Cusp in the Symmetry Energy, PREX-II
And Pseudo-Conformal Sound Speed in Neutron Stars

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(Dated: October 19, 2021)

The recent announcement of the PREX-II measurement of the neutron skin of 208Pb that suggests a stiff symmetry energy near nuclear matter density \(n_0\) and its impact on the EoS of massive compact stars raise the issue as to whether the widely accepted lore in nuclear astrophysics that the EoS determined at \(n_0\) necessarily gives a stringent “constraint” at high densities relevant to massive compact stars. We present the argument that the “cusp” structure in the symmetry energy at \(n_{1/2} \gtrsim 2n_0\) predicted by a topology change in dense matter could obstruct the validity of the lore. The topology change, encoding the emergence of QCD degrees of freedom in terms of hidden local and scale symmetries, predicts an EoS that is soft below and stiff above \(n \gtrsim n_{1/2}\), involving no low-order phase transitions, and yields the macrophysical properties of neutron stars overall consistent with the astrophysical observations including the maximum mass \(M_{\odot} \approx 2.2\) as well as the GW data. Furthermore it describes the interior core of the massive stars constituted of baryon-charge-fractionalized quasi-fermions, that are neither baryonic nor quarkonic, with the “pseudo-conformal” sound speed \(c_{\text{pc}}/c^2 \approx 1/3\) converged from below at \(n_{1/2}\) with a nonzero trace of energy-momentum tensor. In the renormalization-group approach to interacting fermions dubbed \(G\text{nEFT}\), the strangeness degrees of freedom play no role in the density regime relevant to the massive stars considered.

I. INTRODUCTION

In accessing dense neutron-star matter in terms of a topology change for the putative hadron-quark continuum, it was discovered in 2011 [1] that a cusp is present in the nuclear symmetry energy \(E_{\text{sym}}\) at a density \((2-3)\) times the normal nuclear matter density \(n = n_0 \approx 0.16\) fm\(^{-3}\). This cusp structure has been found to play the most important role in the approach to the EoS of dense compact-star matter developed entirely independently of other on-going approaches in nuclear astrophysics. Formulated with the minimum number of degrees of freedom available it has the power to go beyond the standard chiral effective field theory (S\(\chi\)EFT), currently heralded as as a possible “first-principles approach” to nuclear theory at low energy and density, and gives extremely simple predictions that have the merit to be unambiguously confronted by experiments in the density regime inaccessible by S\(\chi\)EFT. It has thus far accounted with no unmountable tension for all macro-physical observables available in both terrestrial and astrophysical laboratories. See for the current status, e.g., [2].

In this paper, we show that this cusp structure zeroes in on the recent issue raised by the PREX-II measurement of the neutron skin thickness of 208Pb [3] and the impact on the equation of state (EoS) of massive compact stars. An analysis using the new \(R_{\text{skin}}^{208}\) and certain correlations with the symmetry energy \(J\) and its slope \(L\) (to be defined) at \(n = n_0\) led to the 1 \(\sigma\) intervals [4]

\[
J = (38.1 \pm 4.7)\, \text{MeV}, \quad L = (106 \pm 37)\, \text{MeV}. \quad (1)
\]

These values seemingly overshoot greatly the currently “accepted” values [5][6]

\[
J = (31.7 \pm 1.1)\, \text{MeV}, \quad L = (59.8 \pm 4.1)\, \text{MeV}. \quad (2)
\]

This result means the EoS must be a lot stiffer at normal nuclear matter density than what has been considered up to date. A similar observation termed as a “dilemma” is arrived at by Piekarewicz from the electric dipole polarizability of neutron-rich nuclei [9]. Naively extrapolated to the massive compact-star density, the \(R_{\text{skin}}^{208}\) data could rule out most of, or at least put in serious tension, the EoS’ currently available in the literature for compact-star physics.

The stiff EoS implied by the dilemma turns out, as we will discuss later, to have a dramatic effect on the properties of massive stars such as the composition of the star core and sound speed. We will show that the cusp structure discovered in [1] gives rise to a totally different picture. This has a close connection to the lore popularly accepted in certain nuclear astrophysics circles

[1] We will elaborate on these “accepted” values below.
that the EoS determined accurately at low density, say, as \( n_0 \), should make an indispensable constraint to the EoS at higher densities. Put differently, the lore that we shall refer to as nuclear-astrophysics lore (nLORE for short) states that what happens in the core of compact stars must be constrained by what happens in nuclear matter. This of course must be true in a uniquely given theory, namely, QCD. However given that QCD can directly access neither nuclear matter nor compact-star matter, what’s available is effective field theory (EFT) in the sense defined by Weinberg’s Folk Theorem. In EFT, this nLORE cannot be valid if there are phase changes or crossovers. In fact, we will argue the presence of the cusp in our approach debunks the nLORE on constraints on EoS. What turns out to importantly figure in our argument is the existence of that cusp at a density \( \gtrsim (2-3)n_0 \) in the symmetry energy induced by a (what we consider to be robust) topology change in dense matter that effectively encodes the putative hadron-quark continuity expected in QCD. This point is signaled also in a different context in [7]. It aptly reconciles a soft EoS at \( n < n_{1/2} \) to a hard EoS at \( n > n_{1/2} \) accounting notably, among others, for massive \( \gtrsim 2M_{\odot} \) compact-stars and other macroscopic star properties including the recent gravity wave data.

II. THE CUSP IN \( E_{\text{sym}} \)

The quantity that plays the most important role in the EoS for compact-star matter \([8]\) is the symmetry energy \( E_{\text{sym}} \) in the energy per nucleon given by

\[
E(n, \alpha) = E(n, \alpha = 0) + E_{\text{sym}}(n)\alpha^2 + O(\alpha^4) + \cdots \tag{3}
\]

where \( \alpha = (N-P)/(N+P) \) is the neutron-proton asymmetry with \( P \) (\( N \)) standing for the number of protons (neutrons) in \( A = N + P \) nucleon system. The \( J \) and \( L \) concerned are

\[
J = E_{\text{sym}}(n_0), \quad L = \frac{\partial E_{\text{sym}}(n)}{\partial n}|_{n=n_0}. \tag{4}
\]

