Abstract

Background: The recovery of hand function is consistently rated as the highest priority for persons with tetraplegia. Recovering even partial arm and hand function can have an enormous impact on independence and quality of life of an individual. Currently, tendon transfers are the accepted modality for improving hand function. In this procedure, the distal end of a functional muscle is cut and reattached at the insertion site of a nonfunctional muscle. The tendon transfer sacrifices the function at a lesser location to provide function at a more important location. Nerve transfers are conceptually similar to tendon transfers and involve cutting and connecting a healthy but less critical nerve to a more important but paralyzed nerve to restore its function.

Methods: We present a case of a 28-year-old patient with a C5-level ASIA B (international classification level 1) injury who underwent nerve transfers to restore arm and hand function. Intact peripheral innervation was confirmed in the paralyzed muscle groups corresponding to finger flexors and extensors, wrist flexors and extensors, and triceps bilaterally. Volitional control and good strength were present in the biceps and brachialis muscles, the deltoid, and the trapezius. The patient underwent nerve transfers to restore finger flexion and extension, wrist flexion and extension, and elbow extension. Intraoperative motor-evoked potentials and direct nerve stimulation were used to identify donor and recipient nerve branches.

Results: The patient tolerated the procedure well, with a preserved function in both elbow flexion and shoulder abduction.

Conclusions: Nerve transfers are a technically feasible means of restoring the upper extremity function in tetraplegia in cases that may not be amenable to tendon transfers.

Key Words: Nerve transfer, reconstructive neurosurgery, surgical rehabilitation, tetraplegia

INTRODUCTION

Within the United States, there are approximately 225,000–300,000 persons with a spinal cord injury (SCI), with approximately 12,000 individuals suffering a new SCI each year.[8,16] The majority are young, healthy, and active people in their most productive years. Just greater than 50% of all SCIs occur at the cervical level, resulting in tetraplegia. This most often results in the loss of effective arm and/or hand function. Hand function
is consistently rated as the most desired function for persons with tetraplegia, above bowel and bladder function, sexual function, standing, and pain control.[3,4] Recovering even partial arm and hand function can have an enormous impact on independence and quality of life of individuals, because those with cervical SCI are dependent upon the upper extremity function for mobility and activities of daily living.[19]

Currently, tendon transfers are the most commonly accepted intervention for restoring hand function in persons with tetraplegia. The distal end of a functional muscle is cut and reattached at the insertion site of a nonfunctional muscle. The new configuration produces a new function. The tendon transfer sacrifices function at a lesser location for function at a more important location. While these procedures offer functional gains for an estimated 70% of tetraplegic patients, recent surveys estimated that fewer than 10% of appropriate candidates actually received the interventions.[18] This is apparently due to a number of potential issues, including perceived inconsistent success rates, lack of relationship between the physiatrists and surgeons who perform these procedures, and lack of insurance coverage, among others.[6,10] Additionally, some patients are hesitant to undergo such procedures for multiple reasons, with the most commonly reported being resistance to having the extremity “disfigured” when a “cure” may be on the horizon, as well as concern about having the limb immobilized for an extended period of time while the tenodeses mend. This immobilization causes a patient who is already highly dependent on others to become completely incapable of the most rudimentary self-care. For many, this temporary inconvenience is not worth the perceived gains of the intervention.

Nerve transfers are conceptually quite similar to tendon transfers. Simply put, a nerve serving one function (and originating above the injury zone) is cut and reconnected to a nonfunctional nerve (below injury zone) serving a more important function. Thus, a patient who has effective elbow flexion but no finger flexion may have finger flexion restored by transferring some of the nerve branches that provide elbow flexion to the nerve that provides finger flexion. A number of nerve transfers have been developed for restoring function within the hand.[5,15]

In contrast to tendon transfers, nerve transfers require a significant amount of time postoperatively before function is realized. This time is needed for the regeneration of transferred axons from the site of suture repair to their new target muscle. Nerve transfers, though, have a number of attributes that may make them more appealing than tendon transfers in some situations. First, they restore muscle groups without altering their biomechanics. Second, they do not require prolonged immobilization. Third, they offer potential reconstructions when no tendon transfer options are available, as in International Classification for Surgery of the Hand in Tetraplegia group 0 (ICSHT 0) [Table 1]. Finally, they offer a greater than 1:1 functional exchange. That is, sacrifice of one simple function can potentially restore multiple functions. For example, the nerve to a single wrist extensor, when transferred to a nerve subserving multiple finger flexors, can often restore independent flexion of each of these fingers. Further emphasizing this favored exchange, at times nerve transfers can be accomplished with no appreciable loss of function from the donor muscle group. This occurs because many transfers can be accomplished by transferring only a portion of the given nerve to a particular muscle group. Although this results in a reduction in the complement of axons to the original muscle, often simple enlargement of the motor units recovers all formerly denervated muscle fibers and thus near-original strength.

