Video Cloze Procedure for Self-Supervised Spatio-Temporal Learning

Dezhao Luo,¹,²* Chang Liu,³* Yu Zhou,¹† Dongbao Yang,¹ Can Ma,¹ Qixiang Ye,³† Weiping Wang¹

¹Institute of Information Engineering, Chinese Academy of Sciences
²School of Cyber Security, University of Chinese Academy of Sciences
³University of Chinese Academy of Sciences

{luodezhao,zhouyu,yangdongbao,macan,wangweiping}@iie.ac.cn
liuchang615@mails.ucas.ac.cn, qxye@ucas.ac.cn

Abstract

We propose a novel self-supervised method, referred to as Video Cloze Procedure (VCP), to learn rich spatial-temporal representations. VCP first generates “blanks” by withholding video clips and then creates “options” by applying spatio-temporal operations on the withheld clips. Finally, it fills the blanks with “options” and learns representations by predicting the categories of operations applied on the clips. VCP can act as either a proxy task or a target task in self-supervised learning. As a proxy task, it converts rich self-supervised representations into video clip operations (options), which enhances the flexibility and reduces the complexity of representation learning. As a target task, it can assess learned representation models in a uniform and interpretable manner. With VCP, we train spatial-temporal representation models (3D-CNNs) and apply such models on action recognition and video retrieval tasks. Experiments on commonly used benchmarks show that the trained models outperform the state-of-the-art self-supervised models with significant margins.

1 Introduction

In the past few years, Convolutional Neural Networks (CNNs) have unprecedentedly advanced the field of computer vision. Generally, vision tasks are solved by training models on large-scale datasets with label annotations (Kim, Cho, and Kweon 2019). Typically, CNNs pre-trained on ImageNet (Jia et al. 2009) incorporate rich representation capability and have been widely used as initial models.

Nevertheless, annotating large-scale datasets is costly and labor-intensive, particularly when facing tasks involving complex data (e.g., videos) and concepts (e.g., action analysis and video retrieval) (Fernando et al. 2017; Kay et al. 2017).

To conquer this issue, self-supervised representation learning, which leverages the information from unlabelled data to train desired models, has attracted increasing attention from the artificial intelligence community. For video data, existing approaches usually define an annotation-free proxy task, which provides special supervision for model learning by fulfilling the objective of the proxy task.

In the early research (Doersch, Gupta, and Efros 2015; Xiaolong and Abhinav 2015), relative location of the patches in images or the order of video frames were used as a supervisory signal. However, the learned features were merely on a frame-by-frame basis, which are implausible to video analytic tasks where spatio-temporal features are prevailing. Recently, (Wang et al. 2019) proposed to learn representations by regressing motion and appearance statistics. In (Fernando et al. 2017), an odd-one-out network is proposed to

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*Equal contribution
†Corresponding authors

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identify the unrelated or odd video clips from a set of otherwise related clips. To find the odd video clip, the models have to learn spatio-temporal features that can discriminate video clips of minor differences.

Despite of the effectiveness, existing approaches are usually developed upon domain-knowledge and therefore are not capable to incorporate various spatial-temporal operations. This seriously restricts the representation capability of learned models. Furthermore, the lack of a model assessment approach strikingly limits the pertinence of self-supervised representation learning.

In this paper, we propose a new self-supervised method called Video Cloze Procedure (VCP). In VCP, we withhold a video clip from a video sequence and apply multiple spatio-temporal operations on it. We train a 3D-CNN model to identify the category of operations, which drives learning rich feature representations. The motivation behinds VCP lies in that applying richer operations on video clips facilitates exploring higher representation capability, Fig. 1.

VCP consists of three components including blank generation, option creation, and cloze completion. The first component generates blanks by withholding video clips from given clip sequences. The second component facilitates multiple spatial-temporal representation learning by applying spatial-temporal operations on the withheld clips. Finally, cloze completion fills the blanks with options and learns representations by predicting the category of operations.

VCP can act as either a proxy task or a target task in self-supervised learning. As a proxy task, it converts rich self-supervised representations into video clip operations, which enhances the flexibility and reduces the complexity of representation learning. As a target task, it can assess learned representation models in an interpretable manner.

