New anatomy of quantum holonomy

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received 3 October 2008; accepted in final form 16 December 2008
published online 26 January 2009

PACS 03.65.Vf – Phases: geometric; dynamic or topological
PACS 03.65.Ca – Formalism
PACS 42.50.Dv – Quantum state engineering and measurements

Abstract – We present a unified formulation of quantum holonomy which is capable of describing all of its known varieties. The full role of non-Abelian gauge connection is elucidated. As examples, solvable models of quantum kicked spin are analyzed. They are shown to exhibit spiral holonomy, as well as Berry phase and Wilczek-Zee holonomy.

This paper is dedicated to the memory of Attila Nyá.

The interest in Berry’s geometric phase is now twofold. Theoretical interest to this quintessentially quantum phenomenon is well documented [1]. The central importance is in the appearance of the gauge structure in parametric space [2–4]. Immediate utility of geometric phase is recently highlighted by the suggestion of holonomic and adiabatic quantum computings [5–9]. Consider the change of a stationary quantum state by an adiabatic variation of a system parameter. When the variation of the parameter is cyclic, namely it comes back to the original value, the state does not necessarily return exactly to the original stationary state. This phenomenon, known as quantum holonomy, comes in three flavors, Berry phase, Wilczek-Zee holonomy, and spiral eigenvalue holonomy. In case of Berry phase, a stationary state comes back to itself with extra phase added after an adiabatic cyclic parameter variation, and in case of Wilczek-Zee holonomy, a state belonging to a set of degenerate eigenstates turns into a mixing of those states sharing the same energy. In case of spiral holonomy, on the other hand, an eigenstate evolves into another eigenstate with different energy after an adiabatic cyclic parameter variation [10–13]. Compared to the well-known first two, which have been thoroughly studied, the newly found spiral holonomy has been something of an odd man out, since it is yet to find its proper place in the general formulation of quantum holonomies in terms of gauge connections, and this might be the reason for the lack of due recognition and appreciation of the existence of the spiral holonomy. This is deplorable for practical reason in understanding the mechanism of spiral holonomy, as well as it is theoretically unsatisfactory, since spiral holonomy has obvious advantages in both control robustness and variety of achievable states with adiabatic quantum-state control, which enhance its usefulness in quantum computing [13].

In this paper, we intend to solve the problem of making sense of spiral holonomy, and prove that it indeed belongs to the category of quantum holonomy. We show that the non-Abelian Mead-Berry gauge connection is again the key in developing a unified formalism which treats all the quantum holonomy in a single fold. The resulting formula also sheds new light on the gauge invariance of quantum holonomy and its implications.

We start by considering a quantum system described by a Hamiltonian which depends on sets of parameters, which are collectively referred to as \(\alpha\). Let us assume that the parameter is temporally varied \(\alpha = \alpha(t) = \alpha_t\). Quantum evolution is described by the Schrödinger equation

\[
\left( H(\alpha_t) - \frac{i}{\hbar} \frac{d}{dt} \right) |\Psi(t)\rangle = 0. \tag{1}
\]

We look for the solution of (1) in terms of a set of arbitrary “moving” basis states \(\{|v_n(\alpha)\rangle\}\) with time-dependent parameter \(\alpha = \alpha_t\). The temporal evolution of \(|\Psi(t)\rangle\) is described by

\[
|\Psi(t)\rangle = U(t) |\Psi(0)\rangle, \tag{2}
\]
where the evolution operator $U(t)$ is given by a time-ordered exponential [14]

$$U(t) = \sum_{m,n} |v_m(\alpha_t)\rangle \left[ \mathcal{T} e^{-\frac{i}{\hbar} \int_0^t dt F(\alpha_t)} \right]_{m,n} \langle v_n(\alpha_0)|,$$  \hspace{1cm} (3)

where $\mathcal{T}$ signifies the temporal ordering of the operator integral from right to left, and the elements of the matrix $F(\alpha)$ are given by

$$F_{m,n}(\alpha) = \langle v_m(\alpha)| (H(\alpha) - \partial_\alpha) |v_n(\alpha)\rangle.$$  \hspace{1cm} (4)

