Predictors of failure of endoscopic retrograde pancreatocholangiography during common bile duct stones

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ARTICLE INFO

Keywords:
Evidence-based medicine
Internal medicine
Laboratory medicine
Surgery
Common bile duct
Lithiasis
ERCP

ABSTRACT

Introduction: Endoscopic retrograde cholangiopancreatography associated with sphincterotomy and stone extraction with balloon or Dormia basket represents the gold standard for the management of common bile duct stones. The aim of our study were to investigate the predictors of failure of standard endoscopic techniques during the management of common bile duct stones.

Methods: A retrospective study including all endoscopic retrograde cholangiopancreatography for common bile duct stones between January 2014 and December 2017 was conducted. First line treatment was based on endoscopic retrograde cholangiopancreatography with endoscopic sphincterotomy and balloon or Dormia extraction. Second line endoscopic treatment was based on macrodilatation of Oddi sphincter, mechanical lithotripsy, biliary stent or nasobiliary drain placement. Predictors of failure of standard endoscopic techniques were sought by uni and multivariate analysis (SPSS software, p significant if < 0.05).

Results: One hundred eighty eight one patients (mean age 64 years and sex ratio M/W = 0.4) were included. Main indications for endoscopic retrograde cholangiopancreatography were residual or recurrent lithiasis (67.4%, n = 122). Cholangiography revealed multiple stones in 53 patients with an average size of 12.5mm [3 – 40]. The success rate of first line treatment was 61.9%. Independent predictors of failure of standard endoscopic techniques were sought by uni and multivariate analysis (SPSS software, p significant if < 0.05).

Conclusion: Endoscopic retrograde cholangiopancreatography results in a successful clearance of the common bile duct in almost two-thirds of patients. In case of predictors of failure, alternative techniques can increase this rate.

1. Introduction

Standard endoscopic treatment of common bile duct (CBD) stone is based on endoscopic sphincterotomy during endoscopic retrograde cholangiopancreatography (ERCP) with extraction of stones by balloon or basket catheters. Schematically, this treatment requires four steps: (1) reaching the papilla by the endoscope (2) cannulation of the CBD, (3) performing an endoscopic sphincterotomy (ES) and (4) extracting the stones by balloon or basket catheters. This approach achieves the clearance of the CBD in 80–90% of the cases [1, 2, 3, 4, 5]. Stones requiring interventions other than the standard ERCP are called difficult lithiasis. The ESGE recommends limited sphincterotomy combined with endoscopic papillary large-balloon dilation as the first-line approach to remove difficult CBD stones [6]. Thus determining the predictors of difficult CBD stone is useful to immediately adapt the therapeutic management. The difficulties that may be encountered during the first step are essentially surgical reconstruction (Billroth II, Roux-en-Y gastrojejunoscopy). Other factors can be linked either to a difficult cannulation of the CBD (2nd step), or to a difficulty in extracting stones (4th step, difficult stone). The aim of our study was to determine the predictors of non-clearance of CBD by standard techniques (failure of catheterization and failure of stone extraction).

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https://doi.org/10.1016/j.heliyon.2020.e05515
Received 10 March 2020; Received in revised form 13 September 2020; Accepted 11 November 2020
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2. Methods

We conducted a retrospective study that included patients who had ERCP for CBD stones with naive papilla in the Gastroenterology Department of Habib Thameur Hospital, during the period from January 2014 to December 2017. Patients with Bilio-pancreatic tumor or having coagulation disorders were not included. ERCP was performed under general anesthesia. As advocated by the American and European guidelines, the administration of rectal indomethacin for the prevention of post ERCP pancreatitis was systematic [7, 8].

Patient management was as follows: a guidewire assisted cannulation of the CBD was first performed. In case of difficult cannulation, needle-knife fistulotomy or a precutting was realised.

First line treatment was based on endoscopic sphincterotomy followed by extraction of the gallstones with an extraction balloon or a stone extraction basket. In case of difficult extraction, an alternative method was proposed (nasobiliary drain, mechanical lithotripsy, papillary large-balloon dilatation or insertion of a plastic stent). In case of failure of endoscopic stones extraction surgical treatment was performed. Intra-hospital monitoring of at least 24 h was recommended after the procedure. If there were no complications, the patients were discharged the next day. Predictors of failure of standard endoscopic techniques were sought by uni and multivariate analysis (SPSS software, p significant if < 0.05): potential predictors were: age, a small papilla, a dysfunction of the sphincter of Oddi, an intra or paradiverticular papilla, a tortuous papilla, difficulty in positioning the duodenoscope in a large duodenum, infiltration by a malignant tumor of the duodenum and the papilla, edema and distortion of the duodenum caused by acute pancreatitis, a papilla located in the 3rd or 4th portion of the duodenum, a surgical reconstruction (access to the papilla will be done in the opposite direction and/or with an axial endoscope), a patient who is not very cooperative when he is not properly sedated and when the endoscopist is not in his best mood (“Bad day endoscopist”), high bilirubine level, stone size, stone size/CBD diameter ratio <1, impacted stone, CBD stenosis, stone number, angulation of the CBD < 135°, precutting, interventions in the pancreatic duct, diameter of CBD, stone location and the form of the stone.

