Deconfined criticality in the frustrated Heisenberg honeycomb antiferromagnet

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Using the density-matrix renormalization group, we determine the phase diagram of the spin 1/2 Heisenberg antiferromagnet on a honeycomb lattice with a nearest neighbor interaction $J_1$ and a frustrating, next-neighbor exchange $J_2$. As frustration increases, the ground state exhibits Néel, plaquette and dimer orders, with critical points at $J_2/J_1 = 0.22$ and $0.35$. We observe that both the spin gap and the corresponding order parameters vanish continuously at both the critical points, indicating the presence of deconfined quantum criticality.

**Introduction** Models of frustrated magnetism on the honeycomb lattice have lately received tremendous interest. This interest stems from sign-problem-free Quantum Monte Carlo (QMC) studies which have established the presence of a spin liquid phase in the honeycomb Hubbard model [1]. Approaching from the strong coupling side, the physics at intermediate values of the Hubbard interaction $U$, for which the novel spin liquid phase has been found, can be described by the spin 1/2 Heisenberg model characterized by an antiferromagnetic interaction $J_1$ between neighboring spins and a frustrating, next-nearest neighbor exchange $J_2$. When the frustration is small and $J_2$ weak, the well-known Néel ordered state is stable, but at a critical value of $\alpha = J_2/J_1$ it gives way to another, possibly liquid, phase. While all studies so far agree upon the presence of a phase transition, the nature of this intermediate phase that is reached by the transition out of the Néel state is heavily debated. The intermediate phase has been identified as a Z2 spin liquid by some [2,4] and as a plaquette-Resonating Valence Bond (pRVB) state, breaking translational symmetry, by others [5,6]. A recent variational calculation argues instead that the intermediate state does not have plaquette order [8]. Upon further increasing the frustration parameter $\alpha$, a second transition takes place into a ground state that breaks lattice rotational symmetry but may or may not have magnetic order.

We analyze this complex situation by formulating and answering four succinct fundamental questions on the $J_1 - J_2$ honeycomb Heisenberg model: (i) As to the Néel state: do quantum fluctuations tend to stabilize or destroy it? In other words, does Néel order vanish above or below the classical threshold of $\alpha = 1/6$? (ii) What is the nature of the intermediate state? Is it a liquid state or does it have plaquette order? (iii) What is the ground state for large $\alpha$? Does it have magnetic order? (iv) What is the nature of the two phase transitions? Do the order parameters develop discontinuously or continuously across the quantum critical points?

We use nominally-exact two-dimensional density-matrix renormalization group (DMRG) calculations to settle these issues and establish that: (i) Néel order is stabilized beyond the classical limit, up to $\alpha_{c1} = 0.22$ (ii) the intermediate state has weak plaquette order with $f$-wave symmetry, and (iii) for $\alpha_{c2} > 0.35$, the ground state has dimer order and breaks lattice rotational symmetry. These results are summarized in the phase diagram shown in Fig. 1. Moreover, we find that within numerical precision, (iv) both the spin gap and the relevant order parameters vanish continuously, at both critical points $\alpha_{c1}$ and $\alpha_{c2}$. This implies that even if two different symmetries are broken on either side of $\alpha_c$, the transition is not first-order, as one would expect from a Ginzburg-Landau-type theory. Having two second-order transitions between the Néel, plaquette and dimer phases, implies that the critical theory for these transitions is unusual and is not described in terms of the order parameter fields of either phase. It indicates instead the presence of two deconfined quantum critical points [9,10].

