Causes of death following small cell lung cancer diagnosis: a population-based analysis

Xue-qin Wu¹,²,³, Jing-yi Li¹,²,³ and Wen-jing Du¹,²,³*

Abstract

Purpose: To examine the distribution of causes of death (CODs) in patients with small cell lung cancer (SCLC).

Methods: Patients diagnosed with SCLC were identified from the Surveillance, Epidemiology, and End Results Program database during 2004–2015. Standardized mortality rates (SMRs) were performed for each COD to present changes in risk for a particular COD following SCLC diagnosis.

Results: A total of 44,506 patients diagnosed with SCLC were identified in this study, and 42,476 patients died during the follow-up. Of total deaths, 69.5% occurred within the first years after diagnosis, 26% occurred from 1 to 3 years, and 4.5% individuals survived longer than 3 years. In addition, 88.7% of deaths were caused by SCLC, followed by non-cancer causes (7.1%) and other cancers (4.2%). Moreover, non-cancer CODs increased from 6.3 to 30% over time after 3 years of diagnosis. As for non-cancer CODs, cardiovascular diseases, COPD, and septicemia were the most common in SCLC.

Conclusion: Non-cancer CODs, such as cardiovascular events, COPD and septicemia, contribute to a considerable proportion of deaths among long-term SCLC survivors, supporting the involvement of multidisciplinary care for the follow-up strategy in SCLC.

Keywords: Cause of death, SCLC, SEER, Lung cancer

Introduction

Lung cancer is the leading cause of cancer-related deaths worldwide. Small cell lung cancer (SCLC) as a high-grade neuroendocrine tumor, accounts for approximately 15% of all diagnosed lung cancers [1]. Characterized by rapid growth and early tendency to widespread metastasis, 70% of SCLC patients are initially diagnosed at an advanced stage [2]. In addition, different from NSCLC, surgery is not appropriate for most SCLC, while chemo- and radiotherapy represent the mainstay of treatment. Though a good initial response to such treatment is observed, most of the patients might experience relapse with the disease being refractory, leading to a very dismal prognosis [3, 4].

In recent years, with the emergence of multidisciplinary treatment strategies, including concurrent chemoradiotherapy, prophylactic cranial irradiation, targeted and immunotherapy, the prognosis of SCLC has improved with a 2-year overall survival (OS) of 20–54.4% and 2.8–19.5% for limited-stage and extensive-stage disease, respectively [5–8].

Recently, increasing studies reported that owing to the improved survival among cancer patients, patients are more likely to live long enough after initial diagnosis to the point that non-cancer-related comorbidities may considerably affect their overall survival [9–12]. However, due to the low survival rate, no study has formally evaluated the characteristics of long-term (3-year) survivors of SCLC [13]. For SCLC, tobacco use is found to be closely related to its tumorigenesis, with about 95% of patients being current or former smokers. Patients with SCLC are more likely to suffer from smoking-related chronic
comorbidities, such as chronic obstructive pulmonary disease (COPD), cardiovascular and cerebrovascular diseases [14]. In addition, most patients diagnosed with SCLC are over 60 years old, where studies found that the increase in age is also accompanied by an increase in comorbidity [15, 16]. Therefore, understanding the distribution of different cause of death (COD) in patients with SCLC is important for developing individual follow-up strategies.

In this study, an analysis of CODs after a diagnosis of SCLC was conducted, aiming to fill the knowledge gap in the prevention of potential underlying diseases that may lead to death.

**Material and methods**

**Study population**

Patients with SCLC diagnosed between 2004 and 2015 were identified from the Surveillance, Epidemiology, and End Results (SEER) registries. SCLC was defined based on the following International Classification of Diseases for Oncology Third Edition (ICD-O-3), morphology codes: 8002/3, 8041/3, 8042/3, 8043/3, 8044/3, and 8045/3. Patients with more than one cancer and those younger than 18 years were excluded. SEER does not uncover sensitive patient information, and we registered the study with the Institutional Review Board (IRB) and received clearance.

