A Novel Implementation of Deep-Learning Approach on Malaria Parasite Detection from Thin Blood Cell Images

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ABSTRACT

Malaria is known as an acute febrile disease caused by the bite of female Anopheles mosquitoes, and it manifests itself with symptoms such as headache, fever, chills, vomiting, and fatigue. The diagnosis of malaria is still based on manual identification of Plasmodium parasitized cells in microscopic examinations of blood cells known as parasite-based microscopy diagnostic testing. The accuracy of this manual diagnosis method is clearly affected by the level of microscopist’s experience, which makes this diagnosis method susceptible to manual error and time consuming. Diagnoses of diseases made using deep-learning methods have had great repercussions in the medical world, especially in recent years; and this indicates that the diagnosis of malaria can also be achieved by deep-learning methods. On the basis of this fact, this paper presents a novel deep-learning-based malaria disease detection technique. A convolutional neural network (CNN) architecture, which has 20 weighted layers is designed and proposed to identify parasitized microscopic images from uninfected microscopic images. A total of 27,558 thin blood cell images were used to train and test the CNN model, and 95.28% overall accuracy was obtained. The experimental results on large clinical dataset show the effectiveness of the proposed deep-learning method for malaria disease detection.

Keywords: Convolutional neural network, deep learning, image classification, malaria disease detection

Introduction

Malaria is a contagious and deadly disease, which has been declared as an endemic in a lot of countries by the World Health Organization (WHO) [1]. It is caused by a virus known as Plasmodium parasite, which lives in the mosquito’s body and is injected into affected person's blood once the mosquito bites the person [2]. In the 1000s of years of humanity’s war with malaria, human beings have often been vulnerable to this disease. According to the reports of the WHO, the number of malarial cases and the number of people who have died from malaria in 2019 alone is 229 million and 409,000, respectively [3]. Another important statistic that stands out among these reports is that 67% (274,000) people who died from malaria disease were children under the age of five years, making it one of the leading causes of child mortality in the world. African countries, where approximately 94% of the number of malaria cases and deaths worldwide are seen, have been the disproportionate and indisputable center of this disease [3]. Figure 1 shows the annual number of deaths because of malarial disease reported by the Institute of Health Metrics and Evaluation (IHME) [4, 5]. According to this graph, the disease caused 670,000 deaths in 1990, peaked at 930,000 deaths in 2004, and caused 620,000 deaths in 2017.

Although there are therapeutic drugs for the treatment of the malaria, there is still not an effective vaccine against it, which makes it an active field of research. As malaria is a disease that can be transmitted from person to person, it is vital to diagnose it early and, thus, prevent its transmission. Making an accurate and successful diagnosis of malaria remains a task that only experienced microscopists can accomplish. As a matter of fact, microscopists examine billions of blood cell images by manually counting the parasites to be able to detect malaria every year throughout the world. However, an accurate and successful diagnosis of malaria cannot be a standard method common to all circles as it relies heavily on the experience and
for detecting malaria using machine-learning approach from light microscopic images of peripheral smears. Ross et al. [12] have used an automated image processing method based on two-stage tree classifier and achieved an accuracy of 73% in diagnosis of malaria from thin blood smears. Researchers who are interested in more literature can investigate the publications [2, 13], which are rich reference sources in the field of machine-learning based malaria detection.

In this study, we aimed to detect malaria from red blood cell images using a fully automatic deep-learning model. A novel CNN architecture that consisted of 20 layers was prepared for malarial parasite detection. The proposed CNN model was trained on 22,046 thin blood images and tested on 5,512 thin blood images. The proposed method was validated using accuracy, area under the curve (AUC) of receiver operating characteristic (ROC) curve, specificity, sensitivity, and precision performance evaluation metrics. Experimental results on large microscopic data show the effectiveness of the proposed method. The rest of this study is organized as follows. The following section elaborates on the principles of CNN architecture. The reader can find explanation of each CNN layer and detailed mathematical formulas to create CNN layers in this section. Section 3 introduces the proposed CNN method. Section 4 includes experimental results, performance evaluation, and comparison of the proposed method with the state-of-art method. Finally, section 5 concludes the paper.

