Effect of caudal ketamine on minimum local anesthetic concentration of ropivacaine in children: A prospective randomized trial

CURRENT STATUS: UNDER REVISION

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DOI: 10.21203/rs.2.11369/v1

SUBJECT AREAS
Anesthesiology & Pain Medicine Pediatrics

KEYWORDS
anesthesia, caudal, ketamine, ropivacaine, analgesia, anesthetic techniques
Abstract

Background

Caudal ketamine has been shown to provide an effective and prolonged post-operative analgesia with few adverse effects. However, the effect of caudal ketamine on the efficacy of ropivacaine for intra-operative analgesia is unclear.

Methods

One hundred and sixty-nine children were randomized to five groups: Group C (caudal ropivacaine only), Group K0.25 (caudal ropivacaine plus 0.25 mg/kg ketamine), Group K0.5 (caudal ropivacaine plus 0.5 mg/kg ketamine), Group K0.75 (caudal ropivacaine plus 0.75 mg/kg ketamine), and Group K1.0 (caudal ropivacaine plus 1.0 mg/kg ketamine). The primary outcome was the minimum local anesthetic concentration (MLAC) of ropivacaine with/without ketamine for caudal block.

Results

The MLAC values of ropivacaine were 0.128% (0.028%) in the control group, 0.112% (0.021%) in Group K0.25, 0.112% (0.018%) in Group K0.5, 0.110% (0.019%) in Group K0.75, and 0.110% (0.020%) in Group K1.0. There were no significant differences among the five groups for the MLAC values (p=0.11). During the post-operative period the mean durations of analgesia were 270, 381, 430, 494, and 591 min in the control, K0.25, K0.5, K0.75, and K1.0 groups respectively, which shown significant differences among the five groups (p<0.05).

Conclusions

Adding caudal ketamine to ropivacaine prolong the duration of post-operative analgesia; however, it does not decrease the MLAC of caudal ropivacaine for intra-operative analgesia in children.

Background

A caudal block is commonly used in children during lower abdominal and limb surgeries because it provides safe and effective perioperative analgesia. Single-shot caudal levobupivacaine or ropivacaine provides analgesia for 4-8 hours and has gained popularity with a high success rate and a low incidence of adverse effects [ 
Also, it has been proved that several additives with local anesthetics can prolong the duration of caudal analgesia [3]. Research discovered that the most commonly used additives were clonidine (42.3%), ketamine (37.5%), opioids (18.1%), adrenaline (1.8%), and midazolam (0.3%) [4]. The minimum local anesthetic concentration (MLAC) has been conventionally used for evaluating the relative efficacy of the generally used local anesthetics [5-7]. Many additives have been administered in order to decrease the concentration of local anesthetics for caudal analgesia, while the effect of some additives on the MLAC values of local anesthetics have been explored in some previous studies [8, 9]. Caudal clonidine and dexmedetomidine produced a local anesthetic sparing effect with a dose-dependent decrease in MLAC of levobupivacaine for caudal anesthesia [10].
As a commonly used additive, caudal ketamine has been shown to provide an effective and prolonged post-operative analgesia with few adverse events. However, the effect of caudal ketamine on the efficacy of ropivacaine is unclear. The aim of this prospective, randomized, and double-blind trial was to observe whether caudal ketamine reduces the required concentration of ropivacaine for caudal block in children.

Methods
This study was approved by the Institutional Review Board of Guangzhou Women and Children’s Medical Center (IRB2013053103), and written informed patient consent was attained from the parents or legal guardians of each paediatric patient in this trial. The trial was registered prior to patient enrolment at chictr.org.cn (ChiCTR-TRC-13003492). One hundred and sixty nine ASA physical status I or II children aged from 1 to 3 years old scheduled to undergo elective hydrocele or inguinal hernia repair were recruited. Exclusion criteria included patients with neurological, psychiatric, cardiovascular, respiratory, hepatic, renal diseases or bleeding disorders; or a known allergy history of anesthetics. Using a computer-generated table and a sealed envelope with sequence of numbers, children were randomly allocated into five groups into one of the five groups: Group C (caudal ropivacaine only), Group K0.25 (caudal ropivacaine plus 0.25 mg/kg preservative free ketamine), Group K0.5 (caudal ropivacaine plus 0.5 mg/kg preservative free ketamine), Group K0.75 (caudal ropivacaine plus 0.75 mg/kg preservative free ketamine), and Group K1.0 (caudal ropivacaine plus 1.0 mg/kg preservative free ketamine).

