Utility of Homologous Recombination Deficiency Biomarkers Across Cancer Types (Dissertation_全文)

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Utility of Homologous Recombination Deficiency Biomarkers Across Cancer Types

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PURPOSE Homologous recombination DNA repair deficiency (HRD) is associated with sensitivity to platinum and poly (ADP-ribose) polymerase inhibitors in certain cancer types, including breast, ovarian, pancreatic, and prostate. In these cancers, BRCA1/2 alterations and genomic scar signatures are useful indicators for assessing HRD. However, alterations in other homologous recombination repair (HRR)-related genes and their clinical significance in other cancer types have not been adequately and systematically investigated.

METHODS We obtained data sets of all solid tumors in The Cancer Genome Atlas and comprehensively analyzed HRR pathway gene alterations, their loss-of-heterozygosity status, per-sample genomic scar scores, ie, the HRD score and mutational signature 3 ratio, DNA methylation profiles, gene expression profiles, somatic TP53 mutations, sex, and clinical information including chemotherapeutic regimens.

RESULTS Biallelic alterations in HRR genes other than BRCA1/2 were also associated with elevated genomic scar scores. The association between HRR-related gene alterations and genomic scar scores differed significantly by sex and the presence of somatic TP53 mutations. HRD cases determined by a combination of these indices also showed HRD features in gene expression analysis and were associated with better survival when treated with DNA-damaging agents.

CONCLUSION This study provides evidence for the usefulness of HRD analysis in all cancer types, improves chemotherapy decision making and its efficacy in clinical settings, and represents a substantial advancement in precision oncology.

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INTRODUCTION

Homologous recombination repair (HRR) is one of the most accurate DNA repair mechanisms for DNA double-strand breaks. Disruption of this mechanism (homologous recombination deficiency [HRD]) leads to a high degree of genetic instability and accumulation of genetic mutations, thus playing an important role in the development and progression of cancer. Thus far, mutations in the BRCA1 and BRCA2 genes are considered principal drivers of HRD; germline BRCA1/2 mutation carriers more frequently develop BRCA-associated cancers, ie, those of the ovaries, breasts, prostate, and pancreas. Both germline and somatic BRCA1/2 mutations have demonstrated high sensitivity to DNA-damaging drugs such as platinum, doxorubicin, and topoisomerase inhibitors. The discovery and reproducibility of synthetic lethality in BRCA1/2-mutated cancers by poly (ADP-ribose) polymerase (PARP) inhibitors in 2005 has led to recent successive US Food and Drug Administration approvals of PARP inhibitors for BRCA-associated cancers.

In experimental studies, the suppression of HRR pathway signaling confers HRD properties in various cancers, and thus, gene mutations in the HRR pathway have been considered useful in predicting drug sensitivity associated with HRD. However, in a clinical setting, HRR pathway mutations other than BRCA1/2 have not been sufficiently proven to be useful. Moreover, the efficacy of PARP inhibitors in non–BRCA1/2-associated cancers remains low, even in patients with BRCA1/2 mutations.

Alternative methods for assessing HRD status have been developed in only the past decade, following the large-scale accumulation and analysis of sequencing data. The HRD score, which quantifies chromosomal structural abnormalities by combining telomere allelic imbalances, large state transitions, and loss of heterozygosity (LOH), and the mutational signature 3 (Sig3) ratio, which quantifies the characteristic pattern of somatic mutations in HRD tumors, are referred to as genomic scar signatures. In ovarian and breast cancers, tumors with high scores
for these indicators exhibit high sensitivity to platinum and PARP inhibitors, even in the absence of BRCA1/2 mutations.20

Tumor suppressor genes typically require not only a loss-of-function mutation in a single allele but rather a complete loss of the suppressive wild-type allele21 to cause tumorigenesis. Recently, integrated analysis of allele-specific copy number changes and genomic scar signatures revealed that BRCA1/2 mutations require a loss of the nonmutated allele, termed locus-specific LOH, to acquire functional significance.22,23

However, these studies mainly focused on BRCA1/2 mutations in BRCA-associated cancers; other cancers have been insufficiently analyzed. Furthermore, the association between zygozosity status and genomic scar signatures in HRR pathway gene mutations other than BRCA1/2 is yet to be investigated in detail.

