NK cell dysfunction is linked with disease severity in SARS-CoV-2 patients

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Abstract

SARS-CoV-2 first raised from Wuhan City, Hubei Province in November 2019. The respiratory disorder, cough, weakness, fever are the main clinical symptoms of coronavirus disease 2019 (COVID-19) patients. Natural Killer (NK) cells as a first defense barrier of innate immune system have an essential role in early defense against pulmonary virus. They kill the infected cells by inducing apoptosis or the degranulation of perforin and granzymes. Collectively, NK cells function are coordinated by the transmitted signals from activating and inhibitory receptors. It is clear that the cytotoxic function of NK cells is disrupted in COVID-19 patients due to the dysregulation of activating and inhibitory receptors. Therefore, better understanding of the activating and inhibitory receptors mechanism could facilitate the treatment strategy in clinic. To improve the efficacy of immunotherapy in COVID-19 patients, the functional detail of NK cell and manipulation of their key checkpoints are gathered in current review.

KEYWORDS

immunotherapy, Natural Killer cells, SARS-CoV-2

1 | INTRODUCTION

In early December 2019, an outbreak of novel virus was reported in Wuhan City, Hubei Province, China.1 Coronavirus disease 2019 (COVID-19) pandemic led to the economic problems and disastrous deaths in both undeveloped and developing countries. Acute respiratory disorder, cough, weakness, fever, and spectrum are the main clinical features in COVID-19 patients. In addition, sore throat, rhinorrhea, hemoptysis, lymphopenia, and diarrhea are as a less common symptoms, was reported in some patients.2 Based on clinical observations, the high mortality, disease severity, and poor clinical outcomes are commonly observed among the elderly patients with underlying disease.3 Natural Killer (NK) cells with CD56+CD3- phenotype play a pivotal role in viral clearance and immunomodulation.4-6 Moreover, based on the expression level of the CD56, two subsets of NK cells: CD56bright and CD56dim have been introduced. CD56bright with cytokine production only compose 10% of peripheral blood NK (pNK) cells, whereas CD56dim with a totally mature feature
like as short telomere lengths have high cytotoxic activity and represent around 90% of pNK cells. Collectively, NK cells function are regulated by the balance between their activating (NKP46, NKP30, NKP44, NKG2D, DNAM1, CD16, killer cell immunoglobulin like receptors [KIRs]) and inhibitory receptors (KIRs and NKG2A). Under homeostatic conditions, healthy cells escape from NK cells killing via the inhibitory receptors–ligands interaction. It has been reported that, NK cell function is disrupted in COVID-19 patients with dysregulation of activating and inhibitory receptors. In other words, upregulation of inhibitory receptors could dampen NK cell cytotoxicity against virus. Also, the reduction of NK cell count in circulation and cytokine storm are another reason for poor treatment outcome. In other word, dysregulation of NK cell receptors alter the clinical outcome in COVID-19 patients. Therefore, it seems that the employing appropriate methods to produce large numbers of fully functional NK cells would be a plausible solution for COVID-19 patient’s treatment. Based on knowledge, the present review focused on the several important factors involved in immune cell dysfunction. In this line, manipulating of immune checkpoints, the control of excessive secretion of cytokines (anticytokine therapy), inhibitory receptors targeting by the monoclonal antibodies in COVID-19 immunotherapy was gathered in this paper.

2 | COVID-19

SARS-CoV-2 which is the causal agent of COVID-19, first emerged from China in November 2019, where it rapidly spread across the world. The fever, cough, dyspnea, fatigue, and myalgia are the main clinical symptoms of COVID-19 patients. In addition, the involvement of spleen, lymph nodes, and circulating lymphocytes were observed in these patients. There are several risk factors including age, gender of patients, and having an underlying disease such as diabetes, cardiovascular, or respiratory system diseases that stimulates the COVID-19 severities. Approximately, 20% of the patients experience severe symptoms and have acute respiratory distress syndrome (ARDS). Note, the virus enters the cells through the angiotensin-converting enzyme 2 and stimulates the body’s humoral and cellular immunity. Thus, the further investigation about the immune cell reaction could improve therapeutic strategies. Meanwhile, immune cell response which are mediated by virus-specific B, T, and NK cells are the first immune system reaction against the virus. Among these, NK cells have a pivotal role in early defense against pulmonary virus infections. However, the reduction of circulating NK cells and high expression of their inhibitory receptors were the most important findings in COVID-19 patients. Therefore, the further analytical and review points on NK cells function seemingly is useful for improving the clinical outcome in COVID-19 patients.

