Geometric Correlations and Breakdown of Mesoscopic Universality in Spin Transport

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We construct a unified semiclassical theory of charge and spin transport in chaotic ballistic and disordered diffusive mesoscopic systems with spin-orbit interaction. Neglecting dynamic effects of spin-orbit interaction, we reproduce the random matrix theory results that the spin conductance fluctuates universally around zero average. Incorporating these effects in the theory, we show that geometric correlations generate finite average spin conductances, but that they do not affect the charge conductance to leading order. The theory, which is confirmed by numerical transport calculations, allows us to investigate the entire range from the weak to the previously unexplored strong spin-orbit regime, where the spin rotation time is shorter than the momentum relaxation time.

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At low temperatures, linear electric transport properties of complex mesoscopic systems are statistically determined by the presence of few symmetries only, most notably time-reversal and spin rotational symmetry [1, 2]. This character of universality is believed to be independent of the source of scattering in the system, and to exist in both ballistic chaotic quantum dots or diffusive disordered conductors [2]. Universality in electric transport holds not only for global properties such as the conductance, but also for correlators of transmission amplitudes between individual channels. Thus, it is natural to expect that all transport properties that depend solely on the scattering matrix are universal as well. This conjecture has been theoretically verified for all charge transport properties, under the sole assumption that scattering generates complete ergodicity. Inspired by Ref. [4], several recent theoretical works [5–7] have further suggested that spin transport in mesoscopic systems with spin-orbit interaction (SOI) also displays universal random matrix theory (RMT) behavior. The agreement between numerics for a disordered lattice [8] and the RMT prediction [4] for the mesoscopic fluctuations of the spin Hall conductance indeed seems to imply that RMT universality also exists in magnetoelectric transport.

In this work, we go beyond the conventional semiclassical theory of transport and show that even when all requirements for universality are met and the fluctuations of the spin and charge conductance as well as average charge conductance remain universal, the average spin conductance is finite in disagreement with the RMT prediction. This effect originates from the spin-orbit coupling through which the electron spin perturbs the electron dynamics in such a fashion that, certain dynamical correlations survive despite the self-averaging nature of ergodic dynamics. These correlations depend on the geometry of the system, namely the relative positions of the leads connecting the system to external electronic reservoirs as well as the form of the SOI. As an example, we consider a two-dimensional quantum dot with Rashba SOI [9] and find that the average two-terminal spin-conductance $G^\mu$ is proportional to $(\hat{z} \times \mathbf{R})_\mu$. Here the vector $\mathbf{R}$ connects the two terminals, $\hat{z}$ is the unit vector perpendicular to the dot and $\mu$ is the spin component. This is illustrated in Fig. 1(a) for the corresponding spin transmission. The polarization of the average spin current is thus determined by the direction of the average electronic flow. In bulk diffusive systems,
when the mean free path is shorter than the spin rotation length, this effect reduces to the extraction of the current-induced spin accumulation (CISA) and the spin Hall effect \([10, 12]\) in finite systems. We stress however that the consequences of these geometric correlations have been considered in neither charge nor spin-transport in quantum dots. Moreover, our calculations extend the existing theory for CISA and SHE in finite diffusive systems to the strong SOI regime (i.e., mean free path is longer than the spin rotation length). It is of practical importance to point out that the process that leads to finite spin conductance is robust against temperature smearing or dephasing. From the point of view of mesoscopic spintronics, this opens up possibilities towards an electrically controlled generation and detection of pure spin currents, since the uncontrollable mesoscopic fluctuations are suppressed by simply raising the temperature.

We consider a mesoscopic quantum dot with no particular spatial symmetry as sketched in the insets of Fig. 1. We treat impurity and boundary scattering on equal footing and consider diffusive as well as ballis- tic chaotic charge dynamics. The dot is connected to two or more external leads. For simplicity, we assume idealized reflectionless leads in which the SOI vanishes. The realistic case of finite SOI in the leads can then be obtained by combining the scattering matrices of the realistic leads with that of the quantum dot. This choice allows us to uniquely define transport spin currents through a cross-section of the leads without the ambiguities that plagued bulk calculations \([13]\). The leads are maintained at different electrochemical potentials \(\epsilon_V\), but have no spin accumulation. The scattering approach to transport gives the spin and charge currents in lead \(i\) as \([14]\)

\[ I_i = \frac{e^2}{h} \sum_j \mathcal{T}_{ij}^{\mu\nu} (V_i - V_j), \]

with the generalized, spin-dependent transmission coefficients \(\mathcal{T}_{ij}^{\mu\nu}\) obtained by summing over all transport channels in leads \(i\) and \(j\) \([4, 7]\).

