Reliability modeling and prediction of passive controlled structures through Random Forest

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Abstract. Reliability prediction plays a significant role in risk assessment of engineering structures. Mathematically, the prediction task can be seen as a classification (regression) procedure. In this aspect, machine learning methods have recently shown their superior performance over others in various research domains. Random forest (RF) is distinguished for its robustness and high accuracy in modeling and prediction work. However, its application in the area of structural reliability has not been widely explored. This study aims to explore the feasibility of RF as well as examine its performance in modeling and prediction of structure reliability in passive control mode. A numerical example is introduced in the simulation part to evaluate performance of the proposed method in different perspectives.

1 Introduction

The reliability of an engineering structure varies during its lifetime. To guarantee the desired level of its efficiency and safety, increasing efforts have been devoted to reliability prediction techniques. Traditional reliability models are generally based on specific a priori assumptions about lifetime distributions, which are no longer realistic for today’s complex structures [1]. For this problem, growing attention has been paid to statistical learning approaches. Generally, the prediction task can be seen as a classification/ regression procedure. There are many machine learning models among which support vector machine (SVM) [2] and artificial neural network (ANN) [3] were most frequently considered in recent articles. However, the ANN is known for its complex architecture optimization, low robustness and enormous training time [4]. Besides, the SVM is time consuming for large-scale applications and sometimes shows large error in sensitivity calculations. Random forest (RF) is a tree method distinguished for its robustness and high accuracy in modeling and classifications [5]. However, RFs have rarely been used for reliability predictions in current literatures. Thus, this study focus on the feasibility of RF as well as its performance in reliability modeling and prediction of structures in passive control mode.

2 Structural response analysis

As is shown in Figure 1, a typical single degree-of-freedom (SDOF) system consists of a primary structure and a TMD which are characterized by the natural frequencies \( \omega_1 = \sqrt{k_1/m_1} \) and \( \omega_2 = \sqrt{k_2/m_2} \), damping ratios \( \xi_1 = c_1 / 2\sqrt{k_1m_1} \) and \( \xi_2 = c_2 / 2\sqrt{k_2m_2} \) and mass ratio \( \mu = m_1 / m_2 \). The base acceleration \( \ddot{y}_b \) which is modeled by Kanai-Tajimi stationary stochastic process, is expressed by \( \ddot{y}_b = \dot{y}_b + w(t) = -(2\xi_1\omega_1\dot{y}_b + \omega_1^2\ddot{y}_b) \), where \( w(t) \), \( \omega_1 \), \( \xi_1 \), \( \dot{y}_b \) and \( \ddot{y}_b \) are the stationary Gaussian white noise process, natural frequency, damping ratio and relative response of the elastic filter. The global state space vector of the structural system is constructed as \( \mathbf{z} = [\ddot{y}_1, \ddot{y}_2, \dot{y}_1, \dot{y}_2, \dddot{y}_1, \dddot{y}_2]^T \), then the equation of motion is expressed in the state space form as

\[
\dot{\mathbf{z}} = \mathbf{A} \mathbf{z} + \mathbf{f}
\]

Here \( \mathbf{f} = [0, 0, 0, 0, -w(t)]^T \), \( \mathbf{A} = [\mathbf{A}_x, \mathbf{A}_{xy}, \mathbf{A}_{yt}, \mathbf{A}_{yy}] \) where

\[
\mathbf{A}_x = \begin{bmatrix}
-a_1^1 & a_1^2 & a_1^3 & a_1^4 & a_1^5 & a_1^6 \\
-a_2^1 & a_2^2 & a_2^3 & a_2^4 & a_2^5 & a_2^6 \\
0 & 0 & 0 & 0 & -a_3^1 & a_3^2 \\
0 & 0 & 0 & 0 & 0 & -a_4^1 & a_4^2 \\
0 & 0 & 0 & 0 & 0 & 0 & -a_5^1 & a_5^2 \\
0 & 0 & 0 & 0 & 0 & 0 & 0 & -a_6^1 & a_6^2 \\
\end{bmatrix} \\
\mathbf{A}_{xy} = \begin{bmatrix}
2\xi_1\omega_1 & 2\xi_2\omega_2 & 2\xi_1\omega_1 & 2\xi_2\omega_2 \\
-2\xi_1\omega_1 & -2\xi_2\omega_2 & 2\xi_1\omega_1 & 2\xi_2\omega_2 \\
0 & 0 & 0 & 0 \\
0 & 0 & 0 & 0 \\
0 & 0 & 0 & 0 \\
0 & 0 & 0 & 0 \\
\end{bmatrix} \\
\mathbf{A}_{yt} = \begin{bmatrix}
-2\xi_1\omega_1 & -2\xi_2\omega_2 & -2\xi_1\omega_1 & -2\xi_2\omega_2 \\
0 & 0 & 0 & 0 \\
0 & 0 & 0 & 0 \\
0 & 0 & 0 & 0 \\
0 & 0 & 0 & 0 \\
0 & 0 & 0 & 0 \\
\end{bmatrix} \\
\mathbf{A}_{yy} = \begin{bmatrix}
-2\xi_1\omega_1 & -2\xi_2\omega_2 & 2\xi_1\omega_1 & 2\xi_2\omega_2 \\
0 & 0 & 0 & 0 \\
0 & 0 & 0 & 0 \\
0 & 0 & 0 & 0 \\
0 & 0 & 0 & 0 \\
0 & 0 & 0 & 0 \\
\end{bmatrix}
\]

