The prognostic value of the proliferation marker Phosphohistone H3 (PPH3) in luminal, basal-like and triple negative phenotype invasive lymph node-negative breast cancer

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Abstract. Purpose: Prognostic comparison of phosphohistone-H3 (PPH3) with Cytokeratin 5/6 and/or 14 positive (= basal-CK), triple (ER, PR, HER2)-negative (= TNP) and basal-like (= TNP and basal-CK positive) phenotype in invasive breast cancers.

Patients and methods: Classical variables, PPH3, ER, PR, basal-CK and HER2 in 240 T1–2N0M0 patients under 71 years.

Results: TNP and basal-like cancers had higher PPH3 expression than the other cancers (mean 48 versus 11, \( P < 0.001 \)). Fifteen percent of the patients in the whole group, but 32–38% of TNP and basal-like cancers recurred. With multivariate analysis, PPH3 < 13 \( (n = 156) \) versus \( \geq 13 \ (n = 84 \equiv 35\% \ of \ all \ cases) \) was the strongest and only prognosticator (10-year survival 96% and 64%, \( P \leq 0.001 \), Hazard ratio \( = 9.0 \)).

Conclusion: PPH3 is the strongest prognosticators in luminal, Triple negative and basal-like T1–2N0M0 invasive breast cancers.

Keywords: Breast cancer, prognosis, proliferation, Phosphohistone H3, basal-like

1. Introduction

Breast cancer is a heterogeneous disease, encompassing a number of distinct biological entities. Clinically, invasive lymph node negative breast carcinomas are treated according to tumor size, grade, lymph and blood vessel invasion, age, HER2 and/or hormone receptor status. Recent gene expression studies have shown that breast cancers can be classified into five main groups with prognostic and predictive value: Luminal A and B, Normal breast-like, HER2 positive, and basal-like [20,29–31]. Since gene expression profiling is not widely available as a routine diagnostic method, many investigators have used surrogate immunohistochemical markers to obtain a similar classification. Cancers are classified as Luminal when ER and or PR is expressed with or without expression of HER2, as triple negative (triple negative profile = TNP) when ER, PR, and HER2 are all negative, as basal-like (= BLC) when TNP and basal cytokeratin (= basal-CK) and/or EGFR is expressed, and as HER2 positive when ER and PR are negative and HER2 is overexpressed. The normal-like breast cancers do not express basal cytokeratins, EGFR or HER2 but a TNP without expression of these markers is not necessarily a normal-like tumor as classified by gene expression arrays [1,8,19,23].

Most series contain 10–17% TNPs and up to 15% of BLCs [24,32]. Clinically, both TNPs and BLCs have a poor prognosis and lack predictive markers for
known target therapies. It has been claimed that TNPs and BLCs are synonymous [16], but the expression patterns differ. The classification of cancers by gene expression profiling and immunohistochemical methods shows reasonably good agreement, although differences do occur. For example, ER expression has been seen in 5–45% [7,21,25] and HER2 expression in 14% of cancers classified as BLCs by gene expression profiling [25]. On the other hand, 19% of immunohistochemically TNPs were negative for basal Cytokeratin and EGFR, while 7% of non-TNPs were positive for basal Cytokeratin markers [32].

TNPs and BLCs are in general, associated with high proliferation, many apoptotic cells, lack of tubule formation, cellular pleomorphism, prominent nucleoli, high grade, young age, aggressive clinical behavior, early relapse at 3–5 years, with an unusually high frequency of visceral, brain and lung, though less frequent bone, liver and axillary lymph node metastases [21,24]. Interestingly, BLCs are over-represented amongst the interval breast cancers [9].

