Limitations of Nanotechnology for Atom Interferometry

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Do van der Waals interactions determine the smallest nanostructures that can be used for atom optics? This question is studied with regard to the problem of designing an atom interferometer with optimum sensitivity to de Broglie wave phase shifts. The optimum sensitivity to acceleration and rotation rates is also considered. For these applications we predict that nanostructures with a period smaller than 40 nm will cause atom interferometers to perform poorly because van der Waals interactions adversely affect how nanostructure gratings work as beam splitters.

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Atom interferometers that are built with nanostructure gratings have proven their ability to detect small perturbations to atomic de Broglie waves. Examples of quantities measured with this technique include: the polarizability of Na atoms, the index of refraction for Na atom waves due to a dilute gas, the strength of atom-surface van der Waals interaction potentials, and the rotation rate of a platform. Because all of these measurements are related to interference fringe phase shifts, an important design goal for atom interferometers is to optimize the sensitivity to the phase of an interference pattern. This goal was discussed by Scully and Dowling for matter-wave interferometers in general, and then discussed for atom interferometers that are based on mechanical absorption gratings by Pritchard and also by Vigué. However, none of these analyses specifically include the effect of van der Waals (vdW) interactions between atoms and the nanostructure gratings. In this paper we review how phase sensitivity can be maximized by selecting the open fraction of each grating; then we show how vdW interactions modify these calculations. Finally, we show how vdW interactions determine the minimum period of nanostructure gratings that can optimize the performance of atom interferometers for inertial sensing.

van der Waals interactions between atoms and material gratings change the diffraction efficiencies, \( e_n \), which we define as the modulus of the diffracted wave amplitude in the \( n \)th order as compared the wave amplitude incident on the grating,

\[
e_n = \frac{|\psi_n|}{|\psi_{inc}|}.
\]

The effect of vdW interactions is generally marked by an increase in \( e_n \) for \( n > 1 \) and a decrease in \( e_0 \), but the efficiencies \( e_n \) depend non-linearly on the strength of vdW interactions as discussed in the literature. In this paper we will use newly identified scaling laws for \( e_n \) to describe how the vdW interactions affect the interference pattern and the statistical sensitivity to phase shifts in a three-grating Mach-Zehnder atom interferometer. The impact of vdW interactions is particularly important if the grating period is reduced below 100-nm and the atom beam velocity is reduced below 1000 m/s. This analysis shows how vdW forces set fundamental limits on the smallest nanostructure features that can be used for atom interferometry. For example, grating windows that are 20 nm wide will optimize the sensitivity to rotations or accelerations for an atom interferometer with 1000 m/s Na atoms and 150 nm thick silicon nitride gratings.

The layout of a Mach Zehnder atom beam interferometer is shown in figure 1. The first grating (G1) serves as a beam splitter. The second grating (G2) redirects the beams so they overlap in space and make a probability density interference pattern \( I(x') \) just before the third grating (G3). Because the detector in this example is located close enough to G3 so that diffraction from G3 is not resolved, the third grating simply works as a mask. The transmitted flux \( I(x_3) \) depends on position of the third grating, \( x_3 \), relative to the other gratings as

\[
I(x_3) = \langle I \rangle \left[ 1 + C \cos(k_g x_3 + \phi) \right]
\]

where \( \langle I \rangle \) is the average transmitted atom beam intensity, \( C \) is the contrast defined as

\[
C = \frac{I_{Max} - I_{Min}}{I_{Max} + I_{Min}}.
\]

\( k_g = 2\pi/d \) is the wavenumber of the grating, and \( \phi \) is the phase that the interferometer is designed to measure. An example of interference fringe data and a best-fit function for \( I(x_3) \) based on equation 3 is shown in figure 2. The values of \( \langle I \rangle \), \( C \), and \( \phi \) are free parameters in the fit, but the period \( d = 100 \) nm in figure 2 is determined by the gratings, and the distance \( x_3 \) is independently measured with a laser interferometer as described in [11].

