COVID-19 lockdown-induced changes in NO\textsubscript{2} levels across India observed by multi-satellite and surface observations

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Abstract. We have estimated the spatial changes in NO\textsubscript{2} levels over different regions of India during the COVID-19 lockdown (25 March–3 May 2020) using the satellite-based tropospheric column NO\textsubscript{2} observed by the Ozone Monitoring Instrument (OMI) and the Tropospheric Monitoring Instrument (TROPOMI), as well as surface NO\textsubscript{2} concentrations obtained from the Central Pollution Control Board (CPCB) monitoring network. A substantial reduction in NO\textsubscript{2} levels was observed across India during the lockdown compared to the same period during previous business-as-usual years, except for some regions that were influenced by anomalous fires in 2020. The reduction (negative change) over the urban agglomerations was substantial (∼20%–40%) and directly proportional to the urban size and population density. Rural regions across India also experienced lower NO\textsubscript{2} values by ∼15%–25%. Localised enhancements in NO\textsubscript{2} associated with isolated emission increase scattered across India were also detected. Observed percentage changes in satellite and surface observations were consistent across most regions and cities, but the surface observations were subject to larger variability depending on their proximity to the local emission sources. Observations also indicate NO\textsubscript{2} enhancements of up to ∼25% during the lockdown associated with fire emissions over the north-east of India and some parts of the central regions. In addition, the cities located near the large fire emission sources show much smaller NO\textsubscript{2} reduction than other urban areas as the decrease at the surface was masked by enhancement in NO\textsubscript{2} due to the transport of the fire emissions.

1 Introduction

Nitrogen oxides, NO\textsubscript{x} (NO + NO\textsubscript{2}), are one of the major air pollutants, as defined by various national environmental agencies across the world, due to their adverse impact on human health (Mills et al., 2015). Furthermore, tropospheric levels of NO\textsubscript{x} can affect tropospheric ozone formation (Monks et al., 2015), contribute to secondary aerosol formation (Lane et al., 2008) and acid deposition, and impact climatic cycles (Lin et al., 2015). The major anthropogenic sources of NO\textsubscript{x} emissions include the combustion of fossil fuels in road transport, aviation, shipping, industries, and thermal power plants (e.g. USEPA and CATC, 1999; Ghude et al., 2013; Hilboll et al., 2017). Other sources include open biomass burning (OBB), mainly large-scale forest fires (e.g. Hilboll et al., 2017), lightning (e.g. Solomon et al., 2007), and emissions from soil (e.g. Ghude et al., 2010). NO\textsubscript{x} hotspots are often observed over regions with large thermal power plants and industries as well as urban areas with significant traffic volumes causing large localised emissions (e.g. Prasad et al., 2012; Hilboll et al., 2013; Ghude et al., 2013).
With growing scientific awareness of the adverse impacts of air pollution, the number of air quality monitoring stations has expanded to over 10,000 across the globe (Venter et al., 2020). Additionally, multiple satellite instruments such as the Global Ozone Monitoring Instrument (GOME) on ERS-2, the Scanning Imaging Absorption Spectrometer for Atmospheric Cartography (SCIAMACHY, 2002–2012) on Envisat, the Ozone Monitoring Instrument (OMI, 2005–present) on Aura, GOME-2 (2007–present) on MetOp, and the TROPOspheric Monitoring Instrument (TROPOMI, 2017–present) on Sentinel-5P (S5P) have monitored NO$_2$ pollution from the space for over 2 decades. Surface sites typically measure NO$_2$ in concentration quantities (e.g. in units of µg m$^{-3}$), but satellite NO$_2$ measurements are retrieved as integrated vertical columns (e.g. tropospheric vertical column density, VCD$_{\text{trop}}$). The latter is preferred for studying NO$_2$ trends and variabilities because of global spatial coverage and spatio-temporal coincidence with ground-based measurements (Martin et al., 2006; Kramer et al., 2008; Lamshal et al., 2010; Ghude et al., 2011). NO$_2$ has been reported to increase in south Asian countries (Duncan et al., 2016; Hilboll et al., 2017; ul-Haq et al., 2017) and decrease over Europe (van der A et al., 2008; Curier et al., 2014; Georgoulis et al., 2019) and the United States (Russell et al., 2012; Lamshal et al., 2015). In the case of India, a tropospheric NO$_2$ increase was observed during the 2000s (e.g. Mahajan et al., 2015), but since 2012 it has either stabilised or even declined owing to the combined effect of economic slowdown and adoption of cleaner technology (e.g. Hilboll et al., 2017). However, thermal power plants, megacities, large urban areas, and industrial regions remain NO$_2$ emission hotspots (Ghude et al., 2008, 2013; Prasad et al., 2012; Hilboll et al., 2013, 2017; Duncan et al., 2016). Moreover, despite the measures taken to control NO$_x$ emissions, urban areas often exceed national ambient air quality standards in India (Sharma et al., 2013; Nori-Sarma et al., 2020; Hama et al., 2020) and thus require a detailed scenario analysis.

The nationwide lockdown in various countries during March–May 2020, due to the outbreak of COVID-19, reduced traffic and industrial activities, leading to a significant reduction of NO$_2$. Studies using space-based and surface observations of NO$_2$ have reported reductions in the range of ~30%–60% for China, South Korea, Malaysia, Western Europe, and the USA (Bauwens et al., 2020; Kanniah et al., 2020; Muhammad et al., 2020; Tobías et al., 2020; Dutheil et al., 2020; Liu et al., 2020; Huang and Sun, 2020; Naegger and Murphy, 2020; Barré et al., 2020; Goldberg et al., 2020) against the same period in previous years, with the observed reductions strongly linked to the restrictions imposed on vehicular movement. The lockdown in India was implemented in various phases starting on the 25 March 2020 (MHA, 2020; Singh et al., 2020). The lockdown restrictions in the first two phases (phase 1: 25 March–14 April 2020 and phase 2: 15 April–3 May 2020) were the strictest, during which all non-essential services and offices were closed, and the movement of the people was restricted, resulting in a considerable reduction in the anthropogenic emissions. The restrictions were relaxed in a phased manner from the third phase onwards in less affected areas by permitting activities and partial movement of people (MHA, 2020).

