Internet- and mobile-based trauma-focused intervention for adolescents and young adults with posttraumatic stress disorder: a study protocol of a proof-of-concept feasibility study

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ABSTRACT

Introduction: Although evidence-based treatments for posttraumatic stress disorder (PTSD) in adolescents and young adults exist, affected youth do not have sufficient access to these treatments due to structural and attitudinal barriers. Internet- and mobile-based interventions (IMIs) can help fill this healthcare gap, but such programmes have not yet been sufficiently evaluated in youth with PTSD.

Aim: This study aims to investigate the feasibility of an IMI for youth with PTSD in a one-arm, non-randomised, prospective proof-of-concept feasibility study.

Methods: We aim to recruit 32 youth between 15 and 21 years old with clinically relevant posttraumatic stress symptoms (CATS ≥ 21), who will receive access to the IMI. The IMI consists of nine sessions involving psychoeducation, emotion regulation and coping skills, written-based imaginal exposure, cognitive restructuring and relapse prevention. Participants will be guided by an eCoach, who provides weekly semi-standardised written feedback on completed sessions and adherence reminders. We will use a formal feasibility framework to assess different dimensions of feasibility: (1) recruitment capability and resulting sample characteristics, (2) data collection procedures and outcome measures, (3) acceptability of the IMI and study procedures, (4) resources and ability to manage and implement the study and IMI and (5) participants’ responses to the IMI in terms of symptom severity and satisfaction. Additionally, potential negative effects related to the intervention will be assessed. Assessments take place pre-, mid- and post-intervention and at follow-up, including semi-structured clinical telephone interviews for PTSD diagnostics at pre- and post-intervention assessment. Qualitative interviews will be conducted to investigate the youth perspectives on the IMI.

Discussion: This study aims to determine the feasibility of a guided IMI for youth with PTSD to adapt the IMI as closely as possible to youth needs and to inform the design, procedure and safety management of a large-scale efficacy RCT.

Trial registration: German Clinical Trials Register identifier: DRKS00023341.

PALABRAS CLAVE

Trauma; posttraumatic stress disorder; adolescents and young adults; Internet- and mobile-based intervention; trauma-focused cognitive behaviour therapy; imaginal exposure; written-based exposure.

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Trauma; posttraumatic stress disorder; adolescents and young adults; Internet- and mobile-based intervention; trauma-focused cognitive behaviour therapy; imaginal exposure; written-based exposure.

HIGHLIGHTS

- Evidence-based care for adolescents after trauma is not widely available.
- This study evaluates the feasibility of a guided trauma-focused Internet intervention as a time- and location-independent low-threshold treatment option for adolescents and young adults with posttraumatic stress disorder.

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对患有创伤后应激障碍的青少年和年轻人基于互联网和移动设备的聚焦创伤干预：可行性概念验证研究的研究方案

引言：尽管存在针对青少年和年轻人的创伤后应激障碍（PTSD）的循证治疗，由于结构和态度障碍，患病青少年无法充分获得这些治疗。基于互联网和移动设备的干预措施（IMI）可以帮助填补这一空白。此类计划尚未在PTSD青少年患者中得到充分评估。

目的：本研究旨在于一项单臂、非随机、前瞻性可行性概念验证研究中考察IMI对PTSD青少年患者的可行性。

方法：我们旨在招募32名年龄在15至21岁之间、具有临床相关创伤后应激症状（CATS > 21）的青年。他们将获得IMI。IMI由九个课程组成。涉及心理教育、情绪调节和应对技巧、基于书面的想象暴露、认知重组和预见复发。参与者将由一名对已完成课程提供每周半标准化书面反馈和即时提醒的电子教练指导。我们将使用正式的可行性框架来评估可实施性的不同维度：（1）招募能力和由此产生的样本特征，（2）数据收集过程和结果测量，（3）IMI和研究程序的可接受性，（4）管理和实施研究与IMI的资源和能力，以及（5）参与者在症状严重程度和满意度方面的IMI的反响。此外，我们将评估干预相关的潜在负面影响。评估在干预前、中期和治疗过程中进行，包括干预前、后评估中对PTSD进行半结构化临床电话访谈。将进行定性访谈以调查青少年对IMI的看法。

讨论：本研究旨在确定为PTSD青少年患者提供指导性IMI的可行性，以使IMI尽可能适应青少年的需求，并为大规模疗效RCT的设计、程序和安全管理提供信息。

Abbreviations: PTSD: posttraumatic stress disorder; RCT: randomised controlled trial; PTSS: posttraumatic stress symptoms; ISTSS: International Society for Traumatic Stress Studies; IMI: Internet- and mobile-based intervention; t-fCBT: trauma-focused cognitive–behavioural therapy; i-tf-CBT: Internet trauma-focused cognitive–behavioural therapy; DGP: Ethics Committee of the German Psychological Society; GCTR: German Clinical Trial Registry; BMBF: Federal Ministry of Education and Research; DSM-5: Diagnostic and Statistical Manual of Mental Disorders; CATS: Child and Adolescent Trauma Screen; CAPS-CA 5: Clinician-Administered PTSD Scale for DSM-5; AUDIT: Alcohol Use Disorders Identification Test; AUDIT-C: Alcohol Use Disorders Identification Test Revised; KIDSCREEN-10: Health-Related Quality of Life Questionnaire for Children and Young People; CPTCI-SF: Posttraumatic Cognitions Inventory Short Form; SDQ-I: Client Satisfaction Questionnaire adapted to Internet-based interventions

