Abstract

Background: Recombinant chromosome 4 syndrome (rec 4 syndrome) is a rare genetic disorder, predominately resulting from a parental pericentric inversion of chromosome 4. To date, a total of 18 cases of rec (4) syndrome were published in literature. We report the first kindred of rec (4) syndrome analyzed using copy number variation sequencing (CNV-seq).

Results: A woman with two adverse fetal outcomes was described in the present study. The first fetus presented with severe intrauterine growth restriction, hyposarca, hydrothorax and ascites. The CNV-seq revealed a dup 4q and del 4p. The second fetus presented with cardiovascular disease of ventricular septal defect, overriding aorta and persistent trunk. The CNV-seq revealed a dup 4p and del 4q. We collected 18 rec (4) cases through literature review. Genotype-phenotype correlation analysis was also performed.

Conclusion: Recombinant 4 syndrome is a rare genetic disorder. It should be divided into two categories according to the alternative recombinant types. The clinical manifestations of rec (4) cases with dup 4q and del 4p are consistent with the Wolf-Hirschhorn syndrome. For cases harboring dup 4p and del 4q, the high incidence of congenital heart disease is prominent.

Keywords: Recombinant (4) syndrome, Congenital heart disease, Prenatal diagnosis, Rare genetic disorder

Background

Imbalances of chromosome 4 include various types of constitutional abnormalities, including Wolf-Hirschhorn syndrome (WHS, 4p- syndrome) [1], 4q- syndrome [2], dup 4p syndrome [3] and dup 4q syndrome [4]. Amongst the constitutional anomalies of chromosome 4, the rarest condition is the "recombinant chromosome 4 syndrome"-rec (4) syndrome, with concomitant deletion and duplication on the same chromosome 4, resulting from a pericentric inversion in a parent [2]. The genetic condition of recombinant (4) syndrome is so rare that its incidence in population has not been estimated. This condition was initially called dup 4p syndrome, because only large duplications of 4p were observed by the conventional karyotyping, while the small 4q terminal deletions were missed due to low resolution [5]. More recently, molecular techniques have led to the discovery of several dup 4p syndrome cases which were actually inverted 4p duplication combined with the 4q terminal deletions. Hence, some authors also called it "inv dup del 4" [6].

Here, we report on a woman who had two adverse pregnancy outcomes, with two different types of chromosome 4 recombinants. The CNV-seq results of both fetuses revealed the same breakpoints on the chromosome 4, involving 4p15.2 and 4q32.3. One fetus was rec (4) dup (4p) del (4q), the other was rec (4) dup (4q) del (4p). The size of deletion and duplication distal to breakpoints are very similar to each other (both approximately 23 Mb). The breakpoint of 4q32.3 on the long arm of chromosome 4 has never been reported among rec (4) cases. Also, a genotype-phenotype correlation study was performed between the present cases and previously reported cases of rec (4), to further delineate the relationship of specific chromosomal breakpoints with clinical features.
Methods

Patients

Fetus 1

A 33-year-old G1P0 pregnant woman was referred to the Prenatal Diagnosis center of International Peace Maternity & Child Health Hospital at 11 weeks of gestation due to increased fetal nuchal translucency (NT 10 mm). The couple was non-consanguineous and this pregnancy was naturally conceived. CVS sampling and cytogenetic analysis were suggested but declined. At 16 weeks, ultrasound findings revealed severe fetal hyposarca and intrauterine growth restriction (IUGR), with the fetal biometry <10th centile. Parents then accepted amniocentesis. After signing the informed consent, both conventional karyotyping and copy number variation sequencing (CNV-seq) were performed on amniocytes. Parental karyotypes were also tested. There were no gross abnormal findings in fetal and parental karyotypes (Fig. 1a, c and d). However, the fetal CNV-seq results were abnormal: arr [GRCh37] 4p15.2p16.3 (4001–23,300,000) × 1, 4q32.3q35.2 (167040001–190,940,000) × 3 (Fig. 2a). The fetal karyotyping was actually 46, XX, der (4), (qter→q32.3::p15.2→qter), according to the ISCN (2016). The fetal chromosomal abnormalities were overlooked due to the poor quality of G-banding and low resolution. Ultrasound findings at 21 weeks revealed IUGR, hydrothorax (4 mm) and ascites (5 mm), increased nuchal fold (NF 12.7 mm, septation was seen), hydrothorax and bilateral mild ventriculomegaly (left 7.1 mm and right 6.0 mm) (seen in Fig. 3a, b and c). Fetal echocardiography was normal. Pregnancy was terminated at 24 weeks by the parental request.