In deriving (3), we have used a decomposition of the state $|\Psi(t)\rangle = \sum_m |v_m(\alpha_t)\rangle b_m(t)$, and the evolution equation $i\dot{b}_m(t) = \sum_{m,n} F_{m,n}(\alpha_t) b_n(t)$ which is derived from (1). We further rewrite (3) into the form

$$U(t) = \sum_{l,m,n} |v_l(\alpha_0)\rangle W_{l,m}(\alpha_0,\alpha_t) D_{m,n}(t,0) \langle v_n(\alpha_0)|,$$  \hspace{1cm} (5)

with matrices $W$ and $D$ given by path-ordered and time-ordered exponentials, respectively,

$$W(\alpha_0,\alpha_t) = \mathcal{T} e^{-i \int_{\alpha_0}^{\alpha_t} d\alpha A(\alpha)};$$

$$D(t,0) = \mathcal{T} e^{-i \int_0^t dt F(\alpha_t)},$$  \hspace{1cm} (6)

where $\mathcal{T}$ signifies the temporal ordering from left to right, and $A(\alpha)$ is the full non-Abelian Mead-Berry gauge connection whose matrix elements are defined by

$$A_{m,n}(\alpha) = \langle v_m(\alpha)| i\partial_\alpha |v_n(\alpha)\rangle;$$  \hspace{1cm} (7)

Note, in the derivation of (5), the identity $|v_m(\alpha')\rangle = \sum_l |v_l(\alpha)\rangle W_{l,m}(\alpha,\alpha')$, signifying the fact that $W$ is a displacement operator in the parameter space. Seemingly minor amendment of the factor $W$, in (5), to the Fujikawa’s formula (3) is to be crucial in the following arguments. Let us now assume $|v_n(\alpha)|$ to be the “instantaneous” eigenstates of $H(\alpha)$ with fixed value of $\alpha$, namely

$$H(\alpha)|v_n(\alpha)\rangle = \varepsilon_n(\alpha)|v_n(\alpha)\rangle;$$  \hspace{1cm} (8)

and further assume the standard adiabatic approximation that amounts to suppose the variation of $\alpha$ to be so slow that the only significant contribution to (6) comes from the diagonal term in $F(\alpha_t)$;

$$F_{m,n}(\alpha_t) \approx \delta_{m,n} \{ \varepsilon_n(\alpha_t) - \dot{\alpha} A_{m,n}(\alpha_t) \}.$$  \hspace{1cm} (9)

We now consider a cyclic motion along $C$ : $\alpha_0 \rightarrow \alpha_\tau$, with the identification of $\alpha_\tau$ with $\alpha_0$. We have

$$U(\tau) = \sum_{m,n} |v_m(\alpha_0)\rangle M_{m,n}(C) e^{-i\phi_\tau} |v_n(\alpha_0)\rangle,$$  \hspace{1cm} (10)

where $\phi_\tau = \int_0^\tau dt \varepsilon_n(\alpha_t)$ is the dynamical phase. The holonomy matrix $M(C)$ encodes the geometrical change, independently of the temporal rate of evolution, induced by the adiabatic cycle $C$, and is given by

$$M(C) = W(C)B(C),$$  \hspace{1cm} (11)

in which each factor is written as

$$W(C) = \mathcal{T} e^{-i \int_C d\alpha A(\alpha)};$$

$$B(C) = \mathcal{T} e^{i \int_C d\alpha A_D(\alpha)},$$  \hspace{1cm} (12)

where diagonal matrix $A_D$, defined as

$$A^D_{m,n}(\alpha) = A_{m,n}(\alpha)\delta_{m,n},$$  \hspace{1cm} (13)

leaves $B(C)$ also diagonal. Equations (11), (12) constitute the central result of this paper. Several comments are now in order.

The expression (10) is notable for its manifest gauge invariance. This is easily seen by changing the phases of individual states,

$$|v_n(\alpha)| \rightarrow e^{ig_n(\alpha)}|v_n(\alpha)|.$$  \hspace{1cm} (14)

We then have $W_{m,n}(C) \rightarrow e^{-ig_m(\alpha) + ig_n(\alpha)} W_{m,n}(C)$, and $B_{n,m}(C) \rightarrow e^{-ig_m(\alpha) + ig_n(\alpha)} B_{n,m}(C)$, giving

$$M_{m,n}(C) \rightarrow e^{-ig_m(\alpha) + ig_n(\alpha)} M_{m,n}(C),$$  \hspace{1cm} (15)

which obviously leaves $U(\tau)$ unchanged. Note that the original $U(t)$ given by (5) is invariant with full gauge transformation

$$|v_n(\alpha)| \rightarrow G|v_n(\alpha)| = \sum_m |v_m(\alpha)| G_{m,n}(\alpha),$$  \hspace{1cm} (16)

which simply is an alternative statement of the basis $|v_n(\alpha)|$ being arbitrary.

