Execution-Cache-Memory Performance Model: Introduction and Validation

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Abstract—This report serves two purposes: To introduce and validate the Execution-Cache-Memory (ECM) performance model and to provide a thorough analysis of current Intel processor architectures with a special emphasis on Intel Xeon Haswell-EP. The ECM model is a simple analytical performance model which focuses on basic architectural resources. The architectural analysis and model predictions are showcased and validated using a set of elementary microbenchmarks.

I. INTRODUCTION

Today's processor architectures appear complex and intransparent to software developers. While the machine alone is already complicated the major complexity is introduced by the interaction between software and hardware. Processor architectures are in principle still based on the stored-program computer design. In this design the actions of the computer are controlled by a sequential stream of instructions. The instructions are plain numbers and therefore equivalent to data. Consequently they can be stored in the same memory. This is a strict sequential design and the main focus of a system designer is to increase the instruction throughput rate. Modern processors nevertheless employ parallelism on various levels to increase throughput: instruction level, data processing level, and task level. It is possible to formulate a very simple performance model which reduces the processor to its elementary resources: instruction execution and data transfers. To set up the model one needs to determine the time it takes to execute a given instruction sequence on a given processor core and to transfer the data, which is required to do so. Intimate knowledge about processor architecture, cache and memory architectures are necessary to do this. It is still a worthwhile effort as the model gives in-depth insights about bottlenecks, runtime contributions, and optimization opportunities of a code on a specific processor. This report introduces the model and provides a thorough analysis Intel's latest Haswell-EP processor architectures.

II. PROCESSOR MICROARCHITECTURE

Intel processors use the Intel 64\footnote{Intel 64 is Intel's implementation of x86-64, the 64bit version of the x86 instruction set. x86-64 was originally developed by AMD under the AMD64 moniker and while Intel 64 and AMD64 are almost identical, there exist minor differences that warrant differentiation.} Instruction Set Architecture (ISA) which is a so called Complex Instruction Set Computer (CISC) architecture. It originates from the late 70s and initially was a 16bit ISA. During its long history it was extended to 32bit and finally 64bit. The ISA contains complex instructions that can have variable number of operands, have variable opcode length and allow for address references in almost all instructions. To execute this type of ISA on a modern processor with aggressive Instruction Level Parallelism (ILP) the instructions must be converted on the fly into a Reduced Instruction Set Computer (RISC) like internal instruction representation. Intel refers to these instructions as micro-operations, \textmu ops for short. Fortunately decoding of CISC instructions to \textmu ops works so well that it does not negatively impact instruction throughput. Please note that when talking about instructions we mean the RISC like internal instructions called \textmu ops and not Intel 64 ISA instructions. In the following, we will describe the most important techniques to increase performance in contemporary processor architectures.

ILP - As any modern processor, Intel processors aggressively employ parallel instruction execution within the strictly sequential instruction stream. This parallelism is exploited dynamically by hardware during execution and requires no programmer or compiler intervention. ILP comes in two flavors: Pipelining and superscalar execution. Pipelining executes different stages of multiple instructions simultaneously. In superscalar designs multiple execution pipelines exist and can be active and execute instructions at the same time. Where pipelining enables an instruction throughput of one per cycle superscalar execution allows to retire multiple instructions per cycle. Due to dependencies between instructions the degree of ILP that can be leveraged heavily depends on the instruction mix of a particular code and is typically limited. In order to exploit even more parallelism most modern general purpose processors support Out-of-Order (OoO) execution. In OoO execution the processor may change the order in which instructions are executed as long as semantic equivalency to the original ordering is guaranteed. Common codes involve many conditional branches which severely limit the size of the instruction window to apply ILP to. Therefore OoO execution is usually combined with speculative execution. This technique attempts to predict the outcome of branches and speculatively executes the forecast code path before the outcome is known. This may involve executing unnecessary...
instructions but enables to exploit ILP across branches, which is crucial for loop bodies of limited size. ILP is still a major technology for generating high performance, but it is not a dominating driver of performance improvements anymore. Implementations already are highly optimized and in all but a selected special cases work very well.

**SIMD** - Another level of parallelism are data parallel instructions which simultaneously perform the same operation on multiple data items. To make use of this architectural feature, dedicated so called Single Instruction Multiple Data (SIMD) instructions have to be used by the software. Those SIMD instructions are provided by means of instruction set extensions to the core ISA. Currently SIMD is a major driver for performance. The reason is that it is relatively simple to implement in hardware since the overall instruction throughput is not altered. SIMD is characterized by its register width. The current width is 256 bit (Advanced Vector Extensions (AVX)) with 512 bit already employed in Intel’s Knights Corner architecture (Initial Many Core Instructions (IMCI)) and announced for regular Xeon processors with the advent of Skylake (AVX-512). Apart from performing multiple operations in a single instruction another benefit of SIMD is that of loading and storing data block-wise. The same amount of work can be done with a factor less instructions. It can be already predicted that the role of SIMD as a major driver for performance comes to an end with the introduction of 512 bit SIMD width.

**Multicore chips** - Moore’s law continues to drive the number of transistors which can be packed on a single chip. During the 90s the increase in register count enabled by the shrinking of manufacturing size was accompanied by increasing clock speed as a major way to increase the performance of processors. In the early 2000s a paradigm shift occurred. The vendors did not manage to further increase clock speed without running into cooling issues. The answer to this dilemma was to put multiple (processor) cores on the same die. Early designs had completely separated caches and only shared main memory access. Later some of the caches were private and some shared. For a programmer a multicore processor feels like a multi-processor SMP system. Parallel programming is required to leverage the performance. The core is now a building block and a major engineering effort is put into how to interconnect cores on the die and how to route data from main memory controllers to the caches.

