ΔI = 3/2 K → ππ decays with nearly physical kinematics

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The ΔI = 3/2 K → ππ decay amplitude is calculated on RBC/UKQCD 32^3 × 64, L_s = 32 dynamical lattices with 2 + 1 flavors of domain wall fermions using the Dislocation Suppressing Determinant Ratio and Iwasaki gauge action. The calculation is performed close to the physical pion mass (m_π = 142.9(1.1) MeV) and with a single lattice spacing (a^{-1} = 1.375(9) GeV). We find Re(A_2) = (1.436 ± 0.063_{stat} ± 0.258_{syst}) × 10^{-8} GeV and Im(A_2) = (-6.29 ± 0.46_{stat} ± 1.20_{syst}) × 10^{-13} GeV. These results are combined with the experimental result for ε'/ε to predict Im(A_0) = -5.32(64)_{stat}(71)_{syst} × 10^{-11} GeV within the Standard Model. We also perform a reweighting analysis to investigate the effects of partial quenching in the light-quark sector of our calculation. Following reweighting we find Re(A_2) = (1.52 ± 0.14_{stat}) × 10^{-8} GeV and Im(A_2) = (-6.47 ± 0.55_{stat}) × 10^{-13} GeV, which are consistent with our main results.
1. Introduction

The calculation of $K \to \pi\pi$ decay amplitudes is motivated by a desire to understand the $\Delta I = 1/2$ rule and CP violation in kaon decays. Such a calculation is a non-perturbative problem requiring lattice techniques to make progress. Previous lattice calculations have relied on the quenched approximation and uncertain chiral extrapolations [1, 2, 3, 4]. In this talk we present the results from the first realistic lattice calculation of a $K \to \pi\pi$ decay amplitude, where we simulate the two-body decay directly on the lattice at nearly-physical kinematics.

We proceed by evaluating matrix elements of the $\Delta S = 1$ effective Hamiltonian

$$H_{\text{eff}} = V_{us}V_{ud} \sum_i C_i Q_i \tag{1.1}$$

where $C_i$ are Wilson coefficients and $Q_i$ are four-quark operators. In this talk we consider only the $\Delta I = 3/2$ transition, in which case only three operators contribute in equation (1.1). We find it convenient to evaluate unphysical $K^+ \to \pi^+\pi^+$ matrix elements of the following operators:

$$Q_{(27,1)} = (\bar{s}d^i)_L(\bar{d}^j\pi^j)_L, \quad Q_{(8,8)} = (\bar{s}d^i)_L(\bar{d}^j\pi^j)_R \quad \text{and} \quad Q_{(8,8)_{\text{mx}}} = (\bar{s}d^i)_L(\bar{d}^j\pi^j)_R, \tag{1.2}$$

where the operators are labelled according to their transformation under $SU(3)_L \times SU(3)_R$, and the labels $i$ and $j$ on the quark fields label colour. These are related to the physical $K^+ \to \pi^+\pi^0$ matrix elements via the Wigner-Eckart theorem.

2. Details of the Simulation

The analysis is performed on a single ensemble of $2 + 1$ flavour domain wall fermions (DWF) with Dislocation Suppressing Determinant Ratio (DSDR)+Iwasaki gauge action at $\beta = 1.75$. The lattice size is $32^3 \times 64$ and the extent of the fifth dimension is $L_5 = 32$. The inverse lattice spacing is $a^{-1} = 1.375(9)$ GeV. The ensemble is generated with sea-quark masses $am_l = 0.001$ and $am_h = 0.045$, corresponding to a unitary pion mass of approximately 170 MeV. We find the residual mass to be $am_{\text{res}} = 0.00184(1)$. The correlation functions are calculated with valence quark masses of $m_l = 0.0001$ and $m_h = 0.049$, corresponding to a valence pion mass of $m_\pi = 142.9(1.1)$ MeV and kaon mass of $m_K = 511.3(3.9)$ MeV. A total of 63 gauge configurations, each separated by 8 molecular dynamics time units, are included in the analysis.

