Pneumococcal pneumonia was a complication during previous influenza pandemics but was not evident initially during pandemic (H1N1) 2009. During October 2009 in Denver, Colorado, USA, invasive pneumococcal disease (IPD) and pandemic (H1N1) 2009 peaked simultaneously, which suggests a link. We compared cases of IPD in October 2009 with cases in February 2009, the most recent peak month of seasonal influenza. During October 2009, we observed 58 IPD cases, which was 3× the average number of IPD cases that usually occur in October in Denver. Patients with IPD in October 2009 were younger and more likely to have chronic lung disease than patients who had IPD in February 2009; a total of 10/47 patients had influenza, and 33/53 patients had influenza-like illness. Thus, ~17% to 62% of cases of IPD may have been associated with pandemic (H1N1) 2009. Pneumococcal disease prevention strategies should be emphasized during future influenza pandemics.

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Pneumonia caused by *Streptococcus pneumoniae* (pneumococci) was a frequent complication of influenza during previous pandemics. In 1 autopsy series, ≥20% of deaths during the 1918 influenza pandemic were associated with pneumococci (1). Pandemic (H1N1) 2009 was the first pandemic in which pneumococcal and influenza vaccines and antiviral drug treatment had the potential to change the interaction between pneumococcal infection and influenza.

Among early cases of pandemic (H1N1) 2009, pneumococcal complications were rarely reported (2–4). However, in October 2009, the Colorado Department of Public Health and Environment identified a substantial increase in cases of invasive pneumococcal disease (IPD) in the Denver metropolitan area, concurrent with a peak in pandemic (H1N1) 2009–associated hospitalizations, raising the question of the role of this pandemic (H1N1) 2009 virus. We evaluated the IPD cases in October 2009 in terms of age, prevalence of concurrent conditions, severity of illness, evidence of co-infection with pandemic (H1N1) 2009 virus, use of antiviral drugs, and influenza and pneumococcal vaccination. We also assessed the possible contribution of changes in laboratory practices to the increase in reported IPD cases.

**Methods**

**Epidemiologic Investigation**

Classification as IPD required isolation of *S. pneumoniae* from a normally sterile site, such as blood or cerebrospinal fluid. IPD cases were identified through the Active Bacterial Core surveillance (ABCs), a population- and laboratory-based system run continuously since 2000 in the 5-county Denver metropolitan area (population 2.4 million). To evaluate the magnitude of the apparent increase in IPD cases during October 2009, we compared the number of cases during that month to the mean number of cases occurring each October during 2004–2008 because the decrease in IPD rates that followed introduction of the 7-valent pneumococcal conjugate vaccine (PCV7) for US infants stabilized by 2004 (5).

To evaluate if IPD cases in October 2009 were epidemiologically different from IPD cases in previous, nonpandemic years, we compared the October 2009 cases to IPD cases in February 2009, the most recent local peak in seasonal influenza (H1N1). If the October 2009 cases were associated with pandemic (H1N1) 2009 and if this influenza affected IPD risk differently than seasonal influenza, we would expect the epidemiology of October 2009 IPD cases to differ from a month with predominant nonpandemic, seasonal influenza (H1N1) circulation. Data analyzed included epidemiologic information from the standard ABCs case report form (www.cdc.gov/abc/files/ABCs_case_report_form_2009.pdf). More detailed information on initial symptoms, diagnostic testing, clinical laboratory information, and clinical management was collected by chart abstraction.

Chart abstraction included review of physician notes, consultation reports, and laboratory results included in patients’ medical records. For October 2009 cases, supplementary information was obtained from interviews with patients or their surrogates. For comparisons of underlying conditions and basic demographics, we ensured consistent methods across time by comparing data derived only from the ABCs case report form. Most October case-patients had pneumonia; for these, severity of illness was assessed by using the Pneumonia Severity Index, a well-established scoring system that incorporates concurrent conditions, laboratory findings, and vital signs at clinical presentation (6). Additional data available for only October 2009 cases included vaccination, antiviral drug treatment, serologic test results, and intensive care unit (ICU) admission.

Influenza-associated hospitalizations were identified by using the Colorado Emerging Infections Program. This program defines laboratory-confirmed influenza infection as any positive rapid test or pandemic (H1N1) 2009 virus–specific real-time PCR result in a hospitalized resident of the surveillance area.

