SUPPLEMENTAL INFORMATION

Climate change impacts on urban sanitation: a systematic review and failure mode analysis

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The supplemental information is 43 pages and contains 8 tables and 1 figure.
**Supplemental Information, Table S1. Inclusion and exclusion criteria for the systematic review**

| CATEGORY                        | Included                                                                                                             | Excluded                                                                                           |
|---------------------------------|---------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------|
| Population                      | Systems or components of systems that are typically part of urban sanitation systems including infrastructure (e.g., sewers or pit latrines) or services (e.g., transport services for faecal sludge) | Systems or parts of systems which are exclusively or almost exclusively used in rural places (e.g., arboloos, studies that report on impacts on rural CLTS programmes) Urban drainage systems that are exclusively used for stormwater |
| Category of evidence on outcomes | Empirical evidence, reported evidence, modelled data, expert consultation, expert opinions                           | Advocacy pieces, Review papers that do not add new evidence                                          |
| Outcomes                        | Direct positive and negative climate-related impacts on urban sanitation systems that affect the delivery of safely managed sanitation. Negative impacts include: damage on sanitation infrastructure (e.g., blockage or corrosion of pipes), disruption of services (e.g., inaccessible roads for emptying trucks, failure of sewer pumps and treatment processes due to electricity outages) and inhibited efficacy of the system (reduced efficacy of biological wastewater treatment processes, excessive leakage) Positive impacts include: prolonged life or reduced maintenance requirements of infrastructure (e.g., slower filling up of pit latrines), improved service delivery (less disruption of emptying services), improvement in system performance (e.g., improved efficiency in treatment processes) | Positive or negative secondary impacts on human and environmental health and the economy (e.g., increased household or public expenditure on repairs or preventive maintenance, increase in specific waterborne diseases (e.g., cholera) after extreme weather events, increased business opportunities for emptying businesses, fish mortality or reduced biodiversity in receiving water bodies) Positive or negative non-economic secondary impacts (e.g., loss of privacy through the collapse of latrine superstructures, increased absenteeism due to the damage of school toilets) |
| Study types and designs          | Qualitative and quantitative studies that assess or report on specific climate change impacts on urban sanitation systems | Studies that do not specify climate change impacts. Studies that exclusively refer to the impacts from a different study (e.g., to test adaptation measures). Studies that exclusively refer to non-climatic impacts on sanitation systems (e.g., urbanisation impacts) |
| Publication types                | Published journal article and published conference proceedings, consultancy and agency reports (grey literature)       | Editorial, editorial, short opinion pieces, letters, newspaper articles, books and book sections    |
| Publication dates                | No limit                                                                                                             |                                                                                                    |
| Publication language(s)         | English                                                                                                               |                                                                                                    |
Supplemental Information, Table S2. List of websites for and grey literature searches, and respective search strategy.

| DATABASE OR WEBSITE                  | Search strategy                                                                 |
|-------------------------------------|----------------------------------------------------------------------------------|
| SuSanA knowledge base               | “climate change” OR climate OR “climate extreme” OR “climate variability” OR flood OR flooding |
| Greylit.org                         | “climate change” AND sanitation OR flooding AND sanitation OR flood AND sanitation OR “climate change” AND wastewater OR “climate change” AND “waste water” OR sanitation |
| Opengrey.eu                         | “climate change” AND sanitation OR climate AND OR “climate change” AND wastewater OR “climate change” AND sewer OR “climate change” AND transport |
| IRC wash resources                  | “climate change” + Filter: Sanitation                                             |
|                                    | Flood + Filter: Sanitation                                                       |
| Who toolkit on climate change       | Filter: Climate resilient WASH                                                   |
| World bank Open Knowledge Repository| Sanitation AND "climate change" + Filter en                                     |
|                                    | sewer AND "climate change" + Filter en                                           |
|                                    | wastewater AND "climate change" + Filter en                                      |
|                                    | transport AND “climate change” + Filter en                                       |
| ODI Database                        | Sanitation Database Filter climate change                                        |
| Iied publication library            | Sanitation + Filter climate change                                              |
|                                    | Sanitation + Filter: Urban                                                       |
**Supplemental Information, Table S3.** Appraisal framework for evaluating the quality of reporting and relevance of evidence for the included studies

| Topic | Criteria | Questions | Notes on scoring (out of 1) |
|-------|----------|-----------|-----------------------------|
| Quality of reporting | 1. Objectives | Were the objectives and purpose of the study described? | 0 if neither item below is included, 0.5 if one is included, and 1 if both items are included • overall purpose • objectives |
| | 2. Context | Was sufficient detail provided on the context and setting of the study? | 0 if neither item below is included, 0.5 if one is included, and 1 if both items are included • description of sanitation system (e.g., technologies, components, services, technical status of infrastructure) • details of climate change projection or extreme weather event |
| | 3. Data collection | Was sufficient detail provided the data collection method and procedures? | 0 if no information, 0.5 if partial detail is provided, and 1 if sufficient detail is provided. |
| | 4. Analysis | Was sufficient detail provided on analytical methods used in the study? | 0 if no information, 0.5 if partial detail is provided, and 1 if sufficient detail is provided. |
| | 5. Interpretation | Is there a discussion and interpretation of the main findings? | 0 if no information, 0.5 if discussion and interpretation is incomplete, and 1 if a complete discussion and interpretation is provided. |
| | 6. Limitations | Were study limitations described? | 0 if no information, 0.5 if limitations are incomplete, and 1 if full limitations are described. |
| | 7. Conclusions | Were stated conclusions and implications within the scope of the study design and grounded in the data presented? | 0 if conclusions are not stated or beyond the scope, 0.5 if partly beyond the scope, and 1 if conclusions are within the scope of the study. |
| Relevance and generalisability of evidence | 8. Strength of evidence based on study method | How can the provided evidence presented in the results be classified? | 0 if expert consultation, 0.5 if reported or modelled evidence, and 1 if empirical evidence. |
| | 9. Spatial scale and generalisability of impacts on sanitation system | What is the spatial scale and generalisability of the presented evidence? | 0 if study was very context specific with limited generalisability (e.g., impacts on sanitation system were dependent on specific project/interventions design), 0.5 if study was context specific (in terms of climate impacts and sanitation systems) but results that are transferable to similar contexts, and 1 if study had global scale or case independent approach. |
| | 10. Temporal scale and generalisability of climate effect | What is the temporal scale and generalisability of the studied climate effect? | 0 if study presented evidence linked to a single occurrence of an extreme weather event, 0.5 if study presented evidence linked to multiple occurrences of similar extreme weather events, and 1 if study presented impacts linked to a long-term observation or (projected) climate change trend. |

1 Criteria 1-7 are based on the quality appraisal framework used by Venkataramanan, V., A.I. Packman, D.R. Peters, D. Lopez, D.J. McCuskey, R.I. McDonald, W.M. Miller and S.L. Young (1) which has been adapted to reflect the requirements of the review topic and included study types.

**References**

Venkataramanan, V., Packman, A.I., Peters, D.R., Lopez, D., McCuskey, D.J., McDonald, R.I., Miller, W.M. and Young, S.L. 2019. A systematic review of the human health and social well-being outcomes of green infrastructure for stormwater and flood management. *Journal of Environmental Management*. 246, pp.868-
Supplemental Information, Table S4. Results of relevance of evidence and quality of reporting evaluation for all included studies

