Updated experiences with minimally invasive McKeown esophagectomy for esophageal cancer

Ju-Wei Mu, Shu-Geng Gao, Qi Xue, You-Sheng Mao, Da-Li Wang, Jun Zhao, Yu-Shun Gao, Jin-Feng Huang, Jie He, Department of Thoracic Surgical Oncology, Cancer Hospital, Chinese Academy of Medical Sciences and Peking Union Medical College, Beijing 100021, China

Author contributions: Mu JW and He J designed and performed the research, analyzed the data and wrote the manuscript; Gao SG, Xue Q, Mao YS, Wang DL, Zhao J, Gao YS and Huang JF performed the research.

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Correspondence to: Jie He, MD, PhD, Professor, Department of Thoracic Surgical Oncology, Cancer Hospital, Chinese Academy of Medical Sciences and Peking Union Medical College, Beijing 100021, China. hejie@cicams.ac.cn
Telephone: +86-10-87788207
Fax: +86-10-67787079

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Abstract
AIM: To update our experiences with minimally invasive McKeown esophagectomy for esophageal cancer.

METHODS: We retrospectively reviewed the medical records of 445 consecutive patients who underwent minimally invasive McKeown esophagectomy between January 2009 and July 2015 at the Cancer Hospital of Chinese Academy of Medical Sciences and used 103 patients who underwent open McKeown esophagectomy in the same period as controls. Among 375 patients who underwent total minimally invasive McKeown esophagectomy, 180 in the early period were chosen for the study of learning curve of total minimally invasive McKeown esophagectomy. These 180 minimally invasive McKeown esophagectomies performed by five surgeons were divided into three groups according to time sequence as group 1 (n = 60), group 2 (n = 60) and group 3 (n = 60).

RESULTS: Patients who underwent total minimally invasive McKeown esophagectomy had significantly less intraoperative blood loss than patients who underwent hybrid minimally invasive McKeown esophagectomy or open McKeown esophagectomy (100 mL vs 300 mL vs 200 mL, P = 0.001). However, there were no significant differences in operation time, number of harvested lymph nodes, or postoperative morbidity including
INCIDENCE OF PULMONARY COMPLICATION AND ANASTOMOTIC LEAK BETWEEN TOTAL MINIMALLY INVASIVE MCKEOWN ESOPHAGECTOMY, HYBRID MINIMALLY INVASIVE MCKEOWN ESOPHAGECTOMY AND OPEN MCKEOWN ESOPHAGECTOMY GROUPS. There were no significant differences in 5-year survival between these three groups (60.5% vs 47.9% vs 35.6%, P = 0.735). Patients in group 1 had significantly longer duration of operation than those in groups 2 and 3. There were no significant differences in intraoperative blood loss, number of harvested lymph nodes, or postoperative morbidity including incidence of pulmonary complication and anastomotic leak between groups 1, 2 and 3.

CONCLUSION: Total minimally invasive McKeown esophagectomy was associated with reduced intraoperative blood loss and comparable short term and long term survival compared with hybrid minimally invasive McKeown esophagectomy or open McKeown esophagectomy. At least 12 cases are needed to master total minimally invasive McKeown esophagectomy in a high volume center.

Key words: Surgical procedures; Minimally invasive; Esophagectomy; Outcome; Learning curve

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Core tip: Total minimally invasive McKeown esophagectomy had reduced intraoperative blood loss and comparable short term and long term survival compared with hybrid minimally invasive McKeown esophagectomy or open McKeown esophagectomy. At least 12 cases are needed to master total minimally invasive McKeown esophagectomy in a high volume cancer center.

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INTRODUCTION

Esophageal cancer is a growing concern and is the eighth most common cancer worldwide[1]. According to statistics of esophageal cancer in China, the incidence and death rates were 22.14 per 100000 person-years and 16.77 per 100000 person-years in 2009, respectively, being the top one in the world[2]. For resectable carcinoma of the esophagus, surgery remains the gold standard of treatment. Minimally invasive esophagectomy (MIE) was introduced into clinical practice in 1992 in order to minimize the surgical injury reaction and reduce the morbidity and mortality rates of esophagectomy[3]. However, concerns existed for whether MIE may reduce systematic inflammatory response syndrome and provide comparable oncologic clearance with open esophagectomy even 5 years ago[4].

