Ductile fracture behavior in micro-scaled progressive forming of magnesium-lithium alloy sheet

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Abstract
Micro-scaled progressive sheet metal forming is a promising process for producing bulk microparts, given its advantages of high efficiency and low cost. To enhance forming quality and efficiency, it is important to have an in-depth understanding of the forming mechanism and model the forming process and fracture behavior accurately. However, the prediction of fracture formation in sheet materials at the micro-scale has not yet been well explored, and thus current knowledge is not sufficient to support the continued development and application of microforming technology. This study investigated progressive sheet forming of magnesium-lithium alloy sheets of different grain sizes to produce bulk microparts directly from sheet metal via shearing, extruding, piercing, and blanking. Using the Gurson–Tvergaard–Needleman (GTN) damage model, the effects of the size factor on the formation and evolution of voids were considered, and the shear-modified GTN model was established by combining Thomason’s and Lemaitre’s damage mechanics models. The modified model could predict not only the ductile fracture behavior dominated by tension under high-stress triaxiality at the micro-scale, but also the damage behavior controlled by shear deformation under low-stress triaxiality. The progressive forming process was simulated using the modified model, which was verified by experimentation and simulation. Comparisons of the experiments and simulations revealed the size effects on the forming defects and fracture behaviors of microparts during progressive sheet forming. The results show that the stress during deformation is mainly concentrated at the edge of microparts, and irregular geometric defects including burr, rollover, incline, and bulge become deteriorated with the increase of the initial grain size. This study enhances the understanding and prediction of ductile fracture in the micro-scaled progressive forming of sheet metals.

Keywords Micro-scaled progressive sheet forming · Size effect · Ductile fracture · Shear damage · GTN model

1 Introduction

Given the increasing demands for miniaturization in electronics, medical, precision instruments, aerospace, and many other industrial clusters, there is an urgent need for the development of efficient micro-manufacturing processes and its tooling [1–3]. Recent flexibility improvements in metal forming through combinations of different processes have played a vital role in the manufacturing industry [4, 5]. Tang et al. [6] demonstrated that progressive sheet microforming for the creation of bulk microparts is a promising process due to its advantages of low production cost and high efficiency, as well as the ability to obtain net shapes or near-net shapes. Notably, the positioning, forming, and blanking of dies take the same position alignment in the micro-scaled progressive sheet forming process, which ensures the dimensional accuracy and precision of the formed parts [7].
To produce bulk meso-/microparts directly using sheet metal, Chan and Fu [7] proposed meso-scaled progressive forming to solve the difficulties in handling and transporting microparts during microforming. Thus, cylindrical and flanged microparts can be produced through single-stroke shearing or multi-stage shearing and extrusion operations. Using metal sheets with different grain sizes, Fu and Chan [8] further examined the feasibility of fabricating microscaled parts with more complex features based on the flow behavior and forming characteristics of the material. Thus, they explored size effects in micro-scaled progressive forming. According to Qiu et al. [9] during the microforming process, the forming characteristics are influenced by the size effect as the size of the part decreases.

In addition to the physical experiments described above, numerous studies have been carried out to investigate the size effect on material mechanical response in the forming process [10, 11]. By conducting tensile tests of copper and aluminum alloys, Miyazaki et al. [12] concluded that flow stress decreases with a reduction in specimen thickness. Chan and Fu [13] also confirmed this phenomenon via pure copper compression experiments. Simons and Weippert [14] conducted tensile tests on thin copper foils of different thicknesses and showed that fracture strain decreases as the specimen thickness reduces. Furushima et al. [15] investigated the ductile fracture behavior of copper foils and sheets of initial thicknesses of 0.05, 0.1, 0.3, and 0.5 mm and concluded that the ductile fracture criterion at the macroscopic scale cannot be used to predict the tensile fracture of metal foils at the micro-/mesoscopic scale. Xu et al. [16] found that the deformation and fracture behavior of brass foil during the micro punching process is related not only to blanking clearance but also to grain size.

