Time-Periodic Metallic Metamaterials Defined by Floquet Circuits

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ABSTRACT

In this paper, we study the scattering and diffraction phenomena in time-modulated metamaterials of metallic nature by means of Floquet equivalent circuits. Concretely, we focus on a time-periodic screen that alternates between “metal” and “air” states. We generalize our previous approaches by introducing the concepts of “macroperiod” and “duty cycle” to the time modulation. This allows to analyze time-periodic metallic metamaterials whose modulation ratios are, in general, rational numbers. Furthermore, with the introduction of the duty cycle, perfect temporal symmetry is broken within the time modulation as the time screen could remain a different amount of time in metal and air states. Previous statements lead to an enrichment of the diffraction phenomenon and to additional degrees of freedom that can be exploited in engineering to control the reflection and transmission of electromagnetic waves. Finally, we present some analytical results that are validated with a self-implemented finite-difference time-domain (FDTD) approach. Results show that the scattering level and diffraction modes can be controlled independently by means of the duty cycle and the modulation ratio, respectively, leading to an efficient design of time-based pulsed sources and beamformers.

INDEX TERMS

Floquet circuit, FDTD, modulation ratio, macroperiod, duty cycle.

I. INTRODUCTION

The resolution of electromagnetic problems based on periodic structures has classically benefited from systematic simplifications thanks to the use of Floquet’s theorem [1], [2]. That is, the reduction of the complexity of the whole structure to a waveguide problem [3]. Circuit models have proven to be very efficient tools to emulate waveguide environments [4], [5], [6]. Simple models avoid the dynamic behavior of the structure, combining transmission lines and quasi-static elements [7]. More sophisticated proposals include the contribution of higher-order modes/harmonics [8], [9], [10]. This implies the validity of the models for scenarios where higher-order harmonics have a leading role [11].

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scenario is, for instance, quite common in time-varying systems, or in a more general context, in spacetime structures [12].

Spacetime systems introduce time, generally in the form of a periodic modulation, adding non-linearities that are widely used in RF systems such as frequency dividers [13] and modulators [14]. Time implies an additional degree of freedom [15], [16], [17], [18], [19]. Though pioneering studies were theoretically reported in the middle of last century [20], [21], [22], they have regained interest in the recent years, especially when non-reciprocity [23], [24], [25] was sought as a substitute of magnetic materials for insulators [26]. Some other impressive properties have since then been reported, as temporal mechanisms for amplification [27], subharmonic mixing [28], giant bianisotropy [29], negative refraction [30], metamirrors [31] or an equivalent of the Brewster angle [32].
Interesting applications, just to name a few, are proposed in the propagation domain focused on DOA estimation [33], imaging [34], digital processing [35].

Transmission-line and ABCD-parameter models have already been employed in electromagnetic systems with instantaneous temporal interfaces [36], [37]. Such are the cases reported in [38] and [39] and more recently in [40]. The equivalent circuit aids for a better understanding of the situations there described. However, in most cases, no periodic modulation exists and there is no excitation of higher-order harmonics. The work in [41] considers a system formed by a metallic screen suffering a periodic modulation. The system is fed by an external plane wave, exciting an infinite number of periodic Floquet harmonics. The paper reports the derivation of the circuit model but no many situations are evaluated. The present work is intended to exploit the model possibilities, increasing the number of modulation ratios, introducing the concepts of macroperiod and duty cycle to the time modulation, with the objective of enriching the diffraction phenomenon. Furthermore, it has been shown that breaking the temporal symmetry allows the suppression of non-desired harmonics [42]. The scattering parameters are quantitatively evaluated, constituting an additional contribution with respect previous works in the literature. It is worth remarking that, though the paper intention is to describe a temporal system from the theoretical point of view, the experimental time-varying scenario could be motivated by switching metasurfaces as those previously reported [43], [44], [45], [46], [47], [48], [49], [50]. Our particular case would demand a specific metasurface with periodic modulation alternating fully-transparent and fully-reflecting states.

The paper is organized as follows: Section II is left for the exposition of the time-varying scenario, explanation of the variables involved and their implications on diffraction phenomenon. Section III focuses on applying this method for reconfigurability of the propagation of electromagnetic waves. The conclusions of the work are found at the end of the paper.

