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The Effect of Additives Interaction on the Miscibility and Crystal Structure of Two Immiscible Biodegradable Polymers

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Abstract: Poly lactic acid (PLLA) is a promising biopolymer, obtained from polymerization of lactic acid that is derived from renewable resources through fermentation. The characteristic brittleness of PLLA is attributed to slow crystallization rates, which results in the formation of the large spherulites. Its glass temperature is relative high, above room temperature and close to 60 °C, and therefore its applications are limited. The additives poly((R)-3-hydroxybutyrate) (PHB), poly(vinyl acetate) (PVAc) and tributyl citrate (TBC) were used as compatibilizers in the biodegradable polymer blend of (PLLA/PPC). Results from DSC and POM analysis indicated that the blends of PLLA and PPC are immiscible. However, the blends with additives are miscible. TBC as plasticizer was added to PLLA to reduce its Tg. PVAc was used as compatibilizer to improve the miscibility between PLLA and PPC. FT-IR showed about 7 cm⁻¹ shift in the C=O peak in miscible blends due to physical interactions. POM experiments together with the results of DSC and WAXD showed that PHB enhances the crystallization behavior of PLLA by acting as bio nuclei and the crystallization process can occur more quickly. Consequently an increase was observed in the peak intensity in WAXD.

Keywords: Biopolymers, poly(lactic acid) PLLA, poly(propylene carbonate) PPC, blends, plasticizer, compatibilizer, Poly((R)-3-hydroxybutyrate) PHB, Poly vinyl acetate PVAc, miscibility, morphology.

Introduction

In recent years, interest has grown in biodegradable polymers derived from renewable resources, such as cellulose and its derivatives, and renewable aliphatic polyesters like PHB and PLLA, due to high oil prices, as well as the decreasing reserves of crude oil. A considerable portion of the petrochemical materials (10% of crude oil) are used in the manufacture of plastics such polypropylene, polyethylene, polystyrene, polycarbonate and polyvinylchloride. All of these plastic materials are used widely household in food packaging; their disposal creates a big problem for the environment. Environmental awareness is growing daily, for instances against the burring the oil based polymers, therefore causing global warming and air pollution.

PLLA is a thermoplastic polyester, semi crystalline biopolymer. It is derived from renewable resources, such as corn, beet sugar, sugar cane and milk products. It is a practical alternative to conventional plastics made from petrochemicals. PLLA has also been used for biomedical applications, such as drug delivery systems, implant materials for bone fixation and surgical sutures[1-3]. However PLLA is brittle and for this reason cannot be applied in the general industrial applications, and also because its Tg is too high (close to 60 °C). Therefore, it is necessary to reduce Tg to room temperature or lower by mixing PLLA with plasticizers.

Poly(propylene carbonate) PPC is a biodegradable aliphatic thermoplastic. It is produced from carbon dioxide and propylene oxide as a copolymer[4] using different catalysts, such as organo-metallic compounds. PPC is amorphous and its glass transition temperature is 40 °C. PPC has low cost and good mechanical properties. It can be degraded to H₂O and CO₂ through degraded in soil-burial or in a buffer solution[5]. PPC is an amorphous polymer that can be used as a toughening agent. To solve the problem of brittleness of PLLA, physical blending is most widely used in the industry. The development of polymer blends has increased in recent years because the market needs more plastic materials. Polymer blends are defined as a mixture of two or more polymers and are divided into two types: miscible blends in which the components exist in a simple homogeneous phase and immiscible blends in which the components exist in two different phases. In miscible blends there are two or more components molecularly mixed together with no phase separation; they show one glass transition temperature. However, many polymer blends are immiscible, showing two glass transition temperatures, i.e. poor interface adhesion.

