GROUP COMPLETION IN THE $K$-THEORY AND
GROTHENDIECK–WITT THEORY OF PROTO-EXACT
CATEGORIES

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Abstract. We study the algebraic $K$-theory and Grothendieck–Witt theory of proto-exact categories, with a particular focus on classes of examples of $F_1$-linear nature. Our main results are analogues of theorems of Quillen and Schlichting, relating the $K$-theory or Grothendieck–Witt theories of proto-exact categories defined using the (hermitian) $Q$-construction and group completion.

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Introduction

The algebraic $K$-theory of an exact category can be defined in essentially two different ways. The first way, which includes Quillen’s $Q$-construction [14] and Waldhausen’s $S_*$-construction [21], uses the exact structure of the category while the second way, which includes group completion and the $+$-construction [10], uses only the underlying additive structure of the category. Each approach has its own strengths, both computational and theoretical. A celebrated theorem of Quillen [10], henceforth referred to as the Group Completion Theorem, asserts that the $K$-theories defined by the $Q$- or $S_*$-constructions and by group completion agree for split exact categories, that is, for those exact categories in which all short exact sequences are split. A closely related result, Quillen’s ‘$Q = +$’ Theorem, asserts the analogous statement for the $+$-construction under additional hypotheses on the category [10]. Quillen’s Group Completion Theorem was generalized to Grothendieck–Witt theory, also called hermitian $K$-theory, in Schlichting’s seminal work [15], [17], [18].

In this paper, we investigate analogues of Quillen’s Group Completion Theorem in non-additive contexts. To do so, in place of exact categories we study proto-exact categories with an exact direct sum. This is a minimal set-up in which the Group Completion Theorem can be formulated. A proto-exact category, as introduced by Dyckerhoff and Kapranov [7], is a category $A$ together with two distinguished

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classes of morphisms, called inflations and deflations, satisfying axioms which allow for a direct adaptation of the \(Q\)-construction and \(S\)-construction. An exact direct sum is a symmetric monoidal structure \(\oplus\) on \(\mathcal{A}\) which is compatible with the proto-exact structure but fulfills only a subset of the axioms of a categorical product or coproduct.

We focus our attention on uniquely split proto-exact categories, that is, proto-exact categories with exact direct sum in which each short exact sequence splits in a unique way. Our main results are comparison theorems for \(K\)-theory and Grothendieck–Witt spaces of such categories defined using the \(Q\)-construction and group completion. For \(K\)-theory, our result is a direct analogue of Quillen’s Group Completion Theorem. The situation is more subtle for Grothendieck–Witt theory and the results differ substantially from the exact case.

The main motivation for studying uniquely split proto-exact categories is their central role in \(\mathbb{F}_1\)-geometry \([5], [4], [2]\). A characteristic feature of \(\mathbb{F}_1\)-geometry is the lack of additivity in its natural constructions. For example, let \(\mathcal{A}\)-\text{Mod} be the category of modules over a commutative pointed monoid \(\mathcal{A}\) or, in geometric terms, the category of quasi-coherent sheaves over the affine monoid scheme \(\text{Spec}(\mathcal{A})\). The category \(\mathcal{A}\)-\text{Mod} does not have an additive structure and so, in particular, has no exact structure. However, \(\mathcal{A}\)-\text{Mod} can be given the structure of a proto-exact category with exact direct sum given by disjoint union. This notion is closely related to other partially additive structures, such as quasi-exact \([5]\) or belian categories \([6]\), but has the distinct advantage of being self-dual and so well-adapted to Grothendieck–Witt theory.

A second characteristic feature of \(\mathbb{F}_1\)-geometry is the distinguished role played by generalized monomial matrices, that is, matrices with at most one non-zero entry in each row and column. In our categorical context, this is reflected in the fact that exact sequences in many proto-exact categories of interest not only split, but do so in a unique way. For example, the category \(\mathcal{A}\)-\text{proj} of finitely generated projective \(\mathcal{A}\)-modules is uniquely split proto-exact, while \(\mathcal{A}\)-\text{Mod} is in general non-split.

After establishing required categorical background in Section 1, we turn in Section 2 to \(K\)-theory. Let \(\mathcal{A}\) be a proto-exact category with exact direct sum \(\oplus\). Denote by \(\mathcal{K}(\mathcal{A})\) and \(\mathcal{K}^{\oplus}(\mathcal{A})\) the \(K\)-theory spaces of \(\mathcal{A}\) defined via the \(Q\)-construction and group completion, respectively. For group completion, we regard \(\mathcal{A}\) as a symmetric monoidal category by forgetting its proto-exact structure. The homotopy groups of these spaces are the corresponding algebraic \(K\)-theory groups \(K_i(\mathcal{A})\) and \(K_i^{\oplus}(\mathcal{A})\). In the setting of split exact categories, Quillen’s Group Completion Theorem \([10]\) states that there is a homotopy equivalence \(\mathcal{K}(\mathcal{A}) \simeq \mathcal{K}^{\oplus}(\mathcal{A})\). The first main result of this paper is a Group Completion Theorem for uniquely split proto-exact categories.

**Theorem A** (Theorem 2.2). Let \(\mathcal{A}\) be a uniquely split proto-exact category. Then there is a homotopy equivalence \(\mathcal{K}(\mathcal{A}) \simeq \mathcal{K}^{\oplus}(\mathcal{A})\).

The proof of Theorem 2.2 is a modification of the original argument \([10]\), simplified in view of the uniquely split assumption. Many of the techniques of the proof are used in the subsequent considerations for Grothendieck–Witt theory.

In Section 3 we turn to Grothendieck–Witt theory, where the situation is more delicate. Suppose that \(\mathcal{A}\) has a compatible duality structure, that is, an exact functor \(P : \mathcal{A}^{\text{op}} \to \mathcal{A}\) together with coherence data exhibiting it as an involution. The Grothendieck–Witt space \(GW(\mathcal{A})\) is the homotopy fibre of the forgetful functor
BQ_h(A) → BQ(A) over the zero object 0, where Q_h(A) is the proto-exact hermitian Q-construction of A [1], [20]. Again, by forgetting the proto-exact structure, one can also form a direct sum Grothendieck–Witt space GW^⊕(A) using group completion. Under the assumption that A is split exact, the Group Completion Theorem for Grothendieck–Witt theory was proved only recently, giving a homotopy equivalence between GW(A) and GW^⊕(A) [15], [17], [18]. The proof is considerably more involved than the corresponding result for K-theory.

In the setting of Grothendieck–Witt theory of uniquely split proto-exact categories, we find that the Group Completion Theorem fails even in the basic case of F_1-vector spaces (cf. Example 3.15). However, it does hold for hyperbolic Grothendieck–Witt theory of uniquely split proto-exact categories. To state this, let Q_H(A) ⊂ Q_h(A) be the full subcategory on hyperbolic symmetric forms and write GW_H(A) for the homotopy fibre of BQ_H(A) → BQ(A) over 0. Let also GW^⊕_H(A) be the space obtained by applying group completion to the monoidal groupoid of hyperbolic symmetric forms in A.

**Theorem B** (Theorem 3.2). Let A be a uniquely split proto-exact category with duality. Then there is a weak homotopy equivalence GW_H(A) ≃ GW^⊕_H(A).

We use Theorem 3.2 to compute the higher Grothendieck–Witt groups of A in terms of the symmetric monoidal groupoid of hyperbolic forms. Namely, we prove that there are abelian group isomorphisms

GW_i(A) ≃ GW^⊕_H_i(A), \quad i ≥ 1,

cf. Corollary 3.9. In concrete examples, GW^⊕_H(A) and GW^⊕(A) can often be described using the +-construction, thereby allowing for computations. Such examples are discussed in the companion paper [8].

In general, the description of the homotopy type of the full space GW(A) is more complicated than that of GW_H(A) and GW^⊕(A). Under the additional assumption that A is combinatorial, a hypothesis that is typically satisfied in F_1-linear contexts, we are able to describe GW(A). Here combinatorial means that any subobject U of an exact direct sum X ⊕ Y splits into objects U ∩ X ⊂ X and U ∩ Y ⊂ Y.

**Theorem C** (Theorem 3.11). Let A be a uniquely split combinatorial noetherian proto-exact category with duality. Then there is a weak homotopy equivalence

GW(A) ≃ \bigsqcup_{w ∈ W_0(A)} BG_{S_w} × GW_H(A),

where S_w is an isotropically simple representative of the Witt class w ∈ W_0(A) and G_{S_w} is the self-isometry group of S_w.

See Example 3.15 for a description of the spaces GW_H(Vect_{F_1}), GW^⊕(Vect_{F_1}) and GW(Vect_{F_1}).

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1. **Proto-exact categories with duality**

In this section we establish the categorical background required for the remainder of the paper.
1.1. Proto-exact categories. Proto-exact categories, introduced by Dyckerhoff and Kapranov [7, §2.4], are one of many possible non-additive generalizations of Quillen’s exact categories [14, §2]. The axioms of a proto-exact category, which we recall below, are manifestly self-dual and so are particularly well-adapted to Grothendieck–Witt theory.

A proto-exact category is a category $\mathbb{A}$ with a zero object $0$ together with two distinguished classes of morphisms, $\mathcal{I}$ and $\mathcal{D}$, called inflations (or admissible monomorphisms) and deflations (or admissible epimorphisms) and denoted $\hookrightarrow$ and $\rightarrow$, respectively, such that the following axioms hold:

(i) Any morphism $0 \rightarrow U$ is in $\mathcal{I}$ and any morphism $U \rightarrow 0$ is in $\mathcal{D}$.

(ii) The class $\mathcal{I}$ is closed under composition and contains all isomorphisms, and similarly for $\mathcal{D}$.

(iii) A commutative square of the form

$$
\begin{array}{ccc}
U & \longrightarrow & V \\
\downarrow & & \downarrow \\
W & \longleftarrow & X
\end{array}
$$

is cartesian if and only if it is cocartesian.

(iv) A diagram of the form $W \rightarrow X \leftarrow V$ can be completed to a bicartesian square of the form (1).

(v) A diagram of the form $W \leftarrow U \rightarrow V$ can be completed to a bicartesian square of the form (1).

A bicartesian square (1) with $W = 0$ is called a conflation and, for ease of notation, is denoted $U \rightarrow V \rightarrow X$. Being kernels, inflations are necessarily monomorphisms. Similarly, deflations are epimorphisms.

If $\mathbb{A}$ is a proto-exact category, then the opposite category $\mathbb{A}^{\text{op}}$ has a natural proto-exact structure in which the inflations (resp. deflations) are the deflations (resp. inflations) in $\mathbb{A}$. The cartesian product of proto-exact categories has a natural proto-exact structure.

A functor between proto-exact categories is called proto-exact if it preserves zero objects and bicartesian squares of the form (1). In particular, a proto-exact functor sends conflations to conflations.

Definition. An exact direct sum on a proto-exact category $\mathbb{A}$ is a symmetric monoidal structure $\oplus$ on $\mathbb{A}$ subject to the following axioms:

(DS1) The monoidal unit of $\mathbb{A}$ is $0$.

(DS2) The bifunctor $\oplus : \mathbb{A} \times \mathbb{A} \rightarrow \mathbb{A}$ is proto-exact.

