Eye-opening facilitator behaviours: an Interaction Analysis of facilitator behaviours that advance debriefings

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ABSTRACT

Introduction Analyses of simulation performance taking place during postsimulation debriefings have been described as iterating through phases of unawareness of problems, identifying problems, explaining the problems and suggesting alternative strategies or solutions to manage the problems. However, little is known about the mechanisms that contribute to shifting from one such phase to the subsequent one. The aim was to study which kinds of facilitator interactions contribute to advancing the participants’ analyses during video-assisted postsimulation debriefing.

Methods Successful facilitator behaviours were analysed by performing an Interaction-Analytic case study, a method for video analysis with roots in ethnography. Video data were collected from simulation courses involving medical and midwifery students facilitated by highly experienced facilitators (6–18 years, two paediatricians and one midwife) and analysed using the Transana software. A total of 110 successful facilitator interventions were observed in four video-assisted debriefings and 94 of these were included in the analysis. As a starting point, the participants’ discussions were first analysed using the phases of a previously described framework, uPEA (unawareness (u), problem identification (P), explanation (E) and alternative strategies/solutions (A)). Facilitator interventions immediately preceding each shift from one phase to the next were thereafter scrutinised in detail.

Results Fifteen recurring facilitator behaviours preceding successful shifts to higher uPEA levels were identified. While there was some overlap, most of the identified facilitator interventions were observed during specific phases of the debriefings. The most salient facilitator interventions preceding shifts to subsequent uPEA levels were respectively: use of video recordings to draw attention to problematic (P), questions about opinions and rationales to encourage explanations (E) and dramatising hypothetical scenarios to encourage alternative strategies (A).

Conclusions This study contributes to the understanding of how certain facilitator behaviours can contribute to the participants’ analyses of simulation performance during specific phases of video-assisted debriefing.

INTRODUCTION

Feedback has been identified as one of the most important features of simulation-based medical education1,2 and now and then debriefing is referred to as an ‘art’.3 Approaches about how to debrief vary; for example, being instructor or team led, verbal or video assisted, structured or semistructured, judgemental or non-judgemental and with different timing in relation to the simulation event.4–10 Video-assisted debriefing is growing in popularity11 and the need for further studies exploring how discussion should be structured around the video has been called for.11–13 Different conversational techniques such as learner self-assessment, directive feedback and focused facilitation techniques including advocacy inquiry have been proposed. These techniques place more or less responsibility on learners versus instructors to analyse performance.14 Current learning theory has come to recognise learners as participants15 in professional practices or even as collaborative creators of knowledge.16,17 Cheng and colleagues have recently presented strategies for promoting learner centredness in healthcare simulation debriefing.18 Dismukes and colleagues have previously argued for a ‘mind-shift’ among instructors to allow learners to develop skills in critically analysing their own performance.19 However, analysing performance is difficult and learners cannot be expected to develop such skills unless they know where and how improvements should be made.20 In addition, poor performers tend to be unaware of their lack of competence and overestimate their expertise.21 One crucial task for a facilitator is, therefore, to help participants by drawing the participants’ attention to problematic and improvable behaviours that they may not have been aware of.

Few studies describe how debriefing contributes to reflection and learning.22 One study showed that facilitators mostly asked questions on lower (descriptive and evaluative) levels even though these were able to also promote reflection on a higher level.23 Another study which investigated novice doctors’ reflection during debriefings concluded that the relatively inexperienced participants only reached lower levels of reflection.24 They could however not note any differences in the instructor interventions across different levels of participant reflection.

Most debriefing approaches break up the post-simulation debriefing into a series of phases1,2,6,16,25,26 but the number and types of phases vary.14 The participants’ perspective in debriefings can be described as progressing through certain phases (see figure 1). The uPEA (unawareness (u), problem identification (P), explanation (E) and alternative strategies/solutions (A)) framework is a result of several
Previous studies indicate that analyses during debriefings progress through a number of phases.27,28 The first phase (U) is characterised by unawareness of or overlooking a problem that took place in the simulation. Not infrequently, participants may be satisfied with their performance. The second phase (P), consequently, is noticing or becoming aware of a certain problem and at this point there is instead a risk for unproductive self-criticism or self-blame. If the analysis continues adequately, the focus will often move to explanations (E) about why the problem occurred. In the final phase, suggestions are generated about alternative strategies (A) that could prevent similar problems in the future.

