The Inland Container Transportation Problem with Separation Mode Considering Carbon Dioxide Emissions

Wenqing He, Zhihong Jin, Ying Huang and Shida Xu*

Transportation Management College, Dalian Maritime University, Dalian 116026, China; hwq970825@dlmu.edu.cn (W.H.); jinzhihong@dlmu.edu.cn (Z.J.); hy825@dlmu.edu.cn (Y.H.)
* Correspondence: xushida@dlmu.edu.cn or xushida@live.com; Tel.: +86-0411-8472-7960

Abstract: This paper investigates the Inland Container Transportation (ICT) problem with carbon dioxide emissions. The separation mode that the tractor and semi-trailer could be detached and it permits multitasking to reduce fuel and carbon emission costs. A mixed-integer programming model with Full-Empty container integration has been built. An improved ant colony optimization with two-dimensional variable matrix encoding and Infeasible-Arc filtration strategy has been proposed. Numerical experiments with different scales and characteristics are simulated and validated in order to demonstrate the effectiveness of the proposed algorithm. The comparison result indicates the excellent stability for our approach with different task characteristic distribution.

Keywords: inland container transportation; carbon emission reduction; separation mode; two-dimensional variable matrix encoding; Infeasible-Arc filtration strategy

1. Introduction

Containerization has become an essential part of regional and international markets, accompanying economic globalization development. In the meantime, this overwhelming transport requirement has further exposed the negative ecological hazards [1]. As the previous research indicates, freight transportation activities are one of the fundamental strengths influencing the expansion of carbon emitters [2–5]. With the freight network oriented toward sustainability, strengthening the efficiency of transport organization structure and promoting positive attitudes towards low-carbon technologies are becoming significant challenges and topics. When comparing to overland transportation equipment, maritime transportation of freight is the relatively ecological friendly alternative. Nonetheless, ocean vessel inability to provide door to door transportation services and inland transportation of shipping containers must be performed by highway vehicles, increasing the resulting emissions. Therefore, it is essential to investigate the carbon dioxide emissions from inland container transportation (ICT), which could be described as an inland container transportation problem with carbon emissions management (ICT-CEM).

An ICT-CEM problem is typically organized, as follows: a local area near a terminal having a significant number of containerization freight demand and numerous empty container and vehicle resources in hand. In addition, the transportation demand from customers is further split into inbound and outbound trips. However, the majority of customers do not possess empty containers. Thus, the transportation demand might be subdivided into four interrelated tasks: Delivery Full Container (DFC), Pickup Empty Container (PEC), Delivery Empty Container (DEC), and Pickup Full Container (PFC). For the decision-makers, a crucial problem in constructing a low-carbon sustainable network is to choose the appropriate vehicle scheduling solutions with a lower fuel cost and carbon footprint. Recent research has shown that the drop-and-pull transportation mode has a dramatic improvement for energy-saving and emission-reduction [6]. Consequently, it would be beneficial to adopt a drop-and-pull mode in the ICT problem.
In the inland container dispatch process, empty container repositioning is a high-profile pain point. Unlike the loaded containers, the movement of empty containers does not directly benefit revenue stream [7], but with a potential impact on freight network performance. In the meantime, it produces a large number of unprofitable transportation costs and carbon emissions. The separation mode between trucks and containers would improve the utilization of trucks and decrease the waiting time for loading/unloading. Meanwhile, empty containers and full containers can transform mutually and collaborative transport under a single transportation cycle and mitigate the secondary transportation cost and carbon emission.

On the basis of the above analysis, we investigate the ICT problem with the tractor and semi-container separation mode to reduce the fuel cost and carbon pollution. A mixed-integer programming model of tractor routing is presented. For the ICT with separation mode, two types of the task as interrelated operations in which empty containers and loaded containers can mutually transform and collaboratively transport under a single transportation cycle. Meanwhile, the two freight tasks could be assigned to different tractors. When combined with temporal constraints for tractor transportations and container loading/unloading, the central challenge is to generate a feasible solution. Therefore, an improved ant colony optimization (ACO) with two-dimensional variable matrix encoding and an Infeasible-arc filtration strategy is designed. Finally, numerical experiments with different scales and characteristics are given in order to show the advantages of separation mode and computing performance of the modified ACO algorithm.

The remainder of the paper is organized, as follows: Section 2 reviews three categories of research related to ICT, vehicle separation mode, and carbon emission in ICT, respectively. In Section 3, we define the transportation task and link-arc in the ICT problem. Section 4 shows the search procedures of the improved ACO algorithm with the brand-new encode method and select strategy. The computational results on experiments of various distributions are shown in Section 5. Finally, Section 6 gives the conclusion and future work of this paper.

2. Literature Review

We categorize the relevant research into three distinct classifications: the ICT problem, the vehicle routing problem with separation mode and the carbon emission minimization in container transportation problem.

