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Broad He I 1.08-μm absorption from the obscurer in the active galaxy NGC 5548

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ABSTRACT

The nucleus of the active galaxy NGC 5548 was the target of two intensive spectroscopic monitoring campaigns at X-ray, ultraviolet (UV), and optical frequencies in 2013/2014. These campaigns detected the presence of a massive obscuration event. In 2016/2017, Landt et al. conducted a near-IR spectroscopic monitoring campaign on NGC 5548 and discovered He I 1.08-μm absorption. Here, we decompose this absorption into its components and study its time variability. We attribute the narrow He I absorption lines to the warm absorber (WA) and, as for the newly appeared low-ionization WA lines in the UV, their presence is most likely due to a reduction in ionization parameter caused by the obscurer. The observed variability of the narrow He I absorption is consistent with what is expected for the WA. Most importantly, we also detect fast, broad He I absorption, which we attribute to the obscurer. This He I broad absorption, which is indicative of a high column density gas, is unsaturated and variable on time-scales of a few months. The observed variability of the obscurer is mainly due to changes in ionization, although density changes also play a role. We test the physical cycle model of Dehghanian et al. which proposes that helium recombination can account for how the obscurer influences the physics of the WA gas. Our results support their model, but also indicate that the reality might be more complex.

Key words: quasars: absorption lines – quasars: individual: NGC 5548 – galaxies: Seyfert – infrared: galaxies.

1 INTRODUCTION

Active galactic nuclei (AGN) are luminous and persistent energy sources at the centres of galaxies, powered by accreting supermassive black holes. Outflows from AGNs limit the rate of black hole growth and can significantly influence star formation in their host galaxy by depositing their kinetic luminosity into the surrounding environment via the so-called feedback process (Springel, Di Matteo & Hernquist 2005; Martín-Navarro et al. 2018). The outflowing material, if located along the line of sight to the AGN, can appear as blue-shifted absorption lines in AGN spectra, offset from the systemic emission-line velocity by sometimes more than 10 per cent of the speed of light (Pounds et al. 2003; Tombesi et al. 2010). Such absorption lines have been observed across a wide range of the electromagnetic spectrum, including near-infrared (near-IR), optical, ultraviolet (UV), and X-ray wavelengths. The outflowing ions producing absorption have been observed in a variety of ionization states, implying that a corresponding diversity of ionizing environments exists both within and between objects (Netzer 1996).

Variability of AGN absorption line strength is a commonly observed phenomenon and has been measured over time-scales ranging from days to years (Lundgren et al. 2007; Capellupo et al. 2011; Grier et al. 2015). Possible explanations for variability include changes to the covering fraction of the emission region, due to motion of the absorber, and changes in the ionization state of the gas, due to variations in the incident ionizing radiation (Gibson et al. 2008; Wang et al. 2015). Distinguishing between these cases is possible through the use of photoionization simulations and measuring the level of coordination in variability between different ions and across large velocity separations.

Atomic helium in the 2S state is considered metastable, as its theoretical average lifetime is 2.2 h (Drake 1971). This state can be useful for studying high-column density outflows since it has a relatively low abundance, making it less prone to absorption-line saturation compared to more commonly used ions such as C IV and Si IV. Within AGN outflows, metastable helium is almost entirely formed from recombinations of He II due to it being 19.8 eV above the true ground state of He I, which is much greater than the absorber electron temperature. It therefore only forms in ionizing environments suitable for the generation of He II. The transitions of metastable helium form a triplet at vacuum wavelengths of 3189 Å (UV), 3890 Å (optical), and 10 833 Å (near-IR). The near-IR component is relatively easy to measure due to its strength and relative lack of blending with neighbouring emission lines. The effectiveness of using this metastable state as a means of understanding AGN outflows has been demonstrated previously (Leighly, Dietrich & Barber 2011; Wildy et al. 2016).

The active core of the galaxy NGC 5548 is one of the best-documented AGN due to both its relative proximity to Earth, providing high line fluxes, and its strong continuum variability. This
has made it a prime target for reverberation-mapping studies (e.g. Peterson et al. 2002; Bentz et al. 2007) allowing determination of the black hole mass through broad emission line time delays. X-ray observations prior to 2013 show substantial emission in the soft band (e.g. Done et al. 1995; McKernan, Yaqoob & Reynolds 2007), which is characteristic of an unobscured source and in agreement with its optical spectral properties such as broad emission lines and strong accretion disc continuum emission. It is also known to harbour persistent outflows due to highly ionized species such as N V and C IV, which manifest as a series of narrow UV absorption lines of full-width at half-maximum (FWHM) up to a few hundred km s⁻¹. These lines have been seen in observations dating from the 1990s onwards (Crenshaw & Kraemer 1999; Mathur, Elvis & Wilkes 1999; Crenshaw et al. 2003; Arav et al. 2015) and are sometimes ascribed to an X-ray warm absorber (WA). The UV and X-ray absorption lines from the WA are most likely produced in gas of lower and higher ionization, respectively, implying a stratification in the outflow. The WA is known to exist at the parsec scale and its properties have been observed to vary (Steenbrugge et al. 2005; Ebrero et al. 2016).

