Structural Diversity in Lithium Carbides

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The lithium-carbon binary system possesses a broad range of chemical compounds, which exhibit fascinating chemical bonding characteristics that give rise diverse and technologically important properties. While lithium carbides with various compositions have been studied or suggested previously, the crystal structures of these compounds are far from well understood. In this work we present the first comprehensive survey of all ground state (GS) structures of lithium carbides over a broad range of thermodynamic conditions, using ab initio density functional theory (DFT) crystal structure searching methods. Thorough searches were performed for 29 stoichiometries ranging from Li\textsubscript{12}C to Li\textsubscript{12}C\textsubscript{2} at 0 GPa as well as 40 GPa. Based on formation enthalpies from optimized van der Waals density functional calculations, three thermodynamically stable phases (Li\textsubscript{6}C\textsubscript{3}, Li\textsubscript{12}C\textsubscript{2} and Li\textsubscript{12}C\textsubscript{12}) were identified at 0 GPa, and seven thermodynamically stable phases (Li\textsubscript{6}C, Li\textsubscript{12}C, Li\textsubscript{12}C\textsubscript{3}, Li\textsubscript{8}C\textsubscript{3}, Li\textsubscript{12}C\textsubscript{4}, and Li\textsubscript{12}C\textsubscript{5}) were predicted at 40 GPa. A rich diversity of carbon bonding, including monomers, dimers, trimers, nanoribbons, sheets and frameworks, was found within these structures, and the dimensionality of carbon connectivity existing within each phase was observed to increase with increasing carbon concentration. Of particular interest, we find that the well-known composition Li\textsubscript{6}C\textsubscript{3} is actually a metastable one. We also find a unique coexistence of carbon monomers and dimers within the predicted thermodynamically stable phase Li\textsubscript{6}C\textsubscript{3}, and different widths of carbon nanoribbons coexist in a metastable phase of Li\textsubscript{2}C\textsubscript{2} (Imm\textsubscript{2}). Interesting mixed \textit{sp}\textsuperscript{2}-\textit{sp}\textsuperscript{3} carbon frameworks are predicted in metastable phases with composition Li\textsubscript{6}C\textsubscript{6}.

I. INTRODUCTION

Numerous novel carbon allotropes\textsuperscript{1,13} have been predicted theoretically over the past decades. Some of these proposed structures have excellent mechanical, optical and/or electronic properties, which are important for a wide range of potential applications. For example, three-dimensional (3D) metallic carbon allotropes\textsuperscript{1,4,13,16} are potentially important conductors with excellent chemical inertness under ambient conditions, and carbon allotropes with high elastic constants but low densities like clathrates\textsuperscript{3,5,6,17} would be especially useful for light-weight structural materials. However, it is particularly challenging to synthesize these materials from pure carbon, due to their relatively higher enthalpies than graphite or diamond, and only limited experimental evidence for these phases currently exists\textsuperscript{18,19}. Another way to synthesize pure carbon allotropes is to start from carbide precursors. This approach has been successful in the production of so-called carbide-derived carbon\textsuperscript{24}. Novel silicon and germanium allotropes may be produced through the leaching of metal atoms from metal silicide or germanide precursors\textsuperscript{21,24}, which suggests the possibility of making pure carbon allotropes from metal carbides in a similar way. Considering that carbon atoms have a smaller radius than silicon atoms, we focus on the possibility of carbon framework-based lithium carbides. In order to establish potential thermodynamic stability for these types of structures, we have investigated Li-C compounds over a broad compositional range to understand which kinds of precursors might exist under ambient and high-pressure conditions, and to gain insights into the forms of carbon existing within them.

A series of lithium carbon binary compounds (including Li\textsubscript{6}C\textsubscript{27}, Li\textsubscript{6}C\textsubscript{28}, Li\textsubscript{6}C\textsubscript{29}, Li\textsubscript{6}C\textsubscript{30}, Li\textsubscript{6}C\textsubscript{31}, Li\textsubscript{6}C\textsubscript{32}, Li\textsubscript{6}C\textsubscript{33}, Li\textsubscript{6}C\textsubscript{34}, Li\textsubscript{6}C\textsubscript{35}, Li\textsubscript{6}C\textsubscript{36}, Li\textsubscript{6}C\textsubscript{37}, Li\textsubscript{6}C\textsubscript{38}, Li\textsubscript{6}C\textsubscript{39}, Li\textsubscript{6}C\textsubscript{40}, Li\textsubscript{6}C\textsubscript{41}, Li\textsubscript{6}C\textsubscript{42}, Li\textsubscript{6}C\textsubscript{43}, Li\textsubscript{6}C\textsubscript{44}, Li\textsubscript{6}C\textsubscript{45}, Li\textsubscript{6}C\textsubscript{46}, Li\textsubscript{6}C\textsubscript{47}, etc.) have been reported theoretically or experimentally since half a century ago. Most of those previous studies focused on the molecular structure and the stability of molecular clusters. Of these reported compounds, only Li\textsubscript{6}C\textsubscript{2}, Li\textsubscript{6}C\textsubscript{3}, Li\textsubscript{6}C\textsubscript{4}, and Li\textsubscript{6}C\textsubscript{5} have been investigated experimentally in their crystal structures.

