Characterisation of the surface morphology of an alpine alluvial fan using airborne LiDAR
M. Cavalli, L. Marchi

To cite this version:
M. Cavalli, L. Marchi. Characterisation of the surface morphology of an alpine alluvial fan using airborne LiDAR. Natural Hazards and Earth System Science, Copernicus Publications on behalf of the European Geosciences Union, 2008, 8 (2), pp.323-333. <hal-00299509>
Characterisation of the surface morphology of an alpine alluvial fan using airborne LiDAR

M. Cavalli and L. Marchi
CNR-IRPI, Corso Stati Uniti 4 35127 Padova, Italy

Received: 14 September 2007 – Revised: 16 January 2008 – Accepted: 27 March 2008 – Published: 11 April 2008

Abstract. Alluvial fans of alpine torrents are both natural deposition areas for sediment discharged by floods and debris flows, and preferred sites for agriculture and settlements. Hazard assessment on alluvial fans depends on proper identification of flow processes and their potential intensity. This study used LiDAR data to examine the morphology of the alluvial fan of a small alpine stream (Moscardo Torrent, Eastern Italian Alps). A high-resolution DTM from LiDAR data was used to calculate a shaded relief map, plan curvature and an index of topographic roughness based on the standard deviation of elevation within a moving window. The surface complexity of the alluvial fan, also influenced by human activities, clearly arose from the analysis. The surface roughness, defined here as the local topography variability, is compared with a previous classification of the fan surface based on field surveys. The results demonstrate that topographic analysis of ground based LiDAR DTM can be a useful tool to objectively investigate fan morphology and hence alluvial fan hazard assessment.

1 Introduction

Alluvial fans are gently sloping, fan-shaped landforms created by deposition of sediments often occurring at the base of mountain ranges. In Alpine valleys, alluvial fans have long been the preferred areas for agriculture and settlements. Therefore the deposition of sediment discharged by floods and debris flows, which causes alluvial fan formation and growth, represents a major hazard for human settlements. Debris flows are particularly dangerous because they pose a threat to the destruction of property and loss of lives in mountainous environments. The increasing human pressure on alluvial fans, which in the Alps is predominantly linked to tourism, requires accurate assessment of the hazard. In this context, the recognition of surface features on alluvial fans is essential for correct classification of torrent-related processes.

The identification of morphological features of alluvial fans, including the recognition of debris-flow deposits, old channels, levees and lobes, is commonly carried out by means of field surveys and interpretation of aerial photographs. But these traditional methods are often problematic. Field surveys are time consuming and influenced by subjectivity, whereas the interpretation of aerial photographs is affected by uncertainty in forested areas. The airborne laser altimetry technology (LiDAR, Light Detection And Ranging) provides high-resolution topographical data, which can significantly improve the representation of land surfaces. A valuable characteristic of this technology is the capability to derive a high-resolution Digital Terrain Model (DTM) from the last pulse LiDAR data by filtering the vegetation points. In the field of geo-hydrological hazards in mountainous areas, the analysis of high-resolution DTMs is a promising approach to investigate fine-scale morphology of the landscape.

The use of LiDAR technology for these types of quantitative analyses is relatively new. Several studies have used high resolution LiDAR DTMs (McKean and Roering, 2004; Hanenberg et al., 2005; Tarolli and Tarboton, 2006) or LiDAR vector data (Glenn et al, 2006) to analyze landslides, whereas only a few studies have investigated individual alluvial fan morphology and depositional processes (Staley et al., 2006; Frankel and Dolan, 2007). In previous studies, LiDAR elevation data were used to evaluate meter-scale surface roughness as a useful approach to map landslides and detect potential landslide areas (Carter et al., 2001; McKean and Roering, 2004; Haugerud, 2004; Schulz, 2004; Ardizzone et al., 2007), and to characterize and differentiate the landslide morphology and activity (Glenn et al., 2006).
Tarolli and Tarboton (2006) used LiDAR-derived DTMIs to identify the optimal size of the cells for the assessment of shallow landslides. Staley et al. (2006) used LiDAR-derived topographic attributes (profile curvature and surface gradient) for differentiating deposition zones on debris-flow fans. Frankel and Dolan (2007) combined quantitative measures of surface roughness, obtained from LiDAR data, with classic methods of geomorphology and sedimentology to characterise and differentiate alluvial fan surfaces with different relative ages in an arid environment.