Two large observing campaigns during the summer of 2013 and the first half of 2014 monitored light curves and the spectral behaviour of NGC 5548 using high-energy telescopes, including XMM-Newton, NuSTAR, Chandra, and Swift, along with optical/UV data obtained from the Hubble Space Telescope and ground-based observatories (Kaasra et al. 2014; De Rosa et al. 2015; Di Gesu et al. 2015; Mehdipour et al. 2015, 2016; Mathur et al. 2017). These campaigns revealed considerable soft X-ray obscuration not present in previous observations, together with previously unseen UV narrow absorption lines resulting from low- to moderate-ionization species such as Si II, Si IV, and C IV (Kaasra et al. 2014; Kriss et al. 2019). New broad absorption troughs spanning a few thousand km s⁻¹ from a fast outflow were also seen within the UV spectra and attributed to the X-ray obscurer. The appearance of lower ionization narrow lines in the WA has been explained by a reduction in the ionization parameter of the outflowing material, most likely caused by absorption of ionizing photons from the nucleus by the obscurer, which is located much further in (Kriss et al. 2019; Dehghanian et al. 2019a). Variability monitoring of the X-ray obscurer by Cappi et al. (2016) reported the most recent measurements of its physical properties and described it as consisting of one moderately ionized component and one cold neutral component. Variability of the UV narrow absorption lines was reported previously by Crenshaw et al. (2003, 2009), but only recently Kriss et al. (2019) studied also the UV variability of the obscurer and reported a decrease in strength over a period of ~6 months. They suggested that the obscurer is a powerful wind of the accretion disc, a model which has recently been elaborated on by Dehghanian et al. (2019a, 2019b). The main arguments for the interpretation of the obscurer as an accretion disc wind were: (i) the observation of a ‘line holiday’, i.e. a decorrelation of the variability of the line flux from that of the ionizing extreme UV (EUV) continuum flux for part of the campaign, not only for the narrow absorption lines but also for the broad emission lines (Goad et al. 2016); (ii) an anticorrelation between the strength of the soft X-rays and the equivalent width (EW) of the broad UV absorption troughs; and (iii) no strong changes in the velocity widths of the broad UV absorption troughs. The first observation indicates that the location of the obscurer is between the continuum source and broad-line region (BLR) and that the obscurer has an axisymmetric geometry rather than being a single cloud (as could be the case if the ‘line holiday’ was observed only for the narrow absorption lines). The latter interpretation is supported also by the third observation. The second observation points to an outflowing obscurer.

In their one-year long near-IR reverberation campaign on NGC 5548 during 2016/17, Landt et al. (2019) discovered He I absorption at 10 833 Å. Here we analyse the different components of the He I absorption and study their time variability. The appearance of narrow He I absorption lines is most likely related to the appearance of low-ionization WA lines in the UV. However, most interestingly, we detect also fast, broad He I absorption, which we attribute to the obscurer. The paper layout is as follows: Section 2 details the observations; Section 3 describes the analysis leading to the identification of absorption components and measurements of their variability; Section 4 provides discussion of the findings from Section 3 in more detail, and Section 5 provides a summary of the main results of this work. All laboratory line wavelengths quoted in this paper are vacuum wavelengths, and velocities are defined as negative if in the blue-shifted (outflowing) direction.

### 2 THE NEAR-IR SPECTROSCOPY

Landt et al. (2019) observed NGC 5548 between 2016 August and 2017 July with the recently refurbished SpeX spectrograph (Rayner et al. 2003) at the NASA Infrared Telescope Facility (IRTF), a 3-m telescope on Maunakea, Hawaii. The aim of this near-IR spectroscopic reverberation campaign was to measure the lag time of the hot dust in the obscuring torus together with the dust temperature, as well as the variability of emission lines such as those from the coronal line region and BLR. However, a serendipitous discovery was the presence of absorption in the He I λ10 833 broad emission line (see their fig. 1).

In summary, the campaign achieved a total of 18 near-IR spectra with an average cadence of about 10 d, excluding the 3.5-month period (September to mid-December) when the source is unobservable. They used the SXD cross-dispersed mode (0.7–2.55 μm) and a 0.3 × 15 arcsec² slit oriented at the parallactic angle, resulting in an average spectral resolution of R = 2000 or FWHM of ~150 km s⁻¹. As discussed in Landt et al. (2019), the narrow slit and the optimal spectral extraction rendered the flux contamination from the host galaxy negligible. The spectra have a high signal-to-noise (S/N) ratio with an average continuum S/N ~ 60 in the J band, where the He I line is observed.

We selected eight observations from the data of Landt et al. (2019) (Table 1). The selection was made on the basis of both a high continuum S/N and an even spread over the duration of the campaign. In particular, we excluded spectra that were noisy in the wavelength region close to the atmospheric absorption window between the J and H bands, which partially overlaps with the broad Paβ line on its longer wavelength (red) side. All selected spectra show obvious absorption blueward of the He I line and are hereafter referred to as the ‘absorbed spectra’. A single observation from 2006 June published by Landt et al. (2008), which appears to have unabsorbed He I, was used to provide emission templates for the more recent absorbed spectra. The 2006 spectrum was obtained through a wider slit (0.8 arcsec) resulting in a lower spectral resolution of R ~ 800. By degrading the spectral resolution of the new spectra to this value, we checked that the current He I absorption would have been detectable if it had been present then.

Initially, small additive corrections (up to 1.6 Å) were made to each spectrum to align skylines with their laboratory wavelengths. Subsequently, the spectra were transformed into the object rest frame for all further analysis. Differences in flux calibration among absorbed spectra were corrected using the photometric scaling factors.
listed in Landt et al. (2019) (see their Table 1). In summary, these were obtained by first using near-IR integral field unit observations to correct for slit losses and then matching the profiles of the strong narrow forbidden emission line [S III] \( \lambda 9533 \) in the different spectra. Due to the physical size of the emitting region, the [S III] \( \lambda 9533 \) line is not expected to vary on the time-scales of several months or even a few years. The continuum S/N reported in Table 1 is the average value calculated in two continuum-fitting windows either side of the He I blend, having wavelength ranges of 9700–9800 and 12000–12100 Å.

