Carboxymethyl-γ-cyclodextrin, a novel selective relaxant binding agent for the reversal of neuromuscular block induced by aminosteroid neuromuscular blockers: an ex vivo laboratory study

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Abstract
Background: Residual neuromuscular block at the end of surgery may compromise the patient’s safety. The risk of airway complications can be minimized through monitoring of neuromuscular function and reversal of neuromuscular block if needed. Effective reversal can be achieved with selective relaxant binding agents, however, sugammadex is the only clinically approved drug in this group.

We investigated the concentration–response properties of a novel selective relaxant binding agent, carboxymethyl-γ-cyclodextrin for the reversal of neuromuscular block. We evaluated the hypothesis that it is equally potent for reversing neuromuscular block as sugammadex.

Methods: Phrenic nerve – hemidiaphragm tissue preparations were isolated from male Wistar rats and suspended in a tissue holder allowing electrical stimulation of the nerve and monitoring of muscle contraction force. Concentration–response relationships were constructed for the neuromuscular blocking agents rocuronium, pipecuronium, and vecuronium. The half-effective concentrations of sugammadex and carboxymethyl-γ-cyclodextrin for reversal of neuromuscular block were determined.

Results: The half effective concentrations (95% confidence interval, CI) were 7.50 (6.93–8.12) μM for rocuronium, 1.38 (1.33–1.42) μM for pipecuronium, and 3.69 (3.59–3.80) μM for vecuronium. The half effective concentrations (95% CI) of carboxymethyl-γ-cyclodextrin and sugammadex were 35.89 (32.67–39.41) μM and 3.67 (3.43–3.92) μM, respectively, for the reversal of rocuronium-induced block; 10.14 (9.61–10.70) μM and 0.67 (0.62–0.74) μM, respectively, for the reversal of pipecuronium-induced block; and 376.1 (341.9–413.8) μM and 1.45 (1.35–1.56) μM, respectively, for the reversal of vecuronium-induced block.

Conclusions: Carboxymethyl-γ-cyclodextrin is an effective, but less potent agent for reversal of neuromuscular block than sugammadex.

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Background
The safe use of non-depolarizing neuromuscular blocking agents (NMBA) requires their rapid and reliable reversal from any depth of block following their administration, without the risk of postoperative re-paralysis or other sequelae. Neostigmine has been used for 80 years as a reversal agent without fulfilling these requirements [1, 2]. A paradigm shift in clinical practice occurred when sugammadex, a modified γ-cyclodextrin derivative emerged in 2008 as a selective binding agent of free rocuronium molecules in the plasma [3–6]. Sugammadex was able to rapidly reverse any depth of rocuronium-induced neuromuscular block within 3 to 5 min, depending on its dose [7, 8]. Subsequent clinical trials showed reversal with sugammadex to be superior to neostigmine [9–11] and also effective in the reversal of pipecuronium-[12] and vecuronium-induced block [13, 14].

Sugammadex has generally been a success story, however, several limitations remain. Sugammadex is ineffective against benzylisoquinoline compounds [15]. Although generally safe, sugammadex can rarely cause clinically severe side effects [16]. Therefore research is ongoing to find alternatives to sugammadex. An effective alternative should ideally improve on the mentioned limitations while at the same time retaining similar in vivo efficacy for reversing neuromuscular block from aminosteroid NMBAs.

To this end, in the present study, we evaluated the potency of a novel selective relaxant binding agent (SRBA), carboxymethyl-γ-cyclodextrin (CMGCD; Fig. 1). CMGCD is a γ-cyclodextrin derivative, which can have varying numbers of carboxymethyl sidechain substitutions (degree of substitution, DS) [17]. CMGCD was designed to form an inclusion complex with aminosteroid NMBAs. CMGCD’s potency for reversing rocuronium-, pipecuronium-, and vecuronium-induced block was measured in an ex vivo animal model and compared to sugammadex.

Methods

Animals
Ethics approval for this study (1/2013/DE MÁB) was provided by the University of Debrecen Committee of Animal Research, Debrecen, Hungary (Chairperson Prof I. Furka) on 15 April 2013. A total of 20 male Wistar rats ranging in weight from 250 to 563 g were used. Institutional guidelines for animal care and usage for research principles were strictly followed. Animals were chosen randomly on the morning of the experiment and killed before harvesting tissue specimens.