In lymph node negative breast cancers the mortality is relatively low (10–30%). Taking into account the much higher rates of relapse and mortality found in TNP and BLCs [21,24], these subtypes which constitute up to about 17% of all breast cancers, could be responsible for a significant proportion of the deaths within this group. On the other hand, proliferation activity measured by Mitotic activity index (MAI) or phosphorylated histone H3 (PPH3) is currently the strongest prognosticators in lymph-node negative breast cancer patients under 71 years of age [3–5,14,15,26–28,34]. Whether TNP, basal-CK or BLC and proliferation have additional prognostic value together, is unknown. Therefore, we compare the prognostic value of MAI and PPH3 expression with luminal, TNP, basal-CK positive and basal-like cancers in T1–2N0M0 breast cancer patients under 71 years of age [3–5,14,15,26–28,34]. Whether TNP, basal-CK or BLC and proliferation have additional prognostic value together, is unknown. Therefore, we compare the prognostic value of MAI and PPH3 expression with luminal, TNP, basal-CK positive and basal-like cancers in T1–2N0M0 breast cancer patients under 71 years of age [3–5,14,15,26–28,34].

2. Materials and methods

2.1. Patients

The study was approved by the Regional Ethics Committee, the Norwegian Social Science Data Service, and the Norwegian Data Inspectorate. Paraffin-embedded material from 684 consecutive invasive breast cancer patients less than 71 years of age with operable breast cancer treated between 1990 and 1997 was provided by the Department of Pathology at the Stavanger University Hospital (Stavanger, Norway). Of these patients, 384 were node negative breast cancers of which the following patients were excluded: 90 patients with adjuvant treatment, carcinoma in situ only or extensive carcinoma in situ with a small micro-invasive component < 1 mm that was ineligible for MAI or PPH3 evaluation (n = 18), patients with recurrence within 6 months of follow-up, since it is likely that these patients at the time of diagnosis had undetected metastases and we wanted to analyze a pure group of lymph node negative patients (n = 3), Paget’s disease (n = 1), bilateral breast cancer (n = 4), or previous other malignancies (n = 2). For 5 patients no follow-up data were available. Material was not available for 21 patients, leaving 240 patients for analysis. There was no difference in age or tumor size in the 240 patients when compared to the original 384 patients. All patients were treated with modified radical mastectomy (n = 131) or breast-conserving therapy (n = 109) always with adequate lymph node dissection (at least 10, median 13 nodes were examined). Local-regional radiotherapy was administered to patients who underwent breast-conserving therapy or had medially localized tumors.

2.2. Pathology

The post-surgical size of the tumor was measured in fresh specimens. Tumors were cut into 0.5 cm slices, fixed in 4% buffered formaldehyde, and embedded in paraffin. Paraffin sections were carefully cut by the same experienced technician to achieve even section thickness at 4 µm for hematoxylin–eosin (H&E) and immunostaining.

Histological type was assessed according to World Health Organization criteria [33]. Grade (Grade 1 = 3, 4 or 5; Grade 2 = 6 or 7; Grade 3 = 8 or 9) was assessed according to the Nottingham modification [11], calculated as the sum of tubule formation (>75% = 1, 10–75% = 2 and <10% = 3), nuclear atypia (mild = 1, moderate = 2 and marked = 3), and mitotic activity (class 0–5 = 1, 6–10 = 2, and >10 = 3). The Mitotic Activity Index (MAI) was assessed as described elsewhere [3]. Briefly, all unambiguous mitoses were counted in 10 consecutive neighboring fields of vision (FOV) in the most cell-dense area (1.59 mm² at specimen level), usually in the peripheral growing zone. The MAI is reproducible and insensitive to variations in tissue processing [3–5,34].

