Wavelength transduction from a 3D microwave cavity to telecom using piezoelectric optomechanical crystals

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Microwave-to-optical transduction has received a great deal of interest from the cavity optomechanics community as a landmark application for electro-optomechanical systems. In this Letter, we demonstrate a novel transducer that combines high-frequency mechanical motion and a microwave cavity for the first time. The system consists of a 3D microwave cavity and a gallium arsenide optomechanical crystal, which has been placed in the microwave electric field maximum. This allows the microwave cavity to actuate the gigahertz-frequency mechanical breathing mode in the optomechanical crystal through the piezoelectric effect, which is then read out using a telecom optical mode. The gallium arsenide optomechanical crystal is a good candidate for low-noise microwave-to-telecom transduction, as it has been previously cooled to the mechanical ground state in a dilution refrigerator. Moreover, the 3D microwave cavity architecture can naturally be extended to couple to superconducting qubits and to create hybrid quantum systems.

The field of quantum information has evolved a long two dominant paths: microwave quantum technology has produced advanced quantum computers comprised of superconducting qubits that operate at frequencies between one to ten gigahertz.1 Meanwhile, optical quantum technology, at visible and telecom wavelengths, has enabled long-lived quantum memories,2 entanglement-based telescopes with sensitivity beyond classical limits,3 and high-precision atomic clocks.4 Linking these technologies through a high-efficiency, low-noise, coherent microwave-to-telecom transducer would open a new frontier of hybrid quantum technology.5 Prominent examples of hybrid technology include quantum radar6 and microwave quantum repeaters,7 which would allow for distributed quantum computing8 and the creation of a quantum internet.9,10

The development of a quantum microwave-to-telecom transducer has been pursued in a wide breadth of physical systems including cold atoms,11 nonlinear electro-optic materials,12–14 and mechanical resonators15–24 with frequencies ranging from kilohertz to tens of gigahertz. Of these techniques, mechanical motion in the form of quantized phonons provides a promising avenue for quantum microwave to telecom transduction due to the ability to facilitate strong interactions between phonons and microwave25 or telecom26 photons. Mechanically mediated microwave to telecom transducers can be classified into two groups according to their mechanical frequency. Mechanical modes with frequencies below ≈ 1 GHz use microwave resonators to bridge the energy differential between the qubit-emitted gigahertz photons and the megahertz mechanical phonons.27 Low frequency mechanical modes, such as the drum modes of a membrane, are advantageous in that they can be directly incorporated into a microwave resonator by depositing an electrode on the membrane to create a mechanically compliant capacitor in an LC resonator.16–18 However, these low-frequency mechanical modes are also inherently problematic due to high thermal phonon occupations at dilution refrigerator temperatures, which add noise to transduced signals.18 Conversely, mechanical modes with frequencies exceeding ≈ 1 GHz can be cooled to the ground state using a dilution refrigerator.19,28 but typically couple directly to on-chip microwave waveguides.19–24 To date, no experiment combines the advantages presented by coupling high-frequency mechanics to a microwave cavity.29

In this Letter, we take the natural step to combine these architectures and implement a high-frequency mechanically-mediated microwave-to-telecom transducer coupled to a microwave resonator. The transducer consists of a gallium arsenide optomechanical crystal placed on the central pedestal of an aluminium re-entrant 3D microwave cavity. Gallium arsenide is a promising material for microwave to optical transducers due to its piezoelectric30 and photoelastic31 properties, which respectively allow mechanical motion to be coupled to the electric field of the 3D microwave cavity mode and improves coupling to the telecom optical mode of the optomechanical crystal. The 3D microwave cavity architecture22 is a versatile platform for quantum systems—in addition to providing a coupling mechanism for qubits,33 they are also used to couple microwave photons to magnons in yttrium-iron-garnet spheres,34–37 nitrogen-vacancy centers in diamond,38 rubidium atoms,39 and Rydberg atoms.40

