Improving the control of prostheses in arm amputees with approaches based on motor coordination
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1. Introduction

Recent advances in mechatronics and robotics have led to the production of prostheses with a growing number of active degrees of freedom (DoFs). Unfortunately, the gap is growing between this improved hardware and their still counter-intuitive, sequential and limited myoelectric control [1]. To overcome some of these control limitations, there is a renewal of interest in using movements rather than electrophysiological signals as control inputs. Among those movement-based control approaches, some aim to create a more intuitive and natural control by using the motion of the residual limb and body to predict and automatize partly the movement of the prosthesis. Such control rely on the use of models of natural interjoint coordination which naturally exists in healthy subjects [3].

We thus here propose to use Radial Basis Function Networks (RBFN) to estimate flexion/extension prosthetic elbow movements from the residual shoulder angular movements, measured with embedded Inertial Measurement Units (IMUs). The performance of this control model was assessed with an experimental evaluation with 3 transhumeral amputated participants who used this automatically driven elbow prosthesis (and a generic myoelectric control for comparison) to perform reaching tasks. Several metrics were thus used to quantify the task performance, along with the movement strategies exhibited by the amputated participants to illustrate the possibilities and present limitations of such control approaches.

2. Methods

2.1 Participants

Three participants (male, aged between 34 and 41) with a transhumeral amputation were recruited at the IRR of Nancy. The inclusion criteria were a good residual limb mobility, absence of pain, and no brachial plexus damage, along with past experience with myoelectric control. This work was carried out in accordance with the recommendations of the Université Paris Descartes ethic committee CERES. All subjects gave written informed consent in accordance with the Declaration of Helsinki.

Figure 1: Experimental setup. A: amputated participant wearing the prototype and standing in the initial position. 9 targets are shown for each distance (D1 and D2). B: Hand position with respect to the target when successfully reaching a target.

2.2 Prosthesis prototype and associated controls

A prototype was built based on a modified E-TWO electric elbow (Hosmer, Fillauer©with a 50°/s of nominal speed), fitted a polydigital hand (which was not active during the experiments). A Raspberry Pi 3 was used to read sensors, control the dedicated motor controller in charge of elbow's motor speed closed-loop control. The prosthesis prototype was mounted onto the subject's own socket, and their two myoelectric electrodes (Myobock, Ottobock©), located within their prosthesis socket over the residual biceps and triceps groups, were connected to the prototype's controller. The prosthesis controller, which also read the data from two IMUs (x-IMU, X-IO Technologies©), piloted the prosthetic joints according to the input signals and the control mode. Two different control laws were implemented on the prototype: an automatic coordination-based control (A-mode) and a generic myoelectric control (ME-mode). The A-mode was built,
thanks to a Radial Basis Function Network (RBFN) model, that approximates the relationship between the elbow angular velocity and the time derivatives of the three Euler angles describing the orientation of the arm with respect to the trunk (see [2] for a more detailed description on the building of the model). The RBFN model was trained with 14 healthy individuals' data who repetitively performed the same reaching task protocol (see description below) but only wearing IMUs and additional motion capture sensors.

2.3 Experimental setup and protocol
Amputated participants were fitted with the prosthesis prototype with the hand placed in a semi-opened posture and a fixed orientation (semi pronated). They were asked to reach the different targets by trying to place the prosthetic hand around the different 18 targets (as shown in Fig. 1.B). The initial position was defined with the prosthetic elbow flexed at 90°, as shown in Fig. 1.A. No particular instruction was given to the subjects concerning movement duration, speed or precision. Movement end was automatically detected with a velocity threshold. The participants performed the task once with the prosthetic elbow in each of the two control mode (A and ME-mode).

3. Results and discussion
As detailed below, the A-mode, while appreciated by all participants, lead to trunk and upper-limb movement strategies that appeared more "natural", i.e. more similar to the reaching movements made by healthy individuals. All averaged (over targets and participants) results are reported in Table 1.

![Figure 2: Trunk’s displacements in the sagittal plane during each reaching movement, normalized with the completion time. The bars represent the mean values (short green line) +/- the standard deviations.](image)

The participants could reach the targets with both modes with similar reaching precision errors. The reaching gestures performed with a prosthesis were longer than healthy movements but the movement duration was reduced when using the A-mode. Similarly, the A-mode restored larger range of use of the elbow joint, as a healthy subject would do, but generated a slight overuse of the shoulder elevation (but without reaching uncomfortable postures) possibly to compensate for over-extension generated by the A-mode in some targets. Some reduction of the trunk compensation in the sagittal plane were observed for most targets (except for some of D2) in all the participants, as shown in Fig.2 and Table 1. A-mode also seemed to lead to a reduced deviation of the trunk among the tested population compared to ME-mode.

| Amputee (averaged) | Healthy (ref.) |
|-------------------|----------------|
| ME-mode           | A-mode         | Healthy (ref.) |
| Precision (cm)    | 1.4 ± 1.2      | 4.3 ± 4.4      | 1.4 ± 1.9     |
| Duration (s)      | 3.3 ± 1.7      | 2.3 ± 1.1      | 1.1 ± 0.2     |
| Elbow range. (deg)| 17.1 ± 19.7    | 43.2 ± 15.2    | 34.9 ± 10.1   |
| Shoulder elev. (deg)| 20.1 ± 16.3  | 28.2 ± 16.8    | 23.1 ± 11     |
| Trunk speed (mm/s)| 35.4 ± 26      | 28.3 ± 14.2    | 14.0 ± 11.1   |

Table 1: Averaged results of the experiment with amputated participants, compared to healthy references.

4. Conclusions
Three transhumeral amputated individuals achieved a reaching task with a prosthetic elbow prototype driven by a generic model of the inter-joint coordination built from a combination of healthy individuals' data. For comparison, the participants performed the reaching task with a conventional dual-site myoelectric elbow control mode, and with the automatic elbow control mode. The results showed that in addition to reach the targets with both control modes, the trunk movements were reduced in numerous targets and a more natural elbow use was restored with the A-mode. Hence, while numerous challenges remain to be solved for such approach to be concretely transferred to a usable device, this study showed that reaching targets with an automatically-driven elbow was possible, and that it was beneficial to the users: the compensatory strategies and the required cognitive load (yet verbally reported by participants but assessed with questionnaire in future works) were reduced, and simultaneous elbow and end-effector control could be possible.

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