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Assessing agreement between two job-exposure matrices

by Allison P Hawkes, MD,1,2 JR Wilkins III, DrPH1

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Objectives This study was undertaken to compare the operating characteristics of the Hoar et al job-exposure matrix and the job-exposure matrix of the National Occupational Hazard Survey (NOHS) of the National Institute for Occupational Safety and Health in the United States. Both matrices were applied to occupations in the metal, paper and wood, and chemical, drug and paints industries, and the extent of agreement between the two was estimated.

Methods The agents (exposure entities) selected for the comparison were common to both matrices; altogether 214 agents were included. For the comparison of occupations, the occupational codes of the Hoar et al matrix were converted to those of the NOHS-based matrix. For every occupational group analyzed, each of the 214 exposure entities was classified as to its "presence" or "absence", and a kappa statistic (κ) was calculated.

Results The κ ranged from 0.27 to 0.12. In general, most of the agreement between the 2 matrices occurred because they agreed as to the absence of exposure for the exposure entities. Variation in agreement was observed for both the individual exposure entities and for chemical families.

Conclusions These results indicate poor to only fair agreement between the 2 job-exposure matrices, and they underscore the importance of understanding the rules for classifying exposures when any such matrix is used. Investigators also need to be aware of the methods and limitations of the occupational coding systems.

Key terms agreement, concordance, job-exposure matrix, occupational exposures, reliability.

A job-exposure matrix is a classification system linking occupation and industry titles with job-related exposures. Job-exposure matrices were developed to infer specific occupational exposure more accurately on the basis of simple industry or occupation statements. If accurate, one principal advantage of such a matrix would be the reduction in misclassification that occurs when workers are grouped on the basis of exposure rather than job title (1).

Several matrices have been developed (2—14). Two of these were examined in our analyses, the one developed by Sheila Hoar Zahm and her colleagues at Harvard (6) and the one based on data obtained in the National Occupational Hazard Survey (NOHS) conducted by the National Institute for Occupational Safety and Health (NIOSH) (5). Both of these matrices were developed for use in population-based studies and cover a broad range of occupations and agents.

The matrix developed by Hoar et al was designed to study occupational carcinogenesis. In this data base, there are 334 unique agents in the system, most of which are known or suspected chemical carcinogens, although some agents associated with noncancer health outcomes are also included. The list of exposure entities was compiled from several comprehensive sources in the relevant scientific and engineering literature (ie, no worksite visits were made). Occupations were coded according to the Dictionary of Occupational Titles (15); industries were coded according to the Standard Industrial Classification (SIC) (16). Agents were assigned a 4-digit code, and approximately 500 industry-occupation pairs are contained in the matrix. In addition to linking each industry-task combination with the exposure entities, the degree of linkage to each agent was assigned when possible (1 = light, 2 = moderate, 3 = heavy).

The NOHS-based matrix was developed with data obtained in 1972—1974 (17—19). The NOHS was a walk-through survey of more than 4000 United States industries representing over 600 SIC codes. Data on >8000 agents ("hazards") were collected by engineers

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trained to recognize potentially harmful occupational exposures. Although occupations were originally coded using 1967 SIC codes for industries and 1970 census codes for occupations, a version of the job-exposure matrix has been developed using 1980 census codes (20). In this data base, each hazard was given a 5-digit code. In addition, the NOHS-based matrix contains the estimated proportion of workers potentially exposed to each hazard.

Since job-exposure matrices are used to infer exposures and ultimately to evaluate the association between these exposures and disease risk, it should be informative to examine how well the matrices agree with regard to the job-exposure links contained therein. Previous comparisons of the 2 matrices appear limited to the work of Linet et al (21), who coded the occupations of cases and referents in a study of chronic lymphocytic leukemia and assessed concordance for 7 selected agents by computing the kappa statistic $\kappa$, a widely used quantitative measure of agreement (22). Based on the guidelines suggested by Landis & Koch (23) for the interpretation of $\kappa$ values, slight to fair agreement was found for 5 of the 7 exposures ($\kappa$ range = 0.01 to 0.28), while the $\kappa$ values were somewhat better for asbestos and butadiene, ranging from 0.41 to 0.60, indicating “moderate” agreement.