All children underwent preoperative fasting for 6 hours and received no premedication. Standard monitors such as electrocardiography, heart rate, pulse oximetry, and non-invasive arterial blood pressure were attached. Sevoflurane via a face mask was used for anesthesia induction in the
children. After securing intravenous access, 3 mg/kg propofol was intravenously administered to maintain sedation in a single dose or repeated doses. A caudal puncture was performed with a 22-gauge catheter by introducing in the caudal space with the patient in the left lateral decubitus position by a consultant anesthesiologist. After feeling the loss of resistance and checking for the negative presence of cerebrospinal fluid or blood, the ropivacaine with/without preservative free ketamine solution (total volume, 1 ml/kg) was administered via the catheter over 60 s. All inhalational agents were discontinued after the finish of the caudal injection. Continuously intravenous infused propofol (8-10 mg/kg/h) to maintain an adequate depth of sedation. Then the patient was repositioned to supine for surgery. Dermatomal heights or upper limits of the caudal block were not formally assessed by pinprick before skin incision, which was performed at exactly 20 minutes after the caudal injection. Baseline vital signs were collected to ensure a stable state and to discriminate slow onset of analgesia which was regarded as a confounding variable. An anesthesiologist who was unaware of the group assignments and the test concentrations of ropivacaine or ketamine collected the data and evaluated analgesic efficacy. After skin incision, the patient was observed for at least 60 seconds for hemodynamic stability and signs of purposeful movement. The primary outcome was the MLAC of ropivacaine with/without ketamine for caudal block in children. The success of a caudal block was defined as the change in hemodynamic parameters <20% of baseline values and the absence of gross purposeful movement. The modified Dixon’s up-and-down method was used to determine the target concentration of caudal ropivacaine for each pediatric patient, starting from 0.16% in each group, with 0.02% as a step size. According to the response of the previous patient in the same group, the target concentration of caudal ropivacaine was increased or decreased. If it failed, a 0.02% concentration of caudal ropivacaine would be increased for the next patient. If it was successful, the next child could be given caudal ropivacaine where the concentration would be decreased by 0.02%. When a response was determined to be a failure, the surgeon would stop all operations, and a rescue dose of 0.5 mg/kg ketamine would be intravenously administrated immediately to reduce the pain and could be repeated if needed during the surgery. A pair of crossover was defined as the midpoint between failed and successful caudal block in two consecutive
children. And the study would be ended after collecting nine pairs of crossover in each group.

The secondary outcomes of the study were durations of analgesia. In post-anesthesia care unit, electrocardiography, pulse oximetry, non-invasive arterial blood pressure, and heart rate were monitored at 15-minute intervals for 2 hours then at 30-minute intervals until the patients were transferred to the ward. Postoperative analgesia was assessed by using the “Face, Legs, Activity, Cry, Consoling” tool (FLACC). A FLACC score of more than 4 points was considered as inadequate analgesia and was additional orally administrated 10 mg/kg ibuprofen every 6 hour as needed. The duration time of analgesia was defined from caudal block to the first postoperative rescue analgesia.

An independent nurse evaluated the pain scale every hour in 8 hours after the surgery and then every 6 hour as required. Side effects like postoperative nausea and vomiting (PONV), urine retention, agitation, hypotension, bradycardia, and respiratory depression were recorded. On the second day after surgery all children were discharged.