2.1. The ICT Problem

Zhang et al. [8] first proposed the ICT problem, and formulated it as an asymmetric multi-traveling salesman problem with time windows (m-TSPTW). Furthermore, these authors proposed two heuristic algorithms to solve this problem. Extended research achievement of Multi-depots and Multi-terminals [9–11], flexible tasks [12], Multi-size container [13], Foldable container [14], and Multi-resource constraints [15] have investigated in their follow-up studies. Since the ICT problem was proposed, it has attracted widespread attention from scholars [16–21]. Sebastian Sterzik [22] integrated the reposition of the full and empty container in harbor hinterland areas, where empty containers resource could interchange among cooperating freight companies. The numerical experiments included 75 transportation requests and showed the huge potential of container sharing. Song [23] and Shan [24] studied this issue based on a robust mathematical model and a determined-activities-on-vertex (DAOV) graph, respectively. Afterwards, they both used the branch-and-price algorithm to provide an accurate solution. Daham et al. [25] focused on the unbalanced ICT problem with import-oriented port area and considered the multi-size container issue based on the pairing of containers in drayage transportation (PCDT) model. The core technology of their model was to combine freight orders, and it was more efficient than the traditional vehicle scheduling model on large-scale instances.

The ICT problem can be defined as a variant of the pickup and delivery problem (PDP) with time window, which has been proven to be NP-hard. Therefore, the ICT problem
can also be regarded as an NP-hard problem [26], and numerous heuristic algorithms have been presented. Sebastian Sterzikn and Herbert Kopfer [27] proposed a Tabu Search (TS) Heuristics with a modified savings algorithm to assign this problem, small-scale and realistic-sized test case studies were performed in order to demonstrate the effectiveness of their algorithm. Ji S and Luo R [28] considered the multi-objective of minimum total transportation cost and maximum flow time situation; a hybrid heuristic algorithm that is based on multi-object local search was proposed to search the Pareto dominance. Vidović M et al. [29] addressed multi-size container scenario and designed an improved variable neighborhood search (VNS) heuristic.

In summary, experiments in previous research have shown that the commercial solver (e.g., CPLEX) only guarantees the ability to obtain an accurate and reliable solution within a reasonable time (about 3 h) up to 20 orders. Therefore, the study of heuristic algorithms had arisen as a significant role. Although ICT could be regarded as an extension of the vehicle scheduling problem, the solution structures were dramatically different. The central process of a heuristic algorithm in ICT was encoding a constructive procedure, decoding rule, and solution update strategy.

2.2. Vehicle Routing Problem with Separation Mode

The separation mode is a novel mode of operation to container repositioning. Typically, the container transportation problem with a separation mode could be subdivided into the truck&trailer routing problem (TTRP) and tractor&semi-trailer routing problem (TSRP).

Chao first proposed the standard mathematical model and benchmark instances of TTRP in 2002 [30]; afterwards, it was investigated by Tan et al. [31], Lin et al. [32], Villegas et al. [33,34], etc., and they presented various intelligent optimization algorithms. TTRP was widely used in North America and European Union countries. In this problem, the vehicle can separate into a truck and trailer, and the demand has been split into the two characteristics: (a) Truck Customer: the location that expected to perform the truck process alone; (b) Trailer Customer: the location that is expected to perform the truck process with the trailer or alone.

The truck and trailer mode has been limited to traffic law restriction in China and several Asian governments; the tractor and semi-trailer mode was used in these countries. The TSRP is a branch research direction of TTRP, and the vehicle routes are pendulum tours among different customer locations and terminals. Lu et al. [35] focused on the multimodal transport two-echelon location-routing problem with consolidation (ME-2E-LRP-C), and proposed a hybrid differential evolution algorithm in order to solve the two-layer mixed integer linear problem (MILP) model. Yang et al. [36] studied a TSRP with uncertain empty-trailer tasks; the experimental results showed that empty-trailer transportation cost could reduce the average operating cost by 3.62% when compared to the original scheme.

In our research, empty/full container yard and inbound/outbound customers are served by several tractors and semi-trailer with containers. Different from TSRP mode, we allow overlap from different vehicles, which is empty and a full container task of a customer might be scheduled by two different vehicles. Xue et al. [37,38] examined the ICT problem under separation mode and designed a max-min ACO algorithm to solve large-scale problems. Caballini et al. [39] considered the cooperating of multiple trucks carries. Sun et al. [40] investigated Ro-ro shipping under the land–sea combined transportation problem, and proposed a new port-swap mode that is based on separation mode. The result revealed that the new operation mode is more efficient than the traditional ship-swap mode.

2.3. Carbon Emission Minimization in Container Transportation Problem

There is a tiny amount of research that introduced the ICT problem with carbon dioxide emission. Liao et al. [41] analyzed the carbon emission impact from the emerging port to existing ports. An activity-based emission model was proposed in order to estimate the empirical study of a Taipei port. The result demonstrated the advantage of the newly
developed sea-lane. Jaehun Sim [42] estimated the carbon emissions for the container terminal. The experimental analysis showed that a majority of carbon pollution comprised from the container vessel maneuver process, the summation of a vessel at berth process, and container transport process were less than 2%, container load/unload and container pickup/delivery with 37% and 10% of responses, respectively. Shao hung Goh [43] investigated this problem again with foldable containers, which would balance the full and empty container tasks and reduce carbon pollution ratio. Hoen et al. [44] proposed an inventory model with carbon emission measurement methodology and considered the impact of different emission regulations. Fan et al. [45] proposed a hybrid heuristic approach to assign the carbon emissions under Truck Appointment System (TAS); a realistic-sized instance of China was reported. The experimental results showed that the TAS could reduce the carbon pollution ratio by 0.22%.

