STUDY PROTOCOL

Study on human subjects – influence of stress and alcohol in simulated traffic situations [version 2; peer review: 2 approved]

Mobyen Uddin Ahmed1, Mir Riyanul Islam1, Shaibal Barua1, Bertil Hök2, Emma Jonforsen2, Shahina Begum1

1School of Innovation, Design and Engineering, Mälardalen University, Västerås, Sweden
2Senseair AB, Flotttljgatan 49, SE-72131 Västerås, Sweden

Abstract
This report presents a research study plan on human subjects – the influence of stress and alcohol in simulated traffic situations under an H2020 project named SIMUSAFE. This research study focuses on road-users, i.e., car drivers, motorcyclists, bicyclists and pedestrians, behaviour in relation to retrospective studies, where interaction between the users are considered. Here, the study includes sample size, inclusion/exclusion criteria, detailed study plan, protocols, potential test scenarios and all related ethical issues. The study plan has been included in a national ethics application and received approval for implementation.

Keywords
SIMUSAFE, Study on Human Subjects, Influence of Stress and Alcohol, Simulated Traffic Situations

This article is included in the Transport gateway.

This article is included in the Civil Engineering gateway.
Introduction
Project overview
The SIMUSAFE project (an acronym for “SIMUlator of behav-
iousal aspects for SAFER transport”) aims to analyse and define
the individual variable related to risky uptake behaviour in
urban traffic situations, trace cause-consequence data to evalu-
ate risk awareness and perception and determine core factors
of risky behaviour and affected decision-making processes.
SIMUSAFE therefore makes use of state-of-the-art simula-
tion, artificial intelligence (AI), virtual reality (VR) and data
science methodologies to retrieve accurate actor and behav-
iousal models in the urban traffic environment, so as to repro-
duce the same circumstances in incidents of interest, and thus
to understand the underlying behaviour and motivations of the
involved actors.

For the present human subject study, simulators have been
prepared for the following scenarios and road-users:
• Car drivers
• Motorcyclists
• Bicyclists
• Pedestrians

In Figure 1–Figure 4, pictures (taken by ITCL) of the car,
motorcycle, bicycle and pedestrian simulators are shown. The
vehicle simulators include big screens and pedestrian simula-
tors include VR goggles that simulate the specific conditions
of each category.

Amendments from Version 1
According to the reviewers’ remarks, this new version is revised
with few changes to better present the topic, analysis and
results. Here, the introduction chapter is modified with a clear
motivation of the background, study objective, and protocol.
Based on review suggestion the selection of the participation
are clearly addressed with statistical significance and reference.
Again, in the chapter ‘Data/Statistical analysis’ an overall objective
of the analysis through the study is addressed. Finally, a future
direction of the data analysis is also mentioned in the conclusion
chapter.
Any further responses from the reviewers can be found at
the end of the article.
Background
This phase of the SIMUSAFE project is focused on a major issue, stress, which affects a road user’s behaviour while actively participating in regular traffic. The growing complexity of the car and traffic environment, including the operation of assistance and information systems, can induce stress and increases cognitive load, which may affect driving performance. Mathews & Desmond outlined two major causes of driver stress: overload of attention and disruption of control. Driving environment can relate to both aspects. For example, road condition, such as road that causes excessive vibration to the vehicle, can overload driver attention and overall workload of the driver increases. This phenomenon can produce increased stress for drivers. Moreover, the environment of the road equally affects a pedestrian’s stress level while using the road. Selzer & Vinokur outlined driver stress as the prime safety problem for road users since it provides insights on driver behaviour by defining cognitive load. Several studies were conducted to determine the effects of stress on driving performances. Most of these studies found that driver stress impairs driving performance and increases risk-taking behaviour to cope with stress. Risky behaviours further stimulate aggressiveness in driving performance and end up in severe crashes that harm other normal road users.

The influence of alcohol intoxication on human behaviour in relation to traffic safety has been extensively studied over several decades. This research has been strongly motivated by the fact that a small fraction of drunk drivers, less than 1% in most countries, typically cause 25–30% of road accident fatalities. Legal limits for breath and blood alcohol concentration have been established in most countries based on physiological research establishing the blood/breath transition, which Jones and Hlastala showed in their studies. In an extensive retrospective case-study by Blomberg et al., it was established that the accident risk increases rapidly and progressively with alcohol concentration. The results are summarized in Table 1, showing that below the Swedish breath alcohol concentration (BrAC) legal limit of 0.1 mg/L, the risk increase is only a few percent. In the interval of moderate intoxication close to the legal limit in most EU countries (0.2–0.3 mg/L), the risk increase is considerable, 18–69%, whereas in the interval 0.4–0.6 mg/L of high intoxication, the risk increase is several hundred percent.

In recent years the technology for contactless breath alcohol analysis has evolved, raising the feasibility and awareness of new solutions for passive in-vehicle detection.

Simulators for vehicle driving have been known for decades, but to our knowledge no studies have been performed so far to investigate the influence of stress and alcohol intake on driver performance in a simulated environment, and to compare these results with other study results.

The potential benefits of advanced simulators should be obvious both in research and for educational purposes. The possibility to assess and improve behaviour could potentially improve traffic safety, saving lives and preventing injuries and damage related to traffic accidents. The main motivation of such studies is it cannot be conducted in realistic conditions, and as such, the simulator provides a faithful alternative, from which many behaviors could be inferred and again this can provide the possibility of the observation of simulator bias.

Study objective
The main study objective is to evaluate the performance of drivers in simulators with respect to the influence of stress and alcohol consumption on road-user behaviour in relation to our knowledge no studies have been performed.

This study is motivated by the following research questions:

i. How do different road conditions and situations impact the driver’s behaviour? Is the driver’s behaviour different with respect to the same conditions/situations if the driver is experiencing stress? Does the physiological stress change the risk potential of specific situations?

ii. Alcohol related question: Is there any correspondence between the behavioural results of the study and the dependency of accident risk on alcohol concentration according to retrospective studies, e. g. Blomberg et al.?

iii. Are there any unexpected results from the study?