| Doc ID | Reference | Literature type | Country category | Objectives | Context | Data collection | Analysis | Interpretation | Limitations | Conclusions | Type of evidence | Spatial scale/ generalisability of impact | Temporal scale/ generalisability of climate effect | Total score |
|--------|------------|----------------|-----------------|------------|---------|----------------|---------|----------------|------------|-------------|----------------|----------------------------------------|-------------------------------------------|------------|
| 1      | Abdellatif et al. (2014) | Journal article | High-income | 0.5 | 1 | 1 | 1 | 1 | 0.5 | 1 | 0.5 | 0.5 | 1 | 8 |
| 2      | Abdellatif et al. (2015a) | Journal article | High-income | 0.5 | 1 | 1 | 1 | 1 | 1 | 0.5 | 1 | 0.5 | 0.5 | 1 | 8.5 |
| 3      | Abdellatif et al. (2015b) | Journal article | High-income | 0.5 | 1 | 1 | 1 | 1 | 0.5 | 1 | 0.5 | 0.5 | 1 | 8 |
| 4      | Abdellatif et al. (2015c) | Journal article | High-income | 0.5 | 1 | 1 | 1 | 1 | 0 | 1 | 0.5 | 0.5 | 1 | 7.5 |
| 5      | Abdulla and Farahat (2020) | Journal article | Upper-middle income | 0 | 1 | 1 | 1 | 1 | 0 | 1 | 1 | 0.5 | 1 | 7.5 |
| 6      | Adin et al. (1985) | Journal article | High-income | 0.5 | 0.5 | 1 | 1 | 1 | 0 | 1 | 0.5 | 0.5 | 1 | 7 |
| 8      | Allaouche (2006) | Conference proceedings | High-income | 0.5 | 0.5 | 0.5 | 0 | 0.5 | 0 | 0 | 0.5 | 0.5 | 3.5 |
| 9      | Arap et al. (2016) | Conference proceedings | High-income | 0 | 0.5 | 0 | 0 | 0.5 | 0 | 0.5 | 0.5 | 0.5 | 0 | 2.5 |
| 11     | Bendel et al. (2013) | Journal article | High-income | 0.5 | 1 | 1 | 1 | 1 | 1 | 0.5 | 1 | 0.5 | 0.5 | 1 | 8.5 |
| 12     | Budd et al. (2020) | Journal article | High-income | 1 | 1 | 1 | 1 | 1 | 0 | 1 | 1 | 0.5 | 1 | 7.5 |
| 13     | Budicin (2016) | Grey literature | High-income | 0.5 | 1 | 1 | 1 | 1 | 1 | 1 | 0.5 | 0.5 | 0.5 | 8 |
| 14     | Butler et al. (2007) | Journal article | High-income | 0.5 | 1 | 1 | 1 | 1 | 0 | 1 | 1 | 0.5 | 1 | 8 |
| 15     | Cahoon and Hanke (2019) | Journal article | High-income | 0.5 | 1 | 1 | 1 | 1 | 0 | 1 | 0.5 | 0.5 | 1 | 7.5 |
| 16     | Cao et al. (2020) | Journal article | High-income | 0.5 | 1 | 1 | 0.5 | 1 | 0 | 1 | 0.5 | 0.5 | 1 | 7 |
| 17     | Chappelle et al. (2019) | Grey literature | High-income | 0.5 | 1 | 1 | 1 | 1 | 0 | 1 | 0.5 | 0.5 | 0.5 | 7 |
| 18     | Danilenko et al. (2010) | Grey literature | multi-country | 0.5 | 0.5 | 1 | 0.5 | 1 | 0 | 1 | 0.5 | 1 | 0.5 | 6.5 |
| 20     | Davis and Bursztynsky (1980) | Journal article | High-income | 0.5 | 1 | 0.5 | 1 | 1 | 0 | 1 | 1 | 0.5 | 0.5 | 6.5 |
| 22     | Draude et al. (2019) | Journal article | High-income | 0.5 | 0.5 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 0.5 | 0.5 | 8 |
| 23     | Flood and Cahoon (2011) | Journal article | High-income | 0.5 | 0.5 | 1 | 1 | 1 | 0 | 1 | 0.5 | 0.5 | 1 | 7 |
| 24     | Fortier and | Journal | High- | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 0.5 | 0.5 | 1 | 9 |
|   |   |   |   |   |   |   |   |   |   |   |   |   |   |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
|   | Mailhot (2015) |   | article |   | income |   |   |   |   |   |   |   |   |
| 25 | Gajer et al. (2012) |   | Conference proceedings | High-income | 0.5 | 1 | 1 | 1 | 0.5 | 0.5 | 0 | 0 | 0.5 | 0.5 | 1 | 5.5 |
| 26 | Gooré Bi et al. (2015) |   | Journal article | High-income | 1 | 1 | 1 | 1 | 1 | 0.5 | 1 | 1 | 0.5 | 1 | 9 |
| 27 | Gove et al. (2010) |   | Journal article | Lower-middle income | 1 | 1 | 1 | 1 | 1 | 0 | 1 | 0.5 | 0.5 | 0.5 | 7.5 |
| 31 | Hlodversdottir et al. (2015) |   | Journal article | High-income | 1 | 1 | 1 | 1 | 1 | 0 | 1 | 1 | 0.5 | 1 | 8.5 |
| 34 | Hwang and Oleszkiewicz (2007) |   | Journal article | High-income | 0.5 | 1 | 1 | 1 | 1 | 0 | 1 | 0.5 | 1 | 1 | 8 |
| 36 | Kenward et al. (2013) |   | Grey literature | High-income | 0.5 | 0.5 | 1 | 0.5 | 0.5 | 0.5 | 1 | 0.5 | 0.5 | 0 | 5.5 |
| 37 | Kenward et al. (2016) |   | Grey literature | High-income | 0.5 | 0 | 0.5 | 0 | 0.5 | 0 | 0.5 | 0.5 | 0.5 | 0.5 | 3.5 |
| 38 | Kleiendorfer et al. (2009) |   | Grey literature | High-income | 0.5 | 1 | 1 | 1 | 0.5 | 0.5 | 1 | 0.5 | 1 | 1 | 8 |
| 39 | Kleiendorfer et al. (2014) |   | Conference proceedings | High-income | 1 | 1 | 1 | 1 | 1 | 0.5 | 1 | 1 | 0.5 | 1 | 9 |
| 40 | Langeveld et al. (2013) |   | Journal article | High-income | 0.5 | 1 | 1 | 1 | 1 | 0 | 1 | 1 | 0.5 | 1 | 8 |
| 42 | Mines Jr et al. (2007) |   | Journal article | High-income | 0.5 | 1 | 1 | 1 | 1 | 0 | 1 | 0.5 | 0.5 | 1 | 7.5 |
| 44 | Nie et al. (2009) |   | Journal article | High-income | 0.5 | 1 | 1 | 1 | 1 | 1 | 1 | 0.5 | 1 | 8 |
| 45 | Nilsen et al. (2011) |   | Journal article | High-income | 0 | 0.5 | 1 | 1 | 1 | 0.5 | 1 | 0.5 | 0.5 | 1 | 7 |
| 46 | Noi and Nilavattananon (2015) |   | Journal article | Lower-middle income | 0.5 | 0.5 | 1 | 0.5 | 1 | 1 | 1 | 0.5 | 0.5 | 1 | 7.5 |
| 48 | Pang et al. (2015) |   | Journal article | Upper-middle income | 1 | 1 | 1 | 1 | 1 | 0.5 | 0 | 1 | 1 | 1 | 8.5 |
| 49 | Plosz et al. (2009) |   | Journal article | High-income | 1 | 1 | 1 | 1 | 1 | 0 | 1 | 1 | 0.5 | 1 | 8.5 |
| 52 | Rizk and Bhattarai (2014) |   | Conference proceedings | High-income | 0.5 | 1 | 0 | 0 | 0.5 | 0 | 0.5 | 0.5 | 1 | 0 | 4 |
| 53 | Sanders (1997) |   | Journal article | High-income | 1 | 0.5 | 1 | 0 | 1 | 0 | 1 | 0.5 | 0.5 | 1 | 5.5 |
| 54 | Semadeni-Davies et al. (2008) |   | Journal article | High-income | 1 | 1 | 1 | 1 | 1 | 0.5 | 1 | 0.5 | 0.5 | 1 | 8.5 |
| 55 | Shorney (1994) |   | Journal article | High-income | 0 | 0.5 | 0 | 0 | 0.5 | 0 | 0.5 | 0.5 | 0.5 | 0 | 2.5 |
| 58 | Tait et al. (2006) |   | Journal article | High-income | 1 | 1 | 1 | 1 | 1 | 0 | 1 | 0.5 | 0.5 | 0.5 | 1 | 8 |
| 59 | Takamatsu et al. (2014) |   | Conference paper | High-income | 1 | 0.5 | 0.5 | 0.5 | 1 | 0 | 0.5 | 0.5 | 0.5 | 0 | 5 |
| 60 | Fechmann et al. (2019) |   | Conference paper | High-income | 0 | 0 | 0 | 0 | 0.5 | 0 | 0.5 | 0.5 | 0.5 | 0.5 | 2.5 |
|   | Title                                                                 | Year     | Type            | Publication Type | Region                        | Income 1 | Income 2 | Income 3 | Income 4 | Income 5 | Income 6 | Income 7 |
|---|----------------------------------------------------------------------|----------|-----------------|-----------------|-------------------------------|----------|----------|----------|----------|----------|----------|----------|
| 61 | The World Bank (2018)                                                |          |                | Grey literature | High-income                   | 1 0 0 1 1 0 1 0.5 0.5 0.5 5.5 |
| 63 | Wood et al. (2015)                                                   |          |                | Conference paper | High-income                   | 1 0.5 1 1 0.5 0 0.5 0.5 0.5 1 6.5 |
| 64 | Zamanian et al. (2020)                                               |          |                | Conference paper | High-income                   | 0.5 0.5 0 0 0.5 0 0.5 0.5 0.5 0.5 3.5 |

**Onsite sanitation**

|   | Title                                                                 | Year     | Type            | Publication Type | Region                        | Income 1 | Income 2 | Income 3 | Income 4 | Income 5 | Income 6 | Income 7 |
|---|----------------------------------------------------------------------|----------|-----------------|-----------------|-------------------------------|----------|----------|----------|----------|----------|----------|----------|
| 19 | Cooper et al. (2016)                                                 |          |                | Journal article  | High-income                   | 1 1 1 1 1 1 1 1 0.5 1 9.5 |
| 29 | Heath et al. (2012)                                                  |          |                | Journal article  | Lower-middle income, Low income | 1 1 1 1 1 1 1 1 0.5 0.5 1 9 |
| 32 | Hoque et al. (1989)                                                  |          |                | Journal article  | Lower-middle income           | 0 1 1 1 1 0 0 0.5 0 0.5 5 |
| 35 | Kazi and Rahman (1999)                                               |          |                | Conference paper | Lower-middle income           | 0.5 0.5 0.5 0.5 0.5 0 0.5 0.5 0.5 4.5 |
| 43 | Morales et al. (2015)                                                |          |                | Journal article  | High-income                   | 1 1 1 1 1 1 1 1 0.5 0.5 1 9 |
| 47 | O’Driscoll et al. (2014)                                             |          |                | Journal article  | High-income                   | 1 1 1 1 1 0.5 1 1 0.5 1 9 |
| 55 | Shimi et al. (2010)                                                  |          |                | Journal article  | Lower-middle income           | 1 1 1 1 1 0 1 0.5 0.5 0.5 7.5 |
| 62 | Viraraghavan (1977)                                                  |          |                | Journal article  | High-income                   | 0 1 1 1 1 0 1 1 0.5 1 7.5 |

**Mixed sanitation systems**

|   | Title                                                                 | Year     | Type            | Publication Type | Region                        | Income 1 | Income 2 | Income 3 | Income 4 | Income 5 | Income 6 | Income 7 |
|---|----------------------------------------------------------------------|----------|-----------------|-----------------|-------------------------------|----------|----------|----------|----------|----------|----------|----------|
| 7  | Alam et al. (2015)                                                   |          |                | Grey literature | Lower-middle income           | 1 0.5 1 0.5 1 0 1 0.5 0.5 1 7 |
| 33 | Howard and Bartram (2010)                                            |          |                | Grey literature | Global                        | 1 1 1 0.5 1 0.5 1 0 1 1 8 |
| 18 | Clemenz et al. (2020)                                                |          |                | Journal article  | Lower-middle income           | 1 1 1 1 1 0.5 1 0.5 0.5 1 8.5 |
| 41 | McGill et al. (2019)                                                |          |                | Journal article  | Upper-middle income           | 1 1 1 1 1 0.5 1 1 0.5 1 9 |
| 51 | Purwar et al. (2020)                                                |          |                | Journal article  | Lower-middle income           | 1 1 1 1 1 1 1 0.5 0.5 0.5 8 |

