Review on Effect of Shade Tree on Microclimate, Growth and Physiology of Coffee Arabica: In case of Ethiopia

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Abstract: The seminar work conducted to review the effect of shade tree on microclimate, growth and physiology of coffee plants. To achieve this reviewed, microclimate, growth, and physiology of coffee plants growing under shade trees were compared with those of coffee plants growing under direct sun light. Different physiological, growth parameters, and microclimate environmental effect reviewed. Shade trees protected coffee plants against adverse environmental stresses such as high soil temperatures and low relative humidity. The light intensity in coffee declines under shade and that this depends on the shade tree species. The major effects of shade trees on the microclimate experienced by coffee plants can be summarized as a reduction in transmitted light and an improvement in microclimatic conditions through a reduction of air and coffee leaf temperature extremes. The higher growth in the shade plants from internodes elongation which was induced by low irradiance. The shade increased the number of node. The number of flowers branch between un shade and shade is affected by location of canopy. The fruit fall under shade was less than that of coffee grown in full sun. Coffee performs best when grown under suitable shade tree species with properly designed cropping system however, shade also triggered differences in physiological behavior of the coffee plants, such as improved photosynthesis and increased leaf area index, resulting in better performance than possible in direct sun light. Consequently, coffee plants grown under shade trees produced larger and heavier fruits with better bean quality than those grown in direct sun light. Moreover, shaded plants had greater biochemical and physiological potential for high dry matter production which would help them to maintain high coffee yields in the long term. If growing coffee under shade trees would allow other sources of income such as fruits, fuel wood and timber to be produced, it could be socially more acceptable, economically more viable and environmentally more sustainable. In this reviewed of literature I can recommended that of growing coffee in the shade had better performance and suggest that the future reviewed should be directed toward deterring the development of fungal diseases and increase of coffee yields under shaded conditions.

Keywords: Coffee, Growth, microclimate, physiology, Shade

1. INTRODUCTION

1.1. Background and Justification

The word "coffee" comes from the name of a region in Ethiopia where coffee was first discovered – ‘Kaffa’. The name ‘Kaffa’ is inherited from the hieroglyphic nouns ‘KA’ and ‘AFA’. ‘KA’ is the name of God; ‘AFA’ is then name of earth and all plants that grow on earth. So the meaning of Koffee (Coffee) from its birthplace means as the land or plant of God. In addition to this, as a result of the genetic diversity of Ethiopian coffee, botanists and scientists agree that Ethiopia is the centre for the origin, diversification and dissemination of coffee plant (Bayetta, 2001). According to (Tadse, 2015), there are four types of coffee production systems in Ethiopia: forest coffee (10%), semi forest coffee (35%), garden coffee (50%) and plantation coffee (5%). Climatic factors, type of soil, mulching, farm management method, crop production methods, etc. are among the prominent factors that affect the growth and development of plants including coffee. Amongst the various factors for having a good yield of coffee is growing of shade trees with the coffee plant which has a great contribution on both the life span of the coffee trees and its yield (Senbeta 2006)

In many parts of the world, small scale coffee growers’ uses multi-purpose trees (e.g. forage trees, fruit, nut trees, etc.) as shade, shelterbelt and windbreaks, for beautifying residential areas, and serve
as shelter for coffee plants from excessive sun and high temperatures. However, the management of maintaining optimal shade levels (around 40-50%) and dealing with the pruning waste of the plants can also become a lot of work (ICO 2015). Traditionally, all coffee plants were shade grown and most varieties are naturally into learn to direct sunlight, and prefer a canopy of sun-filtering shade trees. The trees not only protect coffee from direct sun light, they also mulch the soil with their fallen leaves which helps to protect the soil from excessive temperature and retain soil moisture there of reducing evaporation (Njoroge and Kimemia, 1993; Vaast et al., 2006; Bote and Struik, 2011). Coffee plantations managed in this traditional manner, as they mimic forests, will also provide a lively habitat which is able to harbor wildlife and different bird species. The birds in turn help to facilitate pollination and serve as a biological insect control for their unceasing foraging. It is therefore from this integrated farming system that the best quality coffee beans are produced (Tadse Woldemaria, 2015). However, as a result of the increased demand for coffee, a higher way of productivity, that is growing coffee plant in the open sun, was developed for coffee farming. This approach is followed by the continual application of chemical fertilizers and pesticides to keep up with the plants’ faster growth rate and to make up for the loss of nutrients (because of severe soil erosion and removal of nitrogen-fixing trees from the surface).

The increased shift from shade grown to open sun coffee crop production systems has affected the quality of coffee available to most consumers. Amidst of the ecological benefits of forest trees for the sustainable and organic production of coffee as well as the benefits in conserving and use of coffee genetic resources, the forest resources are being cleared rapidly from the earth’s surface at an alarming rate as a result of deforestation. landuse and land cover change. In Ethiopia, deforestation is estimated at 10,000 ha/year in the southwestern coffee growing regions (Molla mekonen, 2015). As a result of this the fauna and flora genetic resources of the country are being threatened posing a problem to the sustained development of the country.