**Statistical analysis**

Statistical analyses were performed in SPSS 19.0 for Windows (SPSS Inc., USA). Data were expressed as mean (SD) or count, when appropriate. The MLAC was estimated from the up-and-down sequences with the Dixon and Massey method. The MLAC of caudal ropivacaine was analysed by calculating the midpoint concentration of all independent pairs of crossover points in each group. According to the study conducted by Paul and Fisher [10], nine pairs patients were enrolled. The variance of age, weight, duration of surgery and recovery time was analysed using Kruskal-Wallis test. The type of surgery and adverse effects were analysed with the Fisher exact test. The duration of caudal analgesia was analysed with the Mann-Whitney test. P <0.05 was considered statistically significant.

**Results**

One hundred and eighty-one children were enrolled in this study, 169 were analysed and 12 were excluded. Among the excluded patients, four were excluded because of serious airway symptoms,
two were excluded because of data loss, three declined to participate in the study, and three were excluded due to the violation of anesthesia. All caudal block were considered as successful. The CONSORT flow diagram shows the details of patient recruitment (Figure 1). Patient characteristics, the duration of operation, and the type of surgery were shown in Table 1. There were no significantly difference in incidences of agitation, urine retention, respiratory depression, bradycardia, hypotension, and PONV among groups (Table 1).

The patient responses to the skin incision according to the up-and-down sequence were illustrated in Figure 2. The MLAC values of ropivacaine were 0.128% (0.028%) in control group, 0.112% (0.021%) in Group K\textsubscript{0.25}, 0.112% (0.018%) in Group K\textsubscript{0.5}, 0.110% (0.019%) in Group K\textsubscript{0.75}, and 0.110% (0.020%) in Group K\textsubscript{1.0}. There were no significant differences of the MLAC values among the five groups (p=0.11).

The durations of analgesia in the five groups were illustrated with Kaplan-Meier survival curves in Figure 3. The mean durations of analgesia in the postoperative period were 270, 381, 430, 494, and 591 min in the control, K\textsubscript{0.25}, K\textsubscript{0.5}, K\textsubscript{0.75}, and K\textsubscript{1.0} groups, respectively. There were significant differences among the five groups (p<0.05).

Discussion
The aim of this study was to demonstrate whether caudal ketamine reduces the MLAC of caudal ropivacaine and prolongs the duration of post-operative analgesia in children. Our results showed that combined ketamine with ropivacaine for caudal block would prolong the duration of post-operative analgesia. However, caudal ketamine did not reduce the MLAC of caudal ropivacaine for intra-operative analgesia in children.

In our study, each group was administrated different concentrations of caudal ropivacaine, and the mean concentrations of ropivacaine among the five groups were similar. Our results were consistent with previous studies of caudal ketamine in association with local anesthetics for post-operative analgesia [11-14]. Our results showed that caudal ketamine prolonged the duration of post-operative
analgesia in a dose-dependent manner. Caudal ketamine with 0.25% bupivacaine was used in doses of 0.25, 0.5, and 1 mg/kg, obtaining mean postoperative analgesia durations of 7.9, 11, and 16.5 h, respectively [15]. In another study, the mean durations of caudal analgesia in caudal 0.25% bupivacaine with 0.25, 0.5, 1 mg/kg ketamine were 8.8, 22.1, and 25.2 h, respectively [16]. Adequate postoperative analgesia has also been achieved by combining caudal ropivacaine with ketamine. Adding 0.25 mg/kg ketamine to 0.2% ropivacaine provided analgesia up to 9 h in Lee et al. study [11]. Adding 0.5 mg/kg ketamine to 0.2% ropivacaine produced analgesia that extended about 11-17 h [12-14]. Ketamine exerts its analgesic and anesthetic effects by binding to a subset of glutamate receptors influenced by the agonist N-methyl-D-asparate (NMDA), which are found in the central nervous system. Caudal ketamine produced analgesic effects by acting on NMDA receptors in the lumbar spinal cord, or from μ-opioid receptors agonist activity and interaction with voltage-sensitive sodium channels [17].
Some studies have determined the MLAC value of ropivacaine for caudal blocks in young children. Ingelmo et al. [7] investigated the MLAC of a single dose of caudal ropivacaine or levobupivacaine with 1 MAC of sevoflurane and an oral premedication of 0.5 mg/kg midazolam in children aged 1-6 years old, and the MLAC of caudal ropivacaine was 0.066%. Deng et al. [5,19] reported that the MLAC of caudal ropivacaine with 0.5 MAC enflurane was 0.11% in children aged 1-5 years old, and it was 0.107% in preschool age children with 0.7 MAC sevoflurane. Our previous study has debated that the effects of a caudal block could be influenced by the concentration and the volume of local anesthetics, the method of general anesthesia, and individual factors [9]. Sevoflurane have a much stronger inhibitory effect compared with propofol within concentrations close to their reported 50% effective concentrations [20,21]. And sevoflurane strongly decrease the required concentrations of local anesthetics compared with midazolam or propofol. The value reported in our study was consistent with the value reported elsewhere, but it was different from certain other studies. This discrepancy could be mainly
attributed to the age, the use of inhaled or intravenous anesthetics, and the concentration of inhaled anesthetics.

