SO(5) Symmetry in Graphene’s Fractional Quantum Hall Effect

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Electrons in graphene have four flavors associated with low-energy spin and valley degrees of freedom. The fractional quantum Hall effect in graphene is dominated by long-range Coulomb interactions which are invariant under rotations in spin-valley space. This SU(4) symmetry is spontaneously broken at most filling factors, and also weakly broken by atomic scale valley-dependent and valley-exchange interactions with coupling constants \(g_x\) and \(g_\perp\). In this article we demonstrate that when \(g_x = -g_\perp\) a SO(5) symmetry survives which unifies the Néel spin order parameter of the antiferromagnetic state and the XY valley order parameter of the Kekulé distortion state into a single five-component order parameter. To illustrate some of the physics associated with this approximate SO(5) symmetry, we present results for the multiplet structure and collective dynamics of filling factor \(\nu = 0\) quantum Hall states based on exact-diagonalization and low-energy effective theory approaches.

**Introduction:** Electron-electron interactions in the fractional quantum Hall effect (FQHE) regime give rise to a host of non-perturbative and unexpected phenomena, including importantly the emergence of quasiparticles with fractional charge and statistics. In this article we suggest that neutral graphene in the FQHE regime could also provide a relatively simple example of the complex many-particle physics that occurs in systems with simultaneous quantum fluctuations of competing order parameters. Because each of its Landau levels has a four-fold spin/valley flavor degeneracy in the absence of Zeeman coupling, large gaps and associated quantum Hall effects are produced by single-particle physics only at filling factors \(\nu\), established by experiments which demonstrate that phase transitions between distinct many-electron states with the degenerate Goldstone modes. The rich flavor physics of graphene in the quantum Hall regime has already been established by experiments which demonstrate that phase transitions between distinct many-electron states with the same filling factor \(\nu\) can be driven by tuning magnetic field strength or tilt-angle [8,12].

In graphene the competition between states with Kekulé, Néel, charge-density wave, and other types of order is controlled by Zeeman coupling to the electron-spin, and also by weak atomic-range valley-dependent [13] interactions. A variety of approaches have been used to estimate these short-range corrections to the Coulomb interaction [14–20]. In this paper, we adopt a two-parameter phenomenological model motivated by crystal momentum conservation and by the expectation that corrections to the Coulomb interaction are significant only at distances shorter than a magnetic length \(l_B = \sqrt{\hbar c/eB_\perp}\) \((B_\perp\) is the magnetic field component perpendicular to the graphene plane.) We demonstrate that along a line in this parameter space SU(4) symmetry is reduced only to an SO(5) subgroup, and argue that physical parameter values likely lie close to this line. Using this symmetry we explicitly derive a unified theory that is able to account simultaneously for Néel antiferromagnetism and Kekulé lattice-distortion order and demonstrate that along the SO(5) line the four collective modes at \(\nu = 0\) remain gapless in spite of the reduced symmetry. The SO(5) symmetry that we have identified in graphene’s FQHE regime is analogous to the approximate symmetry proposed in some models of high-\(T_c\) superconductivity [21]. In the following, we start with a systematic analysis of Hamiltonian symmetries and then use both exact-diagonalization and low-energy effective models to identify some symmetry-related properties of \(\nu = 0\) quantum Hall states.

**Hamiltonian symmetries:** When projected to the \(N = 0\) Landau level (LL) the graphene Hamiltonian is

\[
H = H_C + H_v + H_Z,
\]

\[
H_C = \frac{1}{2} \sum_{i\neq j} \frac{e^2}{|\vec{r}_i - \vec{r}_j|},
\]

\[
H_v = \frac{1}{2} \sum_{i\neq j} \left( g_x \tau_i^x \tau_j^x + g_\perp (\tau_i^x \tau_j^y + \tau_i^y \tau_j^x) \right) \delta(\vec{r}_i - \vec{r}_j),
\]

\[
H_Z = -\epsilon_z \sum_i \sigma_z^i.
\]
In equation (1) $H_C$ is the valley-independent Coulomb interaction, $\epsilon$ is an environment-dependent effective dielectric constant, $H_v$ is the short-range valley-dependent interaction, $\tau_\alpha (\alpha=x,y,z)$ are Pauli matrices which act in valley space, $H_Z$ is the Zeeman energy, $\epsilon_B = \mu_B B$ where $\mu_B$ is the Bohr magneton and $B$ is the total magnetic field strength, and $\sigma_\alpha$ are Pauli matrices which act in spin space. Note that $B$ can have components both perpendicular and parallel to the graphene plane and that we have chosen the $\hat{z}$ direction in spin-space to be aligned with $B$. The form used for $H_v$ in equation (1) was proposed by Kharitonov [20, 22].

The short-range interaction coupling constants $g_{z,\perp}/l_B^2$ are estimated to be $\sim a_0/l_B$ times the Coulomb energy scale $\epsilon^2/\epsilon B$, where $a_0 \sim 0.01 l_B$ is the lattice constant of graphene. They are therefore weak and physically relevant mainly when they lift low-energy Coulomb-only model degeneracies. For later notational convenience we define the energy scales $u_{z,\perp} = g_{z,\perp}/(2\pi l_B^2)$. The Coulomb interaction $H_C$ in equation (1) commutes with the fifteen SU(4) transformation generators which can be chosen as follows:

$$
\begin{align*}
S_\alpha &= \frac{1}{2} \sum_i \sigma^i_\alpha, \\
T_\alpha &= \frac{1}{2} \sum_i \tau^i_\alpha, \\
N_\alpha &= \frac{1}{2} \sum_i \tau^i_\alpha \sigma^i_\alpha, \\
\Pi^\beta_\alpha &= \frac{1}{2} \sum_i \tau^i_\alpha \sigma^i_\beta,
\end{align*}
$$

where the indices $\alpha = x,y,z$ and $\beta = x,y$. $S_\alpha$ and $T_\alpha$ are respectively the total spin and valley pseudospin. Due to the equivalence between valley and sublattice degrees of freedom in the $N = 0$ LL of graphene, $N_\alpha$ can be identified as a Néel vector. The physical meaning of the six $\Pi^\beta_\alpha$ operators is discussed below.

