Transformation from Anatase to Rutile Titania
Using Hydrothermal Method:
Investigation of Morphology and Thermal Stability

Mpanza P. Ntsikelelo,1 Malevu D. Thembinkosi,2 Lehloolono F. Koao,3 Motloung V. Setumo,4,5 and Motaung E. Tshwafe1*

1Department of Chemistry, University of Zululand (KwaDlangezwa Campus), KwaDlangezwa, Private Bag X1001, KwaDlangezwa 3886, South Africa
2School of Chemistry and Physics, Westville Campus, University of KwaZulu-Natal, Private Bag X54001, Durban 4000, South Africa
3Department of Physics, University of the Free State (QwaQwa Campus), Private Bag X13, Phuthaditjhaba 9866, South Africa
4Department of Physics, Nelson Mandela University, P.O. Box 77000, Port Elizabeth 6031, South Africa
5Department of Physics, Sefako Makgatho Health Sciences University, P.O. Box 94, Medunsa 0204, South Africa

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Titanium dioxide nanoparticles were successfully synthesized using the hydrothermal method in an autoclave at 100 °C. The onset of the formation of the rutile phase from 400 to 700 °C was investigated through the morphology, structure and thermal properties. X-ray diffractometer and Raman spectroscopy confirmed the formation of a hybrid anatase (A) and rutile (B) phase at 400 °C. The nanoparticles formed spherical structures with an average size between 19.799 and 38.685 nm. Thermogravimetric analysis confirmed that a TiO2 nanocrystal subjected to higher temperatures undergoes four degradation stages involving the desorption of water molecules, the loss of organic compounds, and the phase change from amorphous to crystalline anatase.

1. Introduction

Titanium dioxide (TiO2) has recently attracted considerable attention worldwide owing to its various applications including pigments, dye-sensitized solar cells, photocatalysis, gas sensors, and optoelectronics.1–8 Among the applications, much interest has been focused on photocatalysis where TiO2 is an important photocatalytic material because of its ability to decompose organic pollutants.9 It has a number of advantages including nontoxicity, a low cost, and strong oxidation and reduction abilities under solar light.10,11 It naturally exists in the phases of tetragonal anatase, tetragonal rutile, and orthorhombic brookite with energy band gaps of 3.23, 3.05, and 3.26 eV, respectively. Amongst these three phases, rutile is the most stable phase.10 Furthermore, rutile has high charge carrier recombination, which extends the photocatalytic activity of the TiO2 visible light region.10

*Corresponding author: e-mail: motaungte@live.com
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According to the literature, a number of synthesis methods are employed to synthesize TiO$_2$ nanomaterials. Some of the commonly applied methods include the sol–gel,$^{(12,20,21)}$ chemical vapor deposition,$^{(22,23)}$ solvothermal,$^{(24)}$ and hydrothermal$^{(25)}$ methods. These methods require a high-energy vacuum and a high temperature, and for the most part include complex procedures. Some of these methods are also destructive and include dangerous gases. Furthermore, previous studies have shown that it is difficult to obtain a hybrid anatase and rutile phase. The hydrothermal method is a promising technique for the synthesis of mixed anatase–rutile (A–R) TiO$_2$ nanocrystals because of its mild preparation conditions and low cost. It also has the advantage in that the temperature gradient can be controlled and maintained, making it easy to crystallize a material. In addition, previous studies have shown that the physical properties of TiO$_2$, such as the surface area, crystallite form, crystallite size, morphology, and dispersion, can be well controlled via hydrothermal processing parameters.$^{(26,27)}$ Selman and Husham$^{(28)}$ reported the presence of both phases when as-prepared samples were annealed at 550 °C. Similar results were obtained in Refs. 29 and 30, which suggests that an A–R mixture exists at 450 °C and that anatase fully transforms to the rutile phase at temperatures of 800 °C and above.

More information is required about the synthesis of the hybrid of the two phases of TiO$_2$ and the transformation to the rutile phase. In the present study, we synthesized a hybrid of the anatase and rutile phases, and clarified the systematic transformation to the rutile phase as a function of temperature. X-ray diffractometry (XRD), Raman spectroscopy, transmission electron microscopy (TEM), and thermoanovimetric analysis (TGA) were used to characterize the samples.

