**Introduction**

Diatoms are one of the most common phytoplankton in aquatic environments, with an estimated diversity of 100000 species. These free-living, unicellular primary producers provide oxygen via photosynthesis and are crucial for regulating the biogeochemical cycle of silicon. The hard siliceous structure (frustule) surrounding diatoms has been utilized in bio-nanotechnology applications as a cross-linking agent for active biomolecules; e.g., in immunoprecipitation. In recent years, diatoms have also been targeted for biofuel production owing to the ease of mass culturing and their high fat content. Given their ecological and potential economic value, it is important to understand the evolution of lipid biosynthesis in diatoms. The evolutionary history of diatoms is however complicated by likely multiple endosymbioses involving the capture of foreign cells and horizontal gene transfer into the host genome. Using a phylogenomic approach, we assessed the evolutionary history of 12 diatom genes putatively encoding functions related to lipid biosynthesis. We found evidence of gene transfer likely from a green algal source for seven of these genes, with the remaining showing either vertical inheritance or evolutionary histories too complicated to interpret given current genome data. The functions of horizontally transferred genes encompass all aspects of lipid biosynthesis (initiation, biosynthesis, and desaturation of fatty acids) as well as fatty acid elongation, and are not restricted to plastid-targeted proteins. Our findings demonstrate that the transfer, duplication, and subfunctionalization of genes were key steps in the evolution of lipid biosynthesis in diatoms and other photosynthetic eukaryotes. This target pathway for biofuel research is highly chimeric and surprisingly, our results suggest that research done on related genes in green algae may have application to diatom models.

Diatoms are highly successful marine and freshwater algae that contribute up to 20% of global carbon fixation. These species are leading candidates for biofuel production owing to ease of culturing and high fatty acid content. To assist in strain improvement and downstream applications for potential use as a biofuel, it is important to understand the evolution of lipid biosynthesis in diatoms. The evolutionary history of diatoms is however complicated by likely multiple endosymbioses involving the capture of foreign cells and horizontal gene transfer into the host genome. Using a phylogenomic approach, we assessed the evolutionary history of 12 diatom genes putatively encoding functions related to lipid biosynthesis. We found evidence of gene transfer likely from a green algal source for seven of these genes, with the remaining showing either vertical inheritance or evolutionary histories too complicated to interpret given current genome data. The functions of horizontally transferred genes encompass all aspects of lipid biosynthesis (initiation, biosynthesis, and desaturation of fatty acids) as well as fatty acid elongation, and are not restricted to plastid-targeted proteins. Our findings demonstrate that the transfer, duplication, and subfunctionalization of genes were key steps in the evolution of lipid biosynthesis in diatoms and other photosynthetic eukaryotes. This target pathway for biofuel research is highly chimeric and surprisingly, our results suggest that research done on related genes in green algae may have application to diatom models.
phylogenomic study demonstrated that a substantial number of genes in diatoms have arisen from green algal sources. This surprising result may be explained by an additional (cryptic) endosymbiosis in the ancestor of diatoms and other "chromalveolates." Under this (still controversial) scenario, the plastid of the captured green alga was lost but endosymbiont genes that were transferred to the "chromalveolate" nucleus remain as "footprints" of this association. Alternatively, all "green genes" that have been discovered in diatoms and in other taxa such as the stramenopile *Ectocarpus siliculosus*, the dinoflagellate *Alexandrium tamarense*, the cryptophyte *Bigelowiella natans* and the chlorarachniophyte *Guillardia theta*, and the haptophyte *Emiliania huxleyi* may be the by-product of dozens of independent horizontal gene transfer (HGT) events. Although proving unambiguously either of these hypotheses is challenging with current data, the cryptic endosymbiosis hypotheses provides a testable prediction: there should be a variety of plastid-targeted proteins encoded by green genes, suggesting these pre-existing functions associated with a green plastid have been co-opted to expand the metabolic capacity of the red algal-derived organelle. An example of this is provided by the existence of prasinophyte (green algal)-derived genes for the photo-protective xanthophyll cycle in "chromalveolates" that provides high photosynthetic efficiency under fluctuating light conditions.

