On Ihara’s lemma for Hilbert Modular Varieties

Mladen Dimitrov

Abstract

Let \( \rho \) be a modulo \( p \) representation of the absolute Galois group of a totally real number field. Under the assumptions that \( \rho \) has large image and admits a low weight crystalline deformation we show that any low weight crystalline deformation of \( \rho \) unramified outside a finite set of primes will be modular. We follow the approach of Wiles as generalized by Fujiwara. The main new ingredient is an Ihara type lemma for the local component at \( \rho \) of the middle degree cohomology of a Hilbert modular variety. As an application we relate the algebraic \( p \)-part of the value at 1 of the adjoint \( L \)-function associated to a Hilbert modular newform to the cardinality of the corresponding Selmer group.

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1. Introduction.

1.1 Statement of the main results. Let \( F \) be a totally real number field of degree \( d \), ring of integers \( \mathfrak{o} \) and Galois closure \( \tilde{F} \). Denote by \( J_F \) the set of all embeddings of \( F \) into \( \mathbb{R} \). The absolute Galois group of a field \( L \) is denoted by \( G_L \).

Let \( f \) be a Hilbert modular newform over \( F \) of level \( \mathfrak{n} \) (an ideal of \( \mathfrak{o} \), cohomological weight \( k = \sum_{\tau \in J_F} k_\tau \tau \quad (k_\tau \geq 2 \text{ of the same parity}) \) and put \( k_0 = \max \{k_\tau | \tau \in J_F\} \). For a prime \( p \) and an embedding \( \iota_p : \mathbb{Q} \hookrightarrow \mathbb{Q}_p \) one can associate to \( f \) and \( \iota_p \) a \( p \)-adic representation (cf \[32\] \[33\]) :

\[
\rho_{f,p} : G_F \rightarrow \text{GL}_2(\mathbb{Q}_p),
\]

which is irreducible, totally odd, unramified outside \( \mathfrak{n} p \) and characterized by the property that for each prime \( v \) not dividing \( \mathfrak{n} p \) we have \( \text{tr}(\rho_{f,p}(\text{Frob}_v)) = \iota_p(c(f,v)) \), where \( \text{Frob}_v \) denotes a geometric Frobenius at \( v \) and \( c(f,v) \) is the eigenvalue of \( f \) for the standard Hecke operator \( T_v \). The embedding \( \iota_p \) defines a partition \( J_F = \bigsqcup_v J_{F_v} \), where \( v \) runs over the primes of \( F \) dividing \( p \) and \( J_{F_v} \) denotes the set of embeddings of \( F_v \) in \( \mathbb{Q}_p \). Then \( \rho_{f,p}|G_{F_v} \) is known to be de Rham of Hodge-Tate weights \((\frac{k_0-k_v}{2}, \frac{k_0+k_v}{2} - 1)_{\tau \in J_{F_v}}\), unless \( k_0 = 2 \), \( \rho_{f,p} \) is residually reducible but not nearly-ordinary, \( d \) is even and the automorphic representation associated to \( f \) is not a discrete series at any finite place (cf \[1\] and \[22\]). If \( p > k_0 \) is unramified in \( F \) and relatively prime to \( \mathfrak{n} \), then \( \rho_{f,p}|G_{F_v} \) is crystalline (cf \[2\]).
Such a $\rho_{f,p}$ is defined over the ring of integers $\mathcal{O}$ of a finite extension $E$ of $\mathbb{Q}_p$. Denote by $\kappa$ be the residue field of $\mathcal{O}$ and let $\overline{\rho}_{f,p}$ be the semi-simplification of the reduction of $\rho_{f,p}$ modulo a uniformizer $\varpi$ of $\mathcal{O}$. We say that a two-dimensional irreducible $p$-adic (resp. modulo $p$) representation of $\mathcal{G}_F$ is modular if it can be obtained by the above construction. The following conjecture is a well known extension to an arbitrary totally real field $F$ of a conjecture of Fontaine and Mazur [15]:

**CONJECTURE.** A two-dimensional, irreducible, totally odd $p$-adic representation of $\mathcal{G}_F$ unramified outside a finite set of primes and de Rham at all primes $v$ dividing $p$ with distinct Hodge-Tate weights for each $F_v \hookrightarrow \overline{\mathbb{Q}}_p$, is modular, up to a twist by an integer power of the $p$-adic cyclotomic character.

Consider the assumption:

$(\star)$ $p$ is unramified in $F$ and $p - 1 > \sum_{\tau \in \mathcal{G}_p} (k_\tau - 1)$.

We provide some evidence for this conjecture by proving:

**THEOREM A.** Let $\rho : \mathcal{G}_F \to \text{GL}_2(\overline{\mathbb{F}}_p)$ be a continuous representation. Assume that:

(1) there exists a Hilbert modular newform $f$ of level prime to $p$ and cohomological weight $k$ as in $(\star)$, such that $\overline{\rho}_{f,p} \cong \rho$, and

(2) the image of $\mathcal{G}_F$ by $\otimes \text{Ind}_F^\mathbb{Q} \rho = \bigotimes_{\tau \in \mathcal{G}_q/\mathcal{G}_F} \rho(\tau^{-1} \cdot \tau)$ is irreducible of order divisible by $p$.

Then all crystalline deformations of $\rho$ of weights between 0 and $p - 2$ and unramified outside a finite set of primes are modular.

**Remark 1.1.** We have greatly benefited from the work of Fujiwara, though we use a different approach (cf [12] for a more detailed discussion). Furthermore, the proof of theorem A relies on Fujiwara’s results in the minimal case. Let us mention however that if $P_\rho = \emptyset$ (cf Definition 1.2) then Theorem A is independent of the results of [17] (cf Theorem 5.1).

**Remark 1.2.** One can show that if $F$ is Galois over $\mathbb{Q}$ and if $f$ is a Hilbert modular newform on $F$ which is not a theta series nor a twist of a base change of a Hilbert modular newform on $E \subset F$, then for all but finitely many primes $p$, $\rho = \overline{\rho}_{f,p}$ satisfies (2) for all $\iota_p : \mathbb{Q} \hookrightarrow \overline{\mathbb{Q}}_p$.

**Remark 1.3.** The level lowering results of Jarvis [20, 21], Fujiwara [18], and Rajaei [26], generalizing classical results of Ribet [28] and al. to the case of an arbitrary totally real field $F$, imply that the newform $f$ in (1) can be chosen so that $\rho_{f,p}$ is a minimally ramified deformation of $\rho$ in the sense of Definition 1.3.

To a Hilbert modular newform as above, Blasius and Rogawski [1] attached a rank 3 motive over $F$ with coefficients in $\overline{\mathbb{Q}}_p$, pure of weight zero and autodual. For all $\iota_p$, its $p$-adic realization $\text{Ad}^0(\rho_{f,p})$ is given by the adjoint action of $\mathcal{G}_F$ via $\rho_{f,p}$ on the space of two by two trace zero matrices. Denote by $L(\text{Ad}^0(\rho_{f,p}), s)$ and $\Gamma(\text{Ad}^0(\rho_{f,p}), s)$ the associated L-function and $\Gamma$-factor.

In this setting, Beilinson and Deligne conjecture that the order of vanishing of $L(\text{Ad}^0(\rho_{f,p}), s)$ at $s = 1$ equals $\dim H^1_{\text{I}}(F, \text{Ad}^0(\rho_{f,p}) \otimes \mathbb{Q}_p) - \dim H^0(F, \text{Ad}^0(\rho_{f,p}) \otimes \mathbb{Q}_p)$, where $H^1_{\text{I}}$ is the Selmer group defined by Bloch and Kato (cf [11] §2.1). By a formula due to Shimura we know that the non-zero multiple of the Petersson inner product of $f$, hence does not vanish. Since $\rho_{f,p}$ is irreducible, by Schur’s lemma $H^0(F, \text{Ad}^0(\rho_{f,p}) \otimes \mathbb{Q}_p) = 0$. Therefore, in our case, the Beilinson-Deligne conjecture is equivalent to the vanishing of $H^1_{\text{I}}(F, \text{Ad}^0(\rho_{f,p}) \otimes \mathbb{Q}_p)$.

Let $\text{Tam}(\text{Ad}^0(\rho_{f,p})) \subset \mathcal{O}$ be the Tamagawa ideal introduced by Fontaine and Perrin-Riou (cf [16] I.4.1, II.5.3.3)).
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Theorem B. Let $f$ be a Hilbert modular newform over $F$ of level prime to $p$ and cohomological weight $k$ as in ($\ast$). Let $\Omega^+_f \in \mathcal{O}^\times/\mathcal{O}^\times$ be any two complementary Matsushima-Shimura-Harder periods as in Definition 7.2. If $\rho = \tau_{f,p}$ satisfies $LL_{\text{ind}}$, then

\[ \left( \nu_p \left( \frac{\Gamma(\text{Ad}^0(\rho_{f,p}), 1) L(\text{Ad}^0(\rho_{f,p}), 1)}{\Omega_+^f \Omega^-_f} \right) \right) = \text{Tam}(\text{Ad}^0(\rho_{f,p})) \text{Fitt}_O \left( \text{H}^1_f(F, \text{Ad}^0(\rho_{f,p}) \otimes \mathbb{Q}_p / \mathbb{Z}_p) \right), \]

i) The Beilinson-Deligne conjecture holds: $\text{H}^1_f(F, \text{Ad}^0(\rho_{f,p}) \otimes \mathbb{Q}_p) = 0$.

ii) The Beilinson-Deligne conjecture holds: $\text{H}^1_f(F, \text{Ad}^0(\rho_{f,p}) \otimes \mathbb{Q}_p) = 0$.

An immediate corollary is that for $p$ as in the theorem, the $p$-adic valuation of $\Omega^+_f \Omega^-_f$ doesn’t change when we twist $f$ by a Hecke character or when we choose different complementary periods.

Theorem B is a first step towards the generalization to an arbitrary totally real field of the work [11] of Diamond, Flach and Guo on the Tamagawa number conjecture for $\text{Ad}^0(\rho_{f,p})$ over $\mathbb{Q}$. When $F$ is not $\mathbb{Q}$, it is an open problem how to identify the periods $\Omega^+_f \Omega^-_f$ used in Theorem B with the motivic periods attached to $f$ used in the formulation of the Tamagawa number conjecture.

1.2 General strategy of the proof.

The method we use originates in the work of Wiles [36] and Taylor-Wiles [35], later developed by Diamond [10] and Fujiwara [17].

Let $p$ be as in Theorem A and let $\Sigma$ be finite set of primes of $F$ not dividing $p$. In §4.2 we will define the notion of a $\Sigma$-ramified deformations of $\rho$. By Mazur [24] and Ramakrishna [27], the functor assigning to a local complete noetherian $\mathcal{O}$-algebra $A$ with residue field $\kappa$, the set of all $\Sigma$-ramified deformations of $\rho$ to $A$, is representable by a $\mathcal{O}$-algebra $\mathcal{R}_\Sigma$, called the universal deformation ring. Since $\rho$ is absolutely irreducible and odd, $\mathcal{R}_\Sigma$ is topologically generated as an $\mathcal{O}$-algebra by traces of images of elements of $G_F$ (cf. [36], pp.509-510). Moreover by the Cebotarev Density Theorem, it is enough to take traces of images of Frobenius elements outside a finite set of primes.

Let $S$ be a large finite set of primes and let $\mathcal{T}_\Sigma$ be the $\mathcal{O}$-subalgebra of $\prod_f \mathcal{O}$ generated by $(\nu_p(c(f,v)))_{v \in S}$ where $f$ runs over all Hilbert modular newforms of weight $k$ such that $\rho_{f,p}$ is a $\Sigma$-ramified deformation of $\rho$. The $\mathcal{O}$-algebra $\mathcal{T}_\Sigma$ is local complete noetherian and reduced. By the above discussion $\mathcal{T}_\Sigma$ does not depend on the choice of $S$ and the natural homomorphism $\mathcal{R}_\Sigma \to \prod_f \mathcal{O}$ factors though a surjective homomorphism of local $\mathcal{O}$-algebras $\pi_\Sigma : \mathcal{R}_\Sigma \to \mathcal{T}_\Sigma$. Then Theorem A amounts to proving that $\pi_\Sigma$ is an isomorphism.

We follow Wiles’ method consisting in showing first that $\pi_\mathcal{O}$ is an isomorphism (the minimal case) and then in proving, by induction on the cardinality of $\Sigma$, that $\pi_\Sigma$ is an isomorphism (raising the level). In order to prove that $\mathcal{R}_\Sigma$ is “not too big” we use Galois cohomology via Proposition 5.5. In order to prove that $\mathcal{T}_\Sigma$ is “not too small” we realize it geometrically as a local component of the Hecke algebra acting on the middle degree cohomology of some Shimura variety and then use this interpretation to study congruences.

It is on that last point that our approach differs from Fujiwara’s. Whereas Fujiwara’s uses some quaternionic Shimura curves or Hida varieties of dimension 0, we use the $d$-dimensional Hilbert modular variety. The main ingredient in our approach is a result from [13] guaranteeing the torsion freeness of certain local components of the middle degree cohomology of a Hilbert modular variety, which will be recalled in the next section.

In the minimal case our modularity result is strictly included in Fujiwara’s since we only treat the case $F_p = \emptyset$ (cf Definition 4.2) and furthermore we do not consider the ordinary non-crystalline case. On the other hand our level raising results are new, thanks to an Ihara type lemma for the middle degree cohomology of Hilbert modular varieties (cf Theorem 3.1). Our proof relies substantially on the $q$-expansion principle, which is available for Hilbert modular varieties.
Finally, let us observe that whereas modularity lifting results similar to Theorem A may be obtained in various ways (cf. [29, 30, 31, 34] or [23]), the use of the cohomology of Hilbert modular varieties seem to be inevitable to obtain results on the adjoint $L$-functions and Selmer groups, as Theorem B.

2. Cohomology of Hilbert modular varieties.

In this section we state and prove a slightly more general version of a theorem in [13]. We take advantage of this opportunity to correct a wrong assumption in [13], coming from a mistake in [14]. We thank the referee for pointing out this error to us.

2.1 Hilbert modular varieties.

Denote by $\widehat{\mathbb{Z}}$ the profinite completion of $\mathbb{Z}$ and by $A = (F \otimes \widehat{\mathbb{Z}}) \times (F \otimes_{\mathbb{Q}} \mathbb{R})$ the ring of ad`èles of $F$. For a prime $v$, let $\varpi_v$ denote an uniformizer of $\widehat{F}_v$.

For an open compact subgroup $U$ of $(a \otimes \widehat{\mathbb{Z}})_\times$ we denote by $C_U$ (resp. $C_U^+$) the class group $A^\times/F^\times U(a \otimes \widehat{\mathbb{Z}})_\times$ (resp. the narrow class group $A^\times/F^\times U(a \otimes \widehat{\mathbb{Z}})^+_\times$, where $(a \otimes \widehat{\mathbb{Z}})^+_\times$ denotes the open cone of totally positive elements in $(a \otimes \widehat{\mathbb{Z}})^\times$).

For an open compact subgroup $K$ of $GL_2(F \otimes \widehat{\mathbb{Z}})$ we denote by $Y_K$ the Hilbert modular variety of level $K$ with complex points $GL_2(F)\setminus GL_2(A)/K \cdot SO_2(F \otimes \mathbb{R})(F \otimes \mathbb{R})^\times$. By the Strong Approximation Theorem for $GL_2$, the group of connected components of $Y_K$ is isomorphic to $C^+_{det(K)}$.

We will consider the Hilbert modular varieties as analytic varieties, except in the proofs of Theorem 3.1, Proposition 3.3 and Proposition 5.5 where we will use integral models.

For an ideal $n$ of $\mathfrak{o}$, we consider the following open compact subgroups of $GL_2(F \otimes \widehat{\mathbb{Z}})$:

$$K_0(n) = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in GL_2(\mathfrak{o} \otimes \widehat{\mathbb{Z}}) \mid c \in n \right\}, K_1(n) = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in K_0(n) \mid d - 1 \in n \right\},$$

$$K_{11}(n) = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in K_1(n) \mid a - 1 \in n \right\}, \text{ and } K(n) = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in K_{11}(n) \mid b \in n \right\}.$$
Proof. The claims (i) and (iv) are well known, (ii) easily follows from [14] Lemma 1.4. We will omit the proof of (iii) since it is very similar to the proof of lemma 2.2(i) given below.

From now on, we will only consider compact open subgroups \( K \) factoring as a product \( \prod_v K_v \) over the primes \( v \) of \( F \), such that \( K_v \) is maximal for all primes \( v \) dividing \( p \) and \( Y_K \) is smooth. We denote by \( \Sigma_K \) the set of primes \( v \) where \( K_v \) is not maximal.

For a \( \mathcal{O} \)-algebra \( A \), we denote by \( \mathbb{V}_A \) the sheaf of locally constant sections of

\[
GL_2(F) \backslash (GL_2(A) \times A) / K \cdot SO_2(F \otimes \mathbb{Q} \mathbb{R})(F \otimes \mathbb{Q} \mathbb{R})^\times \rightarrow Y_K,
\]

where \( V_A \) denotes the algebraic irreducible representation \( \bigotimes_{\tau \in J_F} (\det \frac{\kappa_0 - \kappa_0}{2} \otimes \text{Sym}^{k_0 - 2} A^2) \) of \( GL_2(A)^{J_F} \cong GL_2(\mathfrak{o} \otimes \mathbb{A}) \) and \( K \) acts on the right on \( V_A \) via its \( p \)-component \( \prod_{v \mid p} K_v \). Note that for \( K' \subset K \), there is a natural projection \( \text{pr} : Y_{K'} \rightarrow Y_K \) and \( \text{pr}^* \mathbb{V}_A = \mathbb{V}_A \). For \( g \in GL_2(F \otimes \hat{\mathbb{Z}}) \cap M_2(\mathfrak{o} \otimes \hat{\mathbb{Z}}) \) we define the Hecke correspondence \( [kgK] \) on \( Y_K \) by the usual diagram:

\[
\begin{array}{ccc}
Y_K & \overset{g}{\longrightarrow} & Y_{gkg^{-1}K} \\
pr_1 \downarrow & & \downarrow \text{pr}_2 \\
Y_K & \overset{g}{\longrightarrow} & Y_{gkg^{-1}K} \\
\end{array}
\]

The Hecke correspondences act naturally on the Betti cohomology groups \( H^*(Y_K, \mathbb{V}_A) \) and on those with compact support \( H^c(Y_K, \mathbb{V}_A) \). If \( K_v = GL_2(\mathfrak{o}_v) \), we define the standard Hecke operators \( T_v = [K_v \left( \begin{smallmatrix} \omega_v & 0 \\ 0 & 1 \end{smallmatrix} \right) K_v] \) and \( S_v = [K_v \left( \begin{smallmatrix} 0 & \omega_v \\ 1 & 0 \end{smallmatrix} \right) K_v] \).

