Fluorescent properties of DNA base analogue tC upon incorporation into DNA — negligible influence of neighbouring bases on fluorescence quantum yield

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ABSTRACT

The quantum yield of the fluorescent tricyclic cytosine analogue, 1,3-diaza-2-oxophenothiazine, tC, is high and virtually unaffected by incorporation into both single- and double-stranded DNA irrespective of neighbouring bases (0.17–0.24 and 0.16–0.21, respectively) and the corresponding fluorescence decay curves are all mono-exponential, properties that are unmatched by any base analogue so far. The fluorescence lifetimes increase when going from tC free in solution (3.2 ns) to single- and double-stranded DNA (on average 5.7 and 6.3 ns, respectively). The mono-exponential decays further support previous NMR results where it was found that tC has a well-defined position and geometry within the DNA helix. Furthermore, we find that the oxidation potential of tC is 0.4 V lower than for deoxyguanosine, the natural base with the lowest oxidation potential. This suggests that tC may be of interest in charge transfer studies in DNA as an electron hole acceptor. We also present a novel synthetic route to the phosphoramidite form of tC. The results presented here together with previous work show that tC is a very good C-analogue that induces minimal perturbation to the native structure of DNA. This makes tC unique as a fluorescent base analogue and is thus highly interesting in a range of applications for studying e.g. structure, dynamics and kinetics in nucleic acid systems.

INTRODUCTION

The ability to detect emission at the single molecule level (1) makes fluorescence one of the most sensitive analytical techniques available today. Fluorescence spectroscopy has been widely used in nucleic acid research to study structure and dynamics as well as the kinetics of interactions between DNA and other molecules. In this context fluorescent base analogues are particularly interesting [see Rist and Marino (2) for a recent review]. The analogues are very similar in both size and shape to the naturally occurring bases, giving them one important property: the potential to be incorporated into DNA at specific sites with minimal disturbance to the overall structure. Two other important requirements of fluorescent base analogues are their ability to form specific base pairs and to have a sufficiently high fluorescence quantum yield when incorporated into DNA. Fluorophores with these properties provide a unique opportunity to incorporate a probe into the stacked region of DNA close to the site of interest with minimal perturbation to the native DNA structure. Because of the stacking of the base analogue, independent movement relative to the overall complex is highly restricted, resulting in a very well-defined geometry. These are important properties for fluorescence anisotropy and fluorescence resonance energy transfer (FRET) studies.

The most commonly used fluorescent base analogue, 2-aminopurine (2-AP) (3–5), forms stable base pairs with thymine but also moderately stable base pairs with cytosine (6–9), and the high fluorescence quantum yield of 2-AP free in solution (0.68) is considerably reduced (~100 times but highly dependent on base sequence) when incorporated into nucleic acids (4). This sensitivity to the microenvironment has been
utilized in studies of e.g. structure and dynamics within both DNA and RNA (10,11), dynamics and kinetics of DNA–protein interaction (12–17) and electron transfer within DNA (18–21). However, the less efficient and less specific base pairing relative to adenine introduces a perturbation to the native structure of DNA and also gives 2-AP increased dynamics within the DNA helix. This, in combination with the sensitivity of the fluorescence quantum yield to the environment, makes 2-AP less suited as a probe for studies of molecular dynamics and DNA–protein interaction using fluorescence anisotropy and FRET.

Other commercially available fluorescent base analogues are the pteridine analogues of guanine (3-MI and 6-MI) (22–24) and adenine (6MAP and DMAP) (25) [see Hawkins (26) for a recent review]. Like 2-AP, these probes are very sensitive to the microenvironment and are highly quenched, with fluorescence quantum yields ranging from <0.01 to 0.3 depending on the nature of the surrounding base pairs, when incorporated into DNA (23,25). Furthermore, duplex melting temperature studies have shown that with the exception of 6-MI, they reduce the thermal stability of oligonucleotides (23,25). For example, incorporation of 3-MI is approximately equivalent to a single base pair mismatch (23). Other fluorescent base analogues have also been used and studied. They are all either highly quenched when incorporated into DNA or cause a destabilization of the DNA duplex, or both (4,27–35).

