The United Kingdom's first NHS Endobarrier service for advanced diabesity: 1-year outcomes for all 62 treated patients

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Abstract

Aims: EndoBarrier is a 60 cm proximal intestinal liner, endoscopically implanted for up to 1 year, designed to mimic the bypass aspect of Roux-en-Y gastric bypass surgery. We aimed to assess its safety and efficacy in patients with advanced diabesity.

Methods: Since October 2014 we have implanted 62 EndoBarriers in our NHS service. By November 2018 all were explanted. Outcomes were monitored in a registry.

Results: In 61 of the 62 patients (98.4%) (age 51.4±7.2 years, 54.1% male, 57.4% Europid, diabetes duration 12.0 (8.0–19.5) years, 57.4% insulin-treated, BMI 41.9±7.4 kg/m²) with implant and explant data, mean±SD HbA₁c fell by 23.7±21.4 mmol/mol from 80.2±22.5 to 56.5±11.5 mmol/mol (p<0.001), weight fell by 15.9±8.5 kg from 122.6±27.9 to 106.7±28.9 kg (p<0.001), systolic blood pressure from 138.5±15.0 to 125.8±14.6 mmHg (p<0.001), cholesterol from 4.7±1.4 to 3.9±0.9 mmol/L (p<0.001) and alanine aminotransferase (a marker for non-alcoholic fatty liver disease) from 33.2±19.8 to 19.5±11.4 U/L (p<0.001). In the 35 insulin-treated patients, median (IQR) insulin dose reduced from 100 (54–140) to 40 (0–70) units (p<0.001), with 10/35 (28.6%) discontinuing insulin. There were significant falls (UKPDS Risk Engine v2) in the risk of coronary heart disease (CHD) and stroke, suggesting that EndoBarrier treatment in 100 such patients could prevent 8 events of CHD or stroke and save 6 lives over the 10 years. Ten of the 62 patients (16%) required early removal (4 for gastrointestinal haemorrhage, 2 for liver abscess, 1 for another intra-abdominal abscess and 3 for gastrointestinal symptoms). All made a full recovery following device removal and most derived benefit despite early removal.

Conclusion: EndoBarrier was highly effective in this setting in patients with advanced diabetes and obesity. Given the high cardiovascular and microvascular risk of these patients, benefits might outweigh risks. As an endoscopic procedure it is relatively simple and non-invasive. Early removal rates require monitoring and there needs to be increased focus on preventing complications but, on balance, EndoBarrier deserves further investigation as a potential treatment for wider use.

Key words: EndoBarrier, duodenal–jejunal bypass liner, DJBL, obesity, type 2 diabetes, diabesity, bariatric surgery

Introduction

Background and rationale

EndoBarrier® (GI Dynamics, Boston, USA), also known as the duodenal–jejunal bypass liner, is a 60 cm long impermeable fluoropolymer sleeve which is implanted by endoscopy into the first part of the small intestine where it remains for up to 1 year (Figure 1). It is held in place by a nitinol anchor, such that food passes through it without coming into contact with the small intestine, thereby interfering with the normal digestive processes that occur in this region. Pancreatic and bile secretions mix with the undigested nutrients at the distal end of the EndoBarrier. Thus, it mimics the bypass aspect of Roux-en-Y gastric bypass surgery.1–6 The endoscopic insertion and removal of EndoBarrier are day case procedures, performed in less than an hour, usually under general anaesthesia. This form of reversible bariatric procedure has been shown to reduce weight and improve glycaemic control in patients with diabetes and obesity.2–6

REVISE-Diabesity (Randomisation to EndoBarrier alone Versus with Incretin analogue in SustainEd Diabesity), an Association of British Clinical Diabetologists (ABCD) UK-funded, multicentre, randomised controlled trial (ISRCTN00151053) led by our institution supports these observations. Additionally, preliminary data on the first year of treatment with the EndoBarrier device combined with liraglutide therapy showed benefit on HbA₁c and weight/body...
We aimed to evaluate whether the experience acquired through the REVISE-Diabesity study could translate into establishment of a safe and effective NHS EndoBarrier service for patients with sub-optimally controlled type 2 diabetes and obesity. In order to establish the service we aimed to:

- design a comprehensive 2-year patient pathway
- consult with relevant teams and patients
- obtain management support
- agree funding system with local service commissioners
- prime patients to maintain improvements after device removal by suggesting institution of behaviour changes during EndoBarrier treatment
- establish a secure online registry to monitor outcomes prospectively

Once established, we aimed to audit the impact of EndoBarrier therapy on weight, BMI, HbA1c, systolic blood pressure, cholesterol, HDL cholesterol, cardiovascular risk as assessed by the UKPDS Risk Engine v2, alanine aminotransferase as a marker of liver fat, daily insulin dose and insulin discontinuation rate. We also aimed to audit the rate of serious adverse events and early removal due to side effects.

**Methods**

**Study design and setting**

We designed a comprehensive 2-year pathway, as outlined in Figure 2. Patients were seen at the Diabetes Centre at City Hospital in Birmingham, UK, in NHS clinics specifically set up for the purpose. The gastroenterologists responsible for EndoBarrier procedures saw the patients in a different clinic in the same setting. The insertion and removal procedures were carried out in an interventional radiology or fluoroscopic screening room by the two gastroenterologists with the support of an anaesthetist and operating department practitioner, endoscopy nursing staff trained in EndoBarrier insertion and removal techniques, and a radiographer. The first EndoBarrier implantation in the NHS service was in October 2014 and the last one in November 2017, with the last EndoBarrier being removed in November 2018.

**Participants**

All patients had type 2 diabetes, were aged between 28 and 70 years, BMI >30 kg/m² and had tried diet, lifestyle and medications including GLP-1 receptor agonists and, once available, SGLT2 inhibitors if within licence. Thus, the only options left for them were to start insulin, increase insulin further if already on insulin, or have bariatric/metabolic surgery or alternative procedures not yet available on the NHS. HbA1c >58 mmol/mol (7.5%) was generally required. Lower HbA1c was acceptable only if patients were already established on insulin and the diabetologist considered that the patient’s insulin treatment to maintain the lower HbA1c was contributing significantly to the obesity. Patients were considered for EndoBarrier based on HbA1c assessed at the screening visit. If at that visit they fulfilled the criteria and they wished to proceed, they were then assessed by the gastroenterologists who would insert the EndoBarrier and, if they were accepted by them, they went onto a waiting list.

Despite the growing evidence of benefits, EndoBarrier treatment has not been tried as part of a routine diabetes NHS service.

There is currently a worldwide pandemic of type 2 diabetes. The International Diabetes Federation (IDF) describes this as ‘a global emergency’. Type 2 diabetes is driven by obesity. Management comprises a lifestyle programme focused on weight loss achieved through education with changes to diet and increased exercise. If target levels for glycaemic control are not achieved, pharmacological options include metformin, sulfonylureas, pioglitazone, metiglinides, alpha glucosidase inhibitors, DPP4 inhibitors, SGLT2 inhibitors and GLP-1 receptor agonists; beyond these medications, insulin can be initiated. In view of insulin resistance, which is a feature of type 2 diabetes, high doses may be required. The use of insulin, however, is associated with increase in weight, which is counterintuitive in patients who are already obese. Bariatric/metabolic surgery is a proven alternative option in this situation. A less established and less invasive option for achieving temporary proximal intestinal bypass is with the use of the EndoBarrier device. The advantages of this approach include the lack of permanence of the intervention rather than permanent changes to the anatomy, with lesser invasiveness and no open wounds following surgery. In view of these advantages, many patients express a preference for this procedure.

mass index (BMI) and liver fat. Despite the growing evidence of benefits, EndoBarrier treatment has not been tried as part of a routine diabetes NHS service.

