Experimental adaptive process tomography

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Adaptive measurements were recently shown to significantly improve the performance of quantum state tomography. Utilizing information about the system for the on-line choice of optimal measurements allows to reach the ultimate bounds of precision for state reconstruction. In this Article we generalize an adaptive Bayesian approach to the case of process tomography and experimentally show its superiority in the task of learning unknown quantum operations. Our experiments with photonic polarization qubits cover all types of single-qubit channels. We also discuss instrumental errors and the criteria for evaluation of the ultimate achievable precision in an experiment. It turns out, that adaptive tomography provides a lower noise floor in the presence of strong technical noise.

II. BAYESIAN PROCESS TOMOGRAPHY

A. Introduction to quantum processes

Quantum operations, also known as quantum processes or channels, are used to describe the evolution of quantum systems [3]. One of the approaches to the description of quantum processes is the operator-sum representation. The action of a quantum operation $\mathcal{E}$ on a state $\rho$ can be
represented as follows \[16\]:

\[
\rho' = \mathcal{E}(\rho) = \sum_{k=1}^{K} E_k \rho E_k^\dagger.
\] (1)

The number of terms \(K\) in the sum can be arbitrarily large, but it is always possible to limit their number by \(d^2\), redefining the operators \(E_k\), \(1 \leq K \leq d^2\), where \(d\) is the dimension of the state space of the system on which the process acts. That is why we will assume \(K = d^2\) throughout the paper, unless the opposite is specified explicitly. The quantity \(\text{Tr} \mathcal{E}(\rho)\) defines loss in the channel. The operation elements \(\{E_k\}\) satisfy the requirement of trace-preservation \(\sum_k E_k^\dagger E_k = I\) for trace-preserving processes or trace-non-increase \(\sum_k E_k^\dagger E_k \leq I\) for processes with loss, in order to guarantee that \(\text{Tr} \mathcal{E}(\rho) \leq 1\).

A lossless channel is a completely positive trace-non-increasing map from the operators \(\mathcal{E}\) acting on the Hilbert space \(\mathcal{H}\) to the operators \(\rho'\) acting on the Hilbert space \(\mathcal{H}'\). For the sake of simplicity we will assume that \(\dim \mathcal{H} = \dim \mathcal{H}' = d\), therefore the two spaces are isomorphic: \(\mathcal{H} \cong \mathcal{H}'\). According to the Stinespring dilation theorem \[17\] the action of the CPTP map \(\mathcal{E}\) is equivalent to applying some unitary \(U\) to the extended system \(S \otimes \mathcal{H}\), followed by partial tracing over the auxiliary subsystem \(S\):

\[
\rho' = \mathcal{E}(\rho) = \text{Tr}_S[U(|0\rangle\langle 0| \otimes \rho)U^\dagger],
\] (2)

where \(|0\rangle\) is an arbitrary vector in the Hilbert space of \(S\). It is sufficient to choose \(\dim S = d^2\) to guarantee that the representation (2) exists for any CPTP map.

In the standard computational basis one can easily compose the unitary matrix \(U\) from the elements \(\{E_k\}\) as the following block matrix of \(d^2 \times d^2\) size:

\[
U = \begin{pmatrix}
(E_1) & \cdots & \cdots & \cdots \\
(E_2) & \cdots & \cdots & \cdots \\
(E_3) & \cdots & \cdots & \cdots \\
\vdots & \vdots & \vdots & \vdots
\end{pmatrix}.
\] (3)

The first “block column” of the matrix \(U\) determines the evolution of the principal system \(\mathcal{H}\), while the rest of this unitary matrix can be arbitrary.

\(\chi\)-matrix representation. Let \(\{\tilde{E}_k\}\) form a basis for the set of operators \(\{E_k\}\), so that \(E_k = \sum_{m,n=1}^{d^2} \epsilon_{km} \tilde{E}_m\), where \(\epsilon_{km}\) are complex numbers. The equation (1) turns into

\[
\mathcal{E}(\rho) = \sum_{m,n=1}^{d^2} \tilde{E}_m \rho \tilde{E}_n^\dagger \chi_{mn},
\] (4)

where the coefficients \(\chi_{mn} = \sum_{k=1}^{d^2} \epsilon_{km}^\ast \epsilon_{kn}\) are the matrix elements of some Hermitian positive semidefinite matrix \(\chi\) by construction. The so called \(\chi\)-matrix representation (4) completely determines the process \(\mathcal{E}\), as well as the operator-sum representation (1). The \(\chi\)-matrix is often used for tomography purposes because it is more convenient to work with \(d^4\) numbers \(\chi_{mn}\) rather than with \(d^2\) matrices \(E_k\) of \(d \times d\) size.

The rank of the \(\chi\)-matrix is equal to the number of terms in (1). It is easy to see that a rank-1 trace-preserving process is a unitary process. We can define a purity of the \(\chi\)-matrix \(p = \text{Tr}(\chi^2)/\left(\text{Tr}\chi\right)^2\), analogously to the case of density matrices, to monitor a “degree of unitarity”. For unitary processes \(p = 1\), while \(p < 1\) for non-unitary channels.

Another useful quantity is \(\text{Tr}\chi\), which is connected to the average loss in the channel \(\mathcal{E}\). Suppose a state \(\rho\) passes through the channel, then \(\text{Tr} \mathcal{E}(\rho)\) is the transmittance for the given state \(\rho\). Using the representation (4) and integrating over the input states, the following expression for the average transmission can be obtained:

\[
\int \text{Tr} \sum_{m,n} \tilde{E}_m \rho \tilde{E}_n^\dagger \chi_{mn} d\rho = \sum_{m,n} \frac{\chi_{mn}}{\frac{1}{d} = \frac{1}{d} \text{Tr} \chi,}
\] (5)

where we take into account that \(\text{Tr} \tilde{E}_m \tilde{E}_n^\dagger = \delta_{mn}\), and assume that the mean of \(\rho\) with respect to the integration measure \(d\rho\) is \(\int \rho \rho d\rho = 1/d\). For example, this assumption is valid for unitary invariant (Haar) measures \(d\rho\), which are usually treated as “uniform” or uninformative \[18\]. Therefore, the average loss in the channel is \(1 - \text{Tr} \chi/d\).

