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Randomized controlled trials in patients with COVID-19: a systematic review and critical appraisal

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A B S T R A C T

Objectives: This study aimed to describe the prevalence of risks of bias in randomized trials of therapeutic interventions for COVID-19.

Methods: Systematic review and risk of bias assessment performed by two independent reviewers of a random sample of 40 randomized trials of therapeutic interventions for moderate-severe COVID-19. We used the RoB 2.0 tool to assess the risk of bias, which evaluates bias under five domains as well as an overall assessment of each trial as high or low risk of bias.

Results: Of the 40 included trials, 19 (47%) were at high risk of bias, and this was particularly frequent in trials from low-middle income countries (11/14, 79%). Potential deviations to intended interventions (i.e., control participants accessing experimental treatments) were considered a potential source of bias in some studies (14, 35%), as was the risk due to selective reporting of results (6, 15%). The randomization process was considered at low risk of bias in most studies (34, 95%), as were missing data (36, 90%) and measurement of the outcome (35, 87%).

Conclusion: Many randomized trials evaluating COVID-19 interventions are at risk of bias, particularly those conducted in low-middle income countries. Biases are mostly due to deviations from intended interventions and partly due to the selection of reported results. The use of placebo control and publicly available protocol can mitigate many of these risks.

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Introduction

The COVID-19 pandemic resulted in a surge of medical research, with time-constrained circumstances leading to research conducted at accelerated rates (Mather, 2020). For example, the protocols for the platform trials, RECOVERY and Solidarity, were approved within a fraction of the conventional time (Tikkinen et al., 2020). RECOVERY and Solidarity were large multicenter studies led by experienced researchers, but many smaller, single-center trials have also been completed (Karlsen et al., 2020). Although a pandemic necessitates a fast response, it also raises the possibility of significant compromises to the methodologic quality and rigor of clinical trials (Jung et al., 2021). Poorly conducted trials, which generate biased results, can ultimately translate into ineffective or even harmful treatments or might mean that truly effective treatments are disregarded as being ineffective (Schulz et al., 1995). Not only can such biases lead to detrimental health consequences, but the research can lead to waste of valuable resources and is unethical (Chalmers and Glasziou, 2009; Ioannidis et al., 2014).

The effects of risks of bias in randomized trials have been well documented and researched before the pandemic (Schulz et al., 1995; Wood et al., 2008). The number of published randomized trials has been steadily increasing over the years; however, risk of bias is still high despite having improved over time (Vinkers et al., 2021). For example, allocation concealment and other biases associated with a poorly implemented randomization have improved, as has the use of trial registration (Clark et al., 2016; Savovic et al., 2012; Vinkers et al., 2021). Nonetheless, the risk of bias remains a prevalent issue, with publication in a low-impact journal and nonblinding being associated with an increased risk of bias (Vinkers et al., 2021). Risks of bias are known to be as-
associated with studies conducted in low-middle income countries (Panagioriou et al., 2013; Wells et al., 2021), trials funded for-profit (Hamm et al., 2010; Panagioriou et al., 2013), smaller trials (Dechartres et al., 2013), and studies with poor quality of reporting (Tikka et al., 2021).

Our objective was to identify randomized trials of COVID-19 interventions and evaluate their risk of bias using a validated assessment tool. The review was out of scope of PROSPERO, but a documented protocol was completed before the review was undertaken (Supplementary Material 1).

Methods

Inclusion and exclusion criteria

Included studies had to be published after the COVID-19 global outbreak (January 1, 2020 onward) and before the date the search was undertaken (June 18, 2021); preprints were not included. Owing to resource constraints, we determined that it was possible to undertake the detailed risk of bias review on a random sample of 40 eligible studies and limited these to only studies published in English. Eligible studies were fully published randomized superiority trials of therapeutic interventions for COVID-19. To focus on studies only investigating treatment effectiveness rather than drug safety, we only included superiority or phase III or phase IV trials. Information on the trial phase was sought by assessing trial registration data if this was not clear from the published report. Studies with an unknown trial phase were included if they met the eligibility criteria for all other aspects.

