Original Article

Use of Guideline-Directed Medical Therapy in Patients Aged ≥ 65 Years After the Diagnosis of Heart Failure: A Canadian Population-Based Study

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ABSTRACT

Background: Guideline-directed medical therapy (GDMT) improves clinical outcomes in patients with heart failure with reduced ejection fraction (HFrEF). Despite its proven efficacy, GDMT is underutilized in clinical practice. The current study examines GDMT utilization after incident hospitalization for HF to promote medication initiation, and titration to target dosing within a reasonable time period.

Methods: This observational study identified 66,372 patients with HFrEF who were aged ≥ 65 years and had an incident HF hospitalization, using administrative health data (2013-2018). GDMT dosing, which plays a major role in assessment of the quality of care. The current study utilizes dosing data and intensity scores to examine successful dose titration of GDMT throughout the study period.

The aim of the current study was to examine the GDMT utilization in Canada in patients with HFrEF and a recent hospitalization, as defined by the Canadian Cardiovascular Society (CCS) guidelines for HF management.11,12 We explored trends of GDMT use over time and the various combinations of medical therapy used; additionally, we explored GDMT dosing using a GDMT intensity score.

Methods

Study design and data source

We conducted a population-based retrospective cohort study using the Discharge Abstract Database and the National Prescription Drug Utilization Information System (NPDUIS) datasets from the Canadian Institute for Health Information. The Discharge Abstract database contains data on admission dates, discharge dates, discharge disposition, primary and secondary diagnoses, procedures, and demographic information for all patients admitted to an acute care hospital in Canada, except for the province of Quebec. Diagnoses are coded using the International Classification of Diseases, version 10.

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Patient selection

Patients aged ≥ 65 years with HF-related hospital admissions between October 1, 2013 and September 30, 2018 were identified using ICD-10 code I50.x as a primary or secondary diagnosis and were followed-up for 6 months postdischarge. The study period and age criteria were selected to allow data availability on drug prescriptions. Specifically, only patients aged ≥ 65 years have universal drug coverage in Canada, thereby removing variables such as affordability of drugs, which may be a factor for those aged < 65 years. Patients who were hospitalized, or who were residing in the provinces of Quebec, Nova Scotia, and the territories, were excluded, as medication claims were not available for them. In patients with multiple HF admissions during the study period, the first admission was considered the index admission. To ensure that prevalent cases of HF were excluded, patients who had a diagnosis of HF, any record of cardiac resynchronization therapy, an implantable cardioverter defibrillator, or a left ventricular assist device within 5 years prior to the index admission were excluded. Patients who died during the index admission were also excluded from the study. Figure 1 outlines the cohort selection process.

Study variables

HFrEF. A simplified logistic model developed and validated by Uijl et al. was applied to differentiate between patients with HFrEF (ejection fraction < 40%) and those with HF without a reduced ejection fraction (ejection fraction ≥ 40%). A prediction threshold of 0.44 was used to maximize the specificity and sensitivity of the model. The variables incorporated in the Uijl model and their respective coefficients are provided in Supplemental Table S1.

Other medical history. Baseline patient characteristics were collected using demographic information at index hospitalization, and 6 months of hospitalization and mediation history. Comorbidty was summarized using the Charlson comorbidity index (CCI).

Pharmacotherapy. Pharmacotherapy achieved by the 6-month follow-up period was evaluated based on the drugs and doses recommended by the CCS HF guideline (Supplemental Table S2). Pharmacotherapy treatment was classified as none, monotherapy (1 drug class), dual therapy (2 drug classes), or triple therapy (3 drug classes). The criteria...
for pharmacotherapy are defined in Supplemental Figure S1. In summary, any treatment with guideline-recommended HF medications (ACEi/ARB/ARNI, MRA, BB) was included if dispensed with supplies lasting ≥ 14 days post-index hospital discharge. If patients were on medication prior to index hospitalization, the medication was considered part of therapy if continued for ≥ 14 days postdischarge. Dual therapy was defined as 2 drug classes, each dispensed with supplies lasting ≥ 14 days and overlapping for ≥ 7 days. Triple therapy was defined as 3 drug classes, each dispensed with supplies lasting ≥ 14 days and overlapping for ≥ 7 days. A similar method was used by Deschaseaux et al., who also investigated treatment initiation patterns in HF. The overlap period used by Deschaseaux et al. was 14 days, vs the 7 days utilized in the current study. We found no statistical difference between an overlap period of 14 days vs 7 days in distinguishing between dual and triple therapy (Supplemental Table S3). Any patients that did not meet the above conditions were considered to not be on pharmacotherapy.

