3D Detection and Characterisation of ALMA Sources through Deep Learning

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1 INTRODUCTION

In the last two decades, astronomical datasets underwent a rapid growth in size and complexity thus pushing Astronomy in the big data regime (Longo et al. 2019; Baron 2019; Pesenson et al. 2010; Zelinka et al. 2021). Traditional approaches such as interactive data reduction and analysis are laborious due to the size and the complexity of the data hence the ability of machine learning methodologies, both supervised and unsupervised to cope with very complex data, has been extensively exploited by the community to solve a wide variety of problems spanning all aspects of the astronomical data life, from instrument monitoring to data acquisition and ingestion, to data analysis and interpretation (Becker et al. 2020; Kovačevič et al. 2020; Huertas-Company et al. 2018; Margalef-Bentabol et al. 2020; Lanusse et al. 2021; Morningstar et al. 2019; Sweere et al. 2022; Zhao et al. 2022; Lin et al. 2022; Duarte et al. 2022; Cheng et al. 2020). This has also led to the implementations of many deep learning-based pipelines in the field of Astrophysics. To quote only some among the most recent and interesting applications, Yi et al. (2022) utilized a custom deep learning model to detect Low Brightness Galaxies within SDSS images. Their architecture is composed of three models: a ResNet50 to extract features from the images, a CNN to regress the class probabilities for the galaxies within the images, and another CNN to predict the coordinates of the galaxies within the images. As loss functions, they employed the Binary Cross Entropy loss for the classification problem and a probability-weighted mean squared loss for the regression problem. Akhazhanov et al. (2022) proposed a novel deep learning-based pipeline for detecting quadruply lensed quasars. The pipeline was trained on simulated observations comprising both lensed and non-lensed quasars and is composed of several deep learning models combined together to solve a binary classification problem: the lower-dimensional representation extracted from four Variational Autoencoders, two ResNets, one with rectangular convolution, one with polar, and a U-Net-like model. Goode et al. (2022) presented a novel pipeline called Removal of BOgus Transients (ROBOTS) in order to assess transient candidates within the Deeper, Wider, Faster (DWF) program. One of the main bottlenecks of DWF, is the time required to assess candidates for rapid follow-up and manual inspection prior to triggering space-based or large ground-based telescopes, the authors create a pipeline to address this main bottleneck. The pipeline employs a combination of a CNN and a CART: the CNN is used to classify the input images, while the
CART is used to further classify candidate light curves identified by the CNN. In fact, the CNN algorithm is used to determine the quality of individual data points rather than that of the light curves, and thus the CART is used to incorporate the temporal information within the decision framework. As we will demonstrate, their strategy of utilizing extra information in the temporal domain to further classify candidates is similar to how we use frequency information to deblend the potential candidates found by the AutoEncoder in our pipeline (see Sec. 4.4 step 8). Pearson et al. (2019) utilized a CNN trained with both real observations from the SDSS (Blanton et al. 2017) and simulated observation from EAGLE (Crain et al. 2015) to identify galaxy mergers. By comparing the performances on both real and simulated data, they concluded that a CNN trained on simulated data could be used to detect mergers in real observations. Also in the field of radioastronomy, some interesting examples can be found. For instance, Bowles et al. (2020) introduced attention-gated networks for radio galaxy classification demonstrating that these networks can perform similarly to CNN-based classifications while utilizing significantly fewer trainable parameters and thus reducing the complexity of the model and the risk of overfitting. Moreover, they employed the produced attention maps (Hassanin et al. 2022) to help the interpretation of the results. Zeng et al. (2020) proposed a novel deep learning pipeline, Concat Convolutional Neural Network (CCNN), for selecting pulsar candidates within the Commensal Radio Astronomy FasT Survey (Li et al. 2018). The main idea behind their pipeline is to use several specialized CNNs to extract the low-dimensional latent information from the pulse profile, the Dark Matter profile, the frequency versus phase plot, and the time versus phase plot. The latent spaces are then concatenated and fed to a Multi-Layer Perceptron that performs a binary classification task. Sadr et al. (2019) employed a CNN to detect point sources within images. The CNN is trained on simulated maps with known point source positions and learns how to amplify the Signal to Noise Ratio (SNR) in the input map. The map is then converted into a catalogue using a dynamic blob detection algorithm. The authors compared their pipeline performances with PyBDSF (a classical, widely used peak detection algorithm, Mohan & Rafferty (2015)), obtaining better results on all metrics. Lukic et al. (2019) presented a novel deep learning model called ConvoSource, which utilizes 2D Convolutions to map the input observations to the target signal map using a binary cross-entropy loss function. The model performance was found to be similar to that of PyBDSF. Both Sadr et al. (2019) and Lukic et al. (2019) use as input images already correlated data and thus rely on the CLEAN algorithm (Höggbom 1974): an assumption which as we shall discuss below, may affect the final performances. Connor et al. (2022) developed a novel deep learning pipeline called POLISH for image super-resolution and deconvolution of radio interferometric images. Their model aims to learn the mapping between the input “dirty” images and the target sky model images. Their architecture is a modified version of the Wide Activation for Efficient and Accurate Image Super-Resolution (Yu et al. 2018) capable to allow for high-dynamic range, multifrequency output and uncertainty in the PSF. To test the performances of their model they generated DSA-2000 (Halinan et al. 2019) simulated data, and compared the performances of their model on the dirty images with source extractor applied on cleaned images. The cleaning was performed with the CLEAN algorithm (Höggbom 1974). POLISH achieved both better mean peak signal-to-noise ratio (PSNR) and better structural similarity index (SSIM) as well as a lower fraction of false detections when compared to the true sky models. Schmidt, K. et al. (2022) presented a deep-learning architecture for reconstructing incomplete Fourier data in radio interferometric images. By reconstructing missing data in the visibility space, a clean sky model image can be obtained by simply taking the inverse 2D Fourier transform of the reconstructed data. In order to train and test the performances of their architecture, the authors generated simulated VLBI u−v maps both noiseless and noisy, corresponding for both point-like and Gaussian sources and compared the results of their model with those by wsclean (Offringa et al. 2014).

The direct reconstruction of the sky model from the u−v map has been tackled with classical statistical approaches by several authors (Honma et al. 2014; Karamochi et al. 2018; Akiyama et al. 2017) but, to the best of our knowledge, Schmidt, K. et al. (2022) were the first to tackle the problem using a Deep Learning approach.

Finally, Rezaei et al. (2021) presented a novel deep learning pipeline (DECORAS) for detecting and characterizing sources in VLBI simulated images. Their pipeline consists of two Deep Convolutional Autoencoders (similar in scope and structure to the first deep model of our pipeline, i.e. Blobs Finder) used to detect sources within the images and an XGboost model for the regression of source peak surface brightness. Other morphological parameters are derived by fitting 2D Gaussian models to the sources. The authors train and test their pipeline on simulated VLBI simulations and directly compare with blobcat (Hales et al. 2012), a source extraction software that utilizes the flood fill (Pedregosa et al. 2011) algorithm to detect and catalog blobs, showing that the two methods have similar completeness levels for $SNR_s = 5.5$. While for $SNR_s > 5.5$, DECORAS outperforms blobcat by a factor of two.

Last but not least we must mention SOFIA (Serra et al. 2015) which—even though not based on DL—is a state of the art flexible line finding algorithm capable of detecting and parametrizing HI sources within 3D radio data cubes. When employing the smooth and clip algorithm $S + C$, meaningful emission in the cube is detected by smoothing the data cube with 3D kernels specified by the user at multiple angular and velocity resolutions. At each resolution, voxels are detected if their absolute value is above a threshold given by the user (in noise units). The final mask is the union of the masks constructed at the various resolutions. The algorithm was updated and renamed SOFIA-2 (Westmeier et al. 2021), rewritten in the C programming language while making use of OpenMP for multi-threading of the most time-critical algorithms. SOFIA-2 is substantially faster and comes with a much reduced memory footprint compared to its predecessor.

Our paper is arranged as follows: in Section 2 we introduce the image reconstruction problem in radio astronomy and how it is solved for ALMA images. In Section 3 we describe the simulation algorithm that we used to generate realistic ALMA observations. The simulation algorithm is used to train and test our pipeline. The simulation parameters and the characteristics of the output cubes and the sources within them are explained. In Section 4 the architectures of the employed deep learning models of our pipeline, together with a complete data flow are described. The data flow is used to explain the inner workings of the pipeline, as well as a description of the training strategies adopted for all the models. In Section 5.1 we discuss the pipeline performances in detecting and characterizing sources within the test set. We compare the detection performances with those of two traditional methods blobcat (Hales et al. 2012), SOFIA-2 (Westmeier et al. 2021), and another deep learning pipeline DECORAS (Rezaei et al. 2021). Finally, in Section 6 we discuss our results, draw our conclusions, and lay the prospect for future work. Both the simulation code (ALMASim) and the pipeline (DeepFocus) are written in Python (Van Rossum & Drake Jr 1995), and all software has been made publicly available through GitHub in order to allow for further developments, testing and reproducibility.
2 DEEP LEARNING AND ALMA

