Ulnar and Median Fascicular Transfers for Elbow Flexion-Predicting Outcomes in a Heterogeneous Patient Group and Implications for Surgical Planning

Scott Ferris1,2* and William Alexander3,4

1 Department of Plastic, Hand and Faciomaxillary Surgery, Alfred Health, Melbourne, VIC, Australia, 2 Victorian Plastic Surgery Unit, St. Vincent’s Private Hospital, East Melbourne, VIC, Australia, 3 Department of Plastic and Maxillofacial Surgery Unit, Royal Children’s Hospital Melbourne, Parkville, VIC, Australia, 4 Victorian Hand Surgery Associates, Fitzroy, VIC, Australia

Purpose: To measure the outcomes in patients undergoing nerve transfers for elbow flexion restoration, and compare patient outcomes based on the pre-operative fascicular transfer plan.

Methods: Single surgeon series of 48 consecutive patients who underwent median and/or ulnar fascicular nerve transfers for elbow flexion restoration to treat palsies of the brachial plexus or musculocutaneous nerve. Outcomes measured were Medical Research Council (MRC) power grade, strength in kilograms, and time taken to recover function.

Results: Overall, 96% of patients achieved MRC M4 or greater power. The subgroup who were planned for, and particularly those who then underwent, double as opposed to single fascicular transfer, had significantly better results.

Conclusions: Overall results were excellent. Double fascicular transfers were superior, with no failures in this group. If pre-operatively a single fascicle transfer alone is planned due to a paucity of expendable donors, the predicted outcomes are worse and other treatment options should be considered.

Keywords: brachial, plexus, elbow, nerve, transfer, Oberlin

INTRODUCTION

Brachial plexus injuries are catastrophic events that predominantly affect the working-age male population, resulting in partial or complete motor and sensory loss in the upper limb. Re-establishing elbow flexion is a major goal of reconstruction in upper plexus injuries (1, 2).

Primary nerve repair, tendon transfers, and nerve grafts have all been utilized in brachial plexus surgery, but each have significant individual limitations (3–12). Nerve transfer offers a unique solution, allowing reconstruction away from the zone of injury, and a donor source of axons close to the target muscle. This means that regenerating axons only need to cross a single coaptation, with a shorter distance to target, thereby reducing the extent of motor endplate degeneration whilst awaiting reinnervation (12).
In 1994, Oberlin et al. described the transfer of a single, expendable ulnar nerve fascicle to the biceps branch of the musculocutaneous nerve (13). In a subsequent series of 32 patients, he reported successful [British Medical Research Council (MRC) grades M3 or M4] re-establishment of elbow flexion in 24/32 cases (14). Similar results were reported by subsequent authors- Loy et al. (15), Leechavengyongs et al. (16), and Sungpet et al. (17). The original authors describe poorer results particularly in patients with C5-6-7 injuries (as opposed to C5-6 only), the elderly, and in those with greater delay to operation (18). Despite this, Socolovsky et al. found nerve transfers to be twice as likely as nerve grafting to return M3 or better elbow flexion (19); and it was found to be the most successful nerve procedure (of transfer or grafting) aimed at restoring elbow flexion, in a systematic review (20).

It has been argued that the biceps muscle is primarily a forearm supinator, and a secondary elbow flexor; in contrast to the brachialis, which is the primary elbow flexor (12, 21–23). Regardless of these muscles’ specific individual contribution, it would seem logical that innervating two, as opposed to one, muscles across a joint would increase power.

Mackinnon et al. further refined this concept and in 2005 published the “Double Fascicular Transfer” (DFT). This involves the transfer of a median nerve fascicle to brachialis in addition to the ulnar fascicle to biceps. They reported excellent results in six patients (22). One year later in 2006, Liverneaux et al. also reported success in ten patients treated with this technique (18), and these results have been validated by subsequent publications (24). Both early authors, and others since, agree that double fascicular transfers result in superior results (21, 25–28). Carlsen et al. retrospectively compared their results from single and double nerve fascicle transfers, and highlight that those patients receiving single nerve transfers (SFT) had significantly worse pre-operative function (21). Those with more severe injury involving impaired median nerve function are unable to undergo a double fascicle transfer, as the extra donor fascicle required is not available. The authors do not identify which patients had a SFT but were planned to have a DFT, and this particular group of patients is highlighted in our study. We believe that this group is significantly different to those patients who are planned to have a SFT pre-operatively, and warranted specific investigation and description.

