Noncontact friction via capillary shear interaction at nanoscale

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Friction in an ambient condition involves highly nonlinear interactions of capillary force, induced by the capillary-condensed water nanobridges between contact or noncontact asperities of two sliding surfaces. Since the real contact area of sliding solids is much smaller than the apparent contact area, the nanobridges formed on the distant asperities can contribute significantly to the overall friction. Therefore, it is essential to understand how the water nanobridges mediate the ‘noncontact’ friction, which helps narrow the gap between our knowledge of friction on the microscopic and macroscopic scales. Here we show, by using noncontact dynamic force spectroscopy, the single capillary bridge generates noncontact friction via its shear interaction. The pinning–depinning dynamics of the nanobridge’s contact line produces nonviscous damping, which occurs even without normal load and dominates the capillary-induced hydrodynamic damping. The novel nanofriction mechanism may provide a deeper microscopic view of macroscopic friction in air where numerous asperities exist.
Friction is the force against sliding between two bodies in contact and the phenomenon spans several orders of magnitude from the nanometre scales of biological molecules and atomic contacts to the kilometre scales of earthquakes, having scientific as well as technological importance. In dry condition, the dominant microscopic mechanism for the contact friction is the nonlinear, thermally activated stick-slip dynamics of the contacting asperities, which increases the friction force logarithmically with the sliding velocity. Recent studies have shown that there exists even the minute, noncontact energy dissipation at a nanometric separation due to the fluctuating electromagnetic fields. Therefore, the contact-sliding friction in dry condition seems to be largely a matter of interactions between the objects at the direct contact interface.

In ambient condition, however, there always exists a third object between contact bodies, the capillary–condensed water bridge or meniscus, which binds two nanoscale asperities and thereby strongly affects friction. Such a humidity-assisted friction has been understood as associated with the thermally activated capillary condensation of water vapour into liquid water that occurs between hydrophilic surfaces in air. For the contact sliding of a single asperity, the capillary-mediated friction decreases logarithmically with the velocity due to the proportionally diminishing bridge size and capillary attraction. On the other hand, the water bridge can be also formed in the nanometric gap between two distant asperities, with its nanometric height maintained constant, and thus results in the noncontact friction, unlike in dry condition, even in the absence of normal load on the solid–solid contact.

The liquid bridge has been usually considered to add an additional normal load, increasing the microscopic real contact area and thereby enhancing the overall friction. However, such an indirect effect does not describe the friction that the bridges may produce directly between contact as well as noncontact nano-asperities. This direct contribution is important, in particular, not only because the distant asperities consist of the large part of the apparent contact area beyond the real contact area (Fig. 1a) but also because the water bridge can be easily formed in the gap of distant asperities with separation less than ~10 nm in ambient condition (see also Supplementary Note 1). Although the noncontact friction mediated by a single water bridge is about 10% of contact friction due to a singleasperity, as we shall show later, the overall contribution of noncontact asperities can be significant because they usually exist numerously due to the multi-asperity feature at the macroscopic contact interface. Therefore, for a better understanding of friction in air, it is critical to address the noncontact sliding friction that the single capillary bridge mediates, which helps the gap in our understanding of the microscopic and macroscopic scale friction.

Here we study theoretically and experimentally the capillary ‘shear’ interaction of a single water nanobridge (Fig. 1b) that produces the noncontact sliding friction, by using a shear-mode noncontact atomic force microscope (AFM) that enables quantitative comparison with the contact friction. We show that the bridge-mediated noncontact interaction produces the solid-like elasticity, as well as the novel nonviscous damping that originates from the molecular-scale pinning–depinning dynamics of the tip–bridge contact line.

