Mucinous ovarian carcinoma (MOC) is a unique subtype of ovarian cancer with an uncertain etiology, including whether it genuinely arises at the ovary or is metastatic disease from other organs. In addition, the molecular drivers of invasive progression, high-grade and metastatic disease are poorly defined. We perform genetic analysis of MOC across all histological grades, including benign and borderline mucinous ovarian tumors, and compare these to tumors from other potential extra-ovarian sites of origin. Here we show that MOC is distinct from tumors from other sites and supports a progressive model of evolution from borderline precursors to high-grade invasive MOC. Key drivers of progression identified are TP53 mutation and copy number aberrations, including a notable amplicon on 9p13. High copy number aberration burden is associated with worse prognosis in MOC. Our data conclusively demonstrate that MOC arise from benign and borderline precursors at the ovary and are not extra-ovarian metastases.
The origin of mucinous ovarian carcinoma (MOC) has long been controversial. It is now recognized that in the past, many mucinous tumors involving the ovary were in fact misdiagnosed metastases from diverse extra-ovarian sites, such as the colon, stomach, pancreas and uterus1. After revisions to the diagnostic criteria, the rate of mucinous tumors appearing to arise from the ovary fell from ~10% to only 3–5% of all epithelial ovarian cancers2. Nonetheless, it remains contentious if even these cancers represent occult extra-ovarian metastases3. Accurate diagnosis of primary MOC remains challenging, with a metastatic tumor from the lower gastrointestinal tract the most common alternative4.知ing primary versus metastatic status strongly influences therapy selection, since most international guidelines indicate that first line therapy should be based on the tissue of origin5–7. Ovarian platinum-based therapies have low response rates for MOC8 and because of the morphological similarities with colorectal mucinous tumors, a colorectal treatment regimen was proposed9. The difficulties in diagnosis alternatively led to the suggestion that all mucinous tumors could be treated with similar targeted therapies, regardless of origin10,11. However, both approaches assume molecular similarities across mucinous tumors, which is currently unknown.

Our current understanding of tumorigenesis incorporates a model of progression whereby the acquisition of cancer hallmarks is driven by the step-wise accumulation of genetic events12. The cell of origin for MOC remains unknown, however, mucinous benign cystadenomas and mucinous borderline ovarian tumors (MBT), the latter characterized by proliferative and atypical epithelial cells lacking stromal invasion, have been suggested as putative precursor lesions to MOC. These benign and MBT tumors can be cured by surgery alone13, suggesting that they are ovarian in origin and an occult primary tumor at a distant site is unlikely.

Under a tumor progression model, we expect that if benign and borderline lesions are precursors to MOC they will share common initiating genetic events, and that development of a more aggressive phenotype, such as metastasis or higher tumor grade, will be accompanied by additional genetic aberrations. In support of this, previous work has found that MOC share genetic events such as KRAS mutations with benign and borderline mucinous ovarian tumors14–16. However, the contamination of earlier molecular data with metastatic tumors masquerading as MOC and the low sample size of more contemporary studies mean that the genetic events that drive invasive progression and metastasis of primary MOC remain largely unknown. In particular, due to its rarity and high probability of confusion with metastatic tumors from other sites, it is unclear whether MOC of high grade can develop from low grade MOC or other precursors.

In this study we ask two key questions: are MOC distinct from extra-ovarian metastases and primary mucinous tumors from other sites? What are the relationships between benign, borderline, invasive low-grade and high-grade mucinous tumors? To address these questions comprehensive genetic analyses are performed in a large multicenter, multinational cohort of these rare tumors. We find that MOC is distinct from tumors from other sites and identify a progressive model of evolution from borderline precursors to high-grade invasive MOC.