To improve interaction and enhance the compatibility between PPC and PLLA a small amount of PHB was added to the PLLA to optimize the crystallization process[6]. Tsuji et.al. has investigated that the addition of a small amount of PHB to PLLA can used as accelerating agent for improving the crystallization process in PLLA[7]. PLLA is immiscible with PHB[7], two phase separations was found in 50%/50% wt (PLLA/PHB blends)[7] but a small amount of PHB (<25%) is miscible with PLLA[8]. PVAc was used as compatibilizer to improve the miscibility between PLLA and PPC. TBC as plasticizer was added to PLLA to reduced its Tg. The PHB, PPC and PVAc have similar chemical structure like

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to PLLA. Tm of both pure PLLA and pure PHB is 174 °C, 175 °C. PVAc was used as compatibilizer between PLLA and PPC to improve the phase dispersion and increase the interfacial adhesion and suppress the domains. Plasticizers are low molecular weight molecules; when added to the polymer, they reduce Tg and Tm. These compounds remain free between the polymer molecules, resulting in increasing the polymer chain mobility only if Tg < 25 °C.

The miscibility of an immiscible blend can be improved by using the compatibilizer.

The compatibilizer have identical chemical structure, therefore allow the formation of physical interactions such as hydrogen bonding, dipole–dipole and ionic interactions, between both PLLA/PPC in the blend, so improving the miscibility of the blend. PLLA is immiscible with PHB although a small amount of PHB is miscible with PLLA resulting in a lower Tg value. PLLA is also immiscible with PPC, butadiene–styrene copolymer (ABS), poly(-caprolactone) PCL, low density polyethylene blends, poly(vinyl acetate-co-vinyl alcohol), poly(3-hydroxybutyrate-co-3-hydroxyvalerate) (PHB-co-PHV), starch and low molecular weight plasticizers such as glycerol, triacetin and low molecular weight citrates.

The aim of this work was to investigate the newly developed blends of PLLA and PPC with additives (PHB, PVAc and TBC). The effect of additives on the compatibility, glass transition temperature, morphology and crystal structure of PLLA and PPC were characterized by various techniques such as differential scanning calorimetry (DSC), polarized optical microscopy (POM), Fourier transform infrared spectroscopy (FT-IR) and wide angle x-ray diffraction (WAXD).

**Experiment**

**Materials**

The PLLA was white pellets with crystallinity index (40%), glass transition temperature are in the order of Tg = 60 °C, and melting point as much as Tm = 176 °C, and an average molecular weight of Mw = 2.2×10^5 g/mol. The PHB was a fine white powder with crystallinity index of 60%, Tg = 5 °C, melting point as much as Tm = 175 °C, and average molecular weight of Mw = 2.3×10^5 g/mol.

Both the PLLA and PHB were supplied by Biomer, Germany. PPC as amorphous pellets with Tg = 40 °C, average molecular weight MW=1.3×10^4 g mol⁻¹, whereas PVAc amorphous pellets and with Tg = 30 °C, average molecular weight Mw=0.51×10^4 g mol⁻¹ and TBC were purchased from Sigma-Aldrich Chemicals Ltd.

The chemical structure of PHB, PLLA, PPC, PVAc and TBC is

![Chemical structure of PHB, PLLA, PPC, PVAc and TBC](image)

**Preparation of samples**

The investigated (PLLA/PPC/PHB/PVAc/TBC) blends with weight ratios: (80/20/0/0/0); (75/25/0/0/0); (70/30/0/0/0); (70/20/0/10/0); (50/20/15/10/5); (45/20/15/10/10); (35/25/15/10/15); (25/30/15/10/20) were prepared by a solution method. The designations of these composites are given in Table 1. All blends were prepared by dissolving the components together in chloroform and then the solution was cast in a Petri dish to prepare the cast films. The samples, in 2 mm thick, were dried at 60 °C for 24 h to completely remove any residual solvent.

**Measurements**

**Differential scanning calorimetry (DSC)**

Thermal analysis was carried out from - 50 °C to 200 °C at heating and cooling rates of 10 °C min⁻¹ using a differential scanning calorimeter (Schimadzu-DSC 50, Japan). Samples of 400± 100 µg were sealed in aluminum sample pans and were kept under a dry nitrogen atmosphere. The analysis of DSC curves was carried out for the second heating run data to determine the glass transition temperature (Tg) and cold crystallization temperature (Tc).

