High Dimensional Cluster Analysis Using Path Lengths

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Abstract
A hierarchical scheme for clustering data is presented which applies to spaces with a high number of dimensions ($N_D > 3$). The data set is first reduced to a smaller set of partitions (multi-dimensional bins). Multiple clustering techniques are used, including spectral clustering; however, new techniques are also introduced based on the path length between partitions that are connected to one another. A Line-of-Sight algorithm is also developed for clustering. A test bank of 12 data sets with varying properties is used to expose the strengths and weaknesses of each technique. Finally, a robust clustering technique is discussed based on reaching a consensus among the multiple approaches, overcoming the weaknesses found individually.

Keywords
Clustering, Path Length, Consensus, N-Dimensional, Line of Sight

1. Introduction
Clustering is a fundamental technique and methodology in data analysis and machine learning. The explosion of the field of data science has, consequently, led to an expansion in how this notion is applied. In this respect, it would be more appropriate to refer to clustering as data organization, which would encompass the ideas of 1) data reduction, 2) data identification, 3) data clustering, and 4) data grouping.

Data reduction is the process of converting raw data into a form that is more amenable for the application of a specific analytical and/or computational methodology. Data identification is the process of analysing trends or distributions within the data. Data clustering is the process of associating data through proximity, similarity, or dissimilarity. Data grouping refers to breaking down data
into groups according to a criterion that is appropriate for the specific application under consideration.

The literature on clustering is extensive and it is beyond the scope of this paper to provide an adequate review of this topic. The following papers [1] [2] [3] [4] provide background on the clustering methods in this paper and the book [5] provides a broad overview of clustering methodologies, as well as their numerical implementation.

There is no single algorithm that realizes all four of these aspects of data organization. The approach to this problem pursued in this paper is to develop a hierarchical scheme leading to a cluster analysis that encompasses the issues raised above and adapts to high dimensional spaces.

The data analysis scheme presented in this paper uses a blend of traditional data analysis via a multivariate histogram along with standard clustering techniques, such as k-means, k-medoids and spectral clustering. By binning the data onto a multi-dimensional grid, data is partitioned into regions on the grid which may be connected or separated depending on the character of the data set. Data reduction is realized by only retaining bins that have a population above a user selected threshold. The resulting multidimensional bins are referred to as partitions. The passage to partitions is the data reduction step.

Data identification is the process of assigning known data distributions (parent) to an entangled set of data. Typical examples are found in the literature of Bayesian analysis [6] [7], however, this pursuit dates farther back to earlier attempts to understand how to distinguish data from two or more distributions with overlapping tails. In more difficult scenarios, several distributions might overlap within the peak regions, changing the problem to the identification of subdomains of the mixed versus non-mixed distributions.

Data clustering traditionally refers to assigning data to subsets based on the proximity of data to one another. The goals of the field of data clustering have expanded from this definition, taking on some of the other roles identified here. For the purposes of this study, the term clustering will refer to both the overall techniques applied as well as the specific property a set has when its members are close to one another when appropriate. In the broadest sense, a cluster is simply a label given to data to identify common features.

Data grouping is the process of assigning labels to data, without regard for proximity or parent distributions. An example might be to segregate a class of thirty 2nd grade children into five subgroups before entering a museum for a tour. How the larger group is broken apart is unimportant, merely that the larger group is distributed into smaller groups.

In this study, standard clustering techniques are applied such as k-means, k-medoids and spectral clustering, along with new path-based approaches. After data reduction, data within partitions may be connected in regions where a path length can be calculated along the grid of partitions between any two data. Several new clustering algorithms have been developed using the path length. Fur-
ther, if two partitions are visible to each other by a Line-of-Sight criterion, the relationship between them is given additional significance. These ideas are used, in conjunction with standard clustering techniques, to construct 26 different clustering algorithms.

This paper presents five new variations of approaches to data clustering:
1) Data reduction is achieved by segmenting the data set into partitions.
2) Data clustering is sought using path lengths as a distance metric.
3) Data clustering is achieved using a Line-of-Sight criterion.
4) Spectral clustering is sought using alternatives to the graph Laplacian and the eigenspace formed.
5) Final cluster assignment is accomplished using a consensus among multiple clustering techniques.

An analysis configuration is the set of choices made that determines how a study is performed. The three most important choices are which clustering techniques out of the 26 available to use; what variables are used to describe the data, where each variable is a dimension in the data space; the number of bins chosen along each dimension. Changes to the resolution of how the data space is partitioned may lead to changes in a datum’s cluster assignment. For each choice of clustering technique, variables used (dimensions) and resolution (binning), each datum is assigned to a cluster. When data consistently cluster in one arrangement across multiple analysis configurations, the data is assigned robustly to its cluster. To determine a robust clustering assignment, a polling technique is used to arrive at a consensus amongst the clustering algorithms. While any one technique has faults, the consensus of techniques overcomes any one failure mode, giving the best all-round identification [8].

This paper is organized as follows: Sections 2 and 3 define the basic component used in this study, the partition. Section 4 shows the calculations of several values used throughout the analysis. Section 5 discusses a Line-of-Sight criterion. Section 6 outlines the strategy taken for this study and it lists the comprehensive set of arrays calculated that are needed for the suite of algorithms. This section also introduces a test-bank of data sets used for clustering. Section 7 presents each algorithm, with details left for the appendix. Section 8 shows the results for each clustering algorithm, discussing the strengths and weaknesses of each approach. Section 9 introduces the approach to robust clustering, employing multiple techniques and how a consensus is reached. Section 10 concludes with suggestions for extending this suite of clustering techniques. Throughout this paper, matrices and vectors are shown in bold face, while components are given subscripts.

2. Reduction of Data to Partitions

In this study, data refer to collection of real values forming a vector, \( x = \{x \in \mathbb{R}^N\} \), residing in a data space of dimension, \( N \), whose elements total \( N \). Along each dimension of the data space, the data is coarsely delineated into a set of bins,
\[ \{ b_i \in 1 \cdots N_{\beta j} \} \text{ where } i = 1 \cdots N_{\beta i} \text{ and } N_{\beta j} \text{ is the number of bins per dimension. For each datum, the collection of indices form a bin address vector, } \mathbf{b} = \{ b \in \mathbb{R}^{N_{\beta o}} \} \text{ giving the unique location of a bin within the data space. Each bin is given a unique index, } \tilde{k} \text{, serialized by the expression given below. Within each bin, multiple data may reside, where } w_i \text{ is the number of elements in each bin (population).} \]

\[ \tilde{k} = \sum_{i=1}^{N_{\beta o}} (b_i - 1) \prod_{q=0}^{i-1} N_{\beta q} + 1, \text{ where } N_{\beta o} = 1. \]

The maximal value the single index, \( \tilde{k} \), can take is the total number of possible bins in the data space, given by the product of the number of bins, \( \prod_{i=1}^{N_{\beta o}} N_{\beta i} \). Even though a data set may be large (\( \approx 10^9 \)), the number of possible bins can be much larger. Consider the case with a billion data points and a data space of 12 dimensions, each using 10 bins (very coarse), yielding \( 10^{12} \) possible bins. Depending on how the data is distributed, most likely the data will reside in small groupings within the data space, leaving much of the domain sparse.

3. Reduction of Partitions to Clusters

The data has been reduced to a set of bins, \( \mathcal{D}_k = \{ \tilde{k}, w \in \mathbb{N}^2 \} \) identified by an index and a population of only those bins containing data. The number of bins maybe be further reduced based on the population of the bins. Low density bins can be excluded from further study by either setting a threshold (\( \Theta_{pop} \)) on the minimal number of data per bin, or by setting a threshold (\( \Theta_{perc} \)) based on the cumulative percentage of the low density bins with respect to the total population of all the data. The set \( \mathcal{D} \) contains the bins of data which will be considered

\[ \mathcal{D} = \{ \tilde{k}, w \in \mathcal{D}_k \left[ w_i > \Theta_{pop} \right] \text{ or } \left[ \mathcal{F}(\tilde{k}) > \Theta_{perc} N \right] \}, \]

where \( \mathcal{F}(\tilde{k}) = \sum_{k=1}^{\tilde{k}} w_{i^*}, \text{ with } w_{i^*} = \text{sort}(w_i) \).

for clustering. The bin index, \( \tilde{k} \), is mapped to a sequential list of indices, \( k = 1 \cdots N_p \), where the total number of bins under consideration, \( N_p \), will be referred to as partitions, with the vector of populations, \( w = \{ w_i \in \mathbb{N} \} \), for each partition addressed by \( k \), and the partition data space given by, \( \mathcal{D}_p = \{ k, w \in \mathbb{N}^2 \} \). All calculations for this study are performed on the partition data space, \( \mathcal{D}_p \), which represents the integer-based grid of bin locations. The complimentary data space of either empty or low population partitions is given by \( \mathcal{D}^c = \{ k \in \mathcal{D}_p | k \notin \mathcal{D}_p \} \).

Clusters are subsets of data grouped based on a common feature. Cluster algorithms use a criterion to delineate data, which are then gathered by some mechanism and then assigned to clusters. Traditional definitions rely on proximity of data to one another, yet clustering can also be defined as a simple grouping of the data, which could be based alphabetically, by income, or some property that is difficult to map numerically such as an objects shape. Proximity alone can fail to cluster data appropriately when considering data distributed along tails of
distributions far from a centroid, such as a horseshoe. By altering the definition of “proximity” to include distance measures such as path length, clustering can still be viewed as a local grouping. This paper explores multiple clustering algorithms to later sort the clustering assignments into groupings reached by consensus.

