Higgs fields induced by Yang-Mills type Lagrangians on gauge-natural prolongations of principal bundles

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Abstract

We address some new issues concerning spontaneous symmetry breaking. We define classical Higgs fields for gauge-natural invariant Yang–Mills type Lagrangian field theories through the requirement of the existence of canonical covariant gauge-natural conserved quantities. As an illustrative example we consider the ‘gluon Lagrangian’, i.e. a Yang–Mills Lagrangian on the (1,1)-order gauge-natural bundle of $SU(3)$-principal connections, and canonically define a ‘gluon’ classical Higgs field through the split reductive structure induced by the kernel of the associated gauge-natural Jacobi morphism.

Key words: Yang-Mills Lagrangian; reduced principal bundle; reduced Lie algebra; classical Higgs field; Cartan connection.

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1 Introduction

The aim of this paper is to provide the definition of a classical Higgs field canonically induced by the invariance of a gluon Yang-Mills Lagrangian with respect to the gauge-natural infinitesimal transformations of the bundle of $SU(3)$-connections, seen as a (1,1)-order gauge-natural affine bundle; some preliminary results have been sketched in [30].
In a series of previous papers (see, in particular, [22, 23, 28]) we have shown that we can suitably resort to *Jacobi equations for invariant variational problems* which not only assure stability of critical sections according with a classical approach, see e.g. [4, 7], but in addition, *define canonical covariant conserved quantities*. There are also some topological aspects involved; for more information see [32].

There is an important point here: the entries of Jacobi equations are not general variations, but *vertical parts of gauge-natural lifts*. Note that, in general, these *are not* gauge-natural lifts themselves, *i.e.* in general the Lagrangian is not invariant with respect to vertical parts of gauge-natural lifts.

In principle, by this approach, one could obtain principal bundle reductions different from known spontaneous symmetry breaking. Such reductions are strictly related with *the requirement of the existence of canonical covariant conserved quantities* associated with gauge-natural invariant Lagrangians by the Noether Theorems, in particular by the Second Noether Theorem.

As an example of application we deal with the gauge-natural Jacobi equations associated with the ‘gluon’ Lagrangian; this enables us to define a *canonical classical Higgs field*, that is a canonical reduction of the relevant principal bundle structure. For a gluon Lagrangian within our approach the relevant principal bundle structure is not a $SU(3)$-principal bundle, but its $(1, 1)$-order gauge-natural prolongation.

It is indeed well established that classical physical fields can be described as sections of bundles associated with some gauge-natural prolongations of principal bundles, by means of suitable left actions of Lie groups on manifolds. For basics on gauge-natural prolongations and applications in Physics, see [8, 17] and [9]. Within our picture infinitesimal invariant transformations of the Lagrangian will be gauge-natural prolongations of infinitesimal principal automorphisms, lifted to an associated gauge-natural bundle. A gauge-natural Lagrangian is indeed a Lagrangian which is invariant with respect to any of such lifts.

Accordingly, within our approach to symmetry breaking the *variation vector fields* are, in fact, Lie derivatives of sections of gauge-natural bundles (*i.e.* of fields) taken with respect to gauge-natural lifts of infinitesimal automorphisms of the underlying principal bundle. We are inspired by the seminal work by Emmy Noether [20], who essentially takes as variations vertical parts of generators of infinitesimal invariant transformations of a Lagrangian, see *e.g.* the discussion in [31].
Concerning a canonical definition of a Lie derivative of classical physical fields, we formerly tackled the problem how to coherently define the lift of infinitesimal transformations of the base manifolds up to the bundle of physical fields, so that right-invariant infinitesimal automorphisms of the structure bundle would define the transformation laws of the fields themselves. We obtained an adapted version of the Second Noether Theorem within finite order variational sequences on gauge-natural bundles whereby we related the Noether identities to the second variation of a Lagrangian. We thus characterized canonical ‘strong’ (or ‘of shell’) conserved currents through the kernel of a gauge-natural Jacobi morphisms; for more detail, see e.g. in particular [23], and [12, 24, 25, 26].

Indeed, along such a kernel the gauge-natural lifts of infinitesimal principal automorphism are given in terms of the corresponding infinitesimal diffeomorphisms (their projections) on the base manifolds in a canonical (although not natural) way. A canonical determination of Noether conserved quantities is obtained on a reduced sub-bundle of the gauge-natural prolongation of the structure bundle; such a reduction is determined by the invariance properties of a given variational problem (i.e. invariant Lagrangian action). Connections can be characterized by means of such a canonical reduction and conserved quantities can be characterized in terms of Higgs fields on gauge principal bundles presenting the more complex structure of a gauge-natural prolongation, see [11, 12, 22, 23, 27, 28, 29, 30].

2 Variational problems on gauge-natural prolongations modulo contact structures, and lifts

Let us shortly summarize the geometric frame and, in particular, some useful concepts of prolongations, mainly with the aim of fixing the notation; for details about (gauge-natural) prolongations see e.g. [38] and [8, 17].

Let $\pi: Y \to X$ be a fibered manifold, with dim $X = n$ and dim $Y = n + m$. For $s \geq q \geq 0$ integers we deal with the $s$–jet space $J_s Y$ of equivalent (at a point) classes of $s$–jet prolongations of (local) sections of $\pi$ (i.e. equivalence classes of local sections such that their partial derivatives from order 0 up to order $s$ coincide at a fixed point); in particular, we set, with obvious meaning, $J_0 Y \equiv Y$. There exist natural fiberings $\pi_s^q: J_s Y \to J_q Y$, $s \geq q$, 

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\( \pi^s : J_s Y \to X \), and, among these, the affine fiberings \( \pi^s_{s-1} \) which defines the contact structure at the order \( s \). This structure plays a fundamental rôle in the calculus of variations on fibered manifolds. We denote by \( VY \) the vector sub-bundle of the tangent bundle \( TY \) of vector fields on \( Y \) which are vertical with respect to the fibering \( \pi \).

