On Mar. 11, 2020, the World Health Organization (WHO) declared a global pandemic of coronavirus disease 2019 (COVID-19), which has exacted a massive global impact on health, politics and the economy.1 With some jurisdictions past the peak of the first wave, the strain on the health care system is becoming apparent — specifically, the impact on surgical care.2 The CovidSurg Collaborative estimated that 28,404,603 surgeries would be cancelled or postponed across 190 countries in the initial 12 weeks of COVID-19, including 37.7% of cancer surgeries and 81.7% of other (benign) surgeries.3 If countries increased normal surgical volume by 20%, it would take a median of 45 weeks to clear the backlog. Modelling post-pandemic recovery for elective orthopedic surgery in the United States suggested it would take 7–16 months to reach a steady state with a backlog of more than 1 million surgeries.4

On Mar. 15, 2020, Ontario’s Ministry of Health directed hospitals to begin a measured “ramping down of elective surgeries and other nonemergent clinical activity” in anticipation of a COVID-19 surge.5 On May 26, 2020, the Ministry of Health lifted the directive, allowing hospitals to gradually increase elective and time-sensitive surgeries.6 The reduction in nonemergent surgeries in Ontario because of COVID-19 has created a substantial surgical backlog.

Our objective was to estimate the size of the nonemergent surgical backlog owing to COVID-19 in Ontario, and the time and resources required to clear the backlog.

Methods

The framework for developing these estimates is outlined in Figure 1. At the recommendation of our regional and hospital partners for feasible surge scenarios, we considered a +10% (1 d per week at 50% capacity) surge scenario, adding 8 hours per day incremental to a 5-day operating week. We included surgeries performed in a fully equipped operating room and categorized...
them as cancer, cardiac (coronary artery bypass graft and valve procedures), vascular, transplant, pediatric and other surgeries (general, orthopedic, gynecologic, urologic, ophthalmic, etc.). A full breakdown of the procedure types included for pediatric, cancer and other surgeries is provided in Appendix 1 (available at www.cmaj.ca/lookup/doi/10.1503/cmaj.201521/tab-related-content). For cancer, vascular, pediatric and other surgeries, non emergent surgeries are grouped by patient priority level (P2, P3 and P4). Time-sensitive surgeries are categorized as cancer P2–P4, cardiac, transplant and vascular P2–P3.

Data sources
We used 6 administrative data sources to parameterize our model: the Province of Ontario Wait Times Information System, Canadian Institute for Health Information National Ambulatory Care Reporting System, Canadian Institute for Health Information Discharge Abstract Database, CorHealth Ontario Cardiac Registry, Trillium Gift of Life Network Organ and Tissue Allocation System and the Surgery Efficiency Target Program. Full descriptions of the Ontario-specific data sets can be found in Appendix 1. We used the Discharge Abstract Database and the National Ambulatory Care Reporting System for duration of operating room time and ward and intensive care unit (ICU) length of stay distributions, and proportions requiring ward and ICU stays. For cancer, vascular, pediatric and percentages of surgeries, we used the Wait Times Information System for volume and attrition estimates. For cardiac, we used the CorHealth Ontario Cardiac Registry for volume and attrition estimates, and for transplant, we used Trillium’s Organ and Tissue Allocation System for volume and attrition estimates. We used the Surgery Efficiency Target Program to provide the number of operating rooms by region and turnover time distributions.

Key input parameters included distributions for operating room time, ward and ICU length of stay, and estimates of the number of operating rooms and historical percentages of surgeries requiring ward and ICU stays (Table 1). Regional inputs are included in Appendix 1.

Estimating the size of the incremental backlog
Ontario has a population of 14.7 million and is subdivided into 5 health regions (West, Central, Toronto, East and North). In 2019/20, 90 facilities in Ontario reported 643,395 surgical procedures completed in fully equipped operating rooms. These surgical procedures are classified into 4 priority levels (P1–P4) based on a patient’s clinical condition, to standardize appropriate wait times across Ontario. P1 cases are “life or limb” emergencies and the P2–P4 wait time categories have different maximum wait time targets, with more time-sensitive service areas such as cancer and cardiac surgeries having more aggressive time targets than those for other conditions. The targets associated with each priority level reflect the need to accelerate care to minimize impact on survival for patients with life-threatening disease (e.g., cancer, cardiac and vascular disease) and to accelerate care in the case of other surgical conditions (e.g., joint replacement, cataract surgery) to minimize the impact of disability on patients.