1. Theoretical background

More than 10% of adolescents and young adults (referred to collectively as ‘youth’ in this paper) experience at least one traumatic event, such as serious injury, abuse or physical assault (Pilhofer, Ziegenghain, Nandi, Fegert, & Goldbeck, 2011). About 16% of youth who have experienced a potentially traumatic event develop a PTSD (Alisic et al., 2014). A prevalence study estimated the lifetime prevalence for PTSD in youth to be around 1.3% and showed a strong association between PTSD and other mental disorders such as depression and anxiety (Perkonigg, Kessler, Storz, & Wittchen, 2000). If PTSD symptoms are left untreated, they often persist (Scheeringa, Zeanah, Myers, & Putnam, 2005; Yule et al., 2000) and have a negative impact on the psychosocial, emotional and academic development, placing a high burden on both the individual and society (Dams et al., 2020; Habetha et al., 2012; Makley & Falcone, 2010; Ogle, Rubin, & Siegler, 2013; Porche, Fortuna, Lin, & Alegría, 2011). To prevent long-term consequences,
timely treatment options should be available for affected individuals when needed.

International and national treatment guidelines (ISTSS Guidelines Committee, 2019; National Institute for Health and Care Excellence, 2018; Phoenix Australia Centre for Posttraumatic Mental Health, 2020; Schäfer et al., 2019) consistently recommend trauma-focused cognitive–behavioural therapy (tf-CBT) for treating youth PTSD. Psychological approaches based on tf-CBT, compared to waitlist conditions, have been shown to be effective in reducing PTSS, with small effects of $d = 0.37$ for family therapy to large effects of $d = 1.17$ for tf-CBT developed by Cohen and colleagues or cognitive processing therapy and $d = 2.94$ for cognitive therapy (Mavramezouli et al., 2020). TF-CBT has been shown to decrease dysfunctional posttraumatic cognitions and also comorbid depression and anxiety (Goldbeck, Muche, Sachser, Tutus, & Rosner, 2016). In addition, an increase in the quality of life of youths after tf-CBT was observed (Aas, Iversen, Holt, Ormhaug, & Jensen, 2019).

However, the dissemination of evidence-based trauma-focused psychotherapy in routine care is limited (Kazlauskas et al., 2016; Rosner et al., 2020). Lack of training, negative attitudes towards evidence-based practices (Lilienfeld, Ritschel, Lynn, Cautin, & Latzman, 2013), fears that trauma exposure may lead to an exacerbation of symptoms, dysfunctional behaviour (e.g. suicidality) and treatment dropout (Becker, Zayfert, & Anderson, 2004; Finch, Ford, Grainger, & Meiser-Stedman, 2020; van Minnen, Hendriks, & Olff, 2010) are some reasons therapists resist offering trauma-focused treatment. In addition, attitudinal barriers may prevent youth from accessing treatment. Such barriers include fear of stigmatisation, feelings of shame and a preference for self-help (Gulliver, Griffiths, & Christensen, 2010; Rosner et al., 2020). Moreover, structural barriers to treatment utilisation for youth include long waiting times (BPtK, 2018), long distances to the nearest practice, dependence on public transportation or other people for travel, lack of knowledge about available services and problems navigating the healthcare system (Gulliver et al., 2010).

Internet- and mobile-based interventions (IMIs) can help to overcome some of the current limitations of the healthcare system regarding trauma-focused treatment. IMIs are defined as self-help-based interventions delivered via the Internet with or without a form of guidance (Ebert et al., 2018). When compared to face-to-face therapy, the advantages of IMIs include increased (1) accessibility, (2) scalability, (3) flexibility in place and time, (4) self-direction and self-pacing (Boulos, Brewer, Karimkhani, Buller, & Dellavalle, 2014; Lin, Ebert, Lehr, Berking, & Baumeister, 2013) and (5) anonymity. Given the high availability of mobile devices and Internet affinity among youth (Feierabend, Rathgeb, & Reutter, 2019; UNICEF, 2017), IMIs have the potential to reach young people in their familiar Internet environment earlier than traditional treatment approaches and may thus also reach youth who do not feel ready for face-to-face therapy.

IMIs have been shown to be effective in treating a range of mental health disorders in youth, including depression and anxiety (Ebert et al., 2015; Grist, Croker, Denne, & Stallard, 2019; Vigerland et al., 2016). For the treatment of youth with traumatic experiences, IMIs have not yet been sufficiently developed and evaluated. However, for PTSD in adults, guided IMIs following the principles of tf-CBT (i-tf-CBTs) are included in some treatment guidelines (ISTSS, 2019), as they have been shown to be efficacious in reducing PTSS (Kuester, Niemeyer, & Knaevelsrud, 2016; Sijbrandij, Kunovski, & Cuijpers, 2016; Steubl, Sachser, Baumeister, & Domhardt, 2021), with effects comparable in size to face-to-face CBT. Concerning PTSD in youth, only two pilot studies have evaluated i-tf-CBT so far in specific trauma populations. In a group of young Dutch victims of sexual violence $(n = 7)$, an online programme consisting of ten writing sessions over 9–16 weeks showed promising improvements in PTSS and general psychopathology with medium-to-high within-group effect sizes $(d = .70–1.1; \text{de Haas, Hoing, Schrieken, Tsgana, 2009})$. Promising results have also been found in a group of young German survivors of long-term paediatric cancer $(n = 20)$. After ten online writing sessions over 5 weeks, PTSS declined with a pre–post within-group effect size of $d = .63$ (Seitz, Knaevelsrud, Duran, Waadt, Loos, et al., 2014). To the best of our knowledge, there is no IMI that addresses PTSD in youth exposed to different types of trauma. Moreover, despite the initial promising results and high treatment satisfaction (Seitz, Knaevelsrud, Duran, Waadt, & Goldbeck, 2014), randomised controlled trials (RCTs) investigating the efficacy of i-tf-CBT in youth are currently lacking. Before conducting a RCT, investigating the feasibility of an i-tf-CBT within a proof-of-concept study could be beneficial to more clearly identify individual needs of youth with PTSD and to derive adaptations for a more tailored intervention and more economical study-design.

