Fundamental understanding of the interaction of continuous wave laser with aluminium

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Received: 13 February 2017 / Accepted: 19 June 2017 / Published online: 13 July 2017
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Abstract In welding, the depth of penetration, weld profile and the corresponding thermal cycle are the three basic outcomes that a user wishes to control flexibly. In laser welding applications, controlled application of power and energy density is the key to achieve predictable control of these characteristics. Creation of an analytical model is an important step towards understanding the underpinning science of laser metal interaction in controlling the depth, bead geometry and thereby temperature profile of a weld. The “power factor model”, which correlates the power applied per unit length to the laser metal interaction time, has been originally developed and validated for mild steel, guides a user on the selection laser system parameters, to achieve specific weld profile. This study is performed to extend the power factor–interaction time model to aluminium alloys by understanding the underpinning laser aluminium interaction parameters in terms of power density, interaction time, specific point energy and their correlation with the weld bead profiles. Although the power factor and interaction time showed a rectangular hyperbolic relationship, as observed in low carbon steel, for a specific weld depth and profile, the absolute magnitude and the characteristic profile of the curve is different due to the intrinsic differences in physical and thermal properties of aluminium as compared to steel. It was shown that identical depth of penetration but different weld metal profile can be obtained for a specific beam diameter for a range of power and travel speed by keeping the energy input per unit length constant.

Keywords Power factor model · Interaction time · Depth of penetration · Laser welding · Laser beam diameter · Weld width

1 Introduction

Joining processes and procedures, using laser as power source is undergoing rapid development with new applications, extend to new materials and new joint design. The heat source for laser welding may be a carbon dioxide gas (CO2) laser, YAG laser, diode laser (LD), LD pumped solid laser, fibre laser and disc laser. Recently, there has been a rapid increase in the use of the fibre laser, which has the flexibility of being conducted by fibre and can be miniaturized with high beam quality and high efficiency, as a welding heat source with increased power and high power density. High power fibre lasers can be used for deep penetration welding in a diversity of materials due to their capability to be narrowly focused, and the fibre delivery system provides the necessary flexibility on the positioning of the beam [1].

Laser welding is extremely productive for the construction of aluminium structures, such as bridges, buildings and transport industry. The laser welding of aluminium alloy is a very promising technique process, and it has a high potential due to the high laser beam energy density that can result in a high penetration/width ratio, compared to other fusion welding processes. Laser can also have a very precise heat input control, and with higher power density, it may result in high temperature gradients and very small heat-affected zones [2]. However, due to its high reflectivity and higher thermal conductivity, aluminium alloy also possesses some difficulties in
laser processing [3]. For an A5052 aluminium alloy, it has been demonstrated that at 10 kW of laser power, its absorption was over 80% at less than 100 mm/s speeds and was reduced to less than 20% at 300 mm/s [3]. Tensile strength and ductility is also degraded by the magnesium losses in the aluminium alloy. For the same alloy, it was shown that the strength of the weld can decrease below 66% of the parent metal once the magnesium content decreased up to 50% in the fusion zone [4]. A vapour channel, also known as plume, is formed mainly due to the vaporization of the base material. Solute atoms, e.g., magnesium and other low vaporization point elements, mainly affect the vapour pressure. The plume instability is one of the most important reasons for keyhole fluctuation mechanism, leading to welding defects, such as spatters and pores [5]. A correlation between the vapour plume centre position and the depth of penetration was established by Brock et al. [6]. It has been observed that the vapour plume inclines in the direction of the melt pool as the penetration depth increases. It was suggested that by evaluating the shape of the vapour plume during the welding process, a closed loop control system could be implemented to achieve a desired penetration depth and avoid welding defects.