The objective of this study was to evaluate the outcomes of what we observed to be a historically heterogeneous group, undergoing similar but different nerve transfers using median and ulnar fascicular donors for elbow flexion reconstruction. In particular, we compared four distinct groups of patients based on their preoperative surgical intent as well as ultimate surgical procedure. The first group underwent planned double fascicular transfers (DFT, group 1). The second group were planned for double fascicular transfers, but an intra-operative decision was made to perform a single fascicle transfer only (unplanned single fascicular transfer, USFT, group 2). The third group underwent planned single fascicular transfers for completely deficient elbow flexion, when preoperative evaluation demonstrated that double fascicular transfers would not be safely possible = (PSFT-M0, group 3). The fourth group underwent planned single fascicular transfers for augmentation of some already existing elbow flexion, when double fascicular transfers were deemed unnecessary (PSFT-Aug, group 4). We defined “double fascicular nerve transfer” as two targets being innervated (brachialis and biceps), regardless of where the donor nerve fascicles originated.

**MATERIALS AND METHODS**

After obtaining institutional ethics board approval, we reviewed our prospectively maintained brachial plexus database. We included all consecutive patients who underwent a single or double fascicular nerve transfer procedure for elbow flexion over an 8-year period. The four groups of patients (DFT, USFT, PSFT-M0, and PSFT-Aug) were compared with respect to demographics, general injury/disease details, plexus injury level, and post-operative function measured in terms of strength (MRC grade and kilograms lifted), and time to best power outcome. Forty-eight patients were identified who underwent nerve transfer for elbow flexion.

Strength was graded using the British Medical Research Council’s system. Grade 5 strength was only awarded if it was equivalent to the contralateral arm on clinical resisted manual muscle testing. Strength was also quantified in kilograms lifted to the nearest 500 g. The patient was asked to stand upright with their back straight and hand fully dependent, and actively flex the elbow whilst holding a weight in their hand. Ninety degrees of elbow flexion was the minimum required to record a quantitative lift result, and the heaviest weight possible under these criteria was recorded.

Data were further analyzed in terms of severity of injury. We grouped our patients similarly to Carlsen et al. (21) into the following categories: those with peripheral nerve injuries (<C5–6 group), those with isolated upper trunk injuries (C5–6), and those with more severe injury, extending beyond the upper trunk (>C5–6).

**Surgical Technique**

The senior author (SF) performed all operations. Through a medial proximal arm incision the median, ulnar and musculocutaneous nerves were dissected. All individual branches to biceps and brachialis muscles were neurolysed and labeled. An intraneural neurolysis was undertaken as required of the ulnar and median nerves, using the operating microscope. Each individual fascicle once dissected, was labeled, insulated, and selectively stimulated, to inform the decision as to which fascicles were sufficiently powerful and redundant to be used for nerve transfer. This information was tabulated to make decision making easier. After this individual fascicular mapping and decision making, neurotomy were performed on the donor fascicles, followed by transferring the donors to recipient biceps and/or brachialis nerves for secondary nerve repairs (Figure 1). 9–0 monofilament nylon was used for nerve repair under the operating microscope in all cases. Fibrin glue was applied to the outside of the completed nerve repair. In general ulnar fascicles were transferred to biceps and median fascicles to brachialis,
although in some instances this was reversed, especially in the single fascicular transfer group (see Tables 5, 6). In the vast majority of single fascicular transfers, biceps was the target of the nerve transfer. There were some cases in which brachialis was the only nerve transfer target, and in all these cases, the reason was that there was already significant function in biceps.

Nerve repairs were tension free through full elbow range of motion and patients were kept in a shoulder immobilizing sling with the elbow at 90 degrees for 2 weeks.

Statistical Analysis
The data was analyzed in terms of means and medians (depending on nature of data) for continuous variables, and counts and percentages for categorical variables. The focus of the analysis was comparing the functional outcomes between the different patient groups.