The issue associated with the Pb skin thickness puzzle involves this symmetry energy on which our discussion will be focused. In standard nuclear physics approaches (SNPA) anchored on effective density functionals such as the Skyrme potential, relativistic mean field (RMF) and varieties thereof as well as \( S_1\text{PT} \) up to manageable chiral order, equipped with a certain number of parameters fit to available empirical data, the \( E(n, \alpha) \) can be more or less reliably determined in the vicinity of the nuclear matter equilibrium density \( n_0 \). It has also been extended, with albeit significant uncertainty, up to slightly above \( n_0 \) from heavy-ion collision experiments. Thus one can say that the nuclear symmetry energy \( E_{\text{sym}} \) is fairly well determined up to \( n_0 \) in SNPAs. It should, however, be stressed that its slope in density, namely, \( L \) and higher derivatives remain uncertain, say in \( S_1\text{PT} \), unless chiral-order terms up to \( N^m\text{LO} \) for \( m \gtrsim 4 \) are fully included. This is closely tied to the fact that the chiral power expansion (say, in \( S_3\text{PT} \)) is bound to break down for \( k_F^2 \) for \( \kappa \gtrsim 5 \) ((e.g., [9])) as the hadron-quark crossover density is approached. So the problem is how \( E_{\text{sym}} \) and its derivatives behave beyond the equilibrium density \( n_0 \). This is where heavy degrees of freedom (HDsF) need to enter.

A. Cusp in Skyrmon Crystal at \( O(1/N_c) \)

We address this problem exploiting a topological structure of dense baryonic matter. This is because in the large \( N_c \) limit in QCD, the only known non-perturbative tool available in strong interaction physics applicable to baryonic matter at large density – in the absence of lattice QCD – is putting skyrmions (or instantons in holographic QCD) on crystal lattice \([10]\). Application of the crystal skyrmon lattice method to nuclear matter and dense matter has been around for some time (see for an early review \([12]\)) but only recently is the power of skyrmion approach beginning to be recognized in nuclear physics, contrary to condensed matter as well as string theory where the skyrmion structure in various spatial dimensions has been having remarkable impacts \([11]\). This is because of the extreme mathematical subtlety involved in the skyrmon physics. Furthermore, the condition for the validity of lattice skyrmions in particular, i.e. large \( N_c \) and large density, is not met at the density where there is a wealth of experimental data, namely finite nuclei \([7]\). However the cusp structure in question that takes place at relatively high density – relative to normal nuclear matter – seems to meet the two conditions as indicated by the quasi-scale invariance seen in the crystal simulation in the half-skyrmion phase \([13]\).

To illustrate the basic idea, we first take the Skyrme model \([14]\) stabilized by the (Skyrme) quartic term for skyrmions supplemented by a scalar dilaton as first shown in \([1]\). What is crucial is that the Skyrme model encodes the necessary topological structure. But by itself, it misses certain nontrivial crucial dynamical characteristics encoded in QCD. We will implement these missing ingredients with hidden local symmetry (HLS for short) supplemented with hidden scale symmetry (HSS) and incorporate them for quantitative discussions in the generalized chiral effective field theory (EFT) approach that is dubbed \( G\text{EFT} \) \([2]\). We will present the argument that the HLS and HSS (combined, referred to as sHLS), the degrees of freedom associated with which are identified with the HDsF involved at high density, are “dual” to QCD (gluons and quarks) in the density regime relevant to compact stars. It will be argued that the density

\[2\] There is a striking recent development that we will refer to below as the potential power of the skyrmion structure in finite nuclei relative to the nLORE. It will exhibit the indispensable role of HDsF.

\[3\] This notion of hadron-quark duality will be specified below.
involved is located far below asymptotic density at which hardon-quark continuity presumably does break down (to be specified below).

Following \[1\], we calculate the symmetry energy by quantizing the crystal as a whole object through a collective rotation in iso-space with the rotation angle \( C(t) \) acting on the relevant chiral fields \( U = \xi^2 \) (in unitary gauge) as

\[
\xi_c(x) \to \xi(x, x) = C(t)\xi_c(x)C^\dagger(t),
\]

\[
V_{\mu,c}(x) \to V_{\mu}(x, t) = C(t)V_{\mu,c}(x)C^\dagger(t),
\]

where the subindex “\( c \)” means the static configuration with the lowest energy for a given crystal size \( L \) and \( C(t) \) is a time-dependent unitary \( SU(2) \) matrix in iso-space. We define the angular velocity through \( \Omega \)

\[
\frac{i}{2} \tau \cdot \Omega = C^\dagger(t)\partial_0 C(t).
\]

The energy of the \( n \)-nucleon system can be written as

\[
M_{\text{tot}} = M_{\text{static}} + \frac{1}{2}\lambda_I \Omega^2.
\]

By regarding the angular momentum in iso-space, \( J = \delta M_{\text{tot}}/\delta \Omega \), as the isospin operator, one can write the total energy of the system as

\[
M_{\text{tot}} = nM_{\text{sol}} + \frac{1}{2n\lambda_I} I_{\text{tot}}^2 (I_{\text{tot}} + 1),
\]

where \( M_{\text{sol}}, \lambda_I \) and \( I_{\text{tot}} \) are, respectively, the mass and moment of inertia of the single skyrmion in the system, and the total isospin of the \( n \)-nucleon. Given that the \( n \)-nucleon system is taken a nearly pure neutron system, \( I_{\text{tot}} \leq n/2 \), to the leading order of \( n \) for \( n \to \infty \), the energy per baryon takes the form

\[
E = M_{\text{sol}} + \frac{1}{8\lambda_I} N_c^2.
\]

Thus the symmetry energy is

\[
E_{\text{sym}} = \frac{1}{8\lambda_I} + O(1/N_c^2).
\]

The moment of inertia \( \lambda_I \sim O(N_c) \) can be computed in the leading \( N_c \) order as the integral over the single cell and takes the form

\[
\lambda_I = \frac{f_\pi^2}{6} \left\{ (4 - 2\phi_0^2) + \delta \lambda_I + \cdots \right\},
\]

where the first term comes from the quadratic current algebra term and the second stands for the contribution from the Skyrme quartic term which consists of four terms involving \( \phi_0 \) and space derivatives of the chiral field \( \xi \). Here \( \phi_0 \), proportional to the quark condensate \( \langle \bar{q}q \rangle \), plays a crucial role in the whole development in \[2\].