Results
Genetic analysis of mucinous ovarian tumors and other tumor sites. We amassed over 500 potential mucinous ovarian tumors, including putative precursors, and undertook extensive pathological and clinical review to define a cohort of 255 primary MOC (Supplementary Data 1). Cases were ascertained from participating tissue banks and hospital databases as ovarian tumors with mucinous histology, and were reviewed with current diagnostic criteria to exclude mixed mucinous and non-mucinous ovarian tumors, and ovarian metastases from non-ovarian primaries (see Methods and Supplementary Fig. 1 for details of exclusions). Cases where the tumor was deemed likely to be metastatic but without a known primary site were also excluded. Whole exome sequencing was performed on primary MOC (n = 48), mucinous benign cystadenomas (n = 5) and mucinous borderline ovarian tumors (MBT, n = 9), including 24 sequenced in a previous publication17. Whole genome sequencing (WGS) was also performed for a subset of primary high-grade MOC (n = 5). Recurrently mutated genes identified in these 53 MOC were further investigated using a targeted sequencing panel in a cohort comprising MBT (n = 20), MOC (n = 134) and extra-ovarian metastases (n = 23) (Supplementary Data 2). The most frequent genetic event in MOC was copy number loss or mutation in CDKN2A (76% of cases), followed by mutations in KRAS and TP53 (both 64%). Amplification of ERBB2 (26% of cases) and mutations in RNF43, BRAF, PIK3CA and ARID1A (8–12% of cases) were the next most frequent (Fig. 1a).

It has been argued that even with current diagnostic practices most MOC, in particular high-grade cases, are metastatic tumors from other tissue sites2. Therefore, we compared our mucinous ovarian tumor sequencing data with that from TCGA and other available exome sequencing data. This comparison showed that MOC were clearly genetically distinct from high-grade serous ovarian, endometrial, gastric and colorectal tumors, including mucinous colorectal carcinomas and appendiceal neoplasms (Fig. 1b). Pancreatic adenocarcinomas were the most genetically similar to MOC, sharing the common combination of CDKN2A inactivation, KRAS and TP53 mutations. However, other events distinguish the two tumor types, with primary MOC carrying ERBB2 amplifications and RNF43 mutations, whereas pancreatic tumors show frequent SMAD4 alterations. Making it further improbable that MOC derive from pancreatic precursors, MOC 5-year survival rates - 82% (Grade 1) and 42% (Grade 3) - are significantly better than for metastatic pancreatic carcinoma (~1%) or for known extra-ovarian metastatic tumors in our cohort (Supplementary Note 1, Supplementary Tables 1–4, Supplementary Figs. 2–5).

Progression of MOC from precursor tumors: mutation analysis. Since our genetic data indicate that MOC are unlikely to be metastases from extra-ovarian sites, but rather bona fide ovarian carcinomas, we next assessed the genetic relationship between MOC and putative benign and borderline ovarian precursors. If MOC evolve from these non-invasive lesions, we expect them to share initiating events (those present at allele frequencies indicating they are present in all tumor subclones). Notably, the majority of MOC carried at least one initiating genetic event that was also present in most MBT cases. For example, a mutation in KRAS, BRAF and/or CDKN2A was observed in 95% of grade 1 (83/87), 88.6% of grade 2 (70/79) and 83% of grade 3 (20/24) MOC and was also present in 95% of MBT (Supplementary Tables 5 and 6).

Under a model of progression, we expect to observe evidence of additional genetic events driving invasion, increasing tumor grade and metastasis. We first evaluated whether the point mutational profile drove invasive progression. TP53 was the only gene to show enrichment of mutations in MOC (64%) compared to MBT (18%) (Fisher’s exact test, two-sided, p < 0.0001, OR 0.12, 95% CI 0.045–0.31, Supplementary Table 5). Globally, MOC did not have a significantly higher point mutation burden than observed in the non-invasive lesions, nor did the number of variants differ with grade (Fig. 1c). Mutation signature detection revealed that most MOC had an age-related signature (COSMIC Sig18), either as the major (73%) or a minor (22%) component (Fig. 1d). This
Fig. 1. Variant analysis. a Summary of variants across the cohort for genes mutated in >5% of MOC; also includes copy number alterations for CDKN2A and ERBB2. BEN, benign mucinous; MBT, borderline mucinous; MOC, mucinous ovarian carcinoma; EOM, extra-ovarian metastases. b Comparison of copy number alterations and mutations with other tumor types, summarised by frequency for each. Number of cases shown below. *Higher number is for ERBB2 (ANOVA, two-sided, F = 1.55, df = 5, p = 0.18), combining exome and targeted sequencing cohorts. c Top: number of single nucleotide variants (SNV) input to signature detection from whole exome and whole genome sequencing, with each column an MOC case. Note whole genome samples truncated at 300 (asterisk). Bottom: COSMIC mutation signatures. 

