Enhanced EZW Technique for Compression of Image by Setting Detail Retaining Pass Number

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Abstract—For keeping the data secured and maintained, compression is most essential aspect. For which efficiency is the important part to be researched continuously until the satisfactory result is achieved. The optimized ratio of data is necessary for compression and embedded transmission. In this paper the main objective is to improve the execution time evolved in EZW coding. EZW coding mainly depends upon it’s transmitting and encoding time. In this we use descendant scanning of zero tree roots. By assuming most of the coefficient value in the decomposed subband near to zero, we try to improve the execution time.

The proposed coding scheme is not only reduce the execution time but also improve the compression ratio. By estimating the threshold value we can choose the detail retaining pass number (DRP). The proposed coding will enhance the function of many processors like mobile, which works on multimedia processing and applications.

Index Terms—Discrete wavelet transform, image compressing, Embedded Zero-Tree Wavelet (EZW), Detail retaining pass number (DRP).

I. INTRODUCTION AND PROBLEM STATEMENT

For compression of database, the important aspect is its efficiency. For the storage of data and its transfer compression is required to a greater extent. This technique is used to represent the original uncoded data in the form of compressed lesser amount of coded data. The coded data is easy to be sent as it is compressed and contains fewer bits comparatively to the original information. In embedded transmission, we use Progressive Image Transmission. The main objective of this transmission is to embed the lower bit rate image first in the output bit stream then after this higher bit rate image follows. In these kind of coding, the information acquired from each successive pass is added to already stored and executing information. In this transmission the bit stream can be truncated at any point according to the requirement of decoder. After truncation also an image can be generated corresponding to lower bit rate. Such an efficient technique for embedded coding and compression of images is Embedded Zero-Tree Wavelet (EZW).

A. Embedded Zero Tree Wavelet Coding

Jerome M. Shapiro gives the concept of Embedded Zero Tree Wavelet Encoding, in his IEEE transaction. This is widely used as the base in many videos and compression. The most widely and one such existing technology is Video codec based on EZW e.g. MPEG-4.

In embedded coding the linear bits of information are transmitted first than the higher bits follows. Which means the information of the lower importance is transmitted first, later the information of higher importance is transmitted [5]. That adds itself to the earlier transmitted information. With this kind of prioritization, effective transmission scheme is generated.

In the embedded coding the lower bit rate codes are embedded at the beginning of the bit stream. As according to prioritization bits are ordered accordingly. An encoder can terminate the encoding process at any point using an embedded code. Whole encoding process is monitored with the help of some target parameter, such as target count. The encoding stops after meeting its target. Similarly with the help of bit stream, decoder can decode the lower rate encodings at any point and reconstruct the data corresponding to it.

B. Features of Embedded Zero Tree Encoding

The EZW encoding contains these features

- Parent-child relationship: The parent child relationship is known as ancestor-descendant relationship. This is based on hierarchical orientation of levels. It simply means that a pixel in a coarser scale represents four pixels in the finer scale. The base of EZW is the parent –child relationship in wavelet transform.

- Concept of Zero trees in EZW: It states that “If the root of wavelet coefficient tree and all its corresponding descendants are below the given threshold then the tree is said to be zero tree and the corresponding root is known as zero tree root (ZTR).”

- Concept of Isolated zero in EZW: It states that “If the root of wavelet coefficient tree is less than the given threshold at any level but if one or more of its descendants are greater than the given threshold then the root is said to be an Isolated zero root.” But in this case, it should be noticed that only the root is encoded as isolated zero in EZW not the entire tree.
• **Concept of positive and negative significant in EZW:**
  It states that “If the absolute value of the wavelet coefficient in the subband decomposition of image is greater than the given threshold then it is said to be significant.” They are termed as positive significant or negative significant according to their sign.

