Physician Conceptions of Responsibility to Individual Patients and Distributive Justice in Health Care

Mary Catherine Beach, MD, MPH
Lisa S. Meredith, PhD
Jodi Halpern, MD, PhD
Kenneth B. Wells, MD, MPH
Daniel E. Ford, MD, MPH

1Division of General Internal Medicine, Johns Hopkins University School of Medicine, Baltimore, Md
2Department of Health Policy and Management, Johns Hopkins University School of Hygiene and Public Health, Baltimore, Md
3RAND Corporation, Santa Monica, Calif
4Department of Community Health and Human Development, University of California, Berkeley, Calif
5Department of Psychiatry and Biobehavioral Sciences, UCLA School of Medicine, Los Angeles, Calif

ABSTRACT

PURPOSE Physicians’ values may be shifting under managed care, but there have been no empirical data to support this claim. We describe physician conceptions of responsibility to individual patients and distributive justice in health care, and explore whether these values are associated with type of managed care practice and professional satisfaction.

METHODS We mailed a questionnaire to 500 primary care physicians from 80 outpatient clinics in 11 managed care organizations (MCOs) who were participating in 4 studies designed to improve the quality of depression care in primary care.

RESULTS We received 414 responses (response rate 83%). Twenty-eight percent of physicians strongly agreed that their main responsibility was to the individual patient rather than to society (strong sense of responsibility to individual patients). Physicians with a strong sense of responsibility to individual patients were older (43% of physicians older than 50 years reported a strong sense of responsibility to individual patients, compared with 26% of physicians aged 36 to 50 years, and 21% of physicians younger than 35 years, \( P = .009 \)) and tended to practice in network- rather than staff-model MCOs (33% of physicians in network-model MCOs reported a strong sense of responsibility to individual patients compared with 24% in staff-model MCOs, \( P = .077 \)). Scores on a scale measuring egalitarian conceptions of distributive justice within the health care system were similar for physicians regardless of whether they reported a strong sense of responsibility to individual patients. When we controlled for physician and practice characteristics, physicians with a strong sense of responsibility to individual patients and physicians with higher scores on an egalitarian scale were more likely to be very satisfied overall with their practices (adjusted odds ratio [AOR] = 2.23, 95% confidence interval [CI], 1.11-4.49, and AOR = 1.18, 95% CI, 1.09-1.29, respectively).

CONCLUSIONS Physicians with a strong sense of responsibility to individual patients are older and less likely to practice in staff-model MCOs. Stronger commitment to an egalitarian health care system and a strong sense of responsibility to individual patients are independently associated with greater practice satisfaction among physicians. The impact of these values on patient care should be a priority for future research and the subject of professional education and debate.

INTRODUCTION

The tension between physicians’ obligations to individual patients and their obligations to society has perhaps never been greater or more widely debated. As health care costs have dramatically increased, physicians have been called upon to control spending by withholding marginally beneficial therapy from patients. It is argued that escalating costs result in higher insurance premiums, which in turn result in fewer people having access to health care. Although physicians may
have always adopted some societal responsibility (for example, in patient assessment for disability benefits or competence), physicians are now urged to take responsibility for societal interests in improving access to health care. By doing so, however, physicians may experience ethical conflict with respect to where their primary responsibility lies. To avoid this conflict, many have argued that physicians ought be responsible only to individual patients. At the extreme of this view, physicians have been found to deceive third party payers or manipulate reimbursement rules to secure payment for necessary patient care. At the extreme of this view, physicians have been found to deceive third party payers or manipulate reimbursement rules to secure payment for necessary patient care.23-25

The argument about whether physicians ought to withhold marginally beneficial services from some persons so that others have access to health care is fundamentally a debate about distributive justice. Theories of distributive justice describe methods to allocate goods in limited supply relative to demand, and there is considerable disagreement about how goods ought to be distributed. The United States is engaged in such a debate over allocation of health care resources, and there is discussion about whether our society ought to distribute health care equally (often termed an “egalitarian distribution of resources”), or at least provide all persons with basic health care.

We designed this study to explore the extent to which physicians have a sense of responsibility to individual patients and an egalitarian conception of distributive justice within the health care system, to determine the relations between determinants of these values, and to examine the possible associations between these values and professional satisfaction.

METHODS

Study Design and Population

We analyzed a cross-sectional survey of primary care physicians affiliated with 1 of 11 different managed care organizations (MCOs). The MCOs were chosen for their willingness to participate in 1 of 4 separate studies collectively known as the Quality Improvement for Depression (QID) program, which was designed to evaluate the effectiveness of quality improvement strategies for the treatment of major depression in primary care. Each of the 4 studies recruited organizations with diversity in either geographic location, organizational structure, or patient ethnicity. The MCOs in the study operated as either network- or staff-model organizations. Staff-model MCOs were defined as practices with prospective budgeting in which most clinicians worked exclusively for a single integrated health care system. Network-model MCOs included solo or small-group practices linked through some kind of practice network, all of which negotiate contracts with several managed care plans.

