Non-linear hydrodynamics of incommensurate intergrowth compounds and quasicrystals

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Abstract

Hamiltonian structures for non-linear hydrodynamics of incommensurate intergrowth compounds (IIC) and quasicrystals (IQ) are constructed. We discuss also the way to account for internal friction of phason nature. We show that the existence of a self-force in IIC and IQ is not only matter of constitutive issues, rather it is related with questions of $SO(3)$ invariance. The covariant mechanics of discontinuity surfaces in quasiperiodic structures is also analyzed. The attention is mainly focused on the interaction between ‘diffuse’ grain boundaries and sharp discontinuity (moving possibly) surfaces.

To T. Y. for her moral vigour to oppose racism of stupid people and to face difficulties.

1 Introduction

Quasiperiodic metallic alloys display two types of low energy excitations in the hydrodynamic range: the standard phonon modes associated with the congruent distortions between neighboring material elements, and phason modes (Goldstone degrees of freedom) due to local rearrangements of atomic clusters. The former modes are represented by the standard displacement field $\tilde{u}$, while the latter ones by the phason vector field $\tilde{w}$.

Here our attention is focused on incommensurate intergrowth compounds (IIC) and proper icosahedral quasicrystals (IQ). For IIC, the phason displacement field describes collective atomic modes associated with relative displacements between incommensurate sublattices determining quasiperiodicity. Basi-
cally, IIC can be considered as the result of modifications of periodic structures. On the contrary, as shown by the 1984 experiments of D. Shechtman, I. Bleck, D. Gratias and J. W. Cahn [S], there exist intrinsically quasiperiodic crystals (IQ) that are not modulation of multiply twinned periodic crystalline structures or the composition of more species of them. They admit icosahedral phases with consequent long-range orientational order and absence of translational one. They violate the crystallographic restriction prescribing that a crystal displays periodicity and "cannot have certain forbidden symmetries, such as fivefold rotation" [L] but do not constitute a new state of matter. For IQ, the phason displacements describes substructural changes of diffusive nature [RoLo]: (i) collective atomic modes and (ii) tunneling of atoms below energetic barriers separating places at a distance lesser than the atomic diameter.

Phason activity thus exists in IIC and IQ; however, the energetic landscape is different. In the diffraction scenarios obtained by x-ray scattering experiments, diffuse scattering is registered around Bragg peaks in both cases. In principle, such a scattering could be represented through the singular part of certain autocorrelation measures [BH]. However, for IIC there are six sound-like branches while in the case of IQ just three sound-like branches appear. As a consequence, kinetic energy can be attributed to the phason activity in the case of IIC, while for IQ there may be a sort of internal friction leading to viscous-like evolution because phason activity displays diffusive nature. Since in the case of IIC, at each point \( \mathbf{X} \), the vector \( \mathbf{w} = \tilde{\mathbf{w}}(\mathbf{X}) \) represents the relative displacement of incommensurate sublattices, it is a measure of deformation and does enter the structure of the free energy together with its gradient \( \nabla \mathbf{w} \). It does not happen to IQ where just \( \nabla \mathbf{w} \) appears in the list of constitutive entries of the energy as a representative of phason behavior. The explicit dependence on \( \nabla \mathbf{w} \) varies according to the circumstance that IQ is in a 'locked phase' (i.e. a phase without phason contribution of Debye-Waller type) or in an 'unlocked phase' (see [JS]).

Taking into account analogies and differences, we present here a Hamiltonian formalism for non-linear hydrodynamics of IIC and IQ. We follow strictly the general framework of multifield theories [GC], [C], [M], [CM] unifying a wide class of models of condensed matter with complex substructural morphology. In discussing the non-linear elasticity of quasiperiodic structures, we follow in particular the general results in [CM] dealing with field theories in which the Hamiltonian accounts for order parameters taking values on an abstract manifold. Here, at each point \( \mathbf{X} \), our order parameter is the phason displacement \( \mathbf{w} = \tilde{\mathbf{w}}(\mathbf{X}) \), belonging to some copy of the translation space \( V_w \) of the three-dimensional Euclidean point space \( E^3 \).

The mechanics of quasiperiodic crystalline structures in the hydrodynamic range, the one of elasticity and plasticity, has been discussed variously in scientific literature. The attention has been focused primarily on quasicrystals rather than on IIC starting from the work of T. C. Lubesky, S. Ramaswamy, J. Toner [LRT] on (see [HWD], [RT], [DP], [JS], [RL], [RoLo], [DYHW], [MSA]).

Here, we analyze the matter. Accomplishments are briefly summarized below.
(i) Hamiltonian structures for both IIC and IQ are constructed. The covariance of the balance of phason interactions follow. A balance of interactions occurring when defects in the quasiperiodic structure are permuted is then deduced.

(ii) It is possible to show that the existence of a self-force of phason nature within each material element is a consequence of $SO(3)$ invariance. It is characterized by constitutive instances: in the case of IQ it vanishes at thermodynamical equilibrium. A non-standard integral balance of moments follows naturally from $SO(3)$ invariance. It is different from the one postulated commonly (see [HWD]) and gives rise (by localization) to the pointwise balance of phason interactions that is not necessarily associated with an integral balance of phason momentum.

(iii) We discuss also non conservative issues to account for the internal friction in IQ. Our treatment contains the ‘minimal model’ presented by S. B. Rochal and V. L. Lorman in [RoLo].

(iv) Finally we describe the influence of phason activity on the evolution of discontinuity surfaces in quasiperiodic crystalline structures. We show covariance of the balances of phonon and phason interactions at the discontinuity surfaces.

For the sake of simplicity our treatment deals only with isothermal processes. Algorithms for analyzing numerically specific cases follow naturally.

Unaspected phenomena may be evidenced. For example, in the linear case, due to uncertainties in the experimental evaluation of phonon-phason coupling coefficient in icosahedral quasicrystals, the combined use of Monte-Carlo and finite element techniques allows us to put in evidence the possible stochastic clustering of phonon and phason modes around macroscopic defects like cracks. The relevant results will be presented in a forthcoming paper with M. Gioffrè and F. L. Stazi.

Some notations. For any pair of vector spaces $A$ and $B$ (with duals $A^*$ and $B^*$), $\text{Hom}(A,B)$ is the space of linear maps from $A$ to $B$. For any manifold $M$, $T_M M$ is the tangent space of $M$ at $m \in M$, while $T^*_m M$ the relevant cotangent space. Moreover, $\text{Aut}(A)$ indicates the space of automorphisms of $A$. We will make use of two different regular bounded regions of the three-dimensional Euclidean point space $\mathcal{E}^3$, namely $\mathcal{B}_0$ and $\mathcal{B}$, and of two different copies $\mathcal{V}_u$ and $\mathcal{V}_w$ of the translation space of $\mathcal{E}^3$ (we may also identify them as copies of $\mathbb{R}^3$). Capital letters $A, B, C...$ used as indices denote coordinates in $\mathcal{B}_0$, while $i, j, k...$ coordinates in $\mathcal{B}$. The differential operators $\text{Div}$ and $\nabla$ indicate respectively divergence and gradient calculated with respect to coordinates in $\mathcal{B}_0$ while $\text{div}$ and $\text{grad}$ are their counterparts with respect to coordinates in $\mathcal{B}$. The superscript $T$ means transposition. The symbol $\partial_y$ means partial derivative with respect to the entry "$y$". We indicate with the term part any subset of $\mathcal{B}_0$ with non-vanishing volume and the same regularity properties of $\mathcal{B}_0$. Let $\Sigma$ be any
smooth surface in $B_0$ oriented by the normal $\mathbf{m}$ at each point, for any field $e(\cdot)$ defined on $B_0$ and differentiable there, we indicate with $\nabla e_\Sigma$ its surface gradient along $\Sigma$, namely $\nabla e_\Sigma(\mathbf{X}) = \nabla e(\mathbf{X}) (\mathbf{I} - \mathbf{m} \otimes \mathbf{m})$, with $\mathbf{I}$ the second-order unit tensor. The trace of $\nabla e_\Sigma$ is the surface divergence of $e$, namely $\text{Div}_e$. Other notations will be explained later.

2 Configurations, observers and relabeling

2.1 Configurations

Our analysis deals with a quasiperiodic crystalline body occupying in its reference place a regular\(^1\) region $B_0$ of the three-dimensional Euclidean point space $\mathcal{E}^3$. A generic point $\mathbf{X} \in B_0$ is identified with the centre of mass of a crystalline cell (which is the characteristic material element) that one may imagine collapsed at $\mathbf{X}$ in a coarse grained representation of the quasiperiodic structure.

A standard deformation of the body is represented by a sufficiently smooth injective mapping $B_0 \ni \mathbf{X} \mapsto \tilde{\mathbf{X}} \in \mathcal{E}^3$. The current place $B = \tilde{\mathbf{x}}(B_0)$ of the body is a regular region too. The placement map $\tilde{\mathbf{x}}$ is also orientation preserving: at each $\mathbf{X}$ its gradient $\mathbf{F} = \nabla \tilde{\mathbf{x}}$, i.e. the value of the field $B_0 \ni \mathbf{X} \mapsto \mathbf{F} = \tilde{\mathbf{F}}(\mathbf{X}) = \text{Hom}(T\mathbf{X}B_0, T\mathbf{x}B)$, has positive determinant.

