Size engineering optoelectronic features of C, Si and CSi hybrid diamond-shaped quantum dots

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Based on the density functional theory and many-body ab initio calculations, we investigate the optoelectronic properties of diamond-shaped quantum dots based graphene, silicene and graphene–silicene hybrid. The HOMO–LUMO (H–L) energy gap, the exciton binding energy, the singlet–triplet energy splitting and the electron–hole overlap are all determined and discussed. Smaller nanostructures show high chemical stability and strong quantum confinement resulting in a significant increase in H–L gap and exciton binding energy. On the other hand, the larger configurations are reactive which implies characteristics favorable to possible electronic transport and conductivity. In addition, the typically strong splitting between singlet and triplet excitonic states and the big electron–hole overlap make these QDs emergent systems for nanomedicine applications.

I. Introduction

Nanomaterials, especially semiconductor quantum dots (QDs), are of immense interest for bioimaging and clinical diagnosis.1–3 Owing to the strong quantum confinement, these small particles possess several unique properties such as, size, wavelength-dependent luminescence, and low photo-bleaching, which make them the perfect candidates for in vitro and in vivo bioimaging probes.4,5

To fabricate graphene quantum dots, there are two main ways, namely the top-down and bottom-up approaches. The top-down method consists in breaking carbonaceous materials such as graphene sheets, graphene oxide or graphite to GQDs/GOQDs, through chemical oxidation and exfoliation,6 hydrothermal synthesis,7 electrochemical synthesis,8 UV-assisted synthesis9 and microwaves.10 Top-down methods can not control the shape and the size of quantum dots. However, the “bottom-up” technique can produce GQDS from small organic molecules such as polyyclic aromatic hydrocarbon molecules using stepwise chemical synthesis, pyrolysis or carbonization techniques.11–13 The same synthesis process can be used to fabricate SiQDs by using fine powders of CaSi2 as raw materials, and PtO2 powders.14

In nanochemistry and nanophotonics, graphene quantum dots (CQDs) play an important role thanks to their physicochemistry, their biocompatibility, their strong tunable photoluminescence and their low toxicity.15,16 Moreover, the presence of localized states at the zigzag edges makes these hexagonal nanostructures more affected than the armchair ones. Recent advances in synthetic approaches like top-down and bottom-up methods have made possible the production of QDs with a variety of shapes and sizes.17–19 Polystyrene–nanosphere lithography as well as swift heavy ion beam methods have been carried out to fabricate well-aligned graphene quantum dots.19,20 The synthesized CQDs inherit the superior thermal and mechanical properties of their parent graphene sheet.21

Referring to recent experimental studies, the reduction of the QD’s diameter intensifies the presence of quantum confinement, which mainly affects the behavior of CQDs visible photoluminescence and their peak red absorption and its intensity.22 Additionally, tuning CQD’s photoluminescence using solvothermal synthesis revealed an evident redshift when varying their size and the amount of oxidation surface.23 Consequently, the control of the preparation conditions as well as the choice of the manufacturing process opens the gap and tunes the optical properties of these nanostructures.24,25

In parallel, the theoretical calculations have proven that many factors, namely, the size, the shape such as triangular and hexagonal, the edge configuration (zigzag/armchair),26,27 the atom type,28 the application of the electric field, the chemical modification19,20 which includes adsorption, substitution22 as well as functionalization,23,24 have a great impact on the optoelectronic properties and chemical reactivity of QDs. Indeed, they tailor the highest occupied-lowest unoccupied molecular orbital (HOMO)–(LUMO) energy gap.14–16 They also induce a significant modification in optical absorption leading to remarkable enhancements in the overlap between electron and hole wave functions and...
tunable singlet–triplet energy splitting. Photoemission is also highly sensitive to size variation, which allows the production of a large class of multicolored fluorescent QDs. Moreover, the increase in quantum confinement strongly affects the chemical stability and the different global reactivity parameters of nanostructures, in particular the hardness, the softness, the electrophilicity index and the electronegativity.