With the increasingly widespread use of gene panel and sequencing-based testing for personalized medicine, it is important to comprehensively evaluate the significance of HRR pathway gene alterations and genomic scar signatures in a pan-cancer context. Here, we systematically evaluate biallelic HRR pathway gene alterations and genomic scar signatures in all solid cancers from The Cancer Genome Atlas (TCGA) via an ensemble of analytical techniques. We further apply those results toward assessing the clinical outcomes of HRD cases treated with DNA-damaging drugs.

**METHODS**
See the Data Supplement.

**RESULTS**

**Correlations Between HRR Pathway Gene Alterations and Genomic Scar Signatures**

The HRD score and Sig3 ratio were used as the measures of genomic scarring associated with HRD (Data Supplement). Combining results from the ASCAT24 and FACETS25 algorithms, which classify input into LOH-positive, LOH-negative, or undetermined statuses, allele-specific copy numbers were factored at each locus in 29 selected HRR pathway genes to determine whether genes were classified as having locus-specific LOH (Data Supplement). Unless stated otherwise, analyses focus on the TCGA data set.

We examined the relationship between the genomic scar scores and the status of mutations with locus-specific LOH in HRR pathway genes; specifically, we considered the following six stratifications: germline(g)BRCA1, gBRCA2, gHRR (all HRR pathway genes excluding BRCA1/2, n = 27), somatic(s)BRCA1, sBRCA2, and sHRR (Figs 1A and 1B). When all samples were arranged in order of resulting HRD score, high-scoring cases had demonstrably more frequent mutations with LOH, whereas mutations without LOH had systemically lower HRD scores; this trend was consistent in all six stratifications (Fig 1A). The same trend was observed for the Sig3 ratio (Fig 1B). Both the HRD score and the Sig3 ratio were statistically significantly higher in cases with LOH mutations than in cases with non-LOH mutations (Figs 1A and 1B, box plots). For individual HRR pathway genes other than BRCA1/2, cases with LOH-positive mutations in gATM, gBRIP1, gFANCM, gPALB2, gRAD51C, sATM, sCDK12, and sFANCDD2 were enriched with a high HRD score or Sig3 ratio (statistically significant), whereas mutations at these loci not classified as LOH were not enriched (Data Supplement). Mutations in these LOH-detected genes have been previously reported to be found in tumors with molecular features similar to BRCA-mutant tumors, known as BRCAness.4

Alternatively, when all the cases were arranged in order of tumor mutational burden, cases with LOH-negative sBRCA1/2 and sHRR mutations had systemically larger TMB, including microsatellite instability (MSI)-high and POLE-mutated cases (Fig 1C). The result suggests that these mutations are neutral, passenger mutations (Fig 1C, box plot). In gBRCA1/2, cases with LOH mutations had
higher tumor mutational burden on average than cases with non-LOH mutations \((gBRCA1 P = .017, gBRCA2 P = .014,\text{ Fig 1C, box plot})\), which was consistent with previous reports that HRD tumors had moderately increased numbers of gene mutations.\(^{26,27}\)

The relationship between homozygous deletions and HRD/\text{Sig3} values was investigated by using the ASCAT\(^{24}\) and ABSOLUTE\(^{26}\) methods independently \(\text{(Data Supplement)}\). Cases with \text{BRCA2} homozygous deletion had higher genomic scar scores and lower gene expression \(\text{(Figs 1A and 1B and Data Supplement)}\). \text{RAD51B} homozygous deletion was found in 20 patients with high genomic scar scores and reduced gene expression \(\text{(Figs 1A and 1B and Data Supplement)}\). Although \text{RAD51B} mutations have been reported in a few cases in breast cancer, their pathogenic significance remains controversial.\(^{29}\) To the best of our knowledge, there have been no reports on homozygous deletions in \text{RAD51B}.

