Tapered vs. Uniform Tube-Load Modeling of Blood Pressure Wave Propagation in Human Aorta

Azin Mousavi\(^1\), Ali Tivay\(^1\), Barry Finegan\(^2\), Michael Sean McMurtry\(^3\), Ramakrishna Mukkamala\(^4\) and Jin-Oh Hahn\(^1\)*

\(^1\) Department of Mechanical Engineering, University of Maryland, College Park, MD, United States, \(^2\) Department of Anesthesiology and Pain Medicine, University of Alberta, Edmonton, AB, Canada, \(^3\) Department of Medicine, University of Alberta, Edmonton, AB, Canada, \(^4\) Department of Electrical and Computer Engineering, Michigan State University, East Lansing, MI, United States

In this paper, tapered vs. uniform tube-load models are comparatively investigated as mathematical representation for blood pressure (BP) wave propagation in human aorta. The relationship between the aortic inlet and outlet BP waves was formulated based on the exponentially tapered and uniform tube-load models. Then, the validity of the two tube-load models was comparatively investigated by fitting them to the experimental aortic and femoral BP waveform signals collected from 13 coronary artery bypass graft surgery patients. The two tube-load models showed comparable goodness of fit: (i) the root-mean-squared error (RMSE) was 3.3\(\pm\)0.11 mmHg in the tapered tube-load model and 3.4\(\pm\)0.11 mmHg in the uniform tube-load model; and (ii) the correlation was \(r = 0.98\pm0.02\) in the tapered tube-load model and \(r = 0.98\pm0.01\) mmHg in the uniform tube-load model. They also exhibited frequency responses comparable to the non-parametric frequency response derived from the aortic and femoral BP waveforms in most patients. Hence, the uniform tube-load model was superior to its tapered counterpart in terms of the Akaike Information Criterion (AIC). In general, the tapered tube-load model yielded the degree of tapering smaller than what is physiologically relevant: the aortic inlet-outlet radius ratio was estimated as 1.5 on the average, which was smaller than the anatomically plausible typical radius ratio of 3.5 between the ascending aorta and femoral artery. When the tapering ratio was restricted to the vicinity of the anatomically plausible typical value, the exponentially tapered tube-load model tended to underperform the uniform tube-load model (RMSE: 3.9\(\pm\)0.11 mmHg; \(r = 0.97\pm0.02\)). It was concluded that the uniform tube-load model may be more robust and thus preferred as the representation for BP wave propagation in human aorta; compared to the uniform tube-load model, the exponentially tapered tube-load model may not provide valid physiological insight on the aortic tapering, and its efficacy on the goodness of fit may be only marginal.

Keywords: tube-load model, aorta, blood pressure, wave propagation, aortic tapering, pulse transit time, reflection constant
INTRODUCTION

Cardiovascular disease (CVD) is the leading cause of mortality and morbidity that imposes profound impact on health and economy in the United States as well as globally (Benjamin et al., 2018). According to the recent statistics reported by the American Heart Association, CVD is currently responsible for more deaths each year than cancer and chronic lower respiratory disease (which are the second and third cause of death, respectively) combined in the United States. In addition, CVD represents a considerable economic burden to the society: by 2035, 45.1% of the population in the United States would have some form of CVD and total costs of CVD are expected to reach $1.1 trillion. In 2014, a total number of 7.4 million cardiovascular (CV) surgical procedures were performed in the United States, which was one of the two most frequently performed surgical procedures.

To date, non-invasive brachial arterial blood pressure (BP) measured by the auscultation technique remains the mainstay of CV health and disease assessment (Black et al., 1997; Miura et al., 2001; Amar, 2002; Lewington et al., 2002; Haider et al., 2003; Turnbull et al., 2003; Palmieri et al., 2006). However, recent work has suggested that central aortic BP measured in the vicinity of the heart may serve as superior signature of CV health and disease to the conventional brachial BP (Safar et al., 2002; Roman et al., 2007, 2010; Ferguson et al., 2008; Jankowski et al., 2008; Pini et al., 2008; Vlachopoulos et al., 2010; McEniery et al., 2014; Ochoa et al., 2018). Regardless, the widespread use of central aortic BP for CV health and disease assessment has been largely hampered by the challenges associated with its direct measurement, including the requirement for inconvenient and risky clinical procedures as well as trained operators [e.g., cardiac catheterization (Sharman et al., 2006; Ding et al., 2011; Fazeli et al., 2014; McEniery et al., 2014) and carotid artery tonometry (Chen et al., 1996; Gallagher et al., 2004)].

To exploit the superior clinical value of the central aortic BP while still leveraging the convenience of distal (e.g., brachial) BP measurement, many attempts have been made to derive central aortic BP from distal BP measurement(s). Currently prevalent approach is a population-based, frequency-domain transformation known as the Generalized Transfer Function (GTF), which converts a distal [e.g., brachial (Sharman et al., 2006; Cheng et al., 2012; Shih et al., 2013)] or radial (Chen et al., 1997; Cameron et al., 1998; Hope et al., 2007)] arterial pulse waveform into central aortic BP waveform. While demonstrated to be effective in some prior work, the GTF technique has a critical shortcoming: the GTF is not patient-specific by nature, whereas the characteristics associated with the propagation of BP waves in the arteries exhibit a large degree of inter- and intra-individual variability (Rashedi et al., 2013). Hence, the efficacy of the GTF technique can be variable (Hope et al., 2003). Individualizing the GTF can present significant challenges, since its expression involves a great number of parameters associated with the magnitude and phase responses at multiple frequencies.

