Neuregulin-1/erbB activities with focus on the susceptibility of the heart to anthracyclines

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Abstract

Neuregulin-1 (NRG1) signaling through the tyrosine kinase receptors erbB2 and erbB4 is required for cardiac morphogenesis, and it plays an essential role in maintaining the myocardial architecture during adulthood. The tyrosine kinase receptor erbB2 was first linked to the amplification and overexpression of erbB2 gene in a subtype of breast tumor cells, which is indicative of highly proliferative cells and likely a poor prognosis following conventional chemotherapy. The development of targeted therapies to block the survival of erbB2-positive cancer cells revealed that impaired NRG1 signaling through erbB2/erbB4 heterodimers combined with anthracycline chemotherapy may lead to dilated cardiomyopathy in a subpopulation of treated patients. The ventricular-specific deletion of either erbB2 or erbB4 manifested dilated cardiomyopathy, which is aggravated by the administration of doxorubicin. Based on the exacerbated toxicity displayed by the combined treatment, it is expected that the relevant pathways would be affected in a synergistic manner. This review examines the NRG1 activities that were monitored in different model systems, focusing on the emerging pathways and molecular targets, which may aid in understanding the acquired dilated cardiomyopathy that occurs under the conditions of NRG1-deficient signaling.

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Core tip: We have reviewed the cardiac requirement of neuregulin-1 (NRG1) signaling through the receptor tyrosine kinase erbB2/erbB4. The evidence indicates that the NRG1/erbB signaling pathway displays a panel of activities implicated in maintaining the myocardial architecture during remodeling, which may explain why the combined treatment with antibodies against erbB2 and anthracycline chemotherapy may evolve into a severe dilated cardiomyopathy. We have further examined the potential molecular targets, which have been either inferred from impaired NRG1 signaling or directly assessed by the administration of NRG1. The current working hypotheses have been delineated towards a prospective molecular understanding of NRG1 signaling in heart.

INTRODUCTION

Dilated cardiomyopathy (DCM) results from the abnormal remodeling of the myocardium with the eccentric growth of cardiomyocytes in response to valve defects, toxic and metabolic causes or gene defects[9-23]. An ac-
required form of DCM is manifested in a subpopulation of breast cancer patients treated with anthracycline chemotherapy combined with humanized antibodies against erbB2. The amplification and over-expression of erbB2 occurs in 25% of all breast cancer types, inducing a highly invasive tumor that has a poor prognosis when treated using conventional therapies. Targeted therapies with antibodies against erbB2 were shown to be clinically effective for erbB2-positive breast cancer patients through an objective tumor regression analysis, with lower rates of both recurrence and mortality\[8\]. However, the iatrogenic effect of the combined immunotherapy and chemotherapy results in increased incidence of dilated cardiomyopathy, initially affecting a subpopulation of 27% of treated patients\[8\].

We reviewed the panel of activities of the NRG1 pathway that affect cardiomyocyte survival, proliferation, differentiation and specification to further focus on the synergistically deregulated molecular pathways under the experimental conditions of impaired NRG1 signaling and doxorubicin therapy.

**THERAPEUTIC CONSIDERATIONS**

Evidence from long-term retrospective analyses of treatment with the humanized antibodies against erbB2 (trastuzumab) have suggested that deficient NRG1 signaling sensitizes the heart to anthracycline cardiotoxicity\[5,6\]. These studies prompted the sequential administration of immunotherapy after chemotherapy in patients with no signs of cardiotoxicity to reduce the incidence of cardiomyopathy. The continuous development of immunotherapeutic drugs aimed at improving efficacy in tumor cell death have recently provided a novel humanized monoclonal antibody against erbB called pertuzumab, which prevents erbB receptor dimerization and thus blocks the activity of both erbB2 and erbB4. Currently, there are ongoing clinical trials about the safety and efficacy of these immunotherapeutics at escalating doses, when used to treat a diverse group of patients with epithelial-derived cancers. Thus far, the results from the CLEOPATRA study group indicate the beneficial effect of the combined action of pertuzumab and trastuzumab with docetaxel, which leads to the significantly progression-free and prolonged survival of patients with breast cancer while having a comparable level of cardiotoxicity both to previous formulations and to placebo with trastuzumab and docetaxel\[9\]. These results prompted the FDA priority review of pertuzumab for its approval and release into the market in June 2012.