2.2 Adjoint Hilbert modular varieties.

For an open compact subgroup \( K \) of \( GL_2(F \otimes \hat{\mathbb{Z}}) \) we define the adjoint Hilbert modular variety of level \( K \):

\[
Y_K^{ad} = GL_2(F) \backslash GL_2(\mathfrak{A}) / \mathfrak{A}^\times K \cdot SO_2(F \otimes \mathbb{Q} \mathbb{R}).
\]

Again, we have Betti cohomology groups \( H^*(Y_K^{ad}, \mathbb{V}_A) \) and Hecke action on them. In particular, if \( K_v = GL_2(\mathfrak{o}_v) \), there is a Hecke operator \( T_v \) (the action of \( S_v \) is trivial).

We call \( Y_K^{ad} \) adjoint since it can be rewritten in terms of the adjoint group \( PGL_2 \) as follows:

\[
Y_K^{ad} = PGL_2(F) \backslash PGL_2(\mathfrak{A}) / \mathfrak{K} \cdot PSO_2(F \otimes \mathbb{Q} \mathbb{R}),
\]

where \( \mathfrak{K} \) is the image of \( K \) in \( PGL_2(F \otimes \hat{\mathbb{Z}}) \).

The group of connected components of \( Y_K^{ad} \) is isomorphic to the quotient of \( C_{\text{det}(K)}^+ \) by the image of \( \mathfrak{A}^\times \), hence it is a 2 group. If \( \det(K) = (\mathfrak{o} \otimes \hat{\mathbb{Z}})^\times \) then the group of connected components of \( Y_K \) is isomorphic to the narrow class group \( C_F^+ / C_F^2 \cong C_F^+ / (C_F^2)^2 \). Each connected component of \( Y_K^{ad} \) can be defined more classically using the Hurwitz-Maass extension of the Hilbert modular group.

**Lemma 2.2.** i) Let \( u \) be a prime ideal of \( F \) above a prime number \( q \), such that:

- \( q \) splits completely in the ray class field of \( F \) modulo 4, and
- \( q \equiv -1 \pmod{4\ell} \) for all prime numbers \( \ell \) such that \( [F(\zeta_{4\ell}) : F] = 2 \).

Then \( Y_K^{ad}(u) \) is smooth.

ii) If \( K' \subset K \) and \( Y_K^{ad} \) is smooth, then \( Y_{K'}^{ad} \) is smooth and \( Y_{K'}^{ad} \rightarrow Y_K^{ad} \) is étale with group \( K/K' (K \cap \mathfrak{A}^\times) \).

**Proof.** We will show by contradiction that for all \( x \in GL_2(F \otimes \hat{\mathbb{Z}}) \), the quotient of the group \( GL_2(F) \cap xK_0(u)x^{-1} \mathfrak{A}^\times SL_2(F \otimes \mathbb{R}) \) by its center is torsion free. Suppose given an element \( \gamma \) in
that group which is torsion of prime order $\ell$ in the quotient. Consider the (quadratic) extension $F[\gamma] = F[X]/(X^2 - \operatorname{tr} \gamma X + \det \gamma)$ of $F$. Since $\gamma u \in K_0(u) F_u^\times$, it follows that $u$ splits in $F[\gamma]/F$.

If $\ell$ is odd, then necessarily $F[\gamma] = F(\zeta_\ell)$. Our second assumption on $q$ implies then that $u$ is inert in $F[\gamma]$. Contradiction.

If $\ell = 2$, then $\operatorname{tr} \gamma = 0$ and $\det \gamma \in F^\times \cap (\widehat{\mathbb{Z}} \otimes \mathfrak{o})^\times \mathbb{A}^2$. By Class Field Theory, the extension $F(\sqrt{\det \gamma})$ corresponds to a quotient of the class group $\mathcal{C}_{1,4} \mathbb{Z}^\times$, hence by our first assumption on $q$, $u$ splits in $F(\sqrt{\det \gamma})$. On the other hand, by the second assumption $u$ is inert in $F(\sqrt{-1})$, hence $u$ is inert in $F(\sqrt{-\det \gamma}) = F[\gamma]$. Contradiction.

This proves (i). The proof of (ii) is left to the reader.

### 2.3 Freeness results.

Consider the maximal ideal $m_p = (\pi, T_v - \operatorname{tr}(\rho(\operatorname{Frob}_v)), S_v - \det(\rho(\operatorname{Frob}_v)) N_{\mathbb{F}/\mathbb{Q}}(v)^{-1})$ of the abstract Hecke algebra $\mathbb{T}^S = O[T_v, S_v, v \notin S]$, where $S$ is a finite set of primes containing $\Sigma_K \cup \{v \mid p\}$.

**Theorem 2.3.** Let $K = \prod_v K_v \subset \operatorname{GL}_2(F \otimes \widehat{\mathbb{Z}})$ be an open compact subgroup, maximal for at primes $v$ dividing $p$ and such that $Y_K$ is smooth. Under the assumptions $(\ast)$ and $(\mathbb{L}_\mu)$:

i) $\mathbb{H}^j(\mathbb{Y}, \mathbb{V}_\mathcal{O})_{m_p} = \mathbb{H}^j(\mathbb{Y}, \mathbb{V}_\mathcal{O})_{m_p} = \mathbb{H}^d(\mathbb{Y}, \mathbb{V}_\mathcal{O})_{m_p}$ is a free $\mathcal{O}$-module of finite rank.

ii) $\mathbb{H}^j(\mathbb{Y}, \mathbb{V}_E/\mathcal{O})_{m_p} = \mathbb{H}^d(\mathbb{Y}, \mathbb{V}_E/\mathcal{O})_{m_p}$ is a divisible $\mathcal{O}$-module of finite corank and the Pontryagin pairing $\mathbb{H}^d(\mathbb{Y}, \mathbb{V}_\mathcal{O})_{m_p} \times \mathbb{H}^d(\mathbb{Y}, \mathbb{V}_E/\mathcal{O})_{m_p} \to E/\mathcal{O}$ is a perfect duality.

Moreover, if $Y_{K}^{\text{ad}}$ is smooth, then (i) and (ii) remain valid when we replace $Y_K$ by $Y_{K}^{\text{ad}}$.

**Proof.** For $K = K_1(\mathfrak{n})$ the theorem is proved in [13, Theorems 4.4, 6.6], except that:

- the assumption $(\mathbb{L}_\mu)$ in [13, §3.5] is formulated as follows: the restriction of $\rho$ to $\mathcal{G}_F$ is irreducible of order divisible by $p$, and is not a twist by a character of any of its other $d-1$ internal conjugates. This is clearly implied by $(\mathbb{L}_\mu)$. Conversely, if the assumption from [13, §3.5] holds, then by [13, Lemma 6.5] every irreducible $\mathcal{G}_F$-representation annihilated by the characteristic polynomial of $(\otimes \operatorname{Ind}_F^Q \rho)|_{\mathcal{G}_F}$ is isomorphic to $(\otimes \operatorname{Ind}_F^Q \rho)|_{\mathcal{G}_F}$, so in particular $(\otimes \operatorname{Ind}_F^Q \rho)|_{\mathcal{G}_F}$ is irreducible. Therefore these assumptions are equivalent.

- Theorem 4.4 is proved under the assumption (MW). However, this assumption is only used through [13, Lemma 4.2] and under the assumption $(\mathbb{L}_\mu)$ we can apply the stronger [13, Lemma 6.5], hence the results of [13] remain valid.

- we do not assume here $\rho$ to be modular, but this doesn’t affect the proofs of [13] in any way, since the arguments involving $\rho$ are purely group-theoretic.

Let us now explain how these results extend to more general level structures. Observe first that a conjugate of $K$ has a normal subgroup of the form $K(\mathfrak{n})$ for some ideal $\mathfrak{n} \subset \mathfrak{o}$. Hence a conjugate of $K$ contains $K_{11}(\mathfrak{n}) \cap K_0(n^2)$ as a normal subgroup. Therefore $Y_K$ admits a finite étale cover isomorphic to $Y_{K_{11}(\mathfrak{n}) \cap K_0(n^2)}$, and the latter has a finite abelian cover $Y_{11}^K(n^2) := \prod_{\mathfrak{c}} M_1^+(\mathfrak{c}, n^2)$, where $\mathfrak{c}$ runs over a set of representatives of $C_{1,4}^+ \mathbb{Z}^\times$, and $M_1^+(\mathfrak{c}, n^2)$ are the fine moduli spaces defined in [13, §1.4]. The following morphisms of Hilbert modular varieties are étale:

$$Y_{11}^K(n^2) \longrightarrow Y_{11}^K(n^2) \longrightarrow Y_{K_{11}(\mathfrak{n}) \cap K_0(n^2)} \longrightarrow Y_K \longrightarrow Y_{K}^{\text{ad}}.$$  

(6)

Recall that each $M_1^+(\mathfrak{c}, n^2)$ is a fine moduli space admitting an arithmetic model endowed with an universal Hilbert-Blumenthal abelian variety. In [13, 14] one proves various geometric results concerning $M_1^+(\mathfrak{c}, \mathfrak{n})$, such as the existence of minimal compactifications, the existence of proper smooth toroidal compactifications over $\mathbb{Z}_p$ and the extension of certain vector bundles to these compactifications, the construction of a Bernstein-Gelfand-Gelfand complex for distribution algebras.
over $O$, having as consequence the degeneracy at $E_1$ of the Hodge to De Rham spectral sequence. By applying those constructions to each component of $Y^1_1(n^2)$, it follows that the highest weight $\sum_{\tau \in J_F}(k_\tau - 1)$ of $\otimes \text{Ind}_F^Q \rho$ does not occur in $H^i(Y^1_1(n^2)_{Q \Gamma}, V_\kappa)$ for $i < d$. By [13, Theorem 6.6] $H^i(Y^1_1(n^2)_{Q \Gamma}, V_\kappa)_{m_\rho}$ vanishes for $i < d$ (it is important observe that the Hodge to De Rham spectral sequence is $T^S$-equivariant; we refer to [13, §2.4] for a geometric definition of the Hecke correspondences).

If $Y_{K''} \to Y_{K'}$ is an étale morphism of smooth Hilbert modular varieties with group $\Delta$, the corresponding Hoschild-Serre spectral sequence is Hecke equivariant and yields

$$E^{j,i}_2 = H^j(\Delta, H^i(Y_{K''}, V_\kappa)_{m_\rho}) \Rightarrow H^{i+j}(Y_{K'}, V_\kappa)_{m_\rho}. \quad (7)$$

Starting from the vanishing of $H^i(Y^1_1(n^2), V_\kappa)_{m_\rho}$ for $i < d$, then applying (6) to the morphisms of (6) yields the vanishing of $H^i(Y_K, V_\kappa)_{m_\rho}$ and $H^i(Y^{1d}_K, V_\kappa)_{m_\rho}$ for $i < d$. The theorem then follows by exactly the same arguments as in [13, Theorems 4.4, 6.6]. \(\square\)

**Proposition 2.4.** Suppose given an étale morphism of smooth Hilbert modular varieties $Y_K \to Y_{K'}$ with group $\Delta$. Assume that $\Delta$ is an abelian $p$-group and that $O$ is large enough to contain the values of all its characters. Then, under the assumptions $(\ast)$ and $(\text{LI}_{\text{Ind} \rho})$, $H^d(Y_K, V_O)_{m_\rho}$ is a free $O[\Delta]$-module and $H^d(Y_K, V_O)_{m_\rho} \otimes O[\Delta] = H^d(Y_{K'}, V_O)_{m_\rho}$ as $T^S$-modules.

**Proof.** By Theorem 2.3(i) $H^d(Y_K, V_O)_{m_\rho}$ is free over $O$, hence by Nakayama’s lemma the desired freeness over $O[\Delta]$ is equivalent to the freeness of $H^d(Y_K, V_O)_{m_\rho} \otimes \kappa$ over $\kappa[\Delta]$.

Since $\Lambda := \kappa[\Delta]$ is a local Artinian ring, freeness is equivalent to flatness. Hence we have to show that $\text{Tor}_i^\Lambda(H^d(Y_K, V_\kappa)_{m_\rho}, \kappa) = 0$ for $i > 0$ and $H^d(Y_K, V_\kappa)_{m_\rho} \otimes \Lambda \cong H^d(Y_{K'}, V_\kappa)_{m_\rho}$.

We reproduce here Fujiwara’s perfect complex argument (cf [17, Lemma 8.16]) following the presentation of Mokrane and Tilouine (cf [23, §10]).

Let $C^*$ be the Godement resolution of the sheaf $V_\kappa$ on the (complex) variety $Y_K$. It has a natural action of $\Lambda$ and there is an hypertor spectral sequence:

$$E^{j,i}_2 = \text{Tor}^\Lambda_i(H^j(C^*), \kappa) \Rightarrow H^{i+j}(C^* \otimes \Lambda \kappa).$$

By definition $H^j(C^*) = H^j(Y_K, V_\kappa)$. Since $Y_K \to Y_{K'}$ is étale with group $\Delta$, it is a standard property of Godement’s resolution that $H^j(C^* \otimes \Lambda \kappa) = H^j(Y_{K'}, V_\kappa)$ (cf [17, Lemma 8.18]). Hence the spectral sequence becomes:

$$E^{j,i}_2 = \text{Tor}^\Lambda_i(H^j(Y_K, V_\kappa), \kappa) \Rightarrow H^{i+j}(Y_{K'}, V_\kappa).$$

Since the Hecke operators are defined as correspondences, the spectral sequence is $T^S$-equivariant and we can localize it at $m_\rho$. By Theorem 2.3(i), we have $H^d(Y_K, V_\kappa)_{m_\rho} = 0$, unless $j = d$. Therefore the $m_\rho$-localization of the spectral sequence degenerates at $E_2$, and gives:

$$\text{Tor}^\Lambda_i(H^d(Y_K, V_\kappa)_{m_\rho}, \kappa) \cong H^{i+d}(Y_{K'}, V_\kappa)_{m_\rho}.$$  

Another application of Theorem 2.3(i) yields $H^{i+d}(Y_{K'}, V_\kappa)_{m_\rho} = 0$, unless $i = 0$.

Hence $\text{Tor}^\Lambda_i(H^d(Y_K, V_\kappa)_{m_\rho}, \kappa) = 0$, unless $i = 0$ in which case

$$H^d(Y_K, V_\kappa)_{m_\rho} \otimes \kappa = \text{Tor}^\Lambda_0(H^d(Y_K, V_\kappa)_{m_\rho}, \kappa) \cong H^d(Y_{K'}, V_\kappa)_{m_\rho}$$

as desired. \(\square\)

**2.4 Twisted Hilbert modular varieties and Hecke operators.**

Let $U$ be an open compact subgroup of $(\sigma \otimes \hat{Z})^\times$ and let $K$ be an open compact subgroup of $\text{GL}_2(F \otimes \hat{Z})$ such that $K_{11}(n) \subset K \subset K_{00}(n)$, for some ideal $n \subset \sigma$. Assuming that $U$ and $K$ decompose as a product over all primes $v$, so does the group

$$K' = \{x \in K \mid \det(x) \in U\}. \quad (8)$$
We define the twisted Hecke operators \( T'_v = [K'_v \left( \begin{smallmatrix} 1 & 0 \\ 0 & 1 \end{smallmatrix} \right) K'_v] \) and \( S'_v = [K'_v \left( \begin{smallmatrix} 0 & 1 \\ 1 & 0 \end{smallmatrix} \right) \alpha_v K'_v] \), for \( v \mid n \), and \( U'_v = [K'_v \left( \begin{smallmatrix} 0 & 1 \\ -1 & 0 \end{smallmatrix} \right) K'_v] \), for \( v \mid n \).

Note that if \( v \not\in \Sigma_{K'} \), then \( T'_v, S'_v, \) and \( U'_v \) coincide with the standard Hecke operators. In general, they depend on the choice of \( \alpha_v \) in the following way: if we replace \( \alpha_v \) by \( \alpha'_v \) then \( T'_v \) and \( U'_v \) are multiplied by the invertible Hecke operator \( U_\delta := [K'_v \left( \begin{smallmatrix} 1 & 0 \\ 0 & 1 \end{smallmatrix} \right) K'_v] \), with \( \delta = \frac{\alpha'_v}{\alpha_v} \in \alpha_v^\times \), whereas \( S'_v \) is multiplied by its square.

For a finite order Hecke character \( \psi \) of \( C_{K' \cap \mathbb{A}^\times} \), we denote by \([\psi]\) the \( \psi \)-isotypic part for the action of the Hecke operators \( S_v, v \not\in \Sigma_{K'} \).

For a finite order Hecke character \( \nu \) of \((\alpha \otimes \mathbb{Z})^\times \), trivial on \( U \), we denote by \([\nu]\) the \( \nu \)-isotypic part for the action of the Hecke operators \( U_\delta \) for \( \delta \in \alpha_v^\times \).

### 2.5 Modified Poincaré pairing.

We keep the notations from the previous paragraph we denote by \( \mathcal{H}^{i}(Y_{K'}, \mathcal{V}_O) \) the image of \( \mathcal{H}^{d}(Y_{K'}, \mathcal{V}_O) \) in \( \mathcal{H}^{d}(Y_{K'}, \mathcal{V}_O) \). There is perfect pairing \( \langle \cdot, \cdot \rangle : \mathcal{V}_O \times \mathcal{V}_O \to \mathcal{O} \) described in \([32, \text{p.270}]\) such that for all \( x \in (M_2(\mathcal{O}) \cap GL_2(\mathcal{E}))^J \) we have
\[
\langle x, x \rangle = \det(x)^{(k_0-2)t}(\langle \cdot, \cdot \rangle).
\]
It induces by cup product a pairing :
\[
\mathcal{H}^{d}(Y_{K'}, \mathcal{V}_O) \times \mathcal{H}^{d}(Y_{K'}, \mathcal{V}_O) \to \mathcal{H}^{2d}(Y_{K'}, \mathcal{V}_O).
\]
By the above relation, for any character \( \psi \) of \( C_{K' \cap \mathbb{A}^\times} \), the pairing only relates the \([\psi]\)-part to the \([\psi^{-1}]\)-part. Moreover \( \mathcal{H}^{i}(Y_{K'}, \mathcal{V}_O)[\psi] \cong \mathcal{H}^{i}(Y_{K'}, \mathcal{V}_O)^{\psi} \), where \( \mathcal{V}_O^{\psi} \) is the sheaf locally constant sections of
\[
\left( GL_2(\mathcal{F}) \times GL_2(\mathcal{A}) \right) / \mathbb{A}^\times(\mathcal{O}) \cong K' \otimes \mathbb{Q} \mathbb{R} \to Y_{K'},
\]
where the prime to \( p \) idèles \( \mathbb{A}^\times(\mathcal{O}) \) act on \( \mathcal{V}_O^{\psi} \) via \( \psi \cdot \left| k_0-2 \right. \) (note that this is compatible with the action of \( K' \), since by definition \( \psi \) is trivial on \( K' \cap \mathbb{A}^\times \)).

