Novel surgical technique of sutureless artificial iris and intraocular lens scleral fixation using Yamane technique

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\textbf{ABSTRACT}

\textbf{Purpose:} To describe a novel surgical technique of a combined implantation of an artificial iris and a scleral fixated intraocular lens (IOL) using flanged IOL haptics (“Yamane” technique).

\textbf{Observations:} The suturelessly implanted artificial iris-IOL-sandwich was stable with good functional as well as aesthetic results. However, our case showed a postoperative intraocular pressure rise.

\textbf{Conclusions:} The presented case demonstrates that a visual as well as cosmetical rehabilitation seems to be possible even after severe, penetrating ocular trauma with profound iris defects.

\textbf{Importance:} The sutureless IOL scleral fixation technique can also be used in combination with a sutureless artificial iris implantation. Further studies are needed to evaluate the long-term safety profile and rates of postoperative complications.

\section{Introduction}

Trauma eyes with aniridia and aphakia remain a surgical challenge. In the past, the results have been unrewarding for the patient and the surgeon alike, in terms of aesthetics and visual acuity (VA). With a portfolio of iris prostheses, intraocular lens (IOL) types and surgical techniques now available the options for cosmetical and visual rehabilitation have improved. Iris prostheses were first implanted in the late 1950s by Peter Choyce in form of modified anterior chamber (AC) IOLs that had opaque colored haptics (available in ferrous brown, indocyanine green and cobalt blue).\textsuperscript{1,2} Forty-four years later, in 1994, Sundmacher et al. developed a polymethyl methacrylate (PMMA) IOL with a black diaphragm (black diaphragm IOL, BDI) produced by Morcher (Morcher GmbH, Stuttgart, Germany).\textsuperscript{3,4} Now, a colored version, the Irimatch, is available with 45 colors to choose from. In 2002, Ophtec (Ophtec BV, NR Groningen, The Netherlands) introduced the Model 311 which was a PMMA IOL with a colored (black, blue, brown or green) opaque outer ring.\textsuperscript{5,6} It never achieved approval in the U.S. by the Food and Drug Administration (FDA).\textsuperscript{7} Recently, Ophtec launched their Model CO/1 (sulcus fixation with/without optical correction) and Model PO/1 (capsular bag fixation), produced by Reper (Reper-NN Ltd., Nizhny Novgorod, Russia), which is a foldable acrylic iris-IOL available in a variety of 300 presets to choose from.\textsuperscript{8,9}

In 2007, Schmidt Intraocularlinsen (Schmidt Intraocularlinsen GmbH, Sankt Augustin, Germany) developed the first flexible iris prosthesis. The company was acquired by HumanOptics (HumanOptics AG, Erlangen, Germany).\textsuperscript{10} HumanOptics obtained Conformité Européenne (CE, since 2011\textsuperscript{11}) and FDA (since 2018\textsuperscript{12}) approval for the Customflex Artificialiris (hereafter referred to as AI) which allows a fully customized colored anterior surface. The HumanOptics AI can be implanted in parts or as a full iris replacement. It can be implanted alone or in combination with any IOL. Even the combination with a toric and a trifocal IOL have been described.\textsuperscript{13-15} The AI, however, is not recommended for implantation in phakic eyes due to the risk of premature cataract formation.\textsuperscript{14,15} An implantation into the anterior chamber (AC) is not recommended because of the high risk of secondary glaucoma.\textsuperscript{16} If necessary, an explantation of the AI is possible.\textsuperscript{15}

\section{Case report}

A 17-year-old boy presented to our hospital with posttraumatic aphakia and aniridia on his left eye (OS) after trauma. The trauma had
rupture of the iris root and extraocular dislocation of parts of the phakic lens. A primary sclerocorneal wound closure had been performed at the day of the trauma. The following day a pars plana vitrectomy (ppv) with anterior chamber revision, complete phakic lens extraction and retinal endolaser coagulation due to retinal holes had been done. The patient presented now, almost seven years later in January 2021, with a stable aphakia and aniridia (Fig. 1a–c).

