Temporal Data Performance Optimization using Preprocessing Layer

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ABSTRACT

Temporal databases forming intelligent information systems store wide range of data structures with significant data amount evolved over the time. In this paper, history of temporal models is described with regards on their usage and limitations. Performance is important part and main technology characteristics and must be handled with emphasis. Therefore, we propose two layers extending the optimizer evaluations by transforming the original user query. Preprocessing layer deals with undefined states as well as condition management of the query, which is transformed based on defined index structures and data dictionary statistics. The aim is to improve performance highlighting conversions and reducing condition evaluations. Therefore, index access paths are used, which are reflected also in the Experiment section of this paper.

Keywords: temporal database, validity, undefined state, MaxValueTime, UndefTypeValue, index, optimizer, preprocessing

INTRODUCTION

Intelligent information systems are supported by complex decision making, data processing and processes. The core part for the evaluation and complexity forms the data management, which must deal with more and more data amount over the time. Database systems are the resources for data management, preprocessing, evaluating and handling (Janáček and Kvet, 2015; Rocha and Lima, 2018). Storage capacities are now widespread. Thus, nowadays, we are more or less able to store anything regardless the structure or size. However, significant factor is just the effectivity of such system. Data characteristics evolve over the time and therefore, it is necessary to monitor the evolution, evaluate the significance and impacts of changes with emphasis on future prognoses and reactions to the situation formed in the future, but based on actual conditions. Temporal databases offer ideal solution for data management over the time. In the first part of the paper, historical evolution of temporality is mentioned with regards on the temporal characteristics, goals and means of data storage. As we can see, multiple development streams can be felt, but most of them are, unfortunately, negatively influenced by the impossibility of adopting new temporal standard. It means, as the consequence, that the temporal development and research in this field has been reduced over the years. Thus, approved standard of the relational database approach is still based on conventional principles, which means, that only actual valid data are stored effectively and each new state characteristics replace the existing data tuple. Such approach is really effective, if no historical data, nor future valid data have to be stored. Performance of the database system as the core part of the information system is reflected by multiple steps and fields. It reflects the database architecture, administration properties and settings, query optimization and index structures. In this paper, we deal with the processing steps of the query evaluations having initially request data operations till the point of obtaining result sets. Several steps had to be done by optimizer and support background processes to get the right solution for data access. In our research, we extend the principles...
by adding new layer for the pre-processing and optional post-processing techniques to get reliable data in required form as soon as possible. As we can see, our approach fills the gap of the processing and prepares better environment for optimizer based on actual index structures and transcript of the original query to the new one, which will in the last phase contain the same data, however, provided more effectively. For the purposes of this paper, we will describe NULL value management problems, implicit conversions and conditions handling of the query.

