ABSTRACT

Objectives To investigate the associations between heavy metal exposure and serum ferritin levels, physical measurements and type 2 diabetes mellitus (DM).

Design A retrospective cohort study.

Setting Changwon, the location of this study, is a Korean representative industrial city. Data were obtained from medical check-ups between 2002 and 2018.

Participants A total of 34,814 male subjects were included. Of them, 1,035 subjects with lead exposure, 200 subjects with cadmium exposure and the 33,579 remaining were assigned to cohort A, cohort B and the control cohort, respectively. Data including personal history of alcohol and smoking, age, height, weight, the follow-up duration, haemoglobin A1c (HbA1c), fasting blood sugar (FBS), ferritin levels, and lead and cadmium levels within 1 year after exposure were collected.

Primary outcome measure In subjects without diabetes, changes in FBS and HbA1c were analysed through repeated tests at intervals of 1 year or longer after the occupational exposure to heavy metals.

Results In Cohort A, DM was diagnosed in 33 subjects. There was a significant difference in lead concentrations between the subjects diagnosed with DM and those without DM during the follow-up period (3.94±2.92 mg/dL vs. 2.81±2.03 mg/dL, p=0.002). Simple exposure to heavy metals (lead and cadmium) was not associated with DM in Cox regression models (lead exposure (HR) 1.01, 95% CI: 0.58 to 1.77, p=0.971; cadmium exposure HR 1.48, 95% CI: 0.61 to 3.55, p=0.385). Annual changes in FBS according to lead concentration at the beginning of exposure showed a positive correlation (r=0.072, p=0.032).

Conclusion Our findings demonstrated that simple occupational exposure to heavy metals lead and cadmium was not associated with the incidence of DM. However, lead concentrations at the beginning of the exposure might be an indicator of DM and glucose elevations.

INTRODUCTION

Diabetes mellitus (DM), a common and rising global problem, is one of the leading causes of death, blindness and chronic renal failure. It is also a major risk factor for vascular diseases such as myocardial infarction, stroke and peripheral vascular disease. The increase in social cost due to DM-related morbidity or mortality has intensified efforts to reduce the incidence of DM. The rising incidence of DM is considered to be associated with alterations in lifestyles and other contributing factors, including exposure to several environmental pollutants and industrial chemicals.

With rapid industrial development, exposure to various environmental toxic materials has risen along with DM incidence. Environmental substances that cause endocrine-disrupting chemicals (EDCs) by the U.S. Environmental Protection Agency. 1 Metals are naturally existing inorganic elements that are present in very small amounts in the body. They are essential for vital processes.
incidence of DM in some populations. In this study, we particularly focused on the association between exposure to heavy metals and DM. In recent decades, environmental exposure to heavy metals has declined because many countries have begun to pay attention to environmental problems rather than industrial development. However, the unintended exposure to heavy metals in the environment such as older household structures and in drinking water in Flint, Michigan, USA, is still possible. Such exposure can be due to the illegal, unauthorised disposal of toxic materials including heavy metals from industries. In Korea, occupational exposure to heavy metals is more common than random environmental exposure.

In occupational exposure to heavy metals, relatively few studies have reported whether the degree of exposure has direct or indirect effects on the body or specific diseases. A few population-based studies have focused on the association between metal exposure and diabetes, showing inconsistent results. Most previous studies have examined the association of DM with heavy metal concentrations in the blood or urine at one specific time. Intense exposure to heavy metals can result in high levels of heavy metals in the blood or urine, whereas light exposure results in extremely low levels. Although long-term, light exposure to heavy metals might only lead to low levels of heavy metals in the blood or urine, heavy metals deposited in organs may be harmful. The deposition of heavy metals in the liver and pancreas can alter gluconeogenesis in the liver and affect insulin secretion, eventually influencing the incidence of DM. Although this study was designed as a retrospective study of long-term occupational exposure to heavy metals (lead and cadmium), instead of measuring the concentration of heavy metals in organs such as the liver, bone and pancreas, the blood concentrations of heavy metals at the beginning of the exposure (within 1 year) were measured and compared with changes in fasting blood sugar (FBS), HbA1c and the incidence of DM in the general population who were not exposed to heavy metals during the same period.

