Pretreatment TG/HDL-C Ratio Is Superior to Triacylglycerol Level as an Independent Prognostic Factor for the Survival of Triple Negative Breast Cancer Patients

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Abstract

Purpose: Previous studies have reported that the triacylglycerol (TG) level and high-density lipoprotein cholesterol (HDL-C) are connected with breast cancer. However, the prognostic utility of the TG level and the TG/HDL-C ratio (THR) as conventional biomarkers in patients with triple negative breast cancer (TNBC) has not been elucidated. In this research, we investigate and compare the predictive value of the pretreatment serum TG level and THR in TNBC patients.

Methods: We evaluated 221 patients with TNBC who had pretreatment conventional blood biochemical examinations and calculated the THR. Univariate and multivariate logistic regression analyses were used to assess the effect of the TG level and the THR on overall survival (OS) and disease-free survival (DFS).

Results: The optimal cutoff values of the TG level and the THR were determined to be 0.935 mmol/L and 0.600, respectively. As shown in a Kaplan-Meier analysis, TNBC patients with a high TG level and THR had shorter OS and DFS than patients in the low-level groups (p < 0.05). The multivariate analysis suggested that the pretreatment THR level is an independent prognostic factor of OS (HR: 1.935; 95%CI: 1.032-3.629; p = 0.040) in TNBC patients.

Conclusions: In conclusion, our data indicate that a high THR is an independent predictor and is superior to the TG level for predicting poor clinical outcomes in TNBC patients.

Key words: triple negative breast cancer; TG; THR; TNBC; prognostic factor.

Introduction

Breast cancer is a heterogeneous disease that consists of luminal A, luminal B, HER2+, and triple negative types. These disease subtypes are based on hormone receptor [estrogen (ER) and progesterone receptor (PR)] status and HER2 gene amplification [1]. In addition to surgery, oncologists employ the following three types of therapy: (1) Hormone receptor-positive patients can be treated with hormone receptor-targeted drugs (such as tamoxifen) ± chemotherapy; (2) patients with HER2 gene amplification should receive HER2-targeted therapy (such as herceptin) ± chemotherapy; and (3) patients with negative hormone receptors (ER and PR) and absence of HER2 should receive only chemotherapy.
treatment. Compared with tumors in other molecular subgroups [2-4], triple negative breast cancers (TNBC) more frequently occur in younger women (<50 years) [5-7] and have notably stronger metastatic capacity and a higher-grade pathology classification. Therefore, TNBC is considered the poorest prognosis type of all breast cancer subgroups. Owing to the varied clinical treatment responses and survival rates observed for molecular subtypes of breast cancer, research on breast cancer biomarkers and molecular classification has important significance for guiding clinical treatment and judging prognosis.

TNBC remains an intractable disease worldwide. Previous studies have reported that Wnt5a [8], NLR [9] and PELP1 [10] have significant prognostic value in TNBC. Patients with cancer usually experience dystrophy, which accounts for the survival rate of these cancer patients [11]. Serum lipids can effectively assess nutritional status [12], and lipid disequilibrium has been observed in cancer patients [13]. The potential value of conventional biochemical detection indexes has been extensively examined. For example, decreased levels of HDL may predict worse outcomes in TNBC patients [14]. Additionally, low-level plasma cholesterol, high-density lipoprotein cholesterol (HDL-C), and phospholipid levels are prognostic in patients with non-Hodgkin's lymphoma or prostate carcinoma [15].

Several studies [14,16,17] have reported that serum lipids and serum triacylglycerol (TG) levels are associated with breast cancer. However, the associations between specific lipid metabolism biomarkers, such as low-density lipoprotein, and breast cancer are inconsistent [18,19]. In addition, a limited number of studies have evaluated the significance of the pretreatment TG/HDL-C ratio (THR) in triple negative breast cancer patients as a prognostic factor of survival. Therefore, the purpose of this research was to explore whether the THR is correlated with the outcome of TNBC patients and, if this correlation exists, how the THR compares with the TG level as a prognostic factor.

