The recent discovery of superconductivity in iron-based pnictide compounds\(^1\) has triggered intense experimental and theoretical research activity. A great deal of attention has been devoted to the relationship between superconductivity and magnetism. Similar to cuprates, the parent compounds exhibit antiferromagnetic spin-density-wave (SDW) order that disappears upon doping or pressure, giving rise to superconductivity. A possible coexistence of magnetism and superconductivity has also been observed,\(^2,3\) although this issue is still under debate.\(^2,4-12\)

Despite the many similarities to cuprates, important differences characterize the pnictides, the most relevant being that parent magnetic phases are not Mott insulating but rather metallic in nature. The preponderance of magnetic properties of FeAs compounds can be described by local (semilocal) density functional theory (DFT). However, the debate on approximations to exchange correlation functionals within the full-potential linear augmented plane-wave method. A thorough comparison with experiment shows that we are able to reproduce most of the observed experimental features, in particular, a magnetic peak located at about 0.2 eV, which we ascribe to antiferromagnetic ordered magnetic stripes. We also predict a large in-plane anisotropy of this feature, which agrees very well with measurements on detwinned crystals. The effect of Co doping, as well as the dependence of plasma frequency on the magnetic order, is also investigated.

Our calculations were performed using the highly accurate all-electron full-potential linearized augmented plane-wave (LAPW) method\(^23\) as implemented within the ELK (Ref. 24) code.

All calculations presented here have been performed using the experimental crystal structure for BaFe\(_2\)As\(_2\) (Ref. 25) \(I4/mmm\), and not the low-temperature orthorhombic distortion. In order to describe the magnetic symmetry breaking, we set up a tetragonal supercell with \(a = 20.01\) Å and \(c = 46.48\) Å. The arsenide Wyckoff position is also fixed to the experimental value of 0.354. Magnetism breaks the tetragonal symmetry and leads to a small orthorhombic distortion that also affects the lattice. However, the orthorhombic distortion of the lattice parameters is small and will be ignored in this work. The spectral features for the doped BaFe\(_2\)As\(_2\) have been checked to be independent of this approximation.

The optical conductivity tensor \(\sigma(\omega)\) is related to the dielectric function by\(^26\) (atomic units are used throughout)

\[
\epsilon_{\alpha\beta}(\omega) = \delta_{\alpha\beta} + \frac{4\pi i}{\omega} \sigma_{\alpha\beta}(\omega)
\]

for Cartesian components \(\alpha, \beta\). In practice, \(\sigma\) is calculated according to\(^27\)

\[
\sigma_{\alpha\beta}(\omega) = -\frac{i}{\Omega} \sum_{\mathbf{k}, \varepsilon_{\mathbf{c}} \mathbf{k}} \frac{u_{\mathbf{k}}}{\omega + \varepsilon_{\mathbf{c}} \mathbf{k} + i\eta} \left[ \Pi_{\mathbf{c}}^\alpha(\mathbf{k}) \Pi_{\mathbf{c}}^\beta(\mathbf{k}) + \left( \Pi_{\mathbf{c}}^\alpha(\mathbf{k}) \Pi_{\mathbf{c}}^\beta(\mathbf{k}) \right)^* \right] + \frac{i\omega_{\alpha\beta}}{4\pi} \frac{1}{\omega + i\eta},
\]

where the first and second terms are the interband and the intraband contributions, respectively; \(\varepsilon_{\mathbf{c}} \mathbf{k} \equiv \varepsilon_{\mathbf{c}} - \varepsilon_{\mathbf{k}}\) are the differences between valence and conduction eigenvalues; \(u_{\mathbf{k}}\) are \(k\)-point weights over the Brillouin zone; \(\Omega\) is the unit cell volume; and

\[
\Pi_{\mathbf{c}}(\mathbf{k}) \equiv \langle \mathbf{v}_{\mathbf{k}} | \mathbf{p} | \mathbf{c} \rangle
\]

are the momentum matrix elements. The imaginary term \(i\eta\) mimics a broadening of the spectrum owing to a finite lifetime,
and a value of 0.1 eV is used for this. The plasma frequency, which is also a tensor quantity, is given by