Interferometric radio observatories such as ALMA, after the initial correlation and calibration of the raw signals detected by the antennas in Fourier space, provide data in the form of measurement sets, which contain information of visibilities for each baseline. In imaging, cubes are retrieved from the measurement sets through an inverse Fourier transform. Data cubes are composed by a series of images (characterized by their coordinates on the celestial sphere) each taken at a different frequency. Frequency channels are usually contiguous and their separation is determined by the frequency resolution (or sensitivity) of the observatory. The extraction of the astrophysical signals from the data cubes requires the solution of an ill-posed inverse problem:

\[ I^D(x, y) = R \times I(x, y) + n \]  

where \( I^D(x, y) \) are the observed measurements, \( I(x, y) \) are the unknown signals, \( R \) is a degradation operator due to the response function of the observatories and \( n \) is the additional noise propagated from the observation process to the calibrated signal. \( R \), also known as forward operator, takes up the very complex underlying physical phenomena involved in the observational process. The deconvolution process ideally provides the noiseless observation \( I(x, y) \) from the observed measurements and it is traditionally performed making assumptions about the structure of both the signal and of the forward operator. Many attempts have been made at solving this problem using Machine Learning (ML) based approaches (Bowles et al. 2020; Zeng et al. 2020; Schmidt, K. et al. 2022; Rezaei et al. 2021; Connor et al. 2022). In this paper, we present a deep-learning-based pipeline for the detection and characterization of sources within ALMA “uncleaned” or “dirty” calibrated data cubes. In a first order approximation, a dirty cube represents the inverse Fourier transform of the observed visibilities convolved with the instrumental point spread function (dirty beam). Where for Visibilities we mean the recorded complex values of the interference pattern provided by each antenna pair (Thompson et al. 2017). Since for every observation, the number of sampled visibilities is forcefully limited, a direct inversion of Eq. 1 is not feasible for reconstructing the sky brightness. The most popular deconvolution and cleaning technique for image reconstruction in the radio domain is CLEAN (Högbom 1974). In CLEAN, given the point-spread function, point sources are identified and subtracted from the dirty image using an iterative process. The identified point sources are recorded in the model image. When all point sources are removed from the dirty image, the remaining dirty image should consist only of noise. A multiscale CLEAN approach extends the work of Högbom (1974) allowing point sources to be Gaussian distributed instead of delta functions (Cornwell 2008). ALMA has been a game changer in high resolution aperture synthesis imaging (ALMA Partnership et al. 2015), and a development roadmap (Carpenter et al. 2022) has been approved with the goal of meeting its high standards. One of its main objectives is to broaden the instantaneous bandwidth of the receivers, upgrading the correlator to process the entire bandwidth. As a consequence, ALMA sensitivity and observing efficiency will improve, producing imaging products at least two orders of magnitude larger than the current cube size (which already resides in the GB regime). As a result of these upgrades, ALMA cube imaging will become a very demanding task.

In this work we introduce a new approach to the image reconstruction problem capable to overcome some of the limitations introduced by the CLEAN algorithm. More in details, CLEAN’s iterative cleaning procedure optimizes the best possible image reconstruction employing a minor cycle (or deconvolver) operating in the image domain and a major cycle (or imager) to handle residuals from the observed data and the estimated model image (through a transformation from data and image domains). Each cube undergoes a time-consuming cleaning procedure which is demanding for current and future radio interferometers (Carpenter et al. 2022). Moreover, by working on each slice independently, CLEAN does not allow for correlating information between pixels along the frequency axis of the cube, thus leading to the creation of artefacts in the cleaned cube. For example, a noise peak would be deconvolved several times with the instrumental PSF and the recovered delta function would be convolved with the clean beam (i.e. a Gaussian approximation of the PSF) thus producing a structure morphologically similar to the actual sources that underwent the same iterative deconvolution process.

We propose, instead, a deep-learning-based pipeline developed with the goal to detect emission lines in ALMA cubes while speeding up traditional methods and reducing spurious signal detection. For the aforementioned reasons, our pipeline uses as input information, ‘dirty’ calibrated ALMA cubes that have not undergone any prior deconvolution. The main novelty of our proposed pipeline with respect to the previously cited architectures Sadre et al. (2019); Lukic et al. (2019); Connor et al. (2022); Schmidt, K. et al. (2022); Rezaei et al. (2021) is that we combine spatial and spectral (frequency) information to detect and characterize sources. Utilising frequency information can both help in deblending spatially blended sources in the integrated images (obtained integrating the cubes along the frequency axis), and help in the recovery of faint sources. Our deep learning pipeline can be decomposed into six logical steps:

(i) 2D source detection is performed on the integrated cubes (along frequency) using a Deep Convolutional Autoencoder (hereafter Blobs Finder);

(ii) the detections (blobs) found by the autoencoder are used to extract spectra which are then fed to a Recurrent Neural Network, capable to perform spectral denoising (Deep GRU). All the peaks in the spectra are fitted with 1D Gaussian distribution;

(iii) sources are spectrally focused by cropping a 64 × 64 pixels box around their centres (found by Blobs Finder) in the image plane and by integrating within their frequency emission ranges found in the previous step. False positives are detected in this step;

(iv) focused images are then fed to three dedicated ResNets that regress the sources morphological parameters: full-width half maxima in the x and y directions and the projection angle \( \theta \);

(v) the found morphological parameters are used to construct a 3D models of the sources, which are used to mask the cubes and produce line emission images and mean continuum images. Once the continuum is subtracted from the line emission images, the latter are finally fed to a specialized ResNet that regresses the source flux densities.

3 SIMULATIONS

To train and test the capabilities of the proposed pipeline, we needed thousands of ALMA model and dirty cube pairs. We generate our own simple but realistic simulations of ALMA observations by combining python and bash scripting with the Common Astronomy Software Application (CASA) v. 6.5.0.15 (McMullin et al. 2007) python libraries. The need to use simulated data instead of real ALMA observations arises from several practical necessities: a) in order to evaluate the pipeline’s performance and reliability and its dependence from sources observational and morphological parameters, we need full control over the data properties; b) in order to assess the reconstruction quality of our pipeline and its ability to solve the...
deconvolution problem without relying on CLEAN deconvolution, we need noiseless sky observations which are unattainable for real observations. The closest alternative to the use of simulated cubes would be to use CLEAN to produce deconvolved cubes of ALMA observations in which source detection had been performed. These deconvolved cubes, however, would be dependent on CLEAN solution (of the deconvolution problem), thus biasing our models. Given that the main foreseen scientific application of the pipeline presented in this work is the search of serendipitous sources within high redshift (z > 3) ALMA observations, we simulate, in each cube, a central primary source with a given SNR (simulating this way a realistic target observation, in the phase centre of the antennas array), surrounded by less bright serendipitous sources that can occupy any position in the cube. To generate 3D sources, we combine 2D Gaussian Components in the spatial plane with 1D Gaussian components (emission lines) in frequency space. For each source, first, the emission line is generated by sampling from a uniform distribution of line parameters, i.e., the line position or central frequency, the line amplitude or the value in dimensionless units of the peak, and the line FWHM in number of frequency channels. Once the parameters have been sampled, the line is generated through the following equation:

\[ l(z) = ae^{-\left(\frac{(z-z_{cen})^2}{2(FWHM_z^2+2.5\times82^2)}\right)} \]  

where \( a \) is the line amplitude, \( z_{cen} \) is the central frequency and \( FWHM_z \) is the line full width half maximum.

Then a 2D Gaussian profile is generated by sampling from a uniform distribution of source parameters, i.e., the source position \( x, y \), the source FWHMs in both the \( x \) and \( y \) planes \( FWHM_x \) and \( FWHM_y \), the source projection angle \( \theta \), the source amplitude \( a \) and the source spectral index \( \sigma \), i.e. the dependence of the source radiative flux density on frequency. Once the parameters have been sampled, the 3D Gaussian profile is generated through the following set of equations:

\[ g(x, y, z) = 10^{\left(\log_{10}(a) + \text{psid}_s \log_{10}(v_1/v_2)\right)} \times e^{-\left(\frac{(x-x_c)^2/FWHM_x^2+(y-y_c)^2/FWHM_y^2}{2}\right)} \]  

where

\[ x_c = x \cdot \cos(pa) - y \cdot \sin(pa) \]
\[ y_c = x \cdot \sin(pa) + y \cdot \cos(pa) \]
\[ v_1 = 230 \cdot 10^9 - (64 \cdot 10^9) \]
\[ v_2 = v_1 \cdot z \cdot 10^6 \]
\[ G(x, y, z) = g(x, y, z) + l(z) + g(x, y, z) \]

Each model cube is thus created by first generating a central source and then a random number between 2 and 5 additional sources such that their emission peaks are lower or equal to that of the central source. The full list of parameters, their units, and the ranges from which they are sampled, are shown in Tab. 1.

All source parameters are stored in a .csv file in order to use them as targets for the ResNets in the last stages of the Deep Learning source detection pipeline (see Sec. 4.4).

We feed the sky models to the simobserve task to simulate interferometric measurements sets through a series of observing parameters. The full list of parameters is outlined in Tab. 2.

