Allele-defined genome of the autopolyploid sugarcane *Saccharum spontaneum* L.

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Modern sugarcanes are polyploid interspecific hybrids, combining high sugar content from *Saccharum officinarum* with hardiness, disease resistance and ratooning of *Saccharum spontaneum*. Sequencing of a haploid *S. spontaneum*, AP85-441, facilitated the assembly of 32 pseudo-chromosomes comprising 8 homologous groups of 4 members each, bearing 35,525 genes with alleles defined. The reduction of basic chromosome number from 10 to 8 in *S. spontaneum* was caused by fissions of 2 ancestral chromosomes followed by translocations to 4 chromosomes. Surprisingly, 80% of nucleotide binding site-encoding genes associated with disease resistance are located in 4 rearranged chromosomes and 51% of those in rearranged regions. Resequencing of 64 *S. spontaneum* genomes identified balancing selection in rearranged regions, maintaining their diversity. Introgressed *S. spontaneum* chromosomes in modern sugarcanes are randomly distributed in AP85-441 genome, indicating random recombination among homologs in different *S. spontaneum* accessions. The allele-defined *Saccharum* genome offers new knowledge and resources to accelerate sugarcane improvement.
Cultivated sugarcanes (Saccharum spp., Poaceae) are unusual among leading crops in that they are polyploid interspecific hybrids, with singularly complex genomes. Domesticated in New Guinea ~10,000 years ago, ‘reeds that produce honey without bees’ were considered a luxury and an expensive spice from the sixth to fourth centuries BC. After the introduction of sugarcane to the Old World around the eighth century, its spread to Caribbean, South American, Indian Ocean and Pacific island nations drove large human migrations, including slave labor. Now the world’s number one crop by harvested tonnage and its fifth most valuable crop (FAO, 2012), sugarcane is cultivated on ~26 million hectares of land in >90 countries, and 1.83 billion metric tonnes are harvested annually with a gross production value approaching $57 billion, providing 80% of the world’s sugar and 40% of its ethanol as the primary sugar and biofuel feedstock crop.

While the high sugar content of modern sugarcane cultivars derives from cultivated ‘noble’ forms of Saccharum officinarum, their hardiness, disease resistance and ratooning capacity were obtained during ‘nobilization’, specifically backcrossing into S. officinarum selected traits from a sugar-poor relative, Saccharum spontaneum. ‘Noble’ S. officinarum cultivars, typically 2n = 8x = 80 (n is the haploid chromosome number in eukaryotic organisms and x is the monoploid chromosome number in polyploid organisms), accumulate sucrose in the stem reaching up to 50% of the dry weight but are vulnerable to biotic and abiotic stresses. Dutch breeders in Java made interspecific crosses between S. officinarum and a wild relative, S. spontaneum, to obtain disease resistance and stress tolerance traits of S. spontaneum while backcrossing to S. officinarum to recover high biomass and high sugar content. Consequently, modern sugarcane cultivars are interspecific hybrids with approximately 80% chromosomes from S. officinarum, 10–15% chromosomes from S. spontaneum, and 5–10% recombinant chromosomes.

The lowest chromosome number recorded for natural Saccharum accession is a 2n = 5x = 40 S. spontaneum that no longer exists; however, exactly one haploid (1n = 4x = 32) S. spontaneum, AP85-441, generated from a culture of octoploid SES2086, provides a foundation for assembly of a prototypical version of the sugarcane chromosome set. This study illuminates the hereditary blueprint and evolutionary history of one of our most important, and most complex, crop genomes.

Results

Genome sequencing and assembly. The genome size of AP85-441 was estimated at 3.36 Gbp by flow cytometry. From a BAC library of AP85-441, 35,156 BAC clones were pooled into 712 libraries (mostly of 48 BACs; Supplementary Table 1), and individual BAC pools were sequenced independently by a HiSeq 2500 with PE250 (paired-end model and 250-bp read length), yielding 267.5 Gbp of data that were assembled using three different assemblers: ALLPATHS-LG8, SPAdes9 and SOAPdenovo210, yielding a 2.56-Gbp assembly with ~99.72% accuracy (Supplementary Table 6).

High-throughput chromatin conformation capture (Hi-C) is an extension of chromosome conformation capture (3C) technology, in which cross-linked chromatin is digested with an appropriate restriction enzyme and then ligated to obtain an interacting fragment. This approach, which was pioneered by Lieberman-Aiden et al.13 and Burton et al.14, was used previously in grasses in the assemblies of barley15 and wild emmer wheat16. To provide a scaffold for contig assembly, four Hi-C libraries were constructed from young leaves of AP85-441. Chimeric fragments representing the original cross-linked long-distance physical interactions were processed into paired-end sequencing libraries, then 1 billion 150-bp paired-end Illumina reads were produced and uniquely mapped onto the draft assembly contigs. Due to polyploidy, existing Hi-C scaffolding programs such as LACHESIS11 and SALSA3 link S. spontaneum allelic haplotypes together and are no longer suitable for this auto-polyploid genome. We developed a Hi-C-based scaffolding algorithm (ALLHIC) that integrates four functions—pruning, partition, optimization and building—to select contigs specific for polyploid genome assembly (see Online Methods and Supplementary Figs. 1–3). The quality of Hi-C scaffolding was evaluated using HiC-Pro18 (Supplementary Table 7 and Supplementary Fig. 4).

