Ecology and evolution of pine life histories

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Received: 13 November 2011 / Accepted: 29 February 2012 / Published online: 9 May 2012
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Abstract

- **Introduction** *Pinus* is a diverse genus of trees widely distributed throughout the Northern Hemisphere. Understanding pine life history is critical to both conservation and fire management.
- **Objectives** Here I lay out the different pathways of pine life history adaptation and a brief overview of pine evolution and the very significant role that fire has played.
- **Results** *Pinus* originated ~150 Ma in the mid-Mesozoic Era and radiated across the northern continent of Laurasia during the Cretaceous Period. Pines have followed two evolutionary strategies interpreted as responses to competition by the newly emerging angiosperms. The *Strobus* lineage mostly has radiated into stressful sites of low nutrients or extreme soil or heat. The *Pinus* (subgenus) lineage has radiated into fire-prone landscapes with diverse fire regimes. Examination of life history traits illustrates syndromes associated with fire-avoider, fire-tolerator, fire-embracer, and fire-refuge strategies.
- **Conclusion** Understanding the current pattern of pine distribution requires interpreting their evolution in terms of climate, geology, and fire. All three of these factors have played a role since the Mesozoic origin of the genus. All are important to the appropriate management of these resources.

Keywords Abiotic stress · Cenozoic · Crown fire · Disturbance · Life history · Mesozoic · Surface fire

1 Introduction

*Pinus* is a largely northern hemisphere genus distributed across many forest types in Europe, Asia, North Africa, North America, and Central America. It is a diverse genus of more than 100 species of evergreen sclerophyllous-leaved trees largely restricted to temperate latitudes, or to high elevation temperate conditions at lower latitudes, characteristics shared only by *Quercus* in the northern hemisphere and *Eucalyptus* in the southern hemisphere. Unlike the latter two angiosperm genera, which are largely of Cenozoic origin, *Pinus* is a gymnosperm that originated in the Mesozoic Era (Fig. 1).

Pines trace their origin to the super-continent of Laurasia, and by mid-Cretaceous, they had diverged into two lineages, the *Strobus* (*Haploxylon*) and *Pinus* (*Diploxylon*) subgenera (Millar 1998). The timing of pine origins and diversification overlaps considerably with angiosperm evolution, and it is likely that competition with these flowering plants played a role in adaptive trajectories taken by pine lineages. In close competition, angiosperms appear to have had several key advantages in both reproductive biology and growth rates (Bond 1989). It is hypothesized that pine evolution reflects competitive exclusion from stable, productive environments by the newly emerging angiosperms.

Life history evolution in pines has taken two pathways that have reduced close competition with fast growing angiosperms. One is an adaptive shift toward abiotically stressful environments, and another is toward fire disturbed environments (Keeley and Zedler 1998). These adaptive modes largely follow the subgeneric split between *Strobus* and *Pinus* although there are exceptions (Fig. 2).
Most species in the *Strobus* lineage have radiated into either alpine or desertic environments where growing seasons are relatively short and persistence is challenged by extreme cold or heat. Primary productivity in these environments is generally insufficient to form a contiguous fuel load capable of spreading fire in most years. However, the majority of taxa in the subgenus *Pinus* are widely distributed on more productive sites that are fire-prone, ranging from environments favoring frequent understory or surface fires to sites subject to less frequent but high intensity crown fires.

2 Life history evolution

Life history studies of pines in fire-prone and non-fire-prone landscapes have selected for different character syndromes (Keeley and Zedler 1998), and this is supported by phylogenetic studies that illustrate strong correlations between traits within each subgenus (Schwikl and Ackerly 2001).

