Achieving Complexity at the Bottom: Molecular Metamorphosis Generated by Anthocyanins and Related Compounds

Nuno Basílio, A. Jorge Parola, Diogo Sousa, Vesselin Petrov, Luis Cruz, Victor de Freitas, and Fernando Pina*

ABSTRACT: The concept of molecular metamorphosis is described. A molecule (flavylium cation) generates a sequence of other different molecules by means of external stimuli. The reversibility of the system allows for the flavylium cation to be recovered by other external stimuli, completing one cycle. Differently from supramolecular chemistry, molecular metamorphosis is not a bottom-up approach. All events occur at the bottom. The procedures to characterize the kinetics and thermodynamics of the cycles are summarized. They are based on direct pH jumps (addition of a base to the flavylium cation) and reverse pH jumps (addition of an acid to equilibrated solutions at higher pH values). Stopped flow is an indispensable tool to characterize these systems. The following metamorphic cycles will be described to illustrate the concept: (i) introducing the flavanone in the metamorphic system and illustrating the concept of a timer at the molecular level; (ii) response of the flavylium-based metamorphosis to light inputs and the write-lock-read-unlock-erase molecular system; (iii) a one-way cycle of direct−reverse pH jumps; (iv) interconversion of the flavylium cation with 2,2′-spirobis[chromene] derivatives; (v) 6,8 A-ring substituent rearrangements.

1. INTRODUCTION

Complexity is an intrinsic characteristic of biological systems. The top-down approach is the most common way to investigate complexity. Examples of this procedure are separation techniques such as chromatography, distillation, evaporation, and filtration, experimental procedures that, for example, allow the discovery of new molecules in natural products.

In contrast, supramolecular chemistry, a discipline well-established and recognized after the 1987 Nobel Prize awarded to Donald J. Cram, Jean-Marie Lehn, and Charles J. Pedersen, is based on a bottom-up approach (Scheme 1). Supramolecular chemistry studies how molecules interact to give higher dimension entities and tends to fill the gap between “classical chemistry” and biology1 (Scheme 1).

However, there is another way to achieve complexity. The molecular metamorphosis2 defines the situation of a molecule (generator) capable by external inputs of giving a different molecule and another one successively, leading to a set of new molecules. The complexity results from the number of species, and everything takes place at the bottom. Anthocyanins, the ubiquitous color systems of angiosperms reported in Scheme 2, provide a paradigmatic example of chemical metamorphosis.2,3

A molecule, the red flavylium cation, the sole species at pH ≤ 1, can generate, upon a pH input (addition of a base defined as a direct pH jump), the purple quinoidal base (proton transfer) and successively the colorless hemiketal (hydration), which is

Received: August 18, 2021
Accepted: October 4, 2021
Published: October 13, 2021

Scheme 1. Top-Down and Bottom-Up Approaches*"
transformed into the pale yellow cis-chalcone (tautomerization) and finally trans-chalcone (isomerization) (Schemes 2 and 3).

One characteristic of the system consists of its reversibility. The flavilyium cation can be recovered by addition of an acid back to pH ≤ 1 (defined as reverse pH jumps). The fact that in molecular metamorphosis, different molecules are formed implies the appearance of new physical chemical properties caused by external inputs. It is possible to profit from this fact to design molecular sensors,4,5 models for optical memories,6,7 and drug delivery based on host−guest chemistry,8,9 among other applications.

Strictly, the term metamorphosis means a change of the form or nature of a thing or person into a completely different one. In fact, all chemistry can be considered to be a metamorphosis phenomenon. We use chemical metamorphosis in a more restricted sense by considering the analogy with its zoological significance (Scheme 3).

To overcome the false dilemma "which came first: the chicken or the egg?" in defining the generator molecule in anthocyanin-based metamorphosis cycles, it is preferable to consider the flavilyium cation: not only it is the most stable species, but also, it gives the name to the system. However, the identification of the complex system with its generator could give rise to some misunderstandings in studies where the anthocyanin complexity is not taken into account.

The term "aromatic metamorphosis" has been applied to the substitutions of the endocyclic atoms in aromatic cores (regarded as being uncleavable because of their aromatic stabilization energy) through partial disassembly of the cyclic skeletons and subsequent ring reconstruction (Scheme 4). For example, dibenzothiophenes, dibenzofurans, and benzofurans are transformed into different ring systems.10 Aromatic metamorphosis does not fit our concept of molecular metamorphosis in particular because it is not reversible and does not respond to an external input.

The concept of molecular metamorphosis is also different from a multiresponsive molecular switch.11−13 Strictly, a molecular switch refers to a system with two states, ON/OFF, very appropriate for defining molecular logic gates.14−17 In a multiresponsive molecular switch, the same molecule responds to more than one of external stimuli, such as pH, light, metal cations, and anions. There is no sequence of species as in Scheme 3.

2. MOLECULAR METAMORPHOSIS OF ANTHOCYANINS AND RELATED COMPOUNDS: KINETIC AND THERMODYNAMIC CHARACTERIZATION

The molecular metamorphosis system shown in Scheme 2 for cyanidin-3-glucoside (kuromanin) is conveniently characterized by an energy level diagram (Scheme 5).6,18

In this type of representation, the Gibbs free energy (ΔG°) of each reaction of the first row of Scheme 2 is calculated and represented in a diagram as in Scheme 5.6,19 For example, electron-donating substituents (such as amines and hydroxyl or alkoxy groups) attached to the flavilium core are known to provide stabilization to the flavilium cation against hydration (the B energy level increases).3 The isomerization kinetics/thermodynamics is also affected by the substituents: functional groups that decrease the chalcone double bond character (such as electron-donating groups in position 7) lead to faster isomerization rates (lower activation barrier), and the opposite

"K∞ = kₐ/k₋ₐ (X = A, B, CC, and Ct)."
is observed for substituents that increase the charge density in the carbonyl group increasing the isomerization barrier (electron-donating groups in position 4). Also worth noting is the effect of substituents in position 4, which usually completely hinder the hydration of the flavilyum cation such that for these compounds, only the colored flavilyum and quinoidal base species are present in solution.