The versatility of lasers is demonstrated by the application on different materials with a huge flexibility in terms of the energy delivered to the workpiece. The size and shape of the beam (axial [7] and radial [8]) associated with different combinations of power and travel speed generate different power and energy density and the overall energy application within the laser spot. These parameters affect the flow dynamics of the weld pool during the process [9] and have a direct influence on the final weld seam, including the depth of penetration, which is one of the main outputs that users wish to control. However, for high welding speed and power, the turbulence in the melt pool increases, increasing the frequency of spatter ejection and consequent metal loss [10]. Depending on the processing conditions, there are two main operational regimes: conduction mode and keyhole mode. The latter is a most important reasons for keyhole fluctuation mechanism, leading to welding defects, such as spatters and pores [5]. A vapour channel, also known as plume, is formed mainly due to the vaporization of the base material. Solute atoms, e.g., magnesium and other low vaporization point elements, mainly affect the vapour pressure. The plume instability is one of the most important reasons for keyhole fluctuation mechanism, leading to welding defects, such as spatters and pores [5]. A correlation between the vapour plume centre position and the depth of penetration was established by Brock et al. [6]. It has been observed that the vapour plume inclines in the direction of the melt pool as the penetration depth increases. It was suggested that by evaluating the shape of the vapour plume during the welding process, a closed loop control system could be implemented to achieve a desired penetration depth and avoid welding defects.

In summary, achieving a certain weld metal penetration depth could be achieved by a different set of variable parameters, e.g. power, travel speed and beam diameter, by understanding the interdependence of the variables. However, mutual interaction of the variable parameters would result in different weld bead profile, i.e. the width of the weld would be different for identical penetration depth. Therefore, for a specific penetration depth, the weld profiles can be widely different which would alter the heat-affected zone dimension and in turn the mechanical properties of the graded structure. This gives the desired flexibility in laser joining; however, a complete understanding of the interaction between laser and the substrate alloy is vital to exploit the flexibility in joint formation. The power factor model, developed for keyhole mode, gives the optimal laser parameter settings for laser power and travel speed for a given beam diameter, to obtain a specific weld profile. It was previously developed at Cranfield University by Suder and Williams [19], first for low carbon steel and then for titanium alloys. This study focusses on developing the laser alloy interaction for aluminium 5083 alloy which is one of the widely used cast alloys for general applications.

In this study, it has been intended to create a processing window for laser system parameters which allows choice of a laser power and travel speed to obtain depth of penetration and weld width suitable for an application. An analytical model would enable understanding the laser–alloy interaction for aluminium and develop the necessary underpinning understanding to transfer processing parameters to achieve similar results using different laser systems.

2 Mathematical formulation of the model

2.1 Definition of the fundamental laser material interaction parameters

Interaction of a laser beam with a workpiece is determined by the power density \( q_p \), time of irradiation and the size of the heat source on the workpiece. The analysis of the welding results was made using the fundamental laser material
interaction parameters (FLMIP), i.e. the power density, interaction time \((t_i)\) and specific point energy \((E_{SP})\), since these parameters can be used to replicate the welding process in different laser systems [20, 21]. The system parameters, used to calculate the FLMIP, are the following: laser output power \((P_L)\), welding speed \((v)\) and beam diameter \((d)\).

The power density is determined as the ratio of the laser output power to the area of laser spot on the surface \((A_S)\), which for a circular beam diameter is given by Eq. (1):

\[
q_p = \frac{P_L}{A_S} \text{[MW cm}^{-2}\text{]} \tag{1}
\]

Interaction time defines the time, in which a particular point is exposed to the laser beam in the weld centreline, whilst the beam is moving with a constant speed. The interaction time is defined as the ratio of the beam diameter in welding direction to the welding speed, given by Eq. (2):

\[
t_i = \frac{d}{v}[s] \tag{2}
\]

The energy delivered to any specific point on the weld centreline is called the specific point energy, and it is equal to the product of power density, interaction time and the area of the laser spot on the surface, as given by Eq. (3):

\[
E_{SP} = q_p t_i A_s = \frac{P_L d}{v}[J] \tag{3}
\]

### 2.2 Definition of power factor

The power factor model was developed by Suder and Williams [19]. They reported the influence of the fundamental laser material interaction parameters on low carbon steel. The results suggested that the power density and the specific point energy control the depth of penetration whilst the interaction time controls the weld width. Considering the first two parameters and their relationship with the beam diameter, the specific point energy (linearly dependent on the beam diameter) and the power density (inverse square of the beam diameter), the depth of penetration should be proportional to the ratio of the laser power to the beam diameter. From this, constant depth of penetration curves, independent of the beam size, was generated. Thus, the power factor \(P_F\) was defined by the product of power density and beam diameter, which also corresponds to the ratio of the laser power to beam diameter, as given by Eq. (4):

\[
P_F = q_p d = \frac{P_L}{d}\text{[W m}^{-1}\text{]} \tag{4}
\]

### 3 Experimental set-up

The material used was 5083 aluminium alloy with the following dimensions: 250 × 200 × 12 mm. The chemical composition is shown in Table 1.

