Homozygous SPAG6 Variants Can Induce Nonsyndromic Asthenoteratozoospermia With Severe MMAF

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Abstract

Background: Multiple morphological abnormalities of the sperm flagella (MMAF) is a subtype of severe asthenoteratozoospermia with poorly understood genetic etiology. SPAG6 is a core axonemal component that plays a critical role in the formation of cilia and sperm flagella. Previous studies have reported that mutations in SPAG6 cause primary ciliary dyskinesia (PCD), but the association between SPAG6 gene variants and the MMAF phenotype has not yet been described.

Methods: We performed whole-exome sequencing (WES) in two unrelated Han Chinese men with MMAF. Sanger sequencing was used to validate the candidate variants. Routine semen analysis was carried out according to the WHO guidelines (5th Edition). Sperm morphology was assessed using modified Papanicolaou staining. Scanning and transmission electron microscopy (S/TEM) was performed to observe the ultrastructural defects of the sperm flagella. Western blot analysis and immunofluorescence (IF) of spermatozoa were performed to examine the expression of SPAG6 protein. Assisted fertilization with intracytoplasmic sperm injection (ICSI) was applied.

Results: Two homozygous SPAG6 variants were identified by WES and Sanger validation in two patients with MMAF phenotype (F1 II-1: c.308C>A, p. A103D; F2 II-1: c. 585delA, p. K196Sfs*6). Semen analysis showed progressive rates of less than 1%, and most of the spermatozoa presented MMAF by Papanicolaou staining. TEM revealed that the overall axonemal ultrastructure was disrupted and primarily presented an abnormal “9+0” configuration. No other PCD-related symptoms were found on physical examination and medical consultations, as well as lung CT screening. The level of SPAG6 protein was significantly decreased in the spermatozoa, and IF analysis revealed that SPAG6 staining was extremely weak and discontinuous in the sperm flagella of the two patients. Notably, F1 II-1 and his wife conceived successfully after undergoing ICSI.

Conclusions: Our research provides new evidence for a potential correlation between SPAG6 variants and the MMAF phenotype.

Background

Infertility affects 8-15% of couples who are trying to conceive, and has become a growing worldwide problem. Nearly half of all cases of infertility are attributed to male factors[1, 2]. Asthenoteratozoospermia is one of the most common factors leading to male infertility, and is characterized by poor sperm motility and obvious sperm morphological abnormalities [3, 4]. As a subtype of asthenoteratozoospermia, multiple morphological abnormalities of the sperm flagella (MMAF) manifests as varied flagellar malformations, including short, coiled, bent, absent, and/or irregular flagella, and results in severely impaired sperm motility[5]. The absence of central microtubules is considered a hallmark of the MMAF phenotype[6].

Bi-allelic mutations in DNAH1 (MIM: 603332) associated with MMAF were first described in 2014[5]. To date, several genes responsible for MMAF have been identified, including DNAH8 (MIM: 603337), SPEF2
(MIM:610172), ARMC2 (MIM:618424), and WDR19 (MIM:608151)[7–10]. Mutations in these genes can disorganize microtubule assembly, contributing to the abnormal formation of the sperm flagella. However, the reported monogenic causes can only account for approximately 35–60% of MMAF cases[11, 12], suggesting that this phenotype has strong genetic heterogeneity and that further genetic exploration is needed.

The sperm-associated antigen 6 (SPAG6; MIM: 605730) gene encodes an axonemal protein that plays a critical role in axonemal structural integrity and function[13]. SPAG6 is primarily expressed in the lung and testis, and its variants are associated with multi-system dysfunctions involving cilia and flagella[14, 15], including primary ciliary dyskinesia (PCD). PCD is a rare multisystemic dysfunction caused by cilial motility malfunction. A recent study reported that bi-allelic mutations in SPAG6 are related to PCD, accompanied by recurrent respiratory tract infections and male infertility[14]. Similarly, the phenotype of Spag6−/− model mice is similar to that of some PCD-associated patients, including hydrocephalus and infertility resulting from a lack of motility related to ependymal cilia and sperm flagella[15]. These studies have demonstrated that mutations in SPAG6 are a genetic factor leading to syndromic asthenozoospermia, including PCD. However, the potential relationship between SPAG6 and nonsyndromic asthenoteratozoospermia characterized by the MMAF phenotype has not yet been described.

