CONFINEMENT EFFECT IN A THICK LAYER OF LC LANTHANIDE COMPLEX

Irina Lezova, Galina Polushina, Sergey Polushin

St. Petersburg State University, Universitetskaya nab. 7/9, St. Petersburg, 199164 Russia. E-mail: s.polushin@spbu.ru

Abstract
The structure of metallomesogens complexes differs essentially from that of calamitic liquid crystals that emerges in their physical properties. We studied anomalous features of the transition from nematic to isotropic phase in the ytterbium-based liquid crystal complex. For this, two approaches were used. At first approach, we showed that the experimental values of the dielectric and optical anisotropies measured near the phase transition turned out to be substantially smaller than their calculated values. This is evidently taken place because the phase transition temperature in the deep of the experimental cell differs from that near the surface of the cell. Secondly, the temperature of N-I transitions in metallomesogenic layers being within glass sandwich cells is obtained directly by means of the polarization microscope method. It was found that the phase transition temperature drops in more than ten degrees when reducing of metallomesogenic layer thick from 200 to 5 microns. The calamite liquid crystals show the confinement effect only within interstice of the specific size smaller than one micron. An anomalous confinement effect can be caused by a strong interaction of the molecular complexes with each other.

Keywords: phase transition; metallomesogen; confinement.

Introduction
The recent years have witnessed considerable progress in the synthesis and studies of liquid-crystalline lanthanide complexes, owing to the progress in the physics of liquid crystals and in the coordination and organic chemistry [1, 2]. The molecular structure of complexes is considerably more sophisticated than that of classical liquid crystals. This feature, together with the presence of metal atoms in the complexes, account for their peculiar physical properties; in particular, most metallomesogens are smectics. A typical feature of metallomesogenic complexes is combination of the optical and electrical anisotropies inherent in usual liquid crystals with the magnetic and electronic properties inherent in transition metal complexes. They exhibit efficient luminescence, and the magnetic susceptibility anisotropy of metallomesogens is
orders of magnitude higher than that of organic liquid crystals [3, 4]. The successful synthesis of nematic metallomesogens [5] opened up the possibility to obtain and study macroscopically ordered liquid-crystalline phases [6]. Systematic studies of metallomesogens revealed one more feature, which has not been deliberately investigated so far and is analyzed in this work. In particular, the first-order phase transition from the liquid-crystalline to isotropic phases can take place within a temperature range of one to several degrees. This should give rise to coexistence of the anisotropic and isotropic phases and to effective anisotropy of physical properties being lower in this range than the anisotropy of the proper liquid-crystalline phase. In this work, we compared the electro-optical properties of the metallomesogen in isotropic (I) phase with the optical and dielectric anisotropy of the metallomesogen determined in the nematic (N) phase. The anisotropy measured experimentally near the transition proved to be many times lower than the calculated value.

The cause for phase coexistence was determined by monitoring the phase transition by polarization microscopy. This is the effect of boundaries of the cell containing the sample on the N-I phase transition temperature, i.e., the confinement effect. In the case of classical liquid crystals placed into a thin cell or porous matrix, this effect is manifested if the cell (pore) characteristic dimension is less than a micrometer [7]. The confinement effect found in metallomesogens for an order of magnitude greater layer thicknesses was a new and unexpected finding.

Methods

The liquid-crystalline complex studied in this work was tris [1-(4- (4-propylcyclohexyl)phenyl) octane-1,3-diono] - [5,5’-di(heptadecyl)-2,2'-bipyridineytterbium, designated by Yb(DDk3-5)3Bpy17-17. It has both smectic A and nematic phases [5].

The electro-optical properties of an isotropic melt above the nematic-isotropic melt phase transition temperature were studied by considering the Kerr effect, which serves as a tool for examining phase transitions in mesogens [9, 10]. The electric field-induced birefringence $\Delta n_E$ is related to the Kerr constant $K$ and the electric field strength $E$ by the Kerr equation $\Delta n_E = KE^2$. The electric birefringence in the isotropic melt was measured in rectangular pulse fields with strengths of up to $5 \times 10^3$ V/cm. In order to eliminate the electrical conductivity and parasitic heating effects, short (0.5 ms) electric pulses with a repetition rate of less than 0.1 Hz were used. The Kerr cell with the sample was maintained at a constant temperature to within 0.1°C.

