STUDY PROTOCOL

Classifying colorectal cancer or colorectal polyps in endoscopic setting using convolutional neural network: protocol for a systematic review and meta-analysis [version 1; peer review: 1 approved with reservations]

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Abstract

Background: Colorectal cancer (CRC) is the third most common cancer worldwide. Although colonoscopy screening has been proven as an effective strategy for preventing CRC unfortunately, even conventional colonoscopy by expert gastroenterologists can miss adenomas or pre-cancerous lesions in up to 25% of cases. This systematic review aimed to classify colorectal polyps (CRP) or CRC in endoscopic clinic settings using a new machine learning method, convolutional neural network (CNN).

Methods: We will search PubMed/MEDLINE, Scopus, Web of Science, IEEE, Inspec, ProQuest, Google Scholar, Microsoft Academic Search, ScienceOpen, arXiv, and bioRxiv from 1st January 2010 to the 31st of July 2020. Our search will not be restricted based on language or geographical area. The primary studies will be selected that have observational design (cross-sectional, case control or cohort); the study subjects will be adult patients (>= 18 years old) referred to colonoscopy clinics; and the results of their colonoscopy evaluation will be available in the form of images or videos.

The extracted data will be combined using meta-analysis of prediction models. The primary data synthesis will be performed based on area under curve-receiver operating characteristic curve and/or accuracy measures. We will use Stata version 14.2 (Statacorp; College Station, TX) for primary and secondary data synthesis.

Conclusion: The inferences of our secondary research will provide evidence to evaluate the prognostic role of CNN in discriminating CRP or CRC in colonoscopy settings.
**Introduction**

Colorectal cancer (CRC) is the third most common cancer worldwide. Among the causes of cancer-related death, CRC ranks second. Although the biological pathways that transform the normal colonic into malignant tissue are different, polyps are presumed to be the precursor lesions for malignant tumors in all cases. Colorectal polyps (CRP) can be seen in various forms or shapes on colonoscopy. CRP are histologically classified into two main categories based on growth pattern, namely serrated and adenomatous (adenomas) polyps.

A new systematic review and meta-analysis combined 70 primary population-based cross-sectional studies that used colonoscopy for assessing different colorectal neoplastic lesions. This study reported the worldwide prevalence of adenoma, advanced adenoma and CRC as 25.9%, 5.2% and 0.6% in patients older than 50 years, respectively. Brenner et al. investigated almost 850,000 colonoscopies of German national screening of CRC. They projected that 10-year risk of progression of advanced adenomas to CRC varied between 25% and 40% in men and women older than 50 years of age.

The role of colonoscopy screening has been proven as an effective strategy for preventing CRC development. This intervention can affect CRC mortality by two pathways; first, polypectomy or removing adenomas and second, detecting early stages of CRC. Because removal of CRP does not reduce the risk of CRC to zero, current guidelines recommend a 5–10-year rescreening interval after a negative colonoscopy result. Recently, a large-scale multi-center cohort study followed 200,000 persons who underwent baseline colonoscopies for more than eight years. This large cohort study showed that high-risk and low-risk adenomas resulted in 2.6 and 1.3 fold increase, respectively, in the risk of CRC development when compared to persons without adenoma.

During the last two decades, despite efforts made to screen CRC/CRP in target groups (e.g., population aged more than 50 years old), studies have shown that even conventional colonoscopy by expert gastroenterologists can miss adenomas or pre-cancerous lesions in up to 25% of cases. Over the last few decades, several measures have been developed and recommended to evaluate the quality of colonoscopy in the diagnosis of polyps and colon cancers. An important quality measure is the Adenoma Detection Rate (ADR). A recent large-scale investigation on more than 300,000 colonoscopies performed by 136 colonoscopists during 13 years showed that an 1.0% increase in the ADR was associated with a 3.0% decrease in the risk of CRC.

