Near-infrared observations of the Fornax dwarf galaxy. I. The red giant branch

M. Gullieuszik\textsuperscript{1,2}, E. V. Held\textsuperscript{1}, L. Rizzi\textsuperscript{3}, I. Saviane\textsuperscript{4}, Y. Momany\textsuperscript{1}, and S. Ortolani\textsuperscript{2}

\textsuperscript{1} Osservatorio Astronomico di Padova, INAF, vicolo dell’Osservatorio 5, I-35122 Padova, Italy
\textsuperscript{2} Dipartimento di Astronomia, Università di Padova, vicolo dell’Osservatorio 2, I-35122 Padova, Italy
\textsuperscript{3} Institute for Astronomy, University of Hawaii, 2680 Woodlawn Drive, Honolulu, HI 96822, USA
\textsuperscript{4} European Southern Observatory, Casilla 19001, Santiago 19, Chile

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ABSTRACT

Aims. We present a study of the evolved stellar populations in the dwarf spheroidal galaxy Fornax based on wide-area near-infrared observations, aimed at obtaining new independent estimates of its distance and metallicity distribution. Assessing the reliability of near-infrared methods is most important in view of future space- and ground-based deep near-infrared imaging of resolved stellar systems.

Methods. We have obtained \textit{JHK} imaging photometry of the stellar populations in Fornax. The observations cover an 18.5 × 18.5 arcmin\textsuperscript{2} central area with a mosaic of SOFI images at the ESO NTT. Our data sample all the red giant branch (RGB) for the whole area. Deeper observations reaching the red clump of helium-burning stars have also been obtained for a 4.5 × 4.5 arcmin\textsuperscript{2} region.

Results. Near-infrared photometry led to measurements of the distance to Fornax based on the \textit{K}-band location of the RGB tip and the red clump. Once corrected for the mean age of the stellar populations in the galaxy, the derived distance modulus is $(m-M)_{0} = 20.74 \pm 0.11$, corresponding to a distance of 141 Kpc, in good agreement with estimates from optical data. We have obtained a photometric estimate of the mean metallicity of red giant stars in Fornax from their $(J-K)$ and $(V-K)$ colors, using several methods. The effect of the age-metallicity degeneracy on the combined optical-infrared colors is shown to be less important than for optical or infrared colors alone. By taking age effects into account, we have derived a distribution function of global metallicity [M/H] from optical-infrared colors of individual stars. Our photometric Metallicity Distribution Function covers the range $-2.0 < [\text{M/H}] < -0.6$, with a main peak at $[\text{M/H}] \approx -0.9$ and a long tail of metal-poor stars, and less metal-rich stars than derived by recent spectroscopy. If metallicities from Ca\textsuperscript{ii} triplet lines are correct, this result confirms a scenario of enhanced metal enrichment in the last 1-4 Gyr.

Key words. Galaxies: dwarf – Galaxies: individual: Fornax – Local Group – Galaxies: stellar content

1. Introduction

Stellar populations in dwarf spheroidal galaxies (dSph) are important for our understanding of galaxy formation and evolution. Dwarf spheroidal in the Local Group can be studied in detail, giving strong constraints on the star formation history (SFH) and chemical evolution of these system. Galaxies of the dSph type all started forming stars at an old epoch (> 10 Gyr), but in most cases this early stellar generation was later followed by major star-formation episodes giving rise to significant or even dominant intermediate age populations. While old and intermediate age stellar populations of dSph galaxies have been the subject of many studies in optical bands (see, e.g., [Grebel\textsuperscript{2008}], [Held\textsuperscript{2003}], and references therein), they have been little studied in the near-infrared (NIR).

However, NIR bands have several advantages when studying evolved stars in these stellar systems. Infrared photometry of evolved low-mass and intermediate-mass stars on the red giant branch (RGB) and the helium-burning phases (e.g., red clump, RC) can be used to derive the basic properties of galaxies (distance, metallicity). Techniques to measure such properties from near-infrared observations are becoming increasingly important since the NIR wavelength domain will be central to future instrumentation (ELT adaptive optics, JWST, etc...). There...
are advantages in using near-infrared photometry for RGB stars, and even more so in combining optical and NIR data. As we will show in this paper, the age-metallicity degeneracy affecting the color of RGB stars (and therefore metallicity determinations) is much less severe using optical-infrared colors.

In order to explore the information contained in the near-infrared spectral window, we have undertaken an imaging study of the evolved stellar populations in Local Group dwarf galaxies. The first galaxy we consider is Fornax, one of the most interesting cases to study, being one of the most massive and luminous dSph satellites of the Milky Way (Mateo 1998). This galaxy was one of the first to provide evidence of an intermediate age stellar population, probed by the presence of luminous carbon star on the asymptotic giant branch (AGB) (Aaronson & Monll 1980, 1985; Azzopardi et al. 1999). Another indicator of a conspicuous intermediate-age stellar population is the well populated red clump of helium-burning stars (Stetson et al. 1998, Saviane et al. 2000a).

A wide plume of main-sequence turnoff stars indicates that the star formation history of Fornax has been continuous from galaxy’s formation up to recent times (Stetson et al. 1998, Buonanno et al. 1999, Saviane et al. 2000a, Pont et al. 2004). The luminosity of the brightest blue stars shows evidence that Fornax has been forming stars at least up to 200 Myr ago, while it does not contain any stars younger than 100 Myr (Stetson et al. 1998, Saviane et al. 2000a). An old population is also present, as shown by the presence of a horizontal branch (HB) and RR-Lyrae (Bersier & Wood 2002, Greco et al. 2006), although the blue part of the HB is poorly populated, so that the old and metal-poor population must be small (Stetson et al. 1998, Buonanno et al. 1999, Saviane et al. 2000a). The picture emerging from the above mentioned studies indicates that Fornax began forming stars at the epoch of formation of the Galactic globular clusters (GGCs) ~13 Gyr ago. The star formation rate was quite low in the first Gyr, and then increased rapidly; Fornax formed most of its stars 4-10 Gyrs ago. Given the presence of recent star formation, one would expect to find some gas associated with the galaxy. Young (1999) searched for neutral hydrogen out to the tidal radius, and found none. More recent observations by Bouchard et al. (2006) revealed an extended H I cloud in the direction of Fornax that may (or may not) be within the galaxy.