Figure 1. The EndoBarrier device (A) and a diagram of the device in situ (B)

**Figure 1.** The EndoBarrier device (A) and a diagram of the device in situ (B)
During the interval between the initial visits and EndoBarrier implantation, in some patients HbA\textsubscript{1c} improved to $<58$ mmol/mol (7.5%). Such patients were given the previously offered EndoBarrier treatment. Patients taking aspirin or other antiplatelet medication that could not safely be stopped were excluded. Patients were required to agree to take high-dose proton pump inhibitors (omeprazole 40 mg twice daily) throughout the period of EndoBarrier implantation. Helicobacter pylori was tested by stool antigen test and was checked before the patients began their omeprazole. Those who were screen positive were excluded unless this was eradicated using a H. pylori eradication protocol.

Variables
We recorded baseline age, sex, ethnicity, smoking history, diabetes duration and medications. At baseline and at 3-monthly intervals during the period following EndoBarrier insertion we measured HbA\textsubscript{1c}, weight and BMI, systolic blood pressure, cholesterol, HDL cholesterol, cardiovascular risk as assessed by the UKPDS Risk Engine v2, alanine aminotransferase (a marker of fatty liver disease), diabetes medications – including insulin total daily dose if applicable. We chose alanine aminotransferase as a marker of fatty liver disease because it is measured in routine clinical practice and because, in our REVISE-Diabesity research study, we used MRI scanning to measure reduction of liver fat in response to EndoBarrier and found that a fall in alanine aminotransferase reflected the reduction in fat demonstrated by the MRI scan. Side effects were recorded, in particular gastrointestinal side effects and any serious adverse events leading to early removal of the EndoBarrier. Patient satisfaction was assessed using the NHS Friends and Family Test. Weight and height were measured on standard outpatient equipment. Biochemistry parameters were measured in the pathology department at City Hospital.

Sources of bias
As we were auditing routine practice, we could not interfere with standard care which might have impacted on the results – for example, medications for other conditions such as steroids for inflammatory conditions or medications for mental health. There was no control group for comparison and there was no blinding.

Study size
It was our intention to study all patients we treated with EndoBarrier in the same way. After EndoBarrier insertion in 62 such patients, the CE mark for EndoBarrier was suspended (November 2017) and we present here the data on all 62 patients up until the last device removal in November 2018.

Statistical methods
The impact of EndoBarrier on the parameters measured at follow-up was assessed by comparing the parameter in the last value measured prior to removal with the baseline value using a paired Student t-test. As all patients had to attend in order to have the EndoBarrier removed, removal data were obtained in all patients except one whose explantation occurred within 3 weeks of insertion.

Results
Between October 2014 when the service commenced and November 2017 when the last EndoBarrier was inserted, 62/174
(36%) referrals to the service were accepted for EndoBarrier treatment after reference to eligibility criteria and full informed consent involving the patient concerned. Table 1 shows the reasons why 112 of the 174 patients (64%) referred did not receive EndoBarrier treatment. Of the 62 patients accepted for EndoBarrier, one failed to comply with mandatory dietary advice to only eat puréed food during the second week after EndoBarrier insertion, which led to gastrointestinal haemorrhage so he had the EndoBarrier removed (patient 1, Table 5). Table 2 shows the baseline characteristics of the remaining 61 patients (age 51.4±7.2 years, 54.1% male, 57.4% Euroid, diabetes duration 12.0 (8.0–19.5) years, 57.4% insulin-treated, BMI 41.9±7.4 kg/m²).

