Ab initio real-time quantum dynamics of charge carriers in momentum space

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Application of the non-adiabatic molecular dynamics (NAMD) approach is limited to studying carrier dynamics in the momentum space, as a supercell is required to sample the phonon excitation and electron–phonon (e–ph) interaction at different momenta in a molecular dynamics simulation. Here we develop an ab initio approach for the real-time charge carrier quantum dynamics in the momentum space (NAMD_\(k\)) by directly introducing e–ph coupling into the Hamiltonian based on the harmonic approximation. The NAMD_\(k\) approach maintains the zero-point energy and includes memory effects of carrier dynamics. The application of NAMD_\(k\) to the hot carrier dynamics in graphene reveals the phonon-specific relaxation mechanism. An energy threshold of 0.2 eV—defined by two optical phonon modes—separates the hot electron relaxation into fast and slow regions with lifetimes of pico- and nanoseconds, respectively. The NAMD_\(k\) approach provides an effective tool to understand real-time carrier dynamics in the momentum space for different materials.

Tracking the quantum dynamics of excited charge carriers in solid materials in multidimensions—including time and energy domains, as well as real and momentum spaces—is fundamental to understanding many dynamical processes in optoelectronics, spin- and valleytronics, solar energy conversion, and so on. Different experimental techniques—including ultrafast time- and angle-resolved photoemission spectroscopy (TR-ARPES), with time, energy and momentum resolution—have been rapidly developed and applied to investigate charge carrier dynamics in various materials. However, without the input of ab initio investigations, it is difficult to understand the physical mechanisms behind the experimental spectra. It is therefore urgent to develop an ab initio simulation approach to achieve a state-of-the-art understanding of multidimensional carrier dynamics in solids.

An ab initio approach based on perturbation theory provides useful information to understand carrier lifetimes governed by different scattering mechanisms. In the past few years, the development of the real-time Boltzmann transport equation (rt-BTE) made it possible to investigate the carrier and phonon dynamics based on the semi-classical Boltzmann transport equation. On the other hand, in recent decades, real-time time-dependent density functional theory (rt-TDDFT), which is based on Ehrenfest dynamics, and non-adiabatic molecular dynamics (NAMD) approaches, which combines real-time time-dependent Kohn–Sham theory and surface hopping, have been applied to investigate the quantum dynamics of excited charge carriers in a non-perturbative way. Electron–phonon (e–ph) coupling, spin–orbit coupling (SOC) and the many-body electron–hole interaction have been included with different theoretical strategies; however, it is difficult to achieve real-time carrier dynamics in the momentum space in all of these methods. In past NAMD simulations, the phonon excitation is described using ab initio molecular dynamics (AIMD) within periodic boundary conditions, and only phonons at the

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\( \Gamma \) point (the centre of the Brillouin zone where the momentum is zero) are included. The electron transition from one \( k \)-point (a point in the reciprocal space representing the momentum of the electron) to another through \( e \)-\( ph \) is therefore forbidden even though the electronic states can be simulated using multiple \( k \)-points. To sample the phonon excitation and \( e \)-\( ph \) interaction at different momenta, a supercell needs to be used so that phonons at other \( q \)-points (points in the reciprocal space representing the momenta of phonon modes) can be folded to the \( \Gamma \) point. The density of the \( q \)-point grid is determined by the size of the supercell and, due to the computational cost, usually only a few \( q \)-points can be included in the NAMD simulation\(^{10,12}\). By contrast, the \( e \)-\( ph \) scattering between different momenta often needs to be simulated with very dense \( k \) and \( q \)-point grids, especially when the electronic band dispersion is strong. An ab initio approach to describe the real-time quantum dynamics of photo-excited carriers in the momentum space is thus essential.

In this work, by introducing \( e \)-\( ph \) coupling elements into the time-propagation Hamiltonian, we extended the ab initio NAMD approach from the real-space (NAMD\(_r\)) to the momentum space (NAMD\(_k\)). Unlike the NAMD\(_r\) approach, in which a large supercell AIMD simulation is required for \( q \)-grid sampling, in NAMD\(_k\) \( k \) and \( q \) sampling is performed by the calculation of \( e \)-\( ph \) matrix elements using a unit cell; the computational cost is therefore substantially reduced. Moreover, the NAMD\(_k\) approach provides a straightforward picture not only of the dynamics of electrons in the momentum space, but also the time-dependent phonon excitation of the lattice due to the \( e \)-\( ph \) scattering. The phonon zero-point energy and phonon dispersion are accurately represented with memory effects. Using this approach, we have investigated the hot carrier dynamics in graphene. It is found that there is an energy threshold at 0.2 eV above the Fermi level (\( E_F \)). The threshold separates the hierarchical relaxation dynamics from fast (picosecond) to slow (nanosecond) regions. The intervalley \( e \)-\( ph \) scattering is activated in the fast region but strongly suppressed in the slow region. The energy threshold is determined by strongly coupled optical phonon modes \( A_1 \) and \( E_2g \). Our work not only reveals the phonon mode-specific energy threshold for hot electron relaxation in graphene, but also provides a tool which can be widely applied to study excited carrier dynamics in different solid state systems with momentum space resolution.

