Effects of Quercetin and Resveratrol on in vitro Properties Related to the Functionality of Potentially Probiotic Lactobacillus Strains

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The ability of probiotics to exert benefits on host has been associated with different physiological functionalities in these microorganisms, namely cell surface hydrophobicity, autoaggregation, coaggregation with pathogens, antagonistic activity against pathogens and ability to survive the exposure to gastrointestinal conditions. This study assessed the effects of different concentrations of quercetin (QUE) and resveratrol (RES) on the ability of six potentially probiotic Lactobacillus strains to tolerate different pH values and bile salt concentrations, to autoaggregate, coaggregate with and antagonize pathogens and survive the exposure to simulated gastrointestinal conditions. QUE and RES presented low inhibitory effects on all tested Lactobacillus strains, with minimum inhibitory concentration (MIC) ranging from 512 to >1024 µg/mL. In most cases, QUE and RES at all tested concentrations (i.e., MIC, 1/2 MIC, and 1/4 MIC) did not affect the tolerance of the Lactobacillus strains to acidic pH and bile salts. QUE increased cell surface hydrophobicity of most of the tested Lactobacillus strains and increases or decreases in cell surface hydrophobicity varied in the presence of different RES concentrations among some strains. QUE and RES increased the ability of tested Lactobacillus strains to autoaggregate and coaggregate with pathogens. QUE and RES did not negatively affect the antagonistic activity of the tested Lactobacillus strains against pathogens and did not decrease their survival rates when exposed to in vitro gastrointestinal conditions. In a few cases, the ability of some tested Lactobacillus strains to antagonize pathogens, as well as to survive specific steps of the in vitro digestion was increased by QUE and RES. QUE exerted overall better protective effects on the measured in vitro properties of tested Lactobacillus strains than RES, and L. fermentum and L. plantarum strains presented better responses when treated with QUE or RES. These results showed that probiotic Lactobacillus strains could present low susceptibility to QUE and RES. Combined use of QUE and RES with probiotic Lactobacillus could improve their functionalities on the host; however, the concentration of these polyphenols should be carefully selected to achieve the desirable effects and vary according to the selected probiotic strain.

Keywords: polyphenols, stilbenes, flavonoids, probiotics, physiological properties, modulatory effects
INTRODUCTION

Polyphenols are widely distributed in fruits, vegetables, herbs, seeds, cereals, honey and beverages (Hossen et al., 2017). Among the polyphenols present in foods, quercetin (QUE) and resveratrol (RES) have received increased interest because of their antioxidant and anti-inflammatory properties and evidence associating their intake with the prevention of non-communicable diseases, such as diabetes, obesity and cardiovascular disorders (Dolinsky et al., 2013; Gelen et al., 2017).

Quercetin is one of the major representatives of the class of flavonoids, being naturally found in apples and red wine. RES is the main representative of the class of stilbenes, being naturally present in bark and seeds of grapes and wine (Dolinsky et al., 2013; de Souza E.L. et al., 2019). Available literature reports that QUE and RES even at high concentrations (e.g., 1000–1500 mg/day) are well tolerated by mammals and exert no adverse health effects (Harwood et al., 2007; Sergides et al., 2016), increasing their potential clinical and food applications.

Polyphenols may exert health benefits at a local level when they act directly during passage through the gastrointestinal tract or at a systemic level after their absorption (Gelen et al., 2017). The digestion and absorption of dietary polyphenols are limited, being estimated that only 5–10% of total ingested polyphenols can be absorbed in the small intestine (Cueva et al., 2017). Consequently, high amounts of dietary polyphenols remain available for interaction with and/or use by microorganisms forming the gut microbiota (Moreno-Indias et al., 2016; de Souza E.L. et al., 2019).

_Lactobacillus_ species comprise one of the main microbial groups of the gut microbiota of mammals (Ventura et al., 2009; David et al., 2014), in addition to be extensively studied for the selection of probiotic strains (de Albuquerque et al., 2017) and recently for their ability to metabolize polyphenols (Llano et al., 2017; Mueller et al., 2018). The potential ability of probiotics to exert health benefits on the host has been commonly associated with specific physiological functionalities (e.g., cell surface hydrophobicity, autoaggregation, coaggregation with pathogens, acidic pH tolerance, bile salts tolerance, and survival to simulated gastrointestinal conditions) were used in this study (Garcia et al., 2016; de Albuquerque et al., 2017). These _Lactobacillus_ species have been extensively reported as being part of human gut microbiota (Ventura et al., 2009; David et al., 2014). The tested _Lactobacillus_ strains were previously identified using 16S rRNA gene sequence analysis (Garcia et al., 2016). Stocks were stored at −20°C in de Mann, Rogosa and Sharpe (MRS) broth (HiMedia, Mumbai, India) with glycerol (Sigma-Aldrich, St. Louis, MO, United States; 20 mL/100 mL). Working cultures were maintained aerobically on MRS agar (HiMedia, Mumbai, India) at 4 ± 0.5°C and transferred to new media monthly. Prior to use, each strain was cultivated anaerobically (using the AnaeroGen Anaerobic System, Oxoid, Hampshire, United Kingdom) in MRS broth at 37°C for 20–24 h to reach the stationary growth phase. These cultures were harvested through centrifugation (4500 g × 15 min, 4°C), washed twice and resuspended in sterile saline solution (0.85 g/100 mL) to obtain cell suspensions with an optical density reading at 625 nm (OD$_{625}$) of 0.5. This suspension provided viable counts in the range of 7–8 log CFU/mL for each strain when enumerated on MRS agar (HiMedia, Mumbai, India). Each strain was tested separately as a single inoculum.

