Research Article

Study on Internal Force of Tunnel Segment by Considering the Influence of Joints

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1. Introduction

The influences of the joints on the internal forces should be taken into consideration in the design of the segment structure [1–3]. According to joint simplification, some methods have been proposed to calculate the segment, including mainly the uniform rigid ring [4–6], multihinge ring [7, 8], and beam-spring model [3, 9–11], as shown in Figure 1. Among the above three models, the beam-spring model is the most widely used one in the calculation of the segment ring. In the beam-spring model, since the joint stiffness has a significant influence on the internal force of the segment [12–14], many scholars have carried out model experiments on the stiffness of segment joints [15–21].

However, according to the beam-spring model, it is difficult to obtain the analytical solution of the segment ring under the external load, and it is not convenient to quantify the influence of the joint stiffness on the internal force. The mechanical study of the segment should follow the philosophy of the component prior to the structure. As a concrete component, although the mechanical properties of the segment can be improved by adding admixture and other means [22–24], the analysis of the model of segment component based on discontinuous joints should be paid more attention. The mechanical feature of the segment component is that a certain amount of deformation is allowed at the joint. An in-depth analysis of this feature will help to better serve the segment design.

Although there have been extensive studies on the buckling stability of arched components [25–29], few studies have explored the analytical solution of the internal force of the segment with the influence of joints. To be close to reality, a spring was used in the model studied in this paper to simulate the effect of the joint on the segment component. Under an external load, displacements occur at the joints, causing the internal force of the joints to change, which in turn affects the internal force distribution of the segment component. Therefore, it is crucial to study the influence of the displacements or stiffness of the segment joints on the internal force of the segment. The objective of this paper is to investigate the mechanical properties of a segment component with a discontinuous joint, including joint rotation...
Based on the mechanical properties of the segment component, we propose a progressive model to analyse the internal force of the segment component under joint rotation and translation. Using the elastic centre method and the superposition principle, the internal force calculation formula for a segment component with discontinuous joints is deduced. The theoretical analysis is used to study the internal forces of a fabricated subway subsurface excavation section from the starting point to Jin’anqiao on Beijing Metro Line 6. Based on the load test data of the segment joints, spline interpolation and iterative method are used to solve the internal force of the segment component under the action of earth pressure. The theoretical analysis and the calculation results in this study provide a reference for future segment design.

2. Mechanical Model of the Segment Component

2.1. Basic Assumptions and the Model Establishment.
Compared with the joint stiffness, the stiffness of the segment is greater, and thus, the joints will have a larger rotation or translation under the action of the external load compared to the segment. The segment component is a statically indeterminate structure with a redundant constraint, and therefore, the displacements of the joints inevitably have an effect on the distribution of the internal force of the segment.

To simplify the calculation, the internal force distribution of a single segment component under uniform pressure in the direction of the vertical span is studied. To evaluate the effect of the discontinuous rotation and translation between the joints and the segment, the segment component is considered to consist of a single segment and the joints on both sides that constrain the displacement of the segment. The constraint at the segment joints is simplified to consist of a rotational spring and two orthogonal line springs. The rotational spring constrains the rotation of the segment component and allows rotational displacement to occur under an external load. The linear spring constrains the movement of the segment component and allows for linear displacement under an external load. In order to make the analytical model consistent with the boundary conditions of the segment joint load test and to simplify the calculation, this analysis model does not consider the interaction between the segment and the soil. The mechanical model of the segment component is shown in Figure 2.

α is the semiarc angle of the segment component, l is the span length of the segment, R is the radius of the segment component, q is the uniform pressure perpendicular to the span, θ is the angular displacements of the segment joints, Δ₁ is the horizontal displacement of the segment joints, and Δ₂ is the vertical displacement of the segment joints.

In addition, it should be noted that in the calculation of internal force, a positive sign is assigned to the bending moment when the inside of the segment component is subjected to tension, a positive sign is assigned to the shear force when the moment of the adjacent section caused by the shear force is clockwise, and a positive sign is assigned to the axial force when the section is compressed.
2.2. Model Simplification. According to the principle of superposition, the stress state of the segment component under an external load is decomposed into two parts when solving the internal force distribution. One is the internal force of the segment component under the external load when the segment joints are treated as the fixed ends. The other is the internal force of the segment component caused by the segment joints displacement.