The contributions of this work are summarized as follows:

- We propose Video Cloze Procedure (VCP), providing a simple-yet-effective framework for self-supervised spatio-temporal representation learning.
- We propose a new model assessment approach by designing VCP as a special target task, which improves the interpretability of self-supervised representation learning.
- VCP is applied on three kinds of 3D CNN models and two target tasks including action recognition and video retrieval, and improves the state-of-the-arts with significant margins.

2 Related work

Self-supervised learning leverages the information from unlabelled data to train target models. Existing approaches usually define an annotation-free proxy task which demands a network predicting information latent in unannotated videos. The learned models can be applied to target tasks (supervised or unsupervised) in a fine-tuning manner.

2.1 Proxy Tasks

In a broad view of self-supervised learning, the proxy tasks can be constructed over multiple sensory data such as egomotion and sound (Pulkit, João, and Jitendra 2015; Diederik and Max 2014; Dinesh and Kristen 2017; Jimmy et al. 2016; Relja and Andrew 2017). In a special view of visual representation learning, proxy tasks can be categorized into: (1) Image property transform and (2) Video content transform.

Image Property Transform Spatial transforms applied on images can produce supervision signals for representation learning (Larsson, Maire, and Shakhnarovich 2017). As a representative research, (Gidaris, Singh, and Komodakis 2018) proposed learning CNN features by rotating the images and predicting the rotated angles. (Kim et al. 2018; Doersch, Gupta, and Efros 2015) proposed learning image representations by completing damaged Jigsaw puzzles. (Deepak et al. 2016) proposed context inpainting, by training a CNN to generate the contents of a withheld image region conditioned on its surroundings. (Xiaolong, Kai Ming, and Abhinav 2017) proposed unsupervised correspondence, by training a representation model to match image patches of transform in-variance.

Video Content Transform A large number of video clips with rich motion information provide various self-supervised signals. In (Xiaolong and Abhinav 2015), the order of video frames was used as a supervisory signal. In (Misra, Zitnick, and Hebert 2016; Lee et al. 2017), predicting the orders of frames or video clips drives learning spatio-temporal representation. In (Fernando et al. 2017), an odd-one-out network was proposed to identify the unrelated or odd video clips from a set of otherwise related clips. To find the odd video clip, the models have to learn spatio-temporal features that can discriminate similar video clips. In (Deepak et al. 2017), unsupervised motion-based segmentation on videos was used to obtain segments, which performed as pseudo ground truth to train a CNN to segment objects.

Early works usually learned features based on 2D CNN and merely on a frame-by-frame basis, which are implausible to video analytic tasks where spatio-temporal features are prevailing. Recently, (Wang et al. 2019) proposed learning 3D representations by regressing motion and appearance statistics. (Xu et al. 2019) proposed predicting the order of video clips. (Kim, Cho, and Kweon 2019) proposed training 3D CNN by completing space-time cubic puzzles.

However, existing self-supervised learning methods are typically designed for specific target tasks, which restricts the capability of learned models. In addition, few of the proxy tasks are capable of assessing feature representation, which strikingly limits the pertinence of learned models.

2.2 Target Tasks

In this work, the self-supervised representation models are applied to target tasks including video action recognition and video retrieval. In many recent works, (Tran et al. 2018; 2015) investigated training 3D CNN models on a large scale supervised video database. Nevertheless, the models trained on specific self-supervised tasks lack general applicability, i.e., fine-tuning such models to various video tasks could produce sub-optimal results. To conquer these issues, we propose the novel VCP, which, by incorporating multiple self-supervised representations, improves the generality of the learned model.
3 Video Cloze Procedure

Cloze Procedure was firstly introduced by Wilson Taylor in 1953 as a metric to evaluate the capability of human language learning. Specifically, it deletes words in a prose selection according to a word-count formula or various other criteria and evaluates the success a reader has in accurately supplying the deleted words (Bickley, Ellington, and Bickley 1970). Motivated by the success of Cloze Procedure in the field of language learning, we design the Video Cloze Procedure.

In this section, we first describe the details of VCP which consists of three components, i.e., blank generation, option creation, and cloze completion. We then discuss the advantages of the VCP over state-of-the-art methods in three aspects, including complexity, flexibility, and interpretability.

