In Vitro and In Vivo Studies of a Rapid and Selective Breath Test for Tuberculosis Based upon Mycobacterial CO Dehydrogenase

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ABSTRACT One of the major hurdles in treating tuberculosis (TB) is the time-consuming and difficult methodology for diagnosis. Stable-isotope breath tests hold great potential for rapidly diagnosing an infectious disease, monitoring therapy, and determining a bacterial phenotype in a rapid, point-of-care manner that does not require invasive sampling. Here we describe the preclinical development of a potentially highly selective TB diagnostic breath test based upon the organism’s CO dehydrogenase activity. After development of the test in vitro, we were able to use the breath test to discriminate between infected and control rabbits, demonstrating that a diagnosis can potentially be made and also that a complex bacterial phenotype can be noninvasively and rapidly studied in the host.

IMPORTANCE Tuberculosis (TB) remains a major infectious cause of disease and death worldwide, and effective diagnosis and then treatment are the tools with which we fight TB. The more quickly and more specific the diagnosis can be made, the better, and this is also true of diagnosis being as close to the patient (point of care) as possible. Here we report our preclinical development of breath tests based upon specific mycobacterial metabolism that could, with development, allow rapid point-of-care diagnosis through measuring the mycobacterial conversion of labeled CO to labeled CO₂.

The fight against tuberculosis (TB) has been reinvigorated by a broad and sustained research effort that has produced a range of new drugs either receiving regulatory approval (bedaquiline, delamanid) or currently undergoing later-stage clinical trials (e.g., PA824 and SQ109), with many more in the preclinical and phase 1 stages. However, there is the potential for this burst of creativity to be severely hindered in the clinical trial process. There is great complexity in performing phase 2, phase 3, and postapproval trials of all these agents in the potentially wide range of drug combinations possible with organisms that have a range of drug resistance phenotypes (susceptible, monoresistant, multidrug resistant, extensively drug resistant). Even with innovative trial designs (1), this complexity can make recruitment and endpoint analysis difficult. Compounding this problem are the significant deficits in current endpoints of efficacy in clinical trials—sputum smear positivity, early bactericidal activity, or conversion to a negative sputum culture after a 2-month treatment—that have prompted a search for new and better biomarkers (2–4). Of course, once established and validated, these biomarkers might then prove useful in diagnosis or treatment outside clinical trials.

Furthermore, many of the most commonly used techniques for the diagnosis of TB are far from ideal, resulting in diagnostic delays that can both allow transmission and lead to inadequate antibiotic treatments that allow resistance to develop (5). Improved rapid and specific techniques for breath test-based diagnosis could also have a significant impact in increasing the speed of diagnosis.

Our groups recently reported preclinical studies upon a stable-isotope breath test approach delivering [13C]urea directly to the lung, where it underwent conversion by the mycobacterial virulence factor urease to 13CO₂. By determining the extent of 13CO₂ enrichment in breath, it was possible to track the progression of infection, effective treatment, and simulated treatment failure in a rabbit model (6). Because the test can be performed rapidly using approved point-of-care (POC) detectors, clinical translation of this approach is ongoing (using an oral delivery route for urea due to regulatory issues) to determine sensitivity and specificity in humans (clinical trial registration number NCT01301144).

However, urease is expressed by a range of pathogens of both the lung, such as Pseudomonas aeruginosa, Acinetobacter baumanii (7, 8), and Klebsiella pneumoniae (9), and other sites, such as the gastrointestinal (GI) pathogen Helicobacter pylori (10). Although direct lung delivery of [13C]urea (as a nebulized solution or inhaled dry powder) is expected to drive lung specificity and circumvent false positives from GI urease expressers, more-specific tracer chemistry would circumvent false positives from lung infections with urease expressers, such as P. aeruginosa.

An extensive genomic and metabolomic search supported the
hypothesis that the enzyme CO dehydrogenase (CODH) might provide a suitable and highly specific metabolomic route to enable TB detection. This enzyme oxidizes CO to CO₂, as in reaction scheme 1 (CO + H₂O → CO₂ + 2H⁺ + 2e⁻), and activity has been reported by several groups (11–13) in several avirulent and environmental mycobacterial species.