As shown below, any effect affecting the kinetics and thermodynamics of the elemental reaction steps will be translated into overall pH-dependent stability ($pK_a$ and $pK_a'$) of the flavilyum cation (pH flavilyum domain) and the kinetics/mechanism of the multistate. In this sense, in many cases, the overall outcome for the introduction of substituents in particular positions of the flavilyum skeleton may be difficult to predict.

### 2.1. Response of the Metamorphosis System of Anthocyanins and Related Compounds to pH Inputs.

Let us consider the generator molecule flavilyum cation, $AH^+$, and the input direct pH jump, for the sake of simplicity up to a moderately acidic medium before formation of the anionic species. The first species to be formed is the quinoidal base, $A$, by proton transfer, eq 1, first step of Scheme 5. This reaction is by far the faster of the system and occurs during the mixing time of the stopped flow. The study of the first step requires very fast techniques, such as temperature jumps or in some favorable cases flash photolysis profiling from the excited-state proton transfer of the flavilyum cation.

$$
AH^+ + H_2O \overset{k_+}{\rightleftharpoons} A + H_2O^+ \quad K_a \quad \text{proton transfer}
$$

$$
k_{1st}(\text{direct}) = k_+ + k_-[H^+]
$$

---

**Figure 1.** (a) Spectral variations of cyanidin-3-glucoside taken 10 ms after a direct pH jump to $0.8 < \text{pH} < 5.4$ followed by stopped flow ($pK_a = 3.8$), before interference of the anionic species; (b) extension to higher pH values: representation of the absorbance at representative pH values versus pH; $pK_{A/A^-} = 6.6$; $pK_{A^-/A^-} = 10.1$; $pK_{A^-'/A^-} = 12.1$ form the absorption spectra taken 10 ms after a direct pH jump.
During the subsequent much slower kinetic processes, $\text{AH}^+$ and $\text{A}$ are in equilibrium and behave as a single species. Despite the uselessness of the stopped flow to calculate the proton transfer rate constants of eq 1, the absorption spectra of $\text{AH}^+$ and $\text{A}$ (as well as those of the anionic forms of $\text{A}$) can be achieved by collecting the absorption spectra after 10 ms after the direct pH jump (Figure 1). A $pK_a = 3.8$ was obtained by representing the absorbance as a function of pH.

The next step is the formation of a hemiketal, $\text{B}$, by hydration of the flavylium cation in position 2, eq 3.

$$\text{AH}^+ + 2\text{H}_2\text{O} \xrightleftharpoons[k_{-a}]{k_a} \text{B} + \text{H}_3\text{O}^+ \quad K_h \quad \text{hydration} \quad (3)$$

The hydration reaction, for the pH range accessed by direct pH jumps, is slower than the following kinetic step, the tautomerization reaction, eq 4, that corresponds to the opening/closure of ring C.

$$\text{B} \xrightleftharpoons[k_{-i}]{k_i} \text{Cc} \quad K_i \quad \text{tautomerization} \quad (4)$$

The large difference in the rates of eqs 3 and 4 and the fact that the next and last step (isomerization) is much slower than all the others make hydration the rate-controlling reaction of the second step, eq 5 and Scheme 5, and permit the establishment of the equilibrium between $\text{B}$ and $\text{Cc}$.
At equilibrium, the system also behaves as a single acid–base reaction as represented in eqs 10 and 11.

\[
\text{AH}^+ + \text{H}_2\text{O} \rightleftharpoons \text{CB} + \text{H}_3\text{O}^+ \\
K' = K_a + K_h + K_hK_i + K_hK_iK_i 
\] (10)

\[
\text{CB} = [\text{A}] + [\text{B}] + [\text{Cc}] + [\text{Ct}] 
\] (11)

The spectral variations corresponding to the second and third kinetic steps are shown in Figure 2.

The third step corresponds to the appearance of \( \text{Ct} \) exhibiting an absorption band centered around 334 nm, whose formation is visible in Figure 2c. In anthocyanins, \( \text{Ct} \) and \( \text{A} \) are minor species, and the major one is the hemiketal.

The spectral variations of cyanidin-3-glucoside at equilibrium are represented in Figure 3. In this pH range, the flavylum cation behaves as a single monoprotic acid in equilibrium with \( \text{CB} \), eq 10, \( pK'_a = 2.7 \pm 0.1 \).

For the first deprotonation,

\[
\text{X} + \text{H}_2\text{O} \rightleftharpoons \text{X}^- + \text{H}_3\text{O}^+ \quad K_{\text{X}/\text{X}^-} = \frac{[\text{X}^-][\text{H}^+]}{[\text{X}]} 
\] (12)

For the second deprotonation,

\[
\text{X}^- + \text{H}_2\text{O} \rightleftharpoons \text{X}^{2-} + \text{H}_3\text{O}^+ \quad K_{\text{X}^-/\text{X}^{2-}} = \frac{[\text{X}^{2-}][\text{H}^+]}{[\text{X}^-]} 
\] (13)

with \( \text{X} = \text{A}, \text{B}, \text{Cc}, \) and \( \text{Ct} \).

The system can be simplified considering the flavylum cation to be a polyprotic acid by adding eqs 14 and 15 to eq 10.24

\[
\text{CB} + \text{H}_2\text{O} \rightleftharpoons \text{CB}^- + \text{H}_3\text{O}^+ \\
K' = [K_{\text{A}/\text{A}}K_a + K_{\text{B}/\text{B}}K_h + K_{\text{Cc}/\text{Cc}}K_hK_i + K_{\text{Ct}/\text{Ct}}K_hK_i]/K'^' 
\] (14)

\[
\text{CB}^- + \text{H}_2\text{O} \rightleftharpoons \text{CB}^{2-} + \text{H}_3\text{O}^+ \\
K'' = [K_{\text{A}/\text{A}}K_h + K_{\text{B}/\text{B}}K_i + K_{\text{Cc}/\text{Cc}}K_hK_i + K_{\text{Ct}/\text{Ct}}K_hK_i]/K'' 
\] (15)

2.2. Reverse pH Jumps: A New Paradigm to Calculate the Equilibrium Constants. Reverse pH jumps carried out from the pseudo-equilibrated solutions at higher pH values back to flavylum at pH = 1.0, monitored by stopped flow, are a
powerful tool to study the molecular metamorphosis generated by flavylum cations (Figure 4c). They are based on the change of the regime, taking place at a very acidic medium when the hydration (which is directly proportional to the proton concentration), eq 5, becomes faster than tautomerization. The compound 4'-hydroxyflavylum was selected to describe this procedure because it exhibits a long-lived pseudo-equilibrium with similar mole fractions of the species A, B, and Cc. The trace of stopped flow experiments is shown in Figure 4a.