Beyond plastid functions, a recent analysis shows a mosaic (red and or green algal) origin of membrane transporters in diatoms with the majority of genes putatively derived from green sources. These results demonstrate more broadly the role of genetic transfer as a driver of environmental adaption and cell evolution. In addition, the genomes of microbial eukaryotes, particularly "chromalveolates," appear to host a large number of horizontally transferred genes from various prokaryote and eukaryote lineages. Therefore, the evolutionary history of FAB in diatoms is expected to be complex with potentially multiple different genetic inputs including red and/or green algal donors, as a result of endosymbiotic gene transfer (EGT) as well as HGT from these and other sources. Here we applied a phylogenetic approach to examine the evolutionary history of a representative set of genes in diatoms that are implicated in the plastid FAB system to assess the impact of E/HGT on FAB evolution in diatoms and microbial eukaryotes in general.

**Results and Discussion**

We identified 12 genes in diatoms that have putative functions implicated across all three major phases in FAB: (a) the initiation of FAB, (b) the synthesis of fatty acid chains, and (c) the desaturation of fatty acid chains, as well as fatty acid elongation. Table 1 shows the list of these genes with their putative origins and presence/absence of protein-targeting signals to the plastid. The phylogeny of each of these gene families is shown in Figure S1, following the order in Table 1. Interestingly using our approach, seven of the 12 proteins have a putative green algal origin, and the remainder shows convoluted evolutionary histories that are too difficult to decipher with available data. We found evidence of a plastid-targeting signal in most of the enzymes encoded by these nuclear encoded FAB-related genes, consistent with the hypothesis that de novo FAB occurs in the plastid in photosynthetic eukaryotes.

**Initiation of fatty acid biosynthesis**

One of the most striking examples of green algal derived genes is the *Acc* gene that encodes acetyl-CoA carboxylase (ACCcase, EC 6.4.1.2), an enzyme critical for the first dedicated step of Type II FAB. Two of the key intermediate molecules in FAB are acetyl-CoA and malonyl-CoA. Malonyl-CoA is required for the production of the backbone structure of fatty acid chains. The enzyme ACCcase is involved in the carboxylation of acetyl-CoA, yielding malonyl-CoA. The inhibition of ACCcase production leads to cell death, making the enzyme a useful target for commercial herbicides in plants. Expression of the *Acc* gene has been reported to regulate fatty acid composition in plant seeds.

ACCcase consists of four protein subunits: biotin carboxylase, biotin carboxyl carrier protein, and two subunits of carboxyltransferase. In most plastid-bearing organisms, two types of ACCcase exist in the cells: (a) a plastidic, heteromeric ACCcase that contains two different subunits of carboxyltransferase (α and β), and (b) a cytosolic, homomeric ACCcase that contains two identical carboxyltransferase subunits. Plastidic heteromeric ACCcase is essential for the synthesis of fatty acids in the majority of photosynthetic organisms, except in the grass family in which it is lacking. In contrast, homomeric ACCcase that is exclusively cytosolic in most photosynthetic organisms is
lar, a prasinophyte. 15 Focusing on the prasinophyte lineages in an earlier cryptic endosymbiosis with a green alga, in particular, delta-11 palmitoyl coa desaturase 224000772 1.14.19.5 No FabG (predicted) 224005350 1.1.1.100 No FabH β-ketoacyl-ACP synthase III 224013337 2.3.1.41 Yes Fab3+ Omega-3 fatty acid desaturase 224002771 1.14.99.- Yes Fab? Fatty acid desaturase (predicted) 224014800 1.14.99.- No Delta-6 FAD-like* Delta-6 FAD-like protein 223999591 1.14.99.- Yes fab11* Delta-11 palmitoyl CoA desaturase 224000772 1.14.19.5 No Elo1 Polysaturated fatty acid elongase 1 75108642 1.14.99.- No Elo2 Polysaturated fatty acid elongase 2 224005955 1.14.99.- No Elo3 Polysaturated fatty acid elongase 3 220970795 1.14.99.- No

For each gene, the corresponding encoded/predicted protein from Thalassiosira pseudonana is used as query (GenBank GI number shown). The corresponding Enzyme Commission number and prediction of plastid-targeting signal for each of the encoded proteins is shown. Genes marked with an asterisk (*) show evidence of putative green algal origin based on our phylogenetic analysis.