SU(4) symmetry is broken by the valley-dependent short range interactions. At a generic point in the $(g_z, g_{\perp})$ plane, $H_v$ breaks the SU(4) symmetry down to SU(2)$_x$×U(1)$_y$ with the U(1)$_y$ symmetry corresponding to conservation of the valley polarization $T_z$ and the SU(2)$_x$ symmetry corresponding to global spin-rotational invariance. Two high-symmetry lines in the $(g_z, g_{\perp})$ parameter space are evident: (1) for $g_{\perp} = 0$ the system is invariant under separate spin-rotations in each valley yielding symmetry group SU(2)$_0^x$×SU(2)$_0^y$×U(1)$_z$ and (2) for $g_{\perp} = g_z$ there is a full rotational symmetry in valley space yielding symmetry group SU(2)$_0^x$×SU(2)$_0^y$. We have discovered that there is even higher symmetry along the $g_{\perp} = -g_z$ line where the generic SU(2)$_0^x$×U(1)$_y$ symmetry is enlarged to SO(5) : see Sec. I in the Supplementary Information (SI) for an explicit proof. Along this line the Hamiltonian commutes with ten ($\vec{S}$, $T_x$, and the six $\Pi$ operators) of the fifteen SU(4) generators identified in equation (2). The other five ($T_{x,y}, N_{x,y,z}$) SU(4) generators form a a natural order-parameter vector space on which the SO(5) group acts. As illustrated schematically in Fig. [1] spin operators $\vec{S}$ generate rotations in the Néel vector space $\vec{N}$. $T_z$ generates rotations in the valley XY vector space $T_{x,y}$, and the $\Pi$ operators generate rotations that connect these two spaces. When the Zeeman term is added to the Hamiltonian the spin-symmetry is limited to invariance under rotations about the direction of the magnetic field. The symmetry groups of $H_C + H_v$ and $H$ and the corresponding generators are listed in Table [I]

![Diagram](image)

**FIG. 1:** Five-dimensional space. Schematic illustration of the five component $(T_{x,y}, N_{x,y,z})$ order parameter space, and of rotations in this vector space produced by the SO(5) generators.

As we will demonstrate, the SO(5) symmetry is spontaneously broken when it is exact. Provided that the Zeeman and short-range interaction terms which explicitly break SO(5) symmetry is not too strong, the $(T_{x,y}, N_{x,y,z})$ vectors can be used to construct a useful Ginzburg-Landau model or quantum effective-field theory. The Néel vector components of the order parameter characterize the antiferromagnetic (AF) part of the order, while the valley XY components capture the Kekulé distortion (KD) [18, 20] part of the order. The SO(5) symmetry we have identified demonstrates that states which appear quite different at a first glance are close in energy and that they can be continu-
TABLE I: Expanded symmetries along high-symmetry lines in the \((g_z, g_{\perp})\) plane. At a generic point in the \((g_z, g_{\perp})\) plane \(H_C + H_v\) has \(SU(2)_s \times SU(1)_v\) symmetry and \(H = H_C + H_v + H_Z\) has \(U(1)_s \times U(1)_v\) symmetry.

| \(g_{\perp}\) | Symmetry of \(H_C + H_v\) | generators | Symmetry of \(H\) | generators |
|---|---|---|---|---|
| \(g_{\perp} = 0\) | \(SU(2)_s \times SU(2)_v\) | \(S_{\alpha}, T_{\alpha}\) | \(U(1)_s \times SU(2)_v\) | \(S_{\alpha}, T_{\alpha}\) |
| \(g_{\perp} = g_z\) | \(SU(2)_s \times SU(1)_v\) | \(S_{\alpha}, T_{\alpha}\) | \(U(1)_s \times SU(2)_v\) | \(S_{\alpha}, T_{\alpha}\) |
| \(g_{\perp} + g_z = 0\) | \(SO(5)\) | \(S_{\alpha}, T_{\alpha}, \Pi_{\alpha}^z\) | \(U(1)_s \times SU(2)_v\) | \(S_{\alpha}, T_{\alpha}, \Pi_{\alpha}^z\) |

**Exact diagonalization:** We have performed exact diagonalization (ED) studies for the Hamiltonian specified in equation (1) acting in a \(\nu = 0\) torus-geometry Hilbert space with up to \(N_\phi = 8\) orbitals per flavor. When only Coulomb interactions are included, we verify that the ground state is a single Slater determinant with two occupied and two empty flavors [7]. The \(SU(4)\) multiplet structure of this broken-symmetry state is discussed in Sec. II of the SI. We specify the ratio of \(g_z\) to \(g_{\perp}\) by the angle \(\theta_g = \tan^{-1}(g_z/g_{\perp})\) and fix the valley-dependent interaction strength \(g g_\alpha^2 / g_\alpha^2 = \sqrt{g_z^2 + g_{\perp}^2} / g_\alpha^2\) at 0.01e^2/(\epsilon d_B). Because \(g N_\phi / g_\alpha^2\) is small compared to the Coulomb model charge-neutral energy gap that separates the ground state multiplet from the first excited multiplet at zero momentum, the role of the valley-dependent interactions is simply to lift the Coulomb model degeneracy and split the corresponding \(SU(4)\) ground state multiplet. Over the angle ranges \(\theta_g \in [-\pi/4, \pi/2]\) and \(\theta_g \in [5\pi/4, 7\pi/4]\) the exact ground states of \(H_C + H_v\) are single-Slater determinants, with ferromagnetic (F) and charge-density-wave (CDW) order respectively. For other values of \(\theta_g\) valley-dependent interactions are non-trivial.

**Fig. 2:** Low-energy spectrum on the torus geometry for zero total momentum, filling factor \(\nu = 0\), and orbital Landau level degeneracy \(N_\phi = 8\) as a function of \(\theta_g\) in the range \([\pi/2, 5\pi/4]\). \(E_v\) is defined as the difference between the eigenvalues of \(H_C + H_v\) and the Coulomb-only ground state energy. All plotted eigenvalues are degenerate in the absence of \(H_v\). (a) Ground state energies in a series of \((T_z, S)\) sectors. The solid lines show the lowest \(T_z = 0\) energies for different total spin \(S\) values. Similarly, the dashed lines show the lowest spin singlet \((S = 0)\) energies in different \(T_z\) sectors. The ground state has \(S = 0\) and \(T_z = 0\) throughout the plotted \(\theta_g\) range. The inset shows the mean-field phase diagram over the full \(\theta_g\) range from Ref. [20]. (b) Low-energy states in the \(T_z = 0\) sector for a series of total spin \(S\) quantum numbers. Note that at \(\theta_g = 3\pi/4\) states with different \(S\) values are degenerate because of the hidden \(SO(5)\) symmetry.

