A Simple and Effective Method to Improve Zero-Shot Cross-Lingual Transfer Learning

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Abstract

Existing zero-shot cross-lingual transfer methods rely on parallel corpora or bilingual dictionaries, which are expensive and impractical for low-resource languages. To disengage from these dependencies, researchers have explored training multilingual models on English-only resources and transferring them to low-resource languages. However, its effect is limited by the gap between embedding clusters of different languages. To address this issue, we propose Embedding-Push, Attention-Pull, and Robust targets to transfer English embeddings to virtual multilingual embeddings without semantic loss, thereby improving cross-lingual transferability. Experimental results on mBERT and XLM-R demonstrate that our method significantly outperforms previous works on the zero-shot cross-lingual text classification task and can obtain a better multilingual alignment.

1 Introduction

In recent years, advances in multilingual models such as mBERT (Devlin et al., 2019), XLM (Conneau and Lample, 2019), XLM-R (Conneau et al., 2020), etc., after being fine-tuned with annotated data, have enabled significant improvements in many cross-lingual tasks. However, due to the lack of annotated data, some tasks in low-resource languages have not enjoyed this technological advancement. To solve this issue, the academic and industrial community began to focus on zero-shot cross-lingual transfer learning (Huang et al., 2019; Artetxe et al., 2020), which aims to fine-tune multilingual models with annotated data in high-resource languages and obtain a nice performance in low-resource language tasks.

Some works aligned word embeddings between high- and low-resource languages through additional parallel sentence pairs (Artetxe and Schwenk, 2019; Wei et al., 2021; Chi et al., 2021; Pan et al., 2021) or bilingual dictionaries (Cao et al., 2020; Qin et al., 2020; Liu et al., 2020), so that high-resource fine-tuned models can be transferred to low-resource languages. Although this approach has achieved excellent results in many languages, parallel corpora and bilingual dictionaries are still prohibitively expensive, rendering it impracticable in some minority languages.

To disengage from the dependence on parallel corpora or bilingual dictionaries (Wu and Dredze, 2019; Hu et al., 2020), some studies have found that syntactic features in high-resource languages can improve zero-shot cross-lingual transfer learning (Meng et al., 2019; Subburathinam et al., 2019; Ahmad et al., 2021a,b). Libovický et al. (2020) found that the embeddings of different languages are clustered according to their language families, as shown in Figure 1a and 1b, which demonstrated that different languages are not aligned perfectly.

Figure 1: (a) Different languages clusters in mBERT. (b) The relative positions of "nature", "language" and "processing" are similar in English, Chinese and Irish (Cao et al., 2020). (c) Using synonym augmentation to train a robust region covering words in other languages. (d) We align different languages and construct a suitable robust region by pushing the embeddings away and pulling the relative distance among words.
in mBERT (Deshpande et al., 2021). Huang et al. (2021) tried adversarial training and randomized smoothing with English synonym augmentation to build robust regions for embeddings in the multilingual models, as illustrated in Figure 1c. In this way, models can output similar predictions for different language embeddings in the same robust region even they are not well aligned. However, the transferability of English synonym augmentation is limited because its robust region remains close to the English cluster, as shown in Figure 1c.

In this work, we select English as a high-resource language and follow the studies that do not require additional parallel corpora or bilingual dictionaries to improve cross-lingual transfer learning performance with minimal cost. For this purpose, three strategies are proposed to enlarge the robust region of English embeddings. The first strategy is called Embedding-Push, which pushes the embedding of English to other language clusters. The second is Attention-Pull, which constrains the relative position of the word embeddings to prevent the meaning from straying. The last strategy, named Robust target, introduces a Virtual Multilingual Embedding (VME) to help the model build a suitable robust region, as shown in Figure 1d.

Experimental results on mBERT and XLM-R demonstrate that our method effectively improves the zero-shot cross-lingual transfer on classification tasks and outperforms a series of previous works. In addition, case studies show that our method improves the model through multilingual word alignment. Compared with existing works, our method has the following advantages. First, our method only needs English resources, which is suitable for low-resource languages. Second, our method can induce alignments in many languages without specifying the target language. Finally, our method is simple to implement and achieves effective experimental results. Our code is publicly available1.

2 Method

Given an English training batch \( B \) consisting of words \((x_1, x_2, x_3)\), we first follow Huang et al. (2021) to generate an augmented example \( x^a = (x^a_1, x^a_2, x^a_3) \) by randomly replacing \( x_1 \) with \( x^a_2 \) from the pre-defined English synonym set (Alzantot et al., 2018). Then, we introduce three objective functions to get the Virtual Multilingual Embedding (VME) that provides a suitable robust region for zero-shot cross-lingual classification task as shown in Figure 1c. We describe the details in the following subsections.

