Immunogenicity of a DNA vaccine candidate for COVID-19

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The coronavirus family member, SARS-CoV-2 has been identified as the causal agent for the pandemic viral pneumonia disease, COVID-19. At this time, no vaccine is available to control further dissemination of the disease. We have previously engineered a synthetic DNA vaccine targeting the MERS coronavirus Spike (S) protein, the major surface antigen of coronaviruses, which is currently in clinical study. Here we build on this prior experience to generate a synthetic DNA-based vaccine candidate targeting SARS-CoV-2 S protein. The engineered construct, INO-4800, results in robust expression of the S protein in vitro. Following immunization of mice and guinea pigs with INO-4800 we measure antigen-specific T cell responses, functional antibodies which neutralize the SARS-CoV-2 infection and block Spike protein binding to the ACE2 receptor, and biodistribution of SARS-CoV-2 targeting antibodies to the lungs. This preliminary dataset identifies INO-4800 as a potential COVID-19 vaccine candidate, supporting further translational study.
COVID-19, known previously as 2019-nCoV pneumonia or disease, has emerged as a global public health crisis, joining severe acute respiratory syndrome (SARS) and Middle East respiratory syndrome (MERS) in a growing number of coronavirus-associated illnesses which have jumped from animals to people. There are at least seven identified coronaviruses that infect humans. In December 2019 the city of Wuhan in China became the epicenter for an outbreak of the novel coronavirus, SARS-CoV-2. SARS-CoV-2 was isolated and sequenced from human airway epithelial cells from infected patients. Disease symptoms range from mild flu-like to severe cases with life-threatening pneumonia. The global situation is dynamically evolving, and on 30 January 2020 the World Health Organization declared COVID-19 as a public health emergency of international concern (PHEIC), and on 11 March 2020 it was declared a global pandemic. As of 1 May 2020 there are 3,321,402 people confirmed infected and 237,180 deaths. Infections have spread to multiple continents. Human-to-human transmission has been observed in multiple countries, and a shortage of disposable personal protective equipment has compounded this already delicate situation and heightened the risk of nosocomial infections. Advanced research activities must be pursued in parallel to push forward protective modalities in an effort to protect billions of vulnerable individuals worldwide. Currently, no licensed preventative vaccine is available for COVID-19.

To address the urgent need for a medical countermeasure to prevent the further dissemination of SARS-CoV-2 we have employed a synthetic DNA-based vaccine approach. Synthetic DNA vaccines are amenable to accelerated developmental timelines due to the ability to quickly design multiple candidates for preclinical testing, scalable manufacturing of large quantities of the drug product, and the possibility to leverage established regulatory pathways to the clinic. Synthetic DNA is temperature-stable and cold-chain free, important features for delivery to resource-limited settings. Specifically for the development of a COVID-19 vaccine candidate, we leveraged prior experiences in developing vaccine approaches to SARS-CoV8, and our own experience in developing a MERS-CoV vaccine (INO-4700)9,10, as well as taking advantage of our vaccine design and manufacturing pathway previously utilized for the Zika vaccine candidate, GLS-570011, which was advanced to the clinic in under 7 months. INO-4700 and GLS-5700 vaccines are currently in clinical testing.

Prior work has demonstrated that a DNA approach for SARS and MERS can drive neutralizing antibody (nAb) responses and provide protection in challenge models8,10. Our previous studies indicated immunization of small and large animal models with DNA vaccines encoding MERS-CoV spike (S) protein provided protection against disease challenge with the matched virus. In subjects immunized with INO-4700 (MERS-CoV S protein DNA vaccine) durable neutralizing antibodies (nAbs) and T cell immune responses were measured, and a seroconversion rate of 96% was observed and immunity was followed for 60 weeks in most study volunteers. INO-4700 Phase 1/2a testing is continuing in South Korea, and a larger Phase 2 study is being planned to begin in the Middle East, both areas which have been most affected by MERS infections.

The SARS-CoV-2 spike is most similar in sequence and structure to SARS-CoV spike protein12, and shares a global protein fold architecture with the MERS-CoV spike protein (Fig. 1) allowing us to build on our prior vaccine construct design. Unlike glycoproteins of HIV and influenza, the prefusion form of the coronavirus trimeric spike is conformationally dynamic, fully exposing the receptor-binding site infrequently. The receptor-binding site is a vulnerable target for nAbs. In fact, MERS nAbs targeted at the receptor-binding domain (RBD) tend to have greater neutralizing potency than other epitopes14. A recent report demonstrated that an anti-SARS antibody could cross-react to the RBD of SARS-CoV-2. These data suggest that the SARS-CoV-2 RBD is an important target for vaccine development. Recent data has revealed SARS-CoV-2 S protein binds the same host receptor, angiotensin-converting enzyme 2 (ACE2), as SARS-CoV S protein12.

Here, we describe the design and initial preclinical testing of COVID-19 synthetic DNA vaccine candidates. We show the expression of the SARS-CoV-2 S antigen RNA and protein after in vitro transfection of COS-7 and 293T cells, respectively, with the vaccine candidates. We followed the induction of immunity by the selected immunogen in mice and guinea pigs, measuring SARS-CoV-2 S protein-specific antibody levels in serum and in the lung fluid, and antibody functionality through competitive inhibition of ACE2 binding, pseudovirus and live virus neutralization. The INO-4800 vaccine induces cellular and humoral host immune responses that can be observed within days following a single immunization, including cross-reactive responses against SARS-CoV. The data demonstrate the immunogenicity of this COVID-19 synthetic DNA vaccine candidate targeting the SARS-CoV-2 S protein, supporting further translational studies to advance the development of this candidate in response to the current global health crisis.