**Results**

**Formation and detection of a nanosized water bridge.** The noncontact AFM employs the quartz tuning fork oscillator, with a sharp tip attached, which has high stiffness (~10^8 N m^-1) and a high quality factor (~10^3). Consequently, the system not only realizes the stable formation of the single capillary nanobridge with controlled height and volume, avoiding the jump-to-contact instability typically experienced in soft cantilever-based AFM, but also allows sensitive measurement and quantitative analysis of the associated interactions. When the laterally oscillating tip approaches the mica substrate, a water nanobridge is produced by capillary condensation in the tip–sample nanometric gap (Methods), causing a change in the measured quantities, the amplitude and phase of the tip oscillation. Once the nanobridge formation is observed, the tip retracts to avoid the tip–substrate hard contact, while the amplitude change and phase shift are recorded until the bridge is ruptured. The measured experimental data are analysed using the dynamic force

![Figure 1 | Noncontact sliding friction resulting from capillary shear interaction.](image-url)
spectroscopy (DFS) method\textsuperscript{25} to obtain quantitatively the associated bridge-mediated interactions.

**Nanosized water bridge-mediated shear interaction.** The quartz tuning fork-based DFS is ideal for our goal, because it allows high measurement sensitivity for the stiff mechanical oscillator and detects simultaneously and separately the conservative $F_k$ and nonconservative $F_b$ components of the total tip–liquid interaction force $F_{int} (= F_k + F_b)$. Furthermore, by this technique, a wide sliding-velocity range is possible while the bridge volume is maintained constant. Specifically, this technique allows one to obtain ‘effective’ elastic $k_{eff}$ and damping $b_{eff}$ coefficients. The respective forces are then derived as $F_x = -k_{eff}x$ and $F_b = -b_{eff}v$, where $x$ is the lateral displacement of the tip and $v$ is the corresponding shear velocity\textsuperscript{22,24}. Figure 1c shows the typically measured elasticity $k_{eff}$ and $b_{eff}w$ (here, $w$ is the angular frequency of tip oscillation), and they exhibit an abrupt jump when the capillary condensation occurs, which defines the position $z = 0$. The interaction constants $k_{eff}$ and $b_{eff}w$ increase with the relative humidity (RH) or the rupture distance (that is, volume) of the bridge, as shown in Fig. 1d.e. Since $v = 0$ when $x = A$ (and also $v = wA$ when $x = 0$) for the harmonic motion of the tip (with its oscillation amplitude $A$), we can measure separately two instantaneous peak values of the oscillatory interaction force, $F_k$ and $F_b$, at $x = A$ and $x = 0$, respectively; $F_{int} = F_k \approx -k_{eff}A$ at $x = A$ and $F_{int} = F_b \approx -b_{eff}wA$ at $x = 0$.

**Mechanism of water bridge-mediated noncontact friction.** Now, let us discuss the microscopic mechanisms of the liquid bridge-mediated shear interaction. At first, we show that the microscopic mechanism leads to the overall increase of the contact-line force, and the larger imposed force triggers more pinning–depinning processes at the contact line\textsuperscript{30,31}. This microscopic mechanism leads to the overall increase of the velocity $V$ along the direction of the exerted force. We thus can be exactly solved as, $U_1 = 4\gamma R_0 h_0 E(|x|/h_0)^2$, where $\gamma$ is the surface tension of water, $R_0$ the radius of the contact line and $E(|x|/h_0)^2$ is the complete elliptic integral of the second kind (see Supplementary Note 6 for derivation). The energy gradient then gives the maximum value of elastic force at $x = A$,

$$F_k(x = A, v = 0) = -4\gamma R_0 h_0 \frac{d}{dx}E(|x|/h_0)^2|_{x=A},$$

and $k_{eff} \approx -F_k(x = A)/A = \pi\gamma R_0 (1/h_0 + O((A/h_0)^2))$. With the parameters, $R_0 = 15$ nm and $h_0 = 6$ nm (see Supplementary Notes 2 and 3), we find $k_{eff} \approx 0.6$ N m\textsuperscript{-1} in excellent agreement with the experimental results (Fig. 1d).

