Spin reorientation in the square-lattice antiferromagnets RMnAsO (R = Ce, Nd): Density functional analysis of the spin exchange interactions between the rare-earth and transition-metal ions

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Abstract

The spin reorientation (SR) phenomenon of the square-lattice antiferromagnets RMnAsO (R = Ce, Nd) was investigated by analyzing the spin exchange interactions between the rare-earth and transition-metal ions (R$^{3+}$ and Mn$^{2+}$, respectively) on the basis of density functional calculations. It is found that the symmetry and strength of the Dzyaloshinskii-Moriya (DM) interaction are determined primarily by the partially filled 4f states of the R$^{3+}$ ions, and that the DM and biquadratic (BQ) exchanges between the R$^{3+}$ and Mn$^{2+}$ ions are unusually strong and control the observed spin reorientation phenomenon. Below their SR temperature, the Mn$^{2+}$ and Ce$^{3+}$ moments are orthogonal in CeMnAsO but are collinear in NdMnAsO, because the DM interaction dominates over the BQ interaction for CeMnAsO while the opposite is the case for NdMnAsO. Experiments designed to test the implications of our findings are proposed.
1. Introduction

The energy spectrum of a magnetic solid consisting of ions with unpaired spins is commonly described by considering the isotropic bilinear (Heisenberg), the antisymmetric (Dzyaloshinskii-Moriya), and anisotropic exchange between various pairs of its spin sites, although some inadequacy of this approach has been pointed out in the studies of La$_2$CuO$_4$. For magnetic ions with spin $S > 1/2$, it may become necessary to rectify the inadequacy of using the Heisenberg exchange $\sum_{i<j} J_{ij} \vec{S}_i \cdot \vec{S}_j$ by including the biquadratic (BQ) exchange $\sum_{i<j} K_{ij} (\vec{S}_i \cdot \vec{S}_j)^2$. The Dzyaloshinskii-Moriya (DM) exchange $\sum_{i<j} D_{ij} \cdot (\vec{S}_i \times \vec{S}_j)$ is responsible for a variety of interesting magnetic phenomena such as spin canting in antiferromagnets, ferroelectric polarization induced by chiral magnetic order and magnon quantum Hall effect, to name a few. In general, the strength of the DM exchange is often estimated by $|D_{ij} / J_{ij}| \approx \Delta g / g$, where $\Delta g$ is the contribution of the orbital moment to the $g$-factor and is commonly believed to be at most 1/10 of the Heisenberg exchange. Similarly, the strength of the anisotropic exchange is estimated by $(\Delta g / g)^2$ and is at most 1/10 of the DM exchange.

Exchange interactions between TM and rare-earth (RE) ions have been less extensively studied than those between TM ions. So far, studies on the DM exchange have been mostly concerned with interactions among identical TM ions. Consequently, the DM exchange between TM and RE ions is not well understood. The anisotropic exchange between RE and TM ions has been examined in connection with the spin reorientation (SR) phenomenon, for example, involving the Nd$^{3+}(f^3)$ and Cu$^{2+}(d^9)$ moments in the square lattice antiferromagnet Nd$_2$CuO$_4$, in which two Nd$^{3+}$ ions are located above and below every square of Cu$^{2+}$ ions forming a Cu$_4$Nd$_2$ square bipyramid (Fig. 1a). In the ordered magnetic states of Nd$_2$CuO$_4$ (Fig. 1b), in which the
Cu$^{2+}$ ions form a square antiferromagnetic lattice, the net Heisenberg exchange between the Nd$^{3+}$ and Cu$^{2+}$ ions vanishes. The DM exchange between them also vanishes because the DM interaction of one Nd$^{3+}$ ion lying above each Cu$_4$ square is canceled by that of the other Nd$^{3+}$ ion located below the Cu$_4$ square. A nonzero DM exchange would have resulted if the two Nd$^{3+}$ ions lying above and below each Cu$_4$ square had opposite spin directions. Thus, the anisotropic exchange$^{1,11}$ is left as the only remaining exchange, provided that the BQ exchange is negligible.