For \( s \geq 1 \), taking a slight abuse of notation, we fix a natural splitting induced by the natural contact structure on finite order jets bundles (see e.g. [19, 38])

\[
J_s Y \times_{J_{s-1} Y} T^* J_{s-1} Y = J_s Y \times_{J_{s-1} Y} (T^* X \oplus V^* J_{s-1} Y).
\]

Given a projectable vector field \( \Xi : J_s Y \to TJ_s Y \), the above splitting yields \( \xi = \xi_H + \xi_V \), where \( \xi_H \) and \( \xi_V \) are, respectively, the horizontal and the vertical part of \( \xi \). As well known, the above splitting induces also a decomposition of the exterior differential on \( Y \), \( \pi_{s+1} \circ d = d_H + d_V \), where \( d_H \) and \( d_V \) are called the horizontal and vertical differential, respectively [38]. For they are obtained by pull-back on the upper order, such decompositions always rise the order of the objects.

The fibered splitting induced by the contact structure on finite order jets yields a differential forms sheaf splitting in contact components of different degree, so that a sort of ‘horizontalization’ \( h \) can be suitable defined as the projection on the summand of lesser contact degree; see e.g. [19] and the review in [21].

Now, by an abuse of notation, let us denote by ker \( h + d \), ker \( h \) the induced sheaf generated by the presheaf ker \( h + d \) ker \( h \) in the standard way (\( d \) is an epimorphism of presheaves, but not of sheaves). We set \( \Theta^*_s = \ker h + d \ker h \) and \( \mathcal{V}^*_s = \Lambda^*_s/\Theta^*_s \). We have the \( s \)-th order variational sequence \( 0 \to \mathcal{R}_Y \to \mathcal{V}^*_s \), which is a resolution (by soft sheaves of classes of differential forms) of the constant sheaf \( \mathcal{R}_Y \) [19].

The representative of a section \( \lambda \in \mathcal{V}^*_s \) is a Lagrangian of order \( (s + 1) \) of the standard literature. Furthermore \( \mathcal{E}_s(\lambda) \in \mathcal{V}^{s+1}_s \) is the class of Euler–Lagrange morphism associated with \( \lambda \). If we let \( \gamma \in \mathcal{V}^{s+1}_s \), the class of morphism \( \mathcal{E}_{s+1}(\gamma) \) is called the Helmholtz morphism associated with \( \gamma \); the kernel of its canonical representation reproduces Helmholtz conditions of local variationality. For details about representations of the variational sequences
by differential forms see [21] and references therein. Within this framework
the Jacobi morphism can be characterized, see [23], and the more recent [1]
involving the representation by the interior Euler operator.

2.1 Gauge–natural lift

If $\zeta$ is a suitable representation (see later), in the following we shall con-
sider variational sequences on fibered manifolds $Y_\zeta$ which have, in particular,
the structure of a gauge-natural bundle (see the standard sources [8, 17] for
gauge-natural bundles and [10] for an approach to variational sequences and
conservation laws in this framework).

Denote by $P \rightarrow X$ a principal bundle with structure group $G$, $\text{dim} \ X = n$, by $L_k(X)$ the bundle of $k$–frames in $X$. For $r \leq k$ the gauge-natural
prolongation of $P$, $W^{(r,k)}P \doteq J_rP \times_X L_k(X)$, is a principal bundle over $X$
with structure group the semi-direct product $T^n_G \rtimes GL_k(n)$, with
$GL_k(n)$ group of $k$–frames in $\mathbb{R}^n$ while $T^n_G$ is the space of $(r, n)$-velocities
on $G$.

Let $F$ be a manifold and $\zeta : W^{(r,k)}P \times X \rightarrow F$ be a left action of
$W^{(r,k)}P$ on $F$. To the induced right action on $W^{(r,k)}P \times X$ it is associated
a gauge-natural bundle of order $(r, k)$ defined by $Y_\zeta \doteq W^{(r,k)}P \times \zeta F$.

Denote now by $A^{(r,k)}$ the sheaf of right invariant vector fields on $W^{(r,k)}P$
(it is a vector bundle over $X$).

**Definition 2.1** A gauge-natural lift is defined as the functorial map

$$G : Y_\zeta \times X A^{(r,k)} \rightarrow TY_\zeta : (y, \Xi) \mapsto \hat{\Xi}(y)$$

where, for any $y \in Y_\zeta$, one sets: $\hat{\Xi}(y) = \frac{d}{dt}[(\Phi_\zeta t)(y)]_{t=0}$, and $\Phi_\zeta t$ denotes
the (local) flow corresponding to the gauge-natural lift of $\Phi_t$, i.e. obtained
modulo the representation [8, 17].

The above map lifts any right-invariant local automorphism $(\Phi, \phi)$ of
the principal bundle $W^{(r,k)}P$ into a unique local automorphism $(\Phi_\zeta, \phi)$ of
the associated bundle $Y_\zeta$. This lifting depends linearly on derivatives up to
order $r$ and $k$, respectively, of the components $\xi^A$ and $\xi^\mu$ of the corresponding
infinitesimal automorphism of $P$. Its infinitesimal version associates to any
projectable $\tilde{\Xi} \in A^{(r,k)}$, a unique projectable (over the same tangent vector
field on the base manifold) vector field $\hat{\Xi} := G(\tilde{\Xi})$ on $Y_\zeta$. Such a functor
defines a class of parametrized contact transformations.
This map fulfils the following properties (see [17]): $\mathcal{G}$ is linear over $id_{Y_\zeta}$; we have $T\pi_\zeta \circ \mathcal{G} = id_{TX} \circ \tilde{\pi}^{(r,k)}$, where $\tilde{\pi}^{(r,k)}$ is the natural projection $Y_\zeta \times_X \mathcal{A}^{(r,k)} \to TX$; for any pair $(\Lambda, \Xi) \in \mathcal{A}^{(r,k)}$, we have $\mathcal{G}([\Lambda, \Xi]) = [\mathcal{G}(\Lambda), \mathcal{G}(\Xi)]$.

