Article

Thermal Performance of Dwellings with Rooftop PV Panels and PV/Thermal Collectors

Saad Odeh

Senior Program Convenor, Sydney Institute of Business and Technology, Sydney City Campus, Western Sydney University, NSW 2000, Australia; Saad.Odeh@sibt.nsw.edu.au or S.Odeh@city.westernsydney.edu.au; Tel.: +61-2-8236-8075

Received: 22 June 2018; Accepted: 17 July 2018; Published: 19 July 2018

Abstract: To improve the energy efficiency of dwellings, rooftop photovoltaic (PV) technology is proposed in contemporary designs; however, adopting this technology will add a new component to the roof that may affect its thermal balance. This paper studies the effect of roof shading developed by solar PV panels on dwellings’ thermal performance. The analysis in this work is performed by using two types of software packages: “AccuRate Sustainability” for rating the energy efficiency of a residential building design, and “PVSYST” for the solar PV power system design. AccuRate Sustainability is used to calculate the annual heating and cooling load, and PVSYST is used to evaluate the power production from the rooftop PV system. The analysis correlates the electrical energy generated from the PV panels to the change in the heating and cooling load due to roof shading. Different roof orientations, roof inclinations, and roof insulation, as well as PV dwelling floor areas, are considered in this study. The analysis shows that the drop in energy efficiency due to the shaded area of the roof by PV panels is very small compared to the energy generated by these panels. The analysis also shows that, with an increasing number of floors in the dwelling, the effect of shading by PV panels on thermal performance becomes negligible. The results show that insensitivity of the annual heating and cooling load to the thermal resistance of rooftop solar systems is only because the total thermal resistance is dominated by roof insulation.

Keywords: energy efficiency; roof shading; thermal performance; PV panel; PV/thermal collector

1. Introduction

In building sustainability designs, three major assessments are considered: thermal performance, energy efficiency, and water conservation. The major factors affecting the thermal performance of buildings are basically related to the building architecture design, such as: the roof type (e.g., gable or flat), the roof orientation, shading from adjacent objects, building materials (e.g., double brick or brick veneer walls), insulation, and glazing. In cold-weather zones, shading the building’s façade or roof may reduce the heat gain of the building and increase the heating load during the cold season. On the other hand, in hot- or warm-weather zones, shading a building’s façade and/or the roof will reduce the cooling load and increase the building’s thermal performance. The effect of PV panels’ shading on heat transfer through a flat roof building was simulated and tested by [1]. Part of the roof was covered with PV panels and a ceiling temperature measurement was conducted to verify and validate the mathematical heat transfer model. The study showed that the reduction in cooling load due to the shaded roof by a PV panel is more than the reduction in heating load which enhances the annual net energy balance of the building by 4%. Another work by Kotak et al. [2] studied the effect of rooftop PV panels on the cooling load of a flat roof house using a computer simulation. The results show that there is a significant decrease in the cooling load due to the roof area shaded by PV panels. However, the heat transfer model in this study did not consider insulation to the roof or ceiling below the PV panels.
A simulation model has been developed to evaluate cooling load and heat gain components through an inclined PV roof structure [3]. It was found that installing a PV rooftop may reduce the cooling load by 35% for the roof design considered in that work (a gable roof without a significant insulation layer). The air gap between PV panels and roof surface as well as the roof inclination angle were found to be major factors affecting the thermal and energy performance of the building. A thermal model for an inclined PV panel mounted on a ventilated structure was developed by [4]. This model evaluated the total heat loss coefficient of the panel surface and predicted its temperature response time. The study, which was validated by an experimental test, showed that heat loss by radiation between the panel surface and the sky is very low compared to the convective heat loss and it can be neglected in the thermal model.

Roof orientation and inclination has a significant effect on solar heat gain as well as the power generated by the rooftop PV system. A review of the optimum tilt and azimuth angles of a rooftop PV panel was conducted considering climate conditions and surrounding obstacles [5]. The study concluded that the optimum tilt angle is closer to the location latitude if the clearness index value is constant during the year. It was observed that the optimum tilt and azimuth angle of the PV panel was influenced significantly by the surrounding obstacles, such as adjacent buildings. Another analytical study was conducted on a larger scale system (50 kW output) and concluded that the maximum PV panel yield occurs when the PV panel tilt angle is about 1.5° less than the site latitude angle [6]. The impact of roof design on the output of an urban building solar energy system (hot water of electricity) was studied by [7]. Three types of roof designs were considered in this study: flat, gabled, and lean-to-roof. The results showed that the contribution of solar energy to covering the demand of hot water and electricity of a building is higher in case of the flat roof than the gabled roof design. This is because, in the case of the gabled roof, there will be less irradiation on the side of the roof opposing the sun. The optimal configuration in the roof of the housing unit was implemented to increase the solar potential of the building’s integrated PV/Thermal system [8]. The effect of the roof configuration shape on energy performance in terms of heating and cooling load was investigated by computer modelling. It was found that the effect of different roof designs on heating and cooling loads is less than 5%. However, it was concluded that the integration of a PV/thermal system in the roof design of different orientations enables the spread of peak electricity timing over longer operation hours. This work did not show how to overcome the complexity in the PV rooftop installation associated with a folded roof design. A breakdown of heat loss and heat gain rate by a house envelope was investigated by [9]. They found that the highest amount of heat loss rate takes place in the ceiling/roof and represents 62% of the total heat loss from the building envelope. The heat gain rate by the ceiling/roof was found to be significant and represents 33.5% of the total heat gain rate by the building envelope.