The present study was undertaken to compare the operating characteristics of the Hoar et al matrix and the NOHS-based matrix more comprehensively by estimating the extent of agreement on the exposures for occupations in the following 3 industries: metal (SIC code 06), paper and wood (SIC code 04), and chemicals, drugs and paints (SIC code 11). (Limited resources precluded the examination of more industries.)

Material and methods

Differences in the occupational coding systems and in the number of agents contained in each matrix necessitated completion of a 2-step process prior to comparing the matrices of interest. First, agents had to be selected that were common to both matrices, and, second, the occupational codes of 1 system had to be converted to equivalent occupational codes of the other.

Selection of agents (step 1)

Since the Hoar et al matrix contains many fewer agents than the NOHS-based matrix, the agent list for the comparison was based on the Hoar et al matrix. Agents were selected according to the following rules.

1. For each exposure entity (a single agent or group of agents in the Hoar et al matrix), the identical agent or group was sought in the NOHS-based matrix. If the Hoar et al matrix specified only a single agent such, as “benzene”, only the compound benzene was included in the comparison even though the NOHS-based matrix contained benzene along with many benzene-containing compounds. On the other hand, if the Hoar et al matrix specifically indicated that the entry was “X and derivatives” or “X and compounds”, then all the derivatives (or compounds) in the NOHS-based matrix were included. In the analysis, the exposure was classified as present if the job was positive for any of the separate compounds in the NOHS-based matrix.

For groups of compounds in the Hoar et al matrix, such as “pigments”, the identical group was sought in the NOHS-based matrix. Thus, for the Hoar et al entry “pigments”, the entry used in the NOHS-based matrix was “pigments”; and individual pigments were not included in the comparison.

2. If an entry in the Hoar et al matrix included 2 compounds entered as X/Y, both compounds had to be found in the NOHS-based matrix to be used in the comparison. For example, “sodium hydroxide/potassium hydroxide”, although 2 compounds, represent 1 entry in the Hoar et al matrix and has the 4-digit code 6825. Therefore, both sodium hydroxide and potassium hydroxide had to be located in the NOHS-based matrix in order for entry 6825 to be included in the comparison. However, sodium hydroxide and potassium hydroxide did not have to be in the combined form in the NOHS-based matrix. For “potassium cyanide/ferrocyanides”, code 6800, only potassium cyanide was contained in the NOHS-based matrix, so that the entity with code 6800 was not included in the comparison.

3. If the identical entry could not be found, then an agent or group of agents was selected when acceptably similar.

A total of 214 agents were included in the comparison (64% of the total number of entries in the Hoar et al matrix). One hundred and sixty-six of the 214 were entries for which 1 entry in the Hoar et al matrix corresponded to 1 identical entry in the NOHS-based matrix. Thirty-five were entries for which 1 entry in the Hoar et al matrix corresponded to more than 1 entry in the NOHS-based matrix (the majority of these were “metal and compounds”) and 13 were a similar match, but not identical.

Conversion of occupational codes (step 2)

In the Hoar et al matrix, occupations are coded according to the Dictionary of Occupational Titles, which groups jobs together on the basis of similar tasks and assigns each of these groups a number. The occupational codes in the Hoar et al matrix thus represent groups of jobs. On the other hand, the NOHS-based matrix codes each indi-
individual occupation with a 1980 Industry and Occupation Census Bureau code.

The occupational codes of the Hoar et al matrix were converted to equivalent codes in the NOHS-based matrix by assigning a 1980 Industry and Occupation Census Bureau code to each of the individual jobs in a given occupational group in the Dictionary of Occupational Titles. The third edition of the Dictionary of Occupational Titles was used in the analysis since this was the one used for the development of the Hoar et al matrix. Only occupations identified as base titles in the Dictionary of Occupational Titles were used. If a census code for the base title could not be located, then the synonym for the base title was used to try to find the census code. Jobs noted as occurring in “any industry” in the Dictionary of Occupational Titles were not coded.