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2.3. Immunohistochemistry

Antibody dilution and IHC protocols were optimized prior to the study onset. To ensure uniform handling of samples, each antibody was stained in two batches on following days using the same antibody dilution and detection reagents. Paraffin sections adjacent to the H&E sections used for assessment of MAI and histology were mounted onto Superfrost Plus slides (Menzel, Braunschweig, Germany) and dried overnight at 37°C followed by 1 h at 60°C. Sections were deparaffinized in xylene and rehydrated in decreasing concentrations of alcohol. Antigen was retrieved with a highly stabilized retrieval system (Immunoprep, Instrumec, Oslo, Norway) using 10 mM TRIS/1 mM EDTA (pH 9.0) as the retrieval buffer. Sections were heated for 3 min at 110°C followed by 10 min at 95°C and cooled to 20°C. Rabbit polyclonal anti-phosphohistone H3 (ser 10) (Upstate #06-570; Lake Placid, NY) was used at a dilution of 1:1500. Cytokeratin 5/6 (Clone D5/16 B4, Dako, Glostrup, Denmark) was used at a dilution of 1/100, and Cytokeratin 14 (Clone LL002, Novocastra, Newcastle Upon Tyne, UK) at a dilution of 1/40. ER (clone SP1, Neo-markers/LabVision, Fremont, CA) was used at a dilution 1/400. PR (Clone SP2, Neo-markers/LabVision, Fremont, CA) was used at a dilution of 1/1000. Anti-phosphohistone H3 was incubated for 60 min at 22°C. All other antibodies were incubated for 30 min at 22°C. Dako antibody diluent (S0809) was used. Endogenous peroxidase activity was blocked with a peroxidase blocking reagent (S2001; Dako) for 10 min. The immune complex was visualized with the Dako REAL EnVision Detection System, Peroxidase/DAB, Rabbit/Mouse (K5007; Dako). Sections were incubated with EnVision/HRP, Rabbit/Mouse for 30 min and diaminobenzidine (DAB+) chromogen for 10 min. The sections were counterstained with hematoxylin, dehydrated, and mounted. All steps were performed using Dako Autostainer and TBS (S1968; Dako) with 0.05% Tween 20 as wash buffer. For HER2 assessments Dako HercepTest™ and The PathVysion HER-2 DNA Probe Kit was used according to the manufacturers procedures.

2.4. Quantification of PPH3, basal Cytokeratin, ER, PR and HER2

The PPH3 index was assessed using the same counting protocol as for the MAI. Two independent pathologists counted the number of PPH3-positive objects (nuclei and mitoses) in 10 adjacent FOVs, with a ×40 objective, as described above for mitoses. Nuclei with fine granular PPH3 staining were not counted, as these cells are not in the G2 phase [6]. PPH3-rich areas are usually localized in the periphery (i.e., growing zone) of the cancers. If the counts of two observers differed by more than 3 figures, the count was repeated with a multi-head microscope and a consensus score was obtained. In addition to performing subjective counts, PPH3 expression was evaluated using the fully automated VIS analysis system (Visiopharm, Hørsholm, Denmark), using the same image processing principles described before [27]. Reproducibility of the PPH3 measurements between subjective counts by two observers, and between subjective and digital image analysis results was high (R = 0.94–0.98). Not surprisingly, the reproducibility of the PPH3 counts by the automated digital image analysis on different days by different observers was close to perfect (R = 0.99). For this reason, in the statistical analysis the image analysis counts were used.

The percentage of CK5/6 and CK14 positive tumor cells in each cancer was scored using a continuous scale of 0–100%. ER and PR were scored as positive when nuclear staining was present in >10% of the tumor cells. HER2 was scored according to the Dako HercepTest™ scoring protocol. All 2+ and 3+ cases were tested by the PathVysion HER-2 DNA Probe Kit, and only HER2 amplified cases were regarded as positive. All stainings were scored independently by two pathologists; in any discrepancies consensus was achieved using a multi-head microscope.

