INVESTIGATION OF TAUTOMERIC STRUCTURES OF 6-AZA-2-THIOURACIL-5-CARBOXYLIC ACID USING VIBRATIONAL SPECTROSCOPY ALONG WITH DFT THEORETICAL METHOD

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ABSTRACT

Molecular structure, tautomeric forms, vibrational modes, optic and electronic properties of 6-Aza-2-thiouracil-5-carboxylic acid (6A2T5CA) molecule were characterized by FT-IR, Raman, UV-VIS spectral methods and DFT method. The experimental results show that FT-IR and Raman spectroscopic methods could be used to determine the different tautomeric forms of 6A2T5CA molecule clearly. Although the title molecule has different tautomeric forms such as oxo and hydroxyl because of inter molecular interactions, it also has other tautomeric forms, due to intra molecular interaction of functional groups within the molecule. In particular, such structures change between each other depending on the solvent medium. Differences in some vibrational bands were observed in IR and Raman spectra. These differences were observed especially in N-H and O-H functional groups. In addition, vibrational modes, optimized structures, energy values, electronic transitions and HOMO-LUMO energy values of tautomeric forms were calculated using B3LYP/6-311G(2d,2p) level of theory. Experimentally obtained results were compared with theoretically obtained results and it was indicated that there was a good agreement with each other. It was also observed that the molecule has a different tautomeric form when it was dissolved in ethanol however, the molecule has another tautomeric form when it was dissolved in water.

Keywords: 6-Aza-2-thiouracil-5-carboxylic acid, DFT, FT-IR, Raman, Tautomeric forms

1. INTRODUCTION

Thiouracil, derived from uracil, is one of the four RNA bases. Although, thiouracils are uracil derivatives, when uracils are replaced with thiouracils, problems are observed in the translation of genetic information. Moreover, thiouracils and their derivative molecules have been used in a lot of areas such as antithyroid, anticancer, anti-HIV and heart disease treatments [1-3]. Theoretical and experimental investigations of thiouracil derivative molecules have been studied because of their various biological activities [4-6]. 2-thiouracil comprises an important derivative of pyrimidines. It is used as a cross-linking agent in RNA transcriptional regulation [7, 8]. Geometric parameters, vibrational properties of uracil and its thio-derivatives were carried out by IR, Raman spectra and DFT [9]. 2-thio-6-aza uracil and 2-thio-6-aza uracil molecules have been examined by ab initio quantum chemical calculations [10]. 6-Aza uracil has been used as an antitumor drug [11]. 2-Thio-6-aza uridine has been used as an active molecule against L1210 leukemia cells [12] Vibrational characterization of 2-thiouracil molecule using FT-IR and Raman spectroscopic method was performed in previously study [13]. Vibrational modes of uracil molecule were made using Ab initio calculations by Nishimura et al. [14]. In another study, the theoretical characterization of the 2-thiouracil molecule with DFT has been made [15]. The 4-thiouracil molecule similar to 2-thiouracil has been characterized using various spectroscopic methods [16] and this molecule theoretically characterized by ab initio and DFT [17, 18]. It has been observed that obtained the theoretical and experimental data are in agreement with each other. Since thiouracil molecule derivative molecules are biologically active molecules, theoretical and experimental characterization studies of these molecules are still continuing in the literature. Therefore,
Experimental and theoretical characterization of 6-aza-2-thiouracil-5-carboxylic acid (6A2T5CA) molecule, which is a thiouracil derivative, has been done in this study.

FT-IR and Raman spectroscopies have been used in the determination of structural characterization of molecular systems. Moreover, DFT calculations have been used along with vibrational methods. DFT is a reliable method in the determination of physical and chemical properties of the targeted molecules [19-22].

In present study, the most stable isomer of 6-aza-2-thiouracil-5-carboxylic acid (6A2T5CA) was studied based on the DFT results. Some geometrical parameters, vibrational wavenumbers of 6A2T5CA were computed at B3LYP/6-311G(2d, 2p) basis set. Moreover, experimentally obtained data were compared with theoretically obtained data. All of the results of the both theoretical and experimental studies were reported.

2. MATERIALS AND METHODS

6A2T5CA (95%) was bought from Sigma Aldrich. FT-IR spectrum was measured by Perkin Elmer Spectrum Two with preparing the KBr pellets. Raman spectrum of 6A2T5CA was collected by Renishaw Invia Raman spectrometer. Raman spectra were taken using 532 nm laser. UV-VIS absorption spectra were collected in water and ethanol solutions by Perkin Elmer Lambda 750 in the spectral region of 200–900 nm.