Two occupational groups, 899 (miscellaneous structural work occupations, not elsewhere classified) and 929 (packaging and materials handling occupations, not elsewhere classified), contained jobs from diverse industries. For our analysis, only jobs pertaining to the 3 industries under study were chosen for analysis.

Approach to performing comparison

The analysis was performed by comparing the exposure entities associated with an occupational group in the Hoar et al matrix with those associated with the corresponding census codes in the NOHS-based matrix. Each of 214 exposure entities was classified as to its “presence” or “absence” in a matrix, and a kappa statistic (κ) was calculated. Table 1 details the results of comparing the 2 data bases with respect to occupational group 819 in the metal industry. As can be seen, there were 7 exposure entities (3.3% of all 214 hazards) present in both matrices (acetylene, copper and compounds, silver and compounds, titanium and compounds, zinc and compounds, carbon dioxide, and ultraviolet radiation). The NOHS-based matrix indicated 17 entities as present (7.9% of total) that the Hoar et al did not, and the Hoar et al matrix indicated 22 entities as present (10.3% of total) that the NOHS-based JEM indicated as absent. One hundred and sixty-eight agents were not present in either matrix (78.5% of the total). Kappa here equaled 0.16, indicative of “slight” agreement (23).

This procedure was followed for each of the occupational groups considered. Note that the Hoar et al exposure-intensity measures were ignored in the comparisons of the 2 data bases, as was the percentage of workers exposed to a particular hazard estimated by the NOHS-based matrix.

To complement the κ values calculated for each occupational group, the G index was estimated (24, 25). G is equal to the proportion of agreeing responses minus the proportion of nonagreeing responses and can be calculated even when a cell entry is 0 and κ is undefined (26). In addition to the assessment of the possible variation in agreement between the 2 data bases by occupation and industry, differences between the matrices for single ex-

Table 1. Comparison of the Hoar et al job-exposure matrix and job-exposure matrix based on the National Occupational Hazard Survey (NOHS) for exposure entities in occupational group 819 in the metal industry (welders, flame cutters, and related occupations)
Table 2. Comparison of the job-exposure matrix based on the National Occupational Hazard Survey (NOHS) and the Hoar et al job-exposure matrix for inferred exposure to aldehydes, ketones, and ethers in the chemicals, drugs and paints industry.1

| Agents in family | Occupational groups from the Dictionary of Occupational Titles | Frequency of agents |
|------------------|----------------------------------------------------------------|--------------------|
|                  | 550     | 551     | 552     | 553     | 554     | 555     | 556     | 557     | 558     | 559     | 737     | 849     | 880     | 929     |
| Acetaldehyde     | +/−     | +/−     | +/−     | +/−     | +/−     | +/−     | +/−     | +/−     | +/−     | +/−     | +/−     | +/−     | +/−     |           |
| Acetone          | +/−     | +/−     | +/−     | +/−     | +/−     | +/−     | +/−     | +/−     | +/−     | +/−     | +/−     | +/−     | +/−     |           |
| Acrolein         | +/−     | +/−     | +/−     | +/−     | +/−     | +/−     | +/−     | +/−     | +/−     | +/−     | +/−     | +/−     | +/−     |           |
| Anesthetics      | +/−     | +/−     | +/−     | +/−     | +/−     | +/−     | +/−     | +/−     | +/−     | +/−     | +/−     | +/−     | +/−     |           |
| Ethyl ether      | +/−     | +/−     | +/−     | +/−     | +/−     | +/−     | +/−     | +/−     | +/−     | +/−     | +/−     | +/−     | +/−     |           |
| Formalin         | +/−     | +/−     | +/−     | +/−     | +/−     | +/−     | +/−     | +/−     | +/−     | +/−     | +/−     | +/−     | +/−     |           |
| Ketones, not otherwise specified | +/− | +/− | +/− | +/− | +/− | +/− | +/− | +/− | +/− | +/− | +/− | +/− |            |
| Oil of clove     | +/−     | +/−     | +/−     | +/−     | +/−     | +/−     | +/−     | +/−     | +/−     | +/−     | +/−     | +/−     | +/−     |           |

