Global phase time and wave function
for the Kantowski–Sachs anisotropic universe

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ABSTRACT

A consistent quantization with a clear notion of time and evolution is given for the anisotropic Kantowski–Sachs cosmological model. It is shown that a suitable coordinate choice allows to obtain a solution of the Wheeler–DeWitt equation in the form of definite energy states, and that the results can be associated to two disjoint equivalent theories, one for each sheet of the constraint surface.

KEY WORDS: Minisuperspace; global phase time; Wheeler–DeWitt equation.

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1. Introduction

The difficulty in defining a set of observables and a notion of dynamical evolution in a theory where the spacetime metric is itself a dynamical variable, as it is the case of General Relativity, leads to the problem of time in quantum cosmology. The time parameter \( \tau \) entering the formalism is not a true time, and as a consequence of this, the theory includes a constraint \( \mathcal{H} \approx 0 \) [1,2]. In the Dirac–Wheeler–DeWitt canonical quantization of minisuperspace models one introduces a wave function \( \Psi \) which must fulfill the operator form of the constraint equation, that is,

\[
\mathcal{H}\Psi = 0,
\]

where the momenta are replaced in the usual way by operators in terms of derivatives of the coordinates:

\[
p_k = -i \frac{\partial}{\partial q^k}.
\]

As the Hamiltonian is quadratic in \( p_k \) a second order differential equation is obtained; this is called the Wheeler–DeWitt equation [3]. It is clear that the solution \( \Psi \) does not depend explicitly on the time parameter \( \tau \), but only on the coordinates \( q^k \). This is the main problem with the Dirac–Wheeler–DeWitt quantization, because the absence of a clear notion of time makes difficult to have a definition of conserved positive-definite probability, and therefore to guarantee the unitarity of the theory. To build the space of physical states we need to define an inner product which takes into account that there can be a physical time “hidden” among the canonical variables of the system. The physical inner product \( (\Psi_2|\Psi_1) \) must be defined by fixing the time in the integration. If the time can be defined as \( t(q) \) (intrinsic time) then we can introduce an operator \( \hat{\mu}_\nu = \delta(t(q) - t') \), which evaluates the product at the fixed time \( t' \). Hence, to obtain a closed theory by this way we need a formally correct definition of time. In fact, if we have been able to isolate the time as a function of the canonical variables, we could work with the new coordinates.
(t, qγ) and the corresponding momenta (pt, pγ) and make the substitution

\[ p_t = -i\partial/\partial t, \]

\[ p_\gamma = -i\partial/\partial q\gamma \]

to obtain a Wheeler–DeWitt equation whose solution will depend on t, so that it will have an evolutionary form.

In a previous work we gave a proposal for quantizing the Kantowski–Sachs anisotropic universe with a clear notion of time within the path integral formulation [4]. The procedure was based on a canonical transformation which turned the action of the minisuperspace into that of an ordinary gauge system, which allowed to use canonical gauge conditions to identify a global phase time [5,6]. A not completely satisfactory point was the form of the resulting propagator: the expression obtained could not be explicitly integrated, so that the interest of the method was almost purely formal. In the present work, instead, we obtain a consistent quantization with a right notion of time and evolution within the canonical formalism; we give an explicit form for the wave function by solving a Wheeler–DeWitt equation in terms of coordinates including a global time and such that the reduced Hamiltonian is time-independent, so that the result can be understood as a set of definite energy states. In the Appendix it is shown that the time here employed in the Wheeler–DeWitt quantization can be obtained with our deparametrization procedure proposed in Ref. 4.

2. The Kantowski–Sachs universe

Possible anisotropic cosmologies are comprised by the Bianchi models and the Kantowski–Sachs model [7,8,9]. By introducing the diagonal 3 × 3 matrix βij, anisotropic spacetime metrics can be put in the form

\[ ds^2 = N^2 d\tau^2 - e^{2\Omega(\tau)}(e^{2\beta(\tau)})_{ij}\sigma^i\sigma^j, \]

with the differential forms σi fulfilling \( d\sigma^i = \epsilon_{ijk}\sigma^j \times \sigma^k \) [10]. The Kantowski–Sachs model
corresponds to the case

\[ \beta_{ij} = \text{diag}(-\beta, -\beta, 2\beta) \]

\[ \sigma^1 = d\theta, \quad \sigma^2 = \sin \theta d\varphi, \quad \sigma^3 = d\psi \]

so that

\[ ds^2 = N^2 d\tau^2 - e^{2\Omega(\tau)} \left( e^{2\beta(\tau)} d\psi^2 + e^{-\beta(\tau)} (d\theta^2 + \sin^2 \theta d\varphi^2) \right). \] (3)

