Biophotonic endoscopy: a review of clinical research techniques for optical imaging and sensing of early gastrointestinal cancer

Introduction

The integration of detection, characterization, diagnosis, and staging at the time of endoscopy is still an unmet clinical need. The advent of biophotonics in endoscopy has opened new horizons and created important new opportunities for the enhanced identification and biochemical characterization of disease.

A number of biophotonic techniques are currently being investigated owing to their potential to acquire biochemical information noninvasively and to image with subcellular resolution beyond what is possible with currently available techniques; however, the published experience is limited [1].

Point spectroscopy with probes inserted through the working channels of conventional endoscopes has been able to differentiate non-neoplastic from neoplastic tissues and to examine the biochemical environment of diseased tissue. Alterations in autofluorescence spectra reflect changes in the molecular composition and biochemical environment of diseased tissue. Some examples of studies of gastrointestinal disease with the use of diffuse reflectance spectroscopy (DRS), which detects changes in the physical properties of cells, include the in vivo detection of dysplasia in colon polyps [2] and Barrett’s esophagus [3, 4], and recently, near-infrared diffuse reflectance imaging has also been applied ex vivo to surgical specimens of colon cancer [5].

A further development is fluorescence lifetime imaging microscopy, often referred to as FLIM or autofluorescence, which offers the potential to improve overall diagnostic accuracy by providing label-free quantitative contrast [6,7]. Optical coherence tomography (OCT), diffuse reflectance, Raman spectroscopy, and molecular imaging.
Biophotonics is a relatively novel, interdisciplinary science that uses light-based technology to image, detect, and characterize the interplay between light and biological materials, including cells and tissues in living organisms. When light reaches the surface of a tissue, it may either be directly reflected or enter the tissue. Light is scattered within the tissue owing to small differences in the refractive index caused by cell nuclei and cell organelles. It may then exit the tissue surface as diffusely reflected light or be absorbed by molecules within the tissue. If the light is absorbed, it may be converted to fluorescence. This fluorescence light is again scattered within the tissue and may be reabsorbed by another molecule or may exit the tissue.

Thus, based on this complex interaction, biophotonic techniques can be classified mainly as scattering or fluorescence-based. This classification also takes into account the excitation wavelength used to actualize the process (ultraviolet-visible vs. near-infrared spectrum).

It is difficult at present to classify each method according to its potential clinical use and according to what diseases it is best applied because different modalities might be used for one or all elements of diagnosis and be applied to a variety of different conditions. Although individual techniques aim to prove useful for a specific aspect of the diagnostic process, there is some cluster overlap between the elements, as shown in the stylized Venn diagram in Fig. 1.

The most appropriate and useful method for classifying biophotonic endoscopic techniques is probably according to their ability to provide functional and biochemical information and/or to enhance spatial resolution. In order to present some of the relevant elements, such as confocal endomicroscopy or prototype fluorescence endoscopes [8–10], these techniques are generally referred to as molecular imaging. However, most of these diagnostic applications are still in the early stage of development.

Biophotonics uses light-based technology to image, detect, and characterize the interplay between light and biological materials, including cells and tissues in living organisms. An alternative to intrinsic optical signals is the use of exogenous labels, such as labeled monoclonal antibodies against the carcinoembryonic antigen (CEA), or the epidermal growth factor receptor (EGFR), or photosensitizers administered topically. These markers can then be imaged with a range of instruments, such as confocal endomicroscopy or prototype fluorescence endoscopes [8–10]. These techniques are generally referred to as molecular imaging. However, most of these diagnostic applications are still in the early stage of development.
signal resulting from an unknown number of endogenous fluorophores present in unknown relative concentrations. The interpretation of autofluorescence data is therefore highly challenging, typically requiring a priori knowledge of tissue structure and/or physiologic function to yield quantitative information.

Fluorescence lifetime

Fluorophores are molecules within tissue that spontaneously emit light when illuminated by light at appropriate wavelengths. When these molecules are illuminated, they can absorb energy from the incident photons, and an electron within the molecule may enter an excited state. Following light absorption, a fluorophore will remain in an excited electronic energy state for a very short period of time before returning to its ground state via the emission of fluorescent light, in a manner somewhat similar to the radioactive decay of an unstable atom. A fluorophore in the excited electronic state may also return to the ground state by a number of nonradiative processes (i.e., without the emission of fluorescence). Thus, fluorescent light from a sample does not instantaneously cease the moment that the excitation light is extinguished; rather, it decays away over a period of nanoseconds as excited fluorophore molecules in the sample return to their ground state. This period is referred to as fluorescence lifetime ($\tau$), and the energy transfer is schematically illustrated with the classic Jablonski diagram (Fig. 3a). The key point is that different fluorophores decay at different rates, and this parameter can be mapped at every pixel in an image in order to produce a fluorescence lifetime image (FLIM). Typical fluorescence lifetimes are of the order of picoseconds ($10^{-12}$ seconds) to nanoseconds ($10^{-9}$ seconds).

