Assessing Human-Parity in Machine Translation on the Segment Level

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Abstract

Recent machine translation shared tasks have shown top-performing systems to tie or in some cases even outperform human translation. Such conclusions about system and human performance are, however, based on estimates aggregated from scores collected over large test sets of translations and so leave some remaining questions unanswered. For instance, simply because a system significantly outperforms the human translator on average may not necessarily mean that it has done so for every translation in the test set. Furthermore, are there remaining source segments present in evaluation test sets that cause significant challenges for top-performing systems and can such challenging segments go unnoticed due to the opacity of current human evaluation procedures? To provide insight into these issues we carefully inspect the outputs of top-performing systems in the recent WMT19 news translation shared task for all language pairs in which a system either tied or outperformed human translation. Our analysis provides a new method of identifying the remaining segments for which either machine or human perform poorly. For example, in our close inspection of WMT19 English to German and German to English we discover the segments that disjointly proved a challenge for human and machine. For English to Russian, there were no segments included in our sample of translations that caused a significant challenge for the human translator, while we again identify the set of segments that caused issues for the top-performing system.

1 Introduction

Recent results of machine translation evaluation shared tasks indicate that state-of-the-art is now achieving and possibly even surpassing human performance, with the most recent annual Conference on Machine translation (WMT) news task providing extensive human evaluation of systems, concluding that several systems performed on average as well as human for English to Russian, English to German and German to English translation and a top system even surpassed human performance for the last two language pairs.

Since 2017 the official results of the WMT news tasks have been based on the human evaluation methodology known as Direct Assessment (DA) (Graham et al., 2016), due to its many advantages over older technologies. DA, for example, includes quality control mechanisms that allow data collected anonymously from crowd-sourced workers to be filtered according to reliability. Although WMT news task results are admittedly based on substantially more valid methodology than those usually found in general in system comparisons using automatic metrics such as BLEU, results in WMT human evaluations still leave some questions unanswered. For example, DA scores are based on average ratings attributed to translations sampled from large test sets, and although such methodology does allow application of statistical significance testing to identify potentially meaningful differences in system performance, they do not provide any insight into the reasons behind a significantly higher score or the degree to which systems perform better when translating individual segments. Furthermore, DA score distributions produced in the human evaluation of the news task are based on individual DA scores that alone cannot be relied upon to reflect the quality of individual segments (Graham et al., 2015).

Past work, has however provided a means of running a DA human evaluation in such a way that DA scores accurately reflect the performance of a system on a given individual segment (Graham et al., 2015).
This method comes with the trade-off of requiring substantially more repeat assessments per segment than the test set level evaluation generally run, for example, to evaluate all primary submissions in the WMT news task. In this work we demonstrate how this method has the potential to be employed as a secondary method of evaluation in WMT tasks for a smaller subset of systems to provide segment-level insight into why the top-performing systems outperform one another or indeed to investigate the degree to which human and machine performance differs for individual segments.

2 Related Work

Over the past number of years, machine translation has been biting at the heels of human translation for a small number of language pairs. Beginning with the first claims that machines have surpassed human quality of translation for Chinese to English news text, conclusions received with some skepticism and even controversy (Hassan et al., 2018), as claims of human performance resulted in re-evaluations that scrutinized the methodology applied, highlighting the influence of reverse-created test data and lack of wider document context in evaluations (Läubli et al., 2018; Toral et al., 2018). Despite re-evaluations taking somewhat more care to eliminate such sources of inaccuracies, they additionally included some potential issues of their own, such as employing somewhat outdated human evaluation methodologies, non-standard methods of statistical significance testing and lack of planning evaluations in terms of statistical power. Graham et al. (2019, 2020), on the other hand re-run the evaluation, identify and fix remaining causes of error, and subsequently confirm that, on the overall level of the test set, with increased scrutiny on evaluation procedures, conclusions of human parity were still overly ambitious at that time.

It was not long before results were shown to have reached human performance however, according to more scrutinious human evaluation procedures, as one year later at WMT 2019, MT system performance for some language pairs reached human performance and even surpassed it for two language pairs (Barrault et al., 2019).