\[
\left(\omega_p^2\right)_{\alpha\beta} = \frac{4\pi}{\Omega} \sum_{\mathbf{k}} \varepsilon_{\mathbf{k}} \Pi_{\alpha\beta}^{\mathbf{kk}}(\mathbf{k}) \Pi_{\alpha\beta}^{\mathbf{nn}}(\mathbf{k}) \delta(\varepsilon_{\mathbf{k}} - \varepsilon_F),
\]

with \(\varepsilon_F\) being the Fermi energy. We find the dielectric function and plasma frequency to be sufficiently well converged with a mesh of \(16 \times 16 \times 4k\) points.

II. RESULTS AND DISCUSSION

A. Undoped compound

1. Ground-state properties

We investigated the nonmagnetic (NM) phase and various possible magnetic orderings, represented in Fig. 1. The most relevant is the striped phase (Str.), where on each Fe plane stripes of up spins are alternated with stripes of down spins. We also studied the ferromagnetic (FM), checkerboard (CB), and noncollinear antiferromagnetic (2K) orderings.

In the latter case, spins are rotated 90° degrees between adjacent Fe sites. The corresponding total energies, relative to the Str. phase, have been collected in Table I. In agreement with experiments and other DFT calculations,\(^{28}\) we find the striped phase to be the most stable.

As in the case of LaOFeAs,\(^{11}\) the 2K structure is close in energy to that of the striped phase, while the FM solution is almost degenerate with the nonmagnetic one. The magnetic moment, integrated in the Fe muffin tin, is larger than 1 \(\mu_B\) in all three antiferromagnetic structures, and is equal to 1.49 \(\mu_B\) in the ground-state Str. phase (Table I). These theoretical moments are much larger than the experimental values measured by means of neutron diffraction\(^{19,29-31}\) \((\approx 0.9 \mu_B)\) and Mössbauer absorption\(^{32}\) \((\approx 0.4 \mu_B)\).

2. Plasma frequencies

We first focus on the plasma frequency tensor \((\omega_p)\), which determines the low-frequency part of the spectrum, and characterize the free carrier response. Owing to lattice symmetry, \(\omega_p\) is diagonal with respect to the tetragonal axis, and we will indicate the \(xx, yy,\) and \(zz\) components simply as \(x, y,\) and \(z\). In all the investigated magnetic structures, \(\omega_p\) has only two independent components apart from the Str. phase, which has three. For the FM case, we define separated plasma frequencies for majority and minority spin channels. The corresponding results are listed in Table I.

The nonmagnetic phase yields a value \(\omega_p\) of 2.55 eV. Magnetic order strongly affects the value of the plasma frequency in that magnetism opens a partial gap at \(E_F\), thereby reducing both the Fermi velocity and the density of states at the Fermi energy\(^{33}\) and resulting in a decrease of \(\omega_p\) as compared to the nonmagnetic case. The antiferromagnetic phases have the most isotropic \(\omega_p/to/\omega_p/x\) ratio, while the NM structure is the most anisotropic. Our nonmagnetic plasma frequencies are in agreement with previous calculations.\(^{34-36}\) Magnetic plasma frequencies in the Str. phase have been reported before in two recent works,\(^{36,37}\) and we find a reasonable agreement with our results. Slightly smaller and more isotropic values are reported in the past, perhaps because of the larger value of the Fe moment \((1.64 \mu_B\) and 1.98 \(\mu_B\)).

The experimental in-plane plasma-frequencies values presented in the literature range from \(\omega_p = 1\) to 1.6 eV at room temperature and drop down to 0.6–0.7 eV at low temperatures. Optical conductivity measurements are generally fitted using a single Drude component and a low-frequency Lorentzian oscillator.\(^{38}\) However, due to the multiband character of these materials, the low-frequency optical response of different pnictides at various doping levels has been successfully modeled using two Drude components.\(^{17,18,20,21}\) In this latter method, the two plasma frequencies are added in quadrature. This method potentially increases the experimental value for the total plasma frequency with respect to the single band model, but it complicates the carrier counting if one assumes a different nature for the charge carriers in the two bands. Furthermore, a narrowing of the Drude component accompanying a reduction of the plasma frequency with respect to the calculated values has been attributed to the renormalization of the carriers’ masses due to moderate correlation effects.\(^{34}\)

