Black hole and BTZ-black string in the Einstein-$SU(2)$ Skyrme model

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We present novel analytic hairy black holes with a flat base manifold in the $(3+1)$-dimensional Einstein $SU(2)$-Skyrme system with negative cosmological constant. We also construct $(3+1)$-dimensional black strings in the Einstein $SU(2)$-nonlinear sigma model theory with negative cosmological constant. The geometry of these black strings is a three-dimensional charged BTZ black hole times a line, without any warp factor. The thermodynamics of these configurations (and its dependence on the discrete hairy parameter) is analyzed in detail. A very rich phase diagram emerges.

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I. INTRODUCTION

The idea that one can make up fermions out of a purely Bosonic Lagrangian as solitonic excitations (for a detailed review see [1]) is one of the most remarkable results in Quantum Field Theory (QFT henceforth). Skyrme’s theory [2] is the most important example in nuclear and particles physics. When the Skyrme term is included in the low energy action of Pions, static soliton solutions with finite energy, called Skyrmions (see [3]-[6]) describing Fermionic degrees of freedom are allowed (see [7]-[15] and references therein). The agreement of the theoretical calculations with experiments is quite good. However, the Skyrme field equations are very difficult to solve (one reason being that the Skyrme-BPS bound cannot be saturated in the generic case) and so, until very recently, basically no analytic solution of the Skyrme field equations in which one could analyze explicitly the effects of the Skyrme term was available.

Due to the close relation of the Skyrme theory with the low-energy limit of QCD, the Einstein-Skyrme system has attracted a lot of attention. The first important results in this topic were constructed numerically. In particular, Droz, Heusler, and Straumann [16] (following the findings of Luckock and Moss [17]) constructed black hole solutions with a non-trivial Skyrme hair with a spherically symmetric ansatz. Such counterexample to the no-hair conjecture is also stable against linear perturbations [18]. In [19] and [20] gravitating solitons and their dynamical features have been also considered.

When the Skyrme coupling constant vanishes, the Skyrme action reduces to the nonlinear sigma model, which is a very important effective field theory in itself. The applications of the nonlinear sigma model range from quantum field theory to statistical mechanics systems, to the quantum hall effect, to super fluid $^3$He and string theory [21]. The main use for the $SU(2)$ nonlinear sigma model is, probably, the description of the low-energy dynamics of Pions (see for instance [22], or for a detailed review [23]). Therefore, the Einstein nonlinear sigma model system is also a very important topic, and the construction of analytical solutions is as relevant as the Einstein-Skyrme system itself, since in the same way, until recently only numerical solutions had been found$^4$.

It is worth to emphasize that the search for analytic solutions in models such as the Skyrme model, the nonlinear sigma model and their gravitating counterparts is not just of academic interest. For instance it was a well known fact, from a numerical point of view, that the Skyrmions in flat spaces becomes unstable, when a too large isospin chemical potential is introduced. But only very recently, in [24] and [25], it was derived an analytic formula for this critical chemical potential which also clarifies the physical mechanism behind this instability. The hope is that these techniques, which lead to such important step in the analysis of the Skyrme phase diagram on flat spaces, will also be useful in clarifying the phase diagrams of hairy black holes in the Einstein-Skyrme system, as well as in the Einstein-nonlinear sigma model system. Besides the intrinsic interest of these phase diagrams, the hairy black holes and black strings solutions which will be constructed here have potentially many applications in the context of AdS/CFT correspondence (see [26], [27] and references therein).

Using some recent results on the generalization of the hedgehog ansatz to non-spherically symmetric configura-

$^1$ If a suitable interaction potential is included, some interesting analytic solutions can be constructed [46].
tions \(^2\) \cite{28}-\cite{43} \(^3\), we construct analytic black holes with flat horizons possessing a discrete hairy parameters: to the best of authors knowledge, these are the first examples of this type in Einstein-Skyrme theory. The thermodynamics of these black holes is analyzed in details and a very rich phase diagram is disclosed.

The same techniques also allow to construct black strings in the \((3+1)\)-dimensional Einstein nonlinear sigma model theory with negative cosmological constant: the \((2+1)\)-dimensional transversal sections of these black strings correspond to a charged BTZ black hole. The novel feature of these black strings is that (unlike what happens, for instance, in the BTZ black string constructed in \cite{47}) the present charged BTZ black string has no warping factor as the metric is really the direct product of a charged BTZ with a line.

In the following section we review the Einstein-Skyrme and Einstein nonlinear sigma model systems. In Sec. III, a pedagogical overview of the generalized hedgehog ansatz is presented and the matter field and metric ansatz are constructed. In Sec. IV, the field equations and the solutions for some interesting cases are shown. In Sec. V, thermodynamics and stability of our solutions is discussed. Concluding remarks and future prospects are summarized in the last section. Some useful formulas are collected in the appendix.

II. THE SU(2) EINSTEIN - SKYRME AND EINSTEIN - NONLINEAR SIGMA MODEL SYSTEMS

The Skyrme Lagrangian describes the low-energy interactions of pions or baryons. This observation of Skyrme was, and still is, remarkable because it provided with the first example of a purely Bosonic Lagrangian able to describe both bosonic and Fermionic degrees of freedom. The SU(2) Skyrme field is a SU(2)-valued scalar field described by the following action

\[ S = S_G + S_{\text{Skyrme}}, \]

where the gravitational action \(S_G\) and the Skyrme action \(S_{\text{Skyrme}}\) are given by

\[ S_G = \frac{1}{16\pi G} \int d^4x \sqrt{-g} (R - 2\Lambda), \]

\[ S_{\text{Skyrme}} = \int d^4x \sqrt{-g} \text{Tr} \left( \frac{F^2}{16} - \frac{1}{2} \epsilon_{\mu\nu} F_{\mu\nu} F^{\mu\nu} \right). \]

Here \(R_\mu\) and \(F_{\mu\nu}\) are defined by

\[ R_\mu = U^{-1} \nabla_\mu U, \]

\[ F_{\mu\nu} = [R_\mu, R_\nu], \]

while \(G\) is the Newton constant and the positive parameters \(F_\infty\) and \(e\) are fixed by comparison with experimental data. The Skyrme fields satisfy physically reasonable reasonable condition, such as the dominant energy condition \cite{48}.

