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The effect of spatial resolution on optical and near-IR studies of stellar clusters: Implications for the origin of the red excess

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ABSTRACT
Recent ground based near-IR studies of stellar clusters in nearby galaxies have suggested that young clusters remain embedded for $7 - 10$ Myr in their progenitor molecular cloud, in conflict with optical based studies which find that clusters are exposed after $1 - 3$ Myr. Here, we investigate the role that spatial resolution plays in this apparent conflict. We use a recent catalogue of young ($< 10$ Myr) massive ($> 5000 M_\odot$) clusters in the nearby spiral galaxy, M83, along with Hubble Space Telescope (HST) imaging in the optical and near-IR, and ground based near-IR imaging, to see how the colours (and hence estimated properties such as age and extinction) are affected by the aperture size employed, in order to simulate studies of differing resolution. We find that the near-IR is heavily affected by the resolution, and when aperture sizes $> 40$ pc are used, all young/blue clusters move red-ward in colour space, which results in their appearance as heavily extincted clusters. However, this is due to contamination from nearby sources and nebular emission, and is not an extinction effect. Optical colours are much less affected by resolution. Due to the larger affect of contamination in the near-IR, we find that, in some cases, clusters will appear to show near-IR excess when large ($> 20$ pc) apertures are used. Our results explain why few young ($< 6$ Myr), low extinction ($A_V < 1$ mag) clusters have been found in recent ground based near-IR studies of cluster populations, while many such clusters have been found in higher resolution HST based studies. Additionally, resolution effects appear to (at least partially) explain the origin of the near-IR excess that has been found in a number of extragalactic YMCs.

Key words:

1 INTRODUCTION
Due to the lower-extinction, as well as the near-IR focus of the next generation of ground and space based telescopes, the near-IR holds tremendous potential for stellar population studies. While simple stellar population models predict that the colours of evolving stellar clusters do not vary strongly after $8 - 10$ Myr, the near-IR offers the opportunity to pick out highly embedded clusters, both through their stellar continuum as well as their nebular line emission (e.g., Br\textgreek{amma}). For example, mid-IR surveys such as the Spitzer Glimpse Survey (Churchwell et al. 2009) allowed the discovery of two (and subsequently many more) highly extincted massive young clusters located near the end of the Galactic bar (Figer et al. 2006; Davies et al. 2007).

Grosbol & Dottori (2012 - hereafter GD12) have used near-IR imaging of a sample of relatively nearby (10 – 25 Mpc) spiral galaxies in order to study their cluster pop-
cluster complexes. In comparison, HST WFC3 Imaging

2.1 HST WFC3 Imaging

For the present work we use archival HST/WFC3 images from programme IDs 11360 (PI O’Connell) and 12513 (PI Blair). The dataset consists of imaging with the F336W, F438W, F547M, F657N, F814W, F110W, and F160W filters. We will refer to these filters as U, B, V, H, and I-band magnitudes with simple stellar population models. We refer to the above article for more information on the cluster sample. We compliment this study with ground based VLT-HAWK-I J, H, K-band images, that allow us to perform photometry with apertures of 11 to 87 pc, in order to see how the magnitudes and colours change as a function of aperture size and wavelength.

This paper is organised as follows. In §2 we present the data and techniques used and in §3 we show our main results. The implications of our findings are discussed in §4.

2 OBSERVATIONS AND TECHNIQUES

2.1 HST WFC3 Imaging

For the present work we use archival HST/WFC3 images from programme IDs 11360 (PI O’Connell) and 12513 (PI Blair). The dataset consists of imaging with the F336W, F438W, F547M, F657N, F814W, F110W, and F160W filters. We will refer to these filters as U, B, V, H, and I, respectively, although no transformations were applied. For one of the fields (Field 1) the F555W filter was used instead of the F547M filter. The F110W filter images are only available for Fields 1 and 2. Further details on the data used are given in a future work, Silva-Villa et al. (2014).