At the moment a still moderate number of cores is put on one die connected by one or more segmented ring buses. The Last-Level Cache (LLC) is usually also segmented. Multiple memory controllers with multiple channels are connected to the bus to inject data. Already now and even more in the future the system on a chip designs will be the performance defining feature of a processor. On Intel chips the cores including caches private to a core are logically separated from shared entities on the chip. Those shared entities are grouped in the so called uncore. LLC-segments, ring-bus, on-board interconnects and memory controllers are all part of the uncore.

**System Design** - A compute node employs elementary building blocks on different levels. A core is built of multiple executions units, multiple cores form a die, there might be multiple dies on one package (socket), and finally a node might contain multiple sockets. The trend of the system on a chip designs transfers more and more components which were formerly offered in the Northbridge on the motherboard or by separate chips onto the processor die. This involves not only the memory controllers but also Peripheral Component Interconnect Express (PCIe) interfaces, network interfaces, and GPUs. A programmer this adds additional complexity. For memory access data locality becomes an issue as main memory is distributed in multiple locality domains (ccNUMA). IO and network access performance might depend on the origin of the request within the system.

The central part of a microarchitecture are its scheduler and execution units. With the introduction of the Pentium Pro in 1995 Intel provided a very accessible abstraction for the scheduler. The scheduler can issue instructions to so-called ports. There is a maximum number of instructions the scheduler can issue in a single cycle. Behind every port there can be one or more execution units. The maximum number of instructions which can retire may be different from the number of instructions which can be issued. Because of speculative execution it makes sense to issue more instruction than can retire. This layout allows an analytical access to predict the instruction throughput of a given instruction sequence assuming that there are no hazards and dependencies among instructions.

Changes in microarchitecture can be grouped in incremental, capability and functional changes. An incremental change is e.g. to add more entries to a queue the benefit usually is in the single digit percentage range. Capability changes are e.g. increasing SIMD width, adding more execution units or widen a data path. Benefits range from percentage improvements to factors. Functional changes are adding new instructions introducing a new functionality, e.g. gather/scatter of Fused Multiply-Add (FMA) instructions. In recent years with energy consumption a new dimension was added in microarchitecture design. This is driven on one side by the rise of mobile devises where energy consumption is a primary requirement for processors but also in Supercomputing with energy consumption limiting the economic feasibility of large scale machines.

**III. HASWELL MICROARCHITECTURE**

**A. Core Pipeline**

Figure II illustrates the simplified core layout of the Haswell microarchitecture. As all modern designs, this microarchitecture uses a Harvard design for the innermost cache level, i.e. instructions and data are stored in separate caches. Starting with the L2 cache it is based on a von Neumann design with unified caches for instructions and data. The core fetches instructions in chunks of 16 byte from the address provided by the Branch Prediction Unit (BPU)—typically this address is just a 16 byte increment of the last address from which data was fetched; in the case of branches it will be the address of instructions that are the most likely to be executed. After instructions have been fetched, a pre-decoder determines the
bounds of the various instructions that were included in a given 16-byte block. In the next phase, decoding from CISC instructions to $\mu$ops occurs. A simple example would be a single arithmetic operation with memory address as operand (e.g. `vaddpd ymm0, ymm0, [rax+r11*8]`) that is split into two $\mu$ops: one dedicated load operation and a dedicated arithmetic operation with register-only operands. This decoding phase is superscalar, with one complex and three simple complex decoders; also featured is a MSROM decoder which is responsible for seldom used RISC instructions that decode into two $\mu$ops. Decoded $\mu$ops are stored in the $\mu$op cache, which can hold up to 1536 micro-ops, and enables the reuse of previously decoded instructions, e.g. in the event of loops. The motivation for this cache is energy saving: whenever micro-ops from the cache are used, the legacy decode pipeline can be powered down.

Before $\mu$ops leave the in-order front-end, the renamer allocates resources from the Physical Register File (PRF) to each instruction. One of the improvements of Haswell in this phase is the elimination of register-registers moves through register renaming without having to issue any $\mu$ops. Dependency breaking idioms such as zero idioms (e.g. `vxorpd`) and the ones idioms (e.g. `cmpeq`) can improve instruction parallelism by eliminating false dependencies: The renamer notices whenever an architectural registers (e.g. `ymm0`) is set to zero and will assign a fresh register from the PRF to it; the OoO scheduler will thus never see a false dependency. The size of the OoO window has been increased from 168 to 192 micro-ops in Haswell.

The width of all three data paths between the L1 cache and processor registers has been doubled in size from 16 B to 32 B. This means that AVX loads and stores (32 B in size) can now retire in a single clock cycle as opposed to two clock cycles required on the Sandy and Ivy Bridge architectures. The data path between the L1 and L2 caches has also seen a doubling in size—at least on for transfers from L2 to the L1 cache; our measurements indicate that evictions still occur at a bandwidth of 32 B/c.

While the core is still limited to retiring only four $\mu$ops per cycle, the number of ports has been increased from six to eight in Haswell (shown in blue in Fig. 1). The newly introduced port 6 contains the primary branch unit; a secondary unit has been added to port 0. In previous designs only a single branch unit was available and located on port 5. By moving it to a dedicated port in the new design, port 5—which is the only port that can perform AVX shuffle operations—is freed up. Adding a secondary branch unit benefits branch-intensive codes. The other new port is port 7, which houses a so-called simple Address Generation Unit (AGU). This unit was made necessary by the increase in register-L1 bandwidth. Using AVX on Sandy Bridge and Ivy Bridge, two AGUs were sufficient, because each load or store required two cycles to complete, not making it necessary to compute three new addresses every cycle, but only every second cycle. With Haswell this has changed, because potentially a maximum of three load/store operations can now retire in a single cycle, making a third AGU necessary. Unfortunately, this simple AGU can not perform the necessary addressing operations required for streaming kernels on its own (see Section VII-C for more details).