In the skyrmion crystal formalism, the topology change is associated with the behavior of the quark condensate at a density labeled \( n_{1/2} \) which should, and generically does, lie above \( n_0 \). The quark condensate \( \Sigma = \langle \bar{q}q \rangle \), nonzero both globally and locally for \( n < n_{1/2} \), goes to zero at \( n_{1/2} \) when space averaged, \( \phi_0 \equiv \Sigma \to 0 \). But it is locally non-zero, thus generating chiral density wave and giving rise to a non-vanishing pion decay constant, \( f_\pi \neq 0 \). This transition triggers a skyrmion in the matter to fractionalize into 2 half-skyrmions. Since the order parameter, here the pion decay constant, is non-zero in the changeover, there is no low-order phase transition. This half-skyrmion “phase”\[6\] resembles what is referred to as “pseudo-gap phase” in condensed matter physics, e.g., in high-T superconductivity.

An important – and most crucial – property of the symmetry energy in this formulation, \( \propto 1/\lambda_I \), is that it develops a cusp at \( O(1/N_c) \) at the density \( n_{1/2} \) where \( \phi_0 \to 0 \). The cusp structure seen in the skyrmion lattice simulation \[1\] is schematically depicted in Fig.\[1\](right panel). The exact location of the cusp depends on the parameters of the Lagrangian which are a priori unknown, so it is arbitrary. It will be determined later from neutron-star observations to lie within the range \( 2 \lesssim n_{1/2}/n_0 < 4 \). This cusp form comes from an interplay involving the behavior of \( \phi_0 \) between the quadratic derivative current algebra term and the countering contribution from the Skyrme quartic derivative term. Roughly what happens is that the increase of \( \lambda \) from the quadratic term as \( \phi_0 \) goes to zero is stopped by the quartic term at \( n_{1/2} \) and starts dropping, causing the cusp in \( 1/\lambda \). It will be shown that this picture will be modified in nature by, among others, two observations. First, the skyrmion crystal simulation cannot be trusted at low density below \( n_{1/2} \), and next, the Skyrme quartic term can be taken as what results from integrating out HDsF from the skyrmion Lagrangian. The large \( N_c \) consideration gives a remarkably simple \( E_{\text{sym}} \).

To illustrate what is captured in this cusp, we quote in Fig.\[1\] (left panel) the recent illuminating summary by B.A. Li et al.\[13\] of the up-to-date experimental and theoretical status of the symmetry energy. It presents a giant wilderness. All the theoretical models available up to date, e.g., various energy density functionals, \( \chi \)EFTs etc., fit \$E_{\text{sym}} \) by fiat to what’s given in nature at \( \sim n_0 \). There are ample parameters available to allow it. The swamp sets in beyond \( n_0 \). Given the absence of trustful models – not to mention theories, there is no guidance how the \( E_{\text{sym}} \) should move at higher densities. There is nothing to prevent it from going up or down, even plunging below zero. The current experimental observations such as neutron stars (and heavy-ion data limited to only a few times \( n_0 \) do not fare any better as indicated by the solid (blue) lines in the left panel of Fig.\[1\].

What is certain is that the cusp is buried in this jungle. It may not be absurd to think that the cusp structure could be just an artifact of the lattice simulation. But it turns out, we will see, that it is not. When the jungle

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4 Lacking a better terminology, we will continue to (mis)use this term.
FIG. 1. Panorama of the symmetry energy $E_{\text{sym}}$. Left panel (copied from [15]): Wilderness in both various nuclear models and $\chi$EFTs and bounds given by neutron star (up-to-date) observations (solid blue lines). Right panel: Schematic form of the cusp (dotted line) in skyrmion crystal [1]. The solid line caricatures the effect of smearing by heavy degrees of freedom (HDsF). The interval between $n' > n_0$ and $n_{1/2}$ is the density regime that is arguably the most difficult to access by standard $\chi$EFT from below and by QCD proper from above as discussed in the text in connection with the sound speed and the tidal deformation.

is cleared up by the symmetries assumed to be involved, the cusp yields an extremely simple and portent mechanism needed for the EoS for massive stars. In particular, we will argue, the cusp represents the hadron-quark “duality” expressed in topology change [2]. It will lead to what will be termed “pseudo-conformal sound speed” and baryon-charge fractionalized “confined” fermions in the core of neutron stars.

We should stress that what’s involved in our approach is “hadron-quark duality,” not just hadron-quark continuity that captures crossover from hadronic degrees of freedom to quark/gluon degrees of freedom. In fact the notion of hadron-quark duality is a lot more general in the sense elaborated recently in the Cheshire-Cat Principle [16]. It represents the necessity at densities exceeding $n_0$ of the “heavy degrees of freedom (HDsF)”.

Those HDsF are to encode the quarks/gluons degrees of QCD at some high density without explicit presence of quark/gluons. How to do this precisely is presently unknown in the density regimes relevant to compact stars. This is because the densities involved are too far from the asymptotic regime where perturbative QCD is applicable and the only nonperturbative tool known, lattice QCD, is famously inaccessible at high density. So the question is: How does one proceed?

B. Cusp Induced by Nuclear Tensor Force

In [1], the cusp was reproduced by the role played by the pions and the vector mesons in the nuclear tensor force in standard nuclear structure physics. There the vector mesons were identified as hidden local fields and the scalar $\sigma$ as a dilaton. The key idea there was to exploit the vacuum-change-induced density dependence in the sHLS Lagrangian in the presence of baryonic matter [21]. Here we repeat essentially the same arguments to bring out certain characteristics of sHLS hidden in the discussions, namely, the “duality” assumed to hold à la Seiberg between hidden local gauge fields and QCD gluons and a hadrons-quarks/gluons duality. The objective is to link it to what $\chi$EFT does at low energy (and density) and to extend it to higher densities where $\chi$EFT is to break down. This would make our approach to compact-star matter in line with the spirit of the Folk Theorem on EFT. At present, the duality assumed is only a conjecture, but there are several compelling indications that such duality does most likely hold at high density (and perhaps also at high temperature) [17–20]. In the absence of a rigorous proof, we take this as our working assumption.

Our reasoning relies on two well-known (established) facts in nuclear physics in the presence of the HDsF. The first is that the symmetry energy is predominantly controlled by the nuclear tensor force, and the second is that the nuclear tensor force gets principal contributions from the exchange of the pseudo-Nambu-Goldstone pion $\pi$ and the $\rho$ meson and coming with an opposite sign, they tend to destructively interfere. It has also been established, within the framework of $GnEFT$ with density-scaling hadron masses [21], that the net tensor force is to decrease with increasing density in the effective range of force in nuclear medium with short-range correlations suitably taken into account. What figures here are the “vector manifestation” of the $\rho$ meson at high density, the dilaton condensate controlling the hadron masses in dense medium and the interplay of the $\omega$-nucleon coupling with the nucleon mass [2].

