Synthesis of nanostructures in nanowires using sequential catalyst reactions

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Nanowire growth by the vapour–liquid–solid (VLS) process enables a high level of control over nanowire composition, diameter, growth direction, branching and kinking, periodic twinning, and crystal structure. The tremendous impact of VLS-grown nanowires is due to this structural versatility, generating applications ranging from solid-state lighting and single-photon sources to thermoelectric devices. Here, we show that the morphology of these nanostructures can be further tailored by using the liquid droplets that catalyse nanowire growth as a ‘mixing bowl’, in which growth materials are sequentially supplied to nucleate new phases. Growing within the liquid, these phases adopt the shape of faceted nanocrystals that are then incorporated into the nanowires by further growth. We demonstrate this concept by epitaxially incorporating metal silicide nanocrystals into Si nanowires with defect-free interfaces, and discuss how this process can be generalized to create complex nanowire-based heterostructures.

The ability to control the fabrication of materials at sub-100 nm dimensions is crucial to tailoring properties and functionalities. The technologies this opens up are highlighted by the evolution of electronic and photonic devices over recent decades. Bottom-up, or self-assembly, approaches to nanofabrication are particularly important because of the demands for increasing diversity of materials, greater structural complexity, and strict requirements for control of the interfaces between the component materials. In this context, the vapour–liquid–solid (VLS) growth mechanism1–4 has been extensively explored. VLS growth is versatile, enabling a high level of control over nanowire composition to form axial and radial nanowire heterostructures, as well as control over nanowire diameter, growth direction, branching and kinking, periodic twinning, and crystal structure5–16. Each type of nanowire morphology leads to new applications, with examples including heterostructures with precisely defined surface facets for solid-state lighting16–19; crystal phase quantum dots, proposed for single-photon sources18; and periodically twinned nanowires for thermoelectric devices19. Here, we report an expansion of the catalytic VLS growth concept which opens an additional pathway to tailor complex nano-heterostructures. We supply growth materials sequentially to the liquid droplets that catalyse nanowire growth. This nucleates new phases that form as nanocrystals and can be incorporated into the nanowires with epitaxially perfect interfaces. We use in situ transmission electron microscopy (TEM) to directly record each step of this ‘sequential catalyst reaction’ process. We illustrate the concept by forming metal silicide endotaxial nano-inclusions in Si nanowires, and assess its generality and flexibility for creating more complex nanostructured nanowires.

Figure 1 shows an overview of the process by which NiSi2 nanocrystals are formed and incorporated into Si nanowires. We carry out the reaction sequence in an ultrahigh vacuum transmission electron microscope (UHV-TEM; ref. 20) to provide a controlled growth environment (see Methods). Si (111) nanowires, 20–50 nm in radius and 100–150 nm in length, are synthesized using Au as the catalytic metal and disilane (Si2H6) as the precursor gas. After cooling (still under UHV), ~1 nm Ni is deposited by evaporation. The sample is then annealed in situ for 30 min at 200 °C in an O2 pressure of 1×10−5 torr. This oxidation step forms a layer of SiO2 on the nanowire sidewalls21 (Supplementary Fig. 1), enabling selective introduction of Ni into the catalyst without sidewall reactions. A similar outcome will be described below that makes use of a metal–organic precursor such as nickelocene that incorporates Ni selectively into the droplet without requiring oxidation.

After this oxidation step, the temperature is set to 350 °C, a value just below the Au–Si eutectic temperature, and 1×10−5 torr Si2H6 is introduced (Fig. 1a). The nanowires are slowly heated to 500 °C at ~10 °C min−1. As the temperature passes the eutectic point, the liquid Au–Si droplet reforms (Fig. 1b) as the solid Au abruptly reacts with Si in the nanowire. (The liquid composition depends on conditions, with x ≈ 0.8 at the eutectic point.) Simultaneously Ni and Si react. These reactions consume part of the nanowire (Fig. 1b). The outcome of the Ni–Si reaction is surprising. Inside the droplet, bright contrast appears instantaneously as the catalyst becomes liquid, revealing the presence of a solid inclusion. This solid may be a single octahedral nanocrystal, or smaller nanocrystals (as in Fig. 1b) that coalesce into a single octahedral NiSi2 nanocrystal as the temperature continues to increase (Fig. 1c). Note that the NiSi2 phase forms in the liquid rather than at the nanowire growth front. Once formed, its volume remains constant but it moves randomly within the liquid droplet (Supplementary Movie 1). Eventually, it ‘docks’ onto the nanowire (Fig. 1d), touching the nanowire growth interface and forming a defect-free, epitaxial connection (Fig. 1i); note the excellent registry (~0.4% mismatch) between Si and NiSi2 lattices. During this time, the nanowire continues to grow. Growth is slow owing to the low temperature, but initially planarizes the nanowire/catalyst interface. As the temperature is raised, the growth rate increases (~2.5 nm min−1 at 500 °C; ref. 22), and the docked
nanocrystal is incorporated into the Si matrix (Fig. 1e–h). The result is a nanowire containing an inclusion. We confirm the NiSi₂ phase from the lattice parameter, shape (typical of a cubic phase) and volume (see below), and find that perfect endotaxial incorporation is possible (Fig. 1k–m).

