Influence of thermomechanical processing parameters on critical temperatures to develop an Advanced High-Strength Steel microstructure

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ABSTRACT

A good selection of the thermomechanical processing parameters will optimize the function of alloying elements to get the most of mechanical properties in Advanced High-Strength Steels for automotive components, where high resistance is required for passenger safety. As such, critical processing temperatures must be defined taking into account alloy composition, in order for effective thermomechanical processing schedules to be designed. These critical temperatures mainly include the recrystallization stop temperature (T₅%) and the transformation temperatures (Aᵣ₁, Aᵣ₃, Bₛ, etc.). These critical processing temperatures were characterized using different thermomechanical conditions. T₅% was determined through the softening evaluation on double hit tests and the observation of prior austenite grain boundaries on the microstructure. Phase transformation temperatures were measured by dilatometry experiments at different cooling rates. The results indicate that the strain per pass and the interpass time will influence the most on the determination of T₅%. The range of temperatures between the recrystallized and non-recrystallized regions can be as narrow as 30 °C at a higher amount of strain. The proposed controlled thermomechanical processing schedule involves getting a severely deformed austenite with a high dislocation density and deformation bands to increase the nucleation sites to start the transformation products. This microstructure along with a proper cooling strategy will lead to an enhancement in the final mechanical properties of a particular steel composition.
Introduction

Advanced High-Strength Steels (AHSS) have been introduced in the automotive industry due to their good combination of ductility and resistance. AHSS family includes transformation induced plasticity (TRIP), twinning induced plasticity (TWIP), martensitic steel, dual phase (DP) and complex phase (CP) steels. All AHSS microstructures are produced through a good control on the thermomechanical processing (TMP) design [1, 2]. The good mechanical properties of AHSS are the result of the refined microstructure. The microstructure typically consists of a combination of ferrite, bainite and martensite, but also in certain conditions, retained austenite and/or pearlite may be present in relatively small amounts [3]. The volume fraction of these phases will depend on the thermomechanical processing route applied to the steel.

Critical processing temperatures, such as the recrystallization stop temperature ($T_{5\%}$) and phase transformation temperatures, are essential parameters to design a successful TMP route. These temperatures are considered critical due to the sensibility they have to alter the final microstructure of the strip after processing, which directly affects the mechanical properties.

In the case of phase transformation temperatures, although the $A_1$ and $A_3$ equilibrium temperatures are important, from the standpoint of industrial processing with progressively falling temperatures, the $A_{r1}$ and $A_{r3}$ are the most relevant. These temperatures will mainly depend on the chemical composition, austenite grain conditioning and cooling rates [4]. These parameters are important for the designing of the TMP schedule because it is recommended to deform while in single phase austenite, due to different properties of ferrite and austenite that can lead to different mechanical properties in different zones of the steel strip transfer bar.

The recrystallization stop temperature ($T_{5\%}$) is defined as the maximum temperature at which no more than 5% of recrystallization in austenite grains is observed at certain deformation conditions.

Finishing passes of the TMP schedule are recommended to be carried out at temperatures below $T_{5\%}$ in what is often referred to as controlled rolling to develop high mechanical properties [5]. During controlled rolling, the strain accumulation and associated high dislocation density will increase the nucleation sites for subsequent phase transformations. This temperature will depend on the chemical composition of the steel and the deformation parameters such as the amount of strain, the strain rate and the interpass time.

The finishing passes of a successful TMP schedule should take place in a range of temperatures between $T_{5\%}$ and $A_{r3}$, where $T_{5\%}$ is higher than $A_{r3}$. Deformation at temperatures below $T_{5\%}$ generates a strain accumulation without virtually any recrystallization of austenite. This will produce a larger $S_v$, which is the ratio of grain boundary area per unit volume, causing high driving force and nucleation sites for recrystallization or phase transformation to occur [6]. Besides, at an industrial scale, if the designed the temperature is too high, it would be complex to maintain the strip at that temperature and the austenite might recrystallize. In contrast, if the deformation temperature is too low, the rolling force at the finishing mill will be higher, which will increase the operating costs.

