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Citation
Dashkoff, Jonathan, Eli P Lerner, Nhi Truong, Jacob A Klickstein, Zhanyun Fan, Dakai Mu, Casey A Maguire, Bradley T Hyman, and Eloise Hudry. 2016. “Tailored transgene expression to specific cell types in the central nervous system after peripheral injection with AAV9.” Molecular Therapy. Methods & Clinical Development 3 (1): 16081. doi:10.1038/mtm.2016.81. http://dx.doi.org/10.1038/mtm.2016.81.

Published Version
doi:10.1038/mtm.2016.81

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Accessibility
Tailored transgene expression to specific cell types in the central nervous system after peripheral injection with AAV9

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The capacity of certain adeno-associated virus (AAV) vectors to cross the blood–brain barrier after intravenous delivery offers a unique opportunity for noninvasive brain delivery. However, without a well-tailored system, the use of a peripheral route injection may lead to undesirable transgene expression in nontarget cells or organs. To refine this approach, the present study characterizes the transduction profiles of new self-complementary AAV9 (scAAV9) expressing the green fluorescent protein (GFP) either under an astrocyte (glial fibrillary acidic (GFA) protein) or neuronal (Synapsin (Syn)) promoter, after intravenous injection of adult mice (2 × 1013 vg/kg). ScAAV9-GFA-GFP and scAAV9-Syn-GFP robustly transduce astrocytes (11%) and neurons (17%), respectively, without aberrant expression leakage. Interestingly, while the percentages of GFP-positive astrocytes with scAAV9-GFA-GFP are similar to the performances observed with scAAV9-CBA-GFP (broadly active promoter), significant higher percentages of neurons express GFP with scAAV9-Syn-GFP. GFP-positive excitatory as well as inhibitory neurons are observed, as well as motor neurons in the spinal cord. Additionally, both activated (GFAP-positive) and resting astrocytes (GFAP-negative) express the reporter gene after scAAV9-GFA-GFP injection. These data thoroughly characterize the gene expression specificity of AAVs fitted with neuronal and astrocyte-selective promoters after intravenous delivery, which will prove useful for central nervous system (CNS) gene therapy approaches in which peripheral expression of transgene is a concern.

INTRODUCTION

Gene therapy applied to neurodegenerative diseases is a constantly evolving field that relies on the development of efficient and safe gene delivery systems. Among many, adeno-associated virus (AAV) vectors have shown the greatest promise in the treatment of genetic or acquired diseases of the central nervous system, being generally well-tolerated and highly efficient at transducing neural cells.1–4 Numerous preclinical studies in rodents or nonhuman primates have achieved efficacy after AAV intraparenchymal infusion, with several clinical trials in progress.5–8 However, while direct cerebral injection limits the amount of vector circulating in the blood and associated potential anti-AAV immune reaction, this approach is only suitable to focally express a gene of interest, which therefore prevents its application in treating numerous diseases affecting large areas of the neural tissue. Alternatively, infusion of AAV into the intracerebroventricular, intracisternal, or intrathecal space, leads to a broader dispersion of viral particles in the cerebrospinal fluid and is especially well fitted for expressing secreted proteins throughout the brain and the spinal cord.9–16 While those strategies have indeed proven valuable in several neuropathological contexts, they still involved invasive surgical procedures that may not become easily translatable to a large number of patients.