Besides the pinning of the contact line responsible for the elasticity, however, there can also occur the depinning following each pinning. The pinning–depinning (or stick-slip) kinetics of the contact line induces an energy dissipation and is described by the ‘molecular kinetic theory’\textsuperscript{30}. Essentially, the theory provides a relation between the contact-line velocity $V$ (relative to the tip surface) and the applied force per unit length on the contact line $f_1 \equiv \gamma (\cos \phi_0 \sin \phi_0 - \cos \phi_0 \cos \phi_0)$, given by $|V| = V_0 \exp(-U/k_B T + |f|^2/2k_B T)$, where $\phi_0$ is the dynamic contact angle on the moving contact line. Here this nonlinear pinning–depinning dynamics includes two intrinsic parameters, the length of activated jump $\lambda$ and the activation energy $U$ of the jump. The scale factor $V_0$ is given by $V_0 \equiv k_B T/\hbar$, where $k_B$ is the Boltzmann constant and $\hbar$ the Plank constant.

For a given $U$ and $\lambda$, we can then derive the shear damping force $F_b$ as a function of $V$ by summing up $f_1$ around the contact line. The larger tip motion (or higher $v = wA$) induces the larger contact-line force, and the larger imposed force triggers more pinning–depinning processes at the contact line\textsuperscript{30,31}. This microscopic mechanism leads to the overall increase of the velocity $V$ along the direction of the exerted force. We thus
conjecture that \( V \rightarrow V_0 \exp(-U/k_B T) \equiv V_i \) for \( A \rightarrow 0 \) (that is, no external perturbation) and \( V \rightarrow \omega A \) for \( A \rightarrow \infty \) (that is, infinitely fast and long travel of the contact line) at the tip position \( x = 0 \) (or at the tip velocity is \( \omega A \)), where the bridge experiences a negligible elastic restoring force (equation (1)). Then, introducing an empirical parameter \( 0 \leq \varepsilon(A) \leq 1 \), the degree of slip, we can construct a linear relation between \( V \) and \( A \), as \( V = V_i + \varepsilon(A) \omega A \), where \( \varepsilon(A = 0) = 0 \) and \( \varepsilon(A = \infty) = 1 \). With this relation, we then obtain the maximum frictional force \( F_k \) at \( x = 0 \) and \( \varepsilon > 0 \) as a function of \( A \) (Supplementary Note 5),

\[
F_k(x = 0, u = \omega A) = -\left(2\pi k_BT/\lambda^2\right)R_0 \ln[1 + \varepsilon(\omega A/V_i)]. \tag{2}
\]

Here we find \( F_k \) is proportional to \( R_0 \) and exhibits the logarithmic dependence on the tip velocity \( \omega A \). Notice that for \( \varepsilon < 0 \), \( F_k(x = 0, v = -\omega A) = -F_k(x = 0, v = \omega A) \) due to the symmetry, resulting in the force hysteresis (Fig. 2b). We also find \( F_k(x = -A, v = 0) = -F_k(x = A, v = 0) \).

In summary, the total contact-line-induced shear force is analytically given by the sum of the elastic (equation (1)) and damping (equation (2)) forces, which are our main results. They describe how the contact line of the capillary bridge plays the key role in the shear interaction between two noncontact sliding surfaces: whereas the interfacial energy change due to the liquid-surface elongation during the contact-line pinning produces the restoring force \( F_k \) (equation (1)), the microscopic pinning–depinning process of the contact line results in the nonviscous friction \( F_b \) (equation (2)). For our oscillatory measurement of the interaction forces, \( F_{\text{int}} = F_k + F_b \approx -k_{\text{eff}}x - b_{\text{eff}}v_t \), the elastic part \( F_k \) contributes to the in-phase component, while the damping part \( F_b \) to the out-of-phase component, which are detected separately by the DFS method. Here we note that the pinning–depinning occurs at the contact line, where water molecules hop microscopically over the pinning sites. However, water molecules inside the contact line do not hop under no-slip boundary condition, which is usually assumed between a hydrophilic surface and water. Therefore, because the hydrodynamic viscous damping is \( 10^4 \sim 10^5 \) times smaller than the measured damping, as discussed earlier, we can consider the experimentally measured interaction originates from the three-phase contact line.

