Differences in circulating corticosterone levels associated with elevation of breeding sites in Rufous-collared Sparrows

Zonotrichia capensis

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Abstract
To facilitate breeding and any energetically costly activity, individuals of the same species can substantially vary their circulating corticosterone (CORT) levels to cope with local environmental conditions at different elevations. We compared baseline and the stress-induced plasma CORT levels during the parental care stage between free-living Rufous-collared Sparrows (Zonotrichia capensis) that breed at high (~ 2500 m) and low (~ 500 m) elevations in central Chile. We found that baseline CORT levels at different elevations were similar and that stress-induced levels were significantly lower in birds breeding at high elevation; however, we detected no sexual dimorphism in CORT levels related to elevation. We found that larger individuals had higher stress-induced CORT levels at low elevation regardless of sex. Our results show that environmental conditions at high elevation seem to be not severe enough to promote more elevated baseline CORT levels. However, breeding Rufous-collared Sparrow must still deal with both shorter breeding seasons and increased exposure to unpredictable events. Thus, a reduced stress response during the parental care stage would be more favorable for supporting breeding activities at high elevations. Future studies should focus on describing the life-history traits of these populations and the effects that other stressors, such as predation pressure and food availability, may have on the adrenocortical response in these environments to evaluate the consequences for survival and reproductive success. This information is important for enhancing our understanding of the ecological and evolutionary mechanisms that modulate variation in the adrenocortical response among populations of the same species.

Keywords Altitudinal differences · Adrenocortical stress response · Zonotrichia capensis · Southern Andes

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**Introduction**

Corticosterone (CORT) is a steroidal hormone that plays a key role in responses to environmental variability, because it modulates the energy balance facilitating both physiological and behavioral changes needed for different life-history stages in the birds (Sapolsky et al. 2000; McEwen and Wingfield 2003; Romero 2002; Landys et al. 2006). Baseline CORT levels allow individuals to respond to predictable energetic demands, such as daily and seasonal temperature variation, breeding and daily activities (Romero et al. 2009; Bonier et al. 2009; Welcker et al. 2015).

On the other hand, CORT levels induced by unpredictable events (i.e., predation threats, starvation, inclement weather, and handling; Romero and Wingfield 2016) are driven by the adrenocortical stress response triggering a cascade effect: the hypothalamus secretes arginine vasotocin and corticotropin-releasing factor, which, in turn, activate the anterior pituitary release of adrenocorticotropic (ACTH), stimulating the synthesis and secretion of CORT by adrenal glands (Romero and Remage-Healey 2000; Romero and Wingfield 2016) resulting in plasma CORT levels significantly higher than those expressed during daily life predictable events (i.e., baseline CORT levels) (Romero, 2004; Landys et al. 2006). The adrenocortical stress response is considered a facultative emergency response that allows rapid physiological and behavioral modifications to promote survival facing unpredictable stressful events (Sapolsky et al. 2000; Landys et al. 2006); hence, modulating this response is crucial for mediating evolutionary trade-offs between individual survival and reproduction, particularly for individuals that breed in environments where unpredictable events are common (Romero and Wingfield 2016).

High elevation habitats are especially challenging environments for breeding birds because of the often unpredictable changes in weather conditions (Addis et al. 2011). Comparative studies have shown that at high elevations in temperate zones of the Northern Hemisphere, birds often down-regulate their adrenocortical stress response during parental care (Bears et al. 2003; Breuner and Hahn 2003; Pereyra and Wingfield 2003), possibly to reduce negative effects of the stress response, such as nest abandonment (Romero et al. 2000). In contrast, tropical Rufous-collared Sparrows breeding at high elevation show higher sensitivity to stress during parental care compared to their northern congeners (Breuner and Hahn 2003), suggesting duration of the breeding season, temperature and life-history traits may play a role in the modulation of the adrenocortical stress response to cope effectively with local environmental conditions (Wada et al. 2006). Whether the adrenocortical stress response is down-regulated at high elevations in the temperate zones of the Southern Hemisphere during parental care is unclear because this issue has been comparatively understudied (but see Clark et al. 2019). The elevation gradient of the Andes in Central Chile presents an ideal scenario to compare circulating CORT levels between birds that breed at different elevations. In this region, the mean annual precipitation varies from 300 to 400 mm in the lowlands (~500 m.a.s.l.) to 500–900 mm at high elevations (~2500 m.a.s.l.). In addition, at high-elevation localities, the air temperature is ~ 4 °C (minimum) and ~ 10 °C (maximum monthly mean) lower than in the lowlands, contributing to the formation of snow cover at high elevations (Stehr and Aguayo 2017; Barria et al. 2019; Viale and Nuñez 2011). Rufous-collared Sparrows (*Zonotrichia capensis*), a common passerine species in Central Chile,
present populations which breed across this gradient from sea level to >4000 m.a.s.l. Individuals at low elevations are resident year-round (Poblete et al. 2018), and present long breeding seasons, possibly producing multiple clutches per year (Couve et al. 2016). In contrast, at high elevations, the breeding season is shorter, probably with no more than two clutches per year (Wingfield et al. 1995). Despite harsh environmental conditions during the winter at high elevations (Di Castri and Hajek 1976), this species does not migrate downslope and instead remains at the same elevations in refuge habitats during the winter (Poblete et al. 2018).