Over the last couple of decades, the number of studies of detailed interpersonal communication in healthcare has been growing.29 There is an increasing interest in field research that draws on ethnomethodology but which also makes use of the opportunities provided by video recordings.30–32 The use of video recording enables a detailed scrutiny of how facilitators make use of talk and artefacts such as video recordings, whiteboards, algorithms and medical equipment.

Problem statement, aim and research questions
There is a lack of research about which facilitator interventions are productive in advancing and supporting participants’ analyses of their team’s performance and how they can be facilitated to develop such skills further. The details of when and how facilitators in practice should verbally interact13 or use the video recordings are rarely explicated in the literature. The aim was therefore to study which kinds of facilitator interactions contribute to advancing the participants’ analyses during video-assisted postsimulation debriefing. The following research question has been in focus: which kinds of facilitator interventions precede successful shifts to a subsequent uPEA phase?

METHODS
Study setting
The study was carried out at the Clinical Simulation Center at South General Hospital (Södersjukhuset) in Stockholm, Sweden. The centre applies the CEPS debriefing approach, which has been in use since 1998. It is a widespread approach in Sweden for training interprofessional medical teams using high-fidelity simulation. It is based on theories about adult learning and is characterised by a thorough prebriefing with the aim of creating a safe learning environment. It also employs a distinct learner-centred teaching approach; the role of the instructor is not mainly to lecture or deliver feedback but to facilitate the teams in analysing their own performance. The term ‘facilitator’ will therefore be used in this paper. All facilitators have taken at least a 1-week course. Another characteristic feature is the use of video-assisted feedback; all simulations are video recorded and the entire recordings are analysed collaboratively by the participants and facilitators. Whenever either a participant or a facilitator so wishes, the video is paused for a discussion about the observation that was made. The debriefings highlight both adherence to medical guidelines as well as crisis resource management. The debriefing approach is well defined and described as non-judgemental and honest with a focus on enhancing both good behaviours and closing performance gaps.

Each simulation course used as a data source in this study consisted of four full-scale simulations of neonatal resuscitations. The participating teams were interprofessional and consisted of six to nine medical students in their fifth year and nurses in their second year of training to become midwives. They had previous experience of simulation training but little or none of video-assisted debriefing. They were facilitated by one to three paediatricians or midwives whose experience of simulation-based education ranged from 6 to 18 years. The simulations were 8–16 min long and each immediately followed by a 15–45 min debriefing.

Methodological approach
An Interaction Analysis was performed which has been described as a detailed analysis of video recordings to investigate human activities such as talk, non-verbal interaction and the use of artefacts and technologies to identifying routine practices and problems, and the resources for solutions.31,32,34 This method has its roots in ethnography and places a strong emphasis on grounding all assertions on verifiable observations. It enhances traditional field observations by making use of video recordings in order to overcome the gap between what people say they do and what they, in fact, do but also as a way of overcoming the bias of the
It was found particularly useful for a learner-centred debriefing. It focuses specifically on the learning and improvement aspect by KK and FL using the uPEA categories. When the ‘Unawareness’ category was analysed, a total of 110 facilitator interventions were created and documented in a content log with a deductive-inductive data analysis. Specific facilitator interventions were observed between different uPEA phases (see figure 2 which gives an overview). Transcripts are presented to exemplify the most characteristic and common interactions for each phase shift. In the transcripts, a simplified version of Jeffersonian notation is used (see online supplementary appendix 1). In the examples, facilitator has been abbreviated as ‘F’ and the participants are coded as ‘P1’, ‘P2’, ‘P3’, and so on.