2.1 The fire-avoider pine syndrome

Alpine *Strobus* species such as *Pinus cembra* in Europe or *Pinus sibirica* in Asia and *Pinus albicaulis* and *Pinus aristata* in North America are distributed in environments where fires are not a regular ecosystem feature and these species exhibit few traits that could be interpreted as fire-adaptive traits. In the context of fire, these taxa are here considered fire-avoiders. Additional pines that are not regularly challenged by fires are the pinyon pines in western North America such as *Pinus cembroides*, *Pinus edulis*, *Pinus monophylla*, and *Pinus quadrifolia* among others in the *Strobus* lineage that are distributed in desertic environments. These alpine and desertic pines typically have short, densely packed needles that perhaps have been selected for under conditions of extreme heat and cold. These pines uniformly have the thinnest bark in the genus, suggesting that in *Pinus* bark, thickness is not a response to extreme temperatures but rather, as argued below, to particular fire regimes. In alpine and desertic environments, a key limitation to reproduction is the ability to find safe sites for seedling regeneration, and this may account for why some of these species have indehiscent cones that require birds to open. This coevolved dispersal has resulted in selection for cone characteristics that enhance bird dispersal (Siepielski and  

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**Fig. 1** Geological time scale based on the 2004 time scale endorsed by the International Commission on Stratigraphy (from Keeley et al. 2012)

**Fig. 2** Phylogenetic distribution of fire-adapted traits in representative pines in subgenera *Strobus* and *Pinus* (from Schwilk and Ackerly 2001)
Benkman 2007), and such dispersal is well developed in these Strobus species but largely unknown in the subgenus Pinus.

2.2 Fire-adapted pines

Beginning in the Cretaceous, many pines in the subgenus Pinus followed an adaptive trajectory toward fine-tuning life histories to capitalize on fire-prone landscapes. Regular disturbance from fire diminished the capacity for angiosperms to dominate and favored these pines. However, it is a mistake to think of these pines as adapted to fire, but rather we should think of these species as being adapted to particular fire regimes.

Although no two landscapes have exactly the same fire regime, there is some value in recognizing modal differences (Keeley et al. 2009). Two commonly recognized fire regimes are surface fire regimes and crown fire regimes. Perturbations such as different anthropogenic management practices may move an ecosystem from one fire regime to another, but here I consider the historical or natural fire regime in the absence of human interference.

2.2.1 Fire-tolerator pine syndrome

In moderately productive environments, some pines are capable of growth sufficient to maintain their canopies high enough above the forest floor to minimize the possibility of fires burning in surface fuels from carrying into the canopy and killing the tree. Fire scar dendrochronology studies show that historically these trees were exposed to repeated fires, providing information on past fire frequencies as well as demonstrating that these fires were of sufficiently low intensity that trees survived repeated fires (Fig. 3). Examples of such pines would include Pinus pinea and Pinus pinaster in Europe, Pinus sylvestris throughout many parts of Euro-Asia, and Pinus ponderosa in North America and closely related taxa in Central America.

Survival of these low intensity surface fires is enhanced by several traits. Height is an important attribute and when coupled with self-pruning of dead branches maintains a significant gap between surface fuels and potential canopy fuels (Fig. 4). Thick bark enhances tree survival by protecting sensitive cambial tissue from heat shock (van Mantgem and Schwartz 2003; Bova and Dickinson 2005). Phylogenetic studies indicate a strong correlation between height, self-pruning, and bark thickness in these pines (Schwikl and Ackerly 2001). These fire-tolerator pines have long needles, which leads to litter with minimal compaction and contributes to high fire intensity, relative to shorter needle species (Fonda et al. 1998). In mixed forests, those sites with higher basal area of pines have significantly greater scorch height due to the greater flame lengths generated from these needles (Knapp and Keeley 2006). It has been hypothesized that pine attributes that increase frequency of fires affect community assembly in ways that favor pines (Platt et al. 1988). Higher temperatures relative to oak litter play a role eliminating reproduction of Quercus seedlings (Williamson and Black 1981); however, patterns of flammability in pine and oak litter are complicated (Kane et al. 2008).

