Phase I study of TAS-102 treatment in Japanese patients with advanced solid tumours

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BACKGROUND: TAS-102 consists of α, α, α-trifluorothymidine (TFT) and an inhibitor of thymidine phosphorylase (TPI). We conducted a dose-escalation phase I study in Japanese patients with advanced solid tumours.

METHODS: TAS-102 was administered twice daily on days 1–5 and days 8–12 in a 28-day cycle to patients with solid tumours refractory to standard chemotherapy, to determine its maximum tolerated dose (MTD), dose-limiting toxicities (DLTs), and pharmacokinetics (PKs). MTD was evaluated in cycle 1.

RESULTS: Safety and PKs were evaluated in 21 patients treated with TAS-102 at 30, 40, 50, 60, or 70 mg m⁻² per day. DLTs, such as grade 4 leucopenia, grade 4 neutropenia, and grade 4 thrombocytopenia, were observed in two patients at doses of 30 and 70 mg m⁻². α, α, α-trifluorothymidine and TPI exposures increased dose dependently, and the percentage of decrease in neutrophil count and TFT exposure were significantly correlated. The disease control rate was 50.0% with a median progression-free survival of 2.4 months in 18 colorectal cancer patients. The dose of TAS-102 was not increased above 70 mg m⁻² per day because of the increased tendency for grade 3 and 4 neutropenia, and 70 mg m⁻² per day was the recommended dose for phase II studies.

CONCLUSIONS: TAS-102 at 70 mg m⁻² per day was tolerated in Japanese patients with advanced solid tumours. Phase II studies are ongoing in patients with colorectal cancer.

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α, α, α-trifluorothymidine (TFT), an analogue of thymidine, exhibits two mechanisms of anti-tumour action as follows: inhibition of thymidylate synthase, which is similar to the mechanism of action of 5-fluorouracil (5-FU), and creation of single-strand DNA breaks by incorporating the triphosphate form of TFT into DNA (Fujisawa and Heidelberger, 1970a; Fujisawa et al, 1970b). The anti-tumour effects of TFT on colon cancer cell lines and xenograft models refractory to 5-FU (Emura et al, 2004b; Temmink et al, 2007) are thought to be because of incorporation of TFT into DNA. The reason that TFT is able to affect DNA is that TFT is resistant to DNA glycosylase as compared with 5-FU (Suzuki et al, 2011). It is also reported that TFT incorporation into DNA causes instability of DNA (Markley et al, 2011). Thus, TFT has been proposed to be effective in patients refractory to 5-FU treatment.

In the initial phase I/II studies of TFT performed in the 1960s, different schedules of intravenous TFT administration were evaluated in chemotherapy-naïve patients with metastatic breast cancer and metastatic colorectal cancer. Although early clinical trials showed some anti-tumour activity of TFT, further development of this agent was not undertaken because of inadequate information about the pharmacokinetic (PK) and toxicity profiles (Heidelberger et al, 1970; Ansfield and Ramirez, 1971; Emura et al, 2004a). On the other hand, concomitant administration of TFT and thymidine phosphorylase inhibitor (TPI; 5-chloro-6- (2-iminopyrrolidin-1-yl) methyl-2, 4 (1H 3H) -pyrimidinedione hydrochloride) showed an improvement in the PK profile of TFT; thus, the plasma concentrations and anti-tumour activity of TFT increased because of inhibition of TFT degeneration (Fukushima et al, 2000). TAS-102 is an oral anti-cancer drug consisting of TFT and TPI combined at a molar ratio of 1 : 1.4. Initial clinical studies of TAS-102 were performed in 111 patients using various dosing schedules. On the basis of the results of five phase I studies and in consideration of the safety and efficacy, a dose of 50 mg m⁻² per day was defined as the recommended dose (RD); TAS-102 was given twice a day within 1 h after a meal for 5 days a week for 2 weeks, followed by a 2-week rest (Green et al, 2006; Hong et al, 2006; Overman et al, 2008a; 2008b). Granulocytopenia was consistently identified as a dose-limiting toxicity (DLT).

The primary objective of this phase I study was to establish the maximum tolerated dose (MTD) and DLTs in Japanese patients to determine the optimal phase II dose, and the secondary objective was to examine the PKs and preliminary efficacy of TAS-102.