In the past 5 years, several studies including one randomized controlled trial reported reduced postoperative pulmonary complication rates, comparable oncologic clearance and similar long term survival between MIE and open esophagectomy[5-14]. Our previous study demonstrated reduced morbidity rate and comparable oncologic clearance in minimally invasive McKeown esophagectomy group compared with open McKeown esophagectomy[9]. We started minimally invasive McKeown esophagectomy in 2009. Here, we will review these minimally invasive McKeown esophagectomies and focus on short term outcome, long term survival and learning curve of minimally invasive McKeown esophagectomy.

MATERIALS AND METHODS

General information

This study was approved by the Institutional Review Board of Cancer Hospital, Chinese Academy of Medical Science. The medical records of 445 consecutive patients who underwent minimally invasive McKeown esophagectomy between January 2009 and July 2015 at the Cancer Hospital of Chinese Academy of Medical Sciences were retrospectively reviewed. In the same period, 103 patients received open McKeown esophagectomy. The clinical variables of the paired groups were compared, including age, sex, body mass index (BMI), neoadjuvant therapy, tumor location, duration of operation, intraoperative blood loss, number of harvested lymph nodes, differentiation, American Joint Committee on Cancer (AJCC) stage, morbidity rate, rate of anatomic leakage, pulmonary morbidity rate, mortality rate and length of hospital stay. Esophageal cancer staging was carried out according to the AJCC 2009 cancer staging system[15]. All involved patients gave their informed consent prior to study inclusion. A randomized, controlled trial of neoadjuvant treatment has shown a survival benefit in locally advanced esophageal carcinoma as compared with esophagectomy alone in 2012[16]. Since then, we adopted chemotherapy or chemoradiotherapy as an alternative for locally advanced esophageal cancer.

Surgical technique

MIE includes total minimally invasive McKeown esophagectomy and hybrid minimally invasive McKeown esophagectomy[16]. The former consists of thoracoscopic esophagectomy, laparoscopic gastric preparation and gastroesophageal cervical anastomosis, while there are thoracoscopic esophagectomy plus open gastric preparation or laparoscopic gastric preparation plus open esophagectomy in the hybrid minimally invasive
McKeown esophagectomy group. Since 2009, total minimally invasive McKeown esophagectomy has been introduced and in use. The selection criteria for patients to either total MIE, hybrid MIE or open esophagectomy were mainly based on the clinical stage and the experiences of surgeons. Patients with early stage esophageal cancer received more minimally invasive esophagectomies than open esophagectomies, and surgeons who received training in minimally invasive thoracic surgery more performed minimally invasive esophagectomies than open esophagectomies.

**Minimally invasive McKeown esophagectomy**

**Thoracoscopic phase:** The patient was placed in the left lateral decubitus position. The position of the double-lumen tube was verified, and single-lung ventilation was used. Four thoracoscopic ports were established. A 10 mm port was placed at the seventh intercostal space, just along the anterior axillary line, for the camera. Another 10 mm port was placed at the eighth or ninth intercostal space, posterior to the axillary line, for the dissection instrument (ultrasonic coagulating shears) and passage of the end-to-end circular stapler (EEA; Covidien or Johnson) or Hemlock. A 5 mm port was placed in the anterior axillary line, at the third or fourth intercostal space, and this was used to pass a fan-shaped retractor to retract the lung anteriorly and allow exposure of the esophagus. A 5 mm port was placed just below the subscapular tip to place the instruments for retraction and counter traction. The inferior pulmonary ligament was divided. The mediastinal pleura overlying the esophagus was divided and opened to the level of the azygous vein to expose the thoracic esophagus. The azygous vein was then dissected and divided with an endoscopic vascular stapler or Hem-lock. The thoracic esophagus, alone with the periesophageal tissue and mediastinal lymph nodes, was circumferentially mobilized from the diaphragm to the level of inlet of the thorax. Mediastinal lymphadenectomy was done for every patient, and the resected lymph nodes included left recurrent and right subclavian, paratracheal, subcarinal, left and right bronchial, lower posterior mediastinum, para-aortic, and para-oesophageal lymph nodes. The chest was inspected closely, and hemothasis was verified. Chest tube was routinely placed.

