Electrochemistry and Spectroelectrochemistry of Lead Halide Perovskite Films: Materials Science Aspects and Boundary Conditions

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ABSTRACT: The unique optoelectronic properties of lead halide perovskites have triggered a new wave of excitement in materials chemistry during the past five years. Electrochemistry, spectroelectrochemistry, and photoelectrochemistry could be viable tools both for analyzing the optoelectronic features of these materials and for assembling them into hybrid architectures (e.g., solar cells). At the same time, the instability of these materials limits the pool of solvents and electrolytes that can be employed in such experiments. The focus of our study is to establish a stability window for electrochemical tests for all-inorganic CsPbBr3 and hybrid organic–inorganic MAPbI3 perovskites. In addition, we aimed to understand the reduction and oxidation events that occur and to assess the damage done during these processes at extreme electrochemical conditions. In this vein, we demonstrated the chemical, structural, and morphological changes of the films in both reductive and oxidative environments. Taking all these results together as a whole, we propose a set of boundary conditions and protocols for how electrochemical experiments with lead halide perovskites should be carried out and interpreted. The presented results will contribute to the understanding of the electrochemical response of these materials and lead to a standardization of results in the literature so that comparisons can more easily be made.

INTRODUCTION

The discovery of the intriguing optoelectronic properties of lead halide perovskites has created excitement in various fields of materials science, especially in solar energy conversion.1,2 While the most prominent application avenue for these materials is in solar cells, where the current certified record efficiency is held at 22.1%,3 they have shown great promise as light-emitting diodes,4 photodetectors,5 and lasers.6 The common virtue of all these applications is that charge carrier generation and transport are involved. Although electrochemistry is a simple tool to probe charge carrier formation and transport, there is surprisingly little precedence in the literature on this matter. Electrochemical experiments would be powerful tools to study the rich solid state chemistry aspects of perovskites, where defects and grain boundaries as well as presence of minority phases could be monitored. In addition, investigation of the optoelectronic properties—including determination of band edge positions and trap state density mapping—would be possible to perform using spectroelectrochemistry. Electrochemical measurements could also contribute to the better understanding of chemical changes occurring at the various interfaces in perovskite solar cells.8 Finally, electrochemical synthetic techniques could provide new opportunities to assemble complex perovskite based architectures.

The infancy of perovskite electrochemistry is most likely rooted in the instability of the material in almost all commonly used solvents and electrolytes. Recently, a few studies employed electrochemistry for different purposes, such as probing Li+ intercalation/deintercalation10 and electrochemiluminescence measurements.11–13 It was observed that charging/discharging studies need to be conducted with extreme caution because unintended side reactions may take place which would cause inaccuracies in the determined charge capacitance values.9,10,14 Electrogenerated luminescence was reported with such materials, although the phenomenon is not fully understood yet.12–13 In another recent paper, a solvent toolkit was proposed for these Li+ intercalation experiments.16 A very thorough study investigated the electron injection process into formamidinium lead halide perovskite using spectroelectrochemistry.17 It was demonstrated in this study that it is very difficult, yet possible, to probe band edge positions via charge...
carrier injection. During these experiments, however, an irreversible electrochemical reduction occurred, thus making the picture even murkier. An overview of the possible solution chemistry events was given, although without taking into account the likely contribution of the electrolyte ions. A similar approach was followed for mixed CsPbX₃ (X = Cl, Br, and I) perovskites, where it was assumed that band edge positions can be simply determined from voltammetric data. The photoelectrochemical behavior of methylammonium lead iodide (MAPbI₃) was probed in dichloromethane, in the presence of different reversible redox couples (e.g., ferrocene and benzoquinone). Cells showed high open-circuit voltage and remarkable stability for prolonged irradiation time in these systems. Electrochemical impedance spectroscopy and Mott–Schottky analysis was also performed to estimate the charge carrier density and flatband potential of different optically active perovskites. Most recently, we demonstrated the use of transient absorption spectroscopy under electrochemical control.