Predicted active (nonpseudogene) genes for the large, small, and medium subunits of CODH are found in the genomes of several virulent tuberculous mycobacteria, such as Rv0373c, Rv0374c, and Rv0375c, respectively, in Mycobacterium tuberculosis, with analogs in virulent Mycobacterium bovis strains and the vaccine strain M. bovis BCG. We therefore hypothesized that, using appropriate stable isotopically labeled CO, we could use the mycobacterial conversion of labeled CO to labeled CO₂ as a much more specific marker for mycobacterial lung infections and provide an improved breath test for TB diagnosis (Table 1).

Although it is known to be a toxic gas in sufficient quantity, CO is endogenously made by heme oxygenase and at limited exposures is also widely used clinically to measure lung diffusion capacity (14), specifically in patients with TB (15). Therefore, with this precedent, clinical translation may be possible upon a thorough evaluation of risk/benefit ratios. As is discussed later, CO-hemoglobin saturation in CO-treated rabbits used in detection ranged from 9 to 18%; in comparison, heavy smokers achieve 15% saturation (16).

RESULTS AND DISCUSSION

CODH metabolism of ¹³C0 to ¹³CO₂ in vitro. Initially, the conversion of ¹³CO to ¹³CO₂ was measured in vitro in M. bovis BCG and exhibited the anticipated increases in headspace δ-¹³CO₂ that were dependent upon ¹³CO dose (Fig. 1A), bacterial density (Fig. 1B), and incubation time (Fig. 1C). However, the magnitude of the increases in δ-¹³CO₂ were much lower than we observed previously in vitro using [¹³C]urea (6). This suggested that if used as a breath test in vivo (where any CODH-derived ¹³CO₂ would be mixed with large amounts of exhaled CO₂), the changes in δ-¹³CO₂ would not be detectable.

To increase the sensitivity of CODH detection in a breath test format, it was apparent that measurement of a rare CO₂ isotopologue would be needed, so that the CODH-derived signal could be observed. The conversion of ¹³C¹⁸O₂ to ¹³C¹⁸O¹⁶O by CODH was predicted to allow a much greater increase in sensitivity, due to the extremely low abundance of the clumped isotope ¹³C¹⁸O¹⁶O in nature. Only about 0.002% of exhaled metabolic CO₂ from non-CODH sources will be ¹³C¹⁸O¹⁶O.

CODH metabolism of ¹³C¹⁸O to ¹³C¹⁸O¹⁶O in vitro. In order to validate the potential of the assay for breath test measurements, we could use the appropriate stable isotopically labeled CO, we could use the mycobacterial conversion of labeled CO to labeled CO₂ as a much more specific marker for mycobacterial lung infections and provide an improved breath test for TB diagnosis (Table 1).

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CODH metabolism of ¹³C¹⁸O to ¹³C¹⁸O¹⁶O in vitro. In order to validate the potential of the assay for breath test measurements,
we tested key features of the assay in vitro, namely, the dependence of Δ47 (the index of CODH metabolism of \(^{13}\)C\(^{18}\)O to \(^{13}\)C\(^{18}\)O\(^{16}\)O\) on the following: (i) the dose of \(^{13}\)C\(^{18}\)O used, to understand sensitivity limits; (ii) the bacterial density (measured as numbers of CFU/ml), as it is highly desirable that the magnitude of Δ47 changes with bacterial burden, so that response to treatment can be observed \((\Delta 47 = 1,000 \cdot \frac{rR47/44_{\text{sample}} - rR47/44_{\text{standard}}}{rR47/44_{\text{standard}}}\) where \(rR47/44\) is the relative ratio of masses 47 (\(^{13}\)C\(^{18}\)O\(^{16}\)O) and 44 (CO\(_2\)); and (iii) incubation time, so that appropriate time points after administration for in vivo measurements are used.

Figure 2 demonstrates the relationship between \(^{13}\)C\(^{18}\)O dose and headspace Δ47 above those of cultures of \(M.\) bovis BCG, with a linear relationship over the dose range chosen. This indicates that within the limits of the experiment, saturation of the assay’s ability to report CODH does not occur, so that increased sensitivity in vivo should be achieved using more \(^{13}\)C\(^{18}\)O (although the total dose will be limited by CO toxicity). The relationship between bacterial density and headspace Δ47 above that of cultures of \(M.\) bovis BCG is shown in Fig. 3, demonstrating that the assay would be able to detect decreasing levels of bacteria during drug treatment and that 6 \(\times\) 10\(^5\) total CFU were readily detected. About 10\(^7\) to 10\(^8\) bacilli can be routinely cultured from a single human pulmonary cavity (17), so the assay sensitivity may be suitable. The Δ47 signal saturates at between 10\(^8\) and 10\(^9\) CFU/ml in this in vitro assay, although it is as yet uncertain why this occurs.