A nonzero DM interaction between RE and TM ions can be realized in the square-lattice antiferromagnets NdMnAsO$^{16,17}$ and PrMnSbO$^{18}$ which are analogous in their crystal structure to the iron arsenide superconductors.$^{19,20}$ In RMnAsO (R = Nd, Pr), each square sheet of high-spin Mn$^{2+}$ ($d^5$, $S = 5/2$) ions (parallel to the ab-plane) is sandwiched between two square sheets of As$^{3–}$ ions to form an As-Mn-As slab (Fig. 2a), and each square sheet of O$^{2–}$ ions (parallel to the ab-plane) between two square sheets of R$^{3+}$ ions to form a R-O-R slab (Fig. 2a). The As-Mn-As slabs alternate with the R-O-R slabs along the c-direction such that each R is located above the center of an As$_4$ square, and each As above the center of a R$_4$ square (Fig. 2a). Consequently, the RE ions R$^{3+}$ ions lying above and below the square sheet of the Mn$^{2+}$ ions occupy the positions of neighboring Mn$_4$ squares, with each R atom forming Mn$_4$R square pyramid (Fig. 2b). The Mn$^{2+}$($d^5$) ions in the square lattice of RMnAsO (R = Nd, Pr) undergo an antiferromagnetic ordering at high temperatures ($T_N = 359$ and 230 K for R = Nd and Pr, respectively) with the Mn moments oriented along the c-axis.$^{16}$ NdMnAsO and PrMnSbO undergo a SR transition at low temperatures ($T_{SR} = 23$ K and 35 K, respectively), below which the Mn moments rotate into the ab-plane. Simultaneously, the RE moments occur in the ab-plane with ferromagnetic coupling in each sheet of RE atoms parallel to the ab-plane, and two such sheets straddling each sheet of the Mn atoms have their moments antiferromagnetically coupled (Fig. 3). CeMnAsO consists of
Ce\(^{3+}\)(f\(^3\)) and Mn\(^{2+}\)(d\(^5\)) ions, is isostructural with NdMnAsO, and undergoes an analogous SR at \(T_{SR} = 34\) K.\(^{21,22}\) LaMnAsO exhibits an antiferromagnetic ordering of the Mn moments similar, as found RMnAsO (R = Ce, Nd). However, with no moment on La\(^{3+}\), LaMnAsO does not undergo a SR on cooling and keeps the Mn moments aligned along the c axis.\(^{17}\) This indicates that the SR in NdMnAsO and CeMnAsO is caused by the interaction between the R\(^{3+}\) and Mn\(^{2+}\) ions. There is an important difference between the magnetic structures of CeMnAsO and NdMnAsO below \(T_{SR}\), namely, the R\(^{3+}\) and Mn\(^{2+}\) moments are orthogonal in CeMnAsO\(^{21,22}\) but are collinear in NdMnAsO.\(^{17}\)

In the present work we probe the cause for the SR of RMnAsO (R = Ce, Nd) on the basis of density functional theory (DFT) calculations. We show that the DM and BQ exchange interactions between the R\(^{3+}\) and Mn\(^{2+}\) ions are strong and govern the nature of their SR phenomenon, and that the DM interaction dominates over the BQ interaction in CeMnAsO, but the opposite is the case for NdMnAsO. In addition, we explore the implications of our findings that can be tested by further experiments and theoretical analyses.

### 2. Interactions between rare-earth and transition-metal ions

#### A. Nature of the DM exchange

Let us examine under what condition the DM exchange between the R\(^{3+}\) and Mn\(^{2+}\) ions of RMnAsO (located respectively at sites 0 and i of the Mn\(_4\)R square pyramid, Figs. 3a and 3c) can become strong. The DM exchange between R\(^{3+}\) and Mn\(^{2+}\) in a Mn\(_4\)R square pyramid is written as

\[
\mathbf{D}_{0i} \cdot (\mathbf{S}_0 \times \mathbf{S}_i) \quad (i = 1 - 4)
\]

with the DM vector expressed as

\[
\mathbf{D}_{0i} = \lambda \mathbf{J}_{0i} (\delta \mathbf{L}_0 - \delta \mathbf{L}_i)
\]

where \(\lambda\) is the spin-orbit coupling (SOC) constant of the magnetic ions, while \(\delta \mathbf{L}_0\) and \(\delta \mathbf{L}_i\) are essentially the unquenched orbital momenta of the magnetic ions at 0 and i, respectively.\(^{23}\) Thus, the
magnitude of $\mathbf{D}_{0i}$ can be increased by increasing the $(\delta \mathbf{L}_0 - \delta \mathbf{L}_1)$ value. For a $S=5/2$ TM ion (e.g., Mn$^{2+}$), $L = 0$ to a first approximation so that $\delta \mathbf{L} \approx 0$. For a RE ion $R^{3+}$, the quenching of the orbital angular momentum is generally weak. For the f-orbitals of a $R^{3+}$ ion overlap poorly with the orbitals of its surrounding ligands so that its spherical electron density distribution is weakly distorted by the surrounding ligands. Therefore, the unquenched orbital momentum $\delta \mathbf{L}$ of a RE ion $R^{3+}$ can be large. Therefore, the DM vector for the exchange between such TM and RE ions can be approximated by

$$\mathbf{D}_{0i} \approx \sqrt{\lambda_0 \lambda_1} \mathbf{J}_{0i} (\delta \mathbf{L}_0 - \delta \mathbf{L}_1) \approx \sqrt{\lambda_0 \lambda_1} \mathbf{J}_{0i} \delta \mathbf{L}_0. \quad (1)$$

Since the SOC constant of 4f ions is about three times larger than that of 3d ions,$^{24}$ such combinations of RE and TM ions would increase the length of the associated DM vector. The use of a $S=5/2$ TM ion is also favorable in strengthening the DM exchange, because it can increase the length of the vector $(\mathbf{S}_0 \times \mathbf{S}_i)$.

