Cross-Task Generalization via Natural Language Crowdsourcing Instructions

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Abstract
Humans (e.g., crowdworkers) have a remarkable ability in solving different tasks, by simply reading textual instructions that define them and looking at a few examples. Despite the success of the conventional supervised learning on individual datasets, such models often struggle with generalization across tasks (e.g., a question-answering system cannot solve classification tasks). A long-standing challenge in AI is to build a model that learns a new task by understanding the human-readable instructions that define it. To study this, we introduce Natural Instructions, a dataset of 61 distinct tasks, their human-authored instructions, and 193k task instances (input-output pairs). The instructions are obtained from crowdsourcing instructions used to create existing NLP datasets and mapped to a unified schema. Using this meta-dataset, we measure cross-task generalization by training models on seen tasks and measuring generalization to the remaining unseen ones. We adopt generative pre-trained language models to encode task-specific instructions along with input and generate task output. Our results indicate that models benefit from instructions when evaluated in terms of generalization to unseen tasks (19% better for models utilizing instructions). These models, however, are far behind an estimated performance upperbound, indicating significant room for more progress in this direction.1

1 Introduction
We have witnessed great progress in solving many NLP datasets through fine-tuning pre-trained language models (LMs) (Peters et al., 2018; Brown et al., 2020). More recent studies show tremendous promise in generalization within the set of observed tasks through multi-task training and unified encoding (Khashabi et al., 2020; Aghajanyan et al., 2021). However, cross-task generalization – generalization to unseen tasks – has generally remained under-explored. For example, can we supervise a model with instances of grammar checking or question answering tasks, yet expect it to solve a different task like question typing (Fig.1). Evidently, humans are capable of such generalizations; an average human can follow natural language instructions to solve a variety of problems, as evident by the success of crowdsourcing platforms (also argued in Efrat and Levy (2020)). In this paper, we study if models can generalize to unseen tasks given their natural crowdsourcing instructions.

We build Natural Instructions, a dataset consisting of natural crowdsourcing instructions for various tasks and their instances. Training on seen tasks Tseen in our dataset, we build a model that learns to follow natural instructions that define a task and perform tasks (i.e., mapping input to output). Testing on unseen tasks Tunseen, we evaluate if the model can perform unseen tasks solely from

References

Figure 1: We construct the Natural Instructions dataset from crowdsourcing instructions and instances of different NLP datasets. We study if models can learn from seen tasks and generalize to unseen tasks given their natural crowdsourcing instructions.
To provide a systematic study of various elements of task descriptions — such as definition, schema a unified benchmark, we map them to natural language instructions, input, and output sets respectively for task $t$. In the conventional setup, training and evaluation are done on the instances of the same task. However, in task-level generalization, a model is expected to generalize to unseen tasks, where $T_{\text{unseen}} \cap T_{\text{seen}} = \emptyset$.

(a) A comparison of task vs instance-level generalization $I_t$, $X_t$ and $Y_t$ indicate natural language instructions, input, and output sets respectively for task $t$. In the conventional setup, training and evaluation are done on the instances of the same task. However, in task-level generalization, a model is expected to generalize to unseen tasks, where $T_{\text{unseen}} \cap T_{\text{seen}} = \emptyset$.

(b) BART evaluation on unseen tasks ($y$-axis is perf. on $T_{\text{unseen}}$) when supervised with seen tasks ($x$-axis is $|T_{\text{seen}}|$). A model using instructions ($I_t$) consistently improves with more observed tasks. In contrast, models with no access to the instructions show no sign of improved generalization. Details in §6.3.

Figure 2: The formal definition of generalization to unseen tasks (a) and a summary of its empirical outcome (b).

Importantly, LMs can generalize better to unseen tasks if they observe more tasks in training (Fig.2b). This upward trajectory suggests the potential for more cross-task generalizable models upon scaling up the diversity of tasks represented in a meta-dataset of task instructions. Despite the benefits of instructions, we observe a sizable gap between models’ generalization and their estimated upper-bounds (6.4), encouraging the community to work on this challenging problem.

Contributions: In summary, the contributions of this work are as follows: (a) we introduce NATURAL INSTRUCTIONS, a dataset of human-authored instructions curated from existing well-known datasets mapped to a unified schema, providing training and evaluation data for learning from instructions; (b) we build models that can encode instructions and show: (b.1) the benefit of cross-task generalization by leveraging instructions; (b.2) the importance of different elements of instructions in the performance; (b.3) noteworthy headroom for improvement on our benchmark, which hopefully will motivate further work in this direction.

2 Related Works

Learning from instructions. There is recent literature on the extent to which models follow language instructions (Hase and Bansal, 2021; Ye and Ren, 2021; Gupta et al., 2021; Zhong et al., 2021). For example, Efrat and Levy (2020) examine if language models can follow crowdsourcing instructions with no further training. On the contrary, our work is pursuing a fundamentally different goal: creating a dataset of crowdsourcing instructions and task instances and formulating cross-task generalization by training models on seen tasks and
measuring generalization to the remaining unseen ones. Weller et al. (2020) construct a crowdsourced dataset with short question-like task descriptions. Compared to this work, our instructions are longer, more complex and natural since they were used to collect datasets through crowdsourcing.

PromptSource and FLAN (Wei et al., 2022; Sanh et al., 2022) are two concurrent works that pursue a similar goal as ours. A key difference between our work to these works is in terms of data collection strategy. Our work uses natural instructions created by NLP researchers before the dataset instances were created by crowd workers, and hence it contains the complete definition of each task (definition, things to avoid, negative examples, etc.). On the other hand, instructions in the concurrent work are collected retroactively based on the already-available task instances. Our natural instructions enable evaluating models on how they learn tasks given different elements of task descriptions. (See §A.5 for further comparisons.) Nevertheless, we believe that all these approaches to constructing instructions and task categories are complementary and the community will benefit from considering both towards solving the challenging problem of cross-task generalization.

