Optimization of Enzyme-Assisted Extraction of Flavonoids from Corn Husks

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Abstract: Corn husks are an important byproduct of the corn processing industry. Although they are a rich source of bioactive compounds, especially flavonoids, corn husks are usually disposed of or used as animal feed. In this paper, we investigate their recovery by an enzyme-assisted extraction process consisting of a pretreatment of the plant material with cellulase followed by solvent extraction with aqueous ethanol. A four-factor, three-level Box–Behnken design combined with the response surface methodology was used to optimize the enzyme dosage (0.3–0.5 g/100 g), incubation time (1.5–2.5 h), liquid-to-solid ratio (30–40 mL g⁻¹) and ethanol concentration in the solvent (60–80% v/v). Under the optimal conditions, about 1.3 g of total flavonoids per 100 g of dry waste were recovered. A statistical analysis of the results was performed to provide a quantitative estimation of the influence of the four factors, alone or in combination, on the extraction yields. Overall, the results from this study indicate that corn husks are a valuable source of flavonoids and that they can be easily recovered by a sustainable and environmentally friendly extraction process.

Keywords: flavonoids; corn husks; cellulase; enzyme-assisted extraction; waste valorization

1. Introduction

Corn (Zea mays L.) is a member of the family Poaceae and is one of the most abundant crops cultivated worldwide [1]. In addition to being consumed in food products, some parts of corn have gained interest as a source of therapeutic agents [2,3]. For example, corn silk (Stigma maydis), which is made up of the stigmas and styles of the maize plant, has long been used in traditional medicine to treat several diseases and disorders [4]. Its beneficial properties have been attributed to the presence of various bioactive compounds—such as alkaloids, flavonoids, tannins, and vitamins—which are thought to be responsible for its anti-inflammatory, antidiabetic and antitumor activity [5,6].

Corn husks are the thin cellulose-rich leafy sheaths covering the corn cob (Figure 1). They are important byproducts of the corn processing industry and are generated in an amount of about 45 million tons worldwide [7]. As is the case of most agricultural residues, corn husks are usually disposed of or used as animal feed, although several possible ways have been proposed to add value to them. For example, their lignocellulosic nature makes them suitable as a starting material for the production of sugars by chemical or enzymatic hydrolysis [8,9]. Some studies have investigated their...
Flavonoids are secondary plant metabolites that belong to the vast group of phenolic compounds [18]. They play an important role in plant defense mechanisms and are considered to be largely responsible for the health benefits of fruit and vegetable consumption [19,20].

The health-promoting properties of flavonoids are believed to arise primarily from their ability to scavenge free radicals and/or chelate metal ions [21]. In addition to being effective antioxidants, some of these compounds possess chemopreventive properties, which have been related to their capacity to interfere with the carcinogenesis process (initiation, promotion, and progression) [22,23]. Furthermore, mounting evidence from in vitro, in vivo, and epidemiological studies suggests that they may exert anti-inflammatory, anti-allergic, and antibacterial activities [21].

For all the above reasons, the recovery of flavonoids from agro-industrial residues—such as bilberry processing waste [24], olive pomace [25], mandarin peels [26], defatted seeds [27], and citrus by-products [28]—has attracted a great deal of attention in recent years.

In this paper, we investigate the recovery of flavonoids from corn husks by enzyme-assisted extraction. Enzymatic treatments are based on the ability of cell-wall degrading enzymes to hydrolyze the structural components of the plant tissues, thereby facilitating the release of bioactive compounds [29]. We used cellulase as pretreatment agent since cellulose is the major component of corn husks [12]. The main objective of this study was to evaluate the optimum conditions for the recovery of flavonoids and the influence of the main process parameters, alone or in combination, on the extraction yields. To this end, a statistical approach based on Box–Behnken design and response surface methodology was employed.

The results obtained strongly support the use of enzymes as an effective and sustainable means for improving the recovery of flavonoids from corn husks.

