The grade of preoperative subscapularis muscle atrophy as a prognostic factor for postoperative retears: A double-center retrospective series

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Abstract

The aim of the study was to investigate whether subscapularis muscle atrophy is a negative prognostic factor leading to increased risk of retears in patients treated with an arthroscopic subscapularis tendon repair. We hypothesized that fatty infiltration of the subscapularis muscle deteriorates the clinical and sonographic outcome of the arthroscopic repair and inhibits reparability of the ruptured tendons. A double-center, multinational, retrospective, blind (in the follow-up) clinical study regarding 32 patients who underwent an arthroscopic subscapularis repair was conducted. Patients were divided into two groups according to the preoperative fatty infiltration grade of subscapularis muscle (group A: grade I-II, group B: grade II or higher). Reparability was not influenced by the grade of preoperative fatty infiltration of subscapularis muscle. The preoperative MRI scans showed significantly higher grades of fatty infiltration (group B) in the subscapularis muscle in patients with clinical evidence of retear after the arthroscopic repair. Low grades of preoperative subscapularis muscle atrophy are significantly correlated with low clinical and sonographic retear’s rate after subscapularis arthroscopic repair, while high grades were a clear negative prognostic factor for the clinical outcome of the aforementioned patients.

Materials and Methods

A double-center, multinational, retrospective (prospectively collected data), blind (in the follow-up) clinical trial including 32 older than 40 years old patients (mean age: 64.4 years, 19 male: 13 female, 27 right: 5 left arms), with MRI-confirmed symptomatic subscapularis tear was conducted. All patients involved in this case-control study had undergone an arthroscopic subscapularis repair regardless of the size of the tear by one of two senior shoulder surgeons (EF, AC) with adequate experience on shoulder arthroscopy. These patients were examined and treated in two orthopedic academic centers with special interest in upper limb surgery from November 2012 until December 2013. The follow-up for each patient should be at least 12 months. All procedures performed were in accordance with the ethical standards of the institutional and/or national research committee and with the 1964 Helsinki declaration and its later amendments or comparable ethical standards.

We excluded from our retrospective analysis patients who were <40 years old.
gradual mobilization of the repaired shoulder. Physical therapy of initial protection in a splint and arm rotation. Arthroscopy of the subacromial joint with the 30° scope and arm traction in all cases. Arthroscopy allowed complete visualization of the glenohumeral joint with the 30° scope and shoulder maneuvers such as internal rotation of the arm and anterior to posterior translation. In all cases tenotomy or tenodesis of the long head of the biceps was performed, when necessary, with one or two anchors drilled by the surgeons.

Regarding the surgical technique, arthroscopic subscapularis repair was performed with the patient in lateral position and arm traction in all cases. Arthroscopy allowed complete visualization of the glenohumeral joint with the 30° scope and shoulder maneuvers such as internal rotation of the arm and anterior to posterior translation. In all cases tenotomy or tenodesis of the long head of the biceps was performed, due to tendon instability, pulley lesion or tendon degeneration. Release of the subscapularis tendon was carried out meticulously and its footprint at the lesser tuberosity was prepared by cautious decortication. In cases where the subscapularis tendon was retracted, medialization of the footprint was planned. The tendon elasticity was assessed in order to restore normal tension. One or two anchors with two or three sutures were placed. Arthroscopic instrumentation such as suture passer was used to repair the subscapularis tendon through the anchor sutures. Tenodesis of the long head of the biceps was performed, when necessary, with one of the remaining anchor sutures. The subscapularis repair was assessed with the arthroscopic probe in various degrees of arm rotation. Arthroscopy of the subacromial space, bursectomy and acromioplasty were performed in most of the cases. Supraspinatus or supra-infraspinatus repair was also performed in antero-superior or massive cuff tears. A similar rehabilitation program of initial protection in a splint and gradual mobilization of the repaired shoulder was utilized to all our patients.

All patients were postoperatively evaluated and documented with the use of ultrasound by two radiologists (AP and FL) who were unaware of the preoperative MRI assessment. The clinical evaluation at the final point of the follow-up was performed by two physicians, other than the treating surgeons, who were unaware of the preoperative MRI grading. The clinical assessment was done using four different clinical tests (internal rotation lag sign, the Napoleon test, the bear-hug and lift-off test). Patients documented as clinically suspicious for subscapularis retear were those who had a minimum of one positive clinical test. The rate of failure was calculated by the number of operated patients per group who had documented clinical or sonographic subscapularis retear after 12 months follow-up. The intraoperative reparability of the subscapularis tear was investigated as secondary outcome. Statistical analysis was performed using paired t-test on SPSS ver. 10.0. A P-value <0.05 was considered significant.

Results

Preoperative MRI revealed the presence and extent of subscapularis muscle atrophy. In 13 out of 32 patients no muscle atrophy of the subscapularis was found. The remaining patients presented with grades I to III atrophy as shown in Table 1. No partial thickness subscapularis tendon tears were identified in the study group. The vast majority of the patients were classified as Fox & Romeo type II, while seven were type III and two type IV.

Nineteen subscapularis tendon tears were associated with traumatic events, while the remaining thirteen tears were presumed due to degenerative tendon disease. In all cases the arthroscopic repair was considered successful based on the intraoperative evaluation and the surgeon’s report. As a result, reparability was not influenced by the grade of preoperative fatty infiltration of subscapularis muscle.

The fibrillar echostructure of the successfully repaired tendons was sonographically documented to be similar to the normal echostructure of the contralateral subscapularis tendons (Figures 1 and 2). Tendon thickness compared to the contralateral side was the same in 15 patients.

| Grade | Number of patients |
|-------|--------------------|
| Grade 0 | 13 patients |
| Grade I | 3 patients |
| Grade II | 9 patients |
| Grade III | 7 patients |
| Grade IV | 0 patients |

Table 1. Subscapularis muscle atrophy of the patients in the study according to the MRI Fuchs classification system.