Apart from adding additional ports, Intel also extended existing ports with new functionality. Operations introduced by the FMA ISA extension are handled by two new, AVX-capable units on ports 0 and 1. Haswell is also the first architecture to feature the AVX2 ISA extension. Because AVX introduced 256 bit SIMD operations only for Single Precision (SP) and Double Precision (DP) floating-point data types, AVX2 extends the set of 256 bit SIMD operations to several integer data types. Haswell also saw the introduction of a second AVX multiplication unit on port 1.

B. Package Layout

Figure 2 shows the layout of a 14-core Haswell processor package. Apart from the processor cores, the package consists of what Intel refers to as the uncore. Attached to each core and its private L1 and L2 caches, we find a LLC segment, that can hold 2.5 MB of data. This physical proximity of core and cache segment does however not imply that data used by a core is stored exclusively or even preferably in its LLC segment. Data is placed in all LLC segments according to a proprietary hash function that is supposed provide uniform distribution of data and prevent hotspots for a wide range of data access patterns. An added benefit of this design is that single-threaded applications can make use of all available LLC.

The cores and LLC segments are connected to a bidirectional ring interconnect that can transfer one Cache Line
Bridge tried to solve this problem with a dedicated L3 graphics mode and clocked the uncore down along with them. Ivy of stall cycles in the CPU cores. Although reintroducing high uncore frequency is dynamically scaled based on the number clock domains for core and uncore. Haswell also offers a Intel moved back to the Nehalem design: having two separate the regular L3 cache. In the new Haswell microarchitecture, cache, but eventually data would have to be brought in from cores. While this drastically benefited latency, it brought with the separate clock domain for the uncore offers a significant potential for power saving, especially for serial codes.

Figure 3 shows the sustained bandwidth (left y-axis) measured for the Schönauer vector triad (cf. Table I) using a single core along with the power consumption (right y-axis) for varying dataset sizes. As expected the performance is not influenced by whether UFS is active or not when data resides in a core’s private caches (L1+L2). Although we observe a difference in performance as soon as the LLC is involved, the performance impact is very limited. While the bandwidth drops from 24 to 21 GB/s (about 13%) in the LLC, power usage is reduced from 55 to 40 W (about 27%). In multicore scenarios that work on data in the LLC or main memory this effect can no longer be observed because the uncore is dynamically adjusted to run at the maximum clock speed of 3 GHz in order to satisfy demand from all cores.

### C. Uncore Frequency Scaling

When Intel first introduced the shared on-die LLC with Nehalem, it maintained distinct clock domains for CPU cores and the uncore, which houses the LLC, because this cache was not considered latency sensitive and could thus run at a lower frequency thereby saving power. In the next microarchitecture, Sandy Bridge, Intel changed this design and made the uncore run at the same clock frequency as the CPU cores. While this drastically benefited latency, it brought with it the problem of on-die graphics accessing data from the LLC with low performance when CPU cores were in power saving mode and clocked the uncore down along with them. Ivy Bridge tried to solve this problem with a dedicated L3 graphics cache, but eventually data would have to be brought in from the regular L3 cache. In the new Haswell microarchitecture, Intel moved back to the Nehalem design: having two separate clock domains for core and uncore. Haswell also offers a feature called Uncore Frequency Scaling (UFS), in which the uncore frequency is dynamically scaled based on the number of stall cycles in the CPU cores. Although reintroducing high latencies, the separate clock domain for the uncore offers a significant potential for power saving, especially for serial codes.

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### D. Memory

Microarchitectures preceding Haswell show a strong correlation between CPU frequency and the achievable sustained memory bandwidth. This behaviour is demonstrated in Figure 4 which shows the measured chip bandwidth for the Stream Triad—adjusted by a factor of 1.3 to account for write-allocates—on the Sandy Bridge, Ivy Bridge, and Haswell microarchitectures.

For each system, the bandwidth was measured using the lowest possible frequency (1.2 GHz in each case) and the advertised nominal clock speed. While Sandy Bridge can achieve a sustained bandwidth of 35.5 GB/s when clocked at 2.7 GHz, the result using 1.2 GHz is only 24.2 GHz—just 2/3 of the best-case chip bandwidth! On Ivy Bridge, the nominal clock speed of 3.0 GHz delivers a sustained chip bandwidth of 42.5 GB/s; at 1.2 GHz the performance degrades to 28.1 GB/s—again, just 2/3 of the best-case chip bandwidth. For Haswell, we observe that the sustained bandwidth of 52.3 GHz is identical in both cases. Even the saturation point—the number of cores required to reach the sustained
socket bandwidth—of 7–8 cores is almost identical. Bearing in mind that CPU frequency is the most important variable influencing package power usage, this invariance of memory bandwidth from frequency has significant ramifications for energy usage for bandwidth-limited algorithms: absent the need for a high clock frequency to perform actual processing, the CPU frequency can be lowered, thereby decreasing power consumption, while the memory bandwidth stays constant. The consequences for energy usage are illustrated in the heat maps shown in Figures 5 and 6. The former illustrates the required energy-to-solution to compute the Stream triad for a dataset size of 10 GB on Sandy Bridge, Ivy Bridge, and Haswell microarchitectures. We observe that the so-called race-to-idle is not very efficient for all three microarchitectures. In terms of energy-to-solution adding more CPU speed makes no sense as soon as main memory bandwidth is saturated. We find that for the Sandy and Ivy Bridge microarchitectures, using half the number of available cores at moderate clock frequencies provides the best energy-to-solution result; for Haswell, using the lowest possible CPU frequency is viable. Overall, for the Stream triad Haswell offers an improvement of 23% respectively 12% over the Sandy and Ivy Bridge microarchitectures when it comes to energy consumption. The improvement becomes even more pronounced when taking the runtime into account: the Energy-Delay Product (EDP) metric weights the consumed energy by the total runtime to include time-constraints that are typically found in HPC scenarios.


