Collective Förster energy transfer modified by the planar metallic mirror

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We present a theory of the Förster energy transfer between the arrays of donor and acceptor molecules lying on the planar metallic mirror. We reveal strong modification of the effective transfer rate by the mirror in the incoherent pumping regime. The rate can be either suppressed or enhanced depending on the relative positions between acceptor and donor arrays. The strong modification of the transfer rate is a collective effect, mediated by the light-induced coupling between the donors; it is absent in the single donor model.

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I. INTRODUCTION

Förster energy transfer processes are now actively studied in various fields that bridge physics, biology and medicine. The energy is transferred from the excited (donor) system to the acceptor one via the electromagnetic interaction. The donor and acceptor systems may be realized as quantum dots and quantum wells, biological molecules, defects in semiconductor. Typically, the range of the Förster interaction is on the order of several nm.

One can try to control the efficiency of the transfer by embedding the donors and acceptors into the structured electromagnetic environment. Contradicting experimental reports of the enhanced, modulated, independent, and suppressed transfer are available in literature. In the particular case of Ref. 13 the transfer has been studied for the dye molecules embedded in the thin polymer film. The speedup of the donor emission decay in the presence of the acceptors has been attributed to the energy transfer rate. The transfer rate has been suppressed when the film was put on top of the metallic mirror. As has been pointed out in Ref. 12 for the planar mirror this effect cannot be explained by the existing well-developed theory of energy transfer between individual independent pairs of donors and acceptors. It has been suggested in Ref. 13 that the observed transfer suppression can be due to the collective effects, since the concentration of the donor dye molecules was quite large and the intermolecular distance was on the order of several nm. However, any further theoretical explanation was missing in Ref. 13. Developing a theory of collective energy transfer from donors to acceptors in the presence of a planar mirror is the main goal of the current study. Recently, similar theory has been put forward by Pustovit, Urbas and Shahbazyan in Ref. 18 for molecules surrounding the spherical metallic particles. While the general approach is similar, the electromagnetic modes for the spherical geometry are quite different, so the results of Ref. 18 cannot be applied to our system directly. Hence, there is a growing demand from both theory and experiment to elaborate on the energy transfer in planar plasmonic systems with large molecule concentration.

In the rest of the paper we present the model (Sec. II) for the collective transfer and show, that the transfer rate can be strongly modified by the mirror (Sec. III).

II. MODEL

The system under consideration is schematically depicted in Fig. 1. It consists of the metallic substrate with the permittivity $\varepsilon_{\text{subs}}$ bounded from top with the semi-infinite dielectric matrix with the permittivity $\varepsilon_{\text{b}}$. Arrays of donor and acceptor molecules form square lattices with the period $a$, that are parallel to the substrate. The donor array is placed at the height $h$ from the substrate, the acceptor array lies in the plane $z = h + \delta h$ at the vertical distance $\delta h$ from the acceptor array. The $x$ and $y$ axes are parallel to the interface plane $z = 0$.

We use the semiclassical coupled dipole approximation with the random source terms, similarly to the approach
in Refs. [18,19]
\[
\frac{1}{\alpha_D} p_{D,j} = f_{D,j} + \sum_{j' \neq j} G(r_{D,j} - r_{D,j'}) p_{D,j'},
\]
(1)
\[
\frac{1}{\alpha_A} p_{A,j} = \sum_{j'} G(r_{A,j} - r_{D,j'}) p_{D,j'}.
\]
(2)

