Cancer heterogeneity: implications for targeted therapeutics
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Developments in genomic techniques have provided insight into the remarkable genetic complexity of malignant tumours. There is increasing evidence that solid tumours may comprise of subpopulations of cells with distinct genomic alterations within the same tumour, a phenomenon termed intra-tumour heterogeneity. Intra-tumour heterogeneity is likely to have implications for cancer therapeutics and biomarker discovery, particularly in the era of targeted treatment, and evidence for a relationship between intra-tumoural heterogeneity and clinical outcome is emerging. Our understanding of the processes that exacerbate intra-tumoural heterogeneity, both iatrogenic and tumour specific, is likely to increase with the development and more widespread implementation of advanced sequencing technologies, and adaptation of clinical trial design to include comprehensive tissue collection protocols. The current evidence for intra-tumour heterogeneity and its relevance to cancer therapeutics will be presented in this mini-review.

Tumour heterogeneity refers to the existence of subpopulations of cells, with distinct genotypes and phenotypes that may harbour divergent biological behaviours, within a primary tumour and its metastases, or between tumours of the same histopathological subtype (intra- and inter-tumour, respectively). With the advent of deep sequencing techniques, the extent and prevalence of intra- and inter-tumour heterogeneity is increasingly acknowledged. There are features of intra-tumour heterogeneity that form part of routine pathologic assessment, but its determination does not yet form part of the clinical decision-making process. This mini-review aims to summarise the evidence supporting the extent, causes and consequences of intra-tumour heterogeneity, and will suggest how this knowledge may be integrated into future clinical practice and research efforts to optimise patient care and clinical outcomes. Inter-tumour heterogeneity is well described in breast cancer and other solid tumours, but is beyond the scope of this article (for a comprehensive review, see Russnes et al, 2011).

THE SPECTRUM OF CANCER HETEROGENEITY

Clinico-pathological heterogeneity and its molecular basis. Morphological variation between different regions of a tumour has long been familiar to histopathologists. For this reason, it is routine for pathologists to examine multiple sections from the same tumour, but to report only the highest grade. Nuclear pleomorphism is another example of intra-tumour heterogeneity, which is accounted for in breast cancer grading. It is also readily apparent to those clinicians treating cancer that there is marked variation in tumour behaviour between patients with the same tumour type, and between different tumour sites in the same patient; the latter is usually manifested as differential or mixed responses to therapy (Figure 1). Intuitively, common clinico-pathological observations such as these could be attributable to intra-tumour heterogeneity, but studies are only now beginning to formally evaluate this relationship. It is also likely that other factors, such as pharmacodynamics, contribute to the non-uniformity of drug response.

Initial proof that multiple subclones of differing genetic status existed within the same tumour was provided by G-banding karyotyping and fluorescent in situ hybridisation (FISH) studies, demonstrating discrete patterns of chromosomal rearrangements and copy number alterations of representative genomic loci (reviewed in Navin and Hicks, 2010). Completion of the human genome project was a prelude to understanding the true genetic complexity underlying cancer, providing the opportunity to systemically sequence somatic coding and non-coding aberrations on a large scale.
Although other forms of intra-tumoural heterogeneity exist, such as phenotypic heterogeneity, this review will focus on the impact of genetic intra-tumour heterogeneity on treatment stratification and therapeutic outcome.

Clonal evolution as a model of tumour progression and heterogeneity. A clonal evolutionary model of cancer development was first proposed by Nowell (1976) and elaborates upon Darwinian models of natural selection – that is, genetically unstable cells accumulate genetic alterations, and that selective pressures favour the growth and survival of variant subpopulations with a biological fitness advantage. This model, in partnership with cancer genomic instability mechanisms exacerbating structural and numerical chromosomal instability (McGranahan et al., 2012), might contribute to the presence of molecular heterogeneity within tumours, which increases the pool of genetic variants to be tested by selection and thus increase the chance that a subclone will have a growth and/or survival advantage. The concept of ‘driver’ and ‘passenger’ mutations is an important component of this model: driver somatic mutations are those that increase the fitness of the cell, allowing this cell lineage to populate the tumour, whereas passenger mutations are neutral or deleterious mutations that persist because they are genetically linked to driver mutations (for a detailed review, see Sprouffske et al., 2012). While driver mutations are central to our current understanding of the genetic basis of human cancer, it is our view that both types of mutation have direct implications for cancer therapeutics, because the heterogeneous events may determine resistance outgrowth and thus patient demise, discussed later in this review.