2. Materials and Methods

2.1. Chemicals and Plant Material

Ethanol (CAS 64-17-5), methanol (CAS 67-56-1), aluminum chloride (CAS 7446-70-0), sodium hydroxide (CAS 1310-73-2), sodium nitrite (CAS 7632-00-0), citric acid (CAS 77-92-9), and disodium hydrogen phosphate (CAS 7558-79-4) were purchased from Sinopharm Chemical Reagent Co., Ltd. (Shanghai, China). Rutin [3,3',4',5,7-pentahydroxy-flavon3-(o-rhamnosylglucoside)] (CAS 153-18-4) was purchased from Winherb Medical Technology Co., Ltd. (Shanghai, China). Phosphate-citrate buffer
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(PCM) at 0.1 M and pH 5 was prepared by adding proper amounts of disodium hydrogen phosphate and citric acid to double distilled water. All chemicals were reagent grade and used as received. Cellulase (EC 3.2.1.4), with a claimed activity of 10,000 U/g, was supplied by Macklin Biochemical Co., Ltd. (Shanghai, China). One unit is defined as the amount of enzyme that releases 1 µmol of glucose from cellulose in 1 h at pH 5 and 37 °C.

Corn husks were obtained from fresh corn harvested from fields in the suburbs of Jilin (Changchun, China). The material was dried at 50 °C in a forced-air dehydrator operating at atmospheric pressure and ground in an electric mill. Then it was sieved to 60 mesh (250 µm) and stored in the dark at room temperature until use.

2.2. Determination of Total Flavonoid Content

Total flavonoids were determined according to the method proposed by Khorasani et al. [30] with some modifications. Briefly, 1 mL of properly diluted extract, 4 mL of 30% (v/v) methanol, 0.3 mL of 0.5 M NaNO₂ and 0.3 mL of 0.3 M AlCl₃·6H₂O were added and mixed in a test tube. After incubation at room temperature for 6 min, 2 mL of 1 M NaOH were added. The mixture was brought to 10 mL with 30% (v/v) methanol and the absorbance at 510 nm was measured with a double-beam spectrophotometer (722N, Jingke Scientific Instrument Co., Ltd., Shanghai, China). The results were expressed as rutin equivalents using a calibration curve obtained with rutin standards (0–50 mg/L).

2.3. Enzyme-Assisted Extraction

One gram of powdered corn husks and 10 mL of 0.1 M PCB at pH 5.0 were added and mixed in a screw-capped glass flask. Cellulase was subsequently added in an amount so as to achieve the required enzyme dosage. The resulting solution was incubated at 40 °C for the desired time. Afterwards, the enzyme was inactivated in boiling water for 5 min. The pretreated material was then subjected to solvent extraction. Batch extraction experiments were performed at 80 °C for 2 h using aqueous ethanol as solvent. The liquid-to-solid ratio was varied between 20 and 45 mL g⁻¹ and the concentration of ethanol from 40 to 90% by volume. At the end of the extraction, the flask was rapidly cooled under tap water, the liquid was filtered and assayed for total flavonoids.

The flavonoid extraction yield was calculated as

\[ y = 100 \frac{c \times V}{m}, \]

where c is the mass concentration of total flavonoids in the sample, V is the volume of the liquid, and m is the dry weight of corn husks.

2.4. Experimental Design

The experiments were carried out according to a Box–Behnken design (BBD) with four factors and three levels. The factors considered were the enzyme dosage (D), the incubation time (T), the liquid-to-solid ratio (R), and the ethanol concentration in the extraction solvent (C). The levels of each factor were selected based on the results of preliminary experiments and on previous studies on similar systems. The actual and coded levels for the four factors are listed in Table 1. Coded levels were determined using the following transformations:

\[ x_1 = \frac{D - 0.4}{0.1}, \]

\[ x_2 = \frac{T - 2}{0.5}, \]

\[ x_3 = \frac{R - 35}{5}, \]
The flavonoid extraction yield \( y \) was taken as the response variable. The central point was replicated five times to estimate the pure experimental error and check the reproducibility of the results. Overall, the BBD consisted of \( 24 + 5 = 29 \) runs, which were performed in randomized order to minimize the effects of uncontrolled factors (Table 2).