**Statistical analysis**

CODs were categorized by the International Classification of Diseases (ICD)-10 codes and were listed in Additional file 1: Table S1. For included patients with SCLC, we surveyed CODs with further stratification by these variables: age at diagnosis, race, treatment (chemotherapy and radiotherapy) and stage. Data are presented in 3 groups based on the latency period: <1 year, 1–3 years, and >3 years following SCLC diagnosis. Standardized mortality ratios (SMRs) with 95% confidence intervals (CIs) were computed for each specific COD using SEER*Stat 8.3.9. SMR was defined as the observed number of deaths in the included patients divided by the expected number of deaths in the matched general population (adjusting for sex, age, race and calendar year). The SMR in this study provides the excess mortality of a specific COD after a diagnosis of SCLC relative to the background mortality in the US. A significant increase in the risk of a specific COD was defined as a p value < 0.05. All statistical tests were 2-sided.

**Results**

**Baseline characteristics**

A total of 44,506 patients diagnosed with SCLC were identified from 2004 to 2015, of which, 74.4% were aged over 60 years old, 86.2% were white individuals, and 64.5% were initially diagnosed as stage IV. Surgery was performed in less than 3% of patients. During the follow-up, 42,476 patients died with a median age of 66.75 years old. Of total deaths, 69.5% occurred within the first years after diagnosis, 26.0% occurred from 1 to 3 years, and 4.5% individuals survived longer than 3 years (Table 1). In addition, 88.7% of death were caused by SCLC, followed by non-cancer causes (7.1%) and other cancers (4.2%). About 34 077 patients received at least one treatment type for SCLC (surgery, radiotherapy, chemotherapy). Among them, 28 847 (84.7%) died from SCLC, and 137 (0.4%) died from other smoking related cancers (cancers of the esophagus, larynx, mouth, throat, kidney, bladder, liver, pancreas, stomach, cervix, colon, and rectum, as well as acute myeloid leukemia). Of patients who were not treated, 84.7% also died from SCLC (8841), and nearly 1% died from other smoking-related cancers (100). Moreover, among patients who died from other cancers, receiving treatment led to less likely to die from smoking-related cancers than no treatment (12.0% vs. 15.6%).

As for non-cancer CODs, cardiovascular disease was the most common one (30.5%), followed by COPD (17.5%) (Fig. 1). Although patients with SCLC were at a statistically significant increased risk of death from each specific type of non-cancer cause (except for Alzheimer’s disease), the SMR was greatest for septicemia and COPD deaths at 9.47 (95% CI, 8.02–11.10) and 7.59 (95% CI, 6.96–8.26), respectively (Table 2). In addition, with increasing age at diagnosis, the extent of SMR elevation was attenuated considerably on a relative scale for most CODs, partly corresponding to increased background deaths occurring with aging. Patients with stage I-III were more likely to die from other causes (12.49% vs. 9.77%), while patients with stage IV were more likely to die from SCLC (78.46% vs. 88.09%). Additional file 2: Table S2–Additional file 11: Table S11 showed the analysis across variant demographic and tumor-related subgroups.

**Cause of death within 1 year following SCLC diagnosis**

A total of 29,536 death occurred within 1 year after the initial diagnosis of SCLC. 89.1% died of SCLC, 4.6% died of other cancers, and 6.3% died of non-cancer CODs. For non-cancer CODs, the leading causes were cardiovascular diseases (31.6%), COPD (15.6%), and septicemia (5.7%), respectively. In addition, the risks of SCLC patients dying from septicemia (SMR, 12.76; 95% CI, 10.45–15.44), infectious/parasitic diseases (SMR, 10.52; 95% CI, 7.94–13.66), COPD (SMR, 8.09; 95% CI, 7.19–9.07), pneumonia and influenza (SMR, 6.89; 95% CI, 5.43–8.62), and suicide (SMR, 5.45; 95% CI, 3.45–8.17) were 5 times higher than what expected in the general population.
Among patients younger than 60 years of age at diagnosis, the most common non-cancer COD within one year was cardiovascular diseases (35.12%), and the other four accounted for similar proportions (accidents and adverse effects of medications, infectious/parasitic diseases, septicemia, and COPD) (Additional file 2: Table S2). For patients aged more than 60 years, COPD emerged as the second most common non-cancer COD. And the SMR of COPD also became the second-highest one for patients aged more than 70 years (SMR, 6.79; 95% CI, 5.82–7.86) (Additional file 3: Table S3, Additional file 4: Table S4).