A Hi-C-based physical map was used to assemble 32 pseudo-chromosomes that anchor 2.9 Gbp of the genome, including 97% of the gene content. A high-density genetic map of 998,370 SNPs was used to verify the Hi-C assembly, supporting that the two methods are consistent in both chromosomal assignment and order for 89% of contigs (Supplementary Table 8). The 32 pseudo-chromosomes comprise 8 homologous groups with 4 sets of monoploid chromosomes: A, B, C and D (Fig. 1). A total of 219 (88.3 %) complete gene models among 248 ultraconserved core eukaryotic genes (CEGs) in CEGMA19 and 1,397 (97.01 %) among 1,440 conserved genes in BUSCO20 were recalled in our assembly (Supplementary Tables 9 and 10). Further, 1,624 million (97.01%) of 1,674 million Illumina short reads were alignable and covered 97.3% of the assembly (Supplementary Table 11). The assembly allowed us to predict 28 potential centromeric regions along the 32 chromosomes, with length ranging from 0.25 to 11.85 Mbp (Supplementary Table 12).

Allele-specific annotation. A high level of homologous gene retention was detected from sequencing multiple haplotypes in sugarcane, despite extreme autoploidy redundancy1. In autopolyploid genomes, homologous genes at the same locus on homologous chromosomes are defined as alleles2. Using two rounds of MAKER followed by manual annotation to separate genes and alleles, we annotated 35,525 genes with alleles defined, including 4,289 (12.7%) genes with four alleles, 9,792 (27.6%) with three, 14,797 (41.7%) with two, and 6,647 (18.7%) with one. The total number of alleles was 82,773, with an average 2.3 alleles per gene. In unanchored sequences, 3,130 gene/alleles were annotated. We annotated 1,256 tandemly duplicated genes and 3,375 dispersedly duplicated paralogs (Table 1). The cytochrome P450 gene families illustrated the importance of annotating alleles in polyploid genomes, with a total of 1,465 manually annotated alleles in 387 genes (Supplementary Fig. 5). Without allele-specific annotation, the number of P450 genes in this genome would be 1,465, not 387.

Among the predicted gene models, 90.0% could be found in the sorghum genome21 and 80% in collinear positions. Comparison with rice, sorghum, maize and Arabidopsis (Supplementary Fig. 6) showed that among 21,661 gene families, 1,278 (6%) were unique to S. spontaneum. Gene Ontology (GO) enrichment analysis showed that these S. spontaneum-specific genes were enriched in a list of GO categories, including response to wounding/external stimulus, serine-type endopeptidase/peptidase inhibitor activity and ribosomal subunit (both false discovery rate (FDR) and P < 0.01, Fisher’s exact test; Supplementary Table 13).

AP85-441 contains 1,842 Mbp of repetitive sequences, accounting for 58.65% of the assembled genome (Supplementary Table 14). Long terminal repeat (LTR) retrotransposons account for 45.62% of the genome, including 14.19% Ty1/copia and 26.04% Ty3/gypsy. Kimura distances analysis indicated a more recent LTR burst (Supplementary Fig. 7), including Ty1/copeia and Ty3/gypsy superfamilies that occurred between 0.72 and 2.9 million years ago.

Basic chromosome number reduction. The AP85-441 genome assembly showed chromosome reduction from 10 to 8 in
S. spontaneum to involve a paleo-duplicated pair of chromosomes that have experienced frequent recombinations. Alignment to sorghum showed chromosome fissions in ancestral homologs of sorghum chromosomes 5 and 8, paleo-duplicated chromosome pairs A5 and A11 in grasses (Fig. 2). The ancestor of SbChr05 (A12) split into two major segments, C5 (A12S) and C5L (A12L), that translocated into ancestors of SbChr06 (A2) and SbChr07 (A5), respectively (Fig. 2c, event 1). The ancestor of SbChr08 (A11) split into two major segments, C8S (A11S) and C8L (A11L), and translocated into ancestors of SbChr09 (A6) and SbChr02 (A7 + A9), respectively. The short fragments that appear to be homologous between SbChr08 and SsChr5 and between SbChr05

**Table 1 | Allele annotation in the AP85-441 genome**

|   | Total no. of genes | No. of genes with 4 alleles | No. of genes with 3 alleles | No. of genes with 2 alleles | No. of genes with 1 allele | No. of dispersely duplicated genes | No. of tandem duplicated genes |
|---|-------------------|-----------------------------|-----------------------------|-----------------------------|-----------------------------|-----------------------------------|-------------------------------|
| Chr1 | 6,677            | 682                         | 1,663                       | 2,903                       | 1,429                       | 654                               | 211                           |
| Chr2 | 5,961            | 784                         | 1,717                       | 2,438                       | 1,022                       | 558                               | 225                           |
| Chr3 | 5,097            | 525                         | 1,419                       | 2,158                       | 995                         | 443                               | 180                           |
| Chr4 | 4,081            | 529                         | 1,112                       | 1,687                       | 753                         | 374                               | 165                           |
| Chr5 | 4,325            | 476                         | 1,077                       | 1,852                       | 920                         | 391                               | 145                           |
| Chr6 | 3,800            | 483                         | 1,069                       | 1,556                       | 692                         | 365                               | 132                           |
| Chr7 | 4,013            | 516                         | 1,135                       | 1,643                       | 719                         | 427                               | 139                           |
| Chr8 | 1,571            | 294                         | 600                         | 560                         | 117                         | 163                               | 59                            |
| Gene with annotated alleles | 35,525 | 4,289 | 9,792 | 14,797 | 6,647 | – | – |
| Duplicated genes | 4,631 | – | – | – | – | 3,375 | 1,256 |
| Unanchored genes/alleles | 3,130 | – | – | – | – | – | – |
and SsChr7 are remains of homeologous genes in sorghum stature SSA formed 13.4 million years ago, well before sorghum and Saccharum diverged\(^2\). Strikingly, even the smaller SSA region in S5 and the larger SSA region in S8 were conserved in the rearranged AP85-441 genome, reflected in the sparse alignment of SsChr5 to SbChr08 and the dense alignment of SsChr7 to SbChr05 at the tip.

