A comparative analysis of the InterVA model versus physician review in determining causes of neonatal deaths using verbal autopsy data from Nepal

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Abstract

Background: Verbal autopsy is a common method of ascertaining the cause of neonatal death in low resource settings where majority of causes of deaths remain unregistered. We aimed to compare the causes of neonatal deaths assigned by computer algorithm-based model, InterVA (Interpreting Verbal Autopsy) with the usual standard of Physician Review of Verbal Autopsy (PRVA) using the verbal autopsy data collected by Morang Innovative Neonatal Intervention (MINI) study in Nepal.

Methods: MINI was a prospective community intervention study aimed at managing newborn illnesses at household level. Trained field staff conducted a verbal autopsy of all neonatal deaths during the study period. The cause of death was assigned by two pediatricians, and by using InterVA version 5. Cohen's kappa coefficient was calculated to compare the agreement between InterVA and PRVA assigned proximate cause of death, using STATA™ software version 16.1.

Results: Among 381 verbal autopsies for neonatal deaths, only 311 (81.6%) were assigned one of birth asphyxia, neonatal infection, congenital anomalies or preterm-related complications as the proximate cause of death by both InterVA and PRVA, while the remaining 70 (18.4%) were assigned other or non-specific causes. The overall agreement between InterVA and PRVA-assigned cause of death categories was moderate (66.5% agreement, kappa=0.47). Moderate agreement was observed for neonatal infection (kappa=0.48) and congenital malformations (kappa=0.49), while it was fair for birth asphyxia (kappa=0.39), and preterm-related complications (kappa=0.31); but there was only slight agreement for neonatal sepsis (kappa=0.19) and neonatal pneumonia (kappa=0.16) as specific causes of death within neonatal infections.

Conclusions: We observed moderate overall agreement for major categories of causes of neonatal death assigned by InterVA and PRVA. The moderate agreement was sustained for the
classification of neonatal infection but poor for neonatal sepsis and neonatal pneumonia as distinct categories of neonatal infection. Further studies should investigate the comparative effectiveness of an updated version of InterVA with the current standard of assigning the cause of neonatal death through longitudinal and experimental designs.

**Keywords:** verbal autopsy, neonatal mortality, low- and middle-income countries, inter-rater agreement

**Background**

Globally, an estimated four million neonatal deaths occurred annually in low and middle income countries (LMICs), of which the majority occur outside of the formal health care system (1). While the global annual neonatal mortality rate (NMR) decreased by 51%, from 36.6 to 18.0 deaths per 1000 live births between 1990 and 2017, it is estimated that 27.8 million neonatal deaths will occur by 2030, if the current rate of reduction continues in each country (2). Availability of nationally representative vital registration data on neonatal mortality is limited to about 60 countries and neonatal mortality rate is higher in LMICs that do not have a high-quality vital registration data (2). However, information on causes of death is vital to researchers, program planners and policymakers working at local, national and international levels to improve infant survival. In order to ensure the best possible utilization of limited resources available in such a setting, reliable and adequate information about the causes of neonatal deaths is essential. A practical and the most commonly used method to determine probable causes of death at population level in such settings where systems for medical certification of causes of death are weak or non-existent is verbal autopsy in which a series of questions are asked to the primary caregivers for specific signs and
symptoms of the deceased (3, 4). Verbal autopsy tools have been developed over the last few decades (4-10) and used for assigning causes of neonatal death in numerous settings and contexts and using different methods of assigning cause of death (11-24).

