Conserved roles of ems/Emx and otd/Otx genes in olfactory and visual system development in Drosophila and mouse

Sonia Sen¹, Heinrich Reichert² and K. VijayRaghavan¹

¹National Centre for Biological Sciences, Tata Institute of Fundamental Research, UAS-GKVK Campus, Bellary Road, Bangalore 560065, India
²Biozentrum, University of Basel, Klingelbergstrasse 50/70, 4056 Basel, Switzerland

1. Summary

The regional specialization of brain function has been well documented in the mouse and fruitfly. The expression of regulatory factors in specific regions of the brain during development suggests that they function to establish or maintain this specialization. Here, we focus on two such factors—the Drosophila cephalic gap genes empty spiracles (ems) and orthodenticle (otd), and their vertebrate homologues Emx1/2 and Otx1/2—and review novel insight into their multiple crucial roles in the formation of complex sensory systems. While the early requirement of these genes in specification of the neuroectoderm has been discussed previously, here we consider more recent studies that elucidate the later functions of these genes in sensory system formation in vertebrates and invertebrates. These new studies show that the ems and Emx genes in both flies and mice are essential for the development of the peripheral and central neurons of their respective olfactory systems. Moreover, they demonstrate that the otd and Otx genes in both flies and mice are essential for the development of the peripheral and central neurons of their respective visual systems. Based on these recent experimental findings, we discuss the possibility that the olfactory and visual systems of flies and mice share a common evolutionary origin, in that the conserved visual and olfactory circuit elements derive from conserved domains of otd/Otx and ems/Emx action in the urbilaterian ancestor.

2. Introduction

Nervous system development in Drosophila re-employs developmental control genes that initially pattern the early embryo. One class of such early patterning genes is the cephalic gap genes. These are among the earliest zygotic genes to be transcribed during embryogenesis in Drosophila. They are first expressed in the anterior region of the blastoderm-stage embryo, under the control of maternal genes, and are essential for the segmentation and identity of the embryonic head segments [1–5]. Mutational inactivation of these early patterning genes results in specific gap-like deficits in the cephalic anlagen that are due to the inability to specify particular head segments [1–3,6–8]. Consequently, structures that normally derive from these head segments are deleted upon the loss of the corresponding cephalic gap genes [8].

In addition to this early embryonic requirement of cephalic gap genes in the specification of head segments, it has emerged in recent years that these ‘early patterning genes’ are also required later in Drosophila nervous system.
development. Prominent examples of this are identified in recent studies that investigate the multiple roles that cephalic gap genes play in the formation of the peripheral and central elements of complex sensory systems.

Nervous system development in *Drosophila* occurs during two phases; the larval nervous system is generated during embryogenesis and the adult nervous system is generated primarily during postembryonic development [9,10]. Correspondingly, complex sensory systems, such as those involved in olfaction and vision, are essentially created twice during development, once during embryogenesis for the larva and once again during postembryonic development for the adult fly. Remarkably, cephalic gap genes act during both phases of nervous system development.

In this review, we consider two cephalic gap genes (*orthodenticle*, *otd* and *empty spiracles*, *ems*) and their requirement in the development of sensory systems. We first focus on *ems*, and review recent studies that reveal a requirement of this cephalic gap gene in multiple aspects of the development of the olfactory systems in both larval and adult *Drosophila*. Subsequently, we focus on *otd*, and review recent studies that show that this cephalic gap gene has important multiple roles in the development of the visual systems of the *Drosophila* larva and adult. In both cases, we also review data that demonstrate comparable requirements for the vertebrate homologues of these genes, *Emx1/2* and *Otx1/2*, in the development of the olfactory and visual systems of murine rodents, which have olfactory and visual circuits that are strikingly similar to those seen in flies. Finally, in the light of these similarities, we discuss the possible developmental and evolutionary significance of this shared requirement for cephalic gap gene action in the formation of complex sensory systems in invertebrates and vertebrates.

