Ecological risk assessment of heavy metals in road dust based on improved potential ecological risk index: A case study in Zhengzhou, China

Huina Zhu*, Liangbo Zhang, Hailiang Zhao, Baozhong Zhang, Hanyu Chen, and fan Shi

College of Environmental Engineering, Henan University of Technology, Lianhua Street 100, 450001 Zhengzhou, China

Abstract. In order to analyze the ecological risk of the heavy metals in road dust. The improved potential ecological risk index was established. In the improved method, the toxicity of different chemical speciations of heavy metals were considered. Since residual fraction of Cd are non-toxic in the improved method, the results of the improved method were much lower than the traditional method. The results showed that the total risks of 3# and 15# were medium risk. The highest risk appeared on 14# with the value 337.49, which belonged to high risk, and the total risk of the other sampling sites were low risk. Therefore, 14# should be the primary control site. The improved method established in this study is more scientific.

1 Introduction

With the rapid development of economy and urbanization and the increase of motor vehicle ownership in recent years, air quality has been paid more and more attention. All kinds of solid fuels in production and life are releasing various pollutants into the atmosphere[1]. Road dust is generally formed by atmospheric particles deposition, which can lead to secondary pollution with the driving process of vehicles. At present, many scholars have studied the ecological and health risks of pollutants in air dust or road dust [2,[3].

Among various air pollutants, heavy metals are the most harmful pollutants to human health and ecological system[4,5]. Heavy metals in road dust not only affect the growth of plants, but also change the acidity and alkalinity of soil and the supply of nutrients, which has serious effects on the ecological environment[4,5]. Therefore, the research on the speciation, distribution characteristics and risk of heavy metals in road dust is one of the hot spots in scientific research.

Many researchers have studied the pollution of trace metals in soil and road dust[6,7]. C. Men studied the sources and risk of heavy metals in road dusts in Beijing[3]. M. Zhang analyzed the sources and health risk assessment of toxic metals in urban soil and road dust in Xining city[5]. Zhengzhou is located in Central China, Henan Province, with the dense population and heavy traffic. In winter, the air pollution in heating area is particularly serious. Affected by automobile exhaust, road dust has its own pollution characteristics. At present, there are few reports on the risk analysis of heavy metal in road dust in Zhengzhou. Based on the sampling data of the Third Ring Road in heating season, this study analyzed the pollution and ecological risk of heavy metals in road dust in Zhengzhou City, which can provide certain technical support for the future environmental management.

The main purpose of this study is analyzing the pollution degree and ecological risk of heavy metals in road dust in Zhengzhou. (1) The concentrations of heavy metals, including Cu, Zn, Cd, Pb, Mn, Cr, and As of the road dust in Zhengzhou were monitored; (2) the chemical speciation analysis of heavy metals was carried out; (3) the traditional potential ecological risk of heavy metals was analyzed; (4) the potential ecological risk analysis method based on the chemical forms of heavy metals was established, and the method was applied to the risk of heavy metals in road dust in Zhengzhou.

2 Materials and methods

2.1 Study area

Zhengzhou, China, the capital of Henan Province, is located in the south of North China Plain. Zhengzhou is located at 112° 42'-114° 13' east longitude and 34° 16'-34° 58' North latitude. The population density of Zhengzhou ranks the second in the provincial capital cities of China, next only to Guangzhou. Due to the large population and heavy traffic in Zhengzhou, the air pollution caused by human activities and traffic in Zhengzhou is serious. Moreover, since Zhengzhou is located in the plain area. The winter climate is not conducive to air diffusion, and the air pollution in the winter heating season is more serious.

In order to investigate the pollution and the ecological risk of heavy metals in road dust of Zhengzhou. The road dust samples were collected from...
15 sampling sites in the Third Ring Road of Zhengzhou in winter of 2018.

2.2 Samples collection

A total of 15 road samples were collected from Zhengzhou in December 2018. At each sampling site, samples were collected at five sub-sites from 10 m² road pavement, and each sample size is >200 g. Then marked the time and place of sampling. The dust was gently swept onto the dustpans using the plastic brushes, and then poured into the self-sealing polyethylene bag. The samples were air-dried in the laboratory and then screened with 200 mesh (<75 channel M) nylon sieve to remove the impurities[8]. Three parallel samples of approximately 0.2000g were weighed and waited for digestion.