The entire sequential catalyst reaction process, from the deposition of Ni to the growth of the nanowire, can be repeated and multiple silicides can be embedded to form a complex silicide/silicon heterostructure (Supplementary Fig. 2). The distance and multiple silicides can be embedded to form a complex silicide/silicon heterostructure (Supplementary Fig. 2). The distance between nanocrystals inside the wire is controlled through the growth time between Ni deposition steps, and the size of each nanocrystal is controlled by the amount of deposited Ni. Starting with freestanding nanowires is important in this reaction sequence. For nanowires dispersed on a silicon nitride membrane, NiSi₂ with freestanding nanowires is important in this reaction sequence.

Supplementary Movie 2, we therefore show the process as observed in an aberration-corrected environmental TEM (ETEM) equipped with gas handling capabilities for SiH₄ (ref. 24) and a fast camera (see Methods). The sequence shown in Fig. 2 was observed in several instances. First, the octahedral particle makes contact with the Si (111) growth interface by touching at a single edge; second, it completes the epitaxial connection via rotation around the [110] axis (Fig. 2a). The angle between the silicide nanocrystal and Si is readily measured (Fig. 2b) through the fast Fourier transform (FFT) of the image series. Rotation takes place in a stepwise manner and a single increment can occur in less than 0.1 s (Fig. 2c). The driving force of this two-stage mechanism is presumably based on minimization of the interface energies of the two crystals. By analogy to what has been observed for axiotaxial structures, even when only one family of planes is aligned, there is an energy gain sufficient to align the nanocrystal with the nanowire. The subsequent stepwise rotation can be understood by noting that the nanocrystal shape seems unchanged during rotation, but the Si surface shows steps that flow readily during rotation. The Si layer rearranges to accommodate the silicide, apparently by moving atoms from the right side to the left: Si layers on the right of the silicide retract and a new layer appears on the left (Fig. 2d). At each rotation increment, a
Figure 2 | The docking of a silicide particle with its nanowire. **a**, Sequence of atomically resolved, 400 frames per second TEM images recorded at 400 °C. The insets show the FFT of each image, with the angle between the two crystals indicated. **b**, The rotation angle measured from the FFT of the image series in **a** and Supplementary Movie 2. **c**, Detail of **b** showing one larger rotation occurring in two distinct increments; arrows indicate the data corresponding to the images in **d**. Error bars in **b** and **c** represent the standard deviation calculated by measuring the angles between six pairs of spots in the FFT. **d**, Detail of the sequence in **a** showing rearrangement of the Si surface during silicide rotation. The insets show the respective FFTs.

step moves (Supplementary Movie 2), increasing the contact area forming the low-energy epitaxial connection. During subsequent nanowire growth that fully incorporates nanocrystals, we observe rapid step flow (Supplementary Movie 3), similar to that observed in conventional nanowire growth. We suggest that the mobile nature of the Si surface during docking and subsequent nanowire growth enables the production of highly perfect crystal structures in which silicides can be endotaxially incorporated without any evidence of dislocations.

The docking process does not always result in perfect endotaxy. Occasionally nanocrystals make contact with the Si surface in an apparently unfavourable orientation and do not reorient before the nanowire starts to grow. An example is shown in Supplementary Movie 4, where Si grows around a misoriented nanocrystal. The Si growth front remains planar. Defects are visible at the silicide/Si interface but the surrounding Si appears to be defect-free. This suggests that each Si ledge nucleates at the periphery of the growth front (as in conventional VLS, ref. 26) rather than at the interior junction surrounding the silicide, and hints that it could be generally possible to grow high-quality Si by VLS around inclusions, even if they are not well matched to or aligned with the Si lattice.

