Flavor violation in the MSSM and implications for top and squark searches at colliders

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Abstract In this article I review some connections between flavor physics and collider physics. The first part discusses the effect of right-handed charged currents on the determination of the CKM elements $V_{ub}$ based on Ref. [1]. It is shown that such an effective right-handed $W$-coupling can be generated in the MSSM which would lead to a sizable enhancement of single-top production at the LHC. The second part of this article focuses on the constraints on the mass splitting between left-handed squarks from Kaon and D mixing based on Ref. [2]. Such a mass splitting has interesting consequences for squark decay chains at colliders.

1 Right-handed $W$-coupling

In the standard model (SM) the tree-level $W$ coupling has a pure $V − A$ structure meaning that all charged currents are left-handed. Right-handed charged currents were first studied in the context of left-right symmetric models [3] which enlarge the gauge group by an additional $SU(2)_R$ symmetry between right-handed doublets. In these models new right-handed gauge bosons $W_R$, $Z_R$ appear and the physical SM-like $W$-boson has a dominant left-handed component with a small admixture of $W_R$. The latter will generically lead to small right-handed couplings to both quarks and leptons. The right-handed mass scale inferred from today’s knowledge on neutrino masses is so large that all right-handed gauge couplings are undetectable. Most of these couplings are further experimentally strongly constrained [4]. A different source of right-handed couplings of quarks to the $W$-boson can be loop effects, which generate a dimension-6 quark-quark-$W$ vertex. In this case no right-handed lepton couplings occur, as long as the neutrinos are assumed left-handed.

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1.1 Right-handed W couplings

An appropriate framework for our analysis is an effective Lagrangian. Following the notation of Ref. [5], we write

$$L = L_{SM} + \frac{1}{\Lambda} \sum_i C_i^{(5)} Q_i^{(5)} + \frac{1}{\Lambda^2} \sum_i C_i^{(6)} Q_i^{(6)} + \mathcal{O}\left(\frac{1}{\Lambda^3}\right),$$

(1)

here $L_{SM}$ is the standard model (SM) Lagrangian, while $Q_i^{(n)}$ stand for dimension-$n$ operators built out of the SM fields and being invariant under the SM gauge symmetries. Such an effective theory approach is appropriate for any SM extension in which all new particles are sufficiently heavy ($M_{new} \sim \Lambda \gg m_t$). As long as only processes with momentum scales $\mu \ll \Lambda$ are considered, all heavy degrees of freedom can be eliminated [6], leading to the effective theory defined in (1). The operators $Q_i^{(5)}$ and $Q_i^{(6)}$ have been completely classified in Ref. [7]. Here, we need the following dimension-six operator describing anomalous (not present in the SM) right-handed W-couplings to quarks:

$$Q_{RR} = \bar{u}_f \gamma^\mu P_R d_i \left(\bar{\phi} i D_\mu \phi\right) + h.c.$$  

(2)

where $\phi$ denotes the Higgs doublet $D_\mu$ is the covariant derivative and $\bar{\phi} = i \tau_2 \phi^*$. The Feynman rule for the $W-u_f-d_i$ interaction vertex,

$$-ig_2 \gamma^\mu \left(V_{fi}^L P_L + V_{fi}^R P_R\right),$$

(3)

is found by combining the usual SM interaction with the extra contributions that are obtained by setting the Higgs field in Eq. (2) to its vacuum expectation value. In Eq. (3) $V_{fi}^L$ and $V_{fi}^R$ denote elements of the effective CKM matrices, which are not necessarily unitary. $V_{fi}^R$ is related to the Wilson coefficient in Eq. (1) via $V_{fi}^R = \frac{C_{RR}}{2 \sqrt{2} G_F \Lambda^2}$. $V_{fi}^L$ receives contributions from the tree-level CKM matrix and the LL analogue of $Q_{RR}$ in Eq. (2).

