Effects of surface impedance on current density in a piezoelectric resonator for impedance distribution sensing

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Abstract We study the relationship between the surface mechanical load represented by distributed acoustic impedance and the current density distribution in a shear mode piezoelectric plate acoustic wave resonator. A theoretical analysis based on the theory of piezoelectricity and trigonometric series is performed. In the specific and basic case when the surface load is due to a local mass layer, numerical results show that the current density concentrates under the mass layer and is sensitive to the physical as well as geometric parameters of the mass layer such as its location and size. This provides the theoretical foundation for predicting the surface impedance pattern from the current density distribution, which is fundamental to the relevant acoustic wave sensors.

Key words piezoelectric, resonator, sensor, plate

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1 Introduction

Piezoelectric materials have been used to make acoustic wave resonators as components for oscillators for a long time, from the early quartz crystal resonators (QCRs)\(^{[1–2]}\) to the relatively recent film bulk acoustic resonators (FBARs)\(^{[3]}\) made from ZnO or AlN. They provide frequency standards for many electronic equipments and are also used as filters for signal processing. They may operate with bulk acoustic waves\(^{[4]}\) or surface acoustic waves\(^{[5–6]}\). During the last couple of decades, piezoelectric resonators have also been used extensively to make acoustic wave sensors including mass, fluid, and biological and chemical sensors. Both QCRs\(^{[7–12]}\) and
FBARs\cite{13-16} have been used for sensing. QCRs used for sensing are the well-known quartz crystal microbalances (QCMs)\cite{17-20}. Most of these sensors are based on the frequency shifts in the resonators caused by a surface mass layer on contact with a fluid. For the modeling of frequency-based acoustic wave sensors, the frequency perturbation integral\cite{21} of resonators provides the theoretical foundation and a convenient tool for calculating device sensitivity.

Some relatively recent acoustic wave resonator based sensors such as fingerprint sensors\cite{22-29} are used to predict the pattern of the distribution of surface mechanical load that cannot be described by a simple frequency shift. In these sensors, the distribution of the current density in the resonators are often used to measure the distribution of the surface load. The modeling of these sensors presents new challenges. In this paper, we establish theoretically the basic relationship between the small surface load and the current density distribution in a shear mode FBAR. Either the shear mode or the thickness-extensional mode can be used for these applications. We study the shear mode because it is simpler mathematically and is sufficient to show the effect of interest. The equations of piezoelectricity\cite{4} are used. When the surface load is a local mass layer, a trigonometric series solution is obtained. The current density distribution caused by the mass layer is calculated and examined. It is shown that the current density distribution depends on and is sensitive to the physical and geometric parameters of the local mass layer. Hence, the current density distribution can be used to predict the location and size of the mass layer, and more generally, the pattern of more complicated surface load distribution by superposition.

2 Mechanics model

Consider a piezoelectric plate of polarized ceramics or crystals of class (6mm) (see Fig. 1). The $x_3$-axis is determined from $x_1$ and $x_2$ by the right-hand rule. The plate is unbounded in the $x_3$-direction. Figure 1 shows a cross-section. We consider unit thickness in the $x_3$-direction. The plate is electroded on the major faces at $x_2 = \pm h$. The bottom electrodes are small and identical pieces so that the currents on them can be measured separately for their distribution. The bottom electrodes are all grounded. The top electrode is under a time-harmonic driving voltage $V(t)$. The plate is driven into the shear motion described by the displacement field $u_3(x_1, x_2, t)$ through the piezoelectric constant $e_{15}$. The top surface is loaded mechanically. The specific load of a local mass layer is shown in the figure. The effect of the surface load is described by its acoustic impedance $Z_{23}(x_1)$ in general when the motion is time-harmonic. The two minor faces at $x_1 = \pm a$ are traction free and are unelectroded.

![Fig. 1 An electroded piezoelectric plate with surface mechanical load](image)

