Combined Approaches to Predict Microplastic Emissions Within an Urbanized Estuary (Warnow, Southwestern Baltic Sea)

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Microplastic river emissions are known to be one of the major sources for marine microplastic pollution. Especially urbanized estuaries localized at the land-sea interface and subjected to microplastic emissions from various sources exhibit a high microplastic discharge potential to adjacent coasts. To adapt effective measures against microplastic emissions a more detailed knowledge on the importance of various microplastic sources is necessary. As field data is scarce we combined different approaches to assess microplastic emissions into the Warnow estuary, southwestern Baltic Sea. Resulting microplastic emission estimates are based on in-situ measurements for the catchment emissions, whereas for the remaining microplastic sources within the estuary literature data on microplastic abundances, and various parameters were used (e.g. demographical, hydrological, geographical). The evaluation of the different emission scenarios revealed that the majority of microplastic is likely discharged by the Warnow river catchment (49.4%) and the separated city stormwater system (43.1%) into the estuary, followed by combined sewer discharges (6.1%). Wastewater treatment plant emissions exhibit the lowest percentage (1.4%). Our approach to estimate anti-fouling paint particles emissions from leisure and commercial shipping activities was associated with highest uncertainties. However, our results indicate the importance of this source highlighting the necessity for future research on the topic. Based on our assumptions for microplastic retention within the estuary, we estimate a potential annual emission of 152–291 billion microplastics (majority within the size class 10–100 µm) to the Baltic Sea. Considering all uncertainties of the different applied approaches, we could assess the importance of various microplastic sources which can be used by authorities to prioritize and establish emission reduction measures. Additionally, the study provides parameters for microplastic emission estimates that can be transferred from our model system to other urbanized Baltic estuaries.

Keywords: microplastic, warnow estuary, baltic sea, sewer system, emission estimates, combined sewer overflow, anti-fouling paint particles
INTRODUCTION

Since the first description of small plastic particles in the marine environment (Colton et al., 1974; Morris and Hamilton, 1974), assessments of “microplastic” (MP) contamination revealed its ubiquitous distribution within aquatic ecosystems (Burns and Boxall, 2018; Geilfus et al., 2019). Concentrations of MPs span several orders of magnitude ranging from less than one to several hundreds of thousands of MPs per cubic meter in sea water (Wu et al., 2019). In sediments over ten thousand MPs per kilogram dry weight (DW) have been reported (Cunningham and Sigwart, 2019). Especially semi-enclosed seas with a large catchment area and limited water exchange through narrow straits, such as the Baltic Sea, are subjected to anthropogenic pressures deriving mainly from land-based activities like MP litter. Due to the omnipresence and longevity of MP litter and its potential adverse effects on organisms and human health (Sharma and Chatterjee, 2017; Wang et al., 2019) it has become an integral part of regulations for the protection of the marine environment (Directive of the European parliament, 2008; HELCOM, 2008; Resolution, 2020). The most relevant within the European Union, the Marine Strategy Framework Directive (MSFD, 2008/56/EC), requires its member states to achieve a good environmental status (GES) with marine litter representing one of the qualitative descriptors (D10) for the GES. For Baltic Sea member states, the directive is implemented within the Baltic Sea Action Plan (BSAP) of the Helsinki Convention (HELCOM). Its Regional Action Plan on Marine Litter (RAP ML) requires further “to establish an overview of the importance of the different sources of primary and secondary microplastics” (RL6, HELCOM, 2015) and calls for actions addressing sources of MPs, (i.e. RL4).

Rivers have already been recognized as a major input source of MP litter into the ocean (van Wijnen et al., 2019). Especially urbanized estuaries depict a potential hotspot for MP emissions to coastal waters with measured MP concentrations in urban surface waters reaching 100 items per liter (Leslie et al., 2017). Here a multitude of MP emission sources congregate within a confined space, such as recreational activities, shipping, wastewater emissions, tributaries, and leisure boat facilities. To implement targeted prevention measures against marine MP litter a detailed overview and assessment of the importance of various MP emission sources within urban estuaries is necessary. Concerning southwestern Baltic estuaries, the Warnow estuary, with a high degree of urbanization and industrialization, depicts a suitable model system.

Considering land-based MP emissions, major point sources are municipal and industrial wastewaters (Baresel and Olshammar, 2019; Bellasi et al., 2020). Due to high discharge volumes of wastewater treatment plants (WWTPs) they are considered as significant source even though most plants obtain a MP removal efficiency of >90% (with up to 99.9%) (Prata, 2018; Cristaldi et al., 2020; Uddin et al., 2020). An even higher share of MP emissions has been reported for combined sewer overflows (CSOs) in combined systems (Baresel and Olshammar, 2019) as well as stormwater in separated systems (Liu et al., 2019). Paint particles from buildings, structures, and shipping are thought to be another relevant source of MPs within urban estuaries (Sundt et al., 2014; Soroldoni et al., 2018). Here it must be differentiated between anticorrosive and antifouling paint particles (AFPPs) with the latter possibly possessing a higher relevance due to the self-polishing behavior of AFPPs (Watermann and Eklund, 2019). For example, in the Warnow estuary, a medium of 86.5 MP per kilogram DW and a maximum of 379 (±28) MPs per kilogram DW was measured within subtidal sediments with paint particles generally as common as other microplastics (Enders et al., 2019).

Nevertheless, data on MP inputs from diverse sources and abundances within any river mouth is still scarce (Li et al., 2018; Rezania et al., 2018). Besides challenges on collection of environmental MP samples, cost-effective and standardized operational monitoring methods are currently lacking. An alternative approach to estimate MP emissions is the development of emission scenarios based on available literature data, expert knowledge and via indirect parameters. For example near shore population density, gross domestic product, and mismanaged plastic waste were already utilized as predictors for plastic litter emissions on a global scale (Sherman and van Sebille, 2016; Schmidt et al., 2017; Isobe et al., 2019). Regarding MPs, Siegfried et al. (2017) estimated MP fluxes from European rivers to the sea by combining information on MP litter point sources, sewage management, and plastic retention during river transport. Discharge of MPs by urban waters to the Baltic Sea was further assessed utilizing exemplary sewer systems and a model city (Bollmann et al., 2019). Nevertheless, a spatially resolved differentiation of MP emission sources within an urbanized Baltic estuary is currently missing to the best of our knowledge. Due to its relatively small and well-defined area the Warnow estuary provides an ideal model system for which a comprehensive approach can be applied.

