An Indication of Anisotropy in Arrival Directions of Ultra-high-energy Cosmic Rays through Comparison to the Flux Pattern of Extragalactic Gamma-Ray Sources

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A new analysis of the data set from the Pierre Auger Observatory provides evidence for anisotropy in the arrival directions of ultra-high-energy cosmic rays on an intermediate angular scale, which is indicative of excess arrivals from strong, nearby sources. The data consist of 5514 events above 20 EeV with zenith angles up to 80° recorded before 2017 April 30. Sky models have been created for two distinct populations of extragalactic gamma-ray emitters: active galactic nuclei from the second catalog of hard Fermi-LAT sources (2FHL) and starburst galaxies from a sample that was examined with Fermi-LAT. Flux-limited samples, which include all types of galaxies from the Swift-BAT and 2MASS surveys, have been investigated for comparison. The sky model of cosmic-ray density constructed using each catalog has two free parameters, the fraction of events correlating with astrophysical objects, and an angular scale characterizing the clustering of cosmic rays around extragalactic sources. A maximum-likelihood ratio test is used to evaluate the best values of these parameters and to quantify the strength of each model by contrast with isotropy. It is found that the starburst model fits the data better than the hypothesis of isotropy with a statistical significance of 4.0σ, the highest value of the test statistic being for energies above 39 EeV. The three alternative models are favored against isotropy with 2.7σ–3.2σ significance. The origin of the indicated deviation from isotropy is examined and prospects for more sensitive future studies are discussed.

**Key words:** astroparticle physics – cosmic rays – galaxies: active – galaxies: starburst – methods: data analysis

**Supporting material:** FITS files

1. **The Search for UHECR Anisotropies**

Identifying the sources of ultra-high-energy cosmic rays (UHECRs) has been a prime goal of particle astrophysics for decades. The challenge is considerable because the flux falls rapidly with increasing energy, and because UHECRs have a mixed mass composition (Aab et al. 2014a, 2016a), so some or all of them experience substantial magnetic deflections. Many scenarios have been proposed involving different populations of host galaxies. In this Letter, we investigate whether intermediate-scale anisotropies in UHECR arrival directions are associated with either or both of two prominent classes of extragalactic sources detected by Fermi-LAT—active galactic nuclei (AGNs) and starburst galaxies (SBGs)—using the gamma-ray luminosity or its surrogate (radio emission for SBGs) as a proxy for the relative luminosity of each source in UHECRs.

The rate of energy production of UHECRs determined from observations above 1019 eV is close to 1044 erg Mpc−3 yr−1 (Unger et al. 2015). Based on the Fermi-LAT survey, Dermer & Razzaque (2010) argued that AGNs and SBGs match such rates in the gamma-ray band. Due to the low density of the detected SBGs and AGNs, and the attenuation of UHECR flux with increasing distance (GZK effect, Greisen 1966; Zatsepin & Kuz’mín 1966), a few objects would be expected to dominate the local flux, naturally producing an intermediate-scale anisotropy if these sources contribute a sufficient fraction of the UHECR flux.

The AGN and SBG populations are well-motivated physically. AGNs are favored source candidates because their jets and radio lobes satisfy the Hillas criterion for shock acceleration (Hillas 1984). SBGs—being loci of intense star formation—potentially have increased rates of extreme events associated with the deaths of short-lived, massive stars, such as gamma-ray bursts, hypernovae, and magnetars (see, e.g., Biermann et al. 2016; Perley et al. 2016). Their winds have also been proposed as possible reacceleration sites (Anchordoqui et al. 1999).
We select the 23 SBGs with a flux larger than 0.3 Jy among the 63 objects within 250 Mpc searched for gamma-ray emission by Ackermann et al. (2012). Due to the possible incompleteness of that list near the Galactic plane ($|b| < 10^\circ$) and in the southern sky ($\delta < 35^\circ$), relevant SBGs could be missing from our selection. However, we checked that our conclusions remain unchanged,\(^{100}\) (a) using all 63 objects listed in Ackermann et al. (2012), (b) using the catalog from Becker et al. (2009) with 32 SBGs above 0.3 Jy, (c) adding the Circinus SBG absent from (a) and (b), (d) using only the six SBGs reported in the 3FGL (NGC 253, M82, NGC 4945, NGC 1068, Circinus, NGC 2146; Acero et al. 2015) and their 1–100 GeV integral flux as a UHECR proxy.

2. UHECR Data Set

UHECRs are detected at the Pierre Auger Observatory (Argentina, latitude 35°2 S, longitude 69°5 W; Aab et al. 2015c) through the extensive air showers they induce in the atmosphere. Air showers are detected on the ground with an array of 1600 water-Cherenkov detectors with a duty cycle of nearly 100%. Twenty-four air showers are detected at the Pierre Auger Observatory with respect to previous analyses, the data can reveal more subtle patterns.

