Theoretical discrepancies in the nucleon spin structure and the hyperfine splitting of muonic hydrogen

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Two groups, ours (Mainz) and Bochum, have recently been re-evaluating the spin polarizabilities and spin structure functions at low $Q$, using the baryon chiral perturbation theory (B\(\chi\)PT), the manifestly-covariant counterpart of the heavy-baryon chiral perturbation theory (HB\(\chi\)PT). Whilst the two groups agree that the B\(\chi\)PT framework works better than HB\(\chi\)PT in this sector, their quantitative results disagree in some of the quantities; most notably, the proton spin polarizabilities $\gamma_0$ and $\delta_{LT}$. These discrepancies are especially intriguing in light of new experimental data coming from the Jefferson Lab “Spin Physics Program”. The preliminary data on the proton are reported by Karl Slifer in a plenary session of this workshop.

Another theoretical discrepancy is emerging in the proton-polarizability contribution to the hyperfine splitting (hfs) in hydrogen and muonic hydrogen. Our B\(\chi\)PT calculation shows a significantly smaller effect than the state-of-the-art data-driven evaluations based on empirical spin structure functions. The smaller polarizability contribution leads to a smaller Zemach radius of the proton. This discrepancy could be relevant for the planned first-ever measurement of the ground-state hfs in muonic hydrogen.

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1. Introduction

Compton scattering off nucleons ($\gamma N \rightarrow \gamma N$) is one of the simplest processes by which we access the electromagnetic structure of the nucleon. It plays a central role in the calculation of the two-photon-exchange ($2\gamma$-exchange) corrections, Fig. 1, in hydrogen (H) and muonic hydrogen ($\mu H$), as well as, of the radiative corrections to elastic lepton-nucleon scattering (see, e.g., [1, 2] for reviews).

The description of nucleon structure at low energy relies on data-driven dispersive approaches, lattice QCD, and low-energy effective field theories (EFTs). Here we focus on the latter. We employ the baryon chiral perturbation theory ($B_{\chi}$PT) [3–5] — an EFT operating in terms of pion, nucleon and $\Delta$-isobar fields — to compute the forward doubly-virtual Compton scattering (VVCS) on the nucleon at next-to-next-to-leading order [6–8]. An analogous calculation is done by the Bochum group [9, 10], with insofar inexplicable differences to our results (compare, e.g., blue and grey bands in Fig. 2).

The VVCS amplitudes contain the main ingredients of the nucleon electromagnetic structure: form factors, polarizabilities, structure functions, which is useful in evaluations of the aforementioned $2\gamma$-exchange corrections. For example, the nucleon spin structure functions $g_1$ and $g_2$ are important for extractions of nuclear Zemach radii from the hyperfine-splitting (hfs) measurements in light muonic atoms. Presently, several collaborations (CREMA [11], FAMU [12, 13] and J-PARC/Riken [14]) are preparing measurements of the ground-state hfs in $\mu H$ and $\mu^3$He$^+$. These will allow one to extract the Zemach radii of the proton and helion, and learn about their magnetic properties. For a successful measurement, precise theory predictions are needed to narrow down the frequency search range in the experiments, see [15] for review. In light muonic atoms, these predictions are usually limited by the uncertainty of the nuclear- and nucleon-structure effects, which mainly stem from the $2\gamma$-exchange to be discussed in this paper.

The paper is organized as follows. In Sec. 2, we show the $B_{\chi}$PT predictions for the nucleon spin polarizabilities and moments of polarized structure functions and compare them to experimental data which have been recently obtained at the Jefferson Lab. In Sec. 3, we discuss the leading-order $B_{\chi}$PT predictions of the $2\gamma$-exchange polarizability contributions to the hfs in H and $\mu H$, and compare them to data-driven dispersive evaluations. We conclude with a summary and conclusions in Sec. 4.

