Abstract

This paper presents a decoder for statistical machine translation that can take advantage of the example-based machine translation framework. The decoder presented here is based on the greedy approach to the decoding problem, but the search is initiated from a similar translation extracted from a bilingual corpus. The experiments on multilingual translations showed that the proposed method was far superior to a word-by-word generation beam search algorithm.

1 Introduction

The framework of statistical machine translation formulates the problem of translating a sentence in a language $J$ into another language $E$ as the maximization problem of the conditional probability $\hat{E} = \arg\max_E P(E|J)$ (Brown et al., 1993). The application of the Bayes Rule resulted in $\hat{E} = \arg\max_E P(E)P(J|E)$. The former term $P(E)$ is called a language model, representing the likelihood of $E$. The latter term $P(J|E)$ is called a translation model, representing the generation probability from $E$ into $J$.

Under this concept, Brown et al. (1993) presented a translation model where a source sentence is mapped to a target sentence with the notion of word alignment\(^1\). Although it has been successfully applied to similar language pairs, such as French–English and German–English, little success has been achieved for drastically different language pairs, such as Japanese–English. The problem lies in the huge search space by the frequently occurring insertion/deletion, the larger numbers of fertility for each word and the complicated word alignments. Due to its complexity, a beam search decoding algorithm was often stuck in sub-optimal solutions.

This paper presents an example-based decoding algorithm, an approach to merging statistical and example-based machine translation (Nagao, 1984).\(^1\)

\(^1\)The source/target sentences are the channel model’s source/target that correspond to the translation system’s output/input.

Given an input, the decoder first finds some translation examples whose source part is similar to the input. Second, it modifies the retrieved translation using the greedy search algorithm, a hill-climbing approach to find a (hopefully good) translation as introduced by Germann et al. (2001).

Translation experiments were carried out between four different language pairs, Chinese, English, Japanese and Korean, and it was verified that in any directions, the proposed decoder was superior to the beam search based decoder.

The following section briefly reviews the word alignment based statistical machine translation, then proposes the example-based decoder. Section 4 shows experiments on various language pairs, followed by discussion.

2 Statistical Machine Translation

Word alignment based statistical translation represents bilingual correspondence by the notion of word alignment $A$, allowing one-to-many generation from each source word. $A$ is an array for target words describing the indexes to the source words. For instance, Figure 1 illustrates an example of English and Japanese sentences, $E$ and $J$, with sample
could you recommend another hotel

\[ \prod_t (J_t | E_t) \]

Fertility Model

\[ \prod_n (n|E_i) \]

NULL Generation Model

\[ \prod_m (m - \phi_0 | E_i) \]

Lexicon Model

\[ \prod_{j,j} (J_j | E_j) \]

Distortion Model

\[ \prod_d (d_j - j | E_i) \]

Word alignments \( A \). In this example, the “show1” has generated two words, “mise5” and “tekudasai6”. Under this word alignment assumption, the translation model \( P(J|E) \) can be further decomposed without approximation:

\[
P(J|E) = \sum_A P(J, A|E)
\]

2.1 Structure of Statistical Machine Translation

The term \( P(J,A|E) \) is further decomposed into four components, which constitutes the whole process of transferring source sentence \( E \) into \( J \) with the word alignments \( A \). For instance, IBM Model 4 defined by Brown et al. (1993) is structured as follows (refer to Figure 2):

1. Choose the number of words to generate for each source word according to the Fertility Model. For example, “could” was increased to 2 words, while “you” was deleted.
2. Insert NULLs at appropriate positions by the NULL Generation Model. Two NULLs were inserted after “recommend” and “hotel”.
3. Translate word-by-word for each generated word by looking up the Lexicon Model. “recommend” was translated to “shokaishi”.
4. Reorder the translated words by referring to the Distortion Model. The “teitadake” was reordered to the 6th position, and “hoteru” was reordered to the 3rd position. Positioning is determined by the previous word’s alignment to capture phrasal constraints.

Please refer to (Brown et al., 1993) for the details of the symbols in Figure 2.