The design of experiments and the analysis of results were carried out using the Design-Expert® software (vers. 8.0.6.1, Stat-Ease, Minneapolis, MN, USA).
2.5. Heat-Reflux Extraction

One gram of powdered corn husks and 10 mL of 0.1 M PCB at pH 5.0 were mixed and incubated at 40 °C for 2 h. Afterwards, ethanol was added to obtain a solution at 70% by volume of this component and the extraction was carried out at 80 °C for 2 h. Then, the mixture was cooled, filtered and assayed for total flavonoids.

3. Results

3.1. Model Fitting and Analysis of Response Surface

The experimental data concerning the effects of enzyme dosage (D), incubation time (T), liquid-to-solid ratio (R), and ethanol concentration (C) on the flavonoid extraction yield were fitted to different models (linear, two-factor interaction, quadratic, and cubic). The best results were obtained using the quadratic model

\[ y = a_0 + \sum_i a_i x_i + \sum_i a_i x_i^2 + \sum_i \sum_j a_{ij} x_i x_j, \]  

where \( y \) is the flavonoid extraction yield and \( x_i \) are the coded independent variables. The model contains 15 unknown parameters: the intercept \( a_0 \), four linear \( a_i \), four pure quadratic \( a_{ii} \), and six interaction \( a_{ij} \) coefficients. They were estimated using a stepwise procedure, which consists in a progressive modification of the model by iteratively adding or removing terms in order to keep only the statistically significant ones \( (p < 0.05) \). Application of this procedure, with the constraint of maintaining the hierarchy of the model, led to the equation

\[ y = a_0 + a_1 x_1 + a_2 x_2 + a_3 x_3 + a_4 x_4 + a_{11} x_1^2 + a_{22} x_2^2 + a_{33} x_3^2 + a_{44} x_4^2 + a_{13} x_1 x_3, \]  

The 10 parameters of the reduced model were estimated by the least-square methods. They are listed, together with their standard error (SE), \( p \)-value and \( F \)-value in Table 3.

| Coefficient | Term     | Value | SE   | Low CI | High CI |
|-------------|----------|-------|------|--------|---------|
| \( a_0 \)  | intercept| 1.339 | 0.031| 1.274  | 1.405   |
| \( a_1 \)  | D        | 0.027 | 0.020| −0.016 | 0.069   |
| \( a_2 \)  | T        | 0.015 | 0.020| −0.028 | 0.057   |
| \( a_3 \)  | R        | −0.005| 0.020| −0.047 | 0.038   |
| \( a_4 \)  | C        | 0.044 | 0.020| 0.002  | 0.086   |
| \( a_{13} \)| \( D \times R \) | 0.067 | 0.035| −0.006 | 0.141   |
| \( a_{11} \)| \( D \times D \) | −0.160| 0.028| −0.217 | −0.102  |
| \( a_{22} \)| \( T \times T \) | −0.148| 0.028| −0.206 | −0.090  |
| \( a_{33} \)| \( R \times R \) | −0.155| 0.028| −0.212 | −0.097  |
| \( a_{44} \)| \( C \times C \) | −0.121| 0.028| −0.179 | −0.064  |

Overall, the model described reasonably well the experimental data, with an average percent error between experimental and calculated results of about 3.5%. An examination of the ANOVA results summarized in Table 4 reveals that the model was statistically significant \( (p < 0.0001) \) while the lack-of-fit was not \( (p = 0.3567) \). Moreover, the residuals were randomly scattered between −2 and +2 (Figure 2), further supporting the soundness and effectiveness of the model.
Table 4. ANOVA results for the reduced model described by Equation (7). DF denotes the degrees of freedom, SS the sum of squares, MS the mean squares, F the F-value, and p the p-value.

| Source        | DF | SS        | MS          | F       | p         |
|---------------|----|-----------|-------------|---------|-----------|
| Regression    | 9  | 0.410     | 4.60 × 10^{-2} | 9.32    | <0.0001   |
| Residual error| 19 | 0.093     | 4.91 × 10^{-3} |         |           |
| Lack-of-fit   | 15 | 0.080     | 5.32 × 10^{-3} | 1.57    | 0.3567    |
| Pure error    | 4  | 0.014     | 3.39 × 10^{-3} |         |           |
| Total         | 28 | 0.510     |             |         |           |

Figure 2. Studentized model residuals as a function of run number.