Total number: 29
Percentage of total (rounded): 26

1. Key:
+/− = Both matrices noted agent present for occupational group.
−/− = Both matrices noted agent absent for occupational group.
+/+/− = Agent noted as present in NOHS-based matrix, but absent in Hoar et al matrix.
+/+/+ = Agent noted as absent in NOHS-based matrix, but present in Hoar et al matrix.
550 = Mixing and blending occupations.
551 = Filtering, straining, and separating occupations.
552 = Distilling occupations.
553 = Heating, baking, drying, seasoning, melting, and heat-treating occupations.
554 = Coating, calendaring, laminating, and finishing occupations.
555 = Grinding and crushing occupations.
556 = Casting and molding occupations, not elsewhere classified.
557 = Extruding occupations.
558 = Reacting occupations, not otherwise classified.
559 = Occupations in processing of chemicals, plastics, synthetics, rubber, paint & related products, not otherwise classified.
737 = Occupations in fabrication of ammunition, fireworks, explosives, and related products.
845 = Painting, plastering, waterproofing, cementing, and related occupations, not otherwise classified.
858 = Miscellaneous structural work occupations, not otherwise classified.
925 = Packaging and materials handling occupations, not otherwise classified.

Results

Proportion of occupations coded and located in the NOHS-based job-exposure matrix

Table 3 shows the number of occupational groups selected for analysis in the industries under study. These groups represent all the occupational groups in the Hoar et al matrix which were not managerial, professional, or secretarial. The number of jobs selected from each group varied considerably with an average of 25 jobs per group in the metal industry, 21 in the paper and wood industry, and 56 in the chemicals, drugs and paints industry.

Table 3. Proportion of occupations coded with a 1980 Census Bureau code and located in a job-exposure matrix based on the National Occupational Hazard Survey (NOHS) for each industry.

| Industry as denoted in Hoar et al job-exposure matrix | Number of occupational groups selected for analysis from the Dictionary of Occupational Diseases | Total number of jobs in each occupational group Mean | Jobs in an occupational group, for which a 1980 census code was found Mean (%) | 1st step of code conversion | 2nd step of code conversion |
|------------------------------------------------------|-----------------------------------------------------------------------------------------------|---------|--------------------------------------------------------|--------------------------|----------------------------|
| Metal                                                | 22                                                                                           | 543     | 25 3—79                                                | 71.1 55—100              | 44.8 9—83                 |
| Paper and wood                                       | 17                                                                                           | 356     | 21 3—59                                                | 76.1 58—100              | 36.8 8—58                 |
| Chemicals, drugs and paints                          | 15                                                                                           | 845     | 56 2—251                                               | 65.6 31—100              | 33.7 0—62                 |

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Census codes could not be found for all the jobs selected in the occupational groups. An average of 71.1% of the jobs in each group of the metal industry could be coded, 76.1% in the paper and wood industry, and 65.8% in the chemicals, drugs and paints industry.

Once the jobs were coded, the NOHS-based matrix was searched for these census codes. Not all jobs that were coded could be located in the NOHS-based matrix, since the National Occupational Hazard Survey was a sample of industries and occupations. In general, less than half of all jobs in each occupational group were found in the NOHS-based matrix. For the metal industry, the mean percentage located was 44.8%. In the paper and wood industry, this percentage was 36.8%, and for the chemicals, drugs and paints industry 33.7% were found, on the average. For 1 group in the chemicals, drugs and paints industry (number 953), none of the census codes were found in the NOHS-based matrix, and therefore this group was eliminated.

Agreement between the matrices for presence or absence of agents in each occupational group

It was possible to calculate kappa for 20 of the 22 occupational groups in the metal industry. Kappa could not be calculated in occupational group 503 because none of the agents identified in the NOHS-based matrix were used in the comparison, and in group 899 none of the agents were present in both matrices. The highest $\kappa$ of 0.27 occurred in group 510 (mixing occupations in ore refining). The lowest $\kappa$ of 0.02 was found for group 809 (structural work occupations). Despite the low kappas, many were statistically significant in conventional terms ($P \leq 0.05$). In general, the NOHS-based matrix indicated more agents present for each occupational group than did the Hoar et al matrix.

