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Effect of cylinder de-activation on the tribological performance of compression ring conjunction

SR Bewsher¹, R Turnbull¹, M Mohammadpour¹, R Rahmani¹, H Rahnejat¹, G Offner² and O Knaus²

Abstract
The paper presents transient thermal-mixed-hydrodynamics of piston compression ring–cylinder liner conjunction for a 4-cylinder 4-stroke gasoline engine during a part of the New European Drive Cycle (NEDC). Analyses are carried out with and without cylinder de-activation technology in order to investigate its effect upon the generated tribological conditions. In particular, the effect of cylinder deactivation upon frictional power loss is studied. The predictions show that overall power losses in the piston–ring cylinder system worsen by as much as 10% because of the increased combustion pressures and liner temperatures in the active cylinders of an engine operating under cylinder deactivation. This finding shows the down-side of this progressively employed technology, which otherwise is effective in terms of combustion efficiency with additional benefits for operation of catalytic converters. The expounded approach has not hitherto been reported in literature.

Keywords
Cylinder de-activation, piston compression ring conjunction, mixed regime of lubrication, friction, power loss

Introduction
The automotive industry is driven by the need to manufacture more efficient vehicles, with ever-stringent global emission directives and regulations to reduce the effects of greenhouse gases. Better fuel economy and reduced emissions are key motivation for increased efficiency in internal combustion (IC) engines.

Different technologies have been used to reduce emissions and improve fuel economy. These include variable valve actuation (VVA), turbo-charging, stop-start and cylinder de-activation (CDA). Although these technologies show potential for reducing emissions and fuel economy, they also promote certain undesired side-effects such as durability or increased frictional losses in some engine conjunctions, which are not often taken into account.

Frictional power losses typically account for 15–20% of the overall losses in an IC engine. These include the piston ring and piston skirt friction,¹ which are the major contributors with 40–50% of the frictional losses.² These can be affected by changing the working conditions with the introduction of the aforementioned new technologies.

Uras et al.³ showed that frictional losses increase under stop-start conditions such as that experienced in an urban drive cycle with modern vehicles. Mohammadpour et al.⁴ investigated the effect of CDA on big end bearing performance. They found that although the overall fuel efficiency was improved, the bearing efficiency was reduced through increased overall frictional power loss. This was caused as the result of reduced minimum lubricant film thickness, which can lead to higher wear of components and generated contact temperatures.

CDA is particularly effective at low and partial engine loading such as in congested traffic and in urban driving.⁵ This is represented by the urban driving section of the New European Driving Cycle (NEDC).⁶ Thus, to improve fuel economy, many
modern IC engines utilise CDA technology. With CDA the intake and exhaust valves of the deactivated cylinders are closed during the combustion cycle. This eliminates the pumping losses. On the other hand, in order to maintain the required output power, the combustion pressure is increased in the remaining active cylinders, which leads to higher combustion efficiency. Finally, the higher exhaust temperature as a result of CDA aid the effective functioning of the exhaust catalyst. A study conducted by Douglas et al. simulated the effect of CDA on emissions and exhaust catalyst.

The effects of CDA on IC engine performance and friction was studied by Boretti and Scalco for a selection of deactivated cylinders. Although it was concluded that CDA would improve fuel economy of both petrol and diesel engines by 30% and 20% respectively, their contribution was confined to an overview of the piston-connecting rod-crank subsystem, and did not specifically refer to the piston ring pack.

The compression ring’s main function is to seal the combustion chamber and prevent leakage of gases into the crank-case and ingestion of lubrication into the chamber. Therefore, the compression ring should conform well to the cylinder bore, thus resulting in increased friction. Baker et al. showed that compression ring elastodynamic modal behaviour and conformance to the bore surface results in a disproportionate share of parasitic losses from such a small contact conjunction.