**Prompt engineering.** Constructing effective discrete prompts for language models to perform NLP tasks is an active area of research (Schick and Schütze, 2021; Reynolds and McDonell, 2021; Liu et al., 2021). Such prompts are often extremely short and may not include a complete definition of complex tasks. In contrast, our instructions encode detailed instructions as they were used to collect the datasets. Moreover, the goals are different: Most prompt-engineering approaches seek prompts with higher performance on a particular task, typically through assumptions about their target task which make them non-trivial to generalize to any other task. However, our introduced meta dataset enables the measurement of generalization to unseen tasks.

**Beyond standard multi-task learning.** Multi-task learning is a long-standing goal for AI (Caruana, 1997) and has led to successful models that can support a wider range of tasks (McCann et al., 2018; Raffel et al., 2020; Khashabi et al., 2020; Mishra et al., 2020; Aghajanyan et al., 2021; Ye et al., 2021). Most of the conventional setups in the multi-tasking literature evaluate on instances that belong to the tasks that are seen, i.e., their labeled instances were observed during training (1st column of Table 2a). We augment this setup by introducing natural language instructions which enable our models to bridge to tasks that were not seen during training.

### 3 Defining Cross-Task Generalization

Here we formally define the problem setup for generalization across tasks. Each task $t$ consists of input/output instances $(X_t, Y_t)$ and is described in terms of its natural language instructions $I_t$.

**Task-specific models.** Standard supervised learning algorithms use task-specific labeled instances to learn a mapping from input $x$ to output $y$: $M(x) = y$ for $(x, y) \in (X_{t \text{train}}, Y_{t \text{train}})$ and is evaluated on the test instances of the same (or similar) task $(X_{t \text{test}}, Y_{t \text{test}})$. We refer to this as the instance-level generalization (Table 2a; left).

**Cross-task models.** In this setup, the goal is to learn a model $M$ that at inference obtains the output $y$ given the input $x$ and the task instruction $I_t$: $M(I_t, x) = y$, for $(x, y) \in (X_t, Y_t)$. In contrast to the task-specific models, no task-specific training data is used to learn the mapping $M$. We collect **Natural Instructions** (§4) to study this question: can a model be trained to follow instructions via training tasks $\mathcal{T}_{\text{seen}}$ and be generalized to follow instructions for a task $t' \in \mathcal{T}_{\text{unseen}}$. We refer to this as a task-level generalization (Table 2a; right).

### 4 Natural Instructions

**Natural Instructions** consists of instructions that describe a task (e.g., question answering) and instances of that task (e.g., answers extracted for a given question). Fig.3 shows an example instruction for the task of ‘generating questions that require an understanding of event duration’ accompanied with positive and negative examples that contextualize the task. Here we introduce a schema for representing instructions (§4.1) and then describe how existing datasets (their crowdsourcing templates) are mapped into our schema (§4.2).

**4.1 Instruction Schema**

Instructions used in crowdsourcing various datasets, are written by distinct authors for different purposes, and they are different in a variety of ways (see Appendix A.2 for their differences.) We introduce a unified schema (Fig.4) to consistently represent these diverse forms of instructions. Our instruction schema is the result of our pilot
to emphasize THINGS TO AVOID by providing examples that must not be produced.

• **REASON** provides explanations behind why an example is positive or negative.

• **SUGGESTION** contains suggestions on how a negative example could be modified to turn it into a positive example.

The next section describes the process of mapping the raw instructions (designed for crowdworkers) to our instruction schema.

### 4.2 Constructing Natural Instructions

#### 4.2.1 Collecting Data

**Collecting raw instructions and instances.** We use existing, widely adopted NLP benchmarks that are collected via crowdsourcing platforms and hence, come with crowdsourcing templates.

In the first step, we identified several datasets and engaged with their authors to get their crowdsourcing templates and raw data. This yields the following datasets: CosmosQA (Huang et al., 2019), DROP (Dua et al., 2019), Essential-Terms (Khashabi et al., 2017), MCTACO (Zhou et al., 2019), MultiRC (Khashabi et al., 2018), QASC (Khot et al., 2020), Quoref (Dasigi et al., 2019), ROPES (Lin et al., 2019) and Winograd (Sakaguchi et al., 2020).

**Splitting crowdsourcing instructions into minimal tasks.** Almost all the crowdworking instructions include sequences of steps to guide crowdworkers in creating task instances. For example, QASC and MCTACO include 7 and 19 steps in the data creation process, respectively. We divide

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2We only focus on textual instructions and avoid datasets that involve visual or auditory steps, mostly focusing on QA datasets that were available to the authors.
We eliminate tasks that involve model-in-the-loop.

4 Improving description quality and consistency. We edit raw instructions to ensure their quality. Particularly, we fix writing issues (typos, ambiguities, etc.) and redact repetitions. While repetition often helps in augmenting human understanding, short and concise instructions are often more effective for computers due to their limited attention span (Beltagy et al., 2020).

Augmenting examples and reasons. There is a large variance in the number of examples provided in the raw instructions. Instructions often include more positive examples, or some instructions do not include any negative examples (e.g., QASC). Whenever possible, we add negative examples such that each task has at least two negative examples. Furthermore, not all raw instructions contain reasons or suggestions for each of their examples. For example, positive examples are usually not accompanied by explanations, and most datasets do not include suggestions. We add them, wherever such information is missing in the instructions.

4.2.3 Natural Instructions Statistics
In summary, Natural Instructions consists of subtasks each with a set of instructions and input/output instances for subtasks. Most of our tasks are the intermediate steps in the crowdsourcing process. Therefore, to extract input/output instances for each task, we need to parse the raw annotations of crowdworkers for every step. Since each dataset stores its annotations in a slightly different format, extracting and unifying such intermediate annotations can be non-trivial.

Verification. An annotator verified the quality of the resulting data in consultation with dataset authors. The annotator iterated on the authors’ feedback (avg of 3 iters) until they were satisfied.