The purpose of this study is to test the capability of high-resolution LiDAR data to characterise the topography of an alpine alluvial fan. Particular attention was paid to discriminating areas where debris-flow deposits are present from areas in which these deposits are lacking. When compared to the study of alluvial fans with limited human influence under arid climate, the analysis of the topography of alluvial fans in the European Alps poses some additional problems. The humid climate favours the growth of a dense vegetation cover, which reduces the density of measurements at the ground surface. Moreover, roads, buildings, torrent control works and other structures are often present on alluvial fans: this makes it necessary to distinguish the influence of natural features and man-made structures on the surface morphology of alluvial fans.

In this study we use a LiDAR-derived DTM to analyse the surface morphology of an alluvial fan in the Italian Alps. The adopted approach encompasses the interpretation of shaded-relief and plan curvature maps and the comparison of a roughness index with a classification of the fan surface developed on the basis of field surveys.

2 Study area

The study area is the alluvial fan of the Moscardo Torrent, a small stream of the Eastern Italian Alps (Fig. 1). Widespread erosion and instability phenomena in the upper basin slopes and along the main channel result in large amounts of loose debris being available for mobilization as debris flows during intense summer rainstorms; high channel slope produce an efficient delivery of the sediment to the basin outlet.

The Moscardo Torrent basin drains an area of about 4 km$^2$ and ranges from 890 m at the fan apex to 2043 m at the highest summit; the mean slope of the main channel is 20$^\circ$. The rocky substratum of the basin is made of Carboniferous flysch, represented by highly fractured and weathered shale, slate, siltstone, sandstone and breccia. Quaternary deposits, mostly consisting of scree and landslide accumulations, are common in the basin.

The Moscardo Torrent has formed a large, asymmetrical fan that spreads across the valley floor, forcing the main stream (But Torrent) to the toe of the opposite valley slope. The fan (Fig. 1) extends southward for approximately 2.7 km and covers an area of 1.27 km$^2$; it has a composite slope ranging from 7$^\circ$–8$^\circ$ at the proximal part and 4$^\circ$–5$^\circ$ in the lower area. Figure 2 presents a profile of the alluvial fan. At present, the active fan is limited to a narrow area along the main channel. Woody vegetation covers the mid-fan area in the proximity of the channel, especially on the right side where a dense coniferous stand is present. Deciduous forest stands, meadows, some buildings and a quarry deposit are present on the left side of the main channel. More houses are located in the northern part of the fan and a national road

![Fig. 1. Location map of the Moscardo Torrent basin and alluvial fan. The dashed line depicts alluvial fan boundary. Buildings are represented as black polygons. The line A–B indicates the section along which the profile of Fig. 2 was drawn.](image)

![Fig. 2. Topographic profile of the alluvial fan drawn along the line A–B of figure 1.](image)
passes through the southern, no-longer active, portion of the fan (Fig. 1).

The climatic conditions of the Moscardo basin are typical of the easternmost part of the Italian Alps, with abundant precipitation throughout the year, cold winters and mild summers. Average annual precipitation amounts to 1660 mm with 113 rainy days per year.

3 Methods

3.1 LiDAR data

The LiDAR data were acquired from an helicopter using an ALTM 3033 OPTECH, at an average altitude of 1000 m above ground level during snow-free conditions in November 2003. The flying speed was 80 knots, the scan angle 20 degrees and the scan rate 33 KHz. The survey design point density was specified to be 2.5 points per m², with first and last return recorded, and a vertical accuracy <15 cm. LiDAR point measurements were filtered into returns from vegetation and bare ground using the Terrascan™ software classification routines and algorithms (Barilotti et al., 2006). The dataset covers most of the alluvial fan surface with the exclusion of a small area in the southern distal part. A 2 m grid size DTM from ground points was generated by using Inverse Distance Weighted interpolation with a power of 2, a variable search radius with maximum distance of 3 m, and 3 as the number of nearest points to be used to perform the interpolation. The DTM grid spacing of 2 m was chosen as a compromise between the need for a fine-resolution DTM and the decrease of point density under canopy cover (about 0.25 points per m²) in the right side of the channel. The DTM from ground points was used to calculate a shaded relief map, a plan curvature map and an index of topographic roughness.