We have the coordinate expression of $\mathcal{G}$

$$\mathcal{G} = d^\mu \otimes \partial_\mu + d^\nu_{A} \otimes (Z_{i}^\nu A \partial_i) + d^\nu \otimes (Z_{i}^\nu \partial_i),$$

with $0 < |\nu| < k$, $1 < |\lambda| < r$ and $Z_{i}^\nu A, Z_{i}^\nu \in C^\infty(Y_\zeta)$ are suitable functions which depend only on the fibers of the bundle.

### 2.2 Variations: Lie derivative of sections and vertical parts of gauge-natural lifts

When deriving Euler–Lagrange field equations it is of fundamental importance to be able to say something on how their solutions behave under the action of infinitesimal transformations (automorphisms) of the gauge-natural bundle. The geometric object providing us with such an information is, of course, the Lie derivative. Let $\gamma$ be a (local) section of $Y_\zeta$, $\Xi \in \mathcal{A}^{(r,k)}$ and let us denote $\hat{\Xi} = \mathcal{G}(\Xi)$ its gauge-natural lift. Following [17] we define the generalized Lie derivative of $\gamma$ along the projectable vector field $\hat{\Xi}$ to be the (local) section $\mathcal{L}_\hat{\Xi} \gamma : X \to VY_\zeta$, given by ($\xi$ is the projection vector field on the base manifold)

$$\mathcal{L}_\hat{\Xi} \gamma = T\gamma \circ \xi - \hat{\Xi} \circ \gamma.$$  

Due to the functorial nature of $\hat{\Xi}$, the Lie derivative operator acting on sections of gauge-natural bundles inherits some useful linearity properties and, in particular, it is an homomorphism of Lie algebras. In the view of Noether’s theorems, the interest of the Lie derivative of sections is due to the fact that it is possible to relate it with the vertical part of a gauge-natural lift, i.e. for any gauge-natural lift, we have that

$$\hat{\Xi}_V = -\mathcal{L}_\hat{\Xi}.$$  

Inspired by Noether, we shall restrict allowed variations to vertical parts of gauge-natural lifts.
3 Variationally featured classical ‘gluon’ Higgs fields

As well known the Standard Model is a gauge theory with structure group $G = SU(3) \times SU(2) \times U(1)$. One can consider the coupling with gravity by adding the principal spin bundle $\Sigma$ with structure group $\text{Spin}(1,3)$; the structure bundle of the whole theory can be then taken to be the fibered product $\Sigma = \bar{\Sigma} \times X P$. There is an action of $\text{Spin}(1,3)$ on a spinor matter manifold $V = \mathbb{C}^k$ and therefore a representation $\text{Spin}(1,3) \times SU(3) \times SU(2) \times U(1) \times V$, given by a choice of Dirac matrices for each component of the spinor field. A corresponding Lagrangian is therefore given by

$$\lambda = \bar{\psi} (i \gamma_{\mu} D^\mu - m) \psi - \frac{1}{4} (F^{\mu \nu} F_{\mu \nu}^a + F^{A \mu \nu} F_{A \mu \nu}^a + \mathcal{F}_a^{\mu \nu} \mathcal{F}^{\mu \nu}_a).$$

Experimental evidence concerned with symmetry properties of fundamental interactions shows the phenomenon of spontaneous symmetry breaking suggesting the presence of a scalar field called the Higgs boson on which the spin group acts trivially. A clear introduction to those topics can be found, e.g. in [34].

For an illustrative purpose, let us then restrict to pure gluon fields assumed to be critical sections of the ‘gluon Lagrangian’ $\lambda_{\text{gluon}} = -\frac{1}{4} F^{\mu \nu}_a F_{\mu \nu}^a$.

In this note, we shall therefore restrict to a principal bundle $\Sigma$ with structure group $G = SU(3)$, such that $\Sigma/SU(3) = X$ and $\dim X = 4$.

Recall that $W_4^{(1,1)} G$ is the semi-direct product of $GL(4, \mathbb{R})$ on $T_4^1 G$, where $GL(4, \mathbb{R})$ is the structure group of linear frames in $\mathbb{R}^4$.

The set $\{ j^k_0 \alpha : \alpha : \mathbb{R}^4 \to \mathbb{R}^4 \}$, with $\alpha(0) = 0$ locally invertible, equipped with the jet composition $j^k_0 \alpha \circ j^k_0 \alpha' := j^k_0 (\alpha \circ \alpha')$ is a Lie group called the $k$-th differential group and denoted by $G^k_4$. For $k = 1$ we have, of course, the identification $G^1_4 \simeq GL(4, \mathbb{R})$. The principal bundle over $X$ with group $G^k_4$ is called the $k$-th order frame bundle over $X$, $L_k(X)$. For $k = 1$ we have the identification $L_1(X) \simeq LX$, where $LX$ is the usual bundle of linear frames over $X$.

Unlike $J_1 \Sigma$, $W^{(1,1)} \Sigma$ is a principal bundle over $X$ with structure group

$$W^{(1,1)}_4 G = T_4^1 SU(3) \times GL(4, \mathbb{R})$$

$T_4^1 SU(3)$ being the Lie group of $(4,1)$-velocities of $SU(3)$ (if $u : \mathbb{R}^4 \to SU(3)$, a generic element of $j^1_0 u \in T_4^1 SU(3)$ is represented by $g^b = u^b(0)$ and $g^b_\nu = (\partial_\nu (g^{-1} \cdot u(x)))|_{x=0})^b$). The group multiplication on $W^{(1,1)}_4 G$ being

$$(j^1_0 \alpha, j^1_0 \beta) \circ (j^1_0 \beta, j^1_0 b) \cong (j^1_0 (\alpha \circ \beta), j^1_0 ((\alpha \circ \beta) \cdot b)).$$
and denoting by $\cdot_r$ the right action of $SU(3)$ on $\Sigma$, the right action of $W^{(1,1)}_4 G$ on $W^{(1,1)} \Sigma$ is then defined by

$$(j^1_0 \rho, j^1_0 \sigma) \circ (j^1_0 \alpha, j^1_0 a) = (j^1_0 (\rho \circ \alpha), j^1_0 (\sigma \cdot_r (a \circ \alpha^{-1} \circ \rho^{-1}))).$$

