The direct-to-indirect band gap crossover in two-dimensional van der Waals Indium Selenide crystals

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The electronic band structure of van der Waals (vdW) layered crystals has properties that depend on the composition, thickness and stacking of the component layers. Here we use density functional theory and high field magneto-optics to investigate the metal chalcogenide InSe, a recent addition to the family of vdW layered crystals, which transforms from a direct to an indirect band gap semiconductor as the number of layers is reduced. We investigate this direct-to-indirect bandgap crossover, demonstrate a highly tuneable optical response from the near infrared to the visible spectrum with decreasing layer thickness down to 2 layers, and report quantum dot-like optical emissions distributed over a wide range of energy. Our analysis also indicates that electron and exciton effective masses are weakly dependent on the layer thickness and are significantly smaller than in other vdW crystals. These properties are unprecedented within the large family of vdW crystals and demonstrate the potential of InSe for electronic and photonic technologies.

To date, a wide variety of two-dimensional (2D) van der Waals (vdW) crystals have been investigated, including transition metal dichalcogenides (TMDCs), black phosphorus (bP), and hexagonal boron nitride (hBN), and exploited as single crystals or in combination with graphene to create functional devices1–3. Among the vdW crystals, the metal monochalcogenide III-VI compound, InSe, has emerged as a promising 2D semiconductor. The γ-polytype phase of InSe has a direct optical band gap that increases due to quantum confinement of the charged carriers when the number of layers, L, in the crystalline sheet is reduced4–6. Also, at small L, the energy-momentum relation of the valence band (VB) takes the form of an inverted “Mexican hat”. Near the VB edge, the constant energy contours have the form of a ring in k-space and the 2D density of states develops a one-dimensional Van Hove singularity7–9, a property that could lead to tuneable magnetism, superconductivity, and enhanced thermoelectricity10,11. These findings have the potential to extend further the prospects of InSe as a material for several technologies and devices, which range from field effect transistors (FETs)12,13 with record high room temperature electron mobility (μ = 0.2 m²V⁻¹s⁻¹)13 to bendable14 and high-gain15 photodetectors, image sensors16, and photon sources17.

Despite this burgeoning research, there are fundamental and technological aspects of 2D InSe that remain elusive. The observation of photoluminescence (PL) from few-layer InSe (<7 layers) has been demonstrated only recently in encapsulated (e.g. InSe/hBN)13 and texturised (e.g. InSe/SiO₂)18 layers. In the latter case, the surface of InSe flakes is bent by SiO₂ nanoparticles trapped between the flakes and the substrate, thus enhancing the optical emission due to increased light scattering and modified dipolar selection rules18. However, it is not yet known if thin layers of non-texturized InSe are optically active when exposed to air. Furthermore, fundamental band parameters, such as electron, hole and exciton masses, which are well known in bulk InSe19,20, are largely unknown in 2D InSe. More generally, still more work is needed to deepen our understanding of the unique electronic band structure of this 2D crystal, which underpins not only future research, but also applications of InSe and its competitiveness with other vdW crystals, such as TMDCs and bP.

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Here we report on the electronic and optical properties of exfoliated InSe nanosheets under light illumination with the electric field polarized in the plane of the layers and in magnetic field, B, up to 30 T applied in the Faraday geometry. We demonstrate PL emission from InSe layers exfoliated in air over an extended range of layer thicknesses, down to L = 2 layers, a tuneable band gap energy ranging from ~1.3 eV (L > 20 layers) to 2 eV (L = 2 layers), and quantum dot-like optical emissions distributed over a wide range of energy. We observe no emission in single-layer InSe, which we attribute to a selection rule, which forbids optical transitions for light polarized in the plane of the layers due to the mirror symmetry of the single layer crystal. Our data and density functional theory (DFT) calculations also indicate that electron and exciton in-plane effective masses are weakly dependent on the layer thickness and are significantly smaller than in other vdW crystals. These properties combined with the chemical stability in air of InSe expands significantly the range of potential applications of 2D vdW crystals.