A decline in NO$_2$ levels over India during the lockdown has been reported from both surface observations (Singh et al., 2020; Sharma et al., 2020; Mahato et al., 2020) and satellite observations (ESA, 2020; Biswal et al., 2020; Siddiqui et al., 2020; Pathakoti et al., 2020) against the previous year or average of a few previous years. A detailed study by Singh et al. (2020) based on 134 sites across India reported a decline of ~30%–70% in NO$_2$ during lockdown with respect to the mean of 2017–2019, with the largest reduction being observed during peak morning traffic hours and late evening hours. While Sharma et al. (2020) reported a smaller decrease (18%) in NO$_2$ for selected sites against the levels during 2017–2019, Mahato et al. (2020) found a decrease of over 50% in Delhi for the first phase of lockdown against previous years (2017–2019), which was also confirmed by Singh et al. (2020) for the extended period of analysis. The satellite-based studies by Biswal et al. (2020) and Pathakoti et al. (2020) estimated the change in NO$_2$ levels using OMI observations, whereas Siddiqui et al. (2020) used TROPOMI to compute the change over eight major urban centres of India. Biswal et al. (2020) reported that the average OMI NO$_2$ over India decreased by 12.7%, 13.7%, 15.9%, and 6.1% during the subsequent weeks of the lockdown relative to similar periods in 2019. Similarly, Pathakoti et al. (2020) reported a decrease of 17% in average OMI NO$_2$ over India compared to the pre-lockdown period and a decrease of 18% against the previous 5-year average. Moreover, both studies reported a larger reduction of more than 50% over Delhi. Similarly, Siddiqui et al. (2020) also reported an average reduction of 46% in the eight cities during the first lockdown phase with respect to the pre-lockdown phase. While recent studies have used either only satellite observations or only surface observations, this study goes further by adopting an integrated approach by combining both measurement types to investigate NO$_2$ level changes over India in response to the COVID-19 pandemic using OMI, TROPOMI, and surface observations over different regions. As both OMI and TROPOMI have similar local overpass times of approximately 13:30 (Penn and Holloway, 2020; van Geffen et al., 2020), diurnal influences on the retrievals of NO$_2$ for both instruments are similar. Moreover, as both instruments use nearly similar retrieval schemes (i.e. differential optical absorption spectroscopy, DOAS), their NO$_2$ measurements are believed to be comparable with a suitable degree of confidence (van Geffen et al., 2020; Wang et al., 2020). Any product differences are likely to be caused by inconsistent inputs/processing of the retrievals (e.g. derivation of the stratospheric slant column, the a priori tropospheric NO$_2$ profile, and the treatment of aerosols/clouds in the calculation of the air mass factor; van Geffen et al., 2019; Lamshal et al., 2021).
We estimate the changes in the NO$_2$ levels over different land-use categories (i.e., urban, cropland, and forestland) and urban sizes. In addition to this, we investigate the spatial agreement between population density and NO$_2$ spatial variability observed at the surface. A key benefit of this study will be to understand and assess the impact of reduced anthropogenic activity on NO$_2$ levels, not only over the urban areas but also over the rural areas (cropland and forestland). This study thus provides an improved understanding of the spatial variations of tropospheric NO$_2$ for future air quality management in India.

2 Data and methodology

2.1 Data

Satellite observations of VCD$_{trop}$ NO$_2$ were obtained from OMI (2016–2020) and TROPOMI (2019–2020). Surface NO$_2$ observations (2016–2020) at 139 sites across India were from the Central Pollution Control Board (CPCB). The period from 25 March to 3 May each year is defined as the analysis period. Average NO$_2$ levels during the analysis period in 2020 and previous years are referred to as lockdown (LDN) NO$_2$ and business-as-usual (BAU) NO$_2$, respectively. The BAU years for OMI and CPCB are 2016–2019, whereas for TROPOMI the BAU year is 2019 because of the unavailability of earlier observations.

NO$_2$ data were analysed for six geographical regions (north, Indo-Gangetic Plain (IGP), north-west, north-east, central, and south) of India (Fig. S1 in the Supplement). The NO$_2$ changes over various land-use categories (i.e., urban, cropland, and forestland) have been analysed using spatially collocated land-use land cover (LULC) data (NRSC, 2012) and OMI- and TROPOMI-observed VCD$_{trop}$ NO$_2$. Visible Infrared Imaging Radiometer Suite (VIIRS) fire count data were used to study the fire anomalies during the LDN and other analysis periods.

2.1.1 OMI NO$_2$

OMI has a nadir footprint of approximately 13 km $\times$ 24 km, measuring in the ultraviolet–visible (UV–Vis) spectral range of 270–500 nm (Boersma et al., 2011). It uses differential optical absorption spectroscopy (DOAS) to retrieve VCD$_{trop}$ (i.e. VCD$_{trop}$ is the difference between the total and stratospheric slant columns divided by the tropospheric air mass factor; Boersma et al., 2004). Here, we use the OMI NO$_2$ 30 % Cloud-Screened Tropospheric Column L3 Global Gridded (Version 4) at a 0.25° $\times$ 0.25° ($\sim$ 25 km $\times$ 25 km) spatial grid from the NASA Goddard Earth Sciences Data and Information Services Center (GESDISC), available at (https://disc.gsfc.nasa.gov/datasets/OMNO2d_003/summary, last access: 1 January 2021). Details of the retrieval scheme and OMI data product Version 4 are discussed by Krotkov et al. (2019) and Lamsal et al. (2021) and for older versions by, for example, Celarier et al. (2008) and Krotkov et al. (2017).

