Dualities from dualities: the sequential deconfinement technique

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Abstract: It is an interesting question whether a given infra-red duality between quantum field theories can be explained in terms of other more elementary dualities. For example recently it has been shown that mirror dualities can be obtained by iterative applications of Seiberg-like dualities. In this paper we continue this line of investigation focusing on theories with tensor matter. In such cases one can apply the idea of deconfinement, which consists of trading the tensor matter for extra gauge nodes by means of a suitable elementary duality. This gives an auxiliary dual frame which can then be manipulated with further dualizations, in an iterative procedure eventually yielding an interesting dual description of the original theory. The sequential deconfinement technique has avatars in different areas of mathematical physics, such as the study of hypergeometric and elliptic hypergeometric integral identities or of $2d$ free field correlators. We discuss various examples in the context $4d$ $\mathcal{N} = 1$ supersymmetric theories, which are related to elliptic hypergeometric integrals. These include a new self-duality involving a quiver theory which exhibits a non-trivial global symmetry enhancement to $E_6$.

Keywords: Duality in Gauge Field Theories, Supersymmetric Gauge Theory, Supersymmetry and Duality

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1 Introduction

One of the most interesting non-perturbative phenomena that can characterize a quantum field theory is the one of infra-red (IR) duality. This occurs when two distinct microscopic theories flow to the same fixed point at low energies. A famous example of this is the Seiberg duality [1]. This applies to SQCD in 4d with the minimal amount of supersymmetry, namely $\mathcal{N} = 1$, which is also the set-up we will consider in this paper. The advantage of considering supersymmetric models is that we can compute exactly various Renormalization Group (RG) flow invariants, which we can then match between the two theories to provide strong evidence of the duality. One type of such invariants are supersymmetric partition functions on various compact manifolds, which can be expressed in the much more manageable form of ordinary matrix integrals using localization techniques (see [2] for a review and references therein).

An intriguing question in this context is whether there is some minimal set of fundamental dualities from which all the others can be derived. For example, if we consider quiver gauge theories, we can apply the Seiberg duality or variants thereof locally on some gauge nodes so to find new dual frames. This sort of manipulations can be performed very explicitly at the level of the integrals of supersymmetric partition functions. Very
recently in [3], building on the results of [4], it was shown that following such a strategy the 3d $\mathcal{N} = 4$ mirror symmetry [5] as well as its 4d $\mathcal{N} = 1$ ancestor [6] can be derived by a piecewise dualization algorithm based on two duality moves, which can, in turn, be derived by sequentially applying the Aharony duality in 3d [7] or the Intriligator-Pouliot (IP) duality in 4d [8].

The set of possible manipulations that we can perform on a gauge theory using fundamental dualities can be drastically enlarged if we employ a technique called deconfinement. This consists of trading a matter field in a rank-2 representation of the gauge group for an auxiliary gauge node by using an s-confining duality, in the sense of [9]. In other words, we can find a dual frame where the rank-2 matter is absent, but we have an additional gauge node, and the two theories are related by an application of the s-confining duality on the new node. One can then perform new dualizations of the auxiliary quiver theory obtained from the deconfinement, which can lead to a non-trivial dual frame of the original theory.

Some examples of deconfinements in 4d $\mathcal{N} = 1$ theories first appeared in the physics literature in [10–15]. Deconfinement appeared more recently also in lower dimensions. For example, various 3d $\mathcal{N} = 2$ dualities [16–20] have been derived with an iterative (or sequential) application of the deconfinement procedure. An example of deconfinement also appeared in the context of 2d $\mathcal{N} = (0, 2)$ theories in [21].

Interestingly the technique of deconfinement has also appeared elsewhere in the literature, even if in a different disguise. One strong evidence for a duality is the matching of the supersymmetric indices or partition functions of dual theories. For example, the 4d supersymmetric index [22–25] can be written in terms of elliptic functions, and each duality implies a highly non-trivial identity between elliptic hypergeometric integrals which are extensively discussed in the math literature, see for example [26, 27]. In particular, in [28, 29] it was shown that the integral identities for various of the s-confining dualities of [9] can be derived by iterating integral identities for some more elementary dualities like the Seiberg duality or the IP duality, with a strategy that is nothing but the deconfinement technique we described before in the field theory.

Another incarnation of the technique of deconfinement was observed in [16] where it was established a correspondence between the $S^2 \times S^1$ partition function of certain 3d $\mathcal{N} = 2$ gauge theories and the correlation functions of 2d CFTs in the free field realization. 2d free field correlators are expressed in terms of Dotsenko-Fateev integrals which can be manipulated by iterating a fundamental set of integral identities. In particular, in [16] it was shown how the manipulations of the Liouville 3-point free field correlator leading to the DOZZ evaluation formula [30, 31] by iterative use of fundamental integral identities discussed in [32] have a field theory avatar as the sequential deconfinement procedure for the 3d $\mathcal{N} = 2$ s-confining duality relating the U(N) gauge theory with one adjoint and one fundamental flavors to a Wess-Zumino (WZ) proposed in [33]. Building on the correspondence between 3d supersymmetric indices and free field correlators, in [17] various

\footnote{According to [9], a theory is called s-confining when it admits an IR dual description everywhere in the moduli space in terms of a Wess-Zumino (WZ) model whose fields are mapped to gauge invariant operators in the original gauge theory and with a dynamically generated superpotential among them that preserves the same global symmetries as those of the gauge theory.}
new $3d \mathcal{N} = 2$ dualities were found by uplifting known integral identities for $2d$ free field correlators in Liouville CFT appearing in [32]. In particular, the integral kernel function that shows up in the multipoint Liouville correlator has been related to the $3d\ M[SU(N)]$ theory, which admits a further uplift to the $4d\ \mathcal{N} = 1 \ E[U(2)]$ theory, which was shown to provide the building block for E-string compactifications on a torus in [34].

In this paper, we discuss various new $4d\ \mathcal{N} = 1$ IR dualities, most of which are derived using the technique of deconfinement. We start in section 2 by revisiting the known s-confining duality for the $USp(2N)$ gauge theory with one antisymmetric and six fundamental chiral fields [9] and showing how to derive it by deconfining the antisymmetric field via the IP duality.

We then consider various possible generalizations of this duality. In section 3, we consider a linear quiver gauge theory with $USp$ nodes and matter in the fundamental, bifundamental and antisymmetric representation. Using deconfinement, we show that this theory is also dual to a simple Wess-Zumino model.

In section 4, we consider a similar linear quiver theory, but with a different arrangement of the fundamental fields, and show using deconfinement that it enjoys a self-duality that we call cross-leg duality. Moreover, we propose that by suitably adding some singlet fields to this theory, it enjoys a global symmetry enhancement from the manifest $SU(3)^3$ global symmetry to $E_6$, and we perform some checks of this claim.

Finally, in section 5, we propose a duality between the $USp(2N)$ gauge theory with one antisymmetric and $6+2k$ fundamental chiral fields, $2k$ of which interact with the antisymmetric with a cubic superpotential, and a quiver gauge theory that can be obtained by gauging one of the $USp(2k)$ symmetries of the $E[USp(2k)]$ theory of [34] with six fundamental chiral fields and some singlets. This duality is a 4$d$ ancestor of the 3$d$ rank stabilization duality of [17], to which it reduces upon circle compactification followed by suitable real mass deformations. This is the only duality in this paper for which we don’t present a derivation using deconfinement.

Even if we won’t investigate the relation to free field correlators further in this paper, all the dualities that we are going to discuss can be shown to reduce in 3$d$ to dualities that naturally arise from such connection with 2$d$ free field correlators. The simplest example is the confining duality for $USp(2N)$ with one antisymmetric and six fundamentals of [9]. In [33] it was shown that this duality reduces in 3$d$ to the confining duality for a $U(N)$ gauge theory with one adjoint and one fundamental flavors, which as we mentioned above is related to the 3-point correlator of Liouville theory. Similarly, the dualities that we will consider in sections 3 and 4 can be related to integral identities for the free field correlators for the 3-point function of the Toda CFT [36, 37]. Interestingly the manipulations performed in CFT on the free field integrals to derive such identities are completely analogous to the deconfinement procedure we will discuss in sections 3 and 4. Finally, the rank stabilization duality is related to the correlation function involving 3 primaries and $k$ degenerate operators in Liouville theory [17, 32].

2See also [35] for a geometric derivation of this duality in terms of a sphere compactification of the 6$d\ \mathcal{N} = (1, 0)$ E-string SCFT.
SU(6) \times U(1)_t \times U(1)_{R_0}

| Field | SU(6) | U(1)_t | U(1)_{R_0} |
|-------|--------|---------|------------|
| A     | 1      | 1       | 0          |
| Q_a   | 6      | 1−N/3   | 1/3        |
| \beta_i | 1     | −i      | 2          |

*Table 1.* Transformation properties of the matter fields under the gauge group.

The interplay between physics and math perspective fostered by localization has led to a significant progress in our understanding of supersymmetric dualities. The fact that the same tools, or different avatars of the same tool as we have seen for the deconfinement, appear both in physics and math indicates an even deeper connection and reinforces the hope that this interplay can help us organize the vast landscape of dualities.

**Note added.** While completing this work, we became aware of [38] which has some overlap with the results of section 2. We thank the authors of [38] for coordinating the submission.

## 2 Confining duality for USp(2N) gauge theory with antisymmetric matter

In order to explain the deconfinement strategy that we are going to use to derive new dualities in the next sections, we first apply it to re-derive the well-known duality by Csaki, Skiba and Schmaltz between a USp(2N) gauge theory and a WZ model [9].

More precisely, the electric theory is a USp(2N) gauge theory with six fundamental chirals $Q_a$, one antisymmetric chiral $A$ and $N$ chiral singlets $\beta_i$ with superpotential

$$W = \sum_{i=1}^{N} \beta_i \text{Tr}_N A^i.$$  \hspace{1cm} (2.1)

The content of the theory is schematically represented in figure 1. The charges under the global symmetry group SU(6)\_x \times U(1)\_t \times U(1)_{R_0} are given in the following table:

Here U(1)_{R_0} is a possible choice of UV trial $R$-symmetry that is non-anomalous and consistent with the superpotential. The charges of the fields under U(1)\_t are fixed requiring that U(1)\_R is not anomalous, where U(1)\_R is defined taking into account the possible mixing of the $R$-symmetry with the other abelian symmetry

$$R = R_0 + t q_t,$$  \hspace{1cm} (2.2)

where $q_t$ is the charge under U(1)\_t and $t$ the mixing coefficient.\(^5\) The superconformal $R$-symmetry can be determined using $a$-maximization [39], which sets $t = 0$.

\(^3\)For USp(2N) groups, we define the trace with the contraction of indices done with the antisymmetric tensor $J^{(N)} = \mathbb{1}_{N \times N} \otimes i \sigma_2$. For example, we have $\text{Tr}_N A = A_i = J^{(N)}_{ij} A^j$.

\(^4\)Throughout the paper, we label symmetries with the corresponding fugacities in the index.

\(^5\)We recall that the requirement for the U(1)\_R symmetry to be anomaly free translates into the condition $\sum_f T(R_f) R_f = 0$, where the trace is taken over all the fermions of the theory. The Dynkin indices for the USp(2N) representations of our interest are $T(2N) = 1/2$, $T(N(2N + 1)) = N + 1$, $T(N(2N − 1)) = N − 1$. 

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Figure 1. Quiver diagram of the original gauge theory. The round node denotes the USp(2N) gauge symmetry, while the square node the SU(6) flavor symmetry. The line connecting them represents the chiral in the bifundamental representation of the two groups, while the arc denotes the chiral in the antisymmetric representation of the gauge group. The dash over the arc denotes the tower of $\beta_i$ singlets.

| $M_k$ | SU(6)$_x$ | U(1)$_t$ | U(1)$_{R_0}$ |
|-------|-----------|----------|-------------|
| 15    | $k + \frac{2}{3}(1 - N)$ | $\frac{2}{3}$ |

Table 2. Transformation properties of the gauge invariant operators.

As gauge invariant operators, we can construct the mesons, possibly dressed with powers of the antisymmetric

$$M_k = \text{Tr}_N \left[ QA^k Q \right], \quad k = 0, 1, 2, \cdots, N - 1,$$

with the following transformation properties under the global symmetries:

Notice that the superconformal $R$-symmetry implies that these operator have dimension one and are free. The gauge invariant operators $T_k = \text{Tr}_N A^k, k = 1, 2, 3, \cdots, N$ are instead flipped by the $\beta_k$ fields since otherwise they would fall below the unitarity bound.

In [9] it was argued that this theory is dual to a WZ model of $15N$ chiral singlets. Following [33], we can write the superpotential by collecting the dual fields in a matrix

$$\hat{W} = \sum_{i,j,k=1}^{N} \epsilon_{abcdef} \mu_{ab,i} \mu_{cd,j} \mu_{ef,k} \delta_i + j + k + 2N + 1.$$

The gauge invariant operators $Q_a A^{i-1} Q_b$ of the electric theory are mapped into the gauge singlet fields $\mu_{ab,i}$ of the magnetic WZ model.

The singlets $\beta_k$ are not mapped into any operator of the dual WZ model, since we can argue that these fields can’t take a VEV as in [40, 41]. Indeed, a VEV for $\beta_j$, for example, would correspond to turning on $\text{Tr}_N A^j$ in the superpotential and the effect of such deformation can be understood by considering the duality discussed in [42]. This duality relates an USp(2N) theory with one antisymmetric chiral $A$, $2N_f$ chiral and $W = \text{Tr}_N A^{K+1}$ to a dual theory with USp($K(N_f - 2) - N$) gauge group. The condition to have a stable supersymmetric vacuum moduli space which includes the origin is that the dual rank must be greater or equal then zero, namely we require $N_f \geq N/K + 2$. We can then see that for $N_f = 3$ the theory with the deformation $\text{Tr}_N A^j$ has no stable vacuum, since $j \leq N$. 

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Figure 2. Equivalent representation of the theory. This form is more suitable for the derivation of the duality we want to present.

We can look at the duality at the level of the supersymmetric index. The index will depend on fugacities for the SU(6)_x × U(1)_t global symmetry, which we denote by \( x_a \) and \( t \) respectively. For convenience, we define the fugacities \( x_a \) for the SU(6)_x flavor symmetry such that they satisfy the balancing condition

\[
t^{2N-2} \prod_{a=1}^{6} x_a = pq \tag{2.5}
\]

which can be understood as a consequence of the requirement that U(1)_R is not anomalous. With these conventions, the duality is expressed by the identity

\[
\mathcal{I}_A(t, x_a) = \frac{\prod_{i=1}^{N} \Gamma_e(t) \prod_{i<j}^{N} \Gamma_e(t z_{i}^{\pm 1} z_{j}^{\pm 1}) \prod_{i=1}^{6} \Gamma_e(z_{i}^{\pm 1} x_a)}{\prod_{i=1}^{6} \Gamma_e(z_{i}^{\pm 2})} = \mathcal{I}_B(t, x_a), \tag{2.6}
\]

where we included the contribution of the vector multiplet in the integration measure

\[
dz_N = \frac{[(p;p)(q;q)]^N}{2^N N!} \prod_{i=1}^{N} \frac{dz_i}{2\pi i z_i} \prod_{i<j}^{N} \frac{1}{\Gamma_e(z_{i}^{\pm 1} z_{j}^{\pm 1})} \prod_{i=1}^{N} \Gamma_e(z_{i}^{\pm 2}). \tag{2.7}
\]

In the following it will be useful to use an equivalent parameterization where we split the 6 flavors as in figure 2. We accordingly use the new fugacities \( c_i, y_i \), which are simply related to the previous ones by

\[
x_{1,2} = c_1 y_1^{\pm 1}, \quad x_{3,4} = c_2 y_2^{\pm 1}, \quad x_{5,6} = c_3 y_3^{\pm 1}, \quad \text{with} \quad t^{2N-2} (c_1 c_2 c_3)^2 = pq. \tag{2.8}
\]

As we have already mentioned in the introduction, the electric theory — once we compactify to 3d and take a suitable combination of Coulomb branch VEV and real mass

\[\footnote{In order to recover the charge assignment of table 1 we need to shift \( x_a \rightarrow x_a t^{1-N} (pq)^{\frac{1}{6}} \), so that (2.5) becomes the standard SU(6)_x tracelessness condition \( \prod_{a=1}^{6} x_a = 1 \).}

\[\footnote{This elliptic hypergeometric identity was first conjectured in [43].} \]
deformations — flows to a $U(N)$ theory with one adjoint, three flavors and with a superpotential $\mathcal{M}^+ + \mathcal{M}^-$, where $\mathcal{M}^\pm$ are monopole operators with unit magnetic charge. A further real mass deformation for $U(1)_{c_1}$ makes two of the flavors massive removing the monopole superpotential and we obtain a $U(N)$ theory with one flavor and one adjoint, which is dual to a WZ model \[33\] \footnote{The limit reducing the $S^3 \times S^1$ index to the $S^3_\beta$ partition function is implemented by redefining:}

\begin{align}
  z_j &= e^{2\pi i r z_j}, & j &= 1, \ldots, N, \\
  y_i &= e^{2\pi i r y_i}, & c_i &= e^{2\pi i r \Delta_i}, & i &= 1, \ldots, 3, \\
  t &= e^{2\pi i r (Q - 2 n_A)}, & p &= e^{-2\pi r b}, & q &= e^{-2\pi r b^{-1}}, \tag{2.9}
\end{align}

where $r$ is the radius of $S^1$ and the new parameters in capital letters are in $\left[-\frac{1}{2\pi}, \frac{1}{2\pi}\right]$, and using that:

\begin{equation}
  \lim_{r \to 0} \Gamma_c \left(e^{2\pi i r z}; p = e^{-2\pi r b}, q = e^{-2\pi r b^{-1}}\right) = e^{-\pi i r (\sqrt{Q} - s_b) \left(i \frac{Q}{2} - x\right)}, \tag{2.10}
\end{equation}

where $Q = b + b^{-1}$ and $s_b$ is the double-sine function, in terms of which the $S^3_\beta$ partition function can be written (we follow the conventions of section 5 and appendix A.1 of \[4\] for the $S^3_\beta$ partition function). The first deformation to the $U(N)$ theory with monopole superpotential is obtained by redefining

\begin{equation}
  Z_i \to Z_i + s, \quad Y_i \to Y_i + s \tag{2.11}
\end{equation}

and sending $s \to \infty$, while the real mass for $U(1)_{c_1}$ corresponds to sending $\Delta_1 \to \Delta_1 + t$ and $t \to \infty$. 

For the 4d $USp(2N)$ electric theory we can analogously implement the sequential deconfinement procedure by trading the tensor matter for a new gauge node, and this is how we obtain the auxiliary quiver shown in the top left of figure 3, where the antisymmetric is traded for an extra $USp(2N - 2)$ gauge node. The auxiliary quiver theory has a triangle superpotential involving the $USp(2N - 2) \times USp(2N)$ bifundamental $q$. There are also $N - 1$ singlets $\beta_i$ entering the superpotential as $\sum_{i=1}^{N-1} \beta_i Tr_{N-1} (qq)^i$. Notice that only $U(1)_{g_1} \subset SU(2)_{g_1}$ is manifest in this frame, but the number of fundamentals attached to each gauge node is always even to avoid Witten’s anomaly \[45\]. This specific arrangement of fields is suggested by the fact that our 4d auxiliary quiver, upon taking the 3d limit combined with the deformations discussed above, reduces precisely to the auxiliary quiver with linear monopole turned on at the first gauge node used in the 3d deconfinement procedure.

Starting from the auxiliary quiver on the top left corner of figure 3 we can move into two directions by applying the Intriligator-Pouliot (IP) duality \[8\]. We recall that the IP duality relates a $USp(2N)$ gauge theory with $2K$ fundamental chirals and no superpotential to a $USp(2K - 2N - 4)$ gauge theory with $2K$ fundamental chirals, $K(2K - 1)$ singlets $\Phi_{\alpha\beta}$ and superpotential $W = \Phi^{\alpha\beta} q_\alpha q_\beta$.

If we apply the IP duality to the first node, this confines; the singlets appearing in the magnetic side of the IP duality reconstruct the antisymmetric chiral on the $USp(2N)$ node
and the $\beta_N$ singlet, so that we recover exactly the original theory, which is the first quiver in the second line of figure 3. In this sense, we call the procedure of going from the original quiver to the auxiliary one deconfinement. We can also apply the duality to the second USp($2N$) node, which also confines and we reach the second quiver in the second line. Now compared to the original theory the rank of the gauge group is lowered by one and 15 extra singlets have been generated. From here, we can construct another auxiliary quiver, the second one in the first line, this time with gauge group USp($2N - 4$) $\times$ USp($2N - 2$) and repeat the same procedure. After applying the duality to the second USp($2N - 2$) node, we obtain a quiver with the rank lowered by 2 and 30 extra singlets. We see that at each iteration we basically just lower by one unit the rank and produce a bunch of extra singlets. At the $k$-th setp we will have an USp($2N - 2k$) theory with one antisymmetric, six fundamental chirals and $15k$ extra singlets. Notice that in figure 3 we don’t recombine the two chirals charged under $U(1)_{y_1}$ into a $SU(2)_{y_1}$ because this symmetry is broken by

Figure 3. Sequential deconfinement procedure. Applying the IP duality to the USp($2N - 2$) node of the auxiliary quiver in the top left corner we move to the first quiver in the second line, the original electric theory. Applying the IP duality to the USp($2N$) node we move to the second theory in the second line, with rank decreased by one unit and 15 extra singlets. We can now use the auxiliary quiver in the top right corner. If we apply the IP to the USp($2N - 4$) we go back to the second theory in the second line, while if we dualize the USp($2N - 2$) node we instead move to the third theory in the second line, with rank decreased by two units and 30 extra singlets. In the last line we show the result after $k$ iterations and the result after $N$ iterations, the WZ dual frame.
superpotential terms involving the singlets fields at the intermediate steps. Nevertheless, we still obtain a theory with USp gauge group, one antisymmetric and six fundamentals, and in this sense we can say that original theory is stable under this sequence of dualizations. If we iterate the whole procedure $N$ times, the gauge node confines and we end up with a WZ model of $15N$ singlets, which is the dual theory we are looking for.