3 SPECTRAL ANALYSIS

3.1 The unabsorbed spectrum

A continuum constructed as the sum of a power law (corresponding to the accretion disc emission) and a blackbody (corresponding to the dust emission), without any galaxy contribution, gave a good fit to line-free continuum regions in the absorbed spectra. However, for the unabsorbed reference spectrum from 2006 June, which was taken with a wider slit (0.8 arcsec), the addition of galaxy starlight and hence a galaxy template was required. For this purpose, we used a Sa-type spiral template from the SWIRE library (Polletta et al. 2007). We performed both the continuum fits and the line fits described in detail below with the SPECFIT package within the IMAGE REDUCTION AND ANALYSIS FACILITY (IRAF) software (Tody 1993).

In order to obtain templates for the broad and narrow components of the unabsorbed He I \( \lambda 10833 \) emission blend, we performed a multicomponent simultaneous fit to the blend observed in the reference spectrum, the result of which is shown in Fig. 1. Due to the high-velocity width and hence wavelength spread of the broad lines in NGC 5548, the He I line has substantial blending with Pa \( \beta \) and hence a galaxy template was required. For this purpose, we used a Sa-type spiral template from the SWIRE library (Polletta et al. 2007).

Figure 1. Spectral region of the He I blend from the 2006 June (reference) spectrum (black circles). The red dotted–dashed line represents the total continuum formed from the sum of disc (dotted cyan line), dust (magenta dotted line), and galaxy starlight (green dotted line) components. Blended line emission excluding narrow components is represented by the solid green line. Blue lines represent the broad components of He I (dashed) and Pa \( \gamma \) (dotted). Orange lines represent the narrow components of He I (dashed) and Pa \( \gamma \) (dotted).

Table 1. List of observations selected from Landt et al. (2019).

| Observational epoch | Observation date | HJD -2400000 | Exposure (s) | Airmass | Seeing (arcsec) | Slit width (arcsec) | Continuum S/N | Correction factor |
|---------------------|-----------------|-------------|-------------|---------|----------------|-------------------|---------------|------------------|
| 1                   | 2006 Jun 12 \( ^b \) | 57602.76    | 16 × 120    | 1.103   | 0.9            | 0.8               | 49            | 0.85             |
| 2                   | 2016 Aug 2      | 57611.74    | 16 × 120    | 1.180   | 0.7            | 0.3               | 62            | 1.03             |
| 3                   | 2016 Dec 21     | 57744.08    | 16 × 120    | 1.209   | 0.6            | 0.3               | 51            | 0.78             |
| 4                   | 2017 Feb 15     | 57800.11    | 12 × 120    | 2.184   | 0.4            | 0.3               | 38            | 0.73             |
| 5                   | 2017 Feb 24     | 57809.10    | 16 × 120    | 1.006   | 0.8            | 0.3               | 37            | 0.73             |
| 6                   | 2017 Mar 17     | 57830.01    | 16 × 120    | 1.005   | 0.6            | 0.3               | 53            | 1.15             |
| 7                   | 2017 Mar 22     | 57834.99    | 18 × 120    | 1.027   | 0.7            | 0.3               | 38            | 1.00             |
| 8                   | 2017 May 9      | 57882.85    | 16 × 120    | 1.041   | 0.6            | 0.3               | 44            | 0.89             |

\( ^a \) Measured as detailed in the main text. \( ^{b} \) Used as a reference spectrum for the unabsorbed He I broad emission line profile.

(2006), after a small correction for internal reddening was applied (Gaskell, Klimek & Nazarova 2007). High-density gases could, however, produce a less steep Paschen decrement, so the upper limit was set equal to the Pa \( \beta \) strength. Additionally, a single Gaussian was generated to represent the relatively weak narrow component of Pa \( \gamma \). A double-Gaussian profile was used to model the broad component of He I, while the narrow component was constructed using a triple-Gaussian profile. As in the case of Pa \( \beta \), no physical significance is attributed to individual Gaussian components in these multi-Gaussian profiles. No significant deviation from the total emission model was found at wavelengths where the possibility of broad Fe II emission exists, so it was not added to the model profile.

Subsequently, a mean spectrum was constructed from the (continuum subtracted) absorbed spectra. After being adjusted to match the higher effective resolution of the absorbed spectra, an attempt was
Figure 2. Continuum-subtracted Paβ blend from the reference spectrum (black line). The green and blue dotted lines are Gaussian models, which sum to give the broad Paβ profile (solid red line). Dashed red lines show the blended narrow lines. The fit was restricted to $\lambda \leq 13100$ due to poor fitting at longer wavelengths caused by proximity to the upper boundary of the J-band transmission window.

made to use the He I broad and narrow components from the reference spectrum as (fixed-shape) templates for fitting the same components in the mean spectrum. This followed a similar procedure to that used for the 2006 June reference spectrum, differing by the use of a two-step process. The first step involved fitting to the red-side of the blend to avoid the blue-shifted absorption. Secondly, any regions from the blueside of the blend where the emission model appeared significantly below the data points were additionally designated as fitting regions and the procedure repeated.

Unfortunately, the He I narrow template was found to be too narrow for the corresponding He I component in the mean absorbed spectrum. Given the long time-period of more than ten years between the observation of the reference spectrum and the observations of the absorbed spectra, this disparity is not surprising. Variability of the narrow component of permitted line transitions can occur during such intervals without affecting the validity of the photometric correction factors listed in Table 1, which concern much shorter time-periods over which narrow lines are stable (Peterson et al. 2013). Following the equivalent procedure for the reference spectrum, we instead fitted the mean spectrum with a new triple-Gaussian model, creating an updated He I narrow template.

Additionally, weak Fe II emission was included in the mean spectrum fit. Gaussian profiles of mutually locked velocity widths, as well as wavelength ratios locked to the laboratory values, were used to model each of several broad Fe II lines for this purpose. Flux ratios of Fe II lines were locked to the theoretical values from Sigut & Pradhan (2003), which are also listed in Landt et al. (2008). The strongest blended Fe II feature is the doublet at laboratory wavelength $\sim 10500$ Å, while a weaker feature exists at 10866 Å. All components contributing to the total emission fit are listed in Table 2. The complete continuum-subtracted fit, together with the observed and difference spectra, are shown in Fig. 3.