e. Cluster on Die

As shown previously in Section III-B, the CPU cores are arranged around two rings with each ring having a dedicated memory controller. In Cluster on Die (CoD) mode, the cores get equally separated into two memory domains. This means that each core will only use a single memory controller. To keep latencies low, the general approach is to make a core access main memory through the memory controller attached to its ring. However, with the number of cores in the affinity domains being equal, the asymmetric core count on the two physical rings makes exceptions necessary. In the design shown in Fig. 2 the 14 cores are divided into two affinity domains of 7 cores each. Using a simple load-benchmark together with likwid-perfctr to access performance counters and measure the number of memory accesses for each individual memory channel, we find that cores 0–6 access main memory through the memory channels associated with the memory controller on the left ring, and cores 7–13 those associated with the memory controller on ring 1. Thus, only a core number 7 has to take a detour across rings to access data from main memory. With CoD active the LLC also becomes segmented. As each affinity domain contains seven LLC segments (2.5 MB each), the total amount of LLC for each domain is 17.5 MB—exactly half of the total amount of 35 MB.

The CoD mode is intended for highly optimized Non-Uniform Memory Access (NUMA) codes and serves two purposes: The first is to decrease latency by reducing the amount of endpoints in the affinity domain. Instead of 14 LLC segments, data will be distributed in only 7 segments inside each affinity domain, thereby decreasing the mean hop count. Also, the requirement to pass through the two buffers connecting the rings is eliminated for all but one LLC segment. The second benefit of CoD is to that bandwidth is increased by reducing the probability of ring collisions that is implied by lowering the number of participants from 14 to 7.

IV. ECM Model

The ECM model [2]–[4] is a simple resource oriented analytical performance model focusing on the elementary resources instruction throughput and data transfers. It can predict the runtime for a steady-state execution of a loop body and can break down different runtime contributions from execution and data transfers. The ECM model is a lightspeed model: It puts a optimal throughput assumption on instruction execution and assumes that all data transfers are bandwidth limited. Any hazards, dependencies and latency influences are neglected. Setting up the model requires intimate knowledge about execution capabilities, data paths and bandwidth values for the complete memory hierarchy. This involves sometimes information beyond the vendor specification data sheet.

A. Model input, construction, and assumptions

The total estimated runtime is decomposed into execution time and data transfer times. There are rules when contributions can overlap with each other. Times are always in CPU
core cycles. This is convenient as everything on a processor happens in units of cycles and thus the model is independent of a specific variant of the processor. Modern processors have multiple clock domains, cores, caches and memory might have a different clock speed. For memory transfers the time is converted to the standard bandwidth unit bytes/cycle. While different clock domains make it more complicated to set up the model, the generic formulation of the model supports it. The granularity of data transfers inside the cache/memory hierarchy is that of cache lines (CL). As a consequence the ECM model considers instructions equivalent to process one CL length. Note that a kernel might involve multiple data streams and therefore also multiple CLs.

The in-core execution and transfer times must be put together to arrive at a prediction of single-thread execution time. If \( T_{\text{data}} \) is the transfer time, \( T_{\text{OL}} \) is the part of the core execution that overlaps with the transfer time, and \( T_{\text{nOL}} \) is the part that does not, then

\[
T_{\text{core}} = \max\left(T_{\text{nOL}}, T_{\text{OL}}\right) \quad \text{and} \quad T_{\text{ECM}} = \max\left(T_{\text{nOL}}+T_{\text{data}}, T_{\text{OL}}\right).
\]

The model assumes that (i) core cycles in which loads are retired do not overlap with any other data transfer in the memory hierarchy, but all other in-core cycles (including pipeline bubbles) do, and (ii) the transfer times up to the L1 cache are mutually non-overlapping.

A shorthand notation is used to summarize the relevant information about the cycle times that comprise the model for a loop: We write the model as \( \{ T_{\text{OL}}, T_{\text{nOL}} \} \cup \{ T_{\text{L1L2}}, T_{\text{L2L3}}, T_{\text{L3Mem}} \} \), where \( T_{\text{OL}} \) and \( T_{\text{nOL}} \) are as defined above, and the other quantities are the data transfer times between adjacent memory hierarchy levels. Cycle predictions for data sets fitting into any given memory level can be calculated from this by adding up the appropriate contributions from \( T_{\text{data}} \) and \( T_{\text{nOL}} \) and applying (1). For instance, if the ECM model reads \( \{ 2 \} \cup \{ 4 \} \cup \{ 4 \} \cup \{ 9 \} \) cycles, the prediction for L2 cache will be \( \max(2, 4 + 4) = 8 \) cycles. As a shorthand notation
for predictions we use a similar format but with \( T \) as the delimiter. For the above example this would read as
\[
T_{\text{ECM}} = \{4 \, 8 \, 12 \, 21\} \text{ cycles.}
\]
Converting from time (cycles) to performance is done by dividing the work \( W \) (e.g., flops) by the runtime: \( P = W/T_{\text{ECM}} \). If \( T_{\text{ECM}} \) is given in clock cycles but the desired unit of performance is \( \text{F/s} \), we have to multiply by the clock speed.

