BUFFERING FUNCTION: A GENERAL APPROACH FOR BUFFER BEHAVIOR

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ABSTRACT
Buffering systems are widely used in all areas of science that involve the use of aqueous solutions, such as pharmaceutical, environmental, and analytical chemistry. However, there are several approaches and parameters that can be employed to try to explain buffering behavior, such as the Van Slyke buffer value, Kolthoff’s buffer capacity, acidity, alkalinity, and others but they are unable to provide a global explanation of it. In this paper, we propose the Buffering Function, which is a fundamental equation that enables explanation of all types of buffering behavior and existing parameters, without using of the Henderson-Hasselbalch approach.

PALAVRAS-CHAVE
Poder Tamponante de Van Slyke
Capacidade Tamponante de Kolthoff
Força do Tampão
Fração de Equilíbrio

KEYWORDS
Van Slyke buffer value
Kolthoff buffer capacity
buffer strength
fraction of equilibrium

RESUMO
Sistemas tampão são amplamente usados em diversas áreas da ciência que envolvem soluções aquosas, tais como química farmacêutica, ambiental e analítica. Entretanto, há várias propostas e parâmetros que tentam explicar o comportamento tampão, tais como poder tamponante de Van Slyke, capacidade tamponante de Kolthoff, acidez, alcalinidade and outros, mas todos são incapazes de apresentar uma explicação global para esse comportamento. Neste artigo, propomos a Função Tamponante, que é uma equação fundamental que permite explicar todos os tipos comportamento tampão e os parâmetros já proposto, sem usar a Equação de Henderson-Hasselbach.
1. INTRODUCTION

Buffer systems are very useful in many areas of chemistry, including biochemistry and medicinal, pharmaceutical, industrial, forensic, environmental, and organic chemistry. A generic definition of a pH buffer is a solution that provides resistance to variation of pH caused by the addition of a Bronsted acid or base. This type of solution usually contains a simple Bronsted acid-base system and the pH resistance occurs in a range of pH near a particular pKa value. Some buffer solutions include more than one system, such as McIlvaine’s buffer (citrate and phosphate); Britton-Robinson; or universal buffer (and the resistance to variation of pH (or “pH resistance”) is difficult to quantify. There are five associated factors that influence the pH behavior of a buffer solution: (i) the type of Bronsted acid-base system (or systems) in solution; (ii) the concentration of the system; (iii) the initial pH of the solution; (iv) the quantity of Bronsted acid or base added to the solution, which the buffer solution must “resist”; (v) the acceptable pH change of the buffer solution after its action, which depends on the application (for example, in an enzymatic system, it should be 0.5 pH unit or less). (Oliveira, 2009; 2013, 2020). Thus, the parameters for evaluation of buffer should explain all these factors.

The buffering efficiency of a solution is difficult to express, not least due to the various parameters that can be employed for this purpose. These include the buffering power (β), proposed by Van Slyke (1922), the buffer capacity (BC) of Kolthoff (1937), and the buffer strength or effective buffer (β), proposed by Van Slyke (1922), the buffer capacity (BC) of (Kolthoff (1937), and the buffer strength or effective buffer (β), proposed by Van Slyke (1922), the buffer capacity (BC) of Kolthoff (1937), and the buffer strength or effective buffer capacity (β) (Oliveira, 2009). Furthermore, additional confusion is introduced due to the different names used for these parameters (such as buffer power, buffer index, buffer intensity, and others) (Albert & Serjeant, 1984; Gunther, 1975; Skoog et al., 1988; Harris, 2013; Stumm & Morgan, 1995; Essington, 2003).

The Van Slyke buffer value, which has been widely discussed in the literature, expresses the added amount of a strong base or acid (n) required to cause an infinitesimal variation of pH, in a defined volume of solution (V) (Eq. 1).

\[ \beta = \frac{1}{V} \frac{\partial n}{\partial pH} \]  

(1)

This parameter is extensively used for qualitative interpretation, enabling evaluation of the range of pH where there is greatest buffering. However, it does not describe the behavior of buffer solutions to which finite quantities of strong acid or base are added. In this case, its integration is required, which is very complicated, even for simple systems.

