Abstract

We study compactifications of eleven-dimensional supergravity on a Calabi-Yau threefolds times a circle, with a duality twist along the circle a la Scherk-Schwarz. This leads to four-dimensional $\mathcal{N} = 2$ gauged supergravity with a semi-positive definite potential for the scalar fields, which we derive explicitly. Furthermore, inspired by the orientifold projection in string theory, we define a truncation to $\mathcal{N} = 1$ supergravity. We determine the D-terms, Kähler- and superpotentials for these models and study the properties of the vacua. Finally, we point out a relation to M-theory compactifications on seven-dimensional manifolds with $G_2$ structure.
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1 Introduction and motivation

Scherk-Schwarz reductions [1, 2] provide a way to construct gauged supergravities from higher dimensional ungauged ones. They typically lead to semi-positive definite potentials for the scalar fields with local minima that can describe Minkowski or de Sitter vacua. Such models have been studied intensely over recent times in the context of compactifications of string- and M-theory, with and without fluxes. For some background material and earlier references, see e.g. [3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14].

Two classes of Scherk-Schwarz reductions are usually considered: the case of twisted tori (or twistings of the cohomology of other manifolds), and the case of reductions over a circle with a duality twist along the circle. Sometimes, these two classes are related to each other, and reductions with duality twists can be understood in terms of compactifications on twisted tori. For a discussion on this, see e.g. [12]. This relation will also appear in our investigation, as we will discuss, although we focus primarily on reductions with a duality twist.

In this paper, we present a detailed study of a Scherk-Schwarz reduction of eleven-dimensional supergravity compactified on a Calabi-Yau threefold, denoted by $X$, times a circle, with a duality twist along the circle. Equivalently, this model can be formulated as a compactification on a seven-dimensional manifold, which is a Calabi-Yau fibration over a circle. This yields gauged $\mathcal{N} = 2$ supergravity in four dimension with a scalar potential for the vector- and hypermultiplet scalars. Moreover, there appear Chern-Simons like terms in four dimensions consistent with $\mathcal{N} = 2$ supersymmetry, induced from the Chern-Simons terms in five dimensions. These models have also been investigated in [9, 15], which we reproduce and elaborate on, and extend to include also the hypermultiplet sector.

The second part of the paper deals with truncations of our models from $\mathcal{N} = 2$ to $\mathcal{N} = 1$ supersymmetry. Inspired by the rules of the orientifold projection in string theory, we define a truncation of eleven-dimensional supergravity on $\mathcal{Y} = X \times S^1$ to $\mathcal{N} = 1$ supergravity in four dimensions. In the absence of the duality twist, our rules are consistent with the results from compactifications of type IIA strings on Calabi-Yau orientifolds [16]. Here, we study the extension of this truncation to the case when the duality twist is non-trivial. On top of the Kähler potential, this yields a class of superpotentials and D-terms which we compute explicitly. It leads to formulas (5.41) and (5.40), which form one of the main new results in this paper. Alternatively, in the picture of the compactification on the seven-dimensional manifold $\mathcal{Y}$, the $\mathcal{N} = 1$ supergravity is described by the Kähler
potential
\[ \mathcal{K} = - \log [8R^3] - 2 \log \left[ 2\sqrt[4]{R^{-1}} \int \text{Re}(C\Omega) \wedge \ast_7 \text{Re}(C\Omega) \right], \quad (1.1) \]
and superpotential
\[ W = \frac{1}{4} \int \left( C_3 + i\sqrt{8} \text{Re}(C\Omega) \right) \wedge d \left( C_3 + i\sqrt{8} \text{Re}(C\Omega) \right), \quad (1.2) \]
where \( R \) denotes the radius of the circle, \( V \) is the volume of the Calabi-Yau threefold \( \mathcal{X} \) while \( \Omega \) represents its holomorphic three-form, and \( C_3 \) is the three-form of eleven-dimensional supergravity. Due to the truncation, \( \Omega \) loses some degrees of freedom and the remaining ones are contained in \( \text{Re}(C\Omega) \), where the compensator \( C \) will be defined in (5.18). Interestingly, similar formulas for the superpotential have also been obtained in the context of (flux) compactifications of M-theory on \( G_2 \)-manifolds, see e.g. [17, 18, 13], building on earlier work [19, 20]. This suggests a connection between those models and the ones considered here, which we will discuss in more detail towards the end of this paper.

2 M-theory on Calabi-Yau manifolds

In this section, we review aspects of compactifications of eleven-dimensional supergravity on Calabi-Yau threefolds. Almost all material in this section is known, and collected from various places in the literature, which we refer to below. We give this review to recall some of the duality symmetries in five dimensions, and to set our notation for subsequent sections. The reader who is very familiar with five-dimensional matter coupled to \( \mathcal{N} = 2 \) supergravity might skip this section and go straight to section 3 where we present the Scherk-Schwarz reduction to four dimensions.

The low-energy limit of M-theory can be described in terms of eleven-dimensional supergravity. In form-notation, the bosonic part of this action reads [21]
\[ \hat{S} = \frac{1}{2} \int \left( \hat{R} \ast 1 - \frac{1}{2} \hat{F}_4 \wedge \ast \hat{F}_4 - \frac{1}{6} \hat{F}_4 \wedge \hat{F}_4 \wedge \hat{C}_3 \right). \quad (2.1) \]
Here, \( \hat{R} \) denotes the eleven-dimensional Ricci scalar and \( \ast \) stands for the eleven-dimensional Hodge star operator. Furthermore, \( \hat{C}_3 \) is a three-form potential, \( \hat{F}_4 = d\hat{C}_3 \) denotes the corresponding field strength and we have set the eleven-dimensional Planck constant to one.

In the following, we compactify M-theory on a simply-connected Calabi-Yau three-fold \( \mathcal{X} \), which leads to a supergravity theory in five dimensions with eight supercharges [22].
2.1 Calabi-Yau manifolds and dimensional reduction

Notation

We begin by establishing some notation for the Calabi-Yau three-fold $\mathcal{X}$. Let us denote a basis of harmonic $(1,1)$-forms on $\mathcal{X}$ by

$$\omega_A, \quad A = 1, \ldots, h^{1,1},$$

where here and in the following $h^{p,q}$ denote the Hodge numbers of the Calabi-Yau threefold. The triple intersection numbers for $\mathcal{X}$ are defined by

$$K_{ABC} = \int_{\mathcal{X}} \omega_A \wedge \omega_B \wedge \omega_C.$$  \hfill (2.3)

For the third cohomology group $H^3(\mathcal{X})$ we denote a real basis by

$$\{\alpha_K, \beta^L\}, \quad K, L = 0, \ldots, h^{2,1},$$

which is chosen such that

$$\int_{\mathcal{X}} \alpha_K \wedge \beta^L = \delta^K_0, \quad \int_{\mathcal{X}} \alpha_K \wedge \alpha_L = 0, \quad \int_{\mathcal{X}} \beta^K \wedge \beta^L = 0.$$  \hfill (2.5)

The Calabi-Yau threefold is endowed with a Kähler form $J$ and a holomorphic three-form $\Omega$. In terms of the bases (2.2) and (2.4), these can be decomposed in the following way

$$J = v^A \omega_A, \quad \Omega = Z^K \alpha_K - G^K \beta^K,$$

where the expansion coefficients $v^A$ are real. The functions $(Z^K, G^K)$ are the holomorphic sections of special geometry and depend on the complex structure moduli $z^r$ of the Calabi-Yau manifold, where $r = 1, \ldots, h^{2,1}$. The volume of $\mathcal{X}$ can be expressed in terms of the Kähler form $J$ as follows

$$\mathcal{V} = \frac{1}{3!} \int_{\mathcal{X}} J \wedge J \wedge J = \frac{1}{3!} K_{ABC} v^A v^B v^C.$$  \hfill (2.7)

Ansatz for the compactification

To perform the dimensional reduction of the action (2.1), we make the following ansatz for the eleven-dimensional metric

$$\hat{G}_{MN} = \begin{pmatrix} \hat{g}_{\bar{\mu}\bar{\nu}} & 0 \\ 0 & G_{mn} \end{pmatrix}, \quad \bar{\mu}, \bar{\nu} = 0, \ldots, 4, \quad m, n = 1, \ldots, 6.$$  \hfill (2.8)
where \( \tilde{g}_{\bar{\beta} \bar{\rho}} \) denotes a five-dimensional metric and \( G_{mn} \) is the metric of a Calabi-Yau threefold. For the three-form potential, we chose the expansion

\[
\hat{C}_3 = \tilde{c}_3 + A^A \wedge \omega_A + C_3, \quad C_3 = \sqrt{2} \xi^K \alpha_K - \sqrt{2} \bar{\xi}_K \beta^K,
\]  

(2.9)

with \( \tilde{c}_3(\tilde{x}^\mu) \) a three-form in five dimensions which depends solely on the five-dimensional coordinates \( \tilde{x}^\mu \). Similarly, \( A^A(\tilde{x}^\mu) \) are five-dimensional one-forms while \( \xi^K(\tilde{x}^\mu) \) and \( \bar{\xi}_K(\tilde{x}^\mu) \) are five-dimensional scalars. Note that since the pure Calabi-Yau part \( C_3 \) features in the superpotential (1.2), we have separated these terms from \( \tilde{c}_3 \) and \( A^A \).

**Five-dimensional supergravity**

Performing the dimensional reduction to five dimensions is straightforward and is briefly reviewed in appendix A. The resulting five-dimensional low-energy-effective action has been presented in equation (A.15) which we recall for convenience [23, 24]

\[
S_5 = \int_{\mathbb{R}^4} \left[ + \frac{1}{2} R(5) \star_5 1 - \frac{1}{4} d \log V \wedge \star_5 d \log V + \frac{1}{4} K_{ABC} \nu^C d \nu^A \wedge \star_5 d \nu^B \\
+ \frac{1}{4} \left( K_{ABC} \nu^C - \frac{1}{4} K_{ACD} \nu^C \nu^D K_{BEF} \nu^E \nu^F \right) d A^A \wedge \star_5 d A^B \\
- \frac{1}{12} K_{ABC} d A^A \wedge d A^B \wedge A^C - G_{\tau \pi} d z^\tau \wedge \star_5 d \bar{z}^\pi \\
- \frac{1}{4 V^2} \left( da + \xi^K d \bar{\xi}_K - \bar{\xi}_K d \xi^K \right) \wedge \star_5 \left( da + \xi^L d \bar{\xi}_L - \bar{\xi}_L d \xi^L \right) \\
+ \frac{1}{2V} (\text{Im } \mathcal{M})^{-1} K L \left( d \bar{\xi}_K - \mathcal{M}_{KN} d \xi^N \right) \wedge \star_5 \left( d \xi_L - \bar{\mathcal{M}}_{LM} d \xi^M \right) \right].
\]  

(2.10)

The first term in this expression is the five-dimensional Ricci scalar, \( V \) is the volume of the Calabi-Yau manifold and \( K_{ABC} \) denote the triple intersection numbers defined in (2.3). The matrix \( G_{\tau \pi} \) as well as the period matrix \( \mathcal{M} \) have been introduced in appendix A.

The scalars \( \nu^A \) are related to the expansion coefficients \( v^A \) of the Kähler form \( J \) by a rescaling with the volume (see equation (A.14)), such that they satisfy

\[
\frac{1}{6} K_{ABC} \nu^A \nu^B \nu^C = 1.
\]  

(2.11)

Thus, there are \( h^{1,1} - 1 \) scalar degrees of freedom in these fields. Accordingly, the vector fields \( A^A \) comprise the graviphoton and \( h^{1,1} - 1 \) additional vector fields to form five-dimensional vector multiplets. The remaining scalar fields \( \{ V, a, z^\tau, \bar{z}^\pi, \xi^K, \bar{\xi}_K \} \) form \( h^{2,1} + 1 \) hypermultiplets that parametrize a quaternion-Kähler manifold [22].
2.2 Symmetries of the five-dimensional theory

2.2.1 Symmetries in the vector multiplet sector

We begin our discussion on the symmetries of (2.10) with the vector multiplets. Besides the usual gauge invariances acting on the vector potentials, there are additional symmetries in the scalar sector. In particular, the scalars in the vector multiplets parametrize a so-called real special geometry, whose isometries have been studied in [23]. As explained in [25], not all isometries extend to symmetries of the full Lagrangian, but only transformations

\[ \delta \nu^A = M^A_{\ B} \nu^B, \quad \delta A^A = M^A_{\ B} A^B, \]  

(2.12)

where the constant, real matrix \( M^A_{\ B} \) is subject to the constraint

\[ 0 = \mathcal{K}_{D(AB)M^D_C} = \mathcal{K}_{DBC}M^D_A + \mathcal{K}_{ADC}M^D_B + \mathcal{K}_{ABD}M^D_C, \]

(2.13)

lead to symmetries of the full action, including the Chern-Simons terms.