To support authorities in establishing an effective monitoring as well as in implementing mitigation measures, we assessed the importance of different MP sources exemplarily for the Warnow estuary. We first localized major MP point sources, here defined as sources within the estuary where a spatially explicit localization is possible. Secondly, a combination of different approaches was used to estimate MP emissions from the city sewer system and the river catchment as well as to assess AFPP emissions. The specific aims were 1) to establish an overview of the importance of different MP sources within the urbanized Warnow estuary as a model system, 2) to provide a first estimation on annual MP emissions from the estuary to the Baltic Sea, and 3) to assess the suitability of utilized parameters to support the development of simplified indicators for estuarine MP emissions into the Baltic Sea.

MATERIALS AND METHODS

Study System

The Warnow estuary (Figure 1), Mecklenburg-Western Pomerania, Germany, has a length of 13 km with a mean water depth of 5.6 m and a maximum depth of 15 m within the shipping channel up to the area of Breitling (Lange et al.,
2020). The estuary holds a water volume of 49.6 million m³ within an area of 12 km² (Bachor, 2005) and exhibits a water exchange time of around 30 days. The average water budget of the estuary is dominated by intruding Baltic Sea water with 1,180 km³/a in comparison to 440 km³/a freshwater inflow and a total outflow to the North Sea of 1,660 km³/a (Szymczycha et al., 2019). The estuarine exchange flow of the tidal weak estuary is sensitive to wind stress and varying salinities of the adjacent coastal waters, which leads to frequent inversion of the classical estuarine circulation (Lange et al., 2020). The 56.5 km long riverbank of the estuary is mostly artificial (74%), and harbor and shipping lanes occupy 37% of the water surface area (Schernewski et al., 2019). In 2018 around 200 cruise ships arrived and around 19.6 million tons of freight and 3.3 million passengers were handled (Hansestadt Rostock, 2019). Besides, leisure boat facilities are common with a share of 6% of all moorings along the German Baltic Sea coast (Watermann et al., 2014). To maintain and safeguard shipping activities, parts of the estuary are regularly dredged. The maintenance interval of the inner and outer shipping lane (access to industrial harbor) is five to ten years whereby on average 60,000 m³/a of dredged material is extracted (WSV, 2019). Other areas within the estuary are maintained on demand.

The city of Rostock surrounds the estuary and counts about 209,000 residents within an area of 181.4 km² yielding a population density of 1,151 residents per km² (Hansestadt Rostock, 2019).

The city area is shaped by a dense network of natural and artificial waterways. About 200 small streams with a total length of 196 km exist within the catchment of the city of Rostock. The city center is connected to a combined sewer system (Figure 2) but most of the catchment is connected to a separate system with a 430 km long canal network (KOGGE et al., 2018). The wastewater of Rostock is treated at the central wastewater treatment plant (WWTP). The treatment technology comprises mechanical treatment, an activated sludge followed by a two-step biofilter for post nitrification and denitrification. Taking industrial and commercial wastewater contributions into account the population equivalent (PE) of the WWTP is 400,000, corresponding to a wastewater production of 100 L per PE per day.
The mean annual rainfall amounts to 621 mm (DWD, 2020) and annually a mean of 16.5 m$^3$/s of water is discharged from the Warnow river into the estuary. The discharge is regulated by a weir located at the southern part of the city of Rostock (Figure 1). The 149 km long Warnow river exhibits a catchment area of 3,280 km$^2$ with a population density of 60 persons per km$^2$.

The following described MP sources within the Warnow estuary were located through GIS data (KOGGE et al., 2018; OpenDataHRO, 2019; Wasserbuch, 2019). Despite additional diffuse emissions, e.g., through fragmentation of macroplastics or diffuse aerial inputs, the majority of land-based MP emissions is assumed to be covered according to current knowledge (Galafassi et al., 2019).

In total around 232 point sources for MPs and AFPPs are located within the estuary. Besides the input of the catchment, 24 tributaries discharge into the estuary (Figure 2). Among the 167 identified wastewater outlets are the WWTP outlet, 11 combined sewer outlets and 155 stormwater (STW) outlets. Regularly used moorings and leisure boat facilities depict potential emission points for AFPP. The industrial harbor maintains five docks with around 41 moorings. Additionally, five local ferry moorings, four cruise ship moorings as well as fifteen leisure boat facilities are located within the estuary. Due to insufficient data three operating shipyards were neglected for AFPP emission estimates in the current study.

The complex characteristics of MP particles (size, forms, types), the high number of potential sources and spatio-temporal variability of emissions as well as laborious and time-consuming analytical methods currently prevent comprehensive in-situ data collections. To provide a comprehensive picture for the Warnow Estuary the additional use of statistical data, literature, and the transfer of knowledge from similar systems was utilized. The different approaches to assess the importance of MP sources and emissions within the estuary are described in the following.

### Rostock Sewer System Microplastic Emissions

#### Wastewater treatment plant

A concentration of 245 (IQR = 913–78) MPs/m$^3$ (including MPs <100 μm) was applied corresponding to the median of values from WWTPs of various countries with tertiary treatment providing MP analysis for particles <100 μm (Supplementary Information SI1). Microplastic emissions were multiplied with monthly discharge data of the WWTP effluent from 2016 to 2018 which was provided by the local WWTP (Nordwasser GmbH).