The reverse pH jumps of the stopped flow have three components (Figure 4a,b): (i) the amplitude for t = 0 corresponds to the fraction of A that is converted into AH⁺ during the mixing time of the stopped flow together with AH⁺ that exists prior to the jump for lower pH values, (ii) the amplitude of the faster kinetic step corresponds to all B species because the anionic B forms are transformed into B during the mixing time of the stopped flow, and (iii) mutatis mutandis for the slower amplitude that gives the mole fraction of Cc and its anionic forms.

The rate constant of the faster kinetic trace (in an acidic medium) is accounted for by eq 16.

\[
\begin{align*}
    k_{\text{Hydr. rev. to pH=1}} & = x_{\text{AH}^+} k_h + k_{-h}[H^+] \\
    & = \frac{[H^+]}{[H^+]} k_h + k_{-h}[H^+] \\
    & = -k_h + k_{-h}[H^+] \\
    k_h & = \frac{[H^+]}{[H^+]} k_h + k_{-h}[H^+] \\
    k_{-h} & = \frac{[H^+]}{[H^+]} k_h + k_{-h}[H^+] \\
    (16)
\end{align*}
\]

This equation is different from eq 5 because the formation of AH⁺ from B is faster and there is no time to establish the tautomerization equilibrium.

B is consumed to give AH⁺, during the faster kinetics of the stopped flow. The remaining Cc leads to more AH⁺, via B during the slower reaction. The observed rate is not dependent on kₜ, because there is no B available to give Cc by a back reaction. As soon as B is formed from Cc, it immediately gives AH⁺. In this case, the process follows eq 17, with kₜ defined above in eq 4 and k⁻⁻ the rate constant of tautomerization catalysis, previously defined by McClelland and coworkers.

\[
k_{\text{AH}^+} (\text{stopped flow}) = k_{-t} + k_{-t}[H^+] + k_{-t}[OH^-] \\
(17)
\]

FITTING was achieved for \( k_{-t} = 0.084 \text{s}^{-1} \), \( k_{-t} = 62 \text{M}^{-1} \text{s}^{-1} \), and \( k_{-t} = 8.9 \times 10^{-10} \text{M}^{-1} \text{s}^{-1} \). The last parameter is a rough estimate because for pH > 3.5, it is not possible to separate the two kinetics (see Figure 5d) and define with precision the ascending branch of the tautomerization curve in a basic medium (Figure 5c). The magnitude of the rate constant, \( k_{-t} \), indicates that this process should be controlled by diffusion as previously reported by McClelland and Gedge for similar compounds.

In this regard of the direct pH jumps to the basic region, the respective rate constants are directly proportional to [OH⁻] concentration, according to eq 18.

\[
k_{\text{OH⁻ attack}} = k_{\text{OH⁻}} \frac{[\text{OH}^-]}{D} (18)
\]

When considering the total pH range of the pseudo-equilibrium of 4'-hydroxyflavylum, the system behaves as a triprotic acid, i.e., with \( [\text{CB}^+] = [\text{B}] + [\text{Cc}] \) and \( [\text{CB}^-] = [\text{B}^-] + [\text{Cc}^-] \) (anionic species of A are not possible in this compound), eq 19, and the respective mole fractions are given by eq 20.

\[
\begin{align*}
    & \text{AH}^+ \underset{K_a}{\xrightarrow{\text{H}^+}} \text{CB} \underset{K_c}{\xrightarrow{\text{H}^+}} \text{CB}^- \underset{K_k}{\xrightarrow{\text{H}^+}} \text{CB}^{2-} \\
    & x_{\text{AH}^+} = \frac{[\text{H}^+]}{D} \quad ; \quad x_{\text{CB}^+} = \frac{K_a[\text{H}^+]}{D} \quad ; \quad x_{\text{CB}^-} = \frac{K_c[\text{H}^+]}{D} \\
    & x_{\text{CB}^{2-}} = \frac{K_k[\text{H}^+]}{D} \\
    & \text{with} \\
    & D = [\text{H}^+]^2 + K_a[\text{H}^+]^2 + K_c[\text{H}^+]^2 + K_k[\text{H}^+]^2 + K_a^2[\text{H}^+]^2 \\
    & x_{\text{AH}^+} + x_{\text{A}} = \frac{[\text{H}^+]^2 + a_0 K_a[\text{H}^+]^2}{D} \\
    & \text{eqs 22 to 24.}
\end{align*}
\]

Figure 4. Stopped flow traces after reverse pH jumps of 4'-hydroxyflavylum from pH = 3.85 (a) and 8.86 (b) back to pH = 1. (c) Representation of the mole fractions of 4'-hydroxyflavylum taken from the normalized amplitudes of several experiments like those of (a) and (b) extended to the basic region. \( [\text{CB}^+] = [\text{B}] + [\text{Cc}] \) (anionic species of A are not possible in this compound). Reprinted with permission from ref 24. Copyright 2019. American Chemical Society.
Stopped Flow

fl (open squares) direct pH jumps monitored by a standard spectrophotometer; (open circles) direct pH jumps to the basic region followed by stopped flow. Fitting was achieved by means of eq 16 where \( k_b = 0.3 \) s\(^{-1}\) and \( k_{sb} = 2.1 \times 10^4 \) M\(^{-1}\) s\(^{-1}\) for the acidic region and eq 18 for the basic region where \( k_{OH} = 50 \) M\(^{-1}\) s\(^{-1}\); (b) the same as (a) for the slower step. Fitting was achieved with eq 17 for \( k_s = 0.084 \) s\(^{-1}\), \( k_{s^2} = 62 \) M\(^{-1}\) s\(^{-1}\), and \( k_{sb} = 8.9 \times 10^4 \) M\(^{-1}\) s\(^{-1}\); (c) representation of the pH dependence of the hydration and tautomerization rates; (d) traces of the reverse pH jumps at different final pH values. At pH > 3.7, tautomerization becomes much faster than hydration.