### Cause of death within 1–3 years following SCLC diagnosis

A total of 11,040 death occurred within 1–3 years following SCLC diagnosis, 91.6% died of SCLC, 3.2% died of other cancers, and 5.1% died of non-cancer causes. The most common non-cancer CODs continued to be cardiovascular diseases (35.3%). Similar trends were
Table 2  Observed deaths and SMRs for causes of death after diagnosis of SCLC

| Cause of death                                                                 | <1 y Observed, no. (%) | <1 y SMR (95% CI) | 1–3 y Observed, no. (%) | 1–3 y SMR (95% CI) | > 3 y Observed, no. (%) | > 3 y SMR (95% CI) | Total deaths Observed, no. (%) | Total deaths SMR (95% CI) |
|--------------------------------------------------------------------------------|------------------------|-------------------|--------------------------|-------------------|-------------------------|-------------------|--------------------------------|--------------------------|
| All                                                                            | 29,536 (100)           | 54.96 (54.33– 55.59)* | 11,040 (100)             | 43.00 (42.20– 43.81)* | 1,900 (100)             | 8.19 (7.83– 8.57)* | 42,476 (100)                    | 41.39 (41.00– 41.79)*    |
| SCLC                                                                          | 26,306 (89.1)          | 583.5 (576.5– 590.6)* | 10,115 (91.6)            | 475.8 (466.6– 485.2)* | 1,258 (66.2)            | 73.55 (69.55– 77.7)* | 37,679 (88.7)                    | 451.5 (447.0– 456.1)*    |
| Other cancers                                                                 | 1355 (4.6)             | 1.256 (1.190– 1.325)* | 358 (3.2)                | 6.86 (6.17– 7.61)*   | 67 (3.5)                | 1.49 (1.16– 1.90)* | 1,780 (4.2)                     | 8.68 (8.29– 9.10)*       |
| Noncancer causes                                                              | 1875 (6.3)             | 4.88 (4.66– 5.10)* | 567 (5.1)                | 3.09 (2.84– 3.36)*   | 575 (30.3)              | 3.38 (3.11– 3.67)* | 3,017 (7.1)                      | 4.09 (3.94– 4.24)*       |
| Septicemia                                                                    | 106 (5.7)              | 1.276 (1.045– 1.544)* | 29 (5.1)                 | 7.15 (4.79– 10.26)*  | 17 (3.0)                | 4.60 (2.68– 7.37)* | 152 (5.0)                       | 9.47 (8.021–110)*        |
| Infectious/parasitic diseases including HIV infection                          | 56 (3.0)               | 10.52 (7.94– 13.66)* | 4 (0.7)                  | 1.55 (0.42– 3.97)*   | 9 (1.6)                 | 4.29 (1.96– 8.13)* | 69 (2.3)                        | 6.90 (5.37– 8.73)*       |
| Diabetes mellitus                                                             | 34 (1.8)               | 1.89 (1.31– 2.64)* | 6 (1.1)                  | 0.69 (0.25– 1.51)*   | 9 (1.6)                 | 1.2 (0.55– 2.27) | 49 (1.6)                       | 1.43 (1.06– 1.89)*       |
| Alzheimer’s disease                                                           | 7 (0.4)                | 0.5 (0.20– 1.03)    | 4 (0.7)                  | 0.57 (0.16– 1.46)    | 26 (4.5)                | 3.17 (2.07– 4.65) | 37 (1.2)                       | 1.27 (0.89– 1.75)        |
| Cardiovascular diseases                                                       | 593 (31.6)             | 4.03 (3.77– 4.44)* | 200 (35.3)               | 3.0 (2.59– 3.44)*    | 128 (22.3)              | 2.14 (1.79– 2.53) | 921 (30.5)                      | 3.40 (3.18– 3.62)*       |
| Cerebrovascular diseases                                                      | 75 (4.0)               | 2.65 (2.09– 3.32)* | 29 (5.1)                 | 2.18 (1.46– 3.14)*   | 45 (7.8)                | 3.63 (2.65– 4.86) | 149 (4.9)                       | 2.76 (2.34– 3.24)*       |
| Pneumonia and influenza                                                       | 76 (4.1)               | 6.89 (5.43– 8.62)* | 15 (2.6)                 | 2.94 (1.65– 4.85)*   | 22 (3.8)                | 4.65 (2.92– 7.05) | 113 (3.7)                       | 5.42 (4.47– 6.51)*       |
| COPD/associated conditions                                                    | 292 (15.6)             | 8.09 (7.19– 9.07)* | 86 (15.2)                | 4.91 (3.93– 6.06)*   | 151 (26.3)              | 9.38 (7.94– 11.00) | 529 (17.5)                      | 7.59 (6.96– 8.26)*       |
| Chronic liver disease/cirrhosis                                               | 15 (0.8)               | 2.19 (1.22– 3.61)* | 4 (0.7)                  | 1.17 (0.32– 2.99)    | 6 (1.0)                 | 2.11 (0.77– 4.59) | 25 (0.8)                       | 1.90 (1.23– 2.81)*       |
| Nephritis, nephrotic syndrome, and nephrosis                                  | 31 (1.7)               | 2.89 (1.97– 4.11)* | 12 (2.1)                 | 2.35 (1.21– 4.10)*   | 11 (1.9)                | 2.37 (1.18– 4.23) | 54 (1.8)                       | 2.64 (1.98– 3.44)*       |
| Accidents and adverse effects of medications                                 | 70 (3.7)               | 4.57 (3.56– 5.77)* | 29 (5.1)                 | 3.85 (2.58– 5.53)*   | 34 (5.9)                | 5.07 (3.51– 7.08) | 133 (4.4)                      | 4.50 (3.77– 5.33)*       |
| Suicide and self-inflicted injury                                              | 23 (1.2)               | 5.45 (3.45– 8.17)* | 8 (1.4)                  | 3.98 (1.72– 7.85)*   | 2 (0.3)                 | 1.27 (0.15– 4.57) | 33 (1.1)                       | 4.23 (2.91– 5.93)*       |
| Other                                                                          | 497 (26.5)             | 6.10 (5.57– 6.66)* | 141 (24.9)               | 3.50 (2.95– 4.13)*   | 115 (20.0)              | 2.89 (2.39– 3.47) | 753 (25.0)                      | 4.66 (4.33– 6.01)*       |