Generically, the real special manifolds parametrized by the scalars in the vector multiplets need not be homogeneous, and solutions to (2.13) are not known in general. However, for homogeneous spaces a classification can be found in [26, 27]. A special subclass of the latter is given by the manifolds

\[ SO(1,1) \times \frac{SO(n+1,1)}{SO(n+1)}, \]

(2.14)

for any integer \( n \), with isometry group \( SU(1,1) \times SO(n+1,1) \). This case arises in compactifications in which the Calabi-Yau manifold is a \( K3 \)-fibration over a base \( P^1 \). In the present context, this situation has been studied in [15].

2.2.2 Symmetries in the hypermultiplet sector

Notation To study the isometries for the hypermultiplets, we first introduce some notation. The hypermultiplet scalars were given by \( \{ \nu, a, z^r, \bar{z}^r, \xi^K, \bar{\xi}_K \} \), which parametrize a particular type of quaternionic manifolds called ‘very special’ in [27].

Since we consider M-theory on a Calabi-Yau manifold, the subspace of complex structure deformations \( z^r \) is described by special Kähler geometry, for which there exists a prepotential. In the large complex structure limit, it is given by \(^1\)

\[ G(Z) = -\frac{1}{3!} d_{rst} \frac{Z^r Z^s Z^t}{Z^0}, \quad r, s, t = 1, \ldots, h^{2,1}. \]

(2.15)

\(^1\)We reserve the usual notation \( F \) and \( X \) for the special geometry in the vector multiplets.
Here, $d_{rst}$ is a real symmetric tensor, the $Z^K_r$ appear in the expansion (2.6) of the holomorphic three-form $\Omega$. The connection to the scalars $z^r$ is made by introducing projective coordinates

$$z^r = \frac{Z^r}{Z^0}, \quad r = 1, \ldots, h^{2,1}. \quad (2.16)$$

The corresponding Kähler potential reads

$$K^{cs} = -\ln \left( i \int_{\mathcal{X}} \Omega \wedge \overline{\Omega} \right) = -\ln \left( \frac{4}{3} |Z^0|^2 d \right), \quad (2.17)$$

where here and in the following we employ the notation

$$d = d_{rst} x^r x^s x^t, \quad d_r = d_{rst} x^s x^t, \quad d_{rs} = d_{rst} x^t, \quad (2.18)$$

with $x^r = \text{Im } z^r$. From (2.17), we can then compute the Kähler metric as

$$G_{r\bar{s}} = \frac{\partial^2}{\partial z^r \partial \overline{z}^s} K^{cs} = -\frac{3}{2} \frac{d_{rs}}{d} + \frac{9}{4} \frac{d_r d_s}{d^2}. \quad (2.19)$$

With $G^{r\bar{s}}$ denoting the inverse of (2.19), the curvature for this metric can be computed as follows [27]

$$R_{r\bar{s}t}^v = \delta^{r}_{\bar{s}} \delta^{t}_{\bar{v}} + \delta^{r}_{\bar{v}} \delta^{t}_{\bar{s}} - \frac{4}{3} C^{r\bar{s}u} d_{stu}, \quad \text{where} \quad C^{r\bar{s}t} = \frac{27}{64} \frac{1}{d^2} G^{r\bar{r}} G^{s\bar{s}} G^{t\bar{t}} d_{uvw}. \quad (2.20)$$

**Symmetries for $z^r$** Since the scalars $z^r$ appearing in the action (2.10) can be described by a Kähler potential, their kinetic term is invariant provided that (2.17) does not change under the transformations of interest.\footnote{Strictly speaking, (2.17) should be invariant up to Kähler transformations, but we will ignore those in the present analysis.} We then make the following ansatz for the transformation of the sections $(Z^K, G^K)$ appearing in the holomorphic three-form $\Omega$

$$\delta \begin{pmatrix} Z^K \\ G^K \end{pmatrix} = \begin{pmatrix} Q^K_L \\ S_{KL} \\ R^{KL} \\ T^L_K \end{pmatrix} \begin{pmatrix} Z^L \\ G_L \end{pmatrix}, \quad \text{where} \quad Q, R, S \text{ and } T \text{ are constant, real, square matrices of dimension } h^{2,1} + 1. \quad (2.21)$$

Imposing the invariance of the Kähler potential (2.17) under this transformation, i.e.

$$\delta \int_{\mathcal{X}} \Omega \wedge \overline{\Omega} = 0, \quad (2.22)$$

\footnote{The identification of (2.19) with the metric (A.7) can be made by noting that $\chi_r = \partial_r - \Omega + (\partial_r K^{cs}) \Omega$ as well as that $\int_{\mathcal{X}} \partial_r \Omega \wedge \overline{\Omega} = 0$.}
we are lead to the constraints

\[ T = -Q^T, \quad S = S^T, \quad R = R^T, \quad (2.23) \]

which means that these isometries have to be contained in the symplectic group \( Sp(2(h^2 + 1), \mathbb{R}) \). However, because we are considering a Calabi-Yau manifold, we know that the sections \( G_K \) are related to \( Z^K \) through a prepotential \( G(Z) \) as \( G_K = \partial G(Z) / \partial Z^K \). Therefore, in the ansatz (2.21) the transformation \( \delta G_K \) is not independent of \( \delta Z^K \), but we have to require

\[ \delta G_K = \frac{\partial G_K}{\partial Z^L} \delta Z^L. \quad (2.24) \]

Recalling that \( G_K \) is a homogeneous function of degree one in the \( Z^K \), that is \((\partial G_K / \partial Z^L) Z^L = G_K\), we infer from (2.24) that [27]

\[ 0 = G^T Q Z + G^T R G - Z^T S Z - Z^T T G, \quad (2.25) \]

where matrix multiplication is understood. Furthermore, to leading order in the large \( z^r \)-expansion, for Calabi-Yau threefolds the prepotential \( G(Z) \) is given by (2.15). The solution to (2.25) in this case can be found in [27] which we briefly recall. In particular, the matrices \( Q, R, S \) and \( T \) appearing in (2.21) can be parametrized as

\[ Q^L_K = - (T^T)^K_L = \left( \begin{array}{cc} \beta & a_s \\ b^r & B^r_s + \frac{1}{3} \beta \delta^r_s \end{array} \right), \quad (2.26) \]

\[ S^L_K = - \left( \begin{array}{cc} 0 & 0 \\ 0 & d_{rst} b^t \end{array} \right), \quad R^{KL} = - \left( \begin{array}{cc} 0 & 0 \\ 0 & \frac{4}{3} C^{rst} a_t \end{array} \right), \]

with \( \beta, b^r, a_s \) and \( B^r_s \) constant parameters. The matrix \( B^r_s \) is subject to the constraint

\[ B^r_{(s d_{tu})v} = 0, \quad (2.27) \]

where \((\cdots)\) denotes symmetrization and the constants \( a_s \) are constrained by

\[ 0 = a_s E^s_{tuw} \quad \text{where} \quad E^s_{tuw} = C^{yzs} d_{y(tu d_{ow})z} - \delta^s_{(t d_{uvw})}. \quad (2.28) \]

With this information, we can compute the transformation of the projective coordinates \( z^r \) introduced in (2.16). Employing (2.26) as well as (2.16), we find [27]

\[ \delta z^r = b^r - \frac{2}{3} \beta z^r + B^r_s z^s - \frac{1}{2} R^v_{st} z^s z^t a_v, \quad (2.29) \]

and we note that the condition (2.28) implies that \( R^v_{st} z^s a_v \) is constant.
Symmetries for $\xi^K$ and $\tilde{\xi}_K$  To promote the symmetry of the complex structure deformations $\xi^r$ to a symmetry for the full hypermultiplets, and hence to isometries of the quaternionic space, we follow again [27]. First we note that the period matrix $\mathcal{M}$ appearing in the action (2.10) (as well as in equations (A.10)) satisfies the relation

$$ G_K = \mathcal{M}_{KL} Z^L . $$

(2.30)

From the transformation of $(Z^K, G_K)$ shown in (2.21), we infer that $\mathcal{M}$ transforms as

$$ \delta \mathcal{M} = S + T \mathcal{M} - \mathcal{M} Q - \mathcal{M} R \mathcal{M} . $$

(2.31)

Requiring the kinetic term of the scalars $(\xi^K, \tilde{\xi}_K)$ in (2.10) to be invariant implies their following transformation

$$ \delta \begin{pmatrix} \xi^K \\ \tilde{\xi}_K \end{pmatrix} = \begin{pmatrix} Q^K_L \\ R^{KL} \\ S_{KL} \\ T^K_L \end{pmatrix} \begin{pmatrix} \xi^L \\ \tilde{\xi}_L \end{pmatrix} , $$

(2.32)

which also leads to the invariance of the $(\xi^K d \tilde{\xi}_K - \tilde{\xi}_K d \xi^K)$ terms and agrees with [27]. Hence, just like $(Z^K, G_K)$, the $(\xi^K, \tilde{\xi}_K)$ form a symplectic pair.

Finally, we should add that the hypermultiplet space in general possesses more symmetries than the ones described here, for instance the Heisenberg algebra of isometries (which include the Peccei-Quinn shifts on $(\xi^K, \tilde{\xi}_K)$) that act on the coordinates $(\xi^K, \tilde{\xi}_K)$ and $a$ only. Furthermore, there are additional isometries that act non-trivially on the volume $V$ and the axion $a$ – for a complete classification see [27]. Including these in a Scherk-Schwarz reduction would be an interesting extension of our work. We will not consider them in our present discussion.

3 Scherk-Schwarz reduction to four dimensions

In this section, we compactify the five-dimensional theory given by (2.10) on a circle of radius $R$. In addition, we impose a non-trivial dependence on the coordinate of the circle. Such a setup was studied first in [9] and, without hypermultiplets, further worked out in [15].

3.1 Ansatz for the compactification

To perform the compactification from five to four dimensions, we split the five-dimensional coordinates as

$$ \{ \tilde{x}^{\tilde{\mu}} \} \to \{ x^\mu, z \} , \quad \tilde{\mu} = 0, \ldots, 4 , \quad \mu = 0, \ldots, 3 , $$

(3.1)
where $z$ denotes the coordinate of the circle normalized as $z \sim z + 1$. The
dependence of the five-dimensional scalars $\nu^A$ and the five-dimensional vectors
$A^A$ on the coordinate $z$ is chosen in the following way

$$
\partial_z \nu^A = M^A_B \nu^B, \quad \partial_z A^A = M^A_B A^B, \quad (3.2)
$$

where $M^A_B$ satisfies (2.13). These expressions can be integrated to obtain

$$
\nu^A(z) = \left[ \exp(Mz) \right]^A_B \nu^B(0), \quad A^A(z) = \left[ \exp(Mz) \right]^A_B A^B(0), \quad (3.3)
$$

where the exponential of the matrix $M$ is understood as a matrix product and
where only the $z$-dependence of the fields is shown explicitly.

Clearly, the fields are not periodic around the circle, but are related to each other
by the duality transformations (2.12) generated by $M$. These duality transfor-
mations form a group $G$, and therefore one should have

$$
\exp(M) \in G. \quad (3.4)
$$

Classically, the group $G$ is taken over the real numbers, and hence the entries
of $M$ can be taken as arbitrary real constants. They determine the masses of
the fields in four dimensions, and are treated as continuous parameters which we
can take to be arbitrary small – or at least to be smaller than the masses of the
Kaluza-Klein (KK) modes that we neglected. In the quantized theory, however,
we expect the duality group to be defined over the integers, and hence the masses
will be quantized in some units. This no longer guarantees that they are smaller
than the masses of the KK modes. In turn, this could lead to complications
in the truncation of the theory to the lightest modes, which we will ignore in
this paper. For discussions on this issue for toroidal compactifications, see for
instance [7, 12]. Essentially, this problem is similar to what one encounters in
flux compactifications, where one has to make sure that there is a separation of
mass scales, in particular the mass scale induced by the fluxes and the KK mass
scale.

