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Image-Based Material Analysis of Ancient Historical Documents

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Keywords: Document Analysis, Image-Based Material Analysis, Historical Manuscript, Feature Extraction, Fourier Transform, Classification.

Abstract: Researchers continually perform corroborative tests to classify ancient historical documents based on the physical materials of their writing surfaces. However, these tests, often performed on-site, require actual access to the manuscript objects. The procedures involve a considerable amount of time and cost, and can damage the manuscripts. Developing a technique to classify such documents using only digital images can be very useful and efficient. In order to tackle this problem, this study uses images from a famous historical collection, the Dead Sea Scrolls, to propose a novel method to classify the materials of the manuscripts. The proposed classifier uses the two-dimensional Fourier Transform to identify patterns within the manuscript surfaces. Combining a binary classification system employing the transform with a majority voting process is shown to be effective for this classification task. This pilot study shows a successful classification percentage of up to 97% for a confined amount of manuscripts produced from either parchment or papyrus material. Feature vectors based on Fourier-space grid representation outperformed a concentric Fourier-space format.

1 INTRODUCTION

Image-based material classification is challenging due to large inter-class and intra-class variations within materials (Kalliatakis et al., 2017). Framing this problem in the context of ancient historical manuscripts provides a more significant challenge, primarily due to the degree of degradation of the data set. Gain-
ing first-hand access to such manuscripts is often restricted or impractical. Subsequent chemical analysis of the material can also be damaging (Freedman et al., 2018). On the contrary, analysis of the material using photographic images of manuscript samples causes no such damage. Furthermore, such images are relatively easy to produce and are often released into the public domain, allowing easier access. Previous material classification work has focused on inter-material and texture classification techniques using data sets from non-context ‘clean’ images (Matsuyama et al., 1983) and data sets from ‘wild’, context-set real-world images (Bell et al., 2015). Other work has incor-porated material, texture, and pattern recognition techniques in specific real-world intra-material classification (Wu et al., 2018; Kliangsuvan and Heed-nacram, 2018; Camargo and Smith, 2009). There has, however, been little usage of surface material classification techniques set in the context of photographic images of ancient manuscripts. This study uses images from the Dead Sea Scrolls (DSS) collection as a data set to investigate a classification method for materials of the writing surfaces (see figure 1 for an example image). After conducting some pilot experiments with deep learning (convolutional neural nets), in the case of texture classification, a dedicated shape feature may prove to be more appropriate and convenient, particularly when considering the limited size of the available training data set. The research presented here employs a method in which the regular underlying periodic patterns inherent in the writing surface are used to classify the material of the manuscripts. Different feature vectors are constructed to capture these patterns.

The feature vectors are compared to specify which can accurately classify the writing materials of the manuscript fragments. These feature vectors are built upon the discrete 2-dimensional Fourier Transform (2DFT). Feature vectors which include the use of the 2DFT from both a computer vision and texture analysis standpoint, have been shown to provide stand-alone and complementary results to spatially focused approaches (Hu and Ensor, 2019). For example, the 2DFT distinguishes between material textures...
and objects in non-contextual (Bharati et al., 2004; Bajcsy, 1973) and contextual images (Cevikalp and Kurt, 2017) in conjunction with a standalone classifier (Hui and Zak, 2014) or input to a neural network (Franzen and Yuan, 2019; Kumar et al., 2020). Furthermore, incorporating the 2DFT into Compositional Pattern Producing Networks (CPPNs) has shown improvements in accurately recreating textures due to the ability of the 2DFT to match and capture high-frequency details (Tesfaldet et al., 2019). In addition, the Fourier Transform provides a more straightforward approach than Markov Random Field modeling of textures (Hassner and Sklansky, 1980). Thus, 2DFT feature vectors offer an attractive solution to distinguish between inherent textures and patterns in the writing surface of ancient historical manuscripts, such as the DSS, to classify the materials upon which they are written.

