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Low Baseline Pneumococcal Antibody Titers Predict Specific Antibody Deficiency, Increased Upper Respiratory Infections, and Allergy Sensitization

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Abstract

Background: Inadequate titers of pneumococcal antibody (PA) are commonly present among patients with recurrent respiratory infections.

Objective: We sought to determine the effect of the degree of inadequacy in baseline PA titers on the subsequent polysaccharide vaccine response, the incidence of sinusitis, and allergic conditions.

Methods: A total of 313 patients aged 6 to 70 years with symptoms of recurrent respiratory infections were classified by baseline-pPA (percentage of protective [≥1.3 μg/mL] PA serotypes/total tested serotypes) and postvaccination pPA (post-pPA): Group A (adequate baseline-pPA), Group B (inadequate baseline-pPA, adequate post-pPA, responders), and Group C (inadequate baseline-pPA, inadequate post-pPA, nonresponders, specific antibody deficiency [SAD]). Immunity against Streptococcus pneumoniae was defined as adequate when the pPA was ≥70%. Each group and combined groups, Group AB (inadequate baseline-pPA), and Group BC (adequate post-pPA) were analyzed for demographics, history of sinusitis, recurrent sinusitis in the following year, allergic conditions, and association with inadequate individual serotype titers.

Results: Over 80% of patients with respiratory symptoms had inadequate baseline-pPA. Baseline-pPA and SAD prevalence are inversely related (odds ratio = 2.02, 95% CI: 1.15–3.57, P = .01). Inadequate serotype 3 antibody titer is highly associated with SAD (odds ratio = 2.02, 95% CI: 1.61–5.45, P < .01). The groups with inadequate pPA (Group B and C, or BC) had significantly higher percentage of patients with chronic rhinosinusitis (P < .001), allergic sensitization, and allergic rhinitis (P < .05). Group A contained higher percentage of patients with recurrent upper airway infections (P < .001).

Conclusion: Low baseline-pPA and low antibody titers to serotype 3 are highly associated with SAD, increased incidence of respiratory infections including CRS and allergic conditions.

Keywords
specific antibody deficiency, asthma, allergic rhinitis, recurrent respiratory infection, immune deficiency, sinusitis, chronic rhinosinusitis

It is known that patients with recurrent respiratory infections may have inadequate pneumococcal antibody (PA) titers representing poor state of defense against polysaccharide bacteria.¹⁻³ For these patients, polysaccharide pneumococcal vaccine (PPV) is recommended for evaluation and boosting of independent humoral immunity.⁴ Subjects with inadequate vaccine response, but with normal levels of immunoglobulins and IgG subclasses in the absence of other primary or secondary immunodeficiencies, are classified as having specific...
antibody deficiency (SAD).4-6 Although the guidelines by the working group of experts consider vaccine responses of PA titer >1.3 ng/mL in <70% of total tested PAs for patients aged 6 to 65 years as inadequate response (SAD),4,5 there is still controversy as to what constitutes adequate immune state. The immune state of a patient at a given time needs to reflect PA titers resulting from both vaccination and responses from natural exposures to Streptococcus pneumoniae. The evaluation of a response to polysaccharide antigen involves assessment of the concentration and function of each antibody to diverse serotypes of varying immunogenicity. Even the accuracy of PA testing methods has been questioned.7,8 Although previous studies mainly reported association between chronic rhinosinusitis (CRS) and SAD, we broadened our study to include patients with not only CRS but chronic respiratory symptoms. The goal of our study was to find the relationship between the antibody titers of commonly tested serotypes resulting from both vaccination and natural exposures, as an individual and as a group, and the clinical conditions in a time line surrounding the initial and vaccination visits.

Methods

Study Design

This retrospective study was performed using electronic medical chart review of patients seen between 2008 and 2018 at an allergy-immunology clinic in Los Angeles, California. This study was approved by the Institutional Review Board and Human Subjects Committee at LA Biomedical Institute at Harbor-UCLA Medical Center.

