Language Processing in Toddlers with Permanent Congenital Sensorineural Hearing Impairment: A Preliminary Functional Magnetic Resonance Imaging (fMRI) Study

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Abstract

Objective: To evaluate the utility of functional magnetic resonance imaging (fMRI) in toddlers under sedation (with permanent congenital sensorineural hearing impairment) who are candidates for Cochlear Implantation (CI).

Methods: Infants with normal hearing (NH) and with bilateral (severe to profound) sensorineural hearing impairment (HI) participated in a clinically indicated fMRI study under sedation. All subjects were 9-24 months of age. NH subjects were recruited from the clinical MRI schedule for non-hearing related scan procedures.

Results: NH infants activated language-related brain regions (superior temporal and angular gyri) under sedation. These brain regions are typically activated in older children during similar fMRI language tasks. In contrast to the NH group, the HI group exhibited strong frontal activation. This suggests different sound processing mechanisms in HI compared to the NH group.

Conclusion: Infants with residual hearing elicited greater fMRI BOLD activation in auditory and language brain regions in response to auditory stimulation. Residual hearing in infants before CI is known to be an effective predictor for enhanced spoken language abilities post CI. The results of this preliminary study suggest that fMRI can be an effective clinical prescreening-tool for predicting and monitoring the benefits of CI-induced amplification-ensuring timely intervention strategies in HI infants.

Introduction

Undetected hearing loss in infants is known to adversely affect early speech and language acquisition-directly affecting later academic achievement, including social and emotional development in children. Early detection of sensorineural hearing impairment (HI) in children can minimize these negative outcomes through enrollment in targeted intervention programs [1]. Universal newborn hearing and screening programs in the United States have reduced the average age of diagnosis of congenital hearing loss [2].
hearing impairment to 3-6 months of age, increasing the likelihood for appropriate and successful early intervention in these infants [2].

Based on behavioral data, several investigations suggest that early cochlear implantation (CI) might take advantage of the inherent neuronal flexibility during critical periods of auditory based learning [3-9]. As suggested by Niparko et al., the timing of implantation must be a function of the level of hearing loss and the associated delays in spoken language ability [4]. They also reported that greater rates of improvement in language comprehension and expression are significantly correlated with both age and residual hearing at implantation. In addition, higher ratings of parent-child interactions, and higher socioeconomic status have a positive impact on the rates of improvement in language comprehension and expression measures after implantation. Behavioral studies in CI have shown notoriously high variance in result outcomes [4-7]. Nevertheless, the use of cochlear implants in young children has resulted in better spoken language abilities than would be predicted from pre-implantation scores [4].

Spoken language learning relies on effective hearing; developing objective tools that can evaluate residual hearing to gauge the benefits of amplification may vastly improve later spoken language capabilities in CI children. Such tools can provide timely information on when to proceed with cochlear implantation. Otoacoustic emissions (OAE), and auditory brainstem response (ABR) testing are available for objectively evaluating peripheral auditory functions from the cochlea to the lower brainstem. These two measurements can provide information about signal transduction and propagation along the central auditory nervous system pathway with excellent temporal resolution, albeit with relatively poor spatial resolution.

Functional Magnetic Resonance Imaging (fMRI) is a technique that detects brain activation using changes in the Blood Oxygenation Level Dependent (BOLD) contrast. There is a close relationship between the neuronal activity underlying brain stimulation and this BOLD contrast, which affects the MRI signal. Thus, the BOLD effect can provide indirect evidence for auditory and language stimulation in the cortex [10]. The goal of the current preliminary investigation is; therefore, to determine the feasibility and utility of fMRI in the evaluation process for CI in toddlers with permanent congenital sensorineural hearing impairment (HI). This research would extend the findings of a previous study in older HI children [11].

The study had the Cincinnati Children’s Hospital Medical Center (CCHMC) Institutional Review Board’s approval, and the written and informed consent of the guardians of all subjects. The fMRI scanning was added to the end of clinical scans.

**Methods**

**Subjects**

Forty-three toddlers, aged 9-24 months, participated in a clinically indicated MRI brain study under sedation [either with Propofol (n=21), Nembutal (n=17) or Sevoflurane (n=5)]: 22 normal hearing (NH) and 21 with bilateral severe to profound sensorineural hearing impairment (HI). NH subjects were recruited from the clinical MRI schedule and met the inclusion criteria: gestational age of at least 36 weeks and normal otoacoustic hearing emissions. A neuroradiologist reviewed the anatomical brain scans to confirm that the neuroanatomy of the auditory cortex appeared within normal limits for the infants’ age (Tables 1a & 1b).