The current study focuses on the effect of CDA upon the piston compression ring-to-cylinder liner contact conjunction. The analysis is based on the combined transient solution of 2D Reynolds equation, lubricant rheological state equations and the Greenwood and Tripp’s method for boundary interactions. Viscous friction of a thin lubricant film and boundary friction resulting from any asperity interactions on the counter surfaces are predicted and frictional power loss evaluated. A 4-cylinder 4-stroke gasoline engine is considered in a snapshot within the NEDC. The ring-liner conjunction is analysed assuming a required engine power with and without CDA. In the case of CDA, the frictional performance of both the active and de-activated cylinders is considered. The frictional performance of the compression ring conjunction under transient mixed regime of lubrication with CDA has not previously been documented in literature.

Methodology

Hydrodynamics

The 2D Reynolds equation for a compressible piezoviscous lubricant is

\[
\frac{\partial}{\partial x} \left( \frac{\rho h^3}{6\eta} \frac{\partial p}{\partial x} \right) + \frac{\partial}{\partial y} \left( \frac{\rho h^3}{6\eta} \frac{\partial p}{\partial y} \right) = \frac{\partial (\rho U h)}{\partial x} + \frac{\partial (\rho V h)}{\partial y} + 2\frac{\partial (\rho h)}{\partial t}
\]

(1)

where, for the current analysis, any side-leakage of the lubricant in the lateral contact direction due to Couette flow (along the periphery of the cylinder bore) is ignored \((V = 0)\). This condition is valid for an assumed circumferentially symmetric film in the ring-bore conjunction.

Furthermore, no axial motion of the ring relative to the piston within its retaining groove is assumed. In practice, the ring moves between the lower and upper groove lands during the piston cycle. Inclusion of this feature is only essential when gas flow analysis is considered, which is not the focus of the current analysis. Therefore, the sliding speed of the compression ring is taken to be that of the piston

\[
U(\varphi) = -r\omega \sin \varphi \left[ 1 + \cos \left( \frac{\varphi}{2} \right) - \sin^2 \varphi \right] \frac{2}{2}
\]

(2)

For the axial \(x\)-direction of the contact, Reynolds (or Swift–Stieber) outlet boundary conditions are used, which determines the lubricant film rupture location. A fully flooded inlet is assumed. The boundary conditions become

\[
\begin{align*}
  p_{x=-b/2} &= P_a \\
  p_{x=x_c} &= P_e \\
  \left. \frac{\partial p}{\partial x} \right|_{x=x_c} &= 0
\end{align*}
\]

(3)

where \(P_a\) is the ring inlet pressure, which is assumed to be the crank-case pressure in the piston downstroke sense and the combustion chamber pressure in its upstroke sense. The cavitation pressure, \(P_e\), is considered to be the same as the atmospheric pressure in this analysis. The position, \(x = x_c\), denotes the lubricant film rupture point. The current model does not include any lubricant film reformation beyond the point of lubricant film rupture.

Lubricant rheology

The lubricant viscosity and density are affected by temperature and pressure. For viscosity,
the Roelands\textsuperscript{16} equation is used, with the further development of the same by Houpert\textsuperscript{17} yielding

\begin{equation}
\eta = \eta_0 e^{\alpha^* p}
\end{equation}

where \(\eta\) is the dynamic viscosity of the lubricant at temperature \(T\). Morris et al.\textsuperscript{18} used an analytical control volume thermal mixing model to determine the temperature of the lubricant in passage through the contact. They showed that the lubricant temperature in the compression ring-liner conjunction closely follows that of the cylinder liner, with generated heat due to viscous shear heating accounting for less than 2% of the same. Therefore, in the current analysis the average temperature of the liner is used for the value of \(T_0\).