#### Combined sewer overflow emissions

Currently, the CSOs are not measured since the discharges and concentrations have to be determined on a high temporal resolution or flow equivalent. Within the scope of this study, the discharge volume was therefore conceptually determined. Assuming that the spillway structures were dimensioned according to the chemical oxygen demand (COD) approach of the ATV-A 128 regulations (DWA, 1992) at the time, the annual...
The concentration of COD is 178 mg/L (wastewater is quite high. Accordingly, the calculated over-resulting average mixing ratio (m), annual rainfall (r), and rainfall runoff (QR) were derived as mean values from Liu et al. (2019) for each land use type: residential (0.90 MP/l), traffic (0.49 MP/l), and industrial areas (13.16 MP/l).

The yearly microplastic emission (AE) was calculated based on runoff coefficients shown in Table 1 (c.f. rational method, DWA, 2006) for each subarea and the long-term average annual rainfall r_a of 621 l/m² (DWD, 2020):

\[ Q_{R} = A_{E,A} \times r_a \times \psi_m, \quad (4) \]

where \( A_{E,A} \) corresponds to the yearly MP runoff, \( r_a \) to the mean annual rainfall (l/m²), and \( \psi_m \) to the mean annual runoff coefficient. Microplastic emissions \( B_{MP} \) were calculated for each drained area using Eq. 5:

\[ B_{MP} = c_{MP} \times Q_{R}, \quad (5) \]

where \( Q_{R} \) is the stormwater runoff corresponding to the effective precipitation (l/a). Microplastic concentrations \( c_{MP} \) of stormwater runoff were derived as mean values from Liu et al. (2019) for each land use type: residential (0.90 MP/l), traffic (0.49 MP/l), and industrial areas (13.16 MP/l).

Estimated MP emissions were allocated to the nearest watercourse section by a spatial join in GIS (Supplementary Information SI3). River geometries were available as line sections of 50 m length from the project KOGGE (KOGGE et al., 2018). Each river section is labeled by a unique identification number, which is composed of the classification number and a station number according to the German “LAWA guideline for the labeling of rivers” (Wasser, 2005). The hierarchical structure of the classification number and station number from the estuary to the river head makes it possible to identify river sections located below or above each section. The accumulation of these emissions along the flow path is performed by Python scripts in GIS.

Spatial resolved MP emissions of stormwater outlets directly discharging into the estuary are currently not possible due to lacking information regarding the wastewater system (grey area Figure 2). Nevertheless, similar calculations of MP runoff, based on available information on the share of industrial (5.3 km²), residential (3.7 km²), and traffic (2.4 km²) areas can be made based on Eqs. 4, 5.

### River Catchment Microplastic Emissions

The number of MP particles released from the catchment into the estuary was taken from eight in-situ collected water surface samples from the Warnow river close to Kessin (N54°03′51.13,
TABLE 2 | Microplastic (MP) emission (BMP) due to combined sewer overflows separated according to the proportion of waste- and rainwater. The outflow fraction corresponds to the percentage share of the rainfall runoff (Q_{Ri}) of a catchment class from the total rainfall runoff (Q_{Rt}) from Table 1. Microplastic concentrations (C_{MP}) for storm- (STW) and wastewater were obtained from the literature (Supplementary Information S1).

| Type           | Catchment class | Outflow fraction (%) | Overflow (m³/a) | C_{MP} [MPS/l] | BMP [MPS*10⁵/a] |
|----------------|-----------------|----------------------|-----------------|---------------|-----------------|
| STW            | Residential areas | 45                   | 574,131         | 0.9           | 517             |
|                | Traffic areas    | 35                   | 448,372         | 0.49          | 220             |
|                | Industrial areas | 10                   | 127,576         | 13.16         | 1,679           |
|                | Unsealed areas   | 9                    | 119,716         | —             | —               |
| Sum STW        |                  |                      | 1,269,794       |               | 2,415           |
| Wastewater     |                  |                      | 107,065         | 143           | 15,310          | Σ 17,725

E12°10′15.60), about 3 km upstream the weir, during September and October 2018 and May to March 2019. Sampling was conducted using an encapsulated flow through filtration system as described by Lenz and Labrenz, (2018) with sample volumes between 150 and 600 L. The device was equipped with stainless steel filter cartridges (nominal 10 μm mesh size) in an enclosed setup. The sampling inlet was placed to sample the upper 10 cm of the water column facing the incoming flow. The individual sample volumes and dates can be found in the Supplementary Table S14.

The sample material was further processed in a MP-work adapted laboratory according to Enders et al. (2020). All steps involving exposed sample material were conducted inside a laminar flow bench (S2020 1.8, Thermo Scientific). All chemicals and rinsing water used were filtered through muffed 1.5 μm glass fiber filters (Whatman 934-AH) in a MP-free environment. First, the sample material was recovered from the filter cartridges by soaking in a 15% H₂O₂ solution for 48 h with subsequent rinsing off the particulate matter into ceramic bowls. From there the decision tree proposed by Enders et al. (2020) was followed applying protocol module m1 (freeze drying), m4 (30% H₂O₂ digestion over 24 h), and m5 (simple density separation). In a final vacuum filtration on 10 μm stainless steel meshes the samples were thoroughly rinsed using MP-free ultrapurified water to wash out remaining solutes.

The samples were transferred from the 10 μm stainless steel meshes to 50 ml Erlenmeyer flasks and sent to the IFP Dresden for microspectroscopic analysis. For that purpose, the particle suspensions were filtered onto 10 × 10 mm silicon filters (Käppler et al., 2015) for the following Raman analysis. The filtration took place in a particle-depleted laboratory under a laminar flow bench using a custom-made glass filtration device with PTFE adapters to host the silicon filters (Brandt et al., 2020). The silicon filters had a pore size of 50 μm with a pitch of 100 μm. The number, type, and color of the MP particles >50 μm of a filtered sample were determined with a combination of optical particle detection and Raman microspectroscopy using the software GEPARD as described in Brandt et al., 2020.

