Drought Monitoring Using Rainfall, Evapotranspiration and Streamflow Data: A Case Study of Kaduna River Catchment Area (Nigeria)

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Authors’ contributions

This work was carried out in collaboration among all authors. Author AD designed the study, and wrote the first draft of the manuscript. Authors EJZ and MS managed the analyses of the study. Authors AOA, SOO and BA managed the literature searches. All authors read and approved the final manuscript.

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Original Research Article

ABSTRACT

Drought is defined as the lack of adequate precipitation, either rain or snow that causes reduced soil moisture or groundwater, diminished streamflow, crop damage and a general water shortage. The objective of this study focuses on meteorological and hydrological drought monitoring in river Kaduna catchment area. Standardized Precipitation Index (SPI) and Reconnaissance Drought Index (RDI) drought indices were used to characterize meteorological drought while Streamflow Drought Index (SDI) was used for hydrological drought monitoring for a period of 34 years (1967 – 2001). DrinC software, a drought indices calculator, was used for the calculation of SPI, RDI, and SDI respectively. The drought severity classification based on meteorological and hydrological...
drought indices gave 33% and 37% drought conditions period with the year 1967 – 2001. Based on these indexes, the drought characteristics of the catchment area were investigated by analyzing meteorological data from 1967 to 2001. The results of this analysis show that more non-drought/normal conditions were predominant than drought conditions. During the period under study (34 years), only one-year return period of extreme drought condition.

Keywords: Meteorological drought; hydrological drought; river Kaduna catchment; Standardized Precipitation Index (SPI); Reconnaissance Drought Index (RDI); Streamflow Drought Index (SDI); Drought Indices Calculator (DrinC).

1. INTRODUCTION

The main cause of a drought is the lack of precipitation for widespread period of time and considering its effect on hydrological process, the precipitation lack will make lower in soil moisture, ground water, and streamflow. Using the potential evapotranspiration in addition to the precipitation as the key variables for assessing the severity of drought, a promising drought index, the reconnaissance drought index (RDI), was proposed [1, 2]. RDI has been used in several regions and is gaining ground, mainly due to its low data requirements and its high sensitivity and resilience [3,4,5]. The most crucial aspect of drought is the significant decrease of streamflow and the lower water storage in reservoirs, conventionally referred to as “hydrological drought”. For developing drought assessment models, the understanding of concept of drought is necessary. Regions where a majority of the population is dependent on water supply from streams or rivers are affected severely by occurrence of hydrological drought. Agricultural drought causes despair in population and livestock in the whole region. The reduction in streamflow can lead to additional pressure on government resources. These resources could be meant for being utilized in other productive purposes. According to Awosika et al.,[6] stated that due to global warming, droughts are predicted to turn into more prominent in some of the dry regions of world.

2. METHODOLOGY

2.1 Study Area

River Kaduna drainage basin lies between latitude 9°30’N and latitude 11°45’N; longitude 7°03’E and longitude 8°30’ E with a total basin area of approximately 21,065 km² (Fig. 1). The basin enclosed major rivers such as Kubanni, Galma, Tubo which are tributaries to the main River Kaduna and a greater part of the Kaduna metropolis. The basin lies on the High Plains of Northern Nigeria at altitude of about 670m above sea level and situated within the Northern Guinea Savannah. Typical of the savannah climate, River Kaduna drainage basin experiences distinct wet and dry seasons. Relative Humidity is high only during the rainy season, but drops during the dry season [7].

2.2 Data Availability

The monthly precipitation amount, air temperature and stream flow data was obtained from five meteorological and streamflow gauge stations (Point A to E) within the study area for 34 years (1967 to 2001) as shown in Fig. 1 and details on each stations were shown in Table 1. The few missing data within the time series was derived through extrapolation procedures. These data were obtained daily and later prepared at monthly and annual level for further analysis. All these data were obtained from the Weather and Streamflow Record book of Kaduna State Waterboard, Kaduna State and Nigerian Meteorological Agency (NiMet). The period of 34 years was concluded due to data accessibility constraint.

