Native Language Identification: a Simple n-gram Based Approach

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Abstract

This paper describes our approaches to Native Language Identification (NLI) for the NLI shared task 2013. NLI as a sub area of author profiling focuses on identifying the first language of an author given a text in his second language. Researchers have reported several sets of features that have achieved relatively good performance in this task. The type of features used in such works are: lexical, syntactic and stylistic features, dependency parsers, psycholinguistic features and grammatical errors. In our approaches, we selected lexical and syntactic features based on n-grams of characters, words, Penn TreeBank (PTB) and Universal Parts Of Speech (POS) tagsets, and perplexity values of character of n-grams to build four different models. We also combine all the four models using an ensemble based approach to get the final result. We evaluated our approach over a set of 11 native languages reaching 75% accuracy.

1 Introduction

Recently, a growing number of applications are taking advantage of author profiling to improve their services. For instance, in security applications (Abbasi and Chen, 2005; Estival et al., 2007) to help limit the search space of, for example, the author of an email threat, or in marketing where the demography information about customers is important to predict behaviors or to develop new products.

Particularly, author profiling is a task of identifying several demographic characteristics of an author from a written text. Demographic groups can be identified by age, gender, geographic origin, level of education and native language. The idea of identifying the native language based on the manner of speaking and writing a second language is borrowed from Second Language Acquisition (SLA), where this is known as language transfer. The theory of language transfer says that the first language (L1) influences the way that a second language (L2) is learned (Ahn, 2011; Tsur and Rappoport, 2007). According to this theory, if we learn to identify what is being transferred from one language to another, then it is possible to identify the native language of an author given a text written in L2. For instance, a Korean native speaker can be identified by the errors in the use of articles a and the in his English writings due to the lack of similar function words in Korean. As we see, error identification is very common in automatic approaches, however, a previous analysis and understanding of linguistic markers are often required in such approaches.

In this paper we investigate if it is possible to build native language classifiers that are not based on the analysis of common grammatical errors or in deeper semantic analysis. On the contrary, we want to find a simple set of features related to n-grams of words, characters, and POS tags that can be used in an effective way. To the best of our knowledge, almost all the works related to L1 identification use fine grained POS tags, but do not look into whether a coarse grained POS tagset could help in their work. Here, we explore the use of coarse grained Universal POS tags with 12 POS categories in the NLI task and compare the result with the fine grained Penn TreeBank (PTB) POS tags with 36 POS categories.
Moreover, we also investigate how the system works when perplexity values are used as features in identifying native languages. Using an ensemble based approach that combines four different models built by various combinations of feature sets of n-grams of words, characters, and POS tags, and perplexity values, we identify the native language of the author, over 11 different languages, with an accuracy close to 80% and 75% in development and test dataset respectively.

2 Related Work

The first known work about native language identification appears in 2005 (Koppel et al., 2005). In their study, the authors experimented with three types of features, i.e. function words, letter n-grams, errors and idiosyncrasies. But their analysis was focused on the identification of common errors. They found that using a combination of all the features in a Support Vector Machine (SVM), they can obtain an accuracy of 80% in the classification of 5 different native languages. As in this first study, analyzing errors is common in native language identification methods, since it is a straightforward adaptation of how this task is performed in SLA. For instance, Wong and Dras (2009) investigate the use of error types such as disagreement on subject-verb and noun-number, as well as misuse of determiners to show that error analysis is helpful in this task. But their results could not outperform the results obtained by Koppel et al. (2005). They also suggested that analyzing other types of errors might help to improve their approach. In the same path, Jarvis et al. (2012) investigate a larger variety of errors, for example lexical words and phrase errors, determiner errors, spelling errors, adjective order errors and errors in the use of punctuation marks, among others. But they also could not achieve results comparable to the previous results in this task.