For convenience, defining \(K = F^2/4\) and \(\lambda = 4/(e^2 F^2)\), we write the Skyrme action as

\[ S_{\text{Skyrme}} = \frac{K}{2} \int d^4x \sqrt{-g} \text{Tr} \left( \frac{1}{2} R_\mu R^\mu + \frac{\lambda}{16} F_{\mu\nu} F^{\mu\nu} \right). \]

The nonlinear sigma model corresponds to the \(\lambda \to 0\) limit of the above action. The resulting Einstein equations are

\[ G_{\mu\nu} + \Lambda g_{\mu\nu} = 8\pi GT_{\mu\nu}, \]

where \(G_{\mu\nu}\) is the Einstein tensor and

\[ T_{\mu\nu} = -\frac{K}{2} \text{Tr} \left( R_\mu R_\nu - \frac{1}{2} g_{\mu\nu} R^\alpha R_\alpha \right) + \frac{\lambda}{4} \left( g^{\alpha\beta} F_{\mu\alpha} F_{\nu\beta} - \frac{1}{4} g_{\mu\nu} F_{\alpha\beta} F^{\alpha\beta} \right). \]

The Skyrme equations are written as

\[ \nabla^\mu R_\mu + \frac{\lambda}{4} \nabla^\mu [R^\nu, F_{\mu\nu}] = 0. \]

Hence, the full Einstein-sigma model field equations correspond to the \(\lambda \to 0\) limit in Eqs. (2.7), (2.8) and (2.9).

The winding number for a given solution is given by

\[ B = \frac{1}{24\pi^2} \int \rho_B, \quad \rho_B = \text{Tr} (e^{ijk} A_i A_j A_k). \]

It is well known (see, for instance, \cite{21} and references therein) that the above integral is a conserved topological charge of the theory. When the topological density \(\rho_B\) is integrated on a space-like surface, \(B\) is the Baryon number of the configuration.

Here \(R_\mu\) is expressed as

\[ R_\mu = R_\mu^i \tau_i, \]

in the basis of the SU(2) algebra generators

\[ \tau^i = i\sigma^i, \]

where \(\sigma^i\) are the Pauli matrices, the Latin index \(i = 1, 2, 3\) corresponds to the group index, which is raised and lowered with the flat metric \(\delta_{ij}\), which identically satisfy

\[ \tau^i \tau^j = -\delta^{ij} \mathbf{1} - \varepsilon^{ijk} \tau^k, \]

where \(\mathbf{1}\) is the identity \(2 \times 2\) matrix and \(\varepsilon_{ijk}\) and \(\varepsilon^{ijk}\) are the totally antisymmetric Levi-Civita symbols with \(\varepsilon_{123} = \varepsilon^{123} = 1\).
The standard parametrization of the SU(2)-valued scalar $U(x^\mu)$:

$$U(x^\mu) = Y^0 1 + Y^i \tau_i, \quad U^{-1}(x^\mu) = Y^0 1 - Y^i \tau_i,$$  
(2.13)

where $Y^0 = Y^0(x^\mu)$ and $Y^i = Y^i(x^\mu)$ satisfy

$$(Y^0)^2 + Y^i Y^i = 1.$$  
(2.14)

Thus, as expected in the SU(2) case, the theory describes three scalar degrees of freedom due to the constraint in Eq. (2.14). From the definition (2.4), $R^k$ is written as

$$R^k = e^{ijk} Y_i \nabla Y_j + Y^0 \nabla \mu Y^k - Y^k \nabla \mu Y^0.$$  
(2.15)

Another convenient way (which will be used in the following) to describe SU(2)-valued scalar field uses the Euler angle representation (for a detailed review see [49]). In this representation, the most general SU(2)-valued scalar field can be written as

$$U(x^\mu) = e^{\tau_3 u_1(x^\mu)} e^{\tau_2 u_2(x^\mu)} e^{\tau_3 u_3(x^\mu)},$$  
(2.16)

As it happens in the standard representation for SU(2)-valued scalar field (in Eqs. (2.13) and (2.14)), in the Euler angle representation $^4$ in Eq. (2.16) there are three scalar degrees of freedom: the three scalar functions $u_1(x^\mu)$, $u_2(x^\mu)$ and $u_3(x^\mu)$. Thus, in order to solve the Skyrme field equations in the Euler angle representation one needs to construct a good ansatz for $u_1(x^\mu)$, $u_2(x^\mu)$ and $u_3(x^\mu)$: we will outline the strategy to build such an ansatz in the next section.

III. Matter Field and Metric Ansatz

A very important class of black holes both from the strictly theoretical viewpoint as well as from the point of view of holographic applications corresponds to hairy black holes with flat horizons and negative cosmological constant (see [26], [27] and references therein). The interest in black holes with hairy parameters arises from the fact that often such black holes exhibit a very complex thermodynamical behavior. The interest in having flat horizons with negative cosmological constant lies in the possibility to describe, via the AdS/CFT correspondence, very interesting field theories on the boundary of the black hole space-time itself. It is usually quite difficult to construct hairy black holes in sectors of the standard model minimally coupled with General Relativity. These considerations are behind our interest in constructing this type of configurations within the Einstein-Skyrme system as it describes the minimal coupling of (the low energy limit of) QCD with General Relativity.

A. The main theoretical tool: the generalized hedgehog ansatz

In this subsection, the concept of hedgehog ansatz in the Einstein-Skyrme system will be shortly described. The technical difficulty to construct analytic black hole configurations in the Einstein-Skyrme system arises from the fact that already the Skyrme field equations on flat space-times in themselves are a very difficult nut to crack (see [4] and references therein). Thus, one may argue that the situation in the coupled Einstein-Skyrme system is even worse. In fact, quite recently an effective strategy suitable to deal with this type of problems has been developed in the references [28]-[43]. Such a strategy is divided into two steps.

The first step: identify the symmetries of the space-times of interest in such a way to distinguish clearly the Killing coordinates from the non-Killing coordinates of the metric.