The point mutation spectrum was similar to benign tumors (100% Sig1 as the major component) and MBT (71% major, 29% minor Sig1). A similar signature pattern for MOC was also obtained through de novo mutation signature detection on five grade 3 MOC cases with WGS data. We found three identified signatures present in varying degrees in these cases – S1 (COSMIC Sig1; Age), S2 (COSMIC Sig2 and 13; APOBEC), and S3, resembling COSMIC Sig8 (Supplementary Fig. 6). This result indicates that MOC, including even high-grade MOC, share similar mutational profiles to benign and borderline precursors.

Progression of MOC from precursors: chromosome aberrations. As the point mutation spectrum was similar between MOC and the precursor lesions, we evaluated chromosome copy number aberrations as another genetic mechanism of progression. Data for over 250 cases (22 benign, 39 MBT and 195 MOC) show that MOC cases have more copy number alterations than pre-invasive disease (Fig. 2a). The fraction of the genome altered by copy number also increases significantly with grade (Fig. 2b), and is associated with patient outcome (Fig. 2c). Specific copy number alterations associated with progression from MBT to grade 1 MOC were losses at 9pter-p21.2 and 17p (Fig. 2a, Supplementary Dataset 3). Increased 17p loss is likely to reflect the increased prevalence of TP53 mutations in MOC, as has been shown in other cancer types.\(^\text{19,20}\) In contrast, loss of the most obvious tumor suppressor gene on 9p, CDKN2A (9p21.3), is an early event in mucinous ovarian carcinogenesis, commonly seen in benign mucinous and MBT precursors. Indeed, loss of the CDKN2A locus was not itself significantly associated with progression (56.4% MBT, 69.7% MOC), but an expanded area of deletion encompassing most of the chromosomal arm was enriched in MOC. This result suggests that other tumor suppressor genes may be located on chromosome 9 that are important for invasive progression.

Interestingly, we observed that MOC with CDKN2A loss combined with TP53 mutation were significantly more likely to have copy number amplification at 9p13.3 (Fisher’s exact test, two-sided, \(p < 0.0001\), OR 0.05, 95% CI 0.001–0.34, Fig. 3). This combination could be synergistic in driving MOC development, as the amplicon was not seen in MBT or benign tumors. A similar, but independent, association was observed between TP53 mutation and ERBB2 amplification, with this combination rarely observed in MBT (Supplementary Fig. 7). We evaluated the effect of 9p13.3 amplification on the transcriptome and found significantly increased expression of genes associated with chromosome condensation and kinetochores (\(p = 4 \times 10^{-9}\), STRING Hypergeometric test, Supplementary Fig. 8). We speculate that 9p13.3 amplification could lead to enhanced genomic instability, as the fraction of the genome altered by copy number was higher in
Fig. 3 9p13 amplification. a WGS case HOV159 showing complex amplification with the majority of breakpoints rejoining internally to chromosome 9. b WGS case 5950 showing simpler amplification with a mixture of internal and external breakpoint fusions. c Overview of all amplified samples (gains in blue, losses in red) showing minimal region of overlap at 33.785–35.159 Mb and association with 9p loss. d All MOC with 9p13 amplification have TP53 mutation and 16/17 also have have CDKN2A loss/loss of heterozygosity (LOH). HD, homozygous deletion. For TP53: No: no mutation detected, Yes: mutation detected. e RNAseq analysis showing genes significantly differentially expressed between amplified and non-amplified (FDR < 0.05). Blue genes = within 9p amplicon, *genes connected in STRING network related to chromosome condensation.
Molecular evolution of Grade 3 MOC and metastatic disease.