Results

Band structure and Landau level quantization. Figure 1a shows the crystal structure of the γ-polytype phase of InSe. The primitive unit cell contains three layers each of which has a thickness of t = 8.320 Å (L = 1 layer) and consists of four covalently bonded monoatomic sheets in the sequence Se-In-In-Se; along the a-axis, the primitive unit cell has a lattice constant c = 24.961 Å; within each a-b plane atoms form hexagons with lattice parameter a = 4.002 Å. Figure 1b shows the electronic band structure in the Brillouin zone for InSe crystals containing 1, 5, and 10 layers, as derived from DFT (see Methods). It reveals that when L is reduced, the conduction band minimum (CBM) remains at the Γ-point and shifts to high energy; in contrast, the valence band maximum (VBM) moves away from Γ towards the K-points, and the VB takes the form of an inverted “Mexican hat” (Fig. 1c). With decreasing L, the energy interval ΔE between the valence band edge at Γ and the VBM increases from 0 to ~70 meV (Fig. 1d); correspondingly, the VBM shifts from Γ to about 30% of the Γ-K wave-vector. Thus a direct-to-indirect band gap crossover occurs gradually with decreasing L due to a qualitative change of the VB at L < 20 layers.

To calculate the Landau level (LL) spectra, we fit analytical expressions to the CB and VB energy dispersions, and introduce the vector potential using the Peierls substitution (see Methods). Figure 2a shows the calculated LL spectra for InSe. Near the edge of each band in the CB and VB, the LL energies for electrons and holes can be approximated by the relation $E_n = \frac{\hbar^2 k^2}{2m^*} n$ (n + 1/2), where n is an integer, $\omega_c = eB/m_{(h)\gamma}$ is the cyclotron frequency and $m_{(h)\gamma}$ is the hole cyclotron mass at the VBM.

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is the electron (hole) in-plane cyclotron mass. Due to the change of sign and magnitude of $m_h$ with increasing $k$-vector away from the $\Gamma$-point, the VB LLs overlap and admix, leading to the complex LL spectra shown in Fig. 2b,c. In particular, since the VB energy dispersion and hole mass vary with $L$, the LL admixing tends to shift at higher $B$ with decreasing $L$.

**Photoluminescence and magneto-photoluminescence.** At $T = 300$ K and $B = 0$ T, the PL peak energy increases from 1.25 eV for bulk crystals to ~2 eV for flakes with $L = 2$ layers, in agreement with the DFT calculations (Fig. 3a,b). Correspondingly, the intensity of the PL signal decreases markedly as $L$ is decreased below $L \sim 12$ layers (Fig. 3c), much more strongly than expected from a reduction in the thickness of the optically absorbing
The measured diamagnetic shifts are approximately linear blue-shift with increasing $L$, and for a single layer at $L = 20$ layers. These narrow lines arise from well-defined hot spots on the flake (see Fig. 4a-inset for the $μ$PL map acquired at the energy of the $μ$PL line at 1.309 eV(3) and are only weakly affected by $B$ up to ~10 T, which corresponds to a magnetic length $l_B = √{ℏ/4eB} ~ 8$ nm (Fig. 4b,c). The measured diamagnetic shifts $ΔE$ range from 2 to 4 meV at $B = 30$ T (see Fig. 4b) and are smaller than the shift reported for the free exciton in bulk InSe ($ΔE = 5.1$ meV at $B = 30$ T(24). Furthermore, a spin-splitting of the lines cannot be resolved even at $B = 30$ T. This indicates that the effective $g$-factor and corresponding splitting $(g_μB)$ are small compared to those ($g > 9$ and $g_μB > 15$ meV at $B = 30$ T) measured for localized excitons in TMDs(25).