All these steps can be performed at the level of superconformal index. The basic identity for the IP duality was proven in [28]

$$\oint d\bar z_N \prod_{i=1}^N \prod_{\alpha=1}^{2K} \Gamma_e(v_\alpha \bar z_i^{\pm 1}) = \prod_{\alpha<\beta}^{2K} \Gamma_e(v_\alpha v_\beta) \oint \prod_{i=1}^{K-N-2} \prod_{\alpha=1}^{2K} \Gamma_e((pq)^{1/2} v_\alpha \bar z_i^{\pm 1}),$$  

and it holds provided that the balancing condition

$$\prod_{\alpha=1}^{2K} v_\alpha = (pq)^{K-N-1},$$  

corresponding to the fact that the anomaly cancellation is satisfied. The starting point is the index of the auxiliary quiver in the top left corner of figure 3, which is given by

$$\mathcal{I}_{aux}(t,c_i,y_i) = \prod_{i=1}^{N-1} \Gamma_e((c_1 y_1^{1/2} t^{-1/2}, w_i^{1/2})) \prod_{i=1}^{N-1} \Gamma_e((c_1 y_1^{1/2} t^{-1/2}, w_i^{1/2}))$$

$$\times \prod_{i=1}^{N} \Gamma_e((c_1 y_1^{1/2} t^{-1/2}, w_i^{1/2})) \prod_{i=1}^{N} \Gamma_e((c_1 y_1^{1/2} t^{-1/2}, w_i^{1/2}))$$

$$\times \prod_{i=1}^{N-1} \prod_{j=1}^{N} \Gamma_e((t^{1/2} w_i^{1/2}, z_j^{1/2})).$$  

The charges of fields in the auxiliary quiver satisfy the constraints coming from anomaly cancellations and cubic superpotential and are chosen in such a way that when we apply the IP duality to the first node, which corresponds to replacing the terms in (2.14) depending on the USp($2N - 2$) fugacities $w_i$ as

$$\oint d\bar w_{N-1} \prod_{i=1}^{N-1} \prod_{j=1}^{N} \Gamma_e((t^{1/2} w_i^{1/2}, z_j^{1/2}) \prod_{i=1}^{N-1} \Gamma_e((c_1 y_1^{1/2} t^{-1/2}, w_i^{1/2})) \prod_{i=1}^{N-1} \Gamma_e((pq t^{1/2} c_1^{1/2} y_1^{1/2}, w_i^{1/2}))$$

$$= \Gamma_e(t)^\frac{N^2}{2} \prod_{i<j} \Gamma_e((t z_i^{1/2}, z_j^{1/2}) \prod_{i=1}^{N} \Gamma_e((c_1 y_1^{1/2} t^{-1/2}, z_i^{1/2})) \prod_{i=1}^{N} \Gamma_e((pq t^{1/2} c_1^{1/2} y_1^{1/2}, z_i^{1/2})),  

we recover the index of the original electric theory in (2.6). Notice that part of the matrix of gauge singlets of the IP dual (2.15) reconstructs the antisymmetric on the USp($2N$) gauge node and the $\beta_N$ singlet. The SU(2)$_{y_2}$ flavor is reconstructed since no superpotential breaking it is generated.

\[ -9 - \]
Now we can apply the duality to the second gauge node of the auxiliary quiver, which corresponds to replacing the terms in (2.14) depending on the USp(2N) fugacities $z_j$ as

$$
\int d\bar{w}_{N-1} \prod_{i=1}^{N-1} \prod_{j=1}^{N} \Gamma_e(t_2^2 w_i^{\pm 1} z_j^{\pm 1}) \prod_{i=1}^{N} \Gamma_e(t^{N-1} c_1 y_i^{1-1} z_i^{\pm 1}) \prod_{i=1}^{N} \Gamma_e(c_2 y_2^{1-1} z_i^{\pm 1}) \prod_{i=1}^{N} \Gamma_e(c_3 y_3^{1-1} z_i^{\pm 1}) \\
\times \prod_{i=1}^{N} \Gamma_e(c_3 y_3^{1-1} z_i^{\pm 1}) \Gamma_e(c_2 y_2^{1-1} y_3^{\pm 1}) \Gamma_e(c_1 y_1^{1-1} y_3^{\pm 1}) \Gamma_e(t^{N-1} c_1 y_1^{1-1} y_3^{\pm 1}) \Gamma_e(t^{N-1} c_2 y_2^{1-1} y_3^{\pm 1}) \Gamma_e(t^{N-1} c_3 y_3^{1-1} y_3^{\pm 1})$$

(2.16)

Plugging this into (2.14), we obtain the index

$$
S \times \prod_{i=1}^{N-1} \Gamma_e(pqt^{-i}) \int d\bar{w}_{N-1} \prod_{i=1}^{N-1} \prod_{j=1}^{N} \Gamma_e(t_2^2 w_i^{\pm 1} w_j^{\pm 1}) \\
\times \prod_{i=1}^{N-1} \Gamma_e(c_1 t^{1/2} y_1^{\pm 1} w_i^{\pm 1}) \prod_{i=1}^{N-1} \Gamma_e(t_2^2 c_2 y_2^{1-1} w_i^{\pm 1}) \prod_{i=1}^{N-1} \Gamma_e(t_2^2 c_3 y_3^{1-1} w_i^{\pm 1})$$

(2.17)

where $S$ is the contribution of the gauge singlets produced after the dualization, which is given by

$$
S = \Gamma_e(c_3^2) \Gamma_e(c_3^2) \Gamma_e(t^{N-1} c_3^2) \Gamma_e(c_2 y_2^{1-1} y_3^{\pm 1}) \\
\times \Gamma_e(c_1 y_1^{1-1} y_3^{\pm 1}) \Gamma_e(t^{N-1} c_1 y_1^{1-1} y_3^{\pm 1}) \Gamma_e(t^{N-1} c_1 y_1^{1-1} y_3^{\pm 1})$$

(2.18)

This is the index of the theory in the middle of the second line of figure 3, an USp(2N − 2) theory with one antisymmetric, 6 chirals and 15 extra singlets. We call this step one. Notice that SU(2)$_{y_1}$ is still broken in this frame and the SU(6)$_x$ symmetry emerges only in the IR.

At this point, we can repeat the whole procedure and consider the auxiliary quiver with gauge nodes USp(2N − 4) × USp(2N − 2) in the top right corner of figure 3. Its index is given by

$$
\mathcal{T}_{aux'} = S \times \prod_{i=1}^{N-2} \Gamma_e(pqt^{-i}) \int d\bar{w}_{N-1} \prod_{i=1}^{N-2} \prod_{j=1}^{N} \Gamma_e(t_2^2 k_i^{1-1} w_j^{\pm 1}) \prod_{i=1}^{N-2} \Gamma_e(t^{N-1} c_1 y_1^{1-1} k_i^{\pm 1}) \\
\times \prod_{i=1}^{N-2} \Gamma_e(pqt^{2-N} c_1 y_1^{1-1} k_i^{\pm 1}) \prod_{i=1}^{N-2} \Gamma_e(t^{N-2} c_1 y_1^{1-1} w_i^{\pm 1}) \prod_{i=1}^{N-2} \Gamma_e(t_2^2 c_1 y_1^{1-1} w_i^{\pm 1}) \\
\times \prod_{i=1}^{N-2} \Gamma_e(t_2^2 c_2 y_2^{1-1} w_i^{\pm 1}) \prod_{i=1}^{N-2} \Gamma_e(t_2^2 c_3 y_3^{1-1} w_i^{\pm 1})$$

(2.19)

where $S$ is the contribution of the 15 singlets given in (2.18). Applying the IP duality to the first USp(2N − 4) node, we recover the expression (2.17). We can also apply the
duality to the second gauge node. If we do so, we obtain the index of the third quiver in the second line of figure 3

\[ \Gamma_e(t_{c_2}) \Gamma_e(t_{c_3}) \Gamma_e(tN^{-2}c_1) \Gamma_e(tc_2c_3y_9^{\pm 1}y_3^{\pm 1}) \Gamma_e(tc_1c_2y_1y_2^{\pm 1}) \Gamma_e(tN^{-2}c_1c_2y_1^{\pm 1}y_2^{\pm 1}) \]

\[ \times \Gamma_e(tc_1c_3y_3^{\pm 1}) \Gamma_e(tN^{-2}c_1c_3y_1^{\pm 1}y_3^{\pm 1}) \times S \times \prod_{i=1}^{N-2} \Gamma_e(pqt^{-i}) \int \frac{d\vec{k}}{N-2} \Delta(t)^{N-2} \]

\[ \times \prod_{i<j}^{N-2} \Gamma_e(tk_i^{\pm 1}k_j^{\pm 1}) \prod_{i=1}^{N-2} \Gamma_e(c_1(ty_1)^{\pm 1}k_i^{\pm 1}) \prod_{i=1}^{N-2} \Gamma_e(tc_2y_2^{\mp 1}k_i^{\pm 1}) \prod_{i=1}^{N-2} \Gamma_e(tc_3y_3^{\pm 1}k_i^{\pm 1}) , \quad (2.20) \]

where we have the contribution of additional 15 singlets on top of the contribution \( S \) obtained in the previous step. Compared to the original electric theory the rank is now lowered by two units and a total of 30 extra singlets have been generated.

After \( k \) iterations we reach a frame with \( USp(2(N - k)) \) gauge group and 15\( k \) singlets. The contribution of the singlets at this step is given by

\[ \prod_{i=1}^{k} \Gamma_e(t^{i-1}c_2y_2^{\pm 1}) \prod_{i=1}^{k} \Gamma_e(t^{i-1}c_3y_3^{\pm 1}) \prod_{i=1}^{k} \Gamma_e(t^{i-1}c_1c_2y_1^{\pm 1}) \]

\[ \times \prod_{i=1}^{k} \Gamma_e(t^{i-1}c_1c_3y_1^{\pm 1}) \prod_{i=1}^{k} \Gamma_e(t^{i-1}c_1c_2y_1^{\pm 1}y_2^{\pm 1}) \prod_{i=1}^{k} \Gamma_e(t^{i-1}c_1c_3y_1^{\pm 1}) , \quad (2.21) \]

Then we see that if we iterate the procedure \( k = N \) times, the gauge node confines and on the r.h.s. we are just left with the contribution of 15\( N \) chiral fields in the antisymmetric of \( SU(6)_x \), which is now fully manifest. Going back to the \( x_a \) variables, the index is given by

\[ \prod_{i=1}^{N} \prod_{a<b}^{6} \Gamma_e(t^{i-1}x_ax_b) , \quad (2.22) \]

which is what we intended to prove.

The index manipulations described above are exactly the steps leading to the proof of the elliptic hypergeometric identity (2.6) provided in [28]. As shown in [29] similar manipulations can be used to prove integral identities corresponding to other \( s \)-confining dualities and reinterpreted in field theory as sequential deconfinements procedures [38].

### 3 Confining duality for a linear quiver theory

In the following sections we aim to give various generalizations of the confining duality between the \( USp(2N) \) gauge theory with six fundamental chirals and one antisymmetric chiral and the WZ model. The strategy that we will use to derive these new dualities is again based on the deconfinement procedure and on the iterative application of the IP duality.

The first immediate generalization is to consider many gauge nodes, instead of the single one of the original duality. As our electric theory we will then consider the quiver theory given in the figure 4, which clearly reduces to the previous case for \( N = 1 \).\(^9\) The

\(^9\)Notice that in this section \( N \) is the number of gauge nodes in the quiver, while in the previous section it was the rank of the only gauge node. Hence, setting \( N = 1 \) in the quiver theory we are considering now will give us the theory considered in the previous section with a single \( USp(2M_1) \) gauge node.
The gauge group of this theory is \( \prod_{i=1}^{N} \text{USp}(2M_i) \), where we assume that the ranks are ordered as \( M_1 \leq M_2 \leq \cdots \leq M_N \). The reason for this choice will be clear when we will discuss the derivation by deconfinement of the duality that we are going to present for this theory, since this works straightforwardly if this conditions is assumed, and we shall briefly comment on what happens otherwise later. The matter content is given by the following fields in the fundamental, bifundamental and antisymmetric representations:

- a chiral field \( Q^{(n,n+1)} \) in the bifundamental representation of \( \text{USp}(2M_n) \times \text{USp}(2M_{n+1}) \), \( n = 1, \ldots, N - 1 \);

- a chiral field \( B^{(N)} \) in the fundamental representation of the last \( \text{USp}(2M_N) \) gauge symmetry and in the fundamental of the \( \text{SU}(4) \times \text{flavor} \) symmetry;

- a chiral field \( D^{(n)} \) in the fundamental representation of \( \text{USp}(2M_n) \) and in the fundamental of the \( n \)-th \( \text{SU}(2)y_n \) flavor symmetry of the saw, \( n = 1, \ldots, N \);

- a chiral field \( V^{(n)} \) in the fundamental representation of \( \text{USp}(2M_n) \) and in the fundamental of the \( (n+1) \)-th \( \text{SU}(2)y_{n+1} \) flavor symmetry of the saw, \( n = 1, \ldots, N - 1 \);

- a chiral field \( A^{(n)} \) in the antisymmetric representation of \( \text{USp}(2M_n) \), \( n = 1, \ldots, N \);

- gauge singlets \( \beta^{(n)}_i \), coupled to the trace of powers of \( A^{(n)} \), \( i = 1, \ldots, M_n - M_{n-1}, n = 1, \ldots, N \);

- gauge singlets \( \gamma^{(n)}_i \), coupled to the gauge invariant mesons built from the diagonal chirals of the saw \( D^{(n)} \), also dressed with powers of the antisymmetric of the corresponding gauge node, \( i = 1, \ldots, M_n - M_{n-1}, n = 1, \ldots, N \);

To write the superpotential in a compact form, we define

\[
Q^{(n,n+1)}_{abij} = Q^{(n,n+1)}_{ai} Q^{(n,n+1)}_{b ij}.
\]

\[ (3.1) \]
The superpotential consists of a coupling between the bifundamentals and the antisymmetrics, a cubic coupling between the chiral fields appearing in each one of the triangles and, finally, flip terms for the diagonal mesons and for the powers of the traces of the antisymmetrics

\[ W_{\text{gauge}} = \sum_{n=1}^{N-1} \text{Tr}_n [A(n)(\text{Tr}_{n+1} Q^{(n,n+1)} - \text{Tr}_{n-1} Q^{(n-1,n)})] - \text{Tr}_N [A(N)(\text{Tr}_{N-1} Q^{(N-1,N)})] + \sum_{n=1}^{N} \sum_{i=1}^{M_n-M_{n-1}} \gamma_i^{(n)} Tr_y Tr_n (D^{(n)}) A^{(n)i} \]

In the expression above \( \text{Tr}_n \) denotes the trace over the gauge indices of the \( n \)-th gauge node and \( \text{Tr}_y \) denotes the trace over the \( n \)-th SU(2) flavor symmetry.

From this Lagrangian description, the manifest global symmetry of the theory is

\[ \prod_{i=1}^{N} SU(2)_{y_i} \times SU(4)_x \times U(1)_i \times U(1)_c . \]

The charges under the U(1) symmetries are as usual fixed by the superpotential and by the fact that U(1)\( _R \) must be non-anomalous at each gauge node, where again U(1)\( _R \) is defined taking into account a possible mixing of a trial UV \( R \)-symmetry U(1)\( _R \) and the other

\[ Q^{(n,n+1)} \]
\[ A^{(n)} \]
\[ D^{(n)} \]
\[ V^{(n)} \]
\[ B^{(N)} \]
\[ \beta_i^{(n)} \]
\[ \gamma_i^{(n)} \]

Table 3. Charges under the abelian symmetries of the matter fields of the quiver gauge theory.

The reason why we flip only these specific traces of powers of the antisymmetrics will become clear once we will discuss the duality. In short, the dual theory is a WZ model so we expect all gauge invariant operators in the quiver theory to get mapped to some simple chiral fields and composites of them. Nevertheless, we will see that there is no field in the dual WZ that corresponds to these higher powers of the antisymmetrics, which leads us to conclude that they should vanish in the chiral ring because of quantum effects. The analytic matching of the indices between the quiver theory and the WZ model that we will provide is strong evidence for this. Also note that here we assume the \( \beta_i \) fields vanish in the chiral ring as well, for the same reason as in the one node case, which will also be clear from the fact that there are no operators on the WZ side that \( \beta_i \) are mapped to.
abelian symmetries. The trial $R$-charges and the charges under the abelian symmetries that we assign are shown in table 3.\footnote{Notice that the same charge assignment, in particular the one for the fields $\beta_1^{(n)}$, can be obtained by turning on in the superpotential other combinations of interaction terms of the form $\beta_1^{(n)} \text{Tr}_n A^{(n)} + \cdots$ than those with $m = n$ that appear in (3.2). Our analysis, which is based on the supersymmetric index, will be blind to this different choice, since the index is only sensible to the charge assignment of the fields and not the specific superpotential terms that enforce them. Nevertheless, we expect that a full analysis of the superpotential and its mapping at each step of the derivation of the duality that we are going to discuss would require that the terms in the last line of (3.2) are the one and only that are turned on.}

There are three interesting kinds of gauge invariant operators which we will be able to map to the singlets of the WZ dual model. We have $\frac{N(N+1)}{2}$ mesons $E_i^{(nm)}$ in the bifundamental representation of $SU(2)_y \times SU(2)_y$ with $n = 1, \ldots, N - 1$, $m = n + 1, \ldots, N$. They are constructed starting with a diagonal chiral, going along the tail with the bifundamentals and ending on a vertical chiral. Then we have $N$ gauge invariant operators constructed starting from one diagonal flavor and going along the tail including horizontal bifundamentals and the last chiral $B^{(N)}$ in the fundamental of $SU(4)_x$. These are in the bifundamental representation of $SU(2)_y \times SU(4)_x$ and we denote them by $V_i^{(n)}$ with $n = 1, \ldots, N$. For $N = 3$ these operators are depicted in figure 5. Finally, there is the meson $\tilde{B}_i$ constructed from $B^{(N)}$ in the antisymmetric representation of $SU(4)_x$. These operators carry also a label $i$ which indicates the level of dressing with powers of the antisymmetric.

Because of the cubic superpotential between the antisymmetric and the horizontal bifundamental chirals in an operator like $E_i^{(nm)}$ we can take any combination $A_{n}^{k_{n}} A_{n+1}^{k_{n+1}} \cdots A_{m}^{k_{m}}$ of the antisymmetrics of the nodes $USp(2M_n), USp(2M_{n+1}), \cdots, USp(2M_m)$ with the condition $k_{n} + k_{n+1} + \cdots + k_{m} = i$. For the moment we will remain agnostic on the range of the index $i$ that gives non-trivial independent chiral ring operators. This will be determined once we will discuss the operator map with the WZ dual, since only for some values of $i$ we will get operators that correspond to chiral fields in the WZ model (this is similar to what discussed in footnote 10).

Figure 5. Construction of some of the gauge invariant operators for $N = 3$. The mesons in violet, orange and green correspond to $E_0^{(12)}$, $E_0^{(13)}$ and $E_0^{(23)}$. Operators $V_0^{(1)}$, $V_0^{(2)}$, $V_0^{(3)}$ are respectively in blue, pink and brown.
We claim that this theory is dual to a WZ model of \(4 \sum_{i=1}^{N-1} M_i + 14M_N\) chiral fields transforming as follows:\(^{12}\)

- a tower of chiral fields \(T_i^{(nm)}, i = 1, \ldots, M_N - M_{n-1}\) in the bifundamental representation of \(SU(2)_{y_n} \times SU(2)_{y_n}\), \(n = 1, \ldots, N - 1, \quad m = n + 1, \ldots, N\);
- a tower of chiral fields \(A_i, i = 1, \ldots, M_N\), in the antisymmetric representation of \(SU(4)_{x_n}\);
- a tower of chiral fields \(U_i^{(n)}, i = 1, \ldots, M_N - M_{n-1}\), in the bifundamental representation of \(SU(2)_{y_n} \times SU(4)_{x_n}, \quad n = 1, \ldots, N\).

These fields interact with the superpotential

\[
W_{WZ} = \sum_{n=1}^{N} \sum_{i=1}^{M_N-M_{n-1}} \epsilon_{abcd} T_i^{(nm)} U_j^{(n)} U_k^{(n)} T_l^{(nm)} \delta_{i+j+k,1+M_N+M_N-M_{n-1}}
+ \sum_{n=1}^{N-1} \sum_{m=n+1}^{N} \sum_{i=1}^{M_N-M_{n-1}} \sum_{j=1}^{M_N-M_{n-1}} \epsilon_{abcd} A_i^{(nm)} T_j^{(nm)} T_{kM}^{(n)} U_j^{(n)} U_k^{(m)} T_l^{(nm)} \delta_{i+j+k,1+M_N+M_N-M_{n-1}},
\]

preserving the same global symmetry of the electric theory \(\prod_{i=1}^{N} SU(2)_{y_i} \times SU(4)_{x_i} \times U(1)_t \times U(1)_c\). For \(N = 2\) the WZ model is shown in figure 6. The charge assignments under the abelian symmetries for the chiral fields in the WZ theory are shown in table 4, along with the charges of the gauge invariant operators of the gauge theory side that we described above.

