Gender profile of principal investigators in a large academic clinical trials group

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Introduction: Gender equity in medicine has become a significant topic of discussion due to consistently low female representation in academia and leadership roles. Gender imbalance directly affects patient care. This study examined the gender and craft group of the Principal Investigators (PI) of clinical trials run by the Australasian Gastro-Intestinal Trials Group (AGITG)

Methods: Publicly available data was obtained from the AGITG website. Trials were divided into upper, lower gastrointestinal cancer, miscellaneous (neuroendocrine and gastrointestinal stromal tumours). Where multiple PIs were listed, all were counted. Craft group was assigned as surgical, medical, radiation oncology or other.

Results: There were 69 trials with 89 PI, where 52 trials were represented exclusively by male PIs. Of all PIs, 18 were women (20.2%); all were medical oncologists. Prior to 2005, all PIs were male. The craft group distribution of PIs was: 79% medical oncologists, 12% surgical oncologists, 8% radiation oncologist, 1% nuclear medicine physicians. Regarding trials with multiple PI’s, there were 19 in total. Of these, 11 had only male PIs, which included 5 surgeons. Females were more likely to be a co-PI (42%) as opposed to sole PI (18%). There was no gender policy publicly available on the AGITG website.

Conclusions: There is a low percentage of female PIs in academic oncology trials in the portfolio of this large international trials group. No trial was led by a female surgical or radiation oncologist. There is a need to understand the reasons driving the disparity so that specific strategies can be put in place.

KEYWORDS
Gender equity, female surgeon, principal investigator, clinical trials, surgical oncologist, leadership
It is widely recognised that females are under-represented in almost every country across the spectrum of surgical subspecialties (1, 3–5). A recent systematic review demonstrated a self-perpetuating cycle, where lack of progression in career development of female surgeons is shown to perpetuate the imbalance (6). Additionally, gender equity statements and policies among professional surgical societies were recently catalogued as deficient (7). To date there is little data available with respect to the surgical oncology craft group. Other oncology disciplines such as medical oncology, haematology and radiation oncology have shown increased female representation over time, although imbalances remain in various proportions (1, 4, 8, 9).

Despite the rise in female presence by numbers, this has not translated to equity at the level of senior positions, such as decision-making or developmental roles, both within clinical care and academia (10–17). The imbalance is even stronger in certain geographical regions, such as Asia-Pacific, and has been exacerbated by the COVID-19 pandemic (18–20). Over the past few years, growing numbers of reports of gender distribution in specific leadership positions and roles have been published, including analysis of first and senior authors in publications; journal editorial boards; presenters at major meetings and even the differences by gender of the use of titles by chairpersons when introducing speakers at international meetings (21–25).

One problem is that researching the topic of gender equity is often met with hostility and researchers can be ostracised (26, 27). By contrast, it is only when this data becomes welcomed that there is likely to be a culture in which positive change can be achieved.

In Australia, data regarding gender equity in the various disciplines of oncology is lacking. As an exemplar, we studied leadership in the field of clinical trials by examining the gender of trial Principal Investigators (PI). The PI plays a pivotal role in establishing, running and reporting a trial, leading the Trial Management Committee and liaising with trial investigators. Most oncology trials have a sole PI, although increasingly the role is shared amongst two or even three individuals. This research presents the distribution and trends in PI gender in the Australasian Gastro-Intestinal Trials Group (AGITG), a large academic, international, not-for-profit, trials consortium.

### Methods

Publicly accessible areas of the AGITG website (https://gicancer.org.au/about-the-agitg/) were viewed on several occasions between July and August 2021. All listed trials were sub-grouped into categories: completed, open, in-development and in follow-up. Trials were further sorted into tumour site as: Upper Gastrointestinal (GI), Lower GI and other (gastrointestinal stromal tumour and neuroendocrine tumours). The year of trial registration and the gender of the PI(s) were recorded. Gender was confirmed from personal knowledge and/or Google® searches for public profile. PIs were counted for every trial they led; where trials had multiple PIs, each was recorded. Data was verified by a second investigator.

