Intraoperative Rotational Kinematics and Its Influence on Postoperative Clinical Outcomes Differ According to Age in Unicompartmental Knee Arthroplasty

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Research Article

Keywords: unicompartmental arthroplasty, elderly patients, patient-reported outcome, intraoperative kinematics, navigation

DOI: https://doi.org/10.21203/rs.3.rs-275676/v1

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Abstract

Background: Although Oxford unicompartmental knee arthroplasty (UKA) is used in patients of wide age ranges, there is no clear information regarding the age differences in terms of intraoperative femorotibial rotational kinematics and its influence on clinical outcomes. Therefore, this study was conducted to examine the age differences in terms of postoperative clinical outcomes and intraoperative rotational kinematics and to analyze their relationship with classification according to the age group.

Methods: We investigated 111 knees of patients who underwent Oxford UKA using a navigation system and divided them into two groups: elderly (aged ≥75 years; 48 knees) and nonelderly (aged <75 years; 63 knees). Intraoperative tibial internal rotational angles relative to the femur during passive knee flexion were measured using a navigation system, and clinical outcomes were evaluated using knee range of motion, the Knee Injury and Osteoarthritis Outcome Score (KOOS), and the Knee Society Functional Score at 2 years postoperatively. The relationships between intraoperative tibiofemoral rotational angles and clinical outcomes were also evaluated in the two groups.

Results: The intraoperative tibial internal rotational angle relative to the femur during knee flexion was significantly larger in the nonelderly group (13.5°) than in the elderly group (9.0°). The intraoperative tibial internal rotational angle showed a positive correlation with the pain subscale of KOOS only in the nonelderly group.

Conclusion: Intraoperative rotational kinematics and its influence on clinical outcomes were different between elderly and nonelderly patients, and the tibial internal rotational angle could be a more important factor for successful UKA in nonelderly patients.

Background

Mobile-bearing Oxford unicompartmental knee arthroplasty (UKA) (Zimmer Biomet Ltd., Swindon, UK) has been used successfully for more than 15 years to treat anteromedial arthritis or medial osteonecrosis (ON) of the knee [1, 2]. The Oxford mobile bearing has some advantages, including a low rate of bearing wear, favorable longevity, and minimization of shear stress at the bone–implant interfaces [1, 3]. However, Kennedy et al. [4] reported that elderly patients (aged ≥75 years) had significantly lower postoperative clinical scores than younger patients treated with Oxford UKA. Furthermore, Siman et al. [5] compared UKA with total knee arthroplasty (TKA) in elderly patients (aged ≥75 years) with isolated medial compartmental arthritis and reported that the postoperative Knee Society Score of UKA was unfortunately equivalent to that of TKA. In contrast, some studies reported that the clinical outcomes of UKA were superior to those of TKA over wide age ranges, including elderly patients [6-8]. Therefore, the clinical results after UKA in elderly patients could be inferior to those in nonelderly patients. However, no study has yet investigated the reasons for the difference in clinical outcomes between elderly and nonelderly patients treated with UKA.
Recently, some studies focused on intraoperative kinematics measured by the navigation system or specific devices in UKA and reported that intraoperative kinematics might be related to clinical outcomes [9-11]. Moreover, the relationship between intraoperative rotational kinematics and postoperative clinical results of TKA has been established by some previous studies [12-15]. Specifically, Ishida et al. [13, 14] focused on the relationship between intraoperative tibial rotation relative to the femur and postoperative clinical results in posterior stabilized TKA and reported that intraoperative tibial internal rotation patterns affected the postoperative knee flexion angle. Moreover, Kamenaga et al. [15] demonstrated that the degree of tibial internal rotation from a flexion angle of 60° to 135° had a positive relationship with the postoperative flexion angle. These studies help surgeons predict and modify the clinical outcomes of TKA from the intraoperative rotational kinematics. Especially in UKA, Inui et al. [9] reported that the femorotibial rotational mismatch was related to lower postoperative clinical outcomes. Therefore, based on these previous studies, intraoperative rotational kinematics in UKA could be the reason for the difference in clinical outcomes between elderly and nonelderly patients. However, there is no information regarding the age difference in intraoperative kinematics, and obviously, we are unaware of the age difference in the relationship between intraoperative rotational kinematics and postoperative clinical results.

We hypothesized that there would be an age difference in intraoperative rotational kinematics and, moreover, the intraoperative rotational kinematics would have an impact on the clinical results in all elderly patients. Therefore, we conducted this study to explore the age difference in intraoperative rotational kinematics and also in the relationship between intraoperative kinematics and postoperative clinical outcomes.