As discussed in the literature, the measured fringe phase, \( \phi \), is predicted to have a statistical variance, \( \sigma_\phi^2 \), due to shot noise (counting statistics) given by

\[
\sigma_\phi^2 = \langle (\phi - \langle \phi \rangle)^2 \rangle = \frac{1}{C^2 N}
\]

where \( N \) is the total number of atoms counted. To minimize the uncertainty in phase we therefore seek to maximize the quantity \( C^2 \langle I \rangle \) which is proportional to \( C^2 N \). This can be achieved by increasing the observation time,
The interferometer layout with gratings G1, G2 and G3.

The transverse position of G3 relative to the other gratings is labeled $x_3$. Two beams with amplitudes $\psi_1$ and $\psi_{1\text{H}}$ are incident on G3.

The interference pattern just before G3 is

$$I'(x') = |\psi_1 + \psi_{1\text{H}}|^2$$

$$= \left| e_1 e_1' + e_0 e_1' e^{i(k_g x' + \phi)} \right|^2 I_{inc}$$

$$= \langle I' [1 + C' \cos(k_g x' + \phi)] \rangle$$

(Fig. 1)

where $\psi_1$ and $\psi_{1\text{H}}$ are the wave functions of the two beams incident on G3, and the notations $e_n^{G1}$ and $e_n^{G2}$ denote the diffraction efficiency for G1 or G2 into the $n$th order.

Equation 7 looks similar to equation 2 but the primes denote the third grating, $I(x_3)$, is related to the intensity $I'(x')$ by

$$I(x_3) = \frac{1}{d} \int_{-w_3/2}^{w_3/2} I'(x_3 - x') dx'.$$

(Fig. 2)

The interference fringe data and best fit based on equation 2 with $\langle I \rangle = 157,000$ counts per second and $C = 0.42$. A total of 5 seconds of data are shown and the uncertainty in phase calculated by equation $\Psi$ is $\sigma_\phi = 2.7 \times 10^{-3}$ radians.

Increasing the intensity of the atom beam incident on the interferometer ($I_{inc}$), increasing the quantum efficiency of the detector, and, depending on the beam collimation, by increasing the detector size. Maximizing $C^2 \langle I \rangle / I_{inc}$ can also be achieved by choosing specific open fractions for the three gratings because the open fractions affect $\psi_1$ and $\psi_{1\text{H}}$ relative to $\psi_{inc}$. The open fractions are defined as $w_i/d$ where $w_i$ is the window size for the $i$th grating (G1 G2 or G3), and $d$ is the grating period. We will show that other factors in addition to the open fractions such as the strength of the vdW interaction, the atom beam velocity, and the absolute size of the nanostructure gratings are needed to completely determine $\psi_1$ and $\psi_{1\text{H}}$ relative to $\psi_{inc}$.

$$C^2 \langle I \rangle = 4 I_{inc} \left[ \frac{(e_1^{G1} e_0^{G1})^2}{(e_1^{G1})^2 + (e_0^{G1})^2} \right] \left[ \frac{(e_1^{G2})^2}{(e_1^{G1} e_0^{G2})^2 + (e_0^{G1} e_0^{G2})^2} \right] \left[ \frac{(\sin(k_g w_3/2))^2}{(k_g w_3/2)} \right].$$

The quantity we seek to maximize, $C^2 \langle I \rangle$, can now be written in terms of the diffraction efficiencies for G1 and G2 and the open fraction for G3:

$$\langle I \rangle = \frac{w_3}{d} \langle I' \rangle,$$

$$C = \frac{\sin(k_g w_3/2)}{(k_g w_3/2)}.$$
mined by only one grating G1 G2 or G3. Each term in brackets can then be maximized independently. To obtain equation 14 it was assumed that $e_1^2 = e_2^2$, which is verified to be a good approximation by the symmetry of the experimentally observed diffraction patterns [12, 13].