2.1. Calculation Details

Isomers of 6A2T5CA were analyzed using the B3LYP/6-311G(2d,2p) basis set in gas phase. Theoretical UV-VIS spectra were obtained using B3LYP/6-311G(2d,2p) method in the TD-DFT framework. Theoretical parameters of 6A2T5CA were calculated with Gaussian 09 [23]. GaussView 5.0.8 program was used to visualize of the chemical structure and vibrational spectra [24]. It was concluded that oxo isomer has lower energy than the hydroxyl isomer. Therefore, the experimental results were compared with oxo isomer of 6A2T5CA. Total energy distribution (TED) calculations were performed with scaled quantum mechanical (SQM) [25, 26]. In the SQM, the internal coordinates of all molecules are divided into some groups sharing a common scaling factor, and the factors for each group are defined by a least-squares fitting to experimentally obtained vibrational frequencies [25]. PED analysis allows quantitatively to describe the distribution of the motion of a given group of atoms. It is used to find the distribution of local mode energies in the overall energy of the normal mode [27].

3. RESULTS AND DISCUSSIONS

3.1. Geometrical Structure

Two different isomer forms of the title molecule were investigated in this study. Two different isomers of 6A2T5CA are shown in Fig. 1. All of the experimental results were compared with oxo isomer, because oxo isomer form of the title molecule has the lowest optimization energy. Moreover, some geometric parameters were computed and these computed parameters were compared with experimental results (Table 1). It was shown that there was an agreement between experimental and computed data.

Bond angles of C2-N7-C1, N7-C1-S and C1-N6-H4 were calculated as 119.993°, 119.993° and 120.011°, respectively. These values were given as 122.9, 122.2 and 121.0° in the literature [28]. Calculated C1-N6, N7-H5 and C1-S bond distances were found as 1.394, 1.091 and 1.680 Å. These values were founded as 1.389, 0.90 and 1.683 Å, respectively. Some geometric parameters of 6A2T5CA were shown in Table 2.
Table 1. Computed energy values and dipole moment values of title molecule by B3LYP/6-311G(2d,2p)

| Conformers | Energy | Energy Differences | Dipol Moment (Debye) |
|------------|--------|---------------------|----------------------|
|            | Hartree Kcal/mol | Hartree Kcal/mol |                      |
| Oxo        | -942.30122921 | -591303.07498831 | 0                    | 1.2078                |
| Hydroxy    | -942.28367890 | -591292.0620002  | 0.0175503            | 11.01298815           | 2.0654                |

Table 2. Some geometric parameters of 6A2T5CA

| Parameters       | Calculated [Å] | Experimental [16] |
|------------------|----------------|-------------------|
| Bond Lengths (Å) |                |                   |
| C1-N6            | 1.394          | 1.389             |
| N7-H5            | 1.091          | 0.90              |
| N6-H4            | 1.091          | 0.90              |
| C2-C3            | 1.395          | 1.432             |
| C1-S             | 1.680          | 1.683             |
| Bond Angles (°)  |                |                   |
| C2-N7-C1         | 119.993        | 122.900           |
| N7-C1-S          | 119.993        | 122.200           |
| C1-N6-H4         | 120.011        | 121.000           |
| C3-C2-N7         | 120.005        | 121.200           |
| O9-C2-C3         | 119.983        | 125.000           |

Figure 1. Possible isomer forms of the title molecule

Oxo and hydroxy forms following equations can be written for the mole fractions of individual conformers where a and b are oxo and hydroxyl, respectively:

\[
K_T = \frac{N_b}{N_a} \quad \text{and} \quad N_a + N_b = 1
\]

where \(K_T\) is conformational equilibrium constant between oxo and hydroxyl, \(N_a\) and \(N_b\) are mole fractions of conformers oxo and hydroxyl.
KT = e^{-ΔG/RT}, R=1987 x 10^3 kcal/mol.K, T = 298 K and δΔG = ΔGb - ΔGa [29]

The following mole fractions were obtained: Na = 0.66 and Nb = 0.34. Based on the calculations, the oxo form is the most stable and the most abundant conformer in the gas phase.

3.2. Vibrational Studies of 6A2T5CA

The vibrational frequencies, vibrational intensities and band assignments of the title molecule are given in Table 3. The experimental and calculated FT-IR and Raman spectra for the title molecule are given in Figures 2 and 3. Obtained experimental results were assigned to the theoretical results of oxo isomer of the title molecule.

Raman scattering activities were converted to Raman intensities using the given equation [30, 31]:

\[ I_i = \frac{f (v_0 - v_i)^4 S_i}{v_i \left(1 - e^{-\frac{hc v_i}{kT}}\right)} \]

Here, \(v_0\) is the laser exciting wavenumber (in this study, \(v_0 = 18797\) cm\(^{-1}\)), \(v_i\) the vibrational wavenumber of the \(i^{th}\) normal mode and \(S_i\) is the Raman scattering activity of the normal mode \(v_i\). \(f\) is an optional normalization factor for all peak intensities. \(h\), \(k\), \(c\) and \(T\) are Planck and Boltzmann constants, speed of light and temperature respectively.