### 3. Ems/Emx genes are required in the olfactory systems of flies and mice

#### 3.1. Ems in the development of the *Drosophila* larval olfactory system

During embryonic development of the *Drosophila* head neuroectoderm, the cephalic gap gene *ems* is expressed in a broad, stripe-like anterior domain, where it acts in the specification of the so-called antennal segment of the head [1,3]. The *ems*-expressing region of the cephalic neuroectoderm gives rise to the precursors of both the peripheral and the central elements of the larval olfactory system (figure 1a).

The major larval olfactory sense organ is the dorsal organ, which contains sensilla that are innervated by the dendrites of 21 olfactory sensory neurons (OSNs). These peripheral sensory neurons target the larval antennal lobe in the deutocerebrum of the central brain, where they synapse onto the olfactory interneurons, the projection neurons and local interneurons, in regions of dense synapses called ‘glomeruli’ [11]. *Ems* is expressed in the region of the cephalic neuroectoderm that gives rise to the sensory organ precursors of the dorsal organ OSNs, and to the neural stem cell-like precursors, called neuroblasts, which generate the larval olfactory interneurons [8,12].

Mutant analysis shows that *ems* is required for the early embryonic specification of the antennal segment neuroectoderm, from which both the peripheral and central elements of the larval olfactory system derive [8,12]. However, embryos that lack *ems* function are embryonic lethal, and this initially prevented investigation of possible later roles of *ems* in the development of olfactory neurons. More recently, using techniques such as mosaic analysis with a repressible cell marker [13] that allows the generation of *ems* null mutant cells in an otherwise heterozygous animal, and hence circumvents embryonic lethality, several studies have found that *ems* continues to act in the later development of the larval olfactory system. Thus, removal of *ems* from the larval-specific OSNs and interneurons (after generation by their respective precursors) results in the mistargeting of some of these neuronal cells to the larval antennal lobe; sensory neuron terminals are unable to restrict their dendrites to individual glomeruli (cf. figure 1c,f), and interneuron terminals are seen outside the antennal lobe (cf. figure 1b,d). These experiments indicate that in addition to the previously documented role that *ems* plays in the early specification of specific subsets of larval OSNs and larval olfactory neuroblasts, *ems* is additionally required later in embryonic development for correct neurite targeting in both of these developing neural cell types in the larval brain.

#### 3.2. Ems in the development of the *Drosophila* adult olfactory sensory system

The peripheral and central elements of the adult olfactory system are generated postembryonically and are largely different from the larval olfactory circuit elements. The primary peripheral olfactory sensory structure of the adult *Drosophila* is the antenna. The third segment of the antenna contains approximately 500 sensillar sense organs that are innervated by the dendrites of 1200 adult OSNs. These adult-specific OSNs are different from the larval OSNs since they derive from sensory organ precursors in the eye–antennal imaginal disc during early pupal life [14]. The axons of the OSNs project to and terminate in the deutocerebral region of the adult brain where they make synaptic contacts with the dendrites of projection neurons and local interneurons (figure 1f). The projection neurons relay sensory information from the antennal lobe to higher brain centres, and the local interneurons, whose terminals are confined to the antennal lobe, are responsible for local processing of olfactory information [14]. Both types of adult-specific olfactory interneurons are generated during a second, postembryonic wave of neurogenesis by the same deutocerebral neuroblasts that produce the larval-specific olfactory interneurons [15–17]. Hence, apart from the few embryonic born interneurons that persist through metamorphosis [18], all the adult-specific projection neurons and local interneurons are generated postembryonically. It is noteworthy that the precursors for both the peripheral and the central olfactory circuit elements of the adult are lineally related to cells of the antennal head segment; the antenna represents the appendage of the antennal segment and the deutocerebrum of the adult brain corresponds to the neuromere of the antennal segment (figure 1a) [12,19].

Recent studies that have examined the postembryonic expression and function of *ems* have found that this embryonically acting cephalic gap gene is also involved postembryonically in the development of the adult-specific olfactory system. Thus, *Ems* is expressed in a subset of the adult-specific sensory organ precursors and in two of
the deutocerebral neuroblasts that produce adult-specific olfactory interneurons [20,21]. In the sensory organ precursors, Ems expression is seen as a short pulse during early pupal life [21].