These low intensity surface fire regimes have been branded by Agee (2008) as “nonlethal” fire regimes. While this may be true for the standing timber, it is not a good ecological description of what happens in the understory. For pines and other conifers capable of seedling recruitment in the understory, these surface fires are often lethal to seedlings and saplings (Fig. 5a) and thus successful recruitment requires gaps in the canopy (Fig. 5b). Gaps commonly arise from patches of dense
fuels that produce passive crown fires that spread from surface fires into the canopies of small groups of trees. Thus, these pines are ultimately dependent on a mixed fire regime that couples surface fires with localized passive crown fires. Such gaps (Fig. 5b) provide four necessary conditions for successful recruitment: (1) patches of safe sites for recruitment in close proximity to parent seed trees, (2) bare mineral soil that contributes to successful seed germination, (3) high light conditions favoring rapid growth, and (4) limited input of overstory fuels that reduces the probability of repeat fires before the saplings reach an age sufficient to tolerate fire (Keeley and Stephenson 2000).

A few pines have a specialized “seedling grass stage” where the sapling persists as a tuft of needles with little internodal elongation for 5–10 years, during which the needles protect the apical meristem from surface fires (Keeley and Zedler 1998). Eventually the stems bolt and within a few years the sapling canopy is above the reach of surface fires. Due to the vulnerability of the grass stage, this strategy is largely restricted to oligotrophic substrates where fuel loads are relatively low and fire intensities are low. This strategy has been well-studied for Pinus palustris in the southeastern coastal plain of North America but is also known for four others from North America, Central America, and Southeast Asia. These taxa are distantly related and represent a case of parallel evolution within Pinus (López et al. 2002).

Due to the nature of these low intensity surface fires, on many landscapes, fire managers in both Europe and North America have been highly successful at near total fire exclusion over the past century (e.g., evident in the recent lack of fire scares in Fig. 3b). One consequence of this management practice has been the accumulation of surface fuels and saplings that have put these ecosystems on a trajectory away from surface fires toward crown fires. This has the potential of greatly increasing the size of patches of high intensity burning by orders of magnitude over the historical pattern. This has major impacts on forest regeneration due to the time required for recolonization from standing parent seed trees that could be tens of km distant from the crown fire gap.

2.2.2 Fire-embracer pine syndrome

On less productive sites, pines are unable to grow sufficiently to produce a gap between surface fires and tree canopies (Fig. 6a). In these forests, the natural fire regime is one of
These fire-embracers enhance the spread of fire by limited self-pruning of dead branches, which increases ladder fuels capable of carrying fire into the canopy and ensuring crown fire. Retention of dead branches in the canopy of species in crown fire ecosystems has a measurable impact on fire intensity (Schwilk 2003). This enhances both the opening of cones as well as ensuring fire spread to adjacent canopies and thus opening the site for better recruitment. Regeneration is achieved by fire-stimulated opening of serotinous cones and synchronous seed dispersal in a single postfire pulse. Needles tend to be shorter than in fire-tolerater species and have burning characteristics that promote longer duration burning (Fonda 2001). Illustrative of the fine-tuning of evolution is the fact that these pines generally have much thinner bark than fire-toleraters, which likely reflects the limited effectiveness of thick bark in a crown fire regime. This is a common theme as illustrated by Quercus trees adapted to surface fires vs shrubby oak species adapted to crown fires; the former have thick bark and the latter very thin bark (Zedler 1995a, b).

### 2.2.3 Fire-refugia pine syndrome

Some pines on fire-prone landscapes seem to fit neither of these syndromes. For example, in California, there are pines such as Pinus sabiniana with relatively thick bark but limited self-pruning of lower branches. This species is widespread in chaparral and grasslands, and in the former habitat, it inevitably succumbs to chaparral crown fires yet it generally lacks cone serotiny and thus fails to regenerate on the site of such conflagrations. However, it survives well in grasslands, rock outcrops, riparian zones, or other sites with sparse fuels. Large crown fires may extirpate this species over broad areas, and it shrinks to a few localized refugia. In subsequent years, trees disperse out from these refugia into vegetation where they may persist for decades (Schwilk and Keeley 2006).

Thus, this fire-refugia species exhibits marked population fluctuations driven by metapopulation dynamics where refugia populations act as sources for expansion after fire. This is in marked contrast with the other two fire related pine syndromes in which population perimeters are relatively static overtime, although in these other syndromes occasional outlier trees may establish new populations (Harvey et al. 2011).

