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Modulated Mutually-Coupled Nano-Lasers

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Abstract—The dynamics of mutually coupled nano-lasers subject to direct current modulation has been analysed using rate equations which include the Purcell cavity-enhanced spontaneous emission factor $F$ and the spontaneous emission coupling factor $\beta$. Subject to two different modulation frequencies, the mutually-coupled nano-lasers display two general types of response. The laser with the lower modulation frequency simply exhibits a response at that modulation frequency. We term a zero cross-talk response. On the other hand, at higher modulation frequencies the system displays a variety of dynamical responses which, in addition to zero cross-talk, includes a range of behaviours which are classified from low cross-talk through to a complicated non-linear response. The precise behaviour being dependent on the depth of modulation and the laser bias currents. The operational significance of the zero cross-talk regime is that it permits access to a simple periodic response at the modulation frequency. With a view to utilisation, it is established that the region of zero cross-talk response enlarges with increasing modulation depth and increasing bias current. In this way conditions are established in which the lasers may act independently. The propensity for zero cross-talk response under stronger driving is consistent with previous analysis wherein modulated nano-lasers may have superior characteristics in the large-signal regime.

Index Terms—Mutually-coupled semiconductor lasers, nanolasers, enhanced spontaneous emission, high-frequency modulation

I. INTRODUCTION

Mutually coupled lasers have been investigated for many decades [1]. Activity on mutually coupled semiconductor lasers also has long antecedents [2], [3] with significant effort having been gone to identifying regimes of synchronization and instabilities [4]-[6]. In such work a variety of semiconductor lasers have been utilised with Vertical Cavity Surface Emitting Lasers (VCSELs) providing particularly rich dynamical scenarios [7]. Optical injection is well known as a means for enhancing the modulation bandwidth of semiconductor lasers [8] and in recent work modulation bandwidth enhancement in mutually-coupled monolithically integrated laser diodes has been reported [9]. Semiconductor nano-lasers [10], [11] are of interest not least for their potential for inclusion in photonic integrated circuits.

In recent work we have initiated theoretical investigations of the dynamical behaviour of mutually coupled nano-lasers [12], [13]. In [12] effort was directed at the analysis of the behaviour of identical nano-lasers. There attention was given to the role played by the Purcell spontaneous emission enhancement factor $F$ and the spontaneous emission coupling factor $\beta$ with different distances, $D$, between the lasers and for a range of laser bias currents [12].

Subsequent work [13] sought to broaden the analysis of this system by detailing the dynamical behaviour of coupled nano-lasers when operated under non-identical conditions and including effects arising due to frequency detuning between the lasers. That work, in particular, identified the presence of high-frequency oscillations (of order 100 GHz) which arose in several circumstances. That analysis allowed the delineation of significant dynamical features but did not exhaust all opportunities for influencing the dynamics of mutually coupled nano-lasers. It was explicitly recognised in [12] that further analysis should incorporate effects arising do to the mutual coupling of non-identical lasers which would thereby enable the definition of the dynamical regimes accessed by this system as has been previously performed in other configurations (see e.g. [14]). In the present paper consideration is given to the system of mutually coupled nano-lasers when one or both are subject to direct-current modulation.

The experimental context for this work is established by work performed on a variety of nano-laser structures such as, micro-post [15] nano-pillar and bowtie [16], [17], Fabry-Perot [18], nanowire [19], and nano-patch [20] lasers, where continuous wave lasing is observed by optical pumping and electrical pumping [21]. In early work, the impact of Purcell enhanced spontaneous emission on the modulation performance of nano-LEDs and nano-lasers [23] was examined. In addition to [12], [13], a number of recent investigations of the dynamical performance of nano-lasers have been undertaken. The behaviour of optically pumped nano-lasers has been studied including the role of the spontaneous emission factor, $\beta$, in achieving single mode operation of nano-lasers [23]. Ding et al. explored the dynamics of electrically pumped nano-lasers where the effects of $F$ and $\beta$ on nano-laser performance were studied [24]. A more recent investigation of the effect of $F$ and $\beta$ shows that modulation bandwidth of up to 60 GHz can be achieved for metal clad nano-lasers [25]. Theoretical work has also been reported on the control of dynamical instability in such lasers [26].