Fetus 2

One year later, the woman came to our center again during her second pregnancy at 21 weeks of gestation due to fetal congenital heart disease (CHD). The family history was negative for CHD. Her second pregnancy was uneventful before 21 weeks with the NT 1.9 mm at 11 weeks. However, the radiologist suspected fetal CHD after the first fetal anomaly scan at 21 weeks. Fetal echocardiography was offered shortly after the anomaly scan and revealed fetal ventricular septal defect, overriding aorta and persistent trunk (seen in Fig. 4a-d). The ultrasound findings of fetal anomaly scan also revealed increased nuchal fold (NF 9.3 mm) and fetal ascites. Once again, the fetal

![Fig. 1 karyotypes of fetuses and parents. a karyotyping of the first fetus; b karyotyping of the second fetus; c and d: results of karyotyping of the parents, respectively](image-url)
Chromosome analysis was performed to obtain the fetal samples after signing the informed consent. Peripheral blood samples were collected from both parents. Chromosome analysis was performed according to the standard protocol using G-banding.

Copy number variation sequencing (CNV-seq)

DNA libraries were constructed by transposase to fragment and add tag to each end of DNA fragments, and PCR amplified molecules subjected to massively parallel sequencing on the NextSeq 500 platform (Illumina, US). Plots of log2 [mean CN ratio] per bin (Y-axis) versus each 20 kb bin (X-axis) were generated for each of the 24 chromosomes. For reference, a log2 of 0 indicates a
CN of 2.0 (disomy) while log2 values of 1.5 and 0.5 indicate a CN of 3.0 (duplication) and a CN of 1.0 (deletion), respectively. For reporting CNVs, CN ranges of 2.9–3.1 for a duplication and 0.9–1.1 for a deletion were used.

Discussion
Recombinant chromosome 4 syndrome (rec 4 syndrome) is a very rare genetic condition, primarily caused by a pericentric inversion of chromosome 4 in a parent [2, 7]. A total of 18 rec (4) syndrome cases have been well-documented, showing different recombinant types and varying clinical presentations (17 in literature and 1 in DECIPHER database, Table 1) [5, 7–20]. Generally, a pericentric inversion will give rise to four types of gametes during the meiosis, including two balanced and two unbalanced [18, 21]. Balanced gametes, either the normal chromosome or the same inverted chromosome inherited from the parent, will generally develop into normal fetuses. However, unbalanced gametes are rather complex issues. During meiosis in carriers, a chromosome containing a large inverted segment and its normal homolog are predicted to form a homologous synaptic inversion loop, in order to obtain optimal pairing of the matching segment. Any odd number of crossovers within the inversion loop leads to the production of two alternate recombinant chromosomes: in one chromosome the distal part of the short arm is duplicated and the distal part of the long arm is deleted; the opposite occurs to be short arm deletion and long arm duplication [7]. In our study, although FISH was refused by the parents, the constitutional chromosomal abnormalities occurred in two fetuses are most probably consistent with recombinant (4) syndrome. The breakpoints on long arm of chromosome 4 for two fetuses in the present study were different from any other previously reported cases. To the best of our knowledge, although it is within the range of 4q28–4q35, it’s the first time that the breakpoint of 4q32.3 among rec (4) syndrome cases is going to be reported.