Following this, reconstructions of the unabsorbed, continuum-subtracted spectra of the eight individual absorbed observations were made using the emission components from the fit to the mean spectrum.

Table 2. Components of the He I emission line blend.

| Component | Lab wavelength (Å) | Model used | Mean spectrum FWHM$^a$ (km s$^{-1}$) |
|-----------|-------------------|------------|-------------------------------------|
| He I (broad) | 10833 | Double Gaussian | 6570 |
| He I (narrow) | 10833 | Triple Gaussian | 480 |
| Paγ (broad) | 10941 | Double Gaussian | 8990 |
| Paγ (narrow) | 10941 | Single Gaussian | 410 |
| Paβ (broad) | 10866 | Double Gaussian | 2560, 2560 |
| Paβ (narrow) | 10866 | Single Gaussian | 2560 |

$^a$Velocities are rounded to the nearest 10 km s$^{-1}$. 

Figure 3. Mean of the eight continuum-subtracted absorbed spectra. Black circles are the observed data points and the red dashed line is the total reconstructed emission. The green line is the difference spectrum (observed minus model), which shows significant absorption on the blue side of the blend. Inset panels are enlargements of the spectral regions enclosed in the grey boxes, which show the Fe II emission (blue dotted lines). For the relatively strong 10500-Å doublet (left inset panel) the contribution of Fe II to the total emission is apparent from the red dotted line, which is the emission model minus the Fe II model.
3.2 Identification of the He I absorbers

Absorbers were identified in the mean spectrum by adding negative-flux Gaussian components to the reconstruction from Fig. 3, in order to fit the observed flux data points in the region of absorption. Normalized absorption profiles could then be generated by dividing through by the background illumination. To simplify the process, it is assumed that the absorbers are much smaller than both the near-IR dust emission, thought to be located in the torus, and the narrow-line emission region, both of which are known to be spatially extended in comparison to intrinsic AGN absorbers. This leaves the emission from the BLR and the accretion disc continuum as the only sources potentially undergoing significant attenuation by these absorbers along our line of sight to the AGN. We therefore created a normalized mean absorption spectrum relative to a ‘pseudo-continuum’ formed from the sum of disc power-law plus BLR emission. This normalized spectrum, including the identified absorbers, labelled $a$–$g$, is shown in Fig. 5. From the resulting profile, it is apparent that the absorption on the blueside of the He I blend consists of an overall broad feature (Component $a$) blended with several narrow absorbers.

These absorption components were subtracted from the reconstruction formed for each individual spectrum in a similar fashion. The wavelength position and width were fixed at those found for the mean spectrum, but the strength allowed to vary between each individual spectrum. This total (reconstructed emission minus absorption components) model was found to be an acceptable fit to the observed spectrum in most cases except for those taken at the fifth and seventh observational epochs, where the reduced chi-square ($\chi^2/\nu$) for all pixels in the range $-7000 \leq v \leq 1000 \text{ km s}^{-1}$ was 2.16 and 2.78, respectively. For all other observations, it ranged from 0.94 to 1.41. As depicted in Fig. 6, the observations having poor fits deviate most significantly from the total model at velocities $<-3000 \text{ km s}^{-1}$, where excess absorption is found. In Fig. 7, emission-normalized profiles for each individual observational epoch are shown, with the positions of the identified narrow absorption components highlighted. All absorber components identified in metastable He I are listed in Table 3.

Consistent with most previous absorption variability studies, there is no significant shift in individual absorber velocity centroid between observations, however, absorption strengths do vary to a statistically significant degree (Section 3.3). The overall profile and position of component $a$ is similar to the broad absorber seen in recent UV observations and first described in Kaasstra et al. (2014). Following their analysis, this could indicate that component $a$ is due to the X-ray obscurer that appeared in 2013. Kriss et al. (2019) associate several broad absorption troughs observed in their 2014 HST spectroscopy with this new component of the nuclear structure of NGC 5548, including absorption from ions with a low ionization potential such as H$^+$ and C$^+$ (see their Table 7).

The narrow Component $c$ closely matches the velocity centroid and width of Component 1 from Mathur et al. (1999), which is labelled UV1 by Arav et al. (2015). Narrow components $e$ and $f$ likewise closely match UV5 and UV6 from the same paper. It is therefore likely that these He I narrow components result from the same outflowing material responsible for the corresponding UV
Figure 6. Comparison of the poorly fitting fifth- and seventh-epoch spectra (after normalization to the total emission-absorption profile) with the mean normalized profile for all other spectra.

Figure 7. Disc + BLR emission-normalized profiles shown as a function of velocity (relative to HeI rest-frame wavelength) for all observational epochs. Normalized data points are depicted as black circles. Red vertical dashed lines show the velocity positions of the narrow absorbers (labelled at the top).

Table 3. List of identified He I absorber properties and corresponding UV component from Arav et al. (2015).

| Component | Centroid velocity<sup>a</sup> (km s<sup>−1</sup>) | Width<sup>a</sup> (km s<sup>−1</sup>) | UV component |
|-----------|---------------------------------|---------------------------------|--------------|
| a         | −2360                           | 3090                            | Broad absorber |
| b         | −1510                           | 370                             | None          |
| c         | −1130                           | 210                             | UV1           |
| d         | −560                            | 270                             | UV3+UV4      |
| e         | −320                            | 290                             | UV5          |
| f         | −30                             | 170                             | UV6          |
| g         | 150                             | 160                             | None          |

<sup>a</sup>Velocities are rounded to the nearest 10 km s<sup>−1</sup>.