Figure 5. (a) Rate constants for the faster process after reverse pH jumps: (solid circles) monitored by stopped flow from pH = 7 back to lower values; (open squares) direct pH jumps monitored by a standard spectrophotometer; (open circles) direct pH jumps to the basic region followed by stopped flow. Fitting was achieved by means of eq 16 where \( k_b = 0.3 \) s\(^{-1}\) and \( k_{sb} = 2.1 \times 10^4 \) M\(^{-1}\) s\(^{-1}\) for the acidic region and eq 18 for the basic region where \( k_{OH} = 50 \) M\(^{-1}\) s\(^{-1}\); (b) the same as (a) for the slower step. Fitting was achieved with eq 17 for \( k_s = 0.084 \) s\(^{-1}\), \( k_{s^2} = 62 \) M\(^{-1}\) s\(^{-1}\), and \( k_{sb} = 8.9 \times 10^4 \) M\(^{-1}\) s\(^{-1}\); (c) representation of the pH dependence of the hydration and tautomerization rates; (d) traces of the reverse pH jumps at different final pH values. At pH > 3.7, tautomerization becomes much faster than hydration.

Table 1. Equilibrium Constants of the Compound 4'-Hydroxyflavylium at 60 °C, Obtained by Reverse pH Jumps Monitored by Stopped Flow.

| pK\(_a\) | pK\(_b\) | K\(_a\) | pK\(_{a^+}\) | pK\(_{a^2}\) | pK\(_{b}\) | pK\(_{b^+}\) | pK\(_{b^2}\) |
|---|---|---|---|---|---|---|---|
| 4.85 | 4.86 | 0.88 | 4.4 | 8.6 | 9.5 | 8.95 | 9.5 | 8.1 | 9.5 |

2.3. Toward Equilibrium. In the case of the compound 4'-hydroxyflavylium, the rates to attain equilibrium were extremely slow, and the experiments were carried out at 60 °C (Figure 6).

In Figure 6a, a direct pH jump from pH = 1 to pH = 5.1 is shown. The spectral variations indicate that basically all quinoidal base disappears to give trans-chalcones (Ct, Ct\(^-\), and Ct\(^{2-}\) according to the final pH). The same is observed for higher pH values. In Figure 6b, the individual spectra of the trans-chalcones obtained from the titration of Ct\(^-\) (pK\(_b^\prime\) = 3.9, pK\(_{b^2}^\prime\) = 7.86, and pK\(_{b^3}^\prime\) = 8.95) by mathematical decomposition are shown, and the mole fraction distribution of the equilibrium species is presented in Figure 6c.

In conclusion, at equilibrium, eq 26, the flavylium cation behaves as a triprotic acid, and the CB species for this particular system are trans-chalcones.

\[ AH^+ \xrightarrow{K_a^+} [H^+] \xrightarrow{K_{a^+}} CB \xrightarrow{K_{a^2}} CB^{-} \xrightarrow{K_{a^3}} CB^{2-} \]

3. EXAMPLES OF MOLECULAR METAMORPHOSIS SYSTEMS

3.1. Introducing the Flavanone in the Metamorphic System. The number, nature, and position of the substituents can modulate the metamorphic systems generated by flavylium cations. It is the case of those possessing a hydroxyl substituent in position 2′, see Scheme 7, because a flavanone is introduced in the metamorphic system.

Contrary to the behavior of anthocyanins and related compounds in the case of 5′-methyl-2'-hydroxyflavylium, the disappearance rate of the quinoidal base does not drop to very small values, and a plateau is reached around neutrality with \( k_{obs} \).
which triggers the formation of the 6b. The timer can be stopped (lock step) by a pH jump back to pH = 4. The fraction of the Ct increase in the absorbance in Figure 7a is due to the formation of Ct\(^+\), \(pK_{\text{A\text{H}+}} = 3.9\), \(pK_{\text{C\text{T}+}/\text{C\text{T}2+}} = 8.95\) by mathematical decomposition; (c) mole fraction distribution of the multistate species at equilibrium. The species CB, CB\(^+\), and CB\(^{2+}\) correspond to the Ct, Ct\(^+\), and Ct\(^{2+}\).

3.1.1. Illustrating the Concept of a Timer at the Molecular Level. The system generated by 5\(^-\)methyl-2\(^-\)hydroxyflavilium was used to illustrate the concept of a timer at the molecular level (Scheme 8).

The molecular metamorphosis cycle starts with Ct. The Ct is stable at pH = 4 and could be prepared from the generator flavilium cation in a slow process or very fast after a pH jump to pH = 13 that gives Ct\(^{2+}\) followed by adjusting the pH to 4. The timer is activated by a direct pH jump to pH = 8 forming Ct\(^+\), which triggers the formation of the flavanone as shown in Figure 6b. The timer can be stopped (lock step) by a pH jump back to pH = 4. The fraction of Ct\(^+\) that did not react is transformed into the stable Ct. The flavanone (FLV) is stable unless at higher pH values, see below. The ratio Ct/FLV can be measured (read the timer) from the disappearance of Ct, and the ratio Ct/FLV is more or less linear up to 200 min (Figure 6c), the time interval for the use of the timer. The unlock step is achieved through a pH jump from pH = 4 to pH = 13. At this pH, Ct is transformed into Ct\(^+\), and the flavanone deprotonates and in a fast process gives Ct\(^{2+}\). The system could be prepared for a next cycle by the reset step, a pH jump from pH = 13 to pH = 4.

3.2. Response of the Flavylium-Based Metamorphosis to Light Inputs, the Write-Lock-Read-Unlock-Erase Molecular Switching System. Molecular species presenting two forms whose interconversion can be modulated by external stimuli are directly linked to the chemistry of signal generation, transfer, conversion storage, and detection.\(^{1,31-34}\) In this frame, flavilium-based photochromic systems capable of write-lock-read-unlock-erase have been reported.\(^6\)

The concept of the optical memory is illustrated in Scheme 9 for the compound 4\(^-\)methoxyflavilium,\(^5\) which at equilibrium at pH 6 is basically constituted by Ct. This compound in particular exhibits an extremely high cis−trans isomerization barrier. The light input writes information through the trans to cis isomerization. The pseudo-equilibrium at pH = 6 is reached in subseconds. The high isomerization barrier prevents the auto-erasing of information. However, it is not convenient to read information at this stage because the light absorption by Cc gives rise to the formation of Ct, erasing the signal. The lock step consists of a reverse pH jump to pH = 1 that leads to the appearance of the flavilium cation. This species is not photochromic, and information can be read. To cancel information for a next cycle, it is necessary to unlock the system by direct pH jumps to pH = 6, in other words, to the pseudo-equilibrium at pH = 6. The unlock step should be followed by the erasing step using light or by heating the system to overcome the isomerization barrier.