The segment component is a statically indeterminate structure that can be solved by the force method equation. To simplify the calculation, the elastic centre method for the arched structure can be used to obtain the redundant force at the elastic centre. Then, we can obtain the internal force distribution of the segment component. The calculation diagrams in the two states are shown in Figures 3 and 4.

\[ M_c, V_c, N_c \] are the redundant bending moment, redundant shear force, and redundant axial force at the elastic centre of the segment component under an external load or the load produced by the joint displacements, \( d \) is the vertical distance between the elastic centre point and the segment joints, and \( l \) is the span length of the segment component.

### 3. Internal Force Calculation of the Segment Component

#### 3.1. Redundant Force Analysis of the Segment Component.

The vertical distance between the elastic centre point \( O' \) and the segment joints can be obtained as follows:

\[
d = \int \left( \frac{y'/EI}{1/EI} \right) ds = \left( \frac{1}{2a} - \frac{1 - 4\rho^2}{8\rho} \right)l,
\]

where \( \alpha \) is the semiarc angle of the segment component and \( \rho \) is the rise-span ratio of the segment.

Under an external load without considering the joint displacements, using the elastic centre method, the redundant force at the elastic centre of the segment component can be deduced as follows:

\[
\begin{align*}
M_C &= B_1ql^2, \\
H_C &= C_1ql^2/f, \\
V_C &= 0,
\end{align*}
\]

where \( f \) is the vector height of the segment and \( B_1 \) and \( C_1 \) are related to the rise-span ratio and the semiarc angle of the segment, which can be defined by the following expression:

\[
\begin{align*}
B_1 &= \frac{1}{256\rho^2} \left[ (1 + 4\rho^2)^2 - 4\rho \left( 1 - 4\rho^2 \right) \right], \\
C_1 &= \frac{\rho}{12\Phi} \left[ \alpha(3 - 8\rho^2 + 48\rho^4) - 12\rho(1 - 4\rho^2) \right],
\end{align*}
\]

where \( \Phi \) is the affiliated coefficient, which can be defined by the following expression:

\[
\Phi = \left( 1 + 4\rho^2 \right)^2 \alpha^2 + 4\rho(1 - 4\rho^2)\alpha - 32\rho^2.
\]

The redundant force at the elastic centre can affect the internal force distribution of the segment component. Equation (2) illustrates that under uniform pressure perpendicular to the span, the internal force of the segment component is related to the semiarc angle and the span length.

Under the load produced by the joint displacements, using the elastic centre method, the redundant force at the
The elastic centre of the segment component can be deduced as follows:

\[
\begin{align*}
M_C &= -\frac{8\rho}{1-16\rho^2} \frac{EI\theta}{I}, \\
H_C &= -\frac{32\rho^2}{(1+4\rho^2)\Phi} \left[16\rho^2 - 4\rho(1 - 4\rho^2)\right] \frac{EI\theta}{fI} - \frac{1024\rho^5}{f^3I} \frac{\alpha}{\Phi} - \frac{1}{f^2I} \frac{Ei\Delta_1}{I}, \\
V_C &= 0,
\end{align*}
\]

where \(EI\) is the bending stiffness of the segment cross section.

Equation (5) can be analysed to obtain the following conclusions:

1. If the vertical displacements on both sides of the segment component are consistent, the internal force of the segment will not be affected.
2. The internal force of the segment component produced by the joint displacements is affected not only by the radius and semicircular angle of the component but also by the joint rotation, the joint horizontal dislocation, and the bending stiffness of the segment.

3.2. Internal Force Calculation of the Segment Component. From the redundant force at the elastic centre, we can obtain the internal force of the segment component under an external load with considering the joint displacements:
The internal force of the segment component is similar and affected by the joint rotation and horizontal dislocation when the segment section and the block mode are fixed. We should consider the influence of the joint bending redistribution of the internal force of the segment component. The stiffness of the joints is weakened, which causes the redistribution of the internal force of the segment component. We should consider the influence of the joint bending stiffness on the segment component when the segment section and the block mode are fixed.