Since language transfer occurs when grammatical structures from a first language determine the grammatical structures of a second language, the inclusion of function words and dependency parsers as features seem to be helpful to find such transfers as well as error types (Tetreault et al., 2012; Brooke and Hirst, 2011; Wong et al., 2012). It is common that the analysis of the structure of certain grammatical patterns is also informative to find the use or misuse of well-established grammatical structures (e.g. to distinguish between the use of verb-subject-object, subject-verb-object, and subject-object-verb), in such cases n-grams of POS tags can be used. Finally, according to Tsur and Rappoport (2007), the transfer of phonemes is useful in identifying the native language. Even though the phonemes are usually speech features, the authors suggest that this transfer can be captured by the use of character n-grams in the text. Character n-grams have been proved to be a good feature in author profiling as well since they also capture hints of style, lexical information, use of punctuation and capitalization.

In sum, there are varieties of feature types used in native language identification, most of them combine three to nine types. Each type aims to capture specific information such as lexical and syntactic information, structural information, idiosyncrasies, or errors.

3 Shared Task Description

The Native Language Identification (NLI) shared task focuses on identifying the L1 of an author based on his writing in a second language. In this case, the second language is English. The shared task had three sub-tasks: one closed training and two open training. The details about the tasks are described by Tetreault et al. (2013). For each subtask, the participants were allowed to submit up to five runs. We participated in the closed training sub-task and submitted five runs.

The data sets provided for the shared task were generated from the TOEFL corpus (Blanchard et al., 2013) that contains 12,100 English essays. The corpus comprised 11 native languages (L1s): Arabic (ARA), Chinese (CHI), French (FRE), German (GER), Hindi (HIN), Italian (ITA), Japanese (JPN), Korean (KOR), Spanish (SPA), Telugu (TEL), and Turkish (TUR), each containing 1100 essays. The corpus was divided into training, development, and test datasets with 9900, 1100, and 1100 essays respectively. Each L1 contained an equal number of essays in each dataset.
4 General System Description

In this paper we describe two sets of experiments. We performed a first set of experiments to evaluate the accuracy of different sets of features in order to find the best selection. This set was also intended to determine the threshold of the number of top features in each set needed to obtain a good performance in the classification task. These experiments are described in Section 5.

In the second set, we performed five different experiments for five runs. Four of the five models used different combinations of feature sets to train the classifier. The major goal of these experiments was to find out how good the results achieved can be by using lower level lexical and shallow syntactic features. We also compared the accuracy obtained by using the fine grained POS tags and the coarse grained POS tags. In one of these experiments, we used perplexity values as features to see how effective these features can be in NLI tasks. Finally, the fifth experiment was an ensemble based approach where we applied a voting scheme to the predictions of the four approaches to get the final result. Details of these experiments are described in Section 6.

In our experiments, we trained the classifier using the training dataset, and using the model we tested the accuracy on the development and test dataset. We used an SVM multiclass classifier (Crammer and Singer, 2002) with default parameter settings for the machine learning tasks. We used character n-grams, word n-grams, Parts of Speech (POS) tag n-grams, and perplexity of character trigrams as features. For all the features except perplexity, we used a TF-IDF weighting scheme. To reduce the number of features, we selected only the top $k$ features based on the document frequency in the training data.

The provided dataset contained all the sentences in the essays tokenized by using ETS’s proprietary tokenizers. For the POS tags based features, we used two tagsets: Penn TreeBank (PTB) and Universal POS tags. For PTB POS tags, we tagged the text with the Stanford parser (Klein and Manning, 2003). In order to tag the sentences with Universal POS tags, we mapped the PTB POS tags to universal POS tags using the mapping described by Petrov et al. (2011).

We also used perplexity values from language models in our experiments. To generate the language models and compute perplexity, we used the SRILM toolkit (Stolcke et al., 2011). We used training data to generate the language models and train the classifier. Finally, all the sentences were converted into lower case before finding the word and character n-grams.