When the spins of the RE and TM ions are both large, the BQ exchange can become strongly enhanced because it is proportional to $(\mathbf{S}_0 \cdot \mathbf{S}_i)^2$. Therefore, it is an important issue whether the DM or BQ exchange dominates, because the DM exchange favors an orthogonal arrangement between the RE and TM moments whereas the BQ exchange favors a collinear arrangement.

**B. DM and BQ exchange energies**

In their powder neutron diffraction study of NdMnAsO,$^{16}$ Marcinkova et al. were unable to resolve whether the ordered Nd and Mn moments below $T_{SR} = 23$ K, which lie in the ab-plane, are collinear (Fig. 3b) or orthogonal (Fig. 3c or 3d). However, Emery et al.$^{17}$ have recently
reported that the ordered Mn and Nd moments below T_{SR} have the collinear arrangement depicted in Fig. 3b. For the convenience of our discussion, the ab-plane collinear arrangement in Fig. 3b will be referred to as the //ab-C arrangement, the ab-plane orthogonal arrangement in Fig. 3c as the //ab-O1 arrangement, and the alternative ab-plane orthogonal arrangement in Fig. 3d as the //ab-O2 arrangement. The latter arrangement results from the //ab-O1 arrangement by reversing the directions of the RE moments while keeping the Mn moments unchanged. The recent neutron powder diffraction study \(^\text{17}\) showed that the Mn moments of LaMnAsO at room temperature are parallel to the c-axis, as found for those of NdMnAsO between T_{SR} and T_{N}.

We now consider the energies of the ab//C, ab//O1 and ab//O2 spin arrangements of RMnAsO (R = Ce, Nd), which we denote as E(ab//C), E(ab//O1) and E(ab//O2), respectively, in terms of the spin Hamiltonian defined by the Heisenberg, BQ and DM spin exchange interactions

\[
\mathbf{H_{spin}} = \sum_{i<j} J_{ij} \mathbf{S}_i \cdot \mathbf{S}_j + \sum_{i<j} K_{ij} (\mathbf{S}_i \cdot \mathbf{S}_j)^2 + \sum_{i<j} D_{ij} (\mathbf{S}_i \times \mathbf{S}_j) .
\] (2)

(With the above definition, ferromagnetic and antiferromagnetic Heisenberg exchanges are given by \(J_{ij} < 0\) and \(J_{ij} > 0\), respectively.) Then, the net Heisenberg exchange between the R\(^{3+}\) and Mn\(^{2+}\) ions is zero for the //ab-C arrangement, and also for the ab//O1 and ab//O2 arrangements due to their orthogonal spin arrangement. For the ab//C arrangement (Fig. 3b), the DM exchange energy (\(E_{DM}\)) is zero but the BQ exchange energy (\(E_{BQ}\)) is not, because the R\(^{3+}\) and Mn\(^{2+}\) moments are collinear. For the //ab-O1 and //ab-O2 arrangements, \(E_{BQ} = 0\) because the R\(^{3+}\) and Mn\(^{2+}\) ions moments are orthogonal, and their DM energies are nonzero and are opposite in sign. Therefore,

\[
2 |E_{DM}| = |E(//ab-O1) - E(//ab-O2)|
\] (3)
Let us use the notation //ab-O_l to indicate the lower-energy one of the //ab-O1 and //ab-O2 arrangements. Then the energy difference between the //ab-C and //ab-O_l states has both the BQ and the DM contributions. Thus,

$$E_{BQ} = E(/\!\!/ab-C) - E(/\!\!/ab-O_l) - |E_{DM}|$$

Consequently, one can evaluate $E_{DM}$ and $E_{BQ}$ by determining the relative energies of the //ab-C, //ab-O1 and //ab-O2 arrangements on the basis of DFT+U+SOC calculations.

3. Analysis of the electronic and magnetic structures

A. Computational details

We examine the electronic structures of LaMnAsO, CeMnAsO and NdMnAsO on the basis of DFT electronic structure calculations. For LaMnAsO, we employed the frozen-core projector augmented wave method encoded in the Vienna Ab initio Simulation Package 25 with the generalized-gradient approximation 26 for the exchange-correlation functional, the plane-wave cut-off energy of 400 eV, and 27 k-points for the irreducible Brillouin zone. To describe the electron correlation in the Mn 3d states, the DFT plus on-site repulsion $U$ (DFT+U) method 27 was used with effective on-site Coulomb repulsion $U = 4.5$ eV on the Mn atom, a representative value for Mn. 28,29 The threshold for the self-consistent-field convergence of the total electronic energy was $10^{-6}$ eV.