Protocol
Selection of participants
A total number of 90 participants will be recruited for the study on the overlap between stress and alcohol. The Sweden population in 2019 (National Institute of Statistics of Sweden) is approximately 10 million, the population between 18 and 65 years old is less than 5 million. In order to have a significant sample and using one of the most common calculus to calculate samples with 5M of population, error of 10% and a confidence level of 95% the sample size must be at least 45 users. Here in this study, two age groups are selected as a result a portion of the population is dropped. The sample size for the test will be 100 users and a 10% more taking into account possible drop offs. However, for stress and alcohol test

| Category             | BrAC Interval (mg/L) | Relative Accident Risk Interval |
|----------------------|----------------------|--------------------------------|
| Sober                | 0.0-0.1              | 1.00-1.03                      |
| Moderately intoxicated| 0.2-0.3             | 1.18-1.69                      |
| Highly intoxicated   | 0.4-0.6              | 2.69-8.90                      |

Table 1. Influence of breath alcohol concentration (BrAC, mg/L) on relative accident risk, based on the publication.

---

1. https://en.wikipedia.org/wiki/Demographics_of_Sweden
2. https://www.surveysystem.com/sscalc.htm
we are going have 45 subject each as 3 and 4 consider 20 to 30 subjects for statistical significance.

The selection will be made from a larger number of people who will be asked to fill out a questionnaire, and who will also be interviewed to establish their qualifications to be enrolled as participants. The related information sheet, consent form and questionnaire are provided as Extended data²⁶.

Each recruited participant will be called to the test site on two occasions, once for stress-related tests and once for alcohol-related tests. The test subjects are divided into two age groups: young (18 – 25 years) and elderly (50 – 70 years) as these age groups have variation in the performance according to the recent research. Sametime, it was also observed that the age between 25 and 49 are often stable in their driving performance. Participants for the stress test will receive a gift card of worth 1500 SEK as gratification for successfully completing all stages of the test. For alcohol test participants the amount of gratification is 2000 SEK. Table 2 and Table 3 demonstrate the approximate number of participants from different age groups and road user types, respectively.

Being the first investigation of its kind, this study is exploratory rather than confirmatory (i.e., a hypothesis test). Therefore, it is not meaningful to perform a statistical power analysis. The size of the study population has been established from the estimated division into age groups and other category divisions with the purpose of obtaining exploratory quantitative results.

Inclusion criteria for the participants are:
- Age: young adults (18 – 24 years) and elderly (50 – 70 years)
- Gender: male or female
- Driving license: yes (for car drivers and motorcyclists)
- Health/medicine: none (i.e., participant shouldn’t have any health related problem e.g. diseases and not be taking any medication)
- Language: English or Swedish
- Body height: 160 – 210 cm
- Body weight: 60 – 150 kg
- Alcohol usage: 5 –15 glasses per month (applicable for alcohol test only)
- Stress tolerance: only subjects with standard or high scores in the Vienna test measuring stress tolerance and flexibility.

Exclusion criteria for participant selection are motion sickness, problems using VR goggles, stress intolerance, hearing or vision impairment, presence of chronic disease or serious allergies and any relation such as teacher and student or employer and employee among the participants and researchers. Habitual computer games players will be excluded if they are expected to be “immune” to simulated stressful situations.

Based on the study plan a press release named ‘Smarter AI vehicles with the help of citizens in Västerås’ has been posted on the Mälardalen University website. In the press release there is a Google form link that the participants can fill in and an email address for direct contact if they wish to participate in the study.

Platform
Simulators for the concerned tests will be provided by one of the SIMUSAFE partner institutions ITCL CENTRO TECNOLOGICO, Spain. Figure 1 demonstrates the simulator for car drivers. It has three LCD displays for visualization of the frontal view with a horizontal field of view of 120 degrees, steering wheel and driver’s seat with proper safety measures. Simulators for motorcyclists and cyclists are depicted in Figure 2 and Figure 3, respectively. Participants for both motorbikes and cycles will have similar frontal views with LCD displays. Treadmill and VR accessories will be used to run the tests with pedestrians. Figure 4 shows the experimental setup for pedestrians. There will be two simulators for each type of actor in the test premise. The simulators occupy approximately 10 m² of room area and can be positioned in almost any office or laboratory environment.

Data/statistical analysis
The data analytics will comprise a data storage infrastructure to gather all relevant data (actor model states, user, cognitive and behavioural assessment data and annotations). This infrastructure will be integrated in IBM Bluemix cloud platform together with the Sensorization Platform, further processing

---

1[https://www.statisticssolutions.com/sample-size-formula/]
2[https://en.wikipedia.org/wiki/Sample_size_determination]

---

**Table 2. Number of subjects from different age groups.**

| Tests  | Young adult | Elderly | Total |
|--------|-------------|---------|-------|
| Alcohol| 20          | 25      | 45    |
| Stress | 20          | 25      | 45    |
| Total  | 40          | 50      | 90    |

**Table 3. Number of participants from different road user types.**

| Actors   | Young adult | Elderly | Total |
|----------|-------------|---------|-------|
| Car drivers | 5          | 7       | 12    |
| Motorcyclists | 5         | 6       | 11    |
| Cyclists  | 5          | 6       | 11    |
| Pedestrians | 5         | 6       | 11    |
| Total    | 20         | 25      | 45    |
will be performed in the Data Analysis Server. IBM Streaming and Predictive Analytics from the platform will be employed to pre-filtering raw data sent to the Data Analysis server, for the real-time identification of events of interest and characteristic data patterns to be translated into components of the Actor Model. This data analysis to obtain required performance and isolate impacting factors will operate in three phases.