HISTORICAL TEMPORAL CHARACTERISTICS EVOLUTION

Development principles, characteristics, approaches and methods evolve over the time, are improved or even replaced by newer ones. Complex data are stored in the databases covering current trends. Common point grouping data together is just time. The requirement for accessing historical data was created almost in the same time as databases themselves. Researchers perceived the strength and consequences of historical data management, however, in the first phase, it was strictly limited by the hardware resources – disc storage capacity and price of the whole system in comparison with data profit. Larger volumes and price reductions has brought the opportunities for temporal extensions, firstly managed by the backups and log files, where, almost now, all historical data can be obtained. Effectivity is, however, very poor – log files contain all transactional data regardless the temporal attribute changes, backups as well as log files are usually stored only during strictly defined time period, afterwards, they are deleted due to disc space requirements. Thus, historical data could be obtained during the short time. Later, at the end of 80s of 20th century, architectural temporal model based on historical tables was proposed (Ahsan and Vijay, 2014; Arora, 2015). Historical data were separated from actual state conditions. It provides significantly better solution based on effectivity of data management and disc space demands. Thus, wider time spectrum was able to be managed. Even with further price reductions of the hardware components, solution became more and more expanded. As a consequence, future valid approach has been proposed with the same characteristics and historical tables – data model was divided into three parts – historical data, current data and future valid data. However, the management of the states was manual resulting in inconsistencies, update delays and loss of solution reliability. Therefore, during the 90ties of 20 century, object level temporal model has been developed consisting of two temporal spectrum – logical and physical time. Logical time corresponds the validity called by computer science and reflected to effective time notation referred by IT professionals. Validity of the object itself requires specific and non-overlapping time period – an authorized description of the object state during particular period of time. Physical time expresses the time of tuple creation, usually modelled by single date. To ensure consistency and integrity of the temporal database, new paradigm needed to be defined (Arora, 2015; Gunturi and Shekhar, 2017; Chomicki and Wilshen, 2016). Soon, extension of the SQL statements highlighting temporal layer, was proposed, however it has been never approved as standard resulting in development abolition in this field. Later, the attribute oriented approach have been proposed. All previous solutions were based on object modelling itself, by extension of the primary key using temporal characteristics. It has, however, significant disadvantages. If some data portions do not evolve over the time (static attributes) or its evolution is not necessary to be stored (conventional attributes) or even cannot be stored due to security reasons, existing approaches are not suitable. Attribute oriented approach extends the principles and models temporality using attribute granularity using three layer architecture. The principles and structure are described in (Kvet and Matiáško, 2017a; Kvet and Matiáško, 2017b). In 2016, modelling extension has been proposed, which uses grouping factors. It can be considered as the hybrid solution between object level and attribute oriented approach. Namely, some data portions can be synchronized and provided in specific form in strictly defined time. Attribute oriented approach would require to store each attribute separately, multiple Insert statements would be generated and processed. Therefore, groups of attributes are created, which can then processed as one attribute reflecting only one Insert statement into temporal system. In this case, however, it is inevitable to mention, that these groups are also temporal, their characteristics can evolve over the time and should be envisaged. Such solution can consists also of spatial data forming spatio-temporal solution. Principles and other temporal solutions are described in (Claramunt et al., 2015; Goevert et al., 2016; Johnston, 2014). Special case covers Big Data and Real Time systems (Sun and Huang, 2016).

QUERY OPTIMIZATION

There are several layers and interfaces between users and database itself. Due to security reasons, user cannot have direct access to database, which guarantees consistency and correctness of the stored data, managed also by other background processes. User is in the architecture represented by the user process on the client site. Communication is based on the server process created by background process Process Monitor (PMON) started by
The server process is a process running on the database server machine that executes the SQL (processing stages are shown in Figure 1). Receiving and processing user is a crucial part of the client-server architecture.

Existing database system defines the execution of the SQL query through four stages:

- **parse**, **bind**, **execute** and **fetch**.

First of all, the system checks whether the query is not already parsed and the execution plan prepared. If so, the existing stored version in the library cache is used with the defined execution plan. The aim of the **parse** phase is to get the path of the best possible execution of the query. It works out what the statement actually means. It interacts with instance shared pool, which is used to convert SQL into executable form. In the **bind** phase, variables are expanded into literals. Afterwards, **execution** phase is started. During this phase, data are read from the buffer cache, if applicable. Such data can be, however, too new in comparison with the timepoint of the query evaluation start point. Therefore, to solve such problems, log buffer data and log files are used to get the consistent image of the particular data blocks based on the same change number value (SCN). If the consistent image is not possible to build, e.g., due to rewriting the logs, the following exception would be raised: ORA-01555 Snapshot Too Old. If the relevant blocks are not located in the memory buffer cache, data files using tablespace must be located and particular blocks copied to the buffer cache. Then, the evaluation is the same. Finally, the result set is created and offered to the user reflecting the fetch phase. It is transferred to the user process.

For our processing and evaluations, **parse** phase is the most significant and used for another optimization to get relevant data sooner. Data treatment is in fact dealing with access methods, using defined indexes according to the actual statistics (Figure 2). Our approach extends the stages by preprocessing as the first phase.

**INDEXES & ACCESS METHODS**

One of the main features of optimization is based on using index structures. Temporal databases are oriented for state management and monitoring over the time. Getting states and individual changes in the Select statement forms the core of a major milestone of efficiency and speed of the system (Johnston, 2014; Johnston and Weis, 2010).

Index is defined as an optional structure associated with a table or table cluster that can sometimes speed data access. By creating an index on one or more columns of a table, you gain the ability in some cases to retrieve a small set of randomly distributed rows from the table. Indexes are one of many means of reducing disk I/O. If a heap-organized table has no indexes, then the database must perform a full table scan to find a value.

The absence or presence of an index does not require a change in the wording of any SQL statement. An index is a fast access path to a single row of data. It affects only the speed of execution. Given a data value that has been indexed, the index points directly to the location of the rows containing that value. Database management system automatically maintains the created indexes – changes (Insert, Delete, Update) are automatically reflected into index structures. However, the presence of many indexes on a table degrades the performance because the database must also update the indexes.