Despite the above examples, solid and coherent knowledge about the fundamental electrochemical behavior of these materials is missing. Thus, the interpretation of results can be challenging. In this paper, the electrochemical behavior of the two most frequently studied perovskites (MAPbI₃, and CsPbBr₃) is scrutinized in conventional electrolytes. The stability window was established, and the products formed during the redox transformation of these materials were analyzed. Elaborating on these results, guidelines are given to perform different (photo)electrochemical experiments, which can be later exploited in solar fuel generation or for the electrodeposition of a hole transporter material.

**EXPERIMENTAL SECTION**

**Electrode Preparation.** To study practically relevant electrodes as model systems, CsPbBr₃ and MAPbI₃ were immobilized on TiO₂-coated fluorine doped tin oxide (FTO) glass electrodes, thus mimicking the commonly used architecture in perovskite solar cells. During the electrochemical experiments, dichloromethane (DCM) was used as solvent while tetrabutyl ammonium tetrafluoroborate (Bu₄NBF₄), tetrabutyl ammonium perchlorate (Bu₄NClO₄), and tetrabutyl ammonium hexafluorophosphate (Bu₄NPF₆) were used as conducting electrolytes. All of these chemicals were carefully dried before use as described in the Supporting Information.

The electrode preparation process is detailed in the Supporting Information. Briefly, it comprises the following steps. First, a TiO₂ blocking layer followed by a mesoporous TiO₂ layer was spin-coated on FTO slides. Subsequently, the FTO/bl-TiO₂/mp-TiO₂ samples were subjected to a TiCl₄ treatment step. The fabrication of the FTO/TiO₂/MAPbI₃ electrodes followed the Lewis base adduct method. The perovskite layers were obtained via the one-step method where the precursors were dissolved in dimethylformamide and were then spin-coated onto the FTO/bl-TiO₂/mp-TiO₂ substrates. A hot injection method was used with Cs-oleate and PbBr₂ precursors to synthesize CsPbBr₃ nanocrystals (NCs). Finally, bulk films were formed using subsequent deposition of the NCs on the substrate as demonstrated in previous reports. The perovskite-coated electrodes were freshly prepared and kept in a glovebox before use.

**Characterization Methods.** Steady state UV–vis absorption spectra of the prepared electrodes were recorded with a Cary 50 Bio spectrophotometer (Varian). X-ray diffraction (XRD) patterns were collected using a Bruker D8 DISCOVER instrument with Cu Kα X-ray source (λ = 1.5406 Å), in the 20–80° range, with a 2° min⁻¹ scan rate. Top-down and cross-sectional scanning electron microscopic (SEM) images were captured using a FEI Helios NanoLab DualBeam instrument. X-ray photoelectron spectra were acquired with a PHI VersaProbe II system. The binding energy scale was corrected with respect to adventitious carbon. For spectrum acquisition and evaluation, the MultiPak software was used. To fit the XP spectra and determine the elemental composition of the different samples a Shirley background was used. First the spectra of the pure CsPbBr₃ samples was fitted. From these fittings the profile shape, position, and full width at half maximum (FWHM) of the fitting functions were determined. For the electrochemically treated samples these fittings were functioned as starting parameters, from which the shape and FWHM was held constant for each subsequent fitting. Small variation in the peak position was allowed for each fit. If the fitting was inadequate, another component was added to the process.

The electrochemical measurements were carried out with a Gamry potentiostat in a standard three-electrode setup (see the schematics of the setup in Scheme S1.). The FTO/TiO₂/perovskite electrodes functioned as the working electrode, a Pt mesh (1 cm²) as the counter electrode (cleaned by “Piranha solution”, water, and finally DCM), and a Ag/AgCl wire as a pseudoreference electrode. The homemade Ag/AgCl pseudoreference electrode was a Ag wire having AgCl deposited on its surface. This is generally applied in organic media, because it
Methods/Protocols

...All cells were assembled in a glovebox (0.01 M ferrocene and 0.1 M Bu4NPF6). Cyclic voltammetry was used, and the formal potential was found to be $E = 0.45 \pm 0.04 \text{ V}$ vs our Ag/AgCl. All cells were assembled in a glovebox or inside of a sealed electrochemical cell that has been hermetically to ensure inert conditions.