2.5. Data analysis

Correlations were calculated using the Spearman and kappa tests. For survival analysis, the main endpoints were distant metastases recurrence and overall distance metastases-related survival. To determine the probability that patients would remain free of distant metastases, we defined recurrence as any first recurrence at a distant site. Patients were censored from the date of the last follow-up visit for death from causes other than breast cancer, local or regional recurrences, or the development of a second, primary cancer, including contralateral breast cancer. If a patient’s status during follow-up indicated a confirmed metastasis without a recurrence date, the follow-up visit date was used. Age, time to first recurrence, and survival time were calculated relative to the primary diagnosis date. For the MAI, three sets of previously established prog-
nastic thresholds (<6, 6–10, ≥11, <10 versus ≥10; and <3, 3–9 and ≥10) were examined [3–5]. For PPH3 the recently validated cut-off <13 versus ≥13 positive late G2/mitotic cell/1.59 mm² was used [26,27].

For the basal cytokeratins (basal-CK) CK5/6 and CK 14 receiver operating curves were applied to identify the objectively optimal prognostic threshold. TNP was defined as negative for ER, PR, and HER2. Tumours were classified as BLC when TNP (ER/PR/HER2 negative) and one or both of the basal-CK (CK 5/6, CK 14) were positive in >0% of the tumor cells. Kaplan–Meier survival curves were constructed, and between-group differences were tested using the log-rank test. The relative importance of potential prognostic variables was tested using Cox-proportional hazard analysis and expressed as a hazard ratio (HR) with a 95% confidence interval (CI).

3. Results

Of all 240 patients, 36 (15%) developed distant metastases and 28 (12%) died. Phosphohistone H3 expression occurred preferentially in the peripheral growing front. PPH3 correlated with age, tumor diameter, grade, tubular formation, nuclear atypia, MAI, ER, PR, TNP, basal-CK, and BLC (Table 1). Triple-negative cell type (ER, PR, HER2 negative) cancers occurred in 28/240 (12%) patients of which nine (32%) developed distant metastases and seven (25%) died. Of the basal cytokeratin positive cases (CK5/6 and/or CK14 positive, 27/240 = 11%), ten (37%) showed distant recurrence and nine (33%) died. Of the 21 basal-like cancers (triple negative and basal cytokeratin positive), eight (38%) recurred and seven (33%) died. Most (213) cancers were completely negative for CK5/6 and/or CK14 and therefore classified as TNP, HER2+ or luminal according to ER, PR and HER2 expression (Figs 1 and 2).

ROC curve analysis was used to assess the optimal prognostic threshold for basal cytokeratin expression. In agreement with others we found that negative versus positive (which is easy to define and well reproducible) was the best cut-off value [8,19]. We also investigated the commonly used cut off <10% versus ≥10% positive tumor cells [22]. Only 4 cases had between 0 and 10% positive tumor cells, and the prognostic results were comparable with the threshold of 0, indicating that basal cytokeratin expression as negative versus positive is a robust and reliable prognostic feature.

TNP, basal-CK positive and BLC cancers had a significantly (P<0.001) higher PPH3 expression (means: 48, 43, 48) compared to the luminal (ER and/or PR positive) cancers (mean 11).

Classical features, PPH3 < 13 versus ≥13 (P ≤ 0.001, HR = 9.0, CI = 3.7–21.8)), MAI < 10 versus ≥10 (P ≤ 0.001, HR = 5.5, CI = 2.7–11.0), basal-CK positive (P ≤ 0.001, HR = 4.0, CI = 1.9–8.3), TNP- (P = 0.01, HR = 2.5, CI = 1.2–5.3) and BLC-phenotype (P ≤ 0.001, HR = 3.7, CI = 1.7–9.3) were all strong independent prognostic factors (Table 2, Fig. 3). In the total group, the PPH3 prognostic threshold H3 < 13 (n = 156; 65% of all cases) versus ≥13 (n = 84; 35% of all cases) was associated with 96% and 64% 10-year distant metastases recurrence-free survival. Generally, if MAI, PPH3, ER, TN, basal-CK and BLC had unfavorable values, each of these features identifies a subgroup with a 10 year recurrence free survival of between 60–70%.