For crystals of class (6mm) in motions independent of $x_3$, the three-dimensional equations of piezoelectricity automatically decouple into two groups for $(u_3, \varphi)$ and $(u_1, u_2)$, respectively\cite{30-32}. What is relevant to the present paper is the so-called shear-horizontal or anti-plane motions described by $u_3 = u(x_1, x_2, t)$ and $\varphi = \varphi(x_1, x_2, t)$, where $\varphi$ is the electric potential. A function $\psi$\cite{30} can be introduced through $\varphi = \psi + eu/\varepsilon$, where $e = e_{15}$ and $\varepsilon = \varepsilon_{11}$ is the relevant dielectric constant. Then, the governing equations for $u$ and $\psi$ are\cite{30-32}

\[
\tau \nabla^2 u = \rho u_{tt}, \quad \nabla^2 \psi = 0, \quad (1)
\]
where $\nabla^2 = \partial_1^2 + \partial_2^2$ is the two-dimensional Laplacian, $\tau = c_{44} + \varepsilon^2 / \varepsilon$, and $c_{44}$ is the relevant shear elastic constant. The nonzero stress and electric displacement components are given by

$$
\begin{align*}
T_{23} &= c_{44} \psi_2 + e \psi_1, \\
T_{31} &= c_{44} \psi_1 + e \psi_2, \\
D_1 &= -\varepsilon \psi_1, \\
D_2 &= -\varepsilon \psi_2,
\end{align*}
$$

where an index after a comma denotes the partial differentiation with respect to the coordinate associated with the index. The electrodes are assumed to be very thin. Their mechanical effects are neglected. This is a widely-used approximation for a long time\[33–34\]. The mechanical effects of the electrodes such as inertia\[35–38\] and stiffness\[39–40\] are well-studied and well-understood, and can be included when the electrodes are not thin. For the purpose of this paper, the current density distribution is determined by the mass layer, not the electrodes. Therefore, the consideration of thin electrodes is sufficient. The surface load is described by an impedance distribution $Z_{23}(x_1)$. Then, the boundary conditions can be written as

$$
\begin{align*}
T_{13} &= 0, \\
D_1 &= 0, \\
T_{23} &= Z_{23}u_t, \\
\phi &= V(t), \\
T_{32} &= 0, \\
\phi &= 0,
\end{align*}
$$

When the surface load is simply a mass layer with density $\rho'$, its equation of motion for a differential element of the mass layer is

$$
-T_{23} = \rho'2h'u_{tt} = \rho'2h'\omega u_t,
$$

where $h'$ is the mass layer thickness. From Eqs. (3) and (4), we identify the impedance as

$$
Z_{23} = \rho'2h'\omega.
$$

The free charge density on the bottom electrode is given by

$$
\sigma = D_2.
$$

The density of the current flowing out of the bottom electrode is

$$
j = -\sigma_t.
$$

### 3 Trigonometric series solution

For time-harmonic motions, we use the following complex notation:

$$(u, \psi, \phi, V) = \text{Re}((U, \Psi, \Phi, V) \exp(i\omega t)).$$

The real and imaginary parts of the complex amplitude of a field are equivalent to a real amplitude and a phase angle. The real and time-harmonic physical fields are obtained by taking the real parts of the complex fields according to Eq. (8). In terms of $U$ and $\Psi$, Eqs. (1) and (3) become

$$
\begin{align*}
\tau \nabla^2 U &= -\rho \omega^2 U, \\
\nabla^2 \Psi &= 0, \\
U_{,1} &= 0, \\
\Psi_{,1} &= 0, \\
\Psi + \frac{\varepsilon}{\varepsilon}U &= V, \\
\tau U_{,2} + e\Psi_{,2} &= -Z_{23}i\omega U,
\end{align*}
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j = -\sigma_t.$$
\[ \Psi + \frac{e}{\varepsilon} U = 0, \quad x_2 = -h, \quad (12a) \]
\[ \frac{\partial U}{\partial x_2} + e\Psi = 0, \quad x_2 = -h. \quad (12b) \]

The general solution to Eqs. (9) and (10) can be obtained by separation of variables [31–32],

\[ U = A_1^{(0)} \cos(\eta(0)x_2) + A_2^{(0)} \sin(\eta(0)x_2) \]
\[ + \sum_{m=2, 4, 6, \ldots}^{\infty} \left( A_1^{(m)} \cos(\eta(m)x_2) + A_2^{(m)} \sin(\eta(m)x_2) \right) \sin(\xi(m)x_1), \quad (13) \]
\[ \Psi = B_1^{(0)} + B_2^{(0)} x_2 \]
\[ + \sum_{m=2, 4, 6, \ldots}^{\infty} \left( B_1^{(m)} \cosh(\xi(m)x_2) + B_2^{(m)} \sinh(\xi(m)x_2) \right) \cos(\eta(m)x_1), \quad (14) \]

where

\[ \left\{ \begin{array}{l}
\eta(0) = \frac{\rho \omega^2}{2}, \\
\eta(m) = \frac{m}{2a} \pi, \quad \xi(m) = \frac{m}{2a} \pi - \frac{m}{2a} \pi^2, \quad m = 1, 2, 3, \ldots.
\end{array} \right. \quad (15) \]

\( A_1^{(m)} \) through \( A_4^{(m)} \) and \( B_1^{(m)} \) through \( B_4^{(m)} \) are undetermined constants. They need to be determined by the remaining boundary conditions at \( x_2 = \pm h \) in Eqs. (11) and (12). This can only be carried out in specific cases. The basic and useful case of a local mass layer will be studied in the next section. Then, more complicated distributions can be predicted by superposition.