Quark propagators with periodic and antiperiodic boundary conditions in the time direction were computed on each configuration with a source at $t = 0$. They were then combined so as to effectively double the time extent of the lattice. Meson correlation functions formed using the average of the propagators with periodic and antiperiodic boundary conditions can be interpreted as containing forward propagating mesons originating at time $t = 0$, whereas those calculated with the antisymmetric combination can be interpreted as containing backward propagating mesons originating from a source at $t = 64$. Strange-quark propagators, also with Periodic + Antiperiodic combinations, were generated with sources at $t_K = 20, 24, 28, 32, 36, 40$ and 44 in order to calculate $K \to \pi\pi$ correlation functions with kaon sources at these times, while the two-pion sources remained at either $t = 0$ or $t = 64$. Thus we could achieve time separations between the kaon and two pions of 20, 24, 28 and 32 lattice time units in two different ways which increased the statistics.
These separations were chosen so that the signals from the kaon and two pions did not decay into noise before reaching the four-quark operator \( Q_i \).

For physical \( K \to \pi \pi \) decays in the CM frame, the final state pions have equal and opposite non-zero momentum. We achieve this for the \( \pi^+ \pi^+ \) final state by giving momentum to the d-quark. The u- and s-quark propagators are generated with Coulomb gauge fixed wall sources and periodic spatial boundary conditions. Similarly, the d-quark propagators used in the two-pion and \( K \to \pi \pi \) correlation functions are computed with Coulomb gauge fixed wall sources and periodic spatial boundary conditions. However, the d-quark propagators used in the two-pion and \( K \to \pi \pi \) correlation functions with non-zero momentum pions are generated with antiperiodic spatial boundary conditions and cosine sources. By imposing antiperiodic spatial boundary conditions the allowed quark momenta are \( p_n = (\pi + 2\pi n)/L \), where \( L \) is the spatial extent of the lattice, corresponding to a ground-state momentum of \( \pi / L \) in the direction in which antiperiodic boundary conditions have been used. In practice we impose antiperiodic boundary conditions in two spatial directions, which allows us to simulate pions with ground state momentum \( \pm \sqrt{2}\pi / L \). This decision is motivated by the expectation that pions with \( p = \sqrt{2}\pi / L \) will correspond to a two-pion final state with energy close to \( m_K \). The use of cosine sources in \( K^+ \to \pi^+ \pi^+ \) decays is described in [5].

3. Analysis

We extract the \( K \to \pi \pi \) matrix element \( \mathcal{M} \) by fitting a constant to the left hand side of (3.1)

\[
\frac{C_{K\pi\pi}^i(t)}{C_K(t_K - t)C_{\pi\pi}^i(t)} = \frac{\mathcal{M}_i}{Z_K Z_{\pi\pi}}. \tag{3.1}
\]

\( C_{K\pi\pi}^i \) is the \( K \to \pi \pi \) correlator with a kaon source at \( t_K \), \( i \) labels the four-quark operator \( Q_i \) which is inserted at time \( t \), and \( Z_K \) and \( Z_{\pi\pi} \) are calculated from the kaon and two-pion correlators respectively, whose sources are at \( t = 0 \). The left hand side of equation (3.1) is plotted in Figure 1 for each operator. The figure demonstrates that sufficiently far from the kaon and two-pion sources we are justified in fitting to a constant. The fit results for \( \mathcal{M}_i / (Z_K Z_{\pi\pi}) \) are indicated on the plot.

We also use a quotient method to extract the two-pion energy, as we find this improves the statistical precision of the fits. We fit the quotient of correlators \( C_{\pi\pi} / (C_{\pi})^2 \sim A e^{-\Delta E t} \) to extract \( \Delta E = E_{\pi\pi} - 2E_{\pi} \). We then get the two-pion energy by calculating \( \Delta E + 2E_{\pi} \), where in the case of \( p = 0 \), \( E_{\pi} = m_{\pi} \) while for \( p = \sqrt{2}\pi / L \), \( E_{\pi} \) is found from a 2 parameter fit to the pion correlation function which also has \( p = \sqrt{2}\pi / L \). The numerical results for all the meson masses and energies which we extract from the correlation functions are given in Table 1. From Table 1 we see that the kaon mass is not exactly equal to the two-pion energy, so our calculation is not quite on-shell. This is taken into account when estimating the systematic error on the final results.

