Reliability, validity and invariance of the Simplified Medication Adherence Questionnaire (SMAQ) among HIV-positive women in Ethiopia: a quasi-experimental study

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Abstract

Background: Adherence to antiretroviral therapy is critical to the achievement of the third target of the UNAIDS Fast-Track Initiative goals of 2020-2030. Reliable, valid and accurate measurement of adherence are important for correct assessment of adherence and in predicting the efficacy of ART. The Simplified Medication Adherence Questionnaire is a six-item scale which assesses the perception of persons living with HIV about their adherence to ART. Despite recent widespread use, its measurement properties have yet to be carefully documented beyond the original study in Spain. The objective of this paper was to conduct internal consistency reliability, concurrent validity and measurement invariance tests for the SMAQ.

Methods: HIV-positive women who were receiving ART services from 51 service providers in two sub-cities of Addis Ababa, Ethiopia completed the SMAQ in a HIV treatment referral network study between 2011 and 2012. Two cross-sections of 402 and 524 female patients of reproductive age, respectively, from the two sub-cities were randomly selected and interviewed at baseline and follow-up. We used Cronbach’s coefficient alpha (α) to assess internal consistency reliability, Pearson product-moment correlation (r) to assess concurrent validity and multiple-group confirmatory factor analysis to analyze factorial structure and measurement invariance of the SMAQ.

Results: All participants were female with a mean age of 33; median: 34 years; range 18-45 years. Cronbach’s alphas for the six items of the SMAQ were 0.66, 0.68, 0.75 and 0.75 for T1 control, T1 intervention, T2 control, and T2 intervention groups, respectively. Pearson correlation coefficients were 0.78, 0.49, 0.52, 0.48, 0.76 and 0.80 for items 1 to 6, respectively, between T1 compared to T2. We found invariance for factor loadings, observed item intercepts and factor variances, also known as strong measurement invariance, when we compared latent adherence levels between and across patient-groups.

Conclusions: Our results show that the six-item SMAQ scale has adequate reliability and validity indices for this sample, in addition to being invariant across comparison groups. The findings of this study strengthen the evidence in support of the increasing use of SMAQ by interventionists and researchers to examine, pool and compare adherence scores across groups and time periods.
Background
According to the United Nations AIDS Program (2019), by the end of 2018 nearly 38 million people were living with HIV/AIDS, of whom 23 million were on antiretroviral therapy (ART) [1]. In 2018, 63 percent of the nearly 700 thousand adults living with HIV in Ethiopia were women, and new infections among young women aged 15-24 years were more than double those of young men, 5800 compared to 2000 [2]. HIV treatment using ART can improve functionality and decrease mortality but lapses in adherence may render treatment permanently ineffective, for example, due to drug resistance [2]. The WHO has defined adherence as “the extent to which a person’s behavior - taking medication, following a diet, and executing lifestyle changes, corresponds with agreed recommendations from a healthcare provider” [3]. Non-adherent patients have higher mortality rates than adherent ones with similar CD4+ counts and adherence is the critical determinant of survival among persons living with HIV [4, 5, 6]. Non-adherence is also associated with poor health outcomes, increased healthcare costs and poor patient safety, due to increased risk of dependence, relapses, toxicity, to mention a few [3]. Adherence is reported to be a major challenge in healthcare, estimated at 50 percent in high-income countries and even lower in some low and medium income countries [3]. Adherence is also critical to the achievement of the third target of the UNAIDS Fast-Track Initiative goals of 2020-2030, in which 90-95 percent of people with HIV are diagnosed with it, 90-95 percent of the diagnosed receive ART, and 90-95 percent of those on ART achieve viral suppression [7, 8, 9]. In Ethiopia, treatment adherence and retention were estimated to be on average 51-85 percent and 70 percent among those who had been initiated on ART, respectively [10]. In addition, a meta-analysis of 27 studies conducted in 12 sub-Saharan Africa countries (not including Ethiopia) found average adherence rates of 77 percent among study participants who were on ART [11]. Further, in the same meta-analysis, the authors reported average adherence of 55 percent among patients who participated in 24 studies in the United States and Canada [11]. Studies comparing adherence rates by sex of participants in Ethiopia are scant, but Molla et al. (2018) found that women had 1.22 higher odds of adherence to ART than men. But the difference was insignificant [13]. Accurate measurement of adherence is important for correct assessment of health outcomes and in
predicting the efficacy of ART [3]. Non-adherence compromises treatment efficacy, and without accurate treatment efficacy data, adherence rates necessary for planning and project evaluation cannot be achieved [3]. Further, accurate measurement of adherence is required for effective and efficient treatment planning, and for ensuring that changes in health outcomes can be attributed to recommended regimens. In addition, decisions to change recommendations, medications, and communication style in order to promote patient participation depend on valid and reliable measurement of the adherence construct [3].

Medication adherence has been measured using several methods, including: direct measures, measures involving secondary database analysis, measures involving electronic medication packaging (EMP) devices, pill count and measures involving clinician assessments and self-report [12]. However, there is no “gold standard” for measurement of adherence, and each method has advantages and disadvantages [12, 13]. For example, the WHO reported that there are challenges in measurement of the adherence construct even when more objective methods are used [3]. The report cited challenges including:

- counting inaccuracies using the “remaining dosage units” method;
- the inability to capture important information such as timing of dosage and pattern of missed dosage;
- the high cost of medication event monitoring systems (MEMS);
- the inability to tell whether patients actually use their medicine when they are removed from the bottle;
- difficulties faced when an individual acquires medication at multiple pharmacies; and
- inaccurate and incomplete records using the prescription refills method [3].