| TABLE I. Undoped BaFe_{2}As_{2} data for different magnetic orderings are shown. \(E\) is the total energy per formula unit relative to the Str. phase; \(M(\text{Fe})\) is the magnetic moment integrated in the Fe muffin tin; \(\omega_p\) and \(v_F\) are the plasma frequency and Fermi velocity, respectively. In-plane components are labeled by \(x, y\) and the out-of-plane component by \(z\). For ferromagnetic ordering, the symbol ↑ indicates the majority spin channel. In the Str. phase, the spin stripes are oriented along the \(x\) axis. |
|----------|----------|----------|----------|----------|
| \(E\) (meV) | \(M(\text{Fe})\) (\(\mu_B\)) | \(\omega_p\) \(x, y\) (eV) | \(\omega_p\) \(z\) (eV) | \(v_F\) \(x, y\) (\(10^5\text{m/s}\)) | \(v_F\) \(z\) (\(10^5\text{m/s}\)) |
| NM | CB | Str. | FM | 2K |
| 120.0 | 70.1 | 0 | 119.5 | 33.1 |
| 0 | 1.428 | 1.490 | 0.31 | 1.33 |
| 2.55 | 1.62 | 0.70x/0.91y | 1.958↑/1.963↓ | 1.44 |
| 0.79 | 1.54 | 1.03 | 0.60↑/0.87↓ | 2.349 |
| 13.56 | 8.94 | 7.77x/10.07y | 12.61↑/23.05↓ | 9.168 |
| 4.22 | 8.52 | 11.45 | 5.96↑/10.26↓ | 14.915 |

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The low-temperature limit of \( \omega_p^2 \) is in good agreement with our estimate for the striped phase (our zero-temperature ground state). On the other hand, the room-temperature value, measured above the Néel temperature \( (T_N) \), should be compared to our estimate in the nonmagnetic phase \( (2.55 \text{ eV}) \); the experimental value turns out to be much smaller. A temperature effect, not included in our calculations, could partially justify this disagreement. However, a strong dependence of the plasma frequency on temperature is not expected; in case of a similar system \( \text{BaNi}_2\text{As}_2 \), the plasma frequency drops by only 5% of its value between 300 and 150 K (above the structural/magnetic phase transition). \(^{39}\)

For the nonmagnetic phase, a disagreement between LDA calculated plasma frequencies and experimental estimation from optical conductivity already has been reported and interpreted as an indication of correlation effects. \(^{34,35,40,41}\)

However, assuming that the main reason for the discrepancy is correlation, our results indicate that renormalization effects are much stronger in the normal state than in the magnetic state. If we consider the ratio between the theoretical and experimental \( \omega_p^2 \) as a measure of the renormalization effect induced by correlations, as was done in Ref. 34, then, quite unexpectedly, we find the nonmagnetic phase of \( \text{BaFe}_2\text{As}_2 \) to be a strongly correlated material, while magnetic \( \text{BaFe}_2\text{As}_2 \) appears to be a conventional material.

There has been disagreement in the literature on whether this implies that the dynamical spin-correlation effects present in the metallic phase are frozen out in the magnetic phase, or that the effect can not be ascribed to correlation effects at all, but is simply due to the inadequacy of the LSDA exchange-correlation functional (as suggested by Mazin in Ref. 41). The veracity of either of these scenarios can not be determined by the present calculations.

3. Optical Conductivity

Calculated optical conductivity for the nonmagnetic phase is shown in the middle panels of Fig. 2. Strong anisotropy between the in-plane \( (\sigma_{xx}) \) and out-of-plane \( (\sigma_z) \) conductivity is immediately apparent. In particular, the out-of-plane conductivity has a more pronounced frequency dependence than its in-plane counterpart. This anisotropy, underscored by the appearance of a plasmonic peak, only shows up in \( \sigma_z \). At this point, it is worth noting that the value of the plasma frequency in the out-of-plane case is much smaller than in conventional metals; the reason for this lies in the low value of the Fermi velocity in the \( z \) direction.