3.3. One-Way Cycle of Direct−Reverse pH Jumps. The one-way cycle generated by the flavilium cation is shown in Scheme 10. After a direct pH jump, quinoidal bases are formed and disappear leading to the equilibrium (or the pseudo-
equilibrium). A reverse pH jump restores the species of the first row, which evolve to the flavylum cation at different rates without forming the quinoidal base. This unidirectional cycle is only possible when the direct pH jump is performed to basic pH values where the quinoidal bases are hydrated by OH−. At acidic/neutral conditions, the quinoidal bases are stable and can only be consumed through the hydration of the flavylum cation.

Figure 7. (a) Spectral variations after a direct pH jump from the 5′-methyl-2′-hydroxyflavylum cation at pH = 1 to pH = 8.1. The spectrum at t = 0 is basically constituted by anionic species B− and Cc− (pK_a = 3.5 and pK^+ = 7.5); (b) evolution of the absorption spectra of the same pH jump after 40 min until reaching equilibrium, essentially constituted by flavanone; (c) trace at 340 nm to evidence both steps. Adapted with permission from ref 27. Copyright 2018. Elsevier.

Scheme 8. Illustrating the Concept of a Timer at the Molecular Level by Means of the Metamorphosis Cycle Generated by 5′-Methyl-2′-hydroxyflavylum.

Scheme 9. Illustrating the Concept of an Optical Memory Based on a Molecular Metamorphosis Cycle

Scheme 10. One-Way Cycle Obtained by a Succession of Direct pH Jumps from the Generator Molecule Followed by Reverse pH Jumps back to pH = 1

3.4. Interconversion of the Flavylum Cation with 2,2′-Spirobis[chromene] Derivatives. One class of styrylflavylum compounds yields chalcones capable of closing at both sides following a reverse pH jump to give flavylum cations (via Cc and B), as shown in Scheme 11.2,35–37 When the trans-chalcone is symmetric, the closure of the ring leads to the same flavylum cation, independent of the side on which the reaction takes place. However, if the trans-chalcone is asymmetric, then
two isomers of the flavylum cation can be formed. In addition to the formation of different flavylum cation isomers, the formation of a spiro compound, as shown in Scheme 11, was reported.2,38 The isomerization between the two flavylum compounds can take place from the common trans-chalcone or through the spiro compound.

The interconversion between 2′-(2,4-dihydroxystyryl)-1-benzopyrylium chloride and 7-hydroxy-2′-(2-hydroxystyryl)-1-benzopyrylium chloride in water/ethanol (80:20) at pH = 1.68 was monitored by UV-vis and 1H NMR experiments, as shown in Figure 8.2 These data clearly indicate that the initial compound resulting from the synthesis of 2′-(2,4-dihydroxystyryl)-1-benzopyrylium (AH+) was slowly converted through a first-order kinetic process, with a rate constant of $2.5 \times 10^{-5}$ s$^{-1}$, into 7-hydroxy-2′-(2-hydroxystyryl)-1-benzopyrylium (AH$^{\text{iso}}$), to reach an equilibrium between the two flavylum compounds (58% AH+ + 42% AH$^{\text{iso}}$).

To explain the details of the kinetic behavior, the formation of a spiro transient was postulated. Although no spectral evidence was achieved at the time, the interconversion between spiro and 2′-(2-hydroxystyryl)-1-benzopyrylium compounds was first reported by Decker and Felser in 1908.2,39 The asymmetric C$_{\text{trans}}$-2,6-bis(arylidene) cyclohexanone shown in Scheme 13 is the parent of the C$_{\text{trans}}$ shown in Figure 8 but with the cyclohexane bridge.41 In this case, only the crystal structure of the flavylum cation was obtained. The spiro was isolated and identified by 1H NMR and by its characteristic UV–vis absorption spectra. Unlike the parent compound, which lacks the cyclohexane bridge, no isomerization corresponding to the migration of the hydroxyl from position 7 to position 2′ of the flavylum cation was observed (Scheme 13b). This finding indicates that at least under the given experimental conditions, the closure of the trans-chalcone and the opening of the spiro give the same flavylum isomer.

The symmetric spiro shown in Scheme 14 was also characterized from the synthesis of (4-(2,4-dihydroxybenzyldene)-6-hydroxy-1,2,3,4-tetrahydroxanthylum chloride) compound I. In this case, the opening of the spiro and the closure of the respective trans-chalcone (via C$_c$ and B) lead necessarily to the same flavylum cation.42

Another metamorphic system based on a symmetric spiro compound was identified in (E)-6-(dimethylamino)-4-(4-(dimethylamino)-2-hydroxybenzyldene)-1,2,3,4-tetrahydroxanthylum chloride, as shown in Scheme 15.43

Scheme 11. Metamorphosis in 2′-Hydroxystyrylflavylum$^a$

Adapted with permission from ref 36. Copyright 2020. Elsevier. “The common trans-chalcone can close the ring upon a reverse pH jump through two routes, as indicated in green and red. For symmetric trans-chalcones, the two routes are the same, but for asymmetric trans-chalcones, two different flavylum isomers are obtained.” The numeration was chosen to maintain coherence with that of the flavylum cation. Interconversion can also be achieved through the spiro species.
The direct pH jumps from the flavlylium cation initially give a pseudo-equilibrium, as shown in Scheme 15 (orange traced lines), involving protonated and nonprotonated flavlylium cations and a quinoidal base, which is the species at pH > 9. At equilibrium (dark traced lines) in moderately basic solutions, a fraction of the quinoidal base disappears to give the spiro. No neutral trans-chalcone was observed, but its anionic form was detected at much higher pH values. At pH = 10, the spiro is in equilibrium with the quinoidal base. After a reverse pH jump, the quinoidal base is transformed into the flavlylium cation or its protonated form (depending on pH), and it is possible to follow the kinetics of the spiro ring opening as a function of the pH. From the pH dependence of this reaction, there is evidence of the involvement of the protonated spiro form at lower pH values.