By fixing a character of the connected components of \( Y_{K'}^{ad} \), (note that this is a 2-group and \( p \) will be odd) we obtain a pairing
\[
[\cdot, \cdot] : \mathcal{H}^{i}(Y_{K'}, \mathcal{V}_O^{\psi}) \times \mathcal{H}^{i}(Y_{K'}, \mathcal{V}_O^{\psi}) \to \mathcal{H}^{2d}(Y_{K'}, \mathcal{V}_O) \to \mathcal{O},
\]
which becomes perfect after extending scalars to \( E \).

The adjoint of the Hecke operator \( [K'xK'] \) with respect to this pairing is \( \psi(x)[K' \det(x)x^{-1}K'] \). We will next replace this pairing by a Hecke equivariant one. Put \( \ell = \left( \begin{smallmatrix} 0 & -1 \\ 1 & 0 \end{smallmatrix} \right) \), where by an abuse of notation the ideal \( n \) denotes also the corresponding idèle. Since we will be only interested in commutative Hecke algebras, we can restrict our attention to Hecke operators corresponding to diagonal \( x \) and in this case \( \det(x)x^{-1} = \ell^{-1}x \ell \).

If \( K \) is \( K_{11}(n) \) or \( K_0(n) \), then both \( K \) and \( K' \) are normalized by \( \ell \). If \( K_{11}(n) \subset K \subset K_0(n) \) is of the groups that will be considered in \([44]\), one can easily check that for every diagonal \( x \) one can choose the \( x_i \)'s so that we simultaneously have \( K'xK' = \prod_i K'x_i \) and \( K'xK' = \prod_i K'x_i \), where \( K' = iK'x_1 \). It follows that we have a commutative diagram :
\[
\begin{array}{ccc}
\mathcal{H}^{d}(Y_{K'}, \mathcal{V}_O^{\psi}) & \xrightarrow{[K'x]} & \mathcal{H}^{d}(Y_{K'}, \mathcal{V}_O^{\psi}) \\
\mathcal{H}^{d}(Y_{K'}, \mathcal{V}_O^{\psi}) & \xrightarrow{\psi^{-1}} & \mathcal{H}^{d}(Y_{K'}, \mathcal{V}_O^{\psi^{-1}}) \\
\mathcal{H}^{d}(Y_{K'}, \mathcal{V}_O^{\psi}) & \xrightarrow{[K'x]} & \mathcal{H}^{d}(Y_{K'}, \mathcal{V}_O^{\psi}) \\
\end{array}
\]
By composing the above pairing with the first line in the diagram we obtain a new pairing :
\[
\langle \cdot, \cdot \rangle : \mathcal{H}^{i}(Y_{K'}, \mathcal{V}_O^{\psi}) \times \mathcal{H}^{i}(Y_{K'}, \mathcal{V}_O^{\psi}) \to \mathcal{O},
\]
that we call the modified Poincaré pairing. It has the advantage of being Hecke equivariant, in particular $\mathbb{T}^S$-linear. Under the assumptions of theorem \((2.3)(i)\) its $m_p$-localization yields a perfect $\mathcal{O}$-duality of $\mathcal{O}$-free modules:

$$\langle \ , \ \rangle : H^d(Y_{K^*, \psi}^\dagger, \psi\mathcal{O})_{m_p} \times H^d(Y_{K^*, \psi}^\dagger, \psi\mathcal{O})_{m_p} \to \mathcal{O}.$$  

(13)

### 3. Ihara’s lemma for Hilbert modular varieties.

Recall our running assumptions that $K$ factors as a product $\prod_v K_v$ over the primes $v$ of $F$, that $K_v$ is maximal for all primes $v$ dividing $p$ and that $Y_K$ is smooth.

Let $q$ be a prime not dividing $p$ and let $S$ be a finite set of primes containing those dividing $pq$ and the set of primes $\Sigma_K$ where $K$ is not maximal.

Consider the maximal ideal $m_p = (\varpi, T_v - \text{tr}(\rho(Frob_v)), S_v - \text{det}(\rho(Frob_v))N_{F/\mathbb{Q}}(v)^{-1})$ of the abstract Hecke algebra $\mathbb{T}^S = \mathcal{O}[T_v, S_v | v \notin S]$. The Betti cohomology groups $H^d(Y_K, \mathcal{V}_{\mathcal{O}})$ defined in \((2.3)\) are modules over $\mathbb{T}^S$.

#### 3.1 Main theorem.

Fix a finite index subgroup $U$ of $\mathfrak{o}_q^\times$, and suppose that $K_q = \{ x \in \text{GL}_2(\mathfrak{o}_q) | \text{det}(x) \in U \}$.

In \((2.4)\) we defined Hecke operators $T'_q, S'_q$ (resp. $U'_q, U_\delta, \delta \in \mathfrak{o}_q^\times$) acting on $H^d(Y_K, \mathcal{V}_A)$ (resp. on $H^d(Y_{K \cap K_0(q)}, \mathcal{V}_A)$).

Finally consider the degeneracy maps $pr_1, pr_2 : Y_{K \cap K_0(q)} \to Y_K$ used in the definition of the Hecke correspondence $T'_q$.

**Theorem 3.1.** Assume that $(\ast)$ and (LI$_{\text{Ind}_\rho}$) hold. Then the $m_p$-localization of the $\mathbb{T}^S$-linear homomorphism:

$$pr_1^* + pr_2^* : H^d(Y_K, \mathcal{V}_{\mathcal{O}})^{\oplus 2} \to H^d(Y_{K \cap K_0(q)}, \mathcal{V}_{\mathcal{O}})$$

is injective with flat cokernel.

**Proof.** Our proof is geometric and relies on the existence of smooth models $Y_K$ (resp. $Y_{K \cap K_0(q)}$) of $Y_K$ (resp. $Y_{K \cap K_0(q)}$) over an unramified extension of $\mathbb{Z}_p$ and on the existence of smooth toroidal compactifications thereof. One should be careful to observe that $K \cap K_0(q)$ is maximal at primes dividing $p$. By the Betti-étale comparison isomorphism the cohomology groups

$$W := H^d(Y_{K, \mathbb{Q}}^*, \mathcal{V}_{\mathcal{O}})_{m_p} \text{ and } W_0(q) := H^d(Y_{K \cap K_0(q), \mathbb{Q}}^*, \mathcal{V}_{\mathcal{O}})_{m_p},$$

are endowed with a structure of $\mathbb{T}^S[\mathcal{G}_q]$-modules. The theorem is equivalent to the injectivity of $\mathbb{T}^S[\mathcal{G}_q]$-linear homomorphism:

$$pr_1^* + pr_2^* : W^{\oplus 2} \to W_0(q).$$

The image of $\mathbb{T}_m^S$ in $\text{End}_K(W)$ is a local Artinian ring and $(m_p^i W)_{i \geq 0}$ is a finite decreasing filtration of $W$ by $\mathbb{T}_m^S[\mathcal{G}_q]$-modules. By the torsion freeness result in Theorem \((2.3)(i)\), both $W$ and the graded pieces $m_p^i W/m_p^{i+1} W$ are quotients of two $\mathbb{T}_m^S[\mathcal{G}_q]$-stable $\mathcal{O}$-lattices in $H^d(Y_K, \mathcal{V}_{\mathcal{O}})_{m_p} \otimes \mathcal{E}$.

By a theorem of Brylinski and Labesse \([3]\), it follows that the characteristic polynomial of $\otimes \text{Ind}_F^\mathbb{Q} \rho$ annihilates the $\mathcal{G}_q$-module $m_p^i W/m_p^{i+1} W$ (cf also \([5]\) Lemma 3)). It follows then from (LI$_{\text{Ind}_\rho}$) and \([13]\) Lemma 6.5) that every $\mathcal{G}_F$-irreducible subquotient of $W$ is isomorphic to $\otimes \text{Ind}_F^\mathbb{Q} \rho$. The same arguments apply also to $W_0(q)$. Therefore we can check the above injectivity by checking it on the last graded pieces of the corresponding Fontaine-Laffaille modules.

By Falting’s étale-crystalline comparison theorem and the degeneracy of the Hodge to De Rham spectral sequence (cf \([13]\) Theorem 5.13)) the claim would follow from the following lemma (although
this part of the argument relies on the existence of toroidal compactifications of \( \mathcal{Y}_K \) and \( \mathcal{Y}_{K \cap \mathbb{K}_0(q)} \), by Köcher’s Principle we can omit them as long as we are concerned with global sections of the invertible bundle \( \mathcal{O}^k \otimes \mathcal{L}^{-1-k_0/2} \) (cf \([13], \S 1.5, \S 1.7\) )

**Lemma 3.2.** The following homomorphism is injective

\[
pr_1^* + pr_2^* : H^0(\mathcal{Y}_K / \kappa, \mathcal{O}^k \otimes \mathcal{L}^{-1-k_0/2})^{\oplus 2} \to H^0(\mathcal{Y}_{K \cap \mathbb{K}_0(q)} / \kappa, \mathcal{O}^k \otimes \mathcal{L}^{-1-k_0/2}).
\]

**Proof.** Let \((g', g)\) be an element of the kernel : \( pr_1^*(g') = - pr_2^*(g) \).

Since the homomorphism is \( U_q' \)-equivariant for the \( U_q' \)-action on the left hand side given by the matrix \( \left( \begin{array}{cc} T'_q & -S'_q \end{array} \right) \), we may assume that \((g', g)\) is an eigenvector for \( U_q' \). Similarly may assume that \( g' \) is an eigenvector for \( S'_q \). This implies that \( g' \) is a multiple of \( g \), hence \( pr_1^*(g) = - pr_1^*(g') \) is a multiple of \( pr_1^*(g) \). On the other hand, \( pr_1^*(g) \) has the same \( q \)-expansion as \( g \), whereas the \( q \)-expansions of \( pr_2^*(g) \) and \( g \) are related as follows : for every \( x \in F \otimes \hat{\mathbb{Z}} \),

\[
c(pr_2^*(g)|_q, x) = \begin{cases} 
\frac{c(g, x \overline{q}^{-1})}{c(g, x \overline{q}^{-1})}, & \text{if } x_q \overline{q}^{-1} \in \mathfrak{o}_q, \text{ and } \\
0, & \text{otherwise.}
\end{cases}
\]

(14)

It follows that \( c(g, x) = 0 \) for all \( x \), which in vertu of the \( q \)-expansion Principle implies \( g = 0 \). The proof of Theorem 3.1 is now complete. \( \square \)

**3.2 More cohomological results.**

Fix a finite index subgroup \( U \) of \( \mathfrak{o}_q' \), and suppose that \( K_q = \{ x \in K_1(q^{-1}) \mid \det(x) \in U \} \).

Consider the degeneracy maps

\[
pr_1, pr_2 : Y_{K \cap K_1(q)} \to Y_{K \cap \mathbb{K}_0(q)} \to Y_K \quad \text{and} \quad pr_3, pr_4 : Y_{K \cap K_1(q)} \cap \mathbb{K}_0(q^{c+1}) \to Y_{K \cap K_1(q^c)},
\]

used in the definition of the Hecke correspondence \( U_q' \) in \( \S 2.4 \).

**Proposition 3.3.** Assume that \((*)\) and \((\text{LI}_{\text{Ind}, \rho})\) hold. Then the \( \mathfrak{m}_{\rho} \)-localization of the \( \mathbb{T}S \)-linear sequence :

\[
0 \to H^d(\mathcal{Y}_{K \cap K_1(q^{-1})}, \mathcal{V}_\omega) \xrightarrow{(pr_1^* - pr_2^*)} H^d(\mathcal{Y}_{K \cap K_1(q^c)}, \mathcal{V}_\omega) \otimes \mathbb{C} \xrightarrow{pr_3^* + pr_4^*} H^d(\mathcal{Y}_{K \cap K_1(q^c)} \cap \mathbb{K}_0(q^{c+1}), \mathcal{V}_\omega)
\]

is exact and the last arrow has flat cokernel.

**Proof.** We follow closely Fujiwara’s argument \([18, \text{Proposition 5.13}]\), except for the last part of it where we use a geometric argument instead (Fujiwara uses open compact subgroups which do not satisfy our running assumption to be maximal at primes dividing \( p \)).

It is enough to prove the exactness after tensoring with \( \kappa \), which by Theorem 2.3(i) amounts to replacing \( \mathcal{V}_\omega \) by \( \mathcal{V}_\kappa \). Put \( K_0 = K \cap K_1(q^{-1}) \), \( K_1 = K \cap K_1(q^c) \),

\[
K_2 = \begin{pmatrix} \overline{q}^{-1} & 0 \\ 0 & 1 \end{pmatrix} \begin{pmatrix} 0 & 0 \\ 1 & 1 \end{pmatrix}, \quad \text{and}
K_3 = \begin{pmatrix} \overline{q}^{-1} & 0 \\ 0 & 1 \end{pmatrix} \begin{pmatrix} 0 & 0 \\ 1 & 1 \end{pmatrix} = K \cap K_1(q^c) \cap K_0(q)
\]

where \( K_0(q) = \begin{pmatrix} \overline{q}^{-1} & 0 \\ 0 & 1 \end{pmatrix} K_0(q) \begin{pmatrix} \overline{q}^{-1} & 0 \\ 0 & 1 \end{pmatrix} \) is the opposite parahoric subgroup.
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For \( i = 0, 1, 2, 3 \) put \( Y_i = Y_{K_i} \). By the above computations it is equivalent then to prove the exactness of the sequence:

\[
0 \rightarrow H^d(Y_0, \mathcal{V}_\kappa)_{m_\rho} (pr''_3 - pr''_4) \rightarrow H^d(Y_1, \mathcal{V}_\kappa)_{m_\rho} \oplus H^d(Y_2, \mathcal{V}_\kappa)_{m_\rho} \rightarrow H^d(Y_3, \mathcal{V}_\kappa)_{m_\rho},
\]

where \( \mathcal{V} \) is a quasi-coherent quasi-compact \( \mathbb{F}_p \)-sheaf of \( \mathcal{O}_{\overline{Y}} \)-modules which is a finite \( \mathbb{F}_p \)-module. The projections are induced by the inclusion of the open compact subgroups.

Taking models of the \( Y_i \) (\( 0 \leq i \leq 3 \)) over \( \mathbb{Q} \) and using Betti-étale comparison isomorphisms turns the above sequence into a sequence of \( \mathbb{T}^S(\mathcal{G}_\mathbb{Q}) \)-modules \( W_i := H^d(Y_i, \mathcal{V}_\kappa)_{m_\rho} \). As in the proof of Theorem [13, Theorem 3.1], the condition (\( \text{LI} \)) implies that every \( W_i \) is a \( \mathbb{F}_p \)-irreducible subquotient of \( W_i \) (\( 0 \leq i \leq 3 \)) isomorphic to \( \otimes \text{Ind}_{\mathbb{F}^\circ} \mathbb{F} \). Therefore it is enough to check the exactness on the last graded pieces of the Fontaine-Laffaille modules. This is the object of the following:

**Lemma 3.4.** The following sequence is exact:

\[
0 \rightarrow H^0(\mathcal{V}_{\kappa, \mathcal{F}_1} \otimes \mathcal{L}^{1-k_0/2}) (pr''_3 - pr''_4) H^0(\mathcal{V}_{\mathcal{F}_1, \mathcal{F}_0} \otimes \mathcal{L}^{1-k_0/2}) \oplus H^0(\mathcal{V}_{\mathcal{F}_2, \mathcal{F}_1} \otimes \mathcal{L}^{1-k_0/2}) (pr''_3 + pr''_4) \rightarrow H^0(\mathcal{V}_{\mathcal{F}_3, \mathcal{F}_2} \otimes \mathcal{L}^{1-k_0/2}).
\]

**Proof.** We will adapt the analytic argument of [13, Lemma 5.14] in order to show that the coproduct \( \mathcal{Y}_1 \coprod_{\mathcal{Y}_0} \mathcal{Y}_2 \) is isomorphic to \( \mathcal{Y}_0 \) as \( \kappa \)-schemes.

For \( 0 \leq i \leq 3 \), there exists a fine moduli scheme \( \mathcal{Y}^i \) such that \( \mathcal{Y}^i \rightarrow \mathcal{Y}_i \) is a finite étale with group

\[
\Delta_i = \frac{F^\times \cap \det(K_i)}{(F^\times \cap K_i)^2},
\]

where \( \Delta_1 = \Delta_2 = \Delta_3 \rightarrow \Delta_0 \) (recall that by definition \( \mathcal{Y}^i \) has the same number of connected components as \( \mathcal{Y}_i \)). Since \( \mathcal{Y}^1 \rightarrow \mathcal{Y}_0 \) is \( \Delta_i \)-equivariant (where the action on \( \mathcal{Y}_0 \) is via the surjection \( \Delta_i \rightarrow \Delta_0 \)), we have \( \mathcal{Y}_1 \coprod_{\mathcal{Y}_0} \mathcal{Y}_2 \cong \mathcal{Y}_0 \) for all \( i \). Hence it is enough to show that \( \mathcal{Y}^1 \coprod_{\mathcal{Y}_0} \mathcal{Y}^2 \cong \mathcal{Y}_0 \).

We will show this claim using the following functorial description of the \( \mathcal{Y}^i \)'s:

i) \( \mathcal{Y}^0 \) classifies polarized Hilbert-Blumenthal abelian varieties \( A \) with \( \mu_{q^{i-1}} \)-level structure \( P \) and some additional levels that we will ignore since they are the same for \( \mathcal{Y}^i \) for all \( 0 \leq i \leq 3 \);

ii) \( \mathcal{Y}^1 \) classifies polarized Hilbert-Blumenthal abelian varieties \( A \) with \( \mu_{q^i} \)-level structure \( Q \);

iii) \( \mathcal{Y}^2 \) classifies polarized Hilbert-Blumenthal abelian varieties \( A \) with a \( \mu_{q^i} \)-subgroup \( C \) and a \( \mu_{q^i} \)-level structure \( \overline{Q} \) in \( A/C \);

iv) \( \mathcal{Y}^3 \) classifies polarized Hilbert-Blumenthal abelian varieties \( A \) with \( \mu_{q^i} \)-level structure \( Q \) and a \( \mu_{q^i} \)-subgroup \( C \) disjoint from the group generated by \( Q \).