Strains of _Listeria monocytogenes_ (INCQS 00266, originally ATCC 7644) and _Escherichia coli_ (INCQS 00219, originally ATCC 8739) used in assays of antagonistic activity and coaggregation were obtained from the National Institute for Quality Control in Health (Osvaldo Cruz Foundation, Rio de Janeiro, Brazil). Stocks were stored in brain heart infusion (BHI) broth (HiMedia, Mumbai, India) with glycerol (Sigma-Aldrich, St. Louis, MO, United States; 20 mL/100 mL) at −20°C. Prior to use, each strain was aerobically grown in BHI broth at 37°C for 20–24 h to reach the stationary growth phase, harvested through centrifugation (4500 g × 15 min, and 4°C), washed twice and resuspended in sterile saline solution to obtain cell suspensions with an OD$_{625}$ of 0.1. This suspension provided viable counts in the range of

This study first evaluated the susceptibility of six potentially probiotic _Lactobacillus_ strains to QUE and RES. Second, the _in vitro_ effects of different amounts of QUE and RES on the ability of these _Lactobacillus_ strains to tolerate different pH values and bile salt concentrations, as well as on their ability to autoaggregate, coaggregate with and antagonize pathogens were evaluated. Finally, the effects of QUE and RES on the survival of the tested _Lactobacillus_ strains when exposed to simulated gastrointestinal conditions were assessed.

MATERIALS AND METHODS

Test Strains and Inoculum Preparation

Six _Lactobacillus_ strains, namely _L. plantarum_ 49, _L. plantarum_ 53, _L. paracasei_ 106, _L. paracasei_ 108, _L. fermentum_ 263, and _L. fermentum_ 296, previously characterized as potentially probiotic in a series of safety (antibiotic resistance, mucinolytic, and hemolytic activity) and physiological functionality _in vitro_ tests (bile salt deconjugation, cell surface hydrophobicity, autoaggregation, coaggregation with pathogens, acidic pH tolerance, bile salts tolerance, and survival to simulated gastrointestinal conditions) were used in this study (Garcia et al., 2016; de Albuquerque et al., 2017). These _Lactobacillus_ species have been extensively reported as being part of human gut microbiota (Ventura et al., 2009; David et al., 2014). The tested _Lactobacillus_ strains were previously identified using 16S rRNA gene sequence analysis (Garcia et al., 2016). Stocks were stored at −20°C in de Mann, Rogosa and Sharpe (MRS) broth (HiMedia, Mumbai, India) with glycerol (Sigma-Aldrich, St. Louis, MO, United States; 20 mL/100 mL). Working cultures were maintained aerobically on MRS agar (HiMedia, Mumbai, India) at 4 ± 0.5°C and transferred to new media monthly. Prior to use, each strain was cultivated anaerobically (using the AnaeroGen Anaerobic System, Oxoid, Hampshire, United Kingdom) in MRS broth at 37°C for 20–24 h to reach the stationary growth phase. These cultures were harvested through centrifugation (4500 g × 15 min, 4°C), washed twice and resuspended in sterile saline solution (0.85 g/100 mL) to obtain cell suspensions with an optical density reading at 625 nm (OD$_{625}$) of 0.5. This suspension provided viable counts in the range of 7–8 log CFU/mL for each strain when enumerated on MRS agar (HiMedia, Mumbai, India). Each strain was tested separately as a single inoculum.

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7–8 log CFU/mL when enumerated on BHI agar (HiMedia, Mumbai, India). Each L. monocytogenes and E. coli strain was tested separately as a single inoculum.

**QUE and RES**

Quercetin and resveratrol were obtained from Sigma-Aldrich (purity ≥ 95%; St. Louis, United States). The solutions of QUE and RES were prepared in MRS broth with dimethyl sulfoxide (DMSO, 10%, v/v) immediately before use in assays in an amount sufficient to provide initial QUE and RES concentrations of 2048 and 1400 µg/mL. QUE and RES were tested separately in all assays.

**Determination of the Minimum Inhibitory Concentration (MIC) of QUE and RES**

The minimum inhibitory concentration values of QUE and RES on the tested Lactobacillus strains were determined using a microdilution in broth procedure (CLSI, 2012), with modification regarding the cultivation media. Initially, 100 µL-aliquots of the solutions with the different tested concentrations of QUE or RES were dispensed into wells of a 96-well microplate and each initial concentration was then serially diluted in MRS broth to provide at least eight different final concentrations. Subsequently, 100 µL of a suspension (7–8 log CFU/mL) of the test Lactobacillus strain was added to each well. The final tested concentrations of QUE and RES were in the range of 87.5–1024 µg/mL. The microplate with lid was anaerobically incubated (using the AnaeroGen Anaerobic System, Oxoid, Hampshire, United Kingdom) at 30°C for 24 h. Each microplate included a set of positive and negative controls. MIC was considered the lowest concentration of QUE and RES capable of causing visual growth inhibition of the target Lactobacillus strain.

**Assessment of the Effects of QUE and RES on Probiotic-Related in vitro Properties**

**Effects on Tolerance to Different pH Values and Bile Salt Concentrations**

The tolerance to different pH values and bile salt concentrations was assessed by inoculating 1 mL-aliquots of the inoculum suspension of the tested Lactobacillus strain (grown anaerobically in MRS broth, 20–24 h, 37°C, using the AnaeroGen Anaerobic System, Oxoid, Hampshire, United Kingdom) in 10 mL PBS (50 mM K2HPO4; final viable counts in the range of 6–7 log CFU/mL) with different concentrations (MIC, 1/2 MIC or 1/4 MIC) of QUE or RES with pH adjusted to 2, 3, or 5 using 1 M HCl or supplemented with 0.15, 0.3 or 1% (w/v) bile salts (Sigma-Aldrich, St. Louis, MO, United States). The mixtures were incubated aerobically at 37°C under stirring (150 rpm). At different incubation time intervals (1–3 h), a 1 mL-aliquot was removed from each mixture, serially diluted in sterile peptone (0.15 g/100 mL) water and spread plated on MRS agar for enumeration of viable cells. After an incubation period of 48 h at 37°C under anaerobiosis (using the Anaerobic System AnaeroGen, Oxoid Hampshire, United Kingdom), the viable cells were enumerated and the results were expressed as the log CFU/mL. For controls, Lactobacillus strains were cultivated in PBS with pH 7.2 adjusted using 1 M NaOH, as well as in MRS without bile salts, QUE and RES (Jacobsen et al., 1999; Monteagudo-Mera et al., 2012). To determine whether QUE or RES affects acid and bile salt tolerance, the viable counts of tested Lactobacillus strains at a specific pH or bile salt concentration in media with or without QUE or RES were compared.

