Noninvasive Testing and Surrogate Markers in Invasive Fungal Diseases

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Invasive fungal infections continue to increase as at-risk populations expand. The high associated morbidity and mortality with fungal diseases mandate the continued investigation of novel antifungal agents and diagnostic strategies that include surrogate biomarkers. Biologic markers of disease are useful prognostic indicators during clinical care, and their use in place of traditional survival end points may allow for more rapid conduct of clinical trials requiring fewer participants, decreased trial expense, and limited need for long-term follow-up. A number of fungal biomarkers have been developed and extensively evaluated in prospective clinical trials and small series. We examine the evidence for these surrogate biomarkers in this review and provide recommendations for clinicians and regulatory authorities.

Keywords. diagnosis; fungal infections; mycology.

The use of surrogate end points through the use of indirect markers known as biomarkers has the potential to replace clinical end points in large-scale licensing therapeutic trials and to provide additional prognostic information when used during routine clinical care. Use of biomarkers can avoid the delay of days to weeks for fungal growth in blood or tissue and may obviate the need for invasive diagnostic procedures. To be useful as surrogate end points, however, there must be evidence that these biomarkers correlate with clinically meaningful outcomes. Substantial evidence has been generated pertaining to the use of fungal biomarkers over the last decade. We review the available evidence on the use of fungal biomarkers as surrogate end points in this manuscript.

CRYPTOCOCCOSIS

Surrogate biomarkers for cryptococcosis can be used for diagnosis, prognosis, and treatment decisions. Standard diagnostics are India ink microscopy, cryptococcal antigen (CrAg), and culture [1]. Culture and microscopy techniques date to 1894 [2] and are still used. India ink testing for visualization of the capsule can detect ~10⁴ CFU yeasts/mL in centrifuged cerebrospinal fluid (CSF) and has a sensitivity of ~60%–80% in HIV-infected patients [3] and ~50% in non-HIV cryptococcal meningitis. The change in quantitative CSF microscopy yeast counts correlates poorly with changes in quantitative culture and cannot be used as a surrogate marker [4].

Cryptococcal Antigen

Cryptococcal antigen tests using latex agglutination, enzyme immunoassay (EIA), or lateral flow assays (LFAs), have been integrated into clinical practice and have >95% sensitivity and specificity in CSF, serum, plasma, and whole blood [5, 6]. The CrAg LFA by IMMY (Norman, OK, USA) has the best diagnostic performance of any current point-of-care assay [3], with detection of both C. neoformans and C. gattii species but without the ability to distinguish between species. Internationally, other non–Food and Drug Administration 

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(FDA)-approved CrAg LFAs exist with substantially worse diagnostic performance [7–9]. Serum or plasma CrAg LFAs are >99.9% sensitive for detecting meningitis in HIV-infected persons with meningitis, although if central nervous system symptoms are present despite negative serum testing assessment of the CSF should be pursued [10, 11]. False-negative tests are more common with CrAg latex agglutination and in HIV-negative persons, where ~20% may have a false-negative CrAg in serum with disseminated disease [3, 12]. False-negative CrAg LFAs are possible due to a prozone effect at CrAg titers >1:1 million by serial dilution; however, a new semiquantitative CrAg LFA has been developed that eliminates prozone [11]. The ability to provide a semiquantitative CrAg titer provides prognostic information, as high titers are correlated with high burden of disease and predict poor prognosis [13, 14]. However, serial changes in CSF titers or serum CrAg titers following treatment are not associated with antifungal activity, change in CSF quantitative culture, or survival [15, 16]; thus, longitudinal CrAg titer change is not a useful surrogate biomarker.

**Early Fungal Activity**

The slope of cryptococcal yeast clearance rate of serial CSF quantitative cultures, termed early fungal activity (EFA) [17, 18], is now a frequently used tool in clinical trials as a direct marker of antifungal activity and a surrogate marker for mortality [1, 19, 20]. In a 2020 meta-analysis of 738 cryptococcal meningitis participants from 3 sequential trials who received the same background induction regimen of amphotericin B deoxycholate and fluconazole, those with an EFA <0.20 log₁₀ CFU/mL/d had an increased 18-week mortality compared with those with an EFA >0.20 (Figure 1) [19].

Furthermore, the association of EFA with mortality in HIV-associated cryptococcal meningitis is built on previous separate prospective studies. Bicanic and colleagues used pooled data from 4 trials in persons with HIV-associated cryptococcal meningitis undergoing induction with amphotericin B-based combination therapy or fluconazole monotherapy and found that a slower rate of clearance was associated with 10-week mortality [20]. Those who survived >10 weeks had mean EFAs of 0.41 log₁₀ CFU/mL/d, vs 0.27 log₁₀ CFU/mL/d in those who died. A 2016 systematic review of 27 trials including 2854 participants (EFAs measured with different statistical techniques) also found an association between mean EFA and 10-week survival [21], although this analysis excluded inferior regimens with poor EFAs, such as fluconazole monotherapy. While there are many contributors to cryptococcal meningitis mortality, EFA is a validated surrogate marker for antifungal activity in humans and for all-cause mortality, particularly when the EFA is <0.20 log₁₀ CFU/mL/d. As *Cryptococcus* quantitative cultures take 3–7 days to grow on standard fungal media [18], EFA is a delayed metric that cannot be used in real time for clinical decision-making. Quantitative CSF culture methodology has been published and cross-validated [18].

**Other Potential Biomarkers**

Insufficient data exist for other surrogate markers for cryptococcal meningitis. Both CSF (1→3)-β-D-glucan (BDG) and FilmArray polymerase chain reaction (PCR) testing (BioFire, Salt Lake City, UT, USA) are potential diagnostic markers in cryptococcosis, but both are suboptimal compared with CrAg for diagnosis [22–25]. The rapid decay of CSF BDG and the change in PCR cycle threshold (Ct) value for *C. neoformans* DNA during antifungal therapy make these interesting potential surrogate biomarkers.