\[
\mathcal{T}_{ij}^{\mu\nu} = \sum_{m,n \in j} \text{tr}[t^\dagger_{mn} t_{mn}^{\mu\nu}], \quad \mu, \nu = 0, x, y, z. \tag{2}
\]

Here, \(\sigma\) are Pauli matrices (\(\sigma_0\) is the identity matrix) and the trace is taken over the spin degree of freedom. The transmission amplitudes in Eq. (2) can be expressed in terms of the Green’s function \([15]\). Next, we obtain the full Green’s function of the conductor or (ii) by a multiple reflection expansion for boundary scattering \([16, 17]\). In case (ii), \(G^R(r, r')\) is expressed as an iterative solution of

\[
G^R(r, r') = G^R_0(r, r') - 2 \int d\alpha \partial G^R_0(r, \alpha) G^R(\alpha, r'), \tag{3}
\]

where \(\partial G^R_0(r, \alpha) = \hat{n}_\alpha \cdot \nabla G^R_0(r, x)|_{x=\alpha}\), with \(\hat{n}_\alpha\) the (inner) unit normal vector at the boundary point \(\alpha\). Finally, we evaluate the surface integrals in Eq. (3) asymptotically as \(k_F L \to \infty\), where \(k_F\) is the Fermi wavenumber and \(L\) is the linear size of the conductor \([17]\). We obtain

\[
\mathcal{T}_{ij}^{00} = \int dy \int dy_0 \sum_{\gamma, \gamma'} A_i A_{ij} e^{i(S_{ij} - S_{i})} \text{tr}[V_{ij} \sigma_i V_{j}], \tag{4}
\]

where the sums run over all trajectories \(\gamma\) starting at \(y_0\) on a cross-section of the injection lead and ending at \(y\) on the exit lead. The classical action of \(\gamma\) is \(S_{\gamma}\), in units of \(\hbar\) and its stability is given by \(A_\gamma\), which includes a prefactor \((2\pi i \hbar)^{-1/2}\) as well as Maslov indices. For the spin dependent part, we specialize to the Rashba SOI \(H_R = (\hbar k/m)(\nu_0 \sigma_y - \mu_0 \sigma_x)\), where \(\kappa^{-1}\) is the spin precession length \([9]\). We then obtain

\[
V_{ij} = \sum_{\gamma=1}^{N_\gamma} U_{i,\gamma} \equiv \sum_{\gamma=1}^{N_\gamma} U_{i,\gamma}(1 + \delta U_{i,\gamma} + \xi \delta U_{i,\gamma}^{\text{hw}}) \tag{5}
\]

\[
\delta U_{i,\gamma} = \frac{\kappa}{4k_F} \left( \frac{\sin(k_0 |\hat{r}_i|)}{\kappa |\hat{r}_i|} - 1 \right) \eta \cdot \hat{r}_i \tag{6}
\]

\[
\delta U_{i,\gamma}^{\text{hw}} = \frac{\kappa}{2k_F} \left( \frac{\sin(k_0 |\hat{r}_i|)}{\kappa |\hat{r}_i|} - 1 \right) \left( \eta \cdot \hat{r}_i - \frac{\eta \cdot \hat{n}_i}{\cos \theta_i} \right) + \frac{\sigma_z \sin \theta_i}{2k_F |\hat{r}_i| \cos \theta_i} (1 - \cos(k_0 |\hat{r}_i|)). \tag{7}
\]

Here \(\xi = 0\) for a disordered system with weak, short-ranged impurities and \(\xi = 1\) for a ballistic quantum dot with hard-wall confinement or a disordered system with strong, extended impurities. In both cases \(\gamma\) consists of segments \(r_i = (x_i, y_i, 0)\) with \(i = 1, 2, ... N_\gamma\), \(\hat{r}_i = r_i/|r_i|\), \(\hat{n}_i\) is the (inner) unit normal vector and \(\theta_i\) is the angle of incidence at the \(i\)th reflection point, \(\eta = \hat{z} \times \hat{r}_i\) and \(U_{i,\gamma} = \exp[-i k_0 \eta \cdot r_i/2]\) is the Rashba spin rotation matrix along that segment. We note that there are also corrections to \(A_\gamma\) which we have already ignored here, because they do not contribute to the spin conductance (however they generate diffractive corrections to the charge conductance). The Eqs. (4) completely describe spin and charge dynamics of coherent conductors.