Basics of CNN Architecture

CNN layer

Input Layer

CNN’s first layer is the input layer. In this layer, the data is fed raw to the neural network. The image is converted to a matrix of numeric expressions and then exported to the input layer. The data size in this layer in the digital environment is quite worthy of note for the success of the proposed model. Selecting a high input image size can result in high memory requirements, training time, and test time per image. It can also increase network success. Selecting a low input image size reduces memory requirements and reduces training time. However, the depth of the network to be installed decreases, and its performance can decrease. In performing image processing, a proper image size for input stage should be selected for network depth, hardware calculation cost, and network success.

Convolutional layer

This is the transformation layer that applies a specified filter over the whole input image. Because of this contribution of convolution filters, these filters are indispensable parts of layered architectures. Filter size may have $2 \times 2, 3 \times 3, 5 \times 5, 7 \times 7$, etc., dimensions. The filters apply convolution process to the images coming from the previous layer and generate the output data. This filtering process results in a feature map (activation map). The feature map is the region where the features specific to each filter are discovered. The coefficients of these
filters during training of CNNs vary with each learning iteration in the training set. The network, thus, determines which regions of the data are important to be able to determine the important features [14]. Applying a filter to the image and generating the feature map is shown in Figure 2.

In this layer, new feature sets are obtained by applying previously determined filters on the inputs. Each filter applied is represented by matrices of the specified size. Each filter applied to the input attempts to detect a different feature. Because very large filters can cause some features to be missed on the input, the dimensions of the filters are very important. The coefficients of the filters represent the weights in the artificial neural networks, and in each step, these filter coefficients are updated according to the error value to obtain new filters. Each filter circulates all pixels in sequence on the input. The input coefficients and the filter coefficients are multiplied as dot product, and this is done for each channel of the input image. As shown in the equation (1), feature maps are formed by multiplying the input matrix and weight matrices.

\[ x_i^j = f \left( \sum_{k} x_i^{k-1} w_{jk} \right) + b^j \]  

where \( n \) is the number of layers in the convolutional layer, \( b \) is a bias, and:

\( i = \) the index of input neuron node

\( j = \) the index of output neuron node

\( f() = \) an activation function

\( F = \) upper level feature map

**Rectified linear units layer (ReLU)**

ReLU layer is a piecewise linear transfer function also known as the activation layer and is followed by the convolution layer. This layer transfers the positive inputs directly to the output but outputs zero for the negative ones. The network is linear at this stage because particular mathematical operations are performed in the layer used before this layer, that is, convolutional layer. ReLU layer is applied to bring this deep network into a non-linear structure, and this ensures that the network learns faster [14].

**2.1.4. Pooling Layer**

In the CNN architectures, the pooling layer comes after the ReLU layer and decreases the size of the input for the next convolution layer. This process is also known as “down sampling.” The reduction in size as a result of this layer leads to information loss. A loss of this type is useful to the network in terms of two reasons. First of all, it produces less computational load to the following CNN layers. Second, it prevents the system from memorizing. Certain filters are defined in the pooling layer as in the convolution layer. These filters are applied on the image and process the maximum values of the pixels in the image (maximum pooling) or the average of the values (average pooling). As maximum pooling performs better than other pooling types, it is commonly preferred to other pooling types [14, 15]. Pooling layer performs the pooling process to all the images by the number of filters formed as a result of the convolutional layer [16]. Pooling is optional for the CNN, and some architectures do not use pooling layer. An example of how pooling is performed can be seen in Figure 3. Input image size is 4 × 4 and filter size is 2 × 2. The resulting image after sliding the input image with slide = 1 is 3 × 3 and with slide = 2 is 2 × 2 [14].

**Fully connected layer**

The fully connected layer together with the convolutional layer are the most important layers of CNN. The result of breaking down the image into features in the previous layers feeds into a fully connected layer, which determines the final classification. As the name implies, all neurons in the previous layers are connected with fully connected layer.

For instance, if the matrix size generated by the last layer in the CNN architecture is selected as 25 × 25 × 256 = 160,000 × 1 and the matrix size in the fully connected layer is selected as 4,096 × 1, a total weighting matrix of 160,000 × 4,096 occurs. Therefore, this layer is called a fully connected layer. It forms the last layers of convolutional neural networks. In the layers up to the fully connected layer, feature extraction, size reduction and normalization operations are performed for the inputs. The
error is calculated according to the output. Weights are updated again according to the calculated error value, and this cycle continues until the desired convergence value is obtained or a certain number of steps are completed.