As all patients who presented with recurrent respiratory symptoms were screened with PA profiles, the Current Procedural Terminology code for PA testing was used for initial capture of the study population. These charts were further reviewed for the inclusion and exclusion criteria. Patients aged 6 to 70 years were included if they had history suggesting respiratory infection: chronic cough (>4 weeks), persistent rhinitis (>4 weeks), chronic sinusitis (CRS; >12 weeks), and recurrent acute sinusitis (RAS; >1 time/year). Patients with history of malignancy, autoimmune disorder, or primary/secondary immunodeficiency were excluded.

Classification of the Subjects’ Clinical Conditions

The diagnosis of sinusitis was made when a patient met the widely used criteria9 of positive history of sinusitis and nasal endoscopy or sinus CT scan. Sinusitis was classified into acute or chronic sinusitis using 12 weeks’ duration as the threshold. Since many patients had both chronic and acute sinusitis at separate times, the CRS category was defined as CRS with or without acute sinusitis. RAS was defined as >1 episode of acute sinusitis/year without CRS. Recurrent upper airway infection (RU) was defined as upper respiratory infection (URI) symptoms lasting <2 weeks occurring >4 times/year. Symptoms suggestive of sinusitis without meeting the criteria were categorized as no infection (NI) category. There was only 1 patient with a diagnosis of pneumonia in the study and was excluded from the analysis.

Classification of the Subjects’ Immune Status by Laboratory Testing

Immune status was evaluated with levels of immunoglobulins (Igs) G, A, M, and E, and of IgG antibodies to S. pneumoniae and Clostridium tetani (Luminex Assay, by LabCorp for 89% of the patients and Quest Diagnostics for the rest). Baseline and subsequent tests were performed by the same laboratory. In patients evaluated prior to 2010, 14 serotypes were reported: 1, 3, 4, 5, 8, 9(9N), 12(12F), 14, 19(19F), 23(23F), 26(6B), 51(7F), 56(18C), and 68(9V). After 2010, 23 serotypes were reported: 1, 3, 4, 8, 9(9N), 12(12F), 14, 17(17F), 19(19F), 2, 20, 22(22F), 23(23F), 26(6B), 34(10A), 43(12), 5, 51(7F), 54(15B), 56(18C), 57(19A), 68 (9V), and 70 33F). Based on the consensus by the American Academy of Allergy, Asthma & Immunology working group,3-5 a protective PA titer was defined as ≥1.3 μg/mL; percentage of protective PA (pPA) ≥70% were considered adequate regardless of history of prior immunization with either unconjugated (polysaccharide pneumococcal vaccine [PPV]-23) or conjugated vaccine (pneumococcal conjugated vaccine [PCV]-7 or -13). Thereafter, patients were divided into 3 groups: A (adequate baseline-pPAs), B (inadequate baseline-PAs with adequate post-PAs, responders), and C (inadequate baseline- and post-PAs, SAD) (see Figure 1). Group C was treated with PCV and tested for postvaccinating PA titers. These groups were also analyzed as combinations: Group AB (Group A and Group B, non-SAD) versus Group C (SAD) as well as Group A (adequate baseline-pPA) versus Group BC (Group B and Group C, inadequate baseline-pPA).

Evaluation of Subjects’ Allergic Conditions

Allergy skin tests were performed using 16-40 standard indoor and outdoor inhalant allergens present in Southern California. Total serum IgE and specific IgEs to inhalant allergens for Southern California (LabCorp, Burlington, North Carolina) were used to detect the kind and degree of sensitization. The diagnosis of asthma or rhinitis was based on the relevant ICD 9 or 10 code or clinical history. Allergic designation of these diagnoses was based on the presence of positive reaction to any
allergen(s) on the skin test or in the laboratory report of specific serum IgE.

**Statistical Analysis**

Patient characteristics were described by individual groups, A, B, and C, and as combined groups, AB and BC. Median, interquartile markers, and Mann–Whitney U-test results were reported for continuous variables while frequencies, column percentages, and \( \chi^2 \) test results were reported for categorical variables. A multivariable logistic regression model was used to determine the associations between the outcomes Group C versus Group AB, Group A versus Group BC, and the variables (age, CRS, 

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**Figure 1.** Flow chart of all patients classified by baseline and post-pPA (post-vaccination percentage of protective pneumococcal antibody). Group A, adequate baseline-pPA; Group B, inadequate baseline-pPA with adequate post-pPA; Group C, inadequate post-pPA, SAD; pPA = percentage of protective (≥1.3 µg/mL) pneumococcal antibody serotypes to total tested serotypes; PPV, polysaccharide pneumococcal vaccine; SAD, specific antibody deficiency.