4. Intermediary Calculations

Several calculations are common to multiple techniques which require only the partition bin address vector. These low level calculations define geometrical features of how the partitions are related to one another. Calculations between two partitions form matrices indexed by $[k, \ell]$. Specific algorithms for each calculation can be found in the supplemental material online. The distances calculated here fall into two broad categories; path lengths, where the distance measured is between partitions connected to one another, and global, where a connection is not required. Among path lengths, two further distinctions are made; stepwise, where the distance is the sum of values from one partition to the next, and pathwise, where the distance is the sum of values added from the start of the path to the current partition for each step taken. The block of equations shown here are described in the following text.

\[
\Delta b_{ij} = b_{ij} - b_{ij}
\]

\[
\Delta R = \sqrt{\sum_{i,j} \Delta b_{ij}^2}
\]

\[
\text{NN1} = \mathcal{I} \circ \Delta R
\]

\[
\mathcal{I} = \begin{cases} 
0 & |\Delta b_{ij}| > 1, \text{ for any } i \\
1 & |\Delta b_{ij}| \leq 1, \text{ for all } i 
\end{cases}
\]

\[
L_2 = \sum_{j=1}^N \text{NN1}_{ij} \quad \text{(stepwise)}
\]

\[
L_1 = \sum_{i=1}^N |\Delta b_{ij}|
\]

\[
\Sigma L_1_{\text{min}} = \min \left[ \sum_{j=1}^N \text{NN1}_{ij} \right]
\]

\[
\Sigma L_1_{\text{pathwise}} = \sum_{j=1}^N L_{1,ij}
\]

\[
\Sigma L_1_{\text{var}} = \sum_{j=1}^N \left[ \Sigma L_{1,ij} - \Sigma L_{1,\text{var}} \right]^2
\]

\[
ww^T = w \otimes w - \text{diag}(w)
\]

The Euclidean distance is calculated between all partitions in $D_n$. First, the difference between two partitions bin address’ are calculated for each component, $\Delta b_{ij}$. The distance, $\Delta R$, is then calculated from the sum over $\Delta b_{ij}^2$. The first nearest neighbor matrix, $\text{NN1}$, defines the distance between any two bins that are in contact with one another. Two partitions are in contact with one another if there exists no bin address component difference greater than one in magnitude, leading to the interpretation that they share a common geometric feature; a point, line, area, etc... The matrix, $\text{NN1}$, is the adjacency matrix weighted by Euclidean distance, $\Delta R$. As each partition is a unit hypercube, the distances range from $\{1, \ldots, \sqrt{N_D} \}$.

The path length, $L_2$, is the distance between any two partitions taken by.
stepping from one partition to another through \( NN_1 \) steps, summing \( \Delta R \) along the path stepwise, where the initial partition is \( k \), interim partitions, \( j \), up to the final partition, \( \ell \). Partitions are connected when a path is found, and for partitions having no connecting path, the path length is set to \( \infty \). The number of steps taken between any two partitions is the Path Count, \( P_e \).

In order to find if two partitions meet a Line-of-Sight (LOS) criterion, only paths that fall within the convex hull formed between the two partitions are considered. For each connecting path found, six values are calculated to determine the LOS criteria. The true path length, \( L_{2_T} \), assumes a straight path exists between two partitions, giving the stepwise length formed taking the least number of \( NN_1 \) steps with the smallest \( L_{2} \) values possible. The Summed L1 length, \( \Sigma L_1 \), is the summation of the pathwise \( L_1 \) distances taken from the initial partition to each subsequent partition along a path. The Minimal Summed L1 path is the unique path with the least possible \( \Sigma L_{1_{min}} \), while the True Summed L1 distance is the \( \Sigma L_1 \) taken along the straight path established earlier. Finally, variance of the squared difference along a path, \( \Sigma L_{1\text{VAR}} \), is taken between the Summed L1 norm to the True Summed L1 norm along each step of a path. From these values, the true path is found which tests the LOS criteria.

The following calculations are performed before any paths are sought as they do not require knowledge of the exact path found, merely the endpoints which give the dimensions of the convex hull containing the two partitions \([k, \ell]\); \( \Delta b \), \( NN_1 \), \( \Delta R \), \( L_{2_T} \), \( L_1 \), \( \Sigma L_{1_{min}} \) and \( \Sigma L_{1} \). The remaining three employ Dijkstra’s algorithm ([9]) and variations of it to calculate the shortest path lengths of: \( L_{2} \) (stepwise), \( \Sigma L_1 \) (pathwise), and \( \Sigma L_{1\text{VAR}} \) (pathwise).

Several calculations require that the partitions be weighted by the product of the populations of \([k, \ell]\), leading to \( \Delta w \), the outer product formed from the population vector taken with itself minus the weights along the diagonal to account for the self-weighting within a single partition. Further, the difference between two partitions populations is also needed, leading to the matrix, \( \Delta w \).

5. Line-of-Sight (LOS) Criterion

A Line of Sight (LOS) criterion is introduced in this paper as a means to cluster data which gives additional significance to data while being independent of proximity. This approach assumes that data within a convex region of other data are likely to be associated together. When seeking the LOS criteria, the data space is divided into two broad subdomains, those partitions filled with sufficient data above threshold, and those partitions containing little or no data (=empty space). Within the filled regions of space, Dijkstra’s algorithm is employed to find which partitions are connected to each other via a path and to measure the path length. Partitions of the empty set, \( D^o \), are viewed as obstacles to paths within \( D^i \). By analogy, the empty set serves to prevent LOS just as walls prevent continuity in vision.
The criteria used to establish a Line-of-Sight (LOS) between two partitions relies on the pathwise summation of L1 distances along the path taken from \([k, \ell]\). This distance has the property that when traversing a grid from \([k, \ell]\), the distance calculated is different than when returning from \([\ell, k]\). The asymmetry of this measure proves useful in determining the LOS condition. Figure 1 illustrates how the distance is asymmetric with regard to the path taken. Three conditions must be met if two partitions are LOS:

1) A path must exist between \([k, \ell]\) that does not exit the convex hull, requiring \(L_2 = L_{2r}\).
2) The path found must take a direct path between \([k, \ell]\), requiring that \(\Sigma L_1 \leq \Sigma L_{1r}\).
3) The path found must follow the direct path, requiring \(\Sigma L_{1\text{var}} \leq \left(\frac{P_c}{2}\right)^2\).

Dijkstra’s algorithm finds the minimal path taken between two points on a grid given an adjacency matrix, \(N_{11}\), giving the path length, \(L_2\). Figure 1 illustrates that multiple paths have the same value for \(L_2\), forming a paralleloptope of possible paths each with the same minimal value. The true path length can be found simply by summing \(N_{11}\) steps along the edge of the paralleloptope, giving \(L_{2r}\). If \(L_2\) exceeds \(L_{2r}\), the path found by Dijkstra has left the convex hull, leading to the first criteria.

To find the pathwise \(\Sigma L_1\) value, the adjacency matrix is altered, taking the row from \(L_1\) for the \(k^{th}\) partition and multiplying by every row of the logical matrix, \(N_{11}\); in this way, the adjacency matrix presented to Dijkstra’s

![Image](image.png)

**Figure 1.** Illustrating various paths from the \(k^{th}\) partition (cyan) to the \(\ell^{th}\) (orange). Panel (a) shows the direct (true) path and the nearest neighbor steps required to follow that path. Panel (b) shows that the same path length can be taken along either of the edges of a parallelogram, bounded by the convex hull formed by \([k, \ell]\). The last Panel (c) shows how to extend the idea into higher dimensions, where the red segments take steps of \(\sqrt{3}\), the green steps are \(\sqrt{2}\) and the blue are unit steps. The grey partitions represent; (a) the true path, (b) the summed L1 minimal path and (c) the summed L1 maximal path. The summed L1 path values increase monotonically from the minimal path to the maximal path, which in panel (b) is from the upper edge of the parallelogram to the lower edge, respectively.
algorithm in a second round finds the minimal pathwise value from \([k, \ell]\). For an open region (no obstacles), the minimal summed L1, \(\Sigma L1_{\text{min}}\), path is along the edge of the paralleotope.

**Table 1** shows the calculation of \(\Sigma L1\) for both the minimal value as well as the maximal value from \([k, \ell]\), explaining why \(\Sigma L1\) is asymmetric. The example shown assumes that all paths between \([k, \ell]\) are possible, i.e. there are no obstructions. From the table, the sum of L1 steps is easier to calculate when viewed along each dimension. The minimal path takes the smallest size steps first, then increasing in size until the final partition is reached. In this manner, the sum of steps along each dimension is simply the summation of \(\frac{1}{2}n(n+1)\) for each dimension along the convex hull. When traversing the maximal path, the largest steps are taken first, proceeding to the smallest steps taken last. In this case, a similar summation occurs, however, an additional amount for each dimension is added because the path starts farther away from the initial point, which then adds successively along the path. The \(\Sigma L1\) matrix will be asymmetric as the minimal path from \([k, \ell]\) will not be the same from \([\ell, k]\). When following the minimal path according to Dijkstra from \([\ell, k]\), the path found follows the far opposite edge of the paralleotope, which is equivalent to the maximal \(\Sigma L1\) from \([k, \ell]\). The two extrema of the paralleotope between \([k, \ell]\) represent the farthest paths that can be taken within the convex hull while always moving closer to the endpoint. The average between the two values of \(\Sigma L1_{\text{min}}\) and \(\Sigma L1_{\text{max}}\) is the true path \(\Sigma L1_T\).