The origin of optical conductivity in the NM phase can be determined by looking at the joint density of states (JDOS), which is the real part of the optical conductivity with equal weight for all optical transitions (momentum matrix elements set to 1). This JDOS can be further resolved into various orbital contributions as follows:

\[
\text{JDOS}(E,l) = \sum_{n,n',k} \delta(\epsilon_{n\mathbf{k}} - \epsilon_{n'\mathbf{k}} - E)w_{nk}P_{n'k}(l),
\]

where \( n \) are band indices and \( P_{n'k}(l) \) is the projection of the Kohn-Sham state on the orbital channel \( l \). This orbital projected JDOS is shown in the lowest panel of Fig. 2. Clearly, all the low-energy features originate from the Fe \( d \) states that dominate near the Fermi energy.

The optical conductivity in various magnetic phases is shown in Fig. 3. The main effect of magnetism is an increase of conductivity in the 0.1–1.0 eV range. The reason for this is that the reduced symmetry makes more states available (folding of the Brillouin zone due to the antiferromagnetic

FIG. 2. (Color online) Optical properties for nonmagnetic \( \text{BaFe}_2\text{As}_2 \). In the top panel, note the real (black) and imaginary (red) components of the dielectric function. Dashed and full lines refer, respectively, to intraband and interband contributions as in Eq. (2). In the two central panels, the optical conductivity \( \sigma_{xy} \) refers to the in-plane and \( \sigma_z \) to the out-of-plane component. Dashed lines are the intraband contributions. The joint density of states is resolved into orbital contributions in the lowest panel.

FIG. 3. (Color online) The in-plane optical conductivity for various magnetic configurations of \( \text{BaFe}_2\text{As}_2 \). Full and dashed lines give, respectively, real and imaginary parts of \( \sigma \). All the data refer to the interband components only, apart from the FM case where the Drude contribution is also reported as a line with dots.
symmetry breaking), and new interband transitions are allowed in the low-energy range. As we will see in the following, the new structures merge in the Drude intraband contribution as soon as the magnetic order disappears. This is anticipated by the behavior of $\sigma$ in the ferromagnetic phase, where the ordered moment is low. In this phase, a broad shoulder at about 0.1 eV is almost merged with the Drude peak (two dotted lines corresponding to the $\uparrow$ and $\downarrow$ channels). This enhancement of $\sigma(\omega)$ in the 0.1–0.3 eV region, induced by AF ordering, is an important feature of our results. This has been observed experimentally by different groups. We identify the peak at 1000 cm$^{-1}$ (0.124 eV) reported in Refs. 17, 18, 20, and 21 as a result of the AF ordering, which introduces additional low-frequency transitions. It is interesting to note that, in the striped phase, it appears only for field polarization perpendicular to the stripes (let’s call it off stripe). No similar effect exists when the electric field is in the direction of the stripes where the magnetic ordering is ferromagnetic.

In order to understand this, we plot in Fig. 4 the energy-bands dispersion along the main lines of the Brillouin zone, including the two orthogonal in-plane directions both in the top and the basal planes. Compared to the usual representation of bands in this compound, we have here a folding of the Brillouin zone, with the $\Gamma$ point electron pockets folded back at $\Gamma$. We highlight, in particular, a Fe $d$ band located at about $-0.1$ eV at $\Gamma$, strongly dispersed in the direction of the stripe ($\Gamma$–$Y$) and flat in the off-stripe direction. The presence of this band offers a much larger phase-space availability for vertical transitions, compared to the nonmagnetic case and to the orthogonal direction. If this interpretation is correct, then the experimental peak can be fully understood in terms of single-particle properties.

At higher energies, around 8 eV, a peak mostly due to Ba $f$ states appears in the imaginary part of $\sigma$, inducing a corresponding structure at about 6 eV in its real part. These high-energy features are not affected by the magnetic ordering, which induces important major changes only up to about 3 eV.