From table 4 we deduce that the mapping of operators across the duality is:

\[
\begin{align*}
E_i^{(nm)} &\leftrightarrow T_i^{(nm)} & i = 1, \ldots, M_N - M_{n-1} \\
V_i^{(n)} &\leftrightarrow U_i^{(n)} & i = 1, \ldots, M_N - M_{n-1} \\
\bar{B}_i &\leftrightarrow \bar{A}_i & i = 1, \ldots, M_N.
\end{align*}
\]

This in particular tells us, as we mentioned previously, that some of the gauge invariant operators in the quiver theory should vanish quantum mechanically in the chiral ring, since there is no corresponding chiral field on the WZ side.

The strategy that we use to prove this duality is again based on sequential deconfinement. For simplicity, in the following discussion we focus on the charged fields. The singlets

\(^{12}\)For \(N = 1\) we have a duality between a \(USp(2M_1)\) theory and a WZ model with \(14M_1\) fields which is differs from the Csaki-Skiba-Schmaltz duality discussed in the previous section by the presence of an extra set of singlets \(\gamma_i^{(1)}, i = 1, \cdots, M_1\) on the electric side.
can be reconstructed by looking at the results of the derivation with the supersymmetric index (see appendix A).

Let’s consider first the two node case $N = 2$ sketched in figure 7. Starting from the auxiliary quiver in the top left corner we can apply the IP duality to the $\text{USp}(2M_1 - 2)$ node and move to the original theory, the first quiver in the second line. If we instead apply IP to the $\text{USp}(2M_1)$ node we move to the second quiver in the second line. The antisymmetric chiral of the $\text{USp}(2M_2)$ node has been removed so we can IP dualize also this node which confines and we reach the third quiver in the second line. This quiver theory has the same structure of the original one but all ranks are decreased by one unit and some extra singlets have been created.\(^\text{13}\) We can say that the original quiver theory is stable under this sequence of dualizations and we can iterate it to systematically lower the ranks. Indeed we can now consider the auxiliary quiver in the top right corner. If we IP dualise the $\text{USp}(2M_1 - 4)$ node we go back to the third quiver in the second line. If we dualise the $\text{USp}(2M_1 - 2)$ node we move to the last quiver in the second line. Here the $\text{USp}(2M_2 - 2)$ node has no antisymmetric and we can use the IP duality to confine it and reach the second quiver in the last line. This quiver has again the same structure of the original quiver theory but all ranks are now decreased by two units and some extra singlets have been created. Iterating this sequence of dualizations $M_1$ times we reach the first quiver in the third line. This is a $\text{USp}(2M_2 - 2M_1)$ theory with one antisymmetric and six fundamental chiral multiplets and using the duality discussed in the previous section we reach the WZ dual frame.

For longer quivers we similarly consider an auxiliary quiver where we trade the antisymmetric on the first $\text{USp}(2M_1)$ gauge node with a new $\text{USp}(2M_1 - 2)$ gauge node. Dualising this node it confines, with part of the singlets reconstructing the antisymmetric on the $\text{USp}(2M_1)$ node, and hence we go back to our original theory. If instead we IP dualize the second $\text{USp}(2M_1)$ gauge node, we turn it into a $\text{USp}(2M_2 - 2)$ gauge node removing the antisymmetric of the $\text{USp}(2M_3)$ node, so that now it can be dualised. We can now continue

\(^\text{13}\)Notice that, because of their interactions, one $\text{SU}(2)$ flavor symmetry is broken as in the single node case in figure 3.
Figure 7. Schematic representation of the main steps of the derivation of the duality for $N = 2$. We avoid drawing singlets not to clutter the figure.
dualising all the gauge nodes proceeding to the right of the tail with the result that the rank of each gauge node to which we apply the duality shifts from $\text{USp}(2M_i)$ to $\text{USp}(2M_{i+1} - 2)$. When we finally apply the duality to the last gauge node, this confines and we obtain a quiver with the same structure of the original theory but with the rank of each gauge node lowered by one unit. So the original quiver is stable under this sequence of dualizations and we can iterate this procedure $k$ times until we obtain a new quiver where all the ranks are lowered by $k$ units. For $k = M_1$ we completely confine the first gauge node and obtain a shorter quiver whose first node has group $\text{USp}(2M_2 - 2M_1)$. We can now perform other $2M_2 - 2M_1$ iterations to confine also the second gauge node and obtain a shorter quiver. Continuing with this strategy we reach a frame with only one remaining $\text{USp}(2M_N - 2M_{N-1})$ gauge node, an antisymmetric and six fundamental chirals which we know is dual to a WZ model. Using this result, we can completely confine also the last gauge node, which concludes the derivation of the duality between the gauge theory and the WZ model.

At this point it is clear why we required that the ranks are ordered as $M_1 \leq M_2 \leq \cdots \leq M_N$. This is indeed needed in order for the quiver to start confining from the left. If instead the ranks weren’t ordered, one could in principle still apply the deconfinement procedure, but the derivation we just described would need to be modified. In particular, at some point of our derivation we would have a quiver with the lowest rank node being in the middle instead on the very left. This will cause this node to confine before the leftmost one, so that the quiver actually breaks into two subquivers. It would be interesting to investigate further what would be the dual theory obtained from deconfinement in case of non-ordered ranks.

All the manipulations we described can be repeated at the level of the supersymmetric index, keeping track of the singlets produced at each step of the derivation. This allows us to provide a test of the duality by analytically matching the index of the original theory and the one of the final WZ model. In appendix A we give all the details of the $N = 2$ case, while here we will give the index identity for generic $N$.

The index of the gauge theory depends on fugacities for the global symmetries $U(1)_t$ and $U(1)_c$ symmetries, which we denote accordingly by $t$ and $c$, fugacities for the SU(2)$_y$, symmetries, which we call $y$, and finally fugacities for the SU(4)$_x$ symmetry, which we denote $x_a$. The index identity corresponding to the duality between the gauge quiver theory and the WZ model is then given by

$$
\mathcal{I}^{(N)}_{\text{gauge}}(\vec{M}; \vec{y}, \vec{x}; t, c) = \prod_{n=1}^{N} \prod_{i=1}^{M_n-M_{n-1}} \Gamma(e ((pq)^{2-1-M_N-M_{n-1}+M_{n-1}+M_{n-2}} \text{c}^{-2-M_N-M_{n-1}+M_{n-2}})) \prod_{i<j}^{M_n} \prod_{n=1}^{N} \Gamma(e ((pq)^{2-1-M_N-M_{n-1}+M_{n-1}+M_{n-2}}) \text{c}^{-2-M_N-M_{n-1}+M_{n-2}}))
\prod_{n=1}^{N-1} \prod_{i=1}^{M_{n+1}+M_n} \prod_{j=1}^{M_{n+1}} \Gamma(e ((pq)^{2-1-M_N-M_{n-1}+M_{n-1}+M_{n-2}}) \text{c}^{-2-M_N-M_{n-1}+M_{n-2}})) \prod_{i=1}^{M_n} \prod_{n=1}^{N} \Gamma(e ((pq)^{2-1-M_N-M_{n-1}+M_{n-1}+M_{n-2}}) \text{c}^{-2-M_N-M_{n-1}+M_{n-2}}))
\prod_{n=1}^{N-1} \prod_{i=1}^{M_{n+1}+M_n} \prod_{j=1}^{M_{n+1}} \Gamma(e ((pq)^{2-1-M_N-M_{n-1}+M_{n-1}+M_{n-2}}) \text{c}^{-2-M_N-M_{n-1}+M_{n-2}}))
\prod_{n=1}^{N-1} \prod_{i=1}^{M_{n+1}+M_n} \prod_{j=1}^{M_{n+1}} \Gamma(e ((pq)^{2-1-M_N-M_{n-1}+M_{n-1}+M_{n-2}}) \text{c}^{-2-M_N-M_{n-1}+M_{n-2}}))
\prod_{n=1}^{N-1} \prod_{i=1}^{M_{n+1}+M_n} \prod_{j=1}^{M_{n+1}} \Gamma(e ((pq)^{2-1-M_N-M_{n-1}+M_{n-1}+M_{n-2}}) \text{c}^{-2-M_N-M_{n-1}+M_{n-2}}))
\prod_{n=1}^{N-1} \prod_{i=1}^{M_{n+1}+M_n} \prod_{j=1}^{M_{n+1}} \Gamma(e ((pq)^{2-1-M_N-M_{n-1}+M_{n-1}+M_{n-2}}) \text{c}^{-2-M_N-M_{n-1}+M_{n-2}})).
$$
4 Cross-leg duality and $E_6$ symmetry enhancement

4.1 The theory

In this section, we study a quiver theory with $\text{USp}(2M) \times \text{USp}(2N)$ gauge group which enjoys a novel duality, which we call the cross-leg duality, and provide evidence of an IR enhancement of the global symmetry to $E_6$. Indeed, this theory can be regarded as a multi-nodes extension of the $E_6$ model in [46, 47]. Also this new cross-leg duality can be proven by the method of sequential deconfinement. The matter content of the theory, which we shall also call Theory A, consists of chiral fields in the fundamental, bifundamental and antisymmetric representations of the gauge group $\text{USp}(2M) \times \text{USp}(2N)$:

- a chiral field $Q$ in the bifundamental representation of $\text{USp}(2M) \times \text{USp}(2N)$,
- six chiral fields $D^{(1)}_\alpha$ and $V^{(1)}_\beta$ in the fundamental representation of $\text{USp}(2M)$ with $\alpha, \beta = 1, 2, 3$. 

\begin{figure}[h]
\centering
\includegraphics[width=0.5\textwidth]{quiver_diagram.png}
\caption{The quiver diagram summarizing the field content of the $\text{USp}(2M) \times \text{USp}(2N)$ gauge theory. Later we will also refer to it as Theory A.}
\end{figure}
The content of the theory is schematically represented by the quiver diagram shown in figure 8. The matter fields interact via four types of interactions preserving the global symmetry

$$\text{SU}(3)_x \times \text{SU}(3)_y \times \text{SU}(3)_z \times \text{U}(1)_{R_0} \times \text{U}(1)_{I} \times \text{U}(1)_{c},$$

(4.1)

under which they are charged as shown in table 5. Firstly, we have two types of cubic couplings: one between the bifundamentals and the antisymmetrics and the other among the chiralbs in the triangle of the quiver. Also there is an interaction between $B_i^{(1)}$ and some of the mesons dressed by the antisymmetrics. Lastly, the trace of powers of the

| $Q$ | $A^{(1/2)}$ | $D^{(1)}$ | $V^{(1)}$ | $D^{(2)}$ | $V^{(2)}$ | $B_{i}^{(I)}$ | $B_{j}^{(II)}$ | $B_{k}^{(III)}$ | $\beta_{i}^{(1)}$ | $\beta_{k}^{(2)}$ |
|-----|-------------|-----------|-----------|-----------|-----------|-------------|-------------|-------------|-------------|-------------|
| $(1,1,1)$ | $(1,1,1)$ | $(3,1,1)$ | $(1,3,1)$ | $(1,1,3)$ | $(3,1,1)$ | $(1,3,1)$ | $(1,1,3)$ | $(1,1,1)$ | $(1,1,1)$ |
| $0$ | $2$ | $-\frac{1}{2}M+1$ | $-\frac{5}{6}M+\frac{5}{3}N+1$ | $\frac{5}{6}M-\frac{2}{3}N+1$ | $-\frac{1}{6}M-\frac{2}{3}N+1$ | $M-2i+2$ | $\frac{5}{3}M-\frac{4}{3}N-2j+2$ | $\frac{1}{3}M+\frac{2}{3}N-j-\frac{2}{3}$ | $2-2i$ | $2-2k$ |
| $1/2$ | $-1$ | $1$ | $-1$ | $1$ | $-1$ | $-\frac{1}{2}M+i$ | $-\frac{5}{6}M+\frac{5}{3}N+j-\frac{2}{3}$ | $-\frac{1}{6}M-\frac{2}{3}N+k-\frac{1}{3}$ | $i$ | $k$ |

Table 5. Charges and representations of the matter fields under the global symmetry, including the trial $R$-charge $R_0$. 

- six chiral fields $D_{\beta}^{(2)}$ and $V_{\gamma}^{(2)}$ in the fundamental representation of USp(2$N$) with $\beta, \gamma = 1, 2, 3$,
- two chiral fields $A^{(1)}$ and $A^{(2)}$ in the antisymmetric representation of USp(2$M$) and USp(2$N$) respectively,
- three towers of $3 \times 3$ antisymmetric matrices of gauge singlet fields $B_{i}^{(I)}, B_{j}^{(II)}$ and $B_{k}^{(III)}$ with $i = 1, \ldots, M$, $j = 1, \ldots, M - N$ and $k = 1, \ldots, N$ assuming $M - N \geq 0$ without loss of generality,
- two towers of gauge singlet fields $\beta_{i}^{(1)}$ and $\beta_{k}^{(2)}$ with $i = 1, \ldots, M$ and $k = 1, \ldots, N$. 
antisymmetrics are flipped by $\beta^{(1)}_i$ and $\beta^{(2)}_k$. The total superpotential is explicitly given by

$$W_A = \text{Tr}_M \left[ A^{(1)} \text{Tr}_N (QQ) \right] + \text{Tr}_N \left[ A^{(2)} \text{Tr}_M (QQ) \right] + \text{Tr}_y \text{Tr}_M \text{Tr}_N \left[ V^{(1)} Q D^{(2)} \right]$$

$$(4.2)$$

where $M \geq N$ is assumed without loss of generality. Here $\text{Tr}_M$ and $\text{Tr}_N$ denote the traces over the color indices of the $\text{USp}(2M)$ and $\text{USp}(2N)$ gauge nodes respectively, while $\text{Tr}_{x,y,z}$ denote the traces over the three $\text{SU}(3)_{x,y,z}$ flavor symmetries.

Some examples of the gauge invariant operators are listed in table 6. As depicted in figure 9, they are constructed starting from a diagonal link and ending on a vertical link, which results in the bifundamental representations of all possible pairs of $\text{SU}(3)$s. Moreover, also here we can dress all these operators with powers of the antisymmetric of the corresponding gauge node. Note the $D^{(1)} Q V^{(2)}$ meson can be equivalently dressed with $A^{(1)}$ or $A^{(2)}$, as we discussed in the previous section.

| | $\text{SU}(3)_x \times \text{SU}(3)_y \times \text{SU}(3)_z$ | $U(1)_{R_0}$ | $U(1)_c$ | $U(1)_c$ |
|---|---|---|---|---|
| $D^{(1)} A^{(1)} i^1 V^{(1)}$ | $(3, 3, 1)$ | $-\frac{1}{3} M + \frac{1}{3} N - i + \frac{1}{3}$ | 0 |
| $D^{(1)} A^{(1)} i^1 Q V^{(2)}$ | $(3, 1, 3)$ | $-\frac{1}{3} M - \frac{1}{3} N + 2i$ | $\frac{1}{3} M + \frac{1}{3} N - i + \frac{1}{3}$ | 0 |
| $D^{(2)} A^{(2)} k^1 V^{(2)}$ | $(1, 3, 3)$ | $\frac{1}{3} M - \frac{1}{3} N + 2k$ | $-\frac{1}{3} M + \frac{1}{3} N - k + \frac{1}{3}$ | 0 |

Table 6. Examples of the gauge invariant operators. Contractions of all color indices are understood.
4.2 The duality

We claim that Theory A shown in figure 8 admits a cross-leg dual description that has the same quiver structure of Theory A with additional gauge singlets \( \tilde{\pi}_i^{(\cdot)} \). We call this dual theory Theory B. The corresponding quiver diagram is shown in figure 10.

The superpotential of Theory B consists of five types of interactions. While the first four are exactly the same as those of Theory A, we have extra terms coupling the singlets \( \tilde{\pi}_i^{(\cdot)} \) to some of the dual mesons. The total superpotential of Theory B is then given by

\[
W_B = \text{Tr}_M \left[ \tilde{A}^{(1)} M_{\tilde{N}} (\tilde{Q} \tilde{Q}) \right] + \text{Tr}_N \left[ \tilde{A}^{(2)} M_{\tilde{M}} (\tilde{Q} \tilde{Q}) \right] + \text{Tr}_z \text{Tr}_M \text{Tr}_N \left[ \tilde{V}^{(1)} \tilde{Q} \tilde{D}^{(2)} \right] + \sum_{i=1}^{M} \text{Tr}_x \left[ \tilde{B}^{(I)}_i \text{Tr}_M \left( \tilde{A}^{(1)}_{i-1} \tilde{D}^{(1)} \right) \right] + \sum_{j=1}^{M-N} \text{Tr}_x \left[ \tilde{B}^{(II)}_j \text{Tr}_M \left( \tilde{A}^{(2)}_{j-1} \tilde{V}^{(2)} \right) \right] + \sum_{k=1}^{N} \text{Tr}_y \left[ \tilde{B}^{(III)}_k \text{Tr}_N \left( \tilde{V}^{(1)} \right) \right] + \sum_{i=1}^{M} \text{Tr}_x \text{Tr}_y \left[ \tilde{\pi}_i^{(I)} \text{Tr}_M \left( \tilde{D}^{(1)} \tilde{A}^{(1)-1} \tilde{V}^{(1)} \right) \right] + \sum_{i=1}^{M} \text{Tr}_x \text{Tr}_y \left[ \tilde{\pi}_i^{(II)} \text{Tr}_N \text{Tr}_M \left( \tilde{D}^{(1)} \tilde{A}^{(1)-1} \tilde{Q} \tilde{V}^{(2)} \right) \right],
\]

where the last line shows the extra interactions terms including the \( \tilde{\pi}_i^{(\cdot)} \) singlets. The charge assignments for Theory B are summarized in table 7.

We can provide the first non-trivial evidence of the duality by checking that all the anomalies match across the duality. For example, the anomalies for the U(1) global sym-
\[
\begin{array}{|c|c|c|c|}
\hline
& \text{SU}(3)_x \times \text{SU}(3)_y \times \text{SU}(3)_z & \mathcal{R}_0 & \text{U}(1)_t & \text{U}(1)_c \\
\hline
\hat{Q} & (1, 1, 1) & 0 & 1/2 & 0 \\
\hat{A}^{(1/2)} & (1, 1, 1) & 2 & -1 & 0 \\
\hat{D}^{(1)} & (3, 1, 1) & -\frac{1}{2}M + 1 & -\frac{1}{4}M - \frac{1}{2} & -1 \\
\hat{V}^{(1)} & (1, 1, 3) & -\frac{5}{6}M + \frac{5}{3}N + 1 & -\frac{5}{12}M - \frac{1}{3}N - \frac{1}{3} & 1 \\
\hat{D}^{(2)} & (1, 1, 3) & -\frac{5}{6}M - \frac{1}{3}N + 1 & -\frac{5}{12}M + \frac{1}{3}N - \frac{1}{3} & -1 \\
\hat{V}^{(2)} & (1, 3, 1) & -\frac{1}{6}M - \frac{1}{3}N + 1 & \frac{1}{12}M + \frac{1}{3}N - \frac{1}{3} & 1 \\
\hat{B}^{(I)} & (3, 1, 1) & M - 2i + 2 & -\frac{1}{2}M + i & 2 \\
\hat{B}^{(II)} & (1, 1, 3) & \frac{5}{3}M - \frac{4}{3}N - 2j + 2 & -\frac{5}{6}M + \frac{5}{3}N + j - \frac{2}{3} & -2 \\
\hat{B}^{(III)} & (1, 3, 1) & \frac{1}{6}M + \frac{1}{3}N - 2k + 2 & -\frac{5}{6}M - \frac{2}{3}N + k - \frac{1}{3} & -2 \\
\beta_i^{(1)} & (1, 1, 1) & 2 - 2i & i & 0 \\
\beta_k^{(2)} & (1, 1, 1) & 2 - 2k & k & 0 \\
\tilde{\pi}_i^{(I, I)} & (3, 1, 3) & \frac{4}{3}M - \frac{2}{3}N - 2i + 2 & -\frac{2}{3}M + \frac{1}{3}N + i - \frac{1}{3} & 0 \\
\tilde{\pi}_i^{(I, III)} & (3, 3, 1) & \frac{2}{3}M + \frac{2}{3}N - 2i + 2 & -\frac{1}{3}M - \frac{1}{3}N + i - \frac{1}{3} & 0 \\
\hline
\end{array}
\]

**Table 7.** Charges and representations of the matter fields of Theory B under the global symmetry.

The anomalies involving \text{U}(1)_c vanish.

Stronger evidence is provided by the match of the supersymmetric indices of Theory A and Theory B, which we obtain by implementing at the level of the index the sequential deconfinement procedure, allowing us to derive this duality.

The sequential deconfinement procedure in this case is sketched in figure 11. We start from the auxiliary quiver theory with USp(2M − 2) × USp(2M) × USp(2N) gauge group in the top left corner. If we IP dualize the USp(2M − 2) gauge node, this confines, the antisymmetric at the USp(2M) node is restored and we go back to Theory A. If we
instead IP dualize the USp(2M) node, this becomes a USp(2N) gauge node and we obtain the second quiver in the second line. In the process, the antisymmetric of the original USp(2N) node becomes massive, so we can IP dualize the USp(2N) node converting it into a USp(2) gauge node and we obtain the third quiver in the second line. Comparing this quiver theory with the original one, we can notice that the effect of these dualizations was to decrease by one unit the rank of the first node from left and to add a new USp(2) gauge node on the right. This quiver form is stable, in the sense that if we repeat the whole sequence of dualizations we move to the third quiver in the third line which is still a three-nodes quiver but now the rank of the first gauge node is lowered by two units, the central node is still USp(2N) and the last node is increased by one unit to USp(4). If we iterate the sequence of dualizations k times, we obtain the second quiver in the third line, which has gauge nodes USp(2M − 2k) × USp(2N) × USp(2k). This is one of the k cross-leg dual frames of our theory. For k = M, we obtain again a two-node quiver identical to the original one, with restored manifest SU(3)3 symmetry but with the USp(2M) and USp(2N) gauge nodes swapped. Keeping track of all the singlets generated at each dualization, we find that this quiver coincides with our Theory B.