Fig. 2 | Evolutionary history of S. spontaneum chromosomes. a. Evolution of chromosome numbers in Poaceae, from n = 10 in sorghum to n = 8 in S. spontaneum. Chromosomes are represented with color codes to illuminate the evolution of segments from a common ancestor with 5 chromosomes. Ancestral genomes are labeled with AX (X is a number from 1 to 12) according to Salse et al.\(^{24}\). The S. spontaneum rearranged chromosomes are marked with dashed boxes. The inversion events that occurred in chromosome segments are shown with red curved arrows, and the chromosomes recombined with inverted chromosome segments are marked with red plus signs. b. Genomic alignments between Ss2, Ss5, Ss6 and Ss7 and Sb02, Sb05, Sb06, Sb07, Sb08 and Sb09 are shown; the inverted regions are marked with red ribbons. c. The genome duplications and rearrangements in S. spontaneum, Miscanthus (genetic map), and sorghum are shown. 1. The basic chromosome number reduction from 10 to 8 in S. spontaneum as described in the text. 2. Two inversions occurred after the first round of WGD as shown by pairs of inversions in SsChr2AB and SsChr7AB. 3. Three chromosomal fragments in SsChr6ABD are in an inverted position. 4. The ancestral chromosome SbChr07 (A5) fused into SbChr04 (A4) after an allopolyploidization event in Miscanthus\(^{27}\). 5. Four chromosomal fragments in SsChr4ABCD are in an inverted position. This is a sorghum-specific inversion in SbChr04 (A4), because the orientation of this chromosomal fragment is the same in rice, Miscanthus, and S. spontaneum.
of the short arm (Fig. 1), validating the high quality and accuracy of the AP85-441 genome assembly.

**Polyploidization in *S. spontaneum***. We assessed whether the two rounds of whole-genome duplication (WGD) affecting sugarcane were allopolyploidization followed by autoploidyization, as proposed25, or just two rounds of autoploidyization. Although the sequenced genome is haploid, each gamete contains four sets of homologous or hom(e)ologous chromosomes, representing two WGDs (that is, from one to two to four). Comparison among hom(e)ologous haplotypes A, B, C and D revealed 7.7 million SNPs, 1.03 million short indels and 3.637 structural variations (SVs), accounting for 11.2 Mbp of sequence and indicating heterozygosity of 0.98% in the *S. spontaneum* AP85-441 genome (Fig. 3 and Supplementary Table 15). To exploit the fact that paralogs are often located on all four of a set of hom(e)ologous chromosomes, we developed a framework to carry out a more sensitive study of gene pair similarities (Supplementary Note). However, no clear partition reflecting two events could be inferred, with each of three complementary approaches suggesting random association among the four members of most homologous series.

It is clear, however, from comparisons of chromosomal rearrangements that there were two discrete WGDs, rather than a single event. Two fissions in ancestral homologs of sorghum chromosomes SbChr05 and SbChr08 that resulted in translocations to a set of two chromosomes each occurred before the two rounds of WGDs in *Saccharum* and after the divergence of *Saccharum* and *Miscanthus* (Fig. 2c). However, inversions in ancestral SbChr085 and SbChr08L in two pairs, SsChr2AB and SsChr7AB, indicate that these occurred after the first WGD but before the second (Fig. 2c, event 2). Among three regions showing collapsing of homologous sequences (upper region of SsChr1C, middle region of SsChr3D and upper region of SsChr8C), SsChr3B and SsChr8A have about 2× greater depth of Illumina short reads, suggesting that they are the collapsed homologs. The SsChr1C region showed equal distribution among three homologs, indicating a deletion in SsChr1C (Supplementary Table 16 and Supplementary Fig. 8).

Two inversions involving single chromosomes, ancestral SbChr05L (A12L) (homologous chromosome C of SsChr6) (Fig. 2c, event 3) and the bottom of SsChr5C (Fig. 2c, event 3), presumably occurred after the two rounds of WGD. Chromosome reduction in *Miscanthus* was caused by fusion of one set of chromosomes homologous to SbChr04 and SbChr0727. Inversions involving all four homologous chromosomes between SsChr4ABCD appear to have occurred before the two rounds of WGD, but it is actually an inversion that occurred in SbChr04 after *Saccharum* and *Sorghum* diverged from a common ancestor (Fig. 2c, event 5). These analyses indicate that the two rounds of WGD are autoploidyization and that they occurred with a brief time in between.

**Allelic expression dominance**. The homologous genome expression levels of the four homologous genomes were similar in examined tissues (Supplementary Fig. 9), indicating no significant global homologous genome dominance in *S. spontaneum*. To mitigate differential gene content among the homologous genomes, we further extracted 4,289 sets of genes with four alleles in high collinearity from AP85-441 (Supplementary Fig. 10), but overall gene expression level from each haplotype was similar for the four homologous genomes (Supplementary Fig. 9). These results are not surprising—even recent allopolyploids such as *Brassica napus*24, *Gossypium hirsutum*25, *Triticum aestivum*10 and *Brassica juncea*26 displayed no homologous chromosome dominance. *S. spontaneum* is a recent autoploidy, and homologous genomes are fluid and changing after each meiosis.