Fig. 2 illustrates the \(\theta_g\)-dependence of the Hamiltonian spectrum for \(N_e = 16\) electrons in \(N = 0\) Landau levels with \(N_\phi = 8\) over the \(\theta_g \in [\pi/2, 5\pi/4]\) interval. Fig. 2(a) plots ground state energies in various \((S_z, T_z)\) sectors and demonstrates that the overall ground state has total valley polarization \(T_z = 0\) and total spin \(S = 0\) at all \(\theta_g\) values in this range. Note that the dependence of energy on \(T_z\) is suppressed as the CDW state is approached \((\theta_g \to 5\pi/4)\) and that the dependence of energy on \(S\) is suppressed as the F state is approached \((\theta_g \to \pi/2)\). Fig. 2(b) illustrates how the \(T_z = 0\) sector of the \(SU(4)\) Coulomb ground-state multiplet is split by \(H_v\). Since \(H_C\) preserves \(SU(2)_s\) spin symmetry, all energies in Fig. 2(a,b) occur in \(SU(2)_s\) multiplets. At \(\theta_g = 3\pi/4\) eigenvalues with different values of \(S\) merge to form \(SO(5)\) multiplets, each forming an irreducible representation of the \(SO(5)\) group. (A geometric representation of the \(SO(5)\) multiplet structure is provided in Fig. S1 of the SI.) All eigenstates have a definite value of the \(SO(5)\) Casimir operator [26] \(T^2 = S^2 + T_z^2 + \Pi^2 = l(l+3)\), with integer \(l = 0, 1...N_\phi\). The low-energy spectrum
at \( \theta_g = 3\pi/4 \) is accurately fit by the following equation:

\[
H^\text{eff}_y(\theta_g = 3\pi/4) = u_z(2\Gamma^2 \frac{N_0(N_0 + 5)}{N_0 + 1} - \frac{N_0}{N_0 + 1}),
\]

implying that the ground state, \(|G(3\pi/4)\rangle\), is an SO(5) singlet with \( \Gamma^2 = 0 \). It follows that the 5D order parameter vector \((T_{x,y}, N_{x,y,z})\) is maximally polarized:

\[
\langle T_x^2 + T_y^2 + N^2 \rangle_{3\pi/4} = (C_4 - \Gamma^2)_{3\pi/4} = (C_4)_{3\pi/4} \approx C_4^*.
\]

where \( \langle \cdots \rangle_{3\pi/4} \) denotes expectation values in the ground state \(|G(3\pi/4)\rangle\) and \( C_4^* = N_0(N_0 + 4) \) is the value of the SU(4) Casimir operator \( C_4 \) in the Coulomb model SU(4) multiplet. The approximation leading to \( C_4^* \) in equation (4) is validated by numerical calculation, and also follows from the argument that \(|G(3\pi/4)\rangle\) is adiabatically connected to a state in the SU(4) multiplet. Because \(|G(3\pi/4)\rangle\) does not break SO(5) symmetry, \( \langle N_0^2 \rangle_{3\pi/4} = (T_4^2)_{3\pi/4} \approx C_4^*/5 \) with \( \alpha = x, y, z \) and \( \beta = x, y, z \).

Equation (3) predicts that in the thermodynamic limit \( N_0 \to \infty \), small \( l \) multiplets will approach degeneracy. By making an analogy with the quantum rotor model, we can see that this property signals spontaneous SO(5) symmetry breaking. The energy in equation (3) can be interpreted as the kinetic energy of a generalized rotor model in the 5D \((T_{x,y}, N_{x,y,z})\) space with the SO(5) generators playing the role of angular momenta. In the thermodynamic limit \( N_0 \to \infty \), the moments of inertia of the rotors diverge and it can be stuck in a spontaneously chosen direction, resulting in symmetry breaking. The absence of ground state level crossings along the \( \theta_g = 3\pi/4 \) antiferromagnetic to Kekulé state transition line in Fig. 2 indicates that the crossover between these states is smooth in finite size systems. However, the level crossings between the low-lying excited states in Fig. 2 signals a first order phase transition in the thermodynamic limit.

**Low-energy effective theory and Collective Modes:** Following Refs. [20] [27] [28], we can derive a low-energy effective field theory for \( \nu = 0 \) quantum Hall states by constructing the Lagrangian,

\[
L = \langle \psi | i\partial_t - H | \psi \rangle = \int \frac{d^2 \mathbf{r}}{2\pi l_B^2} \left[ B - \mathcal{H} \right],
\]

where \(|\psi\rangle\) is a Slater-determinant state in which two orthogonal occupied spinors \( \chi_1, \chi_2 \) are allowed to vary slowly in space and time. The Lagrangian density \( \mathcal{L} = B - \mathcal{H} \) has kinetic Berry phase \( B = i(\chi_1 \partial_t \chi_1 + \chi_2 \partial_t \chi_2) \) and energy density \( \mathcal{H} \) contributions. As detailed in Sec. III of the SI we find that:

\[
\mathcal{H} = -u_\perp - 2\varepsilon_2 s_z + (u_z + u_\perp)(t_z^2 - \sum_{\alpha=x,y,z} s_\alpha^2) + 2u_\perp \sum_{\beta=x,y,z} t_\beta^2 + (u_\perp - u_z) \sum_{\alpha=x,y,z} n_\alpha^2 + l_B^2 \left[ \rho_z(\nabla t_z)^2 + \rho_\perp \sum_{\beta=x,y,z} (\nabla t_\beta)^2 + \rho_s (\nabla s_z)^2 + \rho_n (\nabla n_\alpha)^2 + \rho_x ((\nabla s_\alpha)^2 + (\nabla n_\alpha)^2) \right].
\]

The stiffness coefficients \( \rho_z = \rho_0 - (3u_\perp + 2u_z)/4, \rho_\perp = \rho_0 - (u_z + u_\perp)/4, \rho_s = \rho_0 + (u_z + 2u_\perp)/4, \rho_n = \rho_0 + (u_\perp - 2u_z)/4 \) and \( \rho_x = \rho_0 - u_\perp/4 \) are dominated by the common Coulomb contribution \( \rho_0 = \sqrt{2\pi e^2/(16\epsilon l_B)} \). It is easy to check that the energy density function \( \mathcal{H} \) has the same symmetries as the Hamiltonian \( H \). The mean-field theory ground state is determined by assuming that all fields are static and spatially uniform. The energy competitions behind the mean-field phase diagram previously derived by Kharitonov [23] are transparent when equation (6) is combined with the normalization constraint \( \sum_{\alpha}(t_\alpha^2 + n_\alpha^2 + s_\alpha^2 + (\pi_\alpha^x)^2 + (\pi_\alpha^y)^2) = 1 \) (see SI). In the absence of a Zeeman field the four mean field phases are the F state \((\sum s_\alpha = 1)\), the AF state \((\sum n_\alpha = 1)\), the KD state \((t_\alpha^2 + t_\beta^2 = 1)\), and the CDW state \((t_z^2 = 1)\). The phase boundaries between these states, shown in the inset of Fig. 2(a), lie along the high symmetry lines identified in Table I.