We investigated the evolutionary process from MBT to high-grade metastatic MOC in a unique case of a patient who consented to the collection of her primary tumor tissue at initial diagnosis and, following recurrence with rapid deterioration in her condition, to the donation of multiple metastatic tissue samples (n = 16) collected via a rapid autopsy program (Fig. 4a, b).21 The patient was initially diagnosed with a Stage IA tumor, mostly MBT with small areas of invasive carcinoma (Fig. 4, Supplementary Note 1). She did not have any chemotherapy. At 26 months after diagnosis, she was diagnosed with a Stage IIIA tumor, mostly MBT with small areas of invasive carcinoma (Fig. 4, Supplementary Note 1). She did not have any chemotherapy. At 26 months after diagnosis, she was diagnosed with a Stage IIIA tumor, mostly MBT with small areas of invasive carcinoma (Fig. 4, Supplementary Note 1). The association of 9p loss with poorer clinical outcomes cannot be due to other possibly haploinsufficient tumor suppressors on 9p as well as 9q may be responsible, as loss of these chromosome arms was more frequent in tumors with disease-specific mortality (Supplementary Fig. 9).

Discussion

We have generated a model of progression from benign to MBT to localized low-grade MOC and progressively through to high-grade and/or metastatic MOC. Benign tumors initiate with either a KRAS or CDKN2A event. MBT are significantly more likely to have both events and may have additional copy number alterations. Grade 1 MOC have yet more copy number alterations, and are more likely to have a TP53 mutation. Copy number alterations are key drivers associated with increasing grade and metastatic progression, and are potential prognostic markers.

Our data showing that MBT precursors can beget high-grade MOC contrasts with high-grade serous ovarian carcinoma that hardly ever derive from borderline or low-grade disease. Indeed, the majority of grade 3 MOC had associated benign and/or borderline components (20/23, 86%), which is rare in high-grade serous ovarian carcinoma.23 Data from epidemiological and genome-wide association studies support a common origin for MOC and MBT tumors, as the risk factors and SNPs are shared between the two conditions.24-26 It is therefore crucial that this risk of progression is taken into consideration in the surgical management of women with MBT. It remains to be determined whether any of the genetic aberrations we have identified as important for progression could also be prognostic markers for MBT, for example TP53 mutation or copy number burden.

Mutation in KRAS is a key early event for ovarian mucinous tumors. Oncogenic RAS has been linked to genomic instability through a number of mechanisms, including replication stress and shortened G28-29, but we did not see an association of KRAS mutations with genomic instability overall (Supplementary Table 7). However, survival of cells after events such as...
cytokinesis failure and genome tetraploidisation may require aberrant p53 and indeed TP53 mutation was strongly associated with genomic instability measures in our cohort. The combination of TP53 mutation and KRAS mutation in the rapid autopsy case may explain the survival of a tumor cell after a tetraploidisation event. This event may have enabled the increase in copy number amplifications in this case, as tetraploidisation has been shown to lead to increased structural variation from elevated replication stress. Recently, a copy number signature that was associated with RAS pathway aberrations was identified in high-grade serous ovarian cancer (in the context of near-universal TP53 mutations). The presence of amplifications and fold-back inversions with relatively few other breakpoints in this signature is consistent with the type of copy number profiles observed in the rapid autopsy case as well as many other MOC, particularly those of high grade and/or TP53 mutated.

In conclusion, our data do not support a non-gynecological origin for MOC as previously postulated, but it remains unclear whether the ovarian surface epithelium is the cell of origin for MOC. Other “ovarian” tumor histotypes arise from non-ovarian
cells, such as high-grade serous carcinoma (fallopian tube) and endometriosis-associated carcinomas (endometrioid and clear cell subtypes); MOC could be similar. This uncertainty suggests that a personalized molecular therapeutic approach could be more effective than a standardized protocol based on the tissue of origin. Indeed, our analysis identified several potential targets such as RNF43 (Wnt-pathway inhibitors), ERBB2 (HER2 targeted therapies), and potentially new dual RAS/RAF inhibitors targeting KRAS. Assessing the efficacy of targeted therapies specifically for this tumor type will be challenging given its rarity, such that basket trials assessing specific targets may be more practical and will be crucial to improve outcomes for women with advanced or recurrent MOC.