**MATERIAL AND METHODS**

**Study population**

Changwon, the location of this study, is a representative industrial city in Korea. Many occupations involve heavy metal exposure, including employees of battery-manufacturing plants. This cohort study was based on the data from occupational health examinations (n=403,253) conducted from 2002 to 2018 in subjects with jobs related to heavy metals. A schematic flow chart for the selection of subjects is shown in figure 1. All participants underwent a physical examination with a blood sample taken in the morning following an overnight fast. They also filled out a questionnaire. Among these 403,253 subjects, 89,826 who had ferritin blood levels measured were included and 38,039 women were excluded. In occupational screening, most women were fertile. The ferritin results might be low because of menstruation. A total of 269 subjects were excluded because of the unavailability of HbA1c or FBS data. Furthermore, 2709 subjects who were already diagnosed with DM were excluded (DM was defined as FBS ≥126 mg/dL, HbA1c≥6.5%, or a history of DM reported in the questionnaire). Additionally, 28,151 subjects were excluded because they only had one screening result without follow-up data. Finally, 34,814 subjects were included in the analysis. Of these, 1035 subjects with lead exposure, 200 subjects with cadmium exposure and the 33,579 remaining subjects were assigned to cohort A, cohort B and the control cohort, respectively. This study
collected subject data including age, HbA1c, FBS, ferritin levels, height, body weight, the follow-up duration and the concentrations of heavy metals (lead and cadmium).

**Data collection**

This study was based on data from occupational health examinations already conducted. The health check-up data included objective numerical data such as blood tests, imaging tests and physical examinations, as well as the questionnaire responses of the subjects. The questionnaire included items on personal history, physical activity, systemic symptoms, sleep patterns, stress, anxiety, depression, gambling and job stress. All data were computerised. The authors analysed the demographic information, physical examination results, history and laboratory results (HbA1c, blood glucose, ferritin, lead and cadmium levels). After obtaining Institutional Review Board approval, two authors (JHJ and MHJ) independently analysed the data.

**Measuring blood levels of lead and cadmium**

To measure the blood levels of lead and cadmium, 3 mL of blood was collected from each subject into vacuum bottles using heparin as an anticoagulant in the morning following an overnight fast. Blood samples were diluted 1:15 and 1:10 to measure the lead and cadmium concentrations, respectively, with 2.5 mL of 10% Triton X-100, 0.1 mL of concentrated nitric acid and 1 mL of 10% ammonium dihydrogen phosphate as a modifier. Graphite furnace atomic absorption spectrometry with Zeeman background correction (PinAAcle 900z Atomic absorption spectrometer, PerkinElmer, Norwalk, Connecticut, USA) was used to measure the lead and cadmium levels in all subjects within the first year of heavy metal exposure. The minimum detectable limits of lead and cadmium were measured to the third decimal place (0.001 mg/dL), and concentrations below that were considered to be zero.

**Statistical analyses**

The continuous variables are presented as means±SD. The categorical variables are presented as the number of cases and percentages. An independent t-test was used to evaluate the significance of the mean differences between the continuous variables for demographical factors such as age and body mass index (BMI). The Cox proportional hazard model was used to identify potential predictors in the baseline characteristics for type 2 DM in subjects who were not diagnosed with DM. In the Cox hazard model, the development of type 2 DM was considered a dependent variable and as independent variables were set to the exposure levels of lead and cadmium and the known risk factors (age, BMI, smoking, drinking, HbA1c, FBS and ferritin). A mixed model was used to assess the effects of heavy metal exposure and ferritin on FBS and HbA1c, respectively. The annual changes in FBS and HbA1c with lead concentrations are shown in a scatter plot. Stata V.14.0 software (Stata Corporation, College Station, Texas, USA) was used for all statistical analyses.

**Operational definitions**

1. Type 2 DM was defined in patients with a diabetes diagnosis history taking antidiabetic medication or satisfying the American Diabetes Association criteria of HbA1c ≥6.5% or FBS ≥126 mg/dL in a blood test after an 8-hour fast.