Phase 1 information fusion, data abstraction, and data pre-processing will be performed based on a combination of statistical, machine learning (i.e., both supervised and unsupervised learning) and signal processing methods and techniques. Here, a robust and scalable data cleaning strategy will be established with domain-specific knowledge, including sub-processes like cleaning, filtering, sampling or/and normalization. Previous work on data pre-processing using both structured and unstructured data will serve as basis for online automated data cleaning. Traditional feature extraction methods will be adapted to handle scalability issues in the domain. Novel strategies to fuse data at feature level and at data level considering a defined fusion mechanism will be used.

During Phase 2 data mining and knowledge discovery, a combination of potential sequences in the learning and search procedure will be investigated. The similarity assessment in the time series will be done by measuring the distance between probability distributions in the time series data mining. A combination of statistical model and fuzzy modelling algorithm will be applied to automatic addition/deletion of rules, as well as adjustment of the membership functions. A continuous learning procedure will be developed to keep the model constantly updated. New mining methods for the discovery of knowledge will be developed.

For Phase 3 learning, reasoning and model creation, adaptation of dynamic knowledge representation approaches will be achieved by combining different artificial intelligence methods. This has a connection with Phase 2 as the data driven knowledge, rules and patterns will be considered as input. To provide decision support, hybrid approach will be applied using different traditional machine learning algorithms, such as case-based reasoning, and clustering.

So, in overall objective of this study, is to observe [separately] the effects of *Stress*(S) and *Alcohol-consumption*(A) on *driving performance*(P) (i.e., S-->P & A-->P). In the manipulation Stress (excluding pedestrians), we also control for different *Road conditions*(R) that may interfere with the observed effects (i.e., a confounding factor), and include *Situational Risk*(S) as an additional factor that has a potential of interacting with the main effects of stress and alcohol consumption (i.e., S*R*S-->P). b.t.w., this gives rise to the question of why not do the same also with alcohol consumption (i.e., A*R*S-->P). In realization of these, a set of observatory measures are included in the instantiation of each factor as described next chapters.

**Design and procedure**

One test leader, Mobyen Uddin Ahmed from MDH, will be assigned to the management of the stress-related tests and Jonas Ljungblad from Senseair will be assigned to manage the alcohol-related tests. The detailed description of different test scenarios and procedures are described in the following sections.

**Stress test.** The stress test will be performed as a within-participant design. To induce stress during this part while driving, the participants will be asked to perform other specific tasks where a continuous increase of cognitive load will be required. To keep cognitive loads increasing during the performance, two tasks relevant for the purpose have been selected: time pressure and social stress. During the scenarios, three levels of stress will be induced to the participants. These levels are numbered as 0, 1 and 2. Stress condition with level 0 will be considered as the baseline. Consequently, levels 1 and 2 will be considered as low and high stress, respectively. The low stress condition will include time pressure and the high stress condition will include time pressure and social pressure. Moreover, the participants will drive on three kinds of road (driving condition) and a limited number of events and hazards will occur, allowing study of the drivers’ risk perception and behaviour when coping with unexpected risky events under increased cognitive load conditions. For bicyclists there will be only two types of driving condition. From the total number of participants, approximately 12 will be taking part in this test and they will be divided into three groups to randomize the sequence of stress-eliciting factor insertion during driving or riding a motorcycle in the simulation. For cyclists there will be only two subject groups containing approximately 22 participants each. Description of scenarios and the randomized sequence of scenarios in simulators for different actors are given in the subsequent sections below. Table 4 illustrates the sequence of induction of stress to

| Time | 4 | 4 | 4 | 4 | 4 | 4 | 4 | Total |
|------|---|---|---|---|---|---|---|-------|
| SG - 1 DC - 1 | S1.0 + S2.0 (BL) | S1.1 (LS) | S1.1 (LS) + E1.a | S1.1 (LS) + E1.b | S1.0 + S2.0 (BL) | S1.1 + S2.1 (HS) | S1.1 + S2.1 (HS) + E1.b | S1.1 + S2.1 (HS) + E1.c |
| SG - 2 DC - 2 | S1.0 + S2.0 (BL) | S1.1 (LS) | S1.1 (LS) + E2.a | S1.1 (LS) + E2.b | S1.0 + S2.0 (BL) | S1.1 + S2.1 (HS) | S1.1 + S2.1 (HS) + E2.b | S1.1 + S2.1 (HS) + E2.c |
| SG - 3 DC - 3 | S1.0 + S2.0 (BL) | S1.1 (LS) | S1.1 (LS) + E3.a | S1.1 (LS) + E3.b | S1.0 + S2.0 (BL) | S1.1 + S2.1 (HS) | S1.1 + S2.1 (HS) + E3.b | S1.1 + S2.1 (HS) + E3.c |

SG: subject group, DC: driving condition, BL: baseline, LS: low stress, HS: high stress.
participants for 32 minutes of simulator participation. The time for simulator usage will differ for different actor groups. For cyclists, scenario S0.3 and S1.3c will be replaced by similar scenarios defined below. The notations for different scenarios in Table 4 are further defined in the subsequent sections for different actor groups.

During the experiments, two participants from each actor group will be tested in the simulator each day. Each of the participants will be clearly briefed about the task and their responsibilities. Afterwards, physiological equipment will be attached to their body. Each participant will require around 2.5 hours to prepare fully for the tests. They will participate for about 40 minutes including some practice. After the test finishes, they will be debriefed, and the equipment will be removed. Table 5 shows the time plan of a test day for participants (car drivers, motorcyclists and cyclists).