**Summary and Recommendations for Surrogate Biomarkers in Cryptococcosis**

CrAg is an established diagnostic biomarker that is more sensitive than culture. CrAg LFA is most sensitive. CrAg is preferred over BDG or PCR testing for diagnosis, but sequential CSF CrAg titers should not be used for monitoring response to therapy. The CSF clearance rate of *Cryptococcus* from serial quantitative CSF cultures (EFA) is a direct marker of antifungal activity and a surrogate biomarker of mortality and is now routinely used to evaluate novel antifungal strategies in cryptococcal meningitis clinical trials (Table 1).
INVASIVE CANDIDIASIS

Invasive candidiasis includes bloodstream infections by Candida spp. (candidemia), which may be complicated by end-organ infections from hematogenous dissemination, and noncandidemic infections of organs or submucosal tissues. Blood culture analysis is the gold standard and most common approach for identifying candidemia. Noncandidemic invasive candidiasis (deep-seated candidiasis) is typically diagnosed by culture, staining, and/or histopathology of samples acquired by biopsy or aspiration of involved tissue. Newer technologies and commercially available methods have been developed for more rapid diagnosis than the delay observed with cultures.

The average time to detection for culture-based systems ranges from 14 to 38 hours and varies depending on the culture conditions used (most Candida spp. grow more rapidly in aerobic than in anaerobic bottles) [26], the Candida species, and the number of circulating yeast per mL of sample. Moreover, limitations in the sensitivity, reliability, and timeliness of automated blood culture methods exist. The sensitivity of these assays decreases with the inocula (79% sensitivity with 1000 yeast cells/bottle, 73% with 100 yeast cells/bottle, and 70% sensitivity with 10 yeast cells/bottle). The mean detection time for C. albicans is 20–30 hours depending on bottle type and inoculum concentration [27]. Non-albicans species such as C. glabrata may take considerably longer for growth (~80 hours).

Using culture-based diagnostic molecular assays, clinicians are now able to decrease the time to organism identification and improve antimicrobial agent selection and patient outcomes [28]. For example, matrix-assisted laser desorption/ionization mass spectroscopy (MALDI-TOF MS) is based on characteristic mass spectral fingerprints unique to different microorganisms. This technique requires growth of the organism on solid culture media before assessment. Other recently developed rapid diagnostics performed directly on positive blood culture samples include Biofire Direct from Blood Culture, GenMark Yeast ID from Blood Culture, Accelerate Pheno, and PNA Fish [29–31]. The FilmArray blood culture identification panel, a multiplexed assay able to identify 24 different pathogens (BioFire Diagnostics, Salt Lake City, UT, USA), provides results in ~1 hour with reported sensitivity of 96%–100% and specificity of 88%–100% [31, 32].

Limitations of culture techniques have spurred development of culture-independent diagnostic tests for invasive candidiasis, although uncertainty about test performance, costs, and utility remains [33]. Traditional culture-based methods may be less sensitive than newer methodologies and thus result in the erroneous interpretation that newer methodologies are less specific than the traditional “gold standard” cultures despite detection of more true-positive results (Table 2). Data are most robust for 3 culture-independent diagnostic tests: (1→3)-β-D-glucan, Candida polymerase chain reaction (PCR), and T2Candida assays of blood-based samples. Culture-independent diagnostic test–guided patient management strategies have not been validated in clinical trials. We propose that patient populations within the dark lines in Table 2 might be targeted for such future trials to identify whether patients with “false-positive” culture-independent diagnostic tests that are culture negative benefit from antifungal therapy and thus are true positives. Below we discuss how these assays can be rationally incorporated into patient care using current knowledge.
**Table 2. Performance Characteristics of Culture-Independent Diagnostic Tests for Candidemia as Compared With Culture as the Imperfect Reference Standard**

| Prevalence | Direct | PCR, 2Candida |
|------------|--------|---------------|
| 0.004%     | 95%    | (90%/90%)     |
| 0.01%      | 99.9%  | (80%/80%)     |
| 0.03%      | 99.8%  | (70%/90%)     |
| 0.10%      | 99.7%  | (90%/90%)     |

**Corresponding Patient Populations**

- **0.004%**
  - Patient in ICU with fever
  - Clinical prediction score

- **0.01%**
  - Patient with sepsis, septic shock, in ICU for >3–7 d

- **0.03%**
  - Patients with sepsis, septic shock, in ICU for >3–7 d

- **0.10%**
  - ICU patient at increased risk for candidemia based on clinical prediction score

**PCR**

PCR offers potential advantages over BDG by specifically targeting *Candida* spp. and allowing concurrent identification to the species level. Most PCR assays target *C. albicans*, *C. glabrata*, *C. parapsilosis* complex, *C. tropicalis*, and *C. krusei*, which account for >95% of cases of invasive candidiasis at most centers. In a meta-analysis, PCR sensitivity was 95% and specificity was 92% for proven/probable invasive candidiasis (predominantly candidemia) [48]. Sensitivity and specificity for proven/probable/possible invasive candidiasis were 73% and 95% respectively. Higher sensitivity was observed with whole blood (as opposed to serum), and *Candida* - or fungal-specific rather than broader multiplex assays. There was a trend toward lower specificity among patients colonized by *Candida* [48]. Another meta-analysis investigated LightCycler SeptiFast (Roche Diagnostics, Mannheim, Germany), a real-time multiplex PCR assay for the 5 most common *Candida* spp. and 20 other bacterial pathogens, among patients with presumed sepsis [49]. In this study, the sensitivity and specificity for candidemia were 61% and 99%, respectively. In studies of deep-seated candidiasis (predominantly intra-abdominal candidiasis), PCR sensitivity and specificity vary from 25% to 91% and 33% to 97%, respectively [42–45].

**(1→3)-β-D-Glucan (BDG)**

BDG is a cell wall constituent of *Candida* and most pathogenic fungi. There is no conclusive evidence for the superiority of an assay by any particular manufacturer [34]. The majority of the data are for the FDA-approved Fungitell assay (Associates of Cape Cod, Cape Cod, MA, USA). Positive BDG results do not identify *Candida* species or distinguish between *Candida* and other fungi. Performance improves if 2 or more sequential samples are positive for BDG (>80 pg/mL) [35]. False positives can occur with intravenous immunoglobulin, albumin, beta-lactam antibiotics, or bacterial infections [36].