In the light of the cardiac adverse effects of the therapeutics used to block the survival of cancer cells, researchers have indicated that drugs, specifically those that target signaling pathways or kinase receptors and have a broad range of effects on cancer cells, should also be studied for cardiac safety\[9\]. In this regard, the multidisciplinary workshop of the Association of the European Society of Cardiology aimed for a consensus in the management of treatments while prioritizing the awareness of anthracycline cardiotoxicity and the development of new targeted therapeutics\[9\].

Interestingly, the design of an observational trial on cardiotoxicity in cancer therapies has included an additional evaluation of cardiac risk incidence by analyzing the Single Nucleotide Polymorphism/haplotype variations in the NRG1/ErbB signaling gene components (NCT01173341). In addition to its impact on disease management, the results from this trial may contribute to the knowledge of genetic modifiers by identifying polymorphisms on the genes of the NRG1/erbB pathway that are associated with disease.

**THE COMPONENTS OF THE NRG1 PATHWAY**

Neuregulins are transmembrane proteins of four isotypes (NRG1-4). Neuregulin-1 is classified into at least three subgroups (type 1-3) and has approximately 30 isoforms as a result of its synthesis from different promoters and splicing variants\[10\]. Neuregulins: of types I and II are processed at the membrane by metalloproteinase, ADAM17, 19 and are cleaved by α-secretase activity\[11\]. The release rate of the amino-terminal active domain is modulated by protein kinase C (PKC)-delta\[12\]. The active peptide of the NRG is related to the epidermal growth factor (EGF), which contains a cysteine-rich domain that binds to and activates the tyrosine kinase receptors erbB4 and erbB3, which belong to the EGF receptor (erbB1) family. The active forms of erbB2 and erbB3 receptors are considered heterodimers because they lack either an opened ligand binding domain or tyrosine kinase activity, respectively, as opposed to the potential function of erbB4 receptor homodimers\[13\].

In the heart, the active domain of NRG1 secreted from endothelial cells binds and activates the erbB2/erbB4 heterodimers expressed in cardiomyocytes (Figure 1). The NRG1 pathway, which was initially characterized as inducing cardiomyocyte differentiation and specification, has been identified as inducing a broader panel of activities according to the experimental model system and the induced heart condition (Table 1).

**THE NRG1 INTRACELLULAR SIGNALING CASCADE**

The erbB-dependent intracellular cascades have been extensively studied because of their important role in cancer cells, thereby providing a basis for analyses of signaling mechanisms in other cell types. The NRG activation of erbB receptors mediates the auto- and transphosphorylation of tyrosine residues at the receptor intracellular domain. A subgroup of phosphotyrosine residues bind specific adaptor molecules (e.g., Grb, Shc, Src, SH3 domain)\[14\], ultimately inducing intracellular pathways, e.g., MAP kinase and PI 3’-kinase cascades, PLCγ, the regulation of the Ca2+-dependent PKC and Nuclear Factor of Activated T cells activity (Figure 2)\[13,15\].
A link between NRG1 and focal adhesion kinase (FAK) has been observed in proliferative and migrating cells. The Ras/MAPK/erk1/2 pathway was required for the NRG1-driven myofibrillogenesis in cultured cardiomyocytes. This activity was mimicked by a constitutively active form of Ras and inhibited by its dominant negative form and the MEK1 inhibitor PD98059 [16,17].

The NRG1-induced ability of cardiomyocytes to proliferate was manifested by its combined administration with Insulin-like growth factor I (IGF-I). The NRG1/IGF-I induction of cardiomyocyte DNA synthesis in both ex vivo embryonic development and cultured neonatal cardiomyocytes was prevented by wortmannin, an inhibitor of PI3'-kinase. Cellular transfection with an adenovirus harboring a constitutively active Akt mimicked the proliferative and protective activities, which were inhibited by a dominant negative form of Akt in the presence of NRG1/IGF-I (Figure 2) [17].