Results

Design and synthesis COVID-19 DNA vaccine constructs. Four spike protein sequences were retrieved from the first four available SARS-CoV-2 full genome sequences published on GISAID (Global Initiative on Sharing All Influenza Data). Three Spike sequences were 100% matched and one was considered an outlier (98.6% sequence identity with the other sequences). After performing a sequence alignment, the SARS-CoV-2 spike glycoprotein sequence was generated and an N-terminal IgE leader sequence was added. The highly optimized DNA sequence encoding SARS-CoV-2 IgE-spiked was created using Inovio’s proprietary in silico Gene Optimization Algorithm to enhance expression and immunogenicity. The optimized DNA sequence was synthesized, digested with BamHI and XhoI, and cloned into the expression vector pGX0001 under the control of the human cytomegalovirus immediate-early promoter and a bovine growth hormone polyadenylation signal. The resulting plasmids were designated as pGX9501 and pGX9503, designed to encode the SARS-CoV-2 S protein from the three-matched sequences and the outlier sequence, respectively (Fig. 2a).

In vitro characterization of COVID-19 DNA vaccine constructs. We measured the expression of the encoded SARS-CoV-2 spike transgene at the RNA level in COS-7 cells transfected with pGX9501 and pGX9503. Using the total RNA extracted from the transfected COS-7 cells we confirmed expression of the spike transgene by RT-PCR (Fig. 2b). In vitro spike protein expression in HEK-293T cells was measured by Western blot analysis using a cross-reactive antibody against SARS-CoV S protein on cell lysates. Western blots of the lysates of HEK-293T cells transfected with pGX9501 or pGX9503 constructs revealed bands approximately to the predicted S protein molecular weight, 140–142 kDa, with slight shifts likely due to the 22 potential N-linked glycans in the S protein (Fig. 2c). In immunofluorescent studies the S protein was detected in 293T cells transfected with pGX9501 or pGX9503 (Fig. 2d). In summary, in vitro studies revealed the expression of the Spike protein at both the RNA and protein level after transfection of cell lines with the candidate vaccine constructs.
Humoral immune responses in mice. Since candidate design, it has been observed that newly published SARS-CoV-2 Spike protein sequences match pGX9501 with >99.9% amino acid sequence identity (Supplementary Data 1). pGX9501 was therefore selected as the vaccine construct to advance to immunogenicity studies, due to the broader coverage it would likely provide compared with the outlier, pGX9503. pGX9501 was subsequently termed INO-4800. The immunogenicity of INO-4800 was evaluated in BALB/c mice, post-administration to the tibialis anterior muscle using the CELLECTRA® delivery device16. The reactivity of the sera from a group of mice immunized with INO-4800 was measured against a panel of SARS-CoV-2 and SARS-CoV antigens (Fig.3a). Analysis revealed IgG binding against SARS-CoV-2 S protein antigens, with limited cross-reactivity to SARS-CoV S protein antigens, in the sera of INO-4800 immunized mice. We measured the serum IgG binding end-point titers (EPTs) in mice immunized with pDNA against recombinant SARS-CoV-2 spike protein S1+S2 regions (Fig.3b, c) and recombinant SARS-CoV-2 spike protein receptor binding domain (RBD) (Fig. 3d, e). EPTs were observed in the sera of mice at day 14 after immunization with a single dose of INO-4800 (Fig. 3c, e).

We developed a neutralization assay with a pNL4–3.Luc.R-E-based pseudovirus displaying the SARS-CoV-2 Spike protein. Neutralization titers were detected by a reduction in relative luciferase units (RLU) compared with controls which had no decrease in RLU signal. BALB/c mice were immunized twice with INO-4800, on days 0 and 14, and sera was collected on day 7 post-second immunization. The pseudovirus was incubated with serial dilutions of mouse sera and the sera-virus mixture was added to 293T cells stably expressing the human ACE2 receptor (ACE2-293T) for 72 h. Neutralization ID50 average titers of 92.2 were observed in INO-4800 immunized mice (Fig. 4a, b). No reduction in RLU was observed for the control animals. Neutralizing titers were additionally measured against two wildtype SARS-CoV-2 virus strains by PRNT assay. Sera from INO-4800 immunized BALB/c mice neutralized both SARS-CoV-2/WH-09/human/2020 and SARS-CoV-2/Australia/VIC01/2020 virus strains with average ND50 titers of 97.5 and 128.1, respectively (Table1). Live virus neutralizing titers were also evaluated in C57BL/6 mice following the same INO-4800 immunization regimen. Sera from INO-4800 immunized C57BL/6 mice neutralized wildtype SARS-CoV-2 virus with average ND50 titer of 340 (Table1).

Humoral immune responses in guinea pigs. We assessed the immunogenicity of INO-4800 in the Hartley guinea pig model, an established model for intradermal vaccine delivery17,18. One hundred micrograms of pDNA was administered by Mantoux injection to the skin and followed by CELLECTRA® delivery device on day 0 as described in the methods section. On day 14 anti-spike protein binding of serum antibodies was measured by ELISA. Immunization with INO-4800 revealed an immune response with IgG binding against SARS-CoV-2 S protein antigens. Neutralization titers were measured against wildtype SARS-CoV-2 virus strains by PRNT assay. Sera from INO-4800 immunized Hartley guinea pigs neutralized both SARS-CoV-2/WH-09/human/2020 and SARS-CoV-2/Australia/VIC01/2020 virus strains with average ND50 titers of 97.5 and 128.1, respectively (Table1). Live virus neutralizing titers were also evaluated in C57BL/6 mice following the same INO-4800 immunization regimen. Sera from INO-4800 immunized C57BL/6 mice neutralized wildtype SARS-CoV-2 virus with average ND50 titer of 340 (Table1).
response in respect to SARS-CoV-2 S1 + 2 protein binding IgG levels in the sera (Fig. 5a, b). The endpoint SARS-CoV-2 S protein binding titer at day 14 was 10,530 and 21 in guinea pigs treated with 100 µg INO-4800 or pVAX (control), respectively (Fig. 5b).