RESULTS
Forty-eight patients were included in the study, and demographic details are shown in Table 1. The majority of the patients were working age males, and the predominant causative mechanism was motor vehicle accident. Almost half of the cohort had a plexus injury involving more than C5 and C6 (44%). The average delay to operation was 5 months, and average follow-up was 21 months post-operatively. Eighty-five percent of the cohort had M0 strength pre-operatively, and 96% achieved M4 or better post-operatively, with an average quantitative lift of 5.8 kg. The average time between the operation and patients first follow up was 21 months post-operatively. Eighty-five percent of the patients in the DFT group (36), with five patients in the USFT groups, four patients in the PSFT-Aug group, and three in the PSFT-M0 cohort. The age and sex demographics were similar between the groups. High-speed motor vehicle accidents accounted for the majority of cases (34/48), along with 6 surgical complications, 1 tumor resection, 1 gunshot wound, 1 neuritis, and 5 non-vehicle related traumatic accidents. The distribution of these injuries was similar amongst the patient groups. The majority of our patients (37/48, 77%) had significant concomitant injuries (including long bone, pelvic, or spinal fractures, closed/open brain injury, pneumothorax, or significant vascular injury). The distribution of these was similar across treatment groups.

The severity of plexus injury was significantly different between the treatment groups. Less extensive plexus injuries (<C5, 6) were much more common in the PSFT-Aug group. Conversely, those who were intended to undergo double fascicular transfer, regardless of what procedure actually took place, had more extensive palsies (mostly > C5, 6). The PSFT-M0 group also had more extensive plexus injuries.

The delay to treatment was longer in the PSFT-Aug group (median 12.5 months), when compared to the DFT, USFT, and PSFT-M0 groups (4.0, 5.0, and 6.0 months, respectively).

Medical Research Council elbow flexion grade data was available for forty-six patients, and the 2 patients with missing data were in the DFT group. The two patients who were lost to follow up were excluded from the analysis. Overall, forty-four out of forty-six patients achieved at least M4 power, by 9 months post-operatively, on average. There were significantly improved outcomes in the DFT, USFT, and PSFT-Aug groups when compared to the PSFT-M0 groups (Table 2). The PSFT-Aug patients all had M4 power pre-operatively, and the PSFT-M0 group had the only two patients with poor post-operative results in our study population.

Quantitative strength data was available for thirty-six post-operative patients. This data was unavailable for earlier patients, as we only began this specific quantitative strength measurement part way through the study period. It is now collected both pre- and post-operatively in our institutions, for all patients. The median post-operative elbow flexion quantitative strength was 5.8 kg. The DFT and PSFT-Aug groups had greater median weight lifts than the USFT and PSFT-M0 groups (6.8 and 6 kg vs. 4.3 and 0 kg, respectively). Pre-operative lift recordings were available for three of the four PSFT-Aug patients, and these patients improved from 4 to 8 kg, 4 to 10 kg, and 0 to 2 kg respectively. The patient without a pre-operative measurement was able to lift 20 kg post-operatively.

In order to compare technique (rather than intention) outcomes, and to align with the published literature, we compared single vs. double fascicular transfers regardless of the pre-operative intention [DFT vs. SFT (USFT + PSFT-Aug + PSFT-M0)] (Table 3). We acknowledge that this comparison is contrived in our groups, because with the exception of the augmentation group, the surgeons’ preference whenever safely possible, was to undertake a DFT. SFT was only undertaken for either paucity of donors or for augmentation. As a result the injuries in the SFT group were either >C5, 6 or <C5, 6, but no patients in this group had pure C5, 6 injuries. In contrast to this, pure C5, 6 injuries accounted for more than a third of the patients in the DFT group (Table 4). The DFT group exhibited a greater gain in strength measured by MRC grade, and a trend toward greater final quantitative strength, measured in kilograms, compared to the SFT group.
### TABLE 1 | Patient demographics.

