Assessment of endometrial sampling as a predictor of final surgical pathology in endometrial cancer

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Background: The histology and grade of endometrial cancer are important predictors of disease outcome and of the likelihood of nodal involvement. In most centres, however, surgical staging decisions are based on a preoperative biopsy. The objective of this study was to assess the concordance between the preoperative histology and that of the hysterectomy specimen in endometrial cancer.

Methods: Patients treated for endometrial cancer during a 10-year period at a tertiary cancer centre were identified from a prospectively collected pathological database. All pathology reports were reviewed to confirm centralised reporting of the original sampling or biopsy specimens; patients whose biopsies were not reviewed by a dedicated gynaecological pathologist at the treating centre were excluded. Surgical pathology data including histology, grade, depth of myometrial invasion, cervical stromal involvement and lymphovascular space invasion (LVSI) as well as preoperative histology and grade were collected. Preoperative and final tumour cell type and grade were compared and the distribution of other high-risk features was analysed.

Results: A total of 1329 consecutive patients were identified; 653 patients had a centrally reviewed epithelial endometrial cancer on their original biopsy, and are included in this study. Of 255 patients whose biopsies were read as grade 1 (G1) adenocarcinoma, 45 (18%) were upgraded to grade 2 (G2) on final pathology, 6 (2%) were upgraded to grade 3 (G3) and 5 (2%) were read as a non-endometrioid high-grade histology. Overall, of 255 tumours classified as G1 endometrioid cancers on biopsy, 74 (29%) were either found to be low-grade (G1–2) tumours with deep myometrial invasion, or were reclassified as high-grade cancers (G3 or non-endometrioid histologies) on final surgical pathology. Despite these shifts, we calculate that omitting surgical staging in preoperatively diagnosed G1 endometrioid cancers without deep myometrial invasion would result in missing nodal involvement in only 1% of cases.

Conclusions: Preoperative endometrial sampling is only a modest predictor of surgical pathology features in endometrial cancer and may underestimate the risk of disease spread and recurrence. In spite of frequent shifts in postoperative vs preoperative pathological assessment, the predicted rate of missed nodal metastases with a selective staging policy remains low.

Endometrial cancer is the most common gynaecological malignancy, affecting up to 3% of women during their lifetime (Siegel et al, 2012). Although generally associated with an excellent prognosis, some endometrial cancers harbour a higher risk for dissemination or recurrence. Optimal surgical management, and specifically – the indications for, and optimal extent of surgical

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staging have been an ongoing topic of controversy (Mariani et al, 2000; Benedetti Panici et al, 2008; Mariani et al, 2008; Bernardini et al, 2009; Neubauer et al, 2009; Dowdy et al, 2012).

The histological subtype and the grade of endometrial cancer are key features impacting the likelihood of disease spread and recurrence, along with other uterine histopathological factors such as myometrial invasion, cervical stromal involvement and lymphovascular space invasion (LVSI) (Aalders et al, 1980; Creasman et al, 1987; Schink et al, 1987; Morrow et al, 1991; Inoue et al, 1996; Larson et al, 1996; Mariani et al, 2000, 2002; Briet et al, 2005; Nofech-Mozes et al, 2008; Lee et al, 2009; Convery et al, 2011; Milam et al, 2012; Koskas et al, 2013). In fact, classical studies evaluating the association of such pathological features with the risk of nodal spread and disease recurrence (Aalders et al, 1980; Creasman et al, 1987; Morrow et al, 1991) have fuelled the debate on endometrial cancer surgical staging policy. These correlative studies have based their analyses on the features of the final hysterectomy specimen, as opposed to a preoperative endometrial sample. As most histopathological information is not available preoperatively, cell type and grade become key factors available for risk stratification before surgery. Many surgeons advocate for intraoperative assessment of myometrial invasion as an adjunct to preoperative biopsy features. This information is frequently used to determine the extent of the surgical staging procedure.

While it is widely accepted that high-grade endometrial cancers are at high risk for nodal spread and thus require a full surgical staging procedure, low-grade endometrial cancers present a surgical dilemma; in most cases, the risk of nodal involvement is low, and a full lymphadenectomy offers limited or no survival benefit while incurring the risks of an extensive surgical procedure (Benedetti Panici et al, 2008; Bernardini et al, 2009). The question that arises in this situation is whether preoperative endometrial sampling is an adequate predictor of final surgical pathology. Can preoperative information be used to guide surgical staging decisions in endometrial cancers?