Quality assessment. We ask independent human annotators to answer 240 random instances (20 instances from 12 random tasks, used later for our evaluation §5.1). The subsequent evaluation of the human-generated responses results in more than 96% accuracy, which indicates that humans can effortlessly understand and execute our instructions.

4.2.2 Mapping Raw Instructions to Schema
We manually fill in the fields of our instruction schema with the content from the crowdsourcing instructions. For instance, parts of the raw instructions that are highlighted for emphasis are incorporated as part of our emphasis/caution field. The modifications suggested in this step were applied by one author and were verified by another author.\(^4\)

Table 1: Examples of the datasets and the tasks formed from them. The extracted tasks are independent annotation assignments in the crowdsourcing templates of the datasets. The complete list is in Table 10 in Appendix.

| source dataset       | task                          |
|----------------------|-------------------------------|
| Quoref (Dasigi et al., 2019) | question generation  |
|                      | answer generation             |
| QASC (Khot et al., 2020)   | topic word generation        |
|                      | fact generation               |
|                      | combining facts               |
|                      | question generation           |
|                      | answer generation             |
|                      | incorrect answer generation   |

Table 2: Task categories and their statistics.

| category                        | # of tasks | # of instances |
|---------------------------------|------------|----------------|
| question generation             | 13         | 38k            |
| answer generation               | 16         | 53k            |
| classification                  | 12         | 36k            |
| incorrect answer generation     | 8          | 18k            |
| minimal modification            | 10         | 39k            |
| verification                    | 2          | 9k             |
| Total                           | 61         | 193k           |
put/output instances (Fig. 3 and 4). The complete list of instructions is included in the appendix. In total, the dataset includes 61 tasks and 193$k$ instances. Table 2 shows data statistics for each task category. On average, instructions contain 4.9 positive examples and 2.2 negative examples. The longest element of instructions is usually DEFINITIONS with 65.5 tokens and the shortest is TITLE with 8.3 tokens (more statistics in Table 3).

| statistic          | value     |
|--------------------|-----------|
| “title” length     | 8.3 tokens|
| “prompt” length    | 12.6 tokens|
| “definition” length| 65.5 tokens|
| “things to avoid” length | 24.1 tokens|
| “emphasis/caution” length | 45.0 tokens|
| “reason” length    | 24.9 tokens|
| “suggestion” length| 19.6 tokens|
| num of positive examples | 4.9       |
| num of negative examples | 2.2       |

Table 3: Statistics of NATURAL INSTRUCTIONS

5 Problem Setup and Models

Here we define different cross-task generalization settings (§5.1) and the models (§5.2).

5.1 Task Splits and Generalizations Types

Random split. This setup follows the common practice in benchmarking NLP models with random data splits. Here, two tasks from each task category (Table 2) in NATURAL INSTRUCTIONS are randomly selected for evaluation, and the rest of the tasks are used for training. This leads to 12 tasks in $T_{\text{unseen}}$ and 49 tasks in $T_{\text{seen}}$.

Leave-one-task generalization. To better understand the nature of cross-task generalization, we study more restrictive settings of dividing training and evaluation tasks.

leave-one-category: evaluates how well a model generalizes to a task category if it is trained on others – no task of that category is in $T_{\text{seen}}$.

leave-one-dataset: evaluates how well a model can generalize to all tasks in a particular dataset if it is trained on all other tasks – no task of that dataset is in $T_{\text{seen}}$. This split prevents any leakage across tasks that belong to the same source datasets.

5.2 Models

We build models using pre-trained LMs with encoder-decoder architectures BART (Lewis et al., 2019) for fine-tuning and GPT3 (Brown et al., 2020) for few-shot experiments.

Encoding instructions and instances. For every problem setup, we map a given instruction $I_t$ and an input instance $x$ into a textual format and decode an output $y$ and obtain $\text{enc}(I_t, x)$. This encoding function is then fed to an encoder-decoder model to predict $y$: $M: \text{enc}(I_t, x) \rightarrow y$.

Encoding instances follows a standard NLP paradigm of mapping an input instance to text. Each instruction $I_t$ consists of multiple elements as described in our instruction schema (§4.1). Here, we map each element of the instruction to a textual format and append it before the input instance. Fig. 5 shows how we encode the full instruction.

To study the impact of each instruction element for cross-task generalization, we compare these encodings: (1) PROMPT, (2) POS. EXAMPLES, (3) PROMPT + DEFINITION, (4) PROMPT + THINGS TO AVOID, (5) PROMPT + EMPHASIS, (6) PROMPT + POS. EXAMPLES, (7) PROMPT + DEFINITION + POS. EXAMPLES, and (8) FULL INSTRUCTION. Each of these (e.g., PROMPT and POS. EXAMPLES) correspond to prompting setups in the recent literature (Le Scao and Rush, 2021; Lu et al., 2021).

BART. We use BART (base) (Lewis et al., 2019) which allows us to fine-tune its model parameters. This is an encoder-decoder architecture with 140$m$ parameters. For each setup, the input is encoded

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Prompt: $I_t^{\text{prompt}}$
Definition: $I_t^{\text{Definition}}$
Things to Avoid: $I_t^{\text{avoid}}$
Emphasis&Caution: $I_t^{\text{emphasis}}$
NegativeExample1: input: $I_t^{\text{pos. ex.}}$, output: $I_t^{\text{pos. ex.}}$, reason: $I_t^{\text{pos. ex.}}$
PositiveExample1: input: $I_t^{\text{pos. ex.}}$, output: $I_t^{\text{pos. ex.}}$, reason: $I_t^{\text{pos. ex.}}$
in: x, output:

Figure 5: Encoding instruction $I_t$, where $I_t^c$ refers to the text of a component $c$ in the instruction schema.

leave-one-task: evaluates how well a model can learn a single task by training on all other tasks.
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using different instruction elements, trained on all $T_{\text{seen}}$ tasks, and evaluated on $T_{\text{unseen}}$ ($\S$5.1).