| Focus       | Variable | Total | DFT     | USFT    | PSFT-M0 | PSFT-Aug | p-value |
|-------------|----------|-------|---------|---------|---------|----------|---------|
| Total N     |          | 48    | 36 (75%)| 5 (10%) | 3 (6%)  | 4 (8%)   | 1.00    |
| Sex         | Female   | 3 (6%)| 3 (8%)  | 0 (0%)  | 0 (0%)  | 0 (0%)   | 1.00    |
|             | Male     | 45 (94%)| 33 (92%)| 5 (100%)| 3 (100%)| 4 (100%) |         |
| Age (yrs)   | Median (IQR) | 33.0 (25.0, 48.0) | 32.0 (25.5, 50.0) | 25.0 (22.0, 34.0) | 48.0 (31.0, 48.0) | 42.5 (30.0, 48.5) | 0.45    |
| Diagnosis   | <C5, 6   | 14 (29%)| 7 (19%) | 2 (40%) | 1 (33%) | 4 (100%) | 0.014   |
|             | C5, 6    | 13 (27%)| 13 (36%)| 0 (0%)  | 0 (0%)  | 0 (0%)   |         |
|             | >C5, 6   | 21 (44%)| 16 (44%)| 3 (60%) | 2 (67%) | 0 (0%)   |         |
| Delay to operation (m) | Median (IQR) | 5.0 (3.5, 7.0) | 4.0 (3.0, 5.5) | 5.0 (4.0, 9.0) | 6.0 (5.0, 7.0) | 12.5 (9.0, 17.0) | 0.008   |
| Other injuries |          | 37 (77%)| 27 (75%)| 6 (100%)| 3 (100%)| 2 (50%)  | 0.31    |
| Follow-Up (m) | Median (IQR) | 21.5 (15.0, 32.0) | 25.0 (16.0, 32.0) | 21.0 (14.0, 24.0) | 19.0 (15.0, 22.0) | 17.5 (13.0, 40.5) | 0.74    |

### TABLE 2 | Operative outcomes.

| Focus       | Variable | Total | DFT     | USFT    | PSFT-M0 | PFST-Aug | p-value |
|-------------|----------|-------|---------|---------|---------|----------|---------|
| Total N     |          | 48    | 36 (75%)| 5 (10%) | 3 (6%)  | 4 (8%)   |         |
| Pre-Operative MRC | 0       | 41 (85%)| 34 (94%)| 4 (80%) | 3 (100%)| 0 (0%)   | 0.001   |
|             | 3        | 1 (2%) | 0 (0%)  | 0 (0%)  | 0 (0%)  | 1 (25%)  |         |
|             | 4        | 6 (13%)| 2 (6%)  | 1 (17%) | 0 (0%)  | 3 (75%)  |         |
| Post-operative MRC (n = 46) | 1       | 1 (2%) | 0 (0%)  | 0 (0%)  | 1 (33%) | 0 (0%)   | 0.021   |
|             | 2        | 1 (2%) | 0 (0%)  | 0 (0%)  | 1 (33%) | 0 (0%)   |         |
|             | 3        | 0 (0%) | 0 (0%)  | 0 (0%)  | 0 (0%)  | 0 (0%)   |         |
|             | 4        | 23 (50%)| 16 (47%)| 4 (80%) | 1 (33%) | 2 (50%)  |         |
|             | 5        | 21 (46%)| 18 (53%)| 1 (20%) | 0 (0%)  | 2 (50%)  |         |
| Outcome (kg) | Median (IQR) | 5.8 (3.8, 8.3) | 6.5 (5.0, 8.5) | 4.3 (1.3, 8.5) | 0.0 (0.0, 2.0) | 6.0 (3.0, 14.0) | 0.055   |
| Time to MRC 4 (m) | Median (IQR) | 9.0 (6.0, 13.0) | 8.5 (6.0, 13.0) | 16.0 (10.0, 25.0) | 11.0 (11.0, 11.0) | 6.0 (6.0, 6.0) | 0.35    |

### TABLE 3 | Double vs. single fascicle transfer, regardless of intention.

| Focus       | Variable | DFT     | SFT     | p-value |
|-------------|----------|---------|---------|---------|
| Total N     |          | 36 (75%)| 12 (25%)| 0.56    |
| Sex         | Female   | 3 (8%)  | 0 (0%)  | 0.56    |
|             | Male     | 33 (92%)| 12 (100%)| 0.56    |
| Age (yrs)   | Median (IQR) | 32.0 (25.5, 50.5) | 38.0 (23.5, 45.5) | 0.67    |
| Diagnosis   | <C5, 6   | 7 (19%) | 7 (58%) | 0.008   |
|             | C5, 6    | 13 (36%)| 0 (0%)  |         |
|             | >C5, 6   | 16 (44%)| 5 (42%) |         |
| Delay to operation (m) | Median (IQR) | 4.0 (3.0, 5.5) | 7.5 (5.0, 9.5) | 0.003   |
| Pre-operative MRC | 0       | 34 (94%)| 7 (58%) | 0.007   |
|             | 3        | 0 (0%)  | 1 (8%)  | 0.04    |
|             | 4        | 2 (6%)  | 4 (33%) |         |
| Post-operative MRC | 1       | 0 (0%)  | 1 (8%)  | 0.04    |
|             | 2        | 0 (0%)  | 1 (8%)  | 0.04    |
|             | 3        | 0 (0%)  | 0 (0%)  |         |
|             | 4        | 16 (46%)| 7 (58%) |         |
|             | 5        | 16 (46%)| 3 (25%) |         |
| Outcome (kg) | Median (IQR) | 6.5 (5.0, 8.5) | 2.0 (1.0, 8.0) | 0.085   |
| Time to reach MRC 4 (m) | Median (IQR) | 8.5 (6.0, 13.0) | 11.0 (9.0, 21.0) | 0.29    |
TABLE 4 | Outcomes based on level of plexus injury.