2. **Experimental Procedure**

2.1 **Sample preparation**

All the chemicals used during the synthesis were purchased from Sigma-Aldrich. The gel was prepared by mixing 45 ml of deionized water with 15 ml of HCl under constant stirring for 5 min in a fume hood. 2 ml of titanium (IV) isopropoxide was added to the solution under constant stirring for 10 min. The mixture was transferred to a preheated autoclave oven at 100 °C for 2 h and then allowed to cool to room temperature before centrifugation. The collected white particles were washed several times using deionized water. The as-prepared TiO$_2$ nanocrystalline powders were then annealed at temperatures of 400, 500, 600, and 700 °C for 1 h.

2.2 **Characterization**

The crystal structure and phase composition of the crystalline TiO$_2$ nanopowders were characterized by XRD (Bruker-AXS D8) with a 2θ diffraction angle in the range of 20 to 80° (CuKα = 1.5418 Å with 40 kV/4 mA radiation). Low-frequency, vibrational, and rotational modes were determined by Raman spectroscopy (Horiba Jobin Yvon T64000) with an excitation wavelength (λ) of 514 nm at a low power (12 mV) to minimize unwanted sample heating
effects. TEM (JEOL 1010) and high-resolution TEM (HRTEM, JEOL JEM-2100) were used to examine the average particle size and selected-area electron diffraction (SAED) pattern of the nanocrystalline TiO₂, respectively. Before TEM analyses, TiO₂ nanoparticles were uniformly dispersed in ethanol using a sonicator for 30 min. This was followed by drop-casting onto a carbon-coated copper grid and drying at room temperature (RT) before loading in the chamber. Fourier transform infrared spectrometry (FTIR) was used to obtain infrared absorption spectra of the nanocrystalline TiO₂ powders. A Perkin Elmer Pyris 6 thermogravimetric analyzer (TGA) was used to obtain the decomposition mechanisms for samples subjected to different temperatures. The analysis was carried out at a heating rate of 10 °C min⁻¹ from 30 to 700 °C under inert gas (nitrogen) in a perforated aluminum pan that was closed.

3. Results and Discussion

3.1 Structural analysis

Figure 1 shows the XRD patterns of the nanocrystalline TiO₂ powders obtained at different annealing temperatures. All powder samples are polycrystalline with a tetragonal crystal structure belonging to the I41/amd space group (No. 141) with lattice parameters $a = 3.7845$ Å and $c = 9.5143$ Å. The most intense diffraction peaks for both A (101) and R (110) were then used to estimate the crystallite size ($D$) of the prepared samples by using the Scherrer equation

$$D = \frac{k\lambda}{\beta \cos \theta},$$

(1)
where $\beta$ is the full width at half maximum wavelength (FWHM) at $2\theta$ angle (in radians), $k$ is the Scherrer constant, which is 0.9, $\lambda$ is the X-ray radiation wavelength with Cu-K$\alpha$, and $\theta$ is the diffraction angle in radians. The estimated values are summarized in Table 1.

All the patterns of the TiO$_2$ nanocrystals were obtained in the diffraction angle range of $2\theta = 20$–$80^\circ$. The diffraction peaks for the anatase (A) phase appear at the following $2\theta$ angles with the corresponding planes given in parentheses: $25.62^\circ$ (101), $38.48^\circ$ (004), $54.51^\circ$ (105), $55.39^\circ$ (211), $62.99^\circ$ (204), $69.30^\circ$ (116), $70.49^\circ$ (220), and $75.47^\circ$ (215). On the other hand, the rutile (R) phase peaks appears at $2\theta$ angles of $27.82^\circ$ (110), $36.49^\circ$ (200), $41.67^\circ$ (210), and $64.30^\circ$ (310). Both phases correspond to the tetragonal crystal structure matching JCPDS (21-1276). Figure 2 shows that the enlarged A (101) and R (100) peaks shifted slightly to the left with increasing annealing temperature. The peak shift implies that there were changes in lattice parameters, which is a good indication of phase transformation.(31)