**Table 1.** Demographics and Clinical/Laboratory Characterization of Study Subjects by Postvaccination Responses.

| Number of Patients (%) | Group A: Adequate baseline-pPA | Group B: Adequate post-pPA | Group C: Inadequate post-pPA (SAD) | Total | P Value: Group A vs Group B | P Value: Group A vs Group C | P Value: Group B vs Group C |
|------------------------|--------------------------------|-----------------------------|-----------------------------------|-------|-----------------------------|----------------------------|-----------------------------|
| Patient cohort (%)     | 313                            | 58 (19)                     | 193 (62)                          | 62 (20)| .02                         | .54                        | .06                         | .006                        |
| Median age             | 34                             | 31                          | 35                                | 41    | .75                         | .51                        | .89                         | .62                         |
| Ethnicity (white /other) | 268/45                        | 51/7                        | 163/30                            | 54/8  | .24                         | .09                        | .18                         | .91                         |
| Male/female            | 111/202                        | 15/43                       | 73/120                            | 23/39 | .35                         | .81                        | .19                         | .18                         |
| Recurrent infection (%)| 241 (77)                       | 43 (74)                     | 146 (76)                          | 52 (84)| .35                         | .81                        | .19                         | .18                         |
| No infection (%)       | 72 (23)                        | 15 (26)                     | 47 (24)                           | 10 (14)| .35                         | .81                        | .19                         | .18                         |
| Chronic rhinosinusitis (%) | 143 (45)                   | 15 (26)                     | 91 (47)                           | 37 (60)| .0008                       | .04                        | .0002                       | .08                         |
| Recurrent acute sinusitis (%) | 61 (24)                   | 12 (21)                     | 38 (20)                           | 11 (18)| .91                         | .87                        | .68                         | .74                         |
| Recurrent upper respiratory infection (%) | 37 (12)            | 16 (27)                     | 17 (9)                            | 4 (6)  | .03                         | .0002                      | .002                        | .56                         |
| Allergy sensitization (%) | 194 (62)                   | 29 (50)                     | 128 (66)                          | 37 (60)| .07                         | .02                        | .29                         | .34                         |
| Asthma (%)             | 134 (43)                       | 25 (43)                     | 81 (42)                           | 28 (45)| .90                         | .88                        | .82                         | .66                         |
| Allergic asthma (%)    | 100 (32)                       | 13 (22)                     | 66 (34)                           | 21 (34)| .23                         | .09                        | .16                         | .96                         |
| Rhinitis (allergic and nonallergic) (%) | 242 (77)                   | 43 (74)                     | 153 (79)                          | 46 (74)| .58                         | .41                        | .99                         | .4                          |
| Allergic rhinitis (%)  | 177 (57)                       | 26 (45)                     | 120 (62)                          | 31 (50)| .03                         | .019                       | .5                          | .08                         |

Abbreviations: pPA, percentage of protective (≥1.3 µg/mL) pneumococcal antibody serotypes/total tested serotypes; SAD, specific antibody deficiency. Bold values indicate statistical significance (\( P < .05 \)). Baseline-pPA denotes prevaccination pPA and post-pPA denotes postvaccination pPA.
RAS, RU, allergy sensitization, asthma [allergic vs non-allergic], rhinitis [allergic vs nonallergic], and individual serotypes). Multivariable logistic regression models were also utilized to determine the association between baseline-pPA values and the patients’ response to PPV (SAD as an outcome), and the difference in the recurrence rate of sinusitis in 1-year follow-up (outcome) among the 3 groups. SAS version 9.4 (Cary, North Carolina) was used for all analyses.