A key feature of the sequential catalyst reaction concept is the formation of a single, faceted nanocrystal which later attaches to its nanowire. We presume that the liquid-mediated reaction helps to allow easy coalescence if multiple nuclei form initially, and easy rearrangement of the new phase into a well-defined, low-energy shape. But why does the nanocrystal form in the liquid (or at its surface), rather than at the Si interface, especially in this case, where there is an excellent lattice match between NiSi2 and Si? Experiments such as that in Fig. 1 cannot address this question: NiSi2 forms too quickly to resolve as the temperature is raised, because Si is present in excess (from the nanowire). We therefore designed experiments where Si is added more gradually to metal particles, slowing down the reaction sequence so that we can understand the Au–Ni–Si system better by examining the phases and nucleation sites in more detail.

In Fig. 3, Au and Ni were deposited sequentially on an electron-transparent, inert membrane and annealed in vacuum to agglomerate into crystallites (see Methods). Then the temperature was raised to a constant value and Si2H6 was supplied. Figure 3a,b and Supplementary Movie 5 show the resulting sequence of phases observed respectively in the ETEM (Fig. 3a and Supplementary Movie 5) and UHV-TEM (Fig. 3b). This fairly complex sequence can be understood by comparison with the reaction of pure Au with Si under similar conditions. In that case, the initial reaction of Si with a Au crystallite forms liquid AuSi1−x, at the Au surface. The reaction front then propagates inwards. Once all the Au is consumed, but Si is still being supplied, the liquid becomes
supersaturated with Si. Eventually solid Si nucleates at the periphery of the droplet.29,30

In Fig. 3a,b, however, a new phase forms before any substantial reaction of Au with Si. (A thin liquid layer would not be visible.) It is clear from the size of this phase (Fig. 3c) that its volume is fixed by the initial amount of Ni. We assume therefore that it is a Ni silicide. Only after its growth is complete does the solid Au react with Si to form Au$_x$Si$_{1-x}$. The initial Ni silicide is elliptical in projection. However, once the Au is consumed, and presumably Si starts to supersaturate the liquid, the silicide increases in volume (Fig. 3c) by a factor of ~2 and becomes a well-faceted octahedron. This final phase is unequivocally NiSi$_2$; the initial phase is presumably a Ni-rich silicide. We cannot identify this unambiguously because of the large number of Ni-rich silicides in the Ni–Si phase diagram (Supplementary Table 1) and refer to this phase as Ni–Si. Eventually, solid Si nucleates and grows at the periphery of the droplet. And crucially, the NiSi$_2$ and Si come into contact only at the end of the sequence.

The timing of the transition from Ni-rich silicide to NiSi$_2$ seems to depend on the conditions used: the data in Fig. 3b,c,e are consistent with a transition occurring after all the Au has reacted to form Au$_x$Si$_{1-x}$, whereas in Fig. 3a the characteristic NiSi$_2$ faceted shape is visible earlier, even when crystalline Au is still present. However, in all cases, the phase that makes the epitaxial connection to Si is NiSi$_2$. The size of this phase can be accurately controlled by adjusting the amount of Ni deposited (Fig. 3d,e), with the smallest we have realized being <3 nm in radius (Fig. 3a).

Overall, the data in Fig. 3 show that a silicide forms before Si reacts with Au. The fact that the reactions in Fig. 1 take place at a nanowire tip rather than in an isolated Au particle, as in Fig. 3, therefore does not play a role in the overall process. Indeed, if we hold nanowires below the eutectic temperature, so that the liquid can not form quickly, we observe silicides nucleating even though the Au at the nanowire tip remains solid (Supplementary Fig. 3). If an initial silicide forms and attaches only after it has transformed to NiSi$_2$, then the final quality of the silicide/Si epitaxy is determined exclusively by the liquid-mediated contact process described in Fig. 2.

We finally consider the yield, uniformity and generality of the sequential catalyst reaction concept, central in developing applications of these nanostructured materials. Yield and uniformity in in situ experiments are expected to be poor, because of the small growth area, uncontrolled catalyst sizes and shadowing during the shallow-angle Ni evaporation. Even so, nanowires of median size ($r \sim 40$ nm) reliably produce nanocrystals in over 90% of droplets. However, yield loss occurs because all but 5% of wires kink during the incorporation step. This is unsurprising because cooling Si nanowires, as required here for transfer to the evaporator, is known to cause kinking on regrowth.7 We have solved this issue by using a gaseous metal–organic chemical vapour deposition precursor, nickelocene (bis(cyclopentadienyl)Ni, Ni(C$_5$H$_5$)$_2$), to selectively deposit Ni into the droplets without the need for cooling. This avoids kinking, also eliminates the need for the sidewall oxidation step (see below), and is promising for its
compatibility with large-scale production; its main issue is carbon contamination. We therefore believe that yield and uniformity can be addressed through an optimized gaseous precursor such as Ni carbonyl or an in situ metal evaporator, in concert with size-controlled catalysts.