Ab initio density functional theory calculations have many successful examples of predicting the relative stabilities for solid crystal phases of single elements and multicomponent compounds under ambient and high-pressure conditions. Some methods including random sampling\textsuperscript{61,62}, minima hopping\textsuperscript{63,64} and those implemented in USPEX\textsuperscript{65,66}, CALYPSO\textsuperscript{67,68} or XTALOPT\textsuperscript{69,70} were developed in the past decade and have made the prediction of ground state crystal structures much easier and more efficient\textsuperscript{71}. In the system of lithium carbon compounds, some searches were performed previously for the stoichiometry Li\textsubscript{2}C\textsubscript{2}\textsuperscript{50,52} and some polymeric forms of carbon were predicted at high pressure\textsuperscript{50,52}. In this work, we predict two more stable high-pressure phases of Li\textsubscript{2}C\textsubscript{2}. We provide the static convex hulls (i.e., formation enthalpy vs concentration diagrams) at 0 and 40 GPa, and thus
predict a broad range of novel, thermodynamically stable, lithium carbide phases. Various forms of carbon are found to exist within these stable crystal structures, and suggest energetically viable pathways to novel carbon materials.

II. COMPUTATIONAL METHODS

We predict the GS crystal structures of lithium carbides through evolutionary algorithm-based searching methods, as implemented in the opensource package XtalOpt [69, 72]. The evolutionary algorithms in XtalOpt were designed to generate new structures that have lower enthalpies than structures in previous generations. All searches were initialized by 30–60 randomized or specified structures, and they were not terminated until the lowest enthalpy structure survived after 300–600 generated structures. Each search used a fixed number of formula units of \( \text{Li}_m\text{C}_n \) (\( m \) and \( n \) are irreducible integers except in \( \text{Li}_2\text{C}_2 \), where the reducible notation is preserved following standard convention). The structure with the lowest enthalpy is regarded as the ground state for a given stoichiometry. At a given pressure and stoichiometry, several searches with different numbers (1–6) of formula units were performed to avoid missing the true ground state structure. For computational efficiency, the largest number of primitive cell atoms was limited to 20 in all searches. The symmetries of low-enthalpy structures were refined using FINDSYM [73, 74].

The enthalpy of each structure within the evolutionary algorithm searching was calculated from DFT relaxation using the projector-augmented wave (PAW) method [75, 76] within the Perdew-Burke-Ernzerhof (PBE) generalized gradient approximation (GGA) [77, 78]. The DFT structural relaxations were performed using pwscf in the package of QUANTUM-ESPRESSO [79, 80]. In our DFT calculations, the electronic configurations for Li and C were \( 1s^22s^1 \) and \( [\text{He}]2s^22p^2 \), respectively. The plane-wave kinetic-energy cutoff was 80 Ry (1088 eV). During the searching process, the Monkhorst-Pack (MP) k-point meshes \( k_1 \times k_2 \times k_3 \) were given according to \( k_i = b_i/(2\pi \times 0.06) \) (\( i = 1, 2, 3 \)) where \( b_1, b_2 \) and \( b_3 \) are the lattice lengths (in unit of \( \text{Å}^{-1} \)) in reciprocal space. The relative enthalpies of lithium carbides converged up to 6 meV/atom within these settings. For each stoichiometry, several low-enthalpy structures were selected carefully from all the crystal structures obtained by searches. DFT relaxations were reinvestigated for these selected structures with denser k-point meshes of \( k_i = b_i/(2\pi \times 0.04) \) (the lower limit of \( k_i \) was 2). The relative enthalpies of lithium carbides converged up to 2 meV/atom with these denser k-point meshes. In the calculations of enthalpies vs pressures, the k-point meshes were fixed within one structure at different pressures. Even denser k-point meshes of \( k_i = b_i/(2\pi \times 0.02) \) were used in our density of state (DOS) calculations for all the thermodynamically stable and some metastable structures. For all the thermodynamically stable and metastable structures, we calculated the phonon frequencies using density-functional perturbation theory (DFPT) to determine their dynamic stabilities.

Twenty-nine stoichiometries of \( \text{Li}_m\text{C}_n \) (\( m : n \) in 12:1, 10:1, 8:1, 6:1, 5:1, 4:1, 3:1, 2:1, 1:2, 2:3, 3:2, 4:3, 5:3, 2:2, 4:5, 3:4, 2:3, 3:5, 1:2, 2:5, 3:8, 1:3, 1:4, 1:5, 1:6, 1:8, 1:10 and 1:12), which include all the possible ground state lithium carbides between \( \text{Li}_3\text{C} \) and \( \text{LiC}_12 \) suggested by previous studies (see the introduction), have been investigated in order to determine the GS structures. While we consider our searching to be comprehensive over a broad range of composition, we acknowledge the finite nature of these searches given limitations of computational resources, and realize the possibility of thermodynamically stable phases for unconsidered stoichiometries or number of primitive cell atoms. For a given pressure, the thermodynamically stable structures are those whose formation enthalpies per atom lie on the convex hull of formation enthalpy a function of composition [81, 82]. For a compound \( \text{Li}_m\text{C}_n \), the formation enthalpy of a structure under pressure \( P \) is defined as

\[
\Delta H(P) = \frac{H_{\text{Li}_m\text{C}_n}(P) - mH_{\text{Li}}(P) - nH_C(P)}{m+n}
\]