Two studies of the chemical enrichment history of Fornax from the spectra of red giant stars, using the Ca II infrared lines equivalent widths, have recently been presented (Pont et al. 2004, Battaglia et al. 2006). Pont et al. (2004) found a metallicity distribution of Fornax centered at [Fe/H] = −0.9 (on the scale of Carretta & Gratton 1997, hereafter CG97), with a metal poor tail extending to [Fe/H] ≃ −2.0 and a metal-rich population reaching [Fe/H] ≃ −0.4. The derived age-metallicity relation is well described by a chemical evolution model with a low effective yield, in which an initial rapid enrichment is followed by a period of slower enrich-

| Table 1. Observation log. |
|---------------------------|
| field | night | filter | N | DIT×NDIT |
|------|-------|--------|---|----------|
| DEEP | 10 Nov. 2000 | J | 8 | 10×12 |
|      | 10 Nov. 2000 | H | 8 | 5×24 |
|      | 10 Nov. 2000 | K | 16 | 5×12 |
| SHALLOW | 11 Nov. 2000 | J | 1 | 5×12 |
|        | 11 Nov. 2000 | H | 1 | 2×30 |
|        | 11 Nov. 2000 | K | 1 | 2×30 |

ment, reaching [Fe/H] ≃ −1 about 3 Gyr ago. Then a high star formation rate produced an acceleration, increasing the [Fe/H] to a recent value of ~ −0.4 dex. These results agree with those previously obtained for a few stars by (Telstov et al. 2003) using high resolution spectroscopy.

In a large spectroscopic study of RGB stars in Fornax, Battaglia et al. (2006) noted the lack of stars more metal poor than [Fe/H] = −2.7 and confirmed the presence of a metal-rich tail up to [Fe/H] ~ −0.1. They also found that the metal-rich stellar populations are more centrally concentrated, having also a lower velocity dispersion than metal-poor stars.

This paper presents the results of a near-infrared study of RGB and RC stars in Fornax, aimed at obtaining new independent estimates of its distance as well as information on its metallicity distribution function from a combination of optical and NIR photometry. This study may represent a local example of NIR studies of more distant resolved stellar systems with future ground-based and space instrumentation. Section 2 presents the observations and the reduction, with special care for the mosaicing techniques, and provides photometric catalogs.

Color-magnitude diagrams are presented in Sect. 3. In Sect. 4, the luminosity functions of red giant stars and helium-burning red clump stars are obtained and used to provide new estimates of the distance to Fornax. The mean metallicity and metallicity distribution of red giant stars is derived in Sect. 5 from their (V − K) color distribution and compared with recent spectroscopic work. Finally, our results are summarized and briefly discussed in Sect. 6.

2. Observations and data reduction

2.1. Observations

Near-infrared observations of Fornax were carried out in November 10–11, 2000, using the SOFI camera at the ESO NTT telescope. The camera employed a 1024×1024 pixel Hawaii HgCdTe detector which was read in Double Correlated mode. We used SOFI in Large Field mode, yielding a pixel scale of 0.′29 pixel−1 and a total field-of-view of about 5′×5′.

Two complementary sets of images were taken in the JHKs filters. The first was a wide-area series of 16 SHALLOW contiguous fields, in a square array of 4 × 4 covering about 18.5 × 18.5 arcmin2. Secondly, we obtained a dithered sequence of images of a central field of the galaxy providing DEEP photometry for a 4.5 × 4.5 arcmin2 area.
Fig. 1. Map of the mosaic of 16 shallow fields observed in Fornax dSph, plotted on a DSS2-red image. The location of the deep field is shown as a grey box.

We used an 8-points dithering pattern with shifts of up to 10′′ from the central position. The observing parameters are given in Table 1, which lists the number of images $N$ of each sequence along with the Detector Integration Time (DIT) and the number of co-added integrations per image (NDIT). The on-target exposure times are 960s in $J$, $H$, $K$ for the deep image, and 60s for the shallow mosaic. The observation strategy is further illustrated in Fig. 1.

Given the non-negligible crowding of our Fornax field, we adopted an observing strategy based on offset sky images alternated to on-target images. For the deep image, the “chopping” time interval varied between 120s for $J$, $H$ and 60 s for the $K$ band, while it was 60 s for all bands for the shallow observations. The offset pattern was a dithered cross pattern for the deep image and a simple offset in declination for the shallow scans. Although expensive in terms of observing time, this strategy proved to give extremely good sky subtraction on scales comparable with the Point Spread Function (PSF) size, improving the quality of the photometry.

Standard stars (including very red stars) from Persson et al. (1998) were observed on a regular basis during the nights at airmasses comparable with those of target objects, to provide photometric calibration. For each standard star, five images were taken, with the star located at the center of the detector and in the middle of the four quadrants of the frame.

Fig. 2. Residuals in the ($\alpha$, $\delta$) coordinates between the astrometric reference catalog (obtained from ESO WFI observations) and the SOFI catalog, after astrometric calibration. The data refer to the whole wide-area mosaic, composed of 16 fields. The two histograms shows the $\Delta \alpha$, $\Delta \delta$ marginal distributions. The mean shifts are negligible, 0′′.002 on both axes, while the standard deviation is 0′′.10 and 0′′.12 on the right ascension and declination axis, respectively.

2.2. Reduction and astrometry

The reduction steps were implemented in IRAF as described in Momany et al. (2003). For the shallow imaging, the basic observation and reduction unit was one “strip” consisting of 4 science and 4 sky frames. The sky images were scaled to a common median, after rejecting the highest and lowest pixels, and averaged to produce a master sky frame to be subtracted from all science images of the strip. The reduction steps were similar for the deep imaging, using the four offset sky frames closest in time to each science image. The sky-subtracted images were then corrected for bad pixels and flat-fielded. Illumination correction frames (as well as bad pixels maps) available from the ESO Web pages were found suitable to this purpose. For the deep imaging, all individual images, after sky subtraction, correction of bad pixels, and flat-fielding, were registered and averaged using the task IMCOMBINE with rejection of the brightest and faintest pixel for cleaning of cosmic ray hits.