In this study, we performed whole-exome sequencing (WES) in two unrelated Han Chinese men affected with severe asthenoteratozoospermia, and homozygous variants in SPAG6 were identified in both cases. Notably, the two SPAG6-mutated probands consistently exhibited a typical MMAF phenotype and no other PCD-related symptoms. These results provide new evidence for a potential correlation between SPAG6 variants and nonsyndromic asthenoteratozoospermia characterized by the MMAF phenotype.

Methods

Subjects and clinical investigation

Two unrelated Han Chinese men from consanguineous families and diagnosed with primary infertility were recruited from the Reproductive Center of the First Affiliated Hospital of Anhui Medical University (Hefei, China), and were enrolled in the context of severe asthenoteratozoospermia with a representative MMAF phenotype. All subjects received detailed medical consultations and excluded other related risk factors, including abnormal chromosomal karyotypes, Y chromosome microdeletions, abnormal levels of sex hormones, radiotherapy, and chemotherapy. Physical examination revealed normal external genitalia and bilateral testicles, with no obvious abnormalities in the bilateral spermatic veins. Two healthy men with normal fertility and normal semen characteristics served as the control group. Peripheral whole blood from each individual was collected for subsequent genetic analysis. This research was reviewed and approved by the ethics board committee of the First Affiliated Hospital of Anhui Medical University, and all individuals provided written informed consent.

WES and Sanger sequencing
For WES and bioinformatic analysis, genomic DNA was extracted from whole peripheral blood samples and the exome was enriched using the SureSelect XT Human All Exon Kit (Agilent). Details of the study protocol have been published in our previous study[16]. Next, Sanger sequencing was performed to validate SPAG6 variants in the two probands and their respective parental origins. The variants in SPAG6 were also examined using WES for the respective female partners. Primers used for Sanger sequencing are listed in Supplementary Table 1.

**Semen analysis and sperm morphology**

Routine semen analysis was carried out using the Sperm Class Analyzer (SCA) 5.1 version software (Microptic, Spain), according to the World Health Organization guidelines (5th Edition)[17]. Sperm morphology was assessed using modified Papanicolaou staining. At least 200 spermatozoa from each participant were examined to assess defects in sperm flagella. The MMAF phenotype was classified into five categories: (1) absent, (2) short, (3) angulation, (4) coiled, and (5) irregular caliber flagella[5].

**Scanning and transmission electron microscopy**

For scanning electron microscopy (SEM) and transmission electron microscopy (TEM), sperm samples were collected and fixed with 2.5% glutaraldehyde at 4°C for at least 2h. For SEM, after the dehydration step, the samples were dried chemically using hexamethyldisilazane, and then added dropwise to the specimen stubs. For TEM, samples were embedded in Epon, and ultrathin sections were cut and stained with uranyl acetate and lead citrate. Images were obtained with a Nova Nano 450 (Thermo Fisher, USA) and a Tecnai G2 Spirit BioTWIN (FEI, USA) electron microscope, respectively.

**Western blotting and immunofluorescence staining**

Western blot analysis of spermatozoa was performed according to a previously described protocol [18]. The primary antibody was rabbit polyclonal anti-SPAG6 (HPA038440, Sigma, USA) antibody, and protein expression levels were normalized to that of beta-actin.

Immunofluorescence (IF) analysis was conducted to examine changes in the SPAG6 protein in the spermatozoa, according to our previously published protocol [19]. The primary antibodies were rabbit polyclonal anti-SPAG6 (HPA038440, Sigma, USA) and mouse monoclonal anti-acetylated tubulin (5335S, Cell Signaling Technology, USA). Fluorescence images were captured using an LSM800 laser scanning confocal microscope (Zeiss, Germany).

