Inkjet Printing Based Mono-layered Photonic Crystal Patterning for Anti-counterfeiting Structural Colors

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Photonic crystal structures can be created to manipulate electromagnetic waves so that many studies have focused on designing photonic band-gaps for various applications including sensors, LEDs, lasers, and optical fibers. Here, we show that mono-layered, self-assembled photonic crystals (SAPCs) fabricated by using an inkjet printer exhibit extremely weak structural colors and multiple colorful holograms so that they can be utilized in anti-counterfeit measures. We demonstrate that SAPC patterns on a white background are covert under daylight, such that pattern detection can be avoided, but they become overt in a simple manner under strong illumination with smartphone flash light and/or on a black background, showing remarkable potential for anti-counterfeit techniques. Besides, we demonstrate that SAPCs yield different RGB histograms that depend on viewing angles and pattern densities, thus enhancing their cryptographic capabilities. Hence, the structural colorations designed by inkjet printers would not only produce optical holograms for the simple authentication of many items and products but also enable a high-secure anti-counterfeit technique.

The use of photonic crystals can provide promising methods for manipulating a light spectrum and its intensity; thus, they have emerged as an alternative for identifying highly sophisticated counterfeits. Typically, photonic crystals are fabricated as multi-layered, 3D nanostructures with diameters ranging from 150 nm to 350 nm in order to generate photonic band-gaps in which light cannot propagate through the 3D nanostructures. Such multi-layered, three-dimensional (3D) nanostructures have bright colors with angle-independent spectra and are therefore always overt. However, the covert features of photonic band-gaps, if present, are necessary for hiding watermarks for practical anti-counterfeiting applications (e.g., paper bills). In fact, tunable photonic crystals can be designed and fabricated by tailoring their refractive indices, lattice constants, or spatial symmetries. However, they require somewhat complicated nanofabrication processes and rely on external stimuli, such as electrical, chemical, or magnetic methods. Generally, engraved patterns, like two-dimensional (2D) gratings, fabricated on a mass production basis are still commonly used for holographic anti-counterfeit techniques. These grating patterns provide viewing-angle-dependent colorations but seem to be always overt. In addition, they usually exhibit the same “signature” for security. For the further development of such holographic anti-counterfeiting applications, the grating patterns must not only enable covert-overt transformation similarly to tunable photonic band-gaps, but must also be unique and uncloneable.

In this work, we introduce a novel and cost-effective fabrication method of mono-layered, self-assembled photonic crystal (SAPC) patterns and report their anti-counterfeiting applications showing important features, such as covert-overt transformation and unique photonic prints. To date, top-down nanofabrication techniques, such as photo-lithography, two-photon patterning, and direct-writing assembly, involve cost- and time-inefficient processes that employ expensive equipment because the periodicity of the photonic crystals in the visible spectrum must be of at least several hundreds of nanometers. On the other hand, bottom-up nanofabrication methods, such as self-assembly and spreading, cannot produce various and complex patterns.
because of additive processes. For this reason, we utilized a commercially available inkjet printer for the fabrication of SAPC patterns, which has the key advantage of easy fabrication and rapid production of various photonic prints.

In addition, mono-layered SAPC patterns based on the diffraction mechanism may be a promising alternative for covert-overt transformation. In principle, mono-layered 2D nanogratings designed by self-assembled nanoparticles with the same refractive index as the substrate can minimize the intensity of optical scattering, which is caused by small impedance discontinuities generated by photonic prints on the substrate. Thus, embossed patterns on a white background cannot be easily perceived by the naked eye under low-lighting conditions, thereby producing covert patterns. However, such covert patterns can easily become overt by controlling the light intensity or changing the background. Furthermore, unique photonic signatures can be obtained by manipulating imaging pixels comprising of SAPCs. Without the information of the imaging pixels, it is almost impossible to perfectly duplicate structural colorations measured optically or electrically, thus enhancing the cryptographic capability of SAPCs in a simple manner.