3 | NK CELLS

NK cells as granular effector cells first identified by Kiessling and Herberman 30 years ago. They are characterized by the CD56+CD3− phenotype and constitute 10%–15% of total peripheral blood leukocytes. In humans, a population of CD34+ hematopoietic precursor cells develop into NK cells in lymph nodes. NK cells can be developed in the presence of IL-7, IL-15, stem cell factor, and FLT3 ligand. Based on the sequential acquisition of NK cell specific markers, there are different stages in NK cell development. For example, CD122 positive NK cells are earliest NK cell progenitor. The development stages of tissue-resident NK Cells in healthy people are gathered recently by Hashemi and Malarkannan. NK cells lyse target cells via the secretion of cytolytic granules including perforin and granzymes. Collectively, NK cells’ behavior against the transformed and tumor cells depends on the transmitted receptor, and natural cytotoxicity receptors including (NKp46, NKp30, and NKp44) along with some KIRs (KIR 2DL4, 2DS1, 2DS2, 2DS3, 2DS4, 2DS5, 3DS1) are considered the major activation receptors involved in NK cytotoxicity. NK cells also express inhibitory receptors including KIRs (KIR 2DL1, 2DL2, 2DL3, 2DL5, 3DL1, 3DL2, 3DL3) and NKG2A that recognizes HLA class I (A, B, C) molecules on healthy cells. In summary, the high expression of activating ligands on unhealthy cells following DNA damage stimulate NK cell cytotoxicity upon the activating receptor–ligand engagement. Conversely, the interaction of inhibitory receptors with MHC class I on normal healthy cells could dampen NK cell cytotoxicity. Although NK cells play an important role in the immune surveillance, it is now appreciated that the suppressive components in the tumor microenvironment, including regulatory T cells (Treg), may limit NK-cell efficacy in cancer patients. In line with this, the researchers showed that, Treg frequencies are highly correlating with impaired NK-cell function in patients with cancer. Tregs can secrete immune suppressive cytokines (e.g., TGFβ, IL10, IL35, and IL37) and express inhibitory proteins (e.g., CTLA-4 and PD-L1) on their cell surfaces. In the other word, Treg-mediated suppression was associated with canonical NK-cell downregulation of TIM3, a receptor that activates

**Significance statement**

Natural Killer (NK) cells as a major innate immunity compartment have a substantial role in the control of infection in coronavirus disease 2019 (COVID-19) patients. Despite the important role of NK cells in viral diseases, the function of these cells is disrupted in COVID-19 patients. Dysregulation of the activating and inhibitory receptors and cytokine storm in respiratory air-way followed by accumulation of disarming NK cells, are major factors in disease severity in COVID-19 patients. Therefore, it seems that the manipulating of immune checkpoints, the control of excessive secretion of cytokines (anticytokine therapy) and inhibitory receptors targeting by the monoclonal antibodies would be helpful to restore NK cell function.
NK-cell IFNγ production, and upregulation of the NK-cell inhibitory receptors. However, according to the other studies, a subset of NK cells, termed "adaptive" NK cells (ANK), are highly resistant to Treg-mediated suppression. ANKs expressed a higher density of mucin-domain containing-3 (TIM3), which is an activating receptor on NK cells, resulting in heightened IFNg production. The researchers showed that IL37 produced by Tregs led to downregulation of TIM3 expression on canonical, but not ANK. According to the previous results, the development of NKG2C (C-lectin type activating receptor) expressing ANK cells were increased following CMV exposure.31,32 In this regard, high expression of NKG2C along with downregulation of NKG2A+ inhibitory NK cells were observed in heat killed Mycobacterium groups.33 The upregulation of NKG2C stimulates cytotoxic function of ANK cells against the COVID-19 through antibody-86 dependent cellular myotoxicity pathway. Collectively, these results showed that ANK have the capacity to maintain normal function in the tumor microenvironment even when suppressor cells are present.