Microplastic abundances in samples were corrected by MP abundances detected in laboratory and process blanks. Therefore, the mean MP number for each size and polymer type class was calculated and subtracted according to the size and polymer type class from each sample (Supplementary Information S14). The MP data from the two different seasons (spring/autumn) were pooled for calculation of mean annual MP emissions from the catchment. Therefore, measured MP concentrations (C) were plotted against the river discharge (Q) measurements from the sampling day and a linear regression model was fitted to the data (in the following C-Q model; Supplementary Information S15).

Subsequently, the C-Q relationship was used to calculate annual MP emissions based on daily discharge data (m³ per second) from the closest gauging station Rostock/Geinitzbrücke (N54°04′41.17, E12°09′15.16) for the years 2016–2018 (data provided by Staatliches Amt für Landwirtschaft und Umwelt Mittelers Mecklenburg 2019) based on Eq. 6 (Supplementary Information S15):

\[ C = 132.41 + 18.62 \times Q, \]

where C corresponds to the MP concentration (MPS/day) and Q corresponds to the river discharge (m³/s).

Anti-fouling Paint Particle Emissions
As another potentially significant MP source within urbanized estuaries we assessed anti-fouling paint particle (AFPP) emissions from leisure and commercial shipping activities (Supplementary Information S16). For the leisure boat facility scenario AFPP release from the underwater ship hull of leisure boats were considered. Thereby, 65% of permanent leisure boats (PLANCO, 2004), which are assumed to be at berth for 50% of the time during the whole season (April–October), were considered. Number of berths within leisure boat facilities were obtained from the city of Rostock (OpenDataHRO). Utilizing emission scenarios for active ingredients from AFPPs it is assumed that 2.5 L of anti-fouling paint is applied per leisure boat and year by do-it-yourself maintenance work (OECD, 2005).

To convert paint volume applications to particle numbers a polymer content of 30% was assumed (Watermann and Eklund, 2019). As size distributions of AFPP particles detected in environmental samples are lacking, a basic emission scenario with an idealized AFPP particle was applied to get a first impression on spatio-temporal emission patterns within the estuary. Therefore, MP spheres with a density of 1.6 g/cm³ (Daehne et al., 2017; Enders et al., 2019) and a diameter of 0.5 mm were used for conversion.

Generally, three AFPP emission scenarios were differentiated: emissions during in-service use (ISU), from high-pressure
washing (HPW), and from maintenance and repair work (MR). Emissions during ISU were set to 1% of paint applied (OECD, 2009) of which 50% were assumed to be released while the boats are moored at berth. For the HPW and MR scenario particle emissions were assumed to be equally distributed to soil and water, resulting in a fraction of 0.5 being discharged to the water. At the end of the season in November boats are taken out of the water and often cleaned by HPW where a removal factor of 0.2 of AFPPs was set (OECD, 2005). Only leisure sport facilities offering a slipway were considered for AFPP release through HPW. For MR work during December till March a removal factor of 0.3 and an emission factor of paint application of 0.0125 was adapted (OECD, 2005). Only facilities that store boats in proximity to the water (identified through satellite images) were considered for MR particle emissions.

Oversea ferries, cruise ships, RoRo (Roll-on Roll-off), tanker, and cargo ships were considered for the AFPP emissions during ISU from commercial shipping. A conservative theoretical coverage of 13 m²/L of AFP was applied. To transfer the AFPP emissions of 1% during ISU to emissions within the estuary, average wetted surface area of different ship types (Miller et al., 2018), data on yearly inruns, and average time at berth for different ship categories (Lorentz and Moldsenhauer, 2014) were utilized (see Supplementary Information SI6 for calculation).

**Comparison of Emission Estimates and Potential Microplastic Discharge to the Baltic Sea**

For MP emission estimates of the city sewer system (WWTP, CSO, and STW), particle size was considered as important parameter. We only considered data from studies including the analysis of MPs <100 μm, as MP particle number increases rapidly with decreasing particle size. The MP concentration for raw wastewater and WWTP effluent was obtained as median from several studies, whereas for STW emissions only one study transferable to our system was available to the best of our knowledge (Liu et al., 2019).

The analysis of in-situ obtained MP data for the Warnow river did not include MPs between 10 and 50 μm. As fragmentation of MP particles causes the generation of continually smaller MPs, they are expected to follow a power law particle distribution (Kooi et al., 2019). Thus, MP counts from in-situ river samples were grouped into size bins of 10 μm and a power law model fitted to the data (Supplementary Information SI7). The obtained coefficients (a = 9.231, b = −1.293) were used to predict MP abundance down to 10 μm. Our calculated annual MP emissions by the C-Q model was concurrently corrected by the relative share of 91% of the size class 10–50 μm.

A comparison with AFPP emissions was not considered due to the different data basis, utilizing sales volume of AFP, expert knowledge, and an idealized AFP particle for emission estimates.

To further estimate MP discharge to the Baltic Sea the following considerations and assumptions on MP density, and thus sinking behavior, were made. Microplastic data from in-situ measurements and the literature were grouped into two density classes. Low density (LD) MPs with a density <1.0 g/cm³ included polymers such as polyethylene (PE) and polypropylene (PP). The high density (HD) class, with a density >1.0 g/cm³, contained polymers such as polyethylene terephthalate (PET), polyvinylchloride (PVC), polyamide etc (Supplementary Information SI4).

For high density AFPPs an accumulation close to their source could be shown for the Warnow estuary (Enders et al., 2019) and hence their retention within the estuary is very likely. In addition, HD-MPs with a higher density than seawater (1.025 g/cm³) were assumed to sink as processes, such as biofouling or agglomeration (Rummel et al., 2017; Michels et al., 2018), increase MP density and thus the probability of MPs sinking to the riverbed. Besides, for MPs between 1–200 μm retention is reported to strongly increase with density (Besseling et al., 2017).

To provide a conservative estimate on annual MP emissions to the Baltic Sea retention of buoyant LD-MPs was additionally considered. Due to the complex hydrodynamic behavior of the weakly tidal Warnow estuary, measuring discharge and assessing water retention time is difficult. For the saltwater influenced Warnow estuary Rönspeß et al. (2020) reported a retention capacity for particulate phosphorus. Similar calculations for suspended particulate matter (SPM) from the supplementary information of this study (see Suppl. 9_Balance calculations of Rönspeß et al., 2020) results in a retention capacity of 31% for SPM respectively. This retention capacity was further assumed for LD-MPs.

