Thermoelectric Properties of Novel Semimetals: A Case Study of YbMnSb$_2$

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The emerging class of topological materials provides a platform to engineer exotic electronic structures for a variety of applications. As complex band structures and Fermi surfaces can directly benefit thermoelectric performance it is important to identify the role of featured topological bands in thermoelectrics particularly when there are coexisting classic regular bands. In this work, the contribution of Dirac bands to thermoelectric performance and their ability to concurrently achieve large thermopower and low resistivity in novel semimetals is investigated. By examining the YbMnSb$_2$ nodal line semimetal as an example, the Dirac bands appear to provide a low resistivity along the direction in which they are highly dispersive. Moreover, because of the regular-band-provided density of states, a large Seebeck coefficient over 160 $\mu$V K$^{-1}$ at 300 K is achieved in both directions, which is very high for a semimetal with high carrier concentration. The combined highly dispersive Dirac and regular bands lead to ten times increase in power factor, reaching a value of 2.1 mW m$^{-1}$ K$^{-2}$ at 300 K. The present work highlights the potential of such novel semimetals for unusual electronic transport properties and guides strategies towards high thermoelectric performance.

Band structure plays an essential role in determining the electrical transport properties of solids and thus lays the foundation of modern solid-state electronic devices, for example, solar cells and thermoelectric modules. One of the most significant reasons sparking the development of thermoelectrics is the many new strategies for the engineering of the electronic structure, which can be dated back to late 1990s and continues to date.[31] In the past decades, the distortion of the electronic density of states (DOS)[32] and the convergence of electronic bands[33] have significantly advanced the development of high-performance thermoelectric semiconductors suggesting complex band structures and particularly complex Fermi surfaces benefit thermoelectric performance.[34] In recent years, the discovery of topological materials has provided an abundance of exotic electronic structures, which provide new opportunities for exotic electronic structures that produce new functionalities such as unconventional thermoelectric performance.[5–7]

Since the first 3D topological insulators are discovered in Bi$_2$Te$_3$-based alloys,[38] the best room-temperature thermoelectric materials up to now,[9,10] the relationship between topological insulators and thermoelectric materials is highly attractive. They are found to share some same characteristics, for example, composed of heavy elements and narrow band gap.[11,12] This is because the strong spin–orbit coupling (SOC) induced by the heavy elements opens the gap between the inverted bands, forming the topological states, and also produces the complicated bulk bands benefiting the thermoelectric performance.[13,14] On the other hand, heavy elements lead to low thermal conductivity. However, the direct evidence for using the exotic surface states of topological insulators to enhance the thermoelectric performance, particularly for the 3D materials, has been rarely reported. The most important aspect of topological insulators lies in the usage of the topological surface states, or the edge states in 2D materials, to realize novel new physical effects, such as quantum spin Hall effect and quantum anomalous Hall effect.[15,16] In these cases, it is crucial to tune the Fermi level $E_F$ into the forbidden gap so that the topological
edge states can dominate the transport properties while keeping the bulk bands as insulating as possible. In contrast, good thermoelectric performance is generally obtained when the transport properties are dominated by the bulk electronic bands. Therefore, robust topological states in the bulk form have become new candidates in thermoelectric society.

The discoveries of novel topological semimetals with robust topological states, for instance, Dirac/Weyl semimetals, nodal line semimetals, have offered a new platform for the investigation of exotic transport properties. However, the usual characteristic of “semimetals” of having small thermopower and high bipolar thermal conductivity due to the conduction of two types of charge carriers make these materials be less explored in conventional thermoelectrics. Nevertheless, it can be a different case in these novel semimetals if the degenerate bands are highly dispersive and are accompanied with a much larger regular band. This would lead to a situation in which the major charge carriers are much more than the minor carrier carriers, making it possible to overcome the strong deterioration of thermoelectric performance from bipolar effect. Therefore, finding a novel semimetal without a strong bipolar effect is of great significance. It can not only shed light on the understanding of exotic electronic structures but also advances the exploration of novel topological semimetals which exhibit the coexistence of highly dispersive featured bands and classic regular bands. First, the carrier mobility. Second, the coexisting regular band which is usually much heavier than the highly dispersive topological carrier concentration, dispersive (light) bands with small are desired to achieve high mobility while flat (heavy) band with large is thought to have a large as schematically shown in Figure 1a. This suggests that by simply adopting an either small or large effective mass would hardly be satisfying for high thermoelectric performance.