FLIM is a powerful means to map the decay rate of fluorescence emitted from tissue samples, providing quantitative contrast. It can be achieved for a variety of fluorescence imaging instruments, including wide-field imaging, confocal microscopy, and two-photon microscopy. Optical fiber probe-based fluorescence lifetime spectroscopy (FLS) can resolve the fluorescence emission spectrum and fluorescence decay rate to provide a wealth of label-free (i.e., no need for external contrast agents) information from biological tissue. The lifetime of a fluorophore depends on the rate of decay from the excited electronic state back to the ground state by both radiative ($\Gamma$) and nonradiative ($\kappa$) means (Eq. 1):

$$\tau = \frac{1}{\Gamma + \kappa}$$

Eq. 1

The radiative decay rate ($\Gamma$) is essentially a function of the fluorophore and is relatively unaffected by its surrounding environment. Conversely, the nonradiative decay rate ($\kappa$) depends on the local physiochemical environment of the fluorophore. It may be sensitive to a number of different parameters, such as viscosity, temperature, pH, and oxygen concentration, as all these factors may influence the intramolecular events that account for the nonradiative transition of an excited fluorophore to its ground state. Therefore, fluorescence lifetime can be influenced by, and so can be used as an indicator of, a certain fluorophore’s local environment. Thus, the measurement of temporally resolved fluorescence affords the possibility of obtaining functional as well as structural information. Another important characteristic of the fluorescence lifetime is that it is an inherently ratiometric quantity because it depends
on the intensity decay as a function of time and not on the absolute intensity. As such, unlike measurements with the intensity signal alone (e.g., standard autofluorescence), measurements of the fluorescence lifetime do not suffer from uncertainties due to variations in fluorophore concentration or excitation power. For this reason, considerable research efforts have been directed toward the development of instruments for time-resolved fluorescence spectroscopy and imaging. Most importantly, the fluorescence lifetime has been shown to help discriminate between healthy and diseased tissue [7, 11–17].

**Measurement of fluorescence lifetimes**

There are two main ways of performing FLIM: time domain and frequency domain. Fluorescence lifetime in the time domain is measured by recording the intensity decay of fluorescence after excitation with a very short pulse of light. It can be done either by time gating (Fig. 4), as is used in wide-field microscopes, or by time-correlated single-photon counting (TCSPC), as is used in confocal microscopes and spectroscopy systems (Fig. 5). Time domain measurements are the most intuitive because they involve direct measurement of the decay of fluorescence intensity over time. Frequency domain FLIM uses sinusoidally varying excitation light. The resultant fluorescence is then also sinusoidally modulated, but with a reduction in the modulation depth (and average intensity) and a shift in phase (Fig. 6).

**Instrument response function**

When a fluorescence decay measurement is performed, it should be noted that the signal received depends not only on the sample being analyzed but also on the response of the measuring system. These factors combine to form an instrument response function that must be taken into account when fitting fluorescence decays. The method used to obtain the instrument response function depends on the system being used, but it usually involves measuring the time response of a fluorophore with a short lifetime (e.g., erythrosin B, daspi) or a scatterer (e.g., Ludox).

**Displaying fluorescence lifetime imaging microscopy (FLIM) images**

Once a decay model has been fitted to every pixel within an image, it is then necessary to display the data in an accessible and informative manner. The most straightforward way to do this is to make a false-color image. In this manner an 8-bit color image is formed in which typically blue represents shorter lifetimes and red is for longer lifetimes, corresponding to a lifetime scale specified by the user. This display strategy takes no account of intensity, and therefore some important structural information may be lost; this may be remedied by merging the FLIM map with the integrated intensity image. In this way, the structural information is maintained, and pixels with low signals, which may have less accurate lifetime fits, are given less emphasis. Images are merged in LabVIEW (National Instruments, Austin, Texas, USA). An example of a FLIM map, intensity image, and merge is shown in Fig. 7.
Clinical experience

Time-resolved autofluorescence spectroscopy in the gastrointestinal tract was pioneered by Mycek et al. [11]; this in vivo study of 24 polyps (13 adenomas, 11 non-adenomas) from 17 patients used an excitation wavelength of 337 nm and detection in the range 530 to 570 nm and demonstrated shorter average lifetimes for neoplastic polyps (adenomas) than for non-neoplastic polyps (9300±400 vs. 10 500±700 picoseconds). This was the first study in the colon that demonstrated differences in fluorescence lifetime decay between nondysplastic and dysplastic tissue. The shift in fluorescence lifetime made it possible to distinguish between the two tissue types investigated with a sensitivity of 85%, a specificity of 91%, a positive predictive value of 92%, and a negative predictive value of 83%.

In work by Li et al. in ex vivo tissue, longer autofluorescence lifetimes were found in cancerous colonic tissues than in normal colon (18450 vs. 4320 picoseconds) with excitation at 397nm and detection at 635 nm. This was attributed to the contribution of protoporphyrin IX (PpIX), which is known both to accumulate in neoplastic and non-neoplastic polyps with a sensitivity of 75% and a specificity of 83% is achieved. This study was undertaken with a compact, clinically compatible endoscopic fiberoptic coupled spectrophuorometer (Fig. 8) using all-solid-state picosecond diode lasers to provide simultaneous measurements of time-resolved fluorescence spectra with two excitation wavelengths (375 and 435 nm) [15].