We have added this text to the background section to contextualize it better.: Based on literature search results, the use of standardized scales to measure medication adherence among people on ART is not widespread. A systematic review of 15 ART adherence studies in Ethiopia reported that 60 percent of the studies used self-reports, other methods included: caregiver reports, unannounced pill counts, pharmacy refill record, medication event monitoring systems, viral load measurement, CD4 count and record review. Biressaw et al. (2013) found a major difference between adherence levels estimated by caregivers reports and unannounced home-based pill counts. They found adherence estimated from unannounced pill count was unacceptably low, but comparable to that of Medication Event Monitoring System reported by other studies [16]. Amberbir et al. (2008) and Markos, Worku &
Davey (2008) also reported using self-reports to adherence to ART among HIV positive individuals in Ethiopia. Both studies reported that self-reports overstate adherence levels due to social desirability bias, in addition to being susceptible to recall bias [17, 18]. Self-reports are reported to overstate adherence compared to unannounced pill counts. Self-reports are prone to recall bias and social desirability bias, which leads to overestimation of adherence. The authors reported that despite their limitations, self-reports and pill count are widely used in Ethiopia because they are cheaper and easy to implement [19].

Self-reports include measures such as patient-kept diaries, patient interviews and questionnaires and scales; they tend to overestimate adherence behavior compared with other methods [13]. Despite their limitations, self-reports can significantly predict clinical outcomes and produce actionable information for patients and providers [13]. They are also cheaper, noninvasive and easier to administer compared with other methods [13]. Some examples of self-report questionnaires and scales for general use include: Adherence Estimator, Adherence to Refills and Medication Scale (ARMS), Brief Medication Questionnaire (BMQ), Medical Outcomes Study (MOS), Medication Adherence Scale (MAS), Medication Management Instrument for Deficiencies in the Elderly (MedMaIDE), Medical Adherence Measure, Morisky Adherence Questionnaire 4 item (MAQ) and the Morisky Adherence Questionnaire 8 item (MAQ) [12, 13, 14]. In addition, there are self-report questionnaires and scales specific to measurement of adherence to ART, for example: AIDS Clinical Trials Group (ACTG) Adherence Questionnaire, Community Programs for Clinical Research on AIDS (CPCRA), Antiretroviral Medication Self-Report, Self-Rating Scale Item (SRSI), Self-Reported Adherence (SERAD) Questionnaire, Self-Reported Questionnaire Assessing Adherence to Antiretroviral Medication, Simplified Medication Adherence Questionnaire (SMAQ), Visual Analog Scale (VAS), among others [12, 13, 14].

The SMAQ is one of the self-report questionnaires which is increasingly used globally to assess adherence to ART and non-HIV-related medications [15]. It was developed and validated among a sample of predominantly male (72%) HIV-positive individuals in Spain [15], with 72 percent sensitivity, 91 percent specificity, and a likelihood ratio of 7.9 in identifying nonadherent patients as
compared to medication event monitoring systems, the authors concluded that the SMAQ was reliable and valid for assessment of adherence among HIV-infected patients in most settings [15]. It has been used to assess adherence to ART in at least 12 countries, including South Africa and Kenya, in at least 25 studies and interventions between 2002-2018 [16, 17, 18, 19, 20, 21, 22, 23, 24, 25]. It has also been used to assess adherence to non-HIV medication in at least eight countries and 12 studies [26, 27, 28, 29, 30, 31, 32, 33, 34, 35].

According to the WHO, standardized multi-item scales such as SMAQ that assess specific behaviors relating to medication recommendations may be better predictors of adherence than simple yes/no responses [3]. The underlying logic is that each indicator when used on its own may be insufficient to capture the construct, but when these indicators are combined, they represent a valid composite measure of the underlying construct of interest [36]. While standardized scales have potential advantages in understanding perceptions about adherence, literature assessing psychometric properties including reliability, validity and measurement invariance (MI) of different scales in diverse settings is sparse. In addition, standardized scales are often used with populations that may be quite different from the one in which the scales were originally validated [36]. Also, there is a natural desire to make group comparisons and conclusions about effects of interventions on the mean scale scores of expected patient outcomes [37]. However, such comparisons are justified only to the extent that these comparisons approximate differences of means on the theoretical true score of the relevant constructs, and when the means are generated from data collected using questionnaires and scales exhibiting acceptable levels of reliability and validity [13, 37]. Further, even when standardized scales are used, inferences and conclusions about observed mean differences are dependent on the between-group equivalence of the underlying measurement model [37]. However, an investigator’s ability to assess true differences between groups or across time can be hindered by measurement errors, which can limit the ability to make accurate meaningful comparisons when determining program impacts [36].

Measurement invariance is a statistical criterion that is used to assess the extent to which a standardized scale measures the same construct in each group and at each time point studied [37]. It
provides a way to assess whether respondents interpreted measures conceptually similarly across groups and time and whether participation in an intervention altered the conceptual frame of reference against which a group responded to an indicator over time [36]. MI requires that any two persons with the same level of the latent construct should obtain the same expected score on the indicators used to measure the underlying construct, regardless of the group they are in [38]. Assessment of MI helps in determining if a scale functions equivalently for all groups defined by factors such as gender, age, education, mother tongue, socioeconomic status, regional background, among others [38]. Demonstrating that a scale has MI allows an investigator to make valid comparison of construct scores such as means that yield meaningful interpretations and substantive inferences [39].

To improve clinical research on ART adherence in this population, properties of measuring instruments, such as reliability, validity, and MI must be analyzed. While the importance of reliability and validity for assessing a self-report instrument is well-understood, measurement invariance is increasingly being evaluated for valid comparisons of levels of latent outcomes to be made. Despite increasing frequency of use of the SMAQ in assessment of adherence to antiretroviral therapy, to date no study has assessed its MI and other psychometric properties such as reliability and validity in sub-Saharan Africa. Using data from a pre-post (pre refers to before intervention assessment or T1, whereas post refers to post intervention assessment or T2) quasi-experimental evaluation study of a HIV/AIDS intervention among HIV-positive women of reproductive age in Ethiopia, hereinafter referred to as the parent study [40, 41], this paper assesses the internal consistency reliability, concurrent and factorial validity, and MI for the SMAQ in this setting. These analyses build upon the parent study and add to the sparse literature about the validity of SMAQ as a HIV/AIDS treatment adherence measure.