Given objects $U, V \in \mathbb{A}$, set $i_U : U \overset{\text{id}_U \oplus 0 \rightarrow V}{\longrightarrow} U \oplus V$ and $\pi_U : U \oplus V \overset{\text{id}_U \oplus 0 \rightarrow \text{id}_V}{\longrightarrow} U$, where we have used (DS1) to identify $U \oplus 0 \simeq U$ and $0 \oplus V \simeq V$. Axiom (DS2) implies that $i_U$ is an inflation and $\pi_U$ is a deflation.

(DS3) The maps

$$\text{Hom}_\mathbb{A}(U \oplus V, W) \rightarrow \text{Hom}_\mathbb{A}(U, W) \times \text{Hom}_\mathbb{A}(V, W), \quad f \mapsto (f \circ i_U, f \circ i_V)$$

and

$$\text{Hom}_\mathbb{A}(W, U \oplus V) \rightarrow \text{Hom}_\mathbb{A}(W, U) \times \text{Hom}_\mathbb{A}(W, V), \quad f \mapsto (\pi_U \circ f, \pi_V \circ f)$$
are injections for all $U, V, W \in \mathcal{A}$.

(DS4) Let $U \xrightarrow{i} X \xrightarrow{\pi} V$ be a conflation. For each section $s$ of $\pi$, there exists a unique isomorphism $\phi$ which makes the following diagram commute:

$$
\begin{array}{c}
\xymatrix{
X & \ar[l]_\phi \ar[r]^{s} & U \oplus V \ar[l]_{i_U} \ar[r]^{i_V} & V.
}
\end{array}
$$

For each retraction $r$ of $i$, there exists a unique isomorphism $\psi$ which makes the following diagram commute:

$$
\begin{array}{c}
\xymatrix{
X & \ar[l]_\psi \ar[r]^{r} & U \oplus V \ar[l]_{\pi_U} \ar[r]_{\pi_V} & V.
}
\end{array}
$$

Remarks 1.1. (i) Motivated by the theory of Hall algebras, the notion of a proto-exact category with exact direct sum was introduced in [24, §1.1], where only axioms (DS1) and (DS2) were imposed. As all categories studied in [24] also satisfy axioms (DS3) and (DS4), we do not introduce new terminology.

(ii) Axiom (DS3) allows for the use of matrix notation to describe morphisms between finite direct sums. Namely, associated to a morphism $f : \bigoplus_i X_i \to \bigoplus_j Y_j$ is the matrix $(\pi_{Y_j} \circ f \circ i_{X_i})_{i,j}$ and this matrix uniquely determines $f$. However, not every matrix of morphisms in $\mathcal{A}$ arises from a morphism in $\mathcal{A}$. To construct a morphism between direct sums, one can use the symmetric monoidal structure, which allows to construct morphisms from generalized monomial matrices whose entries are morphisms in $\mathcal{A}$, or axiom (DS4).

(iii) Closely related to proto-exact categories with exact direct sum are Deitmar’s quasi-exact categories [5, §3.2] and belian categories [6]. A key difference between proto-exact categories with exact direct sum and quasi-exact or belian categories is that the exact direct sum $\bigoplus$ is neither required to be a product nor a coproduct. For this reason, the opposite of a quasi-exact category need not be quasi-exact, and similarly for belian categories, whereas if $\mathcal{A}$ is proto-exact with exact direct sum $\bigoplus$, then $\mathcal{A}^{\text{op}}$ is proto-exact with exact direct sum $\bigoplus^{\text{op}}$. Axiom (DS4) can be seen as a weak replacement of the universal property of a product and coproduct.

A functor between proto-exact categories with exact direct sum is called exact if it is proto-exact and $\bigoplus$-monoidal. For ease of notation, we do not introduce notation for the $\bigoplus$-monoidal data of an exact functor.

In the remainder of this section, we prove some basic results about proto-exact categories with exact direct sum.

Let $\mathcal{A}$ be a proto-exact category with exact direct sum. A commutative diagram

$$
\begin{array}{c}
\xymatrix{
U \ar[r]^{i} & X \ar[r]^{\pi} & V \\
U \ar[r]_{i_U} & U \oplus V \ar[r]_{\pi_V} & V \\
& \ar@{<->}[u]_{\phi}
}
\end{array}
$$


of conflations with \( \phi \) an isomorphism is called a splitting of \( U \xrightarrow{i} X \xrightarrow{\pi} V \).

**Lemma 1.2.** Let \( \mathcal{A} \) be a proto-exact category with exact direct sum. Then there is a bijection between the set of splittings of a conflation \( U \xrightarrow{i} X \xrightarrow{\pi} V \), the set of sections of \( \pi \) and the set of retractions of \( i \).

**Proof.** Given a splitting \( \phi \), the map \( \phi \circ i_V \) is a section of \( \pi \), as follows from the definitions of \( \pi_V \) and \( i_V \). Given a section \( s \) of \( \pi \), let \( \phi : U \oplus V \to X \) be the isomorphism whose existence is guaranteed by axiom (DS4). Since \( \pi \circ \phi \circ i_U = 0 \) and \( \pi \circ \phi \circ i_V = \pi \circ s = \text{id}_V \), axiom (DS3) yields \( \pi \circ \phi = \pi_V \). In particular, \( \phi \) is a splitting. That these constructions are mutually inverse follows from the uniqueness in axiom (DS4).

The statements involving retractions can be proven similarly. \( \Box \)

**Lemma 1.3.** Let \( \phi_i : U_i \to V_i, i = 1, 2 \), be morphisms in a proto-exact category with exact direct sum.

(i) The square

\[
\begin{array}{ccc}
U_1 & \xrightarrow{i_U} & U_1 \oplus U_2 \\
\downarrow \phi_1 & & \downarrow \phi_1 \oplus \phi_2 \\
V_1 & \xrightarrow{i_V} & V_1 \oplus V_2
\end{array}
\]

commutes, as does the analogous square involving the deflations \( \pi_{i(-)} \).

(ii) The morphism \( \phi_1 \oplus \phi_2 \) is an isomorphism if and only if \( \phi_1 \) and \( \phi_2 \) are isomorphisms.

**Proof.** The square of the first statement is the outside of the diagram

\[
\begin{array}{ccc}
U_1 & \xrightarrow{i_U} & U_1 \oplus U_2 \\
\downarrow \phi_1 & & \downarrow \phi_1 \oplus \text{id}_U, i_U \oplus \phi_2 \\
V_1 & \xrightarrow{i_V} & V_1 \oplus U_2 \\
& = & \\
V_1 & \xrightarrow{i_V} & V_1 \oplus V_2
\end{array}
\]

The top and bottom squares commute by the definitions of the inflations \( i_{i(-)} \).

For the second statement, let \( \phi_1 \oplus \phi_2 \) be an isomorphism with inverse \( f \), so that \( \text{id}_{U_1 \oplus U_2} = f \circ (\phi_1 \oplus \phi_2) \) and \( \text{id}_{U_1 \oplus V_2} = (\phi_1 \oplus \phi_2) \circ f \). These equations, together with the first part of the lemma, give (using that \( \pi_U \circ i_{U_i} = \text{id}_{U_i} \))

\[
\text{id}_{U_i} = \pi_{U_i} \circ f \circ (\phi_1 \oplus \phi_2) \circ i_{U_i} = \pi_{U_i} \circ f \circ i_{V_i} \circ \phi_i
\]

and

\[
\text{id}_{V_i} = \pi_{V_i} \circ (\phi_1 \oplus \phi_2) \circ f \circ i_{V_i} = \phi_i \circ \pi_{U_i} \circ f \circ i_{V_i}.
\]

This shows that \( \phi_i \) is an isomorphism with inverse \( \pi_{U_i} \circ f \circ i_{V_i} \). The other direction is clear. \( \Box \)
Lemma 1.4. Let $\mathcal{A}$ be a proto-exact category with exact direct sum. For any inflation $j : U \to V$, the diagram

$$
\begin{array}{ccc}
U \oplus W & \xrightarrow{j \oplus \text{id}_W} & V \oplus W \\
\pi_U & & \pi_V \\
U & \xrightarrow{j} & V
\end{array}
$$

is bicartesian. In particular, there is an isomorphism $U \oplus W \simeq U \times_V (V \oplus W)$.

Proof. The diagram in question commutes by Lemma 1.3(i). By the axioms of a proto-exact category, it suffices to prove that the diagram is cocartesian. Consider a commutative diagram

$$
\begin{array}{ccc}
U \oplus W & \xrightarrow{j \oplus \text{id}_W} & V \oplus W \\
\pi_U & & \pi_V \\
U & \xrightarrow{j} & V \\
& & \downarrow T
\end{array}
$$

Since $r \circ (j \oplus \text{id}_W) = l \circ \pi_U$, we see that $0 = r \circ (j \oplus \text{id}_W) \circ i_W = r \circ i_W$. Hence, axiom (DS3) implies that $r$ is determined by $r \circ i_V$ through $r = r \circ i_V \circ \pi_V$. We claim that $u : V \xrightarrow{r \circ i_v} T$ exhibits the universal property of a cocartesian diagram. We have $u \circ \pi_V = r \circ i_V \circ \pi_V = r$ and

$$
\begin{align*}
\pi_U \circ j \circ i_W &= u \circ \pi_V \circ (j \oplus \text{id}_W) \\
&= r \circ i_V \circ \pi_V \circ (j \oplus \text{id}_W) \\
&= r \circ (j \oplus \text{id}_W) \\
&= l \circ \pi_U.
\end{align*}
$$

Since $\pi_U$ is an epimorphism, we conclude that $u \circ j = l$. \qed

Definition. A proto-exact category with exact direct sum is called split (resp. uniquely split) if every conflation admits a splitting (resp. unique splitting).

A non-zero exact category $\mathcal{A}$ is never uniquely split. Indeed, for a non-zero object $U \in \mathcal{A}$, the set of splittings of the split conflation $U \to U \oplus 2 \to U$ is a torsor for the additive group $\text{End}_\mathcal{A}(U)$. The uniquely split property is therefore only of interest for non-exact proto-exact categories.