The conventional semiclassical theory is obtained via the approximation \(V_{ij} \approx \prod_{\gamma=1}^{N_\gamma} U_{i,\gamma}\), which leads to the universal RMT predictions for charge transport \([18, 19]\). We now show that this approximation also leads to RMT results for spin transport for \(\mu \neq 0\). We first start from the diagonal approximation, where \(\gamma = \gamma'\), and obtain \(\text{tr}[V_{ij} \sigma_i V_{j}] = 0\), showing that the diagonal contribution to the spin current vanishes. The next-order contributions within the conventional semiclassical theory of transport are the loop corrections, in which a self-crossing trajectory \(\gamma\) is paired with a path \(\gamma'\) avoiding the crossing and going around the loop in the opposite direction \([20, 21]\). Along the loop, \(\gamma'\) is the time-reversed of \(\gamma\), and the loop contributions are proportional to \(\langle \text{tr}[U_{ij} \sigma_i U_{j}] \rangle\), where \(U_{ij}\) gives the spin rotation along the loop only. For large SOI, \(U_{ij}\) is random.
thus averaging produces vanishing weak localization correction to the spin conductance. For weaker SOI, we expand all spin rotation angles to second order in $k_a L$ to obtain
\[
\langle [V_i V_j \sigma_\mu] \rangle \approx 2i \delta_{ij} \langle \sin(k_a^2 \delta A_{\gamma}) \rangle.
\]
The area difference $\delta A_{\gamma}$ is given approximately by twice the directed area of the weak localization loop. For a chaotic system, the areas are symmetrically distributed around zero, thus the average vanishes. We note that extending the semiclassical approach of Ref. 22 to the calculation of the variance of the spin conductance, one straightforwardly reproduces the leading-order RMT results of Ref. 4. Details of this calculation will be presented elsewhere 17. We conclude that conventional semiclassical theory, which neglects effects of spin on the charge dynamics, only reproduces RMT predictions.

We next include the effects of SOI on the electronic dynamics and consider a two-dimensional conductor which can be either a ballistic quantum dot with hard-wall confinement, or a disordered system with short-ranged impurities. To do this, we go back to Eqs. (5-7) and include the correlation lengths $U$ to order $O(k_a/k_F)$ and $O(1/k_F |r_i|)$.

We now assume that different trajectory segments are uncorrelated and define $U_{ij,\gamma} = N_{i+1} U_{i,\gamma}$ to obtain
\[
\langle [V_i V_j \sigma_\mu] \rangle = \left( \sum_{i=1}^{N_i} \text{tr} \left[ U_{i,\gamma} V_i V_j U_{i,\gamma} \right] \right)_{\gamma}.
\]

We see that spin currents have contributions from every trajectory segment, which are further rotated by the fluctuating spin-orbit fields of the subsequent reflections. We distinguish three different regimes that depend on the balance between linear system size $L$, the mean distance between (boundary or impurity) scatterings $\ell = \langle |r_i| \rangle$, and SOI length $k_a \ell$:

(i) the spin-ballistic small SOI limit $k_a \ell \ll 1$, (ii) the spin-diffusive strong SOI limit $k_a \ell \gg 1$, and (iii) the spin-chaotic strong SOI limit $k_a \ell \gg 1$. In regimes (i) and (iii), the orbital dynamics can be chaotic ballistic or diffusive depending on the ratio between $L$ and $\ell$. We will be focusing on long ergodic or diffusive trajectories $\gamma$ for which we ignore the averages $\langle \sin(\theta_i) \rangle_\gamma$ and $\langle \hat{n}_i \rangle_\gamma$ for all three regimes, save for the case of a quantum dot in regime (i) (see below).

In the small SOI regime (i), we expand the rhs of Eq. 8 to leading order in $k_a \ell$ setting $U_{ij,\gamma} = 1$ and $1 - \sin(k_a |r_i|)/k_a |r_i|$ $\approx (k_a |r_i|)^2/6$ in Eqs. (17). We get
\[
\langle [V_i V_j \sigma_\mu] \rangle \approx \frac{k_a^2 (1 + 2 \xi)}{6k_F} \left( \sum_{i=1}^{N_i} |r_i| (\hat{z} \times \hat{r}_i)_\mu \right)_{\gamma}.
\]