**GPT3.** As a comparison, we evaluate GPT3 (Brown et al., 2020) which is a 175B parameter autoregressive LM ($\times1.2k$ larger than BART) and has shown promising results in mimicking demonstrations provided in its prompt. We cannot fine-tune the parameters of this massive model and use it as-is under its default setting on the evaluation tasks in $T_{\text{unseen}}$ ($\S$5.1). For example, under random split, the model using FULL INSTRUCTIONS results in +19% gains over a model that is not using instructions. This is particularly interesting for leave-one-category-out split since the trained model can generalize to the tasks of a particular semantic category, without being exposed to it. In comparison to GPT3, the fine-tuned BART model that utilizes instructions achieves a stronger performance despite being $\times1k$ smaller than GPT3. For example, a BART models using FULL INSTRUCTIONS achieves 8% higher performance than GPT3 under random split of tasks.

Note that the absolute values in leave-one-category are lower due to the difficulty of this setup compared to, for example, the random split setup. While all settings involve evaluating on tasks not seen during training, the leave-one-category setting enforces more dissimilarity among training and evaluation tasks.

### 6.2 Generalization Under Instruction Encoding and Task Categories

Table 5 reports the results of the BART model per encodings of different instruction elements ($\S$5.2) and for different task categories. The table shows that encoding more elements of the instructions generally achieves better results than just using PROMPT or POSITIVE EXAMPLES. It additionally shows that the benefit of the instruction elements seems to depend on the target task category. We observe that the question-generation (QG) tasks benefit the most from POSITIVE EXAMPLES, whereas in classification (CF), POSITIVE EXAMPLES are of

| model ↓ | evaluation set $T_{\text{unseen}}$ → | random split of tasks | leave-one-category (QG) | leave-one-dataset (QASC) | leave-one-task (QASC QG) |
|---------|--------------------------------------|-----------------------|-------------------------|-------------------------|-------------------------|
| BART (fine-Tuned) NO INSTRUCTIONS | 13 | 6 | 37 | 20 |
| BART (fine-Tuned) FULL INSTRUCTIONS | 32 | 17 | 51 | 56 |
| GPT3 (not fine-tuned) FULL INSTRUCTIONS | 24 | 33 | 22 | 33 |

Table 4: Cross-task generalization of BART under various splits ($\S$5.1). Fine-tuned BART shows improved performance when provided with instructions. It also archives better performance than GPT3, despite being over $1k$ times smaller. All numbers are ROUGE-L.

6 Experiments

**Evaluation metrics.** We treat all of our tasks as text generation problems and evaluate them with automated evaluation metrics for text generation. In particular, we use ROUGE-L (Lin, 2004) to automatically evaluate the generated outputs.

**Implementation details.** For BART, our models are trained for 3 epochs with a learning rate of 5e-5 for a given training split and input encoding. For GPT3, we use the davinci-instruct engine and produce outputs with greedy decoding, generating up to a maximum number of tokens of 16 (the default value). We use the default stop condition which is 2 newline tokens.

### 6.1 Generalization Under Various Task Splits

Table 4 reports the results of the BART model train and evaluated with various task splits ($\S$5.1). For comparison, we evaluate GPT3 which uses no fine-tuning, unlike BART that is fine-tuned with the $T_{\text{seen}}$ tasks. The first column corresponds to random split of tasks, while the remaining columns report cross-task generalization results of the BART model under leave-one-x splits ($\S$5.1). For $x = \text{category}$, the tasks in question-generation category are held out during training. For $x = \text{dataset}$, the tasks that were extracted from the QASC dataset were excluded from training. For $x = \text{task}$, we train a model on all tasks, except QASC question generation task which is used for evaluation.

**Instructions benefit cross-task generalization.** The results indicate that BART benefits from instructions in generalizing to new tasks, regardless of task splits. For example, under random split, the model using FULL INSTRUCTIONS results in +19% gains over a model that is not using instructions. This is particularly interesting for leave-one-category-out split since the trained model can generalize to the tasks of a particular semantic category, without being exposed to it. In comparison to GPT3, the fine-tuned BART model that utilizes instructions achieves a stronger performance despite being $\times1k$ smaller than GPT3. For example, a BART models using FULL INSTRUCTIONS achieves 8% higher performance than GPT3 under random split of tasks.

Note that the absolute values in leave-one-category are lower due to the difficulty of this setup compared to, for example, the random split setup. While all settings involve evaluating on tasks not seen during training, the leave-one-category setting enforces more dissimilarity among training and evaluation tasks.

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Table 5: Cross-task generalization under random split (§5.1). Models show improved results when provided with instructions. The numbers in parenthesis indicate absolute gains compared to ‘NO INSTRUCTIONS’ baseline. Fine-tuned BART archives better performance than GPT3, despite being over 1k times smaller. Category names: QG: Question Generation, AG: Answer Generation, CF: Classification, IAG: Incorrect Answer Generation, MM: Minimal Text Modification, VF: Verification. All numbers are ROUGE-L (in percentage).

6.3 Generalization vs. Number of Seen Tasks

Fig.2b compares the impact of the number of seen tasks for cross-task generalization. For supervision, we randomly sample a few tasks as \( T_{\text{seen}} \) and evaluate on 6 tasks (one from each category). The results show that with NO-INSTRUCTION encoding there is no tangible value in observing more tasks. In contrast, the generalization of the models that encode instructions improves with observing more tasks. This is an exciting observation since it suggests that scaling up our dataset to more tasks may lead to stronger instruction-following systems.

6.4 Analyses

Upperbound: Task-specific Models. For each task, we obtain a task-specific model (§ 3) by training BART separately on each task’s annotated training data. We evaluate these task-specific models to obtain a loose estimate of upperbounds for each task. On average, task-specific models score 66% which is considerably higher than our models’ best generalization (32%; Table 4). This indicates that there is considerable room for improving generalization-based models that use instructions.