Lemma 1.5. Let $\mathcal{A}$ be a uniquely split proto-exact category. Given a diagram

$$
\begin{array}{ccc}
U_1 & \xrightarrow{i_1} & E_1 & \xrightarrow{\pi_1} & V_1 \\
\downarrow f & & \downarrow h & & \downarrow g \\
U_2 & \xrightarrow{i_2} & E_2 & \xrightarrow{\pi_2} & V_2
\end{array}
$$

in $\mathcal{A}$ in which both rows are conflations, there exists a unique morphism $h : E_1 \to E_2$ which makes the diagram commute.
Proof. By the uniquely split assumption, we can uniquely extend the given diagram to a commutative diagram

$$\begin{array}{ccccccc}
U_1 & \hookrightarrow & U_1 \oplus V_1 & \mapright{\pi_1} & V_1 \\
\downarrow{\phi_1} & & \downarrow & & \\
U_1 & \mapright{i_1} & E_1 & \mapright{\pi_1} & V_1 \\
\downarrow{f} & & \downarrow{g} & & \\
U_2 & \mapright{i_2} & E_2 & \mapright{\pi_2} & V_2 \\
\downarrow{\phi_2} & & \downarrow & & \\
U_2 & \mapright{i_{12}} & U_2 \oplus V_2 & \mapright{\pi_2} & V_2 \\
\end{array}$$

for some isomorphisms $\phi_1$ and $\phi_2$. The reduces the problem to the case

$$\begin{array}{ccccccc}
U_1 & \mapright{i_{12}} & U_1 \oplus V_1 & \mapright{\pi_1} & V_1 \\
\downarrow{f} & & \downarrow{g} & & \\
U_2 & \mapright{i_{12}} & U_2 \oplus V_2 & \mapright{\pi_2} & V_2 \\
\end{array}$$

in which we can take $h = f \oplus g$. That $h$ is the unique admissible choice follows from axiom (DS3). \qed

In particular, it follows from Lemma 1.5 that for a diagram of conflations of the form

$$\begin{array}{ccccccc}
U & \mapright{i_1} & E_1 & \mapright{\pi_1} & V \\
\downarrow & & \downarrow & & \\
U & \mapright{i_2} & E_2 & \mapright{\pi_2} & V, \\
\end{array}$$

there exists a unique morphism $h : E_1 \to E_2$ making the diagram commute and that, moreover, that $h$ is an isomorphism.

Motivated by [9], we make the following definition. See also [24, §1.1].

Definition. A proto-exact category with exact direct sum is called combinatorial if, for each inflation $i : U \hookrightarrow X_1 \oplus X_2$, there exist inflations $i_k : U_k \hookrightarrow X_k$, $k = 1, 2$, and an isomorphism $f : U \to U_1 \oplus U_2$ such that $i = (i_1 \oplus i_2) \circ f$ and, dually, for each deflation $\pi : X_1 \oplus X_2 \twoheadrightarrow U$, there exist deflations $\pi_k : X_k \twoheadrightarrow U_k$, $k = 1, 2$, and an isomorphism $g : U_1 \oplus U_2 \to U$ such that $\pi = g \circ (\pi_1 \oplus \pi_2)$.

Given an inflation $i : U \hookrightarrow X_1 \oplus X_2$, we sometimes write $U \cap X_k$ for the object $U_k$, $k = 1, 2$.

Lemma 1.6. Let $A$ be uniquely split combinatorial proto-exact category. If $\phi : U \hookrightarrow X \oplus Y$ is an inflation such that $\pi_X \circ \phi$ is an inflation, then $\pi_Y \circ \phi = 0$. Dually, if $\phi : X \oplus Y \twoheadrightarrow U$ is a deflation such that $\phi \circ i_X$ is a deflation, then $\phi \circ i_Y = 0$. 

\textit{Proof.} The combinatorial assumption implies that there is a decomposition \( U \cong U_X \oplus U_Y \) under which \( \phi \) becomes a morphism\[
\phi_X \oplus \phi_Y : U_X \oplus U_Y \to X \oplus Y.
\]
Then we have \( \pi_X \circ \phi = \phi_X \circ \pi_{U_X} \). Since \( \pi_X \circ \phi \) is an inflation, the kernel \( U_Y \) of \( \pi_{U_X} : U_X \oplus U_Y \to U_X \) is trivial. It follows that \( \pi_Y \circ \phi = \phi_Y \circ \pi_{U_Y} = 0 \). The second statement can be proved in the same way. \( \square \)

\textbf{Example 1.7.} In our companion paper \cite{[8]}, we study proto-exact categories occurring in \( \mathbb{F}_1 \)-geometry. These categories come typically with an exact direct sum and are uniquely split and combinatorial. We discuss this in the simplest case of a \( \mathbb{F}_1 \)-linear category, which is the category \( \text{Vect}_{\mathbb{F}_1} \) of \( \mathbb{F}_1 \)-vector spaces''. Its objects are pointed sets, which come with a tautological action of the pointed monoid \( \mathbb{F}_1 = \{0, 1\} \). Its morphisms are base point preserving maps that are injective outside the fibre over the base point.

The direct sum \( N \oplus M = N \vee M \) of two pointed sets \( N \) and \( M \) is the wedge sum, that is, their disjoint union modulo the identification the base points. It comes with canonical inclusions and projections, the latter contracting the opposite summand to the base point. It is an easy exercise to verify that every conflation in \( \text{Vect}_{\mathbb{F}_1} \) is of the form\[
N \xrightarrow{i_N} M \oplus N \xrightarrow{\pi_M} M,
\]
so that \( \text{Vect}_{\mathbb{F}_1} \) is uniquely split. Similarly, it is easy to see that \( \text{Vect}_{\mathbb{F}_1} \) is combinatorial.

1.2. \textbf{Proto-exact categories with duality.} For a detailed introduction to categories with duality, the reader is referred to \cite{[16], §2}.

A category with duality is a triple \((A, P, \Theta)\) consisting of a category \( A \), a functor \( P : A^{\text{op}} \to A \) and a natural isomorphism \( \Theta : \text{id}_A \Rightarrow P \circ P^{\text{op}} \) which satisfies\[
P(\Theta_U) \circ \Theta_{P(U)} = \text{id}_{P(U)}, \quad U \in A.
\]
We often omit the pair \((P, \Theta)\) from the notation if it will not cause confusion. If \( A \) has a proto-exact structure and \( P \) is proto-exact, then we call this a proto-exact category with duality.

A (nondegenerate) symmetric form in \((A, P, \Theta)\) is a pair \((M, \psi_M)\) consisting of an object \( M \in A \) and an isomorphism \( \psi_M : M \to P(M) \) which satisfies \( P(\psi_M) \circ \Theta_M = \psi_M \). We often write \( M \) or \( \psi_M \) for \((M, \psi_M)\). An isometry \( \phi : (M, \psi_M) \to (N, \psi_N) \) is an isomorphism \( \phi : M \to N \) which satisfies \( \psi_M = P(\phi) \circ \psi_N \circ \phi \). The groupoid of symmetric forms and their isometries is denoted \( S_h \) and called the hermitian groupoid of \( A \).

Let \((M, \psi_M)\) be a symmetric form in a proto-exact category with duality. An inflation \( i : U \to M \) is called isotropic if \( P(i) \circ \psi_M \circ i \) is zero and the induced monomorphism \( U \to U^\perp := \ker(P(i) \circ \psi_M) \) is an inflation. In this case, \( M//U := U^\perp//U \), the isotropic reduction of \( M \) by \( U \), inherits a symmetric morphism \( \psi_{M//U} : M//U \to P(M//U) \).

We say that \((A, P, \Theta)\) satisfies the Reduction Assumption (as introduced in \cite{[23], §3.4}) if for every symmetric form \((M, \psi_M)\) and every isotropic inflation \( i : U \to M \), the symmetric morphism \( \psi_{M//U} : M//U \to P(M//U) \) is an isomorphism. Exact categories satisfy the Reduction Assumption \cite{[16], Lemma 2.6}, as do many proto-exact categories \cite{[24], [8]}. A symmetric form \((M, \psi_M)\) is called metabolic if it has
a Lagrangian, that is, an isotropic subobject \( U \hookrightarrow M \) with \( U = U^\perp \), and is called isotropically simple if it has no non-zero isotropic subobjects.

In the following, we assume that \( \mathcal{A} \) has an exact direct sum, that \( P \) is exact and that \( \Theta \) is a \( \oplus \)-monoidal natural isomorphism. In this case, we have \( P(i_U) = \pi_{P(U)} \) and \( P(\pi_U) = i_{P(U)} \) for each \( U \in \mathcal{A} \). With these assumptions, \( \oplus \) defines an orthogonal direct sum of symmetric forms by

\[
(M, \psi_M) \oplus (N, \psi_N) = (M \oplus N, \psi_M \oplus \psi_N).
\]

This gives \( S_h \) the structure of a symmetric monoidal groupoid. Moreover, \( \oplus \) allows to define hyperbolic symmetric forms. Namely, given an object \( U \in \mathcal{A} \), the pair

\[
\left( H(U) = U \oplus P(U), \psi_{H(U)} = \begin{pmatrix} 0 & \text{id}_{P(U)} \\ \Theta_U & 0 \end{pmatrix} \right)
\]

is a symmetric form in \( \mathcal{A} \), called the hyperbolic form on \( U \). The assignment \( U \mapsto H(U) \) extends to a functor \( H : S \to S_h \), where \( S \) is the maximal groupoid of \( \mathcal{A} \). A symmetric form is called hyperbolic if it is isometric to one of the form \( \psi_{H(U)} \).

We now prove an analogue of the fact that split metabolics in an exact category with duality in which “2 is invertible” are hyperbolic [12, Lemma 2.5(b)].

**Lemma 1.8.** A metabolic form in a uniquely split proto-exact category with duality is hyperbolic.

**Proof.** Let \( i : U \to (M, \psi_M) \) be a Lagrangian. We can extend \( i \) to a commutative diagram

\[
\begin{array}{ccc}
U & \xrightarrow{i} & M \\
\downarrow \Theta_U & & \downarrow \psi_M \\
P^2(U) & \xrightarrow{\psi_M \circ i \circ \Theta_U^{-1}} & P(M)
\end{array}
\]

\[
P^2(U) \xrightarrow{P(i) \circ \psi_M} P(U)
\]

Since \( \mathcal{A} \) is (uniquely) split, this diagram can be extended to the commutative diagram

\[
\begin{array}{ccc}
U & \xrightarrow{i_U} & U \oplus P(U) \\
\downarrow \Theta_U & & \downarrow \psi_M \\
P^2(U) & \xrightarrow{\psi_M \circ i \circ \Theta_U^{-1}} & P(M)
\end{array}
\]

\[
P^2(U) \xrightarrow{P(i) \circ \psi_M} P(U)
\]

for some isomorphism \( \phi \). Then \( P(\phi) \circ \psi_M \circ \phi \) and \( \psi_{H(U)} \) are endomorphisms of the split conflation on \( U \) and \( P(U) \) and so, by Lemma 1.5, are equal. Hence, \( \phi \) is an isometry \( \psi_{H(U)} \xrightarrow{\sim} \psi_M \).

