Prenatal detection of distal 1q21.1q21.2 microduplication with abnormal ultrasound findings

Two cases report and literature review

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Abstract

Rationale: 1q21.1 duplication is an uncommon chromosomal submicroscopic imbalance which is associated with growth/mental retardation, dysmorphic features, autism, multiple congenital and neuropsychiatric disorders.

Patient concerns: Two pregnant women underwent amniocentesis for cytogenetic analysis and chromosomal microarray analysis (CMA) following abnormal ultrasound findings. Case 1 presented short nasal bone and case 2 showed absent nasal bone, ventricular septal defect and umbilical cord circling in ultrasonic examination.

Diagnoses: G-banding analysis showed that the two fetuses presented normal karyotypic results while CMA detected 1.796 Mb (case 1) and 1.242 Mb (case 2) microduplications in the region of 1q21.1q21.2 separately. Furthermore, the CMA also revealed a 1.2 Mb microdeletion of 8p23.3 in case 1.

Interventions: The couple in case 1 chose to terminate the pregnancy, while the couple in case 2 continued the pregnancy and finally delivered a male infant who presented low nasal bridge and ventricular septal defect.

Outcomes: The 1q21.1q21.2 duplications in our report were located in the distal 1q21.1 region, overlapping with 1q21.1 duplication syndrome. Case 2 was the first reported live birth with 1q21.1 duplication according to prenatal CMA detection in China.

Lessons: The genotype-phenotype of 1q21.1 duplication is complicated due to the phenotypic diversity, incomplete penetrance, and lack of obvious characteristics. So it is difficult to predict the postnatal development and health conditions clinically. Hence, long term follow up is necessary for newborn infants with 1q21.1 duplication, irrespective of whether the duplication is de novo or inherited.

Abbreviations: CMA = chromosomal microarray analysis, CNVs = copy number variants, OMIM = online Mendelian inheritance in man, TOF = tetralogy of fallot.

Keywords: 1q21.1 duplication, chromosomal microarray analysis, phenotypic diversity, ultrasound findings

1. Introduction

Chromosomal microdeletions and microduplications, making up a fraction of copy-number variants (CNVs), have long been associated with mental retardation, congenital abnormalities, autistic disorders and other genetic disorders. As molecular techniques with high resolution, chromosomal microarray analysis (CMA) play a critical role in detecting these chromosomal submicroscopic imbalances, which are too small to be identified through conventional karyotype analysis.[1] The deleted/duplicated size, locus and dosage-sensitive genes involved have close correlation with the abnormal manifestations in clinic.[2,3] Common CNVs associated with fetal anomalies include 22q11.21, 17q12, 16p13.11, 1q21.1, 15q13.3, and 10q21.1.[4]

Chromosomal 1q21.1 microdeletions/duplications are rare aberrations of chromosome 1. Patients with CNVs in 1q21.1 locus share similar phenotypes with 22q11.2 deletion syndrome, and they usually exhibit variable features, characterized by congenital abnormalities, intellectual disability, dysmorphic features, schizophrenia, autism and normal phenotypes.[5,6,7] Currently, it is difficult to assess the pathogenicity and clinic significance for cases carrying 1q21.1 microdeletions/duplications.
For prenatal samples presenting karyotypic results, subchromosomal gains and losses of clinic significance can be detected in approximately 1% of structurally normal pregnancies and 6% with structural malformations.\(^1\)\(^2\) Herein, we present two cases of prenatal diagnostic 1q21.1 microduplication exhibiting abnormal ultrasound findings. We also present a review on the clinical data involving similar 1q21.1 microduplication, and delineated the genotype-phenotype correlations.

### 2. Methods

Our study protocol was approved by the Ethics Committee of the First Hospital of Jilin University (No.2019–281), and the written informed consents were obtained from the couples for publication of this case report and accompanying images.