The resulting tensor force is depicted in Fig. 2. The left panels shows the decreasing tensor force at increasing
density in the absence of topology change\(^5\) (We note for later discussion that the net tensor force would vanish in the relevant range at \(n \sim 3n_0\)). However if there intervenes the topology change at \(n_{1/2}\), the tensor force undergoes a dramatic change as seen Fig. 2 (right panel). For \(n \geq n_{1/2}\), the \(\rho\) tensor gets suppressed more or less completely so that the net tensor gets abruptly recovered to that of the pionic strength.

How this changeover comes about is quite involved requiring a series of arguments \([2]\), but it is not hard to understand what’s at work in the mechanism with two assumptions. The assumptions are that (A) the vector mesons introduced as HDsF are hidden local symmetric subject to “composite gauge symmetry” \([23, 24]\) and (B) the scalar that provides an attractive nuclear force is the dilaton \(\sigma\) of the “genuine dilaton” structure \([25]\).

Now the assumption (A) asserts that at some high density, the vector mesons become massless, in particular with the gauge coupling \(g_\rho\) going to zero \([23]\) associated with the vector manifestation fixed point mentioned above, and the assumption (B) admits a (precocious) emergence of spontaneously broken scale symmetry with \(f_\sigma \approx f_\tau \neq 0\), accommodating massive matter fields, in particular, light-quark baryons, à la genuine dilaton scenario with the dilaton condensate dictating how hadron masses scale in density \([21]\). The two effects entail the abrupt changeover at \(n_{1/2}\) in the tensor force in Fig. 2 from the left panel to the right panel.

To see how this changeover produces the cusp in \(E_{\text{sym}}\), one recalls that the symmetry energy is predominantly controlled by the tensor force. A quick and simple way to estimate the dominant tensor-force contribution to \(E_{\text{sym}}\) is to do the closure-sum approximation of the iterated tensor force terms \([20]\). This exploits that the ground state is strongly coupled by the tensor force (subject, however, to the decreasing strength with density described above) to the states of excitation energies \(\sim 200\) MeV, so

\[
E_{\text{sym}} \approx C \left( \frac{V_T^2}{200 \text{ MeV}} \right)
\]  

(12)

with \(C > 0\) a known constant. With the NN interactions duly screened by short-range correlations (for which the \(\omega\) meson enters), it can be seen that \(V_T^2\) decreases as density goes toward \(n_{1/2}\) and then increases afterwards in the precise way as in the skyrmion lattice simulation, thus reproducing the cusp Fig. 1 at \(n_{1/2}\). While this argument holds more reliably on the right side of the cusp, namely in the half-skyrmion phase, it is not expected to hold well in the skyrmion phase away from the cusp. This is because there the effects well described by \(S_3\) EFT that include complicated configurations at high chiral orders involving other components of the force than the tensor-force are missing in this treatment. This will become visible in the \(GnEFT\) result shown below.

C. Smoothed Cusp

This calculation for the cusp (with the nuclear tensor force affected by the topology change) smoothed by the HDsF corresponds to the large \(N_c\) and quasi-classical approximation in standard nuclear physics calculations. In the formulation of \(GnEFT\), this is equivalent to the mean-field approximation with the sHLS Lagrangian \([2]\) which corresponds to the Landau Fermi-liquid fixed point approximation in the large \(N_c\) and large \(\tilde{N} \equiv k_F/(\Lambda - k_F)\) limit \([27]\). As shown in Fig. 3 (right panel) this cusp is made to smoothly cross over in the “\(V_{\text{lowk}}\) renormalized group (RG) approach” going beyond the Fermi-liquid fixed point approximation in \(GnEFT\) employed in

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\(^5\) This dropping of the tensor force at increasing density is manifested in various nuclear phenomena, the validity of which has been amply supported. A most spectacular case is the simple and elegant explanation of the long lifetime of C-14 beta-decay \([22]\). There are some \(ab\) \(initio\) calculations using three-body forces that seem to explain more or less equally well, but this should not be considered belittling the beauty of the simple tensor-force mechanism. Correctly done, both are equally correct in physics.
It takes into account 1/$\bar{N}$ corrections in the “ring-diagram” approximation. It corresponds to a generalized Fermi-liquid theory applicable to the relevant range of densities from $n_0$ to the compact-star matter density $\sim (5-7)n_0$ with the topology change incorporated at $n_{1/2}$. It is strictly valid in the large $N_c$ limit but has been verified to work well for nuclear matter, arguably as well as the SXEFT to N$^3$LO. The power of this approach is that while the SXEFT most likely breaks down at $n_{1/2}$, it becomes more reliable at higher densities as the Fermi-liquid fixed point is approached, that is as 1/$\bar{N} \rightarrow 0$. The role sHLS plays here in smoothing the cusp singularity is albeit inexplicably analogous to eliminating the cusp singularity in the fractional quantum Hall droplet baryon [18, 20]. Although the cusp is smoothed, it makes the symmetry energy that is soft below the cusp impacts via the $\eta^\prime$ potential term for the $\eta^\prime$ EFT with the HLS fields becoming topological Chern-Simons fields, giving rise to the fractional quantum Hall droplet baryon [18, 20].

The liquid fixed point is approached, that is as 1/$\bar{N}$ → 0, it becomes more reliable at higher densities as the Fermi-liquid theory applicable to the relevant range of uncertainty. As stressed in [18], this is explained in Conclusion Section.

In order to give credence to the $E_{\text{sym}}$ obtained in G$n$EFT that we will rely on, we summarize what the G$n$EFT treated in $V_{\text{lowe}k}$RG predicts for the EoS for nuclear matter and how it fares in nature. As stressed in [2], the possible topology change density is constrained to the range 2 $\lesssim n_{1/2}/n_0 < 4$. For simplicity we take $n_{1/2} \gtrsim 2n_0$ as representing our prediction within a small range of uncertainty.

In what follows, the strangeness flavor degrees of freedom, hyperons as well as kaons, do not enter in the density regime involved, say, $n \sim (5-6)n_0$. The reason for this is explained in Conclusion Section.

