Host-guest chemistry for tuning colloidal solubility, self-organization and photoconductivity of inorganic-capped nanocrystals

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Colloidal inorganic nanocrystals (NCs), functionalized with inorganic capping ligands, such as metal chalcogenide complexes (MCCs), have recently emerged as versatile optoelectronic materials. As-prepared, highly charged MCC-capped NCs are dispersible only in highly polar solvents, and lack the ability to form long-range ordered NC superlattices. Here we report a simple and general methodology, based on host–guest coordination of MCC-capped NCs with macrocyclic ethers (crown ethers and cryptands), enabling the solubilization of inorganic-capped NCs in solvents of any polarity and improving the ability to form NC superlattices. The corona of organic molecules can also serve as a convenient knob for the fine adjustment of charge transport and photoconductivity in films of NCs. In particular, high-infrared-photon detectivities of up to $3.3 \times 10^{11}$ Jones with a fast response (3 dB cut-off at 3 kHz) at the wavelength of 1,200 nm were obtained with films of PbS/$K_3\text{AsS}_4$/decyl-18-crown-6 NCs.

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New strategies for the programmable, scalable and experimentally facile bottom-up design of solid-state materials from chemically synthesized nanocrystals (NCs) are becoming increasingly important because of their promising applications in electronic and optoelectronic devices\(^1\)–\(^6\). In this regard, NC surface chemistry has become a major bottleneck and, at the same time, a major enabler due to its crucial influence on nearly all of the physical and chemical properties of NCs\(^2\)–\(^5\). Bulky and electrically insulating organic capping ligands (surfactants) enable the formation of monodisperse colloidal NCs. In the direction towards subsequent applications of NCs in solid-state devices, the last several years have seen a surge of reports showing the great potential of small, electrically conductive inorganic capping ligands, such as metal chalcogenide complexes (MCCs, for example, Sn\(_2\)Se\(_4\)\(^{1–4}\), SnTe\(_4\)\(^{4–7}\), Cu\(_2\)S\(_7\)\(^{5,6}\), In\(_2\)Se\(_7\)\(^{7}\) and As\(_2\)S\(_3\)\(^{8–20}\) and other ionic inorganic moieties (for example, halides, pseudohalides, metal-free chalcogenido, hydroxy and amido ions, oxo- and polyoxometallates, and halo-metalates)\(^21\)–\(^26\). All of these ligands have been used to displace initial organic capping ligands, forming stable colloidal dispersions. Another powerful strategy, applicable to metal oxide and chalcogenide NCs, is the formation of cationic and naked, but colloidaly stable NCs upon controlled stripping of the strongly bound native organic ligands with the simultaneous ligand exchange with MCCs proceeds quantitatively to completion in most cases and leads to the phase transfer and solubilizing agents (especially for isolating molecules in ion-selective electrodes). Other macrocycles: cyclic (crown ethers) and polycyclic (cryptands) polyether molecules are known to selectively coordinate very small and hard alkali metal cations (Li\(^+\), Na\(^+\) and K\(^+\)), enclosing them within the interior of donut-shaped rings (crowns) or cages (cryptands). Since the pioneering work in the 1960s on crown ethers by Pedersen\(^27\) and on cryptands by Lehn\(^28\), these host molecules have been used in organic and inorganic chemistry as phase transfer and solubilizing agents (especially for isolating peculiar compounds such as electrides, alkaliides and Zintl phases), as phase transfer catalysts, or as discerning host molecules in ion-selective electrodes. ‘Host–guest’ coordination has launched modern supramolecular chemistry and still stirs the imaginations of many scientists today, towards the development of such NCs in various solvents.

