Broadband and Compact Circularly Polarized MIMO Antenna with Concentric Rings and Oval Slots for 5G Application

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ABSTRACT A broadband and compact size circularly polarized (CP) two-port multiple input multiple output (MIMO) antenna with a footprint of 25 mm × 20 mm is investigated. The designed oval-shaped MIMO antenna employs concentric rings with oval slots (CROS), along with a circular radiator and two open-ended parallel protruded stubs. The CP radiation is achieved by embedding three oval slots, in which two of them are deployed at the left and right side of the concentric rings, whereas the third one is deployed at the top section of the concentric rings. The measured 10-dB impedance bandwidth of the proposed MIMO antenna was 46.30% (3.12–5.00 GHz), and its corresponding 3-dB axial ratio bandwidth (ARBW) was 41.34% (3.30–5.02 GHz). Furthermore, very wide beamwidths of 137°±0.2 and 154°±0.2 were measured in the right-hand circular polarization (RHCP) and left-hand circular polarization (LHCP) radiation patterns, respectively. The minimum achieved isolation between the antenna elements is 18.50 dB without using any additional decoupling structure, and the calculated envelope correlation coefficient (ECC) < 0.03.

INDEX TERMS Antenna, MIMO, 5G, Concentric Rings, Oval Slots.

I. INTRODUCTION

Circularly polarized antennas are vital to the wireless communication, satellite communication, wired local area networks (WLAN), and 5G communication system, as it offers several advantages compared to linearly polarized (LP) antennas [1-4], such as preventing multipath interference and fading. To achieve good quality of Service (QoS) and smooth wireless communication, CP antennas always play a very important role in many wireless communication system. Apart from the above, the CP antennas also helps in overcoming the orientation problem between transmitter and receiver, better mobility due to suppressed multipath interference and better weather penetration as compared to LP antennas [5]. Furthermore, MIMO antennas are desired as they offer larger capacity with higher data rates, low latency, faster mobility, high data throughput, and reliable communication [6-7]. Therefore, MIMO antenna designs with CP senses have been reported in recent years [8-16].

Stacked patch MIMO antennas have the features of wideband/multiband and performance enhancement. Therefore, the work in [8-10] has applied the stacked patch technique to the CP MIMO antennas. In [8], corner-truncated square slot and patch configuration are applied to the MIMO antenna to yield CP radiations in 2.45 GHz and 5.8 GHz bands. In [9], the CP is achieved by protruding a stub from the right end of the ground plane, whereas the method applied by [10] is to chamfer the two opposite corners of the ring slot as well as the resonant patch. However, the MIMO antennas in [8, 10] have exhibited larger dimensions, and the ones in [8, 9] have used expensive substrates. Notably, applying the stacked patch technique will inevitably increase the overall profile of the designated antenna, which makes it difficult to integrate
into a slim wireless device. Even though [11] has introduced a compact CP MIMO antenna designed using two truncated corner square patches with parasitic periodic metallic plates [11], it requires expensive Taconic substrate (same as [8, 9]) that results in higher manufacturing expenses.

To minimize the manufacturing expenses, the works reported in [12-16] have introduced MIMO antenna designs using low-cost FR-4 substrate. In [12], an eyebrow-shaped strip is applied to enhance the CP performance of the MIMO antenna, but it has a very large dimension of 85 mm × 73 mm. To reduce the overall MIMO antenna size to 21 mm × 46 mm, split ring resonator was introduced to the MIMO antenna, and good CP radiation is achieved by using offset feeding technique and defective ground structure (DGS) [13]. To further decrease the dimensions to 27 mm × 27 mm, the MIMO antenna design in [14] employs two orthogonally designed T-shaped patches as well as three L-shaped parasitic patches in the ground plane to yield good CP radiation. However, [15] and [16] have shown poor isolation between their respective adjacent antenna elements. To increase the isolation between adjacent antenna elements, [15] has applied the spatial diversity method (adjacent elements separated by a distance of 13.75 mm), and good CP radiation is achieved by applying 90° phased slots at the center of the truncated patch. As for [16], the designed microstrip-fed MIMO antenna is composed of a patch containing two L-shaped radiators along with a wide hexagonal slot radiator on the ground plane, and good 3-dB ARBW of 34.38% and isolation of >17 dB is achieved.