We estimated the size of the accumulated backlog from Mar. 15 to June 13, 2020, which includes gradual ramp-up activity from the Ministry of Health announcement to reopen non-emergent surgeries on May 26, 2020, up to June 13, 2020. We calculated the backlog size as the difference between the expected and the observed number of surgeries during the aforementioned time period. This backlog is incremental to the existing surgical backlog in Ontario and includes cancelled or postponed surgeries and patients unable to attend a surgical consult because of COVID-19.
Estimating the clearance time of the incremental backlog

We estimated the clearance time by dividing the backlog size by the throughput of an operating room, an adaptation of Little’s Law from queuing theory. To estimate the operating room throughput, an adaptation of Little’s Law was used. We estimated the clearance time by dividing the backlog size by the operating room throughput. The clearance time is the length of time required to clear the backlog. The provincial clearance time is the volume-weighted average of the clearance time in each region. As a point of comparison, we also calculate the clearance time for time-sensitive surgeries only, assuming these surgeries are prioritized and assigned the surge time exclusively over elective procedures.

We combined backlog estimates with operating room throughputs and available operating rooms to calculate the clearance time for each region, summing across all surgery types. We did not consider clinical prioritization of patients; we processed each surgery type serially, implying hospitals would allocate the surge time to only 1 type of surgery at a time. Although this may not reflect actual prioritization approaches taken by an individual hospital, this simplified modelling approach is valid for the primary intent of the study, which was to estimate total clearance time. The provincial clearance time is the volume-weighted average of the clearance time in each region. As a point of comparison, we also calculate the clearance time for time-sensitive surgeries only, assuming these surgeries are prioritized and assigned the surge time exclusively over elective procedures.

Estimating the resources required to clear the backlog

The key resources required to clear the backlog are operating room time, ward beds and ICU beds. We estimated weekly operating room time using the operating room throughput and the historical surgery duration distributions by surgery type and region in 2019. We estimated weekly ward and ICU bed requirements by surgery type and region using the operating room throughput, proportional to ward or ICU and the associated length of stay distributions. We calculated provincial estimates as a volume-weighted average among regions. For living donor transplant volumes, we considered ward beds for the donor and recipient. We did not cap the weekly ward and ICU bed requirements. These numbers are intended as additional information to be used with regional bed capacity reports to assess the feasibility of the surge scenario.

Table 1: Provincial inputs by surgery type with data source specified*

| Procedure type‡ | Data source | Backlog size† | OR time, hr‡ | % to ward | % to ICU | Ward LOS, d‡ | ICU LOS, d‡ | No. of ORs |
|-----------------|-------------|---------------|--------------|-----------|-----------|--------------|-------------|------------|
| Cancer P2–P3    | WTIS, CORC, TOTAL | 1537 (486–3427) | 2.0 (1.2–3.5) | 54 | 10 | 3.0 (1.0–5.3) | 1.8 (1.0–3.3) | 818 |
| Cancer P4       | DAD/NACRS | 3615 (2440–4869) | 1.5 (0.8–3.0) | 50 | 5 | 2.0 (1.0–4.0) | 1.6 (0.9–3.0) | 818 |
| Vascular P2–P3  | DAD | 940 (430–1,635) | 2.1 (1.3–3.2) | 63 | 18 | 3.0 (1.0–7.0) | 1.8 (1.0–3.8) | 463 |
| Vascular P4     | DAD | 935 (412–1516) | 1.7 (1.2–2.8) | 42 | 13 | 2.3 (1.0–5.0) | 1.3 (1.0–3.0) | 463 |
| Transplant      | DAD | 243 (22–577) | 4.9 (3.8–7.9) | 100 | 65 | 7.0 (6.0–11.5) | 3.0 (1.3–6.5) | 126 |
| Cardiac CABG    | DAD | 295 (150–440) | 4.3 (3.7–5.2) | 100 | 99 | 4.1 (3.1–6.0) | 1.2 (1.0–2.2) | 131 |
| Cardiac Valve   | DAD | 175 (89–261) | 4.7 (3.9–5.8) | 100 | 99 | 4.3 (3.1–6.2) | 1.5 (1.0–3.0) | 131 |
| Other P2–P3     | DAD | 20 400 (15 752–25 098) | 1.1 (0.6–1.7) | 27 | 2 | 2.0 (1.0–3.0) | 1.9 (1.0–4.6) | 842 |
| Other P4        | DAD | 107 873 (95 994–119 917) | 0.8 (0.3–1.5) | 21 | 1 | 2.0 (1.0–2.0) | 1.1 (0.9–2.7) | 842 |
| Pediatric P2–P3 | DAD | 3151 (1431–5729) | 1.2 (0.7–1.8) | 19 | 5 | 2.0 (1.0–4.5) | 2.1 (1.0–11.6) | 623 |
| Pediatric P4    | DAD | 9200 (7301–11 122) | 0.8 (0.5–1.3) | 14 | 1 | 1.0 (1.0–2.0) | 1.0 (0.8–2.0) | 623 |