The adiabatic time evolution ensures that the system that starts with an eigenvector $|v_n(\alpha_0)\rangle$ stays continuously in an instantaneous eigenstate $|v_n(\alpha_\tau)\rangle$, modulo its phase. After the completion of the adiabatic cycle $C$, $\alpha$ returns to the initial point $\alpha_\tau = \alpha_0$. However, there is no a priori reason to assume that the state of the system comes back to its initial one, even apart from its phase. In fact, counterexamples to the conventional assumption have been found in the form of the spiral anholonomies in [10] and [12]. In general, the holonomy matrix $M(C)$ is a permutation matrix, which describes how each $|v_n(\alpha)|$ is transported into its orthogonal state (or itself), accompanied by a possible geometric phase factor.

In the absence of spiral holonomy, $W_{m,n} = 0$ for $m \neq n$, the gauge can be chosen to make the basis state $|v_n(\alpha)|$ single-valued, thus giving $W_{m,n} = \delta_{m,n}$, and the usual single factor expression of Berry phase $M_{m,n} = \delta_{m,n} B_{m,n}$ is obtained. Note, however, that the factor $W(C)$ is necessary for consistency even for this case, in order to ensure the correct Berry phase with arbitrary choice of gauge $g(\alpha)$, which leaves the basis states multi-valued, $|v_n(\alpha_\tau)| \neq |v_n(\alpha_0)|$. 

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In fact, a special choice of the gauge can turn $B(C)$ into a unit matrix, $B_{m,n}(C) = \delta_{m,n}$. This is obtained with the condition $A^D(\alpha) = 0$, which is nothing but the parallel transport condition \[15\]. The holonomy matrix, then, takes a single factor form

\[ M(C) = T e^{-i \oint C \, d\alpha A(\alpha)}. \] (17)

With this privileged choice of the gauge, all adiabatic quantum holonomies are described as a holonomy of an ordered basis. This is a generalization of Fujikawa’s picture, in which the Berry phase is attributed to the holonomy of basis vectors, instead of probability amplitudes \[14\].

The validity of the split of the unitary matrix $D$ to geometrical part $B$ and the dynamical phase is established whenever non-diagonal elements of $F$ are negligible, and this can happen independently of the adiabaticity of parametric variations. One such case occurs when $|v_n(\alpha)|$ is the solution to the full problem (1). Then the geometric matrix $M$ can be extracted without the assumption of adiabaticity, and this is exactly the situation considered by Aharonov and Anandan \[16\].

In the presence of degeneracies, we need to modify the preceding argument by writing the instantaneous eigenbasis as

\[ H(\alpha) |v_{nk}(\alpha)\rangle = \varepsilon_n(\alpha) |v_{nk}(\alpha)\rangle, \] (18)

where $k$ indexes the different states sharing a degeneracy. The quantum holonomy after cyclic variation of parameters along the path $C$ is described formally by the same $M(C)$ as in (11)-(12) with $A^D$ now defined by block-diagonal

\[ A^D_{m,n}(\alpha) = A_{n,k}(\alpha) \delta_{m,n}, \] (19)

which results in block-diagonal $B(C)$ that mixes degenerate states after cyclic parametric variation. Because of the presence of $\hat{W}$, combination of all three types of quantum holonomy, Berry, Wilczek-Zee and spiral, can arise in general.

The preceding formalism can be straightforwardly extended to periodically driven systems. Consider a system whose Hamiltonian has periodic time dependence $H(t + T_p, \alpha) = H(t, \alpha)$. The stationary state $|v_n(\alpha)\rangle$ of this system is an eigenvector of the Floquet operator, i.e., the time evolution operator for a unit period $T_p$,

\[ U(\alpha) = T e^{-i \int_0^{T_p} dt H(t, \alpha)}. \] (20)

We have an eigenvalue equation

\[ U(\alpha) |v_n(\alpha)\rangle = e^{-i \varepsilon_n(\alpha) T_p} |v_n(\alpha)\rangle, \] (21)

where a quasienergy $\varepsilon_n(\alpha)$ is defined only up to modulo $2\pi/T_p$. When the time scale of the variation of $\alpha = \alpha(t)$ is far larger than the unit period, $\tau \gg T_p$, we can speak about the adiabatic change of $\alpha$. Just as before, $|v_n(\alpha)\rangle$ determines the gauge connection $A_{m,n}(\alpha)$, that is to be used to compute the holonomy matrix $M(C)$.