The second step: choose the SU(2) valued ansatz $U$ in such a way that it also depends on the Killing coordinates of the metric of interest with the additional (very important) condition

$$\mathcal{L}_R U \neq 0, \quad \mathcal{L}_R T^\mu_\nu = 0,$$  
(3.1)

where $\mathcal{L}_R$ is the Lie derivative along the Killing fields (denoted by $\vec{K}$) of the metric while $T^\mu_\nu$ is the energy-momentum tensor (defined in Eq. (2.8)) corresponding to the SU(2) valued ansatz $U$ itself. The possibility to implement the above strategy arises from the non-trivial internal symmetry group of the field theory minimally coupled with gravity.

The requirement in Eq. (3.1) asks to find an ansatz which is not invariant under the symmetries of the metric but which, nevertheless, possesses an energy-momentum tensor which is compatible with the symmetries of the space-time of interest. Such a condition is somehow rigid since often it allows to determine the functional form of the ansatz itself almost completely.

Once Eq. (3.1) has been satisfied, it is usually a quite easy task to verify whether or not there is still enough freedom left in $U$ to be able to solve (at least numerically) the Skyrme field equations in the metric of interest.

Thus, the above two steps (and in particular Eq. (3.1)) summarize the generalized hedgehog ansatz.

The word "generalized" arises from the following fact. In the original papers by Skyrme [2], the spherically sym-

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4 If necessary, one can pass from one representation to the other (as it is a standard computation to express $u_1(x^\mu)$, $u_2(x^\mu)$ and $u_3(x^\mu)$ in terms of the $Y_0$ and $Y_\xi$ in Eqs. (2.13) and (2.14) using, for instance, the results in [49]). However, the novel results presented here are more easily expressed in the Euler angle representation.

5 It is worth to emphasize that, in the simple case of one scalar field (without internal symmetries) minimally coupled with general relativity, this is not what one would do. Consider, for instance, a static spherically symmetric space-time. The most obvious ansatz for the scalar field would be to assume that the scalar field only depends on the (non-Killing) radial coordinate.
metric hedgehog ansatz was

\[ U_S(x^\mu) = \cos(\alpha(r)) \mathbf{1} + \sin(\alpha(r)) n^j \gamma_j , \]

\[ ds^2 = -dt^2 + dr^2 + r^2 (d\theta^2 + \sin^2 \theta d\varphi^2) , \]

\[ n^1 = \sin \theta \cos \varphi , \quad n^2 = \sin \theta \sin \varphi , \quad n^3 = \cos \theta , \]

where \( \alpha(r) \) is the so-called Skyrmion profile. Although Skyrmie arrived at his ansatz following a different reasoning, it is a direct computation to verify that the ansatz in Eqs. (3.2) and (3.4) satisfies Eq. (3.1) in which the Killing fields \( \vec{K} \) correspond to the \( SO(3) \) rotations of the flat metric in Eq. (3.3). In other words, the Skyrmie ansatz could have been found solving Eq. (3.1) in a spherically symmetric metric in which the Killing fields \( \vec{K} \) correspond to the \( SO(3) \) rotations. Once the functional form of the ansatz has been restricted by Eq. (3.1), one can plug in it into the three Skyrmie field equations Eq. (2.9) corresponding to the metric with the Killing fields \( \vec{K} \). In the original case analyzed by Skyrmie, it can be directly verified that when one plug Eqs. (3.2) and (3.4) into Eq. (2.9) for the metric in Eq. (3.3) the three Skyrmie field equations become proportional, so that the full system of three coupled field equations reduces to just one scalar equation for the Skyrmion profile \( \alpha(r) \).

All the above very convenient properties of the original spherical hedgehog ansatz are well known of course. However, what was not widely appreciated in the literature is that one can construct ansatz with similar nice properties even without spherical symmetry. The key point is that the condition in Eq. (3.1) makes sense in more general situations than spherically symmetric space-time. Indeed, this simple observation in [28] [29] allowed to find the first non-trivial analytic solutions in Skyrmie and Einstein-Skyrme theories in [30] [31] [35] [24] [25]. These are the reasons behind the name generalized hedgehog ansatz.

In the present case, there is an additional technical problem. We are interested in hairy black holes, thus we look for configurations possessing neither topological nor Noether charges related with the isospin symmetry. This issue will be analyzed in the next subsection.

1. An example

Before going into the details of the novel results, here we will describe an example (which corresponds to the first analytic gravitating Skyrmions in (3+1)-dimensional Einstein-Skyrme system found in [35]) in which the strategy outlined above works perfectly. Let us consider the following space-time metric (the first step of the strategy)

\[ ds^2 = -dt^2 + \rho(t)^2 [(d\gamma + \cos \theta d\varphi)^2 + d\theta^2 + \sin^2 \theta d\varphi^2] , \]

\[ 0 \leq \gamma \leq 4\pi , \quad 0 \leq \theta < \pi , \quad 0 \leq \varphi < 2\pi . \]

The spatial \( t = \text{const} \) sections of the above metric are three-spheres. Consequently, the above metric possesses all the Killing fields of the three-sphere (and \( \gamma , \theta \) and \( \varphi \) can be considered to be Killing coordinates). The only non-trivial “non-Killing” coordinate is the time \( t \).

The second step of the strategy corresponds to find an ansatz of \( U \in SU(2) \) such that

\[ \mathcal{L}_{\vec{K}} U \neq 0 , \quad \mathcal{L}_{\vec{K}} T_{\mu \nu}^U = 0 , \]

where \( \vec{K} \) are the Killing field of the three-sphere. The solution to the above condition is given by

\[ U(x^\mu) = Y^0(x^\mu) I \pm Y^i(x^\mu) t_i , \quad (Y^0)^2 + Y^i Y_i = 1 , \]

\[ Y^0 = \cos \alpha , \quad Y^i = n^i \sin \alpha , \]

\[ n^1 = \sin \Theta \cos \Phi , \quad n^2 = \sin \Theta \sin \Phi , \quad n^3 = \cos \Theta \]

where

\[ \Phi = \frac{\gamma + \varphi}{2} , \quad \tan \Theta = \frac{\cos \left( \frac{\theta}{2} \right)}{\cos \left( \frac{\gamma - \varphi}{2} \right)} , \quad \tan \alpha = \frac{\sqrt{1 + \tan^2 \Theta}}{\tan \left( \frac{\gamma - \varphi}{2} \right)} . \]