**Effects on Cell Surface Hydrophobicity**

Lactobacillus cells grown anaerobically in MRS broth (20–24 h, 37°C, using the AnaeroGen Anaerobic System, Oxoid, Hampshire, United Kingdom) were centrifuged (4500 × 15 min, and 4°C), washed twice and resuspended in PBS with different concentrations (MIC, 1/2 MIC or 1/4 MIC) of QUE or RES to achieve an OD at 560 nm of 1.0, named the A560 value (A0).

n-Hexadecane (Sigma-Aldrich, St. Louis, MO, United States) was mixed (1:5) with the respective bacterial cell suspension and vortexed for 2 min. After 1 h of incubation at 37°C, the A560 value (A) of the formed aqueous layer was measured again. The cell surface hydrophobicity was calculated using the equation:

\[
\% H = \frac{(A0 - A) \times 100}{A0}
\]

where A0 and A refers to the absorbance values determined before and after the extraction with n-hexadecane, respectively (dos Santos et al., 2015). To determine whether QUE or RES affects cell surface hydrophobicity, the cell surface hydrophobicity of Lactobacillus strains treated, and not treated with the exposure to different concentrations of QUE or RES was compared.

**Effects on Autoaggregation and Coaggregation Capacity**

For the evaluation of autoaggregation capacity, Lactobacillus strains grown anaerobically in MRS broth (20–24 h, 37°C, using the AnaeroGen Anaerobic System, Oxoid, Hampshire, United Kingdom) were harvested by centrifugation (4500 × 10 min, 20°C), washed, resuspended and diluted in sterile saline solution (NaCl 0.85 g/100 mL) with different concentrations (i.e., MIC, 1/2 MIC or 1/4 MIC) of QUE or RES to achieve an OD at 660 nm (OD660) of 0.3. After 1 h of incubation at 37°C, the OD660 value was measured again. The autoaggregation was determined using the equation:

\[
\% \text{autoaggregation} = \frac{[\text{OD0} - \text{OD60}] \times 100}{\text{OD0}}
\]

where OD0 refers to the initial OD value of the bacterial suspensions, and OD60 refers to the OD value of the bacterial suspension determined after 60 min of incubation (Todorov et al., 2008).

For the evaluation of coaggregation capacity, the Lactobacillus strains were similarly grown in MRS broth, harvested by centrifugation (4500 × 10 min, 20°C), washed, resuspended and diluted in sterile saline solution (NaCl 0.85 g/100 mL) with different concentrations of QUE or RES to achieve an OD660 value of 0.3. Next, a 750 µL-aliquot of a suspension of the tested Lactobacillus strain was mixed with the same volume
of a suspension of the coaggregation bacterial species partner (*L. monocytogenes* INCQS 00266 or *E. coli* INCQS 00219), and vortexed for 30 s. The OD$_{600}$ value of the suspension containing the test *Lactobacillus* strain and the respective bacterial species partner was determined at time zero (baseline—just after mixing the suspensions) and after 60 min of aerobic incubation at 37°C. Coaggregation was measured using the equation:

$$\% \text{ coaggregation} = \frac{[\text{OD}0 - \text{OD60}]}{\text{OD}0} \times 100$$

where OD0 refers to the initial OD value of the suspension determined at time zero, and OD60 refers to the OD value of the suspension determined after 60 min of incubation (Todorov et al., 2008). To determine whether QUE or RES affects the autoaggregation and coaggregation capacity, the autoaggregation and coaggregation capacity of the examined *Lactobacillus* strains treated and not treated with the exposure to different concentrations of QUE or RES were compared.

**Effects on Antagonistic Activity Against Pathogens**

The antagonistic activity of each *Lactobacillus* strain against the indicator bacterial strains (*L. monocytogenes* INCQS 00266 and *E. coli* INCQS 00219) was evaluated using an agar spot test. The *Lactobacillus* strains were cultivated anaerobically in MRS broth (20–24°C, 37°C, using the AnaeroGen Anaerobic System, Oxoid, Hampshire, United Kingdom), followed by supplementation of the growth medium (MRS broth) with different concentrations (MIC, 1/2 MIC, or 1/4 MIC) of QUE or RES. Subsequently, a 10-µL aliquot of the growth medium supplemented with QUE or RES (with viable cell counts in the range of 7–8 log CFU/mL) was spotted on the surface of MRS agar containing 0.2% (w/v) glucose and 1.2% (w/v) bacteriological agar (HiMedia, Mumbai, India) and incubated anaerobically (using the AnaeroGen Anaerobic System, Oxoid, Hampshire, United Kingdom) for 24 h at 37°C. At the end of the incubation period, a 1-mL aliquot of each indicator bacterium suspension was mixed with 18-mL soft BHI agar (with 0.7% agar, w/v) and poured over the spot-inoculated MRS agar. The plates were incubated aerobically at 37°C for 48 h. The antagonistic activity was recorded as the diameters (mm) of growth inhibition zones around each spot. A free growth inhibition zone with a diameter >1 mm (around the spot) was considered as positive inhibitory activity (Jacobsen et al., 1999). MRS agar not inoculated with the test *Lactobacillus* strain and MRS agar without QUE or RES were used as negative controls. To determine whether QUE or RES affects the antagonistic activity, the diameters of the growth inhibition zones of indicator bacterial strains caused by the *Lactobacillus* strains treated and not treated with the exposure to different concentrations of QUE or RES were compared.