2. Newly diagnosed diabetes was defined in subjects without a history of diabetes who had an HbA1c of <6.5% and an FBS of <100 mg/dL in the first health check-up after joining the company and were newly diagnosed with diabetes (HbA1c ≥6.5% or FBS ≥126 mg/dL) in a follow-up health check-up conducted at least 1 year later.

3. The heavy metal exposure subjects were those who worked in the lead industry, those who were in charge of lead welding and mounting in shipyards and subjects who worked in Ni–Cd battery manufacturing factories.

4. Simple occupational exposure to lead or cadmium, called simple exposure, referred to subjects who worked on-site at the workplace regardless of the intensity of the exposure.

5. The beginning of exposure referred to the first occupational health examination conducted within a year of working in the workplace related to heavy metal exposure.

**Statistical significance**

Significance was evaluated by univariate analysis. An independent t-test was used to evaluate the significance of the mean differences between the continuous variables for demographical factors such as age and body mass index (BMI). The Cox proportional hazard model was used to identify potential predictors in the baseline characteristics for type 2 DM in subjects who were not diagnosed with DM. In the Cox hazard model, the development of type 2 DM was considered a dependent variable and as independent variables were set to the exposure levels of lead and cadmium and the known risk factors (age, BMI, smoking, drinking, HbA1c, FBS and ferritin). A mixed model was used to assess the effects of heavy metal exposure and ferritin on FBS and HbA1c, respectively. The annual changes in FBS and HbA1c with lead concentrations are shown in a scatter plot. Stata V.14.0 software (Stata Corporation, College Station, Texas, USA) was used for all statistical analyses.

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**Patient and public involvement**

The patients and the public were not involved in the development of the research question or the design of the study. No patients or public members were involved in the recruitment or conduct of the study. Since this study used deidentified results, the authors do not plan to disseminate the study results to the study participants individually but plan to publish the paper with open access.

**RESULTS**

**Baseline characteristics of the study subjects**

The baseline characteristics of the subjects in each cohort are shown in table 1. Of 34818 subjects, 1034 were diagnosed with DM during the follow-up and 33780 were not diagnosed with DM. In cohort A (1035 subjects with lead exposure and 33779 subjects with no lead exposure), 1034 were confirmed to have DM. Of these 1034 subjects, 33 were exposed to lead. In the control group without heavy metal exposure, age, HbA1c, FBS and ferritin levels were associated with DM, as expected. In heavy metal-exposed subjects, only HbA1c, FBS and BMI were significantly associated with DM. An interesting aspect in cohort A was that the initial concentration of lead (within 1 year) was significantly higher in subjects who were later diagnosed with DM (2.81±0.03 mg/dL in patients not diagnosed with diabetes and 3.94±0.92 mg/dL in patients diagnosed
with diabetes, p=0.002). In contrast, the early cadmium blood levels did not differ between the group of subjects progressing to DM and those who did not progress to DM. The rates of drinking and smoking in patients with diabetes were similar to that in previous studies. Overall, the incidence of diabetes was higher in drinkers than in non-drinkers and higher in smokers than in ex-smokers or never smokers. However, the total number of subjects exposed to heavy metals was small, resulting in no statistical significance. The follow-up period was shorter and the mean age was higher in subjects progressing to DM in both cohorts. In the lead-exposed group, the mean follow-up duration was 3.18±3.63 years for the group with DM and 4.78±2.77 years (p=0.001) for the non-diabetes group. In the cadmium-exposed group, the mean follow-up duration was 5.45±4.76 years for the DM
Several plausible hypotheses have resulted from such a relationship between heavy metal exposure and hyperglycaemia. Many studies have attempted to explain the relationship. Research has suggested that oxidative stress caused by heavy metals can directly damage beta cells of the pancreas, leading to elevated serum glucose levels. Such oxidative stress may also increase blood glucose levels by decreasing insulin release, impairing insulin receptors, disrupting glucose uptake, increasing hepatic gluconeogenesis and pancreatic glucagon secretion, and decreasing peripheral glucose use. Another hypothesis is related to the competitive inhibition of toxic metals. It states that essential trace metals at normal levels play a key role in glucose homeostasis because these metals are essential cofactors for glucose metabolism, pancreatic beta-cell function and the insulin signalling cascade. Toxic metals compete with these essential metals for various physiological functions and affect type 2 DM risk. It has also been reported that toxic metals can affect various substances, including glucose transporter type 4, nuclear factor kappa B, mitogen-activated protein kinases and phosphoinositide 3-kinase involved in insulin signalling, thereby increasing the risk of DM.

