Abstract. Single-walled carbon nanohorns (SWNHs) can accumulate in a variety of cell types or tissues and exert biological effects, which have been demonstrated to induce apoptosis in hepatoblastoma cells. However, the role and molecular mechanisms of SWNHs remain unclear. The mitochondrion is an important subcellular structure and may contribute to apoptosis that is induced by SWNHs in hepatoblastoma cells. To address this question, the mitochondrial function of HepG2 or L02 cells that were treated with SWNHs was examined. The results indicated that SWNHs were able to decrease the mitochondrial membrane potential and suppress the activity of the Na+/K+-ATPase. Secondly, HepG2 cells and L02 cells were treated with SWNHs in vivo and in vitro. The expression of mitochondrial-associated proteins [acyl-CoA synthetase short chain family member 1, Bax, cytochrome C (CYT-C), sodium channel epithelial 1α subunit, sirtuin 3 (SIRT3) and voltage-dependent anion channel 1] was analyzed by western blotting and immunohistochemical staining. The results revealed that SWNH treatment was able to alter the expression of multiple mitochondrial apoptotic pathway-associated proteins in HepG2 cells. SWNH treatment was able upregulate the expression of SIRT3, CYT-C and VDAC1 and downregulate the expression of AceCS2, but it had a more stable effect on SIRT3. However, similar findings were not observed in L02 cells. Therefore, the data from the present study indicated that SWNHs might be used as a safe anticancer agent, where it is able to trigger mitochondrial dysfunction-induced apoptosis by upregulating SIRT3 expression in HepG2 cells.

Introduction

Hepatoblastoma, originating in hepatic primordial embryonic cells, is the most common malignancy of primary epithelial origin in children worldwide and is usually diagnosed in the first 3 years of life (1-3). For patients with hepatoblastoma, the majority of cases are sporadic and no predisposition is identified, so the survival rate usually depends on the resectability of the tumor (4). Fortunately, chemotherapy is able to shrink unresectable tumors to a resectable size, but the toxicity of chemotherapy drugs to normal tissues limits their use (5). Furthermore, the poor results of multifocal disseminated and metastatic tumors merit investigation of new cytotoxic drugs and substances against specific molecular targets.

Lately, a number of researchers have reported that sirtuin 3 (SIRT3) has a major role in repairing cell injury, inhibiting cell necrosis and preventing cell canceration (6-8). As one of the seven families of the sirtuin gene, SIRT3 is primarily localized in the mitochondria and it exhibits histone deacetylase activity. SIRT3 has a crucial role in cell development and repair (9). For example, SIRT3 is necessary to prevent age-associated hearing loss, reduce oxidative stress and mediate damage by caloric restriction (10,11). The mechanism that SIRT3 attenuates the dysfunction of activated cells is closely associated with
mitochondrial function, which includes decreasing the opening of the mitochondrial permeability transition pore (MPTP) and expression of cyclophilin D as well as inhibiting the release of CYT-C to the cytoplasm, and decreasing the Bax/B-cell lymphoma-2 (Bcl-2) ratio and caspase-3/9 activity (7). On the contrary, the deficiency of SIRT3 leads to the failure of repair from damage in cells, and continuous injury is able to induce carcinogenesis (8,12). SIRT3 is able to function as a cytoprotection factor and mitochondrial tumor suppressor (12), and therefore upregulating SIRT3 expression may be a potential anticancer strategy for tumor therapy.

The single-walled carbon nanohorn (SWNHs), a graphene-based pseudo tubule with the absence of any metal catalyst, was first synthesized by Iijima's group in 1999 (13). Based on the characteristics of good biocompatible and potential application for drug carriers, SWNHs had quickly entered the biomedical field (14,15). Unexpectedly, SWNHs were able to exert biological effects, including anticancer (16) and anti-inflammatory activity (17). In addition, SWNHs were able to restrain growth, proliferation and mitotic entry of human liver normal and cancer cells. SWNHs were also able to inhibit cell apoptosis, particularly in the HepG2 cell line, which was revealed by previous findings (18). SWNHs have been demonstrated to promote apoptosis in HepG2 cells by activating tumor suppressor p53, caspase-3 and -7 (18). However, there is a lack of understanding regarding the upstream molecular mechanism of SWNHs and its effect on subcellular structures. Therefore, to the best of our knowledge, the present study is the first description of the association between treatment with SWNHs and mitochondrial function.