2.2 Cluster catalogue

In order to test the effects of resolution on cluster studies, we use the catalogue of clusters and associations from Silva-Villa et al. (2014). In that work, clusters were identified on seven V-band WFC3 HST pointings that cover a large fraction of the optical extent of the galaxy. Based on visual inspection, sources were labelled as either class 1 (likely
clusters, centrally concentrated, resolved objects) or class 2 (likely associations, multiple centres, highly elongated). Ages, masses and extinctions were estimated through a comparison of each sources U, B, V, Ho and I-band magnitudes with simple stellar population models (e.g., Adamo et al. 2010a). In the present work, we use the Silva-Villa et al. catalogue and select class 1 and 2 sources with ages $\lesssim 10$ Myr and masses $> 5000M_\odot$. The mass cut was applied in order to limit the effects of stochasticity of the stellar IMF in the broad band properties of the sources (e.g., Barbaro & Bertelli 1977; Fouesneau & Lançon 2010; Silva-Villa & Larsen 2011; de Meulenaer et al. 2013).

### 2.3 VLT HAWK-I Imaging

VLT/HAWK-I covers a field of view of 8′ × 8′ with a pixel scale of 0.104″, using a mosaic of 4 HAWAII-2 detector arrays. In order to cover M83, a 5′ wide dither pattern was chosen. Hence the central 4′ – 5′ of M83 received 4 times more integration time than its outskirts. Data were taken over 11 nights between 2009-01-02 and 2009-03-25 (ESO program ID 382-D-0181; PI: Gieles). Blank sky fields were interspersed for background subtraction.

The source density of common astrometric reference catalogs in this area is insufficient for full distortion correction and image registration. We thus worked with a secondary reference catalog from archival R-band data taken in good seeing with the Wide Field Imager at the 2.2m MPG/ESO telescope (program ID 69.C-0426; PI: Alves). A common astrometric solution was derived for all HAWK-I exposures, with an internal uncertainty of $\sim 1/5$th pixel.

Background subtraction with separate blank fields removed most of the sky signal. However, small non-zero offsets remain in the individual exposures. These were corrected for by measuring a constant sky value in a blank data area of one of the 4 chips of the M83 pointings. All data reduction steps were done with THELI (see Schirmer 2013 for details, and Erben et al. 2005).

The images were calibrated off of 2MASS (Skrutskie et al. 2006) photometry, using $\sim 10$ bright and isolated sources per filter, per pointing. Aperture photometry for the $\sim 10$ sources (per image/filter) were carried out with apertures of 5, 10, 20 and 40 pixels. These sources consisted of a mixture of stars and isolated clusters (i.e., resolved in HST images). Due to the seeing, all sources appeared point-like and no difference were observed in the zero point derived from the clusters or stars, even for the smallest apertures (5 and 10 pixels). The zero points for the 20 and 40 pixel apertures were derived simply by comparing the observed magnitudes with the 2MASS magnitudes.

For the zero points for the smaller apertures (5 and 10 pixels) we also needed to correct for differences in the seeing for the different filters/images (see Table 1). For this, we used the additional information on the extent of the spatial profile (i.e., the seeing during that observation), by looking at the magnitude difference between a 2 and 5 pixel aperture. As above, we adopted the magnitude of the sources from 2MASS as the standard.

The final magnitude for the 5 pixel aperture was then calculated as follows:

$$\text{Mag}_{5,\text{final}} = \text{Mag}_{5,\text{observed}} + \text{Mag}_{5,\text{correction}} + c_1 + c_2,$$

where

$$\text{Mag}_{5,\text{correction}} = c_1 \times (\text{Mag}_{5,\text{observed}} - \text{Mag}_{2,\text{observed}}) + c_2,$$

and $c_1$ and $c_2$ are constants derived from all of the 2MASS sources used for calibration (40 isolated sources). The same approach was used for the 10 pixel apertures.

Using this method, the standard deviation of the final adopted magnitude, relative to the 2MASS magnitude, was $\sim 0.06$ mag for each filter and aperture size.