4 Case of a local mass layer

The thickness of a local mass layer is given by (see Fig. 1)

\[ 2h'(x_1) = \begin{cases} 2h_0, & c < x_1 < d, \\
0, & \text{else}. \end{cases} \quad (16) \]

The substitution of Eqs. (13) and (14) into Eqs. (11a) and (12a) yields the following linear algebraic equations for the undetermined coefficients:

\[ \begin{align*}
B_1^{(0)} + \frac{e}{\varepsilon} A_1^{(0)} \cos(\eta(0)h) &= \frac{\sqrt{V}}{2}, \\
B_2^{(0)} h + \frac{e}{\varepsilon} A_2^{(0)} \sin(\eta(0)h) &= \frac{\sqrt{V}}{2}, \\
B_3^{(m)} \cosh(\xi(m)h) + \frac{e}{\varepsilon} A_3^{(m)} \cos(\eta(m)h) &= 0, & m = 1, 3, 5, \ldots, \\
B_4^{(m)} \sinh(\xi(m)h) + \frac{e}{\varepsilon} A_4^{(m)} \sin(\eta(m)h) &= 0, & m = 1, 3, 5, \ldots, \\
B_1^{(m)} \cosh(\xi(m)h) + \frac{e}{\varepsilon} A_1^{(m)} \cos(\eta(m)h) &= 0, & m = 2, 4, 6, \ldots, \\
B_2^{(m)} \sinh(\xi(m)h) + \frac{e}{\varepsilon} A_2^{(m)} \sin(\eta(m)h) &= 0, & m = 2, 4, 6, \ldots. \end{align*} \quad (17) \]
At the same time, the substitution of Eqs. (13) and (14) into Eqs. (11b) and (12b) yields

\[-\tau A_1^{(0)} \eta(0) \sin(\eta(0) h) + \tau A_2^{(0)} \eta(0) \cos(\eta(0) h) + eB_2^{(0)}
\]
\[+ \sum_{m=1,3,5,\ldots}^{\infty} (-\tau A_3^{(m)} \eta(m) \sin(\eta(m) h) + \tau A_4^{(m)} \eta(m) \cos(\eta(m) h))
\]
\[+ eB_3^{(m)} \xi(m) \sinh(\xi(m) h) + eB_4^{(m)} \xi(m) \cosh(\xi(m) h)) \sin(\xi(m) x_1)
\]
\[+ \sum_{m=2,4,6,\ldots}^{\infty} (-\tau A_1^{(m)} \eta(m) \sin(\eta(m) h) + \tau A_2^{(m)} \eta(m) \cos(\eta(m) h))
\]
\[+ eB_1^{(m)} \xi(m) \sinh(\xi(m) h) + eB_2^{(m)} \xi(m) \cosh(\xi(m) h)) \cos(\xi(m) x_1)
\]
\[= \omega^2 \rho' h' (A_1^{(0)} \cos(\eta(0) h) + A_2^{(0)} \sin(\eta(0) h))
\]
\[+ \sum_{m=1,3,5,\ldots}^{\infty} (A_3^{(m)} \cos(\eta(m) h) + A_4^{(m)} \sin(\eta(m) h)) \sin(\xi(m) x_1)
\]
\[+ \sum_{m=2,4,6,\ldots}^{\infty} (A_1^{(m)} \cos(\eta(m) h) + A_2^{(m)} \sin(\eta(m) h)) \cos(\xi(m) x_1)
\]
\[= 0. \tag{20}
\]