4 | NK CELL DYSFUNCTION IS LINKED WITH INCREASED VIRAL SUSCEPTIBILITY

Although, the main immune effector cells in the antiviral response are NK cells, the studies have shown that NK cells cytotoxic function is disrupted in viral infections diseases by different strategies. Recent studies have shown that Cytomegalovirus (CMV) infection can modulate the expression of NKG2D ligands on the surface of infected cells. NKG2D is an activating receptor that is expressed on human and mouse NK cells. Upregulation of NKG2A+ and down-regulation of NKG2D could dampen NK cell cytotoxicity against the CMV.35 The others showed that Poxviruses, such as molluscum contagiosum virus, evade from NK cell immune surveillance by producing the IL-18-binding protein homologs MCS3L and MCS4L. These viruses might impair NK cell function by interfering with the biological activity of host IL-18.36 In this line, the researchers showed that the presence of specific combination of NK cell receptors and their ligands alter the outcome of human immunodeficiency virus (HIV)-1.37,38 Therefore, dysregulation of NK cell receptors and discovering how viruses evade or modulate immune surveillance may be helpful for immune-control mechanisms. NK cells have an essential role in control of infection in COVID-19 patients. They can kill infected viral cells by inducing apoptosis via the variety of mechanisms including perforin and granzymes degranulation. As well as, they can lyse the target cells by receptor mediated apoptosis FAS ligand and tumor necrosis factor (TNF)-related apoptosis-inducing ligand. In addition, NK cells interaction with dendritic and T cells can help to adaptive immunity against COVID-19 infection.1 There is some evidence that the NK cells function is disrupted in COVID-19 patients with dysregulation of activating and inhibitory receptors. Recurrent observation indicates that the reduction of circulating NK cells is another reason for the rapid progression of disease. In this line, lower lymphocyte and NK numbers in peripheral blood was reported in COVID-19 patients.39 A similar reduction in NK cell counts were observed in severe COVID-19 patients.30,42 It seems that, NK cell migration to the lungs and apoptosis of them are the main reason for these reports. In support of these, low numbers of pNK cells43,44 following their migration to the lungs45 were reported in severe COVID-19 patients. The studies on murine model indicated that the higher production of chemokines stimulates NK cells migration into the lungs at the initial phases of the COVID-19 disease. As well as, different studies on COVID-19 patients reported that chemokine storm can led to the penetration of immune cells into lungs.49 Also, it has been reported that there is direct relationship between high expression of CCL3, CCL4, CXCL9, CXCL10, and CXCL11 in lungs and disease severity in COVID-19 patients.11 As well as, a cohort study in USA confirmed the higher concentrations of IL-6, IL-1Ra, CCL2, CCL8, CXCL2, CXCL8, CXCL9, and CXCL16 in COVID-19 patients.46 Based on the above research results and the involvement of NK cell receptors in COVID-19 diseases, manipulating of these checkpoints through various strategies, including inhibitory receptors targeting and increasing the number of NK cells have been proposed to restore NK cell functions. The most important NK cell receptors involved in COVID-19 diseases are listed in the following sections and Figure 1.

4.1 | NKG2C

As a mentioned above NK cells monitor different ligands expression on target cells with the expression of various receptors. The interaction of the ligands with their cognate receptors can either activate or inhibit NK cell mediated cytotoxicity.47 CD94/NKG2 as a C-type lectin-like receptors are expressed on NK and CD8+ T cells. There are five different molecular species of NKG2 including NKG2A, B, C, E, and H that related to CD94 with disulfide-linked heterodimers.48 Among these, the interaction of NKG2C/CD94 with HLA-E ligand stimulate the NK cell responses against virus-infected cells.49-51 The reliable evidence indicated that there are a direct relationship between the absence of NKG2C receptor with virus infections severity.52 The similar result was reported by Jordier et al. in 2020. They showed that the high level of HLA-E on the respiratory tract epithelial cells stimulate the NKG2C-mediated cytotoxicity against COVID-19 infected cells.53 In this regard, the high expression of HLA-E was reported in BAL fluid of severity COVID-19 patients.40,53 Conversely, higher frequencies of NKG2C*CD57+ CD56dim NK cells were detected in severe COVID-19 patients. The severity of disease, age, gender of patients, having an underlying disease such as diabetes, cardiovascular, or respiratory system diseases and the sample size might affect the study results. In addition, genetic and epigenetic heterogeneity among different populations throughout the world40 and differences in laboratory equipment and procedures are main explanation for such as conflicting results. Although, these results indicated that NKG2C* NK cells are functionally active, further studies on NKG2C receptors to improve the efficacy of immunotherapy seemingly are required.
4.2 | NKG2A