Time-dependent DFT studies on electronic excitations in CQDs, especially optically dark ones, reveal their crucial role in fabricating optical devices. Using other methods such as the tight-binding model, many-body perturbation theory and large-scale electron-correlated calculations, the energy gap dependence on different shapes and edges as well as its control by an applied external field have been reported. Moreover, an interplay between size and covalent edge functionalization has been studied in the framework of Hartree–Fock based semiempirical methods for the highly distorted graphene nanoflakes. All the resulted features make CQDs suitable for many applications in optoelectronic, bioimaging, photonics and photodynamics therapy, biosensing, nanofluidics and organic photovoltaic devices.

Obviously, many parameters influence the luminescent behavior of QDs. This study aims to modulate and adapt the QDs characteristics to nanomedical use, through size control and base-material variation. To do so, we investigate three different diamond-shaped QDs, namely graphene QDs, silicene QDs and graphene–silicene hybrid C-SiQDs. The effect of size on the chemical and optoelectronic properties of nanostructures has been emphasized using the first principles density functional theory calculations. Quasiparticle (QP) corrections as well as charge carrier interactions are included by employing the GW (Green function G and screened Coulomb interaction W) approximation and the BSE (Bethe–Salpeter equation), given their very important role in improving the accuracy of calculated physical properties of nanomaterials. It is shown that the variation in QD’s size controls the HOMO–LUMO gap and the exciton binding energy, leading to an adjustment of their luminescent property. Additionally, the chemical stability and reactivity are also evaluated through determining the global hardness, the chemical potential and the electrophilicity index. The luminescent behavior as well as the nature of the excitons in the studied QDs are explored by calculating the singlet–triplet energy splitting and the electron–hole overlap. It is found that a significant overlap and exchange splitting of excitons, results in a high fluorescence quantum yield in all QDs compared to typical yields observed in semiconductor nanostructures. Finally, the high sensitivity of the optoelectronic properties to size variations and base-material makes these QDs suitable for biomedical and luminescent devices for detection and treatment.

The paper is structured as follows: Section 2 describes the computational method used. In Section 3, we report and discuss in detail the results obtained. Finally, the last section presents a summary and conclusion of the work.

II. Computational details

First-principles simulations are performed using the density-functional theory (DFT) as implemented in the Quantum Espresso (QE) simulation package. Numerical calculations are provided within the framework of generalized gradient approximation (GGA) of the Perdew–Burke–Ernzerhof (PBE) for the exchange–correlation functional. Norm-conserving pseudopotentials and plane-wave basis set with a kinetic energy cutoff of 60 Ry are used. Since quantum dots are zero dimensional and the systems are non-periodic, only the Γ-point of the Brillouin zone is considered for structural, electronic and optical properties. Geometric optimization is performed under force and under stress on the atoms, until all forces and energy are less than 10⁻³ eV Å⁻¹ and 10⁻⁴ eV Å⁻¹ respectively.

To perform quasi-particle (QP) calculations within the many-body perturbation theory, the exchange–correlation potential V_{xc} used in the DFT is replaced by a nonlocal self-energy operator ∑(G_{QP}^{n}) defined as:

\[ ∑(r_1, r_2, ω) = \frac{i}{2π} ∫ e^{-iωr} G(r_1, r_2, ω + ω') W(r_1, r_2, ω') dω' \] (1)

These non-self consistent GW calculations are performed using the YAMBO program suite. The Coulomb potential has been truncated at the edges of QDs in both the GW and BSE calculations where only Γ-point has been used. Excitonic properties were calculated by solving Bethe–Salpeter equations (BSE). To build the electron–hole interaction kernel, 600 bands were used.

To predict the chemical stability and to interpret the reactivity of the considered QDs, we compute the global hardness (η) and the chemical potential (μ). These crucial parameters are given in terms of the total energy (E) of an N-electron system and its external potential n(𝐫) as follows:

\[ x = -\left(\frac{∂E}{∂N}\right)_{n(𝐫)} = -μ , \quad η = \frac{1}{2} \left(\frac{∂^2E}{∂N^2}\right)_{n(𝐫)} \] (2)

where x represents the electronegativity indices. Eqn (2) can be expressed in terms of the energy of the lowest unoccupied (E_{LUMO}) and the energy of the highest occupied (E_{HOMO}) molecular orbital as follows:

\[ η = E_{LUMO} - E_{HOMO} , \quad μ = \frac{E_{LUMO} + E_{HOMO}}{2} \] (3)