**Table 1a: Table of Subjects.**

| Number of Subjects | Referral |
|--------------------|----------|
| 8                  | Seizures |
| 5                  | Visual/Eye Pathologies |
| 2                  | R/O Mass |
| 2                  | Macrocephaly/Developmental or Motor Delay |
| 1                  | Pierre Robin Sequence |
| 1                  | Torticollis |
| 1                  | Vomiting/Feeding Disorder |
| 1                  | Obstructive Sleep Apnea |
| 1                  | Lower Extremity Clonus |

*Note: Average age for normal hearing subjects were 12.25 ± 2.88 (range 7-17) months.*

The study had the Cincinnati Children’s Hospital Medical Center (CCHMC) Institutional Review Board’s approval, and the written and informed consent of the guardians of all subjects. The fMRI scanning was added to the end of clinical scans.

**fMRI Paradigms and Image Acquisition**

Hemodynamics Unrelated to Sounds from Hardware (HUSH) is an event-related fMRI acquisition and post-processing technique that allows auditory stimuli to be presented during completely silent gradient intervals [12,13]. The stimulation paradigm consisted of narrow band noise (NBN), speech, or silence (5 second segments). They were all interleaved with 6 second acquisition periods [12]. All images were acquired on a 3.0 Tesla Siemens Trio MRI scanner with the following parameters: TR/TE = 2000/38 msec, flip angle = 77.60, 6 sec. acquisition period, 5 sec. stimulus duration, 5 sec control period. Each stimulus acquisition epoch lasted for 11 seconds (Figure 1).
Figure 1: The three-phase event related fMRI auditory paradigm in which the stimuli are presented during completely silent gradient intervals. During each phase, 3 Echo Planar Imaging (EPI) volumes were acquired at 2 seconds per volume; each volume comprising 25 slices of 4mm thickness and 3 x 3 mm in-plane resolution. The total fMRI acquisition time was 11 minutes (NBN: Narrow Band Noise) [12].

Sedation

The CCHMC routinely sedates pediatric patients under the age of 7 years for clinical MRI procedures. The infants were sedated for the clinical neuroimaging exam using either Propofol (IV drip 200-300 mcg/Kg/min, with 8% Sevoflurane induction for most subjects), or Nembutal (3.0-7.0 mg/kg oral or IV) as determined by the anesthesiologist participating in the study.

Audiometric Measurements

Audiometric data, including behavioral and speech awareness thresholds (SAT), were obtained from each infant prior to cochlear implantation. The Minimal Response Level Average (MRLA) was calculated by averaging the available threshold values at 0.5, 1, 2, and 4 kHz, respectively. If a child did not respond to the maximum sound level of the audiometer, the maximum was taken as the reading—although the true threshold was likely higher. Behavioral thresholds were also collected post implantation. For bilateral CI recipients, the calculation of MRLA was based on thresholds obtained with both CIs. For unilateral recipients, the average was calculated based on thresholds obtained with either their left or right CIs.

The difference in pre- and post-MRLA was used in a subsequent correlation analysis with brain activation. In the case where pre-MRLA was not available, the pre- pure tone average (PTA) in the sound-field was used. If the subject had received bilateral (sequential or simultaneous) implants, the pre-sound-field PTA was used. Alternatively, the left (or right) MRLA average was used in cases where the sound-field was not available. Because the correlation was performed on a small subset of infants (less than 24 months of age) sedated with Propofol (n=8), a non-parametric Spearman’s rank correlation method was used to examine the relationship between auditory activation and improvement in MRLA after CI.

Data Processing

Data processing and statistical analyses were performed using the CCHMC’s Image Processing Software (CCHIPS) [14]. The EPI images were corrected for Nyquist ghosting and geometrical distortion [14]. Signal drift was corrected using a quadratic baseline correction [14]. Motion artifacts were corrected using a pyramid iterative co-registration algorithm [14]. All infant brains were normalized to the CCHMC’s infant brain template [15]. The preprocessing and normalization procedures were performed based on algorithms specifically developed for this infant cohort [14].

Statistical Analysis

The General Linear Model was used to construct individual Z-score maps for each contrast [16]. Consistent with other studies, we observed both positive and negative activation in central auditory regions under the influence of sedation during fMRI [11,17]. A voxel-wise random effects analysis was performed to find regions of significant activation for these groups, separately [14]. Composite group activation maps were spatially filtered using a Gaussian kernel of 4 mm. Based on an ROI containing the language/frontal brain regions, a Monte-Carlo simulation was used to determine the corrected p-values for these maps [14].

