Does the $\alpha$ cluster structure in light nuclei persist through the fusion process?

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**Background:** Despite the importance of light-ion fusion in nucleosynthesis, a limited amount of data exists regarding the de-excitation following fusion for such systems.

**Purpose:** To explore the characteristics of $\alpha$ emission associated with the decay of light fused systems at low excitation energy.

**Method:** Alpha particles were detected in coincidence with evaporation residues (ER) formed by the fusion of $^{18}$O and $^{12}$C nuclei. Both $\alpha$ particles and ERs were identified on the basis of their energy and time-of-flight. ERs were characterized by their energy spectra and angular distributions while the $\alpha$ particles were characterized by their energy spectra, angular distributions, and cross-sections.

**Results:** While the energy spectra and angular distributions for the $\alpha$ particles are well reproduced by statistical model codes, the measured cross-section is substantially underpredicted by the models. Comparison with similar systems reveals that the fundamental quantity for the $\alpha$ cross-section is $E_{\text{c.m.}}$ and not the excitation energy of the fused system.

**Conclusion:** The enhancement in the measured $\alpha$ cross-section as compared to the statistical model codes and its dependence with $E_{\text{c.m.}}$ suggest that a coupling between pre-existing $\alpha$ cluster structure and the collision dynamics is responsible for the observed $\alpha$ cross-section.

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Nuclear fusion is a phenomenon of considerable significance from both the fundamental and societal perspective. Synthesis of the elements in both stellar [1,2] and non-stellar [3-5] environments is principally governed by nuclear fusion. Attempts to synthesize superheavy elements at the limits of stability rely on fusion reactions [3]. Not only do fusion reactions provide the path by which both existing and potentially new elements are synthesized, but they also provide access to an enormous release of energy. In addition to powering stellar cores, it has recently been proposed that nuclear fusion reactions in the outer crust of an accreting neutron star fuel the tremendous energy release observed in X-ray superbursters [6-9]. With an energy release of $10^{42}$ ergs, an X-ray superburst releases in just a few hours the energy output of our sun over approximately a decade. Beyond their occurrence in nature, fusion reactions are also of practical importance. Fusion weapons represent the largest terrestrial energy release achieved by human beings to date. Moreover, the quest to harness the sustained energy release of fusion remains the focus of considerable effort [10,11]. Due to the important role fusion reactions play, they have been intensively studied both experimentally and theoretically for several decades.

For many systems, fusion involves the amalgamation of two nuclei into a compound nucleus which no longer retains a memory of the identity or structure of the colliding nuclei. As the two nuclei fuse, both binding energy and incident kinetic energy are converted into intrinsic excitation and spin. At energies near the Coulomb barrier, the resulting compound nucleus, characterized by its spin and excitation energy, de-excites by emitting neutrons, protons, $\alpha$ particles, and $\gamma$ rays. To describe this de-excitation of the compound nucleus a statistical framework is typically invoked [12,13]. The defining features of the de-excitation process are the energy spectra and angular distributions of the emitted particles along with their cross-sections. Although this perspective of fusion reactions, namely the complete equilibration of the projectile and target nuclei followed by their statistical decay has largely been successful, exceptions have been noted [14]. In these cases, it has been noted that entrance channel effects are observable. To test this survival of entrance channel effects in fusion reactions, we investigate the collision of light nuclei with well established $\alpha$ cluster structure [15,16]. The extent to which this pre-existing cluster structure survives the fusion process can be probed by examining $\alpha$ particle emission as a function of incident energy. In this paper we examine $\alpha$ emission in the reaction $^{18}$O + $^{12}$C for $E_{\text{c.m.}} = 6.5$ to 14 MeV.