When conducting the forming process, it is important to understand the fracture mechanisms of the materials involved to support defect-free micropart design, process determination, die design, and product quality assurance [17, 18]. Therefore, it is crucial to study the constitutive models and ductile fracture criteria in the microforming process of metallic materials [19, 20]. Extensive research has been conducted on the ductile fractures of sheet metals, shedding light on various applicable ductile fracture criteria [21]. Among these criteria, Yildiz and Yilmaz [22] proved that the GTN model is a coupled fracture model based on void damage with a sound physical basis. The model was originally introduced by Gurson [23] and has been improved upon a number of times. Engel and Kstein [11] extended the GTN model by replacing the von Mises yield function with Hill’s quadratic anisotropic yield criterion. Nielsen and Tvergaard [24] introduced an extra damage term in the void evolution law to predict damage accumulation under a wide range of triaxiality. Later, Zhou et al. [25] modified the GTN model by combining Lemaitre’s damage mechanics concept with the model to represent void damage and shear damage. Xu et al. [26] made an in-depth analysis of the size effect on ductile fracture through physical experiments and established an extended GTN-Thomason model by taking account of the effects of geometric shape and grain size on micro-/mesoscale plastic deformation. Recently, Chen et al. [27] extended the GTN model by considering the effects of nucleation of shear softening and localization due to existing voids and successfully applied the extension to conduct an indentation damage simulation. Although the GTN model has been extensively developed, it is difficult to predict ductile fractures accurately under multi-stress triaxiality in micro-scaled progressive forming processes, as the model does not consider shear damage and its size effect.

In this study, a shear-modified GTN model was developed to predict the deformation and fracture behaviors in a micro-scaled progressive sheet forming process. First, a series of tensile tests were carried out on magnesium-lithium (Mg-Li) alloy specimens of different grain sizes, and the influence of grain size and stress state on ductile fracture was analyzed. The shear-modified GTN model was proposed by adding size factor and shear damage base on the GTN model. Finally, the shear-modified GTN model was embedded in ABAQUS to carry out finite element (FE) simulation of the progressive sheet forming process, and the obtained simulation results were compared with the corresponding experiment to verify the proposed model. Furthermore, the forming defects and fracture behaviors of the material in the progressive sheet forming process were discussed.

2 Research methodology

Based on the GTN model, a shear-modified GTN model was established by adding a shear correction term and combining it with the Thomason model to predict the deformation behavior of Mg-Li alloy in the progressive sheet forming process. Uniaxial tensile tests were performed on specimens with varying grain sizes. The stress–strain curve of uniaxial tension was obtained, and the experimental results were compared with the simulation results to determine the parameters related to damage and size in the modified model. Finally, the shear-modified GTN model was used to predict the fracture and deformation behavior during the progressive sheet forming process, and it was compared to the experiment to investigate the size effect on metal forming.

2.1 Modeling process

Tvergaard and Needleman [28] declared that the GTN model is a classical coupling ductile fracture criterion, which can be expressed as:
\[ \Phi = \left[ \frac{\sigma}{\sigma_0(\varepsilon)} \right]^2 + 2q_1 f^*(f) \cosh \left[ \frac{-3q_2 f^m}{2\sigma_0(\varepsilon)} \right] - \left( 1 + q_3 \left[ f^*(f) \right]^2 \right) = 0 \]  

(1)

where \( \sigma \) is the von Mises equivalent stress; \( \sigma_0 = \frac{1}{2} \sigma_{kk} \) is the hydrostatic stress; \( \sigma_0 \) is the equivalent stress of the base material; \( q_1, q_2, \) and \( q_3 \) are the coefficients; and \( f^* \) is the effective void volume fraction and defined as:

\[ f^* = \begin{cases} f & \text{if } f < f_c \\ f_c + \left( \frac{k-f_c}{k-f_i} \right) (f-f_c) & \text{if } f \geq f_c \end{cases} \]  

(2)

where \( f_c \) is the critical void volume fraction onset of void coalescence, \( \frac{k-f_c}{k-f_i} \) is employed to describe the fast loss of load capability, \( f_i = \frac{1}{q_1} \) is the void volume fraction at which the stress of the material equals zero, and \( f_f \) is the final void volume fraction when a failure occurs.