The index structure of the B+tree is mostly used because it maintains the efficiency despite frequent changes of records. B+tree index consists of a balanced tree in which each path from the root to the leaf has the same length. In this structure, we distinguish three types of nodes - root, internal node and leaf node. B+tree extends the concept of B-tree by chaining nodes at leaf level, which allows faster data sorting. DBS Oracle (used for experiments) defines the accessibility methods of two-way linked list, which makes it possible to sort ascending and descending, too (Johnston and Weis, 2010; Kuhn, 2012).
There are several types of access methods influencing the performance and method of obtaining the required data. Usage of a particular access method depends on several factors, firstly defining the suitability of the defined index and amount of data that is obtained in comparison with total amount of data in particular table. Suitability of the index is mostly based on the order of indexed columns, respectively the presence of them in the index.

In this case, we can define multiple scenarios to explain individual access methods:

1. No suitable index is defined. In that case, it is necessary to scan all the data blocks associated with the table sequentially, therefore table access full method is used with regards on High Water Mark (Johnston and Weis, 2010; Kuhn, 2012).

2. There is no condition in the query to limit data amount. Despite the fact, that we can use index, it is not appropriate because we have to access data blocks, so there will be one additional step of the processing without significant improvement. Vice versa, using such index will usually cause even processing delays and rising requirements and sources. Table access full method is used, too.

3. All required data are in the index. This case defines two situations – the order of the indexed attributes is suitable starting with Where clause followed by the Select clause. In this situation Index range scan for getting data range is used, if only one value (row) should be provided, Index unique scan is treated. Another situation occurs, if all required data are in the index, but the conditions in the Where clause do not allow to use index by trimming some branches of the processing away. Using index is suitable and faster in comparison with whole data block accessing, however, the whole index structure has to be processed. Thus, index fast full scan is used.

There are also another index types like bitmaps, hashes, functional and virtual indexes, which are described in (Arora, 2015; Kuhn, 2012; Sun and Huang, 2016).

PROPOSED PREPROCESSING LAYER

Creating effective solution with emphasis on performance, we extend the existing sequence of the optimizer processing to get more reliable data and use more effective index approach resulting in better performance – reducing system resources, costs of the processing and also time.

We will describe three principles, which will improve performance. All these solutions are developed, experienced and experimented. Query transformation as well as layer for the post-processing ensuring correct data outputs is done automatically, if the corrector is launched on the server. It requires less than 0,5% of the processing resources, however the improvements are far better.

First part of the preprocessing layer consists of the undefined values management. It must be emphasized, that such activities are usually modelled using NULL values, however, it can have special attribute denotation in the temporal system. Moreover, it has significant performance limitations – NULL values are not indexed using B-tree index structures at all. As a consequence, Table Access Full is used. Bitmap index data structure as the second index type based on usage quantity, does not provide sufficient power, although it can manage NULL values, because it main limitation is just low cardinality of column values, however, for date as well as sensor data processing, such approach is completely unsuitable. Bitmap index is mostly developed and commonly used for data warehouses and decision support systems. Moreover, it significantly degrades performance, when multiple Update statements are used. It is necessary to emphasize, that temporal data are mainly characterized by strong update streams.

Solution is based on MaxValueTime and UndefTypeValue notation, which reflects the replacing of the undefined value using pointer to the undefined value object stored in the data dictionary. It has been proposed as standalone definition, which must be user managed explicitly. Here, it is part of the automated management techniques. Therefore, we can divide NULL values themselves and undefined values on the other sphere.

The principle is based on array definition consisting of all used data types, which should be covered by undefined management notation. Such object is stored in the data dictionary and invoked into the memory during the startup, respectively opening process of the database. Then, it is permanently stored in the memory, until the database is closed – in this case, the prospective changes on these objects (registering new data types, removing unusable) are reflected and stored in the database. Each data type has assigned unique identifier consisting of the 1 byte size, which is then used as undefined value pointer.

Figure 3 shows the architecture of the proposed undefined value management solution. Left part of the figure represents the object with its state evolution over the time. For the simplicity, time spectrum is replaced by the sequence number. Such object is stored physically in the database (data files in the disc space) and optionally in the memory buffer cache during the processing. Pointer locates data dictionary object always located in the memory, if the database instance is open. Right part of the figure represents data dictionary object highlighting the undefinition of the values. Yellow numbered parts reflects individual states of the objects, specific attribute values are modelled inside. If undefined value is used, pointer to data dictionary object is used (in the right part). Each
attribute data type has its own submodel of the object (reflected by the another line type). Arrows in the Figure 3 model the pointers.