Methods

Parent Study

Data for this paper were obtained from a parent study conducted by MEASURE Evaluation[1] that was funded by the United States Agency for International Development between March 2011 and December 2012. MEASURE Evaluation conducts studies globally on innovative public health
interventions that have high potential positive impact on target (sub)populations and present high potential returns on investment. The parent study sought to assess the effect of a quasi-experimental organizational network intervention on HIV testing, ART initiation and adherence. The intervention was an organizational referral network improvement initiative to increase access to and quality of health services. The study followed the treatment and referral experiences of 926 HIV-positive women 18–49 years of age who were receiving ART and other healthcare services from provider agencies in an intervention or control site. Additional details of the parent study are reported in Appendix 1, and its findings have been published elsewhere [40, 41].

Client Interviews
The MEASURE Evaluation team enrolled clients, using random selection, from one large home-based care service provider that operated in both sites [40, 41]. Following a quasi-experimental design strategy, HIV-positive women were interviewed in two cross-sections, one (T1) prior to and the other (T2) 18 months following the network intervention. At T1, 402 clients were interviewed: 210 at the intervention site and 192 from the control site; at T2, 524 clients were interviewed: 268 from the intervention site and 256 from the control site. At both times clients were asked about personal and household-level demographic characteristics, HIV treatment status and medication adherence. Although some clients may have been interviewed at both T1 and T2, participation in T2 interviews was not conditional on T1 participation. There was no way to know whether a participant in T2 also participated in T1, because at both times the research team randomly sampled clients from agency caseload lists. Consequently, we analyzed the samples as if they were independent of each other. For demographic characteristics of participants: we used one-way ANOVA with Bonferroni correction to compare mean age; chi square test to compare response categories of levels of education, marital status and SMAQ items; and Kruskal Wallis test to compare mean income per week across groups. In addition, we used pairwise correlation to assess correlations between demographic variables and items of the SMAQ. The parent study was approved by the UNC – Chapel Hill IRB, the Ethiopian government, and the FHI 360 Ethiopian ethics review committees [40, 41].

Measurement of ART adherence
To assess adherence, participants who reported using ART were asked six questions from a standardized scale known as the Simplified Medication Adherence Questionnaire (SMAQ) [15], as follows: “1. Do you ever forget to take your medicine?”, “2. Are you careless at times about taking your medicine? “3. Sometimes if you feel worse, do you stop taking your medicines? “4. Thinking about the last week, how often have you not taken your medicine?” “5. Did you not take any of your medicine over the past weekend? And, “6. Over the past three months, how many days have you not taken any medicine at all? Adherence was scored as a “no” response to questions 1, 2, 3 and 5, zero response for question 4 and any response less than 2 for question 6. The six questions/items constituted the unidimensional model for measurement of adherence. The six questions assess three components of adherence to ART: intentional (question three), unintentional (questions one and two) and frequency or quantity (questions 4, 5 and 6). Intentional non-adherence refers to when a patient deliberately decides not to take their medication because of various reasons, for example feeling worse. Whereas unintentional non-adherence occurs when a patient wishes to adhere to medication but is prevented by some reason, for example, forgetfulness [42]. Questions four to six assess various aspects of frequency of non-adherence. An experienced Amharic-English speaker translated the questionnaire and then it was back-translated by an Ethiopian survey coordinator.

Reliability and validity
Prior to conducting MI tests, we assessed SMAQ’s reliability and validity in a Ethiopian context. Reliability denotes the ability of a scale to produce consistent results when completed under similar conditions, whereas validity denotes the extent to which a scale measures the construct it is supposed to. Reliability is analogous to the scale’s precision, whereas validity is analogous to its accuracy.

Internal consistency reliability and concurrent and factorial validity
We conducted an internal consistency reliability test of the SAMQ data from Ethiopia using Cronbach’s alpha (α). This index measures internal consistency reliability of both items and the construct being measured [43, 44]; in this case, how closely-related the six items of the SMAQ were as a set in measuring the adherence construct. Values of α in the range of 0.6 to 0.8 (0.6 ≤ α ≤ 0.8) are
considered adequate, while 0.8 or higher is considered a high value of internal consistency [45]. We used Pearson product-moment correlation coefficients (r) to assess concurrent validity of the domain scores at T1 and T2. In this context, concurrent validity represents the extent to which item scores at T1 related to those of the same scale administered to women at T2 [46]. Criteria for concurrent validity were based on directionality of expected relationships of the six items between the two times and strength of the observed correlation coefficient. The Pearson product-moment correlation coefficient has a range of -1 to +1 between two sets of scores, and coefficients close to 1 in absolute value indicate high concurrent validity [46]. Based on thresholds from previous studies, correlation coefficients less than or equal to 0.25 suggest a weak relationship, those between 0.25 and 0.50, a moderate relationship, those between 0.50 and 0.75, strong relationship; and values greater than 0.75, very strong correlation [47, 48]. Finally, we used model fit indices and statistical significance of factor loadings to assess factorial validity. Factorial validity is one of the different, but inter-related elements of construct validity. A strong correlation between a set of indicators and a latent construct indicates factorial validity [49].

Measurement model
We measured adherence with six factor indicators corresponding to the six SMAQ items. In Figures 1-4, the latent factor of adherence is represented by the circular shape. The arrows represent factor loadings, which are direct effects of each adherence indicator on the latent construct of adherence. We report summary statistics, factor loadings and model fit indices for specific models including chi-square values, root mean square error of approximation (RMSEA) values, comparative fit indices (CFI)/Tucker-Lewis indices and the final estimated measurement models. A significant chi-square test indicates a poor model fit, but this may also be due to moderate discrepancies in normality of data and large (n>200) sample size [50]. Therefore, we used other model fit indices to supplement the chi-square test in determining the model that best fit the data. The RMSEA is a measure of the estimated discrepancy between the population and model implied covariance matrices per degree of freedom [37]. Values of RMSEA less than 0.05 indicate close model fit whereas values up to 0.08 reflect adequate fit. The CFI varies from 0 to 1, representing extremely weak to perfect fit,
respectively, and a value of 0.95 is considered to represent adequate fit [37].