In its center of origin and genetic diversity in Ethiopia, Coffea arabica grows wild in the Montana rainforests of southwestern as an under-story shrub in the deeply shaded forest canopy cover (Coste, 1992). Although, the crop is said to be a shade loving plant with greater quantum utilization efficiency for photosynthesis, excessive shading by upper two to three canopy strata of various tree species under forest environment would decrease growth and productivity of coffee trees because the plant spent much of their photosynthetic activities for maintenance purpose (Tesfaye et al., 2002).Heavy shading due to light penetration by the upper canopy strata can result in increased competition for light for photosynthesis. Subsequently, undesirable growth of single stemmed coffee trees with thin leaves and reduced reproductive efficiency are evident. Again, dark respiration can result in death of heavily shaded productive middle and bottom primary branches and thus productivity of the coffee tree considerably decreased (Tesfaye et al., 2002). On the other hand, in full sun conditions there will be inadequate reaction centers to accommodate the light energy and convert into biochemical energy and the coffee plant photo respires excessively and eventually most of the stored carbohydrates get depleted, which ultimate problem of shoot and root die-backs. Besides, excessive evapo transpiration and sever water stress, death of actively growing shoot parts, suchas branch tips, seasonal crinkling of leaves, frost damages “hot and cold disorder” and subsequent yield reduction are common problems in unshaded coffee stands (Cambrony,1992). The findings of Kumar (1979) indicated that coffee is a C3 or an intermediate between C3 and C4, flourishing best under moderate shade regimes. In line with this, Yacob et al. (1996) also demonstrated that coffee shade trees can create more favorable environments than full sun exposed conditions. Hence, Coffea arabica is a shade-adapted plant (Coste, 1992; Wintgens, 2004), but thrives best in moderate shading (Yacob et al.,1996). Despite this, the forest habitat of Coffea arabica gene pools is being disturbed largely due to deforestation practice in parallel with the increasing population pressure. Moreover, the indigenous shade trees are being drying because of age and other biotic stresses. Hence, investigation on the establishment of shade tree arboreta to simulate the original forest habitat of Coffea arabica is crucial for sustainable coffee production. Despite its decisive role in the national economy, coffee has remained small-holders crop in Ethiopia and thus predominantly produced under heterogeneous shade conditions. Taye and Tesfaye (2002) reported that this traditional farmers’ production system can maintain environmentally friendly environments while producing high quality and fully organic coffees in the country.
Agro forestry systems usually include a high density and diversity of shade trees. Such systems often have a large diversity of fauna and flora and provide local and regional ecosystem services. Shade trees are, however, being removed to increase crop production in many tropical regions. There is little knowledge on the effect of shade trees on crop production in the context of trade-offs with other management practices. Growing coffee under shade trees is one of the fundamental principles in traditional organic coffee growing systems (Beer et al., 1998). Shade trees reduce excessive light, mulch the soil with their litter, create hostile conditions for pests and diseases, and harbor a variety of predatory animals (Beer et al., 1998). Arabica coffee is a self-pollinated plant initiating heavy flowers that rapidly develop to fruits (Yunianto, 1986). During this period there is increasing carbohydrate absorption from both leaves and wood for flowers initiation and rapid fruits expansion. As a result roots are damaged, leaves are absconded and branches start drying from the tip and go back to the petiole. But, shade trees assist in maintaining coffee yields in the long term by reducing periodic over-bearing and subsequent die-back of coffee branches. In addition, shading delays the maturation of coffee berries resulting in a better bean filling and larger bean size resulting in better coffee quality (Muschler, 2001). In Ethiopia, coffee was cultivated in this traditional way following the principles that (Lammerts van Bueren and Struik, 2004) called ‘the concept of naturalness’. Soils were amended by applying compost, farm yard manure and green manure, while no chemical fertilizers, herbicides or fungicides were used. However, as demands for coffee production expanded, many coffee growers abandoned their traditional coffee growing system and started to grow coffee without shade trees. This new coffee production system was accompanied with intensive use of chemical fertilizers, insecticides, herbicides and fungicides resulting in blended and inferior coffee quality. Coffee plants in direct sunlight also showed a higher incidence of premature death (Steiman, 2003). In addition, the genetic resources of Coffea arabica and its associated biodiversity are disappearing at an alarming rate and environmental degradation, including soil erosion and extreme river discharges, is becoming severe (Gole et al., 2002; Osman, 2001). People in Ethiopia became unable to nourish their families and frequently became dependent on food aid. However, there is a growing worldwide movement to support and enhance organic coffee production systems under shade trees (Mark, 2005). This requires review of literature into the effects of shade on growth, production and physiology of coffee. The objective this review of literature, therefore, conducted with the main objective to evaluate the effect of shade tree on microclimate, growth and physiological response of known Coffea Arabica