3.2 Shaded relief map

The shaded relief map was created with the Hillshade tool available in ArcGis 9.0 (ESRI, 2004), simulating illumination from the northwest with an altitude angle of the illumination source of 45° above the surface. Hillshading enhances the visualization of objects perpendicular to the azimuth of the illumination; the planar and altitude angles of the illumination source were selected taking into account that important morphological features on the alluvial fan of the Moscardo Torrent, such as most old channels and gullies have prevailing E-W or NE-SW direction. Differently from more complex landforms (e.g. drainage basins with rugged relief), which would require different illumination points, a single view appears to be adequate for the alluvial fan under study.

3.3 Plan curvature map

The plan curvature map was created with LandSerf 2.2, a freely available software for digital terrain analysis (Wood, 1996; www.landsurf.org). Plan curvature, which describes the rate of change of aspect, provides a measure of convergence and divergence of flow (Wilson and Gallant, 2000) and is often used for the identification of ridges and channels on digital terrain models (Rana, 2006; Haneberg et al., 2005). LandSerf 2.2, which calculates plan curvature on a cell-by-cell basis, utilises a quadratic function fitted to the surface using a moving window.

In this study we used an 11-cell moving window to derive the plan curvature map in order to smooth out features smaller than approximately 22 m wide. In the plan curvature map, a positive value indicates the surface is upwardly convex at that cell, whereas a negative value indicates the surface is upwardly concave at that cell, and a zero value corresponds to a flat surface.

3.4 Roughness index

The calculation of a roughness index is intended to measure the variability of elevations in local patches of the alluvial fan at a scale of a few meters.

Several methods have been proposed to measure the surface roughness by LiDAR data (e.g. McKean and Roering, 2004; Haneberg et al., 2005; Glenn et al., 2006, Frankel and Dolan, 2007). In this work we define the surface roughness as the standard deviation of a residual topography (Cavalli et al., in press). A neighbourhood analysis was used to derive the roughness index of the Moscardo alluvial fan. Figure 3 shows the flow chart of the calculation of the roughness index. A smoothed version of the LiDAR DTM (mean DTM in Fig. 3) was created by averaging elevation values within a 5-cell moving window. Each cell of the mean DTM has a value corresponding to the mean of the 25 neighbourhood cells values of the LiDAR DTM. The grid of residual topography was then calculated as the cell-by-cell difference between the LiDAR DTM and the mean DTM. Finally, the standard deviations of residual topography values are computed in a 5-cell moving window over the residual topography grid. We define the roughness index as:

\[
\sigma = \sqrt{\frac{1}{25} \sum_{i=1}^{25} (x_i - x_m)^2}
\]

where \(\sigma\) is the roughness index or the standard deviation of residual topography, 25 is the number of the processing cells within the 5-cell moving window, \(x_i\) is the value of one specific cell of the residual topography within the moving window, and \(x_m\) is the mean of the 25 cells values.

The resulting roughness value for each grid cell represents the topographic variability over length scales, from 2 m (grid size) to 10 m (moving window size). The calculation of the
Fig. 3. Flow chart of the roughness index calculation.

surface roughness by means of the residual topography grid provides a measure of this index independent from the effect of slope along the surface.

The benefit of the roughness index map analysis is in relating values of surface roughness to different alluvial fan areas affected in the past by different flow processes: higher roughness values can be associated with debris-flow evidence on the alluvial fan surface and lower roughness values can be ascribable to smoother areas characterized by waterlaid deposition or artificially smoothed for agricultural expansion.

A map of the normalized distances from the fan apex was calculated in a similar manner to that of Staley et al. (2006), to analyse the spatial location and patterns of roughness index values. The map of the normalized downfan distance calculated for each cell is given by the equation:

\[ D_n = \frac{D_a}{D_{\text{max}}} \]  \hspace{1cm} (2)

where \( D_n \) is the normalized downfan distance, \( D_a \) is the planimetric distance of the cell from the fan apex, and \( D_{\text{max}} \) is the maximum planimetric distance across the entire fan surface.