The relation between the colorless spiro and some colored species of the corresponding styryl flavlylium multistate has many possibilities to explore. The spiro is a type of reservoir that could produce color following external stimuli, such as pH and light. This property opens up many possibilities toward creating practical applications, from photochromic systems to models for optical memory and others, through the ingenuity of chemists.

3.5. 6,8 A-Ring Substituent Rearrangements. Another interesting example of chemical metamorphosis based on the flavlylium multistate can be observed for flavlylium cation generators bearing a hydroxyl substituent in position 5 and comprising different substituents in positions 8 and 6.

To the best of our knowledge, the early 6,8 A-ring substituent rearrangement was reported by Jurd, who observed that 5,7,8,4′-tetrahydroxyflavlylium is quantitatively converted into the corresponding 5,7,6,4′-tetrahydroxyflavlylium isomer upon dissolution in acidic aqueous solutions (Scheme 16).

The rate of the rearrangement reaction was found to be pH-dependent, and it proceeded much more rapidly under less acidic conditions. At pH 2.6, equilibrium is achieved in approximately 7 h, while in a 1% HCl solution, the reaction takes 3 days. This process was also confirmed to be strongly dependent on temperature, decreasing from 3 days to 30 min with warming. Although this early work lacks important experimental details and quantitative data (such as rate constants, temperatures, etc.), it provided the first evidence of the 6,8 rearrangement through the reversible ring-opening reaction characteristic of the flavlylium multistate.

As mentioned above, the sine qua non to observe the 6,8 A-ring substituent rearrangement is the existence of different substituents in positions 6 and 8; otherwise, the same isomer is formed as in 3-deoxyanthocyanins, such as luteolinidin.

A systematic study on the 6,8 rearrangements of the flavlylium cation of C-glycosyl-3-deoxyanthocyanidins in acidic aqueous solutions was published by Andersen et al. Contrary to the
findings of Jurd, who observed quantitative conversion, these authors verified that whether starting with pure 6- or pure 8-C-glycosyl-3-deoxyanthocyanidin, an equilibrium between these two forms is reached. Isolating pure isomers by HPLC-DAD allowed the researchers to observe that the UV−vis absorption spectra of the 8-C-glycosyl-3-deoxyanthocyanidin isomers are redshifted with respect to those of their 6-C-glycosyl-3-deoxyanthocyanidin counterparts, a feature that was also reported for 5,7,8,4′-tetrahydroxyflavylium/5,7,6,4′-tetrahydroxyflavylium by Jurd.
The study of the 6,8 rearrangement was extended to higher pH values. The multistate of species that appear in an acidic to neutral medium is presented in Scheme 17. Scheme 17 shows that the theoretical possibilities of communication between the two systems can only be performed, as expected, through neutral or anionic cis- and trans-chalcones.

An example of this type of multistate was reported for 6-bromo-apigeninidin (6-Br) and 8-bromo-apigeninidin (8-Br). Despite its complexity, the system can be treated as a single polyprotic acid. In fact, the UV−vis pH-dependent spectral

Scheme 17. Multistate of Species in a 6,8 Rearrangement Including Neutral and Anionic Forms

\(^a\) The theoretical possibilities of communication between the two multistates are indicated in blue.
variations in an acidic medium are compatible with a diprotic acid with pK_a's of 2.55 and 5.4.8 The two flavlylium cation isomers were separated by HPLC. The evolution of the absorption spectra for 6-Br at pH = 1.0 is shown in Figure 9a. This compound presented similar mole fractions of the 6 and 8 isomers in equilibrium, supporting previous reports indicating that the nature and position of the substituents may affect the equilibrium composition.47 The thermodynamics and kinetics of the 6,8 rearrangement can be dramatically modified by host–guest interactions.49,50 Upon the addition of cucurbit[7]uril (CB7) to an equilibrated solution containing both isomers at pH = 1, the system evolves a new equilibrium that is reached in 3 days at 25 °C but can be sufficiently accelerated to 1 h by warming the solution at 70 °C without affecting the final composition significantly.50 Using UV–vis and 1H NMR experiments, the new equilibrium was demonstrated to be exclusively composed of a host–guest complex formed between 6-Br and CB7. This amplification phenomenon was attributed to the exceptional selectivity of CB7 for 6-Br over 8-Br, probably due to the repulsive interactions established between the carbonyl portals of the host and the Br substituent in position 8. Host–guest titration

Scheme 18. The Metamorphosis Cycle of the 6,8 Rearrangement (6-Br and 8-Br) Operated with Host–Guest Inputs.
experiments afforded binding constants of $K = 2.5 \times 10^6 \text{M}^{-1}$ for 6-Br and $K = 5 \times 10^3 \text{M}^{-1}$ for 8-Br, consistent with the observed selectivity.

The observed amplification of the 6-bromo isomers from the 1:1 mixture allowed for the conception of a metamorphosis molecular cycle with host–guest inputs (Scheme 18). Adding CB7 to an equilibrated solution containing a mixture of isomers leads to the formation of a metastable state containing a mixture of host–guest complexes. This mixture evolves with a $K_{obs} = 1.3 \times 10^{-5} \text{s}^{-1}$ to the single 6-Br/CB7 complex owing to its higher relative stability. At this point, the system cannot be microscopically reverted to the mixture of complexes. However, 1-aminoadamanantane (AD) forms ultrastable host–guest complexes with CB7 ($K = 4 \times 10^{12} \text{M}^{-1}$)$^{51}$ and can be used to release the isomer 6-Br from the CB7 cavity efficiently through competitive binding. This step originates free 6-Br in a metastable state and evolves to the initial 50:50 mixtures of free isomers, with $K_{obs} = 9.8 \times 10^{-5} \text{s}^{-1}$ closing the cycle. The reversible interconversion between the two thermodynamically stable states, i.e., the mixture of free isomers and the amplified 6-Br/CB7 complex, occurs through different microscopic pathways and therefore imposes directionality on the cycle.