1.1 Dead Sea Scrolls

The DSS collection consists of ancient historical manuscripts produced between the third century BCE and the first century CE, written mainly on parchment (animal skin) and papyrus (made from the pith of the papyrus plant) and, as a singular exception, copper (Shor, 2014). Recent works on the DSS have concerned handwriting analysis, dating of the scrolls and writer identification (Dhali et al., 2017; Dhali et al., 2019; Dhali et al., 2020; Popović et al., 2021). More recent work on the DSS related to the materials used in their production has focused on matching manuscript fragments. In this approach, a neural network is employed to fit manuscript fragment pairs, which potentially originate from the same sheet of papyrus (Abitbol and Shimshoni, 2021). The approach utilizes patterns found in papyrus material and demonstrates some of the difficulties of working with damaged ancient historical manuscripts. In other work, the materials on which these manuscripts have been written, are analyzed by material scientists employing micro and macro x-ray fluorescence imaging, scanning electron microscopy, spectroscopy and microchemical testing (Wolff et al., 2012; Rabin, 2013; Loll et al., 2019). Answers to questions probing the provenance and archaeometry of the DSS are a result of such studies, and are based upon the correct identification of the underlying material. Utilizing such methods may not always be feasible due to cost, personnel availability, potential damage to the manuscripts, unavailability of technology, and time. Instead, a pattern recognition system may help to classify the writing materials of the manuscripts while mitigating some of the traditional impracticalities, and by extension, help in the pursuit of answers to such questions. Despite discoloration and damage to the manuscripts over time which hinders accurate and expedient classification, the underlying periodic and regular patterns found in the material remain, and may form the basis of a classification system (see figure 1). Testing the accuracy of such a system can help determine whether traditional material analysis techniques used on the DSS and other ancient manuscripts have the potential for supplementation by such a system, or by a further extension of one.

This work mainly focuses on classifying the primary writing materials but opens the door for further in-depth analysis using pattern recognition techniques.

2 METHODOLOGY

This section will briefly present the data, preprocessing measures, sampling techniques, feature vector construction, and finally, the classification step.

2.1 Data

The data set consists of DSS images kindly provided by the Israel Antiquities Authority (IAA). The images are publicly available on the website of the Leon Levy Dead Sea Scrolls Digital Library project (Shor, 2014). The DSS collection comprises primarily two types of material; mostly parchment with a minority written on papyrus, and a singular exception being a copper plate. This study excludes the copper plate and uses parchment and papyrus examples resulting in a binary classification task. The vast majority of the scrolls have experienced some form of degradation due to aging or mishandling. It is common for parts of a scroll to be missing, of which a few disconnected
fragments remain for analysis. Some of the remaining fragments are of relatively small size and vary in condition. Some of the images are available in a range of spectral bands. This study uses two sets of scroll images: ordinary color photographs (Set-1) and composite images composed of individual spectral band images (Set-2). Figures 1 and 2 show examples from Set-1 and Set-2 respectively. The sets are used independently. There are notable differences between the two sets of images. While the images of Set-1 are consistently 96 dpi, the distance to the subject and size of each image is inconsistent, a consequence of the methods employed by the photographers and the fragment arrangement used by the curators. This provides an opportunity to study the proposed methods on an imperfect data set. Set-2 images, however, are consistent; 7216 × 5412 pixels in size, 1215 dpi, and with a bit depth of 24. Despite the distance to the subject measurement being unknown, it is consistent across all Set-2 images. Some Set-1 images contain more than one fragment of scroll due to the nature of the arrangement used by the curators. Some Set-2 MS images are only partial images of a larger fragment. The images used for this study are a subset of the complete collection. The subset was made based on two criteria: to represent as many of the different textures available throughout the collection as possible and, secondly, to maximize the available area of material for samples to be taken. The images are comprised of 23 parchment fragments and 10 papyrus fragments, totaling 33 fragments in each set (table 1). The same fragments were used for both sets of images (For further details, please see the table in Appendix A).

| Fragments count for each material type. |
|----------------------------------------|
| Parchment | Papyrus | Total |
|-----------|---------|-------|
| 23        | 10      | 33    |

### 2.2 Preprocessing

Identifying each fragment within an image is the first step in preprocessing. This is a difficult step, especially for the Set-2 images, containing color reference panels and measurement markings (figure 2). The fragments in an image are identified from the background, other fragments, and the reference markings, using automated k-means clustering and by hand. Then, each fragment is extracted for individual processing.

