Toward gauge independent study of confinement in SU(3) Yang-Mills theory

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Dual superconductivity is believed to be a promising mechanism for quark confinement and has been investigated on a lattice effectively by a particular gauge called the maximal Abelian (MA) gauge. We propose a new formulation of SU(3) Yang-Mills theory on a lattice based on a non-linear change of variables where the new field variables are expected to reduce to those of the Cho-Faddeev-Niemeijer-Shabanov decomposition in the continuum limit. By introducing a new variable, say color field, carrying the color direction with it, this formulation enables us to restore and maintain color symmetry that was lost in the conventional MA gauge due to the naive separation of the gauge potential into diagonal and off-diagonal components. An advantage of this formulation is that we can define gauge-invariant magnetic monopoles without relying on specific gauges to investigate quark confinement from the viewpoint of dual superconductivity. In this talk, we will present the relevant lattice formulation to realize the above advantages and preliminary results of numerical simulations to demonstrate the validity of this formulation. This SU(3) formulation is an extension of the SU(2) version already proposed by us in the previous conference.
1. Introduction

Quark confinement is still an unsolved and challenging problem in theoretical particle physics. Dual-superconductivity is believed to be a promising mechanism for quark confinement in quantum chromodynamics (QCD). Indeed, the relevant data supporting the validity of this picture have been accumulated by numerical simulations especially since 1990 and some of the theoretical predictions have been confirmed in the Maximal Abelian (MA) gauge; infrared Abelian dominance, magnetic monopole dominance and non-vanishing off-diagonal gluon mass, which are the most characteristic features for dual superconductivity. However, they are not yet confirmed in any other gauge than the MA gauge and the MA gauge breaks color symmetry.

In this talk, we propose a new compact lattice formulation for the SU(3) Yang-Mills (YM) theory to establish the dual superconductivity picture for quark confinement in a gauge invariant way. This could be a lattice version of the non-linear change of variables (NLCV) for the YM gauge field in the continuum formulation (originally known as the Cho-Faddeev-Niemi-Shavanov (CFNS) decomposition). There the YM gauge field $A_\mu$ is decomposed into two parts, $A_\mu = V_\mu + X_\mu$ in such a way that the "Abelian (diagonal)" part $V_\mu$ is dominantly responsible for the area decay law of the Wilson loop average, while the remaining "off-diagonal" part $X_\mu$ decouple in the low-energy (or long-distance) regime, thereby, leading to the infrared Abelian dominance. For performing non-perturbative studies, therefore, it is important to give a procedure of extracting such an "Abelian" part $V_\mu$ and the remaining part $X_\mu$ from the original YM gauge field $A_\mu$ also on a lattice. We construct the SU(3) lattice formulation by extending the SU(2) version proposed in our previous work (For details, see [8]). In the SU(2) case, we have succeeded to define two compact lattice variables $V_x$ and $X_x$ which play the similar role to the "Abelian" and "off-diagonal" parts in the continuum theory. These new variables enable us to define a gauge invariant magnetic monopole in the compact formulation which guarantees that the magnetic charge is integer-valued and obeys the Dirac quantization condition. Moreover, the infrared "Abelian" dominance and magnetic monopole dominance in the string tension were demonstrated by numerical simulations, together with the non-vanishing mass for the "off-diagonal" part. It is crucial to introduce a color vector field $n(x)$ for maintain the color symmetry of the original YM theory.

2. A new compact reformulation of SU(3) YM

We construct an $SU(3)$ lattice formulation by extending the $SU(2)$ case [3, 7]. Two color vector fields, $n_x$ and $m_x$, are introduced. They play a crucial role of maintaining the color symmetry of the original YM theory. A link variable $U_{x;\mu}$ represents exponential of the line integral of a gauge potential $A_\mu$ along a link from $x$ to $x + \epsilon \hat{\mu}$:

$$ U_{x;\mu} = \mathcal{P} \exp \left( \frac{ig}{x} \varepsilon^x \mu \right) dx_\mu A_\mu (x) = \exp \left( i g \varepsilon x \cdot A_\mu \right); \quad (2.1) $$