Methods

Cohort and pathology review. We evaluated collections of mucinous tumors from 11 different sources in four countries (Supplementary Data 1): Australia: Royal Women’s Hospital, the Victorian Cancer Biobank, The Hudson Institute of Medical Research (all Victoria); Garvan Institute, Westmead Gynaecological Biobank (New South Wales); Queensland Institute for Medical Research-Berghofer (Queensland); Australian Ovarian Cancer Study (AOCs) and CASCADE (Australia-wide); United Kingdom: Edinburgh Cancer Research Centre; United States: the Mayo Clinic (MN); Canadian Ovarian Experimental Uni-

DNA and RNA extraction. Tumor genomic DNA was isolated from cells obtained by needle-point microdissection of areas with >80% neoplastic cellularity from 10 μm hematoxylin and eosin (H&E)-stained tissue sections. For the discovery cohort (frozen tissues), DNA was extracted using the DNeasy Blood and Tissue Kit (Qiagen). Matched germline DNA was extracted from whole blood (36 cases) or uninvolved ovarian stroma (18 cases). For the validation cohort (FFPE tissues), microdissection of benign/hyperplastic components, intact/smooth capsule/surface involvement, any evidence of an extra-ovarian primary concurrently or historically, expansile or infiltrative invasion, presence/absence of signet ring cells, microscopic cysts, complex papillae, necrotic debris, small glands/tubules, single cells, nodular growth, hilar involvement. Immunohistochemistry data was also reviewed if available. All these factors were considered collectively to reach a decision. Review was conducted by MC, JP, PEA, CBG, MK, RS and more detail on exclusions are provided in Supplementary Fig. 1. Grade was assessed considering the architectural pattern (glandular < papillary < solid), nuclear anaplasia (mild < moderate < severe), and mitoses/mrn/mm3 (5, 3-7, 8-18).

Library construction and massively parallel sequencing. Whole genome libraries (10 tumor, 5 germline) were constructed by the Ramaciotti Centre for Genomics (UNSW, Sydney, Australia) from DNA TruSeq DNA Sample Preparation protocol (Illumina, San Diego, CA, USA). Each resulting paired-end library was sequenced on an Illumina HiSeqX. Whole exome libraries were constructed from 200 to 500 ng of DNA. Exome capturing was performed using the SureSelect Human All Exon kit v6 (Agilent Technologies, Santa Clara, CA) and massively parallel sequencing performed using the Illumina HiSeq2000 with 150 bp reads. Targeted sequencing of FFPE tumor DNA was performed using a custom SureSelect XT Custom Panel (Agilent, Supplementary Data 2). This panel targets 462 genes found to be recurrently mutated in our discovery exome analysis, including an additional 7 actionable genes, 25 genes associated with EOM sites, three MOC GWAS SNPs, one LGEC associated gene, and two additional RAS/RAF driver genes. Library preparation was performed using the KAPA Hyper Prep Kit (KAPA Biosystems). Sequencing of target-enriched DNA libraries was performed using the Illumina Next Seq 500 generating 75 bp paired-end sequence reads. Sequencing QC measures are provided in Supplementary Data 6).

In total 200 ng of RNA was used to generate libraries using the TruSeq Stranded Total RNA LT Sample Prep Kit with Ribo-Zero Gold (Illumina). Libraries were pooled and single-end reads to 50 bp on an Illumina HiSeq2000 to achieve a minimum of 30 x 106 reads per sample.

Mutation analysis. Whole-genome sequencing data was processed using SeqWin 

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Signature detection. DeconstrucSign (v1.8.0) was performed on variants filtered as above. For de novo discovery of signatures on the WGS cases, variants were additionally filtered against Exome blacklist, RepeatMasker, presence in dbSNP, and a tumor specific target. The same variant selection criteria were used in NMF signature discovery (R package SomaticSignatures40) with the number of signatures set to three.

