Small-$x$ Physics with the ALICE experiment at the CERN-LHC

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Abstract
High energy p-p, p-Pb and Pb-Pb collisions at the CERN-LHC offer unprecedented opportunities for studying wide variety of physics at small Bjorken-$x$. Here we discuss capabilities of the ALICE experiment at the CERN-LHC for probing small-$x$ QCD physics. A new forward electromagnetic calorimeter is being proposed as an ALICE upgrade to explore the small-$x$ region in more detail.

Keywords: LHC, ALICE, Calorimeter, CGC, Gluon saturation

1. Introduction

The general formulation of the theory of strong interactions, Quantum Chromodynamics (QCD), is based on the principle of Bjorken scaling which suggests that experimentally observed hadrons behave as collections of point-like constituents (such as quarks) when probed at high energies. The dimensionless scaling variable, $x = Q^2/2M\nu$, has been introduced in electron-nucleon deep inelastic scattering (DIS), where $Q^2=−q^2$ is the squared 4-momentum-transfer through the exchanged virtual photon to the target nucleon, $\nu = q.p/M$ is the energy loss by the electron, $M$ is the target nucleon mass. Recent high precision DIS data from H1 and ZEUS experiments at the HERA electron-proton collider confirm the scaling behavior over a very wide kinematic range of the variables $(x, Q^2)$. DIS experiments have shown that at high $Q^2$ the number of quark-antiquark pairs with small-$x$ goes up. At small-$x$ the parton distribution functions (PDF), which give the momentum distribution of partons in a nucleon, are not properly determined and have large uncertainties because of lack of experimental data. The experimentally observed data from HERA [1] shows that the gluon density of the
PDF of the proton grows as $xG(x, Q^2) \propto x^{-\lambda(Q^2)}$, where the exponent $\lambda$ is observed to rise logarithmically with $Q^2$. At small enough $x$, a saturation region occurs, where the non-linear effects of gluon-gluon fusion due to the high gluon density becomes important. With increasing energy and higher number of participating nucleons in going from eA to pA and AA collisions, one expects to enter to the novel region of gluon saturation. Moreover, the PDFs within a nuclei are not known as a nucleus cannot be treated as simple superposition of protons and neutrons. Thus, experiments with proton and ion collisions at the CERN Large Hadron Collider (LHC) will be able to probe an unprecedented range of $x$-values and provide important input for theoretical calculations.

Small Bjorken-$x$ (expressed as, $x = p_T/\sqrt{(S)} \cdot e^{-y} \sim p_T/\sqrt{(S)} \cdot e^{-\eta}$, where $y$ and $\eta$ are rapidity and pseudo-rapidity, respectively) values can be accessed by going to higher center-of-mass energy and higher rapidity as depicted in the left panel of Fig. I. The right panel of Fig. I gives the accessible range of Bjorken-$x$ values and $M^2$ relevant for particle production in nucleus-nucleus collisions at the top SPS, RHIC and LHC energies 2, 3. The study of small-$x$ regime, especially at forward rapidities, will be most appropriate for getting to know the early stage of nuclear collision. The ALICE experiment 2, 3, 4.
in the present setup will probe a continuous range of $x$ below $10^{-4}$. A new proposal of installing a forward electromagnetic calorimeter at larger rapidity (up to $\eta=5$) is being discussed which will reduce the $x$-value to less than $10^{-5}$. Thus, ALICE experiment will be able to access a novel regime where initial state effects like Color Glass Condensates (CGC) (for a review see \[5\]) type of phenomenon can be studied in great detail.