\( \square \)

**Proposition 1.9.** Let \( (N, \psi_N) \) be a symmetric form in a uniquely split proto-exact category with duality and \( i : M \to N \) an inflation such that \( \psi_M := P(i) \circ \psi_N \circ i \) is a symmetric form on \( M \). Then there exists a symmetric form \( (M', \psi_M') \) and an isometry \( (N, \psi_N) \simeq (M, \psi_M) \oplus (M', \psi_M') \) which identifies \( i \) with \( i_M : M \to M \oplus M' \).
**Proof.** Set
\[ \pi := \psi_M^{-1} \circ P(i) \circ \psi_N : N \to M \]
and define \( M' \in A \) by the conflation \( M' \xrightarrow{j} N \xrightarrow{\pi} M \). Set \( \psi_{M'} = P(j) \circ \psi_N \circ j \).
Fix a splitting
\[
\begin{array}{ccc}
M' & \xrightarrow{j} & N \\
& \phi \downarrow & \downarrow \pi \\
M' \oplus M & \xrightarrow{\pi_M} & M
\end{array}
\]
and define a symmetric form on \( M' \oplus M \) by \( \psi = P(\phi) \circ \psi_N \circ \phi \). With these definitions, we have \( P(i_{M'}) \circ \psi \circ i_{M'} = \psi_{M'} \) and
\[ P(i_M) \circ \psi \circ i_M = P(\phi \circ i_M) \circ \psi_N \circ (\phi \circ i_M) = \psi_M. \]
To see the last equality, note that since \( \phi \circ i_M \) and \( i_M \) are sections of \( \pi \), uniqueness of splittings and Lemma 1.2 combine to give \( \phi \circ i_M = i \). We also have
\[ P(i_{M'}) \circ \psi \circ i_{M'} = P(\phi \circ i_M) \circ \psi_N \circ j = P(i) \circ \psi_N \circ j = 0 \]
and, dually, \( P(i_M) \circ \psi \circ i_M = 0 \). Using axiom (DS3), we conclude that \( \psi = \psi_M \oplus \psi_{M'} \).
Finally, \( \psi_M \) is symmetric by construction and an isomorphism by Lemma 1.3.
Hence, \( (M', \psi_{M'}) \) is a symmetric form. \( \square \)

**Proposition 1.10.** Let \((N, \psi_N)\) be a symmetric form in a uniquely split proto-exact category with duality. If \( i : U \rightarrowtail (N, \psi_N) \) is isotropic, then there exists an isometry \( \phi : N \rightarrow H(U) \oplus (N/\!/U) \) which identifies
\[ U \to U^\perp \to N \]
with
\[
\begin{array}{ccc}
U & \xrightarrow{i_U} & U \oplus (N/\!/U) \\
& \xrightarrow{i_{U \oplus (N/\!/U)}} & H(U) \oplus (N/\!/U) \\
& \xrightarrow{P(U)} & P(U).
\end{array}
\]

**Proof.** Set \( M = N/\!/U \). Complete \( i \) to the following diagram, all of whose rectangles are bicartesian:
\[
\begin{array}{ccc}
U & \xrightarrow{k} & U^\perp & \xrightarrow{j} & N \\
& \xrightarrow{\pi} & & \xrightarrow{P(j) \circ \psi_N} & \\
0 & \xrightarrow{P(\pi) \circ \psi_M} & M & \xrightarrow{P(\pi) \circ \psi_M} & P(U^\perp) \\
& \xrightarrow{P(k)} & & \xrightarrow{P(k)} & \\
& 0 & \xrightarrow{P(k)} & P(U).
\end{array}
\]
Here \( i = j \circ k \). Let \( f : U^\perp \rightarrowtail U \oplus M \) be the unique splitting of \( U \rightarrowtail U^\perp \rightarrow M \) and set \( j' = j \circ f^{-1} \). Let \( \phi : N \rightarrowtail U \oplus P(U) \oplus M \) be the unique splitting of \( U \oplus M \rightarrowtail j' \rightarrow N \rightarrow P(U) \) and set \( \psi' = P(\phi^{-1}) \circ \psi_N \circ \phi^{-1} \). Then the previous
diagram becomes

\[
\begin{array}{ccc}
U & \overset{i_U}{\rightarrow} & U \oplus M \\
& \downarrow \pi_M & \downarrow P(i_{U \oplus M}) \\
0 & \overset{\pi_M}{\rightarrow} & M \\
& \downarrow P(\pi_M) & \downarrow P(\pi_M) \\
& \downarrow P(\pi_M) & \downarrow P(i_U) \\
0 & \overset{\pi_M}{\rightarrow} & P(U) \\
\end{array}
\]

In particular, we have

\[
P(\pi_M) \circ \psi_M \circ \pi_M = P(i_{U \oplus M}) \circ \psi' \circ i_{U \oplus M}.
\]

Pre- and post-composing this equation with \(i_M : M \rightarrow U \oplus M\) and \(P(i_M)\), respectively, gives

\[
P(i_M) \circ P(\pi_M) \circ \psi_M \circ \pi_M \circ i_M = P(i_M) \circ P(i_{U \oplus M}) \circ \psi' \circ i_{U \oplus M} \circ i_M,
\]

which can be rewritten as

\[
\psi_M = P(i_{M \rightarrow U \oplus P(U) \oplus M}) \circ \psi' \circ i_{M \rightarrow U \oplus P(U) \oplus M}.
\]

Proposition 1.9 therefore implies that there exists an isometry

\[
(U \oplus P(U) \oplus M, \psi) \simeq (U \oplus P(U), \psi_{U \oplus P(U)}) \oplus (M, \psi_M)
\]

under which \(U \rightarrow U \oplus P(U) \oplus M\) factors through the standard Lagrangian \(i_U : U \rightarrow (U \oplus P(U), \psi_{U \oplus P(U)})\). We conclude using Lemma 1.8 that \(\psi_{U \oplus P(U)} \simeq \psi_{H(U)}\).

A proto-exact category is called noetherian if any ascending chain of inflations stabilizes after finitely many steps.

**Proposition 1.11.** Let \((M, \psi_M)\) be a symmetric form in a uniquely split noetherian proto-exact category \(\mathcal{A}\) with duality.

(i) There exists an object \(U \in \mathcal{A}\), an isotropically simple symmetric form \((N, \psi_N) \in S_k\) and an isometry \(M \simeq H(U) \oplus N\).

(ii) If, moreover, \(\mathcal{A}\) is combinatorial, then the decomposition from part (i) is unique up to isometry in either summand.

**Proof.** If \(M\) is isotropically simple, then take \(U = 0\) and we are done. Otherwise, let \(U_1 \rightarrow M\) be a non-zero isotropic. Then \(M \simeq H(U_1) \oplus M_2\) by Proposition 1.10. If \(M_2\) is isotropically simple, then we are done. Otherwise, choose a non-zero isotropic \(U_2 \rightarrow M_2\), so that \(M \simeq H(U_2) \oplus M_3\) with \(U_2 = U_1 \oplus U_2\). Continuing in this way, we obtain an ascending chain of inflations \(U_1 \rightarrow U_2 \rightarrow \cdots\) of \(M\), which is finite by the noetherian hypothesis. This proves the first part.

For the second part, let \(\phi : H(U) \oplus N \rightarrow H(U') \oplus N'\) be an isometry with \(N, N'\) isotropically simple. Then \(\phi \circ i_U : U \rightarrow H(U') \oplus N'\) is isotropic and hence so too are the subobjects \(\phi(U) \cap H(U')\) and \(\phi(U) \cap N'\). Since \(N'\) is isotropically simple,
\( \phi(U) \cap N' = 0 \), whence \( \phi \circ i_U \) factors through \( H(U') \). Similarly, \( \phi \circ i_{P(U)} \) factors through \( H(U') \). We therefore have a commutative diagram

\[
\begin{array}{ccc}
H(U) & \xrightarrow{i_H(U')} & H(U) \oplus N \\
\phi_L & \downarrow & \phi \\
H(U') & \xrightarrow{i_{H(U')}} & H(U') \oplus N' \\
\end{array}
\]

By applying the same argument to \( \phi^{-1} \) and patching the resulting diagram with diagram (2) (similar to the proof of Lemma 1.8), we conclude that \( \phi_L \) and \( \phi_R \) are isomorphisms. Since \( \phi \) is symmetric, so too are \( \phi_L \) and \( \phi_R \).

Example 1.12. An exact duality for the category \( \text{Vect}_{\Sigma} \) from Example 1.7 is the functor \( P : \text{Vect}_{\Sigma}^{\text{op}} \to \text{Vect}_{\Sigma} \), that is the identity on objects and maps a morphism \( f : M \to N \) to its adjoint \( f^{\text{ad}} : N \to M \), defined by \( f^{\text{ad}}(n) = m \) if \( n = f(m) \) and \( f^{\text{ad}}(n) = 0 \) if \( n \notin \text{im}(f) \), where 0 is the base point of \( M \). The natural isomorphism \( \Theta \) is the identity between \( \text{id}_{\text{Vect}_{\Sigma}} \) and \( P \circ P^{\text{op}} = \text{id}_{\text{Vect}_{\Sigma}} \).

2. ALGEBRAIC K-THEORY OF UNIQUELY SPLIT PROTO-EXACT CATEGORIES

We study \( K \)-theory spaces of proto-exact and symmetric monoidal categories. Our main result, Theorem 2.2, is a Group Completion Theorem for uniquely split proto-exact categories. The techniques and results of this section are used to study Grothendieck–Witt theory in Section 3.

2.1. The proto-exact \( Q \)-construction. Let \( \mathcal{A} \) be a proto-exact category. Similarly to the exact case [14, §2], the Quillen \( Q \)-construction of \( \mathcal{A} \) is the category \( Q(\mathcal{A}) \) defined as follows. An object of \( Q(\mathcal{A}) \) is simply an object of \( \mathcal{A} \). A morphism \( U \to V \) in \( Q(\mathcal{A}) \) is an equivalence class of diagrams \( U \leftarrow E \to V \) in \( \mathcal{A} \). Two such diagrams \( U \leftarrow E \to V \) and \( U \leftarrow E' \to V \) are equivalent if there exists an isomorphism \( E \to E' \) in \( \mathcal{A} \) which makes the obvious diagrams commute. Composition of morphisms in \( Q(\mathcal{A}) \) is defined via pullback and is well-defined by the axioms of a proto-exact category. The \( K \)-theory space of \( \mathcal{A} \) is

\[ K(\mathcal{A}) = \Omega BQ(\mathcal{A}), \]

the based loop space of the classifying space of \( Q(\mathcal{A}) \), where \( 0 \in Q(\mathcal{A}) \) determines the basepoint of \( BQ(\mathcal{A}) \). The algebraic \( K \)-theory groups of \( \mathcal{A} \) are the homotopy groups

\[ K_i(\mathcal{A}) = \pi_i K(\mathcal{A}), \quad i \geq 0. \]

We remark that an exact direct sum on \( \mathcal{A} \) induces a symmetric monoidal structure on \( Q(\mathcal{A}) \).

Example. Let \( \mathcal{A} \) be a proto-exact category. Then \( K_0(\mathcal{A}) \) is isomorphic to the free group on isomorphism classes of objects of \( \mathcal{A} \) modulo the relation \( [V] = [U][W] \) whenever \( U \to V \to W \). In general, the group \( K_0(\mathcal{A}) \) need not be abelian [11, Example 3.6.3]. If, however, \( \mathcal{A} \) admits an exact direct sum, then by using the split conflation on \( U \) and \( W \) we see that \( [U][W] = [W][U] \). In this case, \( K_0(\mathcal{A}) \) is abelian and is described in the familiar way.
2.2. **Direct sum K-theory.** Let \((\mathcal{A}, \oplus)\) be a symmetric monoidal category. Note that proto-exact categories with exact direct sum and exact categories define symmetric monoidal categories by forgetting the (proto-)exact structure. The maximal groupoid \(\mathcal{S}\) of \(\mathcal{A}\) is symmetric monoidal. The direct sum \(K\)-theory space of \(\mathcal{A}\) is the group completion of \(B\mathcal{S}\):

\[
K^\oplus(\mathcal{A}) = B(S^{-1}\mathcal{S}).
\]

See, for example, [10, Page 222]. We refer the reader to [22, §IV.4] for our conventions on group completion.