To identify the upper limit of potential pandemic (H1N1) 2009 cases among the October 2009 IPD cases, we used 2 approaches. First, because recent data (7,8) suggest that the sensitivity of PCR for pandemic (H1N1) 2009 virus decreases 5 days after symptom onset, patients with influenza-like illness (ILI) (fever plus cough or sore throat) and negative or unknown PCR results >5 days before the date of pneumococcal culture were considered to be potentially associated with pandemic (H1N1) 2009. Second, all IPD cases in excess of 2 SD above the mean number of IPD cases in October during 2004–2008 were defined as cases that may have been associated with pandemic (H1N1) 2009. Health care providers and the Colorado Immunization Information System were contacted to verify vaccination status for PCV7, pneumococcal polysaccharide vaccine (PPV23), and seasonal influenza and pandemic (H1N1) 2009 vaccines.

**Laboratory Methods**

*S. pneumoniae* isolates were serotyped by using type-specific antisera and observation of the Quellung reaction at the Streptococcus Laboratory (Centers for Disease Control and Prevention, Atlanta, GA, USA). For analysis, pneumococcal serotypes were grouped as follows: PCV7 serotypes (4, 6B, 6A, 9V, 14, 18C, 19F, and 23F); PPV23 serotypes (PCV7 serotypes plus 1, 2, 3, 5, 7F, 8, 9N, 10A, 11A, 12F, 15B, 17F, 19A, 20, 22F, and 33F); and all other serotypes and nontypeable pneumococci (not included in either vaccine). Serotype 6A was included in
PCV7 serotypes because of cross-reactivity of 6A and 6B (9). Serotype 6C strains were identified within serogroup 6 by using OPCR (10). To determine whether IPD cases were caused by an outbreak of a single pneumococcal strain, we compared serotypes causing IPD in the Denver area in October 2009 with those causing IPD in previous years.

Influenza diagnostic methods investigated were real-time reverse transcriptase PCR, rapid influenza test, direct or indirect fluorescent antibody, serologic analysis, and viral culture. Test results were separated by virus identification (A, B, or both) and influenza A subtype (H1, H3, pandemic [H1N1] 2009, unsubtypeable, or other). We surveyed 13 clinical laboratories serving the 16 reporting hospitals to assess total numbers of IPD cases and total positive blood cultures in September–October 2009 and September–October 2008, and to identify if there had been any changes in laboratory procedures related to blood culturing.

Statistical Analysis
Data were analyzed with SAS version 9.2 (SAS Institute, Cary, NC, USA). The $\chi^2$ test was used to compare proportions. Medians were compared by using the Wilcoxon ranked-sum test; p values <0.05 were considered significant.

Results

Descriptive Epidemiology
Fifty-eight cases of IPD were identified in the Denver Metropolitan Area during October 2009, which was >3× the October average (mean ± SD 18.4 ± 4.7) during 2004–2008 (Figure). Cases were reported from 16 of 20 Denver area acute care hospitals; these hospitals were distributed throughout the 5-county area. Forty-five cases occurred in February 2009.

Medical records were abstracted for all 58 cases-patients with IPD (Table 1). Compared with February case-patients, October case-patients were younger (median age 45 years vs. 54 years; p = 0.02), and the proportion of nonelderly case-patients (age <60 years) was higher (45 [78%] of 58 vs. 26 [58%] of 45; p = 0.03). After adjusting for different age distributions of the 2 groups, we found that October 2009 case-patients were more likely to have concurrent conditions, specifically, chronic lung disease (Table 1).

Severity of Illness
Fifty-four (93%) of 58 IPD case-patients were hospitalized, and 47 (81%) had pneumonia. Seven case-patients died (12%), and 19 (33%) were admitted to the ICU. All patients who died were >40 years of age (Table 2). There were no major differences between the October 2009 and February 2009 case-patients in the proportion hospitalized or the case-fatality rate. A high (6/7, 86%) proportion of IPD case-patients 20–39 years of age who were hospitalized in October 2009 were admitted to the ICU. All 42 October pneumonia patients had Pneumonia Severity Index scores ≥2; the 7 case-patients who died all had pneumonia and scores of 4 or 5, which indicated severe illness and recommended hospitalization.

Influenza-associated IPD
Of 58 case-patients with IPD, interviews were completed with 46 (79%). Among the IPD case-patients, 47 (81%) had a documented influenza test during their illness; 4 were evaluated before hospitalization and 43 were tested on or after admission. Nine (19%) were evaluated with rapid tests alone, 8 (17%) with PCR alone, and 26 (55%) with both methods. The type of test used for the remaining 4 case-patients could not be determined. Of 47 case-patients tested for influenza, 10 (21%) had documented influenza virus infection. Therefore, ≥17% (10/58) of the IPD cases were associated with pandemic (H1N1) 2009.

