Near-Infrared Luminescence and Single-Mode Laser Emission From Nd\textsuperscript{3+} Doped Compound Glass and Glass Microsphere

Xin Wang\textsuperscript{1}, Haiyan Zhao\textsuperscript{1}, Angzhen Li\textsuperscript{1}, Ke Tian\textsuperscript{1}, Gilberto Brambilla\textsuperscript{2} and Pengfei Wang\textsuperscript{1,3}\*  

\textsuperscript{1} Key Laboratory of In-fiber Integrated Optics of Ministry of Education, College of Science, Harbin Engineering University, Harbin, China, \textsuperscript{2} Optoelectronics Research Centre, University of Southampton, Southampton, United Kingdom, \textsuperscript{3} Key Laboratory of Optoelectronic Devices and Systems of Ministry of Education and Guangdong Province, College of Optoelectronic Engineering, Shenzhen University, Shenzhen, China

In this letter, an Nd\textsuperscript{3+}-doped compound fluorosilicate glass was successfully fabricated with the method of melt-quenching. Under the excitation of a 808 nm laser, enhanced near-infrared photoluminescence emission at the range from 1,065 to 1,140 nm was observed in the glass sample. To characterize its stability and resistance to environmental effects, transmission spectra at the range of NIR-MIR were measured under different environments, including humidity and temperature. In addition, the obtained fluorosilicate glass was also developed as a microsphere resonator by using CO\textsubscript{2} laser heating method. When the diameter of the microsphere was controlled at 61.5 µm, coupling with a tapered fiber, single-multimode lasing in the wavelength range λ1,056–1,071 nm was achieved with a low lasing threshold of 1.5 mW. Compared to silica and phosphate glasses, this fluorosilicate glass has lower phonon energy, which can reduce the probability of non-radiative transitions and improve the photoluminescence efficiency. Therefore, using it as the raw material, the developed microsphere resonator offers a high transition temperature and with a low lasing threshold, which are promising it for high performance sensing and detection applications.

Keywords: fluorosilicate glass, glass microsphere, near-infrared, photoluminescence, laser

INTRODUCTION

Optical microcavity refers to a laser resonator with a volume in the order of the light wavelength. In recent decades, microsphere resonators have attracted considerable attention due to their wide range of applications such as low threshold laser (Ilchenko et al., 1998), non-linear optics (Wang et al., 2012), cavity quantum electrodynamics (von Klitzing et al., 2001), and high sensitivity sensing (Sanchez-Martin et al., 2006). In 1961, Garrett et al. firstly demonstrated that microsphere resonators can be used as laser resonators, and observed Whispering Gallery Modes (WGMs) in a CaF\textsubscript{2}: Sm\textsuperscript{3+} crystal at the hydrogen temperature. Since then, various optical microcavities have been reported in different host materials, including drops, glasses, and crystals (Cai et al., 2000; Humar et al., 2009; Yang et al., 2017a). Glass microspheres with diameters from few microns to hundreds of microns could intrinsically be a resonator, because of their high quality factor and small modes per unit volume. The first laser emission from rare-earth doped glass microsphere resonators was reported by Miura et al. (1997).
From then on, numerous investigations about microcavity resonators have focused on rare-earth doped or codoped glass microspheres (Wang et al., 2004; Mescia et al., 2010; Rasoloniaina et al., 2012; Li et al., 2015, 2019; Fang et al., 2017; Yang et al., 2017b).

Glasses with different compositions usually exhibit different optical properties, including refractive index, optical transmittance, coefficient of thermal expansion, and absorption coefficient. According to the glass composition, microspheres can be divided into silicate, tellurate, borate, fluoride, and chalcogenide glasses. Li et al. achieved 2.0 µm lasing from a Tm³⁺ doped silica glass microsphere pumped by a 808 nm laser (Li et al., 2018). The threshold power was as low as 1.2 mW and the quality factor as high as 10⁵. Silica has numerous advantages, such as great chemical stability, simple preparation and excellent processability, but its high phonon energy increases the probability of non-radiative transitions, resulting in a very low photoluminescence efficiency. Compared to silica, compound glasses exhibit interesting properties, such as high transmittance and low phonon energy. Xiang et al. achieved 1,556 nm lasing in an Er³⁺-doped tellurite glass microsphere under the excitation of a 975 nm laser (Xiang et al., 2003). The laser threshold of glass microsphere was measured to be smaller than 2 mW. Fluoride glasses were widely applied in upconversion and MIR emission due to their low phonon energy. In 2000, von Klitzing demonstrated a green upconversion laser emission in an Er³⁺-doped fluoride glass microsphere (von Klitzing et al., 2000). Under diode laser pumping at λ ∼801 nm, lasing at λ ∼540 nm was obtained, providing a threshold smaller than 30 µW. Up to now, chalcogenide glasses have been the material of choice for MIR-FIR lasers, as they exhibit the highest transmittance at long wavelengths (over 4,000 nm). In 1996, Heo and Shin observed fluorescence at λ ∼4.38 µm from a Dy³⁺ doped Ge-As chalcogenides glass under the excitation of a λ ∼808 nm laser (Heo and Shin, 1996). Nevertheless, the compound glass exhibited poor chemical and mechanical properties. Research on novel materials with both low phonon energy and great stability is currently a hotspot.