Materials and methods

**Properties of SWNHs.** SWNHs were produced in air by arc-discharge, and then high-resolution transmission electron microscopy was used for characterization. Subsequently, the SWNHs were suspended in ultrapure water (10 µg/ml), placed on a polystyrene dish and dried at 80˚C for 4 h. The dishes were treated with ultraviolet irradiation for 1-2 h before use. The abbreviations (SW0, SW10, SW20, SW30, SW40 and SW50) correspond to the concentrations of SWNHs in each dish: 0, 0.21, 0.42, 0.64, 0.85 and 0.96 µg/cm², respectively.

**Cell culture.** The human hepatoblastoma cell line, HepG2, and the human normal liver cell line, L02, were obtained from American Type Culture Collection (Manassas, VA, USA). The cells were seeded into PS dishes coated with SW0, SW10, SW20, SW30, SW40 and SW50 and then cultured with Dulbecco's modified Eagle's medium accompanied with 10% fetal bovine serum (Gibco; Thermo Fisher Scientific, Inc.), 100 U/ml penicillin G and 100 µg/ml streptomycin (SW30010; BD Biosciences, San Jose, CA, USA) at 5% CO₂ with 37˚C.

**Mitochondrial membrane potential assay.** Mitochondrial membrane potential (MMP) was analyzed using a JC-1 fluorescent probe kit (Beyotime Institute of Biotechnology, Haimen, China). The lipophilic and cationic fluorescent dye, JC-1 (5,5′,6,6′-tetrachloro-1,1′,3,3′-tetracythyl benzimidazol carbocyanine iodide), is capable of selectively entering mitochondria, forming aggregates in mitochondria and emitting red fluorescence at high MMP. However, in low MMP, JC-1 cannot enter mitochondria and forms monomers that emit green fluorescence. The ratio of red to green fluorescence provides an estimate of change in MMP. The aggregates/monomer ratio was quantified at an excitation wavelength of 524 nm, emission wavelength of 590 nm (monomer, excitation at 490 nm and emission at 350 nm). HepG2 cells or L02 cells were co-cultured with SWNHs for 48 h in a 6-well plate and then treated with JC-1 (5 µg/ml) at 37°C for 30 min. Then, the cells were washed with JC-1 Staining Buffer (Beyotime Institute of Biotechnology) for three times. The fluorescence intensity was immediately measured using a fluorescence microscope at a magnification of x200 (IX71; Olympus Corporation, Tokyo, Japan). The intensity of green and red fluorescence was measured using Image-ProPlus 6.0 software (Media Cybernetics, Rockville, MD, USA).

**Western blotting of HepG2 and L02 cells.** HepG2 (3x10⁵) and L02 (3x10⁵) cells were seeded into dishes and co-cultured with or without SWNHs for 48 h. Then, total protein was extracted with a protein extraction kit (Nanjing KeyGen Biotech Co., Ltd., Nanjing, China). The concentration of these proteins was monitored by the BCA protein assay (Thermo Fisher Scientific, Inc.). The proteins extracted from each sample were subjected to 10% SDS-PAGE electrophoresis and then transferred to a PVDF membrane (Merck Millipore, Darmstadt, Germany). Next, the membranes were incubated with primary antibodies [including acetyl-CoA synthetase 2 (AceCS2) (DF3727, 1:200), SCNN1A (DF9199, 1:200), SIRT3 (AF5135, 1:200), VDAC1 (DF6140, 1:200) (all from Affinity Biosciences, Cincinnati, OH, USA), Cyt-C (sc-13561, 1:50), Bax (sc-4239, 1:50) (both from Santa Cruz Biotechnology, Inc., Santa Cruz, CA, USA)] and secondary antibodies (S0001, 1:500; Affinity Biosciences) at 4°C overnight. The blots were projected onto X-ray film (Carestream, Shanghai, China) following visualization by chemiluminescence using the ECL kit (EMD Millipore, Billerica, MA, USA). Image Pro Plus 6.0 software (Media Cybernetics) was used to measure the intensity of protein bands.