Here \( p_{D,j} \) (\( p_{A,j} \)) are the dipole moment of the donors (acceptors) located at the points \( r_{D,j} \) (\( r_{A,j} \)) and having the polarizabilities \( \alpha_D \) (\( \alpha_A \)), \( G(\mathbf{r}, \mathbf{r}') \) is the tensor Green function
\[
\nabla \times \nabla \times G(\mathbf{r}, \mathbf{r}') = \left( \frac{\omega}{c} \right)^2 \varepsilon(\mathbf{r}) G(\mathbf{r}, \mathbf{r}') + 4\pi \left( \frac{\omega}{c} \right)^2 i \delta(\mathbf{r} - \mathbf{r}'),
\]
(4)
accounting for the presence of the metallic mirror\(^{20}\) and \( f_{D,j} \) are the random source terms. We assume incoherent stationary pumping of the donor array, in this case the realization-averaged values of \( f_{D,j} \) are equal to zero. The pumping to different molecules can be considered independent and is characterized by the following correlator:
\[
\langle f_{D,j,\mu} f_{D,j',\nu} \rangle = \frac{S}{2\pi} \delta_{\mu\nu} \delta_{jj'} \delta(\omega - \omega'),
\]
(5)
here \( S \) is the effective rate of the generation of excitons in donors\(^{[22]}\) \( \mu, \nu = x, y, z \) and the brackets denote the averaging over the realizations of the random sources. The polarizabilities have the resonant form,
\[
\alpha_M = \frac{d_M^2}{\hbar[\omega_M - \omega - i(\Gamma_M + \Gamma_{0,M})]} \quad M = D, A,
\]
(6)
where \( d_M \) are the matrix elements of the dipole moment for donors and acceptors, \( \omega_M \) are the resonant frequencies and \( \Gamma_M \) are the phenomenological nonradiative decay rates. The radiative decay rate \( \Gamma_{0,M} \) is then described by the standard expression\(^{[23]}\)
\[
\Gamma_{0,M} = \frac{2\omega_M^3 d_M^2 \sqrt{\varepsilon_0}}{3\hbar c^3}.
\]
(7)

Our goal is to determine the stationary population of acceptors induced as a result of the pumping of the donors and the energy transfer from donors to acceptors. Importantly, in Eq. (1) we include the electromagnetic coupling between the donor molecules (second term in the right hand side). This coupling leads to the formation of the collective states, eigenmodes of Eq. (1). Importantly, the collective effects are manifested even for incoherent pumping. The only necessary conditions are the weak inhomogeneous broadening in the donor array and long enough lifetime of the donor states. In the linear-in-pumping regime the pumping determines only the population of the different eigenmodes, but not their spatial structure. In order to simplify the model we neglect the electromagnetic coupling between acceptors in Eq. (2).

The reason is that the energy is transferred to the excited states of the acceptors. The nonradiative decay rate \( \Gamma_A \) of the excited acceptor state is assumed enough fast to quench both the acceptor-acceptor coupling and possible transfer of the energy back to the donors.

We will first calculate the population of donors by solving Eq. (1). This can be accomplished by expanding the donor dipole momenta over the Bloch modes, characterized by the in-plane Bloch wave vector \( \mathbf{k} \):
\[
P_{D,j} = \sum_k e^{i\mathbf{k}\mathbf{r}_{D,j}} \alpha_{D,k} f_{D,j}.
\]
(9)
Substituting Eq. (9) into Eq. (1) we obtain a system of independent equations for the Bloch amplitudes \( p_{D,k} \). Solving them and substituting the result back to Eq. (8) we obtain
\[
P_{D,j} = \sum_k \sum_{j'} e^{i\mathbf{k}(\mathbf{r}_{D,j} - \mathbf{r}_{D,j'})} \tilde{\alpha}_{D,k} f_{D,j'}.
\]
(10)
where
\[
\tilde{\alpha}_{D,k} = \alpha_D \frac{1}{1 - \alpha_D C_{D,k}},
\]
(10)
and
\[
C_{D,k} = \sum_{jj' \neq 0} e^{-i\mathbf{k}\mathbf{r}_{D,j}} G(-\mathbf{r}_{D,j}).
\]
(11)
is the interaction constant for the planar donor array\(^{[22]}\), Eq. (9) fully accounts for the hybridization between the donor array and the electromagnetic modes of the metallic substrate, including the plasmonic modes. This is encoded in the polarizabilities of the Bloch modes \( \tilde{\alpha}_{D,k} \), which have the form of the bare donor polarizability \( \alpha_D \) renormalized by the interaction with the mirror. The interaction constant \( C_{D,k} \) can be evaluated either by Floquet summation\(^{[24]}\) or by an Ewald-type summation\(^{[25]}\); here we resort to the latter procedure.