2. LITERATURE REVIEW

2.1. Effect of Shade Tree on Microclimate Change

2.1.1. Soil Temperature

As Adugan and Pauol, 2011 studied Soil temperature and light intensity of shaded coffee plants were significantly lower than those of coffee plants grown in direct sun light, whereas the relative humidity of the air of the shaded plants was significantly higher than of plants grown in full sun light. Soil temperature plays a critical role in the survival of many organisms, but it varies in response to exchange processes that take place through the surface of the soil. The reduction in soil temperature, observed under shade, was mainly caused by the ability of shaded soil to stabilize the local thermal balances and also to reduce the heat flux caused by the accumulated plant based biomass (Morais et al., 2006). Siebert (2002) also reported that shading reduces and stabilizes the soil temperature by reducing the radiant flux reaching the soil and modifying the temperature amplitude at the soil surface. The reduced air temperature registered for coffee grown under shade was in agreement with the result obtained by (Campanha et al., 2005). As they concluded the reduced air temperature was mainly due to the reduced direct incidence of solar radiation on the coffee canopy. Shading buffers the extreme temperature variations and provides a microclimate which attenuates extreme temperatures of air and soil and preserves surface soil humidity.

This finding was also agree with Lenka et.al, 2010 the average monthly soil temperature lower at shaded area than the non-shaded area. The non shaded area had higher soil temperature during the day and also during the night. Maximum ambient midday soil tempreture at lower depth were lower in the shaded area. Visible symptoms of damage of coffee can be caused by overheating (Willey, 1975). Although they found that the area without the protection of shade trees would get warmer, the differences in air temperatures in shaded and non-shaded areas were small (Morais et al. 2006)
2.1.2. Light Intensity

Light intensity of shade coffee plants were significantly lower than those of coffee plant grown in direct sunlight (Adugna and Paula, 2011). The reduction in soil temperature, observed under shade, was mainly caused by the ability of shaded soil to stabilize the local thermal balances and also to reduce the heat flux caused by the accumulated plant based biomass (Morais et al., 2006). Siebert (2002) also reported that shading reduces and stabilizes the soil temperature by reducing the radiant flux reaching the soil and modifying the temperature amplitude at the soil surface. The result obtained by (Campanha et al., 2005) indicated that, the reduced air temperature was mainly due to the reduced direct incidence of solar radiation on the coffee canopy.

According to (Jean M, and Pablos S, 2009), the canopy of I. densiflora trees had a strong influence on the microclimate experienced by coffee plants growing underneath, primarily through a reduction in light availability. Furthermore, the transmitted light was probably partially depleted in red wavelengths affecting the specific leaf area and architecture of the under-story plants as documented by (Staver et al. 2001). In shade tree coffee agro forestry system, coffee canopy light availability varied between 50 and 25% of the open radiation in the dry season and the wet season, respectively. These reductions are in the commonly observed range for coffee (40–70%) according to many studies (Beer et al. 1998; Muschler and Bonnemann 1997; Vaast et al. 2005a). The low radiation values during the wet season are explained by the high canopy development of associated shade trees. In the central region of Costa Rica, farmers commonly pruned shade trees to reduce shade level for coffee. These low radiation levels are common and acceptable because coffee photosynthetic rates are at their maximum at intermediate radiation levels (PFD of 600–900 Lmol m-2 s-1) in many coffee growing conditions (Vaast et al. 2005c; Franck et al. 2006). Indeed, coffee presents the characteristics of a shade adapted plant with a low light compensation point (15–20 Lmol m-2 s-1), low values of light saturation (500 and 900 Lmol m-2 s-1 for shade and sun leaves, respectively) and photo-inhibition at high radiation values, especially under water or nitrogen limiting conditions (Kumar and Tieszen 1980; Cannel 1985; da Matta and Maestri 1997; Franck 2005). This reduction in light and the variability between trees occurs because the transmittance of light is dependent on the structure and thickness of branches and leaves of the shade trees and density and width of the crown (Suryanto et.al. 2005). The small canopy diameter of Durian produced the least shade area and therefore allowed more light to be transmitted than other shade tree species. The small canopy in durian was partly the result of grower’s harvesting Durian fruits resulting in fewer branches, low crown density and shade area and intensity (Suryanto et.al. 2005).