The morphisms \( pr'_j \) in the diagram above come from forgetful functors described as follows:

i) \( pr'_2(A, Q, C) = (A, Q \mod C, C) \), where \( Q \mod C \) is a \( \mu_{q^i} \)-level structure on \( A/C \), since \( C \) is disjoint from the group generated by \( Q \);

ii) \( pr'_3(A, Q, C) = (A, Q) \);

iii) \( pr'_2(A, \overline{Q}, C) = (A, \overline{Q}^3) \) where it is important to note that \( \overline{Q}^3 \) is a well defined \( \mu_{q^{i-1}} \)-level structure on \( A \) (not only in \( A/C \));

iv) \( pr'_1(A, Q) = (A, Q^8) \), where \( Q^8 \) is the \( \mu_{q^{i-1}} \)-level structure deduced from \( Q \) obtained by composing with the dual \( \mu_{q^{i-1}} \hookrightarrow \mu_{q^i} \) of the natural projection \( \mathfrak{o}/q^c \rightarrow \mathfrak{o}/q^{c-1} \). 

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We have $\text{pr}'_1 \circ \text{pr}'_3(A, Q, C) = (A, Q^2) = (A, (Q \mod C)^2) = \text{pr}'_2 \circ \text{pr}'_4(A, Q, C)$.

We have to show that given any two homomorphisms $h_1 : \mathcal{Y}_1 \to \mathcal{X}$ and $h_2 : \mathcal{Y}_2 \to \mathcal{X}$ such that $h_1 \circ \text{pr}'_3 = h_2 \circ \text{pr}'_4$, there exists an unique homomorphism $h_0 : \mathcal{Y}_0 \to \mathcal{X}$ such that $h_1 = h_0 \circ \text{pr}'_1$ and $h_2 = h_0 \circ \text{pr}'_2$. By the functorial description of the $\mathcal{Y}_i$'s and the $\text{pr}'_j$'s the claim is reduced to a simple lemma from group theory saying that, if $K$ is generated by $K_1$ and $K_2$, then the coproduct $K_0/K_1 \coprod K_0/K_2 = K_0/K_1 \coprod K_0/K_2$ is a singleton.

Hence $\mathcal{Y}_1 \coprod \mathcal{Y}_2 \cong \mathcal{Y}_0$ yielding an exact sequence of sheaves over $\mathcal{Y}_0$:

$$0 \to \omega^k \otimes \mathcal{V}^{-k_0/2} \to \text{pr}_1^* \mathcal{V} \otimes \mathcal{V}^{-k_0/2} \oplus \text{pr}_2^* \mathcal{V} \otimes \mathcal{V}^{-k_0/2} \to \text{pr}_1^* \mathcal{V} \otimes \mathcal{V}^{-k_0/2}.$$

Since the functor of global sections is left-exact, this implies the lemma.

**4. Twisting.**

Let $\rho : G_F \to \text{GL}_2(\kappa)$ be a totally odd, absolutely irreducible representation.

**4.1 Local twist types.**

For a prime $v$ of $F$, we identify $G_{F_v}$ with a decomposition subgroup of $G_F$ and denote by $I_v$ its inertia subgroup. Let $\rho_v$ be the restriction of $\rho$ to $G_{F_v}$. We normalize the local Class Field Theory isomorphism so that the uniformizer $\varpi_v$ correspond to geometric Frobenius.

Over a totally real field $F$, twists of minimal conductor exist locally, but not necessarily globally. This observation motivates the following definition, due to Fujiwara:

**Definition 4.1.** Let $v$ be a prime of $F$ not dividing $p$. A local twist type character for $\rho_v$ is a character $\nu_v : G_{F_v} \to \kappa^\times$ such that $\rho_v \otimes \nu_v^{-1}$ has minimal conductor amongst all twist of $\rho_v$ by characters of $G_{F_v}$. For any prime $v$ we choose once for all a local twist type character $\nu_v$ and use the same notation for the character of $F_v^\times$ coming from local Class Field Theory. For simplicity, we choose $\varpi_v$ and $\nu_v$, so that $\nu_v(\varpi_v) = 1$.

**Definition 4.2.** Let $\Sigma_\rho$ be the set of primes $v$ not dividing $p$ such that $\rho_v \otimes \nu_v^{-1}$ is ramified.

Let $S_\rho$ be the set of primes $v \in \Sigma_\rho$ such that $\rho_v$ is reducible.

Let $P_\rho$ be the set of primes $v \in \Sigma_\rho$ such that $\rho_v$ is irreducible but $\rho_v|_{I_v}$ is reducible, and $N_{F/Q}(v) \equiv -1 \pmod{p}$.

Note that $\Sigma_\rho$, $S_\rho$ and $P_\rho$ do not change when we twist $\rho$ by a character.

**4.2 Minimally ramified deformations.**

For a character $\mu$ taking values in $\kappa^\times$, we denote by $\widetilde{\mu}$ its Teichmüller lift.

Let $A$ be a local complete noetherian $\mathcal{O}$-algebra with residue field $\kappa$ and $\widetilde{\rho}_v : G_{F_v} \to \text{GL}_2(A)$ be a lifting of $\rho_v$. For $F = \mathbb{Q}$, the following definition coincides with the notion introduced in [9].

**Definition 4.3.** We say that $\widetilde{\rho}_v$ is a minimally ramified if $\det \widetilde{\rho}_v|_{I_v} = \widetilde{\det} \rho_v|_{I_v}$ and additionally:

- if $v \notin \Sigma_\rho$, then $\rho_v \otimes \nu_v^{-1}$ is unramified.
- if $v \in S_\rho$, then $(\rho_v \otimes \nu_v^{-1})|_{I_v} \neq 0$.
- if $v \in P_\rho$ and $(\rho_v \otimes \mu_v^{-1})|_{I_v} \neq 0$ for some character $\mu_v : I_v \to \kappa^\times$, then $(\rho_v \otimes \mu_v^{-1})|_{I_v} \neq 0$.

**Remark 4.4.** i) If $\widetilde{\rho}_v$ is a minimally ramified lifting of $\rho_v$ then $\rho_v \otimes \mu$ is a minimally ramified lifting of $\rho_v \otimes \mu$ for all characters $\mu : G_{F_v} \to \kappa^\times$.  

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ii) If $\tilde{\rho}_v$ is a minimally ramified lifting of $\rho_v$ then the Artin conductors of $\tilde{\rho}_v$ and $\rho_v$ coincide and $\det \tilde{\rho}_v|_{\mathbb{F}_v}$ is the Teichmül ler lift of $\det \rho_v|_{\mathbb{F}_v}$. The converse holds if $\rho_v$ has minimal conductor among its twists and $v \notin P_\rho$ (cf [9, Remark 3.5]).

Let $\chi_p : \mathbb{G}_F \to \mathbb{Z}_p^\times$ be the $p$-adic cyclotomic character. Fix a finite $p$-power order character $\phi : \mathbb{G}_F \to \mathbb{O}_F^\times$ of conductor prime to $p$, and define $\psi : \mathbb{G}_F \to \mathbb{O}_F^\times$ as the unique character such that $\psi \phi^{-2}$ is the Teichmüller lift of $(\chi_p \mod p) \cdot \det \rho$.

**DEFINITION 4.5.** Let $\Sigma$ be finite set of primes of $F$ not dividing $p$. Let $A$ be a local complete noetherian $\mathcal{O}$-algebra with residue field $\kappa$. We say that a deformation $\tilde{\rho} : \mathbb{G}_F \to \text{GL}_2(A)$ of $\rho$ to $A$ is $\Sigma$-ramified, if the following three conditions hold:

- $\tilde{\rho} \otimes \phi^{-1}$ is minimally ramified at all primes $v \notin \Sigma$, $v \nmid p$ (cf Definition 4.3),
- $\tilde{\rho}$ is crystalline at each primes $v$ dividing $p$ with Hodge-Tate weights $(\frac{b_i-k}{2}, \frac{b_i+k}{2}) - 1)_{r \in J_F}$,
- $\det \tilde{\rho} = \chi_p^{1-k_0}\psi$.

A $\emptyset$-ramified deformation is called minimally ramified.

Note that if $\rho_{f,\mathbb{F}}$ is a $\Sigma$-ramified deformation of $\rho$, then the central character of $f$ has to be $\psi|^{1/2-k_0}$. When $p$ is odd every $p$-power character of $\mathbb{G}_F$ has a square root, hence the determinant of any finitely ramified low weight crystalline deformation of $\rho$ is of the form $\chi_p^{1-k_0}\psi$, for some $\psi$ as above.

### 4.3 Auxiliary level structures.

Under the assumption (LI$\text{_{ind}}\rho$), a standard argument (cf [21, §12]) using the Cebotarev Density Theorem implies that there exist infinitely many primes $u$ of $F$ as in lemma 2.2(i), such that

i) $N_{F/\mathbb{Q}}(u) \equiv 1 \pmod{p}$ and

ii) $\phi$ and $\rho$ are unramified at $u$, and $\text{tr}(\rho(\text{Frob}_u))^2 \neq \psi(u)N_{F/\mathbb{Q}}(u)^{k_0-2}(N_{F/\mathbb{Q}}(u)+1)^2 \pmod{\varpi}$.

In particular this implies that $L_u(\text{Ad}(\rho), 1) \in \kappa^\times$. Let us fix such a prime $u$ and denote by $\alpha_u$ and $\beta_u$ the eigenvalues of $\rho(\text{Frob}_u)$.

**LEMMA 4.6.** The natural projection $T_{P_u \cup (u)} \to T_{P_u}$ is an isomorphism.

**Proof.** It amounts to proving that if $f$ is a newform of weight $k$, central character $\psi|^{1/2-k_0}$ and level prime to $p$, and if $\rho_{f,\mathbb{F}}$ is a deformation of $\rho$ then the local component $\pi_u$ of the associated automorphic representation $\pi$ is unramified. Since $\rho_u$ is unramified, if $\pi_u$ is ramified, then necessarily the valuation of its conductor is 1 or 2. Since $\pi_u$ has unramified central character this implies that $\dim \pi_u^{K_0(u)} = 1$ or $\dim \pi_u^{K_0(u^2)} = 1$. In the first case $\pi_u$ is a special representation, hence $\alpha_u \equiv \beta_u N_{F/\mathbb{Q}}(u)^{\pm 1} \pmod{\varpi}$. In the second case $\pi_u$ is either a ramified principal series, in which case $N_{F/\mathbb{Q}}(u) \equiv 1 \pmod{p}$, or a supercuspidal representation, in which case $N_{F/\mathbb{Q}}(u) \equiv -1 \pmod{p}$ and $\text{tr}(\rho(\text{Frob}_u)) \equiv 0 \pmod{\varpi}$. In both cases this contradicts our assumptions. \[\Box\]

By lemmas 2.1(iii) and 2.2(i), for all $K \subset K_0(u)$, $Y_K$ and $Y_K^{\text{ad}}$ are smooth. However by lemma 4.6 the additional level at $u$ does not modify the local components of the Hecke algebras and cohomology modules that we consider, hence we will omit it in our notations.

### 4.4 Level structures and Hecke operators associated to $\rho$.

The cohomology of the Hilbert modular varieties for the level structures that we will introduce in this paragraph will play an important role in the study of modular deformations of $\rho$. 

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For $v$ not dividing $p$ denote by $c_v$ be the valuation of the Artin conductor of $\rho_v \otimes \nu_v^{-1}$ and by $d_v$ the dimension of $(\rho_v \otimes \nu_v)^{1/2}$ (cf Definition 4.11). Put $c_v = d_v = 0$ if $v$ divides $p$. Define

$$K_v' = \ker(K_1(v^{c_v}) \xrightarrow{\text{det}} \mathfrak{o}_v^{x} \xrightarrow{\nu_{\phi}} \mathcal{O}^x),$$

and

$$K_v'' = \ker(K_1(v^{c_v}) \cap K_0(v^{c_v+d_v}) \xrightarrow{\text{det}} \mathfrak{o}_v^{x} \xrightarrow{\nu_{\phi}} \mathcal{O}^x).$$

Note that for all but finitely many primes $v$, we have $|v_{\phi}|_{v^{\infty}} = |\phi|_{v^{\infty}} = 1$.

For a prime $u$ as in lemma 2.2(i) and a finite set of primes $\Sigma$ of $F$ not dividing $p$ we put $n_{\Sigma} = u \prod_{v \in \Sigma} v^{c_v+d_v} \prod_{v \notin \Sigma} v^{c_v}$ and

$$K_{\Sigma} = K_0(u) \cap \bigcap_{v \in \Sigma} K_v'' \bigcap_{v \notin \Sigma} K_v',$$

and $K_{\rho} = K_{\Phi}$. (17)

As in 2.4 we define Hecke operators $U_{\delta} := K_v' \left( \frac{\delta}{0 \ 1} \right)$ and $S_{\delta} := K_v'' \left( \frac{\delta}{0 \ 1} \right)$, for all $v$ where $\delta = \frac{a}{c} \in \mathfrak{o}_v^{x}$; $T_v' = [K_v' \left( \frac{a}{c} \ 0 \right) K_v']$ and $S_v' = [K_v' \left( \frac{a}{c} \ 0 \right) K_v']$ for $v \notin \Sigma$ such that $c_v = 0$; $U_v' = [K_v' \left( \frac{a}{c} \ 0 \right) K_v']$ for $v \notin \Sigma$ such that $c_v > 0$; $U_v'' = [K_v'' \left( \frac{a}{c} \ 0 \right) K_v'']$ for $v \in \Sigma$.

Let $Q$ be a finite set of primes as in 3.5.2 and let $\Delta_Q$ be the $p$-Sylow of $(\mathfrak{o}/q)^x$. Put

$$K_{0,\rho} = K_{\rho} \cap \bigcap_{q \in Q} K_0(q),$$

and $K_Q = K_{\rho} \cap \bigcap_{q \in Q} K_Q^1$. (18)

where $K_Q^1$ is the kernel of the composition of $K_0(q) \to (\mathfrak{o}/q)^x$, $(a \ b \ c \ d) \mapsto \frac{a}{d}$ with the natural projection $(\mathfrak{o}/q)^x \to \Delta_Q$.

For $q \in Q$ and $\delta \in Q$, the operator $S_{\delta} := K_Q \left( \frac{\delta}{0 \ \delta} \right)$ is trivial, the operator $U_{\delta} := [K_Q \left( \frac{\delta}{0 \ \delta} \right) K_Q^1]$ depends only on the image of $\delta$ in $\Delta_Q$, and the operator $U_q := [K_Q \left( \frac{a}{c} \ 0 \right) K_q]$ depends on the choice of $\varpi_q$ is described in 2.4.

### 4.5 Decomposing the central action.

Since our aim is to study automorphic forms with fixed central character, we will only consider open subgroups $K \subset K_{\rho}$ such that $K \cap \text{K}^x = K_{\rho} \cap \text{K}^x$. Consider the idèle class group

$$C_{\rho} := \mathcal{C}_{K_{\rho} \cap \text{K}^x}. (19)$$

The natural inclusions induce the following commutative diagram, where all morphisms are étale for the indicated (abelian) groups :

$$
\begin{array}{ccc}
Y_{\rho} & \xleftarrow{F \times K_1(n_{\phi}) / F \times K_{\rho}} & Y_1(n_{\phi}) \\
\downarrow{C_{\rho}} & & \downarrow{C_{1+2\Phi_{n_{\rho}}}} \\
Y_{\rho}^{\text{ad}} & \xleftarrow{\text{K}^x K_1(n_{\phi}) / \text{K}^x K_{\rho}} & Y_{n_{\phi}}^{\text{ad}}
\end{array}
$$

If $v \in \Sigma_{\rho}$ the $p$-Sylow subgroup of $(\mathfrak{o}/v)^x$ injects naturally in $\mathfrak{h}^x K_1(n_{\phi}) / \mathfrak{h}^x K_{\rho}$ (a fortiori in $F^x K_1(n_{\phi}) / F^x K_{\rho}$), hence acts freely on $Y_{\rho}^{\text{ad}}$ and $Y_{\rho}$. It follows that the étale morphism $Y_{\rho} \to Y_{n_{\phi}}^{\text{ad}}$ factors through an étale morphism $Y_{\rho} \to Y_{\Delta_{\rho}}^{\phi}$ with group the $p$-group

$$\Delta_{\rho}^{\phi} = (p\text{-Sylow of } C_{\rho}) \times \prod_{v \in \Sigma_{\rho}} (\mathfrak{o}_v^x / \ker(\phi_v)).$$

Recall that $[\psi]$ denotes the $\psi$-isotypic part for the action of the Hecke operators $S_v'$, $v \notin \Sigma_{\rho}$, where $\psi$ is seen as a finite order Hecke character of $C_{\rho}$, and that $[\phi_{\rho}]$ denotes the intersection of the $\phi_{\rho}$-isotypic parts for the action of the Hecke operators $U_\delta$ for $\delta \in \mathfrak{o}_v^x$. 

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For $v \notin \Sigma_\rho$ we have $U^2_\delta = S_\delta$ and since $p$ is odd, the $\phi_v$-action at those $v$ is determined by the action of the central character.

Hence the $[\psi, \bar{\nu} \phi]$-part is the intersection of the $[\phi^2, \phi]$-part for the action of the $p$-group $\Delta_\rho^p$ with the $[\psi \phi^{-2}, \bar{\nu}]$-isotypic part for the action of a prime to $p$ order group. This geometric description of the Hecke action of $\Delta_\rho^p$ will play an important role for our construction.

5. Modularity of the minimally ramified deformations.

Let $\rho : G_F \to \text{GL}_2(\kappa)$ be a continuous representation satisfying $(\text{LI}_{\text{Ind}_p})$ and $(\text{Mod}_\rho)$.

The main aim of this section is to prove:

**Theorem 5.1.** Suppose $P_\rho = \emptyset$. Then all minimally ramified deformations of $\rho$ are modular.

In the notations of [12], the above theorem amounts to prove that $\pi : R \to T$ is an isomorphism (since $\Sigma = \emptyset$ in the entire section, we shall omit the subscripts). Our proof uses a stronger version, due to Fujiwara [17, §2], of a method invented by Wiles [36] and Taylor-Wiles [35] and known as a Taylor-Wiles system (a similar formalism has been found independently by Diamond [10]).

The construction of a Taylor-Wiles system will occupy the entire section. It includes namely a geometric realization of $T$ as a Hecke algebra acting on the local component $M$ at $\rho$ of the middle degree cohomology of a Hilbert modular variety. The torsion freeness of $M$ is a crucial ingredient (**cf** Theorem 2.3(i)). Lemmas 4.6, 5.4, 5.6 and 5.7 are proved using standard fact about automorphic representations and local Langlands correspondence for $\text{GL}(2)$, whereas propositions 5.5, 5.8 and 5.9 use finer geometric arguments.