We use the ALMA Cycle 9 C-3 for the antenna configuration, simulating 43 antennas in the 12-m Array with a maximum baseline of 0.50 km (ALMA Cycle 9 Technical Handbook). Once the measurement sets have been produced, to create the dirty cubes, we feed them to the TCLEAN task initialized with the parameter niter set to zero. This forces the task not to run the TCLEAN algorithm but only to perform the fast Fourier transform of the visibility data and the gridding using the pixel parameters outlined in Tab. 2. The resulting data products are noisy cubes convolved with the ALMA synthesized beam with an angular size of 10 squared arcseconds and a total bandwidth of 1.28 GHz. The spatial dimensions of the cubes are 360 × 360 pixels and they are characterised by 128 channels in frequency. Nevertheless, the simulations are already using Pardo’s ATM library to construct an atmospheric profile for the ALMA site comprising a water layer in the atmosphere and thermal noise. After the dirty cubes are produced, we inject 3D uncorrelated white Gaussian noise. The RMS of the noise is automatically adjusted to reach a target SNR measurement for the central source. This way, we can produce simulations containing sources with specific SNRs, which helps testing the pipeline capabilities and its detection limits. After the dirty cubes are produced, the morphological parameters, stored in the .csv parameter file, are used to measure further source properties of interest, such as the continuum, the SNR, and the total surface brightness. The sky model and dirty cubes can be produced both sequentially or in parallel through the Slurm workload manager (Yoo et al. 2003) if a multi-node architecture is available. Fig. 1 shows several examples of frequency stacked clean and dirty cubes pairs. While we plan to improve our simulation code beyond its current capabilities, at this stage it does not model galaxies internal kinematics, it does not include complex galaxy morphologies and it does not account for galaxy interactions. That being said, given the main scientific target of the present work, these parameters should not play an important role in shaping the real target morphologies given that they are mostly unresolved. For this reason, we generate sources with a 2D Spatial Gaussian with a FWHM between 0.2 and 0.8 arcsec. Regarding the simulation of complex atmospheric and instrumental effects, the CLEAN SimAlMA pipeline, that we employ to simulate ALMA observations of the sky models, should produce fairly realistic ALMA observations in case of point-like sources (Team et al.

**Table 1.** Sampling intervals of the model source parameters. Sources are generated by randomly sampling from the outlined uniform distributions. The first column shows the parameter name, the second the range from which the parameter values are sampled, and the third the units.

| Parameter Name | Range | Unit |
|----------------|-------|------|
| Number of components | [2 – 5] | – |
| Amplitude of 2D Gaussian component | [1 – 5] | arbitrary |
| FWHM of the 2D Gaussian component | [2 – 8] | pixel |
| Spectral index | [1 – 2] | – |
| Position in the xy plane | [100 – 250] | pixel |
| Position angle | [0 – 90] | deg |
| Line amplitude | [1 – 5] | arbitrary |
| Line center | [10 – 110] | chan |
| Line FWHM | [3 – 10] | chan |

**Table 2.** Full list of simobserve parameters to generate the measurement sets, i.e. the interferometric visibility data cubes. The first column shows the parameter name, while the second our chosen value. The first and second columns show the parameter name and our selected value, respectively.

| Parameter Name | Value |
|----------------|-------|
| inbright | 0.001 Jy / Pix |
| indirection | J2000 03h59m59.96s -34d59m59.50s |
| incell | 0.1 arcsec |
| incenter | 230 GHz |
| inwidth | 10 MHz |
| integration | 10 seconds |
| totaltime | 2400 seconds |
| thermalnoise | tsys-atm |
| user_pwd | 0.8 |
While it is out of the scope of this work to present the full simulation pipeline, it is our intention, given the relevance of comparing the performances of deep learning models on the same data, to make it publicly available on GitHub with several baseline datasets, such as the one we used to train and test our pipeline.

3.1 The Data

We generated 5,000 simulated cube pairs containing 22,532 simulated sources and randomly divided them into train, validation, and test sets using the rather usual 60%, 20%, 20% splitting criterium. We just remind the reader that the training set is used to train the DL models within the pipeline; the validation set is used to measure the training progress and assess generalization capabilities, and the test set is used to measure the pipeline performances in detecting sources and in regressing their parameters (see Sec. 4.4 and 4.5). The three sets contain respectively 13,512, 4,465, and 4,556 simulated sources. The distributions of the source parameters are shown in Fig 2 and Fig 3. Projection angles, positions, and extensions of sources are uniformly generated in their respective parameter ranges (see Tab. 2), while the SNR, Surface Brightness, and Continuum Brightness distributions confirm that we are generating a bright central source with a $SNR > 10$ surrounded by less bright serendipitous sources. The minimum and maximum flux densities generated are respectively 0.97 and 407.4 mJy/beam. The data were generated on the IBISCO-HPC (Infrastructure for Big data and Scientific Computing) at the University of Naples Federico II (IBISCO-HPC).

4 THE PIPELINE

The Deep Learning Pipeline we present in this work, as it will be more apparent in Sec. 4.4, can be described as a decision graph interconnecting six deep learning models, each one taking a specialised role in order to detect and characterise sources within the input dirty cubes. The types of architectures were chosen on the basis of their strengths: Convolutional architectures (Blobs Finder and ResNets) to process spatial information, and the Recurrent Neural Network (Deep GRU) to process sequential information. Before describing the flow of data within the pipeline, we shortly describe the individual DL models. Note that all our models were implemented through the PyTorch library (Paszke et al. 2019).

4.1 Blobs Finder

Blobs Finder is a 2D Deep Convolutional Autoencoder trained to solve the image deconvolution problem

$$D[x, y] = P[x, y] \times M[x, y] + N[x, y]$$

where $D[x, y]$ is the integrated dirty cube produced integrating along the frequency the dirty cube, $P[x, y]$ is the dirty PSF, and $N[x, y]$ is the combination of all noise patterns in the data. $M[x, y]$ is the reconstructed and denoised integrated sky image. The idea behind an Autoencoder (Hinton & Salakhutdinov 2006) is that relevant features in the data, such as the shape of the structures within the image, should be relatively robust with respect to the noise components, and hence can be learned by compressing the data to a lower dimensional latent space. The latent space representation can then be used to reconstruct a noiseless version of the input data. To guide the autoencoder towards the best possible solution, two factors are critical (Goodfellow et al. 2016): the preprocessing and augmentation of input and target variables, and the choice of the loss function used to measure the error between the target variable and the Autoencoder’s prediction. In our case, Blobs Finder is trained with the integrated dirty cubes as inputs, and the sky model images as targets. Both input and target image are normalized to the $[0, 1]$ range, which helps with the training process and allows us to make a probabilistic interpretation of the Autoencoder’s output. Blobs Finder architecture, as shown in Fig. 4, is that of a Convolutional Encoder (Masci et al. 2011) structured by four convolutional blocks that progressively re-
Figure 2. The figure shows (a) the distribution of sources SNRs, (b) the distribution of sources surface brightness, (c) the distribution of sources mean continuum brightness, and (d) the distribution of sources projection angles.
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Figure 3. The figure shows (a) the distribution of the FWHM\(s\) of the sources over the three cube axes, and (b) the distribution of the sources positions over the \(xy\) plane.

Figure 4. Blobs Finder’s architecture. The Deep Convolutional Autoencoder is made by an encoder and a decoder networks. The Encoder is made by four convolutional blocks each one constituted by a 2D Convolution layer with stride 2 and a kernel size of 3, a Leaky ReLU activation function and a 2D Batch Normalization layer. The final layer is fully connected layer with an output size of 1024. The Decoder is made by 4 deconvolutional blocks and a final block. The convolutional blocks are constituted by a 2D Bilinear interpolation with stride of 2, followed by a Leaky ReLU activation function and a 2D Batch Normalization layer (upsampling block), a 2D Transposed Convolution layer with stride of 2 and a kernel size of 3, followed by a Leaky ReLU activation function and a 2D Batch Normalization layer (learnable upsampling block). The output of the up-sampling block and learnable up-sampling block are then concatenated and passed along to a convolutional block constituted by a 2D Convolution layer with stride 2 and a kernel size of 3, a Leaky ReLU activation function and a 2D Batch Normalization layer followed by a 2D Convolution layer with a stride of 1 and a kernel size of 3, another Leaky ReLU activation and a 2D Batch Normalization Layer. In this way, each block halves the spatial extent of the input and doubles the number of channels. After the convolutional blocks there is the final, fully connected layer.

The Decoder has a symmetric architecture, i.e. a fully connected layer.
The losses are mathematically defined as follows:

\[
\text{SSIM}(x, y) = \frac{(2\mu_x\mu_y + c_1)(2\sigma_{xy} + c_2)}{\mu_x^2 + \mu_y^2 + c_1(\sigma_x^2 + \sigma_y^2 + c_2)}
\]

where \( \mu_x \) and \( \mu_y \) are the averages of \( x \) and \( y \), \( \sigma_x^2 \) and \( \sigma_y^2 \) are their variances, \( \sigma_{xy} \) the covariance, \( c_1 \) and \( c_2 \) two variables needed to stabilize the division with small denominator values, and, finally, \( L \) is the dynamic range of the pixel values. In our case, the images are stored in single-precision floating-point format and thus \( L = 2^{16} - 1 \) and the two constants are respectively \( k_1 = 0.01 \) and \( k_2 = 0.03 \).

The choice of these two losses was empirically determined by trial and error in our experiments. More information about how these losses are used and their weighting during training is outlined in Sec. 4.5.

### 4.2 Deep Gated Recurrent Unit (GRU)

Deep Gated Recurrent Unit (GRU) is a Recurrent Neural Network (RNN) (Rumelhart et al. 1986) constructed by combining together two layers of GRUs and a fully connected layer, as shown in Fig. 6. RNNs were designed in order to capture correlation in sequences of data (usually 1D signals). GRU (Chung et al. 2014) tries to solve the main shortcoming of RNNs (namely the exploding or vanishing gradients problem) by introducing gating of its hidden states. Gating is introduced through the reset and update gates which, respectively, control how much of the previous hidden state must be remembered and the degree to which the current hidden state is similar to the previous one at each frequency iteration. The first helps capturing...
short-term correlations in the data, while the second one captures
the long-term correlations. As shown in Fig. 5, which outlines the
data flow inside a GRU, these gates are implemented through fully
connected layers with a sigmoid activation function:

\[ R_t = \sigma(X_tW_{hr} + H_{t-1}W_{hh} + b_r) \]  
(12)

\[ Z_t = \sigma(X_tW_{hz} + H_{t-1}W_{hz} + b_z) \]  
(13)

where \( X_t \) is the input vector, \( H_t \) is the hidden state at the previous
frequency step, and \( W \) and \( b \) are the weights and biases of the fully
connected layers.

