Total Hadronic Cross Section Data and the Froissart-Martin Bound

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The energy dependence of the total hadronic cross section at high energies is investigated with focus on the recent experimental result by the TOTEM Collaboration at 7 TeV and the Froissart-Martin bound. On the basis of a class of analytical parametrization with the exponent $\gamma$ in the leading logarithm contribution as a free parameter, different variants of fits to $pp$ and $\bar{p}p$ total cross section data above 5 GeV are developed. Two ensembles are considered, the first comprising data up to 1.8 TeV, the second also including the data collected at 7 TeV. We shown that in all fit variants applied to the first ensemble the exponent is statistically consistent with $\gamma = 2$. Applied to the second ensemble, however, the same variants yield $\gamma$'s above 2, a result already obtained in two other analysis, by U. Amaldi et al. and by the UA4/2 Collaboration. As recently discussed by Ya. I. Azimov, this faster-than-squared-logarithm rise does not necessarily violate unitarity. Our results suggest that the energy dependence of the hadronic total cross section at high energies still constitute an open problem.

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I. INTRODUCTION

A. General Aspects

High-energy particle collisions constitute the main experimental tool in the investigation of the inner structure of matter. In Particle Physics, high-energy usually means center-of-mass energies above $10 m_p \sim 10 \text{ GeV}$, where $m_p$ is the proton mass. Presently, for particle-particle and antiparticle-particle collisions, the highest energies reached in accelerators concern proton-proton ($pp$) and antiproton-proton ($\bar{p}p$) interactions, corresponding to 7 TeV and $\sim 2 \text{ TeV}$, respectively. These hadronic processes are expected to be described by the Quantum Chromodynamics (QCD), the non-Abelian gauge field theory of the strong interactions.

As a non-Abelian theory, the gluons (the field quanta) themselves carry a color charge and can therefore interact with other gluons. The dynamical consequence is a running coupling constant $\alpha_s$: the color charge is small at short distances and large at large distances, leading to two different regimes named asymptotic freedom and confinement, respectively. In hadron-hadron collisions these regimes correspond to two sectors that have been known as hard scattering (small distances and large values of the momentum transfer) and soft scattering (large distances and small values of the momentum transfer). The confinement barrier, where $\alpha_s \sim 1$, is typical of distances of the order of 1 fm and therefore “peripheral” hadronic collisions correspond to the soft sector.

The great triumph of QCD concerns the perturbative techniques, successfully applied in the hard sector. By contrast, soft interactions, characterized by small values of the momentum transfer, can not be treated through these techniques due to the rise of the coupling constant as the momentum transfer decreases. As a consequence, soft physics demands first principles and nonperturbative approaches, which means the non-trivial investigation of the vacuum structure, intricate Monte Carlo simulations and complex analytic formalisms. However, despite the success of nonperturbative QCD in the investigation of the static hadronic properties (bound states), a formal approach to soft scattering states, based on first principles and without model assumptions, is still missing and that implies in some fundamental problems.

Soft scattering embodies elastic collisions and diffraction dissociation (single and double) and here comes one of the striking features of QCD: elastic scattering, the simplest kinematic collision process, just constitute one of the greatest dynamic problems for the theory of the strong interactions. In this respect, the Optical Theorem plays a crucial role since it connects the forward elastic scattering amplitude with the most important physical quantity characterizing a collision process, namely the total cross section. Therefore, the lack of a pure QCD result for the elastic amplitude puts serious limits in the theoretical investigation of the total hadronic cross section. On the other hand, and more important for our purposes, experimental information on the behavior of the total cross section may, in principle, be used as input providing new insights in the development of the theory in the soft sector (the inverse problem), at least in what concerns the forward elastic scattering amplitude.

Operationally the total cross section is defined by

$$\sigma_{\text{tot}} = \frac{N_{\text{el}} + N_{\text{inel}}}{L},$$

where $L$ is the luminosity (flux per unit area) and $N_{\text{el}}$, $N_{\text{inel}}$ are the rate of elastic and inelastic interactions, respectively (scattered fluxes). From the definition, two interpretations emerges for $\sigma_{\text{tot}}$, one statistical, as a probability of interaction (ratio between incident and scattered particles) and another geometrical, as an effective area of interaction, usually measured in mb for hadronic scattering.

Experiments indicate that at lower energies, below $\sim 2 \text{ GeV}$, $\sigma_{\text{tot}}$ is characterized by narrow peaks, caused by the formation of resonances (bound states). As the energy increases, reaching the scattering region, $\sigma_{\text{tot}}$ decreases very slowly up to $\sim 20 \text{ GeV}$ and then starts to grow smoothly and monotonically (without bumps or dips), as illustrated by the experimental points in Figure 1. In the case of $pp$ and $\bar{p}p$ interactions...
pp scattering (see also Figure 41.10 in [10]). The energy dependence of \( \sigma_{\text{tot}} \) is therefore a crucial point since, above the resonance region, it is directly related to the soft sector (optical theorem) giving information on the dynamics of the elastic interaction [6, 7].

### B. Purposes and Goals of the Paper

Although the rise of the total hadron-hadron cross sections at high energies is an experimental fact, the exact energy dependence involved has been a long-standing problem. Several phenomenological models, with distinct physical pictures and good descriptions of the available data, make different asymptotic predictions [9, 11, 12] and the only general, formal, widely accepted result is the well-known Froissart-Martin (FM) bound [13, 14]

\[
\sigma_{\text{tot}}(s) \leq c \ln^2 \frac{s}{s_0},
\]

where \( s \) is the squared center-of-mass energy, \( s_0 \) a constant, and a bound on the first term on the right-hand side,

\[
c \leq \pi/m^2_\pi \approx 60 \text{ mb},
\]

has been obtained by Lukaszuk and Martin [15]. Even recently, the foundations of this key result in soft hadronic physics have been discussed in the literature [16–18]. In particular, Azimov has presented a short critical review on the assumptions supporting the derivation of the bound [18].