**Assisted reproductive procedures**

Standard controlled ovarian hyperstimulation was performed according to our previous publication[20]. To enrich the motile spermatozoa, semen samples were processed by discontinuous density gradient centrifugation. After oocyte retrieval, mature oocytes and motile spermatozoa were selected for intracytoplasmic sperm injection (ICSI). The fertilized oocytes were examined 18-19 hours later and cultured until day 3 in cleavage medium (Cook, USA). Then, the Day-3 embryos were transferred to blastocyst medium (Cook, USA) and hatched until the blastocyst stage. Blastocyst morphology was
classified according to the Gardner score system, and all embryos were cryopreserved via vitrification for the following frozen-thawed cycles. After two months, either one or two viable blastocysts were thawed and transferred to the uterus of the female partner. Serum β-HCG levels were measured on day 14 after embryo transfer to determine biochemical pregnancy, and clinical pregnancy was defined as the presence of fetal heart activity in utero confirmed by B-ultrasound 30 days after embryo transfer.

Results

Two homozygous SPAG6 gene variants were identified in men with MMAF

To probe the genetic factors contributing to the MMAF phenotype, we analyzed the two probands by WES. In view of the consanguineous status and the low prevalence of MMAF, bioinformatics analysis was applied and irrelevant or meaningless mutations were filtered out. Potentially pathogenic variants were selected to satisfy the following criteria: homozygous allele frequencies below 1% in the public datasets, including the 1000 Genomes Project, Genome Aggregation Database (gnomAD), and Exome Aggregation Consortium (ExAC), with relatively high expression in the testis; loss-of-function (including stop gain/loss, frameshift insertion/deletion, splicing within two base pairs), or potentially deleterious missense mutations predicted by three prediction tools (PolyPhen-2, SIFT, and Mutation Taster). After bioinformatic filtering, the two probands were found to harbor homozygous variants of SPAG6, the only gene related to sperm flagellar function that met the conditions described above. Following Sanger sequencing, homozygous SPAG6 variants were identified in two individuals and inherited from their heterozygous parental carriers. The details are summarized in the Fig. 1 and Table 1.
Table 1
Genetic information of *SPAG6* variants of the patients

| Subject | F1 II-1     | F2 II-1     |
|---------|-------------|-------------|
| cDNA mutation | c.308C>A   | c.585delA   |
| Exon    | Exon 4      | Exon 5      |
| Mutation type | nonsynonymous | Frameshift deletion |
| Protein alteration | p. Ala103Asp | p. Lys196Serfs*6 |

**Allele frequency in human population**

|   | 1KGP | ExAc_all | gnomAD |
|---|------|----------|--------|
| NA | 8.25×10^{-6} | 4.07×10^{-6} |

**Deleterious prediction**

|   | SIFT | PolyPhen-2 | Mutation Taster |
|---|------|------------|-----------------|
| D  | D    | NA         | NA              |

RefSeq accession number of *SPAG6* is NM_012443.4

Abbreviations: 1KGP, 1000 Genomes Project; ExAc_all, all the data of Exome Aggregation Consortium; gnomAD, the Genome Aggregation Database; D, disease-causing; NA, not available

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**Sperm analysis and clinical characteristics of men carrying SPAG6 variants**

Sperm parameters are summarized in Table 2. Semen analysis of the patients showed severe asthenoteratozoospermia with progressive motility rates of less than 1%, while the semen volume, sperm concentration, and sperm vitality were normal. Clinical examinations were also performed. None of the patients suffered from PCD-related symptoms, such as chronic bronchitis, recurrent respiratory tract interference, otitis media, and visceral inversion (Supplementary Table 2). Lung CT imaging further confirmed normal results in both cases (Supplementary Fig. 1). The MMAF phenotype in the spermatozoa was examined using light microscopy. According to the Papanicolaou staining method, most of the spermatozoa presented multiple flagellar malformations, including absent, short, coiled, angulation, and irregular flagella. Notably, short and coiled flagella were most frequently observed in the spermatozoa of the two patients.
Table 2
Semen parameters and sperm morphology of men harbouring homozygous \textit{SPAG6} variants