Table 3 shows the main outcomes during the period of EndoBarrier implantation. During the period of EndoBarrier treatment mean±SD HbA1c fell by 23.7±21.4 mmol/mol from 80.2±22.5 to 56.5±11.5 mmol/mol (p<0.001), weight fell by 15.9±8.5 kg from 122.6±27.9 to 106.7±28.9 kg (p<0.001), systolic blood pressure from 138.5±15.0 to 125.8±14.6 mmHg (p<0.001), cholesterol from 4.7±1.4 to 3.9±0.9 mmol/L (p<0.001) and alanine aminotransferase (ALT – a liver fat marker) in 61 patients. There were highly significant falls in all parameters involved in CVD risk assessment other than HDL cholesterol which remained unchanged.

Early removal
Ten of the 62 patients implanted with EndoBarrier (16%) required early removal, four for gastrointestinal haemorrhage, two for liver abscess, one for another abdominal abscess and three for gastrointestinal symptoms. Table 5 gives the details of these 10 cases. In nine of these cases the EndoBarrier was retained for at least 2 months (median 273 days, range 61–336 days). In several cases there were issues with compliance which led to early removal, removals which may have been avoided with better compliance with dietary and/or medication advice (Table 5). All made a full recovery following device removal and most derived benefit despite the setback. Indeed, in the nine early removal patients who adhered to
Table 4: EndoBarrier impact on 10-year CV risk as assessed by the UKPDS risk engine

| CHD | Fatal CHD | Stoke | Fatal stroke |
|-----|-----------|-------|-------------|
| 15.8±11.8 | 11.4±10.1 | 5.90±4.71 | 0.94±0.89 |
| 9.0±6.0 | 5.6±4.7 | 4.84±3.70 | 0.61±0.52 |
| <0.001 | <0.001 | <0.001 | <0.001 |
| -6.8±7.6 | -5.7±6.7 | -1.06±1.50 | -0.33±0.54 |
| 6.8 | 5.7 | 1.06 | 0.33 |
| 14.7 | 17.5 | 94.3 | 303.0 |

Interpretation: According to UKPDS risk engine about 8 patients out of 100 will not have a coronary heart disease or stroke event over the next 10 years because of EndoBarrier treatment about 6 lives will be saved.

Table 5: Serious adverse events (SAE) leading to early removal of EndoBarrier (EB)

| ID | Age | Sex | Ethnicity | Duration diabetes (yrs) | EB in situ (days) | Weight loss (kg) | Fall in HbA1c (mmol/mol[%]) | Change in insulin dose (IU) | SAE | SAE potentially avoidable? | Comment |
|----|-----|-----|-----------|-------------------------|------------------|----------------|-----------------------------|-----------------------------|-----|--------------------------|---------|
| 1  | 49.5 | M   | Asian/Indian | 20                      | 18               | 3.2 (from 109.2 to 106.0) | NA                          | NA                          | GI bleed | Yes | Reverted to normal eating instead of pureed food in second week - caused GI bleed |
| 2  | 38.8 | F   | Asian/Indian | 4                       | 61               | 8.2 (from 96.8 to 88.6) | 36 (3.3) (from 98 [11.1] to 62 [7.8]) | NA                          | GI bleed | No | Vomiting for several weeks then noticed blood in vomit |
| 3  | 46.5 | M   | Asian/Indian | 14                      | 75               | 9.6 (from 108.2 to 98.6) | 62 (5.6) (from 109 [12.1] to 47 [6.5]) | 140 to 30                   | Gl bleed | Yes | Stopped take omeprazole - failed to get repeat prescription |
| 4  | 48.8 | M   | White       | 4                       | 103              | 5.8 (from 140.6 to 134.8) | 10 (0.9) (from 55 [7.2] to 54 [7.1]) | NA                          | Gl bleed | No | Presented with haematemesis and melena. No sign of bleeding at endoscopy but EndoBarrier had migrated |
| 5  | 50.9 | M   | Afro-Caribbean | 9                     | 214              | 18.4 (from 159.8 to 141.4) | 1 (0.1) (from 55 [7.2] to 54 [7.1]) | NA                          | Liver abscess | Possibly** | Treated in ICU for suspected pneumonia without staff realising the liver abscess possibility. Diagnosis made as chance finding on a CT scan |
| 6  | 58.0 | F   | White       | 33                      | 273              | 18.6 (from 118.8 to 100.2) | 27 (2.5) (from 93 [10.7] to 66 [8.2]) | 82 to 62                   | Other abscess | No | Abscess (not in the liver) thought to be due to small perforation of the bowel in relation to EndoBarrier |
| 7  | 49.6 | F   | White       | 4                       | 294              | 12.8 (from 107.6 to 94.8) | 2 (0.2) (from 55 [7.2] to 53 [7]) | NA                          | GI symptoms | No | After 10 months she had achieved sufficient benefit from EndoBarrier and asked for removal when GI symptoms worsened |
| 8  | 61.9 | F   | White       | 15                      | 294              | 23.6 (from 104.6 to 81.0) | 7 (0.6) (from 63 [7.9] to 56 [7.3]) | 34 to 0                    | Symptoms | Yes | Swallowed a piece of unchewed steak at celebratory meal - it blocked EndoBarrier which migrated |
| 9  | 47.6 | F   | White       | 2                       | 313              | 11.6 (from 84.6 to 73.0) | 44 (4.1) (from 93 [10.7] to 49 [6.6]) | NA                          | Symptoms | Yes | Copious lumps of food blocked EndoBarrier which migrated |
| 10 | 44.4 | F   | White       | 12                      | 336              | 13.8 (from 113.0 to 99.2) | 34 (3.1) (from 85 [9.9] to 51 [6.8]) | NA                          | Liver abscess | Possibly** | Liver abscess found after 11 months EndoBarrier - history of illness not known |