The time dependence of the metabolism of \(^{13}\)C\(^{18}\)O (corrected for the number of CFU present and the CO dose) for a range of mycobacteria is shown in Fig. 4. It can be seen that Δ47 rapidly increased for all species studied, followed by a decline. The reasons for lower values for \(M.\) tuberculosis than for \(M.\) bovis strains are not known and may be complex, including relative loss of activity of the heavily laboratory adapted H37Rv strain. In this light, relative assessments of activity between species and strains should be made in vivo, where host-imposed CODH pressures may bring about upregulation, although this is beyond the scope of this work. We were unable to measure any conversion of \(^{13}\)C\(^{18}\)O into \(^{13}\)C\(^{18}\)O\(^{16}\)O in similarly treated cultures of \(Pseudomonas\) aeruginosa or \(Escherichia\) coli. This is in accord with neither of these organisms’ genomes possessing any known CODH genes.

The rapid kinetics of conversion supported the potential of this assay to provide a rapid breath test, with lengthy periods after tracer administration likely to be unnecessary. The decline at 4 h was, however, unexpected and was not seen for measures of \(^{13}\)CO to \(^{13}\)CO\(_2\) (Fig. 1A). We hypothesized that the loss of the Δ47 signal was due to loss of the \(^{18}\)O of \(^{13}\)C\(^{18}\)O\(^{16}\)O, catalyzed by sequential hydration and dehydration of the CO\(_2\) by the enzyme carbonic anhydrase, as shown in reaction scheme 2 (18): \(^{13}\)C\(^{18}\)O\(^{16}\)O + H\(_2\)O \(\rightarrow\) H\(_2\)\(^{13}\)C\(^{18}\)O\(^{16}\)O\(_2\) and H\(_2\)\(^{13}\)C\(^{18}\)O\(^{16}\)O\(_2\) \(\rightarrow\) \(^{13}\)C\(^{16}\)O\(_2\) + H\(_2\)\(^{18}\)O.

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**FIG 2** \(^{13}\)C\(^{18}\)O dose curve. \(M.\) bovis BCG (3 ml of \(1 \times 10^9\) CFU/ml) was incubated with \(^{13}\)C\(^{18}\)O gas for 22 h. Headspace gas was analyzed by isotope ratio mass spectrometry (IRMS) to determine Δ47. Data represent means ± SD (n = 4) of results from separate biological replicates. By one-way ANOVA with the Tukey test as a post hoc test (ANOVA between groups), P was <0.001 (*) versus results with a 0-ml CO dose.

**FIG 3** Cell density-dependent conversion of \(^{13}\)C\(^{18}\)O to \(^{13}\)C\(^{18}\)O\(^{16}\)O. \(M.\) bovis BCG culture (6 ml) was incubated with 1 ml of \(^{13}\)C\(^{18}\)O gas for 0.5 h. Headspace gas was measured to determine Δ47. Data represent means ± SD (n = 4) of results from separate biological replicates. By one-way ANOVA with the Tukey test as a post hoc test, P was <0.001 (*) versus 0 CFU/ml.