Clonal evolution as a basis for tumour progression has been clearly demonstrated in recent studies of haematological cancers, and in brain, breast, and pancreatic tumours (Sidransky et al., 1992; Shah et al., 2009; Campbell et al., 2010; Yachida et al., 2010; Ding et al., 2012; Schuh et al., 2012; Walter et al., 2012). Sequencing analysis of paired primary tumour and relapse genomes from eight patients with acute myeloid leukaemia (AML) revealed a founding clone was present in the primary tumour, which had evolved and/or expanded in the relapsed tumour (Ding et al., 2012). In patients with transformed myelodysplastic syndromes, matched samples from the antecedent myelodysplastic stage and the secondary AML stage were also clonal; transformation to AML was associated with acquisition of many new mutations in a founding clone to form a daughter subclone (Walter et al., 2012). Another model for tumour progression, the cancer stem cell (CSC) hypothesis, may provide a complementary and not necessarily mutually exclusive explanation for intra-tumour heterogeneity. For a detailed discussion on the relationship between the CSC hypothesis and intra-tumour heterogeneity, readers are directed to reviews by Navin and Hicks (2010) and Sprouffske et al. (2012).

Tumour heterogeneity: a dynamic state. Spatial and temporal heterogeneity may permit the tumour as a whole to adapt to a fluctuating tumour microenvironment. There are intriguing clinical examples of variation in temporal patterns of tumour genomic architectures within multiple myeloma patients, and in some patients a distinct pattern of complex clonal competition was observed (Keats et al., 2012). In one cytogenetically high-risk patient, serial samples were available for the entire disease course, enabling longitudinal copy number analysis. This study demonstrated two major clonal progenitors and subsequent divergence. At different time points, the suppression and reappearance of clones appeared to correlate with timing of drug therapy but the plasma cell leukaemic clone 2.2, which determined patient death, was hardly detectable at the outset, raising clear problems for predictive or prognostic biomarker strategies at diagnosis. A similar finding was recently reported in patients with chronic lymphocytic leukaemia (Schuh et al., 2012). Our further interpretation of the experimental data is that two subclones can exist in

Figure 1. Serial computed tomography (CT) scans from a patient treated with the mammalian target of rapamycin (mTOR) inhibitor everolimus for metastatic renal cell carcinoma demonstrate a reduction in the size of a metastasis at the medial right lung base (A) but an increase in the size of a metastatic lesion in the left retroperitoneum (B). A differential response such as this may reflect the presence of tumour heterogeneity, or altered pharmacodynamics at separate disease sites.
a ‘dynamic equilibrium’, constantly competing for clonal dominance in the context of systemic therapy. A third study using whole genome sequencing in a patient whose disease had transformed from multiple myeloma to plasma cell leukaemia indicated the presence of subclones at diagnosis, which waxed and waned in dominance as the cancer progressed (Egan et al, 2012).

Clonal cooperation has also been reported; Inda et al (2010) showed in vitro and in vivo that in glioblastoma, the mixture of epidermal growth factor receptor (EGFR)-mutant and EGFR-wild type glioma cells enhanced tumour growth. This occurred through a paracrine mechanism, in which EGFR-mutant cells express cytokines such as IL-6 and leukaemia inhibitor factor that activate EGFR-wild type, driving their proliferation.

In summary, it is argued that heterogeneous tumours should be viewed as complex ecosystems or societies, in which even a minor tumour subpopulation may influence growth of the entire tumour and thereby actively maintain tumour heterogeneity (Heppner, 1984; Marusyk and Polyak, 2010; Bonavia et al, 2011). In this model, subclones occupy various niches within the tumour microenvironment and the survival advantage of the tumour ‘society’ exceeds those of the individual subpopulation; relationships between subclones may be competitive, commensal, or mutualistic for this purpose.