Even depending on the unknown squared mass scale \( s_0 \), the numerical values associated with the FM bound lie far above the existing data for the total cross section. For example, for \( s_0 \) in the interval 1 - 50 GeV\(^2\), the bound in (1-2) at 1 TeV is of order of 10 b, much larger than the 100 mb cross sections typically found in experiments at the highest energies. However, the bound also comprises a maximum rate of rise for the cross section with the energy, namely the squared logarithm behavior at the asymptotic energy region and that is the point we are interested in here. Different dependencies have been extensively tested and discussed in the literature, specially in the context of amplitude analysis, which are characterized by analytical parametrization for the total cross section and fits to forward data. The most common functional forms employed in the highest-energy region include different combinations of constant, linear/quadratic logarithm dependencies and \( s \) power laws, as discussed, for example, in [19–28] and references therein. Nonetheless, like the case of specific phenomenological models [9, 11, 12], these analyses present good descriptions of the available data with different functional forms and hence offer different physical pictures.

New results from the CERN Large Hadron Collider (LHC) and new estimates from the Pierre Auger Observatory for the proton-proton total cross sections are expected to shed light on the subject, not only by selecting phenomenological models/pictures, but also by providing information on the degree of possible saturation of the bound in terms of the energy dependence of the cross section. In fact, at 7 TeV the first result for the total cross section by the TOTEM\(^3\) Collaboration, a luminosity-dependent measurement [29], indicates consistency with a \( \ln^2 s \) dependence, as predicted ten years ago by the parametrization that was ranked highest by the COMPETE\(^4\) Collaboration [22, 23], also quoted in the Review of Particle Physics by the Particle Data Group [10]. Therefore, these results favor the maximum increase rate allowed by the bound.

By contrast, at least two previous almost-model-independent analyses, based on data ensembles at lower energies, have indicated that the exponent in the logarithm may be somewhat larger than 2 [30, 31]. Moreover, on the theoretical side, as recently discussed by Azimov [18], it is not obvious if Martin’s derivation, in the context of axiomatic local quantum field theory, can be directly applied to hadronic processes. Formal arguments suggest that the total cross section could grow faster than \( \ln^2 s \). A recent result from lattice QCD [32] indicates an universal asymptotic squared logarithm dependence for the hadronic total cross section. That conclusion nonetheless rests on specific assumptions and is hence neither unique or exclusive.

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3 TOTal Elastic and diffractive cross section Measurement

4 Computerized Models, Parameter Evaluation for Theory and Experiment
In view of these facts the following question arises. Taking into account the TOTEM result and considering the exponent in the logarithm as a free fit parameter, the $\ln^2 s$ dependence is in fact a unique solution describing the asymptotic rise of the total cross section, or the data can be statistically described by another solution, rising faster (or slower) than $\ln^2 s$? To answer this question is the goal of this work.

For this purpose, we shall revisit the analytical parametrization introduced by Amaldi et al. in the seventies \cite{30}, also employed by the UA4/2 Collaboration, in the nineties \cite{31}, characterized by Reggeon contributions at low energies and a leading contribution at high energies parametrized by a power law in $\ln s$, the real exponent $\gamma$ as a free parameter. As will be recalled along the text, the two analyses cover different energy intervals and led to an exponent exceeding 2. For future reference, we note that the above-mentioned highest-ranking parametrization, obtained by the COMPETE Collaboration \cite{24, 25}, can be regarded as a particular instance of this parametrization in which the exponent was fixed at $\gamma = 2$.

All these works developed simultaneous fits to $\sigma_{\text{tot}}$ and the $\rho$ parameter (ratio between the real and imaginary parts of the forward amplitude). Here, for reasons to be discussed in detail, we shall analyze only the $\sigma_{\text{tot}}$ data, from $pp$ and $\bar{p}p$ scattering above $\sqrt{s} = 5$ GeV. In order to investigate the effect of the recent experimental result for the $pp$ total cross sections by the TOTEM Collaboration, we first consider all the previous existing data, covering the region up to 1.8 TeV, and then add to the ensemble the data at 7 TeV. We choose two different initial values for the free fit parameters and six fitting variants. We show that, with data up to 1.8 TeV, the real exponent in the logarithm term is statistically consistent with $\gamma = 2$, as predicted by the COMPETE Collaboration. However, with the addition of the data at 7 TeV, the fits indicate exponents larger than 2 in all cases investigated.

The manuscript is organized as follows. In Sect. II we introduce the analytical parametrization, followed by some comments on its applicability and a critical discussion on the selected data ensemble. In Sect. III we present the fit procedures, variants and results. The conclusions and some final remarks are the contents of Sect. IV.

II. ANALYTICAL PARAMETRIZATION AND DATA ENSEMBLE

A. Analytical Parametrization and Previous Results

The class of analytical parametrization \cite{30, 31} consists of two components for the total hadronic cross section associated with low- ($L$) and high-energy ($H$) contributions:

$$\sigma_{\text{tot}}(s) = \sigma_{LE}(s) + \sigma_{HE}(s).$$

(3)

The first term accounts for the decreasing of the total cross section and the differences between particle-particle and particle-antiparticle scattering at low energies and is expressed by

$$\sigma_{LE}(s) = a_1 \left( \frac{s}{s_l} \right)^{-b_1} + \tau a_2 \left( \frac{s}{s_l} \right)^{-b_2},$$

(4)

where $s_l = 1$ GeV$^2$ (fixed) while $a_1, b_1, a_2, b_2$ are free fit parameters, and

$$\tau = \begin{cases} -1 & \text{for particle-particle} \\ +1 & \text{for antiparticle-particle}. \end{cases}$$

The second term accounts for the rising of the cross section at higher energies and is given by

$$\sigma_{HE}(s) = \alpha + \beta \ln\gamma \frac{s}{s_h},$$

(5)

where $\alpha, \beta, \gamma, s_h$ are real free parameters. For further discussion, let us briefly recall some formal aspects and previous results associated with this class of parametrization.