For all actor types, time pressure (s1) and social pressure (s2) were always manipulated as a common factor and other factors that are different under each specific actor type:

**Car driver**
Different scenarios to support the levels of stress for car drivers are given below:

Driving condition – kind of road
- DC – 1: City road
- DC – 2: Country road
- DC – 3: Highway

| Time   | Test events                                      |
|--------|--------------------------------------------------|
| 08:00  | Arrival at Senseair                              |
| 08:10 – 08:20 | Primary training                               |
| 08:20 – 10:00 | Preparation of physiological equipment         |
| 10:00 – 10:30 | Training on levels of stress & scenarios        |
| 10:30 – 11:30 | Performing in simulator + practice              |
| 11:30 – 11:50 | Removal of physiological equipment and debriefing |

**Table 5. Schedule of a test day for participants in stress test.**

| Time   | Test events                                      |
|--------|--------------------------------------------------|
| 13:00  | Arrival at Senseair                              |
| 13:10 – 13:20 | Primary training                               |
| 13:20 – 15:00 | Preparation of physiological equipment         |
| 15:00 – 15:30 | Training on levels of stress & scenarios        |
| 15:30 – 16:30 | Performing in simulator + practice              |
| 16:30 – 16:50 | Removal of physiological equipment and debriefing |

**Motorcyclist**
Different scenarios to support the levels of stress for motorcyclists are given below:

Driving condition – kind of road
- DC – 1: City road
- DC – 2: Country road

| Time   | Test events                                      |
|--------|--------------------------------------------------|
| 13:00  | Arrival at Senseair                              |
| 13:10 – 13:20 | Primary training                               |
| 13:20 – 15:00 | Preparation of physiological equipment         |
| 15:00 – 15:30 | Training on levels of stress & scenarios        |
| 15:30 – 16:30 | Performing in simulator + practice              |
| 16:30 – 16:50 | Removal of physiological equipment and debriefing |

S1 – Time pressure
- S1.0 – No time pressure
- S1.1 – Time pressure

S2 – Social pressure
- S2.0 – No social pressure
- S2.1 – Social pressure

E – Unexpected risky events
- E1 – Unexpected risky events on city road
  - E1.a – Rush hour heavy traffic
  - E1.b – Pedestrian crossing the road without zebra crossing
  - E1.c – Diversion due to construction works

- E2 – Unexpected risky events on country road
  - E2.a – Sudden entry of a car from the side road
  - E2.b – Motorcyclist riding in front in zigzag fashion
  - E2.c – Sharp turn after an uphill and an oncoming vehicle in the opposite direction

- E3 – Unexpected risky events on highway
  - E3.a – Diversion due to construction works
  - E3.b – Heavy vehicle entering the highway from acceleration lane
  - E3.c – Low visibility due to fog
E2 – Unexpected risky events on country road
   E2.a – Sudden entry of a car from the side road
   E2.b – Car driving in front in zigzag fashion
   E2.c – Sharp turn after an uphill and an oncoming vehicle in the opposite direction

E3 – Unexpected risky events on highway
   E3.a – Car cutting-in in front of the motorcycle
   E3.b – Heavy vehicle entering the highway from acceleration lane
   E3.c – Low visibility due to fog

Cyclist
Scenarios to be faced by cyclists in the simulator test for stress are outlined below:

Driving condition – kind of road
   DC – 1: City road
   DC – 2: Country road

S1 – Time pressure
   S1.0 – No time pressure
   S1.1 – Time pressure

S2 – Social pressure
   S2.0 – No social pressure
   S2.1 – Social pressure

E – Unexpected risky events
   E1 – unexpected risky events on city road
      E1.a – Rush hour heavy traffic
      E1.b – Car cutting-in in front of the cycle
      E1.c – Diversion due to construction works
   E2 – Unexpected risky events on country road
      E2.a – Sudden entry of a car from the side road
      E2.b – Car brushing while passing the bicycle
      E2.c – Low visibility due to dusk

Pedestrian
As the pedestrian simulator tends to easily cause fatigue and nausea, tests will be conducted for limited duration and will include breaks every 5 – 10 minutes. The experiments will be performed using an urban scenario with/without time and social pressure. Moreover, some particular conditions will be simulated while conducting the experiment.

S1 – Time pressure
   S1.0 – No time pressure
   S1.1 – Time pressure

S2 – Social pressure
   S2.0 – No social pressure
   S2.1 – Social pressure

C – Particular conditions
   C1 – Construction on the sidewalk (requiring the pedestrian to perform a slalom)
   C2 – Crowded sidewalk (lots of people moving slowly on the sidewalk)
   C3 – Prolonged red light at the crosswalk (with road traffic)

Since the pedestrian simulator is the one more likely to cause discomfort, a short break time is reserved as per Table 6.

Alcohol test. The influence of alcohol consumption on behaviour in the simulator models will be studied in each of the test subjects by first running a test in the sober condition, then consuming alcohol with 0.8 g alcohol/kg body mass. This will normally result in a breath alcohol concentration well above the US legal limit of 0.4 mg/L. A test at this intoxication level is performed in all simulators. Then the participant is allowed to rest until BrAC has declined to 0.3 mg/L, and the test procedure is repeated at this concentration level. The final test run is performed when the subject has reached below 0.1 mg/L. The decline is typically 0.08 ± 0.01 mg/L per hour and therefore the duration of the entire test is expected to be 6–8 hours in each test subject. A tentative schedule of a test day for participants from all actor groups is given in Table 7. The exact number of simulator-driving sessions and the finish time will depend on how fast the alcohol concentration is decreasing and for how long the skin electrodes provide adequate electroencephalography (EEG) recordings.

Naturalistic tests in simulators. All of the four types of actors will take part in the simulator together to test their behaviour under the influence of stress and alcohol separately in some natural scenarios. An example task for naturalistic driving in the simulator is described below.

| Time | 2 | 1.5 | 1.5 | 3 | 15 | Break | 2 | 1.5 | 1.5 | 3 | Total |
|------|---|-----|-----|---|----|-------|---|-----|-----|---|-------|
| S1.0 + S2.0 (BL) | S1.1 (LS) | S1.1 (LS) + C1 | S1.1 (LS) + C2 | Break | S1.0 + S2.0 (BL) | S1.1 + S2.1 (HS) + C2 | S1.1 + S2.1 (HS) + C3 | 31 min |

BL: baseline, LS: low stress, HS: high stress.
Test scenario 1
There will be maximum of six participants taking part in the simulator study: one pedestrian, one cyclist, one motorcyclist and three car drivers. Moreover, some AI agents will be present in the simulation. Several streets in the environment will be closed due to some reasons like road or construction works etc. Participants will be participating in the test for around 5 – 10 minutes. Figure 5 illustrates test scenario 1. Each of the participants from different actor groups will have separate goals –

- Car drivers: To find a parking space quickly when only one or no parking spaces are free. The location with the unoccupied parking space won’t be revealed to the participant so they will have to drive around, as often happens in a lot of cities, to find a parking space and they will coincide on several occasions.