Further advances in the field have been made with the discovery of AAV serotype 9 (AAV9) ability to cross the blood–brain barrier after intravascular delivery, providing an alternative and noninvasive approach to widely express therapeutic genes across the entire central nervous system (CNS) in much larger cohorts of patients.17–19 The initial AAV9 findings in mice have been demonstrated to work in multiple animal models including nonhuman primates, with minimal signs of peripheral or central toxicity.17,20 After intravenous injection in adult animals, AAV9 primarily targets astrocytes and neurons in the CNS, with a noticeable greater astrocyte transduction from mice to non-human primates.19,21–23 In addition, the use of self-complementary AAV genomes, which circumvent the requirement for nascent-strand synthesis upon infection, greatly increases the efficacy of such approaches.24 Preclinical studies are currently under way to assess the safety and efficiency of AAV9 for treating neurologic diseases including Alzheimer’s disease (AD),25 mucopolysaccharidosis IIIB,26 spinal muscular atrophy,27 or amyotrophic lateral sclerosis.28
Challenges associated with intravascular delivery of AAV9 include the transduction of off-target organs, the potential toxicity associated with an immune response against foreign transgene products, and the eventual side effects associated with the ectopically-expressed transgene itself. Although targeting multiple cell types in the CNS after intravascular delivery, AAV9 primarily transduces cells in peripheral organs including heart, liver, lung, skeletal muscle, and testes. To reduce expression in non-CNS cells, we used cell-specific promoters and developed self-complementary AAV9 vectors that specifically target the expression of the green fluorescence protein (GFP) to astrocytes (using a restricted murine glial fibrillary acidic protein promoter (GFAP) or neurons (using a human synapsin promoter (Syn)). While each of those promoters has been previously used to drive AAV-dependent transgene expression selectively in neurons or astrocytes, no comparable study has investigated the chicken β-actin (CBA), the GFA and the Syn promoters side-by-side after scAAV9 intravenous delivery. We hypothesized that, when compared to the ubiquitously expressed hybrid CMV/CBA promoter element, both scAAV9-GFA- GFP and scAAV9-Syn-GFP would lead to targeted GFP expression in specific sub-populations of the brain (respectively astrocytes or neurons), while greatly decreasing peripheral leakage in the liver. Additionally, the present work aimed at deciphering if those specific promoters, would indeed enrich expression of our reporter gene in a chosen neural population, outperforming a conventional vector with CBA, or simply restrict expression in astrocytes or neurons without further gain. The present study therefore explores the safety and efficacy benefit associated with a tailored AAV system to express genes specifically in the central nervous system after peripheral administration in the blood.

RESULTS

Intravenous administration of scAAV9-GFA-GFP and scAAV9-Syn-GFP result in widespread transduction of CNS, without detectable GFP signal in the liver

Because of its remarkable ability to cross the blood–brain barrier, AAV9 (as well as other AAV capsids) has been established as the vector of choice to target the central nervous system via systemic infusion. However, the safety of such systemic approach may be compromised by ectopic expression of a specific transgene in peripheral tissues. To overcome this issue and determine if we could further enhance transduction of specific cell types within the brain, we compared vectors expressing the GFP reporter gene under control of the truncated murine gfa2ABC,D promoter (GFA, astrocyte-specific), the human Synapsin promoter (Syn, neuron-specific) or the broadly active and robust hybrid CMV/CBA promoter as a control. GFA is a 681 fragment of the full-length GFAP promoter which demonstrates approximately two-fold greater activity compared to the full-length gfa2 promoter. GFA is substantially shorter, which renders it suitable for cloning into a scAAV DNA backbone where the effective genome carrying capacity is limited to approximately 2.2 kb. In accordance with our preliminary results (Supplementary Figure S1) as well as other studies, we observed a significantly higher percentage of neurons and astrocytes being transduced after injection of 2 × 10^11 vg/kg of self-complementary AAV9 as opposed to its single-stranded counterpart (Figure 3). The use of scAAV9-GFA-GFP led to GFP+/GS+ perivascular astrocyte endfeet as well as intraparenchymal astrocytes, without significant preference for cells closely located nearby blood vessels (Figure 4a). Because the GFA promoter initially derives from the murine endogenous promoter of GFAP, an intermediate filament protein which expression is regulated upon astrocyte activation, we wondered if GFA-dependent expression of GFP would be increased in GFAP-positive reactive astrocytes (i.e., if the activation state of those cells could impact the expression of GFP after scAAV9-GFA-GFP injection) compared to resting (GFAP-negative astrocytes). To answer this question, scAAV9-GFA-GFP or scAAV-CBA-GFP were injected to 7-month-old APP/PS mice, a mouse model of AD that already show substantial amyloid pathology as well as glial activation at that age (as shown by upregulation of GFAP-positive astrocytes surrounding amyloid deposits).
targeted by scAAV9-GFA-GFP (Figure 4b). To quantitate whether scAAV9-GFA-GFP led to shift of GFP expression in reactive versus resting astrocytes, we performed a stereology-based quantification of the numbers of GFP+/GFAP+ and GFP+/GFAP- cells in the cortex. We observed a modest and nonsignificant increase in the overall number of reactive astrocytes in the cortex of mice that received scAAV9-GFA-GFP injection compared to scAAV9-CBA-GFP. However, when the number of transduced astrocytes was normalized to the number of reactive astrocytes, there was no significant difference between vectors ($P > 0.05$, Mann-Whitney U-test, Figure 4c), leading us to conclude that there was no significant bias of expression of the AAV-GFA-GFP vector toward resting or activated astrocytes.