Let $g$ be the spatial metric in $B$ and $\gamma$ the metric in $B_0$. The linear operator $\mathbf{F}^T \mathbf{F} = C \in \text{Hom}(T\mathbf{x}B_0, T\mathbf{x}B)$ is the pull-back at $\mathbf{X}$ of $g$ through $\tilde{\mathbf{x}}$, i.e., in coordinates, $C_{AB} = F_{TA}^T g_{ij} F_{JB}$. Then, the difference $(C - \gamma)$ is twice the nonlinear deformation tensor $\mathbf{E}$.

If we consider each material element as a perfect crystalline cell, during a motion $B_0 \times [0, \bar{\ell}] \ni (\mathbf{X}, t) \mapsto \tilde{\mathbf{x}} = \tilde{\mathbf{x}}(\mathbf{X}, t) \in \mathcal{E}^3$, the standard displacement field $\mathbf{u} = \tilde{\mathbf{u}}(\mathbf{X}, t) = \tilde{\mathbf{x}}(\mathbf{X}, t) - \mathbf{X} \in \mathbb{V}_w$ is the descriptor of phonon degrees of freedom. When the material element undergoes at least one of the substructural changes $(i)$ and $(ii)$ described above, namely collective atomic modes or tunneling of atoms, a sort of internal shift occurs and is represented by a vector $\mathbf{w}$ so that we have a vector field $B_0 \ni \mathbf{X} \mapsto \tilde{\mathbf{w}} = \tilde{\mathbf{w}}(\mathbf{X}) \in \mathbb{V}_w$ that we presume sufficiently smooth over the body. During a motion, we then have $B_0 \times [0, \bar{\ell}] \ni (\mathbf{X}, t) \mapsto \mathbf{w} = \tilde{\mathbf{w}}(\mathbf{X}, t) \in \mathbb{V}_w$, with a slight abuse of notation.

From the point of view of the general setting of multfield theories, the copy $\mathbb{V}_w$ of the translation space $\mathbb{V}$ over $\mathcal{E}^3$, containing $\mathbf{w}$, plays the rôle of the manifold of morphological descriptors (order parameters) of the material substructure $[C]$, $[M]$.

One may consider (see [HWD]) a global displacement $\mathbf{\tilde{u}}$ belonging to $\mathbb{V}_u \oplus \mathbb{V}_w$. By indicating with $\mathbf{\chi'} = \mathbf{\chi'}(\mathbf{X})$ the point given by $\mathbf{\chi'} = \mathbf{X} + \mathbf{\tilde{u}} = \mathbf{X} + \mathbf{u} + \mathbf{w}$, and with $\mathbf{F}'$ the gradient $\nabla \mathbf{\chi'}(\mathbf{X})$, we get additive and multiplicative decompositions given respectively by $\mathbf{F}' = \mathbf{F} + \nabla \mathbf{w}$ and $\mathbf{F}' = \mathbf{F}_{\text{ph}} \mathbf{F}$, with $\mathbf{F}_{\text{ph}} = \mathbf{I} + (\nabla \mathbf{w}) \mathbf{F}^{-1}$. Really, since $\mathbf{\tilde{x}}$ is one-to-one, one may construct a representation of $\mathbf{w}$ on the ‘apparent’ current place $B$ of the body. By indicating with $\mathbf{w}_a = \tilde{\mathbf{w}}_a(\mathbf{x})$
the image of \( w \) attached at \( x = \hat{x}(X) \in \mathcal{B} \), we get \( \hat{w}_a = \hat{w} \circ \hat{x}^{-1} \) so that \( (\nabla w) \mathbf{F}^{-1} = \nabla \hat{w}_a \). As a consequence, the interpretation of the multiplicative decomposition of \( \mathbf{F} \) may be the following: we may deform first the body at a coarse grained level maintaining frozen phason activity, then we may allow collective atomic modes to develop. In other words, by indicating with \( \hat{\mathbf{F}}^{ph} \) the mapping \( \hat{\mathbf{x}}^{ph} = \hat{x}' \circ \hat{x}^{-1} \), we see that \( \mathbf{F}^{ph} = \mathbf{I} + \nabla \hat{w}_a \) is the gradient of deformation from \( \mathcal{B} \) to \( \hat{\mathbf{F}}^{ph}(\mathcal{B}) \), namely there is a piecewise continuous map \( \hat{\mathbf{F}}^{ph} \) such that \( \mathcal{B}_0 \ni X \xrightarrow{\hat{\mathbf{F}}^{ph}} \mathbf{F}^{ph}(X) \in \text{Hom}(T_x\mathcal{B}, T_x\hat{\mathbf{x}}^{ph}(\mathcal{B})) \). The map \( \hat{\mathbf{x}}' \) describes the circumstance that collective atomic modes or tunneling of atoms occurring within each crystalline cell may shift the centre of mass of the crystalline cell itself from its current (in certain sense ‘apparent’) place \( x \).

Finally, we indicate with \( \dot{x} = \frac{d}{dt}\hat{x}(X,t) \) and \( \dot{w} = \frac{d}{dt}\hat{w}(X,t) \) rates in the reference description and might use also \( \dot{u} = \frac{d}{dt}\hat{u}(X,t) \) instead of \( \dot{x} \) to put in evidence the rôle of phonon and phason degrees of freedom.

If we restrict our attention to infinitesimal deformation regime in which \( \mathcal{B} \) can be ‘confused’ with \( \mathcal{B}_0 \) in the sense that \( \dot{x} \approx u \) at each \( X \), in addition to the standard compatibility condition \( \text{curl} \text{ curl} \text{ sym} \nabla u = 0 \), we get also a phason compatibility condition \( \text{curl} \text{ curl} \nabla w = 0 \) that would imply eventually an energetic contribution of phason spin.

### 2.2 Observers and relabeling

For the mechanics of quasicrystals the definition of the concept of observer follows general issues of the mechanics of complex materials (see [M04]) involving in such a definition the representation of all geometrical environments necessary to the description of the material morphology.

Three sets enter in fact the geometrical picture of a quasi-periodic crystalline body: the point space \( \mathcal{E}^3 \) (i.e. the standard ambient space), the translation space \( \mathcal{V}_w \) (containing phason degrees of freedom) and the interval of time \([0,t]\). An observer \( \mathcal{O} \) is then a representation of \( \mathcal{E}^3, \mathcal{V}_w \) and \([0,t]\).

We consider also relabeling of material elements in \( \mathcal{B}_0 \), simulating a redistribution of possible defects.

**Relabeling.** Formally, a ‘permutation of inhomogeneities’ in \( \mathcal{B}_0 \) is described by the action of the special group of isoropic diffeomorphisms \( SDiff \) on \( \mathcal{B}_0 \). So that we have a map

- \( \mathbb{R}^+ \ni s_1 \mapsto f^1_{s_1} \in SDiff(\mathcal{B}_0), \) with \( f^1_0 \) the identity.

At each \( s_1 \) we get \( X \mapsto f^1_{s_1}(X) \), with \( \text{Div} f^1_{s_1}(X) = 0 \), where the prime denotes differentiation with respect to the parameter \( s_1 \). We put \( f^1_0(X) = w \).

**Changes of observers.** We consider observers agreeing about the measure of time so that a generic change of observer involves just a couple of transformations: one of the ambient space \( \mathcal{E}^3 \), the other of \( \mathcal{V}_w \). They are described by the parametrized families of mappings defined below.

- \( \mathbb{R}^+ \ni s_2 \mapsto f^2_{s_2} \in Aut(\mathcal{E}^3), \) with \( f^2_0 \) the identity. We put \( f^2_0(X) = v \).
A Lie group $G$, with Lie algebra $\mathfrak{g}$, acts over $V_w$. If $\xi \in \mathfrak{g}$, its action over $w \in V_w$ is indicated with $\xi_{V_w}(w)$. By indicating with $w_g$ the value of $w$ after the action $g \in G$, if we consider a one-parameter smooth curve $\mathbb{R}^+ \ni s_3 \mapsto g_{s_3} \in G$ over $G$ such that $\xi = \frac{dg_{s_3}}{ds_3}|_{s_3=0}$ and its corresponding orbit $s_1 \mapsto w_{g_{s_3}}$ over $V_w$, starting from a given $w$, we have $\xi_{V_w}(w) = \frac{d}{ds_3} w_{g_{s_3}} |_{s_3=0}$.

3 Lagrangian structures for phonon-phason elasticity

Up to this point just geometry has been involved. In constructing a mechanical model of a body, after the description of its morphology, one discusses the representation of interactions and their balance first, then the explicit representation of constitutive relations. The two issues are essentially separated. The representation of interactions by means of appropriate vectors or higher order tensors is a consequence of the essential geometrical description of the body (interactions are in fact entities power conjugated with the rates of morphological descriptors) and the balance is independent of the constitutive nature of the material.

When we develop Lagrangian and Hamiltonian formalisms as below, in introducing the Lagrangian density just after geometrical issues, we put on the same ground the representation of interactions and constitutive issues because they are mixed in the variational description.