**Results**

**Theoretical framework of NAMD\(_k\)**

In the NAMD\(_r\) approach, the charge carrier (electron or hole) wavefunction \( |\Psi(r; R(t))\rangle \) is expanded in the basis of instantaneous adiabatic Kohn–Sham orbitals \( |\psi_n(r; R(t))\rangle \), which are obtained by solving the Kohn–Sham equation at atomic configuration \( R(t) \),

\[
|\Psi(r; R(t))\rangle = \sum_n c_n(t)|\psi_n(r; R(t))\rangle, \quad (1)
\]

where \( c_n(t) \) is the time-dependent expansion coefficient of the \( n \)th Kohn–Sham orbital, and \( R(t) \) is the position of carrier. Based on the classical-path approximation (CPA)\(^*\), \( R(t) \) can be obtained by AIMD. The charge carrier wavefunction follows the time-dependent Schrödinger equation (TDSE)

\[
\imath\hbar \frac{\partial}{\partial t} |\Psi(r; R(t))\rangle = \hat{H}^{e}\rho(r; R(t))|\Psi(r; R(t))\rangle, \quad (2)
\]

where \( \hat{H}^{e}\rho \) is the electronic Hamiltonian. Then, a set of differential equations for the coefficients \( c_n(t) \) is produced

\[
\imath\hbar \frac{d}{dt} c_n(t) = \sum_m c_m(t)c_n - \frac{\hbar d_{mn}}{e_n - e_m}. \quad (3)
\]

where \( e_n \) is the energy of the adiabatic Kohn–Sham state, and \( d_{mn} \) is the non-adiabatic coupling (NAC) between Kohn–Sham states \( m \) and \( n \). The NAC can be written as

\[
d_{mn} = \langle \psi_m | d(t) | \psi_n \rangle = \frac{\langle \psi_m | \nabla R \hat{H}^{e} \psi_n \rangle}{e_n - e_m} R. \quad (4)
\]

Here, \( e_m \) and \( e_n \) are the eigenvalues of the Kohn–Sham orbitals \( m \) and \( n \), \( d_{mn} \) is the \( e \)-\( ph \) coupling term, and \( R \) is the nuclear velocity; \( N \) is the crucial term in the NAMD\(_r\) simulation. It determines not only the time-dependent coefficient evolution, but also the hopping probability in the subsequent surface hopping step\(^{10,12}\). According to Bloch’s theory, NAC is zero if \( \psi_m \) and \( \psi_n \) have different \( k \) vectors (see Supplementary Section 1 for more details); therefore, the NAMD\(_r\) approach cannot efficiently simulate the carrier dynamics in the momentum space.

The NAMD\(_k\) approach is based on the harmonic approximation. By contrast with equation (1), we expand the charge carrier wavefunction using the Kohn–Sham orbitals of the equilibrium atomic configuration \( R_0 \),

\[
|\Psi(r; R(t))\rangle = \sum_{nk} \epsilon_{nk}(t)|\psi_{nk}(r; R_0)\rangle, \quad (5)
\]

where the Kohn–Sham orbital \( |\psi_{nk}(r; R_0)\rangle \), with band index \( n \) and momentum \( k \), is the eigenstate of the equilibrium configuration \( R_0 \).

The charge carrier Hamiltonian is naturally divided into two parts:

\[
\hat{H}^{e}(r; R(t)) = \hat{H}^{e}_{ph}(r; R(t)) + \Delta V(r; R(t)), \quad (6)
\]

where \( \Delta V \) is the potential introduced by nuclear displacements \( \Delta \mathbf{R}(t) = \mathbf{R}(t) - \mathbf{R}_0 \). Combining the above equations, we get a new coefficient evolution equation:

\[
\imath\hbar \frac{d}{dt} c_{mk}(t) = \sum_{nk} \{ \hat{H}^{e}_{ph}(mk; nk)\} c_{nk}(t). \quad (7)
\]

Here,

\[
\hat{H}^{e}_{mk; nk} = \langle \psi_{mk} | \hat{H}^{e}_{ph} | \psi_{nk} \rangle = \epsilon_{mk} \delta_{mn} \delta_{kk} \delta_{nn}. \quad (8)
\]

is the diagonal Kohn–Sham energy matrix and

\[
\hat{H}^{e}_{ph}(mk; nk) = \langle \psi_{mk} | \Delta V | \psi_{nk} \rangle, \quad (9)
\]

is the \( e \)-\( ph \) coupling Hamiltonian; \( m \) and \( n \) denote the Kohn–Sham orbitals, whereas \( k \) and \( k' \) denote the momentum.

