The proximity effect in normal metal - multiband superconductor hybrid structures

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A theory of the proximity effect in normal metal - multiband superconductor hybrid structures is formulated within the quasiclassical Green’s function formalism. It is shown that the existence of multiple superconducting bands manifests itself as the occurrence of additional peaks in the density of states in the structure. The interplay between the proximity effect and the interband coupling influences the magnitudes of the gaps in a superconductor in a non-trivial way. The developed theory is applied to the calculation of supercurrent in multiband Superconductor - Normal metal - Superconductor Josephson junctions with low-transparent interfaces, and the results are compared with the predictions for multiband tunnel junctions.

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The proximity effect is the phenomenon that a superconducting order parameter can penetrate from a superconductor (S) into a normal metal (N), or another superconductor (S′) with a critical temperature $T_{cS} < T_{cS'}$ over a distance of the order of the coherence length, inducing a minigap in N or S. This phenomenon is well understood, both in terms of Andreev reflections as well as in terms of microscopic Green’s functions. The proximity effect manifests itself when multiple pairing potentials are present in the superconductor. This question has become relevant now that multiband superconductors are coming into practical use. The most clear example of a multiband superconductor is MgB$_2$, for which the experimental and theoretical evidence for the coexistence of two gaps is overwhelming. The multiband nature of the superconductivity in MgB$_2$ is theoretically well explained by the qualitative difference between different sheets of the Fermi surface, together with the large disparity of the electron-phonon interaction. Therefore, in this paper, the question is addressed how the multiband nature influences the proximity effect. E.g. what will the density of states look like in a SN bilayer, where S is a two-band superconductor?

Josephson and quasiparticle tunneling in hybrid structures containing multiband superconductors have been investigated theoretically in Ref. [12] and applied to the calculation of the total Josephson current in a SIS two-band Josephson tunnel junction. For all-MgB$_2$ devices, high-quality tunnel barriers are not available yet, and realizing SNS structures is an attractive alternative, of which first systems have been realized already. In this paper, the theory of the multiband proximity effect is applied to the calculation of Josephson current in SNS structures having two-band S electrodes. The practically interesting SINIS case is considered, where a non-ideal interface transparency is taken into account. Predictions are made for Josephson devices based on MgB$_2$ and compared with those for MgB$_2$-based tunnel junctions.

In this paper, we will use the quasiclassical Green’s function formalism in order to describe electrical transport in SS’ hybrid structures, where S′ is a single-band superconductor while S is a multiband superconductor. We will restrict ourselves to the limit of diffusive transport, which is justified if $l_{SS'} \ll \xi_{SS'}$, where $l_{SS'}$ and $\xi_{SS'}$ are the electric mean free path and coherence length of the S and S’ materials respectively. In the dirty limit, the Green’s functions in the S’ metal are given by the standard Usadel equations. In the S metal in the regime of vanishing interband scattering, as is the case for MgB$_2$, the Usadel equations take the following form:

$$\frac{D_\alpha^2}{2\omega G_S^2} \left[ (G_\alpha^{\alpha})^2 \Phi_{\alpha}^2 \right] - \Phi_\alpha^2 = -\Delta_\alpha,$$  \hspace{1cm} (1)
$$\Delta_\alpha = 2\pi T \sum_{\beta, \omega \geq 0} \Lambda_{\alpha\beta} \frac{G_\beta^\beta \Phi_\beta^2}{\omega}.$$  \hspace{1cm} (2)

Here, $\alpha$ and $\beta$ are the band indices, e.g. $\alpha, \beta = 1, 2$ in the two-band case (later we will use the band indices $\sigma$ and $\pi$ for MgB$_2$ specifically), $\Delta_\alpha$ is the pair potential, $G_\alpha^\alpha$ and $\Phi_\alpha^2$ are Green’s functions, $\omega = \pi T (2n + 1)$ are Matsubara frequencies, $D_\alpha^2$ is the diffusion coefficient, and $\Lambda_{\alpha\beta}$ is the matrix of effective coupling constants. The prime denotes a derivative with respect to the coordinate $x$ in the direction perpendicular to the S-S’ interface.