Consequently, the range of solvents and other media in which inorganic-capped NCs can be dispersed is greatly extended, and includes, for instance, common volatile organic solvents such as acetonitrile, acetone and toluene. This is achieved here by the host–guest coordination of MCC-capped NCs with macrocyclic ethers—crown ethers\(^29\) and cryptands\(^30\)—as host molecules for the alkali metal counter ions of MCCs, as depicted in Fig. 1. This approach turns the cationic side of the all-inorganic surface capping into an important factor for adjusting chemical and physical properties of NCs in the colloidal state and in thin films. Since the macrocycle molecule is neutral, and the stability of the resulting complex is highly solvent dependent, the macrocycle can be removed from the NC film by merely dipping it into the ‘wrong’ solvent. Instead of being removed, this organic corona can also be used for fine-tuning of the charge transport, as demonstrated here for the photoconductive properties of PbS NCs. In films of PbS/K\(_2\)As\(_2\), decyl-18-crown-6 NCs, high-infrared-photon detectivities of up to \(3.3 \times 10^{11}\) Jones with a fast response (3 dB cut-off at 3 kHz) at the wavelength of 1.200 nm were obtained. On the other hand, films of crown-free PbS/K\(_2\)As\(_2\) NCs are excessively conductive and therefore not suitable for observing efficient photocurrent generation, while the initial oleate-capped PbS NCs are fully insulating, precluding photocurrent measurements.

Results

Host–guest approach to tune the solubility of NCs. Multiple ether oxygen atoms are capable of coordinating metal cations. Owing to such dipole–ion interactions and geometric effects, cyclic (crown ethers) and polycyclic (cryptands) polyether molecules are known to selectively coordinate very small and hard alkali metal cations (Li\(^+\), Na\(^+\) and K\(^+\)), enclosing them within the interior of donut-shaped rings (crowns) or cages (cryptands). Since the pioneering work in the 1960s on crown ethers by Pedersen\(^27\) and on cryptands by Lehn\(^28\), these host molecules have been used in organic and inorganic chemistry as phase transfer and solubilizing agents (especially for isolating peculiar compounds such as electrides, alkaliides and Zintl phases), as phase transfer catalysts, or as discerning host molecules in ion-selective electrodes. ‘Host–guest’ coordination has launched modern supramolecular chemistry and still stirs the imaginations of many scientists today, towards the development of such NCs in various solvents.
boundless molecular structures and applications. Just as in Pedersen’s classic experiment demonstrating the utility of crown ethers for the solubilization of KMnO₄ in benzene, leading to so-called ‘purple’ benzene due to the formation of lipophilic [K(18-crown-6)]MnO₄, we demonstrate in this work a practical route to the solubilization of MCC-capped colloidal NCs in moderately polar or fully nonpolar solvents using similar approach. For one selected system, PbS NCs/K₃AsS₄ ligand/decyl-18-crown-6 macrocycle (Fig. 1), we present a detailed characterization and discuss the key aspects of this supramolecular approach and its effect on solubility in various solvents, self-assembly into long-range ordered superlattices and (photo)conductive properties. We also briefly discuss other NC–ligand–macrocycle combinations tested in the course of this work.

