Sickle cell trait is associated with controlled levels of haem and mild proinflammatory response during acute malaria infection

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Summary

The controlled induction of haemoxygenase-1 (HO-1), an enzyme that catabolizes haem, has been shown to reduce haem, preventing pathologies associated with haem toxicity. The hemoglobin genotype HbAS confers reduced susceptibility to severe complications of malaria by a mechanism that is not well understood. Using a longitudinal approach, we investigated the effect of baseline concentrations of HO-1 on the accumulation of haem during acute Plasmodium falciparum malaria in HbAS and HbAA genotypes. Plasma concentrations of haem, HO-1 and cytokines were quantified in venous blood obtained from children (9 months–5 years of age) during malaria infection, and at convalescence (baseline levels). Parasitaemia was determined during malaria infection. In patients with the HbAA genotype, there was a significant elevation in the plasma concentration of haem \( (P < 0.001) \) and a consequent increased induction of HO-1 \( (P < 0.001) \) during falciparum malaria compared with levels at convalescence. Contrary to HbAA, plasma concentration of haem did not change in the HbAS genotypical group \( (P = 0.010) \), and the induction of HO-1 was reduced during malaria compared with levels at convalescence \( (P = 0.006) \). Higher plasma levels of haem were observed in HbAS compared with HbAA at convalescence \( (P = 0.010) \), but this difference did not affect the levels of HO-1 within each genotype \( (P = 0.450) \). Relatively milder proinflammatory responses were observed in HbAS children during malaria infection compared to HbAA children. Our findings suggest that a mechanism of reduced susceptibility to severe malaria pathologies by the HbAS genotype may involve the control of haem, leading to controlled levels of HO-1 and milder proinflammatory responses during acute malaria.

Keywords: haem, haemoxygenase-1, malaria, sickle cell trait

Introduction

The clinical outcome of most infectious diseases, including malaria, is mediated by complex host and pathogen factors [1]. Host factors include the level of host immunity (resistance) against the circulating strain of parasite and some intrinsic mechanisms that limit pathology without necessarily interfering with pathogen burden [2].

The Plasmodium parasites have long co-existed, and co-evolved, with the human host. This relationship has exerted extraordinary evolutionary pressure on the human genome. Consequently, humans have selected multiple genetic polymorphisms that provide intrinsic protection against severe malaria complications [3–6].

The heterozygous sickle haemoglobin genotype (HbAS) is the best-characterized human genetic polymorphism associated with malaria. The HbS allele has increased in frequency within the African population, hitting a prevalence of 25–30% in some African populations [7]. The high prevalence of HbS allele in sub-Saharan Africa and some other tropical areas is presumed to be due to the protection against severe malaria afforded to heterozygotes [8–10].

Associations between the HbAS genotype and protection against severe forms of malaria disease have been established [8,11]. One mechanism postulates that the HbAS genotype causes reduced parasite burdens [12]. However,
several other studies have suggested that the HbAS genotype limits pathological conditions such as cytoadherence [13] and immune-modulatory imbalance [14], without necessarily interfering or limiting parasite burden [15–17]. The evidence to date demonstrates that children with the HbAS genotype contract malaria, but they have a decreased incidence of the two common forms of severe malaria: cerebral malaria and severe malarial anaemia [11]. In addition, lower mortalities from malaria are associated with this genotype [11]. However, the exact molecular mechanism that explains this reduced susceptibility to severe complications of malaria and mortality compared with wild-type genotype (HbAA) remains unclear.

Free haem has been shown to aggravate oxidative stress; thus, inducing an excessive proinflammatory state which has been associated with several pathologies, such as acute chest syndrome and lung inflammation [18,19]. The erythrocytic cycle of *P. falciparum* invasion, replication and schizont rupture leads to haemolysis and the release of haem. Thus, the accumulation of haem during malaria is expected to be involved in severe malaria complications. Indeed, reports have implicated free haem in the pathogenesis of cerebral and non-cerebral complications of malaria in well-defined murine models [17,20].