In the neuroblasts, Ems is expressed throughout larval life and also transiently expressed in the interneurons that derive from these neuroblasts [16,20]. Mutant analyses show that ems is
required for the development of the peripheral and central olfactory system (figure 3g). In the peripheral olfactory system, ems is involved in specification of specific sensiller sense organs and also has a later role in axonal targeting of the OSNs, which derive from these sense organs, to their appropriate antennal lobe glomeruli [21]. In the central olfactory system, the clonal inactivation of ems from one of the neuroblasts results in the apoptosis of the interneurons that derive from it, whereas a similar inactivation of ems from another neuroblast results in interneurons that fail to innervate their respective glomeruli correctly [16,20]. Thus, in the former case, the antennal lobe has far fewer projection neurons (PNs) and local interneurons (LNs), and is therefore reduced in size, whereas in the latter case the PNs fail to restrict their dendrites to the confines of a given glomerulus, and on occasion even have innervations outside the antennal lobe.

Taken together, these findings show that ems has multiple roles in the development of peripheral and central olfactory sensory elements of the larval and adult olfactory systems. Might the vertebrate homologues of ems, the Emx1/2 genes, also have multiple roles in the development of peripheral and central neuronal elements of the mammalian olfactory system?

3.3. The mouse olfactory circuit, which shares similarities with flies, requires the ems homologues, Emx1/2, for development

A striking feature of the olfactory system in insects is the similarity in basic circuit organization that it shares with the mammalian olfactory system [22,23]. Thus, as in Drosophila, in the mouse olfactory system, OSNs express odorant receptor genes in a mutually exclusive manner, and the axons of those OSNs that express a given receptor converge onto the same glomerulus. Moreover, in the glomeruli, OSNs make synaptic contacts with primary output interneurons, the projection neurons in the fly and the mitral–tufted cells in the mouse, as well as with local interneurons/periglomerular cells that interconnect glomeruli (figure 1h). The layout of the fly and mammalian olfactory circuitry therefore is essentially the same.

In addition, there are remarkable similarities in the expression and function of ems and its mammalian homologues Emx1/2. Thus, the mammalian Emx1/2 genes are expressed during early embryonic development in the olfactory placodes and developing nasal epithelium, as well as in the developing forebrain, including the olfactory bulb. Emx1/2 genes are also expressed in other areas of the brain that are known to be involved in olfactory processing [24–27]. Mutants for Emx1/2 have severe defects in the various brain regions, including those involved in olfaction (figure 1i). The nasal epithelium, where the cell bodies of the OSNs reside, is disrupted. The axons of the OSNs form a normal olfactory nerve; however, this nerve does not form connections with the olfactory bulb, implying that the OSNs are unable to target correctly. The olfactory bulb of these mutants is extremely reduced in size, and the mitral cell layer of the olfactory bulb is disorganized [28–32]. In addition to these morphological defects, Emx2 mutants manifest aberrant expression of various odorant receptor genes [33].

In summary, similar to the fly ems gene, the mammalian Emx1/2 genes are expressed in the developing peripheral and central olfactory systems, and are crucial for their proper development. Could other cephalic gap genes play comparable key roles in the development of sensory systems?

4. Otd/Otx genes are required in the visual systems of flies and mice

4.1. Otd in the development of the Drosophila larval visual sensory system

During embryonic development of the Drosophila head neuroectoderm, the cephalic gap gene otd is expressed in a broad domain anterior to that of ems gene expression where it is thought to act in the specification of the so-called ocular segment of the head [7,8]. This Otd-expressing region gives rise to the cells of the peripheral and central larval visual system (figure 2a).

The major larval visual organ is Bolwig’s organ, a simple paired structure of 12–14 photoreceptor neurons that extend their axons towards the larval optic neuropile and brain [34,35]. Two distinct sets of central interneurons are postsynaptic to the larval photoreceptor neurons: three optic lobe pioneer neurons that derive from the optic placode, and four pigment dispersing factor (PDF) expressing lateral neurons that are part of a central protocerebral brain lineage [35–37]. During embryogenesis, Otd is expressed in the developing photoreceptor neurons of Bolwig’s organ, and this expression is maintained in these sensory cells throughout their larval and adult life [38].