For breeding polyploid crops such as sugarcane, the segregation of alleles with different expression levels may contribute to the segregation of traits in a breeding population. To simplify the analysis of the allelic expression, we sorted the expression levels of four alleles for 4,289 genes in descending order from I to IV. Three allele pairs (I/II, II/III and III/IV) were compared for analyzing the differentially expressed alleles (Supplementary Figs. 11 and 12). We defined the pairs with less than twofold difference in expression within a pair as neutral and others as non-neutral. Of 4,289 genes, on average, 37.6% displayed neutral expression and 62.4% displayed...
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Fig. 4 | Distribution of the regions that contributed to the hybrid sugarcane genome in AP85-441. The rings indicate (from outermost to innermost) (1) 32 pseudo-molecules in Mbp, (2) gene density, (3) TE density and (4) distribution of the regions that contributed to modern hybrid sugarcane genomes along AP85-441 chromosomes.

non-neutral expression, suggesting that the expression of alleles varied. We further analyzed the variations in gene number among tissues; the numbers of both neutral and non-neutral genes were similar among the examined tissues. However, the genes of these two expression patterns varied among examined tissues. On average, 36.3% of the neutral and 56.4% of the non-neutral genes were conserved across all the examined tissues (Supplementary Table 13).

Nicotinamide adenine dinucleotide phosphate-malic enzyme-type C_{4} pathway. The C_{4} photosynthesis pathway was discovered in sugarcane^{32,33}. We identified 24 genes for 7 key enzymes related to the nicotinamide adenine dinucleotide phosphate-malic enzyme (NADP-ME) C_{4} pathway (Supplementary Fig. 14). Increased expression of core C_{4} enzymes played a major role in the evolution of C_{4} photosynthesis^{34}. Based on gene expression and phylogenetic analysis, 8 genes—SsCA1, SsCA2, SsPEPC1, SsPEPC-k1, SsNADP-MDH2, SsNADP-ME2, SsPPDK1 and SsPPDK-RP2—were identified as C_{4}-type genes (Supplementary Table 17). A tandem duplication of SsNADP-ME2, SsNADP-ME1, also displayed a C_{4} expression profile similar to that of SsNADP-ME2. But the ortholog of SsNADP-ME1 in maize, ZmNADP-ME (GRMZM2G122479), displayed non-C_{4}-type expression^{35}, suggesting that neofunctionalization of SsNADP-ME1 for C_{4} in sugarcane occurred after the divergence of maize and tribe Andropogoneae.

Sugar transporters. Sucrose transporters (SUTs) are hypothesized to load sucrose into the phloem of leaf minor veins and also function to retrieve sucrose from the apoplasms during transport^{36-38}. In the step prior to phloem loading, SWEETs (sugars will eventually be exported transporters) are potentially responsible for sucrose efflux into the cell wall space from phloem parenchyma cells and the bundle sheath^{39,40}. SWEETs play various important roles in multiple physiological processes^{41}. In sugarcane and sweet sorghum, the stems are the principal sink tissues that store very high concentrations of sugars within the parenchyma cells^{42-44}. Tonoplast sugar transporters (TSTs) have been characterized as sucrose transporters highly associated with vacuolar sucrose accumulation from sugar beet taproots^{45}, sugarcane^{46} and sweet sorghum stems^{47} and watermelon fruit^{48}. Whereas there are 3 TST genes in the sorghum genome^{49}, the family has expanded in the S. spontaneum genome, which has 4 genes consisting of 13 homologs. Hence, it is reasonable to hypothesize that TSTs are the most promising players to sequester sucrose into the vacuoles of the sugarcane stem^{49}.

Disease resistance genes. S. spontaneum contributed disease resistance genes to modern sugarcane hybrid cultivars. We identified 361 sequences putatively encoding nucleotide-binding site (NBS) proteins, including 22 N-type, 169 NL-type, 68 CN-type and 102 CNI-type. The number of NBS-encoding genes is larger than that in sorghum^{50}, owing to the species-specific tandem duplication in S. spontaneum. Surprisingly, 80% of the NBS-encoding genes located in the four rearrangement chromosomes (SsChr02, SsChr05, SsChr06 and SsChr07) and 51% of those were in the rearranged regions, including SsChr5 (Sb005S) 57.6–89.1 Mbp, SsChr6 (Sb005L) 54.6–90.6 Mbp, SsChr7 (Sb008S) 62.0–83.3 Mbp, SsChr2 (Sb008L) 98.5–125.9 Mbp (Supplementary Table 19). Resistance genes are
seven times more likely to locate in the four rearranged regions than in other chromosomes or regions (P < 2.2 x 10^-6, Fisher’s exact test; Supplementary Table 20).

**S. spontaneum fraction in hybrid sugarcane cultivars.** Modern sugarcane cultivars are the product of complex and repeated hybridization between *S. officinarum* and *S. spontaneum*, resulting in complex hybrids with chromosome numbers and morphologies that differ from those of their progenitors. Previous studies estimated that the *S. spontaneum* genome contributed approximately 10–20% of the modern hybrid sugarcane genome. In the modern hybrid sugarcane SP80-3280, approximately 12.25% of sequences are contributed by *S. spontaneum*. We mapped the sequences back to the AP85-441 genome, and they were randomly and evenly distributed, not constituting a set or sets of chromosomes as expected (Fig. 4). Analysis of the integrated *S. spontaneum* fraction in 15 resequenced hybrid genomes also yielded random distribution throughout the genome (Supplementary Fig. 16).

**Origin and genetic diversity of *S. spontaneum*.** Most of the genetic diversity found within *S. spontaneum* has not been introgressed into commercial sugarcane, and in principle, this germplasm represents a rich source of desirable agronomic traits related to stress tolerance and biomass accumulation59. *S. spontaneum* has a broad natural range extending throughout Asia, the Indian subcontinent, the Mediterranean and Africa55, and natural populations display a wide range of phenotypic, genetic and ploidy-level diversity.

