Laser gate: multi-MeV electron acceleration and zeptosecond e-bunching

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Abstract: Relativistically-intense laser beam with large field gradient ("laser gate") enables strong inelastic scattering of electrons crossing the beam. This process allows for multi-MeV electron net acceleration per pass within the wavelength space. Inelastic scattering even in low-gradient laser field may also induce extremely tight temporal focusing and electron bunch formation down to quantum, zepto-second limit.

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1. Introduction

In an undulator, free-electron laser, gyrotron, Cherenkov and resonant transition radiations, etc., as well as in many proposed laser accelerators, an electron (E) beam interacts with an electromagnetic (EM) wave or a laser (L) beam by propagating almost parallel to it (i.e. almost normally to the EM-fields), while the energy exchange is usually facilitated by a small electric field component parallel to the E-beam. Diffraction of the L-beam, scattering of electrons by the strong transverse electric field, and the Guoy phase shift impose limitation on most of the "parallel" acceleration configurations. Alternatively, when the electrons cross the EM-wave normally to its propagation, the energy exchange is in most cases inhibited by "elastic" nature of the dominant gradient, or ponderomotive force \[ E_{\text{rel}} = \frac{kmc^2}{e} \] where \( k \) is wave number and \( m \) is the rest mass of electron. On the other hand, recent plasma-wakefield accelerators [7] demonstrated acceleration gradients of about 0.27 GeV/cm [10] presenting thus a strong challenge to the free-space laser acceleration techniques. Plasma-wakefield approach was also proposed [11] to develop a femtosecond electron bunching via excitation of dynamic bistability of the nonlinear plasma wave.

In this Letter we show, however, that when a laser and E-beam run normally to each other, two major factors acting together allow for strongly inelastic scattering and huge energy exchange between the beams in free space: (i) large field gradients (e.g. extremely tight laser focusing) allow electrons to gain and retain energy at near-\( \lambda \) distance, where \( \lambda \) is the laser wavelength; (ii) currently available laser intensities (up to 10\(^{12} \) V/cm), greatly exceeding a relativistic scale, allow for energy transfer beyond 10 MeV and acceleration gradients two orders of magnitude higher than those of plasma-wakefield accelerators [7,10]. The relativistic intensities mitigate limitations on field gradient, while it is virtually impossible to attain strong non-elasticity with non-relativistic intensities.

With EM-field polarization parallel to the velocity of electron, an electron undergoes a direct acceleration/de-acceleration by that field acting as a "laser gate". Even if the E-beam passes through the gate without net energy gain, its laser-modulated momentum can cause strong temporal, klystron-like focusing of the exiting E-beam resulting in ultra-short E-bunches with the quantum-limited length down to zepto-seconds. Such a system has a potential to operate as a full-switch laser gate for electrons, a new base element of a free-electron laser or laser ele-
tron accelerators, generator of powerful ultra-short EM-pulses and E-bunches, as well as high harmonics. The kinetic energy of E-beam required to form super-short E-bunches is not prohibitively high and allows one to use e.g. electron microscope guns for experiments.

2. Inelastic electron scattering and acceleration by field ultra-gradient

In general, the relativistic Lorentz force driving an electron in laser field,

$$\frac{dp}{dt} = e(E + p \times H/\gamma), \quad \text{(1)}$$

where $p$ is the electron momentum, and $\gamma = \sqrt{1 + (p/mc)^2}$ - relativistic factor, depends on both electric, $E$, and magnetic, $H$ fields [12].

If $p/mc > 1$, the $H$-field becomes a major player, which may e.g. result in the sign reversal of ponderomotive force [5]. However, in the anti-node plane of an infinite standing wave, where an $E$-field peaks, the $H$-field vanishes. This remains true also for an anti-node located precisely at the focal plane of a focused L-beam, where the phase front is ideally flat whether it is a paraxial Gaussian or focused to an ultimate $\sim \lambda$ spot L-beam [12] (see below Eq. (4)).

