Renoprotective Benefit of Tolvaptan in Acute Decompensated Heart Failure Patients With Loop Diuretic-Resistant Status

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Abstract

Background: While reduction of accumulated body fluid using loop diuretics is a commonly used therapeutic option for acute heart failure (AHF), some patients, especially those with chronic kidney disease (CKD), show significantly poor treatment response to loop diuretics. Tolvaptan (TLV) has shown effectiveness against AHF in several studies. We have been using TLV for AHF treatment, and it displayed favorable outcome even in patients with CKD. This study aimed to assess the therapeutic effectiveness of TLV in AHF patients.

Methods: Ninety-nine AHF patients who were hospitalized were assessed retrospectively. Patients were divided into two groups: TLV treatment (TLV group, n = 39) and conventional treatment (non-TLV group, n = 60). We retrospectively examined the efficacy of TLV combination therapy for renal insufficiency complications and loop diuretic-resistant AHF patients, and the detail analysis was performed for heart failure with preserved ejection fraction (HFpEF) or reduced ejection fraction (HFrEF) in patients.

Results: Changes in serum electrolyte levels before and after the treatment were similar in both groups. Although the patients in the TLV group at baseline displayed significantly lower estimated glomerular filtration rate (eGFR) indicating renal insufficiency probably due to higher dose of loop diuretics, the incidence of worsening renal function (WRF) was significantly lower than those in non-TLV group in HFpEF (TLV: 2.5% vs. non-TLV: 15.4%, P = 0.01). We performed logistic regression analysis and found that TLV was an independent contributing factor for reducing WRF (odds ratio: 0.14, 95% CI: 0.02 - 0.98, P = 0.04).

Conclusions: Our results suggest that TLV application in acute stage may be renoprotective for AHF patients with CKD, especially in HFpEF.

Keywords: Heart failure; HFpEF; Diuretic; Vasopressin; Tolvaptan; Worsening renal function

Introduction

The number of heart failure (HF) patients is increasing with aging of the Japanese society, and it is expected to affect more than 1.3 million patients by 2030 [1]. Acute heart failure (AHF) is a major cause of hospitalization of elderly patients, and its prognosis remains unfavorable [2, 3]. The AHF treatment guideline published by The Japanese Circulation Society, recommended loop diuretics and carperitide for the treatment of congestive HF patients. However, we often see patients who have insufficient response to these agents, resulting in difficult management of HF.

Tolvaptan (TLV) is a selective vasopressin V2 receptor antagonist, which competes with antidiuretic hormone vasopressin in the V2 receptor of the kidney collecting duct. This antagonistic action of TLV on the V2 receptor inhibits apoclin-2 activation, resulting in suppression of water resorption at the collecting duct, and increases water excretion. While hyponatremia is often seen in HF patients, which significantly increases cardiac death [4], TLV only excretes water and effectively corrects hyponatremia [5]. Renal insufficiency induced by congestive HF treatment is called worsening renal function (WRF), which is defined as increase in serum creatinine (Cr) levels at 0.3 mg/dL or higher. The DOSE trial which compared administration routes between intravenous injection and continuous drip infusion of loop diuretics, and high- and low-dose loop diuretics in patients with acute uncompensated HF, showed that the difference in loop diuretic administration routes and doses were not effective, but induced renal insufficiency. A study suggested the limitation of loop diuretic in AHF treatment [4]. Recent studies showed that reduction of loop diuretic doses in AHF patients can prevent WRF [6-9]. The AQUAMARINE study examined the effectiveness of TLV for AHF patients complicated with renal dysfunction [10], the K-STAR study proved the effectiveness of TLV for patients with renal failure [11], and another study [12] examined the effectiveness of TLV for patients with loop diuretic-resistant HF. These studies suggest that concurrent TLV therapy is a promising treatment option for AHF. TLV combination therapy for renal failure complications and loop diuretic-resistant AHF patients has been proven to be effective,
but few reports have validated its efficacy with heart failure with preserved ejection fraction (HFpEF) or reduced ejection fraction (HFrEF). We retrospectively examined the efficacy of TLV combination therapy for renal insufficiency complications and loop diuretic-resistant AHF patients, and the detail analysis was performed for HFpEF or HFrEF patients.