Excluded study designs were phase I/II trials, nonrandomized trials, cluster-randomized trials, crossover trials, and noninferiority trials. Study protocols, pilot studies, preliminary trial reports, and conference abstracts were also excluded. We restricted study populations to participants with moderate/severe disease who were hospitalized (though trials that included participants with mild COVID-19 were included if they also included moderate/severe hospitalized participants). Trials investigating any therapeutic treatment of COVID-19 were included. This included traditional Chinese medicine, herbal medications, convalescent plasma, intravenous drugs, and oral medication but excluded prophylactic medication, vaccinations, or supportive therapy. No limitations were made on the basis of the type of comparator or number of study arms.

Search mechanism

We searched the World Health Organization (WHO) COVID-19 database and the Cochrane COVID-19 study register. The WHO COVID-19 database sources data from more than 30 databases, including MEDLINE and PubMed. Similarly, the Cochrane COVID-19 study register sources data from numerous databases, including Embase and Cochrane Central Register of Controlled Trials (CENTRAL). These databases have been created for finding COVID-19–related literature only; therefore, using their in-built search filters alone was deemed sufficient. Filters applied to the WHO COVID-19 database ensured that studies were clinical trials, had full text available, and were testing “therapy” interventions. Similar filters were used for conducting the Cochrane COVID-19 study register search (Supplementary Material 2).

Studies identified from the two searches were combined, duplicates were removed, and all studies underwent a title and abstract preliminary screen, followed by a full-text screen. All screening was undertaken independently by two reviewers, with a third opinion taken in cases of disagreement. As a validation step, we used the trials identified in a similar review to confirm that no eligible studies were missed (Zhau et al., 2021). From those studies assessed to be eligible, we randomly selected a sample of 40 studies using the random number generator in Microsoft Excel to undertake the full risk of bias assessment.

We then screened each of the included full trial reports to identify any reference to study protocols or statistical analysis plans. Where none was identified, we carried out a Google search (full study title and author list) to identify any nonreferenced protocols or statistical analysis plans (these did not have to be published). We searched for trial registration documentation for each included study using any trial registration reported in the text or using Google searches.

Data collection process

Data were collected from the full trial report and any available protocol, statistical analysis plan, or trial registration. Data on study characteristics were collected by one reviewer only. Data for the risk of bias assessment were collected independently by two reviewers. After both assessments were completed, disagreements were identified, and a consensus (henceforth referred to as the joint assessment) was reached by discussion. Study reports were randomly sorted before data collection.

Data collected on general characteristics of trials

We collected the following trial characteristics: the type of intervention being tested (categorized as immune-based therapy, antiviral, corticosteroid, or other), the type of comparator (standard of care, placebo, or other intervention), the primary outcome type (classified as symptom severity, mortality, composite, or other), and the total realized sample size across all study arms (i.e., the number recruited rather than the number planned at the design stage). Additionally, we collected the following characteristics because of their previous associations with risk of bias: country of conduct (Asia, Europe, South America, Northeast Africa, United States, and multiple regions) to classify as low-middle income country or non–low-middle income country according to the 2020 gross national income (Fantom and Serajuddin, 2016); whether the trial was published in a high-impact journal (https://libguides.anu.edu.au/medicine/journals/high-impact); whether a trial protocol, statistical analysis plan, or trial registration were available; and whether the trial documented ethical approval and individual participant consent.

