Extractive Summarization Method for Contact Center Dialogues based on Call Logs

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Abstract

This paper proposes a novel extractive summarization method for speech dialogues between agents and customers in contact centers. The proposed method does not require any extra cost for applying the method such as preparing rules or creating training data. Conventional methods such as the tf*idf method, which gives importance to characteristic words in an input text, can miss the essential points for contact center work. Our proposed method evaluates the importance of each utterance from the standpoint of call agents who report calls for managing or analyzing calls. Specifically, the proposed method includes information frequently reported by call agents in summaries using past call logs commonly recorded in the contact center. Evaluation using real data (call dialogues and call logs) shows that the proposed method can extract essential points in terms of contact center work and outperforms the conventional method.

1 Introduction

In recent years, the role of contact centers has become more important in many companies. This is because each contact center is a main channel for individual customers to directly access a company and the voice of the customers can be used to improve the quality of the company’s products and services.

Many contact centers have two problems of

*This work was done when the author was at NEC Corporation.
requirements for contact center work such as reporting, managing, and analyzing calls. Some other works (Hirao et al., 2002; Iwasaki et al., 2005; Murray et al., 2005; Shen et al., 2007; Byrd et al., 2008; Fuji et al., 2008) can generate summaries satisfying such requirements. However, these methods generally require manual work of creating rules or training data.

In this paper, we propose a novel method that can summarize dialogues satisfying the requirements for contact center work without incurring the cost of manual works. The proposed method preferentially extracts sentences (or utterances) consisting of phrases described in logs of past calls. Such logs have been recorded through daily operation and are readily available in most contact centers. Moreover, we propose a method that bridges the gaps between the expressions in dialogues and logs to improve performance.

The main contributions of this paper are as follows.

1. We propose a method that preferentially extracts sentences consisting of phrases frequently written in logs of past calls. In our experiment using real data, we confirm that the proposed method outperforms the conventional methods (tf * idf method and ridf method), baseline methods without considering the requirements for contact center work.

2. We propose a method that extracts sentences based on association strength with contents of the past logs so as to bridge gaps between the expressions in dialogues and logs, and confirm experimentally its effectiveness in summarization to improve performance.

This paper is organized as follows. In Section 2, we explain previous works and their problems. In Section 3, we propose our method. In Section 4, we describe the experiment using real contact center data. In Section 5, we discuss the experimental results. In Section 6, we summarize this paper.

2 Related Work

We describe conventional summarization methods for contact center dialogues and their problems. To reduce the burden of agents, Iwasaki et al. (2005) have proposed a method that generates a log of the input dialogue automatically. The method selects important sentences using different approaches according to sentence type (e.g. "contact reason", "response"), where the tf * idf method or lead method is used. However, the method requires training data to identify the sentence type of each sentence. Hence, it takes effort to prepare the training data.

Byrd et al. (2008) built a system, Contact-Center Agent Buddies, which generates a candidate log from dialogues, and demonstrated its effectiveness in an actual contact center environment. The system normalizes the dialogue text generated by ASR, calculates the importance of each normalized sentence using a number of heuristic rules, and then extracts important sentences. However, some of the heuristic rules depend on the individual contact center (e.g. rules for annotating cues typically found in questions by agents). Hence, it takes effort to create rules for each contact center.

On the other hand, there are methods that do not require preparing training data or creating rules. Higashinaka et al. (2010) have proposed an extractive summarization method for contact center dialogues categorized into multiple domains (e.g. finance, mail order, PC support). The method extracts utterances that are characteristic of the input dialogue domain in relation to other domains using a particular type of hidden Markov model. However, the method cannot be applied to contact centers that do not deal with multiple domains. Additionally, the method seems to have difficulty extracting important information occurring in any domain (e.g., "a customer urgently requests support").

Other notable methods are the lead method proposed by Edmundson (1969) and the tf * idf method proposed by Zechner (1996). The lead method extracts sentences from the top in order under the assumption that the important points are described first. However, important parts such as the customer's requirements and agent's responses can be located anywhere because the customer's requirements are identified through conversation interactions, which differ according to customers. Therefore, the lead method is not suitable for summarizing contact center dialogues.