When sufficient obstacles force the paths from \([k, \ell]\) as well as \([\ell, k]\) to be

**Table 1.** Table of steps, coordinates and the distance given by the summed L1 path, \(\Sigma L1\), for the two examples in Figure 1(b), Figure 1(c). The top three rows represent the number of steps taken along each dimension from one partition to the next. The next three rows are the coordinates of the partitions along the paths taken, remembering that the path starts with the \(k\)th partition at the origin. The final row is the summed L1 path distance. The axes are labeled where \(x_i\) is from the longest dimension to the shortest of the convex hull.

| dims | Steps Taken Along Each Dimension—\(k\)th partition is the origin | Coordinates Of Path Along Each Dimension—\(k\)th partition is the origin |
|------|---------------------------------------------------------------|------------------------------------------------------------------|
|      | 2D Case (min path)                                          | 2D Case (max path)                                             | 3D Case (min path)                                           | 3D Case (max path) |
| \(x_1\) | 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 | 1 2 3 4 5 6 7 1 2 3 4 5 6 7 1 2 3 4 5 6 7 1 2 3 4 5 6 7 1 2 3 4 5 6 7 | 1 3 6 11 18 27 38 2 6 12 20 29 39 50 1 3 6 11 18 28 41 3 9 17 27 38 50 63 |
| \(x_2\) | 0 0 0 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 | 0 0 0 0 0 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 | 0 0 0 0 0 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 |
| \(x_3\) | 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 | 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 | 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 |

\(\Sigma L1\)
along the same side of the parallelootope with respect to the true path, the path found “turns a corner” in order to reach the final partition. In this case, one of the two values, $\Sigma L_{1k}$ or $\Sigma L_{i,k}$ will exceed the true path summed $L_1$, $\Sigma L_{1r}$, leading to the second criteria. The last criteria uses the results from the second application of Dijkstra, now, attempting to find a path that minimizes the variance of $\Sigma L_{1}$ with respect to $\Sigma L_{1r}$. Calculating the value $(\Sigma L_{1} - \Sigma L_{1r})^2$ then copying the $k^{th}$ row of this matrix and multiplying it by every row of the logical matrix, $NNI > 0$, a new adjacency matrix is formed and applied using Dijkstra’s algorithm for the third time. At each step, the minimal summed path variance gives the most direct path from $[k, \ell]$, finally giving the path that is LOS between the two partitions, illustrated in Figure 2. The smallest error that can exist is when a path is found that is one step off of the true path near the middle of the path. In this case, the error is the difference between $\frac{1}{2}n(n+1)$ and $\frac{1}{2}(n-1)n$, where $n = P_c/2$, leading to the third criteria for LOS.

6. Strategy

This study employs 26 different clustering techniques to a bank of 12 representative test cases. The data sets forming the test bank were comprised of various shapes, both connected and disconnected as well as point clouds in both 2D and 3D. In each of the point clouds, four gaussian distributions were placed near one another, with three densely populated regions and a fourth low density gaussian which spans the domain. The point clouds were further varied by creating one case in 2D and 3D where the dense gaussians are clearly separated, and another two cases in 2D and 3D where the three gaussians overlap. Figure 3 illustrates

![Figure 2](image.png)

Figure 2. Figure illustrates the two paths of $\Sigma L_{1mn}$ and $\Sigma L_{1max}$, which in 2D lie within a plane. The average between them gives the straight line “true” value, $\Sigma L_{1r}$. When all paths within the $L_2$ convex hull are available, Dijkstra’s algorithm will seek the $\Sigma L_{1mn}$ path when going from $[k, \ell]$ and will seek the $\Sigma L_{1max}$ path going from $[\ell, k]$. This asymmetric behavior is exploited in order to find the straight line path by applying Dijkstra on a third pass, where the pathwise value applied is the squared difference between the $\Sigma L_{1}$ and $\Sigma L_{1r}$, as is shown above the plane as a parabolic bowl.
Figure 3. Test bank of 12 shapes: L (a), Plus-1 (b), Plus-2 (c), Concentric-1 (d), Concentric-2 (e), Flame-1 (f), Flame-2 (g), Flame-3 (h), Data2D-1 (i), Data2D-2 (j), Data3D-1 (k) and Data3D-2 (l).

The test banks used, in this order: L, Plus1, Plus2, Concentric1, Concentric2, Flame1, Flame2, Flame3, Data2D-1, Data2D-2, Data3D-1, Data3D-2. Table 2 lists the test bank set as well as the features sought to examine in each case. The first test is the simple L as discussed in section 1. The Plus1 and Plus2 cases are extensions to the L case where symmetry is employed, testing how algorithms respond to symmetry as well as an open region (Plus2). Concentric1 and Concentric2 test how the routines respond to curved domains with symmetry and whether the domain is connected or not. Flame1, Flame2 and Flame3 test how asymmetry is dealt with as well as connected versus disconnected regions. Flame3 also tests how well “tendrils” or filamentary data is handled. As a test of a 2D point cloud, Data2D-1 and Data2D-2 test how well four gaussian point clouds can be clustered for the case of three separated clusters, Data2D-1, and three close-by clusters, Data2D-2, where the fourth gaussian is evenly distributed across the domain simulating noise present in the data. Data3D-1 and Data3D-2 show the point cloud in 3D of four gaussian distributions similar in definition to the 2D cases, where in the first case are three disconnected ellipsoidal distributions with a fourth acting as noise, while in the second case shows the same three ellipsoidal distributions moved closer to one another such that two of the tails
Table 2. Test bank data sets listing sizes and features relevant to clustering types.

| Labels      | ID     | Test Bank Data Sets          | Dim | Size (pixels/pts) | Connected | Symmetry | Plateau | Filamentary | Overlap | Noise |
|-------------|--------|------------------------------|-----|-------------------|-----------|----------|---------|-------------|---------|-------|
|             |        |                              |     |                   |           |          |         |             |         |       |
| L           | (a)    |                              | 2D  | 1200 × 1200       | √         | X        |         | X           | X       | X     |
| Plus1       | (b)    |                              | 2D  | 1200 × 1200       | √         | √        | √       | X           | X       | X     |
| Plus2       | (c)    |                              | 2D  | 1200 × 1200       | √         | √        | √       | X           | X       | X     |
| Concentric1 | (d)    |                              | 2D  | 1200 × 1200       | √         | √        | √       | X           | X       | X     |
| Concentric2 | (e)    |                              | 2D  | 1200 × 1200       | X         | √        | √       | X           | X       | X     |
| Flame1      | (f)    |                              | 2D  | 1200 × 1200       | √         | X        | √       | √           | X       | X     |
| Flame2      | (g)    |                              | 2D  | 1200 × 1200       | X         | X        | √       | X           | X       | X     |
| Flame3      | (h)    |                              | 2D  | 1200 × 1200       | √         | X        | √       | X           | X       | X     |
| Data2D-1    | (i)    |                              | 2D  | 200,000           | X         | X        | X       |           | √       | X     |
| Data2D-2    | (j)    |                              | 2D  | 200,000           | √         | X        | X       |           | √       |       |
| Data3D-1    | (k)    |                              | 3D  | 200,000           | X         | X        | X       |           | √       |       |
| Data3D-2    | (l)    |                              | 3D  | 200,000           | √         | X        | X       |           | √       |       |

overlap. For all cases other than the point clouds, the data is derived from an image, where a binary set of points is established for all 8-bit grey-scale values above 100 (1) or below (0). The image sizes when possible are 1200 × 1200, unless the aspect ratio prevented that exact size. The point clouds are based on four distributions with a summed value of 200,000 points.

Along with the 26 clustering algorithms applied, four additional cluster assignments are derived from consensus among the 26, leading to 30 differing cluster assignments per test case for a total of 360 figures showing the clustering results. These results are supplied as supplemental figures and can be found on the website. A sampling of these results is shown in Section 8.