**Results**

**Patient Demographics, Prevalence of SAD, and Other Clinical Conditions**

A total of 313 patients with recurrent respiratory symptoms were studied; the median age was 34 years with 86% whites and 64% females (Table 1). Patients with SAD was older than non-SAD patients (median age = 41 vs 34, \( P < .01 \)). Among these, 143 were identified with diagnosis of CRS. SAD was present in 20% \((n = 62)\) among the whole group, among which 31% \((11/35)\) had adequate response to PCV. The prevalence of SAD was increased in CRS \(26%\), \(n = 37\) and RAS \(18%\), \(n = 11\) compared to RU \(11%\), \(n = 6\) and NI \(14%\), \(n = 10\); \( P < .05 \). The prevalence of SAD among patients with asthma \(21%\), \(28/134\) or rhinitis \(AR/NAR; 19%\), \(46/242\) was not different compared to those without these conditions \(19%, 46/242\) or \(23%, 16/71\), \( P > .6 \) for both.

**Prevalence of Sinusitis and Allergic Conditions Among 3 Immunological Groups**

Among individual groups (Group A, B, C) patients with CRS were represented in higher proportion in Group B

![Figure 2](image-url)

*Figure 2*. Prevalence of clinical conditions among different groups. A, Percentage of clinical conditions among Group A, B, and C. B, Percentage of clinical conditions among Group AB versus C. C, Percentage of clinical conditions among Group A versus BC. CRS, chronic rhinosinusitis; Group A, adequate baseline-PAs; Group B, inadequate baseline-PAs with adequate post-PAs, responders; Group C, inadequate baseline- and post-PAs, SAD; Group AB, Group A + Group B: the groups with post-pPA ≥70%; Group BC, Group B + Group C: the group with baseline-pPA <70%; PA, pneumococcal antibody; SAD, specific antibody deficiency; URI, upper respiratory infection.
and C as compared to Group A (47% and 60% vs 26%, \( P < .01 \); Table 1 and Figure 2(A)). Group A contained higher proportion of patients with RUs (\( P < .05 \)). The prevalence of rhinitis, asthma, and allergic asthma was not different among the groups, but allergy skin sensitization and allergic rhinitis were more common among Group B compared to Group A (\( P < .05 \)).

**Impact of Baseline-pPA and SAD on Clinical Conditions**

Between Group A (adequate baseline-pPA) versus Group BC (inadequate baseline-pPA; Figure 2(C) and Table 2): The percentage of CRS was higher among Group BC (\( P < .001 \)). Group A contained higher percentage of patients with RU (\( P < .001 \)). The prevalence of allergic sensitization and AR were increased in Group BC (\( P < .05 \)).

Between SAD group (Group C) and non-SAD group (Group AB; Figure 2(B) and Table 3): The percentage of CRS was higher in SAD group (\( P < .05 \)). The percentages of patients with allergic conditions were not different between the 2 groups.

**Patterns of Recurrent Sinusitis During the 1 Year Following the Initial Visit Among 3 Immunological Groups of Sinusitis Patients**

Among 143 patients with CRS, there were significant differences in the recurrence of sinusitis based on the use of antibiotics among Groups A, B, and C (Figure 3 and Table 4). Group A had the lowest percentage of patients with recurrence requiring antibiotic treatment (10%). The percentage of patients with recurrence of sinusitis was higher in Group B even after pPAs were normalized (40%), although \( P \) value did not reach the significance (\( P = .09 \), probably due to small number of subjects in Group A). Finally, Group C had the highest percentage of patients with recurrences (76%) (Group A vs B: \( P = .088 \), Group A vs C: \( P < .001 \), Group B vs C: \( P < .001 \)) and higher trend for sinus surgery (Group B vs C: \( P = .09 \), numbers too small). In Group C, patients with inadequate response to PCV had more severe courses requiring more antibiotics and immunoglobulin replacement compared to nonresponder, although the numbers were too small for statistics.