**Materials And Methods**

We conducted a retrospective review of UKA procedures performed at our institution. Of 196 primary UKA procedures performed consecutively between September 2012 and May 2018 using an image-free navigation system (Precision N; Stryker Orthopedics, Mahwah, NJ, USA), 111 knees had available intraoperative measures of rotational kinematics and were included in this study. The other 85 knees underwent conventional UKA or UKA using the portable navigation system. The accuracy of this navigation system has been confirmed in our previous studies [16, 17]. Surgery was performed for isolated anteromedial osteoarthritis (OA) with bone-on-bone articulation or ON of the medial femoral condyle. Both the cruciate and collateral ligaments were functionally intact in all patients. The surgical indication was made as defined by the Oxford Group [18].

**Surgical procedure**

All surgeries were performed using a minimally invasive approach to comply with the Oxford Group recommendations [18]. The registered anatomical landmarks comprised the center of the femoral head, the distal femur, the proximal tibia and the ankle, the femoral anteroposterior (AP) axis, and the tibial AP
axis. The center of the femoral head was determined by rotating the femur by rotational calculations. The center of the ankle was represented by a 44%–56% medial-to-lateral ratio along the transmalleolar axis. The femoral AP axis on the navigation system was defined as the Whiteside axis, and the tibial AP axis was determined as the Akagi axis connecting the middle of the posterior cruciate ligament to the medial border of the patellar tendon [19]. After setting the tibial cutting guide, the alignment of the cutting guide was measured using the navigation system. Thereafter, a tibial vertical cut was made at the medial edge of the anterior cruciate ligament insertion on the tibia with reference to the hip center, the anterior superior iliac spine, and Shakespeare's line [20]. Then, a horizontal cut was made. Femoral drilling was performed using a device to facilitate reproducible femoral implantation [21]. After completing these procedures, we performed the same gap-balancing procedure between knee flexion and extension and a modified keel cutting method, as reported previously [22].

Intraoperative evaluation

Intraoperative tibial internal rotations relative to the femur were evaluated for each of the 111 patients using the image-free navigation kinematics data obtained during the knee flexion cycles from the maximum extension to the maximum flexion (flexion angles at maximum extension, 30°, 60°, 90°, and maximum flexion). The tibial rotational angle relative to the femur at the maximum extension was set to 0°, considering a registration error of image-free navigation. From among the rotational kinematics data, we presented the following three parameters in the present study, considering the data of previous studies as well [3-6]: (1) the rotational angle as the entire knee flexion from the maximum extension to the maximum flexion; (2) the rotational angle as the first half of knee flexion from the maximum extension to 90° knee flexion; and (3) the rotational angle as the second half of knee flexion from 90° knee flexion to the maximum flexion. Tibial internal rotation relative to the femur was defined as a positive value.

Postoperative clinical evaluation

The postoperative Knee Society Functional Score (KSFS) was documented, and knee extension and flexion angles were measured using a two-arm goniometer, with the patient in the supine position, at the 2-year postoperative follow-up visit. Postoperative subjective scores were also evaluated using the validated version of the Knee Injury and Osteoarthritis Outcome Score (KOOS), which is a self-reported questionnaire consisting of 42 items addressing five separately analyzed subscales of pain, symptoms, and activities of daily living (ADL) for physical function, sport recreation function, and knee-related quality of life (QOL). Each of the five scores is calculated as the sum of the included items and converted into a 0- to 100-point scale, with 0 points representing extreme knee problems and 100 points representing no knee problems [23, 24]. The preoperative KOOS was not available for the 19 early cases (8 elderly and 11 nonelderly patients).

Statistical analyses
All statistical analyses were conducted using the SPSS v.25.0 statistical software (IBM Corp., Armonk, NY). Preoperative and postoperative parameters (range of motion, KSFS, and KOOS) were compared between the two groups using the unpaired t-test. Linear regression analysis was performed to evaluate the correlation between the clinical outcomes and the tibial rotational angle relative to the femur. Regarding the comparison of the intraoperative tibial rotation using the unpaired t-test, a statistical power analysis was performed after the study using G power 3 [25] and an α power of 0.53. All significance tests were two-tailed, and a significance level of $p < 0.05$ was used for all tests. Interclass and intraclass coefficient values of the intraoperative tibial rotational angle relative to the femur evaluated using the navigation system were $>0.80$, indicating excellent reliability.