The plastic flow of the metal material is related to the cumulative plastic strain \( \varepsilon_{pl}^m \) and void volume fraction \( f \) of the matrix material. The evolution equation of equivalent plastic strain of matrix material can be obtained from the equivalent plastic work principle [25].

\[ (1-f)\sigma_m \varepsilon_{pl}^m = \sigma : d\varepsilon^p \]  

(3)

where \( \varepsilon_{pl}^m \) is the cumulative equivalent plastic strain increment of the matrix material and \( \varepsilon^p \) is macroscopic plastic strain increment.

2.1.1 The evolution process of void

The evolution process of void in the GTN model consists of two parts, namely the nucleation process of void and the void growth process under hydrostatic stress. The void increment expression is:

\[ df = df_{growth} + df_{nucleation} \]  

(4)

\[ df_{growth} = (1-f)d\varepsilon_{kk} \]  

(5)

where \( d\varepsilon_{kk} \) is the spherical portion of the strain rate.

Xu et al. [26] explored that the grain size and geometry size have an effect on the number of void nucleation using micro-scaled uniaxial tensile experiments. During the forming process, the void tends to nucleate at the internal grain boundary, but the nucleation of the void rarely occurs in the surface layer [29]. As shown in Fig. 1, when \( t/d \) (t, thickness of the sheet; \( d \), grain size) decreases, the surface grains occupy a larger proportion, and the so-called nucleation zone becomes smaller. Considering the influence of size effect on the void nucleation, a size factor was added to the void nucleation model and modified to:

\[ \begin{cases} df_{nucleation} = Ad\varepsilon_M \\ A = \frac{(1-\frac{d}{t})}{N_s} \exp \left( -\frac{1}{2} \left( \frac{\varepsilon_{N}-\varepsilon_{M}}{S_N/2}\right)^2 \right) \end{cases} \]  

(6)

where \( \varepsilon_{N}, S_N, \) and \( f_N \) are the material constant of the void nucleation model and \( \varepsilon_M \) is the mean equivalent plastic strain for nucleation. \( 1 - \frac{d}{t} \) is the size factor of material, which represents that with the increase of grain size, nucleation becomes more difficult.

2.1.2 Plastic behavior of the matrix material

The matrix material of the specimen is affected by the size effect. A large number of studies have shown that in micro-forming of metallic alloys, the size effect is characterized by material geometry (plate thickness, \( t \); cylindrical diameter, \( D \)) and grain size (\( d \)), on which the surface layer model is proposed [30]. The surface layer model is a semi-theoretical model as shown below:

\[ \begin{cases} \sigma = \eta \sigma_i + (1-\eta)\sigma_s \\ \eta = \frac{N_i}{N} \end{cases} \]  

(7)

where \( \sigma_s \) is the flow stress of the external grain, \( \sigma_i \) is the internal grain flow stress, \( N_i \) is the number of surface grains, and \( N \) is the grain number of the specimen. In micro-
mesoscopic scale, the effect of surface grains becomes more significant with the increase of \( \eta \).

Based on the surface layer model, Peng et al. [31] proposed a constitutive model considering the size effect: since the surface grains are almost unconstrained, which are treated as single crystals, while the internal grains are treated as polycrystals. Lai et al. [32] presented that the surface grain stress can be expressed in terms of crystal plasticity theory using the Hall–Petch equation for internal grain stress.

\[
\begin{align*}
\sigma_i(\bar{s}) &= m \tau_R(\bar{s}) \\
\sigma_s(\bar{s}) &= M \tau_R(\bar{s}) + \frac{\mu e_i}{\sqrt{a}}
\end{align*}
\]  

(8)

where \( m \) and \( M \) are crystal direction factors of single crystal and polycrystal, respectively. \( \tau_R(\bar{s}) \) is the principal decomposition shear stress of a single grain, \( k(\bar{s}) \) is the resistance stress of the grain boundary, and \( d \) is the grain size. For sheet metal, the proportion of surface layer grains in the material is calculated by following equation:

\[
\eta = \frac{N_s}{N} = \frac{wt - [(w - 2d)(t - 2d)]}{wt} = \frac{2d}{t} + \frac{2d}{w} - \frac{4d^2}{wt}
\]  