Figure 2. Spectroelectrochemical data, recorded for FTO/TiO2/CsPbBr3 films in 0.1 M Bu4NPF6/dichloromethane electrolyte (10 mV s⁻¹ sweep rate), during the (A) oxidation and (B) reduction half cycle together with the absorbance change at the excitonic peak. (C) shows photographs of electrodes treated at selected potential values for 30 s.

can be considered as a secondary electrode, with very low chloride ion concentration. Its potential was calibrated before and after the experiments, by measuring the formal potential of the ferrocene/ferrocenium redox couple in dichloromethane (0.01 M ferrocene and 0.1 M Bu4NPF6). Cyclic voltammetry was used, and the formal potential was found to be $E = 0.45 \pm 0.04 \text{ V}$ vs our Ag/AgCl. All cells were assembled in a glovebox (N₂ atmosphere; H₂O < 0.1 ppm, O₂ < 0.1 ppm) and sealed hermetically to ensure inert conditions.

## RESULTS AND DISCUSSION

After screening a large pool of solvents and electrolytes, we concluded that dichloromethane (DCM) is a viable candidate. Most perovskites are stable in this medium, and it readily dissolves commonly employed electrolytes. To probe the stability of the films, a series of UV–vis spectra was recorded in pure DCM as well as in each electrolyte for a period of 60 min (Figure S1). Normalized absorbance traces, measured at 518 nm (the excitonic peak of CsPbBr3), are compared in Figure 1A for the different electrolytes. After an initial absorbance increase, where the film becomes cloudy and slightly opaque, the films begin to dissolve. The rate of dissolution is related to the complexing ability of the anions as well as the specific interactions between the different cations in the solution and the cations in the perovskite lattice.26,27 The following definite trend was found in the dissolution rate: BF4⁻ > ClO4⁻ ≈ PF6⁻. Based on these observations, we have chosen Bu4NPF6 for all further experiments. We note here that even trace amounts of water can completely ruin the stability of these samples (in our experiments the water content was always kept below 10 ppm). Therefore, electrochemical experiments involving lead halide perovskites should be conducted in an inert environment. This can be achieved by completing experiments either inside of a glovebox or inside of a sealed electrochemical cell that has been thoroughly purged. The latter option was used for all experiments discussed in this paper. Additionally, the water content of not only the pure solvents but also the solutions needs to be checked (e.g., by Karl Fischer titration), and additional drying steps should be employed if necessary.

In the next step, two separate spectroelectrochemical experiments were carried out, where the potential was scanned from the open circuit potential (OCP) value to both anodic and cathodic directions. Under positive (anodic) bias, the first oxidation wave was situated at 0.8 V, followed by a second oxidation peak starting at 1.3 V. In fact, this second wave is a sum of two peaks, as seen from the shoulder in Figure 2A. These redox events are reflected in distinct changes that occur in the optical behavior. During oxidation, the absorbance increased below 530 nm in the first oxidation step with the onset of ~0.6 V. The shape of the absorbance increase (i.e., difference absorbance spectrum) mirrors the initial spectrum of the electrode which indicates that the perovskite structure is preserved in the film even with a surface etching phenomenon occurring which causes this increase in absorbance (Figure S2). In addition, the absorbance increase (and opacity) witnessed upon immersion into 0.1 M Bu4NPF6 is also very similar (Figure S2). Overall, we think that this absorbance increase is not rooted in the formation of a new material, but in other physical factors (e.g., scattering) which enhance the absorption of the perovskite film. The absorbance drastically decreased during the second step (onset ~1.3 V). As for the reduction, there was a single redox peak centered at ~1.4 V, where notably higher current densities were measured compared to the oxidative events. As a result of the reduction, there was a rapid decrease in the absorbance at the excitonic peak characteristic to the perovskite material (Figure 2B). In parallel, there was an increased noncharacteristic absorption in the whole wavelength range (Figure S3), which was also confirmed by the reflective metallic appearance of the film (Figure 2C).