**Frustrated honeycomb Heisenberg model** The Hamiltonian corresponding to the $J_1 - J_2$ Heisenberg model on a honeycomb lattice is

$$H = J_1 \sum_{\langle ij \rangle} \mathbf{S}_i \cdot \mathbf{S}_j + J_2 \sum_{\langle\langle ij \rangle\rangle} \mathbf{S}_i \cdot \mathbf{S}_j,$$

where $\langle ij \rangle$ and $\langle\langle ij \rangle\rangle$ denote nearest neighbor and next-nearest neighbor sites $i$ and $j$, respectively, and $\alpha = J_2/J_1$ parameterizes the strength of the frustration. We consider antiferromagnetic coupling: $J_1, J_2$ and $\alpha$ are all positive.
The model is well understood in the classical limit: at the critical value of $\alpha = 1/6$, Néel order gives way to a spiral state with interesting order-by-disorder physics. However, in the extreme quantum limit of $S = 1/2$, the phase diagram is not well established [2,3]. We use DMRG to resolve this issue.

**Method.** Our DMRG is truly two-dimensional – we consider clusters with various geometries chosen to be conducive to various ordering patterns. It is well known that one can lift the degeneracy of wave functions by taking some or all edges to be open. We use appropriate edge geometries as weak perturbing fields to induce symmetry breaking in the ground state. By performing measurements in the center of the cluster, one can estimate the order parameter induced by the edge geometry. Upon systematically increasing the size of the system, the effect of the edges becomes progressively weaker and thus, by scaling to the thermodynamic limit, we can obtain the value of the order parameter in the ground state. In all cases, we have obtained smooth finite size scaling which indicates that our results exhibit a steady convergence to the thermodynamic limit.

As described below, we have used a variety of cluster geometries appropriate for each phase. Note that the performance of DMRG calculation is equally stable for any ordered phase at $\alpha < \mathcal{O}(1)$. We study several cluster sizes with total number of sites up to 96 and keep up to 6000 density-matrix eigenstates in the renormalization procedure. We perform $\sim 10$ sweeps until the ground-state energy converges within an error of $\sim 10^{-5}J_1$. All quantities calculated in this letter have been extrapolated to the limit $n \to \infty$, where $n$ is the number of retained eigenstates.

**Quantum stabilization of Néel order** We first determine the value of $\alpha$ at which Néel order vanishes and establish the role of quantum fluctuations in this process. Naïvely, one expects quantum fluctuations to destabilize Néel order for $S = 1/2$, thereby pushing the $\alpha_{c1}$ to a value below 1/6. On the other hand, as the Néel state is collinear, quantum fluctuations may prefer the Néel state over a competing spiral phase and push $\alpha_{c1}$ above 1/6. Even though various approaches have been used to resolve this issue, a consistent picture has not emerged so far. Calculations which support the hypothesis that $\alpha_{c1} < 1/6$ include linear spin wave theory [12], one-loop renormalization group study of the non-linear sigma model [14], functional renormalization group analysis [15], and a Variational Monte Carlo (VMC) approach using RVB and Huse-Elser wavefunctions [4]. On the other hand, approaches which support the $\alpha_{c1} > 1/6$ hypothesis include exact diagonalization (ED) [5, 6], Schwinger boson mean-field theory [13], series expansions [16], coupled-cluster calculations [7] and a VMC calculation using entangled plaquette states [8].

The DMRG results presented in Fig. 2 conclusively establish that quantum fluctuations stabilize Néel order beyond the classical regime of stability. We have used two cluster geometries – diamond and hexagonal [Fig. 2a, b]. One should be aware that periodic boundary conditions in some direction artificially enhance or diminish Néel correlations due to short range periodicity. This finite-size effect decays only slowly with increasing cluster size. To circumvent this issue, we keep all edges of the clusters open and measure the following order parameter as a function of $\alpha$:

$$m^2(N) = \frac{1}{N} \left( \sum_i (-1)^i \vec{S}_i \right)^2 .$$

As shown in Fig 2, this quantity shows good finite-size scaling with terms proportional to $1/L$ and $1/L^2$, where $L$ is the linear extent of the system. In the unfrustrated situation ($\alpha = 0$), the staggered moment $m$ in the thermodynamic limit comes out to be $0.2857 \pm 0.039$ which is consistent with previously estimated values of $0.2677(6)$ and $0.270$ obtained from QMC [17] and ED [6] respectively. As $\alpha$ increases, the obtained value of the Néel order parameter steadily decreases. At the critical value of $\alpha_{c1} \sim 0.22$, we observe that Néel order vanishes in a continuous transition as shown in Fig 2e. Both diamond and hexagonal cluster geometries give the same value of $\alpha_{c1}$, which signals the robustness of our result. Thus, quan-
precise value of significant correction to the critical frustration ratio. The fluctuations to stabilize Néel order beyond the classical limit.