| Subject          | F1 II-1 | F2 II-1 | Reference Values* |
|------------------|---------|---------|-------------------|
| **Age**          | 29      | 26      |                   |
| **Semen parameter** | Sample 1  | Sample 2  | Sample 1  | Sample 2  |                   |
| Semen volume (mL)| 2.2     | 3.5     | 3.4              | 3.6       | >1.5              |
| Concentration (10^6/mL)| 107.0 | 63.9 | 16.8            | 27.4      | >15.0             |
| Motility (%)     | 2.0     | 2.7     | 0                | 0         | >40.0             |
| Progressive motility (%) | 0.3 | 0.5 | 0                | 0         | >32.0             |
| Viability (%)    | 66      | NA      | 61               | NA        | >58.0             |
| **Sperm Morphology** |        |         |                   |
| Normal flagella (%) | 3.2   | 4.1     | >23.0             |
| Absent flagella (%) | 4.5   | 7.1     | <5.0              |
| Short flagella (%) | 55.3  | 47.6    | <1.0              |
| Coiled flagella (%) | 32.5  | 39.2    | <17.0             |
| Angulation (%)   | 3.5     | 1.5     | <13.0             |
| Irregular caliber (%) | 1.0   | 0.5     | <2.0              |

Abbreviations: NA: Not applicable;
* Reference Values according to the WHO (2010) criteria; Bold characters represent abnormal values.

To further reveal ultrastructural defects in the flagella, we also used S/TEM to analyze the spermatozoa from patients and controls. According to SEM, the spermatozoa of the patients primarily presented short and coiled flagella (Fig. 2A). For TEM, over 50 random flagellar cross-sections were observed for each \textit{SPAG6}-mutated proband to observe the microtubule assembly in the sperm flagella. In contrast to the typical “9+2” configuration that was observed in the normal controls, the overall axonemal ultrastructure was disrupted, and primarily presented an abnormal “9+0” configuration in sperm flagella of both patients. Of these, the lack of the central microtubules was the main defect observed in sperm flagella, additionally, peripheral microtubule doublets and outer dense fibers were also translocated and disorganized (Fig. 2B).

\textbf{Expression of SPAG6 was attenuated in spermatozoa from men with MMAF}
To further investigate the pathogenicity of the identified homozygous \( SPAG6 \) variants, expression of \( SPAG6 \) protein was analyzed in spermatozoa from the controls and two cases. The western blot showed an intense band at approximately 55 kDa for the full-length \( SPAG6 \) protein in the normal control, and the intensity of this band was dramatically reduced in the two probands (Fig. 3B). The localization and expression of \( SPAG6 \) protein in spermatozoa was also detected by IF staining. \( SPAG6 \) staining was localized along the entire flagella of sperm from the controls, and normal axonemes were visible via staining of acetylated-\( \alpha \)-tubulin. In contrast, \( SPAG6 \) staining was extremely weak and discontinuous in sperm flagella in both of the MMAF cases (Fig. 3A).

**ICSI outcomes**

ICSI treatment was performed due to male factor infertility in the two couples corresponding to the cases in the present study. After one cycle of standard controlled ovarian stimulation for F1 II-1 and his partner, 16 metaphase II stage oocytes were retrieved, 14 were fertilized by ICSI, and seven blastocysts were vitrified. For F2 II-1 and his wife, 13 metaphase II stage oocytes were available, ten were fertilized, and nine blastocysts were cryopreserved. Two months later, F1 II-1 and his partner successfully conceived after the transfer of one frozen-thawed embryo (Fig. 4). The F2 II-1 partner is currently waiting for embryo transfer. This result suggests that patients carrying \( SPAG6 \) variants have a good prognosis for ICSI. The ICSI outcomes of the two couples are summarized in Table 3.

**Table 3**
The clinical outcomes of ICSI treatment from men harbouring homozygous \( SPAG6 \) variants

| Subject                        | F1 II-1 | F2 II-1 |
|--------------------------------|---------|---------|
| Male age (year)                | 29      | 26      |
| Female age (year)              | 25      | 28      |
| ICSI cycles                    | 1       | 1       |
| No. of oocytes retrieved       | 22      | 20      |
| Metaphase II stage oocytes     | 16      | 13      |
| Oocytes fertilized             | 14      | 10      |
| Blastocyst                     | 7       | 9       |
| High quality blastocyst        | 6       | 9       |
| Embryos transferred            | 1       | NA      |
| Clinical pregnancy             | Yes     | NA      |

Abbreviations: ICSI: Intracytoplasmic sperm injection; NA: Not applicable;

**Discussion**
Two patients with severe asthenoteratozoospermia harboring homozygous \textit{SPAG6} variants were identified. The two cases presented a typical MMAF phenotype without other PCD-related symptoms. The expression level of SPAG6 protein was significantly lower in the spermatozoa of the two patients than in the controls, and IF analysis revealed that the fluorescent signal of SPAG6 was extremely weak and discontinuous in the sperm flagella of the patients. Taken together, these findings suggest that homozygous \textit{SPAG6} gene variants are a novel causative genetic factor leading to nonsyndromic asthenoteratozoospermia with severe MMAF.