**Transport studies**

|   | Title                                                                 | Year     | Type            | Publication Type | Region                        | Income 1 | Income 2 | Income 3 | Income 4 | Income 5 | Income 6 | Income 7 |
|---|----------------------------------------------------------------------|----------|-----------------|-----------------|-------------------------------|----------|----------|----------|----------|----------|----------|----------|
| 65 | Alfaro Marolo et al. (2009)                                          |          |                | Journal article  | High-income                   | 1 1 1 1 1 0 1 0.5 0.5 1 8 |
| 66 | Alhassan and Ben-Edigbe (2011)                                        |          |                | Journal article  | Upper-middle income           | 0.5 1 0.5 1 1 0.5 1 1 0.5 0.5 7.5 |
|   | Authors                  | Type of Publication | Income Level | Balance Score | Wealth Score | Health Score | Health Income Score | Income Region |
|---|--------------------------|---------------------|--------------|---------------|--------------|--------------|---------------------|---------------|
|67 | Anarde et al. (2018)     | Journal article     | High-income  | 1             | 1            | 1            | 1                   | 8.5           |
|68 | Aursand et al. (2013)    | Conference paper    | High-income  | 0.5           | 0.5          | 0.5          | 0.5                 | 1             |
|69 | Balakrishnan et al. (2020)| Journal article    | High-income  | 1             | 0.5          | 1            | 1                   | 0.5           |
|70 | Bi et al. (2015)         | Journal article     | High-income  | 0.5           | 1            | 1            | 0.5                 | 7.5           |
|71 | Bilodeau et al. (2015)   | Conference paper    | High-income  | 0.5           | 1            | 1            | 0.5                 | 1             |
|72 | Bucar and Hayeri (2020)  | Journal article     | High-income  | 1             | 1            | 1            | 1                   | 0.5           |
|73 | Chang et al. (2009)      | Conference paper    | High-income  | 0.5           | 1            | 1            | 0.5                 | 0.5           |
|74 | Chang et al. (2010)      | Journal article     | High-income  | 1             | 1            | 1            | 1                   | 0.5           |
|75 | Chen and Zhang (2014)    | Conference paper    | High-income  | 1             | 0.5          | 0.5          | 0.5                 | 7             |
|76 | Diakakis et al. (2020)   | Journal article     | High-income  | 1             | 1            | 1            | 1                   | 0.5           |
|77 | Ebuzoeme and Divine-Favour (2015)| Journal article | Lower-middle income | 1             | 1            | 1            | 0.5                 | 0.5           |
|78 | Elshaer et al. (2019)    | Journal article     | High-income  | 0.5           | 1            | 1            | 1                   | 1             |
|79 | Friedrich and Timol (2011)| Journal article | Upper-middle income | 1             | 1            | 1            | 1                   | 1             |
|80 | Hemed et al. (2020)      | Conference paper    | Lower-middle income | 0.5           | 0.5          | 0.5          | 0.5                 | 1             |
|81 | Ismail and Ghani (2017)  | Conference paper    | Upper-middle income | 0.5           | 0.5          | 0.5          | 0.5                 | 0.5           |
|82 | Jacobs et al. (2018)     | Journal article     | High-income  | 1             | 0            | 1            | 1                   | 0.5           |
|83 | Lu and Peng (2011)       | Journal article     | High-income  | 1             | 0.5          | 1            | 1                   | 1             |
|84 | Mallick et al. (2018)    | Journal article     | High-income  | 1             | 0.5          | 1            | 1                   | 0.5           |
|85 | Mallick et al. (2014)    | Journal article     | High-income  | 0.5           | 1            | 1            | 1                   | 0.5           |
|86 | Mills et al. (2009)      | Journal article     | High-income  | 1             | 1            | 1            | 1                   | 1             |
|87 | Mitsaklis et al. (2014a)| Journal article     | High-income  | 1             | 1            | 0            | 1                   | 1             |
|88 | Mitsaklis et al. (2014b)| Journal article     | High-income  | 1             | 1            | 1            | 1                   | 0             |
|89 | Mndawe et al. (2015)     | Journal article     | Lower-middle income | 0.5           | 1            | 1            | 0                   | 0.5           |
|90 | Mosqueda et al. (2007)   | Conference paper    | High-income  | 1             | 0.5          | 0.5          | 0.5                 | 0             |
| No. | Authors                  | Paper Type     | Income Level | Q1 | Q2 | Q3 | Q4 | Q5 | Q6 | Q7 | Q8 | Q9 | Q10 | Q11 | Q12 | Q13 | Q14 | Q15 | Q16 |
|-----|--------------------------|----------------|--------------|----|----|----|----|----|----|----|----|----|-----|-----|-----|-----|-----|-----|-----|
| 91  | Praharaj et al.          | Journal article| High-income  | 1  | 1  | 1  | 1  | 1  | 1  | 1  | 0.5| 0.5| 1   | 9   |     |     |     |     |     |
| 92  | Pyatkova et al.          | Journal article| High-income  | 1  | 1  | 1  | 1  | 1  | 0.5| 1  | 0.5| 0.5| 1   | 8.5 |     |     |     |     |     |
| 93  | Qiao et al.              | Journal article| High-income  | 1  | 1  | 1  | 1  | 1  | 1  | 1  | 0.5| 0.5| 1   | 9   |     |     |     |     |     |
| 94  | Read and Reed (2013)     | Conference paper| High-income  | 0.5| 0.5| 0  | 0  | 0  | 0.5| 0.5| 0.5| 0.5| 0   | 3.5 |     |     |     |     |     |
| 95  | Shao et al.              | Journal article| High-income  | 0.5| 0.5| 1  | 1  | 1  | 0  | 1  | 0.5| 0.5| 1   | 7   |     |     |     |     |     |
| 96  | Stoner et al.            | Journal article| High-income  | 1  | 1  | 1  | 1  | 1  | 1  | 1  | 0.5| 0.5| 1   | 9   |     |     |     |     |     |
| 97  | Suarez et al.            | Journal article| High-income  | 0.5| 1  | 1  | 1  | 1  | 1  | 0.5| 1  | 0.5| 0.5| 1   | 8   |     |     |     |     |     |
| 98  | Sultana et al.           | Journal article| High-income  | 1  | 1  | 1  | 1  | 1  | 0  | 1  | 0.5| 0.5| 0.5| 7.5 |     |     |     |     |     |
| 99  | Sultana et al.           | Conference paper| High-income  | 1  | 0.5| 1  | 0.5| 0.5| 0  | 1  | 1  | 0.5| 0.5| 6.5 |     |     |     |     |     |
| 100 | Sultana et al.           | Conference paper| High-income  | 1  | 1  | 1  | 1  | 1  | 0  | 1  | 1  | 0.5| 1   | 8.5 |     |     |     |     |     |
| 101 | Thiam et al.             | Conference paper| High-income  | 1  | 1  | 1  | 0.5| 0.5| 0  | 1  | 0.5| 0.5| 1   | 7   |     |     |     |     |     |
| 102 | Tighe et al.             | Journal article| High-income  | 1  | 1  | 1  | 1  | 1  | 0.5| 1  | 0.5| 0.5| 1   | 8.5 |     |     |     |     |     |
| 103 | Ying and Abdul Ghani     | Journal article| Upper-middle income | 0 | 1 | 1 | 1 | 1 | 0 | 1 | 1 | 0.5 | 0.5 | 7 |     |     |     |     |     |
| 104 | Zhang et al.             | Journal article| High-income  | 0.5| 1  | 1  | 1  | 1  | 1  | 1  | 1  | 0.5| 0   | 8   |     |     |     |     |     |
| DOC ID | STUDY | CLIMATE CHANGE EFFECT/HAZARD | SANITATION SYSTEM COMPONENT/PROCESS, KNOWLEDGE CLUSTER | TYPE OF EVIDENCE | COUNTRY/LOCATION | SUMMARY OF MAIN RESULTS | AGGREGATED QUALITY SCORE |
|--------|-------|-----------------------------|--------------------------------------------------------|------------------|------------------|-------------------------|------------------------|
| 1      | Abdellatif, M., W. Atherton and R. Alkhaddar (2) | Increase in rainfall intensity and frequency | Combined sewer system (simulation for entire urban catchment area of Windermere urban drainage area) | Quantitative – modelled evidence (potential impact of CC on sewer system assessed using InfoWorks CS Software and applying two emission scenarios (A1F1, B1) and three global circulation models (HadCM3, CSIRO, CGCM3)) | United Kingdom/Northwestern England (Windermere urban drainage area) | Results for annual and bathing season overflow volumes, spilling frequency and pollutant concentrations (BOD and NH3) in the 2080s varied for the different GCMs and emission scenarios. | 7.5 |
| 2      | Abdellatif, M., W. Atherton, R. Alkhaddar and Y. Osman (3) | Increase in rainfall intensity and frequency | Combined sewer system (simulation for entire urban catchment area of Windermere urban drainage area) | Quantitative – modelled evidence (derivation of future rainfall from UKCP09 weather generator, applied to existing urban drainage system (sewer system modelled using InfoWorks CS Software), use of two emission scenarios (A1F1, B1)) | United Kingdom/Northwestern England (Windermere urban drainage area) | Results showed an increase of flood volume from overflowing inspection chambers and increased risk of basement flooding during winter. Under the worst-case scenario projected to occur in the 2080s, the number of flooded inspection chambers was projected to increase by up to 26% and total flood volume to increase by 40% whereas the design storm was only projected to increase by 15%. This suggested a non-linear relation between the cause of flooding and its implications. During summer season a decrease in flood volume was projected. | 8 |
| 3      | Abdellatif, M., W. Atherton, R. Alkhaddar and Y. Osman (4) | Change in rainfall pattern (increase and decrease of rainfall/design storms) | Sewerage system of Wigan catchment made up of mixture of combined, separate and partially separate sewers serving residential population of 190,942 | Quantitative – modelled evidence (potential impact of CC on sewer system assessed applying two emission scenarios (A1F1, B1) and three global circulation models (HadCM3, CSIRO, CGCM3)) | United Kingdom/Northwestern England (Wigan urban drainage area) | Authors assessed impact on CC on drainage system based on change in inspection chamber flood volumes, surcharge in sewers and number of buildings at risk of flooding For all three GCMs under scenario A1F1 the results suggested the number of inspection chamber flooding and number of surcharged sewers | 7.5 |
| DOC ID | STUDY ID | CLIMATE CHANGE EFFECT/HAZARD | SANITATION SYSTEM COMPONENT/PROCESS, KNOWLEDGE CLUSTER | TYPE OF EVIDENCE | COUNTRY/LOCATION | SUMMARY OF MAIN RESULTS | AGGREGATED QUALITY SCORE |
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| 4      | Abdellatif, M., W. Atherton, R.M. Alkhaddar and Y.Z. Osman (5) | Change in rainfall pattern: Increase of precipitation during winter and decrease of rainfall/design storms during summer | 19 combined sewer overflows (CSOs) to receiving waters of Crewe urban drainage catchment area (combined sewer system serving a population of approx. 90,484) | Quantitative – modelled evidence (potential impact of CC on sewer system assessed applying two emission scenarios (A1F1, B1) and three global circulation models (HadCM3, CSIRO, CGCM3)) | United Kingdom/North-western England (Crewe urban drainage area) | Authors quantified the spilling volume, frequency and duration of 19 CSOs in the catchment under different CC scenarios. For the 2080s the simulation showed an increase for all three parameters under the high emissions scenario (up to 37% in total spill volume, 32% in total spill duration and 12% in spill frequency under the HadCM3 model). Under the B1 low emission scenario the system was expected to cope with CC impacts and a reduction in spill volume, frequency and duration was projected. Projected decrease of rainfall during the summer (bathing season) led to reduction of spill volume during that season but lower water levels and dilution in receiving waters could still pose a pollution threat. | 7 |
| 5      | Abdulla, F. and S. Farahat (6) | Increase in temperature Reduction of rainfall | WWTP (Central Irbid WWTP located in north-west of Irbid with design flow rate of 11,300m$^3$/day) | Quantitative – modelled evidence (potential impact of CC on performance of WWTP were assessed applying the statistical downscaling model and the HadCM3 global climate model for two emission scenarios (A2 and B2) in conjunction with a wastewater simulation model (STOAT) for | Jordan/Irbid | During the summer months, increase in (inflow) temperature combined with no change in precipitation resulted in increase of BOD (4.2-6 mg/l), COD (>4 mg/l), and TSS (up to 1.5 mg/l) removal. During the winter months an increase in (inflow) temperature combined with reduction of precipitation indicated reduction of BOD (6-8 mg/l), COD (up to 6 mg/l) and TSS (up to 2.5 mg/l) removal. | 6.5 |