After conducting the verbal autopsy, the collected information is analyzed to assign causes of death. Causes of death assigned by Physician Review of Verbal Autopsy (PRVA) is most commonly used as a reference standard although multiple automated methods using data-driven algorithms have been developed and tested for assigning cause of death using information collected from a VA (25). However, PRVA is labor intensive, and prone to inter-observer variation. InterVA (Interpreting Verbal Autopsy), is one of the computer-based probabilistic model based on Bayes' probability theorem that has been compared with PRVA in some settings (24, 26-28). InterVA offers a promising alternative to expensive and time-consuming physician review in assigning the cause of death in low resource settings (4) (29). InterVA-5 was developed to harmonize with InterVA-4 and WHO 2016 VA standards, which is important for monitoring long-term trends over periods when different VA standards have been used (30). Although InterVA is an affordable and available option to assign causes of death using verbal autopsies, users need to be aware that there is no adequate evidence of equivalence, if not superiority, of its performance over PRVA. A validation study showed suboptimal performance of InterVA in assigning neonatal cause of death and a study from Nepal demonstrated discrepancy and overlap between physician review and algorithm-based assignment the causes of death (24, 31). In the milieu of ongoing search for the best possible method of assigning cause of neonatal death in low resource settings and improvements in InterVA algorithms, there is an ongoing need to compare InterVA with PRVA in different geographical and health system settings, particularly for neonatal mortality.

This paper aims to compare the causes of neonatal deaths assigned by the most recent version of
InterVA with PRVA, using the VA data from Morang Innovative Neonatal Intervention (MINI) study.

Methods

Study setting

The current study has utilized the Verbal Autopsy dataset from the MINI program. MINI program focused on community-based management and treatment of illnesses of newborn children in Morang district of southeastern Nepal from 2005 to 2009. The district had 65 Village Development Committees (VDCs), two hospitals, seven primary health care centers, ten health posts, and 49 sub-health posts, and 585 Female Community Health Volunteers at the time of the study (32). In Morang district, 80% of the total population (914,799 at the time of the study) lived in rural areas and the human development and other indices were comparable to the national figures (32). In the MINI model, the trained community health workers (CHWs) followed an algorithm to classify sick young infants with possible severe bacterial infection (PSBI). The CHWs visited homes soon after delivery, recorded the birth, counseled mothers on essential newborn care, and assessed the newborns for danger-signs, thus identifying infants classified as having PSBI. These infants were treated with co-trimoxazole and referred to facility-based CHWs for seven-day treatment with injection gentamicin. A specific monitoring and supervision component were added to the implementation of the model through the existing government infrastructure. Further details of MINI methodology have been published previously (19, 32).

Verbal Autopsy and data collection

MINI field supervisors were trained to collect verbal autopsy data using a pre-tested structured questionnaire which included open-ended narrative and close-ended questions, adapted from the
existing tool from WHO (6). The questionnaire was developed in Nepali language and included demographic data, 39 questions and filters probing into the circumstances and causes of death, and finally the opinion of the caretaker and interviewer about the cause of death.

In 21 of 65 VDCs of Morang district where MINI was implemented, Female Community Health Volunteers (FCHV) notified deaths of neonates to MINI field supervisors. Then, MINI field supervisors visited the house and conducted the interview with the caretaker of the deceased neonate. The verbal autopsy was conducted after a median of 124 days (IQR 57-235 days). The completed questionnaires were checked by senior supervisor and field coordinator for completeness and consistency at the MINI field office.

**Interpretation of verbal autopsy data through Physician review and InterVA**

Two senior pediatricians in Nepal with similar clinical experience independently assigned the proximate and contributing cause of death using the hard copies of filled questionnaires. For any case in which two different causes of death were assigned by the two pediatricians, only one cause of death was chosen through discussion between the two pediatricians and entered in the MINI database.

We used the latest InterVA model, version 5, for comparison with the proximate cause of death assigned by the PRVA (30). The InterVA algorithm was developed and refined by Peter Byass and his colleagues over many years (33). InterVA accepts a range of symptoms or signs referred to as “indicators” relating to sequence and processes culminating in a death. InterVA processes them in a mathematical model based on Bayes’ theorem, and produces as its output likely cause(s) of death (26, 30). InterVA-5 uses symptom-cause information in the form of conditional probabilities of experiencing a symptom given a specific cause of death, elicited from the physicians as well as from Population Health Matrices Research Consortium gold standard dataset.
For the purpose of this study, the proximate (the first most likely) cause of death was assigned by using InterVA-5. InterVA-5 supersedes InterVA-4, which was designed to be compatible with the WHO 2012 Verbal Autopsy Instrument and incorporated previous versions of the model for maternal and neonatal deaths, building on experience from InterVA-3 and preceding models. Thus, InterVA-5 brings the model in line with the WHO-2016 VA standard. With subsequent versions of WHO VA instruments there were corresponding changes in the indicators used in InterVA models in subsequent years.