The basic configuration chosen by us here, is a tightly focused linearly polarized standing wave (propagating in the y-axis), and an E-beam that passes in its focal anti-node plane in the x-axis, parallel to polarization of electric field $E = \hat{e}_x F(x) \sin(\omega t + \phi)$ with phase $\phi$ and amplitude spatial profile $F(x), F(x) \rightarrow 0$ as $|x| \rightarrow \infty$ [5][6]. Using normalized variables, $\xi = kx, \; \tau = \omega t, \; \rho = p/mc, \; f(\xi) = F/E_{rel}$, we write Eq. (1) without H-term as:

$$\frac{dp}{d\tau} = f(\xi) \sin(\tau + \phi); \; \frac{d\xi}{d\tau} = \rho/\gamma. \quad \text{(2)}$$

(Outside the anti-node we use exact solution of the free-space wave equation and full Lorentz equation, see below, the 2-nd paragraph preceding Eq. (6)). While in general even (2) is not solved analytically, it can be well approximated in some limits. The most common low-gradient case allows for adiabatic approximation resulting in a cycle-averaged ponderomotive force acting on a particle [1] [2] [3] [4] [5] [6]. In general, the result of this approximation is that at the exit, (i) the particle momentum and energy do not depend on the laser phase; (ii) the system exhibits elastic scattering, i.e. electrons do not gain (or lose) energy from (or to) the EM-field.

The fast relativistic oscillations of $\rho$ and $\gamma$ are comparable with $\tilde{\rho}$ and $\tilde{\gamma}$, where sign $\tilde{}$ denotes the time-averaging. However, the amplitude $f_{mx}$ of fast oscillations of $\xi$ is small $f_{mx} \ll 1$ in nonrelativistic case, and limited by $\pi$ - in strongly-relativistic case. Characterizing the field gradient by the scale of transverse field inhomogeneity, $\xi_L$ (or L-beam amplitude spot-size at FWHM, $w = \lambda O(\xi_L)$), along the electron trajectory, the universal condition of the applicability of adiabatic, elastic scattering of electrons by the laser is then [5][6]:

$$\mu \equiv 2\pi f_{mx}/(\xi_L^2 \sqrt{\pi^2 + 4f_{mx}^2}) \ll 1 \quad \text{(3)}$$

where $\mu$ is the major parameter of the problem. The system then is ergodic, i.e. the time-averaged electron momentum and energy coincide with the averaging over all possible phases - or ensemble of electrons (designated by $\langle \rangle$), $\tilde{\rho} = \langle \rho \rangle$, $\tilde{\gamma} = \langle \gamma \rangle$.

Non-adiabatic, inelastic scattering occurs when condition (3) is broken. It is easier to achieve $\mu \sim 1$ in relativistic field, $f_{mx} > 1$, by having $\xi_L \lesssim \pi (w \lesssim \lambda)$, than in nonrelativistic one. In the latter case, one needs to have $\xi_L \sim 2f_{mx} \ll 1$ ($w \ll \lambda$). (This may be stringent - but not impossible - condition to accomplish by using non-radiative fields due to material structures, see below.) The ultimate laser gate focusing in free space has $w \approx 0.8\lambda$, whereby the EM-field distribution is essentially a fundamental transverse mode of a spherical resonator [12] with the in-focal-plane profile, Fig. 1(a):

$$f_{ult}(\xi) = 3f_{mx}(\sin \xi - \xi \cos \xi)/\xi^3. \quad \text{(4)}$$
The onset of inelasticity occurs when incident electron momentum approaches the point of switching from reflection to transmission modes, where the electron motion is sensitive to slight change of the phase of the laser gate at the moment of electron entrance, similarly e.g. to a pendulum that comes almost to rest at the upper, unstable equilibrium point. This results in the “phase-dispersion” of exiting electrons; the system is no longer ergodic anymore. For the elastic scattering we have \( \langle \gamma_{\text{out}} \rangle = \tilde{\gamma}_{\text{out}} = \gamma_0 \). Thus, to quantify the effect of non-ergodicity and inelasticity of the process, one may evaluate the net gain/loss of the electron energy at the exit from the laser gate; \( \Delta \gamma = \langle \gamma_{\text{out}} \rangle - \gamma_0 \), by averaging over all the phases of randomly arriving electrons in the case of incoherent E-beam (preformed E-bunches should be treated separately). Most substantial energy transfer is obtained at \( w < \lambda \).

