Transient inactivation of the medial prefrontal cortex affects both anxiety and decision-making in male Wistar rats

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INTRODUCTION

Recently, we (De Visser et al., 2010) and others (Miu et al., 2008; conform Haegler et al., 2010) have shown that anxiety affects decision-making. More specifically, both low and high anxious male subjects as well as high anxious female subjects perform poorly in the Iowa gambling task (IGT; De Visser et al., 2010). The IGT measures decision-making processes by simulating real-life decisions involving reward, punishment, and uncertainty of outcomes. While healthy participants learn to prefer long-term advantageous options associated with immediate moderate rewards over long-term disadvantageous options associated with immediate high rewards (Bechara et al., 1994, 1999), high anxious subjects seem to remain exploratory, while low anxious subjects appear to be risk-taking (De Visser et al., 2010; see also Rivalan et al., 2009). However, the neural underpinnings of the relationship between anxiety and decision-making remain elusive.

A number of brain areas have been implicated in both anxiety and IGT-like decision-making in humans, such as the medial prefrontal cortex (mPFC), dorso-lateral prefrontal cortex, anterior cingulate cortex, and amygdala (e.g., Bechara et al., 1999; Grachev and Apkarian, 2000; Ernst et al., 2002; Bishop et al., 2004; Bolla et al., 2004; Etkin et al., 2004; Brand et al., 2006; Lawrence et al., 2009; Li et al., 2010; Salomons et al., 2010). The anterior cingulate cortex and dorso-lateral prefrontal cortex are specifically involved in a negative feedback circuit of cortical control over limbic areas (Ridderinkhof et al., 2004; Bechara, 2005). The function of this top-down control circuit, that likely controls decision-making on the basis of reward and punishment as assessed in the IGT (Quirk et al., 2000; Miller and Cohen, 2001; Rogers et al., 2004; Davis et al., 2010; St Onge and Floresco, 2010), may be impaired in high anxious individuals (Bishop et al., 2004; Roiser et al., 2009), leading to suboptimal decision-making. In rats, the mPFC has been shown to be involved in unconditioned anxiety (Duncan et al., 1996; links and McGregor, 1998; Salomons et al., 2010) and probability-based decision-making in rats (St Onge and Floresco, 2010). The mPFC in rats has been suggested to share an anatomical and functional homology to the anterior cingulate cortex and dorso-lateral prefrontal cortex in humans (Uylings and van Eden, 1990; Brown and Bowman, 2002; Uylings et al., 2003).

To address the underlying neurobiology of anxiety and decision-making we (De Visser et al., 2011) recently conducted a study in male rats combining the elevated plus maze (EPM) to assess levels of anxiety, a rodent analog of the IGT (Van den Bos et al., 2006b; Homberg et al., 2008; De Visser et al., 2011) to determine decision-making performance, and expression of the immediate early gene c-fos as marker of neural activity in...
areas implicated in anxiety and decision-making. Overall, these data suggested that in high anxious-poor performing male rats among others the mPFC (prelimbic, PrL and infralimbic, IL areas) is poorly recruited during task-progression leading to suboptimal decision-making. To assess this more specifically, we transiently inactivated in this study the mPFC using a mixture of the GABA-receptor agonists muscimol (GABA\textsubscript{A} receptor) and baclofen (GABA\textsubscript{B} receptor) before rats were tested on the EPM and the r-IGT. This mixture has been shown to be effective in transiently inactivating the mPFC (e.g., St Onge and Floresco, 2010). As the mPFC is suggested to become active when rats have changed their behavioral strategy toward choosing the long-term advantageous option in the IGT (Van den Bos et al., 2006a, 2007; De Visser et al., 2010, 2011), we inactivated the mPFC in rats that either still showed exploratory behavior or rats that already showed a preference for the long-term advantageous option in the r-IGT. We predicted that inactivation of the mPFC would increase anxiety on the EPM and lead to suboptimal decision-making in the r-IGT in those rats that already showed a preference for the long-term advantageous option.

**MATERIALS AND METHODS**

**SUBJECTS**

Male Wistar rats ($n = 30$), 10 weeks of age, were purchased from Harlan (Horst, the Netherlands). They were housed individually in Makrolon type IV cages under a reversed 12 h light/dark cycle (lights off at 7 am). A shelter and paper tissues were provided as cage enrichment. Food and water were freely available except during testing (see below). Room temperature was controlled at $21 \pm 2^\circ C$ with a relative humidity of $60 \pm 15\%$. A radio provided background noise. All experiments were approved by the Animal Ethics Committee of Utrecht University and were conducted in agreement with Dutch laws (Wet op de Dierproeven, 1996) and European regulations (Guideline 86/609/EEC).