**B. Chip-level bottleneck and saturation**

We assume that the single-core performance scales linearly until a bottleneck is hit. On modern Intel processors the only bottleneck is the memory bandwidth, which means that an upper performance limit is given by the Roofline prediction for memory-bound execution: \( P_{\text{BW}} = I \cdot b_8 \), where \( I \) is the computational intensity of the loop code. The performance scaling for \( n \) cores is thus described by \( P(n) = \min(nF_{\text{mem}}^\text{ECM}, I \cdot b_8) \) if \( F_{\text{mem}}^\text{ECM} \) is the ECM model prediction for data in main memory. The performance will saturate at \( n_S = \left[ T_{\text{mem}}^\text{ECM} / T_{L3\text{Mem}} \right] \) cores.

\[
n_S = \left[ \frac{I \cdot b_8}{F_{\text{mem}}^\text{ECM}} \right] = \left[ \frac{T_{\text{mem}}^\text{ECM}}{T_{L3\text{Mem}}} \right]. \tag{2}
\]

The ECM model \cite{ecm2,ecm3,ecm4} is an analytical performance model for homogeneous code segments, mostly innermost loop kernels. It is a light speed model and restricts the processor architecture to its elementary resources: instruction execution and data transfers. While the model accounts for hazards and dependencies in instruction execution it assumes perfect streaming, neglecting latency or cache affects, on the data transfer side. In this sense it is very similar to the roofline model \cite{roofline}. In contrast to the roofline model the ECM model takes into account all runtime contributions from data transfers and uses a much more detailed view on potential overlap among different runtime contributions. To set up the model detailed knowledge about the code, the processor architecture and data volumes and paths within the memory hierarchy. This process forces a developer to learn more about his code and the processor architecture, which is an important secondary benefit of the model compared to e.g. tool only approaches where the outcome is a magic number without any insight or knowledge gain. As a result the model provides detailed information about runtime contributions and bottlenecks.

**C. Model setup**

The model operates on the level of processor work which are instructions and transferred data volume. For this it is in most cases required to look at the assembly level code. Within a cache hierarchy the smallest granularity of work is one cacheline (usually 64b on X86 architectures). Work equivalent to one cacheline length is also the granularity the ECM model operates on. The primary time unit used in the model are processor core cycles. This is the primary unit of time in a microarchitecture. To account for different clock domains in modern processor designs other clock domains, e.g. DRAM or Uncore, are converted into core cycles.

To set up the model the following steps must be performed:

1) **Determine the core cycles to execute the instructions which are required to process work equivalent to one cacheline length.** In this context it is useful to look at work in terms of iterations on different levels. The first level is the operation level. Assume a memory copy is implemented in terms of double precision floating point assignments then the atomic operation is one double precision floating point copy. This is worth copying 8b of data. To update (or process) one cacheline as a consequence \( 64/8 = 8 \) iterations on the operation level are required. Note that if we talk about one cacheline here it means to process work equivalent to one cacheline length. But of course multiple physical cachelines might be involved. For copy to process one cacheline results in reading from a source cacheline and storing to another destination cacheline. The number of iterations on the instruction level might be different though. If for example SIMD SSE instructions are used 16b can be copied with two instructions. Instead of 8b of one operation one instruction moves 16b. On the instruction level only 4 iterations are needed to process one cacheline. The next level of iterations is the loop level. If a loop is unrolled multiple instruction iterations form one loop iteration. Lets assume the copy loop in our example is 4-times unrolled to update one cacheline length only one loop iteration is required. To wrap it up: To process one cacheline length requires one loop iteration which is equivalent to four instruction iterations which is equivalent to eight operation iterations. To determine the core cycles to throughput a sequence of instructions in a steady state a simple model of the instruction scheduler is required. The model does not limit the effort put into getting a sensible number for instruction throughput. For simple loop kernels this can be done by hand or in more complicated cases a simple simulator as the Intel IACA tool may be used. At this point it is assumed that all data is served from the L1 cache.

2) **Setup data paths and volumes to get the data to the L1 cache.** For streaming algorithms this step is rather simple. One needs to know about the store miss policy and overall cache architecture of the processor. If the store miss policy is write allocate additional cacheline transfers need to be accounted for. Intel processors have inclusive caches, data is always streamed through all cache levels. The store miss policy is write allocate up to the L1 cache. One must be careful as there exist special non-temporal store instructions for memory which do not trigger the write allocate. In contrast many competitors (AMD and IBM) use a write-through policy for the L1 cache. All data is initially loaded into L2 cache and the last level L3 cache is a victim cache. Only cachelines evicted from L2 are placed in L3. Things get more complex if data access is not pure streaming. This is the case for stencil codes which expose data reuse within the cache hierarchy. Sometimes
data volumes are difficult to acquire. One solution is to validate data volumes with hardware performance counter measurements which allow to determine data volumes between different memory hierarchy levels.

3) Setup the overall single core prediction by accounting for overlap.

4) Determine multicore scaling within a chip.

V. MICROBENCHMARKS

The set of microbenchmarks used to verify the ECM model on the Haswell microarchitecture is summarized in Table I. In addition to the loop body, the table lists the number of load and store streams—the former being divided into explicit and Read for Ownership (RFO) streams. RFO refers to implicit loads that occur whenever a store miss in the L1 cache triggers a write-allocate of the cache line required for the store. Also included in the table are the predictions of the ECM model and the actually measured runtimes in cycles per second along with a quantification of the model’s error.

The set of benchmarks contains a number of different streaming kernels, each one offering a different combination of the different stream types to cover different transfer scenarios in the cache hierarchy. In the following, we will discuss and formulate the ECM model for each of the benchmarks. Note that the sustained bandwidths used to derive the L3-memory cycles per CL inputs are that of a single memory domain—i.e. the seven cores comprising one memory domain in CoD mode—and not the sustained chip bandwidth. We use the CoD mode, because it offers better performance than the non-CoD mode.