**FIG 4** Time-dependent conversion of \(^{13}\)C\(^{18}\)O to mass 47 (\(^{13}\)C\(^{18}\)O\(^{18}\)O). \(M.\) bovis BCG or \(M.\) tuberculosis H37Rv (6 ml of \(1 \times 10^9\) CFU/ml) was incubated with 1 ml of \(^{13}\)C\(^{18}\)O gas; \(M.\) bovis Ravenel (3 ml of \(1 \times 10^9\) CFU/ml) was incubated with 3 ml \(^{13}\)C\(^{18}\)O. Headspace Δ47 was measured, and Δ47 per 1 \(\times\) 10\(^8\) CFU per ml added CO was calculated and plotted. Data represent means ± SD (n = 4) of results from separate biological replicates. By one-way ANOVA with the Tukey test as a post hoc test, for 0 h versus 0.5 h, P was <0.001 for all groups; for 0 h versus 4 h, P was <0.01 for \(M.\) tuberculosis H37Rv only; and differences were not significant for \(M.\) bovis BCG and \(M.\) bovis Ravenel.
Thus, loss of $^{18}$O from $^{13}$C$^{18}$O$^{16}$O would decrease the $\Delta 47$ with time, but not the $\delta$-$^{13}$C value, which is what was observed. Carbonic anhydrases are ubiquitous enzymes, known to be expressed and active in mycobacteria such as M. tuberculosis (19), and represent potential drug targets against TB. However, although the carbonic anhydrase inhibitor drugs, such as acetazolamide (Diamox), inhibit isolated bacterial enzymes in vitro (20), they had no effect in whole M. tuberculosis cells due to their inability to penetrate the waxy mycolic acid cell wall (19). Accordingly, concentrations of acetazolamide up to 9 mM had no observed effect upon the loss of $\Delta 47$ associated at longer incubation times (not shown). However, since the lungs are known to have high levels of carbonic anhydrase activity (21) that would have similar effects, later in vivo studies used acetazolamide pretreatment at a previously validated dosage (22) to inhibit any lung carbonic anhydrase loss of $\Delta 47$ that would decrease detection.

Detection of infection by CODH metabolism of $^{13}$C$^{18}$O to $^{13}$C$^{18}$O$^{16}$O in vivo. Groups of rabbits were assigned to a control group (no infection) or infected with virulent M. bovis Ravenel, which has been shown by our group to be a highly representative rabbit model of the human disease condition (23, 24). Approximately $10^5$ to $10^6$ bacilli can typically be cultured from a single human pulmonary cavity (17), while in this model, M. bovis infections generated cavitory CFU counts of $10^6$ to $10^8$ bacilli. Figure 5 shows the $\Delta 47$ in the exhaled breath samples of the two groups after administration of $^{13}$C$^{18}$O, which increased over the course of 15 min in the infected group, significantly greater than in the uninfected control group (by analysis of variance [ANOVA] with a split plot, $P = 0.018$). The Holm-Sidak significance between results for infected and control groups increased with time; differences were not significant at 0 and 5 min ($P = 0.14$ and 0.13), they approached significance at 10 min ($P = 0.055$), and they were significantly different ($P < 0.001$) at 15 min, reflecting greater conversions of CO to CODH with time. Thus, the breath test exhibited potentially suitable characteristics for detecting tuberculosis, but it appears that, in this model at least, a period of 15 min to allow for more significant production of $^{13}$C$^{18}$O$^{16}$O would be optimal. The magnitude of $\Delta 47$ values in vivo (0 to 15) were significantly lower than those observed in vitro (up to several thousand) (Fig. 2 to 4), likely reflecting both dilution by endogenous (non-CODH-mediated) $^{13}$C$^{18}$O$^{16}$O and absorption of the $^{13}$C$^{18}$O by hemoglobin.

Conclusions. We here demonstrated that stable-isotope-based assays of CODH offer the potential to rapidly detect tuberculous mycobacteria in vitro and in vivo. While the additional sensitivity afforded by using doubly labeled $^{13}$C$^{18}$O enabled successful in vivo detection, the potential for carbonic anhydrase-mediated loss of $^{18}$O from resultant $^{13}$C$^{18}$O$^{16}$O entailed the use of acetazolamide or a similar drug. The key advantage of the CODH breath test over the urease breath test (6) is the likely very high specificity compared to that of the urease test. Many important lung pathogens express urease (Table 1), and so urease may lead to a false positive if used to diagnose tuberculosis. None of these (Table 1) or any other recognized lung pathogens possess genes for CODH or have been shown to oxidize CO to CO$_2$. Thus, while urease activity might provide a rapid POC screen for subsequent TB diagnosis or a suitable biomarker for monitoring treatment in already-diagnosed TB patients, the CODH breath test has the potential for rapid POC diagnosis of TB. However, it is clear that the enhanced specificity of CODH detection comes at the cost of decreased sensitivity compared to that of urease detection.

