Physician adherence and patient-reported outcomes in heart failure with reduced ejection fraction in the era of angiotensin receptor-neprilysin inhibitor therapy

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This Korean nationwide, multicenter, noninterventional, prospective cohort study aimed to analyze physician adherence to guideline-recommended therapy for heart failure (HF) with reduced ejection fraction (HFrEF) and its effect on patient-reported outcomes (PROs). Patients diagnosed with or hospitalized for HFrEF within the previous year were enrolled. Treatment adherence was considered optimal when all 3 categories of guideline-recommended medications (angiotensin-converting enzyme inhibitors, angiotensin receptor blockers, or angiotensin receptor-neprilysin inhibitors; beta-blockers; and mineralocorticoid receptor antagonists) were prescribed and suboptimal when ≤ 2 categories were prescribed. The 36-Item Short Form Survey (SF-36) scores were compared at baseline and 6 months between the 2 groups. Overall, 854 patients from 30 hospitals were included. At baseline, the optimal adherence group comprised 527 patients (61.7%), whereas during follow-up, the optimal and suboptimal adherence groups comprised 462 (54.1%) and 281 (32.9%) patients, respectively. Patients in the suboptimal adherence group were older, with a lower body mass index, and increased comorbidities, including renal dysfunction. SF-36 scores were significantly higher in the optimal adherence group for most domains (P < 0.05). This study showed satisfactory physician adherence to contemporary treatment for HFrEF. Optimal adherence to HF medication significantly correlated with better PROs.

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Heart failure (HF) is a major threat globally, owing to its association with increased morbidity, mortality, and deterioration in the quality of life of patients. It also imposes a substantial economic burden on the society. Recent HF guidelines recommend evidence-based medications to reduce mortality in patients with HF with reduced ejection fraction (HFrEF). These medications include angiotensin-converting enzyme inhibitors (ACEIs)/angiotensin receptor blockers (ARBs), beta-blockers (BBs), and mineralocorticoid receptor antagonists (MRAs). Based on the results of landmark randomized controlled trials, angiotensin receptor-neprilysin inhibitors (ARNIs) were included as a class I indication in the recently updated HF guidelines. Subsequently, recent trials have investigated the potential of ARNIs in increasing the survival and quality of life of patients with HF. However, to date, large-scale performance-measure trials in HF have not included patients treated with ARNIs, a drug class that can improve patient outcomes and treatment cost-effectiveness. The changing clinical profiles of patients with HFrEF necessitate a reassessment of patient quality of life and physician adherence to the latest HF guidelines, which now include 5 different classes of recommended medications (class I indication).

Moreover, a gap exists between the recommended guidelines and the current practice of HF treatment with respect to physician adherence. An observational nationwide study using the Korean National Health Insurance Claims database showed that 28.6% of elderly patients with HF did not receive optimal evidence-based treatment. Furthermore, compelling data from a previous study show that many patients with HF do not take their medications as prescribed by their healthcare providers. According to these data, the overall compliance among patients with HF was 72%, and noncompliance contributed to worsening of HF symptoms, thereby leading to hospitalization. Therefore, further studies on contemporary medical treatment patterns, including use of ARNIs, in patients with HFrEF are necessary to gain insights into improved treatment strategies in these patients.

Poor quality of life resulting from uncontrolled symptoms is a major concern and an important target for treatment in patients with HF. Notably, patients with HF with uncontrolled symptoms had low scores in all domains of the 36-Item Short Form Survey (SF-36), a tool designed to evaluate quality-of-life parameters such as physical health and mental status. Patient-reported outcome (PRO) measures are used to evaluate patient-reported information in terms of subjective health, including health-related quality of life, symptoms of anxiety and depression, and symptom burden. Evaluation of PROs in patients with HFrEF is important because HF is a common chronic illness that is accompanied by frequent acute exacerbations, often requiring hospitalization, and a significant symptom burden. PROs enable physicians to deliver more patient-centered care, thereby improving patients’ quality of life. A recent study showed that nonadherence to medication also correlated with poor PROs. Real-world nationwide data on adherence to guideline-recommended treatment and PROs in Asian patients with HF are lacking with respect to ARNIs. Therefore, this study aimed to analyze the treatment patterns of patients with HFrEF in the era of ARNIs and to evaluate PROs according to physician adherence to guideline-recommended treatments.