Although the admittedly rigorous human evaluation employed in WMT evaluations provides valid conclusions about systems significantly outperforming human translation, it nonetheless employs the somewhat opaque average Direct Assessment scores computed over large test sets of segments that subsequently leave some important questions unanswered in terms of human parity. For example, even if a system performs better on average than a given human translator, this does not necessarily mean that the system translates every sentence better than the human translator. When a tie occurs between human and machine translation, it would be useful to know how performance compares between the two on individual segments. The current WMT human evaluation methodology does not allow for this, however.

In this paper, we carry out fine-grained segment-level comparison of system and human translations using human evaluation and provide a comparison on the segment-level of the top-performing MT systems from WMT-19 news task and the human translator for all language pairs in which a system was shown to either tie (English to Russian) or surpass human performance (English to German; German to English). Human evaluation is required, as opposed to segment-level BLEU, for example, because metrics such as BLEU are not sufficiently accurate to identify fine-grained segment-level differences in quality, as can be seen from low correlations with human assessment (Ma et al., 2019). We make all code and data collected in this work publicly available to aid future research.2

3 Segment-level Direct Assessment

Segment-level Direct Assessment requires running human evaluation with sampling of translations carefully structured to ensure that repeat assessment of the same set of translations occurs a minimum of 15 times for both the translations produced by the systems of interest (Graham et al., 2015). For example, this can be carried out for a reduced number of translations and for a reduced number of systems than the entire test set, since collecting 15 repeat assessments makes exhaustive segment-level evaluation for every participating system likely to be overly costly. It is reasonable to focus the segment-level evaluation on a sample of approximately 500 translations selected at random for the two top-performing systems or indeed, as we do now, the top-performing system and the human translator. An important consideration however, is that regardless of which systems may

2http://www.scss.tcd.ie/~ygraham/emnlpfindings20datacode
be selected for fine-grained segment-level analysis, segment-level evaluation should be run for precisely the same set of segments for all systems of interest so that a comparison of the performance of systems on the same segments will ultimately be possible.

The desired number of source language segments should therefore be sampled at random from the test set before pooling target side translations for the systems of interest, shuffling and arranging them within human intelligence tasks (HITs). We construct HITs of 100 translated segments, subsequently evaluated by humans blind to which system has produced each translation, or as in our case, blind to whether a human or machine produced the translated segment. The configuration of DA we employ is a source-based segment-level evaluation in which human assessors are (i) shown the source language input segment; (ii) the translated text (either human or machine-produced); and (iii) asked to rate the adequacy of the translation on a 0–100 rating scale. Source-based DA has the advantage of freeing up reference translations so that they can be included in the evaluation as if they had been produced by a system. Source-based DA comes with the trade-off, however of requiring bilingual human assessors.

4 Experiments

In order to investigate the degree to which human and machine perform differently on individual test set segments, we run segment-level DA on translations of the same random sample of 540 segments by the top-performing system and the human translator. We do this for each language pair in which there was a tie with human performance WMT-19 (English to Russian) or where machine translation performance had surpassed human translation quality (German to English; English to German).3

In order to access the bilingual speakers required for the source-based DA configuration we run all source-based DA HITs on an in-house crowdsourcing platform. In total 108,829 assessments were collected via the in-house platform. After removing quality controls, we ended up with 87,211 assessments for which we are confident of worker reliability, and employ all those assessments in our final analysis.

3For German to English translation, although HUMAN and the top-performing system, FACEBOOK-FAIR, are ranked in the same cluster, the system significantly outperforms human translation in head-to-head significance test results.

Figure 1: Density plot of sample of 540 accurate segment-level DA scores for English to Russian news translation for top-performing system, FACEBOOK-FAIR, in WMT-19 versus the human translator where in the official results the system tied with human performance; Human denotes evaluation of segments translated by the creator of the standard WMT reference translations.