Let us consider an example of the gate with strongly relativistic maximum field amplitude, \( f_{\text{max}} = 12 \). We study first the dependence of averaged over the laser cycle energy and momentum gains, i.e., \( \langle \gamma_{\text{out}} \rangle - \gamma_0 \) and \( \langle \rho_{\text{out}} \rangle - \rho_0 \) respectively, vs the incident momentum, \( \rho_0 \), see Fig. 2. Fig. 2(a) shows that the energy gain, \( \Delta \gamma \sim 6 \) may far exceed the incident kinetic energy of E-beam. For the ultimate L-beam profile Eq. (4), even the phase averaged characteristics exhibit strong sensitivity to the incident electron momentum for \( \rho_0 \lesssim 1 \), with electron energy significantly lower than the L-beam intensity.

In our simulations we considered electrons launched into the laser gate sufficiently far from its center, thus the almost chaotic oscillations of \( \Delta \gamma \) are due to interference fringes (spatial tails) of the L-beam profile of Eq. (4). The effect of these fringes is readily verified by considering

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**Fig. 1.** (a) Focal-plane L-beam field profiles \( f_{\text{ult}}(\xi) \) (solid) and \( f_{\text{trc}}(\xi) \) (dashed). (b) Distribution of transmitted electrons (N) with the incident momentum \( \rho_0 = 3 \) over relativistic factor \( \gamma \) at the exit of the gate with the maximum field amplitude \( f_{\text{max}} = 12 \) for the field profiles \( f_{\text{ult}} \) (solid) and \( f_{\text{cs}} \) with \( \xi_L = 2 \) (dashed). \( N_0 \) – the total number of incident electrons.

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a truncated L-beam profile without the fringes, $f_{trc}(\xi)$, which in principle can be realized in a spherical resonator of the radius $\xi_1$, where $\xi_1 \approx 4.49$ is the first zero of $f_{ult}(\xi)$. The field in this case is given by Eq. (4) for $|\xi| \leq \xi_1$, and zero otherwise. Indeed, one can see that in this case there are no chaotic resonances in $\Delta \gamma$, Fig. 2(b). In fact, the profile $f_{trc}(\xi)$ can be approximated with a very high accuracy by $f_{cs}(\xi) = f_{mx} \cos^2 \left( \frac{\xi}{\xi_L} \right)$ at $|\xi/\xi_L| \leq \pi/2$ and $f_{cs} = 0$ otherwise (5) with $\xi_L \approx 3.18$ (see Fig. 1(a)). The largest difference between $f_{cs}$ and $f_{trc}$ occurs near $\xi_1$, where $f_{cs}(\xi)$ has a smoothly vanishing first derivative, in contrast to $f_{trc}(\xi)$. Yet Fig. 2(a) shows that $\Delta \gamma$ for $f_{cs}$ and $f_{trc}$ nearly coincide at $\rho_0 \gtrsim 2$. Even though the fringes of the $f_{ult}$ cause chaotic resonances at low incident electron energy, the order of magnitude of the energy transfer for those L-beam profiles remains the same. Thus, for an approximate analysis one can work with the profile $f_{cs}(\xi)$, which is more convenient to use for numerical simulations, and, as we show below, allows for rigorous analytical treatment. Another advantage of $f_{cs}(\xi)$ is that it has a free controllable parameter $\xi_L$, which is related to the spot-size by $\xi_L = 4w/\lambda$. At $\xi_L \gg 1$ the profile $f_{cs}$ is closely mimicking the Gaussian beam [5, 6]. Tighter field profiles due to the presence of non-radiative fields will be characterized by $\xi_L < \xi_1$ (see e.g. Fig 2(a), $\xi_L = 2$).

To further detail this energy transfer, next to the phase-averaged characteristics we consider another important feature: the energy spectrum or distribution of scattered electrons for the fixed incident momentum; we consider an example of $\rho_0 = 3$. Because of the phase-dispersion, there are, in general, transmitted and reflected from the laser gate electrons. Fig. 1(b) depicts distribution $N_0 dN/d\gamma$, (where $N_0$ is the total number of incident electrons) of transmitted electrons ($N$) over relativistic factor $\gamma$ at the exit of the gate for two different field profile models:
attained in microwave klystrons by using Hansen resonator $[14, 15, 16]$ with sub-mrad for the incident E-beam spot size of 0 MeV. On the other hand, see Fig. 1(b), the energy distribution of the transmitted electrons peaks near much larger $\gamma$, which, depending on the model, is either $\gamma \approx 15$ or $\gamma \approx 22$, which illustrates again a huge net transfer of energy from the laser to E-beam with relativistic factor gain $\Delta \gamma \sim 12 - 19$, or energy gain of $\sim 6.3$ MeV for the profile $f_{\text{ult}}(\xi)$, Eq. (4).