Recently, the induction of haemoxygenase-1 (HO-1) was shown to prevent the onset of experimental cerebral malaria and mortality in *P. berghei* ANKA-infected mice with the HbS allele [16]. Ferreira and colleagues showed elegantly that the mechanism that prevented the HbAS genotype from the onset of experimental cerebral malaria in mice was independent of the abrogation of parasite burden, but via a mechanism that involves the accelerated breakdown of haem by HO-1 [16]. However, the pathophysiology of *P. berghei* malaria is quite different from that of *P. falciparum*. Therefore, it is of interest to know whether this interesting phenomenon observed in murine malaria is relevant and observable in human malaria.

Based on the available evidence, we investigated the effect of the levels of haem observed in children with the HbAS and HbAA genotype on the plasma concentration of HO-1, and the association between plasma concentration of HO-1 and the availability of haem during malaria infection in humans. We also investigated how levels of free haem relate to the inflammatory response in the context of malaria infection.

We present data that suggest that the HbAS genotype is associated with a better control of haem accumulation during *falciparum* malaria than the HbAA genotype. The controlled level of haem during acute malaria infection was associated with a milder proinflammatory response in children with the HbAS genotype compared to the HbAA genotype. However, HO-1 did not appear to be associated with reducing haem accumulation.

### Materials and methods

#### Study site

The study was conducted at Oni Memorial Hospital, a child out-patient clinic in Ibadan, South-Western Nigeria. The area is a holo-endemic region characterized by year-round malaria transmission, with seasonal peaks between June–July and November–December [9]. In the study site, malaria presents as a range of sequelae ranging from mild presentation (uncomplicated malaria) to severe life-threatening complications, such as severe malarial anaemia and cerebral malaria [7,9]. The sampling period was January–March 2016, a lower transmission season characterized by lower malaria incidence. Almost all the children recruited into this study (90%) were from the Yoruba ethnic group, the major ethnic group in the study area [7,9].

#### Study design and study participants

We adopted a longitudinal approach, which involved sampling at two time-points: at presentation with acute malaria and at convalescent phase of infection (3 weeks later). The participants comprised 140 unrelated children (between the ages of 9 and 60 months) referred for malaria tests by the attending physician. Children who tested positive to malaria rapid diagnostic tests and were confirmed by microscopy were recruited for further laboratory analyses (n = 62, HbAA = 40, HbAS = 22) (Fig. 1). All patients who tested positive to both malaria tests presented signs of uncomplicated malaria, exhibiting fever or a history of fever in the past 48 h, without World Health Organization (WHO) warning signs for severe malaria [21]. The children were treated with artemether/lumefantrine (Coartem), administered orally. Three weeks post-first visit, 40 children were resampled (HbAA = 19, HbAS = 21) after parasite clearance was confirmed by rapid diagnostic test (RDT) and microscopy. Based on the negative RDT and microscopy results from the 40 patients who were followed-up successfully, we can infer that treatment was 100% successful. However, we could not assess treatment success from the rest of the 22 children who were treated but were lost to follow-up. This sample, taken in healthy children at convalescence, served as an internal control for each patient, and was assumed to represent predisease baseline (Fig. 1). At both sampling points (i.e. before delivery of anti-malaria and 3 weeks post-treatment), venous blood (2–3 ml) was drawn into sterile ethylenediamine tetraacetic acid (EDTA) tubes and transported to the clinical laboratory at the Institute of Child Health, College of Medicine, University of Ibadan. The blood samples were centrifuged and the plasma was aliquoted into Eppendorf tubes and stored frozen at −80°C until further analyses.

Ethical approval was obtained from the joint University of Ibadan–University College Hospital, Ibadan Ethical Committee, and from the Oyo State Health board. In addition,
participation in this study was voluntary, with written informed consent obtained from the parent/guardian.

Quantification of parasitaemia
Parasitaemia was confirmed and estimated by the microscopic examination of Giemsa-stained thick blood films for erythrocytic stages of *P. falciparum*. The entire smear was first screened at a low magnification (×40 objective lens) to detect the best fields with even distribution of white blood cells (WBC) (10–20 WBC/field) [22]. All Giemsa-stained thick smears were viewed independently by two experienced microscopists. The results were compared, and any sample with discordant results was examined by a third experienced microscopist. Parasite densities were recorded as a ratio of parasites to WBCs in thick blood films. An assumed WBC count of 8000/μl of blood [22] was used in the calculation of parasite densities.