3.1 Blank Generation

Considering the spatial similarity and the temporal ambiguity among video frames, we take video clips (Xu et al. 2019) as the smallest unit in VCP. Considering that semantic information of different videos is temporally non-uniform, we generate the blanks in VCP using every-nth-words manner (Bickley, Ellington, and Bickley 1970). Specifically, the blank generation component consists of two steps including clip sampling and clip deletion.

Clip Sampling The clips including $k$ frames (with equal length) are sampled every $l$ frames (with equal interval without overlap) from the raw video. In this way, the relevance of the low-level vision cues, such as texture and color, among clips is weakened compared to those in successive or overlapped clips. As a result, the learner is forced to focus on middle- and high-level spatio-temporal features.

Clip Deletion A video sequence of $m$ successive clips is considered as a whole cloze item. We randomly delete one of the co-equal clips with the same probability in the cloze item to generate blanks. The removed clip is then utilized to create options. For clarity of description, we give an example of VCP by sampling three clips and deleting the middle one, as shown in Fig. 2.

3.2 Option Creation

Aiming at training a model to distinguish the deleted clip from a heap of perplexing optional clips, we design spatial and temporal operations to create the optional clips (options). To learn richer representations, the operations should effectively confuse the learners, while reserving the spatial-temporal relevance. Under this principle, we design four operations including spatial rotation ($S_{R}$), spatial permutation ($S_{P}$), temporal remote shuffling ($T_{R}$), and temporal adjacent shuffling ($T_{A}$) for VCP.

Spatial Operation To provide options that focus on spatial representation learning, we introduce spatial rotation and spatial permutation. With spatial rotation ($S_{R}$), a video clip is rotated by 90, 180, and 270 degrees so that the model is forced to learn orientation related features. With spatial permutation ($S_{P}$), a video clip is divided into four tiles ($2 \times 2 \times 1 grids$) and either two tiles are permuted to produce a new option. There are $C_2^4 = 6$ kinds of options produced...
Backbone

Figure 3: VCP can be utilized for representation learning with only the original labeled data (dataset L) for target tasks or using extra unlabeled data (dataset U). VCP can also act as a target task for model assessment, which can be used to evaluate self-supervised representation models.

in total. Permutation with two tiles produces options with spatial structure information partially remained, which prevents models from learning low-level statistics to distinguish spatial chaos.

**Temporal Operation** To provide options that focus on temporal features we further introduce two kinds of temporal operations. One operation is temporal remote shuffling ($T_R$), where the deleted clip is substituted with a clip that has large temporal distance forward or backward. As the background of frames with reasonable temporal distance is probably similar which means the discriminative difference lies in the foreground, $T_R$ drives the model to learn more temporal information related to the foreground. The other operation is temporal adjacent shuffling ($T_A$), where the original clip is divided into four sub-clips, and two of them are randomly shuffled once. Different from VCOP (Xu et al. 2019), we do not shuffle all the sub-clips and reduce the difficulty by forcing the model to judge whether or not the clip is shuffled instead of predicting the exact orders. In this way, rich temporal representation can be easy to learn.

3.3 Cloze Completion

To complete cloze, we fill the blanks by randomly sampling the clip options with operation category labels. To predict the operation categories applied on the clips, we use three 3D CNNs as the backbones and concatenate their output features according to the order of the clips in the raw video as illustrated in Fig. 2. The three CNNs share parameters so that a single strong model can be learned. The concatenate feature is fed to a fully connected (FC) layer, which predicts the corresponding operation category.

4 Self-supervised Representation Learning

We implement self-supervised representation learning and model assessment by treating VCP as a proxy task and a target task, respectively.

4.1 Representation Learning

As a proxy task, VCP can learn spatio-temporal representations using only the original labeled data for target tasks or using extra unlabeled data, Fig. 3.

For the target task, deep models learn to extract features in a direct manner trying to minimize the training loss with the supervision of specific annotations, *i.e.*, category labels. During the procedure, the task-specific representation capability of models can be enforced while the general representation capacity of models is unfortunately ignored. With spatio-temporal operations applied on the clips, VCP learns rich and general representations by pre-training the models, which enhances the performance of target tasks without extra labeling efforts required.