PATIENTS AND METHODS

Patient population

The eligibility criteria in this study were as follows: (1) Japanese patients with advanced or metastatic solid tumours confirmed by
histological or cytological examination for which standard treatments have failed or no standard treatment exists; (2) age, from 20 to 74 years; (3) Eastern Cooperative Oncology Group performance status score of no more than 2; (4) a life expectancy of more than 12 weeks; (5) no chemotherapy within 3 weeks before participating in the study; (6) measurable or evaluable disease according to the Response Evaluation Criteria in Solid Tumors (RECIST), version 1.0; (7) adequate liver function (bilirubin levels $\leq 1.5 \text{ mg/dl}$ and transaminase levels $\leq 2.5$ times the upper limit of normal or $\leq 5$ times in the case of liver metastases); (8) adequate renal function (creatinine levels $\leq 1.5 \text{ mg/dl}$); and (9) adequate bone marrow function (absolute neutrophil counts $\geq 2000$ cells per mm$^3$, platelet counts $\geq 100,000$ cells per mm$^3$, and haemoglobin levels $\geq 9.0 \text{ g/dl}$). Patients with central nervous system metastasis, those with a history of extensive nervous system metastasis, those with a history of extensive radiation therapy within the past 6 weeks, and pregnant women were excluded from this study.

Pre-treatment evaluation and study procedures

Baseline evaluations, including computed tomography, chest radiography, and electrocardiography, were performed within 4 weeks before treatment, and medical histories, physical examinations, and laboratory tests were performed within 1 week before treatment.

Patients were considered to be evaluable if they were given TAS-102 at least once. Toxicity was evaluated according to the National Cancer Institute’s Common Terminology Criteria for Adverse Events, version 3.0. The study protocol was approved by independent ethics committees and the government authorities. All patients provided written informed consent. This trial was conducted in accordance with the Declaration of Helsinki (October 1996; JapicCTI-No.: JapicCTI-111545).

Study design, DLT, and MTD

This study was conducted using a conventional $3+3$ dose-escalation study design. The starting dose of $30 \text{ mg m}^{-2}$ per day was selected on the basis of the results of a previous study performed in the United States (Green et al, 2006). If a DLT occurred at a particular dose level, additional three patients were enrolled at the same dose level. If two or more DLTs occurred at a dose level, the next lower dose level was determined to be the MTD. Intra-patient dose escalation was not allowed.

A DLT was defined as the occurrence of any of the following toxicities during the first course of TAS-102 administration. Non-haematological toxicity (excluding nausea/vomiting) of grade 3 or more, nausea/vomiting of grade 3 or more uncontrolled by aggressive anti-emetic support, grade 4 neutropenia lasting 5 days or more, febrile ($\geq 38.5^\circ \text{C}$) neutropenia of grade 3 or more, grade 4 thrombocytopenia, or unresolved toxicities causing more than a 2-week delay of the next scheduled dose.

Before the next cycle of therapy could be initiated, patients were required to have a non-haematological toxicity of grade $\leq 1$, excluding alopecia, a platelet count $> 100,000$ cells per $\mu l$, and a granulocyte count $> 1500$ cells per $\mu l$. For patients who developed grade 3 or 4 toxicities, the TAS-102 dose was reduced by 10 mg per day for the next cycle to a minimum dose of $30 \text{ mg m}^{-2}$ per day.

Study treatment

The actual dose of TAS-102 for each patient was set to the nearest dose by a 10-mg increment. The 28-day cycle of treatment involved administration of TAS-102 twice a day within 1 h after a meal for 5 days a week for 2 weeks, followed by a 2-week rest. This treatment cycle was repeated every 4 weeks until disease progression or an unacceptable toxicity was observed. During cycle 1, the prophylactic administration of granulocyte-colony stimulating factor (G-CSF) or antibiotics was prohibited.

Pharmacokinetic analysis

Pharmacokinetic studies were performed during cycle 1. Blood samples were collected at 0, 0.25, 0.5, 1, 2, 4, 6, 8, and 10 h after TAS-102 administration on days 1 and 12. A urine sample was collected on day 1 before administration, and from 0 to 10 h after administration. The concentrations of TFI, TPI, and an inactive form of TAS-102 (FTY) in the plasma, and TFI and TPI concentrations in the urine were measured at the Toray Research Center, Inc. (Tokyo, Japan) using a validated liquid chromatography-coupled tandem mass spectrometry method.