(1)

where \( H_{\text{Li}_m\text{C}_n} \) is the enthalpy per formula unit of \( \text{Li}_m\text{C}_n \) for a given structure. \( H_{\text{Li}} \) and \( H_C \) are enthalpies per atom of lithium and carbon in their ground-state crystal structures, respectively. The atomic concentration of carbon in the compound \( \text{Li}_m\text{C}_n \) is defined as,

\[
x_C = \frac{n}{m+n}
\]

(2)

III. RESULTS AND DISCUSSIONS

A. Thermodynamically Stable Lithium Carbides

The van der Waals (vdW) interaction has proved to be important for predictions of both structural and energetic information for graphite and graphite intercalated lithium compounds [61, 83, 84]. Here we show in Table I that the vdW interaction is also crucial in predicting the formation energies of \( \text{Li}_3\text{C}_2 \). Except in the DFT-local density approximation (DFT-LDA) calculations where the LDA PAW pseudopotentials (PAWs) were used, we used the PBE PAWs in all other DFT and vdW calculations. All the corresponding pseudopotentials of lithium and carbon were taken from the pseudopotential library of QUANTUM-ESPRESSO [79, 80]. In DFT-D2 [55] calculations, the default atomic parameters were used without modifications. The vdW, vdW2, optB88-vdW, optB86-vdW, rev-vdW2 [59, 57] calculations shared the same vdW kernel table, while the rVV10 [88] calculations used another rVV kernel table. Both the vdW kernel and rVV kernel tables were generated using the QUANTUM-ESPRESSO package [79, 80].
Based upon agreement with experimental formation enthalpies for Li$_2$C$_2$, LiC$_6$, and LiC$_{12}$, the optimized vdW density functional (optB88-vdW) method was used to calculated the final formation enthalpies for the lowenthalpy structures obtained in this work. We did not find any evidence that the vdW calculation provides improvement for the electronic band structures of Li-C compounds, although it affects the energy and force. Therefore, we used PBE for our density of states calculations. To compute phonon frequencies, we also used PBE.

Table I. Formation energies (meV/atom) of lithium carbides. Both theoretical calculations (DFT and vdW [32–33]) and experiments are at 1 atm pressure. In these calculations, the k-point meshes for Li$_2$C$_2$ (8 atoms cell), LiC$_6$ (7), and LiC$_{12}$ (13) are $6 \times 6 \times 8$, $8 \times 8 \times 8$ and $8 \times 8 \times 8$, respectively.

| Compound | DFT-PBE | DFT-LDA | DFT-D2 | rVV10 | optB88-vdW | optB86-vdW | rev-vdW | Exp. |
|----------|---------|---------|--------|-------|------------|------------|--------|-----|
| Li$_2$C$_2$ | 4.3 | 52.1 | -127 | -191 | -307 | -95.8 | -127 | -43.7 | -48.0 | -113 | -177/94 |
| LiC$_6$ | 6.4 | 61.0 | -96.2 | -7.7 | -6.2 | -10.9 | -30.1 | -28.6 | -27.9 | -22.3/95 |
| LiC$_{12}$ | 7.0 | 37.5 | -59.1 | -8.9 | -8.5 | -10.4 | -22.4 | -21.9 | -21.6 | -17.5/95 |

Our searches for the GS crystal structures of lithium carbides were performed at 0 GPa and a high pressure of 40 GPa. The zero temperature GS crystal structure of pure carbon is graphite at 0 GPa and is diamond at 40 GPa (see Fig. S1a in the supporting information), which is determined in this work after comparing the enthalpies of six phases of pure lithium [96–98]. At 40 GPa, however, the static enthalpy differences among FCC, 9R and HCP are too small (within 0.2 meV/atom) to determine which is most stable. Experiments [96–98] suggested 9R would be the stable structure of lithium at 0 GPa. We chose FCC as the ground state at 0 GPa because it gave the lowest static enthalpy based on our opt88-vdW calculations. Zero point energies and temperature effects were not included in the enthalpies of pure elements as they were not included in the enthalpies of lithium carbides. The enthalpies of these GS structures of pure elements were used to calculate the formation enthalpies of predicted lithium carbides by Eq. 1 at the corresponding pressures.

At 0 GPa, three thermodynamically stable lithium carbide phases (with stoichiometries Li$_4$C$_3$, Li$_4$C$_2$ and LiC$_{12}$) are identified according to the convex hull in Fig. 1a. The GS crystal structure of Li$_4$C$_3$ is monoclinic (symmetry $C2/m$ in Hermann-Mauguin notation) with 14 atoms in its unit cell. This compound was reported previously by the lithiation of propyne with n-butyllithium in hexane [35, 36]. Later Jemmis et al. [32] proposed some interesting structures of Li$_4$C$_3$ molecular clusters through ab initio molecular orbital calculations. Carbon atoms exist as allylenide trimers in both our predicted Li$_4$C$_3$ crystal structures as well as the previously calculated molecular structures, however, the positions of lithium ions are different in the crystal and molecular forms. In crystalline Li$_4$C$_3$, the LiC$_3$ distance is 2.20 Å, and some of the lithium ions are shared by two or three carbon trimers, which was not considered in the molecular structures of Li$_4$C$_3$. More crystallographic details of the $C2/m$ Li$_4$C$_3$ can be found in Table S1 in the supporting information.