The reset gate is multiplied (element-wise) with the previous hid-

den state and the effect of this multiplication is passed along to the
connected layers.

\[ H'_t = tanh(X_tW_{hr} + (R_t \odot H_{t-1})W_{hh} + b_h) \]  
(14)

where \( W_{hr} \) and \( W_{hh} \) are the model weights, \( b_h \) is the bias term and
\( \odot \) is the Hadamard element-wise product.

If the entries of \( R_t \) are close to 1, the GRU behaves just as simple
RNN, while if it is close to 0, the previous hidden state is forgotten
and the RNN behaves like a Multi Layer Perceptron (MLP). The
final hidden state, is given by the element-wise convex combination
of \( H_{t-1} \) and \( H'_t \)

\[ H_t = Z_t \odot H_{t-1} + (1 - Z_t) \odot H'_t \]  
(15)

If the entries of \( Z_t \) are close to 1, the hidden state at the previous
iteration is retained and the information brought by the current input
\( X_t \) is ignored. Otherwise, if the entries of \( Z_t \) are close to 0, the old
hidden state is updated with the new candidate hidden state \( H'_t \).
In our case, we want to use the Deep GRU to solve the denoising
problem

\[ Y[z] = X[z] + N[z] \quad \text{with } z \in \{1, 128\} \]  
(16)

where \( z \) is the frequency index in the cube, \( Y[z] \) are noisy galaxy
spectra, \( X[z] \) are the underlying emissions, and \( N[z] \) are the various
noise components. When a spectrum is fed to the network, each
hidden state at frequency step \( t \) is passed to both the next frequency
step of the current layer and the current frequency step of the next
layer. Because there is no preferable frequency direction in the data,
the two layers pass along information in opposite directions: one from
low frequencies to high frequencies, and the other in the opposite
direction. In our implementation, each layer of GRUs outputs 32
hidden states (or channels) which are then concatenated to form a
latent vector of size \([64 \times 128]\) before being fed to a fully connected
layer. The layer transforms its input in a vector with the same size as
the input signal and then a Sigmoid activation function is applied to
normalize it to the \([0, 1]\) range. As loss function, we use again the \( l_1 \)
loss (see Eq. 6).

4.3 ResNet

Residual Neural Networks (He et al. 2016) are a class of Deep Conv-
volutional Neural Networks (CNNs) which implements skip con-
nections between adjacent layers in order to avoid the problem of
vanishing gradients (Srivastava et al. 2015) by easing the process of
information flow in the layers and to mitigate the degradation prob-
lem, a phenomenon for which the more layers are added to a CNN
the more training error and instability increase. The basic component
of a ResNet is the so called Residual Block outlined in Fig. 7.

Our implementation of the ResBlock depends on whether spatial
downsampling is applied to the input or not. If spatial downsampling
is needed, the input is processed via two convolutional blocks consti-
tuted by a 2D Convolutional Layer with kernel size of 3 and a stride

![Figure 7. Architecture of a Residual Block, the basic component of a ResNet. The architecture is divided into two main pathways depending if downsam-
pling must be applied in the layer. In the affirmative case, the 2D Convolutions
are applied with a kernel size of 3 and with a stride of 2 or 1. As it can be seen
the output of the previous layer is brought forward through a skip-connection
and concatenated with the output of the current layer before applying the final
activation function.](image)

of 2 (which downsamples the input), a ReLU activation function and
a 2D Batch Normalization layer. While the first convolutional block
increases the number of channels by a factor of two, the second main-
tains constant the number of channels. In parallel, the input is also
processed by a single convolutional block, and the two outputs are
concatenated together (skip-connection) before being fed to a final
ReLU layer. On the other case, if downsampling is not necessary,
the input is processed via two convolutional blocks with a stride of
1 (which thus does not downsample the input) and is concatenated
with the unaltered input (skip-connection) before being fed to the
final ReLU.

The ResNet architecture, which is shown in Fig. 8, is constructed
by a first convolutional block that performs 2D Max Pooling followed
by four ResBlocks which gradually spatially downsample the input
dimensional size \([64 \times 64]\) to \([512, 4, 4]\) where \(64 \times 64\) are the
spatial dimensions of the input image, \(b\) is the batch size, \(l\) is the
initial number of channels and \(512\) is the number of feature maps
which are fed to the final MLP. Average Max Pooling is applied to
collapse the spatial dimensions to a single vector which is then fed
to two fully connected layers interconnected by a ReLU activation
function (MLP). This final layer outputs a single scalar value. In
other words, the first part of the network extrapolates a vector of 512
features from the input image, which is then fed to a Multi Layer
Perceptron (MLP) that makes the functional mapping between the
features space and the target parameter space. In our pipeline, we
use several ResNets; each one specialized in solving the regression of
a specific morphological parameters of the input source. Technically,
these parameters could be regressed at the same time by a single
ResNets with an output vector of size \(m\) instead of a scalar (where
\(m\) is the number of parameters one wants to regress). Given that
the dynamical range of the parameters varies a lot from one parameter
to the other (see Sec. 3.1), we expect that such a general network would
show lower performances with respect to the specialized counter-
parts. This expectation was empirically confirmed by us on a trial
and error base. As loss function to train the ResNets, we used again
the \( l_1 \) loss (see Eq. 6).

4.4 The Pipeline

The overall objective of the pipeline is to detect and fit the sources
within calibrated ALMA image cubes. A full overview of the pipeline
can be seen in Fig. 9, where the arrows outline the flow of data in the pipeline. The order in which the operations are performed can be understood by the numbering (in orange). As already explained, the pipeline can be divided into six logical blocks: 2D source detection, frequency denoising, and emission detection, source focusing, morphological parameters estimation, 3D model construction and flux density estimation. To relate the logical blocks to the pipeline schema shown in Fig. 9, in the following explanation, we mark the logical blocks with the corresponding numbers as shown in Fig. 9. To ease the logical flow of the pipeline, we assume that all the DL models have been trained to act as simple, functional maps between their inputs and outputs. In Sec 4.5 we go over the details of how each Deep Learning model is trained within the pipeline. All the images shown in this section are relative to the Test set; more examples and a detailed description of the pipeline performances are described in Sec. 5.1.

The flow of an input dirty cube in the pipeline goes as it follows:

(i) **2D Sources Detection (1 - 4):** the image cube is normalized to the $[0, 1]$ range and integrated along frequency to create a 2D image. We refer to this image as the **integrated dirty cube** (1). The integrated dirty cube is first cropped from the centre to a shape of $[256, 256]$ pixels which removes the edge of the images characterized by a low SNR (See Sec. 3), then it is normalized to the $[0, 1]$ range (2) and, finally, it is fed to the first DL model Blobs Finder (Sec. 4.1). The autoencoder processes the image and predicts a **2D probabilistic map** of source detection (3). A hard thresholding value of 0.15 is used to binarize the probabilistic map and then the scikit-learn (Pedregosa et al. 2011) **label** and **regionprops** functions are used to extract bounding boxes around all blobs of connected pixels (or source candidates) (4). The thresholding value is chosen to be 0.15 in order to peak all the signal detected by Blobs Finder, while excluding small fluctuation in the background. The exact thresholding value was empirically determined in order to strike a compromise between the number of false detections and the percentage of the detected signal. Figures 10, 11, and 12 show, respectively, an example of an input integrated dirty cube containing 6 simulated sources (outlined by green bounding boxes and two of which spatially blended), the target sky model image (with in green the target bounding boxes and in red the predicted bounding boxes extracted through the thresholding of the predicted 2D probabilistic map), and the 2D prediction map with the predicted bounding boxes highlighted in red.

(ii) **Frequency Denoising and Line Detection (5 - 7):** bounding boxes around source candidates are used to extract **dirty spectra** from the input cube. The spectrum for each source candidate is extracted by adding the pixels inside its bounding box over all the 128 frequency slices of the cube. The spectra of the detected source candidates are extracted from the cube, standardized, i.e. rescaled to have null mean and standard deviation with unity value, and then fed to **Deep GRU** (Sec. 4.2). The Deep Gated Recurrent Unit denoises the standardized spectra and outputs 1D probabilistic maps of source emission lines or **cleaned spectra** (6). The cleaned spectra are then analysed with the scipy (Virtanen et al. 2020) **find_peaks** function with a threshold value of 0.1 in order to detect emission peaks. Each peak is then fitted with a 1D Gaussian model through the astropy (Astropy Collaboration et al. 2018) **models.Gaussian1D** function. As fitting algorithm, we employ the **LevMarLSQFitter** algorithm, and as the initial values for the mean and amplitude of the 1D Gaussian model, we use, respectively, the previously detected peak position and its relative amplitude. All detected Gaussian peaks position over the frequency axis ($z$) are recorded alongside their extensions $\Delta z$ defined as $\Delta z = 2 \times \text{FWHM}_z$ where $\text{FWHM}_z$ is the FWHM of the Gaussian peak (7). In order to detect possible false positives produced by Blobs Finder, all potential candidates showing no meaningful peak in their spectra are removed. If more than one peak is found alongside the spectrum, the candidate is likely the superimposition of blended sources and thus it is flagged for deblending. All the remaining detections are then passed to the next stage. The peaks $\Delta z$ are used to cut out the emission regions from the dirty spectra, which are fitted with a simple linear model implemented through the **astropy models.Linear1D** function in order to take into account any possible trend of the continuum with frequency. Fig. 13 shows the dirty spectrum extracted from the two blended sources showcased in Fig. 12, and the Deep GRU’s predicted emission probability map. Blue vertical bars limit the true emission ranges of the two sources within the spectrum, while red ones limit the predicted emission ranges.