Differing from the Bianchi universes, the model is anisotropic even in the case \( \beta_{ij} = 0 \). If matter is neglected in the dynamics, the classical behaviour of this universe is analogous to that of the closed Friedmann–Robertson–Walker cosmology in the fact that the volume, defined as

\[ V = \int d^3x \sqrt{-g}, \]

grows to a maximum and then returns to zero. This feature is reflected in the form of the scaled Hamiltonian constraint \( H = e^{3\Omega} \mathcal{H} \):

\[ H = -\pi_\Omega^2 + \pi_\beta^2 - e^{4\Omega + 2\beta} \approx 0, \] (4)

which clearly allows for \( \pi_\Omega = 0 \). As a consequence of this, no function of only the coordinate \( \Omega \) can be a global phase time for the Kantowski–Sachs universe: the required condition \( [t, H] > 0 \) [11] cannot be globally fulfilled by \( t = t(\Omega) \). In some early works, \( \Omega \) was defined as the time coordinate, but this yields a reduced Hamiltonian which is not real for all possible values of \( \beta \) and \( \pi_\beta \); hence the corresponding Hamiltonian operator would not be self-adjoint, and the resulting quantum theory would not be unitary.

3. Global time and Wheeler–DeWitt equation

The coordinate \( \beta \) has a non-vanishing Poisson bracket with the constraint (5), then allowing for its use as time variable (this is not true if a matter field is included, as
the corresponding additional term $\pi^2_\beta$ in the constraint makes possible $\pi_\beta = 0$; but this would lead to a reduced Hamiltonian with a time-dependent potential, making a “one particle” interpretation of the wave function impossible [12]. Then we change to a set of new variables defined as

$$
x \equiv 2\Omega + \beta
$$

$$
y \equiv \Omega + 2\beta,
$$

and rescale the Hamiltonian in the following form

$$
H \rightarrow \frac{1}{3}H,
$$

and thus we obtain the equivalent constraint

$$
H = -\pi^2_x + \pi^2_y - \frac{1}{3}e^{2x} \approx 0.
$$

The momentum $\pi_y$ does not vanish on the constraint surface; then we have $[y, H] = 2\pi_y \neq 0$ and, up to a sign determined by the sign of $\pi_y$, the coordinate $y$ is a global phase time:

$$
t = y \text{sign}(\pi_y).
$$

On the other hand, we have a reduced Hamiltonian on each sheet of the constraint surface:

$$
h(x, \pi_x) = \pm \sqrt{\pi^2_x + \frac{1}{3}e^{2x}},
$$

and the potential does not depend on time.

Now, let us define $u = \sqrt[3]{e^x}$. In terms of the coordinates $u$ and $y$ the Wheeler–DeWitt equation associated to the constraint (3) has the form

$$
\left( u^2 \frac{d^2}{du^2} + u \frac{d}{du} - u^2 - \frac{d^2}{dy^2} \right) \Psi(u, y) = 0
$$

This equation clearly admits a set of solutions of the form $\Psi = A(u)B(y)$; the solution for $B(y)$ is immediately obtained in the form of exponentials of imaginary argument, while for $A(u)$ we have a modified Bessel equation. Returning to the variable $x$, the solutions
can then be written as

$$
\Psi_\omega(x, y) = \left[ a^+(\omega)e^{i\omega y} + a^-(-\omega)e^{-i\omega y} \right]
\times
\left[ b^+(\omega)I_{i\omega} \left( \sqrt{\frac{1}{3}}e^x \right) + b^-(-\omega)K_{i\omega} \left( \sqrt{\frac{1}{3}}e^x \right) \right],
$$

(9)

where $I_{i\omega}$ and $K_{i\omega}$ are modified Bessel functions. The contribution of the functions $I_{i\omega} \left( \sqrt{\frac{1}{3}}e^x \right)$ must be discarded, because they diverge in the classically forbidden region associated to the exponential potential $\frac{1}{3}e^{2x}$. Because the coordinate $y$ is a global time, we could separate the functions in (9) as positive and negative-energy solutions, each subset corresponding to one sheet of the constraint surface, that is, to one of both signs of the reduced Hamiltonian. As $\pi_y = \frac{2}{3}\pi_\beta - \frac{1}{3}\pi_\Omega$ and on the constraint surface we have $|\pi_\beta| > |\pi_\Omega|$, then $\text{sign}(\pi_y) = \text{sign}(\pi_\beta)$ and each set of solutions corresponds to one of both signs of the original momentum $\pi_\beta$. Going back to the original coordinates we can write the wave function(s) as

$$
\Psi^+_{\omega}(\Omega, \beta) = c^+(\omega)e^{i\omega(\Omega+2\beta)}K_{i\omega} \left( \sqrt{\frac{1}{3}}e^{2\Omega+2\beta} \right),
$$

$$
\Psi^-_{\omega}(\Omega, \beta) = c^-(-\omega)e^{-i\omega(\Omega+2\beta)}K_{i\omega} \left( \sqrt{\frac{1}{3}}e^{2\Omega+2\beta} \right).
$$

(10)

Note, however, that Because $t = (\Omega + 2\beta) \text{sign}(\pi_\beta)$, we can give a single expression

$$
\Psi_\omega(x = 2\Omega + \beta, t) = c(\omega)e^{i\omega t}K_{i\omega} \left( \sqrt{\frac{1}{3}}e^x \right)
$$

(11)

for both sheets of the constraint surface, reflecting that both disjoint quantum theories are equivalent.