Materials and methods

Patients and methods

Each participant has signed the written informed-consent before using their venous blood samples. The study was approved by the ethics boards of Sun Yat-sen University Cancer Center. We retrospectively collected the clinicopathologic data of triple negative breast cancer patients who received modified radical mastectomy from January 2004 to December 2009 at Sun Yat-sen University Cancer Center. The inclusion criteria for the study included the following: all patients had confirmed TNBC by pathology, and no patients had received any treatments before collection of the blood samples for biochemical data. The exclusion criteria for the study included the following: (1) coexisting cancer; (2) lack of original blood biochemical tests acquired before treatment; (3) overweight (BMI>25) or abnormal lipid metabolism disease; (4) lack of follow-up data; and (5) lack of other necessary information.

Clinical data collection

The medical records were queried using an electronic medical record system, and each patient’s medical history, age, BMI, menopause status, pathology parameters (such as tumor size, lymph node status, hormonal status, HER-2 status, and histological grade), and laboratory data were collected. The clinical stages of the disease were determined by the TNM staging system according to the AJCC (American Joint Committee on Cancer Classification, 7th edition, http://www.cancerstaging.org). Triple negative breast cancer was defined by pathology as ER-, PR-, and HER2-.

Biochemical determinations

A blood analyzer (Hitachi Automatic Analyzer 7600-020 [Hitachi, Tokyo, Japan]) was routinely used to test the baseline serum triglyceride and HDL-C levels of pretreatment blood samples. The ratio of the parameters (THR) was retrospectively calculated. The cut-off values of the TG level and the THR determined by the receiver operating characteristic curves (ROC) were 0.935 mmol/L and 0.600, respectively. The value was considered high or low relative to the cut-off value.

Follow-up and study endpoints

The patients were followed up by telephone interviews every 3 months for the first 3 years and then every 1 year to determine relapse or death. The first day of follow-up was defined as the date of the definite pathological diagnosis. The last follow-up date to confirm the final conditions of all available patients was 27 November 2015. One of the primary endpoints of the study was overall survival (OS), which was defined as the period of time from definite pathological diagnosis to death or the date of the last follow-up. Disease-free survival (DFS) was the other primary endpoint, which was defined as the period of time from definite pathological diagnosis to the local recurrence or distant metastasis, death, or new neoplasms.

Statistical Analysis

The optimal cutoff values for the TG level and the THR were calculated using receiver operating
Curve (ROC) analyses. The patient demographic distribution and the distribution characteristics of the TG level and the THR in various clinical variables were expressed as the mean ± standard deviation on the means of the descriptive statistics. The correlation between patient characteristics and pretreatment serum biomarkers was evaluated by unpaired t-test or one-way analysis of variance (ANOVA). The differences between proportions were examined using the Chi-squared test. The survival analyses were calculated through the Kaplan-Meier method. The discrepancy between the groups was identified by a log-rank test. The independent variables associated with OS and DFS were identified by univariate and multivariate analyses. All of the significant parameters in the univariate analyses were examined in a multivariate model after excluding the insignificant explanatory variables \( (P > 0.05) \). A \( P \)-value less than 0.05 was considered statistically significant.

**Results**

**The medical records screening process and the histologic subtype**

The screening process is depicted in Fig. 1. This study examined 502 TNBC patients who were selected during an electronic medical record review. There were 279 patients eliminated from the study because they didn’t satisfy the inclusion criteria. There were 25 patients with coexisting cancers, and 82 patients had no original blood biochemical test records before treatment. There were also 114 patients who were obese or overweight (BMI>25) or suffered from abnormal lipid metabolism diseases. There were also 27 patients excluded due to a lack of necessary information, and 33 patients had no follow-up data. Consequently, there remained 221 patients in the cohort study. The pathological classification of 188 cases (85.07%) was invasive ductal carcinoma. The detailed patient information is presented in Table 1.

### The optimal cutoff values of the pretreatment TG level and THR and the distribution of height, weight, and BMI

The optimal cutoff values of the pretreatment TG level and THR were determined using receiver operating curve (ROC) analyses (Fig. 2). The optimal TG level and THR cutoffs according to the highest Youden’s index were 0.935 mmol/L (AUC: 0.595, 95%CI: 0.519-0.670, \( p = 0.016 \)) and 0.600 (AUC: 0.622, 95%CI: 0.548-0.696, \( p = 0.002 \)), respectively. The value was considered high or low relative to the cut-off values. The height distribution showed both the low-level group and the high-level group had similar TG levels (1.57±0.06 m and 1.63±0.74 m, respectively) and THRs (1.58±0.06 m and 1.62±0.72 m, respectively). The distribution was not significant (\( p = 0.498 \) and \( p = 0.563 \), respectively). Similarly, the distribution of weight and BMI were not significantly different in the low and high TG and THR groups \( (P > 0.05) \). The distribution characteristics for the TG level and the THR with respect to height, weight, and BMI are shown in Table 2.