(9)

where \( w \) and \( t \) are the width and thickness of the sheet, respectively. In the microforming process, \( w \) is usually much larger than \( t \) and \( d \), so the size factor can be simplified to:

\[
\eta = \frac{N_s}{N} \approx \frac{2d}{t}
\]  

(10)

### 2.1.3 Void coalescence

The void coalescence was determined by the Thomason model. Thomason [33] assumes that void begins to gather when stress concentration occurs in the void gap. As shown in Fig. 2, the material is treated as a regular cylindrical unit with a height of \( 2H \), a width of \( 2L \), and a spherical void of \( 2R \) in diameter. \( \chi = \frac{R}{L} \) is the ratio of void diameter to cell width. As \( \chi \) approaches \( 1 \), the void begins to coalesce. According to Benzerga et al. [34] and Besson [35], \( \chi \) can be expressed as:

\[
\chi = \left( \frac{3}{2} \lambda_0 \left( \frac{3}{2} e_{zz} \right) \right)^{1/3}
\]  

(11)

where \( e_{zz} \) is the maximum principal strain and \( k \) is a fitting parameter used to represent material characteristics and generally equals 1. Pardoen and Hutchinson [36] pointed that \( \lambda_0 = \frac{H}{L} \) represents the spatial distribution of the void, and \( \lambda_0 \) is the most influential parameter in the process of void aggregation. Since the distribution of void is closely related to grain size and geometrical size, the height \( H \) and width \( L \) of the element body need to be discussed in combination with the size effect. During sheet metal forming, the thickness is much smaller than the dimensions in other two directions, and the fracture always occurs in the direction of thickness, so \( L \) is in the direction of thickness, and \( H \) is in the direction of main stress. The cell height \( H \) increases with the increase of grain size. Assume that \( H \) is proportional to the average diameter of the grain, which is \( 2H = C_1 d \). On the other hand, with the increase of the size factor, the grains in the thickness direction decrease, and the formation of voids decreases. So \( L \) is the thickness of the inner layer divided by the number of voids, which is \( 2L = (t - d)/N \), where \( N \) depends on void nucleation:

\[
N = \int A' \rho(d\bar{M})dt = \int \left( \frac{1}{2} \frac{d}{N} \right) \frac{1}{
\sigma_{zz}} e^{-\frac{1}{2} \frac{\sigma_{zz} - \sigma_{yy}}{\sigma_{zz}}} d\bar{M} = C_2(t - d) \rho(d\bar{M})
\]

(12)
where \( C = N \) is a constant associated with the material. Therefore, \( \lambda_0 \) can be expressed as:

\[
\lambda_0 = \frac{H_0}{L_0} = \frac{C_1 d C_2 (t - d) \rho (d \varepsilon_m)}{(t - d)} = C d \rho (d \varepsilon_m)
\]

where \( C = C_1 C_2 \) is a constant associated with the material.

### 2.1.4 Modeling process considering the shear effect

The specimens were scanned by SEM, and it was discovered that the fractured surfaces caused by different stress states had different morphological fractures [37, 38]. The fractured surfaces were covered with large and deep dimples under high stress triaxiality, indicating that void growth and internal necking were the governing rupture mechanisms. The fractured surfaces were covered with elongated small shear dimples under low triaxiality, indicating that internal void shearing was the governing rupture mechanism [39]. Li et al. [40] confirmed that while the GTN model can accurately predict the ductile fracture with high-stress triaxiality, it cannot be applied to predict the strain localization and ductile fracture with low-stress triaxiality and shear load, because it cannot reflect the void expansion and damage under shear load. To address the limitations of these models and predict ductile fracture of complex stresses, Zhou et al. [25] developed a modified GTN model by incorporating Lemaitre’s concept of damage mechanics into the void growth model. Because of its geometrical and physical significance, the model incorporating the shear damage mechanism is expressed as:

\[
\Phi = \left[ \frac{\sigma_m}{\sigma_0} \right]^2 + 2q_1 f^s(f) \cosh \left[ \frac{-3q_1 \sigma_m}{2q_1 \sigma(f)} \right] - \left[ 1 + [q_1 f^s(f) + D_1] \right]^2 - 2D_1 = 0
\]

where \( \varepsilon_j \) is the fracture strain in the pure shear state, \( \varepsilon_M \) is the matrix equivalent plastic strain, and \( n \) is a weakening index greater than 1. When \( \varepsilon_M \) becomes \( \varepsilon_j \), \( D_j \) equals to 1.