The experiment was conducted at Florida State University where a beam of $^{18}$O ions was accelerated to energies between $E_{\text{lab}} = 16.25$ MeV and 36 MeV using the FN tandem and pulsed at a frequency of 12.125 MHz. After optimizing the beam optics, the beam intensity was decreased to $1.5-4 \times 10^7$ p/s to facilitate comparison with future experiments using low intensity radioactive beams.
In the experimental setup the beam first passed through an upstream ExB microchannel plate (MCP) detector designated US MCP. In this detector, passage of the $^{18}$O ions through a secondary emission carbon foil produced a fast timing signal $^{[17]}$. The beam subsequently impinged on a second MCP detector designated TGT MCP approximately 1.3 m downstream of the US MCP. The 93 $\mu$g/cm$^2$ thick carbon foil in the TGT MCP served both as the target for the experiment as well as a secondary emission foil for this MCP. Measurement of the time-of-flight (TOF) between the two MCPs allowed rejection of beam particles scattered or degraded prior to the target as well as provided a direct measure of the number of beam particles incident on the target. The fast timing signal of the TGT MCP was also used to measure the TOF for reaction products.

In the angular range $4.3^\circ \leq \theta_\text{lab} \leq 11.2^\circ$ reaction products were detected using a segmented, annular silicon detector which provided both an energy and fast timing signal $^{[18]}$. The detector used in this experiment was a new design fabricated by Micron Semiconductor designated S5. The detector which was nominally 220 $\mu$m thick consisted of sixteen pie-shaped sectors on its ohmic surface. On its junction side, the detector was segmented into six concentric rings subdivided into four quadrants. The segmentation of this design was optimized for the kinematics associated with the study of low energy fusion reactions. Reaction products were also detected in the angular range $12^\circ \leq \theta_\text{lab} \leq 23^\circ$ using another annular silicon detector (design S1), 300 $\mu$m thick, situated closer to the target. This detector was similar to the S5 detector previously described but had sixteen concentric rings spanning the angular range which are sub-divided into quadrants. Due to the kinematics of the reaction, the angular range subtended by these detectors resulted in a high geometric efficiency, 65%-80%, for detection of fusion residues. Further details on the operating performance of these detectors and the experimental setup are described in Refs. $^{[17,19]}$.

A typical energy vs. time-of-flight (ETOF) spectrum measured is presented in Fig. 1 where the energy corresponds to the energy deposited in the silicon detector while the time-of-flight is the time difference between the target MCP and the silicon detector. The prominent feature in the spectrum is the peak associated with elastically scattered particles located at $E_{\text{Si}} = 25$ MeV and a TOF of approximately 10 ns. Extending from this peak to lower energies is a locus of points that exhibit a characteristic energy-TOF relationship. This locus corresponds to scattered beam particles and has a total intensity of approximately 2% of the elastic intensity. Situated at longer TOF than the beam scatter is a clear island corresponding to the detection of nuclei with $A > 18$. Located at shorter times than the beam scatter are two distinct islands. Located between $E_{\text{Si}} \approx 8$ MeV and 20 MeV and a TOF of 4-7 ns a locus corresponding to the detection of $\alpha$ particles. The expected correlation between energy and TOF is qualitatively manifested for these particles. Calculation of the ETOF associated with $A=4$ confirms this assignment. At lower deposited energy, $E_{\text{Si}} < 6$ MeV and a TOF of 4-5 ns an island corresponding to protons is also observed.

The region of Fig. 1 associated with $A > 18$ is due to fusion of $^{18}$O nuclei with $^{12}$C nuclei. The resulting $^{30}$Si nuclei de-excite via emission of neutrons, protons, $\alpha$ particles producing evaporation residues. Detection of the evaporation residues provides a direct measure of the fusion cross-section.

Presented in Fig. 2 is the laboratory angular distribution of evaporation residues for incident energies $E_\text{lab} = 16.25$ MeV to 36 MeV. Also shown are the evaporation residue angular distributions predicted by the statistical model codes EVAPOR $^{[20]}$ (solid red line) and PACE4 $^{[21]}$ (dashed blue line), which employ a Hauser-Feshbach formalism to describe the de-excitation of the fusion product. At all energies the yield for evaporation residues decreases with increasing laboratory angle. Closer examination of the angular distributions reveals that the distributions have a two component nature that can be qualitatively understood in the following context. De-excitation of the fusion product via single or few nucleon emission will impart less transverse momentum to the recoiling evaporation residue resulting in an angular distribution that is peaked at smaller angles. In contrast, emission of an $\alpha$ particle will result in a larger transverse...
momentum for the evaporation residue and as a result an angular distribution that is peaked at larger angles. The small angle component of these distributions are well described by the statistical model codes, but the large angle component is significantly underpredicted.