The optomechanical crystal geometry supports a telecom optical mode at 1543 nm (ωc ≈ 194.3 THz), Fig. 1a, which overlaps a high frequency mechanical breathing mode at ωm/2π ≈ 2387.5 MHz, with damping rate Γm/2π ≈ 2.90 MHz, Fig. 1b. The laser transmission sweep of the telecom mode in Fig. 1c demonstrates a total decay rate of κc/2π ≈ 6.6 GHz, with an external decay rate κe/2π ≈ 2.3 GHz. The 3D microwave cavity, presented in Fig. 1d, was designed to have a resonant frequency ωm/2π ≈ 2386.5 MHz that closely matches the mechanical resonance frequency. A low-loss teflon cylinder35 surrounding the central pedestal allows for control over the microwave cavity decay rates by shaping the magnetic field, such that the total cavity decay rate κm/2π ≈ 4.07 MHz is almost exactly double the external

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FIG. 1. a) Simulated telecom electric field and b) mechanical displacement modes of the optomechanical crystal. c) Transmission of the telecom resonance, centered at 1543 nm. d) Simulation of the electric field in the 3D microwave cavity mode with arrows showing electric field directivity and relative amplitude. e) Simulated piezoelectric response to a resonant microwave drive, where a time-varying uniform electric field is applied along [100]. f) Purple trace (left axis): power spectral density (PSD) of the thermomechanical motion, measured by homodyne detection of the telecom mode and fit (white). Light blue trace (right axis): microwave reflection measurement of the 3D cavity. g) Measurement setup: the microwave system in blue shows port 1 of a vector network analyser (VNA) driving the microwave cavity using a loop coupler. The VNA signal phase is controlled externally using a phase modulator ($\phi$). The balanced laser homodyne system in red follows two paths: the measurement arm, with telecom optical power set by a variable optical attenuator (VOA) before coupling to the optomechanical crystal (green) in the microwave cavity, and the local oscillator, with a fiber stretcher (FS) for path-length matching and optical phase control. The paths recombine at a beam splitter and are then detected on a balanced photodiode. The photodiode output can either be measured on VNA port 2 or downmixed into low frequency in-phase and quadrature components measured on a separate data acquisition (DAQ) system.

decay rate $\kappa_{\text{r}}/2\pi \approx 2.05$ MHz. This condition, known as critical coupling, ensures that on-resonance there is near-zero (−45 dB) reflection of microwave power from the cavity.\(^\text{42}\)

The electric field profile of the microwave mode, Fig. 1d, protrudes from the central pedestal along the cylinder axis to the lid, which is split to allow for telecom optical coupling via a dimpled-tapered fiber.\(^\text{43}\) The directionality of the electric field, which is aligned with the [100] axis of the gallium arsenide, promotes electromechanical coupling via the $d_{36}$ coefficient of the piezoelectric tensor.\(^\text{30}\) The finite element simulation of the optomechanical crystal being driven by an out-of-plane resonant electric field, Fig. 1e, shows that there is good overlap between the driven motion and the mechanical breathing mode, which promotes piezoelectric actuation.

The data presented in Fig. 1f shows the mechanical motion measured with optical homodyne detection and a reflection measurement of the 3D cavity microwave resonance using the setup displayed in Fig. 1g. Here we see that the microwave mode and mechanical breathing mode are coupled such that the frequency of the microwave mode is within the linewidth of the mechanical mode and vice versa.

In Fig. 2a, the mechanical motion of the optomechanical crystal is measured while the microwave cavity is driven by a −20 dBm electrical signal at frequency $\omega_s$, which is set to the microwave resonance frequency. The electrical signal populates the microwave mode with photons, which are converted into actuated phonons in the mechanical mode through the piezoelectric effect. The telecom laser, at frequency $\omega_t$, causes the actuated phonons to be up-converted into telecom photons at frequency $\omega_t + \omega_s$. The up-converted photons beat together with a local oscillator to produce a sharp peak in the homodyne measurement—the transduced microwave tone.