The crystal structure of the thermodynamically stable phase of Li$_2$C$_2$ obtained in this work is the same as the experimental structure determined by Ruschewitz and Pöttgen [32]. It has a body-centered orthorhombic unit cell (Immm) with 8 atoms. The crystal structure of the thermodynamically stable phase of LiC$_{12}$ takes the form of a lithium-intercalated graphite (LIG) structure, as expected. It has an AA stacking sequence, the same as the structure proposed by some previous studies [47, 53, 60], where capital A indicates a layer of carbon (graphene) and the Greek letter α indicates a layer of lithium atoms. We found another metastable phase of LiC$_{12}$, which has a static formation enthalpy only 4 meV/atom higher than the thermodynamically stable one. The metastable LiC$_{12}$ is also a LIG structure with Immm symmetry, but possesses a stacking sequence of $\alpha\alpha\beta$, i.e., lithium atoms occupy two adjacent graphene layers.

Although LiC$_6$ can be easily synthesized experimentally at ambient conditions [36], we find that this composition is not thermodynamically stable. The lowest-enthalpy form of LiC$_6$ ($P6/mmm$) is also a LIG structure with a stacking sequence of $\alpha\alpha$, and is identical to the LiC$_6$ structure proposed previously [59, 60]. LiC$_6$ is metastable and decomposes into Li$_2$C$_2$ and LiC$_{12}$ according to the convex hull diagram shown in Fig 1a. Since the LiC$_6$ structure of LiC$_6$ is very different from that of Li$_2$C$_2$ (carbon dimers), high energy barriers may exist in the pathways of LiC$_6$ decomposition and can potentially explain the metastable observation of LiC$_6$ in experiments. Indeed, lattice dynamics calculations reveal that LiC$_6$ is mechanically stable at ambient pressure. We note that the GS structures of LiC$_4$, LiC$_5$, LiC$_6$, LiC$_8$, LiC$_{10}$ and LiC$_{12}$ at 0 GPa are all in LIG structures. If one only considers the carbon-rich side of the convex hull for LIG structures, i.e., above $x_C = 0.75$
(see dashed lines in Fig. 1a), both LiC₆ and LiC₁₂ are “thermodynamically stable” LiC₄ and LiC₅ tend to decompose to LiC₆ plus pure lithium, and LiC₈ and LiC₁₀ tend to decompose to LiC₆ plus LiC₁₂, while LiC₁₆ would not decompose. Thus, in the absence of kinetic accessibility to the true GS, LiC₆ can be regarded as stable (in the family of LIGs), and also helps to explain why LiC₆ can be synthesized easily in experiments. It is possible that some other LIGs with higher carbon concentrations would be also thermodynamically stable at 0 GPa, as suggested by Hazrati et al. [60], but those large structures exceed the atom limit range of this study.

Structural diversity blossoms with increasing pressure, and at 40 GPa, we find seven thermodynamically stable lithium carbides with stoichiometries of Li₈C, Li₉C, Li₈C₃, Li₈C₄, Li₂C, Li₂C₃ and Li₂C₄, according to the convex hull in Fig. 1b. The GS crystal structures of Li₈C, Li₉C, Li₈C₃, Li₂C, Li₂C₄ and Li₂C₃ are in rhombohedral (R-3m), tetragonal (I4/m), rhombohedral (R-3m), base-centered orthorhombic (Cmca), body-centered orthorhombic (Immm), and base-centered orthorhombic (Pmcm) structures, respectively. Different from these relatively high-symmetry structures, the GS crystal structure of Li₈C is triclinic (P-1) with 36 atoms in the unit cell. This stable large cell of Li₈C was derived from a dynamically unstable (with negative phonon frequencies) Cm structure.

Except for these thermodynamically stable phases, some metastable crystal structures of lithium carbides are found at 40 GPa as well, i.e., a body-centered orthorhombic (Immm) and a base-centered orthorhombic (Pmcm) structures of Li₂C₂ and a primitive orthorhombic (Pmnm) structure of LiC₆. The body-centered orthorhombic structure (Immm) of Li₂C₂ has 24 atoms in the unit cell. It has a lower formation enthalpy than the previously reported Li₂C₂ structures [50, 52] at 40 GPa. The primitive cell orthorhombic structure of LiC₆ has Pmnm symmetry with 14 atoms in the unit cell. The carbon atoms in Pmnm LiC₆ exist as a mixed sp²-sp³ carbon framework. The crystallographic details of all the above structures can be found in Table S1 in the supporting information and detailed descriptions of these phases are given in the following sections. All of the newly predicted lithium carbides listed in Table S1 in the supporting information are dynamically stable from our DFPT calculations.