Choi-Jamiolkowski isomorphism. The \(\chi\)-matrix representation is closely related to the Choi-Jamiolkowski isomorphism \[16, 19\] between trace-preserving quantum operations \(\mathcal{E}\) and density matrices \(\rho_{\mathcal{E}}\) of the specific form in the extended space of dimension \(d^2\):

\[
\rho_{\mathcal{E}} = [\mathcal{E} \otimes \mathcal{I}](|\Psi\rangle\langle \Psi|),
\] (6)

where \(|\Psi\rangle = \sum_{j=1}^{d^2} |j\rangle \otimes |\chi_{ij}|/\sqrt{d}\) is a maximally entangled state and \(\mathcal{I}\) is the identity operation acting trivially on the second subsystem. Different choices of the basis elements \(\tilde{E}_m\) in (4) are possible. A convenient option is to select \(\tilde{E}_{m=l+d+l'} = |l\rangle\langle l'|\), where \(l, l' = 1, \ldots, d\). In this basis the \(\chi\)-matrix of the process \(\mathcal{E}\) is equal to its Choi-Jamiolkowski state multiplied by \(d\): \(\chi = d \chi_{\mathcal{E}}\).

Therefore one can reduce process tomography to state tomography by preparing the bipartite state \(|\Psi\rangle\) in the extended system and passing one of its components through the channel \(\mathcal{E}\). Tomography of the resulting state \(\rho_{\mathcal{E}}\) reveals the \(\chi\)-matrix of the process. This procedure is called ancilla-assisted process tomography (AAPT) \[20–22\].

Process metrics. Choi-Jamiolkowski isomorphism offers an easy way to choose a metric to compare two quantum processes \[23\]. A metric \(\Delta\) between two processes \(\mathcal{E}\) and \(\mathcal{F}\) can be defined as some distance between the corresponding Choi-Jamiolkowski states \(\rho_{\mathcal{E}}\) and \(\rho_{\mathcal{F}}\):

\[
\Delta(\mathcal{E}, \mathcal{F}) = d(\rho_{\mathcal{E}}, \rho_{\mathcal{F}}).
\] (7)

This approach, though being powerful, is appropriate only for trace-preserving processes — the case when
Choi-Jamiolkowski isomorphism is applicable. For example, suppose an experimenter is interested in a polarization transformation in some channel and performs ancilla-assisted process tomography. If the channel has polarization independent loss (e.g., a neutral density filter in optics) then the experimenter will find that Choi-Jamiolkowski states are the same for different values of loss. The distance (7) will be equal to zero, but obviously the channels are different. A χ-matrix gives a full description of the process and does not suffer from this deficiency. Moreover χ-matrices share the main properties with density matrices: a χ-matrix is a Hermitian positive semidefinite matrix (however, Tr χ ≤ d with equality holding for trace-preserving processes). Consequently, most of the widely used state metrics remain valid, if one substitutes χ-matrices instead of density matrices.

In this paper we define a distance Δ between two processes $\mathcal{E}, \mathcal{F}$ as a Bures distance between the corresponding χ-matrices:

$$\Delta(\mathcal{E}, \mathcal{F}) = d_B(\chi_{\mathcal{E}}, \chi_{\mathcal{F}}),$$

(8)

where the Bures distance is introduced as follows [24]:

$$d_B^2(A, B) = \text{Tr} A + \text{Tr} B - 2 \text{Tr} \sqrt{A B \sqrt{A}}.$$  

(9)

We note the appearance of Tr $A + \text{Tr} B \neq 2$, which contrasts the familiar definition for the quantum states with unit trace.

For trace-preserving processes both approaches (7) and (8) are suitable and there is a simple relation between them:

$$d_B(\rho_{\mathcal{E}}, \rho_{\mathcal{F}}) = \frac{d_B(\chi_{\mathcal{E}}, \chi_{\mathcal{F}})}{d},$$

(10)

here $d$ is the dimension of the principal system space.

B. State and process tomography

Let us first consider quantum state tomography. We will describe measurements using positive operator-valued measures $\mathcal{M}_\alpha$ (POVMs), where the generic parameter $\alpha$ denotes the configuration of the experimental setup corresponding to the specific POVM. $\mathcal{M}_\alpha = \{M_{\alpha \gamma}\}$, where POVM elements $M_{\alpha \gamma}$ correspond to the particular measurement outcome $\gamma$, e.g., a count of a detector. The probability $P$ of obtaining the result $\gamma$ having the system in the state $\rho$ and the experimental apparatus in the configuration $\alpha$ is given by the Born’s rule:

$$P(\gamma | \rho, \alpha) = \text{Tr}(M_{\alpha \gamma} \rho).$$

(11)

These probabilities can be estimated experimentally and the unknown state $\rho$ can be recovered after data analysis.

If one wants to recover an unknown process $\mathcal{E}$, he is allowed to vary the initial state $\rho_\alpha$ which the process acts on, in addition to varying the measurements $\mathcal{M}_\alpha$.

It this case, utilizing the χ-matrix representation (4), we obtain

$$P(\gamma | \chi, \alpha) = \text{Tr}(M_{\alpha \gamma} \mathcal{E}(\rho_\alpha)) =$$

$$\text{Tr}\left( \sum_{m,n=1}^{d^2} M_{\alpha \gamma} \tilde{E}_m \rho_\alpha \tilde{E}_n^\dagger \chi_{mn} \right) = \text{Tr}(M_{\alpha \gamma}^\chi),$$

(12)

where the matrix elements $(M_{\alpha \gamma}^\chi)_{mn} = \text{Tr}(M_{\alpha \gamma} \tilde{E}_m \rho_\alpha \tilde{E}_n^\dagger)$. Selecting the basis $\tilde{E}_{l \alpha} = |l \rangle \langle l'|$, one can obtain a simple relation: $M_{\alpha \gamma}^\chi = M_{\alpha \gamma} \otimes \rho_{l \alpha}^*$, where $\rho_{l \alpha}^*$ denotes a complex conjugate of $\rho_{l \alpha}$. Therefore, the measurement operators $M_{\alpha \gamma}^\chi$ are always factorized in this sense.

