Hole maximum density droplets of an antidot in strong magnetic fields

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We investigate a quantum antidot in the integer quantum Hall regime (the filling factor is two) by using a Hartree-Fock approach and by transforming the electron antidot into a system which confines holes via an electron-hole transformation. We find that its ground state is the maximum density droplet of holes in certain parameter ranges. The competition between electron-electron interactions and the confinement potential governs the properties of the hole droplet such as its spin configuration. The ground-state transitions between the droplets with different spin configurations occur as magnetic field varies. For a bell-shape antidot containing about 300 holes, the features of the transitions are in good agreement with the predictions of a recently proposed capacitive interaction model for antidots as well as recent experimental observations. We show this agreement by obtaining the parameters of the capacitive interaction model from the Hartree-Fock results. An inverse parabolic antidot is also studied. Its ground-state transitions, however, display different magnetic-field dependence from that of a bell-shape antidot. Our study demonstrates that the shape of antidot potential affects its physical properties significantly.

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I. INTRODUCTION

A quantum antidot has been extensively investigated experimentally [1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12] and theoretically [3, 6, 13, 14, 15, 16] last decade. It is a potential hill in two dimensional electron gas (2DEG) systems. In zero magnetic field, it is a simple repulsive potential and acts as a scattering center for electrons. In this sense, it is opposite to a quantum dot which confines electrons. When a strong magnetic field is applied perpendicular to 2DEG, the antidot has its own electronic “edge” structures, which correspond to classical skipping orbits around the antidot resulting from the Lorentz force. These localized antidot structures can be experimentally studied by measuring conductance when they are weakly coupled to extended edge channels propagating along the boundary of 2DEG. In the integer quantum Hall regime, the measured conductance exhibits interesting Aharonov-Bohm oscillations [2, 4, 8, 9, 12], which can not be understood within a single-particle picture. For examples, the oscillations are accompanied by the charging effect [2, 4, 8], nontrivial \( h/(2e) \) Aharonov-Bohm oscillations [9, 12], and/or Kondo-like signatures [12]. These observations indicate that electron-electron interactions can play an important role in the antidot system.

However, there have been few theoretical works on the interaction effects in the antidot system. Very recently, a phenomenological capacitive interaction model has been proposed [15] to explain the experimental results. This model is based on the capacitive couplings between localized excess charges, which are formed around the antidot due to magnetic flux quantization. The capacitive interaction of the excess charges results in Coulomb blockade and tunnelings are allowed only under certain conditions. The main result of the above mentioned work was that the usual resonant tunnelings are accompanied by Kondo resonances, hence leading to nontrivial Aharonov-Bohm oscillations. These predictions are in qualitatively good agreement with the experimental observations [9, 12]. Based on a Hartree-Fock approach and a particle-hole transformation, it was also suggested [15, 16] that holes inside an antidot can form a maximum density droplet (MDD) [17, 18, 19, 20] in the ground state within some parameter ranges and that the transitions between MDDs may lead to Kondo effects, supporting the capacitive interaction model. However, the tested antidot was so small (it has about 50 holes) that...
the transitions did not occur periodically with varying magnetic field, in contrast to the experimental data.

In this paper, we develop a microscopic Hartree-Fock approach and apply it to a large-size antidot containing about 300 holes. Our approach is based on an electron-hole transformation, where an antidot potential of electrons is transformed to a confinement potential of holes. As in the experiment [9, 12], we consider the antidot states formed by electrons with spin up and down in the lowest Landau level (i.e., the local filling factor is two around the antidot as in Fig. 1). We test two kinds of antidot potentials: bell-shape and inverse parabolic.

For both potentials, the antidot ground states are found to be MDDs of holes in certain parameter ranges (see Fig. 2). For a given magnetic field, the spin configuration of MDD ground states (the size and the spatial splitting between spin-up and -down edges of the droplet) is determined by the competition [17] between electron-electron interactions and the confinement potential of holes: droplets with larger size are favored by weaker confinement and stronger electron-electron interactions. As magnetic field varies, the relative magnitude of these two competing factors changes so that the transitions of MDD ground states can take place. In general, there can be three types of transition: spin-up, spin-down, and spin-flip transitions, which manifest themselves via spin-up electron normal resonance, spin-down electron normal resonance, and Kondo resonance, respectively.

For a bell-shape antidot potential, we find spin-down (Fig. 2) and spin-flip transitions (Fig. 3) in some ranges of magnetic field, while we do not find spin-up transitions. In the spin-flip transitions, the number of spin-down (spin-up) holes decreases (increases) by one as magnetic field becomes stronger. A series of these transitions is obtained as a function of magnetic field in Fig. 1. The features of the transitions are in agreement with the predictions of the capacitive interaction model [15], and thus one can explain the experimental observation [12] from them. From the Hartree-Fock result of the transitions, we obtain the parameters of the capacitive interaction model and study the variation of excess charges as a function of magnetic field.

For an inverse parabolic antidot potential, we find all the three types of transitions in some parameter range. The properties of the spin-flip transition are different from those of the bell-shape potential; in this case, the number of spin-down (spin-up) holes increases (decreases) by one as magnetic field increases. Moreover, the spin-flip transitions are found to appear more frequently than the spin-down and spin-up transitions. As a result, the spatial splitting between spin-up and -down edges of MDDs becomes larger for stronger magnetic fields. This behavior differs from the experimental situation of Kataoka et al., where the splitting is expected to be a periodic function of magnetic field. It would be interesting to investigate inverse parabolic antidots experimentally and compare the obtained results with our theoretical predictions.