Tube-load (TL) model has the potential to serve as an alternative to the frequency response model used in the GTF technique as well as to offer new opportunities toward patient-specific assessment of CV health by virtue of its two unique strengths: (i) it is characterized by a small number of parameters as opposed to the frequency response model, thus facilitating individualization with small amount of data; and (ii) all its parameters are equipped with physiological implications, thus facilitating the assessment of patient-specific CV health based on the individualized TL model parameters in conjunction with the BP waveform data. In fact, when combined with techniques for estimating subject-specific TL model parameters, the TL model has been shown to be very useful in estimating and monitoring arterial hemodynamic indices (Zhang et al., 2011). The most well-known TL model is the uniform lossless TL model (Zhang et al., 2011), in which an artery of interest is modeled as a uniform lossless tube (or transmission line) terminated with a load that is in general frequency dependent. In conjunction with the advances in novel techniques for estimating subject-specific parameters therein [e.g. (i) by fitting the TL model to diametric (such as arm and leg) BP waveforms and exploiting the fact that both the diametric BP waveforms originate from the unknown yet common central BP (Swamy et al., 2007; Hahn et al., 2009), or (ii) by fitting it to a distal BP waveform with physiologically relevant constraints that central blood flow is zero during diastole and/or central BP is smoother than distal BP (Swamy et al., 2009; Hahn et al., 2012; Hahn, 2014)], the uniform lossless TL model has been shown to be effective and robust in providing valuable insights related to the CV hemodynamics (Sipkema and Westerhof, 1975; Shroff et al., 1995; Burattini and Campbell, 2000; Westerhof et al., 2008; Swamy et al., 2010; Fazeli et al., 2014; Gao et al., 2014; Kim et al., 2015; Ghasemi et al., 2018).

Despite its success thus far, the simplicity of the uniform lossless TL model motivates investigations for its potential improvement by incorporating more realistic components. In particular, arteries exhibit tapering, bifurcations, and BP loss. In a series of our prior work, we investigated the TL models equipped with bifurcations and pressure loss to demonstrate that such extension of the uniform lossless TL model may lead to small but statistically significant improvement in its goodness of fit. As a follow-up work, the goal of this study was to investigate if there is any benefit in incorporating the geometric tapering into the TL model. To achieve the goal, this study comparatively investigated the tapered vs. uniform TL models as mathematical representation for BP wave propagation in human aorta. The relationship between the aortic inlet and outlet BP waves was formulated based on the exponentially tapered and uniform TL models. Then, the validity of the two TL models was comparatively investigated by fitting them to the experimental aortic and femoral BP waveform signals collected from 13 coronary artery bypass graft surgery patients.

This paper is organized as follows. Experimental data, the TL models, and the data analysis details are given in section Methods. Section Results presents key results, which are interpreted and discussed in section Discussion. Section Conclusion provides conclusions derived from the study.
METHODS

Experimental Data
The experimental data collected in our prior work (Rashedi et al., 2013; Fazeli et al., 2014) were used. In brief, invasive central aortic and femoral BP data were collected from 13 patients undergoing coronary artery bypass graft with cardiopulmonary bypass. Inclusion criteria were: (i) ages 18–80 years, inclusive, and (ii) scheduled for coronary artery bypass graft procedure with cardiopulmonary bypass. Exclusion criteria were: (i) scheduled for heart surgery other than coronary artery bypass which can incur distortion of central aortic BP waveform (e.g., aortic valve repair, etc.), (ii) female of childbearing potential, (iii) emergency surgery, and (iv) body mass index >35. The study was carried out in accordance with the recommendations of the University of Alberta Health Research Ethics Board with written informed consent from all subjects. All subjects gave written informed consent in accordance with the Declaration of Helsinki. The protocol was approved by the University of Alberta Health Research Ethics Board (ID Pro00021889).

Data used in this work were collected right before or after the cardiopulmonary bypass. Following the induction of anesthesia and before the cardiopulmonary bypass, a catheter was inserted into the femoral artery. Then, a cannula was inserted into the ascending aorta by a surgeon immediately before or after the cardiopulmonary bypass. Then, ascending aortic and femoral arterial BP waveforms were recorded at a sampling rate of 1 kHz for up to 2 min.

Tube-Load Models
In this study, a variant of the exponentially tapered TL model of the aorta developed by Fogliardi et al. (1997) and a uniform TL model were investigated (Figure 1). The tapered TL model relates the aortic inlet (P( jo, 0)) and outlet (P( jo, L)) pressures by way of the following transfer function (see Appendix for details):

\[ \frac{P( jo, L)}{P( jo, 0)} = H( jo, |\theta_1, \theta_2, \theta_3) \]

\[ = \frac{2\theta_1}{\theta_3} e^{-\frac{3}{2} \theta_3} + j\omega \theta_2 e^{j\theta_2} \left( \frac{2\theta_1}{\theta_3} - j\omega \theta_2 e^{j\theta_2} \right) e^{-2\theta_1} \]

(1)

where \( \theta_1 = qL \), \( \theta_2 = \tau = L\sqrt{L/c_0} \), \( \theta_3 = \frac{R_p}{c_0} \), and \( \theta = \frac{\theta_1^2}{\theta_2} + (j\omega \theta_2)^2 \). The parameters are equipped with the following physical meanings: (i) \( q \) denotes the rate of tube tapering; (ii) \( L \) denotes the tube length; (iii) \( \tau \) denotes the pulse transit time (PTT), time taken for the BP wave to travel from the inlet to the outlet of the aorta (Mukkamala et al., 2015); (iv) \( L_0 \) and \( c_0 \) denote the inerance and compliance per unit length at the tub inlet; and (v) \( R_p \) and \( Z_c \) denote the terminal load resistance and tube characteristic impedance at the tube inlet, respectively. The uniform TL model is derived as a simplified case of the tapered TL model when \( q = 0 \) (meaning that there is no