Recently we have reported the interesting fluorescent properties of the base analogue 1,3-diaza-2-oxophenothiazine, tC (Figure 1), as a monomer (36) and when incorporated into a peptide nucleic acid (PNA)-sequence where tC was positioned between a T and an A (37). The fluorescence quantum yield was virtually unaffected upon incorporation of tC into this specific PNA single-stranded sequence and also upon subsequent hybridization to its complementary DNA single-strand (37). We have also shown that an acetic acid derivative of tC (KtC, Figure 1) exists as its normal base-pairing tautomer strand (37). We have also shown that tC serves as an excellent FRET donor with rhodamine as an acceptor (37). The unique fluorescence properties of the tC base, together with the rigid and well-defined geometry and orientation expected for tC stacked within the DNA duplex, will be a major advantage for FRET experiments to accurately measure distances within macromolecular systems, and in fluorescence anisotropy measurements.

The fluorescence quantum yield of all fluorescent base analogues in use today shows large variations depending on neighbouring bases. Previous studies of the fluorescence quantum yield of tC in nucleic acid only include one example of a PNA single-stranded sequence with T and A as closest neighbours and its corresponding PNA–DNA duplex (37). We now report on the influence of all possible nearest neighbour nucleobase variations, disregarding 5′–3′-directionality, on fluorescence properties of tC incorporated into DNA oligomers. The investigation of the fluorescence properties is crucial for the future use of this promising base analogue. Fluorescence quantum yields and lifetimes for tC in both single- and double-stranded DNA are presented and show very stable fluorescence properties for tC. We also report the redox potentials of tC which suggest a new property that may be used in electron transfer studies in nucleic acid systems. Furthermore, we present a novel synthetic route to the phosphoramidite form of tC.

**MATERIALS AND METHODS**

**Chemicals**

Standard buffer in all measurements, unless stated otherwise, was a sodium phosphate buffer, pH 7.5, with a total sodium concentration of 50 mM. The potassium salt of 1,3-diaza-2-oxophenothiazin-3-yl acetic acid (KtC) (Figure 1) was
synthesized from the methyl ester, which was obtained by alkylolation of the anion of 1,3-diaza-2-oxophenothiazine (43,44) with methylbromoacetate, following the general procedure of Eldrup et al.(38).

**Synthesis of 3-[2-deoxy-3-O-[2-cyanoethoxy-(N,N-diisopropylamino)-phosphino]-5-O-(4,4'-dimethoxytrityl)-β-D-ribofuranosyl]-1,3-diaza-2-oxophenothiazine (5)**

3-(2-Deoxy-β-D-ribofuranosyl)-1,3-diaza-2-oxophenothiazine (3) was synthesized from 1,3-diaza-2-oxophenothiazine (1) as shown in Scheme 1. The sodium salt of 1, produced in situ with sodium hydride in dimethyl formamide (DMF) was treated with 2-deoxy-3,5-di-O-p-toluoyl-α-D-erythro-pentofuranosyl chloride (45) at room temperature for 2 h to yield the tolufyl-protected nucleoside (2) as an anomic mixture. Both anomers were deprotected and separated on a silica column. Dimethoxytritylation at the 5'-OH group and conversion to the 3'-O-phosphoramidite of the β-anomer were carried out in the conventional manner and afforded the phosphoramidite monomer (5). (For more details see Supplementary Data.)

**Oligodeoxyribonucleotide synthesis**

Oligonucleotides containing tC were synthesized on an ABI 394 DNA/RNA synthesizer on the 0.2 μM scale by standard automated solid-phase methods using β-cyanoethyl phosphoramidites. Cleavage from the solid support and deprotection was carried out in the normal manner using concentrated aqueous ammonia at 55°C for 5 h. Oligonucleotides were purified by reversed-phase high-performance liquid chromatography on a C8 (octyl) column, eluting with a gradient of 0.1 M ammonium acetate to 25% acetonitrile in 0.1 M ammonium acetate buffer (46). Desalting was carried out by Sephax gel-filtration (Nap 10, Pharmacia). Normal oligonucleotides, not containing tC, were purchased from Eurogentec.

