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A qualitative study of self-evaluation of junior doctor performance: is perceived ‘safeness’ a more useful metric than confidence and competence?

Damian Roland,1,2 David Matheson,3 Timothy Coats,2 Graham Martin1

ABSTRACT

Objective: The terms confidence and competence have been poorly defined and are often misused by junior doctors. Given safe practice relies on healthcare professionals being aware of their own skill sets improving self-assessment of confidence and competence is important. The aim of this work was to explore junior doctors’ understanding of how they perceive their own performance in respect of managing feverish children in an emergency department.

Setting: A children’s emergency department in a tertiary hospital in the East Midlands, UK.

Participants: 22 Junior doctors volunteered to undertake focus groups via a meta-planning methodology over 2 years (14 participants in the first year and 8 in the second).

Results: Although doctors were aware of the difference between confidence and competence they were not able to distinguish between them in practical terms. The feeling of being ‘safe’ emerged as a term in which there was a shared understanding compared to reported confidence and competence.

Conclusions: A perception of ‘safeness’ is a concept that may aid self-evaluation and we present a matrix that might be used by supervisors and educators to examine this and its relationship with confidence and competence.

INTRODUCTION

Self-assessment has long been a key component of medical education and revalidation or Continuing Medical Education of fully trained clinicians,1,2 something Antonelli3 sees as ‘essential to the practice of medicine and self-directed life-long learning’. Self-assessment is a complex, potentially learnt skill, requiring individuals to have insights into their own limitations and competencies.4 This often takes place in feedback during workplace-based assessments where the assessor enquires as to how they think the individual performed. In general terms when evaluating a training programme, researchers and managers are interested in whether participants have gained a greater belief in their abilities at carrying out a particular skill or knowledge set (confidence) and whether they are technically more proficient in putting them into practice (competence). However, there are variations on the definitions of competence and confidence in medical education.5–6

Confidence and competence are associated but there is evidence of both positive linear7 (ie, confidence increasing in parallel with competence), and inverse8 (ie, confidence decreasing as competence increases) relationships. Leopold et al examined performance of knee injection before and after a training intervention. They observed that greater confidence correlated with poorer performance prior to the intervention but this inverse relationship reversed after instruction.8 There is also little correlation between level of confidence and performance for non-technical skills such as clinical or written examination grades. This has been demonstrated both in studies where the term confidence was not explicitly defined to students9 and where it was.10 Definitions are important, but confidence and competence are often simultaneously measured without

Strengths and limitations of this study

- This is the first evaluation of junior doctors’ understanding of the terms confidence and competence across two cohorts on doctors working in the same clinical environment.
- The study utilises a unique form of workshop, a meta-planning focus group, allowing participants to develop and analyse the ideas discussed by recording them on a whiteboard.
- The themes discussed were specific to the management of the feverish child so may not be applicable to other clinical presentations.
clarity in their precise meaning. For example a questionnaire purporting to measure the competence levels of family residents over a 2-year period in fact asked a question on confidence rather than competence. The International Competency-based Medical Education collaboration has emphasised the importance of using precise descriptive qualifiers in definitions of competence. Despite this, there has been little examination of how healthcare professionals understand and use the terms. If confidence and competence are not clearly understood by participants in interventions, it cannot be assumed that self-reported outcomes from the learning are valid, that is, if the participants’ interpretation of confidence differs from the researchers’, how are ‘gains’ in confidence to be interpreted? In order to demonstrate the benefit of educational interventions via self-reported outcome measures, understanding how junior doctors perceive improvement in confidence and competence is therefore essential. Moreover, safe clinical practice depends on being able to recognise the limits of one’s competence, so that a practitioner does not take risks, but is also not so under-confident that she/he is unable to act to prevent critical incidents. From a patient safety perspective, therefore, the relationship between confidence and competence is arguably just as important as the knowledge a clinician possesses. Understanding how doctors interpret the language used to describe their own competencies is therefore critical to patient safety interventions that seek to improve practitioners’ skills and practice.

The aim of this work was to explore junior doctors’ understanding of how they perceive their own performance, in relation to confidence and competence, in respect of managing feverish children in an emergency department. This particular subsection of patients was chosen as it is important patient safety issue in clinical practice for which clear guidance exists.