The literature review of rooftop PV systems does not show significant analysis of designs that have a roof space with insulation. Since the majority of roof designs in Australia have roof space, research work in this respect was found to be necessary to find the effect of the roof shaded area by PV panels on the energy efficiency of the dwellings. This paper studies the effect of shading developed by rooftop PV panels on the cooling and heating load. The proposed analysis correlates the electric energy generated from the PV panels to the heating and cooling load of a dwelling with a roof space design at different roof orientation angles.

2. Thermal Modelling of the Roof

The type of roof considered in this study is a gabled roof with a PV panel arrangement similar to the design shown in Figure 1. Thermal modelling for this design can be developed by considering the thermal resistance of different components between the external air and the inner space of the dwelling. There are nine major thermal resistances identified in this roof design, these are:

- $R_1$: Thermal resistance of external air adjacent to the roof surface.
- $R_2$: Thermal resistance of PV panel or PV/thermal collector material.
$R_3$ Thermal resistance of the air space between a panel and the roof surface.
$R_4$ Thermal resistance of roof material (tiles or metal sheet).
$R_5$ Thermal resistance of the air gap between the roof material and a sarking sheet.
$R_6$ Thermal resistance of a gabled roof space.
$R_7$ Thermal resistance of the insulation above the ceiling.
$R_8$ Thermal resistance of ceiling material.
$R_9$ Thermal resistance of the inner space air adjacent to the ceiling.

Some of these thermal resistances are quite standard and can be selected from tables based on the roof type and roof design, such as $R_4$, $R_5$, $R_6$, $R_7$, $R_8$, and $R_9$ [10]. The thermal resistance $R_2$ can be calculated by adopting the PV module thermal conductivity given by [3,4]. The remaining thermal resistances $R_1$ and $R_3$ are affected by surrounding conditions and can be calculated by using conventional heat transfer formulas [11]. To find $R_1$, two components of heat transfer coefficients are considered: the heat transfer coefficient by convection of the ambient air, and the heat transfer coefficient by radiation with sky temperature,

$$R_1 = \frac{1}{h_{c1} + h_{r1}} \text{ (m}^2\cdot\text{°C}/\text{W})$$  \hspace{1cm} (1)

where $h_{c1}$ and $h_{r1}$ are the heat transfer coefficients by convection and radiation, respectively, and can be found by [11],

$$h_{c1} = \left(\frac{k_a}{L}\right) Nu_1 \text{ (W/m}^2\cdot\text{°C})$$  \hspace{1cm} (2)

where $Nu_1$ is the Nusselt number,

$$Nu_1 = (0.037 \times Re^{0.8} - 871) \times Pr^{1/3} \text{ (with wind).}$$  \hspace{1cm} (3)

Or,

$$u_1 = 0.59 \times Ra^{1/4} \text{ (with no wind)}$$  \hspace{1cm} (4)

where $Pr$ is the Prandtl number, $Re$ is the Reynolds number, $K_a$ is the thermal conductivity of air (W/m$^2\cdot$°C), $L$ is the PV array length (m), and $Ra$ is the Rayleigh number found by [10]

$$Ra = 9.81 \times \beta_t \times (T_{pb} - T_{rs}) \times \left(\frac{L^3}{\nu^2}\right) \times Pr$$  \hspace{1cm} (5)

where

$\nu$ = kinematic viscosity of the fluid (m$^2$/s)
$T_{rs} =$ temperature of the roof surface,
$\beta_t =$ Thermal expansion = $1/T_a [K^{-1}]$,  
$T_{pb} =$ Temperature of the PV panel’s back surface found by [3],

and,

$$T_{pb} = T_{pv} - \left(\frac{Irr}{1000}\right)^3 \text{ (K)}$$  \hspace{1cm} (6)

where $T_{pv}$ is the PV surface temperature given by [12] and is found by,

$$T_{pv} = T_a + (0.022)Irr \text{ (K)}$$  \hspace{1cm} (7)

where $Irr$ is the solar irradiation (W/m$^2$) and $T_a$ is the ambient temperature (K).