SUBJECTS AND METHODS

This was a retrospective study, designed to assess the concordance between histological subtype and grade on preoperative endometrial sampling and on final surgical pathology, and to evaluate the prevalence and distribution of other histopathological features that impact the risk of nodal spread.

Consecutive patients treated for endometrial cancer between January 1999 and December 2009 at a tertiary cancer centre in Toronto, Canada, were identified from a prospectively maintained pathological database (CoPath Laboratory Information System) following Toronto University Institutional Review Board approval. For cases referred from other institutions with an endometrial biopsy, the policy at our centre has been to review biopsy specimens before surgical decision-making. Similarly, review of surgical pathology specimens is done for endometrial cancers referred for adjuvant treatment following hysterectomy performed at a referring centre. These reviews were conducted by a team of four pathologists with either formal training or specific interest, and > 5 years subspecialized practice, in gynaecologic pathology. For the purpose of this study, all pathology reports were reviewed to confirm centralised reporting of the original sampling or biopsy specimens; patients whose biopsies had not been re-evaluated by a dedicated gynaecological pathologist at the treating centre were excluded from the study. Patients whose original biopsies did not suggest endometrial cancer or whose histology was not sufficiently informative were also excluded.

Surgical pathological data including histology, grade, depth of myometrial invasion, cervical stromal involvement and LVSI, as well as preoperative histology and grade were collected. Three-tiered grading was used for endometrioid adenocarcinomas, based on the FIGO recommendations for nonsquamous architectural grading where grade 1 (G1) has ≤5% solid growth pattern, grade 2 (G2) has between 6 and 50% solid growth pattern, and grade 3 (G3) has >50% solid growth pattern. In addition to the non-squamous solid growth component, a markedly atypical nuclear pattern inappropriate for the architectural grade could increase the final tumour grade by one. Non-endometrioid histological subtypes included papillary serous cancers, carcinosarcomas and clear-cell endometrial cancers and were considered high grade. Deep myometrial invasion was recorded when the tumour invaded the outer half of the myometrium. Lymphovascular space invasion was defined by the presence of malignant cells within endothelial-lined spaces on H&E-stained sections.

Cases were grouped by preoperative and postoperative histology and grade. The correlation between preoperative and final surgical pathological grade was assessed, and the distribution of high-risk uterine features was determined for each subgroup. The depth of myometrial invasion, LVSI and cervical stromal invasion were specifically noted as features incurring a higher risk of lymphatic spread and disease recurrence.

RESULTS

A total of 1329 consecutive patients treated for endometrial cancer at Toronto Sunnybrook Health Sciences Centre from January 1999 to December 2009 were identified. In all, 394 patients had surgery elsewhere and were reviewed and treated at TSHSC postoperatively based on a review of the surgical pathology specimens, without reviewing the preoperative endometrial biopsy. A total of 19 patients had missing pathology data and were excluded from analysis. Another 34 had biopsies with insufficient tissue, an indefinite diagnosis or a cytology-based diagnosis. Six other patients were misdiagnosed with cervical cancer or sarcomas on initial biopsy, while 20 patients had complex endometrial hyperplasia on initial biopsy. Of the remaining 856 cases, 653 patients had central pathology review of their original biopsy specimens by a dedicated gynaecologic pathologist and were included in this study.

Our series included 464 cases with a preoperative diagnosis of endometrioid adenocarcinoma and 189 who had been diagnosed with non-endometrioid, high-risk histological subtypes: carcinosarcoma, uterine papillary serous carcinoma or clear-cell adenocarcinoma.

Histological data for the entire cohort are presented in Tables 1A and 1B. The agreement between preoperative and hysterectomy histology and grade varied by tumour subtype and grade. Discordances between preoperative sampling and final surgical pathology are detailed in Tables 1A and 1B and may be broken down as follows:

Change in FIGO grade (endometrioid tumours). Upgrade: of 255 patients whose biopsies were read as G1 adenocarcinoma, 45 (18%) were upgraded to grade 2 on final pathology but only 6 (2%) were upgraded to grade 3 (Figure 1). Of 125 G2 biopsies, 20 (16%) were upgraded to grade 3 on final pathology.

Downgrade: 7 of 84 (8%) G3 tumours were downgraded on final pathology, with 6 reclassified as G2 and one reclassified as G1. Nineteen (15%) G2 biopsies were downgraded to G1 on hysterectomy pathology.