For the carbon emissions researches in dry port transport system, Li and Zhang [46] analyzed the market sharing from truck transportation to railway freight, and proposed a multi-objective model of the carbon tax and operation pricing. Their methodology was verified by a real case of China and it could obtain 39.26% market sharing ratio and 37.09% greenhouse gas (GHG) pollution. Yin et al. [47] examined the empty container sources reposition in Inland Railway Container Center Station (IRCCS); the experimental result showed that the operation mode and vehicle route had obvious implications for carbon emission. Thai and Lee [48] integrated the dry port selection to reduce the proportion of road transportation. This multimodal strategy reduced 51% carbon pollution and particulate matter from logistics activities than the traditional strategy. Tsao et al. [49] investigated the seaport-dry-port network and presented a continuous approximation model. Meanwhile, a nonlinear optimization technique that is based on game theory had been proposed to solve this problem. Qiu and Lam [50] considered the shared transportation services (STS) and designed a bi-level model. The analysis showed that the STS were appropriate for cluster distribution customers and distant from dry ports.

According to the previous related research, we realized that the ICT problem with separation mode is becoming one of the research hotspots. However, the existing study had focused solely on the empty and full container tasks as a non-interfering process. In contrast to them, our study treats the two types of the task as interrelated operation, in which empty containers and loaded containers can transform mutually and collaborative transport under a single transportation cycle. Therefore, we propose a MIP model with minimum total transportation cost and carbon emission. Furthermore, an improved ACO algorithm is presented.

3. Problem Description

In a regional area near the terminal with a certain amount customers, a majority of these customers proposed inbound or outbound containerization freight demand, without possessing empty containers in hand. Therefore, transportation tasks are typically served by tractors, and semi-trailers might be subdivided into four interrelated tasks: Delivery Full Container (DFC), Pickup Empty Container (PEC), Delivery Empty Container (DEC), and Pickup Full Container (PFC). The whole of transportation tasks needs to be completed within the planning horizon. Under the separation mode that allows tractors to drop the semi-trailer with container at customers’ location, or dropped the container solely at empty container stacking yard with stevedoring equipment. In this situation, the separation mode is extended for drop container with trailer mode and drop container without trailer mode. Therefore, during the connection process of two different transportation tasks, the tractor might need to return to the terminal to change the vehicle state to a single-tractor/tractor-with-trailer.

An inbound containerization freight demand was comprised of DFC and PEC, a trailer with the full container was transported to the customers, and the tractor left alone. After the unloading phase, the task of DFC was converted to PEC, and the trailer loaded empty container will be repositioned to terminal or outbound demand customers. It is
especially noted that the two freight tasks could be assigned to different tractors. An outbound demand was DEC and PFC, an empty container was brought to complete the DEC task, and, after the loading phase, a tractor took the heavy container back to the terminal. Meanwhile, the connection process time of DFC&PEC or DEC&PFC must be larger than the unloading/loading time specific to one container.

This problem can be abstracted as a denoted graph $G = (T, E)$, where $T$ denotes the set of transportation tasks, including the Origin/Destination task $\{0\}$, and the four classifications freight tasks: $T_{DFC}$, $T_{PEC}$, $T_{DEC}$, $T_{PFC}$, and $T = \{0\} \cup T_{DFC} \cup T_{PEC} \cup T_{DEC} \cup T_{PFC}$. The composition of task set $T$ is demonstrated, as follows.

$$T = \begin{cases} \{0\}, & \text{The virtual task without operations} \\ T_{DFC}, & \begin{cases} \text{Pickup import container at Full-container yard} \\ \text{Drive to Full-container yard} \\ \end{cases} \\ T_{PEC}, & \begin{cases} \text{Pull empty container with trailer at the Receiver location} \\ \text{Drive to the Full-container yard} \\ \end{cases} \\ T_{DEC}, & \begin{cases} \text{Drop empty container with trailer at the Shipper location} \\ \text{Drive to the Shipper location} \\ \end{cases} \\ T_{PFC}, & \begin{cases} \text{Transport to the Full-container yard} \\ \text{Drive to the Shipper location} \\ \end{cases} \\ \end{cases}$$

Unlike the heavy container cargos, there were no determinate origin-destinations (OD) for empty containers. Therefore, the composition of $T_{PEC}$ and $T_{DEC}$ solely introduced the drop-and-pull process of tractors. The flow and reposition for empty containers will be shown in the arc of graph $G$. $E$ denotes the Link-arc set of transportation tasks, $E = \{(i, j) \in T, i \neq j\}$. Table 1 illustrates the composition of arc set $E$. During the connection process of two same characterized tasks (i.e., $T_{DFC}$&$T_{DFC}$, $T_{PEC}$&$T_{PEC}$ et al.), the tractor might need to return the terminal to change vehicle state to single-tractor/tractor-with-trailer, as shown in Table 1. Meanwhile, between the connection of $T_{PEC}$ and $T_{DEC}$, the empty was permitted be directly transported without back to the empty container yard. This strategy would improve the utilization of tractors and decrease the waiting time for loading/unloading. Meanwhile, empty containers and full containers can transform mutually and collaboratively transport under a single transportation cycle, as well as mitigate the secondary transportation cost and carbon emission.