Figure 1  scAAV9-CBA-GFP, scAAV9-GFA-GFP, and scAAV9-Syn-GFP efficiently transduce the murine central nervous system after a single intravenous injection of $(2 \times 10^{11}$ vg/kg). (a) Vector maps of the scAAV expression cassettes used in the study as well as representative images of the GFP signal across an entire sagittal section of the brain after a single intravenous injection of scAAV9-CBA-GFP, scAAV9-GFA-GFP or scAAV9-Syn-GFP (left panels, scale bar = 1,000 μm). The right panels show the overall fluorescent signal within the spinal cord after similar injections (dh and vh: dorsal and ventral horns; scale bar = 500 μm). (b) A substantial number of GFP-positive cells could be detected throughout the entire cerebral tissue, as shown in the cortex, striatum, cerebellum or hippocampus (CA, Cornus Ammonis; DG, Dentate Gyrus; scale bar = 100 μm).
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While scAAV9-GFA-GFP could lead to efficient expression of the reporter gene in phenotypically diverse astrocytes, scAAV9-Syn-GFP targeted a wide range of neuronal subtypes, including excitatory and inhibitory neurons identified by the markers CamKII or GAD67, respectively (Figure 5a,b). Few GFP-expressing Purkinje cells identified by the marker Calbindin were also observed in the cerebellum, but to a lesser extent as compared with what we previously reported using the CBA reporter21 (Figure 5d). In addition, GFP-expressing choline acetyltransferase-positive motor neurons were detected in the spinal cord (Figure 5e). This confirmed the broad expression profile associated with the Syn promoter, even though some important populations, such as Tyrosine Hydroxylase-positive neurons in the substantia nigra, did not seem to be efficiently targeted (Figure 5c). This transduction profile was comparable in scAAV-CBA-GFP injected mice and similar neuronal sub-populations expressed the reporter gene (data not shown).

Systemically injected scAAV9-Syn-GFP vector increases neuronal transduction compared to the CBA promoter

While our initial results indeed demonstrated the benefit of using specific cell-type promoters to target the expression of GFP in defined neural cell types, we then asked whether this tailored strategy would also correlate with an overall enrichment in the amount of astrocytes or neurons expressing our gene of interest. An unbiased stereological analysis was performed in the entire cortex to precisely quantify the percentage of astrocytes or neurons transduced after peripheral infusion of scAAV9-GFA-GFP or scAAV9-Syn-GFP as compared with our nonselective vector scAAV9-CBA-GFP (2 × 10¹³ vg/kg injected with each vector, Figure 6). The fraction of GFP-positive astrocytes was comparable between scAAV9-GFA-GFP and scAAV9-CBA-GFP in the cortex of wild-type 3-month-old C57BL/6J female, reaching 11.19 ± 1.26 and 11.16 ± 1.34% respectively (P = 0.6; nonparametric Mann-Whitney test, Figure 6b,c, left panels). By contrast, the percentage of GFP-positive neuronal cells detected with scAAV9-Syn-GFP (16.75 ± 2.28%) was dramatically higher than with scAAV9-CBA-GFP (2.32 ± 0.3%) (P = 0.0061; nonparametric Mann-Whitney test, Figure 6b,c, right panels). Because the insertion of a Syn promoter did not impact the overall transduction profile of neuronal subtypes, we hypothesize that activity levels may differ between the Syn and CBA promoters in neurons in general, although it is possible that the Syn promoter in particular subtypes is more active than CBA compared to other subtypes.
DISCUSSION