Change in cell type (endometrioid to non-endometrioid histologies, and vice versa). A total of 5 of 255 G1 tumours
(2%) and 12 of 125 G2 tumours (10%) were reclassified as a high risk, non-endometrioid histological subtype on final pathology, including 11 serous tumours, 5 carcinosarcomas and 1 clear cell endometrial cancer (Figure 2). This also occurred in 20 of 84 (24%) G3 cancers (6 serous cancers, 7 carcinosarcomas and 7 clear cell carcinomas).

Only four (2%) preoperatively defined high-risk histology specimens, including four serous cancers and one mixed clear cell carcinoma were reclassified on final surgical pathology as low-grade (G1–2) endometrioid cancers.

Change in risk stratification based on other histopathological parameters. Of 255 preoperatively diagnosed G1 endometrioid cancers, 63 (25%) retained a low-grade (G1–2) diagnosis on final surgical histology but were found to have deep myometrial invasion; an additional 21 (8%) had LVSI or cervical stromal invasion without deep myometrial invasion. Of 125 preoperatively diagnosed G2 endometrioid tumours, 26 (21%) were classified postoperatively as G1–2 tumours with deep myometrial invasion, and an additional 20 (16%) were classified as G1–2
tumours but had LVSI or cervical stromal involvement (Table 1B).

Overall, of 255 tumours classified as G1 endometrioid cancers on biopsy, 74 (29%) were either found to be low grade (G1-2) tumours with deep myometrial invasion, or were reclassified as high-grade cancers (G3 or non-endometrioid histologies) on final surgical pathology; 21 others that retained a low grade classification on surgical pathology (8%) had LVSI or cervical stromal invasion in the absence of deep myometrial invasion (Figure 3).

A predictive model of lymphatic risk based on pre/intraoperative assessment. Using data from GOG-33 on surgical pathology correlates and combining it with our data on biopsy-to-surgical pathology shifts, a predictive model can be developed to guide surgical staging decisions (Table 2). For example, the predicted prevalence of lymph node involvement in a cohort of 100 patients with G1 endometrioid tumours on biopsy would in fact comprise the expected rate of lymphatic dissemination in 78 G1 endometrioid tumours of which 17 have deep myometrial invasion, 18 G2 endometrioid tumours of which 8 have deep myometrial invasion, 2 G3 endometrioid tumours and 2 high-risk non-endometrioid tumours. Omitting staging in this cohort of G1 endometrioid cancers would result in missing 3% nodal metastases in the cases that remain G1 on final pathology, 9% nodal metastases in those reclassified as G2, 18% nodal metastases in those reclassified as G3 and ~20% nodal metastases in the cases reclassified as non-endometrioid high risk histologies for a total of 5 cases in 100 or 5%. Based on the grade distribution found in this analysis for endometrioid adenocarcinomas (55% G1 tumours, 27% G2 tumours and 18% G3 tumours) these would represent 3% of the total cohort. Omitting staging only in preoperatively defined G1 endometrioid cancers with intraoperatively determined deep myometrial invasion, assuming 90% accuracy, would result in missing less involved nodes; specifically, 90% of 17 G1 tumours and 90% of 8 G2 tumours with deep myometrial invasion would be identified and staged, of which 11% and 19%, respectively, would be expected to have lymphatic dissemination, for a total of 3 identified cases in 100 G1 endometrioid tumours or 2 missed cases, representing 1% of the total cohort.

The predicted outcomes of various staging policies based on preoperative and intraoperative information are summarised in Table 2.

### Table 2. Predicted nodal information at surgical staging in 1000 endometrioid endometrial cancer patients, for pre-selected and unselected endometrial cancer cases as detailed in the left column

| Patients staged | n | Node-positive cases identified | Node-positive cases missed | NPV | FN |
|----------------|---|------------------------------|---------------------------|-----|----|
| Everyone       | 1000 | 100                          | 0                         | 100 | 0  |
| No one         | 0    | 0                            | 100                       | 90  | 10 |
| G3 only        | 180  | 34                           | 66                        | 93  | 7  |
| G2–3           | 450  | 72                           | 28                        | 97  | 3  |
| G2–3 and G1DMI | 585  | 89                           | 11                        | 99  | 1  |

Abbreviations: DMI = deep myometrial invasion; FN = false negative; G1,2,3 = Grade 1, 2 and 3 endometrioid adenocarcinoma of the endometrium; NPV = negative predictive value. Assumptions: 10% overall lymph node involvement: 3% for G1, 9% for G2, 19% for G3 (GOG33 data). Grade distribution of 55% G1, 27% G2, 18% G3 tumours. A total of 25% of preoperatively defined G1 tumours have deep myometrial invasion.