**CURRENT EVIDENCE FOR INTRA-TUMOUR HETEROGENEITY**

Next-generation sequencing (NGS) technologies are adding new evidence for genetic diversity both within and between common tumours. NGS methodologies comprehensively and systematically determine both nucleotide sequence and copy number of genomic loci, and have the advantage of being able to simultaneously sequence heterogeneous mixtures of genomes in a given sample, which might include tumour, stromal, and immune cells.

Both NGS and comparative genomic hybridisation studies in breast cancer suggest marked genetic intra-tumour heterogeneity (Torres et al, 2007; Shah et al, 2009; Navin et al, 2010). In particular, Navin et al (2010) demonstrated the existence of subclones that were derived from a common clonal progenitor, which varied between samples taken from different regions of the same primary tumour as well as subclones with distinct patterns of DNA copy number variation within the same sector of tumour. In these polygenomic tumours, subpopulation of cells could be anatomically separate or intermixed. There was no correlation between different tumour grades or immunohistochemical staining patterns and genomic heterogeneity.

Intratumour heterogeneity in glioblastoma heterogeneity in glioblastoma is also apparent (Snuderl et al, 2011; Nickel et al, 2012; Szerlip et al, 2012). One study identified intermingled population of cells with mutually exclusive amplifications of different receptor tyrosine kinases (RTKs) such as PDGFRα, MET, and EGFR, with subpopulation sharing similar alterations in CDKN2A and TP53 genes, consistent with a single common ancestral precursor (Snuderl et al, 2011). A second study confirmed intra-tumour heterogeneity of amplification of RTKs in glioblastoma and demonstrated that such heterogeneity results in functionally distinct and reduced sensitivity to targeted therapeutics (Szerlip et al, 2012). Spatial and temporal heterogeneity was characterised by targeted NGS in seven primary and recurrent tumour samples from one patient with glioblastoma; in this study, the variant allelic frequency of somatic mutations in EGFR, P3KCA, PTEN, and TP53 vs wild type varied between focal regions of the same tumour, and between the time points of diagnosis, first recurrence and second recurrence (Nickel et al, 2012).

Spatial genomic heterogeneity has been recently documented in renal cell carcinoma (RCC) raising the potential for tumour sampling bias to confound biomarker interpretation (Gerlinger et al, 2012). Exome sequencing of multiple tumour samples from primary and metastatic lesions in two patients with clear cell RCC revealed extensive intra-tumour heterogeneity, which was demonstrated in genetic and transcriptomic analyses. Distinct loss of function somatic events in multiple tumour suppressor genes occurred in spatially separated regions of the same tumour, demonstrating convergent evolution and potentially predictable routes to tumour progression. Approximately 30–35% of mutations were shared between regions taken from multiple sites of the primary and metastases. Supporting evidence for intratumour heterogeneity in clear cell RCC, single cell exome sequencing of a clear cell RCC confirmed a complex genomic landscape, with a small number of genes mutated in a large proportion of cells and a greater number of genes mutated at low frequency (Xu et al, 2012).

Importantly, some of the earlier studies based on Sanger sequencing or low depth NGS may underestimate the degree of genomic heterogeneity due to limitations of sequencing depth that preclude the identification of rarer tumour subpopulations, and the study by Xu et al suggests that single cell approaches may be required to assay rare subclones. Furthermore, aberrations mediated through post-translational and epigenetic modifications as well as stochastic and unpredictable behavioural diversity of subclones with similar genotypic identities (Kreso et al, 2012) are likely to complicate the picture of intratumour heterogeneity further.

**THE GENOMIC RELATIONSHIP BETWEEN PRIMARY AND METASTATIC TUMOURS**

Emerging data from deep sequencing analyses suggest that understanding clonal heterogeneity and the evolution of tumour subclonal architecture from the primary to the metastatic tumour sites and during therapy may provide important insight into the metastatic process and the emergence of drug resistance during systemic therapy.