To evaluate the Heisenberg exchange interactions between the $R^{3+}$ and $Mn^{2+}$ ions in RMnAsO ($R = Ce, Nd$), it is necessary to treat the $f$-electron of the $R^{3+}$ ions explicitly. Thus, for RMnAsO ($R = Ce, Nd$), we carried out DFT+U calculations (with $U = 4.5$ and 5.4 eV on the Mn and R atoms, respectively 30) by using the full-potential linearized augmented plane wave method encoded in the WIEN2k package 31 with 64 k-points for the irreducible Brillouin zone, the
threshold of $10^{-6}$ Ry for the energy convergence, the cut-off energy parameters of $R_{K_{\text{max}}} = 7.0$ and $G_{\text{max}} = 12$, and the energy threshold of -6.0 Ry for the separation of the core and valence states. We use the following sets of basis orbitals: [Ar] 3d$^5$ 4s$^2$ for Mn, [Xe] 4f$^3$ 6s$^2$ for Ce, [Ar] 3d$^{10}$4s$^2$ 4p$^3$ for As, and [He] 2s$^2$2p$^4$ for O. The muffin-tin sphere radii used are 2.5, 2.34, 2.25, and 2.08 for Mn, Ce, As, and O, respectively. To determine the spin orientations of the $R^{3+}$ and $Mn^{2+}$ ions and evaluate the DM interaction energies of $RMnAsO$ ($R = Ce, Nd$), we perform DFT+U plus SOC $^{32}$ (DFT+U+SOC) calculations.

**B. Electronic structures**

The total density of states (DOS) plots obtained from the DFT+U+SOC calculations for the //ab-C spin arrangement of CeMnAsO and NdMnAsO are presented in Figs. 4a and 5a, respectively. The partial DOS (PDOS) plots obtained for the Mn 3d and the Ce 4f states are given Figs. 4b and 4c, respectively, and those obtained for the Mn 3d and the Nd 4f states in Figs. 5b and 5c, respectively. The upper and lower panels in each diagram refer to the up-spin and down-spin states, respectively. Both CeMnAsO and NdMnAsO exhibit a band gap, and hence are magnetic insulators. The PDOS plots for the Mn 3d states show that the up-spin states are all filled but the down-spin states are all empty, consistent with the presence of high-spin $Mn^{2+}$ ions (i.e., $S = 5/2$) in RMnAsO ($R = Ce, Nd$). The PDOS plots for the Ce 4f and Nd 4f states are consistent with the Ce$^{3+}$($t^3$) and Nd$^{3+}$($t^3$) electron counts, respectively (for further discussion, see below).

**C. Magnetic anisotropy of individual magnetic ions**
On the basis of DFT+U+SOC calculations we first estimate the magnetic anisotropy of the individual Mn$^{2+}$, Ce$^{3+}$ and Nd$^{3+}$ ions in RMnAsO (R = Ce, Nd) in the absence of interactions between R$^{3+}$ and Mn$^{2+}$ ions. Our DFT+U+SOC calculations for the magnetic ground state of LaMnAsO, in which the Mn moments in the square lattices are antiferromagnetically ordered, show that the Mn$^{2+}$ moment parallel to the c-axis (//c) is more stable than that perpendicular to the c-axis (⊥c) by 0.20 meV per Mn, which is consistent with the experimental observations for LaMnAsO at room temperature and for NdMnAsO and PrMnSbO between T$_{SR}$ and T$_{N}$.$^{16-18}$ Our DFT+U+SOC calculations for the structure RZnAsO, resulting from RMnAsO when the magnetic Mn$^{2+}$ ions are replaced with the nonmagnetic Zn$^{2+}$ ions, show that the Ce$^{3+}$ spin moment favors the //c orientation over the ⊥c orientation by 3.5 meV per Ce. In contrast, the Nd$^{3+}$ spin moment prefers the ⊥c orientation to the //c orientation by 20.7 meV per Nd. Thus, the Mn$^{2+}$ ions of RMnAsO have weak easy-axis anisotropy as may be expected for the L=0 ion Mn$^{2+}$. The Ce$^{3+}$ ions of CeMnAsO have substantial easy-axis anisotropy. In contrast, the Nd$^{3+}$ ions of NdMnAsO exhibit strong easy-plane anisotropy. For CeMnAsO to undergo a SR with a rotation of the Mn moments into the ab-plane, the interaction between the Ce$^{3+}$ and Mn$^{2+}$ ions needs to overcome the easy-axis anisotropy of the individual Ce and Mn moments.