**MATERIALS AND METHODS**

**Case Report**

This 28-year-old, left-handed man was injured in a football accident 13 years before presentation, leaving him a C5 ASIA B tetraplegic. The patient remained motivated and an active participant in therapy. Nine years before presentation he underwent successful placement of a functional electrical stimulation (FES)

| Sensibility | Group | Muscle | Function |
|-------------|-------|--------|----------|
| Ocular or cutaneous | 0     | No muscle below elbow suitable for transfer | Flexion of elbow |
|              | 1     | Brachioradialis | Weak wrist extension with radial deviation |
|              | 2     | Extensor carpi radialis longus | Wrist extension |
|              | 3     | Extensor carpi radialis brevis | Forearm pronation |
|              | 4     | Pronator teres | Wrist flexion |
|              | 5     | Flexor carpi radialis | Finger metacarpophalangeal joint extension (extrinsic extension of the fingers) |
|              | 6     | Extensor digitorum communis | Thumb interphalangeal joint extension (extrinsic extension of the thumb) |
|              | 7     | Extensor pollicis longus | Extrinsic finger flexion |
|              | 8     | Digital flexors | All muscles except intrinsic |
|              | 9     | All muscles except intrinsic |

| X | Exceptions |
system (Freehand System™, NeuroControl Corporation), which allowed him to artificially produce pinch and grip via a control driven by the contralateral shoulder [Figure 1]. He stopped using the system more than a year ago as he felt that the control provided to his hand was suboptimal, the machine was cumbersome, and he had learned to compensate for his deficits. Additionally, he had developed some discomfort at the site of some of the wires, which he felt were “pulling.” He initially presented for the removal of the system, hopeful that there were new options for improving his hand function.

The patient underwent detailed functional evaluation. On motor examination, he had full 5/5 Medical Research Council (MRC) strength in his upper trapezius and anterior and medial deltoids bilaterally. The MRC strength in his biceps/brachialis muscles, middle, and lower trapezius, and upper portions of his serratus anterior bilaterally was 4+/5. His posterior deltoid strength was 4+/5 on the right but 3+/5 on the left. Wrist extension was recorded as 3/5 on the right and 2+/5 on the left (although this movement was the result of a previous brachioradialis to extensor carpi radialis brevis tendon transfer performed at the time of the FES implant). No appreciable volitional function (0/5) was identified in the triceps, wrist and finger flexors, or interosseous muscles bilaterally. Given this complete paralysis of the hands, triceps, wrist and finger flexors, or interosseous muscles, appreciable volitional function (0/5) was identified in the triceps, wrist and finger flexors, or interosseous muscles bilaterally. Given this complete paralysis of the hands, pinch and grip measurements were not feasible, but were followed as regeneration progresses.

Sensory testing revealed a visual analog scale rating of 8/10 in the right median distribution and 10/10 in the right ulnar, while 8/10 was described in both left median and ulnar distributions. Two-point discrimination was detectable at 6–7 mm in the right median, 6–8 mm in the right ulnar, 7–8 mm in the left median, and 6–9 mm in the left ulnar distributions, with the first number indicating the detection of a moving stroke across the finger and the second static pressure of the two probes.

He was also subjected to a battery of functional tests. On the Disabilities of the Arm, Shoulder and Hand (DASH) questionnaire, he scored a 72.5, with a 50.0 in the Work subcategory and an 87.5 in the Sports subcategory (where 0 = no disability and 100 = severe disability). On the Canadian Occupational Performance Measure, he scored a 3.8 in both performance and satisfaction, of a possible 10 points in each category. On the Action Research Arm Test, he scored 3/57 on the left and 6/57 on the right. The total time on the Jebsen–Taylor Hand Test was 324.3 s on the right and 515.34 s on the left, indicating severe dysfunction bilaterally (mean for age-matched controls being 37.65, with a standard deviation of 8.45). These measures provide a qualitative means of assessing either positive changes in the function that may result from an improved function within the intended target muscles or negative changes in the function which could result from ineffective reinnervation or weakening of donor muscles.

