Align then Summarize: Automatic Alignment Methods for Summarization

Corpus Creation

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Abstract

Summarizing texts is not a straightforward task. Before even considering text summarization, one should determine what kind of summary is expected. How much should the information be compressed? Is it relevant to reformulate or should the summary stick to the original phrasing? State-of-the-art on automatic text summarization mostly revolves around news articles. We suggest that considering a wider variety of tasks would allow to improve the state of the art.

In contrast, we explore meeting data, using transcription as source, and the meeting report as the target.

Many factors are critical in the summarization process, such as whether to rephrase the source (abstractiveness) or use part of the source as is (extractiveness); the length ratio of target and source (compression factor); the source and target lengths and their variances; and the information distribution — i.e., how important information is distributed along the text.

Most of summarization benchmarks (See et al., 2017; Paulus et al., 2017; Gehrmann et al., 2018) rely on news articles from CNN and DailyMail (Hermann et al., 2015; Nallapati et al., 2016) which exhibit particular characteristics such as: (i) being quite extractive i.e., picking portions of text from the source, the opposite of abstractive (Liu et al., 2018); (ii) a high compression factor with the summary being up to 10 times shorter than the source (Liu et al., 2018); (iii) a low variance in both source and target length, and (iv) concentrating information mostly at the beginning of the article: for example, papers working on the CNN-DailyMail corpus (Hermann et al., 2015; Nallapati et al., 2016) often truncate the article to the first 400 words of the article (See et al., 2017; Gehrmann et al., 2018; Ziegler et al., 2019), ignoring up to half of it.

In contrast, we explore meeting data, using transcription as the source, and the meeting report as the target.

Contrary to news articles, there is high variance in the length of speaker interventions; the data need to be rephrased into a written form (thus, an abstractive process by nature), and to be informative throughout. In this work, we focus on so-called exhaustive reports, which are meant to capture all the information and keep track of speaker interventions. Information itself is not summarized, but the speech is compressed from an oral form to a written one. Thus, the compression factor is lower than in news tasks but variance remains high, depending on how verbose the intervention is.

The data at hand consist of (i) exhaustive reports produced by Ubiquis in-house editors, (ii) full audio recording of the meeting. An automated transcript is produced from the latter with an automatic speech recognition system very close to the one described (Hernandez et al., 2018; Meignier and Merlin, 2010) but trained for French language from internal data.

Such data are not suitable for summarization learning as-is, therefore we propose to segment it at the intervention level (i.e., what is said from one speaker until another one starts). It is particularly convenient since the nature of our dataset ensures that all interventions remain (apart from very short ones) and chronological order is preserved in both the transcription and the report. Reports explicitly mention speakers, making segmentation trivial and error-free for that part. Transcriptions do not have such features so we present an alignment process that maps interventions from the reports with its related transcription sentences based on similarity.

We bootstrap the corpus creation, iterating between automatic pre-alignment generations and corrections from human annotators. We aim at jointly minimizing human annotators’ efforts by providing iteratively better pre-alignment and maximizing the corpus size by using annotations from our automatic alignment models. Evaluation is conducted on public_meetings, a novel corpus of aligned public meetings. We report automatic alignment and summarization performances on this corpus and show that automatic alignment is relevant for data annotation since it leads to large improvement of almost +4 on all ROUGE scores on the summarization task.

Keywords: Alignment, Summarization, Corpus Annotation

1. Introduction

Automatic Text Summarization is the task of producing a short text that captures the most salient points of a longer one. However, a large variety of tasks could fit this definition.

Several factors are critical in the summarization process, such as whether to rephrase the source (abstractiveness) or use part of the source as is (extractiveness); the length ratio of target and source (compression factor); the source and target lengths and their variances; and the information distribution — i.e., how important information is distributed along the text.

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Contrary to news articles, there is high variance in the length of speaker interventions; the data need to be rephrased into a written form (thus, an abstractive process by nature), and to be informative throughout. In this work, we focus on so-called exhaustive reports, which are meant to capture all the information and keep track of speaker interventions. Information itself is not summarized, but the speech is compressed from an oral form to a written one. Thus, the compression factor is lower than in news tasks but variance remains high, depending on how verbose the intervention is.

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Such data are not suitable for summarization learning as-is, therefore we propose to segment it at the intervention level (i.e., what is said from one speaker until another one starts). It is particularly convenient since the nature of our dataset ensures that all interventions remain (apart from very short ones) and chronological order is preserved in both the transcription and the report. Reports explicitly mention speakers, making segmentation trivial and error-free for that part. Transcriptions do not have such features so we present an alignment process that maps interventions from the reports with its related transcription sentences based on similarity.