The finite volume matrix elements are related to the infinite volume amplitudes \( \omega_i \) (where \( i \) labels the 4-quark operator) using the Lellouch-Lüscher factor [6, 7]. In particular we have

\[
\omega_i = \frac{1}{2} \left[ \frac{1}{\pi q_{\pi}} \sqrt{\frac{\partial \phi}{\partial q_{\pi}} + \frac{\partial \delta}{\partial q_{\pi}}} \right] L^{3/2} \sqrt{m_K E_{\pi\pi}} \mathcal{M}_i \tag{3.2}
\]

where the quantity in square brackets contains the effects of the Lellouch-Lüscher factor beyond the free field normalization, \( \delta \) is the s-wave phase shift, \( q_{\pi} \) is a dimensionless quantity related to
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| units | $m_\pi$ | $m_K$ | $E_{\pi,2}$ | $E_{\pi\pi,0}$ | $E_{\pi\pi,2}$ | $m_K - E_{\pi\pi,2}$ |
|-------|--------|--------|-------------|--------------|--------------|------------------|
| lattice | 0.10395(32) | 0.37193(91) | 0.1737(14) | 0.20948(63) | 0.3583(35) | 0.0136(35) |
| MeV    | 142.9(1.1) | 511.3(3.9) | 238.8(2.4) | 288.0(2.2) | 492.6(5.5) | 18.7(4.8) |

Table 1: Results for meson masses and energies. The subscripts 0, 2 denote $p = 0$, $p = \sqrt{2\pi}/L$ respectively.

![Figure 1](image1.png)

(a) (27.1) operator  
(b) (8,8) operator  
(c) (8,8)mix operator

Figure 1: $K \to \pi\pi$ quotient plots for $p = \sqrt{2\pi}/L$. The two pion source is at $t = 0$ while the kaon source is at $t = 24$. The dashed line shows the error on the fit.

The individual pion momentum $k_\pi$ via $q_\pi = k_\pi L/2\pi$ and $\phi$ is a kinematic function defined in [6]. The pion momentum $k_\pi$ is calculated using the dispersion relation $E_{\pi\pi} = 2\sqrt{k_\pi^2 + m_\pi^2}$, and differs from $\sqrt{2\pi}/L$ due to interactions between the two pions. Once $q_\pi$ is known, $\delta$ can be calculated using the Lüscher quantisation condition [8], $n\pi = \delta(k_\pi) + \phi(q_\pi)$. As discussed in [5], the phase shift derivative is calculated using the phenomenological curve of [9]. This is necessary because we only have two values of the two-pion energy from which to extract the phase shift.

The amplitudes $A_i$ are related to the physical decay amplitude $A_2$ via

$$A_2 = a^{-3} \sqrt{3 \over 2} G_F V_{ud} V_{us}^{\ast} \sum_{i,j} C_i(\mu) Z_{ij}(\mu) \phi_j,$$

where $C_i$ are the Wilson coefficients and $Z_{ij}$ are the renormalization constants. The Wilson coefficients must be evaluated at the same scale and scheme as the renormalization constants. The renormalization constants are first evaluated in the RI-SMOM($\overline{q},\overline{q}$) scheme [10]. In order to minimize discretization effects, this procedure takes place at a relatively low energy $\mu_0 = 1.145$ GeV. A non-perturbative step-scaling function is then used to convert these results to a scale of 3 GeV, at which point a perturbative matching to the $\overline{MS}$-NDR scheme is possible. The Wilson coefficients are known in the NDR scheme at the W-mass scale, and can be perturbatively run to the desired matching point of 3 GeV [11].