**Concentration determination**

Concentrations of oligonucleotides were determined by UV absorption measurements at 260 nm. The extinction coefficients for the modified oligonucleotides were approximated by linear combinations of extinction coefficients of the natural nucleotides and the extinction coefficient of the potassium salt of 1,3-diaza-2-oxophenothiazin-3-yl acetic acid (KtC) (Figure 1). To account for the base-stacking interactions, this linear combination was multiplied by 0.9 to give the final extinction coefficients for the oligomers. The individual extinction coefficients, at 260 nm, used were: εT = 9300 M⁻¹ cm⁻¹, εC = 7400 M⁻¹ cm⁻¹, εG = 11800 M⁻¹ cm⁻¹, εA = 15300 M⁻¹ cm⁻¹ (47) and εKtC = 13500 M⁻¹ cm⁻¹. This resulted in the following extinction coefficients at 260 nm for the modified oligonucleotides: εTA = 97650 M⁻¹ cm⁻¹, εAA = 103050 M⁻¹ cm⁻¹, εTT = 92250 M⁻¹ cm⁻¹, εGA = 99900 M⁻¹ cm⁻¹, εCT = 90540 M⁻¹ cm⁻¹, εTG = 94500 M⁻¹ cm⁻¹, εCA = 95940 M⁻¹ cm⁻¹, εGG = 96750 M⁻¹ cm⁻¹, εCC = 88330 M⁻¹ cm⁻¹ and εEC = 92790 M⁻¹ cm⁻¹ (indices of εs as in Table 1). Extinction coefficients for the purchased oligonucleotides were used as given by Eurogentec.

**Hybridization of duplex oligodeoxyribonucleotides**

Solutions of tC-containing single-strands were mixed at room temperature with solutions containing a 20% excess of their complementary strands. Samples were heated to 90°C and thereafter annealed by slow cooling to 25°C.

**Fluorescence measurements**

Fluorescence quantum yields of the different tC-containing oligonucleotides were determined relative to the quantum yield of 9,10-diphenylanthracene in degassed ethanol (Φf = 0.95) (48). The measurements were performed on a SPEX fluorolog 3 spectrofluorimeter (JY Horiba) using emission wavelengths between 397 and 750 nm and an excitation wavelength of 393 nm. Fluorescence measurements were determined using time-correlated single photon counting. The samples were excited with a PicoQuant PLS-8-2-060 pulsed diode with an approximate centre wavelength of 370 nm (FWHM 50 nm). The diode was pulsed at a 20 MHz repetition rate with a pulse width of ~1 ns and the emission was monitored at 500 nm. The photons were collected by a microchannel-plate photomultiplier tube (MCP-PMT R3809U-50; Hamamatsu) and fed into a multi-channel analyser with 4096 channels. A minimum of 10,000 counts were recorded in the top channel. The intensity data were convoluted with the instrument response and evaluated with the software package F900. The experimental setup yielded a time resolution of ~100 ps (FWHM).

**Electrochemistry**

Experiments were performed on a CH Instruments Model 650A electrochemical workstation, with a three-electrode
configuration [Pt wire counter electrode, glassy carbon working electrode, polished with 0.05 μm alumina paste, immersed in concentrated HNO₃ for 1 min and washed with ethanol prior to the experiments, and Ag/AgCl reference electrode (0.2 V versus NHE)] Buffer used in each experiment was a 0.1 M sodium phosphate buffer at pH 5.5. Both differential pulse voltammetry and cyclic voltammetry were used to determine the oxidation and reduction potential and K₃[Fe(CN)₆] was used as an external standard.

**RESULTS**

For future fluorescence-based applications, it is very important to understand the properties of tC when incorporated into different DNA sequence environments. A previous study of tC incorporated into a PNA sequence (T and A as closest neighbouring bases to tC) showed that the fluorescence quantum yield of tC is stable when going from its monomeric form (375 nm, solid line) and tC-containing single-strand (dotted lines) normalized at the maxima of the lowest energy absorption band (left) and of the emission (right), respectively.

Figure 2 shows the normalized absorption and emission spectra for the tC nucleoside (tCnuc) and one example of a tC-containing single-strand (CA, dotted lines) and tC-containing double-strand (CA, dashed lines) normalized at the maxima of the lowest energy absorption band (left) and of the emission (right), respectively.