| DOC ID | STUDY | CLIMATE CHANGE EFFECT/HAZARD | SANITATION SYSTEM COMPONENT/PROCESS, KNOWLEDGE CLUSTER | TYPE OF EVIDENCE | COUNTRY/LOCATION | SUMMARY OF MAIN RESULTS | AGGREGATED QUALITY SCORE |
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| 6      | Adin, A., E.R. Baumann and F.D. Warner (7) | Increasing temperature | One standard-rate trickling filter WWTP plant with capacity of 0.5 mgd and 0.17 BOD$_5$/capita/day | Quantitative – empirical evidence (measurements at plant) | USA/Nevada | Establishes general relationship between moderate temperature changes of wastewater in plant (temperature 9-22 degrees). BOD5 and SS removal more efficient in higher temperatures | 6.5 |
| 8      | Allouche, E.N. (8) | Hurricanes and other flooding mechanisms | Sewer systems in urban areas of Slidell, LA and Charles, LA | Qualitative – Reported data (Investigation into impact on Hurricane Andrew (1992), 1997 Red River flood and preliminary findings for investigation into Hurricane Katrina and Rita) | USA/Golf coastline | Fluctuation in pressure (internal/external) on sewer pipes during and after flooding event can lead to cracking of pipes, inflow of soil particles and ultimately ground/road collapse. Raw sewage pumped into lake as result of flooding of WWTP (for ten 10 days). Uprooting of trees led to damage of sewer pipes. Damage during recovery efforts (e.g., damage to sewer drains during re-erecting of electricity poles) | 3 |
| 9      | Aralp, C.L., J.J. Scheri and K. O'Sullivan (9) | Extreme weather event | Two wastewater pumping stations with peak pumping capacities of 17.5 MGD and 3.0 MGD respectively | Qualitative – Reported data (Impacts of Superstorm Sandy) | USA/New Jersey | Inundation of wastewater pumping and treatment facility. Electricity outages leading to pump failures. Pumping station inaccessible due to flooding | 2 |
| 11     | Bendel, D., F. Beck and U. Dittmer (10) | Change in rainfall pattern | Combined sewer network of provincial town with 9,900 residents | Quantitative – modelled evidence (precipitation data was generated by the stochastic precipitation generator NiedSim-Klima and applied to idealised combined sewer model) | Germany/Baden Württemberg | Total annual precipitation was not assumed to change substantially but trend towards shorter and more intensive events will lead to an increase in the frequency of overflow events. Annual CSO volumes were predicted to decrease | 8 |
| 12     | Budd, E., R.W. Babcock, Jr., D. Spirandelli, S. Shen and A. Fung (11) | Sea level rise | Separate sewer network in downtown Honolulu (53,116 m total length of pipes) | Quantitative – modelled evidence | USA/Honolulu, Hawaii | Sea-level rise led to increased groundwater level and therefore increased groundwater infiltration into pipes (up to 50% increase in | 6.5 |
| DOC ID | STUDY | CLIMATE CHANGE EFFECT/HAZARD | SANITATION SYSTEM COMPONENT/PROCESS, KNOWLEDGE CLUSTER | TYPE OF EVIDENCE | COUNTRY/LOCATION | SUMMARY OF MAIN RESULTS | AGGREGATED QUALITY SCORE |
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| 13     | Budicin, A.N. (12) | Prolonged dry periods drought | Wastewater treatment plant serving a population of 293,717 people | Quantitative – empirical evidence (analysis of WWTP data series and drought data from 2007-2015) | USA/California | Study found decreased WW flow rates and increased TIN concentrations as result of drought and related water conservation. Main impact on treatment process was found to be requirement for increased denitrifications capacity of activated sludge treatment. | 7.5 |
| 14     | Butler, D., B. McEntee, C. Onof and A. Hagger (13) | Increase in rainfall intensity and frequency | Sewer storage tank in North London | Quantitative – modelled evidence (comparison of current and future storage tank performance applying the Hadley regional climate model) | United Kingdom/London | Model predicted a 35% increase of storm events resulting in filling of the tank and an average of 57% of increase in storage required for 2080 | 7 |
| 15     | Cahoon, L.B. and M.H. Hanke (14) | Increase in rainfall intensity and frequency Sea-level rise Temperature variations | 19 coastal wastewater treatment plants (connected to separate sewer systems) | Quantitative – Empirical data (Daily flow data from four central treatment systems) | USA/North Carolina | Author investigated impact of temperature, rainfall and sea-level on inflow and infiltration. Rainfall intensity and high tides were linked to increased inflows into the wastewater treatment plants. | 7 |
| 16     | Cao, A., M. Esteban and T. Mino (15) | Sea level rise | Three wastewater treatment plants (connected to combined and separate sewer systems). Design capacities: are 433,000 m³/day (population of 716,192), 222,000 m³/day (population of 308,531) , and 12,000 m³/day (population of 38,100 people) | Qualitative – Reported evidence (experience from WWTP staff with land subsidence was used as proxy for future SLR) | Japan/Tohoku region | Risk of seawater intrusion into discharge pipe was reported. Ability to discharge treated wastewater by gravity would be dependent on level SLR and tidal cycle. Saltwater intrusion into treatment system were reported as concern as pump motors and aeration tanks were not designed to cope with high-salinity water. Rising groundwater (GW) levels lead to increased infiltration into sewer system and therefore reduced capacity of plants and exacerbated pipe corrosion. | 6.5 |
| DOC ID | STUDY ID | CLIMATE CHANGE EFFECT/HAZARD | SANITATION SYSTEM COMPONENT/PROCESS, KNOWLEDGE CLUSTER | TYPE OF EVIDENCE | COUNTRY/LOCATION | SUMMARY OF MAIN RESULTS | AGGREGATED QUALITY SCORE |
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| 17     | Chappelle, C., H. McCann, D. Jassby, K. Schwabe and L. Szeptycki (16) | Drought and declining rainfall | Wastewater collection and treatment systems in California | Mixed - Reported and expert consultation (online survey with 133 wastewater agencies and workshop with wastewater managers) | USA (California) | Concerns were reported about buoyancy caused by raised GW level and potential damage to pipes and resulting spillage of sewage into GW | 6.5 |
| 18     | Danilenko, A., E. Dickson and M. Jacobsen (17) | Increased rainfall intensity Sea-level rise Increased occurrence of drought | Wastewater collection and treatment systems | Mixed – Reported (questionnaire sent to 20 water utilities but only three responses were specific about sanitation) | USA/Seattle, New York Australia/Melbourne | Increased rainfall intensity: Changes to infiltration rates in sewer systems, increased risk of pipe failure and collapse due to changed soil moisture levels and associated subsidence Increased incidence of sewer overflows Sea-level rise: Combination of high-sea levels and heavy rains causing sewer back-up and extensive flooding Increased salinity of wastewater due to increased saline infiltration Drought: Increased potential for corrosion and odour in sewerage network due to increased sewage concentrations and declined flow rates | 6 |
| 20     | Davis, J.A. and T.A. Bursztynsky (18) | Prolonged dry periods | Wastewater treatment facilities | Quantitative – modelled and empirical data | USA/California | Authors investigated the effect of water conservation measures (as response to drought) on the | 5.5 |
| DOC ID | STUDY | CLIMATE CHANGE EFFECT/HAZARD | SANITATION SYSTEM COMPONENT/PROCESS, KNOWLEDGE CLUSTER | TYPE OF EVIDENCE | COUNTRY/LOCATION | SUMMARY OF MAIN RESULTS | AGGREGATED QUALITY SCORE |
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|        |       |                               | Primarily engineering                                   |                 |                   | performance of WWTPs. Authors concluded that there was no major harmful effect (i.e., siltation, solid deposits) from reduced flow rates (up to 20% decrease) in sewers and aerated grit chambers. Velocity-controlled grit chambers were thought to be very sensitive to flow reduction. Further, the study suggested a risk of reduced treatment efficiency due to increase pollutant concentrations | |
| 22     | Draude, S., E. Keedwell, R. Hiscock and Z. Kapelan (19) | Prolonged dry periods followed by rainfall event | Sewer systems of Cardiff and Merthyr valleys | Quantitative – Empirical data (Statistical analysis of data on sewer blockages and rainfall data) | United Kingdom/Wales (Cardiff and Merthyr Valleys) | Authors linked a higher probability of blockages with a preceding higher consecutive number of dry days | 7 |
| 23     | Flood, J.F. and L.B. Cahoon (20) | Sea level rise and high intensity rainfall event | Five coastal wastewater treatment plants connected to separate sewer system | Quantitative – Empirical data (Daily flow data from four central treatment systems) | USA/North Carolina | Authors linked increase in daily flow at WWTPs (caused by inflow and infiltration into) separate system with high intensity rainfall events and periods of high tide | 6.5 |
| 24     | Fortier, C. and A. Mailhot (21) | Change in rainfall pattern | 30 selected combined sewer overflow outfalls located in 16 municipalities in southern Quebec | Quantitative – modelled data | Canada/Southern Quebec | Projected CSO frequency and duration under CC scenario showed only minor changes in frequency and duration with seasonal variations | 8.5 |
| 25     | Gamerith, V., J. Olsson, D. Camhy, M. Hochbedinger, P. Kutschera, S. Schlobinski and G. Gruber (22) | Decrease of rainfall intensity in summer Increase of rainfall intensity in winter | Combined sewer system in Linz urban catchment (downtown Linz drained mainly by combined sewers and the 39 neighbouring communes have both combined and separate systems installed). Total storage volume of the systems is approx. 115,000 m³ | Quantitative – modelled data | Austria/Linz | Results suggested an increase of total CSO volume by 17% | 5 |
| DOC ID | STUDY | CLIMATE CHANGE EFFECT/HAZARD | SANITATION SYSTEM COMPONENT/PROCESS, KNOWLEDGE CLUSTER | TYPE OF EVIDENCE | COUNTRY/LOCATION | SUMMARY OF MAIN RESULTS | AGGREGATED QUALITY SCORE |
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| 26     | Gooré Bi, E., F. Monette, P. Gachon, J. Gaspéri and Y. Perrodin (23) | Increased rainfall intensity | Combined sewer systems of city of Longueuil (population 47,000 people) | Quantitative – Modelled data | Canada/Southern Quebec | Study investigated effects of 20% increase in rainfall intensity (CC scenario for 2041 - 2070). Authors showed that relationship between precipitation and sewer overflow variables is non-linear. Under CC conditions the model predicted an increase in discharge and sewer overflow volumes as well as an increase in pollutants being discharged to receiving water bodies | 8 |
| 27     | Govere, S., J. Chikazhe and C.T. Mandipa (24) | Variation in rainfall intensity | WWTP connected to structurally unsound separate sewer system. Design average dry weather flow of WWTP of 5.6 Ml/d | Quantitative – Empirical data | Zimbabwe/Bindura town | Study found that nutrient removal capacity of WWTP decreased during rainfall event. Study further observed a general reduction of removal capacity with increasing rainfall intensity. | 7 |
| 31     | Hlodversdottir, A.O., B. Bjornsson, H.O. Andradottir, J. Eliasson and P. Crochet (25) | Increase in rainfall intensity | Combined sewer system in downtown Reykjavik (model included total pipe length of around 46 km and 892 inspection chambers) | Quantitative – Modelled evidence | Island/Reykjavik | Authors explored current and future flood hazard in the combined sewer system. The system did not satisfy current design standards for flood control. Modelling increasing rainfall intensity led to an increase in flooded inspection chambers and overflow volumes. Flooding risk was increased for combination of CC impacts and urban development. | 7.5 |
| 34     | Hwang, J.H. and J.A. Oleszkiewicz (26) | Temperature extremes | WWTP (lab based experiment) | Quantitative – Empirical evidence (Lab based experiment with a sequencing batch reactor (SBR) in a temperature-controlled room) | Canada | Study investigated effect of sharp (as opposed to gradual) decrease of temperature on nitrification effect. Results suggested that sudden temperature drop (by 10°C) affected nitrification much more than predicted and led to 20% larger decrease of nitrification than predicted by temperature correction factor. The | 7.5 |