When the total damage \( D \) reaches 1, the bearing capacity of the material is lost, and fracture occurs. When there is no shear damage \( D_s = 0 \), the modified model is consistent with the original GTN model. When the material is in a pure shear state, the modified model is in the form of the Lemaitre model. The modified model is divided into two damage mechanisms, separating volume damage from shear damage. The new damage parameter \( D_s \) will increase under deviatoric stress, while \( f^s(f) \) will increase under hydrostatic stress. Figure 3 illustrates the yield surface on the p–q plane at different damage levels. It can be seen that the yield surface gradually shrinks to a point as \( q_1 f^s \) and \( D_s \) accumulate individually or simultaneously.

For general ductile metals, the shear damage increases slowly under low-stress triaxiality when the plastic strain is small and increases rapidly by the \( n \)-power when \( \varepsilon_M \) is close to \( \varepsilon_j \), and \( n \) is usually greater than 4 [41]. The coupled stress state weight function of the shear model is required to describe fracture behavior using the model under complex stress state. The established weight function dependent on the lode angle is used to calibrate different stress states in the uniaxial tensile state and shear state.

\[
dD_s = \psi(\theta, T) \frac{n(\varepsilon_M)^{n-1}}{(\varepsilon_j)^n} d\varepsilon
\]

The Lode angle function should be a non-zero value, and the negative triaxiality should be corrected [25]. The stress state weight factor function is corrected as follows:

\[
\psi(\theta, T) = \begin{cases} g(\theta) & T > 0 \\ g(\theta)(1-k) + k & T \leq 0 \end{cases}
\]

where \( k \) is a constant and \( g(\theta) \) is the weight function of the Lode angle.

\[
\begin{align*}
g(\theta) &= 1 - \frac{6 \theta}{\pi} \\
\cos(3\theta + \frac{\pi}{2}) &= \frac{27 J_1}{J_2} \\
J_3 &= (\sigma_1 - \sigma_m)(\sigma_2 - \sigma_m)(\sigma_3 - \sigma_m) = s_1 s_2 s_3
\end{align*}
\]

### 2.2 Materials and experiment

Micro-gear progressive forming experiments were carried out to validate the accuracy of the shear-modified GTN model. Prior to the progressive forming experiments, uniaxial tensile tests were performed to obtain the material’s property parameters.

#### 2.2.1 Specimen preparation

Mg-Li alloys have broad application prospects in many domains due to the unique characteristics of high strength-to-weight ratio, high dimensional stability, high specific stiffness, and good machining property. The Mg-Li alloy
sheet metal with a thickness of 1 mm was chosen as the experimental material.

The specimens in this paper were annealed at 220°C for 2 h, 300°C for 2 h, and 350°C for 2 h with argon as the shielding gas. The annealing conditions for the material and the resulted average grain sizes are listed in Table 1. Grain size is measured by Nano Measurer software, and the microstructure after annealing is shown in Fig. 4.

### Table 1 Specimen annealing conditions and the measured grain sizes

| No. | Temperature | Duration | Grain size | Grain size deviation |
|-----|-------------|----------|------------|----------------------|
| 1   | As received |          | 2.20 μm    | 0.8 μm               |
| 2   | 220°C       | 2 h      | 8.07 μm    | 1.4 μm               |
| 3   | 300°C       | 2 h      | 14.01 μm   | 2.1 μm               |
| 4   | 350°C       | 2 h      | 25.50 μm   | 3.2 μm               |

2.2.2 Tensile tests

The specimen of tensile tests is shown in Fig. 5. Any tiny surface damage will affect the grain size effect on the material fracture behavior; therefore, all specimens were cutted by electric discharge machining. The test environment was room temperature. The instrument used is an MTS platform with a data acquisition system, a 50-KN load cell, and an extensometer. The testing speed was set to 0.01 mm/s.