Methods
Study design and patient population. This was a Korean nationwide, multicenter, noninterventional, prospective cohort study. Patients with HFrEF who were diagnosed with HF or hospitalized for HF within the previous year were enrolled on an outpatient basis. Data were collected at enrollment (baseline) and after 6 months by review of medical records and patient paper surveys. Only patients who completed the 6-month follow-up were included in the final analysis. Adult patients aged ≥ 19 years with a documented diagnosis of HF using the International Classification of Diseases 10th Revision (ICD-10) codes I50, I50.0, I50.1, I50.9, I11.0, I13.0, I13.2, I25.5, I42, or I42.x on the main or first subdiagnosis; patients with HFrEF with a left ventricular ejection fraction (LVEF) < 40% and New York Heart Association class II-IV; patients diagnosed with HF or hospitalized for HF within the previous year; and patients with an outpatient status at the enrollment date were included in the analysis. Patients who had participated in an interventional clinical trial 1 year before enrollment and patients with an inpatient status at the enrollment date were excluded. The study design is summarized in Fig. 1.

Ethics statement. The study was performed in accordance with the principles outlined in the Declaration of Helsinki and was approved by the institutional review board of Wonju Severance Christian Hospital (IRB number CR317108) and each participating center (Asan Medical Center; Bucheon St. Mar’s Hospital; Chonnam National University Hospital; Chungbuk National University Hospital; Chungnam National University Hospital; Dongguk University Ilsan Hospital; Gachon University Gil Medical Center; Gangneung Asan Hospital; Gyeongsang National University Hospital; Hallym University ChunCheon Sacred Heart Hospital; Hallym University Dongtan Sacred Heart Hospital; Hallym University Kangnam Sacred Heart Hospital; Incheon Sejong Hospital; Jeju National University Hospital; Jeonbuk National University Hospital; Kangwon National University Hospital; Keimyung University Dongsan Hospital; Korea University Guro Hospital; Kosin University Gospel Hospital; Kyungpook National University Hospital; Kyungpook National University Hospital; Pusan National University Bundang Hospital; Seoul National University Hospital; Seoul St. Mary’s Hospital; Severance Hospital; Uijeongbu St. Mary’s Hospital.). All patients provided written informed consent before enrollment in the study.

Guideline adherence measures. Adherence to guideline-directed medical therapy for HFrEF (3 categories: ACEis/ARBs/ARNIs, BBs, and MRAs) was evaluated using the prescription information at baseline and after a follow-up of 6 months. Adherence to treatment was considered optimal when all 3 categories of medications were prescribed and suboptimal when ≤ 2 categories of medications were prescribed.
Outcome analysis. The primary objective of the study was to evaluate the treatment patterns of patients with HFrEF according to physician adherence in the era of ARNIs. Patient characteristics and treatment patterns were obtained from hospital medical records.

Quality of life was measured using SF-36, which provides scores for physical health as well as mental status. SF-36 consists of the following 10 domains: physical functioning (PF), role physical (RP), bodily pain (BP), general health (GH), vitality (VT), social functioning (SF), role emotional (RE), mental health (MH), physical component summary (PCS), and mental component summary (MCS). The scores for each domain range from 0 to 100, with higher scores indicating better quality of life.

SF-36 scores were compared between the optimal adherence and suboptimal adherence groups at baseline and at 6 months. In addition, the time to HF hospitalization, defined as the duration from study enrollment to hospitalization for HF during the 6-month follow-up, was compared between the 2 groups.

Statistical analysis. All data were analyzed without adjustments for outliers or imputation for missing values. Categorical variables are presented as numbers and frequencies, whereas continuous variables are presented as means ± standard deviations. A paired t-test was used to compare the variables at baseline and at the 6-month follow-up within each group. Comparisons of continuous variables between the optimal adherence group and suboptimal adherence group were made using the Student’s independent 2-sample t-test. For categorical variables, the chi-square test was used to compare the data between the 2 adherence groups. The log-rank test was used to compare time to hospitalization due to HF between the 2 groups. A 2-tailed P value of < 0.05 was considered statistically significant. Statistical analyses were performed using IBM SPSS Statistics, version 21 (Armonk, NY, USA).