7. Clustering Algorithms

This section discusses the clustering algorithms used in this paper. Some techniques are standard approaches, but several are variations on existing techniques with new methods. The new approaches involve treating the data in terms of partitions with populations of data serving as weights to the partitions. Also new, the distance metric used is changed from a traditional L2-norm to a path length along a grid of partitions. Along with investigating path length based clustering, a Line-of-Sight criteria is also developed. An alternative approach of spectral clustering is also used, utilizing a different set of eigenvectors to establish clusters, and alternatives to the traditional Laplacian operator are used as well. Once all twenty-six clustering techniques are used to assign a cluster identity, an overall cluster identity is given to each data based on the consensus of the set of techniques, with four algorithms employed differing in degrees of consensus reached.
Table 3 lists the twenty-six techniques used. Each technique attempts to cluster data according to features present in the data. The table lists those features which

Table 3. Clustering techniques for 26 algorithms highlighting requirements, pros and cons in each case. Some algorithms required partitions to be connected in order to search for clustering within the connected region. Clusters can be feature driven or can even the distribution of cluster assignments (balanced, group). LOS is a criterion for some clustering, which in turn can help identify data distributions. Finally, some algorithms treat isolated partitions on equal footing with larger connected subsets, making the clustering sensitive to these smaller subsets, interpreted as noise. Checks indicate a feature is used, "X" indicates the feature is not required whereas a "-" indicates the parameter is not applicable to the technique, finally "*" indicates that population weighting could be applied to the technique or not—for the results shown in this study, weights were applied to spectral algorithms making the Laplacian sensitive to the populations of the partitions.

| Labels          | Clustering Algorithms | Connected required | Proximity | Weights | Sensitive to Noise | Balanced | LOS criteria | Gathering method | Laplacian type | Eigen-vectors | Fixed k guess |
|-----------------|-----------------------|--------------------|-----------|---------|-------------------|----------|--------------|-----------------|----------------|---------------|---------------|
| KMEANS 1        |                       | X                  | ΔR        | ww      | X                 | X        | X            | weighted        | -              | -             | √             |
| KMEDOIDS 2      |                       | X                  | ΔR        | ww      | X                 | X        | X            | weighted        | -              | -             | √             |
| MAXGLOB 3       |                       | X                  | ΔR        | Δw      | X                 | X        | X            | slopes          | -              | -             | -             |
| MAXPATHL 4      |                       | √                  | l2        | Δw      | X                 | X        | X            | slopes          | -              | -             | -             |
| CONN 5          |                       | √                  | X         | X       | X                 | X        | X            | -               | -              | -             | -             |
| LOS-MAXVIS 6    |                       | √                  | l2, l1    | *       | X                 | X        | √            | max vis.        | -              | -             | -             |
| LOS-MUTUAL 7    |                       | √                  | l2, l1    | *       | X                 | X        | √            | mutual vis.     | -              | -             | -             |
| SPECTRAL01 8    |                       | √                  | X         | *       | √                 | X        | X            | kmeans          | NN1            | 1, 2          | -             |
| SPECTRAL02 9    |                       | √                  | X         | *       | √                 | X        | X            | kmedoids        | NN1            | 1, 2          | √             |
| SPECTRAL03 10   |                       | √                  | X         | *       | √                 | X        | X            | kmedoids        | NN1            | 1, 2          | -             |
| SPECTRAL04 11   |                       | √                  | X         | *       | √                 | X        | √            | 2D histo        | NN1            | 2, 3          | -             |
| SPECTRAL05 12   |                       | √                  | X         | *       | √                 | X        | kmeans       | NN1            | 2, 3          | -             |
| SPECTRAL06 13   |                       | √                  | X         | *       | √                 | X        | kmedoids     | NN1            | 2, 3          | -             |
| SPECTRAL07 14   |                       | √                  | X         | *       | √                 | 2D histo | LOS          | NN1            | 2, 3          | -             |
| SPECTRAL08 15   |                       | √                  | X         | *       | √                 | kmeans   | LOS          | NN1            | 2, 3          | -             |
| SPECTRAL09 16   |                       | √                  | X         | *       | √                 | kmedoids | LOS          | NN1            | 2, 3          | -             |
| SPECTRAL10 17   |                       | √                  | X         | *       | √                 | kmeans   | LOS          | 2, 3           | -             | -             |
| SPECTRAL11 18   |                       | √                  | X         | *       | √                 | kmedoids | LOS          | 2, 3           | -             | -             |
| SPECTRAL12 19   |                       | √                  | X         | *       | √                 | kmedoids | LOS          | 2, 3           | -             | -             |
| SPECTRAL13 20   |                       | X                  | ΔR        | *       | X                 | X        | X            | 2D histo        | RAD            | 1, 2          | -             |
| SPECTRAL14 21   |                       | X                  | ΔR        | *       | X                 | X        | X            | kmeans          | RAD            | 1, 2          | -             |
| SPECTRAL15 22   |                       | X                  | ΔR        | *       | X                 | X        | X            | kmedoids        | RAD            | 1, 2          | -             |
| SPECTRAL16 23   |                       | X                  | ΔR        | *       | X                 | √        | X            | 2D histo        | RAD            | 2, 3          | -             |
| SPECTRAL17 24   |                       | X                  | ΔR        | *       | X                 | √        | X            | kmeans          | RAD            | 2, 3          | -             |
| SPECTRAL18 25   |                       | X                  | ΔR        | *       | X                 | √        | X            | kmedoids        | RAD            | 2, 3          | -             |
| LMH-POS 26      |                       | X                  | X         | X       | X                 | X        | X            | -               | -             | -             | -             |
best suit each technique. As the chief data reduction scheme here is to partition the data into multi-dimensional bins, the clustering is performed over the weighted partitions on a grid. Features indicated in the table are; require partitions to be Connected in order to cluster, Proximity uses distance as a criteria, Weights indicates populations affect the result, Sensitivity to Noise indicates some methods fail to find structure within larger connected subsets in the presence of noisy data, Balanced indicates methods which evenly divide partitions into clusters, LOS criteria is required for some, Gathering indicates the method used to gather partitions for clustering, Laplacian indicates which type of Laplacian is used for spectral algorithms, Eigenvectors indicate which modes are used in gathering, and Fixed k requires an initial guess as to the number of clusters.

7.1. K-Means and K-Medoids Clustering—KMEANS, KMEDOIDS

K-means is a well established clustering technique [10] [11], seeking from a data set, the lowest possible distance from individual data to a set of possible mean positions of the data, indicative of clusters. Over several passes, the cluster definitions are altered to minimize the distance from each datum to clusters found. An initial guess of the number of clusters to seek is required. K-means has been discussed thoroughly in the community for its strengths and weaknesses [1]. K-medoids has been proposed to overcome many of the shortcomings of k-means and is similarly well-established in the community [5]. In both cases, an initial guess (k) as to the number of clusters sought is required which can be problematic when the actual number of clusters does not match the guessed value. Further, both techniques perform at $O(ND)$, which for large datasets are costly. Progress in improvements to speed have been made to both techniques [12] [13], yet remain costly in high dimensions for large data sets. By shifting the analysis from individual datum to partitions with weights, the k-means and k-medoids algorithms are adjusted to accommodate the weighted bins. All calculations for distance between two partitions are multiplied by the weight of each partition, $ww^T$, and any centroid calculation is treated as a weighted value.

7.2. Maxima Clustering—Global and Path Length

In this study, data has been reduced to a set of partitions with a population assigned for each. The two schemes, MAXGLOB and MAXPATHL, assign data to clusters based on how close a partition is to a significant nearby maxima among the partitions. Treating the weights of the partitions as the height of a multi-dimensional map, the significance of a nearby maxima is determined by calculating the slopes between any two partitions, where the slope is the ratio of the weight difference, $Δw$, to the distance between any two partitions. In the global case, the distance used is the Euclidean distance, $ΔR$, and for the path length case, the distance used is the path length, $L2$. MAXGLOB seeks to assign clusters
between partitions that are not required to be connected, while MAXPATHL requires a connection. Initially, local maxima among the partitions are found which are then categorized into three types: lone peaks, ridges and plateaus. Once the maxima are classified, a peak and all of the partitions associated with it are then assigned a cluster identification number, where the slopes and distances from partition to peak are contributing factors in determining which peaks associate with partitions. Definitions of local maxima, peaks and slopes as well as details of the algorithms for these two techniques are included in the supplemental material online.

### 7.3. Clustering via Connection—CONN

In cases where local clusters of partitions are sparsely found within the data space, a simple clustering algorithm is to determine which partitions are connected to one another using first nearest neighbor steps, \( \text{NN1} \). Section 4 discusses path lengths calculated from one partition to another where those with a finite value are connected. A logical value is set between any two connected partitions creating the matrix \( \text{CONN} \). A unique cluster ID is assigned for each connected set of partitions.

### 7.4. Clustering by Line-of-Sight—LOS

Clustering by Line-of-Sight is motivated by the idea that two data within a convex region of a subset of the data have a higher chance of being correlated than data outside that convex region. Considering a set of data comprised of various types of distributions, it is possible for overlapping regions to form, where the tail of one distribution mingles with the tail of another. In the worst case scenario, peaks of two differing distributions may overlap. Further, distributions may also form along curved paths, where the peak may be far from the tails. Clustering via \( \text{CONN} \) will associate all data in these distributions, however, checking whether two data lie within a convex hull more closely associates those data with one another. The Line-of-Sight criterion from Section 5 determines which partitions are convex to one another. As examples, Figures 3(i)-3(l) illustrate several distributions which have both convex regions as well as overlapping tails of distributions. In this discussion, the term *visibility* refers to the number of partitions that are LOS to a specific partition. A detailed discussion of the algorithms used to form clusters based on the LOS criteria is provided by the supplemental material online.

The \( \text{LOS} \) matrix is formed where each row represents a partition and each column represents all other partitions where a logical value indicates whether the two are LOS, making the \( \text{LOS} \) matrix symmetric. Squaring the \( \text{LOS} \) matrix, \( \text{LOS}^2 \), gives a matrix whose values along each row tally the number of partitions which are mutually LOS to one another. For the L example given next, in the first row, the last three partitions are not LOS to the first, yet they share three partitions that are LOS in common. In order to eliminate the entries in \( \text{LOS}^2 \)
that are not present in the \( \text{LOS} \) matrix, a Hadamard product is taken between \( \text{LOS} \) and \( \text{LOS}^2 \) yielding a third matrix, \( \text{LLL} \). To form clusters from the information in \( \text{LLL} \), a gathering process finds partitions that meet one of two cluster criteria; maximal visibility finds those partitions that share a high value of visibility and are connected to one another, and greatest mutual visibility finds the largest sets of partitions with a common value, regardless of how high in value is their visibility.