**Data management and Statistical analysis**

Out of 498 verbal autopsies collected in the MINI study, 381 (76.5%) verbal autopsies for neonatal deaths were included in the analysis. For each VA, the cause of death was assigned by PR and InterVA. The reasons for exclusion were: 36 permanently transferred from the study sites, 2 refusals, 9 still births, 62 older than 28 days at the time of death, and 8 had incomplete data. The cause of death was assigned for each of 381 deceased neonates using InterVA-5 version 5.1 (released on 9th April 2020), using the executable software, code and user documentation available in the GitHub repository (34). The causes of neonatal death were assigned by PRVA using the WHO verbal autopsy guide and coded using ICD 10 codes. For this analysis, we combined neonatal pneumonia, neonatal sepsis and neonatal tetanus in PRVA to make a single category neonatal infection and combined preterm, low birth weight and respiratory distress syndrome as preterm-related complication. The other categories included hypothermia, hemorrhagic disease of newborn, sudden infant death syndrome and accidental injury. We used the proximate (first) cause of death output of InterVA-5, which consisted of one of the six WHO VA cause of death categories and other and unspecified perinatal cause of death category. InterVA assigned the second cause of
death for 34 of 381 verbal autopsies that had lower percentage of likelihood of the proximate diagnosis. For this analysis, we combined neonatal pneumonia, and meningitis/encephalitis in InterVA in a single category neonatal infection. InterVA-5 assigned prematurity as a cause of death in the category of preterm related complications.

Cohen's kappa coefficient was calculated to compare the agreement between InterVA and PRVA causes of death. For calculating kappa value for cause-specific mortality, for example birth asphyxia, data were assigned 1 (yes) for that cause and 0 (no) for all other causes. We compared the categories of cause of neonatal death common to PRVA and InterVA-5, including two subcategories of neonatal infection, namely neonatal sepsis and neonatal pneumonia. Analysis was done using STATA™ software version 16.1. We used Landis and Koch classification of Kappa scores, in which kappa values < 0 is taken as no agreement, 0-0.20 as slight agreement, 0.21 – 0.40 as fair agreement, 0.41-0.60 as moderate agreement, 0.61-0.80 as substantial agreement and 0.81-1 as perfect agreement (35).

Ethics

Ethical approval for the study was obtained from the Western Institutional Review Board (WIRB, Pro No. 20031870) in the USA. The Ministry of Health and Population, Nepal approved the intervention. The study was conducted by JSI Research & Training Institute, Inc. (JSI). Informed verbal consent was obtained from infant’s legal guardian. All the procedures were followed in accordance with the Declaration of Helsinki.

Results

Background characteristics of neonates
Out of 381 neonatal deaths studied, the majority (78.5%) were early neonatal deaths, occurring within 7 days of birth. The median survival time was 3 days from birth. Circumstances Of Mortality CATegories (COMCAT) assigned by InterVA-5 showed that one third of neonatal deaths involved circumstances other than emergencies, which would preclude life-saving actions (29). The background characteristics are shown in Table 1.