The average occupation number of the donor molecules can be introduced as the averaged squared amplitude of the donor dipole momentum divided by the dipole momentum matrix element:
\[
N_D \equiv \frac{1}{|D_0|^2} \langle |P_D(t)|^2 \rangle
= \frac{1}{|D_0|^2} \int \int \frac{d\omega d\omega'}{(2\pi)^2} e^{i(\omega-\omega)t} \langle P_{D,j}^*(\omega) \cdot P_{D,j}(\omega') \rangle.
\]
(12)
Substituting Eq. (9) into Eq. (12) and using the random source correlation function Eq. (5) we obtain
\[
N_D = S \int \frac{d\omega}{2\pi} \sum_k \text{Tr} \tilde{\alpha}_{D,k}^* \tilde{\alpha}_{D,k}^+. \quad (13)
\]
Now we will assume weak coupling between the donor array and the mirror. In this approximation the interaction with the mirror leads only to the modification of the
donor lifetime. The complex polarizabilities \( \hat{\alpha}_{D,k} \) then have the form
\[
\hat{\alpha}_{D,k} = \frac{d^2_D}{\hbar[\omega_M - \omega - i(\Gamma_D + F_k F_0,D)]},
\]
where
\[
F_k = 1 + \frac{3}{2(\omega_D/c)^3} \text{Im} C_k(\omega_D),
\]
is the tensor analogue of the Purcell factor, describing the lifetime modification. The matrix \( F_k \) can be diagonalized,
\[
F_{k,\mu\nu} = \sum_{\lambda=1}^{3} V_{k,\mu\lambda} f_k,\lambda [V_k^{-1}]_{\lambda\nu}, \quad \mu, \nu = x, y, z, \quad (16)
\]
where \( f_k,\gamma \) are the Purcell factors characterizing the decay of the Bloch modes with different polarizations. There exist three possible polarizations for each value of \( k \). Note, that the matrix \( V_k \) in Eq. (16) is in general case neither Hermitian nor symmetric. After the frequency integration in Eq. (13) is carried out, the result for the donor population assumes the form
\[
N_D = S \sum_{k,\lambda} \tau_{k,\lambda}
\]
where
\[
\tau_{k,\lambda} = \frac{1}{2(\Gamma_D + f_k,\lambda V_k^{*}_{k,\mu\lambda}[V_k^{-1}]_{\mu\lambda})}
\]
is the effective lifetime of the Bloch mode and the summation over the dummy index \( \mu \) is assumed. Eq. (17) has a clear physical meaning: the total population of the donors is a sum over the populations of different eigenmodes. Each eigenmode population is given by the product of the pumping rate and the lifetime. Since donors are pumped in an uncorrelated way, the pumping constant is independent of the Bloch vector \( k \). However, the lifetimes \( \tau_{k,\lambda} \) can differ for different modes.