As it has been already emphasized, at a first glance the situation is quite dangerous since the condition in Eq. (3.1) is rather rigid as, in this example, it fixes the ansatz for the \( SU(2) \)-valued scalar field completely while the Skyrmie field equations have not been considered yet! Nevertheless, remarkably (as it was shown in [35]), when one plugs the ansatz in Eqs. (3.7) and (3.8) into the Skyrmie field equations in Eq. (2.9) in the metric in Eq. (3.5), the Skyrmie field equations are identically satisfied. Thus, despite the fact that the condition in Eq. (3.1) gives rise to an ansatz in which, basically, there is no freedom left, the resulting ansatz is very well suited to solve the Skyrmie field equations. Thus, we are only left with the problem to solve the Einstein equation with the energy-momentum tensor in Eq. (2.8) corresponding to the ansatz in Eqs. (3.7) and (3.8). In fact, the generalized hedgehog strategy has been designed in such a way that this last step is compatible: the condition \( \mathcal{L}_{\vec{K}} T_{\mu \nu}^U = 0 \) precisely ensures that the resulting energy-momentum tensor is a consistent source for the metric in Eq. (3.5). A direct computation shows [35] that the Einstein-Skyrme equations reduce\(^6\) in this example to

\[ \rho'^2 = \frac{\Lambda}{3} \rho^2 + \frac{\lambda \kappa K}{32 \rho^2} + \frac{\kappa K - 2}{8} , \quad \rho'' \rho' = \frac{\Lambda}{3} \rho'^2 - \frac{\lambda \kappa K}{32 \rho^3} , \]

where (') denotes derivative with respect to the time coordinate, \( t \). In the following sections, it will be shown that this strategy works very well even when the metric of interest has different symmetries.

B. The concrete ansatz for the novel solutions

The first step of the generalized hedgehog strategy is to identify the symmetries of the class of metric of interest.

\(^6\) Note that these equations corresponds to the ones in reference [35] by rescaling \( \rho \rightarrow 2 \rho \).
In the present case, the natural metric ansatz describing both black holes with flat horizons and black strings is

\[ ds^2 = -A(r)dt^2 + B(r)dr^2 + C(r)d\theta^2 + D(r)d\phi^2 , \]

where the range of the angular coordinates can be fixed as

\[ 0 \leq \theta \leq \pi , \quad 0 \leq \phi \leq 2\pi . \]

The second step requires to solve Eq. (3.1) for a SU(2) valued scalar field which also depend on the Killing coordinates \( \theta \) and \( \phi \) of the metric in Eq. (3.10). Form the viewpoint of the generalized hedgehog approach, the simplest possibility is actually to search for an ansatz \( U \) which only depends on such Killing coordinates \( \theta \) and \( \phi \). As it will be now shown, this approach does lead to novel and interesting solutions. A further motivation behind this choice is that we are interested in black holes with hairy parameters. These configuration are easier to identify in case of absence of extra parameters related to topological or Noether charges coming from the SU(2) symmetry of the matter field. The simplest way to avoid the presence of a non-vanishing topological charge is to allow the matter field to only depend on two coordinates (which, according to the generalized hedgehog strategy, should then be Killing coordinates) as in this case \( \rho_B \) in Eq. (2.10) vanishes identically.

In the cases in which the Killing fields of the metric of interest are commuting (as for the metric in Eq. (3.10)) it is more convenient to use the Euler angle representation in Eq. (2.16). The simplest non-trivial possibility is

\[ U = e^{\tau_2 u_2(\theta, \phi)} e^{\tau_3 u_3(\theta, \phi)} , \]

with \( u_i \) two real functions of the Killing coordinates \( \theta \) and \( \phi \) so that, obviously, one has

\[ \mathcal{L}_K U \neq 0 \]

where

\[ \mathcal{L}_K = (\partial_\theta , \partial_\phi) . \]

It is worth to emphasize here that the ansatz in Eq. (3.12) does not possess Noether charges associated to the internal symmetry group of the theory (the global Isospin group SU(2) in the present case). The reason is that such a Noether charge would be the spatial integral of the time-component of the corresponding Noether current. On the other hand, the time-component of the Noether current is proportional to

\[ J_t \sim U^{-1} \partial_t U + \frac{\lambda}{4} [U^{-1} \nabla^\nu U, F_{\nu\mu}] , \]

\[ F_{\mu\nu} = [U^{-1} \partial_\mu U, U^{-1} \partial_\nu U] , \]

so that it vanishes identically since the configuration is static. Hence, the ansatz for the scalar field we will consider here does carry neither topological nor Noether charges.

The (second part of the) second step of the strategy is now to solve the following equation

\[ \mathcal{L}_K T^{\mu\nu} = 0 \]

in which \( T^{\mu\nu} \) is the energy-momentum tensor (defined in Eq. (2.8)) corresponding to the ansatz in Eq. (3.12) and to the metric in Eq. (3.10). The solution to the above condition is given by \( u_2(\theta) = b_1 \theta/2 \) and \( u_3(\phi) = b_2 \phi/2 \). In matrix form, this solution corresponds to the following \( U \):

\[ U = \left( \begin{array}{cc} e^{i b_2 \phi} \cos(b_1 \theta/2) & e^{-b_2 \phi} \sin(b_1 \theta/2) \\ -e^{b_2 \phi} \sin(b_1 \theta/2) & e^{i b_2 \phi} \cos(b_1 \theta/2) \end{array} \right) , \quad b_i \in \mathbb{R} . \]

Once again, as it also occurred in the example described in the previous subsection, the ansatz produced by the present strategy is quite rigid and, in this case, only two integration constants \( b_1 \) and \( b_2 \) are left.

Nevertheless, also in this case a direct computation reveals that the Skyrme field equations Eq. (2.9) with the ansatz in Eqs. (3.12) and (3.13) are identically satisfied in any metric of the form in Eq. (3.10). This is the big technical achievement of the generalized hedgehog strategy developed in [28]-[43]: it allows to reduce the full Einstein-Skyrme system just to the Einstein equations with the energy-momentum tensor of the Skyrme field (as the Skyrme field equations, which usually are the difficult part of the problem, are identically satisfied). Moreover, by construction, the energy-momentum tensor is compatible with the symmetries of the metric of interest (due to Eq. (3.1)).