The initial carboxylate-capped 3.5–10 nm PbS NCs consist of a stoichiometric PbS core covered with a Pb-olate monolayer. After ligand exchange, elemental analysis of 4 nm PbS NCs using Rutherford backscattering spectrometry (RBS) indicates that the Pb-rich stoichiometry is retained, confirming that oleate anions are stripped off the surface and replaced with polydentate AsS₄⁻ ions. The remaining charge balance is provided by K⁺ cations. Such NCs are only dispersible in highly polar solvents (for example, MFA with a static dielectric constant that is a factor of 2 higher than that of water) since efficient electrostatic dissociation of K⁺ counter ions is required. Here we use these K⁺ cations to attach charge-neutral macrocycles via weak host–(macrocycle) guest (K⁺) interactions, as depicted in Fig. 1. As a result, the surface chemistry of the NCs becomes rather amphiphilic, that is, making them dispersible in both polar and nonpolar media, due to electrostatic and steric effects. The relative contributions of electrostatics and steric interactions between the NCs and with the solvent are dependent on the selection of macrocycle and solvent, as discussed below. In a typical procedure, inorganic-capped NCs are precipitated from MFA by adding acetonitrile or an acetonitrile/toluene mixture, separated by centrifuging, and redispersed in acetonitrile containing decyl-18-crown-6 (decyl-18C6, 0.1 M), instantly forming a colloidally stable solution. Acetonitrile is chosen as a solvent since it exhibits high stability constants of host–guest complexes, reported to be among the highest for all tested macrocycles. It is important to note that inorganic-capped NCs cannot be dispersed in acetonitrile in the absence of macrocycles. To disperse ‘crowned’ NCs in other solvents (Fig. 2a), acetonitrile is simply evaporated and the desired solvent is added (chlorobenzene, dichlorobenzene, acetone, pyridine, tetrahydrofuran and so on). The proposed methodology of preparing supramolecular assemblies from inorganic-capped NCs and macrocycle molecules is very versatile. In addition to PbS, we also successfully tested NCs of CdSe (3 nm), metals (Au and Pd, 3–4 nm) and core–shell PbS/CdS and CdSe/CdS NCs. These NCs were combined with either K₃AsS₄ ligands or with K₃SnS₄ and K₃GeS₄. In addition to decyl-18C6, a variety of commercially available macrocycles were also tested: dicyclohexano-18-crown-6 (DCH-18C6, from Merck), dibenzo-18-crown-6 (DB–18C6, from Merck), 4,4′(5″)-di-tertbutyldicyclohexano-18-crown-6 (DTB-DCH-18C6, from Aldrich, see Fig. 1), 1,10-didecyl-1,10 diaza-18-crown-6 (DD-diaza-18C6, from Aldrich), 4,7,13,16,21,24-hexaoxa-1,10-diazabicyclo[8.8.8]hexacosane (cryptand C222, from ABCR, see Fig. 1) and 5,6-benzo-4,7,13,16,21,24-hexaoxa-1,10-diazabicyclo[8.8.8]hexacosan-5-ene (benzyl-C222, from Aldrich). It is worth noting that a variety of alkylated lipophilic derivatives of cryptands have been reported in the literature and may be of great interest as well, such as C₁₀⁻ and C₁₄⁻-grafted C222 cryptands. All tested macrocycles allow the transfer of NCs into solvents of lower polarity (ε = 2–40) than the solvents in which pristine inorganic-capped NCs can initially be dispersed (ε = 40–191, the highest for MFA and

**Figure 2 | Dispersibility tuning of inorganic-capped colloidal NCs using a host-guest supramolecular approach.** (a) Stable colloidal solutions of various metallic and semiconductor K₃AsS₄-capped NCs in common polar and nonpolar solvents, obtained by solubilizing with decyl-18C6; (b) electrophoretic mobility measurements for oleate-, K₃AsS₄–, and K₃AsS₄/decyl-18C6-capped PbS NCs, and (c) the corresponding FTIR spectra of powdered samples. Solvents: MFA, N-methylformamide; CB, chlorobenzene; THF, tetrahydrofuran; PN, pyridine; AC, acetone; AN, acetonitrile; DCB, dichlorobenzene.
As expected, access to rather nonpolar solvents such as CB and DCE is provided only by macrocycles containing aliphatic and aromatic side groups, with DTB-DCH-18C6, and decyl-18C6 being the most successful among the examples tested herein. Electrostatic mobility measurements (Fig. 2b) indicate that with the addition of decyl-18-crown-6 onto the surface of the NCs, followed by redispersion in a solvent of lower polarity (ε < 10, for example, chlorobenzene, toluene and so on), the effective surface charge (measured as zeta-potential) is reduced due to ion pairing between the ligand anions and counter cations. In addition, a steric component is provided by the decyl chain. In a solvent of medium polarity, such as acetonitrile (acetonitrile, ε = 37.5), no ion pairing occurs and instead a highly negative surface potential is observed; and the role of the macrocycle in this case is to aid the electrostatic dissociation of the cation from the NC surface. In fact, corresponding zeta-potentials of PbS/K<sub>2</sub>As<sub>9</sub> NCs in MPA and PbS/K<sub>2</sub>As<sub>9</sub>/decyl-18C6 NCs in acetonitrile are similarly high: ca. −50 V (Supplementary Fig. 1). These examples illustrate the tunable amphiphility provided by the macrocycle and its dependence upon the solvent. The true decomposition. The host–guest supramolecular approach presented here does not establish any direct, strong interaction between the macrocycle and inorganic ligand and is much easier to dissociate when needed.