METHODS
Junior doctors included in the study were in their second foundation (postgraduate) year on an Academic Foundation programme during which time is split between clinical shifts in the hospital and teaching sessions as anatomy demonstrators at the university. All of these doctors (n=14) were asked to participate in a meta-planning workshop; a modified form of focus group. There were no financial incentives to attend but lunch was provided for all participants.

This meta-planning approach was used to encourage group participation and reduce the risk, at least at the outset, of individuals not feeling confident enough to contribute. In summary the meta-planning approach differs from conventional focus groups, and indeed most qualitative approaches, in that the synthesis and analysis of themes is coproduced with the participants. Matheson and Matheson have described the process and use the technique actively in educational workshops.

The group was asked a series of questions with a range of potential answers. The participants wrote their answers on individual ‘post-it notes’. All responses were then brought together and individuals were asked to highlight which answers, whether their own or others’, were their favourites using a predetermined number of votes (ie, they may use more than one vote). The selection of a favourite answer was left entirely at the discretion of the individual with no specific guidance given as to criteria for inclusion. The selection of an individual’s own answer was allowed and this was stated to the participants. The highlighted responses were then discussed by the participants and overarching themes developed to group together those that were closely aligned. A discussion about these themes and other factors then proceeded.

Interested individuals were given an information sheet prior to the focus group with consent taken on the day itself. Sessions were recorded on video to enable a recording of the post-it notes and themes placed on wipe boards. Ethical approval was granted by both Leicester University and a National Health Service (NHS) regional ethics committee to undertake the meta-planning exercise.

RESULTS
Three focus groups took place. The first two included trainees from the foundation year commencing August 2010 and completing July 2011 (2011 Group). These meetings took place on the 12 May 2011 (group one) and 16 May 2011 (group two). Nine participants took part in 2011 group one and seven participants in 2011 group two (two of these participated in both groups). The second 2011 focus group contained a different set of questions (see online supplementary appendix 1) from the first and expanded on a theme specifically around management of the febrile child. The third workshop was for doctors in the clinical year commencing August 2011 to July 2012 (2012 Group) and took place on the 30 July 2012. Eight participants took part in the 2012 group which contained elements of both the first and second 2011 sessions.

A selection of responses to questions “What makes a good and bad doctor and what makes you feel confident and competent?” are shown in table 1 and to the question “What makes you feel more confident and competent?” in table 2. The questions were asked in separate parts to avoid any confusion (the complete set of responses can be found in online supplementary appendices 2 and 3). Figure 1 illustrates the meta-planning exercise collating responses to the question “what makes you feel more confident or competent in dealing with children?” The overlap between terminology used for both confidence and competence was considerable and the participants were unable to reach agreement on how to subdivide any themes that developed.
Themes from discussion

The transcripts of the discussions which resulted from the meta-planning exercise were analysed. An interpretivist approach was taken and examples of some of the comments regarding terminologies and understanding are shown below. The facilitator is (FAC) in the quotes. The year and group is stated at the bottom of each dialogue sequence.

Defining confidence

The groups had difficulties in clearly defining and distinguishing between the terms confidence and competence, although they did recognise a difference. For ‘confidence’ in particular, the importance of recognising over and under-confidence was mentioned by both 2011 groups and 2012 group. Encapsulating when someone became overconfident or under-confident was highlighted as challenging:

(7)—It could also be about lack of confidence. What is the boundary for lack of confidence and overconfidence? [It] Can sometimes be if you lack confidence then you might want to double-check your plan or double-check your management with someone more senior to make sure you’re safe, as ultimately it’s about the patient’s safety than you thinking “yes I have the right diagnosis” [2011 Group One]

Defining competence

As with confidence, the groups could not develop an agreed definition of competence. Participants were surprised by the difficult they had in reaching a clear understanding of its use:

(FAC)—Ok, you have started to allude to it already, what I want you to do is the same. “How do you know when you are competent at something?”