Impact of Negative Examples. Crowdsourcing instructions often include negative examples to exemplify undesirable responses. We study how negative examples in instructions affect cross-task generalization. Our cases study (Table 6) indicates that the models work better without (w/o) negative examples, contrary to the previously-observed benefits of other instructional elements (e.g., definition, positive examples). This is aligned with the previous studies (Xuan et al., 2020; Lin et al., 2003) that discuss the challenges of learning from negative examples. Interestingly, GPT3’s drop (44 vs 24) is more significant than BART (35 vs 32), showing that BART can partly recover through the training step.

Error Analysis. We randomly sample 30 erroneous predictions of our fine-tuned BART on 3 distinct tasks (Winograd answer generation; QASC...
question generation; MC-TACO incorrect answer generation). We categorize the errors into common patterns (Table 8).

| error type                                      | BART |
|------------------------------------------------|------|
| Generates a nonsensical/vague question          | 47   |
| Generates an invalid question                   | 8    |
| Generates a yes/no question                     | 4    |
| Copies the given fact or a subset of it         | 3    |
| Generates unanswerable questions                | 3    |

Table 8: Percentage of errors on QASC QG task. The numbers do not sum to 100 since the error types are not mutually exclusive.

Unlike GPT3 which generally suffers from generating redundant content irrelevant to instructions, our BART model provides more control, however, it can fail to generate proper output. Here are several erroneous predictions from our model:

- **Generates a nonsensical/vague question**
  
  **BART input**: [...] input: Fact: graduations are used for measuring the volume of substances. output: ?  
  **BART output**: How many substances are there in volume?

- **Copies the given fact or a subset of it**
  
  **BART input**: [...] input: Fact: If someone is not breathing, begin with ventilation. output: ?  
  **BART output**: If someone is breathing, begin with ventilation.

- **Generates a yes/no question**
  
  **BART input**: [...] input: Fact: Lack of saliva hinders the break down of food into components the body can absorb. output: ?  
  **BART output**: Does saliva hinder the break down of food into components the body can absorb?

**Perceived Impact of Instruction Elements.**

We survey human annotators to find out the value of instruction elements to humans. Except for the negative examples which were shown to be difficult for models, we observe similar trends between humans’ perceived value of those elements (Table 7) and their contributions to the model performance (Table 5). For example, humans viewed DEFINITION and THINGS TO AVOID as necessary fields for classification and minimal text modification categories, respectively, which is compatible with our empirical observations (e.g., PROMPT + DEFINITION has the highest score on CF category in Table 5).

### 7 Conclusion

In this paper, we studied the goal of building models that generalize to new tasks by encoding and understanding crowdsourcing instructions. We introduced NATURAL INSTRUCTIONS, which is built based on existing crowdsourced datasets, that enables building such models and systematically evaluate them. To the best of our knowledge, this is the first work to show the benefit of instructions towards improved cross-task generalization. Additionally, we observe that our proposed task has a large room for improvement, which we believe will bring more attention to building stronger models that can generalize to a wider range of tasks.

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Supplemental Material

A Datasets and their Templates

A.1 Division of Crowdsourcing Instructions into Minimal Tasks

Fig. 9 shows an example of how a task is divided into multiple subtasks for the MC-TACO dataset. MC-TACO has five categories (Event Duration, Event Frequency etc.). Each category contributes to 2 subtasks one for question generation and one for answer generation.

Number of tasks in each dataset. Fig. 6 illustrates how the number of steps in the data creation process varies across the 6 datasets. QASC and MC-TACO contain a relatively higher number of steps in the data creation process in comparison to DROP, Quoref, CosmosQA, and Winogrande.

A.2 Analysis of Crowdsourcing Templates

We analyzed crowdsourcing templates of 6 datasets: CosmosQA (Huang et al., 2019), DROP (Dua et al., 2019), MC-TACO (Zhou et al., 2019), QASC (Khot et al., 2020), Quoref (Dasigi et al., 2019), and Winogrande (Sakaguchi et al., 2020). Our intention behind the analysis is to identify similarities and differences across templates and subsequently decide regarding the collection of more templates.

Size of the instructions. We observe significant variation in size across the 6 datasets (Fig. 8). In the case of QASC, the instruction size associated with each step of the data creation process is very high, whereas for Winogrande, it is exactly the opposite– instruction size associated with each step of the data creation process is very low. Instead, the size of the common instruction (i.e., the instruction preceding the first step of the data creation process) is high in Winogrande; this is also seen for DROP. The major mode of instruction varies across datasets. Examples and instructions associated with each step of data creation respectively take up the majority of space in Quoref and CosmosQA. MC-TACO relies on examples to explain the crowdsourcing task, while Winogrande and QASC depend mostly on common instructions and instructions associated with each step of the data creation process respectively, to explain the task to the crowdworker.

The number of positive/negative examples. Variation in the occurrence of POSITIVE and NEGATIVE Examples across datasets has been illustrated in Fig. 7. Only Winogrande provides an equal number of POSITIVE and NEGATIVE Examples. QASC instructions do not contain any NEGATIVE Examples. Overall, DROP instructions consist of a relatively higher number of examples than other datasets.

Presence of reasons/suggestions in examples. All datasets except QASC contain both POSITIVE and NEGATIVE Examples. However, Quoref is the only dataset to provide REASONS for all the POSITIVE and NEGATIVE Examples. There are explanations associated with each of the NEGATIVE Examples, but the presence of explanations
associated with POSITIVE Examples varies across datasets. Finally, Quoref is the only dataset to provide SUGGESTIONS along with the REASONS associated with the NEGATIVE Examples.

A.3 Qualitative Analysis

Writing Style. There are significant variation in writing style across the datasets, even among those datasets that have the common a objective (e.g., DROP, Quoref and QASC). DROP instructions say "There is an AI running in the background which will also try to answer the question. You won’t be able to submit the question if the AI gives the same response." The writing style in Quoref however is different: "We also want you to avoid questions that can be answered correctly by someone without actually understanding the paragraph. ...”