2.3 Drought Indices Calculation

DrinC was used for the drought indices calculation. Monthly and annual rainfall data from 1967 to 2001 obtained from the meteorological stations was prepared in Excel 2013 which was used as an input to DrinC for SPI program calculation. Input files are created and one by one and SPI values are computed for each station on 1, 3, 6, 12-months’ time scales. Database is created for SPI results from 1967-2001. Average monthly temperature for the period under study was prepared in Microsoft Excel 2013 and was imported into the calculator for potential evapotranspiration calculation based on the method of Blaney Criddle method. RDI was calculated in same time series as SPI. The input data used was monthly precipitation and evapotranspiration data. The monthly streamflow
data in m$^3$ sec$^{-1}$ was prepared using excel and after that imported into the calculator for SDI calculation. All the output from the calculator was in Microsoft excel format from which graphs was plotted for further analysis.

2.3.1 Standardized Precipitation Index SPI

For the SPI calculation, the equation below was used [8,9,10] found the gamma distribution to fit well to the climatological precipitation time series. The gamma distribution is defined by its frequency or probability density function:

$$g(x) = \frac{1}{\beta^\alpha \Gamma(\alpha)} x^{\alpha-1} e^{-x/\beta}, \quad \text{for } x > 0$$  \hspace{1cm} (1)

Where, $g(x) = \text{gamma distribution}$, $\alpha$ and $\beta = \text{shape and scale parameters}$ respectively, $x = \text{precipitation amount}$

\[ \Gamma(\alpha) = \text{gamma function}. \]

Parameters $\alpha$ and $\beta$ of the gamma pdf (probability density function) are estimated for each station and for each time scale of interest (1, 3, 6, 12 months, etc.). Maximum likelihood estimations of $\alpha$ and $\beta$ are:

$$\alpha = \frac{1}{4A} \left( 1 + \sqrt{1 + \frac{4A^2}{3}} \right), \quad \beta = \frac{\bar{x}}{\alpha}, \quad \text{where} \quad A = \ln(\bar{x}) - \frac{\sum \ln(x)}{n}$$  \hspace{1cm} (2)

Where, $n = \text{number of observations}$, $\bar{x} = \text{mean}$

Since the gamma function is undefined for $x = 0$ and a precipitation distribution may contain zeros, the cumulative probability becomes:

$$H(x) = q + (1 - q)G(x)$$  \hspace{1cm} (3)

Where, $q = \text{probability of zero precipitation}$, $G(x) = \text{cumulative probability of the incomplete gamma function}$.

2.3.2 Reconnaissance Drought Index (RDI)

The (RDI) was based on the equation below ([1]; [2]):

$$\alpha^{(i)}_k = \frac{\sum_{j=1}^N P_{ij} - \bar{PET}_j}{\sum_{j=1}^N (PET)_ij}, \quad i = 1(1)N \text{ and } j = 1(1)k$$  \hspace{1cm} (4)

Where; $P_{ij}$ and $PET_{ij} = \text{precipitation and potential evapotranspiration}$ of the j-th month of the i-th year $N = \text{total number of years of the available data}$.

By assuming that the lognormal distribution is applied, the following equation can be used for the calculation of RDI$_{st}$:

$$RDI_{st}^{(i)} = \frac{y^{(i)} - \bar{y}}{\sigma_y}$$  \hspace{1cm} (5)

Where, $y^{(i)} = \ln(a_k^{(i)}), \bar{y} = \text{arithmetic mean}; \sigma_y = \text{standard deviation}$

2.3.3 Streamflow Drought Index (SDI)

According to Nalbantis [11], if a time series of monthly streamflow volumes $Q_{ij}$ is available, in which $i$ denotes the hydrological year and $j$ the