![Figure 1: Left: 2\gamma-exchange diagram; horizontal lines correspond to the lepton and the nucleus (lower, bold). Right: forward Compton scattering.](image-url)
2. Nucleon Spin Polarizabilities and Moments of Polarized Structure Functions

In the two recent papers [7, 8], we discussed both the spin-independent and spin-dependent VVCS amplitudes, \( T_{1,2}(\nu, Q^2) \) and \( S_{1,2}(\nu, Q^2) \), functions of the photon energy \( \nu \) and virtuality \( Q^2 \). The absorptive part of these amplitudes, via the optical theorem, yields the unpolarized and polarized structure functions, \( \text{Im} T_i(\nu, Q^2) \sim f_i(x, Q^2) \) and \( \text{Im} S_i(\nu, Q^2) \sim g_i(x, Q^2) \), with \( x = Q^2/(2M_N \nu) \) the Bjorken variable and \( M_N \) the nucleon mass. Here we will focus on the polarized observables, some of which have recently received new experimental data.

The nucleon spin structure functions are being measured at Jefferson Lab within the “Spin Physics Program” [16]. Some new results have been presented in this workshop (see, e.g. [17] and the plenary contribution of K. Slifer). At least three different experiments have recently been mapping out the spin structure functions of the nucleon over a wide kinematic range: the EG4 experiments by the CLAS Collaboration (E03-006 for the proton and E06-017 for the neutron using \( \text{NH}_3 \) and \( \text{ND}_3 \) targets) [18, 19], the E97-110 experiment (using a \( \text{^3He} \) target to study the neutron) [20, 21], and the E08-027 or \( g_2p \) experiment (using an \( \text{NH}_3 \) target to study the proton) [22, 23].

We consider, first of all, the following spin polarizabilities:

\[
\gamma_0(Q^2) = \frac{16\alpha M_N^2}{Q^6} \int_0^{x_0} dx x^2 \left[ g_1(x, Q^2) - \frac{4M_N^2 x^2}{Q^2} g_2(x, Q^2) \right], \tag{1a}
\]
\[
\delta_{LT}(Q^2) = \frac{16\alpha M_N^2}{Q^6} \int_0^{x_0} dx x^2 \left[ g_1(x, Q^2) + g_2(x, Q^2) \right], \tag{1b}
\]

where \( \alpha \approx 1/137.036 \) is the fine structure constant, and \( x_0 \) is the inelastic threshold. They are shown in Fig. 2 for the proton (upper panels) and neutron (lower panels). The red curves show the leading, \( O(p^3) \) prediction of \( \chi_T \)PT (see the Feynman diagrams in Fig. 1 of Ref. [6]). The blue curves and error bands show the next-to-leading, \( O(p^{7/2}) \) prediction, which includes in addition the \( \Delta(1232) \)-isobar contributions (Fig. 2 of Ref. [6]). The gray bands show the analogous \( \chi_T \)PT calculation by the Bochum group [9]. Apparently there is a significant discrepancy between the two \( \chi_T \)PT calculations, especially in the proton \( \delta_{LT} \).

Other theory predictions seen in the figure include the considerably older HB\( \chi \)PT calculations. They demonstrate the poor convergence of HB\( \chi \)PT for these quantities (some of the curves are outside the scale of the figure). The pink bands represent the calculation in “infrared regularization” scheme of B\( \chi \)PT, exhibiting unphysical singularities which make it even less viable than HB\( \chi \)PT.

Coming back to the discrepancy between the two B\( \chi \)PT calculations, let us note that one of them (blue bands) comes out to be fairly consistent with the empirical evaluation of MAID [27], represented by the dotted curves in the figure. MAID uses cross sections for individual channels, rather than the total inclusive. However, the integral over \( x \) for these quantities converges very rapidly for low \( x \) (high energies), which makes the contribution of other channels negligible. Conversely, any large deviation from MAID, as seen, for example, by the Bochum calculation (grey bands) for the proton, should be reproduced by large high-energy contributions on the side of data-driven evaluations.
In this regard, it is interesting to consider the following integrals,

\[ I_1(Q^2) = \frac{2M_N^2}{Q^2} \int_0^{x_0} dx g_1(x, Q^2), \]  

(2a)

\[ I_A(Q^2) = \frac{2M_N^2}{Q^2} \int_0^{x_0} dx \left[ g_1(x, Q^2) - \frac{4M_N^2x^2}{Q^2} g_2(x, Q^2) \right]. \]  

(2b)