After this important side comment, we now turn to the hypermultiplets. For the
dependence of the scalars $(\xi^K, \tilde{\xi}_K)$ on the coordinate $z$ of the circle we take

$$
\partial_z \begin{pmatrix} \xi^K \\ \tilde{\xi}_K \end{pmatrix} = \begin{pmatrix} Q^K_L \\ S_{KL} \\ R^{KL} \\ T_K^L \end{pmatrix} \begin{pmatrix} \xi^L \\ \tilde{\xi}_L \end{pmatrix}, \quad (3.5)
$$

and for the complex structure moduli $z^r$ we choose in a similar fashion

$$
\partial_z z^r = b^r - \frac{2}{3} \beta z^r + B^r_{st} z^s - \frac{1}{2} R^r_{st} a_{uv} z^s z^t \equiv N^r. \quad (3.6)
$$
The finite version of these transformations can easily be written down for \((\xi^K, \tilde{\xi}_K)\). For \(z^r\), one first expresses them as transformations for the sections \(Z^K\), after which one can integrate. For the scalars \(a\) and \(\mathcal{V}\), we choose

\[
\partial_z a = 0, \quad \partial_z \mathcal{V} = 0.
\]  

(3.7)

Note that, since we have chosen the dependence of the fields on the circle coordinate \(z\) such that they correspond to Killing vectors of the five-dimensional theory, the full action does not depend on \(z\) and so we can evaluate the terms at a particular reference point, say \(z_0 = 0\).

For the five-dimensional metric, we make the following ansatz for the dimensional reduction

\[
\tilde{g}_{\mu\nu} = \left( R^{-1} g_{\mu\nu} + R^2 A_\mu^0 A_\nu^0 - R^2 A_\mu^0 \right) / R^2,
\]  

(3.8)

where \(g_{\mu\nu}\) is the four-dimensional metric, \(R\) is the radius of the circle and where the four-vector \(A_\mu^0\) will become the graviphoton. The factor \(R^{-1}\) is chosen such that we end up in Einstein frame. For the five-dimensional gauge fields appearing in the action (2.10), we choose

\[
A^A_\mu^\text{(5)} = A^A_\mu^\text{(4)} + b^A (dz - A^0),
\]  

(3.9)

where we added subscripts to distinguish between five- and four-dimensional quantities. Using the above ansätze within the action (2.10), one can perform the dimensional reduction, which is outlined in appendix B. Below, we present the results.

### 3.2 The four-dimensional action

The dimensional reduction from five to four dimensions as well as bringing the result into the standard form of \(N = 2\) supergravity can be found in appendix B. In particular, the four-dimensional action takes the form

\[
S_\text{(4)} = \int_{\mathbb{R}^{3,1}} \left[ \frac{1}{2} R^{(4)} \star_4 1 + \frac{1}{4} \text{Im} \mathcal{N}_{\Lambda\Sigma} F^\Lambda \wedge \star_4 F^\Sigma + \frac{1}{4} \text{Re} \mathcal{N}_{\Lambda\Sigma} F^\Lambda \wedge F^\Sigma 
\right.
\]

\[
- g_{AB} D^A \wedge \star_4 D^B - \frac{1}{6} A^A M_A^B \wedge A^C \wedge d A^D \mathcal{K}_{BCD} 
\]

\[
- h_{uv} D^u \wedge \star_4 D^v - V \right],
\]  

(3.10)

where \(\Lambda, \Sigma = 0, \ldots, h^{1,1}\) while \(A, B, \ldots = 1, \ldots, h^{1,1}\), and where we have omitted labels on the vector fields indicating four-dimensional quantities. We have
furthermore defined
\[ \phi^A = R \nu^A \] and \[ \mathcal{V} = e^{-2\phi}, \] (3.11)
as well as the complexified Kähler moduli and their derivatives
\[ t^A = b^A + i \phi^A, \quad Dt^A = dt^A - M^A{}_B (A^B - t^B A^0), \] (3.12)
where \( b^A \) appeared in (3.9). The Kähler metric \( g_{AB} \) is written as
\[ g_{AB} = -\frac{1}{4R^3} \left( \mathcal{K}_{AB} - \frac{\mathcal{K}_A \mathcal{K}_B}{4R^3} \right), \] (3.13)
where we have employed the following notation
\[ \mathcal{K}_A = \mathcal{K}_{ABC} \phi^B \phi^C, \quad \mathcal{K}_{AB} = \mathcal{K}_{ABC} \phi^C, \] (3.14)
with \( \mathcal{K}_{ABC} \) the triple intersection numbers defined in (2.3). Using these as well as (3.11) in the constraint (2.11), we also find
\[ R^3 = \frac{1}{6} \mathcal{K}_{ABC} \phi^A \phi^B \phi^C. \] (3.15)
The metric (3.13) is a special Kähler metric and can be derived from the following prepotential
\[ F = -\frac{1}{3!} \mathcal{K}_{ABC} \frac{X^A X^B X^C}{X^0}, \quad A, B, C = 1, \ldots, h^{1,1}, \] (3.16)
where we employ coordinates \( \{X^0, X^A\} \) with \( X^A = X^0 t^A \). The corresponding Kähler potential reads
\[ \mathcal{K}^\text{vec} = -\log \left[ i X^A F_A - i X^{\Sigma} F_\Sigma \right] = -\log \left[ 8R^3 \right], \] (3.17)
where due to the symmetries of the theory we can set \( X^0 = 1 \). The expressions for the period matrix \( \mathcal{N}_{\Lambda \Sigma} \) are given in (B.12), and the field strengths appearing in (3.10) are written as
\[ F^A = dA^A + \frac{1}{2} f^{\Lambda \Sigma \Gamma} A^\Sigma \wedge A^\Gamma, \quad \Lambda, \Sigma, \Gamma = 0, \ldots, h^{1,1}. \] (3.18)
The structure constants are [9, 15]
\[ f^0_{AB} = 0, \quad f^C_{AB} = 0, \quad f^B_{A0} = -M^B_A, \] (3.19)
and they define the gauge group which we elaborate on in the next subsection.
We mention here that gauge invariance of the action (3.10) requires the presence of Chern-Simons-like terms, which are inherited from the five-dimensional Chern-Simons term. These arise when the matrix $\text{Re} N_{A \Sigma}$ transforms nontrivially under the action of the gauge group, in such a way that it needs to be compensated by an additional term in the action, the last term on the second line in (3.10). The existence of such terms in gauged supergravity was found in [28], and in the present context it was discussed in [15]. Some further applications of these terms in the study of $\mathcal{N} = 2$ supersymmetric vacua can be found in [29].

Turning to the hypermultiplet sector, we find that it is described by

$$h_{uv} D_{\mu} q^u D^{\mu} q^v = G_{\tau \sigma} D_{\mu} z^\tau D^{\mu} \tilde{z}^\sigma + \partial_{\mu} \phi \partial^{\mu} \phi$$

$$+ \frac{e^{4 \phi}}{4} \left( \partial_{\mu} a + \xi^K D_{\mu} \xi_K - \tilde{\xi}_K D_{\mu} \xi^K \right) \left( \partial^{\mu} a + \xi^L D^{\mu} \xi_L - \tilde{\xi}_L D^{\mu} \xi^L \right)$$

$$- \frac{e^{2 \phi}}{2} \left( \text{Im} \mathcal{M} \right)^{-1} K_L \left( D_{\mu} \xi_K - \mathcal{M}_{K P} D_{\mu} \xi^P \right) \left( D^{\mu} \tilde{\xi}_L - \mathcal{M}_{L Q} D^{\mu} \xi^Q \right),$$

where $\mu = 0, \ldots, 3$ and $G_{\tau \sigma}$ has been introduced in (2.19). The covariant derivatives appearing here are

$$D_{\mu} z^\tau = \partial_{\mu} z^\tau - \mathcal{N}^r A^0_{\mu}, \quad D_{\mu} \left( \xi \frac{\partial}{\partial \xi} \right) = \partial_{\mu} \left( \xi \frac{\partial}{\partial \xi} \right) - N \left( \xi \frac{\partial}{\partial \xi} \right) A^0_{\mu},$$

where $\mathcal{N}^r$ had been defined in (3.6), where appropriate indices for $(\xi, \tilde{\xi})$ are understood and where the matrix $N$ is given by

$$N = \begin{pmatrix} Q^K_L & R^{KL} \\ S_{KL} & T^L_K \end{pmatrix}.$$ (3.22)

Finally, the scalar potential can be expressed in the following way

$$V = \frac{1}{R^3} \mathcal{N}^r \mathcal{N}^s G_{\tau \sigma} + \frac{e^{4 \phi}}{4 R^3} \left[ \left( \xi \frac{\partial}{\partial \xi} \right)^T N^T \left( \xi \frac{\partial}{\partial \xi} \right) \right]^2$$

$$- \frac{e^{2 \phi}}{2 R^3} \left( \xi \frac{\partial}{\partial \xi} \right)^T N^T \left( \text{Im} \mathcal{M} \right)^{-1} \left( \text{Im} \mathcal{M} \right)^T N \left( \xi \frac{\partial}{\partial \xi} \right)$$

$$- \frac{1}{4 R^6} \left( M^A C \phi^C \right) \left( M^B D \phi^D \right) K_{AB},$$

where matrix multiplication with correct contraction of indices is again understood. We will study the properties of this potential in section 6.
3.3 Gauged $\mathcal{N} = 2$ supergravity formulation

The ungauged part of the Lagrangian (3.10) is already written in the usual form of four-dimensional $\mathcal{N} = 2$ supergravity. The only changes we have to explain are the modifications due to the gauging, in particular the covariant derivatives for the scalars, and the scalar potential.

Covariant derivatives, Killing vectors and isometries

The covariant derivatives are given by

\begin{align}
D_\mu q^u &= \partial_\mu q^u + \tilde{k}_A^u A^A_\mu, \\
D_\mu t^A &= \partial_\mu t^A + k^A_0 A^A_\mu,
\end{align}

where the quantities $\tilde{k}_A^u$ and $k^A_0$ are Killing vectors on the quaternionic and special Kähler spaces, respectively. For the scalars $t^A$ in the vector multiplets, from (3.12) we read off that

\begin{align}
k^A_0 &= M^A_B t^B, \\
k^B_0 &= -M^A_B,
\end{align}

which means that on the special Kähler space defined by (3.16), the isometries we are gauging are given by

\begin{align}
\delta t^A &= -M^A_B a^B + M^A_B t^B a^0,
\end{align}

for some arbitrary parameters $a^0$ and $a^A$. That these are indeed isometries follows from the analysis of the special Kähler subsector of the hypermultiplets given in (2.29), which is completely analogous. Here, the symmetries (3.25) correspond to the first and third term in (2.29), namely a shift in $t^A$ and a linear transformation with a matrix satisfying (2.13). The gauge group is thus a subgroup of the duality group of isometries on the special Kähler manifold. This duality group contains the one from the five-dimensional theory, but in four dimensions it gets extended to a larger group [27]. The structure constants of the gauge group are given by (3.19), and define a solvable Lie algebra which is the semi-direct product of two Abelian subalgebras of dimension one (graviphoton) and $h^{1,1}$ (the other vector potentials) [9, 15].

The isometry group for the hypermultiplets can easily be read off from (3.21). It is a $U(1)$ group, realized linearly on the scalars $(\xi^K, \tilde{\xi}_K)$ but non-linearly on $z^r$ (see equation (3.6)). The gauge group acts on it only via the graviphoton.
Scalar potential

The explicit form of the scalar potential is given in (3.23). It can be written in the standard form of $\mathcal{N} = 2$ supergravity which reads

$$V = 2 e^{\mathcal{K}^{\text{vec}}} \left( 4 h_{uv} \tilde{k}_u^\Lambda \tilde{k}_v^\Sigma + g_{A\overline{B}} k_A^\Lambda \overline{k}_B^\Sigma \right) \overline{X}^\Lambda X^\Sigma,$$

(3.26)

where $\mathcal{K}^{\text{vec}}$ and $g_{A\overline{B}}$ were defined in (3.17) and (3.13), respectively. In the general expression for the $\mathcal{N} = 2$ scalar potential, there is an additional term proportional to the quaternionic moment maps (see e.g. [30, 31])

$$V^P = 2 \left( g^{A\overline{B}} f_A^\Lambda f_{\overline{B}}^\Sigma - 3 L^\Lambda \overline{L}^\Sigma \right) P_A^x P_\Sigma^x .$$

(3.27)

These moment maps in turn are proportional to a covariant derivative on $\tilde{k}_A^\Lambda$. However, as can be seen from (3.21), the hypermultiplets are only gauged with the graviphoton $A_0^A$. Therefore $\tilde{k}_A^\Lambda = 0$ for $\Lambda \neq 0$ and their covariant derivative also vanishes, so $P_A^x = 0$ for $\Lambda \neq 0$. The only term in (3.27) that can contribute is the term with $\Lambda = 0$. We then utilize that the vector geometry is specified by (3.16), from which one calculates $g^{A\overline{B}} f_A^0 f_{\overline{B}}^0 - 3 L^0 \overline{L}^0 = 0$. Combining these properties, one finds that $V^P = 0$. This analogue of the $\mathcal{N} = 1$ no-scale property reduces the full scalar potential to (3.26).