| S/NO | Meteorological Stations | Stream Gauging Stations | Stream Gauging Coordinates |
|------|-------------------------|-------------------------|---------------------------|
| 1    | Point A                 | Nimet (Nigeria Meteorological Agency), Kaduna | At River Tubo | 7°17'40"E; 10°28'11"N |
| 2    | Point B                 | Wusasa HydroMet. Station | At River Wusasa | 7°19'30"E; 10°41'13"N |
| 3    | Point C                 | Zango Kartarf HydroMet Station | At River Chalwe | 7°49'49"E 9°37'50"N |
| 4    | Point D                 | Kaduna South HydroMet Station | At River Kaduna | 7°8'40"E; 10°13'18"N |
| 5    | Point E                 | Kauru HydroMet Station | At River Kwasau | 7°44'29"E; 10°4'28"N |
| 6    | Point F                 | Zaria HydroMet Station | At River Zaria dam Intake | 7°35'29"E; 10°32'57"N |
Fig. 1. Nigeria map showing states and drainage map of Kaduna State

Month within that hydrological year ($j = 1$ for October and $j = 12$ for September), $V_{i,k}$ can be obtained based on the equation:

$$V_{i,k} = \sum_{j=1}^{12} Q_{i,j} \quad i = 1, 2, \ldots \ldots \quad j = 1, 2, \ldots, 12 \quad k = 1, 2, 3, 4$$

(6)
Where, \( V_{i,k} \) = Cumulative streamflow volume for the \( i\)-th hydrological year and the \( k\)-th reference period, \( k=1 \) for October-December, \( k=2 \) for October-March, \( k=3 \) for October-June, and \( k=4 \) for October-September. Based on the cumulative streamflow volumes \( V_{i,k} \), the Streamflow Drought Index (SDI) is defined for each reference period \( k \) of the \( i\)-th hydrological year as follows:

\[
SDI_{i,k} = \frac{V_{i,k} - \bar{V}_k}{s_k} \quad i = 1, 2, 3, 4
\]

(7)

Where, \( \bar{V}_k \) and \( s_k \) = respectively the mean and the standard deviation of cumulative streamflow volumes of the reference period \( k \) as these are estimated over a long period of time. In this definition the truncation level is set to \( \bar{V}_k \) although other values based on rational criteria could be also used.

3. RESULTS AND DISCUSSION

3.1 Rainfall and Evaporation Characteristics

Rainfall analysis was done annually and monthly. The rainfall analysis especially for drought risk study include information concerning the precipitation amount trends, start of dry period, number of dry month, and start of rainy season. Rainfall data obtained during 34 years from five stations show the average annual rainfall as 1230 mm. The lowest average was 1038 mm in 1971 recorded at Kaduna South HydroMet station and the highest was as high as 1481mm in 1980 recorded at Kaduna North HydroMet station. Trend analysis of precipitation showed a slight decrease of precipitation amounts in the last 34 years. Fig. 2 shows an decreasing of annual rainfall pattern

3.2 SPI Analysis

A drought event starts when SPI value reaches -1.0 and ends when SPI becomes positive again. The SPI calculation shows that the SPI 1-month time scale was -3.11 indicating extremely dry condition experienced in 1970-71 and 1.88 indicating no dry condition, -1.96 for 3-month time scale indicating severe dry conditions in 1988-89 and 1.42 (wet condition) in 1977-78, -3.89 for 6-month time scale (1982-83) indicating a period of extremely dry condition and 1.33 in 1977-78 indicating wet conditions, and -2.01 for 12-month time scale indicating extremely dry conditions in 1989-90 while 1.78 showed wet conditions in 1979-80. Figs 3 – 6 describe the differences of drought frequencies and their duration as the result of different SPI time scales.

3.3 RDI Analysis

RDI drought classification threshold is the same as SPI. So, RDI is calculated in various time scales as shown in Figs. 7-10. RDIst calculation shows that the RDIst 1-month time scale is -2.55 indicating extremely dry condition experienced in 1988-89 and 1.65 indicating no dry condition, -2.45 for 3-month time scale indicating severe dry conditions in 1988-89 and 1.51 (wet condition) in 1977-78, -3.88 for 6-month time scale (1982-83) indicating a period of extremely dry condition and 1.36 in 1977-78 indicating wet conditions, and -1.99 for 12-month time scale indicating extremely dry conditions in 1989-90 while 1.87 showed wet conditions in 1974-75. Also, Fig. 11 shows the frequency of drought severity classification as characterized by RDIst.