2.1 Embedding-push target

The Embedding-Push target aims to make English embeddings leave their original cluster and robust region by pushing away \((x, x^a)\) in the embedding space. The pushed embedding can be viewed as the VME. The loss function is (1).

\[
\ell_{EPT} = \frac{1}{|B|} \sum_{x \in B} (M(E_x) - M(E_{x^a}))^2 \tag{1}
\]

where \( E_x, E_{x^a} \) denote the embedding output of \( x \) and \( x^a \), \( M \) is the mean-pooling method.

2.2 Attention-pull target

The self-attention matrices contain rich linguistic information (Clark et al., 2019) and can be regarded as a 1-hop graph attention between the hidden states of words (Vaswani et al., 2017; Veličković et al., 2018). The attention matrix represents the information transfer score between each pair of words, we regard it as the pulling force, so the attention matrix determines the relative linguistic positions of words in a sentence. We introduce the Attention-Pull target to encourage the relative linguistic position among \((x^a_1, x^a_2, x^a_3)\) to be similar to \((x_1, x_2, x_3)\) by fitting the middle layer multi-head attention matrices, as (2).

\[
\ell_{APT} = \frac{1}{|B| |H|} \sum_{x \in B} \sum_{i} (A^i_x - A^i_{x^a})^2 \tag{2}
\]

\[1\] https://github.com/KB-Ding/EAR

Figure 2: The two networks have tied weights. VMEs expand robust regions (orange circle) by aligning semantic-similar words in other languages. Note that VMEs do not specify the target language but improve multilingual performance, as shown in section 3.3.
We use mBERT where \( \alpha \) and \( \beta \) are hyperparameters. We set \( \alpha = 1, \beta = 0.1 \) and apply the Attention-Pull target at the 6-th layer. The analysis of hyperparameters is in Appendix B. We measure results with accuracy.

### 3.2 Baseline methods

For XLM-R, we consider RS-DA as a strong baseline because it achieves the best performance. For mBERT, we consider all the following baselines.

**Adv:** Huang et al. (2021) uses adversarial training to build a robust region for cross-lingual transfer. They consider the most effective perturbation in each iteration.

**RS-RP:** Huang et al. (2021) perturbs sentence embeddings with randomly sampled \( \delta \) to smooth the classifier and build robust regions.

**RS-DA:** Huang et al. (2021) augments training data with English synonym replacement to train a smooth classifier and build robust regions.

**Syntax:** Ahmad et al. (2021a) provides syntax features to mBERT by graph attention networks, which helps cross-lingual transfer.

### 3.3 Main results

As illustrated in Table 1 and Table 2. We can observe that: 1) Our method achieves up to 4.2% and 1.4% improvement on mBERT and XLM-R, respectively, outperforming existing works and demonstrating the effectiveness of our method. 2) Multiple low-resource languages benefit from our method. Based on mBERT, our method improves not only English-like languages such as es and de but also English-dissimilar (Littell et al., 2017) languages such as tr and ko. This result indicates that the VME we proposed helps align different languages in semantic space. 3) We avoid training X (Yang et al., 2019) tasks, covering 17 languages. We consider English as the source language and other languages in test sets as low-resource target languages. More training details are in Appendix A. We set \( \alpha = 1, \beta = 0.1 \) and apply the Attention-Pull target at the 6-th layer. The analysis of hyperparameters is in Appendix B. We measure results with accuracy.
Table 3: Ablation experimental results of our method on the XNLI task. Experiments are based on mBERT.

| Model   | en  | ar  | bg  | de  | el  | es  | fr  | hi  |
|---------|-----|-----|-----|-----|-----|-----|-----|-----|
| Ours    | 83.2| 71.0| 72.9| 68.3| 75.7| 75.2| 64.0|     |
| w/o EPT | 82.8| 71.2| 72.7| 67.6| 75.5| 75.1| 63.4|     |
| w/o APT | 82.4| 66.5| 70.8| 68.5| 76.0| 75.1| 63.4|     |
| w/o both| 82.1| 66.4| 70.0| 67.7| 75.1| 74.9| 62.8|     |
| Ours    | 71.6| 51.3| 56.7| 63.6| 61.4| 72.4| 71.5| 68.4|
| w/o EPT | 71.2| 51.1| 56.0| 63.4| 60.7| 72.5| 71.4| 65.7|
| w/o APT | 70.9| 50.0| 57.0| 62.8| 61.9| 72.0| 72.3| 65.7|
| w/o both| 70.8| 48.3| 54.6| 61.0| 61.0| 71.5| 71.5| 67.4|

Table 3: Ablation experimental results of our method on the XNLI task. Experiments are based on mBERT.

each target language separately and achieves the best results in one epoch using the English-trained VME.