Figure 2: Density plot of sample of 540 accurate segment-level DA scores for English to German news translation for the top-performing system, FACEBOOK-FAIR, in WMT-19 versus the human translator where in the official results the system beat human performance; Human denotes evaluation of segments translated by the creator of the standard WMT reference translations.
As can be seen from the higher red peak close to the extreme right of both plots indicating that the system produces a marginally higher number of translations with higher levels of adequacy. For English to Russian translation, however, a different pattern occurs, as shown in Figure 1, as it appears that there are locations lower down on the adequacy scale in which the FACEBOOK-FAIR system performs worse than the human translator in three noticeable locations within its score distribution. However, these differences between language pairs are somewhat unsurprising considering that human and system were tied for English to Russian but system beat human in terms of statistical significance for both English to German and German to Russian.

Figure 3: Density plot of sample of 540 accurate segment-level DA scores for German to English translation new translation for the top-performing system, FACEBOOK-FAIR, in WMT-19 versus the human translator where in the official results the system tied with human performance; Human denotes evaluation of segments translated by the creator of the standard WMT reference translations.

Figure 4: Scatter plot of accurate segment-level DA scores for top-performing system, FACEBOOK-FAIR in WMT-19 versus the human translator where in the official results the system tied with human performance; Human A denotes evaluation of segments translated by the creator of the standard WMT reference translations; src denotes a source-based configuration of Direct Assessment was employed to collect scores; segment-level scores for human and machine are the average of a minimum of 15 human assessment scores.

4.1 Human V FACEBOOK-FAIR: English to Russian

As revealed in the WMT-19 human evaluation results, a single system achieved a statistical tie with human assessment for English to Russian news translation. Differences in average overall scores computed on large test sets still leave some questions unanswered however, particularly in terms of which specific source inputs the machine or even human translator might still find challenging. Furthermore it does not provide any insight into differences in performance for specific source language input segments.

Since we desire the ability to examine differences in translations of individual source segments for machine and human we examine scatter plots of accurate segment scores for translations of the same input source segment by the human translator and the top-performing machine, shown in Figure 4 for English to Russian for WMT-19 data.

For English to Russian translation, the scatter plot of adequacy scores for human translator ver-
sus machine shown in Figure 4, in which each “+” signifies the translation of the same source language input test segment, reveals distinct levels of performance for human versus machine for individual segments. Figure 4 reveals that as expected the vast majority of translations score high for both human and machine translations, depicted by the location of the main bulk of translations within the upper right quadrant, as both human and machine translations in this quadrant received an average score above 50%. A perhaps more interesting insight revealed by Figure 4 is the lack of translations appearing in the bottom right quadrant and this indicates that when the system does well on an input source segment so does the human. The reverse cannot be said however of the system, as the upper left quadrant in Figure 4 for English to Russian contains albeit a relatively small number of segments (12 or 2.4%) for which Facebook-FAIR translates poorly while corresponding adequacy scores for the human translator remain above 50%.

To gain more insight into what might take place in the case that either the machine or human performs poorly for the input segments scored below the 50% threshold for English to Russian translation see Table 1, where we include the full translation examples for the two lowest scored Facebook-FAIR translations. In example (a) in Table 1 the system is scored lower because it translates an unknown person on Capitol Hill incorrectly. While the human translator correctly expresses the fact that the person is from Capitol Hill, the system instead implies that the unknown person is on Capitol hill, i.e. as if that person were physically standing on a hill. All the other differences between the human and machine in terms of selection of words in the Russian translation are not critical and read well in terms of the fluent Russian.

In example (b) in Figure 1 there is firstly a mistake in the system translation as it translates detained into Russian as delayed instead of the correct translation that is produced by the human translator. Secondly, in this same example, the system translates migrant children using a Russian term that only refers to children who are migrants themselves, while the human translator uses an arguably better term that includes both children who are migrants and the children of migrants. Finally, in example (b) the system translation appears to lose the intensity of the causality implication that the sentence originally has in English, while the human translation keeps this using the active form of the verb. Remaining English to Russian translations for which the system score falls below 50% are included in Appendix A.

As mentioned previously, for this English to Russian our analysis found no translations for which the human translator performed very poorly while the system succeeded.