The tf * idf method uses word frequencies. First, the method calculates the following weight for each word w in the input text:

\[ \text{tf} \times \text{idf}(w) = \text{tf}(w) \times \log \left( N_{all} / N(w) \right), \]

where \( \text{tf}(w) \) is the frequency of \( w \) in the input, \( N_{all} \) is the total number of texts, and \( N(w) \) is the number of texts containing \( w \). Next, the method calculates the average of the weights for words in each sentence as importance of the sentence. Finally, the method extracts sentences in the order...
of the importance from the highest. The method extracts utterances including characteristic words that are frequent in the input text and infrequent in other texts. However, there are essential words for contact center work, although the words are frequent in other texts (e.g., words in frequent customer requests). The method does not include the words in summaries. Moreover, there are uninformative sentences including characteristic words such as the customer’s speaking habits (e.g., "kind of" being frequently used by the customer). The method can extract these uninformative sentences.

Orasan et al. (2004) and Murray et al. (2007) experimentally showed that ridf(w) defined as the following function is most effective for summarizing texts in several term weighting functions including the tf * idf(w).

$$\text{ridf}(w) = \log(n_{all}/N(w)) - \log(1 - p(0; \lambda_w)),$$

where $n_{all}$ and $N(w)$ have the same definitions as those in the tf * idf(w), and $p$ is the Poisson distribution with parameter $\lambda_w$, the average number of occurrence of $w$ per text, and $1 - p(0; \lambda_w)$ is the probability of $w$ appearing in a text at least once. However, the method using the ridf(w) also cannot generate summaries from the viewpoint of contact center work.

3 Proposed Method

We propose a novel summarization method for contact center dialogues without the problems described in Section 2. In this work, we assume that essential information for contact center work should be frequently written in past logs. First, the proposed method calculates the likelihood of being reported by agents for each utterance using past logs recorded in the contact center. Hereafter, we refer to the likelihood as report score. Next, the proposed method extracts utterances in the order of the report score from the highest and then outputs the extracted utterances in the order of appearance in the input dialogue as its summary.

The proposed method does not require any extra cost for applying the method because the method exploits past logs automatically accumulated in the contact center through daily operation. Additionally, the proposed method includes the essential points in terms of contact center work in summaries because the logs are described to report, manage, and analyze the contacts. Note that there is no corresponding log of an input dialogue when the method summarizes the dialogue.

![Figure 2: Association strength between words](image)

The rest of this section is organized as follows. In Section 3.1, we first describe a method that simply calculates the report score based on frequency in past logs, and explain the problem with the simple method. In Section 3.2, we propose a method that calculates the report score based on past pairs of a dialogue and its corresponding log so as to handle the problem with the simple method.

3.1 Call Log Frequency Method (LF Method)

The LF method regards high frequency words in past logs as important, and then extracts utterances that have the high frequency words. The LF method proceeds as follows.

**Step 1. R_Score(w)**, the report score of a word $w$, for each word in an input dialogue is calculated by the following equation (1). Here, equation (1) is the document frequency of the word $w$, where a set of documents is a set of past logs (Call Log Frequency; LF).

$$R_{\text{Score}}(w) = \frac{L(w)}{L_{\text{all}}} \quad (1).$$

Let $L(w)$ be the number of past logs containing the word $w$, and $L_{\text{all}}$ be the total number of past logs.

**Step 2. R_Score(U)**, the report score of an utterance $U$, for each utterance in the input dialogue is calculated as the average of the report scores of the words in the utterance $U$ as follows:

$$R_{\text{Score}}(U) = \frac{\sum_{w \in W(U)} R_{\text{Score}}(w)}{|W(U)|} \quad (2).$$

Let $W(U)$ be a set of all words in an utterance $U$.

**Step 3. Top-K utterances** in the order of the report score from the highest are extracted.

3.1.1 Problem with LF Method

In logs, concise expressions, abbreviations, and specialized terminology tend to be frequently used because the logs are generated so as to report to managers or persons involved. On the
other hand, an agent usually uses multiple expressions in a dialogue according to the situation or customer's level of understanding. Therefore, there is a gap between the expressions in the dialogue and in its corresponding log even though those are the same in meaning.