In this paper, we describe inkjet-printing-based fabrication methods for mono-layered SAPC patterns on various substrates with different wettabilities and the engineering of an extremely low diffracted light to produce viewing-angle-dependent structural colors. We also investigate a unique structural coloration mechanism by performing numerical simulations based on basic diffraction theory. Subsequently, we show the practical applications of the cover-overt transformation features obtained by mono-layered SAPC patterns to several items, including a chemical bottle, a paper bill, and a credit card. Finally, we demonstrate that the unique encryption of mono-layered SAPC patterns can be designed by manipulating the pattern densities of the SAPCs and the subsequent decryption of the patterns can be performed by analyzing the red, green and blue (RGB) histograms, showing remarkable potential for a sophisticated anti-counterfeit system.
Results and Discussion

Printing of photonic crystal patterns. A schematic of an inkjet printing process for producing various droplet patterns on a substrate is shown in Fig. 1(a). Photonic crystals (silica particles) with 500 nm diameter in injected droplets are self-assembled by drying and their nanostructural shapes and sizes are mainly determined by the surface wettability, the number density of the photonic crystal suspension, and the chemical composition of the solvents; additional characterization is displayed in Fig. S1 (Supplementary Information). For instance, Fig. 1(b) shows scanning electron microscope (SEM) images of mono-layered SAPCs on a glass substrate (i–iv). Each circular nanostructure is approximately 70 μm in diameter (i) and is comprised of dense photonic crystals (about 15,000 particles in total) (iii). An SAPC pattern is formed with a constant spacing distance of approximately 100 μm (ii) and under strong illumination, the pattern produces colors (iv). It must be noted that the photonic crystal suspension solution was mixed with formamide (FA, 20% v/v) for the close-packed and mono-layered self-assembly of the photonic crystals, the detailed mechanisms of which have been intensively studied (Note, Supplementary Information)30, and the number density of the photonic crystal suspension was calculated to fully fill the nanostructures and thus generate vivid structural colors (20% w/v, Fig. S2, Supplementary Information).

Here, we demonstrate that the structural colors can be overt or covert by controlling the background and the intensity of light illumination. Figure 1(c) shows an SAPC pattern on a glass substrate under weak illumination, such as office lighting (ca. 500 lux) and daylight (ca. 2,000 lux), on a black and a white background, respectively, and under strong illumination with 80-W white light (above 20,000 lux) on the same backgrounds. The photographs were obtained using a charge-coupled device (CCD) camera (iPhone 5). As shown in Fig. 1(c), under weak light illumination, the SAPC pattern is dim on the black background and concealed on the white background. This unique color visualization results from the round distance of the mono-layered SAPCs, which can be explained by the Bragg scattering (i.e., diffraction) that divides weak light into several rays travelling in different directions31. In fact, the pattern density (photonic crystal area of the substrate) was determined to cover approximately 22.1% of the substrate, resulting in low diffraction intensity (Fig. S3, Supplementary Information). Because all lights are absorbed by the black background, changing the background can manipulate the net scattering from the pattern by enhancing the signal to noise ratio (SNR). However, the SNR enhancement by the black background appears not to be sufficient to transform the covert (dim) image to an overt (vivid) one. This can be attributed to the low diffraction intensity (low reflectance). This issue can be simply resolved by employing strong illumination (e.g., smartphone flash light), which can directly enhance the diffraction intensity of the SAPC pattern. We confirm that, under strong illumination, the same pattern displays vivid colors on the black background while it looks very dim on the white background. According to the spherical coordinate system shown below, all the images were obtained when the light source and the camera were fixed at P0 (3 cm, 30°, −40°) and P1 (3 cm, 90°, 0°), respectively. Although the efficiency of the developed holograms is extremely low, because of mono-layered 2D nano-gratings, the imaging resolution can be enhanced by fabricating multi-layered nano-gratings and/or using high impedance mismatch between embossed patterns and substrates.

Next, we demonstrate an in-series fabrication process for producing structural colors in a controllable manner, as displayed in Fig. 1(d). A multi-colored image (i) must be converted to a black-and-white one (ii) so that each pixel (approximately 100 μm × 100 μm) becomes either black or white (iii). Particle suspension droplets are then printed only on the black pixels (iv). For example, we chose an image of Marilyn Monroe and then produced its photonic crystal pattern by injecting about 10,000 droplets into a 1.5 cm × 1.5 cm area. Photographs (v) of the SAPC pattern show various colorations on different backgrounds and under different illumination conditions. Similarly to Fig. 1(c), the pattern can be displayed under relatively strong illumination (80-W white light) on a black background but it is concealed or very dim under weak illumination on a white background (see Movie 1, Supplementary Information). Notably, the colorful pattern is not detected on the black background in daylight. Interestingly, clear and colorful images appear under strong illumination, and their coloration depends on the viewing angle (vi) (see Movie 2, Supplementary Information). Figure 1(e) shows representative photographs of structural colors produced using the same process as that used for Fig. 1(d), with the word “UNIST” on the left and a large-area pattern on the right. Considering that the structural colors from the SAPCs formed on a glass substrate are hidden under weak light (daylight) but are obvious under strong light, SAPCs can be directly applied to an anti-counterfeit technique.