2.2.3 Micro-scaled progressive sheet forming experiments

A set of progressive forming dies for micropart machining was developed, as shown in Fig. 6. The whole process needs three steps, but the tooling does not need change. In the first step, the movement displacement of the punch is 2 mm to produce a gear profile. In the second step, on the basis of the first step, the downward displacement continues to form the...
flanged features on the gear parts. In the third step, the punch moves with a displacement of 1.5 mm to get the microformed gear part and the drop material produces a micro-pin.

The developed progressive microforming system was fabricated with high-speed steel. The experiment was performed on a programmable MTS tester with a maximum pressure of 50 kN. To minimize the frictional effect, machine oil was used to lubricate the interface between the die and workpiece. In order to simulate quasi-static forming, the forming speed was set to 0.01 mm/s. The progressively microformed gear parts and their dimensions are shown in Fig. 7.

3 Numerical analysis and discussion

3.1 Parameter determination

The true stress–strain curve is obtained through the uniaxial tensile test, and the results are shown in Fig. 8. It can be found that the flow stress decreases with the increase of grain size. According to Peng et al. [31], for the metal in FCC lattice, \( m \) and \( M \) in the surface layer model are taken as 2 and 3.06, respectively. For other parameters in the surface layer model, the least square method is adopted to fit. \( k(\varepsilon) \) and \( \tau_R(\varepsilon) \) are obtained as follows:

\[
\begin{align*}
    k(\varepsilon) &= k_1(\varepsilon)^{n_1} + b \\
    \tau_R(\varepsilon) &= k_2(\varepsilon)^{n_2}
\end{align*}
\]

(18)

The stress and strain equations of each \( d \) were obtained by using the swift equation fitting, and then the stress and surface grain proportion were obtained by fixing the strain. The final expressions with strain are fitted. The surface layer constitutive model can be expressed as:

\[
\sigma = \eta \left[ 2(14.7 + 156.75\varepsilon^{0.405}) \right] \\
+ (1 - \eta) \left[ 3.06(14.7 + 156.75\varepsilon^{0.405}) + \frac{190.27\varepsilon^{0.350}}{\sqrt{d}} \right]
\]

(19)

The material parameters in the shear-modified GTN model are shown in Table 2. In this model, \( q_1 \) and \( q_2 \) are related coefficients introduced into the GTN model by Tvergaard to better fit experimental data, which represent the void interaction. In general, \( q_1 = 1.5, q_2 = 1, \) and \( q_3 = 2.25 \) [42]. According to Benseddix and Imad [43], the insignificant influence factors \( f_0 \) and \( S_V \) can
be evaluated according to experience, respectively: $f_0 = 0.001$ and $S_N = 0.1$. $\chi_c$ is a critical value close to 1, indicating that the spacing of void becomes very small and microcracks form. According to Xu et al. [26], $\chi_c = 0.9$ is used in this paper to determine the void gathering. $\varepsilon_N$ represents the moment when the void nucleates at the highest rate during the deformation process. If the void nucleation is normally distributed in the uniaxial tensile test, then the intermediate strain of the stress–strain curve can be expressed as $\varepsilon_N$. Through the experimental simulation comparison of the third step of the progressive sheet forming process, the results are obtained by the inverse method $k=0.5$, $\varepsilon^2_f=0.6$, and $n=5$ [25]. Ramazani et al. [44] pointed that $C$, $f_n$, and $f_f - f_c$ can be determined by trial and error method based on the stress–strain curves of uniaxial tension test.

**Fig. 6** Experimental configuration

- (a) Testing machine and die installation
- (b) Working schematic illustration

**Fig. 7** Progressive microformed gear parts and their dimensional parameters

- (a) AS received
- (b) 8.07 $\mu$m
- (c) 14.01 $\mu$m
- (d) 25.50 $\mu$m
- (e) Design parameters

| Module | 0.4 |
| Teeth | 10 |
| Pressure angle | 20° |
| Reference circle diameter | 4mm |
3.2 FE simulation

Shear-modified GTN model was imported into ABAQUS VUMAT user subroutine using Fortran language for simulation calculations. In ABAQUS, the model is meshed using the C3D8R type, namely 8-node linear cells. Custom material parameters are set based on the calibration parameters, and the compression speed of the upper die during the simulated forming process is determined as 0.01 mm/s based on the experimental motion speed. Due to geometric symmetry, 1/4 of the formed parts are selected for calculation in the simulated forming process, which improves operational efficiency. The deformed material’s material properties are set according to the calibration parameters, and all dies are set as rigid bodies. Because the embryo is much larger than the formed part, a portion of the embryo is chosen for modeling, and the displacement of the horizontal movement of the embryo’s end is set to zero. The friction coefficient between the mold and embryo is set to 0.25.