In order to extract features, clean images of the writing surface material within each fragment are required. Removal of the text prevents any regular and periodic patterns within the text from influencing those patterns found in the material. Combining the need for clean background material with the limited supply of DSS material, the text and gaps caused by damage within the boundaries of each fragment are filled to provide more sample material. Previous work using deep learning (Dhali et al., 2019) has produced binary masks of only the visible text in each image (figure 3). These have kindly been provided for use in this study. As each binary text image overlays onto the original image, these masks identify text locations within each fragment. These masks are made robust by dilation; one pixel of text in the mask is expanded to a 3 × 3 pixel square (For further details, please see the figure in Appendix B). This is a necessary alteration as the masks were used to analyze the written text of the scrolls, having been designed to capture no background material strictly. The 3 × 3 expansion was chosen for three reasons. Firstly, to increase the coverage of the mask. Secondly, a square grid of odd-length sides allowed centering over the individual pixels in question. Finally, 3 × 3 is the minimum of such an expansion as to leave more surrounding source material available for analysis.

Thus, in a minority of instances, the outline of the written text may remain. Dilation of the text pixels helps capture any text not included in the original binary masks. Maintaining the regular patterns found in the material is of primary concern. The method chosen for filling in these locations was selected to maintain the surface patterns and is known as exemplar infilling (Criminisi et al., 2004). Exemplar infilling searches the entirety of the fragment-image for a patch of material that matches a section of the location to be filled most closely, based on the sum of squared differences. This patch is then used to fill
that section (figure 5). Each location is filled using different, closely matched patches of specified size (9 × 9 pixels). A 9 × 9 patch size was selected as a balance between the computational time needed to search for and fill using such a patch size (a function of the types of images used in this study), the relatively thin text mask areas for which the patch would fill, and the flexibility the exemplar infilling could provide when filling the text space. This is a parameter that would likely be optimized in future work. A new patch is searched for after every iteration, as the closest matched patches may change once the filling process starts. In addition, most of the damage to each scroll fragment is found around the edges. In order to mitigate the influence of degradation on the classification step, samples are taken from the interior part of the fragment. This is achieved by considering only the portion contained within the largest inscribed rectangle (by area) within the fragment (figure 6). This area is known as the sample area. Samples for feature vector construction are taken from within this area.

2.3 Sampling

Twenty-five samples of size 256 × 256 pixels, a size necessitated by the requirements of using the 2DFT and the sizes of the images used, are taken at evenly spaced intervals in a 5 × 5 grid pattern covering the sample area. Twenty-five samples are used to allow for relatively comprehensive coverage of the surface material of the fragment, as well as providing majority voting evidence to classify each fragment (see section 2.5, Classification), balanced against the resources needed to generate these samples and the image sizes. Variations in these choices are a recommended pathway for undertaking further work on this subject. As each sample area differs in size, the spacing between samples depends on the area’s dimensions. As a result, there is an overlap of the samples for smaller sample areas, and conversely, there is unused space between samples for large sample areas. This situation is unavoidable due to the inconsistent nature of the fragment sizes.