**Table 1.** The Tractor operation of Link-arc with different classification tasks.

|          | $\{0\}$ | $T_{DFC}$ | $T_{PEC}$ | $T_{DEC}$ | $T_{PFC}$ |
|----------|----------|-----------|-----------|-----------|-----------|
| $T_{DFC}$ | Back to trailer depot  |
|          | Drive to the trailer depot  |
|          | Pull on a trailer  |
|          | Drive to full container yard  |
| $T_{PEC}$ | Drive to the Receiver location  |
|          | Drive to the Shipper location  |
| $T_{DEC}$ | Drive to the Shipper location  |
|          | Drive to the Shipper location  |
|          | Drive to the Shipper location  |
|          | Drive to the Shipper location  |
Table 1. Cont.

| \( \{0\} \) | \( T_{DFC} \) | \( T_{PEC} \) | \( T_{DEC} \) | \( T_{PFC} \) |
|---|---|---|---|---|
| \( T_{PEC} \) | Drive to empty container yard<br>Drop the empty container solely<br>Back to trailer depot | Drive to empty container yard<br>Drop the empty container solely<br>Drive to full container yard | Drive to the trailer depot<br>Drop off a trailer<br>Drive to the Receiver location | Drive to empty container yard<br>Drive to the trailer depot<br>Drop off a trailer<br>Drive to the Shipper location |
| \( T_{DEC} \) | Back to trailer depot<br>Pull on a trailer<br>Drive to full container yard | Drive to the Receiver location | Drive to empty container yard<br>Pickup empty container<br>Drive to the Shipper location | Drive to the Shipper location |
| \( T_{PFC} \) | Back to trailer depot<br>Stay at heavy container yard | Drive to the trailer depot<br>Drop off a trailer<br>Drive to the Receiver location | Drive to empty container yard<br>Pickup empty container<br>Drive to the Shipper location | Drive to the trailer depot<br>Drop off a trailer<br>Drive to the Shipper location |

The purpose of the ICT problem is to complete all of the transportation tasks with the planning horizon and reduce the fuel cost and carbon pollution. The following mixed-integer programming (MIP) model is presented in order to comprehend this complicated transportation problem.

3.1. Assumptions

1. Each tractor can only combine with one semi-trailer with/without container simultaneously.
2. Without considering the incompatible condition for tractors and semi-trailers.
3. Semi-trailers and empty containers are inexhaustible.
4. The tractors have different emission coefficients for driving status and waiting status.
5. The travel speed and emission coefficient are constant and identical for different vehicle state, i.e., single tractor, tractor with semi-trailer, tractor with semi-trailer and empty container, and tractor with semi-trailer and full container.

3.2. Parameters and Variables

The mathematical formulas that are introduced in this paper use the following parameters and binary variables.

- \( i, j \): Transportation tasks index
- \( k \): Tractor index
- \( T \): Transportation tasks set, \( T = \{0\} \cup T_{DFC} \cup T_{PEC} \cup T_{DEC} \cup T_{PFC} \)
- \( K \): Dispatchable tractors set.
- \( \omega \): Pre-task of task \( i \). (e.g., For any task \( i \) in \( T_{PEC} \), there is a corresponding task \( \omega_i \) in \( T_{DFC} \), task \( i \) must be kept in waiting until the task \( \omega_i \) was completed.
- \( \tau_i \): Operating time of task \( i \).
- \( t_{i}^{l} \): loading/unloading time of task \( i \).
Connection time from task $i$ to task $j$, specific operation process has shown in Table 1.

$P$ Maximum hours of work for tractor driver.

$\rho_1$ Fixed tractor one-time start up cost.

$\rho_2$ Total fuel cost and carbon emission cost of per unit transportation time.

$\rho_3$ Total waiting cost and carbon emission cost of per unit dwelling time.

$M$ Ant extremely large constant positive integer.

$x_{kj}^k$ Equals 1 while tractor $k$ serves task $j$ immediately after task $i$, 0 else. $i, j \in T, k \in K$.

$y_i$ The start time of task $i$, i.e., tractor might arrive the origin of task $i$ before this point, and stay for a time.

### 3.3. Objective Function and Constraints

The total cost of the ICT system is the objective function to be minimized. This total cost consists of fixed tractor one-time start-up cost, the variable mixed cost of fuel cost, and carbon emission from transportation time and dwelling time, as calculated by Equation (1).

\[
\min Z = \rho_1 \sum_{k \in K} \sum_{i \in T} x_{0j}^k + \rho_2 \sum_{k \in K} \sum_{i \in T} \sum_{j \in T} x_{ij}^k \cdot t_{ij} + \rho_3 \sum_{j \in T/\{0\}} \left( y_j - \sum_{i \in T} \sum_{k \in K} x_{ij}^k \cdot (y_i + \tau_i + t_{ij}) \right)
\]  

(1)

Several operational constraints, temporal constraints, and boundaries illustrated below should be satisfied in order to ensure the feasibility of tractor scheduling and container repositioning.

**Tractor number constraint:**

\[
\sum_{k \in K} x_{0j}^k \leq K
\]

(2)

**Determining that all of tractors must be departed from trailer depot:**

\[
\sum_{j \in N} x_{0j}^k \leq 1, \quad \forall k \in K
\]

(3)

**Ensuring that each connection between two transportation tasks is only served by one tractor:**

\[
\sum_{k \in K} x_{ij}^k \leq 1, \quad \forall i, j \in T
\]

(4)

**Except for Origin/Destination task, each task is served exactly once:**

\[
\sum_{k \in K} \sum_{j \in N} x_{ij}^k = 1, \quad \forall j \in T/\{0\}
\]

(5)

\[
\sum_{k \in K} \sum_{j \in N} x_{ij}^k = 1, \quad \forall i \in T/\{0\}
\]

