Wideband External Pulse Recorded During Cuff Blood Pressure Measurement: A New Technique for Cardiovascular Assessment

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Abstract

Introduction The wideband external pulse (WEP) recorded during blood pressure measurement reveals three components (K1, K2, K3). K1 is recorded with cuff pressure (CP) above systolic (SP).

Aim To assess whether the K1 pattern contains information about the functional and structural properties of the cardiovascular system.

Methods WEP analysis, echocardiography, carotid artery (CA) ultrasonography and applanation tonometry were conducted on 178 hypertensives. K1R, a feature of K1, was defined to provide a measure between the arterial incident and backward reflective waves.

Results K1R was strongly correlated to vascular functional and structural parameters compatible with vascular effects of aging and hypertension. ANOVA analysis (K1R < 0 vs K1R > 0) showed that K1R < 0 participants: (1) were older, shorter, weighed less, had a smaller body surface area; (2) had higher SP, pulse (PP) and mean (MP) pressure, lower heart rate (HR), greater total peripheral resistance (TPR), lower cardiac output (CO), and a stiffer arterial system; (3) had a greater left ventricular (LV) relative wall thickness (LVRWT), carotid artery (CA) relative wall thickness (CARWT), CA far-wall intima-media thickness at end diastole (CIMTd) and CA cross-sectional area (CSA) (all p < 0.001). Regressions revealed that age, TPR, SP, gender, and HR predicted K1R (R2 = 0.64) and that PP and K1R predicted CARWT (R2 = 0.14). Logistic regression revealed that age, TPR, SP and aortic diameter differentiated K1R < 0 from K1R > 0 (Nagelkerke R2 = 0.77).

Conclusions K1R is related to vascular functional properties, with suggestive evidence that K1R is also related to vascular structural properties and perhaps subsequent cardiovascular risk.

Keywords Wideband external pulse (WEP) · Arterial pressure · Pulse wave analysis · Blood pressure measurement · Vascular assessment

1 Introduction

Just as cardiac function and structure can be inferred from analysis of the electrocardiogram, it has been demonstrated that functional and structural properties of the arterial system can be inferred from the shape of the arterial pressure pulse [1]. The central aortic pressure can be divided into an early and late systolic portion. The early systolic portion is determined by left ventricular ejection whereas the late systolic portion is influenced by the degree and timing of pulse wave reflections generated at any vascular impedance mismatch [1]. All of the multiple sources of wave reflection are combined to form an integrated backward wave [2].

We previously reported on a noninvasive technique called wideband external pulse (WEP) recording, which can combine into a single procedure the two major areas of noninvasive analysis of the arterial pressure pulse: blood pressure (BP) measurement and pulse wave analysis [3–10]. Briefly, this technique uses a pressure sensor positioned over the brachial artery and under the distal portion of a BP cuff during standard BP cuff deflation. Three distinct WEP components can be identified: called K1, K2 and K3 (Fig. 1).

K1 is a low frequency signal which is detectable with cuff pressure (CP) above systolic. K1 is created by the impact of a
relatively central arterial pressure pulse against an occluded brachial artery. K1 has a characteristic shape of 3 peaks and 2 troughs. The second trough represents the separation of the systolic and diastolic portions of K1. Although the relative amplitudes of the 2 systolic peaks and one systolic trough vary between subjects, the K1 pattern has been shown to be reproducible across cuff deflations and that within-subject variability of K1 across multiple cuff deflations is small enough to compute a single K1 estimate for a single subject [4].

The K2 component visually appears and disappears in conjunction with SP and DP respectively, and is the basis of an objective and accurate method for measuring BP called “K2 Analysis”.

The K3 component appears with CP between SP and DP and is the only WEP component visually present with CP below diastolic.

1.1 Purpose of Study

The purpose of this study is to assess whether the shape of the noninvasive K1 pattern contains information about the functional and structural properties of the arterial system that is in agreement with known vascular effects of hypertension and aging.