Copy number analysis. Existing SNP array data was used when available. Copy number data was otherwise obtained from exome sequencing using ADTHEX31 using matching normal germline DNA as a baseline when available. For whole genome sequencing data, structural variations were predicted using MANTRA (v1.0.38) (Supplementary Data 6) and copy number aberrations and LOH were detected using FACETS (v0.5.6, culo 1500)43. For the targeted sequencing panel Copywriter44 was used for copy number with 50 kb bins, utilising a normal lymphocyte DNA control run in the same sequencing batch for the normalisation baseline (NA12878, Coriell Institute). Data was then imported into Nexus Copy Number software. Copy number variants were filtered using a FASST2 segmentation algorithm and visualized (Supplemented Data 3). Thresholds were log, ratios of ±0.2 for gains and losses, >0.6 for high level gains and <1 for homozygous deletions.

Comparisons between groups were performed using Nexus Copy Number using a p value threshold of <0.002 (selected by dividing a p value of 0.05 by 25 of number of chromosomes), since segments within an oncogene were not considered independent). A requirement for a percentage difference of at least 15% was also applied. Additional filtering was performed to exclude segments that were statistically significantly different between platforms i.e. to account for differential detection of artefactual segments by exome analysis compared to the targeted sequencing panel. Segments that overlapped with GWAS SNP (EVS). These high confidence variant calling (deletion or copy number gain at breakpoint region) were considered to be inter-chromosomal translocations.

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Fraction of the genome altered was the number of bases affected by copy number change divided by the total size of each chromosome and then averaged across all chromosomes.

**Sanger sequencing.** Key variants identified by massively parallel sequencing were validated by Sanger sequencing. Normal DNA where available was also subjected to Sanger sequencing alongside matched tumor samples. Sanger sequencing primers (Supplementary Table 11) were designed using the Primer 3 tool and target sequences amplified. The BigDye Terminator system (Applied Biosystems) was used for sequencing on a 3730 DNA Analyzer (Applied Biosystems). The sequencer output was viewed using Geneious 8.1.9 software (Biomatters, Auckland, New Zealand).

**Comparison to other tumor types.** Data were downloaded from cBio (April 2018) from provisional TCGA studies of ovarian, pancreatic colorectal, endometrial and gastric studies. Results shown are in part based upon data generated by the TCGA Research Network (http://cancergenome.nih.gov/). Additional pancreatic data were obtained from (53,34). Appendixed exome information was derived from two studies in the literature. 55. Only high-level amplifications and homozygous deletions were considered for copy number changes. All mutations were included for the majority of genes, apart from those where more information is available regarding oncogenicity of specific mutation types such as KRAS (missense only) and CDKN2A (inactivating mutations only). The percentage of each genetic event in each tumor type was calculated and hierarchical clustering was performed in R using heatmap.2 defaults in gplots v 3.0.1 (57).

**RNAseq.** Differential gene expression analysis was performed using DESeq (58) with a false discovery rate threshold of p<0.05. Significantly expressed genes were evaluated using STRING (v10.5) (59), and the heatmap created using normalized gene expression values and heatmap in R with default settings.

**Statistical analyses.** Statistical tests were performed in R (v3.0.3) as indicated in the main text and figure legends and in Supplementary Note 1. A two-tailed p-value of <0.03 was considered as statistically significant except as otherwise indicated.

**Ethics statement.** MacCallum Cancer Centre Human/this study was approved by the Peter MacCallum Cancer Centre Human Research Ethics Committee, ID #14/76 and #01/38 and the Melbourne Health Human Research Ethics Committee #2011.248. Informed consent was obtained for all patients in the study. All relevant ethical regulations have been complied with.

**Reporting Summary.** Further information on research design is available in the Nature Research Reporting Summary linked to this article.

**Data availability.** The exome and RNA sequencing data have been deposited in the European Genome-phenome database under the accession code EGAS00001033545. Other datasets referenced during the study are available from GSE39076 and as Supplementary Data in Ryland et al. (37). All the other data supporting the findings of this study are available within the article and its supplementary information files (Source Data) and from the corresponding author upon reasonable request. A reporting summary for this article is available as a Supplementary Information file.

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