Let us consider a fiber bundle

$$\pi : \mathcal{Y} \to \mathcal{B}_0 \times [0, \bar{t}]$$

such that $\pi^{-1}(X,t) = \mathcal{E}^3 \times V_w$ is the prototype fiber. A generic section $\eta \in \Gamma(\mathcal{Y})$ is then a mapping $\eta : \mathcal{B}_0 \times [0, \bar{t}] \to \mathcal{Y}$ such that $\eta(X,t) = (X, t, x, w)$ with $x$ and $w$ in the fiber $\pi^{-1}(X,t)$. If sufficient smoothness for sections is allowed, the first jet bundle $J^1 \mathcal{Y}$ over $\mathcal{Y}$ is such that

$$J^1 \mathcal{Y} \ni j^1(\eta)(X,t) = (X, t, x, \dot{x}, F, w, \dot{w}, \nabla w).$$

In the conservative case we presume that the canonical Lagrangian 3 + 1 form

$$L : J^1 \mathcal{Y} \to \wedge^{3+1} (\mathcal{B}_0 \times [0, \bar{t}])$$

admits a sufficiently smooth density $\mathcal{L}$ such that

$$L \left( j^1(\eta)(X,t) \right) = \mathcal{L} (X, t, x, \dot{x}, F, w, \dot{w}, \nabla w) d(vol) \wedge dt.$$

with $\mathcal{L}$ defined by

$$\mathcal{L} (X, t, x, \dot{x}, F, w, \dot{w}, \nabla w) = \frac{1}{2} \rho_0 |\dot{x}|^2 + \frac{1}{2} \rho_0 |\dot{w}|^2 -$$

$\text{It is not essential to render precise if the action is from the left or from the right.}$
\(-\rho_0 e (X, F, w, \nabla w) - \rho_0 w (x), \tag{5}\)

where \(\rho_0\) is the referential mass density (conserved during the motion), \(\bar{\rho}\) an inertia coefficient for possible phason kinetics (see [HDW], [RL]), \(e\) the elastic energy density and \(w\) the density of the potential of external actions, all per unit mass. Here we do not consider possible bulk external direct actions on phason changes.

We then evaluate the variation of the total Lagrangian \(\bar{L}(B_0)\) given by 
\(\bar{L}(B_0) = \int_{B_0} d (vol) \wedge dt\) and we may find at least one section (with the properties of \(\bar{\xi}\) and \(\bar{w}\)) satisfying Euler-Lagrange equations for \(\bar{L}(B_0)\), namely
\[
\frac{\delta \dot{\xi}}{\delta x} \mathcal{L} = \frac{\partial x}{\partial \mathcal{L}} - \text{Div} \partial_x \mathcal{L}, \tag{6}
\]
\[
\frac{\delta \dot{w}}{\delta w} \mathcal{L} = \frac{\partial w}{\partial \mathcal{L}} - \text{Div} \partial_w \mathcal{L}. \tag{7}
\]

**Definition 1** (invariance of \(\mathcal{L}\)). \(\mathcal{L}\) is invariant with respect to the action of \(f^1_{\alpha 1}, f^2_{\alpha 2}\) and \(G\) if
\[
\mathcal{L}(X, x, \dot{x}, F, w, \dot{w}, \nabla w) =
\]
\[
= \mathcal{L} \left( f^1, f^2, (\text{grad} f^2) \dot{x}, (\text{grad} f^2) F \left( \nabla f^1 \right)^{-1}, w_g, \dot{w}_g, (\nabla w_g) \left( \nabla f^1 \right)^{-1} \right). \tag{8}
\]
where we indicate with \(f^1, f^2\) and \(w_g\) the values \(f^1_{\alpha 1} (X), f^2_{\alpha 2} (x), w_g (X)\).

Let \(Q\) and \(\bar{\xi}\) be scalar and vector densities given respectively by
\[
Q = \frac{\partial x}{\partial \mathcal{L}} (v - F w) + \frac{\partial w}{\partial \mathcal{L}} (\xi \nabla w - (\nabla w) w), \tag{9}
\]
\[
\bar{\xi} = \mathcal{L} w + (\partial F \mathcal{L})^T (v - F w) + (\partial \nabla w \mathcal{L})^T (\xi \nabla w - (\nabla w) w). \tag{10}
\]

**Theorem 1.** If the Lagrangian density \(\mathcal{L}\) is invariant under \(f^1_{\alpha 1}, f^2_{\alpha 2}\) and \(G\), then
\[
\dot{Q} + \text{Div} \bar{\xi} = 0. \tag{11}
\]

Theorem above is a version for quasiperiodic bodies of Noether theorem. A generalization of it for multifield theories that involve order parameters belonging to abstract manifolds is proven in [CM].

**Corollary 1.** If \(f^2_{\alpha 2}\) alone acts on \(\mathcal{L}\) leaving \(v\) arbitrary, from (11) we get in covariant way the balance of phonon interaction (standard Cauchy’s balance of momentum)
\[
\rho_0 \dot{x} = \rho_0 b + \text{Div} P, \tag{12}
\]
where \(P = -\partial F \mathcal{L}\) is the first Piola-Kirchhoff stress and \(b = \partial_x \mathcal{L}\) the vector of body forces.

7
At each $X$ in $B_0$, $P$ maps linearly normals to surfaces through $X$ into tensions at $x$ in $B$. There is then a map $\hat{P}$ such that $B_0 \in X \mapsto \hat{P}(X) \in \text{Hom}(T^*_X B_0, T^*_x B)$.

Corollary 2. If $G$ arbitrary acts alone on $L$, from (11) we obtain the balance of phason interactions

$$\bar{\rho} \dot{w} = -z + \text{Div} S,$$

in covariant way, where $S = -\partial \nabla w L$ represents phason stress due to the relative influence of the phason activity between neighboring material elements; $z = -\rho_0 \partial w e$ (self-force) describes self-interactions of phason nature within each material element.

At each $X$, $S \in \text{Hom}(T^*_X B_0, T^*_w V)$ and $z \in T^*_w V$. The phason stress $S$ maps linearly normals to surfaces through $X$ in $B_0$ into tensions of phason nature, i.e. elements of $T^*_w V \simeq \mathbb{R}^3$.

Corollary 3. Let $G = SO(3)$ and, for any element $\dot{q} \wedge$ of its Lie algebra, $f^2_{s_1}$ be such that $v = \dot{q} \wedge (X - x_0)$ with $x_0$ a fixed point in space. If $L$ is independent of $x$ and only the special choices of $f^2_{s_2}$ and $G$ just defined act on $L$, one gets from (11)

$$\text{skw} \left( \partial_F e F^T + w \otimes \partial_w e + (\nabla w) \partial \nabla w e^T \right) = 0,$$

where $\text{skw} (\cdot)$ extracts the skew-symmetric part of its argument.

Corollary 4. If $f^1_{s_1}$ alone acts on $L$, with $w$ arbitrary, from (11) one gets

$$\left( F^T \partial_x L + \nabla w \partial_x L \right) - \text{Div} \left( P - \frac{1}{2} (\rho_0 |\dot{x}|^2 + \bar{\rho} |\dot{w}|^2) I \right) - \partial X L = 0$$

where $P = \rho_0 e I - F^T P - \nabla w^T S$, with $I$ the second order unit tensor, is a generalized Eshelby tensor accounting for phason activity (a special case of the general one obtained in [M]).

Corollary 5. Let $G = SO(3)$ and, for any element $\dot{q} \wedge$ of its Lie algebra, $f^1_{s_1}$ is such that $w = \dot{q} \wedge (X - X_0)$ with $X_0$ a fixed point in $B_0$. If the body is homogeneous, and only the special choices of $f^2_{s_2}$ and $G$ just defined act on $L$, $P$ is symmetric.

Remark 1 (reduction to IQ). In the case of IQ, the elastic potential $e$ does not depend on $w$ and inertial effects associated with phason modes are absent. So, in the purely conservative case the balance of phason interactions and (14) become

$$\text{Div} S = 0, \quad \text{skw} \left( \partial_F e F^T + (\nabla w) \partial \nabla w e^T \right) = 0,$$

respectively.
Remark 2. Notice that for fixed \( w \) and \( \nabla w \) a standard result of non-linear elasticity must hold: namely \( e(\cdot, w, \nabla w) \) cannot be convex in \( F \) for reasons of \( SO(3) \) invariance when large deformations occur. The same property needs to be satisfied by \( e(\cdot, \nabla w) \) in the case of IQ.

3.1 Universal phonon-phason changes in quasicrystals

For an icosahedral quasicrystal (IQ) we say that the deformation is affine when \( F' \) does not depend on \( X \).

Moreover, if we consider deformations that can be controllable just by applied macroscopic tractions, excluding in this way body forces, we call universal all deformations that can occur in these conditions for all bodies in a given class.

Theorem 2. Let the mappings \((F, \nabla w) \mapsto \tilde{P} = \tilde{P}(F, \nabla w)\) and \((F, \nabla w) \mapsto \tilde{S} = \tilde{S}(F, \nabla w)\) admit bounded partial derivatives with respect to their entries and, at each \( X \),

\[
\det \begin{pmatrix} \partial_F P & \partial_{\nabla w} P \\ \partial_{\nabla S} P & \partial_{\nabla w} S \end{pmatrix} \neq 0.
\] (17)

All universal static deformations of homogeneous (purely) elastic quasicrystals satisfying the restriction (17) are affine.