**Remark 3.1** It is known that the bundle of principal connections on $\Sigma$ is a gauge-natural bundle associated with the gauge-natural prolongation $W^{(1,1)} \Sigma$. Indeed, consider the action $\zeta$ induced by the adjoint representation:

$$\zeta : W^{(1,1)}_4 G \times (\mathbb{R}^4)^* \otimes \mathfrak{su}(3) \rightarrow (\mathbb{R}^4)^* \otimes \mathfrak{su}(3)$$

$$((g^b, g^b_\alpha, \alpha^\sigma_\rho), f^a_\nu) \mapsto (Ad_g)^b_a (f^b_\sigma - g^b_\sigma) \bar{\alpha}^\sigma_\nu,$$

where $(Ad_g)^b_a$ are the coordinate expression of the adjoint representation of $G = SU(3)$ and $g^b, g^b_\alpha$ denote natural coordinates on $T^4_1 SU(3)$. The sections of the associated bundle

$$\mathcal{C}(\Sigma) \cong W^{(1,1)} \Sigma \times_\zeta (\mathbb{R}^4)^* \otimes \mathfrak{su}(3) \rightarrow X$$

are in 1 to 1 correspondence with the principal connections on $\Sigma$ and are called $SU(3)$-connections. Clearly, by construction, $\mathcal{C}(\Sigma)$ is a $(1, 1)$-order gauge-natural affine bundle; see e.g. [17] and [9] for some details, especially presentations in local coordinates, and applications in Physics.

Note that the Lie algebra of $W^{(1,1)}_4 SU(3)$ is the semi-direct product of $\mathfrak{gl}(4, \mathbb{R})$ with the Lie algebra, $t^4_1 \mathfrak{su}(3)$, of $T^4_1 SU(3)$. It is easy to characterize the semi-direct product of the two Lie algebras, from now on denoted by $\mathcal{S}$, as the direct sum $t^4_1 \mathfrak{su}(3) \oplus \mathfrak{gl}(4, \mathbb{R})$ with a bracket induced by the right action of $GL(4, \mathbb{R})$ on $T^4_1 SU(3)$ given by the jet composition, in particular by the induced Lie algebra homomorphism $t^4_1 \mathfrak{su}(3) \rightarrow \text{hom}(\mathfrak{gl}(4, \mathbb{R}));$ given a base of $t^4_1 \mathfrak{su}(3) \rtimes \mathfrak{gl}(4, \mathbb{R});$ the adjoint representation of the Lie group $W^{(1,1)}_4 SU(3)$ is also readily defined (see e.g. [16], and [11] §1.3).

Local coordinates on $W^{(1,1)}_4 SU(3)$ are given by $(g^b, g^b_\alpha, \alpha^\sigma_\rho)$, and let us denote the induced local coordinates on $\mathcal{S}$ by $(Y^a, Y^a_\mu, X^\mu_\sigma)$. Local generators of the tangent space are of course partial derivative with respect to such local coordinates.

Consider the right action $R_{\hat{g}} : W^{(1,1)} \Sigma \rightarrow W^{(1,1)} \Sigma$, $\hat{g} \in W^{(1,1)}_4 SU(3)$. Let $\Xi$ be a right invariant vector field on $W^{(1,1)} \Sigma$. In coordinates we have

$$\Xi = \xi^\lambda \partial_\lambda + \Xi^A \hat{b}_A$$

where $(\hat{b}_A)$ is the base of vertical right invariant vector fields on $W^{(1,1)} \Sigma$ which are induced by the base $(b_A)$ of $\mathcal{S}$ (here the index $A$
encompasses all indices in the Lie algebra \( \mathcal{S} \). They are sections of the bundle \( TW^{(1,1)} \Sigma / W^4_{(1,1)} SU(3) \to X \). We have \( \tilde{\mathfrak{b}}_A = (R_\mathfrak{g})^B_A \partial_B \), where the invertible matrix \( (R_\mathfrak{g})^B_A \) is the matrix representation of \( T\mathfrak{R}_\mathfrak{g} \). It is clear that so-called Gell-Mann matrices \( \lambda_a \) are matrix representations of \( \mathfrak{b}_a \) and they therefore induce \( \tilde{\mathfrak{b}}_a \) in the standard way. Analogously a matrix representation can be obtained for \( \mathfrak{b}_a^\mu \), and \( \mathfrak{b}_a^\mu \), being essentially \( T^1_4 SU(3) \rtimes GL(4, \mathbb{R}) \simeq (SU(3) \times (\mathbb{R}^4)^* \otimes \mathfrak{su}(3)) \rtimes GL(4, \mathbb{R}) \).

### 3.1 Split reductive structure induced by gauge-natural invariant ‘gluon’ Lagrangians

The linearity properties of the gauge-natural lift \( \hat{\Xi} \) of infinitesimal automorphisms of \( W^{(1,1)} \Sigma \) to the bundle \( C(\Sigma) \) of \( SU(3) \)-connections (see e.g. [9] for the coordinate expressions) enable to suitable define a gauge-natural generalized Jacobi morphism associated with a Lagrangian \( \lambda \) and the variation vector field \( \hat{\Xi}_V \), the vertical part of \( \hat{\Xi} \), i.e. the bilinear morphism

\[
\mathcal{J}(\lambda_{\text{gluon}}, \hat{\Xi}_V) = \hat{\Xi}_V | \mathcal{E}(\hat{\Xi}_V | \mathcal{E}(\lambda_{\text{gluon}})),
\]

where \( \mathcal{E} \) is the Euler–Lagrange morphism on the jet space of \( Y \equiv C(\Sigma) \), while \( \hat{\mathcal{E}} \) is the Euler–Lagrange morphism on the space extended by the components of \( \hat{\Xi}_V \) [23, 24].