The observed decline in light intensity from the top to the bottom of the coffee canopy was a result of the combined effect of the shade trees and the coffee bush strata. A decrease in light intensity has been shown to be simultaneous with increasing thickness of the tree canopy (Suryanto et.al. 2005) and this is what occurred for the different levels (top, middle and bottom) within the coffee bushes.
Fig2. Percentage of incident sunlight measured under the canopy of coffee bushes grown under Durian, Leucaena or Senna shade trees

2.1.3. Relative Humidity

As Gleison et al. 2016 studied the air humidity was higher at the shade area than non-shaded area. As in the case of air temperature for air humidity the daily fluctuation were smaller at the shade area (Lin, 2008). The higher humidity at the shaded area was in accordance with (Barradas and Fanjul, 1986), who explained it by higher output of water vapor produced by a much higher transpiration rate of canopy trees pumping water from lower soil layers. Additionally, shade of up to 55% is beneficial for coffee leaf photosynthesis as it maintains greater coffee net photosynthesis relative to plants in full sun via a significant attenuation of stomata stress (Franck and Vaast, 2009). Higher humidity is favorable for coffee shrubs during the dry season, but it can be problematic during the wet season because of increased risk to the coffee shrubs from fungal diseases (Avellino et al., 2007). For example, coffee leaf rust (caused by Hemileia vastarix) generally develops during the rainy season (Avelino et al., 2006), but the lack of rain does not seem to be a significant limiting factor for developing this disease. Other sources of free water, such as dew, can facilitate the germination of spores during the absence of rain (Muller, 1975). Similarly, American leaf spot (caused by Mycena citricolor) develops in very damp conditions, and high humidity can cause epidemics of this disease (Wintgens, 2004). This finding also in lined with (Adugna and Pablous, 2011), the relative humidity of the air of the shaded plant was significantly higher than plants grown in full sun light.

Fig3. Shade effect on Relative humidity

2.1.4. Air Temperature

As the Gelison et.al 2016 showed Air temperature for the daily fluctuation was smaller at shade area the comparison of daily microclimate fluctuations for wet and dry months, the maximum temperature was 29.5 °C at the shaded area and 31 °C at the non-shaded area. The reduced air temperature registered for coffee grown under shade was in agreement with the result obtained by (Campanha et al., 2005). As (Adugna and Pabulo, 2011) also agreed the reduced air temperature was mainly due to the reduced direct incidence of solar radiation on the coffee canopy. Shading buffers the extreme temperature variations and provides a microclimate which attenuates extreme temperatures of air and soil and preserves surface soil humidity.
As Pablos Siles (2010) indicated, measurements of leaf temperature in unshaded coffee canopy and in coffee canopy grown under shade of I. densiflora showed a substantial buffering effect of shade on the thermal microenvironment. During the dry and wet seasons, coffee leaves without shade experienced temperatures higher than air temperature except for leaves located in the lower part of the canopy during radiation. Temperature differences stem basal area (m² ha⁻¹) coffee leaves in the open and under shade were found to vary between 1°C and 7°C depending on time of the day, season and leaf position within the coffee canopy. These leaf temperature differences were similar to those reported in the literature for various coffee systems. In Mexico, Barradas and Fanjul (1986) reported that the presence of Inga trees (205 trees ha⁻¹) reduced the daily maximum temperature by 4–5 °C and increased the minimum temperatures by 1–2°C. Similarly, 40–70% of shade provided by Erythrina poepiggiana or Terminalia ivorenisis or Eucalyptus deglupta lowered leaf and soil temperatures in low elevation coffee zones to levels that are closer to optimum for coffee (Muschler and Bonnemann 1997; Vaast et al. 2007b). This reduction is of particular importance since the temperature range is between 18 and 24°C for an optimal photosynthesis of Arabica coffee (Cannel, 1985; Vaast et al., 2005c; Franck et al., 2006) and with a detrimental effect of temperature above 25°C related to stomatal closure (Kumar and Tieszen 1980; ). Furthermore, additional positive temperature buffering effects of trees in AFS are also reported such as improved crop establishment, reduced soil evaporation, and enhanced activity of soil organisms (van ojen et al., 2008).

### Table 1. Average and statistical analysis of various environmental variable for shade coffee plant and coffee plant in direct sunlight

| Variable           | Shade Plant | Plant in Direct Sunlight | Significance Two Tailed |
|--------------------|-------------|-------------------------|-------------------------|
| Air Temperature 0°C| 25.5        | 26.7                    | ns                      |
| Soil Temperature 0°C| 19.7        | 20.8                    | 0.00*                   |
| RH%                | 59.7        | 55.1                    | 0.01*                   |
| Light Intensity    | 557         | 1193                    | 0.00**                  |