### 1.1. Objectives of the current trial

This study aims to investigate the feasibility of a guided trauma-focused IMI for PTSD in youth, irrespective of the nature of trauma experienced, in a one-arm proof-of-concept study as required for a subsequent large-scale RCT. Following the recommendations by Ormond and Cohn (2015), feasibility was evaluated in terms of the following specific dimensions: (1) recruitment capability and resulting sample characteristics; (2) data collection procedures and outcome measures;
(3) acceptability of the IMI, i.e. adherence and study procedures; (4) resources and ability to manage and implement the study and IMI; and (5) participants’ preliminary responses to the IMI, i.e. in terms of symptom severity and satisfaction. Additionally potential negative effects related to the intervention (e.g. suicidality, symptom deterioration) will be assessed.

2. Methods

2.1. Trial design

This is a proof-of-concept feasibility study with a one-arm, non-randomised design. The study will be reported in accordance with CONSORT guidelines for randomised pilot and feasibility trials and the complementary recommendations for conducting and reporting non-randomised pilot and feasibility studies (Eldridge et al., 2016; Lancaster & Thabane, 2019). The study flow is shown in Figure 1. The study has been approved by the Ethics Committee of the German Psychological Society (DGPs, the Society, EbertDavidDaniel2020-09-16-VA) and is registered in the German Clinical Trial Registry (GCTR, DRKS00023341). This study is part of the collaborative BestForCan project, which aims at disseminating the tf-CBT developed by Cohen and colleagues (Cohen, Mannarino, & Deblinger, 2017) for children, adolescents and young adults after child abuse and neglect (Rosner et al., 2020). The study is funded by the Federal Ministry of Education and Research (BMBF, grant number 01KR1804D).

2.2. Participants

2.2.1. Inclusion and exclusion criteria

We will include youth who (a) suffer from clinically relevant PTSS measured with the Child and Adolescent Trauma Screen (CATS ≥ 21; Sachser et al., 2017), (b) complete a semi-structured clinical telephone interview in which a diagnosis of PTSD, amongst other aspects, will be assessed via on the Clinician-Administered PTSD Scale for DSM-5 (CAPS-CA 5; Pynoos et al., 2015), a clinician-rated PTSD diagnosis is, however, not required for study inclusion, (c) have secure living conditions, (d) are between 15 and 21 years old, (e) provide informed consent (in case of minors, both caregivers have to provide informed consent as well /in case of sole custody or legal custody, only one informed consent provided by the person with custody is sufficient for participation) digitally signed via email or by post, (f) have a laptop or computer with access to the Internet as the intervention is desktop-based and (g) are adequately skilled in reading and writing in German. Individuals will be excluded if they suffer from severe mental symptoms other than PTSS that require psychiatric treatment, namely, (h) history of psychotic disorder/acute psychosis measured by items adapted from the Diagnostic Interview for Mental Disorders for Children (Kinder-DIPS, Schneider, Pflug, In-Albon, & Margraf, 2017), (i) acute suicidality measured by items adapted from the Self-Injurious Thoughts and Behaviours Interview (SITBI, Nock, Holmberg, Photos, & Michel, 2007) or (j) alcohol use disorder as measured by the Alcohol Use Disorders Identification Test (AUDIT > 8; Saunders, Aasland, Babor, de la Fuente, & Grant, 1993) or cannabis use disorder as measured by the Cannabis Use Disorder Identification Test-Revised (CUDIT-R > 13; Adamson et al., 2010). In addition, we will exclude individuals (k) if their current medication started shortly before the study or is about to change during the study phase (within the subsequent 10 weeks) or (l) if they currently receive psychotherapy or are currently on a waiting list for psychotherapy starting within the next 10 weeks. Beyond these criteria, there are no further reasons for exclusion due to comorbidities.

2.2.2. Sample size

We calculated our sample size for evaluation of participants’ preliminary responses to the IMI in terms of PTSS. A sample size calculation conducted with G*Power (Version 3.1.9.4; Faul, Erdfelder, Lang, & Buchner, 2007) for a two-sided t-test for dependent groups revealed that 32 participants would be sufficient to detect pre–post changes within PTSS of \( d = 0.6 \) at a significance level of 0.05 with a statistical power of 0.90. For i-tf-CBT, only two reference studies in adolescent samples report medium-to-high pre–post effect sizes in reducing PTSS \( (d = .63; \text{Seitz, Knaevelsrud, Duran, Waadt, Loos, et al., 2014; } d = 1.0; \text{ de Haas, Höing, & Schrieken, 2009).} \) As this is the first feasibility study to evaluate an IMI for PTSD in youth independent of trauma type, we conservatively estimated a medium effect size of \( d = 0.6 \). Taking into account that a number of twelve interviews is considered to be sufficient to induce data saturation in qualitative research (Guest, Bunce, & Johnson, 2006), the calculated sample size would allow to identify essential topics of the pre-defined meta-themes in the analysis of the qualitative interviews. To account for the expected high pre-treatment withdrawal from study participation, which has been observed in previous studies with a similar target group (Haas, Höing, & Schrieken, 2009; Lange & Ruwaard, 2010), we aim to screen \( N = 150 \) youth.