Now we proceed to the calculation of the acceptor population. To this end we substitute Eq. (9) into Eq. (2) and calculate the correlation function
\[
N_A \equiv \frac{1}{|d_A|^2} \langle |p_A(0)|^2 \rangle = \frac{1}{|d_A|^2} \int \frac{d\omega}{(2\pi)^2} \int \frac{d\omega'}{(2\pi)^2} e^{i(\omega - \omega')t} \langle \mathbf{P}_{A,j}(\omega) \cdot \mathbf{P}_{A,j}(\omega') \rangle .
\]
This yields (cf. with Eq. (13) and see also Ref. [23])
\[
N_A = S \int \frac{d\omega}{2\pi} |\alpha_A|^2 \sum_k \text{Tr} G_{D,A,k} \alpha_{D,k}^{\dagger} G_{D,A,k}^\dagger \quad (20)
\]
where
\[
G_{D,A,k} = \sum_j e^{ikr_{A,j}} G(r_{A,j} - r_{D,j'})
\]
is the Green function Fourier component describing the donor-acceptor coupling. In the chosen approximation the lifetime of the (excited) acceptor states is determined solely by energy relaxation processes, \( \Gamma_A \gg \Gamma_{0,A}, \Gamma_{0,D}, \Gamma_D, 1/\tau_{k,\lambda} \). Hence, we can introduce the effective energy transfer rate \( 1/\tau_{ET} \) from the following kinetic equation for the acceptors:
\[
\frac{N_A}{\tau_A} = \frac{N_D}{\tau_{ET}} .
\]
The left-hand side of this equation describes the (non-radiative) decay of acceptors with the lifetime \( \tau_A = 1/(2\Gamma_A) \), the right-hand-side describes the transfer of energy from donors with the population \( N_D \). Integrating over frequency in Eq. (20), using the donor population \( N_D \) from Eq. (17) and the transfer rate definition Eq. (22) we obtain
\[
\frac{1}{\tau_{ET}} = \frac{2\pi}{\hbar} \frac{1}{\pi} (\omega_D - \omega_A)^2 + \frac{\Gamma_A}{\tau_A} |d_A|^2 |d_D|^2 G^2 ,
\]
where the effective constant of the transfer rate reads
\[
G^2 = \frac{W}{T} \equiv \frac{1}{\sum_{k,\lambda} \tau_{k,\lambda}} \sum_{k,\lambda} \tau_{k,\lambda} |G_{D,A,k,\lambda}|^2
\]
with
\[
G_{D,A,k,\lambda} = e_{\mu}[G_{D,A,k}]_{\mu\nu} V_{k,\nu\lambda} .
\]
Equations (23)-(25) for the effective energy transfer rate constitute the central result of this study. Their structure is quite clear. The first resonant factor in Eq. (23) depends on the spectral detuning between donor and acceptor molecules. The second factor \( G^2 \) describes the electromagnetic coupling between the molecules arrays. If the collective coupling between the donors is neglected, the factor reduces to
\[
G_0^2 = \sum_{j'} \text{Tr} [G^1(r_{A,j} - r_{D,j'}) G(r_{A,j} - r_{D,j'})] ,
\]
i.e. it is described by a sum of individual transfer events from different donors. In this case the transfer rate assumes the same form as in Refs. [13][16]. In the general case, however, the transfer constant \( G^2 \) is given by a sum of the contributions from Bloch eigenmodes of the donor ensemble. Since the donors are pumped incoherently and uncorrelated, the contributions are independent. Each term in the sum in Eq. (23) is proportional to the lifetime of the corresponding mode \( \tau_{k,\lambda} \), that determines the mode population.

### III. RESULTS AND DISCUSSION

In this section we present detailed analysis of the effect of the metallic mirror on the transfer rate Eq. (24). Figure [4] shows the dependence of the transfer rate on the
parameters are as follows: \( \lambda = 2 \pi c/\omega_D = 500 \) nm, \( \varepsilon_b = 2 \), \( \Gamma_D = 0.1 \Gamma_{0,D} \), \( \varepsilon_{subs} = -9.77 + 0.3i \) (corresponds to Ag in Ref. [25]), \( r_{A,j} - r_{D,j} = \Delta h \hat{z} \), \( \Delta h = 3.5 \) nm (a) and \( r_{A,j} - r_{D,j} = a/4(x + y) \) (b). The insets schematically illustrate the geometry of the problem.