![Fig. 2: Schematic diagram of particle densities, as a function of distance from antidot center, in a hole MDD where \( N^\uparrow \) spin-up holes occupy single-particle states with angular momentum \( m = 0, 1, \cdots, N^\uparrow - 1 \) and \( N^\downarrow \) spin-down holes with \( m = 0, 1, \cdots, N^\downarrow - 1 \). Single-particle hole states with spin \( \sigma \) are empty for \( m > N^\sigma - 1 \). Note that a single-particle state with smaller \( m \) is located at smaller distance from the center. The changes in electron and hole densities around the antidot are also shown when a spin-down MDD transition \( |N^\uparrow, N^\downarrow\rangle \rightarrow |N^\uparrow, N^\downarrow + 1\rangle \) occurs. In this process, a spin-down electron tunnels out of the antidot (indicated as thin arrows) and thus the total hole spin decreases.]

![Fig. 3: Same diagram as in Fig. 2 but for the spin-flip transition \( |N^\uparrow, N^\downarrow\rangle \rightarrow |N^\uparrow + 1, N^\downarrow - 1\rangle \). In this process, a cotunneling event (see thin arrows) takes place, where a spin-up electron moves out of the antidot while a spin-down electron moves in, and thus the total electron (hole) spin decreases (increases).]
This paper is organized as follows. In Sec. II our model Hamiltonian for the antidot is given. This Hamiltonian is changed into a hole Hamiltonian via an electron-hole transformation in Sec. III. Within a Hartree-Fock approach, we study the stability of MDDs in Sec. IV and the MDDs of a bell-shape antidot in Sec. V. In Sec. VI the properties of the MDDs of the bell-shape antidot are shown to be in good agreement with the prediction of the capacitive interaction model. In Sec. VII we investigate the MDDs of an inverse parabolic antidot. Concluding remarks are given in Sec. VIII.

II. MODEL HAMILTONIAN OF ANTIDOT

We consider a 2DEG around an antidot in the presence of a strong perpendicular magnetic field $B$ along the $z$-axis. Following experiments \[9, 12\], the local filling factor around the antidot is chosen to be two. Thus, the antidot states can be assumed to be formed different from electron Fermi energy. Diamonds represent the spin-flip transition $|N_1, N_2⟩ \rightarrow |N_1 + 1, N_2 - 1⟩$ as $B$ increases, while vertical jumps show the spin-down transition $|N_1, N_2⟩ \rightarrow |N_1, N_2 + 1⟩$. Following the zigzag solid line, the MDD ground state evolves as $|N_1, N_2⟩ \rightarrow |N_1, N_2⟩ \rightarrow |N_1, N_2 + 1⟩$, where $N_1$ increases, while vertical jumps show the spin-down transition $|N_1, N_2⟩ \rightarrow |N_1, N_2 + 1⟩$. Here $⇒$ and $⇒$ indicate, respectively, the spin-down and spin-flip transitions. The parameters of this antidot can be found in Sec. VB.

The following Coulomb matrix elements \[21\] are used:

$$A_{mn}^p = \sum_{i=0}^{m} \binom{m}{i} \frac{\Gamma\left(\frac{1}{2} + p + n + i\right)}{\Gamma\left(\frac{1}{2} + n + i\right)} \left(\frac{1}{2} + p + 2i\right),$$

$$B_{mn}^p = \sum_{i=0}^{m} \binom{m}{i} \frac{\Gamma\left(\frac{1}{2} + p + n + i\right)}{\Gamma\left(\frac{1}{2} + n + i\right)} \left(\frac{1}{2} + p + 2i\right),$$

$$C_{mn}^p = \sqrt{\frac{(m+p)!}{m!n!}} \frac{\Gamma(p + m + n + \frac{1}{2})}{\pi^{2n+m+2}}.$$

This expression allows us to perform Hartree-Fock calculations even when the total number of holes inside antidot is quite large (more than 300).

We consider two types of antidot potential in this paper. The first type is an inverse parabolic potential

$$W(r) = \begin{cases} \hbar^2 \omega_c - \frac{1}{2} m^* \Omega^2 r^2, & r < r_s \text{ constant,} \\ \frac{\hbar^2 \omega_c}{r^2}, & r > r_s \text{ constant,} \end{cases}$$

(2)

Beyond $r > r_s$ the potential is flat as a function of $r$. The second type is a bell-shape potential

$$W(r) = \begin{cases} \frac{\hbar^2 \omega_c}{r^2}, & r < r_t \text{ constant,} \\ \frac{\hbar^2 \omega_c}{r^2} - \frac{1}{2} m^* \Omega^2 r^2, & r < r_t \text{ constant,} \end{cases}$$

(3)

Here $\omega_c = |e| B/(mc)$, electron charge $e < 0$, and $m^* = 0.067 m_c$ for GaAs. In the interval $r < r_t$ the potential is inverse parabolic, while in the next interval $r_t < r < r_s$ the curvature changes sign. The matrix elements $W(m) = \langle m | W(r) | m \rangle$ can be approximately written as follows when $r_1 \gg \ell$ and $r_s - r_1 \gg \ell$:

$$W(m) = \begin{cases} \frac{1}{2} \hbar \omega_c - m^* \Omega^2 \ell^2 (m + 1), & m < m_s \text{ constant,} \\ \frac{1}{2} \hbar \omega_c - m^* \Omega^2 \ell^2, & m > m_s \end{cases}$$

(4)
for the inverse parabolic potential, while

\[ W(m) = \begin{cases} \frac{1}{2} \hbar \omega_c - m^* \Omega^2 \ell^2 (m + 1), & m < m_t \\ \frac{\kappa}{2} \epsilon_m, & m_t < m < m_s \\ \text{constant}, & m > m_s \end{cases} \]

for the bell-shape potential. Here \( m_i = r_i^2/(2\ell^2) - 1 \), where \( i = s, t \). In order to make sure that the bell-shape potential is continuous at \( m = m_t \), we set \( W_0 = \hbar \omega_c/2 - m^* \Omega^2 \ell^2 (m_t + 1) - C/(2\ell^2 m_t) \). Note that \( W(m) \) is monotonously decreasing with \( m \).