#### 2.1. Cytogenetic analysis

Chromosomal karyotypic analysis with a resolution of 300 to 400 bands was performed on G-band metaphases prepared from cultured aminotic fluid cells and peripheral blood cells according to standard protocols in our laboratory. Twenty metaphases were analyzed for all samples. The International System for Human Cytogenetic Nomenclature nomenclature was used to describe all karyotypes.[8]

#### 2.2. CMA

Genomic DNA was extracted from 10mL uncultured amniotic fluid cells using the QIAamp DNA Mini Kit (QIAGEN, Hilden, Germany) according to the manufacturer’s protocol. The CMA was performed using the CytoScan 750K array (Affymetrix, Santa Clara, CA, USA), which included genomic DNA extraction, digestion and ligation, PCR amplification, PCR product purification, quantification and fragmentation, labeling, array hybridization, washing and scanning. Thresholds for genome-wide screening were set at ≥200kb for gains, ≥100kb for losses. The detected copy number variations were comprehensively estimated by comparing them with published literature and the public databases: (1) Database of Genomic Variants (http://dgv.tcag.ca/dgv/app/home), (2) DECIPHER (http://decipher.sanger.ac.uk/), (3) ISCA https://www.iscasonsortium.org/), (4) ECARUCA (http://www.ecaruca.net) and (5) online Mendelian inheritance in man (OMIM) (http://www.ncbi.nlm.nih.gov/omim).

### 3. Case presentation

#### 3.1. Case 1

A 23-year-old, gravida 1, para 0, pregnant woman underwent ultrasound examination at 23 weeks of gestation, which indicated a short nasal bone in the fetus. Subsequently, the woman underwent amniocentesis for cytogenetic analysis and CMA detection. G-banding analysis showed that the karyotype of the fetus was 46, XY, t(1;5)(q25;q33) while the wife’s was 47, XXX. Finally, the couple chose to terminate the pregnancy according to genetic counseling based upon abnormal CMA results.

#### 3.2. Case 2

A 29-year-old, gravida 2, para 0, abortus 1, pregnant woman underwent ultrasound examination at 23 weeks of gestation, which showed absent nasal bone, ventricular septal defect and umbilical cord circling in the fetus. Afterwards, the woman underwent amniocentesis for cytogenetic analysis and CMA detection. The karyotype of the fetus was identified as 46, XY. However, CMA detected a 1.242 Mb duplication in the region of 1q21.1 q21.2. In order to identify whether the 1q21.1 microduplication of the fetus was de novo or parentally inherited, the couple accepted CMA after informed consent. It turned out that case 2 inherited the 1q12.1 microduplication from the father with normal phenotype. Based upon genetic counseling, the couple continued the pregnancy and delivered a male infant at 41 weeks gestation, whose birth weight was 3,700 g and length was 51 cm. We followed-up on the postnatal health conditions. The infant presented low nasal bridge and ventricular septal defect, and no other apparent abnormalities were observed till now.

In our study, the couples were nonconsanguineous and healthy. There was no family history of diabetes mellitus or congenital malformations in the couples. These couples denied any exposure to alcohol, teratogenic agents, irradiation, or infectious diseases during this pregnancy.

### 4. Discussion

In our study, we report two prenatal cases with 1q21.1 duplication accompanied by abnormal ultrasound findings. Case 1 presented short nasal bone, with a 1.796 Mb microduplication of 1q21.1q21.2 and a 1.2 Mb microdeletion in 8p23.3. Case 2 showed absent nasal bone and ventricular septal defect, with a 1.242 Mb microduplication of 1q21.1q21.2. The duplicated regions in both cases overlapped with 1q21.1 duplication syndrome. To our knowledge, case 2 was the first live birth with 1q21.1 duplication according to prenatal CMA detection in China. Chromosomal duplications can lead to different genetic disorders.\(^4\) 1q21.1 duplication syndrome (OMIM: 612475), an uncommon chromosomal submicroscopic imbalance, is associated with variable features characterized by growth/mental retardation, dysmorphic features, macrocephaly, multiple congenital malformations, autism, and neuropsychiatric anomalies.\(^5\)\(^6\)\(^7\)\(^8\) Currently, the incidence of this chromosomal duplication is not described. However, 1q21.1 microduplication was observed in about 0.03% of adults.\(^10\) The frequencies in patients presenting mental retardation, autism and/or congenital anomalies was between 0.103% and 0.172%.\(^6\)\(^7\)\(^9\) Multiple low copy repeats located in the chromosome 1q21.1 could cause this region susceptible to nonallelic homologous recombination, which would lead to genomic deletions and duplications.\(^11\) Two distinctive regions are included in the chromosomal 1q21.1 region: the proximal region which extends from breakpoints (BPs) 2 to BP3 spans about 0.2 Mb (chr1: 145.4–145.6 Mb, GRCh37/hg19) and distal region which extends from BP3 to BP4 spans about 1.35 Mb (chr1: 146.5–147.9 Mb, GRCh37/hg19)\(^12\)\(^13\).