Of the 10 positive case-patients, 2 were given a diagnosis before admission (1 by PCR and 1 by PCR and rapid test) and 8 were given a diagnosis at admission (all patients had both a rapid test and PCR). For those patients given a diagnosis at admission, only 2 (25%) of the rapid test results were positive and all 8 PCR test results were positive. Of the 7 patients for whom influenza A virus subtype analysis was conducted, 6 had pandemic (H1N1) 2009 virus; the other virus was not subtypeable.

Fifty-three of 58 IPD case-patients had sufficient information to evaluate for preceding ILI. Of these case-patients, 33 (62%) reported symptoms consistent with ILI >5 days before date of the culture that yielded pneumococci. All 10 influenza-positive case-patients reported ILI symptoms. Overall, 31 (94%) of the 33 case-patients with ILI were tested for influenza virus.

On the basis of IPD surveillance in Denver during 2004–2008, the maximum expected number of IPD cases during October of nonpandemic years was 28 (mean ± 2 SD 18 ± 5). If all remaining 30 IPD cases were considered to be excess cases associated with pandemic (H1N1) 2009, then 52% of all cases of IPD in October 2009 may have been associated with pandemic (H1N1) 2009.

Role of Vaccination
All 9 children had been vaccinated with PCV7 at appropriate ages. Of 38 adults 18–64 years of age, 29 (76%) had indications for PPV23 vaccination and 25 (86%) of them had available vaccination records; of these persons, 3 (12%) were vaccinated. The proportion of persons ≥65 years of age (universal PPV23 vaccination recommendation) with available vaccination records who were vaccinated was 67% (4/6).
Antiviral Drug Treatment

Thirty-one (53%) of 58 IPD case-patients received antiviral medications during their illnesses; 20 (61%) of 33 patients who reported ILI symptoms received antiviral drugs and 9 (90%) of the 10 patients who had a positive influenza test result received antiviral drugs. According to medical records, the most common reason for not prescribing antiviral drugs was the duration of time since symptom onset. The 1 patient who had confirmed pandemic (H1N1) 2009–associated IPD who died received antiviral drug treatment 72 hours after admission and 48 hours after confirmatory influenza test results.

Serotypes of Pneumococci Causing IPD

Among the 47 (81%) cases with available isolates, 75% were caused by serotypes included in PPV23 and 4% by those included in PCV7. Serotype 7F was the predominant serotype identified, and it accounted for 34% of the October cases. Serotype distribution was consistent with overall epidemiology of IPD in Colorado during nonpandemic periods. After adjusting for age, we found that the proportion of all case-patients in Denver with IPD caused by serotype 7F during 2004–2008 increased from 3% to 25%. This increase was observed when analyzing only October (0%–34%; p = 0.025) and all other months (2%–23%; p<0.0001). Among the 9 adult influenza-positive case-patients, 7 of the isolates were serotypes contained in PPV23. Five (71%) of 7 persons with an indication for PPV23 had a serotype covered by PPV23, and only 1 (20%) had received PPV23.

Laboratory Survey

Among 13 surveyed laboratories, 4 implemented changes in blood culture practices over the preceding year. Two hospitals increased the number of times that blood cultures were evaluated for growth, 1 hospital adopted a different automated culture system, and 1 hospital began using a different skin antiseptic. Eleven laboratories saw a higher number of blood cultures submitted during September–October 2009 than in September–October 2008 (overall increase of 16%). The proportion of cultures positive for pneumococci increased at 9 laboratories by an average of >4 additional isolates, which was a relative increase of 50% over the previous year (Table 3).

Discussion

An investigation of IPD cases in Denver during October 2009 showed 3× the average number of IPD cases identified during October of the previous 5 years and a notable association with pandemic (H1N1) 2009 virus infection. Our findings do not prove a causal relationship between pandemic (H1N1) 2009 and IPD. However, we confirmed that 10 (17%) of 58 case-patients had influenza, and 2 estimates of the maximum proportion of IPD cases that may have been associated with pandemic (H1N1) 2009 showed that proportion was 52%–62%. Increases in testing for pneumococcal infection were modest and could not account for the magnitude of the increase in IPD incidence that we observed. However, the reported increase by area clinical laboratories in blood culture positivity for pneumococci supports a true increase in IPD incidence.