Equations (1) and (2) must in general be supplemented by boundary conditions. Zaitsev derived boundary conditions to the quasiclassical Eilenberger equations at the S-S’ boundaries in the clean limit, which were further simplified in Ref. [5] in the dirty limit. These boundary conditions have to be modified when S is a multiband superconductor. In the limit of small interband scattering a multiband superconductor may be represented by separate groups of superconducting electrons which interact with each other only indirectly, via selfconsistent pair potentials in the bulk. Therefore, for the derivation of the boundary conditions for the Usadel equations, one can apply a similar procedure to that used in Ref. [5] in the single-band case. In the multiband case, the set of interface parameters, $\gamma_{\alpha\beta}$ and $\gamma_{\alpha\beta}'$, describing the proximity effect, should be introduced for each of the bands.
The first boundary condition relates the current from the S' metal side at the S-S' interface, \( \sigma G^2 \Phi' \), to that from the S side, \( \sum_{\alpha} \gamma_{\alpha} (G_{\alpha}^S)^2 (\Phi_{\alpha}^S)' \). Therefore we have

\[
\xi G^2 \Phi' = \sum_{\alpha} \gamma_{\alpha} (G_{\alpha}^S)^2 (\Phi_{\alpha}^S)',
\]

with

\[
\gamma_{\alpha} = \frac{D_{\alpha}^S}{\rho_{\alpha}^S}, \quad (\xi_{\alpha}^2) = \frac{D_{\alpha}^S}{2\pi T_{cS}}, \quad \xi = \frac{D}{2\pi T_{cS}}
\]

Here, \( \sigma = 1/\rho \) and \( \alpha_{\alpha} = 1/\rho_{\alpha}^S \) are the conductivities of the S' layer and the respective bands of the S metal, \( D \) is the diffusion constant in S' and \( T_{cS} \) is the critical temperature of S. The ratio between the parameters \( \gamma_{\alpha} \) for the different bands is mainly determined by the relation between the diffusion constants, \( D_{\alpha}^S \). In the case of MgB\(_2\), the \( \pi \)-band is generally considered to be more dirty than the \( \sigma \)-band, i.e. \( D_{\sigma}^S \ll D_{\pi}^S \).

The second boundary condition relates the gradient of the Green’s function \( \Phi \) near the S-S' interface to its jump at the interface due to the finite interface resistance,

\[
\xi G^2 \Phi' = \sum_{\alpha} \gamma_{\alpha} (G_{\alpha}^S)^2 (\Phi_{\alpha}^S - \Phi),
\]

where \( \gamma_{\alpha} = R_{\alpha}^B / \rho_{\alpha} \). \( R_{\alpha}^B \) are the components of the specific interface resistance, describing the tunneling of an electron across the interface into the corresponding conduction band.

In order to obtain the resistances \( R_{\alpha}^B \), we have to evaluate the effective junction transparency components. It was first pointed out by Mazin\(^12\) that the normal state conductance \( R_{\alpha}^{-1} \), in the limit of a specular barrier with small transparency, is proportional to the Fermi-surface average \( \langle N v^2 \rangle_{\alpha} \), where \( N \) is the density of states and \( v \) the Fermi velocity. In Ref.\(^12\) it was further shown that the normal state resistance component of tunneling into band \( \alpha \) of S is given by the contribution of the electrons in band \( \alpha \) to the squared plasma frequency \( \omega_{\alpha}^2 \), which can be obtained from first principle calculations. For MgB\(_2\), the ratio \( R_{\alpha}^\sigma / R_{\alpha}^\pi = (\omega_{\alpha}^\sigma / \omega_{\alpha}^\pi)^2 \) is 2 and 100 for tunneling in the direction of the a-b plane and c-axis respectively.

In the case of a SS' bilayer, the Usadel equation\(^4\) needs to be solved in the S as well as in the S' layer, together with the self-consistent determination of the pair potentials in S and S', Eq.\(^4\). A general numerical method, using \( \Theta \)-parametrization, \( \Phi = \omega \tan \Theta \) and \( G = \cos \Theta \), is described for the single-band case in Ref.\(^4\). Here, we extended this method by applying the new boundary conditions, Eqs.\(^3\) and\(^5\). The density of states at energy \( E \) can be obtained by applying an analytical continuation, \( \omega = -iE \), to the Usadel equations and the boundary conditions and solving the numerical scheme in the complex energy plane.