In this study, we compared circulating CORT levels between individuals of Rufous-collared Sparrow breeding at high (~2500 m) and low (~500 m) elevation in central Chile during the parental care stage. We hypothesize that climatic conditions at high elevations may be severe enough to promote higher baseline CORT levels and lower stress-induced CORT levels in individuals in parental care stage, thus reducing the likelihood of nest abandonment.

**Methods**

**Species and study sites**

Rufous-collared Sparrow is a generalist species that occurs from southern Mexico to the southern tip of South America (Chapman 1940). It is mainly granivorous but also may forage for fruits and insects depending on their environmental availability (Lopez-Calleja 1995). This species is socially monogamous, and although males generally do not incubate, they do show bi-parental care when feeding nestlings. Sexual dimorphism is not obvious, but sexes can be differentiated using residuals from the regression of chick body mass on tarsus length (LormÉe et al. 2003; supplementary material A1). We also measured tarsus length and body mass to estimate a body condition index (CP) in males and the brood patch (BP) in females (Chapman 1940; Miller and Miller 1968).

Field work was conducted at two study sites located at high (Farellones; 33° 21′ S, 70° 17′ W, 2500–2700 m) and low (Picarquín; 33° 57′ S, 70° 37′ W, 500 m) elevations in central Chile. Descriptions on climate differences between both study locations were based on data available from the Center for Climate and Resilience Research (2020) (Fig. 1). For instance, between 1978 and 2019, differences between low and high elevations include 300.7 mm in the accumulated annual precipitation (high: 608.11 ± 335.52 mm; low: 307.41 ± 152.65 mm; Fig. 1a), 4.7 °C in the mean annual minimum temperature (high: 3.99 ± 0.70 °C; low: 8.69 ± 0.45 °C; Fig. 1b), 9.22 °C in the mean annual maximum temperature (high: 13.78 ± 0.73 °C; low: 23.00 ± 0.60 °C; Fig. 1c), and snow cover present from 2000 m, mainly in the winter (Saavedra et al. 2018). During the study year (2010), similar patterns were observed, with differences of 284.5 mm in the accumulated monthly precipitation (high: 445.5 ± 49.82 mm; low: 161 ± 17.10 mm; Fig. 1d), 4.17 °C in the mean monthly minimum temperature (high: 3.01 ± 4.83 °C; low: 7.18 ± 4.15 °C; Fig. 1e), and 9.08 °C in the mean monthly maximum temperature (high: 13.78 ± 6.57 °C; low: 22.86 ± 5.89 °C; Fig. 1f), indicating that the weather at high elevation was harsher than that at low elevation in this region.

**Assessment of the parental care stage (mid-breeding)**

Rufous-collared Sparrows were captured using mist nets between 7:00 and 12:00 p.m. from 2 to 10 November at the low elevation site (Picarquín 33° 57′ S, 70° 37′ W, 500 m; n = 10) and from 3 to 10 December at the high-elevation site (Farellones 33° 21′ S, 70° 17′ W, 2500–2700 m; n = 12) in 2010. Sampling dates were determined based on the standardized observations recommended by Clark et al. (2019) to maximize the probability of sampling individuals when they were expressing parental care behavior (mid-breeding) in the breeding season. Each individual was considered to be in the parental care stage if females had edematous or wrinkled BPs (scores ranging from 3 to 4), males had CP lengths greater than 5 mm, chicks were present in the nests and/or the parents were feeding offspring.