2.1.2 TROPOMI NO$_2$

TROPOMI has a nadir-viewing spectral range of 270–500 nm (UV–Vis), 675–775 nm (near-infrared, NIR), and 2305–2385 nm (shortwave-infrared, SWIR). In the UV-Vis and NIR wavelengths, TROPOMI has an unparalleled spatial footprint of 3.5 km $\times$ 7.0 km, along with 7 km $\times$ 7 km in the SWIR (Veefkind et al., 2012). Details of the TROPOMI scheme and data are discussed by Eskes et al. (2019) and Van Geffen et al. (2019). The TROPOMI VCD$_{trop}$ NO$_2$ over India for the analysis period was obtained at 3.5 km $\times$ 7 km resolution from http://www.temis.nl/airpollution/no2.php (last access: 25 December 2020) and re-gridded at a spatial resolution of 0.05° $\times$ 0.05° ($\sim$ 5 km $\times$ 5 km) based on the gridding methodology of Pope et al. (2018). The source data are filtered to remove pixels with QA (quality assurance) values greater than 50, which removes cloud fraction less than 0.2, part of the scenes covered by snow/ice, errors, and problematic retrievals (Eskes et al., 2019).

Although substantial differences are found between OMI and TROPOMI (such as the differences in the orbit and spatial resolution; van Geffen et al., 2020), they exhibit good correlation with the surface observations (Chan et al., 2020; Wang et al., 2020) but are $\sim$ 30 % lower than the multi-axis differential optical absorption spectroscopy (MAX-DOAS) observations. Overall, TROPOMI has been reported to be superior to OMI (van Geffen et al., 2020). Detailed descriptions of the recent retrieval schemes used for TROPOMI and OMI data products are provided in van Geffen et al. (2019) and Lamsal et al. (2021), respectively. Analysis of differences between these two satellite data products is beyond the scope of this study.

2.1.3 Surface NO$_2$ concentration

The hourly averaged surface NO$_2$ concentration at 139 sites (Fig. S1) for 2016–2020 across India was acquired from the CPCB CAAQMS (Continuous Ambient Air Quality Monitoring Stations) portal (https://app.cpcbccr.com/ccr/#/ caaqm-dashboard-all/caaqm-landing, last access: 1 December 2020). The data were further quality-controlled by removing the outliers, constant values, and sites with less than 60 % data during the analysis period. Details of the surface observations are explained in Singh et al. (2020).

2.1.4 Land use land cover data

The high-resolution (50 m $\times$ 50 m) LULC data mapped with level-III classification for 18 major categories (NRSC, 2012) were obtained from the Bhuvan geo-platform (https://bhuvan-app1.nrsc.gov.in/thematic/thematic/index.php, last access: 3 January 2020) of the Indian Space Research Organisation (ISRO). To quantify the changes over urban, crop,
and forest areas, the OMI and TROPOMI NO\textsubscript{2} at urban grids (category 1), cropland (category 2 to 5), and forestland (category 7 to 10) were extracted for further analysis. In order to match the OMI and TROPOMI grid resolution with the Indian LULC, the dominant LULC was considered within the OMI and TROPOMI grid. Figure S2 shows the high-resolution LULC data used in this study for cropland, forestland, and urban areas separately. Urban areas were further divided into four sizes (10–50, 50–100, 100–200, and greater than 200 km\textsuperscript{2}) to study the change in NO\textsubscript{2} with respect to the size of the urban agglomeration.

2.1.5 VIIRS fire counts

The VIIRS aboard the Suomi National Polar-orbiting Partnership (S-NPP) satellite provides daily global fire count at a spatial resolution of 375 m × 375 m (Schroeder et al., 2014; Li et al., 2018). The fire count data over India during the analysis period from 2016 to 2020 were obtained from the FIRMS (Fire Information for Resource Management System) web portal (https://firms.modaps.eosdis.nasa.gov/download/, last access: 25 December 2020). The fire count data were gridded at 5 km × 5 km for each year by summing the fire counts falling on each spatially overlapping grid. The burnt area was calculated from the fire counts by multiplication by the VIIRS grid size (Prosperi et al., 2020).

2.1.6 Population data

The gridded population density (people per hectare, pph) data for 2020 were taken from Worldpop (2017). Worldpop estimates the population density to be approximately 100 m × 100 m (near the Equator) by disaggregating census data for population mapping using a random forest estimation technique with remotely sensed and ancillary data. Details of the population mapping methodology can be found in Stevens et al. (2015).

2.1.7 Google mobility change

Google estimated the change in people’s movement from 15 February 2020 onwards based on Google Maps information on people’s locations at places of retail and recreation, grocery and pharmacy stores, parks, transit stations, workplaces, and residential places, etc. The changes were estimated with reference to the baseline days that represent a normal value for that day of the week. The baseline day is the median value from the 5-week period 3 January–6 February 2020. The Google mobility change dataset provided an excellent proxy for the anthropogenic activity change and has therefore been used for several purposes of air quality studies such as lockdown emission estimation and temporal relation with pollutant species (Archer et al., 2020; Forster et al., 2020; Gama et al., 2020; Guevara et al., 2021) during the lockdown period of 2020. The Google mobility data and reports are available from Google (2020).

2.1.8 Meteorological data

The Copernicus Climate Change Service (C3S) provides the ERA5 reanalysis (Hersbach et al., 2020) meteorological data with an improved vertical, temporal, and spatial coverage. The monthly mean meteorological data (temperature, wind speed, and planetary boundary layer height) at 0.25° × 0.25° resolution for March, April, and May 2016–2020 were used for the analysis. For details, see https://www.ecmwf.int/en/forecasts/datasets/reanalysis-datasets/era5 (last access: 25 January 2021).

2.1.9 Analysis methodology

The change in the NO\textsubscript{2} levels for each analysis period has been calculated by subtracting the BAU NO\textsubscript{2} from LDN NO\textsubscript{2}. We calculate the percentage change (\(D\)) using the following equation:

\[
D = \frac{(\text{LDN} - \text{BAU})}{\text{BAU}} \times 100.
\]

The analysis was done over the whole of India as well as over the separately considered regions and selected LULC categories using the open-source geographic information system QGIS.