Table 1. Fluorescence quantum yields, fluorescence lifetimes, radiative rate constants, wavelength of emission maxima and of the lowest energy absorption band maxima for tC-containing single-stranded oligonucleotides and the monomers, KtC and tCnuc<sub>d</sub>

| DNA sequence          | Neighbouring bases = name of oligomer | Φ<sub>f</sub><sup>a,b</sup> | τ<sub>m</sub>s<sup>c</sup> | k<sub>r</sub>/10<sup>9</sup>s<sup>-1</sup> | Em<sub>max</sub>/nm<sup>a</sup> | Abs<sub>max</sub>/nm<sup>a</sup> |
|-----------------------|----------------------------------------|---------------------------|------------------|-----------------|-----------------|-----------------|
| 5'-CGC-AGiC-ATC-G-3'  | GA                                     | 0.17                      | 5.3              | 3.2             | 513             | 402             |
| 5'-CGC-ACiC-TTC-G-3'  | CT                                     | 0.21                      | 5.6              | 3.8             | 509             | 390             |
| 5'-CGC-AGiC-CTC-G-3'  | GC                                     | 0.19                      | 5.3              | 3.6             | 509             | 394             |
| 5'-CGC-ACiC-ATC-G-3'  | CA                                     | 0.20                      | 5.6              | 3.6             | 510             | 392             |
| 5'-CGC-AGiC-GTC-G-3'  | GG                                     | 0.18                      | 5.4              | 3.3             | 510             | 399             |
| 5'-CGC-ACiC-CTC-G-3'  | CC                                     | 0.22                      | 5.9              | 3.7             | 508             | 392             |
| 5'-CGC-ATiC-ATG-G-3'  | TA                                     | 0.21                      | 5.8              | 3.6             | 509             | 390             |
| 5'-CGC-AiTG-ATG-G-3'  | AA                                     | 0.21                      | 5.6              | 3.8             | 510             | 391             |
| 5'-CGC-ATiC-GTG-G-3'  | TT                                     | 0.22                      | 5.7              | 3.9             | 507             | 390             |
| 5'-CGC-GTC-ATG-G-3'   | TG                                     | 0.24                      | 6.5              | 3.7             | 506             | 391             |
| KtC<sup>d</sup>       |                                        | 0.16                      | 3.8              | 4.2             | 506             | 375             |
| tCnuc<sup>d</sup>     |                                        | 0.13                      | 3.2              | 4.1             | 513             | 377             |

<sup>a</sup>Measurements performed in phosphate buffer (50 mM Na<sup>+</sup>, pH 7.5) at room temperature.

<sup>b</sup>Fluorescence quantum yields measured relative to the quantum yield of 9,10-diphenylantracene in ethanol (Φ<sub>f</sub> = 0.95) (48).

<sup>c</sup>Radiative rate constant k<sub>r</sub> = Φ<sub>f</sub>/τ.<n1>ttC<sup>d</sup> is the potassium salt of the acetic acid derivative of tC (Figure 1) and tCnuc is the nucleoside derivative of tC (Figure 1).
Table 2. Fluorescence quantum yields, fluorescence lifetimes, radiative rate constants, wavelength of emission maxima and of the lowest energy absorption band maxima for tC-containing double-stranded oligonucleotides