Haemoglobin genotyping
Laboratory characterization of the haemoglobin (Hb) types was carried out by cellulose acetate electrophoresis at pH 8-9, as described by Chanarin [23] and adopted by Agarwal [24]. The haemoglobin electrophoretic pattern of each sample haemolysate was used to assign the respective haemoglobin genotype.

Quantification of total haem and HO-1
Total haem (protein-bound and free haem) in plasma was quantified by a chromogenic assay, as described previously [20,25] using the QuantiChromHaem Assay Kit (BioAssay Systems, Hayward, CA, USA). The optical density (OD) at 400 nm wavelength of each sample was determined by a microplate reader (Bio-Tek Services Inc., Richmond, VA, USA). The total haem concentration of each sample was calculated as described by the manufacturer. Levels of soluble HO-1 were determined in the same plasma samples (diluted to 1 : 50) [26] by enzyme-linked immunosorbent assay (ELISA) in duplicate wells of a precoated (with HO-1 specific monoclonal antibodies) 96-well strip plate using the human HO-1 ELISA Kit (LifeSpan BioSciences Inc., Seattle, WA, USA). In addition, extensive genetic variations, which might confound levels of haem and HO-1, were eliminated, as measurements were made in matched samples from the same individual taken at convalescence and during malaria infection.

Cytokine profiling
Plasma concentrations of cytokines were measured in a multiplex assay using the highly sensitive xMAP technology (Luminex Corporation, Austin, TX, USA), which allows the quantification of several biological analytes in a 96-well format. Using this method, six proinflammatory and four anti-inflammatory cytokines were measured in duplicate wells for each sample. The proinflammatory cytokines were tumour necrosis factor (TNF)-α, interferon (IFN)–γ, interleukin (IL)–1β, IL-2, IL-6 and IL-12 (p70), while IL-4, IL-7, IL-10 and IL-13 were the anti-inflammatory cytokines quantified. The levels of each cytokine were recorded after background subtraction.

Statistical analyses
Statistical analyses were performed using GraphPrism version 6.01 (GraphPad Software, Inc., San Diego, CA, USA). Parasite densities were compared between genotypes by Mann–Whitney U-test. Differences between plasma levels of cytokines, HO-1 and haem were compared within and between genotypes using either two-sided Student’s t-test or Mann–Whitney U-test. For association analysis, Pearson’s correlation was used to investigate the relationship between parasite density and plasma concentration of haem within each genotype.
Results

Patients’ characteristics and parasite density between genotypes during malaria infection

One hundred and forty children who were referred for malaria tests by the attending physician were recruited into the study. The proportions of the various haemoglobin genotypes were HbAA = 66%, HbAS = 25%, HbAC = 5%, HbSC < 1% and HbSS < 4% in the entire population. Samples from children with the HbSS, HbAC and HbSC genotypes were excluded from other analyses due to very low numbers. From the total recruited children, 62 tested positive to malaria including 26 (40%) males and 36 (60%) females, with a mean age of 36 (range = 9–96) months. There were no significant differences in age (P = 0.200) and gender (P = 0.740) when they were stratified based on haemoglobin genotypes (i.e. HbAS and HbAA). Forty children with either HbAS or HbAA genotype were found to be parasite-free by microscopy 3 weeks later (HbAS, n = 21; HbAA, n = 19). Among children with malaria, parasite density did not differ significantly between genotypes (P = 0.467) (Fig. 2).

Comparison of haem levels during acute malaria infection in both genotypes

To investigate the effect of haemoglobin genotype on the accumulation of haem during malaria infection, levels of haem were measured in matched samples, with samples taken at convalescence considered as pre-disease baseline controls. During malaria infection, patients with the HbAA genotype had higher levels of haem compared to patients in the HbAS group (P = 0.026) (Fig. 3). In addition, malaria infection caused a significant increase in haem levels, when levels of haem at convalescence were compared with levels during malaria infection within the HbAA group (P = 0.002) (Fig. 3), whereas no significant difference in haem levels were observed within the HbAS group (P = 0.110) (Fig. 3). Interestingly, when levels of haem at convalescence were compared between genotypes, patients with the HbAS genotype had significantly higher levels of circulating extracellular haem compared to patients with the HbAA genotype (P = 0.010) (Fig. 3). Taken together, these data show that acute malaria infection does not cause a marked increase in haem levels in patients with the HbAS genotype, and suggests a better control of haem.