(iii) **Source Spectral Focusing (8 - 9):** this phase has three main objectives: (i) to feed to the ResNet the best possible input image of the potential candidates in order to ease as much as possible the morphological parameters detection; (ii) to deblend the sources, and (iii) to remove false detections. Regarding the first objective, the idea is to increase as much as possible the Signal to Noise Ratio (SNR) of the source in the image by cropping a $[64, 64]$ pixel box around its bounding box and integrate it only within its peak FWHM. This operation is what we call spectral focusing and the resulting image is the **spectrally focused image**. In order to estimate the SNR of a source, we introduce two SNR measurements:

- **Global SNR:** we define the Global SNR as:

  \[
  \text{SNR} = \frac{\text{median}(x_s(r))}{\text{var}(x_s(R - r))}
  \]

  where $x_s(r)$ are the values of the source pixels contained within the peak area.
Figure 9. The full pipeline schema. Numbers show the logical flow of the data within the pipeline.

Figure 10. An example of Blobs Finder’s input 2D integrated dirty cube produced by integrating an input dirty cube over the entire frequency range. Superimposed in green, are the target bounding boxes outlining the emissions of the 6 sources present in the cube. The image contains an example of two spatially blended sources located around the centre of the image, one is a bright point-like source, the other a fainter and diffuse source laying behind.

Figure 11. An example of Blobs Finder’s target 2D Sky Model image with the target bounding boxes highlighted in green and the predicted bounding boxes extracted through the thresholding operation on Blobs Finder’s probabilistic output, highlighted in red. Predicted and true bounding box centers are also plotted as, respectively, red and green dots.

the circumference of radius $r$ that inscribes the source bounding box, and $x_i(v_a) \cdot v_a$ are the pixel values within an annulus of internal radius $r$ and external radius $R$ which has the same area of the inscribed circumference;

- **Pixel SNR**: we define the Pixel SNR as:

$$snr = \frac{x_i}{\text{var}(X)}$$ (18)
where $x_i$ is the value of the given pixel, and $\text{var}(X)$ is the variance computed on the full image.

The two SNR measurements are used respectively to distinguish false detections from true sources, and to deblend overlapping sources within a blob. Fig. 14 summarises the false positive detection pipeline which works in the following way: if a potential source has a Global SNR higher than 6 (empirical bright source SNR threshold) in the integrated dirty cube, and was not flagged for deblending (due to the presence of multiple detected peaks in the extracted spectrum), it is first focused and then passed along to the next stage of the pipeline; if it has a SNR lower than 6 in the integrated dirty cube, but there is an increase in the Global SNR when focused, it is also passed along to the next stage, otherwise, it is discarded as a false detection (condition marked with 1 in Fig. 14). The latter case, in fact, could only happen if the source is integrated outside its true emission peak, for example over a noise spike. If more than one peak is found in the potential source spectrum, then there is a chance that multiple blended sources make the blob (detected by BlobsFinder). First, the source is focused on the highest peak (primary peak) by integrating within its extension and the Global SNR calculation is made to understand if the potential source must be discarded (see previous step). Also, the Pixel SNR measurement is used to find the highest SNR pixel in the image $p(x, y)$, which will act as a reference for the next phase of the deblending process. The potential source is then focused around the secondary peaks. For each of these, if the peak is not superimposed in frequency with the brightest peak, then by integrating within the extension of the secondary peak, the brightest source should disappear from the focused image (because it is integrated outside its emission range), and the pixel SNR measurement is used to find the highest SNR pixel in the image $s(x, y)$. If this pixel is different from the previously found reference pixel (their distance is higher than a used defined number of pixels, in our case 3), then the neighboring pixels around this pixel are linked with a friend of friends algorithm in an iterative manner. For each iteration, the Global SNR is measured. Pixels are added in this fashion until a plateau or saturation level in the Global SNR is reached. A bounding box is then created in order to encompass all the selected pixels, and a [64, 64] pixel image is cropped around the bounding box. If, instead, the secondary peak overlaps the primary peak in frequency, and the two pixels coincide spatially ($p(x, y) = s(x, y)$), then the secondary peak is discarded as a false detection (i.e. not considered an independent source with respect to the primary, a condition marked as 2 in Fig. 14). If an increase in SNR is recorded by integrating over the multiple peaks, then the secondary peak is deemed as part of the primary source and the source emission range is extended accordingly, otherwise it is discarded as a noise spike (false detection). The first conditions may happen if DeepGRU mistakenly predicts a single true emission peak as two separate peaks or if Blobs Finder predicts a single true source as two very close blobs, while the latter if DeepGRU overpredicts the true emission range. Finally all spectrally focused sourced with a global SNR lower than 1 are flagged and removed (9).

The deblending method assumes that all sources can be fairly approximated as 2D Gaussians (in the spatial plane) with a single emission peak in frequency (1D Gaussian), and thus it will need be modified whenever our galaxy models will also simulate the velocity dispersion and inclination along the line of sight. The introduction of these parameters in our simulations would, in fact, create more complex spectral profiles, for example a source with a high dispersion or inclination along the line of sight could show multiple peaks in the spectrum. In this case, the simple logic that we have described above will need to be revised.

Fig 15 showcases the results of the Spectral Focusing of the potential sources detected by Blobs Finder and Deep GRU in the test cube already displayed in Fig 10. By focusing on the two peaks detected by the Deep GRU (Fig 13, the two blended sources produce two different images (Focused Source 0 and 1) which now can be analysed independently. It is also worth noticing that by focusing the source within its effective emission range, signal from other sources is suppressed and noise variation is minimised resulting in a higher SNR than that registered in the reference dirty integrated image.

(iv) Morphological Parameters Estimation (10-11): the spectrally focused images are normalized to the [0, 1] range and then fed to three specialized ResNets (Sec. 4.3). Each ResNet is specialized in predicting a given morphological parameter of the source in the image. Namely: the FWHM in the $x$ and $y$ directions and the projection angle ($\text{proj}$). The first two parameters are predicted in pixel values, while the angle is directly predicted in degrees (10) measured in a clockwise fashion. The $x$ and $y$ positions of the source are computed.
as the photometric barcenters (pixel-weighted centers) of the Blobs Finder predicted bounding boxes. The predicted parameters are then used to generate a 2D model of the source, which is a 2D Gaussian generated at the source position with the predicted morphological parameters;

(v) **3D Model construction (12):** having fitted the source both in frequency and in the image plane, we combine the two Gaussians to create a 3D profile of the source, which then is converted into a 3D segmentation map.

(vi) **Flux Estimation (13):** the dilated 3D segmentation mask is then used to create the model-masked cube by multiplying it with the dirty cube. The model-masked cube is, therefore, a version of the dirty cube in which all the pixels outside the source 3D model are set to zero. The inverse mask is instead used to capture the continuum cube of shape \([128 - \Delta_z; 256, 256]\) where \(\Delta_z\) is the size of the segmentation mask in frequency channels. The continuum image is then created by averaging the continuum cube in frequency and the line emission cube is created through the following formula:

\[
L_z[x, y] = M_z[x, y] \cdot f(z) \ast C[x, y] \quad \text{with } z \in \Delta_z
\]

where \(L_z[x, y]\) is the 2D line emission image at slice \(z\), \(M_z[x, y]\) is the model masked 2D image at slice \(z\), \(C[x, y]\) is the continuum image and \(f(z)\) is the 1D continuum model. The line emission cube is integrated along the frequency to create the line emission image which is used to generate a specialized ResNet predicting the source flux density in mJy/beam.

### 4.5 Training Strategies

In the pipeline, the data flows from one DL model to the next. In particular, the dirty spectra extracted from Blobs Finder predicted model images are used as input for the parametric ResNets, and the 3D models constructed with the ResNets parameters prediction is used to produce the line emission image, which are the input of the flux ResNet. Since we work with simulated data, both the true model images and the parameters of sources within them are known to us and thus all models within the pipeline can be trained at the same time on different GPUs by preemptively using the true source parameters to extract from the cubes the needed spectra and spectrally focused images, and the line emission images to train respectively Deep GRU and the ResNets. The problem with this training strategy is that it would not take into account the fact that Deep GRU and the ResNets, in production, will not receive perfectly extracted spectra or focused images but the product of the imperfect prediction of the previous models in the pipeline schema. Deep GRU could receive (as input) spectra which contain only partially the source emission, and the ResNets not perfectly focused images. To account for this, we first trained all models in parallel in order for them to learn how to solve their respective problems while optimising the pipeline total training time (which benefits from the fact that the models training are carried on at the same time), and then we also trained each model (with the same training strategies that we will outline for each model, and with the exclusion of Blobs Finder which is the first model in the pipeline) on the un-augmented training set predictions of the previous model. In this way each model should be able to correct for the mistakes (biases in the data) of the previous one.