### 3 RESULTS

#### 3.1 Near-IR colours

Figure 1 shows the $H-K$ vs. $J-H$ colours of our cluster sample for different aperture sizes. In each panel, the 11 pc aperture is shown as filled black circles, and a larger aperture is shown with filled red triangles, and the two points are connected with solid (blue) lines in order to see how each cluster is affected by the aperture size. Additionally, in each panel we highlight the clusters with blue near-IR colours with open circles. These are the young, relatively low extinction clusters (some examples are shown in Fig. 4). Note how these blue clusters move to redder colours as larger apertures are used. The dashed lines (with diamonds) show the Yggdrasil simple stellar population models (SSP) (Zackrisson et al. 2011), from 1 to 10 Myr (from low to high $H-K$ colour) for solar metallicity. Two models are shown, one including nebular (continuum and line) emission (the redder model in $H-K$) and one of pure stellar continuum. Additionally, in Fig. 2 we show the same as in the bottom panel of Fig. 1, however only including the clusters with blue near-IR colours (circled). The red-ward trend is clearer in this representation.

For the blue clusters in the 11 pc aperture (those circled), note how they move to the red as larger apertures are used. For aperture sizes of 44 pc, nearly all of them have moved to the “red cloud”, centred at $H-K = 0.5$ and $J-H = 0.6$. Hence, studies that use apertures of this size would not be expected to find blue clusters.

False composite colour images of a sample of clusters and their surroundings are shown in Fig. 3. These clusters were chosen to have blue near-IR colours (when small apertures were used), and also to display a range in Ho morphology. Such morphology will be discussed in more detail in Hollyhead et al. (in prep.). In the upper panel we show J, H, K-band HAWK-I colour composites, whereas in the middle panels HST/WFC3 B, V, and Ho composites are shown. For the near-IR composites, we note that most clusters have...
a source within 44 pc with a brightness that is similar to, or higher than, the central cluster.

We note that a $\sim 23$ pc radius is the smallest aperture used in the GD12 study. This explains why young clusters in their survey (those with Br$\gamma$ emission) have red colours. It is not due to extinction, but rather contamination from nearby sources that are bright in the near-IR as well as the nebular emission from the ionised shell (that is often seen around young clusters with sizes between 10 – 50 pc - Hollyhead et al. in prep.).

Additionally, we explored the effect of adopting different annuli surrounding the clusters in order to subtract the background flux. For older clusters (> 10 Myr) the measured colours and magnitudes were not strongly affected by the size of the annuli. For younger (< 10 Myr) clusters we found the following results. As expected, the measured magnitudes and colours displayed the least scatter when small annuli (< 20 pc) were used. For annuli larger than 100 pc we also found that the magnitudes and colours displayed small scatter (i.e. differences between using annuli of 100 and 150 pc). For intermediate annuli (20 – 100 pc) the scatter was the largest. The reason for this is that young clusters tend not to be born in isolation, but rather often have surrounding clusters and/or young field populations around them (e.g., Bastian et al. 2005). Hence, whether or not a bright nearby source (or a number of them) enter the background annuli can have a significant effect on the measured colours and magnitudes.

While the main goal of the present work is to explore the effect that resolution plays in the study of young clusters, we also investigated the effect on older clusters. To do this, we carried out similar tests on a sample of clusters with ages between 20 and 200 Myr, taken from the Bastian et al. (2012) sample. We found that for these clusters the near-IR (and optical) colours were not dependent on the aperture size used. The reason for this is that these clusters are relatively isolated, and are no longer associated with their highly structured natal star-forming region. These star-forming regions dissolve into the field on $\sim 10$ Myr timescales (e.g., Gieles & Portegies Zwart 2011), so finding an older cluster (> 20 Myr) near a younger (< 10 Myr) cluster is much rarer than finding a young cluster (< 6 Myr) near a slightly older cluster (6 – 10 Myr). Hence, we conclude that the young (< 10 Myr) regions will be much more affected by the spatial resolution than older clusters (> 20 Myr).