### DISCUSSION

Pathological information is often used to stratify endometrial cancers into risk groups, based on low and high risk for lymphatic dissemination and disease recurrence. Early studies evaluating the
correlation between local tumour features and the risk of node involvement and disease recurrence have based their analyses on pathological characteristics of the final hysterectomy specimen (Aalders et al, 1980; Creasman et al, 1987; Morrow et al, 1991).

GOG33 (Creasman et al, 1987) determined independent prognostic factors for lymphatic dissemination in >600 primarily endometrioid endometrial cancer cases apparently limited to the uterus. Tumour grade, depth of myometrial invasion and LVSI as well as tumour location (cervix/isthmus vs corpus) and extra-uterine spread all significantly increased the risk of lymph node involvement. A landmark trial on postoperative radiation treatment by Aalders et al (1980) underlined the importance of the same set of features: grade, depth of myometrial invasion and LVSI, as prognostic factors for disease recurrence.

Efforts to define guidelines directing surgical staging and its extent in endometrial cancers have relied heavily on the data generated by these two landmark studies. Although highly informative, most of the features found to significantly impact the risk of lymphatic dissemination cannot be determined preoperatively: LVSI, myometrial and cervical invasion, peritoneal cytology and other sites of peritoneal spread.

Some prognostic features, such as tumour size and location (Schink et al, 1987; Mariani et al, 2000; Convery et al, 2011; Boren et al, 2012; Milam et al, 2012; Alhilli et al, 2013; Lauer et al, 2013), may be assessed with preoperative imaging or intraoperatively by frozen section, although this may harbour some pitfalls, as for example with multifocal lesions. Assessment of the depth of myometrial invasion by preoperative imaging is not sufficiently reliable (Savelli et al, 2012; Antonsen et al, 2013; Kisu et al, 2013; Wu et al, 2013); however, intraoperative assessment with either visual inspection (Doering et al, 1989; Traen et al, 2007; Marcikiewicz and Sundfeldt, 2011) or frozen section evaluation (Fanning et al, 1990; Shim et al, 1992; Mariani et al, 2000; Alhilli et al, 2012; Kumar et al, 2012; Kisu et al, 2013) was found to be similarly accurate, correlating with final pathological evaluation 90–95% of the time.

However, intraoperative consultation for every case with preoperative diagnosis of low-grade endometrioid adenocarcinoma is costly and not available in some centres, where the pathology service may be off-site. Furthermore, intraoperative information is advantageous only when lymphadenectomy can be performed, that is, when surgery is performed by a gynaecologic oncologist, limiting the possibility of triaging low-grade cases to community hospitals preoperatively.

The only predictive histologic features determined preoperatively are cell type and tumour grade. High grade (G3) endometrioid cancers as well as non-endometrioid histological subtypes are at high risk for early spread and recurrence. The risk of microscopic lymph node involvement was found to be as high as 20% for G3 endometrioid tumours (Creasman et al, 1987; Lee et al, 2009; Milam et al, 2012), 20% for clear-cell endometrial cancers (Thomas et al, 2008), 20–50% for uterine serous cancers in different reports (Goff et al, 1994; Hamilton et al, 2006) and 15–20% for carcinosarcomas (Silverberg et al, 1990; Temkin et al, 2007; Nemani et al, 2008).

On the other hand, low-grade endometrioid endometrial cancers are consistently found to have a low risk for lymphatic dissemination and recurrence ranging from 0–10%, depending on the presence of other features (Creasman et al, 1987; Morrow et al, 1991; Mariani et al, 2002). This has led to significant variability in surgical practice, with many centres advocating a selective staging policy. At the time of this audit, the policy at our center was to forgo staging in G1 endometrioid carcinomas.

The accuracy and reliability of preoperative histologic assessment were the focus of this study. Our data demonstrates that non-endometrioid, high-risk histologies have the highest concordance for preoperative and postoperative pathology interpretation: 176 of 189 (93%) retained their preoperative classification. These findings are in line with another report from our group demonstrating robust inter-observer agreement in the diagnosis of non-endometrioid histology (Nofech-Mozes et al, 2012).