An IPG YLR-8000 CW multimode fibre laser with a maximum power of 8 kW was used in the experiments presented in this study. The laser beam was delivered through an optical fibre with 300 μm of diameter and collimated with a 125-mm focal length lens. A set of focusing lenses with focal lengths of 200, 250 and 300 mm were used aiming to achieve different beam diameters. The characteristics of the laser beam, such as beam diameter and focus position, were measured by means of a Primes GmbH focus monitor. All laser beams exhibited a top-hat intensity distribution at the focal point. The beam diameter at the focal position for each set of focusing lens is shown in Table 2.

In all experiments, the welding process was carried out at the focal point, i.e. with the laser beam focused on the surface of the material, as shown in Fig. 1. The laser head was attached to a Fanuc robot with an angle of 10°, to protect the laser system from laser back reflection. The substrate was clamped on a linear stage which was used to produce linear bead on plate welds.

Pure shield argon was used as shielding gas with a flow rate of 30 l/min. In order to measure the depth of penetration and weld width, all welds were cross-sectioned in two different positions, polished and examined under the optical microscope. A standard procedure was used to prepare the metallographic samples. Keller’s was used to etch the welds and reveal the microstructure. The macrographs were analysed to determine weld width and depth of penetration and measured using an Carl Zeiss Axio Vision 4.8 image analysis software (https://www.zeiss.com/microscopy/int/products/microscope-software/axiovision.html). The depth of penetration measured considers the distance between the material surface and the bottom of the fusion zone. The experimental error was calculated based on the difference of the penetration depth

| Table 1 | Chemical composition of aluminium 5083 |
|---|---|
| | Chemical composition in %-wt of 5083 aluminium alloy |
| | Si | Fe | Cu | Mn | Mg | Zn | Ti | Cr | Al |
| | Max 0.4 | Max 0.4 | Max 0.10 | 0.4–1.0 | 4.0–4.9 | Max 0.1 | 0.05–0.25 | 0.05–0.25 | Bal. |
observed between the first and second position of the cross-sections for the same welding parameters. As the laser absorptivity by the material changes with the welding mode, i.e. conduction, keyhole and mixed mode [11], only the keyhole welds were considered in this work.

4 Methodology

4.1 Effect of power density and specific point energy

To investigate the effect of power density and specific point energy on the depth of penetration, a set of bead-on-plate welds with different beam diameters of 0.49, 0.61 and 0.75 mm were applied. For each beam diameter, different combinations of power and welding speed were used, resulting in a range of power density from 0.84 to 2.3 MW/cm² and specific point energy from 6.1 to 174 J, according to Eqs. (1) and (3), respectively. Since the power factor is dependent of specific point energy and power density, this section intends to prove that the same depth can be achieved with high specific point energy and low power density or vice versa. The set of parameters used for different beam diameters is shown in Table 3.

4.2 Effect of interaction time and power factor

The extension of the power factor model for aluminium was performed using a set of bead-on-plate welds. The effect on the depth of penetration and weld width was investigated in two steps: First, for a given $d$ of 0.61 mm, different combinations of power factor and interaction time were tested, by varying laser power and travel speed, respectively. These combinations resulted in a range of interaction time from 2.0 to 50 ms and a range of power factor from 4.0 to 12 MW/m, according to Eqs. (2) and (4), respectively. The widths and the depths of penetration of the fusion of the welds were examined and only three depths of penetration were considered (2.0, 4.0 and 6.0 mm), to make the representation of the results easier. Second, the same power factor and interaction time values were used with different beam diameters (0.49 and 0.75 mm), adjusting laser power and travel speed accordingly to Eqs. (2) and (4), respectively. It is intended to prove in this section that the same results can be obtained independently of the beam diameter used. All parameters are shown in Table 4.