II. THEORETICAL ANALYSIS

The structure under consideration is sketched in Fig. 1. A monochromatic plane wave of frequency \( \omega_0 \) illuminates a time metamaterial that periodically alternates between “air” and “metal” (perfect electric conductor, PEC) states, as represented in Fig. 1(a). This can be realized by alternating two resonant states of the metamaterial, invoking fully transparency or fully reflectivity via tunable biased diodes, as can be read in [47]. Future alternatives coming from electronic materials such as graphene [51] or Vanadium oxide [52] could be promising for this purpose.

The time screen is considered to be infinitesimally thin along the propagation direction (z axis) and very large in x and y directions [see Fig. 1(b)]. Transverse magnetic, TM \((E_x, E_z, H_y)\), or transverse electric, TE \((E_y, H_x, H_z)\), polarizations for the oblique-incident waves are considered.

The time periodicity of the varying screen is \( T_s = 2\pi/\omega_s \), from which the whole cycle repeats. In the more general scenario, the time screen could remain in air state \((DT_0)\) for a different time than it remains in metal state \(((1 - D)T_s)\). Here, \( D \in [0, 1] \) is the duty cycle of the time modulation. Extreme cases \( D = 0 \) and \( D = 1 \) would imply that the time screen remains invariant in metal and air states the whole time, respectively. The fact of varying the duty cycle \( D \) and its implications were not discussed in our previous work [41], since a fixed value of \( D = 0.5 \) was implicitly assumed. As it will be detailed later, modifying the duty cycle enriches the diffraction phenomenon, since half-period temporal symmetry is broken and this leads to asymmetries in harmonic excitation. The modulation ratio \( F = \omega_0/\omega_s = T_s/T_0 \) constitutes a second factor to be discussed. The nature of the reflected and transmitted fields across the discontinuity directly depends on this parameter, and as it will be discussed below, it may govern the power transfer between different harmonics.

The time-periodic tangential fields are expanded in terms of Floquet-Bloch series:

\[
E^{(1)}_t(x, z, t) = e^{-jk_xt} \left[ e^{j\omega_0 t - j\beta_0^{(1)} z} + Re^{j\omega_0 t + j\beta_0^{(1)} z} \right]
\]

\[
H^{(1)}_t(x, z, t) = e^{-jk_xt} \left[ Y^{(1)}_0 e^{j\omega_0 t - j\beta_0^{(1)} z} - RY^{(1)}_0 e^{j\omega_0 t + j\beta_0^{(1)} z} \right]
\]

\[
E^{(2)}_t(x, z, t) = e^{-jk_xt} \left[ T e^{j\omega_0 t - j\beta_0^{(2)} z} \right]
\]

\[
H^{(2)}_t(x, z, t) = e^{-jk_xt} \left[ TR^{(2)}_0 e^{j\omega_0 t - j\beta_0^{(2)} z} \right]
\]
where $k_t$ is the transverse component of the wavevector, $\beta_n (n \in \mathbb{Z})$ is the $n$th-order longitudinal component of the wavevector associated with a $n$th-order harmonic, and $\omega_n = \omega_0 + n2\pi/T_m$ is the angular frequency associated to the $n$th Floquet harmonic. For the sake of simplicity, the amplitude of the electric field associated with the incident wave is unity (harmonic of order $n = 0$) and its contribution is out from the summation in (1)-(4). The admittance values $Y_n$ are expressed as

$$Y_n^{(i)} = \frac{\varepsilon_r^{(i)}}{\varepsilon_0} \frac{\omega_n}{\beta_n^{(i)}} \quad \text{TM admittances} \quad (5)$$

$$Y_n^{(e)} = \frac{\mu_r^{(i)}}{\mu_0} \frac{\omega_n}{\mu_0^{(i)}} \quad \text{TE admittances} \quad (6)$$

where the use of TE/TM admittances depends on the polarization of the incident wave, and $i = 1, 2$ accounts for the input and output media, respectively. It is worth remarking that the fields expanded in (1)–(4) are vectors. The vector notation have been removed for simplicity. For TM incidence the electric fields in (1) and (3) are directed along $x$ whereas the magnetic fields in (2) and (4) points towards $y$. For TE incidence, the fields directions are exactly the opposite.