To address the generality of the process, we have investigated several other materials systems. We have nucleated single, well-faceted nanocrystals by supplying Co and Mn to liquid Au, Si, catalysts (Fig. 4a and b, respectively), analogous to the process for Ni. In Fig. 4a, the CoSi₂ nanocrystal was formed using cobaltocene (bis(cyclopentadienyl)Co or Co(C₅H₅)₂). The sidewalls were not passivated by oxidation, yet showed no visible silicide formation. In Fig. 4b, Mn monosilicide was formed using evaporated Mn; manganocene produces similar results (not shown). For Mn, an epitaxial connection forms (Fig. 4c), but does not lead to incorporation because the nanocrystal detaches as Si ledges start to flow when Si growth is restarted. We suppose that the interface is not particularly low energy, owing to the mismatch, and speculate that for smaller crystals with lower total strain energy it may be possible to complete the incorporation. Finally, Fig. 4d shows a Ni–Ga nanocrystal formed via nickelocene exposure of a Au–Ga droplet at the tip of a GaAs nanowire.

Although the limited number of systems we have explored does not allow us to infer a model that predicts whether a given materials combination will produce endotaxial nanocrystals, we can provide some necessary conditions (Supplementary Fig. 4). The first is the formation of a compact nanocrystal instead of a full layer of the new material. It has already been shown[31] that this requires a specific relationship between the interface energies of the various crystals. Endotaxy implies additional constraints: the new crystal should be completely wetted by the liquid catalyst, and there must be a driving force for attachment, as discussed in the Supplementary Information. This simple thermodynamic argument can perhaps guide materials choice, especially in cases where the relevant energies can be estimated.

In conclusion, we have introduced a technique that extends the VLS method of nanowire growth, allowing the formation of nanowires that contain embedded nanocrystals. In essence, the catalyst particle is used not only to grow nanowires, as in the classic VLS process, but in an extended role, to independently nucleate different nanocrystals, whose formation and attachment in the liquid contribute to the high-quality nanocrystal/nanowire interface. Using in situ TEM, we have explored this process in detail for Ni, embedding NiSi₂ quantum dots within Si nanowires with defect-free interfaces, and with a size and relative position controlled by the amount of Ni supplied and by the growth time between nucleation events. In situ microscopy reveals the process by which the epitaxial interface is created, and factors that influence nanocrystal location and phase. We have described how this sequential catalyst reaction concept can apply to other metals and nanowire materials, and introduced a different pathway to selectively supply the metal. In particular for metal–organic precursors, the catalytic droplet can be thought of as a ‘mixing bowl’ where the order and amount of each ingredient can be programmed to make a desired recipe with the aim of achieving controlled growth of complex nanostructures. This method is complementary to the formation of fully embedded quantum dots by shell growth on axially modulated nanowires[22,23]. Such quantum dots are limited in diameter by the initial nanowire diameter, and only certain materials combinations produce good quantum dot morphologies[31]. Sequential catalyst reactions allow compact quantum dots to be formed with dimensions decoupled from the nanowire diameter, including in materials that do not grow by VLS but present technological interest. The embedded nanocrystals may be located at p–n junctions or compositional interfaces to enhance their functionality.

The materials system on which we have focused has central relevance for microelectronics applications, as Ni silicides are the material of choice for contact fabrication in CMOS technology. Ni and Co silicides also have magnetic and plasmonic properties that make them appealing for a wide range of applications. One such application could be in photodetectors. The dielectric functions of silicides show metallic behaviour in the infrared and visible ranges and the manufacturing advantages of silicides make them promising materials for infrared plasmonics integrated on microelectronic devices[34–36]. Si-nanowire-based photodetectors[37] are generally coupled with metallic waveguides or nanoparticles to improve their efficiency[28]. NiSi₂ (ref. 39) or CoSi₂ (ref. 40) nanoparticles embedded at the p–n junction of a photodetector would be expected to enhance light absorption by exciting localized surface plasmon resonances. The method described here can in principle provide the building blocks for such devices. Quantum transport provides another possible application. Nanoscale PtSi clusters in a Si nanowire offer a unique potential for realizing ‘Coulomb blockade’ transistors and other single-electron devices[4]; such silicide quantum dots have been formed accidentally during fabrication of PtSi contacts to the nanowire, but we can now see how to form such structures in a controlled way. High-density memories, semiconductor lasers, and tunnel diodes are other areas of interest. For integrated electronics, epitaxial metallization incorporated into vertically grown semiconductor heterostructures opens up new possibilities by providing buried interconnects of atomic perfection, along with the capability to engineer a wide range of three-dimensional device structures. We therefore suggest that sequential...