![FIG. 2](image)

**FIG. 2**: (Color online) Energy transfer rate as function of the height of the donors above the mirror \( h \) for (a) acceptors located above donors and (b) acceptors located to the right of each donor. Thin solid/red and dashed/blue curves correspond to the transfer rates calculated according to Eq. (26) with the lattice constants \( a = 7 \) nm and \( a = 15 \) nm, respectively. The curves are normalized to the transfer rates between single donor and single acceptor at the corresponding distance without the mirror. Thin curves show the transfer rates between single donor and single acceptor, i.e. calculated distance without the mirror. Thick curves show the transfer rates between single donor and single acceptor, i.e. calculated distance without the mirror. Thick solid/red and dashed/blue curves correspond to the transfer rates calculated according to approx. (26) neglecting the collective effects. The calculation reveals strong dependence of the transfer rate near the metallic mirror, in stark contrast to the independent transfer model. Now we proceed to the explanation of these findings.

Our analysis indicates, that the Green functions, entering Eq. (25), are not very sensitive to the distance from the mirror. Hence, the strong transfer modification, observed in Fig. 2 is solely due to the dependence of the lifetimes of the Bloch eigenmodes \( \tau_{k,\lambda} \) on the distance. The Purcell factors \( f_{k,\lambda} \), characterizing the lifetime modification by the mirror, are presented in Fig. 3. Thick and thin curves were calculated as functions of the Bloch wave vector for two distances, \( h = 10 \) nm and \( h = 20 \) nm, respectively. For each height we show three curves, corresponding to different Bloch modes. The calculation reveals strong dependence of the Purcell factors on the wave vector, distance and polarization. For given distance one can distinguish between several regions in Fig. 3. The first region is inside the light cone (\( k < \omega/c \)). In this case the Purcell factor is much larger than unity even at the large distances from the mirror (or without
the mirror). This can be understood as a semiclassical counterpart of the Dicke superradiance \cite{22} when the donors, that are separated by the distances smaller than the light wave length, emit collectively. The radiative rate is larger by the factor on the order of $1/(\omega a/c)^2$ than that of the individual donor in vacuum \cite{22}. Second, one can see the peak in Fig. 3 at $k \sim 0.04\pi/a$, that describes strong enhancement of the donor decay due to the plasmons. The peak is present only for one of the curves, corresponding to the transverse magnetic (TM, or $p$) polarization. Third, there exists a region of larger Bloch wave vectors $k \gtrsim 0.1\pi/a$. This range corresponds to the evanescent modes, with the electric field exponentially decaying from the donor plane and lying outside the light cone. In the absence of the mirror these waves would be completely evanescent and they would have zero radiative decay rate, $f_k=0$. Due to the presence of the mirror, the modes acquire finite lifetime due to the absorption in the substrate, $f_k \propto \text{Im} \varepsilon_{\text{sub}} e^{-2k_h}$ \cite{23} The weakest values of the Purcell factor in this third region correspond to the donor modes with the polarization close to transverse electric (TE, or $s$). Although the Purcell factor for the evanescent modes is much less than unity, it is strongly enhanced when the array approaches the mirror and the coupling to the mirror increases (cf. black and red curves). We will now explain how this very effect leads to the modification of the transfer rate Eq. (24) by the mirror.