We now illustrate our arguments with an example of kicked spin \[12,13\], which is a variant of systems with rank-one periodic kick \[17,18\]. Consider a spin one-half subjected to constant and time-periodic magnetic force,

\[ H = T \sigma_3 + \lambda V \sum_{n=-\infty}^{\infty} \delta \left( t - n - \frac{1}{2} \right), \] (22)

where $V$ is given by a linear combination of spin operators $\sigma_1, \sigma_2$ and $\sigma_3$. We require the system to be $2\pi$-periodic with respect to the strength parameter $\lambda$, which gives the condition $e^{2\pi V} = 1$. With straightforward computations, $V$ is shown to have the form

\[ V = \frac{p}{2} + \frac{p^2}{2} \sum_{i=1}^{3} b_i \sigma_i, \] (23)

with integer $p$ and $\sum_i b_i = 1$. Let us adopt the spherical parametrization of three-dimensional unit vector $\{b_i\}$ as $b_1 = \sin \gamma \cos \xi$, $b_2 = \sin \gamma \sin \xi$ and $b_3 = \cos \gamma$. The three parameters of the system $\lambda, \gamma, \xi$ form a three-dimensional manifold $\{\lambda, \gamma, \xi\} = S^2 \times S^2$. The temporal evolution during one period $T_p = 1$ is described by a unitary operator $U(\alpha) = e^{-i Q \sigma_3 e^{-i \lambda T_0} e^{-i \lambda T} \sigma_3}$. The problem is fully solvable with elementary functions,

\[ \varepsilon_n = \frac{p}{2} \lambda + (-1)^n P \frac{\cos \gamma}{\sin \gamma} \frac{\sin \gamma}{\cos \gamma} \] (24)

\[ |v_0\rangle = \left( e^{-\frac{i\gamma}{2}} \cos \frac{\pi}{2} \lambda, \gamma, T, e^{-\frac{i\gamma}{2}} \sin \frac{\pi}{2} \lambda, \gamma, T \right), \] (25)

\[ |v_1\rangle = \left( -e^{-\frac{i\gamma}{2}} \sin \frac{\pi}{2} \lambda, \gamma, T, e^{-\frac{i\gamma}{2}} \cos \frac{\pi}{2} \lambda, \gamma, T \right). \] (26)

Here, $E$ and $Q$ are given by

\[ E_{\lambda, \gamma, T} = \cos^{-1} (\cos \lambda \cos T - \sin \lambda \sin T \cos \gamma), \]

\[ Q_{\lambda, \gamma, T} = \frac{1}{2} \tan^{-1} \left( \frac{\sin \lambda \sin \gamma}{\cos \lambda \cos T + \sin \lambda \sin T \cos \gamma} \right). \] (27)

Note the relations $Q_{\lambda + \pi, \gamma, T} = Q_{\lambda, \gamma, T} + \frac{\pi}{2}$ and also $Q_{\lambda + \pi, \gamma, T} = Q_{\lambda + \pi, \gamma, T} = Q_{\lambda + \pi, \gamma, T} + \frac{\pi}{2}$, and $Q_{\lambda + \pi, \gamma, 0} = Q_{\lambda, \gamma, 0} + \frac{\pi}{2}$. Also note anti-periodicity of $E$ function; $E_{\lambda + \pi, \gamma, T} = \pi - E_{\lambda, \gamma, T}$. The gauge connections $A^S = \left[\left|v_0\right|, \delta |v_n|\right]$ are given by

\[ A^S = \frac{1}{2} (\Sigma_3 \cos \gamma - \Sigma_1 \sin \gamma), \]

\[ A^\gamma = \Sigma_1 Q_{\frac{\pi}{2} \lambda, \gamma, T} \Sigma_2, \]

\[ A^\lambda = \Sigma_2 Q_{\frac{\pi}{2} \lambda, \gamma, T} \Sigma_2, \] (28)

