Thermochromic VO$_2$ films for smart windows application

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Introduction

Following the misdeeds of industrialization and consumerism of the last century, the greatest challenges of the new millennium will be to cope with global warming and energy conservation. A significant amount of energy is consumed nowadays for maintaining thermal comfort in buildings [1, 2].

Windows are known as one of the most energy inefficient components of buildings [3]. With up to 60% of the total energy loss of a building coming from its windows [4], fenestration products have a huge potential to provide large energy savings [5]. Two properties define the energetic behaviour of windows: (1) thermal transmittance, or U-value, and (2) solar factor or g-value. The first determines the heat flow across a window while the second factor determines the amount of solar energy passing through the window.

Several solutions have been proposed to improve the energy performance of windows. For the reduction of the U-value, multilayer glazing [6] and vacuum glazing [7, 8] have been developed. For the limitation of solar gain in the hot season, low emissivity (low-e) coatings [9,10] are used to let the visible light pass through and block the IR and UV wavelengths which generally create heat [11]. Because of its high IR-reflectance, this type of glazing has been substantially developed during the last two decades [12].

Vanadium dioxide VO$_2$ is one of the most important thermochromic materials due to a phase change that takes place at 68°C. Its practical use in smart windows for building is still facing many difficulties, mainly high transition temperature, low transmittance in the visible range and high deposition temperatures [13,14].

This work is divided into two parts; first, a building energy simulation is carried out first to estimate the energy saving potential and to determine suitable properties of smart windows for the Lebanese climate, second, deposition of VO$_2$ has been studied using two different deposition techniques namely the carried out using two different techniques namely the conventional Radio Frequency Magnetron Sputtering (RFMS) and the High Power Impulse Magnetron Sputtering (HiPIMS).
**Results**

**Energy Simulation**: Simulation work has shown that the use of TC windows in a typical office space in Beirut can introduce around 15% economies in the overall energy needs, including space heating, space cooling and artificial lighting. This figure was obtained when comparing TC-coated glass with clear glass. Study was based on 100 m² office building floor located on the coastal area of Lebanon simulated through EnergyPlus 8.1. However, it is necessary to underline that these encouraging results pertain to theoretical thermochromic windows, having a transition temperature of 35 °C and a switching range as wide as 5 °C, which seems to allow maximizing energy savings under all different conditions. In addition, value of daylight luminance in summer was found to be less than the minimum value, $E_{\text{min}}=300$ lx, due to the transition to tinted state.

**VO₂ Deposition**: Deposition results show that HiPIMS gives higher density films due high energy ions bombarding the substrate during deposition and therefore leads to better film durability. Furthermore, SiN deposited as top layer and interlayer was shown to improve significantly the durability of VO₂ layer as SiN acts as a barrier layer against humidity and improve the adhesion.

$\Delta T_{2500\text{nm}}$ parameter defined as the infrared optical modulation at 2500 nm wavelength (see schematic in Fig. 1) was used to determine the quality of the thermochromic film. It was shown that the deposition temperature $T_c$ affects substantially $\Delta T_{2500\text{nm}}$. For $T_c < 300^\circ\text{C}$, $\Delta T_{2500\text{nm}}$ was found to be less than 30%, however for $T_c > 300^\circ\text{C}$, values of $\Delta T_{2500\text{nm}}$ exceed 30% to reach almost 45%.

![Fig. 1: Schematic graph showing the variation of the transmission spectra for a VO2 thin film at low and high temperature phases, and definition of $\Delta T_{2500\text{nm}}$.](https://doi.org/10.1051/matecconf/201926105004)
**Conclusions**

1- Thermochromic VO2 coatings have been studied for potential use in smart window applications.

2- Simulation work has shown that the use of TC windows with transition temperature around 35 °C in a typical office apartment in Beirut can introduce around 15% economies in the overall energy needs in office.

3- VO2 layer deposited by HiPIMS was shown to have higher density due high energy ions bombarding the substrate/layer during deposition and therefore leads to better film durability.

4- SiN deposited as top layer and interlayer was shown to improve significantly the durability of VO2 layer.

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