The higher-order Floquet coefficients $E_{n}^{(1,2)}$ and the reflection ($R$)/transmission ($T$) terms related to the fundamental harmonic are extracted by applying integral-equation methods on a field profile $E(x, t)$ that models the dynamical behavior of the time-periodic metallic metamaterial. This methodology was previously employed in [53] and [54].

The propagation of the incident and reflected waves, and the transmitted one are represented by transmission lines with $Y_0^{(1)}$ and $Y_0^{(2)}$ characteristic admittances, respectively. In general, Floquet coefficients $E_n$ are computed as

$$E_n^{(1)} = E_n^{(2)} = (1 + R)N(\omega_n). \quad (7)$$

The coupling between harmonics, described in terms of transformers with turn ratio $N(\omega_n)$ [see eq. (8)], demands a previous knowledge of the field profile at the discontinuity along a time period.

$$N(\omega_n) = \frac{\int_0^{T_m} E(x, t)e^{-j\omega_0 t} dt}{\int_0^{T_m} E(x, t)e^{-j\omega_n t} dt} \quad (8)$$

When the time interface is in air state, the metallic metamaterial “appears to vanish”, so $E(x, t)$ follows the sinusoidal shape of the incident plane wave. When the time interface is in metal state, the tangential field profile $E(x, t)$ is assumed to be zero.

Moreover, $R$ and $T$, can directly be estimated from the circuit model as

$$R = \frac{Y_0^{(1)} - Y_0^{(2)} - Y_{eq}}{Y_0^{(1)} + Y_0^{(2)} + Y_{eq}}, \quad (9)$$

$$T = 1 + R. \quad (10)$$

where the equivalent admittance $Y_{eq}$ accounts for the effect of the time discontinuity, including the effect of all the higher-order harmonics $E_n$.

The equivalent admittance that models the time-periodic screen is computed as

$$Y_{eq} = \sum_{\forall n \neq 0} |N(\omega_n)|^2(Y_n^{(1)} + Y_n^{(2)}) \quad (11)$$

Close inspection of (11) reveals that $Y_{eq}$ is actually formed by parallel-connected transmission lines loaded with complex transformers, one for each Floquet harmonic. This allows us to expand the simplified equivalent circuit illustrated in Fig. 1(b) into the more complex, but also more physically insightful, version shown in [41]. In that sense, the admittance of the present temporal problem shares some similarities with the admittance extracted in purely spatial structures [6]. However, as discussed in our previous work [41], there exist major differences between them. The most significant one is the fact that higher-order harmonics are propagative (of resistive nature) in the time-periodic problem while these are evanescent (of capacitive/inductive nature) in the purely spatial counterparts. Higher-order harmonics in mixed space-time scenarios are expected to contribute with both resistive and capacitive/inductive terms to the equivalent circuit.

**A. MACROPERIODS**

Our previous work is focused on integer time-modulation ratios $F$, assuming $\omega_m \leq \omega_0$ in most cases. This is a very restricted situation. The extension from integer to rational (not irrational) modulation ratios is here taken into account, modifying the way to get $E(x, t)$. Now, $E(x, t)$ is influenced by $D$ and $F$, leading to the definition of the term macroperiod. A macroperiod $T_m$ is defined as the minimum time periodicity where both the incident-wave vibration ($\omega_0$) and the screen variation ($\omega_s$) complete a full cycle simultaneously. Mathematically, every rational modulation ratio $F$ can be approximated by a fraction of two integers, $F_N$ and $F_D$, according to $F = F_N/F_D$. Since $F$ was previously defined as $F = T_s/T_0$, the temporal macroperiod $T_m$ must follow the condition

$$T_m = F_N T_0 = F_D T_s. \quad (12)$$

Thus, a macroperiod is completed after $F_N$ and $F_D$ cycles for the incident wave ($T_0$) and the time modulation ($T_s$), respectively. Please note that an irrational modulation ratio $F$ cannot be described in terms of a fraction of two integers, leading to an infinite set of decimals. As a consequence, the macroperiod of an irrational modulation ratio would be infinite and the formulation proposed here would not be applicable since time periodicity is lost. Thus, the field profile is therefore defined along a macroperiod, ensuring a stationary situation. It can be mathematically described as

$$E(x, t) = A(x)\sin(\omega_0 t) P(t) \hat{y}, \quad t \in [0, T_m], \quad (13)$$
where \( P(t) \) is a pulse train of period \( T_s \), unit amplitude and duty cycle \( D \), and \( A(x) \) a function including the spatial dependence.