In this letter, we fabricated a fluorosilicate glass and glass microsphere doped with Nd³⁺. Compared to silica and compound glasses, this fluorosilicate glass has both low phonon energy (Wang et al., 2018) and great stability, which is a potential material for micro-nano photonics.

**EXPERIMENT**

Nd³⁺-doped glass samples with the composition of (in mol%) 16ZnF₂-68SiO₂-16KF-xNd₂O₃ (x = 0.1, 0.2, 0.3, 0.4, and 0.5)
were fabricated by the traditional method of melt-quenching. All the raw materials were acquired from Aladdin Company and their purity was 99% (ZnF$_2$), 99.99% (SiO$_2$), 99.5% (KF), and 99.99% (Nd$_2$O$_3$). Fifty gram of raw powders were placed in an agate mortar with smooth wall and were then stirred for 20 min to mix them uniformly in a platinum rhodium crucible. The crucible was placed into a high temperature electric furnace heated by silicon molybdenum rods at 1,520$^\circ$C for 30 min. The melt was poured onto a 1.5 cm thick copper plate and pressed with another copper plate for a rapid cooling, in order to prevent crystallization, and then annealed at 430$^\circ$C for 4 h to remove residual stresses. After cooling to room-temperature, the Nd$^{3+}$ doped fluorosilicate glass was cut into samples. To confirm these samples were amorphous with no nanocrystals, X-ray diffraction (XRD) measurements were recorded by an X-ray diffractometer (ADVANCE D8, BRUKER, GERMANY). The absorption and photoluminescence emission spectra were measured by a spectrophotometer (LAMBDA, PERKINELMER, USA) and a fluorescence spectrometer (ZOLIX, CHINA), respectively. The details about the fabrication of the fluorosilicate glass fiber and microsphere were reported in our previous work (Wang et al., 2018).

RESULTS AND DISCUSSION

Figure 1A shows the absorption spectra of xNd$^{3+}$ doped fluorosilicate glass samples with composition of 16ZnF$_2$-68SiO$_2$-16KF-xNd$_2$O$_3$ (x = 0.1, 0.2, 0.3, 0.4, and 0.5 mol%). The absorption peaks located at 521, 579, 683, 734, 800, and 868 nm were attributed to the transitions from the ground state level to the $^4$G$_{7/2}$, $^4$G$_{5/2}$/$^2$G$_{7/2}$, $^4$F$_{9/2}$, $^4$F$_{7/2}$/$^4$S$_{3/2}$, $^4$F$_{5/2}$/$^2$H$_{9/2}$, and $^4$F$_{3/2}$ levels, respectively. As the strongest absorption peaks are located at $\lambda \sim$800 nm, a diode laser at $\lambda \sim$808 nm was chosen as excitation source, and intense near infrared photoluminescence emission was observed at $\lambda \sim$1,059 nm, as shown in Figure 1B.
When the Nd$^{3+}$ content increased from 0.2 to 0.8 mol%, the intensity of the infrared emission initially increased and then decreased. This can be explained as follows: when the dopant content increases, firstly more Nd$^{3+}$ ions take part in the process of photoluminescence, and both the pump absorption and photoluminescence efficiency increases. As the content of Nd$^{3+}$ continues to increase, the cross relaxation between Nd$^{3+}$ ions becomes more and more significant and radiation-free transitions are more likely to occur due to the proximity between Nd$^{3+}$ ions. This fluorosilicate glass had a phase-separated structure, and dopants were predominantly located in the F-rich area (Wang et al., 2018), that is why the concentration quenching value was as low as 0.8 mol%.

The photoluminescence emission mechanism is shown in Figure 2. Under the excitation of a $\lambda \sim 808$ nm laser, the Nd$^{3+}$ ions absorb photons and the electrons located at the ground states ($^4F_9/2$) transfer to the $^4F_5/2$ level via ground state absorption (GSA) process, before going down to the $^4F_3/2$ level with no photon emission. The electrons located at the $^4F_3/2$ level can relax to the $^4I_{13/2}$, $^4I_{15/2}$ level or to the ground state directly. The transition from $^4F_3/2$ to $^4I_{11/2}$ results in the photoluminescence emission at $\lambda \sim 1,056$ nm.