Results

Patient baseline characteristics. A total of 975 patients from 30 hospitals were enrolled, of whom 854 completed the 6-month follow-up and were included in the analysis. Patients were enrolled from July 2018 to April 2019, and data were collected from July 2018 to November 2019, with a mean ± standard deviation follow-up duration of 183.4 ± 28.7 days (Supplementary Figure S1). Of the 854 patients, 527 (61.7%) were in the optimal adherence group and 327 (38.3%) were in the suboptimal adherence group (30.4% of the patients were prescribed medications from 2 categories and 7.6% from 1 category; 0.2% of the patients were not prescribed any of the HF medications; Table 1). Patients in the optimal adherence group were younger and predominantly male, had a higher body mass index, and had fewer comorbidities with preserved renal function. During follow-up, 462 (54.1%) patients remained in the optimal adherence group, 65 (7.6%) patients moved from optimal to suboptimal adherence, 46 (5.4%) patients changed from suboptimal to optimal adherence, and 281 (32.9%) patients remained in the suboptimal adherence group. Patients’ characteristics evaluated at the 6-month follow-up are summarized in Supplementary Table 1.
Overall, 91.5%, 89.8%, and 72.3% of patients were prescribed ACEis/ARBs/ARNIs (19.3%/39.9%/32.4%), BBs, and MRAs, respectively (Fig. 2). At the 6-month follow-up, 91.3%, 89.2%, and 68.0% of patients were prescribed ACEis/ARBs/ARNIs (11.4%/46.5%/33.5%), BBs, and MRAs, respectively. Among ACEis, perindopril was most frequently prescribed (58.8%), followed by ramipril (35.1%), whereas among ARBs, valsartan was most frequently prescribed (46.5%), followed by candesartan (32.2%). Among BBs, carvedilol was most frequently prescribed (56.2%), followed by bisoprolol (31.9%). Only 2 patients (0.2%) were not prescribed any of the guideline-recommended medications.

**PRO measures.** SF-36 scores for PROs at baseline and at the 6-month follow-up were significantly better in the optimal adherence group for most domains. The optimal adherence group had significantly higher scores at baseline for all domains, except VT, MH, and MCS, than the suboptimal adherence group (PF, $P < 0.001$; RP, $P = 0.002$; BP, $P = 0.032$; GH, $P = 0.006$; VT, $P = 0.050$; SF, $P = 0.002$; RE, $P = 0.002$; MH, $P = 0.275$; PCS, $P < 0.001$; and MCS, $P = 0.081$). At the 6-month follow-up, SF-36 scores for all domains were significantly higher in the optimal adherence group than in the suboptimal adherence group (PF, $P < 0.001$; RP, $P < 0.001$; BP, $P = 0.029$; GH, $P = 0.001$; VT, $P = 0.002$; SF, $P = 0.001$; RE, $P < 0.001$; MH, $P = 0.001$; PCS, $P < 0.001$; and MCS, $P = 0.001$). A significant increase in scores during the follow-up period was also noted in the optimal adherence group, whereas the increase in scores was less prominent in the suboptimal adherence group. SF-36 scores are presented in Table 2 and Fig. 3.

**Table 1.** Baseline characteristics of patients with optimal adherence and suboptimal adherence at enrollment. Data are presented as number (%) or mean ± standard deviation. BMI, body mass index; BNP, B-type natriuretic peptide; BUN, blood urea nitrogen; CABG, coronary artery bypass grafting; CCr, creatinine clearance; Cl, chlorine; COPD, chronic obstructive pulmonary disease; Cr, creatinine; ESRD, end-stage renal disease; Hb, hemoglobin; HF, heart failure; K, potassium; LVEF, left ventricular ejection fraction; MI, myocardial infarction; Na, sodium; NT-proBNP, N-terminal pro B-type natriuretic peptide; NYHA, New York Heart Association; PCI, percutaneous coronary intervention. *P* values were calculated using the Student’s independent 2-sample t-test or χ² test as appropriate.