To see that (3.26) reproduces our scalar potential, we use $k_A^\Lambda \overline{X}^\Lambda = 2 i M_A^B X^0 \phi^B$, and as (2.13) implies $\mathcal{K} M_A^B \phi^B = 0$, with the help of (3.17) we find

$$2 e^{\mathcal{K}} g_{A\overline{B}} k_A^\Lambda \overline{k}_B^\Sigma \overline{X}^\Lambda X^\Sigma = - \frac{1}{4 R^6} \mathcal{K} M_A^C \phi^C M_B^D \phi^D .$$

(3.28)

Employing the expressions for the covariant derivatives of the hyperscalars above, it is then straight-forward to check that (3.26) reproduces (3.23).

4 M-theory on twisted seven-manifolds

The Scherk-Schwarz reduction described above, yielding the gauged supergravity Lagrangian (3.10), can also be obtained from a compactification of eleven-dimensional supergravity on a seven-manifold. This point of view had also been taken in [15] for the vector multiplets. We will briefly review and extend this procedure in the present section to also include the hypermultiplet sector.

---

4 The overall factor 2 compared to the potential of [30] is due to the different normalization in (3.10). When rescaling the four-dimensional metric in (3.10) as $g \rightarrow \frac{1}{2} g$, one arrives at the form of [30].
The seven-dimensional space we are going to compactify on, denoted by $\mathcal{Y}$ in the following, is chosen as a fibration of a Calabi-Yau three-fold $\mathcal{X}$ over a circle $S^1$.

$$
\mathcal{X} \to \mathcal{Y} \\
\downarrow \\
S^1
$$

(4.1)

The coordinates of $\mathcal{X}$ will be denoted by $y$ and the coordinate $z$ of the circle is again normalized such that $z \sim z + 1$. At a particular reference point $z_0 = 0$, we choose a basis of harmonic two- and three-forms of the corresponding Calabi-Yau three-fold as in section 2. We then must indicate how this data changes when moving around the circle.

In words, the difference to the point of view taken in section 3 can be explained as follows: instead of specifying the $z$-dependence in the coefficient functions (i.e. the five-dimensional fields) as we do in the Scherk-Schwarz reduction, we can shift the $z$-dependence from the fields into the basis of two- and three-forms of $\mathcal{X}$. This produces a seven-dimensional manifold of the type (4.1), which by construction is equivalent to the Scherk-Schwarz reduction. We now explain this in some more detail.

**Cohomology**

Let us begin our discussion with the cohomology of the compactification space $\mathcal{Y}$. Analogous to the harmonic $(1, 1)$-forms on $\mathcal{X}$ we introduce

$$
\hat{\omega}_A(y, z) , \quad A = 1, \ldots, h^{1,1}(\mathcal{X}) ,
$$

(4.2)

with $y$ denoting the coordinates on $\mathcal{X}$ and $z$ denoting the coordinate of the circle. The dependence of $\hat{\omega}_A$ on $z$ is taken as

$$
\hat{\omega}_A(y, z) = \left[ \exp(zM^T) \right]_A^B \omega_B ,
$$

(4.3)

where the exponential of the matrix $(M^T)_A^B$ is understood as a matrix product and $\omega_B$ is a basis of harmonic $(1, 1)$-forms on the Calabi-Yau three-fold at a particular reference point $z_0 = 0$. The matrix $M^B_A$ is not arbitrary but, as explained in [15], has to satisfy the constraint shown in (2.13). Infinitesimally, the relation (4.3) can be written as

$$
d\hat{\omega}_A = (M^T)_A^B \hat{\omega}_B \wedge dz , \quad \hat{\omega}_B(y, 0) = \omega_B ,
$$

(4.4)

so we see that in general the forms $\hat{\omega}_A$ are not closed. Their non-closure will be the origin of the gaugings in the resulting four-dimensional action. The triple
intersection numbers for the Calabi-Yau three-fold in the present context are given by

\[ \mathring{K}_{ABC} \equiv \int_Y \mathring{\omega}_A \wedge \mathring{\omega}_B \wedge \mathring{\omega}_C \wedge dz = \int_X \omega_A \wedge \omega_B \wedge \omega_C = K_{ABC}, \quad (4.5) \]

where the second equality follows by using (2.13).

Analogous to the second cohomology, for the third cohomology we introduce

\[ \{ \mathring{\alpha}_K(y, z), \mathring{\beta}_L(y, z) \}, \quad K, L = 0, \ldots, h^{2,1}(X). \quad (4.6) \]

Their dependence on the coordinate \( z \) of the circle is chosen as

\[ \begin{pmatrix} \mathring{\alpha}(y, z) \\ -\mathring{\beta}(y, z) \end{pmatrix} = \left[ \exp(z N^T) \right] \begin{pmatrix} \alpha \\ -\beta \end{pmatrix}, \quad (4.7) \]

where the matrix \( N \) was defined in (3.22) and proper contraction of indices is understood. Furthermore, \( \{ \alpha_K, \beta^K \} \) denotes the basis of harmonic three-forms on the Calabi-Yau manifold at a particular reference point \( z_0 = 0 \), and the minus sign has been chosen to match the results from the previous section. Infinitesimally, we can express (4.7) as

\[ d \begin{pmatrix} \mathring{\alpha} \\ -\mathring{\beta} \end{pmatrix} = -N^T \begin{pmatrix} \mathring{\alpha} \\ -\mathring{\beta} \end{pmatrix} \wedge dz, \quad \begin{pmatrix} \mathring{\alpha}(y, 0) \\ -\mathring{\beta}(y, 0) \end{pmatrix} = \begin{pmatrix} \alpha \\ -\beta \end{pmatrix}, \quad (4.8) \]

where proper contraction of indices is again understood. Finally, using (2.5) and (4.7), one can show that

\[ \int_X \mathring{\alpha}_K \wedge \mathring{\beta}^L = \delta_K^L, \quad \int_X \mathring{\alpha}_K \wedge \mathring{\alpha}_L = 0, \quad \int_X \mathring{\beta}_K \wedge \mathring{\beta}_L = 0. \quad (4.9) \]

**Dimensional reduction**

For the dimensional reduction of the M-theory action (2.1) on the seven-manifold \( Y \) we make the following ansatz for the space-time metric

\[ ds^2_{11} = e^{4\phi} R^{-1} g_{\mu\nu} dx^\mu dx^\nu + e^{4\phi} R^2 (dz - A^0)^2 + G_{mn} dy^m dy^n, \quad (4.10) \]

where \( R \) is the radius of the circle satisfying (3.15), \( A^0 \) denotes the graviphoton one-form and \( G_{mn} \) is the metric of the Calabi-Yau threefold, whose fluctuations depend on \( \delta v^A \) and \( z^r \). For the three-form potential \( \mathring{C}_3 \), we consider an ansatz similar to [15] but are more specific about the sector corresponding to the hypermultiplets. In particular, we consider

\[ \mathring{C}_3 = c_3 + B \wedge (dz - A^0) + (A^4 - b^A A^0) \wedge \mathring{\omega}_A + b^A \mathring{\omega}_A \wedge dz + C_3, \quad \]

\[ C_3 = \sqrt{2} \xi^K \mathring{\alpha}_K - \sqrt{2} \bar{\xi}^K \mathring{\beta}^K, \quad (4.11) \]
where \( c_3 \) is a four-dimensional three-form, \( B \) denotes a four-dimensional two-form, \( A^A \) are one-forms and \( b^A \) as well as \((\xi^K, \tilde{\xi}_K)\) are scalars in four dimensions. For the corresponding field strength \( \hat{F}_4 = d\hat{C}_3 \), employing (4.4) as well as (4.8), one finds
\[
\hat{F}_4 = \partial c_3 + \partial B \wedge (dz - A^0) - B \wedge F^0 + F^a \wedge \tilde{\omega}_A - b^A F^0 \wedge \tilde{\omega}_A \\
+ Db^A \wedge \tilde{\omega}_A \wedge (dz - A^0) + \sqrt{2} \left[ d\left( \frac{\xi}{\tilde{\xi}} \right)^T - \left( \frac{\xi}{\tilde{\xi}} \right)^T N^T dz \right] \wedge \left( \frac{\hat{\alpha}}{-\hat{\beta}} \right),
\]
(4.12)
where \( F^0 \) and \( F^A \) are defined in (3.18). Using the above ansätze in the eleven-dimensional action (2.1), one can perform the dimensional reduction. However, to make contact with (3.10), we have to dualize \( B \) to a scalar \( a \) and \( c_3 \) to a constant \( e_0 \), chosen to be zero. A non-zero choice for \( e_0 \) would correspond to a non-trivial \( z \)-dependence for the five-dimensional field \( a \) in the Scherk-Schwarz reduction of section 3, which we did not consider.

Taking into account these points, we then recover the four-dimensional action (3.10), as we have checked explicitly.

5 Truncation to \( N = 1 \) supersymmetry

We now perform a truncation of the theory studied in section 3.2 from \( N = 2 \) to \( N = 1 \) supersymmetry. To motivate this truncation, we note that M-theory compactifications on seven-manifolds of the form \( \mathcal{X} \times S^1 \) can be related to orientifold compactifications of type IIA string theory [32]. In particular, consider M-theory on
\[
\frac{\mathcal{X} \times S^1}{(\sigma, -1)},
\]
(5.1)
where \( \sigma \) is an anti-holomorphic involution acting on the Calabi-Yau three-fold \( \mathcal{X} \) and where \((-1)\) acts on the circle coordinate as \( z \rightarrow -z \). Upon dimensionally reducing on \( S^1 \), the resulting theory is type IIA string theory on
\[
\frac{\mathcal{X}}{(-1)^{F_L} \Omega \sigma},
\]
(5.2)
where \( F_L \) is the left-moving space-time fermion number and \( \Omega \) is the parity operator on the string world-sheet. Motivated by this observation, in the present work we will impose a truncation similar to (5.1).

For later purpose, we also observe that \( \sigma \) being anti-holomorphic means that \( \sigma^* \Omega \sim \tilde{\Omega} \), where \( \Omega \) is the holomorphic three-form of the Calabi-Yau manifold and
\( \sigma^* \) denotes the action of \( \sigma \) induced on the cohomology. Utilizing the relation

\[ \Omega \wedge \overline{\Omega} \sim J \wedge J \wedge J , \tag{5.3} \]

and applying \( \sigma^* \) to both sides, we infer that the Kähler form \( J \) has to be odd under the anti-holomorphic involution \( \sigma^* \).