Parasite density does not correlate with plasma concentration of haem during malaria infection in patients with the HbAS genotype

The egress of matured merozoites from schizonts is accompanied by the release of undigested haemoglobin and unpolymerized haem [27,28]. Consequently, parasitaemia is expected to contribute to the plasma concentration of extracellular haem during malaria infection. Based on this hypothesis, we investigated the association of parasite density with plasma concentration of haem during malaria infection in patients with the HbAS genotype.

![Fig. 3. Comparison of levels of extracellular haem at baseline and during acute malaria between both genotypical groups. Plasma concentration of haem was quantified using a chromogenic assay in duplicate wells for each sample. Data are presented as scatter-plots. Red line across indicates median value (P = 0.0467, Mann–Whitney U-test).](colour figure can be viewed at wileyonlinelibrary.com)
density with plasma levels of haem during malaria infection in both haemoglobin genotypes. Pearson’s correlation analysis revealed a significant positive correlation between parasite density and plasma levels of haem in patients with HbAA genotype ($r = 0.67, P = 0.004$) (Fig. 4a) only, but not in patients with the HbAS genotype ($r = 0.07, P = 0.770$) (Fig. 4b). These data suggest a linear association between parasitaemia and haem levels within the HbAA group only, and confirms that patients with the HbAS genotype might be able to control haem levels more effectively during malaria infection.

**Baseline concentration of HO-1 is not associated directly with the control of haem in patients with the HbAS genotype during malaria infection**

Increased induction of HO-1 has been shown to control levels of haem [16]; we consequently investigated whether higher baseline levels would be associated with the better control of haem that was observed in patients with the HbAS genotype during acute malaria. Levels of HO-1 measured at convalescence were taken as predisease baseline levels. The concentration of circulating HO-1 at convalescence did not differ significantly between the genotypes at convalescence, we can infer that baseline levels of HO-1 may not play a direct role in controlling haem levels during malaria infection in patients with the HbAS genotype.

**Milder proinflammatory response during malaria infection in patients with the HbAS genotype**

An excessive proinflammatory response has been implicated as a contributing factor to severe malaria pathologies [14,29]. As extracellular haem can activate immune cells and cause the excessive secretion of proinflammatory cytokines [30,31], we investigated whether higher levels of haem that were observed in patients with the HbAA genotype were associated with an increased inflammatory response during malaria infection. As quantified by a multiplex assay, no significant increase in the plasma concentrations of IFN-γ, IL-12, IL-2, IL-1β and IL-6 was observed in patients with the HbAS genotype during malaria infection compared with levels at convalescence ($P < 0.001$, $P < 0.001$, $P < 0.001$, $P < 0.001$ and $P < 0.001$, respectively) (Fig. 6a–e). However, levels of these proinflammatory cytokines increased significantly during malaria infection in patients with the HbAA genotype ($P < 0.001$, $P < 0.001$, $P = 0.045$ and $P < 0.001$, respectively) (Fig. 6a–e). In addition, IFN-γ levels were elevated significantly during malaria infection in patients with the HbAA genotype compared with the HbAS genotype ($P = 0.008$) (Fig. 6a). Levels of TNF-α did not increase significantly within each genotype during malaria infection compared with baseline concentration (HbAA: $P = 0.990$; HbAS $P = 0.740$) (Fig. 6f). Taken together, this result suggests that children with the HbAS genotype are better able to control the proinflammatory response during acute
malaria, presumably because they are better able to control levels of haem.

Similar patterns of anti-inflammatory response during malaria infection in HbAA and HbAS genotypes

Plasma concentrations of four anti-inflammatory cytokines (IL-4, IL-7, IL-13 and IL-10) were also quantified in the two groups at convalescence and during malaria infection. Levels observed during malaria infection were compared with levels at convalescence. Similar patterns of anti-inflammatory response were observed within each genotype. For IL-4 and IL-7, plasma concentrations increased significantly during malaria infection within both genotypical groups (HbAA IL-4 \( P = 0.010 \), IL-7 \( P = 0.010 \); HbAS IL-4 \( P < 0.001 \), IL-7 \( P = 0.004 \) (Fig. 7a, b). Levels of IL-13 also increased significantly during malaria infection in both genotypical groups (HbAS, \( P = 0.030 \) and HbAA, \( P = 0.050 \)); however, the increase was at borderline significance in the HbAA group. Plasma concentrations of IL-10 did not differ significantly during malaria infection compared with levels at convalescence in both haemoglobin genotypes (HbAA, \( P > 0.900 \); HbAS, \( P > 0.900 \) (Fig. 7d).