In Ref. [5] it was pointed out that very strong constraints can be obtained on $V_{tb}^R$ from $b \to s\gamma$, because the usual helicity suppression factor of $m_b/M_W$ is absent in the right-handed contribution. By the same argument $V_{td}^R$ is tightly constrained. Large effects concerning transitions between the first two generations are unlikely, because $V_{us,cd}^L$ are larger than other off-diagonal CKM elements. Thus, we focus our attention on the remaining element $V_{ub}^R$ (similar effects are possible for $V_{cb}^R$ but the signature is less significant).

The experimental determination of $|V_{ub}|$ from both inclusive and exclusive $B$ decays is a mature field by now [4]. To discuss the impact of right-handed currents we denote the CKM element extracted from data with SM formula by $V_{ub}$. If the matrix element of a considered
exclusive process is proportional to the vector current, $V_{ub}^L$ and $V_{ub}^R$ enter with the same sign and the true value of $V_{ub}^L$ in the presence of $V_{ub}^R$ is given by:

$$V_{ub}^L = V_{ub} - V_{ub}^R$$  (4)

For processes proportional to the axial-vector current $V_{ub}^R$ enters with the opposite sign as $V_{ub}^L$, so that

$$V_{ub}^L = V_{ub} + V_{ub}^R.$$  (5)

In inclusive decays the interference term between the left-handed and right-handed contributions is suppressed by a factor of $m_u/m_b$ so that it is irrelevant for $V_{ub}$. The remaining dependence on $V_{ub}^R$ is quadratic and therefore negligible. Note that the determinations from inclusive and exclusive semileptonic decays agree within their errors, but the agreement is not perfect [4,5]. The analysis of $B \to \tau \nu$ is affected by the uncertainty in the decay constant $f_B$. Within errors the three determinations of $|V_{ub}|$ are compatible for $V_{ub}^R = 0$, as one can read off from Fig. 1. The picture looks very different once the information from a global fit to the unitarity triangle (UT) is included: As pointed out first by the CKMfitter group, the measured value of $B \to \tau \nu$ suffers from a tension with the SM of 2.4–2.7$\sigma$ [8]. First, the global UT fit gives a much smaller error on $|V_{ub}|$ (as a consequence of the well-measured UT angle $\beta$); the corresponding value is also shown in Fig. 1. Second, the data on $B_d - \bar{B}_d$ mixing exclude very large values for $f_B$, which in turn cuts out the lower part of the yellow (light gray) region in Fig. 1. Essentially we realize from Fig. 1 that we can remove this tension while simultaneously bringing the determinations of $|V_{ub}|$ from inclusive and exclusive semileptonic decays into even better agreement. For this the right-handed component must be around $\text{Re } (V_{ub}^R/V_{ub}^L) \approx -0.15$.

1.2 MSSM renormalization of the quark-quark-W vertex

In Ref. [9] the renormalization of the quark-quark-W vertex by non-decoupling chirally enhanced supersymmetric self-energies has been computed. Here, we extend this analysis and calculate
the leading contributions to the quark-quark-W vertex which decouple for $M_{\text{SUSY}} \to \infty$. Using the conventions of Ref. [9] we expand to first order in the external momenta and decompose the self-energies as

$$\Sigma_{f_i}^q = \left( \Sigma_{f_i}^{q\,LR} + \varphi \Sigma_{f_i}^{q\,RR} \right) P_R + \left( \Sigma_{f_i}^{q\,RL} + \varphi \Sigma_{f_i}^{q\,LL} \right) P_L.$$  

(6)

These self-energies lead to a flavor-valued wave-function renormalization $\Delta U_{f_i}^{q\,L,R}$ for all external left- and right-handed fields. It is useful to decompose these factors further into an unphysical anti-Hermitian part $\Delta U_{f_i}^{q\,L,A}$, which can be absorbed into the renormalization of the CKM matrix, and a Hermitian part $\Delta U_{f_i}^{q\,L,H}$, which can constitute a physical effect appearing as a deviation from CKM unitarity: $\Delta U_{f_i}^{q\,L,H} = \Sigma_{f_i}^{q\,LL,RR}/2$. Neglecting external momenta, the genuine vertex-correction originating from a squark-gluino loop is given by