We next evaluated antibody neutralizing activity following intradermal INO-4800 immunization in the guinea pig model. Guinea pigs were treated on days 0, 14, and 28 with pVAX or INO-4800, and sera samples were collected on days 35 or 42 to measure sera neutralizing activity against pseudovirus or wildtype virus, respectively. SARS-CoV-2 pseudovirus neutralizing activity with average ND50 titers of 573.5 was observed for the INO-4800 immunized guinea pigs (Table 1). Wildtype SARS-CoV-2 virus activity was also observed for the INO-4800 immunized guinea pigs with ND50 titers >320 by PRNT assay observed in all animals (Table 1).

Inhibition of SARS-CoV-2 S protein binding to ACE2 receptor. The induction of antibodies capable of inhibiting Spike protein engagement of host receptor is considered relevant for SARS-CoV-2 vaccine development. We therefore examined the receptor inhibiting functionality of INO-4800-induced antibody responses. We recently developed an ELISA-based ACE2 inhibition assay as a surrogate for neutralization. The assay is similar in principle to other surrogate neutralization assays which have been validated for coronaviruses19. As a control in our assay, we show ACE2 can bind to SARS-CoV-2 Spike protein with an EC50 of 0.025 µg/ml (Fig. 6a). BALB/c mice were immunized on Days 0 and Day 14 with 10 µg of INO-4800, and serum IgG was purified on Day 21 post-immunization to ensure inhibition is antibody-mediated. We compared inhibition of the Spike-ACE2 interaction using serum IgG from a naïve mouse and from an INO-4800

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**Fig. 2 Design and expression of COVID-19 synthetic DNA vaccine constructs.** **a** Schematic diagram of COVID-19 synthetic DNA vaccine constructs, pGX9501 (matched) and pGX9503 (outlier (OL)) containing the IgE leader sequence and SARS-CoV-2 spike protein insert. **b** RT-PCR assay of RNA extracts from COS-7 cells transfected in duplicate with pGX9501 and pGX9503. Extracted RNA was analyzed by RT-PCR using PCR assays designed for each target and for COS-7 β-Actin mRNA, used as an internal expression normalization gene. Delta C_T (Δ C_T) was calculated as the C_T of the target minus the C_T of β-Actin for each transfection concentration and is plotted against the log of the mass of pDNA transfected (Plotted as mean ± SD). **c** Analysis of in vitro expression of Spike protein after transfection of 293T cells with pGX9501, pGX9503 or MOCK plasmid by Western blot. 293T cell lysates were resolved on a gel and probed with a polyclonal anti-SARS Spike Protein. Blots were stripped then probed with an anti-β-actin loading control. **d** In vitro immunofluorescent staining of 293T cells transfected with 3 µg/well of pGX9501, pGX9503 or pVax (empty control vector). Expression of Spike protein was measured with polyclonal anti-SARS Spike Protein IgG and anti-IgG secondary (green). Cell nuclei were counterstained with DAPI (blue). Images were captured using ImageXpress Pico automated cell imaging system. Scale bars are 80.15 µm (left), 66.8 µm (middle) and 77.31 µm (right).
vaccinated mouse (Fig. 6b). We repeated the receptor inhibition assay with a group of five immunized mice, and demonstrating that INO-4800-induced antibodies competed with ACE2 binding to the SARS-CoV-2 Spike protein (Fig. 6c and Supplementary Fig. 1). ACE2 binding inhibition was further evaluated in the guinea pig model. Sera collected from INO-4800 immunized guinea pigs inhibited binding of SARS-CoV-2 over a range of concentrations of ACE2 (0.25 µg/ml through 4 µg/ml) (Fig. 6d). Furthermore, serum dilution curves revealed sera collected from INO-4800 immunized guinea pigs blocked binding of ACE2 to SARS-CoV-2 in a dilution-dependent manner (Fig. 6e). Sera collected from pVAX-treated animals displayed negligible activity in the inhibition of ACE2 binding to the virus protein, the decrease in OD signal at the highest concentration of serum is considered a matrix effect in the assay. ACE2 is considered to be the primary receptor for SARS-CoV-2 cellular entry and blocking this interaction suggests INO-4800-induced antibodies believed important to prevent host infection.

In summary, humoral immunogenicity testing in both mice and guinea pigs revealed the COVID-19 vaccine candidate, INO-4800, was capable of eliciting functional blocking antibody responses to SARS-CoV-2 spike protein.

**Biodistribution of SARS-CoV-2 reactive IgG to the lung.** Lower respiratory disease (LRD) is associated with severe cases of COVID-19. The presence of antibodies at the lung mucosa targeting SARS-CoV-2 could potentially mediate protection against...
LRD. Therefore, we evaluated the presence of SARS-CoV-2 specific antibody in the lungs of immunized mice and guinea pigs. BALB/c mice and Hartley guinea pigs were immunized, on days 0 and 14 or 0, 14, and 28, respectively, with INO-4800 or pVAX control pDNA. Bronchoalveolar lavage (BAL) fluid was collected following sacrifice, and SARS-CoV-2 S protein ELISAs were performed. In both BALB/c and Hartley guinea pigs which received INO-4800 we measured a statistically significant increase in SARS-CoV-2 S protein binding IgG in BAL fluid compared with animals receiving pVAX control (Fig. 7a–d). Taken together, these data demonstrate the presence of anti-SARS-CoV-2 specific antibody in the lungs following immunization with INO-4800.

