Role of Diffusion-Weighted Imaging in the Evaluation of Post-Treatment Tumor Response in Rectal Carcinoma

Pir Abdul Ahad Aziz Qureshi 1, Javaria Aleem 1, Nadia Mushtaq 1, Muhammad Asif Noor 2, Imran Khalid Niazi 1, Muhammad Omer Altaf 1

1. Department of Radiology, Shaukat Khanum Memorial Cancer Hospital and Research Centre, Lahore, PAK 2. Department of Surgical Oncology, Shaukat Khanum Memorial Cancer Hospital and Research Centre, Lahore, PAK

Corresponding author: Pir Abdul Ahad Aziz Qureshi, abdulahad.q@gmail.com

Abstract

Introduction
Rectal cancer has become a major cause of mortality worldwide. Imaging has a primary role in staging and assessing the response to therapy. MRI is superior to all other modalities in local staging of the rectal tumor and in predicting tumor response. Pelvic MRI has an undeniable role in the therapeutic management of rectal cancer, particularly for the determination of the circumferential resection margin (CRM), evaluation of sphincter invasion, and assessment of the extramural vascular invasion. Post–chemoradiotherapy (CRT) staging aims at assessing treatment response and choosing methods for further treatment such as surgical resection or extended CRT. MRI with diffusion restriction is a non-invasive and useful tool for assessing the treatment response of locally advanced lower rectal cancer. It will reduce the burden of extensive abdominoperineal resection (APR) surgery in patients.

Objective
The purpose of this study was to determine the role of diffusion-weighted imaging (DWI) in the evaluation of post-treatment tumor response in rectal carcinoma.

Materials and methods
The study was approved by our institutional review board, which waived the requirement for informed consent. The clinical data of all the patients treated for rectal carcinoma at the Shaukat Khanum Memorial Cancer Hospital and Research Centre, Lahore between February 1, 2014, and February 28, 2019, were retrospectively evaluated. The inclusion criteria were as follows: (1) patients with histopathologically proven rectal adenocarcinoma, (2) those who underwent APR before February 2019 at our hospital, and (3) those who underwent MRI including DWI/apparent diffusion coefficient (ADC) imaging before and after CRT. Those patients who had upfront surgery without neoadjuvant CRT and those who did not have MRI imaging with DWI/ADC were excluded from the study.

Results
A total of 200 patients who fulfilled the inclusion criteria were included in this study. Among those, 141 were males and 59 were females. On histology, 110 had moderately differentiated adenocarcinoma, 25 had well-differentiated adenocarcinoma, and 65 had poorly differentiated adenocarcinomas. Overall diagnostic accuracy of DWI MRI sequence was calculated to be 91%, while the sensitivity was 98.09%, specificity was 65.12%, positive predictive value was 91.12%, and negative predictive value was 90.32%.

Conclusion
DWI was proven to be very useful in the post-treatment evaluation of tumor response with very high diagnostic accuracy.

How to cite this article
Qureshi P, Aleem J, Mushtaq N, et al. (August 26, 2021) Role of Diffusion-Weighted Imaging in the Evaluation of Post-Treatment Tumor Response in Rectal Carcinoma. Cureus 13(8): e17471. DOI 10.7759/cureus.17471

Keywords: rectal cancer, mri rectum, diffusion-weighted imaging (dwi), concurrent chemoradiotherapy, tumor imaging
or a "wait and watch" policy [2-4]. Currently, MRI with diffusion-weighted imaging (DWI) is being increasingly used for restaging in the post-CRT rectal tumors as it increases the accuracy of residual disease detection [5-8]. In light of this, the purpose of this study was to identify the role of DWI in the evaluation of post-treatment tumor response in rectal carcinoma.

**Materials And Methods**

**Patient selection**

The study was approved by our institutional review board, which waived the requirement for informed consent. The clinical data of all the patients treated for rectal carcinoma at the Shaukat Khanum Memorial Cancer Hospital and Research Centre, Lahore between February 1, 2014, and February 28, 2019, were retrospectively evaluated. The inclusion criteria were as follows: (1) patients with histopathologically proven rectal adenocarcinoma, (2) those who underwent abdominoperineal resection (APR) before February 2019 at our hospital, and (3) those who underwent MRI including DWI/apparent diffusion coefficient (ADC) imaging before and after CRT. Patients who had undergone upfront surgery without neoadjuvant CRT and those who did not have MRI with DWI/ADC were excluded from the study. Subsequently, 200 patients who fulfilled the inclusion criteria were included in the study.