Information. We observe that sometimes instructions of a dataset contain information that is relevant to several other datasets, which do not contain similar instruction information. For example, Quoref, DROP and CosmosQA are datasets that are all based on reading comprehension tasks. CosmosQA contains a step in the data creation process asking users to skip passages containing inappropriate or offensive content. This information is also relevant to Quoref and DROP, but is not mentioned in their respective instructions.

Hardness. In a typical crowdsourcing task, certain tasks may be harder than the others, often these are the core tasks, e.g.: question generation, adversarial data creation, etc. Additional information, especially in the form of tips is always helpful in solving these hard tasks. Figure 10 illustrates that the task of question generation is stated differently in Quoref, CosmosQA, and QASC. QASC mentions an easy and detailed way to create questions, whereas CosmosQA mentions several different attributes of a good quality question. Knowing about the CosmosQA and QASC question generation processes may help with data creation for Quoref and other such question generation tasks, where less additional information is provided regarding question creation.

A.4 Data Curation Effort

Table 9 shows the effort distribution in the data curation process of NATURAL INSTRUCTIONS. Step 8 which involves parsing instances is the main bottleneck in the data curation process. Table 10 shows the detailed structure of tasks in NATURAL INSTRUCTIONS. Fig. 11 shows examples of four different tasks in NATURAL INSTRUCTIONS.

| step | task | time per task |
|------|------|---------------|
| 1    | Identify crowdsourced dataset and engage with their authors. | 20-30 mins |
| 2    | Go through the template and understand the task. | 10-15 mins |
| 3    | Manually fill fields in the schema with content from the template. | 30-45 mins |
| 4    | Iterate over the instructions to ensure their clarity while eliminating the repeated content. Fix writing issue in examples, also typos etc. | 2-3 hrs |
| 5    | Create negative examples if not present. Add the missing explanations to the examples. | 1-2 hrs |
| 6    | Extract the input/output instances from raw crowdsourcing annotations. | 0.5-24 hrs |
| 7    | Final inspections of the data to verify the data quality | 0.25- 2hrs |

Table 9: Steps taken to curate each task in NATURAL INSTRUCTIONS and their estimated times.
Figure 11: Examples from NATURAL INSTRUCTIONS. Each task follows the schema provided in Fig. 4.
| task id | title                                                                 | source dataset          | task category               |
|---------|------------------------------------------------------------------------|-------------------------|------------------------------|
| 1       | task001_quoref_question_generation                                      | Quoref                  | Question Generation          |
| 2       | task002_quoref_answer_generation                                         | Quoref                  | Answer Generation            |
| 3       | task003_mctaco_question_generation_event_duration                        | MC-TACO                 | Question Generation          |
| 4       | task004_mctaco_answer_generation_event_duration                          | MC-TACO                 | Answer Generation            |
| 5       | task005_mctaco_wrong_answer_generation_event_duration                   | MC-TACO                 | Incorrect Answer Generation  |
| 6       | task006_mctaco_question_generation_transient_stationary                 | MC-TACO                 | Question Generation          |
| 7       | task007_mctaco_answer_generation_transient_stationary                   | MC-TACO                 | Answer Generation            |
| 8       | task008_mctaco_wrong_answer_generation_transient_stationary             | MC-TACO                 | Incorrect Answer Generation  |
| 9       | task009_mctaco_question_generation_event_ordering                        | MC-TACO                 | Question Generation          |
| 10      | task010_mctaco_answer_generation_event_ordering                          | MC-TACO                 | Answer Generation            |
| 11      | task011_mctaco_wrong_answer_generation_event_ordering                   | MC-TACO                 | Incorrect Answer Generation  |
| 12      | task012_mctaco_question_generation_absolute_timepoint                    | MC-TACO                 | Question Generation          |
| 13      | task013_mctaco_answer_generation_absolute_timepoint                      | MC-TACO                 | Answer Generation            |
| 14      | task014_mctaco_wrong_answer_generation_absolute_timepoint               | MC-TACO                 | Incorrect Answer Generation  |
| 15      | task015_mctaco_question_generation_frequency                             | MC-TACO                 | Question Generation          |
| 16      | task016_mctaco_answer_generation_frequency                               | MC-TACO                 | Answer Generation            |
| 17      | task017_mctaco_wrong_answer_generation_frequency                         | MC-TACO                 | Incorrect Answer Generation  |
| 18      | task018_mctaco_temporal_reasoning_presence                               | MC-TACO                 | Classification              |
| 19      | task019_mctaco_temporal_reasoning_category                               | MC-TACO                 | Classification              |
| 20      | task020_mctaco_span_based_question                                       | MC-TACO                 | Classification              |
| 21      | task021_mctaco_grammatical_logical                                       | MC-TACO                 | Classification              |
| 22      | task022_cosmosqa_passage_inappropriate_binary                            | Cosmosqa                | Classification              |
| 23      | task023_cosmosqa_question_generation                                     | Cosmosqa                | Question Generation          |
| 24      | task024_cosmosqa_answer_generation                                       | Cosmosqa                | Answer Generation            |
| 25      | task025_cosmosqa_incorrect_answer_generation                             | Cosmosqa                | Incorrect Answer Generation  |
| 26      | task026_drop_question_generation                                         | DROP                    | Question Generation          |
| 27      | task027_drop_answer_type_generation                                      | DROP                    | Classification              |
| 28      | task028_drop_answer_generation                                           | DROP                    | Answer Generation            |
| 29      | task029_winogrande_full_object                                          | Winogrande              | Minimal Text Modification    |
| 30      | task030_winogrande_full_person                                          | Winogrande              | Minimal Text Modification    |
| 31      | task031_winogrande_question_generation_object                            | Winogrande              | Question Generation          |
| 32      | task032_winogrande_question_generation_person                            | Winogrande              | Question Generation          |
| 33      | task033_winogrande_answer_generation                                     | Winogrande              | Answer Generation            |
| 34      | task034_winogrande_question_modification_object                          | Winogrande              | Minimal Text Modification    |
| 35      | task035_winogrande_question_modification_person                          | Winogrande              | Minimal Text Modification    |
| 36      | task036_qasc_topic_word_to_generate_related_fact                         | QASC                    | Minimal Text Modification    |
| 37      | task037_qasc_generate_related_fact                                       | QASC                    | Minimal Text Modification    |
| 38      | task038_qasc_combined_fact                                               | QASC                    | Minimal Text Modification    |
| 39      | task039_qasc_find_overlapping_words                                     | QASC                    | Verification                |
| 40      | task040_qasc_question_generation                                         | QASC                    | Question Generation          |
| 41      | task041_qasc_answer_generation                                           | QASC                    | Answer Generation            |
| 42      | task042_qasc_incorrect_option_generation                                 | QASC                    | Incorrect Answer Generation  |
| 43      | task043_essential_terms_answering_incomplete_questions                   | Essential Terms         | Answer Generation            |
| 44      | task044_essential_terms_identifying_essential_words                     | Essential Terms         | Verification                |
| 45      | task045_miscellaneous_sentence_paraphrasing                             | Miscellaneous           | Minimal Text Modification    |
| 46      | task046_miscellaneous_question_typing                                   | Miscellaneous           | Classification              |
| 47      | task047_miscellaneous_answering_science_questions                        | Miscellaneous           | Answer Generation            |
| 48      | task048_multirc_question_generation                                      | MultiRC                 | Question Generation          |
| 49      | task049_multirc_questions_needed_to_answer                              | MultiRC                 | Classification              |
| 50      | task050_multirc_answerability                                           | MultiRC                 | Classification              |
| 51      | task051_multirc_correct_answer_single_sentence                           | MultiRC                 | Answer Generation            |
| 52      | task052_multirc_identify_bad_question                                    | MultiRC                 | Classification              |
| 53      | task053_multirc_correct_bad_question                                     | MultiRC                 | Minimal Text Modification    |
| 54      | task054_multirc_write_correct_answer                                    | MultiRC                 | Answer Generation            |
| 55      | task055_multirc_write_incorrect_answer                                   | MultiRC                 | Incorrect Answer Generation  |
| 56      | task056_multirc_classify_correct_answer                                  | MultiRC                 | Classification              |
| 57      | task057_multirc_classify_incorrect_answer                                | MultiRC                 | Classification              |
| 58      | task058_multirc_question_answering                                      | MultiRC                 | Answer Generation            |
| 59      | task059_ropes_story_generation                                           | ROPES                   | Minimal Text Modification    |
| 60      | task060_ropes_question_generation                                        | ROPES                   | Question Generation          |
| 61      | task061_ropes_answer_generation                                          | ROPES                   | Answer Generation            |