We remark that the values of \( r_1 \) and \( r_2 \) are fixed by the shape of \( W(r) \), while \( m_t \) and \( m_s \) are magnetic-field dependent. But we can use constant \( m_t \) and \( m_s \) since, for the narrow range of magnetic field \((\delta B \approx 0.02 \text{T})\) of interest in this work, the change \( \delta m_i \approx m_i \delta B/(2B) \) is of the order of one, much smaller than \( m_t \) and \( m_s \). In our bell-shape antidot, both positions of spin-up and -down edges of MDDs are in the interval of \( r_1 < r < r_2 \), so that they feel \( 1/r^2 \) potential. Note that \( m_s \) is different from \( m_c \), which is the cutoff for the single particle states. In our numerical work we choose \( m_c = 400 \) and \( m_s = 300 \). The typical value of \( m_t \) is between 100 and 200.

### III. ELECTRON-HOLE TRANSFORMATION

An electron antidot system is an open geometry problem and often requires heavy calculations to compute its physical properties. Such a difficulty can be avoided by transforming an electron antidot system to a system which confines holes since the transformed system contains only a finite number of holes. Such transformation is described in this section.

We consider a particle-hole transformation \([16, 22]\) of the type \( c_{m,\sigma} \rightarrow h_{m,\sigma} \) and \( c_{m,\sigma} \rightarrow h_{m,\sigma} \). The term representing the interaction with the positive background in Eq. (1) transforms into

\[-\sum_{m} \sum_{\sigma} \sum_{\sigma'} V_{m}^{\text{ion}} c_{m,\sigma} c_{m,\sigma'} = \sum_{m} \sum_{\sigma} V_{m}^{H} h_{m,\sigma} h_{m,\sigma'} - 4 \sum_{m} V_{m}^{H},\]

where \( V_{m}^{H} = \sum_{m'} \langle mm'|V|mm'\rangle \). The total Hamiltonian in Eq. (1) can be rewritten as

\[ H = \sum_{m} \sum_{\sigma} \langle mm'|V|mm'\rangle h_{m,\sigma}^\dagger h_{m,\sigma} + \sum_{m} \sum_{\sigma} \langle mm'|V|mm'\rangle h_{m,\sigma}^\dagger h_{m,\sigma} + \frac{1}{2} \sum_{m} \sum_{\sigma} \sum_{\sigma'} \langle mm'|V|mm'\rangle \]

\[ \times \langle mm'|V|mm'\rangle h_{m,\sigma}^\dagger h_{m,\sigma} + \frac{1}{2} \sum_{m} \sum_{\sigma} \sum_{\sigma'} \langle mm'|V|mm'\rangle h_{m,\sigma}^\dagger h_{m,\sigma} + \frac{1}{2} \sum_{m} \sum_{\sigma} \sum_{\sigma'} \langle mm'|V|mm'\rangle h_{m,\sigma}^\dagger h_{m,\sigma} \]

where \( V_{m}^{X} = \sum_{m'} \langle mm'|V|mm'\rangle \). The effective single hole energy is

\[ \varepsilon_m = -W(m) + V_{m}^{X}. \]

The first term of Eq. (4) is the confinement energy coming from \( W(m) \) after the transformation, while the second term represents the change in exchange energy when an electron with angular momentum \( m \) disappears. A Hartree term is absent in \( \varepsilon_m \), since it is canceled by the interaction between a hole with the quantum number \( m \) and the positive background charge. So in this transformed Hamiltonian of hole systems the background charge term is absent. Note that the Zeeman term in Eq. (1) has the opposite sign to the corresponding term in Eq. (1).

There is a simple check of this result. If there are zero holes, according to Eq. (4), the total energy is \( E_T = 2 \sum_{m} W(m) - 2 \sum_{m} V_{m}^{H} - \sum_{m} V_{m}^{X} \). This energy should be equal to the total energy of an electron antidot system in which electrons are occupied from 0 to \( m_c \); in this case the Hartree-Fock theory exactly gives the total energy, which has four contributions of the confinement energy \( 2 \sum_{m} W(m) \), interaction energy with the positive background \( -4 \sum_{m} V_{m}^{H} \), Hartree \( (2 \sum_{m} V_{m}^{H}) \), and exchange energies \( -\sum_{m} V_{m}^{X} \). The constant terms \( (E_T) \) in the hamiltonian of Eq. (1) will be ignored hereafter.

When the cutoff value \( m_c \) is larger than the total number of holes, it is a good approximation to treat \( V_{m}^{X} \) in Eq. (4) as a constant \([18]\). Then, \( \varepsilon_m \) is a monotonously increasing function of \( m \). For the bell-shape potential, for example, \( d\varepsilon_m/dm \approx -(dW(m)/dm) = C/(2m^2\ell^2) > 0 \) in the interval \( m_t < m < m_s \) [see Eq. (4)].

Note that the magnetic field dependence of the hole confinement potential is

\[ \varepsilon_m = -W(m) + \frac{1}{B}, \text{ for parabolic} \]

\[ \varepsilon_m = -W(m) + \frac{1}{B}, \text{ for bell-shape (}1/r^2\text{ region)}. \]

On the other hand, the interaction energy scale \( e^2/(\ell \epsilon) \) is proportional to \( \sqrt{B} \). Therefore, the hole confinement potential relative to the interaction energy, \( -W(m)/[e^2/(\ell \epsilon)] \), decreases with increasing \( B \) for an inverse parabolic antidot, while it increases in the \( 1/r^2 \) potential region of a bell-shape antidot.