Note: CABG = coronary artery bypass graft, CORC = CorHealth Ontario Cardiac Registry, DAD = Discharge Abstract Database, ICU = intensive care unit, IQR = interquartile range, LOS = length of stay, NACRS = National Ambulatory Care Reporting System, OR = operating room, P2–P4 indicates priority level 2 to 4, SETP = Surgical Efficiency Target Program, TOTAL = Trillium Gift of Life Organ and Tissue Allocation System, WTIS = Wait Times Information System.

*Regional breakdown can be found in Appendix 1. Percentage of available ORs was modelled as a normal distribution with a mean of 50% and standard deviation of 10%. Turnover time distributions were available from June 2020 from SETP by region. Provincial turnover time across all surgery types was a median of 22 (IQR 12–33) minutes.

†Backlog size estimates are reported as the mean (95% prediction interval).

‡OR time, ward LOS and ICU LOS are reported as median (IQR) for 2019 data.

§Provincial historical 2019 attrition rates were available for cancer P2–P3 (0.1%), cancer P4 (0.2%), vascular P2–P4 (0.3%) and transplant (6.1%).

© Provincial historical 2019 length of stay, NACRS = National Ambulatory Care Reporting System, OR = operating room, P2–P4 indicates priority level 2 to 4, SETP = Surgical Efficiency Target Program, TOTAL = Trillium Gift of Life Organ and Tissue Allocation System, WTIS = Wait Times Information System.

© Provincial historical 2019 length of stay, NACRS = National Ambulatory Care Reporting System, OR = operating room, P2–P4 indicates priority level 2 to 4, SETP = Surgical Efficiency Target Program, TOTAL = Trillium Gift of Life Organ and Tissue Allocation System, WTIS = Wait Times Information System.

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© Provincial historical 2019 length of stay, NACRS = National Ambulatory Care Reporting System, OR = operating room, P2–P4 indicates priority level 2 to 4, SETP = Surgical Efficiency Target Program, TOTAL = Trillium Gift of Life Organ and Tissue Allocation System, WTIS = Wait Times Information System.
Statistical analysis

We forecasted the expected number of surgeries using time series forecasting models by region and by surgery type. We trained the forecasts on historical weekly data from January 2017 to October 2019 and validated them on data from October 2019 to Mar. 8, 2020. The modelling options included seasonal naïve for observed weekly volumes less than 50, seasonal and trend decomposition using locally estimated scatterplot smoothing (LOESS), dynamic harmonic regression with trigonometric terms for seasonality, and TBATS state space models.\textsuperscript{13–16} For deceased and living donor–related transplants, we assumed that donor volumes were available to process the transplant backlog. For each surgical category (except for cardiac), we selected the model with the best performance during the validation period (minimum root mean square error).

![Figure 2: Monthly volume trends for surgical procedures in Ontario from 2017 to 2020 (up to and including April 2020) including oncology, vascular, cardiac, transplant and other surgeries. Report date: May 20, 2020. Data source: Wait Times Information System, Ontario Health (Cancer Care Ontario); Trillium Gift of Life Network Organ and Tissue Allocation System, Ontario Health — Trillium Gift of Life Network; CorHealth Ontario Cardiac Registry. Note: Data are from calendar years 2017 to 2020, inclusive of April 2020; P2–P4 indicates priority level 2 to 4. The grey lines represent monthly volume trends from 2017 to 2019 and the blue line represents the monthly trends for 2020 up to April 2020. CABG = coronary artery bypass graft.]}
mean squared error). We used a similar method to calculate expected cardiac procedures based on historical trends in the CorHealth Ontario Registry. To account for the variability and uncertainty in the inputs, we conducted probabilistic sensitivity analysis. The probabilistic sensitivity analysis used 1000 trials, and we calculated the mean for each output of the model along with 95% confidence intervals (CIs). Additional details (forecasting methods and model selection) are included in Appendix 1.