3.3 Variability measurements

There are several different methods available for measuring the variability of individual absorption components. A simple method is to measure changes in the total flux within a component, while an alternative is to measure it with respect to the background emission source using the EW. In both cases, it is important to estimate errors in order to quantify the significance of any variability. It is assumed that the contribution to the total errors by the absorption and emission models is dominated by the fractional uncertainty in their strength normalization rather than their shape. The estimated value of this fractional error for each absorption model was obtained by incrementally changing the strength of each by 0.01 per cent, both in the increasing and decreasing direction, until the criterion for a 1σ change for one interesting parameter (Δχ<sup>2</sup> / ν > 1) was reached.

The wavelength range used for the fractional error calculations for the continuum components were those previously defined for the S/N calculation, while for the absorption models the velocity range is the same as that over which χ<sup>2</sup>/ν was calculated. Uncertainties in the narrow and broad emission models are calculated from the blue (unabsorbed) side of the respective component in the same way. For simplicity but while remaining conservative, symmetrical errors are assumed, with the larger value of the two directions adopted. For the final 1σ uncertainty in both the EW and total flux, the fractional model errors are propagated together with the per pixel observed errors to determine the total error.

The broad absorber must cover a portion of the BLR along our line of sight, given the depths of the UV troughs recorded in Kaastra et al. (2014). Since the reverberation-measured size of the hydrogen BLR is ~4 light days (Pei et al. 2017), the disc emission region at 1.08 μm, under the assumption of an optically thick, geometrically thin disc (Shakura & Sunyaev 1973), likely occurs at a similar distance from the ionizing source. We therefore make the simplifying assumption that, as in the UV, the broad absorber ‘sees’ only the disc + BLR pseudo-continuum along our line of sight. The variability behaviour of the broad absorption component and the pseudo-continuum across the observation period are illustrated in Fig. 8.
properties are tabulated in Table 4. Cases of significant variability (at least 2σ) are seen between many pairs of observations. Both the EW measurement and the total flux show the same variability pattern over time.

The view of the disc+BLR pseudo-continuum along the line-of-sight from the warm X-ray absorber components, which are responsible for at least some of the narrow UV absorbers, is believed to be obstructed by the obscurer (Kaastra et al. 2014). Therefore, EW measurements for the narrow components are calculated from a new pseudo-continuum, using not only disc+BLR as before, but also including attenuation of this continuum by the broad absorber. The variability of the narrow components is shown in Fig. 9, with their values tabulated in Table 5. Similarly, as for the broad absorber, significant variability is seen in all narrow absorption components. Errors are only calculated for a particular narrow absorber for those observations where its strength is non-zero.

### 4 DISCUSSION

#### 4.1 The broad absorption component

The broad absorption component appears similar to the UV absorption described in Kaastra et al. (2014), which is likely responsible for the X-ray obscuration first seen in 2013. The absence of broad absorption in UV spectra before then is consistent with the absence of broad He I absorption in the 2006 June spectrum, supporting the interpretation that both have a common origin. The broad UV absorption lines seen in Kaastra et al. (2014) were observed at saturation point, as deduced from the almost 1:1 red–blue Si IV component ratio, but did not reach zero intensity. Such a situation implies partial coverage of the emission source. For a coordinated change in strength across a broad absorption line between observations, the most likely explanation is a change in the ionization state of the absorbing material in response to variations in the incident ionizing continuum; as the ionization parameter increases, the EW of the absorption line decreases. An alternative explanation is that the density of the obscurer varied, as investigated in detail by Dehghanian et al. (2019b), with a higher density leading to a lowered absorption line EW (see their fig. 4).

There is a significant anticorrelation between the strength of component a and the strength of the disc continuum at 1.08 μm, as shown in Fig. 10. This is visible in both the EW and total flux measures of the absorber strength. If the disc near-IR continuum at this wavelength is indicative of changes in the ionizing EUV spectral region and soft X-rays, it shows that ionization changes in the obscurer are likely influencing the strength of the absorption line. Since a saturated absorption line cannot undergo a strength increase in response to changes in ionization state, these results suggest that component a is not saturated in He I λ10833 for most, or possibly all, of these observations. But Fig. 10 also shows that a significant drop...
Table 5. Fluxes and EWs for components b–g.

| Observational epoch number | Component b | Component c | Component d |
|----------------------------|-------------|-------------|-------------|
|                            | Flux (10^{-17} erg cm^{-2} s^{-1}) | Equivalent width (Å) | Flux (10^{-17} erg cm^{-2} s^{-1}) | Equivalent width (Å) | Flux (10^{-17} erg cm^{-2} s^{-1}) | Equivalent width (Å) |
| 1                          | 79.4 ± 35.3 | 0.24 ± 0.11 | 496 ± 28.2 | 1.44 ± 0.08 | 214 ± 33.7 | 0.59 ± 0.09 |
| 2                          | 254 ± 41.0  | 0.77 ± 0.13 | 528 ± 34.3 | 1.52 ± 0.10 | 204 ± 34.8 | 0.56 ± 0.10 |
| 3                          | 182 ± 50.9  | 0.62 ± 0.17 | 563 ± 41.5 | 1.91 ± 0.15 | 110 ± 42.4 | 0.34 ± 0.13 |
| 4                          | 0           | 0           | 673 ± 38.9 | 2.21 ± 0.15 | 303 ± 41.1 | 0.95 ± 0.13 |
| 5                          | 190 ± 50.2  | 0.63 ± 0.17 | 539 ± 30.4 | 1.61 ± 0.10 | 231 ± 30.7 | 0.66 ± 0.09 |
| 6                          | 239 ± 35.1  | 0.792 ± 0.12| 857 ± 26.9 | 2.67 ± 0.09 | 449 ± 27.2 | 1.32 ± 0.08 |
| 7                          | 480 ± 52.4  | 1.33 ± 0.15 | 350 ± 43.5 | 0.93 ± 0.12 | 342 ± 47.7 | 0.87 ± 0.13 |

Figure 10. Top panel: relationship between total flux of component a and near-IR continuum strength. Bottom panel: relationship between EW of component a and near-IR continuum strength. The numbers represent the time order of the observations from the earliest to the most recent.

in EW can occur between observational epochs, although the disc emission has barely changed. Therefore, it is likely that the density of the obscurer also varies.