**EXPERIMENTAL PROCEDURE**

After arrival, rats were allowed to habituate to the housing conditions in the animal facility for 2–3 weeks. Cages were cleaned once a week. Rats were handled two to three times a week to familiarize them with the experimenters. After this habituation period, surgery followed. All rats were allowed to recover for at least 10 days before behavioral testing started. During this recovery period, animals were handled daily and habituated to the infusion procedure. Rats were then tested on the EPM. One week later, rats were tested in the rodent IGT (r-IGT) for 2 weeks under mild food restriction. All experiments were carried out during the dark phase of the day–night cycle, between 8.30 am and 5 pm.

**Surgery**

Rats (380–420 g) were anesthetized using a mixture of fentanyl (0.25 mg/kg, i.p., Fentanyl Bipharma, Hameln Pharmaceuticals GmbH, Hameln, Germany, 0.05 mg/mL fentanyl citrate) and dexmedetomidine (0.15 mg/kg, i.p., Dexdomitor\textsuperscript{®}, Pfizer Animal Health BV, Capelle a/d IJssel, the Netherlands, 1 mg/mL medetomidine hydrochloride). Further induction of anesthesia was carried out when necessary by administration via mask inhalation of isoflurane (IsoFlo\textsuperscript{®}, AST Farma BV, Oudewater, the Netherlands) vaporized in oxygen at concentrations of up to 5%.

Rats were implanted bilaterally with stainless steel guide cannulas (length: 5 mm; 22 ga; Plastics One type C313GRL, Plastics One Inc., Roanoke, VA, USA) using an in-house built stereotaxic model (Bayer Elberfeld Appnr. 159406; Tropon Inv. Nr. 36774). The cannulas were aimed at the prelimbic cortex under a lateral angle of 20˚ using the following coordinates adapted from the atlas of Paxinos and Watson (2005) to our rats: anteroposterior (AP): 10.46 mm (1.46 from bregma); mediolateral (ML): ±1.7 mm (from midline); dorsoventral (DV): −3.6 (flat skull). The AP coordinates were adjusted when necessary, i.e., when the distance between the interaural line and bregma deviated from the value of the atlas (9 mm). Stylets were inserted into the cannulas and remained in place until the infusions were made.

**Elevated plus maze**

The EPM was made of gray PVC and elevated 75 cm above the floor. The four arms (50 cm × 10 cm) formed a cross with the central platform. A wall (height: 30 cm) of non-transparent material enclosed two arms, located opposite to each other. Each rat was placed on the central platform facing one of the enclosed arms and allowed to freely explore the maze for 5 min. In between trials, the maze was cleaned with warm water and dried thoroughly using clean towels. Behavior was recorded on DVD and scored afterward using Observer 5.0 (Noldus Information Technology, Wageningen, the Netherlands).

**Rodent Iowa Gambling Task**

The same apparatus and procedure was used as previously described (Van den Bos et al., 2006b; Homberg et al., 2008; De Visser et al., 2011) with minor modifications, such as the number of trials per day (see below). The r-IGT apparatus was made of wood and consisted of a start box, choice area, and four arms. Before the start of testing, rats were habituated to the apparatus in a 10-min free exploration trial. Two days later, they were mildly food restricted (approximately 95% of free feeding body weight) and tested for a period of 9 days, i.e., a 5-day period and a 4-day period, interspersed by a two test free days (weekend days). Food was freely available on weekend days. A trial started by lifting the slide door of the start box. The rat could freely enter the choice area of the apparatus and choose one of the four arms. The chosen arm was only closed when the rat had entered a choice arm with its full body, including its tail. At the end of the arm, rats could obtain sucrose pellets or quinine-treated sucrose pellets (bailed arms; see below) or no pellets at all (empty arms). Each trial had a maximum duration of 6 min. The inter-trial interval was 30 s. The rats received a total of 120 trials: 6 days of 10 trials, and 3 days of 20 trials. Intra-cerebral injections were given on the three sessions of 20 trials (see below). Rewards were 45 mg sucrose pellets (BioServe Inc., Frenchtown, NJ, USA) and punishments were quinine-treated sucrose pellets that were unpalatable but not uneatable. Most rats consumed the quinine-treated pellets once, but left them uneaten on subsequent encounters. Rats that consistently ate the quinine-treated sucrose pellets were excluded from the analysis. Of the four arms in the maze, two were baited and two were empty. The two empty arms were included to measure non-reward related exploration (Van den Bos et al., 2006b; Homberg et al., 2008; De Visser et al., 2011). The two baited arms consisted of a “bad” arm and...
a “good” arm. In the “bad” arm, the rats received occasional big rewards (three sucrose pellets in 1 out of 10 trials) among frequent punishments (three quinine-treated sucrose pellets in 9 out of 10 trials). In the “good” arm, the rats received frequent small rewards (one sucrose pellet in 8 out of 10 trials) and infrequent punishments (one quinine-treated sucrose pellet in 2 out of 10 trials). This provided the same principle as in the human IGT: an option with a chance of a big reward (three sucrose pellets), but with little long-term success (three sucrose pellets per 10 trials; cf. decks A and B; Bechara et al., 1994) and an option with a chance of a small reward (one sucrose pellet), but with bigger long-term success (eight sucrose pellets per 10 trials; cf. decks C and D). The location of the baited and empty arms, as well as “good” and “bad” arms was counterbalanced across subjects.