2.4 Feature Vector Construction

The saturation values of each sample image, from an RGB to HSV conversion of the image, are extracted. The extraction assigns each pixel a value from 0 to 1 to describe its saturation level. The use of HSV and saturation values have been shown to provide improved performance when using the 2DFT for image classification purposes (Kliangsuwan and Heednacram, 2018; Wu et al., 2018). The 2DFT is then applied. The DC component is centralized, and the log transform of the absolute values is taken (log2DFT) to visually display the spectrum (figure 7). The log2DFT representation is then partitioned into \( n \times n \) non-overlapping sections (figure 8). This study uses \( n = 7 \). As there has been little previous work concerning the use of the log2DFT on ancient historical manuscripts, this value was a subjective decision made to balance the feature vector detail level with the feature vector length and acts as a parameter to
be optimized in future work. The mean of the values in each section is calculated, and the values are concatenated to produce a feature vector for the sample image. This process is repeated for the standard deviation of the pixels, resulting in two separate feature vectors for a sample (mean feature vector as MFV and standard deviation feature vector as SDFV). These are known as the primary feature vectors. In addition, three secondary feature vectors are proposed, making five in total. The first is a feature vector based on dividing the log2DFT into six concentric rings (figure 9). Similar to the primary feature vectors, the mean and standard deviation of the pixel values in each ring are calculated and separately concatenated to produce two feature vectors. The decision to include a concentric ring feature vector is based on the rotational variance of the log2DFT, with the orientation of the sample potentially affecting classification performance. A final feature vector based on work by (Cevikalp and Kurt, 2017) is trialed. This method uses each pixel’s magnitude and phase angle from a log2DFT. The magnitude acts as a weighted vote and deposits the pixel into one of nineteen phase angle bins, evenly spaced from 0 to $2\pi$. The value across all bins is normalized to one and concatenated to produce a $1 \times 19$ feature vector for the sample.

## 2.5 Classification

Each of the five proposed feature vectors is handled independently in the same way. Considering only one feature vector at a time, each sample has its associated feature vector and ground truth, parchment or papyrus, stored in a dictionary. There are 825 individual dictionary entries. A leave-one-out method is then employed. All 25 feature vectors of a fragment are removed from the dictionary. These feature vectors are now effectively unseen. Each of the 25 removed vectors is compared against the remaining set stored in the dictionary to find its closest match. This match is based on the Euclidean distance between the two vectors. Once the closest feature vector in the dictionary has been found, its associated ground truth is recorded. The number of matches labeled as parchment is compared to the number labeled as papyrus, providing a percentage of belief as to the fragment’s material. The fragment is classified based on the greater percentage. This is repeated for all fragments. The $F$-scores at both the fragment and sample levels are calculated.
Figure 9: The concentric ring division is used in the construction of secondary feature vectors. The mean and standard deviation of the pixel values in the ring area are used as the basis for the feature vectors.

3 RESULTS

In total, 33 fragments (23 parchments, 10 papyrus) were used. Table 2 shows the overall classification success percentage for the image types using the primary feature vectors MFV and SDFV. Tables 3 and 4 show the confusion matrices for the results of the primary feature vectors. Tables 5 and 6 show the precision, recall, and \( F \)-score for classification at the fragment and sample levels for the primary feature vectors. The three secondary feature vectors showed less successful results (For further details, please see the tables in Appendix B).

Table 2: Classification success (%) for primary feature vectors (MFV and SDFV).

| Image type | MFV | SDFV |
|------------|-----|------|
| Color      | 90.9| 90.9 |
| Multispectral | 97.0 | 97.0 |

Table 3: Confusion matrix (%) for the MFV.

| Image type | True class | Classified as |
|------------|------------|---------------|
| Color      | Parchment  | Papyrus       |
|            | 100.0      | 0.0           |
|            | 30.0       | 70.0          |
| Multispectral | Parchment  | Papyrus       |
|            | 100.0      | 0.0           |
|            | 10.0       | 90.0          |

Table 4: Confusion matrix (%) for the SDFV.

| Image type | True class | Classified as |
|------------|------------|---------------|
| Color      | Parchment  | Papyrus       |
|            | 95.7       | 4.3           |
|            | 20.0       | 80.0          |
| Multispectral | Parchment  | Papyrus       |
|            | 100.0      | 0.0           |
|            | 10.0       | 90.0          |

Table 5: Precision, Recall and \( F \)-score for classification at the fragment level.

| Image type | Mean Feature Vector (MFV) | Material | Precision | Recall | F-score |
|------------|--------------------------|----------|-----------|--------|---------|
| Color      | Parchment                | 0.88     | 1         | 0.94   |
|            | Papyrus                  | 0.96     | 0.70      | 0.82   |
| Multispec. | Parchment                | 0.96     | 1         | 0.98   |
|            | Papyrus                  | 0.90     | 0.90      | 0.95   |