The single-ion magnetic anisotropy of a TM ion is a weak effect arising from SOC. As found for numerous magnetic systems such as Ag$_2$MnO$_2$, TbMnO$_3$, SrFeO$_2$, Sr$_3$Fe$_2$O$_5$, not to mention LaMnAsO examined in the present work, this anisotropy is well reproduced by DFT+U+SOC calculations despite the inherent limitations of the exchange-correlation functionals. This indicates that the deficiency of the functional cancel out when the energy difference between different spin orientations is calculated.
D. Energy mapping analysis for the DM and BQ exchange energies

The relative energies calculated for the //a-C, //ab-O1 and //ab-O2 arrangements of RMnAsO (R = Ce, Nd) are summarized in Table 1, and so are the values of $E_{DM}$ and $E_{BQ}$ of RMnAsO (R = Ce, Nd) calculated from the relative energies by using Eqs. 3 and 4. For CeMnAsO, the orthogonal arrangement //ab-O1 is the ground state, and the DM exchange dominates over the BQ exchange, with $E_{DM} = -4.88$ meV per formula unit (FU) and $E_{BQ} = -0.07$ meV/FU. The DM exchange favoring the //ab-O1 arrangement overrides not only the BQ exchange favoring the //ab-C arrangement but also the easy-axis anisotropy of the individual Ce$^{3+}$ and Mn$^{2+}$ ions (i.e., 3.7 meV/FU). Therefore, the SR of CeMnAsO is caused by the DM exchange between the Ce$^{3+}$ and Mn$^{2+}$ ions. For NdMnAsO, the collinear arrangement //ab-C is the ground state, and the BQ exchange dominates over the DM exchange, with $E_{BQ} = -18.05$ meV/FU and $E_{DM} = -0.35$ meV/FU. Thus, the SR of NdMnAsO is induced by the strong easy-plane anisotropy of the Nd$^{3+}$ ion plus the strong BQ exchange between the Nd$^{3+}$ and Mn$^{2+}$ ions.

E. Heisenberg spin exchange between the $R^{3+}$ and $Mn^{2+}$ ions

For our discussion in the next section, it is necessary to evaluate the Heisenberg spin exchange constant $J_{0i}$ between the $R^{3+}$ and $Mn^{2+}$ ions (Eq. 1). As depicted in Fig. 6, one may consider the five Heisenberg exchange paths $J_1 – J_5$ in RMnAsO (R = Ce, Nd), where $J_{0i}$ is defined as $J_5$. To extract the values of $J_1 – J_5$, we determine the relative energies of the six ordered spin states FM and AF1 – AF5 depicted in Fig. 7 by DFT+U calculations. Given the spin Hamiltonian

$$\hat{H} = \sum_{i<j} J_{ij} \hat{S}_i \cdot \hat{S}_j$$

(5)
defined in terms of the spin exchanges $J_{ij} = J_1 - J_5$, the total spin exchange interaction energies of
the FM and AF1–AF5 states (per two FUs) are obtained as summarized below by applying the
energy expressions obtained for spin dimers $^{37}$

\[
\begin{align*}
E_{FM} &= (+4J_1 + 4J_2)N^2/4 + (+4J_3 + 4J_4)M^2/4 + (+8J_5)NM/4 \\
E_{AF1} &= (-4J_1 + 4J_2)N^2/4 + (+4J_3 + 4J_4)M^2/4 \\
E_{AF2} &= (-4J_2)N^2/4 + (+4J_3 + 4J_4)M^2/4 \\
E_{AF3} &= (+4J_1 + 4J_2)N^2/4 + (+4J_3 + 4J_4)M^2/4 + (-8J_5)NM/4 \\
E_{AF4} &= (-4J_1 + 4J_2)N^2/4 + (-4J_3 + 4J_4)M^2/4 \\
E_{AF5} &= (-4J_1 + 4J_2)N^2/4 + (-4J_4)M^2/4
\end{align*}
\]

where $N$ and $M$ are the numbers of the unpaired spins at the Mn$^{2+}$ and R$^{3+}$ sites of RMnAsO ($R = Ce, Nd$). Namely, $N = 5$ and $M = 1$ for CeMnAsO, and $N = 5$ and $M = 3$ for NdMnAsO. Thus, by
mapping the relative energies of the six ordered spin states determined from the DFT+U
calculations onto the corresponding energies obtained from the total Heisenberg exchange
energies, $^{38}$ we obtain the $J_1 – J_5$ values of CeMnAsO summarized in Table 2 indicating that the
Heisenberg exchange between the R$^{3+}$ and Mn$^{2+}$ ions in CeMnAsO is ferromagnetic ($-11.2$
meV). According to Eq. 5, $J_5$ can be determined by considering only the FM and AF3 states.
This is how we determine $J_5$ is antiferromagnetic ($+38.4$ meV) for NdMnAsO. Therefore, $J_5$
is larger in magnitude for NdMnAsO than for CeMnAsO. We note that DFT+U calculations for
magnetic compounds made up of TM ions are known to overestimate Heisenberg spin exchange
constants typically by a factor up to four to five depending upon what U values are used, but the
trends in their relative values remain nearly independent of the U values used in the DFT+U
4. Discussion