On the other hand, VCP can leverage massive unlabeled data to break the overhead of model representation capability. With VCP, we pre-train a representation model on an un-annotated dataset as a warm-up initialization and then fine-tune such model on the annotated target dataset. VCP has the potential to learn the general representation, *e.g.*, spatial-temporal integrity and continuity, in spatio-temporal domain, which facilitates improving the representation capability of models in video-based vision tasks.

4.2 Model Assessment

Beyond acting as a proxy task, VCP can also act as a target task, which offers a uniform and interpretable way to evaluate self-supervised representation models. In VCP, the classification accuracy of operations reflects what the models learn and how good they are. By simply replacing the head of the classification network with a fully connected layer to be fine-tuned while the parameters of the backbone network are fixed, operation category classification is implemented as a target task, Fig. 3.

In this way, the feature representative capability obtained from self-supervised proxy tasks is reserved. Meanwhile, corresponding features are utilized to train a classifier, the performance of which can be regarded as a metric to assess the representation models. With the hint dropped by VCP, we can not only elaborately assess models learned from different self-supervised proxy tasks but also can figure out how to improve a self-supervised method. This casts a new light on the significance of VCP.

4.3 Discussion

To analyze the advantages of VCP over existing self-supervised methods, we contrast them from three aspects including complexity, flexibility, and interpretability.

**Complexity** Existing approaches that use spatio-temporal shuffling and order prediction (Kim, Cho, and Kweon 2019; Xu et al. 2019; Lee et al. 2017) have $O(n^2)$ computational complexity, given $n$ video frames/clips units. The high complexity is caused by the requirement to predict the exact order, which might be not necessary when learning representations. In contrast, VCP solely chooses $n$ optional options...
to fill the blanks while predicting the operation category of the option. It thus has a $O(n)$ computational complexity.

**Flexibility** For various target tasks, VCP can be adaptively applied by configuring the options (operations). For example, we can apply spatial permutation ($S_p$) to enhance spatial representation and apply temporal adjacent shuffling ($T_A$) to boost the temporal representation. In a flexible manner, VCP can incorporate special information in special spatial and/or temporal operations for different target tasks.

**Interpretability** In existing approaches, different proxy tasks learn different representation models. It requires an interpretive way to explore the relationship between representation models and target tasks. With well-designed options, VCP offers the opportunity to analyze the models by testing their classification accuracy on uniform options (operations), which has great potential to contrapuntally overcome the weakness of models.

## 5 Experiments

We conduct extensive experiments to evaluate VCP and its applications on target tasks. Firstly, we elaborate experimental settings for VCP. We then evaluate the representation learning of VCP with different option configurations and data strategies. We further conduct experiments on model assessment with VCP. Finally, we evaluate the performance of VCP applying on target tasks, i.e., action recognition and video retrieval, and compare it with state-of-the-art methods.

### 5.1 Experiment Setting

**Datasets** The experiments are conducted on UCF101 (Soomro, Zamir, and Shah 2012) and HMDB51 (Jhuang et al. 2011) datasets. UCF101 contains 13320 videos over 101 action categories, exhibiting challenging problems include intra-class variance of actions, complex camera motions, and cluttered backgrounds. HMDB51 contains 6849 videos over 51 action categories. The videos are mainly collected from movies and websites including the Prelinger archive, over 51 action categories. The videos are mainly collected from movies and websites including the Prelinger archive, YouTube, and Google videos.

**Backbone Networks** C3D (Tran et al. 2015), R3D and R(2+1)D (Tran et al. 2018) are employed as backbones in VCP implementations. C3D extends the 2D convolution kernels to 3D kernels, so that it can model temporal information of videos. The size of convolution kernels is $3 \times 3 \times 3$. R3D is an extension of ResNet (He et al. 2016) with C3D. In R(2+1)D, 3D convolution kernels are decomposed. For spatial convolution, each kernel is set to be $1 \times n \times n$ where $n = 3$. For temporal convolution, it is set to be $m \times 1 \times 1$ where $m = 3$.

**Implementation Details** In the blank generation, to avoid trivial results, three successive 16-frame clips are sampled every 8 frames from the raw video as a whole cloze item. Each frame is resized to $128 \times 171$ and randomly cropped to $112 \times 112$. In the option generation, we define the clips sampled from 16 frames away to the cloze item as remote clips. We set the initial learning rate to be 0.01, momentum to be 0.9 and stop training after 300 epochs.