The question naturally comes whether these peaks correspond to Faradaic events (i.e., reduction of ions) or they are simply related to electron and hole injection into the conduction and valence bands (CB and VB), respectively, and, thus, represent band energy values. To probe the possible changes in the CsPbBr3 structure, the electrodes were polarized at $E = -1.4 \text{ V}, +0.8 \text{ V}$, and $+1.5 \text{ V}$ for 30 s in 0.1 M Bu4NPF6/DCM solution. After carefully washing the electrodes with DCM and drying them in an argon stream, XPS measurements were carried out to analyze the chemical composition of the surface. Several important trends can be revealed by the careful analysis of the XPS spectra (Figure 3): (i) the intensity of the Br⁻–related signal decreased during all treatments and a new species developed during the reduction; (ii) the F⁻ signal emerged upon oxidation and this trend was more pronounced for samples treated at more positive potentials; (iii) in parallel, a new electron-rich form of Cs⁺ developed for the oxidized samples; and (iv) a new form of oxygen arose in the reduced sample (in addition to the adsorbed oxygen moieties).

Taking these trends together as a whole, we propose the following explanations. The obvious reduction reaction is the formation of Pb at negative potentials (as also confirmed by SEM–EDX analysis, shown later). Pb is partially reoxidized to PbO upon air exposure while preparing the electrode for XPS measurements, confirmed by both the Pb and the O signals. During oxidation, the Br⁻ and Pb²⁺ content is gradually lost due to the destruction of the CsPbBr3 structure. In parallel the F⁻...
content (with a binding energy typical for $\text{PF}_6^-$) increased significantly, suggesting the formation of CsPF$_6$ on the surface. This notion was further confirmed by SEM−EDX, which demonstrated the P content in the oxidized samples. These trends are quantified in Table 1, where the surface composition of the various samples is presented. The larger dispersion in the case of the reduced electrodes further confirmed the inhomogeneity of these samples, to be shown later on the SEM images.

To quantify the above-mentioned trends, we deconvoluted the spectra in Figure 3, which allowed for quantitative analysis of the surface composition, and specifically to determine the amount of the various species. For example, upon the oxidation of CsPbBr$_3$, we assume the formation of CsPF$_6$. By analyzing the Cs 3d region, two distinct species were identified. By assigning the ones at 738.3 and 724.3 eV to CsPbBr$_3$ and the ones at 739.6 and 725.2 eV to CsPF$_6$, we calculated the theoretical Br$^-$, F$^-$, and Pb$^{2+}$ content (Table S2). As seen in Table S2, a very good match was found between the measured and the calculated data.

To further confirm the above conclusions, XRD analysis was also performed. We consider XPS analysis to be more...
Table 1. Compositional Analysis of the Surface of the Electrochemically Treated FTO/TiO2/CsPbBr3 Electrodes Using XPS

| sample     | Br, atomic % | Cs, atomic % | Pb, atomic % | F, atomic % |
|------------|--------------|--------------|--------------|-------------|
| as-is      | 59.9 ± 0.6   | 22.6 ± 1.0   | 17.5 ± 0.4   | 0           |
| reduced    | 40.7 ± 2.7   | 20.6 ± 0.8   | 26.9 ± 5.7   | 11.8 ± 7.5  |
| oxidized (0.8 V) | 22.2 ± 1.8 | 15.9 ± 1.0   | 8.4 ± 0.7    | 53.6 ± 2.1  |
| oxidized (1.5 V) | 9.6 ± 1.0    | 14.3 ± 0.5   | 5.2 ± 0.9    | 71.0 ± 1.5  |

“The STD values were derived from analyzing XPS spectra captured from three different spots of the sample.

informative, due to the very small thickness of the films employed in this study. In addition, because of the complexity of the system and the large number of possible corrosion products, there are notable overlaps among the various diffractions. Because of these two factors, we only make qualitative conclusions, which are in line with the XPS data presented above. Figure 4 shows the XRD patterns of FTO/TiO2/CsPbBr3 electrodes, held at the same potential values as those presented in Figure 3. The characteristic diffraction peaks of CsPbBr3 were identified on all diffractograms at 15.3°, 21.7°, 26.6°, 30.8°, and 33.8° 2θ values.25 Upon oxidation, a gradual decrease was witnessed in the intensity of these diffractions (Figure 4A), although because of the overlap of several diffractions this is not trivial for the first view. In addition, low intensity diffractions related to CsPF6 developed at 2θ = 21.6, 30.7, and 36.4 (PDF: 00-034-0506). Note that the elemental composition deduced from XPS data semiquantitatively showed regions of the diffractions related to CsPF6 developed at 2θ = 56.7°. Therefore, the intensity of the diffractions associated with CsPbBr3 was seen as a result of the cathodic treatment. In addition, PbO-related diffraction peaks appeared (PDF: 00-085-1288), suggesting that the formed Pb is readily reoxidized to PbO upon air exposure.26 Based on the above observations, the plausible reactions 1–3 are summarized below:

Oxidation:

\[
\text{CsPbBr}_3 + e^- \rightarrow \text{PbBr}_2 + \text{Cs}^+ + 0.5\text{Br}_2 \tag{1}
\]

\[
\text{PbBr}_2 + 2e^- \rightarrow \text{Pb}^{2+} + \text{Br}_2 \tag{2}
\]

Reduction:

\[
\text{CsPbBr}_3 + 2e^- \rightarrow \text{Pb} + \text{Cs}^+ + 3\text{Br}^- \tag{3}
\]

To validate that the observed chemical changes are direct consequences of the redox events identified on the voltammetric scans (Figure 2A,B), control measurements were carried out at milder conditions, of which −0.4 V, 0.4 V, and simple immersion were chosen. As seen in Figure S4, there was no change when the electrode was placed into the solution and when only moderate bias was employed. Most importantly, only negligible amounts of F− were detected (due to physisorbed PF6−), and the Br, Cs, Pb, and O elements were of identical chemical nature to those in the pristine sample (Figure S4). The quantitative surface composition analysis also supported these qualitative observations (Table S3).

The morphological changes that occurred during the redox events were visualized using SEM and SEM–FIB (to capture side-view images). The top view and cross-section images are compiled in Figure 5. The pristine sample has the granular morphology typically seen for CsPbBr3 (Figure 5A), and it remains almost intact under mild electrochemical conditions (Figure 5S). When the electrodes were exposed to more extreme electrochemical conditions (under the same circumstances as the samples discussed above), notable changes happened. Upon reduction, the particulate morphology disappeared and a compact film was formed at certain areas of the electrode (Figure 5B and Figure S6). At lower magnification, we could even observe formation of dendrites, and EDX analysis confirmed that the dendrites are made of Pb (Figure S6). During oxidation, rectangular particles were formed, which grew with the increasing potential (Figure 5C,D). For the sample held at 1.5 V bias potential, the underlying mesoporous TiO2 became visible because of the destruction of the original perovskite film (Figure 5D). What is common in all cases is that the initial compact film turns into a porous one. Thus, the applied potential impacts the morphological changes to different extents (Figure 5E–H). The side-view images provide similar insights: (i) the CsPbBr3 disappears at certain areas and the TiO2 becomes visible and (ii) a hollow structure is formed upon oxidation (Figure 5G and H), due to the dissolution of the perovskite.

To better understand the nature of these redox transformations, control experiments were carried out with FTO/TiO2/CsPbBr3 electrodes.
TiO₂ and FTO/TiO₂/PbBr₂ films (Figure S7). The PbBr₂ was more resistant toward oxidation, as the FTO/TiO₂/PbBr₂ was stable up to 1.6 V (vs 0.7 V in the case of CsPbBr₃). This suggests that only the third oxidation peak in Figure 2A is related to either PbBr₂ or the substrate, while the other two waves (at less positive potentials) are characteristic to CsPbBr₃. As for the reduction, a more interesting trend was seen: the CsPbBr₃ was more stable than PbBr₂, as this latter was reduced at 450 mV less negative potential.

To see whether the observed behavior is unique to CsPbBr₃ or if it is more general, we extended our study to MAPbI₃. Largely, the stability of the films was worse in all electrolytes studied in the present investigation (Figure 6A and Figure S8). However, the degradation trend at both positive and negative bias was the same as in the case of CsPbBr₃. In addition, the initial absorbance increase (and any opacity) was not seen in this case. Since the stability obtained in 0.1 M Bu₄NPF₆ solution was still not ideal, the electrolyte concentration was decreased to 0.01 M through which a reasonable lifetime was ensured (Figure 6A). Spectroelectrochemical studies revealed two oxidation and one reduction steps, at \( E = +0.8 \) V, \( +1.1 \) V, and \( -0.75 \) V, respectively. Careful inspection of the cathodic wave revealed a shoulder at \( E = -0.95 \) V. These redox events were coupled with a massive decrease in the absorbance related to the degradation of the film.
to MAPbI₃, and the degradation rate was especially high during reduction (Figure 6C and Figure S9). Similar to the previous example, control experiments were carried out with the PbI₂ films (Figure S10). Interestingly, PbI₂ was less resistant to oxidation compared to MAPbI₃. Pb²⁺ was reduced at the same potential in the case of each film (see Figures S9B and S10B), indicating the similar chemical nature of Pb²⁺ in the two compounds. Further details related to the MAPbI₃ system are given in the Supporting Information.