- Motorcyclists and cyclists: To go and come back several times between a source and destination point. It reflects a natural situation of helping a friend who is moving some stuff from an old place to a new place. Several trips are required.

- Pedestrians: Walk to a destination and return to the starting point. A friend will be waiting at the destination and the pedestrian needs to walk fast since the friend is running late for some duties.

Test scenario 2
There will be maximum of six participants taking part in the simulator study: one pedestrian, one cyclist, one motorcyclist and three car drivers. Moreover, some AI agents will be present in the simulation. Several streets in the environment will
be closed due to some reasons like road or construction works etc. Participants will be participating in the test for around 5 – 10 minutes. The layout of the scenario is shown in Figure 6. Participants from different actor groups will have separate goals –

- 1st car driver: To find a parking space and to go slowly since there is only one unoccupied parking space and others behind are also looking for parking.
- Other car drivers: To reach a destination in given time for some emergency with relatives.
- Motorcyclists and cyclists: To go and come back several times between a source and destination point. It reflects a natural situation of helping a friend who is moving some stuff from an old place to a new place. Several trips are required.
- Pedestrians: To reach your destination as quick as possible; therefore, you seek the shortest route.

**Test scenario 3**

There will be maximum of six participants taking part in the simulator study: one pedestrian, one cyclist, one motorcyclist and three car drivers. Moreover, some AI agents will be present in the simulation. Participants will be participating in the test for around 5 – 10 minutes. Figure 7 illustrates this scenario. All the participants from different actor groups will have same goal – to reach a place and come back using GPS. As the routes cross each other at several points, the users should coincide several times. The GPS will only indicate the destination with slightly different routes each time. The GPS system allows generation of routes that cross over at several intermediate points, provoking even more interactions between users.

All the participants in the above scenarios will take part in the simulating environment at the same time and will affect others’ performance as each of the difference road users do in real world scenarios.

**Coordination of stress and alcohol.** The stress and alcohol related tests will be performed on different occasions to avoid mixing of effects.

**Measures**

The measures here reflect a variety of observable that are split between:

1. Road performance related measures as the main target of the observation, which captured mainly via simulator data and physiological measurements.
2. Additional background moderators’ observations (e.g., personality traits, self-perceptions, etc.).

**Vienna test measures**

Different measures will be collected to compute the cognitive features of the behaviour of road users. The below mentioned measures were already used in earlier phases of the project by partner research institutions.

- **DT** – Vienna Determination Unit (complex reaction tests)
- **MLS** – Motor Performance Series according to Schoppe (fine motor skills)
- **RT** – Vienna Reaction Device (simple reactions tests)
- **ART 90** – Act and React Test System (seven subsets for assessment of fitness to drive)

**Questionnaires**

Before the beginning of the experimental tasks, all participants will fill out a background questionnaire, presented as Extended data, for recruitment purposes and another questionnaire after conducting the respective tasks to reflect on their experiences. This information will be made available to participants before the test and then given to the participants at the end of the experiments in order to fill the form there.

**Simulator data**

The simulator data acquisition system will be used to sample various vehicular data like speed, lateral position, steering wheel angle and braking. Data will be sampled with a
frequency of 250 Hz. In total there is one vector per measure for vehicular data: one EEG file containing the signals from the EEG equipment; one vector with the value of NASA-TLX from the questionnaire provided by the driver, including a value before and after the drive; and one vector to track the cognitive load level. Finally, there will be a vector for synchronization with the equipment for physiological measures.

**Physiological measurements**
A number of different physiological data will be collected; heart rate (HR), heart rate variability (HRV), blood volume pulse (BVP), electromyogram (EMG), galvanic skin response (GSR), EEG and electro-oculogram (EOG). For the alcohol test, one additional measurement on BrAC will also be measured. These data will be used to produce model that can give objective measurements of stress and alcohol presence, and as a basis for assessing risky behaviours of road users by corelating with data from the Vienna test and simulators.

**Schedule.** The tentative time plan for each of the steps for experiments on effects of stress and alcohol on road users are outlined below in Table 8.

**Data management**
Along with the data mentioned above, some personal data - date of birth, sex, weight, height, alcohol drinking habits, driver’s license for cars/motorcycles etc. will be recorded.

**Documentation of investigation procedures.** The study is an exploratory investigation using the physiological data i.e., the heart (ECG), variation of heartbeat (HRV), cardiovascular dynamics (BVP), skin response (GSR), the muscles (EMG), respiration (RP), activity in the brain (EEG), and the eyes (EOG). The equipment continuously records data from the moment the simulator study is turned on until it is shut down. However, no intervention will be performed and no data regarding intervention will neither be documented nor stored during the data collection.

The alcohol-related tests will be performed with similar physiological data collection routines. In addition, the subject’s reaction to simulated stimuli will be recorded, for example their steering operation and balancing. This will be done by recording speed, lateral position, break and steering wheel angle. The readings of breath alcohol concentration will be performed before and after each recording session.

Video recordings of test participant face will be made to better understand their behaviour during the driving. The road in front of the vehicle will be resumed. Also, data from the simulator i.e., speed, lateral position, break, steering wheel angle, use of the arrows and the behaviour of other vehicles’ drivers will be analysed. Further, there will be audio recording during data collection.