This investigation highlighted factors that may be distinct to IPD cases associated with pandemic (H1N1) 2009. Previous influenza pandemics have implicated secondary bacterial infection as a complication and cause of serious illness and death (1). These studies were based largely on autopsy series and histologic confirmation, but were limited in their ability to evaluate clinical presentation, symptoms, and onset that may be distinct to IPD cases identified during nonpandemic influenza seasons.
During the 2009–10 influenza season, the predominant circulating influenza virus was pandemic (H1N1) 2009 virus (11). IPD cases mirrored the epidemiology of pandemic (H1N1) 2009, peaked at the same time, and affected younger persons. Because some cases of IPD occur every October and because some cases of IPD are likely attributable to seasonal influenza (12), we compared cases detected during October 2009 with IPD cases seen during a peak month of seasonal influenza activity. Attack rates for pandemic (H1N1) 2009 were likely higher than those for seasonal influenza (H1N1) (13). Thus, the October IPD cases were more likely to mirror the epidemiology of pandemic (H1N1) 2009.

Studies in animal models demonstrated that influenza strains during previous pandemics have different abilities to predispose persons to secondary pneumococcal infections (14). If pandemic (H1N1) 2009 contributed to the increase in IPD cases during October 2009, we would expect a shift from the baseline IPD epidemiology toward a younger population with conditions known to increase the risk for infection with pandemic (H1N1) 2009 virus. In addition, the prevalence of underlying conditions (especially chronic lung disease) is consistent with a causal association between pandemic (H1N1) 2009 and October 2009 IPD cases.

October 2009 cases were not more severe than February 2009 cases, although our statistical power to identify a significant difference was limited. However, for persons 20–39 years of age, a high proportion of IPD hospital admissions required ICU (there were no prepandemic data for comparison). Data gathered during the pandemic from

| Characteristic                             | Patients with pandemic (H1N1) 2009, Oct 2009, n = 58 | Patients with seasonal influenza, Feb 2009, n = 45 | p value |
|--------------------------------------------|-----------------------------------------------------|-------------------------------------------------|---------|
| Age, y                                     |                                                     |                                                 |         |
| 0–<5                                       | 6 (10)                                              | 0 (0)                                           | NC      |
| 5–19                                       | 3 (5)                                               | 3 (7)                                           | NC      |
| 20–39                                      | 10 (17)                                             | 6 (13)                                          | NC      |
| 40–59                                      | 26 (45)                                             | 17 (38)                                         | NC      |
| >60                                        | 13 (22)                                             | 19 (42)                                         | NC      |
| Median age (range 2 mo–91 y)               | 45                                                  | 54                                              | 0.02    |
| Sex                                        |                                                     |                                                 | 0.120   |
| M                                          | 38 (66)                                             | 26 (58)                                         | NC      |
| F                                          | 20 (34)                                             | 16 (36)                                         | NC      |
| Unknown                                    | 0                                                   | 3 (7)                                           | NC      |
| Race†                                      |                                                     |                                                 | 0.012   |
| White                                      | 31 (53)                                             | 11 (24)                                         | NC      |
| African American                           | 4 (7)                                               | 3 (7)                                           | NC      |
| Asian                                      | 0 (0)                                               | 2 (4)                                           | NC      |
| Unknown                                    | 23 (40)                                             | 29 (64)                                         | NC      |
| Ethnicity†                                 |                                                     |                                                 | <0.0001 |
| Hispanic                                   | 14 (24)                                             | 7 (16)                                          | NC      |
| Non-Hispanic                               | 25 (43)                                             | 4 (9)                                           | NC      |
| Unknown                                    | 19 (33)                                             | 34 (76)                                         | NC      |
| Concurrent condition‡                      |                                                     |                                                 |         |
| Any                                        | 40 (69)                                             | 17 (38)                                         | <0.0001 |
| Chronic lung disease                       | 17 (29)                                             | 3 (7)                                           | 0.0002  |
| Diabetes                                   | 5 (9)                                               | 6 (13)                                          | 0.852   |
| HIV infection                              | 2 (3)                                               | 0 (0)                                           | 0.247   |
| Liver disease                              | 5 (8.6)                                             | 2 (4)                                           | 0.276   |
| Smoking                                    | 15 (26)                                             | 10 (22)                                         | 0.669   |
| Hospitalized                               |                                                     |                                                 |         |
| Yes                                        | 54 (93)                                             | 36 (80)                                         | 0.536   |
| No                                         | 4 (7)                                               | 2 (4)                                           | NC      |
| Unknown                                    | 0                                                   | 7 (16)                                          | NC      |
| Tested for influenza§                      |                                                     |                                                 | NC      |
| Outpatient setting                         | 8                                                   | NA                                              | NC      |
| Inpatient setting                          | 43                                                  | NA                                              | NC      |
| Positive test result for influenza§        | 10                                                  | NA                                              | NC      |