We divide the density regime into two: (A) $n \gtrsim n_0$ and (B) $n \gtrsim n_{1/2} = 2n_0$.

- (A) Up to the topology change density $n_{1/2} \gtrsim 2n_0$, there is only one parameter that is completely determined by how the pion decay constant $f_\pi$ scales with density; it is known up to $n \sim n_0$. Within a bit of fine-tuning on this scale parameter, all the EoS properties come out fully consistent with the accepted values: They are $n_0 = 0.16$ fm$^{-3}$, $E_r = 16.7$ MeV, $K_0 = 250(240 \pm 20)$ MeV, $J = E_{\text{sym}}(n_0) = 30.2(31.7 \pm 3.2)$ MeV, $L = 67.8(58.7 \pm 28.1)$ MeV. Given in the parenthesis are quoted – for the illustrative purpose – from the recent compilation by Zhang and Li [28].

The same analysis gives the comparison at $n = 2n_0$: $E_{\text{sym}}(2n_0) = 56.4(50.55 \pm 5.99)$ MeV. This will be relevant for our argument given below.

- (B) For $n > n_{1/2} = 2n_0$, there are effectively two additional scaling parameters, one for the coupling constant $g_A$ and the other for the $\omega$-meson gauge coupling which differs from the $\rho$ gauge coupling that flows to the vector manifestation fixed point $g_\rho = 0$. Both are intricately correlated with the emergent scale symmetry [2]. This does not affect what follows below, so we won’t go into details here.

The predicted star properties are:

- Maximum star mass 2.05 $\gtrsim M_{\text{max}}/M_\odot \gtrsim 2.23$ for 2.0 $\lesssim n_{1/2}/n_0 < 4$, radius $R_{2.05M} \approx 12.0$ km, $\Lambda_{1.4} \approx 650$, $R_{1.4} \approx 12.8$ km.

IV. HEAVY DEGREES OF FREEDOM AS DUAL TO GLUONS AND QUARKS: HADRON-QUARK CONTINUITY

The issue of possible resolution to the PREX-II dilemma in our approach which is closely linked to also other issues currently in discussion in the literature is encapsulated in $E_{\text{sym}}$ in Fig.3 (left panel). It is given by the generalized G$n$EFT that involves only one Lagrangian with the HDsF suitably incorporated together with the topology change. It contains no phase transitions in the sense of Ginzburg-Landau-Wilsonian paradigm, but it is taken to simulate hadron-quark/gluon continuity. Here we are quoting the result obtained for the crossover density $n_{1/2} \approx 2.5n_0$. For the semi-quantitative aspect we are addressing here, the conclusion we arrive at is essentially the same for the range 2 $\lesssim n_{1/2}/n_0 < 4$.

A. Heavy Degrees of Freedom and Correlated Fermions

In addressing the issues involved, there are two important points to note:

6 There is a possible caveat in what is quoted as our predictions for the relation between the radii $R$ and masses $M$, particularly for GW data. It is argued [29] that to make a quantitatively reliable analysis on $R$ vs. $M$, the EoSs of the core and crust should be treated thermodynamically consistently. This consistency has not been imposed in [13] from which we are quoting the predicted values where the crust-core transition was taken at $n_{\text{core-crust}} \approx 0.5n_0$. This caveat might be relevant to the quantities $\Lambda_{1.4}$ and $R_{1.4}$ but most likely less for other macrophysical quantities of massive stars.
FIG. 3. $E_{\text{sym}}$ (in MeV) (left panel) and $v_{\text{pcs}}^2/c^2$ (right panel) for neutron matter ($\alpha = 1$) calculated in $GnEFT$ for $n_{1/2} \approx 2.5n_0$. The bump samples the density range between $n'$ and $n_{1/2}$ in Fig. 1 (right panel). Note that $v_{\text{pcs}}$ follows directly and entirely from $E_{\text{sym}}$ for $n \gtrsim n_{1/2}$ as explained in the text.

FIG. 4. Plethora of bumps, spikes, skates and what not in the sound speed $c_s \equiv v_s$: (left panel) Wilderness for massive star with $M > 2.5M_\odot$ with and without phase transitions assuming it is a stable neutron star instead of a black hole (copied from [30]); (right panel) strongly interacting baryonic matter in the core (copied from [31]) where $\rho$ is $n$ in unit of g/cm$^3$.

First, the heavy-degrees of freedom smoothen (or do away with) the cusp "singularity" with the vector mesons playing the (dual) role of the gluons and induce the crossover from soft-to-hard in the EoS at the transition region. As mentioned, the maximum that can be reached in $GnEFT$ is $M_{\text{max}} \approx 2.23M_\odot$. At this crossover density, however, the maximum of the bump/spike in the sound speed exceeds the causality bound at $n \sim 3.5n_0$, so may not be physically acceptable although no other star properties seem to go haywire. This implies that our approach will get into tension with $\gtrsim 2.5M_\odot$ stars should they be confirmed to be stable neutron stars.

Second, totally distinctive from all currently available ones in the literature, the present EoS unambiguously predicts what we call “pseudo-conformal sound speed” $v_{\text{pcs}}^2/c^2 \approx 1/3$ for density $n \gtrsim n_{1/2}$ depicted in Fig. 3 (right lane). It is the solid line in Fig. 3 (left lane) that connects the numerically obtained $V_{\text{lowk}}$RG “data” that precisely gives the sound speed $v_{\text{pcs}}^2/c^2$ that converges to $1/3$ at $n \sim 3n_0 \gtrsim n_{1/2}$ and stays at $1/3$ beyond the central density $\sim 5n_0$ of the star.

It should be noted that $v_{\text{pcs}}^2/c^2 = 1/3$ here does not represent the conformal sound speed associated with the vanishing trace of the energy-momentum tensor (TEMT). It is not the “conformal sound-speed bound” that is referred to in the literature in addressing the role of “deconfined quarks” in the core of dense neutron stars. In the system we are dealing with here, the TEMT cannot go to zero in the density regime involved since it is still far from the (putative) IR fixed point [25]. We underline here that $v_{\text{pcs}}^2/c^2 = 1/3$ reflects pseudo-conformality emergent from strong correlations involving the degrees of freedom including the HDsF that give rise to nearly non-interacting quasi-fermions [2]. It embodies hidden scale symmetry. It is far from the state of nearly free “deconfined” quarks discussed in the literature where first-order phase transitions are invoked. It depicts a strongly correlated matter in a way resembling what takes place
in certain condensed matter physics.