Self-organization of ‘crowned’ nanocrystals. An important problem in NC self-assembly is balancing the entropic factors (symmetry and packing density) with specific interactions such as Coulomb interactions of surface charges, dipole–dipole interactions and van der Waals forces. Colloids of inorganic-capped NCs are stabilized electrostatically, for example, through the formation of an electric double layer. Because of strong long-range electrostatic repulsion, such NCs rarely pack into long-range ordered structures, presumably because of a suppressed ability to carry out dynamic structural reorganization at short distances at a late stage of solvent evaporation. In contrast, short-range steric stabilization of organically passivated NCs allows the facile growth of various long-range ordered superlattices from nonpolar organic solvents upon simple drying. Both entropy and weak short-range energetic interactions (dispersion forces and dipole–dipole interactions) govern the assembly of colloidal NCs.

**Photoconductive properties of ‘crowned’ PbS NCs.** In the past several years, PbS NCs have become exceptional materials for optoelectronic applications, showing promise for photovoltaics as well as for photon detection in the visible and infrared spectral regions. In photoconductive devices, both an extremely high light responsivity (outperforming commercial devices) and a high speed of response to light have been reported. A general trend for most photoconductors is that higher sensitivities are accompanied by slower response (that is, a smaller bandwidth). This is consistent with the key role played by charge trapping states, controlled mainly by the surface chemistry, that are responsible for photoconductive gain effects in photoactive nanocrystalline materials. The photoconductive gain is the ratio between de-trapping and transit times of localized and mobile carriers, respectively. Thus, long-lived traps lead to multiple passes of mobile carriers through the device, increasing De-trapping time is known to increase with the depth of the trap states, with respect to the bottom of the conduction band (for electrons) or top of the valence band (for holes), in both bulk materials and in NCs. For PbS NCs, an empirical figure of merit G × B can be used. When G and B are measured under similar, ideally low light intensity, the G × B product for optimized PbS QD photodetectors should be in the range of 10<sup>4</sup>–10<sup>5</sup> Hz (refs 57, 58). Hence for the rational engineering of photodetector characteristics (sensitivity, detectivity and response time) control over the depth and density of trap states is critical. Judicious choice of inorganic capping ligands enables control over the trapping/de-trapping dynamics. Here we show that the organic corona of macrocycles can be used to tune G and B, while preserving the expected G × B product. The observations described below can be attributed to the combined effect of the improved short-to-medium range order in the arrangement of the NCs within the film, and adjustments of the mean interparticle spacing.

The resulting conductive and photoconductive properties of NC–ligand–macrocycle films are governed by all three adjustable components: composition of a NC, selection of inorganic ligand and macrocycle. Films of oleate-capped 3 nm PbS NCs are highly...
insulating, with conductivities typically below 10⁻¹¹ S cm⁻¹. K₂AsS₄/decyl-18C₆ or K₂AsS₄/C222 capping renders PbS NC films 10⁴-fold more conductive: 0.2 and 0.1 µS cm⁻¹, respectively. Fully inorganic capping with only K₂AsS₄ leads to even higher conductivities of up to 25 µS cm⁻¹, confirming the prevailing role of the ligand capping for charge transport. In the case of equally sized metallic Pd NCs, a further increase in conductivity is observed with both K₂AsS₄/decyl-18C₆ capping and with K₂AsS₄ as the sole ligand (20 and ~100 S cm⁻¹, respectively). This demonstrates the significance of the NC core, presumably contributing mainly to the overall carrier density of the composite, with metals offering the highest number of mobile carriers. AsS₄³⁻ is electronically insulating by itself, but owing to its small size, a thin capping layer of K₂AsS₄ mediates tunnelling and charge hopping within the NC film. While these general observations shed important insight on the conductive properties of NC–ligand–macrocycle films, charge transport in NC solids is a matter of high complexity and for more detail the reader is referred elsewhere⁶,⁷,¹¹.