Transformed into the momentum space (see the Methods for a detailed derivation), the \( e \)-\( ph \) term can be re-written as

\[
\hat{H}^{e}_{ph}(mk; nk) = \frac{1}{N} \sum_{qv} \langle u_{mk} | \Delta q_v L_{q_v} R_0 | u_{nk} \rangle \delta_{kk'} \delta_{nn} Q_{q_v}(t)/I_{q_v}, \quad (10)
\]

where \( N \) is the number of unit cells according to the Born–von Kármán boundary conditions, \( Q_{q_v}(t) \) is the normal mode coordinate of the corresponding vibration mode of a phonon with momentum \( q \) in branch \( v \), \( I_{q_v} \) is the zero-point displacement amplitude, and \( g_{mn}(k, q)Q_{q_v}(t)/I_{q_v} \delta_{kk'} \delta_{nn} \) is the \( e \)-\( ph \) matrix element. In this way, the NAC in the NAMD\(_r\) approach is replaced by the \( e \)-\( ph \) coupling Hamiltonian in equation (10), which naturally includes the coupling between electronic states with different momenta \( k \), and the scattering with phonons at different momenta \( q \).
We first study dynamics with a single electron initially excited at 1.0 eV above the $E_F$ ($E_{in} = 1.0$ eV, where $E_{in}$ represents initial energy of the hot electron) in the K valley. To obtain statistics on the quantum behavior of the excited electron, we randomly set the initially excited electron at 30 different k-points in the K valley with $E_{in} = 1.0$ eV. We sample $2 \times 10^4$ trajectories for each k-point. We find that a $150 \times 150 \times 1k$-point grid achieves well-converged results (the k-point grid convergence details are presented in the Supplementary Fig. 1). Figure 1a shows five snapshots of the hot electron population in the band structure over 2 ps, whereas Fig. 1b gives the corresponding hot electron distribution in the first Brillouin zone. It can be seen that although the hot electron is initially excited in the K valley, the K–K’ intervalley scattering starts almost immediately. Figure 1c presents the time-dependent electron population distribution within the K valley at $E_{in} = 1.0$ eV. The valley lifetime ($\tau_{valley}$), which is defined as the timescale on which the equilibrium between K and K’ is reached—is around 0.4 ps. The intervalley scattering suggests that the hot electron couples with phonons with large momentum. Figure 1d shows the hot electron energy relaxation. It can be seen that there is an energy threshold for hot electron relaxation located at around 0.2 eV above the $E_F$. Above the threshold energy, the relaxation is a relatively fast process, which corresponds to energy relaxation from 1.0 eV to around 0.2 eV within 2 ps. Using a Gaussian function, the lifetime for this fast energy relaxation ($\tau_{life}$) can be estimated to be 0.56 ps. Furthermore, a quantized character with an energy difference of around 0.2 eV as indicated in Fig. 1d. Following the fast process, there happens a much slower relaxation process from 0.2 eV to the Dirac point. The timescale of the slow process is difficult to be estimated with a precision of 2 ps simulation.

To further understand the slow relaxation process close to the $E_F$, we perform a 100 ps NAMD\_k simulation for hot electron relaxation in graphene.
with $E_{ini} = 0.1$ eV. In this case, as the density of states (DOS) becomes smaller when the energy is close to the $E_c$, a more dense $k$-point grid is required; we therefore use a $450 \times 450 \times 1$ $k$-point grid. The energy and valley dynamics are shown in Fig. 2a,b. As can be seen, both the energy and valley relaxation become much slower, and there is no longer a fast process in energy relaxation; $\tau_E$ and $\tau_k$ are estimated to be 1.2 and 3.0 ns, respectively. We further study the hot electron relaxation dynamics with different $E_{ini}$ from 0.1 to 1.5 eV. As shown in Fig. 2c, it is found that $E_{ini} = 0.2$ eV is a critical point for different relaxation behaviors. If $E_{ini} > 0.2$ eV, there will be both a fast and a slow relaxation process. The $\tau_E$ for the fast process ($\tau_{E,fast}$) ranges from 0.3 to 3.0 ps, inversely proportional to the DOS. When $E_{ini} < 0.2$ eV, there is only the slow process and $\tau_{E,slow}$ dramatically increases by 1–3 orders of magnitude. The correlation between $\tau_E$ and $E_{ini}$ is shown in Fig. 2d, demonstrating a very similar trend with $\tau_k$. When $E_{ini} < 0.2$ eV, the intervalley e–ph scattering becomes rare and $\tau_k$ reaches a nanosecond timescale. The slow energy relaxation process is dominated by the intravalley scattering.

