Genomic studies in fragile X premutation carriers

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Abstract

Background: The FMR1 premutation is defined as having 55 to 200 CGG repeats in the 5′ untranslated region of the fragile X mental retardation 1 gene (FMR1). The clinical involvement has been well characterized for fragile X-associated tremor/ataxia syndrome (FXTAS) and fragile X-associated primary ovarian insufficiency (FXPOI). The behavior/psychiatric and other neurological manifestations remain to be specified as well as the molecular mechanisms that will explain the phenotypic variability observed in individuals with the FMR1 premutation.

Methods: Here we describe a small pilot study of copy number variants (CNVs) in 56 participants with a premutation ranging from 55 to 192 repeats. The participants were divided into four different clinical groups for the analysis: those with behavioral problems but no autism spectrum disorder (ASD); those with ASD but without neurological problems; those with ASD and neurological problems including seizures; and those with neurological problems without ASD.

Results: We found 12 rare CNVs (eight duplications and four deletions) in 11 cases (19.6%) that were not found in approximately 8,000 controls. Three of them were at 10q26 and two at Xp22.3, with small areas of overlap. The CNVs were more commonly identified in individuals with neurological involvement and ASD.

Conclusions: The frequencies were not statistically significant across the groups. There were no significant differences in the psychometric and behavior scores among all groups. Further studies are necessary to determine the frequency of second genetic hits in individuals with the FMR1 premutation; however, these preliminary results suggest that genomic studies can be useful in understanding the molecular etiology of clinical involvement in premutation carriers with ASD and neurological involvement.

Keywords: Premutation, FMR1 gene, Autism, Second hit, ASD, Neurodevelopmental disorders, Neurological disorders

Background

As the FMR1 premutation (55 to 200 CGG repeats) is common in the general population (1 in 130-259 females and 1 in 450-813 males) [1], the phenotypic manifestations of carriers may impact more than 1 million individuals in the US alone. Approximately 20% of female carriers have fragile X-associated primary ovarian insufficiency (FXPOI) [2], and 40% of male carriers and 8 to 16% of female carriers have fragile X-associated tremor/ataxia syndrome (FXTAS) [3,4].

In general, developmental problems in childhood occur in approximately 15 to 20% of premutation carriers. Premutation carriers identified through cascade testing following the diagnosis of a fragile X disorder in a proband showed that 8% have a diagnosis of autism spectrum disorder (ASD) and 30% of attention deficit hyperactivity disorder (ADHD) [5]. Approximately 70% of boys with the premutation who present clinically to a center with autism diagnostic testing have ASD, whereas 60% have ADHD and 20% have intellectual disability (ID) [5].

There are many reasons for the variability of clinical involvement in carriers. As the number of CGG repeats increases, the level of the encoded product of the FMR1 gene (fragile X mental retardation protein; FMRP) decreases [6,7]. The low levels of FMRP are likely associated with both lower IQ and more emotional and behavioral problems [8,9]. In addition, the level of FMR1 mRNA increases as the CGG repeat number increases [10] leading to RNA toxicity involving sequestration of important proteins for neuronal function, such as Sam 68, DROSHA.
and DGCR8 [11] The subsequent cascade of molecular events include upregulation of heat shock proteins [12]), dysregulation of Lamin A/C [13], deterioration of mitochondrial function [14,15] and the formation of potential toxic polypeptides [16]. Neuronal cell cultures of the premutation CGG mouse (knock-in; KI) showed altered dendritic branching, early death [12], enhanced spikes [17] and mitochondrial dysfunction [18]. Cunningham and collaborators [19] have also demonstrated abnormalities in neuronal migration during development in the premutation CGG mouse. This led us to hypothesize that patients with the FMR1 premutation may be particularly susceptible to an FMR1-based ‘double hit’, which in addition to a second genetic hit will cause exacerbation of the clinical phenotype in carriers.

Copy number variants (CNVs), one of the sources leading to genetic variability in humans, can be responsible for Mendelian or sporadic traits but can also be associated with complex disorders. Indeed several studies have suggested that rare, large events can significantly contribute to the risk for a number of human disorders including ASD and ID [20-22]. Thus, we have investigated the role of genomic changes by assessing CNVs in premutation carriers to better understand the relationship with the observed clinical variability.