**Baseline-pPA as a Predictor for Post-PA Responses**

A large proportion of our subjects had inadequate baseline-pPAs (Group BC/total subjects = 81%) regardless of prior immunization history (Table 1). The median baseline-pPAs were 0.85, 0.35, and 0.21 for Groups A, B, and C, respectively (with \( P < .01 \) between Group A and C, \( P = .014 \) Group B vs C). The prevalence of SAD was inversely related to baseline-pPA, suggesting patients with decreased baseline-pPA were more...

| Table 3: Demographics and Clinical/Laboratory Characterization of Study Subjects by Postvaccination Responses: SAD (Group C, inadequate post-pPA) versus Non-SAD (Group AB, adequate post-pPA). |

| Group | Adequate Baseline-pPA (Non-SAD) | Adequate Post-pPA (SAD) | \( P \) value |
|---|---|---|---|
| Patient cohort (%) | 251 (80) | 62 (20) | .003 |
| Median age | 34 | 41 | .71 |
| Ethnicity (white /other) | 214/37 | 54/8 | .76 |
| Male/female | 88/163 | 23/39 | .2 |
| Recurrent infection (%) | 189 (75) | 52 (84) | .15 |
| No infection (%) | 62 (25) | 10 (16) | .2 |
| Chronic rhinosinusitis (%) | 106 (42) | 37 (60) | .05 |
| Recurrent acute sinusitis (%) | 50 (20) | 11 (18) | .70 |
| Recurrent upper respiratory infection (%) | 53 (21) | 7 (11) | .08 |
| Allergy sensitization (%) | 94 (37) | 25 (40) | .68 |
| Asthma (%) | 106 (42) | 28 (45) | .68 |
| Allergic asthma (%) | 79 (31) | 21 (34) | .72 |
| Rhinitis (allergic and nonallergic) (%) | 196 (78) | 46 (74) | .51 |
| Allergic rhinitis (%) | 146 (58) | 31 (50) | .25 |

**Table 2. Demographics and Clinical/Laboratory Characterization of Study Subjects by Postvaccination Responses: Group A versus Group BC (Inadequate Baseline-pPA vs Adequate Baseline-pPA).**

| Group | Adequate Baseline-pPA | Group BC: Inadequate Baseline-pPA | \( P \) value |
|---|---|---|---|
| Patient cohort (%) | 58 (24) | 255 (76) | .014 |
| Median age | 31 | 37 | .003 |
| Ethnicity (white /other) | 51/7 | 217/38 | .58 |
| Male/female | 15/43 | 96/159 | .09 |
| Recurrent infection (%) | 43 (74) | 198 (78) | .57 |
| No infection (%) | 15 (26) | 47 (22) | .35 |
| Chronic rhinosinusitis (%) | 15 (26) | 128 (50) | .001 |
| Recurrent acute sinusitis (%) | 12 (21) | 49 (19) | .80 |
| Recurrent upper respiratory infection (%) | 20 (34) | 40 (16) | .001 |
| Allergy sensitization (%) | 29 (50) | 165 (65) | .04 |
| Asthma (%) | 25 (43) | 109 (43) | .96 |
| Allergic asthma (%) | 13 (22) | 87 (34) | .08 |
| Rhinitis (allergic and nonallergic) (%) | 43 (74) | 199 (78) | .52 |
| Allergic rhinitis (%) | 26 (45) | 151 (59) | .04 |

**Abbreviations:** pPA, percentage of protective (\( \geq 1.3 \mu g/mL \)) pneumococcal antibody serotypes/total tested serotypes. SAD: specific antibody deficiency.

**Note:** Bold values indicate statistical significance.
likely to develop SAD (Figure 4; odds ratio = 2.02, 95% CI: 1.15–3.57, P = .01, n = 255).

**Pattern of Inadequate Baseline PA Titers to Individual Isotypes in 3 Immunological Groups**

Among 23 serotypes, inadequate baseline PAs to serotype 3,19, and 23 distinguished Group C from Group B and Group A (P < .05) and, of note, an inadequate titer of serotype 3 was the most important predictor of SAD (with Bonferroni-adjusted P value = .017). The frequencies of inadequate baseline-PA to serotype 3 were 7% (n = 4) in Group A, 44% (n = 84) in Group B, and 65% (n = 40) in Group C (Table 5).

