Morphological adaptation of a planktonic diatom to growth in Antarctic sea ice

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Abstract Chaetoceros dichaeta Ehrenberg is one of the most important planktonic diatom species in the Southern Ocean, making a significant contribution to the total biomass in the region. Our observations on both field and culture material have revealed the existence of a specialized form of C. dichaeta adapted to living in sea ice. This sea ice form differs from the planktonic form by the shape and orientation of the setae and the aperture length between sibling cells. Thus, the diameter of the chain is equivalent to the apical axes of the cells and is accompanied by a two order of magnitude decrease in minimal space requirement. Here, we report for the first time on the extraordinary overwintering strategy of a planktonic diatom in sea ice facilitated by its rapid morphological adaptation to changing environmental conditions. This morphological plasticity enables it to thrive in the confined space of the sea ice brine matrix and retain its numerical dominance in recurrent growing seasons and has likely evolved to optimally exploit the dynamic ecosystem of the seasonally ice-covered seas of the Southern Ocean.

Introduction

The Southern Ocean is currently the largest area in which sea ice is being created, extending 18.2–19.4 million km² in winter and 2.5–3.8 million km² in summer around Antarctica (Comiso 2010; NASA Earth Observatory 2011). Consequently, large areas that are ice covered in winter exhibit open water conditions during the summer months. Many microscopic plants and animals have successfully adapted to life within the confined matrix of the concentrated brine channels of the sea ice environment (Thomas and Dieckmann 2002). The majority of the biomass in both pelagic (Tréguer et al. 1995) and sea ice communities consists of diatoms (Garrison et al. 1986, 2005; Lizotte et al. 1998), indicating that they have successfully adapted to this dynamic interface between sea ice and water. In particular, those species that have tightly geared their life cycle to both the pelagic realm and the confines of the sea ice brine system will have a competitive advantage over those species with a less flexible life strategy. After their release from the melting sea ice, these diatoms dominate the spring seeding stock in the water column and initiate ice edge as well as open ocean blooms (Smith and Nelson 1990). Ice algal carbon in drifting pack ice is usually dominated by pennate diatoms apparently because they are better adapted to the “benthic” life style dictated by the sea ice environment. However, significant contributions of centric diatoms to sea ice biomass (Garrison 1991; Meiners et al. 2004, 2011) illustrate that at least some species have adapted to the sea ice environment.

The Southern Ocean centric diatom Chaetoceros dichaeta represents such an adaptation since it is a widespread and dominant species in the phytoplankton (Hart 1934, 1942; Ioriya and Kato 1982; Kang and Fryxell 1993; Spiridonov et al. 1996; Xiuren et al. 1996; Hasle and Syvertsen 1997; Smetacek et al. 2002; Riaux-Gobin et al. 2011), as well as
being a frequent and common diatom in sea ice assemblages (Van Heurck 1909; Heiden and Kolbe 1928; Bujnitsky et al. 1974; Garrison et al. 1983, 1987, 2005; Clarke et al. 1984; Garrison and Buck 1985; Bartsch 1989; Garrison and Close 1993; Gleitz et al. 1998; Watanabe et al. 1990; Moro et al. 2000; Hegseth and von Quillfeldt 2002—see also reviews in Horner 1985 and Garrison 1991). The long setae (spines) protruding from the four corners of the cell are the hallmark feature of species within the genus Chaetoceros and have been interpreted as an adaptation to a planktonic life style. It is therefore particularly surprising that C. dichaeta is able to maintain high standing stocks within sea ice despite its unfavorable morphology with respect to the confined space of the sea ice matrix.

In this study, we demonstrate for the first time the unique morphological adaptation of C. dichaeta to the sea ice environment and delineate the different morphological features characteristic for the sea ice form from those described in the original species description (and subsequent emendations) for the planktonic form. In the past, specimens of the sea ice form were found in drifting sea ice and thought to be aberrations, but our observations herein could help to explain this apparent conundrum. In the following, we will also deal with the ecological significance of this morphological plasticity.