In practice, however, nucleotide diversity (π) across *S. spontaneum* was estimated to be 0.00021±0.000002 (Supplementary Tables 21 and 22 and Supplementary Fig. 17), much lower than that in other clonally propagated crops such as potato53, cassava54, grape55 and citrus56. We resequenced 64 diverse *S. spontaneum* accessions from the world germplasm collection, identifying 4.48 million high-confidence variants that included 3,961,408 SNPs, 201,854 insertions and 291,346 deletions, averaging 1.52 variants per kb. We identified 671,265 variants (15%) in genic regions, including 41,960 synonymous, 101,826 nonsynonymous and 491,493 intronic variants.

Both principal component analysis (PCA) and admixture-based analyses clustered the 64 *S. spontaneum* accessions into three distinct groups (Fig. 5a,c; for other K-values, see Supplementary Fig. 18a,b) that were also supported by phylogenetic relationships among the 64 accessions inferred by bootstrapping and geographic origins (Supplementary Table 23), with group 1 originating from China, the Philippines, Indonesia and Papua New Guinea and groups 2 and 3 originating from India, Pakistan and Iran. The regions of Pan-Malaysia might be the ancient hybrid zones among three groups. Ploidy varies widely within the three groups, from 6x to 16x. By mapping the ploidy levels on the bootstrapped tree (Fig. 5b), the topology shows that the accessions of different ploidy levels (from hexaploid to hexadecaploid) diverged independently from
ancestors in three groups, suggesting that the fluid ploidy levels may have independently evolved from ancestral progenitors.

Regions of *S. spontaneum* with larger-scale chromosomal rearrangements compared with sorghum have higher genetic diversity (higher $x$ value) than non-rearranged regions and may have undergone much stronger balancing selection (Supplementary Table 22 and Supplementary Fig. 19). Although several individual chromosomes do not show significant differences, comparisons averaging values on all chromosomes show nucleotide diversity ($\pi$) in rearranged regions (0.00025 ± 0.00003) to be much higher than in non-rearranged regions (0.00021 ± 0.00001, $P=0.000234$). The Tajima's $D$ in rearranged regions (−0.659 ± 0.052) is much higher than in non-rearranged regions (−0.720 ± 0.011, $P=0.005103$). SNP density is also higher in rearranged regions (360.27 ± 48.41) than in non-rearranged regions (297.46 ± 12.65, $P=0.001798$). In addition, the GO enrichment analyses showed that the non-rearranged regions are enriched in GOs related to basic life cycles, primarily in photosynthesis, respiration and ATP synthesis (both FDR and $P<0.05$, Fisher's exact test; Supplementary Table 23), whereas the rearranged regions were enriched in many GOs related to secondary life processes, whole cell process and intracellular anabolic and catabolic processes, transmembrane transport and ion binding (both FDR and $P<0.05$, Fisher's exact test; Supplementary Table 24).

An intriguing question is whether genomic rearranged regions might have had a role in adaptation to different habitats. The rearranged regions in *S. spontaneum* with high levels of genomic diversity ($x$ value) might result from a preponderance of adaptive genes related to habitat or stress adaptation, such as responses to various abiotic stresses (drought, salinity, alkaline, metal ions and so on), which are controlled by genes of whole cellular process and intracellular anabolic and catabolic processes, transmembrane transport and ion binding, as detected in these regions. Conversely, it is also meaningful that the non-rearranged regions mainly controlling basic life cycles maintain a lower level of genomic diversity. The rearranged regions have undergone stronger balancing selection after the polyploidization events. Adding further support to this notion is that 80% of the NBS-encoding genes are located on the four rearrangement chromosomes.

**Discussion**

The identification of 80% of disease resistance genes on rearranged chromosomes suggests that reduction of basic chromosome number might have contributed to the retention of disease-resistance genes. Following chromosome fusions and translocations in a diploid ancestor, translocated fragments may have undergone little recombination. Following WGD, additional chromosomal rearrangements in these translocated regions may have further suppressed recombination (Fig. 1). Population genomic analyses detected balancing selection in these rearranged regions, a mechanism to maintain genetic diversity. It is likely an unintended consequence that these rearranged chromosome arms are enriched with NBS-encoding genes, resulting in more disease-resistance genes being retained in *S. spontaneum*, which leads to higher resistance to disease and abiotic stresses in *S. spontaneum* than in other *Saccharum* species and makes *S. spontaneum* the source of disease and stress tolerance in sugarcane breeding program.

Integration of *S. spontaneum* chromosome segments into modern sugarcane hybrid cultivars by three to four generations of backcrossing at random would result in about one set of monoploid *S. spontaneum* chromosomes. The *S. spontaneum* fraction of the sugarcane hybrid cultivar SP80-3280 and of 15 resequenced hybrid genomes each appear randomly distributed in the reference AP85-441 genome, indicating random recombination of homologous chromosomes in different accessions that have undergone many rounds of meiosis after their separation. This is indirect evidence that *S. spontaneum* is autopolyploid, and it reinforces the importance of allele-specific annotation for mining effective alleles of resistance genes in hybrid cultivars.

Defining alleles in an autopolyploid genome clarifies gene or gene family analysis, as demonstrated in P450 and other gene families. This reference genome offers substantial new knowledge and unprecedented genomics resources for sugarcane breeders and researchers to mine disease resistance and other alleles in rearranged chromosomes from historic hybrid cultivars, and to track them in breeding populations to shorten the 13-year breeding cycle.

**Online content**

Any methods, additional references, Nature Research reporting summaries, source data, statements of data availability and associated accession codes are available at [https://doi.org/10.1038/s41588-018-0237-2](https://doi.org/10.1038/s41588-018-0237-2).