**Coronavirus cross-reactive cellular immune responses in mice.** We assayed T cell responses against SARS-CoV-2, SARS-CoV, and MERS-CoV S antigens by IFN-γ ELISpot. Groups of BALB/c mice were sacrificed at days 4, 7, or 10 post-INO-4800 administration (2.5 or 10 µg of pDNA), splenocytes were harvested, and a single-cell suspension was stimulated for 20 h with pools of 15-mer overlapping peptides spanning the SARS-CoV-2, SARS-CoV, and MERS-CoV spike protein. Day 7 post-INO-4800 administration, we measured T cell responses of 205 and 552 SFU per 10⁶ splenocytes against SARS-CoV-2 for the 2.5 and 10 µg doses, respectively (Fig. 8a). Higher magnitude responses of 852 and 2193 SFU per 10⁶ splenocytes against SARS-CoV-2 were observed on Day 10 post-INO-4800 administration. Additionally, we assayed the cross-reactivity of the cellular response elicited by INO-4800 against SARS-CoV, observing detectable, albeit lower, T cell responses on both Day 7 (74 [2.5 µg dose] and 140 [10 µg dose] SFU per 10⁶ splenocytes) and Day 10 post-administration (242 [2.5 µg dose] and 588 [10 µg dose] SFU per 10⁶ splenocytes) (Fig. 8b). Interestingly, no cross-reactive T cell responses were observed against MERS-CoV peptides (Fig. 8c). Representative images of the IFN-γ ELISpot plates are provided in Supplementary Fig. 2. We proceeded to identify the T cell populations which were producing IFN-γ. Flow cytometric analysis on splenocytes harvested from BALB/c mice on Day 14 after a single INO-4800

**Table 1 Sera neutralizing activity after INO-4800 administration to mice and guinea pigs.**

| Model        | Vaccine | N  | Immunization regimen | Sample timepoint | Neutralization assay          | Serum ND50 (reciprocal dilution) |
|--------------|---------|----|----------------------|------------------|-------------------------------|---------------------------------|
| BALB/c Mouse| pVAX    | 4  | 25 µg Days 0, 14     | Day 21           | SARS-CoV-2 (WH-09/human/2020) | <20, <20, <20, <20              |
| INO-4800     | 4       | 25 µg Days 0, 14     |                   | SARS-CoV-2 (WH-09/human/2020)| 30, 40, 80, 240                  |
| INO-4800     | pVAX    | 8  | 25 µg Days 0, 14     |                   | SARS-CoV-2 (Australia/VIC01/2020) | <10, 12, 13, 15, 16, 17, 19, 24 |
| pVAX         | INO-4800| 8  | 25 µg Days 0, 14     |                   | SARS-CoV-2 (Australia/VIC01/2020) | 27, 46, 91, 108, 130, 161, 221, 241 |
| pVAX         | INO-4800| 5  | 10 µg Days 0, 14     |                   | SARS-CoV-2                     | 8, 8, 8, 8, 8                   |
| INO-4800     | 5       | 10 µg Days 0, 14     |                   |                 | SARS-CoV-2                     | 43, 55, 87, 129, 147            |
| C57BL/6 Mouse| pVAX    | 4  | 25 µg Days 0, 14     |                   | SARS-CoV-2 (WH-09/human/2020) | <20, <20, <20, <20              |
| INO-4800     | 4       | 25 µg Days 0, 14     |                   | SARS-CoV-2 (WH-09/human/2020)| 240, 240, 240, 640              |
| Guinea pig   | pVAX    | 5  | 100 µg Days 0, 14, 28| Day 42           | SARS-CoV-2 (Australia/VIC01/2020) | <10, 14, 20, 21, 25             |
| INO-4800     | 5       | 100 µg Days 0, 14, 28|                   | SARS-CoV-2 (Australia/VIC01/2020) | >320, >320, >320, >320, >320, >320 |
| pVAX         | INO-4800| 5  | 100 µg Days 0, 14, 28| Day 35           | SARS-CoV-2                     | <20, <20, <20, <20              |
| INO-4800     | 5       | 100 µg Days 0, 14, 28|                   | Pseudovirus               | 527, 532, 579, 614, 616         |
| pVAX         | INO-4800| 5  | 100 µg Days 0, 14, 28|                   | Pseudovirus               | 527, 532, 579, 614, 616         |
Immune response revealed the T cell compartment to contain 0.04% CD4+ and 0.32% CD8+ IFN-γ+ T cells after stimulation with SARS-CoV-2 antigens (Supplementary Fig. 3). We performed epitope mapping on the splenocytes from BALB/c mice receiving the 10 µg INO-4800 dose. Thirty matrix mapping pools were used to stimulate splenocytes for 20 h and immunodominant responses were detected in multiple peptide pools (Fig. 9a). The responses were deconvoluted to identify several epitopes (H2-Kd) clustering in the receptor binding domain and in the S2 domain (Fig. 9b). Interestingly, one SARS-CoV-2 H2-Kd epitope, PHGVVFLHV, was observed to overlap and adjacent to the SARS-CoV human HLA-A2 restricted epitope VVFLHVTYV20.

In summary, T cell responses against SARS-CoV-2 S protein epitopes were detected in mice immunized with INO-4800.
Discussion

The novel coronavirus, SARS-CoV-2, and associated COVID-19 disease has become a global pandemic with a significant morbidity and mortality toll. Currently, there are no COVID-19 vaccines available, and global dissemination of SARS-CoV-2 may continue until there is a high level of herd immunity within the human population. Here we have described the preclinical development of a synthetic DNA-based COVID-19 vaccine, INO-4800 to combat this emerging infectious disease. Synthetic DNA vaccine design and synthesis was immediately initiated upon public release of the SARS-CoV-2 genome sequences on 11 January 2020. Our data support the expression and immunogenicity of the INO-4800 synthetic DNA vaccine candidate in multiple animal models. Humoral and T cell responses were observed in mice. In guinea pigs we employed clinical delivery parameters and observed SARS-CoV-2 S protein binding antibody titers and blocking of ACE2/SARS-CoV-2 S protein interaction in serum samples from INO-4800-treated animals. nAbs were also measured in both species.