5 Results and discussion

5.1 Effect of power density and specific point energy

Different combinations of laser power and travel speed were tested for one beam diameter of 0.61 mm. The results for depth of penetration of 2.0, 4.0 and 6.0 mm are plotted as a function of power density and specific point energy in Fig. 2. There is a strong correlation between power density and specific point energy for different values of depth of penetration. Welds with similar depth of penetration can be achieved with either high power density, if the specific point energy is low (Fig. 2a)), or with low power density, if the specific point energy is high (Fig. 2c)). To achieve the weld represented in Fig. 2c, power density needs to be reduced by means of laser power, considering constant beam diameter. On the other hand, a longer interaction time is required to achieve higher specific point energy. Therefore, for constant beam diameter, the travel speed is reduced according to Eq. (2). This implies that the weld width gets wider from point a to c.

The same trend between power density and specific point energy is observed when the welds are produced with different beam diameters. Figure 3 shows the connection between power density and specific point energy, correspondent to the

| Table 2 | Beam properties of different optical set-ups |
|---|---|---|---|---|---|---|
| Diameter of delivery fibre (μm) | Collimation lens (mm) | Focusing lens (mm) | Beam diameter (d) (mm) | Rayleigh length (mm) | Divergence angle (mrad) |
| 300 | 125 | 200 | 0.49 | 4.2 | 118.7 |
| 250 | 0.61 | 6.5 | 94.1 |
| 300 | 0.75 | 9.6 | 78.0 |

| Table 3 | Set of parameters used for different combinations of power density and specific point energy |
|---|---|---|---|---|---|
| Beam diameter (mm) | Power (kW) | Travel speed (m/min) | Interaction time (ms) | Power density (MW/cm²) | Specific point energy (J) |
| 0.49 | 2.4–4.0 | 1.0–4.0 | 7.3–29 | 1.3–2.1 | 18–95 |
| 0.61 | 3.0–7.0 | 0.73–18 | 2.0–50 | 1.0–2.3 | 6.1–174 |
| 0.75 | 3.7–6.2 | 1.5–6.0 | 7.3–29 | 0.84–1.4 | 27–107 |
welds with 4.0 mm of penetration produced with beam diameters of 0.49, 0.61, and 0.75 mm. As the beam diameter is variable, power and travel speed have to be adjusted to achieve the required level of power density and specific point energy, respectively, to keep the penetration constant. Thus, specific point energy can be varied by interaction time and beam diameter, according to Eq. (3). As beam diameter varies, the specific point energy of Fig. 3a–c varied only with beam diameter, instead of interaction time. Since the interaction time is constant (29 ms), the weld width is the same for all of them. This means that, when different beam diameters are used, the trade-off between specific point energy and power density only predicts the depth of penetration but not the weld width, as previously demonstrated for mild steel by Suder and Williams [19].

To achieve different depths of penetration, several combinations of parameters can be used. This can be an advantage because the laser user can choose the parameters according to the productivity or quality required. On the other hand, it complicates the selection of the right set of parameters to achieve a desired depth of penetration and weld width for different laser systems.

Due to the effect of the beam diameter, power and travel speed on the weld profile, it was necessary to create a model able to make the depth of penetration and the weld width independent from one parameter. The weld width is independent of the beam diameter; it is controlled by the interaction time and thermal properties of the material. Thus, the effect of beam diameter and travel speed on the weld profile can be defined by one parameter: the interaction time, according to Eq. (2). The dependence of the depth of penetration from power density and specific point energy can also be represented by only one parameter: the power factor, according to Eq. (4). The power factor model, previously established for mild steel, was shown in Fig. 4. It is evident that all data follows the same trend for different beam diameters, proving its independence from the depth of penetration. A certain combination of power factor and interaction time implies a certain depth of penetration. This model can only be applied in aluminium if the same trend lines of Fig. 4 are also achievable.