In Figure 1, "an unpleasant boom sound" in the dialogue is concisely described as "strange noise" in its corresponding log, and in Figure 2, "urgently" in a dialogue is described with the abbreviation "ASAP" in the log. However, the LF method cannot handle the differences between the expressions in dialogues and logs. Concretely, the LF method cannot select important information that is not described with the same expression in the logs. Consider Figure 1, for example; the LF method cannot regard as important the words not appearing in the log such as "unpleasant", "boom" and "sound". Therefore, the LF method may not include ID 7, which is important for contact center work, in the summary.

3.2 Proposed Method

In Section 3.2.1, we propose a method that can handle the problem described in Section 3.1.1. In Section 3.2.2, we introduce a component that removes frequent utterances from the summary to improve performance of our method.

3.2.1 Association Strength Method (AS Method)

To handle differences between the expressions in dialogues and logs, we have based our proposed method on the following assumption: association strength (AS) between each occurrence of two words in past pairs of a dialogue and its corresponding log indicates semantic similarity between the two words.

Consider, for example, Figure 2. "Urgently" in dialogues and "ASAP" in logs have the same meaning. When "urgently" appears in a dialogue, "ASAP" also appears in its corresponding log (ID=1, 52). Moreover, when "urgently" does not appear in a dialogue, "ASAP" also does not appear in its corresponding log (ID=2). In short, Figure 2 shows that association strength between "urgently" and "ASAP" is strong. On the other hand, "sound" and "ASAP" have different meanings. Figure 2 shows that the association strength between "sound" and "ASAP" is weak.

Under the above assumption, we propose the AS method, which estimates semantic similarity between a word w in dialogues and a word v in logs as \( AS(w, v) \), the association strength between each occurrence of w and v. \( AS(w, v) \) is calculated by association measures such as mutual information, chi-square value, and z-value.

We calculate the likelihood that a word w in a dialogue is reported in past logs as a word v, by the following expression (3). The expression is the product of \( AS(w, v) \), the semantic similarity between w and v, and the likelihood that the word v is reported in past logs.

\[
AS(w, v) \cdot L(v)/L_{all} \cdots (3).
\]

\( L(v) \) and \( L_{all} \) in the expression (3) have the same definitions as \( L(w) \) and \( L_{all} \) in equation (1) respectively.

Some of the words in dialogues have multiple synonymous expressions in logs. For example, "noise" in dialogues can sometimes be described as "noisy" and other times as "sound" in logs. Additionally, the meaning of a word in a dialogue is often expressed with multiple words in its corresponding log. For example, the meaning of "boom" in the dialogue in Figure 1 is expressed with "strange noise" in its log. To deal with the above paraphrases, we expand expression (3). Specifically, the likelihood that the meaning of a word w in a dialogue is reported in past logs as a set of words V is calculated as the sum of expression (3) for each word v in V as follows:

\[
\sum_{v \in V} AS(w, v) \cdot L(v)/L_{all} \cdots (4).
\]

In conclusion, \( R_{Score}(w) \), the report score of a word w, is estimated by expression (4), where V is the set of all words in past logs. Here, the amount of calculation is enormous. Therefore, we limit V in expression (4) to N words in order of \( AS(w, v) \) from the highest. We denote the set of such N words for a word w by \( V_{N}(w) \). The AS method estimates \( R_{Score}(w) \) by the following equation (5).

\[
R_{Score}(w) = \sum_{v \in V_{N}(w)} AS(w, v) \cdot L(v)/L_{all} \cdots (5).
\]

Here, association strength between unrelated words should be close to zero, and the number of association words for one word is limited. Accordingly, equation (5) is not sensitive to larger N, and we assume that the AS method is effective with little or no tuning of N. We examine this point in Section 4. Hereafter, the AS method performs steps 2 and 3 in Section 3.1 in sequence.