### 5.2 Representation Learning

To validate what VCP learns, we first conduct ablation studies of VCP. We further conduct experiments with different data strategies to demonstrate the generality of the representations learned via VCP.

**Ablation Study** We firstly train a model to classify the categories of five options. Table 1 shows the results on UCF101, which are trained and evaluated on the first split. It can be seen that VCP achieves 78.42% overall accuracy. For spatial rotation ($S_R$), spatial permutation ($S_p$), and temporal adjacent shuffling ($T_A$), VCP respectively achieves 95.04%, 97.53% and 94.57% accuracy. The results show that the designed five operations are plausible.

To clearly show the effect of option creation for representation learning, we conduct ablation experiments on VCP with various options for action recognition, Table 2. The experiments are conducted using C3D as the backbone. We pre-train VCP and then fine-tune the action recognition model on UCF101. The recognition accuracy is evaluated on the first test split.

It can be seen that when pre-training with a single spatial rotation ($S_R$)-VCP) or permutation ($S_p$)-VCP) operation, the accuracy of action recognition outperforms the baseline (random) by 2.3% or 1.4%. When using both spatial operations ($S_R$, $S_p$)-VCP), the performance further increased to 66.0%. Pre-training with a single temporal remote shuffling ($T_R$)-VCP) or adjacent shuffling ($T_A$)-VCP) operation improves the performance by 5.8% or 3.0%, where the performance is further improved to 68.0% when using both temporal operations ($T_{R,A}$)-VCP). Combining the spatial and temporal operations ($S_{R,P}T_{R,A}$)-VCP) finally improves the performance to 69.7%, significantly outperforming the baseline by 7.7%. The experiments show that the options can be used in a flexible way including using standalone or combining with each other. VCP can learn more representative features by adding rich and complementary options.

| Method | UCF101(%) |
|--------|------------|
| random | 62.0       |
| $S_R$-VCP | 64.3     |
| $S_p$-VCP | 63.4     |
| $S_{R,P}$-VCP | 66.0 |
| $T_R$-VCP | 67.8       |
| $T_A$-VCP | 65.0       |
| $T_{R,A}$-VCP | 68.0 |
| $S_{R,P}T_{R,A}$-VCP | 69.7 |

Table 1: Accuracy of operation classification. “O” denotes original video clips, “$S_R$” the spatial rotation, “$S_p$” the spatial permutation, “$T_R$” the temporal remote shuffling, and “$T_A$” the temporal adjacent shuffling.

| Method | UCF101(%) |
|--------|------------|
| $S_R$-VCP | 64.3     |
| $S_p$-VCP | 63.4     |
| $S_{R,P}$-VCP | 66.0 |
| $T_R$-VCP | 67.8       |
| $T_{R,A}$-VCP | 69.7 |

Table 2: Ablation study of spatio-temporal operations. The figures refer to action recognition accuracy.
Data Strategy | VCOP (Xu et al. 2019) (%) | VCP (ours) (%) |
--- | --- | --- |
UCF101(random) | 61.8 | 61.8 |
UCF101(UCF101) | 65.6 | 68.5 |
UCF101(HMDB51) | 64.1 | 66.7 |
HMDB51(HMDB51) | 31.3 | 31.5 |
HMDB51(UCF101) | 28.4 | 32.5 |

Table 3: Performance (average on all test splits) comparison under different data strategies. UCF101 (HMDB51) denotes the model is pre-trained on HMDB51 and fine-tuned on UCF101.

Data Strategy To further validate the generality of VCP, we conduct experiments for VCP under different data strategies, with C3D as the backbone. Firstly, we pre-train VCP on UCF101 and HMDB51, and then respectively fine-tune each pre-trained model on UCF101 and HMDB51 for action recognition, Table 3. Specially, the supervised action recognition task is directly trained on the target datasets, with random initialization.