*Values are no. (%) unless otherwise indicated. NA, not available; NC, not calculated.
†Race and ethnicity data required additional patient consent.
‡Underlying concurrent condition comparisons were adjusted for age.
§Includes data from supplemental case report form not available for prior years.
domestic and international sites (4,15,16) suggested that pandemic (H1N1) 2009–associated IPD was not unique to Denver. However, there were no widespread levels of IPD that were greater than expected. At the time of our investigation in Denver, whether increases in IPD in other ABCs sites were statistically or epidemiologically significant was not clear. Since that time, increases have become apparent in other sites, although these increases were consistently more modest than those observed in Denver and were not as thoroughly investigated. In contrast to the previous 5 years, Denver experienced a peak in IPD in October 2009, which was likely attributable to pandemic (H1N1) 2009, and a second peak in December, which likely represented endemic disease.

Prevention of IPD during future influenza pandemics should focus on vaccination and prompt diagnosis. This influenza pandemic was the first in which pneumococcal vaccines and antiviral drug treatment were available. Among adults with IPD in Denver, vaccination rates for persons 18–64 years of age with indications for vaccination were less than the national rate, and vaccination rates for persons >65 years of age were similar to national estimates (17). The increase in IPD cases during October, the peak month of hospitalizations of persons with pandemic (H1N1) 2009, might have been minimized if adults at highest risk for IPD had received the recommended polysaccharide vaccine. Increasing PPV23 coverage in populations with increased risk for IPD is a key prevention measure, especially in anticipation of influenza pandemics.

Introduction of PCV7 into routine childhood immunization programs in the United States resulted in dramatic reductions in rates of pneumococcal-related diseases and major changes in the epidemiology for all age groups (5,18–23). In Denver during October 2009, we identified only 2 cases of IPD caused by serotypes included in PCV7, both in adults.

Whether vaccine against pandemic (H1N1) 2009, which became available in Denver during late October, could have reduced the number of pandemic (H1N1) 2009–associated IPD cases is unknown. Antiviral drug administration was sometimes delayed or withheld despite national guidance for treatment even if >48 hours had elapsed from onset of illness (24), and such withholding may have changed the clinical course of some of the IPD cases.
Outbreaks of *S. pneumoniae* have occurred in many settings (25–39), and individual serotypes have been implicated in localized outbreaks (27–29,31,39). The variety of serotypes identified in this outbreak indicates that the increase in IPD was not attributable to enhanced transmission of a single serotype. To address whether the increase in October 2009 reflected a clonal outbreak of 7F, we analyzed the proportion of IPD cases in Denver that were serotype 7F during 2004–2010. During 2004–2009, the proportion of 7F increased (from 2% to 23%). The proportion of IPD caused by 7F has been increasing in Denver over time and cannot be attributed to an increase in October 2009 alone or the pandemic. Furthermore, the distribution of serotypes was similar to serotype distributions in national (5) and Denver-specific IPD cases, which suggested that if pandemic (H1N1) 2009, was causally associated with this outbreak, it facilitated pneumococcal infection without a predilection for any particular serotype.

Our investigation had limitations. Low numbers of cases may have limited our ability to identify differences in the epidemiology of IPD during October 2009 and peak months of seasonal influenza activity. We were also unable to ascertain PPV23 vaccination histories for all cases, which may have underestimated PPV23 use. Of 47 influenza tests ordered, 9 (19%) were only rapid tests. The sensitivity of rapid tests for detecting pandemic (H1N1) 2009 ranged from 20% to 40% (40). Twenty-four (41%) of 58 IPD cases were not tested for pandemic (H1N1) 2009 by PCR (the standard for detection), which may have underestimated the number of confirmed influenza-associated IPD cases. Some patients with negative test results may have been infected with influenza virus but were tested too late in the course of their illness. Finally, ILI does not capture all influenza cases and cases with influenza within 5 days of pneumococcal culture and not tested samples would not be included for a possible influenza-associated IPD case. In addition, ILI includes symptoms that occur frequently with signs and symptoms of pneumococcal pneumonia and may be a result of the symptom course of IPD rather than preceding influenza infection.

In conclusion, up to two thirds of IPD cases in Denver during October 2009 may have been associated with pandemic (H1N1) 2009. Pandemic influenza may have altered the epidemiology of IPD and shifted the age distribution to younger persons and to persons 18–64 years of age with an increased prevalence of underlying conditions. Missed opportunities for PPV23 vaccination were common. During future influenza pandemics, public health officials should increase awareness of the association between IPD and influenza among persons of greatest risk for influenza-associated IPD. Prevention efforts should include use of pneumococcal vaccines and vaccines for directly preventing influenza infection.

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