| Characteristics          | Optimal adherence (n = 527) | Suboptimal adherence (n = 327) | $P$ Value* |
|--------------------------|-----------------------------|--------------------------------|------------|
| Age (years)              | 60.2 ± 14.4                 | 65.1 ± 13.5                    | < 0.001    |
| Sex (male)               | 377 (71.5)                  | 208 (63.6)                     | 0.015      |
| BMI (kg/m²)              | 24.8 ± 4.7                  | 23.3 ± 3.7                     | < 0.001    |
| HF duration > 12 months  | 135 (25.6)                  | 97 (29.7)                      | 0.196      |
| NYHA class               |                             |                                |            |
| Class II                 | 437 (82.9)                  | 257 (78.6)                     | 0.218      |
| Class III                | 86 (16.3)                   | 65 (19.9)                      |            |
| Class IV                 | 4 (0.8)                     | 5 (1.5)                        |            |
| LVEF (%)                 | 28.1 ± 7.0                  | 29.7 ± 6.7                     | 0.001      |
| BNP (pg/mL)              | 971.5 ± 1328.1              | 1110.6 ± 1338.0                | 0.455      |
| NT-proBNP (pg/mL)        | 3272.8 ± 4873.4             | 7125.9 ± 9,770.3               | < 0.001    |
| Hb (g/dL)                | 13.8 ± 2.1                  | 12.8 ± 2.4                     | < 0.001    |
| Na (mmol/L)              | 139.4 ± 3.0                 | 139.5 ± 3.3                    | 0.509      |
| Cl (mmol/L)              | 102.3 ± 3.8                 | 103.2 ± 4.6                    | 0.007      |
| K (mmol/L)               | 4.5 ± 0.5                   | 4.4 ± 0.5                      | 0.189      |
| BUN (mg/dL)              | 20.9 ± 10.3                 | 26.6 ± 16.2                    | < 0.001    |
| Cr (mg/dL)               | 1.2 ± 0.9                   | 1.7 ± 1.8                      | < 0.001    |
| Ccr (ml/min)             | 77.2 ± 38.9                 | 57.6 ± 41.3                    | < 0.001    |
| Comorbidities (yes)      | 435 (82.5)                  | 292 (89.3)                     | 0.007      |
| Hypertension             | 254 (48.2)                  | 187 (57.2)                     | 0.011      |
| Atrial fibrillation      | 129 (24.5)                  | 100 (30.6)                     | 0.050      |
| Dyslipidemia             | 189 (35.9)                  | 132 (40.4)                     | 0.187      |
| Diabetes mellitus        | 175 (33.2)                  | 129 (39.5)                     | 0.064      |
| COPD                     | 29 (5.5)                    | 28 (8.6)                       | 0.082      |
| MI                       | 71 (13.5)                   | 52 (15.9)                      | 0.326      |
| PCI                      | 102 (19.4)                  | 76 (23.2)                      | 0.174      |
| CABG                     | 17 (3.2)                    | 16 (4.9)                       | 0.219      |
| ESRD                     | 8 (1.5)                     | 24 (7.3)                       | < 0.001    |
| Number of comorbidities  | 1.9 ± 1.4                   | 2.3 ± 1.5                      | < 0.001    |

**Treatment patterns in patients with HFrEF.** Overall, 91.5%, 89.8%, and 72.3% of patients were prescribed ACEis/ARBs/ARNIs (19.3%/39.9%/32.4%), BBs, and MRAs, respectively (Fig. 2). At the 6-month follow-up, 91.3%, 89.2%, and 68.0% of patients were prescribed ACEis/ARBs/ARNIs (11.4%/46.5%/33.5%), BBs, and MRAs, respectively. Among ACEis, perindopril was most frequently prescribed (58.8%), followed by ramipril (35.1%), whereas among ARBs, valsartan was most frequently prescribed (46.5%), followed by candesartan (32.2%). Among BBs, carvedilol was most frequently prescribed (56.2%), followed by bisoprolol (31.9%). Only 2 patients (0.2%) were not prescribed any of the guideline-recommended medications.
Time to HF hospitalization. A total of 49 patients (9.3%) in the optimal adherence group and 34 patients (10.4%) in the suboptimal adherence group were hospitalized for HF during the 6-month follow-up. The log-rank test showed no significant difference between the 2 groups ($P = 0.549$).

Discussion
This nationwide, multicenter, prospective cohort study demonstrated that (1) physician adherence to guideline-directed medical therapy was satisfactory (92.1% of patients were prescribed > 2 categories of HFrEF medications, and 61.7% of patients were prescribed all 3 categories); (2) patients in the suboptimal adherence group tended to be older and had a lower body mass index and increased comorbidities, including renal dysfunction; and (3) patients in the optimal adherence group had better PROs at baseline and a more prominent improvement in PROs at the 6-month follow-up compared with those in the suboptimal adherence group.

Treatment patterns in patients with HFrEF. Data regarding treatment patterns obtained from the current Korean nationwide prospective cohort study are more robust compared with the data obtained from previ-
Physician adherence and PROs in HF. Patient adherence to guideline-directed HF medications is crucial to reduce mortality and morbidity. Patient adherence is also important in the management of patients.
with HFrEF. PRO measures indicative of feelings or functions related to the patient’s health and treatments are considered important indicators of HFrEF, owing to the significant symptom burden of the disease. Among the various PRO measures, SF-36 provides information on a wide range of parameters indicative of health status. SF-36 has been validated for measuring health-related quality of life in patients with chronic illnesses in several countries, but data on the Asian population are still lacking. The results of this study showed that optimal adherence was associated with higher SF-36 scores at baseline, and a noticeable improvement was observed at the 6-month follow-up. However, considerable improvements were also observed in several SF-36 domains in the suboptimal adherence group as patients in this group received at least some degree of guideline-directed pharmacological treatment, and nonpharmacological treatment was administered regardless of the medication status during the study period. SF-36 scores observed in this study were higher than those reported in the previous registry data from Western countries; this may be attributable to the prospective nature of the current study, which might have had an indirect effect on physician adherence, resulting in higher PRO scores.