**Softmax layer**

Softmax layer is a normalized exponential function, which is used to bring all the predicted values between 0 and 1 using the equation (2).

\[
y(z)_j = \frac{e^{z_j}}{\sum_{k=1}^{K} e^{z_k}}
\]  

(2)

where \(y(z)_j\) is the probability of any class, \(j\) indicates these classes, \(k\) is the total number of classes.

**Classification layer**

Classification layer comes after the fully connected and Softmax layers. Classification is done in this layer of deep-learning architectures. The number of classification objects is equal to the output value of this layer. For example, if the classification of 15 different objects is to be made, the classification layer output value must be 15. If the output value is selected as 4,096 in the fully connected layer, a weight matrix of 4,096 × 15 is obtained for the classification layer according to this output value [14]. In the classification, 15 different objects produce output at a certain value in the range 0–1. The output that produces close to 1 output is understood to be the object that the network predicts. The classifications layer uses cross-entropy loss to estimate the classification loss and provides the final predicted categorical label for each input image [17]. In this paper, cross-entropy loss [18] is calculated using equation (3).

\[
H(p, q) = -\sum_x (p(x) \times \log (q(x)))
\]

(3)

where \(q(x)\) is the estimate for true distribution, \(p(x)\) is the target labels vector, and \(q\) is the output vector from the SoftMax layer.

**Proposed deep-learning method**

**Proposed CNN architecture**

Deep-learning methods have been successfully used for the diagnosis of various diseases, especially over the past decade. One of the most efficient class of deep-learning methods is obviously CNN. Therefore, malaria diagnosis is made using CNN technique in this study. Figure 4 shows the proposed CNN architecture. This CNN architecture includes 20 weighted layers. This is a novel CNN architecture designed for malaria disease detection. There are four convolution layers, four ReLU layers, four normalization layers, four max pooling layers, one fully connected layer, one Softmax layer, and one classification layer in this architecture. Thin blood images are re-sized to 44 × 44 × 3 and fed to the input layer. Convolution layers are applied to the input images to generate feature maps for training the network. ReLU layers are followed by convolution layers and used for activations. Max pooling layers come just after ReLU and normalization layers and are used for pooling process, which decreases the size of the input, thus reducing the computation burden. After that process, the fully connected layer with Softmax layer makes the final prediction regarding whether there is malaria or not. Classification layer has two neurons at output, each neuron for each class: “parasitized” or “uninfected.” Hyper-parameters of the CNN model are as important as the architecture. In this proposed model, stochastic gradient descent momentum is used for minimizing the loss function and updating the parameters of the neural network. Three epochs are performed with mini-batch size equal to 64. The total number of iteration is 1,032 and the number of iterations per epoch is 344. The initial learning rate (ILR) and momentum (M) are tuned as 0.002 and 0.9, respectively.

**Performance assessment**

It is necessary to evaluate the success of the classification task after the classification task is performed. The performance of the classification tasks can be evaluated using various performance evaluation metrics. For example, confusion matrix is the main source of these metrics. Confusion matrix is known as a specific two-dimensional table with “actual” and “predicted” labels. The actual label is for instances in an actual class, whereas the predicted label is the system output predictions. Therefore, the confusion matrix, being an error matrix, provides visually very important information for the performance evaluation. In this study, the performance of the proposed CNN method is evaluated using accuracy, AUC, sensitivity, specificity, and precision performance evaluation metrics, which are derived from confusion matrix. Equations (4) through (7) show the corresponding formulas that belong to these metrics, respectively. True positive (TP) is the number of system’s positive predictions, which are actually positive. True negative (TN) is the number of system’s negative predictions, which are actually negative. False positive (FP) is the number of system’s positive predictions, which are actually negative. False negative (FN) is the number of system’s negative predictions, which are actually positive.

\[
\text{Accuracy} = \frac{TP+TN}{TP+TN+FP+FN}
\]

(4)

\[
\text{Specificity} = \frac{TN}{TN+FP}
\]

(5)

\[
\text{Sensitivity} = \frac{TP}{TP+FN}
\]

(6)

\[
\text{Precision} = \frac{TP}{TP+FP}
\]

(7)
Results and Discussion

Dataset
This study is implemented on the Giemsa-stained thin blood (red blood cell) images from the dataset created by National Institute of Health (NIH) [19]. These images were collected from 50 healthy patients and 150 patients infected with Plasmodium falciparum. The dataset contains a total of 27,558 red blood cell images; 13,779 infected and 13,779 uninfected. An expert slider reader pathologist manually annotated the images. Some sample images from this dataset are demonstrated in Figure 5. The differences in colors are owing to the different stains during image acquisition. Malaria infected images have numerous forms of parasites.