**RESULTS**

**Rostock City Sewer System Microplastic Emissions**

On average an annual emission of about 4.1 billion MPs was calculated for the WWTP outlet based on the monthly discharge data of the years 2016–2018 (monthly mean emission of about 11 million MPs).

Similar to the discharge of the catchment, at the WWTP lowest and highest average daily discharge of treated wastewater,
and thus MP emissions, were calculated for 2018 in November and April respectively (Figure 3).

Besides emissions from the WWTP, the rainwater from the combined sewer system pollutes the receiving water with around 2.4 billion particles per year, while around 15.3 billion particles per year are carried into the watercourse by the wastewater (Table 2). The total input of the combined sewer system is thus 17.7 billion particles per year.

Based on Eq. 4 an annual total stormwater runoff of about 41 million m$^3$/a was calculated (Table 3). The 24 tributaries contribute to an additional 91 billion MP particles per year due to runoff from industrial (14.3 km$^2$), residential (21.4 km$^2$), and traffic areas (18.5 km$^2$). The individual contributions of the tributaries range from 1.6 million to 23 billion MP particles per year at their mouth into the estuary (white points in Figure 2). Around 15% are released close to the weir, 32% at the western and 53% at the eastern sides of the estuary. As industrial areas are supposed to deliver high MP inputs per area according to Liu et al. (2019), especially the eastern tributaries account for high MP emissions in the estimation.

In addition, 34.5 billion MP particles are potentially being directly discharged (grey area Figure 2) into the estuary through several stormwater outlets. From the resulting total annual emissions of 125.5 billion MPs, 73% are thus released by the tributaries and 27% through stormwater outlets distributed along the estuary.

**River Catchment Microplastic Emissions**

For the Warnow river, MP concentrations from 57 to 388 particles/m$^3$ with a mean of 226.79 ± 119.39 and a median of 281 (IQR: 297–113) particles/m$^3$ (size range 0.05–5 mm) were measured. Considering the size classes in MP river samples, more than 90% of MPs were <300 μm within the first sampling campaign in autumn 2018. In the second sampling campaign 63% of detected MPs exhibited sizes <300 μm and 30% were between 300–1,000 μm (Supplementary Information SI4). Polyolefins (PE and PP) dominated among detected polymer types (72%), followed by polystyrene (13%). Polyethylene terephthalate (8%), poloxymethylene (4%) and silicone (2%) had a minor share and polymethyl methacrylate and PVC contributed with less than 1% among detected polymer types (Supplementary Information SI4).

Applying the C-Q model to daily discharge data for the years 2016–2018, average annual MP emission account for about 13 billion MPs with a daily average of about 36 million MPs being released into the estuary (Supplementary Information SI5). Highest average river discharge, and thus calculated MP emissions, was observed in 2017 (18.3 ± 8.4 m$^3$/s), with high amounts of MPs being released during summer and October till December (Figure 4). In the years 2016 and 2018 monthly river discharge follows the generally observed pattern for the Warnow river (Pegelportal, 2020) with accordingly highest MP emissions calculated for January and February, thereafter decreasing until summer and starting to increase in autumn (Figure 4).

**Anti-fouling Paint Particle Emissions**

For the considered 15 leisure boat facilities we estimated an annual emission of about 370 million AFPPs due to ISU, HPW, and MR. Thereof, 3% were released by presumably 1,625 permanent boats due to ISU during April and September. The majority was released by HPW after the season in November (1,274 boats) and due to MR work from November to March (50 and 47% respectively). Thus, areas where HPW is conducted depict potential spatio-temporal hotspots of AFPP release. Based on our assumptions there were no large differences between western and eastern sides of the estuary considering total AFPP emissions (42 and 58% of AFPP emissions; Supplementary Information SI6).

Applying the basic emission scenario for AFPP release during ISU from commercial shipping an annual emission of 4.4 billion AFPPs was estimated. Thereof, around 43 and 40% were released...
by tanker and cargo ships, 8% by ferries, and 6% by RoRo ships at the industrial port within the area of Breitling. Another 3% of AFPP emissions were released by cruise ships close to Warnemünde. Besides cruise ships, where the peak season is between May and September, a constant release of AFPPs can be assumed during the whole year for all other commercial ship types. For all scenarios it should be kept in mind that results are based on our assumptions and that the applied emission scenarios were calculated for idealized spherical AFPPs of 0.5 mm diameter (density 1.6 g/cm³).

**Comparison of Emission Estimates and Potential Discharge to the Baltic Sea**

A total discharge of about 291 billion MPs were estimated to enter the Warnow estuary annually. Thereof about 1.4% are emitted by the WWTP, 6.1% due to CSO emissions, 49.4% by the catchment of the Warnow river, and 43.1% due to stormwater discharges within the city area (Table 4).

Microplastic concentrations of WWTP influents (influencing CSO emission estimates), effluents and within STW, obtained as median value considering several studies, show highest variability from 93 to 134% respectively. Microplastic concentrations for the Warnow river catchment, exhibit considerably lower variability (CVₜ; 33%; Table 5).

Microplastics detected in the Warnow river samples were comprised of 72% LD and 28% HD polymers, with only small differences between sampling campaigns (Supplementary Information SI4). Low density polymers are also dominating in MP reports of stormwater runoff and combined sewer overflows. For stormwater runoff LD and HD polymers contribute to 81 and 19% respectively (Liu et al., 2019). Considering the mixing ratio of 11.68:1 for storm- and raw wastewater of the combined sewer system, a share of 76% for LD and 24% for HD polymers was calculated for CSO emissions (Supplementary Information SI1). For the MP composition within the effluent at the WWTP a detailed report on a plant with a tertiary treatment in Germany was utilized (Mintenig et al., 2014). Here a higher share of HD polymers (about 77%) was reported, which is in concordance with other reports (Sun et al., 2019).