The duplicated regions in our study are located in the distal 1q21.1 region, spanning from BP3 to BP4. To better characterize the genotype–karyotype correlations of distal 1q21.1 microduplication, we summarized the clinical manifestations of prenatal/postnatal cases involving similar 1q21.1 duplication with our cases according to literature review (Table 1).\(^12\)\(^–\)\(^20\) Meanwhile, we also made a direct comparison focusing on these
| No. | Sex/age | Duplicated region | Duplicated size | Inheritance | Karyotype | Chromosome microarray results (hg19) | Referred critical gene | Clinical manifestation | References |
|-----|---------|-------------------|----------------|-------------|-----------|----------------------------------|-------------------|-----------------|------------|
| 1   | M/TOP   | 1q21.1q21.2       | 1.34 Mb        | de novo     | 46, XX    | 1q21.1q21.2 (146,476,526–147,820,342) x 3 | GJA5; GJA8       | G2P1; absent nasal bone | Ji et al [12] |
| 2   | F/TOP   | 1q21.1q21.2       | 1.35 Mb        | Maternally inherited | 46, XY   | 1q21.1q21.2 (146,476,526–147,820,342) x 3 | GJA5; GJA8       | G1P0; duodenal atresia | Ji et al [12] |
| 3   | F/6m6d  | 1q21.1q21.2       | 2.69 Mb        | de novo     | 46, XY    | 1q21.1q21.2 (146,510,112–149,205,008) x 3 | GJA5; GJA8       | G2P0; absent nasal bone | Ji et al [12] |
| 4   | F/n.r.  | 1q21.1            | 258 kb         | de novo     | 46, XY    | 1q21.1 (144,337,316–144,595,988) x 3 | GJA5; GJA8       | RP1L4B         | Liao et al [14] |
| 5   | M/TOP   | 1q21.1            | 2.6 Mb         | Maternally inherited | 46, XY   | 1q21.1 (145,243,316–147,814,694) x 3 | GJA5; GJA8       | fetal overgrowth; congenital heart malformation; dilated ventricles; VSD; dilated main pulmonary artery and aorta | Verhagen et al [15] |
| 6   | F/9m6d  | 1q21.1            | 1.46 Mb        | de novo     | 46, XX    | 1q21.1 (146,324,068–147,786,706) x 3 | GJA5; GJA8       | TOF combined with aceleterocardia | Wang et al [16] |
| 7   | F/6m9d  | 1q21.1q21.2       | 1.46 Mb        | n.a.        | 46, XX    | 1q21.1q21.2 (146,324,068–147,821,717) x 3 | GJA5; GJA8       | TOF and patent foramen ovale | Liu et al [16] |
| 8   | M/5y6m  | 1q21.1q21.2       | 2.1 Mb         | Maternally inherited | 46, XY   | 1q21.1q21.2 (145,704,455–147,304,207) x 3 | GJA5; GJA8       | non-symptomatic mother; cognitive delay and behavioral disturbances | Benitez-Burraco et al [17] |
| 9   | M/12    | 1q21.1q21.2       | 1.73 Mb        | Paternally inherited | n.a.     | 1q21.1q21.2 (146,105,170–147,823,369) x 3 | GJA5; GJA8       | Developmental delay; cleft atrophy; dolichocephaly; hypertelorism; macrostomia; disordered eye movement; significant drooling; cerebral palsy; motor delay; speech delay; spastic paraplegia; autism | Matthews et al [18] |
| 10  | F/10    | 1q21.1q21.2       | 1.3 Mb         | n.a.        | n.a.      | 1q21.1q21.2 (146,503,349–147,819,438) x 3 | GJA5; GJA8       | Autism and focal motor epilepsy; hypertension; poor language skills; severe macrocephaly | Gourari et al [19] |
| 11  | F/inr.  | 1q21.1q21.2       | 1.75 Mb        | Paternal inherited | n.a.     | 1q21.1q21.2 (146074031–147828030) x 3 | GJA5; GJA8       | Biferal thumb; first metacarpal hypoplasia; congenital hip dysplasia; scoliosis; dental anomalies; short stature | Vergult et al [20] |
| 12  | F/inr.  | 1q21.1q21.2       | 1.75 Mb        | Paternal inherited | n.a.     | 1q21.1q21.2 (146074031–147828030) x 3 | GJA5; GJA8       | Bilateral radius dysplasia; Wassel type I and II; anomalies of the fifth finger | Vergult et al [20] |
| 13  | M/TOP   | 1q21.1q21.2       | 1.796 Mb       | Maternally inherited | n.a.     | 1q21.1q21.2 (146023922–147820342) x 3 | GJA5; GJA8; FBXO25; DLGAP2 | G2P1; abnormal childbearing history; 25 weeks' ultrasonic indication: short nasal bone, 25 weeks' sonography findings: absent nasal bone, VSD, circular of umbilical cord | Our case 1 |
| 14  | M/1m    | 1q21.1q21.2       | 1.242 Mb       | Paternally inherited | 46, XY   | 1q21.1q21.2 (146023934–147844778) x 3 | GJA5; GJA8       | G2P0A1; 23 weeks' sonography findings: absent nasal bone, VSD, circular of umbilical cord | Our case 2 |