1 The Image Reduction and Analysis Facility (IRAF) software is provided by the National Optical Astronomy Observatories (NOAO), which is operated by the Association of Universities for Research in Astronomy (AURA), Inc., under contract to the National Science Foundation.
For the shallow scans, mosaicing is a complex task because of the modest overlap of the individual images. We resorted to absolute astrometry to effectively and accurately register the scans, match the photometry catalogs, and produce a single mosaic image. To this purpose, we used the IRAF package MSRED (Valdes 1998) along with the WFRED script package designed at the Padua Observatory by two of us (LR, EVH) for reduction of CCD mosaics. The first step in the mosaicing was the characterization of the astrometric properties of SOFI. This was done using a catalog of secondary astrometric standards in the central region of Fornax established from ESO-WFI observations (Rizzi et al. 2007). This secondary catalog, in turn tied to the USNO-A2.0 reference system (Monet et al. 1998), is required to provide a sufficiently high surface density of stars needed to map distortions in the small field of SOFI.

The field distortion of SOFI was actually found to be negligible. Once a distortion map was constructed, all the individual exposures were registered and resampled to a distortion-corrected coordinate grid with a common reference World Coordinate System (WCS). As a result, a large-area mosaic image was reconstructed in each of the JHK filters.

As a check of our astrometric calibration, we measured the right ascension and declination of the secondary standard stars on the WCS-calibrated JHK frames. Figure 2 shows the difference between stellar coordinates on the SOFI wide-field catalog, and the $\alpha, \delta$ of the same stars in the reference optical catalog. This consistency test shows the internal precision of the astrometric calibration, which is the really important figure for image and catalog registration. The absolute (systematic) accuracy of the coordinates is that of the reference catalog, estimated to be of the order 0.2 arcsec. The standard deviation of the residuals is not larger than 0.012 on both coordinates.

### 2.3. Stellar photometry and calibration

Stellar photometry was obtained for the deep and shallow images using DAOPHOT-II/ALLSTAR (Stetson 1987). For the deep photometry, the PSFs were generated from a list of isolated stars on the coadded images. A PENNY function with a quadratic dependence on position in the frame was adopted, to account for the elongation of the stellar profiles especially affecting the left side of the chip (this was traced to a misalignment of the Large Field objective). The photometry was finally performed on the stacked images using ALLSTAR. For the shallow images, simple aperture photometry with an aperture with radius equal to the FWHM of the stellar profile was found to yield the best photometric precision. For both catalogs, the pixel coordinates provided by DAOPHOT were converted to the equatorial system using the calculated WCS astrometric calibration.

The photometric calibration techniques are similar to those adopted by Momany et al. (2003), and will be only briefly outlined here. Photometry of the standard stars through increasing apertures was obtained with the IRAF APHOT task. For the “total” magnitude, we adopted a reference aperture of 18 pixel radius, close to the 10″ aperture used by Persson et al. (1998). The 5 measurements of each standard star were averaged after checking their uniformity. The instrumental magnitudes were finally normalized to 2MASS photometry.
normalized to 1 s exposure time and zero airmass; for a magnitude \( m_{\text{ap}} \), calculated for an observation with exposure time \( t_{\text{exp}} \) and airmass \( X \), we defined the normalized magnitude \( m \) as
\[
m = m_{\text{ap}} + 2.5 \log(t_{\text{exp}}) - \kappa_\lambda X
\]
where \( \kappa_\lambda \) are the mean atmospheric extinction coefficients: \( \kappa_J = 0.08 \), \( \kappa_H = 0.03 \), and \( \kappa_K = 0.05 \) were adopted from the ESO web page of SOFI.

Given the small number of red stars observed each night, we used for calibration all data available for five observing nights (including data previously obtained in Feb. 2000 with the same SOFI setup). All the measurements were scaled to a common zero point and a first least square fit was done to compute the color terms of the calibration relations. Then, assuming fixed color terms, we measured the zero point variations through the run (in particular between the two nights of Nov. 10, 11) and found them to be very small, comparable with the measurements errors; a single zero point was therefore adopted for the run, with uncertainties 0.02 mag in \( J \), \( H \), and \( K \). The resulting calibration relations are:
\[
\begin{align*}
J - j &= -0.016 (J - H) + 23.118 \\
H - h &= +0.001 (J - H) + 22.902 \\
H - h &= -0.002 (H - K) + 22.902 \\
K - k_s &= +0.021 (J - K) + 22.337
\end{align*}
\]
which are consistent with the calibration presented in the ESO web page. Note that our magnitudes on the \( K \) system are expected to show virtually no offset relative to the 2MASS system (Carpenter 2001). The color terms measured with respect to the \( JHK \) standard stars are negligible.

The photometric catalogs of Fornax stars were calibrated using the Eqs. 2 after magnitude-scale and aperture correction. This was based on APPHOT large-aperture photometry and growth-curve analysis of a few relatively bright, isolated stars in the DEEP and SHALLOW fields. The uncertainties on aperture correction are of the order 0.03 mag, yielding total calibration uncertainties 0.04 mag.

In order to test the photometric calibration and rule out the presence of photometric bias in the SHALLOW photometry, we compare the zero points of the DEEP and SHALLOW photometry in Fig. 3. For the stars in common the photometry shows an excellent internal consistency down to \( K \approx 18 \), with zero point differences of the order 0.01 mag. Figure 4 shows a comparison of our photometry (selecting objects with \( V < 23 \)) from ESO WFI observations (Rizzi et al. 2007), thus producing a final list with \( BVIJHK \) magnitudes. The SHALLOW and DEEP catalogs of near-infrared photometry of Fornax stars are presented in Tables 2 and 3 (the entire catalogs are made available electronically at the CDS).

2.4. Artificial star experiments

The completeness of our photometric catalogues was evaluated from artificial star experiments. We performed 20 test runs by adding 400 stars to the scientific frames in each run. Since the crowding is uniformly low over the 16 SHALLOW pointings, with only about 1000 stars per pointing on average, the experiments were limited to one of the SHALLOW pointings. The input magnitudes and colors of the artificial stars were chosen along a sequence corresponding to the Fornax RGB. The stars were placed on a grid of equilateral triangles with a side of 40 pixels (\( \sim 11''5 \)), much larger than the stellar PSF. In each experiment the grid was then randomly shifted in order to uniformly cover all the frame. The results from artificial star experiments on the SHALLOW and DEEP photometry are shown in Fig. 5 and Fig. 6 respectively. We note that the DEEP data are comparatively rather noisy in the \( H \) band with respect to the \( J \) and \( K \) bands.

