Emergent Chiral Spin Liquid: Fractional Quantum Hall Effect in a Kagome Heisenberg Model

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The fractional quantum Hall effect (FQHE) realized in two-dimensional electron systems under a magnetic field is one of the most remarkable discoveries in condensed matter physics. Interestingly, it has been proposed that FQHE can also emerge in time-reversal invariant spin systems, known as the chiral spin liquid (CSL) characterized by the topological order and the emerging of the fractionalized quasiparticles. A CSL can naturally lead to the exotic superconductivity originating from the condense of anyonic quasiparticles. Although CSL was highly sought after for more than twenty years, it had never been found in a spin isotropic Heisenberg model or related materials. By developing a density-matrix renormalization group based method for adiabatically inserting flux, we discover a FQHE in a spin- $\frac{1}{2}$ isotropic kagome Heisenberg model. We identify this FQHE state as the long-sought CSL with a uniform chiral order spontaneously breaking time reversal symmetry, which is uniquely characterized by the half-integer quantized topological Chern number protected by a robust excitation gap. The CSL is found to be at the neighbor of the previously identified $Z_2$ spin liquid, which may lead to an exotic quantum phase transition between two gapped topological spin liquids.

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state through adding multi-spin TRS breaking chiral interactions\textsuperscript{56-57}. Although CSL has been explored for more than twenty years\textsuperscript{43,44}, the accurate DMRG\textsuperscript{19-22} and variational Monte Carlo\textsuperscript{23} studies on various frustrated Heisenberg models often lead to the conventional ordered phases or TRS preserving $Z_2$ and $U(1)$ QSLs. The simple concept of realizing CSLs through spontaneously breaking TRS and statistics transformation\textsuperscript{32,33} remains illusive in realistic frustrated magnetic systems.

In this article, we report a new theoretical discovery of the CSL in an extended spin-1/2 KHM based on the state of art DMRG simulations\textsuperscript{36,37}. As illustrated in the inset of Fig. 1(a), the system has the NN coupling $J = 1$ as energy scale, as well as the second and third NN couplings $J'$ inside each hexagon of the kagome lattice, described by the following Hamiltonian\textsuperscript{34,35}:

$$H = \sum_{\langle ij \rangle} J S_i \cdot S_j + \sum_{\langle \langle ij \rangle \rangle} J' S_i \cdot S_j + \sum_{\langle \langle \langle ij \rangle \rangle \rangle} S_i \cdot S_j.$$  

(1)

We perform the numerical fluid insertion simulations on cylinder systems based on the newly developed adiabatical DMRG to detect the topological Chern number, which uniquely characterizes the chiral spin liquid. We have fully established a robust $v = 1/2$ FQHE state for $0.1 \leq J' \leq 0.7$ by observing the half-integer quantized topological Chern number protected by a robust excitation gap, the degenerate ground states, and the uniform chiral order spontaneously breaking TRS.

**Results**

**Phase diagram.** Our main findings are summarized in the phase diagram Fig. 1(a). With the turn on of a positive $J'$, we find a robust CSL phase in the region of $0.1 \leq J' \leq 0.7$. We design and perform the Laughlin flux insertion numerical experiment through developing an adiabatic DMRG, which inserts flux and obtains the ground state for each flux. The adiabatic DMRG allows us to obtain the topological Chern number\textsuperscript{23-34}, which characterizes the topological nature of the quantum phase. Our simulation experiment shows that the CSL is characterized by a fractionally quantized Chern number $C = 1/2$, which is a “smoking gun” evidence of the emergent $v = 1/2$ Laughlin FQHE state\textsuperscript{35} in the frustrated KHM. The CSL phase is also characterized by a four-fold degeneracy in two topological sectors. In each sector, there is a double degeneracy representing the two sets of CSL states with opposite chiralities. The near uniform chiral order measured for a state spontaneously breaking TRS is illustrated in Fig. 1(b). We also establish that the CSL is neighboring with the $Z_2$ QSL previously found\textsuperscript{27-30} at $J' = 0$, where the transition region appears to be under strong influence of the nonuniform Berry curvature resulting from gauge field, which may provide new insights to many puzzles regarding theoretical\textsuperscript{27-30} and experimental findings\textsuperscript{18,21-23} for kagome antiferromagnets.

**Fractional quantization of topological number.** To uncover the full topological nature of the phase at large system scale, we perform the flux inserting simulation based on the adiabatic DMRG. For conventional FQHE systems, a quantized net charge transfer would appear as $\Delta N = C$ from one edge of the sample to the other edge after inserting one period of flux $\theta = 0 \rightarrow 2\pi$, corresponding to a nonzero fractionally quantized topological invariant Chern number $C^\ast$, which is $C = 1/2$ for the $v = 1/2$ bosonic Laughlin state.