In the context of the Regge-Gribov theory all terms in Eqs. (3) and (4) have specific physical interpretations, namely Reggeon and Pomeron exchanges at low and high energy regions, respectively \cite{23}. The Reggeons correspond to mesons resonances families with the adequate quantum numbers in the $t$-channel process and represented by trajectories interpolating the data on plots of spin $J$.
versus the square of their masses (Chew-Frautschi plot). In this case, $b_1$ and $b_2$ correspond to the intercept of the trajectories and $a_1$, $a_2$ the Reggeon strengths (residues). For $pp$ and $\bar{p}p$ scattering, $\sigma_{LE}$ is associated with two Reggeons, the first one with $C = +1$ ($a_2$ and $f_2$ mesons trajectories) and the second with $C = -1$ ($\rho$ and $\omega$ mesons trajectories), corresponding to $\tau = 1$ and $\tau = -1$, respectively. The type of Pomeron contribution depends on the $\gamma$ value. For $\gamma = 1$, the constant plus $\ln s$ terms correspond to a double pole at $J = 1$ and for $\gamma = 2$ a triple pole (expressed by $\ln^3 s$, $\ln s$ and the constant terms). Up to our knowledge, the case of real exponent and $0 < \gamma < 2$ corresponds to a strong-coupling scenario (critical Pomeron) [33, 34] and a fractional power, $\gamma = 3/2$ (in general $1 < \gamma < 2$), is indicated by the mini-jet QCD model with infrared gluon resummation [35, 36].

As commented before, the above parametrization has been introduced by Amaldi et al. [30], with $\sigma_{LE}$ expressed as function of the lab energy, $\sigma_{HE}$ as function of $s$ and with a fixed scale constant $s_h = 1$ GeV$^2$. Simultaneous fits to $\sigma_{tot}$ and $\rho$ data (via dispersion relations) from $pp$ and $\bar{p}p$ scattering in the interval $5 < \sqrt{s} \leq 62$ GeV has lead to the result

$$\gamma = 2.10 \pm 0.10.$$

The same functional form was subsequently used by the UA4/2 Collaboration [31]. Simultaneous fits to $\sigma_{tot}$ and $\rho$ data from $pp$ and $\bar{p}p$ scattering in a larger interval, $5 < \sqrt{s} \leq 546$ GeV, have yielded the result

$$\gamma = 2.25^{+0.35}_{-0.31}.$$  

More recently the COMPETE Collaboration has carried out a detailed and extensive study on possible analytic parametrizations including all measured $\sigma_{tot}$ and $\rho$ data from $pp$, $\bar{p}p$, meson-$p$ scattering, among other processes, at $\sqrt{s} \geq 4$ GeV and $\bar{p}p$ data up to 1.8 TeV [24, 25]. Different aspects of fit qualities have been considered in a ranking procedure with the same $\sigma_{LE}$ structure and $\sigma_{HE}$ parametrized either with $\gamma = 1$ or $\gamma = 2$ and the constant term. The parametrization with $\gamma = 2$ was ranked highest. As commented before, the predictions from this analysis, carried out 10 years ago, indicate consistency with the TOTEM result at 7 TeV and therefore agrees with the saturation of the squared logarithm dependence in the FM bound.

B. Data Ensemble and Critical Comments

Two aspects of our choice of data ensemble deserve special attention. One aspect concerns the reactions and the other the physical quantities to be investigated, as discussed in what follows.

First, the squared logarithm dependence in the FM bound is an asymptotic result, and the parametrization [33, 35] covers particle-particle and particle-antiparticle interactions. For those reasons we shall consider only the cases with the highest energy interval in terms of available data, namely $pp$ and $\bar{p}p$ scattering. With this restrictive choice we do not take account of any constraint dictated by a supposed universal behavior, or data from other reactions in the region of intermediated and low energies, as for example the meson-proton cases. Following Amaldi et al. and the UA4/2 Collaboration, we focus our analysis on data at $\sqrt{s} \geq 5$ GeV$^2$.

Second, as we have commented before, amplitude analyses of the growth of the total cross section include information on the $\rho$ parameter, through dispersion relations (integral and/or derivatives forms), or the asymptotic prescriptions for crossing even and odd amplitudes (Phragmén-Lindelöf theorem) [37, 38]. With the exponent $\gamma$ as a real free fitting parameter, the integral dispersion relations demand numerical methods and therefore require an specific approach for error propagation from the uncertainty in the $\gamma$ parameter. The use of prescriptions seem to us unjustified in the region of intermediate and low energy data, since they are asymptotic results [37]. By contrast, derivative dispersion relations allow an analytical approach and can be extended down to 4 - 5 GeV [40, 41] or even below (above the physical threshold) in the form of a double infinite series [42, 43] or a single series [44]. However, and that is a crucial point in this work, we shall not consider simultaneous fits to total cross section data and $\rho$ information for the six reasons that follows.

1. Strictly speaking the $\rho$ parameter is not a quantity with the same physical status as the total cross section since, in practice, it is evaluated as a free fit parameter in the Coulomb-nuclear interference region or inferred from analytical parametrization [38, 39].

2. As the energy grows, it becomes progressively more difficult to determine $\rho$ [38, 39] and therefore
the associated uncertainty, as can be easily seen in plots of this parameter in terms of the energy. Even the COMPETE Collaboration refers to the difficulty to adequately fit the \( \rho \) data from \( pp \) scattering \[22\].

3. Simultaneous fits to total cross section data and \( \rho \) parameter demand the use of dispersion relations with one subtraction \[45, 46\] and therefore one more parameter, the subtraction constant. However this constant does not have a physical interpretation, constraining therefore with all the parameters in the \( \sigma_{\text{tot}} \) parametrization (shortly discussed in Section [II.A]).