A current prospective in vivo study with the same FLS system is under way to determine if the trends observed ex vivo are confirmed. Initial analysis has indicated that at 435-nm excitation, polyps exhibit mean fluorescence lifetime values shorter than those of normal tissue, and although no diagnostically significant differences were observed in the signals obtained in vivo, these data are consistent with our ex vivo measurements. When different regions of endoscopically and histologically normal colon (rectum, left and right colon) were compared with respect to their spectrally averaged normalized emission spectrum and mean fluorescence lifetime, statistically significant differences were obtained for 375-nm excitation (P=0.0017), with the greatest difference observed between right and left colon (P=0.001).

Pfeifer et al. [12] used excitation wavelengths of 337 nm and 400 nm and compared the measurement of fluorescence emission spectra with fluorescence decay profiles acquired at 550 nm in vivo in 37 patients (17 at 337 nm and 20 at 400 nm) undergoing routine endoscopic surveillance for Barrett’s esophagus. In this study, time-resolved fluorescence was unable to achieve sensitivity and specificity values above 60% for discriminating high risk (carcinoma, high grade dysplasia) from low risk (low grade dysplasia, no dysplasia, or indefinite for dysplasia) tissue, whereas moderate overall accuracy was achieved with steady-state fluor-
escence only (74% sensitivity, 67% specificity and 74% sensitivity, 85% specificity for 337-nm and 400-nm excitation, respectively). Recently, Sun et al. developed and applied in vivo a clinically compatible FLIM system consisting of a rigid fiber-bundle endoscope proving a field of view of 4mm. Intraoperative imaging of head and neck squamous cell carcinoma was performed in 10 patients at 337-nm excitation and fluorescence collected in the 435- to 485-nm range with the intent of facilitating tumor margin demarcation. Head and neck squamous cell carcinoma exhibited a shorter average lifetime (1210± 40 picoseconds) than the surrounding normal tissue (1490± 60 picoseconds) [19].

Raman spectroscopy
Raman spectroscopy has been used to probe the biochemical signatures of a multitude of biological molecules. The Raman effect results from energy exchange between incident light and scattering molecules within tissue. It is also referred to as inelastic scattering because Raman scattered light has less energy than the incident light. Raman signals have typical narrow peaks that are generally associated with the vibration of a particular chemical bond in a molecule. As the bond stretches and shrinks, a shift in frequency, which is characteristic of a particular molecule, is indicated in the spectrum. Therefore, Raman spectroscopy can provide highly specific molecular fingerprint information that is chemically sensitive and label-free. Raman spectroscopy can be integrated with confocal microscopy for high spatial resolution. However, Raman signals are usually weak (cross section typically 1000 times lower than fluorescence), and images require high laser power (typically >10mW), ultrasensitive detectors, and long acquisition times (>30 minutes), which are unsuitable for in vivo clinical use.

Clinical experience
The feasibility of in vivo Raman spectroscopy for use in gastrointestinal endoscopy was first demonstrated in 2000 [20]. Raman spectra were obtained from various organs in the gastrointestinal tract, including the esophagus and colon, during endoscopy with a custom-built fiberoptic near-infrared Raman spectroscopic system at 785-nm excitation, but because of the limited set of data, only subtle differences were observed between normal and pathologic states. Later on, distinctive in vivo Raman spectra were shown by the same group in a comparison between 10 adenomas and 9 hyperplastic colon polyps with 95% accuracy [21]. Principal component and linear discriminant analyses were used to develop a diagnostic prediction model for classifying colon polyps based on their spectral emission. Raman spectra were also analyzed from 20 hyperplastic and 34 adenomatous polyps, with accuracy (93%) similar to that of the in vivo measurements. Microscopy-based Raman spectroscopy at 830-nm excitation was used to classify and discriminate between normal and pathologic states, with a particular focus on early epithelial malignancies, in a variety of organs, including larynx, esophagus, and bladder [22]. Tissues were obtained during endoscopic or surgical procedures and immediately snap-frozen. The histological assessment preceded the spectral measurements, so that only samples with clearly defined pathologies were measured. In the esophagus study, Raman spectra were measured from 50 samples classified in eight different tissue states: normal squamous epithelium, cardiac Barrett’s esophagus, fundic Barrett’s esophagus, intestinal metaplasia, high grade dysplasia, adenocarcinoma, squamous dysplasia, and squamous cell carcinoma. No agreement was achieved on samples with low grade dysplasia, so this group was excluded from the analysis. Multivariate linear discriminant anal-