NKG2A as a c-type lectin-like inhibitory receptor expressed by cytotoxic T lymphocytes and NK cells. HLA-E and Qa-1 molecules are their ligands in humans and mice, respectively.55,56 The inhibitory signaling cascade initiate upon NKG2A-HLA-E interaction47 and then phosphorylation of immunoreceptor tyrosine-based inhibitory motifs (ITIMs) by Src family kinase inhibit the NK cell cytotoxic function.57 The evidence indicated that, there are a direct relationship between the high level of NKG2A inhibitory receptors with functional exhaustion of NK cells in different diseases.58 In line with this study, the upregulation of HLA-E was reported in 50%–80% of patients with leukemia/lymphoma.59 A recent study has revealed that high level of NKG2A in the sever COVID-19 patients reduce NK cells function through the HLA-E/NKG2A pathway.58,60,61 The engagement of these receptors with their ligands, PD-L1 and HLA-E inhibit the cytotoxic function of NK cells. Interestingly, the normal cell count and low expression of NKG2A was reported in improved patients.58 The presence of NK cells with NKG2A, PD-1, and CD39 phenotype62 confirmed the high expression of inhibitory receptors on NK cells in COVID-19 patients.63 Overall, these results lead to hypothesize that the upregulation of NKG2A and HLA-E were associated with a poor clinical outcome in COVID-19 patients.

4.3 | CD39

CD39, a member of the ectonucleotidase triphosphate diphosphohydrolase family (ENTPD), also referred to as ENTPD-1 (EC 3.6.1.5), is the dominant immune system ectonucleotidase that hydrolyzes extracellular adenosine triphosphate and adenosine diphosphate into adenosine monophosphate (AMP) at the sites of immune activation. CD73 is an ecto-59-nucleotidase (59NT) that exists in a soluble or membrane-bound form and catalyzes the dephosphorylation of AMP to adenosine.64 Despite the pivotal role of NK cells in infection clearance, the researchers showed that NK cell function is disrupted with high percentage of CD39-expressing NK cell population.65 In this line, dysregulation of CD39 on various immune cell was reported by the researcher in the viral infections.66–68 In support of this, high level of IL-6 and CD39 are seen in COVID-19 patients.68 It is highly possible that CD39-based immunotherapy can be helpful to improve clinical outcome in COVID-19 patients.

4.4 | Programmed cell death protein 1 (PD-1)

PD-1 (also called CD279) was initially detected on T, B, and myeloid cells.69 Although there are conflicting reports about the PD-1 expression in human and mouse NK cells,70 PD-1 expression were detected on healthy individuals NK cells in the recent years.71,72 The PD-L1 and PD-L2 are their ligands and expressed on the majority of infected and tumor cells.73 The interaction of PD-1–PD-L1 inhibit NK cell-mediated antitumor immunity.74 The presence of NK cells expressing PD-1 was reported in Kaposi sarcoma or ovarian carcinoma patients.75,76 In this regard, the high frequency of PD-1+ NK cells was detected in the COVID-19 patients peripheral blood.62 According to Terme et al.77 study, IL-18 stimulate PD-1 expression on NK cells. Upon the PD-1 receptor–ligand interaction, the tyrosine
residue in ITIMs domain becomes phosphorylated by Src family kinase.\textsuperscript{78} Then, the recruitment of Src homology domain-containing tyrosine phosphatase (SHP-1, SHP-2) and de-phosphorylation of Fyn and zeta-chain-associated protein kinase 70 (Zap70), and Vav1 by them inhibits the NK cell cytotoxicity\textsuperscript{79} (Figure 2).