![Figure 1](image1.png)  
Figure 1. A 52-year old female with traumatic, Romeo type II right subscapularis tendon tear. Transverse scans of (a) normal left subscapularis tendon (b) repaired, intact right subscapularis tendon arrows: subscapularis tendon.

![Figure 2](image2.png)  
Figure 2. Same patient. Sagittal views of (a) normal left subscapularis tendon (b) repaired, intact right subscapularis tendon arrows: subscapularis tendon, dashed arrow: hole drilled by the surgeons.
and with a difference less than 1 mm in 9 patients. Brightly echogenic suture material was identified within the tendon substance of 13 patients at the initial examination (Figure 3). This absorbable suture material was not visualized in any of the cases at the final endpoint examination. The holes drilled by the surgeons on the lesser tubercle, as well as the suture anchors, were visualized at ultrasound in the majority of shoulders; 26 out of 37 cases (Figure 2).

Twenty-five patients were sonographically found with an intact subscapularis tendon six months after the repair and remained so at the time of the second ultrasound examination (12 months postoperatively). Seven patients were found with a sonographic complete disruption of the subscapularis tendon after 6 months follow-up. The subscapularis specific clinical tests were assessed as a whole and were positive for retear when one or more of these tests were found positive. At the final endpoint of the follow-up, all group B patients had at least one postoperative positive clinical test indicative for subscapularis retear. On the contrary, all patients with low levels of preoperative atrophy (group A) had excellent outcomes based both on ultrasound and clinical assessment (clinical tests as a composite) (Table 2).

**Discussion**

The most important finding of our study was that the grade of preoperative subscapularis muscle atrophy can safely predict the postoperative clinical and sonographic outcomes after arthroscopic subscapularis repair. Despite several results have investigated this correlation in tendons of the rotator cuff like supraspinatus or infraspinatus, there is only limited and conflicting evidence regarding subscapularis.

Clinical trials especially focusing on subscapularis arthroscopic repair comprise a very small minority of the published literature regarding rotator cuff arthroscopic repair, yet subscapularis tears cause significant pain and dysfunction for patients. The importance of the integrity of subscapularis tendon on shoulder biomechanics, as well as the effects of anterosuperior cuff tears on glenohumeral translation, have been reported in several studies. Orthopaedic surgeons are especially interested in the postoperative integrity of the subscapularis tendon, not only in order to restore subscapularis muscle function and pain relief, but also for assuring glenohumeral joint stability. Several researchers have supported that fatty degeneration of the rotator cuff might be a contraindication to rotator cuff repair, because muscle-tendon units with fatty degeneration do not have the ability to heal. On the contrary, there are only very few clinical data in relation to subscapularis fatty infiltration as an isolated prognostic factor. In a retrospective clinical series of 21 patients, Bartl et al. reported that atrophy of the subscapularis muscle was present in about one-fourth of the patients and in all patients with a positive postoperative bellpress test. The authors focused on the postoperative grade of fatty atrophy and not on the preoperative grading as a predictive factor of the therapeutic result. According to Nové-Josserand et al., the subjective and functional outcomes were not influenced by the postoperative fatty infiltration of the subscapularis muscle.

Regarding our study, higher grades of preoperative MRI subscapularis fat atrophy were documented in those patients who had at least one positive clinical test indicating subscapularis retear during their follow-up.

So, all patients who had been graded as stage II or more were found clinically suspicious for retear. On the contrary, patients who had minor or no preoperative subscapularis muscle atrophy (grade I or 0) had no clinical or sonographic signs of retear at their follow-up. These results confirmed our initial hypothesis and proved that the theory of fatty infiltration as preoperative prognostic factor works also in the case of subscapularis tears. So, the preoperative MRI status of the subscapularis muscle should be always taken into consideration by the treating physician who should individualize the treatment’s and rehabilitation’s protocol in order to achieve optimal results.

This study was not without limitations. First of all, the number of patients was rather small and no randomized control group was used. The follow-up was relatively short, while the design of the study was retrospective. On the other hand, this was a double-center, multinational study, while the data were prospectively collected.

**Table 2. Relation between the severity of the preoperative MRI fatty atrophy with the postoperative incidence of clinical retear and sonographic retear.**

| Muscle atrophy | N. patients | Postoperative **clinical** retear | Postoperative **sonographic** retear |
|----------------|-------------|---------------------------------|------------------------------------|
| Grade 0        | 13 patients | No one (0/13)                    | No one (0/13)                      |
| Grade I        | 3 patients  | No one (0/3)                     | No one (0/3)                       |
| Grade II       | 9 patients  | 9/9 (100%)                       | 3/9 (33%)                         |
| Grade III      | 7 patients  | 7/7 (100%)                       | 4/7 (57.1%)                       |
| Grade IV       | 0 patients  | -                                | -                                  |

**Figure 3. Ultrasound examination 12 months after the repair (transverse scan). The hole drilled on the lesser tubercle (arrow) and the anchor (dashed arrow) is demonstrated.**
We divided our sample into two groups in order to make statistical comparisons between the different grades of fatty infiltration and assess it as a preoperative prognostic factor. In addition, the postoperative assessment was carried out by investigators who were unaware of the preoperative MRI values.

**Conclusions**

Low grades of preoperative subscapularis muscle atrophy are significantly correlated with low clinical and sonographic retear’s rate after subscapularis arthroscopic repair, while high grades were a negative prognostic factor for the clinical outcome of these patients.

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