**Laparoscopic phase:** The patient was placed in a supine position. A pneumoperitoneum (12-14 cm H2O) was established by CO2 injection through an umbilical port. A total of five abdominal ports (three 5 mm and two 10 mm) were used. After placement of the ports, the first step of the laparoscopic phase was an exploration of the abdomen to rule out advanced disease. The mobilization of the stomach was started with the division of the greater curvature using a Harmonic scalpel (Ethicon Endo-Surgery, OH, United States). The short gastric vessels were then divided. The gastrocolic omentum was then divided, with care taken to preserve the right gastroepiploic artery. The posterior attachments of the stomach were then divided after retraction of the stomach anteriorly. The left gastric vessel was divided at its origin from the celiac trunk with an endoscopic gastrointestinal anastomosis (GIA) stapler or Hemlock. Lymphatic tissues around vessels were included in the resection. Subsequently, the right crus was visualized and dissected, followed by dissecting and defining the left crura of the diaphragm. The abdominal/distal esophagus was dissected as far as possible toward the distal end. The gastric conduit was made extracorporeally. Pyloroplasty or gastric drainage procedure was not routinely performed in our study. We inserted duodenal nutrition tube before anastomosis in the operation. The abdomen was inspected to make sure that hemothasis was adequate and the incisions were closed.

**Cervical anastomosis:** After laparoscopic phase and thoracoscopic phase, a 4 to 6 cm horizontal neck incision was made to expose the cervical esophagus. Careful dissection was performed down until the thoracic dissection plane was encountered, generally quite easily since the VATS dissection was continued well into the thoracic inlet. The esophagogastric specimen was pulled out of the neck incision and the cervical esophagus divided high. The specimen was removed from the field. An anastomosis was performed between the cervical esophagus and gastric tube using standard techniques (mechanical stapled or handsewn anastomosis in an end-to-side fashion).

**Open Mckeown esophagectomy:** The first stage was started with a right posterolateral thoracotomy. The mediastinal pleura overlying the esophagus were divided with electrotome. The thoracic esophagus, alone with the periesophageal tissue and mediastinal lymph nodes, was circumferentially mobilized from the diaphragm to the level of the inlet of the thorax. The second stage is the mobilization of the stomach which was started with the division of the greater curvature using ultrasonic coagulating shears. The short gastric vessels were divided with ultrasonic coagulating shears as well. The gastrocolic omentum was then divided, with care taken to preserve the right gastroepiploic artery. The posterior attachments of the stomach were then divided after retraction of the stomach anteriorly. The left gastric vessel was divided at its origin from the celiac trunk with sutures. Lymphatic tissues around vessels were included in the resection. Subsequently, the abdominal esophagus was dissected as far as possible toward the distal end. Pyloroplasty was not routinely performed. The abdomen is inspected to make sure that hemothasis was adequate and the incisions were closed. For the last stage, the cervical incision was made and then anastomosis was performed like minimally invasive esophagectomy.
Postoperative care: The patients were placed in an intensive care unit or discharged to ward directly from operation room according to the judgement of anesthetist. Assessment of recurrent laryngeal nerve injury was done on the 1st d postoperatively. Postoperative respiratory tract management included chest physiotherapy and early ambulation. Patient-controlled analgesia was given to every patient to control postoperative pain.

Learning curve of total minimally invasive McKeown esophagectomy
In order to study the learning curve of total minimally invasive McKeown esophagectomy, we selected data of 180 patients who underwent total minimally invasive McKeown esophagectomy in the early period which was performed by five senior thoracic oncologic surgeons who majored in thoracic surgical oncology over 20 years. All 180 patients were divided into three groups according to time sequence from January 2009 to August 2013 (Table 3). Five patients were converted into open thoracotomy and laparotomy and the reasons for conversion are displayed in Table 2.

Statistical analysis
The SPSS software package 16.0 for Windows was used for statistical analyses. Data are presented as median value (interquartile range) for continuous variables, and percentages for dichotomous variables. Continuous variables were analyzed using ANOVA test or nonparametric test, and categorical variables were analyzed using Fisher exact test. Survival was estimated using Kaplan-Meier method and log-rank tests were used to analyze differences between curves. The significant level was set as a P value less than 0.05.

RESULTS
Clinical characteristics
From January 2009 to June 2015, 445 cases of minimally invasive McKeown esophagectomy were conducted at our hospital. In this cohort, the median age was 60 years (range, 36-79 years) and there were 341 males and 104 females. Twenty-one patients underwent neoadjuvant radiotherapy, and 30 patients underwent neoadjuvant chemotherapy. Other clinical variables are displayed in Table 1. Five patients were converted into open thoracotomy and laparotomy and the reasons for conversion are displayed in Table 2.