2 Methods

2.1 Subjects

The study population consisted of 178 (96 male, 82 female; aged 25–88 years, mean 56.4 ± 14.8 years) hypertensive patients referred to the investigators from the Hypertension Center of The New York Hospital between December 1990 and November 1993. Hypertension was diagnosed as clinically measured pressures > 140 mmHg systolic or > 90 mmHg diastolic, or both, on three determinations over a minimum 2 week period. Isolated systolic hypertension (SP > 140 mmHg and DP < 90 mmHg) was present in 73 patients. All patients were free of antihypertensive medications for at least three weeks. Sixty-five participants had never received antihypertensive medications. Patients with known secondary hypertension, diabetes mellitus, clinical evidence of coronary artery disease (typical angina or
2.2 Procedures

Immediately prior to or after the WEP recording, subjects underwent echocardiography, carotid arterial ultrasonography, and application tonometry recording in the echocardiography laboratory in the supine position. The study was done in accordance with protocols approved by the Committee on Human Rights in Research, Weill Cornell Medical College. Informed consent was obtained from all study participants.

2.2.1 WEP Recording

An “undistorted” WEP signal was recorded with a specially designed foil electret sensor (FES). When coupled to a high impedance (>10^9 Ω) amplifier, the FES has a flat frequency response from below 0.1 Hz to above 2000 Hz [11].

Recordings were obtained with the subject in the supine position. After resting for 10 min, a FES was positioned over the brachial artery approximately 1 inch above the antecubital space. A BP cuff with a bladder of recommended width (American Heart Association) was carefully placed such that the distal end of the cuff coincided with the distal end of the FES. The WEP signal was recorded with the CP constant at least 20 mmHg above systolic for at least 3–5 cardiac cycles and as CP was reduced from above systolic to below diastolic. The FES signal was coupled into a Keithley Instruments (Cleveland, OH, USA) electrometer amplifier and a DCV-20/VR6 physiologic recording system (Electronics for Medicine, Pleasantville, NY, USA). Each channel of the VR6 recorder was sampled at 500 Hz by a 12 bit analog to digital converter for storage into an IBM PC computer using the CODAS (Dataq, Akron, OH, USA) data acquisition software.

To evaluate the K1 pattern, a quantitative measure of the shape of the K1 signal was developed, which is called the “K1 Ratio” [10] (Fig. 2a). Three vectors were defined representing the amplitude from baseline to the first systolic peak (Y1), from baseline to the first trough (Y2) and from baseline to the second systolic peak (Y3). The K1 Ratio was defined as:

\[
\text{K1 Ratio} = \frac{Y1 - Y2}{Y3}.
\]

(Y1 − Y2) was postulated to represent the relative strength of the forward incident pulse wave (Y1) minus the value of K1 at the initial appearance of wave reflection (Y2) and Y3 was postulated to represent the relative strength of the backward reflected wave. By taking the natural logarithm of the K1 Ratio, we could, in addition to normalizing the distribution of the K1 Ratio, create a variable (K1R) such that the numerical value “0” would represent a dividing line (\(\ln_e(1) = 0\)).

\[
\text{K1R} = \ln_e (\text{K1 Ratio})
\]

Thus, a negative K1R indicated a stronger reflection component as compared to the incident wave.

All young normotensive individuals so far recorded have exhibited a K1 pattern in which the early systolic peak was larger than the late systolic peak [3–5]. The late systolic peak of K1 increases with age just as the late systolic peak of the arterial pressure pulse [12] (Fig. 2a, b).

2.2.2 Echocardiography

Standard M-mode and two-dimensional echocardiograms were performed using a commercially available echocardiograph with 2.5 and 3.5 MHz imaging transducers. Left ventricular (LV) dimensions were obtained by averaging measurements made from two-dimensionally guided M-mode tracings according to the recommendations of the American Society of Echocardiography [13, 14] on up to six cycles with the use of a digitizing tablet. Left ventricular mass (LVM) was calculated using the Penn convention and adjusted for body surface area [15]. Left ventricular geometry was further characterized by LV relative wall thickness (LVRWT) calculated as follows: \([2 \times \text{posterior wall thickness}/\text{end-diastolic dimension}]\). LV end-systolic and end-diastolic volumes were calculated according to the Teichholz formula [16] to determine stroke volume (SV). CO was determined by multiplying the SV by the HR. Total peripheral resistance (TPR) was calculated using the standard formula: \([\text{(mean arterial pressure} \times 80)/\text{CO}]\). A more detailed description of the echocardiographic methods used has been described [17].