Another well-known observational effect is the fact that the mean difference between input and output mag-
Table 2. The shallow near-infrared catalog of Fornax stars over a 18.5' × 18.5' area. A few lines are shown here for guidance regarding its form and content, while the full catalog is available from the CDS.

| ID | \(\alpha\) | \(\delta\) | \(J\) | \(H\) | \(K\) |
|----|-------------|-----------|------|------|------|
| 235 | 2 40 08.59 | −34 36 56.65 | 13.307 | 12.847 | 12.834 |
| 6117 | 2 39 54.21 | −34 36 56.48 | 13.378 | 12.946 | 12.931 |
| 1114 | 2 40 02.16 | −34 36 56.36 | 13.720 | 13.331 | 13.332 |
| 7263 | 2 40 22.48 | −34 36 56.34 | 13.403 | 12.980 | 12.937 |
| 5636 | 2 40 01.84 | −34 36 56.34 | 13.241 | 12.858 | 12.875 |

Table 3. The first rows of the deep near-infrared catalog of stars in a 4.5' × 4.5' central region of Fornax. The entire catalog is available only electronically at the CDS.

| ID | \(\alpha\) | \(\delta\) | \(J\) | \(H\) | \(K\) |
|----|-------------|-----------|------|------|------|
| 257 | 2 40 30.48 | −34 31 26.27 | 13.703 | 13.199 | 13.135 |
| 818 | 2 40 30.45 | −34 31 50.01 | 14.575 | 14.176 | 14.130 |
| 1544 | 2 40 30.40 | −34 28 58.51 | 15.265 | 14.426 | 14.238 |
| 782 | 2 40 30.38 | −34 31 43.16 | 16.251 | 15.706 | 15.634 |
| 1728 | 2 40 30.35 | −34 28 32.03 | 15.936 | 15.178 | 15.066 |

Fig. 6. The results of artificial star experiments for the deep photometry.

...nitudes is generally biased towards brighter output magnitudes (e.g., Gallart et al. 1996). In our experiments for the shallow data, the mean difference of input and output magnitudes resulted to be less than 0.05 mag for \(K < 18.2\). This is also an upper limit for the \((J - K)\) color shift down to this limiting magnitude. However, the color bias is negligible (< 0.01 mag) in the first 2 mag below the RGB tip used for the metallicity measurements.

3. Color-magnitude diagrams

The near-infrared and optical-infrared color-magnitude diagrams (CMDs) of Fornax dSph in the shallow sample are presented in Fig. 6. Given the better image quality and spatial resolution of our optical photometry, we used the allstar shape parameter \(\text{sharp}\) for the \(V\) measurements to remove bad and non-stellar objects from our photometric catalogues. Only objects with \(-0.3 < \text{sharp} < 0.3\) were selected.

The most noteworthy feature in the CMD in Fig. 6 is the well defined sequence of intermediate-age AGB stars. A fraction of AGB stars have red colors typical of carbon (C) stars, and a few stars show extremely red colors possibly indicating dust-enshrouded AGB stars. The properties of near-infrared selected AGB stars in Fornax will be discussed in more detail in a separate paper. We only remark here the dramatic change in their distribution when optical and near-infrared bands are used. Note, for example, that the redder AGB stars become progressively fainter in the bluer optical bands, so that even the brightest (in terms of bolometric luminosity) are missed in optical CMDs. For the reddest AGB stars the \((B - V)\) colors saturate. Thus, selection in the near-infrared appears to be extremely important to investigate the evolution of luminous AGB stars. Also, an advantage of optical–near-infrared colors is the improved discrimination against field contamination by foreground stars and background galaxies, as is evident, for example, when comparing the \(V\), \((B - V)\) and \(K\), \((J - K)\) diagrams in Fig. 6.

The shallow, large-area catalog provides the statistics for studying the AGB stars, the RGB tip, and the stellar populations of Fornax. The fainter limiting magnitude and better precision of our deep photometry of a smaller central region allows us to characterize the RGB down to the so-called “AGB bump” and the red clump. Our deep color-magnitude diagram is presented in Fig. 7 together with the RGB fiducial lines of Milky Way globular clusters (Valenti et al. 2004a). This diagram is similar to that obtained by Pietrzyński et al. (2003) with ISAAC at the VLT. Note that the RGB of Fornax is relatively thin in this small central field. It lies between the fiducial RGB...
Fig. 7. The color-magnitude diagrams of Fornax from the SHALLOW catalog, obtained by combining optical and near-infrared photometry. Stars on the extended AGB, chosen redder than \((J - K) = 1\) and brighter than \(K = 14.5\), are shown as filled circles. Superimposed on the \(K, (J - K)\) diagram are theoretical isochrones from Girardi et al. (2002: G02, dashed lines) and Pietrinferni et al. (2004: P04, solid lines). The isochrone age is 7 Gyr and the metal abundances are the closest to the metallicity of Fornax for each model set: \(Z = 0.001\) and 0.004 for G02, \(Z = 0.001\) and 0.002 for P04. Typical photometric errors as derived from artificial star experiments are also shown as crosses in the upper-left panel.

Using the deep data shown in Fig. 8 we constructed a luminosity function (LF) extended down to the red clump of Fornax (Fig. 9). The LF was corrected by taking into account the incompleteness factor derived in Sect. 2.4. Our sample of RGB and He-burning stars was selected as shown in the inset. Using this LF, new estimates of the distance to Fornax have been obtained based on the mean \(K\)-band luminosity of the red clump and the \(K\) magnitude of the RGB tip.

4. Luminosity function and distance

4.1. The red clump

Several authors have pointed out that distance measurements based on the magnitude of the RC are most reliable in the near-infrared, because of the smaller de-
Fig. 8. The near-infrared CMD of Fornax derived from our deep photometry, showing the RGB and the prominent “red clump” of intermediate-age He-burning stars. Superposed on the RGB are the fiducial lines of Galactic globular clusters from Valenti et al. (2004a), with metallicities on the scale of Carretta & Gratton (1997). Typical photometric errors as derived from artificial star experiments are shown as crosses.