\(\alpha^*\) is a function of both pressure and temperature, with \(T_0\) being the reference or ambient temperature, thus\textsuperscript{17}

\begin{equation}
\alpha^* p = \left[\ln \eta_0 + 9.67\right] \left(\frac{T - 138}{T_0 - 138}\right)^{-S_0} \\
\times \left\{\left(1 + \frac{p}{1.98 \times 10^8} Z - 1\right)\right\}
\end{equation}

Values of \(Z\) and \(S_0\) are obtained as\textsuperscript{17}

\begin{align}
Z &= \frac{\alpha}{5.1 \times 10^9 [\ln(\eta_0) + 9.67]} \\
S_0 &= \frac{\beta(T_0 - 138)}{\ln(\eta_0) + 9.67}
\end{align}

Variations of lubricant density with pressure and temperature are obtained using the Dowson and Higginson equation\textsuperscript{19}

\begin{equation}
\rho = \rho_0 \left(1 + \frac{0.6 \times 10^{-3} p}{1 + 1.7 \times 10^{-9} p}\right) \\
\times \left[1 - 0.65 \times 10^{-3} (T - T_0)\right]
\end{equation}

**Conjunctural geometry**

In the current study the compression ring is considered to be rigid, thus not undergoing its in-plane radial, as well as out-of-plane twisting deformation. A study including these features was carried out by Baker et al.\textsuperscript{9,10} The generated contact pressures are also insufficient to cause any localised contact deflection as shown by Bolander et al.\textsuperscript{20} and Mishra et al.\textsuperscript{21} Therefore, the lubricant film shape is a function of its instantaneous gap and the ring axial profile, \(h_s\) as

\begin{equation}
h(x, t) = h_m(t) + h_s(x)
\end{equation}

**Forces acting on the ring**

Two outward forces act on the ring in its radial plane. These are the ring tension, \(F_r\), and the gas force, \(F_g\), which acts behind the inner rim of the ring (Figure 1). These forces strive to conform the ring to the cylinder liner surface, thus

\begin{equation}
F = F_r + F_g
\end{equation}

where the gas force acting behind the inner rim of the ring is

\begin{equation}
F_g = p_g b l
\end{equation}

\(p_g\) is found using the combustion pressure curve. The ring tension force is

\begin{equation}
F_r = p_r b l
\end{equation}

The elastic pressure is calculated using\textsuperscript{22}

\begin{equation}
p_e = \frac{GE I}{3\pi br_0^4}
\end{equation}

For a ring with an assumed rectangular cross section

\begin{equation}
I = \frac{1}{12} bd^3
\end{equation}

Rahmani et al.\textsuperscript{22} considered an out-of-round cylinder where the compression ring only partially conforms to its surface in parts of the engine cycle. In the current analysis an idealised right circular cylinder is assumed, as in most studies reported in literature.
Transience of regime of lubrication

For parts of the engine cycle, particularly in the compression and power strokes at the piston dead centre reversals or in its immediate vicinity, analyses show prevalence of mixed or boundary regimes of lubrication.\textsuperscript{20–25} Under these conditions, the mechanisms underlying generation of friction comprise viscous shear of a thin lubricant film, as well as direct interaction of asperities on the contacting surfaces. In fact, Styles et al.\textsuperscript{26} showed that most of the generated friction in an engine cycle from the compression ring conjunction occurs in the vicinity of the top dead center in transition from the compression to the power stroke. This prediction was in line with the observations made by Akalin and Newaz\textsuperscript{27} using a power stroke. Therefore, the use of a Gaussian distribution in the current study is for experimentally measured run-in liner topography.\textsuperscript{22}

In the same manner, the total generated contact friction at any instant of time is

\[
f = f_b + f_v
\]

The boundary friction caused by the interaction of the opposing asperities is obtained as

\[
f_b = \tau_0 A_a + \zeta W_a
\]

where \(\zeta\) is the shear strength of asperities on the softer of the two counter faces (in this case the cast iron liner). \(\zeta\) is analogous to the asperity-scale coefficient of friction and is measured using an atomic force microscope operating in lateral force mode. Styles et al.\textsuperscript{26} described the procedure in detail. \(\tau_0\) is the Eyring shear stress of the lubricant,\textsuperscript{31} in this case used for low to medium pressures and obtained through viscometry. Equation (19) also requires the determination of the area of asperity tips\textsuperscript{11,12}

\[
A_a = \pi^2 (\zeta K \sigma)^2 AF_2(\lambda)
\]