The equations (11) and (12) establish an explicit analogy between state and process tomography [25]. The differences are the size of the matrix recovered: $d^2 \times d^2$ for a process χ-matrix and $d \times d$ for a state density matrix $\rho$, and the restriction to factorized measurements, described above.

C. Bayesian approach

After the measurements are performed, one should process the data obtained. An estimator must be received as the result of this processing. We consider the Bayesian approach [18, 26] for estimation of the unknown matrix. The Bayesian approach works with the probability distribution over the space of process matrices $p(\chi | \mathcal{D})$, where $\mathcal{D}$ denotes the set of outcomes $\{\gamma_n\}$. This probability can be calculated via Bayes’ rule:

$$p(\chi | \mathcal{D}) \propto \mathcal{L}(\chi; \mathcal{D}) p(\chi | \emptyset).$$

(13)

Here $\mathcal{L}(\chi; \mathcal{D}) = \prod_n P(\gamma_n | \chi, \alpha_n)$ is a likelihood function and $p(\chi | \emptyset)$ is a prior distribution which reflects our preliminary knowledge about the system of interest. One can use Bayesian mean estimate (BME) to recover an unknown matrix

$$\hat{\chi} = \int \chi p(\chi | \mathcal{D}) d\chi.$$  

(14)

The uncertainty of such an estimator can be assessed via the distribution size in a particular metric (9)

$$\overline{d_B^2} = \int d_B^2(\chi, \hat{\chi}) p(\chi | \mathcal{D}) d\chi.$$  

(15)

D. Adaptivity

Another important point in tomography is a measurement sequence. We try to construct the measurement sequence in the most effective way to guarantee a better and faster reconstruction of the unknown process. In the Bayesian approach the posterior distribution allows one to use Shannon entropy decrease criterion [12] to choose
the next measurement in an optimal way. The following
relation can be used to find the setup configuration \( \alpha_{\text{next}} \)

\[
\alpha_{\text{next}} = \arg\max_\alpha \left( \mathbb{H}[P(\gamma|\alpha, \mathcal{D})] - \mathbb{E}_{P(\chi|\mathcal{D})} \mathbb{H}[P(\gamma|\chi, \alpha)] \right),
\]

(16)

where \( \mathbb{H} \) is the Shannon entropy, \( P(\gamma|\alpha, \mathcal{D}) = \int P(\gamma|\chi, \alpha)p(\chi|\mathcal{D})d\rho \), and \( \mathbb{E}_{P(\chi|\mathcal{D})} \) denotes the average

over \( p(\chi|\mathcal{D}) \). Such a measurement strategy depends on the
data collected through previous measurements, so it is adaptive. We will compare the adaptive strategy (A) with the sequence of randomly chosen measurements (R).

III. NUMERICAL SIMULATIONS

A. Adaptivity benefit

Before reporting the experimental results let us present numerical simulations. First of all, the performance of tomography for different processes was studied. We quantify the performance by the Bures distance \( d_B(\hat{\chi}, \chi_0) \) of the estimator \( \hat{\chi} \) to the true process \( \chi_0 \) with the number of events \( N \) registered. Two processes are studied: an identity process and a depolarizing channel with 50% depolarization. The results are averaged over 10 runs. Here and on the consecutive plots "R" stands for the random and "A" stands for the adaptive measurement strategy.

![Fig. 1. Evolution of the distance \( d_B^2(\hat{\chi}, \chi_0) \) from the estimator \( \hat{\chi} \) to the true process \( \chi_0 \) with the number of events \( N \) registered. Two processes are studied: an identity process and a depolarizing channel with 50% depolarization. The results are averaged over 10 runs. Here and on the consecutive plots "R" stands for the random and "A" stands for the adaptive measurement strategy.](image)

Random
Adaptive
![Fig. 2. Dependence of the distance to the true process \( d_B^2(\hat{\chi}, \chi_0) \) taken after \( N = 10^6 \) events were detected, with the noise level in wave plates positions. The adaptive strategy (yellow dots) has advantage over random measurements (blue dots). Solid lines are guides to the eye. Inset: the evolution of the distance to the true process \( d_B^2(\hat{\chi}, \chi_0) \) with the number of events registered \( N \) for noiseless measurements (Noise 0°) and for \( \phi_0 = 1° \) noise level (Noise 1°). All results are averaged over 1000 tomography runs.](image)

B. Influence of noise

Any experiment usually suffers from some imperfections. In our experiments with polarization qubits we use wave plates equipped with rotation motors. Hence we simulate errors in wave plates angle settings. The error is modeled by a uniform distribution, i.e. after setting the wave plate, its position is shifted for a random angle \( \Delta \phi \in [-\phi_0, \phi_0] \). This causes tomography to stop converging at a certain moment and reach its limit of accuracy [27]. An example of such a behavior is represented in the

| Table I. Approximation of the distribution size dependence \( d_B^2(N) \) of the number of photons detected \( N \), obtained in simulations, with \( CN^\alpha \) model. The processes are considered as trace-preserving ones. |
|-----------------|-----------------|-----------------|
| Meas. strategy & process | \( \alpha \) | \( C \) |
| R Identity | \(-0.5119 \pm 0.0015\) | \( 1.436 \pm 0.015\) |
| A Identity | \(-0.9158 \pm 0.0016\) | \( 3.585 \pm 0.015\) |
| R Depolarization 50% | \(-1.060 \pm 0.005\) | \( 4.81 \pm 0.05\) |
| A Depolarization 50% | \(-1.053 \pm 0.004\) | \( 4.58 \pm 0.04\) |
compared with noisy measurements ($\phi_N = 10$).