(6)

**Flow conservation constraint for tractor:**

\[
\sum_{i \in T} x_{0j}^k = \sum_{j \in N} x_{j0}^k, \quad \forall k \in K
\]

(7)

\[
\sum_{i \in N} x_{ij}^k = \sum_{i \in N} x_{ji}^k, \quad \forall k \in K, j \in T/\{0\}
\]

(8)

**Determining feasible interval for start time of task $i$:**

\[
y_i \geq t_{0i}, \quad \forall i \in T
\]

(9)

\[
y_i + \tau_i + t_{0i} \leq P, \quad \forall i \in T
\]

(10)
Connection time for two consecutive transportation tasks limits:
\[ y_i + \tau_i + t_{ij} \leq M * (1 - x^k_{ij}) + y_j, \quad \forall i, j \in T / \{0\}, k \in K \] (11)
Ensuring that task \( i \) must be kept in waiting until the Pre-task was completed.
\[ y_{\omega_i} + \tau_{\omega_i} + p_{\omega_i} \leq y_i, \quad \forall i \in T \] (12)
Valid values of decision variables:
\[ x^k_{ij} \in \{0, 1\}, y_i \in [0, P] \] (13)

4. Optimisation Methodology

For purpose of solving the complicated mixed-integer programming mathematical model created above, although there were several exact algorithms for relevant problems, the computing time and environments are both out of acceptable limits. For the ICT with separation mode, two types of the task as interrelated operations in which empty containers and loaded containers can transform mutually and collaborative transport under a single transportation cycle. Meanwhile, the two freight tasks could be assigned to different tractors. When combined with temporal constraints for tractor transportations and container loading/unloading, the central challenge is to generate a feasible solution. This problem now falls into a asymmetric vehicle routing problem with backhauls and time windows (VRPBTW). The Link-arc with different classification tasks are directed (e.g., the tractor operation Link-arc of \( T_{PEC}T_{DEC} \) has a completely different meaning from \( T_{DEC}T_{PEC} \)), as shown in Table 1. Therefore, the ICT with separation mode is an asymmetric problem. Meanwhile, the pre-task constraint that is based on Equation (12) raises backhauls and temporal dependencies. The PEC/PFC corresponds to backhaul of DFC/DEC, and each task must be kept in waiting until the pre-task was completed.

Gajpal and Yuvraj demonstrated the superiority of ACO in VRPBTW [51]. Moreover, the artificial ant constructs a continuous path that is based on pheromone information and guarantees the algorithm iterates over the feasible region. Therefore, an improved ACO algorithm is proposed here to handle this problem.

4.1. Ant path Encoding and Constructive Procedure

The solution can be represented as a \( m_0 \times n_0 \) with transportation tasks number, as shown in Figure 1. The row index \( m_0 \) means that the employed tractor number and column index shows the maximum number of transportation tasks that one tractor served. Xu et al. [52] first proposed this encoding style for self-support and 3PL vehicle scheduling problem, the row index \( m_0 \) might be the variable so-called varying dimension matrix encoding. In our study, both the row index \( m_0 \) and column index \( n_0 \) are variable and interrelated with each other. Therefore, we define our path encoding style as two-dimensional variable matrix encoding.

![Figure 1](#)

**Figure 1.** Two typical solution encoding of 10 tasks with different number of tractors.
Special attention should be paid to tractor scheduling, which is affected by Pre-task constraint based on Equation (12), due to the above solution encoding. Consequently, we present a Infeasible-Arc filtration strategy. In each selection process of artificial ants, we extract all the optional arc and filtrate the infeasible combination. The framework of this operation is demonstrated, as shown in Algorithm 1.

**Algorithm 1: Framework of Infeasible-Arc filtration strategy.**

**INPUT:** Currently solution encoding for tractors.

**OUTPUT:** Feasible-Arc Matrix.

1. Extract the tractor number as $TL$.
2. Extract Unvisited tasks number as $UL$.
3. Build a $TL \times UL$ null matrices as $Potential_{arc}$.
4. for $i = 1:TL$
5. for $j = 1:UL$
6. if the Pre-task of task $j$ has been visited.
7. if After insert task $j$, tractor $j$ had plenty of time for return trip.
8. Assignment $(i, j)$ to Optional-arc Matrix $Potential_{arc}(i, j)$.
9. end
10. end
10. end
10. end

The filtration of the infeasible arc is based on the following aspect: (1) the pre-task $\omega_i$ has been selected. (2) The tractors have not broken the planning horizon in Equation (10).

Figure 2 illustrates a selection process of the fourth task decision. In the initial phase, tractor 2 has three transportation tasks and parks the destination of Task 4, and tractor 1 and 3 are parked at the trailer depot. For convenience, we assume the odd-numbered tasks are the pre-task for the corresponding even-numbered tasks. The optional-arcs consist of the last task of each tractor and all of the tasks in the unvisited task set. Subsequently, we filtrate the infeasible arc and obtain the feasible-arc matrix.

![Figure 2](image-url)

Figure 2. The generation of the feasible-arc matrix.