### 3.2 Optical colours

We carried out a similar experiment in the optical and show the results in Fig. 1. Here we only show the results for the 11 and 87 radius apertures, as even at these extremes, it is clear that the effect of resolution is much less in the optical than in the near-IR. Additionally, we are only showing those clusters which appear blue in the 11 pc aperture near-IR colour-colour plot (i.e., those circled in the top panel Fig. 1). The youngest, non-extincted clusters (< 6 Myr, $V - I = 0.1$, $U - B = -1.3$) are moved to redder colours, but only by a relatively small amount, meaning that they would still be found as young, simply with a slightly higher extinction. Clusters with relatively high extinction ($A_V > 1 - 2$ mag) and young ages become bluer when larger apertures are used. However, this is only a modest change, so their position in

![Figure 1. The effect of aperture size on the resulting near-IR colours of young (≤ 10 Myr) clusters. The black dots show the colour of clusters when a ∼ 11 pc aperture is used. In the upper panel we highlight blue clusters with open circles. Red dots represent the same clusters but now measured with larger apertures, connected by solid (blue lines). Note that the blue clusters become redder and join the main (i.e. the “red cloud”) locus of points as larger apertures are used.](image-url)
Figure 3. False colour composite images of a sample of clusters used in the current work. Upper panels: HAWK-I J, H, K-band composites. Middle panels: HST WFC3 B, V, Hα-band composites. The apertures used (11, 22, 44, 87 pc) are shown. In most of the near-IR images, a source with a brightness similar to or larger than the central source is contained within the 44 pc aperture. However, in the optical images, the central source often remains the dominant source even within the 87 pc aperture. Lower panels: The HST based (including J and H-band) spectral energy distribution for the five sources above for different aperture sizes, each has been normalised to the flux in the V-band. Note that for larger aperture sizes, the flux in Hα increases strongly, due to picking up the emission outside the hole that has formed around the central massive cluster. Also, note that all clusters display significant near-IR (beginning in the I-band) excess as larger apertures are used, while the optical/UV magnitudes remain largely unchanged.

Figure 2. The same as the bottom panel of Fig. 2 except here, for clarity, only clusters with blue colours (when a ~ 11 pc aperture is used), are shown.

colour-colour space would still lead to the same conclusion, i.e. that they are young clusters with high extinction.

In order to quantify this, we fit all the clusters shown in Fig. 4 to SSP models using the method discussed in Adami et al. (2010a,b,2011a,b; see also § 3.4) to estimate the age and extinction of each cluster, using only the optical photometry measured in 11 and 87 pc apertures. The median age difference between the two fits was 0.08 dex (and a standard deviation of 0.2 dex) and the median difference in A_V of 0.2 mag (and a standard deviation of 0.25 mag). By using the larger apertures, the mass of the cluster was over-estimated by 0.8 dex, highlighting the significant amount of flux coming from nearby sources when studying young (< 10 Myr) clusters. Hence, we conclude that the estimated age and extinction of the clusters, based on optical and UV photometry, are not strongly affected by aperture size.

The use of larger apertures does result in (at least) one clear change in the optical spectral energy distribution (SED), namely the strength of emission lines. As seen in the middle panels of Fig. 3 the young clusters often clear out a hole in the surrounding ISM, due to the combination of stellar winds, SNe, and their ionising flux. These combine to
drive an ionised shell into the surrounding ISM. When the apertures cover the ionised ring (the edge, in projection, of the 3D shell) the amount of flux in emission lines goes up drastically.

3.3 The origin of the infrared excess

As discussed above, the near-IR colours and magnitudes are found to be more strongly affected by neighbouring sources and nebular emission than optical colours. This could explain (at least partially) the origin of the near-IR flux excess (relative to SSP models with or without the inclusion of nebular emission) that has been found in a number of studies of young extragalactic clusters (e.g., Reines et al. 2008, Adamo et al. 2011a). As seen in the bottom panels of Fig. 4, all the clusters begin to display significant amounts of near-IR excess when apertures greater than 20 pc are used. We note that the excess near-IR emission found by Reines et al. (2008) is largely due to nebular emission (Reines et al. 2010), whereas Adamo et al. (2010, 2011a,b) used models that included nebular emission, suggesting that the excess near-IR found in those studies has a different origin.