### IV. HOLE MAXIMUM DENSITY DROPLETS

In electron quantum dots, MDDs are exact ground states when a strong magnetic field is applied and the confinement potential is strong enough. The reason is as follows: A MDD is an eigenstate of \( L_z \), the \( z \)-component of the total angular momentum. In fact, it has the smallest possible eigenvalue of \( L_z \) for a given number of electrons and there are no other states in the Hilbert space with the same eigenvalue. If one chooses a rotationally symmetric potential, a MDD is also an eigenstate of the angular momentum.
of the Hamiltonian. Since the mean radius of the single electron wave packet increases with the z-component of the angular momentum, the confinement potential favors small values of $L_z$. Therefore, a MDD is certainly the exact ground state if the confinement potential is infinitely strong. It must also remain so in a certain parameter range as long as the potential is strong enough. The properties of a MDD were investigated by exact diagonalization for a small dot \(^{17}\). For a dot with about 50 electrons, the properties of spin-polarized MDDs and their instability were also studied \(^{18, 19}\) using Hartree-Fock approach and exact diagonalization. Experimental investigation of electron MDDs were reported by several groups \(^{20}\).

Our antidot problem becomes similar to the quantum dot case after the particle-hole transformation described in the last section. Like electron MDDs, hole MDDs have a single-Slater-determinant form,

$$|N_\uparrow, N_\downarrow\rangle = h_{N_{\uparrow}-1, \downarrow}^\dagger \cdots h_{0, \uparrow}^\dagger h_{N_{\downarrow}-1, \downarrow}^\dagger \cdots h_{0, \downarrow}^\dagger |0\rangle. \quad (9)$$

The total number of holes is $N = N_\uparrow + N_\downarrow$, and $N_\downarrow$ is equal to or larger than $N_\uparrow$ due to the Zeeman energy.

The hole MDD is excellently described by the Hartree-Fock approach. Its total Hartree-Fock energy is

$$E_{HF}(N_\uparrow, N_\downarrow) = E_H + E_X + E_Z + E_C, \quad (10)$$

where $E_H$, $E_X$, and $E_Z$ are the Hartree, exchange, and

Zeeman energies, respectively. Here,

$$E_H = \frac{1}{2} \sum_{m} \sum_{m'} V^H_{mm'} + \frac{1}{2} \sum_{m} \sum_{m'} V^H_{mm'},$$

$$E_X = -\frac{1}{2} \sum_{m} \sum_{m'} V^X_{mm'} - \frac{1}{2} \sum_{m} \sum_{m'} V^X_{mm'},$$

$$E_Z = \frac{1}{2} g \mu B (N_\downarrow - N_\uparrow),$$

$$E_C = \sum_{m} \left( -W(m) + V^X_{m} \right) + \sum_{m} \left( -W(m) + V^X_{m} \right) .$$

In the above expressions, we have used the definitions $V^H_{mm'} = \langle mm'|V|mm'\rangle$ and $V^X_{mm'} = \langle mm'|V|m'm\rangle$.

From Eq. (10), one can define the renormalized single hole energy, which includes the Hartree and exchange self-energy corrections

$$\varepsilon^{HF}_{m\sigma} = -W(m) + V^X_{m} + \sum_{m'} V^{H}_{mm'},$$

$$+ \sum_{m'} V^{H}_{mm'} - \sum_{m'} V^{X}_{mm'} + g \mu B s_{\sigma}, \quad (11)$$

where $s_\uparrow = 1/2$ and $s_\downarrow = -1/2$. This renormalized single hole energy is useful for studying the stability of MDD states. A MDD state will be a stable ground state if the occupied single hole states satisfy $\varepsilon^{HF}_{m\sigma} = \varepsilon^{HF}_{(N_\downarrow-1)\sigma}$.
for all \( m \leq N_\sigma - 1 \) and for both spins. For a given \( N \), this condition is satisfied, i.e., MDD states are stable, below some critical magnetic fields. A phase boundary between stable and unstable states is displayed in Fig. 6. In calculating the phase boundary for a given value of \( N \), we determine the ground configuration \( |N_\uparrow, N_\downarrow\rangle \) and \( E_{HF} \) with varying \( B \), and determine the critical value of \( B \), where the above mentioned stability condition is violated. The examples of stable and unstable MDDs are plotted in Figs. 6 and 7 respectively.

The physics determining the spin configuration \( (N_\uparrow, N_\downarrow) \) of a MDD ground state is as follows: There is a competition \[17\] between the Coulomb energy \( (E_H + E_X) \) and the confinement energy \( (E_C) \). If the confinement energy is strong, the total energy is minimized by making the confinement energy small, i.e., by making the droplet size small. As a result, for a given \( N \), the configuration with smaller \( N_\downarrow - N_\uparrow \) is favored by stronger confinement, since the droplet size is determined by \( N_\downarrow \). On the other hand, if the confinement energy is weak, the total energy can be minimized by making the Coulomb energy smaller, i.e., by making the droplet size larger. Thus the degree of spatial splitting between spin-up and -down edges (or \( N_\downarrow - N_\uparrow \)) of MDDs depends on the relative strength of the confinement energy and electron-electron interaction.

![Graph](image)

**FIG. 7:** Same curves as in Fig. 6 but at \( B = 2.205 \text{T} \). This MDD is unstable since \( E_{HF} \) for \( m \approx 125 \). Note that \( N_\uparrow = 153 \) and \( N_\downarrow = 202 \).

### V. HARTREE-FOCK RESULTS OF BELL-SHAPE ANTIDOTS

In this section, we study the bell-shape antidot with the potential of Eq. \[3\]. Before discussing the Hartree-Fock result of its hole ground states, it is instructive to consider possible transitions of the hole ground states. These transitions can occur since the competition between the Coulomb energy and the confinement energy of MDDs varies with magnetic field. They correspond to resonant tunneling processes \[15\] of the antidot when the antidot states are weakly coupled to extended edge channels (see Fig. 1).