$$h_{r1} = \epsilon \cdot \rho_v \sigma (T_{pv}^2 + T_{sky}^2) (T_{pv} + T_{sky}) \text{ (W/m}^2\cdot\text{°C})$$  \hspace{1cm} (8)

where
\[ \varepsilon_{pv} = \text{Emissivity of the PV panel’s upper surface}, \]
\[ \sigma = \text{Stefan–Boltzmann constant (5.670367 \times 10^{-8} \text{ W} \cdot \text{m}^{-2} \cdot \text{K}^{-4})}, \]
\[ T_{sky} = \text{Sky temperature (K) found by [13]}, \]
\[ T_{sky} = T_a - 20. \] (9)

\[ R_2 \text{ can be evaluated by knowing the PV or PV/thermal panel thickness (x) and thermal conductivity (k), where} \]
\[ R_2 = \frac{x}{k} \text{ (m}^2 \text{·°C/W).} \] (10)

To find \( R_3 \), a similar approach to \( R_1 \) is considered using the heat transfer coefficient by natural convection in the air gap \( (h_{c3}) \) and the heat transfer coefficient by radiation between the roof surface and the PV panel’s back surface \( (h_{r3}) \), where
\[ R_3 = \frac{1}{h_{c3} + h_{r3}} \text{ (m}^2 \text{·°C/W)} \] (11)

where \( h_{c3} \) is the heat transfer coefficient by convection for open-ended space given by [3]
\[ h_{c3} = \left( \frac{k_a}{L_a} \right) \times 0.644 \times \left( \frac{x_d}{L_a} \right) Ra \times \sin (\beta)^{0.25} \text{ (W/m}^2 \text{·°C)} \] (12)

where \( L_a \) is the length of the air gap (m) between the roof surface and the solar panel, \( x_d \) is the air gap height (m), and \( \beta \) is the roof surface angle.

\( h_{r3} \) is found from the heat radiation equation between two parallel surfaces [11], where
\[ h_{r3} = \sigma \times \frac{(T_{pb}^2 + T_{rs}^2)}{(1 + \frac{T_{pb}}{T_{rs}} - 1)} \text{ (W/m}^2 \text{·°C)} \] (13)

where, \( \varepsilon_{pb} \) and \( \varepsilon_{rs} \) are the emissivity of the PV panel’s back surface and the emissivity of the roof surface, respectively.

The thermal model of the rooftop PV design presented by the thermal resistances \( R_1 \) to \( R_9 \) shown in Figure 2 and their associated Equations (1)–(13) were used to find out the effect of ambient temperature, optimum insulation size, and solar irradiation on heat transfer through a gabled and a flat roof dwelling. This design was compared with an uncovered roof design by eliminating \( R_2 \) and \( R_3 \) from the heat transfer model as well as adjusting Equation (7) to address the roof tile temperature \( T_{rs} \) rather than the PV surface temperature \( T_{pv} \).
These figures show that the difference in heat loss or heat gain between the PV roof and the non-PV roof is quite small and it is in the range of 3–4.5%.

This resistance is related mainly to the insulation at the collector’s back side [15], which was found to be equal to 1.1 (m²·°C/W). The other finding from Figure 3 is that the size of insulation (4 m) becomes negligible at a ceiling insulation greater than 4 m·°C/W. The results are shown in Figures 4 and 5 for winter and summer ambient temperatures. These figures show that the difference in heat loss or heat gain between the PV roof and the non-PV roof is quite small and it is in the range of 3–4.5%.

Figure 2. Thermal resistances of the gable roof.

### 3. Thermal Analysis of the Roof System

The engineering equation solver package (EES) [14] was used to investigate the most significant thermal resistances that affect heat transfer through the proposed roof design. The value of these thermal resistances is given in Table 1. It is clearly shown that the optimum roof insulation $R_7$ (4 m²·°C/W) is greater than the total of the other roof components’ thermal resistance, which was found to be in the range of 1.05–2.01 m²·°C/W. Since $R_7$ is the major thermal resistance in the roof design of this study, it was important to investigate its effect on the heating and cooling load of a dwelling. Figure 3 shows the effect of increasing $R_7$ on heat gain from a PV rooftop and a non-PV roof design. It is worthwhile to mention here that the commercial thermal resistance of insulation $R$ is represented by a value next to the symbol $R$, i.e., $R_1$ means that the thermal resistance of the insulation equals 1 m²·°C/W, $R_2$ means that the thermal resistance of the insulation equals 2 m²·°C/W, . . . etc.