To overcome this compromise, band engineering strategies have been put forward to improve the electrical performance of thermoelectric materials. As schematically illustrated in Figure 1b, one creative way is named as band convergence, in which more than one light band are aligned near the leading to a higher band degeneracy. Each light band acts as a “high speed way” for charge carriers and altogether provide a large through improving the number of electronic valleys favoring a large . This strategy has been widely implanted in many good thermoelectric materials, such as PbTe-alloys, Bi$_2$Sb$_1.5$Te$_3$, Mg$_2$(SiSn)$_3$, SnTe, etc. A common feature for most of these successful systems is that they contain a light, high mobility band at lower energy than the multi-valley bands that drives the thermoelectric performance. This may be due to the light band preventing localization of states in the heavy band.

Inspired by these band engineering strategies, we investigated the exotic thermoelectric transport properties of the novel semimetals which exhibit the coexistence of highly dispersive featured bands and classic regular bands. First, the highly dispersive featured bands can ensure a high charge carrier mobility. Second, the coexisting regular band which is usually much heavier than the highly dispersive topological band would provide a large DOS and thermopower. Herein it is worth noting that a linear band alone is unable to result in promising thermoelectric performance. Though linear bands can ensure excellent mobility, they have a very small contribution to thermopower. According to the Boltzmann transport description, is derived from the velocity and DOS of the electrons in the band structure, which are both proportional to the slope of the band. Mathematically this is well described by the Mott model which is valid for bands that are differentiable near the Fermi level.

\[
\alpha = \frac{\pi^2 k_B T}{3 e} \left( \frac{2\alpha v}{\tau + \frac{\partial g}{\partial E}} \right) |_{\nu = \nu_F}
\]
where $\nu$ is group velocity, $g$ is DOS, $\tau$ is scattering time. For a linear band, $\nu$ and $g$ are constants and so the thermopower depends only on the scattering. Under energy independent scattering mechanisms, the linear aspect of a linear band has actually little contribution to thermopower, which is also demonstrated experimentally and with calculations of Skutterudite CoSb$_2$.\cite{23} While it is worth noting that, for Dirac bands, if something unconventional (e.g., strong energy dependent scattering mechanism exists)\cite{27} happens, the thermopower can be larger than expected. Therefore, novel semimetals combining featured band and regular band would be an interesting platform to study the exotic thermoelectric transport properties, which can be promising for simultaneous achievements of high mobility and large thermopower.

Within the known novel semimetals, the combination of featured bands with regular bands is actually not uncommon. The interesting question arises as to which kind of configuration of the coexisting bands is most beneficial for thermoelectrics. In reality, the featured bands (bands with Dirac or Weyl points or nodal ring, etc.) can be highly dispersive, but can also be very flat (as shown in the upper panel in Figure 1c), and the relative positions between the featured bands and a regular band change from one compound to another. One desirable band structure of a novel semimetal for high thermoelectric performance is schematically illustrated in Figure 1c, where a highly dispersive band is coexisted with a regular band locating slightly below. This band configuration would not only benefit the simultaneous gain of $\mu$ and $|\sigma|$, but also make the concentration of major charge carriers much more than the minor ones. Both the regular band and the featured band contribute to the major charge carrier, while the minor charge carrier only originates from the extremely light featured band. From this point of view, novel semimetal differs from conventional semimetals by avoiding the equal conduction of two types of charge carriers, making them possible to be promising thermoelectric materials.