### 5.1 Defining the truncation

**Cohomology**

To define our truncation, we first consider an involution \( \sigma \) acting on a Calabi-Yau three-fold \( \mathcal{X} \). The action \( \sigma^* \) induced on the cohomology groups of \( \mathcal{X} \) splits them into even and odd sub-spaces. In particular, the basis of harmonic \((1, 1)\)-forms introduced in (2.2) can be separated as

\[
\begin{align*}
\sigma^* \omega_\alpha &= +\omega_\alpha, \quad \alpha = 1, \ldots, h^{1,1}_+, \\
\sigma^* \omega_a &= -\omega_a, \quad a = 1, \ldots, h^{1,1}_-,
\end{align*}
\]

(5.4)

where \( h^{1,1}_+ + h^{1,1}_- = h^{1,1} \). Since the Kähler form is odd under \( \sigma^* \), also the volume form on \( \mathcal{X} \) is odd. Thus, some triple intersection numbers have to vanish which leads to

\[
K_{\alpha\beta\gamma} = K_{\alpha bc} = 0, \quad K_{\alpha b} = 0, \quad K_{\alpha} = 0. \quad (5.5)
\]

For the basis of the third cohomology group of \( \mathcal{X} \) introduced in (2.4), we similarly observe

\[
\begin{align*}
\sigma^* \alpha_k &= +\alpha_k, \quad &\sigma^* \beta^k &= -\beta^k, \\
\sigma^* \alpha_\lambda &= -\alpha_\lambda, \quad &\sigma^* \beta^\lambda &= +\beta^\lambda,
\end{align*}
\]

(5.6)

where the indices \( k \) and \( \lambda \) jointly range from 0 to \( h^{2,1} \). For the period matrix \( \mathcal{M} \) introduced in equations (A.10), from (5.6) we then infer that

\[
\begin{align*}
\text{Re} \mathcal{M}_{\kappa\lambda} &= 0, \quad &\text{Re} \mathcal{M}_{kl} &= 0, \quad &\text{Im} \mathcal{M}_{k\lambda} &= \text{Im} \mathcal{M}_{\lambda k} = 0. \quad (5.7)
\end{align*}
\]

**Truncation of vector multiplets**

Motivated by our discussion at the beginning of this section about ordinary M-theory compactifications, we will truncate our \( \mathcal{N} = 2 \) supersymmetric theory by

\[
\Sigma = (\sigma, -1) , \quad (5.8)
\]
where $\sigma$ is the anti-holomorphic involution considered above and $(-1)$ acts on the circle coordinate as $z \rightarrow -z$. As noted below (5.3), the Kähler form $J$ is odd under $\sigma^*$, which we extend to

\[
\Sigma^* J = -J .
\]  

(5.9)

In terms of the expansion $J = v^A(z) \omega_A$,\(^5\) we find that equation (5.9), evaluated at $z = 0$, yields

\[
v^\alpha(0) = 0 \quad \overset{(A.14) \text{ and } (3.11)}{\longrightarrow} \quad \phi^\alpha(0) = 0 .
\]  

(5.10)

For general values of $z$, we employ (3.3) and (A.14) to express $J$ as

\[
J(z) = v^\alpha(0) \left[ e^{z M^T} \right]_B^A \omega_B .
\]  

(5.11)

Inserting this expansion into (5.9) leads to the constraint that $M^a_b = 0$.

Next, concerning the vector fields $A^A$, we require that the M-theory three-form (2.9) satisfies

\[
\Sigma^* \hat{C}_3 = + \hat{C}_3 .
\]  

(5.12)

In particular, the term involving the five-dimensional vector fields $A^A_{(5)}$ has to be even under $\Sigma^*$. Performing a analysis similar as for the Kähler form at $z = 0$, and using equation (3.9), we obtain

\[
A^a_{(4)}(0) = 0 , \quad b^a(0) = 0 .
\]  

(5.13)

Furthermore, requiring $A^A_{(5)} \wedge \omega_A$ to be even under $\Sigma^*$ for all values of $z$ and employing (3.2) implies that $M^a_\beta = 0$. We thus arrive at

\[
M^A_B = \begin{pmatrix} 0 & M^a_\beta \\ M^a_\beta & 0 \end{pmatrix} .
\]  

(5.14)

Finally, recalling the five-dimensional metric (3.8) and requiring it to be invariant under the action (5.9), we see that the graviphoton $A^0$ is projected out, that is

\[
A^0 = 0 .
\]  

(5.15)

\(^5\)To keep our notation short, we suppress the dependence of the fields on $x^\mu$ but only indicate the dependence on the circle coordinate $z$. 

21
Truncation of hypermultiplets

To define the truncation of the hypermultiplets, let us consider the action of the anti-holomorphic involution on the holomorphic three-form $\Omega$. Similarly as in [16], we write

$$\sigma^* \Omega = e^{2i\Theta} \overline{\Omega}, \quad (5.16)$$

where $\Theta$ is a constant phase. As for the Kähler form, we extend (5.16) to $\Sigma$ in the following way

$$\Sigma^* \Omega = e^{2i\Theta} \overline{\Omega}. \quad (5.17)$$

Employing then the expansion of $\Omega$ given in (2.6), at $z = 0$ equation (5.17) implies that $\text{Im}(e^{-i\Theta}Z^k(0)) = 0$ and similar relations for $Z^\lambda$, $G_k$ and $G_\lambda$. However, for later purpose, let us introduce the compensator $C$ defined in terms of the four-dimensional dilaton $\phi$ and the Kähler potential (2.17) for the complex structure moduli

$$C \equiv e^{-\phi}e^{K_{cs}/2}e^{-i\Theta}. \quad (5.18)$$

Noting that $\phi$ as well as $K_{cs}$ are invariant under $\Sigma$, (5.17) can be brought into the form $\Sigma^*(C\Omega) = C\overline{\Omega}$, whose implications at $z = 0$ read

$$\begin{align*}
\text{Im}(CZ^k(0)) &= 0, & \text{Re}(CG_k(0)) &= 0, \\
\text{Re}(CZ^\lambda(0)) &= 0, & \text{Im}(CG_\lambda(0)) &= 0. 
\end{align*} \quad (5.19)$$

As carefully discussed in [16], the equations on the left in (5.19) project out $h^{2,1}$ real scalars, corresponding to half of the complex structure deformations. The set of equations on the right should not be interpreted as further truncations, but as constraints on the triple intersection numbers $d_{rst}$ in (2.15).

Next, requiring $C_3$ in the M-theory three-form (2.9) to be invariant under $\Sigma^*$ leads to

$$\xi^\lambda(0) = 0, \quad \xi_k(0) = 0. \quad (5.20)$$

To study the five-dimensional three-form $\tilde{c}_3$ in (2.9), we write

$$\tilde{c}_3 = C_3 + C_2 \wedge dz, \quad (5.21)$$

where $C_3$ and $C_2$ respectively are three- and two-forms in four dimensions. Since $\tilde{c}_3$ has to be even under $\Sigma^*$, we see that $C_2$ is projected out. Furthermore, $C_3$ in four dimensions is dual to a constant $e_0$, which in the analysis of section 3 and
we have chosen to be zero. Therefore, the contribution of \( \tilde{c}_3 \) in the truncated theory vanishes, which translates to

\[ a = 0 . \]  

(5.22)

Combining then all these constraints, we see that \( 2h^{2,1} \) out of the \( 4(h^{2,1} + 1) \) original hypermultiplet scalars survive the truncation. We will later show that these scalars form chiral multiplets and that their target space is Kähler.

Finally, in the above analysis we studied (5.12) and (5.17) at \( z = 0 \). To satisfy these constraints for all values of \( z \), additional restrictions on the matrices \( Q \), \( R \), \( S \) and \( T \) introduced in (2.21) arise. In particular, employing (2.21) as well as (3.5), in a similar fashion as in (5.11) one obtains

\[
\begin{align*}
Q^k_i &= 0 , \\
Q^\lambda_\rho &= 0 , \\
R^k_\lambda &= R^\lambda_k = 0 , \\
T^k_\lambda &= T^\lambda_k = 0 , \quad S^k_\lambda &= S^\lambda_k = 0 .
\end{align*}
\]

(5.23)

5.2 Performing the truncation

After having specified the truncation of the fields appearing in the \( N = 2 \) theory (at the point \( z_0 = 0 \)), we can now apply these results to (3.10). Recalling that this action was obtained by evaluating all five-dimensional fields at a particular reference point \( z_0 = 0 \), and employing the results from section 5.1, we find

\[
S^{\text{trunc.}}_{(4)} = \int_{\mathbb{R}^3,1} \left[ \frac{1}{2} R_{(4)} \ast_4 1 + \frac{1}{4} \text{Im} N_{\alpha\beta} dA^\alpha \wedge \ast_4 dA^\beta + \frac{1}{4} \text{Re} N_{\alpha\beta} dA^\alpha \wedge dA^\beta \\
- g_{ab} D t^a \wedge \ast_4 D t^b - \frac{1}{6} A^a M^b_\alpha \wedge A^\gamma \wedge dA^\delta K_{\beta \gamma \delta} \\
- G_{IJ} dM^I \wedge \ast_4 dM^J - V^{\text{trunc.}} \right].
\]

(5.24)

**Kinetic terms**

In the truncated theory, the covariant derivative acting on the complexified Kähler moduli \( t^a \) takes the form

\[
Dt^a = dt^a - M^a_\beta A^\beta .
\]

(5.25)

The gauge kinetic function \( f_{\alpha\beta} \) for the vector fields is found using the explicit formulas for the period matrix \( N \) given in (B.12), and (5.5) as

\[
f_{\alpha\beta} = -i \bar{N}_{\alpha\beta} = i K_{\alpha\beta c} t^c ,
\]

(5.26)
which is holomorphic in $t^a$, as required by $\mathcal{N} = 1$ supersymmetry. Note that the truncation splits the $\mathcal{N} = 2$ vector multiplets into $\mathcal{N} = 1$ vector and chiral multiplets with bosonic fields $A^a$ and $t^a$, respectively.

Turning to the reduction of the hypermultiplets, since the graviphoton $A^0$ is projected out, the hyperscalars become uncharged. For the truncation of the hypermultiplets from $\mathcal{N} = 2$ to $\mathcal{N} = 1$, we can thus refer to the existing literature. In particular, employing the results of appendix C in [16], the kinetic terms for the hypermultiplet scalars are given by

$$-\int_{\mathbb{R}^{3,1}} G_{IJ} dM_I^T \wedge \ast_4 d\overline{M}_J^T, \quad (5.27)$$

where $M_I = \{ N^k, T_\lambda \}$ collectively denotes the chiral fields

$$N^k = \frac{1}{2} \xi^k + i \text{Re}(C Z^k), \quad T_\lambda = i \tilde{\xi}_\lambda - 2 \text{Re}(C G_\lambda). \quad (5.28)$$

The metric $G_{IJ} = \partial_{M^I} \partial_{M^J} \mathcal{K}^Q$ in (5.27) is Kähler, and the corresponding Kähler potential $\mathcal{K}^Q$ is given by [16]

$$\mathcal{K}^Q = -2 \log \left[ 2 \int X \text{Re}(C \Omega) \wedge \ast_6 \text{Re}(C \Omega) \right]. \quad (5.29)$$

Potential

Next, we consider the truncation of the scalar potential (3.23) leading to $V^{\text{trunc}}$. For the scalars $\phi^A$ we employ (5.10) and (5.14) to find

$$V^{(1)} = -\frac{1}{4 R^3} (M^\alpha_c \phi^c) (M^\beta_d \phi^d) \mathcal{K}_{\alpha\beta}. \quad (5.30)$$

For the truncation of the terms involving $\xi$ and $\tilde{\xi}$ we use (5.20), (5.23) and (5.7). These merely imply that we have to restrict the index ranges of $\xi$ and $\tilde{\xi}$ in (3.23). For later convenience, we express this result as

$$V^{(2)} = -\frac{e^{2\phi}}{2 R^3} \left( \xi^T \pi N T \Pi N \right) \left( \xi^T \pi N T \Delta N \left( \xi \right) \right)^2, \quad (5.31)$$

where we have defined

$$\Pi = \left( \overline{\mathcal{M}} \right) \left( \text{Im} \mathcal{M} \right)^{-1} \left( \overline{\mathcal{M}} \right)^T, \quad \Delta = \left( \begin{array}{cc} 0 & 1 \\ -1 & 0 \end{array} \right). \quad (5.32)$$
As mentioned, these formulas are understood with the restrictions (5.20), (5.23) and (5.7) applied.

To make the truncation of the potential for the complex structure moduli $z^r$ more feasible, we first define

$$G_{LK} = 2 \left( \text{Im} G_{LK} \right) - 2 \left( \text{Im} G_{LN} \right) \frac{Z^N}{Z^M} \left( \text{Im} G_{KM} \right) Z^M,$$

(5.33)

with $G_{LK} = \partial_{z^L} G_K$ and $(Z^K, G_K)$ the holomorphic sections introduced in equation (2.6). Recalling then (3.6) as well as that $z^r = \frac{Z^r}{Z^n}$, we can write

$$V^{(3)} = \frac{1}{R^3} N^\alpha \overline{N}^\beta G_{\alpha \beta}$$

$$= \frac{e^{K_{cs}}}{R^3 |C|^2} \left( - C Z^K T^L + C G_K R^K L \right) G_{LM} \left( Q^M N \overline{C} Z^N + R^M N \overline{C} G_N \right),$$

(5.34)

where the restrictions (5.19) and (5.23) are understood. Note that to arrive at the second line in (5.34), we utilized $G_{LK} \overline{Z}^K = 0$. The compensator $C$ was introduced in equation (5.18).