In this manuscript, a very low-profile (0.27λ × 0.22λ × 0.01λ) (where λ is calculated at the lowest frequency of 3.30 GHz), oval-shaped CP two-port MIMO antenna for 5G applications is presented. Each CP antenna element is fed by a 50Ω microstrip line and employs CROS, along with a circular radiator, and two open-ended parallel protruded stubs. The oval-shaped slots loaded on the left as well as right side of the concentric rings are for achieving CP radiation, whereas the one that is loaded at the top section is to enhance the ARBW. The proposed CP MIMO antenna can yield RHCP and LHCP radiation when Ant.1 and Ant.2 are excited, respectively. Furthermore, wide 10-dB impedance bandwidth of 46.3% and 3-dB ARBW of 41.34% are also achieved. Notably, the separation distance (center-to-center) of the two antenna elements is only 0.16λ, and isolation of up to 18.50 dB can be achieved without applying any additional decoupling structure.

II. GEOMETRY AND DESIGN ANALYSIS OF PROPOSED MIMO ANTENNA

The design layout and fabricated prototype of the proposed CP MIMO/diversity antenna are illustrated in Figures. 1(a) and 1(b), respectively and parameters with their optimized values are articulated in Table 1. The CP MIMO antenna is composed of two identical antenna elements, namely, Ant.1 and Ant.2 (each has a partial ground of size W × L1), which are printed on an economical FR-4 substrate (εr = 4.3, δ = 0.025) of size 25 mm × 20 mm × 1.6 mm. As Ant.1 is the mirror image of Ant.2, they can support polarization diversity, meaning that Ant.1 and Ant.2 radiates RHCP and LHCP waves, respectively. Furthermore, to achieve good isolation, the center-to-center spacing between the two antenna elements (including a partial air gap) is set to be 0.16λ.

In this manuscript, a very low-profile (0.27λ × 0.22λ × 0.01λ) (where λ is calculated at the lowest frequency of 3.30 GHz), oval-shaped CP two-port MIMO antenna for 5G applications is presented. Each CP antenna element is fed by a 50Ω microstrip line and employs CROS, along with a circular radiator, and two open-ended parallel protruded stubs. The oval-shaped slots loaded on the left as well as right side of the concentric rings are for achieving CP radiation, whereas the one that is loaded at the top section is to enhance the ARBW. The proposed CP MIMO antenna can yield RHCP and LHCP radiation when Ant.1 and Ant.2 are excited, respectively. Furthermore, wide 10-dB impedance bandwidth of 46.3% and 3-dB ARBW of 41.34% are also achieved. Notably, the separation distance (center-to-center) of the two antenna elements is only 0.16λ, and isolation of up to 18.50 dB can be achieved without applying any additional decoupling structure.

II. GEOMETRY AND DESIGN ANALYSIS OF PROPOSED MIMO ANTENNA

The design layout and fabricated prototype of the proposed CP MIMO/diversity antenna are illustrated in Figures. 1(a)
In the proposed structure, each antenna element (Ant.1 and Ant.2) is composed of two concentric rings (radius $r_2$ and $r_3$) and one circular patch (radius $r_1$), which shares the same center location ‘O’. Here, two elliptical slots having a major and minor axis of 2 mm and 1 mm, respectively, are loaded on the left and right side of the antenna element, and an elliptical slot having a major and minor axis of 3 mm and 1 mm, respectively, is loaded on the top section of the antenna element between two open-ended protruded stubs (each with a dimension of $L_5 \times W_4$). The two antenna elements shared the same partial ground plane of dimension $L_1 \times W$, which is designed on the back of the substrate. The proposed CP MIMO antenna is simulated (including the SMA connectors) using the 3D EM simulator CST MWS®.

| TABLE I | DIMENSIONS OF PROPOSED MIMO ANTENNA |
|---------|-----------------------------------|
| Parameter | Value (mm) |
| L        | 20          |
| L_1      | 2           |
| L_2      | 6           |
| L_3      | 0.5         |
| L_4      | 0.5         |
| L_5      | 7           |
| W        | 25          |
| W_1      | 2.4         |
| W_2      | 0.4         |
| W_3      | 15          |
| W_4      | 0.7         |
| $r_1$    | 2.5         |
| $r_2$    | 3.5         |
| $r_3$    | 4.5         |