For determining the conditions of existence of the two-phase liquid crystal-isotropic melt system in the Yb(DDk3-5)3Bpy17-17 mesogen, we examined the N-I transition in the planar and wedge-like layers of various thickness $d$ by polarization microscopy. The samples were
sandwiched between clean glasses whose surfaces were not treated in any way. The sample heating and cooling rates did not exceed 0.5 K/min.

**Results and Discussion**

The plot for reciprocal of the Kerr constant 1/K versus temperature T is shown in Fig. 1.

![Figure 1. Temperature dependence of the reciprocal of the Kerr constant 1/K in an isotropic melt of the mesogenic complex Yb(DDk\textsubscript{3-5})\textsubscript{3}Bpy\textsubscript{17-17}. The limiting temperature of existence of the isotropic phase T* is designated.](image)

The EBR sign is negative, indicating that the permanent dipole moment of the complex forms an angle of more than 55° with its longitudinal axis. As the temperature is lowered, the absolute magnitude of the Kerr constant K increases, and the reciprocal of the Kerr constant varies linearly, i.e., the dependence K~1/(T−T*) holds. The electro-optical constant K(T−T*) is \(-2.0\times10^{-8}\) (cm/300 V)\(^2\) K. By extrapolation of the temperature dependence of 1/K, the limiting temperature of existence of the isotropic phase was found to be T* = 133.9°C.

A phenomenological approach to the description of the pre-transition behavior of a liquid crystal was developed by De Gennes on the basis of the Landau phase transition theory [8]. In the I-N phase transition region, the free-energy density \(F\) in the presence of an electric field of strength \(E\) is expanded in the powers of the orientational order \(S\), and consideration can be restricted to the quadratic term:

\[
F(T) = F_0(T) + \frac{1}{2} a(T - T^*) S^2 + \frac{1}{12 \pi} \Delta \varepsilon E^2.
\]

Here \(F_0(T)\) is the energy of the isotropic phase, \(\Delta \varepsilon\) is the dielectric anisotropy. The coefficient \(a\) in the expansion is related to the heat of melting \(L\) in the following way: \(L = a T_c S_c^2 / 2\). The order parameter \(S_c\) corresponds to the order at the N-I phase transition temperature \(T_c\). The Landau - De Gennes theory makes it possible to express the dielectric \(\Delta \varepsilon_c\) and optical \(\Delta n_c\) anisotropies of a liquid crystal at temperature \(T_c\) in terms of the electro-optical constant of the isotropic phase \(K(T-T^*)\) in the following way:
Expression (1) describes adequately the behavior of classical liquid crystals [11]. Using the measured electro-optical constant $K(T - T^*)$ and the values $L = 8.0 \times 10^6$ erg/cm$^3$ and $T_c = 127^\circ$C, we calculated the anisotropy from relation (1) to be $\Delta n_c \Delta \varepsilon_c = -0.03$. An absolutely different result is obtained upon multiplication of the experimentally determined optical [12] and dielectric [6] anisotropy values near the transition: $\Delta n_c \Delta \varepsilon_c = -0.00024$. Evidently, the presence of the isotropic liquid phase in the anisotropic liquid near the phase transition decreases the value $\Delta n_c \Delta \varepsilon_c$ by two orders of magnitude. This can be ascertained by using data on the mesophase anisotropy at low temperature where an isotropic phase impurity is absent. Using the low-temperature values $\Delta n = 0.08$ and $\Delta \varepsilon = -1.9$, we converted them to the temperature $T_c$ having in mind that the temperature dependence of $\Delta n$ and $\Delta \varepsilon$ is a function of the order parameter: $\Delta \varepsilon \sim S$ and $\Delta n \sim S$. The usual values of the order parameter $S$ are 0.7 in the low-temperature region and 0.4 in the close vicinity of $T_c$; from this, we find that the anisotropy decreases 1.75-fold near the transition, then $\Delta n_c \Delta \varepsilon_c = -0.05$. This result is already comparable with the value calculated from equation (1). Thus, combined analysis of optical, dielectric, and electro-optical data indicates that the isotropic and anisotropic phases coexist in the vicinity of phase transition.