2.2.3 Carotid Ultrasonography

This procedure was performed using a Biosound Genesis II system (OTE Biomedica) equipped with a 7.5 MHz probe. With the subject supine and the neck in slight hyperextension, two-dimensionally guided M-mode tracings of the distal common carotid artery (CCA) (approximately 1 cm proximal to the carotid bulb) were recorded. The simultaneous contralateral carotid pressure tracing was used to time carotid artery measurement at end-diastole and at the time of peak SP. Measurements were performed during several cycles and averaged. Electronic calipers were used to measure the internal diameter (Dd) and far-wall intima-media thickness at end diastole (CIMTd) as well as the diameter at peak systole (Ds). CCA relative wall thickness (CARWT)
TYPICAL K1 PATTERNS:

YOUNG NORMOTENSIVE AND ELDERLY HYPERTENSIVE

\[
K1 \text{ Ratio } = \frac{(Y_1 - Y_2)}{Y_3}
\]

\[
K1R = \ln_e (K1 \text{ Ratio})
\]

MURGO: TYPE C

AORTIC PRESSURE

YOUNG NORMOTENSIVE

MURGO: TYPE A

ELDERLY HYPERTENSIVE

WEP K1 PATTERN
at end-diastole was calculated as follows: \[(2 \times \text{CIMTd})/\text{Dd}\]. Carotid artery stiffness was evaluated by \(\beta\) as follows: 

\[\beta = \left[\ln\left(\frac{\text{Ps}}{\text{Pd}}\right)/\left(\frac{\text{Ds} - \text{Dd}}{\text{Dd}}\right)\right],\] 

where Ps and Pd are SP and DP respectively [18, 19]. Arterial compliance (AC) was determined by the SV divided by PP. Cross-sectional area (CSA) was used as a measure of carotid artery wall mass and was computed as: 

\[\text{CSA} = \pi \times \left(\text{CIMTd} + \left(\frac{\text{Dd}}{2}\right)\right)^2 - \pi \times \left(\frac{\text{Dd}}{2}\right)^2,\] 

Both carotid arteries were scanned to identify the presence and size of atherosclerotic plaques. A more detailed description of the carotid artery ultrasonography procedure has been described [17].

### 2.2.4 Carotid Pressure Waveforms

Tonometric carotid artery pressure wave forms were recorded using a high fidelity Millar Instruments external pressure transducer (Model SPT-301) positioned over a common carotid artery with the subject in the supine position. The technique used in this study has been described in full [17]. Applanation tonometry recordings obtained from the carotid artery in this manner have been shown to closely resemble intra-arterial ascending aortic pressure waveforms [12, 20]. Carotid pressure waveforms were analyzed to determine the augmentation index (AIx) in 123 subjects.

### 2.3 Statistical Analysis

Relationships between K1R and age, weight, height, the cardiovascular systemic functions, and measures of left ventricular and carotid structure were examined using Pearson correlations, bivariate regressions and one-way ANOVAs [using dichotomized K1R groupings (< or > 0)]. Stepwise regression analysis was undertaken to further evaluate the independence of the parameter relationships with K1R. The potential predictors of K1R included in this procedure were: age, gender, height, weight, SP, (using K2 algorithm), HR, TPR, CO, stiffness index (\(\beta\)), arterial compliance (SV/PP), LVM, LVM index, LVRWT, aortic diameter, CARWT, CIMTd, presence of carotid plaque, and CSA. Collinearity among the retained parameters was evaluated using the Variance Inflation Factor (VIF). Values less than 2 would indicate low collinearity. A logistic regression analysis using the Forward Wald procedure was conducted to determine the best predictors of a negative K1R. The variables tested were the same variables used in the linear stepwise regression.

Because CARWT and left ventricular geometry (e.g. LVM) are likely a result rather than a cause of the pressure pulse, stepwise analyses were conducted to clarify their relationships with K1R in which CARWT and measures of LVM were evaluated as dependent variables. These analyses included K1R, age, gender height, weight, TPR, SP, PP and HR as predictors. The F to enter in the stepwise models was set at 3.84 (p < 0.05) and the F to remove was 2.71 (p < 0.10). Relationships were considered statistically significant at p < 0.05. Analyses were conducted using SPSS 21 for Windows.