4. In data reductions, the correlation of the subtraction constant with all the other (physical) parameters affects the fit results at both low- and high-energy regions, as discussed in detail in \[47\]. As a consequence, the presence or not of the subtraction constant may lead to different results. In this respect we note that, although referred to in \[50\] the value of the subtraction constant is not given (null or neglected?), in \[31\] its fit value is \(-57 \pm 4\) and it is neglected in the prescriptions or derivative dispersion relations used by the COMPETE Collaboration \[24, 25\]. It should also be noted that even the prediction of the \( \rho \) parameter from fits to total cross section data is affected by the presence or not of the subtraction constant \[45, 48\].

5. As recently demonstrated by Ferreira \[49\] and collaborators \[50, 51\] (see also \[52\]), the different slopes associated with the real and imaginary parts of the hadronic amplitude in the Coulomb-nuclear interference region, affect the extracted value of the \( \rho \) parameter. This effect, however, has never been considered in the experimental procedures used in the \( \rho \) determination. The limited validity of the relative phase between Coulomb and nuclear amplitudes, used in the experimental procedures to determine \( \rho \), has also been discussed by Kundrát, Lokajíček and Vrkoč \[53\].

6. As a consequence of the above mentioned effects and the increasing uncertainty in the \( \rho \) determination with the energy, any possible deviation from an analytical parametrization for the total cross section, dictated by the experimental data at the highest energies, may be hidden or lost. In other words, the inclusion of the \( \rho \) information may erroneously anchor the rise of the total cross section at the highest energies.

These six critical points suggest that, at the high-energy region, fits restricted to the total cross section data may avoid the bias introduced by both the \( \rho \) parameter and the subtraction constant embodied in the dispersion relations. Therefore, although not being a usual procedure in amplitude analysis, we understand that to explore the possibility of focusing our fits only on the total cross section data constitute, at least, a valid strategy. For that reason our data sample comprises only the \( pp \) and \( \bar{p}p \) total cross section data above 5 GeV \[10\], including in special, the recent TOTEM result at 7 TeV \[29\].

Two points must be stressed in the analysis that follows: [i] we are concerned only with the rate of increase of the total cross section, not with the numerical value of the FM bound; [ii] our data ensemble is only a subset of the data employed in the global analysis by the COMPETE Collaboration.

III. FITTING PROCEDURE, VARIANTS AND RESULTS

By means of a luminosity-dependent method the TOTEM Collaboration has recently obtained for the \( pp \) total cross section at 7 TeV the value \( \sigma_{\text{tot}}^{pp} = 98.3 \pm 0.2_{\text{stat}} \pm 2.8_{\text{syst}} \) nb \[29\]. Our point here is to investigate the effect of this new data in the previous analytical fits covering the energy interval \( 5 \text{ GeV} \leq \sqrt{s} \leq 1.8 \text{ TeV} \), as was the case with the COMPETE Collaboration. Based on the results by Amaldi \textit{et al.} and by the UA4/2 Collaboration, our strategy amounts to using the parametrization \[3-5\] to investigate possible deviations from \( \gamma = 2 \).

However, several aspects related to fitting procedure and the alternatives allowed by physical considerations or assumptions deserve investigation. The three main points concern: (1) the nonlinearity of the fit demands a methodology for the choice of the initial (feedback) values of the free parameters \[51\]; (2) as commented in Sect. [II.A] in the theoretical context the intercepts \( b_1 \) and \( b_2 \) are expected to be consistent with spectroscopic data (Chew-Frautschi plot); (3) fixing \( b_1 \) and \( b_2 \) affects the fit results in both low- and high-energy regions, due to the correlation among the free parameters. In order to address these points the following methodology and fit variants have been considered.
In all cases under study we first consider the ensemble in the interval $5 \text{ GeV} \leq \sqrt{s} \leq 1.8 \text{ TeV}$ and after that we include the data at 7 TeV from the TOTEM Collaboration. For easier reference we will denote these two ensembles by $\sqrt{s}_{\text{max}} = 1.8 \text{ TeV}$ and $\sqrt{s}_{\text{max}} = 7 \text{ TeV}$, respectively. In order to investigate the effects of different choices for the initial values of the free parameters, we have followed two alternative procedures, named Method 1 and Method 2:

**Method 1.**
Since the highest rank result by the COMPETE Collaboration predicts a $\ln^2 s$ dependence and is consistent with the TOTEM result at 7 TeV, we consider as a kind of orthodox choice to initialize our parametric set with the central values they have obtained in the simultaneous fit to $\sigma_{\text{tot}}$ and $\rho$ data, which includes $pp$, $\bar{p}p$ in the interval $5 \text{ GeV} \leq \sqrt{s} \leq 1.8 \text{ TeV}$ as a subset. The numerical values are displayed in the second column of Table I.

**Method 2.**
Alternatively we have chosen $b_1 = b_2 = 0.5$ (average values for Reggeon intercepts), $\gamma = 2$, $a_1 = a_2 = \alpha = 1 \text{ mb}$, $s_h = 1 \text{ GeV}^2$ and $\beta = 50 \text{ mb}$ (simulating a saturation of the Lukaszuk-Martin bound). The numerical values are displayed in the first column of Table III.

The data reductions were carried out with the objects of the class TMinuit of the ROOT Framework. A Confidence Level (CL) of $\approx 68\%$ (one standard deviation), was adopted in all fits, so that the projection of the $\chi^2$ distribution in $(N + 1)$-dimensional space ($N =$ number of free fit parameters) has the probability of $68\%$.

With both methods and the two ensembles, different variants were also considered. Sections III A and III B describe the variants and present the results obtained with Methods 1 and 2, respectively, the discussion of the results being deferred to Sect. IV.

### A. Method 1

1. **Results for the $\sqrt{s}_{\text{max}} = 1.8 \text{ TeV}$ Ensemble**

We consider the cases and notation that follows. The numerical results and statistical information are displayed in Table II.