The energy distributions of evaporation residues are shown in Fig. 3 for different incident energies. It should be noted that the distributions presented correspond to the energy deposited in the silicon detector. As the atomic number of the residues is not known the energy measured in the silicon detector has not been corrected for the energy loss in the target or the entrance dead layer of the silicon detector. If one assumes, consistent with statistical model calculations, that the evaporation residues are predominantly Si and Al nuclei, then this energy loss correction is typically of the order of 1 to 1.5 MeV. At the five higher energies a clear indication of a bi-modal distribution is observed. Qualitative examination of the shape of these energy distributions indicates that the total distribution is dominated by the yield of the high energy component. This observed distribution can be well described by the sum of two gaussians as shown by the two gaussian fit indicated by the dashed line. For $E_{\text{lab}} \leq 20 \text{ MeV}$ only a single component distribution is observed corresponding to the higher energy component present at higher beam energies.

One possible origin of the two component nature of the energy distributions visible in Fig. 3 is different de-excitation pathways for the excited $^{30}\text{Si}$ nucleus, namely $\alpha$ emission as compared to nucleon emission. This conclusion is also consistent with the angular distributions observed in Fig. 2. To investigate if this hypothesis is correct, we constructed the energy distribution of evaporation residues selected on the coincident detection of an $\alpha$ particle in the angular range $4.3^\circ \leq \theta_{\text{lab}} \leq 11.2^\circ$.

![FIG. 2. (Color online) Angular distribution of evaporation residues in the laboratory frame for different bombarding energies for $^{12}\text{C} + ^{18}\text{O}$. Solid symbols depict the experimental angular distribution while the solid and dashed curves indicate the angular distributions predicted by the statistical model codes EVAPOR and PACE4 respectively. The model angular distributions have been normalized to the experimental data over the angular range $4.3^\circ \leq \theta_{\text{lab}} \leq 11.2^\circ$.](image1)

![FIG. 3. Solid symbols depict the distribution of deposited energies in the Si detector for evaporation residues at different bombarding energies. Open symbols correspond to the same quantity for which evaporation residues are coincident with $\alpha$ particles. Open symbols have been scaled by a factor of two for clarity. The dashed line corresponds to a two gaussian fit.](image2)
(open squares) components the average laboratory energy of the residue, \( \langle E_{\text{Si}}(ER) \rangle \), increases essentially linearly with the incident energy \( E_{\text{c.m.}} \). As expected, the trend for the total distribution (filled circles) follows that of the high energy component since the yield of the high energy component dominates the yield of the total distribution. The trend of the \( \alpha \) gated residue energy distributions (solid red squares) unsurprisingly follows that of the low energy component, quantitatively demonstrating that the low energy residues are associated with \( \alpha \) emission. At the lowest incident energies measured, the low energy of these evaporation residues emphasizes the need for low detection thresholds. The linear trend observed for the average energies of the residues can be understood as the change of the kinematics of the reaction with increasing incident energy. To quantitatively assess this dependence we have calculated the average laboratory energy of the \(^{30}\text{Si}\) fusion product as a function of \( E_{\text{c.m.}} \) and indicate the result as the solid line in Fig. 4. To investigate the discrepancy between the measured values for the evaporation residues (solid circles) and that calculated for the \(^{30}\text{Si}\) (solid line) we have calculated the energy a \(^{30}\text{Si}\) nucleus would possess after it passes through the target and front dead layer of the Si detector. The impact of the target and front dead layer of the Si detector on the detected energy of the \(^{30}\text{Si}\) has been calculated using the energy loss program SRIM and the result is depicted as the dotted line. Also shown in Fig. 4 is the \( \langle E_{\text{Si}}(ER) \rangle \) associated with a \(^{20}\text{Mg}\) nucleus resulting from the \( \alpha \) decay of \(^{30}\text{Si}\). The \( \alpha \) emission is assumed to be isotropic with both the \( \alpha \) particle and evaporation residue detected in the experimental setup. The overall agreement of the dashed line with the low energy component bolsters the conclusion that the low energy component is associated with emission of an \( \alpha \) particle.