We now concentrate on physics near \( u_z + u_\perp = 0 \) where a first order phase transition occurs between KD and AF states and the system exhibits SO(5) symmetry. The \( u_z + u_\perp = 0 \) line in graphene is analogous to the \( J_{xy} = J_z \) line in an XXZ spin model, along which a phase transition occurs between Ising and XY ground states and the system exhibits expanded O(3) symmetry. One physical manifestation of SO(5) symmetry along the transition line is the response to an external Zeeman field, which induces a finite \( z \) direction spin polarization \( s_z \). It follows from orthogonality constraints on the fields discussed in the SI that when among the ten SO(5) generators only \( s_z \) has a finite expectation value, \( t_z, s_y \) and \( n_z \) must vanish. A finite Zeeman energy therefore favors the AF state over the KD state because the AF state can distort to a canted AF with a finite \( s_z \) and a Néel vector lying in the \( xy \) plane. A sufficiently strong Zeeman field eventually favors the F state. Because experiments detect what appears to be a continuous phase transition as a function of Zeeman coupling strength [10], they suggest that the ground state in the
absence of Zeeman coupling lies in the AF region of the phase diagram. Close to the $u_z + u_\perp = 0$ line, the system retains crucial SO(5) properties in the presence of a small Zeeman term.

Approximate SO(5) symmetry is revealed in the collective mode spectra of both KD and AF states. The KD phase spontaneously breaks the valley U(1) symmetry. Chosing the ground state to have valley polarization $t_x$ with a spontaneous non-zero value, we see that infinitesimal SU(4) rotations \[29\] give rise to infinitesimal values of the valley pseudospin fields. By evaluating the Berry phase we find that for small fluctuations the valley pseudospin fields $t_y$ and $t_z$ are canonically conjugate, and that the Néel vector field $n_\alpha$ is conjugate to $\pi^\alpha_y$. The valley pseudospin and Néel vector collective modes therefore decouple. The valley collective mode is gapless because of the Kekulé state’s broken U(1) symmetry and has dispersion:

$$\omega_1(\text{KD}) = 2k \sqrt{\rho_\perp (u_z - u_\perp + \rho_z k^2)}, \quad (7)$$

where $k$ is wave vector and lengths are in units of $l_B$. The three additional collective modes are kinetically coupled Néel-$\pi$ modes and have energy:

$$\omega_{2,3,4}(\text{KD}) = 2 \sqrt{[|u_z + u_\perp| + \rho_n k^2][2|u_\perp| + \rho_z k^2]}.$$  \quad (8)

Note that these modes become gapless as the SO(5) symmetry line is approached and the energy cost of Néel fluctuations away from the KD state vanishes, and that the Zeeman field does not influence collective mode energies in the KD phase because $s_z$ is not a dynamical field. Similarly the AF state spontaneously breaks the spin SU(2)$_\alpha$ symmetry. When the Néel vector is chosen to lie along the $x$-axis, the dynamical fields generated by infinitesimal SU(4) rotations are \{s$_{y,z}$, n$_{y,z}$, t$_{x,y,z}$, $\pi_\alpha^y$\}. Evaluating the Berry phase we find that $s_y$ is conjugate to $n_z$ and $s_z$ to $n_y$, as in a standard antiferromagnet. The spin-collective modes are:

$$\omega_{1,2}(\text{AF}) = 2k \sqrt{\rho_n (2|u_\perp| + \rho_z k^2)}. \quad (9)$$

In the AF state ($t_x, \pi^y_x$) and ($t_y, \pi^x_y$) fluctuations form kinetically coupled conjugate pairs and give rise to the sublattice/$\pi$ collective mode energies:

$$\omega_{3,4}(\text{AF}) = 2 \sqrt{[|u_z + u_\perp| + \rho_n k^2](u_z - u_\perp + \rho_z k^2)}. \quad (10)$$

Note that all four collective modes are gapless and degenerate along the $u_z + u_\perp = 0$ line. The degeneracy arises from the SO(5) symmetry. Sec. IV in the SI describes how the collective modes in equations [9] and [10] are modified by the Zeeman field.

Competing Order: In ordered systems a Landau-Ginzburg or quantum effective model which includes a single-order parameter, for example a complex pair-amplitude order parameter for a superconductor or a magnetization direction order parameter for a magnetic system, is often able to describe thermodynamic, fluctuation, and response properties over wide ranges of temperature and experimentally tunable system parameters. These theories can be powerfully predictive even when their parameters cannot be reliably calculated from the underlying microscopic physics. The naive effective-field-theory approach sometimes fails however. A notable example is the case of high-temperature superconductors in which experiments indicate that charge-density, spin-density, and pair-amplitude order parameters

As summarized in Table [1] there is a close analogy between SO(5) symmetry in the fractional quantum Hall effect of graphene and SO(5) symmetry in some theories of high-$T_c$ superconductivity (HTS) [21]. The SO(5) theory of HTS theory unifies antiferromagnetism and $d$-wave superconductivity (dSC). The analog of $d$-wave superconductivity in the graphene quantum Hall case is Kekulé distortion order. The order parameters of both theories involve a sublattice degree of freedom, the honeycomb sublattice degree-of-freedom in the case of graphene and the sublattice degree of freedom of the magnetically ordered state in HTS SO(5) theory case. The graphene analog of the chemical potential $\mu$ term which tunes transitions between antiferromagnetic and $d$-wave superconducting states in the HTS case, is a sublattice-staggered potential $\epsilon_s$. Interestingly this field is easily tunable experimentally [32][34] in the bilayer graphene case.
TABLE II: Comparison between the Kekulé-distortion state in graphene and the \( d \)-wave state in high temperature superconductors.

| Parameter       | Kekulé-distortion state | \( d \)-wave state |
|-----------------|-------------------------|-------------------|
| Order Parameter | \((T_x, T_y)\)           | \((\Delta_x, \Delta_y)\) |
| U(1) generator  | \(T_z\)                 | Charge Q          |
| External Potential | Staggered potential \(\epsilon_v\) | Chemical potential \(\mu\) |

The approximate SO(5) symmetry in graphene is manifested by multiplet structure in exact diagonalization spectra, and by the appearance of soft collective modes beyond those associated separate Kekulé or antiferromagnetic order. In particular, the antiferromagnetic state of graphene has \(\pi\)-operator fluctuation collective modes. The observation of the analogous collective modes in the antiferromagnetic state of high temperature superconductors would provide powerful evidence for the applicability of an effective theory which unifies antiferromagnetism and superconductivity only. On the other hand their absence would likely indicate that an effective theory of this type is not adequate over a useful range of the tunable doping-level parameter of HTSs. Similarly a recently proposed alternate \(N=6\) parameter theory\[30\] which unifies charge-density-wave and \(d\)-wave superconducting order, also has implications for collective mode structure which, if verified, would provide powerful validation.