In order to reduce the dependence from the bin choice, the LF was constructed as a multibin histogram by averaging 10 LFs with a fixed 0.1 mag bin and starting points shifted in steps of 0.01 mag. A mean level $K_{\text{RC}} = 19.20 \pm 0.02$ was measured following the standard procedure, i.e. by fitting the sum of a Gaussian and a polynomial to the magnitude distribution of stars in the color range $0.30 < J - K < 0.85$ (see, e.g., Pietrzyński et al. 2003). The mean $K_{\text{RC}}$ value and its standard deviation are the results of 5000 experiments with bootstrap resampling of the luminosity function. Based on our artificial star experiments, any magnitude shift due to a photometric bias is negligible ($\sim 0.01$ mag) for the deep data at the RC level. Other sources of error are considered in the following, in addition to the 0.02 mag fitting error, to evaluate the total uncertainty in the distance to Fornax dSph.

In order to compute the distance, our magnitudes (tied to the LCO system of Persson et al. 1998) need to be transformed onto the photometric system used in the RC luminosity calibration of Alves (2000). Since our K-band photometry agrees very well with the 2MASS system (Sect. 2.3; see also Carpenter 2001), we simply adopted the transformation from 2MASS to the Bessell & Brett (1988) system, $K_{\text{BB}} = K_{\text{2MASS}} + 0.044$. The latter is very close to the Koornneef (1983) system used by Alves (2000) (see Carpenter 2001; Grocholski & Sarajedini 2002).

We therefore applied the relation

\[(m - M)_0 = (K_{\text{RC}} + 0.044) - M_K - A_K + \Delta M_K \]

where the K-band luminosity of the red clump in the solar neighborhood is $M_K = -1.61$ according to the Alves (2000) calibration (a value confirmed by Grocholski & Sarajedini 2002 from a sample of 14 open clusters), and $\Delta M_K$ is a population correction term. This correction accounts for the different stellar content of Fornax and the local Galactic RC on which the Alves (2000) calibration is based. The population correction was calculated using the precepts of Salaris & Girardi (2002), the age-metallicity relation of Pont et al. (2004), and the star-formation history by Tolstoy et al. (2003), and found to be $\Delta M_K = -0.10$ (the RC in Fornax being fainter). Adopting the extinction law of Rieke & Lebofsky (1985) and $E(B-V) = 0.03$, the resulting distance is $(m - M)_0 = 20.84 + \Delta M_K = 20.74 \pm 0.11$, where the uncertainty includes the statistical error on the RC location, the photometric zero-point error, and a photometric error of 0.1
mag at the level of the RC (see Fig. 6). Pietrzyński et al. (2003), considering the population correction negligible, found a distance modulus \((m-M)_0 = 20.86\), which differs from our value only for the correction term, the \(K_{\text{RC}}\) determination being in perfect agreement.

4.2. The AGB bump

In the LF presented in Fig. 4 another bump is clearly seen. Its \(K\) band magnitude was measured by fitting also in this case the sum of a polynomial and a Gaussian function and found to be \(K = 17.99 \pm 0.03\) with a bootstrap technique similar to that used for the red clump. This feature is identified with the AGB bump, which is the signature in the CMD of the beginning of the AGB phase. At the beginning of this evolutionary phase the increase of luminosity of a star is slower than in subsequent AGB phase, and thus a bump in the LF is produced (Castellani et al. 1991; Gallart 1998; Alves & Sarajedini 1999).

We also explored the possible identification of this feature with the RGB bump, using the Valenti et al. (2004) calibration of the RGB bump. Assuming a metallicity \([\text{M/H}] = -1.6\) for the old population of Fornax (Bersier & Wood 2002; Greco et al. 2006), we derived that the expected RGB bump magnitude is \(K = 18.52\). As discussed by Saviane et al. (2000a), the RGB bump is fainter than the bump in Fig. 4 and not visible because it is too close to the overwhelming red clump. We note that the metallicity assumed here, \([\text{M/H}] = -1.6\), is appropriate for the old population of Fornax and a lower limit to the actual mean metallicity of intermediate age stellar populations (see following sections). The RGB bump magnitude derived by Valenti et al. (2004) becomes fainter at increasing metallicity, and therefore our estimate of the RGB bump magnitude is to be considered a lower limit. We therefore identify the feature observed at \(K = 17.99\) with the AGB bump.

4.3. The RGB tip

Figure 10 shows a close-up view of the brighter part of the RGB luminosity function in Fornax, obtained by selecting red giant stars from the wide field SHALLOW catalog. This catalog was chosen because of the better statistics, and, indeed, the RGB cutoff appears very well defined. We obtained an objective estimate of the magnitude of the RGB tip by fitting the LF with the convolution of a step function with a Gaussian kernel representative of the measurement errors, as in Momany et al. (2002). The function is composed of a constant value brighter than the RGB cutoff, and a power law (on a log\(N\) scale) below the RGB cutoff. Since the tip is located at \(K \approx 14.6\) where our photometry is complete (see Fig. 5), no completeness correction was applied to the LF. As before, a multibin LF was used, obtained by averaging 10 LFs with a fixed 0.1 mag bin and intervals shifted by 0.01 mag. Using this procedure the RGB tip was detected at \(K = 14.59 \pm 0.03\).

The uncertainty includes the fitting error and the error associated with the binning of the LF. The internal measurement error at the level of the RGB tip gives a minor contribution (\(~0.01\) mag).

An independent measurement of the RGB tip was also obtained using the Maximum Likelihood Algorithm described by Makarov et al. (2003). The TRGB was found at \(K = 14.63 \pm 0.03\). Since the two independent measurements agree within the errors, we adopt the mean value \(K = 14.61 \pm 0.02\) (random) \(\pm 0.03\) (systematic) as our final measure of the tip, where the systematic uncertainty reflects the photometric zero point error (Sect. 2).

The age and metallicity dependence of the RGB tip is larger in \(K\) than in the \(I\)-band, so that the application of the RGB tip method is more uncertain in this case (e.g., Salaris & Girardi 2005). Intermediate-age stars show a fainter RGB tip than old stars, with a difference that can be as large as \(~0.1\) mag in \(K\) in the age interval 4–13 Gyr. On the other hand, if younger populations become more metal-rich as a result of galactic chemical evolution, their RGB tip becomes brighter, because the TRGB \(K\) luminosity rises with increasing metallicity.