Methods

Study subjects

Subjects with a premutation in FMR1 were recruited through the Fragile X Treatment and Research Center at the UC Davis MIND Institute (Sacramento, CA, USA) according to a UC Davis Institutional Review Board (IRB) approved protocol and all signed consent for this study. All statistical analyses were completed using SPSS Statistics Version 21 (IBM Corporation, Armonk, NY, USA). Comparisons between groups were conducted using t-tests and chi-square tests, with a P value of less than 0.05 considered significant. A total of 56 patients with the premutation were recruited, four were females and 52 were males, and the mean age was 17.7 years old (SD 13.2 years). Of the total 56 patients: 19 had ASD (Group 1); 20 had neither ASD nor neurological problems but may have had ADHD, anxiety or other behavioral problems (Group 2); nine had ASD and neurological problems (Group 3); and eight had only neurological problems (Group 4) (Figure 1). The diagnosis of ASD was given according to the Diagnostic and Statistical Manual of Mental Disorders, 4th edition (DSM-IV) [23] and International Statistical Classification of Diseases and Related Health Problems, 10th revision (ICD-10) [24] criteria. Neurological problems included seizures, autonomic dysfunction, tremors, ataxia, weakness or paralysis. While most of the symptomatic participants were probands, most of the participants who did not have a diagnosis of ASD or neurological problems were non-probands, and only a few of these individuals were probands due to behavior problems (anxiety, ADHD and depression).

Molecular measures

CGG sizing

Genomic DNA was extracted from 3 to 5 ml of blood using standard procedure (Qiagen, Valencia, CA, USA). CGG repeat sizing was performed by PCR and Southern blot analysis as previously described [25,26].
Copy number variants (CNVs)

Rare CNVs are defined as deletions and duplications occurring at a frequency <0.1% in the general population (8,328 controls). We utilized the genomic architecture of the human genome to identify regions of rare, recurrent CNVs. Segmental duplications are large blocks of sequences (>10 Kb) with high sequence identity (>95%) and interspersed in the human genome [27,28]. Segmental duplications, due to their high sequence identity, can therefore form substrates for unequal crossover during meiosis resulting in deletions or duplications of the intervening region, termed genomic hotspots [27,29]. We utilized a previously designed custom 12-plex NimbleGen array with a total of 135,000 probes targeted to genomic hotspots for CNV detection [30]. The hotspot array consists of a high density of probes (approximately 2.6 Kb) targeting 107 genomic hotspot regions (approximately 251 Kb) and a probe spacing of approximately 36 Kb in the genomic backbone. Array hybridization experiments and analysis were performed as described previously [21]. All signal intensities from the CGH experiments were loaded onto a UCSC Genome Browser mirror (Santa Cruz, CA, USA) and manually visualized. We also called CNVs using a hidden Markov model (HMM)-based segmentation algorithm from the normalized signal intensity data. This algorithm generates a normal distribution based on the probe signal intensities for each chromosome and generates z-scores (based on a 2 SD Gaussian model) for sets of probes that are either deleted or duplicated within that chromosome [30]. CNV calls were refined by applying the following filters: z-score < |1.5|, probes <10, >50% overlap with segmental duplications and length <50 Kb. We observed how many events had >50% reciprocal overlap with 8,328 controls [31].

Cognitive and behavioral measures

The Autism Diagnostic Observation Schedule (ADOS) [32] and Autism Diagnostic Interview-Revised (ADI-R) [33] were used to diagnose ASD. Behavioral scales, the Vineland Adaptive Behavior Scales, 2nd edition (VABS-II) [34], and the Swanson, Nolan and Pelham, version IV (SNAP-IV) scale [35] for ADHD were also administered. The neuropsychological/neuropsychiatric assessments included standardized IQ tests, including different assessment methods: Stanford-Binet Intelligence Scales, 5th edition (SB-5) [36]; and Wechsler Adult Intelligence Scales (WAIS-III or WAIS-IV) [37,38].