Note that Fujiwara’s formalism isn’t essential for us since we know that $M$ is free over $T_{P_\rho}$ and $T_{P_\rho}$ is Gorenstein. This fact is an important ingredient in the proof of Theorem A, and is shown in Proposition 5.3 without assuming $P_\rho = \emptyset$. Actually, we will only assume $P_\rho = \emptyset$ in 5.6.

5.1 The formalism of Taylor-Wiles systems, following Fujiwara.

**Definition 5.2.** Let $Q$ be a family of finite sets of primes $q$ of $F$ such that $N_{F/q}(q) \equiv 1 \pmod{p}$. A Taylor-Wiles system for $Q$ is a family $\{R, M, (R_Q, M_Q)_{q \in Q}\}$ such that

1. (TW1) $R_Q$ is a local complete $O[\Delta_Q]$-algebra, where $\Delta_Q = \prod_{q \in Q} \Delta_q$ and $\Delta_q$ is the $p$-Sylow of $(\sigma / q)^{\infty}$.

2. (TW2) $R$ is a local complete $O$-algebra and there is an isomorphism of local complete $O$-algebras $R \otimes O[\Delta_Q] O \cong R$.

3. (TW3) $M$ is a non-zero $R$-module, and $M_Q$ is an $R_Q$-module, free of finite rank over $O[\Delta_Q]$ and such that $M_Q \otimes O[\Delta_Q] O$ is isomorphic to $M$ as $R$-module.

We denote by $T$ the image of $R \to \text{End}_O(M)$.

When $Q = \{Q_m | m \in \mathbb{N}\}$, we will write $R_m, M_m, \ldots$ instead of $R_{Q_m}, M_{Q_m}, \ldots$.

**Theorem 5.3.** [17, §2] Let $\{R, (R_m, M_m)_{m \in \mathbb{N}}\}$ be a Taylor-Wiles system. Assume that for all $m$:

i) for all $q \in Q_m$, $N_{F/q}(q) \equiv 1 \pmod{p^m}$,

ii) $R_m$ can be generated by $\#Q_m = r$ elements as a local complete $O$-algebra.

Then, the natural surjection $R \to T$ is an isomorphism. Moreover, these algebras are flat and complete intersection of relative dimension zero over $O$ and $M$ is free over $T$.  

5.2 The rings \( \mathcal{R}_Q \).

Let \( Q \) be a finite set of auxiliary primes \( q \) of \( F \) satisfying:

i) \( \mathbb{N}_{F/Q}(q) \equiv 1 \pmod{p} \), and

ii) \( \phi \) and \( \rho \) are unramified at \( q \), and \( \rho(\text{Frob}_q) \) has two distinct eigenvalues \( \alpha_q \) and \( \beta_q \) in \( \kappa \).

For such a \( Q \) we can associate by [12] an universal deformation ring \( \mathcal{R}_Q \), endowed with a canonical surjection \( \mathcal{R}_Q \to \mathcal{R}_Q =: \mathcal{R} \). By a result of Faltings (cf. [35], Appendix) \( \mathcal{R}_Q \) is an \( \mathcal{O}[\Delta_Q] \)-algebra and \( \mathcal{R}_Q \otimes_{\mathcal{O}[\Delta_Q]} \mathcal{O} \cong \mathcal{R} \). Thus (TW1) and (TW2) hold.

More generally, for any set of primes \( P \) disjoint from \( Q \), \( \mathcal{R}_{Q \cup P} \) is a \( \mathcal{O}[\Delta_Q] \)-algebra and

\[
\mathcal{R}_{Q \cup P} \otimes_{\mathcal{O}[\Delta_Q]} \mathcal{O} \cong \mathcal{R}_P. \tag{22}
\]

In particular \( \mathcal{R}_{Q \cup P} \) is a \( \mathcal{O}[\Delta_Q] \)-algebra.

5.3 The module \( \mathcal{M} \).

Denote by \( Y_p \) the Hilbert modular varieties of level \( K_p \) defined in \[14, 15\].

Let \( S \) be a finite set of primes containing \( \Sigma_p \cup \{v \mid p\} \cup \{u\} \cup \{v \mid \phi \nu_v \text{ ramified}\} = \Sigma_{K_p} \cup \{v \mid p\} \). Denote \( m_p \) the maximal ideal of \( \mathbb{T}^S = \mathcal{O}[T_v, S_v \mid v \notin S] \) corresponding to \( p \).

Recall that \([\psi]\) denotes the \( \psi \)-isotypic part for the action of the Hecke operators \( S_v, v \notin S \), and \([\nu \phi]\) the intersection of \( [\phi \nu_v] \)-isotypic parts for the action of the Hecke operators \( U_\delta = [K_p \begin{pmatrix} \delta & 0 \\ 0 & 1 \end{pmatrix}] K_p \) for \( \delta \in \mathfrak{o}_p^* \).

Fix an eigenvalue \( \alpha_u \) of \( \rho(\text{Frob}_u) \) and consider the \( \mathcal{O} \)-module:

\[
\mathcal{M} := H^d(Y_p, \mathcal{V}_\mathcal{O})[\psi, \nu \phi](m_p, U_u - \alpha_u). \tag{23}
\]

Let \( \mathbb{T}' \) be the image of \( \mathbb{T}^S \) in the ring of \( \mathcal{O} \)-linear endomorphisms of \( \mathcal{M} \).

By Theorem \[23\] i) the \( m_p \)-localization of the \( \mathbb{T}^S \)-module \( H^d(Y_p, \mathcal{V}_\mathcal{O}) \) is free over \( \mathcal{O} \). Hence \( \mathcal{M} \) is free over \( \mathcal{O} \) as a direct factor of free \( \mathcal{O} \)-module.

Moreover, \( \mathcal{M} \) is non-zero by (\textbf{Mod}_p) and remark \[13.5\]. For any newform \( f \) occurring in \( \mathcal{M} \), consider the maximal ideal

\[
m_f = (\varpi, T'_v - \nu_p(c(f, v)), S'_v - \nu_p(\psi(v)) N_{F_p/Q}(v)^{1-k_0}, U'_v - \nu_p(c(f, v')); v \notin \Sigma_p, v' \in \Sigma_p)
\]

of \( \mathbb{T}^{\text{full}} = \mathcal{O}[T_v, S_v; v \notin \Sigma_p][U'_v; v' \in \Sigma_p] \). Note that \( m_f \cap \mathbb{T}^S = m_p \).

Let \( \mathbb{T} \) (resp. \( m \)) be the image of \( \mathbb{T}^{\text{full}} \) (resp. \( m_f \)) in the ring of \( \mathcal{O} \)-linear endomorphisms of \( H^d(Y_p, \mathcal{V}_\mathcal{O})[\psi, \nu \phi] \).

**Lemma 5.4.**

i) There is a unique isomorphism of \( \mathbb{T}^S \)-algebras \( \mathcal{T}_{P_p} \cong \mathbb{T}' \),

ii) \( \mathcal{M} \otimes \mathbb{C} \) is free of rank \( 2^d \) over \( \mathcal{T}_{P_p} \otimes \mathbb{C} \), and

iii) the natural injective algebra homomorphism \( \mathbb{T}' \hookrightarrow \mathbb{M} \) is an isomorphism.

**Proof.**

(i) By lemma \[4.6\] we have \( \mathcal{T}_{P_p \cup \{u\}} \cong \mathcal{T}_{P_p} \). Since \( \mathcal{O} \)-algebras \( \mathcal{T}_{P_p \cup \{u\}} \) and \( \mathbb{T}' \) are torsion free (the first one by definition, the second one because \( \mathcal{M} \) is free over \( \mathcal{O} \)), it is enough to show that there is an unique isomorphism of \( \mathbb{T}^S \otimes \mathbb{C} \)-algebras between \( \mathcal{T}_{P_p \cup \{u\}} \otimes \mathbb{C} \) and \( \mathcal{T}' \otimes \mathbb{C} \) (tensors being over \( \mathcal{O} \) for some fixed embedding \( \mathcal{O} \hookrightarrow \mathbb{C} \)).

Consider a (cuspidal) automorphic representation \( \pi \) generated by a holomorphic newform \( f \) of weight \( k \), central character \( \psi \cdot |z|^{2-k_0} \) and prime to \( p \) conductor. By definition \( \pi \) contributes to \( \mathcal{T}_{P_p \cup \{u\}} \otimes \mathbb{C} \) if, and only if, for all primes \( v \mid py, v \notin P_p, \phi f_p|G_{F_v} \) is a minimally ramified deformation of \( \rho_v \).
For \( v \notin P_\rho \), \( v \neq y \), remark [13.4] shows that \( \phi^{-1} \otimes \rho_{f,P}|_{G_{F_v}} \) is a minimally ramified deformation of \( \rho_0 \) if, and only if, \((\phi \tilde{\nu}_v)^{-1} \otimes \rho_{f,P}|_{G_{F_v}} \) has conductor \( c_v \). By Carayol’s Theorem [5] on the compatibility between the local and the global Langlands correspondences this is equivalent to \((\pi_v \otimes (\phi \tilde{\nu}_v)^{-1})K_1^{(v^C)} \cong \pi_v^{K_v} \neq 0 \).

If \( v \in P_\rho \) then \( \dim(\rho_{f,P} \otimes (\phi \tilde{\nu}_v)^{-1})I_v = \dim(\rho \otimes \nu_v^{-1})I_v = 0 \), hence \((\phi \tilde{\nu}_v)^{-1} \otimes \rho_{f,P}|_{G_{F_v}} \) has conductor \( c_v \) and so \((\pi_v \otimes (\phi \tilde{\nu}_v)^{-1})K_1^{(v^C)} \neq 0 \).

Finally, the argument of lemma [14.6] shows that \( \pi_u \) is unramified, hence \( \pi_u^{K_0(u)} \) is two dimensional and contains an unique eigenline for \( U _\alpha \) with eigenvalue \( \tilde{\alpha}_u \) congruent to \( \alpha_u \) modulo \( \varpi \).

Therefore, \( \pi \) contributes to \( M \otimes \mathbb{C} \). By the Matsushima-Shimura-Harder isomorphism, this is equivalent to \( \pi \) contributing to \( T \otimes \mathbb{C} \). Conversely, if \( \pi \) contributes to \( T \otimes \mathbb{C} \), the same arguments show that \( \pi \) contributes to \( T_{P_\rho,\psi(v)} \otimes \mathbb{C} \).

(ii) Let \( \pi \) be an automorphic representation contributing to \( T' \otimes \mathbb{C} \). As a byproduct of the computations in (i) we have \( \dim \pi_u^{K_0(u)}[U_u - \tilde{\alpha}_u] = 1 \) and \( \dim \pi_v^{K_v}[\phi \tilde{\nu}_v] = 1 \) for all \( v \neq y \). By the Matsushima-Shimura-Harder isomorphism, the \( \pi_v \)-part of \( M \otimes \mathbb{C} \) is \( 2^d \)-dimensional.

(iii) We have to show that for all \( v \in S \) the image \( T'_v \) (or \( U'_v \)) in \( \operatorname{End}_0(M) \) belong to \( T' \). The argument uses local Langlands correspondence and the fact that \( M \) is torsion free. As observed in §1.2 there exists a \( P_\rho \)-deformation \( \tilde{\rho} \) of \( \rho \) with coefficients in \( T_{P_\rho} \) and by (i) there is an unique isomorphism of \( S \) algebras \( T_{P_\rho} \cong T' \). It remains to prove that the resulting homomorphism \( T_{P_\rho} \to T_m \) is surjective.

If \( v \notin \Sigma_\rho \), then the eigenvalue of \( T'_v \) on \( \pi_v^{K_v}[\phi \tilde{\nu}_v] \) equals the eigenvalue of \( T_v \) on \( (\pi_v \otimes (\phi \tilde{\nu}_v)^{-1})K_1^{(v^C)} \).

Recall that \( \nu_v(\varpi_v) = 1 \). Hence the action of \( T'_v \) on \( M \) is given by \( \operatorname{tr}(\tilde{\rho} \otimes (\phi \tilde{\nu}_v)^{-1})(\operatorname{Frob}_v) \in T_{P_\rho} \).

If \( v \in S_\rho \), then the eigenvalue of \( U'_v \) on \( \pi_v^{K_v}[\phi \tilde{\nu}_v] \) equals the eigenvalue of \( U_v \) on \( (\pi_v \otimes (\phi \tilde{\nu}_v)^{-1})K_1^{(v^C)} \).

Hence the action of \( U'_v \) on \( M \) is given by the eigenvalue of \( (\tilde{\rho} \otimes (\phi \tilde{\nu}_v)^{-1})(\operatorname{Frob}_v) \) on the line \( (\tilde{\rho} \otimes (\phi \tilde{\nu}_v)^{-1})I_v \) hence belongs to \( T_{P_\rho} \).

If \( v \in \Sigma_\rho \setminus S_\rho \), then \( U'_v = 0 \). This completes the proof. \( \square \)

**PROPOSITION 5.5.** \( M \) is free of rank \( 2^d \) over \( T_{P_\rho} \) and \( T_{P_\rho} \) is Gorenstein.

**Proof.** Put \( W = \operatorname{H}^d(Y_{\rho},V_{\kappa})[\psi,\tilde{\nu},\phi]|_{m,\rho - \alpha_\psi} \). By lemma [5.4] and [13, lemma 6.8], it is enough to show that \( W[m] = M \otimes _{\pi_{\kappa}} \kappa \) is a \( \kappa \)-vector space of dimension at most \( 2^d \).

As in the proof of Proposition 3.1, the condition (LL\text{Ind}_\rho) implies that every \( G_{\tilde{F}} \)-irreducible subquotient of \( W[m] \subset W[m] \) is isomorphic to \( \otimes \operatorname{Ind}_F^G \rho \). Therefore it is enough to check that the last graded piece of the Fontaine-Laffaille module attached to \( W[m] \) has dimension \( \leq 1 \). Again as in the proofs of Theorem 2.3 and Proposition 5.1, this amounts to showing that :

\[
\dim \operatorname{H}^d(Y_{\rho,\kappa},\omega^k \otimes L^{1-k_0/2})[\psi,\nu,m] \leq 1.
\]  

(24)

By the \( q \)-expansion Principle, a Hilbert modular form in \( \operatorname{H}^d(Y_{\rho,\kappa},\omega^k \otimes L^{1-k_0/2})[\nu] \) is uniquely determined by the coefficients of its \( q \)-expansion. The coefficients are indexed by \( (F \otimes \mathbb{Z})/\prod \ker(\nu_v) \), hence a form in \( \operatorname{H}^d(Y_{\rho,\kappa},\omega^k \otimes L^{1-k_0/2})[\nu] \) is uniquely determined by the subset of its coefficients indexed by ideal of \( F \), and it is a standard fact that coefficients at non-integral ideals vanish.

Finally, the coefficients of a form in \( \operatorname{H}^d(Y_{\rho,\kappa},\omega^k \otimes L^{1-k_0/2})[\nu] \) are uniquely determined, since they are related to the eigenvalues of \( T'_v, S'_v \) and \( U'_v \), and those are fixed in the \( [\psi,m] \)-part. \( \square \)

**5.4 The modules \( M^Q \).**

Denote by \( Y_0,Q \) (resp. \( Y^Q \)) the Hilbert modular varieties of level \( K_0,Q \) (resp. \( K^Q \)) introduced in [4.3]. The natural homomorphism \( Y^Q \to Y_0,Q \) induced by the inclusion \( K^Q \subset K_0,Q \), is étale with group \( \Delta_Q \).
Assume that $S$ contains $\Sigma_p \cup \{v \mid p \} \cup Q \cup \{u\} \cup \{v \mid \phi \overline{\nu}_e \text{ ramified}\} = \Sigma_K \cup \{v \mid p \}$.

Let $\mathcal{T}'_{0,Q}$ be the image of the Hecke algebra $\mathbb{T}^S$ in the ring of $\mathcal{O}$-linear endomorphisms of:

$$\mathcal{M}_{0,Q} := H^d(Y_{0,Q},\mathcal{V}_\mathcal{O})[\psi, \overline{\nu}\phi]_{(m_p,U_u - \alpha_u,U_v - \alpha_v,q \in Q)}. \quad (25)$$

Let $\mathcal{T}'_Q$ be the image of the Hecke algebra $\mathbb{T}^S[\Delta_{Q}]$ in the ring of $\mathcal{O}$-linear endomorphisms of

$$\mathcal{M}^Q := H^d(Y_Q,\mathcal{V}_\mathcal{O})[\psi, \overline{\nu}\phi]_{(m_p,U_u - \alpha_u,U_v - \alpha_v,q \in Q)}. \quad (26)$$

Note that the group $\Delta_{Q}$ acts on $H^d(Y_Q,\mathcal{V}_\mathcal{O})$ via the Hecke operators $U_\delta, \delta \in \mathfrak{q}, \mathfrak{q} \in Q$ defined in §5.4.

Note that whereas $U_q \in \text{End}_\mathcal{O}(H^d(Y_Q,\mathcal{V}_\mathcal{O})[\psi, \overline{\nu}\phi]_{m_p})$ depends on the choice of an uniformizer, the ideal $(\varpi, U_q - \alpha_q)$ doesn’t, so $\mathcal{M}^Q$ doesn’t.

Again by Theorem 2.3(i) the modules $\mathcal{M}_{0,Q}$ and $\mathcal{M}^Q$ are free over $\mathcal{O}$, hence $\mathcal{T}'_{0,Q}$ and $\mathcal{T}'_Q$ are torsion free.

By lemma 5.4 for all $\mathfrak{q} \in Q$, the Hecke operators $T_q$ and $S_q$ belong to $\mathcal{T}_{P_q} \cong \mathcal{T}'$, hence act on $\mathcal{M}$. By §5.2 and Hensel’s lemma the polynomial $X^2 - T_qX + S_qN_{F/Q}(q) \in \mathcal{T}_{P_q}[X]$ has an unique root $\overline{\alpha}_q \in \mathcal{T}_{P_q}$ (resp. $\overline{\beta}_q \in \mathcal{T}_{P_q}$) above $\alpha_q$ (resp. $\beta_q$).

**Lemma 5.6.** There exists an unique isomorphism of $\mathbb{T}^S$-algebras $\mathcal{T}'_{0,Q} \cong \mathcal{T}'$.

**Proof.** As in lemma 5.4(i) it is enough to show that there is an isomorphism of $\mathbb{T}^S$-algebras $\mathcal{T}'_{0,Q} \otimes \mathbb{C} \cong \mathcal{T}' \otimes \mathbb{C}$.