The present work aims to study the effects of TMP parameters of an AHSS to establish the critical temperatures leading to more efficient exploitation of beneficial microstructures and associated mechanical properties. This conducts to the proposal of a suitable processing strategy for hot-rolled AHSS.

Material and methods

The commercial steel grade used in this research was supplied by Ternium, Mexico, and consisted of a 34-mm-thick plate with the chemical composition reported to be within the ranges listed in Table 1.

The transformation temperatures ($A_{r1}$ and $A_{r3}$) were identified through the measurement of length change during heat treatment on large-scale dilatometry specimens. The equipment used for the measurements was a purpose-built thermal processing unit at The University of Sheffield, capable of controlled heating and/or cooling while continuously measuring the changes in length, similar to traditional dilatometry instruments. However, these dilatometry specimens were cylindrical bars of 12 mm diameter by 155 mm length with a 1.6 mm diameter hole in the center to place a K-type thermocouple. The bars were heated up to 1000 °C, at a rate of 10°Cs$^{-1}$, held for 3 min and then cooled to
room temperature at different cooling rates of 0.1, 1, 10 and 20 °C s⁻¹. These parameters were chosen to ensure the austenite microstructure, trying to homogenize the temperature along the bar and minimize the effect of grain coarsening of the specimen. The $A_{S_3}$ and $A_{r_1}$ were determined by the change of the slope on the displacement during the cooling. Additionally, JMatPro® Version 9.0 software was used to calculate the $A_1$ and $A_3$ equilibrium temperatures and also to get the CCT diagram of the steel [7].

The recrystallization stop temperature ($T_{5\%}$) can be estimated by analysis of double hit tests [8–10]. Double hit flow stress curves enable for the determination of static restoration occurring between deformation passes. Dutta and Palmiere [9] have demonstrated that the softening of the material is directly related to $T_{5\%}$. In this research, the double hit test consists of deforming a plane strain compression (PSC) specimen over two passes at the same deformation temperature and the same amount of strain and strain rate at a known interpass time.

PSC testing closely replicates the material flow of the hot rolling process [11]. Double hit deformations were performed on a thermomechanical compression (TMC) machine. This servo-hydraulic machine has outstanding control of the processing parameters such as deformation temperature, strain, strain rate and interpass time, and any commercial TMP design can be replicated. More detail of the TMC machine used for PSC double hit tests can be found in the following sources [11–14].

PSC specimens had dimensions of 60 mm × 30 mm × 10 mm where the 60 mm is parallel to the rolling direction and 10 mm is the initial thickness. Double hit tests were performed following the TMP route shown in Fig. 1. $T_{5\%}$ was evaluated for different deformation parameters through double hit test by looking at various deformation temperatures, varying the strain per pass and two different interpass time as specified in Table 2. Parameters of set 3 are representative of the initial deformation on the finishing mill in the industrial process.

### Results and discussion

#### Phase transformation temperatures

Table 3 shows a summary of phase transformation temperatures at different cooling rates. As the heating rate on every test was set to 10 °C s⁻¹, the $A_{S_3}$ and $A_{r_3}$ were measured and defined as 790 °C and 842 °C, respectively. The real cooling rate was
measured as the temperature versus time slope in the cooling region. As expected, the faster the cooling rate, the lower the $A_{r3}$ temperature. This can be explained due to the supercooling effect that decreases the atomic diffusion velocity [17].

The $A_{r3}$ temperatures were compared to the empirical formula already established by Mintz et al.[18] and Yuan et al. [19]. Yuan’s calculation has a better approximation of $A_{r3}$ for this chemical composition at cooling rates of 10 and 20 °C s$^{-1}$, even though it is limited to steels with less amount of Nb. His prediction of the $A_{r3}$ for 10 and 20 °C s$^{-1}$ is 686 °C and 657 °C, respectively. This equation is not suitable in this steel for slower cooling rates.