Non-linear spin wave analysis The excitations of the Néel state are well captured by spin wave theory, which treats quantum fluctuations using an expansion in powers of $S$. Linear spin wave theory with $O(S^1)$ terms gives $\alpha_{c1} \sim 0.11$ \cite{12}, which is below the classical threshold. To reconcile this with the observed DMRG phase boundary, we take into account the quartic spin wave interaction terms of order $O(S^0)$. We treat the interactions at mean-field level (for details, see Supplementary Material) and observe that the Hartree Fock parameters merely renormalize the strength of the $J_1$ and $J_2$ couplings. This effectively scales the frustration parameter $\alpha = J_2/J_1$ down so that the Néel state only becomes unstable beyond $\alpha \sim 0.214$. The quartic terms thereby provide a significant correction to the critical frustration ratio. The precise value of $\alpha_{c1}$ may depend upon further corrections beyond quartic order. Nevertheless, non-linear spin wave analysis confirms the strong tendency for quantum fluctuations to stabilize Néel order beyond the classical limit.

Intermediate plaquette phase We observe the presence of an intermediate plaquette-RVB (pRVB) phase, as suggested previously \cite{12,13,14}, for $0.22 \leq \alpha \leq 0.35$. This state consists of a $\sqrt{3} \times \sqrt{3}$ arrangement of plaquettes as shown in Fig. 1 – each shaded plaquette is in an antisymmetric combination of the two Kekulé singlet covers. To test for plaquette order in the ground state, we choose the cluster geometry shown in Fig. 3 which favors plaquette order (this also favors columnar dimer order \cite{15}, but we have explicitly checked that it order does not occur). This choice of boundary conditions acts as a weak field which induces plaquette ordering as shown by the shaded hexagons in Fig. 3a. To determine the pRVB order parameter, we first define the two single-plaquette states $|a\rangle$ and $|b\rangle$ – the two Kekulé singlet covers of a single hexagon. The $f$-wave, antisymmetric, pRVB wavefunction is given by $|\sim \rangle \sim |a\rangle - |b\rangle$, upto a normalization constant. The order parameter corresponding to pRVB order is the projection onto the antisymmetric wavefunction: $\hat{O}_{pRVB} = |\sim\rangle\langle \sim|$ acting on a shaded plaquette in Fig. 3a. We use the closely related plaquette-flip operator which flips the two Kekulé covers:

$$\hat{P} = -|a\rangle\langle b| - |b\rangle\langle a|.$$  \hfill (3)

If the plaquette is in the pure $|\sim\rangle$ state, this operator has expectation value 5/4 (details in Supplementary Material). For the case of $s$-wave pRVB order, this expectation value would be negative.

To determine the strength of the pRVB ordering at the cluster center, we define $\langle P_{\text{central}} \rangle$ as the average of $\langle P \rangle$ over three plaquette-ordering hexagons at the center of the system. As seen from our cluster geometry in Fig. 3a, one cannot always identify a single central plaquette for a given $L$. But we can always identify a central triad of plaquettes. Finite size scaling of $\langle P_{\text{central}} \rangle$ provides the strength of pRVB order in the limit of infinite system size. Consistent with $f$-wave pRVB order, this expectation value is positive for $0.22 \leq \alpha \leq 0.35$. Fig. 3b shows the finite size scaling of $\langle P_{\text{central}} \rangle$ which indeed scales to a positive value in thermodynamic limit. Also we find a finite spin gap that is consistent with $\sqrt{3} \times \sqrt{3}$ plaquette ordering. We note, however, that strong quantum fluctuations reduce the amplitude of plaquette ordering: $\langle P_{\text{central}} \rangle \rightarrow 0$ for $\alpha = 1.0$.