Table 1

| Temperature (°C) | Phase (hkl) and 2\theta position (deg) | FWHM (deg) | Crystallite size (nm) | $a$ (Å) | $c$ (Å) | $\delta$ $10^{14}$ lin/m$^2$ $\epsilon$ $10^4$ lin$^{-2}$ m$^{-4}$ |
|-----------------|----------------------------------------|------------|----------------------|--------|--------|-----------------------------------------------|
| As-prep         | A:101 (25.270)                         | 0.391      | 15.70 ($\pm$0.356)   | 3.814  | 9.623  | 0.004                                         | 0.095 |
|                 | R:110 (27.820)                         | 0.960      | 8.91 ($\pm$0.087)    | 4.601  | 2.843  | 0.013                                         | 0.233 |
| 400             | A:101 (25.180)                         | 0.356      | 23.91 ($\pm$0.238)   | 3.742  | 9.521  | 0.002                                         | 0.087 |
|                 | R:110 (27.741)                         | 0.397      | 21.56 ($\pm$0.224)   | 4.710  | 2.426  | 0.002                                         | 0.096 |
| 500             | A:101 (25.273)                         | 0.282      | 30.14 ($\pm$0.293)   | 3.763  | 9.373  | 0.001                                         | 0.069 |
|                 | R:110 (27.660)                         | 0.258      | 33.20 ($\pm$0.303)   | 4.721  | 2.445  | 0.001                                         | 0.063 |
| 600             | A:101 (25.275)                         | 0.275      | 31.01 ($\pm$0.336)   | 3.725  | 9.355  | 0.001                                         | 0.067 |
|                 | R:110 (27.728)                         | 0.242      | 35.34 ($\pm$0.348)   | 4.712  | 2.429  | 0.001                                         | 0.059 |
| 700             | A:101 (25.374)                         | 0.267      | 31.90 ($\pm$0.298)   | 3.723  | 9.346  | 0.001                                         | 0.065 |
|                 | R:110 (27.763)                         | 0.219      | 39.02 ($\pm$0.394)   | 4.707  | 2.421  | 0.001                                         | 0.053 |

Fig. 2. (Color online) Enlarged XRD patterns showing shift of anatase A (101) and rutile R (100) TiO$_2$ peaks due to annealing.
It is evident from the figure that when the TiO$_2$ powder was heated to 400 °C and above, the major peaks for the anatase and rutile phases were observed at 2θ = 25.3 and 27.5° for the (101) and (110) planes respectively. In fact, they became more pronounced with increasing annealing temperature, which is known to indicate improved crystallinity. The crystallinity indices shown in Table 1 suggest that a mixed phase of A–R TiO$_2$ was achieved during the synthesis that consisted of about 64.3% A and 35.7% R. The values fall within the range of values in the literature. Nonetheless, in this study, the rutile transformation appeared to be rapid even though complete crystallization did not occur at 700 °C. However, Zhou et al. argued that the A–R phase transformation is slow between 400 and 800 °C and that a complete rutile transformation should be observable at a temperature of about 1000 °C.

The calculated crystallite sizes for anatase and rutile ranged from 15.70 to 31.90 nm and from 8.91 nm to 39.02 nm, respectively. From the analysis results, the peak intensity of anatase appears to increase, while the FWHM decreases with increasing annealing temperature. This implies an increase in crystallite size and decreases in microstrain and dislocation density, thus improving the crystalline structure. The calculated microstrain and dislocation density were obtained using Eqs. (2) and (3), respectively.

\[
\delta = \frac{1}{D^2} \quad \text{(2)}
\]

\[
\varepsilon = \frac{\beta \cos \theta}{4} \quad \text{(3)}
\]

Equations (2) and (3) provide the minimum values for the probability of the microstrain and dislocation density of samples, respectively. The crystallinity of nanocrystalline TiO$_2$ improves if there is a lower dislocation density and less microstrain. Additionally, increasing the temperature affects the structural properties of the nanoparticles.

Table 1 also shows that as the annealing temperature increases, the lattice parameters $a$ and $c$ decrease owing to a slow phase transformation and the rate of coarsening of the particles and/or surface energies and the rate of packing of particles increase. Li et al. demonstrated that slight decreases in lattice parameters suggest a phase transformation as the anatase lattice is being compressed during postannealing. This means that during annealing, the A–R phase undergoes tensile and compression strain, which results in lattice imperfections. The weight intensity ratio ($W_R$) of the A–R phase as a function of annealing temperature was calculated by relating the XRD integrated intensities using the Spurr equation:

\[
W_R = \frac{I_R(100)}{0.884I_A(101) + I_R(100)}, \quad \text{(4)}
\]

where $W_R$ is the weight fraction of rutile in the sample, and $I_A(101)$ and $I_R(100)$ are the integrated main peak intensities of anatase and rutile, respectively. The correction factor of 0.884 was
calculated using known mixtures of pure, finely crystalline anatase, and rutile. Figure 3 shows the A–R weight percentage (%) as a function of annealing temperature and the results show linear increases and decreases in R and A with increasing annealing temperature.