A key issue in translating the procedure for clinical studies will be the need to balance the requirement for sufficient $^{13}$C$^{18}$O gas to be inhaled so as to improve sensitivity with the need to maintain sufficiently low carboxyhemoglobin levels to maintain safety. Increasing the sensitivity of $^{13}$C$^{18}$O$^{16}$O detection would greatly assist in balancing these opposing requirements. A potential alternative would be to use a microdose of $^{14}$CO with conventional counting, as has been performed for urease testing (25), or to use more sensitive, potentially transportable alternatives to accelerator mass spectrometry (MS) (26). Such approaches would also eliminate the need for acetazolamide pretreatment due to their independence from oxygen atom exchange. For use with a biomarker of treatment response in drug trials, centralized accelerator MS could be feasible, with reporting times dependent upon sample transport.

It has become increasingly clear that host-derived CO from heme oxygenase, in addition to potentially being used as a diagnostic tool, is an important modulator of mycobacterial signaling (27, 28) and is involved in mycobacterial control (29, 30) in a manner that appears to be clinically important (31). Yet it is far from clear how to resolve the differing effects of CO, as it may function both as a host-imposed controller of mycobacterial signaling and as a host-derived source of mycobacterial energy. Although advances in whole-cell metabolomics through nuclear magnetic resonance (NMR) allow powerful analysis of drug effects in cultures (32), currently these techniques are suitable only with culture. The ability to study, in situ in the host, the CODH phenotype developed here will assist in the resolution of this conundrum. Furthermore, this work demonstrates that even complex bacterial metabolic phenotypes can be detected and studied...
in situ in the host through appropriate labeling and detection chemistries.

MATERIALS AND METHODS

Materials. Unlabeled CO2 gas was purchased from Sigma-Aldrich, and 13CO2 and 14CO2 gases were bought from Cambridge Isotope Laboratory (Andover, MA) and were compressed. 7H9 medium was purchased from BD (Franklin Lakes, NJ). A CO detector (Fluke carbon monoxide detector; Cole-Farmer, Vernon Hills, IL) was purchased from Fisher Scientific (Pittsburgh, PA). Unless otherwise mentioned, other reagents were purchased from Sigma-Aldrich. Isotope ratio MS (IRMS) was performed using a DELTAplusXL spectrometer (Thermo Scientific Inc., Waltham, MA).

Bacterial cultures. Mycobacterium cultures were prepared by thawing frozen stock aliquots of Mycobacterium tuberculosis H37Rv, M. bovis Ravenel, or M. bovis BCG as described previously for urease experiments (6). They were grown aerobically at 37°C in 7H9 Middlebrook liquid medium supplemented with oleic acid, albumin, dextrose, and catalase (Becton, Dickinson, Inc., Sparks, MD), 0.5% glycerol, and 0.05% Tween 80. M. bovis BCG was grown in the same culture medium but without oleic acid.

In vitro CODH assay. Three milliliters of mycobacterial culture within 2 to 3 days of approaching stationary phase (from previous growth curves obtained using an optical density at 600 nm) was grown aerobically and was incubated with CO in air in 12-ml Exetainer vials with rubber septa (Labco Ltd., Ceredigion, United Kingdom) for 1 h at 37°C with rotary shaking at 250 rpm unless otherwise indicated, using culture medium as indicated above. The CO was injected through the septa with a gas syringe after withdrawal of the same volume of air to maintain atmospheric pressure. Collected headspace gas (1 ml) was similarly obtained after injection of 1 ml air, double-filtered through 0.25-μm syringe filters, and transferred into helium-flushed Exetainer vials for IRMS. Unless otherwise mentioned, incubation was for 30 min using the addition of 1 ml of CO gas.

Measurement of stable-isotope ratios in CO2. Determinations were by gas isotope ratio mass spectrometry (DELTAplusXL; Thermo Scientific Inc., Waltham, MA). Unlabeled CO2 gas (Matheson Tri-Gas, Albuquerque, NM) was used as reference gas. For detection of 13CO2 conversion to 13CO2, we used IRMS as previously described (6) and report enrichment in headspace 13CO2 using conventional δ-13C notation (33). For detection of conversion of 13CO2 to 12CO16O, δ13C notation (33). For detection of conversion of 13CO2 to 12CO16O, we measured the relative ratios of masses 47 (13C18O16O) and 44 (CO2) (δR47/44 and defined δ47 as 1,000 · [rR47/44(sample) − rR47/44(standard)] divided by rR47/44(standard). As is customary in stable-isotope breath testing, the increase in isotopic abundance after administration of the tracer is reported so as to correct for minor deviations in baseline that occur naturally, and the result was termed Δ47, where Δ47 = δ47(after CO) − δ47(baseline before CO). Because of the potential for greater variance in vivo in the baseline data to affect values through the series (due to its constant subtraction from data), δ47 is presented for data from rabbits.