In meta-analyses, serum BDG sensitivity and specificity for invasive candidiasis (primarily candidemia) are ~75%–80% and ~60%–80%, respectively [37–40]. Performance varies greatly in individual reports, with sensitivities ranging from 27% to 100% and specificities ranging from 0% to 100% [41]. In studies of deep-seated candidiasis (predominantly intra-abdominal candidiasis), sensitivities and specificities (interquartile range [IQR]) were 65% (60%–77%) and 75% (64%–75%), respectively (Table 3) [42–46]. A recent Cochrane report was unable to perform a meta-analysis or estimate BDG diagnostic accuracy for invasive candidiasis due to heterogeneity in performance and study design [41]. Serial BDG measurements are not generally assessed in invasive candidiasis, although a negative slope (eg, decline) in BDG levels has been correlated with successful treatment outcomes [47]; more data on longitudinal BDG change are needed.
Table 3. Anticipated Performance of BDG, PCR, and T2Candida in Various Populations at Risk for Intra-abdominal Candidiasis

| Prevalence, % | Representative Patient Populations | BDG (65%/75%) | PCR, T2Candida (45%/95%) |
|--------------|-----------------------------------|---------------|-------------------------|
|              |                                   | PPV, %        | NPV, %                  | PPV, % | NPV, % |
| 5            | Low- to moderate-risk peritoneal dialysis patient with peritonitis | 12            | 97.6                    | 37     | 97     |
| 10           | Patient with emergent surgery for intra-abdominal infection | 22            | 95                      | 56     | 94     |
| 20           | Patient with high-risk severe acute or necrotizing pancreatitis | 39            | 90                      | 74     | 87     |
|              | Patient with small bowel perforation |               |                         |        |        |
|              | Patient with emergent surgery for nosocomial intra-abdominal infection |               |                         |        |        |
| 30           | Patient who has undergone high-risk GI/hepatobiliary surgery | 53            | 83                      | 83     | 80     |
|              | Patient with a biliary leak |               |                         |        |        |
|              | Patient with a gastric/duodenal perforation |               |                         |        |        |

Table 3 adapted from Clancy and Nguyen [34]. BDG sensitivity/speciﬁcity values for diagnosing intra-abdominal candidiasis (the most common type of deep-seated candidiasis) are median values from studies cited in the text. PCR and T2Candida sensitivity and speciﬁcity for diagnosis are representative of recently published studies, as cited in the text. In order to make rational use of culture-independent diagnostic tests, clinicians must be familiar with test performance and prevalence of various types of invasive candidiasis locally.

**T2Candida**

T2Candida (T2 Biosystems, Lexington, MA, USA) is an FDA-approved diagnostic for candidemia, which detects the 5 most common *Candida* species within whole blood by an automated process in which ampliﬁed DNA targets are detected by T2 magnetic resonance. In multicenter studies, T2Candida was 89%–91% sensitive and 98% speciﬁc for candidemia by targeted species [30, 51]. The mean time to *Candida* detection and species identiﬁcation was 4.4 ± 1.0 hours. Data for T2Candida in clinical practice have shown this methodology as 65%–83% sensitive and 93%–96% speciﬁc for candidemia [52, 53]. For the diagnosis of deep-seated candidiasis (predominantly intra-abdominal candidiasis), studies have found this system 33%–45% sensitive and 93%–96% speciﬁc [53–55].

In small studies of intensive care unit patients at risk for invasive candidiasis, treatment strategies based on serum BDG and T2Candida results were effective in shortening the time to appropriate treatment of invasive candidiasis, safely reducing unnecessary empiric antifungal use, and reducing costs [56–60]. In a multicenter study from Spain of patients treated for candidemia, positive T2Candida (but not BDG) within the ﬁrst 5 days after a positive *Candida* blood culture was an independent risk factor for either attributable mortality or development of deep-seated candidiasis [61]. In a companion multicenter study, positive T2Candida after institution of empiric therapy was an independent predictor of death or proven candidiasis, whereas BDG results were not predictive of mortality [62]. Clinical trials of culture-independent diagnostic test-guided therapeutic strategies are needed to validate impact on patient outcomes, antifungal utilization, and health care costs. The results of the CandiSep trial (NCT02734550, discussed below) will hopefully increase our understanding of these tests and their impact on antifungal prescribing.

Culture-independent diagnostic tests have the potential to be useful as inclusion criteria for candidiasis trials. It is apparent that culture alone detects only a subset of clinical disease. Culture positivity should be an important a priori subgroup in clinical trials, but limiting enrollment to culture-positive cases leads to delays in enrollment and artiﬁcial populations not reﬂective of current clinical practice. The CandiSep prospective randomized multicenter trial is evaluating whether the decision to prescribe antifungals guided by (1,3)-β-D-glucan in comparison with standard of care shortens time to antifungal therapy and reduces mortality. The results of this trial should inform inclusion criteria for future candidiasis trials. Most probably, culture positivity/negativity should be a standard a priori subgroup analysis in future clinical trials, not restriction for an inclusion criterion.

There is also a need to validate the clinical role of serial changes of surrogate markers such as BDG or PCR Ct value, or time to T2Candida negativity. With the exception of 1 BDG study, associations are lacking between faster reduction in surrogate markers and clinical survival [47]. Validation of surrogate marker kinetics would allow for smaller phase II trials for new agents or combination therapies. A starting point would be to use existing cohorts with stored specimens to study longitudinal changes in biomarkers.

**Summary and Recommendations for Surrogate Biomarkers in Candidiasis**

Cultures remain the mainstay of candidiasis diagnostics yet should not be the limiting inclusion criterion for invasive candidiasis trials. Documented clearance of candidemia is a marker of successful antifungal treatment. We recommend using diagnostic molecular assays on positive cultures to accurately and rapidly identify organisms to species level. Culture-independent diagnostic tests may be useful in selected clinical
Aspergillus spp. are ubiquitous in the environment and frequently inhaled into the airways [63]. Diagnosis of invasive aspergillosis requires an appropriate host and compatible clinical syndrome when cultures from the airway return positive to differentiate colonization from infection. Only culture of the organism from a sterile site or demonstration of the organism with hyphal invasion on histopathology is confirmatory of proven disease [64]. The Aspergillus cell wall contains polysaccharides, BDG, chitin, and other components that may be utilized for detection in patient samples. Galactomannan and BDG are the most well studied of these cell wall constituents.