Enhanced spontaneous emission, coupled with reduced laser threshold current, can lead to a reduction of the laser turn-on delay. Strong damping will give rise to a long tail in the switch-off dynamics of the laser and hence will compromise both analogue and digital direct current modulation of the laser. In recent work on the effect of external optical feedback in nano-
lasers, it has been identified that strong damping of the relaxation oscillations due to high $F$ and $\beta$, causes the chaos to occur at higher feedback fractions [27]. Similar conclusions have been drawn in explorations of phase-conjugate optical feedback effects in nano-lasers [28]. Nano-lasers subject to external optical injection have also been predicted to exhibit more stable behaviour [28]. It was in this context that investigations of the dynamical behaviour of mutually coupled nano-lasers were initiated [12], [13]. The theme of the present paper is the impact of direct current modulation on the behaviour of mutually-coupled nano-lasers. A particular area of interest is their response to rather high modulation frequencies.

The paper is structured as follows. The nano-laser dynamical model is introduced in section II. Results given in section III delineate the main dynamical behaviour which arises when one nano-lasers are subject to modulation. Section IV aims to draw general conclusions concerning the stability properties of dual modulated mutually-coupled nano-lasers. Finally, in section V, conclusions are drawn based on the results obtained.

II. NANO-LASER DYNAMICS

A schematic diagram of modulated mutually coupled nano-lasers is shown in Fig. 1. This system is modelled using modified forms of rate equations which incorporate the Purcell enhanced spontaneous emission factor, $F$ and spontaneous emission coupling factor, $\beta$ have been included as introduced in [21].

![Schematic diagram of modulated mutually-coupled semiconductor nano-lasers.](image)

Work by Gu, et al. [29] and Gerard et al. [30] has included the detailed calculation of the spontaneous emission rate in nano-lasers. This work has shown that there is an interdependence between the spontaneous emission coupling factor and the Purcell enhancement factor. Such an approach has been adopted by [14] in the formulation of dynamical equations for nano-lasers. However, the precise relationship between these two factors is dependent upon the specific nano-laser structure under consideration. In this context, and notwithstanding the work in [29], [30], the Purcell factor and the spontaneous emission factor are taken to be independent parameters. In this way it is possible to identify the trends in device performance consequent to changes in these two parameters. It is fully recognised, however, that in a practical context and due to the work of [29], [30], there will be constraints on the accessible values of these parameters. In the present work we choose one combination only of those parameters.

It is underlined that the Purcell factor and the spontaneous emission coupling factor impact the spontaneous emission rate as shown in Eqs. (1) and (2) below. Specifically it is pointed out that for Purcell factors greater than unity an effective reduction in the carrier lifetime will result. Similarly an increase of the spontaneous emission coupling factor towards unity also causes an effective reduction of the carrier lifetime. In contrast, the phase Eq. (3) is dependent on the laser gain and hence is not affected by the enhanced spontaneous emission.

\[
\frac{dS_{th}(t)}{dt} = \Gamma \left[ F \beta N_{th}(t) \right] + \left[ \left( N_{th} - N_a \right) S_{th}(t) \right] + 2 \frac{\kappa_{inj}}{\tau_m} \left( S_{th}(t) - S_{th}(t - \tau_m) \cos(\theta_{th}(t)) \right) 
\]

\[
\frac{dN_{th}(t)}{dt} = \frac{I_{inj}}{eV_o} - \frac{N_{th}(t)}{\tau} \left( F \beta + (1 - \beta) \right) 
- \left[ \left( N_{th}(t) - N_a \right) S_{th}(t) \right] 
\]

\[
\frac{d\phi_{th}(t)}{dt} = \frac{\alpha}{2} \Gamma G_r \left( N_{th}(t) - N_a \right) \pm \Delta \omega 
- \frac{\kappa_{inj}}{\tau_m} \left[ S_{th}(t - \tau_m) - S_{th}(t) \right] \sin(\theta_{th}(t)) 
\]