The e–ph coupling $H_{nm,kph} = \langle \psi_{nm} | \Delta V | \psi_{nk} \rangle$ between states $\psi_{nm}$ and $\psi_{nk}$ plays the key role in the NAMD _k_ approach—analogous to the NAC in the NAMD _r_ approach. In Fig. 2e,f we plot the averaged $H_{nm,kph}$, where the x- and y-axes represent the energy of $\psi_{nk}$ and $\psi_{nm}$ (labeled $E_{nm}$ and $E_{nk}$, respectively). In Fig. 2e, where $E_{nk}$ and $E_{nm}$ range within (0.0, 1.5) eV, the largest $H_{nm,kph}$ can be roughly fitted by two lines, which are expressed as $|E_{nk} - E_{nm}| = 0.2$ eV, suggesting the coupling between two electronic states is the largest when the state energy difference is around 0.2 eV; thus, when $E_{ini} > 0.2$ eV, the hot electron prefers to relax to an electronic state 0.2 eV lower in energy, which explains the quantized character with an energy difference of 0.2 eV observed in the fast relaxation process shown in Fig. 1d, suggesting that the hot electron relaxation is strongly coupled to phonons with energy of around 0.2 eV. The couplings between $E_{nk}$ and $E_{nm}$ are much smaller when $E_{ini} < 0.2$ eV, as indicated by the square marked with the white dashed lines in Fig. 2f. The matrix elements that are close to the diagonal line (where $|E_{nk} - E_{nm}|$ is very small) play a crucial role. This result implies that coupling to the phonons with small energies is essential in this case.

During the hot electron relaxation, the energy of the electrons transfers to the phonons through e–ph coupling. Figure 3 shows the phonon excitation dynamics along with the hot electron relaxation. Figure 3a shows the four snapshots of phonon excitation within 2 ps with $E_{ini} = 1.0$ eV. It can be seen that only the optical modes A1 and $E_{2g}$—which belong to the longitudinal and transverse optical branches—are notably excited during the hot electron relaxation process. There is also a minor excitation for the longitudinal and transverse acoustic modes. Figure 3b,c shows the time-dependent phonon population and energies of these four different phonon modes. It can be seen that the excitations of the optical $A_1$ and $E_{2g}$ modes (with $\omega_{A_1} = 0.16$ meV and $\omega_{E_{2g}} = 0.19$ meV) are dominant. The excitation of $A_1$ is responsible for the intervalley electron scattering, and both $A_1$ and $E_{2g}$ contribute to the quantized character in the energy relaxation process. Together, they define the critical energy threshold around 0.2 eV. Figure 3d–f presents the time-dependent phonon excitation with $E_{ini} = 0.1$ eV. In this case, the longitudinal and transverse acoustic phonons excitation are dominant, as shown in Fig. 3d,e. The optical phonon mode $A_1$ also has a minor contribution due to the thermal energy smearing of the electronic states (see Supplementary Section 4 for further details). As its energy is much higher than the longitudinal and transverse acoustic phonon energies, its contribution to the excited electron energy is still dominant, as shown in Fig. 3f. As the energies and momenta of the longitudinal and transverse acoustic mode phonons are both very small, the energy and valley dynamics are much slower with $E_{ini} = 0.1$ eV.

Finally, we study the multi-hot electron relaxation by simulating the electron temperature ($T_e$) decrease. In the TR-ARPES measurements, after photoexcitation, the hot electrons will reach equilibrium with a certain temperature through electron–electron (e–e) scattering, and then relax to a lower temperature through e–ph coupling. Figure 4a shows five snapshots in the $T_e$ relaxation with an initial electron temperature of $T_e^{ini} = 3.193$ K. In this case, $T_e$ decreases to 639 K at 10 ps. Figure 4b shows the time-dependent relaxation dynamics with $T_e^{ini} = 3.193, 2.200$ and 1.060 K. For all three cases, $T_e$ converges to around 500 K at 10 ps. The relaxation from 500 K to lower temperature is very slow.


Experimental validation

The simulation by NAMD_\(k\) can explain most important experimental results. The hot electron relaxation timescale and decrease in \(T_e\) is of the same magnitude as our results\(^{35-38}\). Different experimental groups reported that slow decay via acoustic phonons plays a role when \(E_{\text{ini}} < 0.2\) eV (refs. 38–41), whereas the hot electrons can efficiently scatter with the optical phonons when \(E_{\text{ini}} > 0.2\) eV (refs. 33,35,39,41). The strong coupling with the \(A_1\) and \(E_{\text{2g}}\) modes and the quantized energy-loss are particularly in good agreement with the report by Na and colleagues\(^{35}\).

Comparing NAMD_\(k\) to other methods

The momentum-resolved rt-TDDFT algorithms based on Erhenfest dynamics have been implemented by several groups\(^{45-49}\); however, as discussed by Lian et al.\(^{42}\) and Hu et al.\(^{47}\), the momentum-dependence (\(k\)-dependence) is only related to the electron and hole. In the photoexcited charge carrier relaxation process, the momentum of the electron and hole cancel each other so that the momentum conservation is kept, and only phonons at the \(\Gamma\) point are involved. For example, Hu et al.\(^{47}\) only observe the hot carrier relaxation couple with the \(E_{\text{2g}}\) mode, which is located at the \(\Gamma\) point. For the NAMD_\(k\) approach combined with time-dependent Kohn–Sham with a single-particle picture, only the \(\Gamma\) point is included for both the electron and phonon. An approach in which NAMD_\(r\) was combined with GW plus the real-time Bethe–Salpeter equation (GW + rt-BSE) was recently developed for exciton dynamics\(^{50}\). As both electrons and holes are considered, the \(k\)-dependence of electron and hole can be included, similar to rt-TDDFT based on Erhenfest dynamics; however, it also only includes the \(\Gamma\) point phonons. NAMD_\(k\) provides a tool for charge carrier dynamics with both the \(k\)-dependence for the electron and the \(q\)-dependence for the phonon.