We now perform the averages $\langle \ldots \rangle_\gamma$ over the set of trajectories $\gamma$. Although individual $r_i$ are pseudorandom in length and direction, being generated by the cavity’s chaotic dynamics, they satisfy $\sum_i r^\gamma_i \approx R_{ij}$, where $R_{ij}$ is the $\gamma$-independent vector connecting the injection and exit terminal. We thus obtain
\[
\langle [V_i V_j \sigma_\mu] \rangle = C k_a^3 \ell (1 + 2 \xi)/(3k_F) (\hat{z} \times \hat{R}_{ij})_\mu,
\]
where $C$ is a number of order one that depends on geometric details of the cavity. This factor multiplies the independently averaged orbital terms in Eq. (4) for $\gamma = \gamma'$, which we compute as in, e.g. Ref. 21. We estimate $\ell = \langle |r_i| \rangle \approx \pi A/L$ for a chaotic dot of area $A$ and perimeter $L$, and $\ell = V_F \tau$ for a diffusive system with momentum relaxation time $\tau$. We finally obtain
\[
\langle [V_i V_j \sigma_\mu] \rangle \approx \frac{k_a^3 \ell (1 + 2 \xi)/(3k_F) (\hat{z} \times \hat{R}_{ij})_\mu}{C},
\]

with the number $N_i = \text{Int}(k_F N_i/\pi)$ of channels in lead $i$, $N_F = \sum_i N_i$ and $W = \text{min} W_i$ the width of the narrowest lead. In the ballistic limit, this formula has a correction term $k_a^3 \ell^2 \xi N_i N_j N_i (\hat{z} \times \hat{R}_i)_\mu$, where $R_i$ is the average momentum direction of electrons entering through lead $i$, originating from nonzero $\langle \hat{n}_i \rangle_\gamma$. We see that the average spin-dependent transmission, and thus the average spin currents, are determined by the relative position of the injection and exit lead and are proportional to the classical conductance from $j$ to $i$.

In the spin-diffusive case (ii), $L \gg k_a^{-1} \gg \ell$, the spins precess around randomly oriented SOI fields, thus relaxing via the Dyakonov-Perel mechanism. In particular, we can no longer set $U_{ij,\gamma} = 1$ in Eq. (8). Instead, we assume that $\gamma$ is a stochastic sequence of segments with random orientations $\varphi_i$, which determine the spin rotation $U_{ij,\gamma}$.

The sequence of rotations is computed by averaging over $\varphi_i$. For a general Pauli spin matrix $s \cdot \sigma$ one has
\[
\int \frac{d\varphi_i}{2\pi} U_{ij,\gamma} s \cdot \sigma U_{ij,\gamma}^\dagger = \cos^2(k_a |r_i|/2) s \cdot \sigma + (|r_i|^2/2) \sin^2(k_a |r_i|/2) \eta (s \cdot \sigma) \eta.
\]

This average is different for in-plane and out-of-plane polarization, which is the origin of the anisotropy of the Dyakonov-Perel spin-relaxation time. In our case, the generated spin is in-plane and the second term in Eq. (11) vanishes 24. We have
\[
\langle [V_i V_j \sigma_\mu] \rangle \approx \frac{k_a^3 \ell (1 + 2 \xi)}{6k_F} \left( \sum_{i=1}^{N_i} \epsilon_{\gamma,\ell} \tau \gamma_i k_a^2 \ell/2k_F \eta \cdot r_i \right)_{\gamma},
\]

where we used $k_a \ell \ll 1 \ll k_a L$, approximated $|r_i| \approx \ell$, and introduced the duration $\gamma_i$ of the first $l$ segments of $\gamma$. For each possible choice of $l$, the spin rotation thus separates into a spin independent piece for segments $1, \ldots, l - 1$, a spin generation piece on segment $l$, and a spin relaxation piece on segments $l + 1, \ldots, N_i$. Fixing the endpoint $r_i$ of segment $l$ and summing over all possible orbits we obtain that the spin conductance is proportional to a product of (i) a diffusive probability $P(x_i,x_j)$ to go from the injection lead to $r_i$, (ii) a spin generation factor $(1 + 2 \xi)k_a^3 \eta \cdot (x_i - x_F)/12k_F$ multiplying the probability of ballistic propagation from $x_i$ to $x_j$, and
(iii) a diffusive probability to propagate from point $x_p$ to the exit lead times the probability that the spin survives this diffusion. Thus we have
\[
\langle T_{ij}^{\mu_0} \rangle \propto \epsilon_{3\mu_\nu} \frac{k_\alpha^2 \ell}{k_F} \int dx_i dx_j dx_{i'} dx_{j'} P(x_i, x_j) P(x_{i'}, x_{j'}) \left( e^{-|x_i - x_{i'}|/\ell} \right)^2 \frac{1}{2\pi |x_i - x_{i'}|} P(x_i, x_{i'}) e^{-k_\alpha |x_{i'} - x_{i}|}.
\]  
(13)

Since the length scale characterizing $P(x_i, x_j)$ is $L$, we evaluate the integrals above asymptotically in the limit $k_\alpha \ell \ll 1 \ll k_\alpha L$. After some algebra we finally obtain
\[
\langle T_{ij}^{\mu_0} \rangle \propto \text{sgn}(k_\alpha)(1 + 2\xi) \frac{k_\alpha^2 \ell^2 W}{L^2} (\vec{z} \times \vec{R}_{ij}),
\]  
(14)

up to a factor of order unity depending on details of how the leads (with width $W$) are attached to the cavity. Noting that for our geometry $\vec{R}_{ij}$ is in the direction of the current flow and its magnitude is $L$, we obtain that the spin conductivity is $\sigma_\alpha \propto k_\alpha^2 \ell^2$ in agreement with the spin diffusion equation calculations [10][12].