In agreement with this, in a multivariate analysis, including all the abovementioned prognostic factors studied, PPH3 < 13 versus ≥13 was the strongest prognosticator (P ≤ 0.001, HR = 9.0, CI = 3.7–21.8).

Grade 1 versus Grade 2 and 3 had weak additional prognostic value to PPH3 but only in PPH3 ≥ 13 (P = 0.03) and not in the patients with PPH3 < 13 (P = 0.25). The other features (TNP, basal-CK, BLC, tumor size, ER, PR and HER2) were not significant (Tables 3 and 4).

4. Discussion

The results confirm earlier studies and show strong prognostic and predictive value of the TNP, basal-CK and BLC phenotype and proliferation markers, especially PPH3. It is well known that TNP, basal-CK and BLC cancers have a poor prognosis, which was also found in our material. The patient group studied therefore is representative for T1N0M0 operable invasive breast cancer. Interestingly, a much higher PPH3 proliferation index occurred in the TNP, basal-CK and basal-like cancers, than in luminal type cancers. On the other hand, not all TNP/basal-CK and BLC cancers had high proliferation. Although the numbers were small, the difference between low and high PPH3 in TNP, basal-CK and BLC cancers showed a clear trend similar to that found in luminal cancers. In agreement with this, multivariate analysis selected PPH3 as the factor with overriding strong prognostic value. Taken together, these results suggest that the poor prognostic
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Table 1
Correlation of the PPH3 index and clinico-pathologic features

|               | PPH3 < 13 (n = 156) | PPH3 ≥ 13 (n = 84) | P  
|---------------|---------------------|-------------------|-----
| Age           |                     |                   |     
| <55           | 58                  | 48                | 0.003 |
| ≥55           | 98                  | 45                |      |
| Tumor diameter|                     |                   |     
| ≤2 cm         | 141                 | 63                | 0.001 |
| >2 cm         | 15                  | 31                |      |
| Surgery       |                     |                   |     
| BCT           | 67                  | 42                | 0.3  |
| MRM           | 89                  | 38                |      |
| Grade         |                     |                   |     
| 1             | 73                  | 8                 | <0.001 |
| 2             | 76                  | 32                |      |
| 3             | 7                   | 44                |      |
| Tubular formation|                 |                   |     
| >75%          | 20                  | 4                 | <0.001 |
| 10–75%        | 54                  | 10                |      |
| <10%          | 82                  | 70                |      |
| Nuclear atypia|                     |                   |     
| Mild          | 31                  | 2                 | <0.001 |
| Moderate      | 94                  | 37                |      |
| Marked        | 31                  | 45                |      |
| MAI           |                     |                   |     
| 0–5           | 136                 | 11                | <0.001 |
| 6–10          | 15                  | 14                |      |
| ≥10           | 5                   | 59                |      |
| ER            |                     |                   |     
| Positive      | 146                 | 53                | <0.001 |
| Negative      | 10                  | 24                |      |
| PR            |                     |                   |     
| Positive      | 116                 | 49                | 0.01  |
| Negative      | 40                  | 35                |      |
| TNP           |                     |                   |     
| Not TNP       | 151                 | 61                | <0.001 |
| TNP           | 5                   | 23                |      |
| CK5/6         |                     |                   |     
| Negative      | 153                 | 62                | <0.001 |
| Positive      | 3                   | 22                |      |
| CK14          |                     |                   |     
| Negative      | 153                 | 68                | <0.001 |
| Positive      | 3                   | 16                |      |
| Basal         |                     |                   |     
| Negative      | 152                 | 61                | <0.001 |
| Positive      | 4                   | 23                |      |
| Cytokeratin   |                     |                   |     
| Negative      | 154                 | 65                | <0.001 |
| Positive      | 2                   | 19                |      |