*d=days, F=female, M=male, m=months, n.a.=not available, n.r.=not recorded, TOF=Tetralogy of Fallot, TOP=termination of pregnancy, VSD=ventricular septal defect, y=years. Genomic coordinates are from the GRCh37/hg19 assembly of the human genome.*
cases encompassing similar 1q21.1q21.2 duplication (Fig. 1). Most duplications were located in the region of 1q21.1q21.2 (11/14), with the remainder in 1q21.1 (3/14). All 1q21.1 micro-duplications were varied in size, from 258 kb to 2.69 Mb. The age of these cases was ranging from fetus to 12 years: 4/14 cases were de novo, 7/14 cases were parentally inherited, and 3/14 cases were not available. 7/14 (No. 1–5, 13 and 14) were prenatal cases, and 7/14 (No. 6–12) were postnatal cases. All seven prenatal cases exhibited diverse ultrasound abnormalities: abnormal nasal bone was observed in 4/7 cases (No. 1, 3, 13 and 14) and ventricular septal defect were shown in 3/7 cases (No. 4, 5 and 14). 5/7 couples finally chose termination of pregnancy. Since reports on prenatal phenotypes of 1q21.1 microduplications were limited, comprehensive genetic counseling of 1q21.1 duplication in prenatal cases should be taken seriously to avoid unnecessary abortions. For the postnatal cases, they presented a variety of clinical features with low specificity: mental/motor retardation, congenital abnormalities, autism, finger/skeletal anomalies, poor language skills, dysmorphic features and psychiatric disorders, overlapping with part clinical manifestations of 1q21.1 duplication syndrome, which demonstrated the phenotypic diversity for 1q21.1 duplication. Overall, irrespective of whether the 1q21.1 duplications were parentally inherited from seemingly normal couples or de novo, regular follow up on their growth and health condition is necessary.

Furthermore, we summarized the comparable cases overlapping duplicated CNVs of 1q21.1q21.2 (chr1: 146023922–147844778) in the DECIPHER database (72 cases) and the ISCA database (92 cases). The proportions of pathogenicity were as follows: pathogenic (77/164), likely pathogenic (43/164), uncertain (13/164), likely benign (1/164), and unknown (31/164). The pathogenic/likely pathogenic individuals accounted for more than 70% in all cases, which might infer high pathogenicity of this chromosomal anomaly.

Genetic disorders related to mental retardation, congenital abnormalities, autism or other clinical phenotypes could result from the alternation of gene dosage owing to chromosomal gains or losses. According to the DECIPHER database, a total of 11 OMIM genes exist in the region of 1q21.1q21.2, two of which are morbid genes with clinical diseases (Table 2). According to the ClinGen database, there is no available pathogenic evidence for triplosensitivity associated with the genes involved. Based upon the functions and implications, we delineated the potentially pathogenic genes which might explain the observed abnormalities and predict the possible phenotypes in future.

GJA5 (OMIM: 121013), containing three exons, encodes a gap junction protein connexin 40 which is expressed in the right ventricular outflow tract. It plays critical roles in cell adhesion, intercellular communication and heart development. GJA5 was regarded as a candidate gene which was implicated in the etiology of cardiovascular diseases in patients carrying chromosomal 1q21.1 duplication/deletion. Duplications and mutations of GJA5 were associated with Tetralogy of Fallot (TOF). Soemedi et al. observed that duplication of GJA5 alone is associated with a 10-fold increase in the risk of TOF. In addition, mutations of GJA5 were also detected in patients with arrhythmias. As the flanking gene of GJA5, the abnormal expression of GJA8 (OMIM: 600897) has also been correlated with congenital heart disease (CHD). In addition, GJA8 was associated with eye abnormalities and schizophrenia. We speculated that the duplications of GJA5 and GJA8 might be responsible for the occurrence of ventricular septal defect in case 2.
The occurrence of a parent carrying 1q21.1 duplication ranging from normal phenotypes to severe anomalies discovered among the members sharing the same 1q21.1 database, there are no available pathogenic evidence for embryonic cells and affect nervous system development. In addition, overexpression of CHD1L was closely associated with patients with TOF, double outlet right ventricle, and pulmonary artery stenosis. Besides, CHD1L might also be implicated in autism spectrum disorder, attention deficit hyperactivity disorder and language dysfunction.