Figure 3: Weekly volumes versus forecasts for surgical procedures in Ontario from 2017 to 2020 (up to and including June 13, 2020) including cancer, vascular, pediatric, transplant and other surgeries. Report date: June 23, 2020. Data source: Wait Times Information System, Ontario Health (Cancer Care Ontario). Note: Data are from calendar year 2017 to 2020, inclusive of June 13, 2020; P2–P4 indicates priority level 2 to 4.
Ethics approval
Ontario Health (Cancer Care Ontario) is designated a “prescribed entity” for the purposes of section 45(1) of the Personal Health Information Protection Act of 2004. As a prescribed entity, Ontario Health (Cancer Care Ontario) is authorized to collect personal health information from health information custodians without the consent of the patient, and to use such personal health information for the purpose of analysis or compiling statistical information with respect to the management, evaluation or monitoring of the allocation of resources to or planning for all or part of the health system, including the delivery of services. Trillium Gift of Life Network is governed by the Trillium Gift of Life Network Act of 1990, where section 8.8 specifies that one of the objects of Trillium Gift of Life Network is to collect, analyze and publish information relating to the donation and use of tissue. The cardiac clinical registry data used in this publication are from participating hospitals through CorHealth Ontario, which serves as an advisory body to the Ontario Ministry of Health and Long-Term Care (MOHLTC), is funded by the MOHLTC and is dedicated to improving the quality, efficiency, access and equity in the delivery of the continuum of adult cardiovascular, vascular and stroke services in Ontario, Canada. Because this study is in compliance with privacy regulations, ethics review was not required.

Results
In April 2020, there were 38% fewer cancer surgeries, 42% fewer cardiac surgeries, 73% fewer vascular surgeries, 81% fewer transplant surgeries, 94% fewer pediatric surgeries and 96% fewer other adult surgeries compared with April 2019 (Figure 2). Between Mar. 15 and June 13, 2020, the incremental provincial backlog was 148 364 surgeries (95% prediction interval 124 508–174 589). Weekly time series forecasts are shown in Figure 3; weekly accumulation of the provincial mean backlog is illustrated in Figure 4, with an average provincial increase of 11 413 surgeries per week.

The provincial median turnover time across all surgery types from March to June 2020 was 21 minutes (interquartile range [IQR] 11–32), a 31% increase compared with March to June 2019 (p = 0.3, Mood’s median test). The provincial median turnover times from April to June 2020 were April, 29 minutes (IQR 18–41); May, 25 minutes (IQR 15–37); and June, 22 minutes (IQR 12–33). The provincial operating room throughput during the clearance period was 717 patients per week (95% CI 326–1368). For all surgery types, it will take about 84 weeks (95% CI 46–145) to clear the backlog. For time-sensitive surgeries only, it will take about 14 weeks (95% CI 8–23) to clear the backlog, assuming all surge resources are dedicated to time-sensitive surgeries only.

The provincial average weekly resources required to clear all surgery types in the backlog are 719 operating room hours (95% CI 431–1038), 265 ward beds (95% CI 87–678) and 9 ICU beds (95% CI 4–20). The weekly resource requirements are crucial to ensure that hospitals select a sustainable surge scenario based on local resources available. Provincial and regional estimates of the backlog size by surgery type, clearance times and resources required to clear the backlog are shown in Table 2. Provincial results from the probabilistic sensitivity analysis showing the variability in the outputs are summarized in Figure 5.

Figure 4: Week-over-week accumulation of the mean provincial backlog for all surgery types from Mar. 15, 2020, to June 13, 2020, for a total mean backlog size of 148 364 surgeries.
Interpretation

Our analyses quantify the impact of COVID-19 on surgeries in Ontario. The wide CIs (11 mo to 2.8 yr) on the clearance time estimates indicate the uncertainty and variation inherent in the analysis. Clearance times depend on the extent of surgical recovery and if a surge in surgical activity is undertaken. The results reflect a gradual recovery in surgical activity from the Ministry of Health announcement to reopen nonemergent surgeries on May 26, 2020, up to June 13, 2020. Without any increases in resources to support surge activity, incoming new cases will lead to a subsequent backlog.