### 3 Results

Anthropometric, functional, and structural means and correlations with K1R are shown in Table 1. The strongest overall correlation was the inverse relationship with age (Fig. 3). K1R was positively associated with height, weight, and body surface area, inversely related to SP, PP, MP, TPR, and \(\beta\) and directly related to CO, HR, and AC. There was a direct correlation with LVM and inverse correlations with LVRWT, CARWT, CIMTd, and CSA. ANOVA revealed that the K1R < 0 group was, on average, older and shorter, had lower weight and body surface area, and a greater proportion of females than the K1R > 0 group. Hemodynamically, K1R < 0 had on average, higher SP, PP, and MP, lower HR, higher TPR, lower CO, a stiffer arterial system, and reduced AC; they also had, on average, lower LVM, and greater LVRWT, CARWT, CIMTd, and CSA. Finally, K1R < 0 had a greater incidence of CA plaque; thirty out of 87 had plaque in this group versus 6 out of 54 in K1R > 0 (p = 0.004). However, the statistical significance of this finding disappeared when adjusted for age (Table 2).

Stepwise regression revealed that independent and additive correlates of K1R included age, TPR, SP, gender, and HR (Table 3). Although K1R was strongly correlated with \(\beta\) and the presence of CA plaque, the associations are no longer significant when age and TPR were included in the analysis. Importantly, the VIF for all the variables retained was less than 1.5, indicating low collinearity among them.

Stepwise logistic regression showed that the model reliably distinguished between K1R > 0 or < 0 (p < 0.0001) with Nagelkerke’s \(R^2\) of 0.773 indicating a moderately strong relationship. Prediction success overall was 89.3% (92.2% for K1R < 0 and 84.4% for K1R > 0). The model indicated that every year increase in age increased the odds of having a negative K1R by 17.3% (p < 0.001); every unit increase in TPR increased the odds of having a negative K1R by 0.2% (p < 0.052); every mmHg increase in SP increase the odds...
of having a negative K1R by 8.7% (p < 0.001); every mm increase in aortic diameter decreased the odds of having a negative K1R by 92.9% (p < 0.015) and finally every kg increase in weight decreases the odds of having a negative K1R by 8.1% (p < 0.005) (Table 4).

The CARWT analysis showed that PP and K1R were independent and additive correlates of CARWT (Table 5). Age did not enter into the model. Finally, the analysis examining the correlates of LVM or LVRWT revealed that K1R was not an independent correlate of either (not shown).

4 Discussion

4.1 Relationship of the WEP K1 Signal to the Arterial Pressure

When the cuff pressure is above systolic for the entire cardiac cycle, pulse wave penetration through the occluded artery is incomplete. The primary dynamic force responsible for the K1 pattern is the impact of the propagating intra-arterial
Table 2  K1R group comparisons of the anthropometric functional and structural measures

| Subject Characteristics             | K1R > 0 | p value | K1R < 0 |
|-------------------------------------|---------|---------|---------|
| N Mean (SD)                         | N Mean (SD) |         |
| Age (years)                         | 64 45.9 (12.8)  | 0.0001  | 106 62.6 (11.2) |
| Height (m)                          | 57 1.74 (0.10)  | 0.0001  | 97 1.66 (0.09)  |
| Weight (kg)                         | 57 82.7 (15.4)  | 0.0001  | 97 69.5 (12.7)  |
| Body surface area (m²)              | 59 1.97 (0.217) | 0.0001  | 97 1.78 (0.190) |
| Gender (% Male)                     | 49 (76.6)  | 0.0001  | 45 (42.5)       |
| Gender (% Female)                   | 15 (23.4)  |         | 61 (57.5)       |

*Systemic Function*

| Measures                           | K1R > 0 | p value | K1R < 0 |
|------------------------------------|---------|---------|---------|
| TPR                                |         |         |         |
| Systolic pressure (mmHg)           |         |         |         |
| Diastolic pressure (mmHg)          |         |         |         |
| Pulse pressure (mmHg)              |         |         |         |
| Mean pressure (mmHg)               |         |         |         |
| Heart rate (bpm)                   |         |         |         |
| TPR (dyn s cm⁻⁵)                   |         |         |         |
| Cardiac output (l/min)             |         |         |         |
| Stiffness (β)                      |         |         |         |
| Compliance (ml/mmHg)               |         |         |         |