The viscous friction is calculated as

\[
f_v = \int_0^d \int_0^h \tau \, dx \, dy
\]

where the shear stress, \(\tau\) is obtained as

\[
\tau = \left| -\frac{dp}{dx} \right| + \frac{\eta U}{h}
\]

Method of solution

Reynolds equation has been discretised using a finite difference central method. A point successive over-relaxation method is then used to obtain the generated pressure distribution.\textsuperscript{32} The iterative process is made up of the following steps:

1. An initial guess for the minimum film thickness is made at each crank angle, \(\psi\). This is used in equation (9) to find the film shape.

2. Using the film shape, a pressure distribution along the piston ring is calculated using the discretised Reynolds equation and lubricant rheological properties from equations (1), (4) and (6). Two convergence criteria must be satisfied.

3. The first criterion is for pressures, which is deemed to be converged when

\[
Err_{\text{pressure}} = \frac{\sum_{i=1}^{I} \sum_{j=1}^{J} \left| p_{ij}^n - p_{ij}^{n-1} \right|}{\sum_{i=1}^{I} \sum_{j=1}^{J} p_{ij}^n} \leq 1 \times 10^{-5}
\]
If the criterion is not met, then over relaxation is applied

\[ p_{n+1}^{ij} = (1 - \gamma) p_{n}^{ij} + \gamma p_{n}^{ij}; \quad (0 < \gamma < 2) \quad (24) \]

The relaxation factor, \( \gamma \), is determined by numerical testing. It was found that an over-relaxation factor of 1.4 yielded the fastest solution.

4. The second criterion is for load balance. The applied contact load, \( F \), should equilibrate the contact reaction, \( W \). Thus, at each crank angle

\[ \frac{|W - F|}{F} \leq \varepsilon \quad (25) \]

where \( \varepsilon \leq 10^{-3} \). In the current analysis, the convergence criteria is taken as: \( \varepsilon = 10^{-5} \). When the equilibrium condition is not met the minimum film thickness is altered as

\[ h_{n}^{m} = (1 + B\vartheta)h_{n-1}^{m} \quad (26) \]

where \( B \) is a damping coefficient with the value 0.01. \( \vartheta \) is then calculated as

\[ \vartheta = \frac{W - F}{\text{max}(W, F)} \quad (27) \]

5. When both convergence criteria are met, the crank angle is advanced within the combustion cycle, which for a 4-stroke process comprises 720°.

### Validation of methodology

The numerical simulations described here use an existing and established CFD model.33,34 These CFD models take into account the 3D solution of Navier-Stokes equation, but are computationallly expensive. The input parameters from Tables 1 to 3 are used and the combustion curve in Shahmohamadi et al.33 at an engine speed of 1500 r/min.34 The results in Figure 2 show the minimum film thickness throughout the 720° engine cycle. It can be seen that there is a maximum deviation of 10% from the CFD results. This shows good agreement with the more detailed, but computationally intensive CFD model.33

### Results and discussion

A C-segment vehicle with a 4-cylinder 4-stroke gasoline engine is used in the current analysis. The engine, lubricant, material and surface parameters are listed in Tables 1, 2 and 3, respectively. The engine speed is 1500 r/min. This speed corresponds to 35 km/h vehicle speed on the NEDC, when the car is driven in third gear. The delivered torque from the engine is 52.03 Nm.