For photocurrent experiments, PbS NCs/K₂AsS₄/decyl-18C₆ colloids were drop casted over interdigitated electrodes with 10 µm gaps and a total channel length of 43 mm (Fig. 4a). Upon illumination, PbS NC films exhibit high photocurrent, which is 100–1,000 times higher than the current in darkness (Fig. 4b). The responsivity spectrum (Fig. 4c) closely matches the near-infrared absorption spectrum, with a pronounced excitonic peak. The responsivity exhibits a highly linear dependence on the incident light intensity (Fig. 4d). The responsivity value of ~40 A W⁻¹ can be estimated at the excitonic peak of 1,200 nm, yielding G of at least 40. With the noise equivalent power of ~2 × 10⁻¹³ W Hz⁻¹/², a high detectivity of 3.3 × 10¹⁴ Jones is estimated at a wavelength of 1,200 nm. A value of G ≥ 1 indicates the localization of one carrier type in trap states. Sulphidoarsenates and other chalcogenide ligands were previously reported to act as hole traps⁶⁶. For instance, highly electronically passivated oleate-capped PbS NCs initially exhibit high-photoluminescence quantum yields of up to 40% and long radiative lifetimes on the order of hundreds of nanoseconds (Fig. 4e). Upon exchange with K₂AsS₄, photoluminescence quantum yields decrease by 1–2 orders of magnitude and average photoluminescence lifetimes are as short as one to several nanoseconds, in both solutions and films, with and without macrocycles attached (Supplementary Fig. 5). Hence, we conclude that trapping of holes does play an important role in this study as well and is a primary charge carrier trapping mechanism.

The de-trapping time is revealed by the frequency dependence of the photoresponse (Fig. 4f) and, as discussed above, provides a trade-off between the speed and sensitivity of the detector. A 3 dB cut-off is located at ~3 kHz. For comparison, we have recently reported highly sensitive all-inorganic PbS/AsS₃ photodetectors with higher sensitivities (200 A W⁻¹) and detectivities (2 × 10¹³ Jones) at the same wavelengths, but with a sacrifice of the response times; correspondingly, these exhibited a slow frequency response with a 3 dB value of just 10 Hz. G × B products of both detectors are 1–2 × 10¹⁵ Hz. The much faster photoresponse of PbS/K₂AsS₄/decyl-18C₆ films can be explained by the fact that discrete AsS₄³⁻ has a wider gap between the highest occupied molecular orbital and lowest unoccupied molecular orbital (HOMO-LUMO gap) and does not introduce as many nor such deep trap states as the much narrower gap amorphous AsS₃ semiconducting capping layer generated by decomposing (NH₄)₃AsS₃ ligands in our previous work⁵⁷.

Discussion

This study shows that weak (non-covalent and non-ionic) structure-specific interactions of high selectivity can lead to very useful adjustments of the chemical and physical properties of colloidal inorganic NCs and their solids. NC–ligand–crown and NC–ligand–cryptand assemblies exhibit broadly tunable dispersibility in various solvents, behaving as amphiphilic moieties due to both steric and electrostatic contributions to the interparticle interactions. This approach also assists in self-organization of NCs by switching the repulsive forces responsible for colloidal stabilization of inorganically capped NCs from long-range electrostatic to short-range steric. Besides...
Figure 4 | Photoconductive properties of ‘crowned’ PbS NCs. (a) Schematic of a solution processed photodetector; the pseudo-three-dimensional image of a glass substrate with interdigitated gold electrodes was rendered with Gwyddion software from a microphotograph of a real device; (b) current–voltage characteristics for ~3 nm PbS NCs capped with K$_3$AsS$_4$/decyl-18C6 in darkness (dashed line) and under white-light illumination of 20 mW cm$^{-2}$ (solid line); (c) responsivity spectrum of the same film; (d) intensity dependence of the photocurrent during illumination at the excitonic peak ($\lambda \approx 1,200$ nm); (e) time-resolved photoluminescence decays from films and solutions before and after ligand-exchange; (f) frequency dependence of the photocurrent for PbS NCs capped with K$_3$AsS$_4$/DC (blue) and PbS NCs with an amorphous As$_2$S$_3$ capping layer (green). Norm., normalized.

acting as surface-active reagents, macrocycles also have a profound effect on the electronic properties of NC solids. For instance, high responsivities of up to 40 A W$^{-1}$ and a high speed of operation (bandwidth of 3 kHz) can be demonstrated. While here we only utilized commercially available crown ethers, cryptands and their derivatives, a variety of other macrocycles may also potentially be used for such purposes. Examples of the latter include calixarenes, acyclic molecules such as oligo-ethylene-glycols, and many natural molecules (for example, valinomycin) that exhibit a known alkali metal-specific binding.