A. Nature of the DM exchange energy

To see why the DM exchange is strong in CeMnAsO but weak in NdMnAsO, we examine the nature of the DM exchange on the basis of Eq. 1. Consider the DM interaction around one $R^{3+}$ ion of RMnAsO in the $//ab$-O1 arrangement (Fig. 3c), where the site 0 is used for the $R^{3+}$ ion and the sites 1 – 4 for the Mn$^{2+}$ ions. Then the $E_{DM}$ is expressed as

$$E_{DM} = D_{01} \cdot (S_0 \times S_1) + D_{02} \cdot (S_0 \times S_2) + D_{03} \cdot (S_0 \times S_3) + D_{04} \cdot (S_0 \times S_4)$$

(8)

Eq. 1 shows that the symmetry of the DM vectors $D_{0i}$ ($i = 1 – 4$) is determined largely by that of the unquenched orbital momentum $\delta L_0$ of the $R^{3+}$ ion, namely, by the symmetry of the occupied 4f orbitals of the $R^{3+}$ ion. Our DFT+U+SOC calculations for CeMnAsO show that the occupied 4f-states of the Ce$^{3+}$ ion have only the $l_z = \pm 2$ orbitals (Fig. 8a). In Cartesian coordinates, the latter correspond to the $f_{xyz}$ and $f_{z(x^2-y^2)}$ orbitals, which switch their sign when rotated around the z-axis by 90°. This means that $D_{01} = D_{03} = -D_{02} = -D_{04}$, so that

$$E_{DM} = 4D_{01} \cdot (S_0 \times S_1).$$

(9)

Thus, for the $//ab$-O2 arrangement (Fig. 3d), $E_{DM} = -4D_{01} \cdot (S_0 \times S_1)$.

Our calculations for NdMnAsO show that the occupied 4f-states of the Nd$^{3+}$ ion have a strong contribution from the $l_z = \pm 1$ orbitals, but weak contributions from the $l_z = \pm 2$ and $l_z = \pm 3$ orbitals (Fig. 8b). The $l_z = \pm 1$ orbitals, corresponding to the $f_{x(4z^2-x^2-y^2)}$ and $f_{y(4z^2-x^2-y^2)}$ orbitals in Cartesian coordinates, switch their sign when rotated around the z-axis by 180° so that $D_{01} = \ldots$
Consequently, the DM exchange associated with these orbitals is zero. The $l_z = \pm 2$ orbitals will give rise to $E_{DM} = 3n_{\pm 2}(-4\mathbf{D}_{02} \cdot \mathbf{S}_c)$, where $3n_{\pm 2}$ represents the number of 4f electrons in the $l_z = \pm 2$ orbitals. The $l_z = \pm 3$ orbitals, which correspond to the $f_{x(x^2-3y^2)}$ and $f_{y(3x^2-3y^2)}$ orbitals, switch their sign when rotated around the z-axis by $120^\circ$. If it is assumed that the DM vectors associated with the $l_z = \pm 3$ orbitals change linearly as a function of the rotation angle around the z-axis, one might expect that $\mathbf{D}_{02} = -\mathbf{D}_{01}/2$, $\mathbf{D}_{03} = 0$, and $\mathbf{D}_{04} = \mathbf{D}_{01}/2$. Thus, the DM exchange energy associated with the $l_z = \pm 3$ orbitals would be $3n_{\pm 3}(-\mathbf{D}_{01} \cdot \mathbf{S}_c)$, where $3n_{\pm 3}$ represents the number of 4f electrons in the $l_z = \pm 3$ orbitals. The DM exchange of NdMnAsO is small due to the low occupations of the $l_z = \pm 2$ and $l_z = \pm 3$ orbitals. This explains why the DM exchange is weak in NdMnAsO.

Eq. 1 shows that the sign of the DM vector $\mathbf{D}_{0i}$ between the R$^{3+}$ and Mn$^{2+}$ ions depends on the sign of their Heisenberg exchange constant $J_{0i}$. As noted above, $J_{0i}$ is ferromagnetic ($J_{0i} < 0$) in CeMnAsO but antiferromagnetic ($J_{0i} > 0$) in NdMnAsO. This accounts for why the //ab-O1 spin arrangement is more stable than the //ab-O2 spin arrangement in CeMnAsO, while the opposite is the case for NdMnAsO (Table 1).