The predictors that were significantly associated with failure of endoscopic first-line treatment in the univariate analysis (p < 0.05) were selected to perform the multivariate analysis.

Written informed consent was obtained from all the participants for this study. Ethical approval was obtained from « Habib Thameur Hospital ethics committee » under the number HTHET-2019-23.

3. Results

One hundred eighty one patients (mean age 64 years and sex ratio M/W = 0.4) were included.

Two thirds of the patients had a history of cholecystectomy.

Main indications for ERCP were residual lithiasis (67.4%, n = 122) or sequential treatment (n = 31, 17.1%). The remaining 28 patients underwent ERCP and bile duct clearance for CBD stones without gallbladder removal (contraindication to surgical procedures). The patients characteristics are summerized in Table 1.

Catheterization of the papilla by standard techniques was performed in 127 patients (70.1%). In patients whose papilla could not be catheterized by standard techniques, a precutting was successfully performed in 15 patients. Eleven patients had a needle-knife fistulotomy and five patients had a cannulation of the CBD through a bilo-digestive fistula. In total, the CBD was cannulated in 87.3% (n = 158) of the cases (Figure 1). Cholangiography found dilatation of extrapancreatic bile duct and intra-hepatic bile duct in 90.3% and 60.8% of cases, respectively. The mean diameter of the CBD was 14.16 mm (6–30). CBD stones was found in 87% of the cases with an average size of 12.5 mm [3–40]. Lithiasis was multiple in 43 cases. In the presence of multiple stones, the average number of stones was 2.7 [2, 3, 4, 5, 6]. Twenty patients (12.9%) had more than 3 stones. Endoscopic sphincterotomy was performed in 142 patients (78.45%).

The clearance of CBD by first-line techniques was obtained in 61.9% of the cases (Figure 2).

In univariate analysis, we found three predictors of difficult cannulation of the CBD: a small papilla (p = 0.001) and a papilla hidden by a fold (p = 0.025) (Table 2). We also found nine predictors of difficult lithiasis in patients whose CBD had been cannulated: an age greater than 65 years (p = 0.006), a diameter of the CBD greater than 15 mm (p < 10-5), more than 3 stones (p < 10-5), a size of the stone greater than 12 mm (p = 0.008), an impacted stone (p = 0.030), a small papilla (p = 0.008), an intradiverticular papilla (p = 0.010), a CBD stenosis (p = 0.002), intrahepatic stone or a stone in the common hepatic duct (p = 0.026 and p = 0.048 respectively) and the use of a basket catheter compared to the balloon (p = 0.042) (Table 3).

Independent predictors of failure of standard endoscopic techniques (failure of papillary cannulation or stone extraction) according to multivariate analysis were: an age greater than 65 years (OR 0.516 [0.272–0.979]), an intra-diverticular papilla (OR 0.179 [0.035–0.914]), a common bile duct diameter greater than 15 mm (OR 0.161 [0.068–0.385]) and a stenosis of the common bile duct (OR 0.068 [0.008–0.605]) (Table 4).

4. Discussion

CBD stone is a frequent pathology. Indeed, 10–20% of the population have gallbladder stones. Among these patients 10–20% have an associated CBD stone [9, 10, 11, 12]. Its treatment must include an evacuation of the stones of the CBD and a cholecystectomy. Standard endoscopic treatment is based on endoscopic sphincterotomy during an ERCP with
extraction of stones by balloon or basket catheters. However, it only allows the clearance of the CBD in 80–90% of cases [1, 2, 3, 4, 5]. In our series, the success rate is 61.9%. Our department is a reference center in Tunisia. Thus, difficult ERCP are referred to our center which explains the low success rate. Stones requiring interventions other than the standard ERCP are called difficult lithiasis. The ESGE recommends limited sphincterotomy combined with endoscopic papillary large-balloon dilation as the first-line approach to remove difficult CBD stones [6]. Thus determining the predictors of difficult CBD stone is useful to immediately adapt the therapeutic management. The predictors of first-line treatment failure are linked either to difficulty in CBD cannulation (difficult cannulation), or to difficulty in extracting stones (difficult stone).