Noncontact friction via three-phase contact line. To justify the proposed microscopic mechanism of the bridge-mediated noncontact friction, we have investigated the dependence of the interaction force on the shearing amplitude \( A \). The tip first approaches to form the capillary nanobridge and during its subsequent retraction, the tip stops at a given position \( z = 1 \) nm (or the bridge height \( h_0 \approx 5.6 \) nm) for measurements of \( k_{\text{eff}} \) and \( b_{\text{eff}} \) while varying \( A \) (up to 30 nm). Figure 3 presents the behaviours of the force constants \( k_{\text{eff}} \) and \( b_{\text{eff}} \), and the corresponding forces \( [F_k/\lambda] \) and \( [F_b/\lambda] \), which are normalized respectively to \( k_0 \) and \( b_0 \) for convenience (here, \( k_0 \) and \( b_0 \) are the initial values of \( k_{\text{eff}} \) and \( b_{\text{eff}} \) obtained at \( A = A_0 \approx 0.5 \) nm). For the damping results, we used three fitting parameters \( U, \lambda \) and \( \alpha \), and our model reproduces excellently the measured \( b_{\text{eff}} \) (red curves in Fig. 3). On the other hand, for the elasticity part, we used no adjustable parameters, and the \( k_{\text{eff}} \) values show qualitative agreement although they are overall slightly larger than the results for the simple column model of the bridge (black curves in Fig. 3).

In Fig. 3a, we observe two characteristic behaviours of \( k_{\text{eff}} \) and \( b_{\text{eff}} \). First, they both exhibit maximum values in the limit of small \( A \). Second, the elastic coefficient decays faster than the damping constant with respect to \( A \). These features are expected from the functional forms of equation (1) and equation (2), where both \( F_k \) and \( F_b \) initially increase linearly with \( A \), but the force increments are suppressed as \( A \) increases. That is, while \( F_k(\approx k_{\text{eff}}A) \) converges to a specific value as \( A \rightarrow \infty \), \( F_b(\approx b_{\text{eff}}\omega A) \) increases logarithmically with \( A \), so that \( F_b/A \) is expected to decay faster than \( F_k/A \). We also notice that \( b_{\text{eff}} \) initially increases slightly from \( A = 0.5 \) nm to below \( A = 10 \) nm, in which the higher rate of depinning occurs at the larger \( A \). Therefore, \( \varepsilon(A) \) increases up to \( 1 \) for \( A < 10 \) nm, but \( \varepsilon(A) = 1 \) remains constant beyond \( A = 10 \) nm. Indeed, we fit excellently the experimental data \( b_{\text{eff}}/\lambda \) by using \( \varepsilon(A) \) such that \( \varepsilon(A) \) is the linear curve connecting two points, \( \varepsilon = 0.6 \) at \( A = 0.5 \) nm and \( \varepsilon = 1 \) at \( A = 10 \) nm for \( A \leq 10 \) nm, whereas \( \varepsilon(A) = 1 \) for \( A > 10 \) nm (red curves in Fig. 3).

Figure 3b presents the corresponding behaviours of the capillary shear forces versus \( A \) (or equivalently the shear velocity \( \omega A \)). Interestingly, the capillary shear friction increases logarithmically with the sliding velocity (see inset), similarly to the stick-slip contact friction in dry condition, despite the constant volume of the capillary bridge during measurements (Supplementary Note 7), which is realized due to the fast tip oscillation and the resulting short measurement time (that is, lock-in detection at \( w \)). This indicates that the logarithmic increase of the noncontact friction, resulting from the pinning–depinning ‘hopping’ processes of the contact line, shares similar physical origins with the
stick-slip mechanism of contact friction in dry condition. Interestingly, we observe this contact-line-induced friction may account for the recent solid–solid contact-sliding experiment on mica, where the logarithmic force increase was observed for constant normal load at low velocity\textsuperscript{32}. In contrast, for sliding contact friction at relatively high velocity in ambient condition\textsuperscript{3}, the force decreases logarithmically with velocity, which is attributed to the reduced friction as a result of the proportionately rapid diminishing of the capillary bridges due to evaporation of the contact-sliding meniscus and insufficient nucleation time for a meniscus formation\textsuperscript{15}. Notice that at a farther tip–sample distance of \( z = 5.2 \) nm, where the evaporation of the water bridge is more favourable so that the bridge becomes smaller, we observe the force starts decreasing soon after initial increase (Supplementary Note 7).