![FIGURE 1](image)

**FIGURE 1** | Exponentially tapered (A) vs. uniform (B) tube-load (TL) models. Exponentially tapered TL model is characterized by 3 parameters: \( \theta_1 = qL \), \( \theta_2 = \tau = L\sqrt{L/c_0} \), \( \theta_3 = \frac{R_p}{c_0} \), where \( q \) denotes the rate of tube tapering; \( L \) denotes the tube length; \( \tau \) denotes the pulse transit time (PTT); \( L_0 \) and \( c_0 \) denote the inerance and compliance per unit length at the tube inlet; and \( R_p \) and \( Z_c \) denote the terminal load resistance and tube characteristic impedance at the tube inlet, respectively. Uniform TL model is derived as a special case of exponentially tapered TL model when \( q = 0 \) (meaning that there is no

| TABLE 1 | The validity metrics of the tapered vs. uniform TL models, including the root-mean-squared error (RMSE), correlation coefficient (r value), and the number of TL models associated with the smallest Akaike Information Criterion (AIC) values. |

| RMSE [mmHg] | Correlation Coefficient | AIC |
|-------------|-------------------------|-----|
| Outlet BP   | Inlet BP                | Outlet BP | Inlet BP |
| Tapered TL  | 3.3+/−1.1               | 2.5+/−1.1      | 0.98+/−0.02 | 0.98+/−0.01 | 6 |
| Tapered TL (1.7 ≤ q ≤3) | 3.9+/−1.1*               | 2.8+/−1.1*      | 0.97+/−0.02† | 0.98+/−0.01* | 0 |
| Uniform TL  | 3.4+/−1.1               | 2.8+/−1.0*      | 0.98+/−0.01 | 0.98+/−0.01* | 7 |

*Significantly different from tapered TL (p < 0.05 with Holm-Bonferroni correction). †Significantly different from uniform TL (p < 0.05 with Holm-Bonferroni correction).
tapering). Given that \( \theta_1 = 0 \) and \( \Delta = j \omega \tau \) when \( q = 0 \), Equation (1) reduces to the following:

\[
\frac{P(j\omega,L)}{P(j\omega,0)} = H(j\omega|\theta_1 = 0, \theta_2, \theta_3) = \frac{2\theta_3}{(\theta_3 + 1)} e^{\theta_3 \omega^2} + (\theta_3 - 1) e^{-j\omega \theta_2}
\]

(2)

It is noted that \( \theta_1 \) implies the absolute extent of tapering between the tube inlet and outlet cross-sectional radii: \( \frac{r(L)}{r(0)} = e^{-\frac{qL}{2}} \). In addition, the reflection constant at the tube-load interface is given by \( \Gamma = \frac{\theta_3 - 1}{\theta_3 + 1} \).

**Data Analysis**

The validity of the tapered and uniform TL models was investigated and compared by fitting the models to the ascending aortic and femoral arterial BP waveforms associated with each subject on an individual basis. Details follow.

In each subject, a 15 beat-long pair of ascending aortic and femoral arterial BP waveforms were extracted from the recorded data and then down-sampled at 100 Hz. The first 10 beat-long data (called the training data) were used for model fitting, while the remaining 5 beat-long data (called the testing data) were used for assessing the validity of the models thus fitted. In this way, the TL models could be tested in the same CV state as when they were trained using the data not presented in the training process. For the sake of model fitting, the following optimization problem was solved using MATLAB and its Optimization Toolbox in order to derive the optimal parameter estimates \( \theta^* \) associated with each subject from the training data:

\[
\theta^* = \arg \min_{\theta \in \Omega_\theta} \left\| P(t,L) - \hat{P}(t,L|\theta) \right\|
\]

(3)

where \( P(t,L) \) is the aortic outlet BP (i.e., the femoral arterial BP) at time \( t \), and \( \hat{P}(t,L|\theta) \) is the aortic outlet BP at time \( t \) predicted by the (tapered or uniform) TL model equipped with the parameters \( \theta = \{\theta_1, \theta_2, \theta_3\} \), when the aortic inlet BP (i.e., the ascending aortic BP) data were inputted. The domain \( \Omega_\theta \) was defined as \( \Omega_\theta = \{\theta|\theta_1 > 0, \theta_2 > 0, \theta_3 > 0\} \) based on the physical meanings of the TL model parameters. The model-predicted aortic outlet BP \( \hat{P}(t,L|\theta) \) was computed as follows. Given the set of TL model parameters \( \theta \) at each iteration of optimization, the aortic inlet BP signal \( P(t,0) \) in the time domain was transformed via the fast Fourier transform (FFT) to yield the frequency-domain signal \( P(j\omega,0) \). Then, the frequency-domain aortic outlet BP signal \( \hat{P}(j\omega,L|\theta) \) was computed as follows:

\[
\hat{P}(j\omega,L|\theta) = H(j\omega|\theta_1, \theta_2, \theta_3) P(j\omega,0)
\]

(4)
Finally, \( \hat{P} (t, L|\theta) \) was transformed via the inverse FFT to yield the time-domain signal \( \hat{P} (t, L|\theta) \).