5 Feature Sets Evaluation

We performed a series of experiments using a single feature set per experiment in order to find the best combinations of features to use in classification models. All of the feature sets were based on n-grams. We ranked the n-grams by their frequencies on the training set and then used the development set to find out the best top $k$ features in the training set. We used the values of $k$ as 500, 800, 1000, 3000, and 6000 for this set of experiments. The error rates of these experiments are shown in Table 1. Since the total number of features in character bigrams, PTB

| Feature Sets       | N-grams  | Error rates for top $k$ features |
|--------------------|----------|----------------------------------|
|                    |          | 500  | 800  | 1000 | 3000 | 6000 |
| Character n-grams  | 2 grams  | 78.27| 77.64| 77.18| 75.82| -    |
|                    | 3 grams  | 78.55| 60.55| 64.27| **43.73**| 44.36|
| Word n-grams       | 2 grams  | 66.55| 58.36| 55.64| 44.91| **38.73**|
|                    | 3 grams  | 75.55| 69.18| 76.36| 67.09| **54.18**|
| PTB POS n-grams    | 2 grams  | 69.73| 76.73| 69.55| **72.09**| -    |
|                    | 3 grams  | 72.82| 72.45| 67.27| **56.18**| 62.27|
| Universal POS n-grams | 2 grams  | **85.36**| -    | -    | -    | -    |
|                    | 3 grams  | 78.18| 79.55| **72.36**| 85.27| -    |

Table 1: Error rates in L1 identification using various feature sets with different number of features.
POS bigrams, Universal POS bigrams, and Universal POS trigrams were 1275, 1386, 144, and 1602 respectively, some fields in the table are blank.

A trivial baseline for this task is to classify all the instances to a single class, which gives 9.09% accuracy. The table above shows that the results obtained in all cases is better than the baseline. In five cases, better results were obtained when using the top 3000 or 6000 features compared to other feature counts. In the case of the character trigram feature set, though the result using top 3000 features is better than the others, the difference is very small compared to the experiment using top 6000 features. The accuracy obtained by using top 3000 features in PTB POS tags is 6% higher than that with top 6000 features. In case of Universal POS tags trigrams, better results were obtained with top 1000 features.

Results show that bigram and trigram feature sets of words give higher accuracy compared to bigrams and trigrams of characters and POS tags. Comparing the results of n-grams of two different POS tags sets, the results obtained when using the PTB tagset are better than those when using the Universal tagsets. In the case of character, PTB POS tag, and Universal POS tag bigram feature sets, the overall accuracy is less than 30%. Based on these results, we decided to use the following sets of features: trigrams of characters and POS tags (PTB and Universal) and bigrams of words in our experiments below.

### 6 Final Evaluation

We submitted five runs for the task based on five classifiers. We named the experiments based on the features used and the approaches used for feature selection. Details about the experiments and their results are described below.

1. **Exp-W2,3PTB3C3**: In this experiment, we used bigrams at the word level, and trigrams at the word, character level, as well as PTB POS tag trigrams as feature sets. We selected these feature sets based on the accuracies obtained in the experiments described in Section 5. We tried to use a consistent number of features in each feature set. As seen in Table 1, though the results obtained by using top 3000 and 6000 features are better in equal number of cases (2 and 2), the difference in accuracies when using 6000 features is higher than that when using 3000 features. Thus, we decided to use the top 6000 features in all the four feature sets.

2. **Exp-W2,3Univ3C3**: The PTB POS tagset contains 36 fine grained POS categories while the Universal POS tagset contains only 12 coarse POS categories. In the second experiment, we tried to see how the performance changes when using coarse grained Universal POS categories instead of fine grained PTB POS tags. Thus, we performed the second experiment with the same settings as the first experiment except we used Universal POS tags instead of PTB POS tags. Since the total number of Universal POS