Motile flagella and their homologous structures, cilia, share an evolutionarily conserved axonemal structure consisting of nine peripheral microtubule doublets circularly organized around a central pair of microtubules (known as the “9+2” structure)[21]. Mutations in numerous genes encoding axoneme-associated proteins are closely tied to the improper assembly of cilia and flagella[6]. The human SPAG6 protein, a component of central microtubules, is essential for maintaining the structural stability of the axoneme. SPAG6 contains eight highly conserved armadillo-type repeats (ARMs), which mediate SPAG6 interactions with other central pair proteins [13]. The role of SPAG6 proteins in regulating flagellar and ciliary motility functions has been demonstrated in different biological models[14, 15, 22]. In \textit{Chlamydomonas reinhardtii}, PF16, an axonemal protein orthologous to SPAG6, is localized to the central microtubule C1 of the axoneme, and is closely associated with flagellar motility[23]. The absence of PF16 causes instability of the C1 microtubule in the central pair, and flagellar paralysis[22]. \textit{Spag6}-deficient mice are affected by hydrocephalus and infertility, suggesting that SPAG6 plays an important role in regulating ciliary and flagellar motility[15]. Wu et al. [14] showed that \textit{SPAG6} mutations in humans lead to a multi-systemic dysfunction phenotype, including chronic respiratory tract infections and male infertility. These findings highlight the important role of SPAG6 protein in the formation of cilia and flagella.

Unlike the studies mentioned above, the two cases carrying SPAG6 homozygous variants in the present study only presented with severe asthenoteratozoospermia. Considering that no other PCD-related symptoms were found in either case, invasive operations for obtaining ciliated cells, such as brushing or mucosal biopsy from the nose or trachea, were not performed, and it was therefore impossible to examine the morphology or ultrastructure of other ciliary tissue. However, no respiratory symptoms or visceral inversion were found upon physical examination and medical consultation, which was confirmed by lung CT screening. Therefore, we speculate that partial ciliary function was preserved in the patients.

Compared with individuals carrying mutations in \textit{SPAG6} with typical PCD, the clinical phenotypes of the two probands were less severe in the present study. We speculate that this phenomenon may be attributed to a variety of factors: First, diverse mutation types and locations in some cilia-related genes may influence the severity of the phenotype in humans. For instance, the \textit{DANH1} gene is a candidate gene for PCD, which encodes a core component of inner-arm heavy chain dynein, and an investigation carried out by Sha et al.[24] demonstrated that 12 patients harboring \textit{DNAH1} variants only presented the MMAF phenotype in the absence of PCD-related symptoms. \textit{DNAH9} is another candidate gene for PCD, but Tang et al.[25] reported that \textit{DNAH9} variants can result in non-syndromic severe asthenospermia without PCD-related symptoms. Based on this, MMAF may be another form of classical PCD[6]. Second,
according to animal models, the process of flagella formation is not identical to that of cilia. For instance, the Bbs4-null mouse model failed to form sperm flagella, but developed primary cilia in other organs normally[26]. Third, gene alternative splicing is widespread in mammals, and splicing variants usually display tissue-specific expression patterns[27]. Certain variants may affect the expression of SPAG6 in the testes rather than in other tissues. In addition, there may be other microtubule proteins that are similar to SPAG6 in phylogensis, have similar functions, and may compensate for the absence of SPAG6 in other ciliated tissue.

Assisted fertilization with ICSI technology is the preferred option for MMAF patients because of the lack of sperm motility[6]. The potential risk of genetic defects is worthy of attention, apart from sperm morphological defects. Therefore, the female partners also underwent mutation screening for SPAG6 before undergoing ICSI, and no deleterious mutations were found. After one cycle of frozen-thawed embryo transfer, the F1 II-1 couple successfully achieved clinical pregnancy. These results indicate that ICSI is an optimal management strategy for severe asthenoteratozoospermia induced by SPAG6 variants.