Mutant analysis indicates that otd has both early and late roles in the development of Bolwig’s organ. In otd null mutant embryos, early specification of Bolwig’s organ does not occur and hence photoreceptor cells are not formed [8,39]. Hypomorphic otd alleles reveal an additional later requirement for the gene in the correct expression of rhodopsin (Rh) subtypes in the differentiating larval photoreceptors. Thus, whereas in the wild-type (WT) eight of the larval photoreceptors express Rh6 and the other four express Rh5, in the otd mutants all photoreceptors express Rh6, and Rh5 expression is absent.

It is likely that otd also acts during development of the larval optic interneurons, given the large expression domain of otd in the ocular segment. However, current data on the expression and function of otd in the developing larval optic lobe pioneer neurons and PDF-expressing lateral neurons are lacking.

4.2. Otd in the development of the Drosophila adult visual sensory system

The major visual sense organs of the adult fly are the compound eyes, which are generated postembryonically [40] and are distinct from the larval Bolwig’s organ, which differentiates into a minor adult visual structure called the Hofbauer–Buchner eyelet [41]. Each of the compound eyes comprises approximately 800 individual units called ommatidia, and each ommatidium consists of eight photoreceptor cells. These photoreceptors project their axons into the optic lobes, which consist of four highly structured neuropiles (the lamina, medulla, lobula and lobula plate) that process and relay visual information to higher brain centres (figure 2b) [42]. The compound eyes develop from the eye-specific domain of the eye—antennal disc during early pupal life, and this domain is thought to derive from the ocular segment (figure 2a) [19]. Lineage analysis using mutants that delete
various head segments suggests that the optic lobes also derive from the ocular segment [43].

Consistent with their origin from the ocular segment, these adult visual structures express the \textit{otd} gene during their development. Thus, Otd is expressed in all of the developing photoreceptors of the compound eyes (and the Hofbauer–Buchner eyelet [38,44–46]). In the compound eye photoreceptors, \textit{otd} is required for proper rhabdomere formation, as well as for the correct expression of Rh3, Rh5 and Rh6 rhodopsins (figure 2c) [46–48]. In the absence of \textit{otd}, the photoreceptor rhabdomeres appear disorganized owing to a failure in the morphogenesis of these structures. Rh3 and Rh5 are totally eliminated, the domain of Rh6 expression expands widely, and Rh1 is ectopically expressed [46,47,49–51]. The \textit{otd} gene has been shown to act in the initial specification of the optic lobe and may also be required in the central interneurons of the adult visual system [8,43]. However, currently there is little information on a later role of \textit{otd} in the interneurons of the optic lobe or in the central targets of the ocellar photoreceptors, although higher centres

\textbf{Figure 2.} \textit{otd}/\textit{Otx} genes control the development of the visual system in flies and mice. (a) The origins of the visual neurons in \textit{Drosophila} larvae and adults can be traced back to the Otd-expressing ocular head segment (red stripe in the anterior of the embryo). The larval Bolwig’s organ originates in this segment (red arrow on left). The optic lobe of the larva and the adult also originate in this segment (middle red arrow). The eye–antennal disc, which gives rise to the adult eye, also incorporates into it cells from the Otd-expressing domain (red arrow on right). (b) The similarity in the visual circuits of flies and mice. Photoreceptor cells in both flies and mice (green neurons) project in parallel to a number of interneuronal types (pink and blue neurons). The interneurons are arranged in multiple parallel cell layers (grey structures) that are interconnected orthogonally. (c) The mutant phenotypes observed in photoreceptor neurons in \textit{otd} null flies and \textit{Crx} null mice. Note that in both cases, the rhabdomere/outer segment of the PR neurons fails to develop (compare WT and \textit{otd}\textsuperscript{–/–} PRs in flies, and WT and \textit{Crx}\textsuperscript{–/–} PRs in mice). Also summarized is the phenotype seen in the eyes of mice null for \textit{Otx} function. Note the change in orientation of eye structures and also the expansion of the neural retina at the expense of the retinal pigment epithelium in the \textit{Otx} null mice. EAD, eye–antennal disc; PR, photoreceptor; LGN, lateral geniculate nucleus; RPE, retinal pigment epithelium; NR, neural retina; OS, outer segment.
in the protocerebrum involved in visual processing and memory are affected by the loss-of-function of otd [12].