#### Table 1. Pathological Classification.

| Category                  | Number | Percent (%) |
|---------------------------|--------|-------------|
| Invasive ductal carcinoma  | 188    | 85.07       |
| Invasive lobular carcinoma | 2      | 0.90        |
| DCIS                      | 1      | 0.45        |
| LCIS                      | 19     | 8.60        |
| Microinvasive carcinoma   | 3      | 1.36        |
| Medullary carcinoma       | 6      | 2.72        |
| Mucinous carcinoma        | 2      | 0.90        |
| Total                     | 221    | 100.00      |

\( ^a \) DCIS: ductal carcinoma in situ; LCIS: lobular carcinoma in situ.
Table 2. The distribution characteristics of TG and THR, respectively, in Height, Weight and BMI.

| Variables | Cases (n=221) | Mean±SD | P  |
|-----------|---------------|---------|----|
|           | Height (m)    | Weight (kg) | BMI- |
| TG Low    | 89            | 1.57±0.06 | 52.16±6.29 | 21.02±2.13 |
| High      | 132           | 1.63±0.74 | 53.39±7.35 | 21.51±2.73 |
| THR Low   | 82            | 1.58±0.06 | 52.65±6.65 | 21.14±2.34 |
| High      | 139           | 1.62±0.72 | 53.04±7.15 | 21.42±2.66 |

a BMI was defined as weight (kg)/[height (m)]2; BMI: body mass index
b Using t test or ANOVA, P < 0.05 was considered statistically significant
c SD: standard deviation; TG: triacylglycerol, Low level ≤0.935 mmol/L, High level >0.935 mmol/L;
THR: triacylglycerol/high density lipoprotein-cholesterol ratio, Low level ≤0.600, High level >0.600.

Figure 2. Receiver operating curve for TG levels and THR cutoff values plotted according to survival status.

Patient clinicopathological characteristics

There were 221 women in the study, and all of them underwent surgery. The median follow-up time was 85 months (range, 2-142 months). There were recurrences in 99 patients (44.8%), and 95 (43.0%) of the 221 breast cancer patients died. The mean age was 45.7 (range, 25-79) years. The majority of the patients were younger than 50 years of age (68.8%). The correlations between the pretreatment TG level and THR, as well as the clinicopathological variables of TNBC patients are shown in Table 3. The mean level and standard deviation of the pretreatment TG level was 1.21±0.69 mmol/L. The data indicate TG is correlated with age and menopause status (p = 0.021 and p = 0.015, respectively). In addition, the older and menopausal women had higher TG levels than the comparison group (p = 0.005 and p = 0.004, respectively). The mean and standard deviation of the THR were 0.95±0.71. The results show that the THR was also associated with age and menopause status (p = 0.047 and p = 0.039, respectively). The older and menopausal patients showed higher THRs (p = 0.025 and p = 0.010, respectively). However, other variables such as tumor size, tumor status, TNM staging, lymph nodes metastasis, and histological grade were not significantly correlated with the pretreatment TG levels and THRs (all p > 0.05).