(6)—What is the proper word that we mean? Because when we first started, if you were competent at something you were good and you were safe and you could do it well. When we started here we got taught that competence means that you could do it to the bare minimum expected standard without actually doing any harm, which is not what it meant to me? [2011 Group One]

Although the groups found defining the nature of competence difficult, its importance was clear to them. One candidate commented that they had certain competencies, but not at a high enough level to make some key clinical decisions. Increasing exposure, and in their terms ‘pattern recognition’, were one way competency could be reached:

(2)—Fear of the unknown and fear of whether you are competent. So at the beginning, and also it’s a bit of pattern recognition. A good example is—I didn’t know how sick someone had to be to qualify for going into resus, so it’s not that I didn’t recognise the patient was sick; I just didn’t know at what point they were sick

Table 1 Collation of themes from meta-planning exercise (what makes good and bad doctor?)

| Question                      | 2011 Example responses | Themes                  | Example responses | Themes                  |
|-------------------------------|------------------------|-------------------------|-------------------|-------------------------|
| What makes a good doctor?    | Communication skills approachable good knowledge and accuracy, reliance, problem solving skills and decision making, analytical skills | Competence: Integrity (professionalism highlighted as overarching key word) | Accountability: Does not listen to patients | Lack of knowledge: Poor knowledge |
| What makes a bad doctor?     | Statistical, does not learn from mistakes, lack of confidence, lack of knowledge, lone ranger, poor decision-making, poor communication skills | Arrogance: Does not listen to patients | Lack of confidence: Does not learn from mistakes | Literature: Poor knowledge |

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### Table 2  
Collation of themes from meta-planning exercise (what makes you feel more confident/competent?)

| Question                        | 2011 Example responses                                                                 | Themes                                                                                     | 2012 Example responses                                                                 | Themes                                                                 |
|--------------------------------|----------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------|------------------------------------------------------------------------|
| What makes you feel more competent? | Ability to perform tasks independently  
Completing task several/multiple times under supervision and do it correctly  
Feedback from senior staff  
Able to perform task to required standard  
Safe | The 2011 groups were unable to group the competence and confidence responses under distinct headings | Being able to perform a task and get the expected results (e.g., ring blocks)  
Doing something correctly and appropriately  
To be able to achieve desired result  
Being left to your own devices by seniors  
Positive feedback  
Feedback from Colleagues  
Having done something many times before  
No apprehension before carrying out procedure  
To approach and manage a situation with success and repetitive success  
Observation  
Experience (clinical and theoretical)  
Teaching it and revising it  
When I have seen it before and spoken to someone about it/ got feedback | Doing something correctly  
Positive feedback  
Passed objective training  
Repeated many times  
Being left alone by seniors  
Repeated success  
Reflection positive feedback  
Being aware of pitfalls |
| What makes you feel more confident? | When you can go through the process independently  
Successful outcome on repeated occasions  
Gut feeling  
Comfortable being asked to do the task  
Able to teach others and give feedback  
You feel confident  
Experience (have done it before)  
When you can teach others accurately | | | |
enough to go to resus. That’s a pattern recognition thing, so you start to know, OK I want to admit my patient to resus now, and it comes with experience. Whereas at the beginning there were multiple times where you just didn’t really know what happens. [2011 Group one]

**Competence versus confidence**

Despite not being able to completely allocate components of the two terms to themes, as demonstrated in figure 1, individual participants from both year groups could identify a difference between them.

(8)—So if you are competent at something, it means you are able to do that procedure at the required level. If you are confident it means you are happy with your skills and you can go on and do it. So you could be competent but you might not be confident, as it depends on what the standard of competences based on, so you are confident with your own standards you need to acquire. [2011 Group One]

(6)—I’d say that confidence is subjective, to do a task, whereas competence would be more of an objective measure of “are they actually able to do that job?” [2011 Group Two]

Evident in these kinds of comments was the interaction between competence and confidence. At a theoretical level, they could be distinguished from each other and defined completely; but at a practical level, both were crucial to being a proficient professional with the requisite skills and personal attributes to contribute to the effective care of patients. As this realisation emerged through the course of the discussions, participants in the groups began to suggest alternative, integrated concepts that made more sense to them as a means of assessing their own performance by bringing together the most important aspects of competence and confidence.

**Alternatives to using confidence and competence**

Both groups felt that there were other approaches that could be used in place of confidence and competence as standard terms. The junior doctors themselves picked up on each other’s use of language:

(7) So for me it’s a change in practice rather than me saying I feel more confident if you have a change of practice for the better.