**Effects on Survival Under Simulated Gastrointestinal Conditions**

*Lactobacillus* strains grown anaerobically in MRS broth (20–24 h, 37°C, using the AnaeroGen Anaerobic System, Oxoid, Hampshire, United Kingdom) were exposed to simulated gastrointestinal conditions in MRS broth with and without QUE (1/2 MIC) or RES (1/2 MIC) (de Albuquerque et al., 2017; Garcia et al., 2018). Initially, 10 mL-aliquots of MRS broth were placed in glass flasks (50 mL) and inoculated with the tested *Lactobacillus* strain (final viable count in the range of 6–7 log CFU/mL). The simulation of the gastrointestinal conditions was performed continuously in the same flask in phases mimicking mastication, conditions in the esophagus-stomach, duodenum, and ileum. Mechanical agitation was used to simulate the peristaltic movements and the test was performed in an incubator at 37°C with rotation adjustment in each phase. Mastication was simulated using 100 U of α-amylase diluted in 1 mL of 1 mM CaCl$_2$, pH adjusted to 6.9 with 1 M NaHCO$_3$ and exposure time of 2 min at 200 rpm; esophagus-stomach conditions were simulated with 25 mg of pepsin diluted in 1 mL of 0.1 M HCl, added at a rate of 0.05 mL/mL with gradually decreasing pH achieved using 1 M HCl (pH 5.5/10 min; pH 4.6/10 min; pH 3.8/10 min; pH 2.8/20 min; pH 2.3/20 min; and pH 2/20 min) under stirring (130 rpm). Duodenal conditions were simulated with 2 g pancreatin/L of 0.1 M NaHCO$_3$ and 12 g bovine bile salts/L of 0.1 M NaHCO$_3$, pH adjusted to 5 with 0.1 M NaHCO$_3$ and an exposure time of 30 min under stirring (45 rpm); the ileal conditions were simulated with pH adjusted to 6.5 using 0.1 M NaHCO$_3$ and an exposure time of 60 min under stirring (45 rpm). All the enzymes and bovine bile salts were purchased from Sigma-Aldrich (St. Louis, MO, United States). After each step of the simulated gastrointestinal digestion, a 100 µL-aliquot of inoculated MRS broth with, or without QUE or RES was serially diluted in sterile saline solution (NaCl 0.85 g/100 mL), and plated on MRS agar.

After an incubation period of 24 h at 37°C under anaerobiosis (using the AnaeroGen Anaerobic System, Oxoid, Hampshire, United Kingdom), the viable cells were enumerated and the results were expressed as log CFU/mL. Inoculated MRS broth maintained at 37°C without QUE and RES was used as a control. A detection limit of 1 log CFU/mL was used in these assays. To determine whether QUE or RES affects the survival of the tested *Lactobacillus* strains when exposed to the simulated gastrointestinal digestion, the viable counts of these strains when exposed to each step of the simulated digestion in media with or without QUE or RES were compared.

**Statistical Analysis**

All assays were performed in triplicate in three independent experiments, and the results are expressed as the average of the obtained data. Statistical analyses were performed to determine significant differences ($p \leq 0.05$) among the obtained results using ANOVA, followed by Tukey’s test. The analyses were performed using the software GraphPad Prism 5.0 (San Diego, CA, United States).

**RESULTS**

**MIC Determination**

Quercetin showed MIC of 1024 µg/mL against *L. plantarum* 49, *L. plantarum* 53, *L. paracasei* 106 and *L. fermentum* 263; and of 512 µg/mL against *L. paracasei* 108 and *L. fermentum* 296. RES showed MIC of 1024 µg/mL against all the examined
Lactobacillus strains, with the exception of L. paracasei 106, for which the MIC was $>1024 \mu g/mL$ (Table 1).

**Effects of QUE and RES on Acid and Bile Salt Tolerance**

In most cases, the presence of QUE or RES at either of the tested concentrations did not affect ($p > 0.05$) the survival of the examined Lactobacillus strains when exposed to pH 7.2 and 5. L. paracasei 108 presented lower viable counts ($p \leq 0.05$) at pH 5 after 1 h in the presence of MIC of QUE when compared to control, as well as after 2 h in the presence of MIC or 1/2 MIC of QUE; the same behavior was observed for L. paracasei 263 after 2 and 3 h in the presence of the MIC of RES. Similar results were observed for L. paracasei 108 after 3 h in the presence of either of the tested RES concentrations when compared to the control; similar results were observed for L. fermentum 263 after 2 and 3 h in the presence of the MIC of RES or QUE (Supplementary Table S1).

Lactobacillus plantarum 53 presented higher viable counts ($p \leq 0.05$) at pH 2 after 2 h in the presence of the MIC or 1/4 MIC of QUE or RES when compared to the control (Table 2). Similarly, L. paracasei 106 and L. fermentum 263 presented higher viable counts at pH 2 after 3 h in the presence of QUE or RES at either of the tested concentrations. L. fermentum 296 also presented higher viable counts at pH 3 after 2 h in the presence of 1/2 MIC or 1/4 MIC of QUE, as well as at pH 2 after 1 h in the presence of the MIC or 1/2 MIC of QUE when compared to the control. Overall, these data indicated that the presence of QUE or RES was more effective to protect some of the tested strains when exposed to pH 2 or 3.

Specifically, L. plantarum 49 presented higher viable counts ($p \leq 0.05$) in the presence of 1% bile salts after 3 h of exposure to 1/2 MIC or 1/4 MIC of QUE, as well as to the MIC or 1/4 MIC of RES when compared to the control. In contrast, L. paracasei 108 presented lower viable counts ($p \leq 0.05$) in the presence of 0.3% bile salts after 1 h of exposure to QUE at either of the tested concentrations when compared to the control (Supplementary Table S2). Overall, QUE and RES at either of the tested concentrations did not affect ($p > 0.05$) the viable counts of the examined Lactobacillus strains when exposed to 0.15, 0.3 or 1% bile salts during the 3 h of exposure.