4. CONCLUSIONS

Flavylium compounds are prime examples of systems capable of chemical metamorphosis. These apparently simple molecules, often represented as flavylium salts, can generate complex reaction networks comprising several species in equilibrium with interconversion rates that span over timescales that range from microseconds to months. Furthermore, when functionalized with specific functional groups in particular positions, flavylium cations can generate other flavylium isomers with different chemical and physical properties, which increase the number of species in the reaction network and expand the complexity of conventional compounds.

The reversible nature of the chemical transformations comprising the reaction network of flavylium compounds allows for their potential applications in molecular devices such as optical memories, logic gates, and molecular timers. However, these systems have been mostly explored as diluted isolated molecules in solution, soft materials, or microheterogeneous media. We envisage that the incorporation of these molecules in polymers, nanoparticles, surfaces, interfaces, and as molecular building blocks to construct molecular machines and complex supramolecular systems may lead to the development of new materials with complex responsive and adaptive properties.

AUTHOR INFORMATION

Corresponding Author

Fernando Pina — LAQV–REQUIMTE, Departamento de Química, Faculdade de Ciências e Tecnologia, Universidade Nova de Lisboa, Caparica 2829-516, Portugal; orcid.org/0000-0001-8529-6848; Email: fp@fct.unl.pt

Authors

Nuno Basilio — LAQV–REQUIMTE, Departamento de Química, Faculdade de Ciências e Tecnologia, Universidade Nova de Lisboa, Caparica 2829-516, Portugal; orcid.org/0000-0002-0121-3695

A. Jorge Parola — LAQV–REQUIMTE, Departamento de Química, Faculdade de Ciências e Tecnologia, Universidade Nova de Lisboa, Caparica 2829-516, Portugal; orcid.org/0000-0002-1333-9076

Diogo Sousa — IBB-Institute for Bioengineering and Biosciences, Instituto Superior Técnico, Universidade de Lisboa, Lisbon 1049-003, Portugal

Vesselin Petrov — Physical Chemistry Department, Faculty of Chemistry and Pharmacy, University of Sofia, Sofia 1504, Bulgaria; orcid.org/0000-0003-4503-4602

Luis Cruz — LAQV–REQUIMTE, Departamento de Química e Bioquímica, Faculdade de Ciências, Universidade do Porto, Porto 4169-007, Portugal; orcid.org/0000-0003-2226-0404

Víctor de Freitas — LAQV–REQUIMTE, Departamento de Química e Bioquímica, Faculdade de Ciências, Universidade do Porto, Porto 4169-007, Portugal; orcid.org/0000-0003-0586-2278

Complete contact information is available at: https://pubs.acs.org/10.1021/acsomega.1c04456

Notes

The authors declare no competing financial interest.

ACKNOWLEDGMENTS

This work was supported by the Associated Laboratory for Sustainable Chemistry, Clean Processes and Technologies LAQV through the national funds from UIDB/50066/2020 and UIDP/50066/2020 as well as the European Regional Development Fund within the Operational Programme “Science and Education for Smart Growth 2014–2020” under the Project CoE “National Center of Mechatronics and Clean Technologies” (BG05M2OP001-1.001-0008). N.B. is grateful to FCT for the contract CEECIND/00466/2017, D.S. for the doctoral grant (SFRH/BD/143369/2019), and L.C. for the research contract DL S7/2016/CP1334/CT0008.

DEDICATION

Dedicated to Professor Vincenzo Balzani on the occasion of his 85th birthday.

REFERENCES

(1) Lehn, J.-M. From Molecular to Supramolecular Chemistry. In: Supramolecular Photochemistry: Concepts and Perspectives; ed. Wiley-VCH: Weinheim, Germany 1955, pp. 1–4.
(2) Petrov, V.; Parola, A. J.; Pina, F. Isomerization between 2-(2,4-Dihydroxystyryl)-1-Benzopyrylium and 7-Hydroxy-2-(4-Hydroxystyryl)-1-Benzopyrylium. J. Phys. Chem. A 2012, 116, 8107–8118.
(3) Pina, F.; Petrov, V.; Laia, C. A. T. Photochromism of Flavylium Systems. An Overview of a Versatile Multistate System. Dyes Pigm. 2012, 92, 877–889.
(4) Crnolatac, I.; Giesta, L.; Horvat, G.; Parola, A. J.; Piantanida, I. Flavylium Dye as Ph-Tunable Fluorescent and Cd Probe for Double-Stranded DNA and Rna. Chemosemios 2020, 8, 129.
(5) Galindo, F.; Lima, J. C.; Luis, S. V.; Melo, M. J.; Parola, A. J.; Pina, F. Water/Humidity and Ammonia Sensor, Based on a Polymer Hydrogel Matrix Containing a Fluorescent Flavylium Compound. J. Mater. Chem. 2005, 15, 2840–2847.
(6) Pina, F.; Melo, M. J.; Maestri, M.; Ballardini, R.; Balzani, V. Photochromism of 4′-Methoxyflavylium Perchlorate. A “Write-Lock-Read-Unlock-Erase” Molecular Switching System. J. Am. Chem. Soc. 1997, 119, 5556–5561.
(7) Galindo, F.; Lima, J. C.; Luis, S. V.; Parola, A. J.; Pina, F. Write-Read-Erase Molecular-Switching System Trapped in a Polymer Hydrogel Matrix. Adv. Funct. Mater. 2005, 15, 541–545.
(8) Basilio, N.; Pischel, U. Drug Delivery by Controlling a Supramolecular Host-Guest Assembly with a Reversible Photoswitch. Chem.-Eur. J. 2016, 22, 15208–15211.
(9) Romero, M. A.; Fernandes, R. J.; Moro, A. J.; Basílio, N.; Pischel, U. Light-Induced Cargo Release from a Curcubit[8] uril Host by Means of a Sequential Logic Operation. *Chem. Commun.* 2018, *54*, 13335.

(10) Nogi, K.; Yorimitsu, H. Aromatic Metamorphosis: Conversion of an Aromatic Skeleton into a Different Ring System. *Chem. Commun.* 2017, *53*, 4055–4065.

(11) Hu, G. F.; Cheng, H. B.; Niu, J. L.; Zhang, Z. H.; Wu, H. C. A Multi-Responsive Molecular Switch Based on a Diarylethene Derivative Containing Dinitrobenzenesulfonic Amide Groups. *Dyes Pigm.* 2017, *136*, 354–360.