Gauge-natural lifts of infinitesimal principal automorphisms the vertical part of which are in the kernel \( \mathfrak{R} = \ker \mathcal{J}(\lambda_{\text{gluon}}, \hat{\Xi}_V) \) are called generalized gauge-natural Jacobi vector fields and generate canonical covariant conserved quantities [22, 23, 26]. They have the property that the Lie derivative of critical sections are still critical sections, i.e. their flow leave invariant the equations and the set of critical sections (although in general they could be not symmetries of the Lagrangian). Such a kernel is a sub-algebra of the Lie algebra of vertical tangent vector field; from a theoretical physics point of view it can be interpreted as an internal symmetry algebra (see later). An explicit description of \( \mathfrak{R} \) for \( \lambda_{\text{gluon}} \) is obtained from the equation \( \mathcal{J} = 0 \), by inserting the corresponding Euler–Lagrange expressions and the vertical parts of gauge-natural lifts.

We first recall that, in a general context, the kernel of the gauge-natural Jacobi morphism associated with a gauge-natural invariant Lagrangian determines a split reductive structure [25].
Theorem 3.2 The kernel $\mathfrak{K}$ defines a canonical split reductive structure on $W^{(r+4s,k+4s)}P$.

Proof. Let $\mathfrak{h}$ be the Lie algebra of right-invariant vertical vector fields on $W^{(r+4s,k+4s)}P$ and $\mathfrak{k}$ the algebra of generalized Jacobi vector fields. It is well known that the Jacobi morphism is self-adjoint along critical sections (it was proved in [15] for first order field theories and in [1] for higher order field; this property has been also proved to hold true along any section modulo divergences [13] and within the variational sequence on the vertical bundle of the relevant fibered manifold [24]). Therefore we have that $\dim \mathfrak{K} = \dim \text{Coker} \mathcal{J}$. If we further consider that $\mathfrak{K}$ is of constant rank [24] (and thus $\mathfrak{k}$ is a Lie sub-algebra), we get a split structure on $\mathfrak{h}$, given by $\mathfrak{k} \oplus \text{Im} \mathcal{J}$.

It is easy to see that the Lie derivative with respect to vertical parts of the commutator between the gauge-natural lift of a Jacobi vector field and (the vertical part of) a lift not lying in $\mathfrak{K}$ is not a solution of Euler–Lagrange equations. Thus, we have the reductive property $[\mathfrak{k}, \text{Im} \mathcal{J}] = \text{Im} \mathcal{J}$ [23, 24, 26].

Since the action is effective, the Lie algebra of fundamental vector fields (right-invariant vertical vector fields on $W^{(r+4s,k+4s)}P$) and the corresponding Lie sub-algebra (Jacobi right-invariant vertical vector fields on $W^{(r+4s,k+4s)}P$) are isomorphic to the corresponding Lie algebras of the Lie groups of the respective principal bundles.

3.2 Canonical reduction of $W^{(1,1)}\Sigma$

We remark that in the case of an $SU(3)$-connection, the canonical reductive structure is defined on each fiber of $VW^{(1,1)}\Sigma/W_4^{(1,1)}SU(3)$. Denote then $\mathcal{S} = \mathfrak{h}$, $\mathcal{R} = \mathfrak{k}$ and $\mathcal{V} = \text{Im} \mathcal{J}$; by the theorem above, we have a reductive Lie algebra decomposition $\mathcal{S} = \mathfrak{t}_1\mathfrak{su}(3) \times \mathfrak{gl}(4, \mathbb{R}) = \mathcal{R} \oplus \mathcal{V}$, with $[\mathcal{R}, \mathcal{V}] = \mathcal{V}$, where $\mathcal{S}$ is the Lie algebra of the structure Lie group $W_4^{(1,1)}SU(3)$. Note that there exists an isomorphism between $\mathcal{V} = \text{Im} \mathcal{J}_p$ and $T_x X$ so that $\mathcal{V}$ turns out to be the image of an horizontal subspace. In the case of a $W_4^{(1,1)}SU(3)$ gauge-natural bundle, let us denote by $\mathcal{R}$ the Lie group of the Lie sub-algebra $\mathfrak{k}$. As we show in the following, we get a reduction of the principal bundle $W_4^{(1,1)}SU(3)$.

Indeed, in the following we state the existence of a principal bundle $\mathcal{H} \to X$, where $\mathcal{R}$, the Lie group of the Lie algebra $\mathcal{R}$, is a closed subgroup of
$W^{(1,1)}_4 SU(3)$. The principal sub-bundle $H \subset W^{(1,1)}_4 \Sigma$ is then a reduced principal bundle. The Lie algebra $\mathcal{R}$ is a reductive Lie sub-algebra of $t^4_1 \mathfrak{su}(3) \rtimes \mathfrak{gl}(4, \mathbb{R}) \simeq (\mathfrak{su}(3) \rtimes (\mathbb{R}^4)^* \otimes \mathfrak{su}(3)) \rtimes \mathfrak{gl}(4, \mathbb{R}) \simeq \mathfrak{su}(3) \oplus ((\mathbb{R}^4)^* \otimes \mathfrak{su}(3) \rtimes \mathfrak{gl}(4, \mathbb{R})) \simeq (\mathfrak{su}(3) \oplus \mathfrak{gl}(4, \mathbb{R}) \oplus ((\mathbb{R}^4)^* \otimes \mathfrak{su}(3))$. Such a split reductive structure thus ‘generates’ a canonical (although not natural), variationally induced, breaking of the symmetry group $W^{(1,1)}_4 SU(3)$, i.e. generates classical Higgs fields in the sense defined later on.

The (gauge-natural) Jacobi fields are (generated by) a Lie sub-algebra of fundamental vector fields on $W^{(1,1)}_4 SU(3)$; the crucial point here is indeed to characterize such a Lie sub-algebra.