It is well-known that PTT is the most critical high-sensitivity parameter in the uniform TL model (Sugimachi et al., 2001; Fazeli et al., 2014). Our parametric sensitivity analysis of the tapered tube-load model indicated that PTT is likewise the most critical high-sensitivity parameter in the tapered TL model. Hence, the TL model fitting problem in (3) was solved by (i) sweeping \( \theta_2 \) over a physiologically plausible range and (ii) \( \theta_1 \) and \( \theta_3 \) were determined for each value of \( \theta_2 \). In deriving the optimal \( \theta_1 \) and \( \theta_3 \) associated with each \( \theta_2 \), multiple (85) initial guesses were employed to ensure that the solution obtained from the optimization problem corresponds to (or at least is very close to) global minimum. For each \( \theta \) associated with each of the \( \theta_2 \) values examined, the cost function in Equation (3) was evaluated. Then, \( \theta \) associated with the minimum cost function value was determined as \( \theta^* \). In this way, the integrity and accuracy of the estimated TL model parameters was maximized.

The validity of the TL models was then assessed using both testing and training data. The testing data were employed to assess (i) the goodness of fit including the root-mean-squared error (RMSE) and correlation coefficient (r value) between the measured aortic outlet BP waveform vs. model-predicted aortic outlet BP waveform derived from the aortic inlet BP waveform as well as (ii) the accuracy-complexity trade-off via the Akaike Information Criterion (AIC), and also (iii) the goodness of fit including the root-mean-squared error (RMSE) and correlation coefficient (r value) between the measured aortic inlet BP waveform vs. model-predicted aortic inlet BP waveform derived from the aortic outlet BP waveform (to assess the ability of the two TL models as the basis for estimating central from distal BP). The AIC was computed as follows:

\[
AIC = N \ln \frac{1}{N} \sum_{i=1}^{N} \left[ P(t, 0) - \hat{P}(t, 0|\theta^*) \right]^2 + 2K + 2K(K+1) \left( \frac{1}{N - K - 1} \right) \]

where \( N \) is the size of the testing data and \( K \) is the number of model parameters. The first term rewards the goodness of fit, while the second term penalizes the complexity. The third term is intended to compensate for the limited size of the testing data (Burnham and Anderson, 2003). These metrics were first computed for both the tapered and uniform TL models on the subject-by-subject basis and then were aggregated across all subjects for comparison. For the RMSE and r value, mean and standard deviation (SD) were computed. The statistical significance in the difference in these metrics was determined using the Wilcoxon signed-rank test with the Holm-Bonferroni correction for multiple comparisons. For the AIC, the tapered vs. uniform TL models were compared in terms of the number of subjects in which the AIC metric was smaller (note that smaller AIC implies superior accuracy-complexity trade-off).

The training data were employed to assess (i) the frequency response of the two TL models in comparison to the data-based non-parametric frequency response in individual subjects as well as (ii) the physiological relevance of PTT values associated with the two TL models in comparison to the PTT derived directly from the aortic inlet and outlet BP waveforms using the intersecting tangent method (Gaddum et al., 2013).

**RESULTS**

Table 1 summarizes the validity metrics of the tapered vs. uniform TL models, including the RMSE, correlation coefficient (r value), and the number of preferred TL model (tapered vs. uniform) in terms of the AIC values. Figure 2 shows representative aortic outlet (femoral) BP waveforms derived from the two TL models associated with (a) \( \theta_1 = qL \leq 0 \) and (b) \( \theta_1 = qL = 0.6 \) when aortic inlet (central aortic) BP waveform was inputted. Figure 3 shows representative frequency responses of the two TL models associated with (a) \( \theta_1 = qL \leq 0 \) and (b) \( \theta_1 = qL = 0.6 \) in comparison with the non-parametric frequency response derived directly from the aortic inlet and outlet BP waveforms. Table 2 summarizes the parameter values estimated for the two TL models. Figure 4 compares the individual-specific PTT and reflection constant values between the two TL models. Figure 5 shows the individual-specific PTT values associated with the two TL models in comparison to the PTT values derived directly from the aortic inlet and outlet BP waveforms.

**DISCUSSION**

The uniform TL model has the potential to enable patient-specific assessment of CV health with its minimal number of physiologically interpretable model parameters that may be individualized using small amount of data. Despite its demonstrated success in CV health and disease monitoring applications, opportunities exist for its potential improvement by incorporating realistic components. In this study, the effect of adding an exponential tapering to the TL model as an approximation for aortic geometric tapering on its predictive performance and physiological relevance was investigated.

The exponentially tapered and uniform TL models exhibited comparable goodness of fit for the aortic outlet BP whose differences were not statistically significant, both in terms of RMSE and correlation coefficient (Table 1 and Figure 2). The two TL models also exhibited frequency responses comparable to the non-parametric frequency response derived from the aortic and femoral BP waveforms in most patients (Figure 3; note that the non-parametric frequency response may not be meaningful beyond \( \sim 30 \text{ rad/s} \) considering that the energy associated with
the arterial BP waveform is concentrated in the heart rate and its 3–4 harmonics and that the heart rate of the subject is \( \sim 1 \) Hz, Figure 2). As a consequence, the uniform TL model was superior to the exponentially tapered TL model in terms of AIC by virtue of its smaller number of requisite parameters (uniform: 2; tapered: 3): the former was preferred to the latter in 7 out of 13 subjects (Table 1). Although the number of subjects in which the uniform TL model was preferred to its tapered counterpart was not dominant, the difference in the goodness of fit between the two TL models was small even in the remaining 6 subjects in which the tapered TL model was preferred to its uniform counterpart (<3% on the average in terms of RMSE; see Figure 2B). Further, the frequency responses were quite comparable in the 6 subjects in which the tapered TL model was preferred to its uniform counterpart (see Figure 3B). On the other hand, there was a notable difference between the two TL models in only 2 subjects. Hence, it may be argued that the uniform TL model is a viable model to represent the BP wave propagation in the aorta, and that the benefit of using the exponentially tapered TL model may not be large. As an additional note, the goodness of fit for the aortic inlet BP associated with the same TL models showed that the tapered TL model was significantly superior to its uniform counterpart both in terms of RMSE and correlation coefficient. Yet, the absolute amount of difference was only marginal.