In the presence of an unsaturated absorption line, it is possible to determine the ionic column density and ionization parameter of the gas. We have calculated the apparent metastable helium column density at each observational epoch from the component a models. It should be noted that these are lower limits to the true value if the profile is saturated (and only partially covers the emission source due to non-zero emission in the line profile). The calculation was performed by directly integrating the component a absorption profile, normalized to the disc+BLR pseudo-continuum, according to the method of Savage & Sembach (1991) as shown in the following equation:

$$N_{He} = \frac{m_e c}{\pi f_e} \int \tau(\nu) \, d\nu,$$

(1)

where $N_{He}$ is the apparent metastable He I column density, $m_e$ is the electron mass, $c$ is the vacuum speed-of-light, $f$ is the oscillator-strength of the transition, $\nu$ is velocity, and $\tau(\nu)$ is the apparent optical depth at velocity $\nu$. The optical depth can be obtained using $\tau(\nu) = -\ln(f_{\nu}(\nu))$, where $f_{\nu}(\nu)$ is normalized flux at velocity $\nu$. Calculated values are listed in Table 4.

4.1.1 Photoionization simulations

The X-ray obscurer described in Cappi et al. (2016) has two components, one is neutral and the other moderately ionized. The two most recent observations listed in Table 4 of Cappi et al. (2016) are labelled M13N and M14, observed on 2013 December 20-21 and 2014 February 4-5, respectively. We performed a test with the CLOUDY software, version C17.01 (Ferland et al. 2017), to check if the properties of the moderately ionized component of the X-ray obscurer reported in Cappi et al. (2016) were consistent with the component a model. This was achievable since Cappi et al. (2016) were able to determine an ionization parameter for the moderately ionized component from X-ray analysis, which was not possible for the neutral component. However, it should be noted that the neutral component could also contain metastable He I and thus contribute to component a.

The continuum input to CLOUDY was set to the spectral energy distribution (SED) given by the Table SED 'NGC 5548' command, which adopts the absorption-corrected continuum for NGC 5548 shown in fig. 10 of Mehdipour et al. (2015). We assumed a number density of log($n_{H}$/cm$^{-3}$)=10 for the broad absorber, which is within
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Figure 11. Photoionization model constraints on the obscurer in NGC 5548. Dotted lines give the range of total column density for the two obscurer components as determined from the 2013/14 X-ray observations. The solid line shows the run of column density with ionization parameter for metastable He I.

the range allowed for BLR (Popović 2003; Osterbrock & Ferland 2006). Using the listed hydrogen column density and ionization parameter values, the corresponding metastable He I column densities were calculated to be \(1.1 \times 10^{15}\) cm\(^{-2}\) for M13N and \(3.5 \times 10^{12}\) cm\(^{-2}\) for M14. The values listed in Table 4 are intermediate to these two values, so there is no contradiction with the Cappi et al. (2016) data for the moderately ionized component, although it should be noted that the two He I column densities derived from that data show a large change in a very short time-frame.

Kriss et al. (2019) presented photoionization model constraints on the obscurer using a set of absorption lines from eight different ions identified in their high-spectral resolution HST COS UV spectra from the 2014 campaign. Some of these lines were saturated and did not converge to a single solution. However, the weaker, unsaturated absorption lines constrained the moderately ionized component of the obscurer (i.e. the component with the lower hydrogen column density as derived from X-ray observations) to a ionization parameter of \(\log \xi = 0.8 - 0.95\) and the neutral component of the obscurer to an even higher ionization parameter of \(\log \xi = 1.5 - 1.6\).

Using the same SED and number density as before, we have converted the ionic column densities into hydrogen column densities for a range of ionization parameters using the CLOUDY software. This was done by calculating the metastable He I column density for all points in a grid spanning \(0.3 \leq \log \xi \leq 2.0\) and \(21.0 \leq \log N_H \leq 23.5\) of step size 0.1 in both dimensions. Then, for each value of \(\log \xi\), the \(\log N_H\) grid point resulting in the value closest to the average of all helium column densities listed in Table 4 (\(4.4 \times 10^{13}\) cm\(^{-2}\)) is recorded. The path of these recorded points through the grid space is shown in Fig. 11. Similar to Kriss et al. (2019), we obtain ionization parameters of \(\log \xi \sim 0.9\) and \(\sim 1.7\) for the obscurer components with measured hydrogen column densities in 2013/14 of \(\log N_H \sim 22.1\) and \(\log N_H \sim 23.0\), respectively.

4.2 The narrow absorption components

High-ionization narrow absorption lines are known to be present over decades from previous spectral observations of NGC 5548. Previous studies show they were accompanied by moderate and low ionization lines at the same outflow velocities after the appearance of the X-ray obscurer. As noted before, metastable He I is effectively a moderately ionized species, existing over ionizing conditions similar to those of Si IV. The absence of either narrow or broad metastable helium absorption lines in 2006 June, followed by their presence in 2016/2017, is therefore consistent with the appearance of other low to moderate-ionization narrow absorption lines after the first detection of the X-ray obscurer. From the EW and total flux analysis, it is clear that the narrow components show significant variability in strength across the whole time-span of the observations.

A strong anticorrelation is found between the strength of the disc near-IR continuum and the strength of component \(c\), which we associate with the UV absorber ‘UV1’. This is illustrated in Fig. 12 and suggests that a similar ionization process, as was the case for the broad component, is producing changes in the strength of this absorption feature. No other narrow absorption component shows a similar strong relationship with the changes in the disc near-IR continuum, which suggests variability in these components is not related to ionization changes, assuming that the disc near-IR continuum is a proxy for the ionizing EUV continuum and soft X-rays.

