DeepPavlov: Open-Source Library for Dialogue Systems

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Abstract

Adoption of messaging communication and voice assistants has grown rapidly in the last years. This creates a demand for tools that speed up prototyping of feature-rich dialogue systems. An open-source library DeepPavlov is tailored for development of conversational agents. The library prioritises efficiency, modularity, and extensibility with the goal to make it easier to develop dialogue systems from scratch and with limited data available. It supports modular as well as end-to-end approaches to implementation of conversational agents. Conversational agent consists of skills and every skill can be decomposed into components. Components are usually models which solve typical NLP tasks such as intent classification, named entity recognition or pre-trained word vectors. Sequence-to-sequence chit-chat skill, question answering skill or task-oriented skill can be assembled from components provided in the library.

1 Introduction

Dialogue is the most natural way of interaction between humans. As many other human skills are already being mastered by machines, meaningful dialogue is still a grand challenge for artificial intelligence research. Conversational intelligence has multiple real-world applications. Dialogue systems can significantly ease mundane tasks in technical support, online shopping and consulting services.

However, at the moment the research and development in dialogue systems and chatbots are hampered by the scarcity of open-source baselines and impossibility to effectively reuse existing code in new solutions. Therefore, in order to improve upon state-of-the-art dialogue models one needs to implement such a system from scratch. This slows down the progress in the field. In order to overcome this limitation we create DeepPavlov1 — an open-source library for fast development of dialogue systems. DeepPavlov is designed for:

- development of production-ready chatbots and complex conversational systems;
- research in dialogue systems and NLP in general.

Our goal is to enable AI application developers and researchers with:

- a set of pre-trained NLP models, pre-defined dialogue system components (ML/DL/Rule-based) and pipeline templates;
- a framework for implementing and testing their own dialogue models;
- tools for integration of applications with adjacent infrastructure (messengers, helpdesk software etc.);
- a benchmarking environment for conversational models and uniform access to relevant datasets.

The library has a wide range of state-of-the-art solutions for NLP tasks which are used in dialogue systems. These NLP functions address low-level tasks such as tokenisation and spell-checking as well as a more complex, e.g. recognition of user intents and entities. They are implemented as modules with unified structures and are easily combined into a pipeline. A user of library also has a set of pre-trained models for easy start. A

1https://github.com/deepmipt/DeepPavlov
model that suits user’s task best can be adapted and fine-tuned to achieve required performance. Unlike many other frameworks, DeepPavlov allows combining trainable components with rule-based components and neural networks with non-neural ML methods. In addition to that, it allows end-to-end training for a pipeline of neural models.

The paper is organised as follows. In section 2 we review the existing NLP libraries and explain how they differ from our work. Section 3 describes architecture of DeepPavlov, and in section 4 we talk about features which are available for user of the library and ways of extending it. Section 5 presents some components of the library and benchmarks. Finally, in section 6 we conclude and outline directions for future work.

2 Related work

One of the closest analogues of DeepPavlov is Rasa Stack tool. In terms of purpose it is similar to our library. It provides building blocks for creating dialogue agents: natural language understanding, dialogue state tracking and policy. Rasa’s capabilities are mainly focused on task oriented dialogue, so unlike our library, it is not readily applicable for constructing agents with multiple skills including chit-chat. It is also important that Rasa Stack exports ML components from other libraries and DeepPavlov includes its’ own models. That makes easier for developers to fit trainable parts of the system to the task at hand or add custom ML models. In addition to that, DeepPavlov is more general, and allows defining any NLP pipeline, not only the one related to task oriented dialogue.

Another framework for dialogue agents is ParlAI (Miller et al., 2017). ParlAI is in essence a collection of dialogue datasets and models. It defines standard interfaces for accessing the data, provides instruments for training models with any registered dataset and easy integration with Amazon Mechanical Turk. ParlAI does not have any restrictions on models which are implemented there. The only requirement is to support the standard interface. This enables efficient sharing, training and testing dialogue models. Alternatively, in DeepPavlov all agents, skills and models must have a standard structure to ensure reusability.