*LV Structure*

| Measures         | K1R > 0 | p value | K1R < 0 |
|------------------|---------|---------|---------|
| LV mass (g)      |         |         |         |
| LVRWT            |         |         |         |

*Vascular Variables*

| Measures                  | K1R > 0 | p value | K1R < 0 |
|---------------------------|---------|---------|---------|
| Aortic diameter (cm)      |         |         |         |
| CARWT                     |         |         |         |
| CIMTd (mm)                |         |         |         |
| CSA (mm²)                 |         |         |         |

Total R² = 0.64 (Model: p < 0.0001)

The potential predictors of K1R included in these procedures were: age, gender, height, weight, SP (as determined from the K2 algorithm), HR, TPR, CO, stiffness index (β), arterial compliance (SV/PP), LVM, LVM index, LVRWT, aortic diameter, CARWT, CIMTd, presence of carotid plaque, and CSA (surrogate for Vascular Mass)

a Measured using the K2 Algorithm from the WEP signal

b Total peripheral resistance (TPR)

Table 3  Final regression model of correlates of K1R (N=122)

| Model parameter | Standardized β coefficient | p value | Incremental R² |
|-----------------|---------------------------|---------|----------------|
| Age             | −0.510                    | 0.0001  | 0.441          |
| TPRb            | −0.151                    | 0.009   | 0.095          |
| Systolic pressurea | −0.517                | 0.0001  | 0.053          |
| Gender          | 0.267                     | 0.0001  | 0.030          |
| Heart ratea     | 0.212                     | 0.007   | 0.023          |

Total R² = 0.64 (Model: p < 0.0001)

The potential predictors of K1R included in these procedures were: age, gender, height, weight, SP (as determined from the K2 algorithm), HR, TPR, CO, stiffness index (β), arterial compliance (SV/PP), LVM, LVM index, LVRWT, aortic diameter, CARWT, CIMTd, presence of carotid plaque, and CSA (surrogate for Vascular Mass)

a Measured using the K2 Algorithm from the WEP signal

b Total peripheral resistance (TPR)

Table 4  Final logistic model of predictors of negative K1R (N=122)

| Model parameter | β coefficient | p value | Odds ratio | 95% CI |
|-----------------|---------------|---------|------------|--------|
| Age             | 0.16          | 0.0001  | 1.173      | 1.090–1.263 |
| TPRb            | 0.002         | 0.05    | 1.002      | 1.000–1.004 |
| Systolic pressurea | 0.084          | 0.001  | 1.087      | 1.035–1.142 |
| Aortic diameter | −2.638        | 0.02    | 0.071      | 0.009–0.598 |
| Weight          | −0.084        | 0.005   | 0.919      | 0.867–0.974 |

Nagelkerke R² = 0.77 (Model: p < 0.0001)

The potential predictors of K1R included in these procedures were: age, gender, height, weight, SP (as determined from the K2 algorithm), HR, TPR, CO, stiffness index (β), arterial compliance (SV/PP), LVM, LVM index, LVRWT, aortic diameter, CARWT, CIMTd, presence of carotid plaque, and CSA (surrogate for Vascular Mass)

a Measured using the K2 Algorithm from the WEP signal

b Total peripheral resistance (TPR)
The K1 signal is not affected by the local pulse wave reflection of the arm and hand and is more representative of a central arterial pressure pulse. Payne et al. [21] have demonstrated an intrinsic relationship between the suprasystolic WEP pattern (i.e., K1) and the first derivative of an intra-arterial pressure wave. The K1 pattern has 2 identifiable systolic peaks separated by an identifiable trough. This is in contrast to the difficulty of identifying the timing of the so-called “fiducial” point in the determination of the augmentation index [22].

4.2 K1R and Age

An accelerated aging process results in atherosclerosis, hypertension and stroke [23]. Studies have demonstrated a strong relationship between the shape of the arterial pressure pulse with age [24]. We found K1R has a strong inverse and independent correlation with age (r = −0.60, p < 0.0001; Table 3). Thus, K1R may represent a simple and sensitive marker for arterial vascular aging.