Halting a rapidly emerging infectious disease requires an orchestrated response from the global health community and requires improved strategies to accelerate vaccine development. In response to the 2019/2020 coronavirus outbreak we employed a synthetic DNA medicine platform. The design and manufacture of this synthetic DNA vaccine represents a plug and play process in which we insert the target antigen sequence into a highly descant modular synthetic DNA medicine platform. The design and manufacture of this synthetic DNA vaccine platform induces Th1-type immune responses and protein, which the major envelope protein on the surface of coronaviruses. Initial studies have already been performed which indicate SARS-CoV-2 interaction with its host receptor (ACE2) can be blocked by antibodies23. In vivo immunogenicity studies in both mouse and guinea pig models revealed levels of S protein-reactive IgG in the serum of INO-4800 immunized animals. In addition to full-length S1 + S2 and S1, INO-4800 immunization induced RBD binding antibodies (Fig. 3), a domain known to be a target for nAbs from SARS-CoV convalescent patients24,25. We further demonstrate the functionality of these antibodies through neutralization of SARS-CoV-2 wild-type virus and pseudovirus (Table 1), and competitive inhibition of SARS-CoV-2 spike protein binding to the ACE2 receptor in the presence of sera from INO-4800 immunized animals (Fig. 6). Importantly, anti-SARS-CoV-2 binding antibodies were detected in lung washes of INO-4800-immunized mice and guinea pigs (Fig. 7). The presence of these antibodies in the lungs has the potential to protect against infection of these tissues and prevent LRD, which is associated with the severe cases of COVID-19. In addition to humoral responses, cellular immune responses have been shown to be associated with more favorable recovery in MERS-CoV infection26, and are likely to be important against SARS-CoV infection27. Here, we showed the induction of T cell responses against SARS-CoV-2 as early as day 7 post-vaccine delivery. Rapid cellular responses have the potential to lower viral load and could potentially reduce the spread of SARS-CoV-2 and the associated COVID-19 illness.

We believe synthetic DNA medicine platform has several synergistic characteristics which position it well to respond to disease outbreaks, such as COVID-19. As mentioned previously, the ability to design and immediately synthesize candidate vaccine constructs means that in vitro and in vivo testing can potentially begin within days of receiving the viral sequence. The DNA plasmid manufacture process allows for scalable manufacture of drug product, which has the potential to circumvent the complexities of conventional vaccine production in eggs or cell culture. Additionally, we have published on the stability profile afforded to these products through the use of our optimized DNA formulation28. The stability characteristics mean that our DNA drug product is non-frozen and can be stored for 4.5+ years at 2–8 °C, room temperature (RT) for 1 year and 1 month at 37 °C, while maintaining potency at temperatures upwards of 60 °C. In the context of a pandemic outbreak, the stability profile of a vaccine plays directly to its ability to be deployed and stockpiled in an efficient and executable manner. In this study, we observed seroconversion after a single intradermal administration of the INO-4800 in guinea pigs (Fig. 6). Whether a single immunization will be sufficient in humans will be investigated in clinical trials.

Although vaccine-induced immunopathology has been raised as a potential concern for SARS and MERS vaccine candidates, and possibly for SARS-CoV-2 vaccines, these concerns are likely vaccine-platform dependent and, to-date, no evidence of immune pathogenesis has been reported for MERS DNA vaccines in mice or non-human primate models10 or SARS DNA vaccines in mice8. Lung immunopathology characterized by Th2-related eosinophilia has been reported for whole inactivated virus (IV), recombinant protein, peptide, and/or recombinant viral vector vaccines following SARS-CoV challenge28–32, and more recently in a MERS-CoV challenge model33. However, in the majority of studies protective efficacy without lung immunopathology has been reported for SARS-CoV and MERS-CoV vaccines8,10,34–40.

It is important to note the majority of studies demonstrating CoV vaccine-induced immunopathology utilized the BALB/c mouse, a model known to preferentially develop Th2-type responses. The DNA vaccine platform induces Th1-type immune responses and
has demonstrated efficacy without immunopathology in models of respiratory infection, including SARS-CoV\textsuperscript{8}, MERS-CoV\textsuperscript{10}, and RSV\textsuperscript{41}. SARS-CoV-2 animal challenge studies will assess INO-4800-mediated protection against disease, and vaccine-enhanced disease.

Here, we report functional neutralization of INO-4800 immune sera using a SARS-CoV-2 pseudovirus assay (Fig. 4, Table 1), and PRNT assay against two wild-type SARS-CoV-2 strains (Table 1). As well, we show that INO-4800 induced antibodies block SARS-CoV-2 Spike binding to the host receptor.

**Fig. 8: Induction of T cell responses in BALB/c mice post-administration of INO-4800.** BALB/c mice (n = 5/group) were immunized with 2.5 or 10 µg INO-4800. T cell responses were analyzed in the animals on days 4, 7, 10 for plots a&b, and day 14 for plot c. T cell responses were measured by IFN-γ ELISpot in splenocytes stimulated for 20h with overlapping peptide pools spanning the SARS-CoV-2 (a), SARS-CoV (b), or MERS-CoV (c) Spike proteins. Bars represent the mean + SD. Data from individual mice is shown in Supplementary Data 2.
ACE2, using a surrogate neutralization assay (Fig. 6). This study highlights the immunogenicity of INO-4800, and further animal studies will test protection against infection.