From the Pareto chart displayed in Figure 3, it can be seen that:

(a) All of the four investigated factors affected the flavonoid extraction yield through both a linear and a quadratic term;
(b) Concerning the linear terms, the R factor had only a marginal effect on the response variable, while the remaining factors provided a significant and positive contribution, increasing in the order: T < D < C;
(c) There was a positive interaction between D and R, suggesting that the enzyme dosage had a more pronounced effect on flavonoid recovery at higher liquid-to-solid ratios.

Figure 3. Pareto chart for the model coefficients.
The effect of the four factors on the extraction yield can be better appreciated by examining the perturbation plots presented in Figure 4. In these plots, each factor was changed over the full range explored (−1, 1) while setting the remaining factors to their midpoint values (0). As apparent, the response variable exhibited a non-monotonic variation for all of the factors, with a maximum located around the central point (xi = 0). The relatively steep slope of the two branches of the curves is indicative of a quite high sensitivity of the flavonoid extraction yield to changes in the factor values.

**Figure 4.** Perturbation plots for the four factors: (a) enzyme dosage; (b) incubation time; (c) liquid-to-solid ratio and (d) ethanol concentration. y is the flavonoid extraction yield and xi is the coded level of factor i. Each diagram was plotted by keeping the levels of the other three factors at their central values.

To visualize the combined effects of factors on the recovery of flavonoids, response surface and contour plots were generated from the model equation. The plots shown in Figures 5 and 6 were obtained by holding two of the four factors constant at their midpoint values. The results clearly indicate that the recovery process can be optimized by appropriate selection of extraction conditions.
Figure 5. Response surface plots showing the influence of: (a) liquid-to-solid ratio (R) and enzyme dosage (D); (b) ethanol concentration (C) and incubation time (T); (c) ethanol concentration (C) and enzyme dosage (D); and (d) incubation time (T) and enzyme dosage (D) on the flavonoid extraction yield (y). For each plot, the levels of the other factors were held at their central values (D = 0.4 g/100 g, T = 2 h, R = 35 mL g\(^{-1}\), C = 70% v/v).

Figure 6. Representative contour plots showing the influence of: (a) ethanol concentration (C) and incubation time (T); and (b) liquid-to-solid ratio (R) and enzyme dosage (D) on the flavonoid extraction yield. For each plot, the levels of the other factors were held at their central values (D = 0.4 g/100 g, T = 2 h, R = 35 mL g\(^{-1}\), C = 70% v/v).
3.2. Optimization of Enzyme-Assisted Extraction

A numerical procedure based on the gradient descent method was used to maximize the response variable. The following results were obtained: $x_1 = 0.08$, $x_2 = 0.05$, $x_3 = 0.00$, $x_4 = 0.18$, with $y = 1.345$ g/100 g. In terms of actual factors, the maximum was achieved at: $D = 0.41$ g/100 g, $T = 2.02$ h, $R = 35$ mL g$^{-1}$, $C = 71.8\% (v/v)$. The model was validated by performing additional experiments ($n = 3$) under the optimum conditions, which gave: $y_{\text{obs}} = 1.308 \pm 0.082$ g/100 g. The percentage error between the observed and predicted values was 2.83%.

3.3. Comparison of Enzyme-Assisted Extraction and Heat-Reflux Extraction

Heat-reflux extraction experiments performed at 80 ºC for 2 h with 70% ethanol as solvent gave an extraction yield of 0.946 $\pm$ 0.103 g/100 g. This value is about 30% lower than that of 1.308 $\pm$ 0.082 g/100 g obtained in enzyme-assisted extraction. Therefore, it can be deduced that an enzymatic treatment of corn husks by cellulase has a positive effect on the recovery of flavonoids from the plant material.