Results for the paper and wood industry showed higher $G$ scores (compared with the metal industry results) and thus indicated more agreeing responses; however the majority of the $\kappa$ were less than 0.10, and some of the $\kappa$ were negative and therefore indicated poor agreement beyond what one would expect by chance alone. Kappa could not be calculated for 3 groups because there were either no agents present in both matrices, or there was no discordance for 1 of the matrices. The highest $\kappa$ of 0.24 occurred in this industry in group 659 (printing occupations), and the lowest of -0.07 was seen in group 561 (preserving occupations in wood processing).

Somewhat different results were obtained for the chemicals, drugs and paints industry. For the occupational groups, the percentage of agreeing responses for reported exposures tended to be lower and the majority of the $\kappa$ were less than 0.10, several being less than 0. The highest $\kappa$ of this industry, 0.14, occurred for group 849 (painters), and the lowest of -0.12 for grinding and crushing occupations. In contrast to results for the metal and paper and wood industries, the Hoar et al matrix usually indicated more agents present in an occupational group than did the NOHS-based matrix.

Agreement between matrices for the presence or absence of agents within a chemical family

Figures 1 and 2 graphically illustrate the concordance-discordance results by industry and chemical family. For example, in the metal industry, the best agreement (99%) was seen for family 6 (alicyclic halogens), followed by family 10 (aliphatic nitro compounds), family 2 (aro-

![Figure 1](https://example.com/figure1.png)

Figure 1. Concordance-discordance results by industry for hazard families (NIOSH = National Institute for Occupational Safety and Health in the United States, SIC = standard industrial classification; NOS = not otherwise specified) (part 1).
matic nitro compounds), and family 3 (aromatic halogens). Two families demonstrated more disagreement than agreement: family 22 (other physical agents) and family 20 (nonionizing radiation/ultraviolet radiation).

In general, most concordance occurred because the matrices agreed on the absence of exposure to the agents in a family. Only 2 families — metals, metalloids and compounds and nonionizing radiation — showed a substantial portion of the overall agreement to be explained by both matrices agreeing on the presence of exposures. Consistent with the data obtained for the occupational groups, much of the disagreement within a family occurred because the NOHS-based matrix indicated that the agents were present in the industry when the Hoar et al. matrix did not.

Table 4 summarizes the family-specific concordance-discordance findings for each industry examined. The summary statistics indicate that, on the average, there was more concordance than discordance, particularly for the metal and paper and wood industries (total average concordance 65.5% and 71.3%, respectively). Except for chemicals, drugs and paints, the “neither” component of concordance (in the 60—70% range) generally far outweighed the “both” component (in the 2—5% range).

It should be stressed that the proportions illustrated in figures 1 and 2 represent an average of all the agents in a chemical family across all the occupational groups in the industry. It is possible that, even though a family shows only 50% agreement, for a single agent, there may be a high proportion of agreement between the matrices. For example, in table 2, the overall proportion of agreement for the chemical family aldehydes, ketones and ethers in chemicals, drugs and paints was 59%. However, 2 separate agents, formalin and anesthetics, showed a high proportion of agreement. This pattern was not unique to this chemical family or this industry.