#### A. possible ground state transitions

In general, there exist three kinds of transitions between MDD ground states, which are spin-up, spin-down, and spin-flip transitions. Within the Hartree-Fock approximation, the spin-up transition of \( |N_\uparrow, N_\downarrow\rangle \rightarrow |N_\uparrow \pm 1, N_\downarrow\rangle \) occurs at the magnetic fields where the degeneracy

\[
E_{HF}(N_\uparrow, N_\downarrow) = E_{HF}(N_\uparrow \pm 1, N_\downarrow)
\]

is satisfied. The plus and minus signs refer to tunneling in and out of a hole, respectively. Similarly, the spin-down transition occurs when

\[
E_{HF}(N_\uparrow, N_\downarrow) = E_{HF}(N_\uparrow, N_\downarrow \pm 1).
\]

In electron language, the spin-up (-down) transitions correspond to normal resonant tunnelds of spin-up (-down) electrons \[15\]. The normal spin-down resonant tunneling, for example, is illustrated in Fig. 2. With increasing magnetic field, the direction of these transitions is either \( |N_\uparrow, N_\downarrow\rangle \rightarrow |N_\uparrow + 1, N_\downarrow\rangle \) or \( |N_\uparrow, N_\downarrow\rangle \rightarrow |N_\uparrow, N_\downarrow + 1\rangle \) so that the total number \( N = N_\uparrow + N_\downarrow \) of holes becomes larger. This increase of the total hole number can be understood from the fact that the effective hole potential \(-W(m)\) decreases with magnetic field while the Fermi energy does not change. On the other hand, the spin-flip transitions occur at the magnetic field where the degeneracy

\[
E_{HF}(N_\uparrow, N_\downarrow) = E_{HF}(N_\uparrow \pm 1, N_\downarrow \mp 1)
\]

is satisfied. These processes can cause the Kondo resonance \[22, 23\] in the antidot system when both the spin-up and -down parts of MDDs are weakly coupled to the corresponding extended edge channels; this resonance is accompanied by the cotunneling processes where an electron (hole) tunnels into antidot and another electron (hole) with the opposite spin tunnels out via a virtual state \( |N_\uparrow \pm 1, N_\downarrow\rangle \) or \( |N_\uparrow, N_\downarrow \mp 1\rangle \).

We note that as magnetic field increases, the spin-flip transitions occur as either \( |N_\uparrow, N_\downarrow\rangle \rightarrow |N_\uparrow + 1, N_\downarrow - 1\rangle \) (see Fig. 3) or \( |N_\uparrow, N_\downarrow\rangle \rightarrow |N_\uparrow - 1, N_\downarrow + 1\rangle \), depending on the detailed shape of antidot potential such as its curvature near MDD edges. For the bell-shape antidot (see Sec. VB), we find the former direction of transition, while the latter for the inverse parabolic antidot (Sec. VII).

#### B. Hartree-Fock results

In this subsection, we perform Hartree-Fock calculations for the bell-shape antidot with more than 300 holes and study the transition of MDD ground states. We find...
Similarly, in the fourth zigzag solid line, $N_\uparrow$ evolves as $|127, 231\rangle \rightarrow |127, 232\rangle \rightarrow |128, 231\rangle \rightarrow |128, 232\rangle \rightarrow |128, 233\rangle \rightarrow |129, 232\rangle \rightarrow |129, 233\rangle \rightarrow |129, 234\rangle \rightarrow |130, 233\rangle$. Note that both of $N_\uparrow$ and $N_\downarrow$ increase with $B$.

From the Hamiltonian of Eq. (10), we find the energy of MDD ground state $|N_\uparrow, N_\downarrow\rangle$ with varying magnetic field $B$ for a given total number of holes $N = N_\uparrow + N_\downarrow$. In Fig. 8, the chemical potential $\mu_N = E_{N+1} - E_N$, which is the energy difference of ground states, is plotted as a function of $B$. In this calculation, we use $\hbar \Omega = 1.5$ meV, $C/(2e^2) = 2100 / (\text{Tesla}) \times e^2/(e \ell)$, $N \in [350, 370]$, and $m_t = 118$. The Fermi energies of holes are shown as the horizontal dotted lines in Fig. 8. For the selected parameters and magnetic field ranges, the bell-shape antidot is found to have the properties that (i) MDD ground states satisfy $N_\downarrow > N_\uparrow > m_t$, (ii) only the spin-down and spin-flip transitions appear, and (iii) both the transitions are periodic with $B$ (the periods of spin-down and spin-flip transitions are $\Delta B_\downarrow = 0.0113$ T and $\Delta B_\uparrow = 0.0288$ T, respectively); there are no spin-up transition. Figure 7 displays the chemical potential for a stronger confinement potential, whose parameters are $\hbar \Omega = 1.9$ meV, $C/(2e^2) = 3600 / (\text{Tesla}) \times e^2/(e \ell)$, $N \in [368, 384]$, and $m_t = 111$. The differences between $N_\uparrow$ and $N_\downarrow$ are smaller than those in Fig. 8.

In Fig. 8 we calculate the spin configuration $(N_\uparrow, N_\downarrow)$ of MDD ground states and the energy difference between the ground and first excited states as a function of $B$. The topmost Fermi level of holes in Fig. 8 is chosen for these calculations. In this figure, the system starts in $|N_\uparrow, N_\downarrow\rangle = |128, 233\rangle$ and changes into $|128, 234\rangle$ at about 0.01 T larger magnetic field. This spin-down transition $|N_\uparrow, N_\downarrow\rangle \rightarrow |N_\uparrow, N_\downarrow + 1\rangle$ corresponds to the chemical potential jumps in Fig. 8 occurring whenever each chemical potential line intersects the Fermi level (see the changes in the occupation numbers of spin-up and -down holes, respectively).