PRKAB2 (OMIM: 602741) encodes the β2-subunit of AMP-activated protein kinase (AMPK), which is a serine/threonine protein kinase activated by various cellular stimuli. It is highly expressed in cardiac muscle and plays critical roles in brain function and energy metabolism. Moreover, PRKAB2 might have close association with schizophrenia since research on other genes in this 1q21.1 region are scarce, their potential functions and effects remain to be further investigated.

In addition, the CMA also detected a 1.2 Mb deletion in 8p23, which contained two critical genes: FBXO25 (OMIM: 609098) and DLGAP2 (OMIM: 605438). According to the ClinGen database, there are no available pathogenic evidence for haploinsufficiency associated with the two genes till now.

High diversity inter- and intrafamilial outcomes have been discovered among the members sharing the same 1q21.1 duplication, ranging from normal phenotypes to severe anomalies. The occurrence of a parent carrying 1q21.1 duplication without evident phenotypes makes it intractable to assess the clinic significance for offspring with the same chromosomal duplication.

In our study, case 2 inherited 1q21.1q21.2 duplication from his normally phenotypic father. The child presented low nasal bridge and ventricular septal defect, however, regular psychiatric, neurocognitive, motor skill, and neurologic status should be followed up and assessed. For the parents of case 1, since both of them presented with chromosomal abnormalities, preimplantation genetic diagnosis would be an appropriate choice if they intend to conceive again.

In this study, we delineated the clinic phenotypes and molecular cytogenetic findings of two prenatal cases carrying 1q21.1q21.2 microduplication. Our study not only enriches genotype-phenotype of 1q21.1 duplication in clinical setting, but also offers a better understanding for such chromosomal gains in prenatal diagnosis. Genetic counseling should be offered by clinicians based upon full consideration of phenotypic diversity, incomplete penetrance, and diverse phenotypic spectrum. And long term follows up involving postnatal development and clinical presentations should be assessed till adulthood, irrespective of whether the 1q12.1 duplication is de novo or inherited.

**Table 2**

| Gene       | OMIM    | Description                                             | Disease                          |
|------------|---------|---------------------------------------------------------|----------------------------------|
| GJA5       | 121013  | gap junction protein alpha 5                            | Atrial standstill, digenic (GJAS/SCN5A), Atrial fibrillation, familial, 11 |
| GJA8       | 600897  | gap junction protein alpha 8                            | Cataract 1, multiple types       |
| NBPF11     | 614001  | NBPF member 11                                          | N.R.                             |
| NBPF12     | 608607  | NBPF member 12                                          | N.R.                             |
| PRKAB2     | 602741  | protein kinase AMP-activated non-catalytic subunit beta 2| N.R.                             |
| FM05       | 603957  | flavin containing dimethylallyl monooxygenase 5          | N.R.                             |
| CHD1L      | 613039  | chromodomain helicase DNA binding protein 1 like        | N.R.                             |
| BCL9       | 602397  | BCL9 transcription coactivator                           | N.R.                             |
| ACP6       | 611471  | acid phosphatase 6, dephosphatidic                      | N.R.                             |
| GPRR9B     | 612806  | G protein-coupled receptor 80B                           | N.R.                             |
| NBPF24     | 614001  | NBPF member 11                                          | N.R.                             |

N.R. = not reported, OMIM = Online Mendelian Inheritance in Man.

**CHD1L** (OMIM: 613039), encoding a DNA helicase for chromatin-remodeling, can regulate chromatin by interacting with poly (ADP-ribose) following the process of DNA repair. High expression of **CHD1L** can be observed in different brain regions, especially in the cerebellum. Dou et al assumed that **CHD1L** can promote neuronal differentiation in human embryonic cells and affect nervous system development. In addition, overexpression of **CHD1L** was closely associated with patients with TOF, double outlet right ventricle, and pulmonary artery stenosis. Besides, **CHD1L** might also be implicated in autism spectrum disorder, attention deficit hyperactivity disorder and language dysfunction.

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