Materials and Methods

Study subjects

In this single-center, retrospective study, 99 patients with acute decompensated HF, who were hospitalized between April 2015 and December 2016, were recruited and comparatively analyzed. The age of the patient was over 20 years. Patients with acute coronary syndrome and dialysis were excluded. Patients were divided into two groups, 39 patients with concurrent TLV treatment (TLV group) and 60 patients with conventional treatment (non-TLV group). The following endpoints were evaluated: 1) Number of days until improvement of HF (disappearance of clinical congestion (limb edema, lung congestion, and pleural effusion in chest X-ray) and stabilized body weight change for 2 days and more); 2) Total dose of loop diuretics between initiation of treatment and 1 week after the treatment; 3) Changes in blood pressure (systolic blood pressure (SBP); diastolic blood pressure (DBP)) and heart rate (HR) in 1 week; and 4) Changes in renal function (delta blood urea nitrogen (ΔBUN); delta creatinine (ΔCr); delta estimated glomerular filtration rate (ΔeGFR)), electrolytes (delta sodium concentration (ΔNa); delta potassium (ΔK)), and WRF incidence rate. Δ indicates the values 1 day after the treatment minus the values at pre-treatment. WRF is defined as Cr increase of 0.3 mg/dL or more within 1 week during HF treatment.

Materials and biochemical parameters

There was no difference in concomitant medication at hospitalization in each group. In TLV, the serum Cr at baseline was higher than in non-TLV (TLV: 1.3 mg/dL vs. non-TLV: 0.9 mg/dL, P = 0.01) and eGFR was lower (TLV: 35.3 mL/min/1.73m² vs. non-TLV: 48.2 mL/min/1.73m², P = 0.003), indicating that renal function was rather worse in the TLV group. When chronic kidney disease (CKD) is defined as eGFR < 60 mL/min/1.73 m², 99% of TLV and 77% of non-TLV patients had comorbid CKD. Serum sodium and potassium levels did not show difference between the two groups.

Types of used diuretics and catecholamine

In concomitant diuretics at the initiation of treatment, carperitide was used in 44% of the patients in the TLV group. There were no significant differences in the use of other diuretics between the two groups. The usage rate of catecholamine is 18% in the TLV group and 12% in the non-TLV group (P = 0.39).

Improvement of HF

In terms of the number of days until improvement of HF from initiation of the treatment, although the days of improvement in the TLV group appeared to be shorter than that in the non-TLV group, there was no significant difference (Fig. 1a). In terms of average body weight change (Fig. 1b) and cardiac thoracic ratio (CTR) change in chest X-ray (Fig. 1c) during the treatment, there was no significant differences in the two groups except for the CTR reduction in LVEF < 45% (TLV: -1.6%, non-TLV: -4.8%, P = 0.001).

Total loop diuretic use before hospitalization and 1 week from the start of treatment

Figure 2a shows the average dose of loop diuretics before hospitalization, which shows significant difference in LVEF < 45% (TLV: 37.9 mg, non-TLV: 8.4 mg, P < 0.001). Figure 2b shows the total dose of loop diuretics from treatment initiation to 1 week after the treatment. Although the total dose of loop diuretics in the TLV group was higher than that in the non-TLV group, there was no statistical significant difference.
# Table 1. Patients Characteristics in the TLV and Non-TLV Groups