| DOC ID | STUDY | CLIMATE CHANGE EFFECT/HAZARD | SANITATION SYSTEM COMPONENT/PROCESS, KNOWLEDGE CLUSTER | TYPE OF EVIDENCE | COUNTRY/LOCATION | SUMMARY OF MAIN RESULTS | AGGREGATED QUALITY SCORE |
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| 36     | Kenward, A., D. Yawitz and U. Raja (27) | Flooding from storm surge and extreme rainfall events | Sewer systems and WWTPs | Mixed – Reported evidence (Majority of data from reports about bypasses and overflows from wastewater utilities to EPA) | USA/East coast | Cumulative data from 6 hardest hit states showed that 6 months after Sandy at least 11 billion gallons of untreated or partially treated sewage was discharged into water bodies as a result of Hurricane Sandy. Most discharges and overflows were caused by storm-surge but in Washington D.C. rainfall caused discharges and overflows. Severe damage to multiple treatment plants. | 5 |
| 37     | Kenward, A., N. Zenes, J. Bronzan, J. Brady and K. Shah (28) | More intense rainfall and increased frequency of extreme events | Combined sewer systems | Quantitative – Reported (nationwide web search for news articles reporting on rain-related sewage overflow) | USA | Increase in rain-related sewage overflows expected | 3 |
| 38     | Kleidorfer, M., M. Möderl, R. Sitzenfrei, C. Urich and W. Rauch (29) | More intense and prolonged precipitation | Combined sewer systems | Quantitative – Modelled evidence (generation of 250 virtual case studies for case independent conclusion, use of climate change factors (CCF)) | Austria/Innsbruck and case independent studies | Simulations showed increased CSOs due to increased rainfall intensities but authors also demonstrated that non-climate factors such increase of impervious area could have similar effect as CC effect | 7.5 |
| 39     | Kleidorfer, M., C. Mikovits, A. Jasper-Toennies, M. Huttenlau, T. Einfalt and W. Rauch (30) | More intense and prolonged precipitation | Combined sewer system of Innsbruck (total catchment area around 2,028 ha) | Quantitative – Modelled evidence (use of climate change factors) | Austria/Innsbruck | Authors carried out a sensitivity analysis to compare the impacts of higher rainfall intensity with the impacts of changes in paved urban areas: The model showed that an increase in rainfall intensity led to increased CSO and discharged pollutants. However, showing the relative importance of climate to non-climatic effects an increase of rainfall volume (CCF = 1.5) was modelled to have a comparable | 8 |
| DOC ID | STUDY | CLIMATE CHANGE EFFECT/HAZARD | SANITATION SYSTEM COMPONENT/PROCESS, KNOWLEDGE CLUSTER | TYPE OF EVIDENCE | COUNTRY/LOCATION | SUMMARY OF MAIN RESULTS | AGGREGATED QUALITY SCORE |
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| 40     | Langeveld, J.G., R.P.S. Schilperoort and S.R. Weijers (31) | Considers a likely combination of climate change effects: A prolonged dry period (reduced number of rainy days) followed by a high-intensity storm event | City wastewater management system (combined sewer system with 200 CSOs + 750,000 PE WWTP) | Quantitative – Empirical evidence (Combined analysis of long-term wastewater monitoring data and meteorological data) | The Netherlands/Eindhoven | Study demonstrated potential built-up of sediments and debris in dry period leading to blockage of discharge pipes during storm event WWTP experienced biological overloading Oxygen levels in receiving water body dropped with recovery taking days | 7 |
| 42     | Mines Jr, R.O., L.W. Lackey and G.H. Behrend (32) | Change in rainfall intensity and durations | 24 wastewater treatment plants with design capacities of 37,850 m³/day or greater | Quantitative – Empirical evidence (Analysis of data from 24 WWTPs) | USA/Georgia | Objective of study was to determine effect of rainfall intensity on volumetric flow rate to WWTPs and to determine relationship between flow-rate and influent BOD₅ and TSS concentrations. Results suggested that BOD concentrations were diluted by larger flows due to increased inflow and infiltration. There was direct positive relationship between influent BOD load and effluent BOD concentration meaning that biological capacity of WWTP might be exceeded | 7 |
| 44     | Nie, L., O. Lindholm, G. Lindholm and E. Syversen (33) | More intense and prolonged precipitation | Separate and combined sewer system covering 364.30 ha catchment | Quantitative – Modelled evidence (simulated response of present sewer system to artificial climate change scenarios) | Norway/Fredrikstad | Authors predicted that the volume of water spilling from flooding inspection chambers would be 2-4 times and total CSO would be 1.5-8 times the increase rainfall Number of flooding inspection chambers and surcharging sewers was predicted to increase substantially and irregularly | 8 |
| 45     | Nilsen, V., J.A. Lier, J.T. Bjerkholt and O.G. Lindholm (34) | More intense and prolonged precipitation | Sewer system in sub-catchment of Oslo (376 ha) | Quantitative – Modelled evidence (delta-change method used to develop time series of precipitation for the period 2071-2100. Sewer | Norway/Oslo | Simulation predicted substantial increase in CSO, flooding of inspection chamber and levels of backwater in pipes | 6.5 |
| DOC ID | STUDY | CLIMATE CHANGE EFFECT/HAZARD | SANITATION SYSTEM COMPONENT/PROCESS, KNOWLEDGE CLUSTER | TYPE OF EVIDENCE | COUNTRY/LOCATION | SUMMARY OF MAIN RESULTS | AGGREGATED QUALITY SCORE |
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| 46     | Noi, L.V.T. and V. Nitivattananon (35) | More intense and prolonged precipitation; Sea-level rise | Wastewater management system (collection and treatment) | Mixed – Reported evidence (Survey and FDGs) | Vietnam/ Ho-chi Minh City | Capacity of sewage network overloaded resulting in overflow to drainage network; Flooding of wastewater treatment site; Environmental pollution of surface water due to sewage network overflows into drainage system | 7 |
| 48     | Pang, Y., Y. Zhang, X. Yan and G. Ji (36) | Temperature variations | Constructed tidal flow wetland (lab based experiment) | Quantitative – Empirical evidence (Lab based experiment) | China | Results confirmed that in general removal capacity for N increases with increasing temperature. Cool weather and extreme cold periods were shown to lead to decreased efficiency of N removal (temperatures of experiment 4, 8, 12°C) | 7.5 |
| 49     | Plosz, B.G., H. Liltved and H. Ratnaweera (37) | Increase in winter temperature; More variable winter temperature | WWTP ($Q_{dim} = 1,450$ L/sec) | Quantitative – Empirical evidence (assessment of impact of air temperature on WWTP influent during winter time over 6-year period) | Norway/Oslo | Variation of temperature during winter period more relevant for performance of WWTP than average winter temperature; Increase in days with temporary snow melting deteriorates WWTP performance | 7.5 |
| 52     | Rizk, T. and R.P. Bhattarai (38) | More intense rainfall, more frequent flooding | Three WWTPs | Qualitative – Reported data (review of documents and WWTP records, interviews with WWTP staff) | USA/Austin, Texas | Authors summarised impacts of flood as: Flooding and total damage of WWTP, electricity failure leading to temporary plant failure, increased inflow and infiltration, damage to pumping stations, discharge of untreated and partially treated wastewater into waterways, loss of access for plant staff, | 3.5 |
| 53     | Sanders, D.A. (39) | Extreme rainfall event | Six selected WWTPs | Qualitative – Reported evidence (field visits and interviews with staff from 6 WWTP that were selected from list of 109 facilities impacted by Great Flood (1993)) | USA/Missouri | Reported impacts included: Damage to WWTPs, flooding of WWTPs, inaccessibility of WWTPs due to flooded surroundings/roads, destabilisation of roads leading to challenges for supply chains, damage to wastewater wetland flora, sewer collapse and damage to roads through sewer collapse | 5 |
| DOC ID | STUDY | CLIMATE CHANGE EFFECT/HAZARD | SANITATION SYSTEM COMPONENT/PROCESS, KNOWLEDGE CLUSTER | TYPE OF EVIDENCE | COUNTRY/LOCATION | SUMMARY OF MAIN RESULTS | AGGREGATED QUALITY SCORE |
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| 54     | Semadeni-Davies, A., C. Hernebring, G. Svensson and L.-G. Gustafsson (40) | More intense rainfall, more frequent flooding | Combined sewer system in Helsingborg serving 122,695 people | Quantitative – Modelled evidence, use of different storylines for urban development | Sweden/Helsingborg | Authors investigated the relative impacts of urbanisation and climate change (together and separately) on inflow volumes to WWTP, CSO frequency and volumes and nutrient transport. Authors predicted CC induced increase in CSO frequency and volumes as well as increased infiltration into sewers reducing their capacity. With different storylines on urbanisation scenarios the authors demonstrated the interrelation between climate and non-climate factors. | 8 |
| 55     | Shorney, F.L. (41) | More intense rainfall, more frequent flooding | WWTPs | Qualitative – Reported evidence (no method is described but author is principal engineer of WWTP) | USA/Kansas | Wastewater was bypassed/WWTP was several days out of service During flood staff had to be transported by boat to WWTP Combined sewer collapses after flood/Road collapses due to old sewers collapsing. | 2 |
| 58     | Tait, S.J., R.M. Ashley, A. Cashman, J. Blanksby and A.J. Saul (42) | More intense and prolonged precipitation | Sewer system | Mixed – Expert consultation and limited modelling | United Kingdom/ | Limited sewer model predicted around 20% of increase of flood volumes and CSO spills from climate factors. Climate factors and urbanisation combined led to around 40% of increase from sewage release from CSO or flooding | 7.5 |
| 59     | Takamatsu, M., T. Nakazato, R. Fischer, H. Satoh, F. Bonaccorso and G. Grey (43) | Extreme weather events | Wastewater treatment plants | Qualitative – Reported (results of study tour and knowledge exchange seminar comparing rom Japanese Tsunami with effects of super storm Sandy) | USA | Flooding inside WWTP caused damaged of equipment Inundation of generators and fuel pumps led to failure of emergency generators Road inaccessibility led to disruption to fuel supply chains Buoyancy of air and gas pipes and partially filled tanks caused further damage Biological treatment was impacted by sea water inundation, lack of | 4.5 |
| DOC ID | STUDY | CLIMATE CHANGE EFFECT/HAZARD | SANITATION SYSTEM COMPONENT/PROCESS, KNOWLEDGE CLUSTER | TYPE OF EVIDENCE | COUNTRY/LOCATION | SUMMARY OF MAIN RESULTS | AGGREGATED QUALITY SCORE |
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| 60     | Teichmann, M., N. Szeligova and F. Kuda (44) | More intense rainfall, more frequent flooding | Sewer systems with poor structural and technical condition | Qualitative – Reported data (multiple case studies based on secondary data) | Czech Republic | Development of sinkholes due to leaking sewers and floods causing damage of road network | 2 |
| 61     | The World Bank (45) | Increased flooding | Wastewater management systems | Mixed – Reported data utilities | Japan/various location | Authors presented data on households affected by on-floor and under-floor inundation from different rainfall events | 5 |
| 63     | Wood, D.M., A. Roche, M. Chokshi and K. May (46) | Combination of sea-level rise, higher magnitude rainfall and extreme tides | Combined sewer system of San Francisco | Quantitative – Modelled data | USA/San Francisco | Simulated higher water surfaces caused compromise of combined sewer discharge facilities. Hydraulic head was not sufficient to force water through backflow prevention devices and causes potential risk of flooding at low-points in the system. | 6 |
| 64     | Zamanian, S., M. Rahimi and A. Shafieezadeh (47) | Extreme events leading to flooding | Sewer networks | Qualitative – Reported data (reported implications from past extreme events in various river basins based on six case studies) | USA/various | Reported implications from various flooding events were: sewer overflows, damage and disruptions of WWTP leading to contamination of water bodies | 3 |