4.2 Human V Super-human

Facebook-FAIR: English to German

In the official WMT-19 human evaluation results of the English to German news task, again the same single system, Facebook-FAIR, stood out as quite remarkably outperforming the human translator according to human assessment scores computed over the entire test set (Barrault et al., 2019). In order to further investigate this super-human performance, after collecting accurate segment-level scores for translations of the same 540 source language input segments for both Facebook-FAIR and the human translator, we plot corresponding adequacy scores in Figure 5.

In contrast to English to Russian (Figure 4), and perhaps not surprisingly since the system significantly outperforms the human translator as opposed
(a) Source: The information appeared online Thursday, posted by an unknown person on Capitol Hill during a Senate panel’s hearing on the sexual misconduct allegations against Supreme Court nominee Brett Kavanaugh.

Facebook-FAIR: Информация появилась в сети в четверг, размещённая неизвестным лицом на Капитолийском холме во время слушаний в сенатской комиссии по обвинениям в сексуальных домогательствах в адрес кандидата в Верховный суд Бретта Кavanaugh. 3.3

Human: Информация появилась онлайн в четверг, опубликованная неизвестным на Капитолийского холма во время слушаний коллегии Сената по поводу обвинений в неподобающем поведении против кандидата в Верховный суд Бретта Кavanaugh. 78.9

(b) Source: The number of detained migrant children has spiked even though monthly border crossings have remained relatively unchanged, in part because harsh rhetoric and policies introduced by the Trump administration have made it harder to place children with sponsors.

Facebook-FAIR: Число задержанных детей-мигрантов резко возросло, несмотря на то, что ежемесячное пересечение границ осталось относительно незначимым, части из-за жесткой риторики и политики, введенной администрацией Трампа, стало труднее помещать детей к спонсорам. 2.1

Human: Число задержанных детей мигрантов резко подскочило, хотя среднемесячное количество переходов границ осталось относительно незначимым, частично потому, что жёсткая риторика и политика, принятые администрацией Трампа, усложнили задачу найти детям спонсоров. 64.8

Table 1: English to Russian example translations from WMT-19 news task for which the top-performing system performed poorly; DA denotes average direct assessment scores for translations computed on a minimum of 15 human assessments; DA scores below the 50% threshold highlighted in orange; DA scores above the 50% threshold highlighted in blue

to merely tying with it, the English to German system shows fewer machine translations receiving a low adequacy score combined with a high human score, as only two translations appear in the top-left quadrant of Figure 5. This highlights the fact that even though on average the system performs incredibly well, by on average outperforming human translation, there remains the possibility that this can take place in combination with a albeit small number of poor translations.

To gain more insight into what might take place in the case that either the machine or human performs poorly for the input segments scored below the 50% threshold see Table 2. Two out of the five translations that scored below 50% by either human or machine were translated worst by machine as opposed to the human translator as can be seen by the lower DA scores (a) and (b) in Table 2. Firstly, in example (a) in Table 2 the system translation deviates from the syntactic structure of the source input sentence. It additionally ignores and in addition to translating scene as Unfallort (lit: location of the accident). In contrast, the human translator instead produces Ort des Geschehens which is arguably a better way to express scene.

In example (b) in Table 2, the source word trough is mistranslated as Trog by the system, which is a more common translation of the word trough but is in this context an incorrect lexical choice given that the source input sentence originates in the weather report domain, for which Tief is the appropriate translation, which the human translator correctly translates.

Despite the system performing poorly on two segments for which the human translates correctly, perhaps more surprising is that there are three source input segments for which the machine translates well but the human translator does not. In example (c) in Table 2, the human translates broadcast networks somewhat too literally as Rundfunknetze instead of Rundfunksender. In addition, the human translator incorrectly changes the tense. Finally in example (c) in Table 2 full Senate is again translated too literally into vollem Senat.