Source: Adugna and Bote, 2011 available online at .www.academic journals.org

### 2.2. Effect Of Shade On Growth

#### 2.2.1. Leaf Area

Implementation of shade conditions significantly increased the coffee plant leaf area irrespective of the year season, when compared to unshaded conditions, as also found by others (Ricci et al., 2013). The differences among seasons and orientation side (northern or southern) may be related to both the sun inclination and the irradiance level. Arabica coffee seedlings growth under different radiation levels showed increased leaf area with decreased light (Tabagiba et al., 2010), whereas Conilon plants shaded by rubber trees showed the same pattern of higher leaf area (Partelli et al., 2014a). Valladares et al. (2006) found this effect in different plant species, in which the shaded leaves exhibited increased leaf areas per unit mass because of the lower intensity of received photon flux. According to these authors, the increased leaf area is a plant acclimation strategy under low light for harvesting the greatest possible light intensity. Overall, sun-exposed leaves are smaller because the mesophyll cell arrangement increases the contact surface with the air, allowing better latent heat loss and effective foliar cooling (Rubio-de-Casas et al., 2007).

### Table 2. Averages and statistical analysis of various plant variables for shaded coffee plant and coffee plants in direct sunlight

| Variable                  | Shade Plant | Plant in Direct Sunlight | Significance |
|---------------------------|-------------|-------------------------|--------------|
| SLA (cm² lg⁻¹)            | 116         | 98                      | 0.04         |
| LAI (M² m⁻²)              | 3.8         | 2.8                     | 0.01         |
| RGR (cm¹ cm⁻³)            | 12.3        | 9.7                     | ns           |
| Leaf N content (mg g⁻¹)   | 288         | 219                     | 0.03*        |
| Leaf colour (value on greenness scale) | -8.6 | -7.6 | 0.00** |

Where SAL =Specific leaf area, LAI =Leaf area index, RGR=Relative growth rate

Source: Adugna and Bote, 2011 available online at .www.academic journals.org
2.2.2. Internodes and Node

The average internodes length of both plagiotropic/reproductive and orthotropic branches increased throughout the year in response to greater shading levels, as previously reported in coffee trees (Morais et al., 2003; Partelli et al., 2014a). The closer coffee plants to the Australian cedar on the southern side presented higher internodes lengths in reproductive branches throughout the year and from October onwards in orthotropic branches, likely related to the lower irradiance incidence. In fact, branch elongation is caused by shading to avoid low irradiance (Ricci et al., 2006) or in environments relatively rich in far-red light under low light conditions (Morgan and Smith, 1979). Accompanying the internodes length, also the accumulated branch growth showed variations during the year depending on the different shading levels, with increasingly differences starting immediately after the beginning of measurements. When evaluating the cumulative growth data in the last assessment for both orthotropic/reproductive and orthotropic branches, there were increases in these variables according to the increases in shading levels, but there was no significant difference between the non-shade grown coffee (Gelison et al., 2016). The higher growth observed in the shaded plants is similar to the behavior presented in the branch etiolation, therefore, the shaded coffee showed the highest growth from internodes elongation that was induced by low irradiance incidence. On the other hand the study done by Gelison et al. (2016) indicated, although shade seemed to increase the number of nodes per orthotropic branch, there were only non-significant changes when considering the entire year, suggesting similar potential for grain production under different shading levels. In fact, the number of nodes is a good indicator of the amount of productive bud gems available, and it is considered the largest productivity component (Bonomo et al., 2004). Similar numbers of rosettes between both sun-grown and shade-grown coffee were also previously reported by Ricci et al. (2013). Higher nodes values were observed in February in orthotropic coffee branches that were located 4.5 m from Australian cedar on the southern side.

2.2.3. Plant Growth Parameters

The studied done by Ricce et al. (2013) accordingly, highest value of plant height 93.33 cm was obtained under grass hat treatment followed by Sesionia with mean value of 77.32cm per plant (Table 2). Similarly highest mean stem girth 3.1cm was recorded under grass hat (Gojo) treatment followed by Sesbania Sesban with mean value of 2.6cm per plant. This finding also supported by Bonomo et al., 2004 number of primary branch of coffee seedling was also considerably affected by shade tree species and highest 24 number of primary branch was obtained under grass hat pursued by Sesbania and pigeon pea with mean value of 23 and 20, respectively. The same treatment i.e., grass-hat resulted in highest 14 number of node per plant perused by sesbania and pigeon pea with equal mean value of 13 (Table 1). This is due to variation in level of competition among the treatments. For instance grass hat has no competition effect on soil nutrients and soil moisture unlike the rest of the temporary shade treatments. On the other hand less competition effect of sesbania might be due to wider spacing (4m x 4m) used unlike the remaining temporary shade treatments.