* questionnaire was sent to 20 water utilities world-wide but only three utilities gave information for climate change impacts on their sanitation system
* results related to tsunami were not included in the review
**Supplemental Material, Table S6.** Details of included literature in the systematic review – non-sewered sanitation systems (including road-based transport systems (n = 48))

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| 19     | Cooper, J.A., G.W. Loomis and J.A. Amador (48) | Increased temperatures Higher groundwater table | Septic tanks (conventional and shallow Soil treatment area (STA)- lab experiment)) | Quantitative – empirical evidence (laboratory experiment) | USA/lab experiment simulating expected climate change (CC) in the glaciated North-eastern U.S. | The effects of CC induced increased soil temperature and groundwater table on contaminant removal capacity depend on the contaminant and STA type. In the lab experiment, removal of FCB, total P and total N in shallow narrow STAs diminished under CC conditions. In contrast, total N removal in conventional STAs improved. Viral pathogens and BODS were well removed under present climate and climate change conditions. | 9.5 |
| 29     | Heath, T.T., A.H. Parker and E.K. Weatherhead (49) | Increased pluvial flooding Increased flash flooding | Pit latrines, septic tanks and latrines (unspecific) data relies mainly on interviews in selected low-income areas Sanitation and development | Qualitative - Reported and observed (Klis, FGDs and field observations) | Madagascar/Antananarivo (peri-urban and informal areas) Zambia/Lusaka (Chazanga and Kanyama peri-urban areas) Kenya/Naivasha (peri-urban areas) | Antananarivo: Collapse of latrine superstructures In flooded areas latrines become unusable and use of 'flying toilets' increases Damage to and inundation of roads leading to travel interruption Lusaka: Submerge of latrines Increase of risk of collapsing of latrines Erosion of soil around septic tanks absorption field will reduce its efficiency Naivasha: Latrines overflow and become unstable/collapse Roads become impassable | 8.5 |
| 32     | Hoque, B.A., S.R.A. Huttly, K.M.A. Aziz, Z. Hasan and M.Y. Patwary (50) | Increase of flooding | Double pit-pour flush latrines (n=720, approx. serving 800 hh and 4,800 people) installed as part of a rural water supply and sanitation intervention Sanitation and development | Quantitative – reported evidence (regular monitoring enabled pre- and post-flood comparison) | Bangladesh/rural area 60 km from Dhaka | Drop in latrine usage after flood event Damage to slabs, pits and superstructure Fencing was component that suffered most damage which was the only component that was household installed and managed | 4.5 |
| DOC ID | STUDY | CLIMATE CHANGE EFFECT/HAZARD | SANITATION SYSTEM COMPONENT/PROCESS, KNOWLEDGE CLUSTER | TYPE OF EVIDENCE | COUNTRY/LOCATION | SUMMARY OF MAIN RESULTS | AGGREGATED QUALITY SCORE |
|--------|-------|-------------------------------|-------------------------------------------------------|------------------|-----------------|--------------------------|--------------------------|
| 35     | Kazi, M.N. and M.M. Rahman (51) | Increased flooding (pluvial and tidal) | Single pit latrines in three areas (no sample size given) | Qualitative – Reported evidence | Bangladesh/Dhaka, Patuakhali and Sylhet | All sampled latrines were inundated and overflowing during times of flooding but non-climatic factors (overuse) also played a role. Overflow of was caused by rainfall, overuse, and rise of groundwater. | 4 |
| 43     | Morales, I., J.A. Amador and T. Boving (52) | Increase in temperature, Increased precipitation | Soil-based onsite wastewater treatment systems (septic tanks) | Quantitative – modelled data | North-eastern USA | Moderate temperature increase (increase of 3°C degree was modelled) in moderate to warm climate zones will increase bacterial reduction in soils. | 8.5 |
| 47     | O’Driscoll, M.A., C.P. Humphrey, Jr., N.E. Deal, D.L. Lindbo and M.A. Zarate-Bermudez (53) | Sea-level rise | Onsite Wastewater Treatment System for year round residential site | Quantitative – Empirical evidence (two years field study) | USA | Study found that N from OWTS is more mobile in raised groundwater table with implications for pollution of GW and surface water. | 8 |
| 55     | Shimi, A.C., G.A. Parvin, C. Biswas and R. Shaw (54) | Increased pluvial flooding | Latrines (unspecific) | Quantitative – Reported evidence (Questionnaire survey including 120 households) | Bangladesh/Goalanda Upazilla in Rajbari district | During two major flood events in 1998 and 2008 about 97% of the toilets became unusable due to inundation. Around 90% of the population coped by either reverting to open defecation or building temporary hanging latrines (both directly into water body). Some reports about household adaptation measures (raising base or increasing base height of toilet but no specification on extent to which this is happening). | 7 |
| 62     | Viraraghavan, T. (55) | Temperature variations/extremes | Septic tank connected to individual household | Quantitative – empirical evidence (measurement with test tile over 15 months) | Canada/Ottawa | Winter operation did not pose particular problems for septic tank operation. However, climate factors only played a reduced role for liquid temperatures in the tank as the system was connected to a household with domestic hot water and thus the temperature of liquids in the tank remained at an average of 16°C even during periods when the air temperature | 6.5 |
was below freezing point and the soil temperature outside the tank was 2.8°C. Comparatively treatment efficiency for most parameters was higher during summer and fall with higher air and soil temperature.