**Vital status.** Mortality status was assessed in 2 ways. The discharge disposition code in any subsequent hospitalization during the follow-up period was used to identify patients who died in-hospital. For these patients, the discharge date of the last hospitalization was recorded as the date of death. For patients who did not die during a subsequent hospitalization, we used the medication claims data. If a patient had no medication claims after a certain date, the last medication prescription date was recorded as the date of death. Patients with death dates preceding the 6-month follow-up date were considered to be dead at 6 months post-index discharge.

**GDMT dosage and intensity.** Dosage of medication was calculated as the proportion of the recommended target dose. The target doses for each HF medication are listed in Supplemental Table S2. Optimal GDMT was defined as receiving the following: ≥ 50% of the target dose for an ACEi, ARB, or ARNI; a BB; and any dose of an MRA. The intensity of pharmacotherapy was approximated using a GDMT scale adapted from Januzzi et al. Meditation dosages were converted into the equivalent dose and summarized into a scaled score for each drug class (Supplemental Table S4). ACEis, ARBs, ARNIs, and BBs were scored from 0 to 5, and MRAs were scored from 0 to 4. The scores were added and summarized as a proportion of 14, the maximum achievable GDMT score (triple therapy: ACEi/ARB/ARNI + BB + MRA). The proportion of maximum achievable GDMT for all patients with HFrEF was calculated daily for the duration of 6 months, using medication dispensary data. This calculation was done by dividing the total daily intensity score for each patient by the maximum achievable intensity score of 14. Patients were then categorized into groups that achieved < 50%, 50%-74%, and ≥ 75% of the maximum achievable intensity scores, using either the last-day intensity score or the maximum intensity score during the 6-month period. The proportions of the maximum intensity score for all patients with HFrEF was averaged daily for 6 months post-HF hospitalization and plotted to observe the average trend of GDMT intensity for patients over the course of 6 months.

**Statistical analysis**

Categorical variables were summarized as count and percentage; continuous variables were summarized as mean and standard deviation (SD), or median with interquartile range, as appropriate.

The proportions of patients on GDMT and optimal GDMT each year were plotted from the 2013 and 2018 fiscal
years, and the overall trend of change was analyzed using linear regression.

A logistic regression model was developed to identify factors associated with triple-therapy prescription among patients with HFrEF. The multivariable model controlled for sex, age, CCI, academic/community hospital type, urban/rural residence, income quintile, HF rehospitalization within 6 months of index discharge, and use of calcium channel blockers, hydrochlorothiazide, and other diuretics. We excluded 1023 patients (1.5%) with HFrEF with missing values for urban/rural residence, income quintile, and hospital type. Model results are presented as odds ratios with 95% confidence intervals (CIs).

Sensitivity analysis was conducted using a subset of the cohort alive at 6 months post-index discharge. Pharmacotherapy classification, GDMT dosage, and intensity were calculated using the alive cohort. All analyses were conducted using SAS Studio 3.8 (SAS Institute, Terry, NC).

### Results

#### Patient characteristics

The study cohort consisted of 202,396 patients with incident HF hospitalization between October 2013 and September 2018. The mean age for the cohort was 81.3 years, and 47.9% were male (Table 1). Based on the Uijl model, 32.8% (n = 66,372) of the cohort had HFrEF. The median (interquartile range) CCI was 3-5.