|                          | All (n = 99)          | TLV (n = 39)       | non-TLV (n = 60)  | P value  |
|--------------------------|-----------------------|-------------------|------------------|----------|
| Age, yrs                 | 81 (73 - 87)          | 79 (74.5 - 86)    | 83 (72 - 88)     | 0.85     |
| Male, n (%)              | 53 (54)               | 23 (59)           | 30 (50)          | 0.43     |
| Body weight, kg          | 55.9 (49.6 - 65.4)    | 57.8 (52.9 - 69.5)| 52.9 (46.9 - 60.7)| 0.09     |
| UCG-LVEF, %              | 46.1 (31.5 - 60.9)    | 48.8 (38.4 - 60.4)| 45.7 (30 - 60.8) | 0.45     |
| Chest X-ray CTR, %       | 61 (58 - 65)          | 61 (58 - 66)      | 61 (58 - 64.5)   | 0.32     |
| NYHA classification      |                       |                   |                  |          |
| Class III, n (%)         | 39 (39)               | 18 (46)           | 21 (35)          | 0.29     |
| Class IV, n (%)          | 18 (18)               | 4 (10)            | 14 (23)          | 0.09     |
| Etiology                 |                       |                   |                  |          |
| Ischemia, n (%)          | 28 (28)               | 6 (15)            | 15 (25)          | 0.24     |
| Hypertension, n (%)      | 66 (67)               | 7 (18)            | 14 (23)          | 0.49     |
| Arrhythmia, n (%)        | 12 (12)               | 3 (8)             | 9 (15)           | 0.26     |
| Valvular, n (%)          | 11 (11)               | 4 (10)            | 7 (12)           | 0.81     |
| Cardiomyopathy, n (%)    | 7 (7)                 | 4 (10)            | 3 (5)            | 0.33     |
| Others, n (%)            | 26 (26)               | 15 (38)           | 11 (18)          | 0.03     |
| Hemodynamics             |                       |                   |                  |          |
| SBP, mm Hg               | 128 (110.5 - 149.8)   | 116 (102 - 131)   | 141 (122 - 157.5)| <0.0001  |
| DBP, mm Hg               | 74.5 (64.3 - 89.8)    | 65 (60.5 - 74.5)  | 81 (68 - 98.5)   | <0.0001  |
| HR, bpm                  | 82.5 (65.5 - 105)     | 73 (62.5 - 90)    | 90 (70 - 108)    | 0.02     |
| Drugs                    |                       |                   |                  |          |
| ACE-I/ARB, n (%)         | 57 (58)               | 23 (59)           | 21 (35)          | 0.89     |
| CCB, n (%)               | 31 (31)               | 15 (38)           | 13 (33)          | 0.24     |
| B-blocker, n (%)         | 67 (68)               | 29 (74)           | 22 (56)          | 0.29     |
| Laboratory data          |                       |                   |                  |          |
| BUN, mg/dL               | 23 (18 - 31)          | 24 (20.5 - 35)    | 23 (15.5 - 29)   | 0.08     |
| Cr, mg/dL                | 1.1 (0.9 - 1.5)       | 1.3 (1.1 - 1.7)   | 0.9 (0.8 - 1.3)  | 0.01     |
| eGFR, ml/min/1.73m²³     | 40.6 (32.0 - 56.4)    | 35.3 (27.1 - 43.5)| 48.2 (36.4 - 59.2)| 0.003    |
| Na, mEq/L                | 140 (138 - 142)       | 139 (136 - 141)   | 141 (139 - 142.5)| 0.21     |
| K, mEq/L                 | 4.1 (3.8 - 4.4)       | 4.2 (4 - 4.5)     | 4.0 (3.7 - 4.5)  | 0.10     |
| Cl, mEq/L                | 105 (103 - 108)       | 104.5 (101 - 107) | 106 (104 - 108)  | 0.33     |
| Albumin, g/dL            | 3.5 (3.1 - 3.7)       | 3.6 (3.2 - 3.8)   | 3.4 (3.1 - 3.7)  | 0.88     |
| Hemoglobin, g/dL         | 11.9 (10.2 - 12.8)    | 11.7 (10.2 - 12.5)| 12.1 (9.8 - 13.3)| 0.51     |
| NT-proBNP                | 6,430 (2,596 - 13,541)| 5,503 (3,152 - 15,244)| 6,490 (2,619 - 13,478)| 0.34    |
| Type of used diuretics   |                       |                   |                  |          |
| Carperitide, n (%)       | 45 (45)               | 17 (44)           | 28 (47)          | 0.71     |
| Carperitide, γ           | 0.009 ± 0.012         | 0.009 ± 0.012     | 0.009 ± 0.012    | 0.96     |
| Frosenide, mg            | 21.7 ± 15.3           | 24.4 ± 18.2       | 20.0 ± 12.9      | 0.21     |
| Spironolactone, mg       | 17.9 ± 13.0           | 14.7 ± 13.7       | 20.1 ± 12.2      | 0.05     |
| Catecholamine, n(%)      | 14 (14)               | 7 (18)            | 7 (12)           | 0.39     |