Our results showed that caudal ketamine did not influence the MLAC of ropivacaine for intra-operative caudal analgesia in young children. However, it prolonged the duration of postoperative analgesia in this study. Koinig et al. [22] showed that the mean time to maximum ketamine plasma concentration after caudal ketamine administration was about 20 min and the maximum ketamine plasma concentrations after caudal injection were significantly lower than that after intramuscular administration of ketamine. Caudal ketamine alone was typically used to provide post-operative analgesia in children in several studies [23, 24]. These suggested that caudal ketamine analgesic effect was provided primarily by a direct effect on the spinal cord. In our study, skin incision was performed at 20 min after caudal injection. And at this time, it was the peak of ketamine plasma concentration, may not be the peak of ketamine concentration in the spinal cord nervous after caudal ketamine injection. It's the limitation in our study and future studies need to confirm this.

Caudal ketamine provide analgesia of central nervous system effects by both a direct effect on the spinal cord and a systemic absorption effect. Ketamine is a low molecular weight and relatively high lipid soluble drug, and this characteristic of ketamine leads to release slowly from the lipid composition of the spinal cord [25].
Elimination half-life after epidurally administered ketamine was two times longer than that after intravenous ketamine.

Hence, the synergistic effect between ketamine and ropivacaine primarily resulted in the prolongation of post-operative caudal analgesia, but not for intra-operative caudal analgesia. The doses of caudal ketamine were from 0 to 1 mg/kg in the present study. This study’s limitation is that we’re not sure whether ketamine in larger doses than 1 mg/kg could decrease the MLAC of ropivacaine for intra-operative caudal analgesia in children. However, the range of doses in our study is representative of commonly used doses in clinical medicine.

**Conclusions**

In summary, this study indicated that the addition of caudal ketamine ranged from 0 to 1 mg/kg to ropivacaine prolonged the duration of post-operative analgesia; however, it did not decrease the MLAC of caudal ropivacaine for intra-operative analgesia in children.

**Abbreviations**

FLACC : Face, Legs, Activity, Cry, Consoling” tool; PONV: Postoperative Nausea and Vomiting; MLAC: Minimum Local Anesthetic Concentration; ASA: American Society of Anesthesiology;

NMDA : N-methyl-D-asparate; MAC: Minimal Alveolar Concentration

**Declarations**

**Acknowledgement**

The authors would like to thank the staff of anesthesia nurses at the Department of Anesthesiology and Perioperative Medicine, Guangzhou Women and Children Medical Centre.

**Funding**

This study was supported by Guangzhou Institute of Paediatrics, Guangzhou Women and Children’s Medical Centre, Guangzhou, China (NO. YIP-2016-005).

