Large Low-Energy M1 Strength for $^{56,57}$Fe within the Nuclear Shell Model

B. Alex Brown and A. C. Larsen

1National Superconducting Cyclotron Laboratory and Department of Physics and Astronomy, Michigan State University, East Lansing, Michigan 48824-1321, USA
2Department of Physics, University of Oslo, N-0316 Oslo, Norway
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A strong enhancement at low $\gamma$-ray energies has recently been discovered in the $\gamma$-ray strength function of $^{56,57}$Fe. In this work, we have for the first time obtained theoretical $\gamma$ decay spectra for states up to $\approx$8 MeV in excitation for $^{56,57}$Fe. We find large $B(M1)$ values for low $\gamma$-ray energies that provide an explanation for the experimental observations. The role of mixed $E2$ transitions for the low-energy enhancement is addressed theoretically for the first time, and it is found that they contribute a rather small fraction. Our calculations clearly show that the high-$\ell (= f)$ diagonal terms are most important for the strong low-energy $M1$ transitions. As such types of $0\hbar\omega$ transitions are expected for all nuclei, our results indicate that a low-energy $M1$ enhancement should be present throughout the nuclear chart. This could have far-reaching consequences for our understanding of the $M1$ strength function at high excitation energies, with profound implications for astrophysical reaction rates.

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$\gamma$ absorption and decay properties of atomic nuclei are of crucial importance in fundamental and applied nuclear-physics research. They give information on the nuclear structure and are indispensable for cross-section calculations for a broad range of applications, such as next-generation nuclear reactors and for the description of the nucleosynthesis in explosive stellar environments.

For $\gamma$-absorption cross sections above the particle thresholds, data are fairly complete for nuclei close to the valley of stability [1], although still very scarce for exotic nuclei (see, e.g., Refs. [2,3]). The giant electric dipole resonance (GDR) is the dominant feature and its $E1$ strength overshadows all other decay modes for $E_\gamma \approx 12–17$ MeV. Below the neutron threshold, the $\gamma$-ray strength function ($\gamma$SF), i.e., the average, reduced $\gamma$-decay probability, is not as well known as the photon-neutron cross sections, although more and more pieces to the full picture are emerging [4].

Over the past 10 years, measurements on the $\gamma$SF of many $pf$-shell [5–10] and $A \sim 90–100$ nuclei [11,12] have revealed a surprising feature: the probability of $\gamma$ decay increases as the $\gamma$-ray energy decreases. Such a behavior is the complete opposite of what was expected from traditional $E1$ models, both semiphenomenological approaches (e.g., Ref. [13]) and more microscopic ones (e.g., Ref. [14]). However, recent theoretical work on Mo isotopes show that a low-energy increase in the $\gamma$SF could be due to thermal single-quasiparticle transitions into the continuum, giving rise to enhanced $E1$ strength for low $\gamma$-ray energies [15].

On the other hand, shell-model calculations on $^{94,95}$Mo and $^{90}$Zr give large $B(M1)$ values for low $\gamma$ rays caused by a spin recoupling of high-$j$ proton and neutron orbits [16].

The low-energy enhancement is very intriguing, as it may represent a completely new decay mode and reveal so-far unknown nuclear-structure effects; as such, it is being subject to intense research. Moreover, it may have far-reaching consequences for the rapid neutron-capture process, the astrophysical nucleosynthesis responsible for creating $\approx$50% of the nuclides in the solar system [17,18]; the presence of an enhanced decay probability for low-energy $\gamma$ rays may increase the $(n, \gamma)$ reaction rates 1–2 orders of magnitude [19]. As clearly expressed in Refs. [20,21], astrophysical $(n, \gamma)$ rates are vital in sophisticated $r$-process models.

In this Letter, we present the first large-basis shell-model calculations for the $\gamma$-decay spectra of levels up to excitation energies of $\approx$8 MeV in $^{56}$Fe and $^{57}$Fe. The calculations reveal a strong $M1$ component in the $\gamma$SF for low-energy $\gamma$ rays. The shape of the calculated $M1$ $\gamma$SF is in excellent agreement with the data of Refs. [5,10]. Moreover, we investigate the role of $E2$ $\gamma$ rays, as it was found in Ref. [10] that a small contribution ($\approx$10%) of stretched $E2$ transitions could possibly be present. Also, the mechanism behind the enhancement will be explained.