### Table 4

| Beam diameter (mm) | Power (kW) | Travel speed (m/min) | Interaction time (ms) | Power factor (MW/m) |
|-------------------|------------|----------------------|----------------------|---------------------|
| 0.49              | 2.4–4.0    | 1.0–4.0              | 7.3–29               | 4.9–8.2             |
| 0.61              | 2.4–7.0    | 0.73–18              | 2.0–50               | 4.0–12              |
| 0.75              | 3.7–6.2    | 1.5–6.0              | 7.3–29               | 4.9–8.2             |

5.2 Effect of interaction time and power factor

It was shown in Sect. 5.1 that a specific depth of penetration is achieved by a trade-off between power density and specific point energy for different beam diameters. To extend the application of the model to aluminium, it is necessary to investigate if the dependence of depth of penetration on laser power, travel speed and beam diameter can be represented by power factor and interaction time, as previously established for mild steel [19].

Starting for only one beam diameter of 0.61 mm, power factor and interaction time are strongly related with the depth of penetration, as shown in Fig. 5. The evolution of the weld profile for a constant depth of penetration of 6 mm is also shown in the same figure. Three different penetrations of 2.0, 4.0 and 6.0 mm can be achieved adjusting the power factor and the interaction time. However, the independence of the model from the beam diameter can only be proved if,
using different beam diameters, the same trend lines are achievable by adjusting the values of power and travel speed to create identical laser metal interaction conditions.

Analysing Fig. 5a, it is possible to conclude that the process is less stable for shorter interaction times and higher power factors, since entrapment of gas is visible, which means the keyhole is solidifying faster than the evolution of gas ion entrapments. Nevertheless, the process is more productive for these parameters, since shorter interaction time means higher travel speed, for constant beam diameter, according to Eq. 2. On the other hand, the welding speed is lower at higher interaction time, leading to less productivity. It can be seen in Fig. 5c that the overall weld area is larger, which also means the stringency of the fit-up tolerance is less. This evaluation has been done based on the comparison between the two cross-sections for each welding parameter, as described in Sect. 3, which demonstrated high consistency.

The effect of the beam diameter on the depth of penetration for an interaction time and a constant power factor is shown in Fig. 6. For this range of beam diameters, a constant depth of penetration is demonstrated for constant interaction times.

The weld profiles of Fig. 6 are shown in Fig. 7. Comparing Fig. 7a–c with Fig. 7d–f, it is evident that it is concluded that the power factor affects the depth of penetration, for constant values of interaction time. Increasing the power factor from 4.9 to 6.6 MW/m and using a constant interaction time of 7.3 ms, the depth of penetration increased from 2.0 to 3.0 mm. However, the average weld width in all the welds is 2.5 mm, which means that the power factor principally influence the depth of penetration. Comparing Fig. 7d–f with Fig.
for a constant power factor of 6.6 MW/m, increasing the interaction time from 7.3 to 14.7 ms, the average weld width increased from 2.5 to 3.6 mm. On the other hand, the depth of penetration also increased from 3 to 4.0 mm, once the specific point energy increased, according to Eq. (3).

There are two main factors responsible for changing the shape and properties of the welds: phenomenological and operational [22]. In the first factor is included the fluctuations of keyhole and melt pool, which can induce a difference of 10% on the total depth of penetration for partially penetrated welds, as previously reported by Suder and Williams [19] and also observed in Fig. 6. The low boiling point elements, high thermal conductivity and low viscosity are some of the reasons that make the keyhole laser welding of aluminium an unstable process causing also porosity and blow holes [23], which matches with Fig. 7b, i, respectively. However, the porosity level can also be improved by changing the incident angle of the laser, which is considered as an operating factor. For a forward incident angle of laser irradiation of 50°, Katayama et al. [24] found no porosity in the aluminium welds through an X-ray inspection. Nevertheless, for an incident angle of 10° (the same used in this study), some porosity was observed. It was also reported that undercut and bead irregularity can be created by the non-optimized shielding device and flow rate. Using argon shielding gas with a flow rate of 15 l/min, rough surfaces and undercut was shown. The same shielding gas was used in this study but with a flow rate of 30 l/min, which was not enough to avoid undercut and bead irregularity, as shown in Fig. 7.