**Discussion**

Our analyses found the prevalence of SAD in the range of 14% to 26% depending on the parameter used in line with the literature. The prevalence of SAD was higher in our patients with respiratory symptoms compared to the one reported for asymptomatic subjects (11%) and higher with CRS as compared to patients with only RAS or URIs or NI. It is also important to note that a high percentage (81%) of patients with recurrent upper respiratory symptoms had low baseline PAs and the majority of whom benefitted from vaccination as evidenced by a lower rate of recurrent sinusitis in the following year (Figure 3 and Table 4).

Although efforts to establish the minimum threshold for post-PA response have been made, no clear guidelines exist on PA threshold for adequate immune status at the initial evaluation. Our analysis demonstrates that prevalence of respiratory infections in our patients depends on the level of PA at a given time. Although the working group guidelines recommended using the serotypes present only in PPV in patients who received PCV,4 we used the total reported serotypes regardless of their vaccination status, because we believe that those represents more accurately the current state of vaccine and infection induced immunity. Patients with inadequate pPA (Group A, Group B, and Group BC) have history of more frequent CRS and RS, compared to ones in Group A (Figure 2(C)) suggesting that pPA at the initial visit reflected the recent state of poor immunity against the bacteria. Group B even after normalization of PA by vaccination (despite no difference in the average baseline-pPA of Group A and post-pPA of Group B: 0.87 vs 0.89) tended to have more incidence of sinusitis over the next year compared to Group A. This suggests a persistence of underlying B-cell deficiency. Our analysis predicts that subjects with lower baseline-pPA would have higher probability of qualifying for SAD and more prone for CRS (Figure 4).

Our study demonstrated that vaccine responders experienced lesser incidence of CRS (Figure 2, Table 4)

**Figure 3.** Percentage of patients with CRS during the 1 year after the initial visit (n = 143). A, Group A (adequate baseline-PAs); B, Group B (inadequate baseline-PAs with adequate post-PAs, responders); C, Group C (inadequate baseline- and post-PAs, SAD); n, number of patients who returned for follow-up visits.

| Group | n | Abx rx-1 | Abx rx-2+ | Patients Treated With Abx in the Next Year | Total Number of Abx (Average/Patient) | Patients With Adequate Response to PCV | Surgery | Prophylactic Abx | Ig Rx | No Follow-up |
|-------|---|----------|-----------|---------------------------------|----------------------------------|---------------------------------------|---------|----------------|------|-------------|
| A     | 15 | 1/10 (10%) | 0 | 1 (10%) | 1 (0.1) | 0 | 4/33 (12%) | 4/33 (12%) | 3/33 (9%) | 4/37 (11%) | 5/15 (33%) |
| B     | 91 | 30/86 (34%) | 4/86 (5%) | 34/86 (40%) | 38/86 (0.40) | 2/86 (2%) | 3/33 (11%) | 3/33 (9%) | 4/37 (11%) | 5/91 (5%) |
| C     | 37 | 13/33 (40%) | 12/33 (36%) | 25/33 (76%) | 37/33 (1.1) | 8/22 | 4/33 (12%) | 4/33 (12%) | 3/33 (9%) | 4/37 (11%) |

Abbreviations: abx rx-1, antibiotic treatment once in the next 1 year; abx rx-2+, antibiotics treatment twice or more in the next 1 year; Ig rx, immunoglobulin replacement therapy; n, number of patients.

P value for difference of recurrences among groups (Fisher test): Among Group A versus Group B: < .001, Group A versus Group B = .088, Group A versus Group C < .001.

All 37 patients were given PCV. Among these, 33 returned for follow-up appointments, and 22 had postvaccination PA titers tested; 14 of 22 had inadequate responses. These nonresponders compared to responders (n = 8) had more severe clinical courses (P value not done due to small numbers). Nonresponders (n = 14): Total number of abx, 15; surgery, 2; Ig rx = 3. Responders (n = 8): Total number of abx, 6; surgery, 2; Ig rx = 1.
as previously reported in the literature.\textsuperscript{10,13–16} This indicates the importance of an adequate number of protective titers against \textit{S. pneumoniae} in the prevention of recurrent or prolonged symptoms since \textit{S. pneumoniae} is a major pathogen for sinusitis and PAs represent B-cell function against polysaccharide surface antigens present on other major pathogenic bacteria such as \textit{Haemophilus influenzae} and \textit{Moraxella catarrhalis}.\textsuperscript{17,18}