Despite the peripheral corneal scars, the patient had a corrected distance visual acuity (CDVA) with contact lenses of 0.00 logMAR as the optical zone was clear and intact (Table 1). However, the patient reported that he was heavily impaired by glare due to the aniridia and optical aberrations due to the peripheral corneal scars. The use of an iris-print contact lens was not tolerated.

As the patient had presented with stable retinal findings, normal electrophysiology (pattern electroretinogram, ERG) and color vision (Arden’s color test) for years we decided on implantation of an artificial iris (AI) to reduce glare. Furthermore, an artificial iris would allow for cosmetic rehabilitation. Combined with a scleral fixated intraocular lens (IOL), the patient would be independent on contact lenses.

3. Methods

3.1. Surgical rationale

1. The goal was to correct the aniridia and aphakia in a single surgical session to keep the total surgical trauma for the eye as low as possible.

2. For aniridia correction, we decided to use a made-to-order HumanOptics Customflex ArtificialIris Fiber Free (HumanOptics AG, Erlangen, Germany) as it allows the most customized reconstruction of an iris currently available. The fixed pupillary aperture of 3.35mm in diameter acts as a pinhole with positive effects on the contrast sensitivity.

3. We wanted to attach the IOL for aphakia correction suturelessly to the AI by using the IOL haptics. This implied an implantation of the AI and the IOL together as a “sandwich”. Furthermore, we aimed for a sutureless scleral fixation of the AI-IOL-sandwich to avoid suture- and knot-associated complications. Therefore, we decided for a modified version of the IOL scleral fixation technique originally described by Yamane et al., hereafter referred to as ‘Yamane technique’. We used the Kowa AvanseePresetUV 3-piece IOL (Kowa Company Ltd., Nagoya, Aichi, Japan) as we had already made good experience with this IOL type combined with the Yamane technique. As reported by Mayer et al. no biometry correction factor was used for the implanted AI-IOL-sandwich as the IOL, within the sandwich, is supposed to sit at the approximate same position as when implanted in the capsular bag.

4. Trimming of the AI to 10.5mm in diameter was done before hooking the IOL and before implantation to avoid chafing at the ciliary body and iris remnants and to prevent angle closure in case of an IOL tilt.

3.2. Surgical technique step-by-step

At first, two opposite scleral marks were made 2.0 mm from the limbus on the horizontal 0–180° axis. An additional scleral mark was created at the nasal side 2.0 mm below and at the temporal side 2.0 mm above the first scleral marks (Fig. 2). A pars plana 25-gauge infusion trocar was inserted to keep the intraocular pressure constant especially as the patient had already been vitrectomized previously in 2014.

Trimming of the HumanOptics Customflex ArtificialIris Fiber Free was...
made using a single-use trephine with a diameter of 10.5mm (Fig. 3a). The later penetration sites of the IOL haptics were marked at a 0–180° axis 1.0 mm away from the outer iris rim. (see Fig. 3b)

The three-piece Kowa AvanseePresetUV IOL with thin haptics was put behind the artificial iris. A bent 30-gauge thin-walled cannula was pierced through the artificial iris 1.0 mm from the outer rim at the marked sites. The haptics were inserted into the cannula and pierced through the artificial iris with the haptic ends eventually lying on top of the anterior surface of the artificial iris. The artificial iris lied centered and tension-free onto the IOL optic (Fig. 4).

An additional 9-0 nylon “safety suture” was pierced through the artificial iris at 2.0 mm from the outer rim. The safety suture was supposed to stay extraocular throughout the whole implantation process and acted as retractor in case the IOL and iris subluxated into the vitreous cavity during scleral fixation.

A 5.5 mm sclerocorneal tunnel was prepared superior (Fig. 5a). The sandwich of IOL and artificial iris was folded using an IOL implantation forceps and was implanted through the tunnel (Fig. 5b). The safety suture ends were kept extraocular.