The planimetric distance map \( (D_a) \) has been derived using the ArcGIS Distance tool, which allows calculation of the Euclidean distance of each cell from a source, represented in this case by the fan apex. To obtain the normalized downfan distance map \( (D_n) \) the values of each cell in the planimetric distance map has been then divided for the maximum value of the map \( (D_{\text{max}}) \) using the ArcGIS raster calculator (Eq. 2). The values of \( D_n \) range from 0, at the fan apex, to 1, at the farthest cell on the fan surface.

3.5 Field survey

The local topographic variability, represented by the roughness index, is compared with a previous classification of the fan surface, which was undertaken using field surveys complemented by interpretation of aerial photographs (Marchi and Cavalli, 2005). This field classification of the alluvial fan surface aimed to distinguish areas with evidence of debris flow from areas where sedimentary processes have lower solid concentration. Field observations led to identification of three classes:

- Class 1: areas where debris-flow deposits (lateral levees and terminal lobes) can be clearly recognized;
- Class 2: areas with remnants of past occurrence of debris flows (irregular fan surface and presence of large lone boulders);
- Class 3: no evidence of debris flow.

The absence of debris-flow deposits in Class 3 could indicate the dominance of bedload deposition (likely to have derived from the reworking of debris-flow deposits accumulated in the upstream parts of the alluvial fan). It is also possible that deposits typical of debris flows have been obliterated by agricultural practices actively implemented on part of the alluvial fan until a few decades ago. This latter interpretation hints at the low frequency of debris flows in these areas, as only areas rarely hit by debris flows were used for agriculture. The classification of the alluvial fan surface into the three classes is presented in Fig. 4. We observe that the class with debris-flow evidences (Class 1) covers the active channel and a limited area mostly located on its right side. Class 3 (no trace of debris flow) covers a wider area reaching the northern and southern boundaries of the alluvial fan, while the intermediate class (Class 2, with remnants of debris flow activity) has a limited extent and is situated between the other two classes.

3.6 Comparison of roughness index and field classification

Statistical procedures were used to verify the consistency between the values from the roughness index and the field classification of the alluvial fan into three distinct classes. Both non-parametric and parametric tests were used for this comparison.

The non-parametric Mann-Whitney \( U \) test was used since it enables comparison of samples of different size and does not make any assumptions regarding the distribution of the data. The Mann-Whitney \( U \) test analyses for the existence of a null hypothesis, which here is that surface roughness values for the classes mapped in the field derive from the
same population. This test compares one group to another group, therefore, in order to analyse the three classes, each class was compared to the other two.

The $F$-test is an overall test of the null hypothesis that group means on the dependent variable do not differ. A significant value of $F$ indicates that there are differences between the means but does not indicate where these differences are. Once a significant $F$-value was obtained in the analysis of variance, the Tukey’s Honestly Significant Difference for unequal sample size post hoc test (Tukey HSD test) was performed to identify significant differences between individual classes. This test compares the difference between each pair of means. These tests require normal distribution of the variables under study. In order to obtain normal distribution of surface roughness data, a logarithmic transformation was applied.

The software Statistica for Windows (Statsoft, 2001) was used for this analysis.

4 Results

The shaded relief map of the Moscardo alluvial fan is presented in Fig. 5. The ability of laser shots to penetrate the vegetation canopy is highlighted in the shaded relief map, which reveals several subtle geomorphic features that are not visible in aerial photographs and in digital models of the ground surface derived from topographic maps. One such example is the track of an old channel of the Moscardo Torrent, partially covered by coniferous forest, located in the northern part of the fan that is obvious in Fig. 5. Even artificial structures (indicated by red areas in Fig. 5) are easily recognizable. Buildings have been removed during the filtering process of LiDAR data but terrain embankments related to their presence are still identifiable.

The plan curvature map (Fig. 6) emphasizes linear features, assisting in the detection of channels and ridges. Old channels, sometimes bordered by debris-flow levees, are apparent in the northern sector of the alluvial fan (a in Fig. 6).
with the flow directions of old channels and rills (prevailing North to South) also outlined in the southern sector of the alluvial fan (b in Fig. 6). Artificial structures shown clearly in the plan curvature map are the national road in the southwestern sector of the alluvial fan and the dikes built along the Moscardo Torrent (c and d respectively in Fig. 6).