According to the previous conclusion, duplicated segments are always longer than deleted ones in viable inv. dup del cases [6, 12, 13]. It sounds reasonable because a large deletion might be more deleterious than a large duplication. Embryos with a large deletion are most likely to suffer spontaneous miscarriages in the very early gestational ages. However, through literature review, we found three viable rec (4) cases with the recombinant type of a large deletion and a small duplication [9, 11, 14]. This finding might be explained by the vision that individuals have different tolerance to genetic deletions. Interestingly, we found all three viable cases with large deletion carried a large 4p deletion and a small 4q duplication. However, there was no viable rec (4) case with a large 4q deletion and a small 4p duplication. This observation suggests that large 4q deletion might be more deleterious than 4p deletion. Large 4q deletion might be lethal in early embryonic development and then lead to spontaneous miscarriages.

Rec (4) syndrome is primarily caused by the pericentric inversion from one of the parents. But, it is worth
| Authors                  | Sub-bands | Facial dysmorphisms                                      | Growth and development/mental delay | CHD                  | Extremities abnormalities | Genital abnormalities | Outcome and annotations |
|-------------------------|-----------|----------------------------------------------------------|-------------------------------------|----------------------|---------------------------|------------------------|------------------------|
| Dub 4q and del 4p (10 cases) |           |                                                          |                                     |                      |                           |                        |                        |
| Narahara et al. 1984 [9] | p15.2q35  | Microcephaly flank bossing hypertelorism epicanthic folds small chin | Growth retardation development delay | VSD                  | Sacral dimple             | No                     | Live                   |
| de la Flor & Guitart 1987 [10] | p16q31.3 | Broad flat nasal bridge high forehead hypertelorism small chin (Greek Helmet appearance) | Growth retardation development delay | No                   | No                        | No                     | Live                   |
| Hirsch et al. 1993 [11], patient III-1 | p15.32q35 | Flat nasal bridge prominent forehead small chin hypertelorism microcephaly iris coloboma retinal dysplasia | Growth retardation development delay | No                   | No                        | No                     | Live                   |
| Wolf et al. 1994 [12] | p13q28 | NA                                                       | NA                                  | NA                   | NA                        | NA                     | Fetal demise           |
| Villa et al. 1995 [13] | p15.2q28.2 | Greek warrior helmet appearance prominent forehead hypertelorism downsplanting palpebral fissures epicanthic folds small chin | Growth retardation                  | PDA                  | Abnormal fingers and clubfeet | Cryptorchidism        | Redundant skin on the neck, arm and back neonatal death |
| Ogle et al. 1996 [14] | p15.2q35 | Consistent to the WHS high forehead broad nasal bridge downsplanting palpebral fissures abnormal ears | Growth retardation development delay intellectual disability | Small VSD            | Thoracic scoliosis joint contractures abnormal fingers | Secondary sexual characteristics were underdeveloped, the left testis was in the scrotum and hypoplastic, and the right undescended | Live                   |
| Mun et al. 2010 [15] | p16q31.3 | No                                                       | Mild growth retardation              | No                   | No                        | No                     | Live                   |
| Dufke et al. 2000 [16] | p16.2q35.1 | Consistent to WHS high forehead hypertelorism broad nasal bridge dolichocephaly | Growth retardation                  | No                   | No                        | No                     | Live                   |
| Malvestiti et al. 2013 [17] | p16.3q35.2 | Hypertelorism prominent eyes low-set ears beaked nose small chin | Intrauterine growth retardation      | No                   | No                        | No                     | Terminated at 20 weeks of gestation |
| Our fetus 1             | p15.2q32.3 | NA                                                       | Intro uterine growth retardation    | No                   | No                        | No                     | Increased NT, ascites, terminated at 24 weeks of gestation |
| Dub 4p and del 4q (10 cases) |           |                                                          |                                     |                      |                           |                        |                        |
| Hirsch et al. 1993, [11], patient II-5 | p15.32q35 | Unilateral ptosis facial asymetry prominent ears with abnormal helices | Mental retardation but was reported to be caused by birth asphyxia | No                  | Congenital hip dislocation and scoliosis | No                     | Live                   |
noting that, not all rec (4) syndrome cases were derived from the parental pericentric inversion of chromosome 4. Tassano et al. [8] reported the first de novo rec (4) syndrome case with dup 4p at p15.1 and del 4q at q35.1 in 2012. Besides the possibility of the presence of a cryptic inversion undetectable by classical cytogenetic or FISH analysis on one chromosome 4 of the parents, the second possible mechanism might be that inverted low copy repeats in the same chromosome arm form a partial folding of one homologue onto itself with a recombination event between the inverted repeats. The pre-meiotic double-strand breaks with subsequent fusion between sister chromatids was also the third possible mechanism that they suggested [6, 8]. In addition to these hypotheses, harboring chromosome 4 inversion gametes because of germline mosaicism should also been considered.