From the convex hulls of lithium carbides in Fig. 1 some exothermic chemical reactions among the thermodynamically stable phases are suggested. For example, the following reactions may happen below 40 GPa:

\[
5\text{Li}_8\text{C}_3 + 2\text{Li}_3\text{C}_4 \rightarrow 23\text{Li}_2\text{C} \quad (3)
\]

\[
\text{Li}_4\text{C} + 2\text{Li}_2\text{C}_4 \rightarrow 2\text{Li}_8\text{C}_3 \quad (4)
\]

B. Diverse Carbon Structures

Throughout the thermodynamically stable phases (and some metastable ones) of lithium carbides, we found extreme diversity in carbon bonding with forms including carbon monomers, dimers, trimers, nanoribbons, sheets and frameworks. Carbon atoms within these different structures have very different electronic properties, and it may be possible to obtain novel pure carbon allotropes from these lithium carbides by removing all lithium atoms, particularly for the Li-C frameworks.

Carbon monomers (i.e. methanide structures with no bonds to other carbon atoms) can be found in some thermodynamically stable phases at 40 GPa including Li₈C (P-1), Li₉C (R-3m), Li₄C (I4/m) and Li₈C₃ (R-3m) (see in Fig. 2 with Li₉C and Li₄C as examples). Thermodynamically stable lithium carbides with carbon monomers are not found at 0 GPa and at 40 GPa they only exist within lithium-rich phases. All the lowest-enthalpy forms of Li₈C, Li₉C and Li₄C at 0 GPa have carbon dimers in their crystal structures and they are not thermodynamically stable. Nevertheless, if the lithium carbides with carbon monomers were synthesized at high pressures, they could possibly be
quenched to ambient conditions, as is the case for Mg$_2$C \cite{100, 101} and Ca$_2$C \cite{102}. The minority phase synthesis of Li$_4$C was actually reported 40 years ago \cite{28, 29} through reaction between lithium and carbon vapor, but minimal yields have precluded definitive characterization \cite{100, 103}.

The effective charges of carbon monomers in these thermodynamically stable lithium carbides vary from -1.99 to -2.13 for Löwdin charges and from -2.80 to -3.12 for Bader charges and are very similar across the different methanide phases. Li$_4$C (I\textbar 4/m) is metallic since it has a finite density of state (DOS) at the Fermi energy (FE) level (0.33 states/eV/\textmu u or 0.22 states/eV/\textmu u from PBE or GW calculations \cite{104}, respectively), which is different from other high-pressure thermodynamically stable methanides, e.g. Mg$_2$C (band gap 0.67 eV) \cite{100, 101} and Ca$_2$C (band gap 0.64 eV) \cite{102}. Li$_8$C (P-1), Li$_8$C (R-3m), and Li$_8$C$_3$ (R-3m) are also metallic from our PBE calculations.

Carbon dimers exist in two thermodynamically stable phases, Li$_2$C (\textit{Cmca}) at 40 GPa and Li$_2$C$_2$ (\textit{Immm}) at 0 GPa (Fig. \textbf{3} a and b). The bond lengths between two carbons in Li$_2$C$_2$ (\textit{Immm}) are 1.258 Å at 0 GPa and 1.237 Å at 40 GPa, while those in Li$_2$C (\textit{Cmca}) are 1.370 Å at 0 GPa and 1.373 Å at 40 GPa. The C-C bond length of dimeric C$_2$ unit varies from 1.19 to 1.48 Å in binary and ternary metal carbides \cite{103, 104}. Bond lengths and bond types are mainly determined by the number of electrons transferred from metal to carbon atoms. Recalling that the covalent bond lengths between two carbons at ambient conditions are about 1.20 Å for triple bonds (C=C), 1.33 Å for double bonds (C=C), and 1.54 Å for single bonds (C-C), we find that the carbon dimers in Li$_2$C$_2$ (\textit{Immm}) are ionic triple bonds (acetylide ion [C≡C]$^2^-$) and those in Li$_2$C are ionic double bonds (ethenide ion [C=C]$^4^-$). The DFT charges of carbon dimers in Li$_2$C$_2$ (\textit{Immm}) (Löwdin -1.26 and Bader -1.74) and Li$_8$C (\textit{Cmca}) (Löwdin -2.36 and Bader -3.12) are consistent with this. The calculated Löwdin and Bader charges are always smaller than formal charge assignments.

Li$_2$C$_2$ (\textit{Immm}) is an insulator with a band gap of 3.3 eV or 6.4 eV from PBE or GW calculations, respectively, however Li$_2$C (\textit{Cmca}) is metallic with DOS of 0.36 states/eV/\textmu u or 0.32 states/eV/\textmu u at the FE level from PBE or GW calculations, respectively. So the carbon dimers in the insulating Li$_2$C$_2$ (\textit{Immm}) structure are indeed [C≡C]$^2^-$ while the formal charge of [C≡C]$^4^-$ within metallic Li$_2$C (\textit{Cmca}) does not strictly apply. It is interesting to see that carbon monomers and carbon dimers coexist in the thermodynamically stable phase of Li$_8$C$_3$ (R-3m, 40 GPa) (Fig. \textbf{3} c). The carbon dimers in Li$_8$C$_3$ (R-3m) also exist as double bonds based on their bond distances and charges. The carbon dimers in Li$_8$C$_3$ (R-3m) have bond distances of 1.352 Å at 0 GPa and 1.394 Å at 40 GPa, and at 40 GPa their Löwdin and Bader charges are -2.36 and -3.48, respectively.