The IUPAC has used the Kolthoff interpretation of the Van Slyke buffer value, called the buffer capacity (BC) (Chiriac et al., 1997; Thompson et al., 1981), which has sometimes been considered synonymous of the former. It expresses the amount (n) of strong base needed to cause variation of pH equal to one unit. The difference between the two values is subtle and integration is needed for other variations of pH.

Several methods have been proposed for the calculation of buffer values (or buffering capacities) and the evaluation of buffer solutions. A difficulty is related to the complicated mathematical procedures involved, which may be specific to each system, making it difficult to generalize (Asuero, 2007; Chiriac et al., 1997; Ming, 1984; Thompson et al. 1981; Urbansky et al. 2000). A subtler problem is that the determination of a buffer value requires use of a substance external to the system studied.

The buffer strength is an important parameter for evaluation of the amount of a strong acid (or base) needed to alter the pH in a defined range (and to obtain a certain initial pH value). It has been expressed by integral of buffer power and called the integral capacity (Ming, 1984), the neutralization capacity (Stumm et al., 1995; Essington, 2003). Oliveira (2009, 2020a) presented a simple calculation procedure for this parameter that could be applied to buffer solutions formed by one or several Bronsted acid-base mono- or polyprotic systems. This parameter is more practical than the buffer value (or capacity) but is still defined in terms of substances external to the solution studied.

In this work, we propose the use of a new parameter, the Buffering Function (τ), from which the parameters described above can be obtained and that can be applied to acid-base titrations and measurements of solution pH and alkalinity, among other applications.

2. THEORETICAL FUNDAMENTATION

Definition of the Buffering Function requires consideration of some important parameters related to the chemistry of solutions in equilibrium, such as the equilibrium fraction (also incorrectly called molar fraction or degree of dissociation, ionization, or association) and the effective electric charge (or simply effective charge), obtained from the charge balance. The calculation of concentrations of a buffer (simple or mixed) can be performed without use of equations such as the Henderson-Hasselbalch equation.

In a solution in equilibrium, the electric charge balance must be satisfied according to the Electro neutrality Principle. This means that for a solution with a specified pH, the equilibrium positive charge concentration must be equal to the equilibrium negative charge concentration. The electric charge concentration due to an ion i, it means its contribution, is equal to the product of its charge (qi) and its equilibrium concentration. Thus, the electric charge balance will be represented by sum of all contribution terms (Eq. 2).

\[ \sum q_i [i] = 0 \]  

(2)

The equilibrium concentration of a chemical species belonging to a Bronsted acid-base system is directly associated with its analytical concentration (c) (or the analytical concentration of the system), considering its equilibrium fraction (a) (Eq. 3). This parameter, in turn, only depends on the pH of the solution (which is known) and the pKa values for the Bronsted acid-base system.

\[ a_i [i] = \frac{[i]}{c[i]} \]  

(3)
In Eq. 3, the subscript $j$ indicates the number of protons lost from the totally protonated species present in the system.

The expressions used for the calculation of equilibrium fraction are quite complex (based on mass balance and the law of mass action), but can be easily performed using electronic spreadsheets available in software products such as Microsoft Excel, R, Scilab, or MATLAB. An add-on for Microsoft Excel is available for this purpose (Oliveira, 2020b).

The equations for calculation of the general polyprotic equilibrium fraction are shown in Eq. 5, while those for a simple monoprotic system are shown in Eq. 6.

$$\alpha_0 = \frac{1}{1 + \frac{[H^+]^{pK_a - pK_b}}{[HY]}}$$

$$\alpha_1 = \frac{1}{1 + [H^+]^{pK_a - pK_b}}$$

$$\alpha_2 = \frac{1}{1 + [H^+]^{pK_a - pK_b}}$$

$$\alpha_3 = \frac{1}{1 + [H^+]^{pK_a - pK_b}}$$

Activity coefficients will be omitted in this paper. This correction could be performed correcting the equilibrium constants (Albert et al., 1984; Kotrly et al., 1985), while the proton concentration should be corrected for $[H^+] = 10^{pH - pK_a}$, where $\gamma_H$ is the activity coefficient of the $H^+$ ion when a mixed equilibrium constant is used. Examples of species diagrams for the acetate and citrate Brønsted acid-base system are shown in Figure 1.