**Measurement invariance test**

Measurement invariance testing is based on the overall assumption that comparison between groups is important, and the presence or absence of differences between groups has some meaningful implications [39]. We tested for the levels of invariance based upon the following assumptions: (1) the measure of interest, that is adherence to ART, was perceptually based; adherence comprises multiple manifest indicators (i.e., multiple items of the SMAQ); (2) the six items of SMAQ are combined additively to operationalize the underlying construct; (3) evidence exists of the SMAQ’s psychometric soundness beyond the preliminary stages of scale development, i.e. evidence exists of the SMAQ’s psychometric soundness in a Spanish sample, but it has yet to be demonstrated for Ethiopia or other sub-Saharan Africa location; (4) the four study groups are independent of each other: T1 control, T1 intervention, T2 control and T2 intervention; and (5) the common factor model for describing relationships among items of the SMAQ holds across groups [39].

Following the independent groups assumption, we applied a multiple-group confirmatory factor analysis (CFA) to test three levels of invariance: configural, weak factorial and strong factorial [39]. Multiple-group CFA allowed us to simultaneously test four group-specific latent adherence factor models using robust weighted least squares (WLSMV). We fit models for each group/time and evaluated sample differences with a chi-square test. We used WLSMV to conduct chi-square difference testing because adherence indicators were categorical and non-normally distributed. A significant chi-square difference value indicated that constraining the parameters of the nested model significantly worsened the fit of the model, which indicated measurement non-invariance, thereby sustaining the unconstrained or less constrained model. A non-significant chi-square difference indicated that constraining the parameters of the nested model did not significantly worsen the fit of the model, which indicated MI of the parameters constrained to be equal in the nested model. We did not estimate the next restrictive model if the result was significant, as it suggested that the next level of parameter restriction would have significant differences with the previous model. We used MPlus 7 [51] and Stata 12 [52] to conduct data analysis. Additional details of steps for invariance testing can
be found in Appendix 2.

[1]https://www.measureevaluation.org/

Results
Study participants were 926 female clients who were 18-45 years of age and receiving HIV care from a home-based care organization in each sub-city. Their overall mean age was 33 (across the four groups 33.06-33.74; median: 34 years). Participants in the two intervention groups had significantly higher mean age (34 years) compared to Control group (32 years) at T1 ($F(3, 922) = 4.67, p<0.01$).

Significantly higher proportion of participants were married across all groups $X^2(df=3) = 8.70, p=0.03$), with only one third of the participants living with their sexual partner across groups. There were significant differences in categories of levels of education across study groups ($X^2(df=21) = 60.66, p<0.01$), with nearly one quarter of all participants had no formal education, and only 15 percent had post-primary education. There were significant differences in mean weekly income across groups ($X^2(df=3) = 143.24, p<0.01$), and of the 80 percent who reported their weekly income, the average income was 2011 US$4 (range US$ 0 - 72) (Table 1).

Correlations between adherence measurement items of the SMAQ
Participant responses to the six SMAQ items/questions on adherence are presented in Table 2. Chi square tests showed no differences in response categories of the SMAQ across the four groups ($X^2(df=3) = 0.58-6.64, p>0.05$). Initial assessment of correlations between the six items ranged from -0.09 to 0.95 (see Table 3). Question five “did you not take any of your medicine over the last weekend” was not significantly correlated (correlation coefficient = -0.09), with question three “sometimes if you feel worse, do you stop taking your medicines?” in the T1 intervention group. This was not expected, as all indicators of a construct are expected to have significant positive correlations with each other. Due to the negative correlation coefficient and its nonsignificance, we considered removing question five from our analysis, but sensitivity analysis with and without this item showed no differences in results for measurement invariance tests. Therefore, we included it in order to present results for the full SMAQ scale as it was originally designed and validated in Spain.
Further, the demographic variables had substantially weak correlation with SMAQ items, and thus we did not include demographic variables in the multiple group confirmatory factor analysis (0.05<r<0.105).

**Internal consistency reliability and concurrent and factorial validity**

The six items of the SMAQ exhibited adequate or moderately strong internal consistency reliability in measuring the latent construct of adherence at T1, T2 and in the full sample. The Cronbach’s α was 0.66 and 0.68 at T1 for control and intervention groups respectively; 0.75 at T2 for both groups and 0.72 for the full sample. Concurrent validity of the six-item SMAQ exhibited moderate to excellent positive correlations for the full sample between T1 and T2. Pearson correlation coefficients of items 1, 5 and 6 were excellent for T1 compared to T2 (item 1=0.78, item 5=0.76, item 6=0.80); similarly, correlations of item 3 was good (item 3=0.52) and that for item 2 and item 4 were moderate (item 2=0.49, item 4=0.48) (see Table 4). The good model fit indices and significant factor loadings across the four groups indicate factorial validity, and that the scale performed equally well when T1 control, T1 intervention, T2 control and T2 intervention were all compared using multiple group confirmatory factor analysis. (See Tables 5 and 6).

**Measurement model**

Three multiple group CFA’s were conducted: for configural model (χ²=76.28 (degrees of freedom=36); p<0.01, CFI=0.99; TLI=0.98; RMSEA=0.07), weak factorial model (χ²=106.85 (degrees of freedom=51); p<0.01, CFI=0.99; TLI=0.98; RMSEA=0.07) and strong factorial model (χ²=122.82 (degrees of freedom=66); p<0.01, CFI=0.99; TLI=0.99; RMSEA=0.06), separately. All the models exhibited good fit based on CFI (CFI =0.99). Model fit based on RMSEA was best in the strong factorial model (RMSEA=0.06 (90% CI 0.04-0.08)), suggesting that constraining factor loadings, intercepts and factor variances improved model fit in the strong factorial model, compared with the configural and weak factorial models (see Table 5). Factor loadings in the final strong factorial model were all statistically significant (p<0.05) and ranged from 0.26 to 1.18 (see Table 6). Positive and significant factor loadings suggest that the construct of adherence significantly and positively influenced all the measures generated by the six items of SMAQ.
Measurement invariance test
A chi-square difference test between configural and weak factorial models was significant (chi-square difference = 34.79 (DF=15) p<0.01). Other model fit indices were comparable between the two models, which suggested that the weak factorial model had a better fit for the data. The next chi-square test between the weak and strong factorial models found no significant difference (chi-square difference = 13.36 (DF=15) p=0.57). In addition, the RMSEA statistic reduced by 0.01 to 0.06 and other model fit indices were comparable with those of the weak factorial invariance model. Therefore, the strong factorial invariance model had the best fit for the data and was accepted as the final model (see Tables 5 and 6). The final estimated measurement models for the strong factorial invariance are presented in Figs 1-4. Factor loading estimates for the models are shown in Table 6.