| Focus          | Variable | <C5, 6 | C5, 6 | >C5, 6 | p-value |
|----------------|----------|--------|-------|--------|---------|
| Total N        | 14 (29%) | 13 (27%)| 21 (44%) |        |         |
| Pre-operative MRC | 0 (50%) | 13 (100%)| 21 (100%)| <0.001 |
|                | 3 (7%)  | 0 (0%)   | 0 (0%)   |         |         |
|                | 4 (43%) | 0 (0%)   | 0 (0%)   |         |         |
| Post-operative MRC | 1 (0%) | 0 (0%)   | 1 (5%)   | 0.020   |
|                | 2 (8%)  | 0 (0%)   | 0 (0%)   |         |         |
|                | 3 (0%)  | 0 (0%)   | 0 (0%)   |         |         |
|                | 4 (23%) | 5 (42%)  | 15 (71%) |         |         |
|                | 5 (69%) | 7 (58%)  | 5 (24%)  |         |         |
| Outcome (kg)   | Median (IQR) | 7.5 (4.8, 8.8) | 5.0 (5.0, 10.0) | 4.0 (2.0, 7.0) | 0.20 |
| Time to reach MRC 4 (m) | Median (IQR) | 6.0 (6.0, 8.0) | 6.0 (5.5, 10.5) | 11.5 (11.0, 20.0) | 0.005 |

In our population neither an age <30 years old, nor a delay to theatre >6 months, had a statistically significant effect on the primary outcome measures.

**DISCUSSION**

This study presents a detailed comparison between patients undergoing single or double fascicular nerve transfers for the restoration of elbow function.

The major findings of this study are that overall outcomes are excellent with 96% (44/46 patients) achieving a M4 or M5 power. Historically, M3 has been considered a success after nerve palsy reconstruction, but in the modern era of nerve transfer surgery we believe the minimum outcome that can be considered a functional success when restoring elbow flexion is not M3, but M4. 100% of patients in this study planned to undergo DFT achieved a post-operative result of M4 or M5, whether or not they ultimately proceeded to DFT or in fact underwent USFT.

The findings presented here are unique in that they highlight several sub-groups of patients who underwent single fascicular transfer, some of which have not been described in any detail previously in the literature. These patients may all have undergone single fascicular transfer, but they are far from a homogeneous group, with different surgical indications and different outcomes. Firstly, there are the single fascicular nerve transfer patients who were, in fact, preoperatively intended for a double fascicular nerve transfer. This USFT group made up a small but significant (10%, 5/48) part of our cohort. Secondly there are those patients with some existing but weak elbow flexion, for whom it was deemed that a single fascicular transfer could realistically augment peak power (PSFT-Aug) to maximize function. Thirdly this study identifies and separately evaluates those patients who underwent planned single fascicular transfers, due to a known paucity of donor availability (PSFT-M0). The clinical reasoning for the operative decisions made in the single fascicle transfer cases (both planned and unplanned) have been described and are summarized in Tables 5, 6.

The PSFT-Aug group had some elbow flexion (mostly “weak” M4, one patient M3), and the operative intent here was to augment function. The PSFT-M0 group had no elbow flexion pre-operatively, and had a single fascicular transfer planned because of preoperative assessment predicting insufficient donor fascicles to safely undergo a double fascicle transfer. Those undergoing PSFT for augmentation all had improved MRC grade and power post-operatively, whereas only one out of three patients undergoing PSFT because of insufficient donors (PSFT-M0) was able to achieve a post-operative MRC grade of >M2 (Table 5).