2.3 Samples analysis

Seven typical heavy metals were chosen to be measured in the road dust of Zhengzhou. These were arsenic (As), cadmium (Cd), chromium (Cr), copper (Cu), manganese (Mn), lead (Pb), and zinc (Zn). The total concentrations and fraction of heavy metals in each sample were analyzed. The morphology was extracted by Three-step sequential extraction scheme[9]. After a series of pretreatment, digestion and extraction, the total concentrations and fraction of heavy metals in 15 sampling sites were analyzed by ICP-OES spectrometer.

2.4 Traditional Potential ecological risk index(IR)

The risk of heavy metals in the road dust was analyzed by the traditional potential ecological risk index. The formulas are as follows[10]:

\[ C_j = \frac{C_{ij}}{C_{Bj}} \]  
\[ E'_j = T'_j \times C_{ij} \]  
\[ RI = \sum_{j=1}^{n} E'_j \]

Where: RI is the total risk; \( E'_j \) refers to the single risk; \( T'_j \) is the toxicity response coefficient (Zn=1, As=10, Cd=30, Cr=2, Hg=40, Cu=5, Pb=5); \( C_{ij} \) refers to the pollution factor; \( C_{Bj} \) is the concentration of heavy metals in the road dust; \( C_{ij} \) is the background concentration before industrialization (Background concentration of soil in Henan Province refer to reference[11]).

Table 1. Potential ecological risk level[10]

| \( E'_j \) | risk level of single metal | RI | Total risk level |
|---|---|---|---|
| \( E'_j < 0.4 \) | low | RI < 150 | low risk(LR) |
| 40 \( \leq \) \( E'_j < 80 \) | medium | 150 \( \leq \)RI < 300 | medium risk(MR) |
| 80 \( \leq \) \( E'_j < 160 \) | equivalent | 300 \( \leq \)RI < 600 | high risk(HR) |
| 160 \( \leq \) \( E'_j < 320 \) | high | RI \( \geq \) 600 | very high risk(VHR) |

2.5 Improved potential ecological risk index (IER)

It is widely recognized that the distribution, mobility, bio-availability and eco-toxicity of heavy metals in the environment depend not only on their total concentration but also on their chemical speciation. Chen[12] established the relationship among fractions of heavy metals, eco-toxicity, and bio-availability through the European Community Bureau of Reference (BCR) sequential extraction. It is be verified that 1) acid soluble/exchangeable fraction(F1) and reducible fraction(F2) are direct toxicity, 2) oxidizable fraction(F3) is potential toxicity,3) residual fraction(F4) is no toxicity.

Considering the influence of chemical forms on the toxicity of heavy metals, a potential ecological risk analysis method based on fractions was established in this study, which is as follow.

\[ C'_{ij} = C_{ij}(F1 + F2) \times 1.2 + C_{ij}(F3) \times 0.6 + C_{ij}(F4) \times 0 \]  
\[ C''_{ij} = \frac{C'_{ij}}{C_{Bj}} \]  
\[ E''_j = T'_j \times C'_{ij} \]  
\[ RI = \sum_{j=1}^{n} E''_j = \sum_{j=1}^{n} T'_j \times C'_{ij} \]

3 Results and discussion

3.1 Total concentration of the heavy metals

According to the experimental analysis results, the concentrations of the heavy metals in road dust at 15 sampling points of the Third Ring Road in Zhengzhou in 2018 are shown in Table 2.

The results showed that the concentrations of As, Zn, Cd and Cu in all sampling sites exceeded the soil background values. The concentrations of Mn were lower than the background value. Pb and Cr exceeded the background value at partial sampling sites. Except Mn and Cr, the average value of the other five heavy metals exceeded the background value. The pollution of As and Cd were relatively serious. The concentrations of heavy metals in sampling sites 10#, 12#, 13# and 14# were relatively high, mainly due to long-term road construction of 10#, 12#, 13#, 14# are located at the overpass of North Third Ring Road of Zhongzhou Avenue, which belong to the main traffic road. Thus, the more motor vehicles and more pollutants such as exhaust gas, results in relatively serious total metal pollution at sampling site 14#.
The fractions of the heavy metals was analyzed through the European Community Bureau of Reference (BCR) sequential extraction.