#### Medication use

Among 66,372 patients with HFrEF, 13,508 (20.4%) were on triple therapy at any dose, 30,912 (46.6%) were on double therapy, 17,184 (25.9%) were on monotherapy, and 4768 (7.2%) were on no therapy (Fig. 2). Supplemental Table S5 provides details on specific drug classification dispensed to patients in each therapy group. Only 207 patients (1.5%) with HFrEF were on sodium-glucose transport protein 2 inhibitors (SGLT2is) and/or ivabradine. Of the total of 66,372 patients with HFrEF, only 8747 (13.2%) achieved optimal GDMT based on the maximum dose within 6 months. According to the last dispensed dose, only 5484 patients with HFrEF (8.3%) were on optimal GDMT 6 months postdischarge. Moreover, between 2013 and 2018, the proportion of patients on GDMT (triple therapy at any dose) increased an average of 1.2% (95% CI: 1.0%-1.4%) increase each fiscal year (P < 0.001). The average increase in the proportion of patients on optimal GDMT each fiscal year was 0.6% (95% CI: 0.4%-0.7%; P < 0.001).

In the multivariable analysis of patients with HFrEF, women, patients who were treated in academic hospitals, and those who were rehospitalized within 6 months of their index discharge had higher odds of achieving triple therapy. Conversely, patients aged ≥ 80 years, those with more comorbidity, those residing in an urban setting, and those on calcium channel blockers or hydrochlorothiazide were less likely to receive triple therapy compared to their counterparts (Table 2).

#### Intensity of HF therapy

Of the patients with HFrEF, 38,869 (58.6%) achieved a score that was < 50% (< 7 points) of the maximum intensity score (14 points), 23,006 (34.7%) achieved a score that was between 50% and 74% (7-10.5 points) of the maximum intensity score, and 4497 (6.8%) achieved a score that was ≥ 75% (≥ 10.5 points) of the maximum intensity score (Fig. 3). Observing the intensity score on the last day of the 6-month period, 52,572 (79.2%), 11,992 (18.1%), and 1808 (2.7%) patients had intensity scores that were < 50%, between 50% and 74%, and ≥ 75% of the maximum intensity score, respectively (Fig. 3).

Including all patients with HF, and considering the peak dosage filled during the study period, 155,573 (76.9%) achieved a score that was < 50% of the maximum intensity score, 40,910 (20.2%) achieved a score that was between 50% and 74% of the maximum intensity score, and 5913 (2.9%) achieved a score that was ≥ 75% of the maximum intensity score (Fig. 3). Similarly, observing the intensity score on the last day of the 6-month period, 179,321 (88.6%), 20,777 (10.3%), and 2298 (1.1%) had intensity scores that were

### Table 1. Patient demographics and clinical characteristics at index heart failure (HF) diagnosis

| Characteristic | All patients with HF | Patients with HFrEF |
|---------------|----------------------|---------------------|
| Age, y, mean (SD) | 81.3 (8.5) | 79.3 (8.1) |
| Male sex | 97,028 (47.9) | 51,180 (77.1) |
| Income quintile | | |
| 1 (lowest) | 53,092 (26.2) | 16,263 (24.5) |
| 2 | 45,518 (22.5) | 14,679 (22.1) |
| 3 | 39,251 (19.4) | 13,317 (20.1) |
| 4 | 32,922 (16.3) | 11,243 (16.9) |
| 5 (highest) | 29,298 (14.8) | 10,351 (15.6) |
| Residence type | | |
| Rural | 39,180 (19.4) | 13,536 (20.4) |
| Urban | 162,129 (80.1) | 52,521 (79.1) |
| Hospital type | | |
| Academic | 68,872 (34.0) | 23,364 (35.2) |
| Community | 133,517 (66.0) | 43,007 (64.8) |
| HFrEF | 66,372 (32.8) | 66,372 (100.0) |
| Alive 6 months post-index discharge | 166,169 (82.1) | 55,882 (84.2) |
| HF rehospitalization within 6 months of index discharge | 45,356 (22.4) | 18,864 (22.4) |
| Comorbidities | | |
| Hypertension | 101,265 (50.0) | 24,578 (37.0) |
| Diabetes | 74,413 (36.8) | 27,239 (41.0) |
| Chronic obstructive pulmonary disease | 42,499 (21.0) | 10,005 (15.1) |
| Ischemic heart disease | 61,234 (30.3) | 27,329 (41.2) |
| Atrial fibrillation | 78,865 (39.0) | 19,183 (28.9) |
| Renal disease | 28,103 (13.9) | 8455 (12.7) |
| Charlson comorbidity index, median (IQR) | 3 (2–4) | 3 (1–4) |

Values are n (%), unless otherwise indicated.