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ysis was performed on the eight groups, and when the spectra were combined into three clinically significant groups (normal, Barrett’s esophagus, and Barrett’s esophagus neoplasia), 93.2% and 88.6% of the cases were correctly predicted by the three- and eight-group models, respectively. The development of a custom-built confocal Raman fiberoptic probe for endoscopic use was then presented by the same group [23]. Raman spectra were obtained from 58 esophageal biopsy specimens, and the probe performance was compared with that of a conventional spectrometer at both 2- and 10-second acquisition times. Subsequent ex vivo work with the use of this probe at 830-nm excitation in 72 esophageal samples from 35 patients, with acquisition periods of 10 and 2 seconds, achieved a 73% overall correct classification in spectrally separating histological diagnoses into three clinically significant groups: normal, low risk (Barrett’s esophagus), and high risk (dysplasia and cancer) [24]. Recent ex vivo work with the probe in 298 fresh and 39 snap-frozen esophageal specimens, collected during surgery or endoscopy from 28 patients, confirmed the ability of this system to discriminate between Barrett’s esophagus and neoplasia with high levels of sensitivity and specificity (88% and 87%, respectively) [25]. A follow-up study from the same group, of a larger number (673) of ex vivo esophageal samples collected surgically and endoscopically from 62 patients who had Barrett’s esophagus with a novel endoscopic Raman probe, has confirmed the preliminary findings, with similar sensitivity (86%) and specificity (88%) for detecting high grade dysplasia and adenocarcinoma. Interestingly, the potential for combination with narrow-band imaging was also demonstrated [26].

Using a fiberoptic Raman endoscopy system under tridimensional imaging guidance (white light endoscopy [WLE] + narrow-band imaging [NBI] + autofluorescence imaging [AFI]), an in vivo pilot study was recently conducted in 27 patients with suspected esophageal cancer [27]. Non-negativity constrained least squares minimization (NNCLSM) biomolecular modeling and linear discriminant analysis were used to develop a diagnostic algorithm for separating normal esophageal mucosa from cancer with 97% sensitivity and 95% specificity. Impressively, the full potential of moving Raman spectroscopy into real time during routine endoscopy has recently been realized in the context of a national gastric cancer screening program in Singapore, where a large number of Raman spectra (2465 normal and 283 gastric cancer) were acquired at 785-nm excitation from 305 patients [28]. The algorithm analysis developed had modest overall diagnostic accuracy, but most importantly, the study showed that real-time acquisition per measurement (<0.5 second) with a reasonably low power (1.5 W/cm²) can be achieved, which is critical for in vivo clinical applications. Previous in vivo work published by the same group, combining near-infrared autofluorescence and Raman spectroscopy, revealed significant differences in both the spectral intensities and Raman peaks of different colonic tissues, including normal colon and hyperplastic and adenomatous polyps [29]. The intensities of the adenomatous polyps were significantly lower than those of the hyperplastic polyps and normal tissue (P<0.001). The spectral shapes from different types of colonic tissues showed different decreasing trends from 810 to 1050nm. Based on previous experience [30], the authors assumed porphyrins to be the major endogenous fluorophores responsible for near-infrared autofluorescence emission in tissue, particularly in proliferative lesions [31]. Increased contents of porphyrins for the adenomatous polyps in comparison with the hyperplastic polyps and normal colonic tissue were found with the use of NNCLSM biochemical modeling.

As anticipated in pioneering studies [21,32], with further development this technique has the potential to distinguish adenomas from hyperplastic or inflammatory colonic polyps, and low grade from high grade dysplasia within Barrett’s esophagus, lesions whose diagnosis still presents major challenges in gastrointestinal pathology.

### Diffuse reflectance spectroscopy

DRS, also known as elastic scattering spectroscopy (ESS), is used to study the diffuse component of reflected light that has been scattered multiple times within the tissue sample to be investigated. A white light source, rather than a laser, is typically used to illuminate the sample, and the reflected light is collected and transmitted by optical fibers to the analyzing spectrometer. Delivery and collection fibers are usually separated by a set distance in order to allow the spectrometer to preferentially detect diffuse (rather than specular) reflections. The resulting data then consist of the diffuse reflectance of the sample as a function of wavelength.

Because the propagation of light through a biological specimen depends on its intrinsic absorptive and scattering properties, the light elastically scattered can report on the architectural changes associated with disease at both the cellular and subcellular level, such as cellular and nuclear enlargement and crowding and an increased nucleus-to-cytoplasm ratio [33].

### Clinical experience

Pioneering work with DRS was conducted by Mourant et al. in 1996 [34]. Reflectance spectra were taken in vivo from the colon in 15 patients and from the stomach in 17 patients. The ratio of the area under the reflectance curve between 540 and 580nm to that under the reflectance curve between 400 and 420nm was used to discriminate neoplastic from non-neoplastic colon tissue. The slope of the spectrum in the 435- to 440-nm range was used to reasonably separate active colitis from quiescent colitis and normal colonic mucosa. Gastric dysplasia was found in only one case, and this was separated from normal and inflamed gastric epithelium by using the ratio between 355 and 360nm to that between 630 and 635nm. When multiple linear regression was used for spectral classification, adenomatous polyps were distinguished from hyperplastic polyps with a sensitivity of 89% and a specificity of 75% [35].

Zonios et al. [2] collected diffuse reflectance spectra in vivo on adenomatous polyps and adjacent normal colon in 13 patients undergoing colonoscopy. Significant spectral differences were observed, especially around 420nm (hemoglobin absorption valley), and these were attributed to the increased hemoglobin concentration (six times higher) of polyps relative to that of normal colon. In contrast, oxygen saturation was found to be similar in both normal and adenomatous tissue.