4.3 K1R and Functional Properties

There is a strong correlation of K1R with the CA derived Alx (r = −0.523, p < 0.0001). K1R also has inverse relationships with SP, PP and MP, TPR, β and a direct correlation with CO and AC. When K1R was dichotomized (< or > 0), K1R < 0 was associated with higher SP, PP and MP, an increased β, and a reduced CO and HR. These relationships are consistent with the hemodynamic changes expected with aging and hypertension development.

4.4 K1R and Vascular Tone

The shape of the propagating arterial pressure pulse is affected by the timing and amount of pulse wave reflection [25]. Consistent with this principle, we found that K1R was independently related to TPR. Thus, the K1 pattern can be thought of as an integrating factor related to the timing and level of reflected waves (degree of constriction of small muscular arteries and arterioles, peripheral resistance). We have previously demonstrated changes in the K1 pattern with changes in vaso-motor tone [26, 27]. K1R could be a useful clinical tool in evaluating early dysfunctional vascular changes that may occur in the initial stages of preeclampsia [26].

4.5 K1R and Cardiovascular Structure

Results indicate PP and K1R are independent and additive correlates of CARWT. Since thickening and dilation of large proximal arteries and endothelial dysfunction are associated with aging and an increased late systolic peak in the central arterial pressure [17], it was expected that CARWT would be greater in K1R < 0. This was the case. We also found that CSA and CIMTd was greater in K1R < 0 and that K1R < 0 had an age associated greater incidence of carotid artery plaque. The increased wall thickness is compatible with a greater degree of atherosclerosis. Increased carotid artery wall thickness is a risk factor for coronary related death and fatal stroke [28].

Finally, while patients with K1R < 0 had an increased LVRWT, K1R was not independently associated with either LVM or LVRWT, which may suggest that wave reflection back to the upper limb is too far removed from the left ventricle.

4.6 Functional and Structural Determinants of the K1 Pattern

The regression and logistic models revealed that age, TPR, and SP were common independent determinants of K1R. The logistic model also showed that aortic diameter was an independent predictor of K1R. The model suggests that every mm increase in diameter decreases the odds of having a negative K1R by 92.9%. This result is consistent with recent reports that have claimed that an increased PP in systolic hypertension is primarily due to an increased arterial stiffness and a reduced aortic diameter [29].

4.7 Limitations of Study

The cross-sectional nature and small sample size of the study limits the generalizability of our findings. Furthermore, while patients with known secondary hypertension were excluded, we did not specifically screen for them. While K1R is derived from a relatively central pressure wave, it is not equivalent to the ascending aortic wave. Finally, gender issues were not evaluated in the analysis, which might affect the results.

5 Conclusions

K1R is related to functional and perhaps structural properties of the vascular system. K1R may represent a simple and sensitive marker for arterial vascular aging and may also be a

| Model parameter | Standardized β coefficient | p value | Incremental R² |
|-----------------|-----------------------------|---------|----------------|
| Pulse pressure  | 0.249                       | 0.001   | 0.114          |
| K1R             | −0.191                      | 0.04    | 0.029          |

Total R² = 0.14 (p < 0.0001)

This analysis included K1R, age, gender height, weight, TPR, SP, PP, and HR as predictors

a Measured using the K2 Algorithm from the WEP signal

Table 5 Final regression model of correlates of carotid artery relative wall thickness (N = 139)
useful tool in evaluating clinically relevant vascular changes (e.g., preeclampsia). Because it is independently related to peripheral resistance, noninvasive WEP K1 analysis may help in identifying both “the need for” and “the effectiveness of” hypertension treatment. Finally, because K1R is derived from a part of the same WEP signal used to accurately measure blood pressure (K2 Analysis), it is possible that characterization of cardiovascular function and evaluation of cardiovascular risk could be accomplished from a single cuff deflation procedure.

**Compliance with Ethical Standards**

**Conflict of interest** The authors declare that they have no conflict of interest.

**Ethical approval** All procedures performed in studies involving human participants were in accordance with the ethical standards of the institutional and/or national research committee and with the Helsinki declaration and its later amendments or comparable ethical standards. Approved by Committee on Human Rights in Research, Weill Cornell Medical College.

**Informed consent** Informed consent was obtained from all individual participants included in the study.

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