### 5.3 Superpotential and D-terms

We will now bring the potentials (5.30), (5.31) and (5.34) into the standard form of $N = 1$ supergravity given by $^6$

$$V = 2 e^K \left( G^I J_D W D_I \overline{W} - 3 |W|^2 \right) + \left[ (\text{Ref})^{-1} \right]^{\alpha \beta} D_\alpha D_\beta = V_F + V_D,$$

(5.35)

where we use $\hat{M}^i = \{ N^k, T_\lambda, t^a \}$ to label all chiral fields in the theory. Here, the Kähler covariant derivative reads $D_I W = \partial_I W + (\partial_I K) W$, $\text{Ref}_{\alpha \beta}$ is the real part of the gauge kinetic function (5.26) and $D_\alpha$ are the moment maps associated with the gauging of the chiral multiplets. The Kähler potential $K$ in (5.35) is the sum of (3.17) subject to the truncation (5.10), and $K^Q$ given in (5.29),

$$K = K^{\text{vec}} + K^Q.$$

(5.36)

### D-term potential

A non-trivial D-term potential arises as some of the chiral fields are gauged. In our case, as can be inferred from (5.25), only the chiral fields $t^a$ originating from

---

$^6$Again, there is an overall factor 2 with respect to the standard literature; see footnote 4.
the projection of the $\mathcal{N} = 2$ vector multiplets are gauged. We will therefore show that their potential term (5.30) is given by the D-term potential.

To find an expression for $D_\alpha$, we can use the truncation of the original moment maps $P_K$ on the special Kähler space, given in [9]. We then obtain

$$D_\alpha = i (M^T)_\alpha a \partial_a \mathcal{K}^{\text{vec}} = - \frac{1}{4R^3} (M^T)_\alpha a \mathcal{K}_a .$$

(5.37)

Noting that the Killing vectors after the truncation are given by $k_a^\alpha = M^a_\alpha$ as well as that $\partial_b \partial_a \mathcal{K}^{\text{vec}} = g_{a\bar{b}}$, we see that the $D_\alpha$’s obey

$$k_a^\alpha = -ig^{\alpha \bar{a}} \partial_{\bar{a}} D_\alpha ,$$

(5.38)

and therefore are moment maps for the Killing vectors $k_a^\alpha$. Contracting then equation (2.13) with $\phi^b \phi^c$ and restricting the index $A$ to $\alpha$, we find $K_a M^a_\alpha = -2K_{\alpha\beta} M^\beta a \phi^a$, which allows us to bring (5.37) into the form

$$D_\alpha = \frac{1}{2R^3} K_{\alpha\beta} M^{\beta a} \phi^a .$$

(5.39)

Employing finally the expression (5.26) for the real part of the gauge kinetic function, that is $\text{Re} f_{\alpha \beta} = -K_{\alpha\beta}$, we arrive at

$$V_D = [(\text{Re} f)^{-1}]^{\alpha\beta} D_\alpha D_\beta = - \frac{1}{4R^6} \left( (M^a_c \phi^c) (M^\beta_d \phi^d) K_{\alpha\beta} = V^{(1)} .

(5.40)

So indeed, in the truncated theory the potential term for the fields $\phi^a$ is a D-term potential and thus fits into the framework of $\mathcal{N} = 1$ supersymmetry.

Furthermore, notice that the gauge group has become abelian, $G = U(1)^{h+1}$, since the Killing vectors are constant and hence commute. One can check explicitly that the action is gauge invariant, and in particular, the D-term in (5.39) is gauge invariant. Also, as we will analyze in section 6, the gauge group can be broken further due to a Higgsing of the gauge fields.

**F-term potential**

We now turn to the F-term potential. As mentioned above (5.27), the chiral fields $(N^k, T_\lambda)$ are ungauged. Therefore, in an $\mathcal{N} = 1$ supersymmetric theory their contribution to their scalar potential has to originate from a superpotential $W$. We will now show that the potential $V^{(2)} + V^{(3)}$ for $(N^k, T_\lambda)$ can indeed be expressed in terms of

$$W = \frac{1}{2} U^T \Delta N U ,$$

(5.41)
where $\Delta$ was defined in (5.32), the twisting matrix $N$ had been introduced in (3.22) and the restrictions (5.23) are to be imposed. Furthermore, we have combined the chiral fields $N^k$ and $T_\lambda$ into the vector

$$U = \begin{pmatrix} 2i N^k \\ T_\lambda \end{pmatrix} = \begin{pmatrix} i \xi^k - 2 \text{Re}(CZ^k) \\ i \tilde{\xi}_\lambda - 2 \text{Re}(CG_\lambda) \end{pmatrix} = i U_I + U_R . \quad (5.42)$$

To show that the superpotential (5.41) reproduces the scalar potential $V^{(2)} + V^{(3)}$, we first note that

$$\partial_{t^a} W = 0 , \quad \partial_{t^a} K g^{a\bar{b}} \partial_{t^b} K = 3 , \quad (5.43)$$

where the Kähler potential $K$ is given by (5.36) and $g^{a\bar{b}}$ denotes the Kähler metric for the $t^a$. This reduces $V_F$ in (5.35) to

$$V_F = 2 e^K \left( G^{I\bar{J}} D_I W D_{\bar{J}} \bar{W} \right) , \quad (5.44)$$

with $I$ labeling $(T_\lambda, N^k)$. Next, we recall from [16] the expressions for the corresponding inverse Kähler metric $G^{I\bar{J}}$ which are given by

$$G^{T_\kappa \bar{T}_\lambda} = -2 e^{-2\phi} \left[ \text{Im} M + (\text{Re} M)(\text{Im} M)^{-1}(\text{Re} M) \right]_{\kappa\lambda} ,$$
$$G^{T_\lambda N^k} = -i e^{-2\phi} \left[ (\text{Re} M)(\text{Im} M)^{-1} \right]^k_\lambda ,$$
$$G^{N^k \bar{N}^l} = -1/2 e^{-2\phi} \left[ (\text{Im} M)^{-1} \right]^{kl} , \quad (5.45)$$

where in the present case (5.7) implies that some entries of $\text{Re} M$ and $\text{Im} M$ are vanishing. From (5.45), we can then compute the contractions

$$G^{N^k \bar{N}^l} \partial_M \bar{K} = -(N^k - \bar{N}^k) , \quad G^{T_\lambda \bar{T}_\lambda} \partial_M \bar{K} = -(T_\lambda + \bar{T}_\lambda) . \quad (5.46)$$

Employing the above expressions as well as (3.17) and (5.29), one can bring equation (5.44) into the following form

$$V_F = \frac{1}{R^3} \left[ \frac{1}{U_R^T \Pi U_R} \left[ \begin{array}{c} U_R^T N^T \Delta^T \left( \Pi^{-1} - \frac{U_R U_R^T}{U_R^T \Pi U_R} \right) \Delta N U_R \\ + U_I^T N^T \Delta^T \Pi^{-1} \Delta N U_I + \frac{(U_I^T \Delta N U_I)^2}{U_R^T \Pi U_R} \end{array} \right] \right] , \quad (5.47)$$

where $U_R$ and $U_I$ had been defined in (5.42) and the matrices $\Pi$ as well as $\Delta$ had been introduced in equation (5.32). To proceed, we compute

$$U_R^T \Pi U_R = -4 |C|^2 Z^K (\text{Im} M)_{KL} Z^L = 2 e^{-2\phi} , \quad (5.48)$$
and, by carefully taking (5.19) into account, one finds

\[ \Pi^{-1} - \alpha \frac{U_R U_R^T}{U_R^T \Pi U_R} = \left( \frac{1}{\mathcal{M}} \right) \left( - (\text{Im} \mathcal{M})^{-1} + \alpha \frac{Z \bar{Z}^T}{Z^T \text{Im} \mathcal{M} Z} \right) \left( \frac{1}{\mathcal{M}} \right)^T, \quad (5.49) \]

where our case of interest is \( \alpha = 0 \) and \( \alpha = 1 \). With these relations, from the terms involving \( U_I \) one can now reproduce the potential \( V^{(2)} \) for the fields \( \xi \) and \( \tilde{\xi} \). For the remaining terms, we note that the period matrix \( \mathcal{M} \) can be expressed using the matrix \( G_{LK} = \partial Z_L G_K \) as follows

\[ \mathcal{M}_{KL} = G_{KL} + 2i \frac{(\text{Im}G)_{KM} Z^M Z^N (\text{Im}G)_{NL}}{Z^T (\text{Im}G) Z}. \quad (5.50) \]

Employing then the relation (2.24), one can bring the terms involving \( U_R \) into the form (5.34).

In conclusion, we have outlined how the superpotential (5.41) indeed reproduces the scalar potential \( V^{(2)} + V^{(3)} \) and thus fits into the framework of \( \mathcal{N} = 1 \) supersymmetry.

### 5.4 Connection to manifolds with \( G_2 \) structure

In this subsection, we indicate a connection of the truncated theory studied above to compactifications of M-theory on seven-manifolds with \( G_2 \) structure.

A manifold has \( G_2 \) structure if its structure group is contained in \( G_2 \), and if it features a globally defined, \( G_2 \)-invariant, real and nowhere-vanishing three-form \( \Phi \). Note that if \( \Phi \) is in addition harmonic, the manifold has \( G_2 \) holonomy. In our present setting, motivated by [33, 34, 35, 36, 16, 37], we define \( \Phi \) as

\[ \Phi = \sqrt{2} \left( R V^{-\frac{1}{3}} J \wedge dz + \sqrt{8} \text{Re}(C \Omega) \right), \quad (5.51) \]

where we remind the reader that \( R \) denotes the radius of the circle, \( V \) is the volume of the Calabi-Yau three-fold, \( J \) denotes its Kähler form while \( \Omega \) is the holomorphic three-form. Following then for instance [37], as \( dz, J \) and \( \Omega \) are globally defined and nowhere-vanishing, one can show that (5.51) defines a \( G_2 \) structure on the seven-manifold \( \mathcal{Y} \).

Using (5.51), one can express the Kähler potential and the superpotential in the following way [38, 35, 39, 17, 16]

\[ K = -3 \ln \left( \frac{1}{7} \int_{\mathcal{Y}} \Phi \wedge * \Phi \right), \quad W = \frac{1}{8} \int_{\mathcal{Y}} (\sqrt{2} C_3 + i \Phi) \wedge d_7 (\sqrt{2} C_3 + i \Phi). \quad (5.52) \]
To verify that the formulas in (5.52) indeed reproduce the Kähler potential and superpotential of our truncated theory, we first note that the sum of (3.17) and (5.29) can be brought into the form

$$
\mathcal{K} = - \log \left[ 8 R^3 \right] - 2 \log \left[ 2 \sqrt[8]{R} R^{-1} \int_{\mathcal{Y}} \text{Re}(C \Omega) \wedge \star_7 \text{Re}(C \Omega) \right].
$$

(5.53)

In the second term the integral is over the seven-manifold Y and its prefactor arises from the zz-component of the metric (3.8) by taking into account the Weyl rescaling mentioned above equation (A.13). From (5.18) and (B.15), utilizing $\star_6 \text{Re}\Omega = \text{Im}\Omega$, one also finds the relations

$$
2 \sqrt[8]{R} R^{-1} \int_{\mathcal{Y}} \text{Re}(C \Omega) \wedge \star_7 \text{Re}(C \Omega) = 2 \int_{\mathcal{X}} \text{Re}(C \Omega) \wedge \star_6 \text{Re}(C \Omega) = e^{-2\phi} = V ,
$$

(5.54)

which allow one to reproduce (5.53) from the Kähler potential in (5.52). Concerning the superpotential, employing (2.21) as well as (3.5), we can express (5.41) in the following way

$$
W = \frac{1}{4} \int_{\mathcal{Y}} \Omega_c \wedge d_7 \Omega_c , \quad \Omega_c = C_3 + i \sqrt{8} \text{Re}(C \Omega) ,
$$

(5.55)

where $\mathcal{Y}$ is the seven-dimensional space given by (4.1) and $C_3$, subject to the truncation (5.20), was defined in (4.11). One then shows that the superpotential in (5.52) reproduces (5.55).

We finally remark that in the literature on M-theory compactifications on manifolds with $G_2$ structure, one usually does not obtain D-terms. Studying this question in more detail would be an interesting extension of our work.