**Morphological measures and sexing**

Birds were banded with individual metal bands (National Band and Tag Co., Newport, Kentucky, USA and Split Metal Band and Tag Co., Newport, Kentucky, USA) and ringed through Bird Rings, Porzana Ltd., UK. We also measured tarsus length and body mass to estimate a body condition index using residuals from the regression of chick body mass on tarsus length (LormÉe et al. 2003; supplementary material table A1). The sex was assigned by visually examining the CP in males and BP in females (Miller A.H and Miller V.D. 1968).

**Blood sampling and Corticosterone analyses**

Blood samples were obtained by puncturing the brachial vein using a 26-gauge needle and heparinized microhematocrit tubes at 3, 15 and 30 min after capture, to measure the CORT baseline (mean 109 s) and stress response (15 and 30 min) during handling. Blood collected amounted to less than 1% of the bird’s mass (Breuner et al. 1999). Samples were stored on ice for a maximum of 5 h before centrifugation and separation of the blood plasma from red blood cells. The plasma was aspirated with a Hamilton syringe and frozen (at −20 °C) until they were transported on dry ice to the University of California, Davis, to assay for the total CORT content in each sample.
CORT concentrations in plasma were determined using direct radioimmunoassay (Wingfield et al. 1995). To determine the efficiency of hormone extraction from the plasma, 20 μL of 2000 cpm of tritiated CORT was added to all samples and incubated overnight. Hormones were extracted from the plasma using freshly redistilled dichloromethane.

Fig. 1 Precipitation and temperature trend at high (~2500 m) and low (~500 m) elevations in central Chile. Figure shows patterns of 31-year (left) in a annual accumulated precipitation, b mean minimum annual temperature, and c mean maximum annual temperature; and patterns of the study year (right) in d monthly accumulated precipitation, e mean minimum monthly temperature, and f mean maximum monthly temperature, respectively.
The aspirated dichloromethane phase was evaporated using a stream of nitrogen at 45 °C. Samples were then reconstituted in phosphate-buffered saline with gelatin. All samples were run in duplicate; intra-assay variation for CORT ranged from 10.1 to 13.8%, and inter-assay variation was 12.43%. Plasma volumes of the samples varied from 5 to 15 µL.

Preliminary analyses

Given that the sampling time of the first blood sample may affect baseline CORT levels, we used a regression analysis to account for this effect (supplementary material table A2). A similar analysis also allowed us to account for the possible effect of sampling day on CORT levels (supplementary material table A3). As we did not evidence a significant effect in these models, we used the measures of circulating CORT levels for subsequent analyses (see below).

Statistical analyses

We used a mixed-effect model to assess whether variation in circulating CORT levels significantly differed between individuals breeding at high and low elevations during the parental care stage. Our model included CORT levels (repeated measures at 3, 15 and 30 min after capture) as the response variables; elevation (two levels), sex (two levels) and blood sample number (three levels) as explanatory variables; body condition index (residuals) as a co-variable; and individual identities as random effect (Zuur et al. 2007). The model included second-order interactions among sex, blood sample number and body condition with elevation.

Integrated CORT levels (sum total of the adrenocortical stress response) were obtained by calculating the area under the curve created when circulating CORT levels (y-axis) were plotted against time (x-axis) for each elevation. The baseline level of CORT was used as the base for the integrated CORT calculation (i.e., it was not included in the area under the curve; Breuner et al. 1999). The trapezoid rule was applied to avoid irregularities that could have resulted from interpolating a curve-linear fit to the stress response. A linear model was used to assess whether the integrated CORT levels significantly differed between high and low elevations. The model included the integrated CORT levels as a response variable, elevation (two levels) and sex (two levels) as explanatory variables and body condition (residuals) as a co-variable. Second-order interactions between body condition and elevation were also included in the model.

Statistical analyses were performed in R v. 3.3.2 (R Core Team 2016), using the lmer4 (Bates et al. 2015) R packages. An effect was considered significant when confidence intervals did not include zero.

Results

Circulating CORT levels

Baseline CORT levels tended to be higher at high elevation, but the variation is not significant (Table 1; Fig. 2).
However, individuals at higher elevation had considerably lower stress-induced CORT levels during the parental care stage than those breeding at low elevation (Table 1; Fig. 2). At low elevation, individuals with higher body condition had higher CORT levels at low elevation (~500 m). At high elevation (~2500 m), relationship is not significant.