**Effects of QUE and RES on Cell Surface Hydrophobicity**

The cultivation of L. paracasei 106, L. paracasei 108, L. fermentum 263 and L. fermentum 296 in the presence of either of the tested QUE concentrations induced increased cell surface hydrophobicity ($p \leq 0.05$) when compared to control. An increase ($p \leq 0.05$) in cell surface hydrophobicity of L. plantarum 49 and L. plantarum 53 was induced by the MIC of QUE, while the MIC and 1/2 MIC of QUE increased the cell surface hydrophobicity of L. plantarum 53. All tested concentrations of RES increased ($p < 0.05$) the cell surface hydrophobicity of L. fermentum 296, and the 1/2 MIC of RES increased the cell surface hydrophobicity of L. paracasei 106 and L. paracasei 108. However, 1/4 MIC of QUE and all tested concentrations of RES reduced ($p \leq 0.05$) the cell surface hydrophobicity of L. plantarum 49, and 1/2 MIC and/or 1/4 MIC of RES reduced the cell surface hydrophobicity of L. plantarum 53 and L. paracasei 108. All tested concentrations of RES did not affect ($p > 0.05$) the cell surface hydrophobicity of L. fermentum 263 (Table 3).

**Effects of QUE and RES on Autoaggregation and Coaggregation**

No decrease ($p > 0.05$) in autoaggregation capacity of tested Lactobacillus strains was caused by either of the tested concentrations of QUE and RES, with the exception of L. paracasei 108 that presented decreased ($p \leq 0.05$) autoaggregation capacity when exposed to 1/4 MIC of QUE and 1/2 MIC or 1/4 MIC of RES when compared to control. All tested concentrations of QUE increased ($p \leq 0.05$) the autoaggregation capacity of L. plantarum 49 and L. fermentum 296, and the MIC and 1/2 MIC of QUE increased the autoaggregation capacity of L. plantarum 53 and L. fermentum 263. 1/2 MIC of RES increased the autoaggregation capacity of L. plantarum 49 and L. plantarum 53. All tested concentrations of QUE and RES did not affect ($p > 0.05$) the autoaggregation capacity of L. paracasei 106. All tested concentrations of RES did not affect the autoaggregation capacity of L. fermentum 263 and L. fermentum 296, as well as the MIC and/or 1/4 MIC of RES did not affect this property in L. plantarum 49 and L. plantarum 53 (Table 3).

No decrease in coaggregation capacity was caused by either of the tested concentrations of QUE and RES. All tested concentrations of QUE increased ($p \leq 0.05$) the capacity of L. plantarum 49, L. plantarum 53 and L. paracasei 108 to coaggregate with L. monocytogenes and/or E. coli. All tested concentrations of RES increased the capacity of L. plantarum 49, L. plantarum 53 and L. paracasei 108 to coaggregate with E. coli, as well as the MIC and 1/2 MIC of RES increased the capacity of L. plantarum 49, L. plantarum 53 and L. fermentum 263 to coaggregate with L. monocytogenes (Table 3).

| Compounds | L. plantarum 49 | L. plantarum 53 | L. paracasei 106 | L. paracasei 108 | L. fermentum 263 | L. fermentum 296 |
|-----------|----------------|----------------|-----------------|-----------------|-----------------|-----------------|
| QUE       | 1024 µg/mL     | 1024 µg/mL     | 1024 µg/mL      | 512 µg/mL       | 1024 µg/mL      | 512 µg/mL       |
| RES       | 1024 µg/mL     | 1024 µg/mL     | > 1024 µg/mL    | 1024 µg/mL      | 1024 µg/mL      | 1024 µg/mL      |

**Table 1** Minimum inhibitory concentration (MIC) of quercetin (QUE) and resveratrol (RES) on potentially probiotic Lactobacillus strains.
### TABLE 2 | Viable counts (n = 9; average ± standard deviation; log CFU/mL) of potentially probiotic Lactobacillus strains when exposed to pH 3 and 2 in media with and without different concentrations of quercetin (QUE) or resveratrol (RES) for different time intervals.

| Strains/treatments | pH values/exposure times | L. plantarum 49 | L. plantarum 53 | L. paracasei 106 | L. paracasei 108 | L. fermentum 263 | L. fermentum 296 |
|--------------------|--------------------------|-----------------|----------------|-----------------|----------------|-----------------|----------------|
|                    |                          | 1 h             | 2 h             | 3 h             | 1 h             | 2 h             | 3 h             |                  |
|                    | pH 3                     |                 |                 |                 |                 |                 |                 |                  |
| MIC QUE            | 5.6 ± 0.4<sup>AB</sup>   | 6.4 ± 0.2<sup>A</sup> | 2.5 ± 0.6<sup>A</sup> | 2.9 ± 0.3<sup>B</sup> | 4.0 ± 0.5<sup>A</sup> | 3.3 ± 0.7<sup>A</sup> |                  |
| 1/2 MIC QUE        | 5.4 ± 0.2<sup>B</sup>    | 4.4 ± 0.4<sup>B</sup> | 2.6 ± 0.5<sup>A</sup> | 3.5 ± 0.3<sup>B</sup> | 2.4 ± 0.2<sup>B</sup> | 2.4 ± 0.4<sup>A</sup> |                  |
| 1/4 MIC QUE        | 6.2 ± 0.2<sup>A</sup>    | 4.5 ± 0.5<sup>B</sup> | 3.2 ± 0.2<sup>A</sup> | 3.0 ± 0.3<sup>B</sup> | 3.6 ± 0.2<sup>A</sup> | 1.4 ± 0.3<sup>B</sup> |                  |
| MIC RES            | 5.2 ± 0.2<sup>B</sup>    | 4.4 ± 0.4<sup>B</sup> | 3.4 ± 0.3<sup>B</sup> | 4.8 ± 0.4<sup>A</sup> | 3.8 ± 0.2<sup>A</sup> | 1.5 ± 0.4<sup>B</sup> |                  |
| 1/2 MIC RES        | 3.3 ± 0.2<sup>B</sup>    | 3.0 ± 0.4<sup>C</sup> | 3.4 ± 0.3<sup>B</sup> | 3.6 ± 0.3<sup>B</sup> | 2.5 ± 0.7<sup>AB</sup> | < 1 ± 0.0<sup>B</sup> |                  |
| 1/4 MIC RES        | 5.2 ± 0.2<sup>B</sup>    | 3.2 ± 0.2<sup>C</sup> | 3.3 ± 0.4<sup>D</sup> | 4.1 ± 0.4<sup>AB</sup> | 3.4 ± 0.2<sup>A</sup> | 2.2 ± 0.4<sup>A</sup> |                  |
| Control            | 4.5 ± 0.8<sup>B</sup>    | 3.4 ± 0.6<sup>BC</sup> | 3.0 ± 0.3<sup>B</sup> | 3.4 ± 0.6<sup>BC</sup> | 3.2 ± 0.3<sup>A</sup> | 2.4 ± 0.2<sup>A</sup> |                  |
|                    |                          |                 |                 |                 |                 |                 |                  | (Continued)
**Effects of QUE and RES on Antagonistic Activity Against Pathogens**