C. Paper Organization

Section II discuss about the wavelet theory and multiresolution analysis. According Heisenberg’s uncertainty principle, as in the time domain the resolution of the signal improves by zooming on the different sections it gets worse in the frequency domain. Therefore to make this ideal, multi resolution is needed, which allows certain portions of signal to resolve well in time and other portions to be resolved well in frequency. Section III of the paper presents discrete wavelet transform, sufficient enough for the research worked here. Section IV introduces about the concept of descendant coefficient scanning and encoding. It enlightens the coding schemes need to improve the execution time consumed in scanning. An improved algorithm is introduced by doing some changes in the dominant pass of EZW. Section V contains an example in which a gray scale image of 512x512 is taken and result is analyzed for different coding schemes of EZW algorithm. The paper concludes with Section VI.

II. WAVELET AND MULTI-RESOLUTION THEORY

A. History of Wavelet

Basically wavelets are considered as mathematical function which cut up data into different frequency components. Wavelet applications include image compression, turbulence, human vision, radar and earthquake prediction [1]. Jean Martlet an engineer, described wavelets of constant shape which latterly known as Martlet wavelets. He also produced the proof that reconstruction of wave could be possible from their wavelet decomposition. Yves Meyer introduced the foundation concept of wavelets, which is the concept and feature of orthogonality in wavelets. Then in1986 student of Meyer, Stephen Mallat, introduced the concept of multi resolution which is the keystone to wavelet theory. Ingrid Daubechies brought the final revolution in the area of wavelets in 1987. She discovered a whole new class of wavelets not only orthogonal but can be implemented using simple and short filters. Latterly combining the work of Daubechies and Mallat, a simple orthogonal transform is evolved which could be calculated on modern digital computers.

B. Orthogonal Functions

In the field of signal processing two functions are said to orthogonal if following relationship exists between them.

\[ \int_{-\infty}^{\infty} f_1(t) f_2(t) dt = 0 \]  

(1)

Where \( f_1(t) \) and \( f_2(t) \) are two functions. Examples of orthogonal functions are sine and cosine waves in the same interval of time [1].

C. Dyadic Sampling

Sampling of alternate values of signal is called dyadic sampling, as its name “dia” means two. Dyadic sampling is of two type i.e. dyadic down-sampling and dyadic up-sampling. In both types where alternate terms of signal are dropped, in other zero padding is done alternatively. Dyadic up-sampling is shown in Fig. 1.

![Fig. 1. (a) Signal Components (b) Up-sampled signal components.](image)

D. Multi-resolution Approach

In the field of wavelet S. Mallat gave the theory of multi-resolution approach [2]. He proposed the concept of nested space as a specific feature of wavelet transform. Without going deep into the theory, in nested space the successive coarser space are nested in finer scale space.

So in multi-resolution let \( W_i \) represent the space of \( i^{th} \) scale, in 3-level decomposition \( W_3 \) can be decomposed into lower resolution set \( W_2 \) and difference of \( W_3 \) and \( W_2 \) can be represented by another set, let say \( W_1 \). And it can be continued to \( W_2 \) to \( W_1 \) and \( V_1 \) and so on. It is shown in Fig. 2.

![Fig. 2. Nested function spaces spanned by the scaling functions and wavelet.](image)

E. Concept of Filter Banks and \( \phi(t) \) and \( \psi(t) \) Functions

According to I. Daubechies [3] implementation of wavelet transform can be done by using discrete filters. In multi-resolution approach it cannot be done by using a single and a specific filter. We need to have a filter with some parameters for a particular region and different one of other region. So the concept of filter bank came into existence. Even wavelet function \( \psi(t) \) and corresponding scaling function \( \phi(t) \) are generated from these filter banks.
From equation 1 relationship between $\psi(t)$ and $\psi(t)$ can be given as,

$$\phi(t) = \sum_{k=-\infty}^{\infty} h(k) \sqrt{2} \psi(2t - k) \quad (2)$$

$$\psi(t) = \sum_{k=-\infty}^{\infty} g(k) \sqrt{2} \phi(2t - k) \quad (3)$$

After applying specific constraints, to use these filter banks for designing of wavelet first coefficients are obtained.

### III. DISCRETE WAVELET TRANSFORM

#### A. Wavelet coding of Images

Images are 2-D signals. The wavelet coding of a 2-D signals i.e. image, is done similarly as in 1-D signals. To obtain the approximation and details of images the discrete wavelet transform is applied both along rows and columns [4].