4. Results

We calculate $A_2$ for the four different separations between the kaon source and two-pion source. Our final result, presented in equation (4.1), is an error weighted average over these four
results. The first error in equation (4.1) is a statistical error, where the statistical uncertainties in the amplitude $A_i$ and lattice spacing (4% in total) are combined in quadrature with the statistical error on the renormalization constants (0.8% for Re$A_2$ and 6% for Im$A_2$).

\[
\begin{align*}
\text{Re}(A_2) &= (1.436 \pm 0.063_{\text{stat}} \pm 0.258_{\text{syst}}) \times 10^{-8} \text{ GeV}, \\
\text{Im}(A_2) &= (-6.29 \pm 0.46_{\text{stat}} \pm 1.20_{\text{syst}}) \times 10^{-13} \text{ GeV}.
\end{align*}
\] (4.1)

The second error in equation (4.1) is systematic. The systematic errors in our calculation, for (Re$A_2$, Im$A_2$) respectively, are from lattice artifacts (15%, 15%), uncertainty in the phase shift derivative (0.32% 0.32%), finite volume (6.2% and 6.8%), partial quenching (3.5% and 1.7%), uncertainties in the renormalization procedure (1.7% and 4.7%), unphysical kinematics (3.0% and 0.22%), and perturbative truncation in the evaluation of the Wilson coefficients (7.1% 8.1%). Combining in quadrature, we find the systematic errors to be 18% for Re$A_2$ and 19% for Im$A_2$.

Further details on how these errors are estimated can be found in [12, 13].

5. Reweighting

We use the technique of reweighting to test the consequences of the partial quenching in the light-quark sector of our calculation. The reweighting is performed in 30 increments from the simulated mass $m_{\text{sea}}^{\text{sim}} = 0.001$ down to a value of $m_{\text{sea}}^{\text{sim}} = 0.0001$, corresponding to the valence light-quark mass. The results are shown in Figure 2. The rightmost point in Figure 2(a) shows the result for Re$A_2$ before reweighting, while the remaining points show the results after reweighting to the mass indicated on the x-axis, ending with $m_{\text{sea}}^{\text{sim}} = 0.0001$ for the leftmost point. Similarly Figure 2(b) shows the effects of reweighting on Im$A_2$. Examining the figures, it can be seen that the errors on Re$A_2$ and Im$A_2$ grow, but the central values remain unchanged within the errors. Since reweighting effectively reduces the number of configurations contributing to the observables [14] it is natural that the statistical error should increase. However, the observation that the central values are unchanged confirms that partial quenching in the light quark does not introduce a significant source of systematic error.

The final results after reweighting are shown in Table 2 where they are compared with the results before reweighting.

| $m_l$ | 0.001 | 0.0001 (reweighted) |
|-------|-------|--------------------|
| Re$A_2$ | 1.436(63) $\times 10^{-8}$ GeV | 1.52(14) $\times 10^{-8}$ GeV |
| Im$A_2$ | $-6.29(46) \times 10^{-13}$ GeV | $-6.47(55) \times 10^{-13}$ GeV |

Table 2: $A_2$ before and after reweighting.

6. Prediction for Im$(A_0)$

Assuming isospin symmetry, the CP-violating parameter $\epsilon'/\epsilon$ can be expressed in terms of Re$(A_0)$, Im$(A_0)$, Re$(A_2)$ and Im$(A_2)$ according to equation (6.1):

\[
\text{Re}\left(\frac{\epsilon'}{\epsilon}\right) = \frac{\omega}{\sqrt{2|\epsilon|}} \left[ \frac{\text{Im}(A_2)}{\text{Re}(A_2)} - \frac{\text{Im}(A_0)}{\text{Re}(A_0)} \right],
\] (6.1)
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(a) Reweighting \( \text{Re}(A_2) \)

(b) Reweighting \( \text{Im}(A_2) \)

Figure 2: Reweighting \( A_2 \) from \( m_\text{sea}^\text{exp} = 0.001 \) to \( m_\text{sea}^\text{exp} = 0.0001 \).

