Using Illness Rating Systems to Predict Discharge Location Following Total Knee Arthroplasty

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Purpose: Total knee arthroplasty (TKA) is increasing in frequency and cost. Optimization of discharge location may reduce total expenditure while maximizing patient outcomes. Although preoperative illness rating systems—including the American Society for Anesthesiologists Physical Classification System (ASA), severity of illness scoring system (SOI), and Mallampati rating scale (MP)—are associated with patient morbidity and mortality, their predictive value for discharge location, length of stay (LOS), and total costs remains unclear.

Materials and Methods: We conducted a retrospective analysis of 677 TKA patients (550 primary and 127 revision) treated at a single institution. The influence of ASA, SOI, and MP scores on discharge locations, LOS, and total costs was assessed using multivariable regression analyses.

Results: None of the systems were significant predictors of discharge location following TKA. SOI scores of major or higher (β=2.08 days, p<0.001) and minor (β=−0.25 days, p=0.009) significantly predicted LOS relative to moderate scores. Total costs were also significantly predicted by SOI scores of major or higher (β=$6,155, p=0.022) and minor (β=−$1,163, p=0.007).

Conclusions: SOI scores may be harnessed as a predictive tool for LOS and total costs following TKA, but other mechanisms are necessary to predict discharge location.

Keywords: Knee, Osteoarthritis, Arthroplasty

Introduction

Total knee arthroplasty (TKA) is the standard of surgical care for patients with debilitating knee osteoarthritis¹. The demand for primary and revision TKAs is expected to rise by 637% to almost 3.5 million annual procedures by 2030². While bundled care initiatives have emerged to control rising costs, post-discharge expenditures comprise as much as 55% of the total payment for an episode ³⁴. Discharge to extended care facilities (ECF), which include both skilled nursing facilities (SNFs) and acute rehabilitation facilities, accounts for up to 70% of these post-discharge payments³⁵.

Preoperative illness rating systems have been leveraged to predict outcomes following joint arthroplasty. The American Society of Anesthesiologists physical status classification system (ASA) is a common preoperative assessment that classifies patients into one of six categories indicating disease progression⁶. In total hip and knee arthroplasty patients, ASA scores ≥3 have been associated with increased morbidity and mortality, as well as increased hospital readmissions (odds ratio [OR], 2.9)⁷. While there is some evidence to suggest that ASA scores are correlated with total costs, their predictive value for discharge following TKA is unclear⁸.

Other illness rating systems may also predict discharge location and outcomes. The severity of illness scoring system (SOI) score estimates a patient’s disease progression with four stages encompassing minor, moderate, major, and extreme disease⁹. Higher SOI scores are associated with an average increase in mean to-
tal costs of 23%–29% and increased resource utilization in the operating room for joint arthroplasty.\textsuperscript{10,12} There may also be a relationship between SOI scores and postoperative functional outcomes and lengths of stay (LOS), but the ability of SOI scores to predict discharge following TKA is unexplored.\textsuperscript{12} In addition, Mallampati rating scale (MP) scores are a preoperative rating system that has been largely unexamined for predictive value. Scaled from a low risk (1) to a high risk (4), MP scores reflect the estimated difficulty of patient intubation.

The widespread adoption and utilization of preoperative illness rating systems could make them valuable predictive tools. The purpose of this study was to examine the predictive value of ASA, SOI, and MP scores in predicting discharge location, LOS, and total costs for TKA patients. The present study hypothesized that one or more of these preoperative illness rating systems could significantly predict patient discharge location to optimize LOS and total costs.

**Materials and Methods**

We retrospectively analyzed patients at a single institution undergoing TKA from May 2011 to April 2012. The Institutional Review Board (IRB) approved this study under the IRB protocol number 814466. Patients were identified using Current Procedural Terminology (CPT) codes for primary TKA (27445, 27446, and 27447) and revision TKA (27486 and 27487), resulting in 736 unique patient records. Patients were excluded for missing ASA scores (5), MP scores (11), body mass index (BMI) (8), and anesthesia type (25). Additionally, 4 non-elective procedures were excluded, as were 5 hospital transfers and 1 early death. As shown in Fig. 1, this resulted in 677 records remaining for analysis.