ACEi, angiotensin-converting enzyme inhibitor; ARB, angiotensin receptor blocker; HFrEF, heart failure with reduced ejection fraction; IQR, interquartile range; MRA, mineralocorticoid receptor antagonist; SD, standard deviation.
< 50%, between 50% and 74%, and ≥ 75% of the maximum intensity score, respectively (Fig. 3).

The mean proportion of the maximum intensity score for patients with HFrEF calculated daily over the 6-month postdischarge period is shown in Figure 4. For patients on triple therapy, the mean proportion of the maximum intensity score increased from 0.44 to 0.47 between day 1 and day 31, respectively. For all patients with HFrEF, the mean proportion of the maximum intensity score began at 0.31 on day 1 and continued to decline to a low of 0.25 on day 180 (Fig. 4).

Sensitivity analysis

A sensitivity analysis was performed using the subcohort of patients with HF who were classified as having HFrEF and were considered alive at 6 months postdischarge (Fig. 2). Of the alive patients with HFrEF, 11,983 (21.4%) were on triple therapy, and 3204 (5.7%) did not receive any pharmacotherapy within 6 months following discharge from their index hospitalization (Fig. 2). Moreover, 7996 of alive patients with HFrEF (14.3%) achieved optimal GDMT based on the maximum dispensed dosage within 6 months, and 4989 (8.9%) were on optimal GDMT at 6 months postdischarge according to the last dispensed dose. The mean proportion of the maximum intensity score for alive patients with HFrEF increased from 0.43 to 0.48 between day 1 and day 31, and then declined to 0.44 on day 180 (Supplemental Fig. S2).

Discussion

In this national observational study of patients with incident HF-related hospitalization, we found that efforts to achieve optimal GDMT within 6 months of a hospitalization require greater attention. First, we identified that approximately one-fifth of patients with HFrEF are achieving triple therapy at either any dose or the optimal dose by 6 months after a HF hospitalization. Early initiation of optimal GDMT after index-HF hospitalization has proven to increase adherence and improve mortality outcomes. The exploration of the 6-month window allows for potential delays in care or further optimization of therapy, but it does not appear that this is occurring. Second, although patients with HFrEF achieved a higher intensity of pharmacotherapy compared to patients with HF without a reduced ejection fraction, more than half fail to achieve ≥ 50% of the maximal possible intensity score. A higher intensity of GDMT is associated with better outcomes. This study demonstrated that the gap in achieving optimal GDMT in patients with HFrEF remains wide, even in a publicly funded system with universal healthcare.
Table 2. Adjusted odds ratios (ORs) for being on triple therapy, compared to not being on triple therapy, for patients with heart failure with reduced ejection fraction

| Factor                                      | Adjusted OR (95% CI) | P    |
|---------------------------------------------|----------------------|------|
| Sex (ref: male)                             | 1.52 (1.46–1.59)     | < 0.0001 |
| Female                                      | 1.52 (1.46–1.59)     | < 0.0001 |
| Age (ref: 65–79), ≥ 80                      | 0.58 (0.56–0.60)     | < 0.0001 |
| Residence (ref: rural)                      | 0.96 (0.91–1.01)     | 0.08 |
| Income quintile (ref: Lowest 1)             | 1.07 (1.01–1.13)     | 0.02 |
| 2                                           | 1.08 (1.02–1.14)     | < 0.01 |
| 3                                           | 1.04 (0.98–1.10)     | 0.24 |
| 4                                           | 1.08 (0.98–1.11)     | 0.16 |
| Highest 5                                   | 1.15 (1.10–1.20)     | < 0.0001 |
| Hospital type (ref: community)              | 0.91 (0.89–0.92)     | < 0.0001 |
| Academic                                    | 1.69 (1.57–1.81)     | < 0.0001 |
| Higher CCI score                            | 0.66 (0.64–0.69)     | < 0.0001 |
| Other diuretics (ref: no)                   | 0.79 (0.75–0.84)     | < 0.0001 |
| Yes                                         | 1.41 (1.35–1.47)     | < 0.0001 |

Multivariable logistic regressions were used.