Discussion
The purpose of this study was to assess the psychometric and related measurement properties of the six-item SMAQ using data from a quasi-experimental parent study of HIV-positive women of reproductive age in Ethiopia. Our findings indicate that the six-item SMAQ demonstrated adequate internal consistency reliability, suggesting that the six items in the questionnaire reflect the same latent construct of adherence to antiretroviral therapy. In addition, concurrent validity of the scale was moderate to excellent based on correlations between the item responses at T1 compared with T2. Further, model fit indices and significant factor loadings demonstrated factorial validity, which suggests construct validity as well.

In addition, we documented strong factorial invariance across the four independent study groups, suggesting that the SMAQ questions/items were being interpreted in an equivalent manner across groups. This finding suggests that the SMAQ performs equally well across samples and operationalizes group-specific differences in an invariant manner across groups. An important implication of this finding is that adherence scores obtained using SMAQ from the four study groups can be compared pre- and post-intervention for policy or intervention purposes [37]. Taken together, these findings affirm that the six-item SMAQ is a valid measure of adherence to ART in this sample of women with HIV/AIDS in Ethiopia. Our findings add confidence for researchers and interventionists
interested in using the SMAQ to assess adherence to ART in this setting.

We found one negative but nonsignificant correlation between the six indicators of the SMAQ suggesting that a five-item version might be more efficient [53]. However, our findings showed no differences in measurement invariance tests when question five was included or excluded. It is possible that the lack of correlation was caused by a data entry error, but we were unable to verify this possibility. More likely, it was due to the magnitude of question five’s correlation with question three being too small to impact the results. In addition, question five was strongly and positively correlated with other items of the scale, and all its factor loadings were positive and significant. Thus, we maintained the integrity of the original six-item SMAQ scale in our final analyses.

In comparison with the validation study in Spain, the mean age of patients in the present study was slightly lower (33 years versus 36 years). All participants in our study were female compared to 28 percent in the Spanish study. The Cronbach’s α in the present study was lower for T1, but comparable for T2 and for the full study sample (α=0.75) [15]. Estimating concurrent and factorial validity was a quick way to validate our SMAQ data, although predictive validity would be a more powerful criterion for future studies predicting SMAQ scores in relation to ART interventions where the counts of HIV ribonucleic acid (RNA) or CD4 T lymphocytes (CD4 cells), for example, are available.

The SMAQ has several advantages for field studies—it is short and easier to administer, which makes identification of non-adherent patients and intervening quicker at crowded health service facilities associated with severe personnel shortages, long waiting times [54]. Conversely, collection of HIV RNA or CD4 counts requires much more time, financial and workforce recourses which were limited in the study setting. Other studies have used data from two cross sections to assess validity of standardized scales [46]. Our study complemented the need for assessment of validity by testing for measurement invariance of the SMAQ and found it to be invariant across groups and time, suggesting that the six items are relevant for measurement of the latent factor of adherence to ART.

The Morisky Scale and variations of the adult AIDS Clinical Trials Group (ACTG) are also used to assess self-reports of adherence [13, 54, 55]. The SMAQ is a modified version of the original four-item Morisky Scale, which has since been modified and validated as an eight-item scale [54, 56]. However,
the Morisky Scale has been validated and is more commonly used in hypertension patients and general purpose adherence studies and interventions, compared to the SMAQ and ACTG which have been validated with persons living with HIV [55, 57]. Compared to the SMAQ, the ACTG scale is longer and would require much more time and higher costs to administer and collate participant responses into actionable insights that can guide quick adherence improvement interventions. Self-reports of adherence seek various types of information from respondents, including: medication-taking behavior, and barriers and beliefs associated with adherence [57]. The choice of a self-report measure depends on the goal of the study or intervention. The SMAQ seeks information about medication-taking behavior and barriers to adherence [57]. The parent study assessed level of adherence with the goal of improving adherence by reducing ART access barriers by increasing the number of access points or service providers. In this way, the SMAQ was more appropriate to the goals of the parent study than the ACTG. Researchers and interventionists with similar needs and goals may consider using the SMAQ in their studies.

Initiation, retention and adherence to ART positively influence quality of life among persons living with HIV [58, 59, 60, 61, 62], are required for viral suppression, and are critical to the achievement of the UNAIDS 90-90-90 goals. However, initiation and retention on ART are only meaningful to the extent to which users of ART can adhere to the regimen [63]. Also, recent studies have shown that adherence to ART can be a successful HIV prevention strategy [7, 64, 65]. Improving adherence may be challenging or impossible without our ability to measure it reliably, validly and consistently across groups of individuals, which makes efforts to improve measurement methods and tools an important contribution for public health. A study of strategies to improve adherence to ART in low-resource settings reported that adherence measurement was required for optimal targeting and tailoring of interventions [66]. The present study moves the field forward by presenting reliability, validity and invariance test statistics for SMAQ from a sub-Saharan Africa setting where such HIV research is scant, yet the burden of disease and potential need for such measurement is greatest as sub-Saharan Africa bears the greatest HIV/AIDS burden. According to the WHO, nearly one in every 25 adults is living with HIV in Africa, accounting for nearly two-thirds of the global total [67]. Providing evidentiary
measurement properties for SMAQ increases practitioners’ confidence in using SMAQ, which increases its adoption in assessment of adherence.