FIG. 8: Same curves as in Fig. 1 but for a weaker bell-shape potential. Twenty one different values of $N \in [350, 370]$ are used. Following the topmost zigzag solid line, for example, the MDD ground state evolves as $|N_\uparrow, N_\downarrow\rangle = |128, 233\rangle \rightarrow |128, 234\rangle \rightarrow |129, 234\rangle \rightarrow |129, 235\rangle \rightarrow |130, 234\rangle \rightarrow |131, 236\rangle \rightarrow |132, 236\rangle$ with increasing $B$. Similarly, in the fourth zigzag solid line, $|N_\uparrow, N_\downarrow\rangle$ evolves as $|127, 231\rangle \rightarrow |127, 232\rangle \rightarrow |128, 231\rangle \rightarrow |128, 232\rangle \rightarrow |128, 233\rangle \rightarrow |129, 232\rangle \rightarrow |129, 233\rangle \rightarrow |129, 234\rangle \rightarrow |130, 233\rangle$. Note that both of $N_\uparrow$ and $N_\downarrow$ increase with $B$.
to that of the spin-down part, and thus, the Kondo resonance cannot occur at the spin-flip transition points. Instead, these parameters may represent a good model of \((h/2e)\) Aharonov-Bohm oscillations without Kondo resonances measured in Ref. 13. To see the Kondo resonance, one should have the conditions [13] that (i) there exists the spin-flip transitions and (ii) both the spin-up and spin-down electrons are weakly coupled to extended edge channels; the condition (ii) is equivalent to \(R_\uparrow - R_\downarrow \lesssim \ell\) in MDD states, where \(R_\uparrow \sim \sqrt{2N_\uparrow \ell}\) and \(R_\downarrow \sim \sqrt{2N_\downarrow \ell}\) are the radii of spin-up and -down droplets, respectively. It is possible to make \(R_\uparrow - R_\downarrow\) smaller by making the confinement potential stronger. Then, the corresponding MDD would be closer to the actual electronic state measured in Ref. 12. Figure 4 displays such a case with smaller \(R_\uparrow - R_\downarrow\).

As will be shown in the next section VI, the spin-down and spin-flip transitions found in the bell-shape antidots are in good agreement with the predictions of the capacitive interaction model [12], and thus they can explain qualitatively the experimental conductance data [12] of Aharonov-Bohm oscillations with Kondo-like signatures. However, for realistic quantitative comparison, the coupling to higher Landau levels by electron-electron interactions may have to be included.

VI. CAPACITIVE INTERACTION MODEL OF BELL-SHAPE ANTIDOTS

Both the spin-down and spin-flip transitions shown in Figs. 4 and 5 are almost periodic with \(B\), indicating that the bell-shape antidot with more than 300 holes may be large enough to be described by the phenomenological capacitive interaction model for antidot [12]. Our Hartree-Fock results are indeed consistent with the model. Below this is demonstrated by determining the parameters of the model from the Hartree-Fock results of the antidot studied in Fig. 5. For the antidot in Fig. 4 one can get the parameters in the same way.

A. Excess charges

The capacitive interaction model was developed for the case that the local filling factor is two around antidot. In this model, the excess charges [13] can be defined as

\[
\delta q_\sigma(N_\sigma, B) = e(N_\sigma - \tilde{N}_\sigma(B))
\]

(15)

in terms of the number of holes \(N_\sigma\) and \(\sigma = \uparrow, \downarrow\). The function \(\tilde{N}_\sigma(B)\) has the meaning of “the optimal number” of spin-\(\sigma\) holes that minimizes the total energy in the absence of holes with the opposite spin. Note that \(\tilde{N}_\sigma(B)\) is real-valued while the actual number of holes is an integer, which prohibits continuous change of \(N_\sigma\) and leads to Coulomb blockade. Of course, the detailed form of \(\tilde{N}_\sigma(B)\) depends on the shape of the antidot potential near its edge. For a sufficiently small range of \(B\), one can use an approximately linear form

\[
e\tilde{N}_\sigma(B) = e(a_\sigma B + b_\sigma).
\]

(16)

Here, \(a_\sigma e\) is the rate of excess charge accumulation with increasing \(B\) and \(b_\sigma e\) originates from the positive background charge. Both \(a_\sigma\) and \(b_\sigma\) are taken as constants. This is a good approximation for large-size antidots in strong magnetic fields. Then, one has

\[
\frac{\delta q_\sigma(N_\sigma, B)}{e} = N_\sigma - a_\sigma B - b_\sigma.
\]

(17)

By assuming that the excess charges interact capacitively, one can write the total energy of an isolate antidot as

\[
E_{CI}(\delta q_\uparrow, \delta q_\downarrow) = \frac{1}{2} \sum_{\sigma, \sigma'} \delta q_\sigma(C^{-1})_{\sigma, \sigma'} \delta q_{\sigma'}
\]

(18)

where \(C\) is a capacitive matrix, \(\alpha = |C_{\uparrow\uparrow}|/|C_{\uparrow\downarrow}|, C_{out} = C_{\uparrow\downarrow} - \alpha|C_{\uparrow\uparrow}|\), and \(C_{in} = C_{\uparrow\uparrow}\). These elements of capacitive matrix can be taken as constants for large-size antidot with \(\Delta B_{AB} \ll B\), where \(C_{\sigma, \sigma'}\) can vary very slowly over several Aharonov-Bohm periods \(\Delta B_{AB}\). Thus, for large-size antidots in strong magnetic fields, the capacitive interaction model defined by Eqs. 17, 18 is a good approximation. The model is determined by the constant parameters \(\alpha, C_{in}, C_{out}, a_\sigma\), and \(b_\sigma\), and is analogous to the constant interaction model of quantum dots [25].

B. Transition conditions

The conditions of transitions between MDD ground states can be rewritten by using \(E_{CI}\). For example, the condition [13] for the spin-down transition of \(|N_\uparrow, N_\downarrow\rangle \rightarrow |N_\downarrow, N_\uparrow \pm 1\rangle\) becomes \(E_{CI}(\delta q_\uparrow, \delta q_\downarrow \pm e) = E_{CI}(\delta q_\uparrow, \delta q_\downarrow),\) which is equivalent to

\[
\frac{\delta q_\uparrow(N_\uparrow, B)}{e} \pm \frac{1}{2} + \frac{\delta q_\downarrow(N_\downarrow, B)}{e} = 0.
\]

(19)

It is useful to note that \(N_\downarrow\) increases (decreases) with increasing (decreasing) \(B\) in the spin-down transitions, as discussed in Sec. VA. This observation makes it easy to choose signs in Eq. 19.