Compared with NAMD_\(r\), the NAMD_\(k\) method not only gives consistent results, as is shown in Fig. 5 and discussed in the Methods section. Besides, NAMD_\(k\) has other substantial advantages in treating solid state systems. First, the \(\langle \psi_{nk}(r, R_0) \rangle\) basis sets used here can be understood as diabatic basis sets, that is, each electronic state has a clear notion of band index and momentum. We do not need to reorder the electronic states when they cross each other; thus, the well-known state-crossing problem in surface hopping can be avoided\(^{50-52}\). Second, in the NAMD_\(k\) method, the e–ph coupling can be understood in the time domain. Especially, the time-dependent phonon excitation induced by electron relaxation can be achieved, which is helpful to understand the photoexcitation-induced lattice structure distortion. But we cannot calculate the time-dependent phonon excitation by the NAMD_\(r\) method using CPA because the lattice distortion is predetermined by the AIMD simulation\(^{13,14}\). Taking graphene as an example, one can perform a molecular dynamics simulation using the phonon excitation at 2 ps as an initial condition to see how the lattice structure deforms after the hot electron transfers its energy to the lattice. It also provides a dynamical picture of the energy transport between the

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**Fig. 3** | Time-dependent phonon excitation during the hot electron relaxation dynamics. a, Snapshots of phonon excitation dynamics at 0.25, 0.5, 1.0 and 2.0 ps with \(E_{\text{ini}} = 1.0\) eV. b, c, Time evolution of the excited phonon number (b) and the total phonon energy (c) during the hot electron relaxation with \(E_{\text{ini}} = 1.0\) eV. d, Snapshots of the phonon excitation dynamics at 25, 50, 75 and 100 ps with \(E_{\text{ini}} = 0.1\) eV. e, f, Time evolution of the excited phonon number (e) and the total phonon energy (f) during the hot electron relaxation with \(E_{\text{ini}} = 0.1\) eV. The dots sizes in (a and d are proportional to the excited phonon number. The dots colors in (a–f represent different phonon modes, and share the same legend as (a, g). The eigenvectors for phonon mode \(E_{\text{2g}}\) at the \(\Gamma\) point, mode \(A_1\) at the \(\Gamma\) point, the longitudinal acoustic (LA) mode at the \(\Gamma\) point, and the transverse acoustic (TA) at the \(\Gamma\) point. g, The blue balls represent carbon atoms.

**Fig. 4** | Electron temperature evolution in graphene. a, Snapshots of electron distribution at 0, 2.5, 5.0, 7.5 and 10 ps in multi-electron simulation with initial temperature of 3,000 K. b, Evolution of electron temperature for initial temperature of 1,000, 2,000 and 3,000 K. The five subpanels in (a correspond to the five small red circles in (b).
NAMD evolution of Kohn–Sham states in the NAMD approach with $9 \times 9 \times 1$ (a), $6 \times 6 \times 1$ (d) and $3 \times 3 \times 1$ (g) supercells. b, e, h, The hot electron relaxation simulation using the NAMD method with $9 \times 9 \times 1$ (b), $6 \times 6 \times 1$ (e) and $3 \times 3 \times 1$ (h) supercells.

e, f, l, The hot electron relaxation simulation using NAMD method with $9 \times 9 \times 1$ (e), $6 \times 6 \times 1$ (f) and a $3 \times 3 \times 1$ (l) point grids. The colored dots indicate the normalized electron population in different states, whereas the blue line represents the averaged electron energy.

Our work also agrees with the theoretical study by Bernardi et al. based on the quasi-classical rt-BTE. It can be noted that hot electron relaxation mainly excites the $A_g$, $E_g$, longitudinal and transverse acoustic modes. All of these phonons are in-plane modes. The out-of-plane phonon modes are not directly excited, and we therefore propose that the buckling of graphene requires a phonon–phonon interaction, which can be studied by the rt-BTE method. It is noted that the rt-BTE can also be used to study the real-time charge carrier and phonon dynamics. Rt-BTE is based on the Boltzmann equation, which is valid under the semi-classical assumptions, for charge transport. The charge carrier is described as a semi-classical quasi-particle and the quantum effects are incorporated based on the effective mass approximation. The Born approximation for the collisions, in the limit of perturbation for the e–ph interaction and instantaneous collisions is applied. There are no memory effects in rt-BTE. The NAMD approach is based on the TDSE; the quantum character of the charge carrier is thus directly simulated. The dynamics of the charge carrier can be understood in a non-perturbative way. The memory effects are included in real-time propagation of TDSE, where the coefficients at a current time are correlated with the coefficients in the past. We propose that NAMD is a quantum dynamics approach for charge carriers, whereas the semi-classical rt-BTE approach has the advantage to simulate the phonon dynamics, which usually occurs on a much longer timescale.