Previous research has described results of single nerve transfers, double nerve transfers, or rarely both, in a comparison. This study reports detailed results from a single surgeon consecutive patient cohort, which is larger than any published single surgeon series in the literature. We report excellent overall results, with 100% of patients in the DFT and USFT groups, and 96% of all patients achieving M4 or M5 strength.

In addition to the standard assessment of results by the Medical Research Council’s grading system for muscle strength, strength was measured objectively with weights. The MRC grading system has been understandably criticized when applied to elbow flexion (29); but it is useful in comparing our results with those previously published in the literature. In some instances, the terminology “MRC 4+” was recorded to infer a strong grade M4; and whilst many of our patients fell in this category post-operatively, these are included in our results simply as M4, in line with the original MRC description. The quantifiable measure of kilograms lifted provides a more accurate and meaningful assessment of power, is easily reproducible, and minimizes the risk of operator bias. Whilst this outcome measure is not available for some of the more historical patients in this cohort, it has become standard in our institution to measure this pre-and post-operatively.

Our study demonstrates that pre-operative clinical assessment can predict elbow flexion outcome. Those patients who, after clinical and radiological assessment, were planned for a double nerve transfer achieved successful outcomes regardless of whether or not they ultimately underwent a single or
double nerve transfer. All patients achieved M4 or better. Those who ultimately underwent double nerve transfer achieved an average elbow flexion of 6.5 kg, and those who ultimately underwent USFT achieved average elbow flexion of 4.3 kg.

The patients who underwent planned single nerve transfers fall into two groups. The PSFT-Aug group had all four patients start with M3 or M4 pre-operatively, and two of these were able to improve to M5 post-operatively, with a median lift of 6.0 kg. In stark contrast, the PSFT-M0 group all began with M0, and only
one of these three patients achieved a successful outcome (M4, 2 kg lift), with the other two patients achieving only M1 and M2 power, which we believe is a reconstructive failure.

This study highlights that results of median and/or ulnar fascicular nerve transfer surgery for elbow flexion are excellent almost all of the time, with the exception of a specific subset of patients. In those three patients undergoing a PSFT due to a paucity of donors, only one was successful. This group is completely different to those patients undergoing a PSFT for augmentation of already existing elbow flexion.

Our approach to the decision regarding PSFT now involves both pre-operative assessment and when appropriate, proceeding to operative intraneural dissection of median and ulnar nerves, and selective fascicular stimulation looking for potential donors. The senior author believes that in the “borderline case” of possible nerve transfer (PSFT-M0, for paucity of donors), a careful dissection and fascicular interrogation can safely inform the final decision regarding whether or not to proceed with nerve transfer. In light of these findings, the senior author is now willing to completely abort a potential nerve transfer and undertake an alternative reconstruction should the surgical findings suggest that the alternative reconstruction has a higher likelihood of success. Locoregional muscle transfer or free functioning muscle transfer neurotised by extrapleural nerves (such as the spinal accessory nerve) can produce consistent results (30–33) and should be considered in patients with deficient ulnar and median fascicular donors.

CONCLUSION

This research has allowed the authors to more accurately prognosticate and thus plan surgeries, as well as educate patients pre-operatively. The vast majority of patients can be expected to have excellent outcomes from ulnar and median fascicular transfers for elbow flexion. The very small subset of patients in whom a paucity of donor nerve availability means nerve transfer surgery is less reliable can be offered alternate reconstructions. On average we now expect MRC 4 power by 9 months post-operatively with ongoing strength gains thereafter, and eventual average quantitative elbow flexion strength of 6.5 kg where DFT is performed, and 4.3 kg where USFT is undertaken.

DATA AVAILABILITY STATEMENT

All datasets presented in this study are included in the article-supplementary material.

ETHICS STATEMENT

The studies involving human participants were reviewed and approved by Alfred Hospital Ethics Committee. Written informed consent for participation was not required for this study in accordance with the national legislation and the institutional requirements.

AUTHOR CONTRIBUTIONS

SF and WA: manuscript concept, data collection, analysis, writing of manuscript, and editing.

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Statistical analysis: Catherine Smith (Department of Epidemiology and Preventive Medicine, School of Public Health and Preventive Medicine, Monash University, Melbourne, Victoria, Australia).

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Conflict of Interest: The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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