### 3.3 Traditional Potential risk assessment

The traditional potential risk index of single heavy metal ($E_i$) and the total risk (RI) were shown in Table 3.

| Sampling site | As  | Zn  | Pb  | Cd  | Mn  | Cr  | Cu  | Risk level |
|---------------|-----|-----|-----|-----|-----|-----|-----|------------|
| 1#            | 17.00 | 268.07 | 18.07 | 2.50 | 86.10 | 27.90 | 29.50 | VHR        |
| 2#            | 18.40 | 122.20 | 17.27 | 2.50 | 104.93 | 48.50 | 22.63 | VHR        |
| 3#            | 19.57 | 213.30 | 19.03 | 3.13 | 91.03 | 40.40 | 35.70 | VHR        |
| 4#            | 19.77 | 166.17 | 62.70 | 1.67 | 72.13 | 42.43 | 35.00 | VHR        |
| 5#            | 20.23 | 157.00 | 21.43 | 2.50 | 82.53 | 29.07 | 30.27 | VHR        |
| 6#            | 21.37 | 101.27 | 17.90 | 2.50 | 90.87 | 33.27 | 42.93 | VHR        |
| 7#            | 24.37 | 280.23 | 19.40 | 2.50 | 76.57 | 20.77 | 24.17 | VHR        |
| 8#            | 23.87 | 155.17 | 16.63 | 2.50 | 84.83 | 26.47 | 29.27 | VHR        |
| 9#            | 24.50 | 253.83 | 20.50 | 2.50 | 102.97 | 42.53 | 42.53 | VHR        |
| 10#           | 25.95 | 214.65 | 21.17 | 3.98 | 154.40 | 61.65 | 43.78 | VHR        |
| 11#           | 22.40 | 115.90 | 14.90 | 2.50 | 117.83 | 16.97 | 20.10 | VHR        |
| 12#           | 25.70 | 218.30 | 94.70 | 2.70 | 184.10 | 59.20 | 56.87 | VHR        |
| 13#           | 24.67 | 233.83 | 20.97 | 2.50 | 190.33 | 93.87 | 61.17 | VHR        |
| 14#           | 24.73 | 159.33 | 21.13 | 3.03 | 183.13 | 81.43 | 79.50 | VHR        |
| 15#           | 24.80 | 183.90 | 19.87 | 2.90 | 137.97 | 54.50 | 43.67 | VHR        |
| Min           | 17.00 | 101.27 | 14.90 | 1.67 | 72.13 | 20.77 | 20.10 | VHR        |
| Max           | 25.95 | 280.23 | 94.70 | 3.98 | 190.33 | 93.87 | 79.50 | VHR        |
| AVE           | 22.49 | 192.21 | 27.04 | 2.66 | 117.32 | 45.26 | 39.81 | VHR        |
| SD            | 2.88  | 53.18  | 21.91 | 0.49 | 41.93  | 21.93 | 16.14 |            |

The fractions of the heavy metals was analyzed through the European Community Bureau of Reference (BCR) sequential extraction.

### 3.4 Improved potential ecological risk index

The risks of single heavy metal and the total risks (IRI) analyzed by potential ecological risk index based on heavy metals chemical speciation were shown in Table 4.