Coherent transduction is then demonstrated by using an external phase modulator to sweep the phase of the injected microwave signal, plotted in Fig. 2b, from 0° to 144°. The output of the balanced photodiode is returned to the second port of the VNA for an S21 measurement, where the returned signal...
mixes with the VNA output signal to determine relative phase. By manipulating both the phase and amplitude, we show that we have complete coherent control of the transduced signal.\textsuperscript{21} Classically, the coherent control of the transducer implies that the system can be used for information transduction techniques such as phase shift keying.\textsuperscript{44} The ability to control amplitude, which is demonstrated in Fig. 3, further permits quadrature amplitude mixing.\textsuperscript{45}

The number of actuated phonons $\bar{n}$ in the mechanical breathing mode can be calculated using the thermomechanical noise as a calibration metric.\textsuperscript{21} The ratio of the powers in the transduced peak $P(\omega_i)$ and the room-temperature thermal noise $P(\omega_m)$, where the power is the integrated spectral density, is scaled by the number of thermal phonons to find

$$\bar{n} = \frac{\hbar \omega_m}{k_b T} \frac{P(\omega_i)}{P(\omega_m)}. \quad (1)$$

In Fig. 3, the number of actuated phonons is calculated for a range of microwave powers. At low powers, the transduced signal sinks below the thermal noise floor at an average population of $(9.0 \pm 0.4) \times 10^{-2}$ actuated phonons. Sufficiently far from this thermal limit, the number of actuated phonons increases linearly with microwave input power. This slope is fit to calculate the single-photon electromechanical coupling rate $g_\mu/2\pi = (4.3 \pm 0.8)$ Hz (Appendix A).

The transduction efficiency $\eta$ can be calculated from the linearized rotating-frame Heisenberg-Langevin equations of motion for the telecom $a(\omega)$, mechanical $b(\omega)$, and microwave $c(\omega)$ modes:\textsuperscript{23}

$$i \omega a = (i \Delta + \kappa/2) a + ig_0 b + \sqrt{\kappa} a_{in}(\omega) \quad (2)$$

$$i \omega b = (i \omega_m + \Gamma_m/2) b + ig_0 a + ig_\mu c + \sqrt{\Gamma_m} b_{in}(\omega) \quad (3)$$

$$i \omega c = (i \omega_m + \kappa/2) c + g_\mu b + \sqrt{\kappa} c_{in}(\omega). \quad (4)$$

In our experiment we set the laser detuning to $\Delta = \omega_i - \omega_m = 0$, and in Fig. 3 the microwave signal frequency $\omega_m$ is set to $\omega_m$. The electromagnetic modes are coupled to input channels $a_{in}(\omega)$ and $c_{in}(\omega)$, representing telecom laser and electrical signal inputs to the telecom and microwave modes respectively, while $b_{in}(\omega)$ represents the influx of thermal phonons into the mechanical mode from the room temperature bath.

Solving Eqns. (2–4) under these conditions (Appendix A), the equation for microwave-to-telecom efficiency-per-pump-photon for our system is:

$$\eta = \frac{\kappa_e \kappa_{\mu,e}}{\kappa} \frac{4C\mu C_0}{(1 + C\mu + C_0)^2 + (2(1 + C\mu)\omega_m/\kappa)^2}, \quad (5)$$

where the electromagnetic and optomechanical single-photon cooperativities are $C\mu = 4g_\mu^2/\kappa \Gamma_m = (6.2 \pm 0.2) \times 10^{-12}$ and $C_0 = 4g_0^2/\kappa T_m = (3.5 \pm 0.1) \times 10^{-4}$ respectively. The single-photon optomechanical coupling rate $g_0/2\pi = (1.3 \pm 0.3)$ MHz was measured using phase calibration.\textsuperscript{28} Hence, our system efficiency is $\eta = (1.0 \pm 0.1) \times 10^{-15}$.

The efficiency of our system is primarily limited by the electromechanical coupling rate, which causes the electromechanical cooperativity to be approximately eight orders of magnitude smaller than the optomechanical cooperativity. The electromechanical coupling is predicated on the overlap of the optomechanical crystal and the microwave electric field.\textsuperscript{46} In our system, this overlap is small due to the large spatial requirement of the dimpled-tapered fiber optical coupling mechanism. Future iterations with permanent fiber coupling\textsuperscript{47} will allow the gap between the cavity pedestal and lid to be dramatically decreased, thus increasing field overlap and electromechanical coupling. Additionally, the electromechanical cooperativity can be further increased by reducing the microwave cavity decay rate. Specifically, 3D superconducting microwave cavities are capable of decay rates below 100 Hz,\textsuperscript{28} which would increase the electromechanical cooperativity by four orders of magnitude.

