Title
Restriction Spectrum Imaging Differentiates True Tumor Progression From Immune-Mediated Pseudoprogression: Case Report of a Patient With Glioblastoma.

Permalink
https://escholarship.org/uc/item/42m1d6tw

Authors
Daghighi, Shadi
Bahrami, Naeim
Tom, William J
et al.

Publication Date
2020-01-28

DOI
10.3389/fonc.2020.00024

Peer reviewed
Restriction Spectrum Imaging Differentiates True Tumor Progression From Immune-Mediated Pseudoprogression: Case Report of a Patient With Glioblastoma

Shadi Daghighi¹,², Naeim Bahrami¹, William J. Tom², Nicholas Coley³, Tyler M. Seibert¹,⁴, Jona A. Hattangadi-Gluth¹,⁴, David E. Piccioni⁵, Anders M. Dale¹,²,⁵, Nikdokht Farid¹,²† and Carrie R. McDonald¹,⁴,⁶*

¹ Center for Multimodal Imaging and Genetics, University of California, San Diego, La Jolla, CA, United States, ² Department of Radiology, University of California, San Diego, La Jolla, CA, United States, ³ Department of Pathology, University of California, San Diego, La Jolla, CA, United States, ⁴ Department of Radiation Medicine, University of California, San Diego, La Jolla, CA, United States, ⁵ Department of Neurosciences, University of California, San Diego, La Jolla, CA, United States, ⁶ Department of Psychiatry, University of California, San Diego, La Jolla, CA, United States

Immunotherapy is increasingly used in the treatment of glioblastoma (GBM), with immune checkpoint therapy gaining in popularity given favorable outcomes achieved for other tumors. However, immune-mediated (IM)-pseudoprogression is common, remains poorly characterized, and renders conventional imaging of little utility when evaluating for treatment response. We present the case of a 64-year-old man with GBM who developed pathologically proven IM-pseudoprogression after initiation of a checkpoint inhibitor, and who subsequently developed true tumor progression at a distant location. Based on both qualitative and quantitative analysis, we demonstrate that an advanced diffusion-weighted imaging (DWI) technique called restriction spectrum imaging (RSI) can differentiate IM-pseudoprogression from true progression even when conventional imaging, including standard DWI/apparent diffusion coefficient (ADC), is not informative. These data complement existing literature supporting the ability of RSI to estimate tumor cellularity, which may help to resolve complex diagnostic challenges such as the identification of IM-pseudoprogression.

Keywords: brain tumors, restriction spectrum imaging, immunotherapy, pseudoprogression, diffusion imaging

BACKGROUND

In patients with GBM, surgical resection, followed by radiotherapy (XRT) with concomitant and adjuvant temozolomide is the standard of care (1, 2). In up to 30% of patients, areas of new or increasing enhancement and/or edema are seen on standard MRI within the radiation field within the first few months following treatment (3). These findings mimic tumor progression; however, they often resolve or stabilize without intervention. This phenomenon is referred to as pseudoprogression. Although the underlying pathophysiology is unknown, it is thought to represent treatment effect (3). In fact, patients who develop pseudoprogression have overall improved survival rates (4). Due to the complexity of differentiating pseudoprogression from true...
pseudoprogression, current guidelines established for evaluating response to therapy in high-grade glioma [i.e., Response Assessment in Neuro-oncology; RANO (5)] dictate that progressive disease should not be diagnosed on imaging within the first 3 months following completion of XRT unless there is: (1) new enhancement outside the main radiation field or; (2) pathologic confirmation of unequivocal tumor progression.

Recently, a new type of pseudoprogression has emerged, further confounding the evaluation of treatment response in patients with brain tumors. This type of pseudoprogression follows treatment with immunotherapy. Immunotherapy is a broad term which refers to several different types of therapeutic agents, that target tumor cells by harnessing the patient's own immune system. These agents include checkpoint inhibitors, vaccines, CAR-T therapy, and viral therapy (6). Following treatment with immunotherapy, an immune reaction occurs in the tumor bed with additional systemic effects throughout the brain (7). As a result, areas of enhancement and edema appear within and possibly distal to the primary tumor site. As with standard pseudoprogression, immune-mediated (IM)-pseudoprogression can mimic true tumor progression (8). However, IM-pseudoprogression may occur later than standard pseudoprogression and may occur distal to the primary site of tumor (9). This has led to the development of the immune RANO (iRANO) criteria (9). According to these criteria, progressive disease should not be diagnosed on imaging within the first 6 months following initiation of immunotherapy. Given the profound implications for patient care, the ability to differentiate true progression from IM-pseudoprogression is of critical importance in Neuro-Oncology. Misdiagnosis could potentially delay survival-prolonging treatments in patients with true progression, while resulting in unnecessary surgical, or medical interventions in patients with IM-pseudoprogression.