**Discussion**

Beyond e–ph coupling based on the work by Bernardi and colleagues, the exciton–phonon coupling can be understood as a quantum superposition of the e–ph coupling contributed by the electron and hole. They also developed an approach for exciton dynamics in momentum space under the framework of rt-BTE. Based on the work of exciton–phonon coupling and the GW + rt-BSE approach, the NAMD approach can be extended for the investigation of exciton dynamics. Furthermore, the e–ph coupling can be calculated using the spinor basis sets, in which the SOC is included. Thus NAMD can also be used to study the SOC promoted spin dynamics.

NAMD may also be applied to study indirect electron–hole (e–h) recombination and interband carrier relaxation; however, the current approach only includes the first order of e–ph coupling. When the band gap is larger than the phonon energy, the coupling of electrons to multiphonon through a higher order of e–ph coupling becomes important. The NAMD approach naturally includes the higher-order of e–ph coupling. Furthermore, in the e–h recombination problem, usually only the valence band maximum and conduction band minimum need to be included, and a very dense of k-point grids is not often required. The NAMD approach is therefore usually more appropriate for the e–h recombination problem; however, if the momentum of the conduction band minimum and valence band maximum is very close to each other, for example, in the case of the Rashba effects induced momentum-dependent splitting, the NAMD has its advantage. Still, the future development of the NAMD to include a higher order of e–ph coupling is essential for its application in e–h recombination problem. In Supplementary Section 6 we give an example of e–h recombination study in silicon bulk using the NAMD and discuss its limitation.

The major approximation used in the NAMD method is the harmonic approximation, which is applied to calculate the e–ph element $\hat{g}_{\text{exact}}(\mathbf{k}, \mathbf{q})$ and the time-dependent phonon population $Q_{\text{ph}}(t)$. Here we propose a possible stratagem to consider the anharmonic effects. The first step is using a different way to generate $Q_{\text{ph}}(t)$. For example,
a molecular dynamics simulation can be performed to generate the atomic nuclear $\mathbf{R}(t)$. Then $Q_{\mathbf{q}}(t)$ can be obtained by Fourier transform from $\mathbf{R}(t)$. Of course, if molecular dynamics is used to get $Q_{\mathbf{q}}(t)$, a large supercell must be used to capture the phonons at different $\mathbf{q}$-points. But the advantage in NAMD $\mathbf{k}$ is that only $Q_{\mathbf{q}}(t)$ is needed. The electronic wave function for each step is not required. Molecular dynamics simulations can therefore be performed using empirical or deep learning potentials, which makes the large supercell calculation realistic. One can also use the rBTE approach to get the time-resolved phonon population $Q_{\mathbf{q}}(t)$, where the phonon–phonon interaction is captured using perturbation theory. The second step is to consider the anharmonic effects in the calculation of the e–ph coupling matrix element $g_{\text{meas}}(\mathbf{k}, \mathbf{q})$, which can be expanded to higher order. The work to include the anharmonic effects in NAMD $\mathbf{k}$ is an interesting and important topic in the future.

**Methods**

In the NAMD $\mathbf{k}$ approach, the NAC from the NAMD $\mathbf{r}$ approach is replaced with e–ph coupling by expanding the charge carrier wavefunction with the static Kohn–Sham orbitals of the equilibrium atomic configuration, which is the key point in the simulation of momentum space. To calculate the e–ph term, we expand the potential energy $V(\mathbf{r}; \mathbf{R}(t))$ in terms of nuclear displacements $\Delta \mathbf{R}(t)$. The potential energy approximated to first order in $\Delta \mathbf{R}(t)$ into normal modes

$$V(\mathbf{r}; \mathbf{R}(t)) = V(\mathbf{r}; \mathbf{R}_0) + \sum_p \frac{\partial V(\mathbf{r}; \mathbf{R})}{\partial \mathbf{R}_p} \cdot \Delta \mathbf{R}_p(t). \tag{12}$$

where the position of the nucleus $a$ in the $p$th unit cell is denoted as $\mathbf{R}_p = L_a + \mathbf{r}_a$, and $L_a$ is the cell vector. In practice, it is convenient to decompose nuclear displacements $\Delta \mathbf{R}(t)$ into normal modes

$$\Delta \mathbf{R}_p(t) = \sqrt{\frac{M_p}{N}} \sum_{\mathbf{q}v} e^{iq_v \cdot L_a} \psi_{mv}(q)Q_{\mathbf{q}}(t). \tag{13}$$

where $N$ is the number of unit cells according to the Born–von Kármán boundary conditions, $M_p$ is an arbitrary reference mass introduced to ensure that both sides of the equation have the dimension of length. Typically, $M_p$ is chosen to be the proton mass; $\psi_{mv}(q)$ is the polarization of the vibration wave corresponding to the wave vector $q$ and mode $\alpha$; and $Q_{\mathbf{q}}(t)$ is the normal mode coordinate of the corresponding mode. According to equation (6),

$$\Delta V(\mathbf{r}; \mathbf{R}(t)) = \sqrt{\frac{\hbar}{N}} \sum_{\mathbf{q}v} \sum_p \frac{M_p}{M} \sum_{\alpha v} e^{i q_v \cdot L_a} \frac{\partial V(\mathbf{r}; \mathbf{R})}{\partial \mathbf{R}_p} \cdot \psi_{mv}(q)Q_{\mathbf{q}}(t). \tag{14}$$