Identifying problems
Certain interventions appeared repeatedly when facilitators wanted to draw attention to a problem and support the participants in identifying these (see table 1).

The transcript presented (figure 3) exemplifies how the video recording plays a central role in identifying a problem. The atmosphere in the debriefing had at this stage been quite cheerful; the participants had been laughing at comments made in the video and did not seem to be aware of any problems. The facilitator then takes a couple of steps towards the video monitor, points at it with the remote control (line 1) leading to that the participants seem to watch the screen more intently. The facilitator stops the video, pauses in silence looking at the video and then asks, ‘So what happened here?’ (line 2). A participant now begins describing a problem (line 3): the father of the newborn was asking a lot of questions which was challenging as this risked disrupting the work of the team (line 5). The other participants go on to elaborate on this problem and one participant points out that she now noticed that the ventilator frequency actually decreased during the conversation with the father. Although all team members had been watching the video they had not reflected on the disturbance and its consequences. By drawing attention to the video and asking a question about what happened, the facilitator managed to help the team discover a problematic issue that had gone unnoticed.
Encouraging explanations about the identified problems
The focus of the discussions has until this point been on identifying and discussing problems but not yet on understanding the reasons for why they appeared. Facilitators will therefore strive at guiding discussions towards explanations underlying the identified problem. In comparison to the previous phase shifts, other mechanisms were more successful in advancing the discussions to focus more on explanations (see table 2).

The example illustrates how the facilitator asks the team about their opinions regarding certain events and how this seems to stimulate the participants to offer explanations (figure 4). The team is discussing a problem: they handed over the task of ventilating the patient but neglected to check that the ventilation was still adequate after the shift. The facilitator asks about the participants’ opinions about this handover (lines 1 and 3). One of the participants then puts forth an explanation of their actions (line 4) which is elaborated on by two other team members (lines 8 and 9).

Table 1 Observed facilitator interventions immediately before the debriefings moved into the Problem identification phase, number of occurrences and descriptions of the interventions

| Facilitator intervention               | Occurrence | Description                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |
|---------------------------------------|------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Uses video                            | 10         | The facilitator makes use of the video recordings: for example, gestures towards an area on the screen or gestures to follow an activity unfolding on the screen, stops the video and pauses in silence or points at something in a frozen picture (see transcript in figure 3).                                                                                                                                                                                                                                                                                                                                 |
| Asks factual questions                 | 9          | The facilitator asks questions about what happened in the simulation or about events shown in the video recordings, for example, questions what a person was doing or questions about events that took place (eg, ‘And what is she doing?’).                                                                                                                                                                                                                                                                                                           |
| Describes hypothetical scenarios      | 5          | The facilitator describes an alternative scenario which differs from what happened in the simulation to make comparisons or to illustrate a point. In some cases, the facilitators pretended to be a person in such a situation (points and pretends to quote: ‘Sally, can you continue ventilating?’) and even enacted behaviours including walking to the neonatal resuscitation table gesturing to concretise potential problems.                                                                                                                                                                                                 |
| Asks about opinions                   | 3          | The facilitator asks the participants about their opinions regarding behaviours and events in the simulation (eg, ‘Then the question is how did that report go?’).                                                                                                                                                                                                                                                                                                                                                                           |
| Asks counterfactual questions          | 2          | Rather than asking about what was visible on the video screen, the facilitator asks questions about aspects which did not take place (‘Is something missing here?’).                                                                                                                                                                                                                                                                                                                                                                                           |
| Corrects/questions                     | 2          | The facilitator corrects a misconception about the simulation. For instance, one participant incorrectly believed that Sally had forgotten to present herself and the facilitators says, ‘No, Sally said “I am Sally.”’                                                                                                                                                                                                                                                                                                                                 |
| Authenticates                          | 2          | The facilitator describes aspects of a similar situation in a real case, for example, the composition of teams during resuscitations (‘...you can not be certain in advance exactly who will be there, which professions, how many of each and their levels of experience…’).                                                                                                                                                                                                                                                                 |
| Confirms                              | 2          | Confirms what the participants say (‘Yes’; ‘Okay’) or by repeating what was said.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |

Stimulating the generation of alternative strategies
Up to this point, the debriefings have dealt with identifying potential problems and explaining them. The final phase is about suggesting alternative strategies to avoid similar problems. This phase is prospective, looking towards managing future scenarios as opposed to retrospectively analysing what happened in the simulations. Other kinds of facilitator interventions advancing the debriefings were observed during this phase (see table 3).