Three discharge classes were created based on discharge to home, skilled nursing facility, or rehabilitation facility. All commercial and private insurers—including point-of-service plans, preferred provider organizations, health maintenance organizations, traditional plans, and university plans—were grouped into private insurance. Medicaid and Medicaid traditional/indemnity were grouped together as Medicaid. The few patients covered by military tri-care (13), worker’s compensation (6), and auto insurance traditional/indemnity (2) were grouped into a category for other insurance.

Multivariable regressions evaluated the impact of demographic factors and illness rating systems on discharge location, LOS, and total costs for an episode. These factors included age, race, sex, BMI, type of anesthesia, revision status, and insurance coverage. Patients were stratified into two groups by ASA scores: ASA≤2 or ASA≥3. For SOI scores, major and extreme ratings were grouped together into major+ given the small number (2) of extreme ratings. For MP scores, ratings of 3 and 4 were grouped into MP scores 3+ because of the small percentage (1.8%) at the highest score.

Discharge to SNFs, rehabilitation facilities, and home were analyzed using logistic regressions and tested via the link test to ensure choice of meaningful predictors while avoiding specification error. LOS and total costs were analyzed with ordinary least squares robust regression to account for failures in normality, heteroskedasticity, and large residuals. Significance was analyzed at a 0.05 level. Analyses were performed using STATA ver. 12.1 (StataCorp LP, College Station, TX, USA).

**Results**

Patient demographics are shown in Table 1. The 677 patients were predominantly African-American (49.9%) and Caucasian (43.1%). Most patients were discharged to SNFs (62.3%), and revisions constituted 18.8% of procedures. The majority of patients were assigned moderate preoperative scores, with 96.7% of the cohort scoring at ASA 2 or 3, 95.6% scoring at SOI of moderate or minor, and 76.4% scoring at MP 1 or 2.

None of the preoperative illness rating systems was a significant predictor of discharge to SNFs, home, or rehabilitation centers, as shown in Table 2. Discharge to SNF was not significantly predict-
### Table 1. Demographic Distribution of Total Knee Arthroplasty Patients

| Factor                      | Caucasian (n=292) | African-American (n=338) | Other (n=47) | Total (n=677) |
|-----------------------------|-------------------|--------------------------|--------------|---------------|
| ASA score                   |                   |                          |              |               |
| 1                           | 3.4               | 1.5                      | 4.3          | 2.5           |
| 2                           | 46.9              | 47.6                     | 76.6         | 49.3          |
| ≤2                          | 50.3              | 49.1                     | 80.9         | 51.8          |
| 3                           | 48.3              | 50.6                     | 19.1         | 47.4          |
| 4                           | 1.4               | 0.3                      | 0.0          | 0.7           |
| ≥3                          | 49.7              | 50.9                     | 19.1         | 48.2          |
| SOI score                   |                   |                          |              |               |
| Major+                      | 6.2               | 3.6                      | 0.0          | 4.4           |
| Moderate                    | 43.2              | 44.1                     | 25.5         | 42.4          |
| Minor                       | 50.7              | 52.4                     | 74.5         | 53.2          |
| MP score                    |                   |                          |              |               |
| 1                           | 27.4              | 28.4                     | 29.8         | 28.1          |
| 2                           | 49.0              | 48.5                     | 48.9         | 48.7          |
| 3                           | 21.6              | 21.6                     | 19.1         | 21.4          |
| 4                           | 2.1               | 1.5                      | 2.1          | 1.8           |
| Discharge location          |                   |                          |              |               |
| SNF                         | 54.5              | 68.6                     | 66.0         | 62.3          |
| Rehabilitation facility     | 16.8              | 13.9                     | 14.9         | 15.2          |
| Home                        | 28.8              | 17.5                     | 19.1         | 22.5          |
| Insurance status            |                   |                          |              |               |
| Medicaid                    | 13.4              | 30.2                     | 44.7         | 23.9          |
| Medicare                    | 40.4              | 46.7                     | 36.2         | 43.3          |
| Private insurance           | 42.5              | 20.4                     | 17.0         | 29.7          |
| Other insurance             | 3.8               | 2.7                      | 2.1          | 3.1           |
| Sex                         |                   |                          |              |               |
| Male                        | 43.8              | 28.7                     | 34.0         | 35.6          |
| Female                      | 56.2              | 71.3                     | 66.0         | 64.4          |
| Anesthesia                  |                   |                          |              |               |
| General                     | 21.6              | 22.5                     | 23.4         | 22.2          |
| General+regional block      | 69.9              | 71.3                     | 72.3         | 70.8          |
| Spinal                      | 8.6               | 6.2                      | 4.3          | 7.1           |
| Metric                      |                   |                          |              |               |
| Revision                    | 24.0              | 15.4                     | 10.6         | 18.8          |
| Complications               | 0.7               | 0.6                      | 0.0          | 0.6           |
| Average age (yr)            | 37.2±11.6         | 36.7±10.8                | 39.1±12.2    | 37.1±11.2     |
| Average BMI (kg/m²)         | 33.4±7.6          | 34.2±7.6                 | 30.5±5.9     | 33.6±7.6      |
| Outcomes                    |                   |                          |              |               |
| Average LOS (day)           | 3.6±2.0           | 3.4±1.2                  | 3.2±0.8      | 3.5±1.6       |
| Average total cost ($)      | 14,975±7,830      | 13,444±4,659             | 13,021±4,550 | 14,075±6,265  |