| L1       | Exp-W2,3PTB3C3 | Exp-W2,3Univ3C3 | Exp_ClassBased | Exp_Perplexity | Exp_Ensemble |
|----------|----------------|-----------------|----------------|---------------|--------------|
| ARA      | 90.7           | 68.0            | 77.7           | 87.1          | 54.0         | 66.7          |
| CHI      | 79.0           | 83.0            | 81.0           | 57.9          | 84.0         | 68.6          |
| FRE      | 91.5           | 75.0            | 82.4           | 75.7          | 81.0         | 78.3          |
| GRE      | 86.0           | 92.0            | 88.9           | 77.5          | 86.0         | 81.5          |
| HIN      | 67.3           | 66.0            | 66.7           | 70.0          | 63.0         | 66.3          |
| ITA      | 72.3           | 94.0            | 81.7           | 76.9          | 83.0         | 79.8          |
| JPN      | 86.6           | 71.0            | 78.0           | 76.0          | 76.0         | 76.0          |
| KOR      | 78.3           | 83.0            | 80.6           | 65.0          | 80.0         | 71.7          |
| SPA      | 72.3           | 68.0            | 70.1           | 90.9          | 50.0         | 64.5          |
| TEL      | 68.4           | 80.0            | 73.7           | 66.9          | 83.0         | 74.1          |
| TUR      | 77.9           | 81.0            | 79.4           | 84.0          | 63.0         | 72.0          |
| Overall  | 78.3           | 73.0            | 72.0           | 83.3          | 55.0         | 66.3          |

Table 2: L1 identification accuracy in development data
trigrams was only 1602, we replaced 6000 PTB POS trigrams with 1602 Universal POS trigrams.

3. **Exp-ClassBased**: The difference in this experiment from the first one lies in the process of feature selection. Instead of selecting the top \( k \) features from the whole training data, the selection was done considering the top \( m \) features for each L1 class present in the training dataset, i.e., we first selected the top \( m \) features from each L1 class and combined them for a total of \( p \) where \( p \) is greater than or equal to \( m \) and \( k \). After a number of experiments performed with different combinations of features to train the classifier and testing on the development dataset, we obtained the best result using character trigrams, PTB POS tag bigrams and trigrams, and word bigrams feature sets with 3000, 1000, 1000, and 6000 features from each L1 respectively. This makes the total number of features in character trigrams, POS tag bigrams, POS tag trigrams, and word bigrams as 3781, 1278, 1475, and 15592 respectively.

4. **Exp-Perplexity**: In this experiment, we used the perplexity values as the features that were computed from character trigram language models. Language models define the probability distribution of a sequence of tokens in a given text. We used perplexity values since these have been successfully used in some authorship attribution tasks (Sapkota et al., 2013).

5. **Exp-Ensemble**: In the fifth experiment, we used an ensemble based approach with our above mentioned four different models. We allowed each of the four models to have two votes. The first vote is a weighted voting schema in which the models were ranked according to their results in the development dataset and the weight for each model was given by \( w_c = 1/rank(c) \), where \( rank(c) \) is the position of \( c \) in the ranked list. The final output was based on the second vote that used a majority voting schema. In the second vote, the output of the first voting schema was also used along with the output of four models.

The results obtained by the above mentioned five experiments on the development and test datasets are shown in Tables 2 and 3 respectively. The tables show that the results obtained in the development dataset are better than those in the test dataset for all the approaches. In both datasets, we achieved the best results using the ensemble based approach, i.e., 79.2% and 74.8% accuracies in the development and test dataset respectively. Considering the accuracies of individual L1s, this approach achieved the highest accuracy in 10 L1s in the development dataset and in 7 L1s in the test dataset. Our system has the best accuracy for German in both development and test dataset. The other classes with higher accuracies in both datasets are French and Chinese. In both datasets, our system had the lowest accuracy for the Hindi and Spanish classes. Arabic and Telugu have