**Direct Fit:** Initialized with the COMPETE parameters and $\gamma = 2$ (fixed). The first run of the MINUIT Code yields the $\chi^2$ for that ensemble (second column in Table II) and the final run gives the direct fit result for that ensemble (third column in Table II).

**Variant 1 (V1):** Initialized with the Direct Fit parameters but now with the exponent $\gamma$ as a free parameter (fourth column in Table II).

**Variant 2 (V2):** Also initialized with the Direct Fit results. In this case, we consider $\gamma = 2$ (fixed) and $b_1$ and $b_2$ also fixed to the intercepts extracted from the spectroscopic data on the $a_2/f_2$ and $\rho/\omega$ mesons trajectories, obtained by Luna, Menon and Montanha, namely $b_1 = 0.452$ and $b_2 = 0.558$ (fifth column in Table II).

**Variant 3 (V3):** Also initialized with the Direct Fit results. In this case we consider $\gamma$ free and $b_1$ and $b_2$ fixed as in Variant 2 (sixth column in Table II).

In terms of the initial values and data reductions the following diagram summarizes the fitting procedures:

```
COMPETE results → Direct Fit → \{ Variant 1, Variant 2, Variant 3 \}
```
2. Results for the $\sqrt{s_{\text{max}}} = 7$ TeV Ensemble

Here we consider as initial values each one of the corresponding results obtained with the $\sqrt{s_{\text{max}}} = 1.8$ TeV ensemble, namely those listed in Table I. The numerical results and statistical information are displayed in Table II.

| Parameter | Initial Values (COMPETE) | Direct Fit | V1 | V2 | V3 |
|-----------|--------------------------|------------|----|----|----|
| $a_1$     | 42.53±0.23               | 54.6±4.0   | 54.6±1.7 | 55.4±3.1 | 57.0±2.5 |
| $b_1$     | 0.458±0.017              | 0.491±0.067| 0.4907±0.0095 | 0.452 (fixed) | 0.452 (fixed) |
| $a_2$     | 33.34±0.033              | 33.1±2.3   | 33.1±1.7 | 35.78±0.36 | 35.78±0.39 |
| $b_2$     | 0.545±0.007              | 0.540±0.016| 0.540±0.012 | 0.558 (fixed) | 0.558 (fixed) |
| $\alpha$  | 35.45±0.48               | 34.2±2.5   | 34.19±0.24 | 32.14±0.99 | 31.38±0.98 |
| $\beta$   | 0.308±0.010              | 0.264±0.029| 0.263±0.018 | 0.245±0.018 | 0.180±0.002 |
| $\gamma$  | 2 (fixed)                | 2.001±0.026| 2 (fixed) | 2.083±0.095 |
| $s_h$     | 28.9±5.4                 | 12±12      | 12.2±1.5 | 5.7±3.0 | 2.8±2.6 |
| DOF       | 156                      | 156        | 155  | 158 | 157 |
| $\chi^2$/DOF | 1.02             | 0.931      | 0.937 | 0.929 | 0.934 |

The corresponding curves obtained with Method 1 for both ensembles and the experimental data are shown in Figures 1 to 4. In each figure the COMPETE result is displayed as reference (solid lines), together with each result obtained with ensembles $\sqrt{s_{\text{max}}} = 1.8$ TeV (dot-dashed lines) and $\sqrt{s_{\text{max}}} = 7$ TeV (dotted lines): Direct Fit and Variants 1, 2 and 3 in Figures 1, 2, 3 and 4, respectively.

In these figures it is also displayed estimates for the total cross section from cosmic-ray experiments at the highest energies, which were not included in the data reductions. These estimates, discussed in [47] (see also [48]), were obtained by the Akeno Collaboration [57] in the ~ 6 - 24 TeV region, and by the Fly’s Eye Collaboration at 30 TeV [58]. Also included is the recent result by the Pierre Auger Collaboration at 57 TeV [59]. We shall discuss these and the results that follows in Section IV.
B. Method 2

1. Results for the $\sqrt{s}_{\text{max}} = 1.8$ TeV Ensemble

The initial values, referred to in the beginning of this Section, are displayed in the second column of Table III. Here, the following variants have been considered:

**Variant 4 (V4):** The parameters $b_1$ and $b_2$ have been fixed to the average values expected for the Reggeon intercepts and $\gamma = 2$ also fixed (third column in Table III).

**Variant 5 (V5):** Same as Variant 4 with $\gamma$ as free parameter (fourth column in Table III).

**Variant 6 (V6):** Initialized with the parameters from Variant 4: $b_1$, $b_2$ and $\gamma$ free parameters (fifth column in Table III).

The following diagram summarizes the fit procedures and initial values (Table III):

Initial Values $\rightarrow$ \{ Variant 4 $\rightarrow$ Variant 6 \\
$\rightarrow$ \} Variant 5

2. Results for the $\sqrt{s}_{\text{max}} = 7$ TeV Ensemble

Here we followed the same procedure described above, now with the expanded ensemble. Table IV displays the numerical results and statistical information. Figures 5, 6 and 7 compare the accelerator data and estimates from cosmic-ray experiments with the fits obtained with Method 2 and variants 4, 5 and 6, respectively for the $\sqrt{s}_{\text{max}} = 1.8$ TeV (dot-dashed lines) and $\sqrt{s}_{\text{max}} = 7$ TeV (dotted lines) ensembles.