Regarding the association between the genomic scar scores and promoter methylation of HRD pathway genes, only \text{BRCA1} was significantly enriched in cases with a higher HRD score and \text{Sig3} ratio \(\text{(Figs 1A and 1B and Data Supplement)}\). Thus, subsequent analyses considered methylation status only for \text{BRCA1}.

**Per-Cancer Differences in HRD Status, LOH, and Alteration Type**

We next examined the mutation rate of HRD pathway genes and the frequency of locus-specific LOH for each cancer type. Consistent with previous reports,\(^{22,23,30}\) \text{BRCA1}/2 mutations tended to show higher LOH ratios in \text{BRCA}-associated cancers, ie, ovarian, breast, pancreatic, and prostate cancers. However, the mean HRD score and \text{Sig3} ratio were not particularly higher in breast, pancreatic, nor prostate cancers compared with other cancers \(\text{(Fig 2A)}\). Similarly, the frequency of HRD pathway gene alterations was not much higher in pancreatic and prostate cancers \(\text{(Fig 2B)}\). After ovarian cancer, the next highest frequency of HRD alterations was observed in testicular germ cell tumors, in which most of the alterations were derived from \text{BRCA1} methylation. Biallelic alterations in \text{ATM} were observed the second most frequently after \text{BRCA1}/2 \(\text{(Fig 2B)}\).
Identification of Pan-Cancer HRD Cases on the Basis of Genomic Scar Signatures

The number of carriers of germline BRCA1/2 variants is equal in women and men, although the lifetime cancer risk is higher in women. Therefore, we hypothesized that the effect of HRD on cancer development could also be gender-dependent. We evaluated the association between genomic scar scores and HRR pathway alterations, with patients split by sex and TP53 mutation status. Cases with BRCA1/2 alterations, meaning germline and somatic BRCA1/2 biallelic alteration plus BRCA1 methylation, had the highest average HRD and Sig3 values in females with TP53 mutations (Fig 3A, left). The results were also similar in cases with HRR pathway gene alterations (HA cases), meaning germline and somatic biallelic alteration in HRR pathway genes and BRCA1 methylation (Fig 3A, middle).

FIG 2. Detailed HRR pathway gene alterations per cancer type. (A) For each cancer type and HRR pathway gene, the percentage of samples with gene mutation detected and with LOH detected are annotated using size and color. The product of these two values is input to hierarchical clustering to generate the resulting type-gene ordering. Cancer types annotated with a + mark are BRCA-associated cancers, and values indicate the number of cases in TCGA. (B) Types and proportions of biallelic HRR pathway gene alterations per cancer type. (C) Correlation between the TP53 mutation ratio with mean HRD score (left) and mean Sig3 ratio (right) by cancer type. Upper and lower panels are from the TCGA data sets and data sets in the study by Jonsson et al., respectively. Colors are identical to panel B or otherwise gray. rs and P represent the Spearman correlation coefficient and its P-value, respectively. HRD, homologous recombination deficiency; HRR, homologous recombination repair; LOH, loss of heterozygosity; MSI, microsatellite instability; Sig3, mutational signature 3; TCGA, The Cancer Genome Atlas.
Trends were mirrored in the data set in the study by Jonsson et al.\(^\text{23}\) (Fig 3A, right). Even when excluding BRCA-associated cancers, similar trends were observed (Data Supplement). On the other hand, even in the group without HRR alterations, the HRD score was elevated in the presence of TP53 mutation (Fig 3A, middle). These results indicate that stratification by sex and the presence of TP53 mutation enriches the dimensionality of information applicable to the identification of HRD cases on the basis of genomic scar scores. A systematic analysis for each TCGA cancer type is provided in the Data Supplement.