In most cases, the presence of QUE or RES did not affect \( p \leq 0.05 \) the antagonistic activity of the *Lactobacillus* strains against *L. monocytogenes* and *E. coli*. In particular, the presence of the MIC and/or 1/2 MIC of QUE increased \( p \leq 0.05 \) the antagonistic activity of *L. plantarum* 53 against *L. monocytogenes* and *E. coli*; of *L. paracasei* 106 against *L. monocytogenes*; and of *L. paracasei* 108 against *E. coli*. All tested concentrations of QUE and 1/2 MIC and 1/4 MIC of RES increased \( p \leq 0.05 \) the antagonistic activity of *L. fermentum* 296 against *L. monocytogenes* (Supplementary Table S3).

**Effects of QUE and RES on Survival Under Simulated Gastrointestinal Conditions**

The decreases in viable counts of the examined *Lactobacillus* strains were always of \(< 2 \log \text{CFU/mL} \) up to the 7th phase of the *in vitro* digestion regardless the presence of QUE or RES (Figure 1). *L. plantarum* 49 presented viable counts of \(< 1 \log \text{CFU/mL} \) when exposed to the 8th (duodenal conditions) and 9th phase (ileal conditions) of the *in vitro* digestion in media with and without QUE or RES. Counts of \(< 1 \log \text{CFU/mL} \) were also observed for *L. paracasei* 108 when exposed to the 8th and 9th phases of the *in vitro* digestion in medium with QUE, as well as when exposed to the 9th phase in medium with RES. The counts of *L. plantarum* 53, *L. paracasei* 106, *L. plantarum* 263, and *L. plantarum* 296 when exposed to the 8th and 9th phases of the *in vitro* digestion in media with QUE or RES were in the range of 4.4–6.4 log CFU/mL.

The presence of QUE or RES overall did not affect \( p > 0.05 \) the ability of the examined *Lactobacillus* strains to survive the exposure to the different phases of the *in vitro* digestion. However, the counts of *L. paracasei* 108 when exposed to the 8th phase of the *in vitro* digestion were higher \( p \leq 0.05 \) in medium with RES than in medium without RES. Higher counts \( p \leq 0.05 \) were also observed for *L. plantarum* 296 when exposed to the 8th and 9th phases of the *in vitro* digestion in media with QUE or RES.

**DISCUSSION**

Quercetin and resveratrol presented high MIC values \((\geq 512 \mu g/mL) \) against all the six examined *Lactobacillus* strains, which are indicative of low inhibitory effects on target strains (van Vuuren, 2008; Diniz-Silva et al., 2017). The available literature has shown variable results considering the effects of polyphenols and phenolic-rich extracts on the growth of lactic acid bacteria. An early study reported no inhibitory effects of phenolic-rich extracts of spiced and medicinal plants (313–2500 \( \mu g/mL \)) against *Lactobacillus* species (Chan et al., 2018), as well as of caffic acid, gallic acid, tannic acid, catechin, epicatechin and QUE (5000 \( \mu g/disk \)) against *Lactobacillus acidophilus* CECT 903 (Hervert-Hernández et al., 2009). Furthermore, polyphenol/anthocyanin-rich ethanol red fruit extract (0.24–250 mg/mL) has shown stimulatory effects on the growth of *Lactobacillus rhamnosus* IMC 501 and *L. paracasei* IMC 502 (Coman et al., 2018), while wine polyphenols have shown to protect the viability of different lactic acid bacteria in a probiotic formulation (Llano et al., 2017).

However, an early study reported that flavan-3-ol-enriched grape seed extract (1 mg/mL) inhibited the growth of *L. plantarum*, *L. casei* and *L. bulgaricus*. These inhibitory effects were associated with the high amounts of galate-derived compounds [e.g., (−)-epicatechin-3-O-gallate] in the grape seed extract (Tabasco et al., 2011). The inhibitory effects exerted by some phenolic compounds on specific bacterial species have been related to their ability to cause alterations in structure of cytoplasmatic membrane with changes in polarization and permeability (Mora-Pale et al., 2015; Wang et al., 2017).

Concentrations referring to MIC, 1/2 MIC and 1/4 MIC of QUE and RES were investigated to their effects on probiotic-related in *vitro* properties of the examined *Lactobacillus* strains.
TABLE 3 | Effects of different concentrations of quercetin (QUE) and resveratrol (RES) on cell surface hydrophobicity, autoaggregation, and coaggregation properties (n = 9, average ± standard deviation) of potentially probiotic Lactobacillus strains.