3 Results and discussion

3.1 Meteorological variations

Air pollutant concentration over a region is governed by emission sources and prevailing meteorological conditions. Meteorological factors (e.g. wind, temperature, radiation, and rainfall) can affect the NO\textsubscript{2} concentration (Barré et al., 2020) as well as biogenic emissions (Guenther et al., 2012). The meteorological variations between years can cause ~15 % variations in monthly column NO\textsubscript{2} values (Goldberg et al., 2020). However, the NO\textsubscript{2} levels are likely to be similar under similar meteorological conditions. Recent studies (e.g. Singh et al., 2020; Navinya et al., 2020; Sharma et al., 2020) have shown that meteorological conditions remained relatively consistent over recent years during the lockdown period and therefore assumed that the changes in the pollution levels during the lockdown are primarily driven by the emission changes. However, it is important to highlight the meteorological differences during the study period to assess the uncertainties associated with meteorological differences.

We used monthly mean ERA-5 reanalysis data (Hersbach et al., 2020) at 0.25° × 0.25° resolution for March, April, and May for BAU as well as LDN periods at the satellite local overpass time. We considered temperature (\(T\)), wind speed (WS), and boundary layer height (BLH) in our analysis. Figure 1a–c show the spatial variation in these quantities during BAU (left column), LDN (middle column) and the calculated

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difference (LDN–BAU, right column). The probability density function (PDF) using kernel density estimation (KDE) of the meteorological parameters is also shown (Fig. S3) for the BAU (blue) and LDN (red). KDE is a non-parametric way to estimate the PDF. The peak of the distribution shows the most probable value, and the width of the distribution shows the variability. The temperature difference between LDN and BAU shows a slight reduction (~0–3 K range) during the lockdown. Wind speed values also show a reduction (up to 2 m s$^{-1}$) during the lockdown, although the reduction is mainly seen in certain parts of central India. Reduction in the BLH is also seen in most parts of India. In general, the meteorological parameters during the lockdown were similar. However, the PDF (Fig. S3) during BAU and LDN shows a small reduction (less than 5%) in temperature and wind speed and ~10% reduction in BLH. Although small, this weather variability can further add to the variability in the NO$_2$ levels. However, during the lockdown in India, the NO$_2$ change was more sensitive to the emission change than the meteorology variability. Shi et al. (2021) compared the detrended and de-weathered change in NO$_2$ observed over selected cities in India, Europe, China, and the USA. While the reduction in NO$_2$ was highest for Delhi (~50%), the difference between a detrended and de-weathered change in NO$_2$ observed over Delhi was much smaller (~2%) as compared to the difference calculated for other cities. This suggests that weather variability did not have much impact on NO$_2$ levels over India and that most of the changes were driven by a change in the anthropogenic emissions.

### 3.2 Fire count anomalies during the lockdown

Forest fires are an important source of surface NO$_2$ and VCD$_{trop}$ NO$_2$ (Sahu et al., 2015; Yarragunta et al., 2020), depending on the occurrence time and the intensity of fires (Mebust et al., 2011). Also, as the forest fire plumes can be transported longer distances (Alonso-Blanco et al., 2018), forest-fire-related NO$_2$ can contribute to regional and global air pollution. In India, forest fires are prevalent as 36% of the country’s forest cover is prone to frequent fires, of which nearly 10% is extremely to very highly prone to fires (ISFR, 2019). Long-term satellite-derived fire counts suggest that Indian fire activities typically peak during March–May (Sahu et al., 2015), predominantly over the north, central, and north-east regions (Venkataraman et al., 2006; Ghude et al., 2013). However, the spatial and temporal distribution of fire events is largely heterogeneous (Sahu et al., 2015), meaning an abrupt increase or decrease in fire activity could significantly impact NO$_2$ levels over anomalous regions during the lockdown.

An investigation of fire counts during the 2020 lockdown (LDN analysis period), when compared with the corresponding 2016–2020 average, highlights a substantial decrease over the eastern part of central India and an increase over the western part of central India and the north-east. In Fig. 2a widespread fire activity (counts of 10–50) is shown across India, such as the central region (Madhya Pradesh, Chhattisgarh, Odisha), parts of Andhra Pradesh, the Western Ghats in Maharashtra, and the north-east region (Assam, Meghalaya, Tripura, Mizoram, and Manipur). The fire anomaly during the lockdown (Fig. 2b) shows positive fire counts (5–20) over the north-east region, west of Madhya Pradesh in central India, and scattered locations in South India. The negative fire anomalies (~20 to ~5) observed over the central region (Chhattisgarh and Odisha) suggest a decrease in fire activity during the 2020 lockdown period. To minimise the impact of fire emission in our analysis, we have considered the grids with zero fire anomaly to assess the changes in NO$_2$ during the lockdown. By considering the grids with zero fire anomaly, we excluded almost all the grids which have recorded fire activity during the analysis period. However, the impact of long-range transport of forest fire plumes cannot be ignored.

#### 3.3 VCD$_{trop}$ NO$_2$ over India during lockdown period

The spatial distribution of VCD$_{trop}$ NO$_2$ is largely determined by local emission sources; therefore, NO$_2$ hotspots are found over urban regions, thermal power plants, and major industrial corridors. For the Indian subcontinent, maximum NO$_2$ is observed during winter to pre-monsoon (December–May) and minimum NO$_2$ during the monsoon (June–September). Region-specific peaks such as the wintertime peak (December–January) in the IGP are associated with anthropogenic emissions, or the summertime peak (March–April) in central India and north-east India is associated with enhanced biomass burning activities (Ghude et al., 2008, 2013; Hilboll et al., 2017).