where $\varepsilon$ denotes a lattice spacing and $\mathcal{P}$ the path ordering operator. In explicitly estimating the naive continuum limit we adopt the midpoint ($x^0 = x + \varepsilon \hat{\mu} = 2$) definition for the link variable. Then we obtain an extended theory, $M$-YM ($U_{x;\mu}; n_x; m_x$), which has an enlarged gauge symmetry $SU(3)_{\omega}$ $SU(3)=U(1)^2 \mathbb{Z}$ (See Figure [4]). Under the gauge transformation $\Theta_x = \exp (i \theta_x); \Omega_x = \exp (i \omega_x)$ 2
SU (3), the color fields \( n_\mu, m_\mu \) and \( U_{x\mu} \) transform as
\[
\begin{align*}
n_\mu & \rightarrow \Theta n_\mu \Theta^\dagger; \quad m_\mu \rightarrow \Theta m_\mu \Theta^\dagger; \\
U_{x\mu} & \rightarrow \Omega U_{x\mu} \Omega_{x\mu}^\dagger
\end{align*}
\]  \tag{2.2}

New compact lattice variables \( V_{x\mu} \) and \( X_{x\mu} \) which correspond to the decomposed variables in continuum theory, \( \mathcal{V}_\mu \) and \( \mathcal{X}_\mu \), should be represented by \( n_\mu, m_\mu \) and \( U_{x\mu} \) in a similar way to the \( SU(2) \) case. The lattice version of the decomposition condition is given by
\[
\begin{align*}
D^\mu [V_{x\mu}] n_\mu & = 0; \quad D^\mu [V_{x\mu}] m_\mu = 0; \\
\text{Tr} (n_\mu, X_{x\mu}) & = 0; \quad \text{Tr} (m_\mu, X_{x\mu}) = 0;
\end{align*}
\]  \tag{2.4}

where \( D^\mu [V_{x\mu}] \phi_x \) is a lattice version of the covariant derivative defined by
\[
D^\mu [V_{x\mu}] \phi_x := \frac{1}{\epsilon} V_{x\mu} \phi_{x+\mu} \quad \phi_x V_{x\mu} :
\]  \tag{2.6}

Under the gauge transformation
\[
\begin{align*}
V_{x\mu} & \rightarrow \Theta V_{x\mu} \Theta^\dagger; \\
X_{x\mu} & \rightarrow \Theta X_{x\mu} \Theta^\dagger;
\end{align*}
\]  \tag{2.7}

the decomposition condition satisfies desired gauge transformations, \( D^\mu (\Theta V_{x\mu} \Theta^\dagger) \phi_x = \Theta D^\mu [V_{x\mu}] \phi_x \) and \( \text{Tr} (\Theta n_\mu, \Theta X_{x\mu}) = \text{Tr} (n_\mu X_{x\mu}) \). To define the equivalent theory in terms of the new variables to the original YM, the extended symmetry should be restricted to the same symmetry as the original YM, \( SU(3) \). For this purpose, we use a new MAG (nMAG) condition which is obtained by minimizing the functional:
\[
F_{\text{nMAG}} [\Omega, \Theta; U_{x\mu}] = \sum_{x, \mu} \frac{1}{2} \left( D^\mu [\Theta U_{x\mu}] n_\mu \right)^2 + \frac{1}{2} \left( D^\mu [\Theta U_{x\mu}] m_\mu \right)^2
\]  \tag{2.9}