1. Image Decomposition

   For the image decomposition, the image carries information varying along two dimensions. For the extraction of approximation and detail coefficient is required from both the directions. To achieve this purpose first low pass filter and high pass filter are moved over the rows of image and then the result is decimated by a factor of 2. By this the wavelet decomposition subband is divided into two parts. One subband contains the information of low frequency i.e. approximation of rows and other contains high frequency information i.e. detail along the rows. Same procedure is repeated for columns. $LL_1$, is the approximation where remaining three subbands are of detail as horizontal ($HL_1$), vertical ($LH_1$) or diagonal ($HH_1$). This operation is explained as below.

   - $LL_n$ – Approximation: Low pass filter both over rows and columns.
   - $HL_n$ – Horizontal Detail: Low pass filter over columns and high pass filter over rows.
   - $LH_n$ – Vertical Detail: High pass filter over columns and Low pass filter over rows.
   - $HH_n$ – Diagonal Detail: High pass filter over both rows and columns.

   Fig. 3 shows the construction of subband after filtering and down-sampling operation. While in Fig. 4 same operation is shown with the help of block diagram. In Fig. 5 two level of operation is performed where same operation is repeated for each subband in level one. For a practical image it can be done on several stages of operation, explained in Fig. 1, where subbands are decomposed into other subbands.

2. Image Reconstruction

   The image reconstruction from the decomposed wavelet coefficient band is done by passing it to the synthesis filter banks which will be followed dyadic up-sampling. The image reconstruction of image is just opposite of the decomposition process and is also known as Inverse Discrete Wavelet Transform (IDWT). Reconstruction operation is shown in Fig. 6, where block diagram is represented.

![Image 3](image3.png)

![Image 4](image4.png)

![Image 5](image5.png)
IV. DESCENDENT COEFFICIENT SCANNING AND ENCODING

Embedded Zero Tree Wavelet consists of four symbols which actually represents it. They are

- A zero tree root
- An isolated zero
- A significant positive coefficient
- A significant negative coefficient

In each pass of embedded zero tree wavelet coding, each coefficient has to pass through a scanning process, where it is scanned for all the four symbols. In each iterative pass of the compression algorithm it is done systematically. The major part of the execution time in the dominant pass of the compression algorithm is consumed while scanning the tree of insignificant coefficient for zero tree or for insignificant zeroes.

As per the structure of tree if a particular frequency band’s coefficient is insignificant, then all its descendants will fall to insignificant category. In the decomposed subband structure most of the detail subbands are dark at all the levels, which apparently clarify that most of the coefficient values in that detail subband are near to zero. Therefore they may not become significant even in successive iterative passes, where there is reduction by a factor of 2 in the threshold, as they are near to zero “[5]”.

By not scanning the descendants of zero tree of the previous passes in the successive passes as we can consider that most of the values are near to zero and will become insignificant at all, even when the remarkable reduction in threshold value is made, a significant improvement can be made in the execution time of the existing compression algorithm. The purpose to choose descendants is they will always lie in the detail subband, whereas zero tree root may also lie in the approximation subband.

The base of the proposed work is formed by the above stated concept. An improved algorithm will be proposed by altering the dominant pass of EZW. It is done on the main basis of improved execution time.

A. Coding scheme A

The coding scheme A is actually based on the previous statement to improve the execution time in zero tree wavelet. Some changes will be made in the dominant pass of the algorithm, where approximation and quantization done in the subordinate pass remains the same.

Execution time of the algorithms in the dominant pass is greatly improved. This is because of the ignorance of coefficients, in any iterative pass becomes descendants of zero tree. Thus only for the first iterative pass the whole decomposed subband is scanned. There is large number of descendants, since large number of zero trees is encountered. Therefore in the next passes these descendants are not scanned again assuming that all of them have almost zero magnitude, thus improving the execution time.