3.2.1. OH modes

The OH stretching band is observed range from 3600 to 3400 cm\(^{-1}\) and this band appears as a very broad band in the FT-IR spectrum. OH vibration bands show intra and inter molecular hydrogen bonding [32]. In this study, O–H stretching band was observed at 3255 cm\(^{-1}\) in the FT-IR spectrum, at 3259 cm\(^{-1}\) in the Raman spectrum and this band was calculated as 3256 cm\(^{-1}\). Moreover, this mode is pure stretching mode because TED value of this mode was observed as 100% (Table 3). Both experimental and theoretical wavenumbers are an agreement with the literatures [33]. On the other hand, OH in-plane bending vibration was appeared at 1016 cm\(^{-1}\) in the FT-IR spectrum, at 1002 cm\(^{-1}\) in the Raman spectrum and calculated as 1040 cm\(^{-1}\). OH out plane bending vibration was observed at 592 cm\(^{-1}\) in FT-IR spectrum, 602 cm\(^{-1}\) in the Raman spectrum and calculated as 593 cm\(^{-1}\).

3.2.2. NH modes

In general, NH stretching vibrations have low intensities and they are observed as weak signals in the both FT-IR and Raman spectra [34]. NH stretching vibrations of the title molecule were observed at 3469 and 3423 cm\(^{-1}\) in the IR spectrum and calculated as 3468 and 3410 cm\(^{-1}\), respectively. The NH rocking mode in the FT-IR was found at 1418 cm\(^{-1}\), and 1422 cm\(^{-1}\) in the Raman spectrum. This mode was calculated as 1426 cm\(^{-1}\).

3.2.3. CC modes

CC stretching vibrations of the aromatic ring are very crucial because they determine characteristic of the aromatic ring. In the literature, CC stretching vibrations are observed between 1620 and 1320 cm\(^{-1}\) in the FT-IR spectrum [35]. The experimental and computed IR and Raman spectra of 6A2T5CA are given in Figures 2 and 3. CC stretching vibrations of the title molecule were observed at 1578 cm\(^{-1}\) and 1418 cm\(^{-1}\) in the FT-IR spectrum. These vibrations were observed at 1578 and 1422 cm\(^{-1}\) in the Raman spectrum and calculated as 1579 and 1426 cm\(^{-1}\), respectively. The C–C stretching vibrations are
generally pure modes because of their TED values. However, sometimes these modes can include other vibration modes. In this case, CC modes were contaminated by NH vibrations.

3.2.4. CN modes

The determination of CN vibrations is very difficult, because the area is contaminated with other vibration modes. In the literature, CN stretching modes are observed between 1295 and 1245 cm\(^{-1}\) [36]. CN stretching vibrations of the title molecule were observed at 1270, 1164 cm\(^{-1}\) in the FT-IR, at 1270 and 1176 cm\(^{-1}\) in the Raman spectrum and calculated as 1247 and 1182 cm\(^{-1}\), respectively. These vibration wavenumbers are in agreement with the literature [37]. CN stretching modes and their TED values are collected in Table 3.

3.2.5. CO modes

The CO vibrations are usually observed at high intensity and therefore these vibrations are very easy to separate from other vibration modes. In the literature, C-O vibrations are observed between 1000-1300 cm\(^{-1}\) and C=O vibrations are appeared in the range from 1750 and 1650 cm\(^{-1}\) [38, 39]. C-O vibrations of title molecule were appeared at 1325 and 1016 cm\(^{-1}\) in the FT-IR spectrum, at 1331 and 1002 cm\(^{-1}\) in the Raman spectrum, these vibrations were calculated as 1334 and 1040 cm\(^{-1}\), respectively. C=O vibrations of title molecule were appeared at 1735 and 1685 cm\(^{-1}\) in the FT-IR spectrum, at 1747 and 1690 cm\(^{-1}\) in the Raman spectrum. These vibrations were calculated as 1736 and 1674 cm\(^{-1}\), respectively.

The correlation graphics between experimental and computed wavenumbers are shown in Fig. 4. It was observed that there was a good agreement between experimental and calculated wavenumbers.

![Figure 2. Experimental (up) and computed (down) FT-IR spectra of 6A2T5CA.](image)
Figure 3. Experimental (up) and computed (down) Raman spectra of 6A2T5CA.

