CHIRAL PERTURBATION THEORY ANALYSIS OF 
BARYON TEMPERATURE MASS SHIFTS

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(October 1995)

Abstract

We compute the finite temperature pole mass shifts of the octet and
decuplet baryons using heavy baryon chiral perturbation theory and the $1/N_c$
expansion, where $N_c$ is the number of QCD colors. We consider the temper-
atures of the order of the pion mass $m_\pi$, and expand truncate the chiral and
$1/N_c$ expansions assuming that $m_\pi \sim 1/N_c$. There are three scales in the
problem: the temperature $T$, the pion mass $m_\pi$, and the octet–decuplet mass
difference. Therefore, the result is not simply a power series in $T$.

We find that the nucleon and $\Delta$ temperature mass shifts are opposite in
sign, and that their mass difference changes by 20% in the temperature range
$90 \text{ MeV} < T < 130 \text{ MeV}$, that is the range where the freeze out in relativistic
heavy ion collisions is expected to occur.

We argue that our results are insensitive to the neglect of $1/N_c$- supressed
effects; the main purpose of the $1/N_c$ expansion in this work is to justify our
treatment of the decuplet states.

Submitted to: Physical Review D

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*This work is supported in part by funds provided by the U.S. Department of Energy (D.O.E.)
under cooperative research agreement #DF-FC02-94ER40818.
I. INTRODUCTION

In this paper we compute the temperature-dependence of the pole mass of the $J = \frac{1}{2}$ and $J = \frac{3}{2}$ baryons using effective lagrangians and large $N_c$ methods. There are two main motivations for this work. The first comes from relativistic heavy ion collisions. These are very complex phenomena, and the extraction of physically interesting quantities from the experimentally accessible information necessarily involves a good deal of modelling. Most likely, a number of measurable quantities will have to be correlated to constrain the ones of physical interest. Clearly, an understanding of the parameters of these models within controlled approximations will simplify this process. The dependence of particle properties on the temperature is one such parameter. The second motivation is more theoretical. The finite temperature properties of hadrons provide clues to the mechanism of confinement/chiral symmetry breaking which is expected to occur at $T \approx 200$ MeV. Below this critical temperature, we can use effective lagrangian methods to gain some information about the finite-temperature dependence of QCD quantities.

Chiral perturbation theory ($\chi$PT) is the effective low-energy theory of hadrons and gives rise to a systematic expansion in powers of the light current quark masses $m_{u,d,s}/\Lambda$ and kinematic scales $E/\Lambda$, where $\Lambda \sim 1$ GeV is the chiral expansion scale. All the information contained in QCD is encapsulated in a few phenomenological constants, that have to be determined by experiment. An essential result is that at a given order in the expansion one has to compute only diagrams with a finite number of loops depending on a finite number of couplings [1]. Tree diagrams correspond to current algebra results, of which $\chi$PT can be viewed as a systematic extension to all orders. Formulated originally for the Goldstone bosons $\pi$, $K$, and $\eta$, it was later extended to include the low-lying baryons [2]. A non-trivial aspect of this extension is the presence of a new scale, the baryon mass, that does not vanish in the chiral limit. This appears to spoil the scaling arguments used to get the relation between number of loops and powers of quark mass (or momentum). An elegant solution to this problem is given by heavy baryon chiral perturbation theory (HB$\chi$PT) [3], which treats the baryon as a static particle. Corrections suppressed by powers of the baryon mass appear as higher-order vertices in the effective lagrangian. Since the baryon mass appears only in vertices, the chiral counting manifestly goes through and the relation between the number of loops and powers of quark mass is recovered.