In recent years, several technologies have been combined with colonoscopy, leading to new endoscopic methods for improving detection rate of colorectal lesions. Image-Enhanced Endoscopy (IEE), cap-assisted colonoscopy, the Third Eye® Retroscope®, wide-angle colonoscope, Endocuff® device, and water-assisted colonoscopy are just a few of these new methods of colonoscopy that are available to augment the detection, diagnosis, and treatment of these subtle lesions.

In the last few years, several adjunct techniques or devices are under investigation for improving ADR in colonoscopy settings. These are methods categorized under the generic term “Computer Aided Diagnosis” (CAD). The collection of models such as “Artificial Intelligence”, “Deep Neural Networks” and “Machine Learning” are under CAD. Recent investigations have shown that CAD-methods, along with colonoscopy data, have advantages; higher CRC/CRP detection, better histopathologic differentiation, decreasing overall healthcare costs, and decreasing operator independency.

Convolutional neural networks (CNNs) are a class of deep neural networks that are highly effective at performing image and video analyses. CAD–CNN models for colonoscopy could assist endoscopists in detecting polyps and performing optical diagnosis. CNNs are trained using thousands of colonoscopy images to identify and differentiate between hyperplastic and adenomatous polyps.

Since 2018, several systematic reviews have been published to diagnose different clinical outcomes using CNN methods. The assessed outcomes were in skin cancer, breast cancer, hepatocellular carcinoma or hepatic mass, and ischemic brain strokes.

Based on our knowledge, no systematic review or meta-analysis to evaluate the diagnostic accuracy for discrimination of CRC from CRP has been published. Unfortunately, nearly all systematic reviews assessing the diagnostic accuracy of CNNs in detecting or differentiating different health outcomes, especially different cancers that have been published so far have some limitations. First, the papers had no study protocols and no evidence was provided regarding registration of the protocols. Second, all the published systematic reviews were performed without doing a meta-analysis. Third, in the systematic review methods, risk of bias assessment or critical appraisal was not designed. Therefore, we will aim to design and conduct a systematic review and meta-analysis using a standard and high-quality method to evaluate diagnostic accuracy for discrimination of CRC/CRP by CNN.

**Protocol**

This protocol was first designed based on the “priori” approach and then registered in Open Science Framework.

**Primary objective**

Assessing the accuracy of CNN model (Intervention: I) for discrimination malignancy (cancer) and/or polyp (Outcome: O) from normal colorectal tissue (Comparison: C) in CRC or CRP probable patients (Participants: P) who attended colonoscopic clinics or centers, based on colonoscopic images or videos.

**Eligibility criteria of primary studies**

**Type of primary studies**

Primary studies will be included as follows: first, all of the study subjects have colonoscopy videos or images (at least one per subject); second, all of the study subjects have pathological or cytological diagnoses (as the gold standard or reference standard); third, the study design is
cross-sectional, prospective, or retrospective approach. Therefore, all observational studies with case-control, cohort, or cross-sectional design will be included. Interventional studies (trials, experimental or quasi-experimental), reviews (secondary research), editorials, letters, and similar articles will be excluded.

**Type of participants.** Since CRPs are usually diagnosed in adults older than 50 years of age, all studies conducted on adult populations of either gender (i.e., patients older than 18 years) will be eligible to be included.

**Reference standard.** The histopathologic examination results of the colorectal lesions (CRC or CRP) will be used as the reference standard.

**Index test (the output of prediction model).** CNNs are a supervised learning method. They can learn and find the relationship between the input (images or videos) and the class labels. CNN layers are generally divided into two categories: hidden layers and fully connected layers. The task of the hidden layers is to extract the features. The fully connected layers are used for the classification and detection object in the input images, at the end of the CNN network. The different class labels of all the assessed images or videos should be reported.

**Search strategy**
We will search the following bibliographic databases: PubMed/MEDLINE, SCOPUS, Web of Science, IEEE (Institute of Electrical and Electronics Engineers), Inspec, ProQuest, Google Scholar, Microsoft Academic Search, ScienceOpen, arXiv, bioRxiv. Moreover, we will assess relevant conferences for content (Conference on Computer Vision and Pattern Recognition, International Conference of Computer Vision, European Conference on Computer Vision). We will search *Gastroenterology, Pattern Recognition, Scientific Reports* as key journals by hand-searching. The search time interval will cover the 1st of Jan 2010 up to the 31th of July 2020. Our search will not be restricted based on language or geographical area. The PubMed search syntax is provided as *Extended data*.