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2 Not only the LF method but any supervised methods using logs as training data have the same problem.
Table 1: Performance of ASR

| Speaker | Agent | Customer |
|---------|-------|----------|
| P.C. (%) | 91.5  | 89.9     |
| W.A. (%) | 87.7  | 84.8     |

Table 2: Test data

| Data type | SR | MT | Log |
|-----------|----|----|-----|
| Avg. number of utterances | 175 | 111 | -   |
| Avg. number of characters | 1,207 | 1,320 | 166 |

3.2.2 Removal of Frequent Utterances (RFU)

Contact center dialogues include many uninformative utterances that do not have important contents such as back-channel feedback (e.g. "Yes", "Well"), set phrases (e.g. "This is XX contact center."), and greetings (e.g. "Hello", "Good morning"). These uninformative utterances must not be included in a summary. We aim to improve performance of our method by directly detecting these uninformative utterances.

We assume that such uninformative utterances frequently occur in any dialogue. Hence, the proposed method calculates the occurrence rate for each utterance \( U \) defined as "the number of dialogues containing utterance \( U \) / total number of dialogues", and then identifies the utterances whose occurrence rates are higher than threshold \( \theta \) as uninformative utterances. Finally, the proposed method removes the identified utterances from a summary.

4 Experiment

In this section, we describe the experiments using dialogues and logs in a real Japanese contact center and show their results, where we examine the following effects of our proposal.

- By preferentially including information frequently reported in past logs, the performance of automatic text summarizers for contact center works can be improved.
- Association strength between two words enables our method to handle differences between the expressions in dialogues and logs, and improves performance.
- RFU improves performance of our method.

4.1 Experimental Settings

4.1.1 Experimental data

We collected 4,596 call speech data and their corresponding logs in a real Japanese contact center, and generated the following two types of texts from the call speech data. We used the texts as dialogue data in the experiments.

1. Speech Recognition Text (SR):
   The texts were generated by ASR from the read speech data. Table 1 shows the accuracy of ASR. In Table 1, P.C. is percent correct calculated by \((T-S-D)/T\), and W.A. is word accuracy calculated by \((T-S-D-I)/T\). Let \( T \) be the total number of words, \( S \) be the number of substitutions, \( I \) be the number of insertions, and \( D \) be the number of deletions.

2. Manual Transcription Text (MT):
   The texts were transcribed manually from the speech data and divided manually into utterances.

4.1.2 Test Data

We used 40 pairs of dialogue data and their corresponding logs as test data, which were selected randomly from a total of 4,596 data. The average length of the dialogue data and the logs are shown in Table 2, which shows that the log corresponds to 12.6% (=166/1,320) of compressed text of the call speech data.

We used the following two types of summaries with different compression rates as the references in the experiments so as to examine the effectiveness for various types of contact center work such as reporting, managing, and analyzing contacts. The references were manually generated from the MT of the test data. Note that logs themselves are not suitable for references because there are differences between the expressions in dialogues and logs.

1. Indicative Summary:
   We generated summaries with a 30% compression rate by manually extracting utterances on the assumption that these are used as alternatives to the logs or drafts for agents, and for managers to grasp the gist of calls at a glance. Here, the compression rate is defined as "number of characters in a summary / number of characters in a dialogue data". Note that we set the compression rate to 30%, which is higher than that of the logs (12.6%), because the expressions in dialogues tend to be lengthy compared to those in logs.

2. Informative Summary:
   We generated summaries that are sufficient to obtain all contents by manually extracting utterances without thinking of compression rate on the assumption that these are used for managers to grasp the details of calls, and as cleansed texts for analysis of calls such as information retrieval and text mining. The average compression rate is 65.2%.
4.1.3 Competing Methods

We evaluate the following five methods in the experiments. For MT, each method judges each utterance manually detected as to whether it is necessary for the summary or not, and for SR, each method judges each utterance detected by the ASR engine.

1. tf * idf method and ridf method:
   The methods are described in Section 2. Using a total of 4,556 dialogue data, the tf * idf method calculated the tf * idf score for each word and the ridf method calculated the ridf score.