Table 1. List of FAB-related genes in diatoms that are used in this study

| Gene  | Encoded protein or putative function | Query (GI)     | EC number       | Plastid-targeting signal |
|-------|-------------------------------------|----------------|-----------------|--------------------------|
| Acc*  | Acetyl-CoA carboxylase               | 224004864      | 6.4.1.2         | Yes                      |
| ACS*  | Acyl-CoA synthetase                  | 224003657      | 2.3.1.86        | No                       |
| fabD  | Malonyl-CoA-ACP transacylase         | 224001858      | 2.3.1.39        | Yes                      |
| fabG* | 3-ketoacyl-ACP reductase             | 224005350      | 1.1.1.100       | No                       |
| fabH  | β-ketoacyl-ACP synthase III          | 224013337      | 2.3.1.41        | Yes                      |
| fab3+ | Omega-3 fatty acid desaturase        | 224002771      | 1.14.99.-       | Yes                      |
| fab?  | Fatty acid desaturase (predicted)    | 224014800      | 1.14.99.-       | No                       |

Delta-6 FAD-like* Delta-6 FAD-like protein 223999591 1.14.99.- Yes fab11* Delta-11 palmitoyl CoA desaturase 224000772 1.14.19.5 No Elo1 Polysaturated fatty acid elongase 1 75108642 1.14.99.- No Elo2 Polysaturated fatty acid elongase 2 224005955 1.14.99.- No Elo3 Polysaturated fatty acid elongase 3 220970795 1.14.99.- No

For each gene, the corresponding encoded/predicted protein from Thalassiosira pseudonana is used as query (GenBank GI number shown). The corresponding Enzyme Commission number and prediction of plastid-targeting signal for each of the encoded proteins is shown. Genes marked with an asterisk (*) show evidence of putative green algal origin based on our phylogenetic analysis.

important for the synthesis of a number of other metabolites; e.g., flavonoids, anthocyanins, malonated amino acids, and ethylene precursors.33

Figure 2A shows the phylogeny of the diatom ACCase protein family (complete tree shown in Fig. S1A). For the FAB-related plastid-targeted ACCase, we observe monophyly (bootstrap support 86%) of diatoms (Phaeodactylum tricornutum, Thalassiosira pseudonana, and Fragilariopsis cylindrus) and prasinophyte green algae (Ostreococcus and Micromonas), in the presence of other algal lineages such as the red alga Porphyridium purpureum. This phylogeny is consistent with (i.e., does not prove) an algal origin of plastidic ACCase in “chromalveolates” arose via the transfer (e.g., EGT) of the Acc gene that had undergone subfunctionalization in prasinophytes and was tailored specifically for Type II FAB within the plastid. In contrast, the gene copy encoding cytosolic ACCase within plastid-bearing organisms appears to have been vertically inherited in “chromalveolates.”

Synthesis of fatty acid chains

In the Type II system, the synthesis of fatty acid chains is a sequential repetitive process involving a series of enzymes, using acyl carrier protein (ACP) as a carrier molecule and the malonyl side chain as donor to sequentially add two-carbon units to the chain.35,36 Multiple pathways are involved in the initiation of FAB and the one relevant to this study is shown in Figure 1. Figure S1D and S1E show the phylogenies of two enzymes in diatoms that are involved in this process, respectively, for 3-ketoacyl-ACP reductase (FabG, EC 1.1.1.100) and β-ketoacyl-ACP synthase III (FabH, EC 2.3.1.41). FabH is the key enzyme in the synthesis of β-ketoacyl-ACP, which, in an intermediate step in FAB, is then converted into β-hydroxyacyl-ACP by FabG,36 as shown in Figure 1. We found no clear evidence of HGT in the evolutionary history of FabH, indicating vertical inheritance (Fig. S1E), in which the diatom genes were within a strongly supported clade with the other stramenopiles, rhiarians, and the haptophyte Emiliania huxleyi (the “chromalveolates”; bootstrap
76%), with substantial support of a sister lineage of alveolates, and a strongly supported Viridiplantae clade (bootstrap 80%) elsewhere on the tree. Interestingly, the FabG proteins in diatoms (together with other stramenopiles and Rhizaria) are clustered within a strongly supported monophyletic group (bootstrap 86%) with prasinophytes (Fig. S1D). In the absence of red algal and other green algal homologs in this tree, our results suggest that FabG in diatoms shares an affiliation with prasinophytes, an observation that is plausibly explained by HGT or less likely, by gene loss events in all other lineages. The third important enzyme in this group is the malonyl-CoA:ACP transacylase (FabD, EC 2.3.1.39) that converts malonyl-CoA into malonyl-ACP.36,37 This gene, while showing likely vertical inheritance in diatoms (Fig. S1C) occurs as non-plastid-targeted proteins in...
prasinophytes that are clustered in a well-supported monophyletic relationship (85%) with the dinoflagellate *Alexandrium tamarense* (and the choanoflagellates *Monosiga*), suggesting a putative E/HGT association between the green algal and the alveolate lineages. The prasinophytes, which represent a basal lineage of green algae, may be sources of these genes, although this aspect remains to be validated.