| Thermal Resistance | Winter Value (m²·°C/W) | Summer Value (m²·°C/W) |
|--------------------|------------------------|------------------------|
| $R_1$              | 0.0614                 | 0.06                   |
| $R_2$              | 0.0075                 | 0.0075                 |
| $R_3$              | 0.221                  | 0.184                  |
| $R_4$              | 0.02                   | 0.02                   |
| $R_5$              | 0.18                   | 0.16                   |
| $R_6$              | 0.34                   | 1.36                   |
| $R_7$              | 4.0                    | 4.0                    |
| $R_8$              | 0.06                   | 0.06                   |
| $R_9$              | 0.16                   | 0.16                   |

The difference in heat loss from the roof between both designs decreases as the value of insulation increases up to a value of $R_7$ equal to 4 m²·°C/W where the difference in heat loss starts to be negligible. The other finding from Figure 3 is that the size of insulation (4 m²·°C/W) represents the optimum limit as, after this size, the heat loss tends to be almost constant and adding extra insulation becomes unfeasible. This size of insulation is considered to be standard in modern building designs to achieve the maximum energy efficiency of dwellings.

To investigate the effect of using different types of rooftop solar energy systems, such as a solar thermal collector, $R_2$ of Equation (10) is replaced by the thermal resistance of the thermal collector. This resistance is related mainly to the insulation at the collector’s back side [15], which was found to be equal to 1.1 (m²·°C/W) [15]. This value of $R_2$ is used in the roof thermal model described in Equations (1)–(13) to find the heat loss from a roof covered by a PV/thermal collector and the results are presented in Figure 3. The results show that the heat loss from the roof covered by the PV/thermal collector is less than that from the roof covered by a PV panel alone. However, the difference between both cases becomes negligible at a ceiling insulation greater than 4 m²·°C/W.

Further investigation of the effect of ambient temperature on heat transfer through the roof is conducted at constant irradiation (500 W/m²), an average wind speed of 3 m/s, and an $R_7$ value equal to 4 m²·°C/W. The results are shown in Figures 4 and 5 for winter and summer ambient temperatures. These figures show that the difference in heat loss or heat gain between the PV roof and the non-PV roof is quite small and it is in the range of 3–4.5%.

\[ R = \text{thermal resistance of insulation (m}^2\cdot\text{°C/W)} \]

\[ k = \text{thermal conductivity (W/m·°C)} \]

\[ \alpha = \text{emissivity of the roof} \]

\[ \beta = \text{emissivity of the PV panel's back surface} \]

\[ \gamma = \text{length of the air gap (m) between the roof surface and the solar panel} \]

\[ \delta = \text{roof surface angle} \]

\[ \epsilon = \text{Stefan–Boltzmann constant (5.670367 × 10^{-8} W·m^{-2}·K^{-4})} \]

\[ T_{sky} = \text{sky temperature (K) found by [13], in °C} \]

\[ T_{rs} = \text{PV surface temperature (°C), rather than the PV surface temperature TPV} \]
Figure 3. The effect of ceiling insulation’s thermal resistance on the heat loss from a PV, non-PV, and PV/thermal collector gabled roof at irradiation of 500 W/m², a wind speed of 3 m/s, and an ambient temperature of 10 °C.

Figure 4. The effect of low ambient temperatures on the heat loss from the roof at irradiation of 500 W/m², a wind speed of 3 m/s, and a ceiling insulation thermal resistance value of 4 m²·°C/W.

Figure 5. The effect of high ambient temperatures on the heat gain from the roof at irradiation of 500 W/m², a wind speed of 3 m/s, and a ceiling insulation thermal resistance value of 4 m²·°C/W.
4. Transient Analysis of Roof System Heating and Cooling Load

An annual performance analysis was conducted to evaluate the effect of covering the gabled roof by PV panels on the total heating and cooling load \( Q_{hc} \) of a dwelling. The benchmark software “AccuRate sustainability” [16] for house energy ratings in Australia was used to perform energy modelling of a single-story, double-brick dwelling. The total floor area of the dwelling adopted in this study is 84 m\(^2\) divided into a 74 m\(^2\) conditioned area and a 10 m\(^2\) unconditioned area. The glazing to wall ratio of each dwelling side is: 18.5% N, 15.8% E, 11.9% W, and 10% S. The roof inclination of this dwelling is 22° following the Australian standard [17] and consists of the same parts shown in Figure 1. The northern side of the roof (facing the sun) was assumed to be fully covered by PV panels. The energy rating analysis was conducted on different dwelling orientations and different roof azimuth angles (Z): 0° N, 45° NE, 90° E, 270° W, and 315° NW with a PV arrangement similar to Figure 6.