Registered events for different noise levels. This can be explained by the specific features of adaptive measurements [7].

In our case we have two possible outcomes with probabilities $p_0 = 0$ and $p_1 = 1 - p$. Thus, $n_0$ is a binomially distributed random variable with the mean $bp_0$: $n_0 \sim \text{Bin}(b, p_0)$. It is easy to calculate the mean of the chi-squared statistic $\langle \chi^2 \rangle$:

$$\langle \chi^2 \rangle = \frac{b(p - \hat{p})^2 + p(1 - p)}{\hat{p}(1 - \hat{p})}. \quad (18)$$

In the absence of noise the estimator converges to the true process, so $\hat{p} = p$ and $\langle \chi^2 \rangle = 1$ in the limit of large $N$. Obviously, due to errors in the real apparatus one cannot expect the perfect convergence and $\langle \chi^2 \rangle \neq 1$. The measurement block size $b$ should be large enough to reliably determine the difference of $\langle \chi^2 \rangle$ from unity in the experiment. We used $b \propto N$, which is a reasonable trade-off between the benefit from adaptivity and the overall measurement time [7]. Given this block size schedule, the second term in the nominator of (17) can be omitted for $N \rightarrow \infty$, obtaining $\langle \chi^2 \rangle \propto b$. The normalized quantity $\chi^2/b$ converges to some constant value, depending on the noise magnitude. For noiseless measurements this value is zero.

Therefore one can judge about the convergence of the tomographic protocol by monitoring the normalized chi-squared test statistic $\chi^2/b$. When it reaches a constant value, the distance to the true process $d_B^2(\hat{\chi}, \chi_0)$ also does, and the measurements should be stopped. We verified this in numerical simulations. A comparison of the dependencies of $\chi^2/b$ and $d_B^2(\hat{\chi}, \chi_0)$ on the number of events registered $N$ is depicted in Fig. 3 for various noise levels. One can clearly see that the noise floor for both quantities is achieved for the same values of $N$.

**IV. EXPERIMENT**

**A. Setup**

The tomography protocol is realized experimentally for quantum processes acting on single-photon polarization states. We used a heralded single photon source based on the spontaneous parametric down-conversion (SPDC) in a 25 mm long PPKTP crystal inside a Sagnac interferometer [29]. The setup is organized as follows (Fig. 4).

**FIG. 3.** Comparison between (a) the dependence of the normalized chi-squared test statistic $\chi^2/b$ on the number of events registered $N$ and (b) the distance to the true process $d_B^2(\hat{\chi}, \chi_0)$. The results shown are the numerical simulations for the adaptive measurements with various noise levels. The true process is the identity channel. The vertical lines show the values of $N$ for which the double logarithmic derivative $d \ln d_B^2(\hat{\chi}, \chi_0)/d \ln N = -0.25$ for the corresponding noise levels. All results are averaged over 1000 runs.

Inset of Fig. 2, where noiseless tomography ($\phi_0 = 0^\circ$) is compared with noisy measurements ($\phi_0 = 1^\circ$). Here another advantage of adaptivity is revealed: adaptive measurements have higher ultimate accuracy level than random ones. This can be explained by the specific features of adaptive measurements [7].

Fig. 2 compares the distance of the current estimate $\hat{\chi}$ to the true process $d_B^2(\hat{\chi}, \chi_0)$ after the fixed number $N$ of registered events for different noise levels $\phi_0$. The identity channel is chosen as the true process. We select $N = 10^6$ because it was found sufficient to achieve the ultimate accuracy level for noise amplitudes $\phi_0 \gtrsim 1^\circ$. The advantage of the adaptive protocol is more evident for low noise amplitudes and vanishes for $\phi_0 \gtrsim 4^\circ$.

**Stopping criterion.** Generally, it is impossible to measure the distance to the true process in the experiment. As it can be seen in the inset of Fig. 2, for the adaptive protocol there is no sense to do any measurements after $10^5$ events have already been registered, because the noise limits the accuracy of the result. Therefore, some stopping criterion is required to recognize the moment when further measurements will provide no more information. Moreover, one should be able to apply this criterion without any knowledge of the true process, as in the real experiment. To attain this goal we utilized a well-known chi-squared test statistic $\chi^2$, which was proven to indicate the consistency of the current estimate $\hat{\chi}$ with the data observed [28]:

$$\chi^2 = \sum_{\gamma} \frac{(n_\gamma - b\hat{p}_\gamma)^2}{b\hat{p}_\gamma}, \quad (17)$$

where $n_\gamma$ is the number of events when the outcome $\gamma$ was detected, $b = \sum_\gamma n_\gamma$ is the total number of events for a particular measurement configuration $\alpha$, and $\hat{p}_\gamma = P(\gamma|\hat{\chi}, \alpha)$ is the expected probability of the outcome $\gamma$.

In the absence of noise the estimator converges to the true process, so $\hat{p} = p$ and $\langle \chi^2 \rangle = 1$. The normalized quantity $\chi^2/b$ converges to some constant value, depending on the noise magnitude. For noiseless measurements this value is zero.

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A Glan prism GP, wave plates HWP1 (half-wave) and QWP1 (quarter-wave) are used to prepare the initial state. Wave plates QWP2, HWP2 and a Wollaston prism WP allow us to perform arbitrary projective measurements after the unknown process $\chi$ acts on the initial state. The first photon from the pair passes through the elements described and is coupled to the multimode fibers leading to the single-photon counting modules (SPCMs) D1-2. The second one is used as a trigger being detected by the SPCM D0. All wave plates are equipped with computer controlled motorized rotation stages to implement the active measurements. An unknown process $\chi$ may be represented by various optical elements, e.g. a polarizer, a wave plate, a multimode optical fiber, etc.