Remarkably, electrons acquired this energy gain over the distance of $\sim \lambda$. This corresponds to a huge accelerating gradient $\sim 52$ GeV/cm, i.e. about two orders of magnitude higher than the acceleration gradient facilitated by the plasma-wakefield accelerator $[7, 8, 9, 10]$. Respectively, higher accelerating gradient $\sim 0.1$ TeV/cm is attained for $\xi_L = 2$ (Fig. 1(b)). The reflected electrons acquire a similar energy gain and their spectrum has also a well pronounced peak. Since in our geometry the L-beam and E-beam are orthogonal to each other, one can envision cascade design of the accelerating elements.

In the above calculations, electrons were launched in the focal anti-node plane. Any spatial deviation of electrons from that plane, inevitable in realistic situations, would result in transverse components of the Lorentz force coming into play because of interaction of electrons with all other, besides $E_z$, components of electric, as well as non-vanishing $H$-field. However, our numerical simulations, based on exact solution for EM-fields $[12]$ producing the profile (4), showed that these effects are not drastic. For instance, for $f_{\text{ms}} = 12$, the angular divergence of the transmitted electrons, assumed to be parallel at input with $\rho_0 = 3$, has $\theta_{\text{HM}} \approx 5$ and 23 mrad for the incident E-beam spot size of 0.0025$\lambda$ and 0.025$\lambda$ respectively, where $\theta_{\text{HM}}$ is the (scattering) angle between output and input electron momenta, taken at the half-maximum of the transmitted electron angular distribution.

While profile of Eq. (4) reflects the limiting focusing of free-space radiative fields, it is not a general physical limit: using non-radiative, evanescent field in the material structures, one can engineer arbitrary tight EM-profiles. In fact, finer-than-$\lambda$ distributions have been successfully attained in microwave klystrons by using Hansen resonator $[14, 15, 16]$ with sub-$\lambda$ spacing between the field confining metallic grids $[18]$. Another way to attain sub-$\lambda$ field profiles is to make electrons run through the well known diffraction field pattern $[19]$ near a straight edge. Propagation of electrons in the sub-$\lambda$ confined relativistic fields brings about a “quasi-static” behavior, whereby the L-field can be assumed almost “frozen” in time as an electron passes through it. In this case, instead of the amplitude of the field, the defining parameter is a full field area along the “line-of-fire”, $A_L = \int_{-\infty}^{\infty} f(\xi) d\xi$, and

$$\langle \Delta \gamma \rangle = \pi^{-1} [A_L^2 - (\rho_0 - 1)^2]^{1/2} \quad \text{if} \quad A_L > \rho_0 - 1,$$

(6)

and $\langle \Delta \gamma \rangle = 0$ otherwise. Eq. (6) predicts the maximum energy gain $\langle \Delta \gamma_{\text{ms}} \rangle = A_L / \pi$.

To relate the above results to more common and well analyzable situations of non-relativistic E-beams or low-gradient L-beams, with a low inelastic scattering, we consider the electron momentum dominance case $\rho_0 \gg f_{\text{ms}} \[5, 6\]$. Here, the E-beam runs via the gate almost unhindered, which allows for a Born-like approximation by assuming the laser field as a perturbation. The zero-order approximation is an undisturbed electron motion, $\rho^{(0)} = \rho_0$ and $\xi^{(0)} = -\pi \xi_L / 2 + \beta_0 \tau$, with $\tau = 0$ corresponding to the entering point. We look for the solution as a series $[21, 22]$: $s = s^{(0)} + \Delta s^{(1)} + \Delta s^{(2)} + \ldots$, where $s(\tau)$ is a generic variable of the problem, with $s^{(0)} = O[(f_{\text{ms}} / \rho_0)^n]$.