**Histology**

After completion of behavioral testing rats were decapitated and the brains were quickly removed and frozen in liquid (−80°C) 2-methylbutane which was cooled with dry ice and stored at −80°C. Coronal sections (20 μm) were cut on a cryostat and mounted on Menzel SuperFrost Plus slides (Menzel GmbH & Co, Braunschweig, Germany) and stained with cresyl violet. Cannula placements were verified with reference to the neuro-anatomical atlas of Paxinos and Watson (2005).

**BEHAVIORAL MEASURES**

**EPM measures**

Behavior on the EPM was analyzed as in our previous study (De Visser et al., 2011). Based on the data analysis of that study, the following parameters were taken: time spent on the open arm, as a measure of anxiety, and the number of closed arm entries, as a measure of general activity. An arm entry was scored when the animals had at least three paws on the arm.

**r-IGT measures**

To determine the choice behavior of the rats, the number of visits to the “bad” or disadvantageous arm was calculated as a fraction of the total visits to the two baited arms. To measure choices for unrewarded arms, the number of visits to the empty arms was calculated as a fraction of the total number of trials per block. From trial block 41–60 onward clear differences begin to emerge between “poor performers” and “good performers” (see Figure 2, panel A; De Visser et al., 2011). Therefore, we used a split-median approach to differentiate “good performers” from “poor performers”; subjects below the median were designated as “good performers,” subjects above the median “poor performers.” The performance in trial blocks 61–80, 81–100, and 101–120 was measured as per cent change from the respective base-line values at trial block 41–60. This split-median approach was done separately for empty arms and baited arms.

Responses to encounters with quinine-treated sucrose pellets or sucrose pellets in the advantageous arm were measured as win-stay/lose-shift behavior (see De Visser et al., 2011). As the number of visits to this arm may be low in animals treated with MSM/BAC in the mPFC the data were analyzed in one single trial block, i.e., trial block 61–120. Thus, when rats encountered a sucrose reward, its subsequent choice was scored as a win-stay when it revisited the advantageous arm. When rats encountered a quinine punishment, its subsequent choice was scored as a loose-shift when the rat switched to another arm. Win-stay and lose-shift was calculated as a fraction of the number of encounters with either sucrose pellets (win) or quinine-treated sucrose pellets (loss). Furthermore, the total number of switches between different arms was calculated as a measure of exploratory behavior (De Visser et al., 2011).

**STATISTICAL ANALYSIS**

All statistical analyses were carried out using SPSS 16.0 for Windows. For the EPM Student t-tests were performed to determine differences between the control and the MSM/BAC group on the time spent on the open arms and the number of closed arm entries. For the r-IGT a two-way analysis of variance (ANOVA) was run, with one factor encompassing treatment (saline versus MSM/BAC) and one factor as repeated measure (trial blocks 61–80, 81–100, and 101–120). This was done for the choices of both empty and baited arms. One sample t-tests were used to determine whether rats improved from base-line (trial block 41–60 = 100%). Student t-tests were used to assess significant differences between treatments (saline versus MSM/BAC) for the number of switches, win-stays, and lose-shifts.

Statistical significance was set at $p \leq 0.05$ (two-tailed); $p$-values $\leq 0.10$ (two-tailed) were considered trends ($t$), NS: non-significant [$p > 0.10$ (two-tailed)].

**RESULTS**

**GENERAL**

Five rats ($n = 2$ MSM/BAC group, $n = 3$ saline group) were excluded from analysis due to incorrect placement of the cannulas or to not completing the IGT because of problems with cannulas. No rats were excluded for eating quinine pellets. This left $n = 25$ rats for data analysis.
INJECTION SITES
Figure 1 shows the location of tip of the cannulas. Injections were directed at the PrL of the mPFC.