The electrophilicity index ω, that gives the electrophilicity power of a molecule and measures the chemical stability of the system, takes the following form:

\[ ω = \frac{μ^2}{2η} \] (4)

III. Results and discussion

The present study considers three types of diamond-shaped quantum dots, namely graphene (C), silicene (Si) and...
silicene–graphene hybrid (SiC) quantum dots (QDs), with a varying number of carbon and silicon atoms. Diamond-shaped quantum dots present an excellent nanomaterial for examining the effect of size and edges on optoelectronic properties. This particularity is owing to their specific shape that gather the two edge configurations, namely zigzag edges and armchair corners, in addition to the strong zigzag edges dependence on size variation. Furthermore, certain counterparts of this class of QDs, notably, the pyrene $C_{16}H_{10}$ and dibenzo[bc,kl]coronene $C_{68}H_{14}$ molecules were successfully fabricated.\textsuperscript{[56,57]} This fact makes it easier to compare some of our findings with the experimental results.

In the studied configurations displayed in Fig. 1, the zigzag edges and armchair corners are hydrogen passivated, since it has been proved that the graphene nanoribbons with passivated edges are more stable than the unpassivated ones.\textsuperscript{[58,59]} The configurations are divided into three categories. Each category consists of three different sizes, namely QD$_{16}$H$_{10}$, QD$_{30}$H$_{14}$ and QD$_{48}$H$_{18}$, where 16, 30 and 48 refer to the number of C and/or Si atoms, while 10, 14 and 18 represent the number of hydrogen atoms that passivate the border. More precisely, 9 configurations are considered: (i) CQDs that have point group symmetry $D_{3h}$; this first category comprises the following structures $C_{16}H_{10}$, $C_{30}H_{14}$ and $C_{48}H_{18}$. (ii) SiCQDs with $C_{2v}$ symmetry: this second class of hybrid QDs is represented by $Si_8C_{16}H_{10}$, $Si_{15}C_{15}H_{14}$ and $Si_{24}C_{24}H_{18}$. (iii) Finally, the SiQDs whose point group is $C_{2h}$: the components of this third family are labelled as $Si_{16}H_{10}$, $Si_{30}H_{14}$ and $Si_{48}H_{18}$.

Unlike graphene quantum dots and SiC hybrid quantum dots which are planar structures, silicene quantum dots are buckled structures like their counterpart silicene sheet.\textsuperscript{[60]} Both CQDs and SiCQDs are sp$^3$ atoms hybridized, while hybridization is between sp$^3$ and sp$^2$ in SiQDs. As shown in Table 1, the vertical and horizontal sizes are modified according to the type and number of atoms.

Before focussing on the optical and electronic properties of QDs, it is necessary to study the chemical stability of these systems.

To predict the chemical behavior of the compounds, it is crucial to analyze the results reporting the hardness. Notice that harder molecules have a larger HOMO–LUMO gap (as shown in eqn (3)), which means higher chemical stability and larger excitation energy. Consequently, their electron density is more resistive to change than in a soft molecule.

In our case, CQDs are the most stable structures having the lowest global reactivity, followed by SiCQDs configurations. Indeed, the highest chemical hardness corresponds to the CQDs, as summarized in Table 1 and displayed in Fig. 2. It ranges between 1.30 eV for the smallest size and 0.32 eV for the biggest one. However, silicene QDs show the lowest chemical hardness, which means they are the most reactive configurations. In each QDs class, the greatest hardness coincides with the smallest size which is the most stable structure while the largest of QDs has a highest electrophilicity. The result is in good agreement with,\textsuperscript{[61,62]} which show that the increment of atoms amount in graphene-doped nanostructures increases their hardness and reduce their electrophilicity values. Therefore, these QDs exhibit the favorable character of the high electrophilicity required for possible electronic transport and conductivity.