Endometrioid tumours showed more frequent shifts between risk groups when comparing pre-operative and post-operative histology. Twenty two per cent of tumours defined as G1 on biopsy were upgraded on final pathology, as were 26% of G2 tumours. On the other hand, 8% of preoperatively defined G3 tumours and 15% of preoperatively defined G2 tumours were downgraded on final pathological evaluation.

We also questioned how well preoperative pathological information correlates with overall histological risk stratification. Tumour grade and histology alone are insufficient predictors of tumour behaviour; in fact, depth of invasion, cervical stromal invasion and LVSI have been consistently found to correlate with the risk of lymphatic dissemination and factor into most risk prediction models (Creasman et al, 1987; Creutzberg et al, 2000; Mariani et al, 2008; Nout et al, 2011; Boren et al, 2012; Milam et al, 2012). In this analysis, 95 of 255 (37%) preoperative defined G1 cases (Figure 3) and 78 of 125 (62%) preoperatively defined G2 tumours would be classified as intermediate to high risk on final surgical pathology on the basis of grade/histology, depth of invasion, LVSI or cervical stromal invasion, with a ≥10% risk of lymphatic dissemination at the time of surgery (Table 1B, Figure 3). A recent Italian study argued that preoperative tumour risk assessment was a strong predictor of risk (Maneschi et al, 2012). Our observations suggest that preoperative biopsy may in fact significantly underestimate tumour risk.

The issue of shifts between pre-operative and final surgical pathology interpretation only becomes meaningful if preoperative histological features are used to guide surgical staging decisions. In spite of the frequent shift from a low-risk to a high-risk classification on final pathology, avoiding lymphadenectomy in low-grade endometrial cancer has been shown to have no deleterious impact on overall or disease-free survival (Benedetti Panici et al, 2008; Bernardini et al, 2009; Neubauer et al, 2009). Using data from Creasman’s GOG-33 trial combined with our data on pre- vs post-operative histology and grade shifts, a predictive model can be developed to guide surgical staging decisions. The predicted outcomes of various staging policies based on preoperative and intraoperative information are summarised in Table 2. Based on these calculations, the negative predictive value of a selective lymphadenectomy policy for G2–3 endometrioid cancers only would be 97%, with a 3% rate of missed nodal involvement (false negatives). This low false negative rate explains the similar survival and recurrence outcomes when employing
such a staging policy, as compared with a universal staging policy. Moreover, selective lymphadenectomy using grade and intraoperative assessment of myometrial invasion as suggested by the Mayo Clinic group (Mariani et al, 2008) would yield a negative predictive value of 99% with only 1% node-positive cases missed, while avoiding lymphadenectomy in >40% of patients.

This model demonstrates that in spite of predicted shifts in pathology and grading and the addition of other uterine risk factors, basing staging decisions on preoperative and intraoperative information will result in a small number of missed nodal metastases.

Preoperative advantages of surgical staging include collecting prognostic information, guiding adjuvant treatment decisions and a potential therapeutic advantage with the removal of pathologically involved lymph nodes. Although no study has been able to demonstrate a therapeutic benefit to lymphadenectomy (Mariani et al, 2000; Benedetti Panici et al, 2008; Kitchener et al, 2009), determining lymph node status is key to adjuvant treatment decisions: on one hand, it limits the use of unnecessary radiation for high-risk patients demonstrated to have localised disease; on the other, surgical staging identifies patients who may benefit from systemic treatment, which has been shown to improve overall survival in advanced disease (Randall et al, 2006). Selective lymphadenectomy based on risk factors available pre- and intraoperatively may maximise the benefits of surgical staging while limiting the complications of a more extensive surgical procedure. The selection of an acceptable cutoff for selective staging may vary according to a surgeon’s or institution’s philosophy, but can be guided by the predictive model suggested here. This model is innovative in accounting for the expected shifts between pre- and post-operative pathological diagnoses.

CONCLUSIONS

Preoperative endometrial sampling is only a modest predictor of final histology in endometrial cancer, and underestimates the potential risk of nodal spread and disease recurrence. Nevertheless, selecting cases for surgical staging based on pre- and intraoperative information would result in an acceptably low predicted rate of missed nodal metastases. In fact, omitting lymphadenectomy in low-risk endometrioid tumours, defined as G1 tumours without deep myometrial invasion as assessed intraoperatively, would only fail to identify 1% of node-positive cases. Decisions regarding a universal vs selective surgical staging policy, and setting an acceptable cutoff for selective staging will undoubtedly vary between institutions and surgeons.

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