2.2.3. Recruitment

Youth will be recruited via various online (e.g. study webpage, webpage of the BestForCan study, Facebook, Instagram and TikTok) and offline channels (e.g. flyers in schools/counselling centres/therapy outpatient
clinics/youth psychiatry). Interested individuals can contact the study team by leaving a valid email, a name (pseudonym) and their age on a contact form on the study webpage (http://hellobetter.de/star-studie/). The study team will then email prospective participants information on the procedure and conditions of participation, data security and their right to withdraw from the study at any time, along with an informed consent form. In case of minors, the email includes information and consent form for the caregivers/person with custody as well. Participants then have to provide their written informed consent, which includes providing an emergency contact. For minors, both caregivers/person with custody also have to provide informed consent.

2.2.4. Eligibility

After providing informed consent, study eligibility will be assessed in a two-step procedure: in an initial online screening, study applicants will self-report on criteria (b)–(e), (h), (k) and (l). If these criteria are met, youth will be invited to a clinical assessment of criteria (f), (g), (i), (j) and (m) via a semi-structured clinical telephone interview conducted by a licensed psychotherapist. In addition, the incidence of other (serious) adverse events within the last 6 months and resulting possible contraindications (e.g. upcoming court case, upcoming imprisonment) for study participation will be assessed. Prospective participants will also develop a stepped emergency plan and consent to an anti-suicide contract for the study period. After completion of the interview, the psychotherapists will provide their clinical judgement on final study eligibility based on the collected interview data and their clinical expertise. If an individual is decided to be eligible for the study, they will be invited to the baseline assessment (T1). After completing the baseline assessment, all participants will receive an account on the intervention platform, which can be autonomously accessed via private login data. Excluded youth get the offer discussing appropriate help offers within a further telephone interview with a study team member and they receive a list of individualised on-site help offers for their region of residence via email.

2.3. Internet- and mobile-based intervention

2.3.1. Treatment principles and development

The IMI follows the principles of tf-CBT and includes the key components of existing first-line face-to-face treatments for PTSD such as (1) psychoeducation, (2) emotion regulation and coping skills, (3) imaginal exposure to the traumatic event and (4) cognitive restructuring (Dorsey, Briggs, & Woods, 2011; Snyder et al., 2015). Treatment components were drawn from evidence-based treatment manuals for PTSD (Cohen et al., 2017; Rosner, König, Neuner, Schmidt, & Steil, 2014; Setz, Knaevelsrud, Duran, Waadt, & Goldbeck, 2014; Sloan & Marx, 2019; Spence et al., 2011), dialectical behavioural therapy (DBT; Linehan, 2014) and self-help materials for PTSD (Williams & Poijula, 2012). Psychotherapists experienced in treating youth with PTSD and experts in developing IMIs adapted the treatment components for Internet-based guided self-help use and to the needs of youth.

2.3.2. Structure and session content

The IMI is divided into three thematic units: (1) safety and coping skills, (2) trauma processing and (3) consolidation/integration over nine sessions (eight core sessions and one booster session 4 weeks after completion of the core sessions). The length of one session is estimated between 30 and 60 min. Participants are advised to complete one session per week (12 weeks in total). For an overview of the content of single sessions, see Table A1 (Appendix).

2.3.2.1. Safety and coping skills (Sessions 1–3). In Session 1, participants are encouraged to clarify their treatment motives and define personal treatment goals. They then receive psychoeducation about traumatic experiences and PTSS and information about the rationale of trauma-focused therapy. In Session 2, participants learn about physiological arousal effects of trauma and practice relaxation skills for different levels of arousal (e.g. self-soothing techniques and distress tolerance skills). Session 3 starts with psychoeducation about emotions and basic principles of cognitive coping. Participants are then encouraged to try an acceptance-based approach for dealing with overwhelming negative emotions.

2.3.2.2. Trauma processing (Sessions 4–6). In each trauma processing session, participants are encouraged to write for 30 min about their most impactful traumatic experience in report form. In Session 4, imaginal exposure of the traumatic event starts with the first of three written trauma narratives from a neutral observer perspective without immersing themselves too deep into their emotions and thoughts. In Session 5, participants are asked to write the trauma narrative from a first-person perspective, including more details. In their last report in Session 6, participants are asked to add detailed, specific thoughts and feelings to their report. In each session, the coping strategies learned in session 2 and 3 are presented again and participants are encouraged to pay attention to their stress levels and to use the techniques learned if needed.
2.3.2.3. Consolidation/Integration (Sessions 7–9). In Session 7, maladaptive thoughts about the trauma and trauma consequences are identified and restructured using cognitive restructuring techniques (e.g. change of perspective, probability analysis). In addition, participants are guided to develop new positive and helpful thoughts. Session 8 conveys principles of relapse prevention: participants develop personal strategies to deal with critical trauma-related situations in their future, can inform themselves about posttraumatic growth and are encouraged to think about positive changes or factors that manifested after trauma exposure or during trauma mastery. The booster Session 9 concludes the IMI by reviewing key components and by giving participants the opportunity to realign their personal goals.