$$-i\Lambda_{u,s,t} =$$

$$\frac{g_2}{\sqrt{2}} \frac{i\alpha_s}{3\pi} \sum_{s,t=1}^3 \sum_{j,k=1}^3 \left( W_{f_i s}^{\tilde{u}} W_{s t}^{\tilde{u}\ast} V_{L}^{s t} W_{t i}^{\tilde{d}} W_{i j}^{\tilde{d}\ast} P_L + W_{f_i s}^{\tilde{u}} W_{s t}^{\tilde{u}\ast} V_{L}^{s t} W_{t i}^{\tilde{d}} W_{i j}^{\tilde{d}\ast} P_R \right) C_2 \left( m_{\tilde{u}_s}, m_{\tilde{d}_i}, m_{\tilde{g}} \right).$$

(7)

The matrices $W_{s t}^{\tilde{u}}$ diagonalize the squark mass matrices [9]. The part proportional to $P_L$ in Eq. (7) cancels with the anti-Hermitian part of the wave-function renormalization due to the SU(2) relation between the left-handed up and down squarks for $M_{\text{SUSY}} \to \infty$ according to the decoupling theorem [6]. Since the loop function $C_2$ depends only weakly on $M_{\text{SUSY}}$, the cancellation is very efficient, even for light squarks around 300 GeV. Therefore, the unitarity of the CKM matrix is conserved with very high accuracy. A right-handed coupling of quarks to the W boson is induced by the diagram in Fig. 2 if left-right mixing of squarks is present. The effective coupling corresponds to $Q_{RR}$ in Eq. (2) and vanishes in the decoupling limit $M_{\text{SUSY}} \to \infty$. There is no wave-function renormalization of right-handed quarks which can be applied to the W vertex, therefore no gauge cancellations occur.

We show the relative size of the right-handed coupling involving $u,c$ and $b$ in Fig. 2. Note that the mass insertion $\delta_{ij}^{RL}$ is not affected by the fine-tuning argument imposed in [9] nor severely restricted by FCNC processes. Therefore, the size of the induced couplings $V_{ub}^R$ can be large enough to explain (attenuate) the apparent discrepancies among the various determinations of $|V_{ub}|$.

### 1.3 Right-handed W coupling and single-top production

We have seen that the disturbing problem with $B \to \tau \nu_{\tau}$ [8] can be removed and the inclusive and exclusive determinations of $|V_{ub}|$ can be brought into agreement. If one wants to achieve
Figure 2: Right: Feynman diagram which induces the effective right-handed W coupling of a down-type quark of flavor i to an up-type quark of flavor f. The crosses stand for the flavor and chirality changes needed to generate the coupling.
Left: Relative strength of the induced right-handed coupling $|V_{ub}^R|$ with respect to $|V_{ub}^L|$ for $M_{\text{SUSY}} = 1$ TeV. $|V_{ub}^L|$ is determined from CKM unitarity.

this in the MSSM a large left-right mixing between sbottoms (as present in e.g. the popular large-tan $\beta$ scenarios) and a large $A_{31}^u$-term is needed. Large values for $A_{31}^u$ enhance single-top production, making it observable at the LHC. If $\delta_{13}^{uRL} \approx 0.6$ a 95% CL signal can already be detected with 50 inverse femtobarn [10].

2 Non-degenerate squark masses

Already in the early stages of minimal supersymmetric standard model (MSSM) analyses it was immediately noted, that a super GIM mechanism is needed in order to satisfy the bounds from flavor changing neutral currents (FCNCs) [11]. Therefore, the mass matrix of the left-handed squarks should be (at least approximately) proportional to the unit matrix, since otherwise flavor off-diagonal entries arise inevitably either in the up or in the down sector due to the SU(2) relation between the left-handed squark mass terms (i.e. left-handed up squark and down squark mass matrices differ only by a CKM rotation). The idea that nondegenerate squarks can still satisfy the FCNC constraints (K and D mixing) was first discussed in Ref. [12] in the context of Abelian flavor symmetries.