Electrodiagnostic studies demonstrated essentially normal median compound motor action potential (CMAP) amplitudes and velocity, with slightly prolonged ulnar latency on the left. Radial CMAPs demonstrated normal velocities, with slightly small amplitude from the extensor indicis proprius. Needle examination demonstrated no abnormal spontaneous activity in the left extensor digitorum communis, extensor carpi radialis longus, brachioradialis, extensor indicis proprius, biceps, brachialis, deltoid, triceps, pectoralis, and trapezius. Normal recruitment was noted in the trapezius, moderately reduced recruitment was noted in the brachialis, and severely reduced recruitment was noted in the brachioradialis and posterior deltoid. As would be expected, no motor unit potentials were detectible on needle EMG examination of the paralyzed muscles outside of passively triggered spasms. Conversely, all muscle groups were directly activated by the stimulation of the associated nerve, as would be expected in a patient who had benefitted from the implantation of an upper extremity FES system. This again confirms, that the paralysis is due to the spinal cord injury and has minimal to no contribution from peripheral axon loss.

**Operative and reconstructive techniques**

The basic goal of this procedure is to redistribute redundant cortically controlled nerves to activate paralyzed muscle groups which are critical to reaching and grasping. Following the removal of the previously placed FES system, the first objective of this operation was to redistribute control from the elbow flexors into the wrist and finger flexors to allow pinch and grasp [Figure 2].
The patient was placed in the supine position, with his arm on an arm board [Figure 3]. Prior incisions were reopened and each lead of the FES system was carefully teased away from the muscles in which they were implanted. This entire system was eliminated.

We then explored the medial arm in preparation for the musculocutaneous to median nerve transfer to restore wrist and finger flexion. The musculocutaneous nerve was identified between the biceps and brachialis muscles, bifurcating at the midarm to send a branch to each of these muscles [Figure 4]. A vessel loop was then placed around the brachialis branch. Of note, the patient had unusual peripheral anatomy, with the lateral antebrachial cutaneous nerve emanating as a branch from the median nerve at the midarm instead of being part of the musculocutaneous nerve. The median nerve was then identified and looped as well.

Next, a biphasic nerve/muscle stimulator with a range of stimulation control (Checkpoint® Stimulator/Locator, Cleveland, OH, USA) was used in order to facilitate the identification of nerves and individual nerve fascicles without fatiguing or injuring the nerve. The identity of the donor nerve, the musculocutaneous, and its component branches to the biceps and brachialis was confirmed with direct stimulation. Of note, in a situation in which paralysis is secondary to an upper motor neuron (UMN) injury, “paralyzed” nerves will respond to direct stimulation just as normal nerves do. Therefore, transcranial stimulation was then used to confirm effective cortical activation of both biceps and brachialis muscles [Video 1]. This same technique can be used to identify the lack of cortical control within the recipient muscle groups. The epineurium of the median nerve was opened, and under microscopic guidance the nerve was teased into several fascicles, each looped and separated in order to be individually identified by bipolar stimulation. This is accomplished by taping the ground needle of the stimulation device to the probe so that the two needles run parallel but do not touch [Figure 5].

The now exposed and separated fascicles of the median nerves, bifurcating at the midarm to send a branch to each of these muscles [Figure 4]. A vessel loop was then placed around the brachialis branch. Of note, the patient had unusual peripheral anatomy, with the lateral antebrachial cutaneous nerve emanating as a branch from the median nerve at the midarm instead of being part of the musculocutaneous nerve. The median nerve was then identified and looped as well.
Figure 4: Medial arm exposure reveals the musculocutaneous nerve lifted on a Penfield #4 just before it bifurcates into its branches to the biceps (Bi) and brachialis (Br) muscles. The median nerve (Med) is seen as a larger caliber nerve inferior to the musculocutaneous

Figure 5: To avoid current spread and activate the specific fascicle of interest, bipolar stimulation is preferred. The ground needle (G) is placed parallel to the probe (P) and tape (T) is applied, positioning the needle tip within 1 mm of the probe tip. The tips should be even so that both will contact the nerve segment simultaneously.