| DOC ID | STUDY ID | CLIMATE CHANGE EFFECT/HAZARD | SANITATION SYSTEM COMPONENT/PROCESS, KNOWLEDGE CLUSTER | TYPE OF EVIDENCE | COUNTRY/LOCATION | SUMMARY OF MAIN RESULTS | AGGREGATED QUALITY SCORE |
|--------|-----------|------------------------------|--------------------------------------------------------|------------------|------------------|--------------------------|-------------------------|
| 65     | Alfaro Marolo, C., A. Ciro German, J. Thiessen Kendall and T. Ng (56) | Increase of temperature | Road embankments / pavement (section of provincial road) | Quantitative – modelled data | Canada | Study linked projected warming temperatures with permafrost degradation beneath road embankments resulting in physical damage to pavements (lateral spreading and settlement of road embankments) | 7.5 |
| 66     | Alhassan, H.M. and J. Ben-Edigbe (57) | Variations in frequency and intensity of rainfall and flooding | Highway section / Road network performance (section of highway straight section (two-way interurban arterial) | Quantitative – empirical evidence | Malaysia | Authors found non-linear relationship between rainfall intensity and travel parameters (capacity, loss in travel time) but general decrease of road capacity form dry to wet weather. | 6.5 |
| 67     | Anarde, K.A., S. Kameshwar, J.N. Irza, J.A. Nitrouer, J. Lorenzo-Trueba, J.E. Padgett, A. Sebastian and P.B. Bedient (58) | Sea-level rise / flooding from storm surges | Bridges surrounding Freeport, Texas | Quantitative – modelled data | USA/Freeport, Texas | Study found increasing probability of bridge failure with increasing storm intensity and sea-level. | 8 |
| 68     | Aursand, P.O., R. Evensen and B.O. Lerfald (59) | Increasing temperature/Increasing rainfall | Pavements of roads in various climatic regions of Norway | Quantitative – modelled data | Norway | Study found that the positive impacts of increased temperature (reduced frost index) outweighed the negative impacts increased precipitation. Overall, the authors predicted and expected increase in pavement life time of 13-16 %. | 5.5 |
| 69     | Balakrishnan, S., Z. Zhang, R. Machemehl and M.R. Murphy (60) | Extreme events/storm | Road network performance (Houston freeway network consisting of 485 freeway links) | Quantitative – empirical data | USA/Houston | Study assessed impacts of extreme event by studying extreme travel time observations. In week of hurricane highest concentration of extreme travel time observations were made but largest magnitude of extreme observations was recorded in the weeks after the hurricane. Thus studies shows the prolonged | 6.5 |
| DOC ID | STUDY | CLIMATE CHANGE EFFECT/HAZARD | SANITATION SYSTEM COMPONENT/PROCESS, KNOWLEDGE CLUSTER | TYPE OF EVIDENCE | COUNTRY/LOCATION | SUMMARY OF MAIN RESULTS | AGGREGATED QUALITY SCORE |
|-------|-------|-----------------------------|--------------------------------------------------------|-----------------|-----------------|--------------------------|--------------------------|
| 70    | Bil, M., R. Vodák, J. Kubeček, M. Bílová and J. Sedoník (61) | Increase in rainfall Rapid snow melt | Road network performance on various roads in Czech Republic | Quantitative Empirical/reported data | Czech Republic/various | Authors investigated six major natural disasters which were all induced by extreme rainfall or by rapid snowmelt and resulted in floods and landslides. Impacts were evaluated with respect to damage to road networks and decreased serviceability. During one of the events the road network serviceability was reduced by up to 30% and the ratio of network lengths was reduced to 78.4% | 7 |
| 71    | Bilodeau, J.P., F.P. Drolet, G. Doré and M.F. Sottile (62) | Rising temperature | Pavement | Quantitative – modelled data | Canada/Quebec | Study showed mixed impacts of increased winter temperature: Positive impact by reducing pavement damage caused by frost heaves. Negative impact: increase in the number of winter thaw events resulting in increasing damage of permanent deformation in the granular base and by fatigue in the asphalt concrete layer. Overall reduction of life-time of flexible pavements is expected | 7 |
| 72    | Bucar, R.C.B. and Y.M. Hayeri (63) | Increasing precipitation SLR | Road network performance in Hoboken, New Jersey (36 miles of road) | Quantitative – modelled data (Evaluated the impacts of different magnitude storm events under low and high tide on the surface transportation system with respect to VHT (vehicle hours travelled) \cdot VMT (vehicle miles travelled) and trips completed (TC)) | USA/Hoboken | Study associated disrupted links with higher tide and storm magnitudes. Comparing low and high tide scenarios showed a drop in TC of up to 2.5%, while VHT and VMT increased by up to 41% and 19% when compared to scenarios with the storm magnitudes. | 7 |
| 73    | Chang, H., M. Lafrenz, W. Jung Il, M. Figliozzi and D. Platman (64) | Flooding (pluvial) | Road network performance in Johnson and Fanno Creek in the USA/Portland, Oregon (Johnson Creek and Fanno Creek) | Quantitative – modelled data | Study found relatively small impact of flooding on VMT but flooding was associated with | 7.5 |
| DOC ID | STUDY | CLIMATE CHANGE EFFECT/HAZARD | SANITATION SYSTEM COMPONENT/PROCESS, KNOWLEDGE CLUSTER | TYPE OF EVIDENCE | COUNTRY/LOCATION | SUMMARY OF MAIN RESULTS | AGGREGATED QUALITY SCORE |
|--------|-------|-----------------------------|----------------------------------------------------------|-----------------|------------------|-------------------------|------------------------|
| 74     | Chang, H., M. Lafrenz, I.-W. Jung, M. Figliozzi, D. Platman and C. Pederson (65) | Increase in winter precipitation | Road network performance in Johnson and Fanno Creek in the Portland metropolitan area | Quantitative – modelled data | USA/Portland, Oregon (Johnson Creek and Fanno Creek) | Study found negligible increases in VMT but substantial increase in vehicle hour delay as result of flooding | 8.5 |
| 75     | Chen, X. and Z. Zhang (66) | Extreme weather (hurricanes) | Pavements in District 02 in New Orleans | Quantitative – empirical data | USA/New Orleans | Authors linked pavement performance damage to sustained flooding and heavy trucking/vehicle loading during clean-up of debris | 6 |
| 76     | Diakakis, M., N. Boufidis, J.M. Salanova Grau, E. Andreadakis and I. Stamos (67) | Extreme precipitation / Flash flooding | Road infrastructure/bridges and road network performance | Mixed – empirical data | Greece/Mandra | Study found extensive impact of flooding with ~40% of road network being inundated or inaccessible and over 80% of river crossings suffering structural damages. Road networks were affected heavily with substantial increase in travel time and drops in vehicle speed. Large portions of road were inaccessible due to missing connection links (rather than due to flooding) | 6.5 |
| 77     | Ebuzoeme and O. Divine-Favour (68) | Pluvial flooding | Road network performance in six communities in Awka Anambra State | Quantitative – reported evidence | Nigeria/Awka (six communities) | Survey results found road congestions and accidents as reported impacts of flooding. | 6 |
| 78     | Elshaer, M., M. Ghayoomi and J.S. Daniel (69) | Increased precipitation / rising groundwater levels | Pavements (three pavement cross sections) | Quantitative – modelled data | USA | Simulation showed that pavement structure loses significant structural capacity when unbound material layers were saturated. | 8 |
| 79     | Friedrich, E. and S. Timol (70) | Sea-level rise | Road networks (major roads within 2 m and 4 m contour along coast and river mouths) | Quantitative – modelled data | South Africa/eThekwini Municipality | Study modelled traffic volume changes, travel time and volume to capacity ratio | 8.5 |
| 80     | Hemed, A., L. Ouadif, L. Bahi and A. Lahmili (71) | Increased precipitation Increased temperature | Road pavements (road section) | Quantitative – empirical data | Morocco/Province of Chefchaouen | Study showed mixed impacts of increased winter temperature: Positive impact by reducing pavement damage caused by frost heaves. Negative impact: increase in the number of winter thaw events resulting in increasing rutting | 5 |
| DOC ID | STUDY | CLIMATE CHANGE EFFECT/HAZARD | SANITATION SYSTEM COMPONENT/PROCESS, KNOWLEDGE CLUSTER | TYPE OF EVIDENCE | COUNTRY/LOCATION | SUMMARY OF MAIN RESULTS | AGGREGATED QUALITY SCORE |
|--------|-------|-----------------------------|--------------------------------------------------------|------------------|------------------|------------------------|--------------------------|
| 81     | Ismail, M.S.N. and A.N.A. Ghani (72) | Flooding | Road pavements | Qualitative – Reported evidence | Malaysia/Kedah state | Study described common damages after flooding as block cracking and edge cracking | 4 |
| 82     | Jacobs, J.M., L.R. Cattaneo, W. Sweet and T. Mansfield (73) | Sea-level rise Flooding | Road networks | Quantitative – modelled data | USA/East Coast | Study assessed magnitude of transportation impacts from nuisance flooding under current and future climate scenario. Nuisance flooding was found to cause over 100 million hours of vehicle-hour delay annual and is simulated to substantially increase under future sea-level scenarios | 8 |
| 83     | Lu, Q.-C. and Z.-R. Peng (74) | Sea-level rise Flooding | Road networks in south Miami | Quantitative – modelled data | USA/Miami, Florida | Study links different scenarios of SLR to increases in travel time and road accessibility reduction | 7 |
| 84     | Mallick, R.B., J.M. Jacobs, B.J. Miller, J.S. Daniel and P. Kirshen (75) | Increased precipitation Increased temperature | Road pavements | Quantitative – modelled data | USA | Authors use Monte-Carlo simulation to investigate impact of different changes in temperature and precipitation on road rehabilitation. For all scenarios there will be an increase in demand for rehabilitation | 7.5 |
| 85     | Mallick, R.B., M.J. Radzicki, J.S. Daniel and J.M. Jacobs (76) | Various | Road pavements | Quantitative – modelled data | USA | Results predict an average pavement life decrease from 16 to 4 years over the span of 100 years (due to increase in number of 100% saturation months, number of inundation and increase in maximum pavement temperature) | 6.5 |
| 86     | Mills, B.N., S.L. Tighe, J. Andrey, J.T. Smith and K. Huen (77) | Various | Road pavements (multiple study sites in southern Canada) | Quantitative – modelled data | Canada/Various | Study showed that increasing temperatures will lead to reduced low-temperature cracking of pavements but structures will freeze later and thaw earlier and experience higher in-service pavement temperature resulting in increased rutting. Overall CC | 8.5 |
| DOC ID | STUDY | CLIMATE CHANGE EFFECT/HAZARD | SANITATION SYSTEM COMPONENT/PROCESS, KNOWLEDGE CLUSTER | TYPE OF EVIDENCE | COUNTRY/LOCATION | SUMMARY OF MAIN RESULTS | AGGREGATED QUALITY SCORE |
|--------|-------|------------------------------|--------------------------------------------------------|-----------------|-----------------|------------------------|-------------------------|
| 87     | Mitsakis, E., I. Stamou, M. Diakakis and J.M.S. Grau (78) | High intensity rainfall events Storm | Road networks in metropolitan area of Athens | Quantitative – empirical data | Greece/Athens | Investigated impacts of high-intensity storms with the case of the February 2013 storm in Athens. Results highlighted disruptive effects of flooding on transport network in terms of increased travel times, significant changes in routing and substantial speed drops. Specifically, analysed impacts on freight travel and showed reduced distance travelled (probably due to planned trips being cancelled or postponed) | 6 |
| 88     | Mitsakis, E., I. Stamos, A. Papanikolaou, G. Aifadopoulou and H. Kontoes (79) | Extreme heat | Road networks in Peloponnesus (178,734 directed roads and 70,137 nodes) | Quantitative – modelled data | Greece/Peloponnesus | Study linked closures of roads and travel interruption to wildfires | 6.5 |
| 89     | Mndawe, M.B., J.M. Ndambuki, A.A. Badejo and R. Dunbar (80) | Increased rainfall | Road pavements (roads P434 and P443 within uMkhanyakude District Municipality) | Quantitative – modelled data | South Africa/ uMkhanyakude District Municipality | Study found that CC effects on precipitations (50-year projection) will be minimal and impacts on pavement life will be negligible relative to natural weather variability. | 7 |
| 90     | Mosqueda, G., K.A. Porter, J. O'Connor and P. McAnany (81) | Extreme weather (hurricanes) | Bridges | Qualitative – empirical data | USA/Gulf Coast | Study investigated impact of hurricane on bridges (amongst others): Hurricane was found to damage bridges and lead to (temporary) bridge closures and related traffic interruptions. Structural damage to bridges were linked with hits from barges, containers and debris, fast-moving waters undermining bridge piers and causing flooding of control rooms of moveable bridges. | 4 |
| 91     | Praharaj, S., T.D. Chen, F.T. Zahura, M. Behl and J.L. Goodall (82) | Coastal flooding SLR | Road network in Norfolk Virginia | Quantitative – empirical and modelled data | USA/Norfolk Virginia | Study found statistically significant reduction in VHT and VMT as a result of drivers abandoning trips due to recurrent flooding | 8.5 |
| 92     | Pyatkova, K., A.S. Chen, D. Butler, Z. | Flooding | Road networks in city of Marbella | Quantitative – modelled data | Spain/Marbella | Study compared normal (dry) with flooded traffic scenario and | 8 |
| DOC ID | STUDY | CLIMATE CHANGE EFFECT/HAZARD | SANITATION SYSTEM COMPONENT/PROCESS, KNOWLEDGE CLUSTER | TYPE OF EVIDENCE | COUNTRY/LOCATION | SUMMARY OF MAIN RESULTS | AGGREGATED QUALITY SCORE |
|--------|-------|------------------------------|--------------------------------------------------------|------------------|-----------------|-------------------------|--------------------------|
| Vojinović and S. Djordjević (83) | | | | | | observed that congestion did not evolve proportionally with reduction of traffic capacity and knock-on effects on traffic systems may be revealed delayed. Locations of traffic disruption could not be directly associated with areas of closed streets which showed that congestion can be related to lost connectivity far from flooded areas. | |
| Qiao, Y., J. Santos, A.M.K. Stoner and G. Flinstch (84) | Increased precipitation, Increased temperature | Road pavements | Quantitative – modelled data (modelling applied to typical pavements in three case studies in Virginia (Bristol, Richmond and Roanoke)) | USA/Virginia | Simulation showed that for most cases surface degradation of asphalt-concrete pavements will increase under CC conditions. | 8.5 |
| Read, W. and D. Reed (85) | Extreme weather | Road network disruptions | Mixed – reported evidence | USA and Canada | Study investigated road closures and traffic disruptions during storm event. Road closures were associated with fallen trees and rocks that blocked traffic (67%), fallen powerlines and flooding (10%) and high crosswinds (6%), or due to mudslides, traffic light failures and road accidents (17%). Several bridges were closed leading to access problems for commuters. Results showed interdependence between power delivery and transportation (downed powerlines and traffic light failures caused road closures) | 3 |
| Shao, Z., G. Jenkins and E. Oh (86) | Decreased precipitation, Increased temperature | Road pavement performance | Quantitative – modelled evidence | Australia | Results suggested that decreased precipitation will have positive impact on slow flexible pavement deterioration but increasing temperature and solar radiation causes pavement materials to expand and increases surface degradation | 6.5 |
| Stoner, A.M.K., J.S. Daniel, J.M. Jacobs, | Various | Road pavement performance | Quantitative – modelled evidence (modelling quantifies impact of | USA/Various | Study found general trend for reduced lifetime of current pavement designs under CC | 8.5 |
| DOC ID | STUDY                  | CLIMATE CHANGE EFFECT/HAZARD         | SANITATION SYSTEM COMPONENT/PROCESS, KNOWLEDGE CLUSTER | TYPE OF EVIDENCE               | COUNTRY/LOCATION | SUMMARY OF MAIN RESULTS                                                                 | AGGREGATED QUALITY SCORE |
|--------|------------------------|--------------------------------------|--------------------------------------------------------|--------------------------------|------------------|--------------------------------------------------------------------------------------------|--------------------------|
|        | K. Hayhoe and I. Scott-Fleming (87) | three different future climates on pavement performance in 24 locations across USA | three different future climates on pavement performance in 24 locations across USA | conditions but magnitude of impact was found to be dependent on pavement types and location | USA/Boston       | For 2000 – 2100 study predicted and increase in delays (80%) and trips lost (82%) under CC scenarios. Coastal floods were found to result in greater reduction in number of trips while riverine floods were associated with greater increases in VMT and VHT. | 7.5                      |
| 97     | Suarez, P., W. Anderson, V. Mahal and T.R. Lakshmanan (88) | Coastal flooding (sea-level rise) | Road network disruptions in Boston Metro area | Quantitative – modelled evidence | USA/Boston | For 2000 – 2100 study predicted and increase in delays (80%) and trips lost (82%) under CC scenarios. Coastal floods were found to result in greater reduction in number of trips while riverine floods were associated with greater increases in VMT and VHT. | 7.5                      |
| 98     | Sultana, M., G. Chai, S. Chowdhury and T. Martin (89) | Extreme weather/flooding | Road pavement performance | Quantitative – modelled evidence | Australia/Queensland | Study observed that a recent flooding event caused rapid increase in deterioration of the structural and surface conditions such as roughness and rutting of the pavement | 7                        |
| 99     | Sultana, M., G. Chai, S. Chowdhury and T. Martin (90) | Increased precipitation/flooding | Road pavement performance (case study from one street in suburb in south Brisbane) | Quantitative – empirical evidence | Australia/Brisbane | Study linked flooding to increases in roughness and rutting of pavements and increased number of pavement repairs. The study concluded that flooding escalates the deterioration of the road pavements and increases the need for frequent rehabilitation | 5.5                      |
| 100    | Sultana, M., S. Chowdhury, G. Chai and T. Martin (91) | Increased occurrence of extreme weather / flooding | Road pavement performance based on six Brisbane City Council streets | Quantitative – empirical evidence | Australia/Brisbane | Study simulated the effects of pavement saturation due to flooding. In some cases, the pavements did not regain their pre-flooding strength even after the water recedes. Thus increased frequency of extreme weather events and flooding was found to increase demand and costs of road maintenance | 7.5                      |
| 101    | Thiam, P.M., G. Dore and J.P. Bilodeau (92) | Increased precipitation | Road pavement performance | Quantitative – modelled evidence | Canada/Quebec | Study associated expected increase in precipitation with significant impacts on pavement performance with an approximate reduction of long-term performance by up to 15%. | 6.5                      |
| DOC ID | STUDY | CLIMATE CHANGE EFFECT/HAZARD | SANITATION SYSTEM COMPONENT/PROCESS, KNOWLEDGE CLUSTER | TYPE OF EVIDENCE | COUNTRY/LOCATION | SUMMARY OF MAIN RESULTS | AGGREGATED QUALITY SCORE |
|--------|-------|------------------------------|--------------------------------------------------------|-----------------|-----------------|-------------------------|------------------------|
| 102    | Tighe, S.L., J. Smith, B. Mills and J. Andrey (93) | Increased precipitation | Road pavement performance | Quantitative – modelled evidence (based on six test sites) | Canada | Results linked climate change impacts (increased precipitation) to exacerbated pavement rutting and cracking and as a result reduced design life. However, the impacts of CC were found to be modest in relation to regional climate variation and the impacts of increased future traffic. | 8 |
| 103    | Ying, L.K. and A.N. Abdul Ghani (94) | Increased precipitation | Road pavement performance | Quantitative – empirical evidence | Malaysia/Penang state | Study found that rate of deterioration of structural strength and surface conditions of pavements will increase with increasing frequency of extreme rainfall events and flooding | 6 |
| 104    | Zhang, Z., Z. Wu, M. Martinez and K. Gaspard (95) | Extreme weather (hurricane flooding) | Road pavement performance | Quantitative – empirical evidence (considering three pavement types) | USA/New Orleans | Study investigated impacts of flooding during and after hurricane. Damage was found to be dependent on pavement type and exposure to flood waters with asphalt-concrete (AC) pavements in low-elevations most affected (reduction of stiffness of AC layer and sub-grade) | 7 |
| DOC ID | STUDY | CLIMATE CHANGE EFFECT/HAZARD | SANITATION SYSTEM COMPONENT/PROCESS, KNOWLEDGE CLUSTER | TYPE OF EVIDENCE | COUNTRY/LOCATION | SUMMARY OF MAIN RESULTS | AGGREGATED QUALITY SCORE |
|--------|-------|------------------------------|------------------------------------------------------|------------------|------------------|------------------------|------------------------|
| 7      | Alam, S.S., A.J. Alam and S. Rahman (96) | Increased flooding (pluvial) caused by more intense rainfall events and more frequent/intense extreme events | Sanitation systems in two in slum settlements (mostly community toilets) connected to septic tanks or sewerage system which is poorly designed | Qualitative – Reported (KII and FGDs) | Bangladesh/Dhaka (Beguntila and Dhalpur slums) | Overflow of septic tanks Open defecation as coping mechanism with flooded toilets Poorly designed sewerage systems get blocked during rainfall | 7 |
| 33     | Howard, G. and J. Bartram (97) | Increased and more intense rainfall Decreased rainfall and more frequent occurrence of drought | Pit latrines, septic tanks, wastewater collection and treatment systems | Qualitative – Expert consultations (series of KII and online survey, impact/vulnerability assessment also heavily relies on literature review) | Global | Decreased rainfall: Soil erosion might destabilise latrine pits Lack of water leading to operational challenges with septic tanks Build-up of blockages and deposition of solids in sewer Increased rainfall: Pit latrine flooding leading to contamination Rising groundwater can lead to flooding of pit and contamination of shallow groundwater Flooding was associated with challenges of septic tank drainfield operation and causing tank flotation as well as potential contamination from drainfield and tank flooding Increase of combined sewer overflows and backflowing of sewage Sea-level rise: Risk of backflow of wastewater | 33 |
| 18     | Clemenz, N., R. Boakye and A. Parker (98) | Increased and more intense rainfall Decreased rainfall and more frequent occurrence of drought | Septic tanks, sewer system in two low-income communities in Ghana (approx. 15,000 residents each) | Qualitative - Reported and observed (KII, FGDs and field observations) | Ghana/low-income communities in Accra | Contamination from overflowing of septic tanks and damaged sewer pipes Lack of water for flushing of toilets due to electricity outages | 18 |
| Reference | Sanitation and development | Description | Methodology | Location |
|-----------|----------------------------|-------------|-------------|----------|
| McGill, B.M., Y. Altchenko, S.K. Hamilton, P.K. Kenabatho, S.R. Sylvester and K.G. Villholth (99) | Decreased rainfall and more frequent occurrence of drought | Pit latrines and flush toilets connected to sewerage system in Ramotswa peri-urban town (approx. 37,500 people) | Mixed – Empirical and reported evidence (KII interviews about sanitation behaviour, measurements of groundwater contamination) | Botswana/Ramotswa aquifer During water restrictions people abandon flush toilets and use pit latrine Pit latrines are thought to contribute to groundwater pollution |
| Purwar, D., R. Sliuzas and J. Flacke (100) | Flooding due to extreme weather event (typhoon) | Septic tanks, toilets connected to drains and releasing waste into swamp water and sewer connections in Catmon (27 informal settlements, approx. 5,000 hh and population of 31,137) | Qualitative – Reported evidence (FDGs, expert interviews and field observations) | Philippines/low-income area of Manila Toilets became non-functional due to lack of water supply (leadr to electricity failure) Inundation of toilets People were unable to use toilets for multiple days and opted for open defecation |
Supplemental Material, Figure S1. Regional distribution of evidence
### Supplemental Material, Table S8. Failure mode matrix including only results from studies scoring 7.5 (75%) or above in aggregated relevance of evidence and quality of reporting score (n = 40)