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\[\alpha \gtrsim 0.35 \text{ using the cluster geometry in Fig.4a. We use open boundary conditions in the } x \text{ direction and periodic boundary conditions along } y, \text{ thus breaking the degeneracy associated with threefold lattice rotational symmetry. The cluster favors bond ordering with horizontal dimers as shown in Fig.4a. We first measure the breaking of lattice rotational symmetry by evaluating the expectation value of}
\[\hat{R} = S_A \cdot S_B - S_B \cdot S_C,\]
\[\text{where the sites } A, B \text{ and } C \text{ are chosen close to the center of the system (see Fig.4a)}. \text{ We determine the expectation } \langle \hat{R} \rangle \text{ while systematically increasing system size. If the true ground state breaks lattice rotational symmetry, we expect this quantity to scale to a non-zero value in the thermodynamic limit. For finite size scaling, we first take } L_x \to \infty \text{ followed by } L_y \to \infty. \text{ This sequence of limits ensures that there is no degeneracy arising from lattice rotations. We obtain smooth finite size scaling by restricting ourselves to even values of } L_y, \text{ as shown in Fig.4b}. \text{ Including odd } L_y \text{ values leads to small oscillations preventing smooth scaling.}

\[\text{Fig.4b shows that } \langle \hat{R} \rangle \text{ scales to a non-zero value for } \alpha \gtrsim 0.35, \text{ clearly establishing broken lattice rotational symmetry in the ground state. However, this is consistent with two ground state candidates – dimer order or magnetic stripe order }\]

\[\text{To distinguish between these two, we measure the spin gap. The finite size scaling for spin gap is shown in Fig.4b}. \text{ The error bars shown in Fig.4b are associated with the choice of } \nu \text{ to fit the data points. For } 0.35 \lesssim \alpha \lesssim 0.6, \text{ the spin gap scales to a non-zero value robustly. For } \alpha \gtrsim 0.7, \text{ it is not possible to determine reliably whether the spin gap closes. The non-zero spin gap clearly indicates dimer order and rules out the presence of broken spin rotation invariance.}

\[\text{Nature of phase transitions} \text{ We have clearly demonstrated the presence of Néel, plaquette and dimer orders. Naively, one expects first-order quantum phase transitions (QPTs) between these phases as they break different symmetries. Our DMRG results, however, evidence a continuous transition out of the Néel phase: as can be seen from Fig.4, the Néel order parameter vanishes continuously at } \alpha_{c1} = 0.22. \text{ This implies the presence of an exotic deconfined QPT [7]. Approaching from the pRVB side, the quantum field theory governing this deconfined transition must involve spinons coupled to vortices in the pRVB order parameter [19]. This is an exciting proposition as a deconfined QPT in a model with realistic Heisenberg interactions has not been identified before. Surprisingly, DMRG results suggest that also the plaquette-dimer transition is continuous. As seen from Fig.4a and Fig.4b at } \alpha_{c2} = 0.35, \text{ there is no evidence for either plaquette ordering or a breaking of lattice rotational symmetry. More detailed work will be needed to study the vicinity of these transitions, to extract critical exponents and to rule out weak first-order behavior or the presence of a different small intervening phase. If there is indeed a continuous transition between dimer and plaquette phases, it would be yet another Landau-forbidden QPT within the same model. The field theory corresponding to this transition would be of immense interest.}

\[\text{We thank I. Rousochatzakis for many useful discussions.}

\[\text{Note added: during the preparation of this manuscript a DMRG study in Ref. [21] reported a similar sequence of Néel, plaquette and dimer order as well as the continuous nature of the transition out of the Néel phase.}

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Supplementary Material for: 
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Non-linear spin wave theory