The local component at $\mathfrak{q}$ of an automorphic representation $\pi$ contributing to $\mathcal{T}'_{0,Q} \otimes \mathbb{C}$ (or $\mathcal{M}_{0,Q} \otimes \mathbb{C}$) admits invariants by $K_0(\mathfrak{q})$ and cannot be special (since $\alpha_q \neq \beta_q |N_{F/Q}(q)|^{\frac{1}{2}}$ by our assumptions in §5.2); hence it is necessarily an unramified principal series and so contributes to $\mathcal{M} \otimes \mathbb{C}$ and $\mathcal{T}' \otimes \mathbb{C}$. Moreover, $\pi$ contributes with the same multiplicity both in $\mathcal{M}_{0,Q} \otimes \mathbb{C}$ and $\mathcal{M} \otimes \mathbb{C}$.

The proof of this fact is very similar to the proof of lemma 5.4(ii), once we notice that for every such $\pi$, $\pi_{K_0(\mathfrak{q})}$ is two dimensional and contains an unique eigenline for $U_{\mathfrak{q}}$ with eigenvalue congruent to $\alpha_q$ modulo $\varpi$. \hfill $\square$

**Lemma 5.7.** There is an unique isomorphism of $\mathbb{T}^S[\Delta_{Q}]$-algebras $\mathcal{T}_{P_q \cup Q} \cong \mathcal{T}'_Q$. 

**Proof.** Both $\mathcal{T}_{P_q \cup Q}$ and $\mathcal{T}_Q$ are defined as images of $\mathbb{T}^S[\Delta_{Q}]$ hence the uniqueness. For the existence, as in lemma 5.4(i), it is enough to show that there is an isomorphism of $\mathbb{T}^S[\Delta_{Q}]$-algebras between $\mathcal{T}_{P_q \cup Q} \otimes \mathbb{C}$ and $\mathcal{T}'_Q \otimes \mathbb{C}$.

Consider a (cuspidal) automorphic representation $\pi$ generated by a holomorphic newform $f$ of weight $k$, central character $\psi \mid |2-k_0|$ and prime to $p$ conductor.

If $\pi$ contributes $\mathcal{T}'_{Q} \otimes \mathbb{C}$ then it necessarily contributes to $\mathcal{T}_{P_q \cup Q} \otimes \mathbb{C}$, since by the proof of lemma 5.4(i) $\rho_{f,p}$ satisfies all the deformation conditions at primes outside $Q$, and there is no deformation conditions at primes in $Q$.

Conversely, suppose that $\pi$ contributes to $\mathcal{T}_{P_q \cup Q} \otimes \mathbb{C}$. By [35 Appendix], $\rho_{f,p}|_{\mathfrak{q}_p}$ is decomposable and $\rho_{f,p}|_{\mathfrak{q}_p} \cong \chi \oplus \chi^{-1}$ where $\chi$ factors through the natural surjective homomorphism $I_q \to \mathfrak{o}_q^\times \to (\mathfrak{o} / \mathfrak{q})^\times \to \Delta_q$. By the local Langlands correspondence $\pi_{\mathfrak{q}}$ is a principal series induced from two characters whose restriction to $\mathfrak{o}_q^\times$ are $\chi$ and $\chi^{-1}$. It follows that

$$\pi_{K_0(\mathfrak{q})} = \begin{cases} 
\pi_{\mathfrak{q}}^{K_0(\mathfrak{q})} & \text{if } \chi \text{ is trivial}, \\
(\pi_{\mathfrak{q}} \otimes \chi)^{K_1(\mathfrak{q})} \oplus (\pi_{\mathfrak{q}} \otimes \chi^{-1})^{K_1(\mathfrak{q})} & \text{if } \chi \text{ is non-trivial}.
\end{cases} \quad (27)$$

In both cases $\pi_{K_0(\mathfrak{q})}$ is two dimensional and splits under the action of $U_{\mathfrak{q}}$ as a direct sum of two lines, one with eigenvalue $\overline{\alpha}_q$ congruent to $\alpha_q$ modulo $\varpi$ and one with eigenvalue $\overline{\beta}_q$ congruent to $\beta_q$.
On Ihara’s lemma for Hilbert Modular Varieties

modulo \( \varpi \). Hence \( \pi_q^{K_q} [U_q - \alpha_q] \neq 0 \). Note that whereas \( U_q \) and the eigenvalue depend on the choice of an uniformizer, the decomposition doesn’t.

Also, note that by local Langlands correspondence, the \( \Delta_q \)-action on \( T'_q \otimes \mathbb{C} \) coming from the Hecke action of \( K_0(q) \) on \( \pi_q^{K_q} \), corresponds to the \( \Delta_q \)-action on \( T'_{P,u,Q} \otimes \mathbb{C} \) coming from the \( I_q \)-action on \( \rho_f \).

The above discussion at primes in \( Q \) together with the arguments of lemma 5.3(i) at the primes outside \( Q \) imply that \( \pi \) contributes to \( \mathcal{M}^{Q} \otimes \mathbb{C} \), hence to \( T'_q \otimes \mathbb{C} \).

\[ \square \]

5.5 The condition (TW3).

**Proposition 5.8.** There is a \( \mathbb{T}^{S} \)-linear isomorphism \( \mathcal{M} \sim \mathcal{M}_{0,Q} \) such that the \( U_q \)-action on \( \mathcal{M}_{0,Q} \) correspond to the \( \tilde{\alpha}_q \)-action on \( \mathcal{M} \).

**Proof.** We may assume that \( Q = \{ q \} \) and prove the lemma with \( K_p \) replaced by \( K_{0,Q \backslash \{ q \}} \) in the definitions of \( Y_p, T' \) and \( \mathcal{M} \). Consider the \( \mathbb{T}^{S} \)-linear homomorphism:

\[ \mathcal{M} \rightarrow \mathcal{M}^{2}, \ x \mapsto (x, -x)\tilde{\beta}_q. \]

Let \( U_q \) be the \( \mathbb{T}^{S} \)-linear endomorphism of \( \mathcal{M}^{2} \) given by the matrix \( \left( \begin{array}{cc} T_q - N_{\psi,q}(q)S_q & 0 \\ 1 & 0 \end{array} \right) \) acting on the right. Since its eigenvalues \( \tilde{\alpha}_q \) and \( \tilde{\beta}_q \) are distinct modulo \( \varpi \), it induces an isomorphism:

\[ \mathcal{M} \sim (\mathcal{M}^{2})_{(U_q - \alpha_q)}. \]

Consider the natural degeneracy maps \( pr_1, pr_2 : Y_{0,q} \rightarrow Y_p \) used in the definition of the Hecke correspondence \( T_q \) in (2.1). The \( \mathbb{T}^{S} \)-linear homomorphism \( pr_1^* + pr_2^* : H^d(Y_p, \mathcal{V}_O)^2 \rightarrow H^d(Y_{0,q}, \mathcal{V}_O) \) yields (after taking \([\psi, \nu\phi]\)-parts and localizing at \( m_p \)):

\[ \xi : H^d(Y_p, \mathcal{V}_O)[\psi, \nu\phi] \rightarrow H^d(Y_{0,q}, \mathcal{V}_O)[\psi, \nu\phi]_{m_p}. \]

From the definition of \( U_q \) acting on \( \mathcal{M}^{2} \) we see that \( \xi \) is \( U_q \)-linear. It is also \( U_{\mu}\)-linear, hence after localization at \( (\varpi, U_q - \alpha_q, U_{\mu} - \alpha_{\mu}) \) induces:

\[ \xi' : (\mathcal{M}^{2})_{(U_q - \alpha_q)} \rightarrow \mathcal{M}_{0,q}. \]

It is enough to show then that \( \xi' \) is an isomorphism.

By lemma 5.6 and its proof, we see that \( \xi' \otimes \text{id}_C \) is an isomorphism. It remains to prove that \( \xi \) (hence \( \xi' \)) is injective with flat cokernel.

Let \( \tilde{\xi} \) be the dual of \( \xi \) with respect to the modified Poincaré pairing defined in (2.3). The matrix of \( \tilde{\xi} \circ \xi : (\mathcal{M} \otimes \mathbb{K})^2 \rightarrow (\mathcal{M} \otimes \mathbb{K})^2 \) is given by \( \left( \begin{array}{cc} 1 + N_{\psi,q}(q) & S_q \epsilon^{-1}T_q \\ T_q & 1 + N_{\psi,q}(q) \end{array} \right) \). It is invertible by our assumptions on \( q \). Therefore \( \xi \) is injective with flat cokernel.

By §5.2 \( \mathcal{R}_{P,u,Q} \) is an \( O(\Delta_Q) \)-algebra. Hence the surjective homomorphism of local \( O \)-algebras \( \pi_{\Sigma} : \mathcal{R}_{P,u,Q} \rightarrow T_{P,u,Q} \) defined in (1.2) endows \( T_{P,u,Q} \) with \( O(\Delta_Q) \)-algebra structure.

**Proposition 5.9.** \( \mathcal{M}^{Q} \) is a free \( O(\Delta_Q) \)-module and \( \mathcal{M}^{Q} \otimes_{O(\Delta_Q)} O \cong M_{0,Q} \) as \( \mathbb{T}^{S} \)-modules.

**Proof.** By Theorem (2.1) \( H^d(Y^{Q}, \mathcal{V}_O)_{m_p} \) is free over \( O(\Delta_Q) \) and the \( \mathbb{T}^{S} \)-module of its \( \Delta_Q \)-coinvariants is isomorphic to \( H^d(Y_{0,Q}, \mathcal{V}_O)_{m_p} \). If the class group \( C_p \) defined in (2.4) has order prime to \( p \) (in particular \( \phi \) is trivial) then the claim follows simply by taking the \([\psi, \nu\phi]\)-part. In fact the \([\psi, \nu\phi]\)-part, for the action of a prime to \( p \) order group, of a free \( O(\Delta_Q) \)-module is a free \( O(\Delta_Q) \)-direct factor.

In the general case, denote by \( \Delta_{\phi}^{\rho} \) the \( p \)-Sylow subgroup of \( C_{\rho} \times \prod_{v \in \Sigma_{\rho}} (\alpha_{\tilde{\phi}} / \ker(\phi_v)) \). As in (2.4) the \( p \)-group \( \prod_{v \in \Sigma_{\rho}} (\alpha_{\tilde{\phi}} / \ker(\phi_v)) \) injects in \( \mathbb{A}^\times K_0(Q \mathfrak{n}_\rho) / \mathbb{A}^\times K_0 Q \) and a fortiori in \( \mathbb{A}^\times K_0(Q \mathfrak{n}_\rho) / \mathbb{A}^\times K^Q \).
Also the morphisms $Y_Q \to Y_Q^{ad}$ and $Y_{0,Q} \to Y_{0,Q}^{ad}$ are étale with group $C_{\rho}$. Hence the étale morphism $Y_Q \to Y_{Q,nw}^{ad}$ (resp. $Y_{0,Q} \to Y_{0,Q}^{ad}$) factors through an étale morphism $Y_Q \to Y_Q^\Delta$ (resp. $Y_{0,Q} \to Y_{0,Q}^\Delta$) with group $\Delta_{\rho}$. Then Theorem 2.4(i) applies to each of the five étale morphisms in the following diagram:

\[
\begin{array}{ccc}
\Delta_Q & \cong & \Delta_{\rho} \\
\downarrow & & \downarrow \\
Y_Q & \cong & Y_{0,Q} \\
\Delta_{\rho} & \cong & \Delta_Q \\
\end{array}
\]

In particular, $H^d(Y_Q, \mathbb{V}_O)_{\mathfrak{m}_p}$ is free over $O[\Delta_{\rho} \times \Delta_Q]$, hence $H^d(Y_Q, \mathbb{V}_O)_{\mathfrak{m}_p}[\phi]$ is free over $O[\Delta_Q]$ and

\[
H^d(Y_Q, \mathbb{V}_O)_{\mathfrak{m}_p}[\phi] \otimes_{O[\Delta_Q]} O \cong \left( H^d(Y_Q, \mathbb{V}_O)_{\mathfrak{m}_p} \otimes_{O[\Delta_Q]} O \right)[\phi] \cong H^d(Y_{0,Q}, \mathbb{V}_O)_{\mathfrak{m}_p}[\phi].
\]

Taking further the $[\psi \phi^{-1}, \nu]$-part, for the action of the prime to $p$ order group $(\mathcal{C}_{\rho} / \Delta_{\rho}^\times) \times \prod_v (\alpha_v^\times / \ker(\nu_v))$, and using the argument invoked in the beginning of the proof, yields the desired result.

So far we have constructed a Taylor-Wiles system $\{R_{m}^{0}(\mathcal{M}, \mathcal{M}^{Q}_{\mathbb{Q}_{\mathbb{Q}}})\}$ for the family $Q$ of sets $Q$ containing a finite number of primes $q$ as in 5.2. The aim of the next paragraph is to find a subfamily $\{Q_{m}|m \in \mathbb{N}\}$ satisfying the conditions (i) and (ii) of Theorem 5.3.

### 5.6 Selmer groups.

We assume in this paragraph that $P_{\rho} = \emptyset$. Let $\rho_{f,p}$ be a modular deformation of $\rho$ as in $(\text{Mod}_{\rho})$. For $r \geq 1$ we put $\rho_r := \rho_{f,p} \mod \varpi^r$, so that $\rho_1 = \rho$.

We will use Galois cohomology techniques in order to control the number of generators of $\mathcal{R}_{Q}$.

**Definition 5.10.** For $v | p$ the subgroup $\mathbb{H}_1^{1}(F_v, \text{Ad}^0 \rho_r) \subset \mathbb{H}^{1}(F_v, \text{Ad}^0 \rho_r)$ consists of classes corresponding to crystalline extensions of $\rho_r$ by itself.

For $v \nmid p$ the subgroup of unramified classes $\mathbb{H}_1^{1}(F_v, \text{Ad}^0 \rho_r) \subset \mathbb{H}^{1}(F_v, \text{Ad}^0 \rho_r)$ is defined as $\mathbb{H}^{1}(\mathcal{G}_{F_v}/I_v, (\text{Ad}^0 \rho_r)^I_v)$.

**Definition 5.11.** The Selmer groups associated to a finite set of primes $\Sigma$ are defined as

\[
\mathbb{H}_{\Sigma}^{1}(F, \text{Ad}^0 \rho_r) = \ker \left( \mathbb{H}^{1}(F, \text{Ad}^0 \rho_r) \to \bigoplus_{v \notin \Sigma} \mathbb{H}^{1}(F_v, \text{Ad}^0 \rho_r)/(\mathbb{H}^{1}(F_v, \text{Ad}^0 \rho_r)) \right),
\]

and $\mathbb{H}_{\Sigma}^{1}(F, \text{Ad}^0 \rho_{f,p} \otimes \mathbb{Q}_p / \mathbb{Z}_p) = \lim_{\rightarrow} \mathbb{H}_{\Sigma}^{1}(F, \text{Ad}^0 \rho_r)$.

The dual of $\text{Ad}^0 \rho$ is canonically isomorphic to its Tate twist $\text{Ad}^0 \rho(1)$. The corresponding dual Selmer group $\mathbb{H}_{\Sigma}^{1}(F, \text{Ad}^0 \rho(1))$ is defined as the kernel of the map

\[
\mathbb{H}^{1}(F, \text{Ad}^0 \rho(1)) \to \bigoplus_{v \in \Sigma} \mathbb{H}^{1}(F_v, \text{Ad}^0 \rho(1)) \bigoplus \mathbb{H}^{1}(F_v, \text{Ad}^0 \rho(1))/(\mathbb{H}^{1}(F_v, \text{Ad}^0 \rho(1))).
\]

The Poitou-Tate exact sequence yields the following formula:

\[
\frac{\# \mathbb{H}_{\Sigma}^{1}(F, \text{Ad}^0 \rho)}{\# \mathbb{H}_{\Sigma}^{1}(F, \text{Ad}^0 \rho(1))} = \frac{\# \mathbb{H}^{0}(F, \text{Ad}^0 \rho)}{\# \mathbb{H}^{0}(F, \text{Ad}^0 \rho(1))} \prod_{v \in \Sigma} \frac{\# \mathbb{H}^{1}(F_v, \text{Ad}^0 \rho_v)}{\# \mathbb{H}^{0}(F_v, \text{Ad}^0 \rho_v)} \prod_{v \mid p^{\infty}} \frac{\# \mathbb{H}_{v}^{1}(F_v, \text{Ad}^0 \rho_v)}{\# \mathbb{H}^{0}(F_v, \text{Ad}^0 \rho_v)}. \tag{29}
\]
A proof for $F = \mathbb{Q}$ can be found in [36] Proposition 1.6, but as mentioned in [7] Theorem 2.19 the same argument works over an arbitrary number field.

By $(\text{LI}_{\text{Ind}}\rho)$ we have $H^0(F, \text{Ad}^0 \rho) = H^0(F, \text{Ad}^0 \rho(1)) = 0$. Since $\rho$ is totally odd, for all $v | \infty$ we have $\dim H^0(F_v, \text{Ad}^0 \rho_v) = 1$. Since $\rho$ is crystalline at all places $v$ dividing $p$ we have

$$\dim H^1_t(F_v, \text{Ad}^0 \rho_v) - \dim H^0(F_v, \text{Ad}^0 \rho_v) \leq [F_v : \mathbb{Q}_p]$$

(cf [17] Theorem 3.20 and also [11] Cor.2.3). Finally, for all $q \in \mathcal{Q}$, $\dim H^0(F_q, \text{Ad}^0 \rho_q(1)) = 1$. Putting all together we obtain:

**Lemma 5.12.** $\dim H^1_Q(F, \text{Ad}^0 \rho) \leq H^1_Q(F, \text{Ad}^0 \rho(1)) + \#Q$.

Finally, by the same arguments as in [36] §3 we obtain:

**Lemma 5.13.** Let $m \geq 1$ be an integer. Then for each non-zero element $x \in H^0_Q(F, \text{Ad}^0 \rho(1))$ there exists a prime $q$ such that:

- $N_{F/\mathbb{Q}}(q) \equiv 1 \pmod{p^m}$,
- $\rho$ is unramified at $q$ and $\rho(q(Frob_q))$ has two distinct eigenvalues in $\kappa$, and
- the image by the restriction map of $x$ in $H^1(F_q, \text{Ad}^0 \rho(1))$ is non-trivial.