Patient adherence to medication in HFrEF. Various measures have been developed and applied to increase patient-level adherence or compliance for better outcomes. The interventions implemented in the current study to improve patient adherence, such as training/education sessions, patient reminder systems, self-care support, physician factors, and organizational changes, were effective in decreasing mortality and rehospitalization. In the previous study, patients’ noncompliance to therapy was significantly associated with an increase in the number of medicines. This result indicates the difficulty in maintaining optimal compliance with an increase in the number of medications. Physicians need to pay continuous attention during their everyday practice to maintain an optimal level of treatment in patients with HFrEF.

Variables related to suboptimal physician adherence. According to a previous study, the factors associated with underuse of guideline-directed therapy in HFrEF were female sex, older age, renal dysfunction, liver dysfunction, bradycardia, and hypotension. These factors also correlated with poor outcome and further increased the risk of poor physician adherence to optimal management. In this study, we observed that older age, female sex, low body mass index, more diverse comorbidities, elevated NT-proBNP level, decreased renal function, and a relatively preserved left ventricular systolic function were consistently associated with suboptimal adherence at baseline and at the 6-month follow-up. Worsening renal function and combined comorbidities associated with polypharmacy may interfere with optimal HFrEF medication in these patients. Significantly higher NT-proBNP level also reflect the high-risk features of suboptimal adherence group. However, patients with comorbidities who are at higher risk of adverse outcomes require more intensive optimal medical therapy. Patients with relatively preserved left ventricular systolic function (LVEF > 35%) to < 40%) are not frequently prescribed all 3 categories of medications as the current guidelines do not recommend routine use of MRAs for LVEF > 35% and partly because of physician inertia in patients with a relatively higher LVEF. Improving physician adherence is crucial, particularly in the context of such high-risk patients. As the aging population increases worldwide, particularly in Asia (including Korea), the issue of adherence trend linked to underlying patient factors needs to be addressed.

Strengths and limitations. To the best of our knowledge, this is the first prospective cohort study to evaluate the effect of physician adherence on PROs in the era of ARNIs. Current HF guidelines recommend the use of ARNIs over ACEis/ARBs to improve outcomes. Considerable use of ARNIs (> 32%) is reflective of the current real-world treatment pattern for HF.

Despite the above-mentioned strengths, this study has several potential limitations. First, adherence to guidelines was evaluated by medication categories without considering the target dose. However, because of the diversity in the up-titration and dose-adjustment process, calculation of the absolute value of the medication dose during a specific period is difficult. Furthermore, a substantial number of patients cannot reach the target doses even in prospective trials, and a lower dose of medication has proven to be effective in those trials. Second, unlike the previous studies on physician adherence, this study showed no difference in clinical events (HF hospitalizations). This could be due to the short follow-up period and the relatively lower incidence of adverse events observed in the present study. The nonblinded nature of the study might have had an impact on physician and patient awareness, resulting in better HF education and self-management in the entire study population, thereby weakening the early effect of optimal physician adherence. Third, the patients included in this study were relatively younger than those in the previous HF registry study. The trend toward a younger study population has also been seen in previous PRO studies that required patients to have preserved cognitive function to answer the survey questions. Extended observation might elucidate the effect of physicians’ optimal adherence on clinical outcomes.

Conclusions
Data from the Korean nationwide, prospective registry showed favorable physician adherence to contemporary medical therapy for HFrEF. Optimal physician adherence to HF medications was associated with better PROs. Further studies are required to elucidate the effect of optimal physician adherence on clinical outcomes.

Data availability
The data that support the findings of this study are available from Novartis Korea Ltd. but restrictions apply to the availability of these data, which were used under license for the current study, and so are not publicly available. Data are however available from the authors upon reasonable request and with permission of Novartis Korea Ltd.
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All authors provided a contribution to the study design, data collection and revision of the study. I.C.K. and J.C.Y. drafted the first and further version of manuscripts and all authors have approved the final version of the manuscript for submission. B.S.Y. supervised this study.

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