NA = Not applicable.
* At screening visit HbA1c was 67 and 74 mmol/mol respectively for these patients and, though they managed to reduce HbA1c in preparation for receiving Endobarrier, both wished to proceed in view of their weight and other co-morbidities.
** Early removal for this complication may be avoided in the future with increased awareness and vigilance amongst clinicians and patients as is being exercised in the current US FDA pivotal study.

the dietary recommendations for the first 2 weeks (table 5, patients 2–10), mean±SD HbA1c fell by 24.8±21.2 mmol/mol from 78.7±21.2 to 53.9±6.7 mmol/mol (p=0.008) and weight fell by 13.6±5.7 kg from 114.9±22.8 to 101.3±22.8 kg (p<0.001). Thus, the improvements experienced by the early removal patients were not greatly different from those of the full cohort.

Tolerability
Aside from early removal due to gastrointestinal bleed or abscesses, 51/54 patients tolerated the device for its full planned year. In the remaining three cases who experienced gastrointestinal symptoms, the device was removed earlier than planned (Table 5).
Patient satisfaction
It was our experience at clinic visits that patients typically reported a considerable increase in fitness and well-being, although we did not have any formal measure of this in our audit. Figure 3 shows the results from the NHS Friends and Family Test in response to the question: “How likely would you be to recommend this treatment to friends and family?” The benefits to the patients concerned are most readily appreciated from the pictorial examples and from interviews with them, both of which can be viewed online. These are typical of the group as a whole.

Discussion
Key results
In this first NHS service, EndoBarrier was used in patients with long-standing poorly controlled diabetes and obesity that was refractory to standard treatments. EndoBarrier resulted in considerable weight loss (mean 15.9 kg), improvement in glycaemic control (mean 23.7 mmol/mol from 82.2 to 56.5 mmol/mol), significant reduction in a marker of fatty liver, improvement in cardiovascular risk and, for those on insulin, a considerable reduction in insulin dose with nearly 30% discontinuing insulin. As reducing HbA1c and blood pressure is associated with improved microvascular outcomes, the risk of these was also improved. The device was generally well tolerated and 84% said they would be extremely likely to recommend the treatment to friends and family.