Risk of bias assessment

We used the RoB 2.0 tool to assess risk of bias (Higgins et al., 2016), which describes risks of bias under five domains (Table 1). These risks are identified by a series of signaling questions with a set of elaborations, providing extensive detail about how to answer the signal questions (Higgins et al., 2016). Reviewers independently answered each signaling question for each study in turn with full access to the supporting elaboration as an aid. Each signaling question allows an assessment of “yes,” “probably yes,” “no,” “probably no,” and “no information.” This assessment was made for what was considered the primary outcome of the study and assuming interest in the effect of assignment to the intervention (as opposed to the effect of adhering to the intervention). After the independent assessment of the signaling questions, the two independent reviewers resolved any discrepancies. This then formed the joint assessment. These joint assessments are summarized in a series of Supplementary tables, describing all responses under the signaling questions. In the tabulated results, we combine the classification of “probably yes” with “yes” and “probably no” with “no.” Following RoB 2.0, these joint responses to the signaling questions were
mapped onto a risk of bias assessment for each domain, classifying trials as “low risk of bias,” “some concerns,” or “high risk of bias.” Finally, again following RoB 2.0, we created an overall study assessment of risk of bias: a study is judged at high risk of bias if it is assessed at high risk in at least one domain or some concerns for multiple domains, low risk of bias if it is assessed as low risk in all domains, and some concerns otherwise Table 2, Table 3, Table 4.

### Statistical analysis

We described the assessment of risk of bias (on the basis of the joint assessment) for all domains and signaling questions using simple descriptive statistics (numbers and percentages). For the overall assessment of bias, we summarized by the following characteristics (all identified elsewhere to be risk factors for bias): (1) those without a placebo control, (2) those without a publicly available protocol or statistical analysis plan, (3) trials with a sample size less than 150 (the median sample size in the sample), (4) low-middle income countries, and (5) non–high-impact journals.

Finally, we describe the reliability of the independent assessments by computing the percentage agreement (including raw percentage agreement and a weighted Gwet AC value) (Gwet, 2014; Wongpakaran et al., 2013) between the two independent assessments for each of the five domains. Reliability was computed across an ordinal three-point scale (high risk of bias/some concerns/low risk of bias).The Gwet AC statistic was weighted with the penalization (weights) set to thirds: low penalization set to two-thirds for high-some concerns, low-some concerns, and anything-unclear; and high penalization set to one-third for high-low concerns.

### Results

Searches were conducted on June 18, 2021, yielding a total of 1628 citations from the two databases. After 151 duplicated articles were removed, 1477 were assessed for title and abstract screening (Figure 1). A further 1323 were removed, leaving 154 studies for full-text screening. Of these, 69 trials were identified as eligible for inclusion (with reasons for exclusion provided in Figure 1). No additional studies were included after assessing the validation systematic review reference list. From this, a subset of 40 studies were randomly sampled to form the sampling frame (Supplementary Material 3) Figure 2.

#### Study characteristics

Of the 40 included trials, many were conducted in Asia (18, 45%); some studies were conducted in Europe (7, 17%), South America (6, 15%), and Northeast Africa (2, 5%); one study (3%) was conducted in the United States, and some studies were conducted across multiple regions (6, 15%) (Table 2). Approximately, a third were conducted in low-middle income countries (14, 35%). The trials included a range of different therapeutic interventions: immune-based therapy (9, 23%), antiviral (8, 20%), and corticosteroids (5, 12%). The majority compared against standard of care (26, 65%), with only 25% (10) including a placebo control. A minority compared against another therapeutic intervention (4, 10%). The most commonly reported primary outcome type was symptom severity (24, 60%), with mortality being the primary outcome in seven studies (18%). Almost all studies were registered (37, 93%) and half had a publicly available protocol or statistical analysis plan (20, 50%). The average realized sample size was 150 (interquartile range: 89–379). All studies reported obtaining ethical approval and consent.

#### Broad assessment of risk of bias

Only 10 (25%) of the studies were assessed to be at low risk of bias, 19 (47%) were assessed at high risk of bias, and 11 (28%) were assessed as having some concerns (Table 3). Most trials were assessed as low risk of bias due to the randomization process (34, 85%), bias due to missing data (36, 90%), and bias due to measurement of the outcome (35, 87%). In contrast, fewer trials were assessed as low risk of bias due to deviations from the intended interventions (16, 40%) and due to selection of the reported result (21, 52%).