Such a theorem is in a certain sense a middle generalization of a standard result in non-linear elasticity theory of simple bodies (see [A], p. 506). Here the difference relies upon the circumstance that phason degrees of freedom are involved.

To prove it, first recall that in absence of body forces and in conditions of homogeneity, for IQ the equilibrium equations read

\[
\text{Div} P = 0, \quad \text{Div} S = 0.
\] (18)

We have also

\[
\text{Div} P = (\partial_F P) \nabla F + (\partial_{\nabla w} P) \nabla \nabla w = 0,
\] (19)

\[
\text{Div} S = (\partial_{\nabla w} S) \nabla F + (\partial_{\nabla w} S) \nabla \nabla w = 0,
\] (20)

i.e.

\[
\alpha_1 \nabla F + \alpha_2 \nabla \nabla w = 0, \quad (21)
\]

\[
\alpha_3 \nabla F + \alpha_4 \nabla \nabla w = 0, \quad (22)
\]

with \( \alpha_i \)'s fourth-order tensors that are arbitrary because the explicit form of the mappings \( \tilde{P} \) and \( \tilde{S} \) is not specified. They are also constant because the material is homogeneous. Consequently, thanks to (17), the solution to (21) and (22) provides \( \nabla F = 0 \) and \( \nabla \nabla w = 0 \), that is \( F \) and \( \nabla w \) must be constant. As a consequence, thanks to the additive decomposition \( F' = F + \nabla w \), \( F' \) is constant as well.
3.2 Mutations of material metric

The extended Eshelby tensor $\mathcal{P} = \rho_0 \mathbf{I} - \mathbf{F}^T \mathcal{P} - \nabla \mathbf{w}^T \mathbf{S}$, accounting for phason effects, enters the picture of the interactions involved in mutations (such as evolution of defects, interfaces etc.) [M]. These mutations may be represented by means of 'alterations' of the geometrical structure of $\mathcal{B}_0$ which, on the contrary, would remain fixed once and for all. In particular, we focus here our attention just on mutations that may involve changes in the material metric $\gamma$ defined on $\mathcal{B}_0$ and energy associated with them. Such a kind of situation may occur in plastic flows (see [CM98]) or in the alteration of possible pre-stressed states (see, e.g., [M1]). From now on we assume in this section $\rho_0 = 1$ for notational convenience.

We then consider (with some slight abuse of notation) a density of elastic energy of the form

$$ e = \tilde{e} (\mathbf{\gamma}, \mathbf{F}, \mathbf{w}, \nabla \mathbf{w}) $$

in which we express explicitly the presence of $\mathbf{\gamma}$ and require that $\tilde{e}$ is invariant under the action of the group of point-valued diffeomorphisms defined on $\mathcal{B}_0$ and altering it.

In other words, we require that $\tilde{e}$ is invariant under virtual superposition of sufficiently smooth deformations altering the reference configuration. Notice that this kind of request of invariance is more than the request of invariance with respect to relabeling because here it is not required that diffeomorphisms involved are isocoric.

To this end, we then consider a one-parameter family $\mathbf{h}_s$ of sufficiently smooth point-valued diffeomorphisms defined over $\mathcal{B}_0$ and indicate with $\mathbf{u}$ and $\mathbf{H}_s$ the derivatives $\frac{d}{ds} \mathbf{h}_s \mid_{s=0}$ and $\nabla \mathbf{h}_s$, respectively. After the action of $\mathbf{h}_s$, the density $e$ changes as

$$ e \xrightarrow{\mathbf{h}_s} e_{\mathbf{h}_s} = (\det \mathbf{H}_s) \tilde{e} (\mathbf{H}_s^T \mathbf{\gamma} \mathbf{H}_s^{-1}, \mathbf{F} \mathbf{H}_s^{-1}, \mathbf{w}, (\nabla \mathbf{w}) \mathbf{H}_s^{-1}) . $$

**Theorem 3.** If for IIC and IQ the energy density $e$ depends on the metric $\mathbf{\gamma}$ in $\mathcal{B}_0$ and is invariant in the sense defined above, one gets

$$ \mathcal{P}^A_B = 2 (\partial_{\mathbf{\gamma}} e)^{AC} \mathbf{\gamma}_{CB} . $$

The proof relies upon the circumstance that the requirement of invariance of $e$ under the action of $\mathbf{h}_s$ implies $\frac{d}{ds} e_{\mathbf{h}_s} \mid_{s=0}$. It coincides with

$$ \tilde{e} (\mathbf{\gamma}, \mathbf{F}, \mathbf{w}, \nabla \mathbf{w}) \text{tr} \nabla \mathbf{u} - \partial_{\mathbf{\gamma}} e \cdot (\nabla \mathbf{u})^T \mathbf{\gamma} + \mathbf{\gamma} (\nabla \mathbf{u}) - $$

$$ - \partial_{\mathbf{F}} e \cdot \mathbf{F} \nabla \mathbf{u} - \partial_{\mathbf{w}} e \cdot (\nabla \mathbf{w}) \nabla \mathbf{u} = 0 $$

since $\frac{d}{ds} \mathbf{H}_s^{-1} \mid_{s=0} = -\nabla \mathbf{u}$. As a consequence of the symmetry of $\mathbf{\gamma}$, we then get

$$ (\epsilon \mathbf{I} - \mathbf{F}^T \mathbf{P} - \nabla \mathbf{w}^T \mathbf{S} - 2 (\partial_{\mathbf{\gamma}} e) \mathbf{\gamma}) \cdot \nabla \mathbf{u} = 0 , $$

10
which implies (25), thanks to the arbitrariness of $\nabla u$.

**Remark 3.** Notice that

$$P^{AD} = P_B^{A} \gamma^{BD} = 2 (\partial_\gamma e)^{AC} \gamma^{CB} \gamma^{BD} = 2 (\partial_\gamma e)^{AD}$$

is symmetric since $\gamma$ does.

### 4 Elementary Hamiltonian structures

Hamiltonian structures follow in a natural way from the Lagrangian representation described so far. Let in fact $p$ and $\mu$ be respectively the canonical momentum and the canonical phason momentum defined by $p = \partial L / \partial \dot{x}$ and $\mu = \partial L / \partial \dot{w}$.

The Hamiltonian density $H$ is then given by

$$H(X, x, p, F, w, \mu, \nabla \nu) = p \dot{x} + \mu \dot{w} - L(X, x, \dot{x}, F, w, \dot{w}, \nabla w).$$

(29)

In terms of partial derivatives of $H$, the balances (6) and (7) can be written as

$$\dot{p} = -\partial_x H + \text{Div}\partial_F H,$$

$$\dot{x} = \partial_p H,$$

(30)

$$\dot{\mu} = -\partial_w H + \text{Div}\partial_{\nabla w} H,$$

$$\dot{w} = \partial_\mu H,$$

(31)

which are Hamilton equations for IIC. In the case of IQ, $w$ disappears in the list of entries of $H$ and (31) reduces to

$$\dot{\mu} = \text{Div}\partial_{\nabla w} H,$$

$$\dot{w} = \partial_\mu H.$$

(32)

Both in the case of IIC and IQ, general boundary conditions of the type

$$x(X) = \bar{x} \quad \text{on} \ \partial^{(x)} B_0,$$

(33)

$$\partial_p H n = t \quad \text{on} \ \partial^{(t)} B_0,$$

(34)

$$w(X) = \bar{w} \quad \text{on} \ \partial^{(w)} B_0,$$

(35)

$$\partial_{\nabla w} H n = t \quad \text{on} \ \partial^{(t)} B_0,$$

(36)

hold, where $\bar{x}$, $t$, $\bar{w}$ and $t$ are prescribed on the relevant parts $\partial^{(t)} B_0$ of the boundary, chosen to be such that $\partial^{(x)} B_0 \cap \partial^{(t)} B_0 = \emptyset$ with $Cl(\partial B_0) = Cl(\partial^{(x)} B_0 \cup \partial^{(t)} B_0)$. 11
and $\partial^{(w)} B_0 \cap \partial^{(t)} B_0 = \emptyset$ with $\text{Cl} (\partial B_0) = \text{Cl} \left( \partial^{(w)} B_0 \cup \partial^{(t)} B_0 \right)$, where $\text{Cl}$ indicates closure and $\mathbf{n}$ is the outward unit normal to $\partial B_0$ at all points in which it is well defined.

Hamilton equations above are special cases of the ones discussed in [CM], where general order parameter fields taking values on an abstract manifold are accounted for.

It is rather difficult to imagine a loading device prescribing phason tractions $t$ at the boundary. Problems with traction data might thus involve the existence of at least one surface density $U (w)$ such that $t = \rho_0 \partial_w U$ if not another density of the type $\bar{U} (x)$ with $t = \rho_0 \partial_x \bar{U}$. In this way one considers the external boundary as a structured surface enveloping the body.

In this case, the Hamiltonian $H$ of the whole body is then given by

$$H (x, p, w, \mu) = \int_{B_0} \mathcal{H} (X, x, p, w, \mu) \, d (\text{vol}) -$$

$$- \int_{\partial^{(2)} B_0} (\bar{U} (x) - U (w)) \, d (\text{area}), \quad (37)$$

where $\partial^{(2)} B_0 = \partial^{(t)} B_0 \cup \partial^{(1)} B_0$. Notice that we write $H (X, x, p, w, \mu)$ instead of $H (X, x, p, F, w, \mu, \nabla w)$ because below we consider directly variational derivatives.