The main drawback in coding scheme A is the detail subband in the wavelet decomposition subband contains edges with coefficient greater than zero magnitude. As in the initial passes the threshold is high and all the edges and other details have coefficient values less than the threshold encoded may
come under the descendant of zero tree roots. Thus they will not become significant as they will not be scanned in any of the successive passes, even if the threshold is lowered down. Thus on the compromise of losing some details, coding scheme A improves the execution time.

B. Coding Scheme B

The coding scheme B does not improve the execution time to significant extent but it does not compromise on the uncompressed image quality. In this scheme the descendant of zero tree roots of the previous passes are checked and evaluated for it being significant. The restriction is made on the descendant of current pass.

In this coding scheme the quality of image is maintained even after the compression of the image but there is not much improvement in the dominant pass execution time.

C. Improved Coding Scheme C

Both the advantages and effects of coding scheme A and B are incorporated in the coding scheme C. In this coding scheme the scanning is not only restricted to the descendant of previous passes. Therefore the encoding of the edge and detail information in the detail subband is done and then the coefficient of the detail subband is done and then the coefficient of the detail subband becomes significant. Thus by lowering the threshold in successive passes they are brought out descendants. Now they are being checked for their significance and will be encoded if found so. After a certain point we can assume that all the coefficients which contain information about the edges have been encoded as they are no more descendants of zero tree, they are significant now. Now it is believed that majority of zero tree are descendants which are near to zero magnitude and will not be significant in next passes. From this only the remaining edges and detail information may become insignificant in the further passes which will be checked by the isolated zeroes. This is done with the correct and appropriate ease of both the coding scheme A and B. The coding scheme B is applied up till a number of certain passes. After this coding scheme A is executed when it is believed that majority of zero trees contain descendants, as they have magnitude near to zero. As a result in successive passes scanning of the previous passes descendants is skipped.

Detail retaining passes is the iterative pass at which we change the coding scheme.

Therefore the coding scheme C is the most efficient and unique way to encode the image in the dominant pass by giving the Detail Retaining Pass (DRP) number [7]. In this threshold is being set above the edge coefficient existence. At the end of both the sub branches the symbols kept for encoding the different properties of subband are same. Hence there is no increase in the cost due to increase in the number of symbols. The coding scheme is better way to encode the image in minimum time.
have to obtain Discrete Wavelet Transform of the test image. Then we include the function for arranging 1-D array of wavelet coefficients into 2-D subband. Now the dominant pass function is applied according to different coding scheme. In the dominant pass, scanning of tree is done. Test for the positive and negative significance is done, by using tree scanning function for insignificant node according to the subband in which it exists. Now apply the function to determine whether the node is zero tree root or isolated zero. After the dominant pass, quantization is done using subordinate pass function. After quantization in subordinate pass, the quantized coefficients are arranged according to subbands. For the reconstruction of the image using a defined wavelet, inverse discrete wavelet transform is obtained. After obtaining the image various parameters are calculated.

For the operation we use gray scale image of “Elaine” of size 512x512.

Now the coding scheme A is applied over the gray scale image of Pirate. It is done for 10 passes and various parameters are recorded including the dominant pass execution time. After applying coding scheme A, the total execution time of 10 dominant passes in sec. is 43.1128 sec. without estimating subordinate pass time. In Figure 7 result of 6, 7 and 8 passes of coding scheme A is shown in (a), (b) and (c) respectively.

After this scheme A, we apply coding scheme B for the same Elaine image for 10 passes and again various
parameters are recorded including the dominant pass execution time. Now the total execution time of 10 dominant passes in sec. is 55.1874 sec. without estimating subordinate pass time. In Figure 8 result of 6, 7 and 8 passes of coding scheme B is shown in (a), (b) and (c) respectively.

Lastly coding scheme C is applied on that gray scale Elaine image for 10 passes and various parameters are recorded. In this scheme we include the execution time by

![Images showing results of coding schemes]

Fig. 12. Decoded image of “Elaine” after encoding it by coding scheme C by setting DRP=3 for (a) 6 passes, (b) 7 passes and (c) 8 passes, setting DRP=4 for (d) 6 passes, (e) 7 passes and (f) 8 passes and setting DRP=5 for (g) 6 passes, (h) 7 passes and (i) 8 passes.
setting the detail retaining pass number taking them different each time.