2.2 Decoding Problem in Statistical Machine Translation

The word alignment based statistical translation model was originally intended for similar language pairs, such as French and English. When applied to Japanese and English, for instance, the resulting word alignments are very complicated, as seen in Figure 1. The complexity is directly reflected by the structural differences: i.e., English takes an SVO structure while Japanese usually takes the form of SOV. In addition, insertion and deletion occur very frequently as seen in the example. For instance, there exists no corresponding Japanese morphemes for “the3” and “the6”. Therefore, they should be inserted when translating from Japanese. Similarly, Japanese morphemes “no2” and “o4” should be deleted.

Both the intricate alignments and the insertion/deletion of words lead to a computationally expensive process when decoding by a word-by-word beam search algorithm as presented by Tillmann and Ney (2000). Due to its complexity, many pruning strategies have to be introduced, such as beam pruning (Och et al., 2001), fertility pruning (Watanabe and Sumita, 2002) or word-for-word translation pruning (García-Vaera et al., 1998), so that the search system can output results in a reasonable time. However, search errors become inevitable under the restricted search space. As Akiba et al. (2002) pointed out, though there exist some correlations between translation quality and the probabilities assigned by the translation model, the beam search was often unable to find good translations.

3 Example-based Decoder

Instead of decoding word-by-word and generating an output string word-by-word, as seen in beam search strategies, this paper presents an alternative strategy taken after the framework of example-based machine translation: Retrieve a translation example from a parallel corpus whose source part is similar to the input sentence, then slightly modify the target part of the example so that the pair becomes the actual translation (refer to Figure 3).
3.1 Retrieval of Translation Examples

Given an input sentence $J_0$, the retrieval process looks up a collection of translation examples $\{(J_1, E_1), (J_2, E_2), \ldots,\}$, where $J_k$ is similar to $J_0$ using the edit distance criteria, penalizing an insertion/deletion/substitution by one. A simple implementation of the multiple alignment problem resulted in an NP-hard problem where dynamic programming (DP) algorithms should be applied to all the examples in a bilingual corpus. In addition, there exists another problem where the DP matching criteria solely does not always measure the closeness of two sentences. For instance, the sentence “I’m a computer system engineer.” can match many examples, such as “I’m a graduate student.” or “I’m an engineer.” with the same edit distance of 3.

In order to overcome those problems, a tf/idf criteria was introduced to search for the relevant examples by treating each example as a document. Particularly, when given an input $J_0$, the decoder first retrieves $N_i(\leq N)$ relevant translation samples, $\{(J_1, E_1), (J_2, E_2), \ldots\}$ using the tf/idf criteria as seen in the information retrieval framework (Manning and Schütze, 1999):

$$P_{tf/idf}(J_k, J_0) = \sum_{i: J_0,i \in J_k} \frac{\log(N/df(J_{0,i}))}{\log N} / |J_0|$$

where $J_0,i$ is the $i$th word of $J_0$, $df(J_{0,i})$ is the document frequency for the word $J_{0,i}$, and $N$ is the total number of examples in a bilingual corpus. Note that the term frequency is 1 if the word exists in $J_k$, otherwise 0, and tf/idf scores are summed and normalized by the input sentence length.

Then, for each sample $(J_k, E_k)$, DP matching is performed against $J_0$ to compute the edit distance:

$$dis(J_k, J_0) = I(J_k, J_0) + D(J_k, J_0) + S(J_k, J_0)$$

where $k \leq N_i$ and $I(J_k, J_0)$, $D(J_k, J_0)$ and $S(J_k, J_0)$ are the number of insertions/deletions/substitutions respectively. All of the samples are scored by the following criteria:

$$score = \begin{cases} 
(1.0 - \alpha)(1.0 - \frac{dis(J_k, J_0)}{dis(J_i, J_0)}) + \alpha P_{tf/idf}(J_k, J_0) & \text{if} \ dis(J_k, J_0) > 0 \\
1.0 & \text{otherwise} 
\end{cases}$$

In this scoring, $dis(J_k, J_0)$ is transformed into the word error rate by normalization with the input length $|J_0|$, then subtracted from 1 to derive the correction rate. The correction rate is linearly interpolated with the normalized tf/idf score with a variable $\alpha$. $\alpha$ is a tuning parameter and was set to 0.2 in our experiments. Note that when the distance of the input sentence and the source part of an example is zero, the example is treated as exactly matched and is scored as one.