Alternative pathways may be activated by the cross-communication of erbB2 and G protein coupled receptors. Pro-hypertrophic GPCR agonists (e.g., angiotensin II, endothelin I and isoproterenol) have been implicated in the transactivation of EGFR and erbB2, thereby inducing hypertrophic and survival stimuli in cardiac cells [18,19].

**ErbB non-phosphorylated interactions**

Intracellular signaling also depends on the binding ability of specific non-phosphorylated residues of erbB receptors to PDZ (postsynaptic density 95, disc large and zona occludens-1) homologous protein domain; ADAM: A desintegrin and metallopeptidase; P: Phosphorylated tyrosine.
specific location of erbB proteins in particular membrane compartments and for the modulation of the receptor stability and activity[23]. Despite the significance of PDZ domain proteins in the heart (e.g., MAGUK, actin binding proteins), there is not yet evidence for the specific PDZ-erbB-interacting proteins in cardiomyocytes. The erbB4 receptors, which are endocytosis-impaired, are also regulated through proteolysis, which is mediated by the proteasome system and the alternative transcriptional activity of the cleavable juxtamembrane isoform JMa[21,22]. These mechanisms either drive the erbB4 protein degradation or induce the nuclear translocation of the JMa intracellular domain. As occurs for the release of the NRG1 active peptides, the release of the erbB4 JMa C-terminal domain is modulated by the activation of PKC and cleaved by the activity of the tumor necrosis factor-alpha converting enzyme and of γ-secretase at the plasma membrane[23]. In the heart, the identified erbB4 protein in cardiomyocytes is the JMb non-cleavable splice variant[24], which may be proteolytically modulated by the proteasome system. Three PPXY motifs couple erbB4 with WW domain proteins, such as Wwox and ubiquitin ligases, thereby either modulating the transcriptional activity of the c-terminal domain when translocated into the nucleus or promoting the isoform degradation[25].

Of the two cytoplasmic splice variants CYT1 and CYT2, CYT1 mediates specific interactions with SH2 and WW binding domain proteins (e.g., PI 3'-kinase, ubiquitin ligases)[26]. Interestingly, erbB4 polymorphisms and splicing vari-
ants of the erbb4 gene and, more recently, of Nrg-1 and erbb2 have been linked to the pathogenesis of non-neoplastic disorders\cite{27-30}. However, further experimentation is still needed to address the regulation and specific functions of isoforms in cardiovascular, psychiatric, and other diseases.

ERBB REQUIREMENT IN POSTNATAL AND ADULT HEART

The clinical implication of the NRG1 signaling in cardiology results from the increased incidence of dilated cardiomyopathies in a subpopulation of breast tumor patients undergoing the combined administration of anthracycline chemotherapy and humanized antibodies against erbB2 protein\cite{4}. The cardiac effect of the antibodies (i.e., trastuzumab, pertuzumab), which are species specific and do not cross-react with the mouse protein, were experimentally assessed through the ventricular cardiomyocyte-specific deletion of either the Erbb2 or the Erbb4 gene in mice. A ventricular-specific mutation in either of these genes caused dilated cardiomyopathy during adulthood (Figure 3)\cite{31-33}. These mouse models were useful for demonstrating the cardiomyocyte-autonomous requirement of erbB2/erbB4 during the postnatal remodeling of the myocardium. In agreement with the role of the NRG1-erbB2/erbB4 pathway to prevent ventricular dilation during remodeling, the lapatinib-mediated inhibition of erbB2 phosphorylation in mice resulted in a pathological pregnancy-related dilation of the ventricular chambers that occurred without apparent apoptotic cell death (Table 1)\cite{34}.

The association of changes in the NRG1/erbB pathway with disease was also suggested by the downregulation of both erbB2 and erbB4 expression during the pathologic remodeling of the myocardium in rodents under pressure overload and in humans with a failing myocardium\cite{35}. However, it is plausible that a different isoform than the normally expressed JMb could be expressed in the failing myocardium. In this regard, the human erbB4 JMa CYT1 isoform manifested a similar activity to the endogenous erbB4 JMb isoform in cardiac morphogenesis in transgenic mice\cite{36}. A different role than the classical activity of transmembrane tyrosine kinase receptor is displayed by the cleavable erbB4 isoform, which acts as a transcriptional co-activator or co-repressor\cite{37}. The nuclear localization of the full-length erbB4 protein has recently been observed in cultured adult rat cardiomyocytes under the stress conditions of cell isolation, and the protein was suggested to participate in DNA damaging processes\cite{38}.