Put $r := \dim H^0_Q(F, \text{Ad}^0 \rho(1))$. For each $m \geq 1$, let $Q_m$ be the set of primes $q$ corresponding by the above lemma to the elements of a basis of $H^0_Q(F, \text{Ad}^0 \rho(1))$. Then $H^1_Q(F, \text{Ad}^0 \rho(1)) = 0$ and by lemma 5.12 we obtain $\dim H^0_{Q_m}(F, \text{Ad}^0 \rho) \leq \#Q_m$. Therefore $R_m$ is generated by at most $\#Q_m = r$ elements. This completes the proof of Theorem 5.1

### 6. Raising the level.

#### 6.1 Numerical invariants.

**Definition 6.1.** For a local complete noetherian $\mathcal{O}$-algebra $A$ endowed with a surjective homomorphism $\theta_A : A \to \mathcal{O}$, we define the following two invariants:

- the congruence ideal $\eta_A := \theta_A(\text{Ann}_A(\ker \theta_A)) \subset \mathcal{O}$, and
- the module of relative differentials $\Phi_A := \Omega^1_{A/\mathcal{O}} = \ker \theta_A/(\ker \theta_A)^2$.

Here we state Wiles’ numerical criterion:

**Theorem 6.2.** [36 Theorem 3.40] Let $\pi : R \to T$ be a surjective homomorphism such that $\theta_T = \pi \circ \theta_R$. Assume that $T$ is finite and flat over $\mathcal{O}$ and $\eta_T \neq (0)$. Then the following three conditions are equivalent:

i) $\#\Phi_R \leq \#(\mathcal{O}/\eta_T)$,
ii) $\#\Phi_R = \#(\mathcal{O}/\eta_T)$, and
iii) $R$ and $T$ are complete intersections over $\mathcal{O}$ and $\pi$ is an isomorphism.

We consider couples $(T, M)$ consisting of a finite and flat $\mathcal{O}$-algebra $T$ and a $T$-module $M$ which is a finitely generated free $\mathcal{O}$-module endowed with a perfect $T$-linear pairing $\langle \cdot, \cdot \rangle : M \times M \to \mathcal{O}$ and such that $M \otimes E$ is free over $T \otimes E$ of a given rank (in our application this rank will be $2^d$). The pairing induces an isomorphism of $T$-modules $M \cong \text{Hom}(M, \mathcal{O})$.

From [7] Lemma 4.17 and [11] Theorem 2.4 we deduce the following:

**Proposition 6.3.** Let $(T, M)$ and $(T', M')$ be two couples as above. Assume that we have a surjective homomorphism $T' \to T$ and a $T'$-linear injective homomorphism $\xi : M \hookrightarrow M'$ inducing via $\langle \cdot, \cdot \rangle$ a surjective homomorphism $\hat{\xi} : M' \to M$. 

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If \( \mathcal{M} \) is free over \( T \) and if \( \xi \circ \xi(\mathcal{M}) = T \cdot \mathcal{M} \) for some \( T \in T \) then
\[
\#(\mathcal{O} / \eta T) \#(\mathcal{O} / \theta T(T)) \leq \#(\mathcal{O} / \eta T).
\]
Moreover, equality holds if, and only if, \( \mathcal{M}' \) is free over \( T' \).

### 6.2 Proof of theorem A.

Let \( \Sigma \) be a finite set of primes containing \( P_\rho \). We start by redefining \( T_\Sigma \) geometrically.

Let \( Y_\Sigma \) be the Hilbert modular variety of level \( K_\Sigma \) defined in \([14]\).

Let \( S \) be a finite set of primes containing \( \Sigma \cup \{ v \mid p \} \cup \{ v \mid \phi \tilde{v}_v \text{ ramified} \} = K_\Sigma \cup \{ v \mid p \} \).

Let \( T'_\Sigma \) be the image of \( T^S \) in the ring of \( \mathcal{O} \)-linear endomorphisms of :
\[
\mathcal{M}_\Sigma := H^d(Y_\Sigma, \mathcal{O})[\psi, \tilde{\psi}]_{m_0, U_{u-a}, U_{q_q}; q \in \Sigma}. \tag{31}
\]

By Theorem 2.3(i) \( \mathcal{M}_\Sigma \) is free of finite rank over \( \mathcal{O} \).

For every Hilbert modular newform \( f \) occurring in \( T_\Sigma \) we denote by \( \theta^\Sigma_f : T_\Sigma \to \mathcal{O} \) the projection on the \( f \)-component and by \( \eta^\Sigma_f \) the corresponding congruence ideal.

**Lemma 6.4.** i) There is an unique isomorphism of \( \mathcal{T}^S \)-algebras \( T'_\Sigma \cong T_\Sigma \).

ii) \( \mathcal{M}_\Sigma \otimes \mathbb{C} \) is free of rank \( 2d \) over \( T_\Sigma \otimes \mathbb{C} \) and \( U''_q \) acts as 0 on it for all \( q \in \Sigma \).

**Proof.** We follow closely the proofs of lemmas 5.3 and 5.7. The main point here is to show that, if \( f \) is a Hilbert modular newform occurring in \( T_\Sigma \otimes \mathbb{C} \) and \( \pi \) denotes the corresponding automorphic representation, then then for all \( q \in \Sigma \), \( (\pi_q)_{K_\Sigma}^{K_0(q^2)}[\phi_q \tilde{\phi}_q] = (\pi_q \otimes \phi_q^{-1}\tilde{\phi}_q^{-1})K_1(q^2) \cap K_0(q^4 + d_q) \) contains an unique eigenline for \( U''_q \) with eigenvalue congruent to 0 modulo \( \varpi \) (and this eigenvalue is actually 0). We distinguish three cases.

- If \((\tilde{\phi}_q \phi_q)^{-1} \otimes \rho_{f,p} \) is unramified at \( q \), then necessarily \( d_q = 2, c_q = 0 \) and
  \[
  \dim \left( (\pi_q \otimes \phi_q^{-1}\tilde{\phi}_q^{-1})K_1(q^2) \cap K_0(q^4 + d_q) \right) = 3.
  \]

  The characteristic polynomial of \( U''_q = [K_0(q^2) \otimes (\varpi_q 0) K_0(q^2)] \) acting on it is given by :
  \[
  X(X^2 - c(f, q)X + \psi(q)N_{F/Q}(q)^{K_0(q^2) - 1}) = \theta^\Sigma_f(X(X^2 - T'_qX + S'_qN_{F/Q}(q))),
  \]
  and \( X = 0 \) is simple root modulo \( \varpi \) of this polynomial.

- If \( \dim ((\phi_q \phi_q)^{-1} \otimes \rho_{f,p})T_q = 1 \), then \( d_q \geq 1 \) and
  \[
  \dim \left( (\pi_q \otimes \phi_q^{-1}\tilde{\phi}_q^{-1})K_1(q^2) \cap K_0(q^4 + d_q) \right) = 2.
  \]

  The characteristic polynomial of \( U''_q = [K_0(q^{c_q + d_q}) \otimes (\varpi_q 0) K_0(q^{c_q + d_q})] \) acting on it is given by :
  \[
  X(X - c(f, q)) = \theta^\Sigma_f(X(X - U'_q)),
  \]
  where \( U'_q = [K_0(q^{c_q + d_q} - 1) \otimes (\varpi_q 0) K_0(q^{c_q + d_q} - 1)] \) and \( X = 0 \) is simple root modulo \( \varpi \) of this polynomial.

- Finally, if \( ((\phi_q \phi_q)^{-1} \otimes \rho_{f,p})T_q = \{ 0 \} \), then
  \[
  \dim \left( (\pi_q \otimes \phi_q^{-1}\tilde{\phi}_q^{-1})K_1(q^2) \cap K_0(q^4 + d_q) \right) = 1,
  \]
  and \( U''_q = 0 \) on it. This completes the proof. \( \square \)

By \([12]\) we have a surjection \( \pi_\Sigma : R_\Sigma \to T_\Sigma \). Therefore we may endow \( R_\Sigma \) with a surjective homomorphism \( \theta^\Sigma \circ \pi_\Sigma : R_\Sigma \to \mathcal{O} \) and we denote \( \Phi^\Sigma_f \) the corresponding numerical invariant.
Proof. We proceed by induction on $\#\Sigma$. Assume first that $\Sigma = \{\rho\}$ and Proposition 6.5 isomorphism of complete intersections over $O_T$ for some $(0$ is simple root modulo $\varpi$ homomorphism $\Mod P(q, U_{\varpi}) \rightarrow T$ for some $(0$ is simple root modulo $\varpi$.

Moreover, for all Hilbert modular newforms $f$ such that $\rho_{f,p}$ is a $\Sigma$-ramified deformations of $\rho$:

$$\# H_1^1(F, Ad^0(\rho_{f,p}) \otimes \mathbb{Q}_p / \mathbb{Z}_p) = \#(O / \eta_f^2) < \infty.$$  (33)

Proof. We proceed by induction on $\#\Sigma$. Assume first that $\Sigma = P\rho$. We already know that $\pi_{P\rho} : R_{P\rho} \rightarrow T_{P\rho}$ is an isomorphism of complete intersections over $O$ and $M_{P\rho} := M$ is free of rank $2^d$ over $T_{P\rho}$ (cf Theorem 5.1 if $P\rho = \emptyset$ and proposition 5.3 together with Fujiwara [17, Theorem 9.1] in general).

Assume now that the theorem holds for some $\Sigma \supset P\rho$, that is to say $\pi_{\Sigma} : R_{\Sigma} \rightarrow T_{\Sigma}$ is an isomorphism of complete intersections over $O$ and that $M_{\Sigma}$ is free over $T_{\Sigma}$. In particular, we have $\# \Phi_f^\Sigma = \#(O / \eta_f^2)$, where $f$ is a newform contributing to $M$. Let $q$ be a prime outside $\Sigma$ not dividing $p$. Put $\Sigma' = \Sigma \cup \{q\}$.

It follows directly from Proposition 6.5 and Definition 5.1 that:

$$\# \Phi_f^{\Sigma'} \leq \# \Phi_f^\Sigma \cdot \# H^0(F_q, (Ad^0(\rho_{f,p}) \otimes \mathbb{Q}_p / \mathbb{Z}_p)(1)).$$

By Theorem 6.2 and Proposition 6.3, the theorem will hold for $\Sigma'$ if we construct a surjective homomorphism $T_{\Sigma'} \rightarrow T_{\Sigma}$ compatible with the surjections $\theta_f^\Sigma$ and $\theta_{f'}^{\Sigma'}$ and a $T_{\Sigma'}$-linear injective homomorphism $\xi : M_{\Sigma} \rightarrow M_{\Sigma'}$ inducing a surjection $\hat{\xi} : M_{\Sigma'} \rightarrow M_{\Sigma'}$ such that $\hat{\xi} \circ \xi (M_{\Sigma}) = T_{\Sigma} M_{\Sigma'}$ for some $T_{\Sigma}$ satisfying

$$\#(O / \theta_f^\Sigma(T)) = \# H^0(F_q, (Ad^0(\rho_{f,p}) \otimes \mathbb{Q}_p / \mathbb{Z}_p)(1)).$$  (34)

This is done case by case, depending on the local behavior of $\rho$ at $q$ (cf Definition 4.2).

The case $q \in \Sigma_{\rho} \setminus S_{\rho}$ is relatively straightforward, since adding such a prime does not change $M_{\Sigma}$. We will distinguish two more cases:

1) Assume that $q \notin \Sigma_{\rho}$. In this case $\rho_q \otimes \nu_q^{-1}$ is unramified.

By Theorem 5.1 and Proposition 5.3, the homomorphism $pr^*_{\rho} pr^*_{\rho} + pr^*_{\rho} pr^*_{\rho} : M_{\Sigma}^{\otimes 3} = H^d(Y_{\Sigma}, \mathcal{V}_{\mathcal{O}})[\psi, \tilde{\varphi}]_{m_{\rho}} \rightarrow H^d(Y_{\Sigma'}, \mathcal{V}_{\mathcal{O}})[\psi, \tilde{\varphi}]_{m_{\rho}}$ is injective with flat cokernel.

The characteristic polynomial of $U_q''$ acting on $M_{\Sigma}^{\otimes 3}$ is $X(X^2 - T_qX + S_q N_{\mathcal{O}/\mathcal{O}}(q))$ and $X = 0$ is simple root modulo $\varpi$ of this polynomial. Hence the localization of the above injection at $(U_q'', U_u - \alpha_u)$ yields another injection with flat cokernel:

$$\xi : M_{\Sigma} \rightarrow (M_{\Sigma}^{\otimes 3})_{U_q'} \rightarrow M_{\Sigma'}.$$
This gives a surjective homomorphism $T_{Σ'} \to (T_{Σ^2})_q' \cong T Σ$. Computations performed by Wiles \[36\] §2 and Fujiwara \[17\] show that $\tilde{ξ} \circ ξ(ℳΣ) = T \cdot ℳΣ$ with
\[ T = (N_{F/Q}(q) - 1)(T_q^2 - S_q(N_{F/Q}(q) + 1)^2). \]
Then \((34)\) follows by a straightforward computation.

2) Assume that $q \in S_p$. In this case $\dim(ρ_q \otimes ν_q^{-1})_ν = 1$.

By Proposition \[3.3\] there is an exact sequence whose last arrow has a flat cokernel:
\[ 0 \to H^d(Y_{KΣ'}, ΞO)[ψ, νφ]_{m_p} \to H^d(Y, ΞO)[ψ, νφ]_{m_p} \to H^d(Y, ΞO)[ψ, νφ]_{m_p}. \]
where $K_q = \ker(K_1(q^{c-1}) \to \mathfrak{O}_q^+ \to \mathfrak{O}_q^+)$.

The characteristic polynomial of $U_q''$ acting on $(pr^1_3 + pr^1_1)(ℳ^2_{Σ})$ is $X(X - U_q')$ and $X = 0$ is simple root modulo $\varpi$ of this polynomial. Hence the localization of the map $pr^1_3 + pr^1_2$ at $m_{Σ'} = (m_{Σ'}, U_q'')$ yields an injection with flat cokernel:
\[ ξ : ℳΣ \to (ℳ_{Σ'}^2)_q' \to ℳ_{Σ'}. \]
This gives a surjective homomorphism $T_{Σ'} \to (T_{Σ^2})_q' \cong T Σ$. Computations performed by Wiles \[36\] §2 and Fujiwara \[17\] show that $\tilde{ξ} \circ ξ(ℳΣ) = T \cdot ℳΣ$ with
\[ T = \begin{cases} N_{F/Q}(q) - 1 & \text{if } ρ_q \text{ is decomposable,} \\ N_{F/Q}(q)^2 - 1 & \text{if } ρ_q \text{ is indecomposable.} \end{cases} \]
As above, \((34)\) is obtained by a straightforward computation.

6.3 Towards the modularity of a quintic threefold.

We will give now an example coming from the geometry where Theorem A applies. Consani and Scholten \[6\] consider the middle degree cohomology of a quintic threefold $X$ (a proper and smooth $\mathbb{Z}[1/5]$-scheme with Hodge numbers $h^{3,0} = h^{2,1} = 1, h^{2,0} = h^{1,0} = 0$ and $h^{1,1} = 141$). They show that the $G_ℚ$-representation $H^3(X_ℚ, ℚ_p)$ is induced from a two dimensional representation $\bar{ρ}$ of $G_ℚ(\sqrt{5})$ and conjecture the modularity of $\bar{ρ}$. As explained in \[12\], Theorem \[6.6\] implies the following proposition

**Proposition 6.7.** (Dieulefait-D.) Assume $p \geq 7$ and that $\bar{ρ}$ is congruent modulo $p$ to the $p$-adic Galois representation attached to a Hilbert modular form on $ℚ(\sqrt{5})$ of weight $(2, 4)$ and some prime to $p$ level. Then $\bar{ρ}$ is modular and, in particular, the $L$-function associated to $H^3(X_ℚ, ℚ_p)$ has an analytic continuation to the whole complex plane and satisfies a functional equation.

7. Cardinality of the adjoint Selmer Group.

In this section we give a proof of Theorem B. It is enough to establish (i), since then the finiteness of $H^1(F, Ad^0(ρ_{f,p}) \otimes ℚ_p / ℤ_p)$ implies (ii) by the same argument as in \[11\] §2.2.

Choose a finite set $Σ$ of primes not dividing $p$, containing the auxiliary prime $u$ and all primes (not dividing $p$) at which $Ad^0(ρ_{f,p})$ is ramified. Denote by $f_Σ$ the automorphic form contributing to $ℳ_Σ$ corresponding to the newform $f$ of Theorem B.

7.1 Periods of automorphic forms.

For $J \subset J_F$ denote by $ε_J$ the corresponding character of the Weyl group $\left( \begin{smallmatrix} 1 & 0 \\ 0 & -1 \end{smallmatrix} \right)^J_F \subset GL_2(F \otimes ℚ \mathbb{R})$. Put $F_∞ = \left( \begin{smallmatrix} 1 & 0 \\ 0 & 1 \end{smallmatrix} \right)^J_F \subset GL_2(F \otimes ℚ \mathbb{R})$.
We fix an isomorphism \( \mathbb{C} \cong \overline{\mathbb{Q}}_p \) extending the embedding \( \iota_p : \overline{\mathbb{Q}} \hookrightarrow \overline{\mathbb{Q}}_p \). Since \( \mathcal{M}_\Sigma \) is free over the principal domain \( \mathcal{O} \), Lemma \( \ref{lem:gal-theoretic-interpretation} \)(ii) implies that \( \mathcal{M}_\Sigma[f, \epsilon_j] \) is a free \( \mathcal{O} \)-module of rank one, where \( [\epsilon_j] \) denotes the eigenspace for this character and \( [f] \) denotes \( \cap_{v \notin S} \ker(T_v - c(f_v)) \).

Let \( S_k(K_\Sigma; \psi, \tilde{\nu} \phi) \) be the \( \mathbb{C} \)-vector space of automorphic forms on \( \text{GL}_2(F) \backslash \text{GL}_2(\mathbb{A}) \) which are holomorphic of weight \( (k; k_0) \) at infinity and right \( K_0(\mathbb{N}_\Sigma) \)-equivariant for the character \( (a \ b \\ c \ d) \mapsto \psi(d)\tilde{\nu} \phi(ad - bc) \). In particular such a form is right \( K_\Sigma \)-invariant. Let

\[
\delta_j : S_k(K_\Sigma; \psi, \tilde{\nu} \phi) \to H^d_{\text{cusp}}(Y_\Sigma, \mathbb{V}_{\mathbb{C}})[\psi, \tilde{\nu} \phi, \epsilon_j], \quad \delta : \bigoplus_{J \subset J_F} S_k,J(K_\Sigma; \psi, \tilde{\nu} \phi) \to H^d_{\text{cusp}}(Y_\Sigma, \mathbb{V}_{\mathbb{C}})[\psi, \tilde{\nu} \phi].
\]

(35)
denote the Matsushima-Shimura-Harder isomorphisms (cf \[10 \] Proposition 3.1, (4.2)).