Table 3. Effect of temporary shade tree species on non-distractive growth parameters of coffee seedling

| Treatment     | PH(cm) | Girth (CM) | NPB | No of node |
|---------------|--------|------------|-----|------------|
| Pigeon pea    | 70.12b | 2.3bc      | 20bc| 14ba       |
| Sesionia      | 77.32ba| 26ba       | 23ba| 14ba       |
| Gras hate     | 93.33a | 31a        | 24a | 15a        |
| Tephrosia     | 60.91b | 20c        | 17c | 13b        |
| Tephrosia     | 68.68b | 23bc       | 19c | 13b        |
| Castor bean   | 65.04b | 22bc       | 19c | 13b        |
| Open          | 74ba   | 11bc       | 8bc | 8c         |
| CV%           | 14.75  | 15.51      | 13.93| 6.33      |
| LSD (0.05)    | 19.48  | 4.01       | 5.41| 1.59       |

Where PH= Plant Height  NPB= number of primary growth

Source. Source: Addis et al (2015), journal of biology and Agriculture available on line www.iiste.org

Table 4. Effect of temporary shade tree species on non-distractive growth parameters of coffee seedling

| Treatment     | FPBL(cm) | HEPB (CM) | NLP |
|---------------|----------|-----------|-----|
| Pigeon pea    | 37ab     | 21ab      | 149ab|
| Sesionia      | 39ab     | 22ab      | 163ab|
| Gras hate     | 45a      | 24a       | 221a |
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| Species            | FPBL | HFPB | NLP | LSD (0.05) |
|--------------------|------|------|-----|------------|
| Tephrosia          | 33b  | 20b  | 113b| 9.35       |
| Castor bean        | 35   | 23ab | 102b| 3.21       |
| Open               | 18   | 15ab | 56ab| 8.01       |
| CV%                | 14.48| 8.01 | 32.01| 3.21       |

Where FPBL=first primary branch length, HFPB=height up to first primary growth, NLP=Number of leaf per plant

Source. Addis et al (2015), Journal of biology and Agriculture available on line www.iiste.org

2.2.4. Flowering

According to Chris et al. (2015) flowering, there were no significant differences in the average number of flowers per branch per bush between shaded and unshaded coffee. This finding contrasts with previous work that found that shaded coffee produced fewer flowers as a result of fewer flower buds forming per branch, which related the reduction in light intensity (Baggio AJ et. al, 1997 and Montoyo L, A et.al 1961). This finding also agreed with Lin, (2008) who found that coffee grown under shade had more flowers per bush and flowers per node than coffee grown in full sun. As Tiem HI,(1999) and Nam TH (1999) indicated for the lack of difference between flowering at the open and shaded sites, is that upright growth was prevented due to height control through pruning and lateral branches or secondary growth were regularly pruned. This meant that all bushes were evenly spaced and sufficiently far apart to allow light and air to penetrate the foliage (Haarer, 1962). Daimata et al (2008) is also found intensive coffee cultivation in the sites can also could contribute to successful flowering, resulting in insignificant different in flowers. With respect to vertical position in the coffee canopy, both shaded and unshaded coffee followed the same trend, whereby the number of flowers per branch per bush was similar for the top and middle of the canopy, but these were significantly higher than for the bottom. This result is similar to (Lin, 2008), who reported that self-shading caused significant light limitations and was highly likely to reduce flower bud initiation; the lowest branches and innermost branch nodes produced significantly fewer flowers than the outermost nodes and high and medium height branches (Huxey,1967). A decrease in light intensity was also shown to inhibited flower bud initiation (Cannell, 1975). The study confirmed by Chris et.al, (2015) the light intensity at 30 - 90 cm aboveground bushes was about 400 μm²-m⁻²-s⁻¹, which is slightly lower than the saturation light intensity for coffee production. According to study done by Dalo (2003) and core (2015), the lower branch position (inside canopy and close to ground) grows slowly and poorly as a result of light and nutrient competition, it forms fewer fruit nodes and young branches, which results in lower yield compared to positions above.