P, probability of no difference; PPH3, phosphohistone H3; BCT, breast conserving therapy; MRM, modified radical mastectomy; MAI, mitotic activity index; ER, estrogen receptor; PR, progesterone receptor; CK5/6, Cytokeratin 5/6; CK14, Cytokeratin 14; TNP, triple negative; BLC, basal-like carcinoma.

value of basal cell type cancers is due to high proliferation, whereas the overriding prognostic criterion of luminal type cancers is low proliferation and is therefore associated with a much better prognosis (of course, exceptions occur of luminal type cancers with high PPH3 which have poor prognosis). Once the difference in PPH3 proliferation of the TNP, basal and luminal cell types is taken into account, the other characteristics (ER, PR, CK5/6, CK14) do not have additional prognostic value.

Some therapeutic decision making programs for node negative breast cancer use ER, tumour diameter
Fig. 1. Example of a basal-like breast cancer stained with Cytokeratin 5/6, Cytokeratin 14, Phosphohistone H3 (PPH3), Estrogen receptor (ER), Progesterone receptor (PR) and HER2.

Fig. 2. Example of a luminal breast cancer stained with Cytokeratin 5/6, Cytokeratin 14, Phosphohistone H3 (PPH3), Estrogen receptor (ER), Progesterone receptor (PR) and HER2.
Table 2
Prognostic value (long-term, recurrence-free survival and Disease Related Mortality) of clinicopathologic features, MAI, steroid receptors, and PPH3