The balance of charge can then be rewritten (Eq. 8).

$$\text{Wet} + \sum q_j \alpha_j c_j = 0$$

where

$$\text{Wet} = [H^+] - [OH^-] = 10^{-pH} - 10^{[H^+] - pK_w}$$

And this term is always present in balance of charge (in aqueous solution). The strong electrolyte has an equilibrium fraction equal to one.

For a system $N$ in solution, the balance of electric charge could be expressed in Equation 9:

$$\text{Wet} + \sum_{j=0}^{N} \left( \sum_{k=0}^{3} q_j \alpha_j \right) c_j = 0$$

The sum of all the $q_j \alpha_j$ terms of the same Brønsted acid-base system is the effective charge, $q_e$ (Eq. 10). The effective charge can be interpreted as a weighted average of the charge of a system or the charge of a hypothetical species representing all the chemical species of the system.

$$q_e = \sum_{j=0}^{3} q_j \alpha_j$$

Where $n$ is the number of species in the system.

**Examples of charge balance**

Two examples of charge balance will be presented to assist in the subsequent discussion and definition of the Buffering Function. The thermodynamic constants were obtained from the compilation of critically selected equilibrium constants (Harris, 2007). For simplicity of presentation, as previously cited, the activity coefficient will not correct by ionic strength. The first of selected examples is a monoprotic solution, usually studied and the second one involves a mixture of monoprotic and polyprotic system. The goal is shown the strategy of calculation is the same, independently of number of Brønsted Acid-Base system in solution.

**Solution of sodium acetate with analytical concentration of acetate equal to $c(Ac^-)$ and pH adjusted to 4.0 using hydrochloric acid**

The chemical processes in the solution can be described by the following equations:

$\text{H}_2\text{O}_{(l)} \rightleftharpoons \text{H}^+_{(aq)} + \text{OH}^-_{(aq)} \quad pK_w = 14.00$

$\text{NaAc}_{(s)} \rightarrow \text{Na}^+_{(aq)} + \text{Ac}^-_{(aq)}$

$\text{HAc}_{(aq)} \rightleftharpoons \text{H}^+_{(aq)} + \text{Ac}^-_{(aq)} \quad pK_a = 4.76$

$\text{HCl}_{(g)} \rightarrow \text{H}^+_{(aq)} + \text{Cl}^-_{(aq)}$

Figure 1. Species distribution diagrams for the acetate and citrate Brønsted acid-base system: (A) acetate; (B) citrate.  

(A) α0; (- - -) α1; (---) α2; (-----) α3
The balance of charge can be described as follows:

\[
\begin{align*}
[H^+] - [OH^-] + [Na^+] - [Ac^-] - [Cl^-] &= 0 \\
10^{-pH} - 10^{pH} + \text{c(Na)} + c(\text{Ac}) - c(\text{Cl}) &= 0 \\
\text{Wat} + c(\text{Na}) - c(\text{Ac}) - c(\text{HCl}) &= 0
\end{align*}
\]

The term \([H^+] - [OH^-]\) will be present in all balances of charge in aqueous solutions and will be called the water contribution \((\text{Wat})\). Each term of this equation is called a contribution (of each system). The balance of charge could be used to calculate the analytical concentration of hydrochloric acid in the solution, if \(c(\text{Ac})\) and \(pH\) are known, which is usually the case.

**Solution of citric acid (\(H_3\text{cit}\)) and sodium acetate (\(Ac^-\)), with \(pH\) adjusted to 4.0**

In this second example, there is a polyprotic system and the compound used to adjust the \(pH\) is not known (it could be a strong acid or base, for example).