We compare the LDN mean VCD$_{trop}$ NO$_2$ with the BAU mean for OMI and TROPOMI. The spatial distribution of the BAU and LDN VCD$_{trop}$ NO$_2$ observed by OMI and TROPOMI is shown in Fig. 3a–d. The mean VCD$_{trop}$ NO$_2$ from the two instruments shows similar spatial distributions during the LDN and BAU analysis period. In BAU years, the NO$_2$ hotspots are seen over the large fossil-fuel-based thermal power plants (~1000 × 10$^{13}$ molec. cm$^{-2}$), urban areas (~400–700 × 10$^{13}$ molec. cm$^{-2}$), and industrial areas. Scattered sources are also present in western India, covering the industrial corridor of Gujarat and Mumbai, various locations of south India, and densely populated areas (e.g. IGP). The spatial distribution showed significant changes during the lockdown in 2020. The details of absolute and percentage changes are discussed in the subsequent sections.

#### 3.4 Changes observed by OMI and TROPOMI

There is a substantial reduction in VCD$_{trop}$ NO$_2$ between the LDN and BAU (Fig. 4a and c). A large reduction in the number of hotspots, mainly urban areas, is seen in both OMI and TROPOMI observations. However, hotspots due to coal-
Figure 1. Spatial map showing the variation in surface meteorological parameters (a temperature, b wind speed, and c BLH) from ERA-5 by comparing BAU (left column), LDN (middle column), and observed difference (LDN − BAU, right column).

Figure 2. Spatial distribution of the 5 km × 5 km gridded VIIRS fire counts. (a) Average fire counts during the analysis period (25 March–3 May 2016–2020). (b) Gridded fire anomaly during the lockdown in 2020.
Figure 3. Spatial distribution of mean VCD\textsubscript{trop} NO\textsubscript{2} (molec. cm\textsuperscript{-2}) during the analysis period (25 March–3 May) for (a) OMI NO\textsubscript{2} during business as usual (BAU, 2016–2019), (b) OMI NO\textsubscript{2} during the lockdown (LDN, 2020), (c) TROPOMI NO\textsubscript{2} during BAU (2019), and (d) TROPOMI NO\textsubscript{2} during LDN (2020).

Based power plants remain during the lockdown as electricity production was continued. Over the NO\textsubscript{2} hotspots, there has been an absolute decrease of over 150 x 10\textsuperscript{13} molec. cm\textsuperscript{-2} (~250 x 10\textsuperscript{13} molec. cm\textsuperscript{-2} over megacities) detected by both OMI and TROPOMI. The rural VCD\textsubscript{trop} NO\textsubscript{2} has typically reduced by approximately 30–100 x 10\textsuperscript{13} molec. cm\textsuperscript{-2}, representing a percentage decrease of 30\%–50\% for OMI and 20\%–30\% for TROPOMI (Fig. 4b and d). For urban regions, both OMI and TROPOMI see a decrease of approximately 50\%, but reductions in smaller urban areas are clearly noticeable in the TROPOMI data, given its better spatial resolution. Both instruments observe an increase in VCD\textsubscript{trop} NO\textsubscript{2} in the north-eastern regions and moderate enhancement over the western and central regions. These enhancements are linked with the biomass burning activities during this period (Fig. 2).

3.5 Changes in NO\textsubscript{2} over different land use types

Anthropogenic NO\textsubscript{x} emissions are typically more localised in urban and industrial centres, while biogenic sources (e.g. soil) are more important in rural regions. OBB activities peak in March–April (Sahu et al., 2015) and represent more sporadic sources. As the lockdown is expected to have reduced urban anthropogenic NO\textsubscript{x} sources (as shown in Fig. 4), it is important to assess the lockdown impact over the rural regions such as cropland and forestland as well. This section estimates the changes in VCD\textsubscript{trop} NO\textsubscript{2} over different land types such as cropland, forestland, and urban areas (Fig. S2). Industrial emissions are often part of the urban agglomerates scattered around the city and are part of urban emissions. To minimise the impact of OBB emissions in our analysis, we exclude grids with fire anomalies (Fig. 2) and those containing thermal power plants (Fig. S2d). However, absolute separation of the impact of long-range transportation is beyond the scope of this study.

3.5.1 Changes over cropland and forestland

The changes in VCD\textsubscript{trop} NO\textsubscript{2} observed by OMI and TROPOMI over the cropland (Fig. S2a) in different regions
of India are shown in Fig. 5a and b and Table S1 in the Supplement. A decline in VCD$_{\text{trop}}$ NO$_2$ has been observed over croplands in all regions except for the north-east. A higher percentage decline was observed over IGP and south regions by both the satellites. While VCD$_{\text{trop}}$ NO$_2$ has decreased, prominent enhancements have been observed over the north-east and few grids in central and north-west regions. These enhancements can be attributed to the impact of nearby forest fires (Fig. 2). The observed changes over the forestland (Fig. S2c) over different regions of India are shown in Fig. 5c–d and Table S1. The average VCD$_{\text{trop}}$ NO$_2$ has declined over forestland in all the regions except for the north-east, where VCD$_{\text{trop}}$ NO$_2$ was enhanced due to the positive fire anomaly (Fig. 2) during the analysis period. It can be noted that although we have taken the grids with zero fire anomaly, the effect of a nearby grid exhibiting positive fire anomaly cannot be ignored due to atmospheric dispersion and mixing. The inter-comparison of the changes observed by two satellites suggests that OMI data indicate a larger reduction in VCD$_{\text{trop}}$ NO$_2$ than TROPOMI in most of the regions.

### 3.5.2 Changes over urban regions

We analysed the changes in VCD$_{\text{trop}}$ NO$_2$ over the urban areas (Fig. S2b) in different regions of India. The calculated actual and percentage changes observed by OMI and TROPOMI are shown in Fig. 6 and in Table S1. The mean changes observed by OMI and TROPOMI show similar variations in different regions. The changes observed over urban areas are larger than those observed over the forest and croplands. In contrast to the cropland and forestland, TROPOMI observed a larger reduction in VCD$_{\text{trop}}$ NO$_2$ than OMI in most of the regions. Densely populated IGP with the largest urban agglomeration shows the maximum change in VCD$_{\text{trop}}$ NO$_2$ followed by the central and north-west regions. The VCD$_{\text{trop}}$ NO$_2$ over the urban areas in the north-east region is likely to be influenced by the nearby forest fires through atmospheric dispersion and mixing, resulting in the enhancement of VCD$_{\text{trop}}$ NO$_2$ over the urban grids.

