Implementation of tuberculosis infection control measures in designated hospitals in Zhejiang Province, China: are we doing enough to prevent nosocomial tuberculosis infections?

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ABSTRACT

Objectives: Tuberculosis (TB) infection control measures are very important to prevent nosocomial transmission and protect healthcare workers (HCWs) in hospitals. The TB infection control situation in TB treatment institutions in southeastern China has not been studied previously. Therefore, the aim of this study was to investigate the implementation of TB infection control measures in TB-designated hospitals in Zhejiang Province, China.

Design: Cross-sectional survey using observation and interviews.

Setting: All TB-designated hospitals (n=88) in Zhejiang Province, China in 2014.

Primary and secondary outcome measures: Managerial, administrative, environmental and personal infection control measures were assessed using descriptive analyses and univariate logistic regression analysis.

Results: The TB-designated hospitals treated a median of 3030 outpatients (IQR 764–7094) and 279 patients with confirmed TB (IQR 154–459) annually, and 160 patients with TB (IQR 79–426) were hospitalised in the TB wards. Most infection control measures were performed by the TB-designated hospitals. Measures including separation of suspected patients, regular monitoring of infection control practices, and regular fit testing of respirators should be strengthened. Infection measures for sputum collection and respirator fit testing should be improved in hospitals with lower admission rates of patients with TB.

INTRODUCTION

China, the second most populated country in the world, accounted for 10% of global tuberculosis (TB) cases in 2014.1 On the basis of findings of China’s fifth national TB epidemiological survey in 2010, the prevalence of active pulmonary TB was 459/100 000 persons >15 years old among the general population, and the multidrug-resistant (MDR) TB rate was 6.8%.2 Zhejiang Province is in southeastern China.
China, with a reported active pulmonary TB incidence of 68.86/100 000 persons in 2010, which was lower than the national average of 78/100 000 persons. However, with a population of over 50 million people, about 30 000 new TB cases are still reported annually in this province.

TB transmission, especially of its MDR and extensively drug-resistant forms, poses a high occupational risk to healthcare workers (HCWs) at health institutions. HCWs are not sufficiently protected from TB infection in healthcare facilities when infection control protocols are not followed completely. A systematic review of findings from low-income and middle-income countries indicated that the prevalence of latent TB infection (LTBI) among HCWs ranged from 33% to 79%. A study conducted in 22 health institutions in Beijing, Inner Mongolia and Shanghai, China reported an annual TB prevalence of 664.76/100 000 among HCWs. A recent retrospective study of 7-year TB surveillance data (2005–2011) among HCWs in Zhejiang Province reported annual TB register rates of 45.2–58.4/100 000 persons, which were higher than that among teachers, who had an equivalent social economic status.

Recent studies performed in resource-limited countries have shown that even relatively simple control measures to prevent TB infection appear to be inadequately implemented. In a study conducted in South Africa, only 11% and 22% of 51 clinics had infection control policies and provided N95 masks, respectively. Mechanical ventilation and N95 respirators were not available in all TB treatment centres in a study conducted in Henan Province, China, and only 5 of 22 (23%) healthcare facilities in Beijing, Inner Mongolia and Shanghai separated patients with suspected TB and conducted fit testing for respirators. Despite these data, the implementation of TB infection control measures among TB treatment institutions has not been systematically studied in a provincial area of China.

Before 2005, TB diagnostic and treatment services were performed in most regions in Zhejiang Province by local Centres for Disease Control and Prevention (CDCs). During the past decade, these tasks were assigned to local hospitals (TB-designated hospitals) by the government to provide better medical services for patients with TB. Currently, TB diagnostic and treatment services are performed by TB-designated hospitals in all regions of the province. Therefore, it is very important to develop and implement TB infection control measures to protect HCWs in these designated hospitals. However, the extent of implementation of control measures among the TB-designated hospitals in Zhejiang Province is unknown; therefore, we conducted a cross-sectional survey to assess the situation. The study objectives were to understand the implementation and practice of TB infection control measures within these hospitals and to explore factors related to their implementation.