Subcellular localization of erbB2/erbB4

Clues about a local cardiomyocyte effect of NRG1 on the maintenance of the myocardial architecture may arise from the subcellular localization of the receptors. The erbB2/erbB4 proteins accumulated within the T-tubule membrane system of cardiomyocytes and the intercalated discs (ID) (Figure 4)\cite{33}.

The relevance of the receptor localization is that the
T-tubules place membrane molecules in close apposition with the myofibril Z-disc, thereby providing a specific context for the functional interactions among molecules at the plasma membrane, sarcoplasmic reticulum (SR) and the myofibril Z-disc. The ID are highly specialized Z-disc structures at the cell-cell contact that provide the anchorage of the sarcomeres to the membrane and place the connexin-43 gap junctions, assuring electric transmission and rhythmic contraction of cardiomyocytes.

Concerning the erbB subcellular context, it is speculated that NRG1 may act on cues at the cytoskeletal pathways that are required for myocardial remodeling. A connection to the cytoskeleton may be required for the feedback modulation of NRG1 signaling with wall stress and contractile parameters during cardiac chamber morphogenesis[49].

**CARDIOPROTECTIVE AND REGENERATIVE ACTIVITIES**

The *in vivo* administration of NRG1, under different conditions of mouse cardiac pathology, contributed to the amelioration of ventricular contraction (e.g., reduced left ventricular end systolic dimensions, increased ejection fraction). In these experiments, the NRG1-mediated functional performance of the ventricular chambers was correlated with the increased phosphorylation of the myosin regulatory light chain (RLC) (Table 1)[48]. However, the administration of NRG1 in knockout mice for the myosin light chain kinase (*Mlc2*) gene improves cardiac function without increasing the phosphorylation level of RLC[49], thus implicating additional mechanisms for contractile improvement.

In cultured cardiomyocytes, NRG1 exerts a negative inotropic effect through either NOS activity or the activation of the muscarinic response (Table 1)[42-45]. Both nitric oxide and active muscarinic receptors modulate the inotropic response to beta-adrenergic stimulation, which may result in an improved fractional shortening. Indeed, there is a general lack of evidence for either a direct NRG1-mediated inotropic effect or an induced change in calcium handling or in myofilament calcium sensitivity that may provide a molecular explanation for the NRG1-mediated enhancement of cardiac systolic function.

Additional repair activities have been suggested through the induced proliferation of cardiomyocytes in a murine model of cardiac infarction. In this setting, NRG-1/erbB4 was shown to induce mononucleated cardiomyocytes to proliferate, thereby contributing to the cardiac repair mechanisms in one-week-old myocardial infarction without affecting the level of apoptotic cell death (Table 1)[46]. This activity is particularly interesting for the renewed research of the cardiomyocytes re-entry into the cell cycle and the use of stem cells to re-populate the injured myocardium[46,47]. In this regard, pluripotent cells may also repair damage myocardial areas through the secretion of relevant growth factors[48].

The evidence for the essential role of NRG1-erbB2/erbB4 prompted an evaluation of the safety and efficacy of NRG1 administration in patients with chronic heart failure and focal ischemia (Phase I NCT01258387, Phase III NCT01439893, NCT01541202 trials)[49,50]. The panel of activities displayed upon NRG1 administration has been well reviewed[51] and indicates a pleiotropic effect on cardiac muscle and vascular cells[52]. The ultimate contribution of each identified NRG1-mediated activity on cardiac performance remains to be defined. Moreover, the employment of NRG1 for the treatment of cardiac dysfunction still requires a mechanistic understanding of how this signal exerts its effect as well as which critical molecular targets of this pathway affect cardiac remodeling.