In the example (see table 4), the facilitator uses the frozen image as a starting point for discussing what happened at a specific point in time (line 1). The video image has a key role in setting the scene for the discussion about what could be done: on line 2 the facilitator gestures to draw attention to the team leader’s gaze. Such pointing is easy to achieve with the video but would have been cumbersome to describe in words. The facilitator then begins dramatising to illustrate how difficult it is to ventilating the (imagined) infant and simultaneously follow the ECG and oxygen saturation readings (lines 3–5) and continues...
Table 2 Observed facilitator interventions immediately before the debriefings moved into the Explanation phase, number of occurrences and descriptions of the interventions

| Facilitator intervention | Occurrence | Description |
|--------------------------|------------|-------------|
| Asks about opinions      | 5          | The facilitator asks for participants’ opinions about events or behaviours in the simulations or to suggestions made by individual participants (‘Do you think it [the ventilation] was [done] too fast?’). |
| Asks for a rationale      | 3          | The facilitator asks for reason underlying the problem or explanations as to why a problem occurred (‘Why do you have difficulties discovering that there is another person in the room, Donald?’). |
| Clarifies the problem     | 2          | The facilitator clarifies a problem by summarising it or reminding the participants about it (‘you switch places … but at that point you haven’t secured the ventilation… if you change the position of the head then you may not have a free airway…’). |

Figure 3 An example transcript illustrating how the facilitator (F) makes use of the video recording by turning to and pointing at the screen and then asks a (factual) question about the events in order to draw attention to a problem that had gone unnoticed. uPEA, unawareness (u), problem identification (P), explanation (E) and alternative strategies/solutions (A).
The facilitator (F) asks the participants about opinions to encourage discussions about explanations to a suboptimal sequence of actions. uPEA, unawareness (u), problem identification (P), explanation (E) and alternative strategies/solutions (A).

Moving between phases without a facilitator can be troublesome and the shifts in this study did not take place automatically; immediately before the phase shifts, facilitators engaged in various kinds of interventions to support and encourage the participants’ reflection and analysis. In many cases this amounted to more than simply making an insightful comment about the team’s simulation performance.

The facilitators’ use of the video recordings in various ways stood out as the primary means of drawing attention to events and behaviours which were problematic or missing. By showing the video and by gesturing and pointing out aspects of events taking place in the recordings accompanied by questions about the events, the facilitators managed to initiate discussions about the problematic behaviours or events.

### Table 3: Observed facilitator interventions immediately before the debriefings moved into the Alternative strategies/solutions phase, number of occurrences and descriptions of the interventions