One difficulty with HB$\chi$PT is the large number of phenomenological constants appearing in the effective lagrangian. Another one is the presence of $\Delta$ states close to the nucleons ($M_\Delta - M_N \approx 300$ MeV). There are different prescriptions for dealing with the decuplet states: some authors include them as explicit degrees of freedom (treating the the decuplet–octet mass difference either perturbatively or to all orders), while others keep only the nucleon fields and consider only processes at energies low enough to avoid exciting the decuplet states. The $1/N_c$ expansion (where $N_c$ is the number of QCD colors) alleviates both of these problems [4]. It relates the value of some phenomenological constants, allowing one to go further in the chiral expansion. Also, in the $1/N_c$ expansion there is a whole tower of degenerate baryon states with spins $\frac{1}{2}$, $\frac{3}{2}$, ..., with mass differences between low-lying spin multiplets suppressed by $1/N_c$. The $1/N_c$ expansion therefore forces us to include the decuplet as explicit degrees of freedom, and (after deciding how to book $1/N_c$ corrections compared to chiral corrections) the treatment of the decuplet–octet splitting is determined.
Another appealing aspect of the $1/N_c$ expansion is that the intuitive and phenomenologically successful static quark model arises as its leading term \[5\]. HB$\chi$PT together with the $1/N_c$ expansion has been used to compute a number of quantities successfully \[6\]. In particular, a discussion of the zero temperature baryon mass differences at next-to-leading order will be reported elsewhere \[7\].

At temperatures $T$ well below the critical temperature $T_c \sim 200$ MeV, we expect a description of a QCD plasma in terms of hadronic degrees of freedom to be justified. Since most particles will have kinetic energies of order $T$ or smaller, their interactions will be soft and we expect that $\chi$PT will give an accurate description of the dynamics. Particles with high energy or large masses are exponentially suppressed by Boltzmann factors and can be safely ignored. For example, Ref. \[8\] studied the thermodynamics of a gas of pions to compute the temperature dependence of the chiral condensate.

The properties of baryons in a thermal plasma are affected by the background of thermal pions. In this paper we compute the leading order temperature dependence of the pole mass of octet and decuplet baryons using HB$\chi$PT and the $1/N_c$ expansion.

This paper is organized as follows. In section II we explain the power counting that selects the class of diagrams contributing to the leading order temperature baryon pole mass dependence. In Section III the results are presented and discussed. The chiral limit is briefly considered in Section IV where a few comparisons with previous results are made. Finally, in Section V the main results are summarised and comments on the range of validity of our approach are presented.

**II. EFFECTIVE LAGRANGIAN AND POWER COUNTING**

The calculation will involve the real time formalism (RTF) for finite temperature field theory and the formalism presented in \[9\] for large $N_c$ heavy baryon chiral perturbation theory. Here we confine ourselves to defining the notation used, leaving the details to the references.

The complications caused by the presence of a matrix structure in the propagators in the RTF \[10\] is offset by the fact that it is easy to separate the temperature-dependent contributions from the zero temperature part. The propagator for the (static) baryon with velocity $v^\mu$ (taken to be the same as the plasma velocity) is

\[
S_{11}(x) = \int \frac{d^4k}{(2\pi)^4} e^{-ikx} \left[ \frac{1}{v \cdot k + i\epsilon} + 2\pi i n_F (M + v \cdot k) \delta(v \cdot k) \right], \tag{1a}
\]

\[
S_{22}(x) = \int \frac{d^4k}{(2\pi)^4} e^{-ikx} \left[ -\frac{1}{v \cdot k - i\epsilon} + 2\pi i n_F (M + v \cdot k) \delta(v \cdot k) \right], \tag{1b}
\]

\[
S_{12}(x) = \int \frac{d^4k}{(2\pi)^4} e^{-ikx} \left[ 2\pi i (n_F (M + v \cdot k) - \theta(-M - v \cdot k)) \delta(v \cdot k) \right], \tag{1c}
\]

\[
S_{21}(x) = \int \frac{d^4k}{(2\pi)^4} e^{-ikx} \left[ 2\pi i (n_F (M + v \cdot k) - \theta(M + v \cdot k)) \delta(v \cdot k) \right], \tag{1d}
\]

and the meson propagator is
\[ D_{11}(x) = \int \frac{d^4k}{(2\pi)^4} e^{-ikx} \left[ \frac{1}{k^2 - m^2 + i\epsilon} - 2\pi i(n(v \cdot k)\delta(k^2 - m^2)) \right], \quad (2a) \]

\[ D_{22}(x) = \int \frac{d^4k}{(2\pi)^4} e^{-ikx} \left[ -\frac{1}{k^2 - m^2 - i\epsilon} - 2\pi i(n(v \cdot k)\delta(k^2 - m^2)) \right], \quad (2b) \]

\[ D_{12}(x) = -\int \frac{d^4k}{(2\pi)^4} e^{-ikx} \left[ 2\pi i(n(v \cdot k) + \theta(-v \cdot k))\delta(k^2 - m^2) \right], \quad (2c) \]

\[ D_{21}(x) = -\int \frac{d^4k}{(2\pi)^4} e^{-ikx} \left[ 2\pi i(n(v \cdot k) + \theta(v \cdot k))\delta(k^2 - m^2) \right], \quad (2d) \]

where \( n(x) \) is the bosonic distribution function

\[ n(x) = \frac{1}{e^{|x|} - 1}, \quad n_F(x) = \frac{1}{e^{|x|} + 1}. \quad (3) \]