Data are shown as the mean ± standard deviation (SD) or n (%) or as the median (interquartile range). UCG-LVEF: ultrasonic cardiogram-left ventricular ejection fraction; CTR: cardiac thoracic ratio; NYHA: New York Heart Association; SBP: systolic blood pressure; DBP: diastolic blood pressure; HR: heart rate; ACE-I/ARB: angiotensin converting enzyme inhibitor/angiotensin receptor blocker; CCB: calcium channel blocker; BUN: blood urea nitrogen; Cr: creatinine; eGFR: estimated glomerular filtration rate; Na: sodium; K: potassium; Cl: chloride ion; NT-proBNP: n-terminal pro b-type natriuretic peptide.
Changes in blood pressure 1 week before and after treatment

Figure 2c shows changes in blood pressure (SBP (Fig. 2c-1) and DBP (Fig. 2c-2)) and HR (Fig. 2c-3) from pre-treatment to 1 week after the treatment. Both SBP and DBP were significantly higher in the non-TLV group until the second day, whereas HR was significantly higher in the non-TLV group only on the first day, and there was no significant difference in both BP and HR in the following day.

Changes in renal function parameters and WRF rate prior to treatment and 1 week after treatment

Figure 3a-c shows changes in renal function ((a): ΔBUN, (b): ΔCr, (c): ΔeGFR). The ΔCr in the non-TLV group was significantly increased compared to that in the TLV group in terms of LVEF > 45% (TLV: -0.1 mg/dL, non-TLV: +0.2 mg/dL, P = 0.02), whereas ΔeGFR in the non-TLV group was significantly decreased in terms of LVEF > 45% (TLV: +1.4 mL/min/1.73 m², non-TLV: -2.7 mL/min/1.73 m², P = 0.04). There was no difference in the changes in serum electrolytes levels between the two groups (ΔNa: P = 0.29, ΔK: P = 0.63).

Figure 3d shows the WRF rate. The WRF rate in the TLV group was significantly lower than that in the non-TLV group in LVEF > 45% (TLV: 2.5%, non-TLV: 15.4%, P = 0.01), whereas the WRF rate of the LVEF < 45% group showed similar tendency as in LVEF > 45% group, but it was not significant.

In Figure 3b-d, there were significant differences in all patient groups (TLV vs. non-TLV, P value, respectively; (3b): -0.1 mg/dL vs. +0.2 mg/dL, P = 0.03; (3c): +1.0 mL/min/1.73m² vs.
-2.7 mL/min/1.73m², P = 0.04; (3d): 2.6 % vs. 18.6 %, P = 0.02), and the results of the LVEF > 45% group mainly contributed to the result of all patient comparison.

**Predictors of WRF by multiple logistic regression analysis**

We analyzed the associations between WRF and TLV administration by a logistic regression analysis (Table 2). As a result, among the candidate variables identified by univariate analysis, TLV was found to be an independent factor for reducing WRF (odds ratio: 0.14, 95% CI: 0.02 - 0.98, P = 0.04).

**Discussion**

This study showed a significantly lower incidence of WRF despite higher doses of loop diuretics in the TLV group than that in the non-TLV group in AHF patients with CKD, suggesting the renoprotective effect of TLV. Moreover, this was a retrospective clinical study investigating TLV effectiveness in the real-world setting.

The mean eGFR at baseline was 43 mL/min/1.73 m², and most patients exhibited moderate to severe renal insufficiency at the stage G3b of CKD classification. Patients in the TLV group displayed significantly lower eGFR compared to patients in the non-TLV group (Table 1), probably due to the higher average dose of loop diuretics used before hospitalization (Fig. 2a).

Therefore, the reason for the lower eGFR in the TLV group was that TLV was used for patients who showed loop diuretic treatment resistance by corresponding doctors. Hence, the TLV group needs to have TLV combined with loop diuretics, and it is considered that the TLV group had poor response to loop diuretics due to renal dysfunction. This finding sug-

**Table 2. Independent Predictors of Worsening Renal Function**

|         | Odds ratio | 95% CI     | P value |
|---------|------------|------------|---------|
| HT      | 2.07       | 0.49 - 8.72| 0.32    |
| eGFR    | 1.36       | 0.26 - 7.25| 0.72    |
| Tolvaptan | 0.14         | 0.02 - 0.98| 0.04    |

CI: confidence interval; HT: hyper tension; eGFR: estimated glomerular filtration rate.
suggests that patients in the TLV group were resistant to loop diuretic treatment.