We can repeat all these steps at the level of the index (keeping track of singlets) to obtain the following index identity for the duality between the initial and the final frame:14

\[
I_A(\vec{x}, \vec{y}, \vec{z}; c, t) = \prod_{i=1}^{M} \prod_{\alpha=1}^{3} \prod_{\gamma=1}^{3} \Gamma_{\alpha} \left( (pq)^{1/2} M^{-i} t^{-1/2} M_{1 \beta=1}^{M-1} x_{\alpha} z_{\gamma}^{-1} \right) \\
\times \prod_{i=1}^{M} \prod_{\alpha=1}^{3} \prod_{\beta=1}^{3} \Gamma_{\beta} \left( (pq)^{1/2} M^{-i} t^{-1/2} M_{1 \gamma=1}^{N-1} M_{2 \beta=1}^{N} y_{\beta}^{-1} \right) \\
= I_B(\vec{x}, \vec{y}, \vec{z}; c, t) 
\] (4.8)

where

\[
I_A(\vec{x}, \vec{y}, \vec{z}; c, t) = \prod_{i=1}^{M} \prod_{\alpha=1}^{3} \prod_{\gamma=1}^{3} \Gamma_{\alpha} \left( (pq)^{1/2} M^{-i} t^{-1/2} M_{1 \beta=1}^{M-1} x_{\alpha} z_{\gamma}^{-1} \right) \\
\times \prod_{i=1}^{M} \prod_{\alpha=1}^{3} \prod_{\beta=1}^{3} \Gamma_{\beta} \left( (pq)^{1/2} M^{-i} t^{-1/2} M_{1 \gamma=1}^{N-1} M_{2 \beta=1}^{N} y_{\beta}^{-1} \right) \\
\times \mathcal{D} \Gamma_{\alpha} \Gamma_{\beta} \left( (pq) t_{1 \alpha=1}^{M} M_{1 \beta=1}^{N-1} x_{\alpha} z_{\gamma}^{-1} \right) \\
\times \prod_{i=1}^{M} \prod_{\alpha=1}^{3} \prod_{\beta=1}^{3} \Gamma_{\beta} \left( (pq) t_{1 \alpha=1}^{M} M_{1 \beta=1}^{N-1} x_{\alpha} z_{\gamma}^{-1} \right) \\
\times \mathcal{D} \Gamma_{\alpha} \Gamma_{\beta} \left( (pq) t_{1 \alpha=1}^{N} M_{1 \beta=1}^{M-1} y_{\beta}^{-1} \right) \\
\times \prod_{i=1}^{M} \prod_{\alpha=1}^{3} \prod_{\beta=1}^{3} \Gamma_{\beta} \left( (pq) t_{1 \alpha=1}^{N} M_{1 \beta=1}^{M-1} y_{\beta}^{-1} \right) \\
\times \mathcal{D} \Gamma_{\alpha} \Gamma_{\beta} \left( (pq) t_{1 \alpha=1}^{N} M_{1 \beta=1}^{M-1} y_{\beta}^{-1} \right) \\
\times \prod_{i=1}^{M} \prod_{\alpha=1}^{3} \prod_{\beta=1}^{3} \Gamma_{\beta} \left( (pq) t_{1 \alpha=1}^{N} M_{1 \beta=1}^{M-1} y_{\beta}^{-1} \right) \\
= I_B(\vec{x}, \vec{y}, \vec{z}; c, t) 
\] (4.9)

14Here we are using the notation \( \vec{x}^{-i} = (x_1^{-i}, x_2^{-i}, x_3^{-i}) \) and similarly for the \( \vec{y} \) and \( \vec{z} \) fugacities.
Figure 11. Sketch of the manipulations we perform on the original theory to obtain the cross-leg duality. We avoid drawing singlets not to clutter the drawing.
with $\prod_{\alpha=1}^{3} x_{\alpha} = \prod_{\beta=1}^{3} y_{\beta} = \prod_{\gamma=1}^{3} z_{\gamma} = 1$ (and we assumed $M \geq N$ without loss of generality). Here, $x_{\alpha}$, $y_{\alpha}$, $z_{\alpha}$, $c$ and $t$ are the fugacities of $SU(3)_x \times SU(3)_y \times SU(3)_z \times U(1)_c \times U(1)_t$, respectively.

### 4.3 A self-dual frame with $E_6$ global symmetry

We have seen that Theory A admits several dual frames as shown in figure 11. Especially, a particular dual frame that we called Theory B has the same quiver structure and the manifest global symmetry as Theory A. In this regard, Theory A is almost self-dual because Theory A and Theory B only differ by extra singlets.

Recently it was argued that sometimes such almost self-dual theories can be modified by reorganizing the extra singlets\(^\text{15}\) so that they become exactly self-dual, meaning that the dual frames have exactly the same matter content, including singlets and superpotential, but there is still a non-trivial map of the operators across the duality. In particular it has been observed that such exactly self-dual theories often enjoy non-trivial enhancements of the global symmetry [46–48]. We will shortly see that our model is also such a case: the gauge singlets of a cross-leg dual pair can be reorganized such that the duality becomes an exact self-duality. The modified theory will then exhibit an interesting symmetry enhancement to the $E_6$ group in the IR.

According to [46, 47], such redistribution of the singlets can be easily determined by looking at the marginal operators and their relations. Let us first consider the simplest case: $M = N = 1$. To examine the marginal operators and their relations, it is useful to compute the superconformal index. Then we first need to perform the $a$-maximization, which determines the mixing coefficients of various $U(1)$ symmetries with the $R$-symmetry, which are input parameters for the index. Sometimes there can be an operator $O$ violating the unitarity bound, i.e. having the conformal dimension less than 1, in which case this operator decouples and should be flipped by introducing an additional singlet field $F$ and with interaction $\Delta W = O F$ [49]. For $M = N = 1$, the $a$-maximization gives the following mixing coefficient of $U(1)_t$ with the $R$-symmetry:

$$t = 3 - \sqrt{\frac{11}{3}} \approx 1.1 \approx \frac{12}{11},$$  \hspace{1cm} (4.10)

where $\frac{12}{11}$ is an approximate rational value we use for the index computation. Note that $U(1)_c$ doesn’t contribute to the $a$-function because all the anomalies involving $U(1)_c$ identically vanish as we have seen in the previous subsection. With this value of the mixing coefficient, there is no unitarity violating operator. Thus, one can obtain the index of the interacting theory without any further flip of operators. The resulting index is then given by

$$I_A^{(1,1)} = 1 + (\chi_3(x)\chi_3(y) + \chi_3(y)\chi_3(z))t^{\frac{1}{2}}(pq)\frac{16}{15} + \chi_3(x)\chi_3(z)t^{\frac{1}{2}}(pq)\frac{12}{15} + t(pq)\frac{15}{15} + \ldots \hspace{1cm} (4.11)$$

$$+ \left( -\chi_8(x) - \chi_8(y) - \chi_8(z) + \chi_6(x)\chi_3(y)\chi_3(z) + \chi_3(x)\chi_3(y)\chi_6(z) \right) pq + \ldots \hspace{1cm} (4.11)$$

\(^\text{15}\)By this we mean adding new singlet fields on both sides of the duality, such that on one frame they flip some operators while on the other they flip singlet fields that are mapped to such operators across the cross-leg duality. In the latter frame, both the new and the old singlets are massive and can be integrated out. Overall, the effect was of moving some singlets from one side of the duality to the other.
Note that the index is written in terms of $\chi_n$, which is the character of an $n$-dimensional representation of the $\text{SU}(3)$ group. From (4.11), one can detect the contribution of one of the components of the conserved current multiplet [50]

$$-(1 + \chi_S(x) + \chi_S(y) + \chi_S(z)) \, pq,$$

which shows that the global symmetry of the theory is

$$\text{SU}(3)_x \times \text{SU}(3)_y \times \text{SU}(3)_z \times \text{U}(1)_t,$$

which agrees with the manifest symmetry of the theory up to the $\text{U}(1)_c$ factor. The fact that the expanded index is independent of $c$ and that all the anomalies involving $\text{U}(1)_c$ vanish signals that this is not a faithful symmetry in the IR. From the expansion (4.11) we see that there are 108 marginal operators, whose contribution to the index is given by

$$(\chi_6(x)\chi_3(y)\chi_3(z) + \chi_3(x)\chi_3(y)\chi_3(z)) \, pq.$$ 

In order to read the relations among the multiplets counted by the index, it is convenient to evaluate its Plethystic Logarithm (PL) [51], which captures the single trace operators and their relations. For $M = N = 1$, the PL of the index is given by

$$\text{PL} \left[ T_A^{(1,1)} \right] = (\chi_3(x)\chi_3(y) + \chi_3(x)\chi_3(z)) \, t^{-\frac{1}{3}} \left( \frac{pq}{t} \right)^{\frac{16}{33}} + \chi_3(x)\chi_3(z) \, t^\frac{1}{3} \left( \frac{pq}{t} \right)^{\frac{17}{33}} + t \left( \frac{pq}{t} \right)^{\frac{18}{33}},$$

In particular, the last line of (4.18) is the contribution of the fermionic single trace operators belonging to the conserved current multiplet as well as the relations of the marginal operators. More precisely, we have seen that the first four terms come from the current multiplet, see (4.12), and so the other two correspond to the relations of the marginal operators.

Indeed, if we look at the first line of (4.11), we find the contributions of the following operators

$$\text{Tr}_{M=1} \left[ D^{(1)} V^{(1)} \right], \quad \text{Tr}_{N=1} \left[ D^{(2)} V^{(2)} \right],$$

$$\text{Tr}_{M=1} \text{Tr}_{N=1} \left[ D^{(1)} Q V^{(2)} \right],$$

$$\text{Tr}_{M=1} \text{Tr}_{N=1} \left[ QQ \right].$$

The PL is defined as

$$\text{PL}[f(x)] = \sum_{n=1}^{\infty} \frac{\mu(n)}{n} \log(f(x^n)),$$

where $\mu(n)$ is the Möbius function

$$\mu(n) = \begin{cases} 0, & \text{n has repeated prime factors}, \\ 1, & \text{n = 1}, \\ (-1)^k, & \text{n is a product of k distinct primes}. \end{cases}$$

The PL is the inverse function of the Plethystic Exponential (PE)

$$\text{PE}[g(x)] = \exp \left[ \sum_{n=1}^{\infty} \frac{1}{n} g(x^n) \right].$$
in the $\text{SU}(3)_x \times \text{SU}(3)_y \times \text{SU}(3)_z \times \text{U}(1)_t$ representations
\begin{align}
(3, 3, 1)_{-\frac{1}{3}} & \oplus (1, 3, \bar{3})_{-\frac{1}{3}}, \\
(3, 1, \bar{3})_{\frac{1}{3}}, \\
(1, 1, 1)_1,
\end{align}

respectively. We can then take the product of the operators in the first two lines to obtain marginal operators uncharged under the abelian symmetry in the representation
\begin{align}
(6, \bar{3}, \bar{3})_0 & \oplus (3, 3, \bar{3})_0 \oplus (3, 3, 3)_0 \oplus (3, \bar{3}, \bar{3})_0.
\end{align}

However, from (4.14), we see that only the first two representations appear in the index, which implies the latter two are truncated since the marginal operators satisfy the relations corresponding to those representations. These relations should be encoded in the following negative contributions in the index
\begin{align}
- (\chi_3(x)\chi_3(y)\chi_3(z) + \chi_\bar{3}(x)\chi_\bar{3}(y)\chi_\bar{3}(z)) pq,
\end{align}

which indeed cancel those of the last two operators in (4.25). These precisely coincide with the last two terms of the PL of the index (4.18).

As argued in [46, 47], one can remove the marginal operators of the theory by flipping some of their ingredients, which are (4.19) and (4.20) in our case. Once the marginal operators are removed in this way, the flip fields also provide extra conserved currents having the same representation as the relations of the original marginal operators and therefore lead to the enhancement of the global symmetry. Here we introduce $\pi^{(I,II)}$ and $\pi^{(II,III)}$ flipping $\text{Tr}_M=1 \left[D^{(1)} V^{(1)} \right]$ and $\text{Tr}_N=1 \left[D^{(2)} V^{(2)} \right]$ in (4.19) respectively to remove the marginal operators. Then we also find that $\text{Tr}_M=1 \text{Tr}_N=1 [QQ]$ in (4.21) hits the unitarity bound and becomes free. Once we flip all those operators in (4.19) and (4.21), we obtained the flipped theory with index:
\begin{align}
\mathcal{I}^{(1,1)}_{\text{flipped}} = 1 + \chi^E_6 t^4 (pq) \frac{4}{3} (1 + p + q) + t^{-1} (pq) \frac{2}{3} + \chi^E_6 t^3 (pq) \frac{8}{9} - \left(1 + \chi^E_6 \right) pq + \ldots ,
\end{align}

where we have used a new mixing coefficient which is exactly (that is with no approximation) the one coming from $a$-maximization
\begin{align}
t = \frac{2}{3}.
\end{align}

As before, $\text{U}(1)_c$ doesn’t contribute to the $a$-function because it has the vanishing anomalies. Now all the terms are neatly organized into the characters $\chi^E_n$ of the $E_6$ group. For example, the second term is contributed by the operators
\begin{align}
\pi^{(I,II)}, \quad \pi^{(II,III)}, \quad \text{Tr}_M=1 \text{Tr}_N=1 \left[D^{(1)} V^{(2)} \right],
\end{align}

which are in the representation
\begin{align}
(3, 3, 1)_{\frac{1}{3}} \oplus (1, 3, \bar{3})_{\frac{1}{3}} \oplus (3, 1, 1)_1 & \rightarrow 27^\frac{1}{3},
\end{align}
of $SU(3)_x \times SU(3)_y \times SU(3)_z \times U(1)_t \subset E_6 \times U(1)_t$. Moreover, we find the contribution of 79 conserved currents in the adjoint representation of $E_6 \times U(1)_t$. This proves that the flipped theory exhibits the global symmetry enhancement

$$SU(3)_x \times SU(3)_y \times SU(3)_z \times U(1)_t \longrightarrow E_6 \times U(1)_t. \quad (4.31)$$

Notice that, as expected, the flipped theory is exactly self-dual under the cross-leg duality and we have a non-trivial duality map of the operators; e.g. in (4.29) the operators

$$\pi(I,II) \text{ and } Tr_{M=1} Tr_{N=1} \left[ D^{(1)} QV^{(2)} \right]$$

are exchanged under the duality

$$\pi(I,II) \longleftrightarrow Tr_M Tr_N \left[ D^{(1)} QV^{(2)} \right], \quad (4.32)$$

while $\pi(II,III)$ is mapped to itself.

The next example we consider is $(M,N) = (2,1)$. In this case, the $a$-maximization gives the mixing coefficient

$$t = \frac{1}{9} \left( 23 - \sqrt{73} \right) \approx 1.6 \approx \frac{8}{5}. \quad (4.33)$$

The index of the original theory is given by

$$I^{(2,1)} = 1 + \chi_3(x) \chi_3(y) t^2 (pq)^{\frac{8}{3}} + (\chi_3(x) \chi_3(y) + \chi_3(y) \chi_3(z)) t^{-\frac{2}{3}} (pq)^{\frac{7}{3}} + 54 t^2 (pq)^{\frac{8}{3}} + t^2 (pq)^{\frac{3}{3}} + \ldots, \quad (4.34)$$

where the terms higher than $(pq)^{\frac{1}{3}}$ are evaluated without the refinement for the $SU(3)^3$ fugacities for computational simplicity. The second and third terms are the contributions of the following mesonic operators:

$$Tr_2 \left[ D^{(1)} V^{(1)} \right], \quad Tr_2 \left[ D^{(1)} A^{(1)} V^{(1)} \right], \quad Tr_1 \left[ D^{(2)} V^{(2)} \right], \quad (4.35)$$

which should be flipped to obtain the self-dual theory where the marginal operators are removed leading to the potential enhancement of the global symmetry. In addition, we find that once those operators are flipped, $\beta^{(2)}_2$ corresponding to $t^2 (pq)^{\frac{2}{3}}$ hits the unitarity bound. Thus, we also flip this operator and obtain the index of the flipped theory as follows:

$$I_{\text{flipped}}^{(2,1)} = 1 + \chi E_6^{(2)} t^2 (pq)^{\frac{1}{3}} \left( 1 + p + q \right) + t^{-\frac{2}{3}} (pq)^{\frac{2}{3}} + 351 t^2 (pq)^{\frac{4}{3}} - (1 + 78) pq + \ldots, \quad (4.36)$$

with the exact mixing coefficient

$$t = \frac{4}{3}. \quad (4.37)$$

Again the terms higher than $(pq)^{\frac{1}{3}}$ are evaluated without the $SU(3)^3$ fugacities for computational simplicity. The last term of the index is consistent with the enhanced IR global symmetry

$$E_6 \times U(1)_t. \quad (4.38)$$

Lastly, let us consider the example $(M,N) = (2,2)$. The $a$-maximization gives the mixing coefficient

$$t = \frac{9}{4} - \sqrt{\frac{73}{12}} \approx 1.5 \approx \frac{17}{11}. \quad (4.39)$$
The index of the original theory is given by

$$I^{(2,2)}_A = 1 + 18 (pq)^{\frac{1}{3}} + 9 t(pq) \frac{29}{11} + 2 t^2 (pq) \frac{6}{11} + 18 t^{-1} (pq) \frac{37}{66} + 180 (pq)^{\frac{2}{3}} + \ldots, \quad (4.40)$$

where all the SU(3)³ global symmetry fugacities are turned off for computational simplicity. Note that the product of the second and sixth terms and that of the third and fifth terms give rise to the marginal operators. In particular, the second and fifth terms are the contributions of the following mesonic operators:

$$\text{Tr} \left[ D^{(1)} V^{(1)} \right], \quad \text{Tr} \left[ D^{(1)} A^{(1)} V^{(1)} \right], \quad \text{Tr} \left[ D^{(2)} V^{(2)} \right], \quad \text{Tr} \left[ D^{(2)} A^{(2)} V^{(2)} \right]. \quad (4.41)$$

The self-dual theory can be obtained by flipping those operators. No other operators are necessarily flipped because, unlike the previous examples, there is no decoupled operator when the operators given in (4.41) are flipped. Nevertheless, we have found that it is more convenient to flip $\beta^{(i)}_2$ for $i = 1, 2$ to expand the index because in that case the mixing coefficient of U(1)$_t$ has a rational value, and we can expand the index with the exact powers without any approximation. Once the operators in (4.41) and $\beta^{(i)}_2$ are flipped, the index of the self-dual theory is given by

$$I^{(2,2)}_{\text{flipped}} = 1 + 27 t(pq) \frac{2}{5} (1 + p + q) + (1 + 1) t^{-2} (pq) \frac{37}{66} + 27 (pq) \frac{6}{11} + tr \frac{11}{11} + (351 + 27) t^2 (pq)^{\frac{4}{5}}$$

$$+ 27 t^{-1} (pq) \frac{14}{11} - (78 + 1 + 1 + 1) pq + \ldots \quad (4.42)$$

with the exact mixing coefficient

$$t = \frac{22}{15}. \quad (4.43)$$

As before all the SU(3)³ global symmetry fugacities are omitted for computational simplicity. We observe that the coefficients of the expanded index naturally fit the dimensions of representations of $E_6$. Naively, there are 81 conserved currents, which are expected to be in the adjoint representation of

$$E_6 \times U(1)_t \times G, \quad (4.44)$$

with an emergent symmetry group $G$ of dimension 2 or larger. Let us first assume $G$ doesn’t include any abelian factor. The simplest candidate of $G$ is then SU(2)$_a$. In that case, we expect the term $-81 pq$ will be refined as follows

$$\left(1 - \chi^{E_6} - 1 - \chi^{SU(2)_a} \right) pq. \quad (4.45)$$

The first positive term indicates that there is one marginal operator, while the rest negative terms are contributed by the conserved current of the enhanced symmetry $E_6 \times U(1)_t \times \text{SU}(2)_a$. Note that the non-abelian emergent symmetry $G = \text{SU}(2)_a$ doesn’t affect the IR superconformal R-symmetry.

On the other hand, if $G$ includes an abelian factor U(1)$_a$, in principle, we cannot completely trust the term $-81 pq$ because some of the contributions could be charged under U(1)$_a$, and their powers are shifted if U(1)$_a$ mixes with the R-symmetry. In that case, we have at least one emergent U(1) symmetry, but the entire symmetry is not clear.
We have also other evidence that the $E_6$ enhancement can appear for generic $(M, N)$. Indeed for arbitrary $(M, N)$ we can obtain a self-dual theory by flipping the following operators:

\begin{align}
\text{Tr}_M \left[ D^{(1)}(A^{(1)})^{i-1}V^{(1)} \right], & \quad i = 1, \ldots, M, \\
\text{Tr}_N \left[ D^{(2)}(A^{(2)})^{k-1}V^{(2)} \right], & \quad k = 1, \ldots, N.
\end{align}

The resulting theory is represented by a quiver diagram in figure 12. We expect this to have an $E_6$ enhanced symmetry for any $(M, N)$.