**Table 1: Background characteristics of Neonates (N=381)**

| Characteristics (N=381)                  | N (%)   | 95%CI (Lower limit, upper limit) |
|------------------------------------------|---------|----------------------------------|
| **Timing of neonatal deaths**            |         |                                  |
| Within 24hrs                              | 133 (34.9) | 30.3, 39.8                      |
| 1-7 days                                  | 166 (43.6) | 38.7, 48.6                      |
| >8 days                                   | 82 (21.5)  | 17.7, 25.9                      |
| **Sex**                                   |         |                                  |
| Male                                      | 219 (57.5) | 52.4, 62.4                      |
| Female                                    | 162 (42.5) | 37.63, 47.6                     |
| **Birth weight (g)**                     |         |                                  |
| Birth weight not available                | 169 (44.4) | 39.4, 49.4                      |
| Very low birth weight (<1500 grams)      | 36 (9.5)   | 6.9, 12.8                       |
| Low birth weight (1500-2499 grams)       | 78 (20.5)  | 16.7, 24.8                      |
| Normal birth weight (>2500 grams)        | 98 (25.7)  | 21.6, 30.4                      |
| **Gestation type**                       |         |                                  |
| Single                                    | 332 (87.1) | 83.4, 90.2                      |
| Multiple                                  | 49 (12.9)   | 9.9, 16.6                       |
Type of delivery

|                        | Physician review | InterVA-5        |
|------------------------|------------------|------------------|
| Normal vaginal         | 327 (85.8)       | 81.9, 89.0       |
| Instrumental or Caesarian| 54 (14.2)       | 11.0, 18.1       |

Place of delivery

|                        | Physician review | InterVA-5        |
|------------------------|------------------|------------------|
| Home                   | 261 (68.5)       | 63.7, 73.0       |
| Health facilities      | 120 (31.5)       | 27.0, 36.4       |

Circumstances of mortality categories

|                        | Physician review | InterVA-5        |
|------------------------|------------------|------------------|
| Emergencies            | 250 (65.6)       | 60.7, 70.2       |
| Health System          | 86 (22.6)        | 18.6, 27.1       |
| Traditions             | 24 (6.3)         | 4.3, 9.2         |
| Multiple               | 21 (5.5)         | 3.6, 8.3         |

The top three cause categories of neonatal deaths by physician review were neonatal infection (40.7%), birth asphyxia (34.7%) and preterm-related complications (14.4%). The same three categories were the three major causes of neonatal death by InterVA-5, with slightly different percentages: neonatal infection (48.3%) birth asphyxia (23.8%), preterm-related complications (14.5%), as shown in Table 2. Interestingly, we observed remarkably different proportions of neonatal sepsis and neonatal pneumonia assigned by InterVA and PRVA. Both methods assigned congenital anomalies in 2.6% of neonatal deaths (Table 2).

Table 2 Comparison of proximate cause of neonatal death by InterVA and PRVA

| Proximate cause of death | Physician review | InterVA-5 |
|-------------------------|------------------|-----------|
|                         | n(%) 95%(CI)     | n(%) 95%CI|

10
| Condition                              | Count (Prevalence) | Range 1 | Range 2 | Range 3 | Range 4 |
|---------------------------------------|--------------------|---------|---------|---------|---------|
| Birth asphyxia                        | 132 (34.7)         | 30.0, 39.6 | 90 (23.6) | 19.6, 28.2 |
| Neonatal infection                    | 155 (40.7)         | 35.8, 45.7 | 184 (48.3) | 43.3,53.3 |
| - Sepsis                              | 141 (37.0)         | 32.3, 42.0 | 91 (23.9) | 19.9, 28.4 |
| - Pneumonia                           | 11 (2.9)           | 1.6, 5.2  | 89 (23.4) | 19.4, 27.9 |
| - Neonatal tetanus                    | 3 (0.8)            | 0.3, 2.4  | 0        | 0        |
| - Meningitis and encephalitis         | 0                  | 0        | 4 (1.1)  | 0.4, 2.8 |
| Congenital malformations              | 10 (2.6)           | 1.4, 4.8  | 10 (2.6) | 1.4, 4.8 |
| Preterm-related complications         | 57 (15.0)          | 11.7, 18.9 | 55 (14.4) | 11.2, 18.4 |
| - Prematurity                         | 34 (8.9)           | 6.4, 12.2 | 55 (14.4) | 11.2, 18.4 |
| - Low birth weight                    | 21 (5.5)           | 3.6, 8.3  | 0        | 0        |
| - Respiratory distress syndrome       | 2 (0.5)            | 0.1, 2.1  | 0        | 0        |
| Others causes                         | 27 (7.1)           | 4.9,10.2 | 0        | 0        |
| - SIDS                                | 13 (3.4)           | 2.0, 5.8  | 0        | 0        |
| - Hypothermia                         | 9 (2.4)            | 1.23, 4.49| 0        | 0        |
- Hemorrhagic disease of newborn