\[
\theta_{th}(t) = \pm \Delta \omega + e \omega_r \tau_m + \phi_{th}(t) - \phi_{th}(t - \tau_m) 
I_{inj}(t) = I_a \left[ 1 + h_{inj} \sin^2(\frac{2\pi f_{inj} t}{\tau_{inj}}) \right] 
\]
It is noted that in recent work use has also been made of independent $F$ and $\beta$ parameters [34]. That work supported indications in [35] that, in some circumstances, microscopic modelling rather than rate equation analysis is needed to accurately capture dynamical features of nano-lasers. A specific recommendation of [34] is that nano-lasers should be operated in a regime where a combination of Purcell enhanced spontaneous emission and Rabi oscillations could provide modulation bandwidths of 350 GHz. The present work does not utilise device parameters allowing entry into that regime but nevertheless it is considered to be an exciting possibility which will stimulate further nano-laser device development.

### Table 1: Nano-Laser Device Parameters

| Parameter                        | Value       | Reference |
|----------------------------------|-------------|-----------|
| Wavelength $\lambda$             | 1591 nm     | [10]      |
| Cavity length $L$                | 1.39 $\mu$s | [10]      |
| Volume of active region $V_a$    | 3.96x10^{-19} m$^3$ | [10]      |
| Group refractive index $n$       | 3.4         | [10]      |
| Round-trip time in inner cavity $t_m$ | 0.032 $\mu$s | [10]      |
| Photon lifetime $\tau_p$        | 0.36 ps     | [10]      |
| Carrier lifetime $\tau_c$       | 1 ns        | [32]      |
| Differential gain $G_n$          | 1.65x10^{-12} m$^{-3}$ | [10]      |
| Mode confinement factor $\Gamma$ | 0.645       | [10]      |
| Line-width enhancement factor $\alpha$ | 5          | [33]      |
| Transparency carrier density $N_0$ | 1.1x10^{23} m$^{-3}$ | [32]      |
| Normalized injection current $j$ | 2-10        |           |
| Modulation frequency $f_m$       | 0-50 GHz    |           |
| Modulation depth $h_m$           | 0.0-0.8     |           |
| Coupling delay/ distance $\tau_{nj}/D$ | 0.05ns/0.015m | |
| Cavity Purcell factor $F$        | 14          |           |
| Spontaneous emission coupling $\beta$ | 0.1      |           |
| Injection fraction $\kappa_{nj}$ | 0-0.6x10^{-3} | |

It is noted that in recent work use has also been made of independent $F$ and $\beta$ parameters [34]. That work supported indications in [35] that, in some circumstances, microscopic modelling rather than rate equation analysis is needed to accurately capture dynamical features of nano-lasers. A specific recommendation of [34] is that nano-lasers should be operated in a regime where a combination of Purcell enhanced spontaneous emission and Rabi oscillations could provide modulation bandwidths of 350 GHz. The present work does not utilise device parameters allowing entry into that regime but nevertheless it is considered to be an exciting possibility which will stimulate further nano-laser device development.

### III. SINGLE FREQUENCY MODULATION DYNAMICAL BEHAVIOUR

The aim of the paper is to progress appreciation of the dynamical behaviour of modulated mutually coupled nano-lasers. In the first place, detailed attention is given to novel forms of dynamical response which arise when the lasers are modulated. In [13] due to the Purcell cavity-enhanced spontaneous emission factor $F$ and the spontaneous emission coupling factor $\beta$, mutually-coupled nano-lasers were shown to display strong stability when subjected to strong optical injection and high bias current. Thus, it may be anticipated that when subject to modulation, mutually coupled nano-lasers may display stability in the form of minimal interaction between the lasers. Also in [13] it was shown that high-frequency small-signal oscillations could be established in unmodulated mutually coupled nano-lasers. It would be of interest to establish whether such oscillations can be enhanced by means of direct current modulation. In undertaking the present analysis, it has been assumed that relatively high frequencies of direct modulation – of order 50 GHz – can be applied. This is rather less than the order 100 GHz oscillations which were seen in [13] and hence enhanced modulation responses are not expected to be revealed here. Our caution in restricting the assumed modulation frequency acknowledges that 100 GHz direct current modulation may not be routinely used in engineering contexts. But, in the expectation that advances will continue to be made in the relevant electronics, we are confident that exploration of this regime will become of practical relevance.