Limitations
The main limitation of this audit is the lack of a control group. All patients enrolled had a long history of attempts at weight loss and using hypoglycaemic medications known to help with weight loss, such as GLP-1 receptor agonists and SGLT2 inhibitors. Nevertheless, we cannot be sure from this cohort study what contribution there might have been from placebo effect or more intense follow-up. In the current pivotal study with EndoBarrier, the United States Food and Drug Administration (FDA), there is a sham control group who will receive an endoscopic examination without insertion of an EndoBarrier in a double-blind randomised fashion to address this issue. Further follow-up of the cohort after EndoBarrier explant is being undertaken to assess the extent to which the improvements are sustained. This is important as the benefits of improved cardiovascular and microvascular risk would be dependent on maintenance of improvement after removal of the device.

Serious adverse events
It is noteworthy that gastrointestinal bleeds occurred early after device insertion (18–103 days). A number of adverse events could potentially have been avoided (Table 5). In the light of our experience, it may be that many such events would in future be avoided in view of the increased education we would give to patients with regard to dietary compliance (avoidance of gastrointestinal haemorrhage [patient 1, Table 5] and gastrointestinal symptoms [patients 2, 7 and 30, Table 5]) and adherence to mandatory medications (proton pump inhibitors [patient 3, Table 5]). In any future service there would be an awareness and focus on prevention of hepatic abscesses; however, as this was an emerging problem during the study, awareness and focus on prevention was not present at the beginning of this study. One of our patients was treated for suspected pneumonia in the Intensive Care Unit without those treating him having any idea that the problem might be hepatic abscess (patient 5, Table 5). Future information carried by patients and improved information given to patients would ensure much earlier detection of such problems. The reason for the occurrence of hepatic abscess as a complication is uncertain, but with the presence of a foreign body in the first part of the small intestine which becomes covered with bacteria, it is not unreasonable to presume that portal bacteraemia might occur, sometimes leading to infection in the liver. Strategies for reduction of this complication are being considered, including antibiotic prophylaxis, reduction of proton pump inhibitors and shortening of the treatment phase. In the current FDA pivotal trial, daily temperature monitoring is being proposed with monthly white blood cell and C-Reactive Protein assessment in an effort to identify – and hopefully treat – without removal of the EndoBarrier, any infection very early. There is a report of a patient with well-established hepatic abscess successfully treated with antibiotics without removal of the device; this patient was detected much later than it is hoped will occur in the FDA pivotal trial.

Interpretation
All the patients with early removal because of serious adverse events made a full recovery and most derived considerable benefit. Indeed, the mean HbA1c fall of 24.8 mmol/mol and weight loss of 13.6 kg from 114.9±22.8 to 101.3±22.8 kg (p<0.001) are improvements experienced by the early removal group that were not greatly different from those of the full cohort. EndoBarrier treatment requires only a relatively simple endoscopy procedure and it is noteworthy that endoscopy units and skilled endoscopists are ubiquitous throughout the NHS. In the context of the diabesity pandemic, there is a need for simpler treatments that are less invasive than bariatric surgery for the many patients with obesity and poorly controlled diabetes despite lifestyle and pharmaceutical interventions. Therefore, EndoBarrier deserves further investigation as potential treatment for wider use in refractory
diabesity, especially bearing in mind cardiovascular and microvascular risk to the patients if they are not given additional treatment.

Generalisability
Future use of EndoBarrier within the NHS is dependent on restoration of its CE mark, which was not renewed in November 2017 by the notified body at the time for reasons that are not entirely clear.22 The makers of EndoBarrier are now working with a new notified body with a view to imminent restoration of the CE mark.22 Endoscopy units are ubiquitous throughout the NHS, as are skilled endoscopists. Patients with refractory uncontrolled diabesity are also abundant throughout the NHS and therefore, should the CE mark be restored, it would be relatively easy to make EndoBarrier widely available. The lessons we have learned with regard to measures to minimise serious adverse events would also be useful to future services.

Conflict of interest
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