| Proximate cause of death | Agreement (%) | Expected agreement (%) | Kappa 95% CI | p-value | Degree of agreement (35) |
|--------------------------|---------------|------------------------|--------------|---------|-------------------------|
| Overall                  | 66.5 (60.5,72.5) | 36.5                  | 0.47 (0.39, 0.55) | <0.001 | Moderate                |

**Table 3 Agreement between InterVA and PRVA for cause of neonatal death**

**Agreement between PRVA and InterVA**

The inter-rater reliability between InterVA and PRVA was assessed using Cohen’s kappa statistic. In our study, overall Kappa value was 0.47 corresponding to 66.5% agreement, which shows a moderate agreement between InterVA and PRVA (Table 3). The agreement for neonatal infection, as a category, was moderate (kappa=0.48) but it was only slight for neonatal sepsis (kappa=0.19) and neonatal pneumonia (kappa=0.16), assigned by both methods. The agreement was fair for prematurity as well as for category of preterm related complications. The agreement was fair for birth asphyxia moderate for congenital malformations (Table 3).
Neonatal infections*  74.0 (0.7, 0.8)  50.3  0.48 (0.39, 0.57)  <0.001  Moderate
Neonatal Sepsis#  64.8 (60.0, 69.7)  56.8  0.19 (0.09, 0.29)  0.0001  Slight
Neonatal Pneumonia#  79.0 (74.9, 83.1)  75.1  0.16 (0.07, 0.25)  <0.001  Slight

Birth asphyxia  74.3 (69.9, 78.7)  58.1  0.39 (0.29, 0.48)  <0.001  Fair

Preterm-related complications**
Prematurity#  82.7 (78.9, 86.5)  74.9  0.31 (0.18, 0.44)  <0.001  Fair

Congenital malformations  97.4 (95.8, 99.0)  94.9  0.49 (0.21, 0.76)  <0.001  Moderate

* Neonatal infections included sepsis, pneumonia, neonatal tetanus and meningitis/encephalitis;

**preterm-related complications included prematurity, LBW and RDS

# Sub-category compared as neonatal sepsis, neonatal pneumonia and prematurity were specific proximate diagnoses in both PRVA and InterVA