The positive correlation between the HRD score and the Sig3 ratio was strongest in females with TP53 mutations, weaker in males with TP53 mutations and females without TP53 mutations, and not statistically significant in males without TP53 mutations (Fig 3B, \(r_s = 0.481, 0.122\) and 0.109, and 0.025, respectively). Analogous results were observed even when excluding BRCA-associated cancers (Data Supplement). For each of the gender-mutation groups, we analyzed ROC curves to systematically extract optimal HRD and Sig3 cutoff values for determining HA cases (Fig 3C). As expected from the stratified score distributions, the optimal cutoffs and the area under the curves differed among the four groups (Fig 3D). For each group, the cases that exceeded both cutoff values were defined as genomic scar high (GS) cases and analyzed below.

FIG 3. Systematization and results for GS score–based classification. (A) Comparison of HRD score and Sig3 ratio stratified by TP53 mutation and sex. Further stratification is applied using biallelic BRCA1/2 alteration (including BRCA1 methylation, left), biallelic HRR pathway gene alteration (middle), biallelic BRCA1/2 alteration in Jonsson’s data set (right). *, **, and *** stand for \(P < .05\), \(P < .01\), and \(P < .001\) in the Mann-Whitney U test, respectively. (B) HRD score and Sig3 ratio pairwise distribution when patients are divided by sex and TP53 mutation status. Black dots represent HRR pathway gene-altered cases, and gray dots indicate nonaltered cases. Dotted lines are placed at the optimal cutoff values determined by ROC curve analysis. (C) Differences in ROC curves and optimal cutoff values for HRR pathway gene alterations in HRD score and Sig3 ratio among the four groups. (D) Per stratification, Spearman correlation coefficients between the HRD score and the Sig3 ratio, optimized scar score cutoff values, and resulting AUCs are shown. AUC, area under the curve; GS, genomic scar high; HRD, homologous recombination deficiency; HRR, homologous recombination repair; ROC, receiver operating characteristic; Sig3, mutational signature 3; TCGA, The Cancer Genome Atlas.
Gene Mutation and Expression Analyses in GS and HA Cases

The number of GS and/or HA cases was between 187 and 357 in each of the four patient groups (Fig 4A; cancer type stratification details are given in the Data Supplement). The biallelic ATM alteration ratio was lower in the TP53-mutated group than in the TP53 wild-type group; ATM and TP53 mutations were significantly mutually exclusive (Fig 4A; 0.19% and 1.2%, respectively, chi-square test \( P = 1.4 \times 10^{-7} \)).

GS and/or HA cases had significantly higher gene expression–based HRD-related scores, where we tested the reversed recombination proficiency score (rRPS)\(^{33}\) TCGA ovarian cancer derived genomic scar score (OV-GE) and Kyoto Encyclopedia of Genes and Genomes (KEGG) scores (Fig 4B and Data Supplement), indicating that they have HRD features in terms of gene expression profiles. GS and/or HA cases are hereafter referred to as HRD cases.

To investigate gender differences in HRD status, we examined the association between X-chromosome inactivation and HRD. Female HRD tumors had significantly lower X-inactive specific transcript expression, lower global promoter methylation of the X chromosome, and higher expression scores of all genes on the X chromosome, whereas scores for a subset of genes reported to escape X chromosome inactivation\(^{34}\) were not significantly elevated (Data Supplement).

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**Fig 4.** Characteristics of HA cases and GS cases. (A) Per-patient HRR alteration type analysis in HA and/or GS cases, following stratification by sex and TP53 mutation status. Numbers in parentheses next to cancer types represent the number of cases in the four groups, respectively. (B) Comparisons of three gene expression–based HRD assessment scores after stratification by HA and GS. These scores were calculated from modification of the RPS, comparison between TCGA ovarian cancer samples, and KEGG pathway database, respectively (Data Supplement). * *, **, and *** stand for \( P < .05, P < .01, \) and \( P < .001 \) in the Mann-Whitney U test, respectively. GS, genomic scar high; HA, HRR-altered; HRD, homologous recombination deficiency; HRR, homologous recombination repair; KEGG, Kyoto Encyclopedia of Genes and Genomes; OV-GE, TCGA ovarian cancer derived genomic scar score; RPS, recombination proficiency score; rRPS, reversed recombination proficiency score; TCGA, The Cancer Genome Atlas.
Supplement). These differences were consistent even when BRCA-associated cancers were excluded (Data Supplement), suggesting that X-chromosome inactivation may underlie differences in HRD by sex.