**B. Ultimate accuracy level**

As it was mentioned in Sec. III B, no experimental setup is perfect. Some imperfections like wave plates retardance errors, Glan and Wollaston prism angle setting errors, detectors dark counts, etc. can result in a decrease of tomography accuracy. There is a moment when the measurements should be stopped, because tomography does not converge anymore due to the experimental imperfections. We studied the ultimate accuracy, which we can achieve in tomography for the case of an identity process. Such a process does not change the polarization, so experimentally it is realized by simply placing no elements in a process $\chi$ placeholder in Fig. 4. The identity process is a distinguished case in the experiment, because it can be prepared exactly, and the true $\chi$-matrix is known. So one can measure the distance between the theoretical $\chi$-matrix of an identity process and the Bayesian estimator obtained via tomography. The dependence of the distance on the number of photons detected is presented in Fig. 5. The adaptive measurement strategy provides faster tomography convergence and higher ultimate accuracy level than the random measurements. The final Bures distance between the Bayesian mean estimator and the theoretical true process, acquired after $N = 10^6$ registered events is listed in Table II for both random and adaptive measurements. We attribute the achieved values of the noise floor mostly to the wave plates retardance errors and misalignments in their angular positioning.

**TABLE II.** Final Bures distance between the Bayesian mean estimate and the theoretical true process, obtained after $N = 10^6$ counts are detected, for the experimental tomography of an identity process. Fidelity is calculated for the corresponding Choi-Jamiołkowski states.

| Meas. strategy | Bures distance, $d^2_\text{B}$ | Fidelity, $F$ |
|----------------|-------------------------------|--------------|
| Random         | $0.0125 \pm 0.0017$           | $0.9938 \pm 0.0008$ |
| Adaptive       | $0.0094 \pm 0.0008$           | $0.9953 \pm 0.0004$ |

We also computed the normalized chi-squared test statistic $\chi^2/b$, which is shown in the inset of Fig. 5. It reaches an approximately constant value after $N \approx 3 \times 10^4$ detected photons, and according to the criterion proposed in Sec. III B the measurements should be stopped at this point. The results are in a reasonable correspondence with the ones for the distance to the true process $d^2_\text{B}(\hat{\chi}; \chi_0)$. The disadvantage of this test statistic is that it fluctuates a lot from one tomography run to another and thus a large number of runs are required to achieve a smooth average.

**C. Adaptivity benefit**

In this section we experimentally study the difference between adaptive tomography and random measurements for unitary and non-unitary trace-preserving
processes. A quartz quarter-wave plate is used as an example of a unitary process, while the multimode fiber represents a non-unitary process, because if the spatial modes are averaged out, it acts as a completely depolarizing channel (see appendix Sec. C 3). Comparison of the distribution size dependence $d^2_B(N)$ on the number of detected photons $N$ is depicted in Fig. 6 for both processes and both measurement strategies. For a quantitative comparison we fit the dependence $d^2_B(N)$ with a model of the form $CN^\alpha$. The results are listed in Table III. The adaptive measurement strategy has an advantage in convergence over the random measurements, and the advantage is much more significant for unitary processes.

![Image of Fig. 6](image)

**FIG. 6.** Experimental evolution of the distribution size $d_B^2$ with the number of photons detected $N$ for random (R) and adaptive (A) measurements. Two processes are studied: a unitary process (quarter wave plate) and a non-unitary depolarizing channel (multimode fiber). The results are averaged over 10 runs.

![Image of Fig. 7](image)

**FIG. 7.** Experimental evolution of the distribution size $d_B^2$ with the number of photons detected $N$ for non-trace-preserving processes. Two processes are considered: a polarization independent lossy process (a 3 dB neutral density filter) and polarization-dependent loss (a linear polarizer). The results are averaged over 10 runs.

TABLE III. Fit of the experimental distribution size dependence $d_B^2(N)$ on the number of detected photons $N$ with a $CN^\alpha$ model for random (R) and adaptive (A) measurements and two processes. Both processes are considered to be trace-preserving.

| Meas. strategy & process | $\alpha$ | $C$  |
|--------------------------|---------|------|
| R Unitary (wave plate)   | $-0.698\pm0.016$ | $1.67\pm0.13$ |
| A Unitary (wave plate)   | $-0.844\pm0.016$ | $2.01\pm0.13$ |
| R Depolarizing (fiber)   | $-1.027\pm0.013$ | $4.44\pm0.11$ |
| A Depolarizing (fiber)   | $-1.044\pm0.006$ | $4.26\pm0.05$ |

**D. Trace non-preserving processes**

The tomography of trace non-preserving processes is a little bit more complicated than that of trace-preserving ones. Loss must be taken into account, so the expression (12) for the probability $P(\gamma|\chi, \alpha)$ should be modified. The full intensity of the light incident on each detector, when no additional loss connected with the investigated process are present, should be known. In the experiment this can be done in the following way: the investigated process $\chi$ is replaced with an identity channel and all photons are directed to one of the detectors (for example, corresponding to $\gamma = 0$) by varying their polarization appropriately. The intensity $I_\gamma = 0$ is measured and the procedure is repeated for the second detector, corresponding to $\gamma = 1$.

If the measurement $\alpha$ lasted for the time $t$ then the probability to obtain a set of outcomes $\{n_\gamma\}$ is:

$$P(\{n_\gamma\}|\chi, \alpha, t) \propto \prod_{\gamma=0}^{d-1} P_{n_\gamma}(\gamma|\chi, \alpha) e^{-I_\gamma P(\gamma|\chi, \alpha)t}. \quad (19)$$

Here we supposed that the source of light has Poissonian statistics. Also, we omit the terms, which do not depend on $\chi$, because they can be absorbed in the normalization of the posterior, hence the proportionality sign is used. Previously, in the case of trace-preserving processes, we had $\sum_\gamma I_\gamma P(\gamma|\chi, \alpha) = \text{const}$, regardless of $\chi$, so the probability (19) was simply $P(\{n_\gamma\}|\chi, \alpha, t) \propto \prod_{\gamma} P_{n_\gamma}(\gamma|\chi, \alpha)$ and was independent of $t$.