The squark spectrum is also a hot topic concerning benchmark scenarios for the LHC. It is commonly assumed that the squarks are degenerate at some high scale and that non-degeneracies are introduced via the renormalization group [13][14]. In such scenarios, the non-degeneracies are proportional to Yukawa couplings and therefore only sizable for the third
Figure 3: Size of the real part of Wilson coefficients [see Eqs. (12) and (13)] contributing to $D - \bar{D}$ or $K - \bar{K}$ mixing normalized to the chargino contribution as a function of $m_{\tilde{g}}$ for different values of $m_{\tilde{q}}$ and $M_2$ assuming a small nonzero (real) off-diagonal element $\delta_{12}^{q LL}$. $C_{\text{SUSY}}$ is the sum of all Wilson coefficients contributing in addition to the SM one. The relative size of the coefficients remains unchanged also in the case of complex elements $\delta_{12}^{q LL}$.

generation. In principle, there remains the possibility that squarks have already different masses at some high scale. The question to be clarified is which regions in parameter space with non-degenerate squarks are compatible with $D - \bar{D}$ and $K - \bar{K}$ mixing.

2.1 Meson mixing between the first two generations

Measurements of flavor-changing neutral current (FCNC) processes put strong constraints on new physics at the TeV scale and provide an important guide for model building. In particular $D - \bar{D}$ and $K - \bar{K}$ mixing strongly constrain transitions between the first two generations and combining both is especially powerful to place bounds on new physics [15]. In the down sector FCNCs between the first two generations are probed by the neutral Kaon system. Here the experimental values for the mass difference and the CP violating quantity $\epsilon_K$ are [16]:

$$\Delta m_K/m_K = (7.01 \pm 0.01) \times 10^{-15}$$

$$\epsilon_K = (2.23 \pm 0.01) \times 10^{-3}$$

(8)

As we see from Eq. (8) both the mass difference and the size of the indirect CP violation are tiny and the numbers are in agreement with the standard model (SM) prediction: The SM contribution to the mass difference is small due to a rather precise GIM suppression (the top contribution is suppressed by small CKM elements) and also the CP asymmetry is strongly suppressed because CP violation necessarily involves the tiny CKM combination $V_{td}V_{ts}^*$ related to the third fermion generation. Therefore, Kaon mixing puts very strong bounds on NP scenarios like the MSSM. According to the analysis of Ref. [17] the allowed range in the $C_{\epsilon_K}$ plane is rather limited. At 95% confidence level one can roughly expect the NP contribution to the mass difference $\Delta M_K$ to be at most of the order of the SM contribution. The NP contribution to $\epsilon_K$ is even more restricted.

In the up sector FCNCs are probed by $D - \bar{D}$ mixing. In contrast to the well-established Kaon mixing, it was only discovered recently in 2007 by the BABAR and BELLE collaborations.
The current experimental values are [16]:
\[
\Delta m_D/m_D = (8.6 \pm 2.1) \times 10^{-15}
\]
\[
A_T = (1.2 \pm 2.5) \times 10^{-3}
\]
(9)

Short-distance SM effects are strongly CKM suppressed and the long-distance contributions can only be estimated. Therefore, conservative estimates assume for the SM contribution a range up to the absolute measured value of the mass difference. However, due to the small measured mass difference D mixing still limits NP contributions in a stringent way. Furthermore, a CP violating phase in the neutral D system can directly be attributed to NP.

In summary, \(D - \bar{D}\) and \(K - \bar{K}\) mixing restrict FCNC interactions between the first two generations in a stringent way and one should expect the NP contributions to the mass difference to be smaller than the experimental value \([15]\):
\[
\Delta m^\text{NP}_{D,K} \leq \Delta m^\text{exp}_{D,K}
\]
(10)

CP violation associated with new physics is even more restricted, especially in the \(d\) sector:
\[
\epsilon^K_{NP} \leq 0.6 \epsilon^K_{exp}
\]
(11)

Equations (10) and (11) summarize in a concise way the allowed range for NP and we will use them to constrain the NP contributions to K and D mixing in Sec. 2.2.