Galactomannan Kinetics
The kinetic profile of serum galactomannan is complex and depends on multiple factors including production and secretion, the fungal burden, the presence and function of neutrophils, the patient's renal and hepatic function, and exposure to antifungal agents with activity against Aspergillus spp. Galactomannan presence in the circulation correlates with invasive growth of Aspergillus through the pulmonary capillaries, and angio-invasion has been correlated with fungal burden and galactomannan production [65, 66]. Together these findings help explain the variable performance of serum galactomannan in different patient populations (Table 4) [67]. For example, serum galactomannan is detectable in patients with hematological malignancies and allogeneic hematopoietic stem cell transplant recipients who have a higher burden of disease [68]. In contrast, the performance of serum galactomannan for the diagnosis of invasive aspergillosis (IA) in solid organ transplant patients, who typically have a lower burden of disease, is relatively poor [69, 70].

There has also been interest in determining if baseline serum galactomannan values correlate with patient outcome and whether the slope of serial galactomannan optical density index readings correlates with survival. Multiple studies have evaluated the role of baseline serum galactomannan as a predictor of treatment response and outcome of aspergillosis. In a recent review of these studies, Mercier et al. found a correlation between serum galactomannan level and survival at 42 and 180 days of treatment among patients with positive serum galactomannan (defined as cutoff >0.5 optical density index), while serum galactomannan ≥2.0 optical density index at baseline was associated with poor outcome [71]. In a recent randomized controlled trial comparing anidulafungin in combination with voriconazole vs voriconazole alone, baseline serum galactomannan was also an independent predictor of 6-week survival [72].

The role of measuring serial galactomannan in patients with IA has been evaluated in several studies [68, 73–76]. Despite the heterogeneity among these studies regarding outcome definition and galactomannan evaluation time points, the data suggest that a declining serum galactomannan value is a predictor of survival, whereas persistently elevated serum galactomannan correlates with death. One study reported that every 0.1-unit increase in galactomannan optical density index from baseline to week 2 corresponded to a 21% higher rate of an unsatisfactory clinical response [77].

Data on the effect of specific antifungal agents on serum galactomannan kinetics are conflicting and remain a subject of investigation. Some studies have shown a distinct kinetic profile depending on the antifungal agent, while others have shown no effect [78–80].

Galactomannan kinetics in bronchoalveolar lavage (BAL) fluid are poorly understood and should be interpreted with caution, as this test is plagued with caveats including sampling error, nonstandardized BAL fluid collection, and site of infection [81].

Based on the available data, current Infectious Diseases Society of America guidelines recommend the use of serial serum galactomannan only in patients with hematologic malignancies and hematopoietic stem cell transplant recipients who have an elevated galactomannan at baseline to monitor disease progression, therapeutic response, and prediction of patient outcomes with IA [82].

The development of lateral flow assays has aided in the diagnosis of aspergillosis, although their role in sequential sampling has not been defined thus far [83–85].

**Table 4. Summary of Galactomannan Performance Characteristics in Serum or Plasma Using a Cutoff ODI of 1.0**

| Population                          | Sensitivity, % | Specificity, % |
|-------------------------------------|----------------|----------------|
| Hematologic malignancy              | 58             | 95             |
| Hematopoietic stem cell transplantation | 65             | 65             |
| Solid organ transplant               | 41             | 85             |

Table modified from Scott et al. [148].
Abbreviation: ODI, optical density index.

(1→3)-β-D-Glucan (BDG)
The utility of BDG testing in the therapeutic monitoring of patients with IA has been poorly characterized. Pazos et al. first evaluated BDG compared with galactomannan testing in a retrospective cohort of 40 high-risk neutropenic patients (5 proven, 3 probable, and 3 possible) [86]. The sensitivity, specificity, and positive and negative predictive values of galactomannan and BDG testing were almost identical; however, BDG was positive earlier than galactomannan. In the evaluation of BDG testing as
Aspergillus, plasma concentrations showed a consistent increase before clinical and microbiologic evidence of disease but decreased and became negative following a response to antifungal treatment. In contrast, those nonresponsive to antifungal therapy showed a persistence of BDG positivity. Others have similarly observed a decrease in BDG values following a response to therapy [87].

Others have compared BDG and galactomannan directly in the prognosis of IA. In a study of 51 evaluable patients, there was an association between changes from baseline to week 12 when BDG and galactomannan were summed; however, the use of BDG alone was not statistically significant. Others have described similar findings, with most patients having BDG values through 6–12 weeks persistently >80 pg/mL, and with the trajectory not predictive of 6- or 12-week outcomes [88]. BDG kinetics are slow and may require >4–6 weeks after treatment initiation to appreciably change [75]. These limitations, and the intrapatient variability of BDG testing, prompt the authors to not recommend serial BDG [89].

PCR
Availability of Aspergillus PCR testing in the United States remains limited; PCR is performed in reference laboratories only (although Aspergillus PCR testing is commercially available in Europe) [90]. The reported performance characteristics of PCR vary given the different technologies, cycle thresholds for positivity, microbial targets, and study populations that have been assessed [91]. A meta-analysis evaluating 25 studies found a pooled sensitivity and specificity of 84% and 76%, respectively [92]. In patients with 2 positive PCR results, the sensitivity was found to be 64%, although specificity improved to 95% [93].

Few studies have evaluated sequential PCR testing for prognosis; however, quantitative PCR as copies/mL of serum at the time of diagnosis has been found to be predictive of 90-day mortality. The persistence of positivity or the development of a negative test was predictive of 30- or 90-day mortality. Those who became PCR negative between days 14 and 20 were all alive at day 30, while those with PCR persistence exhibited 80% mortality at day 30 [94]. Time to PCR negativity with weekly sampling as an end point may be a future area to investigate.