Among recurrent respiratory infections, CRS was the most common condition, often accompanied by RAS and RU, and was most significantly associated with inadequate baseline-pPA. The presence of RAS or RU in the absence of CRS did not show a strong association. CRS (with or without nasal polyps) is generally considered an inflammatory process with concomitant bacterial infection/colonization of the sinus cavities.\textsuperscript{19,20} Poor ability of B cells to respond to polysaccharide antigens as well as Th2 (allergic) bias in the host upper airway may be contributory.\textsuperscript{20,21} Patients with inadequate baseline-pPA experienced significantly less RUs as previously reported in the literature.\textsuperscript{10,13–16} This indicates the importance of an adequate number of protective titers against \textit{S. pneumoniae} in the prevention of recurrent or prolonged symptoms since \textit{S. pneumoniae} is a major pathogen for sinusitis and PAs represent B-cell function against polysaccharide surface antigens present on other major pathogenic bacteria such as \textit{Haemophilus influenzae} and \textit{Moraxella catarrhalis}.\textsuperscript{17,18}

Among recurrent respiratory infections, CRS was the most common condition, often accompanied by RAS and RU, and was most significantly associated with inadequate baseline-pPA. The presence of RAS or RU in the absence of CRS did not show a strong association. CRS (with or without nasal polyps) is generally considered an inflammatory process with concomitant bacterial infection/colonization of the sinus cavities.\textsuperscript{19,20} Poor ability of B cells to respond to polysaccharide antigens as well as Th2 (allergic) bias in the host upper airway may be contributory.\textsuperscript{20,21} Patients with inadequate baseline-pPA experienced significantly less RUs

### Table 5. Number of Patients With Adequate Baseline-pPA to Individual Serotypes in Group A, B, and C.

| Strains\textsuperscript{a} | Number of Patients in Group A | Number of Patients in Group B | Number of Patients With Group C | Overall P Value | P Value: Group AvsB | P Value: Group AvsC | P Value: Group BvsC |
|---------------------------|-------------------------------|-------------------------------|--------------------------------|----------------|-------------------|-------------------|-------------------|
| Strain 1                  | I 3                           | 110                           | 32                             | <.0001         | <.0001            | <.0001            | .458              |
|                           | 0 55                          | 83                            | 30                             |                |                   |                   |                   |
| Strain 3                  | I 54                          | 109                           | 22                             | <.0001         | <.0001            | <.0001            | .004              |
|                           | 0 4                           | 84                            | 40                             |                |                   |                   |                   |
| Strain 4                  | I 36                          | 35                            | 14                             | <.0001         | <.0001            | <.0001            | .4395             |
|                           | 0 22                          | 158                           | 48                             |                |                   |                   |                   |
| Strain 5                  | I 53                          | 84                            | 30                             | <.0001         | <.0001            | <.0001            | .5028             |
|                           | 0 5                           | 109                           | 32                             |                |                   |                   |                   |
| Strain 8                  | I 52                          | 62                            | 26                             | <.0001         | <.0001            | <.0001            | .1574             |
|                           | 0 6                           | 131                           | 36                             |                |                   |                   |                   |
| Strain 9                  | I 54                          | 49                            | 17                             | <.0001         | <.0001            | <.0001            | .7508             |
|                           | 0 4                           | 144                           | 45                             |                |                   |                   |                   |
| Strain 12                 | I 24                          | 29                            | 13                             | <.0001         | <.0001            | .0155             | .2725             |
|                           | 0 34                          | 164                           | 49                             |                |                   |                   |                   |
| Strain 14                 | I 57                          | 100                           | 26                             | <.0001         | <.0001            | <.0001            | .1759             |
|                           | 0 1                           | 93                            | 36                             |                |                   |                   |                   |
| Strain 19                 | I 56                          | 129                           | 32                             | <.0001         | <.0001            | <.0001            | .0306             |
|                           | 0 2                           | 64                            | 30                             |                |                   |                   |                   |

(continued)
probably suggesting that RU evolved into CRS or RAS rather than staying as an isolated event.