**A. STEP-WISE DESIGN OF SINGLE ANTENNA ELEMENT**

The step-wise design of the single antenna element is presented in Figure 2. Here, five major steps are analyzed to comprehend the design mechanism, and their corresponding 10-dB impedance bandwidth and 3-dB ARBW are illustrated in Figures 3(a) and 3(b), respectively. As depicted in Figure 2, the proposed antenna element design is stemmed from (Step-1) a circular ring-slot monopole antenna that is loaded by a concentric ring at center location ‘O’ with radius $r_2$ and $r_3$. Notably, this concentric ring is turned into a “split-ring” type by introducing a small shorted section of width 0.5 mm, and resonance at 4.10 GHz is induced with a broad bandwidth of 23.5% (3.60–4.60 GHz), as visualized in Figure 3(a). To further increase the bandwidth, in Step-2, a circular radiator of radius $r_1$ is loaded into the center ring-slot position, and by further observing Figure 3(a), even though this circular radiator can improve the bandwidth to 38.5% (4.00–5.55 GHz), the resonance mode is shifted towards the higher frequency spectrum (at 4.6 GHz). The broadband behavior in Step-2 is obtained due to the unpunctured and longer path of currents flowing through the circular radiator.

To shift the resonance back to approximately 4 GHz, as well as further improving the operational bandwidth to occupy the complete 5G New Radio (NR) band n77/n78/n79, in Step-3, two open-ended parallel stubs are protruded from the top section of the antenna element, and a broad bandwidth of (3.18–4.82 GHz) is achieved. However, from Figure 3(b), it is noted that Step-1 to Step-3 can only exhibit LP radiations as their corresponding axial ratio (AR) values are larger than 10 dB. Therefore, to achieve CP radiation across the desired bands of interest, as shown in Figure 2 (Step-4), two elliptical slots are loaded,
and the reason for that is to perturb the surface current distribution on the left and right side of the radiator. As illustrated in Figure 3, the antenna in Step-4 has shown a broad 3-dB ARBW of (3.20–4.50 GHz) with desirable 10-dB impedance bandwidth of (3.18–4.90 GHz). Nevertheless, the ARBW of this antenna (Step-4) can only cover the 5G NR band n77/n78 (3.30–4.20 GHz). Therefore, to further increase the ARBW, a top-loaded elliptical slot that acts as a perturbation element is introduced, and the antenna is now denoted as Step-5 (or proposed antenna element) in Figure 2. As shown in Figure 3, the proposed antenna element can yield a wide 3-dB ARBW of 42.42% (3.25–5.00 GHz) with desirable 10-dB impedance bandwidth of 44.50% (3.18–5.00 GHz), and it can fully cover the entire 5G NR band n77/n78/n79 (3.30–5.00 GHz).

B. SURFACE CURRENT DISTRIBUTION OF PROPOSED ANTENNA

To analyze the working of the proposed antenna, its corresponding simulated surface current (A/m) distribution is analyzed in Figure 4. From Figure 4, it is observed that at 4 GHz, a maximum current is flowing through the circular radiator and at the same time, an equal amount of current flowing through the concentric rings which proves that it helps in generating the resonance as well as widen the bandwidth at 4 GHz. Further equal amount of current is also observed in the two open-ended parallel stub which slightly helps in bandwidth enhancement and tuning the resonance in frequency range of (3.18–5.00 GHz).

C. AMPLITUDE RATIO AND PHASE DIFFERENCE OF PROPOSED ANTENNA

To generate a good CP radiation for an antenna, the horizontal electric field (E_h) and the vertical electric field (E_v) must have an equal amplitude of approximately 1 (or 0 dB) with a phase difference (PD) of 90° throughout the bands of interest. In this regard, to fully comprehend the CP excitation of the proposed antenna element (Step-5), Figure 5 depicts its corresponding calculated amplitude ratio (E_h/E_v) and PD of the two orthogonal electric field components. Here, one can see that the amplitude ratio is closer to 0 dB with PD of near 90° between them. Therefore, the proposed antenna element is a good CP antenna that can be further applied as a MIMO configuration.