To analyse the excitations about the Néel state, we follow the spin wave formalism of Ref. [1]. Using the Holstein Primakoff representation, the Hamiltonian is expanded in powers of the spin length $S$. Ultimately however, we will set $S = 1/2$. The classical energy of the Néel state is given by terms proportional to $S^2$

$$ E_{C1} = NS^2 \left[ - \frac{3}{2} J_1 + 3 J_2 \right], $$

where $N$ is the total number of spins. The quantum correction, of order $S$, is given by

$$ H_{qu} = \sum_k \left( a_k^+ b_{-k} \right) \left( A_k^T B_k \right) \left( a_k^+ b_{-k}^+ \right), $$

where

$$ A_k = S \left[ 3 J_1 - 6 J_2 + 2 J_2 \left\{ \cos k_a + \cos k_b + \cos (k_a + k_b) \right\} \right], $$

$$ B_k = - S J_1 \gamma_k. $$

We have defined $\gamma_k = \sum_\delta \delta e^{i k \cdot \delta}$, where $\delta$s are the nearest neighbour vectors. The quantities $k_a$ and $k_b$ are components of momentum along two primitive lattice vectors of the triangular Bravais lattice. The operator $a_k^+ (b_k^+)$ creates a spin wave excitation on the A (B) sublattice. This Hamiltonian matrix can be diagonalized by a bosonic Bogoliubov transformation with the eigenvalue

$$ \lambda_k = \sqrt{A_k^2 - |B_k|^2}. \quad (3) $$

For $J_2 > J_1 / 6$, the spin wave energy $\lambda_k$ becomes complex near the $\Gamma$ point indicating that Néel order is unstable. We next include the quartic corrections arising from spin wave interactions by retaining terms of order $O(S^4)$. There are no cubic terms. The interaction terms proportional to $J_1$ are given by

$$ H_{J_1}(O(S^4)) = \frac{J_1}{4} \sum_{i, \delta} \left[ a_i b_j^+ b_j^+ a_i + a_i^+ a_i a_j a_j^+ \right. $$

$$ + a_i^+ b_j b_j^+ a_i^+ + a_i^+ a_i^+ a_j^+ a_j^+ - 4 a_i^+ a_i^+ b_j b_j^+ \right]. \quad (4) $$

The index $j$ stands for $i + \delta$. The quartic terms proportional to $J_2$ are given by

$$ H_{J_2}(O(S^4)) = -\frac{J_2}{8} \sum_{i, \eta} \left[ a_i a_i^+ a_m^+ a_m + a_i^+ a_i a_m a_m^+ \right. $$

$$ + a_i^+ a_i^+ a_m^+ a_m + a_i^+ a_i^+ a_i a_i^+ - 4 a_i^+ a_i^+ a_m a_m^+ \right] + (a \rightarrow b). \quad (5) $$

The index $m$ stands for $i + \eta$, where $\eta$ is a next-nearest neighbour vector.

We treat these terms using the Hartree Fock approach. Using Wick’s theorem, we replace bilinears with their expectation values and ignore the remaining normal ordered quartic interaction piece. We take only the following bilinears to have non-zero expectation values:

$$ \langle a_i^+ a_i \rangle = \langle b_i^+ b_i \rangle = n, $$

$$ \langle a_i b_i \rangle = p, $$

$$ \langle a_i^+ a_i^+ \rangle = h. $$

These are the only bilinears which have non-zero expectation values within the quadratic theory. This choice of order parameters leads to a self-consistent theory that does not induce extra bilinears with non-zero values. The quantities $n$ and $h$, being expectation values of Hermitian operators, are real. We take $p$ to be real, as it is real within the quadratic theory. Using the symmetries of the underlying Néel state, we take these three quantities to be independent of position and choice of neighbour $(\delta, \eta)$.