In total, 75% of MPs released to the estuary belong to the class of LD-MPs and 25% to the class of HD-MPs (Table 4). Under the assumption that HD-MPs and 31% of LD-MPs are retained within the estuary (see Materials and Methods 2.5), about 152 billion MPBs would be released into the Baltic Sea annually.

**DISCUSSION**

**Microplastic Emission Estimates Into the Estuary**

Considering all limitations of our emission scenarios, about 291 billion MPBs potentially enter the estuary annually. Thereby, the outlet of the WWTP has the lowest percentage of MP emissions (1.4%, Table 4), which is in line with previous studies (Simon et al., 2018; Conley et al., 2019). Calculated MP emissions due to CSOs (6.1%) are about five times higher and stormwater runoff from the city area of Rostock exhibits the highest percentage (43.1%) among sewer system emissions. The higher the retention of a compound within a WWTP, the more relevant are discharges due to CSOs and stormwater (Bollmann et al., 2019), which is in line for MP with a retention by up to 99.9% for plants with tertiary treatment (Prata, 2018). A general seasonal pattern of MP emissions by WWTP outlets could not be detected by a comprehensive study of Conley et al. (2019). Instead, MP concentrations in effluents were found to vary by a factor of 4.8 over the course of the year. In our scenarios MP discharge by stormwater runoff and CSOs is related to precipitation and as a result MP emission for the Warnow estuary would be highest during summer months (Supplementary Information SI8). For stormwater runoff and CSOs other factors than precipitation could likewise influence temporal MP emissions. For example, precipitation pattern as well as deposition time before runoff will influence MP runoff from a surface to discharging natural and culture-technical waterways. Besides, particle retention within waterways will differ, which was not accounted for. In our scenarios data from one study reporting MP emissions separated by different land use categories (Liu et al., 2019) was used for MP emissions by stormwater runoff. Here MP emissions per area within the land use categories can vary over time and region. Our utilized MP values are rather conservative, considering reports of on average 7,713 to 111,000 MP/m³ (>20 μm) in stormwater (Bondelind et al., 2020). Further, tire and road wear particles which can exhibit a significant share were

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**TABLE 4** Annual microplastic (MP) emissions and percentage share of different sources and density classes. STW: stormwater, CSO: combined sewer overflows, WWTP: wastewater treatment plant, LD: low density MPs (<1.0 g/cm³), HD: high density MPs (>1 g/cm³). 

| Source | Mean | LD | HD |
|--------|------|----|----|
| WWTP influent | 143,965 | 49.4 | 103,655 | 47.2 |
| WWTP effluent | 125,500 | 43.1 | 101,655 | 46.3 |
| STW | 17,725 | 6.1 | 13,471 | 6.1 |
| CSO | 4,064 | 1.4 | 3,130 | 0.4 |
| CSOs (corrected) | 291,254 | 100 | 219,716 | 100 |

**TABLE 5** Descriptive statistics for microplastic concentrations utilized for emission estimates. Data was obtained from the literature for wastewater treatment plant (WWTP) influents, effluents, and stormwater (STW). Microplastic concentration per m³, IQR: interquartile range, MAD: median absolute deviation, CVₜ: coefficient of variability for median, n: number of microplastic measurements.

| Source | WWTP influent | WWTP effluent | STW | Warnow river |
|--------|---------------|---------------|-----|--------------|
| Min | 1,440 | 6 | 490 | 57 |
| Max | 18,285,000 | 19,000 | 22,894 | 388 |
| Median | 143,330 | 245 | 1,409 | 281 |
| MAD | 192,204 | 228 | 1,363 | 92 |
| CVₜ | 134 | 93 | 97 | 33 |
not included in the study by Liu et al. (2019) and are accordingly not represented by our results. When comparing MP emissions among sources the general high variability of MP concentrations has to be taken into account. In our scenarios utilized MP concentrations for raw wastewater (influencing CSO emission estimates) and WWTP effluent were highly variable (CV M: 134 and 93%) (Table 5). But even an increase of 93% of MP emissions for WWTP effluents and 134% for raw wastewater would not notably change the high share of stormwater and river catchment emissions which would still contribute with about 40 and 45% respectively.

Another relevant share of MP is very likely emitted by the catchment of the Warnow river (49.4%; Table 4) which discharges about 95% of river water into the estuary (Bachor 2005). The Warnow river discharge varied about 78% within the considered three years with a recorded minimum and maximum values of 0.03 and 60.97 m³/s respectively. Our in-situ measurements only covered river discharge values up to about 15 m³/s (Supplementary Information S15). Due to high variability of MP concentrations for low flow conditions and a small number of measurements the C-Q relationship is not very robust and needs further validation. But in contrast to precipitation patterns in the city and thus MP discharge through stormwater runoff, highest mean annual discharge of the Warnow river is occurring during winter month (Pegelportal, 2020). An accordingly assumed higher probability of MP emissions agrees with predicted peak MP emissions for the northern hemisphere between January and April (Lebreton et al., 2017).

While our AFPP emission estimates are associated with highest uncertainty and provide qualitative rather than comparable quantitative information, they indicate the importance of this source for the estuary. In-service use (ISU) emissions by commercial shipping for example would result in an annual release of about 4.4 billion AFPPs. Total emissions of AFPP by leisure boats add to another 370 million AFPP according to our scenarios, which represent conservative estimates. Here it needs to be considered that the applied removal and emission factors for AFPPs were provided by experts over a decade ago and that their present-day validity needs further investigation. For comparison, an additional scenario was calculated based on the annual sales