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### Table 1

| Domain | Description |
|--------|-------------|
| Domain 1: Risk of bias arising from the randomization process | Randomization creates (on average) an even distribution of participants across the study arms. To achieve this, the randomization must both be truly random, and it must be fully concealed at the time of recruitment. A poorly implemented randomization can manifest as notable differences in the characteristics of participants across the study arms. Participants should have been offered these interventions to evaluate the comparative effect of two interventions. Deviations in the uptake of this offer are usually not a concern when interest is in the effect of the intervention when some participants will naturally not want the intervention, for example, due to side effects or the way it is administered. The use of other concomitant interventions in both arms (background care) should be the same across both study arms. Problems arise when with knowledge of the participation in a study, particularly what intervention the participant is receiving, selectively lead to differences in this background care across the study arms. |
| Domain 2: Risk of bias due to deviations from the intended interventions | To evaluate the effect of the assignment to the intervention outcome, data are required on all randomized participants, even those who decline the offer of the intervention. Small amounts of missing outcome data, evenly distributed across study arms, are unlikely to be problematic. Problems arise when the amount of missing data is more substantial, and it differs across the study arms. Of note, bias can still arise when the proportion of missing outcome data is similar across study arms, but the characteristics of those with missing data differ across the arms. |
| Domain 3: Risk of bias due to missing outcome data | Assessment and measurement of the outcome should not be influenced or differ across the treatment arms. If those who are measuring the outcome have knowledge of the treatment arm, this may unintentionally affect the way the outcome is measured if there is any subjectivity involved in the outcome assessment. Clear specification of a primary outcome mitigates the problem of selecting outcomes that are apparently different, but this difference reflects a chance finding. To achieve this, the primary outcome must be clearly specified, including how it will be measured, scales that will be used, any cut-points that will be used, and when it will be measured (primary assessment time). Likewise, a clear primary analysis method should be specified, which includes how any covariates will be adjusted for in the analysis. |
| Domain 4: Risk of bias in the measurement of the outcome | Of the 40 included trials, many were conducted in Asia (18, 45%); some studies were conducted in Europe (7, 17%), South America (6, 15%), and Northeast Africa (2, 5%); one study (3%) was conducted in the United States, and some studies were conducted across multiple regions (6, 15%) (Table 2). Approximately, a third were conducted in low-middle income countries (14, 35%). The trials included a range of different therapeutic interventions: immune-based therapy (9, 23%), antiviral (8, 20%), and corticosteroids (5, 12%). The majority compared against standard of care (26, 65%), with only 25% (10) including a placebo control. A minority compared against another therapeutic intervention (4, 10%). The most commonly reported primary outcome type was symptom severity (24, 60%), with mortality being the primary outcome in seven studies (18%). Almost all studies were registered (37, 93%) and half had a publicly available protocol or statistical analysis plan (20, 50%). The average realized sample size was 150 (interquartile range: 89–379). All studies reported obtaining ethical approval and consent. |
| Domain 5: Risk of bias in selection of the reported results | Only 10 (25%) of the studies were assessed to be at low risk of bias, 19 (47%) were assessed at high risk of bias, and 11 (28%) were assessed as having some concerns (Table 3). Most trials were assessed as low risk of bias due to the randomization process (34, 85%), bias due to missing data (36, 90%), and bias due to measurement of the outcome (35, 87%). In contrast, fewer trials were assessed as low risk of bias due to deviations from the intended interventions (16, 40%) and due to selection of the reported result (21, 52%). |
Fig. 1. Flow diagram trials identified and screened for inclusion. COVID-19, coronavirus disease 2019; PRISMA, Preferred Reporting Items for Systematic Reviews and Meta-Analyses; RCT, randomized controlled trial; WHO, World Health Organization.
Table 2
Characteristics of trials included in review (N = 40).