Methods

Field sampling

Sea ice samples used in this study were collected during the 7th and 11th Polish Antarctic Expeditions to King George Island in winter 1983 (8 samples) and summer 1986 (one sample), respectively. Samples were obtained of the interior community in drifting sea ice that had become grounded on the shore of Admiralty Bay, near the Polish Antarctic Station “H. Arctowski” at approximately 62°10′ S, 58°28′ W. Two samples were also collected during the BIOMASS III study on board the RV “Profesor Siedlecki” from the northern limit of the sea ice at the Weddell-Scotia Confluence (Grelowski and Wojewódzki 1988) in October 1986. Samples were either collected from small pieces of sea ice, which had settled on the shore of Admiralty Bay or they were taken on board manually from the open sea during BIOMASS III Expedition. Due to wave action, the sea ice pieces were constantly submerged prior to collection and therefore equally discolored by diatoms from all sides. Such pieces of brash ice originated from ice floes that are inhabited by “infiltration brash ice assemblages” with high chlorophyll a content characteristic for ice surface infiltration assemblages and a species composition characteristic for ice interior assemblages (Ligowski 1991). After collection, the surface of the sea ice was washed in filtered sea water to remove plankton cells.

Sample preparation

Subsamples of sea ice were taken using a 2.5-cm-diameter ice auger and fixed in formalin at a final concentration of 2% either immediately after sampling or after gently melting at 4°C. Two different methods were applied in order to keep cells and chains of Chaetoceros intact for observations at high microscopic resolution. First, an aliquot of all samples (except sample 277) was filtered through a Synpor membrane filter with a pore diameter of 0.4 μm for diatom species identification. The volume of sea ice needed for filtration depended on the degree of discoloration (an approximation of pigment concentration)—1 ml for visibly brown sea ice, 5 ml for visibly light-yellow sea ice and 20 ml for uncolored sea ice. After washing in distilled water and drying, the filters were made transparent using xylene and embedded in Canada balsam with refractive index (RI) = 1.55 as permanent mounts (Kozlova 1964). Such slides enabled the use of an oil immersion objective lens for quantitative analysis. In the second method, diatoms from sample 277 were washed in distilled water without acid cleaning and after drying embedded in the artificial resin Naphrax (RI = 1.74) (Northern Biological Supplies LTD).

Sample analyses

LM photomicrographs were taken using a Nikon digital camera DS-5 M mounted on a Nikon Optiphot microscope equipped with 60× (NA = 1.4) and 100× (NA = 1.4) planapochromatic objectives with Nomarski interference contrast, while SEM photomicrographs were taken with a Phillips XL20 SEM. Figure 1b was prepared from five photomicrographs using the ArcSoft Panorama Maker software. Measurements were taken with a LUCIA System for Image Processing and Analysis, using LUCIA 4.50 software. Statistical analysis and graphic data presentation were made with Statistica 6.0 (StatSoft Inc.).

Division rate of diatoms was calculated after Eppley (1977):

\[ \mu = \frac{1}{t} \log(\frac{N}{N_0}), \]

where \( \mu \)—cell divisions/day, \( t \)—time, \( N \)—the cell concentration and \( N_0 \)—the initial cell concentration.

Calculation of minimal space requirement

The volumes of minimal space requirement (MSR) of planktonic and sea ice forms were calculated for single cells and chains containing 5, 10 and 15 cells. The MSR
volume of the planktonic form of C. dichaeta was calculated according to the following geometrical formula: cuboid (Hillebrand et al. 1999; rectangular parallelepiped)

\[ V = a \times b \times c \]

where \( V \) = volume, \( a \) = width of chain with setae, \( b \) = thickness of chain with setae and \( c \) = length of chain.

The volume of MSR of the sea ice form of C. dichaeta was calculated according to the following geometrical formula (Hillebrand et al. 1999; prism on an elliptic base):

\[ V = \frac{\pi}{4} \times a \times b \times c \]

where \( V \) = volume, \( a \) = width of cells with setae, \( b \) = thickness of cell with setae and \( c \) = length of chain. The proportion of cell width to cell thickness was derived from Fig. 2.10.f in Scott and Thomas (2005) for both the planktonic and sea ice forms. A value of 100 \( \mu \)m was used for calculating the length of the setae of the planktonic form.