Aspergillus fumigatus quantitative PCR from BAL has been evaluated for the diagnosis and prognosis of both invasive and noninvasive aspergillosis. In a 4-year retrospective study assessing 613 at-risk patients, the fungal burden (copies/mL) at time of diagnosis was predictive of 90-day mortality with 23% mortality for patients with <500 copies/mL, vs 68% for patients with >500 copies/mL (P < .05) [95].

Summary and Recommendations for Surrogate Biomarkers in Aspergillosis
Galactomannan, BDG, and PCR are standard diagnostic tests used in clinical practice, and some PCR assays have the advantage of also detecting mutations conveying antifungal drug resistance. BDG has the least specificity and is insufficient as a diagnostic biomarker for enrollment of patients into clinical trials. For prognostic markers of response to antifungals, the change in blood galactomannan values over time is a promising serial surrogate marker. PCR negativity over time is also promising for use as a surrogate marker of patient outcomes.

OTHER MOLDS
Non-Aspergillus invasive mold infections caused by Mucorales, Fusarium, Scedosporium spp., and others are increasingly encountered in an expanding population of severely immunosuppressed patients, accounting for 10%–25% of invasive mold infections in autopsy studies [96], and are more common among those receiving antifungal prophylaxis [97]. These molds are characterized by resistance to multiple antifungals, high potential for tissue invasion, and early dissemination with poor outcomes [98]. Although host-related factors (activity of underlying disease, comorbidities) are important for mortality [99], early diagnosis can prevent the evolution of the infection to multifocal or disseminated infection.

As symptoms, signs, and radiologic findings of non-Aspergillus invasive mold infections lack sensitivity and specificity, diagnosis is often delayed, resulting in delayed treatment and poor outcomes. The importance of early effective therapy in these mold infections is poorly understood, with the exception of mucormycosis, for which early therapy with anti-Mucorales agents has been shown to improve survival [100]. Conventional diagnostic confirmation requires invasive procedures such as biopsy or BAL, which are often not feasible in ill patients with severe pancytopenia [101].

The performance of commercially available biomarkers for these pathogens is also problematic. In addition to Aspergillus species, galactomannan is produced by several non-Aspergillus molds [102], particularly other hyalohyphomycetes such as Fusarium spp. [103]. Galactomannan is negative in patients with mucormycosis. Most non-Aspergillus invasive mold infections in high-risk patients are breakthrough infections in those already receiving anti-Aspergillus antifungals [104]. Finally, while BDG cannot identify organisms to the species level, a positive BDG can suggest the presence of molds. More data are needed on longitudinally prognostic data in non-Aspergillus molds [105, 106].

The development of culture-independent biomarkers for the early diagnosis of these important organisms is critically important. The field of PCR-based diagnostics in serum and/or BAL that target Mucorales are the most developed. Several small studies show promising high sensitivity and specificity that could lead to earlier diagnosis, although detailed comparisons to standard microbiologic methods are lacking [107]. In a small study using targeted screening for the detection of circulating Mucorales DNA by real-time quantitative PCR in seriously ill
burn patients to identify invasive wound mucormycosis, a mortality benefit was suggested [108]. Other innovative approaches are needed and may involve serologic assessment, detection of volatile organic compounds or other metabolomics, and validation of antigen testing, immunohistochemistry, PCR, and metagenomic sequencing in selected cases of non-Aspergillus invasive mold infections.

The best example of diagnostic and prognostic use to date was in the Exserohilum rostratum meningitis outbreak, where PCR and BDG were utilized for CSF diagnosis and CSF BDG levels could be used to monitor response to antifungal therapy [106, 109]. More data are needed on response to therapy for other molds.

**Summary and Recommendations for Surrogate Biomarkers in Non-Aspergillus Molds**

Diagnostics for non-Aspergillus molds require further development, and specific biomarkers for these organisms would be helpful in disease management. Insufficient data exist on the utility of using any surrogate end points in clinical trials.

**BLASTOMYCOSIS**

End points for successful outcomes of treatment in blastomycosis classically have been clinical improvement together with resolution of skin lesions or radiologic findings. A standard assay for the diagnosis of probable blastomycosis is a Blastomyces antigen, which measures the cell wall polysaccharide (galactomannan) of Blastomyces dermatitidis (Mira Vista Laboratories, Indianapolis, IN, USA) in urine, serum, or body fluids (Table 5) [64, 110–112]. However, longitudinal antigen measurement to follow the course of infection has been infrequently reported [113–115]. Three children with blastomycosis had serial urine antigen tests performed during treatment [113]. Fall in antigen levels to undetectable occurred in 2 who were cured, but the third did not respond to antifungal therapy and antigen positivity persisted [114].

A more systematic approach to the use of Blastomyces antigen detection to follow the disease course included 19 patients with serial urine antigen tests performed [115]. Antigen levels declined, but time to a negative result varied greatly among 16 patients who were successfully treated. In 3 patients who failed therapy, urine antigen levels increased.

**Summary and Recommendations for Surrogate Biomarkers in Blastomycosis**

There are insufficient data to recommend biomarkers for the prognosis of blastomycosis or as surrogate end points in clinical trials. Longitudinal change in serum Blastomyces antigen requires further investigation. Surrogate markers for prognosis of the more severe disease manifestations of acute respiratory distress syndrome in blastomycosis are needed.

**COCCIDIOIDOMYCOSIS**

Past studies of coccidioidomycosis have used combined clinical score, radiologic results, and serologic results to determine a composite score for clinical severity [116]. Serologic testing may be performed using a number of methodologies (Figure 2). EIA appears to have the highest sensitivity, although it is prone to false positives and results should be confirmed with immunodiffusion testing [117, 118]. A newly developed lateral flow assay exhibited poor sensitivity (31%) [119]. Coccidioidal complement fixation (CF) testing for quantitative detection of immunoglobulin G has prognostic utility in both serum and CSF samples (Table 5) [120]. Serial serum samples correlate with patient symptoms and are useful for monitoring the course of therapy. It is imperative to recognize the differences in types of coccidioidal infection and the impact this plays in the kinetics of serology in determining a response to therapy, as serologic decline is slower in those with chronic pulmonary or disseminated coccidioidomycosis compared with those with primary pulmonary coccidioidomycosis [120, 121].