| Table 6 | Parameters for microplastic (MP) emission scenarios for the sewer system. WWTP: wastewater treatment plant, CSO: combined sewer overflow, STW: stormwater, WW: wastewater, TRWP: tire and road wear particles. Data sources for utilized MP concentrations are provided within Supplementary Table SI1. |
|---------------------------------|-------------------------------|------------------|--------------|
| Microplastic emission scenario  | Parameter                     | Value Warnow estuary | Unit         |
|                                 | Area city                      | 181.4            | km²          |
|                                 | Population density city        | 1,151            | Person/km²   |
| WWTP emissions [MPs/a]          | Annual processed WW            | 16,588,932       | m³/a         |
|                                 | MP concentration effluent      | 245              | MPs/m³       |
| STW emissions [MPs/a]           | Traffic area                   | 20.9             | km²          |
|                                 | Industrial area                | 19.6             | km²          |
|                                 | Residential area               | 25.1             | km²          |
|                                 | Unsealed area                  | 104.2            | km²          |
|                                 | Mean annual precipitation      | 621              | l/m²         |
|                                 | Runoff coefficient             | 0.86             |             |
|                                 | Industrial area                | 0.68             |             |
|                                 | Residential area               | 0.79             |             |
|                                 | Unsealed area                  | 0.14             |             |
|                                 | MP concentration (TRWPs not included) | 494        | MPs/m³       |
| CSO Emissions [MPs/a]           | Traffic area                   | 34               | %            |
|                                 | Industrial area                | 2.8              | km²          |
|                                 | Residential area               | 1.0              | km²          |
|                                 | Unsealed area                  | 3.7              | km²          |
|                                 | Mixing ratio STW: Raw WW       | 11.68:1          |             |
|                                 | MP concentration raw WW        | 143,000          | MPs/m³       |
volume of AFP of 794 tons for leisure boats in Germany (and an emission factor of 50% during ISU (Daehne et al., 2017)). Considering the share of berth in the Warnow estuary of 0.79% and transferring this number to our scenario this would amount to about 3.7 billion AFPPs per year (Supplementary Information SI6). The example shows the high uncertainties associated with different assumptions. It should further be noted that a single estimate on plastic polymer content of a typical AFPP was used. Common commercial and leisure boat antifouling paint systems span a wide range of compositions, including several polymers in varying amounts as well as non-polymer-based matrices. Nevertheless, our estimates are again conservative considering the applied relatively large particle diameter of 0.5 mm. For example, if adjusting particle diameter to 0.1 mm the total release of AFPPs drastically increases to about 594 billion AFPPs per year. Although our AFPP emission scenarios only provide a very rough first estimation of this source, they are likewise able to provide spatial information on AFPP emissions (Supplementary Figure SI6).

Retention of Microplastics and Emission Potential to the Baltic Sea
According to our assumptions an estimated 152 to 291 billion MPs larger than 10 μm (majority <100 μm) are annually discharged from the Warnow estuary to the Baltic Sea.

Currently there is only limited information on MP retention within rivers and no comparable data for estuarine systems to the best of our knowledge. Besides particle characteristics (density, shape, size) and processes altering particle density (Rummel et al., 2017; Michels et al., 2018), the hydrology, morphology, and management of the estuary are important factors for MP retention.

For our study system there are several factors promoting the retention of MPs within the estuary. Deposited and/or sinking polymers are subjected to a predominating upward transport with higher saline bottom water under the general estuarine circulation pattern (Lange et al., 2020). Further river transport inland is prevented by a weir and in the city area close to the weir deposition of particles is promoted by decreased flow velocities (Rönsieß et al., 2020). In addition, floating MPs can be trapped within reed belts or retained due to deposition at riverbanks within the estuary. On the other hand, it has been reported that high amounts of MPs can be mobilized during storm events (Hurley et al., 2018; Hitchcock, 2020). Nevertheless, frequent dredging activities within the estuary to sustain the depth of shipping channels further indicate a net accumulation of particulate matter within the estuary.

Although there is a high probability that the HD-MPs and part of the LD-MPs are retained within the estuary, MPs <10 μm are currently not considered which would additionally contribute to MP emission numbers. Moreover, diffuse emission through for example aerial inputs or fragmentation of macroplastic were not accounted for within the current MP emission scenarios. Thus, the calculated 152 to 291 billion MPs entering the Baltic Sea annually provide a first reasonable estimate.

Besides, comparing our predicted MP emission estimates to the Baltic Sea with literature data yields a rather conservative estimate. For example, Zhao et al. (2019) estimated an annual discharge of 16–20 trillion MPs (>60 μm) from the Changjiang estuary to the ocean. Another study measuring a comparable size class of MPs (>20 μm) in the Nakdong River in South Korea report an annual discharge of 5.4–11 trillion MPs to the ocean (Eo et al., 2019). Dividing the values by the population of the Nakdong catchment results in an emission of 0.5–1.1 million MPs per capita per year which is in a comparable order of magnitude as compared to our calculation (388–746 thousand MPs per capita per year).

Practical Implications of Applied Emission Scenarios
To estimate and compare sewer system related MP emissions from the city area information on land use categories of the drained area in combination with corresponding runoff coefficients, MP concentrations, and amount of precipitation were combined (Table 6). With the applied routing-method (Supplementary Information SI3) spatially differentiated MP emission estimates by stormwater could be provided. The approach is transferable to other areas, provided that a coherent, hierarchical network of watercourses with associated subcatchments is available.