OpenDial is a toolkit for developing spoken dialogue systems. It was designed to perform dialogue management tasks, but then extended for building full-fledged dialogue systems, integrating speech recognition, language understanding, generation, speech synthesis, multimodal processing and situation awareness. OpenDial includes a number of advanced components but lacks recent deep learning models. Unfortunately ecosystem of deep learning models in Python is not easily accessible from OpenDial because it is Java-based.

AllenNLP (Gardner et al., 2017) is another example of a powerful NLP framework. It contains numerous solutions for NLP tasks, but does not include any dialogue models yet. Tailored for NLP research deep learning components of AllenNLP implemented in PyTorch (Paszke et al., 2017) library, which is more convenient for research, then for industrial applications. On the other hand, DeepPavlov by default uses TensorFlow production grade machine learning framework. Another limitation of AllenNLP is the fact that it has only neural models, whereas in DeepPavlov it is possible to combine in a single pipeline heterogeneous components, such as rule-based modules, non-neural ML models and neural networks.

General NLP frameworks can be also used for development of dialogue systems, as they provide low-level operations such as tokenisation, lemmatisation, part-of-speech tagging and syntactic pars-

| Dialogue systems (DS) features          | DeepPavlov | Rasa | ParlAI | spaCy | AllenNLP | Stanford NL-P |
|-----------------------------------------|------------|------|--------|-------|----------|--------------|
| Modular architecture of DS              | X          | X    |        |       |          |              |
| Framework for training and testing DS   | X          | X    |        |       |          |              |
| Collection of datasets and DSs          |            |      |        |       |          |              |
| Interactive data labeling and training  |            |      |        | X     | X        | X            |
| Integration with messaging platforms     |            |      |        | X     | X        |              |
| Dialogue manager                        |            |      |        | X     | X        |              |
| Slot filling                            |            |      |        | X     | X        |              |

| NLP features                            | DeepPavlov | Rasa | ParlAI | spaCy | AllenNLP | Stanford NL-P |
|-----------------------------------------|------------|------|--------|-------|----------|--------------|
| Text pre-processing                     | X          | X    | X      | X     | X        | X            |
| Word embedding                          | X          | X    | X      | X     | X        | X            |
| Intent recognition                      | X          | X    | X      | X     | X        | X            |
| Entity recognition                      | X          | X    | X      | X     | X        | X            |
| POS tagging                             | X          | X    | X      | X     | X        | X            |
| Dependency parsing                      |            | X    | X      | X     |          |              |
| Semantic role labelling                 |            |      |        | X     | X        | X            |
| Sentence embedding                      |            |      |        | X     | X        | X            |

Table 1: Comparison of DeepPavlov with other libraries and frameworks.
The most notable examples of such frameworks are Stanford CoreNLP (Manning et al., 2014) and spaCy\(^5\). Both frameworks provide a set of pre-trained NLP models and functionality for training but have no specific tools and components related to the development of dialogue systems. Stanford tools are in Java, which complicates their integration with a trove of deep learning models in Python.

Table 1 gives comparison of DeepPavlov with other related frameworks.

3 Architecture

The high-level architecture of the library is shown in figure 1. It has several core concepts.

The smallest building block of the library is Model. Model stands for any kind of function in an NLP pipeline. It can be implemented as a neural network, a non-neural ML model or a rule-based system. Besides that, Model can have nested structure, i.e. a Model can include other Model(s). The library currently has models for intent classification, entity recognition, dialogue state tracking, spell-checking and ranking of texts by similarity.

Models can be joined into a Skill. Skill solves a larger NLP task compared to Model. However, in terms of implementation Skills are not different from Models. The only restriction for Skills is that their input and output should both be strings. Therefore, Skills are usually associated with dialogue tasks. There are currently three Skills implemented in the library, namely, modular and sequence-to-sequence goal-oriented skills as well as question answering module.