Besides, JMatPro® and Thermo-Calc® simulation software programs were used to calculate the $A_1$ and $A_3$ for thermodynamic conditions. JMatPro® found 665 °C and 832 °C as $A_1$ and $A_3$, respectively, while Thermo-Calc® indicates that the $A_1$ is 665 °C and $A_3$ is 835 °C. The microstructure in Fig. 2 shows an equiaxed austenite structure with a grain size of $46 \pm 5.7 \mu m$ and represents the condition of the dilatometry specimens before cooling. The continuous cooling transformation (CCT) diagram shown in Fig. 3 was calculated with the JMatPro® software using the dilatometer specimen conditions of austenitization temperature set to 1000 °C and prior austenite grain size (PAGS) of 45 μm. Dilatometry data were added to the CCT diagram as asterisks.

**Table 2** Deformation parameters for different sets of double hit tests

|                  | Set 1 | Set 2 | Set 3 |
|------------------|------|------|------|
| Strain per pass  | 0.25 | 0.25 | 0.40 |
| Interpass time   | 20 s | 4 s  | 4 s  |
| Deformation Temperature (°C) | 920, 950, 980, 1000 | 980, 1000, 1020 | 950, 980 |

**Table 3** Summary of dilatometry tests at different cooling rates

| Cooling rate | $A_{r1}$ (°C) | $A_{r3}$ (°C) | Measured Cooling rate | Calculated $A_{r3}$ (°C) |
|--------------|--------------|--------------|------------------------|--------------------------|
| 0.1°C s$^{-1}$ | 674          | 755          | 0.1 °C s$^{-1}$        | 653                      |
| 1°C s$^{-1}$  | 604          | 710          | 1 °C s$^{-1}$         | 647                      |
| 10°C s$^{-1}$ | 480          | 672          | 9.88 °C s$^{-1}$      | 584                      |
| 20°C s$^{-1}$ | 496          | 641          | 14.12 °C s$^{-1}$    | 514                      |

**Figure 2** PAGB of AHSS dilatometry specimen before cooling. PAGS = $46 \pm 5.7 \mu m$. Etchant: Aqueous picric acid solution.

**Figure 3** CCT diagram from JMatPro® for the AHSS. Austenitization temperature: 1000 °C, PAGS: 45 μm. Asterisks represent actual dilatometry measurements.
This shows that the $A_{r3}$ temperatures predicted by JMatPro® are a good approach to the $A_{r3}$ measured in the dilatometer for different cooling rates.

**Recrystallization stop temperature ($T_{5\%}$)**

The macroscopic flow stress behavior of the double and single hit tests corresponding to deformation parameters of sets 2 and 3 is shown in Figs. 4 and 5, respectively. These curves were created from load versus displacement data following the best practice developed by Loveday et al. [11, 20]. The initial stress value in each test is an indicator of the yield stress at that specific temperature. At higher temperatures, less load is required for the material to flow [21]. The maximum stress of tests at 1000 °C is about 200 MPa, whereas at 920 °C the maximum stress in the curve is up to 250 MPa.

The data of single pass of set 2 at 980 °C and 1000 °C were taken from the single-pass tests of set 1, while on the 1020 °C, the single pass was extrapolated from the first pass of the double hit test.

The deformation parameters of set 3 are the closest to the conditions used in industrial practice. Single-pass tests of set 3 were done for a total strain of 0.40 instead of 0.80. After the deformation, the specimens were held at the deformation temperature for 4 s followed by water quench. However, the flow stress

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**Figure 4** Flow stress curves of double hit tests of set 2 at (a) 980 °C, (b) 1000 °C and (c) 1020 °C.
curves of single-pass deformations at 980 °C and 950 °C were extrapolated from the first pass of the double hit test for the softening calculations.