Discussion

The causes of neonatal deaths, observed in descending order of frequency in our study, both by InterVA and PRVA, included neonatal infection, birth asphyxia, preterm-related complications and congenital malformations which is in agreement with those described in literature (17, 24). Although reliable data on causes of neonatal deaths and the timing around neonatal deaths are often sparse, available evidence from low and middle income countries suggests that the major causes of death have not changed significantly despite a decrease in overall neonatal mortality rate and continue to occur most frequently in the first day and week of life (1, 2, 36). The focus of this paper is to compare assignment of cause of neonatal death using InterVA compared to PRVA from available VA data from Nepal and is not intended to determine the causes and timing of neonatal death.
We observed an overall moderate agreement (kappa 0.47, percentage agreement 66.5%) between InterVA and PRVA assigned categories of causes of neonatal death, with moderate agreement for neonatal infection and congenital anomalies and fair agreement for birth asphyxia and preterm-related complications. Interestingly, the agreement was weaker (only fair) for neonatal sepsis and neonatal pneumonia when compared as distinct causes of death, rather than neonatal infection. There is a considerable overlap in the clinical presentation of pneumonia and sepsis in a neonate and the management is the same for both conditions (36). In fact, pneumonia is a form of neonatal sepsis. In the MINI, PSBI was defined as presence of any one of the following: unable to feed, lethargic or unconscious, weak cry, fast breathing (≥ 60 breaths per minute), severe chest indrawing, grunting, fever or hypothermia, redness around umbilicus, >10 pustules or 1 large abscess and there were 1051 episodes (9%) of PSBI identified by the CHWs among 0-28 days infants, and they were not differentiated as neonatal sepsis or neonatal pneumonia. Identifying neonatal infection as a cause of neonatal death in the community setting serves the public health purpose of knowing the relative proportion of one of the main reasons for preventable neonatal death. However, distinguishing neonatal pneumonia from neonatal sepsis is neither accurate nor does it offer any benefit. Our finding of better agreement between InterVA-5 and PRVA for neonatal infection as a category, but not for neonatal pneumonia or sepsis suggests that InterVA-5 is a reasonable alternative approach for informing population level programs and policies targeting the reduction of preventable causes of neonatal deaths in resource limited settings, where specifying the exact etiology for neonatal deaths is not practically feasible and cause of death remain otherwise uncertain. However, the findings also speak to the need to continue upgrading this automated VA model to improve the accuracy of assigning more specific etiology of neonatal
mortality, which may be more meaningful in distinguishing death from neonatal tetanus, which
demands more specific preventive practices.

Preterm-related complication, as a category of cause of neonatal death, only had fair agreement
between InterVA and PRVA, along with prematurity as a common proximate cause of death by
both methods. Low birth weight appeared as a proximate cause of neonatal death only in PRVA.
Preterm-related complications could include several issues specific to preterm babies including
respiratory distress syndrome. Our findings do not offer such comparisons, reflecting the limitation
to accurately report the gestational age and/or birth weight by the caretaker during verbal autopsy
interview, particularly when the birth is unattended and occurs at home. Still, capturing the broad
cause of death as being related to prematurity and/or low birth weight would provide valuable
information to the maternal and newborn health programs in reducing the neonatal deaths from
preterm-related complications.

Assigning cause to neonatal death remains challenging due to non-specific signs and symptoms in
sick newborns (3). Specifying a cause of death in general is itself challenging (37) and attributing
a single cause could be an oversimplification (38) with the possibility of synergistic relationship
among the causes such as infection, asphyxia and prematurity/intrauterine growth restriction.
Therefore, the limitations of attributing a cause for neonatal deaths are well documented and
recognized in the scientific community (2). The verbal autopsy dataset we used, which was
collected using questionnaire based on an older iteration of WHO verbal autopsy standards, did
not allow input for all relevant indicators in the InterVA-5 algorithm. The accuracy of cause of
death assigned by InterVA would heavily depend on accuracy and completeness of the data
available from verbal autopsy interview. Still, it is possible, as well as programmatically useful, to
review broad ‘best guesses’ for cause of death in a reasonable sample of neonatal deaths. Our
findings, along with findings from other similar studies, demonstrate how assessing and assigning the causes of neonatal deaths is complex but can still be done with reasonable accuracy using InterVA as an acceptable substitute for PRVA.

The verbal autopsy is carried out by medically untrained enumerators from usually illiterate or just literate parents in rural settings. So, defining the cause of death by strict criteria using verbal autopsy data may lead to under- or over-estimation of neonatal deaths due to inability to get the right information, which is sensitive and specific enough to make a diagnosis. In particular, early neonatal death may have been overestimated due to misclassification of stillbirth as neonatal death by the respondent. Assigning the specific cause of neonatal death is difficult even in a hospital setting by trained health professionals owing to non-specific signs and symptoms of common neonatal conditions. However, there are limited possible diagnoses or disease categories leading to preventable neonatal death, which potentially allows making reasonable estimate of the proximate cause of death using algorithm-based methods as well.