3.3 Results and discussion

3.3.1 Validation of the mechanism-based constitutive model

Figure 8 shows the true stress–strain curve of Mg-Li alloy with different grain sizes under uniaxial tension. It can be seen that the true stress slowly increases until it reaches the peak, and then the curve decreases sharply, which means that the tensile process has ended, and the Mg-Li alloy was fractured. It is found that the flow stress of materials gradually decreases with the increase of grain size, and the plasticity becomes worse. The decrease of flow stress can be explained by the surface layer model. The smaller the size factor t/d, the more surface grains, and the smaller the material flow stress. The plastic variation of the material can be explained by the Thomason model. The larger the grain, the easier the pores are to aggregate. The plasticity of untreated materials is bad because of the irregular internal grains affected by the former processing.

Uniaxial tensile tests and forming experiments with different size factors were simulated by ABAQUS/Explicit. The true stress-strain curves from the FE simulation are shown in Fig. 8. By comparison, it can be found that the shear-modified GTN model can accurately simulate the mechanical properties of materials. Uniaxial tensile test results showed that the fracture strain of 22.5-μm grain size specimen was greater than the 14.01-μm grain size specimen, because the number of void

![Fig. 8 Comparison of flow stresses between simulation and the experimental results](image1.png)

![Fig. 9 The evolution of a void during a deformation](image2.png)

![Fig. 10 Lode parameters under different stress states](image3.png)

| Table 2 The parameters of the shear-modified GTN model |
|-----------------|-----------------|-----------------|-----------------|-----------------|-----------------|-----------------|-----------------|-----------------|
| $\varepsilon_N$ | $s_N$ | $f_0$ | $q_1$ | $q_2$ | $q_3$ | $\chi_c$ | $f_\varepsilon$ | $C$ | $f_r - f_\varepsilon$ | $\varepsilon_f^*$ | $n$ | $k$ |
| 0.3              | 0.1       | 0.001   | 1.5   | 1    | 2.25 | 0.9       | 0.1             | 0.522           | 0.113           | 0.5             | 5               | 0.5 |
nucleation decreased with the increase of grain size. The evolution of $f$ and $\chi$ is obtained and presented in Fig. 9 for the specimens with the different grain sizes. When $\chi$ reaches 0.9, the holes begin to gather, and then the specimen is necked.

As shown in Fig. 10, it can be found that the lode function under uniaxial tension is close to 0. In the first and third steps of the experiment, the lode function formed by fracture is approximately 1. Thus, it can be proved that it is feasible to use the lode function to represent the stress state. At the same time, it is found that the stress state of the specimen is always changing during the forming process. Figure 11 shows the damage accumulation under two different fracture mechanisms, and the material breaks when the total damage reaches 1. The tensile fracture is mainly caused by void-induced damage, while the shear fracture is mainly caused by shear-induced damage. Shear damage in the tensile fracture is caused by shear deformation due to stress concentration induced by necking, while void-induced damage in the shear fracture is caused by small tensile deformation at the beginning of deformation. All fracture behaviors are affected by shear- and void-induced damage, which verifies the authenticity of the shear-modified GTN model.

3.3.2 Deformation behavior and microstructure

Micro-pins and micro-gears were fabricated in this research by using the progressive microforming process as shown in Fig. 6. The micro-gear was produced by the first stamping, and the cylindrical micro-pin was produced by the final punching. In this section, the geometrical dimension, microstructure, and forming defects of the micropart were

![Graph showing damage accumulation during deformation](image1)

**Fig. 11** The accumulation of damage during deformation a Uniaxial tensile deformation process b Gear forming process

![Graph showing damage accumulation during deformation](image2)

**Fig. 12** Experimental and FE of cylindrical forming defects
The modified constitutive model was used to simulate the progressive forming via FE simulation in ABAQUS software. In microforming manufacturing processes, microparts have several forming defects, including rollover, shearing, and fracture burr. Through the comparison of simulation and experiment, it was found that the shear-modified GTN model can simulate the deformation and fracture behavior of materials well as shown in Fig. 12.