**METHOD**

**Study design and setting**

A cross-sectional survey was conducted among TB-designated hospitals in Zhejiang Province. The province consists of 11 prefectures and 90 counties. TB services in Zhejiang Province are provided by provincial-level, prefectural-level and county-level TB-designated hospitals. These three levels were classified according to the administrative system in China. The provincial level is the highest level in the province. Provincial-level hospitals are located in the capital of the province and provide medical service mainly to patients who are critically ill with TB or with MDR-TB. The prefectural level is the second level, providing services for 4–12 counties; prefectural-level TB hospitals provide medical services to patients with MDR-TB or with TB from the administrative area. The county level is the lowest level, and county-level hospitals care for local patients with TB. Zhejiang Province has one provincial-level, 12 prefectural-level and 75 county-level TB-designated hospitals. Each prefecture-level city has a local designated hospital, except for two designated hospitals in Hangzhou, but each county does not have its own TB-designated hospital because the prefectural-level TB-designated hospitals might provide services for some of the governed counties. All of the 88 TB-designated hospitals have outpatient TB clinics. However, only 74 of the TB-designated hospitals have inpatient TB wards. All of the TB-designated hospitals were invited and agreed to participate in the survey.

**Data collection**

Data collection was conducted between September and December 2014. A facility-level survey and direct observations were conducted in the 88 TB-designated hospitals. The TB infection control questionnaire was based on the WHO TB infection control policy and the China TB infection control manual. The questionnaire included the hospital characteristics (eg, facility level, facility type and number of staff), TB patient load, and implementation and practice of TB infection control measures. These included managerial, administrative, and environmental infection control measures and personal protection practices in 2014. The numbers of outpatients and inpatients with TB were obtained from the annual patient register book for 2013. The annual number of hospital staff was obtained from the hospital’s annual statistics data for 2013. The interviews were conducted within 3 days after we notified the investigators. During on-site visits, the investigators, through direct observation, also assessed the location of sputum collection, patient triage, ventilation methods, disinfection methods and use of N95 respirators by HCWs. Among the environmental infection measures, we used multiple choice responses to collect the two ventilation and disinfection methods used most often in the outpatient consulting room, inpatient TB ward, outpatient waiting area and outpatient sputum collection area. Trained CDC
staff at the provincial, prefectural and county levels conducted the survey. The researchers trained the investigators to ensure a unified, standard approach. The trained investigators organised the survey in their local area and collected the basic information via interview. All of the questionnaires were checked by the investigators at the prefectural level and were delivered to the researchers at the provincial level. The researchers selected eight hospitals (10%) at the provincial level for a quality check. The data were double-checked for completeness and consistency.

Statistical analysis

Data analysis was performed using SPSS, V.19 (IBM Corp., Armonk, New York, USA). Descriptive analysis was used to summarise the characteristics of the designated hospitals and infection control implementation. Univariate logistic regression analyses were used to assess the relationships between the characteristics of the TB-designated hospitals and TB infection control practice. Three TB infection control measures which were not implemented well were selected as indicators for factor analysis: whether the hospital had a TB infection control plan, whether the hospital had a dedicated sputum collection area (a well-ventilated area), and whether the hospital conducted fit testing for N95 respirators. Crude ORs and 95% CIs were obtained for each association. A p value <0.05 was considered statistically significant. All of the investigated hospitals agreed to participate in the survey.

RESULTS

The majority of the designated hospitals (94%) were general hospitals. The median numbers of HCWs in the designated hospitals, TB outpatient clinics and inpatient TB wards were 825, 3 and 18, respectively. Each hospital had a median of three infection control staff. The median annual numbers of outpatients and inpatients with TB in each hospital were 3050 (IQR 764–7094) and 160 (IQR, 79–426), respectively. The median number of outpatients with TB treated per staff member per year in the TB clinics was 1263 (IQR 202–1985). Table 1 summarises the key characteristics of the designated hospitals.

Managerial and administrative control measures

A written TB infection control plan was available in the TB clinic/ward at 72/88 (82%) of the TB-designated hospitals, yet only 51/88 (58%) of the TB-designated hospitals had a TB infection control committee. Most (84/88, 95%) of the TB-designated hospitals reported having a regulation for prompt sputum tests for patients with TB. A referral policy for patients with suspected TB was commonly reported (86/88, 97%). Only 37/88 (42%) of the TB-designated hospitals provided an expedited priority service to shorten the stay for patients with TB, and less than half (46%) had a separate patient waiting area (table 2).