In Fig. 4 we investigate the cause of this by looking at the predicted flux in different bands as a function of age for a simple stellar population (we use the Yggdrasil SSP models for solar metallicity, and without nebular emission). The models are normalised to the flux at an age of 3 Myr. After $\sim 5 - 6$ Myr, when the RSGs begin to appear, we see a pronounced increase in the flux in the I, J, H, and K filters, while the U, B and V fluxes drop. Hence, in the case of a young cluster, aged $\sim 3$ Myr (the primary population), which has neighbouring source of similar mass with an older age $5 - 30$ Myr (the secondary population), the combined flux will be dominated by the primary population in the optical and the secondary population in the near-IR.

In addition to contamination from neighbouring stellar sources, another potential source of the infrared excess is the contribution from the nebular emission, including both the line and continuum (e.g., Reines et al. 2010). As young clusters emerge from their natal cocoon, their collective stellar winds, SNe, and ionising flux drive a shell of ionised material away from the cluster (see examples shown in Fig. 4 and also Whitmore et al. 2011). While the cluster is still partially embedded, it should show the red-excess phenomenon (relative to SSP models that do not include nebular emission) even with small apertures. As the shell expands, the nebular emission will drop in small apertures. However, as the aperture increases the contribution of the nebular can increase rapidly as the ionised shell enters the aperture. Hence, nebular emission also moves the clusters from blue near-IR colours to the “red cloud” observed in Figure 1.

A useful comparison can be made with the 30 Doradus region in the LMC. In addition to the massive ($\sim 6 \times 10^4 M_\odot$) young ($2 - 3$ Myr) cluster, R136 (e.g., Portegies Zwart et al. 2010), that powers the majority of the surrounding HII region, a number of older clusters (and stellar populations in the field) are nearby. One of these clusters is Hodge 301, a $\sim 20 - 25$ Myr, $\sim 5000 M_\odot$ cluster located $\sim 40$ pc away from R136 in projection, which contains a number of red supergiants (Grebel & Chu 2000). Based on the models shown in Fig. 4, Hodge 301 would be expected to contribute 50% as much flux as R136 in the K-band, and around 1% as much in the U-band. Additionally, the 30 Doradus region is known to host stellar populations (both between clusters and within the field) with significant age differences (tens of Myr - e.g., Walborn & Blades 1997). These populations would contribute significantly to the flux of R136 if apertures greater than $\sim 20$ pc were used. The above example highlights the role that other nearby clusters may have on the estimated properties of a massive clusters if a large aperture is used, however, a substantial field population (e.g., individual RSGs or AGBs) would have the same result. Efremov & Elmegreen (1998) have shown that the age spread present in a region scales with the size of the region under study, so larger apertures will naturally sample larger age spreads within a region.

Most studies that have found the near-IR flux excess are based on distant galaxies, where large (physical) apertures are required due to resolution restrictions (e.g., Reines et al. 2008, Adamo et al. 2011b who used apertures of $\sim 40$ and $\sim 35$ pc, respectively). Based on the results presented here, it appears that the basic properties of the clusters (which are estimated largely from optical colours) such as age and extinction are likely to be representative of the dominant cluster. However, the near-IR integrated flux may be contaminated by neighbouring sources and nebular emission. This excess flux accounts for some fraction of the observed near-IR excess, the exact amount will depend on the surroundings of the clusters. In a future work, we will directly address the source of the NIR excess using high-spatial resolution VLT/SINFONI near-IR integral field spectroscopy of very young star clusters in M83 and Haro 11 (Adamo et al., in prep.). However, of the five clusters shown in Fig. 4, three display strong Hα emission and the red excess phenomenon when large apertures are used.