Effect of surface wettability on photonic crystal patterns. Figure 2 shows SAPC patterns of the “National Treasure No. 1” of Korea, called Namdaemoon; the patterns were created on various substrates with different wettability; such as glass slides, silicon wafers, polypropylene (PP), and polydimethylsiloxane (PDMS), within a 1 cm × 1.5 cm area with a 100-μm spacing distance. The inset images of Fig. 2 show the contact angles (CAS) of distilled water droplets. As the CA increases, mono-layered (glass slide, Fig. 2(a)), multi-layered (Si-wafer, Fig. 2(b)), and dome-shaped nanostructures (PP, Fig. 2(c); PDMS, Fig. 2(d)) were obtained in this order32. Next, photographs were acquired using white-light illumination (80 W) on the four samples. Surprisingly, the mono-layered nanostructure patterns on hydrophilic surfaces emit iridescent colors, like those of an opal, and exhibit a rainbow-like pattern at different viewing angles. On the other hand, for the dome-shaped nanostructure patterns with higher dimensional layers, colorful images no longer appear, because a photonic band-gap forms outside the visible spectrum. Notably, although many researchers have attempted to develop dome-shaped photonic crystal structures and patterns33,34, these were easily obtained in this work by using PP and PDMS without any surface treatment. It is well known that dome-shaped nanostructure patterns can be used for viewing-angle-independent display applications6. However, we emphasize that the mono-layered photonic crystal patterns are as useful as the multi-layered ones for displaying viewing-angle-dependent structural colorations6,7,15–17,34.
Figure 2. **Self-assembly and patterning of photonic crystals on various substrates.** (a–d) Comparison of the self-assembly process and the structural coloration between different substrates: (a) glass, (b) a Si wafer, (c) PP, and (d) PDMS. All the patterns (Namdaemoon © National Research Institute of Cultural Heritage, under the KOGL: Korea Open Gov. License, Type No. 1) were observed on a black background. Daylight was used as the weak light for the first three columns of images (left), whereas an 80-W white illuminator was employed as the strong light for the remaining three columns of images (right).

Figure 3. **Comparison between theoretical and experimental coloration results.** (a) A basic diffraction grating model of a mono-layered SAPC pattern with diameter $d = 500$ nm. (b) The first-order diffraction spectrum with an incident angle $\theta_i = 60^\circ$. (c) The second-order diffraction spectrum with the same incident angle as b. (d) Diffused reflection measurements of the SAPC pattern when an s-polarized incident wave enters at $\theta_i = 60^\circ$ and a detector is placed at three different locations, $\theta_m = -30^\circ$, 0°, and 30°. (e) A spherical coordinate system displaying the position of the incident light $P_L(r, \theta, \phi)$ and that of the CCD camera $P_C(r, \theta, \phi)$. (f) Photographs of a 500-nm photonic crystal pattern acquired when the position of the incident light was located at $P_L(3 \text{ cm}, 30^\circ \sim 90^\circ, -30^\circ \sim 0^\circ)$ and the camera was placed at $P_C(40 \text{ cm}, 90^\circ, -80^\circ \sim 80^\circ)$ on the $x$–$y$ plane. (g) Photographs of a 400-nm photonic crystal pattern for the same light and camera positions as f.
Numerical calculation, measurement, and structural coloration of SAPC patterns. We theoretically support the observed structural coloration with basic diffraction theory\(^{35,36}\). Figure 3(a) shows a grating model of SAPCs on a hydrophilic surface (mono-layered, flat structures with the particle diameter \(d = 500\) nm). When monochromatic blue spectra enter the grating element, the spectral components are diffracted into multiple colorful rays in different angular directions. The angles of the diffracted rays are related to the size of the particles and the angle of the incident light as expressed in Equation (1):

\[
m \lambda = d (\sin \theta_i + \sin \theta_m)
\]

where \(m\) is the order of the reflected ray, \(\lambda\) is the wavelength, \(\theta_i\) is the incident angle of the light (plane wave), and \(\theta_m\) is the angle of reflection relatively to the normal plane. The first-order \((m = 1)\) and second-order \((m = 2)\) diffractive rays are obtained by a numerical grating calculation. In the case of an SAPC pattern with an equally sized bare PDMS slab on top of the SAPC patterns. As a result, the patterns were sandwiched for permanent anti-counterfeiting use. This arrangement was proven to be robust for long-term use.