2.3.3. Web-based implementation

The IMI is located on an eHealth intervention platform accessible via a web browser from a computer. A web-based session consists of written content with optional drop-down sections ('read more', 'tips') to
facilitate further reading or support, if needed. Illustrative pictures, videos and audio are integrated to use a wide range of learning techniques and address different learning types. To ensure the usability of the IMI and to enhance a sense of active participation and autonomy, participants receive tailored answers to their written statements and choose between or add their own response options during exercises. To personalise the IMI, information already provided by participants (e.g. type of trauma) will be referred to in later sessions. Further, three fictional case descriptions are introduced, whose characters vary in age, gender, ethnicity and type of trauma experienced, e.g. physical violence, sexual assault and car accident. Between sessions, participants are encouraged to work on the exercise for daily life, which aims to facilitate the transfer of treatment content into everyday life. Participants are also advised to keep a diary and to (re)activate their individual resources (e.g. completing sports, meeting friends, taking a walk). To support regular use of the diary and daily planning of resources, participants can download a complementary smartphone app to use the diary. All materials (documents or audio files) relevant for completing the exercises in daily life and a summary of the session completed can be downloaded at the end of each session.

2.3.4. Guidance
Participants receive content-focused feedback, including guidance by an eCoach, throughout the intervention. The eCoach sends individualised and semi-standardised written feedback on completed sessions according to a manual via the online platform, as well as adherence reminders in case a module is not completed within 7 days (Zarski et al., 2016). The feedback has the primary purpose of potential crisis monitoring and supporting, encouraging and motivating participants, as well as promoting adherence to the intervention and, if necessary, offering guidance or discussing open questions. The eCoaches are psychotherapists in training who are trained for eCoaching as part of the study and will be supervised by a licensed psychotherapist with extensive clinical expertise in treating youth with PTSD. In addition, participants can receive further support from a licensed psychotherapist via telephone if needed.

2.4. Assessments
2.4.1. Points of assessments
Assessments are planned for screening (self-rated online assessment and clinician-rated telephone interview), at baseline (T1; self-rated online assessment prior to IMI access), mid-intervention (T2; self-rated online assessment after completion of the third session, or 3 weeks after IMI access), post-intervention (T3; self-rated online assessment and clinician-rated telephone interview after completion of the eight core sessions, or 8 weeks after IMI access) and at follow-up (T4; self-rated online assessment 6 months after IMI access). A qualitative telephone interview will take place after completion of the booster session, or 12 weeks after IMI access. The online assessments will be conducted via a secure online platform. All interviews will be scheduled via an online timetable and will be recorded. On the intervention platform, we will collect feedback on completed sessions and track objective user data. For an overview of measures and assessments, see Table 1. Participants will receive incentives in the form of vouchers for the completion of the baseline assessment (T1; €15) and follow-up (T4; €15), resulting in a total compensation of €30 for study completion.

2.4.2. Screening measures
PTSSs are assessed by the CATS (20 items; score range: 0–40; Sachser et al., 2017), which screens for exposure to potentially traumatic events with 15 items. The following 20 items directly map onto the DSM-5 criteria for PTSD, including the subscales ‘re-experiencing’ (five items; score range: 0–15), ‘avoidance’ (two items; score range: 0–6), ‘negative alterations in cognition and mood’ (seven items; score range: 0–21) and ‘hyperarousal’ (six items; score range: 0–18), with higher scores indicating higher symptom severity. Reliability of the CATS is reported to be excellent in German youth who experienced a traumatic event (α = .90; Sachser et al., 2017).

Diagnosis of PTSD is evaluated with the CAPS-CA 5 (30 items; Pynoos et al., 2015). No studies have evaluated the psychometric properties of the German version used in this study. The German version of the CAPS-CA IV showed good inter-rater reliability (κ = .68) and high internal consistency of the total symptom score (α = .79) in adolescents with PTSD (Sachser et al., 2017). The CAPS-CA 5 showed high internal consistency (α = .89) in a sample of Portuguese adolescents (Barroca et al., 2022).

Acute psychotic symptoms are measured with items adapted from the Kinder-DIPS (5 items; Schneider et al., 2017), and history of psychotic disorders is assessed using one self-developed item (‘Have you ever been treated for, or diagnosed with, psychosis or schizophrenia by a doctor?’).

Acute suicidality is measured using items from the SITBI (nine items; Nock et al., 2007).

Alcohol use disorder is measured by the AUDIT (ten items; score range: 0–40; cutoff for alcohol dependency disorder > 8; Saunders et al., 1993), which has excellent reliability in German adults (α = .95; Dybek et al., 2006) and also satisfactory reliability in German sample of healthy students (α = .77; Rumpf, Wohlert, Freyer-Adam, Grothues, & Bischof, 2013).
Comprehensibility of the items, stress associated with the assessments and time required for data collection are assessed with self-developed items.

### 2.4.3. Data collection procedures and outcome measures

#### 2.4.3.1. Recruitment capability and resulting sample characteristics

| Construct (measures)                  | T0 | T1 | T2 | T3 | T4 |
|--------------------------------------|----|----|----|----|----|
| Inclusion and exclusion criteria     | X  | X  | X  | X  | X  |
| Posttraumatic stress symptoms (CATS) | X  | X  | X  | X  | X  |
| Diagnosis of PTSD (CAPS-CA S)        | X  | X  | X  | X  | X  |
| Acute psychiatric symptoms (Kinder-DIPS) | X  | X  | X  | X  | X  |
| Acute suicidality (SITBI)            | X  | X  | X  | X  | X  |
| Alcohol use disorder (AUDIT)         | X  | X  | X  | X  | X  |
| Cannabis use disorder (CUDIT-R)      | X  | X  | X  | X  | X  |
| Living conditions                    | X  | X  | X  | X  | X  |
| Recruitment capability and resulting sample characteristics | X  | X  | X  | X  | X  |
| Sociodemographics                    | X  | X  | X  | X  | X  |
| Help-seeking behaviour               | X  | X  | X  | X  | X  |