Figure 4 | Nanocrystal formation in different materials. a, CoSi₂ nanocrystal formed after 15 min of exposure to 5 × 10⁻⁶ torr of Co(C₅H₅)₂ at 500 °C and incorporated into a nanowire after switching to 5 × 10⁻⁶ torr of Si₂H₄ without changing temperature. The Si growth rate after Co(C₅H₅)₂ exposure was slower than expected, perhaps suggesting the presence of carbon on the catalyst surface; other metal–organic precursors are known to deposit less carbon at this temperature[25]. b, MnSi nanocrystals formed after Mn evaporation onto the Au–Si catalyst. Similar results are obtained with the gas precursor Mn(C₅H₅)₂. c, High-resolution image of a MnSi nanoparticle in contact with the 111 surface of a nanowire, with the inset showing the whole nanowire. d, A Ni–Ga nanocrystal formed in a liquid Au–Ga catalyst on a GaAs nanowire after 20 min exposure to 1 × 10⁻⁶ torr of Ni(C₅H₅)₂ at 500 °C; image recorded after cooling.
catalyst reactions will open up exciting opportunities for the controlled growth and device integration of complex nanostructures with tailored functionalities.

Methods

Methods and any associated references are available in the online version of the paper.

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Methods

Nanowire growth was performed in a Hitachi H-9000 UHV-TEM having a base pressure of $2 \times 10^{-10}$ torr and a maximum pressure during imaging of $2 \times 10^{-5}$ torr. The microscope is connected to a cluster of UHV tools where temperature calibration and metal deposition were carried out. The substrates used for nanowire growth were 3 mm $\times$ 300 $\mu$m silicon strips cut from a 700-$\mu$m-thick (111) wafer, and were imaged with the polished (111) surface parallel to the beam. Each sample was cleaned chemically, finishing with a HF dip, and was then loaded into a sample holder cartridge that can heat the sample by passing a direct current through it. After introducing the cartridge into the UHV environment, the sample was degassed and any surface oxide was desorbed by heating above 1,200 $^\circ$C. The temperature was measured with a pyrometer and an ex situ calibration of temperature versus current was performed. 1–2 nm of Au was then deposited by thermal evaporation and the sample was loaded in the microscope, where precursor gas ($\text{Si}_2\text{H}_6$) was introduced through a capillary tube at a pressure of $2 \times 10^{-5}$ torr. The temperature was raised to 500 $^\circ$C to agglomerate Au into droplets and initiate VLS growth. Subsequently, Ni or other metal evaporation was performed in the same UHV tool using an electron beam evaporator. For each metal evaporation step, a crystal monitor was used to measure the thickness of the deposited metal. The crystal monitor reading was calibrated by using medium-energy ion scattering measurement of samples with different thicknesses of deposited metal. Alternatively, Ni or other metals could be deposited by exposure to nickelocene or analogous reactive gas precursors, but without any calibration of the amount deposited.

High-resolution imaging was carried out in an FEI Titan 300 aberration-corrected ETEM. Samples on which nanowires had been pre-grown and Ni had then been deposited in the UHV-TEM were carried through air, cleaned with a HF vapour etch, then loaded into the ETEM. A shell of SiO$_2$ remained visible around the nanowires, but this could be removed by condensing the intense electron beam spot around the nanowire catalyst droplet while holding the sample at 500 $^\circ$C. Images were recorded at 480 frames per second using a Gatan K2 camera with a resolution of 4 megapixels.

Nucleation experiments were performed on 50-nm-thick silicon nitride windows formed by etching the substrate away over a $\sim 100 \times 100$ $\mu$m$^2$ region in the centre of a 3 $\times$ 4 mm$^2$ sample that had been diced from a nitride-coated Si wafer. 1–2 nm of Au was evaporated onto such samples and agglomerated by heating; alternatively, Au aerosol nanoparticles were deposited directly onto the samples. Ni or other metals were then added by evaporation or gas phase precursor, as described above. The membrane samples were heated by direct current with the temperature calibrated by pyrometer.