Wallace et al. [36] measured diffuse reflectance spectra in 13 patients with a diagnosis of Barrett’s esophagus or suspected esophageal cancer. The sites measured were subsequently excised and submitted for pathologic assessment. The data were analyzed by a model in which a site was classified as dysplastic if more than 30% of the nuclei were enlarged, with enlarged defined as having a diameter greater than 10µm. Based on this model, the samples were correctly classified with a high degree of sensitivity and specificity (90% and 90%, respectively) for detecting the presence of either high grade or low grade dysplasia.
In a study by Lovat et al. [3], DRS measurements were collected in vivo from 81 patients with high grade dysplasia or early cancer within Barrett's esophagus, then matched with histological specimens taken from identical sites within the segments of Barrett's esophagus. All biopsy specimens were reviewed by three gastrointestinal pathologists and classified as low risk (no dysplasia or low grade dysplasia) or high risk (high grade dysplasia or cancer). DRS detected high risk sites with a high sensitivity of 92%, but the specificity was only 60%. The specificity increased to 79% when ESS was used to discriminate high risk sites from areas of inflammation. In a concurrent study from the same group, conducted in 45 patients undergoing colonoscopy, ESS was able to discriminate with good accuracy among the different disease groups investigated, including adenomatus from hyperplastic polyps, cancer from adenomas, inflammatory bowel disease (IBD) from normal colon, and dysplastic polyyps from IBD [37]. Low coherence enhanced backscattering (LEBS) spectroscopy, a variant of ESS, was recently proposed as an endoscopic prescreening method for colorectal cancer screening. Based on the concept of field carcinogenesis, micro-scale spectral changes were measured in biopsy specimens of endoscopically normal rectum obtained from 219 patients undergoing colonoscopy to predict whether their colon harbored cancer or advanced adenomas elsewhere [38]. LEBS-detectable alterations in endoscopically normal rectum were seen to mirror neoplasia progression in patients with no neoplasia, 5- to 9-mm adenomas, and advanced adenomas. For advanced adenomas, the LEBS signals had a sensitivity of 100% and a specificity of 80%. Further studies with an endoscopically compatible fiberoptic probe are under way for validation of these results in vivo.

**Angle-resolved low coherence interferometry**

Changes in the density and size of cell nuclei are considered characteristic biomarkers of dysplasia. By analyzing the angular distribution of the backscattered light from a sample at different depths, this technique enables the measurement of cellular and nuclear morphology and offers the potential to assess cellular structure and organization in vivo. Pyhtila et al. [39] developed a probe-based frequency-domain angle-resolved low coherence interferometry (fa/LCI) system with a subsecond acquisition time to make depth-resolved (up to 150μm) quantitative measurements of changes in the size and texture of cell nuclei in tissue samples. Three esophageal specimens from three patients, who underwent esophagectomy for Barrett's neoplasy, were measured to examine the average nuclear size and density in healthy and dysplastic tissue, and the findings were in complete concordance with histology. In vivo results [40] reported sensitivity as high as 100% and specificity of 84% for the discrimination of dysplasia with a fiber probe system for clinical fa/LCI (Oncoscope, Durham, North Carolina, USA) in 46 patients undergoing routine surveillance for Barrett's esophagus.

**Molecular imaging**

Endoscopic molecular imaging with fluorescently labeled targeted peptides is being increasingly investigated for the detection of inconspicuous dysplasia or cancer [41,42]. Ito et al. [43] used an anti-CEA antibody tagged with a novel fluorescent label (ICG-sulfo-OSu) and an infrared fluorescence endoscope to stain and image freshly resected specimens of gastric cancer from three patients. They found good correlation between the intensity of the infrared fluorescent signal and the cancerous sites, suggesting that this technique can spot cancer cells and generate a relatively strong fluorescent signal to detect small cancers.

Keller et al. [8] conducted an in vivo study in 27 patients with colonic polyloid lesions (8 adenomas, 25 carcinomas) by using a fluorescein-labeled antibody against CEA applied topically during conventional endoscopy. Fluorescence emission was visualized after 10 minutes of incubation with a standard fiberoptic endoscope modified with a 490-nm narrow-band filter positioned at the light source and a special lens containing a built-in 520-nm narrow-band filter housed in the attached camera. Although ulceration and bleeding proved to be limiting factors, this approach led to high specificity (100%), modest sensitivity (78.6%), and an overall diagnostic accuracy of 89.3%.

An indocyanine green-labeled antibody against the epithelial membrane antigen (EMA) was developed by Muguruma et al. [44]. This compound exhibited a specific fluorescence emission at 807 nm under infrared excitation (768nm) and was used to stain paraffin sections of normal esophagus. The use of molecular biomarkers in combination with confocal endomicroscopy was first investigated by Hsiung et al. [45] to develop a fluorescent probe for detecting colon cancer. They identified a specific heptapeptide sequence (VRPMPLQ), which was conjugated with fluorescein and tested in patients undergoing colonoscopy. The fluorescein-conjugated peptide was administered topically and was found to bind more strongly to dysplastic cells than to adjacent normal cells with 81% sensitivity and 82% specificity.