Field observations of the sea ice form

In samples of pack ice collected close to the South Shetland Islands, we found chains of C. dichaeta with a convoluted seta morphology. This sea ice form of C. dichaeta differs from the planktonic (Fig. 1a) form by shape, orientation and length of the setae and aperture dimensions (Fig. 1b). In many sea ice samples, the setae of valves within the chain (Fig. 2a, b) and terminal valves (Fig. 2c, d) were parallel to the chain axis, bent inside the aperture between neighboring cells in the former case and within the confines of the valvar margin in the latter case. Thus, the diameter of the whole chain equates to the cell diameter (17.5 ± 4.6 \( \mu \)m, \( n = 100 \)), whereas the chains of the planktonic form can be several hundred microns wide due to the three-dimensional orientation of the setae. The intercalary seta length of the sea ice form is much shorter than the planktonic form, being 31.1 ± 8.0 \( \mu \)m on average (\( n = 100 \)) versus 100–250 \( \mu \)m (Assmy et al. 2008, Heiden and Kolbe 1928). The terminal setae of the sea ice form are
nearly as short (33.1 ± 4.8 μm, n = 50) as the intercalary ones. Thus, the intercalary and terminal setae of the sea ice form are 3–8 times shorter than the planktonic form. In lateral view, it is clearly visible that the setae are bent inside after the point of fusion (Fig. 2e). Subsequent cell divisions inside the sea ice will result in long chains of the sea ice form (Fig. 2f) occasionally in spiraling chains (Fig. 2g) that are unparalleled in the planktonic form. The
The apertures of the sea ice form are usually much narrower (17.6 ± 2.7 μm) along the pervalvar axis than those of the planktonic form (31.1 ± 2.7 μm) (Fig. 3a), which results in a rather square aperture shape in the former as compared to a rectangular one in the latter. As a result, the chain length of the sea ice form is shorter than in the planktonic one. The combined reduction in seta length and aperture width equates to a more than two orders of magnitude lower MSR of the sea ice form as compared to the planktonic form (Fig. 3b).

Culture observations of the sea ice form

These field observations are further supported by both LM and EM micrographs from genetically characterized strains of *C. dichaeta* from the Polar Frontal Zone of the Southern Ocean (Fig. 4a–f). The shape and orientation of the setae closely resemble those of the sea ice form. We observed chains with setae bent both inwards and outwards within the same chain (Fig. 4a), as well as specimens in which all the setae in a chain remained trapped within the confines of the valve margin (Fig. 4b).

Intermediate forms

Thus, we have noticed that the planktonic and sea ice forms are sometimes present in a single population and that both forms can occur even within a single chain. Although a considerable degree of morphological plasticity has been reported for *C. dichaeta* (Assmy et al. 2008), the complete absence or presence of the part of the setae that bends outwards from the cells constitutes a whole new dimension of adaptability, considering that the setae are the hallmark features of the genus *Chaetoceros*. Below, we outline the possible process whereby one form can transform into the other and discuss the ecological implications of such a phenomenon.

Transition from planktonic to sea ice life style and vice versa

When the planktonic spiny chains of *C. dichaeta* become trapped in sea ice, their somewhat fragile setae are liable to break (Fig. 5a). Subsequent cell divisions within the chain produce the characteristic sea ice form with shortened setae bent inside (Fig. 5a). Terminal valves of the sea ice form with terminal setae bent inside instead of outwards can be created only when chain separation occurs during growth within the sea ice (Fig. 5b) or broken terminal setae (Fig. 5a) of the planktonic form are healed (Fig. 5c). In the sea ice form, the number of cells in a chain can provide information on the number of divisions that have taken place in the sea ice; for example, the chain shown in Fig. 2f has undergone 5 divisions, while chains having undergone as many as 7 divisions have been observed (Fig. 1b). Once the chain is released from the sea ice, the setae of both the terminal and intercalary (Fig. 5d, e) valves start to grow outwards to take up their normal position and shape. However, this transformation is neither immediate nor synchronous, and so examples of transitional forms can be seen, with chains sporting both sea ice- and planktonic-type cells (Fig. 5f–h).