(3)—Also I think getting away from those words. Actually what (7) was talking about is competence, she is just putting it in her own words and if people don’t understand the difference between competent and confidence what you do is use words where you say to them—do you feel that you have learnt more about this procedure and do you think it will affect your practice? [2011 Group one]

One term repeatedly mentioned was ‘safe’, which was commonly understood and agreed universally by the 2011 group as a unifying term:

(3) I think unsafe is almost an umbrella term, kind of like professionalism, because if you are overconfident or if you are under-confident, if you are lazy then any of those things then you are unsafe [2011 Group One]

(FAC) Is there a term that you think you use either instead of confident or competence or is there one that you use to describe both?
may attempt procedures they are not proficient to perform (therefore risking patient safety). Table 3 shows a matrix of reported confidence versus competence and how this may affect patient care based on these extrapolations from the focus groups.

The junior doctors’ own comments regarding their perceptions lend support to the proposed matrix. Given the lack of reliability in defining competence and confidence it is likely the grid represents performance at the extremes rather than a tool that can be applied to all healthcare professionals.

### DISCUSSION

The focus groups demonstrated, across two different years, that although the junior doctors recognised a difference between confidence and competence, they were not able to agree on exactly how. In fact they often grouped descriptors under competence and confidence headings (figure 1). This may help to explain why previous studies have shown no relationship between self-ratings of confidence and actual competence. Little work exists that seeks to explain this weak relationship, though one exception is Stewart et al’s work on junior doctors’ interpretation of these terms. In interviews with four junior doctors, of 1 year less experience than those in this work, similar themes emerged of difficulties with encapsulating exactly what the two terms meant. Stewart et al concluded: “Asking a house officer whether they are confident to perform a task will not identify their beliefs about their competence. Neither will asking them whether they are ‘competent’ to give information on what they would be willing to perform.”

The Stewart study was over a decade ago, but our study suggests that the difficulty with utilising competence and confidence as terms to evaluate educational interventions remain. Certainly there are current examples of unclear applications of the terms in research and training. A recent study looking at the impact of an online learning package in evidence-based medicine used a self-evaluation questionnaire based on scale which had not defined the term confident in its validation stage. Ultimately questions regarding self-assessed competence and confidence following a training intervention may be misinterpreted unless clarifying statements are used to qualify the researcher’s meaning. Self-perception of learning gain following an intervention is an important part of determining educational efficacy so it is important these terms are interpreted correctly.

### Competence versus confidence

Are competence and confidence the best terms to use, even with clear definitions for their use? The appropriateness of the concept of competence in particular has come in for criticism in the literature. Competency has been challenged by Brooks, who argues that competency has arisen from a very behavioural model where
the theory would imply that “training a doctor is qualita-
tively no different than training a touch-typist.” For
Brooks, a focus on competency risks measuring only
against the ability to acquire skills necessary to complete
tasks, rather than assessing the broader knowledge and
values needed to function as a professional. The difficul-
ties of the junior doctors in this study arguably reflect
this tension between task-focused competency and the
more complex reality of becoming a proficient practi-
tioner in a professional sphere. Others highlight the dif-
ference between the way in which experts complete
tasks, rather than assessing the broader knowledge and
values needed to function as a professional. The dif-

| Reported competence | Low | High |
|---------------------|-----|------|
| Reported confidence |     |      |
| Low                 | Self-perception: unsafe | Self-perception: unsafe or Safe |
| High                | Self-perception: safe   | Self-perception: safe          |

The utilisation of perceived safety as a self-
assessment measure has a potentially important practical
application in healthcare. Although the junior doctors
had difficulty defining competence and confidence, there was
general consensus on what it was to feel ‘safe’ in the
management of the febrile child. The concept of
patient safety has been previously defined as “the
prevention of harm to patients”, but the utilisation of
‘safeness’ as an evaluative term has only been explored
in the patient safety literature. Even in this literature
the evaluation centred on the teaching of patient safety
rather than the perception of feeling safe in a particular
competency domain.

An outcome of the focus group work was a potential
additional self-assessment question in respect of evalua-
tion. The utilisation of perceived safety as a self-
assessment measure has a potentially important practical
application in healthcare. Although the junior doctors
had difficulty defining competence and confidence, there was
general consensus on what it was to feel ‘safe’ in the
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‘safeness’ as an evaluative term has only been explored
in the patient safety literature. Even in this literature
the evaluation centred on the teaching of patient safety
rather than the perception of feeling safe in a particular
competency domain.