For high efficiency transduction, the condition $C \approx C\mu \gg 1$ must be achieved, where $C = C_0 \bar{n}$ is the cavity-enhanced optomechanical cooperativity with an average population of $\bar{n}$ photons in the telecom mode. The optomechanical cooperativity of this device is discussed thoroughly in Ref. 28, where

![Graph](image_url)

**FIG. 3.** The integrated area of the transduced peak, scaled using Eqn. (1) to measure the actuated phonon number, measured as microwave power is stepped. The resulting trace is fit in two segments: input power above $10^{-5}$ W is fit to a line (orange) to determine the electromechanical coupling, and input power below $10^{-8}$ W is fit to a constant to determine the measurement noise floor, below which the transduced signal becomes unmeasurable due to thermal noise. The sum of these fits is presented as the black dashed curve. Error in the actuated phonon number is smaller than the marker size. The uncertainties of derived quantities are calculated from 1σ fit error.

![Graph](image_url)

**FIG. 4.** Optical measurements of the transduced 3.2 μW electrical signal as it is swept between 2380 MHz to 2395 MHz in 0.25 MHz steps. The dashed curve represents a calculation of the number of actuated phonons for the whole frequency range, using Eqn. (6) with experimentally measured parameters.
it is predicted to reach $C = 3.7$ at millikelvin temperatures where $\Gamma_m$ is reduced. In the present experiment, the telecom photon population of the optomechanical crystal was limited to $\bar{n}_c \approx 400$ to circumvent optical heating, leading to a cooperativity $C = 0.14$. The cavity-enhanced transduction efficiency can be calculated by replacing $C_0$ by $C$ in Eqn. (5) to find $\eta_{\text{enh}} = (3.4 \pm 0.2) \times 10^{-13}$.

Another important metric for microwave to telecom transduction is the bandwidth over which efficient transduction can be achieved. A large bandwidth increases the utility of the transducer by allowing it to convert a range of microwave frequencies to telecom wavelengths, and further permits faster transduction operations. To measure the bandwidth of transduction, the electric signal frequency is stepped across the microwave resonance frequency for maximum transduction efficiency attained by using a tunable microwave cavity to select the microwave resonance frequency for maximum transduction efficiency at each input signal frequency (purple dashed).

In Fig. 4 the number of actuated phonons $\bar{n}_c(\omega_k)$ is plotted across the same region that the electrical signal measured to demonstrate the agreement between experiment and theory. From Eqn. (6), a microwave-to-mechanics transduction bandwidth of 2.16 MHz is calculated, which exceeds the predicted bandwidth of similar piezoelectric microwave cavity to telecom transducers. The large bandwidth is in part due to an efficiency-bandwidth trade-off for transduction: the high decay rate of the microwave cavity and damping rate of the mechanics increases bandwidth, but in turn limits the number of actuated phonons and therefore the efficiency of the transducer. During low temperature experiments both the damping and decay rates will be reduced, which limit the bandwidth of future experiments, but increase transduction efficiency.

In Fig. 5, the transduction efficiency is plotted as a function of signal frequency for our experiment where $\omega_m - \omega_\mu \approx 1$ MHz and for the ideal transduction scenario $\omega_m = \omega_\mu$. Encompassing both the experimental and ideal transduction scenarios is a calculation of efficiency which assumes a tunable 3D microwave cavity with a resonance frequency set such that the input electrical signal is transduced with maximum efficiency at each considered frequency. In ideal circumstances, where the electrical signal frequency matches the mechanical resonance frequency, the efficiency of transduction is unchanged. For unmatched resonances however, the microwave cavity can be tuned to increase the transduction efficiency.

The extended range afforded by a tunable cavity has a full-width half-max of 2.91 MHz, such that the transduction efficiency of off-resonant signals improves by up to an order of magnitude. Though this is not a true increase in bandwidth, which refers to the maximum frequency spread that can be simultaneously passed by the cavity, it does increase the frequency range over which the transducer can function. For the microwave cavity under consideration in this experiment, the increased transduction range associated with a tunable microwave cavity is modest, but becomes more pronounced when the reduced decay rates of superconducting cavities are taken into account.