For DRP=3, the total execution time of 10 dominant passes in sec. is 52.6280 sec. without estimating subordinate pass time. It is shown for 6, 7 and 8 passes of coding scheme C in Fig. 9 (a), (b) and (c) respectively.

For DRP=4, the total execution time of 10 dominant passes in sec. is 53.5764 sec. without estimating subordinate pass time. It is shown for 6, 7 and 8 passes of coding scheme C in Fig. 9 (d), (e) and (f) respectively.

For DRP=5, the total execution time of 10 dominant passes in sec. is 53.4496 sec. without estimating subordinate pass time. It is shown for 6, 7 and 8 passes of coding scheme C in Fig. 9 (g), (h) and (i) respectively.

For the analysis purpose the execution time of dominant pass has been recorded up to different number of passes. The effect of changing the algorithm for the improvement in the execution time is analyzed with respect to the image quality obtains thereby.

The PSNR of Elaine image after decoding at subordinate pass of different algorithm is obtained and has been plotted in the Fig. 8 for different iterative passes.

From the table 1, it is clear that in all 6 iterative passes the coding scheme C saves around a second for different DRP as compared to idle coding scheme B.

### EXECUTION TIME OF DOMINANT PASSES RECORDED UP TILL DIFFERENT ITERATIVE PASSES.

| Scheme → | A            | B            | C, DRP=3      | C, DRP=4      | C, DRP=5      |
|----------|--------------|--------------|---------------|---------------|---------------|
| Pass 6   | 33.7419      | 40.5758      | 39.7179       | 39.1432       | 40.0830       |
| Pass 7   | 36.2459      | 44.3879      | 43.4798       | 43.1661       | 43.4928       |
| Pass 8   | 39.2664      | 49.3753      | 46.8478       | 46.6384       | 47.2191       |
| Pass 9   | 41.2356      | 52.4219      | 49.5453       | 49.6282       | 50.7656       |
| Pass 10  | 43.1128      | 55.1874      | 52.6280       | 53.5764       | 53.4496       |

From plot of PSNR in Fig. 10 it can be seen that at 7 iterative pass there is a little fall in coding scheme C as compared to coding scheme B. and this variation is less than 1 dB. In the 8th iterative pass there is a difference of 2 dB in coding algorithm C with DRP=3 as compared with coding algorithm B. and the difference of 1dB is noted at DRP=5 but the improvement is less. The threshold become very less at 8th, 9th and 10th iterative pass.

Therefore for the given image coding scheme C is best till 7 iterative passes as it gives improvement of 2 sec. in execution time up to 7 iterative passes. And improvement of 3 sec. can be noticed in the execution time in collective 8 iterative passes but the fall in PSNR is more than assumed. At pass 9 and above coding scheme is preferred as it gives high PSNR at low threshold values.

### VI. CONCLUSION

In this paper up to 8 successive passes the proposed coding scheme gives an execution time reduction of about 3 seconds with constraints. But this could be different on other simulating systems. The proposed coding scheme will always take less time to operate because in this proposed coding scheme the coefficient-trees of large number of descendants of zero tree roots of the previous passes need not to be scanned for the determination of zero-tree roots and isolated zeroes. It is noticed that the proposed coding give the same decoded output in terms of PSNR and performance indexes as compared with the conventional EZW. The future scope of this proposed work actually lies in the vast improvement of video codec which is based on EZW. The proposed coding will execute to improve the functioning of some processors.

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![Performance Measure of different Algs. for Elaine Image](image.png)

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In the paper it has been shown that the proposed coding scheme C saves 2 seconds or more in successive execution of 7 dominant passes, without estimating the subordinate passes. Improvement in the compression ratio is also achieved by 2%. No compromise from the side of subordinate passes is included, as no changes have been made in them. The PSNR obtained from the decoded image of coding scheme C is almost remains the same as after EZW coding.
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