New Regimes in the Observation of Core-Collapse Supernovae

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Core-collapse Supernovae (CCSNe) mark the deaths of stars more massive than about eight times the mass of the sun (\(M_{\odot}\)) and are intrinsically the most common kind of catastrophic cosmic explosions. They can teach us about many important physical processes, such as nucleosynthesis and stellar evolution, and thus, they have been studied extensively for decades. However, many crucial questions remain unanswered, including the most basic ones regarding which kinds of massive stars achieve which kind of explosions and how. Observationally, this question is related to the open puzzles of whether CCSNe can be divided into distinct types or whether they are drawn from a population with a continuous set of properties, and of what progenitor characteristics drive the diversity of observed explosions. Recent developments in wide-field surveys and rapid-response followup facilities are helping us answer these questions by providing two new tools: (1) large statistical samples which enable population studies of the most common SNe, and reveal rare (but extremely informative) events that question our standard understanding of the explosion physics involved, and (2) observations of early SNe emission taken shortly after explosion which carries signatures of the progenitor structure and mass loss history. Future facilities will increase our capabilities and allow us to answer many open questions related to these extremely energetic phenomena of the Universe.

We focus this short review on a few open questions which are being tackled by new facilities for quick discovery and rapid-response followup, as well as for studying CCSNe in large numbers. For a more exhaustive review of the field see recent compilations such as the Handbook of Supernovae \cite{1}.

\section*{The CCSN Classification Landscape}

SN classification has been a challenge since the 1940’s \cite{2}, but especially recently, as innovative surveys have brought a large increase of new and debated types. Classification schemes are important as physically motivated ones can give crucial insight into the explosion physics and stellar evolution pathways that lead to the different kinds of important explosions.

The classical classification scheme \cite[e.g.,][]{3,4} relies mostly on spectra and has two main types: Type I SNe (SNe I) which do not show hydrogen lines, and Type II SNe (SNe II) which do.

In Figure 1 (left panel), we present spectra around peak brightness of the main CCSN classes. Among them, SNe II are perhaps the most heterogeneous class with a large range of observed photometric and spectroscopic properties. Because of this diversity, they can be further divided into several subclasses. The first historical subclassification was based on the light curve shape: SNe showing a linear decline (in magnitudes) in their light curve were named SNe IIL, while SNe showing a quasi-constant luminosity or a plateau for several weeks were called SNe IIP \cite{13}. Later it was discovered that Type IIP and IIL SNe can also be distinguished by a subtle difference in their spectra, with SNe IIP showing deeper absorption in H\textalpha\ compared to SNe IIL \cite{14,15,16}.

An additional photometric subclass was later added, namely that of SN 1987A-like SNe. This subclass displays a long (100 ± 20-day) rise to maximum, following the prototype of SN 1987A \cite[e.g.,][]{17,18} with spectra similar to SNe IIP.

Based on the spectroscopic properties, further subgroups were later introduced in the SN II class: SNe Ib, transitional events between hydrogen-rich SNe II and hydrogen-poor SNe Ib (see below), and SNe IIn with relatively narrow emission lines in their spectra produced by dense circumstellar matter (CSM) not yet accelerated by the ejecta \cite{19,20,21}.

The subtle spectroscopic division between SNe IIL and IIP, together with the observation and analysis of intermediate – between declining (IIL) and plateau (IIP) – objects \cite[e.g.,][]{SN 1992H,22} questioned the initial separation of SNe II into IIL and IIP and opened a debate on whether these two subclasses were actually part of a continuum. The first statistical studies of SNe II with relatively small samples \cite[e.g.,][]{23,24,25} found ev-
The Type I SN class is also diverse and divided into a number of subclasses (Fig. 1): SNe Ib show strong helium lines, while SNe Ic are the “rejects” of the classification scheme, as they are defined by what they do not show: no hydrogen, no helium and no (strong) silicon (distinguishing them from Type Ia SNe which are associated with the explosions of white dwarfs; see the corresponding article on SNe Ia in this issue; 45). In the last 20 years, a new subtype has piqued interest: the class of broad-lined SNe Ic (Ic-bl), which is the only subtype connected with long-duration Gamma-Ray Bursts (GRBs), and is among the most powerful explosions in the Universe [see reviews in e.g., 46, 47]. SNe Ic-bl are also related to some of the objects in the emerging field of Superluminous SNe (SLSNe) [e.g., 48, 49], one of which accompanied an Ultra-long GRB (see the corresponding article on SLSNe in this issue; 50).