There is now compelling evidence to support a branched evolutionary pattern of tumour growth across many haematological (Anderson et al, 2011) and solid tumours (Shah et al, 2009; Campbell et al, 2010; Yachida et al, 2010; Gerlinger et al, 2012; Wu et al, 2012). Comparison of the somatic mutational status of primary and metastatic tumour sites indicates that these may vary substantially, and phylogenetic reconstruction of tumour evolution in some of these studies suggests that a minor subpopulation. This was elegantly demonstrated in a recent ‘bicompartmental’ mouse model of medulloblastoma; complex genetic events were shared between metastatic tumour sites and a restricted population of their matched primaries, highly supportive of a common progenitor cell initiating metastatic outgrowth (Wu et al, 2012). The observation that some genetic aberrations were found solely in either primary or metastatic tumours lead to the proposal that further genetic divergence and evolution occurred independently at both sites after tumour dissemination. Similarly, the identification of somatic mutations in clear cell RCC that are shared by the primary tumour or metastatic sites only or are specific to one tumour region (private mutations) provides further evidence for ongoing independent subclonal evolution within distinct and spatially separated tumour regions (Gerlinger et al, 2012).

**IMPLICATIONS FOR TARGETED THERAPEUTICS**

The issue of cancer heterogeneity, including the relationships between subpopulation within and between tumour lesions, may have profound implications for drug therapy in cancer. Targeted therapy, which attempts to exploit a tumour’s dependence on a
critical proliferation or survival pathway, has significantly improved patient outcomes in a range of solid tumour types, but in the majority of advanced disease cases, it is also apparent that targeted therapeutics do not help all molecularly selected patients and even when clinical benefit is observed, it is often of limited duration (Gore and Larkin, 2011; Diaz et al., 2012). Tumour heterogeneity may partly explain these clinical phenomena.

Considering an ‘actionable mutation’ within a model of clonal dominance, occurring in early cancer cell progenitors and present in the trunk of the tumour, may provide a more tractable approach for therapeutic targeting and for the identification of robust predictive biomarkers which are less susceptible to tumour sampling bias (Yap et al., 2012); such actionable ‘trunk’ mutations would be ubiquitous, clonally dominant driver events present in all tumour cells (Figure 2). However, if a tumour contains multiple branched events, depicting intra-tumour heterogeneity, then even the targeting of a driver event may not significantly influence treatment outcome due to a low-frequency subpopulation harbouring a resistance event in the tumour branches, leading to subclonal selection and the acquisition of drug resistance, as observed for the low-frequency gatekeeper mutation in EGFR in non-small cell lung cancer (NSCLC) (Su et al., 2012). Furthermore, we hypothesise that the role of somatic mutations as driver or passenger events is dynamic, subject to environmental and treatment selection pressures where passengers may become drivers and vice versa (Yap et al., 2012). Conceivably, clinical strategies may have to adapt to the possibility that branched, low frequency somatic events determine patient outcome and therapeutic failure. Indeed, by extension, heterogeneous somatic events may render tumour dependence of early truncal drivers redundant.

Heterogeneity of secondary somatic mutations and drug resistance. Drug resistance is arguably the most critical problem faced by oncologists, and as a result almost all patients with metastatic solid tumours (with some notable exceptions such as seminoma) die of their disease. There are many examples of drug resistance conferred by the emergence of subclones harbouring specific somatic gene mutations. Imatinib-resistant mutations in the BCR-ABL fusion gene have been identified in patients with chronic myeloid leukaemia; some of these mutations have been shown to precede systemic treatment, and additionally, to co-exist with subclones carrying different imatinib-resistant mutations in treatment-naïve patients (Shah et al., 2009). Intra-tumour heterogeneity of drug resistance mechanisms occurs in gastrointestinal stromal tumours (GIST) treated with imatinib or sunitinib; 9 of 11 patients with oncogenic KIT mutations developed secondary drug-resistant mutations, 6 of whom had two or more different mutations in separate metastases, and 3 of whom had 2 secondary KIT mutations in the same metastasis (Liegè et al., 2008). The bewildering complexity witnessed by multiple distinct mutations occurring in separate or identical metastases begins to illuminate the vast somatic mutational reservoir present in these tumours.