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marization based on the segmentation and alignment of reports and transcriptions from meetings using a bootstrapping approach. We also present public_meetings, a novel public meeting dataset, against which we evaluate both automatic alignment and summarization. Summarization models are first trained on the gold set – from human annotator –, and then using automatic annotations with our automatic alignment models which outperform the baseline by a large margin (almost +4 on all considered ROUGE metrics). Source code, data and reproduction instructions can be found at: https://github.com/pltrdy/autoalign

2. Related Work

This work aims to jointly segment two related files – a transcription and a report of the same meeting – so that the i-th segment of the report actually corresponds to the j-th segment of the transcription.

Since report side segmentation is simple thanks to its structure, we focus on the transcription side. Bearing that in mind, the task is similar to a linear segmentation problem, i.e. finding borders between segments. (Hearst, 1997) proposed TEXTtILING, a linear segmentation algorithm that compares adjacent blocks of text in order to find subtopic shifts (borders between segments) using a moving window over the text and identifying borders by thresholding. C99, as proposed by (Choi, 2000), uses similarity and ranking matrices instead, then clustering to locate topic boundaries. TEXTtILING has been extended (i) to audio signals (Banerjee and Rudnicky, 2006) but is said to lack robustness to atypical participant behavior (which is common in our context); (ii) to work with word embeddings in order to capture similarity between query and answer in a dialogue context (Song et al., 2016). (Alemi and Ginsparg, 2015) also explore word embedding use in segmentation by incorporating it into existing algorithms and showing improvements. (Badjatiya et al., 2018) address the segmentation task with an end-to-end attention-based neural approach. While such an approach could be investigated in the future, we could not consider it in this work due to the lack of reference data. (Glavas et al., 2016) use semantic relatedness graph representation of text then derive semantically coherent segments from maximal cliques of the graph. One issue of this approach is that searching for large segments in big texts requires decreasing the threshold which exponentially increases computational cost, eventually making our task intractable.

2.1. Alignment

Alignment has already been studied for corpus creation. In particular, (Barzilay and Etzioni, 2003; Nelken and Shieber, 2006) extract related segments from the Encyclopedia Britannica and Britannica Elementary (a simpler version). It is different from our work since we are looking for a total alignment, i.e. both documents must be fully aligned, not just partially extracted.

Furthermore, alignment of oral speech to its written form has been studied by (Braunschweiler et al., 2010) in the context of audio books and by (Lecouteux et al., 2012) for subtitles and transcripts (e.g. of news report) in order to improve Automatic Speech Recognition engines. While such approaches sound similar to ours, they mostly look for exact matches rather than an approximate alignment of asymmetrical data, based on textual similarity.

2.2. Summarization Datasets

(Hermann et al., 2015; Nallapati et al., 2016) proposed the first multi-sentence summarization dataset, with more than 280,000 training pairs. Sources are up to 800 words long (but are often truncated to the first 400 words (See et al., 2017; Gehrmann et al., 2018; Ziegler et al., 2019)) and the target is around 50 words on average. A similar dataset based on NY Times articles was presented by (Paulus et al., 2017), with three times more training pairs, sources of 800 words and targets of 45 words on average. (Liu et al., 2018) work on generating Wikipedia introductions (known as leads) from reference articles and web-crawled data. Both inputs and outputs are several orders of magnitude longer: sources can be up to $10^6$ words and targets are in the $10^3$ – $10^4$ range.

In our context, we are dealing with limited resources, in particular with respect to ready to train data – which motivated this paper. Our dataset comprises 20,000 gold standard training pairs, and up to 60,000 pairs when taking into account all the automatically aligned data. We currently filter training pairs in order to contain fewer than 1000 words and 50 sentences. Future work would explore a wider range of segment lengths.

3. Methods

Our task consists in finding the best alignment between a meeting transcription $\mathcal{T} = \{t_1, \ldots, t_l\}$ and the related human written report $\mathcal{R} = \{r_1, \ldots, r_l\}$.

Both documents are segmented into mutually exclusive sets of sentences $\hat{\mathcal{T}} = \{\hat{t}_1, \ldots, \hat{t}_L\}$, $\hat{\mathcal{R}} = \{\hat{r}_1, \ldots, \hat{r}_L\}$.

Alignment maps each transcription segment $\hat{t}_i \in \hat{\mathcal{T}}$ to exactly one report segment $\hat{r}_j \in \hat{\mathcal{R}}$ based on sentence-level similarities $S_{i,j} = \text{score}(\hat{t}_i, \hat{r}_j)$, with $\hat{t}_i \in \hat{\mathcal{T}}$, $\hat{r}_j \in \hat{\mathcal{R}}$.