Acetylenic carbon ions (C$_2^-$) are common in many binary metal carbides (e.g. Na$_2$C$_2$, K$_2$C$_2$, MgC$_2$ and CaC$_2$, etc.) \cite{102, 103, 104}, whereas double bonded carbon dimers are unusual in binary carbides. They are found in UC$_2$ \cite{105} and recently predicted in a metastable phase of CaC \cite{102}. Such bonds are common in rare earth carbide halides (Y$_2$C$_2$Br$_2$, Y$_2$C$_2$I$_2$ and La$_2$C$_2$Br$_2$) \cite{107} and ternary metal carbides (CeCoC$_2$, DyCoC$_2$ and U$_2$Cr$_2$C$_3$, etc.) \cite{105, 106}. Since double bonded carbon dimers were found in two thermodynamically stable crystals Li$_2$C (\textit{Cmca}) and Li$_2$C$_3$ (R-3m), we predict them to form in lithium carbides using high-pressure experiments.

Carbon trimers are found in a thermodynamically stable phase of Li$_4$C$_3$ (C2/m, 0 GPa) and a metastable phase of Li$_2$C (C2/m) (Fig. \textbf{4}). We infer that the carbon trimers in both Li$_4$C$_3$ (C2/m) and Li$_2$C (C2/m) are allylenide-type [C=C=C]$^4^-$ ions, since their bond lengths are around 1.34 Å. This is confirmed by their Löwdin and Bader charges. The Löwdin and Bader charges on the carbon trimers of Li$_4$C$_3$ (C2/m) are -2.48 and -3.38 respectively and on those of Li$_2$C (C2/m) are -2.61 and -3.69 respectively. Li$_4$C$_3$ is a typical allylenide (C$_3^4^-$) with a band gap of 0.98 eV or 2.2 eV.

FIG. 2. The crystal structures of lithium carbides with carbon monomers. The image representations of lithium and carbon atoms are the same in the next crystal structure figures.

FIG. 3. The crystal structures of lithium carbides with carbon dimers. The line between two carbon atoms indicate a bond.
from PBE or GW calculations, whereas Li_4C (C2/m) is metallic. With Mg_2C_3 and Ca_2C_3 included, we find three allylenides to be thermodynamically stable at ambient or high pressures. Although they are different in terms of chemistry, the crystal structure of stable Li_4C_3 has the same crystallographic symmetry (C2/m) as those of Mg_2C_3 and Ca_2C_3. The crystal structures of stable magnesium and calcium allylenides (isostructural in C2/m) have been confirmed recently by high-pressure experiments[102, 108].

Although Li_4C (I4/m), Li_2C (Cmca), Li_4C_3(C2/m) and Li_2C_2(Immm) are expected to be insulating based on their structures and formal charge balance rules, Li_4C (I4/m) and Li_2C (Cmca) are actually metallic based on our theoretical calculations. To better understand this phenomenon, we compare the electron localization functions (ELFs) of Li_4C (I4/m), Li_2C (Cmca), Li_4C_3(C2/m) and Li_2C_2(Immm) in Fig. 5. For Li_2C_2, electrons are strongly localized to carbon orbitals, thus this structure is insulating. Electron localization remains high in Li_4C_3 (C2/m), but slightly smaller than in Li_2C_2 (Immm), which is consistent with the semiconducting nature of Li_4C_3 (C2/m). In both Li_4C (I4/m) and Li_2C (Cmca), electrons are far more delocalized than in Li_2C_2 (Immm) and in Li_4C_3 (C2/m). This result helps to explain why Li_4C (I4/m) and Li_2C (Cmca) are metallic.

Carbon nanoribbons were found in two thermodynamically stable phases (Li_4C_3, Immm and Li_2C_3, Cmcm at 40 GPa) as well as some metastable phases (Li_2C_2, Imm2 and Cmcm) (Fig. 6). The carbon nanoribbons can be formed by one, two or three zig-zag carbon chains, and thus have different widths. There are two main types of carbon atoms in these carbon nanoribbons. The first type of carbon atoms lie on the sides of ribbons and only have two bonds to other carbon atoms, whereas the other type of carbon is in the middle of the ribbons, and has three bonds to adjacent carbon atoms. The side carbon atoms (Löwdin -0.74–-0.87 and Bader -0.77–-1.24) have more electron density than the middle ones (Löwdin -0.28–-0.36 and Bader -0.40–-0.52). At 40 GPa, the bond lengths between carbon atoms in all ribbons do not differ greatly. They range between 1.43–1.49 Å. The ribbons must contain carbon atoms with exclusively sp^2 hybridization since the atoms in each ribbon lie exactly within the same plane. The extra electrons go into the sp^2 orbitals and enlarged the C-C bond lengths in comparison with the bonds of graphite (1.39 Å) at the same pressure of 40 GPa.
Carbon sheets are found in graphite intercalation compounds (GICs) such as LiC₆ and LiC₁₂ (Fig. 7). At 0 GPa, the C-C bond lengths are 1.439–1.442 Å in LiC₆ (P6/mmm and P6₃/mcm) and 1.431–1.434 Å in LiC₁₂ (P6/mmm and Immm). These bond lengths indicate that carbon atoms in the carbon sheets are sp² hybridized. Both Löwdin and Bader charges show that carbon atoms in LiC₆ (P6/mmm, Löwdin -0.11 and Bader -0.15) have more electrons than in LiC₁₂ (P6/mmm and Immm, Löwdin -0.033–0.035 and Bader -0.070–0.079). Without lithium atoms, the C-C bond lengths would be 1.424 Å, as in graphite at 0 GPa. These results show a typical tendency that with increasing lithium content between carbon sheets, more electrons are transferred to the sp² orbitals, thus increasing the C-C bond lengths.