1. The topology of the horizon

In this article we will be mainly interested in compact horizons, therefore we need to impose (anti)periodic boundary conditions for the Skyrme field (see [4], [12]), that is, any solution of the form in Eq. (3.13) of the Skyrme field equations in the metric Eq. (3.10) must satisfy

\[ U(\theta, \phi) = \pm U(\theta + n\pi, \phi + 2m\pi) , \]

with \( n, m \) integers. In order to have a well defined \( U \) the integration constants \( b_i \) must be integers numbers\(^7\),

\[ \{ b_1 , b_2 \} \in \mathbb{N} . \]

These \( b_i \) parameters, as can be seen from (3.13), are related to number of coverings of the SU(2) group. The angles identifications we are considering determines

\[^7\text{In principle, one can choose a different range for the coordinates to rescale these values with the metric functions and coordinate definitions. Nevertheless, being integrating constant of the matter field, the } b_i \text{ parameters cannot be completely reabsorbed from the solution. We leave them explicitly to better understand their physical role and relevance, as we see below.}\]
not only the topology of the event horizon but also the global topology of the spacetime, even in the asymptotic region. This means that even though the metric, for large values of the radial coordinate, behaves locally as the Anti-de-Sitter space, as can be easily seen from the curvature tensors, the asymptotic region does not recover globally the full AdS$_5$ spacetime. Therefore when the radial coordinate goes to infinity the spacetime are studying here are only asymptotically locally Anti-de-Sitter.

The great physical interest of these configurations is that they show very clearly that the Skyrme contribution to the action is quite relevant even for purely Pionic configurations without Baryon charge. For instance, as it will be shown below, it gives rise to a black hole metric with a $1/r^2$-term which mimics the presence of a Maxwell source.

**IV. ANALYTICAL SOLUTIONS**

In the previous section we have reduced consistently the full Einstein-Skyrme system for the metric in Eq. (3.10) and the Skyrme ansatz in Eqs. (3.12) and (3.13) to the Einstein equations with the Skyrme energy-momentum tensor corresponding to the ansatz in Eqs. (3.12) and (3.13). In principle there are four coupled nonlinear differential equations (see Appendix A), but it is possible to show that one of these is a combination of the others because of the Bianchi’s identity and the form of the metric ansatz for the matter field. In this section we show some relevant cases where it is possible to integrate the system analytically. In what follows we will consider $B(r) = 1/A(r)$ and $\kappa = 8\pi G$.

**A. Hairy Black hole**

If we take $C(r) = r^2$ and $D(r) = b_1^2/r^2$, the field equations lead to

$$A(r) = -\frac{b_1^2\kappa K}{4} - \frac{m}{r} - \frac{\Lambda}{3} r^2 + \frac{b_1^2\kappa K \lambda}{32} \frac{1}{r^2},$$

where $m$ is an integration constant. The metric

$$ds^2 = -\left( -\frac{b_1^2\kappa K}{4} - \frac{m}{r} - \frac{\Lambda}{3} r^2 + \frac{b_1^2\kappa K \lambda}{32} \right) dt^2 - \frac{b_1^2\kappa K}{4} \frac{dr^2}{r^2} + \frac{b_1^2\kappa K \lambda}{32} \frac{1}{r^2} + r^2 d\theta^2 + \frac{b_1^2}{b_1^2} r^2 d\phi^2,$$

represents a hairy black hole with flat horizon. This solution reduce to the black hole of [43] when $\lambda = 0$, while it is the natural flat horizon generalization of the spherical metric found in [29]. Black hole with flat horizons are especially relevant in view of their holographic applications (see, for instance, [47]). For $\lambda = 0$ there is only one real root for the $A(r)$ function which corresponds to the event horizon $r_+$

$$r_+ = \frac{b_1^2 \kappa K \Lambda - \left( 12m\Lambda^2 + \sqrt{\Lambda^3(b_1^2(\kappa K)^3 + 144m^2\Lambda)} \right)^{2/3}}{2\Lambda \left( 12m\Lambda^2 + \sqrt{\Lambda^3(b_1^2(\kappa K)^3 + 144m^2\Lambda)} \right)^{1/3}}.$$

For $\lambda \neq 0$, the roots of $A(r)$ can also be found analytically, but they are more involved than the ones of (4.2), because the algebraic equation becomes of fourth order, thus is more instructive to draw them as function of the mass parameter $m$ as in Figure 1. Interestingly enough, as is shown in the figure, neither the mass of the black hole nor the event horizon radius can be arbitrarily small because the event horizon is not defined for small masses, unlikely the standard general relativity case.

Even in the $\lambda = 0$ case, where there is only one killing horizon, from Eq. (4.2) one infers that the mass parameter should satisfy $m \geq \frac{b_1^2(\kappa K)^{3/2}}{12\sqrt{-\Lambda}}$ in order the square root to be real. Therefore, the event horizon cannot be arbitrarily small either but, for the extremal value of the mass parameter, which saturate the previous inequality, it can be reduce at most to $r_+ = \frac{b_1\sqrt{-\kappa K}}{\sqrt{-\Lambda}}$.

This latter feature of the $b_1$ parameter resemble the electric charge of the Reissner-Nordstrom solution, but, in the Skyrme case, the parameters $b_1$ must be quantized due to the boundary conditions satisfied by the Skyrme field. In particular, $b_1$ plays the role of a discrete hairy parameter (since, as we observed in the previous section, the Skyrme configurations in Eq. (3.13) possess neither Noether charges nor topological charges). According to this picture the hair cannot be considered neither of a primary type, because it cannot variate continuously, nor secondary type because it is not completely fixed. We might consider it belonging to an intermediate class; a sort of semi-primary hair.