### DISCUSSION

Many studies have attempted to explain the relationship between heavy metal exposure and hyperglycaemia. Several plausible hypotheses have resulted from such research. First, oxidative stress caused by heavy metals can directly damage beta cells of the pancreas, leading to elevated serum glucose levels. Such oxidative stress may also increase blood glucose levels by decreasing insulin release, impairing insulin receptors, disrupting glucose uptake, increasing hepatic gluconeogenesis and pancreatic glucagon secretion, and decreasing peripheral glucose use. Another hypothesis is related to the competitive inhibition of toxic metals. It states that essential trace metals at normal levels play a key role in glucose homeostasis because these metals are essential cofactors for glucose metabolism, pancreatic beta-cell function and the insulin signalling cascade. Toxic metals compete with these essential metals for various physiological functions and affect type 2 DM risk. It has also been reported that toxic metals can affect various substances, including glucose transporter type 4, nuclear factor kappa B, mitogen-activated protein kinases and phosphoinositide 3-kinase involved in insulin signalling, thereby increasing the risk of DM.

### Table 2: Cox regression models: crude and adjusted HRs of baseline characteristics predicting the development of type 2 diabetes mellitus

|                  | Crude HR (95% CI) | P value | Adjusted (N=30589) HR (95% CI) | P value |
|------------------|------------------|---------|-------------------------------|---------|
| Age (year)       | 1.05 (1.04 to 1.06) | <0.001  | 1.01 (1.00 to 1.03)            | 0.012   |
| Haemoglobin A1c (%)×10 | 1.54 (1.51 to 1.57) | <0.001  | 1.35 (1.32 to 1.39)            | <0.001  |
| Fasting blood sugar (mg/dL) | 1.12 (1.11 to 1.12) | <0.001  | 1.07 (1.06 to 1.08)            | <0.001  |
| Body mass index (kg/m²) | 1.21 (1.19 to 1.22) | <0.001  | 1.10 (0.078 to 1.12)           | <0.001  |
| Ferritin (ng/mL, reference: <200) | 2.25 (1.94 to 2.62) | <0.001  | 1.51 (1.28 to 1.79)            | <0.001  |
| Lead exposure     | 1.05 (0.68 to 1.63) | 0.812   | 1.01 (0.58 to 1.77)            | 0.971   |
| Cadmium exposure  | 1.08 (0.54 to 2.17) | 0.828   | 1.48 (0.61 to 3.55)            | 0.385   |
| Smoking           |                   |         |                               |         |
| Ex-smoker         | 1.22 (0.98 to 1.51) | 0.071   | 1.05 (0.85 to 1.31)            | 0.634   |
| Current smoker    | 1.61 (1.35 to 1.92) | <0.001  | 1.45 (1.22 to 1.73)            | <0.01   |
| Drinking          | 0.83 (0.68 to 1.01) | 0.062   | 1.07 (0.53 to 2.17)            | 0.842   |