The roughness index map (Fig. 7) contributes to the recognition of morphological features by emphasizing local elevation variability that may not be apparent on the shaded relief image. The surface roughness values for this alluvial fan ranged from 0 to approximately 1 m, although most of the values are <0.5 m (Fig. 8). Higher values of surface roughness can be found near the fan apex and along the main channel where debris-flow levees and lobes are present (a in Figs. 7 and 8). The distal areas of the fan are characterized by low values of roughness (c in Figs. 7 and 8), except where man-made structures, such as road embankments, increase local topographic variability.

As mentioned previously, the recognition of man-made structures is needed to avoid misinterpretation with natural features. In Fig. 7a long strip with low values of roughness crossing the fan in the north-south direction can be recognized, which corresponds to a flat area alongside a pipeline (b in Figs. 7 and 8). More flat areas with low roughness values are associated with the presence of buildings, which have been removed during the LiDAR data filtering process. Another human-modified area corresponds to the quarry deposit to the left of the main channel and is characterized by high values of surface roughness (d in Fig. 7). An isolated, spatially limited area with relatively high roughness values can be distinguished in proximity of the eastern boundary of the fan (e in Fig. 7).

Table 1 presents basic descriptive statistics for the roughness index in the three classes and Fig. 9 shows the distribution of the roughness index values of each class. High values of the roughness index occur in Class 1, whereas
Table 1. Basic statistics for the surface roughness index (total study area).

| Class | no of DTM cells | min  | max  | mean | median | std. dev. |
|-------|-----------------|------|------|------|--------|-----------|
| 1     | 60002           | 0.034| 0.772| 0.168| 0.140  | 0.092     |
| 2     | 38918           | 0.023| 0.540| 0.112| 0.098  | 0.056     |
| 3     | 143021          | 0.004| 0.968| 0.114| 0.092  | 0.072     |

Table 2. Basic statistics for the surface roughness index (after the removal of man-modified areas).

| Class | no of DTM cells | min  | max  | mean | median | std. dev. |
|-------|-----------------|------|------|------|--------|-----------|
| 1     | 45679           | 0.033| 0.784| 0.144| 0.123  | 0.073     |
| 2     | 33934           | 0.024| 0.489| 0.107| 0.097  | 0.046     |
| 3     | 86592           | 0.023| 0.568| 0.097| 0.085  | 0.045     |

lower values are observed in the other classes, for both the whole fan area and also after the removal of man-modified areas (Tables 1 and 2). The artificially-lined channel of the Moscardo Torrent and its banks were included in man-modified areas (Fig. 5) because they are not representative of natural depositional processes on the alluvial fan.

As mentioned previously, both parametric (F-test and Tukey HSD test) and non-parametric statistical analyses (Mann-Whitney U test) were carried out to compare the values of surface roughness in the three classes mapped from field surveys. The Mann-Whitney U test rejects the null hypothesis for all the comparisons (Class 1 vs. Class 2, \( p < 0.001 \); Class 1 vs. Class 3, \( p < 0.001 \); Class 2 vs. Class 3, \( p < 0.001 \)) both on the whole data set and after removing human-altered areas. Therefore, the non-parametric test shows that individual classes are statistically different from each other in term of surface roughness at the 99% confidence (\( \alpha = 0.01 \)). The result of the F-test on the surface roughness values of the three Classes allows us to reject the null hypothesis (\( F = 13773, \ p < 0.001 \)). The results indicate differences in the group means for each pair at the 99% confidence (\( \alpha = 0.01 \)). These results are consistent with those obtained from the application of the non-parametric Mann-Whitney U test.

The scatterplot of the surface roughness versus the distance from the fan apex for the three mapped classes makes it possible to analyze the pattern of roughness along the alluvial fan. In Fig. 10a the entire data set is plotted: several peaks are present throughout the length in the scattergram both in Class 1 and Class 3. The peaks in Class 1 are located where debris-flow deposits and old levees are present, and also on the artificial banks of the main channel. The highest values of roughness in Class 3 are found at a distance of 0.5–0.7 from the fan apex, which corresponds to the spatial location of the majority of artificial structures in the alluvial fan. Class 2 is characterized by a more regular pattern and the natural fan surface is accurately depicted due to the lack of human-modified areas.