where $\Sigma_i$ are Pauli spin matrices acting on the space of vectors $|v_0\rangle = \left[\begin{array}{c} 1 \\ 0 \end{array}\right]$ and $|v_1\rangle = \left[\begin{array}{c} 0 \\ 1 \end{array}\right]$. We have

\[ W(C^S) = -1, \quad W(C^\gamma) = -1, \]

\[ W(C^\lambda) = \cos Q_{(2-p)\pi, \gamma, T} - i \Sigma_2 \sin Q_{(2-p)\pi, \gamma, T}. \]
and
\[ B(C) = e^{i\pi \cos \gamma \Sigma_3}, \quad B(C') = 1, \quad B(C') = 1. \] (29)

After the cyclic variation of \( \alpha \) along the path \( C_\alpha : \alpha \to \alpha + 2\pi \), the state \( \ket{v_\alpha} \) is transformed to \( M(C_\alpha)\ket{v_\alpha} \) with
\[ M(C_\alpha) = e^{-i(1 - \Sigma_3 \cos \gamma)}, \quad M(C_\alpha) = -1, \] (30)
for \( T = 0 \), which signify the existence of “monopole” at the center of parameter space \( S^2 \), and are the classic example of Berry’s phase. It is worthwhile to point out that, with our choice of gauge (25), the Berry phase \( M(C_\gamma) = -1 \) is given not from the expected place \( B(C_\gamma) \), but from \( W(C_\gamma) \), which is a good illustration of the convenience of the gauge-invariant expression (11). For the cyclic path of \( \lambda \), we have
\[ M(C_\lambda) = \cos \left( \frac{2 - p}{2} \pi \right) - i\Sigma_2 \sin \left( \frac{2 - p}{2} \pi \right), \] (31)
which clearly shows the exchange of eigenstates after the cyclic variation of \( \lambda \) for odd \( p \), that leaves only the second term. Corresponding eigenvalue anholonomy is visible in the expression, \( \varepsilon_n(\lambda + 2\pi) = \varepsilon_{n+p}(\lambda) \) and we have the spiral holonomy with energy shifts \( \Delta n(C_\alpha) \) given by \( \Delta n(C_\alpha) = 0, \Delta n(C_\gamma) = 0 \) and \( \Delta n(C_\lambda) = p \), from which, the meaning of \( p \) as winding number is evident.