To address the electronic properties, both the GGA-DFT and GW approximations are used. Since quantum confinement in QDs is stronger, GGA-DFT calculations are not the practical method to calculate the energy gap. Nevertheless, it is employed in the geometry optimization and also to determine the ground-state Kohn–Sham wave functions because this approximation represents the first step of the many-body \textit{ab initio} computations such as the GW approximation, which includes many body effects. Consequently, GW provides a significant correction to the GGA-DFT gap energy.\textsuperscript{[63]}

Fig. 3 and Table 1 lists the HOMO–LUMO gap. It is clear that the QDs gaps are strongly influenced by two main factors: (1) the type of atoms constituting the QD’s and (2) the QDs size when it increases, the gap energy decreases due to the quantum confinement effect. More precisely, it is found that the HOMO–LUMO energies are inversely proportional to the size of the three classes. This characteristic behavior of quantum dots allows to tune their properties by controlling their size in concordance with the works ref. 43 and 44 reporting the effect of size on the graphene QDs using different approaches. At this stage, one could highlight that the GW-corrections enlarge the gap energy in QDs relative to their 2D counterparts.\textsuperscript{[64–67]}

To shed more light on the impact of the atoms type present in the structures on the gap energy, we compare the results of CQDs, SiQDs and SiCQDs with an equal and fixed atoms number, namely 16, 30 and 48. The data in Table 1 show that the gap decreases starting from graphene passing through SiC to the silicene structure. This can be attributed to the horizontal
and vertical increment of the Si atom concentration, which aggrandizes the total size. Note also that silicon has a more metallic behavior than carbon which is more electronegative. As a result carbon loses its electrons less readily than silicon. This strongly affects the electronic and optical properties, as investigated in ref. 28 and 30 where it was pointed out that the HOMO–LUMO gaps are tuned by doping CQD’s with Si atoms and by the position and the concentration of BN substituent respectively.

To achieve deeper insight into the variation of the H–L gaps, Fig. 4 illustrates the charge distribution of Frontier molecular orbitals for all structures. In the smallest nanostructures, the spatial distribution of the HOMO and LUMO is located entirely on the zigzag edges as well as in the armchair corners. More precisely, the contribution to the HOMO originates the most from atoms in armchair corners. However, the contribution to the LUMO comes from atoms in zigzag edges. This is clear for the smallest hybrid Si8C8H10 QDs where LUMO is mainly issued from silicon atoms, while carbon atoms contribute the most to the HOMO. Furthermore, the increment of the QD’s size results in not only an increased amount of atoms in the entire structure, but also more prominent zigzag edges. It follows that besides the more pronounced contribution of the atoms located at the zigzag edges, additional contributions of the atoms located in the whole structure, excluding the backbone atoms, are added as shown in Fig. 4. This explains the significant evolution of the H–L energy gap in large structures with respect to smaller configurations. Similar findings on the gap depending on size variation were also discussed in earlier works.\textsuperscript{28,44}

To reveal other potential applications of CQDs, SiCQDs, and SiQDs, it is necessary to study their optical properties. Fig. 5 displays the optical absorption spectra determined within the framework of the GW approximation employing two different methods: (1) Random Phase Approximation (RPA) that ignores the electron–hole interaction and (2) Bethe–Salpeter Equation (BSE) that includes correlation effects.

An accurate analysis of the spectra represented in Fig. 5 and the values reported in Table 1 show similar behavior for each