FIG. 1: The event horizon $r_+$ as function of the mass parameter $m$ is portrayed in the yellow line, while the inner horizon $r_-$ is drawn in blue. The value of the mass, where the blue and yellow line touches, represent the extremal case. The other two roots $r_3, r_4$ give negative radial distance, hence, are not physically relevant. The numerical values of the coupling constants and of the physical parameters of the solution, for the above image, were chosen as follows $b_1 = b_2 = 1, \lambda = 3, K = 1, \kappa = 1, \Lambda = -5$. 
The non-removability of $b_1$ resembles, to some extent, the role played by the mass parameter of the three-dimensional BTZ black hole [50]: in that case, once the azimuthal coordinate is fixed, it cannot be reabsorbed by a coordinate transformation to get global AdS spacetime. Moreover, in the next section, we will show how the thermodynamics potentials depends crucially on the hair parameters.

Depending on the identifications of the coordinates $(\theta, \phi)$, the base manifold can be considered open or compact. When the extremal points of the range of $(\theta, \phi)$ are identified, the base manifold becomes a topological torus $S^1 \times S^1$ with area $A = 2\pi r^2 \frac{b_1}{b_2}$. In that case the ratio $b_1/b_2$ determines the geometry of the toroidal base manifold, being $b_1/b_2$ its Teichmüller parameter. Therefore, the discrete parameters $b_1$ and $b_2$ can be reabsorbed by rescaling properly the coordinates and the integration constants only at the price of deforming the geometry of the base manifold and the Skyrmionic field.

The spacial infinity region is asymptotically locally AdS. The non-removability of $b_1$ factors would be singular. Thus, the parameter $b_1$ plays the role of an effective electric charge while the parameter $b_2$ determines the size of the compactified direction of the black string. As the great majority of charged black holes, this string posses, in general, both an inner and outer horizon $R_{\pm}$. Unfortunately, due to the presence of the transcendental function in $A(r)$, the position of the horizon cannot be written with elementary functions, but only through the Lambert-$W$ function (also known as the ProductLog function) in this way

$$R_{\pm} = \frac{b_1 \sqrt{\kappa K}}{2} \sqrt{\frac{1}{\Lambda} \left[ \frac{4\Lambda}{b_1^2 \kappa K} \exp \left( \frac{-8\mu}{b_1^2 \kappa K} \right) \right]^{\pm}}, \quad (4.4)$$

$$R_{\pm} = \frac{b_1 \sqrt{\kappa K}}{2} \sqrt{\frac{1}{\Lambda} \left[ \frac{4\Lambda}{b_1^2 \kappa K} \exp \left( \frac{-8\mu}{b_1^2 \kappa K} \right) \right]^{-}}, \quad (4.5)$$

As can be seen from the Figure 2, as in the previous subsection black hole case, the event horizon $R_+$ cannot vanish, as it happens in the pure gravity case. In the presence of the Skyrmionic matter $R_+$, depending on the values of the parameter $b_1$, can only reach a positive minimum value.

B. Charged-like BTZ-black string

When we choose $C(r) = r^2$ and $D(r) = L^2$ (with $L$ an arbitrary constant), the Einstein equations are satisfied only in the sector $\lambda = 0$, and leads to

$$A(r) = -\mu - \frac{b_1^2 \kappa K}{4} \log(r) - \frac{\Lambda}{2} r^2, \quad L^2 = -\frac{b_1^2 \kappa K}{4\Lambda}.$$ 

In the case in which the cosmological constant is negative and the integration constant $\mu > 0$, the resulting metric reads

$$ds^2 = -\left( -\mu - \frac{b_1^2 \kappa K}{4} \log(r) + \frac{|\Lambda|}{r^2} \right) dt^2 + \frac{1}{-\mu - \frac{b_1^2 \kappa K}{4} \log(r) + \frac{|\Lambda|}{r^2}} dr^2 + r^2 d\theta^2 + \frac{b_2^2 \kappa K}{4|\Lambda|} d\phi^2.$$ 

This solution corresponds to a black string with one compactified direction (namely $\phi$) whose compactification radius $L = \frac{b_2 \sqrt{\kappa K}}{2|\Lambda|}$ has been fixed by the field equations. The three-dimensional metric (corresponding to the $\phi = \text{const}$ hypersurfaces) resemble the charged BTZ black holes [52] with mass $\mu$ and square charge $b_1^2 \kappa K/4$.

The nonlinear sigma model induce an effective electric charge in the three-dimensional metric defining the black string. It is worth to note that, unlike what happens for instance in the BTZ black string constructed in [47], the present charged BTZ black string has no warping factor, as the metric is really the direct product of a charged BTZ with a one-dimensional line (which can be also considered compactified in a $S^1$ circle). It is also worth to note that it is not possible to turn off the nonlinear sigma model, to obtain a pure gravitational solution, as the $S^1$ factor would be singular. Thus, the parameter $b_1$ plays the role of an effective electric charge while the parameter $b_2$ determines the size of the compactified direction of the black string.

V. THERMODYNAMICS

A very interesting topic is the proper dynamical stability analysis of the present black holes and black strings solutions. The main difficulty is revealed by a direct computation of the fully coupled linearized Einstein-Skyrme field equations in the black hole and black string background solutions. When the Skyrme field equations are taken into account (due to their matrix-valued and nonlinear nature), the linearized field equations cannot be reduced to a single master Schrödinger-like equation for the perturbations (unlike what happens in many situations without matter fields). This fact prevents any analytic attempt to analyze dynamical stability. Thus, one has to solve numerically the matrix-valued linearized field equations around the black hole and black string background.
solutions. However, this point is very difficult even from the numerical point of view and it requires suitable generalizations of the methods available in the literature. We hope to come back on this issue in a future publication.

On the other hand, as it is well known, the analysis of thermodynamics of black holes and black strings solutions (besides to be very interesting in itself) provides with very good qualitative indications on the possible appearance of instabilities. Thus, in this section we study the thermodynamic of these solutions and also perform a thermodynamical stability analysis through comparison between the thermodynamic potentials.