Experiment platform and time consumption
The experiments of this study were performed on an NVIDIA GeForce GTX-850M platform that had Intel Core i7 5400 GPU, 2.60 GHz, 16.0 GB RAM, whereas the software platform consisted of Windows 10 (64-bit) operating system software platform using MATLAB 2019a version. Thirty four minutes elapsed throughout the training of the proposed CNN model.

Experimental results
The dataset was divided into training (60%), validation (20%), and test (20%) sets; therefore, 16,534 images were stored for training, 5,512 images for validation, and 5,512 images for testing purposes. For dataset training and testing, the five-fold cross-validation procedure was used. Five independent iterations were performed. One of the folds was used as the test set, and the remaining were used as the training set. This process was repeated until each unique fold had been used as the test set. The CNN model performs the learning process in a hierarchical structure, consisting of multiple trainable layers, one after the other. After the input data are received, the training process is carried out by making layer-by-layer transactions on CNN. The first convolution layer in the CNN model generally learns very basic structures (features), such as colors and edges. More details and complicated features are learned in deeper convolution layer. The features that the CNN learns after the training may be understood by visualizing the activations of the convolution layers. Figures 6 (a) and 6 (b) show the activations of the first and second convolution layers, respectively, after a parasitized red blood cell microscopic image is fed into the CNN model. It can be seen in Figure 6 (a) that color and edges that are simple features are learned in this early layer, whereas in Figure 6 (b) parasite structures that are more complicated features are learned in the deeper convolution (second) layer. As a consequence, one can discover the features that are learned by the network using this visualization of the activations.

Once the classification task is performed, the performance of the implementation is evaluated using confusion matrix. Confusion matrix is shown in Figure 7. Vertical axis in the confusion matrix represents the system’s output predictions (output class), whereas the horizontal axis represents ground truth.
(target class) labels. Performance evaluation of the proposed method is assessed using accuracy, AUC, sensitivity, specificity, and precision whose formulae are given in equations (4–7). Detailed results of the performance evaluation metrics are shown in Table 1. A total of 2,756 parasitized and 2,756 uninfected microscopic red blood cell images were used for testing the proposed CNN model, which was designed to diagnose malaria.

Table 1 demonstrates that the proposed method can detect malaria with an accuracy of 95.28%, sensitivity of 0.950, specificity of 0.955, and precision of 0.955.

Accuracy and loss plots are demonstrated in Figure 8. As the figure shows clearly, 95.28% accuracy is achieved after 1,032 iterations. Figure 9 is the ROC curve of the classification task. Y-axis of ROC curve is true positive rate (TPR) and X-axis is false positive rate (FPR). This ROC curve figure shows that AUC value which is a measure of the entire two-dimensional area underneath the entire ROC curve is found to be 0.9886.

| Metrics | Architecture | Classes | TP  | TN  | FP  | FN  | Accuracy | Specificity | Sensitivity | Precision | Total |
|---------|--------------|---------|-----|-----|-----|-----|----------|-------------|-------------|-----------|-------|
|         | Parasitized  | 2619    | 2633| 123 | 137 | 95.28%| 0.955    | 0.950       | 0.955       | 0.955     | 2756  |
| Uninfected | 2633 | 2619 | 137 | 123 | 95.28%| 0.950    | 0.955       | 0.951       | 2756     |

TP: True Positive; TN: True Negative; FP: False Positive; FN: False negative; CNN: Convolutional Neural Network.
Figure 10 shows the classification results of the proposed CNN model, which is designed to classify input images as parasitized (malaria) and uninfected (healthy). The model does not only predict malaria but also gives the prediction probability of the output class. Of the four test images, two input images are predicted as parasitized with 100% and 99.9% probabilities, respectively. The remaining two input test images are classified as uninfected with 97.8% and 98.7% probabilities, respectively.