**MRI technique**

All selected patients had undergone MRI pelvis without contrast as baseline workup and after CRT. All pre- and post-CRT imaging were performed on a 1.5 Tesla MRI (Philips Ingenia, Philips Healthcare, Best, Netherlands) and 3.0 Tesla MRI (Siemens Magnetom Vida, Siemens Healthineers AG, Erlangen, Germany) systems. Bowel preparation with purgative and six hours of fasting before scanning time was done. The MRI protocol included the multiparametric MRI sequences with DWI/ADC. A T2-weighted fast spin-echo sequence, a T1-weighted spin-echo sequence, and an oblique axial DWI sequence were acquired. Routine axial, sagittal, and coronal images were also obtained. We used b factor of 0 and 1000 sec/mm$^2$ to obtain high b-value DWI images. The total examination time was approximately 30 minutes. The average interval between post-CRT MRI and surgery was eight weeks (range: 1-12 weeks).

**Image interpretation**

MRI images of all the selected patients were reviewed retrospectively on a picture archiving and communication system workstation monitor by two Radiology fellows (from Body Imaging and PET-CT subspeciality) independently; this was supervised by one Radiology consultant having experience of more than 10 years. The Radiology fellows and Radiology consultants were blinded to the previous MRI reports and surgical and pathological outcomes. The location of the tumor was categorized as the distal rectum and anal canal (within 4.0 cm of the anal verge, the anal verge is the lowest part of the anal canal that starts where the skin ends and the anal mucosa starts), distal rectum (within 4.1-8.0 cm of the anal verge), middle rectum (within 8.1-12.0 cm of the anal verge), proximal rectum (within 12.1-16.0 cm of the anal verge), and whole rectum. On the pre-treatment MRI scan, the tumor stage, T2 tumor signals, and diffusion restriction were identified. Tumor response was determined according to the Response Evaluation Criteria in Solid Tumours (RECIST) guidelines. Complete response (CR) was predefined as the disappearance of measurable tumor volume. The absence of residual disease was also defined as CR. The absence of tumor signals on T2 and DWI was also defined as CR or no residual disease. Partial response (PR) was defined as a 30% reduction in the tumor’s longest dimension. Progressive disease (PD) was defined as a 20% increase in the longest dimension. Stable disease was predefined as no response that failed to meet the criteria of CR, PR, and PD.

**Statistical analysis**

Analysis was done using SPSS Statistics version 25 (IBM, Armonk, NY). We calculated percentages of different responses of tumors to neoadjuvant therapy. Tumor response and pathological response correlation were determined by the Chi-square test. Diagnostic accuracy, sensitivity, and specificity of MRI in determining tumor response were calculated; a 95% confidence interval was used to determine statistical precision, and p-values were calculated. The diagnostic accuracy of the DWI sequence was calculated using the receiver operating characteristic (ROC) curve analysis.

**Results**

**Patient demographics**

A total of 200 patients were included in this study, with an age range of 21-73 years; all of them underwent low APR. Of these 200 patients, 141 were males and 59 were females. The average interval between post-CRT MRI and surgery was eight weeks (range: 1-12 weeks). The location of tumor was categorized as distal rectum and anal canal (n=85), distal rectum (n=41), middle rectum (n=48), proximal rectum (n=16), and whole rectum (n=12). All patients underwent pre-treatment colonoscopy-guided biopsy. On histology, 110 had moderately differentiated adenocarcinoma, 25 had well-differentiated adenocarcinoma, and 65 had poorly differentiated adenocarcinomas. Both the pre-CRT local staging based on MRI and the post-CRT pathologic staging of the cases are summarized in Table 1.
Pre-CRT/APR

| MRI staging | T2 | T3 | T4 | Total |
|-------------|----|----|----|-------|
| N0          | 1  | 16 | 0  | 17    |
| N1          | 8  | 91 | 9  | 108   |
| N2          | 3  | 66 | 6  | 75    |
| Total       | 12 | 173| 15 |       |