| L1  | Exp-W2,3PTB3C3 | Exp-W2,3Univ3C3 | Exp-ClassBased | Exp-Perplexity | Exp-Ensemble |
|-----|----------------|----------------|----------------|----------------|--------------|
| ARA | 74.3           | 55.0           | 63.2           | 90.9           | 59.0         | 70.8 |
| CHI | 76.2           | 80.0           | 78.0           | 65.9           | 81.0         | 72.6 |
| FRE | 86.4           | 70.0           | 77.3           | 75.8           | 75.0         | 75.4 |
| GRE | 83.2           | 89.0           | 86.0           | 79.1           | 91.0         | 84.7 |
| HIN | 63.7           | 65.0           | 64.4           | 64.5           | 69.0         | 66.7 |
| ITA | 62.5           | 90.0           | 73.8           | 70.0           | 84.0         | 76.4 |
| JPN | 85.7           | 72.0           | 78.3           | 67.2           | 78.0         | 72.2 |
| KOR | 75.0           | 75.0           | 75.0           | 60.3           | 73.0         | 66.1 |
| SPA | 60.0           | 57.0           | 58.5           | 81.1           | 43.0         | 56.2 |
| TEL | 75.3           | 67.0           | 70.9           | 70.0           | 77.0         | 73.3 |
| TUR | 66.4           | 79.0           | 72.1           | 79.0           | 64.0         | 70.7 |
| Accuracy | 72.6 | 71.4 | 69.1 | 58.6 | 74.8 |

Table 3: L1 identification accuracy in test data
Besides the ensemble based approach, the second best result was obtained by the first experiment (Exp \(_{W2,3,PTB3C3}\)). Comparing the overall accuracies of the first and second (Exp \(_{W2,3,Univ3C3}\)) experiments, though the difference between them does not seem very high in the test dataset, there is a difference of more than 5% in the development dataset. In the test dataset, the second experiment has the best results among all the approaches for classes Italian and Telugu, and has better results than the first experiment for classes Arabic and Hindi. The difference in the approaches used in the first and second experiments was the use of n-grams of different POS tagsets. The use of coarse grained Universal POS tagset features generalizes the information and loses the discriminating features that the fine grained PTB POS tagset features captures. For instance, the PTB POS tagset differentiates verbs into six categories while the Universal POS tagset has only one category for that grammatical class. Because of this, the fine grained POS tagset seems better for identifying the native languages than using a coarse grained POS tagset in most of the cases. More studies are needed to analyze the cases where Universal POS tagset works better than the fine grained PTB POS tagset.

The difference in accuracies obtained between the first experiment (Exp \(_{W2,3,PTB3C3}\)) and the third experiment (Exp \(_{ClassBased}\)) is more than 6% in the development dataset and more than 5% in the test dataset. In the test dataset, the third experiment has the highest accuracy for Arabic class and has better accuracy than the first experiment for Telugu class. The difference between these approaches was the feature selection approach used to create the feature vector. The results show that in most of the cases selecting the features from the whole dataset achieves better accuracy in identifying native languages compared to using the stratified approach of selecting the features from individual classes. The main reason behind using the class based feature selection was that we tried to capture some features that are specifically present in one class and not in others. Since all the texts in our dataset were about one of the eight prompts, and we have a balanced dataset, there was no benefit of doing the class based feature selection approach.

The fourth experiment (Exp \(_{Perplexity}\)) using perplexity values as features did not achieve accuracy comparable to the first three experiments. Because of the time constraint, we calculated perplexity based on only character trigram language models. Though the result we achieved is not promising, this approach could be an interesting work in future experiments where we could use other language models or the combination of various language models to compute the perplexity.

### 7 Error Analysis

The confusion matrix of the results obtained in the test dataset by using the ensemble based approach is shown in Table 4. The table shows the German class has the best accuracy with only a small number of texts of German mispredicted to other languages, while 7 texts of French class are mispredicted as German. The German language is rich in morphology and shares a common ancestor with English. It also has a different grammatical structure from the