| Characteristic                        | n (%)     |
|---------------------------------------|-----------|
| Journal                               | 18 (45)   |
| High-impact                           | 22 (55)   |
| Not high-impact                       |           |
| Country of study conduct              | 18 (45)   |
| Asia                                  | 7 (17)    |
| Europe                                | 6 (15)    |
| South America                         | 2 (5)     |
| Northeast Africa                      | 1 (3)     |
| United States                         | 6 (15)    |
| Multiple regions                      |           |
| Income of country                     |           |
| LMIC                                  | 14 (35)   |
| Non-LMIC                              | 26 (65)   |
| Type of intervention                  |           |
| Immune-based therapy                  | 9 (23)    |
| Antiviral                             | 8 (20)    |
| Corticosteroid                        | 5 (12)    |
| Other                                 |           |
| Comparator                            | 18 (45)   |
| Standard of care                      | 10 (25)   |
| Placebo                               | 4 (10)    |
| Primary outcome                       |           |
| Symptom severity                      | 24 (60)   |
| Mortality                             | 7 (18)    |
| Composite                             | 4 (10)    |
| Other                                 |           |
| Prespecification documentation availability | 20 (50) |
| Accessible protocol paper or SAP     | 37 (92)   |
| Trial registration                    | 3 (8)     |
| Neither protocol paper nor trial registration |     |
| Average study size*, median (IQR)    | 149.5 (88.8 – 378.5) |

*Numbers refer to realized numbers across all study arms as opposed to those planned in any sample size calculation for example (i.e., number of participants on whom baseline measures were taken).

IQR, interquartile range; LMIC, low-middle income country; SAP, statistical analysis plan.

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Fig. 2. Percentage of papers in each risk category across the broad domains of risk.
Table 3
Risk of bias assessment by broad domains of risk.

| Domain                                      | Level of risk | n (%) | Reliability between reviewers |
|---------------------------------------------|---------------|-------|-------------------------------|
|                                             | N = 40        |       | Gwet’s AC (95% CI)            | % agreement |
| 1. Bias arising from the randomization process | Low risk      | 34 (85)| 71.5 (52.1–90.9)             | 67.5         |
|                                             | Some concerns | 2 (5) |                               |              |
|                                             | High risk     | 4 (10)|                               |              |
| 2. Bias due to deviations from intended interventions | Low risk | 16 (40)| 21.3 (−8.1 to 50.6)           | 40.0         |
|                                             | Some concerns | 10 (25)|                               |              |
|                                             | High risk     | 14 (35)|                               |              |
| 3. Bias due to missing outcome data         | Low risk      | 36 (90)| 79.3 (64.2–94.4)              | 75.0         |
|                                             | Some concerns | 0 (0) |                               |              |
|                                             | High risk     | 4 (10) |                               |              |
| 4. Bias in measurement of the outcome       | Low risk      | 35 (87)| 91.9 (84.0–99.8)              | 85.0         |
|                                             | Some concerns | 3 (8) |                               |              |
|                                             | High risk     | 2 (5) |                               |              |
| 5. Bias in selection of the reported results| Low risk      | 21 (52)| 58.3 (35.2–81.2)              | 62.5         |
|                                             | Some concerns | 13 (33)|                               |              |
|                                             | High risk     | 6 (15) |                               |              |
| Overall risk of bias judgment              | Low risk      | 10 (25)| 33.2 (5.0–61.3)               | 47.5         |
|                                             | Some concerns | 11 (28)|                               |              |
|                                             | High risk     | 19 (47)|                               |              |
| Number of domains at high risk              | 0             | 20 (50)|                               |              |
|                                             | 1             | 13 (33)|                               |              |
|                                             | 2             | 4 (10) |                               |              |
|                                             | 3             | 3 (7) |                               |              |
|                                             | 4             | 0 (0) |                               |              |
|                                             | 5             | 0 (0) |                               |              |

CI, confidence interval.

4 Overall risk of bias judgment: low risk of bias is defined as all domains at low risk of bias; some concerns is defined as at least one domain has some concerns but does not include any high risk of bias for any domain; and high risk of bias is defined as high risk of bias in at least one domain or some concerns for multiple domains.