In the literature, various factors have been associated with the failure of cannulation of the papilla: a small papilla, a dysfunction of the sphincter of Oddi, an intra or paradiverticular papilla, a tortuous papilla, difficulty in positioning the duodenoscope in a large duodenum, infiltration by a malignant tumor of the duodenum and the papilla, edema and distortion of the duodenum caused by acute pancreatitis, a papilla located in the 3rd or 4th portion of the duodenum, a surgical reconstruction (access to the papilla will be done in the opposite direction and/or with an axial endoscope), a patient who is not very cooperative when he is not properly sedated and when the endoscopist is not in his best mood ("Bad day endoscopist") [13, 14, 15]. We found in our series three predictors of difficult cannulation: a small papilla (p < 10^{-3}), an eccentric papilla (p = 0.001) and a papilla hidden by a fold (p = 0.025).

The Scandinavian association of digestive endoscopy proposed a validated classification of the appearance of the papilla: type 1 papilla of normal appearance, type 2 small papilla, type 3 protruding papilla and type 4 rough papilla [16].

Prospective multicenter work concluded that type 2 and 3 were significantly associated with difficult cannulation [17].

In patients whose CBD was cannulated, we found nine predictors of difficult stones: an age greater than 65 years (p = 0.006), a diameter of the CBD greater than 15 mm (p < 10^{-3}), more than 3 stones (p < 10^{-3}), a size of the stone greater than 12 mm (p = 0.008), an impacted stone (p = 0.030), a small papilla (p = 0.008), an intradiverticular papilla (p = 0.010), a CBD stenosis (p = 0.002), intrahepatic stone or a stone in the common hepatic duct (p = 0.048 and p = 0.048 respectively) and the use of a basket catheter compared to the balloon (p = 0.042). Many of these predictors have been cited in the literature as predictors of difficult stones: an older age [18, 19], a peri-diverticular papilla [18, 20], an impacted stone [1, 5], a CBD stenosis [1, 18, 20], a number of stones greater than 3 [18, 21], a diameter of the CBD greater than 15 mm [1, 22], a large stone [1, 18, 19, 20, 23].

There is no consensus in the literature to define a large stone: most authors use a cut-off between 10 and 15mm [20, 24]. Sharma et al recommend including the diameter of the CBD to define a large calculus and thus speaking of a large stone if the size of the stone is greater than the diameter of the CBD by more than 2 mm (ratio of the stone size/diameter of CBD > 1) [24]. This ratio (stone size/diameter of the CBD > 1) was found as a predictor of failure in multivariate analysis [1]. In our series, this factor was not significantly associated with ERCP failure (p = 0.276).

| Parameters                  | Failure of cannulation (n = 54) | Success of cannulation (n = 127) | P       |
|-----------------------------|---------------------------------|----------------------------------|---------|
| Small papilla               | Yes 27                          | 26                               | <10^{-3}|
|                            | No 23                           | 100                              |         |
| Papilla hidden by a fold    | Yes 2                           | 0                                | 0.025   |
|                            | No 49                           | 129                              |         |
| Eccentric papilla          | Yes 6                           | 1                                | 0.001   |
|                            | No 47                           | 125                              |         |

Significative p values (lower than 0.05) are in bold.
In the literature, a stone located in intrahepatic bile duct or in cystic duct has been cited as being a cause of difficult lithiasis [25, 26]. Mirizzi syndrome, which is defined as a stone of the cystic duct that exerts compression on the CBD, is also a cause of difficult lithiasis [27]. No patient in our study had Mirizzi syndrome. In a study published by Hong et al, angulation of the distal CBD was associated with failure of ERCP [19]. The influence of this parameter could not be studied in our series since it was not specified on the ERCP reports. Other predictors have been cited in the literature: a papilla localized in the bulb or in the 3rd portion of the CBD.

### Table 3. Predictors of difficult stone extraction.

| Parameters                      | Non clearance CBD (n = –) | Clearance of CBD (n = –) | P   |
|---------------------------------|---------------------------|--------------------------|-----|
| Age > 65 years                  | 12                        | 56                       | 0.006 |
| > 65 years                      | 29                        | 47                       | 0.010 |
| Intra-diverticular papilla      | Yes                       | 5                        | 2   |
| No                              | 40                        | 110                      |     |
| Diameter of CBD                 | < 15mm                     | 18                       | 85  | ≤10⁻³ |
| ≥ 15mm                          | 18                        | 13                       |     |     |
| >3 stones                       | Yes                       | 16                       | 4   | ≤10⁻³ |
| No                              | 27                        | 108                      |     |     |
| Size of stone                   | < 12mm                     | 6                        | 26  | 0.001 |
| ≥ 12mm                          | 14                        | 9                        |     |     |
| Impacted stone                  | Yes                       | 4                        | 2   | 0.030 |
| No                              | 39                        | 110                      |     |     |
| Stenosis of CBD                 | Yes                       | 5                        | 1   | 0.002 |
| No                              | 38                        | 111                      |     |     |
| Stone in the intrahepatic duct  | Yes                       | 2                        | 0   | 0.026 |
| No                              | 22                        | 58                       |     |     |
| Stone in the common hepatic duct| Yes                       | 6                        | 5   | 0.048 |
| No                              | 18                        | 53                       |     |     |
| Basket catheter                 | Yes                       | 19                       | 41  | 0.041 |
| No                              | 24                        | 68                       |     |     |

CBD: common bile duct. Significative p values (lower than 0.05) are in bold.