### Results

Across 69 clinical trials conducted by the AGITG between 1994 and 2022, there were a total of 89 PIs, with 18 trials led by females (20.2%) comprising nine unique females. All female PIs were medical oncologists; they constituted 25.7% of the total of 70 PIs from this craft group. There were no females amongst the 11 surgical oncology, 7 radiation oncology and 1 nuclear medicine PIs. Women were under-represented as PI when trials were considered according to study status and tumour site (Table 1). Trials in the “completed” and “in follow up” categories had 8% of PIs being female (registration year between 1994 and 2018, n =

| Principal investigators (n = 89) | Total investigators (n = 69) | Males (%) | Females (%) |
|--------------------------------|-----------------------------|-----------|-------------|
| **Craft groups**               |                             |           |             |
| Medical oncology               | 70                          | 52 (74.3) | 18 (25.7)   |
| Radiation oncology             | 7                           | 7 (100.0) | 0 (0.0)     |
| Surgical oncology              | 11                          | 11 (100.0)| 0 (0.0)     |
| Nuclear medicine               | 1                           | 1 (100.0) | 0 (0.0)     |
| **Study status**               |                             |           |             |
| Completed (n = 43)             | 53                          | 47 (88.7) | 6 (11.3)    |
| In follow-up (n = 6)           | 8                           | 6 (75.0)  | 2 (25.0)    |
| Open (n = 14)                  | 21                          | 13 (61.9) | 8 (38.1)    |
| In preparation (n = 6)         | 7                           | 5 (71.4)  | 2 (28.6)    |
| **Types of cancers**           |                             |           |             |
| Upper GI (n = 30)              | 42                          | 33 (78.6) | 9 (21.4)    |
| Lower GI (n = 32)              | 39                          | 31 (79.5) | 8 (20.5)    |
| Others (NET and GIST) (n = 7)  | 8                           | 7 (87.5)  | 1 (12.5)    |

**Abbreviation:** GI, gastrointestinal; NET, neuroendocrine tumour; GIST, gastro-intestinal stromal tumour.
49), whereas the newer trials in the “open” and “in preparation” categories (registration year 2016–2022, n = 20) had 10% female PIs. Female PIs were less likely to be the sole PI (n = 9, 18% of 50 sole PI studies) than to play a role as a co-PIs (n = 8, 42% of the 19 co-led trials) (Table 2).

The trend of male and female investigators over a nearly 30-year timeframe is shown in Figure 1. Half of all studies (n = 33) were conducted from 1994 to 2010, with only 7% of female investigators in this period; there were none prior to 2005. Between 2011 and 2022, female investigators constituted 34%. Our study estimated a rise of 0.6 PI per 10-year from 2015 onward.

**Discussion**

Despite often being poorly received, there is a growing movement to present data about gender disparity to try to stimulate change based on evidence. We have shown a lack of female leadership in GI oncology clinical trials within a large academic organization, with the interesting (and actionable) analysis that it is female PIs particularly from surgical and radiation oncology that should be encouraged. Not only were there many less females, those who had PI roles only comprised only a few different individuals across multiple studies. This suggests that women have difficulty in “penetrating the scene” and that only a few females were offered opportunities, potentially relating to the fact that they have had to recurrently “prove their worth”.

Furthermore, significantly fewer studies within AGITG were represented by surgical oncology compared to medical oncology. This can be explained and is consistent with data presented by Wong et al where only 7.6% of surgical oncology trials from 2008 to 2020 involved surgical interventions (28). Is this because academic productivity (number of research publications and grants) as a promotion benchmark is not as highly valued and less commonly sought by employers within surgical oncology, at least in the Australian context and likely in many other countries (29)? It has been suggested that heavy workload and long hours of the surgical career lead to reduced commitment to research (30). In fact, protected research time was one of the challenges to achieving academic success (followed by academic mentorship) (31). This is a call out not only for a revised surgical training program but also increased research support and mentorship for young surgeons.

At the time of our study, publicly available data (June 2021) from the Australian Health Practitioner Regulation Agency (AHPRA) reported that 13.4% of the total of 6,445 registered surgeons were female (4). The number involved in surgical oncology is likely to be much smaller; no data is available. Of the 442 total registered radiation oncologists, 44.1% were female. It is sobering that public data prior to 2019 on surgical and radiation oncology within GI clinical trials has not been analyzed in this manner. The possible implications of these findings are profound, given the changing demographics and expectations of both the general public and employers in the medical field.