Since the bulk of stellar populations in Fornax is of intermediate age, a population correction is required to compute the distance. To this aim, we modeled the combined effects of age and metallicity on the RGB tip by constructing a synthetic CMD containing 100 000 stars in the upper RGB. Our simulations are based...
on the ZVAR code (Bertelli et al. 1992), the Padova isochrones (Girardi et al. 2002), and adopt the chemical evolution history by Pont et al. (2004) and the SFH from Tolstoy et al. (2003). We obtained the population correction for the luminosity of the RGB tip by measuring the cutoff in the simulated CMD for (i) the full stellar population mix (representative of the Fornax RGB), and (ii) only stars older that 10 Gyr. As a consequence of the adopted metal enrichment history, the TRGB in the overall synthetic CMD turns out to be brighter than that for old stars alone. The difference in the TRGB magnitude is \( \Delta M_K = M_{K}^{old} - M_{K}^{all} \approx 0.22 \) mag.

By taking this population correction into account, the distance modulus of Fornax was calculated using Tolstoy et al. (2003). We obtained the population correction of the TRGB as a function of metallicity, based on Galactic globular clusters:

\[
M_{K}^{TRGB} = -6.92 - 0.62 \, [M/H] \tag{4}
\]

where \([M/H]\) is an estimate of the average metal abundance. The r.m.s. uncertainty of this calibration is 0.16 mag. We then apply Eq. 4 to the old stellar population in Fornax:

\[
(m - M)_0 = (K_{TRGB} + \Delta M_K) - A_K - M_{K}^{TRGB} \tag{5}
\]

where \(K_{TRGB}\) is the measured TRGB level and \(A_K\) is the extinction. Assuming a low metallicity \([M/H] = -1.60 \pm 0.15\) appropriate for the old population of Fornax (Bersier & Wood 2002, Greco et al. 2006), the corrected distance modulus is \((m - M)_0 = 20.75 \pm 0.19\), where the error is dominated by the uncertainty on the Tolstoy et al. (2004) calibration.

It is interesting that, once the stellar content is properly taken into account, the \(K\)-band RGB tip provides an estimate of the distance to Fornax in good agreement with our determination from the RC and other estimates in the literature. However, the uncertainty remains high, given the strong dependence of the TRGB on age and metallicity and the error on the calibration relation.

In summary, the distance modulus derived here from near-infrared data and methods appears to confirm those measured by Saviane et al. (2000a) from the \(I\) magnitude of the RGB tip, \((m - M)_0 = 20.70\), and from the mean magnitude of old HB stars, \((m - M)_0 = 20.76\). New distance measurements based on wide-field optical observations are presented by Rizzi et al. (2007), where the different results in the literature are compared and discussed in some detail.

### 5. Metallicity

#### 5.1. Mean metallicity

The mean metallicity of the stellar populations that make up the Fornax RGB were estimated by comparing the near-infrared and optical-infrared colors of red giants to the RGB fiducial lines of Galactic globular clusters of known metal abundance. Valenti et al. (2004a) calibrated the RGB colors at fixed \(K\)-band luminosities in the near-infrared CMDs of Milky Way globular clusters as a function of metallicity. They give color-metallicity relations for \((V - K)\) and \((J - K)\) at \(M_K = -5.5, -5.0, -4.0, -3.0\) against the \([Fe/H]\) of GGCs on the scale of Carretta & Gratton (1997).

They also provide calibrations against \([M/H]\), a mean metallicity measuring the abundance of all heavy elements. This parameter is particularly important to estimate the metallicities of dwarf spheroidal galaxies by comparison with the photometric properties of Milky Way globular clusters. These objects are known to show non-solar abundance patterns, with an overabundance of \(\alpha\)-elements relative to iron that is a function of the cluster metallicity (Pritzl et al. 2003, and references therein). In contrast, dwarf spheroidal galaxies tend to have \([\alpha/Fe]\) ratios closer to solar (e.g., Shetrone et al. 2003, Salaris et al. 1993) have shown that the color of red giant stars is driven by the overall metal abundance rather than the Fe abundance. Thus, the iron \([Fe/H]\) scale of Galactic globular clusters is not immediately applicable to dwarf galaxies. Instead, the \([M/H]\) provides a suitable parameter for comparing stellar systems with different abundance patterns and rank them againsts Milky Way globular clusters. For the sake of comparison with previous works, we provide here both the \([Fe/H]\) and \([M/H]\) rankings, but recommend the \([M/H]\) as the most appropriate values.

The mean \([Fe/H]\) and \([M/H]\) values of Fornax RGB stars computed using the Valenti et al. (2004a) calibrations are presented in Table 4 I together with errors calculated from color uncertainties by error propagation. The average values are \([Fe/H]_{V-K} = -1.22 \pm 0.12\) and \([Fe/H]_{J-K} = -0.99 \pm 0.09\) (on the scale of Carretta & Gratton 1997). Using the calibrations against \([M/H]\), we obtain a mean metallicity \([M/H]_{V-K} = -0.98 \pm 0.12\) and \([M/H]_{J-K} = -0.82 \pm 0.08\) Alternatively, a robust metallicity indicator is represented by the RGB slope. The Kuchinski & Frogel (1993) calibration yields \([Fe/H]_{ZW} = -1.28\) on the scale, corresponding to \([Fe/H] \approx -1.16\) on the CG97 scale.