In summary, these initial results describing the immunogenicity of COVID-19 vaccine candidate, INO-4800 are promising, and it is particularly encouraging to measure functional antibodies and T cell responses in multiple animal models. This study supports the further evaluation of INO-4800 as a vaccine candidate for COVID-19.

Methods

Cell lines. HEK-293T (ATCC® CRL-3216™) and African Green monkey kidney COS-7 (ATCC® CRL-1651™) cell lines were obtained from ATCC (Old Town Manassas, VA). All cell lines were maintained in DMEM supplemented with 10% fetal bovine serum (FBS) and penicillin-streptomycin.

In vitro RNA expression (qRT-PCR) In vitro mRNA expression of the plasmid was demonstrated by transfection of COS-7 with serially diluted plasmids followed by analysis of the total RNA extracted from the cells using reverse transcription and PCR. Transfections of four concentrations of the plasmid were performed using FuGENE® 6 transfection reagent (Promega) which resulted in final masses ranging between 80 and 10 ng per well. The transfections were performed in duplicate. Following 18 to 26 h of incubation the cells were lysed with RLT Buffer (Qiagen). Total RNA was isolated from each well using the Qiagen RNeasy kit following the kit instructions. The resulting RNA concentration was determined by OD260/280 and samples of the RNA were diluted to 10 ng per µL. One hundred nanograms of RNA was then converted to cDNA using the High Capacity cDNA Reverse Transcription (RevT) kit (Applied Biosystems) following the kit instructions. RevT reactions containing RNA but no reverse transcriptase (minus RT) were included as controls for plasmid DNA or cellular genomic DNA sample contamination. Eight microliters of sample cDNA were then subjected to PCR using primers and probes that are specific to the target sequence (pGX9501 Forward – CAGGAC AAGAACACACAGGAA; pGX9501 Reverse – CAGGACAGATTGGAGAGAA; pGX9503 Forward – AGGCCAAGAACACAGGAAAG; and pGX9503 Reverse – CAGGATCCTGGA GAAGTTGAG; pGX9503 Probe – ACCACCCACATCGGACATTGAGA). In a separate reaction, the same quantity of sample cDNA was then subjected to PCR using primers and probes that are specific to the target sequence (pGX9501 Forward – CAGGAC AAGAACACACAGGAA; pGX9501 Reverse – CAGGACAGATTGGAGAGAA; pGX9503 Forward – AGGCCAAGAACACAGGAAAG; and pGX9503 Reverse – CAGGATCCTGGA GAAGTTGAG; pGX9503 Probe – ACCACCCACATCGGACATTGAGA). In a separate reaction, the same quantity of sample cDNA was then subjected to PCR using primers and probe designed (β-actin Forward – GTGACGTGGACATCCGTA AA; β-actin Reverse – CAGGACACAGACAGGAAAG; β-actin Probe – TAC CTTGGGACTTGGCAGCAAGGAGT) for CO-7 cell line β-actin sequences. The primers and probes were synthesized by Integrated DNA Technologies, Inc. and the probes were labeled with 5’-FAM and Black Hole Quencher 1. The reaction

Figure 9: T cell epitope mapping after INO-4800 administration to BALB/c mice. Splenocytes were stimulated for 20 h with SARS-CoV-2 peptide matrix pools. Bars represent the mean ± SD of five mice.
used ABI Fast Advance 2x(Cat. No. 4444557), with final forward and reverse primer concentrations of 1 µM and probe concentrations of 0.3 µM. Using a QuantStudio 3 real-time PCR System, PCRs were performed in 384-well plates. Samples were first subjected to a hold of 1 min at 95 °C and then 40 cycles of PCR with each cycle consisting of 1 s at 95 °C and 20 s at 60 °C. Following PCR, the amplification results were analyzed as follows. The negative transcription controls, the minus ReVt controls, and the NTC were scrutinized for each of their respective indications. The threshold cycle (Ct) of each transcription concentration for the INO-4800 COVID-19 target mRNA and for the β-actin mRNA was generated from the QuantoStudio software using an automatic threshold setting. The plasmid was considered to be active for mRNA expression if the expression in any of the plasmid-transfected wells compared with the negative transcription controls were greater than 5 Ct.

In vitro protein expression (Western blot). Human embryonic kidney cells, 293T were cultured and transfected as described previously[2]. 293T cells were transfected with pDNA using TurboFectin8.0 (OriGene) transfection reagent following the manufacturer’s protocol. Forty-eight hours later cells lysates were harvested using modified RIPA cell lysis buffer. Proteins were separated on a 4–12% BIS-TRIS gel (ThermoFisher Scientific), then following transfer, blots were incubated with an anti-SARS-CoV spike protein polyclonal antibody (Novus Biologicals) then visualized with horseradish peroxidase (HRP)-conjugated anti-mouse IgG (GE Amersham).

Immunofluorescence of transfected 293T cells. For in vitro staining of Spike protein expression 293T cells were cultured on 8-well glass slides (Lab-Tek) and transfected with 3 µg per well of pDNA using TurboFectin8.0 (OriGene) transfection following the manufacturer’s protocol. Cells were fixed 4 h after transfection with 10% Neutral-buffered Formalin (Becton Dickinson, Washington State) for 10 min at RT and then washed with PBS. Before staining, chamber slides were blocked with 0.3% Triton X-100 (Sigma) donkey serum in PBS for 1 h at RT. Cells were stained with a rabbit anti-SARS-CoV spike protein polyclonal antibody (Novus Biologicals) diluted in 1% (v/v) BSA (Sigma), 2% (v/v) donkey serum, 0.3% (v/v) Triton-X (Sigma) and 0.025% (v/v) 1 g ml−1 Sodium Azide (Sigma) in PBS for 2 h at RT. Slides were washed three times for 5 min in PBS and then stained with donkey anti-rabbit IgG AF488 (lifetecnologies, A21206) for 1 h at RT. Slides were washed again and mounted and covered with DAPI-Fluoromount (SouthernBiotec).