The final sample of 500 eligible clinicians was drawn from 80 different outpatient sites in 11 different MCOs across the United States. Eligible clinicians in each study identified themselves as internists, family physicians, physician assistants, or nurse practitioners and spent at least 50% of their time providing primary care in one of the practices from a participating MCO. A total of 414 separate clinicians (response rate 83%) completed the questionnaire. In this study, we exclude the 42 nonphysician clinicians and focus on the 372 physicians.

Survey Administration

Confidential questionnaires that took approximately 20 minutes to complete were mailed twice to all participating clinicians in 1996. Nonrespondents were telephoned and encouraged to return the questionnaire. Depending on the study, some clinicians were rewarded with a small gift or monetary incentive. Further details on the design and administration of the study are published elsewhere.

Survey Content

The clinician questionnaire elicited demographic characteristics (age, sex, race), background and training (specialty, years in practice), professional practices (number of hours per week in direct patient care), attitudes and practices toward the treatment of depression, professional values, and practice satisfaction. The items measuring professional values were separated from the items measuring satisfaction by several pages in the questionnaire.

For this study, we focused on 2 basic professional values—sense of responsibility to individual patients and egalitarian beliefs about distributive justice within the health care system—and on the relationships of these values to physician satisfaction. Items measuring the 2 professional values were based on previous work and were further developed with input from 2 focus groups of community physicians. In the questionnaire, 1 question pertained to physicians’ sense of responsibility, and 3 items pertained to their beliefs about distributive justice in health care. We asked respondents to indicate their level of agreement (from strongly agree to strongly disagree) with the statements listed in Table 1. We also asked respondents to indicate their level of satisfaction (from very satisfied to very unsatisfied) with the following aspects of their practice: quality of care they provided to patients, ability to serve the needs of the enrollees, professional autonomy, compensation, and overall practice.

Analysis

First, we used descriptive statistics to show the distribution of responses to the 4 items measuring physicians’ professional values. We then dichotomized the
responses to the item measuring sense of responsibility to individual patients to compare “strongly agree” (strong sense of responsibility to individual patients) with all other responses. We used $\chi^2$ tests to evaluate differences in MCO type, age, sex, race, specialty, geographic region, and number of hours per week in direct patient care between physicians with and without a strong sense of responsibility to individual patients. We then used logistic regression to examine the independent effects of MCO type and physician age on the sense of responsibility to individual patients while controlling for other potential confounders.

To summarize egalitarian beliefs about distributive justice within the health care system, we developed an egalitarian scale that represents the sum of responses to the 3 distributive justice items (with the second item reversed), ranging from 3 (lowest commitment to an egalitarian conception of justice in health care) to 15 (highest commitment). Thus, a score of 9 on the egalitarian scale is the equivalent of responding “neither agree nor disagree” on all 3 items. The reliability coefficient for this 3-item egalitarian scale was $\alpha = .60$, and the scale had a normal distribution based on the Shapiro-Wilk test ($z = -0.859, P = .80$). We used $t$ tests and analysis of variance to evaluate differences in egalitarian scores by MCO type, age, sex, race, specialty, geographic region, and number of hours per week spent in direct patient care. We then used linear regression to examine the independent effects of these variables on egalitarian scores.

Next, we sought to determine whether having a strong sense of responsibility to individual patients and strong egalitarian beliefs is related to physician satisfaction. We dichotomized each of the practice satisfaction measures between “very satisfied” and all other responses. We used $\chi^2$ tests to compare the percentage of “very satisfied” physicians with or without a strong sense of responsibility to individual patients on each specific satisfaction measure and on an overall physician practice satisfaction item. We then used logistic regression to assess the independent effects of having a strong sense of responsibility to individual patients and higher egalitarian scores on overall physician practice satisfaction while adjusting for potential confounders.

We also performed several subsidiary analyses. We explored the effect of choosing a different cutoff by repeating all analyses after redefining the “sense of responsibility to individual patients” to include all “strongly agree” and “agree” categories. We also tested for a potential interaction between MCO type and a strong sense of responsibility to patients on practice satisfaction was the same in network- and staff-model MCOs. All data were analyzed using Stata Version 6.0, and all analyses were adjusted for the clustering of physicians within managed care organizations.