Blobs Finder is trained on pairs of dirty input images (input dirty data cubes integrated along frequency) and target sky model images (target sky model cubes integrated along frequency). To achieve translational and rotational invariance in the network, at each iteration, both input and target images are randomly rotated by an angle \(\theta\) with \(\theta \in [0^\circ, 360^\circ]\), randomly flipped in the x and y planes with a probability of 1 and then cropped from \([360, 360]\) pixels to \([256, 356]\). The cropping operation brings no loss of data, given that all the values in images outside the primary beam of the simulated ALMA observations \((r \sim 138\) pix) are set to nan values, and those at the edges of the beam have unreliable SNR. After cropping, both integrated dirty cubes and target sky model images are normalized to the [0, 1] range before being fed to Blobs Finder.

The model is trained with an Adam Optimiser (Kingma & Ba 2014), which is the optimization algorithm used to update the weights of the model on the basis of the loss function. The amount of change imparted to the model’s weights to minimize the loss is moderated through the learning rate. Adam utilises a different learning rate for each parameter and updates them on the basis of the first and second moments of the gradients. Another problem in DL model’s training, is the possibility of overfitting the data batches in the first training iterations, given the model’s inherent initial instability due to the random initialisation of its weights. To prevent that, we adopt a warm up strategy for the learning rate (Goyal et al. 2017) in which we start with a learning rate of 0, and we uniformly increase it to \(1 \times 10^{-4}\) in the first 10 iterations. The model is then trained for a maximum of 300 epochs, but we also employ an early stopping criterion based on
Blobs Finder is trained with the weighted combination of the $10^l$ loss and the moving average of the last validation loss. If no improvement of validation loss with respect to the moving average of the last 10 validation losses is registered for 10 consecutive steps, then training is halted. As outlined in Sec. 4.1, Blobs Finder is trained with the weighted combination of the $l_1$ loss and the $\text{DSSIM}$ loss.

$$l(x, y, t) = a(t) \times l_1(x, y) + b(t) \times \text{DSSIM}(x, y)$$ (20)

where $x$ and $y$ are the prediction and target variables and $t$ is the epoch counter. The weighting is performed with two variables $a$ and $b$, which depend on the epoch counter $t$. The training begins with $a(0) = 1$ and $b(0) = 0$ in order to first allow the model to learn a median representation of the data (which should contain information about the PSF and noise patterns, assumed roughly constant in the data) through the minimization of the $l_1$ loss. At each epoch, $a$ is decreased by $\delta$ and $b$ is increased by the amount in order to slowly transition to the $\text{DSSIM}$ loss. In the last epochs, only the $\text{DSSIM}$ loss is effectively used in order to to learn the nuances in the data, such as source positions or morphological properties (the shape and sizes of the galaxies).

Deep GRU is trained on pairs of dirty spectra (extracted from the dirty cubes) and clean spectra (extracted from the sky model cubes) through the bounding boxes obtained from Blobs Finder Prediction (or the known parameters in case of parallel training) The input dirty spectra are standardized while the target model spectra are normalized to the [0, 1] range. To train the model, we again use the Adam optimization algorithm, a warm-up strategy for the learning rate with a working learning rate of $1 \times 10^{-4}$ and a weight decay of $1 \times 10^{-5}$ to prevent the model from overfitting the training set. The model is trained for 300 epochs on spectra extracted through the known parameters and for 50 iterations on spectra extracted from Blobs Finder’s predictions. We also employ an early-stopping criterium on the basis of the validation loss. The Deep GRU predictions are used in combination with Blobs Finder’s predictions to extract the spectrally focused galaxy images, i.e., $64 \times 64$ pixels images with the source roughly in the centre and normalized to the $[0, 1]$ range (see Sec. 4.4 for more details). As targets for training, we use the true source parameters used to produce our simulations. The three ResNets for morphological parameters estimation are trained simultaneously for 300 iterations with the same stopping criterion set for Blobs Finder’s training on perfectly spectrally focused images (obtained through the know source parameters) and for 50 iterations on the spectrally focused sources obtained though Blobs Finder and Deep GRU’s predictions. Finally, the outputs of the ResNets (i.e., the sources’ morphological parameters) are used to construct 3D models of the galaxies, which are used to create the segmentation masks from which we measure the continuum and create the line emission cubes and then the line emission images (for the details, see Sec. 4.4 points $v$ and $vi$). The last ResNet is trained with the line emission images as inputs and the fluxes as targets. We use the same training strategy outlined for the other ResNets.

5 SOURCE DETECTION AND CHARACTERISATION

In this section, we present the performances of the different steps of the pipeline over 1,000 cubes which belong to the Test set. Whereas possible we also compare the performances of our pipeline with those of other pipelines widely used in the community: \textsc{blobcat} (Hales et al. 2012), \textsc{sofia-2} (Westmeier et al. 2021) and \textsc{decoras} (Rezaei et al. 2021).

5.1 Source Detection

We start with Blobs Finder’s performance in detecting sources within the 2D Test set integrated dirty cubes. Following Sec. 4.4 step 1, the output 2D Probabilistic Maps are binarized through a hard threshold of 0.15, and bounding boxes around islands of connected pixels are extracted. To check if a source has been detected by Blobs Finder,
we measure the 2D Intersection over Union (2D IoU) between the true 2D bounding box and the predicted one, while for Deep GRU the 1D IoU is measured between the true emission ranges and the detected ones. In both cases, a threshold of 0.6 is used. To ensure that the central part of the source emission of a True Positive (TP) is always detected, we require the distance between the centres of the true and predicted bounding boxes to be smaller than 3 pixels. The combination of these two thresholds should ensure that at least 60% of the 3D emission range of a source must be captured in order for its prediction to be deemed a TP. Also, given that the line emission image is created through the dilated segmentation mask, the 0.6 IoU threshold guarantees that 90% of the true emission range is captured within it.

Getting a good estimate of the centers is important given that, except for blended sources that potentially will get a new center later on in the pipeline based on the SNR reasoning outlined in Sec. 4.4 step 3, the ResNets will receive as input focused images cropped around the bounding boxes centers predicted by Blobs Finder. Hence, the closer the centers are to the true centers, the more sources will be centered in the focused images. As an example, by looking at the two blended sources in Fig. 11, one can see that the predicted bounding-box (in red) encompasses the most of the emission of the extended faint source and the totality of the emission of the compact source, so there is the potential of detecting both sources in the spectrum. When applied to the test set, Blobs Finder predicts 4056 (89%) sources (TP) against the true 4, 556 sources (using both the distance and IoU criteria). 4, 205 (92.3%) sources pass the 2D IoU criterion only, meaning that an additional 149 sources are detected by Blobs Finder but are spatially blended with another source. Blobs Finder also misses 354 sources (FN) and detects 4 False Positives (FP). The 4056 bounding boxes are used to extract a corresponding number of dirty spectra from the dirty cubes. The Deep GRU detects 4, 202 emission peaks out of the 4, 205 present in the extracted spectra but also produces 62 false positives. To detect and remove false detections and confirm true ones, sources are “spectrally focused” within the predicted frequency emission ranges $\Delta f$, and SNR checks are made (see Sec. 4.4 (iii) Source Spectral Focusing). The full logic of the FP removal process is shown in Fig. 14. Regarding the 4 FPs detected by Blobs Finder, when the spectra extracted from the respective bounding boxes are fed to DeepGRU, it detects no emission peak within 3 of the 4, and thus the first 3 FPs are discarded as false detections. The last FP is eliminated through condition 2 of the schema shown in Fig. 14. Regarding the 62 FP peaks detected by DeepGRU, 29 were artificial peaks predicted near the spectral borders (the boundaries of the spectra may be recognised as peaks given the presence of a discontinuity in the signal) and, after being identified as primary sources (given the higher amplitude with respect to the real peaks), they failed the global SNR test when focused in their emission range (condition 1 in Fig. 14) and thus were discarded as false detections. Of the remaining 33 false detections made by DeepGRU, 31 are eliminated through condition 2 Fig. 14. These false peaks are all superimposed with their respective primary peaks in the spectra and the pixels with the highest SNR in the primary and secondary peak’s spectrally focused images are less than 3 pixels apart and thus were considered either as noise spike or as part of the primary source. The remaining 2 false peaks produced spectrally focused images with a measured a global SNR less than 1 and thus are flagged as false detections. Fig. 18 and 19 show some examples of Blobs Finder predictions on the test set. For each block, the first row shows the input integrated dirty cubes, the middle row the target sky models, and the bottom row, Blobs Finder predictions.

To compare with BLOCKCAT (Hales et al. (2012)) and SOFIA-2 (Westmeier et al. (2021)), we run both algorithms on the 1000 dirty images in the test set. BLOCKCAT requires two parameters: a detection ($T_D$) and cut ($T_f$) SNR threshold to decide which peaks in the image are good candidates for blobs and where to cut the blobs boundaries around them (in other words: pixels with a SNR higher than $T_f$ are selected to form islands and island boundaries are defined by $T_D$). To make the fairest comparison possible, we measured BLOCKCAT performances with different choices of $T_f$ and $T_D$ through a grid-search strategy ($T_f \in [2,15]$, $T_D \in [1,10]$) and, in this work, we report the best obtained results ($T_f = 8\sigma$, $T_D = 4\sigma$).