The specific behavior of metallomesogen in the N-I phase transition is manifested most clearly in the case of a cell of variable thickness; this is demonstrated by micrograph in Fig. 2.

![Figure 2](https://example.com/figure2.jpg)

**Figure 2.** Photomicrograph (80×) of a metallomesogen layer at 126.0°C. The polarizers are crossed, the isotropic phase looks black. The arrow shows the direction in which the layer thickness linearly decreases from 200 μm to 5 μm. The N-I phase interface moves along the same direction with temperature change in the interval of 118-130 °C.

As the isotropic melt is cooled, the formation of the liquid-crystalline phase starts at temperature $T_1$ from the region in which the layer thickness $d=200\mu$m. With decreasing temperature, the liquid crystal - isotropic phase boundary moves along the arrow towards part of the sample
where \( d = 5 \mu m \). Thus, the phase transition occurs in a \( \sim 10^\circ C \) temperature interval between \( T_1 \) and \( T_2 \), where \( T_1 \) is the higher temperature at which the mesophase is formed deep in the layer and \( T_2 \) is the minimal temperature at which the nematic phase is formed in the narrow part of the layer. The phase transition under the same conditions for a conventional calamitics occurs in an interval of less than 0.1 degrees, see Fig. 3.

**Figure 3.** The phase transition in classical PAA (p,p'-di-n-methyloxyazoxybenzen) in the same cell occurs in the range of about 0.1 C or less. Here photomicrograph (a) made at 134.0 C and (b) at 134.1 C.

**Figure 4.** Phase diagram of a metallomesogen obtained using three samples with different layer thicknesses: 8, 50, and 200 \( \mu m \). The nematic and isotropic phase regions and the coexistence region are designated. The temperature \( T^* \) was determined by using the Kerr effect. During cooling (dark dots), the nematic–isotropic phase transition starts at the temperature \( T_1 \) and ends at \( T_2 \). During heating (light dots), melting of the nematic starts at the temperature \( T_2 \) and ends at \( T_1 \).

In 8 and 50 \( \mu m \) thick planar layers, the temperature interval \( T_1 - T_2 \) narrows down to reach a
minimal value of about 1°C in the thinnest layer, as one can see in the plot in Fig. 4. The $T_2$ value is almost invariable as it characterizes the transition near the boundaries, whereas $T_1$ substantially depends on thickness. As follows from the plot, the curve should arrive at saturation near $d=1000\mu$m upon reaching the limiting temperature $T^*$ of the isotropic phase existence; for a nematic, $T^*$ differs from the temperature of N-I transition in the bulk by less than one degree: $T^*\approx T_c$. In other words, the difference $T^*-T_2=14^\circ C$ corresponds to the greatest transition temperature shift for the metallomesogen $\text{Yb(DDk}_{3.5}\text{)}_3\text{Bpy}_{17.17}$ under the boundary conditions specified in the experiment.

The shift of the N-I transition temperature and, in some cases, also transformation of the first-order phase transition into a continuous transition are caused by the influence of the extensive boundary on the order parameter in the melt [13]. It was found in experiments using thin layers of liquid-crystalline alkyl cyanobiphenyl with one free surface that the shift is determined by a combination of three factors: surface tension, boundary anchoring, and capillary condensation [7]. The shift increases as the characteristic size $d$ decreases and the shift can be both positive and negative. A computer modeling of the N-I transition under confinement [14] demonstrated that there is no single universal scenario that would describe the transition properties as a function of $d$, the transition behavior being dependent only on intermolecular interaction details. This conclusion is consistent with our assumptions that the probable causes of the above described phase behavior are related to the sophisticated molecular architecture of metallomesogenic complexes. The result of this study is practically significant, because it implies that the confinement effects can be both manifested under certain conditions and used in the existing electro-optical sensors, modulators, and other devices.

Acknowledgements
The authors are grateful to V. B. Rogozhin and E. I. Rjumtsev for assistance in the work, and are grateful to Yu. G. Galyametdinov for providing the sample.

Author Contributions: S.P. conceived and designed the experiments; G.P. and I.L. performed the experiments and analyzed the data; S.P. wrote the paper.

Conflicts of Interest: The authors declare no conflict of interest.