**Example 2.1.** We calculate the \(K\)-theory of certain \(\mathbb{F}_1\)-linear categories in our companion paper [8]. In the simplest case, that of \(\text{Vect}_{\mathbb{F}_1}\) (see Example 1.7), we find

\[
K^\oplus(\text{Vect}_{\mathbb{F}_1}) \simeq \mathbb{Z} \times (B\Sigma_\infty)^+, 
\]

as a special case of [8, Theorem 2.5].

2.3. **A Group Completion Theorem for \(K\)-theory of uniquely split proto-exact categories.** Quillen’s Group Completion Theorem [10] states that for any split exact category \(\mathcal{A}\), there is a homotopy equivalence \(K(\mathcal{A}) \simeq K^\oplus(\mathcal{A})\). In this section, we prove a proto-exact version of this result.

**Theorem 2.2.** Let \(\mathcal{A}\) be a uniquely split proto-exact category. Then there is a homotopy equivalence \(K(\mathcal{A}) \simeq K^\oplus(\mathcal{A})\).

It is claimed (without proof) in [3, §4] that the proof from [10] for split exact categories can be modified to apply to (not necessarily uniquely) split proto-exact categories. Instead of following this line of thought, we give a simplified proof in the uniquely split case, which is the setting of interest for this paper. These arguments are used in Section 3.

We begin with some preparatory material. Write \(Q\) for the category \(Q(\mathcal{A})\) and let \(\mathcal{E} = \mathcal{E}(\mathcal{A})\) be the category of conflations in \(\mathcal{A}\). Objects of \(\mathcal{E}\) are conflations. Morphisms in \(\mathcal{E}\) (from primed to unprimed conflations) are equivalence classes of commutative diagrams

\[
\begin{array}{ccc}
A' & \rightarrow & B' \rightarrow C' \\
\uparrow & & \uparrow \\
A & \rightarrow & B' \rightarrow C_1 \\
\uparrow & & \uparrow \\
A & \rightarrow & B \rightarrow C
\end{array}
\]

in \(\mathcal{A}\) whose rows are conflations. The equivalence relation on morphisms is generated by automorphisms of \(C_1\). Projection to the right column defines a fibered functor \(g : \mathcal{E} \to Q\). Given \(C \in Q\), let \(\mathcal{E}_C := g^{-1}(C)\) be the fibre category. The symmetric monoidal groupoid \(\mathcal{S}\) acts on \(\mathcal{E}\) by

\[
A' \cdot (A \xrightarrow{i} B \xrightarrow{\pi} C) = (A' \oplus A \xrightarrow{id_A \oplus i} A' \oplus B \xrightarrow{\pi} C). 
\]

Since the functor \(\oplus\) is proto-exact, the right hand side is indeed a conflation. The \(\mathcal{S}\)-action restricts to the fibres of \(g\) and, when \(Q\) is equipped with the trivial \(\mathcal{S}\)-action, the base change maps of \(g\) are \(\mathcal{S}\)-equivariant. The functor \(g\) is therefore cartesian; see [10, Pages 222 and 226].
The uniquely split assumption on \( \mathcal{A} \) allows for the following explicit description of the fibers of \( g \). We note that there is no such description for exact categories.

**Lemma 2.3.** The functor \( F_C : \mathcal{S} \to \mathcal{E}_C \), given on objects and morphisms by

\[
A \quad \mapsto \quad (A \xrightarrow{i_A} C \oplus A \xrightarrow{\pi_C} C)
\]

and

\[
\begin{array}{ccc}
A' & \xrightarrow{i_{A'}} & C \oplus A' \xrightarrow{\pi_C} C \\
\uparrow_{i_{A'}^{-1}} & & \downarrow_{\pi_C} \\
A & \xrightarrow{i} & C \oplus A \xrightarrow{\pi_C} C
\end{array}
\]

\[
(\phi : A' \xrightarrow{\sim} A) \quad \mapsto \quad A \xrightarrow{i_{A'} \circ \phi^{-1}} C \oplus A' \xrightarrow{\pi_C} C
\]

respectively, is an \( \mathcal{S} \)-equivariant equivalence.

**Proof.** Since \( \mathcal{A} \) is (uniquely) split, \( F_C \) is essentially surjective. That \( F_C \) is faithful follows from the definitions. To see that \( F_C \) is full, note that a morphism \( f : F_C(A') \to F_C(A) \) in \( \mathcal{E}_C \) is represented by a commutative diagram

\[
\begin{array}{ccc}
A' & \xrightarrow{i_{A'}} & C \oplus A' \xrightarrow{\pi_C} C \\
\downarrow_{\alpha} & & \downarrow_{\pi_C} \\
A & \xrightarrow{i} & C \oplus A \xrightarrow{\pi_C} C
\end{array}
\]

Since \( i_A \) and \( i = i_{A'} \circ \alpha \) are kernels of \( \pi_C : C \oplus A \to C \), the map \( \alpha \) is an isomorphism. Post-composing the equation \( i_A = \beta \circ i_{A'} \circ \alpha \) with \( \pi_A = (\pi_A \circ \beta \circ i_{A'}) \circ \alpha \), from which we conclude that \( \alpha^{-1} = \pi_A \circ \beta \circ i_A \). It follows that both \( \beta \) and \( \text{id}_C \oplus \alpha^{-1} \) define morphisms of conflations

\[
\begin{array}{ccc}
A' & \xrightarrow{i_{A'}} & C \oplus A' \xrightarrow{\pi_C} C \\
\downarrow_{\beta} & & \downarrow_{\pi_C} \\
A' & \xrightarrow{i_{A' \circ \alpha^{-1}}} & C \oplus A \xrightarrow{\pi_C} C
\end{array}
\]

Applying Lemma 1.5, we conclude that \( \beta = \text{id}_C \oplus \alpha^{-1} \), so that \( f = F_C(\alpha^{-1}) \). Hence, \( F_C \) is an equivalence.

The \( \mathcal{S} \)-equivariance of \( F_C \) follows from the properties of \( \oplus \). \( \square \)

The morphism \( z_C = (0 \leftarrow 0 \to C) \) in \( Q \) induces a base change functor \( z_C^* : \mathcal{E}_C \to \mathcal{E}_0 \), given on objects by

\[
z_C^*(A \to B \to C) = (A \xrightarrow{\text{id}_A} A \xrightarrow{0} 0)
\]

and on morphisms in the obvious way. Dually, the morphism \( p_C = (0 \leftarrow C \xrightarrow{\text{id}_C} C) \) induces a base change functor \( p_C^* : \mathcal{E}_C \to \mathcal{E}_0 \), given on objects by

\[
p_C^*(A \to B \to C) = (B \xrightarrow{\text{id}_B} B \xrightarrow{0}).
\]
There is also a functor $p_{C^*} : E_0 \to E_C$ given on objects by

$$p_{C^*}(A \rightarrow B \rightarrow 0) = (A \rightarrow C \oplus B \xrightarrow{\pi_C} C).$$

**Lemma 2.4.** (i) The functor $z_C^*$ is an equivalence.
(ii) There are natural isomorphisms of functors

$$C \cdot \simeq p_{C^*} p_{C^*} : E_0 \rightarrow E_0$$

and

$$C \cdot \simeq p_{C^*} p_{C^*} : E_C \rightarrow E_C,$$

where $C \cdot$ denotes the action of $C \in S$.

**Proof.** It is straightforward to verify that there is a natural isomorphism $z_C^* F_C \simeq F_0$. Since $F_C$ and $F_0$ are equivalences (Lemma 2.3), so too is $z_C^*$. The second natural isomorphism is proved similarly, using instead that $p_{C^*}^* F_0 \simeq C \cdot F_0$ and $p_{C^*}^* p_{C^*} F_C \simeq C \cdot F_C$. \qed

Denote by $\tilde{g}$ the composition $S^{-1}E \xrightarrow{S^{-1}g} S^{-1}Q \rightarrow Q$, the second functor being the equivalence induced by the projection $S \times Q \rightarrow Q$.

**Lemma 2.5.** After passing to classifying spaces, the sequence $S^{-1}E_0 \rightarrow S^{-1}E \xrightarrow{\tilde{g}} Q$ is a homotopy fibration.

**Proof.** Since $g$ is cartesian, the fibres of $\tilde{g}$ are of the form $S^{-1}E_C$, and the base change map for a morphism $f : C' \rightarrow C$ in $Q$ is $S^{-1}f^*$, where $f^* : E_C \rightarrow E_{C'}$ is the base change for $f$; see [10, Page 222]. To show that all base change maps are equivalences it suffices to consider the morphisms $z_C$ and $p_C$; cf. [19, Lemma 7.9]. By Lemma 2.4, the functor $z_C^*$ is an equivalence, hence so too is $S^{-1}z_C^*$. Moreover, $S^{-1}p_{C^*}$ is quasi-inverse to $S^{-1}p_{C^*}$ up to multiplication by $C$. Since multiplication by $C$ is an equivalence on the $S$-localized categories, Quillen’s Theorem B [14, §1] implies that the sequence in question is a homotopy fibration. \qed

**Proof of Theorem 2.2.** The space $BS^{-1}E$ is contractible, as can be seen in the same way as for the exact case [10, Page 228]. The homotopy fibration of Lemma 2.5 therefore implies that $\Omega BQ$ is homotopy equivalent to $BS^{-1}E_0$. By Lemma 2.3, the categories $S^{-1}E_0$ and $S^{-1}S$ are equivalent. The theorem follows. \qed

## 3. Grothendieck–Witt theory of uniquely split proto-exact categories

The subject of this section is the Grothendieck–Witt spaces of proto-exact and symmetric monoidal categories with duality. Our first result, Theorem 3.2, is a hyperbolic Group Completion Theorem for uniquely split proto-exact categories with duality. We then use this result to describe the connected components of the Grothendieck–Witt space defined via the hermitian $Q$-construction. The result is Theorem 3.11.
3.1. The proto-exact hermitian $Q$-construction. The Grothendieck–Witt theory of an exact category with duality can be defined using the hermitian $Q$-construction [1, §0], [20, §3]; see also [16, §4.1]. This definition extends as follows to a proto-exact category with duality $(\mathcal{A}, P, \Theta)$ to define a category $Q_h(\mathcal{A})$. An object of $Q_h(\mathcal{A})$ is a symmetric form in $\mathcal{A}$. A morphism $(M, \psi_M) \rightarrow (N, \psi_N)$ in $Q_h(\mathcal{A})$ is an equivalence class of diagrams

$$\begin{array}{ccc}
E & \overset{j}{\rightarrow} & N \\
\downarrow \pi & & \downarrow P(j) \circ \psi_N \\
M & \overset{P(\pi) \circ \psi_M}{\rightarrow} & P(E)
\end{array}$$

is bicartesian. In words, a morphism $M \rightarrow N$ in $Q_h(\mathcal{A})$ is a presentation of $M$ as an isotropic reduction of $N$. Equivalence and composition of morphisms is as in $Q(\mathcal{A})$. Denote by $F : Q_h(\mathcal{A}) \rightarrow Q(\mathcal{A})$ the forgetful functor.