Note that if diffraction from G3 can be resolved, as in the interferometer built by Toennies [18, 19], then G3 acts as a beam combiner (not a mask) and $C^2(I)$ becomes a function of the diffraction efficiencies of all three gratings. This applies also for phase gratings and has been considered by Vigué [8], however we will restrict this paper on vdW interactions to the case of nanostructure gratings. This applies also for phase gratings and has been considered by Vigué [8], however we will restrict this paper on vdW interactions to the case of nanostructure gratings with G3 acting as a mask. Near field diffraction from the collimating slits and $C_3$ depend on near field effects from G3 are explicitly ignored here.

To state the figure of merit $C^2(I)$ in equation 14 in terms of the physical dimensions of each grating the next step is to evaluate the diffraction efficiencies. If vdW interactions with the grating bars are ignored then the diffraction efficiency for atom wave amplitude into the $n$th transmission diffraction order is given by

$$e_n = \left(\frac{w}{d}\right) \frac{\sin(n\pi w/d)}{(n\pi w/d)}$$

where $w$ is the grating window size and $d$ is the grating period. Equation 15 is valid in the far-field (Fraunhofer) approximation and gives the familiar $\text{sinc}^2(nw/d)$ envelope function for diffraction intensities $I_n = |\psi_n|^2$.

Using equation 15 for the diffraction efficiencies we can write $C^2(I)$ in terms of the open fractions of the three gratings.

$$C^2(I) = 4I_{\text{inc}} \left[ \frac{\left(\frac{w_1}{d} \frac{\sin(\pi w_2/d)}{\pi} \right)^2}{\left(\frac{w_1}{d}^2 + \frac{\sin(\pi w_2/d)}{\pi}\right)^2} \right] \left[ \frac{\sin(\pi w_2/d)}{\pi} \right]^2 \left[ \frac{\sin(\pi w_3/d)}{(\pi w_3/d)} \right] \left(\frac{w_3}{d}\right)$$

The three bracketed terms in equation 16 are functions of $w_1d^{-1}$, $w_2d^{-1}$, and $w_3d^{-1}$ respectively, and each term can be maximized independently as shown in Figure 3. The open fractions for (G1, G3, G3) that maximize $C^2(I)$ are $(0.56, 0.50, 0.37)$. The maximum value of $C^2(I)/I_{\text{inc}} = 0.0070$ is obtained when the contrast is $C = 0.67$ and the average intensity is $I = (0.015)I_{\text{inc}}$. It is noteworthy that a low value of $(I)/I_{\text{inc}} \ll 1$ is hard to avoid because the gratings are, after all, absorption gratings. These values for $w_1$, $w_2$, $w_3$, $C$, and $(I)/I_{\text{inc}}$ that maximize $C^2(I)$ reproduce the results stated in [8] and are listed on the first row of Table I. This concludes our review of how to obtain optimum interference fringe patterns (with minimum $\sigma$) from an atom interferometer built with three nanostructure gratings assuming vdW interactions are negligible.

Next we will show how vdW interactions modify the best open fractions for G1 and G2. To predict how the diffraction efficiencies change as a result of the vdW interaction we will use a numerical calculation described in [14, 15] and summarized here. The van der Waals potential is

$$V(r) = -\frac{C_3}{r^3}$$

where $r$ is the distance to an infinite plane and $C_3$ is the vdW coefficient. The vdW coefficient for sodium atoms and silicon nitride surfaces has been measured to be $C_3 = 3 \text{ meVnm}^3$ [4, 14] and for helium and silicon nitride $C_3 = 0.1 \text{ meVnm}^3$ [11]. The phase shift for atom waves passing through a slot between two grating bars, as discussed in references [4, 11, 12, 13, 14, 15], is

$$\phi(\xi) = \frac{C_3\ell}{\hbar v} \left( \frac{1}{|\xi - w/2|^3} + \frac{1}{|\xi + w/2|^3} \right)$$

where $\xi$ is the coordinate inside the grating channel ($\xi = 0$ in the middle of the window), $\ell$ is the thickness of the grating, $\hbar$ is Planck’s constant divided by 2$\pi$, and $v$ is the atom beam velocity. Equation 18 is obtained by assuming parallel-sided slot walls, neglecting edge effects at the entrance and exit to the slot, and using the WKB approximation to first order in $V(r)/E$ (potential over kinetic energy). Despite all these approximations, equation 18 has been used (occasionally with a modification for non-parallel walls) to explain several experimental observations regarding vdW interactions between atoms and nanostructure gratings [4, 11, 12, 14, 15].