Covert-overt transformation of SAPC patterns. Figure 4 shows a simple bi-directional transformation between covert and overt patterns, achieved by manipulating the background and/or illumination intensities. First, we produced a pattern on an oxygen-plasma-treated PDMS slab with hydrophilic wettability and flexibility (CA = 10\(^\circ\) and thickness 200\,\mu m), whose surfaces were well-attached to the glass surfaces\(^{38}\). Interestingly, the pattern could be concealed on a conventional chemical glass bottle but became detectable with a smartphone camera flash or light (Fig. 4(a)). Figure 4(b) shows that the same pattern fabricated on a bare glass substrate did not exhibit a colorful image under daylight, but did so under strong light illumination (see Movie 3, Supplementary Information). A more realistic anti-counterfeiting application was demonstrated with a paper bill (Fig. 4(c)) and a credit card (Fig. 4(d)), on which clear images were obtained under strong illumination and on a black background. Thus, concealed photonic prints can be obtained by controlling the light density and background color. Notably, the covert transformation feature of photonic crystal patterns has never been reported in the previous literature. In particular, mono-layered nanostructure patterns based on 2D nanogratings have been attracting less attention than multi-layered ones (photonic band-gaps) although they can be very useful in visual judgement-based anti-counterfeiting applications. The fact that SAPC patterns are not stable on substrates may cause a problem in practical applications; however, this problem was easily resolved by attaching an equally sized bare PDMS slab on top of the SAPC patterns. As a result, the patterns were sandwiched for permanent anti-counterfeiteit use. When rainbow spectra enter the grating element, the spectral components are diffracted into multiple colorful rays in different angular directions. This can be attributed to subwavelength-periodicity in 10\(^\circ\) increments from \(d\phi\).
moved to higher wavelengths as the number density of the SAPC patterns increased because of the increase in intensity. In addition, when the viewing angle (camera position) changed, as shown in Fig. 5(c), we observed a profile shift of the RGB histograms, thus ensuring the realization of a highly sensitive anti-counterfeit system with high resolution. Namely, greater |φ| values produced greater shifts of the RGB histograms. Notably, this shows good agreement with Figs S8–S10 (Supplementary Information). In this context, we concluded that the pattern

Figure 4. Covert-overt transformation of SAPC patterns for anti-counterfeiting applications. (a) An SAPC pattern of a logo is normally hidden in daylight but is revealed by additional illumination using a smartphone flash (iPhone 5). (b) An SAPC pattern on a PDMS slab attached to a bare glass substrate, which shows color variations that depend on viewing angles and light intensities. (c) An SAPC pattern on a paper bill is usually covert but is overt under a bright light. (d) An SAPC pattern (indicated with the green rectangle) can be overt as well as covert whereas the hologram (in the red rectangle) made by the manufacturer is always overt.

Figure 5. RGB histograms of SAPC patterns for an anti-counterfeite system. (a) A conceptual anti-counterfeit system for a credit card, consisting of a light source (white LED) and three detectors (D1, D2, and D3). (b) 8-bit CCD images of 1 cm × 1 cm SAPC patterns with different pattern densities (6, 10, and 14 out of 36 unit dots) were obtained by a regular smartphone at Pc(40 cm, 90°, 30°) and then separated into red, green, and blue histograms, respectively. Different pattern densities exhibit different RGB histograms. (c) The histograms of 8-bit CCD images acquired at different viewing angles, such as φ = 10°, 20°, and 30° (i.e., Pc(40 cm, 90°, 10°~30°)), show significant profile shifts.
density and the viewing angle dependency increase the cryptographic capabilities of SAPC patterns, and the multiple use of light sources and detectors enhances the security and reliability of the anti-counterfeit capacity.

Finally, we assessed the repeatability of SAPC patterns. As shown in Fig. S11, three SAPC patterns were produced as identically as possible by using the exactly same printing conditions but they resulted in microscopically and nanoscopically different patterns. Not only the perimeter of SAPC nanostructures was different from each other but also the centre-to-centre alignment was slightly distorted. This seems to be caused by the systematic uncertainties related to different droplet evaporation conditions, various droplet flight trajectories from the nozzle to the substrate, locally non-uniform surface morphologies and wettabilities, and so on during the printing and self-assembly. However, the patterns showed almost the same RGB histograms as shown in Fig. S12. Therefore, we confirmed that the inkjet-printing method can guarantee the sufficient fabrication repeatability of SAPC patterns for practical anti-counterfeiting applications.