The Grothendieck–Witt space $GW(\mathcal{A})$ is the homotopy fibre of $BF : BQ_h(\mathcal{A}) \rightarrow BQ(\mathcal{A})$ over 0. The Grothendieck–Witt groups of $\mathcal{A}$ are the homotopy groups

$$GW_i(\mathcal{A}) = \pi_i GW(\mathcal{A}), \quad i \geq 0.$$  

Despite the name, we note that, without further assumptions, $GW_0(\mathcal{A})$ is in fact only a pointed set. The higher Witt groups of $\mathcal{A}$ are

$$W_i(\mathcal{A}) = \text{coker} \left( K_i(\mathcal{A}) \xrightarrow{\pi_i H_*} GW_i(\mathcal{A}) \right), \quad i \geq 1$$

where $H_* : K(\mathcal{A}) = \Omega BQ(\mathcal{A}) \rightarrow GW(\mathcal{A})$ is the natural map, defined up to homotopy.

Suppose now that $\mathcal{A}$ has an exact direct sum $\oplus$. This induces symmetric monoidal structures on $S_h$ and $Q_h(\mathcal{A})$ and $F$ extends to a symmetric monoidal functor. In this case, $GW_0(\mathcal{A})$ is a commutative monoid. Define the Witt monoid $W_0(\mathcal{A})$ to be the monoid $\pi_0(S_h)$ of isometry classes of symmetric forms modulo the submonoid of metabolic symmetric forms. Then $W_0(\mathcal{A})$ is a commutative monoid. For a comparison of this definition with that of $W_i(\mathcal{A}), i \geq 1$, see the comments below Corollary 3.14.

**Example.** Suppose that $\mathcal{A}$ is an exact category. In this case $W_0(\mathcal{A})$ is a group. Indeed, the inverse of $[(M, \psi_M)] \in W_0(\mathcal{A})$ is $[(M, -\psi_M)]$, since the diagonal map $M \overset{\Delta}{\rightarrow} \psi_M \oplus -\psi_M$ is Lagrangian. Similarly, $GW_0(\mathcal{A})$ is an abelian group [16, Proposition 4.11].

**Remark 3.1.** Alternatively, in Sections 2.1 and 3.1 we could have used the proto-exact Waldhausen $S_\bullet$-construction $S_\bullet(\mathcal{A})$ [21, §1.3], [7, §2.4] and hermitian $R_\bullet$-construction $R_\bullet(\mathcal{A})$ [13, §1.8], [23, §3.4] to define the $K$-theory and Grothendieck–Witt theory spaces of $\mathcal{A}$, respectively. The resulting spaces are homotopy equivalent to those defined using the $Q$-constructions in a way which is compatible with the maps $H_\bullet$ and $F$. 


3.2. Direct sum Grothendieck–Witt theory. Let \((\mathcal{A}, \oplus)\) be a symmetric monoidal category with duality. Then \(\mathcal{S}_h\) is a symmetric monoidal groupoid. Following [12, §2], the direct sum Grothendieck–Witt space of \(\mathcal{A}\) is the group completion
\[
\mathcal{GW}^{\oplus}(\mathcal{A}) = B(\mathcal{S}_h^{-1} \mathcal{S}_h).
\]
The direct sum Grothendieck–Witt and Witt groups are
\[
GW^{\oplus}_i(\mathcal{A}) = \pi_i \mathcal{GW}^{\oplus}(\mathcal{A}), \quad i \geq 0
\]
and
\[
W^{\oplus}_i(\mathcal{A}) = \ker(K_i^{\oplus}(\mathcal{A}) \xrightarrow{H} GW^{\oplus}_i(\mathcal{A})), \quad i \geq 0
\]
respectively. More precisely, \(H\) is induced by the map \(K^{\oplus}(\mathcal{A}) \rightarrow GW^{\oplus}(\mathcal{A})\) determined by the symmetric monoidal hyperbolic functor \(H : \mathcal{S} \rightarrow \mathcal{S}_h\). Note that \(GW^{\oplus}_i(\mathcal{A})\) and \(W^{\oplus}_i(\mathcal{A})\), \(i \geq 0\), are abelian groups.

3.3. A hyperbolic Group Completion Theorem for Grothendieck–Witt theory. In this section we initiate the study of the relation between \(\mathcal{GW}(\mathcal{A})\) and \(\mathcal{GW}^{\oplus}(\mathcal{A})\) for a uniquely split proto-exact category \(\mathcal{A}\). In the split exact case, the spaces \(\mathcal{GW}(\mathcal{A})\) and \(\mathcal{GW}^{\oplus}(\mathcal{A})\) are homotopy equivalent, thereby giving a hermitian analogue of the Group Completion Theorem; see [15, Theorem 4.2], [17, Theorem A.1] when “2 is invertible” and [18, Theorem 6.6] in general. While the naive analogue of the Group Completion Theorem for Grothendieck–Witt theory fails in the proto-exact setting (cf. Example 3.15), a hyperbolic analogue does indeed hold.

To begin, we introduce some notation. Let \(\mathcal{S}_H \subset \mathcal{S}_h\) and \(Q_H(\mathcal{A}) \subset Q_h(\mathcal{A})\) be the full subcategories of hyperbolic objects. Denote by \(\mathcal{GW}_H(\mathcal{A})\) the homotopy fibre of \(BF : BQ_H(\mathcal{A}) \rightarrow BQ(\mathcal{A})\) over 0 and set \(\mathcal{GW}_H^{\oplus}(\mathcal{A}) = B(\mathcal{S}_H^{-1} \mathcal{S}_H)\). We often omit \(\mathcal{A}\) from the notation so that, for example, \(Q_H = Q_H(\mathcal{A})\).

**Theorem 3.2.** Let \(\mathcal{A}\) be a uniquely split proto-exact category with duality. Then there is a weak homotopy equivalence \(\mathcal{GW}_H(\mathcal{A}) \simeq \mathcal{GW}_H^{\oplus}(\mathcal{A})\).

The proof of Theorem 3.2 occupies the remainder of this section.

**Remark 3.3.** In [1, Theorem] a Group Completion Theorem for Grothendieck–Witt theory of exact categories in which 2 is invertible is claimed. However, the proof contains a serious error which does not appear to be fixable; see [13, Section 2, Remark]. Correct proofs were found only much later [15], [17], [18]. However, since these approaches rely on the additive structure of exact categories in an essential way, they do not carry over to the proto-exact setting. Instead, it turns out that, by restricting to proto-exact categories which are uniquely split, we can adapt parts of the strategy of [1] while avoiding the critical mistake.

Let \(\tau : \mathcal{S}_H \rightarrow Q_H\) be the functor which is the identity on objects and sends an isometry \(M \xrightarrow{\phi} N\) to \(M \xleftarrow{\text{id}_M} M \xrightarrow{\phi} N\). We begin by studying the (right) comma categories of \(\tau\). Fix \(M \in Q_H\). The groupoid \(\mathcal{S}\) acts on the comma category \(M \backslash \tau\) by
\[
V \cdot (N, (M \xleftarrow{q} E \xrightarrow{i} N)) = \left( H(V) \oplus N, (M \xleftarrow{q_0 \pi E} V \oplus E \xrightarrow{i \pi j} H(V) \oplus N) \right).
\]
The following lemma, which relies on the uniquely split assumption and is not true in the exact setting, gives an explicit description of \(M \backslash \tau\).
Lemma 3.4. The functor $H^M : S \to M \setminus \tau$, given on objects by

$$H^M(V) = \left( M \oplus H(V), f^M_U = (M \xrightarrow{\pi_M} M \oplus V \xrightarrow{id_M \oplus f_V} M \oplus H(V)) \right)$$

and morphisms in the obvious way, is an equivalence.

Proof. Essential surjectivity of $H^M$ follows from Proposition 1.10. Faithfulness of $H^M$ follows from that of the hyperbolic functor $H : S \to S_H$. To see that $H^M$ is full, let $\phi : H^M(U) \to H^M(V)$ be a morphism, that is, an isometry $\phi : M \oplus H(U) \to M \oplus H(V)$ such that $\phi \circ f^M_U = f^M_V$ as morphisms in $Q_H$. Since

$$\phi \circ f^M_U = (M \xrightarrow{\pi_M} M \oplus U \xrightarrow{\phi \circ f_M^{id_M \oplus U}} M \oplus H(V)),$$

the equality $\phi \circ f^M_U = f^M_V$ implies the existence of an isomorphism $\alpha : M \oplus U \to M \oplus V$ such that $\pi_M \oplus V \circ \alpha = \pi_M \oplus U$ and $(id_M \oplus f_V) \circ \alpha = \phi \circ f_M^{id_M \oplus U}$. By the first equality, there exists a commutative diagram

$$
\begin{array}{ccc}
U & \xrightarrow{i_U} & M \oplus U \\
\downarrow \alpha & & \downarrow \pi_M \\
V & \xrightarrow{i_V} & M \oplus V \\
\end{array}
$$

Since $\alpha$ is an isomorphism, so too is $\tilde{\alpha}$. Using Lemma 1.5, we conclude that $\alpha = id_M \oplus \tilde{\alpha}$. Using the second equality, we then verify that $\phi = id_M \oplus H(\tilde{\alpha}) =: H^M(\tilde{\alpha})$. The $S$-equivariance of $H^M$ is clear. \qed

Let $K \in S$. Then $0_K := (0 \leftarrow K \xrightarrow{i_K} H(K))$ is a morphism $0 \to H(K)$ in $Q_H$. The base change functor $0^*_K : H(K) \setminus \tau \to 0 \setminus \tau$ is given on objects by

$$0^*_K(N, (H(K) \xleftarrow{q} E \xrightarrow{j} N)) = (N, (0 \leftarrow K \times_{H(K)} E \xrightarrow{id_K \times M(j)} N)).$$

Consider also the functor $0_{K^*} : 0 \setminus \tau \to H(K) \setminus \tau$ given on objects by

$$0_{K^*}(N, (0 \leftarrow E \xrightarrow{j} N)) = (H(K) \oplus N, (H(K) \xleftarrow{\pi_{H(K)}} H(K) \oplus E \xrightarrow{id_{H(K)} \oplus j} H(K) \oplus N))$$

and on morphisms in the obvious way.

Lemma 3.5. There are natural isomorphisms of functors

$$K \cdot \simeq 0^*_K 0_{K^*} : 0 \setminus \tau \to 0 \setminus \tau$$

and

$$K \cdot \simeq 0_{K^*} 0^*_K : H(K) \setminus \tau \to H(K) \setminus \tau,$$

where $K \cdot$ denotes the action of $K \in S$ on $Q_H$. 
Proof. For ease of notation, set $M = H(K)$. Fix $A = (N, (0 \leftrightarrow E \xrightarrow{j} N)) \in 0\backslash\tau$. We compute

$$0_K^*0_K^*(A) = \left( M \oplus N, (0 \leftrightarrow K \times_M (M \oplus E)^{j_k \times_M (\text{id}_M \oplus j)} \rightarrow M \oplus N) \right)$$

$$= \left( M \oplus N, (0 \leftrightarrow K \oplus E \xrightarrow{j_k \oplus j} M \oplus N) \right)$$

$$= K \cdot \left( N, (0 \leftrightarrow E \xrightarrow{j} N) \right) = K \cdot A.$$

Lemma 1.4 was used to deduce the second equality. These identifications are clearly natural in $A$ and the first claimed natural isomorphism follows.