Compared to silica, most of the compound glasses have relatively low transition and crystallization temperatures, resulting in a tendency to crystallize easily. Figures 3A,B show that both glass and glass microsphere are transparent. To further investigate the degree of crystallinity of the samples, XRD patterns of the bulk glass and glass microsphere samples (Figure 3C). Both patterns exhibit broad bands with no sharp peaks, indicating that both samples are amorphous, and microspheres can be fabricated from this fluorosilicate glass by fiber heating.
The diagram of the experimental set-up used to characterize the Nd$^{3+}$ doped fluorosilicate glass microsphere laser under the excitation at $\lambda \sim 808$ nm is shown in Figure 4. The tapered fiber used for coupling the pump light into the glass microsphere was fabricated by heating a standard silica single mode optical fiber under tension in a conventional fiber tapering rig. This tapered silica single mode fiber has advantages, such as efficient transmission at both the pump laser and the gain laser wavelengths. When heated by the hydrogen-oxygen flame, the fiber section softened and was tapered under the action of axial stress. The glass microsphere was attached to a fiber clamp and positioned in close proximity to the tapered fiber to achieve critical coupling. To monitor the relative location of the taper with respect to the glass microsphere, a CCD camera attached to a 20x microscope eyepieces was used. The pump laser was launched into the single mode tapered fiber and coupled into the Nd$^{3+}$ doped glass microsphere through the evanescent field. An optical spectrum analyzer (OSA) was used to detect the gain laser output from the Nd$^{3+}$ doped fluorosilicate glass microsphere.

Under the excitation at $\lambda \sim 808$ nm, single mode laser emission from the glass microsphere was observed by the OSA. The relationship between the intensity of the single mode laser output power and the pump power is shown in Figure 5A. The threshold of lasing is $1.5 \text{ mW}$, and with increasing pump power, the output-pump power exhibits a linear relationship.

Figure 5B shows the typical single mode laser emission spectra from the 0.8 mol% Nd$^{3+}$ doped glass microsphere samples. The wavelength of laser emission peak is at $\lambda \sim 1056.3$ nm, the inset shows a zoom-in of the laser emission peak. When the pump power was set to $2.0 \text{ mW}$, the output power reached $18 \text{ nW}$ and the linewidth was $0.09 \text{ nm}$. The coupling efficiency between the tapered fiber and the glass microsphere is only about 0.5% (Wang et al., 2018), thus can explain the low efficiency output of $\sim 1.8 \times 10^{-3}$. The Q factor was measured by scanning the frequency of the tunable laser with the center wavelength of 1,550 nm, and the repetition frequency of the signal generator and the scan frequency of one period was set as 50 Hz and 15 GHz, respectively. The transmission curve was shown in Figure 5C and the corresponding Q factor of this Nd$^{3+}$ doped fluorosilicate glass microsphere is calculated to be $1.2 \times 10^{6}$. In addition, the stability of this fluorosilicate compounds glass was also studied by measuring its transmittance under different environmental conditions, as shown in Figure 6. The untreated sample shows a transmittance very similar to that of the sample left for 2 h at 50°C and 90% humidity, especially at the absorption band of OH$^-$ (3,000 nm). This seems to indicate that this compound glass material can work in a high temperature and high humidity environment.

**CONCLUSION**

Nd$^{3+}$ doped compound fluorosilicate glass and glass microsphere samples were fabricated. Under the excitation of a $\lambda \sim 808$ nm laser, enhanced near-infrared photoluminescence was observed. To determine the microstructure of the glass sample, XRD was performed, and the broad bands indicated that both the glass and glass microsphere samples are amorphous with no significant presence of nanocrystals. Furthermore, a single mode near-infrared laser emission was observed from the Nd$^{3+}$ doped fluorosilicate glass microsphere under the excitation at $\lambda \sim 808$ nm through a tapered standard single mode fiber. The excellent stability and resistance to environmental changes was confirmed by the transmittance spectra recorded in the mid-infrared region, which indicated that this glass and glass microsphere are potential optical gain materials, capable to operate at high temperature and humidity.

**DATA AVAILABILITY STATEMENT**

The datasets generated for this study are available on request to the corresponding author.

**AUTHOR CONTRIBUTIONS**

XW and PW designed the experiment and wrote the draft. HZ, AL, and KT did the measurements. GB discussed the result and help for editing the manuscript. All the authors have reviewed the manuscript.

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Conflict of Interest: The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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