Serial serologic testing of CSF in cases of coccidioidal meningitis has shown a correlation with other CSF indices, including cell count, protein, and glucose; however, both serology and routine CSF parameters respond more slowly than clinical signs and symptoms of disease, and clinical decisions should be based on symptoms, CSF parameters, and serology results together [122].

A real-time PCR assay has been developed for detection of Coccioidoides in lower respiratory specimens [123]. Coccidioidal antigen testing is also commercially available and may be useful in highly immunocompromised patients who are serologically negative [124]. BDG has been found to have 44% sensitivity and 91% specificity in coccidioidomycosis [125]. Serial antigen and BDG testing of the serum, urine, or CSF are not routinely performed due to a lack of data supporting this approach.

**Summary and Recommendations for Surrogate Biomarkers in Coccidioidomycosis**

Serologic testing is useful for the diagnosis of coccidioidomycosis, and EIA results should be confirmed by immunodiffusion. Longitudinal assessment using CF serology is useful to monitor the response to antifungal therapy. However, insufficient data exist on their utility as surrogate end points for use in clinical trials.

**HISTOPLASMOSIS**

Historically, the first prognostic marker for histoplasmosis was duration of positive blood cultures in patients with HIV who were receiving antifungal therapy [126]. In studies performed during the early AIDS era, recurrence of disease was associated with positive or persistently positive blood cultures [127–130]. Blood cultures are infrequently positive in patients who are HIV-negative [131], and the time to positivity (average of >2
Table 5. Comparison of Diagnostic Tests Utilized in the Diagnosis of Endemic Mycoses

| Diagnostic Test                                      | Sensitivity, % | Specificity, % | Strengths                                                                 | Limitations                                                                 |
|------------------------------------------------------|----------------|----------------|---------------------------------------------------------------------------|----------------------------------------------------------------------------|
| **Histoplasma**                                       |                |                |                                                                           |                                                                            |
| Sputum/BAL culture [10, 11, 15, 16, 19, 20]          | 15–84          |                | Inadequate data but presumed ~100 in most studies based on reference standard definitions | More useful in SPH and CPH                                                   |
| Cytopathologic examination [8, 16, 17, 19, 20]       | 9–50           |                | Inadequate data available, generally considered fairly specific but presence of Histoplasma in tissue may indicate past rather than current infection; may also be misidentified | Rapid results (hours)                                                        |
| Serum antigen [13, 19, 21–23]                        | 30–87          | 98             | Fast results (days)                                                       | Cross-reacts with other fungi                                              |
| Urine antigen [8, 13, 15, 17, 19, 21, 24]           | 40–95          | 95–99          | Fast results (days)                                                       | Cross-reacts with other fungi                                              |
| Antibody [8–10, 13, 14]                              | 40–95          | 91             | Fast results (days)                                                       | Take 4–8 wk to develop antibodies                                           |
| **Blastomyces**                                       |                |                |                                                                           |                                                                            |
| Sputum/BAL culture [1, 25–28]                        | 66–90          |                | Inadequate data but presumed ~100 in most studies based on reference standard definitions | Gold standard for diagnosis                                                |
| Histologic or cytopathologic examination [26–30]    | 38–93          |                | Inadequate data, generally considered highly specific, but misidentification may occur; presence of Blastomyces in tissue typically indicates active infection | Rapid results (hours)                                                        |
| Potassium hydroxide smear [25, 27, 28]               | 48–90          |                | No data available, generally considered highly specific but false positives possible | Rapid results                                                              |
| Serum EIA antigen [1, 25, 29, 31, 32]               | 36–82          | 99 compared with nonfungal infections or healthy controls but 95.6% cross-reactivity with 90 cases of histoplasmosis | EDTA heat treatment improves sensitivity                                    | Cross-reacts with other fungi                                              |
| Urine EIA antigen [1, 27, 29, 31–33]                | 76–93          | 79–99          | Can be utilized to monitor response to treatment                          | Cross-reacts with other fungi                                              |
| Antibody testing via complement fixation [28, 34, 35]| 16–77          | 30–100         | Fast results (days)                                                       | Difficult to perform, variable performance                                  |
| Antibody testing via immunodiffusion [28, 29, 34, 36]| 32–80          | 100 in 1 study, possibility for cross-reaction remains | Fast results (days)                                                       | Can be negative in immunocompromised patients                               |
| Antibody testing via EIA (BAD-1) [33, 36]           | 88             | 94–99          | Low rate of cross-reactivity                                              | May be negative early in infection and in immunocompromised individuals    |
| **Coccidioides**                                      |                |                |                                                                           |                                                                            |
| Culture [37]                                         | 56–60          | 100            | Grows well on most media in 2–7 d, specificity                            | Biohazard to laboratory staff                                              |