Figure 4 shows the dependence of the Green function components Eq. (25) and the lifetimes of donor modes on the Bloch wave vector for out-of-plane (black solid curves) and in-plane transfer (red dotted curves). For each geometry we have plotted the Green function component only for one polarization, that is largest by the absolute value and provides the dominant contribution to the transfer rate. The Green function values in panel (a) have been additionally multiplied by the factor $k$, accounting for the growth of the density of states $d^2k = \kappa d\kappa \phi_k$ with the absolute value of the wave vector. The transfer rate Eq. (24) is proportional to the integral of the product of the values of $k|G_{DA,k,\lambda}|^2$ in Fig. 4(a) and $\tau_{k,\lambda}$ in Fig. 4(b) over the Bloch wave vector $k$. Importantly, due to the difference in the Green function values $|G_{DA,k,\lambda}|^2$, the out-of-plane transfer is mediated by the $p$-polarized Bloch modes, while the in-plane transfer is controlled by the $s$-like modes. These modes have quite different lifetimes, that are plotted in Fig. 4(b) for the height $h=20$ nm above the mirror (see also Fig. 2). This explains the qualitative difference between the dependence of the in-plane transfer and the out-of-plane transfer on the distance from the mirror. In order to elucidate the effect we will now analyze these two cases in more detail. (i) Out-of-plane transfer. When the array approaches the mirror, the lifetime of the $p$-type modes, determining the transfer, becomes shorter. The product $W = \sum_{k,\lambda} \tau_{k,\lambda}|G_{DA,k,\lambda}|^2$, controlled by the $p$-type modes, is decreased. On the other hand, the total lifetime $T = \sum_{k,\lambda} \tau_{k,\lambda}$ of the donor array is due to the long-living modes with $s$-type polarization, rather than the $p$ modes. The lifetime of $s$-type modes is equal to $1/2\Gamma_D$ for $k \gtrsim 0.1\pi/a$ and weakly sensitive to the mirror (red/dotted curve in Fig. 4(b)). As a result, $W$ decreases faster than the $T$ when the mirror is brought closer, and the transfer rate Eq. (24) $\propto W/T$ is suppressed, in agreement with Fig. 2(a). (ii) In-plane transfer. In this case the function $W$ is determined by the long-living $s$-type eigenmode. Hence, the value of $W$ is practically insensitive to the mirror because the lifetime is modified only for very small $k \lesssim 0.1\pi/a$, where the product of the squared Green function and the density of states is small. Hence, $W$ decreases slower than $T$, and the transfer rate $W/T$ is enhanced at smaller distances from the mirror, in agreement with Fig. 2(b). Finally, in Fig. 5 we analyze the dependence of the transfer rates on the nonradiative decay of the donors $\Gamma_D$. Clearly, when the nonradiative component of the decay rate increases, the decay rate of any given Bloch mode becomes less sensitive to the mirror presence. As a result, the total energy transfer rate returns to its bulk value, unaffected by the mirror. This is in agreement with the result of calculation in Fig. 5, both for out-of-plane (red/dotted curve) and in-plane (black/solid) geometries the energy transfer tends to its bulk value for large $\Gamma_D$.  

\begin{figure}[h!]
\centering
\includegraphics[width=0.5\textwidth]{figure4}
\caption{Green function Eq. (25) characterizing the transfer strength (a) and lifetimes of the Bloch modes of the donor array (b) as functions of the Bloch wave vector in the $\Gamma$–$M$ direction [inset in panel (b)]. Black/solid and red/dotted curves correspond to the out-of-plane energy transfer and in-plane transfer, as indicated by the insets in panel (a). For each geometry only the mode with one polarization, providing the largest contribution to the energy transfer, is shown. Other calculation parameters as the same as in Fig. 2.}
\end{figure}
IV. SUMMARY

To summarize, we have developed a theory of energy transfer between the planar arrays of donor and acceptor molecules, lying on top of a metallic mirror in the regime of weak, incoherent and spatially uncorrelated pumping of the donors. We have used a coupled dipole model with random source terms that has allowed us to obtain analytical results for the transfer rate. It has been demonstrated, that the transfer rate between the molecule arrays can be strongly modified by the mirror, in stark contrast to the transfer between individual molecules. The enhanced sensitivity of the transfer to the presence of the mirror is due to the modification of the lifetimes of the collective Bloch modes of the donor array. Depending on the relative position between the donor and acceptor molecules, the transfer can be both suppressed (acceptors between the donors) or enhanced (acceptors above the donors). While further study is needed to account for the possible effect of the strong coupling between the donors and the plasmons for inevitable effects of disorder in actual samples, and for the bulk film geometry, these our results might already provide the key to explain the suppression of the energy transfer in the plasmonic environment, recently observed experimentally in Ref.\textsuperscript{13}

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