We used the GPFX1A Hamiltonian [22,23] for the $pf$ shell. Excitation energies obtained with this Hamiltonian in the region of $^{56}$Fe are in excellent agreement with experimental energies up to about 8 MeV when the $J$ value is known experimentally [22]. The model space for $^{56}$Fe was $(0f_{7/2})^{6-t}(0f_{5/2}, 1p_{3/2}, 1p_{1/2})^t$ for protons and $(0f_{7/2})^{8-t}(0f_{5/2}, 1p_{3/2}, 1p_{1/2})^{t+n}$ for neutrons, where $n = 2$ and $t = 0, 1, 2$. The lowest lying states are dominated by $t = 0$, but the core excitations with higher $t$ values are required for states up to about 8 MeV. With this model space there are a total of 6,046,562 states. With the code
NUshellX [24] the Lanczos method was used to obtain the eigenenergies and eigenvectors for the lowest 50 states of each $J$ value with an accuracy of about 1 keV. This provides a complete set of states within the model space up to about 7.5 MeV. There are 255 positive-parity states up to 7.5 MeV for $^{56}$Fe. This model space does not include the negative-parity states that start experimentally with the $3^-$ level at 4.37 MeV.

We calculated the complete set of $M1$ and $E2$ matrix elements for the 50 positive-parity states of each spin, a total of about $10^3$ matrix elements. These were used to calculate lifetimes, branching ratios, and mixing ratios for the $\gamma$ decay. For $E2$ we used the standard effective charges of $e_p = 1.5$ and $e_n = 0.5$. For the $M1$ transitions, we used the effective $M1$ operator of Ref. [22]. The matrix elements for the $E2$ were obtained with harmonic-oscillator radial wave functions.

For $^{57}$Fe (with $n = 3$) it was only possible to include $t = 0$ and 1. There are 233 793 negative-parity states in this model space for $^{57}$Fe. This truncation for $^{56}$Fe reduces the number of states up to 7.5 MeV by about 30%. The considered spin range was $J = 0$–10 and $J = 1/2$–21/2 for $^{56,57}$Fe, respectively.

For each level, detailed decay information is available, such as the branching ratios, the magnetic dipole and electric quadrupole transition strengths $B(M1)$ and $B(E2)$ for each individual transition, as well as the mixing ratio $\delta$ defined as $\delta^2 = \lambda_{E2}/\lambda_{M1}$, where $\lambda_{E2}$ and $\lambda_{M1}$ are the $E2$ and $M1$ transition rates. The calculated transitions were sorted into matrices with 200-keV wide energy bins, both for the initial excitation energy and the transition energy, incrementing the $B(M1)$ transition strengths. This 200 keV bin width has been used for all figures. Moreover, we have calculated the average $B(M1)$ $\gamma$-decay transition strengths for each $(E_\gamma, E_i)$ pixel simply by dividing each pixel with the number of $M1$ transitions in that pixel in the same way as in Ref. [16]. By sorting the information in this way, we obtain $(E_\gamma, E_i)$ matrices that correspond to the experimental situation, such as the data of $^{56}$Fe, Fig. 3 in Ref. [10]. The $(E_\gamma, E_i, \langle B(M1) \rangle)$ matrices from the shell-model calculations are shown for $^{56,57}$Fe in Figs. 1(a) and 1(b), respectively. Note that the $B(M1)$ values are from both pure and $E2$-mixed $M1$ transitions.