Numerical calculation of the two intrinsic parameters, \( \lambda \) and \( U \), indicates that the pinning–depinning processes of the contact line is associated with the dynamics at the molecular scale. In such a molecular process, \( \lambda \), the mean distance between neighbouring pinning sites, should be an atomic-scale distance (< 1 nm). Moreover, it has been argued by Blake and De Coninck that the activation energy per unit area is just the work of adhesion

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Indeed, we obtain \( \lambda = 0.6 \) nm and \( U = 14.7k_BT \) by fitting equation (2) to the experimental data for \( A = 10 \) nm, which gives \( U/\lambda^2 = 0.19 \) \( \text{N m}^{-1} \approx 2; \approx W_a \) where \( \gamma = 0.072 \) \( \text{N m}^{-1} \) at 20 \( \text{C} \). In addition, when depinning occurs, the force per unit length on the contact line is also expected to be the order of \( U/\lambda^2 \), which is supported by equation (2). \( F_b (x = 0, u > 0)/R_o = 0.05 \text{N m}^{-1} \sim U/\lambda^2 \).

Consequently, the friction force \( F_b \) can be attributed as due to thermal activation of the water molecules at the contact line, being adsorbed and desorbed over the atomic scale \( \lambda = 0.6 \) nm on the gold surface of the probe. It is interesting to observe that the sizable nonviscous damping that we observed even at \( A < 1 \) nm already indicates the existence of the atomic-scale pinning–depinning processes (also refer Fig. 4).

Notice that water molecules near the contact line undergo incessantly repeating pinning–depinning (that is, adsorption–desorption or stick-slip) motion caused by the moving tip, which results in resistive frictional force. Such a friction at the molecular scale is manifested as the continuous and smooth energy dissipation at the macroscopic scale, described by the damping coefficient \( b_{\text{eff}} \), and it is responsible for the substantial energy dissipation that cannot be accounted for by usual hydrodynamic dissipation. A similar continuous macroscopic manifestation of the microscopic pinning–depinning processes as the energy dissipation has been shown in a different system that consists of a colloidal particle at the liquid interface\textsuperscript{34}, where enhanced energy dissipation associated with the contact line was also observed beyond the predictions of the hydrodynamic viscous damping.

By varying RH, we observe that the noncontact sliding interaction can be enhanced by an order of magnitude with the increase of RH from 2 to 60%. Because the larger volume of the liquid bridge is formed at higher RH (Fig. 1d,e), the contact-line radius \( R_o \) increases with RH for a fixed tip–sample distance. Specifically, by the numerical calculation based on the Young–Laplace equation\textsuperscript{35,36}, we find \( R_o \) varies from 7 to 32 nm as RH increases from 2 to 60% at \( z = 1 \) nm or \( h_0 = 5.6 \) nm (see Supplementary Fig. 5 for details on a fine control of \( R_o \)). In Fig. 4, we present the interaction coefficients obtained at \( z = 1 \) nm as a function of \( R_o \), measured for small \( A (< 1 \) nm), where \( k_{\text{eff}} \) and \( b_{\text{eff}} \) at RH = 60% become 5 and 10 times larger than the values at RH = 2%, respectively.

Figure 4 shows that \( k_{\text{eff}} \) and \( b_{\text{eff}} \) are linearly proportional to \( R_o \) at \( R_o > 15 \) nm. This linearity is expected because the forces, equations (1) and (2), are linearly dependent on \( R_o \). Notice that the linear behaviour holds above a certain value of \( R_o \), which is because the current model of the bridge-induced interaction does not take into account the detailed geometry of the water bridge. For example, for the real geometry of a bridge, there exists a critical value \( R_o \) for the minimum contact-line radius, at which the neck diameter of the bridge vanishes and thus \( F_b = F_o = 0 \) at \( R_o = R_o \), unlike equations (1) and (2) that predict the forces become zero only when \( R_o = 0 \), which cannot hold below \( R_o \). Moreover, the continuum material model of the liquid bridge may break down for \( R_o < 15 \) nm, where the substantially differing behaviours of \( k_{\text{eff}} \) and \( b_{\text{eff}} \) are observed (Fig. 4), so that the discrete nature of the nanosized matter should be considered (see ref. 37).