7.5. Simple Example: L

A simple example serves to demonstrate how these matrices interact with one another. Consider a small distribution of partitions forming a \( 6 \times 4 \) grid connected to each other in an “L” configuration as shown in Figure 4. The serialization of partitions is given by the same expression as the data, Equation (1), which in the case of the inverted L gives the partitions along the bottom row \( k = 1 \cdots 4 \), then along the vertical side \( k = 5 \cdots 9 \). In this case, there are only nine partitions connected to each other, requiring a \( 9 \times 9 \) matrix to represent the information. As each partition is connected to all of the other partitions, the \( \text{CONN} \) matrix is full, with values of one. The \( \text{NN1} \) matrix reflects which partitions share a common geometrical feature. The \( \text{LOS}, \text{LOS}^2 \) and \( \text{LLL} \) matrices show which partitions are visible to each other. Note that partition five is visible to partition one, meaning

![Figure 4](image-url). Simple example to illustrate the ideas behind LOS clustering. This “L” shaped domain has nine populated bins. Starting from the bottom left to right then and moving upwards, the bins are numbered initially by rasterizing the domain, then contracting the bin indices to simply number from \#1 ... 9. Beginning with bin \#1, the line-of-sight bins are indicated by the starred (\( \ast \)) bins, noting that bin \#5 is considered LOS although a line connecting bin centers is not possible. This “corridor” condition means that for a long hallway, all partitions facing inward are also LOS. Matrices calculated for the simple example, the \( \text{NN1} \) (upper left) \( \text{LOS} \) (upper right), \( \text{LOS}^2 \) (lower left), \( \text{LOS}^2 \circ \text{LOS} = \text{LLL} \) (lower right).
that partitions can see the edges of one another. From the matrices shown, partitions (3, 4, 5) form a cluster with the maximal visibility, followed by partitions (6, 7, 8, 9) then (1, 2) (LOS-MAXVIS). Partitions (3, 4, 5, 6, 7, 8, 9) form a cluster with the highest mutual visibility followed by (1, 2) with the lowest (LOS-MUTUAL).

7.5.1. LOS Clustering with Maximal Visibility—LOS-MAXVIS

The LOS matrix contains for each row the logical status of which partitions are LOS to the current partition. Further, the LLL matrix shows the number of mutually visible partitions within LOS of the current. From the LLL matrix, two values can be used to determine clustering using LOS. The highest value in the LLL matrix indicates which partitions are within LOS of the most other partitions. These highest valued LLL partitions have the maximal visibility, LOS-MAXVIS, of the set of partitions that are LOS. An example would be any partition that is located at an intersection of several distributions of partitions. Consider the test cases: L and Plus1, where the corner of the L and the center of the Plus1 will have maximal visibility. The clusters formed in this manner find intersections and corners of data distributions preferentially, leading to data identification of the entangled portions of data sets arising from multiple distributions present.

Clustering by LOS-MAXVIS is achieved by forming a histogram from the visibility values of LLL, shown in Figure 5 for the Data3D2 test case. The horizontal axis indicates the visibility while the vertical axis is the number of partitions sharing a common visibility value. Starting from the maximal value of the visibility, a cluster is formed by taking all partitions sharing the maximum or nearby, defined by including all bins in the histogram starting from the leftmost until a minimum in the bins is reached. In the case of the simple L, the most visible partitions are the corner partitions with values LLL = 9. Of the set of partitions found, a cluster is assigned to the largest connected group of partitions. As each cluster is identified, the partitions are excluded from further searches by removing the rows and columns from LLL of the cluster found then recalculating the histogram. Further clusters are then identified by taking partitions associated with the next highest visibility bin in the histogram, beginning where the last set left off, and including all partitions with successively lower visibilities until the next minimum in the bins is reached. This process continues until the set of partitions is fully associated with clusters.

7.5.2. LOS Clustering with Mutual Visibility—LOS-MUTUAL

The LLL matrix can alternatively be used to cluster partitions with the highest mutual visibility (LOS-MUTUAL) by selecting clusters with the most common shared LLL value instead of the maximal value. In this manner, clusters are formed around partitions that can mutually see each other the most. From the same LLL histogram, starting from the bin with the most frequent visibility, a cluster is formed by seeking the minima on both sides of the peak in the histogram nearest the most populated bin. Once the lower and upper bins are
Figure 5. Histogram of LLL values, the visibility from a partition to all others for the Data 3D-2 case. Along the horizontal axis are visibility values and along the vertical axis is the frequency of partitions for a given visibility. For the Data 3D-2 case, the highest visibility is ≈4100 between partitions, where LOS-MAXVIS begins searching at the highest visibility bin and ends at the first minima found in the histogram, for a cluster with visibility from ≈ 3300 - 4100. A LOS-MUTUAL search seeks a cluster with the greatest mutual visibility by beginning the search at the tallest peak around ≈2200 whose set size is ≈150 partitions. The cluster is formed between the two minima found on each side of the tallest peak. In both cases, the starting point for the cluster search defines which other partitions are near to the goal, either maximal visibility or greatest mutual visibility. The clusters are formed by grouping LOS partitions around the feature sought in the histogram, where peaks are separated by the basins.

found, all partitions which have any visibility values in LLL within this range are clustered together. Identifies partitions are removed from further searches and the process is repeated until all partitions are identified. LOS-MUTUAL clustering finds the largest set of partitions that are LOS to each other first, then searches for the next largest set of partitions that do not include the first set and so on. In the case of the simple L, the highest mutually visible partitions are the partitions forming the long arm of the L, with values LLL = 7. For the Data3D2 case, all partitions with a visibility between 1700 up to 3000 are included in the first cluster found. As before, once a cluster is found, the partitions are removed from further searches. Clusters formed in this manner find full data distributions first, associating tails over mixed regions with the largest distributions first, giving an alternative to the data identification offered by LOS-MAXVIS.

7.6. Spectral Clustering

Spectral clustering [14] [15] represents data as a graph, where data become ver-
Vertices and relationships between data points are represented by edges and weights in the graph. The eigenmodes of the graph are sought solving Helmholtz equation from a Laplacian chosen based on the edge weights. This analysis uses the \textbf{NN1} matrix, the \textbf{LOS} matrix as well as a radial basis function to form the graphs. The Laplacian operator is a matrix formed by setting the degree of the vertex along the diagonal with off diagonal elements set to a negative weight factor. The off diagonal components are formed from either the summation of all nearest neighbors (\textbf{NN1}), the sum of all LOS partitions (\textbf{LOS}) or a radial factor related to the distance squared to all other partitions (\textbf{RAD}). \textbf{RAD} is chosen as a gaussian with a large sigma equal to the maximal distance of $\Delta R$. Clustering with \textbf{NN1} seeks clusters as partitions connected to one another, using \textbf{LOS} seeks similar clustering for partitions connected through visibility, while \textbf{RAD} seeks clusters of partitions over a region, regardless of connection. In all cases, the analysis that follows is similar. The eigenvectors are calculated for the Laplacian, where the lowest two eigenvectors are typically used to define a \textit{new data space} using each eigenvector as a basis. The partitions are then mapped to the eigenspace and clusters within the space are sought using novel 2D clustering techniques, either \textbf{KMEANS}, \textbf{KMEDOIDS} or a simple 2D histogram over the domain.

This analysis employs all three clustering techniques in the eigenspace as well as explores using two differing sets of eigenvectors, the lowest pair (1, 2) as well as the next lowest pair (2, 3) as a base. Spectral clustering finds clusters of partitions which are connected subdomains; however, when only a single connected domain is found (clean case), the eigenvectors reveal a modal structure within the connected domain. When showing the modal structure for the first case using eigenvectors (1, 2), the first eigenmode accentuates a single large feature within the eigenspace, where the second eigenvector segments the space into a small number of symmetric regions. When using the next lowest pair of eigenvectors (2, 3), surpassing the lowest eigenmode, the modal structure segregates the partitions differently, clustering the partitions into \textit{evenly distributed groups} of data. Once the eigenspace has been populated with the partitions, k-means, k-medoids as well as traditional 2D histograms can be used to collect the partitions and assign them to cluster IDs. K-means and k-medoids have been discussed earlier in Sec. 1 as to their strengths and weaknesses. As an alternative approach to finding the clusters within the eigenspace, simply histogram the 2D eigenspace and assign to each non-zero bin a different cluster ID (2DHIST). This approach has the advantage of simplicity and finds exactly the number of clusters that fill bins within the eigenspace, not requiring an initial guess as the number of possible clusters, as in the case of k-means or k-medoids, however a maximum possible count of clusters is set by the number of bins of the 2D histogram, typically set at $\left(\sqrt{k} + 2\right)\times\left(\sqrt{k} + 2\right)$ so that the k-means and k-medoid searches are comparable to the size of the clusters sought.
7.7. Clustering by Coarse Position (LMH-POS)

The most obvious form of clustering is to associate a partition solely by its position (LMH-POS) using a coarse binning within the partition space. By setting the number of bins along each dimension to three, the bins are interpreted as being Low, Medium or High for the values represented along each axis. In this case, the sequential partition bin index, \( k \), becomes the cluster ID, with the maximum number of possible clusters at \( 3^{N} \), for the three bins along each axis.