**Association Between Chemotherapeutic Agents and Survival Outcomes in HRD Cases**

To investigate the drug sensitivity of HRD cases, all TCGA cases were divided into one of two groups either with (n = 2,979) or without (n = 6,460) a treatment history of DNA-damaging agents, including alkylating agents, antibiotics, antimetabolites, platinum, and topoisomerases. Although HRD cases had a significantly better overall survival than non-HRD cases in the group with DNA-damaging agent use, HRD cases in the group without DNA-damaging treatment had a significantly worse outcome than non-HRD cases (Fig 5A, log-rank test $P = 5.1 \times 10^{-4}$ and $1.1 \times 10^{-10}$, respectively).

Cox proportional hazards multivariate analysis with covariates of age, sex, stage, and TP53 mutation showed that HRD was a good independent prognostic factor in the DNA-damaging treatment group (adjusted hazard ratio [HR] 0.78, $P = 6.6 \times 10^{-5}$), whereas it was a poor factor in the nontreatment group (adjusted HR 1.58, $P = 2.8 \times 10^{-10}$, Fig 5B). Similar analyses were performed for each of the 13 cancer types that contained five or more comparable cases in both therapy groups. For 11 of 13 types (85%), the adjusted HRs of HRD were lower in the DNA-damaging agent group than in the nonagent group (Fig 5C and Data Supplement). These results suggest that DNA-damaging agents would improve the prognosis of HRD cases, regardless of the cancer type.

The mutant-allele tumor heterogeneity (MATH) index, an indicator of intratumor heterogeneity, and KEGG cell cycle score calculated by ssGSEA were higher in HRD cases than in non-HRD cases (Fig 5D and Data Supplement). These results suggest that increased intratumor heterogeneity and proliferation capacity associated with HRD may lead to poor survival outcomes in the absence of DNA-damaging drugs.

We repeated analysis without applying the stratification by sex and TP53 mutation status, obtaining agnostic cutoff values for the HRD score and Sig3 ratio (Data Supplement). Although the associations between gene expression profiles, drug administration, and survival outcomes of HRD cases showed trends similar to those with the stratification, the characteristics of the determined HRD cases, such as differences in HRs and $P$ values in the survival analysis, were weaker (eg, adjusted HRs 1.58 v0.78 stratified, 1.37 v 0.83 unstratified, Data Supplement).

**DISCUSSION**

Recently, several clinical trials of PARP inhibitors have been conducted, in which HRR pathway gene mutations have been examined as biomarkers (eg, ClinicalTrials.gov identifier: NCT03209401, NCT03377556, and NCT04123366). However, few have assessed whether these mutations are biallelic. Our findings here indicate that the zygosity of HRR variants is a very important consideration in future clinical trials and that robust molecular scale technologies for determining the zygosity of these variants need to be further developed.

Although one study reported a benefit of PARP inhibitors in BRCA-associated cancers even with monoallelic BRCA1/2 alterations, another study showed that tumors with germline BRCA1/2 mutations but without locus-specific LOH have a low HRD score and low drug sensitivity. Considering that these studies each used only one algorithm, the differences in the conclusions of those reports may be due to differences in methods. In this study, LOH and homozygous deletion were each determined using pairs of methods. These integrated analyses provided a clearer association between biallelic changes and genomic scar scores than when only a single method was used (Data Supplement), showing that using ensembles of algorithms can improve robustness.