**Theorem 4.** The canonical Hamilton equation

$$\dot{F} = \{ F, H \}$$

is equivalent to the Hamiltonian system of balance equations (30)-(31) for a quasiperiodic body where $F$ is any functional of the type $\int_{B_0} f (X, x, p, w, \mu)$, with $f$ a sufficiently smooth scalar density, and the bracket $\{ \cdot, \cdot \}$ is given by

$$\{ F, H \} = \int_{B_0} \left( \frac{\delta f}{\delta x} \frac{\delta \mathcal{H}}{\delta p} - \frac{\delta \mathcal{H}}{\delta x} \cdot \frac{\delta f}{\delta p} \right) \, d (\text{vol}) +$$

$$+ \int_{\partial^{(1)} B_0} \left( \frac{\delta f}{\delta x} \frac{\delta \mathcal{H}}{\delta p} \big|_{\partial^{(t)} B_0} - \frac{\delta \mathcal{H}}{\delta x} \cdot \frac{\delta f}{\delta p} \big|_{\partial^{(t)} B_0} \right) \, d (\text{area}) +$$

$$+ \int_{\partial^{(1)} B_0} \left( \frac{\delta f}{\delta w} \frac{\delta \mathcal{H}}{\delta \mu} \big|_{\partial^{(t)} B_0} - \frac{\delta \mathcal{H}}{\delta w} \cdot \frac{\delta f}{\delta \mu} \big|_{\partial^{(t)} B_0} \right) \, d (\text{area}) +$$

$$+ \int_{B_0} \left( \frac{\delta f}{\delta w} \frac{\delta \mathcal{H}}{\delta \mu} - \frac{\delta \mathcal{H}}{\delta w} \cdot \frac{\delta f}{\delta \mu} \right) \, d (\text{vol}), \quad (39)$$

where the variational derivative $\frac{\delta \mathcal{H}}{\delta x}$ is obtained fixing $p$ and allowing $x$ to vary; an analogous meaning is valid for the variational derivative with respect to the phason degree of freedom.

The proof follows by direct calculation (see a more general version of this theorem in [CM]).

**Remark 4.** The bracket $\{ \cdot, \cdot \}$ is bilinear, skew symmetric and satisfies Jacobi identity.
Remark 5. For \( F = H \), (38) coincides with the equation of conservation of energy.

Let us consider a boundary value problem in which traction data are not prescribed at the boundary where just conditions like (33) and (35) hold. In this case (39) reduces to

\[
\{ F, H \} = \int_{B_0} \{ f, H \} \ d (vol),
\]

where

\[
\{ f, H \} = \left( \frac{\delta f}{\delta x} \cdot \frac{\delta H}{\delta p} - \frac{\delta H}{\delta x} \cdot \frac{\delta f}{\delta p} \right) + \left( \frac{\delta f}{\delta w} \cdot \frac{\delta H}{\delta \mu} - \frac{\delta H}{\delta w} \cdot \frac{\delta f}{\delta \mu} \right).
\]

It is matter of simple calculation to verify that \( \{ \cdot, \cdot \} \) is bilinear, skew-symmetric, satisfies Jacobi identity and also Leibniz identity. Then, \( \{ \cdot, \cdot \} \) induces relevant Poisson structures.

A purely spatial representation of all structures above described is available with all fields defined over \( B \) rather than \( B_0 \). Then \( B_0 \) does not appear more neither as a reference place nor as a model of paragon for quantities involved in the mechanical model. In a spatial representation we start assuming a structure for Hamiltonian density of the form

\[
\tilde{\mathcal{H}} (x, p, g, w_a, \mu_a, \text{grad} w_a),
\]

where now, at a given \( t \), \( \mu_a \) is the canonical momentum conjugated with \( \dot{w}_a \) and \( \mathcal{H} \) depends also on the spatial metric \( g \) rather than the gradient of deformation \( F \) because no reference to \( B_0 \) is made. In this case, Hamilton equations read for IIIC

\[
\dot{p} = -\partial_p \mathcal{H} + \text{div} \left( 2 \partial_g \mathcal{H} - (\text{grad} \dot{w})^T \partial_{\text{grad} \dot{w}} \mathcal{H} \right),
\]

\[
\dot{x} = \partial_p \mathcal{H},
\]

\[
\dot{\mu} = -\partial_w \mathcal{H} + \text{div} \partial_{\text{grad} \dot{w}} \mathcal{H},
\]

\[
\dot{w} = \partial_\mu \mathcal{H},
\]

with the obvious reduction to IQ. Here, the Cauchy stress \( \sigma \) is then given by

\[
\sigma = 2 \partial_g \mathcal{H} - (\text{grad} \dot{w})^T \partial_{\text{grad} \dot{w}} \mathcal{H}.
\]

The term \( (\text{grad} \dot{w})^T \partial_{\text{grad} \dot{w}} \mathcal{H} \) rules an exchange of energy between the gross scale of macroscopic deformation and the finer scale of phason changes, and vice versa. An analogous phenomenon occurs in complex fluids where topological transitions in the flows may be generated by this type of energy exchanges [M03].
5 Surfaces of discontinuity: conservative behavior

Surfaces of discontinuity: conservative behavior

Surfaces across which some quantities undergo finite jumps may occur in IIC and IQ. They may be shock or acceleration waves, dislocations, closed cracks and so on (see also remarks in [ML]). To describe their behavior, say rules for their potential evolution, one needs not only to account for their geometry and the action of standard interactions due to deformation processes, but also to phason interactions.

Below we consider discontinuity surfaces endowed with own surface energy which models the physical circumstance that interfaces are often regions in a metastable state with an high concentration of energy [ML]. We allow not only discontinuities of the standard gradient of deformation $F$ across the surface but also jumps of $w$ and its gradient. Really, one may argue that the presence of $\nabla w$ in the list of entries of the energy takes into account in a regularized way the possible presence of grain boundaries. This is true when the grain boundary is between two regions with constant phason activity. However, in presence of defects or in presence of subgrains containing ‘worms’ (i.e. the topological alterations of lattices assuring quasiperiodicity), we may have interaction between diffuse interfaces and sharp discontinuity surfaces. This is exactly the situation that we are analyzing here.

5.1 A single discontinuity surface in $B_0$

Let $\Sigma$ be a single surface coinciding with $\{X \in \partial B_0, \ g(X) = 0\}$, where $g$ is a smooth function (smoothness chosen for the sake of simplicity) with non-singular gradient. It is oriented by the normal vector field $m = \tilde{m}(X) = \nabla g(X) / |\nabla g(X)|$.

Let $\mathbf{X} \mapsto \mathbf{a} = \tilde{a}(\mathbf{X})$ be a field taking values in a linear space and suffering bounded discontinuities across $\Sigma$. For $\varepsilon > 0$ we indicate with $a^\pm$ the limits $\lim_{X \in \Sigma} a(X \pm \varepsilon m)$. The jump $[a]$ of $a$ across $\Sigma$ is defined by $[a] = a^+ - a^-$. While the average $\langle a \rangle$ by $2 \langle a \rangle = a^+ + a^-$. If fields $a_1$ and $a_2$ have the same properties of $a$ we have $[a_1 a_2] = [a_1] [a_2] + [a_1] [a_2]$ with the product $a_1 a_2$ defined in some way assuring distributivity.

$\Sigma$ is coherent when at each $\mathbf{X}$ one gets $[F] (I - m \otimes m) = 0$.

For any ‘virtual’ motion to $\Sigma$ prescribed by means of a vector field $\mathbf{X} \mapsto \dot{\mathbf{x}} = \tilde{\dot{x}}(\mathbf{X}) \in \mathbb{R}^3$ (46)

with normal component $U = \mathbf{w} \cdot m$ and assume that the velocity $\dot{\mathbf{x}}$ may suffer bounded jumps across $\Sigma$, we get the condition $[\dot{\mathbf{x}}] = -U [F] m$.

At each $\mathbf{X} \in \Sigma$ we define the surface deformation gradient $\mathcal{F}$ as $\langle F \rangle (I - m \otimes m) \in Hom (T_X \Sigma, T_X B)$ and indicate with $\nabla w$ the projection over $\Sigma$ of the average of $\nabla w$, namely $\langle \nabla w \rangle (I - m \otimes m) \in Hom (T_X \Sigma, T_w V_w)$.
5.2 Phonon and phason surface measures of interaction and their balance

We consider Σ endowed with a surface energy density φ assumed to be sufficiently smooth and given by

$$\tilde{\phi} \mapsto \phi = \tilde{\phi} (\mathbf{m}, F, \langle w \rangle, N).$$

(47)

The dependence of φ on m accounts for anisotropy of Σ.

We require the invariance of φ with respect to

(i) changes of observers and

(ii) relabeling of Σ.

As discussed above, changes of observers are characterized by the action of the group of automorphisms of $E^3$ and of a generic Lie group over $V_w$. However, the definition of $f_1$ needs to be modified in order to describe the relabeling of Σ in addition to the overall relabeling of $B_0$.

We should then consider time-parametrized families $s_1 \mapsto \hat{f}_1 s_1$ of elements of $SDiff (B_0)$ characterized by the properties listed below (see [dFM]).