### Table 2: Provincial and regional results from the probabilistic sensitivity analysis showing the estimated backlog size and the time and resources required to clear the backlog

| Category or outcome | Health region | Ontario* | West | Central | Toronto | East | North |
|---------------------|---------------|----------|------|---------|---------|------|-------|
| Estimated backlog size by surgical category† | | | | | | | |
| Cancer P2–P3 | 1537 (486–3427) | 206 (75–552) | 308 (130–588) | 488 (126–1265) | 376 (106–714) | 159 (49–308) |
| Cancer P4 | 3615 (2440–4869) | 927 (597–1259) | 700 (450–952) | 986 (698–1298) | 911 (675–1148) | 91 (19–212) |
| Vascular P2–P3 | 940 (430–1635) | 202 (60–408) | 296 (154–502) | 97 (31–176) | 284 (166–405) | 61 (20–145) |
| Vascular P4 | 935 (412–1516) | 369 (160–587) | 314 (179–451) | 128 (54–209) | 111 (18–223) | 13 (1–46) |
| Transplant | 243 (22–577) | 48 (4–113) | NA | 124 (11–293) | 72 (7–170) | NA |
| Cardiac CABG | 295 (150–440) | 77 (39–115) | 71 (36–106) | 86 (44–128) | 37 (19–55) | 23 (12–35) |
| Cardiac Valve | 175 (89–261) | 29 (15–43) | 64 (33–95) | 51 (26–76) | 20 (10–30) | 12 (6–17) |
| Other P2–P3 | 20 400 (15 752–20 998) | 5361 (3418–7305) | 5858 (5082–6633) | 2098 (1458–2737) | 5671 (4779–6613) | 1412 (1013–1811) |
| Other P4 | 107 873 (95 994–119 917) | 34 660 (31 117–38 203) | 26 560 (23 580–29 705) | 13 102 (11 674–14 530) | 26 702 (24 097–29 307) | 6849 (5526–8171) |
| Pediatric P2–P3 | 3151 (1431–5729) | 858 (179–1537) | 561 (401–750) | 624 (379–870) | 869 (431–2123) | 239 (42–449) |
| Pediatric P4 | 9200 (7301–11 122) | 3004 (2479–3501) | 2513 (2128–2899) | 1311 (1003–1618) | 1831 (1375–2339) | 540 (317–764) |
| Total estimated backlog size | 148 364 (124 508–174 589) | 45 742 (38 143–53 624) | 37 245 (32 173–42 680) | 19 093 (15 504–23 199) | 36 885 (31 683–43 127) | 9399 (7005–11 959) |
| Estimated time to clear the backlog‡ | | | | | | |
| Estimated throughput (patients per week) | 717 (326–1367) | 933 (328–2532) | 809 (264–2250) | 278 (108–642) | 710 (240–1736) | 278 (92–669) |
| Estimated clearance time, wk | 84 (46–145) | 79 (31–159) | 74 (26–156) | 120 (58–231) | 87 (34–180) | 53 (20–117) |
| Estimated resources required‡ | | | | | | |
| OR time (h) per week | 719 (431–1038) | 926 (552–1344) | 735 (433–1057) | 452 (260–658) | 705 (420–1022) | 271 (163–388) |
| Ward beds per week | 265 (87–678) | 352 (58–1483) | 295 (48–1142) | 99 (22–305) | 258 (44–935) | 110 (15–392) |
| ICU beds per week | 9 (4–20) | 14 (3–44) | 5 (1–17) | 9 (2–27) | 7 (2–20) | 3 (1–10) |

Note: CABG = coronary artery bypass graft, ICU = intensive care unit, NA = no surgeries are performed in this health region, OR = operating room, P2–P4 indicates priority level 2 to 4.

*The Ontario results for estimated time and resources required to clear the backlog are volume-weighted averages of each regional outputs.

†Backlog size estimates are reported as the mean (95% prediction interval).