The indices 1, 2 refer to the matrix structure of the RTF propagator needed to describe the expectation value of operators in a mixed state. Note the presence of the large baryon mass \( M \) in the distribution function \( n_F \). They appear because, in HB\( \chi \)PT, the momentum in the propagator (1) is the residual momentum \( k = p - Mv \) where \( p \) is the physical momentum [3]. Thus, in the range of temperatures considered here, the temperature-dependent part of the baryon propagator is suppressed by a tiny Boltzmann factor and can be safely dropped. To a lesser extent, the same is true for \( K \) and \( \eta \) mesons: since \( n(m_\pi/T)/n(m_K/T) \sim 10 \) at \( T = 200 \text{ MeV} \), we will count thermal kaon and eta loops as additionally suppressed in the chiral counting. In the present leading order calculation this means they will also be dropped.

In the large-\( N_c \) world, the low lying baryons form an infinite tower of flavor multiplets with spin \( \frac{1}{2}, \frac{3}{2}, \ldots \). We follow the formalism and notation of Refs. [9,12] where they are all treated simultaneously by defining a field acting on a spin–flavor Fock space

\[ |B \rangle = B^{a_1\alpha_1 \cdots a_N\alpha_N} \hat{a}_{a_1\alpha_1}^\dagger \cdots \hat{a}_{a_N\alpha_N}^\dagger |0\rangle, \quad (4) \]

where \( a_1, \ldots, a_N = 1, \ldots, N_F \) are flavor indices and \( \alpha_1, \ldots, \alpha_N = \uparrow, \downarrow \) are spin indices. The operators \( \hat{a}^\dagger \) and \( \hat{a} \) are (bosonic) creation and annihilation operators. The effective lagrangian then has the standard form

\[ L = \langle B | \{ iv \cdot D \} + \mu \{ \sigma_\mu \} \{ \sigma^\mu \} - \{ M \} + g \{ A_\mu \sigma^\mu \} + \ldots | B \rangle \quad (5) \]

where the braces around a generic operator stand for

\[ \{ W \} = W^{ab} a_{a}^\dagger \hat{a}^b \]

\[ M \] is built from the quark mass matrix \( M \)

\[ M = \frac{1}{2}(\xi M \xi + \xi^\dagger M \xi^\dagger), \quad (7) \]

and
\[ D(B) \equiv (\partial - i\{V\})|B\rangle, \]
\[ V_\mu \equiv \frac{i}{2} \left( \xi \partial_\mu \xi^\dagger + \xi^\dagger \partial_\mu \xi \right), \]
\[ A_\mu \equiv \frac{i}{2} \left( \xi \partial_\mu \xi^\dagger - \xi^\dagger \partial_\mu \xi \right), \]

where \( \xi(x) \) parametrizes the Goldstone bosons
\[ \xi(x) = e^{i\Pi(x)/f}, \]

and \( f \approx 93 \) MeV is the pion decay constant.

The main result obtained in Ref. [9] is that the coefficient of an \( n \)-body operator in the effective lagrangian above has a coefficient which is \( \lesssim 1/N_{c}^{n-1} \) for large \( N_{c} \). This comes about because, at the QCD level, an exchange of \( n-1 \) gluons is necessary to produce a term in the effective lagrangian involving \( n \) quarks. This is true even when the number of light quark flavors \( N_{F} \) is taken to be of order \( N_{c} \), since this rule does not rely in the suppresion of quark loops [13]. For \( N_{F} \geq 3 \), the size of the flavor representations of the low lying baryons with given \( J \sim 1 \) grow with \( N \) and it is not obvious which of those states should be identified with the states of the real \( N = 3 \) world. Our procedure will be to compute the mass shifts keeping all terms that are of the order desired in \( any \) state in the large \( N \) flavor multiplet. Only then those terms are evaluated with \( N = N_{F} = 3 \).