*p indicated p < 0.05
observed across various demographic and tumor-related subgroups, with cardiac diseases being the most common non-cancer COD. (Additional file 2: Tables S2–Additional file 9: Table S9).

**Cause of death within more than 3 years following SCLC diagnosis**

After 3 years of survival after SCLC diagnosis, 1,900 patients died. Of them, 66.2% died of SCLC, 3.5% died of other cancers, and 30.3% died of non-cancer causes. The most common non-cancer CODs were COPD (26.3%), cardiovascular diseases (22.3%), and cerebrovascular diseases (7.8%), respectively. The SMR elevated to the highest level for cerebrovascular diseases, COPD and accidents and adverse effects of medication death (Table 2). Similar trends were noticed in patients aged more than 60 years, where COPD was found to be the leading non-cancer COD (Additional file 3: Table S3 and Additional file 4: Table S4).

**Discussion**

The cohort study showed that the majority of deaths in US patients with SCLC between 2004 and 2015 occurred within 3 years following diagnosis, most of which were attributed to SCLC. However, due to the significant increase in non-cancer CODs, the frequency of SCLC-related death decreased over time. Among patients who survived more than 3 years, the incidence of non-cancer CODs reached 30.3%. Furthermore, the most common non-cancer CODs in SCLC were cardiovascular diseases, septicemia, COPD, and cerebrovascular diseases, respectively. Of these, cardiovascular diseases and COPD remained dominant even though non-cancer CODs changed over time. In addition, compared with the general U.S. population, patients with SCLC were at a higher risk of dying from most CODs.

Heart disease and cancer are the leading causes of mortality worldwide. Studies assessing the causes of death among cancer patients have revealed an increased risk of cardiovascular diseases [17, 18]. In the previous analysis of lung cancer, cardiovascular diseases were found to account for a considerable proportion of deaths in long-term lung cancer survivors [19, 20]. Another study on NSCLC indicating cardiovascular diseases accounted for approximately 5.3% of the total death, only secondary to primary cancer [21]; similar conclusions were reached in our study, where cardiovascular diseases were found to be a formidable health problem in SCLC, especially in patients surviving longer than three years. Studies have demonstrated that the elevated incidence of cardiovascular diseases may be partly due to toxicities of cancer treatment including radiotherapy and chemotherapy [17]. Recently, with the development of immunotherapy in SCLC, immune-related cardiovascular diseases are increasingly recognized, and this will further increase the incidence of cardiovascular death [22]. Thus, the early involvement of cardiologists in such patients is recommended to provide optimal comprehensive care.