We can provide an additional piece of evidence for our claim that the theory in figure 12 enjoys an $E_6$ global symmetry in the IR. The following discussion holds for generic ranks $(M, N)$ and avoids any tedious index computation. The idea is that there is a necessary condition on the anomalies of the $\text{SU}(3)^x \times \text{SU}(3)^y \times \text{SU}(3)^z$ global symmetries that these should satisfy in order for the $E_6$ enhancement to be possible.

Suppose that we have a 4d theory with global symmetry $G \times U(1)$ and consider the subgroup $H \times \tilde{H} \subset G$. The anomalies of $H$ and $\tilde{H}$ can be uniquely determined in terms of those of $G$ as follows. Let us assume for simplicity that $G$, $H$ and $\tilde{H}$ are non-abelian, as in the case of interest for us, so that the only non-trivial anomalies are those with the $U(1)$. Then we have

$$\text{Tr} \, H^2 \, U(1) = I(H \hookrightarrow G) \, \text{Tr} \, G^2 \, U(1), \quad \text{Tr} \, \tilde{H}^2 \, U(1) = I(\tilde{H} \hookrightarrow G) \, \text{Tr} \, G^2 \, U(1),$$

where $I(H \hookrightarrow G)$ and $I(\tilde{H} \hookrightarrow G)$ are the embedding indices of $H$ and $\tilde{H}$ in $G$. If a representation $\mathfrak{r}$ of $G$ decomposes into $\bigoplus_i \mathfrak{r}_i$ under $H$, then the embedding index of $H$ in $G$...
is defined as
\[ I_{H \hookrightarrow G} = \sum_i T(r_i) \cdot \frac{T(r)}{T(r)} , \quad (4.49) \]
where \( T(r) \) denotes the Dynkin index.

Conversely, if we have a 4d theory with manifest global symmetry \( H \times \tilde{H} \times U(1) \), a necessary condition for the \( H \times \tilde{H} \) part to enhance in the IR to \( G \) is that the relations (4.48) hold true. Since it is not possible to compute the anomalies for the IR symmetry \( G \) from the UV description, the condition that should be checked is
\[ \frac{\text{Tr} \: H^2 \: U(1)}{I(H \hookrightarrow G)} = \frac{\text{Tr} \: \tilde{H}^2 \: U(1)}{I(\tilde{H} \hookrightarrow \tilde{G})} . \quad (4.50) \]

In our case we have the decomposition \( SU(3)_x \times SU(3)_y \times SU(3)_z \subset E_6 \) with the embedding
\[ 27 \rightarrow (3, 3, 1) \oplus (1, 3, 3) \oplus (3, 1, 3) . \quad (4.51) \]

We then find the embedding indices
\[ I(SU(3)_x \hookrightarrow E_6) = I(SU(3)_y \hookrightarrow E_6) = I(SU(3)_z \hookrightarrow E_6) = \frac{3 \times \frac{1}{2} + 3 \times \frac{1}{2}}{3} = 1 . \quad (4.52) \]
In particular what is relevant for us is that the embedding indices for the three \( SU(3)_{x,y,z} \) subgroups are equal. Hence, a necessary condition for the \( E_6 \) enhancement is that the mixed anomalies with all the abelian symmetries are equal for the different \( SU(3)_{x,y,z} \) groups, which we can easily check
\[ \text{Tr} \: SU(3)_x^2 \: U(1)_t = \text{Tr} \: SU(3)_y^2 \: U(1)_t = \text{Tr} \: SU(3)_z^2 \: U(1)_t = \frac{MN}{2} , \]
\[ \text{Tr} \: SU(3)_x^2 \: U(1)_{R_0} = \text{Tr} \: SU(3)_y^2 \: U(1)_{R_0} = \text{Tr} \: SU(3)_z^2 \: U(1)_{R_0} = -MN . \quad (4.53) \]

From this we can also deduce the anomalies for the enhanced \( E_6 \) global symmetry
\[ \text{Tr} \: (E_6)^2 \: U(1)_t = \frac{MN}{2} , \quad \text{Tr} \: (E_6)^2 \: U(1)_{R_0} = -MN . \quad (4.54) \]

Finally we can try to look at how the action of the Weyl of the conjectured \( E_6 \) IR global symmetry group is realised. For this it is useful to look at index identity corresponding to the self-duality:
\[ I_{\text{self-dual}}(\vec{x}, \vec{y}, \vec{z}; c, t) = I_{\text{self-dual}}(\vec{x}^{-1}, \vec{z}^{-1}, \vec{y}^{-1}; c^{-1}, t) \quad (4.55) \]
where
\[ I_{\text{self-dual}}(\vec{x}, \vec{y}, \vec{z}; c, t) = \prod_{i=1}^{M} \prod_{\alpha=1}^{3} \prod_{\beta=1}^{3} \Gamma_e \left( (pq)^{\frac{1}{3}} M - \frac{1}{2} N + 1 \right) \left( x_{\alpha}^{-1} y_{\beta} \right) \]
\[ \times \prod_{k=1}^{N} \prod_{\beta=1}^{3} \prod_{\gamma=1}^{3} \Gamma_e \left( (pq)^{-\frac{1}{3}} M + \frac{2}{3} N - k + 1 \right) \left( x_{\alpha}^{-1} y_{\beta}^{-1} z_{\gamma} \right) \]
\[ \times I_A(\vec{x}, \vec{y}, \vec{z}; c, t) . \]

\[ ^{17} \text{We use that the Dynkin index of the (anti-)fundamental representation of SU(3) is } \frac{1}{2} \text{ and that of the } 27 \text{ of } E_6 \text{ is } 3. \]
The identity shows that the self-duality implies an emergent $\mathbb{Z}_2$ symmetry in the IR, acting on the global symmetry fugacities as follows:

\[
x_\alpha \rightarrow x_{\alpha}^{-1},
\]
\[
y_\alpha \rightarrow z_{\alpha}^{-1},
\]
\[
z_\alpha \rightarrow y_{\alpha}^{-1},
\]
\[
c \rightarrow c^{-1},
\]
\[
t \rightarrow t.
\]

Namely, it consists of the permutation of $\text{SU}(3)_y$ and $\text{SU}(3)_z$ and the charge conjugation on $\text{SU}(3)_x \times \text{SU}(3)_y \times \text{SU}(3)_z \times \text{U}(1)_c$.\(^\text{18}\)

The $\mathbb{Z}_2$ symmetry (4.57) turns out to be part of the Weyl group of the enhanced $E_6$ symmetry of the theory in the IR. To show this, we first recall that the root system of $E_6$ can be represented as vectors in a six-dimensional hyperplane in the Euclidean space $\mathbb{R}^9$ orthogonal to the following three vectors:

\[
e_1 + e_2 + e_3, \quad e_4 + e_5 + e_6, \quad e_7 + e_8 + e_9,
\]

where a set of nine $e_i$ is an orthonormal basis of $\mathbb{R}^9$. The $E_6$ roots are then given by

\[
(1, -1, 0; 0, 0, 0; 0, 0, 0), \quad \text{and permutations of (1, -1, 0)},
\]
\[
(0, 0, 0; 1, -1, 0; 0, 0, 0), \quad \text{and permutations of (1, -1, 0)},
\]
\[
(0, 0, 0; 0, 0, 0; 1, -1, 0), \quad \text{and permutations of (1, -1, 0)},
\]
\[
\frac{1}{3} (-2, 1, 1; -2, 1, 1; -2, 1, 1), \quad \text{and permutations among each (−2, 1, 1)},
\]
\[
\frac{1}{3} (2, -1, -1; 2, -1, -1; 2, -1, -1), \quad \text{and permutations among each (2, −1, −1)},
\]

where the first three lines give the roots of $\text{SU}(3)^3 \subset E_6$. The Weyl group of $E_6$ is generated by the reflections $s_\alpha$ with respect to the root vectors $\alpha$ as follows:

\[
s_\alpha(v) = v - 2 \frac{(v, \alpha)}{(\alpha, \alpha)} \alpha, \quad v \in \mathbb{R}^9
\]

where $(\cdot, \cdot)$ is the inner product on $\mathbb{R}^9$. For example, the reflection for $\alpha = \ldots$

\(^\text{18}\)The charge conjugation on $U(1)_c$ is trivial because it is not a faithful symmetry.
(1, −1, 0; 0, 0, 0; 0, 0, 0) gives the following linear transformation
\[
\begin{pmatrix}
0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\
1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\
0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\
0 & 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 \\
0 & 0 & 0 & 1 & 0 & 0 & 0 & 0 & 0 \\
0 & 0 & 0 & 0 & 1 & 0 & 0 & 0 & 0 \\
0 & 0 & 0 & 0 & 0 & 1 & 0 & 0 & 0 \\
0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 & 0 \\
0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 \\
\end{pmatrix}
\] (4.65)
acting on \(\mathbb{R}^9\).

We have found that the cross-leg duality corresponds to the linear transformation
\[
\frac{1}{3}
\begin{pmatrix}
-1 & 2 & 2 & 0 & 0 & 0 & 0 & 0 & 0 \\
2 & -1 & 2 & 0 & 0 & 0 & 0 & 0 & 0 \\
2 & 2 & -1 & 0 & 0 & 0 & 0 & 0 & 0 \\
0 & 0 & 0 & 1 & 1 & 1 & -2 & 1 & 1 \\
0 & 0 & 0 & 1 & 1 & 1 & 1 & -2 & 1 \\
0 & 0 & 0 & -2 & 1 & 1 & 1 & 1 & 1 \\
0 & 0 & 0 & 1 & -2 & 1 & 1 & 1 & 1 \\
0 & 0 & 0 & 1 & 1 & -2 & 1 & 1 & 1 \\
\end{pmatrix}
\] , (4.66)
which is the combination of the reflections with respect to the following four root vectors:

\[
(1, -1, 0; 0, 0, 0; 0, 0, 0), \quad (4.67)
\]
\[
\frac{1}{3}(1, 1, -2; 1, 1, -2, 1, 1, -2), \quad (4.68)
\]
\[
\frac{1}{3}(1, 1, -2; 1, -2, 1, 1, -2, 1), \quad (4.69)
\]
\[
\frac{1}{3}(1, 1, -2; -2, 1, 1, -2, 1, 1). \quad (4.70)
\]

Notice that the transformation (4.66) maps a generic point in the six-dimensional hyperplane in \(\mathbb{R}^9\) orthogonal to the vectors in (4.58)
\[
(x_1, x_2, -x_1 - x_2; y_1, y_2, -y_1 - y_2; z_1, z_2, -z_1 - z_2) \quad (4.71)
\]
to
\[
(-x_1, -x_2, x_1 + x_2; -z_1, -z_2, z_1 + z_2; -y_1, -y_2, y_1 + y_2), \quad (4.72)
\]
which is the permutation of SU(3)$_y$ and SU(3)$_z$ with the charge conjugation of all three SU(3). This is exactly how the cross-leg duality acts on the SU(3)$_x \times$ SU(3)$_y \times$ SU(3)$_z$ symmetry, as shown in (4.57). Therefore, the emergent $\mathbb{Z}_2$ symmetry due to the cross-leg duality corresponds to the Weyl element (4.66) of the enhanced $E_6$ symmetry of the self-dual theory. Note that this is true for generic ranks $M$ and $N$, since the cross-leg duality holds for any rank.

Indeed, one can find four more cross-leg dual frames permuting three SU(3) in different ways, which are also part of the $E_6$ Weyl group. First recall that we applied the dualization procedure starting from the SU(3)$_x$ flavor node of the original quiver in figure 8. This gives the dual frame in figure 10, where SU(3)$_y$ and SU(3)$_z$ are swapped and all three SU(3) charges are conjugated, which can be represented by the fugacity map: $(x, y, z) \rightarrow (x^{-1}, z^{-1}, y^{-1})$. One can repeat the procedure, now starting from SU(3)$_y$, the left most node of the dual quiver in figure 10, which leads to another dual frame with the map: $(x, y, z) \rightarrow (z, x, y)$. One can keep repeating this procedure until going back to the original frame. The sequence of the duality frames we obtain in this way are associated with the following fugacity maps:

\[
\begin{align*}
(x, y, z) &\rightarrow (x, y, z) \\
(x, y, z) &\rightarrow (x^{-1}, z^{-1}, y^{-1}) \\
(x, y, z) &\rightarrow (z, x, y) \\
(x, y, z) &\rightarrow (z^{-1}, y^{-1}, x^{-1}) \\
(x, y, z) &\rightarrow (y, z, x) \\
(x, y, z) &\rightarrow (y^{-1}, x^{-1}, z^{-1}),
\end{align*}
\]

respectively. Thus, we can identify $1296 = 216 \times 6$ elements of the $E_6$ Weyl group, 216 from the Weyl group of the manifest SU(3)$_x \times$ SU(3)$_y \times$ SU(3)$_z$ symmetry and 6 from the cross-leg dual frames above. On the other hand, the other elements of the $E_6$ Weyl group do not preserve SU(3)$_x \times$ SU(3)$_y \times$ SU(3)$_z$, which may signal the existence of new dual frames breaking the original SU(3)$_x \times$ SU(3)$_y \times$ SU(3)$_z$ symmetry. We leave the investigation of these extra dual frames for the future.

5 Rank stabilization duality

5.1 The duality

In this section, we present a generalization of the confining duality for the USp($2N$) gauge theory in section 2 to a higher number of flavors. More specifically, we introduce additional fundamental chirals interacting with the antisymmetric via a cubic superpotential. Therefore, we now have a USp($2N$) gauge theory with one antisymmetric and $2k+6$ fundamental chirals of which $2k$ form a cubic superpotential with the antisymmetric, plus some gauge singlet flipping fields. We will show that this theory is dual to the $E[USp(2k)]$ theory [34] whose manifest USp($2k$) symmetry is gauged with 6 fundamental chirals, plus some gauge singlet fields. This duality is the 4d version of the rank stabilization duality that was proposed in 3d in [17]. The reason for the name “rank stabilization” is that the rank $N$ of
the original theory doesn’t appear anymore as the rank in the dual, but it only appears in the number of the singlet fields and in the charges of the various fields under the abelian symmetries. The duality is schematically depicted in figure 13.

The first theory, which we will refer to as Theory A, is a USp(2N) gauge theory with one antisymmetric chiral $A$, $2k + 6$ fundamental chirals $P_n$ for $n = 1, \cdots , 2k$ and $Q_a$ for $a = 1, \cdots , 6$, and $N - k$ chiral singlets $\beta_i$. The superpotential of the theory is

$$W_A = \sum_{n=1}^{2k} \text{Tr}_N A P_n^2 + \sum_{i=1}^{N-k} \beta_j \text{Tr}_N A_j^i.$$  \hfill (5.1)

Because of the first superpotential term, the flavor symmetry rotating the chirals $P_n$ is USp(2k). The chirals $Q_a$, instead, don’t enter in the superpotential and are rotated by an SU(6) global symmetry, as in the $k = 0$ case. Finally, there is one non-anomalous abelian symmetry preserved by the superpotential. The full manifest global symmetry of the model is thus

$$F_A = \text{USp}(2k)_y \times \text{SU}(6)_x \times U(1)_t.$$  \hfill (5.2)

The transformation rules of the matter fields under these symmetries and a possible choice of non-anomalous $R$-symmetry are summarized in table 8.
The dual theory, which we will refer to as Theory B, is constructed by gauging the manifest USp(2k) symmetry\(^{19}\) of \(E[\text{USp}(2k)]\) with the addition of 6 chirals in the fundamental representation of such gauge group \(p_a\) for \(\alpha = 1, 2\) and \(\hat{p}_a\) for \(a = 1, \cdots, 4\). In the theory there are also \(15N - 5k + 1\) chiral singlets that we are going to denote as follows:

\[
\begin{align*}
\mu_{ab;i}, & \quad a < b = 1, \cdots, 4, i = 1, \cdots, N \\
\mu_{56;i}, & \quad i = 1, \cdots, N - k \\
\mu_{a;i}, & \quad a = 1, \cdots, 4, \alpha = 1, 2, i = 1, \cdots, N - k \\
\nu_{\alpha n}, & \quad \alpha = 1, 2, n = 1, \cdots, 2k \\
b_k, & \quad \alpha = 1, \cdots, 4, a = 1, 2, \alpha = 1, 2, \quad (5.3)
\end{align*}
\]

The reason for these names is because in order to write the superpotential in a compact form it is useful to collect some of them together with some gauge invariant operators that we can construct from the gauge charged matter fields. First, we define the set of operators \(\hat{\mu}_{ab;i} = -\hat{\mu}_{ba;i}\) for \(a < b = 1, \cdots, 6\) and \(i = 1, \cdots, N\) as follows:

\[
\begin{align*}
\hat{\mu}_{ab;i} & = \mu_{ab;i}, \quad a < b = 1, \cdots, 4, i = 1, \cdots, N \\
\hat{\mu}_{56;i} & = \begin{cases} 
\mu_{56;i}, & i = 1, \cdots, N - k \\
b_{N - i + 1}, & i = N - k + 1, \cdots, N 
\end{cases} \\
\hat{\mu}_{a,\alpha+4;i} & = \begin{cases} 
\mu_{a;i}, & i = 1, \cdots, N - k \\
\text{Tr}_k \left[ \Pi^{k+i-N-1} \hat{p}_a p_{\alpha} \right], & i = N - k + 1, \cdots, N 
\end{cases} \\
a = 1, \cdots, 4, \alpha = 1, 2, \quad (5.4)
\end{align*}
\]

where \(b_n\) and \(\Pi\) are some of the gauge invariant operators of the \(E[\text{USp}(2k)]\) theory, with the former ones being singlets under the non-abelian symmetries while the latter being in the antisymmetric representation of the gauged USp(2k) symmetry (see appendix B). The superpotential of Theory B can then be written in the following compact form\(^{20}\)

\[
W_B = W_{E[\text{USp}(2k)]} + b_k \sum_{n=1}^{2k} \text{Tr}_k [\Pi^n \Pi_n] + \sum_{n=1}^{2k} \sum_{\alpha=1,2} \nu_{\alpha n} \text{Tr}_k [p^\alpha \Pi^n] +
\]

\[
+ \sum_{i,j,l=1}^{6} \epsilon_{abcdef} \hat{\mu}_{ab;i} \hat{\mu}_{cd;j} \hat{\mu}_{ef;l} \delta_{i+j+l,2N-N-k+1}, \quad (5.5)
\]

where \(W_{E[\text{USp}(2k)]}\) is the superpotential of \(E[\text{USp}(2k)]\) and again \(\Pi\) is an operator of \(E[\text{USp}(2k)]\) that transforms in the bifundamental representation of its two USp(2k) symmetries (see appendix B).

Notice that even if the second line of the superpotential is the same that we had for \(k = 0\) in (2.4) and so it naively seems to preserve a \(U(6)\) structure, this symmetry rotating

---

\(^{19}\)Recall that the \(E[\text{USp}(2k)]\) theory has two USp(2k) global symmetries in the IR, only one of which is manifest in the UV. On the other hand, the other is an emergent one enhanced from the SU(2)\(^k\) symmetry in the UV. See appendix B.

\(^{20}\)It is interesting to notice that the object \(\hat{\mu}_{ab;i}\) is analogous to \(\mu_{ab;i}\) that we had in the \(k = 0\) case in section 2. The difference is that now the dual is not a WZ model but a gauge theory and accordingly some of the singlets in \(\mu_{ab;i}\) are replaced by gauge invariant operators in \(\hat{\mu}_{ab;i}\).
the 6 chirals in the fundamental representation of the USp(2k) gauge node is explicitly broken to SU(4) × SU(2) × U(1)2 by the last term in the first line, which treats differently 2 out of the 6 chirals with respect to the remaining 4. In addition, from the E[USp(2k)] block, we also have two abelian symmetries as well as the manifest SU(2)k symmetry, which is enhanced to USp(2k) in the IR. Among the four abelian symmetries in total, the USp(2k) gauging makes one combination gauge anomalous and the superpotential explicitly breaks another one. Thus, the full manifest non-anomalous global symmetry is

\[ F_B = \prod_{i=1}^{k} SU(2)_{y_i} \times SU(4)_v \times SU(2)_b \times U(1)_s \times U(1)_t . \] (5.6)

As mentioned, \( \prod_{i=1}^{k} SU(2)_{y_i} \) of the E[USp(2N)] block is enhanced to USp(2k)y in the IR. Moreover, the duality tells us that there should be another enhancement of symmetry:

\[ SU(4)_v \times SU(2)_b \times U(1)_s \rightarrow SU(6)_x \] (5.7)

so that the IR global symmetry of Theory B becomes

\[ USp(2k)y \times SU(6)_x \times U(1)_t , \] (5.8)

which is the same as the manifest symmetry (5.2) of Theory A. We will give other evidence for this momentarily. The transformation rules of the matter fields under these symmetries and a possible choice of non-anomalous R-symmetry are summarized in table 9.

Before presenting some tests for the duality, let us briefly comment on some special cases which are related to known dualities. For low k, indeed, Theory B significantly simplifies and we can reasonably expect to recover dualities that already appeared in the literature. We previously pointed out that for k = 0 the dual Theory B is just a WZ model and we recover the confining duality of [9] that we discussed in section 2. For k = 1 the E[USp(2k)] theory consists simply of an SU(2) × SU(2) bifundamental plus a flip of the associated quadratic operator, without any gauge group. One of the two SU(2) is gauged to construct Theory B, which is thus a simple single gauge node theory. Hence, modulo singlet fields the rank stabilization duality for k = 1 relates a USp(2N) gauge theory with one antisymmetric and 8 fundamental flavors to an SU(2) gauge theory with 8 fundamental flavors. This duality corresponds to the “rank changing” duality of [48]. If we further set N = 1 both Theory A and Theory B are SU(2) gauge theories with 8 fundamental chirals and differ only for singlet fields and superpotential terms involving them. We thus recover a pair of the known 72 self-dual frames of SU(2) with 8 chirals [47, 48, 53–55].