There was no significant difference in HF improvement period, body weight change, and CTR change except for CTR in LVEF < 45%, suggesting a comparable improvement in HF in both groups (Fig. 1).

The higher total dose of loop diuretics from the beginning of HF treatment to 1 week after the treatment in TLV group (Fig. 2b) was due to the higher initial and before hospitalization dose of diuretics and the continuation of higher dose of loop diuretics in the TLV group (Table 1, TLV: 24.4 mg vs. non-TLV: 20.0 mg, P = 0.21).

There was no significant differences in HF severity between the two groups based on NYHA classification at admission (Table 1, class III/IV TLV: 56% vs. non-TLV: 58%, P = NS) and CTR at chest X-ray before treatment (Table 1, TLV: 61.0 % vs. non-TLV: 61.0 %, P = 0.32). In the non-TLV group, ΔBUN and ΔCr were higher and ΔeGFR was lower than those in the TLV group, indicating that the incidence of WRF was significantly higher than that in the TLV group. On the contrary, although the patients in the TLV group were resistant to loop diuretic treatment, the WRF rate was significantly lower than that in the non-TLV group at LVEF > 45% (Fig. 3d). In combination with TLV, an effective therapeutic effect without decreasing the dose of loop diuretics is demonstrated, and WRF can be possibly suppressed. This result was completely corresponding to the K-STAR study [11].

Regarding the hemodynamic effect of TLV treatment, the SBP, DBP, and HR were decreased in the non-TLV group, but they remained at the same levels in the TLV group throughout the 1-week treatment (Fig. 2c1-c3). This was because both SBP and DBP in the non-TLV group at hospitalization were significantly higher than those in the TLV group (Table 1), suggesting an increased treatment effect on HF. On the contrary, SBP and DBP in the TLV group at hospitalization were within the normal range, and both SBP and DBP remained at the same level, indicating that TLV did not affect hemodynamics and is a safe treatment option for HF.

The results in the present study suggest that TLV is an effective treatment option for loop diuretic-resistant AHF patients with comorbid CKD and has a renoprotective effect, in which WRF rate is reduced even under high-dose loop diuretics. In addition, TLV has less depressor effect, suggesting that it is a safe therapeutic agent for HF.

CKD is the most important risk of WRF [13, 14]. WRF exacerbates prognosis even if it is temporary [15]. In a recent report, TLV reduces WRF in treatment of AHF with CKD [16]. TLV is effective in treating heart failure with CKD, continuous administration of TLV improves middle-term prognosis [17].

In this study, TLV was an independent contributing factor that decreases WRF based on multivariate analysis (Table 2). Furthermore, we examined HFrEF and HFpEF at a cutoff value of LVEF 45% and confirmed the renoprotective effect in HFpEF. TLV was likely to have a renoprotective effect in both HFrEF and HFpEF because it did not show renal dysfunction in HFrEF. The clinical treatment of HFrEF patient with CKD is very difficult and easily complicates WRF due to reduction of renal blood flow. Therefore, it is quite important that use of TLV does not cause impairment of renal function in HFrEF with CKD.

Long-term efficacy of TLV in HFpEF has recently been reported [18]. In this study, TLV suppresses acute WRF of HFpEF, and suppression of acute WRF was a meaningful result because it was involved in long-term efficacy.

Although the present study is a retrospective study, our results provide critical information since TLV treatment in daily clinical setting is considered for diuretic-resistant patients with comorbid CKD who have poor response to loop diuretics. Our present data reconfirmed that TLV was important as an initial therapeutic strategy for loop diuretic-resistant patients with acute decompensated HF.

**Study limitations**

There were few study limitations. First, it is a retrospective study which does not have sufficient data of urine-related parameters (urine excretion amount of electrolytes, Cr, osmotic pressure, free water clearance); thus, no systematic evaluation of TLV effect on renal function was carried out. Second, this was a single-site study, and the sample size was moderate (n = 99), which may not have sufficient statistical power. Therefore, a prospective multi-center study of TLV effect in diuretic-resistant patients is required.

**Conclusions**

This study showed significantly lower incidence of WRF despite higher doses of loop diuretics in the TLV group compared to the non-TLV group in AHF patients with CKD, especially in HFpEF. Our results suggest that TLV is a valuable therapeutic agent for diuretic-resistant AHF patients with potential renoprotective effect.

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