Class activation map (CAM) tool is used to understand the decision making of the proposed CNN. Figure 11a is a parasitized input image, whereas Figure 11c is the uninfected input image. Figure 11b is the CAM output of a parasitized cell image, whereas the Figure 11d is the output of an uninfected image. Activation in the CAM outputs show that the CNN model has learned task-specific features.

**Comparison with state-of-the-art methods**

This section is devoted to the comparison of the proposed method with state-of-the-art methods. Dong et al. [9] have shown that CNN-based classification of parasitized and uninfected thin blood microscopic images could obtain an accuracy of 95% using three well-known pre-trained CNN architecture, such as LeNet, AlexNet, and GoogleNet. The total number of images was 2,565, which included 1,034 parasitized and 1,531 uninfected images. Bibin et al. [10] have proposed an automated decision support system based on deep learning to detect the malarial parasite from peripheral blood smear images. They used 4,100 microscopic images and obtained 96.21% accuracy. Das et al. [11] have used the machine-learning approach to discriminate between healthy and malarial parasite infected images with 84% accuracy. Ross et al. [12] have presented an automatic image processing technique that consists of two-stage tree classifier to identify malarial parasites present in thin blood smears with an overall accuracy of 73%. Vijayalakshmi et al. [20] have proposed transfer-learning approach based on visual geometry group (VGG) network and support vector machine (SVM) to identify parasitized malarial blood cell images. They achieved 93.13% classification accuracy using 1,530 images. Narayanan et al. [21] have proposed image pre-processing approach with a fast CNN model for malaria parasite detection. They achieved 96.7% overall accuracy using the same dataset used in the proposed study. In another study by Narayanan et al. [22], they have used GoogleNet and ResNet for the detection of *Plasmodium* on cell images captured using digital microscopy. They obtained 96.6% accuracy using ResNet and 96.5% accuracy using GoogleNet with pre-processing approaches. The

| Model                        | Overall accuracy | Number of images | Method                        | Publication year |
|------------------------------|------------------|------------------|-------------------------------|------------------|
| Ross et al. [12]             | 73.00%           | 15               | Decision tree                 | 2006             |
| Das et al. [11]              | 84.00%           | 27,558           | Bayesian classifier and SVM    | 2013             |
| Mandal et al. [23]           | 88.77%           | 37               | Segmentation-Normalized cuts   | 2010             |
| Le et al. [24]               | 92.69%           | 200              | Semi-automatic image processing| 2008             |
| Vijayalakshmi et al. [20]    | 93.13%           | 27,558           | Pre-trained CNNs              | 2019             |
| Dong et al. [9]              | 95.00%           | 2,565            | Pre-trained CNNs              | 2017             |
| Bibin et al. [10]            | 96.21%           | 4,100            | Deep Belief Network           | 2017             |
| Narayanan et al. [21]        | 96.7%            | 27,558           | Custom CNN                    | 2019             |
| Narayanan et al. [22]        | 96.6%            | 27,558           | Pre-trained CNNs              | 2019             |
| Proposed Method              | 95.28%           | 27,558           | A Novel CNN                   | 2021             |
proposed CNN architecture in this paper achieved an overall accuracy of 95.3%, trained and tested on 27,558 thin blood images. This experimental result on large microscopic image dataset shows the effectiveness of the proposed method considering the literature. Table 2 shows a detailed comparison of the results found using the proposed method with the results of the state-of-the-art methods.

Conclusion

One of the hurdles to successful mortality reduction has been inadequate diagnosis of malaria. However, the most effective progress in the prevention of the disease is early diagnosis, which enables interrupting its transmission. In this study, we presented a novel and fully automatic method using deep convolutional neural networks for detection of malaria from red blood cell images. A novel CNN architecture was designed and proposed for malarial disease diagnosis using a large publicly available dataset. Detection of malaria was achieved with a high accuracy of 95.28%. Experimental results on a large clinical dataset show the effectiveness of the proposed CNN architecture. It is believed that owing to its simplicity, the model proposed in this study can be readily used in practice to help physicians diagnose malaria accurately. This fully automatic deep-learning method has the potential to allow clinicians to examine more patients, which makes it faster than microscopic malaria examination methods [9-12, 20-24].

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