The present study investigated the benefit associated with the use of specific neural cell promoters, namely GFA or Syn, in order to target expression of a reporter gene in astrocytes or neurons after a single intravenous infusion of self-complementary AAV9 in adult immunocompetent wild-type mice. In agreement with previous data,23 we found self-complementary vectors to vastly outperform single-stranded vectors in the expression of GFP in the context of AAV9 capsid. The underlying mechanisms of this discrepancy between single- and double-stranded AAV genomes remain partially unresolved. However, previous work has suggested that this effect may be related with the rate-limiting conversion of ssDNA to double-stranded genomic DNA, a slow process that relies on host-cell DNA synthesis and delays or impairs transduction.40,41 Conversely, the packaging capacity of scAAV vectors only reaches 2.2 kb, which will obviously prevent the cloning of numerous therapeutic genes much larger than GFP.36 This constitutes a major drawback when applying the present strategy to various diseases, and our results also demonstrated that either coinjection or sequential infusion of two scAAV9 targeted a very low number of the same cells in the CNS. However, we only injected a relatively low dose (2 x 10^{13} vg/kg) and increasing the dose by an order of magnitude would likely increase cotransduction percentages. Also, newly developed AAV variants such as AAV-PHP.B will potentially overcome this issue when used at high doses, as they have been associated with remarkable transduction efficacy even after peripheral administration of single-stranded AAV vector (reaching up to 80% of transduction in astrocytes22). Alternatively, direct intracerebral injection of two AAV has previously been shown to successfully achieve cotransduction of the same cells.42 Despite the low percentage cotransduced (~4%) at the dose tested, our data reveal some interesting findings. First, since the coinjection protocol was a 1:1 ratio of AAVs encoding GFP or DsRed, if high vg/cell were required for functional transduction, we would see more cotransduced cells. As ~96% of neural cells were either red or green at this dose, our results indicate that functional transduction with systemically administered AAV can be achieved at very low vg/cell, perhaps as low as 1 vg/cell. The other interesting finding with low cotransduction is that, at the dose and brain regions examined, no particular “transduction susceptibility” of particular neural cells over others was observed.

Even though intravenous infusion of AAV has the potential to achieve transduction of large areas and may be an approach of...
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choice for specific diseases broadly affecting the central nervous system, one downside is the resulting expression of multiple non-CNS tissues.31 By driving expression of the GFP reporter gene under a fragment of the murine truncated GFA promoter or a human synapsin promoter, we successfully restricted expression to astrocytes or neurons in the brain after AAV9 intravenous injection. Of importance, no GFP-positive hepatocytes could be detected in the liver tissue by immunohistological analysis, thus emphasizing on our ability to target expression of the transgene into the brain and spinal cord only. While we cannot rule out that higher doses of AAV may lead to some leakiness of expression in the periphery, our results show greatly reduced expression relative to broadly active promoters (i.e., CBA). This could allow safer use of AAV vector for clinical applications, as previous gene therapy trials have reported the possible development of host immune responses against the transgene product, especially when the protein is initially absent in patients.43,44

When the percentage GFP-expressing cells in the cortex was evaluated by unbiased stereology-based quantification, we demonstrated that the use of restricted promoters to narrow gene expression in astrocytes or neurons in the brain after AAV9 intravenous injection. Of importance, no GFP-positive hepatocytes could be detected in the liver tissue by immunohistological analysis, thus emphasizing on our ability to target expression of the transgene into the brain and spinal cord only. While we cannot rule out that higher doses of AAV may lead to some leakiness of expression in the periphery, our results show greatly reduced expression relative to broadly active promoters (i.e., CBA). This could allow safer use of AAV vector for clinical applications, as previous gene therapy trials have reported the possible development of host immune responses against the transgene product, especially when the protein is initially absent in patients.43,44