In practical experiments, how to identify the contribution of featured bands to the transport properties is another key challenge. Here, we propose to investigate novel semimetals with strongly anisotropic 2D Dirac bands and nearly isotropic 3D regular bands and see if they unveil any unconventional properties. Because of the different anisotropy, 2D Dirac bands and 3D regular bands contribute differently to the electrical transport properties along varied crystal directions. Therefore, by measuring the anisotropic electrical transport properties, the contribution of Dirac bands to the electrical transport properties can be clearly evidenced.

YbMnSb$_2$ has been found to be a novel semimetal with a coexistence of a regular hole pocket and 2D Dirac Fermi surfaces near the Fermi level.\cite{28} Most importantly, the 2D Dirac bands show strong anisotropy along different crystal directions while the 3D regular band has less anisotropy. Crystallized in a tetragonal lattice with a space group $P_{4/nnm}$ (Figure 2a), first-principle calculations (Figure 2b) denote a traditional regular hole pocket near $\Gamma$ point (as shown by “T”) and Dirac bands along the $\Gamma$-$M$, $\Gamma$-$X$ and $\Gamma$-$A$ directions (as marked by “D”). To understand the topological property of YbMnSb$_2$, symmetry and SOC effect are considered, and can be compared to CaFeAs$_2$, which has the similar crystal structure and has been well investigated. When with SOC, CaFeAs$_2$ is a $Z_2$ topological insulator due to band inversion at X point.\cite{29} However, since Sb1 atoms has no center-shift behavior as As1, band inversion would happen at both X and Y points because of $C_4$ rotational symmetry, making YbMnSb$_2$ to be a topological trivial insulator. Nevertheless, when without SOC, there would be a nodal ring existing in the $k_z = 0$ plane in YbMnSb$_2$. Notably, even if the SOC is included, the open gap in the nodal ring is less than 10 meV in the DFT calculation, since the spin-flip term $< p_x \sigma | H_{soc} | p_y >$ (in which $p_x$, $p_y$ are the orbitals of Sb1, $\sigma$, $| \sigma \rangle$ presents the spin up and down, and $H_{SOC}$ is the strength of SOC term) is fairly small due to crystal symmetries.\cite{30} In fact, such a tiny, undetectable opened gap would have little influence on the nodal line behavior. For example, ZrSiS is experimentally confirmed as a nodal line semimetal (the nodal line near Fermi level also exists in the $k_z = 0$ plane without SOC), while it shows $\approx 20$ meV opened gap in the DFT calculation when taking SOC into account.\cite{31} Compared with ZrSiS, the nodal line of YbMnSb$_2$ has much smaller gap in the DFT calculation with SOC, indicating that YbMnSb$_2$ also provides platform to investigate the nodal line behavior. In addition, a very small DOS is found near $E_F$, which in turn indicates its Dirac-like nature. Electrical transport properties would be contributed from both featured Dirac bands and the regular hole pocket, though the major charge carriers will be holes contributed by the regular band.

Anisotropic dispersion of both the Dirac bands and the regular hole pocket along the $ab$-plane and $c$-axis in YbMnSb$_2$ are shown in Figure 2c,d. The 2D Dirac bands are highly dispersive in the $k_xk_y$ ($ab$-plane) direction but present almost no dispersion in the $k_z$ ($c$-axis) direction. Differently, the regular hole pocket near $\Gamma$ shows a “torus-like” Fermi surface, indicating a larger $m^*_b$ in the $ab$-plane direction while a smaller $m^*_c$ in the $c$-axis direction. The differences in the band structure of YbMnSb$_2$ along the two directions could give rise to a strong anisotropy in the transport properties. For analyzing the dominated bands to the electrical transport properties, we can first assume a scenario where only one type of band contributes to the electrical transport properties. Since the $m^*_b$ of the regular hole pocket is smaller in the $c$-axis, lower resistivity is expected along the $c$-axis of the regular band dominates the charge carrier transport. On the contrary, the 2D Dirac bands indicate a very high $\mu$ and thus lower resistivity along the $ab$-plane, if the Dirac bands play a significant role in the electrical transport properties. Therefore, the fact how Dirac and regular bands contribute to the thermoelectric performance can be revealed by measuring the electrical transport properties along different directions.