This approach is a coarse designation for clustering as it employs no complicated algorithms, and data with similar values are associated irrespective of all other factors. This approach suffers from many problems in that data in one bin will not be clustered with data from a neighboring bin no matter how close in proximity the two are to one another. Clusters from LMH-POS characterize data in the crudest sense with no refinement for the shape of a distribution or even the relative sizes of the distribution. One advantage to this approach is that it is easy to understand, even while spanning multiple dimensions, making it an easy entry point for a discussion of the data. When handling large data sets, this approach allows for a quick look at where the data reside within the larger space.

8. Results

This section shows a sampling of results from the application of 26 techniques to 12 test cases. The strengths and weaknesses of these techniques are exposed leading to the conclusion that a consensus approach is reasonable. Ideally, all clustering techniques plus the four robust consensus results of each test case would be presented, leading to 360 figures, but due to space limitations, the full set of clustering results are provided in the supplemental material. Throughout this section, the term “noise” refers to data sets where a significant number of isolated small subsets of partitions, including singletons, are present, while the term “clean” refers to data sets without these smaller subsets. Among the supplemental material, for each data set, a high data threshold, \( \Theta_{perc} = 2\% \), and a low threshold, \( \Theta_{perc} = 0\% \), are applied showing how clustering is achieved in a clean versus noisy environment respectively. Data clustering is also shown at two different bin resolutions to illustrate how too fine of a resolution may not achieve good clustering. Finally, the figures are grouped into fullpage comparisons for a single test case with all 30 techniques shown as well as single page comparison for each technique across all test cases, leading to 2880 figures over 168 pages.

8.1. Discussion of Techniques

Of the sampled results provided, the first figure in each case shown is for k-medoids clustering using \( (k = 16) \) unless otherwise stated in order to give a comparison between established clustering and other approaches. The remain-
ing figures are chosen to demonstrate a particular trait of a clustering technique. 

**Figure 12** shows all of the techniques applied to the *Data2d2* test case. When appropriate, a circle is shown within a cluster to indicate the medoid of the cluster.

K-means and k-medoids results are well understood for both their strengths and weaknesses. MAXGLOB and MAXPATHL tend to mirror results from k-means and k-medoids with the exception that MAXPATHL is restricted to clustering within a connected set, making it seek clusters following a distributions’ shapes rather than just using proximity between data. The CONN technique clusters data within a connected set regardless of other criteria. LOS-MAXVIS and LOS-MUTUAL cluster according to data within convex hulls, seeking similar visibility features as part of the gathering criteria to form clusters. Spectral techniques form clusters within the eigenspace formed from two eigenvectors. The adjacency matrix used to form the Laplacian determines the nature of the neighbors used, traditionally a first nearest neighbor, however, this study employs both the LOS criteria to define “neighbors” as well as a radial basis. Further, the choice of eigenvectors used to form the eigenspace determines whether a prominent feature is clustered about (using the 1st and 2nd eigenvectors), or a more evenly distributed clustering is achieved using the 2nd and 3rd eigenvectors. Due to the number of variations in spectral clustering, the techniques are identified by an index given in Table 3, while in the text, a shorthand will be used: ([1] [2], NN1, 2DHIST) to represent the use of the 1st and 2nd eigenvectors, utilizing a Laplacian based on an adjacency matrix derived from the first nearest neighbor matrix **NN1** and gathering the partitions into clusters within the eigenspace using a 2D histogram (6 x 6) bins. Other variations in the notation are: [2] [3] for eigenvectors, LOS or RAD for the adjacency matrix, and “kmeans” or “kmedoids” to be used in the gathering of partitions within the eigenspace. Finally, the LMH-POS technique clusters using a course resolution (Low-Medium-High valued) for the binning choice, simply separating the domain into three bins per dimension to get a quick look at how the data is distributed.

**Figures 6-8** show the clustering results for the data sets derived from images, where one datum exists for each pixel turned on. After binning, these test cases generally have flat distributions, so the clustering results reflect geometrical features, useful for showing data grouping. **Figures 9-12** show the clustering results for simulated data sets for ellipsoidal distributions, where the data is unevenly distributed, some with overlapping tails, helpful in illustrating data identification.

### 8.2. Concentric1

**Figure 6** shows clustering for the *Concentric1* case, where a high degree of symmetry is present as well as a large obstruction in the middle of the data. The data is evenly distributed across the domain, so the clustering techniques fall in-
to two groups, those that adhere to the symmetry of the domain and those that break the symmetry. K-medoids (6a) shows the attempt of creating 16 clusters that almost respect the symmetry of the data set. LOS-MAXVIS (6b) finds clusters based on highest visibility first, where it assigns clusters to a set of three subsets first, then proceeds to find further clusters within the remaining set of data, creating an odd symmetry around the ring. LOS-MUTUAL (6c) finds clusters based on the largest set of partitions with visibility values in common, leading to one large cluster formed, then the next largest, and so on until all data are clustered, making this a “greedy” algorithm, taking the largest pieces first. SPECTRAL01 (6d) finds clusters ([1] [2], NN1, 2DHIST), which finds a single large subset of the data first based on modes, then proceeds to cluster to smaller subsets. This approach has the effect of creating clusters that “stripe” the domain starting from the large feature to the smaller features. SPECTRAL06 (6e) clusters ([2] [3], NN1, kmedoids) balance the assignment of clusters to data, creating more evenly spaced clusters. SPECTRAL07 (6f) clusters ([1] [2], LOS, 2DHIST) have the effect of finding large features based on visibility first, then smaller visibility clusters next. SPECTRAL12 (6g) clusters ([2] [3], LOS, kmedoids) attempts to balance the LOS assignments. SPECTRAL15 (6h) finds clusters ([1] [2], RAD, kmedoids) Laplacian based on a gaussian to assign clusters, leading to a set of clusters formed around a central location—in this case, the center of the ring.

8.3. L and Plus1

Figure 7 shows clustering for the L and Plus1 cases, where the L case is an extension of the discussion given in the simple L example (Section 7.5) and the Plus1 case can be viewed as either an “intersection” or as a tiling of the L case, where a four-fold symmetry exists. K-medoids are shown first in both cases (7a, 7e) showing typical clustering based on proximity. For L, LOS-MUTUAL (7b) shows the greedy nature of the LOS mutual technique, grabbing all of the partitions along the long axis as the first cluster, then the remaining short axis partitions that are left behind are clusters. The partitions in the intersection are not separately identified or shared in the clustering. SPECTRAL01 (7c) clusters ([1] [2], NN1, 2DHIST) by striping the “L” with the two largest features at the ends of the L. SPECTRAL07 (7d) finds clusters ([1] [2], LOS, 2DHIST) where the corner has the highest visibility such that the clusters group according to visibility—as was shown numerically in the simple L example. For the Plus1 case, SPECTRAL01 (7f) finds a curved arrangement in the clusters ([1] [2], NN1, 2DHIST), yet still finding two large subsets first, followed by smaller featured subsets last. SPECTRAL04 (7g) shows the clusters ([2] [3], NN1, 2DHIST) with similar curved features, where the overlap of the curved sub-domains break the data into symmetric clusters. SPECTRAL12 (7h) shows clusters ([2] [3], LOS, kmedoids) which extend by symmetry those found in “L” set, where the large central diamond is the extension of the corner triangle from Figure 7(d).
Figure 6. Test bank case for Concentric1 showing the following techniques: (a) KMEDOIDS \((k = 16)\); (b) LOS-MAXVIS; (c) LOS-MUTUAL; (d) SPECTRAL01; (e) SPECTRAL06; (f) SPECTRAL07; (g) SPECTRAL12; (h) SPECTRAL15.

Figure 7. Test bank case for \(L\) showing the following techniques: (a) KMEDOIDS \((k = 16)\); (b) LOS-MUTUAL; (c) SPECTRAL01; (d) SPECTRAL07. Test bank case for Plus1 showing the following techniques: (e) KMEDOIDS \((k = 16)\); (f) SPECTRAL01; (g) SPECTRAL04; (h) SPECTRAL12.
8.4. Flame1 and Flame3

Figure 8 shows clustering for the Flame1 and Flame3 cases, where symmetry is not present yet filamentary features are present with both close and larger gaps as well. K-medoids are shown first in both cases (8a, 8e) showing typical clustering based on proximity, worth noting is that when gaps become close, k-medoids will create a single cluster on both sides of the gap due to proximity. For Flame1, LOS-MUTUAL (8b) finds clusters in subsets grouped by visibility, finding the most in common first. The large group of small clusters can be assigned to nearby larger clusters, however, this study did not focus on this level of refinement, mainly the viability of the algorithm to seek clusters. SPECTRAL12 (8c) clusters ([2] [3], LOS, kmedoids) using visibility as well finding similar groups with small deviations. SPECTRAL15 (8d) clusters ([1] [2], NN1, kmedoids) using a radial basis clustering around a central location in the middle of the flame. For Flame3, LOS-MAXVIS (8f) finds clusters according to maximal visibility first exposing long clusters within the filamentary portions of the flame. SPECTRAL06 (8g) clusters ([1] [2], NN1, kmedoids) larger features first irrespective of gaps in the data, striping to smaller to features.