Another recent addition to Type I SN diversity is that of SNe Ibn. These events display narrow lines of helium in their spectra and no hydrogen [e.g., 41, 51, Fig. 1], indicating the presence of a hydrogen-poor but helium-rich CSM around the exploding star. Curiously, while SNe Ibn (displaying narrow hy-
Figure 2 | Photometric Diversity of CCSNe. Left: Example of $V$-band light curves of SNe II from [26], which exhibit a large diversity in luminosity and light curve shape, the drivers of which are not fully understood. Even harder to explain are the power sources of long-lived outliers, such as OGLE-2014-SN-073 [34] and iPTF14hls [35] for which just the first 300 days from discovery are shown here. The light-curve of SN 1987A [e.g., 17, 18] is shown in red. Right: Example of $V$-band light curves of stripped envelope SNe, largely from the Carnegie Supernova Project [36, 37], which also show a large diversity in luminosity, here thought to result from the different amounts of nickel synthesized in each explosion. The double-peaked light curve of SN 2005bf might be the result of a double-peaked Ni distribution [38, 39] or of a magnetar powering the second peak [40]. We also show the template light curve of SNe Ibn constructed by [41]. Error bars denote 1σ uncertainties. The typical uncertainties in the explosion dates for the objects in the right plot are estimated be ± 3 days [37]. The light curve of the SN IIb SN 1993J is from [42, 43, 44].

All of the above classes are associated with CCSNe of massive stars (though recent reports of a SN II and of a SN Ibn in non-star-forming environments challenge this long-held view; [53, 54], with the SNe IIb, Ib, Ic and Ic-bl subtypes due to different amounts of stripping of the outer hydrogen and helium envelopes of the progenitor thus giving them the collective name “Stripped-Envelope SNe” [55], or just "Stripped SNe" for short.

Making matters more complicated, but also interesting, CCSN classification can be time-dependent, with objects changing classes as a function of time ranging from weeks to months to years. One example of an extreme time-dependent classification is that of SN 2017ens [12] right panel of Figure 1, which first showed a featureless blue spectrum (usually seen in Type II SNe shortly after explosion), then transformed to a SN Ib, and then to a SN IIn. This indicates that the SN is illuminating hydrogen-rich material, which the SN progenitor might have expelled before explosion. More time-series spectra are needed for Stripped SNe, especially at later times (months to years) to monitor any type changes due to interaction with a previously expelled envelope. This could help answer a big open question regarding these SNe: how and when are the outer hydrogen and helium layers removed prior to explosion.

Like with the IIP and IIL subtypes, another crucial question regarding Stripped SNe is whether SNe Ibn, Ib, Ic and Ic-bl are distinct classes or constitute a continuum. Every so often, "transitional objects" are reported. This is not surprising considering that massive stars likely do not have either all or none of their outer layers intact. Even the distinction between IIb (some hydrogen layer intact) and Ib (no hydrogen layer) should be more gradual, with objects on a continuum [as indeed indicated by the measurements of e.g., 56].

The current classification scheme does not capture the detailed physical state of the pre-explosion star, nor does it allow for a quantitative description of transitional objects. It also does not use all the information in the spectrum, but rather certain features. A new classification scheme which can capture the richness in CCSN diversity is clearly needed.

Attempts to introduce a new classification scheme that improve upon the old one in some of the ways outlined above have been made over the last few years [e.g. 57, 58] with dif-
fert degrees of success. The most recent one for Stripped SNe [59] is the first quantitative one, fulfilling all the needs laid out above, and addressing the aforementioned time-dependent nature of classification. They find that \( \sim 2 \) weeks after peak brightness is the optimal time to differentiate between the different sub classes of Stripped SNe - a result with strong implications for follow-up strategies of current and new-generation SN searches.