Generally, precipitation seems to be a suitable proxy for temporal emissions of MPs from impervious surfaces. This is supported by a recent study, reporting a positive relationship between MP concentrations in an estuary and the average rainfall across previous five days (Hitchcock, 2020). For MP emission estimates through CSOs a permissible overflow rate was calculated based on German water management guidelines. In combination with the mixing ratio of discharged stormwater and raw wastewater (mixing ratio 11.68:1) comparable MP emissions could be calculated. Baresel and Olshammar (2019) calculated annual CSO by assuming a fraction of 1.5% of total WWTP inflows and assessed MP emissions by WWTPs and different CSO types. Results showed that weather induced CSO contributed to at least 18% to >50% of MP emissions to the Baltic Sea. Transferring this approach to the sewer system in Rostock would result in about two times higher annual inputs (37 billion MPs per year). In a study additionally considering stormwater MP emissions into the Baltic Sea, CSO emissions had the lowest contribution of MP discharge (Bollmann et al., 2019). And in contrast to our results, high amounts of MP were emitted by treated wastewater (WWTPs). The assumed high amount of treated wastewater discharge (about four times higher than untreated stormwater discharge) and a lower runoff coefficient for impervious surfaces by Bollmann et al. (2019) can explain the discrepancy. Stormwater discharge was about 2.5 times higher than processed wastewater discharge in our study. Moreover, area-specific runoff coefficients were utilized (Table 6) which is, considering potential local adaptions, recommended for future studies. Microplastic emissions are
generally controlled by anthropogenic activities (Zhao et al., 2020). As those activities differ among land use, MP emissions should further be differentiated according to specific land use categories. The relevance of unsealed areas is still uncertain and despite the low runoff coefficient, meaning lower MP discharge, those areas contribute to the highest share, (e.g. ~60% in the city area). However, for forest and agricultural soil a higher storage capacity as compared to urban soils has been postulated (Nizzetto et al., 2016) which needs further validation.

For the city area the calculated load of MPs per area would amount to about two billion MPs/km²/a accounting for traffic, industrial, and residential areas. For the Warnow catchment 3% (98 km²) of land use is urban area (Bauwe et al., 2019). Assuming a comparable share of catchment classes and population density this would amount to a calculated MP emission of about 200 billion MPs/a. At the weir MP concentration of about 14 billion MPs/a were estimated which is 28% less. This approximation is indeed very rough. But with knowledge on the share of catchment classes of urban areas and more robust data on MP concentration within the according runoff one could approximate retention within rivers.

To estimate river (micro) plastic emissions often mean or median (micro)plastic concentrations in conjunction with mean river discharge have been used (Lebreton et al., 2017; Atwood et al., 2019; Schöneich-Argent et al., 2020). Increased emissions of MPs with increased river discharge has been reported (Wagner et al., 2019) and for the rural Warnow river catchment we observed a significant linear C-Q relationship ($p < 0.05$). Opposed to our results, a C-Q relationship for the rural subcatchment in the study of Wagner et al. (2019) was not detected. The different results can be explained by different MP sampling and analysis techniques which were confined to three polymer types and a lower size limit of 0.5 mm (Wagner et al., 2019). Besides, varying characteristics of rivers and their catchment areas could lead to different results and thus, it needs further investigations on the transferability of C-Q relationships to other systems. A semi-log regression model exhibited a similar model fit (Supplementary Information S15) but additional data covering a wider range of river discharge values would be needed to precisely describe the relationship between MP concentration and river discharge. An exponential or logarithmic increase of MP concentrations with river discharge seems likewise plausible. Higher flow velocities caused by higher discharge or floods can lead to a remobilization of MP within riverbed sediments (Hurley et al., 2018). In addition, increased flow velocities and water levels within a river course can result in remobilization of plastic litter from riverbanks (van Emmerik et al., 2019). High precipitation and following increased runoff from impervious surfaces of urban areas as well as additional wastewater discharges contribute to an increased MP emission into river systems. Yet, our approach accounts for the uncertainty of the Warnow river discharge which was highly variable among month (highest variability in 2018 from 82% in October to 12% in January). Nevertheless, to obtain information on temporal MP emission patterns we support previous suggestions by Wagner et al. (2019) to establish C-Q relationships as proxy to estimate river catchment plastic emissions. Thereby the whole size fraction of MPs as well as a broad range of discharge conditions should be covered.

Finally, combining information from our emission scenarios three potentially high influenced areas by MP emissions could be identified within the estuary (I) the area behind the weir due to emissions from the catchment and loadings of stormwater runoff from large industrial areas (~18% of emissions from all tributaries) (II) the recreational city harbor receiving MPs from the CSO outlets and potential high inputs of AFPPs through several large leisure boat facilities, and (III) the area of Breitling within the northeastern part of the estuary due to high inputs of stormwater (~51% of emissions from all tributaries) and potential MP emissions from the area of the industrial harbor as well as AFPP emissions from the high share of commercial shipping activities in this area (Figure 1).

**CONCLUSION**

Based on our combined approach major MP emissions into the Warnow estuary occur in the following order: stormwater and river catchment > CSO > WWTP. Keeping in mind differences among emission scenarios it still can be assumed that MP emissions by the city sewer system are likely as important as accumulated MP emissions by the catchment of the Warnow river. Furthermore, increased MP emissions from the river catchment probably occur during winter driven by higher water discharge, whereas higher MP emissions from the city sewer system are expected through higher precipitation during summer. Calculated annual MP discharges to the Baltic Sea ranged between 152 and 291 billion MPs whereas most uncertainty results from catchment emission scenarios as well as retention of MP particles within the estuary. A comparison of our MP emission estimates from the city sewer system with existing studies highlights the necessity to consider all components of a sewer system to gain a comprehensive knowledge on the importance of various MP sources and pathways.

**DATA AVAILABILITY STATEMENT**

The original contributions presented in the study are included in the article/Supplementary Material, further inquiries can be directed to the corresponding author.

**AUTHOR CONTRIBUTIONS**

SP and GS prepared the concept of the paper. All authors made substantial, direct, and intellectual contribution to the work and approved it for publication.
FUNDING

This research was funded by the German Federal Ministry of Education and Research (BMBF) project MicroCatch_Balt (03F0788A), and the BONUS MICROPOLL project supported by BONUS (Art 185), funded jointly by the European Union and BMBF (03F0775A).

ACKNOWLEDGMENTS

We like to thank Jens Träncker and Kristina Enders for constructive discussions and comments on the manuscript as well as Anne Oelschner (Stalu-MM), Henri Hoche and Holger Stählike (Nordwasser GmbH), Ulrike Leppin, Ricarda Neumann, and Michael Jeschke (port authority) for providing data and information. Further we would like to thank the reviewers, helping to improve the quality of the manuscript through constructive comments and criticism.

SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at: https://www.frontiersin.org/articles/10.3389/fenvs.2021.616765/full#supplementary-material.

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Conflict of Interest: The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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