Conventional imaging alone has failed to differentiate IM-pseudoprogression and true tumor progression, as both are characterized by areas of increased contrast enhancement and edema (10). To date, very few studies have utilized advanced neuroimaging techniques to address the issue of IM-pseudoprogression despite its increased recognition (11–13). Among these, diffusion-weighted imaging (DWI) has generated attention due to its proposed ability to provide a surrogate marker of changes in tumor cellularity. However, it is well-recognized that DWI-derived apparent diffusion coefficient (ADC) values are influenced by both edema and necrosis within and surrounding the tumor bed. Because the immune response may increase edema, lymphocytic infiltration, and necrosis, the effects of these pathologies on the ADC signal may reduce its ability to differentiate true progression from IM-pseudoprogression.

An advanced DWI method called restriction spectrum imaging (RSI) has emerged as a promising new technique for estimating tumor cellularity and has demonstrated initial efficacy for evaluating treatment response in patients with GBM and other high-grade gliomas (14). Unlike standard DWI, RSI utilizes multiple b-values (b = 0, 500, 1,500, and 4,000 s/mm² with 1, 6, 6, and 15 unique gradient directions for each b-value, respectively) and diffusion times to separate different components of the diffusion signal, distinguishing the spherically-restricted diffusion signal associated with tumor cellularity from hindered diffusion signal that is influenced by edema and inflammation in the extracellular matrix (15–18). Because the immune response may increase edema, necrosis, and lymphocytic infiltration, we tested whether RSI could offer an advantage for differentiating true progression from IM-pseudoprogression.

We present a case of a patient with GBM who developed IM-pseudoprogression after initiation of a checkpoint inhibitor. This patient eventually developed true tumor progression at a separate location. While both the IM-pseudoprogression and the true tumor progression were characterized by regions of marked contrast enhancement and edema, the two could be readily distinguished using RSI, whereas differentiating these entities on ADC was quite challenging.

CASE PRESENTATION

A 64-year-old male with no family history of cancer presented with blurry vision in his right visual field, headache, and difficulty with multitasking and writing. Initial MRI (3T GE MR750 system) demonstrated a large enhancing mass in the left temporal-occipital lobe. The patient underwent gross total resection (GTR) (Figure 1, top row), and pathology showed GBM, IDH-wild type (Ki-67 40%, MGMT unmethylated, EGFR amplified). Following surgery, he received 6 weeks of radiation (60 Gy/30 fractions) and concurrent temozolomide (TMZ; 140 mg/night). One month following completion of radiation, he began therapy with a checkpoint inhibitor (nivolumab 240 mg IV q 2 weeks). Three months following initiation of nivolumab, the patient developed an area of heterogeneous enhancement around the surgical cavity with new confluent surrounding FLAIR hyperintensity (Figure 1, middle row). Whereas, the ADC was equivocal, there was no elevated signal on RSI or increased relative cerebral blood volume (rCBV) on dynamic susceptibility contrast (DSC) perfusion MRI. The patient had no changes in his clinical symptoms. Despite this, due to suspicion of tumor progression, the patient underwent a second resection. Histopathology revealed a lesion with abundant foamy macrophages in addition to a mixed chronic inflammatory infiltrate, neutrophils, and fragments of neutrophilic debris. Foci of geographic coagulative necrosis, attributed to radiation effect were also observed (Figures 2A–C). However, no viable tumor cells were detected, supporting a diagnosis of IM-pseudoprogression. Three months later, following treatment with nivolumab, the patient became dysarthric and his concentration and handwriting worsened. MRI revealed a new distant region of enhancement and FLAIR hyperintensity in the left posterior frontal lobe (Figure 1, bottom row), this time associated with elevated signal on RSI and increased rCBV. Although ADC did demonstrate subtle low signal at the site of enhancement at the time of true progression, this signal is very similar to that of the normal appearing white matter, while the elevated RSI signal within this region is much more conspicuous (see Figure 1; white arrows). The region was biopsied, and pathology showed highly cellular, moderately pleomorphic tumor cells with brisk mitotic activity and regions of serpiginous pseudopalisading necrosis. These findings confirmed recurrent GBM (Figures 2D–F). The area of IM-pseudoprogression at the original surgery site had...
FIGURE 1 | Multiparametric imaging of a patient with GBM at baseline (after 6 weeks of chemoradiation; top row), 3 months after initiation of nivolumab (middle row), and after another 3 months (bottom row). White arrows depict the regions of true tumor progression on ADC and RSI-CM. All images were acquired on a 3T GE MR750 system. RSI-CM, restriction spectrum imaging cellularity map.