The overall softening on double hit tests is an indicator of the deformed state of the prior austenite grains. Due to the relatively low stacking fault energy of austenite, some authors [15, 22] have correlated a value of 20% overall softening with $T_{5\%}$, and 60% overall softening to $T_{95\%}$, that is the minimum temperature at which fully recrystallized austenite microstructure is present at certain deformation conditions.

The softening percentage calculations are plotted in Fig. 6 for different deformation temperatures of sets 1, 2 and 3. Softening calculations for sets 1 and 3 suggest that at 950 °C, the austenite grain shape would be completely elongated as their softening values are less than 20%. In the case of 980 °C, the softening calculated for set 1 and 2 is in between $T_{5\%}$ and $T_{95\%}$. This means that partial recrystallized structure is expected. On the other hand, the overall softening at 980 °C for set 3 is higher than the softening established for $T_{95\%}$. The larger amount of deformation causes a larger driving force that encourages recrystallization. Because of that, deformation conditions of set 3 at 980 °C would indicate a fully recrystallized structure of the austenite. This would also apply to deformations of set 1 at 1000 °C.

The flow stress of the second pass of double hit tests after shorter interpass, as in the case of set 3, would tend to be closer to the flow stress of the single hit test at the same level of strain. Hence, the softening decreases on the second pass and the recrystallization would need more energy (thermal or mechanical) to take place [22, 23].

As a validation of the measured softening behavior, the prior austenite grain was characterized for each of the deformed specimens of double and single hit tests. Microstructure observation confirmed the prediction of the austenite grains shape analyzed by the softening of double hit tests. Figures 7, 8 and 9 show the microstructure of prior austenite grain boundaries of sets 1, 2 and 3, respectively. The microstructure details, grain shape and amount of
recrystallized fraction of the single and double hit tests are summarized in Table 4. The fraction of recrystallized and non-recrystallized grains was measured following point count method in the standard ASTM E562-19 [24].

The average distance in between the PAGB caused by an accumulated strain of 0.5 in the non-recrystallized conditions is 20 μm in the direction perpendicular to the rolling and 80 μm parallel to the rolling direction, resulting in a grain aspect ratio of 4.

The specimens in set 1 of single and double hit tests at 920 °C, 950 °C, 980 °C and 1000 °C show a completely elongated austenite grain. The partial recrystallization structure is present in the single pass at 980 °C, 1000 °C and double hit at 980 °C, 1000 °C and 1000 °C. Even though the softening on the 1000 °C of set 1 was around 63%, the microstructure still shows some elongated grains. The non-recrystallized fraction in this condition does not exceed 50%. Since there is a higher percentage of recrystallized fraction when the deformation is done in a single pass at the same total strain, it is thought that the recrystallization occurs after the deformation before the water quench; this period is around 1 s. There are no signs of dynamic recrystallization in the behavior of the flow stress curve. The same situation was observed in the specimen deformed at 1000 °C. Although the single and double hit samples at 1000 °C have the same total strain of 0.5, the recrystallized fraction differs. On the double hit test at 1000 °C, some grains may undergo static recovery or have recrystallized during the 20 s of interpass time after the first pass. The recrystallized fraction in double hit tests is less than the single pass because the static recovery started during the 20 s of interpass time. During recovery, there is a rearrangement of dislocations which causes no

![Figure 7](image_url) **Figure 7** Prior austenite grain boundaries of single-pass (a–d) and double hit tests (e–h) deformed specimens at (a and e) 920 °C, (b and f) 950 °C, (c and g) 980 °C and (d and h) 1000 °C. Deformation parameters of set 1: total strain 0.5, interpass time 20 s, strain rate 10 s⁻¹.

![Figure 8](image_url) **Figure 8** Prior austenite grain boundaries of double hit tests at a 980 °C, b 1000 °C and c 1020 °C. Deformation parameters of set 2: total strain 0.5, interpass time 4 s, strain rate 10 s⁻¹.
further recrystallization to happen. This behavior was also reported by Lin et al. [10] who used similar testing conditions varying interpass time from 1 to 100 s.