Risk of developing DM from lead/cadmium exposure and serum ferritin levels

The Cox-regression models showed the crude and adjusted hazard ratios of the variables predicting the development of DM (table 2). Age, HbA1c, FBS, BMI, current smoking and ferritin were predictors for developing DM in both the crude and adjusted models. However, simple exposure to lead or cadmium was not associated with DM. Ferritin levels had a positive relationship with FBS and HbA1c elevations during the follow-up period in both cohorts A and B (figures 2A,B and 3A,B). FBS elevations in subjects with simple lead exposure were slower than in those without lead exposure (figure 2C). However, simple lead exposure did not have a significant effect on HbA1c elevation (figure 2D). The association of early cadmium exposure on the FBS/HbA1c change was not different from that of lead. In cohort B, ferritin also had significant effects on the elevation of FBS and HbA1c (figure 3A,B). Early exposure to cadmium was positively correlated with the rate of FBS change but negatively correlated with HbA1c change (figure 3C,D). The unusual finding in both cohorts was that all subjects were healthy, without DM at the time of enrolment. However, subjects with elevated ferritin and heavy metal exposure had higher baseline FBS and HbA1c values than those who did not (figures 2E,F and 3E,F). Regarding the concentrations of heavy metals, annual variations in FBS according to the initial lead concentrations showed weak but positive correlations (r=0.072, p=0.032, figure 4).
Figure 2  Mixed models were used to evaluate the effects of lead exposure and ferritin on fasting blood sugar and haemoglobin A1c (HbA1c). (A) Changes in fasting blood glucose according to serum ferritin levels in cohort A. (B) Changes in HbA1c according to serum ferritin levels in cohort A. (C) Changes in fasting blood glucose according to lead exposure in cohort A. (D) Changes in HbA1c according to lead exposure in cohort A. (E) Changes in fasting blood glucose according to serum ferritin levels and lead exposure in cohort A. (F) Changes in HbA1c according to serum ferritin levels and lead exposure in cohort A.
Figure 3  Mixed models were used to evaluate the effects of cadmium exposure and ferritin on fasting blood sugar and haemoglobin A1c (HbA1c). (A) Changes in fasting blood glucose according to serum ferritin levels in cohort B, (B) Changes in HbA1c according to serum ferritin levels in cohort B, (C) Changes in fasting blood glucose according to lead exposure in cohort B, (D) Changes in HbA1c according to lead exposure in cohort B, (E) Changes in fasting blood glucose according to serum ferritin levels and lead exposure in cohort B, (F) Changes in HbA1c according to serum ferritin levels and lead exposure in cohort B.
Ferritin was a predictor of DM, as previously reported; higher in subjects later diagnosed with DM. (2) Serum ferritin was not a predictor of DM in subjects exposed to lead or cadmium (serum ferritin was lower in the diabetes group). The reason for these results cannot be precisely explained. Oxidative stress caused by the production of free radicals, a mechanism by which heavy metals cause DM, might be the mechanism involved in the development of DM. Some large-scale US studies have shown that high blood levels of persistent organic pollutants (POPs), which are not heavy metals but bio-accumulate as heavy metals with chronic environmental exposure globally, pose an increased risk for DM. The mechanism by which POPs induce DM is similar to that for DM induced by heavy metals. Similar to studies on the associations of heavy metals and DM, studies on the associations of POPs with DM also showed discrepant results.

The current findings should be interpreted with caution because of several limitations. Since this study was based on data from subjects undergoing health check-ups, we could not identify or analyse the risk factors of DM, including hypertension, family history and dyslipidaemia. The second limitation was that the blood concentrations of heavy metals were measured only once at the beginning of the exposure. Follow-up observations such as the diagnosis of DM were done longitudinally without reflecting changes in the serum concentrations of heavy metals as in a cross-sectional study. The limited study cohort population was another limitation. Because of possible iron deficiency during menstruation, female subjects were excluded. Due to the nature of the industry dealing with heavy metals, it is a limited study cohort to include only young subjects in the study. Although this study excluded female subjects, it would be interesting to investigate the relationship between occupational heavy metal exposure and diabetes in women. Although menstruation can cause iron deficiency, serum ferritin is associated with the risk of developing diabetes in fertile women. Thus, further studies with female subjects are warranted.

In conclusion, our findings demonstrated that simple exposure to lead or cadmium was not associated with the prevalence of DM. However, blood lead concentrations at the beginning of exposure might be a predictor of DM development and glucose elevations. Our results suggest that low-dose, chronic occupational exposure to lead or cadmium may not affect the incidence of DM. However, if the exposure intensity is high, screening for DM should be performed.

Figure 4 Scatter plot showing the annual changes of fasting blood glucose by lead concentration ($r=0.072$, $p=0.032$).
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