A. Mass, temperature and entropy

The Hawking temperature, related to the surface gravity \( \kappa_s \), is given by

\[
T = \frac{\kappa_s}{2\pi} = \frac{1}{2\pi} \sqrt{-\frac{1}{2} \nabla_{\mu} \chi \nabla^{\mu} \chi} \bigg|_{r_+} = \frac{A'(r_+)}{4\pi},
\]

where \( \chi \) is the timelike killing vector \( \partial_t \). From the Bekenstein-Hawking formula, we can take the entropy as a quarter of the area

\[
S = \frac{A}{4G}.
\]

To compute the mass will use the standard ADM result, as in [53]. Consider \( S \) as the two-dimensional spacelike surface at spatial radial infinity at constant time. The radial orthonormal vector to \( S \) is given by

\[
n_\mu = (0, \sqrt{g_{rr}}, 0, 0),
\]

the extrinsic curvature of \( S \) is given by \( K_{\mu\nu} = \nabla_\mu n_\nu \), and its trace is computed with the two dimensional metric of the base manifold \( \sigma_{\mu\nu} : K = \sigma^{\mu\nu} K_{\mu\nu} \). The Hamiltonian mass is then given by

\[
M = -\frac{1}{8\pi} \lim_{r \to \infty} \int_S (K - K_0) \sqrt{A(r)} \sqrt{|\sigma|} \, d\theta d\phi,
\]

where \( K_0 \) is the trace of the extrinsic curvature of \( S \) embedded in the background reference space-time.

As pionic background, for the two solutions, the metrics (4.1) and (4.3), with the vanishing mass parameters \( m \) and \( \mu \), are chosen.

It is worth to point that the mass can also be computed within the phase space formalism [54]-[55], giving the same result. Recently these results have been also confirmed, thanks to counterterms methods [43], for similar matter.

For the black hole solution, using the above prescriptions we can compute the temperature, entropy and mass. In terms of the event horizon \( r_+ \) we have, respectively

\[
T_{BH} = \frac{\Lambda r_+}{4\pi} - \frac{b_1^2 \kappa K}{16\pi r_+} - \frac{b_1^4 \kappa K \lambda}{128\pi r_+^3},
\]

\[
S_{BH} = \frac{b_2 \pi^2 r_+^2}{2b_1},
\]

\[
M_{BH} = \frac{b_2 \pi m}{4b_1} = \frac{b_2}{84b_1 r_+} \left( -32 \Lambda r_+^4 - 24 b_1^2 \kappa K r_+^2 + 3 b_1^4 \kappa K \lambda \right),
\]

while, for the black string with horizon radius \( R_+ \), we obtain

\[
T_{BS} = -\frac{4\Lambda R_+^2 + b_1^2 \kappa K}{16 \pi R_+},
\]

\[
S_{BS} = \frac{\pi^2 b_2 \sqrt{\kappa K} R_+}{4\sqrt{-\Lambda}},
\]

\[
M_{BS} = \frac{b_2 \pi \mu \sqrt{\kappa K}}{16 \sqrt{-\Lambda}} = -\frac{b_2 \sqrt{\kappa K} \pi}{64 \sqrt{-\Lambda}} \left( 2 R_+^2 \Lambda + b_1^2 \kappa K \log R_+ \right).
\]

In both cases these quantities satisfy the first law of black hole thermodynamics

\[
\delta M = T \delta S.
\]

B. Thermodynamical stability analysis

From the analysis of the heat capacity, defined as

\[
C := T \left( \frac{\partial S}{\partial T} \right),
\]

we can infer the local thermodynamic stability of the two solutions. Written it terms of the event horizon radius, \( r_+ \) and \( R_+ \), for the black hole and the black string respectively, it reads

\[
C_{BH} = \frac{b_2 \pi^2 r_+^2}{b_1} \left( \frac{32 \Lambda r_+^4 + b_1^2 \kappa K (8r_+^2 + b_1^2 \lambda)}{32 \Lambda r_+^4 - b_1^2 \kappa K (8r_+^2 + 3b_1^4 \lambda)} \right),
\]

and

\[
C_{BS} = \frac{b_2 \sqrt{\kappa K} \pi^2 R_+}{4\sqrt{-\Lambda}} \left( \frac{4 R_+^2 \Lambda + b_1^2 \kappa K}{4 R_+^2 \Lambda - b_1^2 \kappa K} \right).
\]

The stability under thermal fluctuation occurs when the sign of the heat capacity is positive, which means for the black hole and black string solutions

\begin{align*}
(BH) \quad r_+ &> \sqrt{\frac{b_1^2 \kappa K + b_1^4 \kappa K (\kappa K - 2 \lambda \Lambda)}{-8 \Lambda}}, \\
(BS) \quad R_+ &> \frac{b_1 \sqrt{\kappa K}}{2\sqrt{-\Lambda}}.
\end{align*}
These two values coincides, when the Skyrme coupling constant $\lambda$ vanishes. Note that the above inequalities (5.15) and (5.16) are automatically satisfied for both the black hole and black string event horizons. One might wonder if the black string might be affected by a Gregory-Laflamme instability, where the string may collapse in a line of black holes [56]-[57].

Unfortunately, due to the complexity of the field equations, for linear perturbations, the system can not be uncoupled to obtain a master equation for one of the components of the perturbation; therefore it is not possible to integrate numerically the system in the Gregory-Laflamme form and study the unstable modes of the solutions. However, it is possible to analyze the stability and phase transitions from the thermodynamic point of view.

A necessary condition for this phenomena to occur, as proposed in [58], is the negativity of the quantity

$$\frac{\partial M}{\partial S} = - \frac{b_2^2 \kappa K + 4 R_+^2 \Lambda}{16 \pi R_+} ,$$

but in the regions of thermodynamic local stability of the string, such as the one described by (5.16), it can not happen.

In fact, from the inspection of the entropies of the two solutions at equal mass, we further confirm the absence of the Gregory-Laflamme instability in our setting. More specifically, from Eq. (5.7) and (5.10), we can impose the equal mass constraint to express $r_+ (R_+)$ and plot both, the black hole and black string entropy at equal mass as a function of $R_+$. As shown in Figure 3, instability is not likely to occur because the black hole entropy, at equal mass and Skyrme parameters $b_i$, is always bigger than the black string one.