Now, the Yamane technique for scleral fixation of the IOL was completed as follows: A 30-gauge cannula was inserted ab externo starting at the scleral mark that laid below and above the horizontal axis. The cannula was moved intrasclerally to the other scleral mark that laid on the horizontal axis in a curved move penetrating the sclera and entering intraocularly at the 0° and 180° position respectively. The IOL haptics were introduced into the 30-gauge cannula using a forceps and then externalized, again in a curved move. The thin 3-piece IOL haptic was held externally with a forceps and the distal 1.0–2.0 mm were flanged using a mobile cautery device (Fig. 6). The flanged haptic end prevents the haptic to slip back through the sclera. The flange was buried subconjunctivally within the scleral tunnel. The same was done at the other side. At the end, the safety suture was pulled out through the sclerocorneal tunnel, and the tunnel was sutured with a self-resorbing polyglactin (Vicryl) 9-0 suture. The infusion trocar was removed and the sclerotomy was also sutured with a self-resorbing polyglactin (Vicryl) 9-0 suture (Fig. 7). The postoperative therapy was prednisolone acetate 1.0% eye drops, hydrocortisone 2.5% ointment and levofloxacin 5mg/ml eye drops.

4. Results

During the 11-month follow-up period an increased intraocular pressure (IOP) of 60 mmHg was noted five days after surgery. The maximum preoperative IOP in our records was 22 mmHg. The increased IOP was suspected to be due to a response reaction to the topical steroids. Therefore, the postoperative treatment topical regime was changed from prednisolone acetate 10mg/ml to loteprednole 0.5% and dorzolamide 20mg/ml and timolol 5mg/ml eye drops. With this treatment the IOP could be lowered to 23 mmHg. One week after, the patient presented to our emergency department with a slight vitreous hemorrhage and an IOP increase up to 46 mmHg. As cause, we suspected a bleeding around the sclerotomy site that had slowly leaked post-operatively. Therefore, we decided for a pars plana vitrectomy (ppv) with removal of the intraocular blood. During the second procedure, a surgical iridectomy was created with intraocular scissors at the temporal-superior rim of the artificial iris. The IOP lowered to normal levels (17–22 mmHg) under timolol 5mg/ml eye drops and remained stable. The topical therapy was phased out slowly. During the 11-month follow-up period, the patient did not show any irido-pseudophakodonesis. There was a slight inferior decentration of the AI pupil aperture.

The best-corrected visual acuity after surgery increased up to −0.10 logMAR and the spherical equivalent reduced from 11.38 to 1.00 logMAR.
diopters. Eventually, the patient was very happy with the cosmetic as well as the functional results. (Fig. 8a and b).

5. Discussion

The presented case shows a good postoperative CDVA of the affected left eye. On the postoperative photos an exotropia of the left eye can be noted. However, the patient did not complain about double vision. The patient had presented to our hospital on the day of trauma for the first time. Therefore, we do not have reports about pre-trauma CDVA and strabismus status. The achievable CDVA and the fact that the trauma took place at the age of ten let us draw the conclusion that the patient had undergone a normal development of vision. Consequently, the patient should be capable of full stereo vision. An orthoptics examination is advisable during future follow-ups. Review of current literature identified inter alia 14 publications with combined HumanOptics Artificial iris-IOL implantation, 6 publications with combined Morcher Black Diaphragm Intraocular Lens (BDI) implantation, 1 publication with a combined Morcher IrisMatch implantation, 7 publications with a combined Ophtec Model 311 Iris Reconstruction Lens implantation, 1 publication with a personalized Ophtec Black Diaphragm Intraocular Lens (BDI) implantation, 2 publications with a combined Ophtec Artisan Iris Reconstruction Intraocular Lens implantation, 3 publications with a combined Ophtec/Reper Artificial Iris implantation (see Table 2).