Despite that the softening percentage calculated for set 2 at temperatures of 1000 °C and 1020 °C suggests that the morphology of the austenitic grain should be partially recrystallized, the microstructures show a completely equiaxed grain shape with PAGS of 14 μm and aspect ratio of 1.2. In set 2, it is until deformations at 980 °C that partial recrystallized structure is observed. By comparing the double hit tests at 980 °C of set 1 and 2, it is observed that for a shorter interpass time, there is a higher percentage of

Table 4 Prior austenite grains microstructure of single and double hit tests

| Deformation parameters | Deformation Temperature | Single-pass test                  | Double hit test                      |
|------------------------|-------------------------|-----------------------------------|-------------------------------------|
| Set 1                  | 1000 °C                 | Partial recrystallization (50%)   | Partial recrystallization (33%)     |
|                        | 980 °C                  | Partial recrystallization (22%)   | Non-recrystallized                  |
|                        | 950 °C                  | Non-recrystallized                | Non-recrystallized                  |
|                        | 920 °C                  | Non-recrystallized                | Non-recrystallized                  |
| Set 2                  | 1020 °C                 | –                                 | Fully recrystallized                |
|                        | 1000 °C                 | –                                 | Fully recrystallized                |
|                        | 980 °C                  | –                                 | Partial recrystallization (19%)    |
| Set 3                  | 980 °C                  | Fully recrystallized              | Fully recrystallized                |
|                        | 950 °C                  | Partial recrystallization (17%)   | Partial recrystallization (8%)      |

Figure 9 Prior austenite grain boundaries of single-pass (a and b) and double hit tests (c and d) at (a and c) 950 °C and (b and d) 980 °C. Deformation parameters of set 3: strain per pass 0.4, interpass time 4 s, strain rate 10 s⁻¹.
recrystallized fraction; this is because there is not enough time for the dislocations rearrangement or that the process of static recovery is not completed. This causes strain accumulation after the second pass which encourages recrystallization to take place.

One way to decrease \( T_{5\%} \) is by increasing the strain per pass, as was observed by comparing the double hit tests of set 2 and set 3. This is because more energy is supplied to the material and the conditions become preferable to start the process of any softening mechanism or recrystallization. Further, the temperature range between \( T_{5\%} \) and \( T_{95\%} \) becomes narrow, being as close as 30 °C at deformation conditions of set 3. These data are comparable to the results of Dutta and Palmiere [9] who used a similar steel composition.

**Proposed TMP route**

The austenite grain size and shape, which exist immediately prior to transformation, play an important role in the final mechanical properties of the steel. It is well known that grain refinement increases the strength of the material [25].

The TMP route that makes the most of the chemical composition would be by refining the austenite grain and also that the deformation in the finishing mill takes place in between \( T_{5\%} \) and \( T_{95\%} \) to avoid austenite recrystallization, and \( A_{\alpha 3} \) to avoid deformation of ferrite, thus resulting in strain accumulation and formation of deformation bands with high dislocation density, as well as an increase in nucleation sites for transformation products. This would achieve the best final mechanical properties. Cabibbo et al. [5] have found an increment on impact toughness of different steel compositions through deformations in the non-recrystallization temperature range.

The challenge in the development of AHSS microstructure is to design the cooling strategy [26]. This depends on the dimensions and capacity of the run-out table after the finishing rolling. The higher \( A_{\alpha 3} \) found at a slow cooling rate was 755 °C; this would suggest that the start temperature on the run-out table should be at least this temperature. Considering the deformation parameters of set 3, which are high deformation in the first pass of the finishing rolling and that the subsequent pass takes place after 4 s, \( T_{5\%} \) was identified to be 950 °C by softening fraction of double hit and austenite microstructure observation. The TMP design for the composition and deformation parameters of this AHSS should have a maximum temperature on the first stand of finishing mill of 950 °C. It is expected that during the interpass time, the temperature of the strip decreases, which decreases the driving force for recrystallization for the following pass. Nevertheless, it is recommended to reduce the equivalent strain in each of the subsequent passes. The temperature in the last pass of the rolling mill should be higher than 755 °C to ensure austenite deformation.