**Discussion**

Morphological adaptation to the sea ice environment

The sea ice form of *C. dichaeta* differs in morphology and dimensions from the original description (Ehrenberg 1844) and subsequent emendations of the planktonic form
Van Heurck (1909) was the first to show that in sea ice the setae remained trapped inside the aperture between neighboring cells and occasionally the terminal setae were also bent inside the chain axis. Later, similar chains encountered in phytoplankton samples were referred to as anomalies (Mangin 1915). More recently, this convoluted seta morphology within chains of *C. dichaeta* has been reported from Antarctic water (Assmy et al. 2008), sea ice (Bartsch 1989) and sediment (X. Crosta, pers comm.) samples; however, to date, no reasonable explanation has been given as to why such chains exist. Differences in the shape and size of the setae and apertures between the planktonic and sea ice forms are the most important morphological features distinguishing the two forms of *C. dichaeta* and result in two orders of magnitude lower MSR in the case of the sea ice form. This morphological plasticity in the vegetative phase of *C. dichaeta* conveys an adaptive advantage in the confined space within sea ice.

**Fig. 4** Light (a, b) and electron (c - TEM; d, e, f - SEM) micrographs of *C. dichaeta* in culture. a Setae bent both inwards and outwards within the same chain (strain MM19-C5), b chain where all setae remain trapped within the apertures (strain PA17-D4), c two sibling valves interconnected by setae bent inside—note that the upper valve is partly covered by the distal portion of a seta of another cell (strain MM19-C5), d intercalary setae trapped within the aperture (MM19-D3), e convoluted seta orientation, with the setae bent inwards instead of outwards (MM19-C5), f same as e but maternal girdle bands still cover part of the aperture (MM19-C5).
were also sometimes bent inside (Van Heurck 1909, Pl.V, Figs 78, 80, 82). Frenguelli (1943) described these setae as broken or worn and both Mangin (1915, Fig. 26 II) and Manguin (1960, Fig. 102) illustrated two adjoined cells of *C. dichaeta* with terminal setae partly bent inside, which were described as anomalies. However, this convoluted

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**Fig. 5** Light (a–e) and scanning electron (f–h) micrographs of *C. dichaeta* intermediate forms. a, b chain with intercalary sea ice form connections and broken planktonic setae in terminal cell c truncated terminal setae that have apparently healed, d terminal setae of intermediate between sea ice and planktonic form. Note intercalary setae longer than in sea ice form, e one pair of setae bending outwards, f terminal setae of planktonic shape and intercalary setae of sea ice shape, g sea ice and planktonic intercalary setae within the same chain. Note the smaller aperture in the sea ice as compared to the planktonic form, h chain with mixed sea ice and planktonic forms
seta morphology within chains of *C. dichaeta* has been reported by Jousé (1977, Pl. 68, Fig. 22) and Bartsch (1989, Pl. 1, Fig. E) from Antarctic sea ice samples. These observations of aberrant seta morphology are in accordance with our findings from samples taken from sea ice in Admiralty Bay and the marginal sea ice zone (MIZ) and clonal cultures from the Antarctic Circumpolar Current (ACC). We do not consider these forms of *C. dichaeta* as anomalies, as was earlier assumed (Mangin 1915; Manguin 1960), but as a specialized form occurring in sea ice.

*Corethron pennatum* is the only diatom species for which movable elements have been observed to date (Thomas and Bonham 1990). During vegetative cell division, the long, barbed spines hinged at the base of the valve are released from the mother cell by an auxiliary ring of short, hooked spines and swivel in their sockets into the desired angle of 45° with respect to the valve face. In contrast, the orientation of the setae in *C. dichaeta* is not constrained by morphology but by the environmental conditions within the narrow brine channels. Thus, the complex engineering in *C. pennatum* is determined intrinsically, whereas in *C. dichaeta*, the external environmental conditions trigger the changes in seta morphology. Here, for the first time, we can show the rapid morphological adaptation of a diatom species to changing environmental conditions.

Ecological implications

Many organisms have adapted to living in both sea ice and open water (Smetacek and Nicol 2005), even though the physico-chemical properties inside sea ice are radically different from those in the open ocean (Gleitz and Thomas 1993). The ice edge therefore serves as a distinct boundary for different life cycle stages during the formation and melting of the sea ice (Fryxell 1989), where adaptations are for different life cycle stages during the formation and melting of the sea ice (Kottmeier and Sullivan 1990). The elevated winter division rates of the *C. dichaeta* sea ice form could be explained by a spell of warmer weather (temperatures slightly above 0°C) between July 26 and August 04, 1983, accompanied by strong winds and wave action (R. Ligowski pers obs), which certainly contributed to changing sea ice conditions. After this weather event, an increase in the abundance of drifting pieces of sea ice, colored brown by diatoms, was observed in coastal waters in different bays (Bujnitsky 1968; Ligowski 1987).