Survival and prognostic value of TG and THR

The 10-year OS and DFS rates were 56.7% and 54.3% for all 221 TNBC patients, respectively. The mean survival times were 101.8 (95%CI: 95.421-108.212) months and 92.6 (95%CI: 85.158-100.068) months, respectively (Fig. 3a, b). Furthermore, the Kaplan-Meier curve indicated that high-level TG and THR groups had worse OS and DFS rates than the low-level groups (Fig. 3 and Table 4). The univariate analysis revealed that the pretreatment TG (HR: 1.710; 95% CI: 1.099-2.661; p = 0.017) level and the THR (HR: 2.212; 95% CI: 1.373-3.565; p = 0.001) were related with OS. The data also show tumor size, nodal status, and histological grade were correlated with the pretreatment TG level and the THR in TNBC patients (Table 5). The univariate analyses revealed that a shorter DFS was associated with a high TG (HR: 1.811; 95%CI: 1.174-2.793; p = 0.007), THR (HR: 2.252; 95%CI: 1.412-3.592; p = 0.001), tumor size, nodal status, and histological grade (Table 5). However, the multivariate survival analysis model revealed the predominantly traditional predictors of OS and DFS were nodal status (OS, HR: 2.010; 95% CI: 1.305-3.097; p = 0.002; DFS, HR: 1.793; 95% CI: 1.183-2.716; p = 0.006), and histological grade (OS, HR: 1.722; 95% CI: 1.130-2.624; p = 0.011; DFS, HR: 1.740; 95% CI: 1.156-2.621; p = 0.008). The THR was an independent prognostic factor for only OS (HR: 1.935; 95% CI: 1.032-3.629; p = 0.040) (Table 6).

Discussion

Breast cancer is a complicated substantive tumor with various molecular somatotypes and clinical behaviors. Approximately 1 million people are diagnosed with breast cancer annually, and over 170,000 patients have triple negative disease [20]. Systemic chemotherapy is the only choice for patients with TNBC, and the survival rate is still poor compared with other subtypes of breast cancer. Therefore, specific biomarkers are required to guide treatment and to evaluate patient prognosis. The association of plasma lipids and cancer has recently been intensively studied.
Table 3. Correlation between pretreatment triacylglycerol and THR level and clinicopathological variables of triple negative breast cancer patients.

| Variables          | Cases  | TGa (mmol/L) | Patients, n (%) | THRb | Patients, n (%) |
|--------------------|--------|--------------|-----------------|------|-----------------|
|                    | (n=221) | Mean±SDc     |                  | Mean±SDc |                  |
| Age (years)        |        |              | P-value          | P-value |                  |
| <=50               | 152    | 1.12±0.63    | 0.005*           | 0.021* | 0.025*          |
| >50                | 69     | 1.40±0.76    |                  | 1.11±0.78 |                  |
| Gender             |        |              |                  |        |                  |
| Female             | 221    | 1.21±0.69    | 0.004*           | 0.015* | 0.010*          |
| no                 | 129    | 1.10±0.61    |                  | 0.95±0.71 |                  |
| Tumor Size (cm)    |        |              |                  |        |                  |
| <=2                | 72     | 1.23±0.79    |                  | 0.96±0.87 |                  |
| >2                 | 149    | 1.21±0.64    |                  | 0.95±0.62 |                  |
| Tumor status (T)   |        |              |                  |        |                  |
| T1                 | 67     | 1.17±0.76    | 0.134            | 0.224  | 0.085           |
| T2                 | 123    | 1.20±0.64    |                  | 0.92±0.81 |                  |
| T3                 | 17     | 1.13±0.44    |                  | 0.95±0.64 |                  |
| T4                 | 14     | 1.62±0.87    |                  | 1.41±0.86 |                  |
| TNM Stagingd       |        |              |                  |        |                  |
| I                  | 48     | 1.07±0.61    | 0.301            | 0.190  | 0.143           |
| II                 | 117    | 1.25±0.70    |                  | 0.77±0.48 |                  |
| III                | 51     | 1.23±0.68    |                  | 0.91±0.63 |                  |
| IV                 | 5      | 1.57±1.11    |                  | 1.00±0.79 |                  |
| LNMd               |        |              |                  |        |                  |
| Negative           | 117    | 1.20±0.67    | 0.688            | 0.808  | 0.362           |
| Positive           | 104    | 1.23±0.71    |                  | 0.91±0.63 |                  |
| Histological grade1 |        |              |                  |        |                  |
| G1/G2              | 115    | 1.15±0.56    | 0.175            | 0.363  | 0.055           |
| G3                 | 106    | 1.28±0.80    |                  | 0.86±0.54 |                  |

| Variables          | OSa (months) | DFSb (months) |
|--------------------|--------------|---------------|
|                    | Mean±SD     | Mean±SD       |
| Total              | 0.016*       | 0.006*        |
| Low level          |              |               |
| High level         | 89           | 95.603        |
| THRb               | 0.001*       |               |
| Low level          | 82           | 115.342       |
| High level         | 139          | 93.771        |