The comparable goodness of fit between the exponentially tapered and uniform TL models was supported by the values of the tapering constant \((q_L)\) estimated for the exponentially tapered TL model: it was estimated to be 0.6 on the average, which corresponds to the aortic inlet-outlet radius ratio of 1.5. In addition, its value exhibited a large degree of inter-individual variability (1.17 in terms of the coefficient of variation). Interestingly, the tapering constant was very close to zero (corresponding to zero tapering and aortic inlet-outlet radius ratio of 1) in 6 out of the 13 subjects investigated in this study (including the subject shown in Figure 2A), while in no subjects its value was large enough to be compatible with the anatomically plausible typical value associated with the femoral artery (\(\sim 2.5\), which corresponds to the aortic inlet-outlet radius ratio of \(\sim 3.5\) Wang and Parker, 2004; Matthys et al., 2007; Reymond et al., 2009). Despite its relatively small value, the aortic tapering also impacted the remaining parameters in the TL model. In particular, the PTT values derived from the fitting associated with the uniform TL model were comparable to the PTT measured directly from the aortic inlet and outlet BP waveforms (72+/−12 [ms]), whereas the PTT values derived from the fitting associated with the tapered TL model were significantly different from the PTT measured directly from the data (Table 2 and Figures 4, 5). In fact, the tapered TL model exhibited a tendency of
overestimating the PTT relative to the direct PTT measurements across all subjects (Figure 5). In addition, the tapered TL model had significantly larger reflection constants than the uniform TL model (Table 2). These trends can be explained by analyzing the parametric sensitivity of the frequency response associated with the tapered TL model [especially, the amplitude ($M_G$) and location ($F_G$) of its first peak, which may be the most practically critical peak considering the limited frequency contents of the aortic BP signals (Hahn et al., 2009); Figure 6]. First, an increase in the tapering constant decreases the amplitude $M_G$ of the first peak in the frequency response while increasing its frequency coordinate $F_G$ (Figure 6A). Second, an increase in the PTT in the tapered TL model decreases $F_G$ (Figure 6B). Third, an increase in the reflection constant in the tapered TL model increases $M_G$ while decreasing $F_G$ (Figure 6C). Putting them altogether, the presence of aortic tapering in the tapered TL model is compensated for by the larger PTT and reflection constant relative to the uniform TL model (i.e., tapered TL model with zero aortic tapering), in such a way that the amplitude ($M_G$) and location ($F_G$) of the first peak in the frequency response associated with the two TL model remain the same as dictated by the data to be fitted.

When the tapering constant was constrained in solving the model fitting problem in Equation (3) to restrict the aortic inlet-outlet radius ratio in the vicinity of its anatomically plausible value ($1.7 \sim 3.0$), the exponentially tapered TL model underperformed the uniform TL model both in terms of RMSE and correlation coefficient (Table 1). Interestingly, the tapering constant was estimated to be its lower bound in most subjects (11 out of 13), which suggests that the exponentially tapered TL model tends to somewhat minimize the degree of aortic tapering in order to maximize its goodness of fit. In addition, perhaps due to the restrictions imposed on the tapering constant, the values of PTT and reflection constant were significantly different from those associated with the uniform TL model (Table 2). It is noted that both PTT and reflection constant were significantly over-estimated relative to the uniform TL model, consistently with the insight obtained from the parametric sensitivity analysis in Figure 6.

On the one hand, the results all in all suggest that exponential aortic tapering may not be physiologically relevant for at least two reasons. First, the TL model with exponential tapering tends to fall back to the uniform TL model as it is fitted to the experimental data. Second, the TL model with exponential aortic tapering exhibited poor predictive accuracy than the uniform TL model if anatomically plausible aortic tapering was enforced. In fact, this finding may be corroborated by a prior study, which showed that the tapered TL model did not exhibit superiority to its uniform counterpart in representing the aortic impedance (Fogliardi et al., 1997). On the other hand, the results may not be simply interpreted as there being no value in incorporating the aortic tapering into TL models in general. In fact, available anatomical data on the aortic radius with respect to the distance from the heart (Wang and Parker, 2016).
2004; Matthys et al., 2007; Reymond et al., 2009) could be fitted reasonably well with an exponential function ($r > 0.97$; Figure 7). In addition, aortic tapering is known to be associated with continuous wave reflection (Segers and Verdonck, 2000) as well as the distribution of atherosclerosis (Sundell and Roach, 1998). One plausible explanation for the limited benefit of incorporating aortic tapering in the TL model is that the aortic tapering estimated for the tapered TL model considered in this work includes the influence of aortic branching, which was not explicitly modeled in this work but is known to offset the aortic tapering to yield impedance matching in the forward direction (Noordergraaf, 1978). In particular, considering that femoral BP was used as aortic outlet BP in this work and also that aortic branching rather than geometric tapering may be the primary factor responsible for the reduction of arterial diameter beyond the abdominal aorta, it may not be trivial to interpret the tapering constant estimated in this work. In this regard, it may be worth investigating how to appropriately incorporate both the aortic tapering and branching in order to enhance the TL models in terms of both goodness of fit and physiological relevance.