The energy rating simulation was conducted twice for each dwelling orientation, once with the roof covered by PV panels and another run without PV panels. To simulate the roof shaded by a PV panel, another layer with a similar thermal conductivity and air gap was added to the construction option of “AccuRate”. The effect of roof insulation on the annual heating and cooling load of the prescribed dwelling was conducted for two types of roof: a gabled roof design and a flat roof design. The aim of this analysis is to investigate the effect of transient weather conditions on the heat transfer through a roof covered by PV panels.

Figure 6. The house model and PV rooftop arrangements.

The effect of roof insulation on the annual \( Q_{hc} \) load of the dwelling was estimated in MJ per square metre of roof floor area and the results are presented in Figure 7. The annual heating and cooling load is estimated by AccuRate, which considers the thermal loss from different parts of dwellings, including the roof, walls, floors, and windows. The trend of the results is quite similar to what was shown in Figure 3 where the optimum insulation size was found to be about 4 m\(^2\).°C/W. At this size of insulation, the type of roof design (gabled or flat) does not change the total heating and cooling load significantly. This finding leads to the conclusion that adding new modules to the external surface of the roof, such as PV panels, will not have a significant effect on the total \( Q_{hc} \) load if roof insulation is within the optimum range. It is clear from Figure 7 that the gabled roof has less \( Q_{hc} \) load than the flat roof for insulation thermal resistance values less than 2.5. This is because the thermal resistance of the gabled roof’s air space becomes dominant at low \( R \) values of insulation.
The results show that the hourly temperature difference between both cases increases in the afternoon. The annual percentage of change in $Q_{hc}$ is in the range of $-3$ to $0.74\%$. It is worthwhile to mention here that the percentage change in $Q_{hc}$ due to rooftop PV panel shading may have a positive or negative impact on the energy consumption by air-conditioning. The annual percentage of change in $Q_{hc}$ due to PV panel shading was estimated at different roof orientations and is shown in Figure 8. In some roof orientations, such as the West roof (where the azimuth angle is $270^\circ$), the percentage of decrease in $Q_{hc}$ is $3\%$, i.e., adding a PV panel to the roof will improve the dwelling’s thermal efficiency due to the reduction in heating and cooling loads. It can be concluded from Figure 8 that the percentage of increase in $Q_{hc}$ in general is very small (between $0.15$ and $0.7\%$) at the roof azimuth angles of $0$, $45$, and $315^\circ$ due to the increase in heating load at these roof orientations. In general, Figure 8 shows that the percentage of change in $Q_{hc}$ is very small and it is in the range of $-3$ to $0.74\%$.

The low percentage of change in $Q_{hc}$ can be justified by examining the yearly change of roof space temperature in PV roof and non-PV roof cases by using ACCURATE with the non-modelling option. The results show that the hourly temperature difference between both cases increases in the afternoon.
hours and approaches to zero during no sunlight hours. The temperature difference is affected also by the seasons due to the change in ambient temperature. Two extreme days shown in Figure 9a,b were selected to display the roof space temperature profile in winter and summer. It is clear that the variance in the roof space temperature between the two types of roof increases in winter, by about 31%, and by 6% in summer in the afternoon hours as shown in Figure 9a,b. However, since this increase occurs only in a limited number of hours of the year, the change of heat transfer rate and, therefore, the change in the total thermal load is not significant as presented in Figure 8.

Figure 9. Roof space temperature profile in (a) winter and (b) summer days of a house with a ceiling insulation R value of 4 m²·°C/W.

The Effect of Different Rooftop Solar Systems on the Annual Heating and Cooling Load

The effect of shading developed by three types of roof—a bare surface roof, a roof surface covered with PV panels, and a roof surface covered with a PV/thermal collector—on the annual heating and cooling load of the dwelling was examined. The PV/thermal collector is mainly used to provide dual output (thermal and electrical) and to improve PV panel efficiency due to cooling by the collector’s working fluid. Different designs of PV/thermal collectors were found in the literature, such as:
a PV/thermal water system, in which the PV panel is attached to a traditional hot water solar collector [18–20]; and
- a PV/thermal air system, in which the PV panel is attached to a hot air collector [20–22].