| Facilitator intervention | Occurrence | Description |
|--------------------------|------------|-------------|
| Dramatises               | 8          | The facilitator acts out possible behaviours in a hypothetical situation often quoting something that an imagined person could have been saying and often in combination with moving around the room gesturing to imagined participants and as if they were using tools and instruments in the hypothetical situation (‘What would you do if… a father wants to know X?’, ‘Here, you can say precisely what you know: “the child’s heart is beating and we are assisting him with his breathing,” you can say that. Can you cause any harm by saying that?’). The dramatising illustrated possible behaviours and made points about how they might be experienced by others and about their possible consequences, both positive or negative ones. |
| Summarises and asks priority questions | 7 | The facilitator lists what the team had done. Sometimes also encouraging participants to prioritise between possible alternatives. The summaries reminded the participants of the multiple events that had taken place and related the question to a specific time and situation in the simulation and the facilitators encouraged them to make prioritisations between different activities (‘…and what is most important for the child then and there, that second?’). |
| Asks about possible actions | 5 | The facilitator inquires directly about how a team could or should act in a specific situation (‘…how can you ensure that a report really has been understood or not?’). |
| Authenticates | 4 | The facilitator relates to what could happen in a clinical setting by describing a similar case that they have experienced or might be possible in a real event. |
| Suggests strategies | 4 | The facilitator suggests alternate future actions to spur discussions. The facilitators sometimes asked whether the team wanted suggestions and offered recommendations about how the team could have acted (‘May I give you a suggestion?’; ‘It’s a good thing to talk about the pulse and whether it increases or not and whether the chest is rising.’). |
| Uses video | 3 | The video is used in a similar way as described in the Problem section. However, here the video image is used to refer to a concrete situation and to create a starting point for the discussion about alternative strategies (eg, the facilitator points at the monitor and says, ‘And if we had been back in this situation here… if you are uncertain about what you should do, how should you solve that problem?’). |
| Asks about own thoughts | 2 | The facilitator checks that the participants have understood the facilitator’s line of thought, for example, after attempting to explain a problematic event (‘…And what do you think my point (of saying this) is here?’). |
Table 4  The facilitator (F) uses the video as a starting point and then dramatises a possible scenario and summarises the need for information to encourage the participants to develop strategies to avoid similar problems in the future

| Transcription                                           | Annotation                                                                 | Facilitator behaviour and uPEA phase       |
|---------------------------------------------------------|---------------------------------------------------------------------------|--------------------------------------------|
| 1 F: But say that we now, if we were to redo it from here on, right here. | The facilitator puts his index finger on the TV monitor to emphasise which situation he is referring to. | Uses video                                |
| 2 F: …and you look down.                               | Points at the team leader (P7) on the screen to clarify who he is talking about. | Uses video                                |
|                                                         | And then makes a back and forth gesture moving between the team leader’s eyes and the infant’s head on the neonatal resuscitation table to emphasise what the team leader is looking at. |                                            |
| 3 F: …and say this: ‘I will concentrate on the ventilation.’ | Pretends to be the team leader himself, puts out both hands as if holding the infant’s head, while turning his gaze towards the imagined infant in front of him and makes an imaginary quote to illustrate what could be said. | Dramatises                                |
| 4 F: But you want to know this and that, for example what the ECG is saying and what the saturation is. What should you do in such a case? | The facilitator summarises the situation and the need for information in it. He still pretends to be the team leader and continues to look down at the imagined infant while pointing towards the ECG on the screen to exemplify. He then asks about possible actions. | Summarises and uses video                  |
| 5 (.)                                                   | Continues to pretend to be the team leader holding one hand on the infant’s head and the index finger of the other hand moving up and down as if he were ventilating with the T-piece device and waits for suggestions from the participants. | Dramatises                                |
| 6 P4: Ask somebody to… say… ‘What is the pulse?’, or? | Suggests a possible question that the team leader could have asked. | Alternative strategies/solutions (E->A)   |
| 7 F: Yes! ‘P4, can you please tell me the numbers up there.’ | Makes another imaginary quote in line with the suggestion accompanied by pointing towards the screen as if he were pointing in a real situation. | Dramatises                                |
| 8 F: You could say that, couldn’t you? ‘Can you tell me what the cardio scope is saying, please?’ | Makes one more hypothetical quote still ventilating the imagined infant. | Dramatises                                |
| 9 F: That’s an example. (.) Right?                     | Looks up to the participants and holds out his hands.                     |                                            |
| 10 P7: Mm but there… ‘cause I remember when we we’re taking the advanced CPR [course]… |                                                                 |                                            |
| 11 F: Yes.                                             |                                                                 |                                            |
| 12 P7: …it was sort of a rule that the person responsible in the room was not the person doing compressions. And similarly, you should be able to have a rule that the one responsible doesn’t ventilate. | P7 makes a suggestion about a possible strategy in analogy to another context. | Alternative strategies/solutions          |
| 13 F: Yes, you got it!                                 |                                                                 |                                            |