The chemical processes involved can be represented by the following equations:

- \(H_2O(l) \rightleftharpoons H^+(aq) + OH^-(aq)\), \(pK_w = 14.00\)
- \(\text{NaAc}(aq) \rightleftharpoons \text{Na}^+(aq) + \text{Ac}^-(aq)\), \(pK_a = 4.76\)
- \(\text{HAc}(aq) \rightleftharpoons H^+(aq) + \text{Ac}^-(aq)\), \(pK_1 = 3.128\)
- \(\text{Hcit}^2(aq) \rightleftharpoons H^+(aq) + \text{cit}^3(aq)\), \(pK_2 = 4.761\)
- \(\text{Hcit}^3(aq) \rightleftharpoons H^+(aq) + \text{cit}^4(aq)\), \(pK_3 = 6.396\)

The balance of charge is given by:

\[
[H^+] - [OH^-] + [Na^+] - [Ac^-] + [H_2\text{cit}^-] - 2[H\text{cit}^2^-] - 3[H\text{cit}^3^-] + e_c e_x = 0
\]

where \(e_c\) is the contribution of counter-ions of strong acid or base used to adjust the \(pH\) to 4.0, corresponding to the product of its effective charge and its analytical concentration. In the previous example, if the concentration of hydrochloric acid used was not known, \(e_c\) would be -1 and \(c_i\) would be equal to \(c(\text{HCl})\).

\[
\text{Wat} + c(\text{Na}) - c(\text{Ac}) + c(\text{H_2cit}) + c(\text{Hcit}) + c(\text{cit}) = 0
\]

Since all the parameters on the left-hand side of the equation are known, the value of \(q_i e_i c_i\) may be calculated. If this term is positive, the counter-ion is a cation, so a strong base is used to adjust the \(pH\). If it is negative, then a strong acid is used.

In this example, the \(q_i(\text{Ac}')\) is equal to -0.1480 (as show in previous example) and \(q_i(\text{Hcit})\) is equal to -1.0310 (calculated by Alfa® add-on). Thus, again, if \(q_i\) is higher than zero, it means ‘\(x\)’ is a cation, and one has added the strong base for adjusting of \(pH\). If \(q_i\) is lower than zero, one has added the strong acid, and finally, if \(q_i\) is equal to zero, the solution already has the desirable \(pH\), without needing to adjust the \(pH\).

The equilibrium fraction and the effective charge depend only on the \(pH\) of the solution and the \(pK_a\) values of the system, and they can be calculated using an electronic spreadsheet. Figure 2 shows the effective charge of each system plotted as a function of \(pH\). As expected, the curve for the effective charge of the acetate system shows negative values for the equilibrium fraction of acetate, varying from zero to -1. The values for the citrate system vary from zero to -3. These values reflect the predominance of the different species. For \(pH\) above ~7.5, the totally deprotonated ion (\(\text{cit}^4^-\)) is predominant, so the value of \(q_e\) is -3. The solutions shown in both examples could be seen as buffer solutions. Intuitively, it is possible to observe that for addition of acid or base to these solutions, \(pH\) resistance will be associated with the increase of acid or base that changes the \(pH\) from the \(pH\) of the buffer to the \(pH\) after addition of acid or base. This indicates the strength of the buffer, which will be higher, for example, with high variation of \(q_e\) between the two \(pH\) values. Hence, it can be seen from Figure 2 that the buffering range for acetate solution would be around \(pH\) 4.8 (the \(pK_a\) of the acetate system), while for the citrate system it would be from at least \(pH\) 2 up to \(pH\) 7.

### 3. The Buffering Function \(\tau\)

It can be seen from careful analysis of the charge balance that the terms \(\text{Wat}\) and the contributions of the Bronsted acid-base system are responsible for the buffering effect. Ions without variation of effective charge, as a function of \(pH\), will not have any influence.

![Figure 2. Effective charge of each Bronsted acid-base system, as a function of solution \(pH\). (---) acetate; (—) citrate.](image)

Accordingly, the Buffering Function \(\tau\) may be defined as follows:

\[
\tau(pH) = \text{Wat} + \sum q_i e_i c_i
\]

This simple function considers only the \(pH\) of the solution, the \(pK_a\) values, and the analytical concentrations for each Bronsted acid-base system. The value obtained does not depend on the process used to produce the solution. For example, a solution of acetate at \(pH\) 4.0 will have the same \(\tau\) value irrespective of whether the solution was prepared using acetic acid adjusted with sodium hydroxide or using sodium
acetate adjusted with hydrochloric acid (both at the same ionic strength). This is analogous to a state function.