Animals. Female, 6-week-old C57/B16 and BALB/c mice were purchased from Charles River Laboratories (Malvern, PA) and The Jackson Laboratory (Bar Harbor, ME). Female, 8-week-old Hartley guinea pigs were purchased from Elm Hill Labs (Chelmsford, MA). All animals were housed in the animal facility at The Wistar Institute Animal Facility or Acculab Life Sciences (San Diego, CA). All animal testing and research complied with all relevant ethical regulations and studies received ethical approval by the Wistar Institute or Acculab Institutional Animal Care and Use Committees (IACUC). For mouse studies, on day 0 doses of 2.5, 10 or 25 µg pDNA were administered to the tibialis anterior (TA) muscle by needle injection followed by CELLECTRA® in vivo electroporation (EP). The CELLECTRA® EP device consists of two sets of pulses with 0.2 ms constant current. Second pulse sets is delayed 3 s. Within each set there are two 52 ms pulses with 198 ms delay between the pulses. On days 0 and 14 blood was collected. Parallel groups of mice were serially sacrificed on days 4, 7, and 10 post-immunization for analysis of cellular immune responses. For guinea pig studies, on day 0, 100 µg pDNA was administered to the skin by Mantoux injection followed by CELLECTRA® in vivo EP.

Antigen binding ELISA. ELISAs were performed to determine sera antibody binding titers. Nunc ELISA plates were coated with 1 µg ml−1 recombinant protein antigens in Dulbecco’s phosphate-buffered saline (PBS) overnight at 4 °C. Plates were washed three times then blocked with 3% bovine serum albumin (BSA) in PBS with 0.05% Tween 20 for 1 h at 37 °C. Serum samples were diluted 1:20 in 1% (w/v) BSA in 1× PBS with 0.05% TWEEN. Plates were washed three times then blocked with 0.3% (v/v) Triton-X (Sigma), 2% (v/v) donkey serum in PBS for 1 h at RT. Plates were washed and then serial dilutions of purified mouse IgG mixed with 0.1 µg ml−1 recombinant human ACE2 with a human Fc tag (ACE2-IgHu) were incubated for 1–2 h at RT. Plates were again washed and then incubated with 1:10,000 dilution of HRP conjugated anti-human IgG (Bethyl, cat. A80-304P) and incubated for 1 h at RT. After final wash plates were developed using 1-Step Universal TMB-ELISA Substrate (Thermo, cat. 43029) and the reaction stopped with 1 M Sulfuric Acid. Plates were read at 450 nm wavelength within 30 min using a SpectraMax Plus 340 Microplate Reader (Molecular Devices, Sunnyvale, CA). Competition curves were plotted and the area under the curve (AUC) was calculated using Prism 8 analysis software with multiple t-tests to determine statistical significance.

For guinea pig studies, 96-well half area assay plates (Costar) were coated with 25 µl per well of 5 µg ml−1 of SARS-CoV-2 spike S1 + S2 protein (Sigma Biological) diluted in 1× DPBS (Thermofisher) overnight at 4°C. Plates were washed 1× PBS buffer with 0.05% Tween (Sigma). Hundred microliters per well of 3% (w/v) BSA (Sigma) in 1× PBS with 0.05% TWEEN were added and incubated for 1 h at 37 °C. Serum samples were diluted 1:20 in 1% (w/v) BSA in 1× PBS with 0.05% Tween. After washing the assay plate, 25 µl/well of diluted serum was added and incubated in 1 h at 37 °C. Human recombinant ACE2-Fc-tag (Sinobiological) was added directly to the diluted serum, followed by 1 h of incubation at 37 °C. Plates were washed and 25 µl per well of 1:10,000 diluted goat anti-human Fc fragment antibody HRP (Bethyl, A80-304P) was added to the assay plate. Plates were incubated 1 h at RT. For development the SureBlue/TMB Stop Solution (KPL, MD) was used and O.D. was recorded at 450 nm.

SARS-CoV-2 Pseudovirus neutralization assay. SARS-CoV-2 pseudotyped viruses were produced using HEK293T cells transfected with GeneJamer (Agilent) using IgE-SARS-CoV-2 S plasmid (Genescript) and pNL4-3.Luc.R-E- plasmid (NIH AIDS reagent) at a 1:1 ratio. Forty-eight hours post transfection, transfection supernatant was collected, enriched with FBS to 12% final volume, filtered (Millipore Sigma), and aliquoted for storage at −80 °C. SARS-CoV-2 pseudotyped viruses were titered and yields titrated the relative light units (RLU) to cells alone after 72 h of infection. Mouse sera from INO-4800 vaccinated and naive mice were heat inactivated for 15 min at 56 °C and serially diluted threefold starting at a 1:10 dilution for assay. Sera were incubated with a fixed amount of SARS-CoV-2 pseudotyped virus for 90 min. HEK293T cells stably expressing ACE2 were added after 90 min and allowed to incubate in standard incubator (37° C, 5% humidity, 5% CO2) for 72 h. Post infection, cells were lysed using briteTle plus luminescence reporter gene assay system (Perkin Elmer Catalog no. 6066769) and RLU were measured using the Biotek plate reader. Neutralization titers (ID50) were calculated as the serum dilution at which RLU were reduced by 50% compared with RLU in virus control wells after subtraction of background RLU in cell control wells.