Integrated CORT levels (sum total of the adrenocortical stress response)

We found significant differences in integrated CORT levels between high and low elevations (Fig. 4). Specifically, we observed higher integrated CORT levels in individuals breeding at low elevation (Table 2). We also found a significant effect of body condition on integrated CORT levels at low elevation, with larger individuals showing higher integrated CORT levels. Sex had no effect on integrated CORT levels (Table 2).

Discussion

Baseline CORT levels did not significantly differ between populations at high and low elevations. However, significantly lower stress-induced CORT levels were observed during the parental care stage in birds breeding at high elevation, suggesting that birds may differ in the modulation of their adrenocortical stress response to cope with environmental gradients across elevations.

Baseline CORT levels

Baseline CORT levels observed were not affected by breeding elevation, body size and sex and were consistent with baseline concentrations of regulatory hormones (Dawson et al. 1992; Romero et al. 2009), which is a pattern that has also been observed in other passerine birds breeding at high elevation (Romero et al. 1997; Bears et al. 2003). The absence of significant differences in baseline CORT levels between birds breeding at different elevations, suggests that climatic conditions at high elevation are generally predictable (Romero et al. 2009). Under this context, the evolution of local strategies may help to cope with these environments without increase baseline CORT levels (McCarty et al. 1992; Grissom et al. 2007). For instance, intraspecific studies in the dark-eyed junco (Junco hyemalis) have shown that individuals at high elevations build subterranean nests (Bears 2002), match the duration of their reproductive period with the period of favorable weather and available food and produce fewer offsprings with better body conditions compared to low elevations birds (Bears et al. 2009). These adjustments in life-history traits permit individuals to cope with the often adverse environmental conditions at high elevation and this may be key to the maintenance of low baseline CORT levels during the breeding season.

Along with this, diversity of movement strategies as a response to weather conditions may also be linked to baseline CORT levels. Thus, birds that migrate at high elevation for breeding show higher baseline CORT levels during the breeding season compared to resident birds (Addis et al.
In central Chile, Rufous-collared Sparrows remain at elevations over 1500 m year-round (Poblete et al. 2018), which means that increases in their baseline CORT levels may not be required, at least during the breeding season (McCarty et al. 1992; Grissom et al. 2007).

Weather conditions at high elevations may not be the principal cause of variation in baseline CORT levels during the breeding season. In fact, food availability and the presence of predators may be potentially more important natural stressors for wild animals (Romero 2004); nevertheless, no data regarding these aspects have been collected. In any case, the absence of elevated baseline CORT levels observed show that individuals can deal with the stressors of their local habitats, and it suggests that conditions at high elevations seem be not stressful to promote more elevated baseline CORT levels.

**Stress-induced CORT levels**

Subjects breeding at high elevation during the parental care stage had lower stress-induced CORT levels than individuals breeding at low elevation, suggesting that high-elevation individuals can reduce their stress response, possibly in response to unpredictable events that have the potential to interrupt breeding and decrease reproductive success (e.g., low ambient temperatures, wind, rain, and snow; Wingfield et al. 1995). Similar variation in stress-induced CORT levels between elevations has been detected in birds in the Northern Hemisphere during parental care (see Silverin et al. 1997; O’Reilly and Wingfield 2001; Breuner and Hahn 2003). However, Clark et al. (2019) did not observe significant differences in stress-induced levels of CORT between pre-parental (early-breeding) and parental care (mid-breeding) in Rufous-collared Sparrow inhabiting at different elevations, including the same high-altitude population, and a lower-altitude population closer to the high-altitude population compared with this present study. Their finding suggests the general pattern of modulation of the adrenocortical stress response during the breeding season differed from that documented in birds of the Northern Hemisphere, where a marked seasonal down-regulation has been observed at high elevations compared to low elevations (Romero and Wingfield 2016). Our results, together with Clark et al. (2019), suggest environmental conditions at high elevations in central Chile might be severe enough for driving reduced adrenocortical stress response during parental care stage, but not for triggering a marked seasonal down-regulation of stress-induced CORT levels (Clark et al. 2019).

Adverse weather conditions at high elevation provide a potential explanation for the lower adrenocortical stress response observed at high elevations; however, this response may also be caused by other stressors, such as high predation pressure (Vitousek et al. 2014), low food availability (Jenni-Eiermann et al. 2008) or the interaction of those factors (Clinchy et al. 2004). Nevertheless, our results support a pattern of differentiation in the adrenocortical stress response during the parental care stage associated with the elevation of breeding sites, suggesting that different physiological strategies may have evolved in this species to cope with local environmental conditions.