Pharmacokinetic parameters of TFI and TPI in the plasma were determined by non-compartmental methods (WinNonlin v. 5.2; Pharsight, Mountain View, CA, USA). The maximum plasma concentrations ($C_{\text{max}}$) and time to maximum plasma concentrations ($T_{\text{max}}$) were determined from the highest concentration, and the time of at which it was observed. The area under the concentration-time curve ($AUC_{0-\infty}$) was calculated using the linear trapezoidal method from 0 to 10 h, and $AUC_{\text{inf}}$ was calculated using the linear trapezoidal rule from time zero to the time of the last quantifiable concentration, which was followed by extrapolation to infinity. The elimination half-life ($t_{1/2}$) was estimated from $\ln(2)/Ke$, where the terminal phase elimination rate constant (Ke) was estimated using log-linear regression during the terminal phase. The oral clearance ($CL/F$) was the body weight-normalised dose (mg kg$^{-1}$) divided by the $AUC_{\text{inf}}$ determined on day 1.

The volume of distribution ($Vd/F$) was $CL/F$ divided by Ke. The cumulative excretion of TFI and TPI in the urine (Ae) was the total amount of urinary excretion of each compound over the 10-h period after administration divided by the administered dose amount (% of dose).

Statistics

The number of patients in each cohort was based on a standard $3+3$ design for dose-escalation studies. We planned to enrol 21 patients to assess the safety and tolerability of TAS-102, depending on the observed toxicities. The DLT analysis set consisted of patients who had completed the first cycle in the DLT evaluation period. All patients who received TAS-102 at least once were included in the safety analysis. Pharmacokinetic analyses were performed on patients who received the scheduled dosage of TAS-102 and recorded dosing and sampling times correctly (PK analysis set). The efficacy analysis set consisted of patients with measurable disease at baseline. Descriptive statistics were provided for all endpoints by cohort. Continuous measurements were summarised with the central tendency (mean or median) and variability (s.d. or s.e.m.). Categorical data were summarised using frequency counts and percentages of patients. Correlations between the percentage decrease in neutrophil count and the log-transformed $C_{\text{max}}$ or $AUC_{\text{inf}}$ values of TFI on day 12 in course 1 were investigated by linear regression analyses (SAS v8.02 software, SAS Institute, Inc., Cary, NC, USA). The per cent change of neutrophil count was (pre-treatment count – nadir)/(pre-treatment count) $\times 100$, where the nadir of the neutrophil count was the minimum value in course 1.

RESULTS

Patient characteristics

Between March 2006 and July 2007, a total of 21 patients were enrolled (Table 1). The median age of the patients was 59 years (range, 38–68 years). The performance status of all patients was 0–2. The median number of prior chemotherapies was 3. Of the 21 patients, 18 had colorectal cancer. All patients had progressive disease during prior 5-FU treatment. All patients with colorectal
were enroled at the same dose level, and no DLTs were observed. At a dose of 40, 50, and 60 mg m\(^{-2}\), no DLTs occurred in any of the three patients enroled. At a dose of 70 mg m\(^{-2}\), one patient developed a DLT involving grade 4 neutropenia. However, no DLTs were observed in the additional three patients enroled at the same dose level. The second course was delayed in three of the six patients, and the third course was delayed in four of the four patients in the 70 mg m\(^{-2}\) treatment cohort because of the development of grade 3 or 4 neutropenia.

All patients were evaluable for tumour response according to RECIST version 1.0. Although no complete or partial responses were observed, 11 patients achieved stable disease, resulting in a disease control rate (DCR) of 52.3%. The treatment could be continued for 12 weeks in 8 patients. The median progression-free survival (PFS) and overall survival (OS) were 2.6 months and 10.2 months, respectively (Figure 1).

In colorectal cancer patients (\(n = 18\)), the DCR was 50.0%, including 6 patients who were able to continue treatment over 12 weeks. The median PFS and OS in this cohort were 2.4 and 9.8 months, respectively.