2. LF method:
   The method is described in Section 3.1. In calculating the report score for each word, the method used a total of 4,556 logs.

3. AS method:
   The method is described in Section 3.2.1. Note that the method does not introduce RFU. In calculating the report score for each word, the method used a total of 4,556 pairs of the dialogue data and its corresponding logs, and used z-value as the association measure. We used various numbers as N in equation (5) to investigate the dependency of N on the performance of the AS method.

4. AS with RFU method:
   The method introduces RFU into the AS method. The settings in the AS method are the same as the above. In RFU, we used 0.5 as a threshold, which was determined by the preliminary experiment. Additionally, RFU calculated the occurrence rate using a total of 4,556 dialogue data. Here, RFU regards an utterance as the bag of content-word bigrams so as to relieve differences of the expressions between utterances (e.g. "This is XX speaking." and "This is XX."). RFU calculates the average of the occurrence rate of the bigrams in the utterance as the occurrence rate of the utterance.

4.1.4 Evaluation Measure

We used the following evaluation measures.

1. Sentence recall, precision, and F-measure:

| N   | 1   | 2   | 4   | 8   | 16  |
|-----|-----|-----|-----|-----|-----|
| F-measure | 0.526 | 0.528 | 0.527 | 0.529 | 0.519 |
| ROUGE-1 | 0.565 | 0.572 | 0.571 | 0.576 | 0.567 |
| ROUGE-2 | 0.567 | 0.574 | 0.578 | 0.584 | 0.575 |

Fig 3: Result of indicative summarization

Table 3: Experiments with different N in equation (5)

| N   | 1   | 2   | 4   | 8   | 16  |
|-----|-----|-----|-----|-----|-----|
| F-measure | 0.504 | 0.497 | 0.494 | 0.478 |
| ROUGE-1 | 0.563 | 0.549 | 0.546 | 0.527 |
| ROUGE-2 | 0.568 | 0.550 | 0.548 | 0.543 |

(b) Indicative summarization of MT

Fig 3: Result of indicative summarization

Sentence recall is the number of sentences correctly extracted by a method over the number of sentences in the reference. Sentence precision is the number of sentences correctly extracted over the number of sentences extracted by the method. F-measure is defined as follows:

\[ 2 \times \text{Recall} \times \text{Precision} / (\text{Recall} + \text{Precision}) \]

When SR is summarized, estimated sentence (or utterance) boundaries based on ASR results do not always agree with those in the references. In this paper, extraction of a sentence in the SR is considered as extraction of one or multiple sentences in the reference with an overlap of 50% or more words as in Hirohata et al. (2005).

2. ROUGE \(_N\) (Lin et al., 2003):

ROUGE \(_N\) is an N-gram recall between reference (R) and the summary generated by a method (C), which indicates similarity between them. ROUGE \(_N\) is calculated as follows:

\[ \text{ROUGE} \(_N\)(C, R) = \frac{C_m(N\text{-gram})(C, R)}{C(N\text{-gram} \in R)} \]

where \(C_m(N\text{-gram})(C, R)\) is the number of co-occurrences of N-gram in C and R, and \(C(N\text{-gram} \in R)\) is the number of N-grams in R. In our experiments, 1-grams (ROUGE-1) and 2-grams (ROUGE-2) are used, where the words are only content words.

4.2 Experimental Results

First, we investigated the dependency of the number of association strength in estimating the
We discuss whether the assumption described in Section 3.2.1, that the association strength between two words indicates semantic similarity, is correct or not. We examined relationships of the 500 pairs of two words with strong association in the experimental data. The result is that 67.8% are synonymous, 15.2% are related, and 17% are unrelated. Here, related is when the two words are associated with one another including is-a relations and part-of relations (e.g. "freeze" and "break", "ATM" and "cash"). Unrelated is when the two words are irrelevant to one another. The result shows that accuracy of identifying two words with the same meaning using the word pairs with high association strength is 67.8%.

Table 3 shows that the performance with N = 8 is best although the number of synonymous expressions for one word is supposed to be smaller than 8. We suppose that related helps to find utterances reported in the logs and 83% (total of synonymous and related) strong association is an efficient clue for summarization.