Table 10: Detailed set of tasks included in NATURAL INSTRUCTIONS
A.5 Qualitative Comparison to PromptSource

We provide a comparison between our proposed dataset and PromptSource (Sanh et al., 2022). PromptSource tasks are mainly focused on the common NLP downstream tasks (such as question-answering, coreference, NLI, etc.). However, since we create tasks from various steps (including the intermediate steps) in a data creation process, our instructions contain a broader variety of tasks. For example, tasks for chaining facts (task 38; Table 10), question typing (task 27; Table 10) or detecting inappropriate content (task 22; Table 10) are unique additions in NATURAL INSTRUCTIONS. Additionally, since our instructions were originally written by various researchers targeted for crowdworkers, they are elaborate and contain the complete definition of each task. This is somewhat evident from observation that GPT3 leads to higher performance on our instructions (Table 11). Last but not least, since we represent the instructions in a structured format, we are able to ablate various elements of the instructions (definition, negative/positive examples, etc.) and empirically quantify their contributions ($\S 6$).

| Task        | Model         | PromptSource | NATURAL INSTRUCTIONS |
|-------------|---------------|--------------|----------------------|
| Quoref QA (002) | GPT3-Instruct | 43           | 47                   |
|             | GPT3          | 2            | 13                   |
| DROP QA (028) | GPT3-Instruct | 6            | 10                   |
|             | GPT3          | 2            | 3                    |

Table 11: Comparing zero-shot performance of GPT3 on our instructions vs. PromptSource. The instructions curated in this work, despite being lengthier, lead to higher performance.