The values of the thermal resistance \( R_2 \) of different types of rooftop systems were deduced from References [3,19,20,23] and are summarized in Table 2. These values were used in “AccuRate” to find the sensitivity of the results to different designs of rooftop collectors. The result of this analysis shows that the annual heating and cooling load of dwellings with different rooftop designs are almost identical. To illustrate this sensitivity, the \( Q_{hc} \) of the highest \( R_2 \) of the PV/thermal collectors given in Table 2 was compared with the \( Q_{hc} \) of a PV panel only and is presented Figure 10. It is clear that the difference between the \( Q_{hc} \) of the three types of roof is not significant and it approaches zero at high ceiling insulation thermal resistances.

| Number | Reference | Type of Collector | \( R_2 \) Value m²·°C/W |
|--------|-----------|-------------------|-------------------------|
| 1      | [19]      | Single glazed PV/T collector | 0.51                    |
| 2      | [20]      | Single glazed PV/T collector | 0.19                    |
| 3      | [20]      | Double glazed PV/T collector | 0.16                    |
| 4      | [20]      | PV/T collector with no cover | 0.03                    |
| 5      | [23]      | Flat plate collector without PV panel | 0.25                  |
| 6      | [3]       | PV panel only       | 0.0075                  |

There are two major reasons for this insensitivity of the annual \( Q_{hc} \) to the different rooftop designs:

1. The domination of the thermal resistance of the roof insulation, especially at the standard value (4 m²·°C/W).
2. The small contribution of the roof structure to the dwelling’s annual \( Q_{hc} \). For the dwelling design adopted in this work, the roof structure makes only a 9.1% contribution to the annual \( Q_{hc} \).

![Figure 10. Annual heating and cooling load of different types of gabled roof dwellings: bare roof, roof covered with a PV panel only, and roof covered with the first PV/thermal collector of Table 2.](image)

5. PV Energy Contribution to the Heating and Cooling Load

The annual electricity produced by the PV panels covering the northern side of the proposed dwelling was estimated using the simulation package “PVSYST” [24] under Sydney weather conditions.
and at a site latitude of 33.5° south. The main specification of the PV system used in this study was adopted from [25], which uses an array of identical mono-crystalline silicon PV panels mounted on a 22° slope gabled roof. The total roof area of the adopted dwelling is 90 m² divided evenly between north and south sides. The PV panel’s area covering the northern roof is 42 m² and its estimated capacity is 4.4 kW.

In order to investigate the overall impact of the rooftop PV panel on the dwelling’s energy efficiency, both solar energy generated by the PV system and the change in $Q_{hc}$ due to roof shading by the PV panels must be considered. A formula that describes the ratio of net energy produced by the solar PV system to the heating and cooling load $Q_{hc}$ with no PV rooftop system was developed and is given by

$$PVR = \frac{Q_{PV} - \Delta Q_{hc}}{(Q_{hc})_{NPV}}$$  \hspace{1cm} (14)

and

$$\Delta Q_{hc} = (Q_{hc})_{PV} - (Q_{hc})_{NPV}$$  \hspace{1cm} (15)

where

- $PVR$ = The ratio of net PV energy to the heating and cooling load with no PV rooftop,
- $\Delta Q_{hc}$ = The change in the total heating and cooling load between a PV roof and a non-PV roof (MJ/m² of roof area).
- $Q_{PV}$ = The energy produced by PV system (MJ/m² of roof area),
- $(Q_{hc})_{PV}$ = The heating and cooling load of the dwelling with a PV rooftop (MJ/m² of roof area),
- $(Q_{hc})_{NPV}$ = The heating and cooling load of the dwelling with a non-PV rooftop (MJ/m² of roof area).

The $PVR$ value in Equation (14) depends on two major factors:

- The energy produced by the PV panels covering the roof, and
- The size of the dwelling that contributes significantly to the amount of $Q_{hc}$.

The maximum $PVR$ value can be achieved when $Q_{PV}$ is maximum (the northern roof is covered completely with PV panels), and when $(Q_{hc})_{PV}$ is less than $(Q_{hc})_{NPV}$. The energy produced by the rooftop PV panels is affected by two parameters: roof orientation and roof area. The PVSYST and ACCURATE packages were used to estimate the $PVR$ at different roof orientations and the results are shown in Figure 10. It is quite obvious that the northern orientation yields the highest value of $PVR$ due to its highest value of energy production. For the dwelling design adopted in this work, Figure 10 shows that the smallest value of $PVR$ occurred when the roof is facing east, i.e., the azimuth angle equals 90°. It can be concluded from Figure 11 that the effect of change in $Q_{hc}$ due to the PV rooftop installation is negligible compared to the solar electricity produced, which can cover, with surplus, the heating and cooling load of the dwelling.