We now examine the effect of the magnetic field $B$ on the $μ$PL emission of those InSe flakes for which quantum dot-like narrow $μ$PL lines are absent. First, we consider bulk-like InSe flakes ($L > 20$ layers). For the sample shown in Fig. 5a,b, the low $T$ ($4.2$ K) $μ$PL band emission, labelled $X$, is centred at ~1.33 eV, at the energy of the free exciton in bulk InSe(4). At $B > 15$ T, the intensity of the $X$-band increases and its shift $ΔE$ has a linear dependence on $B$, i.e. $ΔE = σ_L B$, where $σ_L = 4 × 10^{-4}$ eV/T (Fig. 5b,c).

The low $T$ ($4.2$ K) $μ$PL spectra for an InSe flake with $L = 5$ layers and $B$ up to $30$ T are shown in Fig. 6a,b. At $B = 0$ T the main $μ$PL band, $X_0$, is centred at 1.53 eV, significantly higher than for our bulk flakes with $L > 20$ layers. With increasing $B$, a stronger $PL$ emission band, $X_3$, emerges at higher energy. Figures 6c,d show the $B$-dependence of the energy positions of the $X_3$ and $X_0$ PL peaks and the corresponding ratio of intensities $R$ derived from fits to the PL spectra by two Gaussian lineshapes. The relative weight of the $X_3$ and $X_0$ bands changes with increasing $B$, with the intensity shifting significantly to the higher energy peak $X_3$. Also, whereas the $X_3$ band hardly shift in energy, consistent with carrier recombination from localized states, the $X_0$ band shows an approximately linear blue-shift with increasing $B$, i.e. $ΔE = σ_B B$, where $σ_B = 4 × 10^{-4}$ eV/T at $B > 15$ T. This dependence is very similar to that measured for the free exciton in our bulk InSe flakes.

### Discussion

At $B = 0$ T and $T = 300$ K, the PL emission of exfoliated InSe layers undergoes a strong blue-shift to higher photon energies with decreasing $L$ (Fig. 3b). Although our DFT underestimates the band gap energy, $E_g$, the calculated increase of $E_g$ with decreasing $L$ (line in Fig. 3b) is in good agreement with the measured values. The larger measured energy shift for thin InSe layers suggests either that DFT may not be accurate at small $L$ (<8 layers) and/or that carriers are confined within an effective thickness that is smaller than that measured by atomic force microscopy. The latter possibility may be due to a thin surface film into which the carrier wave function does not penetrate. Such a film can originate from the contamination of the InSe surface due to the chemisorption of water and oxygen molecules on a low density of surface dangling bonds(26). Furthermore, a corrugation of the surface can cause an additional in-plane quantum confinement. This can influence the energy position and linewidth of the PL emission, and also induce quantum dot-like emissions: the band gap energy changes by ~0.1 eV with varying $L$ by a single layer at $L = 4$ layers (Fig. 3b-inset).

The DFT calculations of the band structure of 2D InSe indicate the emergence of a weakly dispersed "Mexican hat" VB for $L < 20$ layers, accompanied by a direct-to-indirect band gap crossover: the separation $ΔE$ between the VBM and the VB-edge at $Γ$ increases from $0$ to $70$ meV with decreasing $L$ (Fig. 1d). As shown in Fig. 3c, the PL signal is observed for a range of layer thicknesses and is not suppressed at $L = 20$ layers, although it weakens steadily with decreasing $L$. To explain this finding, we note that optical transitions involve hole states with a range of $k$-vectors and energies near the VBM. For example, for $L = 10$ layers, the thermal energy of holes at $T = 300$ K ($k_B T = 26$ meV) is larger than $ΔE = 11$ meV. Thus InSe remains effectively a "quasi-direct" semiconductor for a range of thicknesses $L$ such that $k_B T > ΔE$, i.e. for $L > 6$ layers at $T = 300$ K. Although this argument accounts qualitatively for the data, one should also consider additional effects. The atomic orbitals change with decreasing $L$, leading to a corresponding decrease of absorption coefficient(18). In particular, for the case of single layers, the