1. The map $s_1 \mapsto \hat{f}_1 s_1$ satisfies A1. Moreover, the field $B_0 \ni X \mapsto \tilde{w} (X) = \hat{w} \circ \hat{f}_1^{-1} (X)$ is at least of class $C^1 (B_0)$, then across and along Σ.

2. Each $\hat{f}_1$ preserves the elements of area of Σ. Namely, if $dA$ is the element of area of Σ in $B_0$, $dA = \hat{f}_1^* \circ dA$, where the asterisk indicates push forward.

3. $(\nabla w) m = 0$.

4. $\nabla \Sigma v_m = 0$, with $v_m = w \cdot m$.

**Definition 2** (invariance of φ). A surface energy density φ is invariant with respect to the action of $f_1, f_2$ and G if

$$\tilde{\phi} (\mathbf{m}, F, \langle w \rangle, N) = \tilde{\phi} \left( \nabla \tilde{f}_1^T \mathbf{m}, (\text{grad}_\Sigma f^2) F \left( \nabla \tilde{f}_1 \right)^{-1}, \langle w \rangle, N_g \left( \nabla \tilde{f}_1 \right)^{-1} \right),$$

(48)

for any $g \in G$ and $s_1, s_2 \in \mathbb{R}^+$, where $N_g = \langle \nabla w_g \rangle (I - m \otimes m)$ and we have used notations common to Definition 1.

Let $\mathbf{X}$ be a sufficiently smooth vector density defined over Σ by

$$\mathbf{X} = -\phi \Pi w + (\partial_\theta \phi)^T (\mathbf{v} - (F) w) + (\partial_\xi \phi)^T (\xi_{V_w} (\langle w \rangle) - (\nabla w) w) - (\partial_m \phi \otimes m) w.$$

(49)
Theorem 5. Let \( \Sigma \) be a structured surface with surface energy \( \phi \). Let us assume
\[
\frac{d}{dt} \int_{b\Sigma} Q d(vol) + \int_{\partial b\Sigma} \mathbf{\bar{F}} \cdot \mathbf{n} d(area) + \int_{\partial(b\Sigma \cap \Sigma)} \mathbf{X} \cdot \mathbf{n} d(length) = 0 \tag{50}
\]
for any part \( b\Sigma \) of \( B_0 \) crossing \( \Sigma \). If \( \mathcal{L} \) and \( \phi \) are invariant with respect to \( \hat{f}^1 \), \( \hat{f}^2 \), and \( G \), covariant pointwise balances across \( \Sigma \) follow as in the list below.

1. The action of \( f^2 \) alone implies the interfacial balance of standard interactions
\[
[\mathcal{P}] \mathbf{m} + \text{Div}_\Sigma \mathbf{T} = -\rho_0 [\mathbf{x}] \mathbf{U}, \tag{51}
\]
where \( \mathbf{T} = -\partial_t \phi \in \text{Hom}(T_X \Sigma, T^*_x B) \) is the surface Piola-Kirchhoff stress.

2. The action of \( G \) alone implies the interfacial balance of substructural interactions
\[
[S] \mathbf{m} + \text{Div}_\Sigma \mathbf{S} - \mathbf{z} = -\bar{\rho} [\mathbf{w}] \mathbf{U}, \tag{52}
\]
where \( \mathbf{S} = -\partial_t \phi \in \text{Hom}(T_X \Sigma, T^*_w V_w) \) is the surface microstress and \( \mathbf{z} = \partial_w \phi \in T^*_w V_w \) the surface self-force.

3. The action of \( \hat{f}^1 \) alone implies the interfacial configurational balance along the normal \( \mathbf{m} \) in absence of dissipative forces driving \( \Sigma \), namely
\[
\mathbf{m} \cdot [\mathcal{P}] \mathbf{m} + \mathcal{C}_{\text{tan}} \cdot \mathbf{L} + \text{Div}_\Sigma \mathbf{c} = \mathbf{m} \cdot [\mathbf{P}] \mathbf{m} + \mathcal{C}_{\text{tan}} \cdot \mathbf{L} + \text{Div}_\Sigma \mathbf{c} = \\
= \bar{\rho} \mathbf{U} \left[ (\nabla \mathbf{w})^T \mathbf{\hat{w}} \right] \cdot \mathbf{m} + \frac{1}{2} \bar{\rho} \left[ |\mathbf{\hat{w}}|^2 \right] - \frac{1}{2} \rho_0 U^2 \left[ |\mathbf{Fm}|^2 \right], \tag{53}
\]
where
\[
\mathcal{C}_{\text{tan}} = \phi \Pi - \mathbf{F}^T \mathbf{T} - \mathbf{N}^T \mathbf{S} \tag{54}
\]
is a generalized version of the surface Eshelby stress and
\[
\mathbf{c} = -\partial_m \phi - \mathbf{T}^T (\mathbf{F}) \mathbf{m} - \mathbf{S}^T (\nabla \mathbf{w}) \mathbf{m} \tag{55}
\]
is a surface shear.

An analogous theorem can be found in [dFM]. However, though there order parameters taking values in an abstract manifold \( \mathcal{M} \) are considered instead of phonon modes (so, there, the point of view involves a unifying framework for models of condensed matter physics), such order parameters are assumed to be continuous across \( \Sigma \). Here, on the contrary, we allow jumps of \( \mathbf{w} \). The proof below follows the one of the theorem in [dFM] quoted above. We adapt it to the situation envisaged here with slight modifications and report it with a certain number of details just for the sake of completeness. In any case when in multifield theories the order parameter field takes values on a linear space, it can be considered discontinuous at the sharp discontinuity surface (if it exists).
and the counterpart of theorem above holds. On the contrary, when the manifold \( M \) of substructural morphologies does not coincide with a linear space, since \( M \) has finite dimension, it can be embedded isometrically in an appropriate linear space. However, the embedding itself becomes a prominent part of modeling. Although the isometric embedding is preferable because it preserves the quadratic part of the substructural kinetic energy (if it exists as in IIC), in fact, such an embedding is not unique (as non-isometric ones) and also not ‘rigid’. Then, the selection of the appropriate embedding (if necessary in the case of abstract order parameters) is not simple and general criteria suggested by physical instances seems to be not known.

**Proof.**

Conditions assuring the invariance of \( \dot{\phi} \) with respect to changes of observers and relabeling are given by \( \frac{d}{ds_i} \phi \big|_{s_1=0,s_2=0,s_3=0} = 0 \), with \( i = 1,2,3 \). They correspond to

\[
F^T \cdot \nabla \Sigma w + N^T \cdot \nabla \Sigma w + \partial_m \phi \cdot (\nabla w) w = 0, \tag{56}
\]

\[
\mathbb{T} \cdot \nabla \Sigma v = 0, \tag{57}
\]

\[
\mathbb{J} \cdot \xi_{vw} ((w)) + S \cdot \nabla \Sigma \xi_{vw} ((w)) = 0. \tag{58}
\]

If we shrink \( \mathbb{b}_\Sigma \) to \( \mathbb{b}_\Sigma \cap \Sigma \) uniformly in time, we get the pointwise balance (see [dFM])

\[
-[Q]U + [\mathbb{J}] \cdot m + \text{Div}_\Sigma \mathcal{X} = 0, \tag{59}
\]

as a consequence of the arbitrariness of \( \mathbb{b}_\Sigma \).

If \( \mathfrak{f}^2 \) acts alone, then

\[
\mathcal{X} = \mathbb{T}^T v, \quad Q = \rho \dot{x} \cdot v, \quad \mathfrak{f} = -P^T v, \tag{60}
\]

so that, as a consequence of \( [\mathbb{J}] \), \( \text{Div}_\Sigma \mathcal{X} = v \cdot \text{Div}_\Sigma \mathbb{T} \). The arbitrariness of \( v \) and its continuity across \( \Sigma \) implies \( [\mathfrak{f}] \) from \( [\mathfrak{f}] \).

If \( G \) acts alone, we get

\[
\mathcal{X} = \mathbb{S}^T \xi_{vw} ((w)), \quad Q = \rho \dot{w} \cdot \xi_{vw} ((w)), \quad \mathfrak{f} = -\mathbb{S}^T \xi_{vw} ((w)), \tag{61}
\]

and, from \( [\mathfrak{f}] \),

\[
\text{Div}_\Sigma \mathcal{X} = \xi_{vw} ((w)) \cdot (\text{Div}_\Sigma \mathbb{S} - \mathbb{J}). \tag{62}
\]

Then, from \( [\mathfrak{f}] \) we obtain \( [\mathfrak{f}] \) thanks to the arbitrariness of the element \( \xi \) selected in the Lie algebra of \( G \).

If \( \hat{\mathfrak{f}}^1 \) acts alone, then

\[
Q = -\rho \mathbb{P}^T \dot{x} \cdot w - \rho (\nabla w)^T \dot{w} \cdot w, \tag{63}
\]

\[
\mathfrak{f} = \left( \frac{1}{2} \rho |\dot{x}|^2 + \frac{1}{2} \rho |\dot{w}|^2 \right) \mathbb{I} - \mathbb{P} \right) w \tag{64}
\]
with $\mathcal{C}_{\text{tan}}$ and $c$ defined respectively by (54) and (55) and $v_m = w \cdot m$.