Discussion

We adopted a longitudinal approach to investigate the hypothesis that chronic haemolysis in patients with the HbAS genotype leads to increased levels of haem, which consequently induces higher chronic levels of HO-1. High chronic levels of HO-1 would, in turn, cause the accelerated breakdown of haem released during parasite egress, thus preventing excessive inflammation. Studies in murine models have demonstrated that the accelerated breakdown of haem by the increased induction of HO-1 prevents the onset of experimental cerebral malaria in mice [16,17]. Contrary to reports that have implicated the reduction of parasitaemia as a cause of the reduced susceptibility to severe complications of malaria in patients with the HbAS genotype [3,12,32], we found no significant difference in parasite densities between patients with the HbAS genotype and patients with the HbAA genotype during acute malaria infection. Our cohort of children does not have severe forms of malaria; therefore, we cannot rule out the possibility of a difference in parasitaemia between the genotypical groups if they have severe malaria. In addition, this study was constrained by low sample size, therefore a larger sample size should be considered in subsequent studies. Nevertheless, it is possible that the HbAS genotype mediates reduced susceptibility to severe complications of malaria independently of the reduction of parasite burden, as shown in previous reports [16,17,20,26], and as we have observed from our population during acute malaria infection.

Although parasitaemia did not differ between haemoglobin genotypes, plasma levels of haem were lower in patients with the HbAS genotype compared with patients with the HbAA genotype during malaria infection, suggesting that carriers of this trait might be better able to control haem during malaria infection. Further analysis revealed that parasite density correlated positively with plasma levels of haem during malaria infection in patients with the HbAA genotype alone. The correlation analysis was confirmed further from the observation of higher plasma concentrations of haem during acute malaria infection compared with levels at convalescence in the HbAA genotype, while plasma concentrations of haem did not change in patients with the HbAS genotype during malaria infection. The increase in plasma levels of haem during malaria infection in patients with the HbAA genotype can be attributed to haemolysis driven by the lysis of erythrocytes during merozoite egress [5,6,28]. However, the non-significant change in the concentration of haem during acute malaria in patients with
the HbAS genotype suggests that these individuals may have a more efficient mechanism for the breakdown of haem.

Evidence from previous studies have shown that haem, the substrate of HO-1, is also its major inducer [15–17,20,33], and when cells are exposed to haem there is an increased expression of HO-1 to catabolize haem and prevent its cytotoxicity [33]. As patients with the HbAS genotype have higher levels of haem compared with HbAA genotype at convalescence, we investigated the possibility

Fig. 6. Proinflammatory cytokine profile within each genotype during malaria infection. Data are presented as scatter-plots. The line across represents the median value (*P < 0.050; ** P < 0.010; ***P < 0.001; ****P < 0.0001; Mann–Whitney U-test).
[Colour figure can be viewed at wileyonlinelibrary.com]
of a corresponding induction of HO-1 in both genotypes. Our findings demonstrate the first evaluation of baseline levels of HO-1 in children with different haemoglobin genotypes. A previous study demonstrated that malaria-induced soluble mediators returned to normal levels 1 week after treatment [34]; therefore, levels of HO-1 measured 3 weeks post-treatment (at convalescence) is a valid approximation of baseline levels. We observed that the difference in the concentrations of haem between genotypes at convalescence did not affect levels of HO-1 significantly, although patients with the HbAS genotype had slightly higher levels at convalescence. Previous studies have shown that extracellular haem is scavenged by haemopexin [35], and when haemopexin is saturated, excess free haemin is adsorbed to albumin [15]. These proteins represent different levels of haem scavengers. When the scavenging capacity of haemopexin and albumin is exhausted, then HO-1 presents a final defence mechanism that avoids the accumulation of free haem in plasma [36,37]. Therefore, the observation that levels of HO-1 at convalescence were