D. MIMO ANALYSIS

The simulated S_{11}, S_{22} parameters for the 2-port MIMO antenna geometry shown in Figure 1(a) is obtained by activating Ant.1 and terminating Ant.2 with 50 Ω impedance load and vice versa whereas the transmitting coefficient S_{12}, S_{21} are obtained by activating both the Ant.1 and Ant.2, simultaneously. The curves of S_{22} and S_{21} are not shown for brevity. The simulated S_{11} in Figure 6 illustrates that the bandwidth remains almost the same when the antenna is transformed from single antenna to two antenna elements. The bandwidth obtained for the two-port MIMO antenna array is 44.50% (3.18–5.00 GHz). Similarly, from the transmission coefficient curve S_{12} shown in Figure 6, it is noticed that the isolation between Ant.1 and Ant.2 is larger than 18.5dB throughout the operating band.
To validate the isolation between Ant.1 and Ant.2, the electric field intensity (V/m) distribution on the surface of the two-port MIMO antenna array is illustrated in Figures 7a and 7b, respectively. For analyzing the effect, Ant.1 is excited, while Ant.2 is kept terminated with 50Ω matched impedance load. Under this scenario, from Figure 7a, it is evident that the deployment of antennas at 0.16λ centre-to-centre distance protect the current flowing out from Ant.1 and also suppresses the propagation of surface wave through the ground plane without affecting the impedance matching and radiation performance. Notably, the same is also verified when Ant.2 is excited while the Ant.1 is kept properly terminated with 50Ω matched load.

B. EFFECT OF VARYING OPEN-ENDED STUBS L₅:
Figure 9 illustrates the variation of open-ended stubs L₅ from 6mm to 7.5mm. It can be observed that increasing the length of the stubs shifts the resonance towards lower frequency whereas small effect is observed on the bandwidth. The value of L₅ is considered as 7mm considering the best RF performance.

III. PARAMETRIC ANALYSIS
To validate the geometry of the proposed MIMO antenna, the RF performance of the proposed MIMO antenna in terms of S-parameters is analyzed. The parametric analysis is carried out by varying antenna parameters like radius r₁, open-ended stubs L₅ and centre-to-centre distance w₃ between the antenna elements and keeping all the other parameters constant.

A. EFFECT OF VARYING RADIUS r₁:
The inner solid circular radius r₁ is varied from 2mm to 2.75mm as shown in Figure 8. It is analyzed that the -10dB impedance bandwidth increases with increase in radius upto 2.5mm. However, further increase in the radius results in bandwidth reduction (as seen from green line curve) which may be due to the strong coupling between solid radius r₁ and concentric rings when radius is increased beyond 2.5mm. Therefore, the optimum value of radius r₁ is selected as 2.5mm which covers the desired band of operation.
C. EFFECT OF VARYING CENTRE TO CENTRE DISTANCE W3:
The effect of varying centre-to-centre distance \( W_3 \) from 11mm to 17mm on \( S_{11} \) and \( S_{12} \) is analyzed in Figure 10. It can be observed that the curves for \( S_{11} \) remain almost the same for all the values of \( W_3 \) whereas better \( S_{12} \) curves are observed when the values of \( W_3 \) is increased. For \( W_3 \) values of 11mm and 13mm the isolation is less than 17dB, whereas for \( W_3 \) of 15mm and 17mm the isolation is greater than 18.5dB. Even though the isolation value is greater at 17mm, to restrict the area of the proposed MIMO antenna, the optimum value of 15mm is considered.

IV. RESULTS AND DISCUSSION
The proposed two-port CP MIMO antenna was fabricated, as illustrated in Figure 11, and its typical results such as reflection coefficients (\( S_{11} \) and \( S_{22} \)), transmission coefficients (\( S_{12} \) and \( S_{21} \)), AR, two- and three-dimensional radiation patterns, gain, and efficiency were measured and compared with the simulated ones.

A. MEASURED AND SIMULATED S-PARAMETERS
The measured and simulated S-parameters (\( S_{11} \) and \( S_{12} \)) are plotted in Figure 12. While measuring \( S_{11} \) and \( S_{22} \), the Ant.1 was activated whereas the Ant. 2 was terminated with 50Ω impedance and vice-versa. While measuring \( S_{12} \) and \( S_{21} \), both the Ant.1 and Ant.2 were activated simultaneously. Due to analogy, \( S_{22} \) and \( S_{12} \) are not shown for brevity. Here, the measured 10-dB impedance bandwidth was approximately 46.30% (3.12 – 5.00 GHz) with a resonance frequency of 4 GHz, and the measured isolation level between antenna elements was larger than 18.5 dB across the bands of interest.