6 Vacuum structure

The $\mathcal{N} = 2$ theory

Let us now briefly analyze the vacuum structure of the $\mathcal{N} = 2$ theory derived in section 3.2. In particular, to determine the minima of the potential (3.23) we first compute

$$
\phi^A \frac{\partial}{\partial \phi^A} V = -3V ,
$$

(6.1)

which means that the potential is a homogeneous function of degree three in the fields $\phi^A$. Thus, a necessary condition for a minimum is that the potential $V$
vanishes. Since $V$ shown in (3.23) is a sum of semi-positive terms, each of those has to vanish independently. The non-degenerate solutions therefore are

$$0 \doteq N^r = b^r - \frac{2}{3} \beta z^r + B^r_s z^s - \frac{1}{2} R^v_{st} a_v z^s z^t,$$

$$0 \doteq N \left( \frac{\xi}{\xi} \right),$$

$$0 \doteq M^A_B \phi^B.$$

Notice that, from the last two equations, the flat directions of the potential (3.23) for $(\xi^K, \tilde{\xi}_K)$ and $\phi^A$ are counted by the number of zero eigenvalues of the twisting matrices $N$ and $M$. The eigenvectors of these matrices define a finite dimensional subspace, characterizing the directions where moduli are stabilized. In turn, the directions orthogonal to this subspace correspond to the flat directions. We remark that the analysis for the complex structure moduli $z^r$ is slightly more involved.

Of course, there are also degenerate solutions which can lead to a vanishing potential. Recalling (3.23), these include configurations such as $(\xi^k, \tilde{\xi}_\lambda) = 0$, $\phi^A = 0$, $\phi \to -\infty$, $R \to \infty$, or where the matrices $G, M$ and $K_{AB}$ have zero eigenvalues.

Furthermore, since some of the scalar fields of the theory are gauged, a mass term for the gauge fields $A^A$ can be generated. More concretely, the Lagrangian contains terms of the form

$$\int_{\mathbb{R}^{3,1}} \left[ M_{\Lambda \Sigma} A^A \wedge *_4 A^\Sigma \right], \quad \Lambda, \Sigma = 0, \ldots, h^{1,1},$$

with the mass matrix $M_{\Lambda \Sigma}$ given by

$$M_{AB} = - \left( M^T g M \right)_{AB} \bigg|_{\min} ,$$

$$M_{0A} = + \left( b^T M^T g M \right)_A \bigg|_{\min} ,$$

$$M_{00} = - b^T M^T g M b \bigg|_{\min} .$$

Here $g = g_{AB}$ denotes the Kähler metric (3.13), $b^A = \text{Re} (t^A)$ and matrix products are understood. Note that $M_{00}$ contains an additional term proportional to the scalar potential, which however vanishes in the minimum.

**The $\mathcal{N} = 1$ theory**

To study the vacua of the truncated theory, we first recall the D- and F-term potential given in (5.40) and (5.44)

$$V = V_D + V_F = - \frac{1}{4 R^6} \left( M^a_c \phi^c \right) \left( M^b_d \phi^d \right) K_{\alpha \beta} + 2 e^K \left( G^I D_I W D_I W \right).$$

30
Similarly as for the $\mathcal{N} = 2$ case, a necessary condition for minima in the fields $\phi^a$ reads

$$0 = \phi^a \frac{\partial}{\partial \phi^a} V = -3V , \quad (6.6)$$

which, since (6.5) is a sum of semi-positive definite terms, implies that $V_D = V_F = 0$. The non-degenerate solution to $V_D = 0$ is given by $D_\alpha = 0$ which means

$$M^{\beta} c \phi^c = 0 , \quad (6.7)$$

whereas the non-degenerate solution to $V_F = 0$ leads to $F_I = 0$. One configuration satisfying this constraint reads

$$NU = 0 , \quad (6.8)$$

where the vector $U$ was defined in (5.42). However, other solutions involving for instance $(\xi^k, \bar{\xi}_\lambda) = 0$ are also possible.

For the mass terms of the vector fields we recall that the graviphoton $A^0$ as well as the fields $A^a$ are projected out. We are thus left with

$$\int_{\mathbb{R}^{1,1}} \left[ M_{\alpha \beta} A^\alpha \wedge *_4 A^\beta \right] , \quad (6.9)$$

where with the help of (5.14) the mass matrix $M_{\alpha \beta}$ is found to be

$$M_{\alpha \beta} = -(M^T g M)_{\alpha \beta} \bigg|_{\text{min.}} , \quad (6.10)$$

and the metric $g_{ab}$ takes indices $a, b = 1, \ldots, h^{1,1}_\perp$.

### 7 Conclusions and outlook

In this paper, we have performed a detailed analysis of Scherk-Schwarz reductions of M-theory down to four spacetime dimensions, including both the vector multiplet and hypermultiplet sectors. These reductions yield gauged $\mathcal{N} = 2$ supergravities, with a potential for the scalar fields that we explicitly computed. We have focussed on the bosonic sector of the theory, though the fermions can be treated in a similar way, such as to preserve supersymmetry of the full Lagrangian. Our analysis here is an extension of previous results in the literature [9, 15].
Furthermore, we have defined a truncation from $\mathcal{N} = 2$ to $\mathcal{N} = 1$, inspired by the rules of orientifold projections in type IIA string theory. These models are determined by the Kähler potential for the chiral $\mathcal{N} = 1$ multiplets, the superpotential, the gauge kinetic functions, and the D-terms, all of which we have explicitly computed. Our results show a close relation to compactifications of M-theory on manifolds with $G_2$ structure, which would be interesting to understand in further detail.

The models we obtained are not of immediate phenomenological relevance. This is because not all moduli are stabilized, and we have not identified which of the vacua lead to supersymmetry breaking. However, the inclusion of quantum corrections, both perturbative and nonperturbative, could provide additional mechanisms to stabilize the yet unfixed moduli. Due to the presence of D-terms in our models, this might lead to metastable vacua with a positive cosmological constant that could be relevant e.g. for inflationary models. We leave this interesting possibility for future research.

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A Some details on the dimensional reduction to D = 5

Let us begin with the dimensional reduction of the eleven-dimensional Ricci scalar appearing in the action (2.1). We first decompose (up to total derivatives)

\[ \frac{1}{2} \int \hat{R} \star 1 = \frac{1}{2} \int d^{11} \hat{x} \sqrt{\hat{G}} \left[ R_{(5)} + R_X - \frac{1}{4} \left( G^{ab} \partial_\mu G_{bc} \right) \left( G^{cd} \partial_\nu G_{da} \right) 
+ \frac{1}{4} \left( G^{ab} \partial_\mu G_{ab} \right) \left( G^{cd} \partial_\nu G_{cd} \right) \right], \quad (A.1) \]

where \( R_{(5)} \) denotes the Ricci scalar computed from the five-dimensional metric \( \hat{g}_{\mu \nu} \), \( R_X = 0 \) is the Ricci scalar of the Calabi-Yau manifold \( X \) and \( \partial_\mu \) are derivatives with respect to the five-dimensional coordinates \( \hat{x}^\mu \). We then split the Calabi-Yau metric \( G_{mn} \) into a constant background part \( \hat{G}_{mn} \) and fluctuations around this background

\[ G_{mn} = \hat{G}_{mn} + \delta G_{mn}. \quad (A.2) \]

Following [40, 41, 42, 43], the fluctuations (in a complex basis with holomorphic indices \( a, b \) and anti-holomorphic indices \( \bar{a}, \bar{b} \)) can be expressed as

\[ \delta G_{a\bar{b}} = -i v^A (\omega_A)_{a\bar{b}}, \quad A = 1, \ldots, h^{1,1}, \]
\[ \delta G_{ab} = \frac{\mathcal{V}}{\int_X \Omega \wedge \Omega} \chi^r (\hat{\chi}_r)_{ab} \Omega_{a\bar{b}} \chi^r, \quad r = 1, \ldots, h^{2,1}, \quad (A.3) \]

where \( v^A \) are fluctuations around the background value \( \hat{v}^A \) of the expansion parameters of the Kähler form given in (2.6). In the following, these will be combined into

\[ v^A = \hat{v}^A + \delta v^A. \quad (A.4) \]

Furthermore, \( \chi_r \) denotes a basis of harmonic \((2,1)\)-forms on \( X \), and the holomorphic three-form \( \Omega \) was introduced in (2.6). The volume \( \mathcal{V} \) of the Calabi-Yau threefold was defined in equation (2.7). At lowest order in the fluctuations, \( \chi_r \) in \( \delta G_{ab} \) does not depend on the five-dimensional coordinates whereas \( z^r \) (as well as \( \delta v^A \) in \( \delta G_{a\bar{b}} \)) are functions of \( \hat{x}^\mu \). We also note the relation

\[ \hat{G}^{a\bar{b}}(\omega_A)_{a\bar{b}} = \frac{i}{2} \frac{\mathcal{K}_{ABC} v^B v^C}{\mathcal{V}}, \quad (A.5) \]

and we define and compute

\[ g_{(5)AB} \equiv \frac{1}{4 \mathcal{V}} \int_X \omega_A \wedge * \omega_B = -\frac{1}{4 \mathcal{V}} \left( \mathcal{K}_{ABC} v^C - \frac{\mathcal{K}_{ACD} v^D \mathcal{K}_{BEF} v^E}{4 \mathcal{V}} \right), \quad (A.6) \]
as well as
\[
G_{rs} \equiv -\frac{\int_X \chi_r \wedge \bar{\chi}_s}{\int_X \Omega \wedge \bar{\Omega}}, \quad r, s = 1, \ldots, h^{2,1}.
\] (A.7)

Up to second order in the fluctuations $\delta G$, we then find
\[
\frac{1}{2} \int_{\mathbb{R}^{4,1} \times X} \hat{R} \ast 1 = \int_{\mathbb{R}^{4,1}} \left[ \frac{\nu}{2} R_5 \ast_5 1 - \nu g_{(5)AB} dv^A \wedge \ast_5 dv^B - \nu G_{rs} dz^r \wedge \ast_5 \bar{dz}^s \\
+ \frac{\nu}{2} d \log \nu \wedge \ast_5 d \log \nu \right].
\] (A.8)

Let us next turn to the kinetic term for the three-form potential $\hat{C}_3$. Using the ansatz (2.9), we compute
\[
\frac{1}{4} \int_{\mathbb{R}^{4,1} \times X} \hat{F}_4 \wedge \ast \hat{F}_4 = \frac{1}{4} \int_{\mathbb{R}^{4,1}} \left[ \nu d\bar{c}_3 \wedge \ast_5 d\bar{c}_3 + 4 \nu g_{(5)AB} dA^A \wedge \ast_5 dA^B \\
- 2 (\text{Im } \mathcal{M})^{-1}_{KL} \left( d\bar{\xi}_K - \mathcal{M}_{KN} d\xi^N \right) \wedge \ast_5 \left( d\bar{\xi}_L - \overline{\mathcal{M}}_{LM} d\xi^M \right) \right].
\] (A.9)

Here, we have employed the period matrix $\mathcal{M}_{KL}$ which satisfies [44, 45]
\[
\int_X \alpha_K \wedge \ast_6 \alpha_L = \left[ -(\text{Im } \mathcal{M}) - (\text{Re } \mathcal{M}) (\text{Im } \mathcal{M})^{-1} (\text{Re } \mathcal{M}) \right]_{KL}, \\
\int_X \alpha_K \wedge \ast_6 \beta^L = \left[ -(\text{Re } \mathcal{M}) (\text{Im } \mathcal{M})^{-1} \right]^L_K, \\
\int_X \beta^K \wedge \ast_6 \beta^L = \left[ -(\text{Im } \mathcal{M})^{-1} \right]^{KL},
\] (A.10)

with matrix products understood and $\{\alpha_K, \beta^L\}$ denoting the basis introduced in (2.4). For the topological term in the action (2.1) we compute (up to total derivatives)
\[
- \frac{1}{12} \int_{\mathbb{R}^{4,1} \times X} \hat{F}_4 \wedge \hat{F}_4 \wedge \hat{C}_3 = - \frac{1}{12} \int_{\mathbb{R}^{4,1}} \left[ 6 d\bar{c}_3 \wedge (\xi^K d\bar{\xi}_K - \bar{\xi}_K d\xi^K) + \kappa_{ABC} dA^A \wedge dA^B \wedge dA^C \right].
\] (A.11)