FIGURE 2 | The top panels (A–C) are from the IM pseudoprogression time-point, demonstrating paucicellular, mitotically quiescent tissue whereas the bottom panels (D–F) are from the true progression time-point demonstrating glioblastoma. The IM pseudoprogression lesion contains abundant foamy macrophages (A) in addition to a mixed chronic inflammatory infiltrate (B). Some regions also show neutrophils and fragments of neutrophilic debris (insert B). Foci of geographic coagulative necrosis, attributable to radiation effects, are also present (C). The glioblastoma shows highly cellular, moderately pleomorphic, predominantly spindle-shaped tumor cells (D) with brisk mitotic activity (arrowheads E) and regions of serpiginous pseudopallisading necrosis (F). Magnification of the images is as follow: \((G,F \times 100, A,B,D \times 200, E,B \times 400)\). All images are taken from hematoxylin and eosin stained, formalin-fixed paraffin-embedded tissue.
resolved in the interim. Thereafter, there were no further imaging changes at this site.

Quantification of the RSI and ADC signals within regions of contrast-enhancement and FLAIR hyperintensity were performed to further support our visual analysis (Figure 3). Inspection of RSI signal intensity histograms in Figure 3 (top row) reveals peak frequencies that are much higher for true progression relative to IM-pseudoprogression and mostly non-overlapping intensity distributions in volumes defined by both contrast enhancement and FLAIR hyperintensity. Conversely, there is considerable overlap in the peaks and distribution of values for ADC in both contrast-enhancing and FLAIR hyperintense regions (Figure 3; bottom row), resulting in poor differentiation of true progression and IM-pseudoprogression. This is especially salient within the contrast-enhancing region where the mean RSI signal is higher for true progression (mean intensity = 1.00) than for IM-pseudoprogression (mean intensity = 0.89), whereas the ADC values within the contrast-enhancing region are nearly identical for true progression (mean intensity = 0.98), and IM-pseudoprogression (mean intensity = 0.97).

**DISCUSSION**

RSI is an advanced DWI technique that has been shown to improve tumor conspicuity in patients with high-grade glioma (16) and provide a better biomarker of response to therapy in patients on antiangiogenic agents, relative to standard DWI (17, 18). Furthermore, our previous work has shown that RSI estimates of cellularity are directly related to histopathological tumor cellularity in preclinical models (15, 19). The case presented here demonstrates that RSI may also be a promising tool for differentiating IM-pseudoprogression from true progression, potentially by isolating the restricted diffusion associated with cellularity and removing the hindered signal associated with edema, lymphocytic infiltration, and necrosis. This property of RSI provides an advantage over standard ADC, which is often dominated by changes in hindered diffusion (16). In fact, in a series of 21 children treated with a peptide-based vaccine for diffuse pontine gliomas, changes in ADC only modestly differentiated true progression from IM-pseudoprogression (12). The authors acknowledged that suboptimal differentiation was likely due to the inability of ADC to distinguish viable tumor (low ADC) from necrosis and vasogenic edema (high ADC). Thus, when measuring changes in ADC, the lower ADC values of a viable tumor likely blend in with the higher ADC values found in areas of necrosis and edema (see Figure 1; bottom row). As a result, current ADC models do not sufficiently meet the challenge posed by IM-pseudoprogression.