### 3.4 Ablation study

As shown in Table 3, we perform ablation studies on Embedding-Push Target (EPT) and Attention-Pull Target (APT). We find that both EPT and APT are effective, but they cannot perform well alone. Besides, removing the APT causes improvement in some languages, such as zh and ur. We attribute this to the fact that the EPT-guided VME is unstable without the APT, which improves performance in some languages but drops in more languages such as en, ar, ru, etc., resulting in poor average performance. Thus EPT and APT need to be combined for better performance.

### 4 Analysis

#### 4.1 Case study

To study the effects of VME, we do the T-SNE visualization for the word embeddings of parallel sentences, as shown in Figure 3. Compared with the RS-DA, our fine-tuned model aligns better across languages, and words are closer to their translations, leading to correct predictions. This observation shows that the VME can effectively help cross-lingual word alignment and improve the performance of the model. We choose Arabic for the case study because it can represent a class of languages far apart from English.

#### 4.2 Effect of EPT

To study the impact of EPT, we do the T-SNE visualization using the embedding layer of mBERT. As shown in Figure 4, some synonyms such as "coupled / pair" and "energy / electricity" are pushed away in the embedding layer trained with EPT, and some synonyms are still close to their original words. It indicates that the EPT push away synonyms selectively. We also try to replace the EPT in (5) with the Noise Target (NT), which perturbs word embeddings with Gaussian noise (Cohen et al., 2019). As shown in Table 4, we find
Table 5: Results of our method on the XNLI task when training mBERT with three source languages.

| Source Language | en  | ar  | bg  | de  | el  | es  | fr  | hi  | ru  | sw  | th  | tr  | ur  | vi  | zh  | avg. |
|-----------------|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|------|
| en              | 83.2| 67.4| 71.0| 72.9| 68.3| 75.7| 75.7| 72.9| 64.0| 71.6| 51.3| 56.7| 63.6| 61.4| 72.4| 71.5 | 68.4 |
| de              | 79.6| 68.7| 71.9| 77.7| 68.8| 76.2| 74.9| 64.2| 72.4| 50.1| 55.2| 64.0| 62.8| 73.0| 72.6 | 68.8 |
| ru              | 78.5| 68.2| 73.3| 73.1| 68.8| 74.8| 73.9| 65.8| 75.7| 49.3| 49.3| 64.0| 57.2| 64.0| 62.4 | 73.4 | 73.7 | 68.8 |

Table 6: Results on the XNLI task when using the scaled English synonym dictionaries for data augmentation.

| Scale | size of dictionary | XNLI result |
|-------|--------------------|-------------|
| 1.0   | 49975              | 68.424      |
| 0.75  | 37481              | 68.392      |
| 0.5   | 24987              | 68.218      |
| 0.25  | 12493              | 68.080      |

Figure 5: T-SNE visualization on the outputs of the mBERT trained with our method. The original words \((x)\) and synonyms \((x')\) are from the XNLI training sets.

4.3 Effect of APT

To investigate the effects of APT, we replace the APT in (5) with the Sentence Representation Pull Target (SRPT). SRPT uses the mean squared error between sentence embeddings of \(x\) and \(x'\) as the objective. Formally, \(\ell_{SRPT} = \frac{1}{|B|} \sum_i^{[B]} (\text{Sent}(x) - \text{Sent}(x'))^2\), where \(\text{Sent}(x)\) represents the mean-pooled sentence embeddings (Reimers and Gurevych, 2019) obtained by the middle layer of the model. Results in Table 4 show that: 1) The average performance of SPRT is lower than that of APT. 2) The SRPT mainly improves performance on English-like languages, such as es, de, and el, while drops that of most English-dissimilar languages, such as tr, hi, sw, ur, etc. This phenomenon shows that SRPT suffers heavily from English training resources, biasing the VME towards English-like languages, which hurts the overall zero-shot cross-lingual transferability.

We perform T-SNE visualization on the outputs of the mBERT trained with our method. As shown in Figure 5, the synonym is still in the same relative position as the original word, which proves the effectiveness of APT.

4.4 Effect of source language

In addition to en, both de and ru show preference as source languages in cross-lingual learning (Turc et al., 2021). We translate the training set into de and ru using OPUS-MT (Tiedemann and Thotin-gal, 2020) models, as shown in Table 5, the performance of our method can be further improved.

4.5 Effect of dictionary size

The data augmentation in our method relies on the size of pre-defined synonym dictionary. As shown in Table 6 and Figure 6, we can observe that: 1) The overall performance decreases as the dictionary size decreases. 2) Some languages are not sensitive to the dictionary size, such as tr and ur. 3) The performance of en, de, and tr degrades significantly when the dictionary size is scaled from 0.5 to 0.25. This phenomenon may be related to some important synonyms in the dictionary, which are effective for cross-lingual transfer learning.