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Figure 5 scAAV9-Syn-GFP allows expression of a specific transgene in various neuronal subtypes. Peripheral infusion of scAAV9-Syn-GFP led to efficient transduction of both excitatory (a) as well as inhibitory (b) neurons (respectively identified by the CamKII and GAD67 markers). TH-positive neurons in the substantia nigra rarely expressed GFP (c). Few transduced Purkinje cells could also be observed in the cerebellum (d), their density being much lower as compared with what has been previously reported using a CBA promoter.21 Importantly, ChAT-positive motor neurons expressing GFP were also observed in the spinal cord (e). CamKII, Ca2+/calmodulin-dependent protein kinase II; ChAT, choline acetyltransferase; GAD67, glutamic acid decarboxylase; TH, tyrosine hydroxylase; scale bar = 50 μm.
intravenous infusion of vector at a dose of $2 \times 10^{13}$ vg/kg, and targeted astrocytes were evenly distributed throughout the neural tissue. Interestingly, there was no preferential transduction of cells closely connected with blood vessels as opposed to parenchymal astrocytes. In addition, both activated and resting astrocytes expressed GFP when scAAV9 vectors were injected in APP/PS1 mice models of Alzheimer’s disease that showed substantial astrocytic activation, a result of particular relevance when applied to neurological diseases that are often associated with increased reactive gliosis.45,46 By contrast, the comparison of neuronal transduction after intravascular injection of scAAV9-CBA-GFP or scAAV9-Syn-GFP revealed a significant increase in GFP-positive neurons when using a specific human Synapsin promoter as opposed with CBA. CBA is generally a robust promoter and is considered to be “ubiquitously” expressed. However, our data suggest that in some cases, quintessential strong, ubiquitous promoters such as CBA do not always have the highest activity in the target cell. This is supported by data showing different transduction patterns of two different strong promoters, CBA and CMV, in the mouse retina.47 In the future, it may be possible to enhance neuronal targeting even further by combining the transcriptional targeting described here with neuron-targeted AAV capsids.48

The present study therefore emphasizes on the benefit of designing AAV genomic backbones with narrowed expression abilities for CNS gene therapy approaches in which peripheral expression of transgene is a concern. While the present study used an AAV9
serotype, one can assume that our findings (difference between ssAAV and scAAV backbone, restricted expression of a specific transgene using specific promoters, are generalizable to other AAV serotypes that have been shown to cross the blood–brain barrier after intravenous delivery, such as rAAVrh.8, rAAVrh.10, or AAV-PHP.B.22,49

MATERIALS AND METHODS
Viral vector construction and production
Four AAV backbones driving the expression of GFP were used in these experiments, as well as one AAV backbone leading to the expression of DsRed (for the experiments of co- and sequential injection between scAAV9-CBA-GFP and scAAV9-CBA-DsRed). ssAAV9-CBA-GFP and scAAV9-CBA-GFP were kindly provided by Bakhos Tannous (MGH vector core), driving expression of GFP under the hybrid CMV/CBA promoter, scAAV9-GFA-GFP and scAAV-Syn-GFP were constructed by cloning the gfaABC(1)D promoter (generously provided by Dr. Bryan Roth, The University of North Carolina, (Addgene #50473)37), or the human Syn promoter (provided by Penn vector core, University of Pennsylvania, the sequence is available from Addgene, Ref. #50465) in place of CBA (Digestion MluI/Ncol), AAV serotype 9 vectors were produced as described previously.24 Briefly, 293T were transfected with AAV plasmids (AAV rep/cap and scAAV-IITR containing transgene expression plasmid) and helper plasmid (pAdA65) by the calcium phosphate method. Seventy-two hours post-transfection, cells were harvested and vector purified using a iodixanol density-gradient and ultracentrifugation protocol. Iodixanol and vector concentrated in phosphate-buffered saline (PBS) by dialfiltration using Amicon Ultra 100 kDa molecular weight cut-off centrifugal devices (Millipore, Billerica, MA). The AAV viral titers expressed as viral genomes/ml were determined by quantitative real-time PCR and the vectors were stored at −80 °C until use.