### RESULTS

#### Characteristics of Physician Sample

Most of the 372 physicians were male (67%) and white (68%), with a mean age of 43 years (range 26 to 76 years). Almost one half (48%) of respondents were general internists, 39% were family physicians, and 13% were still in training. One half of the physicians (51%) were affiliated with a staff-model MCO and one half (49%) were affiliated with a network-model MCO. The distribution of responses to the professional values items are shown in Table 1. There were no significant differences in the mean egalitarian scores of physicians with or without a strong sense of responsibility to individual patients.

#### Characteristics of Physicians With A Strong Sense of Responsibility to Individual Patients

Physicians reporting a strong sense of responsibility to individual patients were older and tended to practice in network-model compared with staff-model MCOs.

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**Table 1. Distribution of Percentage of Physician Responses to Professional Values Items**

| Item                                                                 | Strongly Agree | Agree | Neutral | Disagree | Strongly Disagree |
|----------------------------------------------------------------------|----------------|-------|---------|----------|-------------------|
| Sense of responsibility to individual patients                       | 28             | 42    | 13      | 13       | 4                 |
| The physician’s main responsibility is to each individual patient    |                |       |         |          |                   |
| rather than to society                                               |                |       |         |          |                   |
| Egalitarian beliefs about distributive justice                       | 18             | 35    | 13      | 25       | 9                 |
| It is the responsibility of society to provide everyone with the     |                |       |         |          |                   |
| best available health care                                           |                |       |         |          |                   |
| Society should allow patients who are willing to pay more to purchase| 25             | 38    | 20      | 8        | 8                 |
| more expensive treatments                                           |                |       |         |          |                   |
| It is unfair, in principle, for some people to have different health | 18             | 29    | 20      | 23       | 9                 |
| care than others for the same problems                               |                |       |         |          |                   |

Note: Percentages may not add to 100% because of rounding.
There were no significant differences in sex, race, specialty, geographic region, or hours in direct patient care. After adjustment for number of hours per week in direct patient care, geographic region, and clustering of physicians within MCOs, physicians from staff-model MCOs and younger physicians had significantly lower odds of reporting a strong sense of responsibility to individual patients (Table 3). The intraclass correlation coefficient for the sense of responsibility to individual patients at the organization level was low (0.03, 95% CI, 0.00-0.07). Additional adjustment for physician sex, race, or specialty did not substantively change the results.

**Characteristics of Physicians With Stronger Egalitarian Beliefs**

The mean egalitarian scores associated with each physician demographic and practice characteristic are also presented in Table 2. Female physicians and nonwhite physicians had significantly higher egalitarian scores than male and white physicians, respectively. Both sex and race remained independently associated with egalitarian score after adjustment in linear regression analyses. The intraclass correlation coefficient for the egalitarian score at the organization level was low (0.03, 95% CI, 0.00-0.07). There were no significant associations between egalitarian scores and physician age, specialty, MCO type, geographic region, or number of hours per week in direct patient care.

**Relations Between Professional Values and Satisfaction**

Without adjustment for physician and practice characteristics, physicians with a strong sense of responsibility to individual patients were significantly more likely to report being very satisfied with the quality of care they provided and with their ability to serve the needs of the enrollees (Table 4). There were no significant associations between sense of responsibility to individual patients and physician satisfaction with their professional autonomy, with their compensation, or with their overall practice.
A different pattern emerged for the relation between professional satisfaction and having an egalitarian conception of distributive justice in health care (Table 4). Whereas there were no significant associations between egalitarian beliefs and satisfaction with quality of care, ability to serve enrollee needs, or professional autonomy, there was a significant association between strong egalitarian beliefs and satisfaction with compensation and with overall practice. After adjustment for physician age, sex, race, number of hours per week in direct patient care, geographic region, and clustering of physicians within MCOs (Table 5), physicians reporting a strong sense of responsibility to individual patients, those with higher egalitarian scores, and those in network-model MCOs were significantly more satisfied with their overall practice.

When we redefined responses to the responsibility to individual patients to include all “strongly agree” and “agree” responses, physician age and MCO type remained significantly associated with sense of responsibility, but we found no association with physician satisfaction, suggesting that higher physician satisfaction may be related only to strong sense of responsibility to individual patients. We did not find an interaction between MCO type and sense of responsibility to individual patients on physician satisfaction.

**DISCUSSION**

Our study is the first to describe physicians’ attitudes toward their responsibility to individual patients (vs society) and their egalitarian beliefs about health care. Although physicians generally agreed that their main responsibility was to each individual patient, we found that less than one third of PCPs strongly agreed and almost one fifth either disagreed or strongly disagreed. Furthermore, although one might expect physicians’ strong sense of responsibility to individual patients to be negatively correlated with strong egalitarian beliefs about the health care system, these values appear empirically to be independent. Finally, we found that both a strong sense of responsibility to individual patients and strong egalitarian beliefs about the health care system are associated with greater professional satisfaction among physicians. Each of these findings deserves separate consideration.