Conclusions

our findings expand the understanding of genetic defects in the SPAG6 gene, which is a potential pathogenic factor for syndromic severe asthenozoospermia, such as PCD, and also for non-syndromic asthenoteratozoospermia with the MMAF phenotype. ICSI is recommended as an optimal strategy with a favorable prognosis for these patients.

Abbreviations

MMAF: Multiple morphologic abnormalities of the flagella

PCD: Primary ciliary dyskinesia

WES: Whole exome sequencing

IF: Immunofluorescence

SEM: Scanning electron microscopy

TEM: Transmission electron microscopy

ICSI: Intracytoplasmic sperm injection

SIFT: Sorting Intolerant From Tolerant

Declarations

Ethics approval and consent to participate
This study was reviewed and approved by the Ethics Committee of the First Affiliated Hospital of Anhui Medical University.

Consent for publication

All the participants signed written informed consents for this study.

Availability of data and materials

The datasets utilized and/or analyzed in the study are available from the corresponding author on reasonable request.

Competing interests

The authors declare that they have no competing interests.

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Authors’ contributions

CX, DT, ML, XH and YC were responsible for conception of the study. HG, YG, QT, KL, and CW were in charge of data collection and clinical assessment. CX, DT, ML, ZS, and GW performed the experiments. DT and KL analyzed the data. CX, DT, and YG wrote the draft. ML and XH revised the draft. All authors read and approved the final manuscript.

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**Figures**
Figure 1

Variants of SPAG6 in the two patients with MMAF from two consanguineous families. (A-B) Pedigrees of the two families affected by the variants in SPAG6. The brown arrow and red dotted line show mutated locations in the validation of Sanger sequencing. (C) a schematic diagram of mutated positions occurred in the SPAG6 protein. The mutated positions of SPAG6 are conserved among species. The green boxes
indicate the armadillo-type repeats domains of SPAG6 protein. WT, wild type; M, SPAG6 mutations; MMAF: multiple morphological abnormalities of the sperm flagella.

Figure 2

Ultrastructural defects in spermatozoa from two individuals carrying SPAG6 variants. (A) Scanning electron microscopy of the spermatozoa from a healthy control and F1 II-1. (i) normal morphology of spermatozoa from a healthy control man; (ii-v) scanning electron microscopy showed the multiple
abnormalities of the sperm flagella from F1 II-1 individual, including short(ii), coiled (iii, iv), and absent(v). Scale bar: 5μm. (B) transmission electron microscopy of the sperm flagella from a healthy control and two patients. (i-iii) cross-sections of the sperm flagella in a healthy control, including mid-piece, principal piece and end-piece, show the typical “9+2” axonemal structure and peri-axoneme structure. (iv-vi) and (vii-ix) axonemal cross-sections of the sperm flagella from F1 II-1 and F2 II-1, respectively. The overall axonemal ultrastructure was disrupted, and primarily presented an abnormal “9+0” configuration. Of these, the lack of the central microtubules was the main defect observed in sperm flagella from two probands, peripheral microtubule doublets and outer dense fibers were also translocated and disorganized. Scale bar: 200nm. Abbreviations: CP, central pair of microtubules (blue triangles); MT, peripheral microtubule doublets (yellow triangles); ODF, outer dense fiber (red triangles);
Figure 3

Lower expression of SPAG6 in spermatozoa from men harboring SPAG6 variants. (A) Immunofluorescence analysis: SPAG6 staining (red) was located along entire the sperm flagella from a normal control, while SPAG6 staining was extremely weak and discontinuous in the sperm flagella from F1 II-1 and F2 II-1. The anti-acetylated tubulin staining (green) was used as a flagellar maker. Scale bar: 20 µm. (B) SPAG6 protein levels were determined using western blotting in spermatozoa from F1 II-1, F2 II-1 and two healthy controls. Beta-actin was used as loading control.
Figure 4

Typical morphology of the implanted embryo from F1 II-1 and his partner. High-quality blastocysts formed after standard embryo culture, and F1 II-1’ partner conceived successfully after the transfer of one frozen-thawed embryo. (A): 2 pronuclear fertilization; (B): 8-cell stage embryo; (C): blastocyst stage embryo; (D): the ultrasound image of gestational sac. Scale bar: 40 μm

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