The profile and microscopic morphology of the cross-section of the fabricated micro-pins under different annealing conditions of Mg-Li alloy are shown in Fig. 13. With the increase of grain size, the geometric asymmetry of the micro-pins intensifies. At the same time, the edges of the micro-pins are fluctuating as the grain size increases. When the grain size is 25.5 μm, the surface shape formed is close to the grain shape because the deformation area becomes less grain and the deformation is controlled by a single crystal. As shown in Fig. 14, the flow stress of the material in shear decreases with increasing grain size; however, the fracture strain becomes larger with increasing grain size. Therefore, the larger the grain size, the larger the deformation of the specimen and thus the increased asymmetry of the deformed material.

The strain at the root of the tooth was also discovered to be the most visible during the forming process, as shown in Fig. 15, and the greater the strain of the material, the more visible the rollover. Deformation during gear forming is primarily concentrated at the tooth root, as shown in Fig. 16, and the larger the grain size, the smaller the strain when fracture occurs.

The material flow behavior must be investigated in order to improve the quality of micro-scaled progressive sheet forming parts. The flow pattern and geometry of
the forming defects of the progressively formed micro-part using the 300 °C annealing treatment are shown in Fig. 17. In addition to the shear surfaces formed in the first and second steps, the material underwent severe plastic deformation in the shear band formed during the second forming step. The arrow indicates the flow direction of the material; the material on the left side of the shear band was pushed into zone A, whereas the material on the right side flows into zone B. Due to the direction of flow of the material at an angle to the side wall of the die, this results in an incline of the forming surface. As shown in Fig. 15, the angle of incline becomes larger as the grain size increases. This is due to the fact that the influence of a single crystal on the overall flow of the material becomes more pronounced, resulting in an insufficient transverse flow of the material. The largest angle of an incline in the unannealed part is due to the presence of residual stress, resulting in insufficient material flow during deformation. It was also found that there was a bulge in the deformed part and that the bulge became more pronounced as the grain size increased. The bulge phenomenon is caused by the interface friction between the sheet metal and the die which would restrict the material flow near the interface.
4 Conclusion

A shear-modified GTN model was developed through experiments and numerical simulation to predict fracture and deformation behavior in a micro-scaled progressive sheet forming process. The progressive sheet forming process was used to create cylindrical micro-pins and micro-gears with flanges. The effects of grain size and stress state on the deformation behavior of Mg-Li alloy were studied from the perspectives of fracture behaviors, microstructure evolution, and forming defects. The following conclusions are drawn from the present investigation:

1. The damage accumulation in the shear-modified GTN model is induced by two independent damage parameters, respectively. The void damage is calculated by the nucleation, growth, and coalescence of the void, and the shear damage is calculated in a phenomenological way with the weight function of the Lode parameter.

2. The fracture behavior in micro-scaled progressive forming process is affected by both void- and shear-induced damage. Necking in uniaxial tensile tests could cause shear-induced fracture, and void-induced damage can also be caused by shearing process.

3. The size factor and the shear parameters are added to the GTN model to create a shear-modified model. The modified model considers not only the effect of void growth caused by hydrostatic stress on damage, but also the effect of shear stress on the damage. Finally, the accuracy of the modified model is verified by experiments.

4. With the increase of grain size, the influence of single grain on material forming is significant. The asymmetry and unevenness of the formed surface are more serious; rollover and fracture burr are even more obvious; and the angle of the extrusion part of the incline becomes larger.

Author contribution All authors contributed to the study conception and design. Material preparation, data collection, and analysis were performed by Jilai Wang, Zhifei Xiao, and Xin Wang. The first draft of the manuscript was written by Jilai Wang and Zhifei Xiao, and all authors commented on previous versions of the manuscript. All authors read and approved the final manuscript.

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Declarations

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