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Supplementary Information

I. PROOF OF SO(5) SYMMETRY FOR $g_z + g_\perp = 0$

Let us first briefly review how SO(5) arises naturally as a subgroup of SU(4). The fifteen generators of SU(4) can be chosen to be the Pauli matrices in spin and valley space and their direct products: $\{\sigma_\alpha, \tau_\beta, \sigma_\alpha \tau_\beta\}$. The Clifford algebra, $\{\gamma_\mu, \gamma_\nu\} = 2\delta_{\mu\nu}$, is realized by a subset of these generators, namely the 4x4 $\gamma$ matrices, which can be chosen as:

$$\gamma_1 = \tau_x, \gamma_2 = \tau_z \sigma_x, \gamma_3 = \tau_z \sigma_y, \gamma_4 = \tau_z \sigma_z, \gamma_5 = \tau_y. \quad (1)$$

SO(5) can be shown to be generated by the commutators of these $\gamma$ matrices: $[\gamma_\mu, \gamma_\nu]$. More specifically, we have the following ten generators of SO(5):

$$\gamma_{ab} = -\frac{i}{2} [\gamma_a, \gamma_b] \quad (2)$$

which can be thought of as a $5 \times 5$ antisymmetric tensor:

$$\gamma_{ab} = \begin{pmatrix} 0 & \tau_y & 0 & \tau_y & 0 \\ -\tau_y & 0 & -\sigma_z & \sigma_z & 0 \\ \tau_z & \sigma_y & 0 & -\sigma_x & 0 \\ -\tau_z & \tau_y & \tau_x & 0 & 0 \end{pmatrix}. \quad (3)$$

These matrices satisfy the following commutation relations:

$$[\gamma_{ab}, \gamma_{cd}] = 2i(\delta_{ac}\gamma_{bd} + \delta_{bd}\gamma_{ac} - \delta_{ad}\gamma_{bc} - \delta_{bc}\gamma_{ad}), \quad (4)$$

$$[\gamma_{ab}, \gamma_c] = 2i(\delta_{ac}\gamma_b - \delta_{bc}\gamma_a). \quad (5)$$

Equation (4) shows that the ten independent $\gamma_{ab}$ matrices obey a set of closed commutation relations, which is the SO(5) Lie algebra. Additionally according to equations (4) and (5), when the group is viewed as acting on $\gamma_a$ and $\gamma_a$ by matrix conjugation, we have respectively a tensor and a vector representation of SO(5).

We will now demonstrate explicitly that SO(5) is an exact symmetry of the Hamiltonian in the absence of Zeeman coupling for $g_z + g_\perp = 0$. From among the fifteen generators of SU(4) identified in the main text, the spin operator $S_\alpha$, the valley polarization operator $T_c$ and the $\Pi^\alpha_{\lambda'}$ operators are the ten generators of the SO(5) group. $S_\alpha$ and $T_c$ automatically commute with $H_v$ for any values of $g_z$ and $g_\perp$. Thus, SO(5) will be a symmetry group if the six $\Pi^\alpha_{\lambda'}$ operators also commute with $H_v$. To simplify the calculation of these commutators, we define the $\Pi$ ladder operators:

$$\Pi^\lambda_{\lambda'} = \sum_i \tau^\lambda_i \sigma^\lambda_{\lambda'}, \Pi^\lambda_{\perp} = \sum_i \tau^\lambda_i \sigma^\perp_i, \quad (6)$$

where $\lambda$ and $\lambda'$ can be $+\text{ or } -$. $\tau_\pm = (\tau_x \pm i\tau_y)/2$ are ladder operators in valley space, and the spin ladder operators $\sigma_\pm$ are similarly defined. We work out the commutator $[\Pi^\alpha_{\lambda'}, H_v]$ in detail below:

$$[\Pi^\alpha_{\lambda'}, H_v] = 2 \sum_{i \neq j} \sum_{v,s} \tau^v \tau^s \frac{1}{2} \left( g_z \tau^v_z \tau^s_z \sigma^v_z + g_\perp \tau^v_z \tau^s_z \sigma^\perp_z \right) \delta(\tau^\alpha_i - \tau^\alpha_j) \quad (7)$$

The second line of equation (7) is the Landau gauge second quantized form of the first line. $c^\dagger_{pvs}$ ($c_{pvs}$) is an electron creation (annihilation) operator, $p$ denotes the orbital index within the $N = 0$ Landau level, $v = K, K'$ labels valley,
and $s = \uparrow, \downarrow$ labels spin. $D_{p_1p_2p_3p_4}$ is the orbital two-particle matrix element for the $\delta$ function interaction:

$$
D_{p_1p_2p_3p_4} = \int d\vec{r}_1 d\vec{r}_2 \phi^*_{p_1}(\vec{r}_1)\phi^*_{p_2}(\vec{r}_2)\delta(\vec{r}_1 - \vec{r}_2)\phi_{p_3}(\vec{r}_2)\phi_{p_4}(\vec{r}_1)
$$

where $\phi_p(\vec{r})$ is the wave function for orbital $p$. In the simplification leading to the last line of equation (7), we used (1) fermion anticommutation relations, and (2) the identity $D_{p_1p_2p_3p_4} = D_{p_1p_3p_2p_4}$, which is a special property of $\delta$ function interaction. Equation (7) shows that $[\Pi^z, H_\nu] = 0$ at $g_z + g_\perp = 0$. In a similar fashion, it can be shown that the other $\Pi$ operators also commute with $H_\nu$ at $g_z + g_\perp = 0$. Thus, $H_\nu$ has exact SO(5) symmetry for $g_z + g_\perp = 0$.