**CONCLUSION**

The results of this study suggest that the uniform TL model may be more robust and thus preferred as representation for BP wave propagation in human aorta relative to the exponentially tapered TL model. In comparison with the uniform TL model, the exponentially tapered TL model may not provide valid physiological insight on the aortic tapering, and the improvement in the goodness of fit offered by the exponential aortic tapering may only be marginal. Considering that exponential aortic tapering is relevant from physiological standpoint, future work on more rigorous investigation and refinement of exponentially tapered TL model will be rewarding.

**DATA AVAILABILITY**

The datasets for this manuscript are not publicly available because no IRB approval and participant consent were obtained for making the data publicly available. Requests to access the datasets should be directed to J-OH, jhahn12@umd.edu.

**ETHICS STATEMENT**

The study was carried out in accordance with the recommendations of the University of Alberta Health Research Ethics Board with written informed consent from all subjects. All subjects gave written informed consent in accordance with the Declaration of Helsinki. The protocol was approved by the University of Alberta Health Research Ethics Board (ID Pro00021889).

**AUTHOR CONTRIBUTIONS**

J-OH and RM designed the study. BF and MM conducted human subject study. AM, AT, RM, and J-OH analyzed the data. BF, MM, RM, and J-OH reviewed the data analysis results. AM and J-OH drafted and revised the manuscript. AT, BF, MM, and RM reviewed the manuscript.

**FUNDING**

This research was supported by the National Institutes of Health (Grant No. EB018818), the American Heart Association (Grant No. 18IPA34110466), and the National Science Foundation CAREER Award (Grant No. CNS-1748762). Any opinions, findings, and conclusions or recommendations expressed in this material are those of the authors and do not necessarily reflect the views of the National Institutes of Health, American Heart Association, and National Science Foundation.

**REFERENCES**

Amar, J. (2002). Hypertension control at hospital discharge after acute coronary event: influence on cardiovascular prognosis—the PREVENCOR study. *Heart* 88, 587–591. doi: 10.1136/heart.88.6.587

Benjamin, E. J., Virani, S. S., Callaway, C. W., Chamberlain, A. M., Chang, A. R., Cheng, S., et al. (2018). Heart disease and stroke statistics – 2018 update: a report from the American Heart Association. *Circulation* 137, e67–e492. doi: 10.1161/CIR.0000000000000558

Black, H. R., Cohen, J. D., Kaplan, N. M., Ferdinand, K. C., Chobanian, A. V., Dustan, H. P., et al. (1997). The sixth report of the Joint National Committee on prevention, detection, evaluation, and treatment of high blood pressure. *Arch. Intern. Med.* 157, 2413–2446. doi: 10.1001/archinte.1997.00440420030505

Burattini, R., and Campbell, K. B. (2000). Physiological relevance of uniform elastic tube-models to infer descending aortic wave reflection: a problem of identifiability. *Ann. Biomed. Eng.* 28, 512–523. doi: 10.1114/1.291

Burnham, K. P., and Anderson, D. R. (2003). *Model Selection and Multimodel Inference: A Practical Information-Theoretic Approach*, 2nd Edn. New York, NY: Springer.

Cameron, J. D., McGrath, B. P., and Dart, A. M. (1998). Use of radial artery applanation tonometry and a generalized transfer function to determine aortic pressure augmentation in subjects with treated hypertension.

Chen, C. H., Nevo, E., Fetics, B., Pak, P. H., Yin, F. C., Maughan, W. L., et al. (1997). Estimation of central aortic pressure waveform by mathematical transformation of radial tonometry pressure. *Circulation* 95, 1827–1836. doi: 10.1161/01.CIR.95.7.1827

Chen, C. H., Ting, C. T., Nussbacher, A., Nevo, E., Kass, D. A., Pak, P., et al. (1996). Validation of carotid artery tonometry as a means of estimating augmentation index of ascending aortic pulse. *Hypertension* 27, 168–175. doi: 10.1161/01.HYP.27.2.168

Cheng, H., Sung, S., Shih, Y., Chuang, S., Yu, W., and Chen, C. (2012). Measurement of central aortic pulse pressure: noninvasive brachial cuff-based estimation by a transfer function vs. a novel pulse wave analysis method. *Am. J. Hypertens.* 25, 1162–1169. doi: 10.1038/ajh.2012.116

Ding, F. H., Fan, W. X., Zhang, R. Y., Zhang, Q., Li, Y., and Wang, J. G. (2011). Validation of the noninvasive assessment of central blood pressure by the sphygmocor and omron devices against the invasive catheter measurement. *Am. J. Hypertens.* 24, 1306–1311. doi: 10.1038/ajh.2011.145

Fazelii, N., Kim, C. S., Rashedi, M., Chappell, A., Wang, S., MacArthur, R., et al. (2014). Subject-specific estimation of central aortic blood pressure via system identification: preliminary in-human experimental study. *Med. Biol. Eng. Comput.* 52, 895–904. doi: 10.1007/s11517-014-1185-3
Mousavi et al.  