The obtained shell-model level densities are shown in Fig. 2 and compared to experimental data (both parities). The theoretical level density for $^{56}$Fe is a little lower than experiment due to the presence of negative parity states. The theoretical level density for $^{57}$Fe is lower than experiment also due to the truncation. To examine the effect of truncation, we have also performed $^{58}$Fe calculations with the $t \leq 1$ restriction. Even though the level density becomes a factor of 2 lower, the $\gamma$SF obtained for $^{58}$Fe is within $\approx$10% of that for the larger basis. Thus, the $\gamma$SF depends mainly on the wave function properties and not strongly on the level density. For the following discussion, we restrict ourselves to the excitation-energy range $5.8 \leq E_i \leq 8.0$ MeV for $^{56}$Fe, and $5.0 \leq E_i \leq 8.0$ MeV for $^{57}$Fe.

We follow the analysis of Ref. [16] and make use of the original definition of the strength function by Bartholomew et al. [27]: $f_{\lambda L}(E_\gamma) = \langle \Gamma_{\gamma i}(E_i)/[E_{\gamma i}^{\lambda + 1}D_i]\rangle$ for electromagnetic character $X$, multipolarity $L$, and average level spacing $D_i$, together with the relation between the partial radiative width $\Gamma_i$ and the $B(M1)$ value, $\Gamma_{\gamma i}B(M1) = (16\pi/9)(E_\gamma/hc)^3B(M1)(E_i)$. The index $i$ specifies the selected initial spin values and the selected region of $E_i$ in Fig. 1. We then obtain the $M1 \gamma$SF, $f_{M1} = f_{M1}(E_\gamma)$, from the average $B(M1)$ values:

$$f_{M1}(E_\gamma) = \frac{16\pi}{9(hc)^3}B(M1)(E_\gamma)\rho_\gamma(M1),$$

where the constant $16\pi/9(hc)^3 = 11.5473 \times 10^{-9} \mu_N^{-2}$ MeV$^{-2}$, $B(M1)$ is given in units of $\mu_N^2$, and $\rho_\gamma$ is the density of levels (in MeV$^{-1}$) having at least one $M1$ transition at the initial excitation energy $E_i$. The resulting shell-model $M1$ strength functions for all calculated initial spins and selected regions of excitation are compared to data in Fig. 3. Clearly, the $f_{M1}$ component is strongly increasing as the $\gamma$-ray energy decreases, reproducing the
trend observed in the data. Some discrepancy with the $^3$He-induced data [5] is observed for the low-energy transitions ($E_γ \lesssim 1.8$ MeV). However, experimental and methodical difficulties prevented the extraction of data below $E_γ \approx 2$ MeV for the ($p, p' \gamma$)$^{56,57}$Fe [10,26]. These problems would be expected also for the $^3$He-induced reactions, giving less confidence in these data points.

There is a very interesting question whether this low-energy enhancement is related to the populated spin range of the initial excited levels. Experiments on $^{95}$Mo using the two different charged-particle reactions ($^3$He, $α \gamma$)$^{95}$Mo [11] and ($d, p \gamma$)$^{95}$Mo [12], led to very similar shapes of the $γ$SF, although the $^3$He-induced pick-up reaction is expected to populate higher spins on average, due to its preference for high-$ℓ$ transfer (see, e.g., Ref. [28]). Furthermore, the shell-model calculations of Ref. [16] gave that on average, all the included initial spins ($J_i = 0–6$) contributed approximately the same to the low-energy enhancement. The Brink hypothesis [29] implies that the upward strength function is independent of spin and excitation energy and is the same for excited states and for the ground state. Assuming the principle of detailed balance, the upward strength function equals the downward strength function [27]. However, it is clear that at low excitation energy, significant deviations from the Brink hypothesis would be expected. In our calculations the downward $M1$ strength function for the ground state ($E_i = E_g$ in Fig. 1) is dominated by a spin-flip resonance around 7 MeV. When considering decay to all available levels at high excitation energies, the $M1$ strength function is broad with a significant low $γ$-ray energy component. This result was also found for the Gamow-Teller strength function at high excitation in the $sd$ shell basis [30]. Thus, we could think of a “modified” Brink hypothesis in which the average strength function for excited states is modified from that of the ground state by the addition of a low $γ$-ray energy component that peaks near zero transition energy (see Fig. 2 in Ref. [30]).