The cohort was divided into three groups based on operative technique used. Of 548 McKeown esophagectomies, there were 375 total minimally invasive McKeown esophagectomies, 70 hybrid minimally invasive McKeown esophagectomies and 103 open McKeown esophagectomies. The selection of which approach was based on the opinion of surgeons. Patients who underwent minimally invasive McKeown esophagectomy were older than patients who underwent open McKeown esophagectomy. Patients who underwent open McKeown esophagectomy were more in the proximal third of the esophagus and more received neoadjuvant chemotherapy and radiotherapy (Table 3).

Perioperative outcomes of patients undergoing three types of McKeown esophagectomy
As shown in Table 4, patients who underwent total minimally invasive McKeown esophagectomy had significantly less intraoperative blood loss than patients who underwent hybrid minimally invasive McKeown esophagectomy and open McKeown esophagectomy. However, there were no significant differences in duration of operation, number of harvested lymph nodes, or postoperative morbidity including incidence of pulmonary complication and anastomotic leak between total minimally invasive McKeown esophagectomy and open McKeown esophagectomy groups.

Survival
Kaplan-Meier plots depict the long term survival of patients who underwent three types of operation: total minimally invasive McKeown esophagectomy, hybrid minimally invasive McKeown esophagectomy and open McKeown esophagectomy (Figure 1). There were no significant differences in 5-year survival between these three types (60.3% vs 47.9% vs 35.3%, P = 0.579).

Learning curve of total minimally invasive McKeown esophagectomy
Patients in group 1 (n = 60) had significantly longer duration of operation than those in groups 2 (n
There were no significant differences in intraoperative blood loss, number of harvested lymph nodes, or postoperative morbidity including incidence of pulmonary complication and anastomotic leak between groups 1, 2 and 3 (Table 5). Five surgeons performed 180 total minimally invasive McKeown esophagectomies. There were no significant differences in the short term outcomes or oncologic clearance between these three groups.

The duration of operation got steady after the first 60 cases for 5 surgeons, suggesting that 12 cases were needed for a senior surgeon to master total minimally invasive McKeown esophagectomy at our hospital, a high volume cancer center. Then we analyzed the learning curve for each of 5 surgeons and found that all surgeons had a trend of reduction of duration of operation. Of 5 surgeons, there were significant differences in duration of operation between surgeons

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### Table 3 Clinical characteristics of patients receiving McKeown esophagectomy n (%)

| Clinical variable | Total MIME (n = 375) | Hybrid MIME (n = 70) | Open McKeown esophagectomy (n = 103) | P value |
|------------------|----------------------|----------------------|-------------------------------------|---------|
| Age (yr)         | 59 (54-65)           | 62 (55-67)           | 56 (52-63)                          | 0.024   |
| Sex (Male)       | 289 (77.1)           | 52 (74.3)            | 84 (81.6)                           | 0.490   |
| BMI (kg/m²)      | 23 (21-25)           | 22 (20-25)           | 23 (20-24)                          | 0.100   |
| Tumor location   |                      |                      |                                     | < 0.001 |
| Upper            | 78 (20.8)            | 18 (25.7)            | 58 (56.3)                           |         |
| Middle           | 248 (66.1)           | 44 (62.9)            | 39 (37.9)                           |         |
| Lower            | 49 (13.1)            | 8 (11.4)             | 6 (5.8)                             |         |
| Neoadjuvant chemo | 21 (5.6)             | 9 (12.9)             | 11 (10.7)                           | 0.042   |
| Neoadjuvant radiotherapy | 16 (4.5) | 5 (7.1) | 11 (10.7) | 0.043   |

MIME: Minimally invasive McKeown esophagectomy; BMI: Body mass index; AJCC: American Joint Committee on Cancer.