Environmental control measures

Among all of the TB clinics, 68/88 (77%) had dedicated TB outpatient waiting areas. Fifty-six hospitals (64%) had a dedicated sputum collection area, while 25 hospitals (28%) collected sputum samples in the waiting area, 5 (6%) outside the hospital building and 2 (2%) in the washroom. Table 3 summarises the ventilation and disinfection methods used in the outpatient consulting room, inpatient TB ward, outpatient waiting area and outpatient sputum collection area in the TB-designated hospitals. The majority of the hospitals relied on natural ventilation and ultraviolet germicidal irradiation (UVGI). Mechanical ventilation was used in 30 (34%)

| Table 1 | General characteristics of 88 tuberculosis (TB)-designated hospitals in Zhejiang Province, China, 2013 |
|---------|---------------------------------------------------------------------------------|
|         | Results                                                                        |
| Hospital type |                                                                  |
| General hospital | 83 (94)                           |
| Specific hospital | 5 (6)                                    |
| Hospital level |                                                                  |
| Provincial | 1 (1)                        |
| Prefectural | 12 (14)                           |
| County | 75 (85)                           |
| Number of staff in each hospital | 825 (497–1185)                |
| Number of staff in each TB outpatient clinic | 3 (2–6)                     |
| Number of staff in each inpatient TB ward | 18 (12–23)                  |
| Number of infection control staff in each hospital | 3 (2–5)                     |
| Annual outpatient turnover in each outpatient clinic | 3030 (764–7094)         |
| Annual confirmed TB patient turnover in each hospital | 279 (154–459)               |
| Annual TB inpatient turnover in each inpatient TB ward | 160 (79–426)                |
| Annual number of outpatients per staff member in each TB outpatient clinic | 1263 (202–1985)        |
| Annual number of TB inpatients per staff member in each inpatient TB ward | 11 (6–24)                  |
| Annual number of confirmed TB patients per staff member treated in each TB outpatient clinic | 151 (92–252)              |

Values are reported as n (%) or median (IQR).
outpatient consulting rooms, 23 (26%) outpatient waiting areas, 19 (34%) dedicated sputum collection areas and 20 (27%) TB wards. More than half (50/88, 57%) of the hospitals monitored natural and mechanical ventilation at least once a quarter (table 3).

**Personal protection measures**

TB infection control training was available in 81 of the TB-designated hospitals. Most (85/88, 97%) of the hospitals supplied HCWs with N95 respirators, although only 44 (50%) of the hospitals had conducted fit testing for these respirators. Of the 88 TB-designated hospitals, 81 (92%) offered training on TB infection control, 65 (74%) screened staff for TB at least annually, and 61 (69%) tested the infection control knowledge of staff every year.

**Factors associated with practice of infection control measures**

In the univariate analysis, no factors were associated with the availability of a TB infection control protocol. Factors associated with a dedicated sputum collection area were staff number and confirmed TB patient load in the TB outpatient clinic. Compared with hospitals with only 1 staff member in the TB outpatient department, those with 3–5 or 6–26 staff members were more likely to have dedicated sputum collection areas (OR=8.66, 95% CI 1.94 to 38.56; OR=7.60, 95% CI 1.60 to 35.90, respectively). Compared with hospitals that had <154 confirmed patients with TB in the TB outpatient clinic annually, those that had 279–458 or 459–6906 patients with confirmed TB annually were more likely to conduct fit testing for N95 respirators (OR=0.19, 95% CI 0.05 to 0.77; OR=0.15, 95% CI 0.03 to 0.59) (table 4).