**New Peculiar Events and Population Studies of “Normal” Events**

In recent years, wide-field surveys have revealed a large diversity of unusual transients that range from extreme transitional objects (e.g. SN 2017enn; Fig. 1) to very short-lived rapidly evolving events (see [59] in this issue; Fig. 3) to long-lived slowly evolving events, two of which we will briefly mention now.

iPTF14hls [35] and OGLE-2014-SN-073 [34] were classified as SNe II based on the presence of hydrogen in their spectra. However, their light curves and spectral evolution are highly unusual. The spectra of iPTF14hls, though identical to those of the IIP subclass, evolved \( 10 \times \) slower over the course of \( \geq 600 \) days. During the same period, the light curve displayed at least five distinct peaks, a behavior not seen in any other SN. OGLE-2014-SN-073 showed a light curve reminiscent of 1987A-like objects, but \( \sim 4 \) magnitudes brighter than SN 1987A (Fig. 2), while the spectra showed no evolution during the first \( 160 \) days of follow-up. These two events do not fit into any known class and are hard to explain with current explosion models as canonical Ni-decay power cannot produce the observed properties. Wildly different alternative power sources, such as a magnetar spindown, fallback accretion, electron-positron pair production, hidden interaction with material ejected by the star before its explosion, and jets are being considered [e.g. 34, 55, 60, 61, 62, 63, 64]. More such events will allow us to better measure their rates and preferred environments and thus perhaps offer more insight into their nature.

Large data rates from wide-field surveys together with large follow-up programs are also enabling population studies of the more common types of events. Figure 2 showcases the diversity of SNe II light curves on the left and the diversity of Stripped SNe light curves on the right. Large-sample population studies are enabling statistical inferences about progenitors and power sources of “normal” run-of-the-mill SNe.

In addition to the samples of SNe II which elucidated the continuum between the IIL and IIP subclasses mentioned above, there have been a number of large data releases of Stripped SN observations over the last few years [9, 36, 73, 77, 79, 80, 81, 82, 83]. Works that analyze those large data sets (and in some cases also prior single-object data) report strong constraints on the progenitor systems of Stripped SNe, which is one of the outstanding questions in the field: while using different techniques many works come to the same conclusions, namely that the progenitors of Stripped SNe cannot be only single massive stars but that lower-mass binaries are preferred as the dominant channel [e.g. 7, 27, 56, 77, 83, 84, 85]. However, some nearby objects do not show any trace of a companion star to deep limits, such as Supernova Remnant Cas A in our own Milky Way [86], which is known to have been a SN Ib from light echo measurements [87, 88]. Thus, the next step for making progress is to determine which individual SNe come from massive single progenitors and which from stars in interacting binaries.

Large samples with early-time data are allowing us to map CCSNe in various phase spaces such as that of rise time and peak luminosity described in Figure 3. Since the rise time of a centrally-powered SN is roughly related to its peak luminosity and the peak luminosity to its power source [e.g. 89], such maps can help us find connections between physically similar events, constrain their power sources, and put peculiar events in context.

**Very Early Observations**

An additional new and exciting capability enabled by recent wide-field transient surveys and rapid-response follow-up facilities is that of observing SNe very soon (i.e. hours) after explosion. This allows us to probe the early emission from SNe, which, in stripped events is often powered by mechanisms different than those responsible for the main peak of the light curve (which is powered by the radioactive decay of nickel to cobalt to iron). Two early-time power mechanisms are shock breakout and cooling, as well as interaction with nearby circumstellar material (CSM). The emission produced by these mechanisms is extremely informative. Shock breakout and cooling emission encodes information about the radius and internal density structure of the progenitor right before explosion, and in some cases of the jet propagation physics. Emission from circumstellar interaction, on the other hand, can provide information about the mass loss history of the progenitor in the years or decades before explosion. Together, these insights provide new constraints for modelling the late-stage evolution of massive stars and its connection to their eventual explosion characteristics.

**Shock Breakout and Cooling Envelope Emission**

As the shock generated right after core collapse reaches the edge of the star, where the optical depth \( \tau \) is approximately equal to \( c/v \) (with \( c \) the speed of light and \( v \) the speed of the shock), it will break out, emitting a flash of primarily X-ray or ultraviolet radiation [depending on the radius of the progenitor and its density profile. [101, 102, 103, 104, 105, 106, 107, 108]. For large progenitors, the Rayleigh-Jeans tail of the shock breakout emission could extend into the optical [e.g. 109]. The duration of the flash is relatively short (\( \leq 1 \) hour for a red supergiant).