### 2.2.4 Temporal and spatial variation in pine life history

Evidence of the importance of fire regime in driving life history characteristics is illustrated by widespread species that persist under multiple fire regimes and exhibit population differentiation consistent with fire regime differences. For example, the Californian Pinus coulteri exhibits fire-
embracer traits of limited self-pruning and serotiny in chaparral crown fire environments but is non-serotinous in woodlands with surface fires, and this pattern is found in other serotinous species (Keeley and Zedler 1998).

Fire regimes are a function of multiple parameters that vary continuously in both time and space, and this variation is often reflected in life history variation. For example, the Canary Island pine, *Pinus canariensis*, exhibits extraordinary trait variation across a broad spectrum of fire regimes where predictable crown fires apparently have selected for fire-embracer traits such as serotiny and resprouting on some sites and fire-tolerater characteristics on other sites (Climent et al. 2004). The North American *P. contorta* is distributed across a broad spectrum of environments such that it ranges from sub-alpine fire-avoider populations, to fire-tolerater populations in the Sierra Nevada of California, to serotinous fire-embracer populations in the Rocky Mountains of Montana (Critchfield 1957). These regional differences are tied to climatic differences that affect site productivity and thus propensity for crown fires (Parker 1986).

3 Evolution of fire-adapted pines

Broadly speaking, we can divide pine evolution into three stages: (1) Mesozoic origin and diversification, (2) the early Cenozoic fragmentation due to angiosperm dominance under the equable climates of the Eocene, and (3) Oligocene and Miocene diversification due to expansion of fire-prone and abiotically stressful habitats. Discussions of pine evolution have focused almost entirely on the role of climate and geology and their effect on migration pathways, refugia, and population isolation (Axelrod 1986; Millar 1998). Here I stress that these factors drove two separate evolutionary trajectories. One lineage followed a pathway of adaptation to biotically stressful conditions promoted by extreme temperatures and highly oligotrophic soils. Evolution along the other pathway was driven by fire adaptations closely tuned to differences in site productivity and fuel structure that generated different fire regimes and very different fire-adaptive traits.

3.1 Mesozoic origin and diversification

*Pinaceae* dates to the early Mesozoic and the genus *Pinus* perhaps mid-Jurassic (Axelrod 1986; Millar 1998). The earliest fossil record of *Pinus* is Early Cretaceous, 130 Ma (Millar 1998), and molecular phylogenetic estimates provide a wide range of estimates between 102 and 190 Ma (Willyard et al. 2007). A Jurassic origin is supported by the relatively high diversity of *Pinus* already present in the Cretaceous.

Early pine evolution was concentrated between 31° and 50° in the Northern Hemisphere on the Laurasian supercontinent. During this period, pines radiated widely, and by the end of the Cretaceous, they were distributed in the west from what is presently North America to the east on the present day continent of Asia. By this time, they had already diverged into lineages that subsequently spread to North America and to Euro-Asia (López et al. 2002; Gernandt et al. 2008). During this period, temperature and rainfall exhibited little seasonality at these latitudes (Wolfe and Upchurch 1987), and pine success is thought to have been driven by the limited dominance of angiosperms (Millar 1998).

By the mid-Cretaceous, both the fossil record (Millar 1998) and molecular phylogenies (Willyard et al. 2007; He et al. 2012) show *Pinus* split into subgenera *Strobus* and *Pinus*. These have largely followed very different adaptive trajectories: the former subgenus to abiotically stressful conditions and the latter into fire-prone landscapes. Functional trait analysis in pines shows that thick bark is always associated with fire-adapted pines with fire-tolerater strategies and lacking in species adapted to stresses of extreme cold or aridity or to crown fire regimes (Keeley and Zedler 1998). Ancestral trait reconstruction supports a Cretaceous origin for this trait, strongly implying that fire adaptation was already an important driver of pine evolution at this time (He et al. 2012). Angiosperms at this time were rapidly diversifying into mostly fast growing weedy species (Wing and Boucher 1998), and it is possible this contributed to fuel continuity that enhanced fire spread (Bond and Scott 2010).