![Fig. 7. Anti-inflammatory cytokine profile within each genotype during malaria infection. Data are presented as scatter-plots. The line across represents the median value (\*P < 0.050; \*\*P < 0.010, \*\*\*P < 0.001; \*\*\*\*P < 0.000; Mann–Whitney U-test). [Colour figure can be viewed at wileyonlinelibrary.com]](image-url)
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not affected significantly by levels of haem at convalescence within the HbAS group suggest that these described first layers of haem scavengers may be regulating circulating levels of haem effectively with no significant effect on HO-1 levels. In summary, the baseline concentration of HO-1 may not play a (direct) role in the better control of haem that we observed in the HbAS group during malaria infection.

The increased induction of HO-1 in HbAA patients during acute malaria poses a danger. Haem-induced HO-1 above a certain threshold may be part of the causal pathway leading to severe diseases [25]. Suttner and colleagues demonstrated how haem can mediate oxidative injury by increasing HO-1 expression [38]. They observed that ferrous iron, a by-product of haem breakdown, accumulated in excessively high HO-1-expressing cells [38]. Therefore, HO-1 at very high levels may potentiate, rather than attenuate, cellular toxicity from reactive oxygen species (ROS). Data presented here show that patients with the HbAA genotype indeed have a higher plasma concentration of HO-1 during malaria infection than patients with the HbAS genotype, suggesting a higher predisposition of the HbAA group to oxidative stress.

Free haem can activate immune cells causing immune-modulatory imbalance, which can lead to the secretion of proinflammatory cytokines in excess [14,29]. Measurement of mediators of inflammation revealed that proinflammatory cytokines (including IL-12, IFN-γ, IL-1β, IL-6 and IL-2) increased significantly in patients with the HbAA genotype during malaria infection compared with baseline levels, while no significant elevation in plasma concentrations of these proinflammatory cytokines were observed in patients with the HbAS genotype. We also quantified anti-inflammatory cytokines, as a balance of pro- and anti-inflammatory cytokines is necessary to control inflammatory responses [29]. Unlike the proinflammatory cytokines, patterns of anti-inflammatory cytokines were mostly similar in the AA and AS groups. We examined correlations of haem levels with cytokine levels during malaria infection within each genotype; however, we found no significant linear associations between levels of haem and cytokine levels (data not shown), indicating the complexity of the relationship between haem levels and cytokine regulation.

Higher levels of proinflammatory cytokines have been shown to cause dyserythropoiesis [30], which can lead to severe malarial anaemia. In addition, proinflammatory cytokines cause an increased expression of adhesion molecules on vascular endothelial cells, which promotes sequestration of infected erythrocytes. Sequestration of infected erythrocytes in the microvasculature of the brain can lead to cerebral malaria [13,14,26,39]. Plasma concentrations of IFN-γ were also significantly higher in patients with the HbAA genotype compared with patients with the HbAS genotype during acute malaria infection. IFN-γ is a potent mediator of proinflammation and plays essential roles in protective immunity against blood-stage Plasmodium infection. However, after Plasmodium infection, increased IFN-γ production by various types of cells, particularly CD4+ T cells, is involved not only in protective immunity, but also in immune pathology [40–42]. These results suggest that a higher plasma concentration of haem might influence a higher secretion of proinflammatory cytokines, particularly IFN-γ, in patients with the HbAA genotype, which may predispose them to excessive inflammation that has been associated with severe malaria pathologies [14]. Taken together, these results indicate an increased induction of the type 1 proinflammatory response in patients with the HbAA genotype compared with patients with the HbAS genotype.

Observations from this study provide insights into a possible mechanism of reduced susceptibility to severe complications of malaria in patients with the HbAS genotype. We showed that patients with the HbAS genotype are able to control haem during acute malaria infection. The ability to control haem effectively led to milder proinflammatory responses in patients with the HbAS genotype compared to the HbAA genotype. The effective control of haem and proinflammatory cytokines during acute malaria may explain the reduced susceptibility of children with the HbAS genotype to severe complications of malaria.

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Author contributions

T. W. A. and G. A. A. designed the study and wrote the paper. G. A. A. and O. K. A. co-supervised the experiments and edited the manuscript.

Disclosure

The authors declare no disclosures.

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