The desired phase volume fractions in the AHSS are obtained by designing a cooling strategy on the run-out table. It is worth mentioning that the austenite condition shown in Fig. 2 is not representative of the austenite at the entrance of the run-out table. The TMP schedule following the deformation passes below \( T_{5\%} \) will produce a larger \( S_v \), increasing the nucleation sites for phase transformation [27]. Thus, the CCT curve would shift to the left, which enables the phase transformation to ferrite even while cooling at high cooling rates, as shown in Fig. 10. In order to get a considerable amount of bainite, which is a strong phase with greater ductility than martensite, it is recommended to hold the temperature for a short time at bainite transformation temperatures, which according to the CCT in Fig. 10 for this steel composition is around 450–550 °C.

In actual industrial practice, the reductions in every stand of the mill will be defined depending on the initial and final strip thickness. A thinner thickness strip would require higher strain per pass; consequently, the \( T_{5\%} \) will be lowered after the first pass.

![Figure 10 CCT diagram from JMatPro® for the AHSS corresponding to the condition at the entrance of the run-out table after deformation. Austenitization temperature: 850 °C, PAGS: 3 μm.](image-url)
Also, the strain accumulation and hence the $S_v$ at the end of the finishing rolling may be slightly different. This could modify the CCT diagram at the entrance of the run-out table, and a new cooling strategy needs to be designed to get the AHSS microstructure.

**Conclusions**

The major findings of this investigation on the thermomechanical processing of AHSS are listed below.

- Critical processing temperatures, such as $A_1$, $A_3$ and $T_{5\%}$, are necessary to design a successful thermomechanical route. For AHSS, the temperature in the last pass of the thermomechanical rolling schedule should be higher than $A_{r3}$ to ensure austenite deformation but low enough to avoid recrystallization. This results in an austenite pancaked structure with a high $S_v$, which is optimal to enhance the final mechanical properties.

- Microstructural analysis of the prior austenite grains from double hit tests is the most trustful method to detect the presence of recrystallization after a deformation pass. The fraction of softening in between the two passes gives an indication of the shape of the parent austenite at the specified deformation conditions.

- The $T_{5\%}$ is highly influenced by the processing parameters (strain, strain rate, interpass time). $T_{5\%}$ can decrease by different factors such as increasing the amount of strain per pass or by maintaining long interpass times.

- Microstructures and softening indicate that $T_{5\%}$ for set 1 was 980 °C. For the deformation conditions of set 3, this parameter decreased to 950 °C due to the effect of a shorter interpass time and a higher amount of strain. This indicates that a strict control of the processing parameters is required to successfully condition the austenite microstructure. Set 3 deformation parameters are also more representative of industrial practice.

- For the steel composition in this research, the highest $A_{r3}$ measured at the lowest cooling rate (0.1°Cs$^{-1}$) was 775 °C. Then, as the $T_{5\%}$ for this steel was measured to be 950 °C, this means that the processing window for this steel is 195 °C, which provides great flexibility between mill force capability and control of austenite microstructure prior to transformation.

- A proper TMP route design, as well as the good control in the process, is undoubtedly the crucial part to take advantage of the microalloying elements. A successful TMP route will develop the best mechanical properties controlling the desired amount of phase fractions.

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**Authors contribution**

LFRA involved in formal analysis, methodology, investigation, writing—original draft; OGR took part in conceptualization, validation, resources; JPP participated in conceptualization, validation, resources; EJP involved in formal analysis, supervision, writing—review, and editing.

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