The results presented are for conditions which all cylinders remain active, delivering the required torque. Results are also presented for CDA with two active cylinders delivering the same output torque. Figure 2 shows the combustion pressure, \( P_c \), throughout the engine cycle. Figure 3 shows the

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**Table 1. Engine data.**

| Parameters          | Values | Units |
|---------------------|--------|-------|
| Torque              | 52.03  | Nm    |
| No. of cylinders    | 4      | –     |
| Engine type         | Gasoline SI | –     |
| Crank-pin radius, \( r \) | 39.75  | mm    |
| Connecting rod length, \( l \) | 138.1  | mm    |
| Bore nominal radius, \( r_0 \) | 44.52  | mm    |
| Ring crown height, \( c \) | 10     | \( \mu \)m |
| Ring axial face width, \( b \) | 1.15   | mm    |
| Ring radial width, \( d \) | 3.5    | mm    |
| Ring free end gap, \( g \) | 10.5   | mm    |

**Table 2. Lubricant properties in atmospheric pressure.**

| Parameters          | Values | Units |
|---------------------|--------|-------|
| Lubricant viscosity, \( \eta_0 \) at 40°C | 0.05  | kg/ms |
| Lubricant density, \( \rho_0 \) at 40°C | 833.8  | kg/m³ |
| Eyring shear stress, \( \tau_0 \) | 2  | MPa |

**Table 3. Material properties and surface topographical parameters.**

| Parameters          | Values | Units |
|---------------------|--------|-------|
| Liner material      | Grey cast iron | – |
| Modulus elasticity of liner material | 92.3  | GPa |
| Poisson’s ratio for liner material | 0.211  | – |
| Density for liner material | 7200  | kg/m³ |
| Ring material       | Steel SAE 9254 | – |
| Modulus elasticity of ring material | 203  | GPa |
| Poisson ratio for ring material | 0.3  | – |
| Roughness parameter \( (\sigma/K)_{22} \) | 0.04  | – |
| Measure of asperity gradient \( (\sigma/K)_{22} \) | 0.001  | – |
| Shear strength of asperities \( (\sigma) \) | 0.17  | – |
| Density for ring material | 7700  | kg/m³ |

All topographical data in Rahmani et al.22 were measured using white light interferometry with Alicona with measurement sensitivity of 1 nm normal to the surface and 0.175 \( \mu \)m in horizontal directions.
corresponding measured variation in the liner temperature. The results correspond to (i) all active cylinders, (ii) an active cylinder in an engine with CDA and (iii) a deactivated cylinder in an engine with CDA.

The results are for the predicted minimum film thickness and the total friction in the piston top compression ring-to-cylinder liner conjunction. Under CDA, a considerable increase in the combustion pressure is required in the active cylinders to allow for the delivery of the same engine power as that with all the cylinder remaining active. In order to achieve this, a higher rate of fuel injection is required, which may not essentially achieve improved fuel efficiency and reduce engine emissions with CDA.

The crank angle $\theta = 0^\circ$ marks the position of the TDC at the onset of power stroke, with combustion occurring at $20^\circ$ crank angle. For active cylinders during CDA, combustion occurs later. The maximum cylinder pressure for the deactivated cylinders occurs at the TDC. Pressure in deactivated cylinders results from entrapped air and any residual charge.

Figure 4 shows the equivalent liner temperature for each mode of operation. This is required in order to update the lubricant viscosity with the instantaneous in situ temperature.

Figure 5 shows the minimum film thickness in the piston ring to cylinder liner conjunction during the engine cycle. It can be seen that at TDC...
\( \varphi = 0^\circ, 360^\circ \) the film thickness is reduced, with its minimum value occurring in the power stroke. As a result of the thinner film in the active cylinders of the engine, there are larger contributions to friction (Figure 6). For the activated cylinders, this is approximately double that experienced in a normal engine without CDA. However, for the deactivated cylinders it can be seen that there is a much lower predicted friction.

Analysing the results as a whole, it can be said that for multi-cylinder engines with CDA, frictional power losses are not necessarily reduced with the application of technology. The cause of this is the increased cylinder liner temperature in active cylinders at higher combustion (Figures 3 and 4).