Most unrelated words belong to different types of information (e.g. "CUSTOMER X" and "MODEL Y", which should be respectively described in the "customer's name" and "contact
Table 4: Rate of eliminated utterances by RFU

| Data Type | Compression Rate | Rate of eliminated utterances by RFU |
|-----------|-----------------|-------------------------------------|
| SR        |                 |                                     |
| 30%       |                 | 1.9% (23/1,213)                     |
| 50%       |                 | 2.4% (51/2,098)                     |
| 65%       |                 | 9.3% (269/2,879)                    |
| 80%       |                 | 35.9% (1,500/4,182)                 |
| MT        |                 |                                     |
| 30%       |                 | 0.6% (4/715)                        |
| 50%       |                 | 2.3% (27/1,168)                     |
| 65%       |                 | 8.0% (129/1,617)                    |
| 80%       |                 | 53.8% (1,239/2,305)                 |

reason" parts of the logs). By calculating the association measure of two words in corresponding parts of a dialogue and its log after topic segmentation of the dialogue, we can further improve our method.

5.2 Effectiveness of RFU

We examined whether the frequent utterances identified by RFU in the test set are unnecessary for the summaries (uninformative) or not. In a total of 6,985 utterances in SR, 2,102 utterances (161 varieties) are identified by RFU, and 99.4% (=2,090/2,102) are uninformative. In a total of 4,436 utterances in MT, 1,985 utterances (156 varieties) are identified by RFU, and 99.7% (=1,979/1,985) are uninformative. The results show that RFU can eliminate uninformative utterances from the summaries with high accuracy.

Additionally, we examined the rate of eliminated utterances by introducing RFU into the AS method, which is the number of frequent utterances in the summary generated by the AS method over the total number of utterances in the summary. Table 4 shows the result. Table 4 shows that there are few frequent utterances in the summary generated by the AS method when the compression rate is low. In other words, the result indicates that the AS method (without RFU) can eliminate uninformative frequent utterances when generating an indicative summary or informative summary with a low compression rate. As a result, there is not much difference between the performance of the AS method and that of the AS with RFU method in Figures 3 and 4 with a low compression rate.

On the other hand, Table 4 shows that there are a lot of frequent utterances in the summary generated by the AS method when the compression rate is high. The results indicate that when the compression rate is high, it is difficult to judge whether an utterance is important or not using only the report score based on the association strength. This is because the input dialogue can include detailed information not described in the logs, and also subjects not occurring in past calls. In the above situation, suitable utterances can be included in a summary by eliminating frequent utterances preferentially. As a result, the AS with RFU method enables maintaining the quality of summarization with a high compression rate.

5.3 Robustness to ASR errors

Figure 3 shows that performance on summarization of SR is lower than that of MT in every method. This is because the words that should be ideally included in the summary are missing in SR due to substitutions or deletions in ASR.

To examine the robustness to ASR errors, we calculated the reduction rate of F-measure to compare the performance to SR with that to MT. As a result, the reduction rate of the AS method is 37.9% and the rate of the LF method is 43.1%. The result shows that the AS method is more robust to ASR errors than the LF method.

6 Conclusions

We proposed a novel method that can summarize contact center dialogues satisfying the requirements for contact center work without any extra cost for applying the method. We proposed the idea of preferentially selecting information frequently reported in past logs so as to include the essential information for contact center work in summaries. Moreover, we proposed a method that extracts utterances based on association strength between each sentence and the past logs so as to bridge the gaps between the expressions in logs and dialogues.

In the evaluation using real data, experimental results showed that our proposed method outperforms the conventional methods (the tf * idf method and the ridf method), and association strength between two words improves the performance of automatic text summarizers for contact center works. Additionally, we improved our method by removing frequent utterances from the summaries.

We are planning to prove the effectiveness of our proposed method for actual contact center work according to the cost reduction effect in the call log documentation process.

3 (F-measure to MT – F-measure to SR) / F-measure to MT
Reference

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