References
1. Serrano, J.L., Metallomesogens, Synthesis, Properties and Applications, New York: VCH. 1996. DOI: 10.1002/9783527615094.
2. Binnemans, K.; Gorller-Walrand, C. Lanthanide-containing liquid crystals and surfactants. Chem. Rev. 2002, Volume 102, pp. 2303–2345.
3. Knyazev, A.A.; Molostova, E.Y.; Krupin, A.S.; Heinrich, B.; Donnio, B.; Haase, W.; Galyametdinov, Y.G. Mesomorphic behaviour and luminescent properties of mesogenic diketone lanthanide adducts with 5,5′-di(heptadecyl)-2,2′-bipyridine. *Liq. Cryst.* 2013, *Volume* 40, pp. 857–863. http://dx.doi.org/10.1080/02678292.2013.795626.

4. Galyametdinov, Yu.G.; Haase, W.; Malykhina, L.; Prosvirin, A.; Bikchantaev, I.; Rakmatullin, A.; Binnemans, K. Synthesis, mesomorphism, and unusual magnetic behaviour of lanthanide complexes with perfluorinated counterions. *J. Chem. Eur.* 2001, *Volume* 7, pp. 99–105.

5. Dzhabarov, V.I.; Knyazev, A.A.; Strelkov, M.V.; Molostova, E.Yu.; Schustov, V.A.; Haase, W.; Galyametdinov, Y.G. Tris(β-diketonates) lanthanum nematic adducts. *Liq. Cryst.* 2010, *Volume* 37, pp. 285–291. DOI: 10.1080/02678290903506040.

6. Dobrun, L.A.; Sakhatskii, A.S.; Kovshik, A.P.; Ryumtsev, E.I.; Knyazev, A.A.; Galyametdinov, Yu.G. Dielectric properties of an ytterbium-based nematic liquid crystal complex. *JETP Lett.* 2014, *Volume* 99, pp. 133–135. DOI: 10.1134/S0021364014030072

7. Wittebrood, M.M.; Luijendijk, D.H.; Stallinga, S.; Rasing, Th.; Musevic, I. Thickness-dependent phase transition in thin nematic films. *Phys. Rev. E:* 1996, *Volume* 54, pp. 5232–5234.

8. De Gennes, P.G.; Prost, J. *The Physics of liquid crystals*, New York: Oxford Univ. Press, 1993.

9. Polushin, S.; Rogozin, V.; Beloborodov, I.; Ryumtsev, E.; Kozlovsky, M. Existence of two different isotropic phases as a reason for bistable phase behavior of an LC side-chain polymethacrylate. *Macromol. Rapid Commun.* 2008, *Volume* 29, pp. 224–228. DOI: 10.1002/marc.200700638

10. Rogozhin, V.B.; Lezov, A.V.; Polushin, S.G.; Ryumtsev, E.I. Effect of the microphase separation on the electro-optical properties of isotropic melts of thermotropic liquid crystals. *Zh. Fiz. Khim.* 2011, *Volume* 85, pp. 2005–2010. DOI: 10.1134/S0036024411110276.

11. Coles, H.J. Laser and electric field induced birefringence studies on the cyanobiphenyl homologues. *Mol. Cryst. Liq. Cryst.* 1978, *Volume* 49, pp. 67–74.

12. Kovshik, A.P.; Krainyukov, E.S.; Kovshik, S.A.; Knyazev, A.A.; Galyametdinov, Yu.G.; Ryumtsev, E.I. Optical anisotropy of liquid crystal lanthanide complexes. *Opt. Spektrosk.* 2014, *Volume* 116, pp. 61–67. DOI: 10.1134/S0030400X1401010X.

13. Sheng, P. Phase transition in surface-aligned nematic films. *Phys. Rev. Lett.* 1976, *Volume* 37, pp. 1059–1062.

14. Fish, J.M.; Vink, R.L.R. Isotropic-to-nematic transition in confined liquid crystals: An essentially nonuniversal phenomenon. *Phys. Rev. E:* 2010, *Volume* 81, p. 021705. DOI: 10.1103/PhysRevE.81.021705.

**Abbreviations**

The following abbreviations are used in this manuscript:

EBR: electric birefringence