In order to evaluate the effect of CDA on the overall efficiency of the system, the frictional power loss is calculated as

\[
P_t = fU
\]  

(28)

Figure 7 shows the frictional power loss of compression ring under different conditions. The magnitude of frictional losses follows the friction variations in Figure 6. Table 4 shows the average power loss of each ring during the whole combustion cycle. This is 105.76 W for the engine without CDA, 116.9 W when CDA is used with two cylinders active. This amounts to a 9.53% increase in the overall power loss of compression rings with CDA. Therefore, although CDA is progressively utilised to improve combustion efficiency and catalyst performance, it has consequences in terms of tribological performance and frictional power loss.
Concluding remarks

This paper presents the effect of CDA on the tribological performance of piston ring to cylinder liner conjunction. The analysis shows that CDA has a significant effect on the film thickness and frictional power losses for the active and deactivated cylinders. It is shown that the total frictional loss in an engine with CDA is 9.53% higher than that in a similar engine under normal operational mode. Although the effect of CDA on frictional losses is not negligible, it is small in comparison with the higher gains (10%) in fuel economy because of higher combustion efficiency identified by Douglas et al.7 The results of this paper indicated that the tribological consequences of this new technology should also be considered.

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### Appendix

#### Notation

| Symbol | Description |
|--------|-------------|
| $A$    | apparent contact area |
| $A_a$  | asperity contact area |
| $b$    | ring face-width |
| $B$    | damping coefficient |
| $c$    | ring crown height |
| $d$    | ring radial width (thickness) |
| $E_{\text{err, pressure}}$ | composite reduced elastic modulus of the contacting pair |
| $E'$   | statistical function |
| $F$    | total generated contact friction |
| $f_b$  | boundary friction |
| $f_v$  | viscous friction |
| $F_a$  | applied contact load |
| $F_2$  | statistical function |
| $F_{5/2}$ | statistical function |
| $F_r$  | ring elastic force |
| $F_g$  | gas force acting behind the ring rim |
| $G$    | ring end gap |
| $h$    | lubricant film thickness |
| $h_m$  | minimum film thickness |
| $h_c$  | axial profile of the ring |
| $I$    | second moment of area |
| $K$    | conformability coefficient (factor) |
| $l$    | ring circumferential length or bore perimeter |
| $\xi$  | connecting rod length |
| $n$    | iteration number |
| $p$    | gauge pressure |
| $P_e$  | ring elastic pressure due to fitment |
| $P_g$  | gas pressure acting behind the inner ring rim |
| $P_a$  | pressure at the ring inlet conjunction |
| $P_c$  | combustion pressure |
| $P_l$  | power loss |
| $r$    | crank-pin radius |
| $r_0$  | nominal bore radius |
| $S_0$  | temperature-viscosity index |
| $t$    | time |
| $T$    | liner temperature |
| $T_0$  | reference temperature |
| $U$    | sliding velocity |
| $V$    | lateral velocity (speed of side leakage flow) |
| $W$    | total load carried by the contact |
| $W_a$  | load share of asperities |
| $W_h$  | hydrodynamic load |
| $x$    | direction of asperities |
| $x_c$  | film rupture boundary |
| $y$    | direction along the bore circumference |
| $Z$    | pressure-viscosity index |
| $\alpha$ | pressure-viscosity coefficient |
| $\alpha^*$ | modified pressure-viscosity coefficient |
| $\beta$ | temperature-viscosity coefficient |
| $\varepsilon$ | limit of pressure convergence |
| $\eta$ | lubricant dynamic viscosity |
| $\eta_0$ | atmospheric dynamic viscosity |
| $\gamma$ | relaxation factor |
| $\kappa$ | average asperity tip radius of curvature |
| $\lambda$ | Strubeck’s oil film parameter |
| $\omega$ | engine speed |
| $\varphi$ | crank angle |
| $\rho$ | lubricant density |
| $\rho_0$ | lubricant density at atmospheric pressure |
| $\sigma$ | RMS composite surface roughness |
| $\varsigma$ | pressure coefficient of boundary shear strength of asperities |
| $\tau_0$ | Eyring shear stress |
| $\theta$ | load balance parameter |
| $\zeta$ | number of asperities per unit area of contact |