Results

A premutation was confirmed in all individuals and the CGG repeat size ranged from 55 to 192 repeats. Of the 56 subjects included in this study, 11 (19.6%) were found to have rare CNVs (average size = 508 Kb; Table 1); three of these were in Group 1 (ASD and no neurological disorders); three in Group 2 (no ASD and no neurological disorders); one in Group 3 (ASD with neurological disorders); and four in Group 4 (no ASD with neurological disorders) (Figure 1). The frequency of CNVs was not significantly higher in carriers with neurological signs compared to the premutation carriers without neurological disorders (5/17, 29.4% versus 6/39, 15.4%, \( \chi^2 \), df 1, \( P = 0.196 \)) or among individuals with neurological disorders with and without ASD (1/9, 11.1% versus 4/8, 50%, \( \chi^2 \), df 1, \( P = 0.570 \); however, a trend was observed towards participants with neurological signs. From the 29 individuals with ASD, regardless of the presence of neurological disorders, four had a CNV (7.1%); and from the 27 individuals without ASD, seven had a CNV (12.5%, \( \chi^2 \), df 1, \( P = 0.211 \)). A McNemar test (binomial distribution) showed a significant difference between the percentage of CNVs in neurologically affected individuals with and without ASD (n = 56, \( P = 0.035 \)).

The CNVs were eight duplications and four deletions, ranging from 175 Kb to 1.6 Mb; one individual had both a duplication (1.6 Mb) and a deletion (347 Kb). Five duplications ranging in size from 160 Kb to 1.6 Mb were found in patients with neurological problems. Interestingly, three of them were at 10q26, two of them overlapping (coordinates: 134,543,728 to 134,941,539) with duplication of genes ADAM8, MIR202 and A disintegrin and metalloproteinase 8 (ADAM8). In addition, two individuals carried a duplication on Xp22.3 with a small area of overlapping (6,442,757 to 6,907,093), which included the VCX3 gene. Only five of the 11 individuals had follow-up studies to determine if the CNVs were familial or de novo; three of them were followed by parental studies and all three were found to be inherited from asymptomatic parents (two maternal and one paternal); in the other two participants, parents were not available. We followed their offspring and the CNVs were not inherited to their asymptomatic children.

Taking in account all events (rare and common) after accurate filtering, total CNV burden analysis showed a significant enrichment of events >325 Kb was observed in premutation cases compared to controls (\( P = 2.274e-07 \)).

Analysis of the psychometric assessments of all participants showed a mean full scale (FS) IQ of 83.20 (SD 23.0916) and ADOS total score of 4.45 (SD 6.53). Individuals with the premutation and a CNV had an ADOS mean score of 7.1 (SD 3.93) and FS IQ of 88.14 (SD 20.96). The participants without a CNV had an ADOS mean of 8.15 (SD 5.92) and FS IQ of 81.96 (SD 23.79), and these results were not statistically significant (ADOS, \( P = 0.6760 \) and IQ, \( P = 0.7218 \)). Social Communication Questionnaire (SCQ) total score among individuals with a CNV had a mean of 13.88 (SD 9.5235) and among the individuals without a
| Case | Gender | Age (years) | CGG repeat size | FMR1 mRNA Region | Start | End | Size | Inheritance | Controls n = 8,328 (50% reciprocal) | Gene(s) | Groupa | Other clinical information |
|------|--------|-------------|----------------|------------------|-------|-----|------|-------------|-------------------------------|---------|--------|----------------------------|
| 1    | M      | 12          | 64             | 2.19 (0.3)       | Del 11q13.3 | 70,077,400 | 70,614,279 | 536 Kb | Unknown        | 0          | SHANK2 | 1 | Significant cognitive deficits, anxiety, tactile sensitivity, hyperactivity and perseveration |
| 2    | M      | 15          | 58             | 1.57 (0.06)      | Del 6q26   | 162,565,963 | 162,748,669 | 182 Kb | Familial/maternal | 0         | PARK2  | 1 | Anxiety, hyperactivity, ID, tactile defensiveness, perseveration and aggression |
| 3    | M      | 5           | 81             | 2.41 (0.16)      | Del 2q21.3 | 135,591,912 | 135,966,218 | 374 Kb | Familial/maternal | 0         | RAB3GAP1, ZRANB3 | 3 | Severe autism, ADHD, obsessive behavior and EEG with generalized polyspikes during sleep |
| 4    | M      | 9           | 66             | 1.95 (0.23)      | Del Xp22.31 | 6,442,757   | 8,115,638   | 1.67 Mb | Unknown         | 0         | VCX3A  | 2 | Anxiety, learning problems, trichotillomania, phobias and psychosis |
| 5    | F      | 69          | 20, 80         | 2.11 (0.2)       | Del 10q26.3 | 134,492,316 | 134,941,539 | 449 Kb | Not inherited to daughter | 0       | C10orf93, GPR123, KNDC1, UTF1, VENTX, MIR202, ADAM8 | 4 | Severe autonomic dysfunction, tremor, ataxia and memory problems |
| 6    | F      | 35          | 29, 106        | 3.32 (0.44)      | Del 10q26.3 | 134,543,728 | 134,955,025 | 411 Kb | Not inherited to son | 0        | C10orf93, GPR123, KNDC1, UTF1, VENTX, MIR202, ADAM8, TUBGCP2 | 4 | Severe autonomic dysfunction, ataxia, tremor, orthostatic hypotension and migraines |
| 7    | M      | 14          | 192            | 5.02 (0.49)      | Del 6p22.3 | 17,977,030  | 18,137,572  | 160 Kb | Unknown         | 0         | KIF13A | 1 | Significant sensory integration issues, suicidal threats, hearing voices and frequent tantrums |
| 8    | M      | 7           | 99             | 2.98 (0.22)      | Del 10q26.2 | 128,750,641 | 129,507,857 | 757 Kb | Unknown         | 0         | DOCK1, FAM196A, NPS, FOX12 | 4 | OCD and severe autonomic function |
| 9    | M      | 6           | 80             | 2.14 (0.1)       | Del 13q12.12 | 24,060,479  | 24,236,403  | 175 Kb | Familial/maternal | 0         | LOC374491, ATP12A, RNF17 | 2 | Severe behavior problems and tantrums |
| 10   | M      | 6           | 56             | 1.77 (0.1)       | Del 21q21.2 | 23,370,733  | 23,780,822  | 410 Kb | Unknown         | 0         | None  | 2 | Anxiety, obsessive compulsive, tactile aversion and hyperarousal |
| 11   | F      | 31          | 29, 102        | 2.91 (0.03)      | Del 3q27.1 | 184,311,092 | 184,784,473 | 473 Kb | Unknown         | 0         | LAMP3, MCF2L2, B3GNT5, KLHL6 | 4 | Depression |