**Results**

Preoperative demographic data revealed that only the body mass index was significantly higher in the nonelderly group, whereas no significant differences were observed in other demographic parameters, except age (Table 1). The intraoperative tibial internal rotational angles relative to the femur during the entire knee flexion were $9.0^\circ$ in the elderly group and $13.5^\circ$ in the nonelderly group, and the tibial internal rotational angles from extension to $90^\circ$ flexion and from $90^\circ$ flexion to full flexion were $3.8^\circ$ and $4.5^\circ$ in the elderly group and $5.8^\circ$ and $7.7^\circ$ in the nonelderly group, respectively. The tibial internal rotational angles during the entire knee flexion and from $90^\circ$ to full flexion were significantly larger in nonelderly patients (Table 2, Figure 1). The postoperative KSFS and ADL, sports subscales in the KOOS, which were contained as the activity scores, were significantly higher in the nonelderly group; however, there were no significant differences in other postoperative clinical outcomes (Table 3). In the nonelderly group, the intraoperative tibial internal rotation during the entire knee flexion correlated positively with the pain subscale in the KOOS, and the intraoperative tibial rotation from $90^\circ$ to full flexion correlated positively with the pain and QOL subscales in the KOOS. However, in the elderly group, no correlation was detected between the intraoperative tibial rotation and postoperative clinical outcomes (Tables 4, 5).

**Discussion**

The most important findings in this study on UKA were that the intraoperative tibial rotation during knee flexion was larger in nonelderly patients than in elderly patients, and the intraoperative tibial internal rotation had a positive influence on the postoperative clinical outcomes only in nonelderly patients.

Regarding the kinematics in Oxford UKA, Kono et al. [26] compared the in vivo preoperative and postoperative kinematics in Oxford UKA using 2D-3D fluoroscopic surveillance and reported that the tibiofemoral preoperative rotational kinematics was maintained postoperatively after the Oxford mobile-bearing UKA procedure. In addition, Oxford mobile-bearing UKA has been suggested to be superior to fixed-bearing UKA in restoring the normal tibiofemoral biomechanics [27-29]. However, no study has investigated the age difference in perioperative kinematics in UKA. To our knowledge, our study is the first to demonstrate the age difference in intraoperative tibiofemoral rotational kinematics during knee flexion, reporting that the tibial internal rotation was larger in nonelderly patients. In normal knees, the tibial
internal rotation during knee flexion has been reported to be a “medial pivot motion,” and this tibial internal rotation could be generated by the four-bar linkage of both cruciate and collateral ligaments [30-32]. Therefore, it was believed that the difference in internal rotation between two groups could be the condition of ligaments and related soft tissues. However, the normal axial rotation decreased proportionately with the progression of medial-grade OA [33]. Therefore, we compared the grade of OA between the elderly and nonelderly patients and observed that the preoperative bone varus deformity in the X-ray was not different between the two patient groups. In addition, this finding supported our theory that the difference in the tibial internal rotation was derived from the quality of related soft tissues. Nevertheless, UKA has become a standard surgery for not only middle-aged patients but also elderly patients [4, 5, 34, 35]. Iacono et al. [35] evaluated the mid- to long-term clinical and radiographic results after Oxford mobile-bearing UKA in elderly patients (aged ≥75 years) and reported that their postoperative range of motion, Oxford Knee Score, Knee Society Score, and WOMAC score were satisfactory and the tibial component alignment was still maintained at the 9-year follow-up. They concluded that UKA was a viable option to treat medial OA even for elderly patients in terms of pain relief and activity scores. In contrast, Siman et al. [5] reported that in elderly patients (aged ≥75 years), UKA could not result in a superior Knee Society Score to that in patients undergoing TKA, although patients undergoing UKA had shorter hospital stay, shorter operative time, and lower estimated blood loss than patients undergoing TKA. Furthermore, Kennedy et al. [4] reported that the Oxford Knee Score after UKA in elderly patients was significantly lower than that in younger patients. Nevertheless, these studies did not distinguish clinical pain relief and clinical recovery of activity postoperatively, because elderly patients with lower muscle strength could have lower potential in recovery of activity than nonelderly patients who have higher muscle strength. The difference between TKA and UKA could disappear because of the lower activity recovery in elderly patients. Therefore, it is necessary to evaluate postoperative clinical results separately with the pain relief and activity components when evaluating elderly patients. From this viewpoint, the present study is meaningful and our results are reasonable, that is, pain relief and flexion angle after UKA were achieved in both elderly and nonelderly patients, and the activity scores after UKA were greater in nonelderly patients than in elderly patients. However, Fabre-Aubrespy et al. [34] evaluated the clinical outcomes of elderly patients (aged ≥75 years) who underwent fixed-bearing UKA and distinguished pain relief scores from activity scores. They reported that not only pain relief scores (Pain and Symptom in the KOOS, Knee Society Score) but also the recovery of activity scores (NKFS and ADL in KOOS) in their study were better for elderly patients treated with UKA than for elderly patients treated with TKA. Their results confirmed that the activity score after UKA in elderly patients was less than that in nonelderly patients, but more than that in elderly patients undergoing TKA, thereby indicating that UKA was a viable surgical option even for elderly patients in terms of pain relief and recovery of activity.