\begin{table}[h]
\centering
\begin{tabular}{lll}
\hline
index & [Fe/H]$_{GC}$ & [M/H] \\
\hline
\((J - K)_{0}^{M_{K}=-5.5}\) & -0.98 $\pm$ 0.18 & -0.81 $\pm$ 0.17 \\
\((J - K)_{0}^{M_{K}=-5.0}\) & -0.97 $\pm$ 0.20 & -0.83 $\pm$ 0.19 \\
\((J - K)_{0}^{M_{K}=-4.0}\) & -0.98 $\pm$ 0.19 & -0.86 $\pm$ 0.18 \\
\((J - K)_{0}^{M_{K}=-3.0}\) & -1.01 $\pm$ 0.15 & -0.79 $\pm$ 0.14 \\
\((V - K)_{0}^{M_{K}=-5.5}\) & -1.13 $\pm$ 0.15 & -0.96 $\pm$ 0.16 \\
\((V - K)_{0}^{M_{K}=-5.0}\) & -1.23 $\pm$ 0.27 & -1.02 $\pm$ 0.25 \\
\((V - K)_{0}^{M_{K}=-4.0}\) & -1.37 $\pm$ 0.39 & -0.94 $\pm$ 0.35 \\
\((V - K)_{0}^{M_{K}=-3.0}\) & -1.28 $\pm$ 0.38 & -1.04 $\pm$ 0.39 \\
VFO slope$_{RGB}$ & -1.20 & -1.03 \\
KF slope$_{RGB}$ & -1.16 & \\
\hline
\end{tabular}
\caption{The mean metallicity of Fornax from photometric indices of Valenti et al. (2004a) and Kuchinski & Frogel (1993).}
\end{table}
by Valenti et al. (2004a), yielding $\text{[Fe/H]} = -1.20$ or $\text{[M/H]} = -1.03$, in good agreement with other methods.

5.2. Metallicity distribution

The metallicity of RGB stars can also be estimated by interpolating the colors of individual stars across a grid of empirical RGB templates. In this way, we also obtain an observable related to the metallicity distribution function (MDF) of the red giant stars. The method used here (see Saviane et al. 2000a) consists in building a family of hyperbolae that best fit the RGB fiducial lines of Galactic globular clusters of known metallicity, and then use interpolation over that family of curves to derive the metallicity of RGB stars. A previous application of the method to the $(V-K)_0$, $M_K$ plane can be found in Zoccali et al. (2003), where it is discussed in some detail for stars in the Galactic bulge.

Table 5 lists the reference globular clusters with their adopted metallicities. In the present implementation of the method we have employed $\text{[M/H]}$ values from Valenti et al. (2004a), with the updated $\text{[Fe/H]}_{\text{CG}}$ scale of Carretta et al. (2001).

The resulting “photometric MDF” is shown in Fig. 11 along with an illustration of the interpolation method. We also estimated the statistical uncertainty of our photometric metallicity determinations using a Monte Carlo approach. A synthetic CMD was generated by randomly choosing 10 000 stars along the best fit line in Fig. 11. Errors were added to the synthetic magnitudes according to a Gaussian distribution with standard deviations 0.01 in $V$ and according to the results of artificial star experiments in $K$ (see Sect. 2.4). We then used the same algorithm applied to the Fornax CMD to retrieve individual metallicities. The recovered metallicities have a nearly Gaussian distribution with a dispersion $\sigma = 0.06$ dex. This scatter was taken as the statistical uncertainty associated to our individual metallicities. We note that the photometric completeness in the region of the CMD used to compute the MDF is 100%, so that no completeness correction is needed.

The optical–near-IR data confirm the extended metallicity distribution of Fornax stars suggested by Saviane et al. (2000a). The distribution is formally modeled by the sum of two Gaussians, with a main peak at $\text{[M/H]} \simeq -1.02$ and a secondary peak at $\text{[M/H]} \simeq -1.28$. The mode corresponds to about $\text{[Fe/H]} \simeq -1.2$ and $-1.3$ on the CG97 and ZW84 scales, respectively. The standard deviation of the Gaussian corresponding to the main peak is 0.11 dex, which is much larger than the scatter of 0.06 dex due to photometric error. We remind that our “metallicity distribution” is representative of the MDF only for old stellar populations with age comparable to the old globular clusters in the Milky Way. This is certainly not true for Fornax stars, whose mean age is about 5-6 Gyr (Saviane et al. 2000a).

In all cases, however, this distribution represents an important observable that models of galactic evolution should be able to reproduce.

5.3. Age correction

Since the bulk of the stellar populations in Fornax is younger than stars in GGCs, the red giants stars in Fornax
12 M. Gullieuszik et al.: Near-infrared observations of the Fornax dwarf galaxy. I. The red giant branch

**Fig. 12.** Contours of constant \((V - K)\) color at \(M_K = -5.0\) as a function of age and \([M/H]\) (dotted lines), using the model isochrones of Pietrinferni et al. (2004). This plot allows a quantification of the age-metallicity degeneracy in the metallicity estimates based on optical-infrared colors. The red solid line corresponds to the color of the RGB of Fornax. An arrow shows the change in metallicity at this fixed color between 12.5 Gyr old globular clusters and a 7.5 Gyr old galaxy (representative of Fornax dSph).

are on average slightly bluer than globular cluster stars of the same metallicity. As a consequence, the metallicity obtained from the mean RGB color is systematically underestimated. Using optical–near-infrared colors, however, this “age-metallicity degeneracy” is much reduced with respect to optical colors. This is illustrated in Fig. [12] where we show the effects of age and metallicity variations on RGB colors at \(M_K = -5.0\) using the Pietrinferni et al. (2004) isochrones. In this figure, contour lines of equal color are nearly vertical. This means that the effects of a change in age (or those of an age spread) on the color shift and the color dispersion are much smaller than those produced by metallicity variations.

We have used this plot to estimate a mean correction to metallicity, by assuming that the age of GGCs and Fornax dSph are 12.5 and 7.5 Gyr, respectively. The correction is the difference in metallicity needed to keep the color constant while moving from 12.5 Gyr to 7.5 Gyr. We found a correction \(\Delta[M/H] \simeq 0.15\), yielding for Fornax an age-corrected metallicity \([M/H] = -0.87\) in terms of global metallicity (we consider the mode of the photometric MDF).

This result is in good agreement with previous results in the literature. Saviane et al. (2000a) derived a mean age-corrected metallicity \([Fe/H] = -1.0\) from the \((V - I)\) color of the RGB, on the ZW84 scale (corresponding to \([Fe/H]_{CG} \approx -0.9\)).