**Polarized optical microscopy (POM)**

The evolution of microstructure for all blends under investigation was examined using a POM (Nikon Eclipse E600 equipped with a hot-stage, Instec STC200, Japan). A small amount of polymer was placed between two microscope glass slides as a sandwich and inserted in the hot stage and melted at 190 °C. After melting, a thin film was obtained by applied a small pressure to the top of the cover glass (the thin film was approximately 0.05-0.1 mm in thickness). The blend samples were heated on the...
The crystalline phases were analyzed by wide-angle x-ray diffraction (WAXD). The measurements were carried out using Panalytical X’pert PRO diffractometer (Netherland) with Ni-filtered Cu Kα radiation with wave length $\lambda = 1.54178$ Å in the range of $2\theta = 5-35^\circ$ at 40 kV. The WAXD data for PLLA/PPC blends with and without additives were obtained at room temperature (~25 °C) with a scan rate of $(2^\circ) \text{min}^{-1}$. Solution cast film samples were cut into rectangular pieces (4 cm$^2$) and mounted on the matrix prior to analysis.

FT-IR spectroscopy

Infrared spectra of the investigated films, which were cut into rectangular pieces of 4 cm$^2$ area, were recorded at room temperature and in the wavenumber range 550-4000 cm$^{-1}$ using a Fourier Transform FT-IR 6100 Jasco (Japan) spectrometer.

Results and Discussion

Differential scanning calorimeter (DSC) analysis

Plasticizers are additives with lower molecular weight that are added to polymeric material to reduce its brittleness. Plasticizer remain free between the polymer molecules, resulting in increasing the polymer chain mobility at room temperature. If plasticizer-polymers are compatible, all materials will form a homogeneous blend during processing. It is generally necessary that the tributyl citrate (TBC) as plasticizer and polymers have approximately the same polarity. The polar groups in TBC, PHB, PLLA, PVAc and PPC are (OH, C=O), (C=O, OH), (C=O), (OH) and (C=O), respectively. The additives (PHB, PPC and PVAc) have similar chemical structure similar to PLLA, therefore some physical cross linking is occurred between PHB, PLLA, PPC, PV Ac and TBC.

The value of $T_g$ depends on the mobility of the polymer chain, i.e. the more immobile the chain, the higher the value of $T_g$. It is known that neat PLLA, PPC, PVAc and PHB have glass transition temperatures of cr. 60 °C[26], 40 °C[4], 30 °C[21] and 5 °C[7], respectively. For The glass transition temperatures ($T_g$), cold crystallization peak temperatures ($T_{cc}$) and melting peak temperatures ($T_m$) of all PLLA/PPC blends under investigation (with and without additives) were determined from the DSC second heating runs. In previous work[26], it was reported that the neat PLLA has used here $T_g = 60 °C$, $T_{cc} = 108 °C$, and $T_m = 174 °C$. Table 2 shows the $T_g$, $T_{cc}$ and $T_m$ of the PLLA, as well as all blends, determined by the DSC measurements. Figure 1 shows results from blends 1, 2 and 3. The blend without additives exhibited two $T_g$’s, at about 35 and 51 °C, corresponding to the $T_g$ of PPC and PLLA, respectively. The melting temperature ($T_m$) value of the PLLA component in the blends 1, 2 and 3 was essentially unaffected and remained constant at (T$_m$ = 169-170 °C), this demonstrates the immiscibility of the system. Similar results were found by Wang et al.[9].

Table 1. The samples and composition of blends.

| Blends | PLLA (wt %) | PPC (wt %) | PHB (wt %) | PVAc (wt %) | TBC (wt %) |
|--------|-------------|------------|------------|-------------|------------|
| 1      | 80          | 20         | -          | -           | -          |
| 2      | 75          | 25         | -          | -           | -          |
| 3      | 70          | 30         | -          | -           | -          |
| 4      | 70          | 20         | 0          | 10          | 0          |
| 5      | 50          | 20         | 15         | 10          | 5          |
| 6      | 45          | 20         | 15         | 10          | 10         |
| 7      | 35          | 25         | 15         | 10          | 15         |
| 8      | 25          | 30         | 15         | 10          | 20         |