**Pharmacokinetics**

Pharmacokinetics were evaluated using plasma and urine samples (Table 3). Systemic concentrations of TFT and TPI increased linearly in correlation with increasing oral doses. Plasma TFT concentrations tended to increase with repeated administrations, and on day 12, AUC\(_{0-10}\) of TFT was about 2.6 times higher than that measured on day 1. In contrast, there were no obvious changes in the PK parameters of TPI and FY after repeated administrations. These tendencies were similar to the results after repeated, once daily administration. A significant inverse correlation was observed between the percent change in neutrophil count and TFT \(c_{\text{max}}\) (\(r = -0.678, P < 0.001\)) or AUC\(_{0-10}\) (\(r = -0.753, P < 0.001\); Figure 2). The cumulative percentage of the TFT and TPI doses excreted in the urine from 0 to 10 h after drug administration was 1% to 8% and 19% to 23%, respectively.

**DISCUSSION**

TAS-102, a thymidine analogue, is an orally administered anti-tumour drug. On the basis of this phase I trial, the RD for the subsequent phase II trial of TAS-102 in Japanese patients was determined to be 70 mg m\(^{-2}\) per day twice a day for 5 days a week for 2 weeks, followed by 2-week rest. The treatment was well tolerated at the phase II doses.

The common grade 3 and 4 adverse events were haematological toxicities. Two patients experienced DLTs during cycle 1; one patient developed grade 4 neutropenia, leucopenia, and thrombocytopenia after treatment at a dose of 30 mg m\(^{-2}\) per day, and the other patient experienced grade 4 neutropenia and leucopenia after treatment at a dose of 70 mg m\(^{-2}\) per day. Thus, the safety profiles of TAS-102 in the Japanese patients were similar to those of the American patients reported in previous studies (Green et al, 2006; Hong et al, 2006; Overman et al, 2008a; 2008b). Of the 21 patients, 3 were treated with G-CSF 3 weeks after the first administration of each cycle. Two of these three patients received G-CSF at cycle 1 to treat neutropenia evaluated as DLTs and neutropenia resolved. Seven patients received antibiotics prophylactically to treat the adverse events. Blood tests performed 14 days or later after the first administration were useful for finding the nadir of toxicities, because the toxicities tended to be observed about 21 days after the first administration in each course. TAS-102 treatment could be continued in almost all patients with adequate management of toxicity without developing serious adverse events. In this study, we did not examine the effects of a dose of 80 mg m\(^{-2}\) per day, because grade 3 neutropenia was observed in three of six patients.

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**Table 1 Patient characteristics**

| Characteristics | n (%) |
|-----------------|-------|
| **Sex**         |       |
| Male            | 14 (66.7) |
| Female          | 7 (33.3) |
| **Age**         |       |
| Median (range)  | 59 (38–68) |
| **ECOG PS**     |       |
| 0               | 16 (76.2) |
| 1               | 4 (19.0) |
| 2               | 1 (4.8) |
| **Primary lesion** |   |
| Colon/rectum    | 12 (57.1)/6 (28.6) |
| Gastric         | 1 (4.8) |
| Gastric + prostate | 1 (4.8) |
| Oesophagus       | 1 (4.8) |
| **Histological type** |   |
| Well differentiated | 6 (28.6) |
| Moderately differentiated | 12 (57.1) |
| Poorly differentiated | 2 (9.5) |
| Squamous cell    | 1 (4.8) |
| **Number of prior therapy** |   |
| Median (range)  | 3 (2–6) |
| ≤ 2             | 6 (28.6) |
| ≥ 3             | 15 (71.4) |
| **Prior therapy** |   |
| Include fluoropyrimidine, irinotecan, oxaliplatin | 18 (85.7) |
| Other            | 3 (14.3) |

Abbreviation: ECOG PS = Eastern Cooperative Oncology Group performance status.
at a dose of 70 mg m⁻² per day, and the higher dose (80 mg m⁻² per day) was thought not to be tolerated on the basis of the similarity in the toxicities observed in this study and those in the US study (Green et al., 2006).