We have also analysed the change in the VCD$_{\text{trop}}$ NO$_2$ over urban areas of different sizes. We have taken the urban areas of sizes more than 10 km$^2$ and grouped them into four bins of size 10–50, 50–100, 100–200, and greater than 200 km$^2$. We then calculate the changes observed for all the cities filling into the respective bins. Figure 6c–d show the absolute and percentage change in VCD$_{\text{trop}}$ NO$_2$, as observed by OMI and TROPOMI, respectively. A significant reduction of 50–150 $\times 10^{13}$ molec. cm$^{-2}$ (20–40 %) was observed over the urban area of different sizes. The actual reduction in VCD$_{\text{trop}}$ NO$_2$ is greater for the larger urban area, with peak reductions for the urban area bin (> 200 km$^2$) for both OMI and TROPOMI. The greater reduction in the larger urban areas is mainly due to the reduction in local emission sources, as evidenced by the Google mobility reduction, which is higher for larger cities than the smaller ones (Fig. S6).

### 3.5.3 Changes over thermal power plants

Thermal power plants (TPPs) are the hotspots of NO$_2$ pollution. These are scattered across the nation, with the majority of them in Madhya Pradesh, Bihar, Uttar Pradesh, Odisha, Gujarat, Chhattisgarh, West Bengal, and Tamil Nadu (Fig. S2d). During the lockdown period, TPPs were still operated to fulfil electricity demands. In this section, we analyse the changes observed over TPPs. The changes in VCD$_{\text{trop}}$ NO$_2$ observed by OMI and TROPOMI over the TPPs are shown in Fig. S5. A decrease in mean VCD$_{\text{trop}}$ NO$_2$ levels over TPPs has been observed that is in line with the power sector report, which mentions that during April 2020, energy demand met for India decreased by 24 % as compared to April 2019 (POSOCO, 2021). Also, there is a drop (~30 %) in thermal power production during the lockdown compared to the respective period of 2019.

### 3.6 Inter-comparison of changes observed by OMI, TROPOMI and surface observation

Figure 7a–b show the relationship of OMI and TROPOMI NO$_2$ with surface NO$_2$ for the BAU and LDN periods, respectively. During BAU, there are reasonable positive correlations between the satellite instruments and the surface sites (OMI: 0.48, 95 % CI 0.33–0.60 and TROPOMI: 0.52, 95 % CI 0.37–0.64). In LDN, these correlations drop to 0.36 (95 % CI 0.20–0.49) and 0.28 (95 % CI 0.12–0.43), respectively. The decrease in the correlation during LDN could be due to the decrease in the signal-to-noise ratio, potentially linked with the primary reduction in urban NO$_2$ levels. We also determined the correlation between satellite- and surface-observed changes during the lockdown (Fig. 7c), finding values of 0.44 (95 % CI 0.28–0.57) for OMI and 0.49 (95 % CI 0.33–0.63) for TROPOMI. This indicates that the lockdown NO$_2$ reductions appear to be present in both measurement types, providing us with confidence in the observed changes detected in this study. The correlation observed over India in this study is lower than that reported for the USA (Lamsal et al., 2015). The low correlation between OMI and surface NO$_2$ has been reported previously by Ghude et al. (2011). While they report the temporal correlation for a single site, our study reports the spatial correlation representing the satellites’ ability to capture the spatial heterogeneity. One of the reasons for the lower correlation could be the choice of surface station. Generally, urban background sites are preferred for this kind of analysis. However, the surface NO$_2$ monitoring station type classification is not available for the CPCB sites. Therefore, sites used in the analysis could be potentially impacted by traffic emissions, resulting in lower correlation. Another reason is that in situ measurements are more sensitive to the local emission sources than remotely...
Figure 5. Observed change in VCD\textsubscript{trop} NO\textsubscript{2} between LDN and BAU from OMI and TROPOMI for different regions shown as (a) a violin plot of the absolute change over cropland, (b) the percentage change over cropland, (c) a violin plot of the absolute change over forestland, and (d) the percentage change over forestland. A violin plot is a combination of a box plot and a kernel density estimation (KDE) plot. KDE is a non-parametric way to estimate the probability density function (PDF). The red lines in the violin plot show the interquartile range; the blue line shows the median value; the yellow star shows the mean value. The vertical lines in the bar plot show the standard deviation. The abbreviations NWest and NEast are for north-west and north-east regions, respectively.

Figure 6. Observed change in VCD\textsubscript{trop} NO\textsubscript{2} between LDN and BAU from OMI and TROPOMI for different regions shown as (a) a violin plot of the absolute change over urban areas, (b) the percentage change over urban area, (c) a violin plot of the observed change over different sized urban areas, and (d) the percentage change over different sized urban areas.

sensed measurements and therefore have larger variability, resulting in low correlation. Proper classification of the monitoring stations could provide a better assessment of satellite-based observations.

The LDN NO\textsubscript{2} percentage change, observed by surface and spatially co-located satellite measurements, is shown in Fig. 8a for various Indian regions. For this comparison, the number of available CPCB surface monitoring stations was 17, 15, 81, 25, and 1 for central, north-west, IGP, south, and north-east regions (north region data not available), respectively. Most of the CPCB stations are in urban areas, so our results reflect changes in predominantly urban-sourced NO\textsubscript{2}. At all surface sites in all regions, there was a percentage reduction greater than 20 % (Fig. 8a). Satellite observations show a similar trend except for the north-east region, where enhancements are due to forest fires. Both OMI
and TROPOMI observed the highest reduction (∼50%) over IGP. A smaller average reduction of ∼20% over central India might be due to the aggregate effect of power plants, forest fires, and prevalent biomass burning activities during this season. While the effect of forest fires can be observed in the column NO$_2$, its impact on the surface NO$_2$ is minimal. For the central, IGP, and south regions, the mean percentage change observed by the surface monitoring station is comparable to that observed by the satellites.