5.2 Tests

We now move on to the discussion of some tests for the 4d rank stabilization duality. The strongest one will be the analytic matching of the supersymmetric indices of the

\footnote{In order to work out which combination of the four abelian symmetries is anomalous one needs to know the contribution of the E[USp(2k)] block to the mixed anomaly between the USp(2k) gauge symmetry and the most general trial R-symmetry. This can be computed using the quiver description of E[USp(2k)] (see eq. (A.14) of [52]).}
Table 9. Transformation properties under the global symmetry of the matter fields of Theory B. We are also including some important operators of the $E[\text{USp}(2k)]$ block. The horizontal line separates operators charged under the USp($2k$) gauge symmetry from the singlets.

dual theories. Even if they are implied by the equality of the indices, in order to better understand how the duality works we will first discuss the mapping of some gauge invariant operators and the matching of some of the anomalies.

**Mapping some operators.** It is interesting to look at how the map of some of the gauge invariant operators works, since they are very simple on the side of Theory A, while they map to some more complicated operators in Theory B. Moreover, on the side of Theory B we only manifestly see the $\text{SU}(4)_v \times \text{SU}(2)_b \times \text{U}(1)_s$ subgroup of the $\text{SU}(6)_x$ global symmetry, so we expect to be able to collect its gauge invariant operators into representations of the larger symmetry.

On the side of Theory A we have two types of operators. We can have the mesons constructed with the fundamental chirals $Q$ and $P$ possibly dressed with the antisymmetric $A$ and the gauge invariant combinations that we can construct with powers of $A$. Let us start considering the former ones. We can have three different kinds of mesons, depending on which combinations of $Q$ and $P$ we use. For example, we can have the following dressed mesons:

$$Q_a A_i Q_b, \quad i = 1, \cdots, N , \quad (5.9)$$

where as usual the contraction of gauge indices is understood. These operators transform in the antisymmetric $15$ representation of $\text{SU}(6)_x$, while they are singlets of USp($2k$)$_y$.

On the side of Theory B there is a combination of singlets and gauge invariant operators which is a natural candidate for mapping to these mesons. This is the set of operators $\hat{\mu}_{ab;i}$ that we already introduced in (5.4). Since the last term of the superpotential (5.5) containing $\hat{\mu}_{ab;i}$ is written in an SU($6$) invariant way, we already expect that these operators indeed form an antisymmetric representation of the enhanced SU($6$)$_x$, but we can also check it explicitly. Indeed, from table 9 we can see that the operators in (5.4) all have

|        | USp($2k$)$_y$ | SU(4)$_v$ | SU(2)$_b$ | U(1)$_s$ | U(1)$_t$ | U(1)$_{R_0}$ |
|--------|--------------|-----------|-----------|----------|----------|--------------|
| $p$    | 1            | 1         | 2         | 0        | $\frac{N-k+1}{2}$ | 0            |
| $\tilde{p}$ | 1          | 4         | 1         | -1       | $\frac{1-N-k}{6}$ | $\frac{2}{3}$ |
| $H$    | 1            | 1         | 1         | 0        | 1        | 0            |
| $\Pi$  | 2$k$         | 1         | 1         | 2        | $\frac{2k-N-2}{6}$ | $\frac{2}{3}$ |
| $\mu_{ab;i}$ | 1       | 6         | 1         | 2        | $\frac{3i+k-2N-1}{3}$ | $\frac{2}{3}$ |
| $\mu_{56;i}$ | 1       | 1         | 1         | -4       | $\frac{3i+k-2N-1}{3}$ | $\frac{2}{3}$ |
| $\mu_{aa;i}$ | 1       | 4         | 2         | -1       | $\frac{3i+k-2N-1}{3}$ | $\frac{2}{3}$ |
| $\nu_{\alpha n}$ | 2$k$   | 1         | 2         | -2       | $\frac{k-2N-1}{6}$ | $\frac{4}{3}$ |
| $C$    | 1            | 1         | 1         | 0        | -1       | 2            |
Table 10. Transformation properties under the global symmetry $F_A$ of some of the gauge invariant operators of Theory A and B, from which we can understand how these map under the duality.

| Theory A | USp($2k_y$) | SU(6)$_x$ | U(1)$_t$ | U(1)$_R$ | Theory B |
|----------|-------------|-----------|----------|----------|-----------|
| $Q_a A^{i-1} Q_b$ | 1 | 15 | $\frac{k+3i-2N-1}{3}$ | 2 | $\hat{\mu}_{ab;i}$ |
| $P_n P_m$ | $k(2k - 1) - 1$ | 1 | $-1$ | 2 | C |
| $Q_a P_n$ | $2k$ | 6 | $\frac{k-2N-1}{6}$ | 4 | $\hat{\nu}_{an}$ |
| $A^{N-k+i}$ | 1 | 1 | $N - k + i$ | 0 | $p_a H^{i-1} p_{\beta}$ |

The same charges under U(1)$_t$ and U(1)$_R$, while their transformation properties under SU(4)$_v \times SU(2)_b \times U(1)$_s$ are compatible with the branching rule

$$15 \rightarrow (6, 1)^2 \oplus (4, 2)^{-2} \oplus (1, 1)^{-4}.$$  \hspace{1cm} (5.10)

Moreover, the charges of $\hat{\mu}_{ab;i}$ under the abelian symmetry U(1)$_t$ and the reference R-symmetry U(1)$_R$ are precisely equal to those of the operators $Q_a A^{i-1} Q_b$, so we expect the two to be mapped to each other under the duality (see table 10).

We can also have mesons constructed from $P_n P_m$.

In this case there is no dressing, since the F-term equations associated to $P_n$ set the dressed mesons to zero in the chiral ring. These operators form the antisymmetric $k(2k - 1) - 1$ representation of USp($2k_y$), while they are singlets of SU(6)$_x$. The natural candidate on the side of Theory B for the operators that should map to this meson is the gauge invariant operator C of the $E[\text{USp}(2k)]$ block. We can indeed check that the two operators transform in the same way under all the global symmetries and the reference R-symmetry (see table 10).

The last type of meson that we can construct in Theory B is

$$Q_a P_n,$$  \hspace{1cm} (5.12)

where the dressed mesons are again set to zero in the chiral ring for the same reason as before. This operator transforms in the fundamental $2k$ of USp($2k_y$) and also in the fundamental $6$ of SU(6)$_x$. The corresponding operator in Theory B should then be constructed collecting gauge invariant operators that have the same U(1)$_t$ and U(1)$_R$ charges, that are all in the fundamental of USp($2k_y$) and that transform under the SU(4)$_v \times SU(2)_b \times U(1)$_s subgroup of SU(6)$_x$ according to the branching rule

$$6 \rightarrow (4, 1)^1 \oplus (1, 2)^{-2}.$$  \hspace{1cm} (5.13)

The desired operator, which we will denote by $\hat{\nu}_{na}$ for $n = 1, \cdots, 2k$ and for $a = 1, \cdots, 6$, is defined as follows:

$$\hat{\nu}_{na} = \begin{cases} \tilde{p}_a \Pi_n, & a = 1, \cdots, 4 \\ \nu_{a-4,n}, & a = 5, 6 \\ \nu_{a-4,n}, & a = 5, 6 \end{cases} \text{ for } n = 1, \cdots, 2k.$$  \hspace{1cm} (5.14)
One can check that this operator \( \hat{\nu}_{ia} \) has the same transformation properties under the global symmetry and the \( R \)-symmetry as the meson \( Q_aP_n \) of Theory A (see table 10).

Finally, on the side of Theory A we can construct gauge invariant combinations of the antisymmetric chiral \( A \). Those that are independent and that are not set to zero in the chiral ring by the F-term equations of the singlets \( \beta_j \) are

\[
A^{N-k+i}, \quad i = 1, \ldots, k. \tag{5.15}
\]

The operators of Theory B that have the correct charges to be mapped to it are (see table 10)

\[
p_\alpha H^{i-1}p_\beta, \quad i = 1, \ldots, k. \tag{5.16}
\]

This concludes the mapping of the main gauge invariant operators\(^{22}\)

**Anomalies.** Another possible test that we can perform is matching the anomalies of the theories. In particular, we will focus on the \( a \) and \( c \) trial central charges. We stress again that this is expected to follow from the matching of the supersymmetric indices, but it is useful to compute the \( a \) and \( c \) central charges to have a better understanding of the dynamics of the theories.

On the side of Theory A we only have one abelian symmetry \( U(1)_t \). The most general non-anomalous \( R \)-symmetry \( U(1)_R \) is thus parametrized by the mixing coefficient of \( U(1)_t \) with the reference \( R \)-symmetry \( U(1)_{R_0} \)

\[
R(t) = R_0 + q_t t, \tag{5.17}
\]

where \( R \) is the \( R \)-charge under the generic \( R \)-symmetry, \( R_0 \) is the \( R \)-charge under the reference \( R \)-symmetry \( U(1)_{R_0} \), \( q_t \) is the charge under \( U(1)_t \) and \( t \) is the mixing coefficient. The trial central charges are then

\[
a_A(t) = \frac{3}{32} \left( 3 \, \text{Tr} \, R(t)^3 - \text{Tr} \, R(t) \right), \quad c_A(t) = \frac{1}{32} \left( 9 \, \text{Tr} \, R(t)^3 - 5 \, \text{Tr} \, R(t) \right). \tag{5.18}
\]

Computing these for Theory A we find

\[
a_A(t) = \frac{1}{128} \left( -9k^2 t^3 + 2k^3 t^2((19N+9)t-18) - 3k^2 t (t(22N^2 t+14N(t-2)+3(t-6))+16) + 6k(t(N(t(10Nt+t-2)+4t-34)+32)-3t+8) - 4) + 5N (8-(N-1)t)^2(N((5N-1)t+12)-4t+6) \right)
\]

\[
c_A(t) = \frac{1}{128} \left( -9k^2 t^3 + 2k^3 t^2((19N+9)t-18) - k^2 t ((6N(11N+7)+9)t^2 - 6(14N+9)t+44) + k(t(N(3t(N(10Nt+t-2)+4t-34)+92)-9t+22) - 8) + 5N((N-1)t+2)((N-1)t((5N+4)t+2)-8) \right) \tag{5.19}
\]

On the side of Theory B there are two abelian symmetries that can in principle mix with the \( R \)-symmetry, \( U(1)_t \) and \( U(1)_s \). Hence, the most general non-anomalous \( R \)-symmetry depends on two parameters, the two mixing coefficients \( t \) and \( s \)

\[
R(t,s) = R_0 + q_t t + q_s s . \tag{5.20}
\]

\(^{22}\)Similarly to the \( k = 0 \) case, the singlets \( \beta_j \) are expected not to get a VEV at the quantum level.
The trial central charges of Theory B will then be functions of both $t$ and $s$. Specifically, we find

$$a_B(t,s) = \frac{1}{128} \left( -9k^4 t^3 + 2k^3 t^2 ((10N+9)(t-18) - 3k^2 t (22N^2 t + 14N(t-2) + 3(t-6)) + 16 + 6k(t(N(t(N(10Nt+9t-2)+4t-34)+7/2)^2 + 32) - 3t+8) - 4) + + (N-1) N t (5(t((5N-1)t+12) - 4t+6) - 864a^2) - 8N(108s^2(s+2) - 5) \right)$$

$$c_B(t,s) = \frac{1}{128} \left( -9k^4 t^3 + 2k^3 t^2 ((19N+9)(t-18) - k^2 t ((6N(11N+7)+9)t^2 - 6(14N+9)t+44) + + k(t(N(3t(N(10Nt+9t-2)+4t-34)+216s^2 + 92) - 9t+22) - 8) + + N(864a^2((N-1)t+2) - 5((N-1)t+2) ((N-1)t(5Nt+4t+2) - 8) - 864a^2\right). $$

Despite the explicit dependence on $s$, one can check that the local maximum of $a_B(t,s)$ corresponds to $s = 0$ and to a value of $t$ which is the same that we can find maximizing the trial $a_A(t)$ central charge of Theory A. This means that $U(1)_s$ doesn’t mix with the $R$-symmetry in the IR, which is compatible with the fact that it participates to the enhancement to the non-abelian SU(6)$_x$ symmetry. Moreover, setting $s = 0$ one finds that the $a$ and $c$ central charges of Theory A and B coincide as functions of $t$

$$a_A(t) = a_B(t,s = 0), \quad c_A(t) = c_B(t,s = 0),$$  

which is another test of the duality.

**Supersymmetric index.** The strongest test that we can provide for the duality is the matching of the supersymmetric indices. This amounts to proving the following non-trivial integral identity:

$$\int d^2 \mathbf{z} \Gamma_e(t)^N \prod_{i<j} \Gamma_e((tz_{i\pm 1}z_{j\mp 1}) \times$$

$$\times \prod_{i=1}^N \prod_{a=1}^6 \Gamma_e((pq) \frac{1}{2} t^{-2N-k-2} x_{a_{i}}^\mp 1) \prod_{j=1}^k \Gamma_e( (pq) ^{1/2} \frac{1}{2} t^{-1/2} z_{i}^\mp 1 y_{j}^\mp 1) =$$

$$= \Gamma_e((pq) \frac{1}{2} t^{-N-2k+2} s^{-4}) \prod_{i=1}^N \prod_{a<\beta=1}^4 \Gamma_e((pq) \frac{1}{2} t^{-3k-2+2N-1} s^{-2} v_{a} v_{\beta}) \times$$

$$\times \prod_{j=1}^{N-k} \Gamma_e(t^j) \prod_{a=1}^4 \Gamma_e((pq) ^{1/3} \frac{3}{s} t^{3k-2N-1} s^{-1} b_{a}^\pm 1) \times$$

$$\times \Gamma_e((pq) ^{1/3} \frac{3}{s} t^{3k-2N-1} s^{-4}) \prod_{j=1}^k \Gamma_e((pq) ^{2/3} \frac{1}{s} t^{-1/2-2N} s^{-2} b_{j}^\pm 1) \times$$

$$\times \int d^{2k} \mathcal{I}_{\mathcal{E}[U(3)]} \left( w; \bar{y}; t; (pq)^{1/3} \frac{2k-N-2}{s^2} \right) \times$$

$$\times \Gamma_e \left( (t^N-1 \frac{1}{s} b_{a}^\pm 1 w_{a}^\pm 1) \prod_{i=1}^4 \prod_{a=1}^4 \Gamma_e((pq) ^{1/3} \frac{1}{s} t^{1-N-k} b_{a}^\pm 1 v_{a}^\pm 1) \right). \quad (5.23)$$

In this identity $x_a$ are fugacities for SU(6)$_x$ and satisfy the constraint $\prod_{a=1}^6 x_a = 1$, $v_a$ are fugacities of SU(4)$_v$ and satisfy the constraint $\prod_{a=1}^4 v_a = 1$, $b$ is the fugacity for SU(2)$_b$, $t$
is the fugacity for $U(1)_x$ and $s$ is the fugacity for $U(1)_s$. The index of the $USp(2k)$ theory, which we explicitly define in appendix B. The identity (5.23) holds provided that the parameters on the two sides are identified as follows:

$$x_a = \begin{cases} 
sv_a & a = 1, \cdots, 4 \\
 s^{-2b+1} & a = 5, 6 
\end{cases} \quad (5.24)$$

This is compatible with the embedding (5.13) of $SU(4)_c \times SU(2)_b \times U(1)_s$ inside $SU(6)_x$ that we used in the operator map. We prove this identity for arbitrary $N$ and $k$ in appendix C by using and extending the results of [56].

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A Quiver sequential deconfinement

In this appendix we explicitly show how to derive the index identity (3.6) for the duality of section 3 using the strategy summarized in figure being a straightforward generalization. For simplicity we also consider the quiver gauge theory without any additional singlets. We then expect to recover the $r_i^{(n)}$ and the $\gamma_i^{(n)}$ singlets all on the r.h.s. of the duality with flipped charges together with the singlets entering the WZ superpotential.

The index of the electric theory with no singlets is given by (to distinguish it from the one with the singlets appearing in (3.6) we denote it by $\tilde{I}^{(N)}_{\text{gauge}}$)

$$\tilde{I}^{(2)}_{\text{gauge}}(\vec{M}; \vec{y}, \vec{x}; c, t) = \Gamma_e(pqt^{-1})^{M_1+M_2} \int d\vec{z}(M_1) d\vec{z}(M_2) \prod_{i<j} M_1 \Gamma_e(pqt^{-1} z_i^{(M_1)+1} z_j^{(M_1)+1}) \prod_{i=1}^{M_2} \Gamma_e(pqt^{-1} y_i^{(M_2)+1}) \prod_{i=1}^{M_1} \Gamma_e(t z_i^{(M_1)+1} y_i^{(M_1)+1} y_i^{(M_2)+1} + 2 t z_i^{(M_1)+1} y_i^{(M_1)+1} + 2 t z_i^{(M_2)+1} y_i^{(M_2)+1} + 2 t z_i^{(M_1)+1} y_i^{(M_2)+1} + 2 t z_i^{(M_2)+1} y_i^{(M_2)+1} + 2 t z_i^{(M_1)+1} y_i^{(M_2)+1} + 2 t z_i^{(M_2)+1} y_i^{(M_2)+1})$$

$$\times \prod_{i=1}^{M_2} \Gamma_e(pqt^{-1} y_i^{(M_2)+1}) \prod_{i=1}^{M_1} \Gamma_e(t z_i^{(M_1)+1} y_i^{(M_1)+1} y_i^{(M_2)+1} + 2 t z_i^{(M_1)+1} y_i^{(M_1)+1} + 2 t z_i^{(M_2)+1} y_i^{(M_2)+1} + 2 t z_i^{(M_1)+1} y_i^{(M_2)+1} + 2 t z_i^{(M_2)+1} y_i^{(M_2)+1} + 2 t z_i^{(M_1)+1} y_i^{(M_2)+1} + 2 t z_i^{(M_2)+1} y_i^{(M_2)+1})$$

$$\times \prod_{i=1}^{M_1} \Gamma_e(t z_i^{(M_1)+1} y_i^{(M_1)+1} y_i^{(M_2)+1} + 2 t z_i^{(M_1)+1} y_i^{(M_1)+1} + 2 t z_i^{(M_2)+1} y_i^{(M_2)+1} + 2 t z_i^{(M_1)+1} y_i^{(M_2)+1} + 2 t z_i^{(M_2)+1} y_i^{(M_2)+1} + 2 t z_i^{(M_1)+1} y_i^{(M_2)+1} + 2 t z_i^{(M_2)+1} y_i^{(M_2)+1})$$

$$\times \prod_{i=1}^{M_2} \Gamma_e(t z_i^{(M_2)+1} y_i^{(M_2)+1} y_i^{(M_2)+1} + 2 t z_i^{(M_2)+1} y_i^{(M_2)+1} + 2 t z_i^{(M_2)+1} y_i^{(M_2)+1} + 2 t z_i^{(M_1)+1} y_i^{(M_2)+1} + 2 t z_i^{(M_2)+1} y_i^{(M_2)+1} + 2 t z_i^{(M_1)+1} y_i^{(M_2)+1} + 2 t z_i^{(M_2)+1} y_i^{(M_2)+1})$$

$$\times \prod_{i=1}^{M_1} \Gamma_e(t z_i^{(M_1)+1} y_i^{(M_1)+1} y_i^{(M_2)+1} + 2 t z_i^{(M_1)+1} y_i^{(M_1)+1} + 2 t z_i^{(M_2)+1} y_i^{(M_2)+1} + 2 t z_i^{(M_1)+1} y_i^{(M_2)+1} + 2 t z_i^{(M_2)+1} y_i^{(M_2)+1} + 2 t z_i^{(M_1)+1} y_i^{(M_2)+1} + 2 t z_i^{(M_2)+1} y_i^{(M_2)+1})$$

The index of the auxiliary gauge theory is obtained deconfining the antisymmetric on the first $USp(2M_1)$ gauge node and trading its contribution with an additional integral over

$$\frac{1}{2} \left( \left( \frac{2M_1-2}{4} \right) x_a \right)^{-1}.$$

Notice that in order to recover (2.6) from (5.23) for $k = 0$ we have to redefine $x_a \rightarrow (pq)^{-\frac{b+1}{2}} x_a$. 