The balance of charge can be rewritten as follows:

\[ \tau + \sum q_x c_x = 0 \]  

(12)

where the subscript \( c \) indicates the counter-ions of the Brønsted acid-base systems.

If a solution has no adjustment of pH, the term \( q_x c_x \) is zero. For example, the value of \( \tau \) for a solution of 50 mmol L\(^{-1}\) sodium acetate is equal to ~50 mmol L\(^{-1}\). In the same way, the value of \( \tau \) for a solution of 50 mmol L\(^{-1}\) acetic acid is equal to zero. These results are shown in Figure 3.

Figure 3B shows \( \tau \), as a function of pH, for solutions of the acetate system at an analytical concentration of 50 mmol L\(^{-1}\). The same curve shows the behavior of different solutions, including solutions prior to adjustment of pH and buffer solution with pH adjusted to pH 4.0, already used as an example.

Figure 3B shows \( \tau \), as a function of pH, for solutions of the acetate system at an analytical concentration of 50 mmol L\(^{-1}\). The same curve shows the behavior of different solutions, including solutions prior to adjustment of pH and buffer solution with pH adjusted to pH 4.0, already used as an example.

Figure 3. Buffering Function (\( \tau \)) according to pH. (A) Buffer solution of 50 mmol L\(^{-1}\) acetate; (____) \( \tau \) total; (-----) acetate system contribution; (------) acetate system contribution. (B) Buffer solution of 50 mmol L\(^{-1}\) citrate and 50 mmol L\(^{-1}\) acetate. (____) \( \tau \) total; (-----) acetate system contribution; (------) citrate system contribution.

The buffer strength describes the quantity of acid or base needed to vary pH between two values (initial and final values). Since a charge balance is defined for each pH condition, this parameter may be calculated considering the difference between the charge balances at each pH. Therefore, it can be expressed (and defined) as a difference in the Buffering Function (Eq. 10)

\[ q_{\Delta c} = \tau_{(pH_f)} - \tau_{(pH_i)} \]  

(10)

where subscripts \( A \) and \( B \) indicate the final (after variation of pH) and initial (buffer solution) pH values, respectively.

The buffer strength is an especially important and practical parameter since it expresses the effective influence of the analytical concentration or pH variation of the systems present in solution. In the case described above, the buffer strength for each solution may be calculated directly using Figure 3. Finally, this is, actually, a capacity parameter. So, the Kolthoff buffer capacity is just a particular case of the buffer strength (and buffer function) when the variation of pH is equal to one unit.

Buffer value

The buffer value (\( \beta \)), defined by Van Slyke, is a limit situation of the buffer strength when a variation of pH tends to zero. It may be expressed using the Buffering Function (\( \tau \))

\[ \beta = -\frac{1}{V} \frac{dn_\tau}{dpH} = -\frac{d\tau}{dpH} \]  

(11)

where the first definition requires knowledge of the volume of the solution and the amount of the external compound added to the solution (\( n_\tau \)). The second definition, which uses \( \tau \), only requires information about the buffer solution.

This parameter can be better understood by splitting it into two terms, one considering the water contribution and other considering the Bronsted acid-base systems:
of buffer strength is much easier. Kolthoff’s buffer capacity (\(\Delta B\)) may be easily expressed in terms of the use of a finite variation of pH, namely one pH unit, which parameter. Instead of a differential equation, Kolthoff proposed seems to have been an attempt to simplify use of the Van Slyke should be needed (Ming, 1984, Stumm et al., 1995) so the use of a buffer system, although there is great difficulty in attributing this parameter is most important for qualitative evaluation of the behavior of a buffer solution.

\[
\beta = -\frac{\text{d} \Delta \tau}{\text{d} \Delta \text{pH}} = -\sum C_i \left(\frac{\text{d} \tau}{\text{d} \text{pH}}\right) \tag{12}
\]

Figure 4 shows the contribution of each system (citrate and acetate) in the solution used as an example, together with the water contribution (responsible for the pseudo-buffer region) and the total additive effect. It is important to point out that this parameter is most important for qualitative evaluation of the behavior of a buffer solution.