Tube-Load Modeling of Human Aorta

Ferguson, J. M., Minas, J., Siapantas, S., Komesaroff, P. A., and Sudhir, K. (2008). The role of central blood pressure monitoring in the management of hypertension. *Curr. Cardiol. Rep.* 20, 41. doi: 10.1007/s11886-018-0991-x

Palmieri, V., Devereux, R., Hollywood, J., Bella, J., Liu, J., Lee, E., et al. (2006). Association of pulse pressure with cardiovascular outcome is independent of left ventricular hypertrophy and systolic dysfunction: the strong heart study. *Am. J. Hypertens.* 19, 601–607. doi: 10.1016/j.amjhypert.2005.12.009

Pini, R., Cavallini, M. C., Palmieri, V., Marchionni, N., Di Bari, M., Devereux, R. B., et al. (2008). Central but not brachial blood pressure predicts cardiovascular events in an unselected geriatric population. *J. Am. Coll. Cardiol.* 51, 2432–2439. doi: 10.1016/j.jacc.2008.03.031

Rashidi, M., Fazeli, N., Chappell, A., Wang, S., MacArthur, R., McMurtry, M. S., et al. (2013). Comparative study on tube-load modeling of arterial hemodynamics in humans. *ASME J. Biomech. Eng.* 135, 31005. doi: 10.1115/1.4023373

Reymond, P., Merenda, F., Perren, F., Rüfenacht, D., and Stergiopoulos, N. (2009). Validation of a one-dimensional model of the systemic arterial tree. *Am. J. Physiol. 297*, H208–H222. doi: 10.1152/ajpheart.00337.2009

Roman, M. J., Devereux, R. B., Kizer, J. R., Lee, E. T., Galloway, J. M., Ali, T., et al. (2007). Central pressure more strongly relates to vascular disease and outcome than does brachial pressure: the strong heart study. *Hypertension* 50, 197–203. doi: 10.1161/HYPERTENSIONAHA.107.089078

Roman, M. J., Okin, P. M., Kizer, J. R., Lee, E. T., Howard, B. V., and Devereux, R. B. (2010). Relations of central and brachial blood pressure to left ventricular hypertrophy and geometry: the strong heart study. *J. Hypertens.* 28, 384–388. doi: 10.1097/HJH.0b013e32833d4228

Safar, M. E., Blacher, J., Pannier, B., Guerin, A. P., Marchais, S. J., Guyonvarc’h, P. M., et al. (2002). Central pulse pressure and mortality in end-stage renal disease. *Hypertension* 39, 735–738. doi: 10.1161/01.hyp.0202.098323

Segers, P., and Verdonck, P. (2000). Role of tapering in aortic wave reflection: hydraulic and mathematical model study. *J. Biomech.* 33, 299–306. doi: 10.1051/s0021-9299(99)00180-3

Sharman, J. E., Lim, R., Qasem, A. M., Coombes, J. S., Burgess, M. I., Franco, J., et al. (2006). Validation of a generalized transfer function to noninvasively derive central blood pressure during exercise. *Hypertension* 47, 1203–1208. doi: 10.1161/01.HYP.0000223013.60612.72

Shih, Y. T., Cheng, H. M., Sung, S. H., Hu, W. C., and Chen, C. H. (2010). Comparison of two generalized transfer functions for measuring central systolic blood pressure by an oscillographic blood pressure monitor. *J. Hum. Hypertens.* 24, 207–210. doi: 10.1038/jhh.2012.17

Shroff, S. G., Berger, D. S., Korcarz, C., Lang, R. M., Marcus, R. H., and Miller, D. E. (1995). Physiological relevance of T-tube model parameters with emphasis on arterial compliances. *Am. J. Physiol. Circ. Physiol.* 269, H365–H374. doi: 10.1152/ajpheart.1995.269.1.H365

Sipkema, P., and Westerhof, N. (1975). Effective length of the arterial system. *J. Physiol. 243*, 57, 2833–2839. doi: 10.1109/TBME.2010.2073467

Swamy, G., Ling, Q., Li, T., and Mikkulamala, R. (2007). Blind identification of the aortic pressure waveform from multiple arterial pressure waveform arrays. *Am. J. Physiol. Heart Circ. Physiol.* 292, H2257–H2264. doi: 10.1152/ajpheart.01159.2006

Swamy, G., Olivier, N. B., and Mikkulamala, R. (2010). Calculation of forward and backward arterial waves by analysis of two pressure Waveforms. *IEEE Trans. Biomed. Eng.* 57, 2833–2839. doi: 10.1109/TBME.2010.2073467

Swamy, G., Xu, D., Olivier, N. B., and Mikkulamala, R. (2009). An adaptive transfer function for deriving the aortic pressure waveform from a peripheral artery
pressure waveform. *Am. J. Physiol. Heart Circ. Physiol.* 297, H1956–H1963. doi: 10.1152/ajpheart.00155.2009

Turnbull, F., Neal, B., Algert, C., Chalmers, J., Woodward, M., MacMahon, S., et al. (2003). Effects of different blood-pressure-lowering regimens on major cardiovascular events: results of prospectively-designed overviews of randomised trials. *Lancet* 362, 1527–1535. doi: 10.1016/S0140-6736(03)14739-3

Vlachopoulos, C., Aznaouridis, K., O’Rourke, M. F., Safar, M. E., Baou, K., and Stefanadis, C. (2010). Prediction of cardiovascular events and all-cause mortality with central haemodynamics: a systematic review and meta-analysis. *Eur. Heart J.* 31, 1865–1871. doi: 10.1093/eurheartj/ehq024