This time scale is too short for current transient surveys to detect it regularly. Some serendipitous cases of shock breakout detections have been reported in the ultraviolet and in the X-ray [for the SN Ib 2008D and the SNe IIP SNLS-04D2dc and PS1-13arp, [110, 111, 112], and more recently claimed also to have been seen in the optical see below). The X-ray flash of the SN Ib 2008D was longer than expected (lasting almost 10 minutes for a stripped-envelope progenitor). The reasons for this are still debated and include asphericity effects [113], break out from a thick wind [e.g., 114], and the presence of a weak jet [92]. The
duration of the ultraviolet flash for PS1-13arp was also longer than expected (lasting approximately one day) and is interpreted by [112] as shock breakout from a wind.

For GRBs, the nearby and well-observed SN Ic-bl 2006aj/GRB 060218 showed puzzling X-ray properties, including a thermal component, that have been claimed to be due to shock breakout - either of a shock wave driven by a mildly relativistic shell into the dense wind surrounding the progenitor [e.g., 115] or breakout of a relativistic jet choked by an optically thick envelope [e.g., 116]. Indeed the latter suggestion has been generalized by [116] and collaborators to the whole class of low-luminosity GRBs (LLGRBs) of which SN 2006aj/GRB 060218 is the best example observed. Alternatively, ref. [117] recently suggested that SN 2006aj/GRB 060218 harbored a long-lived

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**Figure 3** | **A new phase-space: Peak absolute magnitude vs. rise time of CCSNe.** Such luminosity and time-scale plots can reveal observational biases in the discovery of transients as well as the underlying physics of such events [e.g., 65]. Specifically, the rise time, which can be measured only recently thanks to early discoveries of events with well constrained explosion times, is a proxy for the ejected mass (assuming a central power source and spherical symmetry). Open symbols denote objects with no spectra, thus their nature as CCSNe is not certain. Shown are: SN 2002bj [66], SN 2010X [67], Dougie [68], SN 2011kl [69], KSN2015K [70], SN 2018gep (71, T. Pritchard et al, in prep.). The markers represent data from different papers/surveys as follows: [72]: diamond, [73]: star, [74]: plus symbol, [75]: cross, [76]: square, [77]: pentagon, [78]: left triangle, [79]: circle, [80]: hexagon. Error bars denote 1σ uncertainties. SLSNe stands for Superluminous SNe.
Figure 4 | A high-cadence look at CCSN light curves. Left: Early V-band light curves of SNe that show strong evidence for cooling envelope emission as indicated by their double-peaked light curves. Both SNe Ic-bl shown had accompanying GRBs. Objects shown are SN 1993J [42, 43, 44], SN 2006aj/GRB060219 [80, 90, 91], SN 2007if [25], SN 2008D [80, 92, 93], SN 2011dh [94], SN 2011fu [95], SN 2013df [91, 96], SN 2016gkg [97, 98], and SN 2017iuk/GRB171205A [99]. Right: Kepler aperture photometry of two SNe IIP taken with unprecedented cadence and photometric accuracy [100]. Data like these can reveal light curve subtleties such as the claim of an optical shock breakout peak at the onset of the SN (not shown here).

An engine that produced a mildly relativistic jet from a non-standard progenitor.

The first claim of an optical detection of shock breakout was for the Type IIP supernova KSN 2011d [118] with data from the Kepler space telescope (originally designed to search for extrasolar planets with exquisite photometric precision and 30-minute cadence; Fig. 4). This claim however is challenged by [119] who re-analyze the data and declare no statistically significant detection of shock breakout. The second claim is for the Type IIb SN 2016gkg [98] made thanks to (again, serendipitous) detections by an amateur astronomer (though in this case, the shock breakout peak itself is not observed).