Evaluating 1-st order corrections at the exit, $\Delta s^{(1)}$, we find that for some phases $\phi$ there is momentum and energy gain, whereas for other there is a loss of both. If again the electrons arrive randomly, we have to average $\Delta s_{\text{out}}$ over the ensemble, i.e. over the phase $0 < \phi \leq 2\pi$, and arrive as expected, at a zero gain/loss: $\langle \Delta \rho^{(1)} \rangle = \langle \Delta \xi^{(1)} \rangle = 0$. Thus, one has to use a 2-nd order approximation, $\Delta s^{(2)}$ (which is typical for Cherenkov and transition radiation lasers $[22, 23, 24]$).
and NLO of single electron \([20, 21]\), to account for a small spatial electron displacement affecting the force seen by an electron. Our calculations yield:

\[
\frac{\langle\Delta y^{(2)}\rangle}{f_{mx}^2} = \frac{B^3 \sin(\pi/B)}{2\gamma_0^2 (1 - B^2)^2} \times \left[ \frac{B(3 - B^2)}{(1 - B^2)} \sin \left( \frac{\pi}{B} \right) - \pi \cos \left( \frac{\pi}{B} \right) \right],
\]

where \(B = 2\beta_0/\xi_L\). Fig. 2(b) depicts analytical (7) and numerical results with \(\xi_L = 2\) when \(f_{mx} = 0.23\) (inset), where they are almost identical, and when \(f_{mx} = 0.6\), which, at the gain \(\sim 15\%\) still shows a good agreement between those two. For low field gradient, \(\xi_L \gg 1\), we have \(B \ll 1\) when \(\beta_0 \sim 1\), and the envelope of oscillations in (7) is

\[
\langle\Delta y^{(2)}\rangle_{env} \approx 2\pi f_{mx}^2 (\rho_0/\xi_L \gamma_0^3)^3
\]

with the peak parameters \((\rho_0)_{pk} = 1\), and

\[
\langle\Delta y^{(2)}\rangle_{pk} = \pi f_{mx}^2 (4\xi_L^3),
\]

so the larger the \(\xi_L\), the smaller energy transfer, as expected. With \(\rho_0\) increasing beyond the \((\rho_0)_{pk}\), the inelastic effect rapidly vanishes as \(\sim \gamma_0^{-3}\). It is worth noting that at certain intervals in Fig. 2(b), there is an energy loss by the E-beam, \(\langle\Delta y^{(2)}\rangle < 0\): the energy is transferred from the E-beam to the L-beam, resulting in *stimulated emission*, which can in principle be used for free-electron lasing.

3. **Klystron-like temporal focusing of electron beam**

The “laser as perturbation” approach is instrumental also in the analysis of another feature: dramatic klystron-like [14, 15, 16] temporal focusing (E-bunch formation) of the E-beam after it passes through the laser gate. By considering each individual phase before the averaging, our results show a substantial momentum/speed modulation, so that the exiting E-beam bears the memory of the interaction within each of laser cycles. While one of the contributing factors is an accumulated extra time delay, \(\Delta \tau_{out}^{(1)}(\phi)\), the defining contribution to the bunching effect is an accumulated extra momentum at the exit:

\[
\Delta \rho_{out}^{(1)}(\phi) = -\frac{f_{mx} B^2}{1 - B^2} \sin \left( \frac{\pi}{B} \right) \sin \left( \frac{\pi}{B} \right)
\]

Similarly to the thin-lens approximation in optics, we assume here that all the electrons need approximately the same time to get to the exit, i.e. output face of the laser gate, but accrue different velocities/momenta. Counting now the distance run by each electron from the output face, \(\xi(\tau = 0) = 0\), we can construct their “beyond the gate” time-lines by evaluating the time, \(\tau_{\xi}\), counted from e.g. beginning of the laser cycle, as laser phase \(\phi\) plus the time lapsed from the moment an electron left that exit face, to the moment it reached distance \(\xi\)

\[
\tau_{\xi}(\phi) \approx \phi + \frac{\xi}{\beta_0} \left[ 1 - \Delta \rho_{out}^{(1)}(\phi)/\beta_0 \right]
\]