A. Dot Product and Load

The dot product benchmark ddot is a load-only benchmark that makes use of the new FMA instructions introduced in the FMA3 ISA extension. For this benchmark $T_{\text{OL}}$ is two clock cycles, because the core has to load two cache lines (A and B) from L1 to registers using four AVX loads (which can be processed in two clock cycles, because each individual AVX load can be retired in a single clock cycle and there are two load ports). Processing the data from the cache lines using two AVX fused multiply-add instructions only takes one clock cycle, because both issue ports 0 and 1 feature AVX FMA units. A total of two cache lines has to be transferred between the adjacent cache levels. At 64 B/c this means 2 cy to transfer the CLs from L2 to L1. Transferring the CLs from L3 to L2 takes 4 cy at 32 B/c. The empirically determined sustained (memory domain) bandwidth for the dot product was 32.4 GB/s. At 2.3 GHz, this corresponds to a bandwidth of about 4.5 cy/CL or 9.1 cy for two CLs. The ECM model input is thus $\{1 \parallel 2 \mid 2 \mid 4 \mid 9.1\}$ cycles and the corresponding prediction is $T_{\text{ECM}} = \{2 \parallel 4 \mid 8 \mid 17.1\}$ cycles.

As the name suggest, the load benchmark is a load-only benchmark as well. However, here $T_{\text{OL}}$ and $T_{\text{OL}}$ are interchanged: while a single clock cycle suffices to load the elements from cache line A into AVX registers, two cycles are required to process the data, because there is only a single AVX add unit. Because only a single cache line has to be transferred between adjacent cache levels, the time required is exactly half of that needed for the ddot benchmark. The ECM model input for the load benchmark is $\{2 \parallel 1 \mid 1 \mid 2 \mid 4.5\}$ cycles. The model prediction is $T_{\text{ECM}} = \{2 \parallel 2 \mid 4 \mid 8.5\}$ cycles.

B. Store, Update, and Copy

Using AVX instructions storing one cache line worth of constants for the store benchmark takes two clock cycles, because only one store unit is available, resulting in $T_{\text{OL}} = 2$ cy. As there are no other instructions such as arithmetic operations, $T_{\text{OL}}$ is zero. When counting cache line transfers along the cache hierarchy, we have to bear in mind that a store-miss will trigger a write-allocate, thus resulting in two cache line transfers for each cache line update: one to write-allocate the cache line which data gets written to and one to evict the modified cache line once the cache becomes full. Because evictions between L1 to L2 cache take place at a bandwidth of only 32 B/c, this results in a transfer time of three cycles to move cache lines between the L1 and L2 cache and a transfer time of 4 cycles for L2 and L3. The sustained bandwidth for a benchmark involving evictions is slightly worse than that of load-only kernels. In CPU cycles the measured bandwidth of about 23.6 GB/s corresponds to approximately 6.2 cy/CL. The resulting ECM input and prediction are $\{0 \parallel 2 \mid 3 \mid 4 \mid 12.5\}$ cycles respectively $\{2 \mid 5 \mid 9 \mid 21.5\}$ cycles.

As far as the ECM model is concerned, the update and store kernels behave very similar. The time required to perform a cache line update is $T_{\text{OL}} = 2$ cy as well, limited by store throughput. The two AVX loads required to load the values to be updated can be performed in parallel to the two store instructions. In addition, the stores are paired with the two AVX multiplications required to update the values in the cache line, resulting in $T_{\text{OL}} = 2$ cy. The number of cache line transfers is identical to that of the store kernel, the only difference being that the cache line load is caused by explicit loads and not a write-allocate. With a memory bandwidth almost identical to that of the store kernel, the time to transfer a cache line between L3 and memory again is approximately 6.2 cy/CL, yielding an ECM input of $\{2 \parallel 2 \mid 3 \mid 4 \mid 12.5\}$ cycles and a prediction that is identical to that of the store kernel.

The copy kernel has to perform two AVX loads and two AVX stores to copy one cache line. In this scenario, again, the single store port is the bottleneck, yielding $T_{\text{OL}} = 2$ cy. Instead of transferring two cache lines, as was the case in the store and update kernels, the copy kernel has to transfer three cache lines between adjacent cache levels: load B, write-allocate and evict A. Loading two cache lines at 64 B/c and evicting at 32 B/c from and to L2 takes a total of 4 cy; transferring three cache lines at 32 B/c between L2 and L3

\(^2\) The sustained chip bandwidth is identical to that of the dot product microbenchmark, resulting in the same memory bandwidth of 4.5 cy/CL.

\(^3\) Note that another pairing, such as e.g. one store with two multiplications and one store with two loads is not possible due to the limited number of full AGUs.
C. Stream and Schönauer Triads

For the Stream Triad, the AGUs prove to be the bottleneck: While the core can potentially retire four micro-ops per cycle, it is impossible to schedule two AVX loads (each corresponding to one micro-op) and an AVX store (corresponding to two micro-ops) which uses indexed addressing, because there are only two AGUs available supporting this addressing mode. The resulting $T_{\text{ROL}}$ thus is not 2 but 3 cycles to issue four AVX loads (two each for cache lines B and C) and two AVX stores (two for cache line A). The required arithmetic of two FMA units are performed in a single cycle, because two AVX FMA units are available. Data traffic between adjacent cache levels is four cache lines: load cache lines containing B and C, write-allocate and evict the cache line containing A. The measured sustained bandwidth of 27.1 GB/s corresponds to approximately 5.4 cy/CL—or about 21.7 cy for all four cache lines. The input parameters for the ECM model are thus $\{1,3,5,8,21.7\}$ cycles leading to the follow prediction: $\{3,8,16,37.7\}$ cycles.

The Schönauer Triad involves the same arithmetic as the Stream Triad with an additional operand having to be loaded into registers. Again the address-generation units prove to be bottleneck. Now, six AVX loads (corresponding to cache lines B, C, and D) and two AVX stores (cache line A) have to be performed; the total of these eight instructions have to share two AGUs, resulting in a $T_{\text{ROL}}$ of 4 cycles. The two AVX fused multiply-add instructions can be performed in a single cycle. Data transfers between adjacent caches correspond to five cache liens: B, C, and D require loading while cache line A needs to write-allocated and evicted. For the L1 cache, this results in a transfer time of 6 cycles (four to load four cache lines, two to evict one cache line). The L2 cache transfer time is 10 cycles. The measured sustained memory bandwidth of 27.8 GB/s corresponds to about 5.3 cy/CL or 26.5 cy for all five cache lines. The resulting ECM input parameters are thus $\{1,4,6,10,26.5\}$ cycles and the resulting prediction is $\{4,10,20,46.5\}$ cycles.