The case presented here demonstrates that RSI is able to differentiate pathologically proven IM-pseudoprogression from true progression in the same patient. This is supported by
both visual inspection and quantification of the RSI cellularity maps. Whereas, the visual analysis is critical for making individual diagnostic decisions, quantification of the RSI signal could be used to differentiate IM-pseudoprogression from true progression in clinical trials and/or when visual inspection is equivocal. In terms of feasibility, RSI has already been seamlessly integrated into the clinical workflow at our center as well as several others. The sequence takes ~4 min on most 3T MRI systems, is post-processed on a separate workstation within ~5 min of completion of the scan, and the post-processed RSI map is then sent back to PACS as the final series of the MRI scan. Furthermore, the clinical interpretation of the RSI map is analogous to that of DWI, albeit with greater conspicuity and specificity, making the output highly familiar to radiologists. It is important to note that in our case the RSI signal was concordant with results from DSC-MRI at both time-points. Thus, RSI-based measures of cellularity provide complementary information to rCBV, and therefore may be used in combination with perfusion imaging to increase confidence in diagnosing IM-pseudoprogression.

In addition to immunotherapy, our patient received standard chemoradiation with evidence of radiation effect on histopathology. Therefore, it is important to consider that the pseudoprogression observed in our patient may reflect a combination of standard chemoradiation and immunotherapy. However, the presence of inflammatory cells on histopathology and the timing of the MRI changes (>3 months following chemoradiation) increases the likelihood of IM-pseudoprogression. This case demonstrates that RSI could be used to prevent unnecessary biopsy or surgical interventions in the case of pseudoprogression in patients treated with immunotherapy.

**DATA AVAILABILITY STATEMENT**

The datasets generated for this study are available on request to the corresponding author.

**ETHICS STATEMENT**

The studies involving human participants were reviewed and approved by Human Research Protection Program at the University of California, San Diego. The patients/participants provided their written informed consent to participate in this study. Written informed consent was obtained from the individual(s) for the publication of any potentially identifiable images or data included in this article.

**AUTHOR CONTRIBUTIONS**

SD, NF, and CM wrote the case report. NB, DP, NC, JH-G, WT, AD, and TS reviewed and edited the manuscript.

**FUNDING**

The authors declare that this study received funding from GE Healthcare GE14347180341 (CM and NF) and American Cancer Society RSG-15-229-01-CCE (CM). The funders had no role in the study design, data collection and analysis, decision to publish, or preparation of the manuscript.

**REFERENCES**

1. Stupp R, Mason W, Bent Mvd, Weller M, Fisher B, Taphoorn M, et al. EORTC 22981: radiotherapy plus concomitant and adjuvant temozolomide for glioblastoma. N Engl J Med. (2005) 275:15–16. doi: 10.1097/01.COT.0000289242.47980.f9
2. Stupp R, Dietrich P-Y, Ostermann Kraljevic S, Pica A, Maillard I, Maeder P, et al. Promising survival for patients with newly diagnosed glioblastoma multiforme treated with concomitant radiation plus temozolomide followed by adjuvant temozolomide. J Clin Oncol. (2002) 20:1375–82. doi: 10.1200/JCO.20.5.1375
3. Taal W, Brandsma D, de Bruin HG, Bromberg JE, Swaak-Kragten AT, Smitth PAES, et al. Incidence of early pseudo-progression in a cohort of malignant glioma patients treated with chemoradiation with temozolomide. Cancer. (2008) 113:405–10. doi: 10.1002/cncr.23562
4. Gahramanov S, Varallyay C, Tyson RM, Lacy C, Fu R, Netto JP, et al. Diagnosis of pseudoprogression using MRI perfusion in patients with glioblastoma multiforme may predict improved survival. CNS Oncol. (2014) 3:389–400. doi: 10.2217/ons.14.42
5. Wen PY, Macdonald DR, Reardon DA, Cloughesy TF, Sorensen AG, Galanis E, et al. Updated response assessment criteria for high-grade gliomas: response assessment in neuro-oncology working group. J Clin Oncol. (2010) 28:1963–72. doi: 10.1200/JCO.2009.26.3541
6. McGranahan T, Therkelsen KE, Ahmad S, Nagpal S. Current state of immunotherapy for treatment of glioblastoma. Curr Treat Options Oncol. (2019) 20:24. doi: 10.1007/s11864-019-0619-4
7. Herskind C, Wenz F, Giordano FA. Immunotherapy combined with large fractions of radiotherapy: stereotactic radiosurgery for brain metastases—implications for intraoperative radiotherapy after resection. Front Oncol. (2017) 7:147. doi: 10.3389/fonc.2017.00147
8. Emblem KE, Bjornrud A. An automatic procedure for normalization of cerebral blood volume maps in dynamic susceptibility contrast-based glioma imaging, Am J Neuroradiol. (2009) 30:1929–32. doi: 10.3174/ajnr.A1680
9. Okada H, Weller M, Huang R, Finocchio G, Gilbert MR, Wick W, et al. Immunotherapy response assessment in neuro-oncology: a report of the RANO working group. Lancet Oncol. (2015) 16:e534–42. doi: 10.1016/S1470-2045(15)00088-1
10. Huang RY, Neagu MR, Reardon DA, Wen PY. Pitfalls in the neuroimaging of glioblastoma in the era of antiangiogenic and immuno/targeted therapy – detecting illusive disease, defining response. Front Neurol. (2015) 6:33. doi: 10.3389/fneur.2015.00033
11. Vrabec M, Van Cauter S, Himmelreich U, Van Gool SW, Sunaert S, De Vleeschouwer S, et al. MR perfusion and diffusion imaging in the follow-up of recurrent glioblastoma treated with dendritic cell immunotherapy: a pilot study. Neuroradiology. (2011) 53:721–31. doi: 10.1007/s00234-010-0802-6
12. Ceschin R, Kurland BF, Abberbock SR, Ellingson BM, Okada H, Jakacki RI, et al. Parametric response mapping of apparent diffusion coefficient as an imaging biomarker to distinguish pseudoprogression from true tumor progression in peptide-based vaccine therapy for pediatric diffuse intrinsic pontine glioma. AJNR Am J Neuroradiol. (2015) 36:2170–6. doi: 10.3174/ajnr.A4428
13. Antonios JP, Soto H, Everson RG, Moughon DL, Wang AC, Orpilla J, et al. Detection of immune responses after immunotherapy in glioblastoma using PET and MRI. Proc Natl Acad Sci USA. (2017) 114:10220–5. doi: 10.1073/pnas.1706689114
14. White NS, Leergaard TB, D’Arceuil H, Bjaalie JG, Dale AM. Probing tissue microstructure with restriction spectrum imaging: histological and theoretical validation. *Hum Brain Mapp.* (2013) 34:327–46. doi: 10.1002/hbm.21454