8.5. Data2D-1

Figure 9 shows clustering for the Data2D-1 case, where little symmetry is present in a noisy environment at a high bin resolution where no distributions overlap. K-medoids with \( k = 6 \) (9a) illustrates how data near the tail of an elongated ellipsoid can be associated with a different ellipsoidal distribution if the center of the second distribution is closer to the datum than the center of the distribution to which it belongs. The red and black square highlight this situation. MAXGLOB (9b) clusters similar to k-medoids without the problem discussed, it does not require a connected set to form clusters and is sensitive to weighted partitions. MAXPATHL (9c) is also sensitive to weighted partitions but further requires a connection between data to form a cluster, but also is sensitive to how far within the connected set a datum is to the closest maximal density of data. LOS-MAXVIS (9d) find clusters based on visibility which also requires connectivity within the partitions in order to cluster, leading to many “island” clusters. SPECTRAL01 (9e) clusters ([1] [2], NN1, 2DHIST) form around the three ellipsoids, however, it also combines all of the smaller subsets into a cluster with one the larger subsets, resulting from using 2DHISTO as a gathering mechanism in the eigenspace, where the location of the large ellipsoid is too close the locations of the smaller subsets to distinguish them from one another. SPECTRAL02 (9f) clusters ([1] [2], NN1, kmeans) shows similar clustering, where the k-means algorithm identified all of the connected smaller subsets first, leaving the three large ellipsoids to be given a single cluster ID. This failure is inherent to the spectral techniques in the presence of noise, where the high multiplicity of the lowest eigenvalue can lead to clustering that is hard to interpret. A remedy would be to order the eigenvectors with common eigenvalues by the
Figure 8. Test bank case for Flame1 showing the following techniques: (a) KMEDOIDS (k = 16); (b) LOS-MUTUAL; (c) SPECTRAL12; (d) SPECTRAL15. Test bank case for Flame3 showing the following techniques: (e) KMEDOIDS (k = 16); (f) LOS-MAXVIS; (g) SPECTRAL06.

Figure 9. Test bank case for Data2D1 in a noisy environment, $\Theta_{\text{perc}} = 0\%$, using a high number of bins showing the following techniques: (a) KMEDOIDS (k = 6); (b) MAXGLOB; (c) MAXPATHL; (d) LOS-MAXVIS; (e) SPECTRAL01; (f) SPECTRAL02; (g) SPECTRAL07; (h) SPECTRAL15.
number of non-zero elements, favoring the larger clusters first. SPECTRAL07 (9g) clusters ([1] [2], LOS, 2DHIST) identifies the three large ellipsoids more consistently than SPECTRAL02, among the large set of singleton partitions. SPECTRAL15 (9h) clustering ([1] [2], RAD, kmedoids) is less sensitive to singleton and small subsets as the adjacency matrix correlates partitions from disconnected regions, such the clusters formed are showing the modal structure rather than the connected structure.

8.6. Data3D-1

Figure 10 shows clustering for the Data3D-1 case, where little symmetry is present in a clean environment at a high bin resolution where no distributions overlap. K-medoids (10a) shows 16 clusters found in three main ellipsoids, with k-means (10b) giving similar yet different results. CONN (10c) clusters partitions connected to one another, which in a clean environment finds three ellipsoidal distributions, however, some partitions may have been “cutoff” from the main ellipsoids due to the higher data threshold placed, leading to singleton clusters. MAXPATHL (10d) shows similar results to CONN, however, additional clusters are found due to local maxima in the weighted partitions, leading to smaller clusters near the edge of the subsets along with the three main ellipsoids. LOS-MAXVIS (10e) clusters by maximal visibility first, finding variation within the three main ellipsoids based on visibility. SPECTRAL01 (10f) ([1] [2], NN1, 2DHIST) again finds difficulty finding the three ellipsoids in the presence of noise, whereas SPECTRAL07 (10g) ([1] [2], LOS, 2DHIST) under the same conditions finds the three clusters. ROBUST2 (10h) clusters according to a consensus of 50% of the algorithms in agreement of cluster IDs.

8.7. Data3D-2

Figure 11 shows clustering for the Data3D-2 case, where little symmetry is present in a clean environment at a high bin resolution where two distributions overlap. K-medoids (11a) shows 16 clusters found in three main ellipsoids, with k-means (11b) giving similar yet different results. CONN (11c) clusters partitions connected to one another, which in a clean environment finds three ellipsoidal distributions, however, some partitions may have been “cutoff” from the main ellipsoids due to the higher data threshold placed, leading to singleton clusters. MAXPATHL (11d) shows similar results to CONN, however, additional clusters are found due to local maxima in the weighted partitions, leading to one cluster which follows the contour of the merged ellipsoids. LOS-MAXVIS (11e) clusters by maximal visibility first, finding the intersection as a cluster first followed by clusters based on lesser visibility. SPECTRAL01 (11f) ([1] [2], NN1, 2DHIST) finds difficulty again for the case of three ellipsoids in the presence of noise while SPECTRAL07 (11g) ([1] [2], LOS, 2DHIST) under the same conditions only finds the two clusters among the three distributions. ROBUST2 (11h) clustering again performs well over the shortcomings of the individual techniques by using a consensus of 50% of the algorithms in agreement of cluster IDs.
Figure 10. Test bank case for Data3D1 in a low noise environment, $\theta_{\text{perc}} = 2\%$, showing the following techniques: (a) KMEDOIDS ($k = 16$); (b) KMEANS ($k = 16$); (c) CONN; (d) MAXPATHL; (e) LOS-MAXVIS; (f) SPECTRAL01; (g) SPECTRAL07; (h) ROBUST2.

Figure 11. Test bank case for Data3d2 for a low noise environment, $\theta_{\text{perc}} = 2\%$, showing the following techniques: (a) KMEDOIDS ($k = 16$); (b) KMEANS ($k = 16$); (c) CONN; (d) MAXPATHL; (e) LOS-MAXVIS; (f) SPECTRAL01; (g) SPECTRAL07; (h) ROBUST2.
8.8. Data2D-2

Figure 12 shows clustering for the Data2D-2 case, where little symmetry is present in a noisy environment at a high bin resolution where three distributions overlap. All 26 techniques are on display allowing for a cross-comparison along with the four robust algorithms in the last row (slightly larger). Of the 26 algorithms, 14 have been discussed in the previous test cases. Among the spectral techniques in a noisy environment, SPECTRAL01-SPECTRAL12, when the number of clusters sought is less than the high multiplicity of the lowest eigenvalue, without additionally specifying the order of the eigenvectors, the possibility exists that some larger subsets of the data will not be clustered as expected, leading to smaller subsets assigned to clusters otherwise seen as noise (singletons). The radial basis spectral techniques do not suffer from this confusion as they do not require a connection between the partitions in order to form clusters, allowing the approach to be sensitive to the larger structure within the domain, creating clusters around centroids within the data.

9. Robust Clustering over Multiple Algorithms

In this paper, multiple clustering algorithms have been presented and applied to several test cases. Each technique has strengths as well as weaknesses which have been exposed through the cases presented. When using multiple techniques, the possibility exists to leverage the information gathered from all techniques to arrive at a final cluster designation, based on the level of agreement or disagreement found between the algorithms [8]. This approach is comparable to ensemble modeling used in various fields [16] [17]. This section proposes four possible robust ways to gather the cluster information and assign new cluster IDs.

In each approach taken, the cluster information for the partitions is represented by a matrix of cluster IDs, where each row represents results from a single cluster algorithm and each column is a partition. The values along each row are the cluster IDs assigned to each partition, forming the matrix, \( \{\text{CLUS} \in \mathbb{N}^{C \times P}\} \) where \( C = 26 \) and \( P \) is the number of partitions. In order to find agreement or disagreement between cluster IDs across many techniques, the rows are sorted so that the cluster IDs are sorted in ascending order along the first column. For any repeated values in the first column, the next column is then sorted in a similar fashion, continuing to sort further columns until all repeated values are addressed. Table 4 illustrates this process for a sample of 40 partitions using six cluster algorithms. The top matrix is the initial partition cluster ID matrix unsorted. The second matrix is the sorted cluster ID matrix described above. Finally, the third matrix from the top shows the differences in cluster IDs along each row, where a one represents a change in cluster designation for that row’s technique. The process of assigning cluster IDs to partitions begins with the lowest numbered cluster IDs over all algorithms, and proceeds in increasing cluster ID order. In the table shown, this is equivalent to
Figure 12. Clustering techniques applied to the Data2D2 set in a noisy environment, $\Theta_{perc} = 0\%$, at a high number of bins. Clustering techniques are shown in the following order (from left-right, top-bottom): KMEDOIDS (a1), KMEANS (a2), MAXGLOB (a3), MAXPATHL (a4), CONN (a5), LOS-MAXVIS (b1), LOS-MUTUAL (b2), SPECTRAL01-18 (b3-e5), LMH-POS (f1). On the last row, robust clustering results are shown slightly larger, from left to right, ROBUST1 (f2), fractured, ROBUST2 (f3), majority, ROBUST3 (f4), all changed and ROBUST4 (f5) no overlap.
Table 4. A sample set of partitions that have had six differing cluster algorithms applied. In each case, the cluster algorithm identified up to nine different clusters. The set contains 40 partitions. The top table represents the data initially unsorted. Each row is a different cluster algorithm and each column is a partition where a cluster ID has been assigned. The second table has sorted each row while maintaining the assignments to each partition. The third table from the top shows the differences in cluster ID assignments from one column to the next. The fourth table is the final cluster assignment given to the partitions when any one change occurs (a disagreement) between the cluster algorithms. The fifth table requires a 50% majority of the cluster algorithms to change (cumulatively) before a new cluster assignment is designated. The sixth table only changes the cluster assignment once all cluster algorithms cumulatively have changed. Finally, the last table requires that all algorithms change assignments simultaneously before a new cluster ID is designated (the clusters are disjoint—with no overlap).