### TABLE III: Results of Method 2 for the $\sqrt{s}_{\text{max}} = 1.8$ TeV ensemble. Fit results with Variants 4, 5 and 6. Units as in Table I.

| Initial Values | V4       | V5       | V6       |
|----------------|----------|----------|----------|
| $a_1$          | 152.6±3.4| 54.7±2.6 | 53.8±1.8 |
| $b_1$          | 0.5 (fixed) | 0.5 (fixed) | 0.4952±0.0099 |
| $a_2$          | 27.70±0.28 | 27.71±0.28 | 33.1±1.7 |
| $b_2$          | 0.5 (fixed) | 0.5 (fixed) | 0.540±0.012 |
| $a$            | 34.86±0.69 | 34.32±0.77 | 34.60±0.24 |
| $\beta$        | 50.270±0.019 | 0.21±0.11 | 0.290±0.021 |
| $\gamma$       | 2 (fixed) | 2.06±0.16 | 1.975±0.028 |
| $s_h$          | 15.6±6.3 | 9.3±8.4 | 16.0±2.0 |
| DOF            | 158      | 157      | 155      |
| $\chi^2$/DOF  | 0.963    | 0.969    | 0.937    |

We note a difference in the diagrams related to initial values and variants (two schemes displayed in this Section). This effect is consequence of fit procedures since in certain cases the data reductions led back to the initial values or to solutions with errors that exceeded the central values of the parameters. All these cases have been excluded.

## IV. CONCLUSIONS AND FINAL REMARKS

We have employed two methods to initialize the fitting parameters, six variants and two data ensembles. The results for the $\sqrt{s}_{\text{max}} = 1.8$ TeV ensemble, listed in Tables I and III, are statistically consistent with $\gamma = 2$, which points to a saturation of the squared logarithm dependence for the total cross section at high energies. More specifically, the results can be summarized as follows:
TABLE IV: Results of Method 2 for the $\sqrt{s_{\text{max}}} = 7$ TeV ensemble. Fit results with Variants 4, 5 and 6. Same units as in Table I.

|       | V4               | V5               | V6               |
|-------|------------------|------------------|------------------|
| $a_1$ | 51.34±3.2        | 56.5±1.1         | 57.6±7.5         |
| $b_1$ | 0.5 (fixed)      | 0.5 (fixed)      | 0.525±0.077      |
| $a_2$ | 27.70±0.28       | 27.70±0.28       | 33.2±2.3         |
| $b_2$ | 0.5 (fixed)      | 0.5 (fixed)      | 0.541±0.016      |
| $\alpha$ | 35.13±0.60 | 33.65±0.22       | 34.9±1.7         |
| $\beta$ | 0.279±0.016 | 0.1301±0.0086    | 0.199±0.064      |
| $\gamma$ | 2 (fixed) | 2.213±0.024      | 2.10±0.11        |
| $\alpha_0$ | 18.5±6.3       | 3.90±0.52        | 10.8±5.6         |
| DOF   | 159              | 158              | 156              |
| $\chi^2$/DOF | 0.962 | 0.967          | 0.935            |

Method 1 (Table II): $\gamma \approx 2.00 \pm 0.03$ (V1) and $\gamma \approx 2.08 \pm 0.10$ (V3).

Method 2 (Table III): $\gamma \approx 2.06 \pm 0.16$ (V5) and $\gamma \approx 1.98 \pm 0.03$ (V6).

On the other hand, when the more recent 7 TeV TOTEM data is included, all fits with $\gamma$ as a free parameter, listed in Tables II and IV, are statistically consistent with exponents above 2, as indicated in the following summary:

Method 1 (Table II): $\gamma \approx 2.10 \pm 0.03$ (V1) and $\gamma \approx 2.27 \pm 0.04$ (V3).

Method 2 (Table IV): $\gamma \approx 2.21 \pm 0.02$ (V5) and $\gamma \approx 2.10 \pm 0.11$ (V6).

All these results for $\gamma$, together with those obtained by Amaldi et al. and the UA/4 Collaboration, are schematically displayed in Figure 8. From our data reductions through parametrization to pp and ¯pp scattering above 5 GeV, including the 7 TeV TOTEM result, we conclude that the total hadronic cross section may rise faster than $\ln^2 s$ at high energies.

From Figure 8 we see that the central values of the $\gamma$ parameter from the fits with $\sqrt{s_{\text{max}}} = 1.8$ TeV (Methods 1 and 2) are below the corresponding values obtained by Amaldi et al. and by the UA4/2 Collaboration. Since these authors have included the $\rho$ information in their analysis, it seems not clear that simultaneous fits to $\rho$ and $\sigma_{\text{tot}}$ data may, in that case, anchor the rise of the total cross section. In other words, we understand that the results we have obtained with $\sqrt{s_{\text{max}}} = 1.8$ TeV and $\sqrt{s_{\text{max}}} = 7$ TeV ensembles are not connected with the inclusion or not of the $\rho$ information, but are direct consequences of the total cross section data analyzed and the possibility to treat $\gamma$ as a free fit parameter (not fixed to 2). In fact, our predictions for $\rho(s)$ in the case of $\gamma > 2$ are presented in Appendix A and show good agreement with experimental information available above 5 GeV.

Concerning the rise of the total cross section faster than the squared logarithm dependence, we have the following final comments:

1. In the theoretical context, different assumptions on the nonphysical amplitudes in the asymptotic region can explain this behavior, which does not mean violation of unitarity, as recently discussed by Azimov [18].

2. In the experimental context, beyond the LHC energy region, extremely large uncertainties are associated with estimations of the pp total cross section from cosmic-ray experiments. Such uncertainties are unavoidable since the flux decreases as the energy grows and because the extraction of $\sigma_{pp}$ from $\sigma_{p-\text{air}}$ is model dependent. These estimations have been included in our figures for qualitative comparison only. It may be useful to recall that the the results of the Akeno Collaboration [51] have been criticized in several works, as discussed for example in Refs. [25] and [47], and references therein. Had we ignored this information, the Fly’s Eye and Auger results, i.e., the highest-energy points in our figures, might suggest a different scenario for the rise of the total cross section. In fact, from Figures 1 to 7, all the curves in consistency with the TOTEM data lie above the central values of these cosmic-ray estimations and the same is true in the inverse sense. That is, there is no agreement among the TOTEM result and the Fly’s Eye and Auger estimations, at least in what concerns parametrization.
In this sense, the expected measurement of $\sigma_{\text{tot}}$ by the TOTEM Collaboration at 7 and 8 TeV through the luminosity-independent \cite{6,4} method may shed light on the subject. Moreover, new experimental results on elastic and diffractive scattering at 8 TeV (and, subsequently, at 14 TeV), will provide novel phenomenological insights and reduce the uncertainties from model extrapolations necessary to obtain $\sigma_{\text{tot}}$ from cosmic-ray experiments \cite{60} and references therein.