**In vivo experiment.** A total of 20 nude mice, half of male and female, were purchased from the Laboratory of Animal Sciences (Southern Medical University, Guangzhou, China).
The nude mice were randomly divided into 2 groups, and the number of males and females in each group was guaranteed to be equal. The experiment was initiated with 6 week-old mice weighing 20-25 g. HepG2 cells were resuspended at a density of 1x10^5 cells/ml, and then 0.1 ml suspension was subcutaneously injected into the right flanks of nude mice (n=10/group). While the tumor size reached 3-8 mm, it was set as the first day of the experiment.

SWNHs were formulated at a concentration of 1.0 mg/ml in PBS. The nude mice were randomly divided into two groups and then injected with SWNHs (50 µl) or vehicle once every three days for 6 times, and this was terminated on the 18th day. Then, the mice were sacrificed on the 21st day, and the tumor was dissected from each mouse. These tumors were cut into two sections, then the expression of SIRT3, AceCS2, SCNN1A, VDAC1, CYT-C and Bax was analyzed by western blotting and immunohistochemical staining assay. Staining with H&E and Sirius red was performed to observe cell morphology and distribution of extracellular collagen fibers. After the sections were deparaffinized and hydrated, tumor tissues were H&E-stained using a hematoxylin and eosin staining kit (Solarbio, Beijing, China); the nuclei were stained with hematoxylin stain at 37˚C for 5 min, and the cytoplasm was then stained with eosin staining solution at 37˚C for 30 sec. The extracellular matrix was stained with Sirius Red stain (Solarbio, Beijing, China) at 37˚C for 15 min.

The experiments on the mice were performed according to the standards that were supported by the Animal Protection Committee of Southern Medical University. The ethics approval was obtained from Animal Ethical and Welfare Committee of South Medical University (reference no. IACUC-2017-0120).

Statistics analysis. Data were obtained from at least three independent experiments. The data are presented as the mean ± standard deviation. One-way analysis of variance or Student's t-test was used for statistical analysis with SPSS (version 20.0; IBM Corp., Armonk, NY, USA). For multiple comparisons of variance analysis, different post hoc test methods were chosen based on the homogeneity of variance. When the variances were equal, the LSD test was used. When the variance was not uniform, Dunnett T3 was used. P<0.05 was used to indicate statistical significance. GraphPad Prism software (GraphPad Software, Inc., La Jolla, CA, USA) was used.

Results

Treatment with SWNHs depolarizes MMP of HepG2 cells. Mitochondria have a central role in the regulation of apoptosis as it contains many apoptogenic proteins, including CYT-C and apoptosis-inducing factor (AIF). Depolarized MMP may change mitochondrial permeability transition (MPT), which results in the release of apoptogenic proteins (19,20). To demonstrate the effect of SWNHs on MMP, the JC-1 kit was used to detect the changes in MMP in L02 and HepG2 cells. The results indicated that after the co-culturing of the cells with SWNHs for 48 h, there was no observable change in MMP in L02 cells (Fig. 1). As for the HepG2 cells, MMP was depolarized in a concentration-dependent manner. The difference between the experimental group and the control group was statistically significant, when the concentration of SWNHs was >0.64 μg/cm² (P<0.05). This result showed that SWNHs, as a type of nanomaterial, were able to enter and target mitochondria to induce mitochondrial dysfunction in tumor cells. However, it has little effects on normal cell mitochondrial function.

SWNHs inhibited Na⁺/K⁺-ATPase activity of HepG2 cells. The Na⁺/K⁺-ATPase, a membrane ATP consumable protein, is critically important for the maintenance of the ion gradients required for cell homeostasis (21). The Na⁺/K⁺-ATPase activity of HepG2 cells was detected after co-culture with SWNHs for 48 h. The result showed low doses of SWNHs (<0.64 μg/cm²) have little effects on the activity of Na⁺/K⁺-ATPase (Fig. 2). However, with an increasing concentration of SWNHs, the activity of Na⁺/K⁺-ATPase gradually declined. When the concentration was ≥0.85 μg/cm², the activities of the experimental groups were statistically significant compared with the control group (P<0.05).