**Desaturation of fatty acid chains**

Unsaturated fatty acids are pivotal components in cell membranes and therefore crucial for cell survival. In photosynthetic organisms, a variety of fatty acid desaturases (FADs) are present in their genomes. FADs are highly labile,38 many of which have stringent specificity; i.e., location on the carbon chain (regiospecificity) and select substrates.39 At the molecular level, an amino acid difference of as few as five residues can change the regiospecificity of the enzymatic reaction of the protein. Previous work has demonstrated that different sets of FADs can operate in different pathways and subcellular compartments,39,40 therefore enzymes with the same or very similar functions within the diatoms (or any organism) can have different evolutionary histories.41 Therefore, even using our stringent phylogenomic approach, some of the highly similar proteins (e.g., of different groups of FADs) could be included in a phylogenetic tree.

As shown in **Figure S1F**, we found evidence of red and/or green algal origin of the diatom *fad3* gene, which encodes omega-3 FAD, an important enzyme that converts linoleic (18:2) into linolenic (18:3) acids, as shown in two separate monophyletic clades at bootstrap support respectively, of 99% and 93%. Upon closer inspection, the proteins encoded in *T. pseudonana* that show a putative green algal origin are also annotated as hypothetical proteins with putative omega-6 FAD function, as encoded by the *fad6* gene. The phylogenetic tree of another predicted fatty acid desaturase in diatoms (**Fig. S1G**) shows no clear evidence of HGT. This finding suggests divergence of protein functions based on acquired genetic material from different sources, but this aspect remains to be validated as more genome data and better annotations become available. **Figure S1H** shows the phylogeny of the delta-6 FAD-like gene family (also known as the FADS2). The delta-6 FAD-like domain (GenBank accession CD03506) includes integral membrane enzymes of both delta-6 and delta-8. We find within this gene family an association (bootstrap 69%), although not as strong as the commonly accepted threshold of ≥70%, between prasinophytes and “chromalveolates” (diatoms and haptophytes) lineages, with other diatom and green algal copies elsewhere in the tree. Our findings highlight the complicated issues associated with inferring phylogenetic trees from the divergent protein families of FADs.

There are two distinct types of FADs: (a) ACP (soluble) desaturases found only in plants and certain bacteria, and (b) membrane-bound (insoluble) desaturases found in most aerobic organisms including bacteria, fungi, plants, and animals.42 In plants, ACP desaturases are associated with the plastid, whereas membrane-bound desaturases are found in both the plastid and the endoplasmic reticulum.43 It is intriguing that ACP desaturases are not found in extant cyanobacteria (**Fig. S1D**). Because cyanobacteria gave rise to plastids, ACP desaturases in plastid-bearing organisms can be explained by HGT from non-cyanobacterial lineages into ancestral algal lineages or gene loss within cyanobacteria after establishment of the photosynthetic eukaryotes.42 Here we report a number of other green algal derived genes in diatoms that are involved in fatty acid biosynthesis, desaturation, and elongation, including *fad11*, encoding FAD11 that desaturates palmitic acids (**Fig. S1I**), *ACS* encoding acyl-CoA synthetase (**Fig. S1B**), and *ELO2* (**Fig. S1K**) encoding membrane-bound polyunsaturated fatty acid elongase (the ELO superfamily). The other two diatom genes within the ELO superfamily, *ELO1* (**Fig. S1J**) and *ELO3* (**Fig. S1L**), show possible vertical inheritance or an evolutionary history that currently precludes an easy explanation.