In our study, physicians with a strong sense of responsibility to individual patients did not have significantly lower levels of commitment to strong egalitarian beliefs than physicians without a strong sense of responsibility. This finding may seem surprising, considering that undivided commitment...
These data suggest that physicians may not view strong responsibility to patients as opposed to egalitarian beliefs about distributive justice within health care. Responsibility to patients might be thought of as the responsibility of physicians, whereas a just distribution of resources might be thought of as the responsibility of society, which is reflected in the wording of the items. It may be that many physicians see both patient advocacy and just distribution of resources as morally desirable, although many have argued that these views are contradictory or unrealistic.1-5

Our study also found that physicians with a strong sense of responsibility to individual patients and physicians with stronger commitment to an egalitarian distribution of health care were more satisfied with their practices overall. This finding was true in both staff- and network-model MCOs. These results add to our understanding of how physicians' values relate to their professional satisfaction and are important for those concerned with physician burnout and turnover. Recent studies have identified relationships with patients to be an important dimension of physician professional satisfaction.29-30 Previous work has shown that physicians who rate benevolence as the guiding principle in their lives reported higher career satisfaction,31 and that physicians who are given financial incentives to limit patient care are less satisfied with their careers.32 These data together suggest either that depth of moral commitment may play a role in determining physician satisfaction, or that physicians who are very satisfied with their work are better able to have such commitments, whether it is the belief in a strong social mission or caring deeply about the individual patient. Alternatively, there could be unmeasured confounding factors (such as personality or background) that account for both erosion of professional satisfaction and these different values. These issues are important to attend to more systematically in research and professional debate.

We also found that physicians with a strong sense of responsibility to individual patients are more satisfied with the quality of care they are able to give to patients and with their ability to serve the needs of the enrollees. Further research is needed to determine whether physicians with a strong sense of responsibility to individual patients actually provide higher quality or more costly care or have higher patient satisfaction ratings.

Finally, we found that physicians in staff-model MCOs were less likely to report a strong sense of responsibility to individual patients than physicians in network-model MCOs. Several hypotheses may be put forth to explain this finding. One explanation might be that physicians choose a type of practice consistent with their underlying values. Physicians who choose to work in staff-model MCOs may be more accepting of the managed care approach, whereas those who choose financially independent group practices may be more individually oriented in their values. Alternatively, physicians' values may be influenced by their practice environment. If so, staff-model MCOs may be more effective at indoctrinating physicians to adopt a population-based ethic. Physicians in staff-model MCOs may be more likely to view resources as finite and therefore believe that money saved in caring for one patient will be applied to other patients. Alternatively, if physicians in staff-model MCOs are under greater pressure to control costs, then perhaps they adopt an ethic that allows them to do so without experiencing cognitive dissonance. Finally, there could be unobserved confounding factors that account for both these different values and type of managed care practice.

Our results possess several limitations. First, the items used in this questionnaire may need refinement. For example, some who disagreed that it is the responsibility of society to provide everyone with the best available health care could argue that society should provide everyone with the same basic level of health care, not the best health care. The reliability coefficient of the egalitarian scale was modest, although reliability coefficients of this magnitude are typical for scales addressing physician attitudes with only 3 or 4 items.33 Also, there was only 1 item used to measure the sense of responsibility to individual patients, although the wording of the item does seem to capture the essence of the concept. Further studies should focus on improving measurement of these important physician values.

Second, we are unable to assess cohort effects or causality with this cross-sectional data, yet we believe that our results highlight previously unexplored associations that should be further investigated. Third, these data are limited to a sample of primary care physicians from selected MCOs who may have different values than other primary care physicians or than subspecialists or surgeons. Although staff-model MCOs are becoming less common (thus limiting the generalizability of the findings related to MCO type), physicians affiliated with network-model MCOs are situated in a wide variety of practice settings and are representative of primary care physicians. As primary care physicians in MCOs have been given the role of gatekeepers,34 they seem to be a particularly important group to study. Fourth, these surveys were conducted in 1996, and physician opinions on these issues may have since changed. Lastly, although physicians with a strong sense of responsibility to individual patients work longer hours and were more likely to report satisfaction with the quality of care they give, we were not able to assess the quality of patient care directly.
Exploration of physicians' core values is critical to deepening our understanding of the specific attitudes and behaviors that result from these underlying commitments. Our study has explored 2 important professional values, shown that physicians' values can be associated with their managed care environment, and shown that both having a strong sense of responsibility to individual patients and strong commitment to an egalitarian conception of justice are related to physicians' professional satisfaction. Further research is needed to understand fully the reasons for and consequences of these findings.

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