Tuning the Emission Color of Hydrothermally Synthesized Carbon Quantum Dots by Precursor Engineering

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Abstract: Water-soluble, biocompatible, and high luminescence carbon quantum dots (CQDs) have been successfully synthesized from citric acid (CA) and ethylenediamine (EDA) using different approaches. Although the emission quantum yield of CQDs could be as high as 80%, their emission spectrum is usually dominated by surface fluorophore groups and maximized at about 450 nm. This paper examines the effects of acid and amine precursors on the photoluminescence (PL) of resulted CQDs by systematically comparing the optical properties of CQDs obtained from CA, PA (phthalic acid) with EDA, ANL (aniline). UV-vis and PL spectroscopic studies revealed that the absorption onset varied from 325 nm to 400 nm while PL maximum changed from 390 nm to 450 nm by engineering acid and amine precursors. The emission quantum yield was also changed from 9% to 70%, depending on the used acid-amine precursors.

Keywords: Carbon quantum dots, hydrothermal synthesis, colour tuning, photoluminescence, acid, amine.

1. Introduction

Carbon quantum dots (CQDs) are a new member of carbon nanomaterials including carbon nanotube, fullerenes, and graphene that have been widely implemented in diverse applications. Novel properties of CQDs including biocompatibility [1] and color tunability [2] that have been demonstrated previously attract broad attention with a hope to replace Cd-based QDs many applications where the photoluminescence properties of QDs are...
utilized such as biosensors, chemical sensors, light-emitting diodes (LEDs), and immune analysis. When compared with mature nontoxic QDs, e.g. InP [3], Si [4–7] or visible luminescence ZnO particle [8], CQDs have advantages of large chemical abundance and cost-effective synthesis but the information relating to chemical structures and photoluminescence origin of CQDs is lag behind. Among a vast number of reported CQDs, CQDs derived from CA and EDA are probably the CQDs that have been described in most detail [9–11]. We synthesized successfully highly luminescence CQDs whose emission quantum yield is of 80% from CA and EDA by a simple hydrothermal method [12, 13]. CQDs with a quantum yield as high as 23% could also be synthesized using various fruit juices [14] by the same procedure. It turned out a fundamental issue that most of CQDs samples emit blue light and have a broad emission spectrum.

![Figure 1](image-url)

**Figure 1.** a) A conceptual structure of a carbon quantum dot that contains polyaromatic hydrocarbon core (PAH), surface polar groups (SPG) and surface fluorophore (SF). b) The formation of SF from CA and EDA.

Recent spectroscopic studies have proposed a debate on structure of CQDs derived from CA and EDA as schematically drawn in Fig. 1a [9–11]. Briefly, CQDs contains at least three main components namely a carbon core that involves polyaromatic hydrocarbon (PAHs) of different sizes, simple surface polar groups (SPG), e.g. -OH, -NH-.

COOH, etc, and surface fluorophore (SF). During the course of hydrothermal synthesis, SF groups are formed from the condensation between CA and EDA as shown in Fig. 1b following by carbonization processes forming PAHs [10, 15]. While SPG endows water solubility of CQDs, PAH, SF and their interactions govern the optical properties of CQDs [16]. This conceptual finding gives a worthy guideline to tune the emission spectrum of CQDs by varying SF via precursor or solvent engineering [17] or by tuning PAH-SF interactions [11]. Herein, we explored the ideal that SF could be changed, and hence the emission spectrum of resulting CQDs, by varying acid and amine precursors. Indeed, we synthesized CQDs using different couples of an acid and an amine among citric acid (CA), phthalic acid (PA), ethylenediamine (EDA) and aniline (ANL) and showed that their PL maximum varied from 390 nm to 450 nm.

2. **Experimental section**

2.1. **The synthesis of CQDs**

All chemicals including CA, EDA, ANL, and PA were purchased from Aladdin Chemical and used without any further purification. Acetone and ethanol (HPLC grade) were obtained from Xilong Chemical. For the synthesis of CQDs, first CA or PA was dissolved in a mixture of 25 ml of double distilled water and 15 ml of ethanol to perform 0.5 M solutions. A calculated volume of liquid amines was then added to the acidic solution so that the molar ratio between acidic group (-COOH) and amine (-NH$_2$) was 1. The mixture was transferred into a Teflon-lined in stainless steel autoclave, which was then heated by a oven at 200°C, 230°C or 260°C for 6 hours. For purification, acetone was added to the reaction mixture to precipitate CQDs which
was then collected by mean of centrifugation. The precipitating and centrifugation processes were repeated twice.

2.2. Characterizations

FTIR (fourier transform infrared) spectra were performed on a Jasco FT/IR6300 spectrometer. The absorption spectra of CQDs dissolved in water were conducted on a UV-2450 (Shimadzu) spectrometer. The emission spectra of CQD and quinine sulfate solutions were carried out on a NanoLog spectrometer. TEM (transmission electron microscopy) images of CQDs were obtained on a JEM 2100 microscope. XPS (X-ray photoelectron spectroscopy) spectra was analyzed by an ULVAC PHI 500 (Versa Probe II).

To calculate the emission quantum yield of CQDs, we measured UV-vis absorption and PL spectra of CQDs dissolved in water and quinine sulfate dissolved in H2SO4 0.5M using the same conditions. The concentration of solutes was adjusted so that the absorbance at 325 nm was about 0.1. The emission quantum yield of CQDs was then calculated using equation:

\[ Q_S = Q_R \times \frac{I_S}{I_R} \times \frac{A_R}{A_S} \times \frac{\eta^2}{\eta_R} \]

where \( Q_R \) of 54% is the standard quantum yield of quinine sulfate; \( I, A, \eta \) are integrated PL intensity, absorbance at excitation wavelength and refractive index of solution; \( S \) and \( R \) subscripts refer to sample and quinine sulfate, respectively.