5.1. AI trimming

Preoperative biometry of the left eye showed a horizontal white-to-white (HWTW) distance of 11.9mm. The axial length of both eyes was normal (right: 24.1, left: 23.9 mm). Trephination of the AI makes an angle closure unlikely as there should be sufficient flow of aqueous humor around the peripheral rim of the artificial iris. In literature, there are different opinions on whether to trephine and on how much to trephine. Bonnet et al. suggest to use larger AI diameters and to avoid trephination if possible. Ayliffe et al. suggest to add +0.5mm to the HWTW diameter. Mayer et al. would aim for an AI diameter of 9.5mm for an in-the-bag implantation and 11.5–12.5mm for sulcus-ciliaris implantation. Rickman et al. would subtract 0.5–1.0mm from the WTW distance and rather aim for a smaller AI diameter with a target of 11.0mm and 10.0mm in small eyes. For children, even smaller AI diameters of 8.0mm should be considered as Jusufovic et al. report. In another publication Mayer et al. give the advice of trimming the AI diameter to WTW minus 0.5mm and point out that a removal of the residual iris in sutureless sulcus implantation of the AI might have a positive effect in the prevention of secondary glaucoma. Gooi et al. recommend to assure that there is enough clearance of the outer AI rim to the IOL haptics to avoid iris and ciliary body chafing. Yoeruek et al. suggest to subtract 1.0mm from the WTW distance. Investigations of trimmed artificial iris suggest that AI with fiber show sharp fiber ends sticking out of the trimmed AI edge, being a possible cause for prolonged postoperative inflammation and bleeding. As our patient showed
| Year | Publication | Artificial iris type | Fixation of IOL to AI | Fixation of combined AI-IOL-sandwich | Mean follow-up rounded in [months] | Total clinical cohort (n) |
|------|-------------|----------------------|-----------------------|--------------------------------------|----------------------------------|--------------------------|
| 2022 | Mayer CS et al. (13) | HumanOptics Customflex Artificialiris (with fiber) | Sutured: at optic-haptic junction | Sulcus ciliaris, sutured transsclerally (scleral tunnel) | 3 | n – 1 |
| 2021 | Frisina R et al. (12) | Optype/Reper Artificial Iris Model C | Combined implant by manufacturer | Anterior chamber, sutured transsclerally (10-0 polypropylene, through eyelets at each of the 3 haptics, scleral flaps) | 12 | n – 4 |
| 2021 | Jakob-Girbig J et al. (13) | Optype/Reper Artificial Iris Model C | Combined implant by manufacturer | Sulcus ciliaris, sutured transsclerally (10-0 polypropylene, through eyelets at each of the 3 haptics, Z-suture) | 3-4 | n – 2 |
| 2021 | Jiang S et al. (14) | Optype/Reper Artificial Iris Model F (?) | Various techniques inter alia | Various techniques inter alia: (Gore-Tex suture) | 24 | n – 1 |
| 2020 | Mayer C et al. (15) | HumanOptics Customflex Artificialiris (n/a) | Sutured: at IOL optic (haptics removed) (“Technique 4”) | Sulcus ciliaris, sutured transsclerally (9-0 polypropylene) | 5 | n – 44 |
| 2020 | Mayer CS et al. (16) | HumanOptics Customflex Artificialiris (with fiber) | Various techniques inter alia | Various techniques inter alia: | 5 | n – 59 |