To address the possible spin dependence, we have deduced the $γ$SF of $^{56}$Fe for various restrictions of the initial spin, see Fig. 4. It is remarkable how persistent the low-energy enhancement is, regardless of the imposed spin restrictions. Specifically, we find no large deviations whether the initial spins are even or odd, or for the spin ranges resembling the experimental situation for ($p, p' \gamma$)$^{56}$Fe ($J_i \approx 1–6$ [10]) or ($^3$He, $α \gamma$)$^{56}$Fe ($J_i \approx 1–8$ [5]). Also tested (but not shown) are the strong restrictions $J_i = 0–4$ and $J_i = 5–10$. Again, the low-energy part ($E_γ < 3$ MeV) remains largely unaffected. We therefore conclude that the $M1 \gamma$SF is, at least in this case, not very sensitive to the initial spin distribution, in agreement with experiments and the modified Brink hypothesis.

Another and equally intriguing aspect of the Brink hypothesis is that the $γ$SF is assumed to be independent of excitation energy. Experimentally, this has been investigated by extracting the $γ$SF from different excitation-energy ranges (e.g., in Ref. [5]). We do the same test here...
by deducing the average γSF for two different excitation-energy regions. We find that the shapes of the γSF’s agree surprisingly well, and even the absolute strength is typically within 10% for each 200-keV bin for $E_γ < 3$ MeV. This fact further supports the experimental findings, and is again corroborating a modified Brink hypothesis.

To understand the origin of the low-energy $M1$ strength, we restricted the $M1$ matrix elements to the simple orbital combinations as shown in Fig. 4(c). From this we find that the high $\ell = f$ diagonal terms are most important for the lowest energy $M1$. It is very likely that these types of “0h0ω” diagonal terms with high $\ell$ should contribute to $M1$ spectra in all nuclei. It has been proposed [31] that this low-energy magnetic radiation (LEMAR) is a generalization of the previously observed high-spin magnetic rotation called shear bands [32]. For $E1$, in contrast, there are no diagonal terms due to the parity change, and the strong matrix elements involve those in the giant-dipole resonance with a transition energy on the order of $1\hbar\omega$. In deformed nuclei the “0h0ω” diagonal terms are responsible for the orbital $M1$ “scissors” mode [33] observed experimentally in the low-energy γSF of deformed heavy nuclei [34].

To investigate the impact of the $E2$ transitions, we consider the average fraction of the $E2’s$ to the transition rates given by $\delta^2/(1 + \delta^2)$. We find that the average contribution from $E2’s$ is $\approx 22\%$ and $\approx 15\%$ for $^{56,57}$Fe, respectively which is somewhat more than the experimental findings of about 10% from angular distributions [10]. However, a possible low-energy $E1$ contribution in the experimental data might lead to a lower $E2$ fraction. Moreover, the influence of stretched ($\Delta J = 1$) versus nonstretched ($\Delta J = 0$) $M1’s$ is studied by extracting the γSF for these transitions separately for $2.1 \leq E_γ \leq 3.6$ MeV. On average, the stretched transitions give $\approx 30\%$ stronger $B(M1)$’s than the nonstretched in the case of $^{56}$Fe. This corresponds very well with experimental observations from angular-distribution measurements [10]. For $^{57}$Fe, the calculations indicate that the nonstretched transitions dominate by $\approx 20\%$. The $^{57}$Fe angular distributions are currently being analyzed [26].

In summary, we have performed large-basis shell-model calculations of $^{56,57}$Fe, which clearly give a large $M1$ strength for low γ-ray energies and at high excitation energies. The shell-model $f_{M1}$ functions are in excellent agreement with experimental data, and provide an explanation for the observed low-energy enhancement. Furthermore, restrictions on the $M1$ matrix elements clearly show that $0h0ω$ transitions are responsible for the large low-lying strength. As these types of transitions should be present for all nuclei, such a low-energy enhancement would be expected throughout the nuclear chart. Its presence may significantly increase astrophysical $(n, γ)$ reaction rates crucial for the understanding of the $r$ process.

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*brown@nscl.msu.edu
a.c.larsen@fys.uio.no

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