The interaction terms can be decoupled as

$$ H_{J_1}(O(S^0)) = J_1 \sum_k \left[ 3(p - n) \langle a_k^+ a_k + b_k^+ b_k \rangle \right. $$

$$ + \left. \{ n - p \} \gamma_k (a_{-k} b_k + a_k^+ b_{-k}^+) \right]. $$

$$ H_{J_2}(O(S^0)) = J_2 \sum_k \left[ 6 \langle n - h \rangle \langle a_k a_k \rangle \right. $$

$$ - \{ n - h \} \mu_k (a_k^+ a_k) \right] + (a \rightarrow b). \quad (9) $$

Here, $\mu_k = \sum_\eta \exp(ik \cdot \eta)$. With this decoupling, these terms enter the quadratic Hamiltonian in Eq.(2) We have

$$ A \rightarrow A + 3J_1(p - n) - 6J_2(h - n) + J_2(h - n) \mu_k $$

and

$$ B \rightarrow B + J_1 \{ n - p \} \gamma_k. $$

Clearly, the Hartree Fock decouplings merely renormalize the exchange couplings $J_1$ and $J_2$. We have

$$ J_1 \rightarrow J_1(1 + (p - n)/S); \quad J_2 \rightarrow J_2(1 + (h - n)/S). $$

We obtain the Hartree Fock parameters $n$, $h$ and $p$ self-consistently. For every ‘bare’ value of $J_2/J_1$, we obtain a renormalized value of $J_2/J_1$ as plotted in Fig. 1. Fig. 2 plots the obtained value of $n$. When $n \sim S$, the Néel
FIG. 1. Effective $J_2/J_1$ obtained after Hartree-Fock treatment of interactions. Note that the effective $J_2/J_1$ lies only approaches the instability threshold 1/6 when the bare ratio $\sim 0.95$.

FIG. 2. Plot of the order parameter $n$. When $n \sim S$, Néel is expected to become unstable to quantum fluctuations. For $S = 1/2$ (shown as a dotted line), this happens at $(J_2/J_1)_{\text{bare}} \sim 2.14$.

moment is renormalized to zero and Néel order is expected to become unstable to quantum fluctuations. For $S = 1/2$, this happens for $(J_2/J_1)_{\text{bare}} \sim 0.214$.

Our self-consistency equations are equivalent to a particular formulation of Schwinger Boson mean-field theory. This connection between two very different methods has been pointed out earlier for the case of the square lattice [2]. Thus, our value of critical $J_2/J_1$ is close to Schwinger Boson mean-field result of Ref. [3].

**Plaquette operators**

On a single plaquette, we denote the two Kekulé singlet covers as $|a\rangle$ and $|b\rangle$. We take these states to be normalized. They are however not orthogonal with $\langle a|b \rangle = -1/4$, upto a phase that can be gauged away. We denote symmetric (s-wave) and antisymmetric (f-wave) combinations of these covers as

$$|+\rangle = \sqrt{\frac{2}{3}} (|a\rangle + |b\rangle), |\rangle = \sqrt{\frac{2}{5}} (|a\rangle - |b\rangle).$$

With this definition, we have $\langle +|+ \rangle = \langle -|- \rangle = 1$ and $\langle +|- \rangle = 0$. On an isolated plaquette, this operator takes the expectation values:

$$\langle a|\hat{P}|a \rangle = 1/2, \quad \langle b|\hat{P}|b \rangle = 1/2$$
$$\langle +|\hat{P}|+ \rangle = -3/4, \quad \langle +|\hat{P}|\rangle = 0, \quad \langle -|\hat{P}|\rangle = 5/4.$$  

Our cluster supports pRVB order. With pure pRVB ordering, the central plaquette must be in the $|-\rangle$ state. We find a positive expectation value for $\hat{P}_{\text{central}}$ which supports the hypothesis that the central plaquette is in the $|-\rangle$. However, the expectation value is less than 5/4 which indicates that quantum fluctuations reduce the strength of pRVB order. Our cluster geometry can also accommodate c-VBS order, in which case the central plaquette would be in a pure $|a\rangle$ or $|b\rangle$ state. We have explicitly checked that this does not occur.

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