Phase separation in mixed polymer brushes on nanoparticle surfaces enables the generation of anisotropic nanoarchitectures†

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The preparation of nanoparticles and their targeted connection with other functional units is one key challenge in developing nanoscale devices. Herein, we report an experimental strategy toward the development of anisotropic nanoparticle architectures. Our approach is based on phase separation of binary mixed polymer brushes on gold nanoparticle surfaces leading to Janus-type structures, as revealed by scanning transmission electron microscopy and electron energy-loss spectroscopy and, additionally, corroborated by computer simulation. We show that such structures can be used for the site-selective functionalization with additional nanosized entities.

1. Introduction

Because of their specific properties, inorganic particles with nanoscale dimensions hold potential for device fabrication in diverse fields, such as electronics, photonics, and sensing. However, in order to use nanometer-sized building blocks in technological applications that take advantage of the specific nanomaterial properties, the ability to precisely arrange such building units in a controlled fashion is critical. These considerations have stimulated abiding research effort dedicated to devising efficient strategies for high-precision hierarchical nanoparticle arrangement.1–7

Hierarchically ordered nanoparticle arrangements can take a generic structure in which specific components are directly attached to a central scaffold particle. This general approach is very versatile, as has been demonstrated impressively by Caruso and co-workers,8 and it enables access to nanoparticle clusters with e.g. unique surface-enhanced Raman and plasmonic properties.9–15 One key parameter of nanoarchitectures of this type is the distance between the central scaffold particle and the attached functional units. This parameter can be controlled (on the respective length scales) by varying the length of short-chain alkyl linkers (∼10⁻⁹ nm),16 and also by using rigid DNA origami scaffolds (up to ∼10⁻⁵ nm).17 We had recently introduced RAFT polymers with special architecture as linker and spacer to control nanoparticle distances (up to ∼10⁻⁵ nm) in planet–satellite nanostructures,18,19 and we have also demonstrated the flexibility of this strategy by joining different metal nanoparticles, i.e. gold and silver, into this type of arrangement structure.20 Besides particle spacing, there is another crucial structural feature in these nanoarchitectures, namely the distribution of functional units around the scaffold. Approaches toward controlling this distribution were made, which relied on non-centrosymmetric scaffold particles (i.e. gold nanorods, which can be site-selectively functionalized).21 However, such strategy does not solve the problem from a more fundamental perspective. In order to do so, the challenge of reducing the symmetry of centrosymmetric scaffolds has to be tackled. This can be achieved following a protection strategy that uses substrates, such as latex particles,22,23 polymers,24–26 or glass slides,27 onto which the scaffold particles can be assembled and then to attach functional units on the vacant site of the scaffold. A more elegant and scalable strategy to desymmetrize the scaffolds is to exploit specific interactions in ligand-modified nanoparticles in order to induce the formation of surface patterns.28–31

The ability to control surface pattern formation on polymer-coated nanoparticles recently received much focus in the polymer science community.32,33 For example, constrained de-wetting of homopolymer ligands under bad solvent conditions had been demonstrated as a versatile paradigm in this regard.34–36 Using such approach, distinct surface patterns may emerge, depending on the polymer’s degree of polymerization, grafting density, and the nanoparticle’s dimension and shape.34,35 Other approaches toward nanoparticle surface patterning with polymer ligands include the phase-separation of mixed polymer brushes.37–47 Here, mixed brushes formed by successive surface-initiated atom-transfer radical polymerization of both types of polymer from a common surface-immobilized asymmetric initiator were
intensively studied.37–43 However, because relatively large silica nanoparticles were used in these studies and the polymer grafting points were immobile, the resulting surface morphologies were restricted to “rippled”38–40 or “wedge-shaped”43 structures. Janus-type surface patterns were concluded from contrast variation in small-angle neutron scattering experiments performed by Kim et al. on mixed poly(methyl methacrylate)/polystyrene (PMMA/PS) brushes grafted at very small (<5 nm) gold nanoparticles,44 as also predicted by simulations.44,45 The group of Liz-Marzán reported Janus-type particles with larger gold cores and mixed brushes of low molecular weight polymers (1–5 kDa).46 Recently, a combination of self-consistent field theory and fluctuating dynamic mean-field theory has been introduced to study the brush structure on a particle surface.48 Besides these advances, however, the direct real-space visualization of local chemistries within mixed brushes and also the targeted connection of isolated surface polymer-patches with additional functional units remain challenging.