The “fat revolution” in diatoms

Our findings demonstrate that HGT, duplication, and subfunctionalization of genes are key evolutionary processes in the evolution of the Type II FAB system within photosynthetic eukaryotes. In diatoms, and in potentially most, if not all, stramenopiles, some of the key genes involved in Type II FAB system and desaturation of fatty acids trace their origin to green algal (likely prasinophyte) sources. The finding that components of key plastid functions such as FAB and photo-protection22 in diatoms and other “chromalveolates” are prasinophyte-derived is consistent with (but does not prove) the cryptic endosymbiosis hypothesis.15 It is also conceivable that prasinophytes provided a rich and easily accessible source of genes for ancestral “chromalveolates” lineage(s) and underwent massive levels of HGT into these chlorophyll *c*-containing taxa. This resulted in a highly chimeric red plastid proteome and “chromalveolates” nuclear genome. Additional sources of evidence are needed to test these ideas.

It should be noted that by relying on phylogenomics we make the implicit assumption that genes are transferred as a whole during an E/HGT event. The modularity of HGT,44 degree of conservation within the gene family, and genome rearrangement following HGT could affect the delineation of HGT history using phylogenetic comparisons; in the latter cases, alternative phylogenomic approach might be useful.45 Furthermore, evolutionary analysis of FAD is complicated by the duplicated nature of these genes and the retention of high sequence similarity among homologs with different regiospecificities, which is the basis for gene annotation. Comparative biochemical validation of FAB pathways among photosynthetic “chromalveolate” and algal species will be a useful test of our results. Assessing functional biases among the horizontally transferred genes into the diatom genomes; e.g., whether genes implicated in the FAB system are more likely to have been transferred than those genes involved in other metabolic or cellular processes, can provide invaluable insights into how FAB evolved in diatoms. Nevertheless, given that FAB is a process crucial to the survival of organisms (in addition to photosynthesis in algae and plants), our results clearly demonstrate that endosymbiosis plays a more significant role in genome evolution and innovation of “chromalveolates” than previously thought. This includes the pathways that hold promise for providing biofuels in the near future.
Materials and Methods

Data

Twelve protein sequences with functions implicated in FAB, as predicted from the genome of Thalassiothrix pseudonana CMP133546 (Table 1), were used to query genome data. The resulting alignments were used for phylogenetic analysis.

Phylogenetic analysis

We applied a phylogenetic approach adopted from Chan et al.47 for inferring E/HGT events. For each of the protein sequences, we searched for putative homologs within a local database similar to the one used a earlier study43 but with the updated NCBI RefSeq release 51 (http://www.ncbi.nlm.nih.gov/RefSeq). This database, comprising ca. 17 million protein sequences, include other genomic sources of predicted proteins (http://www.jgi.doe.gov/) and EST data (http://www.ncbi.nlm.nih.gov/dbEST/; http://rboestdb.bcm.umontreal.ca/) from other algae and protists. Other published transcriptome (or genome, where available) data from red algae Cyanidioschyzon merolae,48 Porphyridium purpureum,49 Calliarthron tuberculatum,50 Chondrus crispus,50 and Galdieria sulphuraria51 the stramenopile Ectocarpus siliculosus,51 and the dinoflagellate Alexandrium tamarense were also included in the database. Homologous protein families were aligned using MUSCLE52 at default settings, and phylogenies were reconstructed using RAxML53 using the WAG54 model of amino acid substitution. E/HGT in diatoms from other algae were inferred when strongly supported monophyly (bootstrap value ≥75%) was observed for a sister group relationship between diatoms (with or without other “chromalveolates”) and lineages of green and/ or red algae.

Prediction of protein subcellular targets

Subcellular targets of all stramenopile proteins (including those of the diatoms) were determined using HECTOR (http://www.sb-roscoff.fr/hector/).55

Disclosure of Potential Conflicts of Interest

No potential conflicts of interest were disclosed.

Acknowledgments

CXC is supported by a ResTeach Fellowship from The University of Queensland. This research was partially supported by a grant from the National Science Foundation awarded to DB (0936884).

Supplemental Materials

Supplemental materials may be found here: www.landesbioscience.com/journals/mge/article/27313

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