### Table 4 Perioperative outcomes of patients receiving McKeown esophagectomy n (%)

| Clinical variable | Total MIME (n = 375) | Hybrid MIME (n = 70) | Open McKeown esophagectomy (n = 103) | P value |
|------------------|----------------------|----------------------|-------------------------------------|---------|
| Duration of operation (min) | 330 (270-420) | 370 (305-435) | 340 (320-400) | 0.323   |
| Intraoperative blood loss (mL) | 100 (100-200) | 300 (100-300) | 200 (100-300) | 0.001   |
| Number of harvested lymph nodes | 22 (16-31) | 19 (14-29) | 25 (19-32) | 0.293   |
| AJCC staging      |                      |                      |                                     |         |
| I                | 308 (270-420) | 370 (305-435) | 340 (320-400) | 0.323   |
| II               | 108 (28.8) | 19 (27.1) | 17 (16.5) | 0.185   |
| III              | 172 (45.9) | 33 (47.1) | 49 (47.6) | 0.215   |
| AJCC staging      |                      |                      |                                     |         |
| Differentiation  |                      |                      |                                     |         |
| Low              | 58 (15.5) | 12 (17.1) | 18 (17.5) | 0.493   |
| Complete resection | 374 (99.7) | 70 (100) | 103 (100) | 0.794   |
| Overall morbidity | 73 (19.5) | 13 (18.6) | 22 (21.6) | 0.864   |
| Pulmonary complications | 11 (2.9) | 2 (2.9) | 6 (5.8) | 0.347   |
| Leakage          | 46 (12.3) | 10 (14.3) | 9 (8.7) | 0.493   |
| In-hospital mortality | 2 (0.5) | 0 (0) | 1 (0) | 0.696   |
| Length of hospital stay (d) | 16 (14-24) | 18 (16-27) | 21 (16-28) | 0.078   |

MIME: Minimally invasive McKeown esophagectomy; AJCC: American Joint Committee on Cancer.

### Table 5 Comparison of perioperative outcomes of patients who underwent total minimally invasive McKeown esophagectomy in the early period n (%)

| Clinical variable | Group 1 (n = 60) | Group 2 (n = 60) | Group 3 (n = 60) | P value |
|------------------|------------------|------------------|------------------|---------|
| Duration of operation (min) | 350 (285-450) | 303 (270-373) | 300 (240-370) | 0.004   |
| Intraoperative blood loss (mL) | 300 (125-375) | 200 (100-300) | 100 (100-300) | 0.081   |
| Number of harvested lymph nodes | 21 (17-30) | 22 (16-31) | 21 (16-26) | 0.866   |
| Overall morbidity | 10 (16.7) | 13 (21.7) | 14 (23.3) | 0.643   |
| Pulmonary morbidity | 2 (3.3) | 3 (5.0) | 0 (0) | 0.237   |
| Leakage          | 5 (8.3) | 7 (11.7) | 11 (18.3) | 0.248   |
| In-hospital mortality | 1 (1.7) | 0 (0) | 0 (0) | 0.566   |
| Length of hospital stay (d) | 17 (14-22) | 20 (14-31) | 15 (12-21) | 0.335   |

MIME: Minimally invasive McKeown esophagectomy; AJCC: American Joint Committee on Cancer.

= 60) and 3 (n = 60). There were no significant differences in intraoperative blood loss, number of harvested lymph nodes, or postoperative morbidity including incidence of pulmonary complication and anastomotic leak between groups 1, 2 and 3 (Table 5). Five surgeons performed 180 total minimally invasive McKeown esophagectomies. There were no significant differences in the short term outcomes or oncologic clearance between these three groups.
There were consistent with the result of the meta-analysis and open esophagectomy group in our study, which is anastomotic leak after esophagectomy between MIE and 5.8%, respectively. Relatively small number of samples in our study may account for the reason. There was no significant difference in the rate of pulmonary complication rates of 2.9%, 2.9% with open group, decreased in total minimally invasive group and hybrid MIE: Minimally invasive McKeown esophagectomy or open Mckeown esophagectomy (60.3% vs 47.9% vs 35.3%, P = 0.579). MIME: Minimally invasive McKeown esophagectomy.

A and B, while there were no significant differences in duration of operation between surgeons C, D and E (Figure 2).

**DISCUSSION**

In this study, we found that patients who underwent total minimally invasive McKeown esophagectomy had similar short term outcome and long term survival compared with patients who underwent hybrid minimally invasive McKeown esophagectomy or open McKeown esophagectomy.

The feasibility of MIE has been well established in our center as previously reported[9]. Recently, a meta-analysis involving 13267 patients demonstrated reduced in-hospital mortality in patients who underwent MIE compared with patients who underwent open esophagectomy[14]. In that study, the mortality rates were 3.0% and 4.6% in MIE and open esophagectomy group, respectively[14]. Also, a significant effect of MIE was observed in that study in reducing the risk of pulmonary complications compared with open esophagectomy (17.8% vs 20.4%)[14]. We did not observe any reduction of incidence of morbidity or mortality in MIE group compared with open esophagectomy group. However, there was a trend in our study that the rate of pulmonary complication decreased in total minimally invasive group and hybrid minimally invasive group compared with open group, with the pulmonary complication rates of 2.9%, 2.9% and 5.8%, respectively. Relatively small number of samples in our study may account for the reason. There was no significant difference in the rate of anastomotic leak after esophagectomy between MIE and open esophagectomy group in our study, which is consistent with the result of the meta-analysis[14].