Table 6: Precision, Recall and \( F \)-score for classification at the sample level.

| Image type | Mean Feature Vector (MFV) | Material | Precision | Recall | F-score |
|------------|--------------------------|----------|-----------|--------|---------|
| Color      | Parchment                | 0.85     | 0.91      | 0.88   |
|            | Papyrus                  | 0.77     | 0.64      | 0.70   |
| Multispec. | Parchment                | 0.93     | 0.96      | 0.95   |
|            | Papyrus                  | 0.91     | 0.84      | 0.87   |

Concerning the primary feature vectors (based on Fourier-space grid representation) used in conjunction with the multi-spectral images, showed a relatively high level of performance with regards to overall classification percentage (\( \approx 97\% \) compared to \( \approx 91\% \) successful classification for MS and color images respectively) across both primary feature vectors. In addition, the mean feature vector produced slightly improved results than the standard deviation feature vector. This was particularly true for classification accuracy as measured by \( F \)-score values at the sample level. The papyrus images were, in gen-
eral, more susceptible to misclassification than parchment images, showing lower recall and $F$-scores in their classification than parchment samples (tables 5 and 6).

When combined with multi-spectral images and using the MFV, the proposed technique achieved the highest accuracy. This is also the case for color images. This result may prove helpful for future work involving manuscripts that have not been photographed using multi-spectral equipment. Several reasons may explain the difference in performance between the multi-spectral and the color images. For example, the multi-spectral images reveal more discriminatory patterns, periodic frequencies, magnitudes, and details in the materials of the image compared to the color images. This arises from the different wavelengths of light highlighting different material details. The recombination of the images of different wavelengths may have captured more features that make each material unique for the log2DFT method. The multi-spectral images were also of higher resolution, enabling the visual-based technique to discriminate more clearly between materials. By investigating the different spectral band images individually, the band that provides the best results could be used in further research. This approach has potential implications for photographing decisions concerning other manuscripts. The MFV performed at least as well as the SDFV regarding the $F$-score. This may suggest that measures of the spread between pixel values in grid sections provide less discriminatory ability than measures concerning the value of the pixels.

Further work may investigate which particular frequencies and associated magnitudes improve discrimination between particular materials. This study used a selection of images to create a dictionary of feature vectors. These images were chosen based on a subjective view that they captured a high proportion of the different types of textures of parchment and papyrus and represented the DSS collection as a whole. However, there were fewer papyrus examples in the set than parchment. As a result, the papyrus samples proved more challenging to classify accurately. By expanding the dictionary set, an improved representation of the collection can be achieved, which could yield higher classification results from a larger and more balanced data set, particularly attempting to improve the classification of papyrus fragments. Therefore, more examples would be encountered, and closer matches to novel samples may be made. The measured voting procedure would then use more votes to confirm a classification, impacting the belief percentage per sample. The use of binary text masks was beneficial in filling the images. However, access to such materials may not always be possible. In a small minority of cases, most notably affecting some papyrus manuscripts, some of the binary images did not capture all the text on the manuscripts. This could have influenced the results, mainly where we see a slightly worse performance for classifying the papyrus fragments which exhibited more residue text post-fill. A fixed number of samples and a fixed log2DFT feature vector grid were used in this study. A suggestion for further work would be to investigate whether changing these parameters could improve the results. The secondary, i.e., the concentric Fourier-space feature vector results show relatively poorer performance than the primary feature vectors, particularly concerning the papyrus fragments (For further details, please see Appendix C). Instead, using wedges radiating from the origin of a centered 2DFT in the feature construction process is a possible pathway for improving this method, mainly if texture patterns exhibit edges or lines in a particular direction, such as with papyrus (Sonka et al., 2015). With reference to the modified weighted bin feature vector, which showed success in the study by (Cevikalp and Kurt, 2017), this was particularly evident, achieving a low 10% success rate for papyrus images (For further details, please see the tables in Appendix B).