CCI, Charlson Comorbidity Index; CI, confidence interval; HF, heart failure with reduced ejection fraction; ref, referent.

An externally validated model developed by Uijl et al. to identify patients with HFrEF using ICD-10 codes was used in the current study.13 Unless prescribed for comorbid conditions, the aforementioned medications have been shown to provide only morbidity and mortality benefit in patients with HFrEF.11 In our cohort, 32.8% of patients were identified as having HFrEF, which is similar to the percentages in reports from other HF cohorts.12 However, these results should be interpreted within the context of an understanding of the limitations of the model used for identifying potential patients with incident HFrEF. The simplified Uijl model had a specificity (accurate HFrEF prediction) of 83.1% for predicting an ejection fraction ≥ 40% when sensitivity and specificity is maximized using prevalence data.14 Compared to incident HF cohorts, prevalence HF cohorts have been shown to yield higher percentages of patients with HFrEF.9

Notably, 7.2% of patients with HFrEF in this study received no ACEi/ARB/ARNI, BB, or MRA in the 6 months posthospitalization, and 25.9% received only monotherapy. Our findings confirmed previous observations of suboptimal initiation of HF medications after HF diagnosis. For instance, 23.3% of patients with HFrEF did not receive any HF pharmacotherapy, and 22.1% received only monotherapy during the first year after diagnosis in the US,6 and this underutilization was shown to be linked to poorer outcomes.22 The current study observes data that predate the inclusion of SGLT2is and ivabradine into the CCS guidelines. Consequently, an inconsequential number of patients were on either medication and therefore were not included in the study.

Overall, 20.4% of patients with HFrEF were on triple therapy at any dose at 6 months of index hospitalization. Optimal GDMT, defined as receiving ≥ 50% of the target dose for ACEi/ARB/ARNI, and a BB, and any dose of an MRA, was achieved in only 13.2% of patients with HFrEF based on the maximum dispensed dosage within 6 months. The Guiding Evidence-Based Therapy Using Biomarker Intensified Treatment in Heart Failure (GUIDE-IT) trial demonstrated similar results, with 15.5% of patients with HFrEF achieving optimal GDMT at 6 months.21 Even with biomarker-guided GDMT titration, many patients in the GUIDE-IT trial did not achieve optimal GDMT, a result that was attributed to patients being either clinically stable or already at maximally tolerated therapy.22 Similarly, medication data from the Change the Management of Patients With Heart Failure (CHAMP-HF) registry, which included outpatients with HFrEF in the US receiving ≥ 1 oral HF medication, also showed underutilization of HF medications, individually or in combination.9 In that study, only 1.0% of eligible patients were treated with triple therapy at target doses, and 22.1% of patients were treated with any dose of triple therapy.9

Reasons for underutilization of GDMT are likely multifactorial. Concordant with our findings, being older, having more comorbidities, and being in advanced New York Heart Association (NYHA) functional class III or IV have been reported as factors associated with less-intensive medication titration.1,24 These patients also are at the greatest absolute risk and often have similar outcomes on GDMT in clinical trials. Our study also demonstrates that patients on calcium channel blockers and hydrochlorothiazide are less likely to achieve GDMT. Although this study is observational in nature, the use of non-GDMT may coincide with precipitating side effects (ie, hypotension) that prevent initiation or uptitration of GDMT; consequently, physicians should prioritize GDMT over non-GDMT antihypertensives. Rehospitalization, on the other hand, increases the rate of GDMT usage in patients with HFrEF, which may indicate that the severity of condition is a justification for aggressive GDMT titration.