| 2020 | Parikakis E et al. (17) | Optype Model 311 Iris Reconstruction Lens | Combined implant by manufacturer | Sulcus ciliaris (? sutured transsclerally (CV-8 Gore-Tex, cov hitch knot at haptic eyelets, 4-point fixation) | 6 | n – 1 |
| 2020 | Riedl JC et al. (18) | HumanOptics Customflex Artificialiris (n/a) | Various techniques inter alia: enclavation of iris fixated IOL (“Sandwich-Implantat”) | Various techniques inter alia: | 41 | n – 51 |
| 2020 | Sousa Silva R et al. (19) | HumanOptics Customflex Artificialiris (with fiber) | Combined implant by manufacturer | Sulcus ciliaris, sutured transsclerally (10-0 polypropylene) | 6 | n – 4 |
| 2020 | Wang et al. (20) | Optype Model 311 Iris Reconstruction Lens | Combined implant by manufacturer | Sulcus ciliaris inter alia: | 60 | n – 38 |
| 2020 | Wolf A et al. (21) | HumanOptics Customflex Artificialiris (n/a) | Sutured: pierced through IOL plate haptic edges (4-point fixation) | Sulcus ciliaris, sutured transsclerally (Hoffman scleral pockets) | 1-6 | n – 2 |
| 2019 | Yoorek E et al. (22) | HumanOptics Customflex Artificialiris (n/a) | Sutureless: 3-piece IOL haptics directly through 0.9mm stab incisions (“belt-loop”) | Sulcus ciliaris (?), sutured transsclerally (10-0 polypropylene, 2-suture, 3-point fixation) | 25 | n – 5 |
| 2017 | Mayer C et al. (23) | HumanOptics Customflex Artificialiris (with fiber) | Various techniques inter alia | Various techniques inter alia: | 1 | n – 4 |
| 2017 | Villemont AS et al. (24) | Morcher Black Diaphragm Intraocular Lens (BDI) Model 67F and 67F | Combined implant by manufacturer | Sulcus ciliaris sutured transsclerally (10-0 polypropylene, scleral flaps) | 32 | n – 17 |
| 2016 | Gunene U et al. (25) | HumanOptics Customflex Artificialiris (n/a) | Sutured: through haptic eyelets of PMMA IOL (9-0 polypropylene) | Sulcus ciliaris (?), sutured transsclerally (9-0 polypropylene, scleral tunnel) | 0,25 | n – 1 |
| 2016 | Spitzer MS et al. (26) | HumanOptics Customflex Artificialiris (n/a) | Sutureless: 3-piece IOL haptics directly through 0.9mm stab incisions (“belt-loop”) | Various techniques inter alia: | 24 | n – 34 |
| 2015 | Mayer CS et al. (27) | HumanOptics Customflex Artificialiris (with fiber) | Various techniques inter alia | Various techniques inter alia: | 14 | n – 36 |
| 2014 | Gooi P et al. (28) | HumanOptics Customflex Artificialiris (with fiber) | Sutured: 3-piece IOL haptics directly through stab incisions (“belt-loop”) | Sulcus ciliaris, sutured transsclerally (scleral flaps, optionally fibrin glue) | 4 | n – 1 |
| 2013 | Forlini C et al. (29) | HumanOptics Customflex Artificialiris (n/a) | Various techniques inter alia: | Various techniques inter alia: | 6 | n – 4 |
| 2012 | De Grande V et al. (30) | Optype Model 311 Iris Reconstruction Lens | Combined implant by manufacturer | Sulcus ciliaris (?), sutured transsclerally (CV-8 Gore-Tex, 3-point fixation at 2 haptic eyelets and 1 “sling suture” at haptic-optic junction, scleral patch, fibrin glue) | 4-48 | n – 4 |
| 2012 | Spitzer MS et al. (31) | HumanOptics Customflex Artificialiris (n/a) | Sutureted: 3-piece IOL haptics directly through 0.9mm stab incisions (“belt-loop”) | Sulcus ciliaris, sutured transsclerally (10-0 polypropylene, 2-suture, 2-point fixation) | 2.44 | n – 11 |