Although we found strong invariance for the six items of the SMAQ, it is worthwhile to note that adherence is a dynamic behavior which may change over time, even without intervention. Thus, invariance can be expected for SMAQ items that assess intentional non-adherence across time, such as question three of the SMAQ: “Sometimes if you feel worse, do you stop taking your medicines?”, because such items are embedded in a patient’s beliefs and self-construct and therefore, are more robust to behavior change. Conversely, the SMAQ also has a component of unintentional non-adherence due to forgetfulness, assessed by questions one and two: “Do you forget to take your medicine?” and “Are you careless at times about taking your medicine”? The Unintentional non-adherence component may be prone to random variability, which may not be captured by invariance testing of the six items of the SMAQ together, but by testing invariance for each item using longitudinal data. Thus, attribution of changes in adherence to specific components of the SMAQ as intentional or unintentional was not possible in the present study, because of the independent cross-section design. This is an important area for future studies in which researchers may be able to identify modifiable items of non-adherence measured by the SMAQ so as to appropriately intervene to improve adherence, as was demonstrated by Mora et al. (2011) in their assessment of non-adherence among asthma patients using the Medication Adherence Report Scale (MARS-A10) [68].

Several limitations of our study should be noted. Although we treated the samples as independent, they may not be truly independent because some participants may have participated at both T1 and T2 interviews. This limitation may manifest in repeated questions where social desirability bias is also a limitation. However, the cross-sectional design of the parent study mitigated this tendency. In addition, statistical tests showed group differences in demographic characteristics. The design limited the use of multilevel multigroup CFA, as suggested by Kim and colleagues [69]. Ethical considerations and operational logistics were also considered in the design. The taxonomy for describing adherence to medications now suggests that results from baseline and follow-up can only be compared if the patient was already on treatment at least 3 months prior to baseline [70]. However, the taxonomy
was not in place at the time of data collection. Challenges associated with diagnosis and treatment initiation records in the study settings would also limit application of the taxonomy. Further, the lack of data on clinical methods of measuring adherence—such as a HIV RNA test (a test that checks for RNA genetic material from the virus in a sample of blood) [71, 72] or CD4 counts (the number of CD4 T lymphocytes – a type of white blood cells -- in a sample of blood, which is used to monitor an individual's response to ART) [73]—limited our ability to assess the predictive validity of the SMAQ with these data. This is an important agenda for future research.

Conclusions
This is the first study to assess reliability, validity and measurement invariance of SMAQ in the sub-Saharan Africa region, using pre- and post-intervention data from two treatment referral networks. The findings show that the SMAQ is sufficiently reliable and valid to be used for HIV-positive Ethiopian women of reproductive age who are on ART. In addition, the findings demonstrate that comparisons across groups are possible in the study sample and in future, and unlikely to be affected by differences in response styles, interpretations of indicators, time lapse and socio-economic factors [74]. Further research is warranted to determine whether the measurement properties of SMAQ reported here would hold among participants from other countries for males and females, of different age groups, from various regions, and various socio-economic statuses.

Declarations

Ethics approval and consent to participate
The design, conduct and result reporting of this study adhered to the ethical compliance requirements of the ethics committees at UNC Chapel, FHI360 and the Ethiopian government and all research work (interviews) were performed only after voluntary consent from the participants. Only human subjects participated in this study. The study was approved by three ethics boards: The University of North Carolina - Chapel Hill, NC, USA, FHI 360 and the Addis Ababa Health Bureau, the Ethiopian government ethics agency. This study was not a trial and did not need to be registered as such. Informed consent was obtained from all individual participants included in the study. All procedures performed in this study were in accordance with the ethical standards of the institutional and/or
national research committee and with the 1964 Helsinki declaration and its later amendments.

Consent for publication

Not applicable.

Availability of data and material

The data are available at the Carolina Population Center at the University of North Carolina at Chapel Hill, North Carolina, USA, and can be obtained upon request.

Competing interests

The authors declare no conflict of interest.

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Authors’ contributions

CBA conceptualized the study, analyzed data, wrote the manuscript.

JCT and HWR designed the parent study and collected the data.

BJF supervised and provided feedback for conceptualization, analysis and writing of manuscript.

CZ reviewed methodology and analysis.

JPM reviewed methodology and technical writing.

KHL and KW reviewed content and edited the manuscript.

All authors reviewed and edited the manuscript.

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Abbreviations
ACTG AIDS Clinical Trials Group
AIDS Acquired immunodeficiency syndrome
ARMS Adherence to Refills and Medication Scale
ART Antiretroviral therapy
BMQ Brief Medication Questionnaire
CD4 CD4 T lymphocytes
CFA Confirmatory factor analysis
CFI Comparative fit indices
CPCRA Community Programs for Clinical Research on AIDS
DF Degrees of freedom
EMP Electronic medication packaging
HIV Human immunodeficiency virus
MAM Medical Adherence Measure
MAQ Morisky Adherence Questionnaire
MARS Medication Adherence Report Scale
MAS Medication Adherence Scale
MEASURE Evaluation (Monitoring and Evaluation to ASsess and Use REsults) Evaluation
MedMaIDE Medication Management Instrument for Deficiencies in the Elderly
MEMS Medication event monitoring systems
MI Measurement invariance
MOS Medical Outcomes Study
RMSEA Root mean square error of approximation