ROI Analysis

The first ROI was defined manually [18] by a pediatric neuroradiologist. It encompassed the entirety of the primary auditory cortex (A1), located within the transverse temporal gyrus (Heschl’s gyrus and Brodmann Area 41) on the group anatomical image, for the normal hearing group. The second ROI was defined based on the functional map for the story vs. silence contrast in the NH group, and encompassed higher-order language processing brain regions—in addition to the primary auditory cortex defined in the first ROI.
Figure 2: The functional ROI (outlined in white) overlaid on an anatomic image combining the functionally defined ROI based on the story vs. silence contrast with the manually defined primary auditory cortex region.

Figure 2 shows the ROI used in the current analysis. This ROI was generated by combining the first and the second ROIs described earlier. The fMRI activation was parameterized by counting the number of pixels within the functionally defined ROI that exceeded a pre-defined threshold (p < 0.05) for each subject. Within the ROI, the total number of supra-threshold pixels (both positive and negative) were tallied and used in the linear regression of pixel count vs. change in hearing threshold, as measured by MRLA. The pixel counting approach is methodologically comparable to standard lateralization index (LI) calculations in functional neuroimaging studies [19-21]. Out of the 21 CI subjects enrolled in the study, only eleven subjects had a 24-month post-implant hearing threshold measurement at the time of writing this report. Data from these participants was included in a group analysis and used to construct composite activation maps for the HI cohort. In order to minimize variability due to the different sedatives, doses, and timing; only those participants sedated with Propofol (8 out of 11), who were less than 24 months of age, were included in the pre-vs. post-regression analyses.

Results

Figure 3 shows the statistical parametric map (composite Z-score map) for group activation (corrected p < 0.05) for story processing vs. silence contrast across the entire normal hearing (NH) group (n=22). Similar to our previous reports on story processing in older and awake children, the superior temporal gyrus (STG) is activated in the NH group [22]. As tabulated in Table 2, the group analysis [with the generalized linear model (GLM)] also detected activation in the visual cortex for sedated infants with normal hearing (NH).

This region of activation was not previously found in awake children performing a similar task [22]. The other contrast we were able to examine, based on data from the 3-phase fMRI paradigm, was between narrow band noise (NBN) and silence. The NBN vs. silence contrast in the infant groups examined did not exhibit consistent positive activation across cohorts; thus, we have not included this contrast in any further analyses.
Table 2: Activated brain regions shown in Figure 3a

| Number | Brodmann’s Area | Brain Region | X, Y, Z       |
|--------|-----------------|--------------|--------------|
| 1      | 21, 22, 41      | L. middle temporal gyrus and superior temporal gyrus, transverse temporal area | 62, -14, 8 |
|        |                 | R. middle temporal gyrus, superior temporal gyrus, transverse temporal area | -58, -22, 13 |
| 2      | 18, 19          | L/R. Occipital lobule | -18, -94, 33 |

Table 3: Activated brain regions shown in Figure 3b.

| Number | Brodmann’s Area | Brain Region | X, Y, Z       |
|--------|-----------------|--------------|--------------|
| 1      | 21, 22, 41      | L. middle temporal gyrus and superior temporal gyrus, transverse temporal area | 62, -18, -3 |
|        |                 | R. middle temporal gyrus and superior temporal gyrus, transverse temporal area | -74, -10, -3 |
| 2      | 10              | L/R. Frontal lobule | -2, 62, 38 |

Figure 4: Improvement in hearing level vs. pre-implant fMRI. Hearing levels (MRLA, dB) as measured before and after cochlear implantation vs. activation in the ROI shown in Figure 1 for the group of HI infants < 24 months of age at time of scanning. These HI subjects (n = 8, mean age at scanning = 13.75 mo.) were sedated with Propofol (200 mg/Kg/min). The Spearman’s correlation coefficient between fMRI and HL is r = 0.78 (p < 0.02).

We used the stories vs. silence contrast in the HI group (n=11) to construct the composite Z-score map shown in Figure 3b. Although HI infants demonstrated lower BOLD activation in auditory and language areas (in response to speech stimuli) than the NH group, consistent bilateral activation is seen in the middle and superior temporal gyri (BA21 & 22), the transverse temporal gyrus (BA41), and Heschl’s Gyrus (Figures 3a & 3b). The activated brain regions in the HI group are tabulated in Table 3, including Brodmann’s Area designations, anatomical regions, and normalized coordinates in the CCHMC 76 framework [15].