| 40 Partition Cluster IDs | Alg₁ | Alg₂ | Alg₃ | Alg₄ | Alg₅ | Alg₆ |
|-------------------------|------|------|------|------|------|------|
|                         | 5    | 7    | 2    | 4    | 7    | 1    |
|                         | 1    | 2    | 4    | 1    | 2    | 3    |
|                         | 8    | 6    | 4    | 1    | 4    | 1    |
|                         | 5    | 5    | 1    | 4    | 8    | 8    |
|                         | 9    | 6    | 9    | 4    | 1    | 2    |
|                         | 4    | 4    | 4    | 1    | 7    | 4    |
|                         | 3    | 3    | 4    | 3    | 3    | 4    |

| 40 Partition Cluster IDs—Resorted by Partitions in Ascending ID Order | Alg₁ | Alg₂ | Alg₃ | Alg₄ | Alg₅ | Alg₆ |
|----------------------------------------------------------------------|------|------|------|------|------|------|
|                                                                      | 1    | 1    | 1    | 1    | 1    | 1    |
|                                                                      | 1    | 1    | 1    | 1    | 1    | 1    |
|                                                                      | 1    | 2    | 2    | 2    | 3    | 3    |
|                                                                      | 3    | 3    | 3    | 3    | 3    | 3    |
|                                                                      | 4    | 4    | 4    | 4    | 4    | 4    |
|                                                                      | 4    | 4    | 4    | 4    | 5    | 5    |
|                                                                      | 5    | 5    | 5    | 5    | 5    | 5    |
|                                                                      | 6    | 6    | 6    | 6    | 6    | 6    |
|                                                                      | 6    | 6    | 6    | 6    | 6    | 6    |
|                                                                      | 7    | 7    | 7    | 7    | 7    | 7    |
|                                                                      | 7    | 7    | 7    | 7    | 7    | 7    |
|                                                                      | 7    | 7    | 7    | 7    | 7    | 7    |
|                                                                      | 7    | 7    | 7    | 7    | 7    | 7    |
|                                                                      | 7    | 7    | 7    | 7    | 7    | 7    |

| 40 Partition Cluster Difference Flags (Logical) for Sorted IDs | Alg₁ | Alg₂ | Alg₃ | Alg₄ | Alg₅ | Alg₆ |
|--------------------------------------------------------------|------|------|------|------|------|------|
|                                                              | 0    | 0    | 0    | 0    | 0    | 0    |
|                                                              | 0    | 0    | 0    | 0    | 0    | 0    |
|                                                              | 0    | 0    | 0    | 0    | 0    | 0    |
|                                                              | 1    | 0    | 0    | 0    | 0    | 0    |
|                                                              | 0    | 0    | 0    | 0    | 0    | 0    |
|                                                              | 1    | 0    | 0    | 0    | 0    | 0    |

| 40 Partition Cluster Fractured IDs | Rob₁ | Rob₂ | Rob₃ | Rob₄ |
|-----------------------------------|------|------|------|------|
|                                   | 1    | 1    | 1    | 1    |
|                                   | 1    | 1    | 1    | 1    |
|                                   | 2    | 2    | 2    | 2    |
|                                   | 3    | 3    | 3    | 3    |
|                                   | 4    | 4    | 4    | 4    |
|                                   | 4    | 4    | 4    | 4    |

| 40 Partition Cluster Majority Changed IDs | Rob₁ | Rob₂ | Rob₃ | Rob₄ |
|-----------------------------------------|------|------|------|------|
|                                         | 1    | 1    | 1    | 1    |
|                                         | 1    | 1    | 1    | 1    |
|                                         | 1    | 1    | 1    | 1    |

| 40 Partition Cluster All Changed IDs | Rob₁ | Rob₂ | Rob₃ | Rob₄ |
|------------------------------------|------|------|------|------|
|                                    | 1    | 1    | 1    | 1    |
|                                    | 1    | 1    | 1    | 1    |

| 40 Partition Cluster No Overlap IDs | Rob₁ | Rob₂ | Rob₃ | Rob₄ |
|-----------------------------------|------|------|------|------|
|                                   | 1    | 1    | 1    | 1    |

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following the partitions from left to right across the page.

As examples of robust clustering, the last four figures from Figure 12 as well as Table 4 are provided to illustrate the process. These figures show the results from a consensus using all clustering techniques excluding the LMH-POS algorithm for the Data2D2 test case with no minimal population set for the partitions. The LMH-POS technique was excluded as its partition definitions do not align with the remaining 25 algorithms. In cases where multiple techniques are compared using differing partition sizes, the robust technique is then applied per datum, using the same procedures, however, the sorting is performed over all data instead of partitions. The last four figures from Figure 12 show the following consensus techniques, from left to right, the Fractured, Majority Changed (75%), All Changed (100%) and No Overlap cases.

The Fractured robust designation results by assigning each partition a new cluster ID starting from one and increasing the cluster ID each time any technique changes its ID, which results in the largest set of clusters found. This approach is the most sensitive to changes in the cluster designations. The Majority Changed robust technique assigns a new cluster ID each time the accumulated number of algorithm cluster ID changes reaches a majority of the total number of algorithms. For each clustering technique, when a change occurs, any further changes from that technique are not registered until a majority is reached, at which point the accumulated sum of changes is reset to zero. This results in a medium sized set of clusters found, where a significant number of algorithms found a change, however, not all algorithms are required to note the change in ID. In the figure, a 75% majority was required, where ideally, the best majority threshold would create the largest number of clusters with the highest average membership. The All Changed robust case is equivalent to the Majority Changed case with a 100% majority threshold. This results in a small-medium sized set of clusters found, where every algorithm found a change, however, the changes may not have been at the same partition number, merely, that the total set of changes across all algorithms eventually required a change of ID. The No Overlap robust case assigns a new cluster ID whenever the total number of algorithms changes designation simultaneously, resulting in the smallest sized set of clusters found, where every algorithm must find a change for all partitions in a subset. Ideally, this would happen for each disconnected group of partitions, however, several techniques are “global” in scope and do not require a connection to exist to form clusters, leading to a single large cluster.

Several of the clustering techniques used in this study require either a guess or fore-knowledge of the number of clusters sought, such as KMEANS and KMEDOIDS. Robust clustering can provide a reasonable guess for the k-value, by first attaining consensus over all techniques that do not use a k-value, which are: MAXGLOB, MAXPATHL, CONN, LOS-MAXVIS, LOS-MUTUAL. Using the Majority Changed technique with a suitable choice in consensus threshold,
the number of clusters found can be used as a $k$-value, which allows a reasonable
guess to re-run the analysis utilizing the full complement of techniques.

10. Conclusions

A study using 26 clustering techniques has been performed over 12 test cases to
illustrate both the strengths and weaknesses of clustering algorithms. A robust
form of clustering is achieved through consensus over all techniques, helping
reduce clustering problems by finding consistent clustering definitions across
many approaches. The approach taken by this study utilizes six main ideas to
produce a robust clustering analysis:

- Reduce a large data set by binning the space, where the filled bins are the
  multi-dimensional partitions of the data set, each with a unique serial index, $k$.
- Algorithms use the path length between any connected partitions as well as
  traditional distance metrics ($L_1$, $L_2$, etc.).
- A Line-of-Sight (LOS) algorithm is developed to enhance the probability that
  two data are associated with one another. LOS also provides a new "super"
  neighborhood definition to be used in graph-based techniques. Data identi-
  fication is addressed in two differing ways by LOS.
- Spectral clustering using the [2] [3] eigenvectors addresses data grouping
  better than other methods.
- Employ multiple clustering techniques to the set of partitions based on first
  nearest neighbors, distance weighted factors and geometrical properties of
  the set.
- Using a consensus overcomes any one techniques' failure mode in favor of
  the strengths of multiple techniques.
- Establish a final cluster ID based on all the consensus of techniques em-
  ployed.

This study shows that high dimensional, big-data analysis can be reduced to a
smaller set of partitions where multiple clustering techniques can be used to sort
the data into clusters. While the techniques presented are all computationally
$O(N^2)$, by reducing the data set to partitions, these routines are reasonable to
perform. The introduction of the LOS criteria created new avenues for cluster
seeking. The combination of multiple clustering techniques, various distance
metrics and traditional data reduction leads to a robust set of clusters found,
which worked well in addressing issues of data identification, clustering as well
as grouping.

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Supplemental Material

Supplemental material for this study can be found at a GitHub site dedicated to this subject titled: “Data Clustering Using Path Lengths” [18]. Additional materials include source code, further documentation on techniques as well as a collection of figures covering all test-cases in figures similar in format to Figure 12.