Taking the above results together, we constructed a potential diagram (Figure 7) to compare the potentials of the various redox events, deduced from the spectreolectrochemical studies. The position of the redox events was always calculated from the onset potential of the redox waves. The band edge positions of the perovskite materials were obtained from previous literature data and serve semiquantitative comparison, because the film preparation methods were not identical.²⁵,³⁰ This representation, together with the previously demonstrated structural analysis, allows the initial question of whether the currents measured during the voltammetric curves are related to charge carrier injection into the materials and/or to real Faradaic events to be answered. As for CsPbBr₃, a good match can be found whether it is a redox process limited by mass-transport from the solution or a surface confined one. If there is certainly no process other than charge injection, then voltammetric curves can be employed to determine band edge positions. Similar to organic semiconductors,³¹ however, the onsets of the redox peaks do not necessarily correspond to the maximum of the photoresponse, which is pertinent to certain specific applications, and cannot be simply generalized. The researchers should provide data to support the stability test by monitoring the absorption and/or performing surface spectroscopy measurements before and after electrochemical measurements. This is the only way to ensure that the observed redox processes are chemically reversible. However, there is a safe electrochemical window to conduct electrochemical experiments. Based on our findings one should be able to conduct the electrochemical or spectreolectrochemical experiments reliably by adopting the conditions presented in Table 2. Note that the results in this table are based on a wealth of experiments, of which only a minor fraction is presented in this paper.

| Table 2. Parameters to Conduct (Spectro)Electrochemical Experiments |
|---------------------------------------------------------------|
| parameter | CsPbBr₃ | MAPbI₃ |
| electrochemical window | −1.0 to +0.6 V vs Ag/AgCl | −0.65 to +0.55 V vs Ag/AgCl |
| solvent | dichloromethane | dichloromethane |
| electrolyte | Bu₄NPF₆ ≤ 0.1 M | Bu₄NPF₆ ≤ 0.01 M |
| time window (maximum) | two hours | one hour |
| electrode substrate | FTO, FTO/TiO₂, glassy carbon | FTO, FTO/TiO₂, glassy carbon |

In addition to the experimental considerations, careful analysis of the results is equally important. First of all, the electrochemical processes behind the measured redox waves must be clearly identified to draw meaningful conclusions. It should be decided whether the current response reflects a chemical (faradaic) process or simple charging/discharging (i.e., electron or hole injection). If it is a chemical process, one should study its chemical and electrochemical reversibility. Similarly, it should be clarified whether it is a redox process limited by mass-transport from the solution or a surface confined one. If there is certainly no process other than charge injection, then voltammetric curves can be employed to determine band edge positions. Similar to organic semiconductors, however, the onsets of the redox peaks do not necessarily correspond to the maximum of the photoresponse, which is pertinent to certain specific applications, and cannot be simply generalized. The researchers should provide data to support the stability test by monitoring the absorption and/or performing surface spectroscopy measurements before and after electrochemical measurements. This is the only way to ensure that the observed redox processes are chemically reversible. However, there is a safe electrochemical window to conduct electrochemical experiments. Based on our findings one should be able to conduct the electrochemical or spectreolectrochemical experiments reliably by adopting the conditions presented in Table 2. Note that the results in this table are based on a wealth of experiments, of which only a minor fraction is presented in this paper.

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ASSOCIATED CONTENT

Supporting Information

The Supporting Information is available free of charge on the ACS Publications website at DOI: 10.1021/acs.chemmate.7b04321.

UV–vis spectra recorded in different electrolytes, additional spectroelectrochemical data, additional SEM images and XPS data, and control measurements with PbBr₂ and PbI₂.

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Notes

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