A growing body of fossil data shows that throughout the Paleozoic and Mesozoic eras, fire was widely distributed in space and time and numerous lines of evidence indicate that it was a potentially important ecosystem process (Pausas and Keeley 2009). There is also evidence that different fire regimes were selecting for fire-tolerater and fire-embracer strategies. Perhaps reflective of these early differences are the Californian genera *Sequoia* and *Sequoiadendron*. Both are of Mesozoic origin and the former is a vigorous resprouter adapted to infrequent crown fires, and the latter is a non-resprouter but tolerates frequent fires due its extremely thick bark and self-pruning (Keeley et al. 2012).

Although climates of the Cretaceous are often characterized as lacking seasonal droughts, decadal scale droughts would have made landscapes fire-prone as is true of many aseasonal climatic regions today. Although these distant Cretaceous landscapes are often discussed as though they were homogenous, landscapes did exhibit topographic heterogeneity and coastal and interior climates added to this heterogeneity (Mix et al. 2011). Indeed, at higher latitudes, freezing temperatures would have selected for evergreen sclerophylls (Spicer et al. 1993) and would have been an impetus for evolution of stress tolerating pines typical of the *Strobus* lineage. Semi-arid fire-prone landscapes were not lacking at middle and lower latitudes (Spicer et al. 1993).
As is the case today, small changes in climate would have affected site productivity in ways that could profoundly alter fire regimes (Rehfeldt et al. 1999) and play an important role in driving pine evolution. Today most species in the subgenus *Pinus* exhibit life histories very closely tied to fire, and there is little reason to not accept that Cretaceous radiation of pines was driven in large part by fire. Indeed, splits between subsection Contortae, dominated by fire-embracers, and Ponderosae, dominated by fire-toleraters, date to the Cretaceous (López et al. 2002). Some Cretaceous landscapes have been described as dominated by fire-adapted “chaparral-like shrublands” (conifers, cycads, ferns) that supported diverse dinosaur faunas and with abundant evidence of periodic fires. Allen (1998) interpreted many charred fossil remains from these sites as fire adaptations, including serotinous cones, fire-stimulated hard seeds, and highly sclerotic fern indusia dropped when heated by fire.

3.2 Early Cenozoic fragmentation under the equable climates of the Eocene

The warm, aseasonal climates of the Eocene led to tropical angiosperm dominance that displaced pines from middle to high latitudes where they previously had dominated (Millar 1998). The widespread extirpation of middle latitude pines at the beginning of the Cenozoic is hypothesized to have led to extinction of many species and depleted genetic diversity. Many Cretaceous fossil pines with combinations of traits not known in extant species went extinct (Millar 1998). Based on the fossil record, this was a period of fragmentation in pine populations and apparently limited pine diversification (Millar 1993).

The rise in highly productive angiosperm forests is thought to have greatly diminished fire activity during the Eocene (Bond and Scott 2010). However, this conclusion is based on temporal changes in the distribution of the charcoal inertinite laid down in moist mire habitats. In the Cretaceous, these mires were highly fire-prone habitats, but the inertinite signal declines with the Eocene dominance of such sites by tropical angiosperm forests, suggesting to these authors that early Cenozoic fires were relatively rare. However, this inertinite signal need not be interpreted as evidence for lack of Eocene fire. I hypothesize that fire-prone habitats shifted to more drought-prone upland sites, promoted in large part by the rapid diversification of fast growing drought-adapted angiosperms that provided fuel to carry fires far beyond the apparently limited fire-prone landscape of the Mesozoic. Indeed, on contemporary landscapes, mires, and the inertinite fire signal, comprise only a few percent of the land surface compared to contemporary fire-prone environments that occupy over half of the land surface (Krawchuk et al. 2009). Fossil evidence for such fire-prone Eocene sites is not very plentiful, but then fossil records throughout both the Tertiary and Quaternary are highly biased against recording upland fire-prone vegetation (Keeley et al. 2012).