In this contribution, we built on the above considerations in order to maneuver the structure of complex nanoparticle architectures. We prepared PMMA and PS homopolymers that were intended to affect pattern formation on gold nanoparticles. In addition, by implementing an \( \text{N}_{\text{octylammonium}} \) triblock di-functional (telechelic) polymeric architecture, the PS homopolymer was designed such as to allow additional functionalization reactions on the surface-bound polymer by attaching functional units to separated PS domains. We show that such an approach can lead to anisotropic nanoparticle arrangement structures.

2. Materials and methods

Materials

RAFT agent 1, sodium borohydride, sodium citrate tribasic dihydrate, (Aldrich) and tetra-N-octylammonium bromide (ABCR), were purchased at the highest purity available and used as received. Hydrogen tetrachloroaurate trihydrate (ABCR, 99.9%) was used as received. Methyl methacrylate and styrene (Aldrich) were passed through a short column of activated basic alumina prior to use. AIBN (Akzo Nobel) was recrystallized from methanol and stored at 4 °C prior to use. Dichloromethane, \( \text{N,N-dimethylformamide} \), hexane, methanol, tetrahydrofuran, and toluene (p.a. grade, Fisher) were used as received. The preparation of RAFT agents 2 and 3 had already been described earlier. Nanopure (type I) water was obtained using a Millipore filtration system.

Size-exclusion chromatography (SEC)

SEC characterization was performed on a SEC 3010 (WGE Dr Bures, Germany) with a precolumn, two separation columns (8 × 300 mm, MZ-Gel SD plus, MZ-Analysetechnik, Germany), and a refractive index detector. A temperature of 30 °C was maintained in the column compartment and THF was used as the eluent. The system was calibrated using linear polystyrene standards with narrow size distribution.

Electron microscopy

Electron microscopic characterization of the nanostructures was carried out on a FEI Tecnai F20 microscope operated at an acceleration voltage of 200 kV. The experiments were conducted by cooling the specimen (77 K) in order to minimize electron beam induced damage.51 All samples were applied onto PELCOÒ graphene films supported by a lacy carbon film on 300 mesh copper grids. Mapping of gold was performed by HAADF detection. Mapping of the different polymer species was performed in STEM mode, by analysing the low-loss region of the EEL spectrum. Maps were extracted by MLLS fitting52 of reference spectra for PMMA and PS (see Fig. 1) to the acquired spectrum images, in a spectral range from 6 eV to 25 eV, after careful calibration of the zero-loss peak. Mapping of the polymeric species at lateral positions with gold present was not possible with this approach.

Polymerizations

See also Scheme S1 (ESI†). The respective RAFT agent, AIBN, monomer and (in the case of PMMA) toluene (the amounts for the different polymerization reactions are given in Table 1) were weighed into polymerization flasks and the mixtures were purged with nitrogen for 10 minutes. The polymerizations were then initiated by heating to the respective temperatures as indicated in Table 1. After predetermined times, polymerizations were stopped by cooling on ice and exposing the mixtures to air. The polymeric material was isolated by threefold precipitation into hexane (PMMA sample) or methanol (PS samples).

![Illustration of the structure of PMMA and PS polymer used in this work](Image)
### Table 1: Polymerization conditions and characterization results (i.e. number-average molar masses, $M_n$, and dispersity values, $D$) for the different polymer samples used in this work\(^c\)

| Polymer          | RAFT agent$^a$ | [Monomer]$_0$: [RAFT]$_0$: [AIBN]$_0$ | $t$/h | $\theta$/°C | $M_n$(g mol$^{-1}$) | $D$$^d$ |
|------------------|----------------|--------------------------------------|-------|-------------|-------------------|--------|
| PMMA             | 1              | 200:1:0:1$^b$                        | 16    | 65          | 14.9$^{ef}$       | 1.08   |
| Telechelic PS    | 2              | 600:1:0:1                            | 17    | 65          | 14.4              | 1.09   |
| Monofunctional PS| 3              | 66:1:1                               | 2.57  | 60          | 2.0               | 1.07   |