**B. Bumps of Sound Speed**

There are a great deal of discussions currently in the literature on the impact on the EoS of dense baryonic matter in terms of the structure of the sound speed in the crossover region in density \( \lesssim 2n_0 \). From the point of view of nuclear physics, the problem here as mentioned above is that from the crossover region indicated between \( n' \) and \( \gtrsim n_{1/2} \) in Fig. 1 for hadrons-to-quarks/gluons to the core density of massive stars is the density region which is the hardest to access theoretically, both bottom-up and top-down in density. Chiral effective field theory S\(_N\)EFT works reliably for nuclear matter properties, but it is very likely to break down at this crossover region. Top-down, the perturbative QCD must also break down at the star-matter density and will certainly be inapplicable at the crossover region. Thus it is not absurd to come up with various wild effects taking place in the region involved.

In fact, much discussed are the plethora of bumps, spikes, kinks etc. of various sizes ranging from the crossover to the core density region of star with or without phase transitions.

Two illustrative cases are given in Fig. 4.

The left panel shows the possibilities of massive stars \( M > 2.5M_\odot \) with bumps of the sound speed all the way from zero to violating the causality bound, typically involving phase changes \( 30 \). Our approach, as mentioned above, cannot access this mass star within the framework we are working with. It will require a major revamping to accommodate such massive stars.

On the contrary, the right panel illustrates the case without phase changes (or with smooth crossover) that displays the sound speed largely violating the conformal bound \( v_s^2/c^2 = 1/3 \) starting from the crossover region \( 31 \). Since the issue of the PREX-II is related to what’s treated in \( 31 \), this case is highly relevant. The analysis of \( 31 \) relies on what is called “non-parametric model based on Gaussian processes, untied to specific nuclear models, not subject to systematic errors and possesses wide-range intra-density correlations and targets wide-range of densities.” While it is not clear to us what this model means with respect to our approach, there is a striking difference between the two. It is in the structure of the constituents of the core of massive stars.

For comparison with our prediction, we make a list of some of the results reached by the analysis \( 31 \) on the most massive star known so far, i.e., J0740+6620 (NICER+XMM-Newton): \( M_{\text{max}} = 2.24^{+0.34}_{-0.38}M_\odot \), \( R_{1.4} = 12.54^{+1.03}_{-1.06} \text{ km} \), \( \Delta R = R_{2.0} - R_{1.4} \approx -0.04^{+0.81}_{-0.83} \text{ km} \) and \( n_{\text{cent}} \approx 3.0^{+1.6}_{-0.83} n_0 \). Based on these and other considerations, the authors of \( 31 \) arrive at the conclusion that the conformal sound speed bound is strongly violated as depicted in Fig. 4(right panel) reaching the maximum

\[
v_s^2/c^2 = 0.79^{+0.21}_{-0.20}.
\]  

(14)

This strong deviation from the conformal sound speed is attributed by the authors to “strongly interacting hadronic degrees of freedom” that the authors interpret as “disfavoring” the appearance of “explicit” QCD degrees of freedom in the core of stars. This property is consistent with the low central density \( \sim 3n_0 \) found in the analysis. The PREX-II dilemma \( 1 \) would belong to this class of scenario.

It should be admitted that given the total paucity of theoretical tools applicable in that density regime, perhaps one cannot rule out this possibility.

However what G\(_N\)EFT has predicted is strongly and fundamentally different what’s found in \( 31 \). As summarized in \( 2 \), our pseudo-conformal structure yields the following: \( M_{\text{max}} \approx 2.24M_\odot \), \( R_{1.4} \approx 12.8 \text{ km} \), \( \Delta R = R_{2.0} - R_{1.4} \approx -0.08 \text{ km} \) and \( n_{\text{cent}} \approx 5.1n_0 \). Thus except for one quantity, the central density, the overall macro-physical properties predicted are globally the same as those of \( 31 \). But the sound speed of the star (see Fig. 8(right panel) and Fig. 4(right panel)) is drastically different. The closeness of the sound speed to the conformal speed bound together the higher central density in contrast to what’s expected of hadronic constituents \( 31 \) signals fractionalized quasi-fermions different from baryonic matter. The structure of the constituents of the star core resembles that of “deconfined” quarks but the non-zero trace of the energy-momentum tensor makes it basically different from conformal state.

An illuminating observation can be made when one looks at what happens with different topology-change densities. Taking the \( V_{\text{lowkRG}} \) predictions for baryonic matter for densities \( \lesssim n_{1/2} \), assuming the EoS for \( n > n_{1/2} \) to be pseudo-conformal \( 4 \), one can readily compute \( E_{\text{sym}} \), the sound speed \( v_s^2 \) and the polytropic index \( \gamma = d\ln P/d\ln \epsilon \) for various \( n_{1/2} \). As shown in \( 13 \), the pseudo-conformal energy per baryon \( E/A \) for \( n \geq n_{1/2} \) can be parameterized as

\[
(E/A)|_{n \geq n_{1/2}} = -m_N + B \left( \frac{n}{n_0} \right)^{1/3} + D \left( \frac{n}{n_0} \right)^{-1} \tag{15}
\]

with the coefficients \( B \) and \( D \) fixed by contiguity between \( V_{\text{lowkRG}} \) and \( 15 \) at \( n_{1/2} \). The results comparing \( E_{\text{sym}} \), \( v_s \) and \( \gamma \) are plotted for the range of the topology change density \( 2.0 \leq n_{1/2}/n_0 \leq 3.5 \) in Fig. 6.

There are several observations to make here.

One is that \( E_{\text{sym}} \) is insensitive to the topology change density \( n_{1/2} \geq 2n_0 \) up to \( n \sim 2.5n_0 \) but is very sensitive at higher density to the density \( n_{1/2} \). The greater \( n_{1/2} \) the harder \( E_{\text{sym}} \) becomes. Thus \( J \) and \( L \) quoted above are more or less independent of the cusp density. This point is relevant to the PREX-II dilemma.