### Table 2  Implementation of infection control measures in 88 tuberculosis (TB)-designated hospitals

| Infection control measures                                      | Implementation |
|---------------------------------------------------------------|---------------|
| **Managerial and administrative infection control**           |               |
| Establishing TB infection control committee                    | 51            | 58            |
| Setting a TB infection control regulation or plan in the TB clinic/ward | 72            | 82            |
| Pre-employment infection control training for staff           | 74            | 84            |
| Job training on TB infection control measures                  | 60            | 68            |
| Regulations for regular monitoring of TB infection control in high-risk areas (at least quarterly)* | 43            | 49            |
| Regulation for prompt sputum test for patients with TB         | 84            | 95            |
| Rapid referral rules for patients with suspected TB            | 86            | 97            |
| Provision of expedited priority service to shorten the stay of patients with TB | 37            | 42            |
| Arranging a separate waiting area for suspected patients       | 40            | 46            |
| Health education regarding coughing etiquette and respiratory hygiene for patients | 84            | 95            |
| **Environmental infection control**                            |               |
| Dedicated TB outpatient waiting area                           | 68            | 77            |
| Dedicated sputum collection area (well-ventilated area)†       | 56            | 64            |
| Separate entrance for healthcare workers in the TB outpatient clinic | 60            | 68            |
| Separate entrance for healthcare workers in the inpatient TB ward‡ | 63            | 85            |
| Regular monitoring of natural and mechanical ventilation (at least quarterly)* | 50            | 57            |
| Daily disinfection of TB outpatient consulting room§           | 85            | 97            |
| Daily disinfection of inpatient TB wards§                      | 74            | 100           |
| Daily disinfection of outpatient waiting room§                 | 83            | 94            |
| Daily disinfection of sputum collection area§                  | 84            | 96            |
| Daily disinfection of radiology department§                    | 73            | 83            |
| **Personal respiratory protection**                            |               |
| Supplying TB staff with N95 respirators                        | 85            | 97            |
| Fit testing on N95 respirators                                  | 44            | 50            |
| Staff screening for TB at least annually                       | 65            | 74            |
| Offering training on TB infection control                      | 81            | 92            |
| Annual TB infection control knowledge tests for staff          | 61            | 69            |
| Regular monitoring of mask wearing among TB staff (at least quarterly) | 75            | 85            |

*According to the China TB infection control manual19 and China’s code for design of infectious diseases hospital,20 the infection control measures in the TB clinic should be monitored and meet the requirements in these two regulations.
†A dedicated sputum collection area is where sputum production can be collected in a well-ventilated area.
‡Of all the TB-designated hospitals, only 74 healthcare facilities had inpatient TB wards.
§The disinfection measures by the hospitals complied with the ‘Regulation of disinfection technique in healthcare settings’20.
DISCUSSION

This study evaluated the implementation and practice of TB infection control measures among all TB-designated hospitals in a provincial region of China. According to the previous studies, the common method to assess the effect of TB infection control measures is to investigate the prevalence of LTBI in HCWs. In our study, we used the facility-level interview and observation to reveal the current situations of TB infection control in the hospitals. The findings indicate that most basic TB infection control measures had been undertaken by these TB-designated hospitals in Zhejiang Province. However, they also suggest that some TB infection control measures were not fully implemented in these hospitals.

Managerial and administrative control measures are the first and most important level of control to reduce the exposure of HCWs and other patients to TB. When patients with TB and other facility users share the same crowded and poorly ventilated waiting area, unnecessarily long waiting times in the diagnostic and treatment process can increase nosocomial TB transmission. The aims of managerial and administrative control measures are to ensure rapid diagnosis, isolation and treatment. Triage and management of patients with suspected TB in outpatient departments are necessary to minimise the exposure of other patients and HCWs, and the separation of patients with suspected TB is strongly recommended by the WHO. In countries with a low TB burden, the infection control strategy includes recommendations to isolate patients with TB or MDR-TB from other people in the hospital. However, this can be difficult in countries with a high TB burden, owing to more patients and fewer resources. In our study, only 46% of the TB-designated hospitals had introduced the segregation of patients with suspected TB.

Over half of the TB-designated hospitals provided an expedited priority service to minimise the length of patient stay; longer stays may also increase the risk of nosocomial TB infection.

Environmental control is the second step in reducing the concentration of droplet nuclei in the air. Ventilation is a vital environmental control measure. Natural ventilation, such as that through open windows and doors, is efficient and less costly for the movement of air. Mechanical ventilation is also needed in high-risk areas with poor natural ventilation. Furthermore, to adhere to the requirements, the effectiveness and function of ventilation should be checked regularly. Although natural and mechanical ventilation methods were present in most of the TB-designated hospitals in the present study, the regular monitoring of ventilation (at least quarterly) for TB infection control was conducted in only 50 (57%) of the surveyed hospitals, indicating that many did not sufficiently address this issue, increasing the risk to HCWs.