$^a$ See ESI (Scheme S1) for chemical formulas of these RAFT agents. $^b$ In 50 wt% toluene. $^c$ Determined by SEC (calibrated against PS standards) in THF at 30 °C, as monitored by refractive index detection. $^d$ Correction applied using the Mark–Houwink parameters $K_{PS} = 0.0128$ cm$^3$ g$^{-1}$, $a_{PS} = 0.712$ and $K_{PMMA} = 0.0128$ cm$^3$ g$^{-1}$, $a_{PMMA} = 0.690$.

### Grafting of polymers to the gold nanoparticles

Gold nanoparticles had been prepared by the citrate-reduction method\(^53\) as already described elsewhere.\(^54\) PMMA and telechelic polystyrene were dissolved in DMF (40 mL, 0.25 mg mL$^{-1}$ for both polymers, i.e. a molar ratio of approx. 1:1 due to the almost identical number average molar masses). A solution of gold nanoparticles from citrate-reduction (2 mL, see above) was added dropwise over a period of 2 minutes under sonication. The formed core–shell particles were purified by three centrifugation/redispersion cycles: 6 h, 15 300 g, 12 000 g.

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### Model and simulation technique

Here we use the coarse-grained model to describe the adsorption of PMMA and PS on the gold nanoparticle surface. The repeat units of PMMA and PS are labeled as A and B monomers correspondingly. We ignore the small differences between the molar masses and the volumes of the repeat units of PMMA and PS molecules, and set the length of coarse-grained chains to be $N = 41$ for both PMMA and PS molecules. The bonded interactions between neighboring monomers along polymers are modeled by a FENE potential:\(^55\)

$$U_b = \begin{cases} -\frac{1}{2}k_l^2 \ln \left[1 - \left(\frac{r}{l_{\max}}\right)^2\right], & r \leq l_{\max} \\ \infty, & r > l_{\max} \end{cases}$$

where the parameters $l_{\max} = 1.5\sigma$, $k = 30.0\sigma/e\sigma^2$, and $k_BT = 1.0\sigma$ are chosen to prevent chain crossings.

The nonbonded interactions between all monomers are treated via a truncated and shifted Lennard-Jones potential:

$$U_{\text{LJ}}^{\text{ns}}(r) = \begin{cases} 4e \left[\left(\frac{\sigma}{r}\right)^12 - \left(\frac{\sigma}{r}\right)^6 + \frac{1}{4}\right], & r \leq r_{\text{cut}} \\ 0, & r > r_{\text{cut}} \end{cases}$$

The cut-off length between A and B monomers is chosen as $2^{1/6}\sigma$, which gives rise to a purely repulsive interaction. For A-A and B-B monomers, the cut-off is selected as $2^{1/6}\sigma$ and $2^{1/6}\sigma$, corresponding to a bad and good solvent case. Here we choose three different cases, summarized in Table S1 (ESI\(^f\)). The interaction strengths are selected as $\varepsilon_{AA} = 1.0$, $\varepsilon_{BB} = 1.0$, $\varepsilon_{AB} = 3.0$, corresponding to a large $\chi$ between PS and PMMA. This model has been demonstrated to be good for studying the phase behaviour of AB diblock copolymers.\(^56\)

The strong interaction between the end groups and gold nanoparticle surface is chosen as

$$U_{\text{bd}}(r) = \begin{cases} \infty, & r < R_C \\ A \cdot \exp \left(\frac{5(r-R_C)^2}{(2\sigma)^2}\right), & R_C \leq r \leq R_C + 2\sigma \\ 0, & r > R_C + 2\sigma \end{cases}$$

The coefficient $A$ is set to be $A = -1.52k_BT/A$, corresponding to the adsorption free enthalpy of $-36$ kJ mol$^{-1}$ measured from experiments at 21 °C.\(^19\) Here the $R_C = 6.8\sigma$ for the big gold particle and $1.9\sigma$ for the small ones. In the simulations, the total grafting density of PMMA and PS is set to be 0.41 chains nm$^{-2}$, according to previous experiments.\(^19\) The density of adsorbed PS macromolecules varies from 0.17 chains nm$^{-2}$, 0.20 chains nm$^{-2}$, to 0.24 chains nm$^{-2}$.