The results presented here have been found using the rate Eqs. (1)-(5). The bias current used to drive the lasers is an important operational parameter and dependences of behaviour on this parameter are discussed. Moreover the modulation frequency and depth of modulation are clearly salient parameters, as has been considered in the case of modulated stand-alone nano-lasers [25]. In the present paper, we also focus the attention on the various responses which may be elicited by changing these latter parameters.

#### A. Coupling Strength Dependence

Consideration is first given to a system of mutually-coupled nano-lasers when laser I is unmodulated but laser II is subject to 10 GHz direct current modulation. Both lasers are assumed to have bias currents of $2I_{th}$.

Given the interest in mutual interactions a significant parameter is the coupling, $K_{nj}$, between the lasers. As the mutual coupling between the lasers increases, the photon density time series of the unmodulated laser I changes from quasi-periodicity to multiple -periods, as shown in blue in Figs. 2 to 4. In contrast, as shown in black, for the modulated laser II, the output is transformed from a ‘steady-state’ with period-1 in Figs. 2 and 3 to steady-state of period-2 in Fig. 4. The spectra shown in these figures evidence the influence of strong coupling between the lasers whereby increased stability results in more stable output and hence cleaner spectra. Similar observations have been made in earlier work [31].

![Fig. 2](image-url) Photon density time series and FFT with bias current $2I_{th}$ at $\kappa_{nj}=0.1 \times 10^{-3}$. (a) unmodulated nano-laser I (blue); (b) modulated nano-laser II (black) with $f_m=10$GHz and $h_m=0.2$. 
We find that increasing the modulation depth reduces the fluctuations of the photon density amplitude of the modulated nano-laser II. However, there is no change in the period of the photon density dynamics: that is the output of nano-laser II remains as period-2 at $\kappa_{inj}=0.6 \times 10^{-3}$ whilst the output of the unmodulated nano-laser I, shown in blue, has become multi-periodic.

Calculations, with a modulation frequency of 10 GHz but with the yet much higher bias current of 10 $I_{th}$, are displayed in Fig. 7. It can be seen in Fig. 7, that, possibly after some initial transients, the unmodulated nano-laser (shown in Fig. 7(a)) still exhibits multi-periodic output, while the modulated nano-laser (shown in Fig. 7(b)) exhibits a steady period-1 response.

**B. Bias current dependence**

The bias current dependence of the response is clearly of interest as has been shown previously [12,13]. In the present case, for a modulation frequency of 10 GHz, when we increase the bias current to 6$I_{th}$ the amplitude of the photon density of nano-laser II, as shown in black in Fig. 5, has obvious fluctuations at an injection coupling $\kappa_{inj}=0.5 \times 10^{-3}$. Moreover, as shown in black in Fig. 6, this higher bias current enables the modulated nano-laser II to maintain period-1 output at the high injection coupling of $\kappa_{inj}=0.6 \times 10^{-3}$ whilst the output of the unmodulated nano-laser I, shown in blue, has become multi-periodic.
that several species is behaviour does not need to be harmonic, that is 50 GHz, I. Except for the modulation frequency, all the other parameters are distinct. Moreover to avoid ambiguity due to the reciprocal of the other. Such an interaction may, in general, be termed cross-talk. Here we classify the varieties of cross-talk viz: low cross-talk, medium cross-talk and strong cross-talk. For the low cross-talk the relative strengths ratio is below 0.5, the medium one is between 0.5 and 1, the strong one is equal or above 1. Examples of these are shown in Fig. 9 (a), (b) and (c), respectively for nano-laser I which always has a higher modulation frequency than nano-laser II.

A. Interpretation and classification of dynamics

Having displayed representative examples of the dynamics appearing in one modulated mutually-coupled nano-lasers, attention is now given to interpreting and classifying the observed behaviour for dual modulation. An important issue is the extent to which the modulated behaviour of one laser affects that of the other. Such an interaction may, in general, be termed cross-talk. Here we classify the varieties of cross-talk which may arise in the situation under analysis.

In the case of both lasers being modulated, in order to make that cross-talk apparent, it will be assumed that the modulation frequencies are distinct. Moreover to avoid ambiguity due to the possible appearance of harmonics we choose non-integer multiples when selecting the modulation frequencies. In that spirit we first set a 10 GHz modulation frequency for nano-laser II, and choose a modulation frequency of 25 GHz for nano-laser I. Except for the modulation frequency, all the other parameters, such as bias current, injection coupling, depth of modulation are the same for both nano-lasers.