Values are presented as mean±standard deviation or percentage.
ASA: American Society for Anesthesiologists physical classification system, SOI: severity of illness scoring system, MP: Mallampati rating scale, SNF: skilled nursing facility, BMI: body mass index, LOS: length of stay.
ed by ASA scores ≥3 (p=0.751), SOI scores of major+ (p=0.296) or minor (p=0.842), or MP scores of 2 (p=0.746) or 3+ (p=0.424). Furthermore, none of the rating systems emerged as significant predictors even when rehabilitation and SNFs were combined into discharge to any extended care facility.

Table 3 shows the variables that predict discharge to an ECF. African-American patients were 71% more likely to be discharged to an ECF than Caucasian patients (OR, 1.71; p=0.016; 95% confidence interval [CI], 1.10 to 2.64). Every one-year increase in age (OR, 1.08; p<0.001; 95% CI, 1.05 to 1.10) and BMI (OR, 1.07; p<0.001; 95% CI, 1.03 to 1.10) increased the risk of ECF discharge. Although Medicaid was not a statistically significant predictor, it increased ECF discharge relative to private insurance. Revision procedures were the only variable associated with a significant decrease in the likelihood of ECF discharge relative to primary procedures (OR, 0.46; p=0.001; 95% CI, 0.25 to 0.68). Table 4 displays the significant predictors of LOS. SOI scores were the only preoperative illness rating system that significantly predicted LOS. SOI scores of major+ (β=2.08 days; p<0.001; 95% CI, 1.03 to 3.13) and minor (β=−0.25 days; p=0.009; 95% CI, −0.43 to −0.06) were significant predictors of patient LOS relative to patients with moderate SOI scores. Age (β=−0.02; p=0.003; 95% CI, 0.01 to 0.03) and BMI (β=−0.03; p=0.002; 95% CI, 0.01 to 0.06) were the only other positive predictors of increased LOS. Race, sex, insurance coverage, and procedure type were not significant predictors.

Of all preoperative illness rating systems, SOI scores were also the only significant predictors of total costs. SOI scores of major+ (β=6.155; p=0.022; 95% CI, $877 to $11,434) and minor (β=−1.163; p=0.007; 95% CI, −$2,209 to −$317) were significant predictors of total costs relative to patients with moderate SOI scores. Other significant positive predictive factors for total costs included revision procedures (β=6.321; p<0.001; 95% CI, $4,590 to $8,052) and patients covered by Medicare (β=1.056; p=0.030; 95% CI, $100 to $2,012) relative to those with private insurance. Female sex (β=−0.909; p=0.030; 95% CI, −$1,729 to −$89) was the only independent predictor of reduced expenditure.