Since (2.4) and (2.5) are coupled matrix equations, it is difficult to obtain the general solution. Therefore, we consider a formula which reproduce the NLCV in the continuum theory. We adopt the midpoint definition for \( V_{x\mu} \) and the site definition for \( X_{x\mu} \):
\[
\begin{align*}
V_{x\mu} & = \exp (ig\mathcal{V}_{x\mu}) = \mathcal{P}\exp \left( \frac{ig}{\epsilon} d_x \mathcal{V}_\mu (x) \right); \\
X_{x\mu} & = \exp (ig\mathcal{X}_{x\mu});
\end{align*}
\]  \tag{2.10}

where \( \mathcal{V}_{x\mu} \) could be the link variable represented by exponential of the line integral of \( \mathcal{V}_\mu \). In the naive continuum limit \( D^\mu [V_{x\mu}] \phi_x \) agrees with the continuum version up to \( O(\epsilon^2) \):
\[
D^\mu [V_{x\mu}] \phi_x = \partial^\mu \phi_x + \frac{ig}{2} \partial^\mu \phi_x + ig \mathcal{V}_{x\mu} \phi_x + O(\epsilon^2);
\]  \tag{2.12}

We take an ansatz;
\[
\begin{align*}
\mathcal{V}_{x\mu} & = \alpha U_{x\mu} + \beta_1 n_\mu U_{x\mu} + \beta_2 m_\mu U_{x\mu} + \beta_3 U_{x\mu} n_{x+\mu} + \beta_4 U_{x\mu} m_{x+\mu} \\
& \quad + \gamma_1 n_{x\mu} U_{x\mu} + \gamma_2 m_{x\mu} U_{x\mu} + \gamma_3 n_{x\mu}_U m_{x+\mu} + \gamma_4 n_{x\mu} U_{x\mu} m_{x+\mu}; \\
V_{x\mu} & = P_{x\mu} \mathcal{V}_{x\mu}; \quad P_{x\mu} := \mathcal{V}_{x\mu} \mathcal{V}_{x\mu}^\dagger; \\
X_{x\mu} & := U_{x\mu} \mathcal{V}_{x\mu}^\dagger;
\end{align*}
\]  \tag{2.13}
where the variable $\tilde{V}_{x,\mu}$ is represented by polynomials of $U_{x,\mu}; m_\nu$, and $n_\mu$ and should satisfy the property of the gauge transformation (2.2), (2.3) and (5.7). Here (2.14) represents the polar decomposition for obtaining the unitary matrix $V_{x,\mu}$ from $\tilde{V}_{x,\mu}$, where $P_{x,\mu}$ is a Hermitian matrix, $P_{x,\mu}^\dagger = P_{x,\mu}$: By substituting (2.13) into (2.4), the coefficients of $\tilde{V}_{x,\mu}$ are determined as

$$\tilde{V}_{x,\mu} = \alpha U_{x,\mu} + \gamma n_\mu U_{x,\mu} n_{x,\mu} + \gamma m_\mu U_{x,\mu} m_{x,\mu} :$$

(2.16)

Note that (2.13) is used instead of $V_{x,\mu}$ to determine the coefficients as a necessary condition, since the relation $D^\mu_\nu \tilde{V}_{x,\mu} n_\nu = 0$ is obtained if $D^\mu_\nu \tilde{V}_{x,\mu} n_\nu = 0$ is satisfied. Then, by using (2.15) and (2.3), the coefficients of $\tilde{V}_{x,\mu}$ are determined as $\gamma = 6 \alpha$ up to $O(\epsilon)$ for $X_{x,\mu}$, and the overall factor $\alpha$ can be set to $\alpha = 1$: In the continuum limit, $V_{x,\mu}$ is given by

$$V_{x,\mu} = \text{Tr} (A_{x,\mu} n_{x}) n_{x} + \text{Tr} (A_{x,\mu} n_{x}) m_{x} + 1 = g \partial_{\mu} n_{x} n_{x} + 1 = g \partial_{\mu} m_{x} m_{x} :$$

(2.17)

which agrees with $V_{\mu}\left(x^0\right)$ in the continuum theory. $X_{\mu}$ can be defined in two ways; one is a definition on the midpoint, $X_{x,\mu} = A_{x,\mu} V_{x,\mu}$, and the other $X_{x,\mu}$ on a lattice site from $X_{x,\mu}$ in terms of $A_{x,\mu}$ and $V_{x,\mu}$:

$$X_{x,\mu} = \exp \{ i g \epsilon X_{x,\mu} \} = U_{x,\mu} V_{x,\mu} = \exp \{ i g \epsilon A_{x,\mu} \} V_{x,\mu} \frac{g^2 \epsilon^2}{2} A_{x,\mu} + o(\epsilon^3) :$$