Equations (20) and (21) depend on \( x_1 \). To obtain the algebraic equations for the undetermined coefficients, we multiply Eqs. (20) and (21) by \( \cos(\xi(m) x_1) \) with \( n = 0, 2, 4 \) and so on, and integrate them over \([0, a]\). We also do the same with \( \sin(\xi(m) x_1) \) where \( n = 1, 3, 5 \) and so on. This results in the following linear algebraic equations for the undetermined coefficients:

\[\tau(-A_1^{(0)} \eta(0) \sin(\eta(0) h) + A_2^{(0)} \eta(0) \cos(\eta(0) h)) + eB_2^{(0)}) = 2a \]
\[= \omega^2 \rho' h_0 (A_1^{(0)} \cos(\eta(0) h) + A_2^{(0)} \sin(\eta(0) h)) (d - c)
\]
\[+ \sum_{m=1,3,5,\ldots}^{\infty} (A_3^{(m)} \cos(\eta(m) h) + A_4^{(m)} \sin(\eta(m) h)) \frac{2a}{m \pi} \left( \cos \left( \frac{m \pi c}{d} \right) \right)
\]
\[+ \sum_{m=2,4,6,\ldots}^{\infty} (A_1^{(m)} \cos(\eta(m) h) + A_2^{(m)} \sin(\eta(m) h)) \frac{2a}{m \pi} \left( \sin \left( \frac{m \pi c}{d} \right) \right) \tag{22}
\]
$$\tau (-A_1^{(n)} \eta(n) \sin(\eta(h)) + A_2^{(n)} \eta(n) \cos(\eta(h)))$$

$$+ e(B_3^{(n)} \xi(n) \sinh(\xi(h)) + B_4^{(n)} \xi(n) \cosh(\xi(h))) a$$

$$= \omega^2 \rho' 2h_0 \left( A_1^{(0)} \cos(\eta(h)) + A_2^{(0)} \sin(\eta(h)) \right) \frac{2a}{n \pi} \left( \cos \left( \frac{n \pi}{2a} c \right) - \cos \left( \frac{n \pi}{2a} d \right) \right)$$

$$+ \sum_{m=1,3,5,\ldots}^{\infty} (A_3^{(m)} \cos(\eta(h)) + A_4^{(m)} \sin(\eta(h))) \frac{a}{(m+n)\pi} \left( \sin \left( \frac{(m+n)\pi}{2a} c \right) - \sin \left( \frac{(m+n)\pi}{2a} d \right) \right)$$

$$- \sin \left( \frac{(m+n)\pi}{2a} c \right) + \sum_{m=2,4,6,\ldots}^{\infty} (A_3^{(m)} \cos(\eta(h)) + A_4^{(m)} \sin(\eta(h))) \left( - \frac{a}{(m+n)\pi} \left[ \cos \left( \frac{(m+n)\pi}{2a} d \right) - \cos \left( \frac{(m+n)\pi}{2a} c \right) \right] \right)$$

$$+ \frac{a}{(m-n)\pi} \left[ \cos \left( \frac{(m-n)\pi}{2a} d \right) - \cos \left( \frac{(m-n)\pi}{2a} c \right) \right] \right) \right), \quad n = 1, 3, 5, \ldots, \quad (23)$$

$$\tau (-A_1^{(n)} \eta(n) \sin(\eta(h)) + A_2^{(n)} \eta(n) \cos(\eta(h)))$$

$$+ e(B_3^{(n)} \xi(n) \sinh(\xi(h)) + B_4^{(n)} \xi(n) \cosh(\xi(h))) a$$

$$= \omega^2 \rho' 2h_0 \left( A_1^{(0)} \cos(\eta(h)) + A_2^{(0)} \sin(\eta(h)) \right) \frac{2a}{n \pi} \left( \sin \left( \frac{n \pi}{2a} d \right) - \sin \left( \frac{n \pi}{2a} c \right) \right)$$

$$+ \sum_{m=1,3,5,\ldots}^{\infty} (A_3^{(m)} \cos(\eta(h)) + A_4^{(m)} \sin(\eta(h))) \frac{a}{(m+n)\pi} \left( \cos \left( \frac{(m+n)\pi}{2a} c \right) - \cos \left( \frac{(m+n)\pi}{2a} d \right) \right)$$

$$- \cos \left( \frac{(m+n)\pi}{2a} d \right) + \frac{a}{(m-n)\pi} \left[ \cos \left( \frac{(m-n)\pi}{2a} d \right) - \cos \left( \frac{(m-n)\pi}{2a} c \right) \right] \right) \right)$$

$$+ \sum_{m=2,4,6,\ldots}^{\infty} (A_3^{(m)} \cos(\eta(h)) + A_4^{(m)} \sin(\eta(h))) \frac{a}{(m+n)\pi} \left( \sin \left( \frac{(m+n)\pi}{2a} d \right) - \sin \left( \frac{(m+n)\pi}{2a} c \right) \right)$$