| Treatments | Hydrophobicity (%) | Autoaggregation (%) | Coaggregation (%) |
|------------|--------------------|---------------------|-------------------|
| L. paracasei 106 |                     |                     |                   |
| MIC QUE     | 48.5 ± 2.1*        | 49.8 ± 2.5*         | 51.9 ± 3.5*       |
| 1/2 MIC QUE | 46.9 ± 1.3*        | 31.8 ± 4.5          | 35.4 ± 0.9*       |
| 1/4 MIC QUE | 27.1 ± 4.1*        | 23.0 ± 4.2*         | 30.6 ± 2.1*       |
| MIC RES     | 9.9 ± 1.6          | 47.7 ± 0.5*         | 38.7 ± 2.4*       |
| 1/2 MIC RES | 23.2 ± 2.5*        | 17.2 ± 3.9*         | 11.6 ± 2.2*       |
| 1/4 MIC RES | 9.2 ± 1.1*         | 22.0 ± 2.8*         | 12.3 ± 2.5*       |
| Control     | 13.8 ± 1.7         | 38.0 ± 2.9          | 11.6 ± 1.9        |

| L. fermentum 263 |                     |                     |                   |
| MIC QUE     | 37.5 ± 3.5*        | 39.0 ± 1.4*         | 40.0 ± 2.8*       |
| 1/2 MIC QUE | 26.8 ± 1.7*        | 36.5 ± 2.2*         | 47.0 ± 4.2*       |
| 1/4 MIC QUE | 18.6 ± 1.9*        | 21.1 ± 4.1          | 52.8 ± 3.9*       |
| MIC RES     | 10.9 ± 1.6         | 21.1 ± 1.6          | 45.2 ± 3.1*       |
| 1/2 MIC RES | 8.2 ± 1.2          | 20.0 ± 2.8          | 37.2 ± 3.1*       |
| 1/4 MIC RES | 8.2 ± 1.1          | 17.0 ± 2.8          | 33.4 ± 3.5        |
| Control     | 8.9 ± 2.9          | 17.5 ± 6.4          | 23.5 ± 2.1        |

The doses of QUE and RES used in this study (87.5–1024 mg/mL) are among reported mean values for estimated daily polyphenols intake (Taguchi et al., 2015; Karam et al., 2018), as well as for use of QUE and RES as daily dietary supplements (Egert et al., 2008; Tome-Carneiro et al., 2013).

The tolerance to acidic pH and bile salts are important characteristics of probiotics (Monteagudo-Mera et al., 2012; de Albuquerque et al., 2017). The results of this study showed that QUE and RES exerted no influence on the survival of the tested Lactobacillus strains at pH 7.2, but at some tested concentrations these compounds caused decreases in the survival of three (L. paracasei 106, L. paracasei 108, and L. fermentum 263) of the six examined strains when exposed to pH 5. In contrast, QUE and RES, at some tested concentrations, increased the survival of L. paracasei 106, L. fermentum 263, and/or L. fermentum 296 when exposed to pH 2 or 3 after at least one of the measured exposure time intervals (1–3 h), indicating that these polyphenols could exert protective effects on some probiotics in more acidic environments. It has been suggested that food components, including polyphenols, could act as buffers in acidic environments protecting probiotics against pH values as low as 2 and 3 (Monteagudo-Mera et al., 2012). In disagreement with the findings of this study, catechin (0.3%) and gallic acid (0.8%) decreased the survival of the starter lactic acid culture Streptococcus thermophilus CHCC 3534 at pH 2 and/or 3. In the same study, catechin and gallic acid increased and decreased the survival of S. thermophilus CHCC 3534 in the presence of 0.4% bile salts, respectively (Khalil, 2010).

All tested concentrations of QUE increased the cell surface hydrophobicity of L. paracasei 106, L. paracasei 108, L. fermentum 263 and L. fermentum 296 when measured by adhesion to n-hexadecane. Evaluation of probiotics adhesion to n-hexadecane is considered a valid qualitative phenomenological approach to estimate the ability to adhere to epithelial cells (Kiely and Olson, 2000; dos Santos et al., 2014), even though it is not a prerequisite for strong adherence because the
microbial adhesion to host tissue involves several mechanisms (dos Santos et al., 2015). Cell surface hydrophobicity has been also related to the prevention of pathogen adhesion to intestinal cells by probiotics (dos Santos et al., 2015; de Souza E.L. et al., 2019). In most cases, QUE and RES caused improvements in the autoaggregation and coaggregation
capacity of the examined *Lactobacillus* strains. Aggregation is an important feature for biofilm formation by probiotic bacteria, assisting them in adhering to intestinal mucosa (de Souza E.L. et al., 2019). The maintenance or improvement of the capacity of probiotics to coaggregate with pathogens should be considered a positive feature of QUE and RES, since it has been cited as one of the initial steps to prevent colonization of the host gastrointestinal tract by pathogens (Todorov et al., 2008). Together aggregation and coaggregation enable probiotics to better compete with pathogens for host binding sites (Ferreira et al., 2011).

Few studies have assessed the effects of polyphenols on the adhesion capacity of probiotics. Apple pulp extract (10 and 20 mg/mL) decreased the adhesion capacity of *Lactobacillus grasseri* R to Caco-2 cells, while apple peel extract (10 and 20 mg/mL) and QUE (20 µg/mL) increased the adhesion capacity of *L. grasseri* R and *L. casei* FMP (Volstatova et al., 2017). In addition, the adaptation of *S. thermophiles* CHCC 3534 in media with catechin (0.3%) resulted in decreased bacterial adherence (Khalil, 2010). Both cell surface hydrophobicity and aggregation are strongly related to the ability of probiotics to adhere to intestinal mucosa (Deepika et al., 2012), which reinforces the importance of the overall positive modulatory effects exerted by QUE and RES on these physiological features in *Lactobacillus* strains used in this study. Although previous studies have shown variable results concerning the effects of polyphenols on adhesion properties of probiotics (Khalil, 2010; Bustos et al., 2012; Volstatova et al., 2017), it has been suggested that polyphenols could increase the adhesion capacity of specific probiotic strains by inducing biosynthesis or secretion of multifunction proteins (named moonlighting proteins; e.g., glycolytic enzyme pyruvate kinase) engaged in adhesion of bacteria to epithelial cells (Celebioglu et al., 2018).