With the vdW-induced phase shift, $\phi(\xi)$, given by equation 18 incorporated into the transmission function for the grating, the diffraction efficiencies in the far-field approximation are

$$e_n = \frac{1}{d} \int_{-w/2}^{w/2} \exp[inks_j\xi + i\phi(\xi)] d\xi.$$  

By combining equations 18 and 19 and performing the change of variables $\xi = yd$ we can re-write the efficiencies in terms of three linearly independent dimensionless parameters so that $e_n = e_n(p_1, p_2, p_3)$ is explicitly

$$e_n = \int_{-p_2}^{p_2} \exp \left[ ip_1y + \frac{ip_3}{y - p_2^2} + \frac{ip_3}{y + p_2^2} \right] dy$$

for $n \geq 0$.
The additional parameters are $v$ of $C$.

FIG. 3: The bracketed terms in equation 14 that optimize $C^2(I)/I_{inc}$ are plotted as a function of the open fractions $w_1$, $w_2$, and $w_3$ (Top, Middle and Bottom). The thick solid curves correspond to $C_3=0$. The factors are also shown for the cases of $C_3 = 3$ and 30 meVnm$^3$ (thick, thin and dashed lines). The additional parameters are $v = 1000 \text{m/s}$, $d = 100 \text{nm}$ and $\ell = 150 \text{nm}.

where the independent parameters are

$$p_1 = \frac{2\pi n}{d}$$

$$p_2 = \frac{1}{2} w$$

$$p_3 = \frac{C_3 \ell}{\hbar v d^2}.$$  

This exact choice of parameters is arbitrary, but convenient to simplify equation 24. The diffraction efficiencies thus depend on the vdW coefficient, atom velocity, grating period, grating thickness, and the grating open fraction. This can be compared to equation 14 in which the efficiencies depend only on $p_1$ and $p_2$, and equation 24 reduces to equation 14.

Now we have derived all the relationships (equations 14 and 24) needed to compute the figure of merit $C^2(I)$ as a function of the vdW coefficient $C_3$. Figure 4 shows the quantity $C^2(I)/I_{inc}$ as a function of $C_3$ for parameters $(v=1000 \text{ m/s}, \ d=100 \text{ nm}, \ \ell = 150 \text{ nm} \ and \ open \ fractions \ (0.56, 0.50, 0.37))$ that are similar to those in experiments 1, 2, 3, 4, 5, 6, 19 with supersonic atom beams and state-of-the-art silicon nitride nanostructure gratings.

Since this condition is nearly satisfied in experiments 1, 2, 3, 4, 5, 6, 19, we are motivated to ask the question, “is it ever worth using sub-100-nm period gratings for atom interferometry?” Or, to paraphrase Richard Feynmann, “is there no more room at the bottom?” We will address this question by exploring how the open fractions and all the parameters in $p_1$ affect the figure of merit for minimum $\sigma_\phi$; then as a separate issue we will investigate how the sensitivity to rotation and acceleration is affected by vdW interactions.

The open fractions can be made larger or smaller by altering the nanostructure fabrication procedure described in 20, 21, 22. So we used equations 14 and 24 to specify what open fractions should be chosen to optimize the interferometer if $C_3 = 3 \text{ meV nm}^3$ and the other parameters are kept $v = 1000 \text{ m/s}$, $\ell = 150 \text{ nm}$, and $d = 100 \text{ nm}$. The three terms that contribute to the result for $C^2(I)$ in equation 14 are each plotted in figure 3 as a function of open fraction for the cases $C_3 = 0$, 3, and 30 meVnm$^3$. The optimum open fractions for each of these cases are summarized in table 1 and were used to generate additional functions plotted in figure 4 for $C^2(I)/I_{inc}$ vs $C_3$.