Conclusion
In conclusion, we demonstrated a new class of anti-counterfeit techniques using 2D nanograting patterns that were artificially designed and produced by inkjet printers. We confirmed the bi-directional, covert-overt transformation of photonic crystal patterns in a very simple manner, by using smartphone light and a black background. Furthermore, we established the capability of 2D nanogratings to achieve optical encryption and decryption using the RGB histograms of colorful images obtained by a regular smartphone. Lastly, we emphasize several unique features that distinguish the proposed technique from other alternatives: facile fabrication of various 2D nanogratings (simplicity, cost-effectiveness, and convenience), extremely low-reflectance engineering (covert), holographic anti-counterfeiting with low security based on covert-overt transformation, and optical encryption/decryption of unique SAPC patterns for sophisticated anti-counterfeit systems.

Methods
Reagent and materials. Monodisperse plain silica particles (10% w/v) with diameters of 400 nm and 500 nm (Polysciences, Warrington, USA) were used. To manipulate the final working concentration of the particles, the following centrifugal steps were employed. First, a 1000 μL solution of silica particles as delivered was centrifuged at 4000 rpm for 12 minutes, and then the solution was removed for a high concentration particle suspension (>20% w/v) or diluted with deionized water for a low concentration particle suspension (<10% w/v). Then, the particle suspension was mixed with FA (Sigma Aldrich, Korea) for the final concentration to be 20% v/v to control evaporation time for better self-assembly. The prepared, mixed particle suspension was treated ultrasonically for 10 minutes for complete dispersion. In parallel, four different substrates were prepared to investigate effects of hydrophobicity and hydrophilicity of substrates on the crystal formation and self-assembly. However, the patterns showed almost the same RGB histograms as shown in Fig. S11.

Materials printer. We used a piezoelectric drop-on-demand inkjet printer manufactured by Fujifilm Dimatix, Inc. (DMP-2800, CA, USA) with a cartridge (Model No. DMC-11610) that supports 10 pL droplets. These were used in all of the experiments. The printer head consists of 16 nozzles in a row with a 254 μm spacing distance. Each nozzle is approximately 21.5 μm in diameter and their operation can be controlled individually. The center-to-center drop spacing is adjustable in one-micron increments within a 5 μm range and is dependent upon the dpi setting. The 5 μm drop spacing corresponds to a 5080 dpi maximum printing resolution while 254 μm corresponds to a 100 dpi minimum printing resolution. Mainly 254 dpi corresponding to a drop spacing of 100 μm was used because it kept an appropriate distance to prevent overlapping between droplets.

Spectrum measurement. Spectra were measured by using a spectrophotometer (Cary 5000 UV-vis-NIR, Santa Clara, CA, USA) in order to quantitatively measure diffractive rays. Figure S5(a) (Supplementary Information) shows the experimental setup used to obtain diffused and specular reflections. In this experiment, we used an SAPC pattern with diameters of 500 nm on a Si-wafer substrate. A diffraction grating model of a mono-layered photonic crystal pattern is shown in Fig. S5(b) (Supplementary Information) and the comparison between diffused (θm = 0°) reflection and specular reflection (θm = 60°) is displayed in Fig. S5(c) (Supplementary Information) when an s-polarized incident wave enters at θi = 60°. As expected, the specular reflection occupies the greater part of the net reflection. Figure S5 (Supplementary Information) shows the measured diffracted spectra of an s-polarized incident wave with an incidence angle of θi = 45° and 30°, respectively. In these cases, most diffracted reflections appear at the blue light of the visible spectrum.

Experimental setup and data analysis. The CA of various substrates was measured by gently dropping deionized water droplets of 2 μL onto the various substrates and then using a goniometer equipped with an optical system and a CCD camera (Model 200, Ramé-Hart Instrument Co., Succasunna, NJ, USA) to perform measurements. All the CAs reported in this study have been averaged from at least 10 measurements. Scanning electron microscope (SEM) images were taken and utilized to study the morphology and spatial distribution of the resulting silica nanostructures/deposits (S-4800, Hitachi, Japan). Micrographs were taken by using a high-resolution CCD camera (Eclipse 80i, Nikon, Japan). Two types of CCD cameras were used; an SLR CCD camera (Nikon D300, Nikon, Japan) for high quality images and an iPhone 5 for regular images. Camera positions were carefully manipulated by using a custom zig setup as shown in Fig. S6 (Supplementary Information) to obtain images from different viewing angles. All microscopic images were processed and quantified using Image J (NIH, Bethesda, MD, USA) and graphs were drawn using OriginPro 8 (OriginLab, Wheeling, IL, USA).
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Author Contributions
T.K. conceived the concepts, H.N. and D.H. performed all experiments, and K.S. performed the numerical simulations. T.K., H.N. and K.S. discussed and interpreted all the results and wrote the paper. All the authors reviewed and approved the manuscript.