The calculation method for the influence of the shear stiffness and bending stiffness of the discontinuous joint on the internal force of the segment component can be calculated by the joint bending stiffness and shear stiffness, respectively.

\[
\begin{align*}
M_\varphi &= \frac{1}{2} qR^2 \sin^2 \varphi + \left( B_1 q l^2 - \frac{8 \rho}{1 - 16 \rho^4} \frac{\text{EI} \theta}{l^2} \right) + \left( C_1 q l^2 f - \frac{128 \rho^3 [4 \rho - \alpha (1 - 4 \rho^2)]}{(1 + 4 \rho^2)^2 \Phi} \frac{\text{EI} \theta}{f^2 l} - \frac{1024 \rho^5 \alpha \text{EI} \Delta_1}{(1 + 4 \rho^2)^2 \Phi f^2 l} \right) \sin \varphi, \\
V_\varphi &= -qR \sin \varphi \cos \varphi + \left( C_1 q l^2 f - \frac{128 \rho^3 [4 \rho - \alpha (1 - 4 \rho^2)]}{(1 + 4 \rho^2)^2 \Phi} \frac{\text{EI} \theta}{f^2 l} - \frac{1024 \rho^5 \alpha \text{EI} \Delta_1}{(1 + 4 \rho^2)^2 \Phi f^2 l} \right) \cos \varphi, \\
N_\varphi &= q R \sin^2 \varphi + \left( C_1 q l^2 f - \frac{128 \rho^3 [4 \rho - \alpha (1 - 4 \rho^2)]}{(1 + 4 \rho^2)^2 \Phi} \frac{\text{EI} \theta}{f^2 l} - \frac{1024 \rho^5 \alpha \text{EI} \Delta_1}{(1 + 4 \rho^2)^2 \Phi f^2 l} \right) \cos \varphi,
\end{align*}
\]

where \( \varphi \) is arc angles of the sectional segment.

Equation (6) reveals that the internal force of the segment component is affected by the joint rotation and horizontal dislocation when the segment section and the block mode are fixed.

Before the internal force calculation of the segment components, the final joint deformation cannot be predicted. However, the rotation and horizontal displacement of the segment joints can be calculated by the joint bending stiffness and shear stiffness, respectively.

\[
\theta = \frac{B_1 q l^2 + \left( C_1 \left( q l^2 f \right) - \frac{1024 \rho^5 \alpha (1 + 4 \rho^2)^2 \Phi \left( \frac{\text{EI} \Delta_1}{f^2 l} \right) d - \Delta \rho^2 = \frac{M_\alpha}{k_\alpha} \right) }{k_\alpha + \left( 8 \rho^2 (1 - 16 \rho^4) \right) (\text{EI} / l) + \left( 128 \rho^3 [4 \rho^2 - \alpha (1 - 4 \rho^2)] \right) \left( \left( 1 + 4 \rho^2 \right) \Phi \left( \frac{\text{EI} \Delta_1}{f^2 l} \right) \right) \left( \frac{\text{EI} \Delta_1}{f^2 l} \right) \right)^

where \( \theta \) is the bending stiffness of the segment joints. After substituting equations (8) and \( \sin \alpha = \left( \frac{4 \rho}{1 + 4 \rho^2} \right) \) into equation (6), we can obtain the internal force of each segment under the influence of the joints. The formula is more complex and is not shown here.

The stiffness of the joints is weakened, which causes the redistribution of the internal force of the segment component. We should consider the influence of the joint bending stiffness on the segment component when the segment section and the block mode are fixed.

The calculation method for the influence of the shear stiffness and bending stiffness of the discontinuous joint on the internal force of the segment component is similar and therefore not repeated.

4. Practical Engineering Application

4.1. Engineering Background. Our study takes a segment of the subsurface excavation section from the starting point to Jin’anqiao station of Beijing Metro Line 6 as the research object. The influence of discontinuous joints on the mechanical properties of the segment component is studied quantitatively. The assembly form of the subsurface excavation tunnel lining is used as a reference for that of the shield. However, there are obvious differences between this construction method and the shield method. After using the mining method to excavate the tunnel section and taking the initial support measure, the assembly of the segment depends on a special assembly machine. The segment section is shown in Figure 5. This method can overcome the disadvantages of shield tunnelling used in some special composite strata, such as quaternary stratum with upper soft and lower hard characteristics, rock stratum, composite stratum of rock and soil, and stratum containing spherical weathering bodies. The construction method combines the advantages of the mining method and shield method, is adaptable, and has a high degree of automation. It can also increase construction speed and enhance safety. In addition, the horseshoe tunnel increases the utilization of the excavation section.

4.2. Geological Condition. The lithological log mostly consists of plain fill, clayey silt, silty clay, gravel, and mixed gravel and silty clay from the top to bottom around the tunnel. The geological profile of the fabricated section is shown in Figure 6.