Figure 6: The axillary to radial nerve transfer strategy. (a) Given this patient's superb shoulder function (colored muscle) but complete lack of radial nerve function (gray muscle), a subset of his axillary nerve fascicles was redistributed to the neighboring radial nerve. Specific subfascicles of the radial nerve were selected as recipients to achieve extension at the elbow, extension at the wrist, and extension at the fingers. (b) These are driven by separate portions of the axillary nerve so that the patient can conceptualize the movements to be coupled, thereby allowing specific pairing of shoulder extension with elbow extension and shoulder abduction with wrist and finger extension. To accomplish this, an axillary fascicle with predominant contribution to the posterior deltoid (yellow/orange fascicle in inset) was transferred to radial fascicles to the triceps (a subset of triceps now taking on that color, indicating its target). Additionally, axillary fascicles to the middle and anterior deltoid (green fascicle in inset) were redirected to wrist and finger extensors (taking on that color, indicating the target of that fascicle). Innervation to the deltoid is reduced as a result of these transfers (indicated by the patchy gray now present), but should recover in a few months, with motor unit enlargement.
nerve were directly stimulated in turn until one was identified that provided dominant contribution to wrist and finger flexion of digits 1–3 [Video 3]. When identifying the function of individual nerve fascicles, it is important to use the lowest current that can effectively activate the muscle groups to avoid current spread to adjacent fascicles. We set the device at 0.5 mA and then slowly lower the intensity until activation is lost, and then increase it again until activation is first recovered, immediately suprathreshold. That setting is then used for subsequent fascicle identification. The selected fascicle was then followed proximally for a short length along which it remained discrete from the other fascicles. It was transected at the midhumeral level. Similarly, the brachialis branch of the musculocutaneous nerve was followed distally into the belly of the muscle and, leaving a third of this nerve intact for residual function of this muscle, the remaining two-thirds were cut. This brachialis branch was directly approximated to the cut end of the median fascicle, and these nerves were united using three 9-0 nylon sutures [Video 4].

The next objective of our operation was to redistribute function from the shoulder musculature to the arm, forearm, and finger extensors to provide both reach and release [Figure 6]. Therefore, to perform our axillary to radial nerve transfers, the pectoralis muscle was cut from its humeral insertion and retracted medially to expose the distal brachial plexus, and all components of the plexus except the posterior cord were looped in a Penrose drain and retracted superolaterally [Figure 7; also see Figure 6a, inset].

The axillary and radial nerves were directly stimulated to confirm their identity. At this point an EMG lead was placed in each of the anterior, middle, and posterior heads of the deltoid and transcranial stimulation was repeated, confirming active cortical control of all three heads of the deltoid. Then, similar to the median nerve dissection described above, the axillary nerve was explored and separated into five primary fascicles, which were stimulated as described above. Three of these fascicles were found to be contributing to global contraction of the deltoid, and the last two, smaller ones contributed primarily to the posterior deltoid. The radial nerve was dissected in a similar fashion, and a strong fascicle contributing to wrist and finger extension was identified, as well as one to the triceps [Video 5]. One of the two axillary fascicles contributing to the posterior deltoid was cut and sutured to the radial fascicle to the triceps. A larger fascicle of the axillary nerve contributing to the global innervation of the deltoid was cut and sutured to the radial fascicle to wrist and finger extension [Figure 8; also see Figure 6b, inset]. The pectoralis was then reapprroximated to the humerus, and the skin was closed. The arm was placed in a soft shoulder immobilizer.

Postoperatively, the patient experienced pain primarily at the site of the pectoralis repair. He retained strong volitional activation of the deltoid and biceps, though a change in strength could not be adequately assessed given the temporary, imposed range of motion restriction. Pain was well controlled with oral medications, and the patient was discharged on postoperative day 2.

RESULTS

Feasibility of the technique

The dissections undertaken in this procedure closely resemble those encountered in brachial plexus repair with one added advantage: because neural tissue was healthy, the “dysfunctional” nerve could be stimulated so that specific targets quite distal to the site of dissection could be selected. As a result of this proximal dissection and repair, the nerve components were even smaller than those generally encountered with nerve transfer operations, making these repairs slightly more technically challenging. Otherwise, the skills required to perform this procedure are within the skill set of any experienced peripheral nerve surgeon.

Electrostimulation was critical to this case. Transcranial stimulation is available to most intraoperative electrodiagnostic teams. Unfortunately, visually negative stimulation can at times be interpreted as “positive” by the diagnostic team; therefore, detailed preoperative evaluation and electrodiagnostic studies are also essential. The direct stimulation of the recipient nerve ensures the presence of functional axons, making a nerve transfer in the chronic phase of the SCI feasible. A peripheral nerve or lower motor neuron (LMN) injury of this chronicity would be unlikely to accept axons in any useful manner.