To better visualize differences between the NH and HI groups, we computed the statistical difference map between groups for the stories vs. silence contrast (Figure 3c). Red areas indicate regions where the HI group had significantly higher activation (p < 0.05) compared to the NH group. Similarly, areas where the activation in the NH group is higher than the HI group are shown in blue (p < 0.05).

Using HI subjects (< 24 months old) that were sedated with Propofol (Table 1a), we analyzed the brain activity using the following method. We counted the number of pixels that exceeded the p < 0.05 threshold within the functionally defined ROI shown in Figure 2. This count included both the positive and negative pixels that exceeded the p < 0.05 threshold. This ROI spanned 3 to 5 fMRI slices (nominal slice thickness: 5 mm). Figure 4 shows the linear regression between this pixel count and the change in the average hearing threshold (p < 0.02). Note: At the time of fMRI scanning, the correlation between the SAT and MRLA scores was r = 0.83 (p < 0.02) in these HI infants.

**Discussion**

The use of fMRI to investigate brain activation in infants and toddlers is relatively new. Difficulties associated with conducting infant fMRI studies have contributed to this dearth [23]. Nevertheless, investigations of the infant brain using noninvasive
techniques such as electroencephalography (EEG), magnetoencephalography (MEG) and near-infrared spectroscopy (NIRS) are advancing rapidly [23,24]. While there has been documented success scanning young children with behavioral interventions alone [25], for purposes of clinical efficiency, the most common method of minimizing movement of children between 5 months to 7 years old during scanning involves the use of sedation [26,27].

A study by Martin et al. [17] concluded that the immature vascular system in neonates is the leading factor in determining the polarity of the BOLD signal, and that local cerebral blood flow (CBF) may be the dominant factor in determining the strength of the BOLD signal. Differences in BOLD effects are exacerbated when sedatives are taken into account [28]; therefore, deciphering BOLD signal behavior in infant brains presents a challenging problem that may very well determine the future clinical applicability of fMRI in infants [29].

The BOLD signal should exhibit a partial linear relationship with the concentration of anesthetic agent—at least in the case of pentobarbital [27]. This does not; however, apply to all anesthetics, as some have exhibited non-linear relationships [30,31]. Therefore, BOLD signals should be investigated in a sedative-dependent manner: taking linear and non-linear effects into account. In order to minimize the variability of the BOLD signal due to the effects of different sedatives in our study, we limited the pre- vs. post-regression analyses to only those infants sedated with Propofol.

We compared activation in NH infants from the current study to those found in older, awake children performing the same three-phase, event-related, HUSH fMRI auditory paradigm. This comparison could provide some insight into the cortical processing of auditory inputs in sedated toddlers. As shown in Figure 5, more extensive activation is found in the awake, older children, including areas in the dorsolateral prefrontal cortex and the anterior/posterior cingulate cortex. It has been shown that the anterior cingulate cortex (ACC), and neighboring areas in the frontal medial wall, play a role in monitoring and controlling goal-directed behavior [32]. However, both cohorts show bilateral activation in the primary auditory cortex and planum temporale. The more extensive activation seen in awake children is likely to be associated with memory, monitoring, and attention resources engaged with the active response to the stimulus [32,33]. We hypothesize that the activation pattern seen in sedated NH infants is, in fact, language-related and not an artifact attributed to sedation.

A relatively higher BOLD activation was detected in the story vs. silence contrast compared to the NBN vs. silence contrast (not shown). These findings are consistent with a speech perception study in sleeping infants where significantly higher BOLD activation was detected in language-related areas during forward speech than backward speech [26]. Our findings provide concrete evidence that the infant brain responds differentially to speech and non-speech sounds even under sedation. The differential effect may be enhanced in sedated infants due to the depressing effects of sedation on auditory BOLD signals [34]. We hypothesize that, when considering the immature vascular systems of infants and manipulating the sedative doses, fMRI could be an effective clinical tool in this very young population: a hypothesis that is partially supported by a prior study [30].

It has been shown that auditory information is still being processed in the STG, even at Propofol plasma concentrations of 2 mg/ml/min [35]. These surviving areas include Heschl’s gyrus, and adjoining brain regions (BA 41, 42, 22) primarily involved in very basic auditory information processing mechanisms—including the regions found in this study (Figures 3a & 3b). In contrast, it has been reported that areas of higher-order auditory information processing may lose activation (e.g., music-induced) with increasing doses of Propofol [36]. Of note, in the current study, Propofol did not obliterate the BOLD signal in the primary auditory cortex in response to acoustic stimulation. However, this region showed far more activation for the story processing vs. silence contrast. This suggest that infants may process auditory information of speech more effectively under sedation.