4.3. Theoretical Calculation and Analysis. The load can be calculated according to the calculation method of the tunnel with the mining method. To simplify the calculation, the vertical earth pressure is regarded as the load in the direction of the vertical span. The calculated vertical earth pressure is \( q = 256.88 \text{ kPa} \). Taking the geometrical parameters of the...
D-shaped segment, with a radius of 2.84 m, a thickness of 0.3 m, and a semicircular angle of $0.4\pi$, as an example, the influence of joint deformation and stiffness on the internal force of the segment component was studied under the vertical load. Because the segment component and the external load are symmetrical along the vertical axes, only the internal force of the right half of the segment component is analyzed.

Compiling the program through the M language in MATLAB, we can obtain the segment internal force distribution for joint angular displacements of 0.0000, 0.0002, or 0.0004 rad, as shown in Figure 7. The segment internal force distribution for joint horizontal displacements of 0, 2, or 4 mm is shown in Figure 8.

Figures 7 and 8 show that, under alignment, the maximum bending moment of the segment is 0.11 kN·m and the minimum bending moment is $-0.05$ kN·m. The maximum shear force is 270.63 kN, and the minimum shear force is $-63.64$ kN. The maximum axial force is 817.62 kN, and the minimum axial force is 510.00 kN. When the joint rotation angle is 0.0004 rad, the maximum bending moment of the segment is 0.05 kN·m and the minimum bending moment is $-0.08$ kN·m. The maximum shear force is 241.08 kN, and the minimum shear force is $-77.26$ kN. The maximum axial force is 807.87 kN, and the minimum axial force is 478.93 kN. When the joint horizontal displacement is 4 mm, the maximum bending moment of the segment is 0.21 kN·m and the minimum bending moment is $-0.20$ kN·m. The maximum shear force is 38.27 kN, and the minimum shear force is $-190.11$ kN. The maximum axial force is 741.97 kN, and the minimum axial force is 266.68 kN.

Figures 7 and 8 indicate that joint displacements can affect the internal force distribution of the segment component. The following observations can be made from the two figures:

1. The joint rotation decreases the joint shear force while reducing the bending moment of the joints. The horizontal dislocation of the joints has a greater effect on the bending moment of the segment component while reducing the shear force of the segment joints, and thus, the bending moment distribution is even more uneven. The axial force of the segment component is decreased due to the joint rotation and horizontal dislocation.

2. The joint displacements cause a similar unloading effect on the internal force of the segment joints. The bending moment and shear force at other cross...
sections of the segment component will increase to different degrees, and the axial force of the segment component can be reduced, which should be considered in the structure calculation.

(3) The horizontal dislocation of the segment joints has a great influence on the internal force of the segment component.

In addition, relevant research data [30] and specification data [31] show that the control value of the horizontal dislocation is 10 mm. Under a maximum joint misalignment of 10 mm, the maximum bending moment of the segment is 0.47 kN·m and the minimum bending moment is −0.67 kN·m. The maximum shear force is 0 kN, and the minimum shear force is −437.69 kN. The maximum axial force is 628.72 kN, and the minimum axial force is −100.81 kN. By comparing with the internal force of the segment component with alignment, it can be obtained that the displacement of the segment has a great influence on the internal force distribution of the segment component. The bending moment varies the most, followed by the shear force.

The segment internal force distributions with joint bending rigidities of EI, 0.1EI, and 0.01EI are shown in Figure 9.

Analysis of Figure 9 reveals the following:

(1) As the joint bending stiffness decreases, the bending moment of the joints is decreased, and the internal force of the segment component is redistributed

(2) When the bending stiffness of the joints is equal to 0.01 times that of the segment, the bending moment of the segment joints is smaller and can be regarded as a hinge

Figure 7: Internal force distribution of the segment component with joint rotation.
4.4. Test and Iterative Calculation. The bending stiffness of the segment joint is the bending moment required for the segment joint to produce a unit rotational angle. At present, there is no mature formula or chart available for the value of bending stiffness in the project, which can be determined by the segment joint load test [32].

In the test, the horizontal axial force is applied by the loading system on the reaction wall, and the vertical load is applied by the jack through the distribution beam. The test diagram is shown in Figure 10. According to the research results of the segment joint load test in Figure 11 [33], the joint stiffness of the segment is not constant. The larger the eccentric distance at the segment joints, the smaller the bending stiffness of the joints. When the eccentricity is constant, the greater the axial force at the segment joints, the smaller the bending stiffness of the joints.