In Fig. 10b the data without the man-altered areas are plotted. For ease of comparison, the values of the downfan distance in Fig. 10b were computed using the same value of \( D_{\max} \) as in Fig. 10a. Because of the removal of man-altered areas in the distal part of the alluvial fan, the values of \( D_n \) plotted in Fig. 10b do not attain the maximum value of 1.0. The most important differences arise in Class 3, in which most man-modified areas lie. A more regular trend of the maximum values is now evident. The peaks still present in this class can be related to other minor artificial structures, such as dry-stone walls bordering meadows and property boundaries. Class 1 exhibits major peaks in surface roughness, related to natural processes, at normalized downfan distances of 0.0–0.3 and 0.5–0.7.
Fig. 9. Histograms of roughness index values for the three classes mapped from field surveys.

5 Discussion

The results of the statistical tests indicate that the quantitative assessment of the surface roughness agrees with the visual interpretation of the morphology of the alluvial fan, from which the three classes of the fan surface were mapped, shown in Fig. 4. The consistency of the results of the non-parametric Mann-Whitney U test with the parametric analysis of log-transformed roughness data, confirms the stability of the results obtained. In this paper, the statistical analysis was aimed at comparing topographic roughness derived from a LiDAR DTM with a previous field classification of the fan surface. The use of spatial statistics for the automated recognition of topographic features and classification of morphological units could represent an important step towards an enhanced use of data derived from aerial LiDAR in alluvial fan studies.

In addition to the quantitative analysis performed on roughness index values, the visual inspection of the maps of LiDAR-derived indicators can also contribute to the interpretation of the morphological features of the fan surface. In the previous section, the correspondence between values of the roughness index and features observed in the field has been reported. Here we extend the discussion on this topic by comparing the capabilities of plan curvature and roughness index, and showing the potential of the roughness index in identifying areas with particular topographic features on the fan surface.

A valuable characteristic of plan curvature is that through the enhancing of concavities and convexities it is possible to identify linear features even in areas of low roughness, as is shown in the distal part of the alluvial fan in the Figs. 6 and 7. In contrast, linear features not marked by convexities and concavities, such as the pipeline that crosses the alluvial fan of the Moscardo Torrent, are not clearly recognised in the plan curvature map. As previously reported, the flat strip alongside the pipeline is easily identifiable on the roughness index map (b in Fig. 7). A combined use of different indicators is thus advised for a reliable identification of natural and man-altered features.

An example of the euristic capability of the roughness index map in the characterisation of surface features on alluvial fans can be seen with the identification of an isolated area of relatively high roughness. This area, indicated as “e”
in Fig. 7, was not recognised by the field surveys and aerial photograph interpretation, which led to the classification of the alluvial fan proposed in Fig. 4. An East – West topographic profile surveyed in the middle part of this area using a theodolite shows a close agreement with the LiDAR-derived DTM (Fig. 11), thus confirming that LiDAR elevation data represents the actual topography and are not affected by artifacts induced by data filtering. The visual inspection of this area confirms the presence of a rugged surface with scattered large boulders (Fig. 12a), which contrasts with the smooth surface of neighbouring areas (Fig. 12b). The widespread presence of large boulders, which makes agricultural activity difficult, may have caused this area to undergo lesser topographic alterations than other parts of the fan.

The Moscardo Torrent fan is characterized, like many alluvial fans in alpine valleys, by the presence of forest cover and artificial structures. Forest cover poses major problems in the recognition of the features of alluvial fan surface by means of aerial photo interpretation. LiDAR data can help overcoming these problems, provided that adequate attention is paid in the creation of the DTM and deriving geomorphic attributes. Since the spatial variability of DTM roughness is strongly influenced by LiDAR points density, care has to be taken where the different land use leads to different spatial density of ground LiDAR points. In correspondence to dense vegetation cover, as with coniferous forest on the right side of the channel in the Moscardo alluvial fans, the density of ground points recorded in LiDAR surveys is markedly lower than in open areas (2.5 and 0.25 points per m² respectively). To correctly select the DTM grid spacing in an area with different land uses, the spatial density of LiDAR points in the sector with the densest vegetation has to be taken into account. In the present study, a grid spacing smaller than 2 m could have given rise to misinterpretation of topography under forest cover with respect to open areas. When planning aerial LiDAR surveys, a high sampling point is then recommended, as it can provide higher density even under forest canopy, thus allowing a smaller grid spacing of the DTM.