### Table 4. Independent predictors of failure of standard endoscopic treatment.

| Predictors                          | p      | OR (IC)                  |
|-------------------------------------|--------|--------------------------|
| Age > 65 years                      | 0.015  | 0.516 [0.272-0.979]      |
| Intradiverticular papille           | 0.019  | 0.179 [0.035-0.914]      |
| CBD > 15mm                          | 0.016  | 0.161 [0.068-0.385]      |
| Stenosis of CBD                    | 0.037  | 0.068 [0.008-0.605]      |

CBD: common bile duct.

### Table 5. The predictors of failure of CBD clearance found in the literature.

| Authors (country) [references]      | Year   | Type of the study et number of patient | Independent predictors of failure of endoscopic treatment |
|-------------------------------------|--------|---------------------------------------|----------------------------------------------------------|
| Uskudar et al (Turkey) [1]          | 2012   | Prospective study (N = 1805)          | Higher bilirubine levels                                 |
|                                     |        |                                        | Stone size                                               |
|                                     |        |                                        | Stone size/CBD diameter ratio>1                         |
|                                     |        |                                        | Impacted stone                                           |
|                                     |        |                                        | CBD stenosis                                             |
| Christoforidis et al (Greece) [18]  | 2014   | Retrospective study (N = 1390)        | Age > 85 years                                           |
|                                     |        |                                        | >4 stones                                                |
|                                     |        |                                        | Stone >15mm                                              |
| Kim et al (South Korea) [19]        | 2007   | Prospective study (N = 102)           | Angulation CBD < 135°                                    |
|                                     |        |                                        | Age > 65 years                                           |
| Garcia et al (Peru) [21]            | 2011   | Prospective study (N = 90)            | Stone >15mm                                              |
|                                     |        |                                        | CBD >15mm                                                |
|                                     |        |                                        | Mechanical lithotripsy                                   |
| Williams et al (United Kingdom) [24]| 2010   | Prospective study (N = 3209)          | Billroth surgery                                         |
|                                     |        |                                        | Stone size                                               |
|                                     |        |                                        | Stone number                                             |
|                                     |        |                                        | Precutting                                               |
|                                     |        |                                        | Interventions in the pancreatic duct                     |
|                                     |        |                                        | CBD stenting                                             |
| Odemi et al (Turkey) [5]            | 2016   | Retrospective study (n = 1529)        | Diameter of CBD                                          |
|                                     |        |                                        | Stone size                                               |
| Eltayeb et al (Saudi Arabia) [30]   | 2016   | Retrospective study (N = 426)         | Stone >15mm                                              |
|                                     |        |                                        | CBD stenosis                                             |
| Our study (Tunisia)                 | 2017   | Retrospective study (n = 181)         | Age > 65 years                                           |
|                                     |        |                                        | Intradiverticular papilla                                |
|                                     |        |                                        | Diameter of CBD >15mm                                    |

CBD: common bile duct.
of the duodenum [1, 5], a surgical reconstruction (Billroth II or Y-branch of Roux) [1, 19, 24] and a cuboid or barrel form of the stones [25, 26]. The predictors of failure of CBD clearance found in the literature are summarized in Table 5.

5. Conclusion

The predictors of first-line treatment failure are linked either to difficulty in CBD cannulation (difficult cannulation) or to difficulty in extracting stones (difficult stone). These factors should be sought in order to immediately adapt the therapeutic management. The availability of new therapeutic options such as intracorporeal lithotripsy using a mini-cholangioscope (Spyglass) would improve the performance of endoscopic treatment of CBD stone.

Declarations

Author contribution statement

M. Sabbah: Conceived and designed the experiments; Performed the experiments; Analyzed and interpreted the data; Contributed reagents, materials, analysis tools or data; Wrote the paper.

N. Abdelwahab: Conceived and designed the experiments; Analyzed and interpreted the data; Contributed reagents, materials, analysis tools or data; Wrote the paper.

D. Gargouri, H. Elloumi, A. Ouakaa, N. Bibani and D. Trad: Performed the experiments.

B. Nawel: Analyzed and interpreted the data.

Funding statement

This research did not receive any specific grant from funding agencies in the public, commercial, or not-for-profit sectors.

Declaration of interests statement

The authors declare no conflict of interest.

Additional information

No additional information is available for this paper.

Acknowledgements

No funding was obtained for this submission.

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