3. Results and discussions

We first optimized the hydrothermal temperature by comparing the UV-vis and PL (excited at 325 nm) of CQDs samples as shown in Fig. 2.

![Figure 2. UV-vis absorption (above) and PL spectra (below) of CQDs obtained by using a) PA + EDA; b) PA + ANL; c) CA + ANL; and d) CA + EDA.](image)

When EDA was involved, a visible absorption band appeared at about 325 nm - 350 nm in PA+EDA and CA+EDA samples. This band is attributed to surface fluorophore as shown in Fig. 1b for the case CA+EDA [15]. For the case of PA+EDA, the absorption band centered at about 325 nm and PL intensity reached maximum at 260°C, which is very near to safety point of hydrothermal vessel. We then chose 260°C as optimal temperature for the synthesis of CQDs from PA and EDA. For the case of CA+EDA, PL reached maximum at 200°C, which was then selected as optima temperature for the synthesis of CQDs from CA and EDA.

In contrast to PA+EDA or CA+EDA, CQDs derived from PA+ANL and CA+ANL exhibited featureless UV-vis absorption spectra and an
absorption tail extending over 450 nm. The PL got maximum intensity at 260°C, the optimal temperature for the synthesis of CQDs from PA+ANL or CA+ANL.

The emission quantum yields of CQDs were estimated by comparing their PL intensity with that quinine sulfate whose standard emission yield of 54%. The results shown in table 1 indicate that the emission yield of CQDs depends largely on precursors.

Table 1. Emission quantum yield at 325 nm excitation (Q), diameter (D) and PL max position (PL) of CQDs.

|           | PA+EDA | PA+ANL | CA+ANL | CA+EDA |
|-----------|--------|--------|--------|--------|
| Q (%)     | 15.4   | 9.3    | 6.9    | 70     |
| D (nm)    | 4.8    | 6.0    | 7.1    | 8.1    |
| PL (nm)   | 390    | 420    | 406    | 450    |

To study roughly the surface chemistry of CQDs, FT-IR spectra were conducted and shown in Fig. 3a. Some notable absorption bands at 2900 cm⁻¹, 1730 cm⁻¹, 1650 cm⁻¹, and 1550 cm⁻¹ are marked. The absorption band at 2900 cm⁻¹ can be attributed to C-H bending of saturated hydrocarbon. The absorption bands at 1730 cm⁻¹ and 1652 cm⁻¹ are assigned to C=O bending in the carboxylate (-COO) and amide (-CONH) groups, respectively. The absorption band at 1550 cm⁻¹ is due to N-H bending in amide groups. Additionally, CA+EDA and PA+EDA had a broad absorption band in 3000-3500 cm⁻¹ region, which originates from the vibrations of polar N-H or O-H groups. The existence of -NH, -OH, -COO and -CONH-groups explains why CQDs are soluble well in water.

Additionally, we conducted XPS analysis to evaluate chemical content of CQDs. Fig. 3b shows XPS survey spectrum of CQDs obtained from CA and EDA. It exhibits three elemental signals with binding energies of 285 eV, 399 eV, and 531 eV which correspond to binding energies of C 1s, N 1s and O1s. Therefore, CQDs derived from CA and EDA are nitrogen-doped carbon quantum dots.

Figure 3. a) FT-IR spectra of CQDs; b) XPS spectrum of CQDs obtained from mixture of CA and EDA.

To correlate the optical properties shown in Fig. 2 with the structure, TEM images of CQDs were conducted and are summarized in Fig. 4. UV-Vis absorption and normalized PL spectra (at 325 nm excitation) are also shown in Fig. 5 for comparison. In TEM images of all samples, there were big aggregates that could be attributed to H-bonded CQDs system formed as solvent evaporating in TEM sampling process. In addition, the grey contrast varied from dot to dot, indicating different degrees of carbonization of the carbon core, the common feature of CQDs obtained by hydrothermal methods [11,15]. The size distribution of CQDs was estimated from TEM images and summarized in Fig. 4.
Figure 4. TEM images (above) and size distribution (below) of CQDs obtained from a) PA+ANL, b) PA+EDA, c) CA+ANL, and d) CA+EDA. The scaling bar is 50 nm.

The average diameter of CQDs was about 4.8 nm for PA+ANL, 6 nm for PA+EDA, 7.1 nm, for CA+ANL and 8.1 nm for CA+EDA samples, respectively. We did not observed a clear correlation between the diameter variation with the trend in the emission quantum yield or the optical shifts shown in Fig. 5b and summarized in table 1. It is probably due to the complexity in the structure of CQDs. As mentioned previously, CQDs involve PAHs and fluorophore groups. While the size visualized by TEM may relate to the dimension of PAHs, the surface fluorophore groups govern mostly the optical properties of CQDs. Hydrothermal treatment of different mixtures of acids and amines resulted in different surface fluorophores and hence different optical properties including emission quantum yield, PL max position and absorption region [18]. We think that comparison between CQDs of different sizes but having the same functional groups is needed to realize the size-dependent optical properties.

Figure 5. Normalized UV-vis (a) and PL (b) spectra (excited at 325 nm) of CQDs.
4. Conclusion

Water soluble CQDs were synthesized by a hydrothermal method using mixtures of an acid (CA or PA) and an amine (ANL or EDA). By engineering the precursor, absorption onset on CQD could be controlled from 325 nm to 400 nm while emission center could be tuned from 390 nm to 450 nm. CQDs that had the highest emission quantum yield of 70% were nitrogen-doped quantum dots with an average particle size of 8.1 nm. The results demonstrated herein pave a way to control the optical properties of water-soluble CQDs by engineering precursor.

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