By adiabatically inserting the flux $\theta$ in our DMRG experiment, we study the evolution of the local magnetization $\langle S^z_{x,y} \rangle$, which is the spin-$z$ average of the ground state at a local lattice site $R_i = (x, y)$. With the increase of $\theta$, we measure the corresponding spin accumulations of each ground state at $\theta = j \pi/2$ ($j$ is an integer). One example with $\theta = 2\pi$ is shown in Fig. 2(a). We find nonzero magnetization starting to build up at the left and right edges of cylinder, which grows monotonically with the growing of $\theta$ as shown in Fig. 2(b). Since our system has total spin conservation, the net spin-$z$ transfer $\Delta S_{\text{edge}}^z$ (which is the total magnetization around the right edge of the system) is equivalent to the pumping of the hardcore bosons from the left edge to the right edge without going through the bulk. In Fig. 2(c), we show the net spin transfer $\Delta S_{\text{edge}}^z$ as a function of $\theta$. A near linear spin pump is being realized in this chiral spin state, which is exactly quantized as $\Delta S_{\text{edge}}^z = 0.5$ at $\theta = 2\pi$. From the fundamental correspondence between edge spin transfer and bulk Chern number\textsuperscript{34}, we identify the bulk Chern number of the system as $C = 1/2$, fully characterizing the state as the Kalmeyer-Lauhign CSL\textsuperscript{39} of $v = 1/2$ FQHE. Physically, the pumping in FQHE system is achieved through the adiabatical rotation of the basis states of the many-body wavefunction, which can be viewed as a non-local operation by developing a “spinning” line in the cylinder. We find the entanglement spectrum of the spinon sector obtained here by inserting $2\pi$ flux is identical to the one of the S-sector shown below in Fig. 3(b) obtained through pinning. With further increasing the flux to $\theta = 4\pi$, the net spin transfer $\Delta S_{\text{edge}}^z = 1.0$, where the system evolves back to the vacuum sector. These observations fully establish the bosonic $v = 1/2$ FQHE emerging in the $J' = 0$ KHM. While the Chern number simulations characterize the ground state as the long-sought CSL, we will further measure the topological degeneracy, chiral correlations, topological entanglement entropy, and modular matrix to demonstrate the full nature of the topological state in our time-reversal invariant system.
Low-energy spectrum and topological degeneracy. The Kalmeyer-Laughlin CSL has two-fold topological ground-state degeneracy, and the spontaneously TRS breaking for such a time-reversal invariant system must have an additional double degeneracy in each topological sector. On cylinder geometry, one can control the boundary condition near the cylinder edges to target into different topological sectors\(^{27,49}\), which we denote as the vacuum and S-sectors, respectively. By using this technique in DMRG, we find the two lowest-energy states in each sector whose energy differences \(E_{0}^{2} - E_{1}^{2}\) drop to small values for \(0 \leq J' \leq 0.7\). One example is shown in Fig. 1(a) for a cylinder with \(L_{x} = 24\) and \(L_{y} = 4\). Importantly, the degenerating states \(|\psi_{1,2}^{S}\rangle (|\psi_{1,2}^{S}\rangle\) in each topological sector also have near identical entanglement spectra. The double degeneracy of entanglement spectrum for the ground states \(|\psi_{1}^{0(S)}\rangle\) is explicitly shown using two different symbols (line and circle) in Figs. 3(a) and 3(b). These observations are consistent with the spontaneously TRS breaking double degeneracy. We also find the ground-state energies between the two sectors are degenerate \((E_{1}^{S} - E_{0}^{S}) = 0.00001\) for \(J' = 0.5\) at \(L_{y} = 4\), which, combined with the distinct entanglement spectra\(^{50}\) as shown in Figs. 3(a) and 3(b) of the two sectors, establish the topological degeneracy for these two sectors in the intermediate phase. By searching for other low energy excited states from both DMRG and exact diagonalization (ED), we exclude that there are other distinct topological degenerating sectors for the intermediate region, while a lot more lower energy states appear near \(J' = 0\).