A similar theme exists in NSCLCs with a mutation in the EGFR – secondary mutations confer insensitivity to the EGFR tyrosine kinase inhibitor (EGFR-TKI) gefitinib, and have been identified in patients with clinical resistance to gefitinib, but also in untreated patients (Inukai et al., 2006). Su et al. (2012) analysed NSCLC samples for one such mutation in EGFR, the T790M mutation, from treatment-naïve patients, and from patients before and during treatment with EGFR-TKIs. The presence of low-frequency T790M mutations before treatment predicted for shorter progression-free survival. In anaplastic lymphoma kinase (ALK)-rearranged lung cancers, ~25% of patients with acquired resistance to the ALK inhibitor crizotinib exhibited a secondary mutation in the ALK tyrosine kinase domain, but these differed substantially between patients, and in a subset of patients, more than one resistance mechanism appeared to occur simultaneously (Katayama et al., 2012).

A unique example of intra-tumour heterogeneity and transplacental transfer of melanoma, intratumour heterogeneity and its contribution to therapeutic failure was recently described in a tragic case of transplacental transfer of melanoma (Sekulic et al., 2012). Genomic analysis of melanoma tumours from the mother (pre-vemurafenib treatment and at relapse) and the baby (before vemurafenib treatment) revealed two distinct but related clones in the mother, only one of which was present in the infant’s tumours. The shared clone appeared to be sensitive to vemurafenib treatment, while the unique clone progressed and lead to relapse in the mother.

Taken together, these findings suggest that biomarker efforts may have to rise to the challenge of identifying low frequency events, that may conceivably be spatially separated, in tumours before therapy to predict outcome. Such observations suggest that suitable combinatorial approaches specific to each individual patient’s tumour subclonal genetic heterogeneity composition might have to be considered to limit the acquisition of drug resistance; a single drug may not be adequate to treat a genetically heterogeneous tumour, since a pre-treatment cancer cell population harbouring resistance mutations, even if present at a low frequency, can contribute to therapeutic failure and poor outcome.

It is also plausible that there is a therapeutic window of opportunity in which to treat a driver mutation, before clonal expansion and divergence occurs (Gerlinger and Swanton, 2010). In a study from Ding et al. (2012), evidence that the loss of founding clones and gain of mutations in the genomes of eight patients with relapsed AML was influenced by interval cytotoxic chemotherapy raised the possibility that cytotoxic treatment may contribute to relapse by inducing subclonal evolution.
It is important to note that cancer drug resistance occurs for reasons other than tumour heterogeneity, with the tumour microenvironment playing a key role. For example, innate resistance to Braf inhibition in Braf-mutant tumour cell lines was shown to be mediated by secretion of hepatocyte growth factor and its receptor, MET, by stromal cells, and reversal of resistance was achieved by combining Braf and MET inhibitors (Straussman et al., 2012). Drug resistance can also be driven by non-mutational and epigenetic mechanisms that may be reversible (Lackner et al., 2012).

The impact of tumour heterogeneity on biomarker validation for targeted therapy. Clinically useful and economically viable targeted therapy relies upon biomarkers that are predictive of response to treatment. Tumour heterogeneity and tumour sampling bias may have had a negative impact on the discovery and validation of predictive biomarkers. For example, in metastatic RCC, despite identification of biologically relevant signalling pathways, there are limited genomics tools that facilitate prediction of response or resistance to targeted therapy. It should be noted that this may also reflect a lack of systematic tissue collection and translational research in the drug development trials for this disease.

Base-line genomic instability and intra-tumour heterogeneity may itself be a biomarker of poor clinical outcome (Birkbak et al., 2011; McGranahan et al., 2012). In Barrett’s oesophagus, for example, clonal diversity appears to predict for increased risk of progression to invasive adenocarcinoma (Maley et al., 2006) and many studies have revealed the association of CIN with poor clinical outcome (reviewed in McGranahan et al., 2012). There is accumulating evidence to support intra-tumour heterogeneity, to which CIN is likely a major contributor, as a mechanism of drug resistance (Gerlinger and Swanton, 2010); CIN ovarian cancers may be more resistant to taxane chemotherapy (Swanton et al., 2009) and CIN colorectal cancer cell lines appear to be intrinsically multidrug resistant (Lee et al., 2011). Emerging NGS data may support these concepts; whole genome or exome sequencing of pre- and post-treatment biopsy samples of breast cancer patients treated with neoadjuvant aromatase inhibitors (AIs) in the ACOSOG Z1031 trial suggested that tumours which were more molecularly heterogeneous at the outset had a worse outcome; the background mutation rate among AI-resistant tumours was nearly double that of AI-sensitive tumours (Ellis et al., 2012).