It can be seen that when pre-training and fine-tuning on UCF101, VCP outperforms VCOP by 2.9%; when pre-training and fine-tuning on HMDB51, VCP slightly outperforms VCOP, showing that the strategy used in VCOP is better than that in VCP. Note that using VCP as a pre-train model further significantly improves the performance of supervised methods by 6.7% (68.5% vs. 61.8%) on UCF101 and 6.8% (31.5% vs. 24.7%) on HMDB51, which shows that VCP is complementary to supervised model learning. After pre-training on UCF101 and fine-tuning models on HMDB51, VCP significantly outperforms VCOP by 4.1%. It is noteworthy that when pre-training on the smaller dataset HMDB51 but fine-tuning on the larger dataset UCF101, the performance of VCP also outperforms that of VCOP by 2.6%, which shows the generality of VCP.

5.3 Model Assessment

Regarding VCP as a target task, we only fine-tune the fully connected layer with the parameters of the self-supervised model fixed to get the operation classification accuracy curve, Fig. 4. We fine-tune the fully connected layer for 30 epochs and then output the test scores every 5 epochs.

It is obvious that the model trained with VCP can recognize the $S_R$, $S_P$, and $T_A$ operations with high accuracy (~90%), Fig. 4(b)(c)(e). Nevertheless, it experiences difficulty when classifying the original clips and the remote shuffled clips, Fig. 4(a)(d). It can be seen that the accuracy of $O$ and $T_R$ is negatively correlated, which means the perplexity of them. In contrast, the accuracy of VCOP and 3D Cubic Puzzle is divergent, which implies they fail to classify the two categories.

For spatial operation classification, Fig. 4(b)(c), ST-Puzzle and S-Puzzle outperform T-Puzzle and VCOP, while for temporal operation classification, Fig. 4(d)(e), they underperform T-Puzzle and VCOP. It shows that spatial representation learning is not consistent with temporal representation learning. Consequently, VCP benefits from integrating existing and newly designed spatial and temporal operations.

5.4 Action Recognition

Once a 3D CNN is pre-trained by VCP, we use it to initialize and fine-tune models for action recognition. For action recognition, we feed the features extracted by backbones to fully-connected layers for classification. During fine-tuning, we initialize the backbones from VCP while the fully-connected layers are randomly initialized. The hyper-parameters and data pre-processing are the same as VCP training process. The fine-tune procedures are carried out for 150 epochs. During test, we follow the protocol of (Tran et al. 2018) and sample 10 clips for each video. The predictions on the clips are averaged to obtain the video prediction.

The classification accuracy over 3 splits are averaged to obtain the final accuracy. As shown in Table 4, with a C3D backbone, VCP (ours) outperforms the randomly initialized
5.5 Video Retrieval

VCP is also validated on the target task of nearest-neighbor video retrieval. As it does not require training data annotation, it largely relies on the pre-trained representation models. We conduct this experiment with the first split of UCF101, following the protocol in (Xu et al. 2019). The model trained by VCP is to used to extract convolutional (conv5) features for all samples (videos) in the training and test sets. Each video in the test set is used to query $k$ nearest videos from the training set. If a video of the same category is matched, a correct retrieval is counted.

It can be seen in Table 5 and 6 that VCP significantly outperforms the compared approaches on all evaluation metrics, i.e., top-1, top-5, top-10, top-20, and top-50 accuracy. In Fig. 5, qualitative results also shows superiority of VCP.

| Methods              | top1(%) | top5(%) | top10(%) | top20(%) | top50(%) |
|----------------------|---------|---------|----------|----------|----------|
| C3D(random)          | 7.4     | 20.5    | 31.9     | 44.5     | 66.3     |
| C3D(VCP)(Xu et al. 2019) | 7.4   | 22.6    | 34.4     | 48.8     | 70.1     |
| C3D(VCP)             | 7.8     | 23.8    | 35.3     | 49.3     | 71.6     |
| R3D(random)          | 6.7     | 18.3    | 26.3     | 35.5     | 51.9     |
| R3D(VCP)(Xu et al. 2019) | 7.6   | 22.9    | 34.4     | 48.8     | 68.9     |
| R3D(VCP)             | 7.6     | 24.4    | 36.3     | 53.6     | 76.4     |
| R(2+1)D(random)      | 4.5     | 14.8    | 23.4     | 38.9     | 63.0     |
| R(2+1)D(VCP)(Xu et al. 2019) | 5.7   | 19.5    | 30.7     | 45.8     | 67.0     |
| R(2+1)D(VCP)         | 6.7     | 21.3    | 32.7     | 49.2     | 73.3     |
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