Because we take \( N_{F} \sim N_{c} \), a decision has to be made about how to extrapolate the quark mass matrix to arbitrary number of flavors. We choose the most general form
\[ M = \begin{pmatrix} m_{u}1_{N_{u}} & 0 & 0 \\ 0 & m_{d}1_{N_{d}} & 0 \\ 0 & 0 & m_{s}1_{N_{s}} \end{pmatrix}, \]

and keep all terms that are of the desired order in any extrapolation in which \( N_{u}+N_{d}+N_{s} = N_{F} \).

Now we can establish the rules for power counting. We take \( 1/N \) to be of the same order as a strange quark mass \( m_{s} \). The light quark masses \( m_{u,d} \) are counted as \( \sim 1/N^{2} \). As mentioned above, kaon and eta thermal loops are suppressed by large Boltzman factors compared to pion thermal loops, and are therefore negligible in this expansion.

This suppression however, does not become exact in the chiral or large \( N \) limit. To account for this numerical fact we take the practical attitude of counting those loops as additionally suppressed by a factor \( \sim 1/N^{2} \). Summarizing,
\[ \frac{1}{N} \sim m_{s} \sim \epsilon, \quad \hat{m} = \frac{1}{2}(m_{u} + m_{d}) \sim K, \eta \text{ thermal loop} \sim \epsilon^{2}. \]

Let us now look at the graphs giving the leading temperature dependent contributions to the baryon mass. First, consider the graph in Fig. 1. The tick on the meson propagator denotes the temperature dependent part of the propagator. This graph contains two one-body vertices, and two powers of \( f \) in the denominator. Since \( f \sim \sqrt{N_{c}} \) and the matrix element of any \( n \)-body operator is at most \( \sim N_{c}^{n} \), using dimensional analysis we can see that this graph is \( \sim Nm_{\pi}^{2}\hat{I}(m_{\pi}/T) \), where \( I(x) \) is a some dimensionless function. For \( T \sim m_{\pi} \) we
have $I(m_\pi/T) \sim 1$, so this graph is booked as $Nc^3$. An explicit calculation shows, however, that $I(m_\pi/T)$ vanishes.

The graphs in Fig. 2, with an arbitrary number $r$ of mass insertions of the form $\mu \{\sigma^i\} \{\sigma^j\}$ are of the order $N^{1-r}m_\pi^{3-r} \sim Nc^3$ (since $\mu \sim 1/N$). The sum of these graphs therefore gives the leading contribution to the temperature mass shift.

Note that naively, the diagram in Fig. 2 with one insertion of the operator $\{M\}$ is of order $N^2 c^m s^m 2^\pi$. This contradicts the fact that baryon masses are proportional to $N$. When wave function renormalization terms are included however, there is a cancellation that decreases this result by two powers of $Nc$, making this contribution not only consistent with large $Nc$ expectations, but actually negligible at leading order in our expansion. We assume that similar cancellations (necessary for the consistency of large $Nc$ QCD) occur so that no contribution grows faster than $\sim Nc$. This has been checked in various non trivial cases [7].

Using similar arguments, one can check that other graphs including $1/Nc$ suppressed or higher derivative vertices, as well as light quark mass insertions and higher loop graphs are also of higher order. Thus, the leading contribution for the temperature dependent part of the pole mass is given by the simple one loop graph with the baryon propagator resummed to include the (zero temperature) octet-decuplet mass splitting. Since this graph is to be evaluated at the tree level mass shell (including the effects of the term $\mu \{\sigma^i\} \{\sigma^j\}$), the external momentum will cancel against the mass in the propagator if both the internal and external particles, have the same spin. When this happens the graph with the resummed propagator is exactly equal to the graph in Fig. 1, which vanishes. Thus, the only intermediate states that contribute to the octet temperature mass shifts are decuplet intermediate states, and vice-versa.