Post-CRT/APR

| Pathology staging | T2 | T3 | T4a | No residual | Total |
|-------------------|----|----|-----|-------------|-------|
| N0                | 33 | 31 | 0   | 34          | 98    |
| N1                | 13 | 25 | 6   | 4           | 48    |
| N2                | 4  | 41 | 9   | 0           | 54    |
| Total             | 50 | 97 | 15  | 38          | 200   |

**TABLE 1: Radiological and pathological tumor staging of study cases**

CRT: chemoradiotherapy; APR: abdominoperineal resection; MRI: magnetic resonance imaging

**Evaluation of tumor response**

After neoadjuvant CRT, tumor response was evaluated on a post-CRT MRI scan including DWI and ADC sequences. We determined the response on the basis of decrease in the tumor size, signal change on T2WI sequence, presence of necrosis within the tumor, which appears bright on T2WI, and the absence of diffusion restriction on DWI. Some cases showed no measurable disease on the T2 sequence but diffusion restriction was seen on the DWI sequence, and those cases were labeled as PR. We called CR on an MRI scan when no measurable disease was present on the T2 sequence and no diffusion restriction was detected. Post-CRT MRI results were compared with post-APR histopathology results. A total of 200 cases were evaluated. There was a statistically significant correlation between MRI tumor response grading and pathological grading (p=0.000). Out of these 200 cases, 28 (14%) cases showed no residual disease on post-CRT MRI scans. Post-APR histopathology of these cases confirmed the absence of the disease; 15 (7.5%) cases showed residual disease on MRI but they were negative on post-APR histopathology. Of note, 154 (77%) cases showed the presence of residual disease on MRI as well as on post-APR histopathology. Out of these 154 cases, 12 (6%) had SD, 135 (67.5%) showed PR, and seven (3.5%) had PD. Three (1.5%) cases showed absent disease on MRI but post-APR histopathology residual disease was present in them. MRI DWI tumor response was significantly correlated with the pathological response (p=0.000). Overall diagnostic accuracy of DWI MRI sequence was calculated to be 91%; the sensitivity was 98.09%, specificity was 65.12%, positive predictive value was 91.12%, and negative predictive value was 90.32%.

**Diagnostic performance of DWI to identify complete response**

On post-neoadjuvant CRT MRI scan, the DWI sequence correctly identified CR in 28 cases based on the absence of bright signals on DWI on b-1000; 15 cases were false positive (FP) on post-CRT MRI. The reason for these false-positive cases on MRI could be the short time interval between post-CRT MRI scan acquisition and completion of neoadjuvant CRT, which led to misinterpretation of an area of high signal intensity at the location of the primary tumor as residual disease on post-CRT DWI images; additionally, some tumors had mucinous features, which also misled interpretation. The accuracy of DWI in the interpretation of the tumor response is presented in the ROC curve, which shows an area under the curve (AUC) of 0.800. This means that the accuracy of post-CRT DWI in determining the presence or absence of tumors was 80%, which was statistically significant (p=0.0001) (Figure 1, Table 2).
### FIGURE 1: Area under the ROC curve (AUC)

DWI: diffusion-weighted imaging; ROC: receiver operating characteristic

| Variables                              | Values        |
|----------------------------------------|---------------|
| Area under the ROC curve (AUC)         | 0.800         |
| Standard error                         | 0.0378        |
| 95% confidence interval                | 0.738 to 0.853|
| z statistic                            | 7.937         |
| Significance level p-value (area=0.5)  | <0.0001       |

### TABLE 2: The accuracy of DWI in the ROC curve

DeLong et al., 1988 [9]; binomial exact

DWI: diffusion-weighted imaging; ROC: receiver operating characteristic

Figure 2 and Figure 3 present the pre-CRT and post-CRT images respectively.
Discussion

Rectal cancer is one of the most common malignancies of the gastrointestinal tract throughout the world, and it usually affects the elderly population who is above 50 years of age with a slightly higher incidence in males [10,11]. It has a high mortality rate due to the increased risk of recurrence and metastases [11]. Histologically, 98% of rectal cancers are adenocarcinoma [10]; therefore, this article primarily focused on rectal adenocarcinoma. These patients usually present with bleeding per rectum, painful defecation, and altered bowel habits [12].