In the next step, we will calculate the transition probabilistic for each feasible-arc. First, we calculate the visibility $\eta_{ij}$ for arc $(i, j)$; this parameter is affected by the objective function value, so we defined the calculation method of $\eta_{ij}$, as in Equation (14).

$$
\eta_{ij} = \frac{1}{\Delta Z}
$$ (14)
ΔZ is included in the changes of the number of tractors, the connection cost for arc \((i, j)\), and waiting time for task \(j\). Subsequently, we could calculate the transition probabilistic \(p_{ij}\), as follows:

\[
p_{ij} = \frac{\tau_{ij}^a \cdot \eta_{ij}^b}{\sum \tau_{ij}^a \cdot \eta_{ij}^b}, \quad \forall \text{arc}(i, j) \in \text{Feasible-arc matrix} \tag{15}
\]

where \(\tau_{ij}\) is pheromone level of arc \((i, j)\), the initial value is 1, and \(\alpha, \beta\) are two parameters that control the weight of pheromone and visibility. It is especially noted that there are a few identical elements in the Feasible-arc matrix (e.g., \((0, 2)\) in Row1-Column1 and Row3-Column1), because these feasible-arcs belong to different tractors, we should calculate the transition probabilistic separately. We use three different selection strategies to improve the searching performance of ACO and prevent falling into the local best solution:

- **Elitist Strategy**: select the arc \((i, j)\) with a maximum transition probabilistic \(p_{ij}\).
- **Explorer Strategy**: select one stochastic arc \((i, j)\) in a feasible-arc matrix.
- **Roulette Strategy**: the classical in existing ACO, where the probability for each arc corresponds to its transition probabilistic \(p_{ij}\).

Figure 3 demonstrates the solution construction process.
4.2. Solution Decoding Rule and Pheromone Update Strategy

On the basis of the two-dimensional variable matrix coding system and selection strategy based on the feasible-arc matrix, as described above, a step-by-step solution decoding rule and pheromone update strategy example for Figure 1 is presented below.

- **Step 1.** Choose a Two-dimensional variable matrix solution.
- **Step 2.** Randomly select one row of this encoding. If all numbers are zero, **Repeat this Step**; else extract all the non-zero task number in sequence. One typical selection of tractor route in this Step is Figure 1a is “1-4-2”, for example.
- **Step 3.** Determine the sub-sequence of tractor route. For the example in Step 2, the sub-sequence are “0–1”, “1–4”, “4–2”, “2–0”.
- **Step 4.** If the objective value of this ant less than the current best solution, adopt Max–Min Ant System (MMAS) updating strategy, which only elitist ant have survived and update pheromone of sub-sequence (the pheromone update amount is \( Q \sum \Delta Z \), where \( \sum \Delta Z \) denotes total variation for each decision step of ant and equals to objective function value of our model, and \( Q \) is pheromone update value); or else, update the whole sub-sequence pheromone of each ant.
- **Step 5.** Repeat Steps 2–4 until all of the tractor route in Step 1 has updated pheromone.

5. Computational Experiments

In this section, we perform numerical computational experiments to verify the performance of the proposed solution algorithm and examine the benefits of separation mode with traditional stay-with mode. The structure of this section is organized, as follows: firstly, we generate 24 small-scale and 11 large-scale test instances that are based on the benchmark of VRP with different task characteristics: Cluster, Random, and Semi-Cluster. Secondly, we present the computational results and compare them with the commercial solver. Finally, we further demonstrate the efficiency of the proposed ACO algorithm and study the scope of application. All of the procedures are embedded with ILOG Cplex optimizer (version 12.6) and Matlab R2018a 64 bit, and all of the experiments are executed on a PC with a CORE i5 CPU of 2.5 GHz and 8.0 G RAM.

5.1. Instances Generation

Our test instances are generated based on the VRP benchmark, which was first proposed by Solomon et al. [53] in accordance with presupposed rules. We determine customer number \( N_{\text{cus}} \), transportation task number \( N_{\text{task}} \), inbound task number \( N_{\text{inbound}} \), and outbound task number \( N_{\text{outbound}} \), \( N_{\text{task}} \geq N_{\text{cus}} \), and \( N_{\text{task}} = N_{\text{inbound}} + N_{\text{outbound}} \). Subsequently, we randomly select \( N_{\text{cus}} \) customer coordinate from the VRP benchmark instances, and assign one inbound task or outbound task to each customer; the remainder tasks are randomly assigned to the customers. After this step, each inbound task could be subdivided into a \( T_{\text{DFC}} \) task and a \( T_{\text{PEC}} \) task and each outbound task could be subdivided into a \( T_{\text{DEC}} \) task and a \( T_{\text{PFC}} \) task. Therefore, the problem scale of generation instance is \( 2 \times N_{\text{task}} \).

In addition, we assume that the tractor speeds with different vehicle states are all 40 km/h, and use Euclidean distance to conduct the transportation routes. The loading/unloading of one container is set one of the three options: 0.5 h, 1 h, 1.5 h. Referring to the calculation of fuel costs and carbon emissions costs in the literature [45], we set \( \rho_1 = 300, \rho_2 = 6.516, \rho_3 = 56.295 \).

5.2. Small-Scale Instances

In order to demonstrate the performance metrics of the improved ACO algorithm, the optimization results are contrasted with IBM CPLEX Optimizer (version 12.6) in the same computing environment condition. We set the acceptable time constraint to 3600 s for this commercial solver, and then output the lower bound on the optimal solution and computing time (if less than 1 h). For the proposed ACO algorithm, multiple running has
been simulated, and then record the best/worst/average solution and mean iteration times in Table 2.