5 Zero domains at risk includes one at low risk and two with some concerns overall risk. Gwet’s AC statistic is weighted (see Methods) to give more weight to disagreements between low and high risk compared with some concerns.

Table 4
Overall assessment of bias by selected characteristics.

| Characteristic                  | Level of risk | n (%) |
|---------------------------------|---------------|-------|
| No placebo control             | Low risk      | 4 (13) |
|                                 | Some concerns | 9 (30) |
|                                 | High risk     | 17 (57)|
| n = 30                          |               |       |
| No protocol/SAP available      | Low risk      | 0 (0) |
|                                 | Some concerns | 8 (40) |
|                                 | High risk     | 12 (60)|
| n = 20                          |               |       |
| Sample size <150               | Low risk      | 2 (10) |
|                                 | Some concerns | 6 (30) |
|                                 | High risk     | 12 (60)|
| n = 14                          |               |       |
| Low-middle income countries    | Low risk      | 1 (7) |
|                                 | Some concerns | 2 (14) |
|                                 | High risk     | 11 (79)|
| n = 14                          |               |       |
| Non–high–impact journal        | Low risk      | 0 (0) |
|                                 | Some concerns | 8 (36) |
|                                 | High risk     | 14 (64) |
| n = 22                          |               |       |

SAP, statistical analysis plan.

**Domain 1: bias arising from the randomization process**

Almost all studies used a clearly reported random allocation method (37, 93%), and in most studies (32, 80%), it was clear that the allocation had been properly concealed before the consent process (Supplementary Table 1). Baseline imbalance was identified (by the reviewers) in only a small number of trials (3, 7%), which might indicate a poorly implemented randomization process.

**Domain 2: bias due to deviations from intended interventions**

In many trials, the participants (27, 67%) and their carers or individuals delivering the intervention (29, 72%) were aware of the assigned interventions (Supplementary Table 2). In some trials (15, 37%), it was assessed that this knowledge could have led to deviations from the intended interventions and that these deviations could have affected the outcome (11, 27%). In some trials (3, 7%), these deviations were assessed to affect one of the intervention arms more than the other. Most trials (31, 77%) carried out an analysis to appropriately estimate the effect of the assignment to the intervention (i.e., an intention to treat analysis), and in only a few studies (5, 13%) it was assessed that any deviations to assigned interventions could have had a substantial impact.

**Domain 3: bias due to missing outcome data**

In most trials (32, 80%), the outcome data were available for all or nearly all of the participants (Supplementary Table 3). However, in a minority of trials (7, 17%), it was assessed that the missing outcomes might have been biased by the missing data, perhaps because the missingness might depend on the outcome (4, 10%).

**Domain 4: bias in measurement of the outcome**

In most studies, the method of measuring the outcome was assessed to be appropriate (37, 93%), and it was assessed that the measurement of the outcome could have differed across the study arms only occasionally (2, 5%) (Supplementary Table 4).
assessors were often aware of the allocated study arm (17, 43%), although this was not considered to have influenced outcome assessment in any studies.

**Domain 5: bias in selection of the reported result**

Although in more than half of the studies (24, 60%), it was assessed that the data were analyzed according to a prespecified approach, in many studies (12, 30%), this was unclear (Supplementary Table 5). In a number of studies (9, 23%), it was assessed that there were multiple possible eligible outcomes (i.e., the scale, cut-points, assessment times were not clearly defined), and in a few studies (2, 5%), it was assessed that there had been multiple possible analyses of the data; however, in many studies (17, 43%), no information on prespecification was provided.

**Design features associated with increased risks of bias**

When investigating whether there was a tendency for trials with certain characteristics to be at increased risk of bias (compared with the 47% classified as at-risk overall 40 trials), we identified that the likelihood of high risk of bias was 57% (17/30) in those trials without the inclusion of a placebo control, 60% (12/20) in those trials without a publicly available protocol or statistical analysis plan, 60% (12/20) in small trials (sample size <150), 79% (11/14) in those from low-middle income countries, and 64% (14/22) in those in a non-high-impact journal (Table 4).