Radiology plays a vital role in staging and restaging the rectal carcinoma and deciding on the next appropriate management step. The rectal tumors are usually staged and restaged on MRI. Recently, the use of DWI for restaging rectal cancer has been gaining popularity; therefore, in this study, we evaluated the role of DWI in post-CRT rectal cancers.

DWI is a sequence of MRI that measures the random Brownian motion of the water molecules within a tissue. Therefore, the changes in tissue cellularity and/or composition would affect the diffusion of the water molecules, which is then measured quantitatively using DWI. In clinical practice, DWI is most commonly acquired by the single-shot echo-planer imaging (SS-EPI) technique.

In our study, we observed that DWI is of great importance in the evaluation of post-treatment tumor response, i.e., a diagnostic accuracy of 91%. Similar results were also observed by Kim et al., i.e., 85 and 82% accuracy as observed by two different reviewers respectively [5]. The use of DWI to monitor ADC values in the advanced rectal cancer patients undergoing treatment was also observed by Krems et al. [13] and Hein et al. [14]. We also observed in our study that the mean ADC values were significantly higher in patients who had a CR as compared to those who did not have CR. Kim et al. also noted a similar trend in the ADC values. Additionally, they also observed that ADC values in the mucinous tumors were also higher when compared
to the adenocarcinomas. Hence, careful observation of the ADC values also plays an important role in the determination of CR in this histological category [5]. Furthermore, it is very difficult to anticipate the residual disease from inactive mucin in mucinous rectal cancers on conventional MR images [5,15]. Apart from the evaluation of tumor response in the primary tumor, DWI can also be used to evaluate the nodal metastases [1]. In their study, van Heeswijk et al. also advocated the supporting role of DWI in the selection of patients for organ preservation after CRT and suggested that the absence of lymph nodes in locally advanced rectal tumors after neoadjuvant CRT on restaging DWI can be a reliable predictor of negative nodal status [1].

Therefore, based on our findings, we believe that DWI plays a vital role in the evaluation of post-treatment tumor response. However, there are still some limitations in using this sequence. Firstly, the spatial resolution of DWI is limited, and the poor signal-to-noise ratio of high b-value images makes it impossible to identify the different layers of the rectal wall [16]. Secondly, DWI is imprecise in differentiating CR from near-CR as well as in the differentiation of the residual tumor from inactive mucin [5].

This study has some limitations, which need to be considered. Firstly, the design of the study we conducted was retrospective, and secondly, the number of patients was relatively small.

Conclusions
The results of our study suggest that DWI is very useful in the evaluation of post-treatment tumor response with excellent diagnostic accuracy. Furthermore, DWI is also very valuable in the evaluation of metastatic nodes, and the absence of nodal disease on the DWI is a reliable predictor of negative nodal metastases. Therefore, DWI also aids in making an appropriate treatment plan and helps in the selection of patients for organ preservation after CRT.

Additional Information
Disclosures
Human subjects: Consent was obtained or waived by all participants in this study. Shaukat Khanum Memorial Trust IRB issued approval EX-22-01-19-01. This study has been approved by the Shaukat Khanum Memorial Trust IRB. Animal subjects: All authors have confirmed that this study did not involve animal subjects or tissue. Conflicts of interest: In compliance with the ICMJE uniform disclosure form, all authors declare the following: Payment/services info: All authors have declared that no financial support was received from any organization for the submitted work. Financial relationships: All authors have declared that they have no financial relationships at present or within the previous three years with any organizations that might have an interest in the submitted work. Other relationships: All authors have declared that there are no other relationships or activities that could appear to have influenced the submitted work.