Table 2. Thermal properties of PLLA and PPC blends and their blends with additives.

| Blends | Second heating run | | | | |
|--------|-------------------|---|---|---|
| PLLA[26] | T$_g$ (°C) | T$_{cc}$ (°C) | T$_m$ (°C) | |
| Blend 1 | 33 (PPC) | 53 (PLLA) | 135 | 169 |
| Blend 2 | 35 (PPC) | 52 (PLLA) | 137 | 168 |
| Blend 3 | 32 (PPC) | 50 (PLLA) | 120 | 169 |
| Blend 4 | 35 (PPC) | 51 (PLLA) | 135 | 166 |
| Blend 5 | 30          | -          | 105 | 161 |
| Blend 6 | 19          | -          | 90  | 162 |
| Blend 7 | 10          | -          | 77  | 155 |
| Blend 8 | -14         | -          | 58  | 144 |

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Figure 2 shows DSC scans of blends 4 and 5. In blend 4 the two $T_g$’s of PPC ($T_{g1} = 35 ^\circ C$) and PLLA ($T_{g2} = 47 ^\circ C$) shift toward each other with the addition of PVAc (10%), i.e. PVAc improves the miscibility between PLLA and PPC. In case of blend 5, the addition of 5% plasticizer to PLLA, PPC, PHB and PVAc led to forming an apparent single glass transition temperature. PVAc is act as only a compatibilizer to improve the phase dispersion and increase the interfacial adhesion between PLLA and PPC phases. PVAc is placed at the phase interface as a compatibilizer to reduce interfacial tension and suppress the domains. Figure 3. illustrates the second heating of blends 6, 7 and 8. The addition of plasticizer to PLLA/PPC/PHB/PVAc reduced both $T_g$ and $T_m$. The respective glass transition temperatures of these blends were 19 °C, 10 °C and –14 °C. Blend 6 had one low $T_g$ at 19 °C and a shoulder peak at 40 °C that was smaller but similar to all three blends 1, 2 and 3 of PLLA and PPC. However the blends 5, 7, and 8 have single glass transition temperatures at 10 °C and –14 °C respectively. The decrease of PLLA content in blends 6, 7 and 8 changes $T_{cc}$ from 90 °C to 57 °C. Also, increasing the additives content changes the $T_f$ from 174 °C (pure PLLA) to 144 °C, i.e. the cold crystallization peak temperatures have the same trend as the melting temperatures. The reduction of $T_f$ is a typical phenomenon for miscible blends containing a crystalline polymer. Increasing the plasticizer content in blends 6, 7 and 8 led to the decrease of $T_f$, $T_{cc}$ and $T_m$ as a result of the enhanced chain mobility.

**Morphological studies by POM**

Figures 4a, b and c are shown the morphology of the spherulite of the (PLLA/PPC) blends at isothermal crystallization temperatures: with optical polarizer (a) blend 1, (b) blend 2, (c) blend 3; without optical polarizer (a’) blend 1, (b’) blend 2 (c’) blend 3.
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It is found in blends 1, 2, and 3 phase separation in PLLA/PPC blends. It show dark spherical particles (amorphous PPC) in the morphology of spherulites. Similar results are shown by POM[27] and SEM[8]. The size of this dark particles increased with the increasing PPC component in PLLA matrix. No separate spherical particles in PLLA matrix are observed in Figure 5a, b and c. The phase separation is disappeared completely with adding of additives (PVAc, PHB and TBC) to (PLLA/PPC) blends. Blends 6, 7 and 8 did not show phase separated domains in the morphology, i.e. stronger interfacial adhesion between PLLA, PPC and additives. The POM image was measured with optical polarizer (a), (b) and (c) while the image without optical polarizer (a’), (b’) and (c’). All blends had similar, non-banded spherulite morphology, typical of pure PLLA. To demonstrate by POM that the addition of PHB improves the crystallization process of PLLA, the sample was sandwiched as a thin circular film with diameter 1.0 cm between two glass slides on the heated stage. As an example, Figure 6 shows the spherulitic growth of blend 7, crystallized isothermally at 120 °C and then cooled at 80 °C, optical micrographs were taken at different times and at 80 °C (a) 2 min, (b) 3 min, (c) 5 min, (d) 6 min, (e) 7 min, (f) 8 min with 1/4 \( \lambda \) and tint plate. It was observed by isothermal crystallizing at 120 °C that approximately 10.0 big PLLA spherulites per unit area are formed at first. These spherulites grew very slowly after 5 min with diameter up to 50 \( \mu \)m. By reducing the isothermal crystallizing to \( T_c = 80 \) °C, the crystal growth rate was remarkably rapid and the nucleation density of PLLA enhanced, i.e. thousands to millions of small spherulites had been formed. Therefore the crystallization process can occur more quickly. POM proof reveals that the addition of low concentrations of PHB (15 %) as bio nucleus to PLLA can help the formation of PLLA spherulites and therefore decreases the final size of spherulites[28]. These results are consistent with the other measurements described here such as DSC, WAXD and FT-IR.