### III. RESULTS

The calculation of the graph in Fig. 2 with the resummed propagator is straightforward. Keeping only the temperature dependent part we have

$$
\Delta_T M = \sum_{A=1}^3 \left( \frac{g}{\sqrt{2f}} \right)^2 \frac{1}{(2\pi)^3} \int \frac{d^4k}{k^i k^j} \frac{1}{v \cdot k \mp \mu + i \epsilon} (-2\pi i) n(v \cdot k) \delta(k^2 - m_\pi^2) \{T^A \sigma^i\} P \{T^A \sigma^j\} \right)
$$

$$
= \left[ \pm \frac{g^2 \mu m_\pi^2}{12 \pi^2 f^2} \int_0^\infty \frac{x^4}{\sqrt{x^2 + 1}} \frac{1}{x^2 + 1 - \mu^2/m_\pi^2} \frac{1}{e^{\sqrt{x^2 + 1}m_\pi/T} - 1} \right] X ,
$$

where $T^A$ are $SU_F(3)$ generators and $P$ is a projection operator

$$
P_8 = \frac{15 - \{\sigma^i\} \{\sigma^j\}}{12}, \quad P_{10} = \frac{\{\sigma^i\} \{\sigma^j\} - 3}{12}.
$$

Here $P_8$ is the projection operator for octet masses, which projects onto decuplet intermediate states; vice versa for the decuplet masses. The upper(lower) sign in (14) applies to the octet (decuplet) and $X$ is the operator.
\[ x_8 = 15 - \frac{34}{3} \{ S \} + \frac{8}{3} \{ S \sigma^i \} \{ \sigma^i \} + \frac{1}{3} \{ S \} \{ S \}, \]  
\[ x_{10} = 9 + \frac{34}{3} \{ S \} - \frac{8}{3} \{ S \sigma^i \} \{ \sigma^i \} - \frac{1}{3} \{ S \} \{ S \}. \]  

Here,

\[ S = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 1 \end{pmatrix} \]  

is the strangeness matrix in flavor space. The expectation value of \( X \) in different states is

\[ x_N = 15, \]  
\[ x_{\Sigma} = \frac{4}{3}, \]  
\[ x_{\Lambda} = 12, \]  
\[ x_{\Xi} = \frac{13}{3}, \]  
\[ x_\Delta = 9, \]  
\[ x_{\Sigma^*} = \frac{20}{3}, \]  
\[ x_{\Xi^*} = \frac{13}{3}, \]  
\[ x_\Omega = 0. \]  

The zero value for \( x_\Omega \) reflects the fact that the \( \Omega \) interactions with the background pions involve the annihilation of two quarks into gluons that then turn into the quark making up the pion, which is a \( 1/N \) suppressed process.

The integral in (14) is to be understood in the principal value sense. The coupling constant \( g \) is related to the usual octet axial couplings \( D \) and \( F \), as well as the axial couplings to the decuplet states. In the large-\( N_c \) and \( SU_F(3) \) limits we can identify as \( g = 3(D + F)/5 \simeq 0.8 \), which is also the value obtained by performing a best fit at leading order. In Fig. 3 we show the dependence of the real part of the nucleon pole mass as a function of temperature. (The temperature dependence dependence for other octet baryons is a multiple of the same graph.) A striking feature is that it is very small until \( T \simeq 80 \) MeV, and then varies rapidly.

The shift in the mass of the \( \Delta \) is opposite to the nucleon, so that the mass splitting between then decreases rapidly in the range \( 90 \) Mev < \( T \) < \( 130 \) Mev. This fact may be used to predict relative yields of different baryon species in central rapidity region of relativistic heavy ion collisions. Assuming that the number baryons of a given kind is given, in first approximation, by the Fermi distribution at the freeze out temperature, the relative yields of two baryons will be a function of their mass splittings. As a correction to this model, it is natural to use the temperature dependent masses in the Fermi distribution (the precise sense in which this gives the leading correction will be the subject of a future publication). It may be possible then to test our predictions by measuring ratios of the number of different baryons species. It is not clear however that this simple freeze out models are accurate within 20% so that the relatively small mass shifts can be observed.

The imaginary part of the pole is shown in Fig. 4. Note that this width can be caused not only by the decay of the incoming particle, but by other processes without counterpart.
at zero temperature, such as the absorption of a pion from the thermal background; even stable particles can have a finite width at nonzero temperature. For the temperatures where we expect $\chi$PT to be reliable, say, $T < 200$ MeV, the width of the nucleons is small compared to their masses, and they therefore behave as sharp, well-defined states.