CPR, cardiopulmonary resuscitation; uPEA, unawareness (u), problem identification (P), explanation (E) and alternative strategies/solutions (A).
When a problem had been identified, the facilitator behaviours shifted in character. The next step involved clarifying why the problem had taken place by generating explanations and clarifying the rationales behind behaviours that took place. The importance of getting to understand the ‘internal frames’ of learners has been emphasised in some debriefing approaches as these may drive learners’ actions and in turn produce clinical results. At this stage, the facilitators prompted discussion by asking the participants about their opinions about or rationales underlying their behaviours.

Finally, to encourage discussion about alternative strategies for avoiding or managing similar situations in the future, another set of facilitator interventions was displayed. Role playing and avoiding or managing similar situations in the future, another facilitator interaction was used to make a point, for example, to concretise consequences of a suggested strategy.

The video recordings had a key role and by basing the discussions on the video recordings rather than on recollections of what happened, valuable debriefing time appeared to be saved as it did not have to be spent on verbal accounts of what had happened. The recordings enabled asking specific and very detailed questions such as ‘What is being done here in the background?’ which would have been difficult or impossible without the video. Research on video debriefing has so far not very convincingly shown beneficial effects in comparison with non-video debriefing. This study makes a contribution to our understanding about how, and during which phases, it may be worthwhile structuring discussions around the video. As mentioned, the video recordings were helpful in creating awareness of problems that had occurred. But in contrast, the video did not have a prominent role at all in the subsequent phase of encouraging explanations. And when the debriefings moved on to discuss alternative strategies the video again became more important. This is slightly surprising as this phase per definition is prospective, forward-looking. Nevertheless, the frozen screen images provided a concrete starting point for brainstorming about alternative potential actions.

It was also clear that simply watching the video was not enough. Often more was needed. When the facilitators’ interventions were in phase they had the possibility of being eye-opening in the sense that they helped participants catch sight of problems that they had been unaware of, helped them realise underlying explanations to why the problems took place and eventually to envision alternative ways of working in the future.

Methodological considerations

The point of this study was to identify and characterise behaviours of experienced facilitators that successfully advanced video-supported debriefing in a learner-centred setting. The described behaviours may of course reflect the practices and personal characteristics of the facilitators in this particular study setting. The number of facilitators investigated was limited but the intention of this study was not to claim that the identified facilitator interventions are expected to be representative of or typical for all facilitators in all contexts. As is often the case in qualitative research, external validity is typically replaced by ‘transferability,’ the ability to transfer the findings to situations with similar parameters, populations and characteristics by providing descriptions that are thick enough. Readers are invited to make connections between the findings of the study and their own experience. Other behaviours may likely be discovered in other contexts and added to the ones identified here.

The present study has had a focus on the analysis of team performance but an important aspect of facilitation is also to encourage, strengthen and support participants emotionally and motivationally. Such aspects have not been in focus in this study. Also, the focus of this study may appear to be on problems and exclude positive aspects. However, many good ideas were included but these were typically good ideas about alternative behaviours and hence related to the alternative strategies/solutions phase. Moreover, although facilitators have a strong influence on the discussion, the facilitator’s interventions should not be viewed as strictly determining what happens next. In many cases participants debrief each other and contribute to advancing the debriefings without any facilitator interventions. The participants were students and it is possible that facilitators debrief in other ways in comparison with more experienced participants. When asked about this, the facilitators recognised only one such aspect; the need for authenticating was considered lower with more experienced participants.

CONCLUSIONS

This study characterised the facilitator behaviours taking place just before participants manage to move to a more advanced level of analysis during video-assisted postsimulation debriefing. A number of such behaviours were identified and at which phases they occurred. This study contributes to the understanding of how specific facilitator interactions may contribute to the participants’ analyses. More studies are needed to further clarify productive and less productive facilitation in different kinds of debriefing approaches as well as quantitative studies exploring such interventions in different contexts.

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