Here, by defining

$$\Delta \psi_{mv}(\mathbf{r}; \mathbf{R}_0) = \sum_p e^{-i q_v \cdot L_a} \frac{\partial V(\mathbf{r}; \mathbf{R})}{\partial \mathbf{R}_p} \cdot \psi_{mv}(q)_q \tag{15}$$

and

$$\Delta \psi_{mv}(\mathbf{r}; \mathbf{R}_0) = \sum_a \left(\frac{M_p}{M_a}\right)^{1/2} \Delta \psi_{mv}(\mathbf{r}; \mathbf{R}_0) \cdot \psi_{mv}(q)_q, \tag{16}$$

where $L_a$ is the zero-point displacement amplitude:

$$L_a = \sqrt{\frac{\hbar}{2 M_a \omega_{qv}}} \tag{17}$$

One can show that $\Delta \psi_{mv}(\mathbf{r}; \mathbf{R}_0)$ and $\Delta \psi_{mv}(\mathbf{r}; \mathbf{R}_0)$ are lattice-periodic functions. Equation (14) can then be written as

$$\Delta V(\mathbf{r}; \mathbf{R}(t)) = N^{-1/2} \sum_{\mathbf{q}v} e^{i q_v \cdot \Delta \psi_{mv}(\mathbf{r}; \mathbf{R}_0)Q_{\mathbf{q}}(t)}Q_{\mathbf{q}}(t) \tag{18}.$$
As a result, the modified hopping probability equation changes to
\[
\tilde{P}_{\mathbf{k} \rightarrow \mathbf{k}'}(t; t + \Delta t) = \frac{2}{\hbar} \lim_{\mathbf{q} \rightarrow 0} \left( \frac{\rho_{\mathbf{m} \mathbf{k} ; \mathbf{q} \mathbf{k}'}(t) H_{\mathbf{m} \mathbf{k} ; \mathbf{q} \mathbf{k}'}(t) \hbar}{\rho_{\mathbf{m} \mathbf{k} ; \mathbf{q} \mathbf{k}'}(t)} \right) \Delta t,
\]
where \( H_{\mathbf{m} \mathbf{k} ; \mathbf{q} \mathbf{k}'} \) is given by
\[
H_{\mathbf{m} \mathbf{k} ; \mathbf{q} \mathbf{k}'} = \frac{2m}{N} \sum_{\nu} \langle g_{\mathbf{m} \mathbf{k}, \mathbf{q} \mathbf{k}'}(n_{\nu}, q) \rangle (q_{\nu} + \frac{1}{2}) \times \left[ \delta(\varepsilon_{\mathbf{m} \mathbf{k}} - \varepsilon_{\mathbf{k}'} - \hbar \omega_{\nu}) + \delta(\varepsilon_{\mathbf{m} \mathbf{k}} - \varepsilon_{\mathbf{k}'} + \hbar \omega_{\nu}) \right].
\]

where the two \( \delta \) function correspond to absorption and emission of the phonon, respectively; however, in practical implementation, a Gaussian function with certain broadening \( \sigma \) is used to approximate the Dirac \( \delta \) function
\[
\delta(E) \approx \frac{1}{\sqrt{2\pi} \sigma} e^{-E^2/2\sigma^2}.
\]

If the computed probability is negative, it is reset to zero. Thus, in general, the FSSH assigns a probability for transition from the current electronic state \( \mathbf{m} \mathbf{n} \) to the new state \( \mathbf{m} \mathbf{k} \), as:
\[
g_{\mathbf{m} \mathbf{n} \rightarrow \mathbf{m} \mathbf{k}}(t; t + \Delta t) = \max \left[ 0, \tilde{P}_{\mathbf{m} \mathbf{n} \rightarrow \mathbf{m} \mathbf{k}}(t; t + \Delta t) \right],
\]
\[
g_{\mathbf{m} \mathbf{n} \rightarrow \mathbf{m} \mathbf{k}}(t; t + \Delta t) = 1 - \sum_{\mathbf{m} \mathbf{k}'} g_{\mathbf{m} \mathbf{n} \rightarrow \mathbf{m} \mathbf{k}'}(t; t + \Delta t).
\]