The image data set used in the research consisted of real-world objects set in a context, which may not have been appropriate for this study. This study’s relatively simplistic periodic patterned images may not have provided enough discriminatory differences for this method to work effectively. The alternative secondary feature vector based on a concentric ring approach also demonstrated poor performance on papyrus fragments. This may suggest that periodic pattern discrimination is more than simply the distance from the center of the visualized log2DFT. The position of the pixels in a log2DFT image space and the frequencies and magnitudes they represent may hold vital information which helps improve the classification.

5 CONCLUSION

The work of this article used a 2DFT-based feature vector technique in the binary classification of the surface material of the DSS, achieving a performance of up to $\approx 97\%$. It offers an accurate and accessible way to classify the material of ancient historical manuscripts without the need for more labeled data (in the case of neural networks) or damaging methods (for example, chemical analysis). This study provides
an initial foray into using a 2DFT technique to perform material classification of such manuscripts. The ability to quickly classify the writing surface material has the potential to expedite the initial manuscript investigation. The straightforward approach presented here may be used as a starting point to help resolve any debate over the nature of a DSS fragment’s material and may be applied to other ancient historical manuscripts. Furthermore, by building upon and developing the proposed system, this method demonstrates a potential for use in helping to answer more specialized questions. Examples may include intra-material classification to provide evidence for differing production techniques and/or manuscript dating. The consequences of gaining such insight by substituting in the proposed technique are threefold: the preservation of delicate ancient manuscripts from further degradation, a relatively low cost uncomplicated implementable method, and an additional extendable tool in gathering evidence to help conclude the questions surrounding the production of such manuscripts.

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APPENDIX A

Table 7: Plate Numbers of the Fragments used in the study.

| Plate number | No. of Fragments | Material |
|--------------|------------------|----------|
| 1039-2       | 5                | Parchment|
| 155-1        | 1                | Parchment|
| 193-1        | 1                | Parchment|
| 228-1        | 1                | Parchment|
| 269          | 1                | Parchment|
| 489          | 1                | Parchment|
| 641          | 1                | Parchment|
| 974          | 1                | Parchment|
| 975          | 1                | Parchment|
| 976          | 4                | Parchment|
| 977          | 4                | Parchment|
| 978          | 1                | Parchment|
| 979          | 1                | Parchment|
| 5-6Hev45     | 1                | Papyrus |
| 641          | 1                | Papyrus |
| X100         | 1                | Papyrus |
| X106         | 1                | Papyrus |
| X130         | 1                | Papyrus |
| X207         | 3                | Papyrus |
| X304         | 1                | Papyrus |
| Yadin50      | 1                | Papyrus |

APPENDIX B

Table 8: Confusion matrix (%) for Mean Concentric Ring Feature Vector.

| Image type   | True class | Classified as | Parchment | Papyrus |
|--------------|------------|---------------|-----------|---------|
| Color        | Parchment  | 100.0         | 0.0       |         |
|              | Papyrus    | 40.0          | 60.0      |         |
| Multispectral| Parchment  | 87.0          | 13.0      |         |
|              | Papyrus    | 70.0          | 30.0      |         |

Table 9: Confusion matrix (%) for Standard Deviation Concentric Ring Feature Vector.

| Image type   | True class | Classified as | Parchment | Papyrus |
|--------------|------------|---------------|-----------|---------|
| Color        | Parchment  | 100.0         | 0.0       |         |
|              | Papyrus    | 20.0          | 50.0      |         |
| Multispectral| Parchment  | 91.3          | 8.7       |         |
|              | Papyrus    | 50.0          | 50.0      |         |
Table 10: Confusion matrix (%) for the Weighted Bin Feature Vector.

| Image type    | True class | Classified as |
|---------------|------------|---------------|
|               | Parchment  | Papyrus       |
| Color         | Parchment  | 100.0         | 0.0           |
|               | Papyrus    | 100.0         | 0.0           |
| Multispectral | Parchment  | 95.7          | 4.3           |
|               | Papyrus    | 90.0          | 10.0          |

APPENDIX C

Figure 10: Binary text mask was taken from plate 976 in un-dilated form.

Figure 11: Binary text mask was taken from plate 976 after applying dilation to capture all written markings.