The thermoelectric transport properties of YbMnSb$_2$ along the $ab$-plane and $c$-axis are shown in Figure 3. Noteworthy, the $ab$-plane direction displays one order of magnitude lower resistivity than the $c$-axis, suggesting that the highly dispersive 2D Dirac bands along the $ab$-plane have a great effect on enhancing the electrical conduction. Hall measurement indicates that the mobility along the $ab$-plane is more than ten times higher than that along the $c$-axis (Figure S1, Supporting Information) at 300 K, while the charge carrier concentration along the $ab$-plane and $c$-axis is approximately the same around $9 \times 10^{19}$ cm$^{-3}$, giving a direct evidence of the highly dispersive Dirac bands enhanced electrical transport. The thermopower along the $ab$-plane and $c$-axis exhibits much less anisotropy. At 300 K, a value of
≈160 µV K⁻¹ is obtained for both the ab-plane and c-axis, as the density of the states are mainly contributed by the regular hole pocket. The slightly higher Seebeck coefficient in the c-axis may stem from the weak contribution of the flat Dirac bands. Notably, the large value of Seebeck coefficient confirms that the major charge carriers are holes, and the holes have a much larger concentration than the minor electrons. Consequently, the ab-plane show much better electrical performance than the c-axis, benefit mainly from the highly dispersive Dirac bands guaranteeing a high mobility, and partly also the anisotropic crystal structure. It is worth noting that the power factor along the ab-plane direction is ten times higher than that of the c-axis, reaching a value over 2.1 mW m⁻¹ K⁻² at 300 K (Figure 3c).

To further demonstrate the potential of the unique band structure in YbMnSb₂ for thermoelectrics, we compare the thermopower (|α|) and power factor of YbMnSb₂ to other topological semimetals, including HgTe,[32] TaAs,[33] NbP,[34,35] Cd₃As₂,[36,37] ZrS₂,[38,39] Co₃Sn₂S₂,[40] as well as the good thermoelectric semiconductors, for example, Bi₂Te₃,[41] Mg₂Sb₂,[42] and Yb₁₄MnSb₁₁,[43] as shown in Figure 3d. Generally, topological semimetals show a smaller |α| than that of thermoelectric semiconductors. Nevertheless, YbMnSb₂ displays a surprisingly large |α|, which is one of the highest values among the present novel semimetals and comparable to that of good thermoelectric semiconductors. This is due to the unique configuration of the featured band and regular band, in which major charger carriers are much more than the minor charge carriers. Such a large thermopower along with low resistivity result in the high power factor over 2.1 mW m⁻¹ K⁻², which is even higher than the pure Bi₂Te₃[41] and Mg₂Sb₂[42] single crystals, based on which the optimized alloys show the best thermoelectric performance at around 300 K. Such an excellent power factor demonstrates the effectiveness of combing highly dispersive Dirac bands with regular bands (as schemed in Figure 1c) to significantly enhance the thermoelectric performance, as well as the potential of novel semimetals to be high performance thermoelectric materials.

An obvious anisotropy is also observed in thermal conductivity as well as the zT values, owing to the anisotropic crystal and band structure (Figure 3e,f). Interestingly, the lattice thermal conductivities of YbMnSb₂ single crystal are quite low, which is only ≈1 W m⁻¹ K⁻¹ at 300 K along the c-axis, being even lower than that of Bi₂Te₃. No significant bipolar thermal conductivity up to 300 K has been observed in both directions.
indicating the ignorable contribution of minor charge carriers below room temperature due to the unique band configuration. Consequently, benefit from the greatly enhanced power factor, a maximum $zT$ of $\approx 0.12$ at 300 K is obtained along the $ab$-plane, about three times higher than that of the $c$-axis, which is a good value for a novel semimetal single crystal without any purposeful optimization.