B. MEASURED AND SIMULATED AXIAL RATIO
The measured and simulated AR diagram of the proposed CP MIMO antenna (Ant. 1) is plotted in Figure 13, and a very wide 3-dB ARBW of 41.34% (3.30–5.02 GHz) was measured. By comparing Figure 12 and Figure 13, the measured 3-dB ARBW has overlapped with the impedance bandwidth across the desired bands of interest. The simulated and measured results are well validated with other, and the slight deviation could be due to soldering and fabrication tolerances.
C. VECTOR CURRENT DISTRIBUTION OF PROPOSED ANTENNA

The simulated vector current distributions of the proposed CP MIMO antenna at 4 GHz (with different phases 0°, 90°, 180°, and 270°) are studied in Figures 14(a)-14(d), when both the antenna elements are excited simultaneously. In Figure 14(a), at 0° phase, the maximum current flows in the +y of both the Ant.1 and Ant.2, whereas at 180° phase, the current at both Ant.1 and Ant.2 are flowing in the -y direction, as illustrated in Figure 14(c). At 90° and 270° phases (see Figures 14(b) and 14(d)), the vector current distributions at Ant.1 and Ant.2 are the same in magnitude but flows in opposite phases. Thus, the current vectors are changing with time and rotating in an anti-clockwise manner for Ant.1 (exciting RHCP wave), whereas the current vectors at Ant.2 are rotating in a clockwise direction (exciting LHCP wave).

D. RADIATION CHARACTERISTIC MEASUREMENT SET-UP OF PROPOSED ANTENNA

Figure 15(a) depicts the block diagram whereas Figure 15(b) illustrates the actual set-up of radiation characteristic measurement of proposed MIMO antenna inside anechoic chamber. This set-up is used to measure two- and three-dimensional radiation patterns, gain as well as MIMO diversity parameters.
E. TWO-DIMENSIONAL RADIATION PATTERN OF PROPOSED ANTENNA

The radiation patterns of the proposed CP MIMO antenna at 4 GHz (when Ant.1 and Ant.2 are excited individually) are visualized in Figure 16. It is observed that in both the planes \( \phi = 0^o \) and \( \phi = 90^o \), the Ant.1 has demonstrated RHCP operation with a wide 3-dB angular beamwidth of \( 137^o \pm 0.2 \). In comparison, Ant.2 exhibits LHCP operation in both planes \( \phi = 0^o \) and \( \phi = 90^o \) with a slightly wider 3-dB angular beamwidth of \( 154^o \pm 0.2 \). This validates the polarization diversity behavior of the proposed two-port CP MIMO antenna.

F. THREE-DIMENSIONAL RADIATION PATTERN OF PROPOSED ANTENNA

The simulated 3D radiation patterns at 4GHz are illustrated in Figure 17. It is visualized that three-dimensional radiation patterns of Ant.1 and Ant.2 are oblique dipole patterns forms mirror image to each other which further validates that the proposed MIMO antenna has good radiation performance.

G. GAIN AND EFFICIENCY OF PROPOSED ANTENNA

The measured and simulated gain and efficiency of the proposed CP MIMO antenna are shown in Figure 18. The measured gain and efficiency were approximately 2.0–2.5 dBi and 70%–75%, respectively, with minimal deviation across 3.12–5.00 GHz.
MEG is a crucial parameter for the diversity performance analysis of any MIMO system. Therefore, the MEG is defined as the ratio of power received by MIMO antenna to the power received by isotropic antenna. In MIMO system, the MEG is calculated using equations (1-3).

$$\text{MEG-}\text{i} = 0.5 \left[ 1 - \sum_{j=1}^{M} |S_{ij}|^2 \right]$$

(1)

Where, M is the number of antenna elements and ‘i’ is the port number. By expanding the above equation, the MEG-1 for port-1 is found as:

$$\text{MEG-1} = 0.5[1 - |S_{21}|^2 - |S_{12}|^2]$$

(2)

and the MEG-2 for port-2 is found as:

$$\text{MEG-2} = 0.5[1 - |S_{21}|^2 - |S_{22}|^2]$$

(3)