Quercetin and resveratrol presented overall little influence on the antagonistic activity of the examined *Lactobacillus* species against *L. monocytogenes* and *E. coli*. Specifically, the antagonistic activities of *L. plantarum* 53 against *L. monocytogenes* and *E. coli*, as well as of *L. paracasei* 108 against *E. coli* were increased by the MIC and 1/2 MIC of QUE, while the antagonistic activity of *L. fermentum* 296 against *L. monocytogenes* were increased by all tested concentrations of QUE and 1/2 MIC and 1/4 MIC of RES. Only one previous study reported that the combined use of a commercial phenolic-rich green tea extract with probiotics caused increased inhibitory effects on *Staphylococcus aureus* and *Streptococcus pyogenes*. The authors stated that the occurrence of enhanced inhibitory effects on pathogens from the interaction of *Lactobacillus* and phenolic compounds could be due to additional mechanisms offered by the latter (e.g., membrane depolarization and altered membrane permeability) to those exerted by antimicrobial metabolites commonly produced by these bacteria (e.g., bacteriocins, peptides, and organic acids) to act on target cells (Su et al., 2008).

QUE and RES exerted no negative impact on the survival of the examined *Lactobacillus* strains when exposed to simulated *in vitro* digestion. Specifically, QUE and RES increased the survival of *L. paracasei* 108 when exposed to the duodenal conditions. Early studies have reported that phenolic-rich matrices could protect probiotic *Lactobacillus* strains during the passage through the gastrointestinal tract. Grape marc protected *L. plantarum* 12A, *L. plantarum* PU1, *L. paracasei* 14A and *Bifidobacterium breve* 15A when exposed to a stomach-mimicking condition (Campanella et al., 2017) and mashed tomato protected *Lactobacillus reuteri* ATCC 55730 when exposed to simulated gastrointestinal conditions (García-Hernández et al., 2018). These possible protective effects of phenolic compounds have been primarily attributed to their antioxidant properties, which could protect probiotic cells from the damage caused by exposure to the harsh conditions found in the gastrointestinal tract (Maukonen and Saarela, 2015). The fact that QUE and RES did not exert any negative impact on the survival of the potentially probiotic *Lactobacillus* strains is an interesting finding because only the bioactive components that resist the stomach and small intestine conditions can reach the large intestine and exert their beneficial effects on the host (García-Hernández et al., 2018).

The different effects exerted by QUE and RES on the measured *in vitro* properties of the examined *Lactobacillus* strains could be associated with the specific hydroxylation pattern of these polyphenols. The number and position of hydroxyl groups in the phenolic ring seem to be associated with the importance of different biological effects exerted by polyphenols (Farhadi et al., 2016; de Souza E.L. et al., 2019). QUE presents more hydroxyl groups than RES, which could be responsible for the strongest protective effects overall exerted by the former on some of the measured *in vitro* properties in examined *Lactobacillus* strains. Considering the responses of the tested *Lactobacillus* strains, the overall ranking of the strains that presented the better responses to QUE and RES in the measured *in vitro* properties were *L. fermentum* 263/*L. fermentum* 296 > *L. plantarum* 49/*L. plantarum* 53 > *L. paracasei* 106/*L. paracasei* 108. However, the obtained results did not show a clear relation of the tested concentrations of QUE or RES (MIC, 1/2 MIC and 1/4 MIC) to the size of the observed effects on the measured *in vitro* properties of the examined *Lactobacillus* strains.

It is noteworthy to consider that results concerning the effects exerted by QUE and RES on the measured *in vitro* physiological properties of tested potentially probiotic *Lactobacillus* strains present limitations to be extrapolated to *in vivo* conditions, since a variety of factors present in gastrointestinal environment (e.g., dietary factors, number and types of microorganisms, peristatic flow, cell-to-cell communication, and individual host response) could modify the expected bacterial responses to these polyphenols and, consequently, their effects on the host (Lebeer et al., 2008; Bustos et al., 2012). However, results of *in vitro* experiments have been considered important tools to indicate the effects of phenolic-rich foods and beverages, phenolic-rich extracts and individual phenolic compounds on the functionalities of probiotic microorganisms (Khalil, 2010; Bustos et al., 2012; Deepika et al., 2012; Volstatova et al., 2017).
The results of this study showed that QUE and RES presented low inhibitory effects on different potentially probiotic Lactobacillus strains, being necessary high concentrations of these polyphenols to cause growth inhibition. The presence of QUE and RES (MIC, 1/2 MIC and 1/4 MIC) mostly exerted no influence or improved the different measured in vitro probiotic-related properties of the examined Lactobacillus strains, as well as their ability to survive experimental conditions mimicking the gastrointestinal digestion. In some conditions, the presence of QUE or RES decreased the cell surface hydrophobicity and the ability to survive moderate pH in some of the tested Lactobacillus strains. When the presence of QUE or RES resulted in increased or decreased performance in the measured functionality-related properties, the effects varied relative to the type of polyphenol, concentration assayed and Lactobacillus strain tested. Overall, QUE exerted better protective effects than RES on the measured in vitro properties in tested Lactobacillus strains, and tested L. fermentum and L. plantarum strains presented the better responses in the measured in vitro properties when treated with QUE or RES. These results indicate that the combined use of QUE or RES with probiotic Lactobacillus strains could result in some advantage with respect to the potential beneficial effects exerted on the host. However, the concentration of these compounds should be cautiously considered to achieve these desirable effects and seems to vary according to the probiotic species/strain selected. Further studies to clarify the mechanisms underlying the effects of QUE and RES on probiotic-related properties of Lactobacillus species/strains will help to gain a better insight into the interaction of these polyphenols on beneficial microorganisms forming the complex gut microbiota and their potential outcomes on host health.

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DATA AVAILABILITY STATEMENT

All datasets generated for this study are included in the manuscript/Supplementary Files.

AUTHOR CONTRIBUTIONS

AS and TA performed the experiments. AS and TA analyzed the data and prepared the first draft of the manuscript. ES and JB conceptualized and coordinated the study and participated in its design, and wrote the final manuscript.

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SUPPLEMENTARY MATERIAL

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Conflict of Interest: The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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