**Availability of data and materials**
The datasets are not publicly available, but available from the corresponding author on reasonable request.

Authors’ contributions

All authors have read and approved the manuscript. WHZ: Study design, data analysis, writing paper and approval of final manuscript. WLY: data analysis, writing paper and approval of final manuscript. LHH: Study design, data collection and approval of final manuscript. FYT: Study design, data collection, data analysis, manuscript revision and approval of final manuscript. SXR: Study design, manuscript revision and approval of final manuscript. SYJ: Study design, data analysis, writing paper, manuscript revision and approval of final manuscript.

Ethics approval and consent to participate

Ethical approval for Institutional Review Board of Guangzhou Women and Children’s Medical Center (IRB2013053103) and registered in the Chinese Clinical Trial Registry (ChiCTR-TRC-13003492, date of registration: 2013-8-13) prior to patient enrollment. Written informed consent was obtained from the parents or legal guardians of each paediatric patient in the study.

Consent for publication

Not applicable.

Competing interests:

The authors declare that they have no competing interests.

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**Table**

| Group            | Group C | Group K₀₂₅ | Group K₀₅ | Group K₀₇₅ | Grr |
|------------------|---------|------------|-----------|------------|-----|
| Number of Patients | 32      | 31         | 34        | 34         | 38  |
| Age (months)     | 22(7)   | 20(7)      | 21(7)     | 19(6)      | 20  |
| Weight (kg)      | 11.6(1.6) | 11.3(1.6) | 11.8(1.7) | 11.4(1.7)  | 11  |
| Duration of surgery (min) | 32(9)   | 30(7)      | 29(5)     | 29(6)      | 30  |
| Recovery time    | 31(4)   | 31(8)      | 31(3)     | 30(6)      | 30  |
| Type of surgery  |         |            |           |            |     |
| Inguinal hernia  | 22      | 23         | 24        | 26         | 27  |
| Hydrocele        | 10      | 8          | 10        | 18         | 11  |
| PONV             | 4       | 4          | 5         | 6          | 10  |
| Agitation        | 0       | 0          | 0         | 0          | 0   |
| Urine retention  | 1       | 0          | 0         | 0          | 0   |
| Bradycardia      | 1       | 1          | 2         | 1          | 3   |
| Hypotension      | 0       | 1          | 0         | 1          | 0   |
| Respiratory depression | 0     | 0          | 0         | 0          | 0   |

Values are mean (SD) or numbers, Data are mean ± SD or numbers of cases (n).

**Figures**
Figure 1

Consort flow diagram.

Assessed for eligibility (n=181)

Excluded (n=12)
- Serious airway symptoms (n=4)
- Violation of anesthesia (n=3)
- Refusal to participate (n=3)
- Data loss (n=2)

Randomized (n=169)

Allocated to Group C (n=32)
Allocated to Group K_0.25 (n=31)
Allocated to Group K_0.5 (n=34)
Allocated to Group K_0.75 (n=34)
Allocated to Group K_1.0 (n=38)

Lost to follow-up (n=0)

Analysed (n=32) Analysed (n=31) Analysed (n=34) Analysed (n=34) Analysed (n=38)

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Graphs showing the distribution of caudal ropivacaine % for patients in the control group and the 0.25 mg/kg ketamine group.
Figure 2

The response curve of consecutive children in each of the five groups. Skin incisions were attempted at different concentrations of caudal ropivacaine. The MLAC values of ropivacaine were 0.128% (0.03%) in the control group, 0.112% (0.02%) in Group K0.25, 0.112% (0.02%) in Group K0.5, 0.110% (0.02%) in Group K0.75, and 0.110% (0.02%) in Group K1.0. There were no significant differences among the five groups for the MLAC values (p=0.11).
Results of Kaplan-Meier survival analysis were displayed as survival curves for the five caudal solution. The log-rank test was used to compare the rate of requirement for additional analgesia in the study.

Supplementary Files
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