3.2 Modification of Translation Examples

After the retrieval of similar examples $\{(J_1, E_1), (J_2, E_2), \ldots\}$, the modification step tweaks the sample translations according to a statistical translation model. In this step, the greedy algorithm was applied, which originated from Germann et al. (2001). However, it differs in that the search starts from the retrieved translation example, not from a guessed translation.

For each translation example $(J_k, E_k)$,

1. Compute the viterbi alignment $A_k$ for the pair $(J_0, E_k)$

2. Perform the hill-climbing algorithm for $(J_0, A_k, E_k)$ to obtain $(J_0, A_k', E_k')$ by modifying $A_k$ and $E_k$.

$A_k$ is computed through hill-climbing by moving/swapping particular word alignments as proposed by (Brown et al., 1993). When the retrieved
samples contain an exact match scored as one, the search terminates and returns the retrieved examples with the highest probability together with the viterbi alignment.

When the samples are not an exact match, the decoder performs hill-climbing, modifying the output and alignment for a given example \((J_0, A, E)\) where \(A\) is the word alignment initially assigned by the viterbi alignment computation procedure and \(E\) is the target part of the example. In this greedy strategy, the operators applied to each hill-climbing step are:

- Translate words: Modify the output word \(E_{A_j}\) to \(e\) aligned from \(J_{0j}\). If \(e = \text{NULL}\) then \(J_{0j}\) is aligned to \(\text{NULL}\) and \(A_j = 0\). When the fertility of \(E_{A_j}\) becomes zero, then the word \(E_{A_j}\) is removed. \(e\) is selected from among the translation candidates, computed from the inverse of the Lexicon Model (Germann et al., 2001).

- Translate and insert words: Perform the translation of a word, and insert a sequence of zero fertility words at appropriate positions. The candidate sequence of zero fertility words is selected from the viterbi alignment of the training corpus (Watanabe and Sumita, 2002).

- Translate and align words: Move the alignment of \(A_j\) to \(i\) and modifies the output word from \(E_i\) to \(e\).

- Move alignments: This operator does not alter the output word sequence, but modify the alignment \(A\) through moving/swapping (Brown et al., 1993).

- Swap segments: Swap non-overlapping subsets of \(E\), by swapping a segment from \(i_0\) to \(i_1\) and from \(i_2\) to \(i_3\). Note that \(i_1 < i_2\).

- Remove words: Remove a sequence of zero fertility words from \(E\)

- Join words: Join words of \(E_i\) and \(E_i^\prime\) when the fertility of both of the words is more than or equal to one.

For each hill-climbing step, the decoder tries all the possible operators, then selects the best step for the next iteration. The hill-climbing operators were taken from Germann et al. (2001), but two new operators were added, the translate-and-align-words, and the move-alignment. At the first step of computing the viterbi alignment for an input string and a retrieved translation, if there exists an input word whose translations do not exist in the retrieved sample, the word will either be aligned to \(\text{NULL}\) or an irrelevant word by raising the fertility. Therefore, the translate-and-align operator can force it to move the alignment to another word and to choose the right word-for-word translation using the Lexicon Model. Similarly, the move-alignment operator can resolve the problem by simply alternating the existing word alignments.

4 Evaluation

4.1 Corpus

The corpus for this experiment was extracted from the Basic Travel Expression Corpus (BTEC), a collection of travel conversational phrases for Japanese and English (Takezawa et al., 2002). The corpus was extended to other languages, Korean and Chinese, as illustrated in Table 1. The entire corpus was split into three parts, 152,169 sentences for training, 4,846 sentences for testing, and the remaining 10,148 sentences for parameter tuning, such as the termination criteria for the training iteration, and the parameter tuning for decoders.
### Table 1: Basic Travel Expression Corpus (BTEC)

|                  | Chinese | English | Japanese | Korean   |
|------------------|---------|---------|----------|----------|
| # of sentences   | 167,163 | 956,732 | 1,148,428| 1,269,888|
| # of words       | 956,732 | 980,790 | 1,148,428| 1,269,888|
| vocabulary size  | 16,411  | 15,641  | 21,896   | 13,395   |
| # of singletons  | 5,207   | 5,547   | 9,220    | 4,191    |
| 3-gram perplexity| 45.53   | 35.35   | 24.06    | 20.34    |