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Methods

Genome sequencing. Illumina short reads sequencing. DNA was extracted from leaf tissue of a single soil-grown plant using the Qiagen DNeasy Plant Mini Kit and applied to 280-bp and 500-bp paired-end library construction using the NEBNext Ultra DNA Library Prep Kit for Illumina sequencing. Sequencing was performed using the Illumina HiSeq 2500 platform.

Construction of BAC libraries and sequencing. Nuclei were isolated from the young leaf tissues of AP85–441 following the method described by Ming et al.57. The high-molecular-weight DNA embedded in the tissue was partially digested using HindIII. Fractions at approximately 100 kb were recovered and cloned into the pSMART BAC vector (Lucigen). A total of 38,400 BAC clones were constructed and selected for sequencing: 48 BAC clones were pooled together, and DNA libraries were prepared with the PhasePrep BAC DNA Kit (Sigma) following the manufacturer’s protocols. BAC DNA libraries were sequenced using the Illumina HiSeq 2500 with a 250-bp paired-end sequencing strategy.

PacBio library construction and sequencing. More than 5 μg of sheared and concentrated DNA was applied to size selection by the BluePippin system. Approximately 20-kb SMRTbell libraries were prepared according to the released protocol from PacBio. A total of 176 Single-Molecule, Real-Time (SMRT) cells were run on the PacBio RS II system with P6-C4 chemistry.

Hi-C library construction and sequencing. Four Hi-C libraries were created from tender leaves of AP85–441 at BioMarker Technologies Company as described previously. Briefly, the tissues were fixed with formaldehyde and lysed, and then the cross-linked DNA was digested with HindIII overnight. Sticky ends were biotinylated and proximity-ligated to form chimeric junctions that were enriched for and then physically sheared to a size of 500–700 bp. Chimeric fragments representing the original cross-linked long-distance physical interactions were then processed into paired-end sequencing libraries, and 1,001 million 150-bp paired-end reads were produced on the Illumina HiSeq X Ten platform.

Genome assembly overview. The sugarcane AP85–441 contig-level assembly incorporated sequencing data from a mixture of sequencing technologies (Supplementary Fig. 1), including BAC pools sequenced with Illumina HiSeq 2500 and whole-genome shotgun sequencing with PacBio RS II as well as Hi-C reads, followed by Illumina short reads polishing. Each BAC pool was independently assembled using ALLPATHS-LG, SPAdes and SOAPdenovo2, and best results were retained. For PacBio assembly, Canu v1.5 was used, as it is capable of avoiding collapsed repetitive regions and haplotypes. Self-correction was performed with parameter corOutCoverage = 100, which allowed us to correct all of the input PacBio reads. The corrected reads, along with BAC-assembled contigs, were imported to the assembly step. Chromosomal assembly was constructed based on proximity-guided assembly using our newly developed program, ALLHIC, which is designed for polyploid genome scaffolding (see Supplementary Note for details).

Genome annotation. Repeat prediction. We first customized a de novo repeat library of the genome using RepeatModeler (see URLs), which can automatically execute two de novo repeat finding programs, including RECON (version 1.0.8) and RepeatScout (version 1.0.5).59 The consensus transposable element (TE) sequences generated above were imported to RepeatMasker (version 4.0.5) to identify and cluster repetitive elements. Unknown TEs were further classified using TEclass (version 2.1.3).60 To identify tandem repeats within the genome, the Tandem Repeat Finder (TRF) package (version 4.07) was used with the modified parameters of ‘i 1 2 80 200 2000 –d –h’ to find high-order repeats. Telomeres and centromeres were identified based on the dat output files above. Repeat sequences with more than ten monomers ‘AAACCT’ were identified as telomeres. For centromere identification, we used a similar method described in the Oryzopsis thomaeum genome.61 The largest repeat arrays were identified and clustered as centromeres. To further investigate LTRs, we applied theLTR_retriever pipeline, which can integrate results from public programs such as LTR_FINDER and LTRharvest and can also remove false positives from the initial predictions. The predicted LTRs were further classified into intact and non-intact LTRs, and the insertion time was estimated as $T = K/2\mu$ ($K$ is the divergence rate, and $\mu$ is the neutral mutation rate; the default is 1.38 × 10$^{-10}$) in the LTR_retriever package.

Gene annotation. To get high-quality annotation of protein-coding genes, we carried out two rounds of MAKER running, following extensive and careful manual inspections in JBrowse.62

In the first round of MAKER running, ten selected RNA sequencing (RNA-seq) samples were imported into Trinity de novo assembly and genome-guided assembly pipelines with default parameters.63 RSEM was used to calculate transcript abundances. Transcripts with FPKM (fragments per kilobase of exon per million fragments mapped) < 1 and iso-percentage < 3% were removed from further analysis. The filtered transcripts were imported to the PASA program for construction of comprehensive transcripts, as PASA is able to take advantage of the high sensitivity of reference-based assembly while leveraging the ability of de novo assembly to detect novel transcripts.64 The PASA-assembled transcripts described above were used for training. The nearly full-length transcripts were evaluated by comparing with the UniProt plant protein database (last accessed on 8 December 2016), and proteins that were covered at least 95% were retained as candidates. Then ab initio gene predictors, including SNAP3,65 GENEMARK66 and AUGUSTUS67, were each trained with those selected proteins. After that, the MAKER pipeline was used to integrate multiple tiers of coding evidence, including ab initio gene prediction, transcript evidence and protein evidence and generate a comprehensive set of protein-coding genes.

In the second round of MAKER running, the predicted gene models with AED score equal to 0 were extracted for retraining using SNAP3,65 GENEMARK66 and AUGUSTUS67. In addition, the RNA-seq reads were mapped to the AP85–441 genome using HISAT2 version 2.1.0 and reassembled using StringTie version 1.3.4, which is a reference-based assembler. Meanwhile, published full-length transcripts based on IsoSeq in sugarcane were also recruited for annotation.7 The four haplotypes (A, B, C, and D) were split into four sub-genomes, each containing eight pseudo-molecules.