5.4. Comparison with spectroscopy

Our mean metallicity agrees well with the results of low-resolution spectroscopic analyses of RGB stars, yielding a mean metallicity \([Fe/H] = -1.0\) and \([Fe/H] = -0.9\) (Tolstoy et al. 2001; Pont et al. 2004) on the CG97 scale. This value has been confirmed by high-resolution spectroscopy of (two) stars by Tolstoy et al. (2003). Since the extended star formation of Fornax leads to an abundance ratio \([\alpha/Fe] \simeq 0\), we can assume that our \([M/H]\) values are directly comparable with the spectroscopic measurements.

The relatively large overlap between our optical–near-IR photometry and the spectroscopic samples of Fornax RGB stars with metallicities derived by Ca\(\text{II}\) triplet spectroscopy (Pont et al. 2004; Battaglia et al. 2006) allows us a direct comparison of metal abundances on a star-by-star basis. This comparison is especially interesting to assess the reliability of photometric MDF determinations for all systems that are too distant for (even low-resolution) spectroscopy.

**Fig. 13.** Comparison of metallicities of individual stars inferred from near-infrared colors and metal abundances derived from the strengths of Ca\(\text{II}\) triplet lines. Lower panel: spectroscopic data from Pont et al. (2004); upper panel: data from Battaglia et al. (2006). The solid line indicates one-to-one correlation, while a dashed line shows the shift expected by taking into account the mean age of stellar populations in Fornax. Error crosses represent the mean uncertainties of spectroscopic measures, and the 3\(\sigma\) error of our photometric determination.
approximation, it is interesting to note the agreement in metallicity. Although this correction clearly represents a first order bluer than the GGC template stars of the same metallic-

city, the general trend is that of an overall correlation. A discrepancy is apparent at the metal-rich end, where the (2004) mode of the metallicity distribution with the results of spectroscopy.

Clearly, the first explanation for the discrepancy at the metal-rich end is the age-metallicity degeneracy in RGB star colors that even optical-infrared color indices cannot completely overcome. Assuming that the Ca\(\text{II}\) triplet methods provides the correct metallicities, the behavior noticed in Fig. 13 and 14 appears to be consistent with a late metal enrichment scenario suggested by the cited spectroscopic studies. Indeed, the redder optical-infrared colors of a young population of metal-rich red giants are compensated for by the younger age. However, spectroscopic abundances from Ca\(\text{II}\) triplet line strengths are also somewhat uncertain, especially in the high-metallicity regime (where an extrapolation may be needed) and when the Ca\(\text{II}\) triplet calibration, which is based on globular cluster stars, is applied to the spectra of young (1-4 Gyr old) stars. Accurate abundance measurements from high-resolution spectroscopy may be useful to definitively clarify the issue.

6. Summary and conclusions

We have presented a near-infrared photometry of the stars in the Fornax dwarf spheroidal galaxy. Our study provides color-magnitude diagrams and photometric catalogs of red giant and AGB stars in Fornax over a 18'5 \times 18'5 area, and deep photometry over a 4'5 \times 4'5 central field. The main results are the following:

- From stars on the red giant branch and the RC, we have obtained independent estimates of the distance to Fornax based on mean \(K\) magnitude of the red clump and the RGB tip, which take into account the mean age of the stellar populations in Fornax. The average value obtained from the two methods is \((m - M)_\odot = 20.74 \pm 0.11\), in excellent agreement with previous authors, and in particular with the results of Saviane et al. (2000a) from optical photometry.

- The \((V - K)\) color distribution of RGB stars has been used to infer the mean metallicity and metallicity distribution of red giant stars in Fornax, taking advantage of the reduced dependence of \((V - K)\) colors of RGB stars from the age of the stellar population. The average metallicity was found to be \(\left<[\text{M}/\text{H}]\right> \approx -0.9\). This compares well with the values recently obtained from spectroscopy by Tolstoy et al. (2001, 2003), Pont et al. (2004), and Battaglia et al. (2006).

- The metallicity distribution is consistent with that obtained from spectroscopy up to the metallicity of 47 Tuc \(([\text{Fe}/\text{H}] \sim -0.7)\). However, there is a clear discrepancy between the MDFs derived from near-infrared colors and spectroscopy near the metal-rich end, where Pont et al. (2004) found a tail of stars with metallicity up to almost solar. This discrepancy could be caused by the effects of the age-metallicity degeneracy, which cannot be completely corrected even using near-IR photometry, but the extrapolation used

Fig. 14. Comparison of the metallicity distribution inferred from the optical-infrared colors of RGB stars (this paper, upper panel) and the MDF obtained from Ca\(\text{II}\) triplet spectroscopy (Pont et al. 2004; Battaglia et al. 2006, lower panel). The shaded part of the histogram from Pont et al. (2004) \(([\text{Fe}/\text{H}] > -0.8)\) contains extrapolated metallicities. A mean age correction, resulting in a shift of 0.15 dex, has been applied to our histogram.

Figure 13 plots the metallicities of individual stars derived from \((V - K)\) colors against those estimated from the equivalent widths of the Ca\(\text{II}\) triplet lines by Pont et al. (2004) (lower panel) and Battaglia et al. (2006) (upper panel). For the comparison with Pont et al. (2004) results we used only spectra with noise below a given threshold (F. Pont, priv. comm.). The spectroscopic values for metal-rich stars are those corrected by the authors by comparison with high-metallicity stars in the LMC (see Pont et al. 2004, their section 3). While a few stars appear too red or too blue for their spectroscopic metallicity, the general trend is that of an overall correlation. A discrepancy is apparent at the metal-rich end, where the metallicity estimates from photometry appear to saturate. A similar trend, with a worse correlation, is noticed in the comparison with Battaglia et al. (2006) data, where there is a large excess of metal-rich stars with respect to the photometric estimates.

The metallicity distribution of Fornax RGB stars is shown in Fig. 14 together with the MDFs obtained from Ca\(\text{II}\) spectroscopy. In this case, the distribution of photometric \([\text{M}/\text{H}]\) values in Fig. 14 was corrected by 0.15 dex toward higher metallicities to take into account the fact that intermediate age stars (the bulk of Fornax stars) are bluer than the GGC template stars of the same metallicity. Although this correction clearly represents a first order approximation, it is interesting to note the agreement in
by [Pont et al. 2004] to derive their metallicities from the measurements of Ca\textsc{ii} lines, as noted by the authors could also have some effect. More observations are needed to solve this discrepancy and establish the upper end of metal enrichment in Fornax.

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