A hint of deconfinement is found when the residue of the baryon poles $Z^{-1}$ is calculated. This computation is very similar to the one presented above, the main difference being that now that both the octet and the decuplet contribute for all states. For example, the nucleon residue $Z^{-1}$ changes by an amount

$$\delta_T Z^{-1} = \frac{27}{2} H(0) + 15H(-\mu),$$

(20)

where

$$H(x) = \frac{g^2}{12\pi^2 f^2} \int_0^\infty \frac{k^4 n(\omega_k)}{\omega_k} \frac{x^2 + \omega_k^2}{(x^2 - \omega^2 + i\epsilon)^2}.$$  

(21)

At $T = 200$ MeV, for instance, the residue is reduced by 15% in relation to the zero temperature value, and decreases very fast with higher temperatures. This is consistent with the picture that the baryon poles disappear at high temperature.

### IV. CHIRAL LIMIT

It is customary to consider the chiral limit where the quark masses vanish when using chiral models at finite temperature. This limit has the theoretically pleasing feature that the only mass scales in the problem are the temperature $T$ and the chiral expansion scale, and all quantities have a power series expansion in $T$. We note here, however, that this limit is useful when $T \gg m_\pi$, which is not of great phenomenological interest given the fact that the critical temperature is numerically close to the pion mass.

Nonetheless, it is worth considering this limit as a consistency check. In the chiral limit $m_u,d \to 0$, our main result Eq. (14) is

$$\delta_T M = \left[ \pm \frac{g^2 T^2}{\pi^2 f^2} \int_0^\infty dx \frac{x^3}{x^2 - \mu^2/T^2} \frac{1}{e^x - 1} ight. 
\left. - i \frac{g^2}{24\pi f^2 \mu^4 \left( e^{\mu/T} - 1 \right) } \right] \chi.$$  

(22)

This is not a power series in $T$ even in the chiral limit because of the presence of the additional mass scale $\mu$ (the decuplet–octet mass difference). It becomes a power series in $T$ in the limit $\mu \to 0$, in which case the baryon mass shift vanishes. It also becomes a power series when $\mu \to \infty$, corresponding to decoupling the decuplet states; This is the limit which corresponds to “pure” HB$\chi$PT. In this limit, we obtain

$$\delta_T M = \pm \frac{g^2 T^4}{72 f^2 \mu} + O(1/\mu^2).$$

(23)

This is consistent with a general theorem that states that there is no contribution at order $T^2$ in the chiral limit. This is also in agreement with the calculation of Ref. [14] which used a relativistic effective lagrangian for the baryons and concluded that there are no contributions to the baryon mass at order $T^2$ in the chiral limit.
V. CONCLUSION

We have computed the temperature dependence of the pole mass of baryons in leading order in HB\chi PT and the $1/N_c$ expansion for temperatures $T \lesssim m_\pi$. The full dependence on the temperature and on the octet–decuplet mass difference is included, as dictated by large-$N_c$ and chiral power counting. The shifts in mass were of the order of 20%, at $T \simeq 150$ MeV, and the changes in width are about the same size.

The range of temperatures where our results are applicable are limited by both the chiral expansion (which breaks down at energies of the order of QCD scales, like the rho mass) and by the existence of heavier resonances. The effect of virtual loops of these heavy particles at zero temperature are included in the value of the phenomenological coefficients of the effective lagrangian. Although such particles are also present in the thermal plasma, they are suppressed by large Boltzmann factors at low temperatures, but at higher temperatures, they will become important.

It is important to realize that the large-$N_c$ expansion entered in the present calculation in a rather non-essential way. As long as we decide to include the decuplet as a propagating field, consider the octet-decuplet splitting of the order $\sim m_s$, and use a value for the octet-decuplet coupling constant consistent with its large-$N_c$ value, our results are essentially unaltered. Only a numerically very small term was dropped (since it was $1/N_c$ suppressed) in arriving at (14).

VI. ACKNOWLEDGEMENTS

I would like to thank Markus Luty for extensive discussions on this and related subjects.
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FIGURE CAPTIONS

Fig. 1- Contribution of order $N m_n^3$ to the baryon mass. Full lines denote baryons, dashed lines with a tick stand for the temperature dependent piece of the meson propagator.

Fig. 2- Leading non vanishing contribution to the temperature dependent baryon mass. The crosses stand for mass insertions.

Fig. 3- Real part of the mass pole as a function of temperature.

Fig. 4- Imaginary part of the mass pole as a function of temperature.
n mass insertions
Width (MeV)

T (MeV)