We have inter-compared the percentage change in NO$_2$ observed at the surface and satellite over the major Indian cities (i.e., New Delhi, Chennai, Mumbai, Bangalore, Ahmedabad, Kolkata, and Hyderabad; Fig. 8b). A significant reduction in the range of ∼25%–75% is observed, consistent in all observational sources used in this study. A similar reduction observed by the satellites over the cities in other parts of the world has been reported (Tobías et al., 2020; Naeger and Murphy, 2020; Kanniah et al., 2020; Huang and Sun, 2020). The satellites observe the largest reduction over Delhi and the smallest over Kolkata. While the observed decline is comparable for cities, Ahmedabad and Kolkata showed smaller declines than observed by ground measurements. Also, the reduction observed at the surface has a larger spatial variability than the one observed from the space. This is potentially linked to the influence of the local emissions which could not be detected by the space-based instruments because of relatively large satellite footprints. The results of percentage change observed by OMI are consistent with the change reported by Pathakoti et al. (2020), although Siddiqui et al. (2020) reported a higher decline of NO$_2$ using TROPOMI. This is because we computed the changes between lockdown and BAU during the same period of the year, whereas Siddiqui et al. (2020) estimated the changes between the pre-lockdown NO$_2$ and the lockdown NO$_2$, which includes the seasonal component of NO$_2$. We have also analysed the changes in VCD$_{\text{trop}}$ NO$_2$ observed by both OMI and TROPOMI for the other major cities (Guttikunda et al., 2019), as shown in Fig. S4. A reduction of over 20% was observed in most cities except for a few in north-east and central India. Cities showing enhancement or smaller reductions reflect the enhanced fire activities in the north-east and central Indian regions. TROPOMI can capture the reduction over the cities near the fire-prone areas (e.g., Indore and Bhopal) because of its higher spatial resolution.

### 3.7 Correlation of tropospheric columnar NO$_2$ with the population density

In this section, we examine the VCD$_{\text{trop}}$ NO$_2$ and population relationship for India except where fire anomalies or large thermal power plants existed. The scatter density plots between VCD$_{\text{trop}}$ NO$_2$ and population density for the BAU and LDN analysis period are shown in Fig. 9 for OMI and TROPOMI. The data were log-transformed to establish the log–log relationship as neither dataset is normally distributed. As the observed changes had negative values, this log transformation was obtained by adding a constant value (Ekwaru and Veugelers, 2018), which was later subtracted when plotting to display the corresponding NO$_2$ values. Both OMI and TROPOMI NO$_2$ show a similar relationship with the population density, with correlations of ∼0.65 during the LDN and BAU periods, suggesting a strong dependence upon the population (i.e. anthropogenic emissions). The slopes of the lines in Fig. 9a, b, and e show that VCD$_{\text{trop}}$ NO$_2$ follows a power-law scaling with population density (Lamsal et al., 2013). During BAU, the VCD$_{\text{trop}}$ NO$_2$ observed over a grid increased by factors of $10^{0.28} = 1.9$ and $10^{0.20} = 1.58$ for OMI and TROPOMI, respectively, with a 10-fold increase in the population density. The rate of increase of the VCD$_{\text{trop}}$ NO$_2$ during LDN was $10^{0.23} = 1.7$ and $10^{0.16} = 1.45$ times for OMI and TROPOMI, respectively, which was lower than BAU. The correlation during the LDN period was marginally lower than the BAU period. This could be due to a larger reduction in the NO$_2$ levels in the densely populated grids. The changes observed in the VCD$_{\text{trop}}$ NO$_2$ during the
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Figure 8. (a) Box plot showing the percentage change between LDN and BAU in NO$_2$ levels observed by ground and satellite measurements at CPCB monitoring locations in different regions. (b) Bar chart showing the percentage change in NO$_2$ levels observed in megacities in India for the same measurements as panel (a). The vertical line in the bar chart is the standard deviation.

LDN (Fig. 9c and f) were negatively correlated (i.e. reduction was positively correlated) with the population density. The linear relation suggests an increase in the reduction with an increase in the population density; however, some grids exhibit enhancements in VCD$_{trop}$ NO$_2$ due to the local emissions.

3.8 Linking the mobility change with NO$_2$ change

In order to link the observed reduction in NO$_2$ levels with the traffic emissions over the urban areas, Fig. 10 shows the 7 d moving average of the daily percentage change observed by OMI, TROPOMI, and CPCB across urban India from 1 March to 31 May 2020 against the Google mobility percentage reduction for three mobility categories: transit stations, workplace, and residential. Transit stations and workplace, proxies for traffic emissions (Forster et al., 2020), show a sharp decline (∼70 %) due to the lockdown. The signatures of reduced traffic can be seen even before the start of lockdown in mid-March 2020. The decrease in the workplaces resulted in the enhancement (25 %–30 %) of people at a residential location. The percentage reductions observed by satellites and surface monitoring are consistent with each other and follow the same trend of the workplaces and transit stations. The reductions observed by satellites and surface monitoring are ∼20 % lower than the reductions in workplaces and transit stations, which are compensated for by the enhancement in residential emissions. Surface (CPCB) measurements exhibit higher correlation (∼0.9 and 0.8, with and without moving average) with the mobility reduction compared to the satellite observation, which has a relatively weaker correlation (∼0.8 and 0.5). The positive correlation of NO$_2$ reduction with workplaces and transit stations suggests that the reduction observed over the urban areas was linked with reduced traffic emissions due to travel restrictions for COVID-19 containment. Moreover, the mobility reduction was higher for larger cities as compared to the smaller ones (Fig. S6).