**Screening and selection processes**
After searching in the sources mentioned above, we will screen all the primary studies based on titles or abstracts. A screening checklist will be developed using 4 to 6 criteria. The criteria will be selected based on the most common components reported in the abstracts of primary research. We will select included or potentially included studies for further assessment. Two reviewers will independently evaluate the considered studies based on full-text papers or documents. We will resolve any disagreement between the two reviewers by consensus.

**Quality assessment (risk of bias assessment)**
Two reviewers will independently assess the methodological quality (risk of bias) of the included studies. The risk of bias checklist will be selected based on *PROBAST too*. The checklist has two main domains: risk of bias (ROB) and applicability domains. The ROB domains contain four items: participants, predictors, outcome, analysis. The applicability domain has three items: participants, predictors, and outcome. The applicability domain has three items: participants, predictors, and outcome. All seven items will be assessed according to one of three options: low risk of bias, high risk of bias and unclear risk of bias. The overall quality status (overall risk of bias status) will be determined based on defined guidelines (*PROBAST guideline*). We will resolve any disagreement between the two reviewers by consensus.

**Data extraction and data synthesis**
We will design an extraction form based on the study objectives. After testing of the extraction form (at least one primary study), we will finalize the data extraction form. Two reviewers will independently extract the required data from all the primary studies (papers or documents). We will resolve any disagreement in the extracted data between the two reviewers by consensus.

The quantitative primary measures are one of two performance indicators: area under curve-receiver operating characteristic curve (AUC-ROC) (C or Concordance Statistics) or accuracy measure. As primary data synthesis (meta-analysis), we will combine the AUC and/or accuracy measures.

The secondary data are the CNN architecture model (*VGG*, *AlexNet*, *GoogLeNet*, etc.) or the features of CNN architecture, such as the number of layers, the size of layers (kernel (filter) and stride size), kind of pooling layers (max or average) and so on, the CNN model sensitivity, specificity, and diagnostic odds ratio.

The subgroup variable data will be transfer learning (existing or absence), learning rate, the features of CNN architecture (number of layers, size of the kernel and stride, and so on).

Combining the primary and secondary data will be performed based on *Debray et al guidelines*. We will use Stata version 14.2 (*StataCorp. College Station, TX*) and R 4.0.0 for conducting the meta-analysis method.

We will use a forest plot for presenting the accuracy measure pooling and F (inconsistency) measure and Q Cochrane test for assessing heterogeneity. We will use the subgroup analysis based on the variables mentioned above. The subgroup analysis or meta-regression will be utilized for finding the potential sources of heterogeneity. The funnel plot, Begg’s or Egger’s tests, and Fill and Trim methods will be used for evaluating publication or reporting bias.

We will apply sensitivity analysis for assessing the relation between the primary study quality and the accuracy amount, and a one-out remove method will be used too.

The Grading of Recommendations, Assessment, Development and Evaluation (GRADE) tool to assess the quality of evidence and strength of recommendations was originally developed for interventional systematic review and meta-analysis, but the standard tool was changed later for diagnostic and predictive systematic reviews. However, we will use the modified version of GRADE for prediction model systematic review.
Dissemination
We will present the systematic review and meta-analysis findings at relevant conferences. Moreover, we will submit the manuscript to relevant scholarly peer-reviewed journal.

Study status
This systematic review is currently in the search phase for information sources.

Discussion
CNN is a promising advanced method that can be useful in differentiating CRC from benign lesions, such as polyps. The results of this systematic review and meta-analysis will further our knowledge about the role of CNN in predicting colorectal lesions. As far as we know, no comprehensive systematic review has been published so far elaborating the role of CNN in predicting colorectal lesions.