a Group 1, ASD and no neurological disorders; Group 2, no ASD and no neurological disorders; Group 3, ASD with neurological disorders; and Group 4, no ASD with neurological disorders. ADHD, attention deficit hyperactivity disorder; ASD, autism spectrum disorder; EEG, electroencephalography; F, female; M, male; OCD, obsessive compulsive disorder.
CNVs had a mean of 11.5 (SD 9.8290), with no significant difference (P = 0.6398).

ADHD was found in 28/56 (50%) of individuals, of whom five had a CNV compared to 23/28 without a CNV. There were no significant differences in the CGG allele size between the group without a CNV (mean 90.95, SD 38.93) compared to those with a CNV (mean 84.85, SD 47.64, P = 0.4302).

We briefly describe six patients with genomic changes in more detail.

**Case 1**
The patient was an adopted 12-year-old male with a premutation allele of 64 CGG repeats whose biological parents were not available. The patient had a diagnosis of ASD and severe behavior problems (Group 2). IQ was not available. CNV analysis showed the presence of a 536 Kb deletion in 11q13.3 involving the SHANK2 gene, which encodes for a multi-domain molecular scaffolding protein enriched in neuronal synapses. SHANK2 deletions have been associated with autism [39]. Moreover, it has been recently reported that the SHANK2 mutant mouse recapitulates many of the behavioral phenotypes that are typical of ASD [40]. In this patient, the additional effects of the SHANK2 deletion and the premutation may have caused ASD, but it is also a possibility that the deletion alone was responsible for the ASD.