B. Experimental implications

There are two important implications of our work that can be tested experimentally. One deals with the local nature of the DM and BQ exchanges. The antiferromagnetic coupling in the Mn lattice of LaMnAsO is strong since the Mn moments order well above room temperature. Thus, when the moments of a few Mn$^{3+}$ ions are forced to change their directions, the moments of the remaining Mn$^{2+}$ ions will follow them in order to maintain a collinear antiferromagnetic
For the solid solution La_{1-x}Ce_xMnAsO, in which the magnetic ions Ce^{3+} are diluted with nonmagnetic ions La^{3+}, the SR is expected to occur as long as the DM exchange of the remaining Ce^{3+} ions with their surrounding Mn^{2+} ions is stronger than the easy-axis anisotropy of the Mn^{2+} moment (i.e., 4.88 x > 0.2). This prediction has recently been confirmed by Tsukamoto et al., who showed that the SR in La_{1-x}Ce_xMnAsO persists for x down to 0.10. Similarly, the solid solution La_{1-x}Nd_xMnAsO is predicted to exhibit the SR for x > 0.01. In addition, the solid solution Ce_{1-x}Nd_xMnAsO is predicted to adopt the //ab-C arrangement for x > 0.22 according to the E_{DM} and E_{BQ} values of CeMnAsO and NdMnAsO in Table 1. The other implication is concerned with how to tilt the balance between the DM and BQ exchanges. For the DM exchange to dominate over the BQ exchange, it would be necessary to reduce the (\vec{S}_0 \cdot \vec{S}_i)^2 value, and hence the combination of the S=1/2 ion Ce^{3+} with TM ions with S < 5/2 (e.g., S=2 ion such as Cr^{2+} and Fe^{2+}) might be interesting.

5. Concluding remarks

In summary, our study shows that the SR phenomena of the square lattice antiferromagnets RMnAsO (R = Ce, Nd) are well explained in terms of the DM and BQ exchanges between R^{3+} and Mn^{2+} ions determined in terms of DFT calculations. The symmetry of the DM vector in such systems follows that of the partially filled 4f states of the R^{3+} ions (Eq. 1), and the consideration of the DM and BQ exchanges between RE and TM ions is essential in describing magnetic solids containing both types of ions. Below their SR temperature, the Mn^{2+} and Ce^{3+} moments are orthogonal in CeMnAsO but are collinear in NdMnAsO because the DM interaction dominates over the BQ interaction in CeMnAsO while the opposite is the case for
NdMnAsO. The implications of our findings discussed above need to be tested by further experiments and theoretical analyses.

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Table 1. The relative energies $E$ (in meV per FU) of the //ab-C, //ab-O1 and //ab-O2 spin arrangements of RMnAsO ($R = \text{Ce}, \text{Nd}$) obtained from DFT+U+SOC calculations and the associated DM and BQ exchange energies (in meV per FU) between the $R^{3+}$ and $\text{Mn}^{2+}$ ions.

|                | CeMnAsO | NdMnAsO |
|----------------|---------|---------|
| $E$(//ab-C)    | 0       | 0       |
| $E$(//ab-O1)   | -4.81   | +18.39  |
| $E$(//ab-O2)   | +4.96   | +17.70  |
| $E_{DM}$       | -4.88   | -0.35   |
| $E_{BQ}$       | -0.07   | -18.05  |
Table 2. The distances between the magnetic ions in the Heisenberg exchange paths $J_1$ – $J_5$ of CeMnAsO and the values of $J_1$ – $J_5$ obtained from the mapping analysis based on the DFT+U calculations.

| Exchange paths                | Distance (Å) | $J_i$ (meV) |
|------------------------------|--------------|-------------|
| $J_1$ (within each Mn layer)  | Mn-Mn = 2.884 | +13.1       |
| $J_2$ (within each Mn layer)  | Mn-Mn = 4.078 | +5.9        |
| $J_3$ (between adjacent Ce layers) | Ce-Ce = 3.722 | +92.8       |
| $J_4$ (within each Ce layer)  | Ce-Ce = 4.078 | −120.3      |
| $J_5$ (between adjacent Ce and Mn layers) | Ce-Mn = 3.871 | −11.2       |
Figure captions

Figure 1. (a) The arrangement of the Nd$^{3+}$ ions above and below the square lattice of Cu$^{2+}$ ions in Nd$_2$CuO$_4$, where the Nd$^{3+}$ and Cu$^{2+}$ ions are represented by white and pink spheres, respectively. The two Nd atoms located above and below each Cu$_4$ square forms a Cu$_4$Nd$_2$ square bipyramid. (b) The orthogonal arrangement between the Nd$^{3+}$ and Cu$^{2+}$ moments in Nd$_2$CuO$_4$, where the larger and smaller circles represent the Nd$^{3+}$ and Cu$^{2+}$ ions, respectively.