In the lower panel of Fig. 4 the trends associated with the widths of the high and low energy components of the total distributions as well as the \( \alpha \) gated distributions are shown. The widths of both components of the total distributions increase linearly with \( E_{\text{c.m.}} \) from 1.8 MeV to 5 MeV in the former case and from 0.8 to 1.6 MeV in the latter case. While the mean values of the \( \alpha \) gated distributions are in good agreement with those of the low energy component, the widths of the \( \alpha \) gated distributions are systematically slightly larger.

We next examine the measured angular distributions of \( \alpha \) particles to ascertain if they exhibit the characteristics of statistical emission from a compound nucleus. The \( \alpha \) particles are identified based upon their position in the energy-TOF spectrum. Shown in Fig. 5 are the \( \alpha \) particle angular distributions at two incident energies along with the predictions of the EVAPOR statistical model code normalized to the data. The general trend observed is that the differential yield of \( \alpha \) particles, dN/dΩ, decreases slightly with increasing angle. This forward peaking can be understood as being due to the center-of-mass momentum of the compound nucleus. The measured angular distributions are in relatively good agreement with the EVAPOR predictions as evident in the figure.

Having established that the \( \alpha \) angular distribution is consistent with statistical decay from the compound nucleus and plays a non-negligible role in the de-excitation of the fusion product, we directly examine the energy spectra of these emitted particles. Shown in Fig. 6 are the energy distributions of \( \alpha \) particles detected in the angular range \( 4.3^\circ \leq \theta_{\text{lab}} \leq 23^\circ \). To facilitate comparison with a statistical model, the energy of the \( \alpha \) particle has been transformed into the center-of-mass frame of the system and the resulting distributions are shown in Fig. 6 along with the EVAPOR predictions. As is evident in the figure, the statistical model provides a reasonably good description of the measured energy distributions.
emitted $\alpha$ particles.

In order to make a more quantitative analysis of the measured distributions and provide more detailed comparison with statistical models, we extract the first and second moments of the distributions presented in Fig. 6 and examine the dependence of these quantities on $E_{c.m.}$ in Fig. 7. In the upper panel of Fig. 7 one observes that $\langle E_{c.m.}(\alpha) \rangle$ increases with increasing incident energy, $E_{c.m.}$, both for the experimental data and the model predictions. For reference, the excitation energy, $E^*$, of the compound nucleus is displayed on the scale above the top panel. The error bars for the experimental data are defined by the statistics of the measurement. The results of the EVAPOR and PACE4 calculations are presented as the solid and dashed lines respectively. The overall increasing trend of the first moment, $\langle E_{c.m.}(\alpha) \rangle$, observed in the experimental data is reasonably reproduced by both models. EVAPOR is in better agreement with the experimental data than PACE4, which slightly overpredicts $\langle E_{c.m.}(\alpha) \rangle$ at all energies by approximately 0.5 MeV. This deviation between PACE4 and the experimental data increases with increasing $E_{c.m.}$. While for the lower energies the statistical model predictions lie within the statistical uncertainties of the experimental measurement, for the two highest incident energies the statistical uncertainty is less than the deviation between the PACE4 model predictions and the measured values. Presented in the lower panel of Fig. 7 is the dependence of the second moment of the energy distributions, $\sigma(E_{c.m.}(\alpha))$ on $E_{c.m.}$. The experimental widths increase from 1.2 MeV at the lowest energies to 2.2 MeV at the highest $E_{c.m.}$. In the case of the second moment, good agreement between the PACE4 predictions and the measured widths is observed. In contrast to the PACE4 predictions, EVAPOR predicts slightly lower values for the first moment which are in better agreement with the experimental measurement. However, in the case of the second moment EVAPOR slightly overpredicts the experimentally measured values.