Table 1 clinical presentations of two types of recombinants (Continued)

| Authors             | Sub-bands | Clinical presentations                                                                 | Outcome and annotations |
|---------------------|-----------|----------------------------------------------------------------------------------------|-------------------------|
| Battaglia et al. 2002 [5] | p1q35.1    | Mild ptosis, upturned nose, thin upper lip prominent ears with a mild cupped configuration | Growth delay            |
|                     |           | Growth and development/mental delay                                                    |                         |
|                     |           | Congenital heart defect but not mentioned in detail                                     |                         |
|                     |           | Short fingers with transverse creases, abnormal toe, coccyx dimple                      |                         |
|                     |           | Underdeveloped scrotum                                                                | Live                    |
| Garcia-Heras et al. 2002 [18] | p15q35    | Microcephaly prominent forehead shallow orbit midface dysplasia small chin             | Growth and development delay |
|                     |           | Pulmonary hypertension and PDA                                                         |                         |
|                     |           | No                                                                                   | No                      |
|                     |           | No                                                                                   | Live                    |
| Stembalska et al. 2007 [19] patient 1 | p1q35.1   | Microcephaly abnormal ears with cupped configuration broad nose short neck             | Growth and development delay |
|                     |           | No                                                                                   | Short fingers           |
|                     |           | No                                                                                   | Live                    |
| Stembalska et al. 2007 [19] patient 2 | p1q35.1   | Microcephaly abnormal ears with cupped configuration broad nose short neck             | Growth and development delay |
|                     |           | No                                                                                   | Short fingers           |
|                     |           | No                                                                                   | Live                    |
| Maurin et al. 2009 [20] | p15.1q35.1 | Anteverted nose large philtrum downslanting palpebral fissure thin upper lip short neck | Growth and development delay |
|                     |           | Interauricular septal defect                                                         |                         |
|                     |           | Mild edema of feet                                                                  | No                      |
|                     |           | No                                                                                   | Live                    |
| Hemmat et al. 2013 [7] | p15.1q35.1 | Microcephaly broad nose with anteverted nares thin upper lip abnormal ears short neck   | Developmental delay      |
|                     |           | Congenital heart disease but not mentioned in detail                                  | No                      |
|                     |           | Yes but not mentioned in detail                                                       | Live                    |
| Tassano et al. 2012 [8] | p15.1q35.1 (de novo) | Hypertelorism prominent ears with cupped configuration saddle nose thin upper lip retrognathia short neck | Growth and development delay |
|                     |           | No                                                                                   | Congenital lucation of the right hip bilateral clubfeet             | No                      |
|                     |           | No                                                                                   | Live                    |
| Decipher Patient ID 269158 | p15.3q34.2 | Prominent forehead                                                                  | Developmental delay      |
|                     |           | ASD                                                                                                                                 |
|                     |           | NA                                                                                   | NA                      |
| Our fetus 2         | p15.2q32.3 | NA                                                                                   | VSD overriding aorta persistent trunk                              | No                      |
|                     |           | No                                                                                   | No                      |
|                     |           | Terminated at 24 weeks of gestation                                                  |                         |

No no such clinical manifestation was present, NA not available, CHD congenital heart disease, VSD ventricular septal defect, PDA Patent ductus arterious, ASD Atria septal defect.