#### 2.4.3.2. Acceptability of the IMI and the study procedures

| Construct (measures)                  | T0 | T1 | T2 | T3 | T4 |
|--------------------------------------|----|----|----|----|----|
| Data collection procedures and outcome measures | X  | X  | X  | X  | X  |
| Acceptability of the IMI and the study procedures | X  | X  | X  | X  | X  |
| Acceptability of individual IMI sessions | X  | X  | X  | X  | X  |

#### 2.4.3.3. Resources and ability to manage and implement the study and IMI

**Study adherence** is measured by the number of completed online ($k = 5$) and telephone assessments ($k = 3$). Intervention adherence is assessed based on objective user data from the intervention platform (i.e. number of modules completed, number of logins with timestamps) and via self-reported engagement and acceptance (i.e. number of times the exercise is performed in everyday life, compatibility of exercises with daily life). We will report the percentage of interventions completed and number of intervention completers.

Overall **acceptability of the IMI** is assessed based on seven open-ended questions in the qualitative interview concerning the acceptability dimensions of a treatment, as recommended by Sekhon, Cartwright, and Francis (2017) including (1) affective attitude, (2) burden, (3) ethicality, (4) intervention coherence, (5) opportunity costs, (6) perceived effectiveness and (7) self-efficacy.

**Acceptability of individual sessions** is measured by four self-report items on the usefulness, feasibility, comprehensibility and aesthetics assessed on the intervention platform at the end of each session.

### 2.4.3.4. Data collection procedures and outcome measures

#### Comprehensibility of items

Cannabis use disorder is measured by the CUDIT-R (ten items; score range: 0–40; cutoff for cannabis dependency disorder > 13; Adamson et al., 2010), which similarly shows excellent reliability in adults in New Zealand ($\alpha = .91$; Adamson et al., 2010). The reliability has been shown to be excellent within a cross-cultural validation study among English and Spanish speaking healthy students ($\alpha = .82$; Mezquita, Bravo, Pilatti, Ortet, & Ibáñez, 2022).

#### Living conditions

Living conditions are assessed using three clinician-rated self-developed items assessing whether the adolescents feel safe, are exposed to any threat at home or in their close environment or have trauma-related perpetrator contact.

#### 2.4.3. Feasibility measures

#### 2.4.3.1. Recruitment capability and resulting sample characteristics

Recruitment data will be tracked based on the number of people who access the website applying for study participation and in addition to the use of different recruitment channels.

Sociodemographics (e.g. gender, residence, education level) and help-seeking behaviour will be assessed with standard and self-developed items.
2.4.3.5. Preliminary evaluation of participant responses to the IMI. In addition to its use as a screening tool, the CATS is also used to measure PTSS and the CAPS-CA 5 to assess PTSD diagnosis at post-treatment and follow-up.

Depressive symptoms will be assessed by the Patient Health Questionnaire (PHQ-9; 9 items; score range: 0–27 Kroenke, Spitzer, & Williams, 2001), referring to the previous 2 weeks, with higher scores indicating greater symptom severity. The PHQ-9 has demonstrated excellent reliability in a German sample of youth with depression (α = .90; Sachser et al., 2017).

Anxiety symptoms will be assessed using the Generalized Anxiety Disorder Assessment (GAD-7; seven items; score range: 0–21; Spitzer, Kroenke, Williams, & Löwe, 2006), referring to the previous 2 weeks, with higher scores indicating greater symptomatology. The GAD-7 has revealed excellent reliability in a German sample of youth with anxiety disorders (α = .92; McKinnon et al., 2017).

Health-related quality of life will be assessed by the KIDSCREEN-10-Index (ten items; score range: 0–40; Ravens-Sieberer et al., 2010), with lower scores indicating unhappiness and dissatisfaction regarding peers, family and school life (Ravens-Sieberer et al., 2010). Its reliability has been shown to be good in German youth with traumatisation (α = .80; Weber, Jud, Landolt, & Goldbeck, 2017).

Posttraumatic cognition will be assessed by the Child Posttraumatic Cognitions Inventory Short Version (CPTCI-SF; ten items; McKinnon et al., 2016) including the subscales ‘permanent and disturbing change’ (six items, score range: 6–24) and ‘fragile person in a scary world’ (four items, score range: 4–16). The CPTCI-SF has shown excellent reliability in youth in England, East Anglia and Australia who have been exposed to a traumatic event in the last six months (α = .92; McKinnon et al., 2016).

Treatment satisfaction is assessed with the Client Satisfaction Questionnaire adapted for Internet interventions (CSQ-I; eight items; score range: 8–32; Attikisson & Zwick, 1982; Boß et al., 2016), with higher scores indicating greater satisfaction with the IMI. The scale showed good psychometric properties in German adults with stress-elevated or depressive symptoms (McDonald’s omega = .93–.95; Boß et al., 2016).

2.4.3.6. Evaluation of potential risks and possible negative effects. Suicidality will be assessed by the suicide item 9 of the Beck Depression Inventory-II: This questionnaire consists of 21 groups of statements. Please read each group of statements carefully. And then pick out the one statement in each group that best describes the way you have been feeling during the past two weeks, including today. with answers options for item 9 ‘suicidal thoughts or wishes’: ‘I don’t have any thoughts of killing myself.’ (0), ‘I have thoughts of killing myself, but I would not carry them out.’ (1), ‘I would like to kill myself’ (2), and ‘I would kill myself if I had the chance’ (3) (BDI-II item 09; score range: 0–3; Hautzinger, Keller, & Kühner, 2006).