4. Discussion

This study was undertaken to investigate the recovery of flavonoids from enzymatically treated corn husks. The use of agricultural wastes as sources of value-added products is an important step towards a circular economy, with beneficial effects on the environment and the management of agro-resources. However, contrary to other wastes produced during fruit and vegetable processing—such as bilberry peels [24], olive pomace [25], and citrus byproducts [28]—corn husks have not yet been specifically investigated as a potential source of flavonoids. In particular, the development of an efficient and easily scalable process for the extraction of flavonoids from this material has not been addressed in previous studies. For this reason, the final goal of the present research was to evaluate the optimal extraction conditions of a process based on the use of cellulase and aqueous ethanol for the recovery of flavonoids from corn husks.

Like other phenolic compounds present in vegetables and fruits, flavonoids are usually located within the plant tissues, often in association with cell-wall polysaccharides [31]. The fact that the plant matrix acts as a significant barrier to solvent diffusion and the quite strong interactions between flavonoids and cell-wall components are responsible for the low extraction efficiency of these compounds from the plant sources. As a result, pretreatments of the plant material can be necessary to obtain acceptable extraction yields [32].

Enzyme-assisted extraction processes rely on the capacity of cell-wall degrading enzymes to hydrolyze the structural components of plant tissues, thus facilitating the release of bioactive compounds into the surrounding medium [33,34]. Cellulose, hemicellulose, and pectin are the main structural components of plant cell walls [35]. Cellulose is a linear polymer of $\beta$-(1,4)-D-glucopyranose units. It is organized into microfibrils of amorphous and well-packed hydrogen-bonded crystalline regions. These microfibrils form a fairly rigid polymeric network that is cross-linked by hemicellulose molecules, especially xylans and xyloglucans [36]. The network is embedded in a matrix of hydrated pectic substances and lignin.

Since cellulose is the key structural component of the cell wall, enzymatic pretreatments with cellulases can be expected to have a beneficial effect on the recovery of flavonoids from plant materials [37,38]. This has indeed been observed in several studies on different materials, such as grape pomace [39], plant leaves [40], wood sawdust [41], and fruit residues [42].

In enzyme-assisted extraction processes, cellulase can be used either alone or in combination with other cell-wall degrading enzymes, in single- or multi-stage treatments. In this study, we used cellulase in a single-stage treatment. This was done to develop a simple and easily scalable treatment, and in consideration of the high cellulose content of corn husks, which can reach up to 60% of the biomass dry weight [43,44]. The beneficial effects resulting from the enzymatic treatment of corn husks
suggest that cellulase is capable of degrading, or at least loosening, the cell wall, favoring the release of flavonoids into the extraction solvent.

The susceptibility of plant cell walls to cellulase attack is known to depend on the relative amounts of amorphous and crystalline cellulose [32,38]. In fact, while the crystalline fraction of cellulose is quite resistant to hydrolytic degradation, amorphous domains are much more reactive and easily hydrolyzable. Accordingly, it is likely that, during the enzymatic treatment of corn husks, the amorphous cellulose is attacked first, followed by the hydrolysis of the crystalline regions.

An important point emerging from the present study is the existence of optimal values for all of the factors investigated. Enzyme dosage and incubation time are two important factors affecting the enzymatic treatment of biomass materials [29,45,46]. Enzymes are typically applied at dosages ranging from 0.01 to 10% (w/w) [38]. In general, the higher the dosage, the greater the extraction yields. However, above a certain value depending on the enzyme used and the characteristics of the biomass, no apparent improvements or a decrease in extraction efficiency are observed. For the enzymatically treated corn husks, the extraction yield was maximum at a cellulase dosage of 0.4% (w/w). This could be due to the combined effects of enhanced degradation of the cell wall at higher enzyme dosage and non-productive adsorption of cellulase on corn husks.