Terms of equation (59) then become in this case

$$-[Q]U + [\tilde{\mathcal{S}}] \cdot m = \rho_0 |F^T \mathbf{x}| U \cdot w + \tilde{\rho} ([\nabla w]^T \mathbf{w}) U \cdot w +$$

$$+ \frac{1}{2} \rho_0 |\dot{x}|^2 w \cdot m + \frac{1}{2} \tilde{\rho} |\dot{\mathbf{w}}|^2 w \cdot m - [P] w \cdot m,$$  \hfill (66)

$$\text{Div}_\Sigma (\mathcal{C}_{\text{tan}}^T w + cv_m) = w \cdot (\text{Div}_\Sigma \mathcal{C}_{\text{tan}} + (\text{Div}_\Sigma c) m),$$  \hfill (67)

where the second equation is a consequence of (56), the circumstance that $(I - m \otimes m) \cdot \nabla \mathbf{w} = ((\nabla w) m) \cdot m$, since $w$ is isocoric, and properties 3 and 4 of the definition of the relabeling $\hat{f}_1$ of $B_0$ including $\Sigma$.

By inserting (66) and (67) in (59), the arbitrariness of $w$ implies

$$\rho_0 |F^T \mathbf{x}| U \cdot m + \tilde{\rho} ([\nabla w]^T \mathbf{w}) U + \frac{1}{2} \rho_0 |\dot{x}|^2 m +$$

$$+ \frac{1}{2} \rho_0 |\dot{\mathbf{w}}|^2 m = [P^T] m + \text{Div}_\Sigma \mathcal{C}_{\text{tan}} + (\text{Div}_\Sigma c) m$$  \hfill (68)

and we shall evaluate the component along $m$ of (68).

By indicating with $\ddot{\mathbf{v}}$ the averaged velocity $\ddot{\mathbf{v}} = \langle \dot{\mathbf{x}} \rangle + U \langle F \rangle m$ and using the relation $[\dot{x}] = -U [F] m$ mentioned previously, we then get

$$\rho_0 |F^T \mathbf{x}| U + \tilde{\rho} ([\nabla w]^T \mathbf{w}) U + \frac{1}{2} \rho_0 |\dot{x}|^2 m +$$

$$+ \frac{1}{2} \rho_0 |\dot{\mathbf{w}}|^2 m = [P^T] m + \text{Div}_\Sigma \mathcal{C}_{\text{tan}} + (\text{Div}_\Sigma c) m$$  \hfill (69)

where $\frac{1}{2} \rho_0 |\dot{x} - \ddot{\mathbf{v}}|^2$ is the relative kinetic energy referred to $\Sigma$. We also get

$$\frac{1}{2} \rho_0 |\dot{x}|^2 = -\rho_0 [\dot{x}] \cdot \ddot{\mathbf{v}} + \frac{1}{2} \rho_0 U^2 |[F] m|^2.$$  \hfill (70)

by using once more $[\dot{x}] = -U [F] m$ and the definition of $\ddot{\mathbf{v}}$. By evaluating the normal component of (68), using (69), (70) and taking into account that $m \cdot \text{Div}_\Sigma \mathcal{C}_{\text{tan}} = \mathcal{C}_{\text{tan}} \cdot L$, as it is simple to verify (see Lemma 2 in [dFM]), we get (48) and the theorem is proven.

**Remark 6.** Of course, for unstructured interfaces, i.e. in absence of surface energy, interfacial balances at items 1, 2 and 3 of Theorem 5 become respectively

$$[P] m = -\rho_0 [\dot{x}] U,$$  \hfill (71)

$$[S] m = -\tilde{\rho} [\dot{w}] U,$$  \hfill (72)

$$m \cdot [P] m = \tilde{\rho} U [(\nabla w)^T \mathbf{w}] \cdot m + \frac{1}{2} \tilde{\rho} [\dot{w}^2] - \frac{1}{2} \rho_0 U^2 [|[F] m|^2].$$  \hfill (73)
6 Phason friction

Non-conservative phenomena may occur in quasicrystals and involve different mechanisms such as (for example) viscous effects or plastic flows. Below we discuss just possible viscous effects due to ‘internal’ friction of phason nature. To fix ideas we restrict ourselves first to the case in which just friction of purely local nature exists. To account for it we may follow different ways. A classical one (see [BKMR] and [MR] for deep remarks about it) involves an integral Lagrange-d’Alambert principle. Preliminarily, we recall that balance equations (6) and (7) come from a variational principle of the form

\[ \delta \int_{B_0 \times [0, \bar{t}]} L \left( j^1(\eta)(X, t) \right) d (vol) \wedge dt = 0. \]  

(74)

To account for possible internal friction of pure phason nature, we may then consider the following Lagrange-d’Alembert principle:

\[ \delta \left( \int_{B_0 \times [0, \bar{t}]} L \left( j^1(\eta)(X, t) \right) d (vol) \wedge dt \right) + \int_{B_0 \times [0, \bar{t}]} z^v \cdot \delta w \ d (vol) \wedge dt = 0, \]  

(75)

with

(a) \( z^v = \tilde{z}^v(F, w, \nabla w, \dot{w}) \in T^*_w V_w, \)

(b) \( z^v \cdot \dot{w} \geq 0, \ \forall \dot{w}. \)

The property (b) declares that the ‘viscous’ self-force \( z^v \) is purely dissipative. In other words, one may say that formally (as it will be clarified further in next section) a balance of phason interactions of the form

\[ \bar{\rho} \dot{w} = -z + \text{Div}S \]  

(76)

still holds in non-conservative case, but with \( z \), the self-force of phason nature, admitting an additive decomposition of the form \( z = z^{eq} + z^v \), with \( z^{eq} \) the part coming from thermodynamic equilibrium as in Corollary 2 and \( z^v \) of purely dissipative nature. The abuse of notation between (13) and (76) is rather negligible because though the measures of interactions involved (namely phason stresses and self-forces) are placed within different thermodynamical settings, their nature is the same: they represent in fact interactions between neighboring material elements (the contact interactions represented by \( S \)) and interactions occurring within each material element.

The inequality in (b) is satisfied by an expression of the type

\[ z^v = c \dot{w}, \]  

(77)

with \( c = \tilde{c}(F, w, \nabla w, \dot{w}) \) and \( \tilde{c} \) a scalar definite positive function such that \( \tilde{c}(F, w, \nabla w, 0) = 0 \). In this case the balance of phason interactions becomes

\[ \bar{\rho} \dot{w} = \text{Div}(\partial_{\nabla w} e) - \partial_w e - c \dot{w}, \]  

(78)
in the general case, while its reduced form for IQ is given by
\[ c \dot{w} = \text{Div} (\partial_{\nabla w} e). \] (79)
Of course, property (b) may imply explicit forms of \( z^v \) more articulated than (77) and involving a structure of the type \( z^v = A \dot{w} \) with \( A \) a second order definite positive tensor. Constitutive structures for \( z^v \) involving tensor coefficients may also satisfy frame indifferent conditions (see discussions in [A] and [Si] about viscous stresses in classical viscoelasticity).

Equation (79) fits the minimal model proposed in [RoLo].

We may consider also phason friction effects of weakly non-local (or better, gradient) nature. To this end we may consider not only a thermodynamic non equilibrium part \( z^v \) of the internal self-force appearing in the sum \( z = z^e + z^v \) but also a dissipative phason stress \( S^v \) satisfying the decomposition \( S = S^e + S^v \) with \( S^e \) as in Corollary 2 and \( S^v \) of purely dissipative nature expressed by the inequality
\[ z^v \cdot \dot{w} + S^v \cdot \nabla \dot{w} \geq 0 \] (80)
that we presume to be satisfied by any choice of the rates involved. A possible solution of the inequality (80) is given by
\[ z^v = c^* \dot{w}, \quad S^v = \omega \nabla \dot{w}, \] (81)
with \( c^* = \tilde{c}^* (F, w, \nabla w, \dot{w}, \nabla \dot{w}), \omega = \tilde{\omega} (F, w, \nabla w, \dot{w}, \nabla \dot{w}) \), and \( \tilde{c}^*, \tilde{\omega} \) scalar definite positive functions such that \( \tilde{c}^* (F, w, \nabla w, 0, 0) = 0 \) and \( \tilde{\omega} (F, w, \nabla w, 0, 0) = 0 \). Of course, (81) is not the sole possible solution of (80) because tensor coefficients may be involved and also linear combinations of \( \dot{w} \) and \( \nabla \dot{w} \) (see for a more general case [MA]). However, in the case of occurrence of (80), the reduced balance (79) for IQ becomes
\[ c^* \dot{w} = \text{Div} (\partial_{\nabla w} e + \omega \nabla \dot{w}). \] (82)

7. \( SO(3) \) invariance and the nature of the balance of phason interactions

In deriving the balance (13) we have mixed the representation of phason interactions (obtained by means of the phason stress \( S \) and the phason self-force \( z \)) and their constitutive structure declared through the derivatives of the Lagrangian with respect to \( \nabla w \) and \( w \) respectively. However, we have also adopted the formal counterpart of (13), namely (16), in non-conservative case, paying attention in the second circumstance to ‘viscous’-like parts of the interactions. A question is whether such a pointwise balance holds formally always before discussing constitutive issues. Another connected question is whether an integral (global) version of the pointwise balance of phason interactions can be postulated a-priori as a balance of phason-momentum.