Further strategies, for example, using multiple phonon scattering to decrease the thermal conductivity,\[^{[44]}\] optimizing the carrier concentration by chemical doping,\[^{[45]}\] as well as applying magnetic field\[^{[46,47]}\] are promising to enhance the thermoelectric performance. Note that Zintl compounds are a big family composed of electropositive cations (typically, groups 1 and 2) and post-transition metal or metalloid (i.e., groups 13–16), varying from strictly defined semiconducting Zintl phase to metallic alloys.\[^{[48]}\] YbMnSb$_2$ is one member of the RMnPn$_2$ Zintl family, where R is a rare-earth or alkaline-earth metal and Pn is a pnictide (P, As, Sb, or Bi). There are more RMnPn$_2$ compounds, for example, YbMnBi$_2$,\[^{[49]}\] SrMnSb$_2$,\[^{[50]}\] SrMnBi$_2$,\[^{[51]}\] CaMnBi$_2$,\[^{[52]}\] and BaMnBi$_2$,\[^{[52]}\] as well as some other 1-1-2 type compound have been experimentally proved to exhibit a 2D Dirac Fermi surface. All these compounds have similar layered structure as YbMnSb$_2$, indicating intrinsically low lattice thermal conductivity. Therefore, the alloying of these compounds can reduce the thermal conductivity and probably also modulate the band structure, which would be advantageous for enhancing the thermoelectric performance.

In addition to the promising thermoelectric application, the Dirac nature and the intrinsically low thermal conductivity of YbMnSb$_2$ are further explored by measuring the specific heat. As shown in Figure 4a, a slight upturn of the specific heat is observed below 3 K under 0 T, which is probably due to the possible existence of magnetic impurities (which is almost unavoidable in Yb-based compounds). In order to eliminate the magnetic impurities contribution, specific heat is measured under 9 T, which shows throughout decreasing trend with decreasing temperature. According to the Debye model, specific heat can be presented by the following equation when $T \ll \Theta$:

$$C_p / T = \gamma + \frac{12\pi^4 N k_B}{5 (\Theta / T)^2} \left( \frac{1}{\Theta} \right)^N$$  \hspace{1cm} (2)

where $C_p$ is specific heat with a unit of J mol$^{-1}$ K$^{-1}$, $\gamma$ is the electronic specific heat, $N$ is the number of atoms per mole (which is 4$N_A$ for YbMnSb$_2$, $N_A$ is Avogadro constant), $k_B$ is the Boltzmann constant, $\Theta$ is the Debye temperature.\[^{[53]}\] The specific heat under 9 T is used to obtain $\gamma$ by linear fitting the $T^2$ dependence of $C_p / T$ at low temperatures ($<5$ K). As shown in Figure 4b, an extremely small $\gamma$ value clarifies a near-zero electronic specific heat, which proves the Dirac nature of YbMnSb$_2$ at low temperatures.

From the slope of the $C_p / T$–$T^2$ curve (Figure 4b), a Debye temperature of $\Theta = 204$ K is obtained. Then the average sound velocity $v_s$ can be obtained by

$$k_B \Theta = h \left( \frac{6\pi^2 N V_{\text{atom}}}{V_{\text{atom}}} \right)^{1/3} v_s$$  \hspace{1cm} (3)

where $h$ is the reduced Planck constant and $V_{\text{atom}}$ is the average volume per atom.\[^{[53]}\] The resolved value of average sound velocity is 1990 m s$^{-1}$, which is even lower than the
state-of-the-art Bi$_2$Te$_3$ thermoelectric material which has $v_0$ of 2147 and 2070 m s$^{-1}$, along the $ab$-plane and the $c$-axis, respectively.$^{[54]}$ This low value of sound velocity, which is generally related to the weak chemical bonding, is one of the reasons leading to the intrinsically low lattice thermal conductivity of YbMnSb$_2$.