| Climate change effect                                      | Potential hazards and changes | Relevance for urban sanitation failure modes (FM) according to Peal et al. (2020) |
|-----------------------------------------------------------|------------------------------|----------------------------------------------------------------------------------|
| More frequent or intense storms or cyclones               |                              |                                    |
|                                                            |                              | Faecal sludge not contained not emptied                                          |
|                                                            |                              | Faecal sludge and supernatant not delivered to treatment                          |
|                                                            |                              | Faecal sludge and supernatant not treated                                        |
|                                                            |                              | Wastewater not delivered to treatment                                             |
|                                                            |                              | Wastewater not treated                                                            |
|                                                            |                              |                                    |
| High-intensity rainfall, increased flooding, erosion and landslides |                              | Damage to pits or superstructures making latrine unusable                        |
|                                                            |                              | People ‘drain’ toilets during flood event                                         |
|                                                            |                              | Flooding and damage to wetland flora                                             |
|                                                            |                              | Increase frequency and/or spill volumes of CSO                                   |
|                                                            |                              | Flooding and damage to WWTP structure and equipment                               |
|                                                            |                              |                                    |
| More intense and prolonged precipitation / More frequent or intense storms or cyclones |                              | Pits overflow/collapse leading to faecal contamination                           |
|                                                            |                              | Structural damage to pavements                                                    |
|                                                            |                              | Increased risk of urban flooding (overflow of inspection chambers, flooding of basements) |
|                                                            |                              | Flooding of WWTP leading to temporary system failure and discharge of raw sewage |
|                                                            |                              |                                    |
| More variable or declining rainfall or run-off             |                              | Toilets become inundated/inaccessible (causing people to abandon toilets and revert to open defecation) |
|                                                            |                              | Road collapse or development of sinkholes due to destabilisation of soil caused by damaged sewers |
|                                                            |                              | Increased risk of pipe damage due to changed soil moisture and associated subsidence |
|                                                            |                              | Road interruptions leading to disruption of site access for WWTP staff and supplies |
|                                                            |                              |                                    |
| More extreme winds                                         |                              | Electricity failure resulting in lack of water supply and non-functioning of toilets |
|                                                            |                              | Damage to roads infrastructure elements other than pavements (e.g., bridges)      |
|                                                            |                              | Sewer blockages after event because of sand, debris or solid waste entering sewers and pump stations |
|                                                            |                              | Pollutant load exceeding biological treatment capacity of WWTP                   |
|                                                            |                              |                                    |
| Changes to groundwater recharge and groundwater levels     |                              | Inundation of drainfields                                                        |
|                                                            |                              | Road capacity decreases/ Increase in congestions/Travel time increases            |
|                                                            |                              | Electricity failure leading to failure of pumps                                    |
|                                                            |                              | Damage to sewer pumps and mains                                                   |
|                                                            |                              | Inflow and infiltration rates into sewers system resulting in overflow to drainage system |
|                                                            |                              | Overload of sewer system resulting in depression of capacity of WWTP              |
|                                                            |                              | Reduced nutrient removal capacity during high intensity rainfall events (e.g., due to reduced retention time and high nutrient load) |
|                                                            |                              |                                    |
| Contamination of and damage to surface water and groundwater supplies |                              | Higher pollutant concentrations in receiving waters due to increase in CSO spill volumes/ frequency |
|                                                            |                              | Contamination of receiving water bodies due to WWTP failure                        |
|                                                            |                              |                                    |
| Changes to inflow and infiltration rates                   |                              | Floatation and damage of septic tanks due to high GW levels                      |
|                                                            |                              | Structural damage to pavement (destabilisation of the substrate)                 |
|                                                            |                              | Inflow and infiltration into separate systems causes higher inflow into WWTP that stretch their design capacity |
|                                                            |                              |                                    |
| More extreme winds                                         |                              | Flooding of pits from below                                                      |
|                                                            |                              | Higher groundwater pollution risk                                                  |
|                                                            |                              | Uprooting of trees and replacement of damaged electricity poles leading to damage of sewer pipes |
|                                                            |                              | Damage to WWTP infrastructure/buildings                                           |
|                                                            |                              |                                    |
| More variable or declining rainfall or run-off             |                              | Pit latrines used as coping mechanism due to water restrictions resulting in faecal contamination of GW |
|                                                            |                              | Reduced slow pavement deterioration                                               |
|                                                            |                              | Higher risk of blockages in sewer system and discharge pipes                     |
|                                                            |                              | Higher concentration of wastewater leading to less effective treatment            |
|                                                            |                              | Corrosive influent damages equipment in treatment plant                           |
|                                                            |                              |                                    |
| More variable or declining rainfall or run-off             |                              | Low moisture content of soil leading to erosion and damage of subsurface structures |
|                                                            |                              | Increased risk of urban flooding (overflow of inspection chambers, flooding of basements) |
|                                                            |                              | Excess deposition due to low flow                                                  |
|                                                            |                              |                                    |
| Climate change effect | Potential hazards and changes | Relevance for urban sanitation failure modes (FM) according to Peal et al. (2020) |
|-----------------------|------------------------------|--------------------------------------------------------------------------------|
|                       |                              | **FM1** | **FM2** | **FM3** | **FM4** | **FM5** |
| Reduced surface water flows | Decreasing levels of hydro-electric productivity resulting in failure of mechanical GW pumps providing water for pour-flush toilets | Faecal sludge not contained not emptied | Faecal sludge and supernatant not delivered to treatment | Faecal sludge and supernatant not treated | Wastewater not delivered to treatment | Wastewater not treated |
| Reduced groundwater levels/ resources | Lower GW pollution risk from pit latrines |                              |                              |                              |                              |                              |
| Rising groundwater levels in coastal/low-lying zones | Higher groundwater/surface water pollution risk caused by increased mobility of pollutants from septic tanks drainfields |                              |                              |                              |                              |                              |
| Sea-level rise |                              |                              |                              |                              |                              |                              |
| Saline intrusion in coastal/low-lying zones |                              |                              |                              |                              |                              |                              |
| High water levels (potentially contributing to flooding, erosion, landslides) | Road capacity decreases/increase in congestions/Travel time increases |                              |                              |                              |                              |                              |
| More variable or increasing temperatures | Higher ambient air temperatures | Damage to road pavements because of degradation of permafrost or other freeze and thaw effects | Increasing winter temperature might increase efficiency of FST in septic tank systems | Increasing temperature might increase efficiency of biological WWT |                              |                              |
| Hot and cold temperature extremes | Roads become inaccessible because of wildfires |                              |                              |                              |                              |                              |

| Negative impact | Number of studies | Positive impact | Number of studies |
|-----------------|-------------------|-----------------|-------------------|
| No original evidence found | 1-3 | No original evidence found | 1-3 |
| 4-6 | 7-10 | 4-6 | 7-10 |
| < 10 |
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