Materials
Rocuronium (Esmeron; MSD Pharma Hungary, Budapest, Hungary), pipecuronium (Arduan; Richter Gedeon, Budapest, Hungary), vecuronium (Vecuronium Inresa; Inresa Arzneimittel Ltd, Freiburg, Germany), and sugammadex (Bridion; MSD Pharma Hungary, Budapest, Hungary) were purchased from commercial vendors and diluted in Krebs-buffer as needed to achieve a dosing volume of 10–100 μl.

CMGCD was developed and manufactured by Cyclolab Ltd, Budapest, Hungary. A detailed description of the synthesis process has been described [17]. CMGCD variants differ in their degree of substitution (DS). Based on preliminary ex vivo data, a CMGCD variant with DS = 4.1 was used for our experiments.

Experimental procedures
The rat phrenic nerve-hemidiaphragm system was used for our experiments. Rats were killed with an intraperitoneal overdose of sodium thiopental (60 mg/kg) and exsanguinated through the incision of the dorsal vena cava. Hemidiaphragm preparation was performed by using a modified version of the technique originally described by Bülbring [18]. Briefly, bilateral thoracotomy and removal of the sternum were performed, after which both phrenic nerves were dissected from cranial to rostral direction to the diaphragm insertion. Then both hemidiaphragms were excised with the corresponding phrenic nerve intact. The hemidiaphragms were then secured in a
phrenic nerve-diaphragm tissue holder (ISO-07-TSZ2D, Experimetria Ltd., Budapest, Hungary) in 75 mL of Krebs-puffer (110 mM NaCl, 5 mM KCl, 1.25 mM CaCl₂, 1 mM MgSO₄, 1 mM KH₂PO₄, 5 mM glucose, 20 mM NaHCO₃) aerated by bubbling 95% O₂ + 5% CO₂ (Vol%) through the solution. The solution was maintained at a temperature of 37 °C (AMP-09 Temperature controller, Experimetria Ltd., Budapest, Hungary).

The hemidiaphragms were attached to an isometric force–displacement transducer (FSG-01/200 Force Transducer, Experimetria Ltd., Budapest, Hungary) at the diaphragmatic centrum tendineum using commercially available 5/0 diameter surgical suture. Measurements were amplified by an AMP-01-SG Classic bridge amplifier and recorded with a 16-channel professional software package (S.P.E.L. Advanced Isosys software, Experimetria Ltd., Budapest, Hungary). The phrenic nerve was stimulated either with a single twitch every 5 s (rectangular pulses of 0.3 ms pulse-width and supramaximal voltage) or a 2-Hz train-of-four (TOF) stimulus every 15 s (rectangular pulses of 0.2 ms duration with a supramaximal voltage) using a square wave stimulator (ST-03-O4, Experimetria Ltd., Budapest, Hungary).

After submersion in the buffer solution, the tissue preparations were allowed an acclimatization period of 10 min without stimulation at an applied resting tension of 20–30 mN. Then, stimulation was started followed by an additional 1–1.5 h without treatment (with buffer changes as needed) until a stable baseline tension was achieved. NMBA and SRBA dosing was only commenced after this stabilization period (for a scheme of drug dosing and study design, see Fig. 2). After the measurement of a given concentration–response curve, the buffer solution was exchanged 5 times in a 30-min timespan to

| A | Pip | Pip | Pip | Pip |
|---|-----|-----|-----|-----|
|   | Roc | Roc | Roc | Roc |
|   | Vec | Vec | Vec | Vec |

| B | Roc | Sug | Sug | Sug |
|---|-----|-----|-----|-----|
|   | Roc | C   | C   | C   |
|   | Roc | Sug | Sug | Sug |
|   | Roc | C   | C   | C   |
|   | Vec | Sug | Sug | Sug |
|   | Vec | C   | C   | C   |
|   | Vec | Sug | Sug | Sug |
|   | Vec | C   | C   | C   |

**Fig. 2** Study design. A Concentration–response curves for NMBA. A bolus of NMBA was given every 15 min until the suppression of ST response was achieved. B Concentration–response curves for SRBA. After an initial bolus of NMBA to achieve 90–95% depression of ST force amplitude, SRBA boluses were given every 15 min until full reversal of neuromuscular block was achieved. A reversal dose of sugammadex was given after reversal with C to verify full reversal. NMBA: neuromuscular blocking agent; ST: single twitch; SRBA: selective relaxant binding agent; Pip: pipercuronium; Roc: rocuronium; Vec: vecuronium; Sug: sugammadex; C: carboxymethyl-γ-cyclodextrin
assure the complete washout of any agents before measuring a new concentration–response curve.