SWNHs induce mitochondrial apoptosis pathway-related protein expression in vitro. To confirm whether mitochondrial dysfunction-induced apoptosis in HepG2 and L02 cells appeared after exposure to SWNHs, the expression of SIRT3 and other five mitochondrial apoptosis markers was determined by western blotting. In HepG2 cells, SWNHs were able to upregulate the expression of SIRT3, VDAC1, SCNN1A and CYT-C (Fig. 3A and C). In particular, the expression levels of CYT-C, SIRT3 and VDAC1 exhibited 2 or 3-fold increase in expression when compared with the control group, and these differences in expression were statistically significant (P<0.05). Furthermore, a high concentration of SWNHs was able to downregulate the expression of Bax and AceCS2. When the concentration of SWNHs was ≥1 μg/cm², the expression of Bax was significantly different from that of the control group (P<0.05). When the concentration of SWNHs was ≥0.85 μg/cm², there was a difference in the expression of AceCS2 compared with the control group (P<0.05). In L02 cells, the expression of CYT-C, SIRT3, VDAC1, AceCS2, Bax and SCNN1A group did not exhibit significant changes compared with the control (Fig. 3B and D). This result was a preliminary validation of our hypothesis that SWNHs are able to induce the apoptosis of HepG2 cells via the mitochondrial apoptotic pathway.

SWNHs induce the expression of mitochondrial apoptosis pathway-associated proteins in vivo. To further confirm whether SWNHs are able to induce apoptosis that is mediated by mitochondrial dysfunction in vivo, nude mice bearing HepG2 tumor xenografts were employed. After 21 days of treatment with SWNHs, tumors were dissected from each mouse (Fig. 5B). The expression of mitochondrial apoptosis pathway-associated proteins in the xenografts was analyzed using western blotting and immunohistochemical staining. Western blotting revealed that when compared with the control group, SWNH treatment was able to upregulate the expression of CYT-C, Bax, SCNN1A, AceCS2, SIRT3 and VDAC1 (Fig. 4). However, only differences in the expression of SIRT3 and Bax between the treatment and control groups were statistically significant (P<0.05). For immunohistochemical
staining results, SIRT3 expression in the treatment group was significantly higher compared with the control group.

Discussion

Apoptosis is an ordered and coordinated process of cellular change that occurs under physiological or pathological conditions. Apoptosis has an important role in the occurrence and development of tumor. Furthermore, inhibition of apoptosis is the principal mechanism of tumorigenesis (22). To date, a number of apoptotic pathways have been identified. One of the most important of apoptotic pathways is the intrinsic mitochondria-dependent pathway (23). The mitochondrion is a double-membrane organelle that participates in various functions, including ATP production, steroid hormone synthesis,
reactive oxygen species (ROS) production and ionic regulation (24). The intrinsic mitochondria-dependent pathway converges at the membrane of the mitochondria. Under certain cell death signals, the outer membrane of the mitochondria becomes sufficiently permeable to allow the release of soluble hemoprotein CYT-C, AIF, Smac/Diablo, endonuclease G, Bax as well as other intermembrane space proteins, which irreversibly activate downstream caspases to carry out the apoptosis process (25).

Difficulty in treating hepatoblastoma is a worldwide problem, which is characterized by a high prevalence of drug resistance and is able to be cured by surgical resection (26,27). Systemic chemotherapeutic, as a preoperative routine treatment for unresectable hepatoblastoma, has been applied in clinical practice for many years (28). However, a high toxicity and drug resistance pose challenges to the efficiency of chemotherapeutic drugs (29). Therefore, it is extremely important to find more effective chemotherapeutics.