The same criterion used for Blobs Finder was used to measure BLOCKCAT performances. BLOCKCAT successfully detects 2, 779 (61%) sources, produces 2, 429 false detection and misses 1777 sources. The majority of sources missed by BLOCKCAT are spatially blended with brighter sources, or present a SNR $\leq 5.0$, or are located at the edges of the images. The SOFIA-2 smooth and clip algorithm (S + C) algorithm works by iteratively smoothing the data cube on multiple spatial and spectral scales to extract statistically significant emission above a user-specified detection threshold on each scale.

Guided by the spatial and frequency sizes of the simulated sources, we employed spatial and frequency kernel sizes of $[3,5,7,9,11]$, and a source finding threshold of 0.5. The algorithm proceeds by linking together meaningful detections with a friend of friend algorithm; we employed a grid-searching strategy to find the best possible spatial linking radii in the image and frequency dimensions of the cube within the interval $[1,5]$ pixels. Finally the algorithm removes false positives on the basis of a reliability score based on the source SNR. We employ a SNR threshold of 2 and we let the algorithm automatically select the other thresholds. We limit the detection area of SOFIA-2 by setting the masking to a 256 pixel size square centered in the image. This is performed in order to cut the low SNR outskirts of the dirty images. SOFIA-2 detects 1010 (22%) sources, produces 4011 false detections and misses 3546 sources. Most false positives are located at the spatial edges of the image, while it misses most blended sources by merging their emissions with other sources which leads to all involved sources failing the 2D IoU or distance based thresholds.

The DECORAS (Rezaei et al. (2021)) pipeline is constituted by two Deep Convolutional Autoencoders, the first one works exactly like our Blobs Finder, the second one takes $[128,128]$ pixel cropped images around the first Autoencoder’s predictions and predicts the source structure which is then fitted with a 2D Gaussian Function to find the source morphology. Our simulated cubes contain multiple sources while DECORAS assumes that there is a single source in each cube and thus the characterization part of the pipeline cannot be used to detect sources. For such reason, we compare our results with those by DECORAS only on the detection part of the problem. First of all, following the guidelines outlined in their article, we re-implemented their Convolutional Autoencoder. The main architectural differences between our Convolutional Autoencoder and theirs are: their latent space contains 256 atoms, while our 1024, they perform spatial up-sampling by only using chained Transposed Convolutions, while we use a combination of Bilinear Interpolation, Transposed Convolutions and Convolutions, and their Encoder and Decoder contain half our convolutional layers. In their paper, they train their Autoencoder with two loss functions: the Binary Cross Entropy (BCE) loss and the Mean Squared Logarithm loss (MSLE), and deem as correct predictions only those sources which are predicted by both models and whose distance (measured from the source centers found through the blob dog scikit-image algorithm) is less then 3 pixels. We trained and tested their model with both loss functions using the same criteria outlined in Sec. 4.5 for Blobs Finder. After seeing that no meaningful result could be obtained with the BCE, we only use the model
trained with the MSLE to perform the source detection on the 1000 dirty integrated images in the test set. Given that the blob dog algorithm only finds coordinates of the sources and does not output their emission boundaries, needed to create bounding boxes with which measure the IoUs, we make two performance measurement. The first one relaxes the source detection criterion and only uses the distance-based threshold, while the second one uses both the distance and IoU criteria. To get bounding boxes from blob dog outputs we use, for each blob detected by the algorithm, the best matching kernel standard deviations to create a radius of emission from which derive bounding boxes with the following equation:

$$r = \sqrt{3 \cdot \sigma_p^2}$$

(21)

where $r$ is the obtained radius, and $\sigma_p$ is the standard deviation of the best fitting kernel. decoras detects 4, 100 (89.9%) sources, produces 759 false detections and misses 456 (10.1%) sources using only the distance based threshold. The number of detected sources drops to 3, 560 (78.2%) if also the IoU-based threshold is used, while the number of false positives stays the same, and the number of missed sources rises to 996 (21.9%). In Table 4 we summarise the source detection performances for all methods. The two DL-based pipeline achieve improved performances over the traditional counterparts. By taking a look at decoras performances, especially if only the distance based criterium is used, one can see that they are similar to those of our Blobs Finder with respect to the number of TP while the number of FP is much higher. This behaviour could be connected to the use of subsequent Transposed Convolutions to perform spatial upsampling in the Decoder part of the network (Wojna et al. 2019) which may led to artefacts be mistaken as blobs by blob dog. Regarding the FP detection and removal, we show both the performances without the use of FP detection and removal pipeline (BF + DeepGRU), and with those it (Pipeline). Blobs Finder and Deep GRU have a False Positive Rate (FPR) respectively of $\sim 10^{-3}$ (Blobs Finder) and $\sim 10^{-2}$ (Deep GRU) and a combined number of 66 FPs which is already within acceptable limits. The low rate of FPs and the successful removal of all FPs by the DL pipeline are closely related to the nature of the mock data used for this study. While the false detections made by Blobs Finder were trivial to identify and remove, and may continue to be so in case of real data (no relevant peak was detected in the corresponding spectra by Deep GRU), the logic we used in removing the false detections made by Deep GRU that were due to a single true emission peak being detected as two superimposed peaks, will require further investigation. In fact, if the velocity dispersion and inclination with respect to line of sight are taken into account (both factors are not yet present in our current simulations), the hypothesis that the spatial position of the brightest pixel of a source should remain constant within its spectral emission range no longer holds. Thus, the criterion which we employ to separate a true secondary peak from a false detection cannot be used anymore and needs to be modified. Blobs Finders’ and decoras’ low numbers of FPs with respect to SOFIA-2 and blobcat are explainable due to the DL models capabilities of approximating the dirty beam. While Blobs Finder improved performances over decoras are due to architectural choices and training strategies, we believe that if dirty beam variations are increased by simulating multiple antenna configurations and observing conditions (integration time or azimuth), the number of FPs detected by both models will probably increase due to the increased complexity required to approximate a more realistic varying PSF. Fig. 16 shows the histograms of flux densities (left) and the blendness scores (right) of the detections made by our pipeline, Blobs Finder, and by decoras. The blendness score is defined as the maximum IoU between a

| Source true bounding box and all other source bounding boxes within an image and can be used as a proxy to quantify how much different sources are spatially blended. Mathematically we define it as:

$$b_j = \max(IoU(box_i, box_j)) \quad \text{for} \quad j \in [1, N]$$

(22)

where $N$ is the total number of sources within the image. If the blindness is 0, it means that the source is spatially isolated in the cube, while a blindness of 1 means that the source is spatially superimposed to at least another source in the cube. We also report all the test set values for comparison. Our implementation of Blobs Finder is equivalent to decoras’ implementation regarding the minimum detected flux density of 1.31 mJy/beam but it seems to be more effective in deblending sources. Blobs Finder detects sources up to a blindness value of 0.232, while decoras reaches at most 0.021. It has to be said that also this difference in performance could be connected to the different ways the two pipelines extract bounding boxes from the model images produced by the Autoencoders and not to the quality of the images themselves. To eliminate the effect of the extraction algorithm from our comparison, we compare the measured mean structural similarity index (mSSIM) between the true sky model test set images, Blobs Finder predictions and decoras predictions. Blobs Finder achieved a mSSIM on the test set of 0.003, while our implementation of decoras achieved a mSSIM of 0.008. Fig. 17 shows the direct visual comparison between the true target sky model image (center), Blobs Finder (left) and decoras (right).

Deep GRU (and Source Spectral Focusing) does not improve on the minimum detected flux, but deblends sources and in fact pushes the maximum detected source blindness to 0.66 which is the maximum blindness simulated in the data.

### 5.2 Source Characterization

The 4, 202 focused images are fed to the 3 ResNets (steps 10 and 11 of the pipeline) each one fine tuned to predict one of the three morphological parameters: $FWHM_x$, $FWHM_y$, and $pa$. The source positions $x$ and $y$ are computed as the pixel weighted centres of the sources bounding boxes, while the peaks frequency positions $z$ and extensions $\Delta_z$ are computed by fitting 1D Gaussians to the clean peaks found by Deep GRU. The sources parameters are used to create the Line Emission Image (see 4.4 Flux Estimation).

Fig 20 shows the scatter plots of the true parameters versus the predicted ones and the corresponding residuals histograms. The residu-
als are produced, for each parameter through the following equation:

\[ res_i = (t_i - p_i) \]  \hspace{1cm} (23)

where \( res_i \) is the residual for the \( i \)-th parameter, \( t_i \) is the true parameter value, while \( p_i \) is the predicted one. Tab. 5 summarises the performances showing, for each parameter, the mean and standard deviation of the residuals distribution.