Ten cases in upper part of Table 1 harbored the recombinant type of Dup 4q and del 4p. Ten cases in lower part of Table 1 harbored the the recombinant type of Dup 4p and del 4q.
The clinical phenotype of rec (4) has been a subject of debate for years. Although previous studies have conducted the genotype-phenotype correlation of rec (4) syndrome, the results were contradictory, with some authors suggested that rec (4) syndrome appears to be an entity which can be suspected on the basis of specific clinical features [5, 7], while others argued that rec (4) syndrome is not characterized by a recognizable phenotype [18]. The previous studies were unable to point out the genotype-phenotype relationship because they described the rec (4) syndrome as an entirety. We recommend classifying it into two categories according to its two recombinant types: dup 4p with del 4q, and dup 4q with del 4p, in order to better describe the genotype-phenotype correlation.

The clinical manifestations of rec (4) cases with dup 4q and del 4p are consistent with WHS, i.e., 4p- syndrome. There were 10 cases with dup 4q and del 4p through literature review (shown in the upper part of Table 1). All cases presented with an apparent “Greek Warrior Helmet appearance”, which is a typical clinical feature of WHS, including prominent forehead, flat and broad nasal bridge, hypertelorism, small chin, epicanthic folds, etc. All cases presented with different degrees of growth retardation and development delay, even the prenatal case, our case 1 presented early onset intrauterine growth retardation. Growth retardation and development delay are also the most common clinical features of WHS. The incidence of congenital heart disease in patients with recombinant type of dup 4q and del 4p was approximately 30%, which is similar to patients with recombinant type of dup 4q and del 4p in WHS. Growth retardation and development delay are also the most common clinical features of WHS. The incidence of congenital heart disease in patients with recombinant type of dup 4q and del 4p was approximately 30%, which is similar to patients with recombinant type of dup 4q and del 4p in WHS. Growth retardation and development delay are also the most common clinical features of WHS.

Conclusions
The present study showed a novel breakpoint on the long arm of chromosome 4 among the rec (4) syndrome cases. Genotype-phenotype correlation analysis reveals that the clinical manifestations of rec (4) cases with pure 4q terminal deletions or rec (4) cases with dup 4p and del 4q have much higher incidence of CHD (approximately 50%) [2, 7]. Our data provide supporting evidence that 4q terminal might be a candidate region involved in heart development. The smallest overlapping region (SOR) of the six CHD cases is the 4 Mb region proximal to the telomere on the long arm of chromosome 4 (sub-band of 4q35.2). There are five Ref Genes encompassed in the SOR, including FGT1, ZFP42, TRIML2, FGRI and DBET. We suggest FGT1 might be the putative gene associated with cardiovascular development. According to the Project of Tissue-specific circular RNA induction of human fetal development, this gene presents much higher level of expression in fetal heart as early as 10 weeks of gestation, compared with other organs. This gene also expresses high levels in aorta and coronary arteries. Although there are no reports concerning correlation between FGT1 and cardiovascular disease, some authors found Fat1 may control vascular smooth muscle cell functions by facilitating migration and limiting proliferation [26]. It has been reported that FGT1 intracellular domain can interact with multiple mitochondrial proteins and regulate cell growth and metabolism [27]. The facts of its high expression levels in fetal heart as well as its ability to regulate mitochondrial function led us propose FGT1 to be a candidate gene involved in cardiovascular development.