ELEVATED PLUS MAZE
Due to a technical problem with the injection device (leakage), we lost one batch of rats (n = 7 animals), leaving 18 rats for further testing. Rats in the MSM/BAC group (n = 10) spent less time on the open arms of the EPM (t = 3.508, df = 16, p = 0.003) than rats in the saline group (n = 8; Figure 2). No difference existed regarding the number of closed arm entries (t = −0.712, df = 16, p = 0.487, NS). Thus, inactivation of the mPFC resulted in an increase in anxiety-related behavior without changes in general activity.

r-IGT PERFORMANCE
Good performing rats showed a lower fraction of visits to the disadvantageous arm (mean ± SEM: 0.25 ± 0.03; n = 13) than poor performing rats (0.52 ± 0.03; n = 12) at trial block 41–60. As can be seen in Figure 3A, saline-treated good performing rats improved in choosing the long-term advantageous arm from baseline in trial blocks 61–80, 81–100, and 101–120, while MSM/BAC-treated rats remained nearly at the same level of performance. Statistical analysis revealed a significant treatment effect in trial blocks 61–80, 81–100, and 101–120 [F(1,11) = 5.665, p = 0.04] but no interaction term [trial block * treatment F(2,22) = 0.162, NS]. In contrast, as can be seen in Figure 3B, both saline-treated and MSM/BAC-treated poor performing rats improved in choosing the long-term advantageous arm from base-line in trial blocks 61–80, 81–100, and 101–120. Statistical analysis revealed no significant differences between saline-treated and MSM/BAC-treated rats [trial block * treatment F(2,20) = 0.460, NS; treatment F(1,11) = 0.101, NS].

Good performing rats showed a lower fraction of visits to the empty arms (mean ± SEM: 0.24 ± 0.02; n = 11) than poor performing rats (0.46 ± 0.02; n = 14) at trial block 41–60. As can be seen in Figure 3C, neither saline-treated nor MSM/BAC-treated good performing rats improved in choosing baited over empty arms from base-line in trial blocks 61–80, 81–100, and 101–120. Statistical analysis revealed no significant differences between saline-treated or MSM/BAC-treated rats [trial block * treatment F(2,18) = 0.559, NS; treatment F(1,9) = 0.008, NS]. As can be seen in Figure 3D, both saline-treated and MSM/BAC-treated poor performing rats improved in choosing baited arms from base-line in trial blocks 61–80, 81–100, and 101–120. Statistical analysis revealed no significant differences between saline-treated or MSM/BAC-treated rats [trial block * treatment F(2,24) = 0.458, NS; treatment F(1,12) = 0.715, NS].

Tables 1 and 2 show that neither in good performing nor in poor performing rats differences occurred between saline-treated
FIGURE 3 | Effects of injections of MSM/BAC or saline into the mPFC on r-IGT performance for the disadvantageous choices (A,B) and empty arm choices (C,D). Shown are means ± SEMs of per cent change from base-line (trial block 41–60). *: p ≤ 0.05 MSM/BAC versus saline; #: p ≤ 0.05 relative to 100%; ##: p ≤ 0.01 relative to 100%.

Table 1 | Mean (±SEM) values of behavior related parameters for saline-treated and MSM/BAC-treated rats in trial block 61–120 in good performing animals (trial block 41–60).

| Parameter       | SAL (n = 6) | MSM/BAC (n = 7) |
|-----------------|------------|-----------------|
| Switches        | 273 ± 3.0  | 28.9 ± 3.0      |
| Win-Stay        | 0.63 ± 0.09| 0.62 ± 0.06     |
| Lose-shift      | 0.29 ± 0.09| 0.26 ± 0.07     |

Table 2 | Mean (±SEM) values of behavior related parameters for saline-treated and MSM/BAC-treated rats in trial block 61–120 in poor performing animals (trial block 41–60).

| Parameter       | SAL (n = 4) | MSM/BAC (n = 8) |
|-----------------|------------|-----------------|
| Switches        | 35.3 ± 2.1 | 34.8 ± 3.7      |
| Win-Stay        | 0.51 ± 0.06| 0.42 ± 0.10     |
| Lose-shift      | 0.32 ± 0.11| 0.50 ± 0.07     |

DISCUSSION

The present study yielded two main findings. Transient inactivation of the mPFC by injecting the GABA-agonists muscimol and baclofen (1) enhanced anxiety on the EPM, and (2) disrupted improvement of choosing the long-term advantageous option in the second part of the r-IGT in rats that already showed a good performance, but not in rats that showed a poor performance.