It is worth remarking that the pulse train invokes instantaneous switching between air/metal. Of course this is ideal. In practice this switching is not instantaneous, there exist a small (or not so small) transient time between both states. Experimental prototypes to come must neglect transient effects as much as possible. Possible solutions are based on metasurfaces with pin-diodes incorporated in the cells [55].

In addition, the frequency associated with the time-varying effect as much as possible. Possible solutions are based on metasurfaces with pin-diodes incorporated in the cells [55].

In all these figures \( E(t) \) is drawn in a time interval defined by two consecutive macroperiods, in order to appreciate the existing periodicity. As will be explained below, the variation of \( D \) has direct implications on the amplitude provided by each Floquet harmonic.

**B. DUTY CYCLES**

A correct definition of \( E(t) \) is crucial to guarantee accurate predictions by the circuit model. A first test of the validity of the circuit approach is shown in Fig. 3. It illustrates the normalized spectral response of the transmitted field in the cases reported in Fig. 2, with an inset showing the field profile \( E(t) \). A TM-polarized plane wave impinging normally has been assumed for the computation. As expected, the spectrum is split in discrete harmonics, whose amplitudes vary for each case. Together with the results provided by the equivalent circuit, numerical results extracted by self-implemented finite-different time-domain (FDTD) are included. FDTD methods [56], [57] have proven to be interesting numerical alternatives to validate analytical results due to the absence of specific commercial electromagnetic solvers oriented to deal with spacetime metamaterials. It is also worthy to emphasize that due to assumption of normal incidence, all the harmonics are propagative (there is no harmonics with evanescent nature) and moreover, they leave the air-metal interface at the incidence direction \( \theta_n = 0^\circ \). This result comes from Eq. [28] in [41]

\[
\theta_n^{(i)} = \arctan \left( \frac{k_f}{\sqrt{\varepsilon_r \mu_r \frac{\omega_n + 2\pi n / T_m}{c}^2 - k_i^2}} \right) \tag{14}
\]
when imposing \( k_i = 0 \) with \( k_i \) being the transverse wavevector of the incident wave, and \( i = 1, 2 \) being the index indicating the leftmost/ rightmost medium respectively.

As visualized in Figs. 3(a)-(c) for \( F = 4 \), the value of \( D \) modifies the amplitude of the harmonics. For instance, when the wave encounters free-space in a longer time interval than metal at the interface, \( D = 0.75 \), the biggest amplitude values are carried by the fundamental harmonic \( (n = 0) \) and that with order \( n = -8 \) [see Fig. 3(c)]. When this interval decreases to \( D = 0.25 \), the amplitude of these predominant harmonics reduces with respect the rest of diffracted harmonics [see Fig. 3(a)]. This tendency seems to be progressive if we check Figs. 3(a)-(c) from left to right. If the modulation ratio is varied down to \( F = 1.6 \), as illustrated in the spectra shown in Figs. 3(d)-(f), it can be noticed that the modal distance between harmonics have changed. This fact can be appreciated since those carrying more energy are now the fundamental one \( (n = 0) \) and the one with order \( n = -16 \). In general, increasing the duty cycle \( D \) provokes that the time screen remains in “air” state a greater amount of time. Thus, the field profile \( E(t) \) progressively turns into the original incident plane wave as \( D \) approaches the unit. Therefore, the spectrum of the system resembles the spectrum of a conventional sine function, predominated by two delta functions at frequencies \( \pm \omega_0 \), with the rest of harmonics being significantly attenuated. This phenomenon is observed in Figs. 3(a)-(c) and Figs. 3(d)-(f) as \( D \) is increased. Note that breaking the perfect temporal symmetry of the modulation \( (D \neq 0.5) \) causes that harmonics of even and odd nature excite indistinctly. The situation was different in our previous work [41], where the duty cycle was fixed to \( D = 0.5 \). In that case, perfect temporal symmetry provoked that higher-order even harmonics became null, fact that can be also appreciated in Figs. 3(b) and (e). Therefore, the introduction of the duty cycle to the time modulation enriches the diffraction spectrum, which is of potential interest for the development of time-based beamformers.