The modified expression (19) should be substituted into (16) instead of $P(\gamma|\chi, \alpha)$ in order to calculate the next optimal measurement. However, in the case of trace-non-preserving processes the space of possible outcomes $\{n_\gamma\}$ is infinitely large, therefore calculation of entropies $\mathbb{H}[P(\{n_\gamma\}|\chi, \alpha, t)]$ involves an infinite series summation. This is computationally intractable and we turned out with the following heuristics:

$$\alpha_{\text{next}} = \arg\max_\alpha\{\mathbb{H}[\pi(\gamma|\hat{\chi}, \alpha)] - \mathbb{E}_{p(\chi|\mathcal{D})}\mathbb{H}[\pi(\gamma|\chi, \alpha)]\}, \quad (20)$$

where $\pi(0|\chi, \alpha) = P(0|\chi, \alpha)$ and $\pi(1|\chi, \alpha) = 1 - P(0|\chi, \alpha)$. We note that $\pi(1|\chi, \alpha) \neq P(1|\chi, \alpha)$ because of the pres-
ence of loss. Utilizing this heuristics the complexity of calculations remains the same as for trace-preserving processes, which allows us to carry on-line adaptive measurements.

Experimental tomography, taking into account the details described above, was performed. We present the experimental results for three processes: identity, a 3 dB neutral filter, as an example of polarization-independent loss, and a polarizer — a polarization-dependent lossy process. The processes were now considered as trace non-preserving ones. The results are shown in Fig. 7 and Table IV. As one can see, all of these processes enjoy the advantage in convergence of adaptive tomography. We attribute this to the fact that all considered processes are rank-1 channels, although a polarizer and a 3 dB neutral filter are not unitary ones. The main conclusion is that adaptive tomography provides better reconstruction accuracy for rank-1 channels regardless of the amount of loss.

V. CONCLUSION

In conclusion, we have experimentally implemented an adaptive procedure for quantum process tomography of single qubit states. This procedure fits in the framework of Bayesian quantum tomography and is based on self-learning measurements, which are chosen according to the criterion of maximal information gain. Our numerical and experimental results show, that adaptive tomography outperforms the strategy based on random measurements for unitary and close-to-unitary processes. The advantage in performance is qualitative, i.e. the Bures distance of the estimated χ-matrix to the true one scales better with the number of measurements performed. The procedure may be extended to enable the reconstruction of trace-non-preserving processes. In this case we have proposed an approximation for the exact expression for the information gain, allowing a significant computational speed-up, while preserving the advantage of adaptivity. The results allow us to conclude, that adaptive tomography is advantageous for all rank-1 quantum processes, independently of the amount of loss.

We have studied the behavior of tomography under the influence of instrumental noise. By monitoring the chi-squared test statistic we were able to identify the ultimate noise floor even when the true process is unknown. An important observation is that adaptive tomography has lower noise floor than non-adaptive one for the same level of instrumental noise. We believe, that the self-learning strategy may be further tailored to avoid especially noisy measurements and reduce the noise floor even further. This is to be verified in the future works.

Other directions for further research may include incorporating self-learning measurements into more sophisticated process tomography protocols, like ancilla-assisted process tomography, or direct characterization of quantum dynamics [30]. One may also envisage the application of active learning techniques for suppression of technical noise and source drifts, inevitable in any quantum experiment.

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### Appendix A: Sampling

Bayesian inference requires calculation of high-dimensional integrals in the relations (14), (15) or when normalizing the posterior distribution. This is a computationally extensive task. In order to circumvent this difficulty we use an approximation technique based on Markov chain Monte Carlo (MCMC) methods [31]. In sequential importance sampling (SIS) the posterior distribution \( p(\chi|D) \) is replaced by a set of samples \( \{\chi_s\} \). Each sample has its weight \( w_s \), and the posterior distribution is approximated as follows:

\[
p(\chi|D) = \sum_{s=1}^{S} w_s \delta(\chi - \chi_s), \tag{A1}
\]

where \( S \) is a total number of samples. Sample positions are fixed and only weights are updated with the data received according to the following recurrent rule [12]:

\[
w_s^{(n+1)} \propto w_s^{(n)} \mathbb{P}(\gamma_{n+1}|\chi_s, \alpha_{n+1}), \tag{A2}
\]

where a proportional multiplier is chosen to satisfy the normalization constraint \( \sum_{s=1}^{S} w_s = 1 \). Such a fast numerical procedure allows an adaptive strategy to operate and control the experimental apparatus in real time. We use \( 10^3 \) samples (or \( 10^4 \) for trace non-preserving processes) for the \( d = 2 \) case. The higher is the dimension of the space, the more samples should be used for a good approximation.

### Table IV. Approximation of the experimental distribution size dependence \( d_p(N) \) of the number of photons detected \( N \) with a CN\( ^\alpha \) model. The processes are considered as trace non-preserving ones.