Methods

Synthesis of NCs. PbS NCs (3–11 nm) capped with oleic acid were synthesized from lead oleate and bis(trimethylsilyl)sulfide as reported elsewhere$^{32}$. The size of the PbS NCs was controlled by varying the molar ratio of oleic acid to octadecene. Wurtzite-phase CdSe NCs (3 nm) were synthesized according to the procedure reported by the Bawendi group$^{63}$, OAm-capped Au NCs (3.8 nm)$^{64}$ and Pd NCs (4 nm)$^{65}$ were also synthesized as reported elsewhere.

Ligand exchange with K$_3$AsS$_4$. K$_3$AsS$_4$ was prepared by mixing stoichiometric quantities of As$_2$S$_3$ and K$_3$S in water for 10 h and isolated by adding acetonitrile, followed by drying. Freshly prepared K$_3$AsS$_4$ (5 mg) was dissolved in 1 ml of MFA and mixed with 5 mg of PbS NCs dispersed in 1 ml of hexane. The two-phase system was stirred vigorously for 1 h. The NCs in MFA were rinsed three times with 5–6 ml of hexane and filtered through a 0.2 µm PTFE filter.

Host–guest chemistry with macrocycles. NCs in MFA (100 µl, 5 mg ml$^{-1}$) were precipitated by the addition of 0.4–1 ml of toluene or toluene/acetonitrile mixture, followed by centrifugation. Then, 40–100 µl of the solution of macrocyclic polyethers in acetonitrile (0.05–0.1 M) were added to redisperse the NCs. Acetonitrile was removed by drying under vacuum. The NCs were then redispersed in DCB. To remove excess macrocycles, the NCs were precipitated with 0.1–0.5 ml of ethanol or an ethanol/hexane mixture. Thus obtained NCs were fully dispersible in nonpolar solvents such as CB, DCB, THF, PN and so on.

Preparation of NC superlattices. A solution of NC-macrocycle assemblies in CB or DCB (20–30 µl) with a NC concentration of ~10$^{14}$–10$^{15}$ NCs per ml was placed onto a carbon-coated TEM grid and dried at 50–55 °C in the closed chamber under nitrogen for several hours.

Characterization. TEM images were recorded using a JEOL JEM-2200FS microscope operated at 200 kV. Electrophotometric mobility and dynamic light scattering measurements were performed using a Zetasizer Nano-ZS instrument (Malvern Instruments, Inc.). Colloidal solutions were tested using a dip-cell setup with Pd electrodes. FTIR spectra were measured using a Thermo Scientific Nicolet iS5 FTIR spectrometer. RBS was performed at the ETH Laboratory of Ion Beam

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Physics. Measurements were conducted using a 2 MeV 4He beam and a silicon PIN diode detector under an angle of 168°. The collected RBS data were analysed using the software RUMP. NMR spectra were acquired on a Bruker 11.7 T spectrometer equipped with PABBO gradient field three channel liquid-state probe head for 5 mm NMR tubes. Experiments were performed at room temperature in non-spinning mode while locking the deuteron signal of the CD2CN. The 90° pulse and the recovery delay for 1H was 11.0 μs and 5 s, respectively 9.5 μs and 2 s for 13C. One-dimensional spectral single pulse sequence acquiring 1 scan for 1H and 1,024 scans for 13C. Chemical shifts were referenced to Si(CH3)4 as an external standard.

Photoconductivity measurements were performed with illumination from a tungsten lamp dispersed by an Acton SP2150 (Roper Scientific) spectograph/monochromator. For measurements of the responsivity spectra, the light was modulated by a mechanical chopper at a frequency of 33 Hz. The sample was biased using a Keithley 236 SMU by 10–20 V, limited to obtain stable dark currents

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Author contributions
M.I.B. and M.V.K. conceived the work; M.I.B. synthesized and characterized all materials; S.Y. studied photoconductive properties; L.P. performed NMR measurements. All authors discussed the results and co-wrote the manuscript.

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