Rabbits and infections. All protocols were approved by the Institutional Animal Care and Use Committees at the Johns Hopkins University (protocol number RB11M466). Pathogen-free outbred New Zealand White rabbits (3.5 to 4.1 kg) obtained from Covance Research Products, Inc. (Denver, PA), were used. Animals were anesthetized for infection and breath tests with ketamine (15 to 25 mg/kg of body weight) and xylazine (5 to 10 mg/kg). Reversal of sedation was achieved with yohimbine (0.1 to 0.2 mg/kg). The rabbits were infected by intratracheal insertion of a bacterial suspension containing 1010 cells of Mycobacterium bovis Ravenel using a feeding tube through an inserted pediatric endotracheal tube. The rabbit was kept after infection on its right side and the head up until reverse of sedation. Rabbits were tested 4 weeks after infection or mock infection. Clinical signs and symptoms supported infection, with uninfected rabbits gaining 0.4, 0.4, and 0.6 kg and infected rabbits losing 0.1, 0.6, and 0.3 kg over the same time (P < 0.01 by one-way ANOVA). The rabbit model was chosen, as it is the smallest validated TB animal model that allows bronchoscopic infection for high levels of inoculum certainty (as opposed to the Glas-Col system or similar infection models in which the infection inoculum is much more varied) and also allows for bronchoscopic collection of exhaled breath samples for analysis.

In vivo breath tests. Rabbits were pretreated with acetazolamide (AZZ) (80 mg/kg) to inhibit carbonic anhydrase-mediated isotopic scrambling of 13C18O12O to 13C16O16O. Anesthetized rabbits were then treated with a respiratory bag (with a total volume of 750 ml) connected to an inserted endotracheal tube for delivery of the carbon monoxide (CO). The CO was injected with a syringe into the respiratory bag, which was kept connected for 1 min before breath samples were taken at several time points (5, 10, and 15 min after removal of the respiratory bag). A 14 French feeding tube connected to a 30-ml syringe was then introduced through the endotracheal tube to the level of the carina to aspirate the exhaled air. AZZ (80 mg/kg) was injected intravenously 5 min before CO treatment, and 5 baseline gas samples were taken. Exhaled breath gas was filtered with 0.35-μm membrane filters into air pouches (Otsuka, Japan) and then sealed vacuum tubes (Becton, Dickinson, Franklin Lakes, NJ). For IRMS, filtered samples were transferred to helium-flushed Exetainer vials.

In vivo CO dosing. Below a carbamylhemoglobin level of saturation of about 20%, effects on human coordination are mild (34), and below 11 to 13%, no effects are detected (35) and so we targeted a CO dose that would lead to less than 20% but more than 11% carbamylhemoglobin in the rabbits. After calculation, we administered 30 ml of 13CO2 gas in the respiratory bag inflated with 500 ml of air for 1 min (5.7% CO; total exposure, 0.475% per hour equivalent) and then removed this and allowed rabbits to breath room air. Exhaled breath was collected from 0 to 15 min after CO delivery. CO saturation was estimated from the concentration in exhaled air (36) and ranged from 9.3 to 18.2%. Rabbits did not show any abnormal behavior; clinical respiratory signs were normal. We therefore used this dose in subsequent breath testing. Extended studies of breathing 0.19% CO (5 h, 0.95% per hour equivalent) showed no morphological changes in rabbit lungs (37).

Statistics. All numbers are mean values ± standard deviations (SD) from independent biological replicates, with n and the statistical test used described in the appropriate figure legends. For in vitro studies, the biological replicates were of the same cultures but split into independent Exetainer tubes, with gases from each tube analyzed individually. For in vivo studies, the biological replicates were of individual animals that were either infected (n = 3) or uninfected (n = 3) controls. Individual time points were compared using one-way ANOVA with the post hoc Holm–Šidák comparison. Split-plot ANOVA was used as the measure of between-subject effects (uninfected versus infected).

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