### 3.3 Split reductive structures and Higgs fields in the case of $SU(3)$-connections

Let us rephrase the above result for our specific case of study.

We have the composite fiber bundle (see [12, 27])

$$W^{(1,1)}_4 \Sigma \to W^{(1,1)}_4 \Sigma/R \to X,$$

where $W^{(1,1)}_4 \Sigma/R = W^{(1,1)}_4 \Sigma \times_{W^{(1,1)}_4 SU(3)} W^{(1,1)}_4 SU(3)/R \to X$ is a gauge-natural bundle functorially associated with $W^{(1,1)}_4 \Sigma \times W^{(1,1)}_4 SU(3)/R \to X$ by the right action of $W^{(1,1)}_4 SU(3)$.

The left action of $W^{(1,1)}_4 SU(3)$ on $W^{(1,1)}_4 SU(3)/R$ is defined by the reductive Lie algebra decomposition.

**Definition 3.3** According to [35, 37], we call a global section $h : X \to W^{(1,1)}_4 \Sigma/R$ a classical gluon Higgs field.

A global section $h$ of $W^{(1,1)}_4 \Sigma/R \to X$ defines a vertical covariant differential and therefore the Lie derivative of fields is constrained and it is parametrized by gluon Higgs fields $h$ characterized by $\mathcal{R}$ [28, 29].

### 3.4 Higgs fields as Cartan connections

Turning back to the case of a generic principal bundle $P$, once we have solutions of the Jacobi equations we would like to characterize them as the fundamental vector fields of a reduced principal sub-bundle of $P$, which
we shall denote by $Q$. We can then obtain the Lie sub-algebra as the Lie algebra of invariant vectors produced by the vertical parallelism of a principal connection on $Q$ (see in particular [2]).

In other words, we should be able to recognize that the Jacobi equations select among vertical parts of gauge-natural lifts those vector fields which reproduce invariant tangent vectors on the reduced Lie group. To do this we have to know or recognize the action of the Lie sub-group of $Q$. This action emerges from the structure of split reductive decomposition.

Let now $\text{rank} \ker J = \text{dim} X$. It is noteworthy that a specific kind of Cartan connection is defined by the intrinsic structure of an invariant Lagrangian theory by means of the kernel of the Jacobi morphism. For a characterization of the bundle of Cartan connections as a gauge-natural bundle, see [33].

The following is a general result for invariant Lagrangian theories on gauge-natural bundles; see also [27].

**Proposition 3.4** Let $\text{rank} \ker J = \text{dim} X$. Let $W$ be the Lie algebra of the Lie group of the principal bundle $W^{(r,k)}P$. A principal Cartan connection is canonically defined by gauge-natural invariant variational problems of finite order.

**Proof.** Since $\mathfrak{K}$ is a vector sub-bundle of $A^{(r,k)} = T(W^{(r,k)}W_{n}^{(r,k)}G$ there exists a principal sub-bundle $Q \subset W^{(r,k)}P$ such that $\text{dim} Q = \text{dim} W$, $\mathcal{K} = TQ/K|_{Q}$, where $K$ is the (reduced) Lie group of the Lie algebra $\mathcal{K}$ and the embedding $Q \rightarrow W^{(r,k)}P$ is a principal bundle homomorphism over the injective group homomorphism $K \rightarrow W_{n}^{(r,k)}G$.

Now, if $\omega$ is a principal connection on $W^{(r,k)}P$, the restriction $\omega|_{Q}$ is a Cartan connection of the principal bundle $Q \rightarrow X$. In fact, let us consider a principal connection $\bar{\omega}$ on the principal bundle $Q$ i.e. a $\mathcal{K}$-invariant horizontal distribution defining the vertical parallelism $\bar{\omega} : VQ \rightarrow \mathcal{K}$ by means of the fundamental vector field mapping in the usual and standard way. Since $\mathcal{K}$ is a sub-algebra of the Lie algebra $\mathcal{W}$ and $\text{dim} Q = \text{dim} \mathcal{W}$, it is defined a principal Cartan connection of type $\mathcal{W}/\mathcal{K}$, that is a $\mathcal{W}$-valued absolute parallelism $\hat{\omega} : TQ \rightarrow \mathcal{W}$ which is an homomorphism of of Lie algebras, when restricted to $\mathcal{K}$, preserving Lie brackets if one of the arguments is in $\mathcal{K}$, and such that $\bar{\omega} = \hat{\omega}|_{VQ}$, that means that $\hat{\omega}$ is an extension of the natural vertical parallelism.

Such a connection $\hat{\omega}$ is defined as the restriction of the natural vertical parallelism defined by a principal connection $\omega$ on $W^{(r,k)}P$ by means of
the fundamental vector field mapping \( \omega : VW^{(r,k)}P \to W \) to \( TQ \). This restriction is, in particular, \( K \)-invariant since is by construction \( W \)-invariant.

The definition is well done since \( TQ \subset VW^{(r,k)}P \) holds true as a consequence of the split reductive structure on \( W^{(r,k)}P \). In particular, \( \forall q \in Q \), we have \( T_qQ \cap H_q = 0 \), where \( H_q \), \( \forall p \in W^{(r,k)}P \) is defined by \( \omega \) as \( T_pW^{(r,k)}P = V_pW^{(r,k)}P \oplus H_p \); furthermore, \( \dim X = \dim W/K \) [40].