Table 3 Ventilation and disinfection methods in the outpatient consulting room, inpatient tuberculosis (TB) ward, outpatient waiting area and outpatient sputum collection area in TB-designated hospitals

|                     | Outpatient consulting room (N=88) | Outpatient waiting area (N=88) | Dedicated sputum collection area (N=56)* | TB ward (N=74)† |
|---------------------|-----------------------------------|--------------------------------|------------------------------------------|-----------------|
| **Ventilation method‡** |                                   |                                |                                          |                 |
| Natural ventilation | yes                               | 79 90                          | 81 92                                    | 51 91           | 66 89           |
| Mechanical ventilation | yes                                | 30 34                          | 23 26                                    | 19 34           | 20 27           |
| Central air conditioning | yes                               | 19 22                          | 18 20                                    | 9 16            | 22 30           |
| Air cleaner         | yes                               | 6 7                            | 2 2                                      | 2 4             | 3 4             |
| **Disinfection method‡** |                                   |                                |                                          |                 |
| Ultraviolet germicidal irradiation | yes                                | 74 84                          | 68 77                                    | 44 79           | 57 77           |
| Cirulating air ultraviolet disinfector | yes                                | 30 34                          | 22 25                                    | 15 27           | 27 37           |
| Electrostatic adsorption type air disinfector | yes                                | 6 7                            | 4 5                                      | 3 5             | 7 10            |
| Chemical disinfection | yes                               | 20 23                          | 18 20                                    | 14 25           | 21 28           |

*Only 56 healthcare facilities had dedicated outpatient sputum collection areas.
†Only 74 healthcare facilities had a TB ward.
‡Some healthcare facilities used two ventilation and/or disinfection methods.

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Table 4  Univariate analysis of factors associated with TB infection control measures in designated hospitals

|                         | TB infection control plan | Dedicated sputum collection area | Fit testing on N95 respirators |
|-------------------------|---------------------------|----------------------------------|-------------------------------|
|                         | N (%) OR (95% CI) p Value | N (%) OR (95% CI) p Value        | N (%) OR (95% CI) p Value     |
| **Hospital level**      |                           |                                  |                               |
| County level            |                          |                                  |                               |
| Proportional/prefectural| 61/75 (81) 1.26 (0.25 to 6.34) 0.77 | 46/75 (61) 2.10 (0.53 to 8.28) 0.28 | 40/75 (53) 0.38 (0.11 to 1.37) 0.14 |
| **Ranking of per capita GDP in counties**|                           |                                  |                               |
| Low                     | 32/38 (84) 0.68 (0.21 to 2.19) 0.51 | 20/38 (53) 2.12 (0.82 to 5.5) 0.11 | 21/37 (57) 1.31 (0.52 to 3.25) 0.55 |
| High                    | 29/37 (78) 1.32 (0.30 to 5.77) 0.70 | 26/37 (70) 4.5 (1.14 to 17.67) 0.31 | 10/22 (45) 0.83 (0.25 to 2.72) 0.76 |
| **Number of staff in designated hospitals**|                           |                                  |                               |
| <497                    | 17/22 (77) 1.32 (0.20 to 9.75) 0.73 | 18/22 (82) 3.55 (0.99 to 12.73) 0.05 | 13/27 (49) 0.03 (0.03 to 0.59) 0.005 |
| 497–824                 | 20/22 (91) 2.94 (0.50 to 17.14) 0.23 | 12/22 (55) 1.20 (0.36 to 3.92) 0.76 | 10/22 (45) 0.83 (0.25 to 2.72) 0.76 |
| 825–1184                | 18/22 (82) 1.32 (0.30 to 5.77) 0.70 | 15/22 (68) 2.14 (0.62 to 7.30) 0.22 | 13/22 (59) 1.44 (0.43 to 4.75) 0.54 |
| 1185–4899               | 17/22 (77) 1.00 (0.24 to 4.09) 1.0 | 18/22 (82) 4.5 (1.14 to 17.67) 0.31 | 10/22 (45) 0.83 (0.25 to 2.72) 0.76 |
| **Number of staff in the TB outpatient department**|                           |                                  |                               |
| 1                      | 10/12 (83) 0.46 (0.07 to 2.80) 0.40 | 4/12 (33) 1.07 (0.23 to 4.88) 0.92 | 7/12 (58) 1.32 (0.30 to 5.77) 0.70 |
| 2                      | 14/20 (70) 1.08 (0.18 to 6.48) 0.93 | 7/20 (35) 8.66 (1.94 to 38.56) 0.005 | 14/22 (65) 0.55 (0.14 to 2.12) 0.39 |
| 3–5                    | 27/32 (84) 1.40 (0.20 to 9.75) 0.73 | 19/24 (79) 7.60 (1.60 to 35.90) 0.01 | 10/24 (42) 0.51 (0.12 to 2.08) 0.34 |
| 6–26                   | 21/24 (88) 1.08 (0.18 to 6.48) 0.93 | 16/22 (73) 3.55 (0.99 to 12.73) 0.05 | 9/22 (39) 0.15 (0.03 to 0.59) 0.007 |
| **Confirmed annual TB patient load**|                           |                                  |                               |
| <154                   | 18/21 (86) 0.75 (0.14 to 3.84) 0.73 | 13/22 (59) 1.92 (0.57 to 6.47) 0.28 | 8/22 (36) 0.13 (0.03 to 0.54) 0.005 |
| 154–278                | 18/22 (82) 0.44 (0.09 to 2.07) 0.30 | 16/22 (73) 3.55 (0.99 to 12.73) 0.05 | 10/22 (45) 0.19 (0.05 to 0.77) 0.02 |
| 279–458                | 16/22 (73) 1.11 (0.19 to 6.22) 0.90 | 18/23 (78) 4.80 (1.28 to 17.87) 0.01 | 9/23 (39) 0.15 (0.03 to 0.59) 0.007 |