Multiple measurements were performed on any given specimen, however, one specimen contributed only one data set to any given concentration–response curve. To mitigate the effects of degradation of the tissue specimen over time, the order of concentration–response curve experiments was permuted between specimens. A specimen was discarded if a stable baseline tension was no longer attainable. Each concentration–response relationship shown in the figures is based on concentration–response curves from 5 specimens.

The effect of NMBAs was quantified as the depression of the force amplitude of single twitches (ST) in response to electrical stimulation of the phrenic nerve (from here on referred to as ST force amplitude). NMBAs were given in 15-min intervals. The ST force amplitude at a given drug concentration consisted of the mean value of five consecutive contractions corrected with the baseline tension measured between contractions, measured once the contraction amplitude had stabilized and did not visibly change over time. The ST force amplitude was normalized to the maximum contraction amplitude of the untreated sample to construct cumulative concentration–response curves. Each specimen contributed 5–8 measurement points to the curve.

To determine the effect of SRBAs, a single NMBA dose was given to achieve a 90–95% depression of ST force amplitude. Then SRBA doses were given in 15-min intervals until additional doses of an SRBA were not accompanied by a further increase in ST force amplitude. A successful reversal (antagonism) was verified by measuring a TOF ratio [defined as the ratio between the fourth twitch response, T4, and the first twitch response, T1 (T4/T1) of the four stimuli] > 90%. For CMGCD curves, a reversal dose of sugammadex (0.5 mg) was given as the final dose to guarantee the full antagonism of the NMBA effect. The ST force amplitude was corrected to the ST force amplitude of the sample before administration of an SRBA and normalized to the maximum contraction amplitude after full reversal to construct cumulative concentration–response curves.

Statistica
GraphPad Prism 6 for Windows (GraphPad Software, Inc., La Jolla, CA, USA) was used for fitting of concentration–response curves. Curve fitting was done by nonlinear regression with either the "log(agonist) vs. normalized response – variable slope," the "log(inhibitor) vs. normalized response – variable slope," or the "log(agonist) vs. response – Find EC anything" function. The fitting equation was: $y = 100/(1 + 10^\left(\log(10) EC - X\right) \times \text{HillSlope})$, where $X$ is the log value of concentration, and $y$ is the normalized and baseline corrected contraction amplitude. $EC_{50}$ and $EC_{99}$ values were determined with the equation $\log EC_{50} = \log EC_{F} - (1/\text{HillSlope}) \times \log(F/(100-F))$, where $F$ is 90 or 99.

For sample size determination, we performed a pilot study ($n = 3$) to determine the concentration–response curve of pipecuronium. We arrived at values of $EC_{50} = 0.14$ and $SD = 0.007$. Assuming a 10% change in $EC_{50}$ as clinically relevant, the group sample size at $\alpha = 0.05$ and power of 80% was 5 for a two-sided test. Statistical comparison of concentration–response curves was done with GraphPad Prism 6 for Windows with the extra sum-of-squares F-test. $LogEC_{50}$, $LogEC_{90}$, or $LogEC_{99}$ was the model component that was used to account for the extra sum-of-squares. Results are presented as mean and 95% confidence interval (CI) unless otherwise specified.

The comparison of NMBA doses used to achieve 90–95% ST depression before reversal with an SRBA and the comparison of $EC_{50}$, $EC_{90}$, and $EC_{99}$ to NMBA concentration ratios for different NMBAs was performed with Student T-test in Microsoft Excel (Microsoft Corporation, Redmond, WA, USA).

Results
Concentration–response relationships for different NMBAs
As a first step, we determined concentration–response curves for all investigated NMBAs (Fig. 3a). The effective concentrations for 50% ($EC_{50}$) and 90% effect ($EC_{90}$) are shown in Table 1. Pipecuronium displayed the greatest potency, while vecuronium had an intermediate potency. Rocuronium required the highest concentration for effective suppression of single twitch (ST) force amplitude in our ex vivo system.