| C_{16}H_{10} | C_{30}H_{14} | C_{48}H_{18} | Si_{8}C_{4}H_{10} | Si_{15}C_{15}H_{14} | Si_{24}C_{24}H_{18} | Si_{30}H_{14} | Si_{48}H_{18} |
|---|---|---|---|---|---|---|---|
| Horizontal size | 6.83 | 8.56 | 10.21 | 9.32 | 11.76 | 14.11 | 11.81 | 14.85 | 17.99 |
| Vertical size | 9.26 | 11.48 | 13.98 | 13.52 | 16.87 | 20.71 | 17.78 | 22.26 | 27.415 |
| E\textsuperscript{GGA} | 2.61 | 1.32 | 0.65 | 1.93 | 0.72 | 0.18 | 1.05 | 0.51 | 0.24 |
| E\textsuperscript{GW} | 6.63 | 4.28 | 3.09 | 5.11 | 3.10 | 1.896 | 3.55 | 2.38 | 1.80 |
| \(\eta\) | 3.58 | 3.4754 | 3.3406 | 3.49 | 3.24435 | 2.8538 | 3.91 | 3.522085 | 3.3787 |
| \(\omega\) | 1.30 | 0.6585 | 0.3234 | 0.96 | 0.35925 | 0.09 | 0.52 | 0.26 | 0.12 |
| \(E^0\) | 4.91 | 9.171 | 17.2536 | 6.31 | 20.242 | 45.2454 | 14.60 | 23.854 | 47.5631 |
| \(M^*\) | 3.21 | 1.81 | 1.61 | 2.51 | 1.16 | 1.08 | 1.99 | 1.154 | 1.09 |
| \(M^*_{\text{h}}\) | 0.253 | 0.203 | 0.326 | 0.192 | 0.155 | 0.496 | 0.209 | 0.216 | 0.671 |
| \(R^0\) | 2.169 | 3.218 | 2.700 | 2.818 | 4.60 | 2.671 | 3.026 | 3.881 | 2.341 |
| \(\Delta E_{\text{S–T}}\) | 1.27 | 1.62 | 1.37 | 0.67 | 0.23 | 0.37 | 0.66 | 0.63 | 0.26 |
| \(\chi_{\text{H/L}}\) | 0.90 | 0.908 | 0.933 | 0.567 | 0.406 | 0.638 | 0.871 | 0.887 | 0.918 |
| Transitions | H → L+1 | H → L+2 | H → L+1 | H → L | H → L | H → L+1 | H → L+1 | H → L+2 | H → L+2 |
| \(e_0\) | 1.0676 | 1.2377 | 1.661 | 1.01 | 1.350 | 2.509 | 1.253 | 1.610 | 2.826 |

Fig. 2 (a) Hardness and (b) electrophilicity for the 3 type of the quantum dots.
category of QDs. A red-shift of the first peak is observed due to the increasing size of the QD’s. As a result, the exciton binding energy increases with the strong electron–hole interaction induced by the weak screening and the reduced dimensionality. Note also that the absorption spectra describing the three smallest configurations, namely C16H10, Si8C8H10 and Si16H10, is remarkably modified as the direction of the light polarized changes. This result indicates the optically anisotropic nature of these compounds, which concurs well with our previous work.28 For larger sized configurations, the BSE absorption curves as well as the exciton binding energy values \( E_b \) become equivalent regardless of the light polarization direction. Consequently, one deduce that large structures are quite symmetrical than smaller ones. Due to this isotropy, the rest of the discussion only reports the effect of the X-light polarization as the results will be the same for the Y-one.

For CQDs, it turns out that in pyrene (C16H10) and dibenzo [bc,kl]coronene (C30H14), the first exciton is located at 3.42 eV and 2.47 eV which is in excellent agreement with 3.34 eV and 2.54 eV respectively obtained in experiments.44,48 For \( \text{C}_{38}\text{H}_{18} \) quantum dot, that we are not yet aware of its synthesis, the optical gap of 1.41 eV is consistent with 1.48 eV reported in ref. 44. In the silicene–graphene hybrids (SiCQDs), the exciton binding energy is 2.51 eV, 1.16 eV, and 1.08 eV for Si8C8H10, Si15C15H14 and Si24C24H18 respectively, which is lower than CQDs but greater than 1.99, 1.15 and 1.09 eV observed for Si16H10, Si30H14 and Si48H18 respectively. Compared with their 1D and 2D analogues, the present QDs display higher binding energies attributed to the quantum confinement effect that originates from a more important electron–hole overlap.64–70

A more intuitive picture of the effects of size variation on the optical excitations of the studied QDs is illustrated in Fig. 6, which displays the spatial distributions of the exciton. It is clear that for the smaller structures of CQD and SiQD, the electron spreads all along the zigzag edges. The increase in size leads to an additional contribution of electrons located in the center of

**Fig. 3** The variation of HOMO–LUMO gap calculated by two methods (a) GGA (b) GW correction, and (c) exciton binding energy as function of the number of atoms composing the QDs.