| Characteristic          | Recurrence | Disease Related Mortality |
|-------------------------|------------|---------------------------|
|                         | Events     | % Logrank                 | HR (95% CI) | Events     | % Logrank | HR (95% CI) |
|                         | at risk    | P-value                   |             | at risk    | P-value   |             |
| Age                     |            |                           |             |            |           |             |
| <55                     | 20/106     | 81                        | 15/106      | 86         | 0.41      | 0.8 (0.4–1.5) |
| ≥55                     | 16/134     | 88                        | 13/134      | 90         | 0.45      | 0.8 (0.4–1.6) |
| Surgery                 |            |                           |             |            |           |             |
| BCT                     | 17/109     | 84                        | 13/109      | 88         |           |             |
| MRM                     | 19/131     | 86                        | 15/131      | 89         | 0.8       | 1.1 (0.5–2.2) |
| Tumor diameter          |            |                           |             |            |           |             |
| ≤2 cm                   | 26/204     | 87                        | 19/204      | 91         |           |             |
| >2 cm                   | 10/36      | 72                        | 9/36        | 75         | 0.01      | 2.7 (1.2–6.0) |
| ER status               |            |                           |             |            |           |             |
| Positive                | 23/199     | 88                        | 17/199      | 92         |           |             |
| Neg                     | 13/41      | 68                        | 11/41       | 73         | 0.001     | 3.5 (1.6–7.4) |
| PR status               |            |                           |             |            |           |             |
| Positive                | 18/165     | 89                        | 13/165      | 92         |           |             |
| Neg                     | 18/75      | 76                        | 15/75       | 80         | 0.006     | 2.7 (1.3–5.7) |
| HER2                    |            |                           |             |            |           |             |
| Neg                     | 27/214     | 87                        | 22/214      | 90         |           |             |
| Positive                | 9/26       | 65                        | 6/26        | 77         | 0.05      | 2.4 (1.0–5.8) |
| Grade                   |            |                           |             |            |           |             |
| I                       | 1/81       | 99                        | 1/81        | 99         |           |             |
| II                      | 17/108     | 84                        | 13/108      | 88         | 8.7       | 8.7 (1.1–66.9) |
| III                     | 18/51      | 65                        | 14/51       | 73         | <0.001    | 20.7 (2.7–157.3) |
| MAI                     |            |                           |             |            |           |             |
| <10                     | 12/176     | 93                        | 9/176       | 95         |           |             |
| ≥10                     | 24/64      | 63                        | 19/64       | 70         | <0.001    | 5.9 (2.7–13.0) |
| MAI                     |            |                           |             |            |           |             |
| 0–5                     | 5/147      | 97                        | 4/147       | 97         |           |             |
| 6–10                    | 7/29       | 76                        | 5/29        | 83         | 5.9       | 5.9 (1.6–22.0) |
| >10                     | 24/64      | 63                        | 19/64       | 70         | <0.001    | 10.9 (3.7–32.2) |
| MAI                     |            |                           |             |            |           |             |
| <3                      | 2/115      | 98                        | 2/115       | 98         |           |             |
| 3–9                     | 10/61      | 84                        | 7/61        | 89         | 6.4       | 6.4 (1.3–30.9) |
| >10                     | 24/64      | 63                        | 19/64       | 70         | <0.001    | 17.2 (4.0–73.7) |
| Nuclear atypia          |            |                           |             |            |           |             |
| Mild                    | 1/33       | 97                        | 1/33        | 97         |           |             |
| Moderate                | 13/131     | 90                        | 9/131       | 93         | 2.0       | 2.0 (0.3–15.8) |
| Marked                  | 22/76      | 71                        | 18/76       | 76         | 0.002     | 6.6 (0.9–49.7) |
| Tubular formation       |            |                           |             |            |           |             |
| >75%                    | 1/24       | 96                        | 1/24        | 96         |           |             |
| 10–75%                  | 3/64       | 95                        | 1/64        | 98         | 0.3       | 0.3 (0.02–4.9) |
| <10%                    | 32/152     | 79                        | 26/152      | 83         | 0.004     | 3.6 (0.5–26.6) |
| PPH3                    |            |                           |             |            |           |             |
| <13                     | 6/156      | 96                        | 5/156       | 97         |           |             |
| ≥13                     | 30/84      | 64                        | 23/84       | 73         | <0.001    | 8.9 (3.4–23.5) |
| Triple                  |            |                           |             |            |           |             |
| Not TNP                 | 27/212     | 87                        | 21/212      | 90         |           |             |
| Negative                | 9/28       | 68                        | 7/28        | 75         | 0.02      | 2.6 (1.1–6.2) |
| Basal-CK                |            |                           |             |            |           |             |
| Negative                | 26/213     | 88                        | 19/213      | 91         |           |             |
| Positive                | 10/27      | 63                        | 9/27        | 67         | <0.001    | 4.3 (1.9–10.0) |
| Basal-like               |            |                           |             |            |           |             |
| Not BLC                 | 28/219     | 87                        | 21/219      | 90         |           |             |
| BLC                     | 8/21       | 62                        | 7/21        | 68         | 0.001     | 3.9 (1.7–9.2) |

AW, alive and well; P: probability of no difference; HR, Hazard ratio; CI, confidence interval; BCT, breast conserving therapy; MRM, modified radical mastectomy; ER, estrogen receptor; PR, progesterone receptor; MAI, mitotic activity index; PPH3, phosphohistone H3; TNP, triple negative for ER, PGR and HER2; Basal-CK, cytokeratin 5/6 and/or cytokeratin 14; BLC, basal-like.
Fig. 3. Survival curves of patients stratified by (a) Triple negative phenotype, (b) Basal-like cancer phenotype and (c) PPH3.

and age as the most important prognostic factors, while the use of basal versus luminal type cancers is also widespread. The previous and current results raise the question of whether proliferation markers, especially PPH3 should not be used instead for this purpose when the results are confirmed in other studies. The excellent identification by the PPH3 stain of mitoses, the robustness of the staining and the wide range of strong prognostic value of other thresholds around 13, reduces the risk of prognostically classifying patients erroneously [26,27]. Steroid receptors are often used as primary prognostic and therapeutic classifiers in node-negative breast cancer patients (see www.adjuvantonline.com). Indeed, in older patients (>70 years) presence of estrogen receptors is a stronger predictor than the proliferation marker MAI and therefore ER can be used as a primary prognostic variable in the elderly patient. However, this is not the case for patients under 71 where proliferation (either measured as the MAI or PPH3 index) is stronger [4,27]. Nearly two-thirds of the LN-negative patients less than 71 years have a low, and only one-third a high, (prognostically unfavorable) PPH3 labeling. This means that if selection of T1–2N0M0 invasive breast cancer patients for adjuvant therapy would be done according to PPH3 (i.e., treat patients with PPH3 \( \geq 13 \)), overtreatment would be much less than with other widely used prognosticators with a lower sensitivity and specificity. The result is very low under-treatment and at the same time as low over-treatment as possible.