A possibility for the origin of component \(b\), which does not appear in the UV spectra of previous studies, is that it absorbs the dust continuum rather than the disc+BLR. Such lines would not show up in the UV as the dust does not emit at those wavelengths.
Such absorbing material would be physically distant from the UV absorbers since the line of sight to the dust continuum, at the periphery of the AGN emission region, is very different from that for the central disc emission region. Its origin could in fact be the dusty torus itself; e.g. colder material located further away and emitting mainly at mid- to far-IR wavelengths. This possibility cannot be ruled out by excessive flux in component $b$, as the absorption line model is weaker than the dust emission model across the entire wavelength span of the component. If this component indeed originates in the dusty torus itself, it would explain why it is the narrow absorber with the largest (negative) velocity and width.

As was seen in Fig. 6, there is excess absorption present at the blue-end of the total absorption profile in the spectra taken at both 2017 February 24 and March 22. From visual inspection, it appears that these features are a collection of narrow absorbers. The observation interposed between these two, on 2017 March 17, does not show strong evidence of these features. It is likely that they were lost in the noise as a result of the low quality of this spectrum (see continuum S/N in Table 1). The excess absorption therefore appeared at some point between 2017 February 15 and 24 and disappeared before May 9. The continuum behaviour in this period is not unusual, supporting the concept that this absorbing material does not persist over the long term along our line of sight to the continuum source. In that case, it would need to exist in the correct ionization state to be seen in metastable He I only during this period. For an emission region, a few light days across, an eclipsing cloud moving across the line of sight at a speed on the order of 10,000 km s$^{-1}$ is a possibility on this time-scale.

### 4.3 The influence of the obscurer on the WA

The 2014 intensive HST spectroscopic reverberation mapping programme on NGC 5548 yielded a surprising result; some of the broad emission lines and narrow absorption lines did not respond to changes in the EUV continuum flux for some period of the campaign (Goad et al. 2016; Pei et al. 2017; Kriss et al. 2019). The term 'line holiday' is now used to refer to this event. Recently, Dehghanian et al. (2019a, 2019b) proposed a physical cycle that can explain the 'line holiday'. Pointing out that helium is an excellent opacity source for soft X-rays and at the same time the radiation field resulting from recombing helium is an important ionization source for some lines, they were able to explain the influence the obscurer has on the physical state not only of the BLR but also on that of the WA. In their model, the more soft X-rays the obscurer absorbs, the less are available for the WA. This deficiency in soft X-rays then leads to less helium recombination within the WA gas, which, in turn, leads to weaker lines from ions that are ionized by energy from this radiation field. Therefore, only certain line species are expected to show a 'line holiday', whereas lines that are ionized directly by the EUV radiation to which the obscurer is transparent will not.

With our data, we can now test this physical cycle since, we directly observe helium from both the obscurer and the WA. We assume that the metastable He I absorption line flux from our broad component $a$ relates to the strength of the soft X-ray absorption by the obscurer in the sense that the absorption line flux is smaller the more soft X-rays are absorbed, and so the higher the ionization parameter (for an unchanged density; see Fig. 10). For the strongest of the WA's, our component $c$ (referred to as Component 1 in the study of Dehghanian et al. 2019a), we expect that the influence of the obscurer on it is manifested as an increase in narrow absorption line flux when the broad absorption line flux decreases and vice versa. In effect, the obscurer and the WA gas compete for the soft X-rays, which is expected to create an interdependence detectable in He I absorption.

Fig. 13 shows the time variability for the fluxes of components $a$ (black circles; identified with the obscurer) and $c$ (red circles; identified with the WA), with the fluxes normalized for each component to their flux at the first observational epoch. Whereas during most of the near-IR monitoring campaign the two components vary in opposite directions, as expected from the model of Dehghanian et al. (2019a), there is a short period of about two months, where the two components seem to be synchronised. This phase indicates that density changes must also take place in the obscurer on relatively short time-scales, as already suggested by Fig. 10. In particular, an increase in density would lead to an increase in absorption line EW, which could counterbalance any decrease expected from an increasing X-ray flux alone, thus resulting in a net observed increase in line flux. Therefore, if the density is not constant, the He I EW of the obscurer is not a good proxy for the incident X-ray radiation anymore. Therefore, in order to further test the Dehghanian et al. model, we would need a near-IR campaign accompanied by X-ray monitoring.

### 4.4 The accretion disc wind in NGC 5548

The complexity of the behaviour of various absorption components is not easy to accommodate in the global picture of NGC 5548 since some of the components are persistent, seen both in the IR and the UV, and responding to the change of the continuum (e.g. component $c$), while others, like components $b$ and $g$, do not display such behaviour, and in addition component $g$ implies inflow instead of outflow. All these narrow components, however, are persistent on time-scales of at least a few months and must cover a significant fraction of the emission region in order to manifest as clear absorption features. Individual clouds from the BLR are too small to cover a significant part of the BLR as required by UV observations. They occasionally cause eclipses of the very compact X-ray source, but such events last
of the order of a few days or even less (e.g. Risaliti et al. 2011; De Marco et al. 2020). Clouds in the dusty, molecular torus can be larger since the density of the material there is expected to be lower, but the picture of the static torus itself is questionable, and winds are far more likely to provide the required obscuration when the source is seen at high viewing angles (Elitzur & Shlosman 2006; Dorodnitsyn & Kallman 2012).