### 3. Results and discussion

PMMA and telechelic PS (see illustration in Fig. 1, and Table 1 for polymer characteristics) were prepared by RAFT polymerization\(^57\) and attached to gold nanoparticles (AuNPs) via their trithiocarbonate end groups\(^58-60\) following a “grafting-to” approach.\(^54,61\) This resulted in binary mixed polymer brushes. PMMA and PS are immiscible polymers and hence have a tendency to phase separate; the phase separation of surface-grafted PMMA/PS mixed brushes has already been experimentally observed.\(^44,62,63\) For investigations of the phase behavior of the systems studied within this work, we used scanning transmission electron microscopy (STEM) and electron energy-loss spectroscopy (EELS) to spatially map the different polymer species in the prepared nanostructures\(^60\) (see experimental details). Although selective staining procedures are often applied to produce contrast between distinct polymer phases (as exemplarily shown in Fig. S1 (ESI\(^f\)) for one of the samples studied in this work), such staining may result in artifacts such as overstaining,\(^47\) especially if the investigated materials are interface-rich and if separated domains are comparably small. Such staining artifacts are avoided using direct mapping by means of STEM-EELS. To obtain insights into the mechanism of phase separation, we also performed Monte Carlo simulations.\(^59,55,56\)

Reconstruction of the three-dimensional structure of core-shell scaffold structures by means of STEM-EELS tomography was impeded here, due to the beam sensitivity of the investigated polymer.\(^31\) Therefore, instead of performing tomography, we analyzed several different core-shell particles, in order to
structure (right column in Fig. 2). Our simulations are hence consistent with different side views from a single simulated core–shell particle with mixed PMMA/PS RAFT polymers attached to the gold core. Projections of the investigated 3-dimensional structures, corresponding spectrum image maps of these particles, middle column: The images in the middle column show maps of gold (red), PS (green), and PMMA (blue). [Note that mapping of the polymeric species at the gold positions was not possible with the employed approach.] Particles with isotropic (a) and anisotropic (b and c) lateral distribution of PS were found. The right column displays different side views of a single simulation core–shell particle with mixed PMMA/PS RAFT polymers attached to the gold core.

Secondly, the distribution of the PS is found to be laterally isotropic in 5 out of 18 investigated cases (as exemplarily shown in Fig. 2a), whereas in 4 out of 18 cases PS is found only on one side of the particles and fully depleted on the opposite side, i.e. a Janus-type structure (as exemplarily shown in Fig. 2c). (The remaining 9 cases are intermediate between these two cases, as exemplarily shown in Fig. 2b.) These different lateral polymer distributions in spectrum image maps, which are 2-dimensional projections of the investigated 3-dimensional structures, correspond to different side views from a single simulated core–shell structure (right column in Fig. 2). Our simulations are hence supportive of the claim that analyzing different core–shell particles can provide a sampling of different particle orientations resulting from casting on the graphene support prior to the microscopic experiments. Our observations point to a non-uniform distribution of PS around the gold cores in the form of a Janus-like structure.

We also analyzed the robustness of the formation of Janus-like structures, by varying the amount of grafted PS in simulations. These simulations were performed by keeping an overall grafting density of 0.41 chains nm$^{-2}$ and varying the amount of adsorbed PS from 0.17 chains nm$^{-2}$, 0.20 chains nm$^{-2}$, to 0.24 chains nm$^{-2}$. Such analysis reveals that a fluctuating density of grafted PS chains does not significantly affect the formation of the segregated PS domains, which form during the entire range of PS grafting density investigated (Fig. 3a–c).