Potential negative effects of the IMI will be assessed using the Negative Effects Questionnaire (NEQ; 20 items; Rozental, Kottorp, Boettcher, Andersson, & Carlbring, 2016). The NEQ measures the frequency (score range: 0–20) and impact (score range: 0–80) of different negative effects during the treatment period. Internal consistency of the NEQ is reported to be excellent (α = .95) in Swedish adults (Rozental et al., 2016).

Other (serious) adverse events and their association with intervention participation are systematically assessed with self-developed items. Incident of (S)AEs is documented by the study personnel and will be forwarded to the principal investigator of the study. Adverse events are defined as unintended symptoms or conditions that are inconsistent in nature or severity with the present information about the effects of the intervention (Rozental et al., 2014). Serious adverse events are defined as (1) emergency hospitalisation due to mental illness; (2) involuntary breakdown of a close, important relationship; (3) intoxication with a psychotropic substance requiring medical care; (4) self-injury (intentional) requiring medical care; (5) suicide or suicide attempt; (6) acute psychosis; (7) delinquent behaviour; (8) aggression towards persons or objects; and (9) insecure living conditions.

Deterioration of PTSS during study participation will be assessed as a possible risk with the CATS at pre-, mid-, and post-intervention, and at follow-up.

2.5. Safety management

As a prerequisite for participation, youth need to (1) list an adult emergency contact in their informed consent who is verified and informed about the study by study administrators, (2) develop a stepped emergency plan and (3) consent to the anti-suicide contract in the telephone screening (T0). Furthermore, (4) youth with acute suicidal intentions or plans are identified during the telephone screening, excluded from participation and referred to alternative mental health services in their area of residence. During study participation, participants can report suicidal ideation during IMI sessions, within online assessments (T2 and T4) by scoring > 0 on BDI-II item 9 (Hautzinger et al., 2006) and during telephone interviews. They are also encouraged to discuss any negative changes in their mood and behaviour with their eCoach via private chat. If a serious adverse event is identified in any of
the monitoring modes, participants will receive a reminder of their emergency plan and will be called by a licensed psychotherapist, with whom immediate coping strategies can be discussed in addition to more intensive, stepped-up treatment as necessary. Finally, if necessary, the emergency contact will be informed. With regard to PTSS deterioration, there are no criteria (cutoff scores) pre-defined, which will cause study discontinuation or immediate referral to on-site psychotherapy. Instead, participants reporting a significant and persistent worsening of their symptoms (via private Chat with their eCoaches or via email to the study team) get offered a telephone consultation with the study therapists. Within this consultation, if consistent with the clinical judgment of the therapist, on-site therapy and study discontinuation may be recommended.

2.6. Statistical analysis

Following the guidelines for reporting non-randomised pilot and feasibility studies, all primary analyses will be based on the per protocol principle (Lancaster & Thabane, 2019). In addition, we will analyse the data based on the intention-to-treat principle to reduce bias. Analyses will be performed using R statistics software (R Core Team, 2009). The significance level for all analyses will be set to 0.05. All analysis will be explorative. We will report descriptive statistics for all outcomes at each timepoint (i.e. mean and standard deviations for continuous outcomes, counts and percentages for categorical outcomes). We will report descriptive statistics for all outcomes at each timepoint (i.e. mean and standard deviations for continuous outcomes, counts and percentages for categorical outcomes). Regarding the preliminary evaluation of participant responses to the intervention in terms of posttraumatic symptom severity (CATS), depressive symptoms (PHQ-9), anxiety symptoms (GAD-7), health-related quality of life (KIDSCREEN), and posttraumatic cognitions (CPTCI-SF), group differences between pre- (T1) and post-intervention (T3), between pre-intervention (T1) and follow-up (T4), as well as between post-intervention (T3) and follow-up (T4) will be analysed using a two-sided t-test for dependent groups. Cohen’s d within-group-effect sizes and their 95% CIs will be reported (Hedges & Olkin, 1985). Additionally, we will calculate the reliable change index (RCI) (Jacobson & Truax, 2004) from pre- (T1) to post-intervention (T3), from pre-intervention (T1) to follow-up (T4) as well as from post-intervention (T3) to follow up (T4) to test for clinically significant improvement and deterioration of PTSS on a single person level. Additionally, the frequency of occurrence of negative effects and their association to intervention participation will be analysed.

2.7. Analysis of qualitative interviews

Qualitative semi-structured interviews will be conducted via telephone interviews to assess patient perspectives on the intervention regarding expectations, acceptability as suggested by Sekhon et al.’s (2017) criteria, content and quality, perceived usefulness and efficacy, mechanisms of change, individual fit, guidance, active engagement and adherence and safety. All qualitative data will be audiotaped and transcribed verbatim using the software MAXQDA. The evaluation relies on qualitative content analyses, following Mayring (2010) and Kuckartz, Ebert, Rädiker, and Stefer (2009). Two independent raters will code all transcripts based on the coding guide so that inter-rater agreement can be calculated as a measure of the reliability of the results.