Figure 4. Plot of the experimental and theoretical wavenumbers 6A2T5CA.
Calculated and experimental vibrational wavenumbers were examined with root mean-square (RMS). RMS values were calculated by the following relationship [40]:

\[
RMS = \sqrt{\frac{1}{n-1} \sum_{i=1}^{n} (v_i^{\text{calc}} - v_i^{\text{exp}})^2}
\]
RMS value for FT-IR wavenumbers was calculated as 11.96 cm\(^{-1}\) and calculated as 15.66 cm\(^{-1}\) for Raman vibration. Differences have been because of hydrogen bonds vibrations observed between the experimental and theoretical wavenumbers. These vibrations cause strong perturbation of other vibrational modes [41].

3.3. UV–VIS Spectrum

Experimental UV–VIS spectra of 6A2T5CA were collected at room temperature in water and ethanol. Moreover, theoretical UV–VIS spectrum was obtained using B3LYP/6-311G(2d,2p) method in the TD-DFT framework. Theoretical wavelengths (\(\lambda\)), excitation energies (E), electronic transitions and experimental absorption wavelengths and energies are given in Table 4 in both water and ethanol. In addition, both experimental and theoretical UV–VIS spectra of title molecule were given in Fig. 5. Experimental values of maximum absorption are 213, 270 and 315 nm in water, 210, 271 and 317 nm in ethanol, respectively. Theoretical maximum absorption values were calculated as 209, 268 and 342 nm in water, 215, 269 and 332 nm in ethanol.

![Figure 5. Experimental and theoretical UV–VIS spectra of 6A2T5CA in water and ethanol](image)

**Table 4.** Experimental and calculated wavelengths (\(\lambda\)), excitation energies, of 6A2T5KA in water and ethanol

| \(\lambda\) (nm) | E (eV) | Assignments | \(\lambda\) (nm) | E (eV) |
|-----------------|--------|-------------|-----------------|--------|
|                 |        | B3LYP/6-311G(2d, 2p) |                 |        |
| In Water        |        | Experimental |                 |        |
| 209             | 5.93226 | \(\pi \rightarrow \pi^*\) | 213            | 5.82085 |
| 268             | 4.62628 | \(\pi \rightarrow \pi^*\) | 270            | 4.59201 |
| 342             | 3.62527 | \(\pi \rightarrow \pi^*\) | 315            | 3.93601 |
| In Ethanol      |        |             |                 |        |
| 215             | 5.7667  | \(\pi \rightarrow \pi^*\) | 215            | 5.7667  |
| 269             | 4.60908 | \(\pi \rightarrow \pi^*\) | 271            | 4.57506 |
| 332             | 3.73446 | \(\pi \rightarrow \pi^*\) | 317            | 3.91117 |
3.4. HOMO-LUMO Analysis

HOMO and LUMO are described as the highest occupied molecular orbital and lowest unoccupied molecular orbital, respectively [42]. HOMO, LUMO and HOMO-LUMO energy gaps have been performed with using DFT at B3LYP/6-311G(2d,2p) level of theory. The plots of HOMO and LUMO of the title molecule were given in Figure 5. Energy gap value of the title molecule was calculated as 3.790 eV. The nodes of HOMO orbital located on the sulfur, nitrogen and oxygen atoms. However, LUMO nodes were located symmetrically all over molecule. Also, charge of the sulfur atom shifted carbonyl group from HOMO to LUMO.

![Frontier molecular orbitals of title molecule.](image)

**Figure 5.** Frontier molecular orbitals of title molecule.
3.5. Molecular Electrostatic Potential Surface (MEPs) of 6A2T5CA

The MEP gives information about chemical reactivity of a molecule. The values of electrostatic potential are shown by different colors (from blue to red) which increases from red color to blue. In addition, positive, negative and neutral electrostatic potential regions can be obtained by the MEP surface [43, 44]. The MEPs of the title molecule are given in Fig. 6. The MEPs map of the title molecule indicated that near oxygen atoms have negative potential.

![Figure 6. MEPs map of 6A2T5CA](image)

4. CONCLUSION

In this study experimental IR and Raman spectra were obtained and theoretical IR and Raman spectra were analyzed using Gaussian 9.0 with B3LYP/6-311G(2d,2p) level of theory. Experimentally obtained wavenumbers of title molecule were compared with theoretically obtained wavenumbers. Two different isomers (oxo and hydroxyl) of the title molecule were examined and oxo isomer has the lowest optimized energy in the gas phase. It was observed that experimental and theoretical results were in alignment with each other. As a result, B3LYP/6-311G(2d, 2p) basis set can be used to investigation of vibrational and geometrical properties of the title molecule.

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CONFLICT OF INTEREST

The author stated that there are no conflicts of interest regarding the publication of this article.
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