COPD was another common cause of non-cancer CODs in our analysis during all examined latency periods and in all subgroups. As a systemic inflammatory disease, COPD has been proved to be associated with many systemic comorbidities [23, 24]. Recently, studies found that chronic inflammation in COPD could also promote tumorigenesis through inducing the expression of STAT3 and other growth factors [25]. A higher prevalence of COPD was reported in lung cancer patients and vice versa, its presence was also found to be closely related to the prognosis of SCLC [26, 27]. Moreover, the incidence of vascular-related events was also higher in COPD patients, which may further undermine the prognosis of patients with SCLC [28]. Such tight association between COPD, cardiovascular events and SCLC may be partly attributed to shared risk factors like tobacco use and age [14, 29]. Thus, the smoking cessation campaign is called in this population.

In addition to cardiopulmonary death, the risk of death from septicemia was also increased in SCLC, especially in patients diagnosed with SCLC within one year. It may be explained by the fact that septicemia in SCLC is often associated with tumor-related treatments, including surgery, chemotherapy, and radiotherapy [30]. Studies reported that sepsis after surgery or chemotherapy could lead to a decline in cancer survival rates [31, 32]. Therefore, the monitoring of infection-related indicators is also an important part of treatment strategies for SCLC.

Finally, given the fact that the risk of suicide significantly increases within the first years after SCLC diagnosis, psychiatric assessment and support should also be incorporated into initial treatment plans [33–35].

Like other retrospective studies, the current study had several limitations that should be acknowledged. First, due to the inherent weaknesses of the SEER database, we do not have detailed information on disease recurrence, postoperative complications and treatments, one or all of which will influence the survival durations captured in this work and may complicate the interpretation of survival and death patterns. However, these limitations apply to all population-based analyses based on SEER or other similar large-scale data repositories. Second, for patients with more than one fatal complication, some CODs may be underreported, leading to potential bias. Finally, due to the large sample size, it is possible that some statistically significant findings are accidental and not necessarily clinically significant, thus, it is important to interpret these results through the absolute value of SMR. Despite
these limitations, our work is the first to provide CODs distribution in SCLC, which may provide new insights into the treatment and health risk counseling.

Conclusion
Patients with SCLC were at a higher risk of dying from most non-cancer CODs. Furthermore, during follow-up after SCLC diagnosis, the incidence of death from non-SCLC causes increased as survival time was prolonged. Cardiovascular events, COPD, and sepsis were the most common causes. These findings highlight the importance of multidisciplinary care for the follow-up strategy in SCLC.

Supplementary Information
The online version contains supplementary material available at https://doi.org/10.1186/s12890-022-02053-4.

Additional file 1. Definition of each cause of death and corresponding codes in the ICD-10.
Additional file 2. SMRs for each cause of death following SCLC diagnosis in patients younger than 60 years.
Additional file 3. SMRs for each cause of death following SCLC diagnosis in patients aged 60-69 years.
Additional file 4. SMRs for each cause of death following SCLC diagnosis in patients aged more than 70 years.
Additional file 5. SMRs for each cause of death following SCLC diagnosis in white patients.
Additional file 6. SMRs for each cause of death following SCLC diagnosis in black patients.
Additional file 7. SMRs for each cause of death following SCLC diagnosis in patients of other races.
Additional file 8. SMRs for each cause of death following SCLC diagnosis in patients undergoing chemotherapy.
Additional file 9. SMRs for each cause of death following SCLC diagnosis in patients undergoing radiotherapy.
Additional file 10. SMRs for each cause of death in patients of stage I-III SCLC.
Additional file 11. SMRs for each cause of death in patients of stage IV SCLC.

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Author contributions
All authors contributed to the study’s conception and design. Material preparation, data collection and analysis were performed by XW, JL, and WD. The first draft of the manuscript was written by XW and all authors commented on previous versions of the manuscript. All authors read and approved the final manuscript.

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Availability of data and materials
The original data came from the SEER database. All data discussed in the manuscript are included within this published article.

Declarations

Ethics approval and consent to participate
The study was performed in accordance with the Declaration of Helsinki and was approved by the Medical Ethics Committee of Shanxi Cancer Hospital.

Consent for publication
Not applicable.

Competing interests
The authors declare that they have no competing interests.

Author details
1Department of Radiotherapy, Shanxi Province Cancer Hospital, Taiyuan 030000, Shanxi, China. 2Department of Radiotherapy, Shanxi Hospital Affiliated to Cancer Hospital, Chinese Academy of Medical Sciences, Taiyuan, 030000, Shanxi, China. 3Department of Radiotherapy, Cancer Hospital Affiliated to Shanxi Medical University, Taiyuan 030000, Shanxi, China.

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