5 Conclusion

To get rid of the dependence on parallel corpora, enable cross-lingual transfer to low-resource languages, we propose Embedding-Push, Attention-Pull, and Robust targets to combat the influence of language clusters in multilingual models. Experimental results demonstrate that our method outperforms previous works and obtains better-aligned embeddings when trained with only English.
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A Implementation details

Dataset XNLI is the cross-lingual natural language inference task. PAWS-X is used to determine whether two sentences paraphrase each other. The augmentation datasets are obtained from Huang et al. (2021). They augmented 3 and 10 examples for each sentence in XNLI and PAWS-X by synonym replacement, respectively. The pre-defined English synonym set is from Alzantot et al. (2018). The scripts for splitting training, test, and validation sets are provided by XTREME (Hu et al., 2020).
Attention-Pull target on the \{3, 6, 9, 12\} layers

we set $x$ as the input of our method in Figure 2, [CLS] token is used for classification.

Both XNLI and PAWS-X are sentence pair classification tasks. Taking mBERT as an example, for each $s_1$, $s_2$ and augmented $s_1^a$, $s_2^a$ in the training data, we set $x$ as [CLS]$s_1$[SEP]$s_2$[SEP], $x^a$ as [CLS]$s_1^a$[SEP]$s_2^a$[SEP]. Then, we take $x$ and $x^a$ as the input of our method in Figure 2, [CLS] token is used for classification.

### B Hyperparameter analysis

There are three main hyperparameters in our method that need to be adjusted. 1) We need to determine which layer is most effective for applying Attention-Pull target. 2) We need to determine the weight of $\beta$ in the final loss. 3) We need to determine the weight of $\alpha$ in the final loss. We conduct experiments on XNLI task based on mBERT.

For 1), we first set $\alpha=1$ and $\beta=1$, then apply the Attention-Pull target on the \{3, 6, 9, 12\} layers respectively, and the results are shown in Table A.1. We find that applying the Attention-Pull target to all layers works well. The most significant improvement is achieved at the 6-th layer and the minimal improvement is achieved at the last layer, which may be related to the quality of sentence representation at different layers of the model (Carlsson et al., 2021; Merchant et al., 2020).

For 2), we apply the Attention-Pull target at the 6-th layer and set $\alpha=1$, then select $\beta$ from \{0.1, 0.2, 0.3, 0.5, 0.7, 0.9\}. The experimental results are shown in Table A.2. First, we find that model performance improved when using any of the above $\beta$ values. Second, we also find that the improvement becomes significant as $\beta$ decreases, we attribute this phenomenon to the fact that the Attention-Pull target should not over-focus on features of the English corpus but should help the VME capture features in other language clusters. Note that this result does not mean that the Attention-Pull target is unnecessary, as ablation experiments in section 3.4 show that the Attention-Pull target can improve the model. Finally, the best experimental result is obtained when $\beta=0.1$.

For 3), we apply the Attention-Pull target at the 6-th layer and set $\beta=0.1$, then select $\alpha$ from \{0.6,
Table A.4: Results on the XNLI task when replacing some targets, based on the XLM-R.

| Model          | en | es | de | fr | bg | ru | el | th |
|----------------|----|----|----|----|----|----|----|----|
| EPT + APT      | 84.6 | 79.4 | 77.5 | 79.5 | 78.8 | 76.8 | 77.0 | 73.9 |
| NT + APT       | 84.4 | 79.4 | 77.2 | 79.0 | 78.8 | 76.7 | 76.4 | 74.4 |
| EPT + SRPT     | 84.4 | 80.0 | 77.8 | 79.2 | 78.5 | 76.8 | 77.1 | 74.2 |

| Model          | sw | vi | ar | zh | hi | ur | tr | avg. |
|----------------|----|----|----|----|----|----|----|------|
| EPT + APT      | 66.7 | 76.4 | 74.5 | 75.8 | 72.6 | 68.7 | 74.7 | 75.8 |
| NT + APT       | 67.3 | 76.3 | 73.6 | 75.2 | 72.2 | 67.7 | 74.1 | 75.3 |
| EPT + SRPT     | 65.2 | 76.6 | 73.5 | 75.8 | 72.5 | 68.7 | 74.4 | 75.6 |

0.8, 1.0, 1.2, 1.4, 1.6, 1.8}. Results are shown as Table A.3. We find that the best performance is achieved when $\alpha$ is 1.0. The performance is also improved when using other $\alpha$ values, which shows that the Embedding-Push target can robustly improve the cross-lingual transferability of models. Therefore, in our main experiments, we set $\alpha$=1.0, $\beta$=0.1 and apply the Attention-Pull target at the 6-th layer.

C Analysis on XLM-R

We perform analysis based on XLM-R, the results are shown in Table A.4.