### Table 1. Calculated values of the in-plane electron ($m_e^Γ$), hole ($m_h^Γ$ and $m_h^{VBM}$) and exciton cyclotron masses ($μ_e^L$ and $μ_e^{VBM}$) for $L = 1, 2, 5$ and $10$ InSe layers. The values for bulk $\gamma$-InSe are from the literature.

| $L$ number of layers | 1 | 2 | 5 | 10 | Bulk mass in the a-b plane |
|----------------------|---|---|---|----|---------------------------|
| $m_e^Γ/m_e$          | 0.18 | 0.15 | 0.13 | 0.12 | 0.138(30) |
| $m_h^Γ/m_e$          | −0.70 | −0.61 | −0.68 | −1.03 | 0.68(30) |
| $m_h^{VBM}/m_e$      | 2.1 | 1.05 | 0.80 | 1.02 |               |
| $μ_e^L/μ_e$          | 0.14 | 0.12 | 0.11 | 0.11 | 0.14(44) |
| $μ_e^{VBM}/μ_e$      | 0.17 | 0.13 | 0.11 | 0.11 |               |
optical signal is suppressed for light polarized in the layer plane due to crystal symmetry\(^7\). The mirror-plane \((z \rightarrow -z)\) symmetry of the single layer implies that the valence/conduction band edge states have even/odd wave functions; this makes the lowest energy electron-hole excitation optically inactive for in-plane polarized light. Whereas the room temperature PL emission is dominated by band-to-band transitions, the low temperature PL spectra reveal carrier localization and quantum-dot like emissions, analogous to those reported in atomically thin TMDC layers\(^{25,29}\). Here we use high magnetic fields to discriminate between contributions to the PL emission due to localized and delocalized excitons. As shown in Fig. 6, the intensity and energy peak position of the low energy band \(X_1\) in the 5-layers InSe is weakly affected by \(B\), suggesting a strong spatial localization of the photoexcited carriers \((\Gamma \approx \ell eB/\hbar = \text{5 nm at } B = 30 \text{T})\). On the other hand, the enhancement of the higher-energy band \(X_2\) with increasing \(B\) and its energy shift indicate a contribution from excitons that are less strongly confined. At \(B > 15 \text{T}\), we describe the linear energy shift of the \(X_1\) band (Fig. 6c) in terms of an interband transition between electron and hole LLs with \(n = 0\), i.e. \(E \propto h\omega_f/2 = \hbar eB/2\mu_\perp\), where \(\mu_\perp = (0.14 \pm 0.01)m_e\) is the in-plane reduced mass of the exciton. Since holes are much heavier than electrons (Table 1), the shift of the exciton line is determined by the LL quantization of the lighter electrons and is approximately linear. The nearly flat-band dispersion of the holes suggests that holes are easily localized by any defects in the 2D crystal, hence, their diamagnetic energy shift is negligibly small. The value of \(\mu_\perp = (0.14 \pm 0.01)m_e\) is close to the electron cyclotron mass calculated by DFT for \(L = 5\) layers \((m_e^F = 0.13m_e)\) and measured by magneto-transport \((m_e^F = 0.14m_e)^{11}\). These values also coincide with those measured in our bulk flakes with \(L > 20\) layers and those reported in the literature for bulk InSe \((m_e^F = 0.138m_e^{20}\) and \(\mu_\perp = 0.14m_e^{20}\)). Thus both theory and experiment indicate that for layer thick-
nesses down to ~5 layers, the exciton and electron in-plane masses are weakly dependent on \( L \). In particular, due to the heavy hole mass, the quantization of the electron motion in the layer plane rules out the disorder-induced localization of the excitons observed in these flakes.