Table 2. Performance comparison for small-scale instances with various distributions.

| Dst. | Scale | Task | Commercial Solver | Improved ACO | Gap1 (%) | Gap2 (%) |
|------|-------|------|-------------------|--------------|----------|----------|
|      |       |      | LB                | Opt          | Wst      | Ave      | CPU    |
| C    | 1/1/1 | 4-C  | 332.721           | 322.721      | 332.721  | 332.721  | 0.52    | 0      | 0      |
|      | 1/1/2 | 4-C  | 331.183           | 331.183      | 331.183  | 331.183  | 0.73    | 0      | 0      |
|      | 1/1/3 | 6-C  | 331.265           | 331.265      | 331.265  | 331.265  | 0.78    | 0      | 0      |
|      | 1/1/4 | 10-C | 452.071           | 452.071      | 452.071  | 452.071  | 2.08    | 0      | 0.21   |
|      | 1/1/5 | 16-C | 830.999           | 746.601      | 789.913  | 776.838  | 5.31    | 10.16  | 4.05   |

| R    | 1/1/1 | 4-R  | 342.529           | 342.529      | 342.529  | 342.529  | 0.55    | 0.00   | 0.00   |
|      | 1/1/2 | 4-R  | 309.252           | 309.252      | 309.252  | 309.252  | 0.85    | 0.00   | 0.00   |
|      | 1/1/3 | 6-R  | 316.540           | 316.540      | 316.540  | 316.540  | 1.05    | 0.00   | 0.00   |
|      | 1/1/4 | 8-R  | 316.540           | 316.540      | 316.540  | 316.540  | 1.05    | 0.00   | 0.00   |
|      | 1/1/5 | 10-R | 453.292           | 453.292      | 453.292  | 453.292  | 2.76    | 0.00   | 9.24   |
|      | 1/1/6 | 12-R | 774.703           | 686.196      | 732.741  | 719.969  | 4.89    | 11.42  | 4.92   |
|      | 1/1/7 | 16-R | 1154.970          | 752.427      | 835.855  | 790.760  | 8.60    | 23.15  | 5.09   |

| RC   | 1/1/1 | 4-RC | 322.275           | 322.275      | 322.275  | 322.275  | 0.59    | 0.00   | 0.00   |
|      | 1/1/2 | 4-RC | 360.371           | 360.371      | 360.371  | 360.371  | 0.84    | 0.00   | 0.00   |
|      | 1/1/3 | 6-RC | 357.678           | 357.678      | 357.678  | 357.678  | 0.93    | 0.00   | 0.00   |
|      | 1/1/4 | 8-RC | 355.796           | 355.796      | 355.796  | 355.796  | 1.09    | 0.00   | 0.00   |
|      | 1/1/5 | 10-RC| 453.292           | 453.292      | 453.292  | 453.292  | 2.76    | 0.00   | 9.24   |
|      | 1/1/6 | 12-RC| 744.970           | 661.111      | 723.373  | 719.969  | 4.89    | 11.42  | 4.92   |
|      | 1/1/7 | 16-RC| 1154.970          | 242.93       | 723.873  | 776.307  | 11.42   | 6.02   | 9.24   |

Average 18.68 5.13

Scale: n-X stand for the n transportation tasks with X characteristic distribution. (X ∈ {C, R, RC}); Task: m/n/k/l stand for the task number of TDFC, TPEC, TDEC, TPEC, respectively. LB: the lower bound on the optimal solution; CPU: Computation time for CPLEX and proposed ACO; Gap1 = (LB − Opt)/LB; Gap2 = (Ave − Opt)/Opt.

With the increasing of transportation task number, the computation time of CPLEX has an exponential rise in atrocities and is restricted with 12 upwards scale problems, as can be seen from Table 2. This is because the interrelates and influences with pre-tasks would increase the magnitude of calculation. Moreover, the start time $y_i$ is another headaches in our mixed-integer programming model. However, the proposed ACO can still gain the optimal solution at an ideal time. Gap2 also shows the stronger stabilizing astringency of our algorithm. For the estimation result of Gap1 with different characteristic problems, the objective function values tumbled by nearly 18 percent on average. Therefore, our algorithm is able to obtain a cost-effective response to various distributed instances.

In addition, it can be seen that our approach with Random (R) distribution has comparatively better performance than the cluster (C) and semi-cluster (RC) distribution cases. On the schedule of freight process, the clustered customers tend to use traditional stay-with mode than the separation-mod. The semi-trailers and empty containers have an efficient turnover rate among these customers. However, for the Random (R) distribution cases, the tractor needs to exert much energy on their ways, the separation-mode concentrated on saving time through synchronization transportation and the loading/unloading process. Therefore, we will further demonstrate the benefit of the new operation mode through large-scale instances with Random (R) distribution.

5.3. Large-Scale Instances

This new operation is compared with the traditional stay-with mode with the improved ACO algorithm in order to demonstrate the superiority of separation mode in ICT problems. Table 3 shows the comparison result with diversity indicators. To ensure properly get the stay-with mode solutions with our algorithm, we modify the Infeasible-Arc...
filtration strategy of source code, which, if the Pre-task \(i\) has been visited by one tractor \(k\), the feasible-arc of this tractor can only result in \((i, j)\), and \(i = \omega_j\). This restriction inevitably reduces the problem scale of stay-with modes. Therefore, the computation time of the stay-with mode always better than the separation mode in Table 3.