Animals and intravenous injections of AAVs
Three-month-old wild-type female C57BL/6J mice were purchased from Jackson Laboratory (Bar Harbor, ME) and used for the major part of the study. In order to determine the capacity of scAAV9 at transducing activated GFAP-positive astrocytes, 7-month-old APP/PS transgenic mice (strain B6C3-Tg (APPSwe, PSEN1ΔE9) 85Dbo/J),24 from Jackson Laboratory were chosen. A human amyloid mutant precursor protein gene containing the Swedish double mutation K594M/N595S was inserted in the genome of these two mouse lines, under the control of the prion protein promoter. In addition, the APP/PS1 mouse model overexpresses a variant of the Presenilin 1 gene deleted for the exon 9 (driven by the same promoter). The concomitant overexpression of APPswe and PSEN1 in APP/PS1 mice leads to a more severe phenotype, with substantial amyloid deposition visible as soon as 6 months of age. Because we previously reported a significant difference of transduction after intravenous injection of scAAV9 in males versus female, the entire study was conducted using female only.27 Mice were injected intravenously through the lateral tail vein with (2 × 10 3–4) g/kg in a final volume of 250 μl of sterile PBS) and the virus was allowed to express for 4 weeks before euthanasia. APP/PS mice were sacrificed after intravenous injection of the PIb derivative Methoxy-β-XY (5 mg/kg) in order to visualize amyloid plaques. All studies were performed with the approval of the Massachusetts General Hospital Animal Care and Use Committee and in compliance with the National Institute of Health guidelines for the use of experimental animals.

Tissue collection and processing
Mice were euthanized by CO2 asphyxiation and tissue collected for immunohistochemical analyses. One cerebral hemisphere was fixed by immersion in 4% paraformaldehyde and 15% glycerol for 24 h. Brains were sectioned in the sagittal plane into 10 series. For immunohistochemical and stereological analyses, brains were sectioned in the sagittal plane into 10 series.

Immunohistochemistry
Floating sections were immunolabeled with antibodies directed against GFP, GFAP, GS, NeuN, Iba-1, CaMKII-β, GAD67, or choline acetyltransferase. Primary and secondary antibodies used in experiments are listed in Supplementary Table S1. First, sections were rinsed briefly in tris-buffered saline (TBS) to remove the excess of glycerol and then were permeabilized in 0.5% TritonX in TBS for 20 minutes at room temperature. Sections were washed three times for 10 minutes each time, blocked with 5% normal goat serum and 0.5% TritonX in TBS for 1 hour at room temperature, and then incubated with primary antibody overnight at 4 °C in 2.5% normal goat serum and 0.1% TritonX in TBS. Sections were washed with TBS and incubated with appropriate secondary antibody in 2.5% normal goat serum and 0.1% TritonX in TBS. After another round of washing, sections were mounted onto slides and coverslipped with VectorShield mounting medium containing the molecular dye 4′,6-diamidino-2-phenylindole (DAPI) (Vector Labs, Burlingame, CA) or with DAPI Fluoromount-G (SouthernBiotech, Birmingham, AL). Images were collected on a Zeiss Axios Imager 2 epifluorescent microscope equipped with Coolsnap digital camera (Photometrics, Tucson, AZ) and AxioVision software (Zeiss).

Stereology-based quantitative analyses
Stereology-based studies were performed on immunolabeled sections using an Olympus BX52 epifluorescent microscope equipped with motorized stage, DP70 digital CCD camera, and CAST stereology software (Olympus, Tokyo, Japan). The cortex was outlined under low power objective (4×) and astrocytes and neurons counts were made using a 20 × 0.75 numerical aperture objective, with a meander sampling of the selected cortical area.

We generally used different probes in order to quantify the overall density of neurons and astrocytes (probe = 5%) and the amount of GFP-positive neurons or astrocytes (probe = 10%). Estimates of the numbers of transduced neurons and astrocytes (by immunolabeling and morphology) were calculated using the fractionator method.

Statistics
Pairwise comparisons were performed using the non-parametric Mann-Whitney U-test. For comparing more than two groups, the nonparametric Kruskal-Wallis test was performed followed by post-hoc tests, if necessary. We used nonparametric tests, as the D’Agostino and Pearson normality test was not conclusive due to the small sizes of our experimental groups. The significance level was set at α = 0.05. All the results are presented as the mean with standard deviation. All statistical analyses were performed using GraphPad Prism for Mac software version 5.0 (GraphPad Software, La Jolla, CA).

ACKNOWLEDGMENTS
This work was supported by NIA K99 AG047336, the Alzheimer’s Drug Discovery Foundation (E.H.), NIH/NINDS R21 NS01374-01 (C.M.), an American Brain Tumor Association Discovery grant (C.M.), and JPB Foundation (B.T.H.).

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Supplementary Information accompanies this paper on the Molecular Therapy—Methods & Clinical Development website (http://www.nature.com/mtm)