The spin-flip transition in Eq. 13 can be written as

\[
E_{CI}(\delta q_\uparrow \pm e, \delta q_\downarrow) = E_{CI}(\delta q_\uparrow, \delta q_\downarrow).\)

When the transition \(|N_\uparrow, N_\downarrow\rangle \rightarrow |N_\uparrow \pm 1, N_\downarrow \mp 1\rangle\) appears at \(B\), one can rewrite this condition as

\[
\frac{\alpha - 1}{C_{out}} \left(\delta q_\uparrow(N_\uparrow \pm \frac{1}{2}, B) + \alpha \delta q_\downarrow(N_\downarrow \pm \frac{1}{2}, B)\right) + \frac{1}{C_{in}} \delta q_\downarrow(N_\downarrow \pm \frac{1}{2}, B) = 0.
\]

(20)
In the spin flip transition, \( N_\uparrow \) decreases (increases) with increasing (decreasing) \( B \). Again this observation makes it easy to choose signs in Eq. (20). When \( \alpha = 1 \), the spin-flip condition of Eq. (20) is reduced to a simple form

\[
\frac{\delta q_\uparrow}{e} = \pm \frac{1}{2}
\]  

(21)

C. Evolution of excess charges

As \( B \) varies, excess charges are accumulated following Eq. (17). The pair of excess charges \((\delta q_\uparrow/e, \delta q_\downarrow/e)\) thus evolves with satisfying

\[
\frac{\delta q_\downarrow}{e} = \frac{\delta q_\uparrow a_\uparrow}{e a_\uparrow} + \beta,
\]

where \( \beta = N_\uparrow + (b_\uparrow - N_\downarrow)(a_\downarrow/a_\uparrow) - b_\downarrow \). This condition describes a line in the space of \((\delta q_\uparrow/e, \delta q_\downarrow/e)\). If the magnetic field \( B \) is tuned such that one of the spin-up, spin-down, and spin-flip transition conditions in Eqs. (12), (13), (14) is satisfied, \((N_\uparrow, N_\downarrow)\) and \((N'_\uparrow, N'_\downarrow)\) become degenerate. At this degenerate point the excess charges \( \delta q_\uparrow(N_\uparrow, B) \) jump to their transition values \( \delta q_\uparrow(N'_\uparrow, B) \). As a result, the evolution of the excess-charge pair \((\delta q_\uparrow/e, \delta q_\downarrow/e)\) is restricted within a hexagonal cell whose boundaries are determined by the six transition conditions in Eqs. (12), (13). Note that the transition conditions can be easily rewritten in terms of \( \delta q_\sigma \) as in Eqs. (18). The evolution trajectory of the excess-charge pair in the cell can be simply drawn when the value of the pair at starting \( B \) is known: The trajectory evolves along a line parallel to \( \delta q_\downarrow = (a_\downarrow/a_\uparrow)\delta q_\uparrow \) and jumps to the corresponding opposite boundary when it collides with a cell boundary.

D. Parameters of capacitive interaction model

One can obtain the parameters such as \( \alpha, a_\sigma, \) and \( b_\sigma \) of the capacitive interaction model from the Hartree-Fock results of the \( B \)-dependence of MDD ground states \( |N_\uparrow, N_\downarrow\rangle \). Below, we show such a procedure for a ground-state transition on the chemical potential line which has the value \( \mu = 273.33 \) meV in Fig. 8.

For this case, one can find the evolution sequence of \(|N_\uparrow, N_\downarrow\rangle\) as a function of \( B \) in Fig. 8 (see the fourth zigzag line from the top one as well as the caption). From the periodic spin-down and spin-flip transitions one can first determine \( \alpha \) and \( a_\sigma \). Applying Eq. (18) to three consecutive spin-down transitions in \( B \in (1.205, 1.245) \) T, we get \( \alpha = 1 \) and \( a_\uparrow + a_\downarrow = 88.89 \). Similarly, applying Eq. (21) to two consecutive spin-flip transitions at \( B = 1.2125 \) T and 1.24125 T, we find \( a_\uparrow = 34.78 \) and \( a_\downarrow = 54.11 \). For \( \alpha = 1 \), it is noteworthy that the spin-flip condition in Eq. (20) can be simply reduced to Eq. (21), and that the evolution trajectory of \((\delta q_\uparrow, \delta q_\downarrow)\) is restricted in a parallelogram, instead of a hexagonal cell, since no spin-up transition appears.

The other parameters \( b_\sigma \)'s can be uniquely determined from the assumption that the evolution speed (the accumulation speed of excess charges) is constant with varying \( B \) and from the facts that (i) the trajectory moves within the cell and (ii) at the spin-flip transitions (e.g., at \( B = 1.2125 \) T in this case) the value of \( \delta q_\uparrow \) is fixed by Eq. (21) when \( \alpha = 1 \). Combining these with Eq. (17) we obtain \( b_\uparrow = 85.33 \) and \( b_\downarrow = 165.79 \). Note that one can not determine \( C_{out} \) and \( C_{in} \) from the data in Fig. 8 when \( \alpha = 1 \).

E. Evolution trajectory of excess charge

We draw the evolution trajectory \((\delta q_\uparrow, \delta q_\downarrow)\) by using Eq. (22) and the parameters of \( \alpha, a_\sigma, \) and \( b_\sigma \) obtained in the last subsection VID. The evolution trajectory describes well the features of the ground state transitions in the range \( B \in (1.205, 1.245) \) T, such as the sequence of transitions and the differences of magnetic fields between adjacent transitions. In the range of \( B \gtrsim 1.25 \)
T, the trajectory becomes to deviate from the Hartree-Fock results in Fig. 8 indicating that the parameters are not constant but slowly vary with $B$. This finding demonstrates that the capacitive interaction model can describe the behavior of the bell-shape antidot very well.