In summary, we have demonstrated that InSe nanoflakes in air have an optical response that can be tuned from the NIR to the visible spectrum with decreasing flake thickness down to 2 layers. In contrast to TMDCs that have a direct band-gap only in films with one or two layers, InSe has a direct-band gap over a wide range of layer thicknesses. Furthermore, even when the crystal becomes an indirect band gap semiconductor, it remains optically active due to its weakly dispersed valence band. These properties relax the stringent condition required for the optical activity of single- or bi-layer TMDCs and can facilitate the use of InSe for the fabrication of sensitive photodetectors and efficient light emitters over a broad spectral range. Our magnetic field studies and DFT calculations of the band structure reveal relatively small exciton and electron masses in the layer plane, close to those for bulk InSe and smaller than for TMDCs\(^{30}\). The small electron mass and its weak dependence on the layer thickness is fully consistent with the high electron mobilities reported in InSe-based FETs\(^{12,13}\) and provides a platform for 2D electronics and applications that require an additional in-plane carrier confinement. We have identified

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**Figure 4. Narrow photoluminescence emission lines in InSe.** (a) Narrow \( \mu \)PL lines at magnetic field \( B = 0 \) T and \( T = 4.2 \) K. The inset shows a \( \mu \)PL map acquired at the energy of the \( \mu \)PL line at 1.309 eV. (b) Energy shift \( \delta E \) versus \( B \) for three representative \( \mu \)PL lines. The dotted lines are guides to the eye. (c) Colour map of the PL intensity versus \( B \) and photon energy. The \( \mu \)PL spectra for representative \( B \) are overlapped on the colour map. The magnetic field is parallel to the \( c \)-axis of InSe and the experiment is conducted in the Faraday geometry.
narrow quantum dot-like emissions with spin-splitting that cannot be resolved even at $B = 30$ T. Further studies are required to address this finding and the role of spin-orbit interaction that is expected to be weak in InSe. Overall, InSe provides an interesting class of 2D systems that expands significantly the range of heterostructures and devices for electronic and photonic technologies.

Methods

Experimental techniques. The $\gamma$-polytype InSe crystals were grown using the Bridgman method from a polycrystalline melt of In$_{1.03}$Se$_{0.97}$. The crystal structure was probed by X-ray diffraction using a DRON-3 X-ray diffractometer in a monochromatic Cu-K$_{\alpha}$ radiation of wavelength $\lambda = 1.5418$ Å. The InSe nanosheets were prepared from the as-grown crystals by mechanical exfoliation. We used a two-step approach in which the flakes were first thinned down with the aid of F07 backgrinding tape from Microworld and then transferred onto a Si/SiO$_2$ substrate by means of polydimethylosiloxane-based DGL-X4 elastomeric films from Gel-Pak. Images of the InSe flakes' topography were acquired using a NSV-VEECO-D3100 atomic force microscope (AFM) operated in tapping mode under ambient conditions.

The experimental set-up for the $\mu$PL studies at $B = 0$ T comprised an Ar CW laser ($\lambda = 514.5$ nm), a He-Ne laser ($\lambda = 633$ nm) or a frequency doubled Nd:YVO$_4$ laser ($\lambda = 532$ nm), an x-y-z motorized stage and an optical confocal microscope system equipped with a 0.5 meter long monochromator with 150, 300 and 1200 g/mm gratings. For experiments at $T = 5$ K, the sample was placed on the cold finger of a continuous gas flow cryostat mounted on an x-y-z motorized stage. The laser beam was focused to a diameter $d \sim 1 \mu m$ using 50× or 100× objectives. PL experiments were performed at low excitation power ($P < 0.1$ mW) to avoid excessive heating. The signal was detected by a charge-coupled device (CCD) camera.