This is a useful parameter for qualitative evaluation of a buffer system, although there is great difficulty in attributing numerical values to experimental situations since it considers an infinitesimal variation and the linear region is very limited. For a wider range of pH, the integration of this parameter should be needed (Ming, 1984, Stumm et al., 1995) so the use of buffer strength is much easier.

\[
\Delta B = -\frac{\Delta \tau}{\Delta \text{pH}(=1)} = -(\tau(\text{pH}) - \tau(\text{pH} - 1)) \tag{13}
\]

At this point, it is useful to consider the different ways in which the efficiency of the buffer can be described (Ming, 1984; Oliveira, 2009; Stumm et al., 1995). The term “capacity” has the meaning of a reservoir, or the quantity available to maintain an effect. Examples of this type of parameter are acidity, alkalinity, base (and acid) neutralizing capacity, and soil phosphate buffering capacity. In contrast, the term “intensity” is related to a specific value in a given situation, such as pH, \(E_n\), or the equilibrium concentration of a chemical species in solution.

Accordingly, the Van Slyke buffer value can be classified as an intensity parameter, while the buffer strength is a capacity parameter. Kolthoff’s buffer capacity is difficult to classify. Under certain conditions, it could be considered a type of capacity parameter, although such conditions are limited. As an intensity parameter, it is very similar to the Van Slyke buffer value, but not for infinitesimal variation of pH. Therefore, it seems likely that this parameter will fall into disuse, while buffer value and buffer strength will be used as the intensity and capacity parameters for buffer solutions, respectively. Furthermore, both can be obtained using \(\tau\).

**Mixed buffer solutions**

Evaluation and prediction of the buffering effect in solutions with several Bronsted acid-base systems, such as mixed buffer solutions, is an important application of the Buffering Function. This function enables easier calculations for this type of solution, such as in the selection of suitable systems for mixing in a particular experimental situation.

Since the \(\tau\) function considers the sum of the contributions of all the systems in the solution, the calculation of buffer strength can be performed in a wide pH range, while graphical evaluation may also be performed.

**McIlvaine buffer as an example**

The McIlvaine buffer, composed of phosphate and citrate systems, can be used as an example of a mixed buffer solution. Using classical calculation of buffer concentration, this presents an exceedingly difficult problem. A simple calculation of McIlvaine buffer composition using the XXI method was proposed (Oliveira, 2020c). However, it can be described using the Buffering Function, as follows:

\[
\tau = \tau_w + \tau_c(cit) + \tau_c(phos) + \tau_p(phos) \tag{14}
\]

where cit is the citrate system and phos is the phosphate system.

The Figure 5 shows the overall Buffering Function and the contributions of each system for a solution with analytical concentrations of 50 mmol L\(^{-1}\) for phosphate and citrate.

A buffering effect can be seen in a wide pH range (pH below 8.0). A pseudo-buffering range above pH 11 can also be seen. In other words, the range of lowest buffering is between pH 8.0 and pH 11.0. In addition, the pH resistance of a solution...
at a particular pH to the addition of another solution can be estimated directly from the graph. If a high buffering effect is required for a wider range of pH, it is easy to propose other Brønsted acid-base systems for amplification of the range, such as the borate system (pKa 9.24), while better evaluation can be made of the analytical concentration.

5. CONCLUSION

There are several parameters that can be used to describe different aspects of buffer solutions or buffering effects. All these parameters may be obtained using the Buffering Function (τ), which consequently provides a more comprehensive evaluation of the system. Using this function, the Van Slyke buffer value, Kolthoff buffer capacity, and buffer strength parameters may be compared, and the confusion related to the Kolthoff parameter may be clarified. The Buffering Function can provide a more comprehensive explanation of pH effects associated with different situations or parameters, such as acid-base titration, alkalinity, acidity, base (or acid) neutralizing capacity, or even the pH of pure solutions. Finally, it can be easily determined using widely available computer software packages.

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