3. Source Selection and UHECR Sky Models

3.1. Extragalactic Gamma-Ray Populations

We extract our list of gamma-ray AGNs ($\gamma$/AGNs hereafter) from the 2FHL catalog (Ackermann et al. 2016), which includes 360 sources detected by Fermi-LAT above 50 GeV. We study radio-loud objects within a 250 Mpc radius, yielding 17 blazars and radio galaxies. Their 50 GeV–2 TeV integral flux is used as a proxy for the UHECR flux. Given the distance of these objects, the gamma-ray absorption by the extragalactic background light (e.g., Domínguez et al. 2011) is small.

The detections of seven SBGs have been reported using Fermi-LAT data: NGC 253, M82, NGC 4945, NGC 1068 (Ackermann et al. 2012), NGC 2146 (Tang et al. 2014), Arp 220 (Peng et al. 2016), and Circinus (Hayashida et al. 2013). Their gamma-ray luminosity has been shown to scale almost linearly with their continuum radio flux (Ackermann et al. 2012). We thus adopt for a proxy for the UHECR flux of SBGs their continuum emission at 1.4 GHz for which a larger census exists.

3.2. X-Ray and Infrared Samples

Following previous searches (Aab et al. 2015b), we additionally study two flux-limited samples: Swift-BAT sources up to 250 Mpc, above a flux of $13.4 \times 10^{-12}$ erg cm$^{-2}$ s$^{-1}$, and sources from the 2MASS redshift survey (2MRS catalog; Huchra et al. 2012) beyond 1 Mpc, effectively taking out the Local Group as in Erdogdu et al. (2006). We use the 14–195 keV flux and K-band flux corrected for Galactic extinction as UHECR proxies for each of these surveys.

The X-ray sky observed by Swift-BAT is dominated in flux by the nearby Centaurus A, often considered as a prime UHECR source candidate (e.g., Romero et al. 1996; Wykes et al. 2017), with additional diffuse structures arising from both radio-loud and radio-quiet AGNs. This constitutes a different selection of AGNs from that performed for the $\gamma$-ray sample (radio-loud only), dominated by the radio galaxies Centaurus A and M87 within 20 Mpc and by the blazars Mrk 421 and Mrk 501 within 200 Mpc.

The 2MRS infrared intensity traces the distribution of extragalactic matter, and includes both star-forming galaxies and AGNs. It is dominated by contributions from the nearby SBG NGC 253, close to the South Galactic pole, M82, only observable from the northern hemisphere, along with M83 and NGC 4945, belonging to the same group of galaxies as Centaurus A. Strong emission from Centaurus A, as well as cumulated emission from fainter objects, e.g., in the Virgo cluster, constitute distinctive features of the 2MRS sky model with respect to the SBG one.

3.3. Impact of Attenuation

We account for attenuation of UHECRs from distant objects (GZK effect) using a data-driven scenario that reproduces the composition and spectral constraints obtained by the Observatory (Aab et al. 2017b). Assuming a homogeneous distribution of sources in the local universe, it was shown that an interpretation of the air-shower data using the EPOS-LHC interaction model together with a hard injection index $\gamma = 1$ at the sources (scenario A) best matches the data, accounting for propagation effects (Aloisio et al. 2012; Alves Batista et al. 2016). We also consider two other scenarios matching the data reasonably well: EPOS-LHC with $\gamma = 2$ (B) and Sibyll 2.1 with $\gamma = -1.5$ (C). These scenarios differ in the composition and maximum rigidities attainable at the sources. For each scenario and a chosen energy threshold, we evaluate the flux attenuation factor due

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\(^{100}\) Test statistic for anisotropy within ±1 unit.
to propagation for each source and correct its expected UHECR flux accordingly.

The two extragalactic gamma-ray populations under study and the relative weight of each source are provided in Table 1. The relative contributions accounting for the directional exposure of the Observatory are shown in the last column. Because SBGs are mostly nearby, attenuation from them is much less important than that from the more distant blazars in the $\gamma$AGNs sample. Taking into account attenuation, $\sim90\%$ of the accumulated flux from SBGs emerges from a $\sim10$ Mpc radius region, while the radius goes up to $\sim150$ Mpc for $\gamma$AGNs. For both the 2MRS and Swift-BAT flux-limited samples, the 90% radius is $\sim70$ Mpc.