**Case 2**
The patient was a 15-year-old male with premutation of 58 CGG repeats. The patient had a diagnosis of anxiety, autism, ID (IQ = 54) and ADHD. The patient’s problem behaviors included frequent tantrums associated with aggressive episodes and hand flapping when excited or anxious. The patient’s physical examination was remarkable for broad knuckles, long tapered fingers and increased muscular tone. The patient was found to have a maternally inherited 180 Kb deletion in 6q26 that disrupted the PARK2 gene. CNVs including the PARK2 gene region have previously been reported in autism [41,42]. The PARK2 gene encodes for the E3 ubiquitin-protein ligase, parkin, widely expressed in neuronal cells [43]. Parkin targets proteins for degradation in the cell. UBE3A, a protein from the same family, is associated with both autism and Angelman syndrome. PARK2 has also been associated with mitochondrial function, particularly in protecting mitochondrial genomic integrity from oxidative stress [44]. Mitochondrial function is altered in subjects with autism [45], supporting parkin’s potential role in the pathophysiology of autism. The PARK2 gene mutation is likely to have added to the baseline mitochondrial dysfunction in the premutation leading to ASD and ID. However, as PARK2 variants have also been observed in individuals for the general population, the assessment of their pathogenicity can be quite complex.

**Case 3 and 4**
These two patients were both males of 5 and 9 years of age (Table 1). Patient 3 had a premutation of 81 CGG repeats, duplication on Xp22.3 and a deletion on 2q21.3. This patient was diagnosed with autism, seizures and severe behavior problems. Case 4 had a premutation of 66 CGG repeats and duplication on Xp22.3. This patient was diagnosed with severe behavior problems but not with ASD. The duplication observed in these two cases had only one duplicated gene in common (VCX3A). The parents were not available for parental studies. The deletion of the VCX3A gene was initially reported to be associated with ID [46], but was found to be not sufficient to result in ID [47]. The duplication of these genes in addition to the premutation may have caused the more severe observed behavior problems.

**Case 5 and 6**
Cases 5 and 6 were premutation carrier females (alleles with 80 and 106 CGG repeats, respectively, (Table 1), and were found to have overlapping duplicated regions of 449Kb and 411 kb, respectively, at 10q26.3. They had similar clinical presentation including seizures, tremor, ataxia and autonomic dysfunction, which are common features of the FXTAS phenotype. While deletions of 10q26 have been associated with autism [48], the duplication on 10q26 has not been described to be pathogenic nor a benign CNV and was observed in only three cases of our CNV controls (3/8,328). It is also intriguing that this duplication was found in two individuals (Group 4) in this small cohort presenting with similar neurological phenotypes. These duplications were not inherited by their offspring. Little is known about the genes in the duplicated region; thus, further studies are necessary and may provide relevant information on these genes whose function may be relevant to neurodegenerative disorders including FXTAS.

**Discussion**
CNVs detected in recent studies of individuals with ASD have been shown to disrupt a number of genes that are collectively the cause of phenotypic variations [49]. The requirement of multiple genes for disease expression or multiple domains of expression of a largely monogenic disorder are manifestations of incomplete penetrance of any single gene; thus, mutations in multiple genes are required for full penetrance and for a more severe clinical phenotype [50]. Mutations within the FMR1 gene can present with a variety of clinical phenotypes. For example, the premutation presents with the well characterized neurodegenerative disorder, FXTAS, and with FXPOI; however, it is
also associated with other medical conditions. Some of these abnormalities are believed to be associated with RNA toxicity [51]. Remarkably, the neurological and neurodevelopmental disorders associated with the premutation have incomplete penetrance and variable expression even among families. This phenotypic variability suggests the participation of other ‘background’ modifier genes that when disrupted will cause additive effects.

In addition, since the FMRP regulates the function of several genes, the premutation in combination with other genetic hits can cause other neurological and neurodegenerative disorders (Figure 2).

Along with the rapid genomic technological advances in the past few years, conceptual and technological challenges have emerged. It is important to clarify molecular techniques and their limitations, particularly in the clinical realm.

Microarrays and whole exome/genome sequencing cannot be compared as they involve different molecular techniques and are meant to detect different genetic abnormalities (CNVs versus single base pair changes). A second hit can also include a single base change, for example, in one of the studied participants, a non-verbal 22-year-old male with a premutation of 68 CGG repeats presented with autism, severe intellectual disability, seizures, macrocephaly and other mild facial dysmorphic features. While our CNV analysis did not detect the presence of a deletion or duplication, whole exome sequencing showed a de novo variant of uncertain clinical significance (c.4010_4034del21) in the \textit{PTCH1} (patched \textit{Drosophila} homolog) gene, which encodes for a 18 kDa histone H1-like protein, a transmembrane protein containing a patched-related domain with 12 transmembrane helices. A mutation within this gene has been observed in a child with ASD and Gorlin syndrome [52]; therefore, in this case the premutation and the de novo variant could have led to a more severe phenotype.