Postoperative results

The pain resulting from this procedure was relatively minor. Most of the discomfort resulted from the pectoralis takedown. This pain began to abate within a few days of the procedure. Additionally, some paresthesias were noted; these lasted only a few weeks. The shoulder immobilizer was kept in place for 1 week to limit shoulder abduction and protect the pectoralis tendon repair. Otherwise, the patient was permitted to use the arm as he had previously done. Had the pectoralis been functional, a longer period of immobilization might have been required. Early follow-up took place 12 weeks after surgery. At this time, the patient reported no decrement in the motor strength in either elbow flexion or deltoid function. On manual motor testing, he was graded as 5/5 in elbow flexion and shoulder flexion and abduction. He was able to abduct the shoulder easily with a 10-lb weight hung at the elbow, just as he did preoperatively. In elbow flexion, however, he was able to curl only the 10-lb weight, whereas preoperatively he did this with 20 lb. This communication is limited to the details of this...
procedure and its safety, including short-term follow-up. Part II will address the ultimate functional consequences. The tetanic stimulation of the recipient nerve fascicles during surgery gives an indication of the potential ultimate recovery, but a number of factors will determine the ultimate success. It should be noted that the nerve transfers undertaken are performed at a significant distance from the target muscles. As a result, substantial time elapses prior to functional axons reaching the target muscles. At the typical rate of 1 mm per day, we would expect the first signs of reinnervation to emerge at 9–12 months and plateau by 2 years from the time of surgery. The time course of recovery will be carefully detailed in the second part of this communication.

**DISCUSSION**

Traumatic cervical SCI results in three distinct regions of spinal cord: the suprasesional segment, the injured metamere, and the infrasional segment [Figure 9]. The suprasesional segment [Figure 9b] includes all components of the central nervous system rostral to the site of injury. This is the residual “normal” component of the nervous system. The injured metamere [Figure 9c] is the region directly impacted by the trauma and is characterized by tissue destruction, which may extend several levels above and below the actual site of impact. This region generally suffers a mixed injury, including some degree of central gray matter destruction. The myotomes corresponding to this segment may be affected by UMN dysfunction, LMN dysfunction, or...
some mixture of the two. The infralessential segment [Figure 9d] consists of the spinal cord and associated peripheral nervous system below the injury site. Even in a complete injury, the peripheral nerves associated with this segment generally remain intact and can be activated by eliciting their corresponding monosynaptic reflexes and with direct transcutaneous muscular stimulation.

The LMN injuries at the injured metamere segment must be adequately assessed. A substantial LMN injury would have precluded this patient from being a candidate for this procedure. In our experience, some patients may have several muscle groups with no detectable innervation, and others have signs of partial LMN injury scattered across many muscle groups, as in the patient discussed here.[15,17] Brachial plexus and nerve root injuries from the same trauma may contribute to this degree of LMN injury.

A nerve transfer procedure may restore a muscle group of the infralessential segment (affected by UMN injury) even years after an injury, as long as the mechanical properties of the limb remain intact as a result of good therapy hygiene, including regular range of motion exercises.[15,17] The peripheral axons within the infralessential segment for the most part remain intact. As such, the architecture of the nerve, its Schwann cells, and the associated muscle are available to support the transit and eventual synapse of new axons. When a nerve transfer to such a nerve is undertaken, Wallerian degeneration takes place for the first time, Schwann cells proliferate, and neurotrophic factors and cell-adhesion molecules are upregulated in preparation for the ingrowth of new axons.

Both nerve and tendon transfer procedures are used to address instances of paralysis of the arms and hands following a nervous system injury by bringing cortically controlled supralessential nerves or muscles into a position to control lesional or infralessential muscle groups.[15,12] Such interventions can be used to address paralyzed muscle groups caused by both UMN and LMN injuries. In tetraplegia, the first surgical interventions to produce a more functional upper extremity were the tendon transfers introduced in the 1940s and 1950s; however, these procedures were not well accepted until the late 1970s. They have now become an accepted method for restoring function in the upper extremity in the setting of tetraplegia. Compared with tendon transfers, they may be less painful and require less restrictive immobilization for a shorter period of time, with minimal loss to the donor muscle groups. In certain cases of tetraplegia, nerve transfers may offer greater gains for a given transfer. That is, the transferred axons, which originally provided innervation to a single muscle and function, can reinnervate multiple target muscles. This stands in contrast with tendon transfers in which only one movement can generally be produced per muscle/tendon group transferred.[1]

At this time we cannot comment on the effectiveness of this intervention, except that it is relatively safe and technically feasible. The second part of this communication will detail the time course of the recovery process over the next 2 years.

CONCLUSION

Nerve transfers are a technically feasible means to restore hand function in the setting of tetraplegia. Compared with tendon transfers, they may be less painful and require less restrictive immobilization for a shorter period of time, with minimal loss to the donor muscle groups. In certain cases of tetraplegia, nerve transfers may offer the recovery of important functions that cannot be achieved through tendon transfers.
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