3.9 Limitations of this study

This study has few limitations that need to be considered while interpreting the results. The observed changes in the NO$_2$ levels are the combined effect of changes in the emissions, local meteorology, large-scale dynamics, and non-linear chemistry. The variability in NO$_2$, caused by weather patterns and non-linear chemistry is not included in the present work. Our study does not distinguish the differences in the upwind and downwind transport of plumes originating from urban areas and thermal power plants. Moreover, the estimates can be biased by the forest-fire plumes, which can be transported over a long distance. These limitations warrant a detailed modelling study to quantify the impact of long-range transport of plumes in the drastic reduction of urban emissions. One of the limitations arises due to the unavailability of the surface monitoring classification according to its location and vicinity of the local sources, which restricted a proper assessment of the space-based NO$_2$ observation. To overcome this limitation, proper classification of the monitoring stations (Geiger et al., 2013) based on the environment type and vicinity of the sources will be helpful in air quality assessment.

4 Conclusions and discussion

The changes in NO$_2$ levels over India during the COVID-19 lockdown (25 March–3 May 2020) have been studied using satellite-based VCD$_{trop}$ NO$_2$ observed by OMI and TROPOMI and surface NO$_2$ concentrations obtained from CPCB. The changes between lockdown (LDN) and the same period during business-as-usual (BAU) years have been estimated over different land-use categories (e.g. urban, crop-land, and forestland) across six geographical regions of In-
Figure 9. Scatter density plot between the VCD\textsubscript{trop} NO\textsubscript{2} \((\times 10^{13} \text{ molec. cm}^{-2})\) and population density (pph) for the analysis period in different years. (a) Business as usual (BAU, 2016–2019) observed by OMI; (b) lockdown (LDN, 2020) observed by OMI; (c) changes (LDN – BAU) observed by OMI; (d) BAU (2019) observed by TROPOMI; (e) LDN (2020) observed by TROPOMI; (f) LND-BAU changes observed by TROPOMI. The linear best fit lines show the log–log relationship between VCD\textsubscript{trop} NO\textsubscript{2} \((Y)\) and population density \((X)\) given by equation \(y = \beta \cdot x + c\), where \(y = \log(Y)\), \(x = \log(X)\) and \(c = \log(C)\). Therefore, the equation can be written as \(\log(Y) = \beta \cdot \log(X) + \log(C)\) or \(Y = C \cdot X^\beta\), where \(\beta\) is the slope of the line.

Figure 10. Temporal evolution of estimated change (7 d rolling mean) of satellite-observed VCD\textsubscript{trop} NO\textsubscript{2} and surface-measured NO\textsubscript{2} for the period 1 March–31 May 2020 from the baseline.

dia. Also, the changes observed from space and at the surface have been inter-compared, and the correlation with the population density has been studied.

Overall, a significant reduction in NO\textsubscript{2} levels of up to \(\sim 70\%\) was observed over India during the lockdown compared to the same period during BAU. The usual prominent NO\textsubscript{2} hotspots observed by OMI and TROPOMI over urban agglomerations during BAU were barely noticeable during the lockdown. However, despite the reduction in electricity production, the coal-based thermal power plants continued to be major NO\textsubscript{2} hotspots during the lockdown. Some of the largest reductions in NO\textsubscript{2} were observed to be over the urban areas of the IGP region. The reduction observed for urban agglomerations was over 150 \(\times 10^{13} \text{ molec. cm}^{-2}\).
The observed changes in VCD$_\text{trop}$ NO$_2$ were found to be spatially positively correlated with surface NO$_2$ concentrations, indicating that the lockdown NO$_2$ changes appear to be present in both measurement types. The TROPOMI NO$_2$ showed a better correlation with surface NO$_2$ and was more sensitive to the changes than the OMI because of the finer resolution. Therefore, TROPOMI can provide a better estimate of NO$_2$ associated with fine-scale heterogeneous emissions. Also, VCD$_\text{trop}$ NO$_2$ was found to exhibit a good correlation with the population density, suggesting a strong dependence on the anthropogenic emissions. The changes observed in the VCD$_\text{trop}$ NO$_2$ during the lockdown were negatively correlated (i.e., reduction was positively correlated), with the population density suggesting a larger reduction for the densely populated cities. However, the influence of local emissions can be different in different cities.

The analysis presented in this work shows a significant change in NO$_2$ levels across India. The observed reductions can be linked with the control measures taken to prevent the spread of the COVID-19 that restricted people’s movement, resulting in a significant reduction in anthropogenic emissions. As an important message to policymakers, this study indicates the level of decrease in NO$_2$ that is possible if dramatic reductions in key emission sectors such as road traffic were incorporated into air quality management strategies.

Data availability. OMI data are available at the NASA Goddard Earth Sciences Data and Information Services Center (GESDISC) (https://disc.gsfc.nasa.gov/datasets/OMN02d_003/summary, GESDISC, 2021). TROPOMI data are obtained from (http://www.temis.nl/airpollution/no2.php, TEMIS, 2020). Surface measured NO$_2$ data across India are available at CPCB site (https://app.cpcbccr.com/ccs/, CPCB, 2020). VIIRS fire count data are available at the FIRMS web portal (https://firms.modaps.eosdis.nasa.gov/, FIRMS, 2020). India Population data used in this study are available at the https://www.worldpop.org/ (https://doi.org/10.5258/SOTON/WP00532, WorldPop., 2017). The LULC data for India are available at the Bhuvan, (https://bhuvan.nrsc.gov.in, Bhuvan, 2020) Indian Geo-Platform of Indian Space Research Organisation. ERA5 meteorology data are available at CDC (https://cds.climate.copernicus.eu/cdsapp, CDC, 2021). The mobility data are available on Google platform (https://www.google.com/covid19/mobility, Google, 2020).

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Author contributions. AB and VS conceived the study, analysed the data and interpreted the results with SS. MPC, SSD, RJP provided the processed TROPOMI data and provided useful discussion on satellite products. APK, KR, RSS, MPC, SSD, RJP, TS, SM provided useful discussion on the results. AB, VS, SS wrote the first draft and finalised the paper with input from all co-authors.

Competing interests. The authors declare that they have no conflict of interest.

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