In summary, the cephalic gap gene otd is required for the development of larval and adult visual sense organs. Moreover, it may also play important roles in the development of the optic lobe and its visual interneurons.

4.3. The mouse visual circuit, which shares similarities with flies, requires the otd homologues, Otx1/2, for development

As noted by Cajal a century ago, there are remarkable similarities in the visual circuits of insects and mammals [52]. Furthermore, recent cellular and molecular studies indicate that these circuits are based on common design principles in the two animal groups [42]. Thus, as in Drosophila, in the mouse visual system, different types of photoreceptor cells project in parallel to a small number of interneuronal types. Moreover, these interneurons are arranged in an ordered manner in multiple parallel cell layers, which are interconnected orthogonally such that spatial relationships are retinotopically preserved across layers (figure 2b).

In addition to these similarities in circuit organization, there are parallels in the molecular mechanisms of visual system development in fly and mouse, and these are exemplified by the comparable expression and function of otd and its mammalian homologues Otx1/2 [53,54]. In early mouse embryogenesis, Otx1 and Otx2 are expressed in the precursors of developing sensory organs such as the optic vesicle and the otic vesicle, as well as in the anlagen of the forebrain. Both genes are expressed throughout the optic vesicle at early stages; subsequently, their expression becomes more regionalized. Otx1 continues to be transcribed later in development in the iris, ciliary process and lacrimal gland, whereas Otx2 becomes restricted to the dorsal part of the optic vesicle and the presumptive retinal pigment epithelium territory [24,53,55,56]. Later in embryogenesis, as the eye undergoes regional specification, a second wave of Otx2 expression appears in the neural retina in neuronal and glial precursors [55]. Otx genes are also expressed in higher brain centres associated with vision; the developing lateral geniculate and superior colliculus express Otx1 and Otx2, and Otx1 is expressed in layers 5 and 6 of the visual cortex as well [56,57].

Loss-of-function of Otx genes results in a variety of defects in the visual system. In Otx1 null mice, the ciliary process is absent, the iris is reduced and the eye-associated glands do not differentiate [58]. Otx2 null mice are early embryonic lethal owing to severe defects in gastrulation and head formation [59–61]. Allelic combinations of Otx1 and Otx2 that avoid early lethality reveal visual system defects such as the drastic reduction of the eyes, the malformation of the lens and retina, and the defasciculation of the retinal ganglion cell axons in the optic nerve (figure 2c) [58]. Conditional knockouts of Otx2 during eye development result in a comparable reduction of the eye as well as a conversion of photoreceptors to amacrine cells [62]. Loss of Otx1 in the visual cortex results in aberrant connectivity of cortical neurons with subcortical projections, suggesting a role for Otx1 in the refinement of the cortical/subcortical circuitry [63].

Interestingly, Crx, which was identified as a member of the Otx family of transcription factors, was also shown to be specifically expressed in photoreceptor neurons in two phases [64,65]. During embryonic development, Crx is expressed in the cone photoreceptors, but it is most highly expressed postnatally in the differentiating rod photoreceptors [64]. Mice deficient for Crx function exhibit several defects in the retina. The proximal terminals of photoreceptor neurons fail to elaborate outer segment structures, a phenotype reminiscent of the defective rhabdomeres of otd null photoreceptors in Drosophila (figure 2c) [46,64]. The distal terminals of Crx null photoreceptors are also defective in that they are unable to initiate appropriate synaptogenesis in the outer plexiform layer [66]. Furthermore, there appears to be a degeneration of photoreceptor neurons, as evidenced by the progressive loss of nuclei from the nuclear layer of the retina [67]. A variety of in vitro and in vivo assays have demonstrated that Crx regulates the expression of many photoreceptor genes [65,68,69]. Crx null mice have a higher level of spontaneous activity of the bipolar cells in the retina, resulting in an increased synaptogenesis between the bipolar cells and the retinal ganglion cells [70].