In example (d) in Table 2, the human translator chooses the incorrect present tense for the main verb, kündigt ... an as opposed to the future tense. Lastly, in example (e) in Table 2, the human translator converts two-foot into 60 cm which is only approximately correct, the source word brim is translated into Rand which is arguably correct but is nonetheless an unusual lexical choice compared to the system translation, Krempe. Again, tense in the latter part of the source input sentence is not preserved well in the human translation.
Table 2: English to German translations from WMT-19 news task for which either the top-performing system or human translator perform poorly; DA denotes average direct assessment scores for translations computed on a minimum of 15 human assessments; DA scores below the 50% threshold highlighted in orange; DA scores above the 50% threshold highlighted in blue

4.3 Human V Super-human

**FACEBOOK-FAIR: German to English**

For German to English translation, the scatter-plot of translation scores for our 540 source segment sample shown in Figure 6 reveals the bulk of translations located to a more extreme degree in the upper right corner of the plot compared to the other two language pairs. Like both English to Russian and English to German, there are segments for this language pair for which the top-performing system, FACEBOOK-FAIR performs poorly on compared to the human translator, as seven source segments (1.4%) appear in the upper-left quadrant, where the system received an adequacy score lower than 50% while the human translation received a score higher than 50%. Like English to German, however, for German to English translation, the reverse is also true, there are translations that catch out the human translator, for which he/she received a low score, while for the same source input, the machine receives a high score. Such translations, there are six in total (1.2%), are located in the bottom-right quadrant of Figure 6.

Table 3 shows the most extreme examples in
Table 3: German to English translations from WMT-19 news task for which either the top-performing system or human translator perform poorly; DA denotes average direct assessment scores for translations computed on a minimum of 15 human assessments; DA scores below the 50% threshold highlighted in orange; DA scores above the 50% threshold highlighted in blue

| Source | German Translation | Human | DA (%) |
|--------|--------------------|-------|--------|
| (a)    | Source: Im Ziel warf er sein Paddel vor Freude weg und reckte beide Arme siegesicher in die Höhe - wohlwissend, dass es mindestens für eine Medaille reichen würde. Facebook-FAIR: At the finish, he threw away his paddle for joy and raised both arms in victory - knowing that it would be enough for at least one medal. Human: He threw his paddle with joy at the finishing line and, confident of victory, threw both arms in the air - safe in the knowledge that his efforts would secure him a medal. | 23.4 |
| (b)    | Source: Zur Vorsicht wurde auch noch der ÖAMTC-Notarzthubschrauber gerufen. Facebook-FAIR: The ÖAMTC emergency medical helicopter was also called out as a precaution. Human: As a precautionary measure, an emergency air ambulance helicopter was also called into action. | 42.7 |
| (c)    | Source: Hintergrund ist Musk’s überraschende Ankündigung vom August, Tesla von der Börse nehmen zu wollen. Facebook-FAIR: The background is Musk’s surprise announcement in August that he would take Tesla off the stock market. Human: The background is Musk’s surprise announcement in August to take Tesla off the stock exchange. | 96.0 |
| (d)    | Source: Zum 100-Jahr-Jubiläum der Republik, das in diesem Gedenjahr seit mittlerweile fast zehn Monaten gefeiert wird, sind zahlreiche neue Bücher erschienen, die diese Frage meist im Rückblick auf die vergangenen hundert Jahre beantworten. Facebook-FAIR: On the occasion of the 100th anniversary of the Republic, which has been celebrated in this commemorative year for almost ten months now, numerous new books have been published, most of which answer this question in retrospect of the past hundred years. Human: At the 100-year anniversary of the republic that has been celebrated in this commemorative year for almost ten months, many new books appeared that answer this question mainly looking back over the past hundred years. | 49.1 |

The question we ask in this work is highly relevant – what are the differences between human translations and the top MT translations on the segment level when “human parity” is reached. For the English-Russian system our analysis makes it clear that there are a number of segments where the human did better than the machine, but on close inspection of these sentences, there appears to be no generalizable difference that clearly characterizes these kinds of sentences.

For English to/from German, the situation between human and machine is more finely balanced,
and segment-level analysis has shown only a small number of random errors on each side, revealing only minor differences are present even on the segment level when we compare human and machine translations.

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