In this work, we also found that carbon frameworks exist in some low-enthalpy lithium carbides of LiC₆ (Pmmn and P6₃/mcm) at 40 GPa (Fig. 8). Despite the fact that the metal valences are different in LiC₆ (Pmmn) and a previously predicted metastable phase of CaC₂ [10], these two compounds have identical crystal structures. Although these lithium carbides are not thermodynamically stable, they are metastable with all positive phonon frequencies. These carbon frameworks are formed by zig-zag carbon chains along a channel that contains lithium ions. We investigated some other lithium carbides with carbon framework structures in LiC₅, LiC₆, LiC₈ and LiC₁₀, but they have much higher formation enthalpies than the Pmmn and P6₃/mcm phases of LiC₆. We will discuss the potential phase transitions from LiC₆ (P6/mmm) to LiC₆(Pmmn) in the following section.

**C. LiC₂ and LiC₆**

LiC₂ and lithium-intercalated graphites (LIGs) are the most easily synthesized lithium carbides at ambient conditions [52]. Both LiC₂ and LIGs are unstable and tend to decompose to other compositions at high pressures according the convex hulls in Fig. 1. At 40 GPa, the most energetically favorable decomposition pathways for LiC₂ and LiC₆ (an example for LIGs) are as follows,

\[
5\text{LiC}_2 \xrightarrow{\text{high pressure}} 2\text{Li}_2\text{C} + 2\text{Li}_3\text{C}_4 \quad (5)
\]

\[
2\text{LiC}_6 \xrightarrow{\text{high pressure}} \text{Li}_2\text{C}_3 + 9\text{C} \quad (6)
\]

In addition to decomposition, the ambient-pressure ground states of LiC₂ (Immm) and LiC₆ (P6/mmm) would also tend to transform to other isocompositional metastable phases at high pressures. LiC₂ (Immm) would transform to two other phases (P-3m1 and Cmcm) at high pressures according to previous studies [50, 52]. Since we find two more stable phases of LiC₂ (Immm2 and Cmcm) at 40 GPa, these transition paths are more thermodynamically favorable. Although the symmetries are the same, our Cmcm structure of LiC₂ is different from that determined in Ref. [52]. Each carbon ribbon in our Cmcm structure is formed by 2 zig-zag chains (Fig. 8.a.), while the previous determined Cmcm structure contains just one zig-zag chain. Based on the static enthalpies calculated from vdW density functional (optB88-vdW) theory [57], LiC₂ (Immm) would decompose to LiC₂ (Cmca) and LiC₃ at 6.2 GPa (Fig. 8). If decomposition under local equilibrium is avoided, LiC₂ (Immm) would transform to our Immm2 and Cmcm structures at 8.6 GPa and 57.8 GPa, respectively. Carbon nanoribbons are energetically favorable over carbon diners in LiC₂ at high pressures. Recent experimental work on LiC₂ indicates a phase transition from Immm to a dumbbell-containing Pnma structure, and another dumbbell-type Cmcm structure was predicted at higher
In view of this work, we also did calculations for two C2 dumbbell-containing structures proposed by Efthimiopoulos et al. [63]. Our vdW calculations indicate both these Pnma and Cmcm structures are metastable (Fig. 9). Under hydrostatic compression experiments [51, 55], the ambient stable Li2C2 (Immm) phase survived until 15 GPa, before transforming to Pnma structure. While disproportion is more favorable based on our calculations, this transition does represent the most thermodynamically favorable pathway amongst the dumbbell-type Li2C2 structures, and can be understood by considering that room-temperature may not provide sufficient thermal energy to access the more energetically favorable LiC2 and Li3C4 phases. The experimentally observed amorphization transition above 25 GPa [51, 52] can also be understood in this way and likely represents a frustrated transition that is kinetically hindered at room temperature.

FIG. 9. Relative enthalpies of several phases of Li2C2 and its decomposition. The dumbbell-containing and ribbon-containing structures are indicated by blue and red texts, respectively. The P-3m1 [1r] is a sheet-containing structure. [r1] and [r2] indicate references [52] and [53], respectively. The enthalpies were calculated using the optB88-vdW method [57]. In these calculations, the k-point meshes for LiC6 (P6/mmm, 7 atoms cell), Li2C4 (Cmca, 24), Li2C4 (Immm, 14), Li2C2 (Immm2, 24), Li2C2 (Cmcm, 32), Li2C2 (Cmcm [r1], 8), Li2C2 (P-3m1 [r1], 4), Li2C2 (Pnma [r2], 16) and Li2C2 (Cmcm [r2], 8) are 6 × 6 × 10, 8 × 4 × 6, 4 × 12 × 10, 10 × 12 × 2, 12 × 2 × 4, 10 × 12 × 4, 12 × 12 × 6, 6 × 6 × 6 and 8 × 8 × 6, respectively.