We propose that there might be a direct transformation from the A phase to the R phase, which is evident from RT. Khatun et al. obtained similar results, although they incorporated some dopants to promote the A–R transformation at RT. Choi et al. also obtained comparable results by evaluating the A–R transformation at RT after doping TiO₂. Therefore, a direct A–R transformation can be easily achieved at RT if a number of factors, including the preparation method and dopants are satisfied. Many researchers have observed similar types of transformation from the A phase to the R phase, although the transition was observed between 700 and 1300 °C. The results of this study suggest that the transformation occurs and the content of rutile starts to dominate between 400 and 700 °C.

3.2 Raman analysis

Raman spectra of the as-synthesized and annealed samples are presented in Fig. 4. It is known that the vibrational spectrum of anatase TiO₂ consists of six Raman active modes and that the major bands of the anatase phase are observed at 144, 197, 399, 513, 519 and 639 cm⁻¹, which are attributed to the symmetries of Eg, Eg, B₁g, A₁g, B₁g, and Eg, respectively. The rutile phase vibrational spectrum has four Raman active modes or major bands that are shown at 145, 240, 445, and 610 cm⁻¹, which correspond to the symmetries attributed to B₁g, the two-photon scattering or second-order effect, Eg, and A₁g, respectively.

Raman bands of the nanocrystalline TiO₂ are observed at 142.50 cm⁻¹ (Eg), 194.95 cm⁻¹ (Eg), 396.04 cm⁻¹ (B₁g), 514.94 cm⁻¹ (A₁g), and 638.25 cm⁻¹ (Eg) for the TiO₂ sample annealed at 400 °C. The most intense peak is observed at 142.50 cm⁻¹ (Eg) and is attributed to the interactions of Ti–Ti, which are covalent in nature. An increase in annealing temperature to 500 °C seems to promote the initiation of phase transformation to rutile. In addition, a high
The electron–phonon interaction caused by the increase in particle size as confirmed by literature could also be accountable for the observation in this study.\(^{(36)}\)

### 4. Morphological Analysis

#### 4.1 TEM studies

The TEM analysis was carried out to determine the growth orientation and crystallite distribution, and to confirm the average crystallite size distribution. Figure 5 shows TEM images of (a) as-prepared nanocrystals and samples annealed at temperatures of (b) 400, (c) 500, (d) 600, and (e) 700 °C. The nanocrystals are well distributed with average particle sizes of (a) 19.799 ± 2.030, (b) 24.976 ± 1.953, (c) 30.206 ± 4.694, (d) 31.690 ± 2.513, and (e) 38.685 ± 2.905 nm. The results suggest that the average particle size increased with the annealing temperature and formed uniform spherical structures. This confirmed the results of XRD and suggests that the material could be an ideal photocatalyst for accelerating the photodegradation of polymers.\(^{(42)}\)

#### 4.2 SAED analysis

Figure 6 shows SAED patterns of the (a) as-prepared TiO\(_2\) and samples annealed at temperatures of (b) 400, (c) 500, (d) 600, and (e) 700 °C, which correspond to both the A and R phases of TiO\(_2\).
Fig. 5. (Color online) TEM images of the hydrothermally synthesized nanocrystalline TiO$_2$ powders: (a) as-prepared and annealed at (b) 400, (c) 500, (d) 600, and (e) 700 °C.

Fig. 6. (Color online) SAED patterns of the hydrothermally synthesized nanocrystalline TiO$_2$ powders: (a) as-prepared TiO$_2$, and samples annealed at (b) 400, (c) 500, (d) 600, and (e) 700 °C.
The intensity of the diffraction rings clearly shows the crystallites of crystalline particles. The spot brightness increases with the annealing temperature; thus, improving the crystallinity of TiO$_2$ samples is considered to be important for improving the mechanical properties of polymers.\textsuperscript{(43)} The A and R diffraction peaks were determined using the d-spacing corresponding to each ring of the SAED pattern. The orderly allocation of the ring d-spacing measurements is attributed to the (101), (103), (004), (200), (105), (211), (204), (116), (220), and (215) planes for the A phase of TiO$_2$, whereas the R phase of TiO$_2$ occurs at the (200), (111), (210), (220), and (310) planes, which is consistent with the XRD, TEM, and Raman results.