**Example 3.5** Let a Lagrangian theory on a \( SU(3) \)-principal bundle \( \Sigma \) satisfies the condition \( \text{rank} \ker J = \dim X \). Let then \( \omega \) denotes a principal connection on \( W^{(1,1)}\Sigma \); \( \bar{\omega} \) principal connection on the reduced principal bundle \( H \) defines the splitting \( T_pH \simeq R \oplus H_p \), \( p \in H \). Note that, for each \( q \in W^{(1,1)}\Sigma \), \( T_qW^{(1,1)}\Sigma \simeq \omega V_qW^{(1,1)}\Sigma \oplus H_q \). We find that \( V_qW^{(1,1)}\Sigma \simeq T_qH \simeq \bar{\omega} R \oplus H_q \), \( q \in H \), i.e. Cartan connection \( \bar{\omega} \) of type \( S/R \) is defined, such that \( \bar{\omega}|_{VH} = \bar{\omega} \) [27]. It is a connection on \( W^{(1,1)}\Sigma = H \times_R W^{(1,1)}SU(3) \to X \), thus a Cartan connection on \( H \to X \) with values in \( S \), the Lie algebra of the gauge-natural structure group of the theory; it splits into the \( R \)-component which is a principal connection form on the \( R \)-manifold \( H \), and the \( V \)-component which is a displacement form; see [2] for the geometric frame and for the terminology. A gauge-natural Higgs field is therefore a global section of the Cartan horizontal bundle \( \hat{H}_p \), with \( p \in H \), it is related with the displacement form defined by the \( V \)-component of the Cartan connection \( \bar{\omega} \) above. The case of Yang–Mills theories satisfying the rank assumption of Proposition 3.4 will be the object of separate researches.

### 3.5 An application to Yang–Mills type Lagrangians on a Minkowskian background

As for a manageable example of application, let us consider Yang–Mills theories on a Minkowskian background, i.e. the space-time manifold is equipped with a fixed *Minkowskian metric* (i.e. assume we can choose a system of coordinates in which the metric is expressed in the *diagonal form* \( \eta_{\mu \nu} \)); for details about this example, see [1].

Note that, as we shall see, in the case of a ‘gluon’ Lagrangian on a Minkowskian background, the the rank assumption of Proposition 3.4 is not satisfied; however, although a Cartan connection cannot be given in this case, we still get a principal bundle reduction. Indeed, in the specific case of study, if we would have \( \text{rank} \ker J = \dim X \) the corresponding Jacobi equations would not admit non zero solutions, i.e. we could not construct a Cartan
connection because \( \ker J \) would be trivial. When \( \text{rank} \ker J < \text{dim} X \) (in our example this corresponds to some feature of the curvature) the Jacobi equations admit non zero solutions and principal bundle reductions are obtained.

In the following it is assumed that the structure bundle of the theory has a semi-simple structure group \( G \). In this example, lower Greek indices label space-time coordinates, while capital Latin indices label the Lie algebra \( \mathfrak{g} \) of \( G \). Then, on the bundle of principal connections, introduce coordinates \((x^\mu, \omega^A_\sigma)\). Consider the Cartan-Killing metric \( \delta \) on the Lie algebra \( \mathfrak{g} \), and choose a \( \delta \)-orthonormal basis \( T_A \) in \( \mathfrak{g} \); the components of \( \delta \) will be denoted \( \delta_{AB} \) they raise and lower Latin indices; by \( c_{EF}^D \) we denote the structure constants of the Lie algebra. Let

\[
\Xi = \Xi^Z(x^\mu, \omega^A_\sigma) \frac{\partial}{\partial \omega^Z_\sigma},
\]

be a vertical vector field on the bundle of connections. On the bundle of vertical vector fields over the bundle of connections, an induced connection (recall that a Minkowskian background is assumed) is defined by

\[
\tilde{\Omega} = dx^\mu \otimes \left( \frac{\partial}{\partial x^\mu} - \omega^B_\sigma(x, \phi) \frac{\partial}{\partial \omega^B_\sigma} \right) = dx^\mu \otimes \nabla^\mu.
\]

For any pair \((\nu, B)\), the Jacobi equation for the Yang-Mills Lagrangian can be suitably written as

\[
\eta^{\nu \sigma}_\nu \eta^{\beta \alpha}_\beta \left\{ \nabla_\beta \left[ (\nabla_\alpha \Xi^A_\sigma - \nabla_\sigma \Xi^A_\alpha) \delta_{BA} \right] + F^D_{\nu \sigma} \delta_{AD} c^A_{BZ} \Xi^Z_\alpha \right\} = 0,
\]

(this result was obtained in [1]).

Let us work out the meaning of these Jacobi equations. Note now that, due to the antisymmetry of \( F^D_{\nu \sigma} \) in the lower indices, these equations split in the antisymmetric and symmetric parts

\[
\eta^{\nu [\sigma \eta^{\beta] \alpha}} \left\{ \nabla_\beta \left[ (\nabla_\alpha \Xi^A_\sigma - \nabla_\sigma \Xi^A_\alpha) \delta_{BA} \right] + F^D_{\nu \sigma} \delta_{AD} c^A_{BZ} \Xi^Z_\alpha \right\} = 0,
\]

and

\[
\eta^{\nu (\sigma \eta^{\beta)} \alpha} \left\{ \nabla_\beta \left[ (\nabla_\alpha \Xi^A_\sigma - \nabla_\sigma \Xi^A_\alpha) \delta_{BA} \right] \right\} = 0.
\]

On the other hand, on a Minkowskian background as defined above, \( \eta^{\beta \alpha} = 0 \) when \( \alpha \neq \beta \), therefore the only non zero terms are given for \( \alpha = \beta \), in which
case the second equation turns out to be an identity, while the first one gives us the following algebraic constraints

$$\eta^{\nu[\sigma} \eta^{\beta]} \{ F^D_{\beta\sigma} c_{DBZ} \Xi^Z_\alpha \} = 0,$$

for each \( \nu = \sigma \) and \( \alpha = \beta \) and for each \( B \).

