as a function of energy are already available, i.e.

$$
\Psi(x, E_n) \simeq \sum_{j=0}^{N} \delta_j(x)[A_j(E_n) + B_j(E_n)],
$$

(18)
given that $dx_j << \lambda_j = 2\pi/|k_j|$. Hence, amplitudes and attenuations of the waves through the entire barrier can be visualized. For this particular potential, it shows that the mode undergoing resonant-tunneling, at $E = 0.406$eV, has two maxima in the well as can be seen in Fig. 2(b).

Optionally one can compute only the $R_j$’s and $T_j$’s, Eq. (10), to obtain $T = \prod_{j=1}^{N} |T_j|^2$ and either stop the calculation there if only the $T(E)$ curve is desired or compute the $A_j$’s and $B_j$’s, Eqs. (9), later for a chosen set of energies. The options on how the present formalism can be programmed for optimizing computational tasks are detailed in Appendix A.

Although the stored set of solutions, Eq. (18), could be used to study the scattering of wave packets in the barriers, obtaining normalized wave packets would not be as straightforward as possible because the solutions are evenly spaced in energy, not in momentum. Therefore, if one wants to compute wave solutions only once, and also study wave packets composed of these solutions as well as their transmission coefficients, it is better to first define the form of the initial wave packet.

Gaussian wave packets has become a benchmark in computing time evolution of this sort, hence let use

$$
\Psi(x, 0) = \frac{1}{\sigma_x \sqrt{\pi}} e^{\frac{i\kappa_0 x^2}{2\sigma_x^2}} e^{-(x-x_0)^2/2\sigma_x^2}
$$

(19)
as reference for our initial free-particle wave packet in $t = 0$, centered at $x_0$, and described by a single mode of energy $E_0$ so that $\kappa_0 = \sqrt{\frac{\hbar}{2E_0}}$. It is normalized since $\int \Psi(x, 0)^2 \, dx = 1$, and its full width at half maximum (FWHM), $W$, can be chosen by providing $\sigma_x = W/\sqrt{2\ln 4} \approx 0.6W$.

Numerov-type of solutions of the time-dependent SE can handled the time evolution of such wave packet, Eq. (19), through a given potential barrier but in the present recursive method it is not possible to start with such expression of $\Psi(x, 0)$ since it is an artificial expression that happens to have the same shape, in $t = 0$, of the actual wave packet

$$
\Psi(x, t) = \frac{1}{\sqrt{2\pi}} \sum_{n=1}^{N_E} c_n \Psi(x, E_n) e^{-iE_n t/\hbar}
$$

(20)
where

$$
c_n = \frac{1}{\sqrt{\sigma_k \sqrt{\pi}}} e^{-(\kappa_n - \kappa_0)^2/2\sigma_k^2}
$$

(21)
and $\sigma_k = \sigma_x^{-1}$. For sake of normalization, the wave vectors $\kappa_n$ must be equally spaced, i.e. $\kappa_{n+1} - \kappa_n = d\kappa$, so that

$$
\sum_{n=1}^{N_E} |c_n|^2 d\kappa = 1.
$$

(22)

Since the $c_n$’s out of the range $\kappa_n - \kappa_0 = \pm 3.5\sigma_k$ are of negligible amplitude, the $N_E$ modes with wave vectors $\kappa_1, \kappa_2, \ldots, \kappa_{N_E}$ are taken in this range to compose the wave packet, whose spectrum of energy is given by $E_n = (\kappa_n/\phi)^2$. Energy difference between adjacent modes,

$$
dE_n = E_{n+1} - E_n \simeq 2\kappa_n d\kappa/\phi^2,
$$

increases as $n$ runs toward $N_E$. Hence, the maximum interval of time by which the evolution of the wave packet can be studied is

$$
\Delta t \leq \frac{\hbar}{2(E_{N_E} - E_{N_E-1})} \approx (N_E - 1) \frac{\hbar}{4\Delta E} \left(1 - \frac{\Delta E}{2E_0}\right)
$$

(23)
when the energy range $E_0 \pm \Delta E$ comprises all $E_n$’s.

To be more illustrative, let construct an initial gaussian wave packet within a previously chosen range of energy,
and to determine the energy eigenvalues $E = E_j$, in which $f(E) = 0$ can be enormous depending on number and accuracy of the desired eigenvalues. There will be no difficult in determining a few eigenvalues with reasonable accuracy, for instance $E_1 = -3.4416\text{eV}, E_2 = -1.8793\text{eV}, E_3 = -0.8660\text{eV}$, and $E_4 = -0.2991\text{eV}$ in Fig. 4(a), are obtained at once in just a few seconds and with an accuracy of $dE/2 = 0.0022\text{eV}$ ($N_E = 1000$); $x_0 = 0.002\text{nm}, x_N = 0.2\text{nm}$, and $dx_j = 0.025\text{nm} (N = 396)$. The problem relies in finding many eigenvalues, with high accuracy, occurring at different densities along a broad energy range. But, practical needs of finding all possible eigenvalues are unusual, although it can be realized by developing search routines to reduce computational time since the method does not have any intrinsic limitation; as for instance the frequency distortion, or phase-lag, errors of the Numerov-type methods.

Potential functions with singularities do not compromise the applicability of the presented method since only solutions with null wave functions at the singularities are those with physical meaning. In other words, when representing the potential by a discretized function, a finite value has to be assigned to the singularity. It compromises mostly the modes with non-null wave functions at the singularity, but these are artificial modes that do not exist in the actual physical system. Occurrence of artificial modes has been observed when solving the potential $U(x) = -e^2/|x| + |e|$ with $e \to 0$. In this case, the odd solutions with respect to the singularity provide the well-known eigenvalues of the hydrogen atom.