(12) Pu, S. Z.; Sun, Q.; Fan, C. B.; Wang, R. J.; Liu, G. Recent Advances in Diarylethene-Based Multi-Responsive Molecular Switches. *J. Mater. Ch.* 2016, *4*, 3075–3093.

(13) Darwish, N.; Aragones, A. C.; Darwish, T.; Ciampi, S.; Diez-Perez, I. Multi-Responsive Photo- and Chemo-Electrical Single-Molecule Switches. *Nano Lett.* 2014, *14*, 7064–7070.

(14) Pischel, U. Chemical Approaches to Molecular Logic Elements for Addition and Subtraction. *Angew. Chem. Int. Ed.* 2007, *46*, 4026–4040.

(15) Andreasson, J.; Pischel, U. Smart Molecules at Work: Mimicking Advanced Logic Operations. *Chem. Soc. Rev.* 2010, *39*, 174–188.

(16) Callan, J.; de Silva, A. P.; Magri, D. C. Luminescent Sensors and Switches in the Early 21st Century. *Tetrahedron* 2005, *61*, 8551–8588.

(17) De Silva, A. P.; Gunaratne, H. Q. N.; McCoy, C. P. A Molecular Photoionic and Gate Based on Fluorescent Signaling. *Nature 1993*, 464, 42–44.

(18) Pina, F.; Alejo-Armijo, A.; Clemente, A.; Mendoza, J.; Seco, A.; Basílio, N.; Parola, A. J. Evolution of Flavylium-Based Color Systems in Plants: What Physical Chemistry Can Tell Us. *Int. J. Mol. Sci.* 2021, *22*, 18.

(19) Basílio, N.; Pina, F. Chemistry and Photochemistry of Anthocyanins and Related Compounds: A Thermodynamic and Kinetic Approach. *Molecules* 2016, *21*, 25.

(20) Roque, A.; Lodiero, C.; Pina, F.; Maestri, M.; Dumas, S.; Passaniti, P.; Balzani, V. Multistate/Multifunctional Systems: A Thermodynamic, Kinetic, and Photochemical Investigation of the 4′-Dimethylaminoflavylum Compound. *J. Am. Chem. Soc.* 2003, *125*, 987–994.

(21) Brouillard, R.; Delaporte, B.; Dubois, J. E. Chemistry of Anthocyanins Pigments. 3. Relaxation Amplitudes in Ph-Jump Experiments. *J. Am. Chem. Soc.* 1978, *100*, 6202–6205.

(22) Maćanita, A. L.; Moreira, P. F.; Lima, J. C.; Quina, F. H.; Yihwa, C.; Vautier-Giongo, C. Proton Transfer in Anthocyanins and Related Flavylum Salts. Determination of Ground-State Rate Constants with Nanosecond Laser Flash Photolysis. *J. Phys. Chem. A* 2002, *106*, 1248–1255.

(23) Pina, F.; Oliveira, J.; de Freitas, V. Anthocyanins and Derivatives Are More Than Flavylum Cations. *Tetrahedron* 2015, *71*, 3107–3114.

(24) Mendoza, J.; Basílio, N.; de Freitas, V.; Pina, F. New Procedure to Calculate All Equilibrium Constants in Flavylum Compounds: Application to the Copigmentation of Anthocyanins. *ACS Omega* 2019, *4*, 12058–12070.

(25) McClelland, R. A.; Gedge, S. Hydration of the Flavylum Ion. *J. Am. Chem. Soc.* 1980, *102*, 5838–5848.

(26) McClelland, R. A.; McGill, G. H. Hydration of the Flavylum Ion. 2. The 4′-Hydroxyflavylum Ion. *J. Org. Chem.* 1982, *47*, 3730–3736.

(27) Slavcheva, S.; Mendoza, J.; Stanimirov, S.; Petkov, I.; Basílio, N.; Pina, F.; Petrov, V. On the Multistate of 2-′Hydroxy-4-Methoxyflavylum-Flavonane System. Illustrating the Concept of a Timer with Reset at the Molecular Level. *Dyes Pigm.* 2018, *158*, 465–473.

(28) Petrov, V.; Gomes, R.; Parola, A. J.; Jesus, A.; Laia, C. A. T.; Pina, F. 2′-Hydroxyflavylum: Introducing Flavonanes into the Flavylum Network of Chemical Reactions. *Tetrahedron* 2008, *64*, 714–720.

(29) Sato, S.; Kumagai, H.; Matsuba, S.; Kumazawa, T.; Onodera, J.-L.; Suzuki, M. Direct Conversion to 2-Pheny1-4-Quinolones via a 4-Alkoxyflavylum Salt from a Naturally Occurring Flavonane. *J. Heterocycl. Chem.* 1999, *36*, 1345–1347.
(48) Cruz, L. M.; Basilio, N. M.; de Freitas, V. A.; Lima, J. C.; Pina, F. J. Extending the Study of the 6,8 Rearrangement in Flavylium Compounds to Higher Ph Values: Interconversion between 6-Bromo and 8-Bromo-Apigeninidin. *ChemistryOpen* 2016, 5, 236−246.

(49) Basílio, N.; Lima, J. C.; Cruz, L.; de Freitas, V.; Pina, F.; Ando, H.; Kimura, Y.; Oyama, K.-I.; Yoshida, K. Unveiling the 6,8-Rearrangement in 8-Phenyl-5,7-Dihydroxyflavylium and 8-Methyl 5,7-Dihydroxyflavylium through Host-Guest Complexation. *Eur. J. Org. Chem.* 2017, 2017, 5617−5626.

(50) Basilio, N.; Cruz, L.; de Freitas, V.; Pina, F. A Multistate Molecular Switch Based on the 6,8-Rearrangement in Bromo-Apigeninidin Operated with Ph and Host-Guest Inputs. *J. Phys. Chem. B* 2016, 120, 7053−7061.

(51) Cao, L.; Šekutor, M.; Zavalij, P. Y.; Mlinaric-Majerski, K.; Glaser, R.; Isaacs, L. Cucurbit[7]UrIl.Guest Pair with an Attomolar Dissociation Constant. *Angew. Chem., Int. Ed.* 2014, 53, 988−993.

**NOTE ADDED AFTER ASAP PUBLICATION**
The uncorrected version was published October 13, 2021; the corrected version reposted on October 15, 2021.