**Reliability of independent assessments**

Agreement between the two independent assessments varied across the domains and was greater for the randomization process (Gwet AC: 71.5%; 95% confidence interval [CI]: 52.1–90.9), missing outcome data (Gwet AC: 79.3%; 95% CI: 64.2–94.4), measurement of outcome (Gwet AC: 91.9 95% CI: 84.0–99.8) but lower for risks of bias around deviations from intended interventions (Gwet AC: 21.3; 95% CI: −8.1 to 50.6), selection of the reported result (Gwet AC: 58.3; 95% CI: 35.2–81.2), and overall assessment (Gwet AC: 33.2; 95% CI: 5.0–61.3).

**Discussion**

**Summary of findings**

We identified that a substantial proportion of the randomized trials of COVID-19 interventions published over the first 18 months of the pandemic are at risk of bias. We identified that these risks are mostly due to risks around deviations from the intended intervention (e.g., control arm participants receiving the active intervention) and that risks of bias were particularly prevalent in studies conducted in low-middle income countries. Other risks of bias, such as those due to selection of the reported result or measurement of the outcome, were much lower but nonetheless still prevalent in a significant minority of the studies. Modifiable factors that will reduce these risks are using a placebo control and publicly available protocol: only half of the trials had a publicly available protocol, and only a quarter used a placebo control.

**Research in context**

High-quality evidence is always important, particularly in the context of a pandemic (Raynaud et al., 2021). Evidence is emerging that many COVID-19 trials are of poor quality: reviews of registered COVID-19 trials (as opposed to fully published studies) have revealed that many are not blinded and have many other features associated with poor quality (Jung et al., 2021; Karlsen et al., 2020; Mainoli et al., 2021; Mehta et al., 2020; Pandi et al., 2020; Zhu et al., 2020). Additionally, there is evidence that many studies of traditional Chinese medicine for COVID-19 are also at risk of bias (Alexander et al., 2020; Gao et al., 2021), and where risk of bias has been investigated in the context of specific treatments (e.g., hydroxychloroquine), concerns have been raised of the lack of high-quality studies (Mazhar et al., 2020). Moreover, the BMJ living systematic review investigating treatments for COVID-19 also conducted a risk of bias assessment using a revised version of the Cochrane RoB 2.0 tool and found most studies (~60%) to have a high risk of bias (BMJ, 2021). Our findings here also support those of another risk of bias assessment using the older version of the Cochrane risk of bias tool but for which the assessment was not conducted in duplicate (Zhao et al., 2021) and are not dissimilar to assessments of trials in settings other than COVID-19 (Hamm et al., 2020; Turner et al., 2013; Vinkers et al., 2021).

The importance of blinding

The most common source of bias identified in this review was due to deviations from intended interventions. Bias due to deviations of intended interventions, also known as performance bias, is unlikely to affect fully blinded trials (Porta, 2014). This bias refers to the use of other complementary interventions differentially across study arms in a way that would not happen outside a trial context or to the use of the active intervention in the control arm. Others have argued that blinding is not desirable in pragmatic trials where the objective is to estimate effect in real-world conditions (Mansournia et al., 2017). In pragmatic trials, the interest is not in the isolated effect of the intervention but rather how it works in its proposed context (Christian et al., 2020). Arguably, the COVID-19 trials included in this review were all wanting to estimate effects in “real-world conditions.” Indeed, any non-adherence to the active intervention was not considered a deviation in our assessment of risk of bias, in line with pragmatic intent (Higgins, 2019). However, a bias can arise, even in pragmatic trials when, for example, complementary interventions (e.g., the use of other experimental concomitant therapies in patients with COVID-19) is differentially used across trial arms because of a perceived rather than real need (Henderson et al., 2007). This type of bias very possibly affected one of the recovery trials, where 17% of patients in the usual care group were given azithromycin (the active intervention) or another macrolide antibiotic (RECOVERY Collaborative group, 2021). It can thus be very important in the so-called pragmatic trials to blind therapeutic interventions to prevent these types of biases, and when blinding is not possible, reporting post-randomization treatments by arm can aid in the identification of this type of bias. In relation to this, in the situation of possible side effects due to the active intervention, controls can be very important to prevent the possibility of unblinding when side effects occur (Jensen et al., 2017).