To reflect the detailed balance condition, the hop rejection and velocity rescaling of the standard FSSH are replaced in the FSSH–CPA by scaling the transition probabilities \( g_{\mathbf{m} \mathbf{n} \rightarrow \mathbf{m} \mathbf{k}} \) with the Boltzmann factor:
\[
g_{\mathbf{m} \mathbf{n} \rightarrow \mathbf{m} \mathbf{k}} \rightarrow g_{\mathbf{m} \mathbf{n} \rightarrow \mathbf{m} \mathbf{k}} \cdot b_{\mathbf{m} \mathbf{n} \rightarrow \mathbf{m} \mathbf{k}}.
\]
\[
b_{\mathbf{m} \mathbf{n} \rightarrow \mathbf{m} \mathbf{k}} = \begin{cases} \exp\left(-\frac{\varepsilon_{\mathbf{m} \mathbf{n}} - \varepsilon_{\mathbf{m} \mathbf{k}}}{k_B T}\right) & \varepsilon_{\mathbf{m} \mathbf{n}} > \varepsilon_{\mathbf{m} \mathbf{k}} \\ 1 & \varepsilon_{\mathbf{m} \mathbf{n}} \leq \varepsilon_{\mathbf{m} \mathbf{k}} \end{cases}
\]

After calculating the transition probability between any two states, we can perform simulation of carrier dynamics using the FSSH–CPA strategy, as discussed by Akimov and co-workers. To verify the validity of the NAMD_\( k \) method, we compare the calculation results of the NAMD_\( k \) and NAMD_\( r \) approaches. In the NAMD_\( r \) approach, a supercell is required to sample the phonon excitation with different \( q \) points. Here we only simulate \( 9 \times 9 \times 1, 6 \times 6 \times 1 \) and \( 3 \times 3 \times 1 \) supercells due to the limitation of computational cost. We then compare the results with what NAMD_\( k \) obtains using \( 9 \times 9 \times 1, 6 \times 6 \times 1 \) and \( 3 \times 3 \times 1 \) supercells, respectively (see Fig. 5 for the results). As the \( k \) point grid is not dense enough, there are energy gaps in all three systems. We simulate the hot electron relaxation with \( \varepsilon_{\text{ini}} = 2.2, 2.5 \) and \( 5.1 \text{ eV} \) for the three systems, respectively. The \( \varepsilon \) value used in NAMD_\( k \) is estimated from the energy level oscillation in the NAMD_\( r \) simulation, which can be seen from Fig. 5a,d,j. One can see that the NAMD_\( r \) and NAMD_\( k \) approaches achieve very similar results, proving the validity of the NAMD_\( k \) approach. Due to the existing of energy gaps, the hot electron can not relax close to \( E_F \) within 1.0 ps, suggesting that a dense \( k \)-point grid is required to simulate the hot electron relaxation in graphene.

The computational cost of NAMD_\( k \) is notably smaller than that of NAMD_\( r \). The work flow of NAMD_\( r \) includes: (1) molecular dynamics simulation; (2) Kohn–Sham wavefunction and NAC calculation; and (3) NAMD simulation. The major computational cost comes from steps 1 and 2. Step 3 depends on the number of electronic states included and is typically negligible under the CPA approximation. Taking \( 9 \times 9 \times 1 \) graphene supercell as an example, if we perform a 5 ps molecular dynamics and a 2 ps NAMD, it will take 39.6 h using 48 cores on a single Intel(R) Gold 6240R @ 2.40GHz CPU.

| Supercell/k-point grid | NAMD_\( r \) (h) | NAMD_\( k \) (h) |
|-------------------------|-----------------|-----------------|
| 3 × 3 × 1               | 1.2             | 0.4             |
| 6 × 6 × 1               | 7.7             | 1.1             |
| 9 × 9 × 1               | 39.6            | 2.0             |
| 150 × 150 × 1           | 10² (estimated) | 13.2            |

The computational time (in hours) of NAMD_\( r \) and NAMD_\( k \) for 3 × 3 × 1, 6 × 6 × 1, 9 × 9 × 1, and 150 × 150 × 1 supercell or \( k \)-point grid using 48 cores on a single Intel(R) Gold 6240R @ 2.40GHz CPU.

Table 1 | Comparisons in computational cost

Data availability
These data are obtained by NAMD_\( k \) simulations using our homemade code. The source data for Figs. 1–5, Supplementary Figs. 1–3 and input files for NAMD_\( k \) simulations have been deposited in the Materials Cloud Archive at https://doi.org/10.24435/materialscloud:2n-3j. Source Data are provided with this paper.

Code availability
The code for our algorithm and a guide to reproducing the results is available at GitHub and Code Ocean. In the calculation, \( e \)-\( ph \) coupling is calculated by the package Perturbo, which can be obtained at https://perturbo-code.github.io.

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Author contributions
Y.S. contributed to this work before March 2022. J.Z. supervised the research project. Y.S. conceived the original idea. J.Z., Q.Z., Y.S. and Z.Z. developed the method, whereas J.-J.Z. and O.V.P. provided suggestions to improve the method. Q.Z. constructed the original Hefei-NAMD code. Z.Z. developed the NAMD k version of Hefei-NAMD on the basis of the original Hefei-NAMD code, performed the NAMD k simulation of graphene, and data analysis, with help from Q.Z. J.-J.Z. provided the patch of PERTURBO package for outputting e–ph matrix elements data. J.Z., Q.Z. and Z.Z. wrote the manuscript. The manuscript reflects the contributions of all authors.

Competing interests
The authors declare no competing interests.

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