From the above analysis, the eccentricity and axial force at the joint have an influence on the bending stiffness of the segment joints. The change of the bending stiffness at the joint inevitably causes the change of the joint displacement, which in turn causes the change of the internal force of the segment joints. The bending stiffness at the joint interacts with the internal force at the joint.

When analysing the mechanical model, the ultimate internal force at the joint cannot be predicted, so the bending stiffness of the joint cannot be selected from the data of the joint load test. Therefore, the iterative method is used to

Figure 8: Internal force distribution of the segment component with joint horizontal dislocation.
repeatedly calculate the internal force of the segment and the joint stiffness and then successively approximate the true value to obtain the final internal force value.

In order to ensure the good convergence and continuity of the interpolation points, when selecting the bending stiffness of the joints, the data obtained from the load test of the segment joints should be calculated by spline interpolation. Algorithms of spline interpolation can be expressed as follows:

\[ S(x) = \sum_{j=0}^{n} \left[ y_j \alpha_j(x) + m_j \beta_j(x) \right], \quad (9) \]

where \( S(x) \) is the interpolation function, \( y_j \) is the function value of node \( x_j \), \( m_j \) is the derivative value of interpolation function, and \( \alpha_j(x) \) and \( \beta_j(x) \) are the interpolation basis functions.

The internal force of the segment and the stiffness of the segment joint are calculated by an iterative method. By compiling the program, we can get the internal force distribution of the segment component, which is shown in Figure 12.

After iterative calculation, the final bending stiffness of the segment joint is \( 1.2 \times 10^4 \) kN-m-rad\(^{-1} \).
Figure 10: Diagram of the joint load test of the segment.

Figure 11: Joint load test data.

Figure 12: Continued.
5. Conclusions

In view of the lack of analytical research on the internal force of the segment component, based on the mechanical characteristics of the segment component, that is, a certain amount of deformation is allowed at the segment joints, the internal force of the segment component is analyzed deeply. The mechanical model of the segment component under the elastic constraint is established, and the analytic solution of the internal force of the segment component with discontinuous joints is solved by using the elastic center method and the superposition principle. Combined with the engineering application, the following conclusions can be drawn based on the above analysis:

(1) The internal force of the segment component with discontinuous joints is affected by the radius, the semiarc angle, the joint rotation, the horizontal dislocation, the segment bending stiffness, the joint bending stiffness, and other factors.

(2) The joint rotation reduces the bending moment, the shear force, and the axial force of the segment joints, and the joint horizontal dislocation reduces the shear force and axial force of the segment joints. The joint displacements increase the negative bending moment and the negative shear force of the segment component to different degrees. The adverse effect should be considered in the segment design by taking measures such as increasing the reinforcement ratio of the segment section and reducing the joint displacements.

(3) The joint horizontal dislocation has a greater effect on the internal force of the segment component than does the joint rotation. In the control of joint displacements, we should pay attention to restraining the dislocation displacements.

(4) As the bending stiffness decreases, the negative bending moment of the segment component increases, and the nonuniformity of the segment bending moment is increased.

(5) To maintain a uniform internal force of the segment component, when the segment is designed, joints such as the tenon joint should be strengthened to reduce the internal force of the segment section, in addition to applying other relevant methods.

(6) Since the bending stiffness of the joints is related to the internal force of that, the internal force of the segment component and the joint stiffness should be iteratively calculated in combination with the research results of the joint load test.

(7) Studies of the analytical solution of the internal force of the segment component with discontinuous joints can aid the identification of factors that affect the internal force of the segment, which can be used as the basis for the analytical calculation of the whole segment ring with discontinuous joints.

Data Availability

The data that support the findings of this study are available within the article.

Conflicts of Interest

The authors declare that they have no conflicts of interest.

Authors’ Contributions

Linwei Dong conceptualized the study and was responsible for methodology; Linwei Dong and Zhiyong Yang performed formal analysis; Linwei Dong and Zhenyong Wang prepared the original draft; Linwei Dong, Zhiyong Yang, Zhenyong Wang, Yaowen Ding, and Weiqiang Qi reviewed and edited the manuscript; Zhiyong Yang was involved in study supervision and funding acquisition.
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