The symmetry follows from the short-range nature of the valley-symmetry breaking interaction combined with the Pauli exclusion principle for electrons.

II. EXACT DIAGONALIZATION RESULTS

Our ED results for finite-size systems with up to 16 electrons verify that the ground state at $\nu = 0$ for Coulomb interactions only ($H = H_C$) is given exactly by mean field theory. The ground state wave functions at $\nu = 0$ are single Slater determinants with filled Landau levels for two of four flavors. This property is a generalization of simple, quantum Hall ferromagnetism, the occurrence of a spontaneously spin-polarized states at odd filling factors when the spin degree-of-freedom is added to the physics of a parabolic band system Landau levels. We have used periodic boundary conditions and classified many-body states by their magnetic translation symmetries $^2$. In graphene the $\nu = 0$ ground states occur at zero momentum and form an irreducible representation of SU(4).

The $\nu = 0$ ferromagnetic (F), antiferromagnetic (AF) and charge-density-wave (CDW) states are included in the ground state multiplet and can be expressed in the form:

$$
|\chi_{1,2}\rangle = \prod_{p=1}^{N_\phi} \begin{pmatrix} c^\dagger_{p\chi_1} & c^\dagger_{p\chi_2} \end{pmatrix} |0\rangle,
$$

where $\chi_{1,2}$ are the two spinors defining the state and $p$ is the index of the LL orbital. When considered as a tensor representation of SU(4), this formula implies that the states in this multiplet are tensors with $2N_\phi$ indices in two symmetric sets each with $N_\phi$ indices i.e. they are described by the Young tableau:

\[ \begin{tabular}{c} \hline \hline \hline \end{tabular} \]

with $N_\phi$ columns and two rows. Fig. S1(a) represents the SU(4) multiplet structure geometrically in terms of an octahedron in $(S_z, N_2, T_z)$ space $^3$. The octahedral shape is understood to bound a tetrahedral lattice of points in which each point designates the states within the multiplet with common $S_z$ total spin $S$. For the Coulomb ground state multiplet at $\nu = 0$, Fig. S1(b) shows a slice of this lattice with $T_z = N_\phi - 4$. F, AF and CDW states are located at vertices of the octahedron, and other orthogonal degenerate states are derived from them by applying suitable SU(4) transformations.

States in the SU(4) ground state multiplet share the same value of the SU(4) quadratic Casimir operator:

$$
C_4 = S^2 + N^2 + T^2 + \Pi^2,
$$

where $S^2 = \sum_{\alpha = x,y,z} S^2_{\alpha}$, $N^2$ and $T^2$ are similarly defined, and $\Pi^2 = \sum_{\alpha = x,y,z} (\Pi^z_{\alpha})^2 + (\Pi^\perp_{\alpha})^2$. $C_4$ takes value $N_\phi(N_\phi + 4)$ for the Coulomb ground state multiplet at $\nu = 0$. Fig. S1(b) demonstrates that there can be more than one state in the multiplet at a given $(S_z, N_2, T_z)$ point. Hence, an additional quantum number, such as $S^2 + N^2$, is needed to uniquely label a state within the SU(4) multiplet of interest $^3$. $S^2 + N^2$ is one of the quadratic Casimir operator of the SU(2)$^K_x$×SU(2)$^{K_y}$ subgroup of SU(4). We note that SU(2)$^{K_x}$×SU(2)$^{K_y}$ group has another quadratic Casimir operator $\sum_{\alpha = x,y,z} S_{\alpha}N_{\alpha}$, which is identical to 0 for Coulomb ground states at $\nu = 0$.

SU(4) symmetry is lifted by the valley-symmetry breaking interaction $H_\nu$, and the octahedral multiplet is split. At $\theta_g = 3\pi/4$, SU(4) symmetry is reduced to SO(5) symmetry. Fig. S1(c) shows the SO(5) multiplet structure of the three lowest energy states, which coincide with the lowest degeneracies. Within a level, states are distinguished by $T_z$, $S_z$ and total spin $S$ quantum numbers, and share the same value of the SO(5) Casimir operator $\Gamma^2 = S^2 + T_z^2 + \Pi^2 = l(l+3)$.
Fig. S 1: Geometric representation of SU(4) multiplet structures. (a) The octahedron in \((S_z, N_z, T_z)\) space represents the SU(4) multiplet structure of Coulomb ground states at \(\nu = 0\). (b) A \(T_z\)-constant plane in the octahedron displayed for \(T_z = N_\phi - 4\) reached by applying lowering operators to the CDW state with \(T_z = N_\phi\). The size of the symbols indicates the degeneracy at each point in the \((S_z, N_z)\) plane. (c) Multiplet structures of the first three levels of SO(5).

\[ H_{\text{eff}}(\theta_g = 3\pi/4) = u_z \left( \frac{2\Gamma^2}{N_\phi + 1} - \frac{N_\phi(N_\phi + 5)}{N_\phi + 1} \right). \]  

Fig. S 2: Finite size scaling analysis. (a) \(E_v/g\) at \(\theta_g = 3\pi/4\) as a function of \(\Gamma^2\) for \(N_\phi\) ranging from 4 to 8. (b) In a given \((T_z = 0, S)\) sector, the lowest energy at \(\theta_g = 4\pi/7 \in [\pi/2, 3\pi/4]\) as a function of \(S^2\). (c) In a given \((S = 0, T_z)\) sector, the lowest energy at \(\theta_g = \pi\) as a function of \(T_z^2\). The inset in each figure shows the inverse of slope versus \(N_\phi\). See text for a more detailed description.

\( l \) being a nonnegative integer. We note that the same SO(5) multiplet structure has arisen previously in numerical studies of the \(t - J\) model \[^{14}\]. Interestingly, along the SO(5) line, i.e. for \(\theta_g = 3\pi/4\), we find numerically that the eigenenergies are linear in \(\Gamma^2\), as illustrated in Fig. S2(a). The low-energy part of the spectrum along the SO(5) line is accurately fit by the following equation:
The ground state at $\theta_g = 3\pi/4$, is an SO(5) singlet with $\Gamma^2 = 0$.