The new values of $w_1$ and $w_2$ that maximize $C^2(I)$ when $C_3 \neq 0$ are significantly larger than the optimum values found for the case of $C_3 = 0$. This can be understood qualitatively because larger open fractions are needed to compensate for the effect of increased $C_3$; increasing the strength of the vdW interaction causes a change to $e_n$ that is similar to (but not exactly the same as) the effect of decreasing the open fraction of the grating.

If extremely large open fractions ($w \approx d$) are possible to obtain for G1 and G2, then it is approximately correct to replace the equation 24 by stating that $C^2(I)$ is reduced below 1/2 of its optimum value when

$$C_3 \ell > \frac{(5 \text{meVnm}^3)(150 \text{nm})}{\hbar(1000 \text{m/s})(50 \text{nm})^3} = 9.1 \times 10^{-3}. \quad (25)$$
interferometry before the performance as determined by the smallest nanostructures that can be used for atom shows that vdW interactions set a fundamental limit on the value of 0.0070 regardless of the open fractions. This earlier study of optimization without vdW interactions that it is not possible to restore $C^2(I)/I_{inc}$ to the optimum value of 0.0070 regardless of the open fractions. This shows that vdW interactions set a fundamental limit on the smallest nanostructures that can be used for atom interferometry before the performance as determined by the minimum $\sigma_{\phi}$ degrades.

Equation (24) can be approximately derived analytically by finding the condition for which the phase begins to oscillate rapidly ($|\partial \phi(\xi)/\partial \xi| > \pi/w$) when $\xi = d/4$. This analytic approach is justified because the regions of rapidly oscillating phase do not contribute significantly to the integral in equation (13) and we know from our earlier study of optimization without vdW interactions that the combination of replacing the limits by approximately $-d/4$ and $d/4$ and ignoring the $\phi(\xi)$ term in equation (13) yields the optimum $e_n$ for G1 and G2. The analytic approach described here gives the condition

$$C_3 \ell \left[ \frac{w}{(d - 2w)^4} \right] > \left( \frac{\pi}{3} \right)^4 \approx 4 \times 10^{-3}$$

which is consistent with equation (24) within a factor of $\pi$ for the case $d \approx w$.

Identifying the independent parameter $p_3$ helps to clarify several scaling laws. For example, if every geometric dimension of the gratings ($d, w$, and $\ell$) were multiplied by $1/a$, then the efficiencies $e_n$ would change as if $C_3 \rightarrow q^2 C_3$ because $\ell d^{-3}$ appears in $p_3$. For example, if $1/4$-scale gratings could be obtained then the results in figures 3 and 4 for $C_3 = 27$ meV nm$^3$ would apply.

The vdW coefficient for He atoms is the smallest of any atom, so the impact of vdW interactions should be minimal for He atoms. In another example of a scaling law, the efficiencies $e_n$, and figure of merit $C^2(I)$ that we have discussed for Na atoms (with $C_3$) will apply for the case of He atoms (with $C_3^*$) if geometrically similar gratings with new period of $d' = (C_3^*/C_3)^{1/2}d \approx 0.18d$ are used. Thus, for a He atom beam with $v = 1000$ m/s, nanostructure gratings with a minimum dimension of $d \approx 2w = 16$ nm could be used before $\sigma_{\phi}^2$ is doubled from vdW interactions.

Next we address the question, “What period grating would optimize an atom beam gyrometer or accelerometer?” Because the interference fringe phase shift, $\phi$, due to either rotation or acceleration depends on $d$ and $v$ there is a different function to optimize for optimum sensitivity to inertial displacements.