**Discussion**

As primary and revision TKAs increase in frequency, many institutions now subject the procedures to bundled payment initiatives to control rising costs. Since discharge location can account for a majority of the total cost for an episode, preoperative optimization of discharge location may permit a reduction in total expenditures. Existing illness rating scales like ASA phys-
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Table 4. Effect Sizes for Significant Risk Factors in Severity of Illness Scoring System (SOI) Analysis

| Factor                        | Length of stay (day) | Cost ($)          |
|-------------------------------|----------------------|-------------------|
|                               | β        | p-value | 95% CI   | β       | p-value | 95% CI   |
| SOI moderate (ref)            |          |         |          |          |         |          |
| Major+                        | 2.08     | <0.001  | 1.03 to 3.13 | $6,155  | 0.022  | 877 to 11,434 |
| Minor                         | -0.25    | 0.009   | -0.43 to -0.06 | $1,163  | 0.007  | -2,209 to -317 |
| Caucasian (ref)               |          |         |          |          |         |          |
| African-American              | -0.21    | 0.109   | -0.47 to 0.05 | -$835   | 0.056  | -1,690 to 20 |
| Other                         | -0.17    | 0.293   | -0.48 to 0.14 | -$429   | 0.511  | -1,710 to 852 |
| General+regional block (ref)  |          |         |          |          |         |          |
| Spinal                        | 0.15     | 0.360   | -0.17 to 0.48 | -255    | 0.688  | -1,501 to 991 |
| General                       | 0.27     | 0.109   | -0.06 to 0.61 | 228     | 0.673  | -832 to 1,288 |
| Private insurance (ref)       |          |         |          |          |         |          |
| Medicaid                      | 0.26     | 0.150   | -0.09 to 0.61 | 478     | 0.426  | -700 to 1,656 |
| Medicare                      | 0.22     | 0.113   | -0.05 to 0.49 | 1,056   | 0.030  | 100 to 2,012 |
| Other insurance               | 0.68     | 0.084   | -0.09 to 1.45 | 457     | 0.540  | -1,008 to 1,922 |
| Revision                      | 0.28     | 0.213   | -0.16 to 0.71 | 6,321   | <0.001 | 4,590 to 8,052 |
| Female                        | -0.08    | 0.502   | -0.32 to 0.16 | -909    | 0.030  | -1,729 to -89 |
| Age (yr)                      | 0.02     | 0.003   | 0.01 to 0.03 | -17     | 0.598  | -82 to 47 |
| Body mass index (kg/m²)       | 0.03     | 0.002   | 0.01 to 0.06 | 6       | 0.873  | -65 to 76 |

CI: confidence interval, ref: reference.

cal status, SOI scores, and MP scores have not been thoroughly assessed for their ability to predict discharge locations, LOS, and total costs. We hypothesized that the close association of preoperative rating systems with other patient outcome measures may indicate their value in predicting discharge location as well. The present study found that none of the illness rating systems were significant predictors of discharge to any location, although SOI scores can be leveraged as significant predictors of LOS and total costs.

A striking 77.5% of TKA patients at this institution were discharged to an ECF, including 62.3% to SNFs, which is a greater proportion than the 29%–49% of SNF discharges observed in previous studies.\textsuperscript{3,13,14} ASA ≥3 (p=0.869), SOI major+ (p=0.162) and SOI minor (p=0.112), and MP scores of 2 (p=0.370) and 3+ (p=0.179) were not significant predictors of discharge to any location following TKA. Previous literature found that higher ASA scores trend toward ECF discharge but are not significant, a finding that is supported here.\textsuperscript{13} Although SOI scores are not significantly linked to discharge location, SOI major+ scores clinically tend to predict discharge to ECF (OR, 2.23) and minor scores tend to predict discharge home (OR, 0.69). Optimizing discharge location remains a challenge as physicians balance the post-acute care needs of patients with the need to reduce costs under bundled payment systems that provide reimbursement per episode rather than reimbursement per service.

The only significant predictors of discharge to an ECF included African-American race (OR, 1.71; p=0.016; 95% CI, 1.10 to 2.64), increasing age (OR, 1.08; p<0.001; 95% CI, 1.05 to 1.10), and increasing BMI (OR, 1.07; p<0.001; 95% CI, 1.03 to 1.10). Race as a predictive variable has been disputed, with some studies indicating that African-American patients are more likely to be discharged home for self-care.\textsuperscript{15} However, our findings did not differentiate home discharge by level of supportive care and thus align with previous studies citing a greater likelihood of ECF discharge for African-American patients.\textsuperscript{16,17} Increasing age has been previously linked to ECF discharge, with those over 80 years (OR, 5.4) and those 65–79 years (OR, 2.0) more likely to be discharged to an ECF relative to patients under the age of 40.\textsuperscript{13,18} TKA patients at this institution also tended to be younger, perhaps influencing the effects of insurance coverage, sex, and discharge location. BMI has not been previously identified as a predictor of discharge to ECF.