In view of Lemma 3.4, to establish the second natural isomorphism it suffices to show that $K \cdot H^M \simeq 0_K^*0_K^*H^M$. Let $V \in \mathcal{S}$ and set $N = H(V)$. Then we have

$$0_K^*0_K^*H^M(V) = 0_K^*\left( M \oplus N, (0 \leftrightarrow K \oplus V \xrightarrow{j_k \oplus j_{k,V}} M \oplus N) \right)$$

$$= \left( M \oplus M \oplus N, (0 \leftrightarrow M \oplus K \oplus E \xrightarrow{id_M \oplus j_k \oplus j_{k,V}} M \oplus M \oplus N) \right)$$

while

$$K \cdot H^M(V) = \left( M \oplus M \oplus N, (0 \leftrightarrow K \oplus M \oplus E \xrightarrow{j_k \oplus id_M \oplus j_{k,V}} M \oplus M \oplus N) \right).$$

The map $s_V : M \oplus M \oplus N \rightarrow M \oplus M \oplus N$, which swaps the first two summands and is the identity on the third, provides an isomorphism $s_V : K \cdot H^M(V) \rightarrow 0_K^*0_K^*H^M(V)$ which is evidently natural in $V$. \hfill\qed

Remark 3.6. The mistake in the argument of [1] is related to the swap natural transformation $s_V$. In [1] it is claimed that the pullback $\sigma^* : (M \oplus M) \backslash\tau \rightarrow (M \oplus M) \backslash\tau$ associated to the swap $\sigma : M \oplus M \rightarrow M \oplus M$ is an inner automorphism (which is not true in general) and hence induces the identity on homology; see [13, Remark 1.5]. Our argument, which relies in a critical manner on the uniquely split assumption, uses the explicit description of the categories $M \backslash\tau$ in Lemma 3.4 and does not require that $\sigma^*$ be inner.

The groupoid $\mathcal{S}$ acts on $\mathcal{S}_H$ and $Q_H$ via the hyperbolic functor $H : \mathcal{S} \rightarrow \mathcal{S}_H$. With these actions, $\tau : \mathcal{S}_H \rightarrow Q_H$ is $\mathcal{S}$-equivariant. Consider the localized functor $S^{-1}\tau : S^{-1}\mathcal{S}_H \rightarrow S^{-1}Q_H$. The natural inclusion $Q_H \rightarrow S^{-1}Q_H$ admits a quasi-inverse $\lambda$, given on objects and morphisms by $\lambda(V,M) = M$ and

$$\lambda(X, X \oplus V \xrightarrow{\sim} V', H(X) \oplus N \xrightarrow{\beta} N') = \beta \circ (N \xrightarrow{\pi_X} X \oplus N \xrightarrow{\text{id}_X \oplus N} H(X) \oplus N),$$

respectively. Write $\theta : S^{-1}\mathcal{S}_H \rightarrow Q_H$ for the composition $\lambda \circ S^{-1}\tau$.

Lemma 3.7. For any morphism $\beta : M' \rightarrow M$ in $Q_H$, the base change functor $\beta^* : M \backslash\theta \rightarrow M' \backslash\theta$ induces a homotopy equivalence on classifying spaces.

Proof. There is an equivalence $\Phi_M : S^{-1}(M \backslash\theta) \rightarrow M \backslash\theta$; cf. the proof of [1, Lemma 3.2]. On objects, set $\Phi_M(U,(N,f)) = ((U,N),f)$. Consider a morphism

$$(V;\gamma,\delta) : (U,(N,f)) \rightarrow (U',(N',f'))$$

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in $S^{-1}(M\backslash \tau)$. Here $V \in S$ and $\gamma : V \oplus U \simto U'$ and $\delta : H(V) \oplus N \simto N'$ is an isometry which satisfies $\beta \circ f = f'$. Set $\phi_M(V; \gamma, \delta) = (V; \gamma, \delta)$. It is straightforward to verify that the following diagram commutes up to natural isomorphism:

\[
\begin{array}{ccc}
S^{-1}(M\backslash \tau) & \xrightarrow{S^{-1}(\beta^*)} & S^{-1}(M'\backslash \tau) \\
\phi_M \downarrow & & \downarrow \phi_{M'} \\
M\backslash \theta & \xrightarrow{\beta^*} & M'\backslash \theta.
\end{array}
\]

It therefore suffices to prove that $S^{-1}(\beta^*)$ induces an equivalence on classifying spaces. Let $K' \hookrightarrow M'$ be a Lagrangian and set $K := K' \times_M E \hookrightarrow M$. We then have $0_K \circ \beta = 0_K'$ and, by the 2-out-of-3 property for homotopy equivalences, it suffices to consider the case $\beta = 0_K$. Lemma 3.5 implies that $S^{-1}(0_K\_)$ and $S^{-1}(0_{K'}\_)$ are quasi-inverse up to $S^{-1}(K\_\_)$. However, $S^{-1}(K\_\_)$ is homotopic to the identity by properties of localization. The lemma follows.

**Lemma 3.8.** The sequence

\[
B(S^{-1}S) \xrightarrow{B(S^{-1}H)} B(S^{-1}S_H) \xrightarrow{B\theta} BQ_H
\]

is a homotopy fibration.

**Proof.** In view of Lemma 3.7, Quillen’s Theorem B applies to $\theta$, allowing us to conclude that $B\theta$ is a homotopy fibration with homotopy fibre $B(0\backslash \theta)$. Lemma 3.4 and the equivalence $\phi_M$ from the proof of Lemma 3.7 compose to an equivalence

\[
S^{-1}S \xrightarrow{S^{-1}H^M} S^{-1}(M\backslash \tau) \xrightarrow{\phi_M} M\backslash \theta.
\]

Setting $M = 0$ completes the proof.

**Proof of Theorem 3.2.** We follow the strategy of proof from the exact setting [13, Theorem 3.5]. Consider the diagram

\[
\begin{array}{ccc}
S^{-1}E_0 & \xrightarrow{} & S^{-1}E \\
\downarrow & & \downarrow \tilde{g} \\
S^{-1}E \times_Q Q_H & \xrightarrow{\tilde{g}^*} & S^{-1}E \\
\downarrow & & \downarrow \tilde{g} \\
Q_H & \xrightarrow{F} & Q
\end{array}
\]

whose square is cartesian and right column is as in Lemma 2.5. Since $\tilde{g}$ is fibred and fulfills the conditions of Quillen’s Theorem B and $S^{-1}E$ is contractible, we can apply [13, Proposition 3.4] to conclude that (after passing to classifying spaces) the sequences

\[
S^{-1}E_0 \xrightarrow{\tilde{g}^*} S^{-1}E \times_Q Q_H \xrightarrow{\tilde{g}^*} Q_H
\]

and

\[
S^{-1}E \times_Q Q_H \xrightarrow{\tilde{g}^*} Q_H \xrightarrow{E} Q
\]

are homotopy fibrations. Consider the diagram
where \( F_0 \) is the equivalence from Lemma 2.3 and \( J \) is defined as in [13, Theorem 3.5]. The right square commutes and the left square commutes up to natural isomorphism. By Lemma 3.8, the top row is a homotopy fibration. Since \( S^{-1}F_0 \) is an equivalence, it follows from the five lemma that \( BJ \) is a weak homotopy equivalence.

The above discussion gives a weak homotopy equivalence
\[
GW_H \simeq B(S^{-1}S) \times_{BQ} BQ_H.
\]
Moreover, there is a homotopy equivalence \( B(S^{-1}S_H) \simeq B(S^{-1}_H S_H) = GW_H^{\oplus} \). Composing with \( BJ \) then gives the desired weak homotopy equivalence \( GW_H \simeq GW_H^{\oplus} \).

The above arguments show that there is a homotopy commutative diagram
\[
\begin{array}{c}
B(S^{-1}S) \xrightarrow{B(S^{-1}H)} B(S^{-1}S_H) \xrightarrow{\theta} BQ_H(A) \xrightarrow{BF} BQ(A) \\
\downarrow \hspace{2cm} \downarrow \hspace{2cm} \downarrow \hspace{2cm} \downarrow \\
\Omega BQ(A) \xrightarrow{\Omega BQ(A)} GW_H(A) \xrightarrow{BQ_H(A)} BQ_A(A) \xrightarrow{BF} BQ(A) \\
\downarrow \hspace{2cm} \downarrow \hspace{2cm} \downarrow \\
\Omega BQ(A) \xrightarrow{\Omega BQ(A)} GW(A) \xrightarrow{BQ_h(A)} BQ_h(A) \xrightarrow{BF} BQ(A)
\end{array}
\]
whose rows are homotopy fibre sequences. Using Theorem 2.2 we conclude that the first two rows are weak homotopy equivalent. It follows that the morphism \( H_* \) from equation (3) is explicitly realized by the hyperbolic functor \( H \). Moreover, since \( BQ_H(A) \subset BQ_h(A) \) is the connected component of 0, we obtain from Theorem 3.2 the following result

**Corollary 3.9.** For each \( i \geq 1 \), there is an isomorphism of abelian groups
\[
GW_i(A) \simeq GW_H^{\oplus}_{i,0}(A).
\]

It remains to compute \( GW_0(A) \) and to determine the homotopy type of the connected components of \( GW(A) \) which do not contain 0. This is done on the next section.

### 3.4. The connected components of \( GW(A) \)

We continue to denote by \( A \) a uniquely split proto-exact category with duality. In the previous section, we determined the homotopy groups \( GW_i(A), i \geq 1 \), in terms of \( GW_H^{\oplus}(A) \). However, we did not describe \( GW_0(A) \). As discussed in Section 3, both \( GW_0(A) \) and \( W_0(A) \) are (commutative) monoids, and not groups, due to the lack of a diagonal map for \( \oplus \). This complicates their computation. To get around this problem, we henceforth restrict attention to combinatorial categories.

Denote by \( S_I \subset S_h \) the full subcategory of isotropically simple symmetric forms. Given \( S \in S_I \), we abbreviate \( \text{Aut}_{S_h}(S) \) to \( G_S \) and write \( BG_S \) for the associated groupoid with one object. The geometric realization of \( BG_S \) is \( BG_S \).
Lemma 3.10. Let $\mathcal{A}$ be a uniquely split combinatorial noetherian proto-exact category with duality.

(i) The category $S_I$ is closed under direct sum. In particular, the set $\pi_0(S_I)$ of connected components of $S_I$ is a commutative monoid.

(ii) The natural map $\pi_0(S_I) \to W_0(\mathcal{A})$ is an isomorphism of monoids.

(iii) Direct sum induces an equivalence of categories $S_I \times S_I \to S_I$.

Proof. Let $S_1, S_2 \in S_I$ and suppose that $U \hookrightarrow S_1 \oplus S_2$ is isotropic. The combinatorial assumption implies that $U \cap S_i \hookrightarrow S_i$, $i = 1, 2$, is isotropic and hence each $U \cap S_i$ is the zero object. It follows that $U \cong 0$ and $S_1 \oplus S_2$ is isotropically simple.

By definition, $W_0(\mathcal{A})$ is the quotient of $\pi_0(S_h)$ by the submonoid of metabolic objects. By Lemma 1.8, metabolics are necessarily hyperbolic. The second statement therefore follows from Proposition 1.11.