### 4.2 Models

Tri-gram language models for the four languages were trained and evaluated by the perplexity measure as shown in Table 1. For all the translation directions of the four languages, 12 translation models were trained toward IBM Model 4, initiating translation iterations from IBM Model 1 with intermediate HMM model iterations. Figure 4 shows the test set perplexity per target word for the IBM Model 4 iterations. For instance, $P(E|C)$ stands for the Chinese-to-English translation model, hence will be used for the English-to-Chinese translation together with the language model for Chinese. This plot indicates the complexity of the pair of languages, for instance, Japanese-to-Korean translation is far easier than English-to-Korean translation. Figure 4 indicates three groups of language pairings in terms of the statistical translation models. The English and other language pairs are very dissimilar, while the Japanese and Korean pair is close. The Chinese and Japanese/Korean pairs are somewhat in the middle. Combined with the tri-gram perplexity measure, it is possible to estimate that the Japanese-to-Korean translation will be one of the easiest problems, while the English-to-Chinese will be the hardest direction to translate.

### 4.3 Evaluation

The translation experiments were carried out on 510 sentences selected randomly from the test set. Two decoding algorithms were tested. One is the example-based decoder as explained in Section 3, and the other is a left-to-right output generation beam search algorithm as presented by Watanabe and Sumita (2002).

The metrics for this evaluation were as follows:

**WER:** Word-error-rate, which penalizes the edit distance (insertion/deletion/substitution) against reference translations (Och and Ney, 2002).

**PER:** Position independent WER, which penalizes without considering positional disfluencies (Och and Ney, 2002).

**BLEU:** BLEU score, which computes the ratio of the n-gram for the translation results found in reference translations (Papineni et al., 2002). Contrary to the above error metrics, the higher scores indicates better translations.

**SE:** Subjective evaluation ranks ranging from A to D (A : perfect, B : fair, C : acceptable and D : nonsense), judged by a native speaker. The scores are evaluated by the ratio of A ranked sentences, A+B for either A or B ranks, and A+B+C for either A, B or C ranks. We have evaluated only a language-to-English and a language-to-Japanese assuming that they are translations for Japanese-to-English and English-to-Japanese, respectively.

For all the languages, 16 reference translations were created for the non-subjective evaluation criteria, WER, PER and BLEU.

Table 2 summarizes all the results. The values in bold font are for the example-based decoder. It also presents the ratio of the exact matching of inputs to the bilingual corpus (refer to the column for Exact), and the perplexity for the translation model (the column for PP). For all the language pairs and directions, a reduction of WER/PER and an improvement of BLEU scores and SE scores are observed with the proposed method.

Tables 3 and 4 summarize the SE scores for the inputs which were exactly or not exactly matched against any translation examples in the training corpus. Even if exact matching could not be found, as presented in Table 4, the example-based decoder outperforms the beam search algorithm.

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2 Input sentences are assumed to be either Japanese or English.
The translation results are also evaluated according to the search error rate by differentiating exactly matched inputs (matched) and non-exactly matched ones (non-matched) as presented in Table 5. The search error rate was computed by measuring whether a system produced the best scored translation among the two systems, the beam search decoder and the example-based decoder. In terms of this criteria, the example-based decoder is worse than the beam search decoder due to the results by the exactly matched inputs.

Table 3: Translation results for exactly matched inputs

| PP  | Exact [%] | Error Rate [%] | PER [%] | BLEU [%] | SE [%] |
|-----|-----------|----------------|---------|----------|--------|
| C-E | 95.1      | 45.0/34.3      | 39.8/19.3 | 43.6/56.7 | 48.4/65.3 |
| C-J | 51.0      | 35.7/25.5      | 31.3/22.6 | 56.9/67.8 | 50.8/69.0 |
| C-K | 52.9      | 38.4/29.1      | 34.2/26.2 | 56.1/65.0 |        |