Figure 2 | Laughlin flux insertion Gedanken experiment and fractionalized Chern number \(C = 1/2\) for CSL. (a) Real-space configuration of the spin magnetization \(\langle S_{x,y}^{z} \rangle\) at position \(R_{i} = (x, y)\) after adiabatically inserting a quantized flux \(\theta = 2\pi\). The area of the circle is proportional to the amplitude of \(\langle S_{x,y}^{z} \rangle\). The red (blue) color represents the positive (negative) \(\langle S_{x,y}^{z} \rangle\). (b) Real-space configuration of the accumulated spin magnetization \(\langle S_{x}^{z} \rangle = \sum_{y} \langle S_{x,y}^{z} \rangle\) (the summation is over all the \(3L_{y}\) sites in each column \(x\)) with increasing flux \(\theta\). Clearly, we see a net spin-\(z\) accumulating in the right edge of the sample, which is equivalent to the transfer of hardcore bosons (the hardcore boson number \(n_{i}\) is related to the on-site \(S_{x,y}^{z}\) as \(n_{i} = S_{x,y}^{z} + 1/2\) being pumped from the left edge to the right edge without going through the bulk. So this simulation experiment reveals a quantum Hall system with a nonzero Hall conductance, while the bulk is gapped. (c) Net spin transfer \(\Delta S_{\text{edge}}^{z}\) to the right edge of the cylinder as a function of \(\theta\). From the net spin transfer in one period of flux \(\theta = 0 \rightarrow 2\pi\), we obtain the exact fractionally quantized Chern number \(C = \Delta S_{\text{edge}}^{z} = 1/2\). The results are demonstrated for a \(3 \times 24 \times 4\) cylinder at \(J' = 0.5\) using the \(U(1)\) DMRG with keeping up to 5000 states. Similar results are obtained for all the states within the CSL phase.
The energy and entanglement spectra doubling are signatures of finding the maximally entangled states in each sector, which is forced by the TRS of the system Hamiltonian (here we used a real number initial wavefunction in DMRG calculations which forbids any spontaneous TRS breaking). To demonstrate the nature of the new quantum phase, we first find the minimum entangled states (MESs) in each topological sector\(^{36.51,52}\), which represent the eigenstates of the Wilson-loop (string-like) operators encircling the cylinder and are the simplest states of the quasiparticles. In Fig. 3(c), we show two MESs emerging (labeled by two red dots) in the vacuum sector: \(|\tilde{\psi}_{1}(R)\rangle = \frac{1}{\sqrt{2}} (|\psi_{1}^{0}\rangle \pm |\psi_{2}^{0}\rangle)\), which are equal magnitude superposition of the real states with a phase difference \(\pm \pi/2\). The MES \(|\tilde{\psi}_{1}\rangle\) breaks the TRS spontaneously and demonstrates a uniform nonzero chirality order for each triangle as illustrated in Fig. 1(b). The chiral order reaches a value around 0.08 comparable to its classical value 1/8. The conjugate state \(|\tilde{\psi}_{1}\rangle\) as another MES has the opposite sign of chirality. The doubling of the entanglement spectra for the maximum entropy state simply results from the superposition of the MESs with the same entanglement spectra. Consequently, one finds an entanglement entropy difference \(\ln 2\) comparing to the MESs as illustrated in Fig. 3(c). Near identical results and two MESs are also found in the topological degenerating S-sector. Furthermore, if we initiate the DMRG state with a random complex number state, we automatically find such a MES, which spontaneously breaks TRS.

By obtaining the MES, we find the topological entanglement entropy \(\gamma\) consistent with the result \(\ln 2/2\) of the \(v = 1/2\) Laughlin state\(^{35.54}\). The ED calculations further confirm this state on a \(N = 3 \times 4 \times 3\) cluster by extracting modular transformation matrix\(^{51,52}\) from the MESs of two noncontractable cuts (see Supplementary Information for more details).

**Quantum phase transitions.** We use both the chiral-chiral correlation functions and the topological Chern number obtained from inserting flux to identify the quantum phase diagram and transitions in the \(f - f'\) model. In Fig. 4(a), we compare the chiral correlations \((\zeta_{x},\zeta_{y})\) for the states from the two topological sectors with different system widths at \(f' = 0.5\). We find long-range correlations for the states from both topological sectors, which are further enhanced with increasing system width \(L_{r}\). To reveal the quantum phase transitions, we show the chiral correlation functions calculated from the ground state of the vacuum sector for different \(f'\) in Fig. 4(b). \(\zeta_{x}/\zeta_{y}\) is positive everywhere and has the long-range order for \(0.1 \leq f' \leq 0.7\), while transitions to other phases are detected at \(f' = 0.05\) and 0.8 by identifying the exponential decaying chiral correlations.