![FIG. 3: Entropy of the black hole (blue line) and of the black string (yellow line) at equal mass in terms of the string horizon $R_+$. The black hole entropy is always above the string entropy, therefore, the string is not expected to decay into the black hole configuration, for the chosen parameter set. The picture is drawn for the fixed parameters $b_1 = 2$, $b_2 = 1$, $\Lambda = -7$, $\kappa = 1$ and $K = 1$, but do not change qualitatively for others admissible parametric sets, where the entropies are well defined functions of the horizons.](image)

Some further indication of the thermodynamic stability may come from the study of the free energies of the two solutions. Thus, we consider the free energy $F = M - TS$ of the black hole and of the black string, which in terms of their event horizon are, respectively

$$F_{BH} = \frac{b_2 \pi}{768 b_1 r_+} \left( 9 b_1^4 \kappa K \lambda - 24 b_1^2 \kappa K r_+^2 + 32 \Lambda r_+^4 \right) ,$$

(5.17)

$$F_{BS} = \frac{b_2 \sqrt{\kappa K} \pi}{64 \sqrt{-\Lambda}} \left[ b_1^2 \kappa K + 2 R_+^2 \Lambda - b_1^2 \kappa K \log(R_+) \right] .$$

(5.18)

To obtain the free energy in terms of the temperature is sufficient to invert Eqs. (5.4) and (5.8), take the only positive root to get $r_+ (T)$ and $R_+ (T)$ and substitute respectively into (5.17) and (5.18). The resulting analytical expression of the free energy as a function of the temperature $F(T)$ is a little cumbersome. Thus, is more significant to draw some picture of the free energy for some fixed values of the parameters to appreciate, in particular, the dependence with respect to the parameter $b_1$, as can be seen in Figure 4 and Figure 5.

![FIG. 4: Free energy $F(T)$ as a function of the temperature $T$ for the black hole configuration, at some different values of the parameters $b_i$. The dashed line corresponds to the vacuum solution which is not always favoured thermodynamically with respect to the hairy one. In fact configurations with $b_1 = 1$ (the red and yellow lines) have always a minor free energy with respect to the vacuum case. Thermodynamic phase transitions can be expected, at a certain critical temperatures located at the intersection of the free-energy lines, for different values of the integers hairy parameters.](image)
VI. SUMMARY AND PERSPECTIVES

In this paper we have constructed the first examples, to the best of authors knowledge, of analytic hairy black holes with a flat toroidal horizons in the (3+1)-
dimensional Einstein SU(2)-Skyrme system with negative cosmological constant. The periodic boundary conditions satisfied by the Skyrme configurations introduce a discrete hairy parameter (as these black hole solutions possess neither topological nor Noether charges). Such hairy parameter can be considered neither primary (since it does not vary continuously) nor secondary (since it can vary in a discrete set). The solution is asymptotically locally AdS. The thermodynamics of the hairy black hole has been analyzed in detail. The behavior one obtains is qualitatively similar to the recent results found numerically in [59] in a different context.

Using similar techniques, we have constructed a black string in the (3+1)-dimensional Einstein non-linear sigma model theory with negative cosmological constant. The (2+1)-dimensional transversal sections of these black strings correspond to a charged BTZ black hole. In this case the role of the electromagnetic field is played by the pionic coupling constant. These configurations can be considered as a proper black string since there is no warping factor.

The exact hairy black hole solutions with flat horizons constructed here have potentially applications in the context of the AdS/CFT correspondence (see [26] and references therein). We hope to analyze in more details these applications in a future publication.

Another very interesting topic is the dynamical stability analysis of the present black holes and black strings solutions. As it has been explained in the previous sections, this point is very difficult even from the numerical point of view. The main issue is related to the fact that, when the Skyrme field equations are taken into account, the fully coupled linearized Einstein-Skyrme system cannot be reduced to a single master Schrodinger-like equation for the perturbations as the linearized field equations do not decouple (unlike what happens in many situations without matter fields). Thus, one has to solve (numerically) a system of (at least) tree coupled differential equations. We hope to come back on this issue in a future publication.

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VII. APPENDIX

A. Main field equations

The coupled nonlinear differential equations of the Einstein-Skyrme system for $A(r)$, $B(r)$, $C(r)$ and $D(r)$ are given by

\begin{align}
8A'(CD)' + A \left[ B \left( b_2^2 \kappa K (b_1^2 \lambda + 4C) + 4D(b_1^2 \kappa K + 8\Lambda C) \right) + 8C'D' \right] &= 0 , \\
-8BCD^2 A'' - 8ACD \left[ A'(DB' - BD') - 2BDA'' \right] &= 0 , \\
a^2 \left[ B^2 D(b_1^2 \kappa K (b_1^2 \lambda + 4D) - 4D(b_1^2 \kappa K + 8\Lambda D)) + 8CD'B'D' + 8BC(D'' - 2DD'') \right] &= 0 , \\
-8BC^2 DA'' - 8ACD \left[ A'(CB' - BC') - 2BCA'' \right] &= 0 , \\
a^2 \left[ B^2 C(b_2^2 \kappa K (b_1^2 \lambda + 4C) - 4D(b_1^2 \kappa K + 8\Lambda C)) + 8CD'B'C' + 8BD(C' - 2CC'') \right] &= 0 , \\
B^2 CD \left[ b_2^2 \kappa K (b_1^2 \lambda + 4D) + 4C(b_2^2 \kappa K + 8\Lambda D) \right] - 8CD'B'(CD)' &= 0 , \\
-8B \left[ C^2 D'' + D^2 (C'^2 - 2CC'') - CD(C'D' + 2CD'') \right] &= 0 .
\end{align}
B. Gravitating regular solution

If we choose $C(r) = 1$ in the field equations and integrate the system, the following relations

\[ D(r) = \frac{b_1^2}{b_2^2}, \quad \Lambda = -\frac{1}{32} b_1^2 K \kappa (8 + b_1^2 \lambda), \quad (7.5) \]

reduces the system to a single equation, that can be easily solved to obtain

\[ A(r) = C_1 + C_2 r + \frac{1}{16} b_1^2 K \kappa (4 + b_1^2 \lambda) r^2, \quad (7.6) \]

with $C_1$, $C_2$ integration constants. This metric have no curvature singularity and represents a four-dimensional space-time that is the product of two two-dimensional space-times with constant curvature; namely $(A)dS_2 \times \mathbb{R}^2$.

When the two integration constants $C_1$ and $C_2$ are chosen appropriately, the metric in Eqs. (3.10), (7.5) and (7.6) with $C(r) = 1$ can be interpreted as the near horizon geometry of the hairy black hole (analyzed in the following section).
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