The second part forming the preprocessing layer, is based on implicit conversions. As we know, data can be automatically transformed to another data type and compared in the Where clause of the Select statement. However, what about the performance? There is a significant performance issue based on data comparison. B+tree index store particular values in the leaf layer sorted, so the range comparison is really fast. However, when the data are transformed (e.g. integer values are transformed into strings), sorting principle is corrupted. Therefore the Index Range Scan method is shifted to Full Index Scan, respectively Fast Full Index Scan, which means, that data are handled in the index in unsorted way, thus the performance is worse in comparison with standard Range Scan access (Kuhn, 2012). Automatic conversion management in the preprocessing layer is based on defined index, function based index and the whole processing approaches. Automatic evaluation reflects the defined indexes.

Figure 4 shows the implementation principle. Preprocessing layer extracts the Where clause conditions to the separate ones, implicit conversions are identified and replaced based on defined index. If no suitable is defined, original condition is left alone and processing is shifted for server management.

Defined conditions of the query often do not cover index definition, thus Table Access Full must be used regardless the number of the data stored in the table and reduction factor expressing the ratio between all table data and cardinality of the result set. The third part of the preprocessing layer deals with condition evaluations. Previous mentioned module divides conditions to the separate ones. After the implicit conversion evaluations, another process is launched. The aim is to distinguish conditions, which can improve processing method and performance itself. When original command is not suitable for index processing, in principles, two cases are possible – all data blocks must be processed sequentially. However, we propose another solution. It is based on condition transformation – some can be added, some can be even removed. However, it is only the first layer of the processing, thus user defining the query cannot get incorrect data. Important part of the condition evaluation is, that the result set of any processing step cannot be smaller than result set of the original query. Due to, Table Access Full method is obviously transformed into Index Scans, optimal solution provides index, which does not require accessing physical data blocks in the disc at all, however such option is rather occasional. Therefore missing data are loaded from the disc forming the first level of the processing. Preprocessing phase as well as parse, bind and execute phase have been executed. Now, result set is provided, however, it contains larger data amount in comparison with original query. In this step, therefore, important role plays post processing layer.

POSTPROCESSING LAYER

Post processing is a crucial part of the processing, if some conditions are corrected, replaced or even removed to highlight the performance. Input to this layer is the result set obtained by evaluating modified query. In this step, obtained data must be filtered based on original conditions. Each data tuple is evaluated and if it fits the original conditions, it is moved to the real output set, which will be later send to the user as response to the defined query. How it works, that performance of the model extended by the pre-processing and post-processing layer is better than accessing all data blocks? The principle resides in three reduction factor types, which are initially evaluated and then, module decides, whether adding or removing conditions can significantly influence performance or not. In our development, performance improvement must be higher than 10% in comparison with original conventional approach defined by optimizer that this method can even be running. It ensures, that the it will provide positive effect in terms of performance and processing costs.
At first glance it may seem that this method requires more system resources than original ones. That is absolutely true, but only if the optimization system resources are compared. However, when dealing with complex query definition, evaluation and processing, data amount is decreased significantly resulting in positive processing costs and time – not the whole data table is processed, just the part of the table delimited by defined conditions. Thus, the aim is to minimize the difference between original data amount and query executed by our processing layer.

**PERFORMANCE**

Our experiments and evaluations were performed using defined example table - employee. Figure 5 shows the structure of the table. 50 departments were used, each consisting of 1000 employees, each of them was delimited by 20 different salaries over the time. Thus, total number of rows was one million. No primary key was defined, because of the environment properties and our direct opportunity for explicit index definition. Column level temporal architecture has been used.

Experiment results were provided using Oracle Database 11g Enterprise Edition Release 11.2.0.1.0 - 64bit Production; PL/SQL Release 11.2.0.1.0 – Production. Parameters of used computer are: processor: Intel Xeon E5620; 2,4GHz (8 cores), operation memory: 16GB and HDD: 500GB.

Experiment results comparison was obtained using autotracing. These parameters were monitored:

- access method (operation),
- CPU costs (in %),
- processing time (in [hh:mi:ss]).

Data export can be downloaded in mknet.fri.uniza.sk/Shared/Employees.zip.