In conclusion, single crystals of the nodal line semimetal YbMnSb$_2$ are tested to examine their unusual thermoelectric properties. For a material expected to be a semimetal, it exhibits a high Seebeck coefficient, low resistivity, and low thermal conductivity. The Dirac band likely plays a significant role in the material having a low resistivity. Simultaneously a regular band appears to participate in the transport properties leading to a transport behavior like that of a heavily doped, small band gap semiconductor. Hence a large Seebeck coefficient with no obvious bipolar thermal conductivity up to 300 K is observed. As a result, a room temperature $zT$ value of 0.12 is achieved in the $ab$-plane, with a 300% enhancement compared to the $c$-axis where Dirac band is nearly non-dispersive. The present work demonstrate that novel semimetals can be promising thermoelectric materials. Further $zT$ enhancement is possible by reducing thermal conductivity, as well as alloying with other Zintl phases to engineer the band structure.

Experimental Section

*Ab Initio Calculations*: The structure was fully optimized based on the experimental data.$^{[28]}$ until the force on each atom was smaller than 0.01 eV Å$^{-1}$. Spin-polarized density functional calculations were performed by using Vienna ab initio Simulation Package.$^{[35,56]}$ The projector augmented wave method was used.$^{[57]}$ The valence electrons for Yb are 5s, 5p, 4f, and 6s; for Mn are 3d and 4s; and for Sb are 5s and 5p. The PBE GGA functional$^{[58]}$ was employed, and a Hubbard U correction was used for Yb 4f electrons with $U_{eff} = 4$eV ($U_{eff} = 4$eV on Yb 4f states reproduced the experimental photoemission results best).$^{[28]}$ Note that the different $U_{eff}$ values on Yb 4f states do not affect the conclusions significantly since the Yb 4f states are far away from the Fermi energy, and the bands near the Fermi energy are mainly p$_y$ and p$_x$ orbitals of Sb$_1$ (Figure S7, Supporting Information). The plane-wave function basis was used to expand the wave functions, and the energy cutoff was 400 eV. A $10 \times 10 \times 4$ Monkhorst–Pack k mesh$^{[59]}$ was generated for the self-consistent calculations, a $16 \times 16 \times 10$ one for density-of-state calculation, and a $50 \times 50 \times 50$ one for Fermi surface calculations. An antiferromagnetic order was employed with the two Mn ions in the unit cell host opposite spin directions.

*Samples Preparation*: YbMnSb$_2$ single crystals were grown by a self-flux method with Sb flux.$^{[60]}$ Starting elements Yb (cut into small pieces, 99.99%), Mn (piece, 99.98%), and Sb (shot, 99.999%) were weighed and mixed with a molar ratio of Yb:Mn:Sb = 1:1:4. The mixtures were then transported in an alumina crucible, and then sealed in a quartz tube under partial argon pressure. The sealed tube was first heated up to 1050 °C in 3 days, kept for 48 h, and then slowly cooled down to 700 °C with a rate of 2 °C h$^{-1}$. Single crystals were obtained after a centrifuging process.

*Samples Characterization*: Single crystallinity and orientation of the as-grown crystals were determined by white-beam backscattering Laue X-ray diffraction (XRD) method (Figure S2, Supporting Information). Phase purity was evaluated by powder XRD (Figure S3, Supporting Information). Composition and homogeneity were examined by scanning electron microscopy (Philips XL30) with Oxford energy-dispersive X-ray (EDX, Quantax, Bruker) apparatus (Figure S4, Supporting Information). Transport Properties Measurement: Resistivities ($\rho$) and Hall resistivities ($\rho_H$) were measured simultaneously using a PPMS-9T instrument (Quantum Design) in ACT mode via a standard four-probe method. All measured data were field-symmetrized and antisymmetrized to correct for the contact misalignment. Hall carrier concentration and mobility were obtained from $n_H = 1/eR_H$, and $\mu_H = R_H/\rho$, where $R_H$ is Hall coefficient. Thermal conductivities ($\kappa$) were measured in a home-built high vacuum cryostat by adopting the standard four-contact steady state method (Figure S5, Supporting Information). Seebeck coefficient ($\alpha$) was measured using PPMS with one-heater two-thermometer configuration under high vacuum. The magnetization measurement (Figure S6, Supporting Information) was carried out using a Quantum Design MPMS3 instrument.

Supporting Information

Supporting Information is available from the Wiley Online Library or from the author.

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Conflict of Interest

The authors declare no conflict of interest.

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