**MECHANISMS OF CARDIOTOXICITY: THE ROLE OF NRG1/ERBB SIGNALING**

The evidence of the critical activity of NRG1 towards anthracycline cardiotoxicity led to improvements in the clinical management of administering chemotherapy and antibodies against erbB2. Further investigation is required to understand the molecular link of a NRG1 deficiency and exacerbated cardiotoxicity. The individual therapies with either antibodies against erbB2 or anthracyclines exert a cardiotoxic effect at a lower incidence rate compared to the combined treatment. Chemotherapy with anthracycline derivatives may result in both immediate and delayed cardiomyocyte toxic events. The induction of the oxidative stress response that underlies anthracycline cardiotoxicity has been related to cellular apoptosis and necrosis as the mechanism of toxicity. However, employing antioxidants in radiation- or chemotherapy-treated patients resulted in an unclear improvement in cardiac function. These results led to the conclusion that oxidative stress may be viewed as a two-way process by which radical oxygen species (ROS) mediate tumor cell death and promote cell survival through the degradation of anthracyclines[52]. In addition, clinical studies aimed to reduce the oxidative and inflammatory process during ischemic dilated cardiomyopathy and chronic heart failure have not yet provided a therapeutic strategy because of dissimilar explanations among the trial results[53]. It is therefore likely that ROS-independent mechanisms may play a more important role in the doxorubicin-induced myocardial damage than previously evaluated[54].

Direct evidence for the role of NRG1 against anthracycline cardiotoxicity was provided by the protective activity of NRG1 against doxorubicin-mediated myofibril disarray and in preventing the toxic degradation of troponins in cultured cardiomyocytes (Table 2)[55-58]. The cardioprotective activity of NRG1 was also inferred *in vivo* by the doxorubicin-aggravated contractile dysfunction in heterozygous NRG1 mutant mice and by the exacerbated cardiac chamber dilation in the ventricular-specific erbB4-knockout mice (Table 2)[57,58].

The serum level of both the cardiac troponins (e.g., cTnI) and brain natriuretic peptide (BNP) are relevant markers for the follow-up of acquired cardiac pathology...
in trastuzumab- and anthracycline-treated patients\cite{59,60}. Indeed, the detection of the cTnI and BNP serum levels was useful for validating the exacerbated cardiotoxicity displayed by an injection of doxorubicin in the ventricular-specific erbB4-knockout mouse. The hypertrophic hallmark, i.e., the natriuretic peptide of the atrial type, was expressed at a relatively low level in doxorubicin-treated wildtype (WTD) animals and at intermediate levels in the doxorubicin-treated erbB4-KO (KOD), compared to the robust expression in erbB4-KO\cite{33,50}. The expression of BNP was at a similar level in the erbB4-KO and in both WTD and KOD mice compared to the wildtype. A contrasting finding between WTD and treated KOD mice was the differential expression of both a group of genes related to oxidative stress and molecules of the apoptotic-death pathway that underlined the WTD (Table 3)\cite{58}. The administration of doxorubicin to erbB4-KO mice led to the synergistic deregulation of the ubiquitin-proteasome system. The observed downregulation of the IGF-1/P13-Kinase axis, which may respond to lower levels of PPAR\cite{51} or to a deficient activity of CREB under the control of NRG1\cite{52}, may represent a potential mechanism acting on the deregulation of the ubiquitin-proteasome system in erbB4-KOD hearts.

The deregulated group of genes of the ubiquitin-proteasome system was characterized by the upregulation of ubiquitin-ligase, which induced large protein aggregates in cardiomyocytes within the doxorubicin-treated erbB4-KO. Autophagic vacuolization, which is the recommended term for the cellular appearance of large ubiquitin-positive protein aggregates\cite{63}, resulted in a 7-fold increase of affected cardiomyocytes relative to the non-treated erbB4-KO (Table 3). The perturbation of the ubiquitin-proteasome system induced by a genetic modification in mice led to cardiomyocyte necrosis and cardiac chamber dilation\cite{64}. Moreover, the level of protein ubiquitination was documented as a useful predictor of myocardial deterioration in patients to follow cardiac transplantation\cite{65}. The monitoring of necrotic cardiomyocytes, by the determination of the cTnI serum level, is a highly sensitive cardiotoxic marker that is employed in the follow-up of breast tumor patients undergoing trastuzumab and chemotherapy\cite{59,60}.