In a statistical framework, two factors contribute to the $\langle E_{c.m.}(\alpha) \rangle$ namely the temperature of the emitting nucleus and the Coulomb barrier associated with the $\alpha$ emission. As the second moment is primarily sensitive to the temperature of the emitting system, the larger disagreement of the PACE4 statistical model with the first moment suggests that the Coulomb barrier associated with $\alpha$ emission might be slightly lower than that calculated by the statistical model. A sensitive probe of the
Coulomb barrier is the emission probability of a charged particle. We therefore examine the α particle emission cross-section as a function of E$_{c.m.}$ and compare the results to the predictions of the statistical models.

In order to extract the α emission cross-section from the measured yields, it is necessary to correct for the efficiency of the experimental setup. To determine the geometric acceptance of the experimental setup the statistical model code EVAPOR was utilized. In the simplest case of isotropic single α particle emission, two factors dominate the geometric efficiency, namely the center-of-mass velocity of the compound nucleus and the energy distribution of the emitted α particle. Emission of additional particles, however, imparts momentum to the evaporation residue which will affect the efficiency. The efficiency determined using the EVAPOR model is shown in Fig. 8 as a solid (red) line. The efficiency for detection of an α particle in coincidence with an evaporation residue in the experimental setup as determined by the EVAPOR model. Also shown is the prediction of a zero spin kinematic model described in the text.

FIG. 8. (Color online) Efficiency for detection of an α particle in coincidence with an evaporation residue in the experimental setup as determined by the EVAPOR model. Also shown is the prediction of a zero spin kinematic model described in the text.
tatively expected, at small excitation energy, $E^*$ is associated with relatively small fraction of the total fusion cross-section. The dramatic increase in the relative $\alpha$ particle emission, but they underpredict the rate at which $\alpha$ particle emission increases with $E_{\text{c.m.}}$. This result suggests that factors other than those considered in the statistical model calculations play a significant role in the $\alpha$ particle emission.

While the dramatic increase in the $\alpha$ emission cross-section with incident energy and the underprediction of the statistical model codes is remarkable, it should be noted that a hint of this result was already evident in the angular distribution of evaporation residues presented in Fig. 2. As observation of residues at large laboratory angles is directly related to the emission of an $\alpha$ particle, the failure of the statistical model codes to reproduce the yield of evaporation residues at large angles suggests the underprediction of $\alpha$ emission. Although the energies of the emitted $\alpha$ particles are reasonably reproduced by the statistical model codes and in particular EVAPOR, the models underpredict the measured $\alpha$ cross-section. Moreover, the magnitude of the underprediction increases with increasing incident energy. At the highest incident energy measured the statistical model code EVAPOR underpredicts the measured $\alpha$ cross-section by a factor of approximately five.

Presented in Fig. 10 are the measured $\alpha$ excitation functions for $^{16}\text{O} + ^{12}\text{C}$ and $^{18}\text{O} + ^{12}\text{C}$ along with the present data. As the incident energy increases from $E_{\text{c.m.}} = 6$ MeV to $E_{\text{c.m.}} = 17$ MeV, $\sigma_\alpha$ increases from $<1$ mb to $\approx 1000$ mb. The similarity of the $\alpha$ cross-section for the different systems presented as a function of $E_{\text{c.m.}}$ is striking. Though slight differences in the shape of the excitation functions in the range $8 \leq E_{\text{c.m.}} \leq 11$ MeV exist, the excitation functions largely follow a common trend. If the $\alpha$ cross-section primarily depended on the excitation energy, $E^*$, of the fused system, then a common trend would be observed for the different systems as a function of $E^*$. However, the Q-value for the three systems is significantly different ranging from 16.76 MeV for $^{16}\text{O} + ^{12}\text{C}$ to 23.65 MeV for $^{18}\text{O} + ^{12}\text{C}$. Consequently, when the dependence of the $\alpha$ cross-section on $E^*$ is examined, the different systems are displaced relative to each other.
by approximately the difference in their Q-values. This result implies that E\text{c.m.} and not E^* is the fundamental quantity driving \(\alpha\) emission in these systems.