In spite of the strong prognostic value of PPH3 and the excellent prognosis of patients with a low PPH3 index (65% of the patients), 60% of the remaining 35% of patients with PPH3 \( \geq 13 \) and unfavorable prognostic probability nonetheless survived without signs of distant metastases at long follow-up. This suggests that other factors than proliferation also play a major role. Candidate markers may include hypoxia-related factors [2], vascular tumor factors [10], PAI-1/UpA [13], immune response [17], bone marrow micrometastases [12], activation or deactivation of transcription factors involved in proliferation, differentiation and apoptosis (Stat5 and E2F1) [18,35], or dysregulation of tumor suppressors and signaling pathways. It is important to investigate the prognostic value of these factors in comparison to PPH3.

5. Conclusion

The poor prognostic value of triple negative and basal-like phenotype was confirmed in the current
Results of the multivariate survival analysis. Grade 1 versus Grade 2 and 3 have additional prognostic value to PPH3 ($P = 0.04$) but none of the other features analyzed do ($P > 0.05$).

|                | $P$       | HR        | 95% CI       |
|----------------|-----------|-----------|--------------|
| PPH3 < 13 vs $\geq 13$ | $<0.001$ | 9.0       | 3.8–21.8     |
| Grade 1 vs 2 and 3 | 0.04      | 8.7       | 1.2–65.4     |
| MAI 10 | 0.76      | –         | –            |
| ER      | 0.70      | –         | –            |
| PR      | 0.12      | –         | –            |
| HER2    | 0.32      | –         | –            |
| Basal-like | 0.36    | –         | –            |
| TNP     | 0.89      | –         | –            |
| Basal-CK | 0.27     | –         | –            |
| Tumor size $\leq 2$ vs $>2$ cm | 0.33     | –         | –            |

$P$, probability of no difference; HR, Hazard ratio; CI, confidence interval; PPH3, phosphohistone H3; PR, progesterone receptor; TNP, triple negative for ER, PGR and HER2; HER2, Epidermal growth factor receptor 2; ER, estrogen receptor; Basal-CK, cytokeratin 5/6 and/or cytokeratin 14; BLC, basal-like.

Grade 1 versus Grade 2 and 3 stratified for PPH3 $< 13$ and $\geq 13$. Grade 1 versus Grade 2 and 3 have additional prognostic value to PPH3 but only in PPH3 $\geq 13$ ($P = 0.03$) and not in the patients with PPH3 $< 13$ ($P = 0.25$).

|                | Total n | $n$ of events | Censored | $P$     |
|----------------|---------|--------------|----------|---------|
|                | n       | n            | Percent  |         |
| PPH3 $< 13$   |          |              |          |         |
| Grade 1        | 73      | 1            | 72       | 98.6    |
| Grade 2 and 3  | 83      | 5            | 78       | 94.0    | 0.25    |
| PPH3 $\geq 13$|          |              |          |         |
| Grade 1        | 8       | 0            | 8        | 100     |
| Grade 2 and 3  | 76      | 30           | 46       | 60.5    | 0.03    |

PPH3, phosphohistone H3; $P$, probability of no difference.

Conflicts of interest

None of the authors have any financial or other relationships with entities that have investment, licensing, or other commercial interests in the subject matter under consideration in this article.

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