Fig. 2. Trend line of annual rainfall
Fig. 3. SPI values for the River Kaduna Catchment for October reference period against years

Fig. 4. SPI values for the River Kaduna Catchment for October to December reference periods against years

Fig. 5. SPI values for the River Kaduna Catchment for October to March reference periods against years
Fig. 6. SPI values for the River Kaduna Catchment for October to September reference periods against years

Fig. 7. RDI\textsubscript{mt} values for the River Kaduna Catchment for October reference period against years

Fig. 8. RDI\textsubscript{at} values for the River Kaduna Catchment for October to December reference period against years
Fig. 9. RDI\textsubscript{st} values for the River Kaduna Catchment for October to March reference periods against years

Fig. 10. RDI\textsubscript{st} values for the River Kaduna Catchment for October to September reference periods against years

Drought Severity classification

Fig. 11. Frequency of droughts (1967 – 2001) characterised by RDI\textsubscript{st}
3.4 SDI Analysis

The Streamflow Drought Index (SDI) series were graphically compared for each reference period as shown in Figs. 12-15. From the reference periods the following were observed: Mild drought conditions was observed in the year 1968-69, 1970-71, 1973-74, 1980-81, 1983-86, 1994-95, 1996-97 and 1998-99 for one month SDI reference period; for 3 months reference period in the year 1970-71, 1973-74, 1980-81, 1983-86, 1991-93, 1996-97 and 1998-99; also for six months reference period in the year 1970-71, 1973-74, 1980-81, 1983-86, 1991-93, 1994-95, 1996-97, 1998-99; and finally for annual reference period mild drought was observed in the year 1970-71, 1975-77, 1981-83, 1984-85, 1987-89, 1990-91, 1992-93. Moderate drought condition was only observed in the annual reference period in the year 1985-86 and 1991-92. Severe drought condition was observed in the year 1968-69 and extreme drought condition was observed in the year 1983-84 under the annual time scale. Fig. 16 also shows a prevalent non-drought condition of about 63% with 37% observed drought scenarios.

Fig. 12. SDI series for the River Kaduna Catchment for October reference period (1 month)

Fig. 13. SDI series for the River Kaduna Catchment for the reference period October to December (3 months)
Fig. 14. SDI series for the River Kaduna Catchment for the reference period October to March (6 months)

Fig. 15. SDI series for the River Kaduna Catchment for the reference period October to September (Annual)

Fig. 16. Frequency of droughts (1967 – 2001) characterized by SDI
3.5 Overall Drought Monitoring

During the period under study (34 years), only one year showed extreme drought conditions. The temperature-based calculation of potential evapotranspiration used in RDI calculation showed almost equal values with the precipitation-based calculation, in view of this it is recommended that methods that consider more climatic data should be used for the potential evapotranspiration calculation, i.e., using Penman Monteith method. Also, a modified DrinC software should be developed taking into considerations different drought indices calculation input to enable proper results comparison. Moreover, there was a little similarity as shown between SPI and RDI indices since the two monitored meteorological drought while SDI indices shows hydrological drought. The region shows over all minimal drought conditions but moreover the deficits that might be experienced could be balanced by irrigation approach in the area of agricultural production, and also creation of reservoirs across river tributaries will help to mitigate the effects of drought in the region.

4. CONCLUSION

Based on Standardized Precipitation Index (SPI), Reconnaissance Drought Index (RDI) and Streamflow Drought Index (SDI) indexes, the drought characteristics of the catchment area were investigated by analyzing meteorological and streamflow data from 1967 to 2001. The results of this analysis show that more non-drought/normal conditions were predominant than drought conditions. Only few years showed extremely dry conditions, but the normal conditions also need careful attention before the average annual rainfall wouldn’t sustain ecological demands. The results from this study could also be used for comparison or as a guide for neighbouring states around the study area which will help to mitigate adverse effect of drought on them through proper preparedness.

CONSENT

Not applicable for this study

ETHICAL APPROVAL

Not Applicable for this study.

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COMPETING INTERESTS

Authors have declared that no competing interests exist.

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