Spin chaos regime (iii): Similar to regime (ii), we average over uncorrelated direction angles $\theta_i$ but do not Taylor-expand $\sin(k_\alpha |r_i|)/k_\alpha |r_i| \sim 1 - |r_i|$. We instead take the average over the segment lengths $|r_i|$ as $\prod_{i=1}^{N} \left( \cos^2(k_\alpha |r_i|)/2 \right) \approx 2^{-N-1}$ in a chaotic/stochastic system with $k_\alpha L \gg 1$. Eq. (12) is then replaced by
\[
\frac{\langle V_y V_y^\dagger \rangle - 1}{1 + 2\xi} = \left( \sum_{l=1}^{N} \frac{\ell^{-N}}{2k_\alpha} \frac{k_\alpha}{|r_i|} \right) \left( \sin(k_\alpha |r_i|) - 1 \right) \eta \cdot \vec{r}_l.
\]

Averaging over $\gamma$ we see that the dominant contribution is the last term. We thus approximate the sum by its last term, and take $k_\alpha |r_i| \simeq k_\alpha L \gg 1$ to obtain
\[
\langle V_y V_y^\dagger \rangle \gamma = 1 + (C/k_\alpha^2 W) \eta \cdot \vec{R}_{ij}.
\]
Here $C$' is $(1 + 2\xi)$ times a constant of order unity that depends on the details of the scattering near the lead. We finally obtain the transmission coefficient
\[
\langle T_{ij}^{\mu_0} \rangle = C' \frac{k_\alpha}{2k_F} (\vec{z} \times \vec{R}_{ij}) \mu \times \left\{ \frac{N_i N_j / N_T \ell \gg L, k_F W \ell / L \ll L} \right.,
\]  
(15)

Equations (10), (14) and (15) are our main results. They show how a finite spin conductance emerges from classical geometric correlations depending on the positions of the leads. These equations can be straightforwardly extended to Dresselhaus SOI by substituting $\vec{z} \times \vec{Q} \rightarrow (Q_x, -Q_y, 0)$ for $Q = \vec{R}_{ij}$ [Eqs. (10) and (14)] or $Q = \vec{R}_{ij}$ [Eq. (15)].

To check these predictions we performed numerical recursive Green’s function quantum transport calculations for a tight-binding Hamiltonian \[23\] with Rashba SOI and evaluated the spin-resolved transmission probabilities between two leads as defined in Eq. (2) for both the chaotic and diffusive cases. We computed the transmission for chaotic cavities, shown as insets in Fig. 1 averaged over 2000 different configurations of the Fermi energy and the position and orientation of the central antidot. Panel a) shows for the small $\alpha = \kappa_0 k_\alpha$ regime (i) that the numerically obtained $T_{ij}^{\mu_0}$ (dots) for the cavity in the inset agrees very well with the predicted cubic behavior, Eq. (14), (solid line) for $C = 1$. In panel b) $T_{ij}^{\mu_0}$ is depicted for the same chaotic cavity (black circles) and for a square cavity with Anderson disorder ( violet triangles) for the entire range from weak to strong SOI (regime (i) to (iii)) demonstrating the crossover from cubic to linear behaviour according to Eqs. (10) and (14). In panel c) we further numerically confirm the predicted direction of the in-plane spin polarization $\hat{\theta} = \arctan(T_{ij}^{\mu_0}/T_{ij}^{\mu_0})$ for regime (i) (dashed line, Eq. (10)) and regime (iii) (solid line, Eq. (15)) by rotating the right lead around the semicircle billiard shown in the inset.

In conclusion, we have presented a semiclassical calculation of spin transport in mesoscopic conductors which incorporates next-to-leading order corrections to the semiclassical Green’s function. We showed that in contrast to RMT predictions, the average spin conductance does not vanish, even if all the conventional conditions for universality are met. Our method moreover allowed us to investigate the strong SOI regime for finite diffusive systems for the first time, Eq. (15).

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