For better diversity metrics, the MEG-1 and MEG-2 values as per industry standard, should be $-3 \leq \text{MEG} (\text{dB}) \leq -12$, whereas the ratio of MEG-1/MEG-2 should be approximately equal to 1. From Table II, it is validated that the MEG values as well as the ratio are within the well-defined limit.

| Frequency (GHz) | MEG-1  | MEG-2  | MEG-1/MEG-2 |
|----------------|--------|--------|-------------|
| 3.5            | -3.82  | -3.82  | 0.997       |
| 4              | -3.82  | -3.85  | 0.992       |
| 4.5            | -3.83  | -3.84  | 0.997       |
| 5              | -3.85  | -3.82  | 1.007       |

C. CHANNEL CAPACITY OF PROPOSED ANTENNA

The ergodic channel capacity of the proposed MIMO antenna across the operating bands is analysed in Figure 20 using the following equation (4).

$$C = k \log_2 \det \left[ I + \frac{\text{SNR}}{k} H H^* \right]$$

(4)

where in equation (4), k is the number of antenna elements, SNR defines the mean signal to noise ratio, [I] denotes an identity matrix, η indicates the efficiency, [H] is the normalized channel matrix considered as frequency independent over the operating bands, and $H^*$ denotes the transpose conjugate matrix of $H$.

The MIMO systems channel model should be selected first while calculating the channel capacity and the model for ray tracing or the associated statistical model is mostly used. So, based on the correlation matrix method, the 4-port MIMO antenna’s channel capacity is calculated. The calculated channel capacity of the proposed array is indicated in Table III as well as in Figure 20, which is between 10 and 10.5 bps/Hz by considering simulated efficiencies (η) and averaging 10,000 Rayleigh fading realizations with 20dB SNR in the identically and independently distributed propagation condition [18]. For maximum channel capacity, all channels have no correlation, and fading matrix [H][H*] is converted into an identity matrix.

The channel capacity of the proposed two-port MIMO antenna is above 10.3 bps/Hz throughout the operating band, which is about 1.82 times greater than the maximum limit of an ideal Single antenna (about 5.65 bps/Hz). Compared with the maximum limit for an ideal two-port MIMO antenna, the proposed MIMO antenna have exhibited good channel capacities.

| Frequency (GHz) | Channel Capacity (bps/Hz) |
|----------------|---------------------------|
| 3.5            | 10.43                     |
| 4              | 10.43                     |
| 4.5            | 10.41                     |
| 5              | 10.37                     |

D. TARC OF PROPOSED ANTENNA

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The Total Active Reflection Coefficient (TARC) between Ant. 1 and Ant. 2 is calculated using the below Equation (5).

\[ \Gamma = \frac{\left(1 + |S_{ij}| - e^{j\theta} \right) + \left(1 + |S_{ij}| + e^{j\theta} \right)}{2} \]  

(5)

where \( \theta \) is the input phase angle varied from 0° to 120° at an interval of 30°, \( S_{ii} \) and \( S_{jj} \) are the reflection coefficients of the port i and port j, respectively. Figure 21 depicts the TARC values for antenna elements, where it is visualized that under the variation of phase angle, the performances of the proposed MIMO antenna remain unaltered in the scattering environment.

VI. PERFORMANCE COMPARISON OF PROPOSED ANTENNA WITH EXISTING STATE OF ART

The performance comparison of designed CP MIMO antenna with recent literature is compared in Table IV. From the below table it can be noted that the proposed MIMO antenna has smallest dimension, as well as covers the complete 5G sub-6GHz band with overlapping 3dB ARBW in the desired band as compared to the all the reported CP MIMO Antennas.

VII. CONCLUSION

A compact size dual CP MIMO antenna with broad ARBW has been successfully investigated. The proposed CP MIMO geometry is composed of two antenna elements arranged in a mirrored pattern to each other, in which Ant.1 and Ant.2 can induce RHCP and LHCP radiation, respectively. The proposed CP MIMO antenna has demonstrated a broad impedance bandwidth of 46.30% (3.12–5.00 GHz) with wide ARBW of 41.34% (3.30–5.02 GHz). Furthermore, peak gain of up to 2.5 dBi and efficiency of >70% were measured. Therefore, the proposed dual CP MIMO antenna is a good candidate for 5G NR band n77/n78/n79 communication applications.

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