**TABLE 2 Principal investigator gender according to composition of PIs within AGITG.**

| Sole PI (%) Studies (n = 50) | 2 PIs (%) Studies (n = 18) | 3 PIs (%) Studies (n = 1) |
|----------------------------|--------------------------|--------------------------|
| Male PI only               | 41 (82.0)                | 11 (61.1)                | 0 (0.0)                  |
| Female PI only             | 9 (18.0)                 | 0 (0.0)                  | 0 (0.0)                  |
| Mixed PIs                  | Not applicable           | 1M:1F = 7 (38.9)         | 1M:2F = 1 (100.0)        |

*Definition: Sole PI: a study that had only one PI (male or female). Abbreviation: M, Male; F, Female.*
oncology registration by gender is unavailable; even more surprising is that there is no data even now on the proportion of female medical oncologists. Over the 3 year period from 2019 to 2021, there was only a 1% increase in total numbers of both female surgeons and radiation oncologists registered in Australia, which is roughly consistent with data from the USA (32). This indicates that the ingredients needed for significant increases have not been addressed and it is not simply a matter of time before gender is equalised.

The need to ensure balanced recruitment of patients for trials in all disciplines of medicine (and for that matter laboratory animals for pre-clinical research) has given rise to the Sex and Gender Equity in Research (SAGER) guidelines, amongst others (33–35). The phenomenon of female under-representation as clinical trial PIs and academic leaders similarly cuts across all areas of medicine, surgery and medical research (36–38).

There is scant data available regarding female oncology trial PIs. One study captured data on trials published between 2003 and 2018 with an estimated rise of 1.2% in female authors annually. Their data showed no female corresponding authors for surgical trials and a lower rate of female authors within GI and genitourinary cancer trials (39). There was a higher percentage (still less than 50%) for breast and gynecological cancers (39, 40). Jou et al reported a 3% rise annually in female PIs within phase 3 gynecological clinical trials from 2010 to 2020 internationally and as in our study, women were more likely to be leading trials with multiple co-PIs than with one PI (41).

Data on the constitution of AGITG members by gender is not publicly available and we would encourage transparency in this, so that the “talent pool” from which PIs are nurtured can be understood. Additionally, at the time of the study, AGITG did not have a publicly available policy on gender equity, nor a description of the process by which trial PIs are selected. As a non-governmental organization with a significant fundraising mission and profile, we respectfully suggest that these policies be made available. However, having a policy is just the starting point, although it lays a benchmarking process that can be actively monitored. Translating the ideas and goals of a gender equity policy into significant and sustained change is where the problem lies. In Australia, one of the largest philanthropic funders of cancer research announced this year that it would not support future projects at a major University, until such time as their gender policies were reflected in actual equitable outcomes (42).

A limitation of our study is that we did not personally contact PIs to confirm their gender. Comparative statistical analysis was not performed because there is no equivalent Australian population of physician or trial investigator according to oncology graft groups. In addition, the number of trials per year within AGITG was small and hence the trend over time of female PI was not strengthened with statistical significance. Nevertheless, the data presented is useful to inform concrete planning for positive change. We hope that the results will be openly and positively received, rather than the defensive response that similar studies internationally often encounter (26, 27). We are currently undertaking a similar review of multiple other Australian cancer trials groups to examine the gender issue in a broader sample (50).

This study shows a lack of female leadership within a large academic clinical trials group, although improvement within one specialty (medical oncology) has been seen over time. For AGITG and most likely, many other oncology trials groups across the globe, the challenges are to rectify the lack of females from surgical and radiation oncology specialties; to expand the number of different women leading trials, to address the imbalance of females being sole lead rather than co-leads; to publish gender equity policies and then enact them, and to make transparent their guidelines for selecting trial PIs.

Conclusion

Females are under-represented as clinical trial PIs in this large Australian academic clinical trials group. There should be a focus understanding why this discrepancy still exists in 2022 and on concrete steps to ensure a balance of PIs, particularly female surgical and radiation oncologists, as well as for a broader number of individual women.

Data availability statement

The original contributions presented in the study are included in the article/Suplementary Material, further inquiries can be directed to the corresponding author/s.

Author contributions

ES and VL contributed to the concept, design of the study. ES performed data collection. VL organised the database. VA cross-checked and ensured integrity of data collection. CH assisted with data analysis. ES revised manuscript and
approved the submitted version. All authors contributed to the article and approved the submitted version.

Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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