Fluorescein isothiocyanate (FITC)-labeled anti-EGFR antibody and CLE were used to perform fluorescence targeting in mice with subcutaneous grafts of human colorectal cancer and in 15 endoscopic/surgical specimens of human colon tissue (six of them neoplastic) incubated in labeled EGFR antibody solution [10]. In the animal model, 45 minutes after injection of the antibodies, a specific fluorescent signal from the tumor was observed in vivo when a handheld rigid confocal probe (Optiscan, Notting Hill, Victoria, Australia) was placed in contact with the tissue with an excitation wavelength of 488nm and a detection band range of 505 to 585nm. Interference from baseline tissue autofluorescence and nonspecific antibody binding was excluded by imaging the tumor before injection of the antibodies and after injection of a negative control. In the unified human tissue specimens, confocal imaging was performed mainly with the handheld probe and in two cases with a confocal endomicroscope (Pentax, Tokyo, Japan), which have characteristics in common. CLE analysis of EGFR expression, with histopathology used as the gold standard to classify the specimens, showed a statistically significant contrast ($P = 0.0035$) between neoplastic and non-neoplastic tissues, although weak fluorescence was also found in two samples of ischemia.

A similar study was performed by the same group [46] in ApcMin mice, xenograft models, and surgical specimens of patients with colorectal cancer. A vascular endothelial growth factor (VEGF)-specific signal was visualized in vivo in 13 of 15 ApcMin mice, in 9 of 10 xenograft tumors, in 12 of 13 neoplastic specimens, and in 10 of 11 normal samples collected from patients during endoscopy. Both these studies advanced the concept of the feasibility of endoscopic molecular imaging in vivo.

Recently, AFI and a fluorescently labeled lectin, *Tritiicum vulgare* agglutinin (WGA), have been used to detect changes in glycan expression on the epithelial cell surface associated with the transition from Barrett's esophagus through dysplasia to adenocarcino-
ma [47]. In particular, AFI was used to image WGA in four esophagectomy specimens obtained immediately after surgery. The specimens were intubated from the proximal end, and both white light and autofluorescence images were acquired at baseline. Fluorescein-labeled WGA was sprayed over the esophageal mucosa and imaged with 395- to 475-nm excitation. Specimens were then opened along their vertical axis and imaged with an IVIS camera (Caliper Life Sciences, Hopkinton, Massachusetts, USA) to enable the quantification of fluorescence and registration with histology. A highly significant statistical correlation between WGA fluorescence and degree of dysplasia was found ($P = 0.0002$), with areas of high grade dysplasia and cancer showing lower fluorescence intensity and WGA binding relative to areas of nondysplastic Barrett's esophagus and normal esophageal mucosa.

The toxicity of molecular probes is a critical point to consider when molecular imaging is translated into the clinical setting. This issue could significantly impair and limit the clinical application of the method in vivo. Using concentrations below those known or shown to cause toxicity in animal models and for shorter periods of time is therefore paramount. Another point to consider is the way to deliver these agents. Local application through the endoscope, as in the study by Bird-Lieberman et al. [47], would be preferable to a systemic delivery method. Considerable research is currently under way to develop innovative nontoxic or less phototoxic drugs and delivery mechanisms for fluorescently labeled probes.

### Techniques for spatial resolution enhancement

#### Optical coherence tomography

OCT is the optical equivalent of ultrasound imaging, in which ultrasound waves are replaced by light. As with endoscopic ultrasound (EUS), two main types of OCT are available – linear and radial.

The basic components of an OCT system are a low coherence light source and a beam splitter, which splits the light into two identical beams, one directed to the tissue and the other to a mirror. An interferometer is used to combine the signals coming back from the tissue and the mirror; following signal-processing steps, cross-sectional images are obtained. Contrast in OCT is essentially due to reflected or simply backscattered light from refractive index mismatches within tissue.

OCT is a high speed (approximately 4 frames per second [48]) vertical plane imaging modality that combines confocal scanning capability with low coherence interferometry, resulting in excellent rejection of scattered light and deep tissue penetration. The use of near-infrared light (from approximately 800 to 2500 nm) allows OCT to penetrate into scattering media more deeply (up to 4 mm, depending on tissue type) than confocal microscopy, with typical lateral resolution of 15 μm and axial resolution of 10 μm, although research systems have demonstrated axial resolution on the order of 1 μm [48].

#### Clinical experience

Optical discrimination of the gastrointestinal tissue layers was first described in a landmark paper by Tearney et al. [49], who collected normal and diseased tissues and imaged them with OCT. Exploratory in vivo studies by Jackle et al., using portable OCT equipment and a fiberoptic flexible probe during routine endoscopic procedures, showed distinct OCT patterns in the mucosal structure of normal esophagus, reflux esophagitis, Barrett's esophagus and Barrett’s esophagus-related adenocarcinoma [50], and colonic adenoma and adenocarcinoma [51]. Subsequently, Zuccaro et al. [52] showed that OCT could discriminate normal esophageal mucosa from cancer (both adenocarcinoma and squamous cell carcinoma).