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USp(2M1 − 2) gauge fugacities. Specifically, the index of the auxiliary theory reads

\[ I^{(2)}_{\text{aux}} = \Gamma_e(\text{pq}^{-1})^{M_2} \int d\tilde{z}^{(M_1-1)} d\tilde{z}^{(M_1)} d\tilde{z}^{(M_2)} \prod_{i=1}^{M_1-1} \Gamma_e((\text{pq})^{\frac{M_2-2M_1-1}{2}} c^{-1} t^{\frac{M_2-2M_1-1}{2}} y_1^{-1} z_i^{(M_1-1) \pm 1}) \]

\[ \times \prod_{i=1}^{M_1-1} \Gamma_e((\text{pq})^{\frac{M_2-2M_1-1}{2}} c^{-1} t^{\frac{M_2-2M_1-1}{2}} y_1^{-1} z_i^{(M_1-1) \pm 1}) \prod_{i=1}^{M_1} \prod_{j=1}^{M_1} \Gamma_e((\text{pq})^{\frac{1}{2}} t^{\frac{1}{2}} z_i^{(M_1-1) \pm 1} z_j^{(M_1-1) \pm 1}) \]

\[ \times \prod_{i=1}^{M_1} \Gamma_e((\text{pq})^{\frac{M_2-2M_1-1}{2}} c^{-1} t^{\frac{M_2-2M_1-1}{2}} y_1^{-1} z_i^{(M_1-1) \pm 1}) \]

\[ \times \prod_{i=1}^{M_1} \prod_{j=1}^{M_1} \Gamma_e((\text{pq})^{\frac{1}{2}} t^{\frac{1}{2}} z_i^{(M_1-1) \pm 1} z_j^{(M_1-1) \pm 1}) \prod_{i=1}^{M_2} \prod_{j=1}^{M_2} \Gamma_e(c y_2^{1} z_i^{(M_2) \pm 1}) \]

\[ \times \prod_{i=1}^{M_2} \prod_{a=1}^{4} \Gamma_e((\text{pq})^{\frac{3+M_1-2M_2-1}{4}} c^{-1} t^{\frac{3+M_1-2M_2-1}{4}} x_a z_i^{(M_2) \pm 1}) \prod_{i<j} \Gamma_e((\text{pq})^{2} z_i^{(M_2) \pm 1} z_j^{(M_2) \pm 1}) \]  

(A.2)

Applying the identity (2.12) for the IP duality to the USp(2M1 − 2) node corresponds to the evaluation formula

\[ \int d\tilde{z}^{(M_1-1)} \prod_{i=1}^{M_1-1} \Gamma_e((\text{pq})^{\frac{M_2-2M_1-1}{2}} c^{-1} t^{\frac{M_2-2M_1-1}{2}} y_1^{-1} z_i^{(M_1-1) \pm 1}) \prod_{i=1}^{M_1} \prod_{j=1}^{M_1} \Gamma_e((\text{pq})^{\frac{1}{2}} t^{\frac{1}{2}} z_i^{(M_1-1) \pm 1} z_j^{(M_1-1) \pm 1}) \]

\[ \times \prod_{i=1}^{M_1} \prod_{j=1}^{M_1} \Gamma_e((\text{pq})^{\frac{1}{2}} t^{\frac{1}{2}} z_i^{(M_1-1) \pm 1} z_j^{(M_1-1) \pm 1}) = \prod_{i=1}^{M_1} \Gamma_e((\text{pq})^{\frac{M_2-2M_1-1}{2}} c^{-1} t^{\frac{M_2-2M_1-1}{2}} y_1^{-1} z_i^{(M_1-1) \pm 1}) \]  

(A.3)

and it is easy to check that plugging this into (A.2) we obtain the index of the original theory plus the contribution of a singlet \( \Gamma_e((\text{pq})^{2} c^{-1} t^{1} y_1^{-1} z_i^{(M_1-1) \pm 1}) \).

Now we apply the IP duality to the original USp(2M1) gauge node of the auxiliary quiver, which becomes a USp(2M2 − 2) node. This corresponds to the integral identity

\[ \int d\tilde{z}^{(M_1)} \prod_{i=1}^{M_1} \prod_{j=1}^{M_1} \Gamma_e((\text{pq})^{\frac{1}{2}} t^{\frac{1}{2}} z_i^{(M_1-1) \pm 1} z_j^{(M_1-1) \pm 1}) \prod_{i=1}^{M_1} \prod_{j=1}^{M_1} \Gamma_e((\text{pq})^{\frac{M_2-2M_1-1}{2}} c^{-1} t^{\frac{M_2-2M_1-1}{2}} y_1^{-1} z_i^{(M_1-1) \pm 1}) \]

\[ \times \prod_{i=1}^{M_1} \prod_{j=1}^{M_1} \Gamma_e((\text{pq})^{\frac{1}{2}} t^{\frac{1}{2}} z_i^{(M_1-1) \pm 1} z_j^{(M_1-1) \pm 1}) \prod_{i=1}^{M_1} \prod_{j=1}^{M_1} \Gamma_e((\text{pq})^{\frac{1}{2}} t^{\frac{1}{2}} z_i^{(M_1-1) \pm 1} z_j^{(M_1-1) \pm 1}) \]

\[ = \Gamma_e((\text{pq})^{\frac{M_2-2M_1-1}{2}} c^{-1} t^{\frac{M_2-2M_1-1}{2}} y_1^{-1} z_i^{(M_1-1) \pm 1}) \prod_{i=1}^{M_1} \prod_{j=1}^{M_1} \Gamma_e((\text{pq})^{\frac{1}{2}} t^{\frac{1}{2}} z_i^{(M_1-1) \pm 1} z_j^{(M_1-1) \pm 1}) \]

\[ \times \prod_{i=1}^{M_1} \prod_{j=1}^{M_1} \Gamma_e((\text{pq})^{\frac{1}{2}} t^{\frac{1}{2}} z_i^{(M_1-1) \pm 1} z_j^{(M_1-1) \pm 1}) \prod_{i=1}^{M_1} \prod_{j=1}^{M_1} \Gamma_e((\text{pq})^{\frac{1}{2}} t^{\frac{1}{2}} z_i^{(M_1-1) \pm 1} z_j^{(M_1-1) \pm 1}) \]

\[ \times \prod_{i=1}^{M_1} \prod_{j=1}^{M_1} \Gamma_e((\text{pq})^{\frac{1}{2}} t^{\frac{1}{2}} z_i^{(M_1-1) \pm 1} z_j^{(M_1-1) \pm 1}) \prod_{i=1}^{M_1} \prod_{j=1}^{M_1} \Gamma_e((\text{pq})^{\frac{1}{2}} t^{\frac{1}{2}} z_i^{(M_1-1) \pm 1} z_j^{(M_1-1) \pm 1}) \]

\[ \times \prod_{i=1}^{M_1} \prod_{j=1}^{M_1} \Gamma_e((\text{pq})^{\frac{1}{2}} t^{\frac{1}{2}} z_i^{(M_1-1) \pm 1} z_j^{(M_1-1) \pm 1}) \prod_{i=1}^{M_1} \prod_{j=1}^{M_1} \Gamma_e((\text{pq})^{\frac{1}{2}} t^{\frac{1}{2}} z_i^{(M_1-1) \pm 1} z_j^{(M_1-1) \pm 1}) \]

\[ \times \prod_{i=1}^{M_1} \prod_{j=1}^{M_1} \Gamma_e((\text{pq})^{\frac{1}{2}} t^{\frac{1}{2}} z_i^{(M_1-1) \pm 1} z_j^{(M_1-1) \pm 1}) \prod_{i=1}^{M_1} \prod_{j=1}^{M_1} \Gamma_e((\text{pq})^{\frac{1}{2}} t^{\frac{1}{2}} z_i^{(M_1-1) \pm 1} z_j^{(M_1-1) \pm 1}) \]

\[ \times \prod_{i=1}^{M_1} \prod_{j=1}^{M_1} \Gamma_e((\text{pq})^{\frac{1}{2}} t^{\frac{1}{2}} z_i^{(M_1-1) \pm 1} z_j^{(M_1-1) \pm 1}) \prod_{i=1}^{M_1} \prod_{j=1}^{M_1} \Gamma_e((\text{pq})^{\frac{1}{2}} t^{\frac{1}{2}} z_i^{(M_1-1) \pm 1} z_j^{(M_1-1) \pm 1}) \]

\[ \times \prod_{i=1}^{M_1} \prod_{j=1}^{M_1} \Gamma_e((\text{pq})^{\frac{1}{2}} t^{\frac{1}{2}} z_i^{(M_1-1) \pm 1} z_j^{(M_1-1) \pm 1}) \prod_{i=1}^{M_1} \prod_{j=1}^{M_1} \Gamma_e((\text{pq})^{\frac{1}{2}} t^{\frac{1}{2}} z_i^{(M_1-1) \pm 1} z_j^{(M_1-1) \pm 1}) \]
Plugging the above identity into (A.2), we obtain the index of a new quiver theory with three gauge nodes $\text{USp}(2M_1 - 2)$, $\text{USp}(2M_2 - 2)$ and $\text{USp}(2M_2)$. Notice that the contribution to the index of the antisymmetric on the $\text{USp}(2M_2)$ gauge node cancels out in this last step, so that now we can apply the IP duality to it. We then collect all the $z_i^{(M_2)}$ terms and apply the duality to the last node, which confines; this corresponds to the evaluation formula

\begin{align}
\int d\mathcal{Z}(M_2) \prod_{i=1}^{M_2-1} \prod_{j=1}^{M_2-1} \Gamma_e((pq)^{\frac{M_2-2}{2}}c^{-1} t^{\frac{M_2-2}{2}} y_1 z_i^{(M_2)-1})
& \times \prod_{i=1}^{M_2} \Gamma_e((pq)^{\frac{M_2-2}{2}}c^{-1} t^{\frac{M_2-2}{2}} y_1 z_i^{(M_2)-1})
& \times \prod_{i=1}^{M_2-1} \prod_{j=1}^{M_2-1} \Gamma_e((pq)^{\frac{M_2-2}{2}}c^{-1} t^{\frac{M_2-2}{2}} y_1 z_i^{(M_2)-1})
& \times \prod_{i=1}^{M_2-1} \prod_{j=1}^{M_2-1} \Gamma_e((pq)^{\frac{M_2-2}{2}}c^{-1} t^{\frac{M_2-2}{2}} y_1 z_i^{(M_2)-1})
\end{align}

(A.4)

Collecting all the remaining pieces we finally obtain the following expression for the index

\begin{align}
\mathcal{I}^{(2)}_{\text{gauge}} = \Gamma_e((pq)^{M_2} t^{-M_1}) \Gamma_e((pq)^{2} c^{-2} t^{-1}) \Gamma_e((pq)^{M_2-M_1-1} c^2 t^{M_1-M_2}) \Gamma_e((pq)^{\frac{M_2}{2}} t^{-\frac{M_2}{2}} y_1 y_2^{\frac{1}{2}})
& \times \Gamma_e((pq)^{\frac{2+M_2-2M_1}{2}} t^{\frac{2+M_2-2M_1}{2}} y_1 y_2^{\frac{1}{2}}) \prod_{a<b} \Gamma_e((pq)^{\frac{M_2-M_1-1}{2}} c^2 t^{\frac{M_2-M_1-1}{2}} y_1 z_a^{(M_2)-1})
& \times \Gamma_e((pq)^{\frac{2+M_2-2M_1}{2}} t^{\frac{2+M_2-2M_1}{2}} y_1 y_2^{\frac{1}{2}}) \prod_{a<b} \Gamma_e((pq)^{\frac{M_2-M_1-1}{2}} c^2 t^{\frac{M_2-M_1-1}{2}} y_1 z_a^{(M_2)-1})
& \times \Gamma_e((pq)^{\frac{2+M_2-2M_1}{2}} t^{\frac{2+M_2-2M_1}{2}} y_1 y_2^{\frac{1}{2}}) \prod_{a<b} \Gamma_e((pq)^{\frac{M_2-M_1-1}{2}} c^2 t^{\frac{M_2-M_1-1}{2}} y_1 z_a^{(M_2)-1})
\end{align}

(A.5)
Notice that if we neglect the contribution of the singlet fields, the expression above corresponds to the index of the same quiver theory we started with, but with the rank of each gauge node lowered by one unit.

At this point we can repeat the whole procedure that we just described, i.e. construct the auxiliary quiver and apply sequentially the Intriligator-Pouliot duality to each gauge node in it. At this stage we will reach a quiver theory which is the same we started with, but with the rank of each gauge node lowered by two units, besides producing an additional bunch of singlets.

Then it is easy to understand what happens if we iterate the whole procedure $M_1$ times: we will completely confine the first gauge node, produce additional singlets and obtain the expression

$$
\tilde{T}^{(2)}_{\text{gauge}} = \prod_{i=1}^{M_1} \Gamma_c((pq)^{M_1+1-i} c^{-1} t^{-1}) \prod_{i=1}^{M_1} \Gamma_c((pq)^{1+i} c^{-2} t^{-i}) \prod_{i=1}^{M_1} \Gamma_c((pq)^{M_2-M_1-i} c^{2} t^{M_1-M_2+i-1})
$$

$$
\times \prod_{i=1}^{M_1} \Gamma_c((pq)^{M_2-2M_1+2i} t^{-2M_1+2M_2} y_1^{M_1-i} y_2^{M_1-i}) \prod_{i=1}^{M_1} \Gamma_c((pq)^{4i-3M_1-1} c^{2} t^{-1} y_1^{2M_1-2M_2+4} x_a x_b) \prod_{i=1}^{M_1} \Gamma_c((pq)^{M_2-M_1+i} c^{2} t^{M_1-M_2+i})
$$

$$
\times \prod_{i=1}^{M_1} \Gamma_c((pq)^{-M_1+i} c^{2} t^{M_1-M_2+i} x_a) \prod_{i=1}^{M_1} \Gamma_c((pq)^{M_2-M_1+i} c^{2} t^{M_1-M_2+i} x_a)
$$

$$
\times \prod_{i=1}^{M_1} \Gamma_c((pq)^{M_2-M_1+i} c^{2} t^{M_1-M_2+i} x_a x_b) \prod_{i=1}^{M_1} \Gamma_c((pq)^{2M_2-2M_1} c^{2} t^{M_1-M_2+i})
$$

$$
\times \prod_{i=1}^{M_1} \Gamma_c((pq)^{M_2-M_1+i} c^{2} t^{M_1-M_2+i} x_a)
$$

Notice that the integral over $\tilde{z}^{(M_2-M_1)}$ in the expression above corresponds to the index of a gauge theory with USp$(2M_2 - 2M_1)$ gauge group, one antisymmetric chiral and six fundamental chiral. Then we can simply plug in the known expression for the WZ model dual to this gauge theory (2.6) and finally obtain (again $\tilde{T}_{\text{WZ}}$ differs from $T_{\text{WZ}}$ used in (3.6) for singlet fields)

$$
\tilde{T}_{\text{WZ}}(\bar{x}; \bar{y}; c, t) = \prod_{i=1}^{M_1} \Gamma_c((pq)^{M_1+1-i} c^{-1} t^{-1}) \prod_{i=1}^{M_1} \Gamma_c((pq)^{1+i} c^{-2} t^{-i}) \prod_{i=1}^{M_1} \Gamma_c((pq)^{M_2-M_1-i} c^{2} t^{M_1-M_2+i-1})
$$

$$
\times \prod_{i=1}^{M_1} \Gamma_c((pq)^{M_2-2M_1+2i} t^{-2M_1+2M_2} y_1^{M_1-i} y_2^{M_1-i}) \prod_{i=1}^{M_1} \Gamma_c((pq)^{4i-3M_1-1} c^{2} t^{-1} y_1^{2M_1-2M_2+4} x_a x_b) \prod_{i=1}^{M_1} \Gamma_c((pq)^{M_2-M_1+i} c^{2} t^{M_1-M_2+i})
$$

$$
\times \prod_{i=1}^{M_1} \Gamma_c((pq)^{M_2-M_1+i} c^{2} t^{M_1-M_2+i} x_a x_b) \prod_{i=1}^{M_1} \Gamma_c((pq)^{2M_2-2M_1} c^{2} t^{M_1-M_2+i})
$$

$$
\times \prod_{i=1}^{M_1} \Gamma_c((pq)^{M_2-M_1+i} c^{2} t^{M_1-M_2+i} x_a)
$$

$$
\times \prod_{i=1}^{M_1} \Gamma_c((pq)^{M_2-M_1+i} c^{2} t^{M_1-M_2+i} x_a x_b)
$$

$$
\times \prod_{i=1}^{M_1} \Gamma_c((pq)^{M_2-M_1+i} c^{2} t^{M_1-M_2+i} x_a)
$$
In this paper we will use a slightly different definition for it than that originally used in [34], see also [4, 6, 35, 52] for other reviews. This theory was first introduced in [34], see also [4, 6, 35, 52] for other reviews. In this appendix we review a few aspects of the electric theory when brought on the l.h.s. Similarly, the singlets in the second line reconstruct exactly the flipping fields $\gamma_k^{(n)}$ for the dressed diagonal mesons when brought to the l.h.s. side. All the other singlets appearing in (A.9) are precisely the contribution to the index of the chiral fields appearing in the WZ that we described above. Then we have proven $T^{(2)}_{\text{gauge}}(\bar{M}; \bar{y}, \bar{x}; t, c) = T^{(2)}_{\text{WZ}}(\bar{M}; \bar{x}; \bar{y}, \bar{c}, c, t)$ as given in (3.6).

B A lightening review of $E[\text{USp}(2N)]$

In this appendix we review a few aspects of the $E[\text{USp}(2N)]$ theory that are needed in the main text. This theory was first introduced in [34], see also [4, 6, 35, 52] for other reviews. In this paper we will use a slightly different definition for it than that originally used in [34], which is instead the one used in [4, 6, 52].
The $E[\text{USp}(2N)]$ theory is the 4d $\mathcal{N} = 1$ quiver theory whose field content is summarized in figure 14. The full superpotential is

\begin{align}
W_{E[\text{USp}(2N)]} &= \sum_{j=1}^{N-1} \text{Tr}_j \left[ A^{(j)} \left( \text{Tr}_{j+1} Q^{(j,j+1)} Q^{(j,j+1)} - \text{Tr}_{j-1} Q^{(j-1,j)} Q^{(j-1,j)} \right) \right] \\
&+ \sum_{j=1}^{N-1} \text{Tr}_{y_{j+1}} \text{Tr}_j \left( V^{(j)} Q^{(j,j+1)} D^{(j+1)} \right) \\
&+ \sum_{j=1}^{N-1} b_j \text{Tr}_{y_{j+1}} \text{Tr}_j \left( D^{(j)} D^{(j)} \right) . \tag{B.1}
\end{align}

It is possible to show [34] using dualities or the superconformal index that the manifest global symmetry

\begin{equation}
\text{USp}(2N)_x \times \prod_{j=1}^{N} \text{SU}(2)_{y_j} \times \text{U}(1)_t \times \text{U}(1)_c
\end{equation}

(B.2)

is enhanced in the IR to

\begin{equation}
\text{USp}(2N)_x \times \text{USp}(2N)_y \times \text{U}(1)_t \times \text{U}(1)_c . \tag{B.3}
\end{equation}

The charges of all the chiral fields under the two $\text{U}(1)$ symmetries as well as their trial R-charges in our conventions are summarized in figure 15.

The gauge invariant operators of $E[\text{USp}(2N)]$ that are important for our discussion in the main text are the following:

- two operators, which we denote by $H$ and $C$, in the traceless antisymmetric representation of $\text{USp}(2N)_x$ and $\text{USp}(2N)_y$ respectively;
- an operator $\Pi$ in the bifundamental representation of $\text{USp}(2N)_x \times \text{USp}(2N)_y$;

Those transforming non-trivially under the enhanced $\text{USp}(2N)_y$ global symmetry are constructed by collecting several gauge invariant operators transforming properly under the manifest $\prod_{j=1}^{N} \text{SU}(2)_{y_j}$ and with the same charges under all the other symmetries, see [34].
Table 11. Transformation properties of some of the $E[\text{USp}(2N)]$ operators.

|        | $\text{USp}(2N)_x$ | $\text{USp}(2N)_y$ | $U(1)_t$ | $U(1)_c$ | $U(1)_{R_0}$ |
|--------|--------------------|--------------------|----------|----------|--------------|
| $H$    | $N(2N-1) - 1$      | 1                  | 1        | 0        | 0            |
| $C$    | 1                  | $N(2N-1) - 1$      | -1       | 0        | 2            |
| $\Pi$  | $N$                | $N$                | 0        | +1       | 0            |

for more details. The charges and representations of all these operators under the enhanced global symmetry are given in table 11.

The supersymmetric index of $E[\text{USp}(2N)]$ can be defined recursively as follows:

\[
I_{E[\text{USp}(2N)]}(\vec{x}; \vec{y}; t; c) = \Gamma_e \left( c y_1^{x_1 \pm 1} y_2^{x_2 \pm 1} \right) 
\]

This index coincides up to some prefactor corresponding to singlet fields with the interpolation kernel $K_c(x,y)$ studied in [56], where many integral identities for this function were proven. Most of them were then interpreted in [34] as field theory properties enjoyed by $E[\text{USp}(2N)]$. We will now review very quickly those results that are important for us in the present paper.

First of all, $E[\text{USp}(2N)]$ enjoys various IR dualities. One, called mirror duality in [6], is actually a self-duality that acts non-trivially on the spectrum of local operators of $E[\text{USp}(2N)]$. More precisely, $E[\text{USp}(2N)]$ is dual to $E[\text{USp}(2N)]^\vee$, which is the same theory but with the $\text{USp}(2N)_x$ and $\text{USp}(2N)_y$ symmetries exchanged and the $U(1)_t$ fugacity mapped to

\[
t \to \frac{pq}{t}.
\]

Accordingly we have the operator map

\[
H \leftrightarrow C^\vee, \quad C \leftrightarrow H^\vee, \quad \Pi \leftrightarrow \Pi^\vee.
\]

At the level of the index we have the following identity (Theorem 3.1 of [56]):

\[
I_{E[\text{USp}(2N)]}(\vec{x}; \vec{y}; t; c) = I_{E[\text{USp}(2N)]}(\vec{y}; \vec{x}; pq/t, c).
\]

The reason for the name mirror duality is that in a suitable $3d$ limit it reduces to the well-known self-duality of the $3d$ $\mathcal{N} = 4$ $T[\text{SU}(N)]$ theory under mirror symmetry [57].
There is another duality of $E[USp(2N)]$ called the flip-flip duality. The dual theory, denoted by $FF E[USp(2N)]$, is defined as $E[USp(2N)]$ plus two sets of singlets $O_H$ and $O_C$ flipping the two operators $H^{FF}$ and $C^{FF}$

$$W_{FF E[USp(2N)]} = W_{E[USp(2N)]} + \text{Tr}_x \left( O_H H^{FF} \right) + \text{Tr}_y \left( O_C C^{FF} \right).$$

In this case the $USp(2N)_x$ and $USp(2N)_y$ symmetries are left unchanged, while only the $U(1)_t$ fugacity transforms as in (B.6). The operator map is

$$H \leftrightarrow O_H,$$

$$C \leftrightarrow O_C,$$

$$\Pi \leftrightarrow \Pi^{FF}. \tag{B.10}$$

At the level of the supersymmetric index we have the following identity (Proposition 3.5 of [56]):

$$\mathcal{I}_{E[USp(2N)]} (\vec{x}; \vec{y}; t; c) = \prod_{j < l} \Gamma_e \left( t x_j^{1 \pm} x_l^{1 \pm} \right) \Gamma_e \left( pq t^{-1} y_j^{1 \pm} y_l^{1 \pm} \right) \mathcal{I}_{E[USp(2N)]} (\vec{x}; \vec{y}; pq/t; c). \tag{B.11}$$

As shown in [6], this identity can be derived by iterating (2.12), meaning that the flip-flip duality can be derived using the IP duality only. Upon reduction to 3d, we recover the flip-flip duality of $T[SU(N)]$ discussed in [58], which can also be derived by iteratively applying a more fundamental duality, in this case the Aharony duality [7], as shown in [6] (see also appendix B of [59]).