3. Determining the new variables from lattice data

In this section, we consider a procedure for obtaining $V_{x,\mu}$ and $X_{x,\mu}$ from numerical simulations. To calculate $P_{x,\mu}$, in general, we need to diagonalize $P^2_{x,\mu} = \tilde{V}_{x,\mu} \tilde{V}_{x,\mu}^\dagger$ by solving an eigenvalue problem. Here we discuss the way to obtain $V_{x,\mu}$ using the nMAG condition.

Suppose a gauge transformation $\Theta_x \subset SU(3)$ diagonalizes the color vector fields $n_\mu$ and $m_\nu$ such that $\Theta_0 n_\mu \Theta_0^\dagger = \lambda_3$ and $\Theta_0 m_\nu \Theta_0^\dagger = \lambda_8$: This is always possible since $|n_\mu, m_\nu| = 0$ is satisfied. Using this gauge transformation $U_{x,\mu}$ is transformed as $U_{x,\mu} = \Theta_x U_{x,\mu} \Theta_{x,\mu}^\dagger$, and $V_{x,\mu}$ and $P_{x,\mu}$ can also be diagonalized at the same time, since we obtain the relations $| P_{x,\mu} m_\nu | = 0$, $| P_{x,\mu} n_\mu | = 0$ using

Figure 1: (Left panel) The gauge symmetry of the new formulation. (Right panel) The correlation functions for the color vector field $n_\mu \sim \langle \eta^\mu \eta^\nu \rangle$, where $(AB)$ elements $(A < B)$ are plotted.
the relations $V_{x \mu} m_x = m_{x} V_{x \mu}$ and $V_{x \mu} n_x = n_{x} V_{x \mu}$ from (2.4). Indeed, we can rewrite (2.16) to a diagonalized form

$$\Theta \tilde{V}_{x \mu} = \Theta_{x} \tilde{V}_{x \mu} \Theta_{x+ \mu}^T = \Theta U_{x \mu} + 6 \lambda^3 \Theta U_{x \mu} \lambda^3 + \lambda^8 \Theta U_{x \mu} \lambda^8 = \text{3 diag} \left( \Theta u_{x \mu}^{11}, \Theta u_{x \mu}^{22}, \Theta u_{x \mu}^{33} \right) ; (3.1)$$

where $\Theta u_{x \mu}^{AB}$ denotes an $\langle AB \rangle$ element of $\Theta U_{x \mu}$. Then, we have the diagonalized form of $P_{x \mu}$, and $V_{x \mu}$ is given by

$$\Theta V_{x \mu} = \Theta_{x} P_{x \mu} \tilde{V}_{x \mu} \Theta_{x+ \mu}^T = \text{diag} \left( \Theta u_{x \mu}^{11}, \Theta u_{x \mu}^{22}, \Theta u_{x \mu}^{33} \right) ; (3.2)$$

Therefore, the decomposition of $U_{x \mu}$ is reduced to the problem of finding out such as $\Theta = \Theta_{x}$; the solution $\Theta_{x}$ can be obtained by the nMAG condition. Since $F_{\text{nMAG}}$ is invariant under the local gauge transformation of $SU(3)_{\omega = \theta}$; the solution of the nMAG condition selects a gauge orbit in the extended gauge symmetry. Therefore, we can always choose such a gauge transformation that diagonalizes color fields, $\Theta n_x = \lambda_3$ and $\Theta m_x = \lambda_8$. Using this $\tilde{\Theta}_{x}$; the nMAG condition is rewritten to the same expression as the conventional MAG condition ($G = \tilde{\Theta}_{x}^{-1} \Omega$):

$$F_{\text{nMAG}} = \sum_{x \mu} Tr \left( U_{x \mu}^{1 G} U_{x \mu}^{3 G} U_{x \mu}^{3 G} \right) + \sum_{x \mu} Tr \left( U_{x \mu}^{1 G} U_{x \mu}^{3 G} U_{x \mu}^{3 G} \right) + c \nu^x :$$

$$= \sum_{x \mu} G_{u_{x \mu}^{11}}^2 + G_{u_{x \mu}^{22}}^2 + G_{u_{x \mu}^{33}}^2 : (3.3)$$