**Data storage and sharing**
**General procedures**
The SIMUSAFE project adopts appropriate procedures so as to ensure the necessary confidentiality and respect of privacy by establishing a set of principles and procedures to guide the partners to achieve the goals and objectives of the SIMUSAFE project. Strict accordance with applicable international, EU and national law, especially the EU directive 95/46/EC is guaranteed, and any related updates will be observed.

The collected data will be handled both locally and in a cloud database. The simulators used for data collection consist of a

| Date             | Events                                                                 |
|------------------|------------------------------------------------------------------------|
| 2020-06-01 –     | Advertisement for volunteers for stress and alcohol tests             |
| 2020-09-16 – 2020-10-31 | Information meeting, questionnaire and feedback session for stress and alcohol tests |
| 2020-09-20 – 2020-11-15 | Selection of volunteers and signing of consent forms for stress and alcohol tests |
| 2020-10-16 – 2020-11-30 | Cognitive assessment by Vienna test of volunteers for stress test |
| 2020-12-01 – 2021-01-15 | Cognitive assessment by Vienna test of volunteers for alcohol test |
| 2021-01-15 – 2021-01-31 | Pilot study for stress test                                           |
| 2021-02-01 – 2021-02-15 | Pilot study for alcohol test                                          |
| 2021-02-16 – 2021-03-31 | Main study for stress test with 45 participants                        |
| 2021-02-20 – 2021-04-05 | Self-confrontation interviews for stress test                         |
| 2021-04-01 – 2021-05-31 | Main study for alcohol test with 45 participants                      |
| 2021-04-16 – 2021-05-15 | Self-confrontation interviews for alcohol test                        |
| 2021-04-16 – 2020-05-20 | Miscellaneous tasks                                                  |
local server where the initial storage will take place. When a test finishes, data will be de-identified and sent to the IBM’s Cloud storage, where a folder for each test will be created. For data synchronization, Message Queuing Telemetry Transport (MQTT) messages will be used, and data will be encrypted both before transfer to the cloud server and storage in the local server. The GNU Privacy Guard (GPG, also called GnuPG) encryption method will be used for data encryption. The encryption key will only be given to the project partners upon request.

The collected data will be stored for 10 years and will only be used for research and research publication, within the scope of the SIMUSAFE consortium agreement among the partners. The main applicant of this ethical application i.e., Mobyen Uddin Ahmed, will be the responsible person for generating the encrypted code list and the test leaders will have access to the codes.

**General Data Protection Regulation (GDPR) compliance**

SIMUSAFE complies with the recently enacted GDPR ensuring that all personal data collected in the course of this work will follow the letter of the law; for instance, the partners will not collect unnecessary personal data, will keep personal data encrypted or pseudonymized where appropriate, allow respondents to opt out of further processing, to have their personal data erased upon request, and any other data management responsibilities that the GDPR requires the consortium to do. Data are protected according to the Public and Secrecy Act (2009: 400) and the Data Protection Regulation (GDPR).

**Ethical issues**

The study plan has been included in an ethical application Dnr: 2019-03961 and approved by the Swedish ethical authority Etikprövningsmyndigheten for implementation. During the implementation of the study a study information sheet and a consent form will be provided as presented in as *Extended data*.

As the methodological approach underlying the SIMUSAFE project relies on experimenting with human beings as a means for the elicitation and reproduction of valid simulated behaviour of artificial actors, ethical issues become a major concern needing appropriate care and attention. Therefore, during the performance of the SIMUSAFE project, ethical issues will be considered during the whole project development, across all working packages. From the initial stage of defining the specifications and requirements for the SIMUSAFE platform, ethical issues management will be defined and any activity involving such actions will be evaluated. A list of several ethical issues is presented in Table 9. This evaluation in particular requires that any actions involving experimentation.

| Criteria                          | Descriptions                                                                 |
|-----------------------------------|-----------------------------------------------------------------------------|
| Benefits for society              | Potential reduction of traffic injuries due to improved behaviour as a result of education and training. |
| Benefits for the company/university | Taking active part in frontier research. Possible future research and innovation. |
| Benefits for the test subjects    | First-hand knowledge of frontier research, gratification.                    |
| Effort for society (EU)           | Financial.                                                                  |
| Effort for the company/university | Contributing with research capacity for planning, implementation and analysis. Minimize the risk of unforeseen events or accidents. Insurance. |
| Effort for the test subjects      | Two working days occupied.                                                  |
| Risks for society                 | Failure to reach research objective, competition from other projects. Unforeseen events. |
| Risks for the company/university  | Bad reputation in case of failure to meet objectives. Unforeseen events, accidents. |
| Risks for the test subjects       | Accidental person data exposure, other unforeseen events, accidents. After balancing the benefits, efforts, and risks for society, company/university, test subjects, it is concluded that the potential benefits dominate. |
| GDPR issues                      | Personal data management. Assignment of responsibility. Right of refusal. Right to terminate participation any time. Right to receive copy of stored personal data. Right to have stored personal data erased. Test person data collection, storage and management. |
| Code key holder                   | Mälardalen University (MDH)                                                 |
| Responsible person from MDH       | Mobyen Uddin Ahmed                                                          |
| Responsible person from Senseair  | Jonas Ljungblad                                                             |
with human beings and handling sensitive personal information comply with the applicable legal and international scientific standards.

Study status
The status of the study is now on hold due to Covid-19 restrictions since November 2020. However, there was interest from 127 participants in total and 50 were invited for the information meeting, with 43 having performed the cognitive tests before November 2020.