| task                     | Natural Instructions | PromptSource (Sanh et al. 2021) |
|--------------------------|----------------------|---------------------------------|
| **MC-TACO** (question answering) | * Definition: In this task we ask you to write answer to a question that involves “absolute timepoint” of events, which is defined as understanding of when events usually happen. For example, “going to school” usually happens during the day (not at 2 A.M). * Emphasis: Note that a lot of the questions could have more than one correct answer. We only need a single most-likely answer. Please try to keep your “answer” as simple as possible. Concise and simple “answer” is preferred over those complex and verbose ones. * Prompt: Answer the given question on “absolute timepoint” of events. Sentence: {{ sentence }}? Question: {{ question }} | Given the context, {{ (sentence) }} observe the following QA pair and check if the answer is plausible. Question: {{ (question) }} Answer: {{ (answer) }} |
| **Quoref** (question answering) | * Definition: In this task, you’re expected to write answers to questions involving multiple references to the same entity. Emphasis: The answer to the question should be unambiguous and a phrase in the paragraph. Most questions can have only one correct answer. * Prompt: Answer the given question. Your answer must be a single span in the passage. Passage: {{ passage }}? Question: {{ question }} | Given the following context: {{ (context) }} answer the following question: {{ (question) }} |
| **CosmosQA** (question answering) | * Definition: Craft one correct answer to the question given in input. To make it more interesting, try to use non-stereotypical language if possible. Make sure your correct answer is reasonably long, consistent with the context, and requires common sense (instead of explicit extraction from the context.) * Emphasis: 1. In your answer, use as few words as possible from the given context. 2. Use a response that is uncommon/non-stereotypical, so that it is less predictable. 3. To be less repetitive, please vary your language for each question. * Prompt: Craft one correct answer to the question given in input. Context: {{ (context) }}? Question: {{ (question) }} | Given the context, {{ (context) }} According to the above context, choose the best option to answer the following question. Question: {{ (question) }}; Options: {{ (answer_choices) }} |
| **DROP** (question answering) | * Definition: This task involves creating answers to complex questions, from a given passage. Answering these questions, typically involve understanding multiple sentences. Make sure that your answer has the same type as the “answer type” mentioned in input. The provided “answer type” can be of any of the following types: “span”, “date”, “number”. A “span” answer is a continuous phrase taken directly from the passage or question. You can directly copy-paste the text from the passage or the question for span type answers. If you find multiple spans, please add them all as a comma separated list. Please restrict each span to five words. A “number” type answer can include a digit specifying an actual value. For “date” type answers, use DD MM YYYY format e.g. 11 Jan 1992. If full date is not available in the passage you can write partial date such as 1992 or Jan 1992. * Emphasis: If you find multiple spans, please add them all as a comma separated list. Please restrict each span to five words. * Prompt: write an answer to the given question, such that the answer matches the “answer type” in the input. Passage: {{ (passage) }}? Question: {{ (question) }} | Context: {{ (passage) }} I am trying to figure out the answer to the question from the above context. Can you tell me the answer? Question: {{ (question) }} Answer: {{ (answer) }} |

Table 12: Qualitative comparison of the task instructions for several shared tasks among NATURAL INSTRUCTIONS and PromptSource (Sanh et al., 2022).
B Building Baselines for Natural Instructions

In this section, we provide several details on the baselines included in our work.

B.1 Encoding of the instructions

According to our schema (§4.1), each instruction $I_t$ for the $t$-th task is a set that contains the following fields:

$$I_t = \{ \text{def.}_t, \text{avoid}_t, \text{emph.}_t, \text{prompt}_t, \text{pos. ex.}_t, \text{neg. ex.}_t \}$$

To feed the instances to LMs, we first encoder them into plain text. Let $\text{enc}(I, x)$ define a function that maps a given instruction $I$ and input instance $x$ to plain text. Evidently, there are many choices for this function. In our study, we consider the following encodings:

**No-instructions encoding.** This encoding is the conventional paradigm where no instructions exist:

$$\text{enc}(I, x) := \text{input} : x \text{ output} : \text{"}$$

**Prompt encoding.** In this encoding, we append the prompt message before the input:

$$\text{enc}(I, x) := \text{Prompt} : \text{prompt}_t \text{ input} : x \text{ output} : \text{"}$$

**Prompt + Definition encoding.** In this encoding, the prompt message and the task definition appear before the input:

$$\text{enc}(I, x) := \text{"Definition} : \text{def.}_t \text{ Prompt} : \text{prompt}_t \text{ input} : x \text{ output} : \text{"}$$

**Positive Examples encoding.** This encoding contains only positive examples of the subtask (no task description, etc).

$$\text{enc}(I, x) := \text{input} : \text{pos. ex.}_t \text{ (input)} \text{ output} : \text{pos. ex.}_t \text{ (output)} \text{ reason} : \text{reason}_t \text{ (reason)} \text{ PositiveExample2} \text{ ... input} : x \text{ output} : \text{"}$$

Such example-only have been used in several recent studies in the field (Zhao et al., 2021).

**Full Instructions encoding.** This encoding contains all the instruction content:

$$\text{enc}(I, x) := \text{"Definition} : \text{def.}_t \text{ Prompt} : \text{prompt}_t \text{ Things to Avoid} : \text{avoid}_t \text{ Emphasis\&Caution} : \text{emph}_t \text{ "NegativeExample1} \text{ input} : \text{pos. ex.}_t \text{ (input)} \text{ output} : \text{pos. ex.}_t \text{ (output)} \text{ reason} : \text{reason}_t \text{ (reason)} \text{ NegativeExample2} \text{ ... } \text{"PositiveExample1} \text{ input} : \text{pos. ex.}_t \text{ (input)} \text{ output} : \text{pos. ex.}_t \text{ (output)} \text{ reason} : \text{reason}_t \text{ (reason)} \text{ PositiveExample2} \text{ ... input} : x \text{ output} : \text{"}$$

where $\text{enc}_{\text{ex}}(I_t)$ is an alternating encoding positive and negative examples. We include as many examples as possible, before exceeding the input limit.
C Analysis on Baseline Results

C.1 Comparison to Raw Instructions

We seek to understand the value of breaking the tasks into sub-tasks and mapping them into our proposed schema (§4.2). We compute performance of raw instructions (first sub-task of four datasets), in the same vein as (Efrat and Levy, 2020)’s setup. We compare this to our FULL INSTRUCTION - NEG EXAMPLES encoding. The results in Table 13 indicate that GPT3 leads to higher performance with our encoding (2nd row) compared to raw instructions (first row). Weak performance of LMs on raw instructions aligns with (Efrat and Levy, 2020)’s finding that “language model performs poorly”.

|                     | Quoref | MCTaco | CosmosQA | QASC |
|---------------------|--------|--------|----------|------|
| raw instructions    | 12.5   | 5.00   | 6.9      | 3.7  |
| our schema          | 25.8   | 42.6   | 17.7     | 51.3 |

Table 13: Comparing GPT3 performance on raw crowdsourcing instructions vs. our encoding. All numbers are ROUGE-L.

This might be partly due to the verbose language of the raw instructions: the average length of the raw instructions is 2.5k tokens, in comparison to 950 tokens for our encoding. While repetition often helps human understanding, concise instructions seem to be more effective for computers.