Although the equable climates of the Eocene make it appear as though this world was not highly conducive to fires, this is something of an artifact since climatic oscillations on multiple time scales are permanent features of earth history (Bennett 2004). In addition to temporal variation, there was spatial variation as evident by precipitation gradients that generated dry seasons in parts of southwestern North America (Frederiksen 1991) with a clear potential for a predictable wildfire season (Keeley et al. 2012). Dry zones at this time along the European and North African Tethys (Parrish 1998) may have favored fire-prone pines. Much of the Eocene world may not have been conducive to fire-dependent pine evolution, but it is unknown what was transpiring along the more arid fringes and as a consequence of anomalous climatic events. That pine evolution continued is supported by molecular phylogenies, which show, in contrast to the apparent contraction and limited evolution of pines implied by the fossil record, that there was very little change in diversification rates during the Eocene Epoch (Eckert and Hall 2006).

3.3 Oligocene and Miocene diversification

The end of the Eocene was associated with a rapid drop of 10–14°C and increased aridity in the Oligocene, causing the loss of tropical angiosperm forests across middle latitudes, all of which contributed to the expansion of pines back into latitudes where they once dominated (Millar 1998). These changes would have had an immediate impact on the radiation of those pines adapted to abiotically extreme cold and aridity. In addition, with a change to more seasonal conditions, changes in fire regimes would have followed. Although it is hypothesized that Eocene fires were spatially limited to arid margins and drought-prone substrates, or temporally limited to anomalously dry years, the Oligocene expanded both the spatial and temporal distribution of fire-prone landscapes. Thus, patches of fire-prone landscape once isolated by more mesic vegetation were now connected with greater fuel continuity and thus greater predictability of fires.

Increased seasonality characterized the Miocene thus creating greater opportunities for exploiting new fire regimes, and this was likely an important driver of the high pine speciation rates observed during this epoch (Willyard et al. 2007). This model is supported by phylogenetic data indicating that taxa typical of surface fire regimes gave rise to new lineages of crown fire-adapted pines and vice versa. For example, the Miocene origin of serotinous fire-embracers in subsection *Attenuatae* appears to derive from fire-tolerater ancestors (López et al. 2002). Another example would be the apparent derivation of the fire-tolerater *Pinus lambertiana* from the fire-avoider *P. albicaulis* (Liston et al. 2007).
Axelrod (1988) contended that by late Miocene, many closed-cone pines dominated large portions of semi-arid landscape but with the Quaternary intensification of summer drought were restricted to islands of more mesic habitat. Alternatively, Millar (1999) has hypothesized that contemporary disjunct populations are not the result of contraction of a broader distribution but a metapopulation pattern that persisted through the Quaternary and was the result of anomalous climate fluctuations that caused populations to expand and shrink. Millar’s model could also work for very different reasons. With these fire-adapted species, subtle spatial and temporal variations would have produced a mosaic of fire regimes and selected for different suites of species in a fine-grained distribution pattern, generating a patchy distribution of pine species.

4 Conclusions

Pine evolution has always been interpreted in terms of climate and geology. I propose that one must understand the role of fire to adequately interpret the patterns of diversification in this genus. Contemporary pines have diverged into species that favor abiotically stressful sites or ones that favor more productive sites subject to regular and predictable fires. Associated with these different environmental stresses are a suite of plant traits that are interpreted as character syndromes in response to different environments. The majority of species are fire-adapted, but it is useful to keep in mind that species do not adapt to fire per se, but rather to a particular fire regime. Several syndromes are described that are associated with different fire regimes. The presence of high fire activity in the Mesozoic and the phylogenetic analyses that show fire-adaptive traits can be traced to this era support the conclusion that the evolution of pines is best understood in terms of fire and abiotic stresses as driving trajectories of life history adaptation in pine evolution.

Acknowledgments I thank the organizers of the MEDPINE4 conference for the invitation to present this keynote address. This work was funded by the U.S. Geological Survey and the MEDPINE4 Conference.

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