Away from $\theta_g = 3\pi/4$, SO(5) symmetry is explicitly broken, leading to anisotropy in the 5D space. Interestingly, the spectrum can also be fit by a linear form in the appropriate quadratic Casimir operators along other high symmetry lines. For example, at $\theta_g = \pi/2$, the Casimir operators of the corresponding symmetry group SU(2)$^4_\chi$ SU(2)$^2_\phi$ U(1)$_v$ are $S^2 + N^2$ and $T_z^2$. For a $T_z$-constant plane shown in Fig. 2(b), $S^2 + N^2$ takes values $f(2)$, with nonnegative $f = N_\phi - |T_z|, N_\phi - |T_z| - 2, \cdots$. In analogy with the $\theta_g = 3\pi/4$ case, the low-energy spectrum at $\theta_g = \pi/2$ is accurately fit by:

$$H^\text{eff}_\psi(\theta_g = \pi/2) = u_z \frac{T_z^2 - (S^2 + N_\phi^2)}{N_\phi + 1}.$$  \hspace{1cm} (12)

By interpolating between equations (11) and (12), we arrive at an expression which describes the low-energy spectrum of the SU(4) ground state manifold over the full $\theta_g \in (\pi/2, 5\pi/4)$ interval:

$$H^\text{eff}_\psi = \frac{1}{N_\phi + 1} \left(-2u_z \Gamma^2 + (u_z + u_\perp)(T_z^2 - S^2 - N_\phi^2) + u_z N_\phi + u_\perp N_\phi(N_\phi + 6)\right).$$ \hspace{1cm} (13)

Equation (13) is limited in two ways: (1) it describes only the low-energy part of the spectrum which evolves adiabatically from the SU(4) ground state multiplet; and (2) it is obtained by fitting numerical data at the high-symmetry points $\theta_g = \pi/2$ and $3\pi/4$. The SO(5) symmetry-breaking states at $\theta_g = 3\pi/4$ were discussed in the main text.

Equation (13) makes the nature of the transition between AF and Kekulé phases at $\theta_g = 3\pi/4$ clear. As illustrated in Fig. 2(a) and discussed in the main text, the ground state throughout the entire $\theta_g \in (\pi/2, 5\pi/4)$ range is singly degenerate and has $S^2 = 0$ and $T_z = 0$. Therefore, on the $\theta_g < 3\pi/4$ side of the SO(5) line, the quantity $-(u_z + u_\perp)N_\phi^2$ in equation (13) is an easy-plane anisotropy in the 5D space with the Néel vector space being the easy-plane; Néel order is favored over Kekulé order for $\theta_g < 3\pi/4$. On the $\theta_g > 3\pi/4$ side of SO(5) point, the Kekulé state is favored and the $T_{x,y}$ vectors lie in the easy-plane. We conclude that there is a spin-flop phase transition in the 5D space across the SO(5) point. The phase transition is of first order. Our analysis is in agreement with the mean-field prediction of a zero temperature first-order phase transition and places it on rigorous grounds.

We will now describe how the finite size scaling demonstrates the existence of spontaneous symmetry-breaking away from the SO(5) point. In Fig. 2(b), we plot the lowest energy at a representative angle $\theta_g = 4\pi/7$ in different $(T_z = 0, S)$ sectors as a function of $S^2$ for $N_\phi$ from 4 up to 8. There is good linear relationship between the plotted energy and $S^2$. The quantity $I_S$, defined as the inverse of the slope, increases linearly as $N_\phi$ increases. This quantity is a generalized moment of inertia and its divergence indicates spontaneous SU(2) symmetry breaking in the thermodynamic limit at $\theta_g = 4\pi/7$. The reasoning is analogous as that for the SO(5) symmetry breaking at $\theta_g = 3\pi/4$.

In Fig. 2(c), a similar scaling analysis is applied to the spin singlet sector with varying $T_z$ numbers at $\theta_g = \pi$. In this case, the analysis signals a spontaneous U(1)$_v$ symmetry breaking in the thermodynamic limit. We remark that the finite-size scaling behavior in our system is very similar to that in the two-dimensional antiferromagnetic Heisenberg model. The ground state of the latter model is a spin singlet in any finite size system. However, low-lying energy levels collapse to the ground state in the thermodynamical limit, resulting in spontaneous symmetry breaking. This set of low-lying states is often referred to as a tower of states.

So far, the Zeeman field has been neglected. Since $S_z$ has been chosen as a good quantum number in our exact diagonalization calculations, the Zeeman field simply shifts the energy of a state by an amount proportional to its $S_z$ value. We found that the mean-field phase boundary between CAF and KD (Fig. 3 in the presence of a Zeeman field is in quantitative agreement with exact diagonalization results for $N_\phi = 8$.

### III. LOW-ENERGY EFFECTIVE THEORY

The continuum model Lagrangian

$$L = \langle \psi | i \partial_t - H | \psi \rangle = \int \frac{d^2 r}{2\pi l^2_B} \left[ B - \mathcal{H} \right],$$ \hspace{1cm} (14)

where $| \psi \rangle$ is a Slater-determinant state in which two orthogonal occupied spinors $\chi_{1,2}$ are allowed to vary slowly in space and time. The Lagrangian density $\mathcal{L} = B - \mathcal{H}$ has a Berry phase part :

$$B = i(\chi_1^\dagger \partial_t \chi_1 + \chi_2^\dagger \partial_t \chi_2),$$ \hspace{1cm} (15)

and an energy density contribution :

$$\mathcal{H} = i^2_B \mathcal{E}_0(\nabla P) + \mathcal{E}_\psi(P) - \frac{l^2_B}{2} \mathcal{E}_\psi(\nabla P) + \mathcal{E}_z(P).$$ \hspace{1cm} (16)
where $P$ is the local density matrix, $P = \chi_1\chi_1^\dagger + \chi_2\chi_2^\dagger$ and $\mathcal{E}_0(\nabla P)$ is the contribution from the SU(4) symmetric Coulomb interaction which is non-zero only when $P$ is space-dependent:

$$\mathcal{E}_0(P) = \rho_0 \text{Tr}[\nabla P \nabla P], \quad (17)$$

with stiffness $\rho_0 = \sqrt{2}\pi\epsilon^2/(16\epsilon_B)$. The next two terms are contributed by the valley-dependent interactions:

$$\mathcal{E}_v(P) = \frac{1}{2} \sum_{\alpha=x,y,z} u_\alpha \xi_\alpha(P), \quad (18)$$

where $u_{x,y} = u_\perp = g_\perp/(2\pi\epsilon_B^2)$, $u_z = g_z/(2\pi\epsilon_B^2)$, and $\xi_\alpha(P) = \text{Tr}[\tau_\alpha P \text{Tr}[\tau_\alpha P] - \text{Tr}[\tau_\alpha P \tau_\alpha P]$. $\mathcal{E}_v(\nabla P)$ is a gradient term, and has a similar expression as $\mathcal{E}_v(P)$. The last term is the Zeeman energy:

$$\mathcal{E}_Z(P) = -\epsilon_Z \text{Tr}[\sigma_z P]. \quad (19)$$

The position-dependent density matrix $P$ has the following properties:

$$P^\dagger = P, \quad \text{Tr} P = 2, \quad P^2 = P. \quad (20)$$

It is convenient to reparametrize the state with a matrix $R$, where $P = \frac{1}{2}(1 + R)$. $R$ is Hermitian, traceless, and $R^2 = 1$. Thus, $R$ can be expressed in terms of SU(4) generators:

$$R = \sum_a l_a \gamma_a + \sum_{a>b} l_{ab} \gamma_{ab}, \quad (21)$$

where $l_a$ and $l_{ab}$ are classical real fields. The condition $R^2 = 1$ gives rise to constraints on these fields. One type is normalization constraint enforcing $\text{Tr}[R^2] = 4$:

$$\sum_a l_a^2 + \sum_{a>b} l_{ab}^2 = 1, \quad (22)$$

Another type are orthogonality constraints:

$$\epsilon^{abde} l_{cd} l_{e} = 0, \quad \epsilon^{abde} l_{bc} l_{de} = 0, \quad (23)$$

where $\epsilon^{abde}$ is the fully antisymmetric Levi-Civita symbol in five dimensions. The orthogonality constraint is given by $\text{Tr}[R^2 \gamma_{ab}] = 0$ and $\text{Tr}[R^2 \gamma_a] = 0$.

The SO(5) theory of high-$T_c$ superconductivity [8] requires a similar orthogonality constraint, which plays an essential role in predicting the phase transition between AF and dSC phases. There, it was proposed based on a geometric interpretation of rotations in 5D [8], and separately based on maximum entropy [9] considerations. In our theory, the orthogonality constraint naturally appears because of the assumption that at each LL orbital two spinors are occupied, i.e. that charge fluctuations are quenched. To make the physical meaning of the fifteen fields transparent, we rename them using spin and valley language:

$$l_{34,42,23} = s_{x,y,z}, l_{1,15} = t_{x,y}, l_{15} = t_z, \quad (24)$$

$s_\alpha, t_\alpha$ and $n_\alpha$ with $\alpha = x, y, z$ are respectively spin, valley and Néel fields, and there are six $\pi$ fields. The energy density $\mathcal{H}$, expressed in terms of these classical fields, reads as:

$$\mathcal{H} = -u_\perp - 2\epsilon_Z s_z + (u_z + u_\perp)(t_z^2 - \sum_{\alpha=x,y,z} s_\alpha^2) + 2u_\perp \sum_{\beta=x,y} t_{\beta}^2 + (u_\perp - u_z) \sum_{\alpha=x,y,z} n_\alpha^2 + \epsilon_B [\rho_\perp (\nabla t_z)^2 + \rho_{\perp} \sum_{\beta=x,y} (\nabla t_\beta)^2 + \sum_{\alpha=x,y,z} \rho_s (\nabla s_\alpha)^2 + \rho_\perp (\nabla s_\alpha)^2 + \rho_\pi ((\nabla n_\pi)^2 + (\nabla n_\pi)^2)]. \quad (25)$$

where the different stiffness coefficients are:

$$\rho_\perp = \rho_0 - \frac{3u_z + 2u_\perp}{4}, \quad \rho_\perp = \rho_0 - \left(\frac{1}{4}u_z + u_\perp\right), \quad (26)$$

The mean-field phase diagram in Fig. [8] is constructed by minimizing the energy density $\mathcal{H}$ using the ansatz that all fields are static and spatially uniform. Without Zeeman field $\epsilon_Z$, there are 4 possible phases: ferromagnetic (F), charge-density-wave (CDW), KD and AF states. All phase boundaries coincide with the high symmetry lines listed in Table I of the main text. When the Zeeman field is added, the phase boundaries are shifted and the AF phase is transformed into a canted antiferromagnetic (CAF) state.
Fig. S 3: Mean-field phase diagram of $\nu=0$ quantum Hall states in graphene. Dashed lines are the phase boundaries without Zeeman field, with solid lines in the presence of Zeeman field. Blue lines represent first-order phase transitions, while the red line separating the F and CAF phases marks the second-order phase transition [10] between these states.

IV. COLLECTIVE MODES IN THE PRESENCE OF A ZEEMAN FIELD

In the presence of Zeeman field, the AF is transformed to a canted antiferromagnetic (CAF) state in which the spin-polarizations on opposite sublattices are not collinear. In the CAF state

$$ P_{\text{CAF}} = \frac{1}{2}(1 + \sin \theta_s \sigma_x \sigma_z + \cos \theta_s \sigma_z) $$

where the canting angle $\cos \theta_s = \frac{\epsilon Z}{2 u_\perp}$ [10]. One of the spin wave mode remains gapless in the CAF state:

$$ \omega_1(\text{CAF}) = 2 \sqrt{\rho_n (2|u_\perp| \sin^2 \theta_s + (\rho_n \cos^2 \theta_s + \rho_s \sin^2 \theta_s) k^2) k}. $$

This gapless mode corresponds to the rotation of Néel vector within the $xy$ plane. Another spin wave mode acquires a gap:

$$ \omega_2(\text{CAF}) = 2 \sqrt{(\epsilon Z \cos \theta_s + (\rho_n \sin^2 \theta_s + \rho_s \cos^2 \theta_s) k^2)(2|u_\perp| + \rho_s k^2)}. $$

The Zeeman field also modifies the dispersion of the sublattice/$\pi$ modes:

$$ \omega_{3,4}(\text{CAF}) = 2 \sqrt{(u_z + u_\perp + \epsilon Z \cos \theta_s + (\rho_\perp \sin^2 \theta_s + \rho_s \cos^2 \theta_s) k^2)(u_z - u_\perp + \rho_s k^2)}, $$

which remain gapped in the CAF phase and become gapless at the CAF/KD phase boundary $u_z + u_\perp + \epsilon Z \cos \theta_s = 0$.

At the SO(5) point $u_z + u_\perp = 0$, the gapped spin wave mode $\omega_2(\text{CAF})$ and sublattice/$\pi$ modes $\omega_{3,4}(\text{CAF})$ become degenerate. The degeneracy is due to the unbroken part of the SO(5) symmetry in the presence of Zeeman field.

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