The results of a large randomized, controlled trial of neoadjuvant treatment demonstrated a survival benefit in locally advanced esophageal carcinoma as compared with esophagectomy alone, with a five-year survival of 47% in neoadjuvant treatment group compared with 34% in the surgery group[16]. And since then, some surgeons at our hospital adopted neoadjuvant treatment as an alternative for locally advanced esophageal carcinoma to surgery alone. The rate of neoadjuvant treatment for locally advanced esophageal carcinoma was only 20% at our hospital. A low fraction of patients with esophageal squamous cell carcinoma in the study of van Hagen et al[16] (around 20%) may preclude the application of neoadjuvant treatment at our hospital. Several meta-analyses demonstrated consistent results of survival advantage of neoadjuvant treatment plus surgery over surgery alone for resectable esophageal adenocarcinoma[17-21]. However, there were limited data regarding the survival advantage of neoadjuvant treatment plus surgery over surgery alone for resectable esophageal squamous cell carcinoma. More studies of neoadjuvant treatment on esophageal squamous cell carcinoma are needed to define the role of neoadjuvant treatment in locally advanced esophageal squamous cell carcinoma.

We found a similar oncologic clearance rate as demonstrated by no difference in number of dissected lymph nodes between MIE and open esophagectomy group. And we found similar 5-year survival between MIE and open esophagectomy group. Recently, a propensity score-matched comparison study showed similar lymph node harvest and equal oncologic survival in MIE and open esophagectomy group which are similar to our results[11]. Two recent studies showed better long term survival in MIE group compared with open esophagectomy group, and select bias may lead to the results[12,13]. In these two studies, more early tumors were selected in MIE group and more advanced cancers in open esophagectomy group[12,13]. More
Second, the surgeons who performed only 12 cases can overcome the skill obstacle. However, in our study, senior doctors with over 20 years of experiences with thoracic surgery who performed 40 cases may reach the plateau of learning curve. Therefore, a new MIE program can be implemented safely with comparable onologic clearance rate and postoperative morbidity rate after approximately 12 cases for a surgeon at a high volume cancer center.

Lin et al.[30] reported that surgery skill can be reached after 40 cases. In their study, an attending doctor who performed 40 cases may reach the plateau of learning curve. However, in our study, senior doctors with over 20 years of experiences with thoracic surgery who performed only 12 cases can overcome the skill obstacle.

The limitation of this study mainly comes from its retrospective nature, which carries a risk of selection bias. For example, there were more patients who had the tumor in the upper third of the esophagus in open esophagectomy group. Second, the patients were from one hospital, which may not be generalized in other medical centers. Last, rates of local and distant recurrences, and long term survival analysis are needed to determine the onologic clearance apart from the comparison of number of harvested lymph nodes.

In conclusion, total minimally invasive McKeown esophagectomy had reduced intraoperative blood loss and comparable short term and long term survival compared with hybrid minimally invasive esophagectomy or open McKeown esophagectomy. At least 12 cases are needed to master the technique in a high volume cancer center.
Innovations and breakthroughs

This study again reinforced the feasibility of minimally invasive McKeown esophagectomy and extended previous study of learning curve of minimally invasive McKeown esophagectomy that at least 12 cases are needed to reach the plateau of this technique.

Applications

The results of this study may provide new data for thoracic surgeons who majored in esophageal surgery that minimally invasive McKeown esophagectomy is feasible and is associated with less intraoperative blood loss. Most importantly, performing 12 cases of minimally invasive McKeown esophagectomy is feasible and is associated with less intraoperative blood loss. The results of this study may provide new data for thoracic surgeons who majored in esophageal surgery that minimally invasive McKeown esophagectomy is feasible and is associated with less intraoperative blood loss. Most importantly, performing 12 cases of minimally invasive McKeown esophagectomy is feasible and is associated with less intraoperative blood loss.

Peers-review

This manuscript compared totally minimally invasive esophagectomy (MIE), hybrid MIE and open three stage (McKeown) esophagectomy. The authors found the procedure ontologically safe in terms of lymph node yield and long term survival and technically safe in terms of blood loss, operating time, morbidity and mortality. This is an interesting manuscript and clearly written and organized.

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