*Per capita GDP was ranked according to data from the official website of the Zhejiang Bureau. GDP, Gross Domestic Product; TB, tuberculosis.
Personal respiratory protection is the recommended third and final barrier to protect HCWs from inhaling infectious droplets. The use of N95 respirators with annual fit testing is effective in preventing nosocomial infections. Fit testing for respirators is critical to ensure adequate respiratory protection for HCWs, and can help staff correctly use respirators and protect the wearer from inhalation hazards. However, most of the respirators sold in China are designed according to specifications set by a panel of the US Los Alamos National Laboratory, which are based on facial features more typical of adults in Western countries. Compared with the Western population, Asian populations have higher failure rates in fit testing for respirators of the same size. In our study, although the use of N95 respirators among HCWs was better than that reported in previous studies, only 50% of designated hospitals conducted fit-testing for respirators.

In resource-limited countries with a high prevalence of TB, healthcare facilities cannot effectively implement separation measures due to limited space and budget constraints. However, in high-income countries with a low TB burden, where the infection control measures are more strict, infection control measures might be neglected by hospitals with low admission rates of patients with TB, owing to limited disease awareness. As a result, recommended measures are not fully implemented because of scarce resources or less attention to the issue. We found that hospitals with more TB staff (greater than the median number of staff) and a higher patient load (greater than the median patient number) were more likely to have a dedicated sputum collection area and to conduct fit testing for N95 respirators. Higher patient load might encourage hospitals to pay more attention to TB infection control measures. However, fewer admitted patients might reduce the motivation to implement infection control measures, which could lead to nosocomial TB outbreaks. Since the risk of nosocomial infection exists in all hospitals, infection control measures should also be fully implemented in low-admission hospitals.

**CONCLUSIONS**

TB infection control measures were generally implemented by the TB-designated hospitals of Zhejiang Province, but the use of some measures needs to be strengthened, including the separation of patients with suspected TB, triage and priority service to shorten the stay of patients with TB, and regularly monitoring infection control and annual fit testing of respirators. Hospitals with lower admission rates of patients with TB should also place more importance on infection control measures such as sputum collection and appropriate respirator usage. Further research methods such as quantitatively measuring the adequacy of ventilation, investigating the prevalence of LTBI in HCWs, and in-depth interview with HCWs could be conducted to assess the effectiveness of TB infection control measures.

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**Competing interests** None declared.

**Data sharing statement** No additional data are available.

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