Importantly, several recent studies have emphasized the extent of intraoperative tibial rotation in TKA [14, 15, 36], and the majority of them have demonstrated a relationship between the tibial internal rotational angle and the postoperative flexion angle. Furthermore, the majority of studies have focused on the tibial internal rotational angle during the latter half of knee flexion [14, 15, 36]. In the present study, the intraoperative tibial internal rotation during the entire knee flexion correlated positively with the pain
subscale in the KOOS, and the internal rotational angle from 90° to full flexion correlated positively with the pain and QOL subscales in the KOOS only in nonelderly patients. The primary reason for the correlation between internal rotation during the latter half of knee flexion and clinical outcomes is believed to be the medial pivot motion of UKA. Kono et al. [37] described the postoperative non-weight-bearing kinematics of UKA in 24 functionally well knees and reported the tibial internal rotation during the latter half of knee flexion. In their study, the lateral contact point constantly moved posteriorly, whereas the medial contact point moved only slightly, especially during the latter half of knee flexion. This would be the so-called medial pivot motion associated with Oxford UKA in a non-weight-bearing position. Non-weight-bearing kinematics of the knee after surgery is expected to be similar to intraoperative kinematics [38]. We could not evaluate the accurate anterior–posterior position of the tibia relative to the tibia, in particular, for each component from our navigation data, and it was difficult to distinguish whether intraoperative tibial internal rotation was the central pivot motion or the medial pivot motion. Nevertheless, our results in nonelderly patients appear remarkably similar to previous reports that showed that the medial pivot motion during the operation correlated with better subjective scores in TKA [39]. Therefore, we believed that in UKA for nonelderly patients, the intraoperative medial pivot motion during the latter half of knee flexion resulted in excellent postoperative KOOSs. However, we could not identify any correlations between the intraoperative tibial rotation and clinical results in elderly patients. These patients had smaller intraoperative tibial internal rotation than nonelderly patients, but their postoperative patient-reported outcomes, except for activity scales, were not different from those of nonelderly patients. This implied that the relationship between kinematics and clinical outcomes in elderly patients was weaker than that in nonelderly patients, and multiple factors, such as painful duration, muscle strength, and lumbar disorders, could influence postoperative patient-reported outcomes in elderly patients. Nonetheless, we could not find any correlation between intraoperative tibial internal rotation and postoperative knee flexion angle in both our study groups, unlike that in previous studies on TKA [14, 15, 36]. The reason for this difference between TKA and UKA is that the postoperative flexion angle after UKA was relatively good in almost all patients. Therefore, the difference in intraoperative rotation did not affect the postoperative knee flexion angle.

This study has certain limitations. First, the patients were divided into two groups based on the age of 75 years; therefore, all those aged <75 years were grouped into one group. This relatively young group contained patients aged 54–74 years, and differences in clinical outcomes and intraoperative kinematics would certainly exist within this group. Therefore, a further investigation is planned with a larger cohort. Second, the follow-up period was relatively short. However, we experienced only a few problems related to loosening, polyethylene wear, or breakage of Oxford UKA. Hence, a larger sample size with a longer follow-up period is required. Third, although the KOOS is a valid, reliable, and responsive outcome measure in patients with knee arthroplasty, minimal clinically important differences in the KOOS were not apparent. Fourth, the procedures were performed by five knee surgeons, which raises the possibility of interobserver bias. Fifth, we did not evaluate the alignment of the component. Sixth, preoperative KOOS data were not available for all patients; however, p values in the preoperative KOOS were relatively high.
Conclusion
Intraoperative rotational kinematics and its influence on clinical outcomes were different between elderly and nonelderly patients, and tibial internal rotation could be a more important factor for successful UKA in nonelderly patients. Postoperative clinical outcomes, except for activity parameters, were not significantly different between the two groups.

Abbreviations
UKA: unicompartmental knee arthroplasty, TKA: total knee arthroplasty, OA: osteoarthritis, AP: anteroposterior, KOOS: Knee Injury and Osteoarthritis Outcome Score, ADL: activities of daily living, KSFS: Knee Society Functional Score

Declarations
Acknowledgements
We thank Enago Group, for editing a draft of this manuscript.

Authors’ contributions
All authors have read and approved the manuscript. KK: The first author, surgeon of this series, HI: The corresponding author, Main surgeon of this series. RY, SS: The surgeon of this series. KK, TK: The analyst of kinematics. ST and ST were involved in study design and data interpretation.

Competing interests and funding
This study did not receive any specific any grant from funding agencies in the public, commercial, or not-for-profit sectors.

Availability of data and materials
The datasets used during the current study are available from the corresponding author on reasonable request.

Ethics approval and consent to participate
The institutional review board at the Tokyo University hospital approved this retrospective study (No. 2674). Patients and their families were informed that the data from their cases would be submitted for
publication, and all provided written, informed consent. All methods were performed in accordance with the relevant guidelines and regulations.

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Tables

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