The alignment process is a pipeline of different modules. The first one reads the data; the second one independently segments each side – respectively report and transcription; the third one computes similarity scores in order to find the alignment that maximizes the overall score. This section presents those modules.

3.1. Segmentation

Segmentation consists in finding borders in texts such that each segment can be processed independently. The segmentation granularity should be fine enough for segments to be not too long (which would make learning more difficult, and result in fewer training pairs) and coarse enough to remain relevant (very short segments cannot be meaningfully summarized). We consider speaker interventions – i.e. uninterrupted speech of only one speaker – to be an appropriate segmentation level. In particular, we make the assumption that the task of writing a report can roughly be divided into sub-tasks consisting of reports for each intervention, which is a close approximation of exhaustive reports.
On the report side, each speaker’s intervention may be explicitly mentioned using special tags in the document (one particular style applied to names), or identified with rule-based identification e.g. looking for ‘Mr.’, ‘Ms.’ etc. On the transcription side, segments are groups of sentences defined by the automatic speech recognition system.

3.2. Text Representation and Similarity function

The alignment process consists in finding, for each transcription segments $T_m$, its related report segment $R_n$, in other words the function:

\[ \text{alignment}(m) = n, \forall m \in [1,M], n \in [1,N] \]

\[ \text{alignment}(m) \leq \text{alignment}(m+1) \]

We consider a sentence-level similarity matrix $S$ between the transcription $T$ and the report $R$ such as $S_{i,j} = \text{score}(t_i, r_j)$ with $(t_i, r_j) \in T \times R$.

For the score function, we experimented with (i) ROUGE from Lin (2004); (ii) cosine similarity on tf·idf representations; (iii) cosine similarity based on word embedding vectors. A pooling function (typically a sum) is applied to word embeddings to produce sentence embeddings, as shown in figure 1.

By default, both $T$ and $R$ are sets of sentenc\[
\text{sliding windows} \quad \{(s_{k-i}, s_{k+j}) \mid s_k \in D\} \quad (1)
\]

Sliding windows aggregate sentence representations into a single vector using the $agg$ function (see figure 1) then we calculate scores for all pairs of sliding windows from both sides:

\[ S_{s,k,l}^{\text{sliding}} = \text{score}(\text{agg}(W^T_{a_k}(k)), \text{agg}(W^R_{a_k}(l))) \quad (2) \]

then similarities are assigned back to the sentence level:

\[ S_{i,j} = \text{red}\left( \left\{ S_{s,k,l}^{\text{sliding}} \mid (s_i, s_j) \in \left\{ W^T_{a_k}(k) \times W^R_{a_k}(l) \right\} \right\} \right) \quad (3) \]

The reduction function $\text{red}$ (sum or product) calculates sentence scores from the sliding windows that contain it.

3.3. Alignment

Having sentence level (sentence-windows) similarities of every pair of windows from both sides:

\[ \text{alignment}(m) = \max_{n} \left( \sum_{s_i \in E_m} \sum_{s_j \in E_n} A_{i,j} \right) \quad (4) \]

Sentences are determined by punctuation, which is predicted by the speech recognition system on the transcription side.

\[ \text{alignment}(m) = \arg \max_{n} \left( \sum_{s_i \in E_m} \sum_{s_j \in E_n} A_{i,j} \right) \quad (5) \]

4.1. Bootstrapping the corpus creation

To build a corpus from scratch we iterate over three phases, (i) generating pre-alignments from the data using an automatic alignment model; (ii) correct the pre-alignment thanks to human annotators to get a gold reference set; (iii) evaluate models with respect to the new reference set. Iterations increase the amount of gold references, allowing accurate evaluation of automatic alignment models, eventually making the annotators’ task easier.
Gold Alignments We developed an ad-hoc platform to collect gold alignments thanks to human annotators to serve as reference sets. We use our automatic alignment models to provide a pre-alignment that is then corrected by the annotator.

Grid Search In order to evaluate a wide variety of parameters at a reasonable computational cost, we use several validation sets varying in their amount of reference files. The evaluation process iteratively selects best parameters, thus reducing their number, then evaluates these sub-sets on a bigger reference set. It helps us to efficiently explore the parameter space without spending too much effort on obviously sub-performing parameter sets and eventually identify most critical parameters.