We will try to conduct the study strictly adhering to the methods described. Any changes in the study conduction will be clarified in the final manuscript. Since heterogeneity is a common phenomenon in prediction model studies, we will try to address this concern by appropriate methods such as meta-regression and/or subgroup analysis.

Data availability
Underlying data
No data are associated with this article.

Extended data
Open Science Framework: Classification of different polyps in colorectal Endoscopy using Convolutional Neural Network; A Systematic Review Protocol Review title and timescale, https://doi.org/10.17605/OSF.IO/QJ7EU.

This project contains the following extended data:
- PubMed search syntax.
- Protocol

Reporting guidelines
Repository name: PRISMA-P checklist32 ‘Classifying colorectal cancer or colorectal polyps in endoscopic setting using Convolutional Neural Network (CNN); protocol for a systematic review and meta-analysis’, https://doi.org/10.17605/OSF.IO/QJ7EU.

Data are available under the terms of the Creative Commons Zero “No rights reserved” data waiver (CC0 1.0 Public domain dedication).

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This is an interesting protocol that aims to evaluate the diagnostic accuracy for discrimination of colorectal cancer (CRC) and colorectal polyps (CRP) using a convolutional neural network (CNN).

- The authors have very well explained previous systematic reviews (and studies) related to the study's topic and their rationale for conducting this study. One rationale is mentioned to be methodological issues of previous research, such as not doing the risk of bias assessment in previous systematic reviews or studies being conducted without including a meta-analysis.

- I ask the authors to be unique and explicit in stating the objective of the study. In the 'abstract' of the protocol, they mention that the aim of the study is classifying CRP and CRC using CCN, while it is mentioned to be evaluating the diagnostic accuracy for discrimination of CRC and CRP using CNN. Please also explain, in the objectives (in both the abstract and text) that this study aims to investigate the accuracy of CNN in classifying CRC and CRP compared to its accuracy in identifying the normal colorectal tissue (Comparison: C).

- In the last paragraph of the introduction, the authors mention that one limitation of previous studies is 'not registering their protocols'. Although this may seem to be a limitation, does this justify the need for conducting this research? If the answer is yes, please explain how? However, the second and third provide a strong rationale for the need for conducting this research.

- Although it is clear to experts what type of primary studies will be included in this study, please make some language modifications to the first sentence to make it more transparent. For instance, you could change it as follows: "The primary studies with all the following features will be included in this systematic review and meta-analysis: ... ".

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Type of participants: it is not clear why when CRPs are usually diagnosed in adults older than 50 years of age, the authors mention that 'patients older than 18 years will be eligible to be included' in this systematic review. Shouldn't it have been 50 instead of 18?

Index test (the output of prediction model): please explain what you mean by 'class labels'? What are the features that the hidden layer will extract?

Please clarify the following sentence: “The fully connected layers are used for the classification and detection object in the input images, at the end of the CNN network”. Detection of which objects in the input images? It is not clear what the authors mean in 'at the end of the CNN network'. Does this mean the final thing that the CNN does is the detection of objects in the input images?

If you have already designed the screening checklist, please mention which criteria it includes?

Please explain whether you will include all studies with any risk of bias or if those with low and unclear risk of bias will be excluded.

I also recommend that the authors explain CNN in non-jargon language, for example, by providing an example so that non-experts in machine learning would better understand how CNN works.

Briefly explain the Debray et al. guidelines for combining the primary and secondary data.

In the following sentence, in parentheses specify the variables again: “We will use the subgroup analysis based on the variables mentioned above”.

Is the rationale for, and objectives of, the study clearly described?
Yes

Is the study design appropriate for the research question?
Yes

Are sufficient details of the methods provided to allow replication by others?
Yes

Are the datasets clearly presented in a useable and accessible format?
Yes

Competing Interests: I have already contacted the journal and received permission for reviewing this protocol.

Reviewer Expertise: Systematic review, designing search strategies in academic databases

I confirm that I have read this submission and believe that I have an appropriate level of
expertise to confirm that it is of an acceptable scientific standard, however I have significant reservations, as outlined above.

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