The numerically obtained dependence of the pair-potential on position is presented in Fig.\(^1\) for the example in which the coupling constants are taken as calculated for MgB\(_2\) in Ref.\(^\text{[19]}\). The parameter values are indicated in the caption. For temperatures above \( T_{cS'} \) (solid lines in Fig.\(^1\)), it can be seen that the pair-potential in S' increases towards the interface, while \( \Delta_{\sigma} \) decreases, as expected in analogy with the proximity effect in the single-band case. The decrease in \( \Delta_{\sigma} \) towards the interface can be explained by the relatively strong coupling between the \( \sigma \) and \( \pi \) bands. By decreasing the interband coupling constants and by decreasing the interface suppression parameters, one can obtain the opposite regime, in which \( \Delta_{\sigma} \) increases towards the interface. For relatively large values of \( T_{cS'} \), and for temperatures below \( T_{cS'} \), we even predict an increase in \( \Delta_{\sigma} \) towards the interface, as illustrated by the dashed line in Fig.\(^1\). This effect is further enhanced when the \( \sigma \)-band is decoupled from the S'-layer, which is the case for example when the interface normal is parallel to the crystallographic c-axis of MgB\(_2\), due to the vanishingly small ratio \( R_{\alpha}^\sigma / R_{\alpha}^\pi \) in that case.

As an example, in Fig.\(^2\) the results of a calculation of the density of states in a SN-bilayer are presented. In the considered case, the bulk energy gaps in a two-band superconductor are not too different. As is seen from the figure, the density of states in the N-layer has three peaks: the lowest energy peak corresponds to the proximity induced minigap and the two other peaks correspond to the bulk energy gaps in the two-band superconductor S. The existence of a minigap is a characteristic feature of the proximity effect in a SN-bilayer in the dirty limit, as was studied in detail in the single-band case in Ref.\(^4\). As we can see, the minigap persists in the two-band case as well and its magnitude depends on the parameters of the interface, thicknesses of the N and S and the values of the bulk gaps in the superconductor.

The next step in investigating the influence of multiband superconductivity on the proximity effect, is to study supercurrents in multiband proximized structures. We will consider double-barrier structures consisting of two S electrodes coupled by a normal metal N. As a model system we use a SI-NIS double-barrier hybrid structure, since in practical devices interface potential barriers are always present at the S-N interfaces, either originating from a Fermi-velocity mismatch, degradation of surface layers, or artificially deposited oxide barriers.
If the conditions of the dirty limit (electron mean free path $\ell \ll d, \xi$) are fulfilled in the N interlayer, than the stationary Josephson effect in the structure can be analyzed in the framework of the Usadel equations by the method developed in Refs. 5 and 17 for the single-band case. We assume that the interface transparencies are small enough such that the condition $\gamma_{B12} \gg \gamma_{12}^S$ holds at both NS interfaces (here and below we drop the subscript $S$). In this case, the suppression of superconductivity in the S layers is weak and the Green’s functions in the electrodes near the interfaces, $G_{1,2}^\alpha$ and $\Phi_{1,2}^\alpha$, are equal to their bulk values. To calculate the supercurrent, it is sufficient to consider Eq. (5) at the two interfaces, giving

$$\xi G \Phi' = \sum_\alpha G_{1,2}^\alpha (\pm \Phi_{1,2}^\alpha + \Phi), \quad x = \pm \frac{d}{2}. \quad (6)$$

For simplicity, we will consider symmetric junctions where $G_{1,2}^\alpha = G_{2}^\alpha$ and $\gamma_{B12}^\alpha = \gamma_B^\alpha$, and where the functions $\Phi_{1,2}^\alpha$ are related to the phase shift $\varphi$ across the junction by $\Phi_{1,2}^\alpha = \Delta_\alpha \exp (\pm i\varphi/2)$. Further, we consider purely normal N-layer with vanishing pair potential $\Delta = 0$ and restrict ourselves to considering the limit of a small interlayer thickness, $d \ll \xi$.

In the limit $d \ll \xi$, there are two characteristic frequencies $\Omega_{1,2}$ in the Usadel equations. At $\omega \lesssim \Omega_1 = \pi T_c S \xi$, we can neglect all non-regular terms in the Usadel equation. Hence, $[G^4 \Phi]' = 0$, and in the zero approximation on $d/\xi$ one obtains that all $\Phi$ functions are spatially independent constants, $\Phi = A$. In the next approximation we have

$$\Phi = A + B \frac{x^2}{\xi} + A' \frac{1}{2\xi^2}, \quad \beta^2 = \frac{\omega}{\pi T_c S G} \quad (7)$$