---

7 This pseudo-conformal structure was predicted in the \( V_{\text{lowkRG}} \) formalism going beyond the large \( N \) limit (i.e., Fermi-liquid fixed point limit) for \( n_{1/2}/n_0 = 2.0 \) and 2.5 \( 13 \). The assumption here – which we believe is reasonable – is that the pseudo-conformality holds as well for \( n_{1/2}/n_0 > 2.5 \).
The second observation is that the sound speed and the polytropic index clearly show how the pseudo-conformality set in for different $n_{1/2}$. It is surprising that the causality bound is violated already at $n_{1/2}/n_0 \sim 3.5$. Furthermore the $E_{\text{sym}}$ for $n_{1/2}/n_0 \sim 3$ is most likely more repulsive than the bound indicated in the current analysis [15] at densities $n \gtrsim 2n_0$. Future experiments will either support or rule out this prediction. What seems striking is that the topology change density – a.k.a. the hadron-quark continuity density – is narrowed to a small window $2.0 \lesssim n_{1/2}/n_0 < 4$.

C. Topology Encodes Microscopic Dynamics

The principal advantage of our approach on the contrary is that it relies on (potentially robust) topological structure which provides a coarse-grained macroscopic description of what is presumably taking place in the density regime more or less uncontrolled by theory. In our approach the sound speed does produce the simple bumps of Fig. 2 caused by the intervention of the HDSF dual to QCD degrees of freedom [2] with its characteristics capturing the crossover density $n_{1/2}$. For the case of $n_{1/2} \approx 2.0(3.0)n_0$, it is a bump reaching $v_{\text{pc}}^2/c^2 \approx 0.7(0.8)$. But for $n_{1/2} \sim 3.5n_0$, as mentioned, it is a spike with the maximum of which going out of the causality bound. Yet despite the different bump heights in the range $2 \lesssim n_{1/2}/n_0 < 4$ (even violating causality bound for the case $n_{1/2} 3.5n_0$), the sound speed $v_{\text{pc}}^2/c^2$ converges in all cases to $1/3$ slightly above $n_{1/2}$ with the global star properties not noticeably different between them. The appealing aspect of our prediction [2] is that it is an extremally economical description – coarse-graining the microscopic details of what’s found in [30, 31] – that could be capturing the underlying physics. We are arguing that it is precisely the correlated strong interactions leading to the Landau Fermi-liquid quasiparticle structure which becomes more valid with increasing density after the topology change as $\bar{N} = k_F/(\Lambda_F - k_F) \to \infty$ [24], manifesting pseudo-conformal symmetry in the sound speed.

The question that can be raised is how can the physics of the complexity in the sound speed favored by [31] be reproduced by the extremely simple structure driven by the emerging hidden scale symmetry that leads to Fig. 3 (right lane)? The possible answer to this could be that the macroscopic properties of massive neutron stars are in some way insensitive to the microscopic details of the bump structure of the sound speed with the emergent symmetries manifesting in the sound speed related to what’s operative in the “quenching of $g_A$” in baryonic matter mentioned below. If so, the question is: Are there any physically meaningful observable probes for them? To the best we are aware, there seems to be none at present.

Needless to say, as coarse-grained, there can be fluctuations on top of $1/3$ coming from corrections to the underlying scale symmetry. That the sound speed converges precociously to $v_{\text{pc}}^2/c^2 \approx 1/3$ could be an oversimplification. First of all the density involved $< 10n_0$ is far from the density at which the vector manifestation limit and/or the dilaton limit fixed point is approached [2], so the scale symmetry should be broken (as indicated by the effective dilaton mass which must be substantial counterbalancing the $\omega$ mass). However there is an indication that scale symmetry can be “emergent,” even if not intrinsic, in certain highly correlated nuclear dynamics. One prominent evidence for it was seen in the so-called “quenched $g_A$” phenomenon in nuclear beta decay [33]. The effective $g_A$ in nuclear Gamow-Teller transition in light nuclei $g_A^\text{eff} \approx 1$ arises due to strong nuclear correlations influenced by hidden scale symmetry reflected in low-energy theorems. The approach $g_V \to g_A = 1$ at high density $\gtrsim 25n_0$ as the dilaton limit fixed point is approached is closely correlated to how the quenched $g_A$ results in finite nuclei [34]. Furthermore that the simple sound-speed structure directly governed by the $E_{\text{sym}}(n)$ setting in slightly above the crossover density with none

\begin{itemize}
  \item[8] We believe that what we are discussing here would be little, if any, affected by the caveat associated with the crust.
  \item[9] The crucial role of topology played here has an analogy in condensed matter physics. For instance in the fractional quantum Hall effects Chern-Simons topological field theory captures the microscopic structure of, say, Kohn-Sham density functional theory as pointed out (with condensed-matter references) in [23].
\end{itemize}
of the compact-star properties significantly disagreeing with observations is another indication that the hidden scale symmetry is manifested in the density regime of compact stars.

D. The PREX-II “Dilemma” and Hadron-Quark Duality

We are now equipped with what enables us to address the PREX-II dilemma and the issue of whether in EFT the EoS at low density near \( n_0 \) must necessarily constrain what happens at higher densities relevant to massive stars.

One can read off from the HDsF-driven \( E_{sym} \) (Fig. 3) that \( J \approx 30.2 \) MeV and \( L \approx 67.8 \) MeV. \( J \) is “soft” consistent with (2) but the slope \( L \) comes higher than the central value of (2) by \( \sim 10 \) MeV. Reliable \( S_\chi \)EFT calculations to \( N^3LO \) converge to the central value of \( \sim (52−56) \) MeV \( \text{[35]} \) which is consistent with (2).

What does this difference of \( \sim 10 \) MeV mean?

This can be understood as that the “soft” EoS at \( n \lesssim n_0 \) starts to stiffen as the density approaches \( n_{1/2} \gtrsim 2n_0 \) reflecting the cusp smoothed by the HDsF. This leads to \( E_{sym}(2n_0) \approx 56.4 \) MeV consistent with what is indicated in nature \( \text{[28]} \). This reflects that the \( S_\chi \)EFT defined with the cutoff \( \Lambda \lesssim n_{1/2} \) starts breaking down at \( \sim 2n_0 \) precisely due to the necessity of the HDsF signaling the emergence vial Seiberg-type duality of QCD degrees of freedom. This crossover not only accounts for the massive star masses but also provides a simple mechanism to bring – with additional help with the crust consistently treated thermodynamically – \( \Lambda_{1,4} \sim 650 \) (which is still consistent with the presently accepted with the upper bound) to a lower value in the vicinity of \( \sim 400 \) which may be favored should the tidal deformability bound be further tightened in the future measurements. Again there is a logically simple reason for this. The heavy-meson-induced smoothing tends to locate the central density of the \( \sim 1.4M_\odot \) star for \( \Lambda_{1,4} \) in the density regime \( n_{1/2} \). i.e., the lower side of the cusp – which is soft – that could be in principle accurately calculated by \( S_\chi \)EFTs (and \( Gn \)EFT) by fine-tuning the crossover density \( n_{1/2} \) within the range involved.