Discussion

For a unifying understanding, let us compare the bridge-mediated noncontact friction with the solid–solid contact friction, both of which possess the similar mechanism of the pinning–depinning or the stick-slip dynamics. The contact friction is characterized by the ‘interfacial shear strength’, which is the friction divided by the real solid–solid contact area and is typically of the order of \( 10^8 \) \( \text{Pa} \)\textsuperscript{38,39}. For the present noncontact friction, we obtain the complex shear modulus \( G^* \), which is defined as the ratio of stress to strain and is represented by the complex sum of the storage modulus \( G' \) and the loss modulus \( G'' \), that is, \( G^* = G' + iG'' \). While \( G' \) represents the measure of the bridge resistance to being deformed elastically against the applied shear force, \( G'' \) shows the corresponding energy dissipation with respect to the same strain of the bridge. This leads to \( G' = k_{\text{eff}}/A/\pi R_o^2 \) and \( G'' = b_{\text{eff}}/\pi R_o^2 \). Figure 4 shows that \( G'' \) is \( 10^4 \) times larger than the bulk water, a substantial enhancement over the hydrodynamic effects between the tip and bridge. Interestingly, we emphasize that the noncontact shear dissipative modulus of a
single bridge is up to 10% of the contact modulus, indicating that the noncontact friction may even dominate the contact friction depending on the conditions such as the number of capillary bridges.

In conclusion, we present the microscopic mechanism of the noncontact friction where the capillary shear interaction plays a key role. In particular, the novel nonviscous damping is attributed to the nonlinear pinning–depinning molecular processes of the tip–bridge contact line, which increases logarithmically with the shear velocity, as similarly observed in the atomic-scale ‘stick-slip’ friction between solids in contact in dry condition. Notice that although the previous contact friction experiments in humid condition exhibit logarithmic decrease due to the reduced capillary-adhesion effect with velocity^{11}, the present contact-line-induced friction may occur at the initial, low-velocity stage of the contact sliding where the capillary shear is nonnegligible. Our results offer a new mechanism of the nanotribology in ambient condition, which can be used for better microscopic understanding of both the macroscopic friction where numerous asperities exist and the rheological properties of the nanoscale interfacial fluids, and for designing and controlling the micro/nano electro-mechanical systems.

Methods

Experimental details. We used an etched gold tip and mica substrate in AFM operation, and they were prepared as follows: the gold tip was made by electrochemical etching in a 3.5-M HCl solution, which typically produced a tip diameter of 400~500 nm. The tip was attached to one of two prongs of the quartz tuning fork. For the mica substrate, freshly cleaved mica was rinsed using dilute acid 5% (acetic acid) and then using deionized water. The tip and sample were placed in a homebuilt air-tight humidity-controlled metallic chamber at RH=2%, where they were dried for several hours before making measurements. The metallic chamber is equipped with two inlets for dry and H2O-saturated nitrogen gases and with one outlet connected to a vacuum pump. By varying the gas flows through the inlets, we control the relative humidity in the chamber. In addition, we also performed the experiment using a cantilever Si tip with a smaller radius of curvature, which produced a correspondingly smaller bridge and smaller force constants were measured. Further, when we used a hydrophobic, highly ordered pyrolytic graphite (HOPG) substrate instead of hydrophilic mica, the capillary-condensed water bridge was not produced, so that there is no bridge-mediated contribution to friction.

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Author contributions

M.L. developed the noncontact AFM and theoretical tool. M.L., B.K. and J.K. carried out experiments. W.I. designed and directed the research. M.L. and W.I. analysed and interpreted the data, and wrote the manuscript.

Additional information

Supplementary Information accompanies this paper at http://www.nature.com/naturecommunications

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