Our injection sites were mainly within the prelimbic area of the mPFC. However as we used an injection volume of 1.0 μL we probably also inactivated the underlying infralimbic area. However both structures are implicated in the relationship between anxiety and decision-making as exemplified from our earlier study (De Visser et al., 2011). Accordingly, we will refer to the mPFC in the remainder of the text.
Inactivation of the mPFC decreased the percentage of time spent on the open arms of the EPM, but did not affect the number of closed arm entries. Thus, levels of anxiety were increased after inactivation of the mPFC, but not levels of general activity. This finding is in line with the data of some studies which indicated that inactivation of the mPFC (PrL and/or IL) increased anxiety on the EPM (Silva et al., 1986; Jinks and McGregor, 1998), but not with those of others (Sullivan and Gratton, 2002; Shah and Treit, 2003; Davis et al., 2010; Stern et al., 2010). Although various reasons may underline these differences between studies including the present study, such as different procedures used (permanent lesions versus transient inactivation, EPM protocols used, handling of animals), we show here that inactivation of the mPFC also hampered task-progression for choosing the best long-term option in the r-IGT in good performing rats as predicted from our earlier study (De Visser et al., 2011). Recent studies using the EPM, r-IGT, and c-fos expression confirmed the relationship between mPFC c-fos activity, r-IGT performance, and levels of anxiety in another strain of rats (Long Evans rats; Van Hasselt et al., in preparation). Overall, these data suggest that at least in our hands mPFC inactivation is associated with increased levels of anxiety.

The fact that we observed a selective effect – no effect on empty arm choices and only an effect within the baited arms in good performing rats – indicates that inactivation of the mPFC did not lead to general effects on working memory or attention, in which the mPFC has been implicated (Seamans et al., 1998; Vertes, 2006; Maddux and Holland, 2011; conform Enemoto et al., 2011). The mPFC was inactivated during the second part of the r-IGT. Analogous to the human IGT (Bechara et al., 1994), the rat task consists of two phases: initially, subjects gradually learn the contingencies of the advantageous and disadvantageous options by exploration, while during the later stages of the task they establish and express a preference for the advantageous option, and show a clear increase in the number of choices for that option, i.e., express task-learning. Indeed, as was suggested in earlier studies using this version of the IGT (Van den Bos et al., 2006b; Homberg et al., 2008; De Visser et al., 2011) the transition from exploration to establishing a long-term advantageous choice occurs during the second part of the task, i.e., after trial block 41–60. We have argued earlier that the mPFC becomes more involved as subjects express their preference for the best long-term option, while cortical structures such as the ventromedial prefrontal cortex/orbitofrontal cortex are more involved during the exploratory phase as subjects learn the overall reward value of the different options (Van den Bos et al., 2006a, 2007; Lawrence et al., 2009; De Visser et al., 2010, 2011). In line with this, the effect of mPFC inactivation on r-IGT performance was dependent on the level of base-line performance as we only observed effects in good performing rats – indicates that inactivation of the mPFC (PrL and IL) but also to differences in the core and shell of the nucleus accumbens (De Visser et al., 2011). More specifically, poor performers showed an increased level of c-fos expression in the nucleus accumbens shell compared to good performers, while increased levels of neural activity in the nucleus accumbens core were found in good performers compared to poor performers. This implies also a crucial role for the nucleus accumbens in regulating both decision-making and anxiety (conform Lopes et al., 2007; da Cunha et al., 2008). To what extent therefore differences in switches, win-stay, and lose-shift behavior, that underlie or are related to differences in overall IGT performance between individuals are specifically associated with differences in the interaction of cortical (mPFC) and subcortical (nucleus accumbens);
Yin et al., 2008; see also De Visser et al., 2011) structures remains to be determined.

It should finally be noted that given the small number of rats the results are still preliminary. However, the present data contribute to understanding the role of prefrontal areas in performing the r-IGT and complement recent lesion-studies on the role of prefrontal areas in the r-IGT (Rivalan et al., 2011; Zeeb and Winstanley, 2011). In these studies the mPFC (PrL) was also implicated and suggested to play a role in detecting action–outcome contingency variations, i.e., conditions of uncertainty, leading to an inability to change behavior when lesioned, i.e., perseverative responding (Rivalan et al., 2011).

**CONCLUSION**

The data of this study suggest that impaired function of the mPFC may be one factor leading to both high anxiety and poor decision-making.

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