**Discussion**

The magnitude of the k values for the 3 industries studied suggest, on the whole, poor to only slight or fair agreement.
agreement between the two matrices, at least when the interpretive guide suggested by Landis & Koch is used (23). On the other hand, Schmidt et al (26) found that kappa weights conservatively for concordance on “no exposure” as compared with other measures of agreement. For example, for the paper and wood industry, the G index (the proportion of agreeing responses minus the proportion of disagreeing responses) tended to be higher than for the metal industry because there was a high proportion of agreeing responses due to agreement on “no exposure”. The \( \kappa \) values for the paper and wood industry were lower, however, because of the way in which \( \kappa \) was calculated. Kappa was defined as \( \frac{(p_{oa} - p_{ai})(1 - p_{ai})}{1 - p_{ai}} \), where \( p_{oa} \) = observed probability of concordance between the 2 surveys and \( p_{ai} \) = expected probability of concordance between the 2 surveys. In addition, \( p_{oa} = \frac{a_{1}b_{1}}{a_{1}b_{1} + a_{1}b_{2} + a_{2}b_{1} + a_{2}b_{2}} \), where \( a_{1} \) and \( b_{1} \) are the marginal probabilities for the \( i \)th category in the \( c x c \) contingency table relating the 2 surveys (27). Consequently, if there is a high proportion of agreeing responses due to “no exposure”, as occurred for jobs in the paper and wood industry, the \( p_{oa} \) is larger and \( \kappa \) smaller.

While other authors have noted that time and place are important considerations in using a matrix to infer occupational exposure (2, 3, 28), the 2 matrices examined in this study were developed in the United States using data from approximately the same time period so that a difference in place or time probably did not significantly contribute to the observed differences. In addition, there could be some bias due to miscoding of the jobs, but it is difficult to estimate the magnitude and direction of such bias.

Kappa is a measure of reliability and all that can be concluded from the present study is that the matrices are only partly reliable when compared with each other for reported exposures in an occupational group. The interpretation of the difference between the 2 matrices is difficult, however, because in the Hoar et al matrix, “exposure” is not defined, and the degree of linkage was excluded from our comparison. We also excluded the estimated percentage of employees potentially exposed to any given agent in the NOHS-based job-exposure matrix. It is possible that, had the analysis been restricted to comparing only agents for which there was a high (or low) degree of exposure in the Hoar et al matrix with agents that had a high (or low) proportion of employees potentially exposed in the NOHS-based matrix, the observed agreement might have been greater.

One limitation of both of these matrices is that neither of them was constructed in a way that allows for the identification of differences in exposure to workers with the same job title or in the same occupational group. (The NOHS-based matrix identifies the percentage of workers potentially exposed to a given agent for an industry-occupation combination, but it does not quantify the level of exposure.) This type of distinction is important in industries such as chemical manufacturing where the highest exposures to chemicals can occur, but only for a small fraction of the workers in an occupational group. If either of these matrices were applied to workers in such an industry, some of the workers would be misclassified as to exposure status.

Unfortunately, there is no universally accepted “gold standard” by which to judge the sensitivity and specificity of these 2 matrices. Therefore, it is not possible to assess whether 1 of the matrices misclassifies workers or whether the matrices are simply classifying workers for different things.

Hinds et al (29) previously suggested that the Hoar et al matrix may have a low sensitivity. When they used it in a case-referent study of lung cancer, only 2 of 7 known or suspected lung carcinogens produced a statistically significant increase in the risk of lung cancer, as well as a dose-response effect. The authors did indicate, however, that there were potential biases in their data that may have influenced their results, and they concluded that the low sensitivity might “indicate a general shortcoming or one peculiar to [their] data set” (29).

Ciccone et al (31) also evaluated the NOHS-based matrix. They used occupational data from patients with mesothelioma (obtained from a tumor register) to compare assignment of exposure to asbestos by the NOHS-based matrix with the authors’ own assignment based on job title and found only a weak correlation between the 2.

Our results suggest that the 2 matrices we compared have different sensitivities and specificities and that when applying these matrices to the same population, researchers could reach different conclusions regarding clues as to the etiology of disease. In addition, if the sensitivity and specificity of the 2 matrices are different, the positive predictive value of each will be affected.

Concluding remarks

The results of the present comparison underscore the importance of classification rules in developing and using
matrices. Our results show that the NOHS-based matrix and the Hoar et al matrix generally differ and that, when applied to the same population, different conclusions are reached. The reasons for these differences are not clear, as differences in exposures were noted for both individual agents and families of agents and they also appear to be somewhat dependent on industry. It is important to note, however, that there was also some agreement between the 2 matrices. The variation in the assignment of exposure by these 2 matrices, as well as the limitations in the occupational coding systems, should be considered when either of these matrices are used.

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