**Fig. 4** Isosurface charge densities for the lowest unoccupied (LUMO) and the highest occupied molecular orbital (HOMO).
the corresponding systems. In the case of SiCQDs, the exciton is mainly placed in the LUMO region as deduced from Fig. 4. Additionally, the CQDs exhibit the smallest exciton radius with respect to the other categories, which confirms the strong excitonic effect in these structures. Further insight on the optical features is derived from the analysis of the optical transitions corresponding to the lowest dark and highest bright excited states listed in Table 1. Notably, for graphene and silicene structures, dominated transitions are $H/L$ and $H+1/L_0$ together. Whereas for SiCQDs, the contribution originates principally from $H/L$ transitions. It is therefore remarkable that transitions in CQDs and SiQDs involve more Frontier orbitals. As a result, more transitions contribute to the bright states leading to the activation of additional orbitals and the enhancement of the excitonic features, especially the Bohr radius. In contrast, SiCQDs exhibit a minor contribution of transitions which explain the difference in their optical behavior.

The singlet–triplet splitting energy ($\Delta^{S-T}$) values strongly depend on the size variation and the base-material, as it is depicted in Table 1. Obviously, the largest splitting values were obtained for CQDs that exhibit a very pronounced e–h overlap.
(90–93%). Closer splitting values are found in SiCQDs and SiQDs, leading to an equivalent luminescent yield for both materials, regardless of their separate overlap amounts. Therefore, the control and adaptation of the singlet–triplet splitting, through various factors, represent a useful mean to tailor excitons for diverse applications in photonics, optoelectronics and nanomedicine. On the other hand, ΔS-T provides informations on the excitons migration, their population on excited states as well as the photoluminescence behavior in nanostructures. According to ref. 71, our structures can be classified into 4 categories depending on the photoluminescence process.

More precisely, normal fluorescence occurs in structures with splitting values range between 0.5 and 1 eV, namely the smallest silicon–graphene hybrid Si6C8H10 as well as the two Si16H10 and Si16H14 configurations. Meanwhile, when ΔS-T < 0.37 eV, thermally activated delayed fluorescence (TADF) takes place, which is the case for the two larger SiCQDs and the largest Si16H14QDs. Finally, for graphene nanostructures, which exhibit the highest splitting values ranging between 1.27 and 1.67 eV, two processes can happen. Indeed, depending on the ratio E1/E0 (where E1 and E0 are the energies of triplet and singlet first excited states respectively), we can have the triplet–triplet annihilation (TTA) category if E1/E0 ≥ 0.5 eV or the singlet fission (SF) in the case E1/E0 < 0.5 eV. It follows that the pyrene C16H10 exhibits a TTA luminescence referred to as pyrene-type luminescence in the literature,72 while the SF behavior is manifest in the two largest configurations C30H14 and C48H18. Compared to typical carbon-based materials such as graphene73 and carbon nanotubes,74 current QDs show greater singlet–triplet splitting, which means stronger fluorescence and different photoluminescence quantum yields that render them promising for various applications. For further details, it is known that the TTA and TADF structures have great potential for organic light-emitting diodes (OLEDs) while SF systems are highly emphasized for solar cells.

IV. Conclusion

In conclusion, the first principles calculations based on density functional and many-body perturbation theories were carried out to reveal the importance of the size variation in manipulating the stability as well as the optoelectronic behavior of the diamond-shaped quantum dots. It was reported that the strong quantum confinement present in the smallest nanostructures results in a pronounced increase in H–L gap and noticeable global reactivity. This result provides an index of the utility of these QDs in fabricating potential electronic transport and conductivity for electronic devices. In addition, the optical profile of the systems has been shown to be size-dependent owing to the crucial modification of the exciton binding energy and the Bohr radius, also due to the suppression of the optical anisotropy and the red-shift of absorption peaks with increasing size. The radiative transitions from the singlet to triplet first excited states seem highly predictable due to the strong electron–hole overlap. All the findings of this work have shown that quantum confinement effects and the base-material tailor and control the optoelectronic properties of QDs, thus offering a promising diversity of characteristics required for a wide range of emerging nanomedical and optoelectronic applications.

Conflicts of interest

There are no conflicts to declare.

Acknowledgements

The authors would like to acknowledge “Académie Hassan II des Sciences et Techniques-Morocco” for financial support.

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