In conclusion, we have demonstrated gallium arsenide optomechanical crystals in a 3D microwave cavity as a promising platform for quantum state transduction. The optomechanical crystal is sensitive enough to detect an average occupancy of just $(9.0 \pm 0.4) \times 10^{-2}$ actuated phonons, and is capable of achieving high cooperativity. The piezoelectric coupling $g_\mu/2\pi = (4.3 \pm 0.8)$ Hz between the microwave and mechanical modes limits the transduction efficiency to $\eta_0 = (1.0 \pm 0.1) \times 10^{-15}$, but could be improved by reducing the microwave electric field volume. Finally, the 2.16 MHz transduction bandwidth of this system allows for a broad range of electrical signals to be transduced. Although this bandwidth will be reduced when the transduction experiment is performed at low temperatures, where the microwave cavity will be superconducting, we have proposed a framework using a tunable microwave cavity to allow for microwave-to-telecom transduction that is efficient, low-loss, broadband, and coherent.

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Appendix A: Calculation of electromechanical coupling and transduction efficiency

The equations of motion in Eqns. (2–4) are derived from the linearized optomechanical Hamiltonian in a frame rotating at the laser frequency with an added term to include the piezomechanical coupling.\(^{31}\)

\[
\mathcal{H} = \hbar \Delta \hat{a} + \hbar \omega_n \hat{b} + \hbar \omega_\mu \hat{c} + \hbar \left[ g_0 \sqrt{n_c} (\hat{a}^\dagger + \hat{a}) + g_\mu \hat{c}^\dagger \hat{c} \right] (\hat{b}^\dagger + \hat{b}), \tag{A1}
\]

where in what follows we have set the number of telecom cavity photons to \(n_c \approx 1\). The cavity enhanced equations can be recovered in the following work by replacing \(g_0 \rightarrow g_0 \sqrt{n_c}\).

To determine the electromechanical coupling rate and transduction efficiency, we begin with the equations of motion solved for their respective operators,\(^{23,42}\)

\[
a(\omega) = -\frac{ig_0 b(\omega) + \sqrt{\kappa_m} a_m(\omega)}{\kappa_a^{-1}(\omega)}, \tag{A2}
\]

\[
b(\omega) = -\frac{ig_0 a(\omega) + ig_\mu c(\omega) + \sqrt{\Gamma_m} b_m(\omega)}{\kappa_b^{-1}(\omega)}, \tag{A3}
\]

\[
c(\omega) = -\frac{ig_\mu b(\omega) + \sqrt{\kappa_m} c_m(\omega)}{\kappa_c^{-1}(\omega)}, \tag{A4}
\]

where counter-rotating terms have been omitted for simplicity, and the inverse susceptibilities are defined as

\[
\kappa_a^{-1}(\omega) = i(\Delta - \omega) + \kappa / 2, \tag{A5}
\]

\[
\kappa_b^{-1}(\omega) = i(\omega_n - \omega) + \Gamma_m / 2, \tag{A6}
\]

\[
\kappa_c^{-1}(\omega) = i(\omega_\mu - \omega) + \kappa / 2. \tag{A7}
\]

We now proceed with the calculation of the electromechanical coupling rate. Since we have considered the system to be in a state where the telecom mode of the optomechanical crystal is sparsely populated, the term \(g_0 a(\omega)\) is negligible. Moreover, we assume the microwave mode of the 3D cavity is predominantly populated with microwave input photons, such that \(g_\mu b(\omega) \ll \sqrt{\kappa_m} c_m(\omega)\). With these simplifications made, we focus on the mechanics. Eqn. (A3) becomes

\[
b(\omega) = \frac{ig_\mu \sqrt{\kappa_m} c_m(\omega)}{\kappa_b^{-1}(\omega) \kappa_c^{-1}(\omega)} - \frac{\sqrt{\Gamma_m} b_m(\omega)}{\kappa_b^{-1}(\omega)}. \tag{A8}
\]