The estimation result of \(\text{Gap}_1\) has shown the benefits of separation mode, which reduces the average 17.48\% total transportation cost. \(\text{Gap}_2\) and \(\text{Gap}_3\) indicate the stability of our algorithm with different operation modes, respectively. With the enlargement of order quantity, computational complexity grows exponentially. Nevertheless, the customized improved algorithm that we designed for separation mode still maintain preferable stabilization astringency.

Table 3. Performance comparison for large-scale instances with Random (R) distributions.

| No. | Scale | Stay-With Mode | Separation Mode | \(\text{Gap}_1\) (%) | \(\text{Gap}_2\) (%) | \(\text{Gap}_3\) (%) |
|-----|-------|----------------|-----------------|---------------------|---------------------|---------------------|
|     |       | \(\text{Opt}^a\) | \(\text{Ave}^a\) | CPU                 | \(\text{Opt}^b\) | \(\text{Ave}^b\) | CPU                 |
| 1   | 20-R  | 1129.815       | 1143.228       | 10.19              | 940.749            | 947.871            | 11.55              | 16.73              | –1.19               | –0.757              |
| 2   | 30-R  | 1205.287       | 1256.424       | 12.50              | 1110.288           | 1151.889           | 32.67              | 7.88               | –4.24               | –3.747              |
| 3   | 30-R  | 1718.442       | 1794.912       | 20.64              | 1372.741           | 1427.110           | 27.58              | 20.12              | –4.45               | –3.961              |
| 4   | 30-R  | 1721.429       | 1805.311       | 21.42              | 1411.490           | 1469.680           | 32.19              | 17.83              | –4.87               | –3.902              |
| 5   | 40-R  | 2300.307       | 2469.760       | 103.43             | 1955.706           | 2062.820           | 136.39             | 14.98              | –7.37               | –5.477              |
| 6   | 60-R  | 3512.034       | 4106.269       | 426.39             | 3038.304           | 3277.800           | 524.04             | 13.49              | –16.92              | –7.883              |
| 7   | 80-R  | 4994.781       | 6006.973       | 662.64             | 4241.813           | 4850.220           | 923.31             | 15.08              | –20.26              | –14.343             |
| 8   | 100-R | 6526.592       | 8207.964       | 1178.31            | 5428.694           | 6293.559           | 5291.55            | 16.36              | –25.76              | –15.294             |
| 9   | 120-R | 8843.383       | 10,717.706     | 2222.92            | 6902.267           | 8411.912           | 3170.94            | 21.75              | –21.19              | –21.555             |
| 10  | 160-R | 11,324.120     | 15,363.589     | 4609.23            | 9016.639           | 11,991.371         | 7939.20            | 20.38              | –35.67              | –32.992             |
| 11  | 200-R | 16,598.903     | 23,704.445     | 10,346.77          | 11,994.986         | 18,404.399         | 27.74              | 27.43              | –42.81              | –53.434             |

\(\text{Ave}^a\) =   \text{Ave}^b\)

Scale: \(n\)-X stand for the \(n\) transportation tasks with \(X\) characteristic distribution. \((X \in \{C, R, RC\})\).

Task: \(m/n/k/l\) stand for the task number of \(T_{\text{DFC}}, T_{\text{PEC}}, T_{\text{DEC}}, T_{\text{PFC}}\), respectively. \(\text{Gap}_1 = (\text{Opt}^a - \text{Opt}^b)/\text{Opt}^a\); \(\text{Gap}_2 = (\text{Opt}^b - \text{Ave}^a)/\text{Opt}^b\); \(\text{Gap}_3 = (\text{Opt}^b - \text{Ave}^b)/\text{Opt}^b\).

5.4. Performance Analysis of the Customized ACO

The improved ACO has comparatively better performance with Random (R) distribution, as mentioned previously. We will investigate the applicability conditions of our algorithm. Figures 4–6 show the convergence of our algorithm. For the small-scale instances, the graphical representation of the results indicates excellent stability with different task characteristic distribution.

However, for the large-scale instances, the convergence speed of C and RC distribution situation are significantly less than R distribution. Especially, the algorithm searches for a new optimal solution at 465th iteration, which might indicate that the algorithm has not yet reached the steady convergence state. This is because the visibility parameter \(\eta_{ij}\) cannot effectively influence the decision-making of artificial ants, in which the cluster transportation tasks have indistinguishable visibility \(\eta_{ij}\).

Figure 4. Convergence of customized ant colony optimization (ACO) with Cluster distribution.
6. Conclusions

The ICT problem has become an essential part of sustainable freight network construction, directly affecting fuel cost and carbon dioxide emissions. In this paper, a mixed-integer programming mathematical model with Full-Empty container integration has been proposed. Under the separation mode with tractor and semi-trailer, the pre-task constraint and carbon emission cost have been built into the model. An improved ACO algorithm with two-dimensional variable matrix encoding and Infeasible-Arc filtration strategy is designed to tackle this problem. Numerical instances with different scales and characteristics are randomly generated in order to evaluate the effectiveness of the proposed ACO algorithm. The experimental results show that our algorithm has a significant reduction in computation time and a higher overall quality of the solution.

In this study, we assume the semi-trailers and empty containers are inexhaustible. In practice, these transportation equipments are attached to different freight companies. Empty container sharing among these cooperating trucking companies is a core question, which balances the empty container flow in the freight network. Meanwhile, the multi-size trailer and container, and quantitative restrictions for trailers and empty containers will be considered in our future research work.

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