VI. Experimental Testbed

A standard two-socket server based on the Haswell-EP microarchitecture was chosen for evaluating the kernels. The machine uses two-way SMT and has fourteen moderately clocked (2.3 GHz base frequency) cores per socket. Sixteen vector registers are available for use with Streaming SIMD Extensions (SSE), AVX, and AVX2. Using floating-point arithmetic, each core can execute two FMA instructions per cycle leading to a peak performance of 16 DP or 32 SP Floating-Point Operations (Flops) per cycle. Memory bandwidth is provided by means of a ccNUMA memory subsystem with four DDR4-2166 memory channels per socket. In order to achieve best performance during benchmarking CoD was activated and UFS was disabled. A summary of the machine configurations can be found in Table II.

VII. Results

The results presented in this section were obtained using hand-written assembly code that was benchmarked using likwid-perfctr to guarantee reproducibility as compilers tend to perform well-mean optimizations (such as producing SSE instead of AVX loads in order to lower the probability for split cache line loads) that can end up being counter-productive thus resulting in non-optimal code even for the most simple of kernels.

A. Load, Dot Product

In Figure 7 we illustrate ECM predictions and measurement results for both the load and dot product benchmarks.
While the core execution time for both benchmarks is two clock cycles just as predicted by the model, the dot product performance is slightly lower than predicted with data coming from the L2 cache. We found this slightly worse than expected L2 cache performance to be a general problem with Haswell. In none of the cases the measured L2 performance could live up to the advertised specs of 64 B/c. However, the L2 performance is slightly better for the load benchmark. Here the performance in L2 is almost identical to that with data residing in the L1 cache: this is because the cache line can theoretically be transferred from L2 to L1 a single cycle at 64 B/c, which is exactly the amount of slack that is the difference between $T_{OL} = 2$ cy and $T_{nOL} = 1$ cy. In practise, however, we observe a small penalty of 0.3 cy/CL.

As soon as the working set becomes too large for the core-local L2 cache, we find that the ECM prediction becomes slightly off. An empirically determined penalty for transferring data from off-core locations for kernels with a low number of cycles per cache line was found to be one clock cycle per load stream and cache-level, e.g. 2 cy for the dot product benchmark with data residing in L3 and 4 cy with data from main memory. This is most likely to be attributed to additional latencies introduced when data is passing between different clock domains (e.g. core, cbox, mbox) that can not entirely be hidden for kernels with a very low core cycle count.

B. Store, Update, Copy

In Figure 8 the ECM predictions and measurements for the Store, Update, and Copy kernels are shown. With data coming from the L1 cache, the measurements for all three benchmarks matches the model’s prediction. As was the case previously, the measured performance is off about one cycle per cache line loaded from L2 to L1 when data resides in the L2 cache: one cycle for the store and update benchmarks, and two cycles for the copy benchmark. As before, we attribute this to the sustained L2 load bandwidth being lower than advertised.

Interestingly, the measured performance for the Store and Update kernels in L2 is better than the model prediction. We can rule out an undocumented optimization that avoids write-allocates when rapidly overwriting cache lines in the L3, because the Store kernel has exactly the same performance as the Update kernel, which has to load the cache line in order to update the values contained in it. The Copy kernel is about 1 cycle slower per cache line than predicted by the model.

For main memory, the measured result is significantly better than the model prediction. This is caused by caches and several store buffers still holding data to be evicted to main memory when the benchmark has completed. Although there exists a means to write-back all modified cache lines from caches to main memory using the wbinvd instruction, the eviction will occur asynchronously in the background, thereby making it impossible to measure the exact time it takes to complete the benchmark.

C. Stream Triad and Schönauer Triad

In Figure 9 we show the model predictions and actual measurements for both the Stream and Schönauer Triads. The measurement fits the model’s prediction with data in the L1 cache. As before, we observe the one cycle penalty for each cache line that is loaded from the L2 cache, which trickles down to the L3 cache as well. The measurement with data coming from and going to main memory almost perfectly fits the model prediction.

In addition, Figure 10 shows the measurement results for the naive Schönauer Triad as it is currently generated by compilers (e.g. the Intel C Compiler 15.0.1) and an optimized version that makes use of all three AGUs, i.e. one that uses the newly introduced simple AGU on port 7. Typically, address calculations in loop-unrolled streaming kernels requires two steps: scaling and offset computation. The scaling part involves

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4In contrast to Haswell, both Sandy and Ivy Bridge’s L2 bandwidth of 32 B/c could be achieved in every benchmark [4].
multiplying the loop counter with the size of the data type and adding it to a base address (typically a pointer to the first element of the array) to compute the correct byte address of i-th array element; the offset part adds a fixed offset, e.g. 32 B, to skip ahead the size of one vector register. Both AGUs on ports 2 and 3 support this addressing mode called “base plus index plus offset.” The problem with the simple AGU is that it can not perform the indexing operation but only offset computation. However, it is possible to make use of this AGU by using one of the “fast LEA” units (which can perform only indexed and no offset addressing) to pre-compute an intermediary address. This pre-computed address is fed to the simple AGU, which can then perform the still outstanding offset addition. Using all three AGUs, it is possible to complete the eight addressing operations required for the load/store operations in three instead of four cycles. The assembly code for this optimized version is shown in Listing 1.

Note that due to lack of space we present only a two-way unrolled version of the kernel instead of the eighty-way unrolled variant that was used for benchmarking.