4. Analysis and Results

4.1. Maximum-likelihood Analysis

We build the UHECR sky model as the sum of an isotropic component plus the anisotropic contribution from the sources. For the anisotropic component, each source is modeled as a Fisher distribution (Fisher 1953), the equivalent of a Gaussian on the sphere. Its distribution is centered on the coordinates of
the source, the integral being set by its flux attenuated above the chosen energy threshold, and the angular width—or search radius\(^{101}\)—being a free parameter common to all sources. No shift of the centroid position is considered, avoiding dependence on any particular model of the Galactic magnetic field in this exploratory study. After mixing the anisotropic map with a variable fraction of isotropy, as in Abreu et al. (2010), the model map is multiplied by the directional exposure of the array and its integral is normalized to the number of events. The model map thus depends on two variables aimed at maximizing the degree of correlation with UHECR events: the fraction of all events due to the sources (anisotropic fraction) and the rms angular separation between an event and its source (search radius) in the anisotropic fraction. We perform an unbinned maximum-likelihood analysis, where the likelihood (L) is the product over the UHECR events of the model density in the UHECR direction. The test statistic (TS) for deviation from isotropy is the likelihood ratio test between two nested hypotheses: the UHECR sky model and an isotropic model (null hypothesis). The TS is maximized as a function of two parameters: the search radius and the anisotropic fraction. We repeat the analysis for a sequence of energy thresholds.

For a given energy threshold, we confirmed with simulations that the TS for isotropy follows a \(\chi^2\) distribution with two degrees of freedom, as expected (Wilks 1938), directly accounting for the fit of two parameters of the model. As in Aab et al. (2015b), we penalize the minimum \(p\)-value for a scan in threshold energy, by steps of 1 EeV up to 80 EeV, estimating the penalty factor with Monte-Carlo simulations. The \(p\)-values are converted into significances assuming 1-sided Gaussian distributions.

4.2. Single Population against Isotropy

Previous anisotropy studies (e.g., Aab et al. 2015b) have considered a scan in energy threshold starting at 40 EeV, where the observed flux reaches half the value expected from lower-energy extrapolations, but as shown in Figure 1, there is a maximum in the significance close to this starting point. Therefore we have evaluated the TS down to 20 EeV.

The TS is maximum for SBGs above 39 EeV (894 events), with or without attenuation. For \(\gamma\)AGNs, the TS is maximum above 60 EeV (177 events) after accounting for attenuation. As shown in Figure 1, left, attenuation mildly impacts SBGs that are nearby: we obtain TS = 24.9/25.5/25.7 for scenarios A/B/C, respectively. The impact is more pronounced for \(\gamma\)AGNs, a larger attenuation reducing contributions from distant blazars: we obtain a maximum TS of 15.2/9.4/11.9 for scenarios A/B/C. Shifting the energy scale within systematic uncertainties (\(\pm 14\%\)) affects the maximum TS by \(\pm 1\) unit for \(\gamma\)AGNs, \(\pm 0.3\) for SBGs.

Penalizing for the energy scan, the maximum TS obtained for SBGs and \(\gamma\)AGNs within scenario A corresponds to 4.0\(\sigma\) and 2.7\(\sigma\) deviations from isotropy, respectively. As shown in Figure 2 (left), the maximum deviation for \(\gamma\)AGNs is found at an angular scale of \(7^\circ\pm 4^\circ\) and a 7 \(\pm 4\%\) fraction of anisotropic events. For SBGs, a stronger deviation from isotropy is uncovered at an intermediate angular scale of \(13^\circ\pm 3^\circ\) and an anisotropic fraction of 10 \(\pm 4\%\). The systematic uncertainty induced by the energy scale and attenuation scenario is at the level of 0.3% for the anisotropic fraction and 0.5% for the search radius obtained with SBGs.

For Swift-BAT and 2MRS sources attenuated within scenario A, we obtain maximum TSs of 18.2 (3.2\(\sigma\)) above 39 EeV and 15.1 (2.7\(\sigma\)) above 38 EeV, respectively (see Figure 1, right). These correspond to values of the best-fit parameters of 12\(^{+6\%}\)\(^{-6\%}\) and 7\(^{+4\%}\)\(^{-4\%}\) for Swift-BAT, 13\(^{+4\%}\)\(^{-4\%}\) and 16\(^{+5\%}\)\(^{-5\%}\) for 2MRS.