Physicians had access to additional remarks from the respondent (caretaker) and the interviewer about their impression on the possible cause of death, but this information was not coded for analysis by InterVA. When there is more than one possible cause of death, assigning a proximate cause of death by InterVA depends on whether a hierarchical or nonhierarchical approach is used and how the cause of death is assigned. However, physicians may assign cause of death as per their discretion, influenced by their medical knowledge and contextual information. However, InterVA-5 offers the COMCAT functionality which categorizes the circumstances of death (30).

There may be recall bias on late interviewing after the neonatal deaths. However, verbal autopsy taken between 3 to 12 months resulted in comparable results with those taken within 3 months of death (39). Some interviews in our cohort were repeated due to lack of meaningful information on
the first interview, which could have introduced bias. InterVA and PRVA used the same set of VA data, thus the effect of recall bias would apply to both methods.

Considering PRVA as the reference standard for assigning cause of death from verbal autopsy, the InterVA needs to be updated and standardized further to make it closer to the actual cause of neonatal death. This may demand a more complex computer-based algorithm and more rigorous data collection method to obtain more sensitive information about the signs and symptoms that led to death of the neonate. Whether certain signs and symptoms predict the diagnosis which is used as a cause of death of the neonate should be validated by well-designed clinical studies. As the accuracy of verbal autopsy based assignment of cause of neonatal death is sensitive to the setting and the process in which verbal autopsy is conducted and the cause of death is assigned, further reports on comparability of PRVA and InterVA from different settings is necessary to advance this field. We utilized the verbal autopsy data from a community-based intervention research model in a rural setting of an emerging district health system of a developing country to add to the ongoing search for the optimum method of assigning cause of neonatal death.

Conclusions

This study revealed a moderate overall agreement between InterVA and PRVA for assigning broad category of cause of neonatal death from verbal autopsy data from Nepal, with moderate agreement for neonatal infection and congenital malformation and fair agreement for birth asphyxia and preterm-related complications. Only slight agreement for neonatal pneumonia and neonatal sepsis between InterVA and PRVA, compared to moderate agreement for neonatal infection as a category suggests the need for revision in classifying causes of deaths based on similar clinical and programmatic implications of preventable causes of neonatal death. Further
studies should look at the comparative effectiveness of updated version of InterVA with the current standard of assigning the cause of death at population-level through longitudinal and experimental designs.

**List of Abbreviations**

- CHW: Community Health Workers
- COMCAT: Circumstances Of Mortality CATegories
- FCHV: Female Community Health Volunteers
- ICD: International Classification of Disease
- InterVA: Interpreting Verbal Autopsy
- LMIC: Low and Middle Income Countries
- MINI: Morang Innovative Neonatal Intervention
- NMR: Neonatal Mortality Rate
- PRVA: Physician Review of Verbal Autopsy
- PSBI: Possible Severe Bacterial Infection
- VA: Verbal Autopsy
- VDC: Village Development Committee
- WHO: World Health Organization

**Declarations:**

**Ethics approval and consent to participate:**

Ethical approval for the study was obtained from the Western Institutional Review Board (WIRB, Pro No. 20031870) in the USA. The Ministry of Health and Population, Nepal approved the intervention. The study was conducted by JSI Research & Training Institute, Inc. (JSI). Informed
verbal consent was obtained from infant’s legal guardian. All the procedures were followed in accordance with the Declaration of Helsinki.

**Consent for publication:**

Not applicable

**Availability of data and materials:**

The datasets used and/or analysed during the current study are available from the corresponding author on reasonable request.

**Competing interests:**

The authors (DD, PD, DA, NM, DN) declare that they have no competing interests.

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**Authors contribution:**

DD contributed to the conceptualization, design, analysis and writing the initial draft and revising the manuscript. PD contributed to the conceptualization, design and revision of subsequent drafts of the manuscript. DA contributed to the analysis and writing of the methods and results section of the manuscript. NM contributed to the revision of the subsequent drafts of the manuscript and provided guidance to analysis and interpretation of data. DN contributed to
the conceptualization, design, analysis and writing and revision of subsequent draft of the manuscript.

All authors read and approved the final manuscript and agree to be accountable for the contents in the manuscript.

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