where \(\Delta \rho_{out}(\phi) \approx \Delta \rho_{out}^{(1)}(\phi)/\gamma_0^3\) and \(\Delta \rho_{out}^{(1)}\) is determined by (10). The current density temporal profile, \(j_{\xi}(\tau)\), of E-beam at a distance \(\xi\) can in general be written as \(j_{\xi}(\tau)/j_0 = [2\pi (d\tau/d\phi)]^{-1}(\geq 0)\), where \(j_0 = j_{\xi=0}\). In approximation (7) we have:

\[
2\pi j_{\xi}(\tau)/j_0 = \left[ 1 - (\xi/\xi_f)\cos (\pi/B + \phi) \right]^{-1},
\]
where $\xi_f > 0$ is the focal distance,

$$\xi_f = \frac{\pi (\gamma_0 \xi_L)^3 (B^2 - 1)}{8A_L \sin(\pi/B)}$$  \hspace{1cm} (13)$$

Eq. (12) is true only when $\xi \leq \xi_f$. As the E-beam approaches the focusing point, $\xi \rightarrow \xi_f$, so that $\Delta \xi = \xi_f - \xi \ll \xi_f$. (12) describes a Lorentzian pulse at $\bar{\xi}$, near the moment $(\tau_{pk} - \xi / \beta_0 = \phi_{pk} = -\pi/B$

$$j_{\bar{\xi}}(\tau) \approx \frac{j_0}{2\pi} \frac{1/\delta}{1 + (\Delta \tau)^2 / 2\delta^2} \quad \text{where} \quad \delta = \frac{\Delta \xi}{\xi_f}$$  \hspace{1cm} (14)$$

The diverging focusing remains even if one accounts for the time delay at the exit face. The divergence at $\xi \rightarrow \xi_f$ is removed and the peak resolved by accounting for other physical factors, e.g. non-monoenergetic electrons, the Coulomb repulsion at the focusing point, finite width of E-beam due to laser magnetic field, etc. The ultimate limitation is imposed by uncertainty principle, due to which the finite bunch length is:

$$\Delta \tau_f = \omega \Delta \tau_f = (\hbar \omega / mc^2) / \Delta \gamma_{\text{max}}$$  \hspace{1cm} (15)$$
\[ \Delta \gamma_{\text{max}} = \frac{8A_L}{(3\pi)}. \]  

If \( A_L \sim \Delta \gamma_{\text{max}} = 0.2 \), and \( \hbar \omega \sim 1 \text{ eV} \), we have \( \Delta \tau_f \sim 10^{-5} \), which corresponds to \( \Delta t_f \sim 30 \text{ zs} \), where 1 zs = \( 10^{-21} \text{ s} \) (zepto-second). An estimate based on the spread of E-beam energy spectrum \( \sim \Delta \gamma_{\text{max}} \sim 10^{-6} \) as in an electron microscope, \( B = 2 \) and \( A_L \sim 0.2 \), yields \( \Delta \tau_f \sim 1.5 \times 10^{-5} \), or \( \Delta t_f \sim 45 \text{ zs} \), which comes close to the quantum limit.

Equations (8)-(10) remain valid only if the time-lines \( \xi(\tau) \) of individual electrons do not cross each other, i.e. until crossing of many time-lines at \( \xi = \xi_f \). Beyond that point, faster electrons over-run the slower ones, forming two shock waves propagating in opposite directions and making a “two-horn” temporal profile at each point \( \xi \), with each horn corresponding to the divergence in the density current, see Fig. 3(a); Fig. 3(b) depicts spatiotemporal and temporal profiles of the current density, \( j \). These shock-waves are typical for a gas of weakly-interacting particles, whereby the slower particles running in front of the group at some point (focus) are overrun by the faster particles coming from behind and creating for a moment infinite density at certain (focal) point. Aside from the direct analogy to the bunch formation in mw klystron [14, 15, 16], this process is similar to spatial ray focusing and caustics in geometric optics [19], as well as shock waves in astrophysics [27] and in Coulomb explosions [28].

4. Conclusion

In conclusion, we demonstrated the feasibility of multi-MeV electron acceleration corresponding to \( \sim 0.1 \text{ TeV/cm} \) acceleration gradients, based upon strong inelastic scattering of electrons in an ultra-gradient relativistically-intense laser field. We also predicted the formation of zepto-second electron bunches due to strong after-scattering electron beam modulation.

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