If a massive star is embedded in an optically thick wind at the time of explosion, the shock may continue to propagate through the wind and break out at a radius that is much larger than that of the star. This would increase the time scale of the shock breakout flash and decrease its effective temperature [e.g., 120, 121, 122, 123, 124, 125] making it easier to observe, especially in the optical. Recently [125] found signatures of shock breakout in a wind in several CCSNe, indicating that the wind breakout scenario might actually be the more common one and therefore that most massive stars are embedded in a dense wind at the time of explosion. Such a mechanism has also been invoked for some Stripped SNe whose shock breakout time is much longer than the simple photon travel time (e.g. SN Ic-bl 2006aj/GRB 060218 and SN Ib 2008D - as mentioned above).

After shock breakout, the ejected material expands and cools, producing radiation known as the “cooling envelope emission”, which occurs on longer (and thus more easily detectable) time scales. The characteristics of this emission depend most strongly on the radius and internal density structure of the progenitor star just before explosion [e.g. 106, 107, 127, 128, 129, 130]. For a red supergiant, for example, the very extended envelope gets ionized by the shock, and slowly recombines across approximately 100 days, producing the plateau in the light curve of SNe IIP. For partially stripped IIb progenitors the cooling envelope emission can last days to approximately a week.

There are now numerous cases of cooling envelope emission observed in various types of core collapse SNe caught soon after explosion: one SN II [25], several SNe IIP [8, 35, 44, 94, 95, 131], two SNe Ib [92, 93, 131], and two SNe Ic-bl with GRBs [e.g. 99, 115] though the latter suggest that the emission is from a cooling jet cocoon, not a cooling stellar envelope. In all cases the envelope cooling emission is seen as a peak or early excess of the light curves in the bluer filters before the main light curve peak (Fig. 4).

For SNe Ib/Ib, the duration, luminosity, and color of the shock cooling peak has been linked to a low mass ($\sim 0.001 – 0.01$ times the mass of the sun, $M_{\odot}$) extended ($\sim 10^{13}$ cm) envelope surrounding a more compact ($\sim 10^{12}$ cm) core in the progenitor [128, 129, 132, 133]. Such a structure could be created by binary interaction [e.g., 134] which might also be responsible for the partial stripping of the hydrogen envelope resulting in the Ib spectral properties of the SNe. This is consistent with other works mentioned above that constrain the dominant channel for Stripped SNe to be binary stellar systems.
Circumstellar Material

An additional boost to the emission of SNe can come from interaction between the ejecta and circumstellar material (CSM) released from the progenitor before explosion. This is most commonly seen in Type IIn SNe where CSM interaction is a dominant power source.

In addition to early photometry, early spectroscopy can reveal even more about the mass loss history of the progenitor right before explosion. As soon as the shock breaks out of the star, the hot continuum emission could excite the CSM into generating spectral lines. These lines will be relatively narrow since the CSM is released at typical velocities of 10–1000 km s⁻¹. There is a time window of a few hours before the most confined CSM, released by the star just months before explosion, is wiped out by the ejecta traveling 10–1000 times faster. In that time window, a spectrum could reveal not just the existence of confined CSM but also its composition and physical extent.

This method, known as “flash spectroscopy” has been implemented on several SNe II [e.g. [135],[136],[137]], and has shown that early narrow emission lines are prevalent in most hydrogen-rich SNe [140]. This indicates that most massive stars experience some kind of enhanced mass ejection in the months or years prior to core collapse.

Sequences of early spectra can show the narrow CSM lines slowly broadening as the confined CSM is accelerated by the SN ejecta (Figure 5), many or which also show enhanced shock cooling emission from their photometry [138],[139]. Sequences of early spectra can show the narrow CSM lines slowly broadening as the confined CSM is accelerated by the SN ejecta (Fig. 5). The time scale on which this happens is directly related to the physical extent of the CSM, which is a function of when it was ejected by the star. Therefore these sequences can be used to map the mass loss history of the progenitor in the months or years prior to collapse.

Such spectroscopic data compliments photometric studies of early emission by probing less dense and more extended regions of the CSM. Nevertheless, it again argues that most massive stars experience some kind of enhanced mass ejection in the months or years prior to core collapse.