**Sex, body condition and within-individual variation in circulating CORT levels**

We did not observe sex-specific differences in circulating CORT levels during the parental care stage associated with breeding site elevation (Table 1). Nevertheless, the seasonal comparison of stress-induced CORT levels between early breeding (pre-parental) and mid-breeding (parental) described by Clark et al. (2019) revealed that regardless of breeding elevation site, Rufous-collared Sparrow males had higher stress-induced CORT levels during pre-parental than during parental care period. Rufous-collared Sparrow males are territorial birds; consequently, sexual dimorphism in the stress-induced CORT levels during early breeding may be associated with territorial competition and mate attraction (Couve et al. 2016). However, males and females contribute equally to provisioning and raising the offspring and are thus exposed to similar energetic demands and conditions.

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**Table 2** Fixed-effect model to assess the effect of elevation on integrated CORT levels in Rufous-collared sparrow (n = 22)

| Effect on integrated CORT (ng/ml) | Estimate | SE  | L 95% CI | U 95% CI |
|-----------------------------------|----------|-----|----------|----------|
| Intercept                         | 8207.2   | 1767.2 | 4460.8   | 11,953.5 |
| Elevation                         | 7021.7   | 2364.6 | 2008.9   | 12,034.5 |
| Body condition index              | 397.7    | 1224.2 | −2197.4  | 2992.8   |
| Sex                               | −1857.6  | 2490.6 | −7137.3  | 3422.2   |
| Elevation*Body condition          | 5292.4   | 1805.8 | 1464.3   | 9120.4   |

Parameter estimates and SE (standard errors) for interaction terms were estimated relative to “low elevation” level in variable “elevation” and “male” level in variable “sex”

Bold numbers indicate intervals that did not include zero

*SE standard error, L/U 95% CI lower/upper bound for the 95% confidence interval*
Thus, sexes are unlikely to differ in their adrenocortical stress response with local environmental conditions during parental care stage, and hence, more research on the individual degree of parental care in these populations need to be collected to test this hypothesis (Wingfield et al. 1995).

We found positive and significant relationships between body condition and the stress-induced CORT levels at low elevation (Fig. 3), and these patterns were also detected by assessments of integrated CORT levels (Table 2). In both models, we noticed that the adrenocortical stress response was significantly lower at high elevation, but only larger individuals breeding at low elevations exhibited an increased adrenocortical stress response. Generally, the interplay between CORT levels and body condition is complex because individuals with high CORT levels may catabolize lipids, resulting in lower body condition (Fokidis et al. 2011). However, some studies have demonstrated that CORT stimulates foraging, which increases body condition and helps ameliorate the debilitating effects of environmental perturbation (Landys et al. 2004; Pecoraro et al. 2004). Therefore, individuals with large body condition have a greater tendency to elevate CORT levels during acute stress, likely because they have more energy demands and need to maintain their energy reserves (Dallman et al. 2004, 2007). Assessing how CORT acts on gluconeogenic metabolites (triglycerides, free glycerols, glucose) when this species copes with stress at different elevations is important for understanding the observed link between body size and the stress response. Interestingly, we found that 20.68% of the variation in stress-induced CORT levels was explained by individual identity, suggesting that individuals may differ extensively in their ability to modulate CORT levels (Ouyang et al. 2011). However, characterizing the degree of individual consistency in both baseline and stress-induced CORT levels is important for enhancing our understanding of how local environmental conditions affect adrenocortical responses (Williams 2008).

Concluding remarks

Our research provides an important insight into how Rufous-collared Sparrows have adjusted their patterns of circulating CORT levels during parental care in response to environmental conditions at different elevations in the Andes of central Chile. We emphasize the need to describe the life-history traits of these populations and the effects that other stressors, such as predation pressure and food availability, may have on the adrenocortical response in these environments, and to evaluate their consequences on survival and reproduction. This information is important for improving our understanding of the ecological and evolutionary mechanisms that modulate the variation in the adrenocortical stress response among populations inhabiting different elevations in the Southern Andes.

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Author contributions

VG, YP and RAV conceived the idea and VG collected the data. YP analyzed the data and wrote the manuscript. PGG conducted the laboratory work and edited the manuscript. JCW provided assistance with the laboratory analysis and edited the manuscript. RAV supervised the research and edited the manuscript.

Data availability statement

Should the manuscript be accepted, the data supporting the results will be archived in an appropriate public repository, such as Dryad or Figshare, and the data DOI will be included in the article.

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