4.5 | KIRs

KIRs with both activating and inhibitory function are expressed on NK cells. Notably, genetic and epigenetic heterogeneity among different populations throughout the world affected KIR expression on NK cells.\textsuperscript{80,81} In addition, it has been demonstrated that KIRs and various KIR-HLA combination affected the susceptibility or prevention the diseases. In this line, the expression of KIRs and their related ligand in different disease were summarized by Dizaji Asl et al.\textsuperscript{82} In this section, we evaluated the KIR positive NK cells involvement in pathogenesis of COVID-19 infection. The reliable evidence indicated that the high expression of KIR2DL1/S1 on the ARDS in COVID-19 patients.\textsuperscript{63} Other researcher showed that KIR2D4 and KIR3DS1-HLA-B*15:01 are associated with severe and moderate COVID-19, respectively.\textsuperscript{82} In this line, the protective effect of KIR3DS1/Bw4 was reported against the CMV and HIV infection.\textsuperscript{83–85} Collectively, these results showed that susceptibility and mortality to COVID-19 affected with different KIRs. Furthermore, different geographical area, genetic, and epigenetic heterogeneity among different populations throughout the world affected the response to each pandemic in the various countries.

5 | CYTOKINE STORM

Cytokine storm is a clear sign of immune system deviation in the effective response to infection, which occurs when the virus enters affected cells, including macrophages, forcing them to produce more and more inflammatory mediators. Such an imbalance production of inflammatory cytokines not only does not support an effective fight against the virus, but also ultimately leads to widespread inflammatory damage, especially in the lungs, which is one of the major complications of the disease and one of the leading causes of death in patients.\textsuperscript{86,87} The evidence indicated that cytokine storm is another reason for the reduction of NK cell function in COVID-19 patients. Under normal conditions, NK cells are expected to effectively destroy infected macrophages and other infected cells which are responsible for causing cytokine storms, their lower circulating counts and exhausted phenotype.\textsuperscript{88} The studies have shown that the elevation of TNF-α, IL-1, IL-6, IL-18, IL-8, and IL-10 in COVID-19 patients impaired NK-cell cytotoxicity and leading to damage the pulmonary tissue.\textsuperscript{89} In agreement with these results, Cifaldi et al.\textsuperscript{90} showed that high level of IL-6 cytokine inhibits the perforin and granzyme B expression in NK cells. IL-6 and IL-10\textsuperscript{91} reduce NK cell cytotoxicity in COVID-19 infection through the down regulation of perforin, granzyme B and IFN-γ.\textsuperscript{87,90,92} IFN-γ directly suppresses IFN-γ production by NK cells. In addition, T cell activation decreases with high serum levels of IL-6, IL-10, and TNF-α cytokines.\textsuperscript{93} IL-6 may also diminish the expression of NKG2D as an important NK cell receptor which plays a crucial role in the elimination of virally infected cells.\textsuperscript{94}
The negative effect of IL-18 on NK cell function was reported with the other researcher. Although the exact mechanism is not known, it is postulated that the cytokine storm has a negative effect on NK cell activating receptors expression. In addition, high level of cytokines in serum are inversely related to the total lymphocyte and cytotoxic T cells count. Collectively, these results indicate that disarming of NK cell can lead to a critical inflammatory phenotype in COVID-19 infection. Therefore, it is conceivable that the find of the effective ways to reduction of cytokines can improve the clinical outcomes COVID-19 patients.

6 | NK CELL-BASED IMMUNOTHERAPY

NK cell-based immunotherapy were used in different diseases. According to the above-mentioned results, NK cells cytotoxic function are disrupted in COVID-19 patients by the various factors. For example, the down regulation of activating receptor along with the high level of inhibitory receptors, and cytokine storm, lead to the disarming of NK cells. Hence, it seems that manipulating of these checkpoints through the various procedure, including the cytokine-based therapy, the control of excessive secretion of cytokines (anticytokine therapy), inhibitory receptors targeting by the monoclonal antibodies, can be used to restore NK cell functions. In this regard, NK cells-based therapy in COVID-19 patients are gathered in the following section (Figure 3).