### III. DIFFRACTION RECONFIGURABILITY

To understand the effect of the reconfigurability in this time-periodic metamaterial, Fig. 4 shows configurations with different modulation ratios \( F \) while keeping the same duty cycle fixed to \( D = 0.5 \). This situation is well captured by the circuit model, after a previous definition of \( E(t) \). The temporal evolution of \( E(t) \) along a macroperiod is included as an inset of the figures. Now, TE oblique incidence is assumed under an angle of incidence \( \theta_{\text{inc}} = 30^\circ \). The transverse wavevector is no longer null \( (k_i \neq 0) \), opening the possibility to excite evanescent harmonics according to (14). Fig. 4(a) depicts a first case governed by \( F = 2.5 \). For this configuration, some evanescent harmonics have non-zero amplitude values, as those with orders \( n = -6, -4 \). The rest of harmonics with non-zero amplitude are propagative. As \( F \) changes, the amplitude distribution get modified. In case illustrated in Fig. 4(b) the modulation ratio is \( F = 1.6 \), and now the evanescent harmonics with significant amplitude are those with orders \( n = -11, -5 \). For \( F = 0.8 \), reported in Fig. 4(c), they become the ones with orders \( n = -5, -3 \).

The harmonics with propagative nature appearing in Fig. 4 now scatters in different directions. The diffraction angles of each propagating harmonic have been calculated using (14). They have been compared with the angles obtained by FDTD in TABLE 1 under two different incident angles: \( \theta_{\text{inc}} = 30^\circ \) and \( \theta_{\text{inc}} = 50^\circ \). As observed, there is a good agreement between both analytical (Floquet circuit) and numerical results. Naturally, one point to note is
FIGURE 4. Normalized amplitude of the Floquet coefficients in the cases: (a) $F = 2.5$, $D = 0.5$, (b) $F = 1.6$, $D = 0.5$, (c) $F = 0.8$, $D = 0.5$. A monochromatic incident wave of $\omega_0 = 2\pi \cdot 30 \cdot 10^9$ s$^{-1}$ under oblique incidences is considered. Incidence angles: $\theta_{inc} = 30^0$ and $\theta_{inc} = 50^0$. The duty cycle of the time-periodic screen is $D = 0.5$.

the difference in simulation times for each solution. The analytical Floquet solution reduces notably the computational complexity compared to the FDTD. Concretely, the circuit model requires a simulation time of the order of seconds, while the FDTD takes minutes to simulate the scenario. This becomes more evident as the macroperiod of the time-modulated metamaterial is larger.

Subsequently, Fig. 5 illustrates the electric field distribution in the transmission region ($z > 0$) for the cases reported in TABLE 1. The simulation space takes $40\lambda_0 \times 20\lambda_0$. For simplicity, in all cases it has been assumed a fixed duty cycle of $D = 0.5$. These diffraction patterns obtained by FDTD allows for a clear identification of the direction of some harmonics. Some other harmonics taking place in the whole field expansion do not appear for the following reasons: their amplitude is not significant; they have an evanescent nature; they propagate backwards ($\beta_n^{(2)} < 0$). Thus, Fig. 5(a) and Fig. 5(d) show the diffraction pattern for a fixed modulation ratio $F = 2.5$ under the incident angles: $\theta_{inc} = 30^0$ and $\theta_{inc} = 50^0$, respectively. Please, note that the increase of the incident angle does not modify the excitation of the Floquet modes, but some of them are converted from propagative to evanescent nature. For this reason, the harmonic with order $n = -2$ does not appear when the incident angle rises up to $50^0$. In Fig. 5(b) and Fig. 5(e), the modulation ratio has been fixed to $F = 1.6$. As it is expected according to Fig. 4, the index of the excited harmonics and, consequently, their frequencies are modified. As $F$ decreases, it can be noticed that the diffraction angle of higher-order harmonics separate from that of the fundamental harmonic ($\theta_0 = 30^0$ for Fig. 5(b) and $\theta_0 = 50^0$ for Fig. 5(e)), approaching the