### 2.2 Constraints on the mass splitting from Kaon and D mixing.

In the common definition of MFV [18] flavor-violation due to NP is postulated to stem solely from the Yukawa sector, resulting in FCNC transitions proportional to products of CKM elements and Yukawa couplings. Therefore, such scenarios allow only sizable deviations from degeneracy with respect to the third generation. A more general notion of MFV could be defined by stating that all flavor changes should be induced by CKM elements. This definition would also cover the case with a diagonal squark mass matrix in one sector (either the up or the down sector) but with off-diagonal elements, introduced by the \(SU(2)\) relation, in the other sector. This setup corresponds to an exact alignment of the squark mass term \(m^2_{\tilde q}\) with the product of Yukawa matrices \(Y^u_{u}Y_u\) (or with \(Y^d_{d}Y_d\) in the case of a diagonal down squark mass matrix).

The obvious way how off-diagonal elements of the squark mass matrices enter meson mixing is via squark-gluino diagrams. These contributions to \(O_1 = \bar{s}\gamma^\mu P_L d \otimes \bar{s}\gamma_\mu P_L d\) are commonly expected to be dominant since they involve the strong coupling constant:
\[
C^g_{1} = -\frac{g_s^4}{16\pi^2} \sum_{s,s'} \left[ \frac{11}{36} D_2 \left( m^2_{\tilde q_s}, m^2_{\tilde q_{s'}}, m^2_{\tilde g}, m^2_{\tilde g} \right) + \frac{1}{9} m^2_{\tilde g} D_0 \left( m^2_{\tilde q_s}, m^2_{\tilde q_{s'}}, m^2_{\tilde g}, m^2_{\tilde g} \right) \right] V^q_{s12} V^q_{s'12} \]
Our conventions for the loop-functions and the matrices in flavor space $V_{s_{12}}^{q LL}$ are given in the appendix of Ref. [9]. However, if we have flavor-changing LL elements it is no longer possible to concentrate on the gluino contributions for four reasons:

- The gluino contributions suffer from cancellations between the boxes with crossed and uncrossed gluino lines corresponding to the two terms in the square brackets in Eq. (12). The crossed box diagrams occur since the gluino is a majorana particle. This cancellation occurs approximately in the region where $m_{\tilde{g}} \approx 1.5 m_{\tilde{q}}$.
- In the SU(2) limit with unbroken SUSY the winos couple directly to left-handed particles with the weak coupling constant $g_2$. Therefore, flavor-changing LL elements can contribute without involving small left-right or gaugino mixing angles.
- Since charginos are Dirac fermions, there are no cancellations between different diagrams at the one-loop order.
- The wino mass $M_2$ is often assumed to be much lighter than the gluino mass. In most GUT models the relation $M_2 \approx m_{\tilde{g}} \alpha_2 / \alpha_3$ holds. Since the loop function is always dominated by the heaviest mass, one can expect large chargino and neutralino contributions if the squarks masses are similar to the lighter chargino masses.

Therefore, we have to take into account the weak (and the mixed weak-strong) contributions to $C_1$:

$$C_{1}^{{\tilde{\chi}}^{0}{\tilde{\chi}}^{0}} = -\frac{1}{128\pi^2} \frac{g_2^2}{2} \sum_{s,t=1}^{6} \left( D_2 \left( m_{\tilde{q}_s}^2, m_{\tilde{q}_t}^2, M_2^2, M_2^2 \right) + 2M_2^2 D_0 \left( m_{\tilde{q}_s}^2, m_{\tilde{q}_t}^2, M_2^2, M_2^2 \right) \right) V_{s_{12}}^{q LL} V_{t_{12}}^{q LL}$$

$$C_{1}^{{\tilde{\chi}}^{\pm}{\tilde{\chi}}^{\pm}} = -\frac{1}{16\pi^2} \frac{g_2^2}{2} \sum_{s,t=1}^{6} \left( \frac{1}{6} D_2 \left( m_{\tilde{q}_s}^2, m_{\tilde{q}_t}^2, m_{\tilde{q}_s}^2, M_2^2 \right) + \frac{1}{3} m_{\tilde{g}} M_2 D_0 \left( m_{\tilde{q}_s}^2, m_{\tilde{q}_t}^2, m_{\tilde{g}}^2, M_2^2 \right) \right) V_{s_{12}}^{q LL} V_{t_{12}}^{q LL}$$

$$C_{1}^{{\tilde{\chi}}^{+}{\tilde{\chi}}^{+}} = -\frac{g_4^2}{128\pi^2} \sum_{s,t=1}^{6} D_2 \left( m_{\tilde{q}_s}^2, m_{\tilde{q}_t}^2, M_2^2, M_2^2 \right) V_{s_{12}}^{q LL} V_{t_{12}}^{q LL}$$