The Sagnac phase shift for a matter wave interferometer rotating at the rate $\Omega$ is

$$\phi = \frac{4\pi(\Omega \cdot \vec{A})}{\hbar}$$

$$= \frac{4\pi\Omega L^2}{dv}$$

where $A$ is the area enclosed by the interferometer paths, and $L$ is the distance between gratings G1 and G2 (or equivalently G2 and G3) $\vec{A}$. In the last equation it was assumed that the vector orientations of $\Omega$ and $A$ are parallel. The statistical variance in measured rotation rate will then be given by

$$(\sigma_{\Omega})^2 = \left( \frac{\partial \Omega}{\partial \phi} \partial_{\phi} \phi \right)^2$$

$$= \left( \frac{dv}{4\pi L^2 C^2(I)} \right)^2.$$  

Hence, maximizing the quantity

$$F = \frac{(4\pi)^2 L^4 C^2(I)}{d^2 v^2 I_{inc}}$$

will minimize the variance in measured rotation rate, and will therefore minimize the angle random walk obtained when using a gyrometer for inertial navigation. For measurements of acceleration with minimum variance, the quantity $F(2v)^{-2}$ should be maximized.
and for larger interferometer gyroscope is limited by vdW interactions, or by choosing atom-surface combinations that have a circumvented somewhat if large open fractions are used, the vdW limitation is overcome. This limitation can be beam interferometer gyroscopes or accelerometers unless the contrast and intensity of the interference pattern given the parameters: grating thickness ($\ell$), grating period ($d$), grating open fractions ($w_i/d$), atom velocity ($v$), and vdW coefficient ($C_3$). We described how to select open fractions that will optimize an interferometer for maximum sensitivity to interference fringe phase shifts. For experiments with the currently available parameters ($\ell = 150$ nm, $d= 100$ nm, $v = 1000$ m/s, $C_3 = 3$ meV nm), we report the open fractions that minimize the uncertainty in phase ($\sigma_\phi$) are given by $(w_1/d, w_2/d, w_3/d) = (0.75, 0.67, 0.37)$. If the gratings are made with a period smaller than $d = 50$ nm, then regardless of the open fractions, the statistical sensitivity to phase shifts (given by the uncertainty $\sigma_\phi$) will grow because of the way vdW interactions adversely affect how the gratings operate as beam splitters. For maximum sensitivity to rotation or acceleration, there is a minimum grating period in the range of 40 nm. Thus van der Waals interactions place a limitation on the smallest nanostructure gratings that can be used for atom interferometry.

In conclusion, we have shown how atom interferometers are affected by vdW interactions between atoms and nanostructure gratings. We have shown how to calculate the contrast and intensity of the interference pattern given the parameters: grating thickness ($\ell$), grating period ($d$), grating open fractions ($w_i/d$), atom velocity ($v$), and vdW coefficient ($C_3$). Some of these advantages could be realized if gratings were fabricated from an array of carbon nanotubes.

The tradeoff is as follows. For smaller $d$ an atom interferometer gyroscope is limited by vdW interactions, and for larger $d$ the response factor ($d\phi/d\Omega$) is smaller. The quantity $F$ is plotted in figure 4 as a function of $d$ assuming $v = 1000$ m/s, $C_3 = 3$ meV nm$^3$ and ($w : \ell = 1 : 2 : 3$) for each grating. An optimum period of $d = 44$ nm is found.

The main result is that nanostructure gratings with a period smaller than $d = 44$ nm will not improve atom beam interferometer gyroscopes or accelerometers unless the vdW limitation is overcome. This limitation can be circumvented somewhat if large open fractions are used, or by choosing atom-surface combinations that have a small vdW coefficient $C_3$, or by reducing the grating thickness $\ell$ independently of $d$ as shown in equation \[ \text{(24)} \] or by adjusting atom velocity to maximize the figure of merit shown in equation \[ \text{(31)} \]

![Figure 5: The figure of merit for inertial sensors, $F$, given by equation \[ \text{(31)} \] is plotted vs grating period given the restriction $w : d : \ell = 1 : 2 : 3$ for all three gratings, and the parameters $C_3 = 3$ meV nm$^3$, and $v = 1000$ m/s (thick line). For comparison if $C_3 = 0$ (thin line) then $F$ depends on $d^{-2}$.](image)

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