‡Results are reported as the mean (95% confidence interval) of the probabilistic sensitivity analysis.
Figure 5: Provincial results from the probabilistic sensitivity analysis for (A) clearance time, (B) operating room (OR) time per week, (C) patients per week, (D) ward beds per week and (E) intensive care unit (ICU) beds per week. The analyses were run 1000 times, and the frequencies add up to 1000. Note: CI = confidence interval.
Our framework for modelling the surgical backlog can be adapted to other jurisdictions, using local data to assist with recovery planning. We have created a deterministic, Excel-based tool using average inputs to help regional partners plan for restarting surgeries (see the Excel tool, available at https://github.com/wangjona/surgicalbacklog). According to Ontario Health recommendations, hospitals must ensure they can achieve at least 10% acute bed occupancy within 48 hours and have a minimum of 15 days of PPE onsite, coupled with a 30-day alternative backstop in each region or subregion.14 Hospital planners can use estimates of their upcoming surgery volumes in conjunction with the tool to assess the feasibility of the recommended criteria.

Limitations
Our analysis does not account for the potential occurrence of future waves of COVID-19 in Ontario. A delayed recovery or ramping down of nonemergent surgeries after a subsequent COVID-19 wave will continue to cause the backlog to grow, increasing the clearance time and resource estimates.

We considered clearance time estimates serially with operating room time distributions. Including multiple categories of surgeries in 1 operating room day (e.g., scheduling cancer and vascular surgeries within the same operating room day) with stochastic operating room times may reduce the clearance time and resources required. Further research on optimal priority scheduling of patients to improve operating room use in pandemic recovery should be a next step.

Modelling assumes availability of health human resources, beds, drugs, and PPE. However, the pandemic has caused significant challenges related to health human resources in many jurisdictions, including Ontario, as operating room staff have been reallocated to other hospital departments and may be experiencing work fatigue.19–25 Regions are also coping with a reduction in beds resulting from the movement of patients from long-term care into hospitals, coupled with a reduction of beds per room to accommodate physical distancing protocols.26 Therefore, it may be difficult to ensure adequate staffing and beds to support ramp-up plans.19 Similarly, drug and PPE shortages resulting from supply chain disruptions have been identified as a challenge in restarting surgeries.27–28 Provincial supply chains must be robust to secure sufficient drugs and PPE. Modelling requirements and supply for health human resources, beds, critical medications and PPE would be beneficial future steps.

Finally, we used historical data to forecast the expected surgical volumes and to estimate resource utilization patterns. The expected surgical volumes are based on historical patterns, which may change in the pandemic recovery phase. There is a paucity of data informing assumptions on the demand for surgeries during the recovery phase and the potential ramp-down phase during subsequent COVID-19 waves. We also assumed that all forecasted surgical volumes are appropriately indicated for surgery, which may not be true in all cases.29 For resource utilization patterns, patients waiting longer for treatment may have higher resource utilization than those receiving care before the pandemic, owing to disease progression as one might see in cancer or cardiac care, or may become ineligible for surgery as their condition progresses. In addition, patients waiting for transplants may lose opportunities for organ donation. Consequently, this analysis should be considered with other guidance tools, such as ethical frameworks and clinical prioritization guides.20 Without significant increases in resources, prioritization of patients for surgery based on survival and quality-of-life outcomes is paramount.

Conclusion
We presented an approach to modelling the incremental provincial and regional surgical backlog in Ontario and the time and resources required to clear the backlog as a result of COVID-19. This work shows the unprecedented magnitude of the secondary impact of COVID-19 on surgical care in Ontario. Our framework for modelling the surgical backlog can be adapted to other jurisdictions using local data to assist with recovery planning. To effectively manage this impact on more than 140 000 patients, health systems and surgical leaders cannot get back to business as usual, but rather must employ innovative system-based solutions to provide patients with timely surgical care and prepare for future COVID-19 waves.31–33

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Affiliations: Ontario Health (Cancer Care Ontario) (Wang, Vahid, Eber, Milroy, Milkovich, Wright, Hunter, Zanchetta, Irish); Division of General Surgery (Wright), Sunnybrook Health Sciences Centre; Trillium Gift of Life Network (Kalladdee); Schulich Heart Centre (Wijesundera), Sunnybrook Health Sciences Centre; Division of Cardiology (Wijesundera), Department of Medicine and Department of Otolaryngology-Head and Neck Surgery/ Surgical Oncology (Irish), Princess Margaret Cancer Centre/University Health Network, University of Toronto, Toronto, Ont.

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Correspondence to: Jonathan Irish, jonathan.irish@uhn.ca