Table 4. Triacylglycerol level and THR value in triple negative breast cancer patients by Kaplan-Meier survival analysis (log-rank test).

| Variable          | Case  |
|-------------------|-------|
|                   | OSa   |
|                   | Mean  |
|                   | Median|
|                   | P-value|
|                   | DFSb  |
|                   | Mean  |
|                   | Median|
|                   | P-value|
| Total             | 221   |
| TGa               | 0.016*|
| Low level         |       |
| High level        |       |
| THRb              | 0.001*|
| Low level         |       |
| High level        |       |

| Variables          | OSa   | DFSb   |
|--------------------|-------|--------|
|                    | HR    | 95%CI  |
|                   | p     |
|                   | HR    | 95%CI  |
|                   | p     |
| Age (years), (≥50 vs>50) | 1.309 | 0.858-1.997 | 0.211 | 1.337 | 0.884-2.024 |
| Menopause (no vs yes) | 0.918 | 0.599-1.407 | 0.694 | 0.916 | 0.603-1.391 |
| Tumor Size (cm), (≥2.5 vs >2.5) | 1.277 | 1.502-3.451 | <0.001* | 2.049 | 1.370-3.064 |
| Nodal status (N>0/N0) | 1.918 | 1.269-2.899 | 0.002* | 1.884 | 1.260-2.818 |
| Histological grade1 (G1/G2/G3) | 1.710 | 1.099-2.661 | 0.017* | 1.811 | 1.174-2.793 |
| TG (High)/Lowb | 2.212 | 1.373-3.565 | 0.001* | 2.252 | 1.412-3.592 |

| Variables          | OSa   | DFSb   |
|--------------------|-------|--------|
|                    | HR    | 95%CI  |
|                   | p     |
|                   | HR    | 95%CI  |
|                   | p     |
| Age (years), (≥50 vs>50) | 1.309 | 0.858-1.997 | 0.211 | 1.337 | 0.884-2.024 |
| Menopause (no vs yes) | 0.918 | 0.599-1.407 | 0.694 | 0.916 | 0.603-1.391 |
| Tumor Size (cm), (≥2.5 vs >2.5) | 1.277 | 1.502-3.451 | <0.001* | 2.049 | 1.370-3.064 |
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| Histological grade1 (G1/G2/G3) | 1.710 | 1.099-2.661 | 0.017* | 1.811 | 1.174-2.793 |

Table 5. Univariate COX regression analysis for Overall Survival and Disease-free Survival in patients with triple negative breast cancer.
Table 6. Multivariate COX regression analysis for Overall Survival and Disease-free Survival in patients with triple negative breast cancer.

| Variables                        | HRa   | 95% CIa | p value | HRa   | 95% CIa | p value |
|----------------------------------|-------|---------|---------|-------|---------|---------|
| Tumor Size (≤2.5 vs >2.5)        | 1.280 | 0.777-2.109 | 0.333 | 1.387 | 0.853-2.258 | 0.187 |
| Nodal status (N>0/N=0)           | 2.010 | 1.305-3.097 | 0.002* | 1.793 | 1.183-2.716 | 0.006* |
| Histological grade (G1-G2/G3)b   | 1.722 | 1.130-2.624 | 0.011* | 1.740 | 1.156-2.621 | 0.008* |
| TG (High/Low)c                   | 1.169 | 0.650-2.100 | 0.602 | 1.294 | 0.728-2.301 | 0.380 |
| TG (High/Low)c                   | 1.935 | 1.032-3.629 | 0.040* | 1.806 | 0.974-3.349 | 0.061 |

* p < 0.05, statistically significant

a OS: overall survival; DFS: disease-free survival; HR: hazard ratio; 95% CI: 95% confidential interval
b G1: well differentiated; G2: moderately differentiated; G3: poorly differentiated
c TG: triacylglycerol, Low ≤0.935 mmol/L, High >0.935 mmol/L;
THR: triacylglycerol/high density lipoprotein-cholesterol ratio, Low ≤0.600, High >0.600.