| DNA sequence  | Neighbouring bases = name of oligomer | $\Phi_{\text{flu}}^{a,b}$ | $\tau/\text{ns}^{a}$ | $k_f/10^7\text{ s}^{-1} \cdot \text{nm}^{b}$ | $\text{Em}_{\text{max}}/\text{nm}^{c}$ | $\text{Abs}_{\text{max}}/\text{nm}^{c}$ |
|--------------|--------------------------------------|-----------------|-----------------|-----------------|-----------------|-----------------|
| 5'-CGC-AGC-ATC-G-3' | GA                                   | 0.18            | 5.9             | 3.1             | 505             | 389             |
| 5'-CGC-ACIC-TTC-G-3' | CT                                   | 0.20            | 6.6             | 3.0             | 502             | 395             |
| 5'-CGC-AGIC-CTC-G-3' | GC                                   | 0.16            | 5.7             | 2.8             | 507             | 391             |
| 5'-CGC-ACIC-ATC-G-3' | CA                                   | 0.20            | 6.6             | 3.0             | 502             | 391             |
| 5'-CGC-AGIC-GTC-G-3' | GG                                   | 0.18            | 6.3             | 2.9             | 506             | 391             |
| 5'-CGC-ACIC-CTC-G-3' | CC                                   | 0.19            | 6.4             | 3.0             | 503             | 393             |
| 5'-CGC-ATIC-ATC-G-3' | TA                                   | 0.20            | 6.5             | 3.1             | 503             | 395             |
| 5'-CGC-AAC-ATC-G-3' | AA                                   | 0.18            | 5.9             | 3.1             | 507             | 392             |
| 5'-CGC-ATIC-TTC-G-3' | TT                                   | 0.20            | 6.5             | 3.1             | 503             | 399             |
| 5'-CGC-ATTC-GTC-G-3' | TG                                   | 0.21            | 6.9             | 3.0             | 503             | 397             |

$^a$Measurements performed in phosphate buffer (50 mM Na+, pH 7.5) at room temperature.
$^b$Fluorescence quantum yields measured relative to the quantum yield of 9,10-diphenylantracene in ethanol ($\Phi_{\text{flu}} = 0.95$) (48).
$^c$Radiative rate constant $k_f = \Phi_f/\tau$.

$k_f$, for each decamer. This value is virtually constant within the two groups (single- and double-strands) showing a slightly higher average for the single-strands (3.7 $\times 10^7 \text{ s}^{-1}$) compared with the double-strands (3.0 $\times 10^7 \text{ s}^{-1}$). Both of these averages are smaller than the radiative rate constant of the tCnuc (4.1 $\times 10^7 \text{ s}^{-1}$).

One-electron-redox potentials in sodium phosphate buffer solution, pH 5.5, were measured with both cyclic voltammetry and differential pulse voltammetry. The oxidation potential for tCnuc was 0.9 V and the reduction potential $-1.1 \text{ V}$. With the same experimental setup the oxidation potential for deoxyguanosine (dG) was determined to be 1.4 V, which agrees well with values reported in literature (49,50).

**DISCUSSION**

In earlier studies we have shown that the fluorescence quantum yield of tC is remarkably stable and virtually unaffected by incorporation into a single-stranded sequence of PNA and the corresponding PNA–DNA duplex with T and A as neighbouring bases (37). Recently we have also shown that tC incorporated into an oligonucleotide context forms specific base pairs with guanine with no increased dynamics, such as base pair opening or flipping between syn/anti conformation, as compared with the natural bases (42). These results are further supported in the present study. The work shows that the fluorescence quantum yields and fluorescence lifetimes, of the base analogue, tC, are virtually unaffected by incorporation into DNA single- and double-strands regardless of the nature of the neighboring bases (Tables 1 and 2), which is a unique property of a fluorescent DNA base analogue [cf. review by Rist and Marino (2)]. We observe an average decrease in radiative rate constant, $k_f$, for the free tCnuc ($k_f = 4.1 \times 10^7 \text{ s}^{-1}$) when incorporated into single-stranded DNA ($k_f = 3.7 \times 10^7 \text{ s}^{-1}$) and a further decrease when incorporated into double-stranded DNA ($k_f = 3.0 \times 10^7 \text{ s}^{-1}$). This variation and also the small variation within each group (3.2–3.9 and 2.8–3.1 for single- and double-strands, respectively) can be explained by the Strickler–Berg theorem (51), which states that the radiative rate constant for fluorescence is directly proportional to the probability of the corresponding absorption process, i.e. proportional to the area under the lowest absorption band. Stacking of the bases in DNA leads to hypochromicity which results in a lower extinction coefficient which, in turn, according to Strickler–Berg, results in a lowered radiative rate constant. The hypochromicity depends on how well the base is stacked within DNA, on the surrounding bases and also on whether the strand is hybridized to a complementary strand or not. Although the hypochromicity for the low energy absorption band of tC in single- and double-stranded DNA has not been quantified, it is reasonable to assume that it will behave similarly to the natural DNA bases, which show up to a 25% decrease in absorption in single-stranded DNA and up to a 40% decrease in absorption in double-stranded DNA as compared with monomeric nucleotides (52). The decreases in radiative rate constant for tCnuc incorporated into single- and double-stranded DNA are in the ranges 5–22% and 24–31%, respectively, as compared with tCnuc. This suggests that hypochromicity might very well be the explanation for the differences in radiative rate constant in all the samples. As a consequence, this conclusion indicates that the non-radiative rate constants, $k_{ic}$ and $k_{isc}$ are virtually constant in all samples.