Other variables cited in previous research as predictors of discharge location tended toward ECF discharge but did not reach statistical significance. These include Medicaid coverage (OR, 1.69; p=0.064), Medicare coverage (OR, 1.51; p=0.153), and fe-
male sex (OR, 1.34; p=0.165). Female patients have been shown to experience greater likelihood of ECF discharge, most likely because of reduced caretaker availability at home. Similarly, Medicare and Medicaid patients trend toward ECF discharge relative to patients covered by private insurance.

Revision procedures were the only significant, independent predictor of discharge home (OR, 0.41; p=0.001; 95% CI, 0.25 to 0.68). While initially surprising because of the greater technical complexity and expected blood loss of revision procedures, this finding is supported by previous research identifying primary procedures (OR, 1.4) as a significant predictor of discharge to ECF relative to revisions. With the exception of revisions for infection, revision TKA patients are discharged to home at rates similar to or greater than that of primary patients.

Only SOI scores of major+ (β=2.08 days; p<0.001; 95% CI, 1.03 to 3.13) and minor (β=−0.25 days; p=0.009; 95% CI, −0.43 to −0.06) were significant predictors of LOS relative to patients with moderate SOI scores. This represents a statistically and clinically significant finding, as an additional 2.08 days in LOS averages a costly $5,095 per day. ASA scores ≥3 were not significant predictors of LOS, supporting previous research that also questioned the association between ASA scores and LOS. The only other significant predictors of LOS included age (β=0.02; p=0.003; 95% CI, −0.06 to 0.02) and BMI (β=0.03; p=0.002; 95% CI, 0.01 to 0.06). Increasing age has been closely linked to increasing LOS, but BMI has not been previously identified as an independent predictive factor.

Furthermore, only SOI scores were significant predictors of total costs. An SOI of major+ was associated with an average cost increase of $6,155 (p=0.022; 95% CI, $877 to $11,434) relative to moderate SOI patients. Previous research identified an association between SOI scores and mean total cost, as well as SOI scores and resource utilization. The increase in costs may be linked to the increase in LOS accompanying an SOI of major+. An additional significant predictor of total costs was revision procedures, which added an average of $6,321 (p<0.001; 95% CI, $4,590 to $8,052) in total costs. This confirms a previous finding that observed an average increase of $7,000 with revision procedures, after controlling for demographic factors.

Medicare (β=$1,056; p=0.030; 95% CI, $100 to $2,012) and female sex (β=$909; p=0.030; 95% CI, −$1,729 to −$89) were additional statistically significant predictors.

There are several study limitations that must be acknowledged. First, our sample size was relatively small, lacked heterogeneity, and encompasses only a single institution, which may limit generalizability to other healthcare settings. However, the experiences of this institution control for the previously cited geographic variance in discharge preference and may inform research for peer institutions. Second, ASA physical status has been identified as a subjective measure with significant inter-observer inconsistency, ranging from 31% to 85% agreement on ASA classifications among attending physicians. Despite this inconsistency, ASA scores are valuable for their widespread use and close association with morbidity and mortality. Third, the limited number of patients scoring at the extremes of the scales precluded a nuanced analysis by specific ASA or SOI score. Finally, patient expectations and caregiver support at home were previously identified as predictors of discharge location, but this retrospective analysis could not account for these factors.

**Conclusions**

SOI scores appear to be reliable predictors of lengths of stay and total costs following TKA. However, none of the existing preoperative illness rating systems—ASA, SOI, or MP scores—significantly predicted discharge location for TKA patients. These findings suggest that new models specific to TKA patients should be developed to predict discharge location. While SOI scores can be harnessed to predict additional bed and expenditure needs, models that reliably predict discharge location will enable physicians and hospitals to optimize both outcomes and costs for TKA patients.

**Conflict of Interest**

No potential conflict of interest relevant to this article was reported.

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