Dehghanian et al. (2019b) discussed an accretion disc wind model for NGC 5548 that accounts for a number of observed properties, including the appearance of the obscurer, and in connection with this the broad and narrow 'line holidays'. We consider the geometry illustrated in their fig. 1 as a framework within which to explain our new results. We build on this scenario as shown in Fig. 14, in order to provide a possible generalized schematic of the accretion disc wind. Our component a is then related to the X-ray obscurer, identified as the base of the partially (and/or occasionally) translucent wind. Here, the wind is expected to be the densest while also partially covering the observer's view of both the continuum region and the BLR.

In order to accommodate component b, which does not have a counterpart in the UV and is most likely a dust absorber, we must slightly modify the geometry of the wind. First, we postulate that the viewing angle with respect to the symmetry axis in this source is of the order of 45°, as recently determined by Horne et al. (2020) on the basis of velocity-resolved reverberation mapping of the BLR during the 2014 HST campaign. The wind, initially launched perpendicular to the disc plane, bends under the radiation pressure and then propagates asymptotically roughly at an angle of 45° with respect to the symmetry axis (see e.g. Elvis 2000; Proga, Stone & Kallman 2000; Matthews et al. 2020). If so, we observe the source under the conditions characteristic for broad-absorption line (BAL) quasars, partially looking through the flow. In NGC 5548, we do not see deep, extreme broad absorption features as in BAL quasars. This could be because the alignment is not perfect and/or the stream of the outflowing material is less dense.

The observation of Kriss et al. (2019) of variability of the saturated UV broad absorption lines, which suggested covering factor changes in the obscurer, also fits well into this picture. In our interpretation, the WA components c–f are also located in this outflow, most likely in the less dense regions further out, and we observe the UV continuum emission and part of the BLR filtered by this material. The bending of the outflow after the 'obscurer' region gives a natural explanation of why it can shield the X-ray continuum for the outer parts of the wind.

The opposite part of the outflowing wind in such a geometry has at its base the velocity vector at 90° with respect to the observer. Once the outflow partially bends towards the disc, we can see a small net velocity component in the opposite direction, imitating the inflow. Such a component (we consider this an attractive option for component g) would thus shield a considerable part of the BLR opposite with respect to the observer, but it would not shield the UV. The disappearance of the component at some times (e.g. it is not seen by Kriss et al. 2019) must be related to the wind dynamics since the component does not respond in a simple way to the nuclear flux. However, this is possible since the wind material, moving with a speed of the order of 3000 km s⁻¹ travels the distance equal to the BLR radius over roughly a one-year time-scale. The wind should be rather broad in the radial direction, bent, and with stratification of the velocity—wind streamlines launched at small radii will be faster than streamlines launched further out. In this way, all absorption components can be accommodated in a single wind scenario.

The wind is likely located close to the onset point of the BLR itself since the asymptotic wind velocity should reflect the virial velocity at the launching radius. Therefore, its formation could be related to the formation of the BLR itself. An attractive scenario for the low-ionization part of the BLR is a dust-based model, either in a dynamical form of a Failed Radiatively Accelerated Dusty Outflow (FRADO; Czerny & Hryniewicz 2011; Czerny et al. 2017; Nadaf, Czerny & Szczerba 2020) or in the form of a static puffed-up disc surface (Baskin & Laor 2018). The analytic considerations in Czerny et al. (2015) showed that a dusty outflow is relatively the strongest at the inner radius of the BLR. But rather than dust launching the full model, we should consider also the radiation pressure from the lines which then leads to a sustained outflow, and not a failed wind. Possibly, all these mechanisms are present in the general accretion disc model, dominating at different launch radii (e.g. Elvis 2012).
5 CONCLUSIONS

In 2016/2017, Landt et al. (2019) conducted a near-IR spectroscopic monitoring campaign on NGC 5548 and discovered He I λ1083 absorption. We have deconstructed this absorption into its components and have studied its time variability. Our main findings can be summarized as follows:

(i) We detect both narrow and broad absorption. We have deconstructed the total He I absorption into seven components, with one of these identified with the obscurer that appeared in 2013. The appearance of He I narrow absorbers is most likely related to the appearance of the obscurer since this component is expected to reduce the ionization parameter of the WA gas.

(ii) We detect significant time variability in both flux and EW for the obscurer as well as the strongest narrow absorbers (in particular for the WA component previously referred to as Component 1). We attribute the variability of the obscurer mainly to changes in the ionization parameter, however, density changes in its material may also be important (though to a lesser degree). The observed variability time-scales and behaviour support the recent interpretation of the obscurer as an accretion disc wind.

(iii) Since the He I absorption is unsaturated, we can use it to constrain the ionization parameter of the obscurer. Similar to Kriss et al. (2019), we obtain ionization parameters of log ξ ∼ 0.9 and ∼ 1.7 for the obscurer components with hydrogen column densities derived from X-ray observations in 2013/2014 of log N_H ∼ 22.1 and ∼ 23.0, respectively.

(iv) Since, we directly observe the helium features from both the obscurer and the WA, we can test the physical cycle of Dehghanian et al. (2019a) proposed to explain the ‘line holiday’ in NGC 5548. As expected from their model, we find that during most of the near-IR monitoring campaign the two components vary in opposite senses. However, for a short duration the two components are synchronized, which suggests that changes in the density of the obscurer influence its He I flux in addition to the availability of soft-X-rays.

In the future, we plan to obtain high-spectral resolution near-IR spectroscopy of the He I absorbers in NGC 5548. Suitable instruments for such studies have recently become available, for example, iShell on the IRTF and GIANO-B on the Telescopio Nazionale Galileo (TNG). In the longer term, we will pursue near-IR spectroscopy of AGN outflows quasi-simultaneous with spectroscopy at X-ray and UV frequencies. We believe that such an approach will yield new insights on important aspects of accretion disc winds.

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DATA AVAILABILITY

The processed data underlying this work are available on request from the second author. The raw data are publicly available at the NASA IRTF Archive hosted by the NASA/IPAC Infrared Science Archive (https://irsa.ipac.caltech.edu).

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