FT-IR analysis

Fourier transform infrared (FT-IR) spectroscopy was used to identify any peak shifts between the two or more polymers, therefore detecting whether the type of interaction between the materials was strong or weak. Figures 7 and 8 show the FT-IR spectra in the wavelength

![Figure 5. Spherulitic morphology of (PLLA/PPC/PHB/PVAc/TBC) blends, at 120 °C crystallization temperatures: with optical polarizer (a) blend 6, (b) blend 7, (c) blend 8; without optical polarizer (a’) blend 6, (b’) blend 7 (c’) blend 8.](image1)

![Figure 6. Polarized optical microscopy of spherulite texture of blend 7, crystallized isothermal at 120 and then cooled at 80 °C after different time (a) 2, (b) 3 min, (c) 5 min, (d) 6 min, (e) 7 min, (f) 8 min with 1/4 \( \lambda \) and tint plate.](image2)
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ranges 900-1850 cm\(^{-1}\) and 2800-3100 cm\(^{-1}\) for the pure PLLA, PPC and their blends without and with additives. The band occurring in the region of 1740-1750 cm\(^{-1}\) corresponds to the ester carbonyl group (C=O group) in PLLA and PPC. The band at 1224 cm\(^{-1}\) is assigned to the C–O–C stretching modes of the amorphous state and the band at 1185 cm\(^{-1}\) is attributed to C–O–C stretching band of components the crystalline parts of PLLA. The two peaks at 1085 and 1046 cm\(^{-1}\) are attributed to C-O bond stretching of the C-O-C groups in PLLA. The band at 1370 cm\(^{-1}\) is characteristic of the crystalline state, corresponds to the stretching of CH. The bands at 1370 and 1220 cm\(^{-1}\) assigned as crystalline segment of both PLLA and PHB\(^{[29]}\) respectively, to the coupling of CH deformation and CH\(_3\) symmetric deformation mode and the C-O-C stretching mode. The C-O-C group appear at 1046 cm\(^{-1}\) for both PLLA and PHB. These bands 1046,1085, 1224 and 1370 cm\(^{-1}\) are assigned as crystalline segment of both PLLA and PHB\(^{[28]}\). Its intensities are very stronger in blends than pure PLLA, i.e. these results designate that addition of small amount of PHB can help the crystallization of PLLA, because these bands associated to crystalline part in PHB. The peaks at 965, 1125, 1224 and 1450 cm\(^{-1}\) are found in both PLLA, PPC and its blend.

Figure 8 shows that the CH\(_3\) group absorption band in the region 2800-3000 cm\(^{-1}\) corresponds to the asymmetrical stretching modes of the crystalline parts (CH\(_3\) methyl group) in PHB and PLLA. There are bands for both PLLA, PHB\(^{[30]}\) and PPC in the C-H stretching region (2955, 2920 and 2855 cm\(^{-1}\)). It is clear demonstrate that the intensities of the bands increase with the crystalline structure of PHB.