Conclusions
This study protocol reports a study on human subjects considering the influence of stress and alcohol on simulated traffic situations. Here, several road users i.e., car, motorcycle, bicycle drivers and pedestrians, are going to be involved in single user and as well as multi-user scenarios. Both control studies with supervision and as well as naturalistic simulation tests are planned. The report also provided a detailed study protocol including test scenarios, study design, platform, data management plan, and related ethical issues. With Covid-19 and the restrictions imposed by the local authority, it has only been permitted to invite the age group 18–24 years for tests, who should not mix with those aged 50–70. As a result, only five participants have been invited as motorcyclists, which has limited the multiuser study to only five test sets. Besides this there have also been some technical issues affecting the study such as problems with video uploading; sometimes the simulator doesn’t upload the video into the Cloud automatically and the problem needs to be solved manually. We still hope the Covid-19 restrictions will ease in March/April, and we can invite the older participants to at least perform some more cognitive tests and the stress simulation test. Nevertheless, this study is establishing a basis for future elaborations such as – interactions between stress and alcohol, between participants (i.e., including multi-participant scenarios), comparisons to realistic settings, etc.

Data availability
Underlying data
No underlying data are associated with this article.

Extended data
Zenodo: Extended data for a study on human subjects related to Simusafe. https://doi.org/10.5281/zenodo.4836834.

This project contains the following extended data within the file ‘Extended data.docx’: - Information sheet - Consent forms - Questionnaire

Data are available under the terms of the Creative Commons Attribution 4.0 International license (CC-BY 4.0).

References

1. Green P: International Incidents: Crashes Induced by Driver Information System and What can be Done to Reduce Them. ITS World. 2001.

2. Matthews G, Desmond PA: Stress as a factor in the design of in-car driving enhancement systems. Le Travail Humain: A Bilingual and Multi-Disciplinary Journal in Human Factors. 1995; 58(2): 109–129.

3. Selzer ML, Vinokur A: Role of life events in accident causation. Ment Health Soc 1975; 2(1–2): 36–54.

4. Reimer B, Mehler B: The impact of cognitive workload on physiological arousal in young adult drivers: a field study and simulation validation. Ergonomics. 2011; 54(10): 932-942.

5. Mehler B, Reimer B, Coughlin JF: Physiological reactivity to graded levels of cognitive workload across three Age groups: an on-road evaluation. Proc Hum Factors Ergon Soc 54th Annu Meet. 2010; 54(24): 2062-2066.

6. Matthews G, Joyner LA, Newman R: Age and gender differences in stress responses during simulated driving. Proc Hum Factors Ergon Soc 43rd Annu Meet. 1999; 43(10): 1007–1011.

7. Norris FH, Matthews BA, Riad JK: Characterological, situational, and behavioral risk factors for motor vehicle accidents: a prospective examination. Accid Anal Prev. 2000; 32(4): 505-515.

8. National Center for Statistics and Analysis. Alcohol-impaired driving: 2015 data. Technical Report. National Highway Traffic Safety Administration, Washington DC, 2016.

9. Jones AW: Physiological aspects of breath alcohol measurement. Alcohol Drugs Driving. 1990; 6(2): 1–25.

10. Hlastala MP: The alcohol breath test—a review. J Appl Physiol (1985). 1998; 84(2): 401–8.

11. Blomberg RD, Peck RC, Burns M, et al.: Crash risk of alcohol involved driving: A case-control study. Technical report. Dunlap & Associates Inc.,Stamford CT, 2005.

12. Hok B, Pettersson H, Andersson AK, et al.: Breath analyzer for alclocoks and screening devices. IEEE Sensors Journal. 2010; 10(1): 10–15.

13. Andersson AK: Improved breath alcohol analysis with use of carbon dioxide as the tracer gas. PhD Thesis. Mälardalen University, Västerås, Sweden, 2010.

14. Anund A, Antonson H, Ibbström J: Stakeholders’ opinions on a future in-vehicle alcohol detection system for prevention of drunk driving. Traffic Inj Prev. 2015; 16(4): 336–44.

15. Ljungblad J: High performance breath alcohol analysis. PhD Thesis Mälardalen University, Västerås, Sweden, 2017.

16. Ahmed MU: Extended data for a study on human subjects related to Simusafe. Zenodo. 2021. http://www.doi.org/10.5281/zenodo.4836834.

17. Barua S, Begum S, Ahmed MU: Clustering Based Approach for Automated EEG Artifacts Handling. Frontiers in Artificial Intelligence and Applications. 13th Scandinavian Conference on Artificial Intelligence (SCAT 15), 2015; 278: 7–16.

18. Begum S, Barua S, Filla R, et al.: Classification of physiological signals for wheel loader operators using Multi-scale Entropy analysis and case-based reasoning. Expert Syst Appl. 2014; 41(2): 295–305.
20. Fu TC: A review on time series data mining. *Eng Appl Artif Intell.* 2011; 24(1): 164–181.

21. Banaee H, Ahmed MU, Loutfi A: Data mining for wearable sensors in health monitoring systems: a review of recent trends and challenges. *Sensors (Basel)*. 2013; 13(12): 17472–17500.

22. Ahmed MU, Banaee H, Loutfi A: Health monitoring for elderly: An application using case-based reasoning and cluster analysis. *ISRN Artificial Intelligence*, 2013.

23. Ahmed MU, Funk P: A Computer Aided System for Post-operative Pain Treatment Combining Knowledge Discovery and Case-Based Reasoning. *20th Intl Conf on Case-Based Reasoning. ICCBR’12*, 2012; 7466: 3–16.

24. Jones AW, Andersson L: Comparison of ethanol concentrations in venous blood and end-expired breath during a controlled drinking study. *Forensic Sci Int.* 2003; 132(1): 18–25.
Open Peer Review

Current Peer Review Status: ✔ ✔

Dario Babic
Faculty of Transport and Traffic Sciences, University of Zagreb, Zagreb, Croatia

The report presents a research study plan on the influence of stress and alcohol in simulated traffic situations under an H2020 project named SIMUSAFE. This research study focuses on different road users such as car drivers, motorcyclists, bicyclists and pedestrians. In order to evaluate the influence of stress and alcohol, authors used a range of observatory attributes including physiological measures, simulator data, participants characteristics (e.g., personality, psychological, demographics). The authors described in detailed study design and experimental protocol. Overall, the report is well written and provides good insight into the overall study. I have only two minor comments:

More detailed comments are presented below:

1. I suggest clearly stating what are “stress” related research questions and what are alcohol related questions. The third research question is not necessary in my opinion.