Performing terrain analysis on an alluvial fan where artificial structures are present requires the distinction of natural features from man-made structures. The analyses carried out, both on the entire roughness value data set and on the data after removing artificial structures (Fig. 10a, b), demonstrate the strong influence of human activities for quantitative characterization of debris-flow depositional areas.
In Fig. 10b, which presents the relationship between surface roughness (ascribed only to natural features) and the distance from the fan apex, no decrease of the roughness for increasing distance can be observed within each class. In particular, Class 1, which represents the most recent sedimentary processes, shows high values of the roughness index in its distal part. This can be related to the efficient delivery of debris-flows to the lower part of the alluvial fan of the Moscardo Torrent. The deposition of debris flows close to the active channel results in a rugged fan surface even at long distances from the fan apex.

Staley et al. (2006) used morphometric indexes from a LiDAR-derived DTM to identify three zones with different depositional features in 19 debris-flow fans in the Death Valley. They observed high values of profile curvature at the proximal locations of the fan surface and correlated them to deposits with high internal shear strength. Moderate values of profile curvature correspond to deposits with lower shear strength, whereas the lowest values of profile curvature occurred in run-out zones in the mid-fan section. Both the profile curvature used by Staley et al. (2006) and the roughness index proposed in this study are indicators of topographic variability correlated to the typology of alluvial fan deposits. Staley and colleagues state that their findings are not applicable at the scale of individual fans. However, it is interesting to note (Fig. 10b) that, similar to the profile curvature in the debris-flow fans studied by Staley et al. (2006), the roughness index in Class 1 of the Moscardo alluvial fan shows the lowest values in the intermediate part of the profile (i.e. the run-out area). This pattern is not recognisable in Classes 2 and 3, which are influenced by fluvial reworking of debris-flow deposits, bedload deposition and artificial smoothing of the surface for agricultural exploitation.

6 Conclusions

This paper has shown the potential of LiDAR-derived topographic indexes in the analysis of the topographic variations within an alpine alluvial fan, where both natural landforms due to debris-flow deposition and man-made structures are present. Different tools (shaded relief and plan curvature, roughness index) were used in the detection of topographic features of alluvial fan surface.

The statistical comparison of the values of the topographic index was contrasted with a previous visual classification of the alluvial fan according to the presence of debris-flow deposits, and it was shown that there was consistency between the two approaches.

Man-made structures are often present on the alluvial fans of the European Alps and have a major influence on their surface topography. The analysis presented in this paper has shown that the presence of artificial structures on the alluvial fan of the Moscardo Torrent, although not preventing the quantitative description of natural morphology, had a negligible impact on the values of the roughness index (Tables 1 and 2, and Fig. 10). Careful identification of artificial structures is thus recommended to avoid misinterpretation of LiDAR-derived topographic indexes. Digital photographs, commonly taken during aerial LiDAR surveys, are a good basis for the identification of human-related entities, although field checks are also recommended for further verification.

The analysis presented in this paper would not have been possible using digital models of the ground surface derived from traditional topographic maps, not only because of the lower resolution obtainable, but, and probably more importantly, also because of the lack of detail on local topography, especially under forest cover. Digital photogrammetry applied to large-scale aerial photographs makes it possible to obtain high-resolution DTMs (Lin and Oguchi, 2004), but detailed recognition of fine topographic features is possible with this method only in unvegetated areas or those with sparse vegetation.

The GIS tools presented in this paper can contribute to the characterization, both qualitative and quantitative, of the surface morphology of alpine alluvial fans and are suitable for a combined use with traditional techniques, such as field surveys and aerial photo interpretation.

References

Ardizzone, F., Cardinali, M., Galli, M., Guzzetti, F., and Reichenbach, P.: Identification and mapping of recent rainfall-induced landslides using elevation data collected by airborne Lidar, Nat. Hazards Earth Syst. Sci., 7(6), 637–650, 2007.