Based on data from Figs. 6 and 7, it was proven that the same depths of penetration can be achieved by changing the beam diameter, keeping the power factor and the interaction time constants, by adjusting travel speed and power. Thus, the three different depths of penetration shown in Fig. 5 can also be achieved using two more beam diameters (0.49 and 0.75 mm). The result is the graph in Fig. 8. The characteristic variation follows a rectangular hyperbolic relationship between power factor and interaction time, as observed before (Fig. 4).

The shape of the weld-bead is determined by the laser welding input parameters. Despite the same penetration of Fig. 8a–c, the weld width is becoming wider along the trend line for higher values of interaction time and lower values of power factor, as shown previously in Fig. 5. This figure
highlights the importance of the model and how it differentiates from a parametric approach of welding parameter selection, which is limited in terms of maximum power and focus-capacity of the laser system. In contrast to the traditional approach, the power factor model allows the laser user to achieve a desired weld for an intended application based on a specific combination of power factor and interaction time, transferable for different optical set-ups.

5.2.1 Comparison of power factor vs interaction time characteristic curve for aluminium and steel

In this section, the characteristic curve of the power factor model is compared between aluminium and steel for a constant depth of penetration. Aluminium and steel have different properties, such as melting point, thermal conductivity and boiling point, which have influence on the laser-material interaction and therefore on the weld [25]. A rectangular hyperbolic relationship between power factor and interaction time is plotted in Fig. 9, for a penetration depth of 6 mm for both metals. This graph compares the data from the previous work done for steel (Fig. 4) with the final results of this study for aluminium (Fig. 8). The first observation is that to achieve a weld with 6 mm of depth up to 50 ms of interaction time, higher power factor is required for steel when compared to aluminium. This means that the power applied has to be higher than for aluminium, if the beam diameter is kept constant, according to Eq. 4. On the other hand, for constant power factor, higher interaction time must be applied on steel to achieve similar penetration, which means for constant beam diameter, the travel speed has to be lowered in steel as compared to aluminium, according to Eq. 2. This can be explained...
from the fact that steel (i.e. iron) has a higher melting and boiling point as compared to aluminium, and higher energy is necessary to the keyhole formation.

There is an inverse phenomena between shorter and longer interaction times. Analysing Fig. 9, the curve of aluminium is below the one for steel. Therefore, for the same values of power factor and interaction time, the penetration depth of aluminium is higher than that for steel. Nevertheless, for higher interaction times, there is an approximation of the trend lines for both metals. This could be related with the fact that conduction losses are not relevant for short interaction times [25], but they increase when the interaction time is higher. Since aluminium has higher conductivity and thermal diffusivity when compared to steel, the thermal losses become dominant in this regime, which can be seen by a wider melt pool (Fig. 8c). Consequently, to compensate these losses, more power is applied to aluminium in order to keep the penetration constant, which leads to a power factor increasing, according to Eq. 4. Hence, the gap between the trend lines gets inevitably narrower.

The thermal conductivity of the material assumes less relevance only for short interaction times, and the melting temperature of the metal is the most important physical property to take in consideration within this regime. However, for high interaction time, the capacity of aluminium to dissipate the heat is much higher than steel and the thermal conductivity overtakes the melting temperature as the most important physical property controlling the process. Therefore, based on the different thermal properties, it can be expected the application of the power factor model for other metals and the results presented in this study and in the previous work [19] can be used as a reference to achieve the welding parameters for a desired weld shape independent of the laser system and optical setup.

6 Conclusions

This study has shown that the power factor model is applicable to aluminium alloys.
• Constant penetration depth curves against interaction time and power factor for a range of beam diameters show the same behaviour as previously observed for mild steel.

• The depth of penetration is controlled by combinations of power density and specific point energy.

• The power factor model can be used for a selection of parameters necessary for a weld of specific dimension, such as width and depth of penetration.

• Independence from the beam diameter makes the model transferable for different optical setups.

• Although the overall shape of the power factor vs interaction time characteristic curves is similar for both aluminium and steel, the effect of different physical and thermal properties could be seen in the respective profiles.

Acknowledgements The research covered in this paper was funded by the Engineering and Physical Research Council (EPSRC), the Centre for Innovative Manufacturing in Laser-based Production Processes (EP/K030884/1).

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