Mechanism of NMBA block reversal with CMGCD
To evaluate the nature of the interaction between CMGCD and NMBAs, CMGCD was administrated in a concentration of 13.3 μM to the buffer solution before incremental dosing of pipecuronium. Pre-treatment with CMGCD resulted in a significant right-shift of the dose–response curve of pipecuronium (Fig. 3b). However, maximum ST depression could still be achieved in the presence of CMGCD, although at a higher pipecuronium concentration (compared to when CMGCD was not present). This indicates the capture of pipecuronium by CMGCD until its binding capacity was saturated, after which the unbound NMBA molecules induced ST depression.
The potency of CMGCDs for reversal of neuromuscular block compared to sugammadex

The concentration–response curves of CMGCD and sugammadex were determined for each of the three NMBAs (Fig. 4). The EC$_{50}$ and EC$_{90}$ values of sugammadex and CMGCD were the lowest with pipecuronium (Table 2). Whereas potency of sugammadex to reverse vecuronium-induced block was greater than for rocuronium-induced block, CMGCD displayed greater potency for rocuronium and was least effective against vecuronium. With any given NMBAs, sugammadex had significantly lower EC$_{50}$ and EC$_{90}$ values than CMGCD ($p<0.0001$). Whereas CMGCD achieved full reversal of pipecuronium-induced neuromuscular block, 94.6 ± 1.5% and 86.3 ± 5% of maximum ST force amplitude were achieved in the case of rocuronium and vecuronium, respectively. Interestingly, the return of ST force amplitude from rocuronium-induced block was greater than for the TOF ratio (94.6% vs 90.6%, respectively), while for vecuronium the recovery of ST force amplitude was smaller than the recovery of the TOF ratio (86.3% vs 87.6%, respectively). However, the difference between ST return and TOF ratio was statistically non-significant for both NMBAs ($p=0.249$ and $p=0.637$ for rocuronium and vecuronium, respectively).
The affinity of SRBAs for NMBAs

Since NMBAs were administered to achieve at least 90% twitch amplitude depression, the differences in NMBAs potency resulted in significantly different NMBAs doses ($p < 0.0001$ for all NMBAs pairs). To better visualize the actual SRBA affinity for a given NMBAs, for each SRBA we calculated the ratio of the $EC_{50}$ and $EC_{90}$ values to the dose of the NMBAs applied, yielding corrected effective concentration values ($EC_{50,dc}$ and $EC_{90,dc}$; Table 3). For sugammadex, there was no statistical difference between these corrected effective doses of pipecuronium, rocuronium, or vecuronium. The dose–response curves for sugammadex were very steep, therefore we also calculated the $EC_{99}$ to NMBAs dose ratio. The $EC_{99,dc}$ value for...
Vecuronium was significantly higher than for pipecuronium \( (p = 0.024) \) or rocuronium \( (p = 0.013) \). In the case of CMGCD, \( EC_{50,dc} \) and \( EC_{90,dc} \) values were significantly higher for vecuronium than for the other two NMBA.s. The \( EC_{50,dc} \) and \( EC_{90,dc} \) of rocuronium were non-significantly lower than those of pipecuronium.

### Discussion

In this ex vivo study, we investigated the reversal of rocuronium-, pipecuronium-, and vecuronium-induced neuromuscular block with a novel SRBA, carboxymethyl-\( \gamma \)-cyclodextrin. The potency of CMGCD to reverse neuromuscular block was greatest for pipecuronium, followed by rocuronium, and lastly vecuronium. The potency of CMGCD was lower than that of sugammadex. CMGCD, just as sugammadex, displayed a similar affinity for pipecuronium and rocuronium, but bound vecuronium less efficiently.

A fundamental part of anaesthesia care, muscle relaxation also has the potential to cause significant harm if still present after the extubation of the trachea \[19, 20\]. Concerns over the postoperative residual neuromuscular block (PORNB) and the side-effects of acetylcholinesterase inhibitors led to a shift in clinical practice from long-acting to intermediate-acting NMBA.s. However, PORNB is not reliably eliminated by using intermediate-duration NMBA.s \[21\], therefore routine monitoring of neuromuscular block and adequate reversal, if needed, are a cornerstone of ensuring patient safety. Selective relaxant binding agents, and more specifically sugammadex, allow for the fast and reliable reversal of neuromuscular block with minimal side effects \[8\].

Although rare, allergic reactions and anaphylaxis in both adult \[22\] and paediatric \[23\] patients continue to be reported. Other very rare, but potentially significant, side effects associated with the use of sugammadex include atropine-resistant bradycardia and asystole \[24, 25\]. Additionally, sugammadex is ineffective against benzylisoquinoline NMBA.s such as atracurium or cisatracurium \[26\]. It is therefore not surprising that there is a constant search for alternative NMBA antagonists that may improve on the reversal spectrum and reduce the potential for clinically relevant side effects.