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### Table 5. Continued

| Diagnostic Test                                             | Sensitivity, % | Specificity, % | Strengths                                      | Limitations                                                  |
|--------------------------------------------------------------|----------------|----------------|-----------------------------------------------|-------------------------------------------------------------|
| Histologic or cytopathologic examination [37, 38]            | 22–55          | 99.6           | Rapid results                                 | Requires invasive procedures                               |
| Serum antigen [39, 40]                                       | 28–73          | 90–100         | Most useful in immunocompromised and severe disease | Cross-reactivity with Histoplasma and Blastomyces           |
| Urine antigen [39, 41, 42]                                   | 50–71          | 90–98          | Most useful in immunocompromised and severe disease | Cross-reactivity with Histoplasma and Blastomyces           |
| Immunodiffusion antibody assays (IDTP and IDC) [42, 43]      | 60.2–71        | 98.8           | Quantitative titers correlated to disease severity and can monitor treatment response | Only available at reference labs                              |
| EIA antibody assay [40, 43–49]                               | 83–100         | 75–98.5        | Commercially available Faster results         | Needs confirmatory testing                                  |
| Skin testing (Spherusol) [50]                               | >98            | >98 for prior exposure | Negative test may mean Coccidioides infection less likely | Only indicates prior exposure, unclear role in active infection |
| Paracoccidioides                                             |                |                |                                               |                                                             |
| Culture [51]                                                 | 25–44          | 100            | Specificity                                   | Requires 2–4 wk to grow, infrequently used                  |
| Histologic or cytopathologic examination [52, 53]            | 55–97          | Presumed highly specific but inadequate data and misclassification possible | Gold standard test, results in hours–days | Requires invasive procedures |
| Double immunodiffusion antibody assay [52–56]                | 80–90          | >90, inadequate data | Most commonly utilized antibody test       | Cross-reactivity with other fungi Less useful for diagnosis of P. lutzii |
| ELISA antibody assay [52, 57] 58]                           | 95.7           | 85–100         | Simple to perform Fast results Antibodies can be detected at low concentrations | Cross-reacts with other fungi Requires confirmatory DID Ab Less useful for diagnosis of P. lutzii |
| Latex agglutination antibody testing [56]                    | 69.5–84.3      | 81.1           | Simple to perform                            | Poor reproducibility Limited availability Less useful for diagnosis of P. lutzii |
| Talaromyces                                                  |                |                |                                               |                                                             |
| Blood culture [59–62]                                       | 72.8–83        | 100            | Gold standard Highly specific May culture other sterile sites as well | Takes up to 4 wk to grow More likely to be positive in late stages of infection |
| Sputum culture [59, 60, 63]                                  | 11–34          | Inadequate data, presumed highly specific | Highly specific | Takes up to 4 wk to grow |
| Culture from other tissues [59–61]                          |                |                |                                               |                                                             |
| - Skin                                                       | 6–90           |                | Inadequate data, presumed highly specific | High specificity, for some tissues high sensitivity -Yield only accurate if the area is involved, slow growth -Painful variable sensitivity—invasive, variable sensitivity -Invasive, small numbers studied |
| - Bone marrow                                                | 17–100         |                | Inadequate data, presumed highly specific | Highly specific, for some tissues high sensitivity -Yield only accurate if the area is involved, slow growth -Painful variable sensitivity—invasive, variable sensitivity -Invasive, small numbers studied |
| - Lymph node                                                 | 34–100         |                | Inadequate data, presumed highly specific | Highly specific, for some tissues high sensitivity -Yield only accurate if the area is involved, slow growth -Painful variable sensitivity—invasive, variable sensitivity -Invasive, small numbers studied |
| - Cerebrospinal fluid                                        | 15             |                | Inadequate data, presumed highly specific | Highly specific, for some tissues high sensitivity -Yield only accurate if the area is involved, slow growth -Painful variable sensitivity—invasive, variable sensitivity -Invasive, small numbers studied |
| - Palatal/pharynx papule                                     | 10             |                | Inadequate data, presumed highly specific | Highly specific, for some tissues high sensitivity -Yield only accurate if the area is involved, slow growth -Painful variable sensitivity—invasive, variable sensitivity -Invasive, small numbers studied |
| - Liver                                                      | 5              |                | Inadequate data, presumed highly specific | Highly specific, for some tissues high sensitivity -Yield only accurate if the area is involved, slow growth -Painful variable sensitivity—invasive, variable sensitivity -Invasive, small numbers studied |
| - Pleural fluid                                              | 5              |                | Inadequate data, presumed highly specific | Highly specific, for some tissues high sensitivity -Yield only accurate if the area is involved, slow growth -Painful variable sensitivity—invasive, variable sensitivity -Invasive, small numbers studied |
| Cytology [63]                                                | 46             |                | Inadequate data, presumed highly specific, but misidentification may occur | Highly specific, for some tissues high sensitivity -Yield only accurate if the area is involved, slow growth -Painful variable sensitivity—invasive, variable sensitivity -Invasive, small numbers studied |
| Lateral flow immunochromatographic antigen assay (4D1) [64]  | 879            | 100            | Rapid results Easy to perform                 | Not commercially available Urine testing only |

"Table 5. Continued"
Noninvasive Testing and Surrogate Markers in Invasive Fungal Diseases

weeks) limits their applicability during the care of patients in clinical practice or during the conduct of clinical trials.

The most widely used surrogate marker for histoplasmosis diagnosis is the *Histoplasma* polysaccharide galactomannan antigen, which can be detected in urine or serum (Figure 3) [132–135]. *Histoplasma* antigen assays have been developed by MiraVista (Indianapolis, IN, USA) and IMMY (Norman, OK, USA), among others. Only 1 study has compared the MiraVista lateral flow assay and IMMY galactomannan enzyme immunoassay on 391 urine samples (n = 104 proven disseminated histoplasmosis) in persons with HIV; it reported similar sensitivity (90%–91%) and specificity (91%–92%) (Table 5) [136].

The *Histoplasma* antigen decreases during successful antifungal therapy [137, 138]. Antigenemia decreases more rapidly than antigenuria in successfully treated patients with HIV, although failure of antigen to decline during the first 2 weeks of therapy does not predict treatment failure [133]. Among those with clinical relapse, 86% had a significant increase in their longitudinal antigen levels [139].

Antibody testing is most useful for nonimmunosuppressed patients with chronic or subacute pulmonary histoplasmosis and may be negative in those with severe immunosuppression (Figure 4). Negative testing should be repeated in 1–2 months if suspicion is high. While antibodies do appear to decay following acute disease, there is no evidence that changes, or lack thereof, in antibody titers predict mortality, treatment success, or recurrence [137].

**Summary and Recommendations for Surrogate Biomarkers in Histoplasmosis**

Urine *Histoplasma* antigen is well studied in patients with HIV and is useful in diagnosis, patient management, and as a potential end point in clinical trials. In other populations, further studies should be performed to define the usefulness of longitudinal antigen testing to determine prognosis.