The GDMT intensity score data provide information on aggressiveness of dose titration within the 6 months after index hospitalization. In the current study, only 41.4% of patients with HFrEF achieved a score that was ≥ 50% of the maximal intensity score; however, patients with HFrEF are appropriately achieving a higher intensity of pharmacotherapy, compared to that for all patients with HF. The mean proportion of the maximum intensity score for all patients with HFrEF was calculated daily over 6 months postdischarge; in summary, it showed a gradual decline throughout the study period (Fig. 4). Notable periods in which a steeper decline in intensity scores appears are days 31 and 91, likely corresponding to time of medication refill, assessment for side effects, or intolerance by the physician. Nonetheless, a higher intensity of GDMT is associated with lower mortality rates17; therefore, the intensity scores and trends noted in the current study require significant improvement. However, the current study shows an overall yearly increase in the proportion of patients on GDMT, both at any doses and at optimal dose, between 2013 and 2018 (Fig. 5). Translation of guidelines into clinical practice may take years, but the trend is reassuring.

The strengths of the current study include the use of a large sample size from a representative cohort in a universal healthcare system, thereby mitigating the effect of
interprovincial variables and establishing generalizable results. The current study also provides insight into prescription and adherence patterns in single-payer, largely public healthcare systems. Previous studies, by contrast, have looked mainly at data from the US, a multi-payer, heavily privatized system. The focus on patients aged ≥ 65 years also removes variables such as drug affordability, which may affect the likelihood of filling prescriptions, as universal drug coverage is available to patients aged <65 years.

Figure 3. Categorization of patients with heart failure (HF) by proportion of maximum (max) guideline-directed medical therapy intensity score achieved. Intensity scores were calculated for each patient by starting with either the dose from the last day of therapy or the maximum therapy dose within 6 months post index, and then dividing by the maximum achievable intensity score. HFrEF, heart failure with reduced ejection fraction.

Figure 4. Average proportion of maximum guideline-directed medical therapy (GDMT) intensity score for patients with heart failure with reduced ejection fraction (HFrEF) calculated daily over 6 months post index discharge. Each data point is the average intensity score with 95% confidence interval for all patients with HFrEF (black, n = 66,372) or patients with HFrEF on triple therapy (TT; red, n = 13,508) divided by the maximum achievable Intensity score.
this age cohort in Canada. We infer that prescribing patterns, however, should not change for those aged < 65 years.

The study also has potential limitations. As mentioned, the cohort is limited to patients aged ≥ 65 years; therefore, the results may not be entirely generalizable to the younger HFrEF population. In an epidemiologic study of patients in Australia with HFrEF aged ≥ 45 years, 42.3% were aged between 45 and 64 years. The fact that the study is observational means it has potential to have unmeasured confounders. The lack of echocardiography-based left ventricular ejection fraction data, and utilization of an administrative data-based model to predict left ventricular ejection fraction in patients with HF, may result in misclassification bias. Moreover, due to the lack of out-of-hospital mortality data, we assumed that those without any prescription dispensed during the follow-up period were deceased. Finally, the analysis utilizes records of medications that were dispensed, but it does not include prescriptions that were not filled, nor does it take into account whether they were taken as prescribed.

Conclusion

Efforts to achieve optimal GDMT in patients with HFrEF post-index HF-related hospitalization remain suboptimal. Current clinical practice, where optimal pharmacologic management of HFrEF falls short, does not align with the existing evidence that supports aggressive titration of GDMT post-HF diagnosis. Considering the observed gap in care, further studies are required to investigate innovative strategies to optimize the HF care in this patient population.

Clinical perspectives

The current study outlines the care gaps evident in the treatment of patients with HFrEF. GDMT has significant morbidity and mortality benefits; unfortunately, current practice fails to initiate and titrate medications effectively. Solutions to improve GDMT postdischarge include more frequent outpatient appointments scheduled at the time of discharge (ie, every 4-6 weeks where possible); lack of follow-up appointments may explain some of the issues with slow titration. Clinicians should also prioritize GDMT over use of non-GDMT antihypertensives when initiating and titrating medications.

Translational outlook

GDMT initiation and titration in patients with HFrEF remain suboptimal. Further studies are required to determine strategies to optimize GDMT therapy in these patients. Research should focus on determining causal factors that influence poor GDMT prescribing patterns and establishing solutions to counteract these problems.

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Supplementary Material

To access the supplementary material accompanying this article, visit CJC Open at https://www.cjcopen.ca/ and at https://doi.org/10.1016/j.cjco.2022.08.003.