Although the limited time resolution of the experimental setup prevents us from detecting short lifetimes (subnanosecond), the single, well-defined fluorescence lifetime of tC we find in both single- and double-strands is a strong indication that the conformation of tC is fixed and does not exist as a set of different conformations. This further supports the theory that tC does not show increased dynamics in DNA but is well stacked and has no enhanced tendency for base pair opening and flipping as compared with the natural bases. Additional indicators of a rigid environment for tC in DNA are the virtually identical (except for a shift in wavelength maxima), more structured emission profiles for tC when incorporated into single- as well as double-stranded DNA (Figure 2) and the emission profile of tC in a rigid environment like H$_2$O/ethylene glycol glass at $-100^\circ \text{C}$ (data not shown). The fluorescence lifetimes (5.3–6.9 ns) are very well suited for fluorescence anisotropy measurements of oligonucleotides and oligonucleotide–protein complexes. Preliminary results show that tC can be used to follow rotational dynamics on up to at least 50mer single- and double-stranded oligonucleotides and oligonucleotide–protein complexes.

The oxidation potential for tC was found to be 0.9 V in sodium phosphate buffer solution, pH 5.5, which is $\sim0.4 \text{ V}$ lower than values reported in literature for dG (49,50) which is...
the natural DNA base with the lowest oxidation potential. This suggests that tC might be used as an electron hole acceptor in charge transfer studies in DNA. Furthermore, the reduction potential for tC is \(-1.1\) V which together with the excitation energy for the lowest singlet excited state (2.9 eV) [calculated as the mean of the emission and absorption wavenumber, \(E_{00}(tC^\ast) = (26700 + 19600)/2 = 23150\) cm\(^{-1}\) \(\approx 2.9\) eV] gives a reduction potential in the excited state of 1.8 V [reduction potential in the excited state, \(E^\circ (tC^\ast/tC^\ast\ast) = E^\circ (tC/2C^\ast\ast) + E_{00}(tC^\ast)\)]. This value is \(-0.3\) V larger than the excited state reduction potential reported for 2-AP (1.5 V) (18). Thus, for thermodynamic reasons tC should be more easily quenched by electron transfer from a nearby base than 2-AP. This is quite surprising, since several studies have claimed that 2-AP is selectively quenched by electron transfer from the guanine base, both in solution and in oligonucleotides (19,20,53). On the other hand, it should be noted that in a recent paper, Reynisson and Steenken (54) showed that excited 2-AP is incapable of oxidizing guanine in solution, thus suggesting that electron transfer does not quench 2-AP, in which case it is less surprising that tC is not quenched by electron transfer. However, if 2-AP is quenched by electron transfer from guanine and tC is not, either in oligonucleotides (irrespective of the neighbouring base) or by dGMP in solution (see Supplementary Figure S1), there must exist other barriers for the electron transfer reaction of tC with the natural bases. One such difference between 2-AP and tC might be follow-up reactions, including prototrophic equilibria, which are notoriously sensitive to solvent environment and known to affect the redox potentials for the natural bases (55).

In conclusion, the properties presented in this paper, together with previous results showing that tC induces minimal perturbation to the DNA helix (42), make tC unique as a fluorescent base analogue and very promising as a fluorescent probe in techniques such as fluorescent anisotropy and FRET in nucleic acid studies and also suggests that in the future tC may be of interest for electron transfer studies in DNA.

**SUPPLEMENTARY DATA**

Supplementary Data is available at NAR Online.

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