From the boundary conditions and by taking into account that in our model $\Phi_{1,2}^0 = \Delta_\alpha \exp (\pm i\varphi/2)$, we finally will have

$$A = \frac{\bar{\Delta} \eta_A}{G}, \quad B = i \frac{\bar{\Delta}}{2 \xi} \sin (\varphi/2), \quad (8)$$

$$G = \frac{\omega}{\sqrt{\omega^2 + A^2}} = \frac{\omega \bar{G}}{\sqrt{\omega^2 G^2 + \Delta_\alpha^2 \eta^2}}, \quad (9)$$

where $\gamma_{BM}^\alpha = \gamma_0^B d/\xi$, $\eta^2 = \cos^2 (\varphi/2)$, and

$$\bar{G} = \sum_\alpha \frac{G_{2}^\alpha}{\gamma_{BM}^\alpha}, \quad \tilde{\Delta} = \sum_\alpha \frac{G_{2}^\alpha \Delta_\alpha}{\gamma_{BM}^\alpha}. \quad (10)$$

The density of states $N(E) = \text{Re}(G)$ in the interlayer of the double-barrier junction can now be found from an analytical continuation of Eq. (2) to real energies, $\omega = -iE$. The results for the two-band case are plotted in Fig. 3. The known density of states for a single-band SINIS junction is shown in the inset. For $\gamma_{BM} < 1$, the single-band results show a peak in the density of states at $\Delta \cos (\varphi/2)$, while the density of states in the two-band junction in this regime is predicted to have a peak at a value that is even lower than $\Delta \cos (\varphi/2)$. For larger values of $\gamma_{BM}$, the density of states shows three peaks: at the minigap and at $\Delta_\pi$ and $\Delta_\sigma$, in analogy with the two peaks in the density of states of a single-band SINIS junction.

Substituting Eq. (8) into the supercurrent expression,

$$I = \sigma \pi T \text{Im} \sum_{\omega > 0} \frac{1}{\omega^2} G^2 \Phi^* \Phi', \quad (11)$$

and taking $\Phi^* \Phi'$ in lowest order equal to $A^* B$, we obtain

$$I = \frac{\pi T}{\xi \rho} \sum_{\omega > 0} \frac{d \Delta^2 \sin (\varphi)}{\omega^2 G^2 + \Delta^2 \eta^2}. \quad (12)$$
A generalization to take boundary asymmetry and a finite $\Delta$ in the interlayer into account can be made straightforwardly.

In the two-band case in the limit $\gamma_{BM} \to \infty$, which is for example the case for tunneling in the MgB$_2$ c-axis direction, the normal metal is only proximized by the $\pi$-gap of electrode S and Eq. (12) gives

$$I = \frac{\pi T}{\xi \rho \gamma_B} \sum_{\omega > 0} \omega \sqrt{\left[ \frac{\omega^2 \gamma_{BM}^2}{2 \pi T \sigma G_\pi} \right]^2 + \Delta^2 \sin^2 \left( \frac{\omega}{T} \right)}$$

(13)

which has been previously obtained for SINIS junctions with single-band superconductivity in S. It also follows from Eqs. (12) and (13) that the critical current of a single-band SINIS junction always exceeds the critical current of a two-band SINIS junction with a vanishing second gap, $\Delta_{\pi} = 0$.

The temperature dependence of the critical current can now be calculated for SINIS Josephson structures for different orientations of the crystallographical axis with respect to the interface normal. The gap functions, $\Delta_{\sigma,\pi}(T)$ and the ratio $\gamma_B^\sigma/\gamma_B^\pi$, follow from band structure calculations. The results are shown in Fig. 4 for vanishingly small $\gamma_{BM}$, and compared to the calculation results for SIS junctions. The full specific interface resistance of a SINIS junction, $R_N = R_B^N/R_B^I/[R_B^N + R_B^I]$. It is clearly seen that the critical current of SINIS junctions is larger than in SIS structures, practically in the whole temperature region, as is the case for single band superconductors. At low temperatures the $I_s R_N$ product can be as large as 5.2 mV when only the $\pi$-band contributes to the current and close to 7.3 mV when the sum over different band contributions can be taken into account, as is the case for tunneling in the direction of the $a-b$ plane. The negative curvature of $I_s R_N(T)$ is a direct consequence of the twoband nature of superconductivity and is absent in $I_s R_N(T)$ of single-band SINIS junctions in the regime of small $\gamma_{BM}$.

In summary, we have formulated a microscopic theory of the proximity effect in hybrid structures based on multiband superconductors in the diffusive limit. We have shown that the existence of multiple superconducting bands manifests itself in the proximity effect between a normal metal and a superconductor as the occurrence of additional peaks in the density of states at the normal metal side. The interplay between the proximity effect and interband coupling determines the gap magnitudes at the interfaces. The supercurrent in multiband proximized Josephson junctions was calculated and compared to known single-band results and predictions for multiband tunnel junctions.

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