1st Iteration: diagonal alignment The first iteration started without any reference file, therefore, we had no way of quantitatively evaluating the auto-alignment process. Still, in order to provide a pre-alignment to human annotator, we used a naive approach that aligns segments diagonally: we do not compute similarity \( (S_{i,j} = 1, \forall (i, j)) \) and move into the alignment matrix to stay on a diagonal i.e. we replace the position history matrix \( H \) of eq. [5] to be:

\[
H_{i,j} = \begin{cases} 
(i-1, j) & \text{if } r_{i,j} < r \\
(i, j-1) & \text{otherwise} 
\end{cases}
\]

with \( r = |T|/|R| \) and \( r_{i,j} = (i - 1)/(j - 1) \)

2nd Iteration: exploring scoring functions During the second iteration we mainly explored different sentence representations and scoring functions. Using plain text, we measure ROUGE scores [Lin, 2004], more precisely R1-F, R2-F, and RL-F. We use vector representations of text based on (i) \( tf \cdot idf \) and Latent Semantic Analysis; and (ii) pre-trained French word embeddings from [Faucconner, 2015], and score sentences based on cosine similarity. Word embeddings are trained with word2vec [Mikolov et al., 2013]. We experimented with both CBOW and Skip-Gram variants without significant performance differences. Measuring similarities between sliding windows instead of sentences directly was meant to reduce impact of isolated sentences of low similarities. In fact, because our data don’t perfectly match, there may be sentences with a very low similarity inside segments that actually discuss the same point. Parameters related to the sliding windows are the window size, and the overlap. We experimented with all combinations of \( s \in \{0, 1, 2, 3, 4, 5, 10\} \), \( o \in \{1, 2, 3, 5\} \). Related to its scores we consider aggregation and reduction function as parameters and experiment with \( agg \in \{sum, mean, max\} \) and \( red \in \{sum; product\} \).

3rd Iteration: fine tuning embedding based models During the alignment phase, we found that the dynamic programming algorithm may keep the same direction for a long time. For example one report sentence may get high similarities with a lot of transcription sentences, resulting in a too monotonical alignment. To limit this behavior, we introduce horizontal and vertical decay factors (respectively \( hd \) and \( vd \)), typically in \([0; 1]\), that lower scores in the same direction. We then consider a decayed alignment matrix \( A' \) such as:

\[
A'_{i,j} = A_{i,j} \times D_{i,j}
\]

\[
D_{i,j} = \begin{cases} 
D_{i-1,j} \times (1 - hd) & \text{if } A_{i-1,j} > A_{i,j-1} \\
D_{i,j-1} \times (1 - vd) & \text{otherwise} 
\end{cases}
\]

The decay is reset to \( D_{i,j} = 1 \) at each change of direction.

4th Iteration: public_meetings Finally, we select a set of public meetings in order to make it available for reproductions and benchmarks. This smaller corpus is used as a test set: no fine tuning has been done on this data for both the alignment and the summarization tasks.

4.2. Other models

We also consider two linear segmentation baselines, namely TEXTTILING of [Hearst, 1997] and C99[Choi, 2000].

Linear segmentation baselines are penalized in comparison to our methods since they do not use the report document content. In particular, our methods cannot be wrong about the segment number since it is fixed by report side segmentation. Therefore, to make a fairer comparison, we only consider parameters sets that produce the excepted number of segments. Segment number can be explicitly set in C99 whereas we had to grid search TEXTTILING parameters. GRAPHSEG from [Glavaš et al., 2016] has been considered, but producing long enough segments to be comparable with our work requires a low relatedness threshold, which exponentially increases the computational cost.

4.3. Summarization

We trained neural summarization models on our data, first using gold set only, then incorporating automati-
cally aligned data. Pre-processing include filtering segments based on their number of words and sentences, i.e., we consider segments if $10 \leq \#\text{words} \leq 1000$ and $3 \leq \#\text{sentences} \leq 50$.

Using OpenNMT-py([Klein et al., 2017](https://github.com/OpenNMT/OpenNMT-py)) we train Transformer models ([Vaswani et al., 2017](https://github.com/OpenNMT/OpenNMT-py)) similar to the baseline presented in ([Ziegler et al., 2019](https://github.com/OpenNMT/OpenNMT-py)) with the difference that we do not use any copy-mechanism.

Evaluation is conducted against our public_meetings test set and uses the ROUGE-F metric ([Lin, 2004](https://github.com/OpenNMT/OpenNMT-py)).

5. Results

5.1. Automatic Alignment Evaluation

Table 1 compares performances of automatic alignment models.

Diagonal baseline shows interesting performances. In particular, it outperforms by a large margin linear segmentation algorithms and both of our tf-idf and ROUGE based models.