The source positions (spatial, frequency) and extensions (\( FWHM_x \), \( FWHM_y \) and \( \Delta_z \)) are detected with subpixel accuracies. Concerning the performances on the flux densities and projection angle regression, the relative error is defined as follows:

\[ rel_i = \frac{(t_i - p_i)}{t_i} \]  \hspace{1cm} (24)

where \( rel_i \), \( t_i \) and \( p_i \) are, respectively, the relative error, the true parameter value and the predicted one for the \( i \)-th parameter. The achieved relative errors for the flux densities are 0.07 with a standard deviation of 0.36. In particular, 68% of sources have a relative error on the flux density which is less than 1% away from the true value, 80% less than 10% and 87% less than 20%. Regarding the projection angles, 53% of sources have a relative error which is less than 1% away from the true value, 73% less than 10% and 81% less than 20%. The scatter plot of true \( pa \) versus predicted ones (Fig. 20 last row, third panel from the left) shows two regions where the nets fail to make accurate predictions, namely, around the values of 0 and 90 degrees. This could be expected given the well known degeneracy encountered in measuring projection angles for almost circular sources. Fig. 22 shows the scatter plot of the absolute values of the
Figure 18. Examples of Blobs Finder predictions on the test Set. The first row shows input integrated dirty cubes, the middle row the target sky models, and the bottom row, Blobs Finder predicted 2D Source Probability maps. In green are outlined (in the dirty and sky models images) the true bounding boxes, while in red the predicted bounding boxes extracted by thresholding the probability maps.

| Parameter Residual | mean   | std   |
|--------------------|--------|-------|
| x (pixels)         | -0.004 | 0.73  |
| y (pixels)         | -0.005 | 0.67  |
| FWHMx (pixels)     | -0.04  | 0.46  |
| FWHMy (pixels)     | -0.12  | 0.45  |
| z (slices)         | 0.0    | 0.003 |
| Δz (slices)        | 0.0    | 0.001 |
| pa (degrees)       | -0.65  | 20.28 |
| flux (mJy/beam)    | -9.56  | 20.08 |

Table 5. This table shows the mean and standard deviation of all the residual distributions between the true target parameters and the predictions made by our pipeline. The x and y positions are computed from Blobs Finder predicted blobs, z positions and extensions Δz are computed from Deep GRU predictions, and the remaining parameters are predicted from the four ResNets. Alongside each parameter, we also indicate their unit of measurement.

residuals on the projection angle estimation versus the source eccentricity (defined as $e = \text{FWHM}_x / \text{FWHM}_y$). 47.4% of all sources with a residual error higher than 10% are almost circular ($e \sim 1$) and 43.7% have a surface brightness less than 30 mJy / beam. Regarding the surface brightness estimation, the scatter plot of the true flux density versus the predicted one shows that at higher fluxes, the predictive error increases. This behaviour can be explained due to the fact that brightest sources represent only 16% of the data set and that the ResNet is trained, by minimizing the $l_1$ loss, to predict the median of brightness distribution which is 51.2 in our Train set. The combination of the choice of the loss function and scarcity of bright sources in the data, encourages the model to focus more on less bright sources while treating bright sources as outliers. Fig. 21 shows the scatter plot of the sources SNR against relative errors on the flux estimations. The standard deviation of the flux relative errors distribution halves for sources with SNR higher than 10 with respect to fainter sources.

6 DISCUSSION AND CONCLUSIONS

The transition of many existing and planned radio interferometers to the Terabyte data regime requires the community to develop automa-
3D Detection and Characterisation of ALMA Sources through Deep Learning

Figure 19. Examples of Blobs Finder predictions on the Test Set. The first row shows input integrated dirty cubes, the middle row the target sky models, and the bottom row, Blobs Finder predicted 2D Source Probability maps. In green are outlined (in the dirty and sky models images) the true bounding boxes, while in red the predicted bounding boxes extracted by thresholding the probability maps.

The pipeline is composed by six deep learning models interconnected through logical operations: Blobs Finder detects sources within the frequency integrated data cubes, Deep GRU exploits the frequency domain and detects emission peaks in the spectra extracted from sources detected by Blobs Finder, and the ResNets regress the source parameters from ‘spectrally focused’ images created by cropping spatially around the sources, and integrating within their emission range found by Deep GRU, and the line emission images created by masking the cube with the 3D emission models found by combining Blobs Finder and Deep GRU predicted emission ranges (in the spatial and frequency planes of the cube, respectively). In order to test the performances of the pipeline, an ALMA observation simulation code was developed. The simulation code is made available through GitHub to allow the community to generate thousands of ALMA data cubes in parallel. The source detection capabilities of the pipeline can be summarised by the performances achieved on the test set: 92.3% of the simulated sources are detected with no false positives. While the achieved performances are promising for the prospect of applying the pipeline to real data, the low FPRs of the DL models and the sub-
sequent removal of the remaining FPs through SNR and geometrical criteria (FP detection and source deblending step) are closely related to the simplified assumptions that were made to generate the mock data. Integration times and antenna configurations are kept constant resulting in a very low dirty beam variation across the data. Only single peak spectra are simulated without taking into consideration the effects of inclination with the observer line of sight or velocity dispersion within the galaxy, resulting in simplified spectral profiles. Quality assessment is performed by comparing our results with three other methods, **BLORCAT**, **SOFIA-2** and **DECORAS**. We notice a substantial improvement in both precision and recall with respect to the first two methods and a smaller improvement with respect to **DECORAS**. Regarding the source characterization performances, source positions are found with subpixel errors in spatial and frequency domains, while projection angles and flux densities estimations show a relative error within the standard amplitude calibration error of interferometric data (\(\approx 10\%\) Cortes et al. (2021)) for respectively 73% and 80% of all sources. Regarding the projection angle regression, the ResNet is not able to correctly predict this parameter for circular sources only, being trivial. Given the insights obtained from the analysis of the ResNet performances, a way to avoid the futile regression of the projection angle on circular sources, could be to first compute
the source eccentricity (from the predicted $FWHM_x$ and $FWHM_y$), and then regress the projection angle only for non circular sources. The flux relative error increases with source brightness and decreases with SNR. While explaining the latter trend is easy, the first appears to be counter-intuitive. In fact, the brighter a source is, the easier it should be to measure its flux density. This trend comes from the fact that our simulations contain many more faint sources than bright ones (see Fig. 2) and from our choice of training the ResNet with an $l_1$ loss. Regarding the execution time, our pipeline can process a simulated data cube (67.3 MBs) in $\sim$ 10 ms. Although the DL pipeline has not yet been tailored for the use of CASA, the technique is capable to speed up TCLEAN by leveraging correlations in all dimensions of the cube. In future work, we plan to further investigate ways to improve our detection and characterization results. In fact we aim at improving our simulation code to include more complex galaxy morphologies, use physically-based models for the galaxy kinematics, and employ spectral catalogues to generate several spectral profiles for different class of sources with the primary goal of improving the quality of our simulations, and the additional goal of having a publicly available and easy to use simulation code that the community may use to generate common data sets on which compare different architectures. Alongside we want to modify our pipeline to account for such more complex spectral profiles. The DL pipeline is currently tailored to detect single peak emission lines, assuming that celestial sources have a single positively defined emission peak in their spectra. The above does not hold for absorption lines detection or for sources with multi-peak spectral profiles. Both the peak detection (on Deep GRUs denoised spectra) and FP detection and removal (on spectrally focused images through SNR criteria) algorithms will thus need be modified when dealing with real observations. We are also planning to make an assessment on faint signal detection especially in the presence of strong sidelobes in the cubes and asses the pipeline capabilities in the case of data containing several uv coverages and array configurations, which should result in a greater variation of the dirty beam within the data, thus posing a more complex image reconstruction problem. Furthermore, we also plan to make tests about incorporating the dirty beam within our pipeline in order to improve the image reconstruction capabilities, and to test the FP removal pipeline against a DL classifier based on our ResNet architecture in case of the aforementioned more complex data. The DL pipeline is going to be further extended on continuum imaging and applied to real ALMA observations with the aim of finding new faint serendipitous galaxies in the neighbourhood of brighter companions. This task has been proven difficult for classical algorithms.

**DATA AND SOFTWARE AVAILABILITY**

The simulation code to generate the data used in this work is made publicly available through Github (ALMAsim <https://github.com/ALMAsim>). Detailed instruction on how to setup a python environment with all the pip packages needed to run the code and generate the data are outlined in the repository. The simulation code has been tested only on Unix distributions (Ubuntu, CentOS, macOS) and is composed by two bash scripts and several python scripts which generate the sky models, run the CASA tasks to simulate ALMA observations and produce the cubes, control the noise properties, and record the source parameters. The bash scripts are written for the IBISCO architecture which uses the slurm workload manager to split computations among nodes, and thus they should be changed to reflect the architecture on which they are executed. On our system, 5,000 cube pairs were generated on 400 Intel Xeon E5-2680 CPUs in around a day. The pipeline is also implemented in python and made publicly available through GitHub (DeepFocus <https://github.com/DeepFocus>). The Deep Learning models, the data loading, augmentation, training and testing routines are written through the pytorch library. While the simulation code is fully documented, at the time of writing of this paper, the documentation for the pipeline is still under construction. Basic instructions on how to run the training and testing of the pipeline are outlined on the GitHub page. Also in this case, the script parameters are tailored for the IBISCO architecture and should be changed accordingly. Blobs Finder’s training lasted $\sim$ 4 hours on a 2 NVIDIA Tesla K20 and it made the predic-
tions on the test set in ~ 23 seconds including I/O operations. While Blobs Finder was trained on two GPUS, Deep GRU and the ResNets were trained on a single NVIDIA Tesla K20. Regarding the name of the pipeline, given its modularity, it will probably not hold for long and will be reformulated once more extensive tests are made over the several problems which are investigated in this paper: image reconstruction, source detection and source characterization. Deep GRU’s training lasted ~ 20 minutes on spectra produced from the truth catalogue and ~ 3 minutes on Blobs Finder’ predictions on the training set, and it made the predictions on the test set in ~ 9 seconds including I/O operations. Each ResNet’s training lasted ~ 2 hours on spectrally focused images (or line emission images for flux density regression) produced from the truth catalogue, ~ 30 minutes on spectrally focused images produced from Blobs Finder and Deep GRU predictions, and predictions on the test set were made in ~ 5 seconds including I/O operations.

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