Figure 2. (a) A perspective view of the crystal structure of RMnAsO (R = Ce, Pr, Nd), in which each square sheet of high-spin Mn$^{2+}$ ($d^5$, $S = 5/2$) ions parallel to the ab-plane is sandwiched between two square sheets of As$^{3-}$ ions to form an As-Mn-As slab (Mn = red circle, As = purple circle). Similarly, each square sheet of O$^{2-}$ ions parallel to the ab-plane is sandwiched between two square sheets of R$^{3+}$ ions to form a R-O-R slab (O = cyan circle, R = green circle). In RMnAsO the As-Mn-As slabs alternate with the R-O-R slabs along the c-direction such that each R is located above the center of an As$_4$ square, and each As above the center of a R$_4$ square. (b) A perspective view of the R$^{3+}$ and Mn$^{2+}$ ion arrangement in RMnAsO. The R$^{3+}$ ions above and below the square sheet of the Mn$^{2+}$ ions occupy the positions of neighboring Mn$_4$ squares, each R atom forming Mn$_4$R square pyramid.

Figure 3. (a) The arrangement of the R$^{3+}$ ions above and below the square lattice of Mn$^{2+}$ ions in RMnAsO (R = Ce, Nd), where the R$^{3+}$ and Mn$^{2+}$ ions are represented by white and
cyan spheres, respectively. Each R atom located above or below every Mn₄ square forms a Mn₄R square pyramid. (b – d) The orthogonal and collinear arrangements between the R³⁺ and Mn²⁺ moments in RMnAsO (R = Ce, Nd), where the larger and smaller circles represent the R³⁺ and Mn²⁺ ions, respectively. The //ab-C, //ab-O1 and //ab-O2 arrangements of the R³⁺ and Mn²⁺ moments are presented in (b), (c) and (d), respectively. In the square lattice of the Mn²⁺ ions, the Mn²⁺ moments are antiferromagnetically coupled. The R³⁺ and Mn²⁺ moments lie in the ab-plane (i.e., the plane of the square lattice). The R³⁺ moments located above the square lattice of the Mn²⁺ ions are antiparallel to those located below the square lattice of the Mn²⁺ ions.

Figure 4. The total DOS and the PDOS plots of CeMnAsO obtained from the DFT+U+SOC calculations for the //ab-C spin arrangement. The bottom of the empty bands is taken as the Fermi level.

Figure 5. The total DOS and the PDOS plots of NdMnAsO obtained from the DFT+U+SOC calculations for the //ab-C spin arrangement. The bottom of the empty bands is taken as the Fermi level.

Figure 6. The five spin-exchange paths J₁ – J₅ of RMnAsO. For simplicity, only the Mn²⁺ and R³⁺ ions are shown as small and large circles. The numbers 1 – 5 refer to the spin-exchange paths J₁ – J₅, respectively. The J₅ path is the Heisenberg exchange J₀ between the R³⁺ and Mn²⁺ ions.
Figure 7. The six ordered spin states of RMnAsO (R = Ce, Nd), where the shaded circles represent the up-spin R and Mn sites, and the unshaded circles the down-spin R and Mn sites. The numbers in each parenthesis refer to the relative energies (in meV per FU) determined for CeMnAsO from the DFT+U calculations.

Figure 8. PDOS plots for (a) the Ce 4f states of CeMnAsO and (b) the Nd 4f states of NdMnAsO obtained from the DFT+U+SOC calculations for the //ab-C spin arrangement, where the numbers 0, ±1, ±2 and ±3 refer to the $l_z$ values of the Ce$^{3+}$ and Nd$^{3+}$ ions. The top of the filled bands is taken as the Fermi level. In each diagram, the upper and lower panels represent the up-spin and down-spin states, respectively. In each panel, the vertical PDOS axis covers from 0 to 1.5 states/eV/atom, and the numbers on the horizontal energy axis are in eV.
Figure 1.
Figure 2
Figure 3.
Figure 4.
Figure 5.
Figure 6.
Figure 7.
Figure 8.
Synopsis

The spin reorientation phenomenon of the square-lattice antiferromagnets RMnAsO (R = Ce, Nd) was investigated by calculating the Dzyaloshinskii-Moriya and biquadratic exchange interactions between the rare-earth and transition-metal ions (R$^{3+}$ and Mn$^{2+}$, respectively) and by analyzing the symmetry of the Dzyaloshinskii-Moriya exchange. The observed spin reorientation is controlled by the Dzyaloshinskii-Moriya and biquadratic exchanges, and the symmetry and strength of the Dzyaloshinskii-Moriya exchange are determined mainly by the partially filled 4f states of the R$^{3+}$ ions.