The magneto-optical studies in the Faraday configuration were performed in a resistive magnet generating fields, $B$, up to 30 T. The sample was mounted on an x-y-z piezo-stage for precise (sub-micron) positioning. Optical fibres were used to transfer excitation light from an Ar CW laser ($\lambda = 514.5$ nm) and to collect the PL.
signal. The μPL set-up was placed in a probe, filled with He exchange gas and cooled to 4.2 K. The laser beam was focused to a diameter \( d \approx 1 \mu m \) by a high numerical aperture lens. The detection of the signal was made using a 0.5 m monochromator with 300 g/mm grating and CCD camera. Due to the use of optical fibers in the system, the magnetic-field-induced rotation of the linear polarization angle (Faraday effect) introduces a modulation of the PL intensity.

DFT calculations and Landau levels. In the ab initio DFT calculations of the band structure, we used the Perdew-Burke-Ernzerhof generalized gradient approximation exchange correlation functional for \( L = 1 \) layer\(^7\) and the optB88 vdW density functional for \( L > 1 \) layer. The first principle calculations were performed using the VASP code\(^32\) in a plane-wave basis. The cutoff energy for the basis set is 600 eV and we sampled the Brillouin zone with a regular Monkhorst-Pack grid using 12 grid points along each reciprocal lattice vector. To account for the interaction between the layers, we relies on the vdW density functional method of Klimeš et al. in the optB88 parametrization\(^33,34\). Single layers and bulk structures were fully relaxed until all forces fell below 5 meV/Å. Multilayer geometries were constructed from the bulk structure by neglecting surface relaxation effects, which are small: for \( L = 2 \) layers, the in-plane lattice parameter and inter-layer distance change by 0.07% and 0.06%, respectively. A comparison between measured and calculated band gap energies, \( E_g \), at \( T = 300 \) K indicates that DFT underestimates \( E_g \) by \( \delta E = 0.98 \) eV for \( L > 20 \) layers.

To calculate the LL spectrum, we fitted analytical expressions to the CB and VB energy dispersions as derived from DFT. The VB in the vicinity of \( \Gamma \) was approximated by an eighth-order polynomial function, \( E^{\text{VB}} = \sum_{j=0}^{8} c_{2j} p^j \), where \( p \) and \( \theta \) are the polar coordinates of the quasi-momentum vector measured from \( \Gamma \), and \( c_0, c_2, \ldots, c_8 \) are constant parameters that depend on \( \Gamma \). In this expression, all terms are isotropic around the zone center with the exception of the \( c_6 \) term, which is a small perturbation and accounts for the modulation of the “Mexican hat” dispersion according to a 6-fold rotational symmetry. The CB is instead isotropic and was described by \( E^{\text{CB}} = d_0 - \sqrt{d_0^2 + d_1^2 + d_2^2} \), where \( d_0, d_1, \) and \( d_2 \) depend on \( L \). The Hamiltonian of the system in a magnetic field was then constructed introducing the vector potential using the Peierls...
substitution, i.e. the momentum operator \( \vec{p} \) was replaced by \( \vec{p} - e \vec{A} \), where \( \vec{A} = (0, -Bx, 0) \) is the vector potential. The LL spectrum was obtained by solving the Schrödinger equation using a linear combination of LL harmonics, \( \phi_n(x, y) = A_n H_n(x/\lambda_n - p_x \lambda_n) \exp\left(-\left(x/\lambda_n - p_x \lambda_n\right)^2/(2 + ip_y)\right) \), where \( A_n = 1/\sqrt{2\pi n !} \), \( H_n \) are Hermite polynomials of order \( n \), and \( \lambda_n = \sqrt{1/eB} \).

**Data Availability.** All relevant data are available from the University of Nottingham Data Repository, under the doi: https://doi.org/10.17639/nott.69.

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**Author Contributions**
G.W.M., M.R.M., Z.R.K., M.P. and A.P. designed and carried out the experiments; K.N. helped with the exfoliation of the layers; V.Z., X.C., and V.F. performed the DFT calculations and modelling of the Landau level spectra; A.P. wrote the paper with contribution from all authors, including discussions with G.Y., Z.D.K., O.M. and L.E.

**Additional Information**

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