It seems apparent that predicting drug response with clinically qualified biomarkers, is going to be challenging in practice due to the polygenic nature of drug resistance and the contributions of intra-tumour heterogeneity to this process, where low frequency, regionally separated subclones may influence therapeutic outcome. Conceivably, baseline estimates of intra-tumour heterogeneity may prove a more tractable approach to assess the propensity of a tumour to adapt to drug exposure.

Using intra-tumour heterogeneity to therapeutic advantage. The prospect of treating multiple genetic subpopulation within a tumour is discouraging, but it is possible that intra-tumour heterogeneity could be exploited for therapeutic advantage. Gatenby et al. argue that an adaptive and dynamic model of cancer therapy is more likely to provide durable tumour control, and propose an experimental ‘evolutionary double blind therapy’ in which two therapies are given sequentially, each driving tumour cells to specific adaptations which render them vulnerable to the second therapy (Cunningham et al., 2011). This strategy has yet to be applied to clinical cancer therapy, but provides a good example of how improved knowledge of intra-tumour heterogeneity may be highly relevant to advances in the field of medical oncology. There is recent evidence of improved prognosis in breast cancer patients with tumours defined as having extreme CIN compared with those with intermediate CIN, suggesting that there may be an ideal level of genomic instability for tumorigenesis and that an excessive level may be deleterious to cancer cell viability, that may be influenced by cytotoxic therapy (Birkbak et al., 2011; Roylance et al., 2011). It is intriguing to speculate that such a paradoxical relationship between this pattern of genomic instability, contributing to intra-tumour heterogeneity, and clinical outcome might be therapeutically exploitable. Finally, identifying drivers or suppressors of genome instability in solid tumours, whose activation or inactivation is required to initiate intra-tumour heterogeneity and diversity, may provide a tractable route to ultimately attempt to limit tumour evolutionary processes.

FUTURE DIRECTIONS

A systematic approach to the study of molecular heterogeneity in cancer is required, which is somewhat hindered by current trial design and funding mechanisms. Single biopsy specimens of primary tumours performed for diagnostic purposes, which are frequently archival, may not fully represent a genetically diverse malignancy with multiple metastatic sites, and sequencing techniques may not be sufficiently sensitive to detect low frequency events in tumour subclones. Often clinical practice is guided by the molecular analysis of primary tumours and we assume that all primary tumour characteristics are carried over to metastases later in the disease course. While this would hold true for trunk driver events, potentially important molecular changes will be missed if repeat biopsies are not performed during the evolution of the disease. Anticancer treatments may cause selection pressures and influence the complex mutational landscape within a tumour, analogous to the pruning of some tumour branches and the selection of other heterogeneous branched events through therapy.

Future clinical trials and biomarker studies might consider longitudinal analyses of tumour evolution through the disease course. We argue that much will be learned through the acquisition of multiple tumour samples from different regions of the primary and metastatic tumour sites and at sequential time points in the disease course, including before, during therapy and at disease progression, to study the changing nature of tumours over time. The requirement for multiple tumour biopsies is ethically and clinically challenging and new approaches, such as plasma circulating free tumour DNA sequencing technologies are primed to analyse tumour evolution over time (Dennis and Chiu, 2011).

CONCLUSION

Cancer heterogeneity has potentially far-reaching consequences for cancer therapeutics, but translational research examining this issue is in its early stages. This is likely to change rapidly, with the ongoing development of advanced genomic technologies and increased access to these, and adaptation of clinical trial design to include comprehensive longitudinal tissue collection protocols. There is accumulating evidence for substantial genetic diversity both within and between many common solid tumours, but less is known about how such diversity is generated or its impact upon clinical outcomes such as response or resistance to anticancer therapies and the natural history of the disease. Conceivably, tumour heterogeneity may impede the identification of predictive biomarkers, and the quest for personalised, or even curative treatment, and is an area of cancer research worthy of intensive and collaborative effort.

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