To test the efficacy of SRBA.s to reverse neuromuscular block, we used an isolated tissue preparation consisting of rat hemidiaphragm and associated phrenic nerve. Originally described by Bülbbring in the 1930s \[18\], the hemidiaphragm-phrenic nerve specimen was used in several classical experiments that helped elucidate the pharmacology of the neuromuscular junction \[27, 28\]. Since the normal function of the neuromuscular junction and electro-mechanical coupling following nerve stimulation is maintained in the hemidiaphragm specimen, this ex vivo system is a valuable screening tool that allows the collection of clinically relevant data without having to perform actual live animal experiments.

We constructed concentration–response curves and determined the \( EC_{50} \) values for three aminosteroidal NMBA.s in clinical use: rocuronium, pipecuronium, and vecuronium. These \( EC_{50} \) values were in good agreement with previously published values measured in a similar system \[29\], supporting the validity of our experimental setup. Pipecuronium showed the greatest potency, followed by vecuronium and rocuronium.

### Table 2

| NMBA      | SRBA      | \( EC_{50,dc} \) (\( \mu \)M) | \( EC_{90,dc} \) (\( \mu \)M) |
|-----------|-----------|-----------------------------|-----------------------------|
| Rocuronium| CMGCD     | 35.89 (32.67–39.41)         | 119.9 (101.5–141.9)         |
|           | Sugammadex| 3.67 (3.43–3.92)            | 6.08 (5.34–6.92)            |

### Table 3

| NMBA      | SRBA      | \( EC_{50,dc} \) (\( \mu \)M) | \( EC_{90,dc} \) (\( \mu \)M) | \( EC_{99,dc} \) (\( \mu \)M) |
|-----------|-----------|-----------------------------|-----------------------------|-----------------------------|
| Rocuronium| CMGCD     | 376.1 (341.9–413.8)         | 863.1 (727.8–1023)          |
|           | Sugammadex| 0.67 (0.62–0.74)            | 1.05 (0.86–1.29)            |

Values are given as mean and 95% confidence interval. \( EC_{50,dc} \), \( EC_{90,dc} \), and \( EC_{99,dc} \) effective concentrations for 50, 90, and 99% effect, respectively, of relaxant binding agents normalized to the neuromuscular blocking agent concentration. CMGCD Carboxymethyl-\( \gamma \)-cyclodextrin.
In the present study, both CMGCD and sugammadex exhibited the ability to antagonize the neuromuscular block induced by rocuronium, pipecuronium, and vecuronium. The dose-corrected EC50 and EC90 values were not statistically different between rocuronium and pipecuronium. In the case of vecuronium, CMGCD potency for reversal was low, with comparably higher EC50 and EC90 values, even after dose correction. The efficacy of CMGCD for reversal varied according to the NMBA used, as evidenced by the increasing contraction force amplitude that resulted from additional sugammadex administration in the case of rocuronium and vecuronium.

Originally marketed for the reversal of rocuronium-induced neuromuscular block, sugammadex has since been shown to be clinically effective against pipecuronium- and vecuronium-induced block as well [12, 30]. Our results are in line with these clinical findings, as sugammadex showed a similar affinity for pipecuronium, rocuronium, and vecuronium when considering corrected EC50 and EC90 values and a decreased affinity for vecuronium with corrected EC90 values. The ratio of EC90 to NMBA dose was approximately 1 for pipecuronium and rocuronium, meaning that an equimolar dose of sugammadex is sufficient for a full recovery of the twitch height after the reversal of the neuromuscular block induced with these NMBAs. For vecuronium, this ratio was closer to 1.7, implying a reduced binding efficacy, in which nearly twice as many sugammadex molecules are needed to bind all NMBAs.

The limits of our study arise from its ex vivo nature. Pharmacokinetics are eliminated in our model system, therefore actual block dynamics can be different in vivo. We used ST stimulation to monitor neuromuscular function, which is less sensitive than the TOF ratio used in the clinical setting. Additionally, the relationship between the fade of the TOF ratio and ST-depression can be agent-specific [31, 32]. Lastly, the in vitro concentration–response data are not necessarily indicative of the different types of responses (time to recovery, reparation events, etc.) measured in clinical studies.

Conclusion

We conclude, that carboxymethyl-γ-cyclodextrin (CMGCD) is an effective agent to reverse rocuronium- and pipecuronium-induced neuromuscular block ex vivo. Although CMGCD shows a 15–20-fold decrease in potency in comparison with sugammadex, this can be compensated by the administration of higher doses. Further research is warranted for optimizations in the substitution profile of CMGCD to help increase affinity and reduce dose requirements.
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