Other studies further characterized differences in the structure of normal colon, adenomatous polyps, and hyperplastic polyps, with dysplasia outlined by a loss of tissue organization and reduced light scattering [53], and in the OCT patterns of normal colon, radiation proctitis, and adenocarcinoma [54]. OCT has been used in the detection of dysplasia in Barrett's esophagus. Modest sensitivity and specificity were reported in a prospective double-blind study using a dual-channel endoscope (68%, 82%) [55], and in a study using an OCT image scoring system based on consensus histopathological diagnoses in the detection of high grade dysplasia and intramucosal carcinoma (83%, 75%) [56]. The role of endoscopic OCT in unmasking buried glands in Barrett’s esophagus before and after radiofrequency ablation has also been described [57, 58].

This novel technique clearly has the intrinsic potential to reach the muscularis mucosa and thus discriminate noninvasive from invasive neoplasia. In a comparative study in normal tissue, Das et al. [59] showed that OCT has a higher resolution than high frequency (20–30 MHz) EUS for imaging this layer with accuracy. Accurate delineation of the muscularis mucosa would in fact assist clinicians in determining whether endoscopic therapy rather than surgery should be recommended. In vivo endoscopic OCT was also used to assess the efficacy of radiofrequency ablation in treating persistent rectal bleeding from radiation proctitis unresponsive to conventional therapy [60]. A form of OCT, termed comprehensive volumetric microscopy, has been proposed that acquires a three-dimensional microscopic image of the full thickness of the distal esophagus [31, 32]. In a preliminary study by Suter et al. [61], volumetric microscopy of the distal esophagus was performed in 86 patients referred for Barrett's esophagus screening with a custom balloon catheter (2.5 cm in diameter) that enabled spiral cross-sectional optical frequency domain imaging, a high speed second-generation embodiment of OCT. With histopathology used as the gold standard, optical frequency domain imaging showed increased sensitivity for the detection of specialized intestinal metaplasia in comparison with standard endoscopy.

Columnar epithelium and squamous epithelium in a region of heterotopic gastric mucosa (cervical inlet patch) in the upper esophagus were clearly distinguished when a through-the-scope threedimensional OCT prototype probe was used. En face images were acquired to a depth of 400 μm below the tissue surface and were compared with histology. The examination of biopsy specimens taken from the imaged lesion confirmed the endoscopic finding [62].

#### Two-photon and multi-photon microscopy

Biological tissues are, of course, inherently three-dimensional and typically have a high degree of scattering due to discontinuities in the refractive index and the heterogeneity of their constituents, which make high resolution three-dimensional imaging challenging.

Optically sectioned fluorescence imaging can be realized with single-photon confocal (visible light) or multi-photon excitation. In multi-photon microscopy (MPM), the excitation occurs through the absorption of multiple photons of lower energy (ty-
In a recent video and article from Schurmann et al. [70], fresh cancer quantitative analysis of the redox ratio reduced nicotinamide four main components was clearly visualized and compared with.

Like MPM, second harmonic generation (SHG) and third harmonic generation imaging depend on the simultaneous interplay of two or three photons with the tissue under investigation. A long excitation wavelength, typically in the near-infrared range, is required for these phenomena to take place. However, whereas in MPM two photons are absorbed to produce a single fluorescent photon, in SHG and THG the photons are scattered, not absorbed by the tissue, to produce a new photon with two (SHG) or three (THG) times the energy of the incident photons. With these techniques, because of the absence of absorption, heating and damage of the sample are less likely to occur. Another advantage is the potential for three-dimensional imaging. SHG techniques allow the imaging of “non-centrosymmetric” structures, such as collagen, with reported tissue penetration depths between 100 and 300 μm at laser excitation in the near-infrared range. Therefore, they have been increasingly applied to characterize the structural changes occurring in the extracellular matrix during disease. An example is the desmoplastic reaction that typically accompanies the progression of many cancers. Using SHG microscopy at 800-nm excitation, Zhuo et al. [71] imaged 72 fresh colonic biopsy specimens from 32 patients and were able to quantify significant changes in the circle length of the crypt basement membranes (from en face SHG images) of normal, precancerous, and cancerous colonic tissues. Another important potential application of SHG microscopy is imaging fibrosis in liver and IBD.

Coherent anti-Stokes Raman scattering microscopy

Based on contrast derived from molecular vibration, CARS has the potential to provide fast imaging on a molecular level that is label free and has three-dimensional sectioning capability. Unlike Raman spectroscopy, CARS employs multiple photons to probe the molecular vibrations and produces a signal in which the emitted waves are coherent with one another. As a result, the CARS signal is orders of magnitude stronger than spontaneous Raman emission and so can provide much faster imaging. A detailed comparison of Raman imaging and CARS microscopy was carried out by Krafft et al. [72] in ex vivo colon tissue sections, raising the intriguing prospect of a combined complementary method for label-free live-cell imaging at video-rate speed, which is ideal for most clinical applications. Although prototype endoscopes capable of collecting CARS signals have been developed [73,74], progress in delivering in vivo CARS imaging in gastrointestinal endoscopy is still awaited.