The prevalence of allergic sensitization was much more common among our population with CRS, RAS, or RU (60%), which is consistent with previous epidemiological data. This is far above the rate of allergic sensitization among the general population as reported by NHANES: 45% in patients aged 6 years or older. The prevalence rates of asthma (42%) and rhinitis (77%) were also higher in our total study group as compared to the general population (7%–8% and 20%–30% in the United States). Although it is tempting to postulate that allergic sensitization might suppress PA response to natural exposures, our data are conflicting as in the

Table 5. Continued

| Strains | Number of Patients in Group A | Number of Patients in Group B | Number of Patients With Group C | Overall P Value | P Value: Group A vs B | P Value: Group A vs C | P Value: Group B vs C |
|---------|-------------------------------|-------------------------------|---------------------------------|----------------|----------------------|----------------------|----------------------|
| Strain23 | 1 56 85 18 | <.0001 | <.0001 | <.0001 | .0361 |
| Strain26 | 1 53 80 25 | <.0001 | <.0001 | <.0001 | .8752 |
| Strain51 | 1 55 84 20 | <.0001 | <.0001 | <.0001 | .1163 |
| Strain56 | 1 39 77 17 | <.0001 | <.0001 | <.0001 | .0765 |
| Strain68 | 1 56 61 25 | <.0001 | <.0001 | <.0001 | .2066 |
| Strain17 | 1 58 129 41 | <.0001 | <.0001 | <.0001 | .9187 |
| Strain2 | 1 48 121 42 | <.0001 | <.0001 | <.0001 | .4715 |
| Strain20 | 1 52 128 42 | <.0001 | <.0001 | <.0001 | .8364 |
| Strain22 | 1 52 128 42 | <.0001 | <.0001 | <.0001 | .9187 |
| Strain34 | 1 55 125 44 | <.0001 | <.0001 | .3689 |
| Strain43 | 1 41 133 43 | <.0001 | <.0001 | <.0001 | .9477 |
| Strain54 | 1 41 133 43 | <.0001 | <.0001 | <.0001 | .8364 |
| Strain57 | 1 56 140 44 | <.0001 | <.0001 | <.0001 | .8102 |
| Strain70 | 1 52 133 37 | <.0001 | <.0001 | <.0001 | .1796 |

*aStrains: 1 = protective, 0 = nonprotective. Note: Bold values indicate statistical significance.*
There is a tendency for higher prevalence of allergic sensitization and AR among patients with low pre-PA (Group BC, $P < .05$). However, when all patients were divided into allergy-sensitized versus nonsensitized group, there was no significant difference in the prevalence of SAD (19% vs 19%) or CRS (41% vs 53%). This contradiction may be explained on the statistical basis. As seen in Figure 2(A), the allergic sensitization was highest in Group B and lowest in Group A. When patients were divided into SAD (Group C) and non-SAD (Group AB) as in Figure 2(B), Group B with the largest number of cohorts might have raised the rate of allergic sensitization for non-SAD group matching that of SAD group.

The pneumococcal polysaccharide capsule is crucial for virulence, primarily by protecting the bacteria against phagocytosis. Serotype 3 is reportedly more immunogenic in young children who are not able to respond to other serotypes. Our data indicate that inadequate titers to a few serotypes, in particular, to serotype 3, may be a useful predictor of SAD. Contribution of individual or congregational serotypes to the SAD characteristics is not completely understood at this point. These findings need to be confirmed, since previous efforts to identify selected serotype as representing larger number of serotypes have failed.

In summary, patients with symptoms of recurrent respiratory symptoms presenting with inadequate baseline-pPA and serotype 3 titer have higher chance of current CRS and not responding to PPV. The lower the initial pPA, the higher chance for SAD and developing CRS subsequently even after normalization of pPA. Further studies are needed to confirm our findings and to identify the potential genetic defects associated with this condition.

Ethical Approval
This study was approved by our institutional review board.

Declaration of Conflicting Interests
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Statement of Informed Consent
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