3.4 SED modelling

We have carried out SED fitting for the five clusters shown in Fig. 3 using the same method and SSP models (Yggdrasil, © 2014 RAS, MNRAS 000, 18)
Figure 5. Predictions from the Yggdrasil SSP models of the relative flux (normalised to the flux at an age of 3 Myr) in different bands as a function of age. Note that the flux in the near-IR (JHK) increases strongly after ~5 Myr, whereas the optical (UBV) drops. Hence, if an older cluster (or stellar population) is within a given aperture, its affect will be much stronger in the near-IR than the optical (i.e., for a similar mass the second cluster will dominate the near-IR while the first cluster will dominate the optical).

4 DISCUSSION AND CONCLUSIONS

We find that the apparent contradiction between the properties (age/extinction) of young cluster populations in the near-IR and optical reported in the literature (with near-IR studies finding that clusters remain highly extincted for ~7 Myr) is largely due to the aperture size and resolution of the studies. Each of the YMCs used in the current study have neighbouring sources and nebular emission that are bright in the near-IR, which significantly alters the integrated colours. Since clusters are largely colourless in the near-IR after ~7 Myr (e.g., Gazak et al. 2013), clusters that are blue quickly become redder as larger apertures are used (due to neighbouring contamination), ending up in the “red cloud” in the near-IR colour space. Hence, when large apertures are used, clusters that are young (i.e., have clear Brγ associated with them) will appear red, and will be assigned high extinction values.

On the other hand, optical studies do not appear to be as heavily affected by resolution. This is due to two effects. The first is that the natural extent of cluster colours in the optical is larger, ranging ~1.5 mag in the $U - B$ colour, relative to ~0.5 mag in $J - H$ or $H - K$. Secondly, contamination from nearby (older) sources is much stronger in the near-IR than in the optical (Fig. 4). This contamination forces the measured integrated colours (of the cluster and surroundings) to the “red cloud” of points. This in turn leads to erroneous inferences about the extinction (and possibly age, although this is usually determined by the presence/absence of emission line flux) of these clusters. Hence, unless high resolution (< 20 pc) apertures can be employed, it is not possible to infer the age or extinction distributions of clusters with broadband photometry in the near-IR, potentially invalidating recent results based on larger apertures (45 – 100 pc - e.g., Grosbol & Dottori 2012, 2013). In gen-

...
eral, using aperture sizes closest to the size of the clusters (radii of 5 – 10 pc) leads to the best results.

Contamination from nearby sources has a larger effect on near-IR than optical colours. For young clusters, we found that using aperture sizes between 11 and 87 pc did not fundamentally alter the optical colours, and hence the estimated properties, of YMCs. This is in agreement with previous photometric studies of clusters from the ground and HST, that found consistent results (e.g., Larsen 2002).

By studying how the spectral energy distribution of clusters change as a function of aperture size, we found that larger apertures result in a significant near-IR flux excess in some young clusters. This is caused by the contribution of neighbouring sources as well as the nebular emission from nearby (often related) ionised gas which is stronger at redder wavelengths (e.g., Reines et al. 2010; Adamo et al. 2010a). Models that include nebular emission can explain some of this excess near-IR emission, however, for some clusters the models cannot account for the additional flux. It is in these sources that nearby stellar contamination in the near-IR is dominant.

Finally, we note that increasing the aperture size significantly affects the amount of nebular line emission found for the clusters (see the bottom panel in Fig. 3). This is due to the fact that young clusters have cleared out a large amount of the (ionised and neutral) gas/dust around them, leaving a shell surrounding the cluster, the size of which should depend on the age and mass of the cluster and well as the density of the surrounding ISM. When larger apertures are used, more of the shell is included, leading to larger amounts of ionised gas in the aperture, and strong nebular emission.

In a future work (Adamo et al. in prep.) we will use near-IR integral field spectroscopy of many of the regions presented here, to quantify the amount of the “red excess” that is due to ionised gas and stellar contamination. Additionally, in Hollyhead et al. (in prep.), we will explore the contribution of including emission lines (e.g., Hα) in the SED fitting, in particular in cases where the clusters have driven large ionised bubbles outside the size of the aperture.

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