In many miscible polymer blends that contain carbonyl groups, there are some interactions, such as electric dipole interactions or hydrogen bonding. Consequently some peaks are shifted. In the case of our (PLLA/PPC) blends no shift in the C=O peak occurred, which indicates that the two polymers were immiscible. However in the presence of additives as a compatibilizer in blends 6, 7 and 8, an about 10 cm\(^{-1}\) shift occurred by C=O peak. This suggested that these function groups between PLLA and additives made physical interaction such as electric dipole interactions or hydrogen bond.

The crystal structure by wide angle X-ray diffraction analysis

The crystal structure of the (PLLA/PPC) blends with and without additives was studied by WAXD. PLLA crystallizes in the α form with an orthorhombic and the unit cell has the dimensions of a = 1.07 nm, b = 0.595 nm, and c = 2.78 nm\(^{[31]}\). Figure 9 shows the WAXD analysis of pure PLLA and PPC, as well as their blends with and without additives. Both PPC and PLLA have a broad amorphous halo with small peak (small crystalline region) for the later at 2\(\Theta\) =16°. Each investigated blend has one strong diffraction peak around 16.4° corresponding to
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Addition of a small amount of PHB to PLLA can accelerate the crystallization process. New small peak appeared in blends 6, 7 and 8 correspond to PHB. The addition of small amounts of PHB to PLLA can accelerate the crystallization process. The peaks for α-form at 16.6°, 22.3° and β form at 19° are due to both together of PHB and PLLA. The peak at 14.6° (010) plane corresponds only to PLLA and that at 13.6° (020) plane only to PHB at. The intensities of both α- and β form crystals of blends 6, 7 and 8 were higher and the peaks sharper when compared with those of blends 1, 2 and 3.

The values of interplanar spacing (d) and crystal size (Lhkl) can be calculated by the following Bragg’s law and Scherrer’s formula

\[ d = n\lambda / 2\sin\theta \]  

\[ L_{hkl} = \frac{k\lambda}{\beta \cos\theta} \]  

Where Lhkl is thickness of crystallite and h, k, and l are the Miller indices, K is constant dependent on crystallite shape taken (0.94), λ is the wavelength of x-ray (1.54 Å), β is the full width at half max (FWHM) or the integral breadth, d is the spacing between the diffracting planes and θ is the Bragg angle. Table 3 summarizes the crystal structural parameters determined based on WAXD curves. The obtained d values for various peaks of PLLA with and without additives in the blends showed little, if any change.

The K values showed a small change with increasing the additives content. It was found that, while the thickness of the β-form crystals decreased from 23.9 to 21.4 nm in blends 1 to 3, it remained constant (21.4 nm) in the blends from 6 to 8. Also, the thickness of the α-form crystals in the direction (110) decreased from 33.1 to 26.7 nm in the blends 1, 2 and 3 while remained at a constant value of 35.7 nm in the blends 6 and 7. These values were higher than that of blend 1, which was 33.1 nm. From these results, it is obvious that the PHB acted as heterogeneous nuclei Consequently, the peak intensity at (200/110) and (203) increases in blends 6, 7 and 8.

Conclusions

The miscibility, morphology and crystal structure of PLLA/PPC/PVAc/TBC blends were investigated. DSC indicated that blends 1, 2 and 3 of PLLA and PPC with varying composition (80/20) to (70/30) are immiscible, i.e. two Tg’s existed, 35 °C for PPC and 51 °C for PLLA with a constant Tm at 169 °C. Blends 5, 7 and 8 of are miscible in the amorphous state, i.e. a single Tg existed. The miscibility of PLLA/PPC was greatly enhanced by the addition of plasticizer, i.e. both Tg and Tn, decreased significantly with increasing TBC content, Tg from 60 °C to −14 °C and Tn from 174 to 144 °C. The nucleation density of PLLA enhanced and small spherulites had been formed by addition of PHB as bio nuclei. FT-IR indicated that the increase of additives content led to a shift of the absorption peak of C=O due to physical interaction. WAXD showed that the intensities of the peaks at (200/110) and (203) were increased by the addition of PHB.

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