Brooks argued that competency could only be a sub-
jective process as another competent person, however
‘expert’, was required to make this judgement. He felt
objective criteria would infer that competency is independ-
ent of the assessor. Creating such a grid, like the ROLMA
matrix, provides a way for clinicians to understand this
potentially complex educational theory and being able to
act on the findings. The importance of this becomes
apparent if self-evaluation of safety is added to the matrix.
Even without a concrete definition the focus groups would
imply that those in the low confidence, low competence
category would score themselves as unsafe (table 4) which
would likely to be contrast to their actual clinical perfor-
ance (table 3) while those in the low competence, high-
confidence category would score themselves as safe while
their clinical performance was unsafe.

Little research has been performed on junior doctors’
assessment of their own safety. In a qualitative study
examing the causes of prescribing errors, junior
doctors noted lack of personal knowledge and experi-
ence as key reasons for their mistakes but a prior
assessment of their perception of competence and confi-
dence was not made. The recording of perception of
safeness may be used with the confidence and competen-
tion assessment to highlight individuals most in need of
an intervention or requiring support. For example
those individuals ranking low on competence but high
on confidence perceiving themselves as safe (as per
table 4) may require additional supervision in the work-
place. This adds a visual representation to work on
understanding the overestimation of performance by
individuals of low competency levels. These individuals
are at particular risk because they have little or no
insight into their weaknesses. Triangulation using the
matrix in tables 3 and 4 may be helpful to others inter-
preting these subjective perceptions.

Other tools which enable individuals to examine their
own beliefs may be used in parallel with this process to
gain further insights into behaviours. The Johari
window, a methodology where participants select
characteristics which they think best reflect them, and
these are compared with their peers’ views of the
characteristics that best reflect them, would be one such
approach. Furthermore if complemented with results
from a 360° appraisal, then supervisors may be able to
gain insights into the validity of their juniors’ self-
perceptions. However, this perception of safeness is not
an overall indicator of patient safety in that patient out-
comes are not being examined directly. However, it may
be possible to match perception of safety with actual
clinical performance over the period of doctor’s attach-
ment. This would be a relevant area for future research.

Limitations
There are a number of limitations with this study design
in drawing the conclusions made. The groups were a
specific cohort of junior doctors (chosen because of
their increased availability to partake in the study) who
may not be representative of all doctors on the founda-
tion programme. Those on the academic programme
may have different motivations or perceptions and have
all previously shown an aptitude for teaching or
research. Some of the thinking of the junior doctors was
at relative high levels in Bloom’s taxonomy. They were
synthesising and evaluating on their reflections over the
year and this may not be representative of other groups
of junior doctors who may be at lower levels of the
taxonomy.

Importantly this work was only performed on groups
of junior doctors. Other healthcare professionals may
not have similar views on the difficulties in interpreta-
tion of confidence and competence. This may be par-
ticularly relevant for a consultant group who may have
either increased insight into their own abilities or
perhaps less insight through the habituation of the fre-
quent performance of skills and application of
knowledge. It also did not include the viewpoints of patients who may have different perceptions on the doctor’s ability. Furthermore ‘safeness’ in the context of the patient’s clinical management may not be applicable in other situations such as patient counselling or leading an arrest for example. Culture and environment are important to outcomes of learning and it is possible that the particular circumstances within the emergency department, even over a 2-year cycle, were unique and cannot be replicated elsewhere. Testing the external validity of both these findings and the conceptual framework itself are therefore important.

The themes from the meta-planning phase of the focus groups were extremely useful in formulating the presented conclusions; however they were quite time consuming for the participants. Modifications to the workshops in 2012 aimed to mitigate this but further discussion, and perhaps, individual interviews have given more detailed information conflicting with some of the theories drawn. Future research may wish to examine via focused interviews the proposed constructs, not just with junior doctors, but also with medical educators and consultants.

CONCLUSION

Competency and Confidence are confusing terms for junior doctors but reported ‘safeness’ may help healthcare professionals in training roles explore underlying performance concerns. The tables, based on junior doctors’ own experiences, will hopefully help promote discussion around the terms competence and confidence. Used in conjunction with other forms of evaluation this could then lead to the development of a conceptual framework and greater insight on the part of the junior doctors and greater understanding on the part of those training them.

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