The HRD score was higher in tumors with TP53 mutations (Fig 2C). Some have reported that TP53 mutations activate the HRR pathway under certain circumstances, whereas others suggest that they did not directly associate with HRD. In our data, TP53 mutation did not correlate with the Sig3 ratio (Fig 2C), whereas TP53-mutated cases, even without HRR alteration, had higher HRD scores than TP53 wild-type cases (Fig 3A). Recently, in prostate cancer, TP53 mutations were reported to be associated with increased HRD scores independent of HRR pathway gene alterations. This suggests that high HRD score in TP53-mutated cases could alternatively be due to chromosomal instability caused by mechanisms other than HRD (eg, loss of cell cycle checkpoint mechanisms).

We observed that not only the two genomic scar scores themselves but also their correlations and area under the curve cutoffs for classification differed greatly depending on sex and TP53 mutation status (Fig 3). On the basis of these observations, we would propose that differences in sex and TP53 should be taken into account when using these genomic scores to determine HRD cases. However, since the HRD score and Sig3 ratio were originally developed in a rather limited analysis to identify BRCA1/2-mutant tumors in breast and ovarian cancers, it may be difficult to evaluate tumors in groups other than women with TP53 mutations. Given that large-scale multomics databases are being constructed worldwide and that new methods for evaluating HRD using whole-genome sequencing are emerging, it is expected that more robust methods for identifying HRD cases in pan-cancer contexts will be developed in the future.

Although the data of our pan-cancer analysis suggest that HRD involvement in tumors may differ by sex, the very small number of samples with HRR alterations in non–BRCA-associated cancers made it difficult to compare by cancer type at high resolution (Data Supplement). Given the relative
prevalence of HRD in female tumors such as ovarian and breast cancers, it is also possible that differences in tumor type, not related to sex, may have an effect. On the other hand, one of the major differences between the cells of men and women is the presence of X-chromosome inactivation. The loss of X-chromosome inactivation in some female cancers has long been known as the loss of the Barr body. It is reported that some molecules belonging to the DNA double-strand break repair pathway are involved in X-chromosome inactivation. In the present analysis, we found that the loss of X-chromosome inactivation was associated with female HRD tumor cells (Data Supplement). This suggests that the relatively high frequency of HRD in female tumors may be related to inactivation of the X chromosome. However, there have been no reports on the association between the genomic scar-based HRD status and the X chromosome, and thus, more detailed studies are needed in the future.

This comprehensive pan-solid cancer HRD analysis revealed that stratified analysis by sex and TP53 mutation status can more accurately assess HRD status, suggesting that HRD is useful as a cancer type–agnostic therapeutic biomarker, and

FIG 5. Survival analysis stratified by HRD status and DNA-damaging chemotherapy. (A) Comparison of overall survival between HRD and non-HRD cases, dividing patient groups by the presence or absence of DNA-damaging chemotherapy. The P value of the log-rank test is given. (B) Left: univariate and multivariate Cox proportional hazards model analyses with covariates of age, sex, TP53 mutation, clinical stage, and HRD assignment. Right: adjusted HRs from the multivariate Cox analysis. (C) For HRD-present cases, comparison of the two adjusted HRs in each of 13 cancer types that have five or more comparable cases. Identical to pan-cancer analysis in (B), per-type samples were divided on the basis of DNA-damaging chemotherapy presence or absence. (D) Comparisons of MATH index and KEGG cell cycle scores with stratifications similar to Figures 3 and 4. *, **, and *** stand for $P < 0.01$, $P < 1 \times 10^{-5}$, and $P < 1 \times 10^{-7}$ in the Mann-Whitney U test. GS, genomic scar high; HA, HRR-altered; HR, hazard ratio; HRD, homologous recombination deficiency; KEGG, Kyoto Encyclopedia of Genes and Genomes; MATH, mutant-allele tumor heterogeneity.
that DNA-damaging drugs could be beneficial for HRD cases across cancer types. On the basis of the results, it is rationale to expect improvements in the implementation of personalized cancer medicine on the basis of HRD. In parallel, further diagnostic methods can be developed for the pinpoint identification of clinically significant HRD cases.

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