In the zero cross-talk state, there is no frequency component derived from one nano-laser which appears in the other nano-laser. It is pointed out that this behaviour does not need to be reciprocal. Figure 8 gives an example of zero cross-talk state for nano-laser I. It can be observed in Fig. 8(b) that the most prominent spectral feature is at the modulation frequency (25 GHz). In addition to that the second harmonic, that is 50 GHz, can be observed in Fig.8 (b). Most importantly, however, there is no spectral component related to the 10 GHz modulation frequency of nano-laser II. In this case it has also been found that no spectral component from nano-laser I appears in the spectrum nano-laser II. As such, the zero cross-talk here is reciprocal.

Changes in the modulation frequency and/or the depth of modulation can eradicate such zero cross-talk. In these cases spectral signatures of the modulation of one laser become apparent in the other. As the relative strengths of these signatures may vary significantly we identify three regimes of cross-talk viz: low cross-talk, medium cross-talk and strong cross-talk. For the low cross-talk the relative strengths ratio is below 0.5, the medium one is between 0.5 and 1, the strong one is equal or above 1. Examples of these are shown in Fig. 9 (a), (b) and (c), respectively for nano-laser I which always has a higher modulation frequency than nano-laser II.

IV. DUAL FREQUENCY MODULATION CROSS-TALK AND STABILITY PROPERTIES

Having explored the response in the case of only one laser being modulated it is appropriate now to consider the interactions which may arise in this configuration when both nano-lasers are modulated.

In sub-section IVB general conclusions will be drawn on the overall response of nano-lasers to direct current modulation. As a basis for that, it is necessary to depict exemplar dynamical time series emerging from the dual frequency modulated mutually-coupled nano-lasers. It is shown that several species of relatively unusual dynamical behaviour can arise in favourable circumstances. In some cases, there is a need to examine the dynamics in some detail in order to discern the presence of those species. In turn, those forms of dynamics raise issues in terms of the classification of the overall behaviour of the system. Having presented the variety of dynamics we define, in sub-section IVA our nomenclature for the observed behaviour. That nomenclature underpins the results given in section IVB.

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Changes in the modulation frequency and/or the depth of modulation can eradicate such zero cross-talk. In these cases spectral signatures of the modulation of one laser become apparent in the other. As the relative strengths of these signatures may vary significantly we identify three regimes of cross-talk viz: low cross-talk, medium cross-talk and strong cross-talk. For the low cross-talk the relative strengths ratio is below 0.5, the medium one is between 0.5 and 1, the strong one is equal or above 1. Examples of these are shown in Fig. 9 (a), (b) and (c), respectively for nano-laser I which always has a higher modulation frequency than nano-laser II.

![Image](image_url)
The calculations show further that nano-laser II exhibits no signature of the modulation of nano-laser I. In fact the output of nano-laser II is either steady-state period-1 or period-2 depending on the injection coupling and modulation depth. This provides an example where the classified cross-talk behaviour is not-reciprocal. That is, one laser displays the signature of the modulation of the other but not vice versa.

In addition to the various forms of cross-talk defined above, other more complicated responses can arise. Such behaviour we term a non-linear response. An example of such a nonlinear response is displayed in Fig. 10 for nano-laser I modulated at 50 GHz. In Fig.10 (b) it is seen, as expected, that the most prominent spectral feature is at the modulation, frequency. However additional clusters of frequencies are apparent around 10 GHz and 20 GHz –harmonics of the modulation frequency of nano-laser II. These clusters of frequencies are unlike the cross-talk affected spectra shown in Fig.9. It is recalled that such a non-linear response also occurs in the single modulated mutually-coupled nano-lasers considered in section III. Cluster frequencies from the modulated nano-laser II are observed in FFT of nano-laser I as shown in Figs. 2 and 3. However, this non-linear response does not appear in the nano-laser I whose modulation frequency is low compared with that of nano-laser II. Once again this behaviour is non-reciprocal: the output of nano-laser II maintains steady-state period-1 or period-2 behaviour at its modulation frequency, independent of the behaviour of nano-laser I - that is zero cross-talk response. In the calculations performed for this work, it appears to be a universal feature that the nano-laser subject to the lower modulation frequency exhibits zero cross-talk.