In particular multiplying for \( b_B \) and summing up, we get

$$\eta^{\nu[\sigma} \eta^{\beta]} \{ F^D_{\beta\sigma} [b_D, b_Z] \Xi^Z_\alpha \} = 0,$$

for each \( \nu = \sigma \) and \( \alpha = \beta \), i.e.

$$\eta^{0[0} \eta^{\beta]} \{ F^D_{30} [b_D, b_Z] \Xi^Z_\alpha \} = 0,$$
$$\eta^{1[1} \eta^{\beta]} \{ F^D_{31} [b_D, b_Z] \Xi^Z_\alpha \} = 0,$$
$$\eta^{2[2} \eta^{\beta]} \{ F^D_{32} [b_D, b_Z] \Xi^Z_\alpha \} = 0,$$
$$\eta^{3[3} \eta^{\beta]} \{ F^D_{33} [b_D, b_Z] \Xi^Z_\alpha \} = 0,$$

which give us

$$-F^D_{01} [b_D, b_Z] \Xi^Z_1 - F^D_{20} [b_D, b_Z] \Xi^Z_2 - F^D_{30} [b_D, b_Z] \Xi^Z_3 = 0,$$
$$-F^D_{01} [b_D, b_Z] \Xi^Z_0 + F^D_{31} [b_D, b_Z] \Xi^Z_2 + F^D_{21} [b_D, b_Z] \Xi^Z_2 = 0,$$
$$-F^D_{02} [b_D, b_Z] \Xi^Z_0 + F^D_{12} [b_D, b_Z] \Xi^Z_1 + F^D_{32} [b_D, b_Z] \Xi^Z_3 = 0,$$
$$-F^D_{03} [b_D, b_Z] \Xi^Z_0 + F^D_{13} [b_D, b_Z] \Xi^Z_1 + F^D_{23} [b_D, b_Z] \Xi^Z_2 = 0.$$

In general, we get constraints on the components \( \Xi^Z_\mu \) of vertical vector fields lying in the kernel of the Jacobi morphism.

As a first example of application, when non zero solutions exist, it is easy to check that if \( G = SU(2) \times U(1) \), by inserting the Lie brackets of the corresponding Lie algebra the above equations reduce to a set of three identical equations for each \( Z = 1, 2, 3 = \dim SU(2) \), given by \( \tilde{F}_{\alpha\beta} \Xi^Z_\alpha = 0 \), where \( \tilde{F}_{\alpha\beta} = F^1_{\alpha\beta} = F^2_{\alpha\beta} = F^3_{\alpha\beta} \), while the presence of null brackets of
the generator of $U(1)$ with generators of $SU(2)$ leave $\Xi^a_\alpha$ free. We get an underdetermined system (made of only one equation) for $\Xi^a_Z$, for $Z = 1, 2, 3$, from which, considering $\Xi^a_Z$ as gauge natural lifts, and taking into account the Lie algebra brackets relations, we get $b_1 = b_2 = b_3 = 0$, while $b_4$ remains free. We have therefore a reduction of $SU(2) \times U(1)$ to $U(1)$ (similarly to spontaneous symmetry breaking).

Let us now come back to the case of $SU(3)$-connections. Working out the Jacobi equations with the $su(3)$ Lie algebra brackets, under the same conditions, we get again $R = U(1)$ and an Aloff-Wallach space $\mathcal{V} = SU(3)/U(1)$ is reductive in the split structure. We stress once more that the above is a consequence of the requirement of the existence of canonical covariant gauge-natural conserved quantities.

The calculations above can be applied to the Lie algebra of the structure group of the $(1, 1)$-gauge-natural bundle of principal connections $W^{(1,1)}_4 SU(3) = T^A_4 SU(3) \times GL(4, \mathbb{R}) \simeq (SU(3) \times (\mathbb{R}^4)^* \otimes su(3)) \times GL(4, \mathbb{R}) \simeq [(\mathbb{R}^4)^* \otimes su(3) \otimes GL(4, \mathbb{R})] \rtimes SU(3)$.

Indeed, let us specialize to vertical vector fields on the bundle of connections which are gauge-natural lifts, i.e. (according with [9] p. 95) for $\hat{\Xi}^Z_\alpha = d_\alpha \Xi^Z + c^Z_L M \omega^M_\alpha$, where $\Xi^Z(x)b_Z = \Xi^L(x)(TR_\theta)^L_Z \partial_L$ is an infinitesimal gauge automorphism of the underlying $SU(3)$ principal bundle. We see that only the Lie algebra $su(3)$ play a rôle in the expressions of the gauge-natural lift $\hat{\Xi}^Z_\alpha$; we can therefore still apply the above equations (obtained for simplicity in the case of a semi-simple group) and obtain that $\mathcal{V} = W^{(1,1)}_4 SU(3)/U(1)$ is reductive in the split structure.

In particular, for any vertical lift, $(L_{\hat{\Xi}} \omega)_\mu^A = -d_\mu \Xi^A - c^A_{BC} \xi^B_\mu \omega^C_\mu = -(\hat{\Xi}_V)_\mu^A$, we see that, as expected, $(\hat{\Xi}_V)_\mu^A = \hat{\nabla}_\mu^A = \nabla_\mu^A$, i.e. the vertical part of a gauge-natural lift of a vertical vector field coincides with the gauge-natural lift itself and equals a suitably defined covariant derivative of $\Xi^Z(x)$. Therefore, it is now clear that also the Lie derivative of fields is constrained (a fact pointed out in [25, 29]). Let us then consider vertical tangent vector fields which are fundamental vector fields; in this case $\Xi^Z$ have to be constants and we have that $d_\alpha \Xi^Z = 0$. Being in this case $\Xi^Z_\mu = c^Z_L M \omega^M_\mu$, the above implies that $\omega^M_\mu$ is constrained (see also [11, 12]).

Note that the results obtained in the present example, in principle, could be extended to a Yang–Mills theory on a generic metric space-time, the restriction to a Minkowskian background being here mainly motivated by the fact that calculations are simplified. Nonetheless, already at this simple
level they provide physically important consequences; indeed the relation with confinement phases in non-abelian gauge theories [39] deserves further study. As for the interest in Physics, it is also worth to mention the possibility to extend the concept of a Higgs field defined here to principal superbundles in the category of $G$-supermanifolds; see in particular [36].

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