RNA Ribonucleic acid

S.E. Standard error

SERAD Self-Reported Adherence

SMAQ Simplified medication adherence questionnaire

SRSI Self-Rating Scale Item

TLI Tucker-Lewis indices

UNAIDS United Nations AIDS Program

UNC University of North Carolina

VAS Visual Analog Scale

WHO World Health Organization

WLSMV Robust weighted least squares

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Tables

Table 1. Demographic characteristics of 926 HIV-positive women who participated in reliability and validity testing of the Simplified Medication Adherence Questionnaire (SMAQ) by study group
### Table 2. Patient responses to the six-item Simplified Medication Adherence Questionnaire (SMAQ) at baseline (T1) and follow-up (T2) in the intervention and control groups of the Ethiopian HIV/AIDS parent study

| Variable                           | Control T1 | Intervention T1 | Control T2 | Intervention T2 | p-value |
|-----------------------------------|------------|-----------------|------------|-----------------|---------|
| Age, mean (SD)                    | 32(6)      | 34(6)           | 34(5)      | 34(6)           | <0.01   |
| Education                         |            |                 |            |                 |         |
| 1) No school                      | 33%        | 16%             | 29%        | 17%             | <0.01   |
| 2) Adult education                | 5%         | 5%              | 7%         | 8%              |         |
| 3) Primary (1-4 grades)           | 17%        | 20%             | 22%        | 17%             |         |
| 4) Primary (5-8 grades)           | 34%        | 39%             | 29%        | 40%             |         |
| 5) Secondary (9-10 grades)        | 6%         | 13%             | 11%        | 17%             |         |
| 6) Preparatory (11-12 grades)     | 5%         | 6%              | 1%         | 2%              |         |
| 7) Technical/vocational certificate | 0          | 0.5%            | 0          | 0               |         |
| 8) University degree/associate degree | 0.5%    | 0.5%            | 0          | 0               |         |
| Marital status                    |            |                 |            |                 | 0.03    |
| 1) Married                        | 40%        | 26%             | 33%        | 31%             |         |
| 2) Not Married                    | 60%        | 74%             | 67%        | 69%             | <0.01   |
| Income per week in US$, mean (SD) | 3(7)       | 4(7)            | 5(6)       | 6(6)            |         |
| N                                 | 192        | 210             | 256        | 268             |         |

[1] Exchange rate: 2011 US$ 1 = Ethiopian Birr 17.2836 (Source: National Bank of Ethiopia)
| Question                                                                 | T1 Responses to adherence questions (%)                  | T2 Responses to adherence questions (%)                  |
|-------------------------------------------------------------------------|----------------------------------------------------------|----------------------------------------------------------|
| Do you ever forget to take your medicine?                               | Control: n=192                                          | Intervention: n=209                                       | Control: n=256 |
|                                                                         | Yes 60 (31)                                              | Yes 76 (36)                                              | Yes 66 (36)   |
|                                                                         | No 132 (69)                                              | No 133 (64)                                              | No 190        |
| Are you careless at times about taking your medicine?                   | Yes 23 (12)                                              | Yes 31 (15)                                              | Yes 21 (12)   |
|                                                                         | No 169 (88)                                              | No 178 (85)                                              | No 233        |
| Sometimes if you feel worse, do you stop taking your medicines?         | Yes 14 (7)                                               | Yes 19 (9)                                               | Yes 21 (7)    |
|                                                                         | No 178 (93)                                              | No 190 (91)                                              | No 233        |
| Thinking about the last week. How often have you not taken your medicine? | Never 162 (84)                                           | Never 169 (81)                                           | Never 2      |
|                                                                         | 1-2 times 22 (11)                                        | 1-2 times 33 (16)                                        | 1-2 time      |
|                                                                         | 3-5 times 6 (3)                                          | 3-5 times 7 (3)                                          | 3-5 time      |
|                                                                         | >5 times 2 (1)                                           | >5 times 0 (0)                                           | >5 time       |
| Did you not take any of your medicine over the past weekend?            | Yes 9 (5)                                                | Yes 15 (7)                                               | Yes 13 (5)    |
|                                                                         | No 178 (95)                                              | No 194 (93)                                              | No 235        |
| Over the past 3 months, how many days have you not taken any medicine at all? | ≤ 2 days 183 (95)                                        | ≤ 2 days 189 (90)                                        | ≤ 2 days      |
|                                                                         | > 2 days 9 (5)                                           | > 2 days 20 (10)                                         | > 2 days       |

Table 3. Correlation matrices for participant responses to the six items/questions of the Simplified
Medication Adherence Questionnaire (SMAQ)

3a. Correlation matrices for the responses to the six adherence indicators for T1 control group

|       | Adhere1 | Adhere2 | Adhere3 | Adhere4 | Adhere5 | Adhere6 |
|-------|---------|---------|---------|---------|---------|---------|
| Adhere1 | 1.00    |         |         |         |         |         |
| Adhere2 | 0.20    | 1.00    |         |         |         |         |
| Adhere3 | 0.43    | 0.62    | 1.00    |         |         |         |
| Adhere4 | 0.73    | 0.04    | 0.35    | 1.00    |         |         |
| Adhere5 | 0.55    | 0.20    | 0.35    | 0.75    | 1.00    |         |
| Adhere6 | 0.75    | 0.31    | 0.51    | 0.70    | 0.70    | 1.00    |

3b. Correlation matrices for the responses to the six adherence indicators for T1 intervention group

|       | Adhere1 | Adhere2 | Adhere3 | Adhere4 | Adhere5 | Adhere6 |
|-------|---------|---------|---------|---------|---------|---------|
| Adhere1 | 1.00    |         |         |         |         |         |
| Adhere2 | 0.30    | 1.00    |         |         |         |         |
| Adhere3 | 0.44    | 0.24    | 1.00    |         |         |         |
| Adhere4 | 0.80    | 0.21    | 0.31    | 1.00    |         |         |
| Adhere5 | 0.65    | 0.11    | -0.09   | 0.92    | 1.00    |         |
| Adhere6 | 0.71    | 0.55    | 0.51    | 0.73    | 0.58    | 1.00    |

3c. Correlation matrices for the responses to the six adherence indicators for T2 control group

|       | Adhere1 | Adhere2 | Adhere3 | Adhere4 | Adhere5 | Adhere6 |
|-------|---------|---------|---------|---------|---------|---------|
| Adhere1 |         |         |         |         |         |         |
| Adhere2 |         |         |         |         |         |         |
| Adhere3 |         |         |         |         |         |         |
| Adhere4 |         |         |         |         |         |         |
| Adhere5 |         |         |         |         |         |         |
| Adhere6 |         |         |         |         |         |         |