Finally, the core concept of the library is an Agent. Agent is supposed to be a multi-purpose dialogue system that comprises several Skills and can switch between them. It can be a dialogue system that contains a goal-oriented and chatbot skills and chooses which one to use for generating the answer depending on user input.

The choice of Skill relevant to the current dialogue state is managed by a Skills Manager. This is similar to architecture of Microsoft Cortana (Sarikaya et al., 2016) where Experience providers correspond to Skills, and selection between them is conducted by a separate module based on context and providers’ responses. Systems with multiple skills and their dynamic selection are state of the art in development of dialogue agents, but there is currently no available implementations of such technique.

Models are joined in a Skill via Chainer. Chainer takes configuration file in JSON format and sets parameters of Models and the order of their execution. Joining heterogeneous models is a striking feature of DeepPavlov library which distinguishes it from other frameworks. Unlike AllenNLP or Tensor2Tensor where all adjacent models need to be neural, in DeepPavlov the pipeline can include neural networks, other ML models, and rule-based models.

4 Usage

The DeepPavlov library is implemented in Python 3.6 and uses Keras and TensorFlow frameworks. It is open-source and available on GitHub under Apache 2.0 license.

A typical use scenario is the following. A developer takes a pre-build agent, for example, a modular task-oriented bot, and adapts it to the target task. Alternatively, an agent can be built from scratch. In this case skills or models are selected from available Skills and Models, or created by developer. The models which are included into the agent are trained according to a pipeline defined in a JSON file. DeepPavlov has a collection of pre-trained models, so training is not needed in many cases.

4.1 Training

DeepPavlov supports end-to-end training. Models implemented on top of TensorFlow can be stacked and trained jointly. This feature is sought after in many NLP tasks, in particular in goal-oriented dialogue systems. Usually task-oriented modular systems consist of independently trained building blocks, such as, natural language understanding module, user intent classification module, dialogue policy manager, etc. (Chen et al., 2017). There exist efforts of training such systems in the end-to-end mode (Li et al., 2018). However, such works are difficult to replicate and build upon because of lack of open implementations of end-to-end training. To the best of our knowledge, DeepPavlov is the only NLP framework which allows easy and configurable end-to-end training of dialogue agents created from interchangeable functional neural network blocks.

\(^5\)https://spacy.io/
4.2 Extension of the library

User can easily extend DeepPavlov library by registering a new Model or Skill. In order to include a new Model, a developer should implement a number of standard classes which are used to communicate with the environment:

- **dataset reader** — reads data and returns it in a specified format,
- **dataset iterator** — partitions data into training, validation and test sets, divides the data into batches,
- **vocabulary** — performs data indexing, e.g. converts words into indexes,
- **model** — performs training.

The library contains base classes which implement these functions (DatasetReader, DatasetIterator, Vocab classes). Developers can use them or write their own classes inherited from these base classes. Class for a model can be inherited from an abstract class NNModel if it is a neural network, or from a class Estimator if it is a non-neural ML model. In addition to that, a user should define a pipeline for the model.

5 Implemented Models and Skills

The library is currently being actively developed with a large set of Models and Skills already implemented. Some of them are available for interactive online testing.6

**Skill: Goal-Oriented Dialogue System.** The skill implements Hybrid Code Networks (HCNs) described in (Williams et al., 2017). It allows predicting responses in goal-oriented dialogue. The model is configurable: embeddings, slot filling component and intent classifier can be switched on and off on demand. Table 2 shows the performance of our goal-oriented bot on DSTC2 dataset (Henderson et al., 2014). The results demonstrate that our system is close to the state-of-the-art performance.

| Model                              | Test accuracy |
|------------------------------------|---------------|
| Bordes and Weston (2016)           | 41.1%         |
| Perez and Liu (2016)               | 48.7%         |
| Eric and Manning (2017)            | 48.0%         |
| Williams et al. (2017)             | 55.6%         |
| **DeepPavlov**                     | **55.0%**     |

Table 2: Accuracy of predicting bot answers on DSTC2 dataset. *Figures cannot be compared directly, because DeepPavlov model used a different train/test data partition of the dataset.