Another property of the index of $E[USp(2N)]$ that will important for us was proven in Theorem 2.16 of [56]. This wasn’t considered before in the physics literature and we will need it in the next appendix to derive the index identity (5.23) for the rank stabilization duality

$$\mathcal{I}_{E[USp(2N)]} (\vec{x}; \vec{y}, t^{k-1} v, \cdots, v; t; c d) = \frac{\Gamma_e (c^2 d^2)}{\Gamma_e (c^2) \Gamma_e (d^2) \prod_{i=1}^{k} \Gamma_e \left( (t - i c)^2 \right) \prod_{j=1}^{N} \Gamma_e \left( (c v/d) x_j^{1 \pm} \right)} \prod_{a=1}^{N-k} \frac{\Gamma_e (t^k v y_a^{1 \pm})}{\Gamma_e (t^k v y_a)} \oint d \bar{z}_{N-k} \prod_{a=1}^{N-k} \frac{\Gamma_e \left( (t^k v/d) z_a^{1 \pm} \right)}{\Gamma_e \left( c^2 d v z_a^{1 \pm} \right)} \mathcal{I}_{E[USp(2N-k)]} (\bar{z}; \bar{y}; t; d) \times \mathcal{I}_{E[USp(2N)]} (\vec{x}; \vec{z}, t^{k-1} v/d, \cdots, v/d; t; c). \tag{B.12}$$

We call this identity generalized braid relation, since for $k = 0$ it coincides with the braid relation appearing in Proposition 2.12 of [56]. While the braid relation was interpreted as a field theory duality in [34] and derived by iterative application of the IP duality in [4], we lack of both such things for the generalized braid. It would be interesting to investigate more these field theory aspects of the generalized braid relation.

There are two other results of [56] that we are going to need (see [6, 34] for their field theory interpretation). These are basically two evaluation formulas for the supersymmetric index of $E[USp(2N)]$ when some of its fugacities are properly specialized. For example,
if we specialize one of the two sets of parameters to a geometric progression, we have (Corollary 2.8 of [56])

$$\mathcal{I}_{E[USp(2N)]}(\bar{x}; t^{N-1} a, t^{N-2} a, \ldots; t; c) = \frac{\Gamma_e(t^N \sum_{j=1}^N \Gamma_e(t x_j^{\pm 1} x_l^{\pm 1}) \prod_{j=1}^N \Gamma_e(a c x_j^{\pm 1}) \Gamma_e(\frac{c}{\sqrt{t^{N-1} t^{N-2} \cdots t}} x_j^{\pm 1})}{\prod_{i=1}^{N-1} \Gamma_e(t^{-i} t^2)} \cdot (B.13)$$

Another evaluation formula is obtained by properly specifying the fugacity $c$ in terms of the fugacity $t$ (Proposition 2.10 of [56])

$$\mathcal{I}_{E[USp(2N)]}(\bar{x}; \bar{y}; t; (pq/t)^{1/2}) = \Gamma_e(t)^{N-1} \prod_{j<l} \Gamma_e(t x_j^{\pm 1} x_l^{\pm 1}) \prod_{j,l=1}^N \Gamma_e((pq/t)^{1/2} x_j^{\pm 1} y_l^{\pm 1}) \cdot (B.14)$$

### C Proof of some new index identities

In this appendix we derive some new elliptic integral identities involving the supersymmetric index of the $E[USp(2N)]$ theory by starting from the results of [56] that we have just reviewed. Eventually, we will get the index identity (5.23) for the rank stabilization duality.

The most general identity that we will prove is the following:

$$\int \prod_{i=1}^N \frac{\Gamma_e \left( \frac{v}{d v z_i^{\pm 1}} \right) \Gamma_e \left( s_0 z_i^{\pm 1} \right) \Gamma_e \left( s_1 z_i^{\pm 1} \right)}{\prod_{v, j=1}^{N} \Gamma_e \left( \frac{v}{d v z_i^{\pm 1}} \right) \Gamma_e \left( \frac{v}{d v z_i^{\pm 1}} \right)} \times$$

$$= \frac{\Gamma_e \left( c^2 \right) \Gamma_e \left( c^2 \right)}{\Gamma_e \left( c^2 u^{-2} \right) \Gamma_e \left( c^2 u^{-2} \right)} \prod_{i=1}^k \frac{\Gamma_e \left( \frac{t^{i-1} u}{u d} \right) \Gamma_e \left( \frac{s_0 u}{u d} \right) \Gamma_e \left( \frac{s_1 u}{u d} \right)}{\prod_{\nu, j=1}^{N-k} \Gamma_e \left( \frac{t^{i-1} u}{u d} \right) \Gamma_e \left( \frac{s_0 u}{u d} \right) \Gamma_e \left( \frac{s_1 u}{u d} \right) \times}$$

$$\int \prod_{i=1}^{N-k} \frac{\Gamma_e \left( \frac{t^{i-1} u}{u d} \right) \Gamma_e \left( \frac{s_0 u}{u d} \right) \Gamma_e \left( \frac{s_1 u}{u d} \right)}{\prod_{\nu, j=1}^{N-k} \Gamma_e \left( \frac{t^{i-1} u}{u d} \right) \Gamma_e \left( \frac{s_0 u}{u d} \right) \Gamma_e \left( \frac{s_1 u}{u d} \right) \times}$$

$$\int \prod_{i=1}^{N-k} \frac{\Gamma_e \left( \frac{t^{i-1} u}{u d} \right) \Gamma_e \left( \frac{s_0 u}{u d} \right) \Gamma_e \left( \frac{s_1 u}{u d} \right)}{\prod_{\nu, j=1}^{N-k} \Gamma_e \left( \frac{t^{i-1} u}{u d} \right) \Gamma_e \left( \frac{s_0 u}{u d} \right) \Gamma_e \left( \frac{s_1 u}{u d} \right) \times}$$

$$\int \prod_{i=1}^{N-k} \frac{\Gamma_e \left( \frac{t^{i-1} u}{u d} \right) \Gamma_e \left( \frac{s_0 u}{u d} \right) \Gamma_e \left( \frac{s_1 u}{u d} \right)}{\prod_{\nu, j=1}^{N-k} \Gamma_e \left( \frac{t^{i-1} u}{u d} \right) \Gamma_e \left( \frac{s_0 u}{u d} \right) \Gamma_e \left( \frac{s_1 u}{u d} \right) \times}$$

which holds when the following balancing condition is satisfied:

$$s_0 s_1 = \frac{pq}{c^2 u^2} \cdot (C.2)$$

Notice that this is a sort of generalization of the generalized braid relation (B.12) where we have pairs of integrated kernel functions $\mathcal{I}_{E[USp(2N)]}$, possibly of different lengths, on both sides.

The proof of (C.1) is very simple and consists of an iterative application of the generalized braid (B.12). The first step is to rewrite the contribution of the kernel

---

24This can also be understood as a special case of (3.6).
\[ \mathcal{I}_{E[\text{USp}(2N)]}(\vec{z}; \vec{y}, t^{k-1}v, \cdots, v; t; d) \] on the l.h.s. of (C.1) using the generalized braid relation (B.12) from right to left

\[ \oint \frac{d\vec{z}_N}{\Gamma} \mathcal{I}_{E[\text{USp}(2N)]}(\vec{x}; \vec{z}; t; c) \mathcal{I}_{E[\text{USp}(2N)]}(\vec{z}; \vec{y}, t^{k-1}v, \cdots, v; t; d) \times \]

\[ \times \prod_{i=1}^{N} \frac{\Gamma(e^{\frac{u}{d} z_i^{\pm 1}})}{\Gamma(e^{\frac{v}{d} z_i^{\pm 1}})} \Gamma(e^{s_0 z_i^{\pm 1}}) \Gamma(e^{s_1 z_i^{\pm 1}}) = \]

\[ \frac{\Gamma(e^{(\Gamma_n^u)} \prod_{i=1}^{N} \frac{\Gamma(e^{t^{-1}i \frac{1}{u}})}{\Gamma(e^{t^{-1}i \frac{1}{d}})} \prod_{i=1}^{N-k} \frac{\Gamma(e^{\frac{v}{d} y_i^{\pm 1}})}{\Gamma(e^{\frac{u}{d} y_i^{\pm 1}})} \oint d\vec{z}_N \oint d\vec{w} \mathcal{I}_{E[\text{USp}(2N)]}(\vec{x}; \vec{z}; t; c) \times \]

\[ \times \mathcal{I}_{E[\text{USp}(2N)]}(\vec{z}; \vec{w}, t^{k-1}v, \cdots, \frac{u}{ad}, \cdots, \frac{v}{ad}; t; 1) \mathcal{I}_{E[\text{USp}(2(N-k))]}(\vec{w}; \vec{y}; t; du) \times \]

\[ \times \prod_{i=1}^{N} \frac{\Gamma(e^{s_0 z_i^{\pm 1}})}{\Gamma(e^{s_1 z_i^{\pm 1}})} \prod_{i=1}^{N-k} \frac{\Gamma(e^{\frac{u}{d} w_i^{\pm 1}})}{\Gamma(e^{\frac{v}{d} w_i^{\pm 1}})} . \] \quad (C.3)

Now we can remove the original integral by applying the braid relation, that is the generalized braid (B.12) in the special case of \( k = 0 \). The result is precisely the claimed identity (C.1).

In the identity (C.1) there are free parameters. We can then try to specialize some of them and use the evaluation formulas (B.13) and (B.14) to get some simpler identity with less parameters. The 4d rank stabilization identity (5.23) is obtained specializing the \( N \) parameters \( \vec{x} \) to a geometric progression and fixing the parameter \( d \) in terms of \( t \)

\[ \vec{x} = (t^{N-1}a, t^{N-2}a, \cdots, a), \quad d = \left( \frac{pq}{t} \right)^{\frac{1}{2}} . \] \quad (C.4)

First we combine (B.13) with the mirror duality (B.8) and the flip-flip duality (B.11) to obtain

\[ \mathcal{I}_{E[\text{USp}(2N)]}(t^{N-1}a, t^{N-2}a, \cdots; \vec{x}; t; c) \]

\[ = \frac{\Gamma(e^{(\Gamma_n^u)} \prod_{j=1}^{N} \frac{\Gamma(e^{t^{-1}j \frac{1}{u}})}{\Gamma(e^{t^{-1}j \frac{1}{d}})} \prod_{j=1}^{N-1} \frac{\Gamma(e^{a c x_j^{\pm 1}})}{\Gamma(e^{a t^{-1}c x_j^{\pm 1}})} \prod_{j=1}^{N} \frac{\Gamma(e^{(\frac{c}{at^{-1}c}) x_j^{\pm 1}})}{\Gamma(e^{(\frac{c}{at^{-1}c}) x_j^{\pm 1}})} \times \prod_{i=1}^{N} \frac{\Gamma(e^{(\frac{u}{d} t^{-1}v)^{\pm 1} \cdot \frac{z_i^{\pm 1}}{1}})}{\Gamma(e^{(\frac{u}{d} t^{-1}v)^{\pm 1} \cdot \frac{z_i^{\pm 1}}{1}})} \Gamma(e^{t^{(\frac{u}{d} t^{-1}v)^{\pm 1} \cdot \frac{z_i^{\pm 1}}{1}}})}{\prod_{i=1}^{N} \frac{\Gamma(e^{(\frac{u}{d} t^{-1}v)^{\pm 1} \cdot \frac{z_i^{\pm 1}}{1}})}{\Gamma(e^{(\frac{u}{d} t^{-1}v)^{\pm 1} \cdot \frac{z_i^{\pm 1}}{1}})} . \] \quad (C.5)

Using the identities (C.5) and (B.14), we can evaluate both of the kernel functions on the l.h.s. and one of those on the r.h.s. as follows:
\begin{align}
&= \prod_{i=1}^{k} \Gamma_e \left( \frac{t^{i-1}}{u^i} \right) \Gamma_e \left( \frac{s_i}{u} \left( \frac{(pq)^{1/2}}{u^{1/2}} \right)^{i-1} \right) \Gamma_e \left( \frac{a c}{u \left( \frac{(pq)^{1/2}}{u^{1/2}} \right)^{i-1}} \right) \times \\
&\times \Gamma_e \left( \frac{c}{u t^{N-1}} \left( \frac{t^{i-1}}{u} \right)^{i-1} \right) \prod_{i=1}^{N} \Gamma_e \left( \frac{(t^{i-1} v)^{i-1}}{u} \right) \Gamma_e \left( c s_0 (t^{i-1} a)^{i-1} \right) \Gamma_e \left( c s_1 (t^{i-1} a)^{i-1} \right) \times \\
&\times \prod_{i=1}^{N-k} \Gamma_e \left( \frac{(pq)^{1/2}}{u} \right) \int d\bar{w} \mathcal{E}[USp(2(N-k))] \left( \bar{w}, \bar{y}, t; \frac{(pq)^{1/2}}{t} u \right) \\
&\times \Gamma_e (t u^{-2}) \prod_{i=1}^{N-k} \Gamma_e \left( \frac{a c}{u} w_i^{i-1} \right) \Gamma_e \left( \frac{c}{a t^{N-1} u} w_i^{i-1} \right) \frac{\Gamma_e \left( \frac{v}{u^{1/2}} \right) w_i^{i-1}}{\Gamma_e \left( \frac{(pq)^{1/2}}{u^{1/2}} w_i^{i-1} \right)} \Gamma_e \left( \frac{s_0}{u} w_i^{i-1} \right) \Gamma_e \left( \frac{s_1}{u} w_i^{i-1} \right) .
\end{align}

Now we redefine the parameters \((a, c, v, u, s_0, s_1)\) in the following way:

\[
\begin{cases}
x_1 = a c \\
x_2 = \frac{a c}{t^{N-1}} \\
x_3 = \frac{v}{w_i} \left( \frac{(pq)^{1/2}}{t} \right)^{1/2} \\
x_4 = (pq)^{1/2} t^{1/2 - k v^{-1}} \\
x_5 = s_0 \\
x_6 = s_1
\end{cases}
\]  

(C.7)

Notice that the balancing condition (C.2) becomes

\[
t^{N+k-2} \prod_{a=1}^{6} x_a = pq .
\]  

(C.8)

The system (C.7) can be inverted to get

\[
\begin{cases}
a = t^{1-N} \frac{1}{\sqrt{x_2}} \\
c = t^{N-1} \sqrt{x_1 x_2} \\
v = (pq)^{1/2} t^{1/2 - k x_4^{-1}} \\
u = \frac{1}{\sqrt{x_3 x_4}} \\
s_0 = x_5 \\
s_1 = x_6
\end{cases}
\]  

(C.9)

With this redefinition of the parameters and taking into account (C.8), we can rewrite (C.6)
as (also redefine $k \to N - k$ to compare with section 5)

$$
\int \mathcal{D}z_N \prod_{i=1}^{N} \prod_{a=1}^{6} \Gamma_e \left( x_{a} z_i^{\pm 1} \right) \prod_{j=1}^{k} \Gamma_e \left( \left( pq \right)^{1/2} t^{-1/2} z_i^{\pm 1} y_j^{\pm 1} \right) \Gamma_e \left( (pq)^{1/2} t^{-1/2} z_i^{\pm 1} y_j^{\pm 1} \right) =
$$

$$
= \prod_{j=1}^{N-k} \Gamma_e \left( t^j \right) \prod_{a<b}^{6} \Gamma_e \left( t^{j-1} x_a x_b \right) \prod_{j=N-k+1}^{N} \prod_{a<b}^{6} \Gamma_e \left( t^{j-1} x_a x_b \right) \times
$$

$$
\times \Gamma_e \left( t^{N-k} x_3 x_4 \right) \prod_{j=1}^{k} \Gamma_e \left( \left( pq \right)^{1/2} t^{-1/2} x_3 y_j^{\pm 1} \right) \Gamma_e \left( \left( pq \right)^{1/2} t^{-1/2} x_4 y_j^{\pm 1} \right) \times
$$

$$
\times \int \mathcal{D} \vec{w}_k \mathcal{D} \left[ \text{USp}(2k) \right] \left( \vec{w}_t \vec{y}_t ; \left( \frac{pq}{t^{N-k}} \right)^{1/2} \frac{1}{\sqrt{x_3 x_4}} \right) \prod_{i=1}^{N-k} \Gamma_e \left( t^{\frac{N-k}{2}} x_1 \sqrt{x_3 x_4} w_i^{\pm 1} \right) \times
$$

$$
\times \Gamma_e \left( t^{\frac{N-k}{2}} x_2 \sqrt{x_3 x_4} w_i^{\pm 1} \right) \Gamma_e \left( t^{\frac{N-k}{2}} x_5 \sqrt{x_3 x_4} w_i^{\pm 1} \right) \Gamma_e \left( t^{\frac{N-k}{2}} x_6 \sqrt{x_3 x_4} w_i^{\pm 1} \right) \times
$$

$$
\times \Gamma_e \left( t^{\frac{N-k}{2}} \left( \frac{x_3}{x_4} \right)^{\pm 1} w_i^{\pm 1} \right),
$$

(C.10)

with balancing condition

$$
t^{2N-k-2} \prod_{a=1}^{6} x_a = pq.
$$

(C.11)

This identity is already the one for the rank stabilization and it is written in a parametrization of the fugacities such that for $k = 0$ we precisely recover (2.6). Nevertheless, since in the dual theory the SU(6)$_x$ symmetry is enhanced in the IR while in the UV only an SU(4)$_a \times$ SU(2)$_b \times$ U(1)$_a$ subgroup is visible, it is useful to write the r.h.s. in terms of different fugacities so to make the UV symmetry explicitly manifest

$$
\begin{cases}
  s = \left( pq \right)^{1/2} t^{-\frac{2N-k-2}{3}} \left( x_3 x_4 \right)^{-\frac{1}{4}} \\
  b = \left( x_3 x_4 \right)^{-\frac{1}{2}} \\
  v_a = \left( \frac{2^{2N-k-2}}{pq} \right)^{1/4} \left( x_3 x_4 \right)^{1/4} x_a \\
  a = 1, 2, 5, 6
\end{cases}
$$

(C.12)

Notice that the balancing condition (C.11) translates into the SU(4)$_a$ condition $\prod_{a=1,2,5,6} v_a = 1$. Moreover, by replacing redefinition on the r.h.s. of (C.10) we can see that the fugacity $b$ indeed appears as an SU(2)$_b$ fugacity

$$
\int \mathcal{D}z_N \Gamma_e \left( t^N \prod_{i<j}^{N} \prod_{a=1}^{6} \Gamma_e \left( x_{a} z_i^{\pm 1} z_j^{\pm 1} \right) \prod_{i=1}^{k} \prod_{a=1}^{6} \Gamma_e \left( \left( pq \right)^{1/2} t^{-1/2} z_i^{\pm 1} y_j^{\pm 1} \right) \right) =
$$

$$
= \Gamma_e \left( \left( pq \right)^{1/3} t^{\frac{N-2k+2}{3}} s^{-\frac{1}{3}} \prod_{i=1}^{N-k} \prod_{a<b}^{6} \Gamma_e \left( \left( pq \right)^{1/3} t^{\frac{3i+k-2N-1}{3}} s^{-\frac{1}{3}} v_a v_b \right) \right. \times
$$

$$
\times \prod_{j=1}^{N-k} \Gamma_e \left( t^j \right) \prod_{a=1,2,5,6}^{6} \Gamma_e \left( \left( pq \right)^{1/3} t^{\frac{3j+k-2N-1}{3}} s^{-\frac{1}{3}} b^{\pm 1} \right) \times
$$

$$
\left. \times \Gamma_e \left( \left( pq \right)^{2/3} t^{\frac{3j+k-2N-1}{3}} s^{-\frac{2}{3}} y_j^{\pm 1} \right) \right) \right) \times
$$

(C.10)
\begin{align}
\times \int \! d\vec{w} \, \mathcal{I}_{E[\text{USp}(2k)]} \left( \vec{w}; \vec{y}; t; (pq)^{1/3} t^{2k-N-2} s^2 \right) \times \\
\times \Gamma_e \left( \frac{N-k+1}{2} b^{\pm 1} w_i^{\pm 1} \right) \prod_{i=1}^{k} \prod_{a=1,2,5,6} \Gamma_e \left( (pq)^{1/3} t^{\frac{1-N-k}{6}} s^{-1} v_a w_i^{\pm 1} \right). \quad (C.13)
\end{align}

Up to the reparametrization: \( v_{1,2,5,6} \rightarrow v_{1,2,3,4} \) and \( x_a \rightarrow (pq)^{1/3} t^{-\frac{2N-k-2}{6}} x_a \), this is exactly (5.23).

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