Gene structures were visualized in JBrowse along with RNA-seq-assembled transcripts and homologs from the sorghum, maize and rice genomes. We compared the two rounds of MAKER annotation and selected the better ones if their structures were better supported by homologous proteins or RNA-seq-assembled transcripts. Genes in the first round of annotation were kept if their structures did not improve in the second round.

In the second round of annotation we found that 28,306 gene models had a significant difference in protein length or sequence similarity compared with other reported protein sequences in the NCBI NR database. The corresponding genomic DNA sequences of these genes were extracted for further careful annotation using the online version of FGENESH (see URLs) with pretrained parameters for sorghum genes. Genes with significant improvement were redescribed with the FGENESH annotation.

BUSCO version 3 was used for evaluation of annotation completeness. Out of 1,440 conserved genes, 1,397 (97.1%) were re-annotated in the AP85–441 genome, among which 1,101 (76.5%) were complete and duplicated BUSCO genes.

Allelic variation analysis. Construction of a monoploid genome. To compare the allelic variations among the four haplotypes, we first generated a monoploid genome. The concept of the monoploid model is aimed at retaining consensus sequences among the four haplotypes and covering as many genetic materials as possible. The longest pseudo-molecule was used as reference for each set of haplotypes, and the other three haplotypes were mapped against the reference for SNP/indel and SV calling using the nucmer78 program. Mapping results were filtered, and only the best hits were retained. The program show-snps, implemented in the MUMmer package, was used to identify SNPs and indels with parameters –Clir, which means only SNPs/indels from ambiguously mapped were reported. Consensus sequences were extracted using a homemade PERL script. Insertions larger than 50 bp were identified on Assemblies1, a Web-based SV analytics tool, and further inserted into the reference genome. Finally, a monoploid genome, containing eight representative pseudomolecules and 785 Mb of sequence, was generated for further analysis (Fig. 3).

Identification of alleles. Identification of alleles in the AP85–441 genome was based on two strategies: (1) synteny-based and (2) coordinate-based approaches. Interhaplotype synteny blocks were identified by MCScanx63 and organized into a four-column table containing allele A, B, C or D. In addition, genes that were not shown in that table were mapped to the monoploid genome using GMAP63, and those with at least 50% overlaps on coordinates were considered as potential alleles. Sequence similarities were checked among alleles on the basis of reciprocal blast, and genes without significant similarities to any other allele were removed from the table.

Analysis of allelic variations. We use a reference-based strategy to identify SNPs/indels and SVs. Similar to the approach described in the previous section, the nucmer7 program was used to map haplotypes A, B, C and D to the monoploid genome and SNPs were extracted from ambiguous best mapping. Short indels (1–10 bp) and large structural variations were recalled by Assemblytics on the basis of the alignments above.

Identification of the sequences in hybrid sugarcane that originate from S. spontaneum. The SP80–3280 genome was first masked using the customized TE library and then split into 1-kb fragments. Each of the fragments was blast against the AP85–441 and LA-purple (unpublished) masked genomes, respectively, and the mapping score was calculated for each blast hit using the following formula:

$$S = x^{-1} + \frac{N - I}{x}$$

where $S$ indicates mapping score, $N$ indicates the number of matched bases and $I$ indicates identity in each blast hit.

Fragments were further classified as sequences from AP85–441 and sequences from LA-purple if they had a best mapping score in the corresponding category.
Sequences were classified as fragments from both if they had similar mapping scores (>5% difference) in the LA-purple and AP85-441 genomes.

Allelic expression dominance. Tissues including leaves, stems and roots were collected from mature plants, and RNA-seq analysis in this part was based on these three samples. RNA-seq reads were trimmed by Trimmomatic and then mapped to the AP85-441 genome by HiSAT22. FPKM was calculated on the basis of unique mapping reads using the StringTie package2. To analyze allelic expression dominance, we extracted 4,289 genes with full of four alleles from the AP85-441 annotation files. To simplify the analysis of the allelic expression, the expression levels of the genes were sorted in descending order from 1 to IV. Three allele pairs (I/II, III/IV and III/IV) were compared to analyze the differently expressed alleles. Allele pairs with less than twofold difference in expression were defined as a neutral pair and all others as non-neutral.

Resequencing and population analysis. Reads mapping and variants calling. The four sets of retrieved reads for each of 64 accessions were mapped separately using Bowtie284 with default parameters. The mapped reads were sorted, and duplicated reads were removed using SAMTools85. We estimated the rate of uniquely mapped reads outputted from both BW A86 and Boultie22. Boultie2 generated ten times as many uniquely mapped reads as BW did (Supplementary Fig. 21). The Realigner Target Creator and Indel Realigner programs from the Genome Analysis Toolkit (GATK) package76 were used for global realignment of reads around indels from the sorted BAM files. The HaplotypeCaller was used to estimate the SNPs and indels for putative diploids using the default parameters. The HaplotypeCaller outputted 42,585,337 unfiltered variants (SNPs and indels). The distribution of calling depths (DP) of each raw variant was estimated as a criterion for variants filtering. Low-depths and repetitive variants were removed from the raw VCF file if they had DP < 2 or DP > 45, minQ < 30. Variants with more than 15% missing data were removed. These filtering strategies reduced the raw unfiltered set of 42.59 million variants (SNPs and Indels) to the working set of 4.48 million (4,476,608) variants. Snplf (v3.6.1)26 was used to assign variants effects on the basis of gene models from S. spontaneum genome annotation. The variants sites were annotated as the SNPs and Indels, as well as intergenic and genic regions (including the synonymous, nonsynonymous, intronic, upstream and downstream variants).