3d. Correlation matrices for the responses to the six adherence indicators for T2 intervention group

|       | Adhere1 | Adhere2 | Adhere3 | Adhere4 | Adhere5 | Adhere6 |
|-------|---------|---------|---------|---------|---------|---------|
| Adhere1 |         |         |         |         |         |         |
| Adhere2 |         |         |         |         |         |         |
| Adhere3 |         |         |         |         |         |         |
| Adhere4 |         |         |         |         |         |         |
| Adhere5 |         |         |         |         |         |         |
| Adhere6 |         |         |         |         |         |         |

Table 4. Estimates of Cronbach’s Alpha (α), Pearson’s correlation coefficient (r) for Simplified Medication Questionnaire (SMAQ) scores at T1, T2 and for overall sample.
Internal consistency reliability

| Group            | Cronbach's Coefficient α (α) |
|------------------|------------------------------|
| T1 control       | 0.66                         |
| T1 intervention  | 0.68                         |
| T2 control       | 0.75                         |
| T2 intervention  | 0.75                         |
| All groups       | 0.72                         |

Concurrent validity for the full sample

| SMAQ item                                              | Pearson's Correlation (r) |
|--------------------------------------------------------|----------------------------|
| Do you ever forget to take your medicine?              |                            |
| Are you careless at times about taking your medicine?  |                            |
| Sometimes if you feel worse, do you stop taking your medicines? |                |
| Thinking about the last week. How often have you not taken your medicine? |       |
| Did you not take any of your medicine over the past weekend? |                            |
| Over the past 3 months, how many days have you not taken any medicine at all? | 0.80                       |

Table 5. Model fit indices and measurement invariance test for the six-item Simplified Medication Adherence Questionnaire (SMAQ) among female HIV/AIDS clients in Ethiopia

| Model fit indices                                      | Configural invariance model | Weak factorial invariance model |
|--------------------------------------------------------|-----------------------------|---------------------------------|
| Chi-square (degree of freedom)                         | Estimate (p-value)          | Estimate (p-value)              |
| Root mean square error of approximation (RMSEA) (90%   | 76.28 (36)                  | 106.85 (51)                     |
| Confidence interval)                                   | 0.07 (0.05-0.09)            | 0.07 (0.05-0.09)                |
| Comparative Fit Index (CFI)                            | 0.99                        | 0.99                            |
| Tucker Lewis Index (TLI)                               | 0.98                        | 0.98                            |
| Chi-square difference (degrees of freedom)             |                             | 34.79 (15)                      |
Table 6. Multiple-group confirmatory factor analysis and measurement invariance levels of the six-item Simplified Medication Adherence Questionnaire (SMAQ) for control and intervention groups at T1 and T2 among female HIV/AIDS clients in Ethiopia

| Control group at T1                                                                 | Factor Loadings | p-value | E    |
|-----------------------------------------------------------------------------------|-----------------|---------|------|
| Do you ever forget to take your medicine?                                        | 1.00            | -       |      |
| Are you careless at times about taking your medicine?                            | 0.26            | 0.05    |      |
| Sometimes if you feel worse, do you stop taking your medicines?                   | 0.48            | 0.03    |      |
| Thinking about the last week. How often have you not taken your medicine?       | 1.00            | 0.02    |      |
| Did you not take any of your medicine over the past weekend?                     | 0.85            | 0.03    |      |
| Over the past 3 months, how many days have you not taken any medicine at all?   | 1.15            | 0.02    |      |

| Intervention group at T1                                                        | Estimate | p-value | E    |
|---------------------------------------------------------------------------------|----------|---------|------|
| Do you ever forget to take your medicine?                                        | 1.00     | -       |      |
| Are you careless at times about taking your medicine?                            | 0.29     | 0.01    |      |
| Sometimes if you feel worse, do you stop taking your medicines?                  | 0.33     | 0.01    |      |
| Thinking about the last week. How often have you not taken your medicine?       | 2.95     | 0.27    |      |
| Did you not take any of your medicine over the past weekend?                     | 1.34     | 0.00    |      |
| Over the past 3 months, how many days have you not taken any medicine at all?   | 0.92     | 0.00    |      |

| Control group at T2                                                             | Estimate | p-value | E    |
|---------------------------------------------------------------------------------|----------|---------|------|
| Do you ever forget to take your medicine?                                        | 1.00     | -       |      |
| Are you careless at times about taking your medicine?                            | 0.40     | 0.02    |      |
| Sometimes if you feel worse, do you stop taking your medicines?                  | 0.41     | 0.02    |      |
| Thinking about the last week. How often have you not taken your medicine?       | 1.00     | 0.01    |      |
| Did you not take any of your medicine over the past weekend?                     | 0.36     | 0.03    |      |
| Over the past 3 months, how many days have you not taken any medicine at all?   | 1.31     | 0.09    |      |

| Intervention group at T2                                                        | Estimate | p-value | E    |
|---------------------------------------------------------------------------------|----------|---------|------|
| Do you ever forget to take your medicine?                                        | 1.00     | -       |      |
| Are you careless at times about taking your medicine?                            | 0.21     | 0.03    |      |
| Sometimes if you feel worse, do you stop taking your medicines?                  | 0.30     | 0.03    |      |
| Thinking about the last week. How often have you not taken your medicine?       | 0.58     | 0.03    |      |
| Did you not take any of your medicine over the past weekend?                     | 0.26     | 0.05    |      |
| Over the past 3 months, how many days have you not taken any medicine at all?   | 2.64     | 0.64    |      |

Figures
Figure 1

Unidimensional measurement model for adherence with variance (s.e.) of adherence score, factor loadings and measurement errors for T1 control group
Figure 2

Unidimensional measurement model for adherence with mean variance (s.e.) of score, factor loadings and measurement errors for T1 intervention group
Figure 3

Unidimensional measurement model for adherence with variance s.e.) of adherence score, factor loadings and measurement errors for T2 control group.
Unidimensional measurement model for adherence with variance s.e.) of adherence score, factor loadings and measurement errors for T2 intervention group

Supplementary Files
This is a list of supplementary files associated with this preprint. Click to download.
Appendix1-2.docx