The different degrees of anisotropy obtained from each catalog can be understood from Figure 3 (top) showing a UHECR hotspot in the direction of the Centaurus A/M83/NGC 4945 group. The \(\gamma\)AGN model (\(>60\) EeV) and Swift-BAT model (\(>39\) EeV) are dominated by Centaurus A, which is 7\(^\circ\) and 13\(^\circ\) away from NGC 4945 and M83, respectively. The starburst model additionally captures the UHECR excess close to the Galactic South Pole, interpreted as contributions from NGC 1068 and NGC 253, yielding an increase in the anisotropy signal from \(\sim 3\sigma\) to 4\(\sigma\). Additional diffuse contributions from clustered sources in the 2MRS catalog are not favored by the data, resulting in the smaller deviation from isotropy.

4.3. Composite Models against Single Populations

To compare the two distinct gamma-ray populations above their respective preferred thresholds, we investigate a composite model combining contributions from \(\gamma\)AGNs and SBGs, adopting a single search radius and leaving the fraction of events from each population free. The TS in this case is the difference between the maximum likelihood of the combined model and that of the null hypothesis of a single population at

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\(^{101}\) Inverse square root of Fisher’s concentration parameter.
the selected energy threshold. The parameter added to the more complex model results in a \( \chi^2 \) distribution with one degree of freedom.

The best-fit anisotropic fractions obtained for the composite model (free search radius) are shown in Figure 2 (right). Above 39 EeV, the \( \gamma \)AGN-only model is disfavored by 3.7\( \sigma \) relative to a combined model with a 9% contribution from SBGs and 1% contribution from \( \gamma \)AGNs. Above 60 EeV, the TS obtained with the composite model is not significantly higher than what is obtained by either model. This is illustrated in Figure 2 (right) by the agreement at the 1\( \sigma \) level of a model including 0% SBGs/7% \( \gamma \)AGNs with a model including 13% SBGs/0% \( \gamma \)AGNs above 60 EeV.

As summarized in Table 2, composite models including SBGs and either 2MRS or \textit{Swift}-BAT sources best match the data above 39 EeV for 9%–7% fractions of events associated to

| Test Hypothesis | Null Hypothesis | Threshold Energy\(^a\) | TS | Local \( p \)-value \(^{\psi, (TS, 2)} \) | Post-trial \( p \)-value | 1-sided Significance | AGN/Other Fraction | SBG Fraction | Search Radius |
|----------------|-----------------|------------------------|----|-----------------|-------------------|-------------------|------------------|--------------|---------------|
| SBG + ISO | ISO | 39 EeV | 24.9 | 3.8 \times 10^{-6} | 3.6 \times 10^{-5} | 4.0\( \sigma \) | N/A | 9.7% | 12\( \sigma \) |
| \( \gamma \)AGN + SBG + ISO | \( \gamma \)AGN + ISO | 39 EeV | 14.7 | N/A | 1.3 \times 10^{-4} | 3.7\( \sigma \) | 0.7% | 8.7% | 12\( \sigma \) |
| \( \gamma \)AGN + ISO | ISO | 60 EeV | 15.2 | 5.1 \times 10^{-4} | 3.1 \times 10^{-3} | 2.7\( \sigma \) | 6.7% | N/A | 6\( \sigma \) |
| \( \gamma \)AGN + SBG + ISO | SBG + ISO | 60 EeV | 3.0 | N/A | 0.08 | 1.4\( \sigma \) | 6.8% | 0.0%\(^b\) | 70 |
| \textit{Swift}-BAT + ISO | ISO | 39 EeV | 18.2 | 1.1 \times 10^{-4} | 8.0 \times 10^{-4} | 3.2\( \sigma \) | 6.9% | N/A | 12\( \sigma \) |
| \textit{Swift}-BAT + SBG + ISO | \textit{Swift}-BAT + ISO | 39 EeV | 7.8 | N/A | 5.1 \times 10^{-3} | 2.6\( \sigma \) | 2.8% | 7.1% | 12\( \sigma \) |
| 2MRS + ISO | ISO | 38 EeV | 15.1 | 5.2 \times 10^{-4} | 3.3 \times 10^{-3} | 2.7\( \sigma \) | 15.8% | N/A | 13\( \sigma \) |
| 2MRS + SBG + ISO | 2MRS + ISO | 39 EeV | 10.4 | N/A | 1.3 \times 10^{-3} | 3.0\( \sigma \) | 1.1% | 8.9% | 12\( \sigma \) |

Notes. ISO: isotropic model.

\(^a\) For composite model studies, no scan over the threshold energy is performed.