The Future: Bright, Fast, and Abundant

Despite lots of progress in the quality and quantity of observations of CCSNe, there are still a number of outstanding questions: What are the stellar systems that give rise to these explosions? What are the dominant mechanisms by which the outer layers of Stripped SN progenitors are removed? Which kinds of stars are able to produce SNe with jets and GRBs? How ubiquitous is CSM around CCSN progenitors and what are its properties?

Fortunately, the number of wide-field transient surveys and follow-up observatories which have enabled progress toward answering these questions continues to grow. The Zwicky Transient Facility [ZTF; [141] and soon the Large Synoptic Survey Telescope [LSST; [142]] are increasing the number of transients discovered by orders of magnitude (ZTF issues ~100,000 transient alerts per night, and LSST is expected to issue ~10 million alerts per night). This promises to increase our sample size and allow us to perform statistical studies on populations of events that until now have been rare. In parallel, small-telescope sur-

Figure 5 | “Flash Spectroscopy” of infant SNe. Early-time spectra of CCSNe showing narrow lines reveal the presence, composition, and extent of confined CSM ejected by the progenitor shortly before explosion. This information is a crucial constraint on the late stages in stellar evolution models Shown are spectra of SN 2013cu (pink; [135]), SN 1998S (green; [136]), SN 2013fs (blue; [137]), SN 2014G (orange; [34]), and SN 2009aj (black; [34]). Phases with respect to the assumed date of explosion are labeled on the right.

Recently though, CSM interaction and/or cooling has been found to be an important source of emission also for other types of SNe, especially at early times. For example, the early light curves of some SNe IIP and IIL cannot be explained with cooling envelope from a bare massive star alone, and require some form of CSM causing the shock breakout to occur at a larger radius [e.g., [138],[139]].

In fact, a distinguishing factor between SN IIP and SN IIL progenitors might be the amount and extent of CSM surrounding the progenitors at the time of explosion, with SN IIL progenitors exploding in a more massive and extended wind resulting in additional shock cooling emission and brighter early light curves compared with SNe IIP [28].
veys like the "Distance Less than 40 Mpc" [DLT40; 143] and the "All Sky Automated Survey for SNe" [ASAS-SN; 144] are finding young nearby events which can be studied thoroughly on all wavelengths. Seizing the potential of all of these surveys strongly depends on our ability to secure the appropriate follow-up observations.

The advent of such large SN surveys has prompted the development of high throughput low to medium resolution spectrographs like the SED Machine [145] and FLOYDS [146] to classify SNe in large numbers. However, more such instruments on larger aperture telescopes are needed for the LSST era. Such a fleet of spectroscopic resources would allow us to increase our samples of events and thus better understand the demographics of "normal" CCSNe as well as uncover more rare (but enlightening) events.

Rapid response followup resources such as the Neil Gehrels Swift Observatory [147] and the Las Cumbres Observatory global network of robotic telescopes [146] are paving the way for future ToO-driven facilities. We are now seeing more and more observatories switching to queue observing, and even dynamic scheduling which can adapt on time scales of minutes to accommodate the most urgent and rapidly changing observing constraints. This will allow us to perform flash spectroscopy measurements on samples of events, and thus correlate the composition and mass loss history of massive stars across different parameters such as their metallicity. Dynamic scheduling is also crucial for consistent long-term (months to years) followup of SNe of the kind that enabled the discovery of the "transitional" SN 2017ens [12], and the peculiar long-lived SN iPTF14hls [35].

Proposed wide-field space-based ultraviolet imagers [148, 149], if launched, will increase the number of discoveries of extremely young SNe and the accuracy with which their progenitor parameters can be determined [119]. We will thus not need to rely on luck anymore to see shock breakout and envelope cooling emission, and we will be able to obtain these data regularly for a large number of events. This will allow us to infer the properties of samples of SN progenitors across types and environments.

Finally, we must not forget the importance of repositories storing public data in uniform, easily accessible machine readable formats [such as WISEREP and the Open Supernova Catalog; 150] for managing and analyzing these new samples. Systems for coordinating rapid-response observations for multiple targets across various facilities are also crucial [e.g. 152]. Such systems, together with policies for sharing data publicly are as important as new observing facilities in order for us to be able to reap scientific insights from the continued study of CCSNe in the years to come.

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