**PARACOCCIDIOIDOMYCOSIS**

Serologic testing is frequently used in the diagnosis of paracoccidioidomycosis and is highly specific (>95%) and sensitive (~80%) [140–142]. Numerous methodologies have been investigated, including in-house assays, although a commercial immunodiffusion test is now available. A previous study

| Diagnostic Test | Sensitivity, % | Specificity, % | Strengths | Limitations |
|----------------|---------------|----------------|-----------|------------|
| Antigen via EIA (Mp1p antigen) [62] | 86.3 | 98.1 | Rapid results | Not commercially available |
| Mab 4D1 inhibitory ELISA antigen assay [65] | 100 | 100 | Low cross-reactivity | Only tested on small sample size (n = 45), results need confirmation |

Adapted from Poplin et al. [159].

Abbreviations: Ab, antibody; APH, acute pulmonary histoplasmosis; CPH, subacute pulmonary histoplasmosis; DID, double immunodiffusion; EIA, enzyme immunoassay; ELISA, enzyme-linked immunosorbent assay; IDCF, immunodiffusion complement fixation; IDTP, immunodiffusion tube precipitin; SPH, subacute pulmonary histoplasmosis.

Figure 2. Serologic testing in coccidioidomycosis. Abbreviations: EIA, enzyme immunoassay; IgG, immunoglobulin G; IgM, immunoglobulin M; LFA, lateral flow assay.

Table 5. Continued
found longitudinal serologic assessment useful to monitor response to therapy [143]. This analysis of 43 patients with acute or chronic paracoccidioidomycosis found a decline in antibody levels using counter-immuno-electrophoresis, complement fixation, or enzyme-linked immunosorbent assay testing during a follow-up period of 2 years coincident with patient clinical improvement. Clearance of antibody occurred only in those with single-site disease over 12 months of follow-up. Patients with relapsed disease had an increase in serum titer levels, exhibiting the utility of serial monitoring. However, serology antibody titer in some cases may increase following initiation of antifungal therapy, thereby complicating using serology as a practical surrogate marker.

Antigen testing has also been examined as an adjunct to the diagnosis of paracoccidioidomycosis (Table 5) [142, 144, 145].

In a cohort of 23 patients followed for 8–12 months, antigen decreased during therapy with itraconazole and was undetectable in all patients after 12 months of treatment [144]. Unfortunately, this test is not commercially available.

**Summary and Recommendations for Surrogate Biomarkers in Paracoccidioidomycosis**

Serologic testing is useful for the diagnosis of paracoccidioidomycosis and is useful to monitor the response to antifungal therapy. However, insufficient data exist on serology as a surrogate end point for use in clinical trials.

**SPOROTRICHOSIS**

Serologic testing is infrequently used in the diagnosis of sporotrichosis despite the availability of a commercial assay. A latex agglutination assay was previously evaluated to assist with the diagnosis of meningeal sporotrichosis [146]; however, this assay is no longer available, and serologic testing is generally not helpful in other forms of sporotrichosis. Newer assays have been studied and exhibit reasonable sensitivity and specificity but are limited by availability [146–148]. The utility of serology to assess a response to antifungal therapy variably correlates with clinical response, and this test is not routinely recommended or commercially available [149, 150].

**Summary and Recommendations for Surrogate Biomarkers in Sporotrichosis**

Several assays are in development that may offer promise in the diagnosis of sporotrichosis, and they may be useful biomarkers during future clinical trials following validation. Currently, there are no biomarkers that can be used as a surrogate end point in clinical trials.

**TALAROMYCOSIS**

The current culture-based diagnosis for talaromycosis is suboptimal due to prolonged incubation (3–14 days) and low yield during the early stage of infection (Table 5) [151]. Several real-time PCR assays performed in blood have shown promising diagnostic performance in small clinical studies [152, 153]. An antigen detection EIA targeting the *Talaromyces marneffei*–specific cell wall mannoprotein Mp1p is highly sensitive (86%) and specific (98%) in comparison with blood cultures (73% and 86%, respectively) [151, 154, 155]. A commercial Mp1p EIA was approved in China in 2019, and an Mp1p LFA is being developed by IMMY (Norman, OK, USA).

Assessment of therapeutic response in talaromycosis has historically been based on a composite clinical and microbiological end point of resolution of fever, skin lesions, and/or fungemia [156, 157]. Mp1p concentrations have been
shown to decline to undetectable levels over 12 to 16 weeks on antifungal therapy (Thuy Le, unpublished), and the role of Mp1p in treatment monitoring and prognosis is a subject of active research.

A new and simple fungal culture technique to serially quantify *T. marneffei* CFUs in blood was recently developed to measure the quantitative early fungicidal activity (EFA) [158]. The EFA quantified the reduction of blood CFUs/mL/day during the first 14 days. In a multicenter trial of itraconazole vs amphotericin B for talaromycosis, EFA decline was 4-fold faster in the amphotericin B group compared with the itraconazole group, corresponding to an absolute reduction in 24-week mortality from 21.0% to 11.3% (*P* = .006). Baseline fungal CFUs were an independent predictor of 24-week mortality [158]. These data indicate that EFA in blood is a strong surrogate marker of mortality and is a useful end point to evaluate therapeutic response.

Summary and Recommendations for Surrogate Biomarkers in Talaromycosis

Culture remains the mainstay of diagnosis for talaromycosis. Antigen testing may be useful in future clinical trials following validation, but currently cannot be recommended as a surrogate end point in clinical trials. The longitudinal change in quantitative blood CFUs has been found useful to quantify the EFA in a recent clinical trial and should be a standard metric in future clinical trials.

FUTURE DIRECTIONS

There have been significant advances utilizing surrogate markers of fungal infections to predict patient outcomes. These tests have been a welcome advance; however, numerous challenges remain. The development of affordable, readily available, and rapid in-house diagnostic tests is critically important. Cryptococcosis and talaromycosis are the only fungal infections with established surrogate end points, and further investigation of surrogate markers for other invasive fungal infections is urgently needed to aid in both diagnosis and prognosis. The burden of invasive candidiasis and aspergillosis mandates urgent attention to these diseases. Finally, a concerted effort to evaluate promising diagnostic tests as surrogate markers to measure response to therapy through the conduct of testing well-documented clinical samples is essential to move this field forward and to optimize patient care.

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