At last we stress that our approach and strategies do not follow the usual or standard lines of the amplitude analyses on the energy dependence of the total cross section. As commented, the latter include $\rho$ data, associate the parametrization with data at low energies and constrain the fits to describe other reactions, for which low-energy data are available. These are aspects that we expect to consider in a future work, since they may provide information that is complementary to the results we have presented. As commented along the manuscript, our goal has been to explore the possibility of investigating only the reactions with highest energy interval available, concentrating on the total cross section data. We have tried to identify possible high-energy effects that may be unrelated to the trends of the lower-energy data (including those from other reactions) or hidden in fits including the $\rho$ information. Our intention is not to compete with other authors or analysis, but to call the attention to the possibility that the rise of the total hadronic cross section may still constitute an open problem, an assertion that contrasts with the view advocated by some authors \cite{28}.

**Note added during revision**

After this paper was submitted to publication, new fits of forward quantities were obtained by the Particle Data Group \cite{61}. The updated data set includes the TOTEM result at 7 TeV and the new fit with the highest rank COMPETE parametrization ($\gamma = 2$) shows that the point at 7 TeV is not described: the curve lies below the data (Figure 46.10 in \cite{61}). This result corroborates those already shown with the $\sqrt{s}_{\text{max}} = 7$ TeV ensemble in our Figures 1, 3 and 5.

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**Appendix A: Predictions for the ratio between the real and imaginary parts of the forward amplitude**

In this appendix we present predictions for $\rho(s)$ from fits to $pp$ and $\bar{p}p$ total cross section data through parametrization \cite{30} with $\gamma > 2$. We also discuss the effect and role of the subtraction constant, embodied in the associated dispersion relation. In search of extreme effects we shall consider the two results obtained with the $\sqrt{s}_{\text{max}} = 7$ TeV ensemble, which correspond to the highest exponents $\gamma$, namely

Method 1 - Variant 3: $\gamma \approx 2.27 \pm 0.04$ (Table 11)

Method 2 - Variant 5: $\gamma \approx 2.21 \pm 0.02$ (Table 1V)

In terms of the forward scattering amplitude $F(s)$, the total cross section (optical theorem) and the ratio between the real and imaginary parts of the amplitude, at high energies, can be expressed by

$$
\sigma_{\text{tot}}(s) = \frac{\text{Im} F(s)}{s}, \quad \rho(s) = \frac{\text{Re} F(s)}{\text{Im} F(s)}
$$  \hspace{1cm} (A1)

For crossing even (+) and odd (−) amplitudes, $pp$ and $\bar{p}p$ scattering are related by

$$
F_\pm(s) = \frac{F_{pp} \pm F_{\bar{p}p}}{2}
$$  \hspace{1cm} (A2)

and it follows from Eqs. (A1) and (A2) that
The real and imaginary parts of the even and odd amplitudes are connected by dispersion relations and the high-energy domain demands one subtraction [45, 46]. Since we are looking for analytical results, we shall work here with the derivative dispersion relations in the standard form deduced by Bronzan, Kane and Sukhatme [62]. They are obtained from the integral dispersion relations in the high-energy limit:

\[
\frac{\text{Re} F_+(s)}{s} = \frac{K}{s} + \tan \left( \frac{\pi}{2} \frac{d}{d \ln s} \right) \frac{\text{Im} F_+(s)}{s},
\]

\[
\frac{\text{Re} F_-(s)}{s} = \tan \left( \frac{\pi}{2} \left( 1 + \frac{d}{d \ln s} \right) \right) \frac{\text{Im} F_-(s)}{s}.
\]

where \(K\) is the subtraction constant. Operationally these relations can be evaluated through the expansions [63, 64]

\[
\frac{\text{Re} F_+(s)}{s} = \frac{K}{s} + \left[ \frac{\pi}{2} \frac{d}{d \ln s} + \frac{1}{3} \left( \frac{\pi}{2} \frac{d}{d \ln s} \right)^3 + \frac{2}{15} \left( \frac{\pi}{2} \frac{d}{d \ln s} \right)^5 + \ldots \right] \frac{\text{Im} F_+(s)}{s},
\]

\[
\frac{\text{Re} F_-(s)}{s} = -\int \left\{ \frac{d}{d \ln s} \left[ \cot \left( \frac{\pi}{2} \frac{d}{d \ln s} \right) \right] \frac{\text{Im} F_-(s)}{s} \right\} d \ln s
\]

\[
= -\frac{2}{\pi} \int \left\{ \left[ 1 - \frac{1}{3} \left( \frac{\pi}{2} \frac{d}{d \ln s} \right)^2 - \frac{1}{45} \left( \frac{\pi}{2} \frac{d}{d \ln s} \right)^4 - \ldots \right] \frac{\text{Im} F_-(s)}{s} \right\} d \ln s.
\]