2. It would be beneficial to have short description of the instruments used for collecting physiological data - the heart and variation of heartbeat, cardiovascular dynamics, skin response, the muscles, respiration, activity in the brain and the eyes.

Is the rationale for, and objectives of, the study clearly described?  
Yes

Is the study design appropriate for the research question?  
Yes

Are sufficient details of the methods provided to allow replication by others?  
Yes

Are the datasets clearly presented in a useable and accessible format?  
Yes
**Competing Interests:** No competing interests were disclosed.

**Reviewer Expertise:** Driver behaviour

I confirm that I have read this submission and believe that I have an appropriate level of expertise to confirm that it is of an acceptable scientific standard.

---

**Version 1**

Reviewer Report 16 August 2021

https://doi.org/10.21956/openreseurope.14662.r27301

© 2021 Limonad L. This is an open access peer review report distributed under the terms of the Creative Commons Attribution License, which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited.

Lior Limonad
IBM Research, Haifa, Israel

The paper presents a detailed experimental scheme to explore the potential effects of stress and alcohol consumption on driver’s behavior in a simulator, across a multitude of driver types, including pedestrians. Performance is assessed via a rich set of observatory attributes, including physiological measures, simulator’s state, while also including a rich set of background characteristics about the participants (e.g., personality, psychological, demographics).

The authors provide a very detailed description of the experimental protocol, one that would allow for subsequent experimental replications in the context of more rigid and confirmatory studies. Overall, the paper is well put, clearly articulated, and recommended for indexing, with only a few minor clarifications and improvement suggestions on my side for its final polishing.

Hence, my more concrete comments are the following:

- Page 4, end of Background: consider clarifying further why it is important/relevant to study the effect of stress/alcohol in a simulated environment. I am missing something in the spirit of: “Such studies cannot be conducted in realistic conditions, and as such, the simulator provides a faithful alternative, from which many behaviors could be inferred.” And in relation to this, consider also mentioning that this ability may sometimes be shaded by the ‘simulator bias’.

- P4 – “performance of the simulators” -> “performance of drivers’ in simulators”.

- P4 – “in relation to retrospective studies” – stands in conflict with the previous statement of “to our knowledge no studies have been performed”.

- “The following research questions are raised”: consider replacing with “This study is motivated by the following research questions”.
- Regarding the selection of participants, consider adding a clarification onto which population do you wish to draw conclusions about (i.e., generalize to), is it the entire population of all ages?

- Somewhat related to the previous point – why are you omitting a representation of mid-age 25-49?

- Regarding sample size, what is the basis for choosing a size of 90? Do you have any a-priori knowledge about the foreseen effect size? You may also consider adding a smaller size pilot that is meant to give rationale to sample size.

- “Alcohol usage: 5-15”, why not include also zero to represent someone who doesn't drink (or rarely drinks)?

- P5 - “machine learning and signal processing”: is it intended to employ supervised / non-supervised learning? if the former, which strategy will be employed for data labeling? if the latter, which techniques may be employed for sufficient data-point population?

- I understand that this is not a confirmatory study, and yet, I would strongly recommend adding a section that states higher-level objectives: i.e., I am missing a general proposition of the following type -
  - “In the study, our aim is to observe [separately] the effects of *Stress*(S) and *Alcohol-consumption*(A) on *driving performance*(P) (i.e., S-->P & A-->P). In the manipulation Stress (excluding pedestrians), we also control for different *Road conditions*(R) that may interfere with the observed effects (i.e., a confounding factor), and include *Situational Risk*(S) as an additional factor that has a potential of interacting with the main effects of stress and alcohol consumption (i.e., S*R*S-->P). b.t.w., this gives rise to the question of why not do the same also with alcohol consumption (i.e., A*R*S-->P). In realization of these, a set of observatory measures are included in the instantiation of each factor as described next ...”

- P6 – “from an actor” -> “from each actor”.

- I think that for most participant types, time pressure (s1) and social pressure (s2) were always manipulated, so for brevity and ease of reading, you can present this before (also with all other factors that may be common) and only list the factors that are different under each specific participant type.

- In the “measures” section, ensure the inclusion of manipulation checking for the main factors manipulated. Consider also adding a measure for the perceived presence of the sensors as causing interaction or distracting drivers from the effect of the intended manipulation of stress and alcohol consumption.

- Also, in relation to the measures section - I strongly recommend moving this section prior to the data analysis/preprocessing section. You may also further partition this. I think that measures here reflect a variety of observable that are split between: 1. measures that could be considered direct instantiation of *road performance* as the main target of the
observation, per my understanding, captured mainly via simulator data and physiological measurements). 2. additional background moderators that may later be used to partition the observations (e.g., personality traits, self-perceptions, etc.). I suggest making such a distinction explicit (e.g., as subsections) to clarify how the measures listed correspond to the overall experimental scheme.

- P11 – “de-identifed” -> suggest replacing with 'anonymized'.
- P13 – “set tests” -> “test sets”.
- P13 – “to solve” -> “to be solved”.
- Could also elaborate more on the study establishing a basis for future elaborations such as – interactions between stress and alcohol, between participants (i.e., including multi-participant scenarios), comparisons to realistic settings, etc.

**Is the rationale for, and objectives of, the study clearly described?**
Yes

**Is the study design appropriate for the research question?**
Yes

**Are sufficient details of the methods provided to allow replication by others?**
Yes

**Are the datasets clearly presented in a useable and accessible format?**
Yes

**Competing Interests:** No competing interests were disclosed.

**Reviewer Expertise:** Human-centric AI

I confirm that I have read this submission and believe that I have an appropriate level of expertise to confirm that it is of an acceptable scientific standard.