6.1 | Inhibitory receptor blocking by specific monoclonal antibody

As previously mentioned, NK cell function are controlled by the balance between the activating and inhibitory receptors. Under homeostatic conditions, normal healthy cells escape from NK cells killing through the inhibitory receptor–ligand interaction. Based on the previous studies, the invasive cells in COVID-19 patients escape...
from NK cells mediated killing by the upregulation of inhibitory receptors. Therefore, it is reasonable to imagine that the inhibitory receptor blocking may improve antitumor immune response in NK cells. In support of this hypothesis, Demaria et al.63 showed that the incubation of NK cells with monalizumab as an anti-NKG2A mAb can improve NK cell cytototoxic functions in COVID-19 patients. The targeting of PD-1,100 NKG2A,101 and CD39 receptors with monoclonal antibodies have been developed for cancer therapies. POM-1 is the most applicable antibody for targeting of CD39. The results showed that POM-1 enhance T cells and NK cells’ function, as well as decreased Treg-mediated suppression of T cell proliferation.103 The studies showed that PD-1 inhibition with specific antibody are now being used to treat advanced solid tumors and improve pathogen elimination in viral infection models.104

6.2  Cytokine-based immunotherapy

As previously mentioned, cytokine storm lead to the disarming of NK cells in COVID-19 patients. In this line, excessive secretion of TNF-α, IL-1, IL-6, IL-18, IL-8, IL-10, MCP-1, and MIP-1α is extremely dependent on the failure of NK cell cytototoxic function. In this regard, cytokine-based therapy showed acceptable results in viral suppression.105,106 IL-6 and TNF blocking with tocilizumab antibody is another therapeutic strategy to enhanced NK-cell functions in COVID-19 patients.90 Tocilizumab binds to membrane-bound and soluble IL-6-Rs and showed beneficial outcomes in COVID-19 patients hospitalized in ICU.107–112 Overall, the results showed that the expression of granzyme A and perforin were increased after tocilizumab treatment.113 The reliable evidence indicated that IL-18 could upregulate PD-1 expression on NK cells and stimulate NK cell disarming in a PD-1-dependent manner.77 Taken together, these data indicated that blockade of these cytokines can rescue NK cells activity among COVID-19 patients and might help to improve treatment strategies.

6.3  Ex vivo expansion of NK cells

Given that the reduction of NK cells number in COVID-19 patients, it seems that the restoration of NK cells could maintain the normal NK cell counts in these patients. In this regard, the expansion of NK cells from various several sources, including cord blood CD34+ cells, PBMC NK cells, or NK cell lines114 has been attention by the researchers. The acceptable results were obtained in Phases I–II trial by expansion and infusion of CD34+ derived NK cells to treat symptomatic patients with mild to moderate COVID-19 infection.115 Also, these promising results were supported by Phase I–II trial. In this study, primary NK cells from healthy donors transfer to COVID-19 patients.39 Although this procedure is useful for obtaining healthy NK cell count, the high expression of cytokines in inhibits the expanded NK cells function in infection site. Considering the cytokine storm and unsuitable microenvironment in COVID-19 patients.

Therefore, cytokine-based immunotherapy combined with NK cell transfer may decrease disease severity and mortality rate by the partial activation of immune system.

7  Conclusion

NK cells as a major innate immunity compartment have a substantial role in the control of infection in COVID-19 patients. Despite the important role of NK cells in viral diseases, the function of these cells is disrupted in COVID-19 patients. Dysregulation of the activating and inhibitory receptors and cytokine storm in respiratory air-way followed by accumulation of disarming NK cells, are major factors in disease severity in COVID-19 patients. Therefore, it seems that the manipulating of immune checkpoints, the control of excessive secretion of cytokines (anticytokine therapy) and inhibitory receptors targeting by the monoclonal antibodies would be helpful to restore NK cell function.

Author Contributions

Khadijeh Dizaji Asl and Ali Rafat devised the main conceptual ideas. Khadijeh Dizaji Asl, Ali Rafat, Zeinab Mazloumi, Hossein Kalarestaghi, and Shahnaz Sabetkam wrote the manuscript. Khadijeh Dizaji Asl prepared the figures. Ghazal Majidi revised the manuscript. Ali Rafat edited the manuscript for important intellectual content and supervised the study.

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Conflict of Interest

The authors declare no conflict of interest.

Data Availability Statement

NA.

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