The effect of magnetic ordering can be singled out by defining the conductivity anisotropy $\Delta\sigma(\omega) = \sigma_\uparrow(\omega) - \sigma_\downarrow(\omega)$, which is plotted in Fig. 5. This anisotropy is strongly dependent on the magnetic moment, which, in turn, is strongly overestimated by standard DFT-LDA. In order to partially account for this effect, we perform fixed-spin-moment DFT calculations and report $\Delta\sigma$ as a function of the Fe magnetic moment varying from 1.5$\mu_B$ (the value obtained in our ground-state calculations) to zero.

We see that, for the calculated ground state, the low-frequency part of the spectrum has an anisotropy peak centered around 0.25 eV ($\approx 2000$ cm$^{-1}$). The spectral weight of this peak is compensated by depletion regions at high energy (see, for example, the negative peak around 1.5 eV). For smaller values of the magnetic moment, this peak grows in intensity and also shifts toward lower frequencies. According to the above interpretation of the peak of $\sigma$, this can be understood in terms of the creation of a quasigap (depletion in the density of states) around the Fermi level $E_F$; a smaller moment implies a smaller quasigap, with a more peaked-shape anisotropic structure. This interpretation is consistent with the analytical model analysis by Fernandes and Schmalian described in Ref. 44. The same happens to the high-energy negative structures.

Our theoretical spectrum and its anisotropy can be compared with the experimental results. The presence of the anisotropy peak, magnetic in origin, is confirmed by experiment, both in its energy position and in its general shape, with a depletion of states around and above 500 meV (4000 cm$^{-1}$). To have a consistent comparison, we should consider our values calculated at the experimental magnetic moment. This is not easy, as there is no universal agreement between different experiments; neutron diffraction and Mössbauer measurements give moments around $1\mu_B$ and $0.4\mu_B$, respectively. In light of this, our comparison can only be semiquantitative. Still, using the results for $\mu_F = 0.75 - 1\mu_B$, the peak at 1000–2000 cm$^{-1}$ (120–250 meV) and the depletion of states after 3000–4000 cm$^{-1}$ (350–500 meV) found in experiments are in fact well reproduced by theory. We also notice that, in experiments, the peak position does not change with temperature, while in our case it does change with the magnitude of the fixed moment. While the latter finding is clearly a consequence of the evolution of the band structure, the
experimental behavior seems to point to a disorder of atomic moments, rather than to their reduced magnitude.

Bearing this in mind, we can make a detailed comparison between our results and experiments based on the anisotropy in the optical conductivity recently observed on detwinned crystals.18 In Fig. 6, we plot the experimental anisotropy together with theoretical results (Drude contributions are not included in both curves). We clearly see a fairly good agreement in the general shape. The main interband peak starts with the theoretical result obtained for a reduced magnetic moment of 0.75μ_B, close to experimental estimations (see text for details).

B. Co doping

A fuller understanding of the optical properties of this system can be achieved by studying the doped system. It is well established experimentally that electron or hole doping of the BaFe_2As_2 rapidly destabilizes the magnetic phase. In the case of Ba(Fe_{1−x}Co_x)2As_2 at 6% Co concentration, magnetism disappears and the compound becomes a superconductor. A possible coexistence between the two phases has been reported,5,8 possibly justified by nanoscale inhomogeneity in the dopants. In this paper, we assume that the magnetic phase is destroyed at 6% of homogeneous Co inhomogeneity in the dopants. In this paper, we assume that the magnetic phase is destroyed at 6% of homogeneous Co inhomogeneity in the dopants.

Keeping the crystal structure fixed, and using the virtual crystal approximation (VCA), this phase transition is not properly reproduced by DFT LSDA calculations.33 The Fe moment seems to be only weakly reduced by electron doping (by about 20% for x = 0.06). The strong sensitivity of magnetism to the As positions and the unavoidable impossibility of describing disorder effects could be all valid reasons for this disagreement with experiments. This disagreement could again be due to correlation effects beyond LSDA. We can simulate the experimental situation (and, in particular, the Co-induced destabilization of magnetism) by doing fixed-spin-moment calculations as before. At each doping (achieved using VCA), we fix the magnetic moment by scaling it according to the experimental magnetic critical temperature T_N.5,8 This constraint is likely to correct the deficiency of the conventional LSDA calculation and will mimic the two main Co-induced effects: a localized electron doping on the Fe layer and a magnetic to nonmagnetic phase transition induced by this.