As an example of degenerate holonomy, we now turn to a time-periodic extension of magnetic spin with higher angular momentum [19]. Consider a spin three-half subjected to constant and time-periodic quadrupole force;
\[ H = T\tau_5 + \lambda V \sum_{n=-\infty}^{\infty} \delta (t - n - \frac{1}{2}), \] (32)
where \( V \) is given by a linear combination of spin operators \( \tau_1, \tau_2, \tau_3, \tau_4 \) and \( \tau_5 \), where
\[ \tau_1 = \begin{pmatrix} 0 & i\sigma_2 \\ -i\sigma_2 & 0 \end{pmatrix}, \quad \tau_2 = \begin{pmatrix} 0 & -i\sigma_1 \\ i\sigma_1 & 0 \end{pmatrix}, \]
\[ \tau_3 = \begin{pmatrix} 0 & I \\ I & 0 \end{pmatrix}, \quad \tau_4 = \begin{pmatrix} 0 & -i\sigma_3 \\ i\sigma_3 & 0 \end{pmatrix}, \] (33)
\[ \tau_5 = \begin{pmatrix} I & 0 \\ 0 & -I \end{pmatrix}, \]
with evident notations of \( I \) and \( 0 \) signifying two-by-two unit and zero matrices, respectively. These traceless matrices form a Clifford algebra, \( \tau_i \tau_j + \tau_j \tau_i = 2\delta_{ij} \). We require the system to be \( 2\pi \)-periodic with respect to the strength parameter \( \lambda \), namely \( e^{i2\pi V} = 1 \). In the similar manner to \( J = 1/2 \) case, we have,
\[ V = \frac{p}{2} \sum_{i=1}^{5} b_i \tau_i, \] (34)
with an integer \( p \), and \( \sum_i b_i^2 = 1 \). We parameterize five-dimensional unit vector \( \{b_i\} \) as \( b_1 = \sin \gamma \cos \eta \cos \xi, \quad b_2 = \sin \gamma \cos \eta \sin \xi, \quad b_3 = \sin \gamma \sin \eta \cos \xi, \quad b_4 = \sin \gamma \sin \eta \sin \zeta, \quad b_5 = \cos \gamma \). The five parameters of the system \( \lambda, \gamma, \eta, \xi, \zeta \) form a five-dimensional manifold \( \{\lambda, \gamma, \eta, \xi, \zeta\} = S^1 \times S^4 \). The temporal evolution during one period \( T_p = 1 \) is described by a unitary operator operator \( U_T = e^{-i\tau_3} e^{-iAV} e^{-\frac{i\pi}{4}} \), whose eigenvalue problem is solvable. We have the doubly degenerate analytical solution
\[ \varepsilon_n = \frac{p}{2} \lambda + (-1)^n E_{\gamma \lambda \gamma \gamma \gamma}^{\lambda \lambda \gamma \gamma \gamma}, \] (35)
\[ |v_{00}\rangle = \begin{pmatrix} e^{-i\theta_+} \cos \gamma & e^{-i\theta_+} \sin \gamma \\ 0 & e^{i\theta_+} \sin \gamma \end{pmatrix}, \]
\[ |v_{01}\rangle = \begin{pmatrix} e^{i\theta_+} \cos \gamma & e^{i\theta_+} \sin \gamma \\ 0 & e^{-i\theta_+} \sin \gamma \end{pmatrix}, \] (36)
\[ |v_{00}\rangle = \begin{pmatrix} e^{-i\theta_+} \sin \gamma & e^{-i\theta_+} \cos \gamma \\ 0 & e^{i\theta_+} \cos \gamma \end{pmatrix}, \]
\[ |v_{11}\rangle = \begin{pmatrix} e^{i\theta_+} \sin \gamma & e^{i\theta_+} \cos \gamma \\ 0 & e^{-i\theta_+} \cos \gamma \end{pmatrix}. \]

The structure of the eigenvalues is the same as in the previous case of \( J = 1/2 \), apart from their double degeneracy. With the choice \( \gamma = \frac{\pi}{2} \), the system is split into two independent systems of kicked spin \( J = 1/2 \).

The variation of eigenstates with the adiabatic variation of parameters is dictated by the Wilczek-Zee extension of Mead-Berry connection \( A^\alpha = \{(v_{nj}) [i\partial_0 |v_{nk}\rangle \} \), which transforms the state \( |v_{nj}\rangle \) into \( M(C_\alpha) |v_{nj}\rangle \). Here, we only consider the cyclic change along the \( S^1 \) with the variation of \( \lambda \) along \( C_\lambda : \lambda \to \lambda + 2\pi \), and leave the full treatment to forthcoming publication. The matrix \( M(C_\lambda) \) is given by
\[ M(C_\lambda) = \cos \left( \frac{2 - p}{2} \pi \right) - i\sin \left( \frac{2 - p}{2} \pi \right) \begin{pmatrix} 0 & -iI \\ iI & 0 \end{pmatrix} + \cos \left( \frac{2 - p}{2} \pi \right) \begin{pmatrix} 0 & \Sigma_2 \\ \Sigma_2 & 0 \end{pmatrix}. \] (37)

For odd \( p \), the first term becomes zero, and we have the spiral holonomy displayed in combination of Wilczek-Zee and Berry holonomy.

The central finding of this work is that, in generating quantum holonomy, the gauge connection has two places to act, one of which has been neglected up to now. Once the assumption of single-valuedness of states’ wave function is lifted, larger class of gauge transformation is
allowed, and previously mysterious spiral holonomy can now be regarded as a legitimate member of the family of generalized geometric phase. We may hope that the unified formulation developed in this work, with its manifest gauge invariance, is instrumental in further advancing our understanding of quantum holonomy.

It is not clear to us, at this point, which mathematical characterization of $A$ ensures $W_{m,n}$ to be permutation matrices with complex phase, and also which property of $A$ controls the occurrence of spiral holonomy. These are to be the subjects for further studies.

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We express our gratitude to Dr. M. Miyamoto for useful discussions and comments. This work has been partially supported by the Grant-in-Aid for Scientific Research of Ministry of Education, Culture, Sports, Science and Technology, Japan under the Grant Nos. 18540384 and 18.06330.

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