One can speculate as to why E\text{c.m.} is the relevant quantity for \(\alpha\) emission in these fused systems. It is well established that nuclei such as \(^{12}\text{C}\) and \(^{16}\text{O}\) have an \(\alpha\) cluster structure. Even for the neutron-rich nucleus \(^{18}\text{O}\) significant experimental evidence for an \(\alpha\) cluster structure exists \(^{18}\text{O}\). If this \(\alpha\) cluster structure in the entrance channel is not eliminated in the fusion process, it could manifest itself as enhanced \(\alpha\) emission relative to the statistical model. Evident in the lower panel of Fig. 9 is the growth of the relative probability for \(\alpha\) emission with E\text{c.m}. This growth substantially exceeds that predicted by the statistical model calculations suggesting that the pre-existing \(\alpha\) cluster alone does not explain the magnitude of \(\alpha\) emission for large values of E\text{c.m}.

From this we surmise that the collision dynamics coupling to the inherent \(\alpha\) cluster structure is responsible for the large cross-section of \(\alpha\) particles observed. While it may be tempting to consider the survival and amplification of the pre-existing \(\alpha\) cluster structure as simply a “pre-equilibrium” component, it should be recalled that the \(\alpha\) particle angular distribution is consistent with that of the statistical model predictions. Hence, the lifetime of this “pre-equilibrium” process is long on the timescale of the rotational period of the fused system.

In summary, we have measured evaporation residues and \(\alpha\) particles produced in the reaction \(^{18}\text{O} + ^{12}\text{C}\) at 16.25 MeV \(\leq E_{\text{lab}} \leq 36\) MeV and examined their angular distributions, energy spectra, as well as cross-sections. Evaporation residues exhibit a two-component angular distribution. The smaller angle component can be understood as associated with nucleon emission from the fused system and is reasonably well described by a statistical model code (EVAPOR). In contrast, the yield of the larger angle component which is associated with the emission of \(\alpha\) particles is significantly underpredicted by the model indicating that \(\alpha\) emission is enhanced relative to the predictions of the statistical model code. While the angular distributions and energy spectra of the emitted \(\alpha\) particles are in good agreement with the statistical model code predictions, the measured \(\alpha\) cross-section far exceeds the predicted cross-section. This enhancement of the cross-section increases from a factor of two at E\text{c.m.} = 7 MeV to a factor of nearly five at E\text{c.m.} = 14 MeV. This large \(\alpha\) cross-section is also observed for other light systems undergoing fusion. Remarkably, comparison with similar systems indicates that E\text{c.m.} and not excitation energy is the quantity responsible for the \(\alpha\) emission process. This result indicates that the \(\alpha\) particles are not emitted from the \textit{fully equilibrated} compound nucleus despite the agreement of the angular distribution with the statistical model code. Moreover, the growth of the \(\alpha\) particle cross-section with increasing incident energy is revealing. This increase of the relative \(\alpha\) cross-section with increasing incident energy can be understood as a coupling of the collision dynamics to pre-existing \(\alpha\) cluster structure in the entrance channel. The large increase in the observed \(\alpha\) cross-section with increasing incident energy may signal the increased coupling of the entrance channel to the \(\alpha\) cluster structure as the bombarding energy increases. As light-ion fusion reactions and alpha cluster nuclei in particular play an important role in stellar nucleosynthesis, it is important to explore this observation further both experimentally and theoretically. On the experimental front, measuring \(\alpha\) emission for similar systems which lack a pronounced \(\alpha\) cluster structure in the projectile and target nuclei is necessary to determine if an \(\alpha\) cluster structure in the entrance channel is necessary to observe the enhancement. While acquiring high quality experimental data in a systematic fashion is crucial, a complete understanding this phenomenon will require a theoretical model capable of treating the \(\alpha\) cluster structure in the entrance channel and its coupling to the collision dynamics.

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