| $F$ | $\theta_{inc}$ | $\theta_n$ | Circuit | FDTD |
|-----|----------------|----------|---------|------|
| 30$^0$ | $\theta_{-2}$ | 56.44 | 56.49 |
|      | $\theta_0$ | 30.00 | 29.97 |
|      | $\theta_2$ | 20.92 | 20.93 |
|      | $\theta_4$ | 16.12 | 16.17 |
|      | $\theta_6$ | 13.13 | 13.17 |
|      | $\theta_{-2}$ | eva. | eva. |
|      | $\theta_0$ | 50.00 | 49.96 |
|      | $\theta_2$ | 33.17 | 33.18 |
|      | $\theta_4$ | 25.18 | 25.17 |
|      | $\theta_6$ | 20.37 | 20.30 |
| $F = 2.5$ | $\theta_0$ | 30.00 | 29.98 |
|      | $\theta_2$ | 17.92 | 17.95 |
|      | $\theta_4$ | 13.60 | 13.63 |
|      | $\theta_6$ | 10.01 | 10.03 |
|      | $\theta_{-2}$ | eva. | eva. |
| 50$^0$ | $\theta_0$ | 50.00 | 49.96 |
|      | $\theta_2$ | 28.12 | 28.10 |
|      | $\theta_4$ | 21.13 | 21.15 |
|      | $\theta_6$ | 15.45 | 15.48 |
| $F = 1.6$ | $\theta_0$ | 30.00 | 29.98 |
|      | $\theta_2$ | 12.83 | 12.87 |
|      | $\theta_4$ | 10.47 | 10.50 |
|      | $\theta_6$ | 6.04 | 6.02 |
|      | $\theta_{-2}$ | eva. | eva. |
| 30$^0$ | $\theta_0$ | 50.00 | 50.02 |
|      | $\theta_2$ | 19.90 | 19.92 |
|      | $\theta_4$ | 16.17 | 16.17 |
|      | $\theta_6$ | 9.28 | 9.31 |
normal direction ($\theta_i \approx 0^\circ$). This is accurately predicted by (14). This phenomenon becomes even more pronounced in Fig. 5(c) and Fig. 5(f), where the modulation ratio is $F = 0.8$. The identification of higher harmonics becomes significantly more challenging in this scenario.

Finally, Fig. 6 shows the transmission coefficient $T$, related to the fundamental harmonic ($n = 0$), for several values of the modulation ratio $F$ and duty cycle $D$. Normal incidence is now considered, though oblique incidence can straightforwardly be computed. A comparison is illustrated between the results extracted from the Floquet circuit and the FDTD method, showing an good agreement. It can be appreciated that, for a fixed duty cycle, the transmission coefficient remains constant regardless of the value of the modulation ratio. Conversely, the transmission coefficient increases as the duty cycle does. This is due to the fact that the time-periodic screen remains a greater amount of time in the “air” state than in the “metal” state, allowing the incident waves to pass through it more easily in average.

IV. CONCLUSION

To conclude, in this Manuscript, we have studied the diffraction of electromagnetic fields produced by an incident plane wave with TE/TM polarization impinging on a time-periodic metallic screen. The proposed time-modulated metamaterial periodically alternates between “air” and “metal” states, leading to the excitation of diffraction orders that can be exploited to manipulate the propagation of electromagnetic waves. We have carried out the analysis by means of two tools: an analytical Floquet circuit and a numerical FDTD method. By introducing the concepts of “macroperiod” ($T_m$) and “duty cycle” ($D$) to the time modulation, we have extended the beamforming capabilities of the temporal structure shown in our previous works. The reconfigurability of higher-order modes has been discussed as a function of changes in the modulation ratio $F$ and the duty cycle $D$. These results open up the possibility to simulate time-varying structures in a much more faster and efficient way than other full-wave electromagnetic tools, with the aim of designing modern time-based microwave and photonic devices.

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