| Meas. strategy & process | \( \alpha \)        | \( C \)        |
|--------------------------|---------------------|----------------|
| R Identity               | \(-0.630 \pm 0.003\) | \(1.21 \pm 0.03\) |
| A Identity               | \(-0.764 \pm 0.003\) | \(1.59 \pm 0.03\) |
| R 3 dB neutral filter    | \(-0.680 \pm 0.003\) | \(0.94 \pm 0.02\) |
| A 3 dB neutral filter    | \(-0.866 \pm 0.002\) | \(1.92 \pm 0.02\) |
| R Polarizer              | \(-0.689 \pm 0.004\) | \(0.72 \pm 0.03\) |
| A Polarizer              | \(-0.870 \pm 0.004\) | \(1.62 \pm 0.04\) |
\(\chi\)-matrix generation. SIS requires an efficient way to generate random samples \(\{\chi_s\}\). Let us first consider trace-preserving processes. Our generation method is based on the fact that operation elements \(\{E_k\}\) constitute a unitary block matrix \(U (3)\). Provided a unitary matrix \(U\), operation elements \(\{E_k\}\) are found and then a \(\chi\)-matrix is obtained. A uniformly distributed (i.e. Haar) random unitary matrix \(U\) can be obtained via a Gram-Schmidt orthogonalization or QR-decomposition of a matrix \(G\), pertaining to the Ginibre ensemble [32]: 
\[ G = UR \] (here \(R\) is a matrix, irrelevant to our discussion). \(G\) by definition has independent and identically distributed (i.i.d.) random Gaussian matrix elements with zero mean. In practice it is sufficient to generate \(U\) of \(d^2 \times d\) size (and \(G\) accordingly), because only the first “block column” of \(U\) determines \(\chi\).

Generation of trace non-preserving \(\chi\)-matrices is slightly different. Again, we exploit the relation (3), but together with the following fact. Suppose a trace non-preserving process \(E_{n-p}\) has operator elements \(E_1, \ldots, E_{d^2}\), obeying \(\sum_{k=1}^{d^2} E_k^\dagger E_k = Q < I\). One can always append an auxiliary operator element \(E_{d^2+1}\) to obtain a trace-preserving process \(E_p\). Indeed, 
\[ \sum_{k=1}^{d^2} E_k^\dagger E_k + \Delta Q = I, \text{ where } \Delta Q = I - Q \text{ is a positive semidefinite operator}. \]

Performing a Cholesky-like decomposition \(\Delta Q = E_{d^2+1}^\dagger E_{d^2+1}\), we find the auxiliary element \(E_{d^2+1}\). Consequently, a random unitary matrix \(U\) of \((d^2 + d) \times d\) size is generated. After all corresponding \(d^2 + 1\) operator elements are retrieved from \(U\), the last one is neglected, and a trace non-preserving \(\chi\)-matrix is generated.

Resampling. Unfortunately, while the distribution converges to the true process matrix, the approximation becomes less and less efficient because more samples are assigned with negligible weights. One should monitor an effective number of samples \(S_{\text{eff}} = (\sum_{s=1}^{S} w_s^2)^{-1}\). This value can be increased by redistributing the samples. When \(S_{\text{eff}} < 0.1S\) we perform a resampling procedure which consists of the following steps:

1. Include the sample \(\chi_s\) to the new set of samples with the probability equal to its weight \(w_s\). Stop when the new set of samples has the size of \(S\);
2. Assign equal values to the new weights \(w_s := 1/S\);
3. Perform a random walk for each new sample according to the Metropolis-Hastings algorithm [33] to make a correct approximation of the distribution \(p(\chi | D)\).

The third step requires a full likelihood function calculation (13) and a random step procedure generating a valid \(\chi\)-matrix \(\chi'\) in the vicinity of the old one \(\chi\). The random step procedure is closely related to the \(\chi\)-matrix generation process described above. The idea is to retrieve the unitary matrix \(U (3)\) from a given \(\chi\)-matrix, then add a random deviation \(dU\) to this matrix, \(U' = U + dU\), make \(U'\) unitary again via a QR-decomposition, and finally calculate the new sample \(\chi'\) corresponding to \(U'\). If \(dU\) is “small”, then from continuity considerations \(\chi'\) lies in the vicinity of the old matrix \(\chi\).

In our implementation \(dU\) belongs to the Ginibre ensemble. The standard deviation of its matrix elements depends on the distribution size \(S\) to ensure an approximately constant acceptance ratio in the Metropolis-Hastings routine. The unitary matrix \(U\), corresponding to the \(\chi\)-matrix, can be found by the eigenvalue decomposition of \(\chi\) [3]: 
\[ \chi_{mn} = \sum_{i} V_{mi} \delta_{ik} \lambda_i V_{nk}^*. \]
Substituting this into (4) and comparing with (1), one can conclude that the operator elements satisfy 
\[ E_k = \sqrt{\lambda_k} \sum_m V_{mk} E_m. \]
These operator elements are used to compose the unitary \(U\). For trace non-preserving processes we have to store the last auxiliary operator element \(E_{d^2+1}\), generated during the initialization, separately.

The procedure described above seems to be awkward, and we believe there is scope for its optimization. Moreover, the question about the \(\chi\)-matrix distribution, which this method induces, remains open. One of the good alternatives is to adopt methods from QETLAB [34].

Appendix B: Distribution size as a figure of merit

There is no information about the true process in the experiment, so we suggest to monitor the distribution size \(A_B (15)\) to judge about the convergence of tomography. As shown in Fig. 8, the ratio of the distance to the true process to the distribution size \(R_{dd} = A_B (\chi, \chi_0)/A_B^2\) keeps a constant value in the course of tomography. This constant value varies depending on the true process, e.g. \(R_{dd} \approx 4\) for the identity process. Consequently, one
knows that in the experiment the true process in not further than $R_{dd} \times \bar{d}_B^2$ from the estimator. So if the distribution size $\bar{d}_B^2$ approaches zero, the tomography converges to the true state. However, a sharp growth can be seen for the “R Identity 100 samples” curve in Fig. 8. That can be explained by a small amount of samples (100) chosen for the approximation. We use $10^3$ or $10^4$ samples for our simulations and experimental tomography, which is enough for up to $10^6$ registered outcomes.

Appendix C: Recovered process matrices

In this section we want to present the $\chi$-matrices for different processes studied experimentally and reconstructed via adaptive tomography. The results are averaged over 10 tomography runs. The processes 1-4 were recovered as trace-preserving ones (see Sec. II B), on the contrary, processes 5-7 were supposed to be trace non-preserving (see Sec. IV D). All the final $\chi$-matrices are given in the following tables for each process. Technical details of the experimental realization of some processes are also discussed here.