| Sampling site | As  | Zn  | Pb  | Cd  | Mn  | Cr  | Cu  | IRI Risk level |
|---------------|-----|-----|-----|-----|-----|-----|-----|---------------|
| 1#            | 11.31 | 0.19  | 1.96 | 0.00 | 0.06 | 1.57 | 15.10 | LR            |
| 2#            | 13.33 | 0.17  | 2.13 | 0.00 | 0.06 | 1.68 | 17.36 | LR            |
| 3#            | 12.27 | 0.22  | 1.96 | 0.00 | 0.06 | 3.27 | 297.52 | MR            |
| 4#            | 13.39 | 0.25  | 5.18 | 0.00 | 0.18 | 1.78 | 20.77 | LR            |
| 5#            | 14.84 | 0.20  | 1.74 | 0.00 | 0.05 | 1.26 | 18.09 | LR            |
| 6#            | 15.94 | 0.25  | 1.88 | 0.00 | 0.06 | 4.00 | 22.12 | LR            |
| 7#            | 18.16 | 0.46  | 2.18 | 0.00 | 0.06 | 2.23 | 21.33 | LR            |
| 8#            | 16.51 | 0.67  | 1.87 | 0.00 | 0.05 | 2.54 | 22.87 | LR            |
| 9#            | 17.73 | 0.89  | 2.64 | 0.00 | 0.07 | 5.00 | 26.33 | LR            |
| 10#           | 18.27 | 0.65  | 2.51 | 58.69 | 0.06 | 4.52 | 80.70 | LR            |
| 11#           | 17.63 | 0.59  | 2.05 | 0.00 | 0.06 | 2.54 | 22.87 | LR            |
| 12#           | 19.20 | 1.27  | 3.22 | 112.50 | 0.18 | 9.92 | 146.29 | LR            |
| 13#           | 19.24 | 0.97  | 2.34 | 0.00 | 0.17 | 9.84 | 32.56 | LR            |
| 14#           | 19.33 | 1.07  | 2.73 | 300.00 | 0.19 | 14.18 | 337.49 | MR            |
| 15#           | 19.41 | 0.70  | 2.12 | 225.00 | 0.12 | 5.58 | 252.93 | MR            |
| Average       | 16.44 | 0.57  | 2.44 | 64.79 | 0.10 | 4.57 | 88.90 |               |

It can be seen from Table 4 that compared with the results of potential ecological risk assessment (Table 3), the biological toxicity of different chemical forms of heavy metals was considered in the improved potential ecological risk index. According to the reference[14], the residue is non-toxic. The results of the improved potential ecological risk assessment showed that the total risks of 3# and 15#  were MR. The highest risk appeared on 14 # with the value of 337.49, which...
belonged to HR, and the total risk of the other sampling sites were LR.

The evaluation results of the two assessment methods are quite different, which mainly depends on the toxicity of different chemical forms of heavy metals. Since residual fraction(F4) of Cd are non-toxic in the samples, the results of the improved potential ecological risk index were much lower than the traditional potential ecological risk index. In the evaluation process, it is more scientific to consider the ecological toxicity of the heavy metal fractions, which is more in line with the real situation than using the total concentration, so as to avoid the wrong management decision.

4 Conclusion

In all sampling sites, the concentrations of As, Zn, Cd and Cu exceeded the soil background values. The concentration of Mn was lower than the background value. Pb and Cr exceeded the background value at partial sampling sites. Except Mn and Cr, the average value of the other five heavy metals exceeded the background value. The pollution of As and Cd were relatively serious.

According to the traditional potential ecological risk index, the order of potential risk of single risk was Cd > As > Cu > Pb > Zn > Cr. Among the 15 sampling sites, the total risk of 10 #is the highest, which is 1914.85. The total risk of 4 #is the lowest, which is 828.55. However, the total risks of the 15 sampling sites were all belong to very high risk level, which need to be paid special attention by the management department. The main reason is that the risk value of Cd is too high, with the minimum risk of 781.25 and the average value of 1247.40. Therefore, Cd should be the primary control pollutant.

According to the improved potential ecological risk index, the total risks of 3# and 15# were MR. The highest risk appeared on14# with the value 337.49, which belonged to HR, and the total risk of the other sampling sites were LR. Therefore, 14# should be the primary control site. Since residual fraction(F4) of Cd are non-toxic in the improved method, the results of the improved potential ecological risk index were much lower than the traditional potential ecological risk index.

It can be seen that there is a big difference in the risk of Cd between the two methods. The risk is very high in the traditional evaluation method, but low in the improved method. In the evaluation process, it is more scientific to consider the ecological toxicity of heavy metal fractions, which is more in line with the real situation than using the total concentration, so as to avoid the wrong management decision.

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