Nanomaterials are likely to have an important role in the treatment of tumors, particularly for hepatoblastoma. Preliminary experimental studies have shown that a number of carbon nanomaterials inhibited the growth and proliferation of L02 or HepG2 cells (30,31). SWCNTs have great potential for tumor treatment due to their excellent biocompatibility and huge drug-bearing capacity. SWCNTs have been demonstrated to enter cells in different ways and are located in different subcellular structures, including lysosomes, mitochondria and even the nuclei (32). SWNHs have similar structure to SWCNTs, but SWNHs have several unique properties, including being non-toxic and having a high capacity
for drug loading (13). SWNHs have been considered as an excellent candidate for drug carrier (33). Notably, SWNHs itself has a certain biological effect. It has been demonstrated that SWNHs are able to induce the apoptosis of HepG2 cells, while they have little effects on the normal hepatic cell line L02 (18). Although the mechanism of how SWNHs promote the apoptosis of HepG2 cells and the subcellular localization of SWNHs remain unclear, the mechanism may be similar to SWCNTs, which can affect the function of mitochondria (32).

The maintenance of MMP has an important role in mitochondrial function, and the present results of MMP detection suggested that SWNHs might be able to depolarize the MMP of HepG2 cells. There might be two reasons for this observation. Firstly, SWNHs accumulate in the mitochondrial matrix, and change the distribution of protons and ions on both sides of the mitochondrial inner membrane, which might be a direct reason for MMP dipolarization. Secondly, since SIRT3 has been demonstrated to have an important role in the integrity of the mitochondrial membrane, changes in SIRT3 expression may also have an impact on MMP (34). However, there were no marked changes in the MMP of L02 cells in this study. The differences in the biological behavior of HepG2 and L02 may be associated with the endocytosis and metabolic rate of cells. The loss of MMP is able to reduce the permeability of the mitochondrial membrane, which not only means the loss of mitochondrial function but also the early manifestation of apoptosis (35).

The mitochondrion is the center of cellular energy production. The depolarization of the mitochondrial membrane may block the oxidative phosphorylation of cells, thereby affects ATP synthesis. Nevertheless, SWNHs not only affects the synthesis of ATP but also restricts the ability of the cells to utilize ATP. The result of Na⁺/K⁺-ATPase activity detection presented that low concentrations of SWNHs had no significant effects on the activity of the ATP enzyme. However, with the increase in the concentration of SWNHs, the activity...
of the ATP enzyme decreased gradually. This implied that higher concentrations (≥0.85 µg/cm²) of SWNHs were able to inhibit the ability of the HepG2 cells to use ATP, and it led to a decrease in cell metabolism. It was also revealed that SWNHs were able to enter the cytoplasm via the cell membrane and disrupt cellular energy metabolism, which is another hallmark of apoptosis.

To confirm the mechanism of the apoptosis pathway that is induced by mitochondrial dysfunction in SWNH-treated cells, the expression of mitochondrial apoptosis pathway-associated proteins in HepG2 and L02 cells were detected by western blotting. The results showed that higher concentrations of SWNHs significantly increased SIRT3 expression in HepG2 cells, especially in SW40 and SW50. SIRT3 works as a tumor suppressor that is localized in the mitochondria, which is able to maintain the integrity of mitochondria, metabolism during stress (8) and help to depress mitochondrial membrane permeability. Numerous studies reported that the upregulation of SIRT3 might be able to maintain the integrity of the mitochondrial membrane and have a protective role in normal cells (6,7,9). However, the upregulation of SIRT3 has different effects on tumor cells. A number of studies have indicated that SIRT3 was able to inhibit the growth and proliferation of HepG2 cells as well as inducing apoptosis, which may be associated with the upregulation of MnSOD and p53. Furthermore, Bax and Fas were upregulated by MnSOD (36,37). Meanwhile, our results showed that SWNHs are also able to facilitate the expression of VDAC1 and CYT-C in HepG2 cells. VDAC1 is a key component of the MPTP, which is able to signal CYT-C to initiate the mitochondrial-mediated cell death cascade (38). The accumulation of SWNHs in cells are able to accelerate the expression of VDAC1, which increases mitochondrial permeability, upsets the voltage balance and causes MMP depolarization (39). Studies have revealed that the activity of AcerCS2 contributes to the growth of cancer cells under conditions of hypoxia and lipid depletion (40). Interestingly, SWNHs therapy was able to attenuate AcerCS2 expression via SIRT3 outbreak bursts (27,28), which meant SWNHs are able to interfere with cellular tricarboxylic acid cycle and affect cellular energy metabolism.