Embeddings based approaches are on a totally different level, with performances twice better than the diagonal baseline, and more than three times better than any other considered algorithm on the validation set.

Introducing decays at the alignment stage is meant to avoid the alignment to be too monotonic. We started experimenting with small decays on both horizontal and vertical axes. Results make it clear that decays are key parameters. In particular, we found vertical decay $(vd)$ to have a greater impact, while horizontal decay $(hd)$ should be turned-off for maximal performances. Similarly, scaling scores to the power of $p > 1$ during alignment improves every model. In fact, it helps the model to distinguish good scores from average ones.

Sliding windows performs better that sentence representation (i.e., $s = 1, o = 0$) in most case – only tf-idf models reach its top scores without it. However, we observed many different configurations of sizes, overlaps, aggregations and reduction functions reach high scores.

5.2. Human Evaluation

Human annotators align transcription segments with respect to report segments based on a pre-alignment produced by automatic alignment models. As we were fine tuning our models, we provided better pre-alignments, eventually making the annotator’s task easier. The alignment process for the annotators consists in checking the pre-alignment and correcting mismatches one segment at a time. We report human evaluated segment-level accuracy as the ratio of segments that were not modified by the annotator against the total number of segments.

Figure 3 and table 2 show, for each iteration, the accuracy distribution. We observe that accuracy is consistently increasing over iterations.

| #documents | Annotator Score $\uparrow$ (mean, median) |
|------------|-----------------------------------------|
| 1st iteration | 12 | 18.63 – 15.73 |
| 2nd iteration | 88 | 50.44 – 53.56 |
| 3rd iteration | 38 | 57.23 – 55.02 |
| public_meetings | 22 | 72.67 – 80.08 |

Table 2: Human evaluation of automatic alignments

![Figure 3: Annotator evaluation with respect to the automatic pre-alignment for each iterations](https://github.com/OpenNMT/OpenNMT-py)

5.3. Summarization

Summarization models have first been trained on human annotated alignments only, then with a larger dataset that also contains 70,000 more training pairs emanating from automatic alignment. We find that using automatic alignment for data annotation makes a substantial difference in the summarization performance of almost +4 ROUGE points (table 3). This result is encouraging and motivates us to continue automatic annotation.

| Dataset | #Pairs (train, test) | ROUGE Score (F) $(R1, R2, RL)$ |
|---------|---------------------|-------------------------------|
| Gold dataset | 21k – 1060 | 52.80 / 29.59 / 49.49 |
| Gold + Automatic | 91k – 1060 | 56.56 / 35.43 / 53.55 |

Table 3: Scores on the public_meetings test set of automatic summarization models trained on human references only vs. extend the dataset with annotations from automatic alignment

6. Discussion and Future Work

During the alignment process, we make the assumption that each transcription segment must be aligned. However, in practice we asked human annotators to filter out irrelevant segments. Such segments are part of the validation set, but
flagged in order that they should not be assigned to any report segments. During evaluation we penalize models for each false alignment assigned to irrelevant segments so that our results are comparable to future models capable of ignoring some transcription segments. To get an idea of how important this phenomenon is, we adapt word accuracy to ignore irrelevant segments and find a 4.7% absolute difference.

\[
\text{word}_{\text{acc}} = \frac{\#W_{\text{aligned}}}{\#W} \\
\text{pos}_{\text{word}}_{\text{acc}} = \frac{\#W_{\text{aligned}}}{\#W - \#W_{\text{irrelevant}}}
\]

Word embedding vectors used in this work have been trained by Fauconnier (2015) who made them publicly available. While they make our results fully reproducible, training embedding vectors on our data would be an interesting area for future research and could improve the quality of the automatic alignment. Lastly, we would like to study whether the alignment scores provided by our models could be used to predict the alignment quality. Such predictions could be used to filter automatic annotations and use only the potentially relevant automatically aligned segments.

7. Conclusion
This paper has explored the development of automatic alignment models to map speaker interventions from meeting reports to corresponding sentences of a transcription. Meetings last several hours, making them unsuitable sources for training as-is; therefore, segmentation is a key pre-processing step in neural approaches for automatic summarization. Our models align transcription sentences – as provided by our speech recognition system – with respect to report segments, delimited by tags in the document (either in the header or when explicitly specifying a change of speaker).

We introduce public_meetings, a novel meeting summarization corpus against which we evaluate both automatic alignment and summarization. We have shown that our automatic alignment models allow us to greatly increase our corpus size, leading to better summarization performance on all ROUGE metrics (R1, R2, RL).

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