Undefined values management have been reflected by the attribute ED (end date of the validity), which is in standard environment undefined during the Insert operation of the actual data.

Whereas temporal characteristic requires each state to be defined by no more than one row, our defined environment limits the number of actual states to 50 000. In the following experiments, various number of actual states is used – 0,1%, 0,2%, 0,5%, 1%, 2%, 5% (Kvet and Matiaško, 2017).

Comparison of undefined time value denoted by NULL value in comparison with DateObjTime database object solution (our developed model) is used. B+tree index based on attributes ED, BD and ID is created (in such defined order) – the reason and comparison of index types can be found in (Johnston, 2014). Select clause of the statement consists of only ID attribute, thus, all data can be obtained using index with no necessity for accessing data files in the physical storage. Figure 6 shows the experiment results. As we can see, if NULL values are used, there is no other way, so Table Access Full (TAF) method must be used to avoid NULLs. If undefined value is modelled by our DateObjTime solution, all values are indexed, so Index Range Scan (IRS) with significant performance improvement can be used. Total costs and processing time reflect significant performance growth, too. If all data have actual non-limited value, 86, 67% of costs is eliminated, which reflects 86, 96% of processing time. With the reduction of the number of undefined values, the difference is even more strict – 99, 56% of costs and 86, 96%.

As we can see, processing time does not depend on number of actual data ratio. The reason is based on necessity of index loading into memory, which reflects the same time.

Graphical representation of the solution is in Figure 7.
Second part of the experiment section deals with the implicit conversions and function results processing. The principles will be described using the birth date attribute. European NLS_DATE_FORMAT has been used (DD.MM.YYYY). To get the year of the birth, several solutions can be used with various data types providing. The first function category used covers Extract and To_char function, which can be used regardless the NLS_DATE_FORMAT parameter settings. The second group covers substr function. In this case, date is automatically converted to string. As we can see, results are significantly different. Our solution manages and rewrites conditions based on actual server settings and indexes automatically.

![Figure 7. Processing costs](image)

![Figure 8. Conversion method performance](image)

![Figure 9. Conversion method performance](image)

Extract method is not influenced by the date format session parameter and provides also significant performance improvements – 68.57% for costs and 58.60% for processing time. As we can see, all these methods would provide the same results, however, our proposed solution automatically evaluates and replaces them to get better performance and reduce system resources.

The last characteristics are based on conditions management using preprocessing and postprocessing layer. In this case, reduction factor study has been performed. Using the statistics stored in the data dictionary, input rating of accessing all data blocks in comparison with using defined index (original conditions are limited) is evaluated. Then, also output rating is calculated. It reflects the ratio between processed data using added or removed conditions and the original query result itself. It must be emphasized, that the size of each step is counted and evaluated based on statistics, therefore it is inevitable to retain statistics actual. To prevent incorrect decision making, if the statistics are older than 2 days (by default), condition evaluation module is not launched and original solution is used. Results based on reduction factor are shown in Figure 10. Graphical representation is in Figure 11.

Reduction factor defines the upper limit of the amount of data to be processed compared to the total amount. As we can see, even for 30% data to be processed, performance reflects the improvement using 52.27% (full access is reference model).
CONCLUSIONS

Intelligence of the current information system is based on complex data management with regards on historical and also future valid object states. Therefore temporal database management can be considered as core of such systems. Whereas the amount of data to be processed, stored and evaluated, is still rising, it is necessary to manage, store, process and evaluate them effectively. Index definition is only one part of the complexity, because it is not possible to define index for each query, whereas the query type and also evolve. Moreover, too many indexes can very negatively influence performance, such index tree must be rebalanced during the \textit{Insert, Update} and \textit{Delete} operation causing processing delays.

Our solution proposes extension of the query processing using preprocessing layer, which can extend the technology of the query evaluation using undefined value management directly in the index and condition management. Condition interpretation is divided into two parts – handling implicit conversions and condition reduction. Therefore, defined index can be used. Consequently, original conditions are applied into preprocessed result set, which significantly shortens the data collections to be processed. Thus, performance is optimized.

Proposed solution is always launched automatically, if permitted. No other settings is necessary to be set up, however, as we can see in the Experiment section, there is significant performance benefit. In the future, we would like to extend the solution to the distributed environment, in which each node stores and proposes defined index fragments. The aim is to optimize query based on offered capabilities of the particular node, respectively to associate query to the optimal evaluation node place.

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