The Large Hadron Collider (LHC) at CERN is designed to deliver colliding p-p beams at center-of-mass energies of 14 TeV and Pb-Pb beams at 5.5 A TeV. LHC is also capable to provide light ion collisions such as Ar-Ar and as well as asymmetric collisions like p-Pb. Table 1 gives the center-of-mass energy and expected luminosity at LHC for some typical collision systems \[6\]. Data from p-p collisions will be quite useful for test of pQCD (perturbative QCD) as well as act as baseline measurement for heavy-ions. Collisions with p-Pb will probe nuclear PDFs and will be useful to disentangle initial and final state effects. The Pb-Pb collisions probe the hot and dense medium. A combination of data at all these colliding systems as well as collision centralities will provide a large window on the rich phenomenology of high-density PDFs, throw light on shadowing, gluon saturation as well as CGC. Thus the collisions at LHC’s unprecedented energies offer outstanding opportunities for access to new physics.

| System | $\sqrt{s_{\text{NN}}}$ (TeV) | $L_0$ (cm$^{-2}$s$^{-1}$) | $\sigma_{\text{geom}}$ (b) |
|--------|-----------------|-----------------|-----------------|
| p-p    | 7/10/14         | $10^{34}$ ($\sim10^{30}$ for ALICE) | 0.1             |
| Pb-Pb  | 2.75/5.5        | $10^{27}$        | 7.7             |
| p-Pb   | 8.8             | $10^{29}$        | 1.9             |
| Ar-Ar  | 6.3             | $10^{29}$        | 2.7             |

Table 1: System, collision energies and peak luminosities expected at the CERN-LHC.

2. Observables to probe small-$x$ QCD phenomena

Here we mention a sample of observables which can probe small-$x$ QCD physics.

2.1. Global Observables

A new hadron production mechanism emerges at small-$x$ in the context of gluon saturation and CGC picture. The hadron production is dominated
by production of gluon minijets and their fragmentation into hadrons. At RHIC, gluon saturation picture provides one of the explanations for observed particle multiplicity, which is much smaller than previously expected. Recently, pseudorapidity distributions of charged particles at mid-rapidity have been published by ALICE and other LHC experiments for p-p collisions at 0.9TeV [7] and 7TeV [8]. These results could be successfully described in two recent publications by McLerran et al [9] and Levin et al. [10] in the CGC framework. These calculations also make predictions for higher energy as well as heavy-ions. Here we show one of the figures from [10] where the energy dependence of the charged hadron multiplicities are shown for p-p and central heavy-ion collisions. Data points show a compilation of results from different experiments. The solid bands are theoretical curves, and the one with saturation model describes the data well. Of course, for further test the saturation mechanism, more exclusive measurements are needed.

![Figure 2: (Colour online) Energy dependence of the charged hadron multiplicities for p-p and central heavy-ion collisions [10].](image)

### 2.2. Nuclear Modification Factor of Hadrons

Hadronic observables directly probe the gluon distributions in the nucleon or in the nucleus. Effect of gluon saturation at small-\(x\) can be studied by measuring particle production at high energy and large rapidity for p-p and p-A collisions. At RHIC energies, this has been measured [11, 12] in terms of suppression of inclusive hadron yields and broadening of azimuthal correlation related to recoil jets from parton-parton scattering. The nuclear
modification factor, \( R_{dAu} \), defined as,

\[
R_{dAu} = \frac{dN_{dAu}/dp_T}{N_{\text{coll}}(dAu)dN_{pp}/dp_T},
\]

for hadron production in d-Au collisions with respect to p-p collisions, is shown in Fig. 3 for large rapidity, measured by BRAHMS [11] and STAR [12] collaboration. Significant suppression of the yield in d-Au collisions is seen, qualitatively consistent with gluon saturation models. The inset of the figure shows that the conventional calculations including shadowing effects are not able to describe the observed suppression [12]. Measurements at LHC will be a breakthrough in such studies.

\[ \text{Figure 3: (Colour online) Nuclear modification factor, } R_{dAu}, \text{ as a function of } p_T \text{ at forward rapidities as measured by STAR (}\pi^0\text{) and BRAHMS (negative hadrons) experiments.} \]

2.3. Heavy Quark Production

At LHC energies, heavy quarks (charm and beauty) are mainly produced through gluon-gluon fusion processes, so their production cross-sections are significantly affected by parton dynamics in the small-\( x \) regime. Malek et al. [13, 14] have studied the heavy quark production within the CGC framework using the ALICE simulation code. Simulations were performed for p-p and p-Pb collisions. The p-p simulations are performed with Pythia...
event generator tuned by MNR calculation [15] to reproduce heavy quark cross-section. This uses CTEQ [16] parametrization of the proton PDF. The Pb-p simulation were performed for two scenarios: (ii) MNR with EKS98 parametrization [17], and (ii) within the CGC framework. In the CGC approach, the proton PDF is given by the non-saturated CTEQ parametrization. The lead structure is described by unintegrated PDF describing the saturated nucleus. Figure 4 shows the nuclear modification factor as a function of $p_T$ and $y$ for both c-quarks and b-quarks. One observes that at low $p_T$, the depletion of charm is much more than that of beauty. Thus the study of the nuclear modification factor of heavy flavours in forward rapidity can be a suitable tool to search for the effect of gluon saturation.