The activation patterns observed in this cohort of children, with severe to profound hearing impairments (Figure 3b), appear to replicate the typical activation patterns found in the auditory/language cortex observed in normal hearing children under sedation (Figure 3a) and in awake, older children with normal hearing (Figure 5) [33]. The HI group shows clear frontal activation not observed in the NH group. A possible explanation for the increased frontal activation in the HI group could be related to the response of these children to the novel auditory stimulus. Because of the high intensity sound levels used for auditory stimulation in the HI children (~10 dB above the measured hearing threshold), the children may be receiving a rare auditory stimulus that generates an attention response with concomitant activation in anterior frontal regions in close proximity to the anterior cingulate gyrus: a region known to be associated with attention and attention deficits.

Similar to other studies [17,37], we also observed that central auditory activation can be both positive and negative during fMRI, when under sedation. However, several explanations have been presented regarding the common occurrence of a negative BOLD effect in infants and toddlers [38]. It is postulated that negative activation in infants corresponds to a decrease in blood oxygenation preceding increased cortical activity in a localized group of neurons [39,40]. To be specific, increased neuronal metabolism is not accompanied by a concomitant increase in blood flow to deliver
the additional oxygen required to support the increased cerebral metabolic rate. The observed response pattern in this study can either be attributed to this age-related neurobiological effect, or to the use of sedation. In the current analysis, this issue has been partially addressed by considering all voxels that demonstrate a significant change in blood oxygenation (both positive and negative) from the baseline (or resting state) as having some involvement in response to the auditory stimulus.

Similar rates of positive and negative activation in auditory brain regions were found in the NH group, regardless of the form of sedation. Therefore, it is reasonable to assume that the incidence of negative activation is not due to sedation or hearing impairment in this study. We hypothesize that the mixture of positive and negative activation in this cohort is a consequence of the immature cerebrovascular system in subjects aged between 1 and 2 years. Compared to other measures of activation (e.g. peak activation or mean activation), the number of active voxel counts is more suitable for characterizing the fMRI responses observed in the current investigation.

Finally, in addition to the study mentioned earlier, a comparable pattern of auditory/language activation has been observed in a large cohort (n = 323) of awake NH children and adolescents (ages between 5 to 18 years) performing a very similar story processing task [41]. Although the activation in the sedated NH infants in this report seems to be attenuated and to contain a mixture of positive and negative activation, the similarity of the distributions of activated voxels in the functionally defined ROI provides reassurance that the observed patterns correspond to the story stimulus administered to these HI infants.

Limitations

A limitation of this study is the use of different sedatives during fMRI scanning. This may be a contributing factor to the observed variability in fMRI activation-affecting the correlation analysis between the fMRI activation (in the A1 ROI) and the post-implantation hearing thresholds. Additionally, significant neural development takes place in the brain during the age range of subjects included in this study, adding another dimension of variability to the results [42]. However, the correlation between activation in the auditory ROI and improvement in hearing thresholds (r = 0.78) for toddlers (under 24 months of age and sedated with Propofol) is convincing (see Figure 4 for details). In fact, this correlation seems to transcend the influences of sedation, duration of deafness, and other confounding factors, at least in the case of Propofol.

Regardless of the sedatives used, doubts have been raised about assuming an invariant Hemodynamic Response Function (HRF) in awake subjects during fMRI [43]. Therefore, methods that do not assume a fixed HRF a priori (e.g., Independent Component Analysis [ICA]) may be more suitable to tease out brain activation patterns under sedation [41]. One promising alternative to fMRI would be the Near-infrared spectroscopy (NIRS) with diffuse optical tomography (DOT) approaches, where any effects of sedation could be removed from subsequent analyses altogether [24,44-46].

It is known that language measures after cochlear implantation can exhibit notoriously high variance [4-6]. The current preliminary report avoided this issue. Future research in our lab will investigate whether pre-implant fMRI activation can be used to predict language outcome measures in HI infants following cochlear implantation [4].

Conclusion

This preliminary report demonstrates the feasibility of fMRI for the assessment of auditory cortical function in severe to profound HI infants under sedation. The pre- and post-correlation analysis provides convincing evidence: HI infants, who demonstrate greater fMRI activation in auditory/language regions in response to auditory stimulation will exhibit the greatest improvement in post-hearing thresholds. Since residual hearing before implantation is an effective predictor of the rate increase in the acquisition of spoken language after cochlear implantation, fMRI can be used as an objective tool to monitor the benefits of amplification, and as a guide for timely clinical intervention in HI children. Future research should further investigate the full capacity of fMRI as an objective diagnostic tool that is safe and effective for predicting CI outcomes in prelingual HI infants.

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