4. Comments

We have given a consistent quantization with a right notion of time for the anisotropic Kantowski–Sachs universe; we have improved previous analysis [4], by giving and explicit
form of the wave function, which results of a Wheeler–DeWitt equation with a coordinate as a global time. This allows for a right definition of the space of physical states; if the solutions are given in terms of the time $t$, the Klein–Gordon inner product defined as \[ (\Psi_1|\Psi_2) = \frac{i}{2} \int_{t=\text{const}} dx \left[ \Psi_1^* \frac{\partial \Psi_2}{\partial t} - \Psi_2 \frac{\partial \Psi_1^*}{\partial t} \right], \]
is conserved and positive-definite. A point to be signaled is that we can also define the inner product in the space of physical states as a Schrödinger inner product,

\[ (\Psi_1|\Psi_2) = \langle \Psi_1|\hat{\mu}|\Psi_2 \rangle \]
with $\hat{\mu} = \delta(y - y')$, because the Hamiltonian $H$ admits a factorization in the form of a product of two constraints linear in $\pi_y = \pm \pi_t$, each one leading to a Schrödinger equation [13,14]. This is possible because in terms of the new coordinates $(x, y)$ the potential does not depend on time, and therefore $\pi_t$ commutes with the reduced Hamiltonian $h$. Recall that such a factorization is not possible if we work with the original coordinates $\Omega, \beta$; in this case $t = \pm \beta$, and $\pi_t$ does not commute with the (time-dependent) reduced Hamiltonian $h = \pm \sqrt{\pi_{\Omega}^2 + e^{4\Omega + 2\beta}}$.

It should be noted that we have started from a scaled constraint $H = e^{3\Omega} H$, which at the classical level is equivalent to $H$, but which does not necessarily lead to the same quantum description. However, it can be shown that an operator ordering exists such that both constraints $H$ and $H$ are equivalent at the quantum level. Let us consider a generic constraint

\[ e^{bq_1} \left( -p_1^2 + p_2^2 + \zeta e^{aq_1 + cq_2} \right) \approx 0, \]

which contains an ambiguity associated to the fact that the most general form of the first term should be written

\[ -e^{Aq_1} p_1 e^{(b-A-C)q_1} p_1 e^{Cq_1} \]

(so that $A$ and $C$ parametrize all possible operator orderings). It is simple to verify that the constraint with the most general ordering differs from that with the trivial ordering.
in two terms, one linear and one quadratic in $\hbar$, and that these terms vanish with the choice $C = b - A = 0$. Therefore, the Wheeler–DeWitt equation resulting from the scaled constraint $H$ is right in the sense that it corresponds to a possible ordering of the original constraint $H$.

A final remark should be that the obtention of two disjoint theories corresponding to both sheets of the constraint surface is possible because the model under consideration admits an intrinsic time: the time is given by the coordinate conjugated to the non vanishing momentum whose sign identifies each sheet. In the case of a model such that all the momenta could vanish, the procedure could still be carried out if a canonical transformation leading to a non vanishing potential can be performed [15].

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**Appendix**

In Ref. 4 we proposed a deparametrization procedure based in a canonical transformation turning the minisuperspace into an ordinary gauge system, so that a $\tau$-dependent canonical gauge condition could be used to define a global phase time [5,6]. The generating function of the transformation must be a solution of the Hamilton–Jacobi equation

$$H \left( q^k, \frac{\partial W}{\partial q^k} \right) = E$$

which results of matching the $E$ to one of the new momenta, for example $P_0$. Therefore the Poisson bracket of the new coordinate $Q^0$ with the constraint $H$ is equal to unity, and $Q^0$ can be used to fix the gauge. In Ref. 4 we started from a different expression for the constraint, and the resulting (intrinsic) time had the form of an exponencial of $\Omega$ and $\beta$. 

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It is simple to verify that this method can reproduce the definition of time given here. If we start from the constraint (6) we obtain the Hamilton–Jacobi equation
\[- \left( \frac{\partial W}{\partial x} \right)^2 + \left( \frac{\partial W}{\partial y} \right)^2 - \frac{1}{3} e^{2x} = E.\]
Introducing the integration constants \( P_0 = E \) and \( a \) such that \( a^2 + E = \pi_y^2 \) we obtain the solution
\[ W = y \text{sign}(\pi_y) \sqrt{a^2 + P_0} + \text{sign}(\pi_x) \int dx \sqrt{a^2 - \frac{1}{3} e^{2x}} \]
so that
\[ \overline{Q}^0 = \frac{\partial W}{\partial P_0} = \frac{y \text{sign}(\pi_y)}{2 \sqrt{a^2 + P_0}}. \]
Then we can fix the gauge by means of the canonical condition \( \chi \equiv 2\overline{Q}^0 \sqrt{a^2 + P_0} - T(\tau) = 0 \) with \( T \) a monotonous function of \( \tau \), which yields \( t = y \text{sign}(\pi_y) \). We could also define a time including the momenta (extrinsic time) by fixing \( \chi \equiv 2\overline{Q}^0 - T(\tau) = 0 \), which gives \( t = y/\pi_y \).
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