The third statement also follows from Proposition 1.11: essentially surjectivity follows from part (i) and fully faithfulness follows from the proof of part (ii). □

The remainder of this section is devoted to proving the following theorem.

Theorem 3.11. Let $\mathcal{A}$ be a uniquely split combinatorial noetherian proto-exact category with duality. Then there is a weak homotopy equivalence $GW(\mathcal{A}) \cong \bigsqcup_{w \in W_0(\mathcal{A})} BG_{S_w} \times GW_H(\mathcal{A})$, where $S_w \in S_I$ is an isotropically simple representative of $w \in W_0(\mathcal{A})$ under the isomorphism of Lemma 3.10(ii).

For each $S \in S_I$, denote by $Q^S \subset Q_h$ the full subcategory of objects isometric to an object of the form $S \oplus H(V)$ for some $V \in \mathcal{A}$. Let $GW^S$ be the homotopy fibre of $BF : BQ^S \to BQ$ over 0. With this notation, we have $Q^0 = Q_H$ and $GW^0 = GW_H$.

Lemma 3.12. Work in the setting of Theorem 3.11.

(i) For each $S \in S_I$, there is an equivalence of categories $Q^S \cong BG_S \times Q_H$.

(ii) The category $Q_h$ decomposes into connected components as $Q_h = \bigsqcup_{w \in W_0(\mathcal{A})} Q^S_w$.

(iii) The space $GW$ decomposes into (not-necessarily connected) components as $GW = \bigsqcup_{w \in W_0(\mathcal{A})} GW^{S_w}$.

Proof. Let $\eta : BG_S \times Q_H \to Q^S$ be the functor given on objects and morphisms by $H(V) \mapsto S \oplus H(V)$ and

$$\left(\phi, H(V) \xrightarrow{i} E \xrightarrow{\pi} H(V')\right) \mapsto \left(S \oplus H(V) \xrightarrow{\text{id}_S \oplus \pi} S \oplus E \xrightarrow{\phi \oplus i} S \oplus H(V')\right),$$

respectively. We claim that $\eta$ is an equivalence. Essentially surjectivity of $\eta$ follows from the definition of $Q^S$. Because $S$ is isotropically simple, the combinatorial assumption ensures that any isotropic morphism with target $S \oplus H(V')$ factors through $i_{H(V')} : H(V') \mapsto S \oplus H(V')$. It follows from this that $\eta$ is full. A short matrix calculation shows that $\eta$ is faithful.
Consider the second statement. By Proposition 1.11, the canonical functor \( \bigsqcup_{w \in W_0} Q^S_w \to Q_h \) is essentially surjective. Suppose that there is a morphism \( M_1 \to M_2 \) in \( Q_h \). By Propositions 1.10 and 1.11, there exist isometries \( M_1 \cong S \oplus H(U) \) and \( M_2 \cong S \oplus H(V) \) for a unique (up to isometry) \( S \in S_I \). It follows from Lemma 3.10(ii) that \( S \) \( S \in S_I \). Since \( S \in S \) \( S \in S_I \), the category \( Q^S \) is connected.

Since \( GW \) is a homotopy fibre of \( BQ_h \to BQ \), the third statement follows from the second. \( \square \)

By Lemma 3.12, in order to understand \( GW \), it suffices to describe the components \( GW^S \). Similarly to the argument in the proof of Theorem 3.2, which treats the case \( S = 0 \), we can apply \([13, Proposition 3.4]\) to the square

\[
\begin{array}{ccc}
S^{-1}\mathcal{E} \times_Q Q^S & \longrightarrow & S^{-1}\mathcal{E} \\
\mathcal{S}^S & \downarrow & \mathcal{S}
\end{array}
\]

We therefore proceed to consider the category \( S^{-1}\mathcal{E} \times_Q Q^S \).

**Proposition 3.13.** For each \( S \in S_I \), there is an equivalence of categories

\[
BG_S \times (S^{-1}\mathcal{E} \times_Q Q_H) \cong S^{-1}\mathcal{E} \times_Q Q^S.
\]

**Proof.** Consider the category \( \mathcal{E} \times_Q Q^S \). By definition, an object is a triple

\[
((A \to B \to C) \in \mathcal{E}, M \in Q^S, (\phi : C \sim M) \in Q)
\]

and a morphism is a pair of morphisms in \( \mathcal{E} \) and \( Q^S \) which make the obvious square in \( Q \) commute. We claim that there is an equivalence

\[
\mathcal{E} \times_Q Q^S \cong BG_S \times \mathcal{E} \times_Q Q_H.
\]

Using Propositions 1.10 and 1.11, we see that \( \mathcal{E} \times_Q Q^S \) is equivalent to the category \( \mathcal{E}^S \) whose objects are conflations of the form

\[
A \overset{i_A}{\to} S \oplus H \oplus A \overset{\pi_{S\oplus H}}{\to} S \oplus H,
\]

for some hyperbolic object \( H \), and whose morphisms are equivalence classes of commutative diagrams of the form

\[
\begin{array}{ccc}
A \overset{i_A}{\to} S \oplus H \oplus A \overset{\pi_{S\oplus H}}{\to} S \oplus H \\
\alpha \downarrow & & \downarrow \text{id}_{S \oplus H} \\
A' \overset{i_{A'}}{\to} S \oplus H' \oplus A' \overset{\pi_{S'\oplus H'}}{\to} S \oplus H'.
\end{array}
\]
In the previous diagram, all rows are conflations and the right column is a morphism in $Q^S$ or, by Lemma 3.12(i), in $BG_S \times Q_H$. By splitting the morphism $p$, any such diagram is equivalent to one of the form

$$A \xrightarrow{i_A} S \oplus H \oplus A \xrightarrow{\pi \oplus H} S \oplus H \xrightarrow{\pi_S \oplus H}$$

(4)

$$A' \xrightarrow{i_{A'}} S \oplus H \oplus A' \xrightarrow{\pi} S \oplus H \oplus X$$

where $W \simeq H \oplus X$. By the commutativity of the top right square, $\pi$ is of the form

$$\begin{pmatrix} \text{id}_S & 0 & 0 \\ 0 & * & * \\ x & * & * \end{pmatrix} : S \oplus H \oplus A \rightarrow S \oplus H \oplus X.$$

By the commutativity of the bottom right square, $\beta$ is of the form

$$\beta = \begin{pmatrix} \phi & 0 & 0 \\ 0 & * & * \\ z & * & * \end{pmatrix} : S \oplus H \oplus A \rightarrow S \oplus H' \oplus A'.$$

Since $\phi$ is an isomorphism, Lemma 1.6 implies that $z = 0$. Commutativity of right hand squares then gives $x = \pi_X \circ \pi \circ i_S = 0$ and $\pi_H \oplus X \circ \pi \circ i_S = 0$, with the latter equality following from the equalities

$$(\phi \oplus i) \circ i_{H \oplus X} \circ \pi_H \oplus X \circ \pi \circ i_S = i_{H'} \circ \pi_{H'} \circ (\phi \oplus i) \circ \pi \circ i_S = \pi_{S \oplus H'} \circ \beta \circ i_S = 0$$

and the fact that $(\phi \oplus i) \circ i_{H \oplus X}$ is a monomorphism. Hence, $S$ decouples from the diagram (4) and the obvious functor $BG_S \times \mathcal{E}^0 \rightarrow \mathcal{E}^S$ is an equivalence. By the argument above, specialized to the case $S = 0$, there is an equivalence $\mathcal{E}^0 \simeq \mathcal{E} \times_Q Q_H$. Summarizing, we obtain an equivalence $BG_S \times \mathcal{E} \times_Q Q_H \simeq \mathcal{E} \times_Q Q^S$.

Let $\mathcal{S}$ act on $\mathcal{E} \times_Q Q^S$ by the standard action on $\mathcal{E}$ (see Section 2.3) and on $Q^S$ by the trivial action. Then there is an equivalence $\mathcal{S}^{-1} \mathcal{E} \times_Q Q^S \simeq \mathcal{S}^{-1}(\mathcal{E} \times_Q Q^S)$ given by simply reordering the bracketing of objects and morphisms. Hence, there are equivalences

$$BG_S \times (\mathcal{S}^{-1} \mathcal{E} \times_Q Q_H) \simeq BG_S \times \mathcal{S}^{-1}(\mathcal{E} \times_Q Q_H) \simeq \mathcal{S}^{-1}(\mathcal{E} \times_Q Q^S) \simeq \mathcal{S}^{-1} \mathcal{E} \times_Q Q^S.$$ 

This completes the proof.

**Proof of Theorem 3.11.** The space $\mathcal{GW}^S$ is homotopy equivalent to the geometric realization of $\mathcal{S}^{-1} \mathcal{E} \times_Q Q^S$. By Proposition 3.13, there is an equivalence

$$\mathcal{S}^{-1} \mathcal{E} \times_Q Q^S \simeq BG_S \times (\mathcal{S}^{-1} \mathcal{E} \times_Q Q_H).$$

Since the geometric realization of $\mathcal{S}^{-1} \mathcal{E} \times_Q Q_H$ is weak homotopy equivalent to $\mathcal{GW}_H$, this completes the proof.

**Corollary 3.14.** In the setting of Theorem 3.11, there is an isomorphism of monoids

$$GW_0(A) \simeq W_0(A) \times GW_{H,0}(A).$$
Proof. By Proposition 3.13, we see that \( GW^S(A) \simeq BG_S \times GW_H(A) \) for each \( S \in S_I \). In particular, there is a monoid isomorphism \( \pi_0 GW^S(A) \simeq GW_{H,0}(A) \). In view of Theorem 3.11, it follows that the stated isomorphism holds at the level of sets. Using Lemma 3.10(i), it is easy to see that the decomposition in Theorem 3.11 is compatible with direct sum, which implies the statement for monoids. □

Using Corollary 3.14 and the discussion above Corollary 3.9 to identify \( \pi_0 H_\ast \) with the hyperbolic map, we find a monoid isomorphism

\[
W_0(A) \simeq \text{coker}(K_0(A) \xrightarrow{\pi_0 H_\ast} GW_0(A)).
\]

In particular, the definition of the higher Witt groups \( W_i(A) \), \( i \geq 1 \), given in Section 3.1 extends compatibly to \( i = 0 \).

Example 3.15. We continue our running test case \( \text{Vect}_{\mathbb{F}_1} \) from Examples 1.7, 1.12 and 2.1. As shown in [8, §2.3], we have homotopy equivalences

\[
GW_H(\text{Vect}_{\mathbb{F}_1}) \simeq GW_H(\text{Vect}_{\mathbb{F}_1}) _{\mathbb{Z} \times B((\mathbb{Z}/2) \wr U(\infty))^{+}}
\]

and

\[
GW(\mathbb{F}_1) \simeq \bigcup_{n \in \mathbb{Z}_{\geq 0}} B\Sigma_n \times \mathbb{Z} \times B((\mathbb{Z}/2) \wr U(\infty))^{+}.
\]

This exemplifies, in particular, that the \( Q \)-construction and the \( + \)-construction lead to significantly different Grothendieck–Witt spaces for proto-exact categories.

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