In the flux insertion simulations, we find that the Chern number remains to be quantized at \(C = 1/2\) for the same parameter range \(0.1 \leq f' \leq 0.7\), thus we establish the quantum phase diagram as shown in Fig. 1(a). The quantum phase transition around \(f' \sim 0.7\) is characterized by an excitation gap closing in the bulk of system, where we detect a strong bulk magnetization (boson density) response to the inserted flux. Between \(f' = 0\) and 0.1, we detect a strong nonuniform...
been able to identify all the four topological sectors for Z2 QSLs. Our finding of the robust CSL at the neighbor of the NN KHM indicates that the latter is not a fully developed Z2 QSL yet, and the nature of states for the experimental relevant kagome systems may be strongly affected by a new quantum critical point between two gapped QSLs, the Z2 and the CSL. In a parallel work, a CSL has also been uncovered in an anisotropic kagome spin system with only spin-z interactions for further neighbors. We believe that our numerical findings will stimulate new theoretical and experimental researches in this field to resolve the nature of the quantum phases for different frustrated magnetic systems. An exciting next step will be identifying theoretical models and experimental materials which can host exotic topological superconductivity by doping different CSLs.

### Methods

DMRG is a powerful tool to study the low-lying states of strongly correlated electron systems. The accuracy of DMRG is well controlled by the number of kept states $M$, which denotes the $M$ eigenstates of the reduced density matrix with the largest eigenvalues. The highly efficiency of DMRG for one-dimensional systems or two dimensional cylinder systems have been shown for different systems. An improvement in DMRG calculations is to implement symmetry to reduce the Hilbert space. The spin-or total particle (U(1)) symmetry is commonly used in DMRG, which is preserved in many model systems. For some systems with spin rotational SU(2) symmetry such as the Heisenberg spin model, the more efficient choice is to apply the SU(2) symmetry, from which we can obtain more accurate results for wider systems. This algorithm has been applied to study various frustrated Heisenberg systems successfully.

### Details of the SU(2) DMRG calculation

We study the frustrated KHM without flux using SU(2) DMRG. We study the cylinder system with open boundaries in the $x$ direction and periodic boundary condition in the $y$ direction. For $L_y = 4$ ($L_y = 6$) systems, we keep up to 3000 (4600) SU(2) states with the DMRG truncation error $\epsilon \simeq 1 \times 10^{-6}$ ($\epsilon \simeq 1 \times 10^{-5}$) for most calculations. To find the ground states in both vacuum and S-topological sectors on cylinders in the DMRG calculations, we take pinning sites in the open boundaries or insert flux to target the two different sectors.

### Adiabatic DMRG and fractionally quantized Chern number

For the first time, we develop the numerical flux insertion experiment for cylinder systems based on the adiabatical DMRG simulation to detect the topological Chern number of the bulk system, which uniquely characterizes the CSL as a $v = 1/2$ FQHE state emergent from the $J - J$ Heisenberg model on kagome lattice. In this simulation, we impose the twist boundary conditions along the $y$ direction by replacing terms $c_i S_i^+ + h.c. \rightarrow e^{i \theta} c_i S_i^+ + h.c.$ for all neighboring $i, j$ bonds with interactions crossing the $y$-boundary in the Hamiltonian. Starting from a small $\theta = 0$, a state with the definite chirality and sign of Chern number will be randomly selected, which remains the same through out the whole adiabatical process of $\theta = 0 \rightarrow \pi$. We find states with the opposite Chern numbers ($C = \pm 1/2$) in different runs of the simulations due to spontaneously TRS breaking. A robust excitation gap $\tilde{\Delta} \sim 0.24$ is obtained for $\theta = 0.5$ after we create two spinons (at $n = 2 \pi$) at the opposite edges of the cylinder (see Fig. 2(a)), which protects the CSL state. This method can be applied to study different interacting systems and characterize different topological states.

### Discussion

In the past twenty years, the gapped QSL in realistic magnetic systems have attracted intensive attention. While the NN or $J_1 - J_2$ KHM is the primary candidate of a possible Z2 QSL, there are still many puzzles left unresolved. The frustrated kagome antiferromagnets Herbertsmithite $\text{Cu}_3(\text{Zn,Mg})(\text{OH})_6\text{Cl}_2$ and Kapellasite $\text{Cu}_2\text{Zn}(\text{OH})_4\text{Cl}_6$ are possible candidates of QSL; however, they appear to be more consistent with gapless or critical states. At theoretical side, redundant low-energy excitations are found for the NN KHM from ED simulations, variational studies find that $U(1)$ gapless QSL has relatively low energy, and DMRG studies have not
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Author contributions
S.S.G. and W.Z. performed main calculations based on different numerical programs they developed. S.S.G., W.Z. and D.N.S. made significant contributions from the design of the project to the finish of the manuscript.

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