However, this trend of $PVR$ will not stay steady if the number of floors in the dwelling are increased. This was investigated by duplicating the ground floor area in ACCURATE and modelling the heating and cooling load of multi-floor dwellings. The effect of change in the total heating and cooling load ($\Delta Q_{hc}$) between the PV roof and the non-PV roof decreases with the number of floors of the dwelling. This can be verified by Figure 12, which shows that $\Delta Q_{hc}$ almost vanishes when the number of floors the dwelling has exceeds 5. The results show that the PVR ratio drops exponentially with an increase in the number of floors in the dwelling. It is clear that the energy produced by the rooftop PV system will not cover the $Q_{hc}$ if the number of levels in the dwelling exceeds 4. This result can provide a guideline for the limit of the rooftop PV system’s size to meet the dwelling’s energy need or the limit of zero energy design in multi-floor dwellings with reference to a PV rooftop system as an energy source to the dwelling.
In this paper, the effect of installing rooftop PV panels on the heating and cooling load of a typical dwelling was investigated. Thermal modelling of a gabled roof was developed considering the thermal resistance of different roof components. The thermal model showed that roof/ceiling insulation is the key form of thermal resistance in the model and the heat gain and loss from the roof becomes almost steady when insulation size approaches 4 m²·°C/W. At this insulation size, the model showed that adding extra components to the external surface of the roof has a minor effect on heat gain or heat loss. The thermal model showed that the bare roof surface, roof with a PV panel, and roof with PV/thermal collector roof configurations make a minor difference in the annual thermal performance of the dwelling when the ceiling insulation’s thermal resistance exceeds 4 m²·°C/W. To investigate the effect of shading developed by rooftop PV panels on the dwelling’s energy efficiency, two types of software were used, namely “ACCURATE sustainability” for thermal assessment of dwellings and “PVSYST” for energy production by the PV system. The results of the thermal assessment showed

**Figure 11.** The ratio of net energy produced by the rooftop PV system to the heating and cooling load (the roof is facing north and is fully covered by PV panels). PVR, the ratio of net PV energy to the heating and cooling load with a non-PV rooftop.

**Figure 12.** The ratio of net energy produced by the rooftop PV system to the heating and cooling load for multi-level dwellings (roof facing north).

6. Conclusions

In this paper, the effect of installing rooftop PV panels on the heating and cooling load of a typical dwelling was investigated. Thermal modelling of a gabled roof was developed considering the thermal resistance of different roof components. The thermal model showed that roof/ceiling insulation is the key form of thermal resistance in the model and the heat gain and loss from the roof becomes almost steady when insulation size approaches 4 m²·°C/W. At this insulation size, the model showed that adding extra components to the external surface of the roof has a minor effect on heat gain or heat loss. The thermal model showed that the bare roof surface, roof with a PV panel, and roof with PV/thermal collector roof configurations make a minor difference in the annual thermal performance of the dwelling when the ceiling insulation’s thermal resistance exceeds 4 m²·°C/W. To investigate the effect of shading developed by rooftop PV panels on the dwelling’s energy efficiency, two types of software were used, namely “ACCURATE sustainability” for thermal assessment of dwellings and “PVSYST” for energy production by the PV system. The results of the thermal assessment showed
that in some roof orientations, such as the east and west roofs, adding a rooftop system will cause a reduction in the total thermal load of the dwelling. However, the ratio of this reduction is minor and represents only 3% of the total heating and cooling load. Another factor that causes this small reduction in heating and cooling load is that these PV panels cover only one side of the roof, which leaves the opposite side exposed more to variations in ambient conditions.

The other factor that improves the dwelling’s energy efficiency is the solar electricity produced by the PV system. Both factors were integrated into one equation that estimates the value (PVR), which is the ratio of net energy produced by PV system to the energy required to cover the heating and cooling load of the dwelling. The results show that the thermal impact of adding a PV rooftop system to a dwelling is negligible compared to the amount of solar electricity produced by that system, which covers with surplus the heating and cooling load. However, increasing the number of floors of the dwelling will reduce the PVR ratio exponentially, and the PV system output of a dwelling with a number of levels greater than 4 will not be able to cover the increase in the heating and cooling loads.

It was verified that the insensitivity of the annual heating and cooling load to the thermal resistance of rooftop solar systems is only because the total thermal resistance is dominated by roof insulation. In the case of tropical dwelling designs, the results will be more sensitive due to low or no ceiling insulation and the type of rooftop system will have a stronger effect on the heating and cooling load.

Future work will consider the effect of different designs of PV roofs, including PV-integrated roof tiles and solar hot water collectors, on a dwelling’s thermal performance.