3. EFFECT OF SHADE ON PHYSIOLOGY

3.1. Photosynthetic Rate (A)

High rates of photosynthesis mean, according to Gulmon and Chu (1981), that there is high biochemical and physiological potential for a high carbon fixation capacity. To increase their carbon fixing potential, shaded plants undertake certain modifications such as developing thinner and larger leaves (Friend, 1984) with more thylakoids per granum and more grana per chloroplast (Fahl et al., 1994). These modifications allow them to efficiently capture and utilize the available light energy in order to increase their dry matter production. Plants having higher SLA exhibit higher productivity (Li et al., 2005) and have higher potential relative growth rate than those having lower SLA (Poorter and Werf, 1998). Robakowski et al. (2003) indicated that SLA decreases as light intensity increases. As Adugna and pablos, 2011 studied lower SLA was obtained from coffee plants grown in an open sun condition which is in consistence with the findings of these authors. For shaded coffee plants, the increased SLA and the development of a darker green color were mainly attributed to the higher nitrogen content found in their leaves. It is likely that the increased SLA and the development of dark green leaf color under shaded coffee plants partly contributed for the higher rate of photosynthesis observed under this condition. Photosynthetic rate of sun grown coffee plants, on the other hand, was limited by stomata closure, high leaf temperature and low internal carbon dioxide concentration. Since many of the physiological processes of plants are temperature dependent, under high temperature crops have great difficulty in maintaining photosynthetic activities and growth (Sethar et al., 2002). Coffee is exceptionally sensitive to fluctuations in leaf temperature, especially temperatures above 25°C.
The ecological behavior of plants and their health status can be judged by considering certain parameters. For example, SLA reflect the growing conditions of the plants (Garnier et al., 2001), where as LAI and the Fv/Fm ratio are indicators of the health status of a given crop plant (Kitao et al., 2000; Malone et al., 2002). Higher LAI observed for coffee plants growing under shade indicated that these plants have higher potential for CO2 assimilation and dry matter production than unshaded plants (McNaughton and Jarvis, 1983). Higher Fv/Fm ratio observed for shaded coffee plants illustrated the fact that these plants are less stressed by high light intensity than those grown in direct sun light. Sethar et al. (2002) supported this idea indicating Fv/Fm ratio decreases significantly when plants are exposed to heat stress. For plants grown under higher irradiance, the reduced value of Fv/Fm ratio is an indication of the damage of a proportion of reaction centres, a phenomenon called photo-inhibition. Once a proportion of a PSII reaction centre is damaged, light energy utilization capacity of this centre decreases resulting in a reduced quantum yield of net photosynthesis (Rintamaki et al., 1995; Maxwell and Johnson, 2000). Light, as energy source for photosynthesis, is an essential prerequisite for plant life. Excess light, however, can inhibit photosynthesis and lead to photo-oxidative destruction of the photosynthetic apparatus, thereby decreasing the photosynthetic rate of the plant growing in direct sun light besides affecting its life span (Li et al., 2010). According to Fahl et al. (1994) and Ramalho et al. (2000), higher values of Fv/Fm obtained from shaded leaves can also be linked with higher leaf nitrogen content. Under stressed conditions the availability of more leaf nitrogen triggers photo protective mechanisms against photo-oxidation by its ability in promoting the activation and backing up of the protective mechanisms (Fahl et al., 1994). Leaf nitrogen was also found to have a strong and positive correlation with carbon assimilation rates allowing shaded leaves to have better photosynthetic performance and greater vegetative growth rate than sun leaves. Therefore, coffee plants found in direct sun light were grown under environmental conditions that are more likely to lead to plants stress responses, compared with the environmental conditions under which shaded plants are grown.

3.2. Stomata Conductance

As Adugna (2011) studied for the coffee plants grown in direct sun light, increased air temperature above this level resulted in subsequent lowering of stomatal conductance, which in turn imposed a large limitation on the rate of CO2 assimilation. Kasai (2008) has also found comparable results: stomatal conductance and photosynthetic rate were found significantly lowered by growing soybean plant under continuous light. High temperatures, according to Farquhar and Sharkey (1982), reduce the electron transport capacity and increase the rates of CO2 evolution from photorespiration and other sources causing the photosynthetic rate to become lower. As stomata are highly responsive to the factors that influence the rate of transpiration, their movements can also be affected by leaf-to-air...
vapour pressure difference (Kim et al., 2004). Under shade, however, reduced air temperature and light intensity increased the percentage relative humidity in the air around coffee plants and subsequently reduced the vapour pressure deficit (VPD) between the interior of the leaf and the atmosphere. This reduced VPD decreased rate of transpiration of the leaf resulting in increased leaf water potential. Under such small VPD, stomata aperture increases providing better chance for CO2 to be diffused into the leaf.

![Leaf stomata conductance (cm/s) of coffee plants planted at varying distances from shade trees](source)

**Fig. 4.** Leaf stomata conductance (cm/s) of coffee plants planted at varying distances from shade trees

### 3.3. Photo Synthetically Active Radiation (PAR)

According to Adugna (2011) due to the interception of solar radiation by shade trees, the incident solar radiation, PAR, was greatly reduced for coffee grown under shade. But, plants under shade had a higher photosynthetic rate. Coffee in full sun recorded higher photosynthetically active radiation (PAR) reaching it than shaded coffee. This agrees with the findings by Baliza et al., (2012) who also observed a decrease in photosynthetically active photon flux density (PPFD) with increase of the shading level due to the effect of tree leaves filtering out the red light and transmitting the green. The PAR reaching coffee trees also increased with increasing distance from shade tree (reducing shade level). This paradox can be explained by the fact that plants under shade undertake certain morphological modifications and physiological adaptations, and their leaves are capable of absorbing more than 90% of the energy contained in the wavelengths between 400 and 700 nm (Lee, 1985). In addition, Bartlett and Remphrey (1998) indicated that there are no significant reductions in photosynthetic rate and growth of coffee plants grown under shade unless the level of shade exceeds 90%. The darker green colour of coffee leaves developed in the shade was most likely associated with the larger amount of nitrogen accumulated in them (Titus and Pereira, 2005). Leaves having such dark green colour absorb more light, have chloroplasts with improved light capturing capability and are cheap units of photosynthetic area (energetically) as they capture lower light intensity and utilize them efficiently to increase their photosynthetic rate.