Three large, multicenter pragmatic trials (RECOVERY, Solidarity, and ACTT) are widely hailed as exemplary designs, cutting red tape, delivering fast, and promoting collaboration (Tikkinen et al., 2020). Yet, both the RECOVERY and Solidarity trials were assessed as having some concerns in our review and at high risk of bias by the BMJ living systematic review (BMJ, 2021). For both, this assessment of high risk of bias was because of potential deviations to intended interventions, arising because of the unblinded nature of the studies. The ACTT trial, also large, pragmatic, and timely, was blinded; therefore, it is unlikely to be at risk of bias due to deviations from intended interventions (Beigel, 2020). For one drug compared in these trials, remdesivir, the (unblinded) Solidarity trial (WHO Solidarity Trial Consortium et al., 2021) and the (blinded) ACTT trial (Beigel, 2020) gave conflicting results. Direct conflict of study results, such as this, especially when one of the studies is unblinded, creates uncertainty, particularly in the case
where the unblinded trial (sample size in Solidarity ~11,000) is much larger than the blinded trial (sample size in ACTT ~1,000).

Limitations

The Cochrane risk of bias tool is a generic tool designed to be used across a range of randomized trials although it generally does not consider aspects that might relate only to certain types of trials, for example, platform trials for which there can be other specific concerns around biases (Normand, 2021). We applied the risk of bias assessment only to the primary outcome and only to ascertain the risk against the effect of assignment to the intervention. Risks of bias might differ for other outcomes; although, arguably, the primary outcome should be considered more important. Interest might reasonably be in the effect of adhering to the treatment (efficacy as opposed to effectiveness), particularly in earlier phases of treatment assessment (Hernán and Robins, 2017). Although our assessment was performed independently by two reviewers, the agreement between the independent assessments was low for those domains where risk of bias was assessed as a potential concern (deviations from intended interventions and selection of reported results). These discrepancies likely represent a real uncertainty around whether bias is or is not present. This low agreement might be considered a further indicator of potential bias or poor reporting (Hartling et al., 2013). Our assessment included only 40 of 69 eligible studies. These 40 studies represent a random sample of those eligible, and although we are not identifying risk of bias to inform treatment decision, this review can nonetheless inform on the likely risk of bias in trials of COVID-19 interventions more generally.

Conclusion

High-quality, low risk of bias randomized trials are fundamental to responding to a pandemic. Several large platform trials are exemplary in their timeliness and collaborative nature. Nonetheless, many smaller trials have been initiated. A large majority of published trials testing COVID-19 treatments are at high risk of bias, particularly those conducted in low-middle income countries. Even the small number of trials considered exemplary might be at risk of bias, mostly because of their unblinded nature. To ensure that patients receive effective treatments, future randomized trials must be designed to be at low risk of bias to avoid wastage of research and sporadic findings.

Conflict of interest

The authors have no conflicts of interest to declare.

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Ethical approval statement

No ethical approval was obtained for this study.

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Christina Easter acted as an independent opinion for the second screen.

Author contributions

KH led the development of the project and wrote the first draft of the study. KK led the search process and identification of studies for inclusion, designed and developed the data collection tools, collected the data, and conducted the statistical analysis. KK, JT, BM, and KH collected the data. KH, JT, and BM acted as the independent opinion in case of disagreements during data collection. VM conducted the second study screening for eligibility. All authors commented on the draft study.

Supplementary materials

Supplementary material associated with this article can be found in the online version, at doi:10.1016/j.ijid.2022.05.034.

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