To dualize $\bar{c}_3$ to a scalar field, we introduce a Lagrange multiplier $a$ for $d\bar{c}_3$ and add this term to the combined action (A.9) and (A.11). After solving the equations
of motion for \( \tilde{c}_3 \) and substituting them back into the action, the terms involving \( \tilde{c}_3 \) become

\[ -\frac{1}{4} \int_{\mathbb{R}^4,1} \mathcal{V} d\tilde{c}_3 \wedge *_5 d\tilde{c}_3 + 2d\tilde{c}_3 \wedge (\tilde{\xi}_K d\xi^K - \xi^K d\tilde{\xi}_K) + 2d\tilde{c}_3 \wedge da \]

\[ = -\frac{1}{4} \int_{\mathbb{R}^4,1} \frac{1}{\mathcal{V}} \left( da + \xi^K d\xi_K - \tilde{\xi}_K d\xi^K \right) \wedge *_5 \left( da + \xi^L d\tilde{\xi}_L - \tilde{\xi}_L d\xi^L \right). \]  

Finally, we combine the above expressions and perform a Weyl rescaling \( \tilde{g}_{\mu\nu} \rightarrow \mathcal{V}^{-\frac{1}{2}} \tilde{g}_{\mu\nu} \) of the five-dimensional metric to arrive at

\[ S_{(5)} = \int_{\mathbb{R}^4,1} \left[ + \frac{1}{2} R(5) *_5 1 - \frac{1}{6} d \log \mathcal{V} \wedge *_5 d \log \mathcal{V} - g_{(5)AB} d\nu^A \wedge *_5 d\nu^B \\
- G_{\tilde{\tau}d} d\tau^\tau \wedge *_5 d\tilde{\tau}^\tau - \mathcal{V}^2 g_{(5)AB} dA^A \wedge *_5 dA^B \right. \\
- \frac{1}{4 \mathcal{V}^2} \left( da + \xi^K d\xi_K - \tilde{\xi}_K d\xi^K \right) \wedge *_5 \left( da + \xi^L d\tilde{\xi}_L - \tilde{\xi}_L d\xi^L \right) \\
+ \frac{1}{2 \mathcal{V}} (\text{Im } \mathcal{M})^{-1} K L (d\tilde{\xi}_K - \mathcal{M}_{KND} d\xi^N) \wedge *_5 (d\tilde{\xi}_L - \mathcal{M}_{LM} d\xi^M) \\
- \frac{1}{12} \mathcal{K}_{ABC} dA^A \wedge dA^B \wedge A^C \right]. \]  

As it turns out, the field \( \mathcal{V} \) belongs to a hypermultiplet and so (A.13) contains terms mixing hyper- and vector multiplets. To make contact with the standard formulation of \( \mathcal{N} = 2 \) supergravity in five dimensions, we introduce new fields

\[ \nu^A = \mathcal{V}^{-\frac{1}{2}} \nu^A. \]  

By definition, due to (2.7), these satisfy \( \frac{1}{6} \mathcal{K}_{ABC} \nu^A \nu^B \nu^C = 1 \) and so there are \( h^{1,1} \) scalar fields \( \nu^A \) subject to one constraint, as well as the independent field \( \mathcal{V} \). We then arrive at the following form of the five-dimensional action

\[ S_{(5)} = \int_{\mathbb{R}^4,1} \left[ + \frac{1}{2} R(5) *_5 1 - \frac{1}{6} d \log \mathcal{V} \wedge *_5 d \log \mathcal{V} + \frac{1}{4} \mathcal{K}_{ABC} \nu^C d\nu^A \wedge *_5 d\nu^B \\
+ \frac{1}{4} \left( \mathcal{K}_{ABC} \nu^C - \frac{1}{4} \mathcal{K}_{ACD} \nu^D \mathcal{K}_{BEF} \nu^E \nu^F \right) dA^A \wedge *_5 dA^B \right. \\
- \frac{1}{12} \mathcal{K}_{ABC} \nu^A \wedge dA^B \wedge A^C - G_{\tilde{\tau}d} d\tau^\tau \wedge *_5 d\tilde{\tau}^\tau \\
- \frac{1}{4 \mathcal{V}^2} \left( da + \xi^K d\xi_K - \tilde{\xi}_K d\xi^K \right) \wedge *_5 \left( da + \xi^L d\tilde{\xi}_L - \tilde{\xi}_L d\xi^L \right) \\
+ \frac{1}{2 \mathcal{V}} (\text{Im } \mathcal{M})^{-1} K L (d\tilde{\xi}_K - \mathcal{M}_{KND} d\xi^N) \wedge *_5 (d\tilde{\xi}_L - \mathcal{M}_{LM} d\xi^M) \right]. \]
B Some details on the dimensional reduction to D = 4

Computations

To perform the dimensional reduction of the five-dimensional action (2.10) (which is the same as (A.15)), we note that the inverse of the metric (3.8) reads

$$\tilde{g}^{\tilde{\mu}\tilde{\nu}} = \begin{pmatrix} R g^{\mu\nu} & R A^{0\mu} \\ R A^{0\nu} & R^{-2} + R A^{0\rho} A^{0}_\rho \end{pmatrix}, \quad (B.1)$$

where $A^{0\mu}$ is the graviphoton with indices raised by the inverse of the four-dimensional metric $g_{\mu\nu}$. The determinant of $\tilde{g}^{\tilde{\mu}\tilde{\nu}}$ is given by

$$\det \tilde{g}^{\tilde{\mu}\tilde{\nu}} = R^{-2} \det g_{\mu\nu}. \quad (B.2)$$

For the five-dimensional Ricci scalar, we then find

$$\int_{\mathbb{R}^{4,1}} \frac{1}{2} R(5) \star_5 1 = \int_{\mathbb{R}^{3,1}} \left[ \frac{1}{2} R(4) \star_4 1 - \frac{3}{4} d \log R \wedge \star_4 d \log R - \frac{R^3}{4} d A^0 \wedge \star_4 d A^0 \right]. \quad (B.3)$$

Under the symmetries (2.12) discussed in section 2.2, due to equation (2.13), the volume $V$ is independent of $z$ and so we have chosen $\partial_z V = 0$. Upon dimensional reduction, the corresponding term in the action keeps the same form, i.e.

$$\int_{\mathbb{R}^{4,1}} \left[ -\frac{1}{4} d \log V \wedge \star_5 d \log V \right] = \int_{\mathbb{R}^{3,1}} \left[ -\frac{1}{4} d \log V \wedge \star_4 d \log V \right]. \quad (B.4)$$

However, for the scalars $\nu^A$ there is a non-trivial dependence on the coordinate $z$ of the circle, which we have specified in equation (3.2). This leads to

$$\int_{\mathbb{R}^{4,1}} \left[ \frac{1}{4} \mathcal{K}_{ABC} \nu^C d\nu^A \wedge \star_5 d\nu^B \right] = \int_{\mathbb{R}^{3,1}} \left[ \frac{1}{4} \mathcal{K}_{ABC} \nu^C D\nu^A \wedge \star_4 D\nu^B + \frac{1}{4R^3} \mathcal{K}_{ABC} (M^A D\nu^B) (M^B E\nu^E) \star_4 1 \right], \quad (B.5)$$

where we have defined

$$D\nu^A = d\nu^A + A^0 M^A_{\ B} \nu^B. \quad (B.6)$$
The computation for the remaining five-dimensional scalar fields in the action (2.10) is completely analogous. On the other hand, the reduction of the five-dimensional vector fields is non-trivial. In particular, using (3.2) and (3.9), for the kinetic term one finds

\[
\int_{\mathbb{R}^4} \left[ \frac{1}{4} \left( \mathcal{K}_{ABC} \nu^C - \frac{1}{4} \mathcal{K}_{ACD} \nu^C \nu^D \mathcal{K}_{BEF} \nu^E \nu^F \right) \right] dA^A_{(5)} \wedge \star_5 dA^B_{(5)}
\]

\[
= \int_{\mathbb{R}^3} \left[ \frac{1}{4} \left( \mathcal{K}_{ABC} \nu^C - \frac{1}{4} \mathcal{K}_{ACD} \nu^C \nu^D \mathcal{K}_{BEF} \nu^E \nu^F \right) \right] \times
\]

\[
\times \left( R F_A^A \wedge \star_4 F_B^B + \frac{1}{R^2} D b^A \wedge \star_4 D b^B \right).
\]

with the definitions

\[
F_A^A = dA_A^A - M^A_B A_B^B \wedge A^0, \quad D b^A = d b^A - M^A_B (A_B^B - b_B^B A^0).
\]

For the Chern-Simons term in the five-dimensional action (2.10), employing the constraint (2.13), we find in agreement with [15]

\[
\int_{\mathbb{R}^4} \left[ - \frac{1}{12} \mathcal{K}_{ABC} dA_A^A \wedge dA_B^B \wedge A_C^C \right]
\]

\[
= \int_{\mathbb{R}^3} \left[ - \frac{1}{6} \mathcal{K}_{ABC} F_A^A \wedge M^B_D A_B^D \wedge A^C_{(4)} - \frac{1}{4} \mathcal{K}_{ABC} b^C F_A^A \wedge F_B^B + \frac{1}{6} \mathcal{K}_{ABC} b^B b^C dA^0 \wedge F_A^A - \frac{1}{12} \mathcal{K}_{ABC} b^A b^B b^C dA^0 \wedge dA^0 \right].
\]

**Standard form of \( \mathcal{N} = 2 \) gauged supergravity**

Let us now bring the above results into the standard form of \( \mathcal{N} = 2 \) gauged supergravity in four dimensions. However, for ease of notation we will drop all subscripts indicating four-dimensional quantities since this will be clear from the context.

- The Einstein-Hilbert term shown in equation (B.3) is already in the standard form.
- Concerning the scalars \( \nu^A \) and \( b^A \), we first define fields \( \phi^A \) in the following way

\[
\phi^A = R \nu^A, \quad R^3 = \frac{1}{6} \mathcal{K}_{ABC} \phi^A \phi^B \phi^C,
\]

where we have included the constraint (2.11) in terms of the \( \phi^A \). Collecting
then all kinetic terms involving $\phi^A$ and $b^A$ from above, we can express them as

$$\int_{\mathbb{R}^{3,1}} \left[ -g_{AB} D t^A \wedge \star_4 D t^B \right], \quad \text{(B.11)}$$

where we employed the definitions (3.12) as well as (3.13).

- For the four-dimensional vector fields $A^A$ and $A^0$, we first recall the definitions (3.18) and (3.19) for the combined field strengths and structure constants, respectively. Next, we note that the period matrix derived from (3.16) reads

$$\text{Im} \, N_{AB} = -4 R^3 g_{AB}, \quad \text{Re} \, N_{AB} = -K_{ABC} b^C, \quad \text{Im} \, N_{A0} = +4 R^3 g_{AB} b^B, \quad \text{Re} \, N_{A0} = +\frac{1}{2} K_{ABC} b^B b^C, \quad \text{Im} \, N_{00} = -R^3 \left(1 + 4 g_{AB} b^A b^B\right), \quad \text{Re} \, N_{00} = -\frac{1}{3} K_{ABC} b^A b^B b^C. \quad \text{(B.12)}$$

With $\Lambda, \Sigma = 0, \ldots, h^{1,1}$, the kinetic and topological terms for the vector fields are then expressed as

$$\int_{\mathbb{R}^{3,1}} \left[ +\frac{1}{4} \text{Im} \, N_{\Lambda \Sigma} F^\Lambda \wedge \star_4 F^\Sigma + \frac{1}{4} \text{Re} \, N_{\Lambda \Sigma} F^\Lambda \wedge F^\Sigma \right]. \quad \text{(B.13)}$$

- In equation (B.9), there is one term not contained in (B.13) which can be brought into the following form

$$\int_{\mathbb{R}^{3,1}} \left[ -\frac{1}{6} A^A M_A^B \wedge A^C \wedge dA^D K_{BCD} \right]. \quad \text{(B.14)}$$

- For the hypermultiplets, we first note that the reduction from five to four dimensions is very similar to the one presented in (B.5). Defining then

$$\mathcal{V} = e^{-2\phi}, \quad \text{(B.15)}$$

one arrives at the kinetic terms given in (3.20).

- Let us finally comment on the scalar potential. As one can see for instance from (B.5), the non-trivial dependence of the scalar fields on the circle coordinate $z$ will lead to a scalar potential in four dimensions. Collecting these terms also for the remaining scalar fields, one arrives at the potential given in (3.23).
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