These findings suggested that there are three ways of how SWNH treatment may result in HepG2 mitochondrial dysfunction-induced apoptosis (Fig. 6), where SIRT3 was a midpoint (34). Firstly, SWNH treatment inhibited the activity of AcerCS2 via SIRT3, then blocked fatty acid synthesis and the tricarboxylic acid cycle. Secondly, the outbreak of SIRT3 induced a large production of pro-apoptotic factors, Bax, Fas and CYT-C, and an accumulation of these factors in the mitochondria. These pro-apoptotic factors are able to pass through the mitochondrial membrane into the cytoplasm via the VDAC1 channel and then induce apoptosis. Thirdly, it had been demonstrated that SIRT3 was able to activate p53, which has a pivotal role in tumor suppression (18). As for L02 cells, the current therapeutic doses of SWNHs did not result in significant changes in the levels of mitochondrial apoptotic proteins, therefore this treatment regimen may be safe to normal cells within a certain range.

An in vivo experiment was performed to further confirm the molecular mechanism of how SWNHs promote the apoptosis of HepG2 cells. Although the effect of SWNH treatment on HepG2 tumor xenografts remain at an early stage based on the cell morphology and the expression level of CYT-C,
the current dose of SWNHs was sufficient to increase the expression of SIRT3, Bax and VDAC1. There are several reasons that may account for the differences observed between immunohistochemical staining and western blotting. Firstly, immunohistochemical staining and western blotting are semi-quantitative methods for proteins, and they do not fully reflect the actual protein expression. Secondly, there might be an unequal distribution of SWNHs in tumor xenografts. Thirdly, the number of experimental animals used is small, and there might be differences between the animals. In addition, Bax, CYT-C, SCNN1A and VDAC1 were mainly located in the cytoplasm and cell membranes, while AceCS2 appeared in the cytoplasm and nucleus in all xenografts. As for SIRT3, it was mainly expressed in the cytoplasm in the control group. However, following treatment with SWNHs, SIRT3 expression was observed in the nuclei of tumor cells. In addition, staining with hematoxylin and eosin and Sirius red was performed. There was no significant difference in the morphology of the cells or nuclei and the distribution of collagen fibers between the two groups (Fig. 5C). From these findings, the mechanism of how SWNHs promote mitochondrial dysfunction-induced apoptosis was further confirmed. This therapeutic effect was likely to be associated with the activation of SIRT3. SWNH treatment had a stable effect on SIRT3 based on the immunohistochemical staining and western blotting results. SWNHs were able to intrinsically induce mitochondrial dysfunction in HepG2 cells and apoptosis.

Taken together, the present study demonstrated that SWNHs, as a potential antineoplastic agent, are able to enter hepatoblastoma cells and accumulate in the mitochondria, triggering the release of SIRT3 from mitochondria to cytoplasm, which interferes with mitochondrial function and initiates mitochondrial dysfunction-induced apoptosis.

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Availability of data and materials

The analyzed data sets generated during the study are available from the corresponding author on reasonable request.

Authors' contributions

BL and XC conducted the cell and animal experiments and collected the data and wrote the manuscript. WY, JH, KH and ZX analyzed and interpreted the data from the experimental results. GX and JZ were involved in the conception and design of the study, and revised the manuscript. All authors have read and approved the final version of the manuscript.

Ethics approval and consent to participate

The experiments on the mice were performed according to the standards that were supported by the Animal Protection Committee of Southern Medical University. The ethics approval was obtained from Animal Ethical and Welfare Committee of South Medical University (reference no. IACUC-2017-0120).

Patient consent for publication

Not applicable.

Competing interests

The authors declare that they have no competing interests.

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