Barilotti, A., Beinat, A., Fico, B., and Sossai, E.: Produzione e verifica di DTM da rilievi LiDAR aerei su aree montane ricoperte da foresta, in: Convegno Nazionale SIFET 2006 Le nuove frontiere della rappresentazione 3D, Castellaneta Marina, Italy, 14–16 June 2006, on DVD (in Italian), 2006.

Carter, W., Shrestha, R., Tuell, G., Bloomquist, D., and Sartori, M.: Airborne laser swath mapping shines new light on Earth’s topography, EOS, Transactions, American Geophysical Union, 82(46), 549–555, 2001.

Cavalli, M., Tarolli, P., Marchi, L., and Dalla Fontana, G.: The effectiveness of airborne LiDAR data in the recognition of channel-bed morphology, Catena, available online, 26 December 2007, doi:10.1016/j.catena.2007.11.001, in press, 2008.

ESRI: ArcGIS 9.0 User’s Guide, Redlands, CA, 2004.

Frankel, K. L. and Dolan, J. F.: Characterizing arid-region alluvial fan surface roughness with airborne laser swath mapping digital

N. Hazards Earth Syst. Sci., 8, 323–333, 2008

www.nat-hazards-earth-syst-sci.net/8/323/2008/
Glenn, N. F., Streutker, D. R., Chadwick, D. J., Thackray, G. D., and Dorsch, S. J.: Analysis of LiDAR-derived topographic information for characterizing and differentiating landslide morphology and activity, Geomorphology, 73, 131–148, 2006.

Haneberg, W. C., Creighton, A. L., Medley, E. W., and Jonas, D.: Use of LiDAR to assess slope hazards at the Lihir gold mine, Papua New Guinea, in: Proceedings, International Conference on Landslide Risk Management, Vancouver, British Columbia, May–June 2005, Supplementary CD, 2005.

Haugerud, R., Harding, D., Johnson, S., Harless, J., and Weaver, C.: High-resolution Lidar topography of the Puget Lowland, Washington – A Bonanza for Earth Science, GSA Today, 4–10, 2003.

Lin, Z. and Oguchi, T.: Drainage density, slope angle, and relative basin position in Japanese bare lands from high-resolution DEMs, Geomorphology, 63, 159–173, 2004.

Marchi, L. and Cavalli, M.: Riconoscimento dei processi torrentizi in area di conoide e scenari di intensità, in: La prevenzione del rischio idrogeologico nei piccoli bacini montani della regione: esperienze e conoscenze acquisite con il progetto CATCHRISK, Regione Autonoma Friuli Venezia Giulia, Direzione centrale risorse agricole, naturali, forestali e montagna, Servizio territorio montano e manutenzioni, 113–143 (in Italian), 2005.

McKean, J. and Roering, J.: Objective landslide detection and surface morphology mapping using high-resolution airborne laser altimetry, Geomorphology, 57, 331–351, 2004.

Rana, S.: Use of Plan Curvature Variations for the Identification of Ridges and Channels on DEM, in: Progress in Spatial Data Handling, edited by: A. Riedl, W. Kainz, and G. Elmes, Springer-Verlag, Heidelberg, Germany, 789–804, 2006.

Schulz, W. H.: Landslides mapped using LIDAR imagery, US Geological Survey, Seattle, Washington, Open File Report 2004–1396, 11 pp., 2004.

Statsoft: STATISTICA System Reference, Statsoft, Inc., 2300 East 14th Street, Tulsa, Oklahoma, USA, 1098 pp., 2001.

Tarolli, P. and Tarboton, D. G.: A new method for determination of most likely landslide initiation points and the evaluation of digital terrain model scale in terrain stability mapping, Hydrol. Earth Syst. Sci., 10, 663–677, 2006, http://www.hydrol-earth-syst-sci.net/10/663/2006/.

Wilson J. P. and Gallant J. C.: Digital Terrain Analysis, in: Terrain Analysis: Principles And Applications, edited by: J. P. Wilson and J. C. Gallant, John Wiley & Sons, New York, USA, I–27, 2000.

Wood, J. D: The geomorphological characterisation of digital elevation models, PhD Thesis, University of Leicester, UK, http://www.soi.city.ac.uk/~jwo/phd, 1996.