The calculated optical conductivity in the Str. phase is plotted in Fig. 7. When doping reduces the Fe magnetic moment, the system becomes more metallic; an increase of the plasma frequency is accompanied by a large transfer of spectral weight from the structures around 0.8 eV to the Drude peak. The region of suppressed conductivity around 70 meV is filled as the magnetic gap closes.44 The observed trend is similar to the one reported in experiments (see, for example, the work of Nakajima et al.21 and Lucarelli et al.17). The main difference between our calculations and the experimental work (see, for example, Fig. 4 on Ref. 21) is in the evolution of the spectra: We observe a transfer of spectral weight from one peak in the high-energy part of the spectrum to the Drude component, while in experiments, the spectral weight transfers smoothly, without spectral peaks moving sharply as a function of x. As

FIG. 6. (Color online) Top panel: Experimental optical conductivity of detwinned Ba(Fe_{1−x}Co_x)2As_2 measured with the field along the two in-plane Cartesian directions (red and blue dashed lines), compared with theoretical prediction in the magnetic Str. phase (full lines). Bottom panel: Experimental optical conductivity anisotropy (σ_x−σ_y) at 0.025 of Co doping and 10 K, compared with the theoretical result obtained for a reduced magnetic moment of 0.75μ_B, close to experimental estimations (see text for details).

FIG. 7. (Color online) The in-plane optical conductivity of Ba(Fe_{1−x}Co_x)2As_2 in the Str. phase. The x direction corresponds to the direction of the stripes. The x and y directions are averaged in the bottom panel, as they should come in an experimental measurement for twinned samples. The Drude contribution is included.
the magnetic moment finally tends to zero as a function of doping, the matrix elements involved (transition probability) in these interband transitions also progressively vanish; these transitions are, in fact, vertical, hence optically allowed, in the magnetic-stripe Brillouin zone, but not anymore in the larger paramagnetic Brillouin zone. The calculations still use the same magnetic cell, but the resulting matrix element satisfies the selection rule above.

We believe that this difference can be also understood in terms of the intrinsic inhomogeneity of the doping in samples, present even in a macroscopically homogeneous Co distribution. Experimental indications of this kind of inhomogeneity have been reported and discussed in several papers. Therefore, experimentally, each spectrum would correspond, to some extent, to an average of a range of theorethical dopings that are intrinsically homogeneous in the virtual crystal approximation.

III. CONCLUSIONS

In this paper, we report the calculated optical conductivity for BaFe$_2$As$_2$, one of the most studied members of the iron-arsenide family in order to understand which experimental features can be reproduced by theoretical methods based on DFT. We confirm the fact that the plasma frequency in the nonmagnetic phase is much higher than the experimentally observed values, indicating a poor description of the nonmagnetic state within LSDA. On the other hand, in the magnetic striped phase, the experimental estimate of the in-plane plasma frequency (0.58 eV) is not far from our values (0.7 and 0.91 eV). If one ascribes the disagreement between theoretical and experimental results to correlation effects, as proposed by Qazilbash et al., this would mean that the virtual spin fluctuations freeze in at the onset of static magnetic ordering.

Studying the frequency dependence of the optical conductivity, we observe that a low-energy peak appears whenever the polarization of the incident light is directed along an antiferromagnetically oriented spin configuration, as in the CB or Str. phase along the $y$ direction. This magnetic peak has been observed experimentally by various groups. In this paper, we are able to explain the experimentally observed large anisotropy of this peak.

We also reported the effect of Co doping by combining fixed moment calculations (to reproduce the experimental Fe moment) and the virtual crystal approximation and were able to reproduce the spectral weight transfer of the optical conductivity from the high- to the low-energy parts of the spectrum, as a function of such doping. This effect can be ascribed to the reconstruction of the electronic structure around the Fermi energy because of the magnetic ordering.

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