1. Identity process

An ideal identity process has the following $\chi$-matrix:

$$\chi_{\text{theor}} = \begin{pmatrix} 1 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 1 & 0 & 0 & 1 \end{pmatrix}.$$ (C1)

We consider the Bures distance between the ideal and the reconstructed $\chi$-matrices $d_B^2(\hat{\chi}, \chi_{\text{theor}})$, as well as the fidelity between the corresponding Choi-Jamiołkowski states $F(\hat{\rho}, \rho_{\text{theor}})$.

| Value                  | Expected value |
|------------------------|----------------|
| Purity                 | 0.9907 ± 0.0008| 1          |
| $d_B^2(\hat{\chi}, \chi_{\text{theor}})$ | 0.0094 ± 0.0008 | 0          |
| $F(\hat{\rho}, \rho_{\text{theor}})$      | 0.9953 ± 0.0004| 1          |

2. Quartz wave plate

Having the wave-plate $\chi$-matrix at hand, one can recover the angle between the WP axis and the horizontal direction, as well as the phase shift between the orthogonal polarizations. This can be realized by numerical minimization of the Bures distance $d_B(\hat{\chi}, \chi_{\text{WP}}(\phi, \delta))$ between the reconstructed matrix $\hat{\chi}$ and the theoretical wave-plate matrix $\chi_{\text{WP}}(\phi, \delta)$ using the angle $\phi$ and the phase shift $\delta$ as minimization parameters. The wave-plate chi-matrix $\chi_{\text{WP}}(\phi, \delta)$ can be obtained using Jones matrix as the first and only operation element $E_1$. The results of the numerical minimization are averaged over 10 tomography runs.

| Value                  | Expected value |
|------------------------|----------------|
| Angle                  | 48.4° ± 1.4°   | ≈ 45°        |
| Phase shift            | 1.452 ± 0.016  | ≈ 1.5        |
| Purity                 | 0.9903 ± 0.0017| 1            |

3. Multimode fiber

A multimode fiber (MMF) was used to experimentally implement a depolarizing channel. The propagating modes in the MMF acquire different phase shifts due to different propagation constants. Due to significant mode-mixing the output light is redistributed between multiple spatial modes, and each spatial mode has its own polarization state. When the spatial mode structure of the beam is averaged by a bucket detector, the measured polarization state is effectively a mixture of the polarization states in each of the modes. So, one can obtain various depolarization degrees depending on fiber length and mode-mixing constants. The MMF we used was long enough and bent strongly enough to provide significant depolarization.

| Value                  | Expected value |
|------------------------|----------------|
| Purity                 | 0.2754 ± 0.0024|

4. Liquid crystal wave plate

Implementation of a partially depolarizing channel required another approach. We used a liquid crystal retarder (Thorlabs LCC1111T-B). A variable phase shift of this wave plate can be controlled by a voltage applied. If one keeps the voltage constant the phase shift stays constant too, so the liquid crystal wave plate (LCWP) acts just as a bulk wave plate. The constant voltage $V_0$ can be modified by adding some time-dependent value, e.g. $\Delta V \sin \omega t$, where $\Delta V \ll V_0$. Applying the voltage $V = V_0 + \Delta V \sin \omega t$ one makes LCWP to act as wave plates with slightly different phase shift at different moments of time. If $\omega^{-1}$ is small, compared to the measurement time, the tomography averages all these phase shifts (similar to the spatial average in the previous section), so effectively one can implement a partially-depolarizing channel. The advantage of this approach over using MMF is the fact that a depolarization degree can be easily controlled by the amplitude $\Delta V$. 
FIG. 9. Real and imaginary parts of the $\chi$-matrices for different processes recovered via adaptive tomography. Legend explanation: “Identity with loss” is the identity process recovered as a trace non-preserving one; “3 dB filter” is an example of a process with polarization insensitive loss, while “Polarizer” is a process with strongly polarization-dependent loss; “Liquid crystal wave plate” is a partially depolarizing process and “Multimode fiber” is an almost completely depolarizing process.

| Value | Expected value |
|-------|----------------|
| Purity | $0.648 \pm 0.005$ |

5. Identity process with loss

Here the identity process was considered as a trace non-preserving one, so the recovered matrix has some loss despite the fact that the theoretical identity process (C1) is lossless.

| Value | Expected value |
|-------|----------------|
| Purity | $0.9854 \pm 0.0025$ |
| $d_B^2(\hat{\chi}, \chi_{\text{theor}})$ | $0.0148 \pm 0.0026$ |
| Loss | $0.060 \pm 0.016$ |

6. Neutral filter

A 3 dB neutral filter is expected to transmit $\approx 50\%$ of the light intensity, so we consider the theoretical identity process $\chi$-matrix (C1) multiplied by 0.5 as a theoretical $\chi$-matrix for the neutral filter:

$$\chi_{\text{theor}} = \begin{pmatrix} 0.5 & 0 & 0 & 0.5 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0.5 & 0 & 0 & 0.5 \end{pmatrix}.$$  (C2)

| Value | Expected value |
|-------|----------------|
| $d_B^2(\hat{\chi}, \chi_{\text{theor}})$ | $0.0080 \pm 0.0014$ |
| Loss | $51.44 \pm 0.23\%$ |

7. Polarizer

A polarizer (a nanoparticle linear film polarizer from Thorlabs) was set to transmit only horizontally polarized
light, so the only expected non-zero element of the $\chi$-matrix was $\chi_{11}$. Its magnitude corresponds to the transmittance of the horizontal polarization and it was found to be $\approx 77.3\%$ if measured directly. The value for the overall average loss takes into account that vertically polarized light is not transmitted at all.

| Value   | Expected value |
|---------|----------------|
| $\hat{\chi}_{11}$ | $0.794 \pm 0.006$ |
| Loss    | $60.12 \pm 0.27\%$ |

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