![Photosynthetic active radiation (PAR; µEm-2s-1) of coffee planted at varying distances from shade trees](source)

**Fig. 5.** Photosynthetic active radiation (PAR; µEm-2s-1) of coffee planted at varying distances from shade trees

### 3.4. Leaf Temperature

The work done by Odeny (2016) leaf temperature was significantly affected by shade during the dry period but not during the rainy period. As he observed, leaf temperatures tended to be lowest in the

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morning, peaking at midday then decreasing thereafter. Very high leaf temperatures of up to 38°C were attained in full sun coffee at midday in the dry period. Leaf temperatures are generally higher than air temperatures since leaves are heated by absorbing solar radiation. Likewise, Chaves et al., (2008) recorded leaf temperatures of up to 35°C in sunlit leaves and Siles and Vaast (2002) recorded temperatures of above 25°C. Shaded coffee tended to have lower leaf temperatures than unshaded coffee during the dry period, with the difference ranging from an average of 1.2°C to 1.93°C. Jassogne et al. (2013) similarly observed that shade reduced temperatures in the coffee trees by up to 2°C. Baliza et al., (2012) found that leaf temperatures for both dry and rainy season were highest under full sun but declined with increase in shading level. This finding also supported by Siles and Vaast (2002) who registered coffee leaf temperatures 2°C higher, in full sun during the dry season, than under Eucalyptus deglupta or Terminalia ivorensis shade.

Fig6. Influence of shade on leaf temperature (OC) of coffee plants planted at varying distances from shade trees

3.5. Transpiration Rate

Generally, shaded coffee had higher transpiration rate than coffee in full sun with the exception of the dry period Odeny, (2016). The findings are comparable to those reported by van Kanten and Vaast (2006) who showed that, while coffee transpired more per unit leaf area in full sun, the diurnal water intake per hectare was higher under shade. They further observed that the annual pooled water transpiration by coffee and associated shade trees ranged from 20 to 250% more than sole coffee grown in full sun. Results of this study show that shade had a significant effect on transpiration rate during the dry and rainy seasons. The low transpiration could be attributed to the fairly high leaf temperatures that were registered during this study. As reported by Gates (1968), leaf temperature determines the vapour pressure deficit (VPD) within the leaf and is therefore the prime mover of transpiration. The results were supported by Hernandez et al., (1989) and Mayoli and Gitau (2012) who observed a strong and direct reaction of stomata to VPD. Van Kanten and Vaast (2006) found that coffee transpiration was restricted at higher VPD, recorded during the dry period, due to stomata closure.

Fig7. Leaf transpiration (µgcm-2s-1) of coffee plants planted at varying distances from shade
4. Summary and Conclusion

We detected both positive and negative effects of shaded trees on microclimate conditions of coffee plantation. Positive effects included the reduction of air as well as soil temperature changes. One negative effect could be the increase in number days with lower soil water availability in the shaded area. The monthly maximum temperature was higher in the non-shaded area, but even there, it would not pose a serious problem for photosynthesis. Additionally, the shaded area showed higher air humidity than non-shaded area, which could be problematic if it promotes fungal diseases. However, the most important results were from soil measurements, which showed greater duration of severely dry conditions in the shaded area. Such lower soil water availability could pose problems for coffee production in drier climates.

The light intensity in coffee declines under shade and that this depends on the shade tree species. The closer to the shade tree, light intensity tended to be decreased. The light intensity decline in light intensity recorded from the top to the bottom of coffee canopy. The number of flowers branch between un shade and shade is affected by location of canopy. The fruit fall under shade was less than for coffee grown in full sun. Coffee performs best when grown under suitable shade tree species with properly designed cropping system. Leaf area is increased under shade trees, because of the lower intensity of received photon flux. The average internodes length increased in response to greater shading levels. The higher growth in the shade plants from internodes elongation that was induced by low irradiance. The shade increased the number of node and the higher yield obtained from coffee plant in direct sun light plantation because of high light intensity but the coffee trees has short life time because high evapotranspiration. The specific leaf area, leaf area index, relative growth rate higher under shade tree than that open sun coffee production system.

The physiological response of coffee trees photosynthetic rate, photosynthetic active radiation chlorophyll fluorescence, and stomata conductance higher in coffee production under shade tree, and also transpiration rate and leaf temperature is lower coffee production in open sun

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