Note that this nMAG condition does not fix the gauge of the original YM, $\Omega U_{x \mu}$ but selects a gauge orbit along the local gauge symmetry $SU(3)_{\omega = \theta}$. The conventional MAG condition corresponds to a special gauge choice of $\Theta n_x = \lambda_3$ and $\Theta m_x = \lambda_8$ on the gauge orbit. When we choose an overall gauge condition of the original YM theory, for example, the lattice Landau gauge, $\Omega U_{x \mu} = U_{x \mu}$, the configurations of $n_x$ and $m_x$ are determined using the gauge transformation $\tilde{\Theta}_{x}$: $U_{x \mu} = \tilde{\Theta}_{x} G_{x \mu} \tilde{\Theta}_{x+ \mu}^{-1}$,

$$n_x = \tilde{\Theta}_{x}^{\dagger} \lambda_3 \tilde{\Theta}_{x} ; \quad m_x = \tilde{\Theta}_{x}^{\dagger} \lambda_8 \tilde{\Theta}_{x} ; (3.4)$$

$$V_{x \mu} = \tilde{\Theta}_{x}^{\dagger} \text{diag} \left( \frac{G_{u_{x \mu}^{11}}}{G_{u_{x \mu}^{11}}} , \frac{G_{u_{x \mu}^{22}}}{G_{u_{x \mu}^{22}}} , \frac{G_{u_{x \mu}^{33}}}{G_{u_{x \mu}^{33}}} \right) \tilde{\Theta}_{x+ \mu} ; (3.5)$$

4. Lattice data

Numerical simulations are done using the standard Wilson action of SU(3) YM. The configurations are generated on a $16^4$ lattice at $\beta = 5.7$ using the Cabibbo-Marini heatbath algorithm. After 5000 thermalizing sweeps with the cold start, 120 configurations are stored every 100 sweeps. We choose the lattice Landau gauge (LLG) for the overall gauge fixing of the original YM theory. In gauge fixing procedure, we use the over-relaxation algorithm to update link variables by using the gauge transformation of SU(2) sub-groups in the SU(3) gauge transformation. In order to avoid the lattice Gribov copy problem in the both LLG and nMAG condition, we try to find out the configuration which absolutely minimizes the gauge fixing functional. In the process of minimizing the gauge fixing functional for $U_{x \mu}$, we have prepared 16 replicas generated by random gauge transformations form $U_{x \mu}$, and among them we have selected the configuration which have attained the least value of the functional.
First, we check color symmetry of our new formulation, which is the global SU(3) symmetry to be preserved in LLG. Under the global gauge transformation, the gauge fixing functional of LLG, $F_{LLG}[g] = \sum_\mu \text{Tr}(\partial U_\mu)$, is invariant, while the color fields $n_x, m_x$ change their directions. Therefore, we measure the space-time average of the color vector fields $n^A_\mu = \text{Tr}(\lambda^A n_\mu)$ and $m^A_\mu = \text{Tr}(\lambda^A m_\mu)$ and the correlation functions. The right panel of Figure 1 shows, for examples, the correlation functions for $n_x$. The lattice data show that the color symmetry is preserved: $n^A_\mu = 0, m^A_\mu = 0$ and

$$n^A_\mu n^B_\mu = \delta^{AB} D_{NN}(l); \quad m^A_\mu m^B_\mu = \delta^{AB} D_{MM}(l); \quad n^A_\mu m^B_\mu = 0; (y = x + l\hat{\mu}, \mu = 4);$$

Note that this preserving color symmetry is an advantage of our new formulation.