With parametrization [33] taken as input, a closed form results from the sum of the contributions associated with the power-law term \(\sigma_{LE}\). The sum of the contributions from the logarithm term \(\sigma_{HE}\) converges fast for \(\gamma\) in the range (2.2 - 2.3) and a third-order approximation is therefore sufficient. We obtain for the even part

\[
\frac{\text{Re} F_+(s)}{s} = \frac{K}{s} - a_1 \tan \left( \frac{\pi b_1}{2} \right) \left[ \frac{s}{s_h} \right]^{-b_1} + A \ln^{\gamma-1} \left( \frac{s}{s_h} \right) + B \ln^{\gamma-3} \left( \frac{s}{s_h} \right) + C \ln^{\gamma-5} \left( \frac{s}{s_h} \right),
\]

where

\[
A = \frac{\pi}{2} \beta \gamma, \quad B = \frac{1}{3} \left( \frac{\pi}{2} \right)^3 \beta \gamma [\gamma - 1] [\gamma - 2], \quad C = \frac{2}{15} \beta \gamma [\gamma - 1] [\gamma - 2] [\gamma - 3] [\gamma - 4]
\]

and for the odd part
\[
\frac{\text{Re} F_- (s)}{s} = -a_2 \cot \left( \frac{\pi b_2}{2} \right) \left[ \frac{s}{s_l} \right]^{-b_2}.
\]  
(A9)

With the above results, Eqs. (A3) and (A4) yield analytical expressions for \(\rho^{pp}(s)\) and \(\bar{\rho}^{pp}(s)\). Note that the analytical results imply that as \(s \to \infty\):

\[
\rho \propto \frac{1}{\ln s} \to 0.
\]

In what follows we present the predictions for \(\rho(s)\) and compare the results with the experimental information available \([10]\). To study the effect of the subtraction constant, we follow two alternatives: in the first we fix it at \(K = 0\); in the second we let \(K\) be a fit parameter to the \(\rho\) data only. More specifically, we take as input the parameters in Tables II and IV with \(s_l = 1\) GeV\(^2\). In the first alternative, \(K = 0\), we obtain the direct prediction for \(\rho(s)\). In the second alternative we fix all the other parameters and adjust \(K\) to fit the \(\rho\) data. In the latter case, the first run of the MINUIT Code yields the \(\chi^2/\text{DOF}\) for \(K = 0\). The predictions with the results by Method 1 - Variant 3 (Table II) are displayed in Figure 9 and those with the results by Method 2 - Variant 5 (Table IV) in Figure 10. We are led to the following conclusions:

1. Even in these extrema cases, with \(\gamma : 2.2 - 2.3\), all the experimental information on \(\rho^{pp}(s)\) and \(\bar{\rho}^{pp}(s)\) above 5 GeV are quite well described;

2. The subtraction constant affects the low-energy results, below \(\sqrt{s} \sim 20\) GeV;

3. The best \(\chi^2/\text{DOF}\) results (closest to 1) are obtained with \(K\) as a free fit parameter. Obviously, that is a consequence of adding one more free parameter, however without the physical interpretation associated with those present in the parametrization of the total cross section.

Finally, we recall that in simultaneous fit to \(\sigma_{tot}\) and \(\rho\) the subtraction constant affects both the low- and high-energy regions \([17, 18]\). That is a consequence of the strong correlation among the subtraction constant and all the other physical free fit parameters. We plan to discuss this consequence and other aspects of the fit procedures in a forthcoming paper.

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FIG. 1: (Color online) Comparison between experimental data and fit results. The dot-dashed and dotted lines display the results of the Direct Fit-Method 1 applied to the $\sqrt{s_{\text{max}}}=1.8$ TeV and $\sqrt{s_{\text{max}}}=7$ TeV ensembles, respectively. The solid line is the fit obtained by the COMPETE Collaboration. Numerical information displayed in Table I (second and third columns) and Table II (second column).

FIG. 2: (Color online) Analogous to Fig. 1, the dot-dashed and dotted lines computed with Variant 1 of Method 1 (V1). Numerical information displayed in Tables I (fourth column) and II (third column).
FIG. 3: (Color online) Analogous to Fig. 1, the dot-dashed and dotted lines computed with Variant 2 of Method 1 (V2). Numerical information displayed in Tables I (fifth column) and II (fourth column).

FIG. 4: (Color online) Analogous to Fig. 1, the dot-dashed and dotted lines computed with Variant 3 of Method 1 (V3). Numerical information displayed in Tables I and II (last columns).
FIG. 5: (Color online) Analogous to Fig. 1, the dot-dashed and dotted lines computed with Variant 4 of Method 2 (V4). Numerical information displayed in Tables III (third column) and IV (second column).

FIG. 6: (Color online) Analogous to Fig. 1, the dot-dashed and dotted lines computed with Variant 5 of Method 2 (V5). Numerical information displayed in Tables III (fourth column) and IV (third column).
FIG. 7: (Color online) Analogous to Fig. 1, the dot-dashed and dotted lines computed with Variant 6 of Method 2 (V6). Numerical information displayed in Tables II (fifth column) and IV (fourth column).

FIG. 8: Results for the exponent $\gamma$ as a free parameter in different data reductions through parametrization (3-5). Shown are the results by Amaldi et al. [30] ($\sqrt{s_{\text{max}}} = 62$ GeV), the UA4/2 Collaboration [31] ($\sqrt{s_{\text{max}}} = 546$ GeV), and from our analyses for the $\sqrt{s_{\text{max}}} = 1.8$ TeV and $\sqrt{s_{\text{max}}} = 7$ TeV ensembles with Methods 1 and 2.
FIG. 9: (Color online) Comparison between experimental results for $\rho$ and the analytical expressions derived in Appendix A, with the parameters obtained by Variant 3 of Method 1, listed in Table II which yield $\gamma \approx 2.27 \pm 0.04$. The filled and the open circles represent the experimental data for $pp$ and $\bar{p}p$ scattering respectively. The top panel shows our predictions with $K = 0$, which correspond to $\chi^2/DOF = 1.93$. The bottom panel, is the best fit to the $\rho$ data with $K$ a free parameter ($\chi^2/DOF = 1.45$).
FIG. 10: (Color online) Analogous to Fig. 9, the analytical results plotted for the parameters obtained with Variant 5 of Method 2 (Table IV), which yield $\gamma \approx 2.21 \pm 0.02$. The ratios $\chi^2/DOF$ in the top and bottom panels are 2.70 and 1.58, respectively.