Wang, J., and Parker, K. H. (2004). Wave propagation in a model of the arterial circulation. *J. Biomech.* 37, 457–470. doi: 10.1016/j.jbiomech.2003.09.007

Westerhof, B. E., van den Wijngaard, J. P., Murgo, J. P., and Westerhof, N. (2008). Location of a reflection site is elusive. *Hypertension* 52, 478–483. doi: 10.1161/HYPERTENSIONAHA.108.116525

Zhang, G., Hahn, J. O., and Mukkamala, R. (2011). Tube-load model parameter estimation for monitoring arterial hemodynamics. *Front. Physiol.* 2:72. doi: 10.3389/fphys.2011.00072

**Conflict of Interest Statement:** The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

*Copyright © 2019 Mousavi, Tivay, Finegan, McMurtry, Mukkamala and Hahn. This is an open-access article distributed under the terms of the Creative Commons Attribution License (CC BY). The use, distribution or reproduction in other forums is permitted, provided the original author(s) and the copyright owner(s) are credited and that the original publication in this journal is cited, in accordance with accepted academic practice. No use, distribution or reproduction is permitted which does not comply with these terms.*
APPENDIX

Exponentially Tapered Tube-Load Model

In this study, a variant of the exponentially tapered tube-load model of the aorta developed by Fogliardi et al. (1997) was used. This model is explained in this Appendix. If the radius of the aorta is assumed to decrease with the exponential rate of $\frac{2}{3}$ with respect to the distance $x$ from the inlet (i.e., the aortic valve):

$$r(x) = r_0 e^{-\frac{2}{3}x} \quad (A.1)$$

where $r_0$ is the aortic inlet radius, then the aortic inertia, compliance, and characteristic impedance can be expressed as follows, according to the quadratic dependence of the aortic inertia and compliance on the radius based on the assumptions that (i) the aortic incremental Young modulus is constant and (ii) the aortic wall thickness is proportional to the aortic radius:

$$I(x) = I_0 e^{\gamma x}, \quad C(x) = C_0 e^{\gamma x}, \quad Z_c(x) = \sqrt{I(x)/C(x)} = Z_{c0} e^{\gamma x} \quad (A.2)$$

where $I(x), C(x),$ and $Z_c(x)$ are the aortic inertia, compliance, and characteristic impedance, while $I_0, C_0,$ and $Z_{c0}$ are their respective values at the aortic inlet.

The BP wave at a distance $x$ from the aortic inlet is given by the sum of the forward-traveling and backward-traveling BP waves:

$$P(j\omega, x) = P_f(j\omega, 0) e^{-(\Delta - \frac{2}{3})x} + P_b(j\omega, 0) e^{(\Delta + \frac{2}{3})x} \quad (A.3)$$

where $P_f(j\omega, 0)$ and $P_b(j\omega, 0)$ are the forward-traveling and backward-traveling BP waves at the aortic inlet, $\omega$ is frequency, $j$ is the imaginary unit ($j^2 = -1$), and $\Delta = \sqrt{\gamma^2 + \left(\frac{2}{3}\right)^2}$ is the transfer constant with $\gamma = j\omega \sqrt{l_0} c(x) = j\omega \sqrt{l_0 c_0}$ the propagation constant. The forward-traveling and backward-traveling BP waves $P_f(j\omega, 0)$ and $P_b(j\omega, 0)$ are related to each other by the wave reflection constant $\Gamma$:

$$\Gamma(j\omega, 0) = \frac{P_b(j\omega, 0)}{P_f(j\omega, 0)} = \frac{\frac{R_b}{\gamma} (\Delta - \frac{2}{3}) - Z_{c0} e^{j\omega L}}{\frac{R_f}{\gamma} (\Delta + \frac{2}{3}) + Z_{c0} e^{j\omega L}} e^{-2\Delta L} \quad (A.4)$$

where $L$ is the distance between the aortic inlet and outlet. Combining (A.3) and (A.4), the relationship between the aortic inlet and outlet BP waves is expressed as follows:

$$\frac{P(j\omega, L)}{P(j\omega, 0)} = \frac{e^{-(\Delta - \frac{2}{3})L} + \Gamma(j\omega, 0) e^{(\Delta + \frac{2}{3})L}}{1 + \Gamma(j\omega, 0)} = \frac{2 R_b}{\gamma} e^{-(\Delta - \frac{2}{3})L} + Z_{c0} e^{j\omega L} + \left[\frac{R_b}{\gamma} (\Delta - \frac{2}{3}) - Z_{c0} e^{j\omega L}\right] e^{-2\Delta L} \quad (A.5)$$

The relationship (A.5) can be parameterized with $\theta_1 = qL,$ $\theta_2 = \tau = L \sqrt{l_0 c_0},$ and $\theta_3 = \frac{2R_b}{\gamma}$ as follows:

$$\frac{P(j\omega, L)}{P(j\omega, 0)} = \frac{2 \theta_3 e^{e^{-\Delta L}}} {\theta_3 \left(\frac{\Delta}{2} + j\omega \theta_1 e^{\theta_1} + \theta_3 \left(\frac{\Delta}{2} - j\omega \theta_2 e^{\theta_2}\right)\right)} e^{-2\Delta L} \quad (A.6)$$

where $\Delta = \Delta L = \Delta (\theta_1, \theta_2) = \sqrt{\left(\frac{\theta_1}{2}\right)^2 + (j\omega \theta_2)^2}.$