where \( \omega = \text{Re}(A_2)/\text{Re}(A_0) \). \( \text{Re}(\varepsilon'/\varepsilon) \), \( |\varepsilon| \), \( \omega \) and \( \text{Re}(A_0) \) are known experimentally and presented in Table 3. Combining these known factors with our lattice result for \( \text{Im}(A_2)/\text{Re}(A_2) \) we can determine the the unknown quantity \( \text{Im}(A_0) \) within the Standard Model, finding

\[
\text{Im}(A_0) = -5.32(64)_{\text{stat}}(71)_{\text{syst}} \times 10^{-11} \text{ GeV.} \quad (6.2)
\]

The error on \( \text{Im}A_0 \) is obtained by combining the errors on the quantities in Table 3 in quadrature. In equation (6.3) below we compare the relative contribution to \( \text{Im}(A_0)/\text{Re}(A_0) \) from \( \text{Im}(A_2)/\text{Re}(A_2) \) and the term containing the experimentally known contributions:

\[
\frac{\text{Im}(A_0)}{\text{Re}(A_0)} = \frac{\text{Im}(A_2)}{\text{Re}(A_2)} - \frac{\sqrt{2}|\varepsilon|}{\omega} \frac{\varepsilon'}{\varepsilon} 
\]

\[-1.60(19)_{\text{stat}}(21)_{\text{syst}} \times 10^{-4} = -4.38(34)_{\text{stat}}(95)_{\text{syst}} \times 10^{-5} - 1.16(18) \times 10^{-4}. \]

Thus we see that while the error on the determination of \( \text{Im}(A_0) \) is dominated by the uncertainty in the experimental value of \( \varepsilon'/\varepsilon \), the contribution of \( \text{Im}(A_2)/\text{Re}(A_2) \) to \( \text{Im}(A_0) \) is significant (about 25\% in the determination of \( \text{Im}(A_0)/\text{Re}(A_0) \)).

| \( \text{Re}(\varepsilon'/\varepsilon) \) | \( 1.65 \pm 0.26 \times 10^{-3} \) |
| \( \omega \) | \( 0.04454(12) \) |
| \( |\varepsilon| \) | \( 2.228 \pm 0.011 \times 10^{-3} \) |
| \( \text{Re}(A_0) \) | \( 3.3201(18) \times 10^{-7} \text{ GeV} \) |
| \( \text{Im}(A_2)/\text{Re}(A_2) \) (lattice) | \( -4.38(34)_{\text{stat}}(95)_{\text{syst}} \times 10^{-5} \) |

Table 3: Experimental values of the components of equation (6.1) used in the determination of \( \text{Im}(A_0) \), together with the results for \( \text{Im}(A_2)/\text{Re}(A_2) \) from these proceedings.
7. Conclusions

We have presented preliminary results for the $\Delta I = 3/2 K \to \pi\pi$ decay amplitude on $32^3$ lattices with $2 + 1$ flavours of DWF and the Iwasaki-DSDR gauge action. We find

$$\text{Re}(A_2) = (1.436 \pm 0.063_{\text{stat}} \pm 0.258_{\text{syst}}) \times 10^{-8} \text{ GeV},$$

$$\text{Im}(A_2) = (-6.29 \pm 0.46_{\text{stat}} \pm 1.20_{\text{syst}}) \times 10^{-13} \text{ GeV}.$$ 

Our result for $\text{Re}(A_2)$ is in good agreement with the experimental result of $1.479(4) \times 10^{-8} \text{ GeV}$ obtained from $K^+$ decays. In the future we plan to undertake similar calculations of $\text{Re}(A_0)$ and $\text{Im}(A_0)$ [15], allowing $\epsilon'/\epsilon$ to be calculated from first principles for the first time. In the mean time we make the prediction, based on the Standard Model, that $\text{Im}(A_0) = -5.32(64)_{\text{stat}}(71)_{\text{syst}} \times 10^{-11} \text{ GeV}.$

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