During the enzymatic degradation of lignocellulosic materials, non-productive adsorption phenomena may result from the interaction of cellulase with lignin on the surface of the plant material [47,48]. These phenomena have been widely investigated, especially in relation to the conversion of lignocellulosic biomass into fermentable sugars, but the exact mechanisms involved are far from being fully understood [49–51]. Lignin is a complex, highly branched, aromatic polymer composed of p-hydroxyphenyl, guaiacyl, and syringyl units [52]. It has a strong affinity for cellulase, which is bound through hydrophobic and electrostatic interactions [53]. Some evidence also suggests that the irreversibly bound cellulase may lose its folded structure and become denatured [49]. The adsorbed cellulase is unable to carry out the hydrolysis reaction and, since lignin is tightly associated with cellulose, it may cause steric hindrance to the free cellulase molecules. As a result, the amount of enzyme available to attack cellulose is reduced and the hydrolysis rate decreases, negatively affecting the extraction yields.

As for the incubation time, in published studies this quantity was varied from a few tens of minutes to 24 h or more [38]. The existence of an optimal incubation time can arise from two opposing effects: (a) the increased release of flavonoids resulting from a more extensive disruption of the cell wall and (b) the higher susceptibility of the released flavonoids to degradation. The optimal incubation time will depend on the relative contribution of these effects at the treatment temperature, which influences both of them.

Another important point to emphasize is the dependence of the extraction efficiency on solvent composition. The existence of an optimal composition, close to 70% (v/v) ethanol for corn husks, has been evidenced in studies on different plant materials such as spent coffee grounds [54], mango by-products [55], brewers’ spent grain [56], bilberry residues [57], and artichoke waste [58]. Several factors are likely to be involved, such as solvent affinity for the extracted compounds and various indirect effects of the solvent on the plant tissue. The latter include weakening of the interactions between the bioactive compounds and cell-wall polysaccharides [59], protein denaturation [60], and swelling of the plant tissue [61]. Swelling originates from the adsorption of solvent molecules on specific functional groups of plant tissue components, especially cellulose fibers. This causes an increase in inter-fiber spacing and an expansion of the plant material, which facilitates the penetration of solvent molecules. Water and ethanol, the two components of the solvent used in this study, are known to be effective swelling agents, being characterized by small molar volume, large basicity, and high hydrogen bonding capability [62,63]. As a result, it can be speculated that all of the above factors may play a role in determining the observed influence of solvent composition on flavonoid recovery.

A last point to be mentioned here is that the biomass residue obtained from the enzyme-assisted extraction process could be further exploited to recover proteins or other corn husk components and/or
to produce bioenergy. Likewise, the remaining biomass could be used to create additional value-added products for the food industry. For example, it could serve as a substrate in solid-state fermentation (SSF) to produce chemicals [64,65], crude enzymes [66,67], or other products [68]. In addition to the resulting economic and environmental benefits, this strategy would contribute to providing a transition of the vegetable oil sector to a circular economy through an integrated biorefinery approach.

5. Conclusions

Corn husks are an important byproduct of the corn processing industry, but at present they constitute an unused or underutilized resource. In particular, they are a rich source of bioactive flavonoids that could be used in a variety of applications. In this study, we have shown that these compounds can be efficiently recovered by performing an enzymatic treatment of corn husks followed by solvent extraction with aqueous ethanol. Although the mechanisms involved in the overall extraction process are complex and only partly understood, the process can be optimized by carrying out a reasonably small number of experiments on the material of interest. In this regard, the use of a factorial design, such as the BBD, combined with the response surface methodology can be a powerful and effective approach to achieving the above purpose.

Future research should be directed at determining whether and to what extent the recovery of a particular flavonoid present in corn husks could be maximized by proper selection of process conditions. It would also be interesting to apply the life cycle assessment (LCA) methodology to evaluate environmental and economic indicators for assessing the sustainability of the proposed process. Finally, the economic feasibility of the recovery process at the industrial scale should be carefully assessed. In this regard, it is worth noting that several commercial cellulase preparations of relatively low cost are currently available and that ethanol, the extraction solvent, can be easily evaporated and recycled for reuse in the process.

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