According to our computed formation enthalpies, LiC6 (P6/mmm) transforms to the Pnma and P63/mcm structures, at pressures of 23.3 GPa and 48.4 GPa, respectively (Fig. 10). Similar to the situation in Li2C2, the decomposition of LiC6 (P6/mmm) to Li2C2 (Cmcm) and C (diamond) is predicted at 8.8 GPa, and a phase transition of LiC6 from P6/mmm to Pnma would occur if the barrier energy is higher in the pathway of decomposition than in the pathway of phase transition. In any case, the 3D carbon structures (diamond or framework in Pnma LiC6) would be formed from the carbon sheets in LiC6 at high pressures.

FIG. 10. Relative enthalpies of several phases of LiC6 (P6/mmm, Pnma and P63/mcm) and for decomposition to Li2C3 (Cmcm) and carbon diamond. The enthalpies were calculated using the optB88-vdW method [57]. In these calculations, the k-point meshes for LiC6 (P6/mmm, 7 atoms cell), Li2C4 (Cmca, 20), Diamond C (2), LiC6 (Pnma, 14), and Li2C6 (P63/mcm, 28) are 8 × 8 × 10, 10 × 2 × 12, 14 × 14 × 14, 12 × 6 × 6, and 6 × 6 × 6, respectively.

IV. CONCLUSIONS

We predict the convex hulls of lithium carbides at 0 GPa and 40 GPa. Based on the convex hulls in the range from Li12C to LiC12, three phases (including Li4C3, Li2C2 and LiC12) at 0 GPa and seven phases (including Li8C, Li4C, Li3C, LiC3, Li2C, Li3C4, and Li2C3) at 40 GPa are identified as thermodynamically stable at the corresponding pressures. Although LiC6 is not thermodynamically stable from our calculations, it is conditionally (within LIGs) stable at 0 GPa. Our results indicate all the stable phases at 0 GPa are metastable at 40 GPa and all the stable phases at 40 GPa are metastable at 0 GPa.

Carbon monomers exist in four high-pressure, thermodynamically stable phases of Li8C (P-1, 40 GPa), Li6C (R-3m, 40 GPa), Li4C(14/m, 40 GPa) and Li6C3(R-3m, 40 GPa). Carbon dimers can be found in three thermodynamically stable phases of Li8C3 (R-3m, 40 GPa), Li2C (Cmca, 40 GPa) and Li2C2 (Immm, 0 GPa). The carbon-carbon bonds in carbon dimers have either triple bonds (in Li2C2) or double bonds (in Cmca Li2C) and Li2C3 (C2/m) is predicted as a typical allylene with carbon trimers ([C=C=C]4−) and it is thermodynamically stable at 0 GPa. Although Li2C (14/m) and Li2C (Cmca) are expected to be insulating based on formal charge balance rules, they are metallic even at 0 GPa, which is different from Li4C3 (C2/m) and Li2C2 (Immm). The band gaps of Li2C3 (C2/m) and Li2C2 (Immm) are 2.2 eV and 6.4 eV, respectively.

Carbon nanoribbons are frequently found in the high-pressure thermodynamically stable and metastable phases of lithium carbides with moderate carbon concentrations (Li2C2, Li3C4, and Li2C3). Carbon ribbons may exist with different widths. We predict all the
phases with carbon ribbons to be metallic.

Carbon sheets are the fundamental carbon structures within graphite intercalation compounds. All of the carbon atoms within ribbons and sheets maintain $sp^2$ hybridization. With increasing lithium content in LIGs, more electrons are transferred into the $sp^2$ orbitals of carbon atoms, which makes the carbon-carbon bond lengths longer. We find that carbon frameworks only prefer to exist at the composition LiC$_6$. A typical tendency is that the dimensionality of the stable carbon form existing in each structure increases with the increasing of carbon concentration at the same pressure. At 0 GPa, the dimensionality of carbon increases from 1D (dimers and trimers) to 2D (sheets), while at 40 GPa, carbon dimensionality increases from 0D (monomer), 1D(dimer), 2D(ribbons) to 3D (frameworks or $sp^3$ structures as in diamond).

Pressure is a crucial variable for the GS crystal structures of lithium carbides and reveals a dramatic range of chemical diversity. Carbon ribbons can be obtained by compressing Li$_2$C$_2$ to larger than 6.2 GPa and 3D carbon structures (frameworks or diamond) may be obtained by compressing LiC$_6$ to larger than 8.8 GPa. If chemical disproportionation occurs, the pressure needed for structure transformations in Li$_2$C$_2$ and LiC$_6$ would be much lower. We expect that these predictions will inspire experimental efforts.

V. ACKNOWLEDGMENTS

This work is supported by DARPA under grant No. W31P4Q1310005. Most of our DFT computations were performed on the supercomputer Copper of DoD HPCMP Open Research Systems under project No. ACOMM35963RC1. REC is supported by the Carnegie Institution for Science and by the European Research Council Advanced Grant ToMCaT. We thank Dr. R. Hoffmann at Cornell University, Dr. P. Ganesh at Oak Ridge National Laboratory, and Dr. L. Shulenburger at Sandia National Laboratories for useful discussions and comments on this manuscript.