In Eq. (13) we have set all Yukawa couplings to zero and neglected small chargino and neutralino mixing. Because of the small Yukawa couplings of the first two generations and the suppressed bino-wino mixing the only sizable contribution of both the gluino and the electroweak diagrams is to the same operator $O_1 = \bar{s} \gamma^\mu P_L d \otimes \bar{s} \gamma_\mu P_L d$ as the SM contribution. Note that in all contribution the same combination of mixing matrices enters, since the CKM matrices in the chargino vertex cancels with the ones in the squark mass matrix.

In Fig. 3 we show the size of the different contributions to $C_1$ as a function of the gluino mass. We have normalized all coefficients to $C_{1}^{{\tilde{\chi}}^{+}{\tilde{\chi}}^{+}}$ since only one box diagram contributes to it and therefore the coefficient depends only on one loop-function which is strictly negative.

As stated before, SU(2) symmetry links a mass splitting in the up (down) sector to flavor-changing LL elements in the down (up) sector. So, if one assumes a next-to minimal” setup in
Figure 4: Allowed mass splitting between the first two generations of left-handed squarks for different gluino masses. We assume the approximate GUT relation $M_2 = (\alpha_2/\alpha_s) m_{\tilde{g}} \cong 0.35$. Yellow (lightest) corresponds to the maximally allowed mass splitting assuming an intermediate alignment of $m_{\tilde{q}}$ with $Y_u^\dagger Y_u$ and $Y_d^\dagger Y_d$ [15]. The green (red) region is the allowed range assuming an diagonal up (down) squark mass matrix. The blue (darkest) area is the minimal region allowed for the mass splitting between the left-handed squarks, which corresponds to a scenario with equal diagonal entries in the down squark mass matrix but with an off-diagonal element carrying a maximal phase. Note that the allowed mass splittings are large enough to permit the decay of the heavier squark into the lighter one plus a $W$ boson.

which one mass matrix is diagonal, one has to specify if this is the up or the down squark mass matrix. If the down (up) squark mass matrix is diagonal, which implies that it is aligned to $Y_d^\dagger Y_d$ ($Y_u^\dagger Y_u$), one has contributions to $D-\bar{D}$ ($K-\bar{K}$) mixing. Assuming a diagonal up-squark (down-squark) mass matrix, the allowed regions compatible with $K-\bar{K}$ mixing ($D-\bar{D}$ mixing) are shown in Fig. 4. Note that there are large regions in parameter space with nondegenerate squark still allowed by $K-\bar{K}$ ($D-\bar{D}$) mixing due to the cancellations between the different contributions shown in Fig. 3. However, departing from an exact alignment with either $Y_u^\dagger Y_u$ or $Y_d^\dagger Y_d$ there are points in parameter space which allow for an even larger mass splitting [15] due to an additional off-diagonal element in the squark mass matrix. If this element is real one can choose an appropriate value which maximizes the allowed mass splitting [2]. Nevertheless, this additional off-diagonal element now present in both sectors due to the SU(2) relation could also carry a phase additional to the CKM matrix. If this phase is maximal one obtains the minimally allowed range for the mass splitting due to the severe constraint from $\epsilon_K$. These minimally and maximally allowed regions for the mass splittings are also shown in Fig. 4.

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2We thank Gilad Perez for bringing this to our attention.
This has interesting consequences both for LHC benchmark scenarios (which usually assume degenerate squarks for the first two generations) and for models with Abelian flavor symmetries (which predict non-degenerate squark masses for the first two generation) because $K^+ - K^-$ and $D^+ - D^-$ mixing cannot exclude non-degenerate squark masses of the first two generations. This allows for different decay chains of squarks. For example if the mass difference is larger than 80 GeV an additional W can be emitted leading to an additional jet or a charged lepton in the final state.

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