To investigate whether the lateral mobility of the grafted polymer ligands provides another degree of freedom that influences the phase separation, we performed control simulations with immobile surface grafting points (see also Fig. S3, ESI†). In such situation, the PS domains are more jagged compared with the situations involving mobile grafting points, and no well phase-separated PS domains emerge (Fig. 3d–f). From this comparison and from the segregated PS domains experimentally observed, we can therefore conclude that the adsorbed polymers are laterally mobile, as had already been observed for self-assembled monolayers on flat gold surfaces. 67 It should be emphasized that a coarse-grained simulation with Martini model was previously employed to show Janus-like configurations of the PS and PEO brushes on gold nanoparticle surface. 45 However, our simulations are distinct in the following aspects: First, the grafting points in the cited simulations are immobile, and the Janus-like structure is set in prior. In our simulation, the Janus-like configurations are obtained by the phase separation of PS and PMMA on the AuNP surface. Second, the parameters used in our simulation, such as the chain length, nanoparticle size, grafting densities of PS and PMMA, and the solvent qualities are directly extracted from the
experimental systems (see the previous sections). These two aspects ensure the direct comparison of our simulations to the experimental findings.

We were particularly intrigued if these Janus-like core–shell nanostructures can be employed as anisotropic scaffolds for the site-specific attachment of additional functional units. We reasoned that the fraction of telechelic PS, which does not attach to the AuNP core with both chain ends, should provide free trithiocarbonate moieties onto which smaller AuNPs could be attached.19 As a proof-of-concept, we followed an experimental strategy described earlier by us18,19 and treated the core–shell particles from Fig. 2 with smaller satellite AuNPs from two-phase Brust–Schiffрин synthesis. This was followed by addition of monofunctional PS (Table 1) to shield the outer surfaces of the satellite particles10 and in order to provide colloidal stabilization. This resulted in the formation of planet–satellite nanostructures, as evidenced from HAADF images (Fig. 4, left column). Mapping of the PMMA and PS polymeric species in these planet–satellite structures from both experiments and simulations shows that the satellite particles are found in the outer region of the separated PS bottom layer (Fig. 4, middle and right columns). This reveals that, (i) satellite AuNPs can penetrate the outer PMMA layer to become attached to the planet particle via association with telechelic PS; and (ii) AuNPs with phase-separated mixed polymer brushes can be site-selectively functionalized, if one of the polymeric species provides binding sites for the attachment of additional nanoparticles.

4. Conclusions

In conclusion, we have developed a modular synthetic approach toward anisotropic nanoparticle arrangements with hierarchical structure. By exploiting the phase separation of immiscible polymers on AuNP surfaces directionality can be imparted to hybrid core–shell structures, and anisotropic nanoparticle arrangements are thusly accessible from there.

Whereas staining procedures are typically used for the microscopic visualization of phase separation phenomena on nanoparticle surfaces, we herein revealed the morphologies of mixed polymer brush systems by direct mapping of the polymeric species using scanning transmission electron microscopy and electron energy-loss spectroscopy. Employing Monte Carlo simulations, we could also show that the phase separation into Janus-type structures is a result of laterally mobile surface grafting points. By equipping one of the constituent polymers in these separating mixed brushes with functional groups, site-selective incorporation of additional functional units can be performed. Our concept was demonstrated by preparing gold-planet–gold-satellite nanoparticle arrangements with Janus-like structures, but it may in principle enable the fabrication of a variety of different anisotropic nanostructures as well. The approach may therefore find applications in the preparation of well-defined nanostructures capable of undergoing directed self-assembly or performing directed motion.

Conflicts of interest

There are no conflicts to declare.

Acknowledgements

The authors gratefully acknowledge Professor Gregor Trimmel (TU Graz) for providing access to his laboratory and the Zentrum für Elektronenmikroskopie Graz (ZFE) for access to their microscopes. C. R. acknowledges support from the Leopoldina Fellowship Programme, German National Academy of Sciences Leopoldina (Project No. LPDS 2017-02). Q. T. and M. M. acknowledge the financial support from Deutsche Forschungsgemeinschaft–CONICET under grant Mu1674/15-1. The simulations have been performed at the GWDG Göttingen, the HLRN Hannover/Berlin, and the von-Neumann Institute for Computing, Jülich, Germany.

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