15. White NS, McDonald C, Farid N, Kuperman J, Karow D, Schenker-Ahmed NM, et al. Diffusion-weighted imaging in cancer: physical foundations and applications of restriction spectrum imaging. *Cancer Res.* (2014) 74:4638–52. doi: 10.1158/0008-5472.CAN-13-3534

16. White NS, McDonald CR, Farid N, Kuperman JM, Kesari S, Dale AM. Improved conspicuity and delineation of high-grade primary and metastatic brain tumors using “restriction spectrum imaging”: quantitative comparison with high B-value DWI and ADC. *AJNR Am J Neuroradiol.* (2013) 34:958–64 S1. doi: 10.3174/ajnr.A3327

17. Kothari P, White NS, Farid N, Chung R, Kuperman JM, Girard HM, et al. Longitudinal restriction spectrum imaging is resistant to pseudoresponse in patients with high-grade gliomas treated with bevacizumab. *AJNR Am J Neuroradiol.* (2013) 34:1752–7. doi: 10.3174/ajnr.A3506

18. McDonald CR, Delfanti RL, Krishnan AP, Leyden KM, Hattangadi-Gluth JA, Seibert TM, et al. Restriction spectrum imaging predicts response to bevacizumab in patients with high-grade glioma. *Neuro Oncol.* (2016) 18:1579–90. doi: 10.1093/neuonc/now063

19. Hope TR, White NS, Kuperman J, Chao Y, Yamin G, Barch H, et al. Demonstration of non-gaussian restricted diffusion in tumor cells using diffusion time-dependent diffusion-weighted magnetic resonance imaging contrast. *Front Oncol.* (2016) 6:179. doi: 10.3389/fonc.2016.00179

**Conflict of Interest:** AD is the developer of the RSI sequence (patent pending).

The remaining authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

*Copyright © 2020 Daghighi, Bahrami, Tom, Coley, Seibert, Hattangadi-Gluth, Piccioni, Dale, Farid and McDonald. This is an open-access article distributed under the terms of the Creative Commons Attribution License (CC BY). The use, distribution or reproduction in other forums is permitted, provided the original author(s) and the copyright owner(s) are credited and that the original publication in this journal is cited, in accordance with accepted academic practice. No use, distribution or reproduction is permitted which does not comply with these terms.*