We are then led to suggest that the strong \( R_{skin}L \) correlation in the PREX-II measurement could not directly constrain the EoS of the core of compact stars. There is, in fact, nothing special about arriving at this sort of conclusion in effective field theories for QCD, the presumed fundamental theory of strong interaction physics. An apt example, perhaps not widely recognized in nuclear physics community, is the applicability of the skyrmion approach – as an EFT – to nuclear physics. Given that the skyrmion theory should be a good low-energy effective field theory of QCD at large \( N_c \) limit, it should in principle describe various different low-energy properties of nuclear physics valid at large \( N_c \). Indeed in some cases, it works extremely well. For instance, the BPS skyrmion is seen to give an excellent description of nuclear binding energies \( \text{[36]} \) and radii \( \text{[37]} \) for a wide range of nuclei from light to heavy nuclei \( A > 200 \). But the same BPS Lagrangian by itself does not satisfy the soft-pion theorems, the hallmark of current algebra and chiral symmetry. This seems at odds with the general belief that nuclear phenomena are governed by chiral symmetry which in the skyrmion theory is encoded in the current algebra term in the Lagrangian. But it does not necessarily mean that soft theorems, naively interpreted, must give the constraint in the domain where the BPS structure is more appropriate. It is now understood that the infinite tower of vector mesons, say, HDsF generalized from what we have been discussing, subsumed in the BPS Lagrangian is at work for the particular nuclear properties concerned, including cluster phenomenon in light nuclei \( \text{[38]} \).

Furthermore one can write down \( \text{[39]} \) a skyrmion model as a sum of BPS submodels, each of which has its own characteristics applicable to different regions of scales and dynamics. How to go from one to others is of course an open issue that remains to be clarified. It is clear, however, that it is not necessarily constrained by nLORE. The recent discovery of Seiberg-type dualities for sHLS \( \text{[17–20]} \) indicates that there may intervene more than the skyrmion-half-skyrmion topology change we have been exploiting in the phase structure of dense hadronic matter in going to high densities in the core of massive stars, a notable current example being the phase where the \( \eta' \) ring singularity is exposed, say, in the vicinity of the putative IR fixed point density \( \text{[40]} \). Such a multiple phase structure involving “hadrons” in place of quarks and gluons could persist all the way to the density where the hadron-quark continuity breaks down \( \text{[41]} \).

E. Strangeness Plays No Role

In what’s treated in this paper and elsewhere, the strangeness degrees of freedom played no role. This seems at odds with the genuine dilaton scheme \( \text{[24]} \) where kaons figure on the same footing as the dilaton. This point is discussed in \( \text{[2]} \). It turns out however to be feasible to argue that the so-called “hyperon problem” is absent in the density regime relevant to the core of massive neutron stars. The argument was based on the RG approach to interacting protons and neutrons coupled to the HDsF and the kaons on the Fermi surface \( \text{[12]} \). Invoking the same large \( N_c \rightarrow \infty \) and large \( N \equiv \frac{N_F}{N_c} \rightarrow \infty \) limit that underlies the results obtained in this paper (and more generally \( \text{[2]} \)), it was shown \( \text{[13]} \) that (1) kaons condense and hyperons appear at the same density and (2) the kaon condensation threshold density \( n_K \) satisfies the bound

\[
n_K > \bar{N}n_0. \tag{16}
\]

This implies that \( n_K \) could be considerably higher than the core density of the stars \( \sim (5−6)n_0 \). We note that the bound \( \text{[16]} \) with \( n_K > 7n_0 \) was arrived at in a different
but related consideration – short-range correlations – a long time ago [44]. A rigorous justification for this could be given in the $V_{\text{lowk}}$ RG approach to $GnEFT \in SU(3)_f$, which unfortunately is not feasible at the moment.

V. CONCLUDING REMARKS

Starting with the cusp structure found in a skyrmion-crystal simulation, with the incorporation of heavy degrees of freedom considered to be dual to the gluons/quarks in the EoS for dense matter, we have arrived at the symmetry energy $E_{\text{sym}}(n)$ that contraries the nLORE (standard nuclear astrophysics lore), hence the PREX-II “dilemma,” and gives rise to the pseudo-conformal sound speed for $n > n_{1/2}$. This result if confirmed could bring about a potential paradigm change in nuclear physics.

There are several remarks to make to support this proposal.

The first is that the topological cusp structure renders moot the necessity to constrain the high-density EoS for massive compact stars by that fixed at normal nuclear matter density. This of course does not mean that a potentially unified theory with no patching cannot be connected from $n$ below to above $n_{1/2}$. In fact in our approach with a single Lagrangian they are connected via topological change in a single Lagrangian.

The corollary to the first remark, perhaps equally unorthodox, is that the dense star core populated by the fractionalized fermionic constituents [7] as precisely predicted by $E_{\text{sym}}$ in the present formulation [2] renders the pseudo-conformal sound speed $v^2_{\text{pcs}}/c^2 \approx 1/3$ consistent with the picture of “deconfined” quarks with the polytropic index $\gamma$ approaching 1 [45]. This can be seen in Fig. 5. Note however our system is not at the IR fixed point, hence the constituents of the core are not deconfined. This revamps the common notion of “deconfined quarks” as the only genuine signal for QCD degrees of freedom.

The structure of dense matter that emerges from our work is that what results from highly nonperturbative correlation of QCD degrees of freedom, quarks and gluons, at the crossover density regime has a dual description via topology change in terms of hidden local gauge fields and hidden genuine dilaton scalar field in chiral symmetric environment. We suggest that such a dual description stays viable and applicable up to the density at which the notion of hadron-quark continuity [11] as well as generalized Fermi-liquid structure of weakly interacting fractionalized quasi-fermions, neither baryonic nor quarkonic, break down, possibly taking place only at asymptotic density way outside of the range of most massive compact stars stable against gravitational collapse.

Acknowledgments

The work of YLM was supported in part by the National Science Foundation of China (NSFC) under Grant No. 11875147 and 11475071.

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