\(^b\) Maximum TS reached at the boundary of the parameter space.
Figure 3. Top to bottom: observed excess map; model excess map; residual map; model flux map, for the best-fit parameters obtained with SBGs above 39 EeV (left) and γ-AGNs above 60 EeV (right). The excess maps (best-fit isotropic component subtracted) and residual maps (observed minus model) are smeared at the best-fit angular scale. The color scale indicates the number of events per smearing beam (see inset). The model flux map corresponds to a uniform full-sky exposure. The supergalactic plane is shown as a solid gray line. An orange dashed line delimits the field of view of the array. (FITS files for this figures are available.)
SBGs and 1%–3% to the flux-limited samples. A 3.0σ–2.6σ advantage is found for the composite models including SBGs with respect to the 2MRS-only and Swift-BAT-only models.

5. Discussion

We have compared the arrival directions of UHECRs detected at the Pierre Auger Observatory with two distinct gamma-ray samples and two flux-limited samples of extragalactic sources. Our comparison with SBGs shows that isotropy of UHECRs is disfavored with 4.0σ confidence, accounting for the two free parameters and including the penalty for scanning over energy thresholds. This is the most significant evidence found in this study for anisotropy of UHECRs on an intermediate angular scale. It should be noted, however, that numerous anisotropy studies have been conducted with data from the Observatory, not only those that have been published by the Collaboration. There is no rigorous way to evaluate a statistical penalty for other searches.

The pattern of UHECR arrival directions is best matched by a model in which about 10% of those cosmic rays arrive from directions that are clustered around the directions of bright, nearby SBGs. We evaluated the possibility of additional contributions from nearby γ-AGNs, such as Centaurus A, and from more distant sources through a comparison with samples tracing the distribution of extragalactic matter. We find that the contribution from SBGs to the indication of anisotropy is larger than that of the alternative catalogs tested. Nonetheless, caution is required in identifying SBGs as the preferred sources prior to understanding the impact of bulk magnetic deflections.

The sky maps used in this analysis are derived without incorporating any effects of the extragalactic or Galactic magnetic fields and winds (e.g., Pshirkov et al. 2011; Jansson & Farrar 2012; Biermann et al. 2015). In particular, the arrival directions of UHECRs from a given source are modeled by a symmetric Fisher distribution centered on the source position. We checked the plausibility of the best-fit search radius obtained above 39 EeV by simulating sky maps passed through the Galactic magnetic field from Jansson & Farrar (2012), including a random component with a coherence length of 60 pc as in Erdmann et al. (2016). For large deflections, UHECRs from a given SBG can leak in the direction of a neighboring source. The three composition scenarios discussed in Section 3.3 yield reconstructed search radii of 5°–25°, bracketing the observed radius of 13°. The agreement is considered satisfactory given the uncertainties in our knowledge of the composition above 39 EeV and of the deflections by the Galactic magnetic field (Unger & Farrar 2017). Further studies aiming at possibly improving the model maps including deflections are underway.

It can be seen in Figure 3 (bottom) that M82 is expected to be one of the dominant sources in the full-sky starburst model presented here. Its declination of ~70° N is outside the exposure of the Observatory but is covered in the northern Hemisphere by the Telescope Array (Abu-Zayyad et al. 2012). As noted, e.g., by Fang et al. (2014) and He et al. (2016), the excess of events observed at the Telescope Array (Abbas et al. 2014) has some overlap with the position of M82, as well as with the position of the blazar Mrk 421 that would be a bright northern source in a low-attenuation scenario.

An analysis of full-sky data from the Pierre Auger Observatory and the Telescope Array may provide a more powerful test of the starburst and AGN models by probing all production regions of UHECRs. Combining the data is complicated, however, due to the spurious anisotropies that may be induced by possible mismatches in the relative exposures and/or systematic differences in the nominal energy scales used at each observatory. The first attempts at conducting such surveys are being made (Di Matteo et al. 2017).

Additional exposure will bring better constraints on the brightest sources. At the same time, an instrumentation upgrade of the Observatory is being deployed on the water-Cherenkov detectors, adding a planar plastic scintillator of 4 m² area to each of them (Aab et al. 2016b). The upgrade will provide mass-sensitive observables for each shower, enabling charge-discriminated studies with a duty cycle of nearly 100%. Excluding highly charged nuclei from the analysis could eliminate a quasi-isotropic background that may mask the signature of individual sources imprinted by protons and other low-charge nuclei.

Finally, a large-scale dipolar anisotropy has been discovered above 8 EeV (Aab et al. 2017a). While a direct connection between the large and intermediate angular-scale patterns has not yet been identified, the emergence of anisotropies at ultra-high energy will certainly trigger further investigations of the scenarios underlying the production of UHECRs.

The successful installation, commissioning, and operation of the Pierre Auger Observatory would not have been possible without the strong commitment from the technical and administrative staff in Malargüe, and the financial support from a number of funding agencies in the participating countries, listed at https://www.auger.org/index.php/about-us/funding-agencies.

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