Genome-wide genetic diversity estimation. Population genetic statistics of SNP density, π and Tajima’s Δ were calculated directly from the filtered VCF file in 1,000-kb window and 500-kb step for π, and non-overlapping intervals for SNP density and Tajima’s Δ in VCFTools2. The high confidence 4,476,608 variant set was used for statistical estimations.

PCA. PCA was performed using the GCTA software on the filtered 4,476,608 variants. The input Plink binary files are transformed from the filtered VCF files using VCFTools and PLINK2. The top three principal components were used for assigning the 64 accessions and downstream population structure analysis.

Phylogeny. Bi-allelic and polymorphic SNPs (3,969,408) were used for reconstructing the phylogenetic relationships among 64 accessions. Before tree construction, we filtered and pruned the SNPs with MAF (minor allele frequency) < 0.2, missing rate > 0.15, and LD (linkage disequilibrium) threshold = 2. Finally, a total of 37,617 SNPs were selected for the constructed tree using SNPhylo software. The multiple consensus sequences were aligned using MUSCLE1. Maximum likelihood trees were constructed using the maximum likelihood method by running DNAML programs in the PHYLIPIII package2. In addition, a bootstrapped tree was constructed by bootstrapping (bootstrap = 10,000) analysis using the PHANGORN package2. Figtree V1.4 (see URLs) was used to visualize the trees.

Population genetic structure. Ancestral population stratification among 64 accessions was inferred using Admixture software. The optimal ancestral population structure was estimated from the different variants set with STRUCTURE20 using ancestral population sizes K = 1–20 and choosing the population with the smallest cross-validation error. The parameter standard errors were estimated using bootstrapping (bootstraps = 200) when doing the admixture analyses. DISTRUCT20 was used to plot the population stratification results for K = 1 through K = 20 (Supplementary Fig. 18).

Differentiation of genomic diversity among four homologous haploid sets. The reads mapped to each of four homologous haploid sets (A, B, C and D) of the AP85-441 genome were retrieved for each of 64 accessions using SAMTools and BedTools2. The four sets of retrieved reads for each of 64 accessions were mapped separately to each of eight chromosomes in a consensus monoploid genome using Bowtie2 with default parameters. The variants were called from a cohort of 256 BAM files generated from the previous step for each of the eight chromosomes. The HaplotypeCaller of GATK was used to estimate the SNPs and indels for putative diploids using the default parameters. The HaplotypeCaller outputted 17,331,765 unfiltered variants (SNPs and indels). The distribution of calling depths (DP) of each raw variant was estimated as a criterion for variant filtering. Low depths and repetitive variants were removed from the raw VCF file if they had DP < 1 or DP > 5, minQ < 20. We allowed the variant sites with max-missing rate as 50%. These filtering strategies reduced the raw unfiltered set of variants (SNPs and indels) to the working set of 68,911 variants. These filtering strategies reduced the raw unfiltered set of variants (SNPs and indels) to the working set of 68,911 variants. The working variant set was then used for estimating the population genetics statistics π among four homologous haploid sets.

Genomic diversity of genomic rearranged regions. To test whether genomic rearranged regions (RAR) have a genetic difference from non-rearranged regions (non-RAR), we compared the population genetic statistics π, SNP density, and Tajima’s Δ between rearranged and non-rearranged regions in each of four sets (A, B, C and D) of chromosomes 2, 5, 6 and 7. The genomic rearranged regions inferred by collinear dot plot and allelic phasing are shown Supplementary Table 21. We used the T-test and Mann-Whitney U test with the one-tailed hypothesis to compare the differences of statistics (π, SNP density and Tajima’s Δ) between RAR and non-RAR. To find the difference in gene functions between RAR and non-RAR, we conducted GO enrichment analysis for the gene models in RAR and non-RAR. We first blastX the S. spontaneum gene models in the NCBI NR database of (Supplementary URLs). Then the functional annotation and GO enrichment analyses of gene models were conducted in Blast2GO v4.1 software.26 We used gene models of RAR or non-RAR as tested gene sets and the whole gene models as reference. The significance of enrichment was valued using the Fisher’s exact test.

Genomic diversity among different polyploidy accessions. To test the effects of polyploidy on genetic diversity, we compared the population nucleotide diversity (π) among accessions with different ploidy levels. We used a 1,000-kb sliding window and a 500-kb step to calculate the values of each statistic. In addition, we divided the 64 accessions into four groups (ploidy 6, 8, 10 and 13–16) depending on their ploidy level. The four groups were used to calculate the pairwise Weir and Cockbarn’s FST between the two of them using VCFTools version 0.1.12b with a 1,000-kb sliding window and a 500-kb step.

Reporting Summary. Further information on research design is available in the Nature Research Reporting Summary linked to this article.

Data availability
The genome assembly and gene annotation have been deposited in the NCBI database under accession number QVOI00000000, BioProject number PRJNA483885 and BioSample number SAMN09753102. The data can also be downloaded from the following link: http://www.life.illinois.edu/ming/downloads/S. spontaneum/. The raw sequencing reads have been deposited in the NCBI Sequence Read Archive (SRA) under the BioProject PRJNA483885.

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Software and code

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Data collection: No commercial and custom code were used for data collection.

Data analysis: We provides a newly developed Hi-C scaffolding program, namely ALLHiC (version 0.1), which is designed for scaffolding polyploid genome using Hi-C reads. The program and test datasets are available in the following link: https://github.com/tangerzhang/ALLHiC

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| ☑   | Eukaryotic cell lines |
| ☑   | Research animals      |
| ☑   | Human research participants |

Method-specific reporting

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