(continued on next page)
best-possible sharpness of the instrument and to create a cutting edge of tilt. Furthermore, we worked with a single-use trephine to assure contact of the artificial iris with the ciliary body in the event of an IOL.

5.2. Sutured vs. sutureless

Amongst the first to publish a direct sutureless fixation of a 3-piece IOL to the AI by the IOL haptics which would keep all possibilities for scleral flap (e.g. Hoffman scleral pockets) were not amongst our options.

5.3. Fiber vs. fiber-free

The fiber-free version of the HumanOptics Customflex Artificial Iris is less rigid and hence easier to fold and to implant, especially when combined with an IOL. Furthermore, the fiber-free artificial iris is lighter and thinner which reduces the risk of an IOL tilt and thereby reduces the risk of irritation and chafing of the iris remnants. A healthy phakic crystalline lens shows a mean unaccommodated thickness of around 4mm. The total thickness of the AI-IOL sandwich is estimated as follows: AI thickness 0.25mm (peripheral) to 0.40mm (central), IOL thickness 0.50mm–1.00mm and residual iris thickness approximately 0.50mm which is in sum less than a crystalline lens. A HumanOptics Artificial Iris fiber-free approximately weighs 31–34 g when trimmed to a diameter of 10.5mm according to the manufacturer. The manufacturer Kowa states an approximate mass for the IOL type Avanseepreset UV of 1.9 g. Consequently, the package of artificial iris and IOL weighs approximately 17 times more than the IOL alone. Moreover, trimming the AI seems to bear less complications with the fiber-free version as described above. With the presented technique no sutures remain within the AI. In this regard the fiber-containing version would not offer additional benefit. Previous studies have shown good results using the fiber-free version of the artificial iris. However, the AI is supposed to stay centered on top of the IOL via the IOL haptics. Spitzer et al. used both, the fiber and the fiber-free, version of the AI and they did not see the necessity to use the fiber-containing version as long as complete iris prostheses are implanted.

5.4. IOP and iridectomy

The postoperative IOP of our patient increased. He was under topical
5.5. Alternative combined implants

As mentioned in the introduction, besides the HumanOptics Customflex Artificial Iris there are other iris prostheses that can be combined or are already combined with an IOL. Apart from the Optitec/Reper Artificial Iris the HumaOptics Artificial Iris is the only foldable implant allowing small incisions 2.8–3.0mm. The Optitec/Reper AI offers a color range of 300 presets to choose from whereas the HumanOptics AI is individually manufactured based on photographs of the patient’s eye and of iris remnants. Furthermore, the Optitec/Reper Model A, C and F devices are only available with one IOL type whereas the HumanOptics AI theoretically can be combined with any IOL in the market. Therefore, we preferred the HumanOptics AI above the other combined AI-IOL devices that would have been available.

6. Conclusion

The sutureless scleral fixation technique described by Yamane et al.¹⁸ is a currently very popular and successful but comparatively young technique. Only very limited data on long-term stability and tilt resistance of these scleral fixated IOLs exists. Moreover, to our best knowledge, this report is the first to describe an IOL with flanged haptics that piggybacks an artificial iris. This technique is as minimally invasive and technically straightforward as possible on the premise of avoiding sutures and their inherent long-term complication risks. However, especially stability has to be closely followed. A limitation of this report is the short follow-up time of 11 months. The patient will be seen and monitored during future appointments. Further improvements of the described technique are possible, such as using a modified 2.8–3.0 mm IOL cartridge to fold and shoot the IOL with the attached artificial iris through a clear cornea incision. This would have the advantage of a surgical technique being completely sutureless.

Long-term follow-ups of this case and similar cases are needed to prove stability and low complication rates.

Patient consent

This report does not contain any personal information that could lead to the identification of the patient. Approval of the Ethics Committee of the Ludwig-Maximilians-University (LMU) Munich was obtained (reference number: 21–0747).

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Authorship

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DRM: Validation, Writing - Review & Editing, Supervision, Writing - Original Draft, Visualization, Data Curation. SGP: Validation, Writing - Review & Editing, Supervision. MS: Validation, Writing - Review & Editing. TCK: Validation, Writing - Review & Editing. WJM: Validation, Writing - Review & Editing, Supervision, Writing - Original Draft, Visualization, Conceptualization.

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D.R. Muth et al.

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