In summary, similar to the fly otd gene, the mammalian Otx1/2 genes are expressed in the developing peripheral and central visual systems, and are crucial for their correct development.

4.4. Coincidence, causality or conservation?

In this review, we have highlighted the functional similarities in the pervasive requirement of the ems/Emx genes of flies and mice in the development of the olfactory sensory systems of these animals, which share striking morphological similarities. Thus, the fly ems acts in the development of the larval peripheral and central olfactory system, and the adult peripheral and central olfactory system; the mouse homologues of ems, Emx1/2, act in the development of the mouse peripheral olfactory system, as well as in the mouse central olfactory system. Moreover, similar to the pervasive requirement of ems/Emx genes in olfactory system development, the visual sensory systems of flies and mice, which also share striking structural similarities, require the action of another cephalic gap gene, otd, and its mouse homologues, Otx1/2 (and Crx). Thus, the fly otd acts in the development of the larval peripheral and central visual system, and the adult peripheral and central visual system; the mouse homologues of otd, Otx1/2, act in the development of the mouse peripheral visual system, as well as in the mouse central visual system. Are these similarities purely coincidental, or is there a developmental or evolutionary explanation behind them?

Developmental control genes have been shown to act recurrently in many related and unrelated developmental processes and contexts, and hence the possibility that these similarities are purely coincidental cannot be completely ruled out. However, a more reasonable view is that these remarkable similarities in cephalic gap gene action in the construction of two sensory systems that are structurally so similar are not purely coincidental.

One possible explanation for the striking similarities in cephalic gap gene action, emanating from the latter view, is that they reflect lineage relationships. During early embryonic development in insects and mammals, both ems/Emx and otd/Otx genes act in large, evolutionarily conserved domains in the anterior cephalic neuroectoderm. The neural cells that derive lineally from these cephalic domains (and hence fate-map to these domains) may continue to require the cephalic gap gene during their subsequent development.
For example, in *Drosophila*, peripheral and central elements of the larval and adult olfactory system are generated by precursors that derive from the *ems*-expressing antennal segment, and many of these elements continue to require the *ems* gene reiteratedly during their embryonic and postembryonic development. Thus, the recurrent utilization of *ems* during the development of the fly olfactory system might merely be a manifestation of the developmental history of the cells that compose the olfactory system. Similar considerations hold for the *otd* gene in fly visual system development, as well as for the *Emx* and *Otx* genes in mammalian olfactory and visual system development. Interestingly, the fact that both peripheral and central elements of a given sensory system require the same cephalic gap gene could serve to genetically couple the development of the sense organs and their central brain circuitry such that both evolve in a coordinated manner.

There is also another possible explanation consistent with the conserved uses of genes during development as outlined earlier. The remarkable similarities in cephalic gap gene expression and function during the development of sensory systems in both flies and mammals could be a reflection of a common evolutionary origin of these sensory systems. Thus, it is possible that the sensory systems of extant insects and vertebrates have evolved from sensory systems that were already present in the last urbilaterian ancestor of insects and vertebrates. While this hypothesis needs careful testing, there is some evidence to support it. Recent work has shown that expression and function of many of the developmental control genes involved in anteroposterior and dorsoventral patterning of the nervous system, including the cephalic gap genes, are conserved in invertebrates and vertebrates [71–73]. Moreover, there is increasing evidence that specific neuronal types, and even complex associative brain areas might be conserved among bilaterian animals [74–77]. These findings suggest that conserved developmental control genes and patterning mechanisms might already have been present in the last common urbilaterian ancestor, and these in turn could have given rise to a reasonably complex nervous system over 600 Myr ago [78]. In this scenario, the similarities in the development of sensory systems in insects and mammals that we have highlighted here might reflect their common origin from ancestral sensory systems with comparable developmental features. If this is the case, then the strikingly similar organization of the olfactory and visual circuitry in insects and mammals might be due, at least in part, to a common evolutionary origin from ancestral olfactory and visual systems, which arose from embryonic domains that expressed ancestral homologues of *ems/Emx* and *otd/Otx*.

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