\textbf{4.3 FTIR analysis}

Figure 7 shows the FTIR spectra of the as-prepared TiO$_2$ nanocrystalline powder and the powders annealed at various temperatures of 400, 500, 600, and 700 °C. The spectra were recorded in the 300–4000 cm$^{-1}$ wavelength range.

The slight dips at around 3650–3200 cm$^{-1}$ are attributed to the O–H group vibration modes due to the bending and stretching of the hydroxyl group and water adsorption on the surface. The 1753.48 cm$^{-1}$ band is attributed to the Ti–OH vibration mode or carbonyl group. The observed band with absorption around 1354 and 1368 (1400) cm$^{-1}$ is assigned to the Ti–O–Ti group vibration (CH$_2$) and indicates the presence of the TiO$_2$ anatase phase.\textsuperscript{(44)} The observed band around 1108 cm$^{-1}$ is attributed to a characteristic peak of rutile having a Ti–O–Ti bond.\textsuperscript{(45)} Furthermore, the band region at around 400 cm$^{-1}$ is attributed to the peak absorption of TiO$_2$ having an O–Ti–O bond, which indicates an anatase phase TiO$_2$ mode that is transverse. As the annealing temperature increases, the Ti–O–Ti band around 1103 cm$^{-1}$ becomes broad and the intensity increases, which can be attributed to the increase in the crystallinity of rutile as indicated by the XRD, Raman, SAED, and TEM results. This further indicates that the number of Ti–O–Ti vibrations also increases with the annealing temperature.\textsuperscript{(46)}

![Figure 7](image.png)

\textbf{Fig. 7.} (Color online) FTIR spectra of the hydrothermally synthesized nanocrystalline TiO$_2$ powders: as-prepared and annealed at various temperatures of 400, 500, 600, and 700 °C.
5. Thermal Analysis

5.1 Thermogravimetric analysis

Figure 8 shows the results of TGA analysis carried out to determine the mass loss versus temperature behavior of the nanocrystalline TiO$_2$ powders. The results suggest that the weight loss of nanocrystal TiO$_2$ occurs in different stages in the temperature ranges of around 31–114, 114–413, 413–600, and 600–700 °C. The first weight loss occurs at 31–114 °C, which is due to the desorption of water absorbed at the surfaces of the TiO$_2$ molecules. This is in agreement with FT-IR studies, which showed the presence of water molecules in the 3650–1753.48 cm$^{-1}$ region. The temperature range of 11–413 °C corresponds to the second weight loss, which is considered to be the loss of organic compounds known to contain oxygen and carbon.$^{(47)}$ The third weight loss in the temperature range of 413–600 °C is attributed to the transformation from the amorphous anatase phase to a hybrid crystalline phase and the onset of rutile.$^{(48)}$ However, in this study, the formation of rutile seems to gain momentum at 600 to 700 °C and above as confirmed by the results of XRD and Raman spectroscopy. For the samples annealed at temperatures above 400 °C, the slight mass decrease from 100 to 300 °C can be attributed to the gradual crystallization or slow phase transformation of the material, eventually with water loss due to the high temperature.

6. Conclusion

In this study, mixed A–R TiO$_2$ nanocrystals were successfully synthesized using the hydrothermal method. The effects of the annealing temperature on the structure, morphology and thermal stability of the mixed nanocrystal were investigated by XRD, Raman spectroscopy,
TEM, FTIR, and TGA. XRD confirmed the presence of both the anatase and rutile phases synthesized at RT. All the major vibrational modes identified by Raman spectroscopy were in agreement with the results of XRD analysis. The spherical nanoparticles with average particle sizes between 19.799 and 38.685 nm were observed by TEM. SAED confirmed the presence of well-defined TiO$_2$ nanocrystals. FTIR confirmed the presence of the functional groups and the structural change that TiO$_2$ undergoes as the nanocrystals are subjected to postannealing. From the results of TGA analysis, we verified the existence of four mass losses of the TiO$_2$ nanocrystals at 31–114, 114–413, 413–600, and 600–700 °C.

**Conflict of Interest**

The authors declare that they have no conflict of interest regarding the publication of this paper.

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