**Funding:** This research received no external funding.

**Conflicts of Interest:** The authors declare no conflict of interest.

**References**

1. Dominguez, A.; Kleissl, J.; Luvall, J. Effects of Solar Photovoltaic Panels on Roof Heat Transfer. *Sol. Energy* 2011, 85, 2244–2255. [CrossRef]
2. Kotak, Y.; Ej Gago, E.; Mohanty, P.; Muneer, T. Installation of Roof-Top Solar PV Modules and their Impact on Building Cooling Load. *Build. Serv. Eng. Res. Technol.* 2014, 35, 613–633. [CrossRef]
3. Yang, H.; Zhu, Z.; Burnett, J.; Lu, L. Simulation Study on the Energy Performance of Photovoltaic Roofs. *ASHRAE Trans.* 2001, 107, 129–135.
4. Armstrong, S.; Hurley, W. A Thermal Model for Photovoltaic Panels under Varying Atmospheric Conditions. *Appl. Therm. Eng.* 2010, 30, 1488–1495. [CrossRef]
5. Siraki, A.; Pillay, P. Study of Optimum Tilt Angles for Solar Panels in Different Latitudes for Urban Applications. *Sol. Energy* 2012, 86, 1920–1928. [CrossRef]
6. Singh, H.; Sirisamphanwong, C.; Rekha, S. Effect of Tilt and Azimuth Angle on the Performance of PV Rooftop System. *Appl. Mech. Mater.* 2016, 839, 159–164. [CrossRef]
7. Kantersa, J.; Wallb, M. The Impact of Urban Design Decisions on Net Zero Energy Solar Buildings in Sweden. *Plan. Transp. Res.* 2014, 2, 312–332. [CrossRef]
8. Hachem, C.; Athienitis, A.; Fazio, P. Design of Roofs for Increased Solar Potential BIPV/T Systems and their Applications to Housing Units. *ASHRAE Trans.* 2012, 118, 660–676.
9. Kwan, Y.; Guana, L. Design a Zero Energy House in Brisbane, Australia. *Procedia Eng.* 2015, 121, 604–611. [CrossRef]
10. Insulation Handbook 2014, Part 1 Thermal Performance, Total R-Value Calculation for Typical Building Applications; Version 2 Insulation Council of Australia and New Zealand; ICANZ: Canberra, Australia, 2014.
11. Cengel, Y. *Introduction to Thermodynamics and Heat Transfer*, 2nd ed.; McGraw Hill: New York, NY, USA, 2008, ISBN 13: 978-0071287739.
12. Odeh, S.; Behnia, M. Development of PV Module Efficiency Using Water Cooling. *Heat Transf. Eng.* J. 2009, 30, 499–505. [CrossRef]
13. Algarni, S.; Algarni, S.; Nutter, D. Survey of Sky Effective Temperature Models Applicable to Building Envelope Radiant Heat Transfer. *ASHRAE Trans.* 2015, 121, 351–363.
14. Kelvin, S. *Engineering Equation Solver (EES)*; V10.278—3D, F-Chart Software; F - Chart: Madison, WI, USA, 2017.
15. Duffie, J.; Beckman, W. *Solar Engineering of Thermal Processes: Chapter 6*. John Published Online Wiley & Sons: Hoboken, NJ, USA, 2013; 755p.

16. *AccuRate Sustainability Software*; Commonwealth Scientific and Industrial Research Organisation (CSIRO): Sydney, Australia, 2015.

17. Roof Cladding, the National Construction Code of Australia (NCC) 2016. Volume 2. Available online: http://www.abcb.gov.au/ncc-online/NCC (accessed on 18 July 2018).

18. Herrando, M.; Markides, C.; Hellgardt, K. A UK-based assessment of hybrid PV and solar-thermal systems for domestic heating and power: System performance. *Appl. Energy* **2014**, *122*, 288–309. [CrossRef]

19. He, W.; Chow, T.; Ji, J.; Lu, J.; Pei, G.; Chan, L. Hybrid photovoltaic and thermal solar-collector designed for natural circulation of water. *Appl. Energy* **2006**, *83*, 199–210. [CrossRef]

20. Guarracino, I.; Mellor, A.; Ekins-Daukes, N.; Markides, C. Dynamic coupled thermal-and-electrical modelling of sheet-and-tube hybrid photovoltaic/thermal (PVT) collectors. *Appl. Therm. Eng.* **2016**, *101*, 788–795. [CrossRef]

21. Chow, T. A review on photovoltaic/thermal hybrid solar technology. *Appl. Energy* **2010**, *87*, 365–379. [CrossRef]

22. Phiraphata, S.; Prommasa, R.; Puangsombutb, W. Experimental study of natural convection in PV roof solar collector. *Int. Commun. Heat Mass Transf.* **2017**, *89*, 31–38. [CrossRef]

23. Chow, T.; Dong, Z.; Chan, L.; Fai Fong, K.; Bai, Y. Performance evaluation of evacuated tube solar domestic hot water systems in Hong Kong. *Energy Build.* **2011**, *43*, 3467–3474. [CrossRef]

24. Mermoud, A. *PVSYST Photovoltaic Software*; The University of Geneva: Geneva, Switzerland, 2012.

25. Odeh, S. Long term assessment of a grid connected solar PV system in Sydney. *J. Energy Power Eng.* **2016**, *10*, 591–599.