These are generalizations of the GDH sum rule. The latter holds at the real-photon point: \( I_1(0) = I_A(0) = -\frac{1}{4} \kappa_N \), with \( \kappa_N \) being the nucleon anomalous magnetic moment. The high-energy \( (\text{low}-x) \) contributions in these integrals are not suppressed by the factor \( x^2 \), and hence, the differences with MAID seen in polarizabilities should be amplified here. Certainly, MAID is missing here the higher-energy contributions needed to saturate the GDH sum rule, as is clearly seen at \( Q^2 = 0 \) in Fig. 3. Yet, these missing contributions are not very large; of the order of tens of percent. Deviations from MAID by factors 2 or 3, seen by the Bochum calculations in Fig. 3, are hardly explainable. Even less so are the large deviations in polarizabilities in Fig. 2, especially in \( \delta_{LT} \) of the proton.
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Figure 3: Generalized GDH integrals $I_1(Q^2)$ and $I_A(Q^2)$ of the proton. Legend is the same as in Fig. 2. Data-driven evaluation: The cyan star at $Q^2 = 0$ is the GDH value: $-\frac{1}{4}\kappa_p^2$, using $\kappa_p = 1.793$.

The same sentiment applies to the new data-driven evaluation of neutron polarizabilities by the E97-110 Collaboration [21], shown in Fig. 2 by pink crosses. It has anomalously large deviations from MAID in the low-$Q$ region. The new CLAS results for the proton [19] (green triangles) are, on the other hand, more reasonable. Hence, the anomalous results for the neutron is likely due to complications arising in extracting the neutron properties from ”neutron targets”, e.g., the deuteron or helium-3.

New data for the proton from the g2p Collaboration, presented at this workshop, have so far been shown in a preprint [23]; see Figs. 2 and 4 therein, for a comparison of theory predictions and data for the proton $\delta_{LT}(Q^2)$ and the inelastic moment $\bar{d}_2(Q^2)$. The latter is defined as:

$$\bar{d}_2(Q^2) = \int_0^{x_0} dx \ x^2 [3g_2(x, Q^2) + 2g_1(x, Q^2)],$$

and relates to the inelastic part of the twist-3 part of the spin structure function $g_2(x, Q^2)$ [32, 33]. Note that this quantity can be derived from the aforementioned quantities, e.g.,

$$\bar{d}_2(Q^2) = \frac{Q^6}{8aM_N} \delta_{LT}(Q^2) + \frac{Q^4}{8aM_N^2} [I_1(Q^2) - I_A(Q^2)],$$

and hence does not add anything new to this discussion.

3. Polarizability Contribution to the Hyperfine Splitting in (Muonic-)Hydrogen

The hfs of the $nS$-level is proportional to the leading order-$\alpha^4$ Fermi energy:

$$E_F = \frac{8 \alpha^4 m_r^3}{3mM} (1 + \kappa_p),$$

where $M$ is the proton mass, $\kappa_p$ is the anomalous magnetic moment of the proton, $m$ is the electron or muon mass in the case of H or $\mu H$, respectively, and $m_r = mM/(m + M)$ is the reduced mass. The nuclear finite-size effects start contributing at the order $\alpha^5$, through the forward $2\gamma$ exchange, Fig. 1,
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which is conventionally split into the elastic (Zemach-radius and recoil) and inelastic (polarizability) contributions [34]:

$$E_{\text{hfs}}^Z(nS) = \frac{E_E}{n^3} \left( \Delta Z + \Delta_{\text{recoil}} + \Delta_{\text{pol}} \right).$$  (6)

The finite-size contributions are obtained from the proton electromagnetic form factors, see, e.g., Ref. [35] for a recent update of the recoil contribution.

The polarizability contribution to hfs is expressed in terms of the spin structure functions:

$$\Delta_{\text{pol}} = \Delta_1 + \Delta_2 = \frac{a_m}{2\pi(1 + \kappa_p)M} \left[ \delta_1 + \delta_2 \right],$$  (7a)

where $\Delta_1$ and $\Delta_2$ are related to the spin-dependent structure functions $g_1$ and $g_2$, respectively:

$$\delta_1 = 2 \int_0^\infty dQ \left( k_0(Q^2) \left[ 4I_1(Q^2) + F_2^2(Q^2) \right] + \int_0^{\kappa_0} dx \, k_1(x, Q^2) g_1(x, Q^2) \right),$$  (7b)