**Model: Entity Recognition.** This model is based on BiLSTM-CRF architecture described in (Anh et al., 2017). It is also used for the slot-filling component of the library. Here fuzzy Levenshtein search is used on the recognition results, since the incoming utterances could be noisy. In addition to that, we provide pre-trained NER models for Russian and English. The performance of entity recognition on OntoNotes 5.0 dataset7 is given in table 3. It shows that our implementation is on par with best-performing models.

| Model                              | F1-score       |
|------------------------------------|----------------|
| **DeepPavlov**                     | **87.07 ± 0.21**|
| Strubeall al. (2017)               | 86.84 ± 0.19   |
| Spacy                              | 85.85          |
| Chiu and Nichols (2015)            | 86.19 ± 0.25   |
| Durrett and Klein (2014)           | 84.04          |

Table 3: Performance of DeepPavlov NER module on OntoNotes 5.0 dataset. Average F1-score for 18 classes.

**Model: Intent Classification.** The model implements neural network architecture based on shallow-and-wide Convolutional Neural Network

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6http://demo.ipavlov.ai

7https://catalog.ldc.upenn.edu/ldc2013t19
and allows multi-label classification of sentences. We do benchmarking for this model on SNIPS dataset\(^8\) and compare its performance with a number of available NLP services. The results given in the table 4 show that our intent classification model is comparable with other existing solutions.

| Model         | F\(_1\)-score |
|---------------|--------------|
| DeepPavlov    | 99.10        |
| api.ai        | 98.68        |
| IBM Watson    | 98.63        |
| Microsoft LUIS| 98.53        |
| Wit.ai        | 97.97        |
| Snips.ai      | 97.87        |
| Recast.ai     | 97.64        |
| Amazon Lex    | 97.59        |

Table 4: Performance of DeepPavlov intent recognition on SNIPS dataset. Average F\(_1\)-score for 7 categories. All scores except DeepPavlov are from Inten.to study\(^10\).

**Model: Spelling Correction.** The component is based on work (Brill and Moore, 2000) and uses statistics-based error model, a static dictionary and an ARPA language model (Paul and Baker, 1992) to correct spelling errors. We tested it on the dataset released for SpellRuEval\(^11\) — a competition on spelling correction for Russian. In table 5 we compare its performance with Yandex.Speller\(^12\) service and open-source spellchecker GNU Aspell\(^13\). Our model is worse than Yandex.Speller, but it is better then Aspell which is the only freely available spelling correction tool. Even our baseline model outperforms Aspell by large margin, and use of a language model further boosts its performance.

| Method         | Precision | Recall | F-score |
|----------------|-----------|--------|---------|
| Yandex.Speller | 83.09     | 59.86  | 69.59   |
| **DeepPavlov** | 41.42     | 37.21  | 39.20   |
| DeepPavlov + LM| 51.92     | 53.94  | 52.91   |
| GNU Aspell     | 27.85     | 34.07  | 30.65   |

Table 5: Performance of DeepPavlov spellchecker for Russian.

**6 Conclusion**

DeepPavlov is an open-source library for developing dialogue agents in Python. It allows assembling a dialogue system from building blocks that implement models for required NLP functionality. These blocks can be recombined and reused in agents for different dialogue tasks. Such modularity opens possibilities for fast prototyping and knowledge transfer. The library supports creation of multi-purpose agents with diverse Skills. This is important for real life application scenarios because skills can be added, upgraded or removed independently when a dialogue system is already deployed. New products and conversational solutions can utilise existing skills for faster development.

Other Models. The library also contains a sequence-to-sequence goal-oriented bot, and a model for ranking texts by similarity. There are also models which are currently being developed and prepared for publication.

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\(^8\)https://github.com/snipsco/nlu-benchmark/tree/master/2017-06-custom-intent-engines/
\(^11\)http://www.dialog-21.ru/en/evaluation/2016/spelling_correction/
\(^12\)https://tech.yandex.ru/speller/
\(^13\)http://aspell.net/
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