The underlying physical reason for this is that the excursion of the photon density of the laser subject to higher modulation frequency is small [25]. As such the light coupled to the laser subject to the lower modulation frequency has a negligible impact on the laser subject to the lower modulation frequency.

In this situation in endeavouring to classify the behaviour of dually modulated mutually-coupled nano-lasers, attention may be focussed on the behaviour of the nano-laser subject to the higher modulation frequency. This approach is adopted in the next sub-section.

**B. Modulation Response Regimes**

On the basis of the classification detailed in the previous sub-section, attention is now given to categorising the behaviour of the nano-laser subject to the higher modulation frequency – here nano-laser I. The primary operational parameters which are utilised for this purpose are the depth of modulation and the strength of coupling between the lasers. Attention will be given to how the response of the system changes with the increased bias current and with increases in the modulation frequency of nano-laser I. In all cases it is assumed that nano-laser II is modulated at 10 GHz.

Our previous work [13] had indicated that mutually-coupled nano-lasers exhibit strong stability when subjected to strong optical injection and high bias current. Thus, as we expected, when subject to modulation, mutually coupled nano-lasers display stability in the form of zero cross-talk response. The responses of nano-laser I are shown in Fig.11 (a) and (b), where the bias current is \(2I_{th} \) and \(4I_{th}\) respectively. It is observed that, with strong injection coupling, nano-laser I maintains a zero cross-talk response (●) even for large modulation depths (\(h_m=0.6\) and \(h_m=0.8\)) as shown in Fig. 11(a). This tendency is confirmed by Fig.11(b), where with increased bias current the zero cross-talk regions are significantly enlarged.

![Fig. 10 Photon density time series (a) and FFT (b) of nano-laser I with bias current \(2I_{th}\), at \(\kappa_{nj}=0.3 \times 10^3\), \(f_{m1}=50\)GHz, \(f_{m2}=10\)GHz, \(h_m1=0.6\), \(h_m2=0.2\).](image1)

![Fig. 11 Regions for different classification dynamics, over a range of modulation depth and injection coupling. Zero cross-talk (●), non-linear response(■) and low cross-talk(●) region of the nano-laser I under 25GHz modulated frequency whilst nano-laser II with \(f_{m2} \) 10GHz.](image2)
Consequently the zero cross-talk region (●) is visibly reduced in size in Fig. 12(a). However, the influence of the bias current is confirmed so that as bias current increases from 2I_{th} to 6I_{th}, as in Figs. 12(a) to 12(c), the zero cross-talk region is steadily enlarged.

Here we focus on the dynamics of mutually-coupled nano-lasers under different modulation frequencies. The combinations of modulation frequencies chosen (f_{m1}, f_{m2}) include values within and beyond the 3-dB bandwidth of the nano-laser. More details of the modulation response dependence on bias currents are given [36].

![Graph](Image)

**Fig. 12 Regions for different classification dynamics, over a range of modulation depth and injection coupling. Zero cross-talk (●), non-linear response (■), low cross-talk (▲), medium cross-talk (△) and strong cross-talk (★) region of the nano-laser I under 50GHz modulated frequency whilst nano-laser II with f_{m2} 10GHz.**

**V. CONCLUSIONS**

Theoretical analysis undertaken in this work shows that both single and dual modulated mutually-coupled nano-lasers can give rise to a wide variety of dynamics. In the case of dual modulated mutually-coupled nano-lasers, the interaction of the nano-lasers can range from zero cross-talk through to a complicated non-linear response. The actual response being the laser significantly affected by bias currents, injection coupling and the modulation depth. We find that at a relatively high modulation frequency, here 50 GHz, the modulated mutually-coupled nano-lasers under high bias current display strong robustness with a large zero cross-talk region. In this region, the modulated mutually-coupled nanolasers act independently of each other. In particular, in this regime the response of the lasers is simply at the modulation frequency of the individual laser (or possibly at a harmonic of that frequency). The facility to individually address a given nano-lasers without affecting the behaviour of the other mutually-coupled nano-lasers should find ready applications.

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