Figure 3. Kaplan–Meier estimates of the probability of survival for patients with triple negative breast cancer. (a) The overall survival (OS) rate of all 221 TNBC patients (b) The disease-free survival (DFS) rate of all 221 TNBC patients (c and d) The overall survival (OS) rates and disease-free survival (DFS) rates of the TNBC patients with different TG levels (e and f) The overall survival (OS) rates and disease-free survival (DFS) rates of the TNBC patients with different THR statues.
Metabolism and related lipid applications have been reported for several kinds of cancer, including TNBC [21,22]. In the current study, some data indicated that 59.7% and 62.9% of TNBC patients showed elevated TG levels and THR ratios, respectively. Patient lipid profiles are affected by obesity [23], weight, height, and BMI, which may be confounding factors and have no significant differences between groups (Table 2). The univariate analysis revealed the levels of the TG level and the THR were prognostic determinants of TNBC. Furthermore, the THR was considered to be an independent predictive factor for OS in TNBC patients in the multivariate analysis. A high THR also yielded prognostic information that was superior to the TG level for the prediction of adverse outcomes.

Lipids are composed of various types of biomolecules with many important characters in energy storage, structural composition, and signal transduction. In the clinic, blood biochemical examinations and lipid profiling are regularly tested due to their popularly established correlation with cardiovascular diseases. Triacylglycerol (TG) and cholesterol are commonly regarded as the most critical plasma lipids [24]. Previous studies have also documented the connection of serum lipids with various kinds of cancer [25-28].

Lipids are known to have an important effect in tumor development and progression [29]. Actively proliferating tumor cells need a continuous supply of lipids for membrane biogenesis [29], and non-proliferating cells require abundant lipids for enhanced signaling and apoptosis resistance [30]. Previous studies indicated that lysophosphatidic acid is an accessory for TG/free fatty acid cycling that can activate NFκB through the G-protein coupled receptor (GPCR) pathway [31]. NFκB controls the expression of the anti-apoptotic proteins Bcl-2 and Bcl-xl in many cell types [32]. Multiple previous studies [26,27,33] have shown that serum TG levels are increased in many kinds of cancers. Additionally, published data [16,34] show that TG levels are strongly related with an enhanced risk of breast cancer death. This finding is consistent with our observations (Fig. 3 and Table 4). Although both the TG level and the THR were predictive factors, only the THR was considered to be an independent indicator of OS in TNBC patients (Table 6). Several studies have also indicated that low levels of HDL-C are correlated with poor prognosis in many cancers [35-38]. Although a clear mechanism is unknown, several anti-tumor mechanisms of HDL are being investigated. HDL-C is an integral component of host immunity. HDL-C induces the transcription factor activator of transcription factor 3 (ATF3), which downregulates the expression of Toll-like receptor (TLR)-mediated tumor-promoting inflammation and promoters of tumor survival signals [39]. Conversely, HDL-C can cooperate with the adenosine triphosphate-binding cassette (ABC) transporters ABCA1 and ABCG1 to inhibit the proliferation of hematopoietic stem cells and multipotent progenitor cells [40]. Cumulatively, the abovementioned findings suggest that lipid and cholesterol homeostasis may affect immune responses that impact cancer proliferation and apoptosis. Future studies are required to increase our understanding of the role of THR in TNBC.

There are limitations in this study. First, this is a retrospective study based on a single-institutional database. Thus, the study has reduced power, and the eligibility criteria were established to minimize the selective bias. Moreover, the detailed mechanisms and the biological significance of the THR in TNBC patients require further study.

In conclusion, this retrospective cohort study is the first report to evaluate the prognostic value of the pretreatment serum TG level and THR in TNBC. The findings of our study demonstrate that the THR is an independent predictive biomarker for OS in TNBC patients and has an advantage over the serum TG level. Further clinical trials including prospective and multiple center studies are needed to better evaluate TNBC patients.

**Abbreviation**

TG: triacylglycerol; HDL-C: high-density lipoprotein cholesterol; THR: TG/HDL-C ratio; TNBC: triple negative breast cancer; DCIS: ductal carcinoma in situ; LCIC: lobular carcinoma in situ; TNM: tumor node metastasis; BMI: body mass index; ER: estrogen receptor; PR: progesterone receptor; ROC: receiver operating curve; AUC: area under the curve; HR: hazard ratio; 95%CI: 95% confidential interval; OS: overall survival; DFS: disease free survival; NR: not reached.

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**Competing interests**

The authors declare that they have no competing interest to disclose.
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