**Definition 7.1.** For every \( J \subset J_F \), we fix a basis \( b_j, f \) of \( \mathcal{M}_\Sigma[f, \epsilon_j] \) and define the period \( \Omega_{f, J} = \frac{\delta_j(f)}{b_j(f)} \in \mathbb{C}^\times/\mathcal{O}^\times \). We fix \( J_0 \subset J_F \) and put \( \Omega_F = \Omega_{f, J_0} \).

**Remark 7.2.** Classically, the Matsushima-Shimura-Harder periods of a newform \( f \) of level \( \mathfrak{n} \) are defined using a basis of the free rank one \( \mathcal{O} \)-module \( H^d(Y_1(\mathfrak{n}), \mathcal{O})[f, \epsilon_j] \) (cf \[13 \] §4.2). As shown in \[13 \] Theorem 6.6, §4.4, §4.5 the value at 1 of the imprimitive adjoint L-function divided by those periods measures the congruences modulo \( p \) between \( f \) and other Hilbert modular eigenforms of same weight, level and central character. However, in general the corresponding local Hecke algebra does not have a Galois theoretic interpretation whereas, as proved in Theorem A, \( T_\Sigma \) does, hence our choice to define the periods using \( \mathcal{M}_\Sigma[f, \epsilon_j] \).

Next we explain the relation between the Peterson inner product and the modified Poincaré pairing defined in \[25 \] under the Matsushima-Shimura-Harder isomorphism.

The Atkin-Lehner involution \( \iota = \left( \begin{smallmatrix} 0 & -\mathfrak{n}_\Sigma \\ \mathfrak{n}_\Sigma & 0 \end{smallmatrix} \right) \) induces an isomorphism

\[
S_k(K_\Sigma; \psi, \tilde{\nu} \phi) \xrightarrow{\iota^1} S_k(K_\Sigma; \psi, \psi^{-1}, (\tilde{\nu} \phi)^{-1}) \quad f \mapsto f(\iota) \otimes \psi^{-1}.
\] (36)

The Hecke operator \([K_\Sigma \times K_\Sigma \] acts on \( S_k(K_\Sigma; \psi, \tilde{\nu} \phi) \) by sending \( f \) on \( \sum_i f(\cdot x_i) \), where \( K_\Sigma \times K_\Sigma = \coprod K_\Sigma x_i \).

We have the following commutative diagram, where the horizontal arrows are isomorphisms:

\[
\begin{array}{ccc}
S_k(K_\Sigma; \psi, \tilde{\nu} \phi) & \xrightarrow{\iota^{-1}} & S_k(K_\Sigma; \psi, \psi^{-1}\tilde{\nu} \phi^{-1}) \\
\downarrow^{[K_\Sigma^{-1} x K_\Sigma]} & & \downarrow^{[K_\Sigma^{-1} x K_\Sigma]} \\
S_k(K_\Sigma; \psi, \tilde{\nu} \phi) & \xrightarrow{\iota^{-1}} & S_k(K_\Sigma; \psi, \psi^{-1}\tilde{\nu} \phi^{-1})
\end{array}
\]

(37)

Finally, for \( f_1, f_2 \in S_k(K_\Sigma; \psi, \tilde{\nu} \phi) \) we define the normalized Peterson inner product by

\[
(f_1, f_2) = [K(1) : K_0(\mathbb{N}_\Sigma)]^{-1} \int_{Y_\mathbb{C}^d} f_1(g) \overline{f_2(g)} \det(g)^{2-k_0} dg.
\] (38)

We have \((f_1|_{K_\Sigma \times K_\Sigma}, f_2) = |\det(x)|^{2-k_0} (f_1, f_2|_{K_\Sigma \times K_\Sigma}) = \psi(\det(x)) \langle f_1, f_2 \rangle|_{K_\Sigma \times K_\Sigma} \Sigma^{-1} \mathcal{K} \rangle \).

It follows that the Hecke eigenvalues of \( f_\Sigma \) are complex conjugates of those of \( f(\iota) \otimes \psi^{-1} \). Using \( \ref{lem:gal-theoretic-interpretation} \)(ii) we deduce by Strong Multiplicity One that these two forms differ by a constant, which turns out to be in \( \mathcal{O}^\times \) (the arguments of \[11 \] 2.13 involving local epsilon factors can be adapted to our setting). Hence, in the computation that follows, this constant can be ignored, as well as \( N(\mathbb{N}_\Sigma) \) and powers of 2:

\[
(f_\Sigma, f_\Sigma) = \langle \delta(f_\Sigma), \delta(f_\Sigma(\cdot F_\infty) \otimes \psi^{-1}) \rangle \mathcal{O} = \langle \delta(f_\Sigma), \delta(f_\Sigma(\cdot F_\infty)) \rangle \mathcal{O} = \langle \delta J_\epsilon(f_\Sigma), \delta_{J_F \setminus J_0}(f_\Sigma) \rangle \mathcal{O}.
\]
From here and definition [7.1] we obtain the relation we have been looking for:

\[
(f_\Sigma, f_\Sigma) = \frac{\langle b_{f, J_0}, b_{f, J_0} \rangle \mathcal{O}}{\Omega_f^2 \Omega_f^{-1}} \mathcal{O}.
\]  

(39)

7.2 The Rankin-Selberg method.

The Rankin-Selberg method relating the Peteron inner product to the value at 1 of the adjoint L-function has been carried out by Shimura for Hilbert modular newforms \( f \) of level \( K_1(\mathfrak{n}) \). Since the level structures \( K_\Sigma \) that we consider are more general, the resulting formula in our case slightly differs from Shimura’s. While Shimura’s formula relates the Peteron inner product of \( f \) with the imprimitve adjoint \( L \)-function, in our setting the Peterson inner product of \( f_\Sigma \) will be related to adjoint \( L \)-function outside \( \Sigma \). We follow Jacquet’s adelic version of the Rankin-Selberg method for \( \text{GL}_2 \) and our main reference is Bump [4].

All integrals that we consider are with respect to Haar measures on the corresponding algebraic groups. The normalized Peterson inner product (38) can be rewritten as:

\[
(f_\Sigma, f_\Sigma) = \int_{\text{GL}_2(\overline{F}) \backslash \text{GL}_2(\mathbb{A})} |f_\Sigma(g)|^2 \det(g)|^{2-k_0} dg.
\]  

(40)

The automorphic form \( f_\Sigma \) admits an adelic Fourier expansion:

\[
f(g) = \sum_{y \in F^\times} W\left(\left(\begin{array}{cc} y & 0 \\ 0 & 1 \end{array}\right)g\right),
\]  

(41)

where \( W(g) = \int_{\mathbb{A}_F} \bar{\lambda}(x)f\left(\left(\begin{array}{cc} 1 & x \\ 0 & 1 \end{array}\right)g\right) dx \) is the adelic Whittaker function with respect to an additive unitary character \( \lambda \) given locally by \( \lambda_v(x_v) = \exp(-2\pi i \text{tr}(x)) \). If \( \delta_v \) denotes the valuation at \( v \) of the different \( \mathfrak{d} \) of \( F \), then \( \lambda_v(v^{-\delta_v} \mathfrak{o}_v) = 1 \). The following decomposition can be found in [4] Theorem 3.5.4, but one should be careful to replace the usual \( k/2 \) by \( k + m - t \) since we are using the arithmetic (non-unitary) normalization (cf [14] pp.566–567):

\[
W\left(\left(\begin{array}{cc} y & 0 \\ 0 & 1 \end{array}\right)\right) = y^{k+m-t} \exp(-2\pi \text{tr}(y) \infty) \prod_v W_v\left(\left(\begin{array}{cc} y_v & 0 \\ 0 & 1 \end{array}\right)\right).
\]  

(42)

Let \( \varphi \) be the Schwartz function on \( \mathbb{A} \times \mathbb{A} \) defined as product of the following local functions:

\[
\varphi_t(x, y) = \exp(-\pi(x^2 + y^2)) \quad \text{and} \quad \varphi_v = \begin{cases} \text{char}(\mathfrak{o}_v) \otimes \text{char}(\mathfrak{o}_v), & \text{for } v \notin \Sigma; \\ \text{char}(\mathfrak{o}_v^\sigma + d_v) \otimes \text{char}(\mathfrak{o}_v^\sigma), & \text{for } v \in \Sigma. \end{cases}
\]  

(43)

For \( g \in \text{GL}_2(\mathbb{A}) \) put \( \varepsilon(g) = \zeta_{F, \Sigma}(2s)\pi^{-sd} \Gamma(s)^{-d} |\det(g)|^s \int_{\mathbb{A}_F^\times} |t|^{2s} \varphi(t(0,1)g) dt \).

Then \( \varepsilon \) is a right \( K_\Sigma(\mathfrak{n}_\Sigma) \text{SO}_2(\mathbb{R}) \)-invariant function on \( \text{GL}_2(\mathbb{A}) \) such that \( \varepsilon(1) = 1 \) and \( \varepsilon\left(\left(\begin{array}{cc} y & x \\ 0 & y \end{array}\right)g\right) = |\frac{y}{x}|^{k_0} \varepsilon(g) \). Consider as in [4] §3.7 the Eisenstein series:

\[
E(g, s) = \sum_{B(F) \backslash \text{GL}_2(F)} \varepsilon(g).
\]  

(44)

The Rankin-Selberg unfolding yields (cf [4] pp.372–373):

\[
\int_{\text{GL}_2(F) \backslash \text{GL}_2(\mathbb{A})} E(g, s) |f_\Sigma(g)|^2 \det(g)|^{2-k_0} dg = \int_{B(\mathbb{A}) \backslash \text{GL}_2(\mathbb{A})} \int_{\mathbb{A}_F^\times} |W\left(\left(\begin{array}{cc} y & 0 \\ 0 & 1 \end{array}\right)g\right)|^2 \varepsilon\left(\left(\begin{array}{cc} y & 0 \\ 0 & 1 \end{array}\right)g\right) |y|^{1-k_0} \det(g)|^{2-k_0} dy dg.
\]
Here \( \mathbb{A}_F^\times \) denote the subgroup of idèles with totally positive infinite part. In [11] the integration is over \( \mathbb{A}_F^\times \) but this makes no difference, since \( \mathbb{A}_F^\times = \mathbb{A}_F^\times \cdot F^\times \) and the adelic Fourier expansion of \( f(g) \) is supported only by totally positive elements. Using Iwasawa decomposition

\[
GL_2(\mathbb{A}) = B(\mathbb{A}) \cdot GL_2(\mathfrak{o} \otimes \mathbb{Z}) \cdot SO_2(F \otimes \mathbb{Q} \cdot R),
\]

and the right \( SO_2(F \otimes \mathbb{Q} \cdot R) \)-invariance of the integrand, we further rewrite this integral as \( \prod_{\tau} Z_{\tau} \prod_{v} Z_v \),

where \( Z_v = \int_{GL_2(\mathfrak{o})} \int_{F_v^\times} |W_v((y_0 0 0 1) g)|^2 \varepsilon_v((y_0 0 1) g) |y|^{1-k_0} dydg \), and

\[
Z_{\tau} = \int_0^\infty \left| W\tau\left(\begin{smallmatrix} y & 0 \\ 0 & 1 \end{smallmatrix}\right) \right|^2 \varepsilon_{\tau}\left(\begin{smallmatrix} y & 0 \\ 0 & 1 \end{smallmatrix}\right) |y|^{1-k_0} dy = \int_0^\infty \exp(-4\pi y)y^{s+k_r-1} dy = (4\pi)^{-s-k_r+1} \Gamma(s+k_r-1).
\]

Furthermore for \( v \not\in \Sigma \) (resp. \( v \in \Sigma \)) the function \( W_v \cdot (\phi_v \tilde{\nu}_v)^{-1} \circ \det \) is right \( GL_2(\mathfrak{o}_v) \)-invariant (resp. \( K_0(v^{\epsilon_0+d_0}) \)-invariant) and hence the same holds for \( |W_v|^2 \). Moreover \( \varepsilon_v\left(\begin{smallmatrix} y & 0 \\ 0 & 1 \end{smallmatrix}\right) |y|^{-s} \) is by definition the characteristic function of \( GL_2(\mathfrak{o}_v) \) (resp. \( K_0(v^{\epsilon_0+d_0}) \)). Hence for all \( v \) :

\[
Z_v = \int_{F_v^\times} |W_v((y_0 0 0 1) g)|^2 |y|^{s+1-k_0} dy.
\]

For \( v \not\in \Sigma \) we have \( Z_v = N(v^{\epsilon_0})^s(1+N(v)^{-s})L_v(Ad^0(\rho_{f,p}), s) \) (cf [11] Proposition 3.8.1]).

For \( v \in \Sigma \), \( W_v \) is killed by \( U_v \), hence \( Z_v = N(v^{\epsilon_0})^s \). Therefore

\[
\int_{GL_2(F)^{\times}/GL_2(\mathbb{A})} E(g,s)|f_\Sigma(g)|^2 |\det(g)|^{s-k_0} dg = \frac{N(\mathfrak{o})^s L_{\Sigma}(Ad^0(\rho_{f,p}), s)}{\zeta_F(2s) \zeta_F(\zeta)(s)^{-1}} \prod_{\tau \in F_p} \Gamma(s+k_r-1).
\]

By [11] Proposition 3.7.5], \( E(g,s) \) has a pole at \( s = 1 \) with residue independent of \( g \) and equal to the residue at \( s = 1 \) of the function \( \zeta_F(2) \). For all \( \varphi(t,tx) \)

\[
\int_{\mathbb{R}} \int_{\mathbb{R}} \varphi(t,tx) dt dx = \frac{1}{\pi} \int_{\mathbb{R}} \frac{dx}{1+x^2} = 1, \text{ and}
\]

\[
\int_{F_v} \int_{F_v^\times} |t|^{2s+1} \varphi(t,tx) dt dx = \begin{cases} (1-N(v)^{1-2s})^{-1} & \text{for } v \not\in \Sigma; \\ (1-N(v)^{1-2s})^{-1} & \text{for } v \in \Sigma. \end{cases}
\]

(45)

\[
(f_{\Sigma}, f_{\Sigma}) = \frac{N(n_{\Sigma} \mathfrak{d})}{2|k|} \Gamma(Ad^0(\rho_{f,p}), 1) L_{\Sigma}(Ad^0(\rho_{f,p}), 1) = \frac{L_{\Sigma}(Ad^0(\rho_{f,p}), 1)}{\pi |k|+d} \prod_{\tau \in F_p} \Gamma((k_r-1)! 4^{k_r} N(n_{\Sigma} \mathfrak{d})^{-1} \Gamma(\varphi(t,tx))) \in \mathbb{Z}(\mu).
\]

(46)

7.3 End of the proof of Theorem B(ii).

Recall that \( M_{\Sigma} \) is endowed with a perfect \( \tau \)-linear pairing : \( \langle \cdot, \cdot \rangle_{\Sigma} : M_{\Sigma} \times M_{\Sigma} \rightarrow O \).

Since for all \( J \subset F_r, M_{\Sigma}[f, \epsilon_{J}] \) is free of rank one over \( \mathcal{T}_{\Sigma} \) it follows that :

\[
(\eta_{\Sigma}^f)^2 = \text{disc}(\mathcal{M}_{\Sigma}[f, \epsilon_{J_0}] \oplus \mathcal{M}_{\Sigma}[f, \epsilon_{J_0}]) = (b_{f,J_0}, b_{f,J_\varphi,J_0})^2 O.
\]

Using (39) we obtain

\[
\eta_{\Sigma}^f = \frac{b_{f,J_0}, b_{f,J_\varphi,J_0}^2}{\Omega_f^2} O = \frac{(f_{\Sigma}, f_{\Sigma})}{\Omega_f^2} O.
\]

(47)
By \((LI_{Ind,p})\), \(\rho|_{G_{F(p)}}\) is irreducible, hence Schur’s lemma imply \(H^0(F, \text{Ad}^0(\rho_{f,p}) \otimes \mathbb{Q}_p / \mathbb{Z}_p) = H^0(F, (\text{Ad}^0(\rho_{f,p}) \otimes \mathbb{Q}_p / \mathbb{Z}_p)(1)) = 0\). Then \([11, \text{Lemma 2.1}]\), which remains valid over \(F\), yields:

\[
\text{Fitt}_\mathcal{O} \left( H^1_{\Sigma}(F, \text{Ad}^0(\rho_{f,p}) \otimes \mathbb{Q}_p / \mathbb{Z}_p) \right) = \text{Fitt}_\mathcal{O} \left( H^1_\Sigma(F, \text{Ad}^0(\rho_{f,p})(1))^* \right).
\]

By \([16, \text{Proposition I.4.2.2(i)}]\) and \([11, \text{p.708, Lemma 2.16}]\),

\[
\text{Tam}(\text{Ad}^0(\rho_{f,p})) = \prod_v \text{Tam}_v(\text{Ad}^0(\rho_{f,p})) \prod_v \text{Tam}_v(\text{Ad}^0(\rho_{f,p})) = \prod_v \text{Tam}_v(\text{Ad}^0(\rho_{f,p})).
\]

Furthermore, by \([16, \text{Proposition I.4.2.2(ii)}]\) and its proof and \([11, \text{(57)}]\), for \(v \in \Sigma\) we have

\[
\text{Fitt}_\mathcal{O} \left( H^1_{\Sigma}(F_v, \text{Ad}^0(\rho_{f,p})(1) \otimes \mathbb{Q}_p) \right) = \text{Fitt}_\mathcal{O} \left( H^1_{\Sigma}(F_v, \text{Ad}^0(\rho_{f,p})(1))^* \right).
\]

From the three previous equations we deduce:

\[
\text{Fitt}_\mathcal{O} \left( H^1_{\Sigma}(F, \text{Ad}^0(\rho_{f,p})(1) \otimes \mathbb{Q}_p) \right) = \text{Fitt}_\mathcal{O} \left( H^1_{\Sigma}(F_v, \text{Ad}^0(\rho_{f,p})(1))^* \right).
\]

ACKNOWLEDGEMENTS

I started this project a few years ago as I was working at the University Paris 13 and I would like to thank Jacques Tilouine for his interest and his helpful comments which enriched my work. Most of the results were written down at the University Paris 7 and I would like thank my colleagues there, and most particularly Loïc Merel, for many useful conversations. Finally, I would like to thank very heartily the referee for the careful reading of the manuscript and his constructive criticism. His insightful comments completely transformed the earlier version of the paper. Needless to say, responsibility for inaccuracies or errors is entirely my own.

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Mladen Dimitrov dimitrov@math.jussieu.fr
Université Paris Diderot, UFR de Mathématiques, Case 7012, 2 place Jussieu, 75251 Paris, FRANCE