Figure 4: (Colour online) Nuclear modification factor ($R_{Pb-p}$) of heavy quarks as a function of $p_T$ (left panel) and rapidity (right panel) [13].

3. The ALICE Experiment

Although the ALICE experiment [2, 3, 4] is specifically designed for heavy-ion physics, it is well suited for p-p and p-A collisions. The ALICE setup can be broadly described by three groups of detectors: the central barrel, the forward detectors and the forward muon spectrometer. Coverages of various detectors are shown in Table 3 for completeness.

The central barrel consists of the Inner Tracking System (ITS), Time Projection Chamber (TPC), Transition Radiation Detector (TRD), the Time of Flight (TOF) detector and the electromagnetic calorimeter. The design goal is to have low material budget and low magnetic field (B$\leq$0.5T) in order to be sensitive to low-$p_T$ particles.
The ALICE forward muon spectrometer will study the complete spectrum of heavy quarkonia ($J/\psi$, $\psi'$, $\Upsilon$, $\Upsilon'$, $\Upsilon''$) via their decay in the $\mu^+\mu^-$ channel. The spectrometer acceptance covers the pseudorapidity interval $2.5 \leq \eta \leq 4$ and the resonances can be detected down to zero transverse momentum. The invariant mass resolution is of the order of 70 MeV in the $J/\psi$ region.

The ALICE experiment is equipped with a set of forward detectors, such as the a Forward Multiplicity Detector (FMD), Photon Multiplicity Detector (PMD), Zero Degree Calorimeters (ZDC), and detectors for trigger and timing (V0, T0). The FMD, consisting of several rings of silicon detectors, provide charged-particle multiplicity information. The V0 detector provides
minimum-bias triggers in pp and A–A collisions. The T0 detector, with two arrays of Cherenkov counters, has an excellent time resolution provides the start time for TOF. The Photon Multiplicity Detector (PMD) in ALICE has been designed to measure the multiplicity and spatial distribution of photons in the forward rapidity region. The photon measurements in combination with those of charged particles provide vital information in terms of the limiting fragmentation, elliptic flow of photons, and formation of disoriented chiral condensates.

4. Proposal for a new Forward Calorimeter

A new proposal for a Forward (Electromagnetic) Calorimeter is being considered as an upgrade of the ALICE experiment to explore the new small-$x$ regime of QCD. The detector is expected to cover a range of $2.5 < \eta < 5.0$ with full azimuth. It should be capable of measuring photon energies at least up to 200GeV/c and have good $\gamma$-$\pi^0$ discrimination capabilities. The detector will be placed at a distance of 360cm from the interaction point on the opposite side of the muon arm. It will be a highly segmented, sampling calorimeter of about 22 radiation length. It will incorporate tungsten as the absorber material with silicon readouts. Detailed simulation to optimize the detector geometry and to understand the longitudinal and lateral shower profiles, two gamma separation, $\pi^0$ reconstruction, etc. is in progress. This calorimeter will be the major detector for probing small-$x$ physics in ALICE.

5. Summary

We have presented the capabilities of the ALICE experiment at the CERN-LHC for several observables sensitive to small-$x$ values. The LHC is capable to accelerate and collide p-p, p-Pb and Pb-Pb. With a combination of these data sets, one can get a detailed knowledge about new phenomena such as gluon saturation and color glass condensate. We have shown how the global observables, shadowing of hadrons and heavy flavour production are affected at small-$x$. A new electromagnetic calorimeter at forward rapidity is proposed to specifically address the small-$x$ physics.

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