- The answer to the first question is affirmative: the balance of phason interactions holds in the form (16) independently of constitutive issues.

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As regards the second question, though an integral version of (7.6) can be in principle postulated because \( V_w \) is a linear space, it is not necessary because just the integral balance of standard forces and a non-standard balance of couples suffices to get pointwise balances.

To prove previous statements we leave constitutive issues out of consideration and try to represent interactions just in their purely geometric form as objects power conjugated with the rates of the descriptors of the morphology of the body, namely phonon and phason degrees of freedom.

Let \( b \) any part of \( B_0 \), i.e. any subset of \( B_0 \) with non-null volume measure and the same regularity properties of \( B_0 \). We presume that the part in \( b \) interacts with the rest of the body and the external environment through interactions of bulk and contact nature, the latter exerted through the boundary \( \partial b \). The external power \( \mathcal{P}^{ext}_b(\dot{x}, \dot{w}) \) of all interactions over \( b \), a linear functional over the space of rates \( \dot{x} \) and \( \dot{w} \), is then given by

\[
\mathcal{P}^{ext}_b(\dot{x}, \dot{w}) = \int_b (\bar{b} \cdot \dot{x} + \beta \cdot \dot{w}) \, d(vol) + \int_{\partial b} (P_n \cdot \dot{x} + S_n \cdot \dot{w}) \, d(area). \tag{83}
\]

Here, \( \bar{b} \) represents standard bulk forces and is decomposed as \( \bar{b} = \rho_0 b + b^{in} \) where \( b \) is the objective part which is coincident with the analogous \( b \) in Corollary 1 while \( b^{in} \) is of pure inertial phonon nature. \( \beta \) is of pure inertial phason nature (if phason inertia exists) while \( P \) and \( S \) are respectively the first Piola-Kirchhoff stress and the phason stress as in previous sections. \( P_n \) represents the traction developing power in the relative change of place of neighboring material elements at the boundary \( \partial b \) imagining the phason activity frozen. \( S_n \) pictures interactions developed across the boundary \( \partial b \) between neighboring material elements which do not change place but display different phason activity.

As pointed out above, at each \( \mathbf{X} \) we get \( P \in \text{Hom}(T_{\mathbf{X}}B_0, T_{\mathbf{X}}B) \) and \( S \in \text{Hom}(T_{\mathbf{X}}B_0, T_{\mathbf{X}}V_w) \).

We now require the invariance of \( \mathcal{P}^{ext}_b(\dot{x}, \dot{w}) \) with respect to classical changes of observers ruled by \( SO(3) \). For such changes, the time parametrized family of automorphisms acting on the ambient space \( \mathcal{E}^3 \) is the one of isometries so that, as usual, if \( \dot{x}^* \) is the value of the velocity \( \dot{x} \) after the change of observer, we have

\[
\dot{x}^* = c(t) + \dot{q}(t) \land (x - x_0) + \dot{x}, \tag{84}
\]

where \( c(t) \) is the translational velocity, constant in space, \( x_0 \) a point chosen arbitrarily and \( \dot{q} \land \in \mathfrak{so}(3) \) at each \( t \). Moreover, still for such changes of observers, \( SO(3) \) itself acts also over \( V_w \) and we indicate with \( \dot{w}^* \) the rate \( \dot{w} \) measured after the change of observer, we get

\[
\dot{w}^* = \dot{w} + \dot{q}(t) \land w. \tag{85}
\]

Then, the requirement of invariance is

\[
\mathcal{P}^{ext}_b(\dot{x}^*, \dot{w}^*) = \mathcal{P}^{ext}_b(\dot{x}, \dot{w}) \tag{86}
\]
for any choice of translational $c$ and rotational $\dot{q}$ velocities and for any part $b$ (see [M] for a more general setting involving abstract morphological descriptors).

The arbitrariness of $c$ and $\dot{q}$ and their independence of space imply from (86) the integral balances

$$
\int_b \bar{b} \, (vol) + \int_{\partial b} P \, (area) = 0, \tag{87}
$$

$$
\int_b ((x - x_0) \wedge \bar{b} + w \wedge \beta) \, (vol) + \int_{\partial b} ((x - x_0) \wedge P \wedge \mathcal{S}n) \, (area) = 0, \tag{88}
$$

which are the standard integral balance of forces and a non-standard (due to the presence of the densities of phason interactions) integral balances of moments. They are the sole global conservation laws associated with the killing fields of the metric in the ambient space.

The arbitrariness of $b$ implies

$$
\bar{b} + \text{Div} P = 0 \tag{89}
$$

from (87) and

$$
e^{PF} T = w \wedge (\beta + \text{Div} S) + (\nabla w)^T S \tag{90}
$$

from (88), with $e$ Ricci's alternating symbol.

The inertial component of $\bar{b}$, namely $b^{in}$, and the explicit expression of $\beta$ can be identified by requiring that their power is the opposite of the rate of the kinetic energy, i.e.

$$
\frac{d}{dt} \{\text{kinetic energy in } b\} - \int_b (b^{in} \cdot \dot{x} + \beta \cdot \dot{w}) \, (vol) = 0 \tag{91}
$$

for any choice of $b$ and of the velocity fields. When sound-like modes appear in phason activity so that the kinetic energy in $b$ is given by $\frac{1}{2} \int_b \left( \rho_0 |\dot{x}|^2 + \bar{\rho} |\dot{w}|^2 \right) \, (vol)$, as in IIIC, the arbitrariness of $b$ and of the velocity fields implies

$$
b^{in} = -\rho_0 \dot{x}, \quad \beta = -\bar{\rho} \dot{w}. \tag{92}
$$

In this way (89) reduces formally to (12). Moreover, from (88) we get two information:

1. at each $X \in B_0$, the term $e^{PF} T - (\nabla w)^T S$ is given by the cross product between $w$ and an element of $T^*_w \mathcal{V}_w \cong \mathbb{R}^3$ that we indicate with $z$;

2. $z$ is just equal to $\text{Div} S - \bar{\rho} \dot{w}$ so that we get (76).

**Remark 7.** In summary, when we represent interactions due to phason activity in quasiperiodic crystalline structures, an internal self-force arises a priori just as a consequence of requirements of $SO(3)$ invariance of the power. Constitutive issues render explicit its structure as a function of state. In this way we find that the conservative part of $z$ disappear for IQ because the relevant elastic energy does not depend on $w$ while its dissipative part may play a role as in (79) (see [RoLo]).

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8 Dissipative evolution of sharp interfaces

We consider now the case in which the motion of $\Sigma$ described by the vector field $\vec{\phi}$ is not virtual, rather it is the real irreversible motion of $\Sigma$ which can be e.g. identified with the boundary of an evolving defect. We assume that other irreversible phenomena like phason or gross friction do not occur. Dissipation is associated just with the evolution of $\Sigma$ that we presume also to be coherent in the sense specified in Section 5.

We then introduce a dissipative surface driving force $f_\Sigma$ along $\Sigma$. Coherence allows us to write the condition of dissipativity just on the normal component so that we require

$$(f_\Sigma \cdot m)U \leq 0,$$  \hspace{1cm} (93)

for any choice of $U$. We then modify (50) by adding a surface source term of the type

$$\int_{b_S \cap \Sigma} f_\Sigma \cdot w (\text{area}).$$  \hspace{1cm} (94)

**Proposition 1.** Let $\Sigma$ be a structured surface with surface energy $\phi$. Let us assume that during the dissipative evolution of $\Sigma$

$$\frac{d}{dt} \int_{b_S} Qd(vol) \int_{\partial b_S} \vec{f} \cdot n d(area) +$$

$$+ \int_{\partial (b_S \cap \Sigma)} \vec{F} \cdot n d(length) + \int_{b_S \cap \Sigma} f_\Sigma \cdot w d(area) = 0$$  \hspace{1cm} (95)

for any part $b_S$ of $B_0$ crossing $\Sigma$. If $L$ and $\phi$ are invariant in the sense of Theorem 5 and $\hat{f}_{s_1}$ acts alone, the evolution of $\Sigma$ along its normal is ruled by

$$m \cdot [P] m + C_{\text{tan}} \cdot L + \text{Div}_{\Sigma} c +$$

$$+ \frac{1}{2} \rho_0 U^2 \left[ |Fm|^2 \right] - \rho U \left[ (\nabla w)^T \vec{w} \right] \cdot m - \frac{1}{2} \rho \left[ |\dot{w}|^2 \right] = \tilde{f}_\Sigma U,$$  \hspace{1cm} (96)

where $\tilde{f}_\Sigma$ is a positive driving coefficient such that $\tilde{f}_\Sigma = \tilde{f}_{s_2} (m, U)$.

The proof follows Theorem 5 basically. In addition one may realize that the condition (93) implies that the normal component $f_\Sigma = f_\Sigma \cdot m$ be of the form $f_\Sigma = -\tilde{f}_\Sigma U$ with $\tilde{f}_\Sigma$ a positive coefficient.

**Remark 8.** In absence of phason activity, (50) reduces to the balance equation describing the dissipative evolution of surfaces in simple bodies as discussed in [G] in presence of bulk deformation and surface energy. When bulk deformation is also absent, we may get the anisotropic motion by curvature.

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