It is safe to assume that the average width in brine channels, cavities and cracks in the sea ice is so narrow that *C. dichaeta* can only grow there in the sea ice form in order to avoid unnecessary mechanical damage to the delicate setae. Indeed, it has been shown that even small disturbances will immediately stop seta growth (Pickett-Heaps 1998). Mechanical disturbances during seta growth are much more likely encountered within the confined brine channels as compared to the open water column and thus explain the predominance of the sea ice form in the sea ice environment. Intermediate forms as depicted in this study and illustrated in a scanning electron micrograph of *C. dichaeta* from the Southern Ocean Iron Experiment (SOFeX) featured on the front cover of volume 304 of the journal ‘Science’ (image by S.J Tanner and B. Breger 2004) are likely the result of either recent release from
melting sea ice and/or mechanical disturbances in the water column (e.g., handling by copepods). The presence of convoluted setae in *C. dichaeta* cultures that were maintained at temperatures above the freezing point of sea water was likely due to the settling of chains to the bottom of the culture flasks, which might have hampered the emergence of the setae into three-dimensional space characteristic of the planktonic form. However, we cannot rule out the possibility that the sea ice forms found in culture were artifacts of cultivation since the *C. dichaeta* strains had already been maintained in culture for two years at the time the micrographs were taken.

The high abundance of *C. dichaeta* in sea ice in winter was observed when its abundance in open water was very low (Scharer 1991; Gleitz et al. 1998), although *C. dichaeta* can occur simultaneously in both plankton and sea ice assemblages in winter (Garrison et al. 1993). This seeding strategy will give them an advantage in early summer during the initiation of phytoplankton growth. Our hypothesis is confirmed by the high cell density of *C. dichaeta* in the MIZ or after ice break-up, when it accounted for a large fraction of the total chlorophyll a (Kang and Fryxell 1993) or total phytoplankton abundance (Froneman et al. 1996; Waters et al. 2000; Pakhomov et al. 2001; Gomi et al. 2007; Olguin and Alder 2011; Riaux-Gobin et al. 2011).

Valves of the sea ice form of *C. dichaeta* have been observed in sediments underlying the Indian Sector of the Southern Ocean (Jousé et al. 1962; X. Crosta, pers comm), suggesting that they could be a potential proxy of past sea ice extent.

In this study, we cannot disentangle the selective pressures that led to the formation of the setae in the genus Chaetoceros, but a comprehensive circumscription of the family *Chaetocerotaceae* (Kooistra et al. 2010) indicates that the setae were not acquired to accommodate plastids in order to improve CO₂ or light capture but were acquired first for other reasons. On the other hand, being trapped in the sea ice solves the buoyancy problem, provides an optimal location for maximizing access to the low surface irradiance levels throughout the winter months and minimizes grazer-induced mortality. In this way, the sea ice form of *C. dichaeta* can enjoy continued growth and accumulate over the winter, although at low division rates. Since the transition from the sea ice to the planktonic form does not involve a cell division step, *C. dichaeta* has a clear advantage over many other sea ice edge diatoms that have not evolved such a seeding strategy. Following the melting of the retreating sea ice edge in spring/early summer, the seeding strategy of *C. dichaeta* will therefore enable it to outnumber many other diatom species and maximize its utilization of the new nutrient supply. This is corroborated by bottle incubation (Timmermans et al. 2001) and in situ iron fertilization experiments (Hoffmann et al. 2007) where *C. dichaeta* has been shown to respond rapidly to favorable growth conditions. This species might therefore rapidly colonize the water column under favorable growth conditions during the austral spring. The dominance and ecological significance of *C. dichaeta* in Southern Ocean phytoplankton assemblages can therefore be explained by its extraordinary life cycle adaptation to the dynamic ice-water interface of polar oceans.

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