Table 4: Translation results for non-exactly matched inputs

| PP  | Exact [%] | Error Rate [%] | PER [%] | BLEU [%] | SE [%] |
|-----|-----------|----------------|---------|----------|--------|
| C-E | 95.1      | 45.0/34.3      | 39.8/19.3 | 43.6/56.7 | 48.4/65.3 |
| C-J | 51.0      | 35.7/25.5      | 31.3/22.6 | 56.9/67.8 | 50.8/69.0 |
| C-K | 52.9      | 38.4/29.1      | 34.2/26.2 | 56.1/65.0 |        |

5 Discussion

In terms of a decoder for statistical machine translation, the example-based decoder is basically identical to the greedy method proposed by Germann et al. (2001), but differs in that the initial condition is derived from the examples of translations, not from merely guessed sentences by an input string. In general, the greedy method is strongly influenced by the initial state of the search, but our method provides the strong bias especially required for long distance language pairs, such as Japanese and English.

Marcu (2001) proposed a slightly different approach in which translation examples are extracted phrase-by-phrase into a translation memory and the search process is initiated by concatenating the phrases found in the memory. Both share similar
would you wait a moment while we check

yes it is similar there any messages for me

would you wait for a moment while we check

although i put in some coins the machine didn’t work i’d like a refund

are you waiting here at ten o’clock

(i was robbed of my wallet bank on the subway)

:i was robbed of my bag in front of the bank

no it’s next to the red one

:yes someone did turn in a notebook like that

input: 

output: 

Figure 5: Sample translations from Japanese-to-English experiments

principles of exploiting examples to bias the search, but differ by the unit of examples: our approach uses whole sentences as examples while the other utilizes the phrase unit. During the search process, the phrase unit approach has to perform swapping operations together with insertions of zero fertility words for language pairs with twisted word alignments and frequent insertions/deletions, like those found in Figure 1. The replacements and the insertions heavily rely on the constraints of the language model due to the weak representation of those phenomena in IBM Model 4. However, the n-gram based language model cannot take into account the long distance context, hence the phrase unit method will also stick in sub-optimal solutions as seen in the word-by-word generation beam search. On the other hand, the whole sentence approach is able to bias the search space by feeding the sentence with already reordered and inserted word sequences. Therefore, the search is expected to modify examples locally with the help of local context language models. One of the disadvantages of the whole sentence method is the availability of similar examples. We are in the process of investigating this problem by combining two different unit sizes, by allowing a decoder to initiate the greedy search process from the combined phrasal examples if the similarity scores of any examples are below a certain threshold.

The example-based decoder can share an alternative view point: it is an example-based translation system, but uses statistically acquired knowledge to generate translations. Conventional example-based MT systems consist of three parts: the extraction of examples into storage, retrieval and the modification of examples when given an input. They can store examples either by sentence (Sumita, 2001), by fragment or by phrase (Nagao, 1984; Watanabe and Maruyama, 1994; Way, 1999; Brown, 2000; Richardson et al., 2001), and adjust fetched similar translation samples while translating. The difference with the proposed method lies in the process of transforming examples to match the input sentence. A conventional example-based MT system basically uses bilingual dictionaries, while the example-based decoder uses statistical translation models to adjust examples. The adaptation of the statistical model is justified by the correlation between the quality of translations and the probability assigned by the model (Akiba et al., 2002). Therefore, the more accurate the translation model is, such as the syntax-based translation model (Yamada and Knight, 2001) or the phrase-based translation model (Marcu and Wong, 2002), the more quality improvement will be expected. Furthermore, the example-based decoder can be adapted to the error correction framework with more accurate translation models. The greedy decoding process can be initiated from the translations from other systems, such as a rule-based MT system or an example-based MT system, instead of from translation examples extracted solely from a bilingual corpus.

6 Conclusion

This paper presented an example-based decoding algorithm for statistical machine translation, which can offer both of the benefits of example-based and statistical machine translation, by the retrieve-and-modify strategy. The retrieval process was modeled after the information retrieval framework, while the modification process was taken from the greedy algorithm for statistical machine translation, but using
a retrieved similar translation as the starting point, rather than mere guessed initial states. An evaluation on a multilingual corpus, Chinese, English, Japanese and Korean, indicated that in all of the language pairs, the proposed method was superior to a word-by-word beam search algorithm.

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