Listing 1. Two-way unrolled, hand-optimized code for Schönauer Triad.

D. Multi-Core Scaling

As discussed previously, when using the ECM to estimate multi-core performance, single-core is scaled performance until a bottleneck is hit— which on Haswell and other modern Intel CPUs is main memory bandwidth. Figure 10 shows ECM predictions along with actual measurements for the dot product, Stream Triad, and Schönauer Triad benchmarks using both CoD and non-CoD modes.

The L3-memory transfer times for CoD and non-CoD mode have to be based on the respective bandwidths of the mode. Transferring a cache line using only one memory controller (in CoD mode) takes more cycles than when using both (non-CoD mode). In addition to scaling within the memory domain, chip performance (fourteen cores) is also shown in CoD mode.

The measurements indicate that peak performance for both modes is nearly identical, e.g. for the dot product performance saturates slightly below 4000 MUp/s for non-CoD mode while CoD saturates slightly above the 4000 mark. Although the plots indicate the bandwidth saturation point is reached earlier in CoD mode, this conclusion is deceiving. While it only takes four cores to saturate the memory bandwidth of an memory domain, a single domain is only using two memory controllers; thus, saturating chip bandwidth requires 2 × 4 threads to saturate both memory domains, the same amount of cores it takes to achieve the sustained bandwidth in non-CoD mode.

E. Non-Temporal Stores

For streaming kernels and dataset sizes that do not fit into the LLC it is imperative to use non-temporal stores in order to achieve the best performance. Not only is the total amount of data to be transferred from memory reduced by getting rid of RFO stream(s), but in addition, non-temporal stores do not have to travel through the whole cache hierarchy and thus do not consume valuable bandwidth. On Haswell, non-temporal stores are written from the L1 cache into core-private Line Fill Buffer (LFB)s, from which data goes directly into main memory.

Figure 12 shows the performance gain offered by non-temporal stores. The left part shows the Stream Triad, which using regular stores features two explicit load streams for arrays B and C plus a store and an implicit RFO stream for array A. Using the naive roofline model, we would expect...
an increase of performance by a factor of 1.33×, because employing non-temporal stores gets rid of the RFO stream, thereby reducing the number of streams from four to three. However, the measured improvement in performance is higher: 1181 MUp/s vs. 831 MUp/s (1.42× faster) using a single affinity domain respectively 2298 MUp/s vs 1636 MUp/s (1.40× faster) when using a full chip. This improvement can not explained using a bandwidth-only model and requires accounting for in-cache data transfers. Using non-temporal stores, the incore execution time stays the same. Instead of a L1-L2 transfer time of 5 cycles to load cache lines containing B and C (2 cycles), write-allocating (1 cycle), and evicting (2 cycles) the cache line containing A the L1-L2 transfer time is now one just 4 cycles, because we don’t have to write-allocate A. The L2-L3 transfer time goes down from 8 cycles (load B and C, write-allocate and evict A) to just 4 cycles (load B and C). Also, cache line transfers to and from main memory go down from four (load B and C, write-allocate and evict A) to three. At a sustained bandwidth of 28.3 GB/s this corresponds to 5.2 c/CL or 15.6 c/CL for three cache lines. The ECM input is thus \( \{1\parallel 3\parallel 4\parallel 4\parallel 15.6\} \) cycles leading to the follow prediction: \( \{3\parallel 7\parallel 11\parallel 26.6\} \) cycles. Comparing the 26.6 c/CL with that of the estimate of 37.7 cy/CL when using regular stores (cf. Table I) we infer a speedup of exactly 1.42× using the ECM model.

We observe a similar behaviour for the Schönauer Triad. Here, the roofline model predicts an increase of performance by a factor of 1.25× (four streams instead of five). However, the measured performance using non-temporal stores is 905 GUp/s vs. 681 GUp/s (factor 1.33×) using a single affinity domain respectively 1770 MUp/s vs. 1339 MUp/s (factor 1.32×) using a full chip. The ECM using non-temporal stores is constructed analogous to the Stream Triad in the paragraph above. Three cache lines (B, C, and D) have to be transferred from L2 to L1; one cache line A has to evicted from L1 to the LFBs. Three cache lines (B, C, and D) have to be transferred from L3 to L2. Three cache lines (B, C, and D) have to be transferred from memory to L3 and one cache line (A) has to be evicted from the LFBs to main memory. At a bandwidth of 29.0 GB/s this corresponds to approximately 5.1 c per cache line or 20.3 c for all four cache lines. The model input is thus \( \{1\parallel 4\parallel 5\parallel 6\parallel 20.3\} \) cycles, yielding a prediction of \( \{4\parallel 9\parallel 15\parallel 35.3\} \) cycles. Comparing 35.3 c/CL to the estimate of 46.5 cy/CL when using regular stores (cf. Table I) we infer a speedup of exactly 1.32× using the ECM model.

**F. Sustained Memory Bandwidth**

Apart from upgrading the memory from DDR 3 used in the previous Sandy and Ivy Bridge microarchitectures to DDR 4 to increase the peak bandwidth, the efficiency of the memory interface has been improved as well—especially with regard to non-temporal stores. Figure [11] shows a comparison of the sustained memory bandwidth achieved by the Haswell machine (cf. Table II) and the predecessor microarchitectures Sandy and Ivy Bridge. The Sandy and Ivy Bridge systems used for comparison are standard, two-socket servers featuring Xeon E5-2680 (SNB) and Xeon E5-2690 v2 (IVY) chips, with four memory channels per socket (DDR3-1600 in the Sandy Bridge and DDR3-1866 in the Ivy Bridge node).

We observe that Haswell offers a higher bandwidth for all kernels, especially when employing non-temporal stores. Also worth noting is that Haswell offers improved bandwidth...
when using the CoD mode for all but the store benchmarks employing non-temporal stores.

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