3. Discussion

With this study, we aim to develop and evaluate an IMI for youth with PTSD. To determine the feasibility of delivering the IMI and conducting a follow-up RCT, we will use a formal feasibility framework for our evaluation, considering recruitment capability and sample characteristics, data collection procedures and outcome measures, acceptability of the IMI and study procedures and resources and capacity for study management and implementation, together with participants’ responses to the IMI, i.e. in terms of symptom severity and potential negative effects (Orsmond & Cohn, 2015). The results derived from this feasibility study will be of particular importance to adapt the IMI even more closely to the needs of youth and to inform the design, procedure and safety management of the subsequent RCT.

This feasibility study has several strengths. It will significantly contribute to the literature and empirically tested IMIs for PTSD in youth, because, to the best of our knowledge, it is the first to investigate the feasibility of a guided trauma-focused IMI for the treatment of PTSD in youth. Participation in the study and IMI is low threshold, due to the online format (e.g. flexibility in time and place, enhanced feeling of anonymity due to the lack of face-to-face contact). In contrast with traditional face-to-face therapy, the IMI has the potential to overcome barriers (e.g. stigma, shame) which youth report when seeking treatment (Gulliver et al., 2010; Rosner et al., 2020). The IMI delivers treatment based on the principles of tf-CBT, which are among first-line treatment recommendations for PTSD in youth. Compared to existing programmes, this IMI addresses a large group of trauma-exposed youth by including all types of trauma. Implementation and delivery of such an IMI if it shows to be effective might be from particular importance to further disseminate evidence-based
trauma-focused methods and a way to reach youth at a lower threshold earlier than traditional treatment. Thus, IMIs could be a first step into treatment or to be used for bridging waiting times for on-site psychotherapy in addition to stand-alone application.

This study has the following limitations: First, selection bias might occur due to the necessity of providing written informed consent of both caregivers among underage youth due to ethical considerations and data regulations. For that reason, underage youth with inner familiar trauma might not be able to participate because they might not receive consent for study participation from their parents. Second, not all instruments selected were validated in German adolescent samples. Further, we had to keep the number of questionnaires and items limited to ensure the economic feasibility of the assessments in youth. Therefore, we decided to use the short version of the PTDSI and we did not include measures for assessing potential mediators. Third, we did not include measures to distinguish between the diagnosis PTSD and complex PTSD. Future studies should use the longer version of the CPTCI, as it is more likely to capture the cognitions of adolescents with multiple traumaisation and should assess mediating constructs such as emotion regulation (Sharma-Patel & Brown, 2016). Furthermore, both the diagnosis of PTSD and complex PTSD, should be assessed in future studies, e.g. with the International Trauma Questionnaire (Cloitre, Hyland, Prins, & Shevlin, 2021). The generalizability of the sample might be limited due to the necessity of having access to a laptop/PC. Developing a version adaptive for mobile devices was not possible for our intervention due to technical requirements. Concerning the high user rate of mobile phones within youth, the possibility of developing a desktop and mobile-based intervention should be investigated in future research.. Furthermore, the generalizability of the study results will be limited to German-speaking youth without recent severe self-injurious behaviour, acute suicidality and substance dependence. Concerning a possible transfer of the study results into other health care system, further research should investigate the need for cultural adaptations of the intervention first (Heim & Kohrt, 2019). A culture-sensitive i-tf-CBT may have the potential to improve health care especially in underserved populations or war-afflicted areas. Finally, since the study is a feasibility study without a control group and randomisation, no conclusions can be drawn about its efficacy in reducing PTSS. Consequently, the follow-up is restricted to 3 months and thus does not provide information about long-term effects. If the feasibility trial is successful, a subsequent robust RCT is necessary to evaluate the efficacy of the IMI in reducing PTSS and PTSD. Further studies and analyses are needed to understand for which youth an IMI is effective and under what circumstances, as well as how the IMI can be optimised to improve individualised fit.

To conclude, an IMI for youth with PTSD has the potential to reach traumatised adolescents with evidence-based care earlier, and at a lower threshold, as compared to traditional approaches. Therefore, it may reduce disease burden and healthcare costs both at an individual and societal level.

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**Disclosure statement**

Christina Schulte (CS), Cedric Sachser (CeS), Anna-Carlotta Zarski (AZ) and Rita Rosner have nothing to disclose. David Daniel Ebert (DE) reports other from Get.On Institute, personal fees from Sanofi, personal fees from Novartis, personal fees from Minddistrict, personal fees from Lantern, personal fees from Schoen Kliniken, personal fees from Ideamed, grants from EU H2020, grants from BMBF, outside the submitted work; and Dr. Ebert has served as a consultant to/on the scientific advisory boards of Sanofi, Novartis, Minddistrict, Lantern, Schoen Kliniken, Ideamed and German health insurance companies (BARMER, Techniker Krankenkasse) and a number of federal chambers for psychotherapy. He is also a stakeholder in the institute for health training online (formerly GET.ON, now HelloBetter), which aims to implement scientific findings related to digital health interventions into routine care. HelloBetter distributes the Internet platform for the digital intervention under study.

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**Availability of data and material**

Upon request from the authors.

**Consent for publication**

All authors have read the manuscript and approved its publication.

**Date of first enrolment**

04 August 2021
Ethics approval and consent to participate

The DGs approved the research protocol (DGPs, the Society, EbertDavidDaniel2020-09-16-VA). The consent to participate is available in German and was reviewed by the Institutional Review Board.

Data availability statement

As this manuscript describes a study protocol, provision of a dataset is not applicable. Instead, we provide a trial registration: German Clinical Trial Registry (GCTR, DRKS00023341), Registered 20 July 2021, https://www.drks.de/drks_web/navigate.do?navigationId=trial.HTML&TRIAL_ID=DRKS00023341

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