Some predictions of the capacitive interaction model are useful in understanding of microscopic numerical results. It predicts that $\alpha = 1$ when the interaction between $\delta q_T$ and $\delta q_L$ is maximal, indicating that the spin-up and spin-down excess charges of MDD states formed in the bell-shape antidot are strongly coupled. It also predicts that when $\alpha = 1$, no spin-up transition appears due to Coulomb blockade while periodic spin-down and spin-flip transitions with $B$ can occur (the periods are $\Delta B_L$ and $\Delta B_K$, respectively). When $\alpha = 1$, the ratio of the transition periods relates to the ratio of accumulation speeds of excess charges as $\Delta B_K / \Delta B_L = 1 + a_4 / a_1$.

The ratio of accumulation speed $a_4 / a_1$ of excess charges generally relates to the ratio of hole occupation area $R_1^2 / R_2^2$, since larger area gives smaller Aharonov-Bohm period and thus faster accumulation of excess charge. Our system has the area ratio $R_1^2 / R_2^2 = N_L / N_T \simeq 1.8$ when $(N_T, N_L) = (128, 233)$, while the speed ratio is $a_4 / a_1 = 1.56$. The discrepancy between $a_4 / a_1$ and $R_1^2 / R_2^2$ originates from the fact that the accumulation speed depends on antidot potential shape. The ground states of the tested antidot have large spatial splitting between the edges of spin-up and spin-down states so that the outmost spin-up orbital at $m = N_L$ sees a different potential slope from the spin-down orbital at $m = N_L$.

For the case of $N_T \simeq N_L$ we expect $a_4 / a_1 \simeq R_1^2 / R_2^2$. When $a_4 / a_1 \simeq 1$ (i.e., $N_T \simeq N_L$), one gets $\Delta B_K \simeq 2 \Delta B_L$ from the relation of $\Delta B_K / \Delta B_L = 1 + a_4 / a_1$. Then, one has two spin-down and one spin-flip transitions in one Aharonov-Bohm period, which is the type (i) evolution trajectory of excess charges predicted in Ref. 15. The type (i) trajectory matches very well with the experimental findings of $(h/2e)$ AB oscillation and Kondo-like signatures in Ref. 12.

**VII. HARTREE-FOCK RESULTS OF INVERSE PARABOLIC ANTIDOTS**

In this section, we consider the inverse parabolic antidot shown in Eqs. 24. From the Hartree-Fock calculation, the ground state is found to be a MDD when we choose the parameters $\hbar \Omega = 1.5$ meV and $B \sim 1.45$ T. The transition of MDD ground states in this antidot is plotted in Fig. 11. Three types of transitions can appear. As $B$ increases, while the direction of the spin-up (down) transition is $|N_T, N_L\rangle \rightarrow |N_T + 1, N_L\rangle$ ($|N_T, N_L\rangle \rightarrow |N_T, N_L + 1\rangle$), the spin-flip transition occurs as $|N_T, N_L\rangle \rightarrow |N_T - 1, N_L + 1\rangle$. Note that the direction of the spin-flip transition is opposite to the bell-shape case. This can be understood from the fact that $\sim 1/B$ in the inverse parabolic case [see Eq. 23], while Coulomb matrix elements goes like $\sim \sqrt{B}$. Due to this

![Figure 11](https://image-url.com)
VIII. CONCLUDING REMARKS

We have investigated electronic properties of antidots in the integer quantum Hall regime (the local filling factor around the antidot is two), by using an electron-hole transformation and a Hartree-Fock approach. Our numerical work shows that when the antidot potential is strong enough, hole MDDs of a single-Slater-determinant form are stable in a certain parameter range and represent exactly the ground states.

For a bell-shape antidot with more than 300 holes, we find that there exist the spin-down and spin-flip transitions between MDD ground states as magnetic field varies, and that their properties, such as sequence of transitions and the spin polarization of MDDs, are in good agreement with the phenomenological capacitive interaction model. Thus, hole MDDs and the bell-shape antidot could be a good model for the antidot studied experimentally by Kataoka et al. The Hartree-Fock results of the ground-state transitions allow us to obtain the parameters of the capacitive interaction model and the accumulation of excess charges around the antidot as a function of magnetic field.

The properties of hole MDDs depend on the competition between electron-electron interactions and hole confinement potential. The competition determines the degree of spin splitting between spin-up and -down edges of MDD: stronger hole confinement (weaker Coulomb energy) favors the droplets with smaller size. As a consequence of this competition the direction of the spin-flip transitions depends on the detailed potential shape (e.g., the potential curvature near MDD edges). For example, in the bell-shape antidot the spin-flip transition occurs as $|N_1, N_2⟩ \rightarrow |N_1 + 1, N_2 - 1⟩$ with increasing $B$, while $|N_1, N_2⟩ \rightarrow |N_1 - 1, N_2 + 1⟩$ in the inverse parabolic antidot. Thus, the physical properties of antidots are connected to the shape of the antidot potential. It would be interesting to investigate antidots with different shapes and compare their properties with each other.

For a better quantitative comparison with the experimental data the coupling to higher Landau levels by the Coulomb scattering may have to be included. However, it is a challenge to calculate the matrix elements of the screened Coulomb interaction analytically. It is also desirable to use a more smoothly varying antidot potential than the one we used here (the first derivative our potential as a function of $m$ changes suddenly at $m_1$).

Finally, reconstruction of the edge structure around the antidot may take place, similar to the extended edge channels. For a better quantitative comparison with the experimental data the coupling to higher Landau levels by the electron-hole transformation makes it possible to investigate numerically the edge reconstruction near the antidot, which requires a proper treatment of correlation effects beyond Hartree-Fock approximation.

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