Next, we define a gauge invariant magnetic monopole using the "Abelian" part $V_x\mu$ in the similar way to the SU(2) case [5]. Two kinds of the gauge invariant magnetic monopole currents ($\alpha = 1, 2$) are defined by

$$k^{(a)}_{\mu} := \frac{1}{2} \epsilon_{\mu\nu\alpha\beta} \partial^\nu \Theta^{(a)}_{\alpha\beta};$$

$$\Theta^{(1)}_{\alpha\beta} := \text{arg Tr} \left[ \frac{1}{3} I + n_\mu + \frac{1}{3} m_\mu \right] V_{x\mu\nu} V_{x+\alpha\beta} V_{x+\beta\mu} V_{x+\nu\mu} \right];$$

$$\Theta^{(2)}_{\alpha\beta} := \text{arg Tr} \left[ \frac{1}{3} I + \frac{2}{3} m_\mu \right] V_{x\mu\nu} V_{x+\alpha\beta} V_{x+\beta\mu} V_{x+\nu\mu} \right].$$

The gauge invariance of $\Theta^{(a)}_{\alpha\beta}$ is clear by definition. Note that $\Theta^{(a)}_{\alpha\beta}$ is the $a$-th element of the diagonalized expression of $V_{x\mu} V_{x+\mu\nu} V_{x+\nu\mu} V_{x+\mu\nu}^{\dagger}$ i.e., $\text{diag}(\exp(ig^2\epsilon^2 \Theta_{\mu\nu}^{(1)}), \exp(ig^2\epsilon^2 \Theta_{\mu\nu}^{(2)}), \exp(ig^2\epsilon^2 \Theta_{\mu\mu}^{(3)}))$. The left panel of Figure 2 shows the histogram of the magnetic monopole charges, indicating that integer valued magnetic monopoles are obtained.

Finally, we investigate the propagators of the new variables. The correlation functions (propagators) of the new variables and the gauge potential of YM are defined by

$$D_{OO}(x, y) := \langle A_\mu^A(x) A_\mu^A(y) \rangle \quad \text{for} \quad O_\mu^A(x) = A_{x+\mu}^A, \partial_x A_{x+\mu}^A;$$

where an operator $O_\mu^A(x)$ is defined as a linear type, e.g., $A_{x+\mu} = U_{x+\mu} U_{x+\mu}^{\dagger} = (2\epsilon g)$; The right panel of Figure 2 shows preliminary measurements of correlation functions of $D_{AA}; D_{VV}$ and $D_{XX}$. The
correlation $D_{VV}$ corresponding to the "Abelian" part dumps slowly and has almost the same dumping rate as $D_{AA}$, while the $D_{XX}$ corresponding to the "off-diagonal" part dumps quickly. This suggests that the "Abelian" part of the gluon propagator is dominated in the infrared region, the and mass generation of the "off-diagonal" gluon.

5. Summary and discussion

We have proposed a new compact lattice formulations of SU(3) YM theory as an extension of the SU(2) case \[5\]. This formulation has enabled us to define a gauge invariant magnetic monopole in the compact formulation which guarantees that the magnetic charge is integer-valued and obeys the Dirac quantization condition. We have shown that the new variables can be obtained in any gauge of YM theory. It is crucial to introduce the color fields $n(x)$ and $m(x)$ to maintain the color symmetry of the original YM theory. We have performed the numerical simulations and measurements on a lattice. We have shown that color symmetry is preserved for the new variables, and the integer-valued gauge invariant magnetic monopoles are obtained. Though these results are preliminary, the lattice data suggest infrared "Abelian" dominance and mass generation of the "off-diagonal" gluon by investigating the propagators of the new variables. The mass generation of gluon can be investigated in the same way in the $SU(2)$ case, and these are under investigation.

Through these numerical simulations, we have shown that our new formulation enables the gauge independent investigation of the confinement mechanism to overcome the problems in the conventional studies based on a special gauge such as the MA gauge. Further studies such as "Abelian" dominance, monopole dominance and mass generation of gluons in LLG and also in the other gauges, are important to establish the dual superconductivity picture.

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