|     | ARA | CHI | FRE | GER | HIN | ITA | JPN | KOR | SPA | TEL | TUR |
|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|
| ARA | 63  | 2   | 1   | 0   | 6   | 8   | 1   | 5   | 4   | 0   | 0   |
| CHI | 2   | 81  | 0   | 1   | 2   | 1   | 5   | 4   | 0   | 0   | 0   |
| FRE | 2   | 0   | 72  | 7   | 1   | 11  | 0   | 0   | 4   | 0   | 0   |
| GER | 0   | 2   | 2   | 92  | 1   | 1   | 0   | 0   | 1   | 0   | 0   |
| HIN | 2   | 2   | 0   | 0   | 67  | 2   | 0   | 2   | 3   | 19  | 3   |
| ITA | 0   | 0   | 2   | 2   | 0   | 90  | 0   | 0   | 3   | 0   | 3   |
| JPN | 3   | 3   | 1   | 1   | 0   | 3   | 77  | 9   | 1   | 1   | 1   |
| KOR | 1   | 7   | 1   | 0   | 0   | 8   | 75  | 4   | 1   | 3   | 3   |
| SPA | 1   | 1   | 3   | 0   | 2   | 25  | 1   | 4   | 57  | 0   | 6   |
| TEL | 1   | 0   | 0   | 0   | 21  | 0   | 1   | 0   | 3   | 73  | 1   |
| TUR | 4   | 3   | 2   | 2   | 0   | 3   | 1   | 4   | 3   | 2   | 76  |

Table 4: Confusion Matrix
other languages in the task. The features we used in our experiments are shallow syntactic and lexical features, which could discriminate the writing styles and the structure of the German class texts, thus having a higher prediction accuracy.

The table shows that French, Italian, and Spanish classes seem to be confused with each other. Though the misclassification rate of texts in the Italian class is considerably low, a good number of texts in the French and Spanish classes are misclassified as Italian. The highest number of documents mispredicted is from Spanish to Italian, i.e., 25 texts of Spanish class are mispredicted as Italian. These three languages fall under the same language family i.e. Indo-European/Romance and have a similar grammatical features. The grammatical structure is a particular example of the high rate of misclassification among these classes. While English language is very strict in the order of words (Subject-Verb-Object), Spanish, Italian and French allow more flexibility. For instance, in Spanish, the phrases ‘the car red’ (el auto rojo) and ‘the red car’ (el rojo auto) are both correct although the later is a much less common construction. In this scenario, it is easy to see that the n-grams of words and POS tags are beneficial to distinguish them from English, but these n-grams might be confusing to identify the differences among these three languages since the patterns of language transfer might be similar.

Though Hindi and Telugu languages do not fall under the same language family, they are highly confused with each other. After Spanish to Italian, the second highest number of misclassified texts is from Telugu to Hindi. Similarly, 19 texts from the class Hindi are mispredicted as Telugu. Both of these languages are spoken in India. Hindi is the National and official language of India, while Telugu is an official language in some states of India. Moreover, English is also one of the official languages. So, it is very likely that the speakers are exposed to the same English dialect and therefore their language transfer patterns might be very similar. This might have caused our approach of lexical and syntactic features to be unable to capture enough information to identify the differences between the texts of these classes.

Texts from Arabic class are equally misclassified to almost all the other classes, while misclassification to Arabic do not seem that high. Texts of the Japanese, Korean, Chinese classes seem to be confused with each other, but the confusion does not seem very high thus having a good accuracy rate.

8 Conclusion and Future Work

In this paper, we describe our approaches to Native Language identification for the NLI Shared Task 2013. We present four different models for L1 identification, three of them using various combinations of n-gram features at the word, character and POS tag levels and a fourth one using perplexity values as features. Results show that all these approaches give a good accuracy in L1 identification. We achieved the best result among these by using the combination of character, words, and PTB POS tags. Finally, we applied an ensemble based approach over the results of the four different models that gave the highest overall accuracy of 79.6% and 74.8% in the development and test dataset respectively.

In our approaches, we use simple n-grams and do not consider grammatical errors in L1 identification. We would like to expand our approach by using the errors such as misspelled words and subject-verb, and noun-number disagreements as features. Moreover, in our current work of using perplexity values, the result seems good but is not promising. In this approach, we used the perplexity values based on only character trigram language models. We would like to incorporate other word and character n-gram language models to calculate perplexity values in our future work.

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