Dose-dependent increases in TFT and TPI exposures were observed for doses up to 70 mg m⁻² per day. Although the number of patients included in the PK evaluation was limited and the administration schedules differed, it seems that there are no large differences in the PK parameter of TFT, the active component of TAS-102, between the Japanese and American patients, because CL/F, $T_{\text{max}}$, and $t_{1/2}$ values of American patients at a dose of 50 mg m⁻² per administration are approximately comparable to those of Japanese patients at a dose range from 15 to 35 mg m⁻² per administration. However, the RD in this study was higher than that in the US study, which had the same schedule design as this study (Green et al., 2006). The data obtained from several phase I studies in the United States provided information about managing various adverse events and about when to perform blood tests, and when to skip drug administrations. Therefore, in this trial, patients were hospitalised during cycle 1 for evaluation of DLTs and blood tests, and observation of symptoms was performed more closely and frequently compared with that in the US study. Thus, our study suggested a different RD as compared with the US study. Therefore, we are going to perform the dose-matching study in the United States to determine the optimal dose for global study.

Only 1% to 8% and approximately 20% of the administered doses were excreted into the urine as TFT and TPI, respectively. TPI and FTY exposure on day 12 did not show obvious alterations with repeated administration of TAS-102, but TFT exposure on day 12 was 2.6 times that on day 1. Moreover, the TFT exposure on day 12 was significantly correlated with the percent change of neutrophils in a concentration-dependent manner, which results in sequential increases in single-strand DNA breaks. Therefore, the triphosphate form of TFT is incorporated into DNA in the neutrophil count from baseline. These results suggest that the triphosphate form of TFT appears to be effective in a phase II study.

Although partial responses and complete responses were not confirmed, stable disease was observed in 11 patients. The DCR was 33.3% at doses of 30, 40, and 50 mg m⁻² per day; 100.0% at a dose of 60 mg m⁻² per day; and 66.7% at a dose of 70 mg m⁻² per day. These findings indicate the tendency that the DCR correlated with the dose. The median PFS in all patients was 2.5 months. Only 1% to 8% and approximately 20% of the administered doses were excreted into the urine as TFT and TPI, respectively. TPI and FTY exposure on day 12 did not show obvious alterations with repeated administration of TAS-102, but TFT exposure on day 12 was 2.6 times that on day 1. Moreover, the TFT exposure on day 12 was significantly correlated with the percent change of neutrophil count from baseline. These results suggest that the triphosphate form of TFT is incorporated into DNA in the neutrophils in a concentration-dependent manner, which results in sequential increases in single-strand DNA breaks. Therefore, the triphosphate form of TFT appears to be effective in a phase II study.
In this study, 18 of the 21 patients had colorectal cancer. The DCR, median PFS, and OS in the colorectal cancer patients was 50.0%, 2.4 months, and 9.8 months, respectively; however, the median OS of panitumumab and cetuximab in colorectal cancer patients refractory to 5-FU, irinotecan, and oxaliplatin was 8.6 and 6.4 months, respectively (Saltz et al., 2004; Hecht et al., 2007).

These results suggest that TAS-102 showed anti-tumour effects in colorectal cancer patients refractory to standard treatment and thus may be a promising agent, and it is meaningful to conduct the phase II trials of TAS-102 on colorectal cancer patients.

Recently, chemotherapy based on 5-FU, such as FOLFOX and FOLFIRI, have been established as a first- and second-line therapy for colorectal cancer, but tertiary and subsequent therapies remain to be established. Although cetuximab and panitumumab were effective in colorectal cancer patients refractory to standard therapy, these agents were not effective in patients with K-ras mutations. These results suggest that the efficacy of TAS-102 in patients refractory to 5-FU may be because of the differences in anti-tumour mechanisms of both agents, and the efficacy was presumed not to be affected by the K-ras status. The recent randomised phase II trial for colorectal cancer in Japan based on our phase I data has shown promising preliminary results (Kuboki et al., 2011). The PK and safety profiles reported in this phase I trial are important to determine the optimal dose and schedule used in a clinical setting. Studies on combination of TAS-102 with other molecular-target drugs should be performed in the future.

In conclusion, our Phase I study showed that TAS-102 was well tolerated up to doses of 70 mg m^{-2} per day of TAS-102 on day 12 in 1 course. (A) Relationship between TFT C_{max} and the percent change of the neutrophil count. (B) Relationship between TFT AUC_{inf} and the percent change of the neutrophil count. Each symbol denotes an individual value.

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**Conflict of interest**

The authors declare no conflict of interest.
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