$$- \sin \left( \frac{(m+n)\pi}{2a} c \right) + \sum_{m=2,4,6,\ldots}^{\infty} (A_3^{(m)} \cos(\eta(h)) + A_4^{(m)} \sin(\eta(h))) \left( - \frac{a}{(m+n)\pi} \left[ \cos \left( \frac{(m+n)\pi}{2a} d \right) - \cos \left( \frac{(m+n)\pi}{2a} c \right) \right] \right)$$

$$+ \frac{a}{(m-n)\pi} \left[ \cos \left( \frac{(m-n)\pi}{2a} d \right) - \cos \left( \frac{(m-n)\pi}{2a} c \right) \right] \right) \right) \right), \quad n = 2, 4, 6, \ldots, \quad (24)$$

$$\tau (A_1^{(0)} \eta(0) \sin(\eta(0))) + A_2^{(0)} \eta(0) \cos(\eta(0))) + eB_2^{(0)} = 0, \quad (25)$$
the following fundamental shear resonance frequency of an unbounded AlN plate as a frequency
origins of damping such as air resistance and energy leaking at mounting points. Therefore, $Q$
A complex elastic constant is used to include material damping. For AlN, the value of
mass layer edges where a trigonometric series converges with oscillations (Gibbs phenomenon).
This displacement is large under the mass layer and decays quickly outside the mass layer edges. This
Eq. (29) because of the inertia of the surface mass layer.
The actual resonance frequency of the resonator in our numerical example is slightly below
current density of interest can be calculated from
Equations (22)–(27) and (17)–(19) form a complete system of linear algebraic equations for the
undetermined coefficients. They are solved on a computer. Then, according to Eq. (7), the
correct expression for $\omega$ is
\[ j = \frac{\omega \varepsilon}{\rho} \left( B_2^{(0)} + \sum_{m=2,4,6,\cdots} \xi_{(m)} (B_1^{(m)}) \sinh(\xi_{(m)} x_2) + B_2^{(m)} \cosh(\xi_{(m)} x_2) \right) \sin(\xi_{(m)} x_1) \]
Fig. 2  Displacement distribution showing convergence when $c = 60 \mu m$, $d = 70 \mu m$, $R' = 0.02$, $V = 2 V$, and $Q = 50$ (color online)

Figure 3 shows the effects of the mass layer location on the displacement distribution at the bottom of the resonator. The real and imaginary parts as well as the absolute value of the complex displacement are all presented for complete understanding. This figure suggests the possibility of measuring the location of the mass layer through vibration distribution, which can be realized electrically using the related current density distribution as to be seen in the following content.

Figure 4 shows the effects of the mass layer location on the current density distribution at

Fig. 3  Effects of mass layer location ($c$, $d$) on displacement distribution: (a) real part, (b) imaginary part, and (c) absolute value, when $R' = 0.02$, $V = 2 V$, and $Q = 50$ (color online)
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Fig. 4  Effects of mass layer location \((c, d)\) on current density distribution: (a) real part, (b) imaginary part, and (c) absolute value, when \(R' = 0.02\), \(V = 2\text{ V}\), and \(Q = 50\) (color online)

the bottom of the resonator, which is the main result of the present paper. It can be seen that the current density is the maximal under the mass layer. More generally, if there are several local mass layers at different locations, it is reasonable to expect several corresponding peaks of the current density distribution. Hence, there is correspondence between the surface impedance distribution and the current density distribution. This provides the theoretical foundation for measuring the surface mechanical load distribution pattern through the current density distribution.

Figure 5 shows the effects of various physical and geometric parameters on the current density distribution. In Fig. 5(a), the current density increases as the driving voltage increases, which is as expected from the linear theory used. Figure 5(b) shows that for a larger \(Q\) or less damping, the current density is larger because of stronger vibration. When the mass layer is wider, so is the current density, as shown in Fig. 5(c). When the mass layer is heavier, the current density becomes smaller, as shown in Fig. 5(d).

6 Conclusions

The relationship between a surface local mass layer and the current density distribution at the bottom electrodes is established. The current density is large under the mass layer and is sensitive to its geometric and physical parameters. Thus, the current density distribution is closely related to the pattern of the surface mass layer or acoustic impedance distribution in general, and can be used to measure the surface impedance pattern. This provides the basic understanding of the mechanism of a class of acoustic wave sensors.
Fig. 5 Effects of (a) $V$, (b) $Q$, (c) mass layer size, and (d) mass layer inertia on current density distribution, when $c = 60 \mu m$, $d = 70 \mu m$, $R' = 0.02$, $V = 2 V$, and $Q = 50$ unless varied (color online).

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