A search for biomarkers in the early detection of doxorubicin cardiotoxicity in both heart and peripheral blood mononuclear cells through the determination of differential gene expression indicated that the most significant group of genes was represented by changes in the canonical NRF2-oxidative stress response pathway, protein ubiquitination and the P13K/ALK signaling pathway\cite{66}. Collectively, these results extend our current knowledge by demonstrating that the impaired NRG1 response in erbB4-KO hearts to doxorubicin toxicity has a net result of the induced autophagic vacuolization of cardiomyocytes, which is consistent with the association of abnormal protein homeostasis with a severe cardiac

### Table 2: Biological effects of combined neuregulin-1/erbB signaling and anthracyclines

| Experimental system | Monitored response | Ref. |
|---------------------|--------------------|------|
| Cultured cardiomyocytes | NRG-1 administration | Prevented myofibril disarray | [55] |
| Animal models | NRG1-deficiency/doxorubicin (NRG1 heterozygous mouse) | Induced heart failure | [57] |
| | NRG1-deficiency/doxorubicin (Ventricular specific-erbB4-KO) | Deregulated Protein Homeostasis Autophagic vacuolization | [58] |

Summary of effects mediated by anthracycline-induced toxicity and by neuregulin-1 (NRG1)-erbB2/erbB4 signaling in in vitro system and animal models. The NRG-1 activities on cardiomyocyte survival, myofibril organization and ventricular homeostasis were assessed based on the exogenous administration of NRG1 or by the outcome of an impaired signaling as discussed throughout the article.

### Table 3: Cardiac phenotypic modifications

| Morphology | WT | KO | WTD | KOD |
|------------|----|----|-----|-----|
| Young adult (1 mo) | Heart/body weight (mg/g) | 5.2 ± 0.4 | 5.3 ± 0.6 | 5.2 ± 0.5 | 6.2 ± 0.8\* |
| Body weight (g) | 17.4 ± 2.0 | 17.3 ± 2.2 | 17.3 ± 2.0 | 17.2 ± 1.5 |
| Adult (3 mo) | Heart/body weight (mg/g) | 5.6 ± 0.6 | 7.0 ± 0.8\* | 5.4 ± 0.7 | 6.7 ± 0.8\* |
| Body weight (g) | 30.1 ± 2.5 | 30.3 ± 2.2 | 29.4 ± 2.2 | 28.4 ± 2.2 |

Morphological and biochemical modifications studied in the aggravated cardiotoxic condition of the doxorubicin-treated-ventricular specific erbB4-KO mouse model. Summary of hypertrophic-associated morphological changes determined in mouse at the age of 1 and 3 mo, group of differentially expressed genes clustered into a wide-range of cardiotoxic conditions, biochemical activities associated to cell death and of physiological systolic and diastolic parameters are represented as discussed in the text. \*p < 0.05 vs WT, \#p < 0.01 vs WT and WTD. WTD: Doxorubicin-treated wildtype; KOD: Doxorubicin-treated erbB4-KO; WT: Treated wildtype.
The NRG1/erbB pathway is critical for the maintenance of the myocardial structure in the adult heart, and moreover, impaired NRG1 signaling exacerbates anthracycline-mediated cardiotoxicity. The accumulated evidence indicates that NRG1 displays a panel of protective and repair activities in the heart during the lifespan of an individual. In this context, an impaired NRG1 signaling sensitizes the heart to the toxicity of anthracycline. There is an ongoing search for drugs and immunotherapies that can inhibit the erbB receptors implicated in tumorigenesis, which may also display an intragenic effect in the heart. The individual treatment with either anthracycline derivatives or the induced deficiency in the NRG1 pathway displayed different gene expression profiles in experimental murine models. The doxorubicin-treated hearts were characterized by an oxidative stress response, which may induce cardiomyocyte apoptosis. The sensitization of the NRG1-deficient heart to the anthracycline toxicity resulted in a potentiated deregulation of the ubiquitin-proteasome system, with a net result of the autophagic vacuolization of cardiomyocytes.

Altogether, the NRG1 activities that affect the myocardial architecture and homeostasis await a mechanistic understanding of how NRG1 modulates remodeling and thereby prevents ventricular dilation. Continuous research in this area will provide critical molecules and targets that may help in the design of diagnostic tools and therapeutics.

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