References
1. van Heeswijk MM, Lambregts DM, Palm WM, Hendriks BM, Maas M, Beets GL, Beets-Tan RG: DWI for assessment of rectal cancer nodes after chemoradiotherapy: is the absence of nodes at DWI proof of a negative nodal status? A JR Am J Roentgenol. 2017, 208:W79-84. 10.2214/AJR.16.17117
2. Atef Y, Koedam TW, van Oostendorp SE, Bonjer HJ, Wijsmuller AR, Tuyman JB: Lateral pelvic lymph node metastases in rectal cancer: a systematic review. World J Surg. 2019, 43:5198-206. 10.1007/s00268-019-05155-3
3. Maas M, Nelemann PJ, Valenti V, et al.: Long-term outcome in patients with a pathological complete response after chemoradiation for rectal cancer: a pooled analysis of individual patient data. Lancet Oncol. 2010, 11:835-44. 10.1016/S1470-2045(10)70172-8
4. Fornell-Perez R, Perez-Alonso E, Aleman-Flores P, Lozano-Rodriguez A, Loro-Ferrer JF: Nodal staging in the rectal cancer follow-up MRI after chemoradiotherapy: use of morphology, size, and diffusion criteria. Clin Radiol. 2020, 75:100-7. 10.1016/j.crad.2019.08.005
5. Kim SH, Lee JM, Hong SH, Kim GH, Lee JY, Han JK, Choi BI: Locally advanced rectal cancer: added value of diffusion-weighted MR imaging in the evaluation of tumor response to neoadjuvant chemoradiotherapy. Radiology. 2009, 253:116-25. 10.1148/radiol.2532090027
6. Garcia-Aguilar J, Hernandez de Anda E, Sirivongs P, Lee SH, Madoff RD, Rothenberger DA: A pathologic complete response to preoperative chemoradiation is associated with lower local recurrence and improved survival in rectal cancer patients treated by mesorectal excision. Dis Colon Rectum. 2005, 48:298-304. 10.1007/s10350-004-4545-8
7. Hughes R, Glynn-Jones R, Grainger J, et al.: Can pathological complete response in the primary tumour following pre-operative pelvic chemoradiotherapy for T3-T4 rectal cancer predict for sterilisation of pelvic lymph nodes, a low risk of local recurrence and the appropriateness of local excision?. Int J Colorectal Dis. 2006, 21:11-7. 10.1007/s00384-005-0749-y
8. Bonnen M, Crane C, Vauthey JN, et al.: Long-term results using local excision after preoperative chemoradiation among selected T3 rectal cancer patients. Int J Radiat Oncol Biol Phys. 2004, 60:1098-105. 10.1016/j.ijrobp.2004.04.062
9. DeLong ER, DeLong DM, Clarke-Pearson DL: Comparing the areas under two or more correlated receiver operating characteristic curves: a nonparametric approach. Biometrics. 1988, 44:837-45.
10. Ateef J, Qureshi PAA, Yaseen MT, Siddique K, Babar A: Rectal tumor staging MRI. How effective is it in
characterization of depth of extramural invasion and extramural vascular invasion. Pak J Radiol. 2019, 29:102-7.

11. Iafrate F, Laghi A, Paolantonio P, et al.: Preoperative staging of rectal cancer with MR Imaging: correlation with surgical and histopathologic findings. Radiographics. 2006, 26:701-14. 10.1148/rg.265055086

12. Halperin EC, Perez CA, Brady LW: Perez and Brady’s Principles and Practice of Radiation Oncology, Fifth Edition. Lippincott Williams & Wilkins, Philadelphia, PA; 2008.

13. Kremser C, Judmaier W, Hein P, Griebel J, Lukas P, de Vries A: Preliminary results on the influence of chemoradiation on apparent diffusion coefficients of primary rectal carcinoma measured by magnetic resonance imaging. Strahlenther Onkol. 2003, 179:641-9. 10.1007/s00066-003-1045-9

14. Hein PA, Kremser C, Judmaier W, et al.: Diffusion-weighted magnetic resonance imaging for monitoring diffusion changes in rectal carcinoma during combined, preoperative chemoradiation: preliminary results of a prospective study. Eur J Radiol. 2003, 45:214-22. 10.1016/s0720-048x(02)00231-0

15. Allen SD, Padhani AR, Dzik-Jurasz AS, Glynne-Jones R: Rectal carcinoma: MRI with histologic correlation before and after chemoradiation therapy. AJR Am J Roentgenol. 2007, 188:442-51. 10.2214/AJR.05.1967

16. Brown G, Radcliffe AG, Newcombe RG, Dallimore NS, Bourne MW, Williams GT: Preoperative assessment of prognostic factors in rectal cancer using high-resolution magnetic resonance imaging. Br J Surg. 2005, 92:555-64. 10.1002/bjs.4054