The power spectral density function associated with the negative-frequency sideband of the microwave-driven mechanical mode is given by\(^{50}\)

\[
S_{b/b}(\omega) = \frac{1}{2\pi} \left| \langle \hat{b}^\dagger(\omega) \hat{b}(\omega') \rangle \right| d\omega', \tag{A9}
\]

which can be solved using the correlators for the thermal and microwave input

\[
\langle \hat{b}^\dagger(\omega) \hat{b}(\omega') \rangle = 2\pi \eta b(\omega_n) \delta(\omega + \omega'), \tag{A10}
\]

\[
\langle \hat{c}^\dagger_m(\omega) \hat{c}_m(\omega') \rangle = \frac{(2\pi)^2 P_0}{\hbar \omega_\mu} \delta(\omega + \omega) \delta(\omega' - \omega). \tag{A11}
\]

Where \(\eta(\omega_n) \approx k_b T / \hbar \omega_n\) is the mean thermal occupancy of thermal bath at the mechanical frequency, and \(P_0\) is the microwave power at frequency \(\omega_\mu\) incident on the microwave cavity. Here, the thermal photon population of the microwave cavity is negligible compared to the number of photons created by the microwave drive.

We solve the terms in Eqn. (A8) individually, noting that correlations between the microwave input and thermal noise are zero. The total spectra is then the summation of two peaks, the first resulting from the actuated phonons from the microwave drive at frequency \(\omega_\mu\):

\[
S_{b/b}^a(\omega) = \frac{P_0}{\hbar \omega_m} \frac{2\pi g_\mu^2 \kappa_m \delta(\omega + \omega_\mu)}{[\chi_b^{-1}(-\omega)]^2 + \chi_b^{-1}(\omega) \chi_b^{-1}(\omega_\mu)^2}, \tag{A12}
\]

and the second a result of the thermal background:

\[
S_{b/b}^{th}(\omega) = \frac{\Gamma_m \eta_b(\omega_m)}{(\omega_m + \omega)^2 + \Gamma_m^2 / 4}. \tag{A13}
\]

The average number of actuated phonons in the mechanical mode can be calculated from \(\bar{n}_b = (2\pi)^{-1} \int S_{b/b}^a(\omega) d\omega\), and for a narrow-frequency signal input \(\omega_\mu\), the actuated phonon occupancy is

\[
\bar{n}_b(\omega_\mu) = \frac{P_0}{\hbar \omega_\mu} \sqrt{\kappa_m \kappa_\mu} \left[ (\omega_m + \omega_\mu)^2 + \Gamma_m^2 / 4 \right] \left[ \chi_b^{-1}(\omega_\mu) + \chi_b^{-1}(\omega) \right]^2 - \frac{g_\mu^2 \kappa_m \kappa_\mu}{\Gamma_m \kappa_\mu \hbar \omega_\mu} P_0, \tag{A14}
\]

which is the linear equation relating the number of microwave-induced phonons to input microwave power. Using the slope in Fig 3, we calculate the electromechanical coupling \(g_\mu / 2\pi = (4.3 \pm 0.8)\) Hz.

The efficiency is also derived from Eqns. (A2-A4). Here we refer the reader to Ref.\(\text{23},\) where the general efficiency for a microwave-mechanical-telecom system is derived to be

\[
\eta = \left| \frac{g_0 g_\mu \sqrt{\kappa_m \kappa_\mu}}{\left( \chi_a(\omega) \chi_b(\omega) \chi_c(\omega) \right)^{-1} + \chi_c^{-1}(\omega_\mu) g_\mu^2 + \chi_b^{-1}(\omega_\mu) g_\mu^2} \right|^2. \tag{A16}
\]

In our case, we solve with laser detuning \(\Delta = 0\), and assume a near-resonant coupling condition such that \(\omega = \omega_m = \omega_\mu\) to obtain Eqn. (5). It is worth pointing out that Eqn. (5) differs from the typical red-detuned scenario (where \(\Delta = \omega_m\)) by the addition of \(2(1 + C_m) \omega_m / k\) in the denominator. Our choice of measuring the telecom optical cavity on-resonance does therefore decrease the efficiency, but only by a small
amount: \( \eta = 0 / \Gamma_{\text{m}} \approx 0.66 \). The advantage of this choice is that there are no optomechanical back action effects which alter the total mechanical damping rate, allowing us to make the approximation \( \Gamma_{\text{m}} \approx \Gamma_{\text{m}} \) and simplify the mathematical treatment of the system.

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