Summary

Recent advances in gastrointestinal endoscopy constitute an unprecedented leap forward in basic and clinical research for both patients and clinicians. In theory, these advancements offer the yearned-for possibility of increasing the rates of detection of high risk lesions and the ability to target biopsies and resections, and so reduce the need for biopsy, costs, and uncertainty for both patients and clinicians. However, there appear to be two separate research communities, one working with sophisticated endoscopic technology and the other with disease biomarkers, functioning independently. It would seem sensible to integrate these two approaches to identify risk predictors and determine which modality is best for each patient population. All the emerging biophotonic techniques in endoscopy, with their pros and cons and clinical experience to date, are summarized in Table 1. It is still unknown which technique or combination of techniques offers the best potential. The optimal method will probably have
the ability to survey wide areas of tissue in concert with the ability to obtain the necessary degree of detailed information provided by point measurements. This would be made possible by coupling a wide-field modality to a mode of single-point measurement.

One optical technology potentially capable of meeting these requirements is FLIM. Nevertheless, to date FLIM has been investigated in the gastrointestinal tract only ex vivo in a relatively small number of samples, and further studies are required to confirm the initial findings. Systematic studies in vivo of the fluorescence lifetime signatures in normal and diseased tissue are crucial for acquiring a complete understanding of the origin of the autofluorescence contrast observed, and for assessing the ability of fluorescence lifetime technology to provide an accurate clinical diagnosis.

Techniques such as MPM, SHG, and CARS have not yet made the transition between research and in vivo clinical application. However, the diagnostic morphologic-functional capability of MPM is superlative, and in the near future this technique is likely to be the winner. Raman spectroscopy offers the exciting prospect of revealing highly specific biochemical changes before morphologic differences between normal and diseased tissue become detectable. However, it cannot be readily extended to provide a wide-field screening tool that can image fields of view of several centimeters.

Among all the biophotonic techniques described in this review, OCT is certainly the most mature. OCT has brought optical resolution down to a few microns, enabling for the first time three-dimensional tissue microscopy in vivo during endoscopy. However, although of invaluable assistance for tissue characterization, this technique can only infer structural aspects and does not provide functional information about tissue. Thus, rather than in detection or screening, this technique may have a role in determining prognosis and predicting treatment response.

Because of the highly accurate detection of early cytological changes, DRS may have a role as a prescreening method before conventional cancer screening endoscopy to rule out the presence of a field effect and therefore identify patients potentially harboring neoplasia. Lastly, molecular imaging represents the quintessence of the application of biophotonics to endoscopy, in that molecular biomarkers and fluorescence detection are exploited for targeted diagnosis at the cellular and subcellular levels. In contrast with tissue autofluorescence alone, in which the fluorescence signal is often weak, labeled antibodies can provide highly specific contrast. However, they must be certified for human use, so that course assessments for safety and pharmacokinetics are required, and this clearly limits their immediate clinical utility.

Crucial to the clinical translation of all these techniques to endoscopy is the development of compact and real-time systems that are suitable for in vivo clinical applications. For instance, since the end of the 1990s, several FLIM endoscope designs have been proposed [75–82], and current efforts are directed to implement and validate this technology for modern endoscopy. In fluorescence-based techniques, the greatest technological barrier to overcome is the background fluorescence from the fiber probes. At the wavelengths typically used for autofluorescence studies (310 and 420nm) [83, 84], the fluorescence from the fibers occurs over the whole length of the fiber bundle, is very strong, and completely masks the fluorescence arising from the area of tissue under investigation. The limit is fixed at 420 nm, the shortest excitation wavelength currently exploitable with this implementation. One possible way to overcome the limitation is to construct fiber prototypes with lower background fluorescence so as to avoid the unwanted background fluorescence exhibited by the fiber bundle used to carry the excitation light.

Another important limitation of these techniques is that it is not known whether the proposed exposure to light (e.g., ultraviolet A) delivered to the gastrointestinal mucosal epithelium during endoscopy is safe. Strategies to overcome this limitation include restricting the average optical power at the sample in order to minimize the risk for tissue damage. There is currently no accepted limit on the exposure of internal tissues to light. In the absence of a suitable standard, the International Commission on Non-Ionizing Radiation Protection (ICNIRP) guidelines regarding skin exposure are the most appropriate ones to follow. Irradiance at power levels lower than the maximum permissible exposure (MPE) for skin is therefore recommended at present. Previous work in the literature indicates that these levels of exposure caused no increase in triphosphate-biotin nick end-labeling (TUNEL)-positive cells above baseline compared with controls in porcine esophagus and colon [85]. However, further studies of phototoxicity and in vivo applications are required to identify the most discriminating wavelengths and lifetimes in order to create a relevant diagnostic model to demonstrate performance. Other challenges are the following: (i) to develop suitable compact, portable systems that can be housed in a way that is appropriate for clinical use, allowing them to be easily sterilized and transported; (ii) to develop software tools for the analysis and interpretation of data; and (iii) to apply the instrumentation to patients. Essential for the transition of photonic approaches into clinical diagnostics is therefore the contribution of clinicians in designing, guiding, and assessing the specification, sterilization, ethical approval, and clinical use of these techniques.

Whether these techniques will soon be useful in clinical practice is still under investigation. Further validation studies are urgently awaited, and several groups worldwide are currently working toward this milestone.

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