$$\delta_2 = \int_0^{\kappa_0} dx \, k_2(x, Q^2) g_2(x, Q^2),$$  (7c)

and the kinematic functions $k_i$ can be found in, e.g., [15]. In Eq. (7b), we isolated the polarizability part, $I_1^{(\text{pol})} = I_1(Q^2) + \frac{1}{4}F_2^2(Q^2)$, of the first moment of the $g_1$ spin structure function. This is not required to achieve convergence in the dispersive description of the $S_1$ amplitude. However, it is important because $4I_1(Q^2)$ and $F_2^2(Q^2)$ cancel exactly at $Q^2 = 0$, thanks to the GDH sum rule. A large cancellation persists also at finite momentum transfer. With the Pauli form factor $F_2$ and $g_1$ structure function parametrizations of Refs. [36] and [37], we find contributions to $\Delta_{\text{pol}}$ of 1089 ppm and $-855$ ppm, respectively. Their cancellation into 234 ppm is a considerable source of uncertainty in the data-driven evaluation. Evaluations of the full polarizability contribution, based on similar parametrizations, yield $\Delta_{\text{pol}}$ more than a factor 2 smaller than the individual $I_1(Q^2)$ and $F_2^2(Q^2)$ contributions. A low-$Q$ expansion of $\Delta_1$ is conventionally used to interpolate between the real-photon limit, described by the static values of the forward spin polarizability $\gamma_0$ and the anomalous magnetic moment $\kappa$, and the onset of data for the $g_1$ structure function, see, e.g., Ref. [34].

Figure 4: Comparison of predictions for the polarizability contribution to the hfs $\mu H$ [38–40].

Presently, there is a discrepancy between the data-driven dispersive evaluations and the $B_{\chi}$PT predictions of the polarizability contributions to the hfs in $\mu H$, see Fig. 4. Similar discrepancy exists in H. The $B_{\chi}$PT prediction is considerably smaller and is, in fact, comparable with 0 [41]. This can be understood from a low-energy expansion of the spin-dependent VVCS amplitudes in
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the heavy-baryon (HB) limit [42], where the leading HB term, $O(1/m_N^2)$, cancels out in $\Sigma_i(0, Q^2)$. Therefore, the chiral loops in the hfs are essentially vanishing, where the small number is just a remnant of higher orders in the HB expansion.

Since the total $2\gamma$ contribution is well constrained by the precise measurement of hydrogen hfs, the smaller polarizability effect in $B_{\chi PT}$ implies a smaller Zemach radius, cf. the blue band in Fig. 5 and Ref. [15] for more details.

Figure 5: Correlation between the Zemach and charge radius of the proton. The points are from: Lin et al. [43], Borah et al. [44], CREMA [45], Distler et al. [46], Kelly [36], Bradford et al. [47], Arrington et al. [48], and Arrington & Sick [49]. Figure is taken from [15].

4. Conclusion

We have discussed two discrepancies in the current description of the nucleon spin structure at low energies.

- The discrepancy between the two $B_{\chi PT}$ calculations (Mainz vs. Bochum) of the nucleon spin polarizabilities $\delta_{LT}$ and $\gamma_0$, and generalized GDH integrals.
- The discrepancy between $B_{\chi PT}$ and data-driven evaluations of the proton polarizability contribution to hfs, see Fig. 4.

To resolve these discrepancies one does not necessarily need new experimental data, there are plenty of data against which these calculations have not been tested yet.

For example, there is a wealth of empirical information on real Compton scattering of the proton. In the forward kinematics everything is known [29, 50], the value of $\gamma_0(0)$, seen in Fig. 2, is only one of the data points. The off-forward Compton scattering has also been well-measured and can serve as a test of these calculations, see e.g., [51].

The data-driven evaluations of the polarizability contribution to the hyperfine splitting ought to set some benchmarks as well. They could, for example, compute the proton spin polarizabilities and the aforementioned GDH integrals using the same ingredients.
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The forthcoming re-analysis of $g_2(x, Q^2)$, and its contribution to hfs, by the g2p Collaboration is promising to improve the situation [22, 23]. They, first of all, obtain new data for this otherwise scarcely-known spin structure function. And they will provide the results for the spin polarizabilities and their hfs contribution in the same evaluation.

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