The standard state space expression is

\[
\dot{\mathbf{x}} = \mathbf{A} \mathbf{x} + \mathbf{B} \mathbf{u} \\
y = \mathbf{C} \mathbf{x} + \mathbf{D} \mathbf{u}
\]

Here \( \mathbf{x} = \mathbf{z} \), \( \mathbf{Bu} = \mathbf{f} \); \( \mathbf{C} = [0,1,0,0,0,0]^T \); \( \mathbf{D} \) equals to 0. The response process \( \mathbf{y} \) can be simulated by softwares such as Matlab.
3 The proposed method

The basic procedures of the proposed methodology is schematically described in Figure 2. We assume the uncertainties in the base structure are characterized by normal distributions. The structure failure probability in the proposed method is defined as the risk that the structure responses exceed a predefined limit within a certain interval of time [0, T].

The splitting criterion is used to find the optimal split point. At node t, the best split s is chosen to maximize the goodness of split $\Delta i(s, t)$.

$$i(t) = \sum_{a \in h(t)} (y_a - \bar{y}(t))^2$$

where $y_a$ is the decision value for sample $a$, $\bar{y}(t)$ is the average of all decision values in $h(t)$. At node $t$, the best splitting point $s$ maximizes

$$\Delta i(s, t) = i(t) - i(t_L) - i(t_R)$$

where $t_L$ and $t_R$ are the left child node and right child node produced by the splitting point $s$. The splitting procedures should be stopped if the defined stopping rules are satisfied.

After training the RF, we determine the prediction value by averaging all predicted values of the individual trees on a new sample $x'$:

$$\hat{f} = 1/K \sum_{k=1}^{K} \hat{f}_k(x')$$

where $K$ is the size of RF.

4 Numerical test

In this section, the RF performance in reliability modeling and predictions of an exemplary structure are shown and discussed. The structure parameter configurations come from Elyes [8], see Table 1. Besides, the natural frequency and damping ratio of the seismic model are $\omega_f = 25.224 \text{ rad/s}$, $\xi_f = 0.4$. The power
The spectral density of the white noise process is $S_n = 0.03 W / Hz$. The failure criterion is $4.3 \times 10^{-2} m$.

### Table 1. Parameters of the structure

| Parameters    | $m$ (kg) | $c$ (N·m/s) | $k$ (N/m) |
|---------------|----------|-------------|-----------|
| Base structure| 1        | 0.03        | 696.4     |
| TMD           | 0.02     | 0.0695      | 12.725    |
| standard dev. | 0.1      | 0.001       | 5.0       |

In this example, the uncertainties exist in the base structure properties. Different sizes of data of the uncertain property values are sampled according to their uncertain characteristics. The sizes of data range from 1000 cases to 19000 cases.

![Co-coef vs TrainingData](image1)

![RMSE vs TrainingData](image2)

**Figure 3** Simulation results based on RF model

We evaluate the model itself by cross-validation on the datasets. Another 1000 cases are sampled as a test set to study the model performance in predictions. From Figure 5, we see that with the increase of the training data, the Co-coef (correlation coefficients) increase no matter in the cross-validation or prediction procedures. This means that the RF model fits the data very well, and more importantly it doesn’t overfit the data. Meanwhile, the RMSEs (root mean square error) decrease drastically, which indicates that the model becomes more accurate when more samples are available.

### 5 Conclusions

In this paper, the Random Forest method is investigated on reliability modeling and prediction of passive controlled structures. Results from the numerical example showed that the RF model behaves well in failure modeling and prediction of passive controlled structures. The RF model is an alternative way to study structure reliability and more attention should be paid to this approach.

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