SARS-CoV-2 wildtype virus neutralization assays. SARS-CoV-2/Australia/VIC01/2020 isolate neutralization assays were performed at Public Health England (Porton Down, UK). Neutralizing virus titers were measured in serum samples that had been heat-inactivated at 56 °C for 30 min. SARS-CoV-2/Australia/VIC01/2020 isolate[14] was diluted to a concentration of 933 pfu ml−1 and mixed 50:50 in 1% FCS/MEM containing 25 mM HEPES buffer with doubling serial dilutions from 1:10 to 1:1320 in a 96-well V-bottomed plate. The plate was incubated at 37 °C in a humidified box for 1 h before the virus was transferred into the wells of a twice DPBS-washed 24-well plate that had been seeded the previous day at 1.5 × 105 Vero E6 cells per well in 10% FCS/ME. Virus was allowed to absorb at 37 °C for a further hour and overlay overlaid with MEM/1.5% CMC/0.4% FCS final. After 5 days incubation at 37 °C in a humidified box, the plates were fixed, stained and plaques counted. Median neutralizing titers (ND50) were determined using the Spearman–Karber formula relative to virus only control wells.

SARS-CoV-2/WH09/human/2020 isolate neutralization assays were performed at the Institute of Laboratory Animal Science, Chinese Academy of Medical Sciences (CAMS) approved by the National Health Commission of the People’s Republic of China. Seed SARS-CoV-2 (SARS-CoV-2/WH09/human/2020) stocks and virus isolation studies were performed in Vero E6 cells, which are maintained in Dulbecco’s modified Eagle’s medium (DMEM, Invitrogen, Carlsbad, USA) supplemented with 10% fetal bovine serum (FBS), 100 IU ml−1 penicillin, and 100 µg ml−1 streptomycin, and incubated at 36.5 °C, 5% CO2. Virus titer were determined using a standard 50% tissue culture infection dose (TCID50) assay. Serum samples collected from immunized animals were serially diluted in two-fold dilutions from 50 to 1:256 in twofold steps. The diluted samples were mixed with a virus suspension of 10 TCID50 in 96-well plates at a ratio of 1:1, followed by 2 h incubation at 36.5 °C in
a 5 % CO₂ incubator. 1–2 × 10⁵ Vero cells were then added to the serum-virus mixture, and the plates were incubated for 3–5 days at 36.5 °C in a 5% CO₂ incubator. Cytotoxic effect (CPE) of each virus was assessed under phase scopes, and the neutralizing titer was calculated by the dilution number of 50% protective condition.

**BAL collection.** BAL fluid was collected by washing the lungs of euthanized and exsanguinated mice with 700–1000 µl of ice-cold PBS containing 100µm EDTA, 0.05% sodium azide, 0.05% Tween-20, and 1× protease inhibitor (Pierce) (mucosal prep solutions (MPS) with a blunt-ended needle. Guinea pig lungs were washed with 20 ml of MPS via 16 G catheter inserted into the trachea. Collected BAL fluid was stored at −20°C until the time of assay.

**IFN-γ ELISpot.** Splenoids from mice were collected individually in RPMI1640 media supplemented with 10% FBS (R10) and penicillin/streptomycin and acquired using an FACS CANTO (BD Biosciences), followed by FlowJo software. These data were considered significant if *p* < 0.05. The statistical analyses were performed using GraphPad Prism 7 or NEJM 1708120 (2017).

**Flow cytometry.** Intracellular cytokine staining was performed on splenocytes harvested from BALB/c and C57BL/6 mice stimulated with the overlapping peptides spanning the SARS-CoV-2 S protein for 6 h at 37°C. 5% CO₂. Cells were stained with the following antibodies from BD Biosciences, unless stated, with the final concentration of 5 µL of each peptide per well in RPMI + 10% FBS (R10). The spots were developed based on manufacturer’s instructions. R10 and cell stimulation cocktails (Invitrogen) were used for negative and positive controls, respectively. Spots were counted and quantified by ImmunoSpot CTL reader. Spot-forming unit (SFU) per million cells was calculated by subtracting the negative control wells.

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Author contributions
T.R.F.S., A.P., S.R., P.P., and J.Y. designed experiments, analyzed the data, and prepared the manuscript. I.M., D.E., J.W., M.Y., D.A., A.D., M.V., X.Z., S.N.W., N.C., E.N.G., M.P., E.P., A.R.A., E.L.R., N.T., Z.X., E.T.R., P.B., J.C., M.A.B., Y.W., D.P., K.Y.K., S.R., M.K., Y.D., F.Z., A.G., V.M.A., K.B., G.Z., J.-M.W., D.L., L.B., J.L., W.D., C.Q., and J.C. designed and performed experiments. N.W., D.W., and J.S.M. developed reagents. A.S.B., T.H., K.M., X.Z., E.N.G., E.P., E.L.R., and D.W.K. aided in experimental design and manuscript review. T.R.F.S., D.B.W., B.W., M.W.C., J.J.K., L.M.P.H., J.B., and K.E.B. provided supervision and oversaw final manuscript preparation.

Competing interests
T.R.F.S., I.M., J.J.K., J.Y., D.E., S.R., D.A., J.W., A.D., M.V., X.Z., G.Z., P.P., T.H., V.M.A., A.G., S.B., J.B., J.J.K., L.H.H., and K.E.B. are employees of Inovio Pharmaceuticals and as such receive salary and benefits, including ownership of stock and stock options, from the company. G.Z. and J.M. are employees of Advaccine and as such receive salary and benefits from the company. D.B.W. has received grant funding, participates in industry collaborations, has received speaking honoraria, and has received fees for consulting, including serving on scientific review committees and board services. Remuneration received by D.B.W. includes direct payments or stock or stock options, and in the interest of disclosure he notes potential conflicts associated with this work with Inovio and possibly others. In addition, he has a patent DNA vaccine delivery pending to Inovio. All other authors report there are no competing interests.

Additional information
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