**Conclusion**

To our knowledge this is the first study that shows rare CNVs in carriers of the \textit{FMR1} premutation. A significant increase in the number of CNVs, specifically duplications, even after removal of rare and perhaps pathogenic events, has been found to be associated with autism [53]. The frequency of CNVs observed in the premutation with ASD is comparable to the rates seen in autism alone. Follow-up studies with an increased sample size are necessary to confirm and clarify these findings. Although preliminary, our overall results show the significant yield of genomic changes in individuals with the premutation presenting with neurological/neurodevelopmental disorders, including ASD. Future studies, including those that integrate a holistic molecular understanding of the interplay and consequences of \textit{FMR1} genotype, mRNA and FMRP levels, in the context of detailed patient phenotypes, should further advance our understanding of the mechanism(s) underlying variable expression in premutation carriers.
Consent
Subjects were recruited through the Fragile X Treatment and Research Center at the UC Davis MIND Institute (Sacramento, CA, USA) according to a UC Davis Institutional Review Board (IRB) approved protocol and all participants signed a consent for this study. Cases reported in the manuscript were consented for the report to be published.

Abbreviations
FMR1: Fragile X mental retardation 1 gene; ADAM8: A disintegrin and metalloproteinase 8 gene; ADHD: Attention deficit hyperactivity disorder; ADI-R: Autism Diagnostic Interview-Revised; AOS: Autism Diagnostic Observation Schedule; ASD: Autism spectrum disorder; CNV: Copy number variant; DSM-IV: Diagnostic and Statistical Manual of Mental Disorders, 4th edition; FMRP: Fragile X mental retardation protein; FS: Full scale; FXPOI: Fragile X-associated primary ovarian insufficiency; FXTAS: Fragile X-associated tremor/ataxia syndrome; GPR123: G-protein-coupled receptor 123 gene; HMMD: Hidden Markov model; ICD-10: International Statistical Classification of Diseases and Related Health Problems, 10th revision; ID: Intellectual disability; IQ: Intelligence quotient; IRB: Institutional Review Board; KI: Knock-in; MIR202: microRNA 202 gene; PCR: Polymerase chain reaction; SB-5: Stanford-Binet Intelligence Scales, 5th edition; SCQ: Social Communication Questionnaire; SD: Standard deviation; SNAP-IV: Swanson, Nolan and Pelham, version IV; UTF1: Undifferentiated embryonic cell transcription factor 1 gene; VABS-II: Vineland Adaptive Behavior Scales, 2nd edition; WAIS: Wechsler Adult Intelligence Scale.

Competing interests
RH has received funding for treatment trials in fragile X syndrome or autism from Novartis (Basel, Switzerland), Roche (Basel, Switzerland), Seaside Therapeutics (Cambridge, MA, USA), Curemark (Rye, NY, USA), Forest Pharmaceuticals (New York City, NY, USA) and the National Fragile X Foundation (Walnut Creek, CA, USA). RH is also on the fragile X advisory boards for Novartis and Genentech/Roche. DH received support from Novartis, Roche and Seaside Therapeutics for clinical trials in fragile X syndrome. FT received research support from Roche. The Kennedy Krieger Institute (Baltimore, MD, USA) has received funding for treatment trials in fragile X syndrome from Novartis. EEE is on the scientific advisory boards for Pacific Biosciences, Inc (Menlo Park, CA, USA), SynapDx Corporation (Southborough, MA, USA) and DNAnexus, Inc (Mountain View, CA, USA). RL and MD have no conflicts of interest to disclose.

Authors’ contribution
RL carried out the analysis of all the clinical and molecular data and participated to the writing of the manuscript. He also participated in obtaining clinical data. RH collected all clinical data and participated in the interpretation of all results and the analysis as well as participated to the writing of the manuscript. MD carried out the molecular CNVs studies and their analysis and participated to the writing of the manuscript. DB provided clinical data and participated to the writing of the manuscript. EE designed the study, carried out the molecular CNVs studies and their analysis and participated to the writing of the manuscript. FT designed the study, carried out the molecular studies and their analysis. She overlook all the data analysis and interpretation as well as participated to the writing of the manuscript. All authors read and approved the final manuscript.

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