Another situation that can also be addressed by the

![FIG. 4: (a) Vibrational energy eigenvalues estimated via minimization of $f(E)$, Eq. (15), for a Lennard-Jones type of potential and for two rotational states, with $J = 0$ (solid line) and with $J = 8$ (dash-dot line). Step width $dx_j = 0.95\text{pm}$. Potential energy functions given by $U(x) = A/x^{12} - B/x^6 + J(J + 1)/(\varphi x)^2$ where $A = 0.124 \times 10^{-12}\text{eV} \cdot \text{nm}^{12}$, $B = 1.488 \times 10^{-8}\text{eV} \cdot \text{nm}^6$ and $m = 469.4\text{MeV}/c^2$. (b) Eigenfunctions of the three first vibrational states for the case $J = 0$, indicated by arrows in (a), are shown in the same scheme as before (Fig. 2), i.e. in sets of 20 curves per time period of amplitude oscillation. Wave amplitudes are in a common scale. Plot of $U(x)$ (thick-solid line), $J = 0$, is also shown.

for instance, with $E_n$ in the narrow range $E_0 = 0.058\text{eV}$ around the energy $E_0 = 0.406\text{eV}$ of the resonant mode in Fig. 2. It leads to $\sigma_k = \varphi \Delta E / \sqrt{\varphi_0} = 0.0666\text{nm}^{-1}$ and to $W = 25.0\text{nm}$ as the FWHM of $|\Psi(x,0)|^2$. By composing the wave packet with 101 modes, our limit of time for studying its evolution is $\Delta t \leq 165\text{fs}$, Eq. (23). Fig. 3 shows the scattering process of such wave packet by the double barrier (Fig. 2) as computed via Eq. (20). The reflection of the entire wave packet occurs in a time interval no longer than 1700fs, Fig. 3(a) through Fig. 3(c), but the resonant mode trapped in the well survives for much longer than that, Fig. 3(d). Its evanescent term in time is dictated by an exponential decay of time constant of $184.5\text{fs}$ regarding the probability of finding the particle inside the well after $t = 232.3\text{fs}$, Fig. 3(c).

In case of potential with localized minima not reachable by tunneling from either sides, computing both left-
across heterojunctions has only been possible in cases of

However, accounting for effective mass variation when the charge carrier’s effective mass can be approximated by a constant value through out the structure. However, accounting for effective mass variation across heterojunctions has only been possible in cases of

iv. Conclusions

This work has demonstrated that any one-dimensional quantum potential, wherever representing an open or closed system, can be solved by a single method and within good numerical accuracy. It is a simple tool ready to be used in applied physics or just in developing illustrative examples of quantum mechanics. It should also be emphasized that, in the presented formulation, the quantum potentials are solved by a physical treatment where the particle’s wave function is molded by the same elemental action of the given force field. This is a completely different approach of the one that is usually taken when, once the potential is known, the problem became one-dimensional solutions. In all other cases of two and three dimensions, it is not possible to extend this recursive method since the number of unknown amplitude coefficients are more than the number of equations written by matching the wave function and its derivative at every steps of the discretized potentials. Hence only mathematical methods for solving 2D and 3D differential equations are available.

IV. CONCLUSIONS

This work has demonstrated that any one-dimensional quantum potential, wherever representing an open or closed system, can be solved by a single method and within good numerical accuracy. It is a simple tool ready to be used in applied physics or just in developing illustrative examples of quantum mechanics. It should also be emphasized that, in the presented formulation, the quantum potentials are solved by a physical treatment where the particle’s wave function is molded by the same elemental action of the given force field. This is a completely different approach of the one that is usually taken where, once the potential is known, the problem became the mathematical solution of a differential equation.

APPENDIX A: ROUTINES FOR COMPUTING WAVE SOLUTIONS RECURSIVELY

There are several ways to program the recursive formalism presented in this work, depending on what information is desired. The flowchart in Fig. 6 describes the basic structure of the routines used to elaborate the examples in Figs. 2 to 5. Once the $U(x)$ function and the discretization of $X$ is defined, the user can choose either route ① or ② to scan a given energy range in fixed increments of energy, $dE$, or wavevector, $dx$, respectively. The latter route is suitable to study time evolution of wave packets by ending through route ⑦, i.e. ②→③→⑥→⑦. Eigenvalues $\{E_1, E_2, \ldots\}$, of confined modes are obtained from the $f(E)$ curve in route ④, and used as input to provide the eigenfunctions $\Psi_\nu(x)$ through route ⑤. The straight route ③→⑤ are exclusive for users interested only in transmission coefficients across arbitrary potential barriers.
FIG. 6: Flowchart of possible routines for studying one dimensional potentials with the present recursive formalism. Transmission coefficients, \( T(E) \) curves only: ①\( \rightarrow \)③\( \rightarrow \)⑤. Wave function \( \Psi(x, E) \) plots, and/or \( T(E) \) curves: ①\( \rightarrow \)③\( \rightarrow \)⑤. Time evolution of wave packet \( \Psi(x, t) \) plots: ②\( \rightarrow \)③\( \rightarrow \)⑦. Eigenvalues \( \mathcal{E}_\nu \), and eigenfunction \( \Psi_\nu(x) \) plots: ①\( \rightarrow \)④\( \rightarrow \)⑧.
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