Dimensionality Reduction and Classification Feature Using Mutual Information Applied to Hyperspectral Images: A Wrapper Strategy Algorithm Based on Minimizing the Error Probability Using the Inequality of Fano

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Abstract

Hyperspectral image is a substitution of more than a hundred images, called bands, of the same region. They are taken at juxtaposed frequencies. The reference image of the region is called Ground Truth map (GT). The problematic is how to find the good bands to classify the pixels of regions; because the bands can be not only redundant, but a source of confusion, and decreasing so the accuracy of classification. Some methods use Mutual Information (MI) and threshold, to select relevant bands without treatment of redundancy; others consider the neighbors having sensibly the same MI with the GT as redundant and so discarded. This is the most inconvenient of this method, because this avoids the advantage of hyperspectral images: some precious information can be discarded. In this paper well make difference between useful and useless redundancy. A band contains useful redundancy if it contributes to decreasing error probability. According to this scheme, we introduce new algorithm using also mutual information, but it retains only the bands minimizing the error probability of classification. To control redundancy, we introduce a complementary threshold. So the good band candidate must contribute to decrease the last error probability augmented by the threshold. This process is a wrapper strategy; it gets high performance of classification accuracy but it is expensive than filter strategy.

Keywords: Hyperspectral images, classification, feature selection, mutual information, error probability, redundancy
1 Introduction

In the feature classification domain, the choice of data affects widely the results. For the Hyperspectral image, the bands don’t all contain the information; some bands are irrelevant like those affected by various atmospheric effects, see Figure.4, and decrease the classification accuracy. And there exist redundant bands to complicate the learning system and produce incorrect prediction [14]. Even the bands contain enough information about the scene they may can’t predict the classes correctly if the dimension of space images, see Figure.3, is so large that needs many cases to detect the relationship between the bands and the scene (Hughes phenomenon) [10]. We can reduce the dimensionality of hyperspectral images by selecting only the relevant bands (feature selection or subset selection methodology), or extracting, from the original bands, new bands containing the maximal information about the classes, using any functions, logical or numerical (feature extraction methodology) [11][9]. Here we focus on the feature selection using mutual information. Hyperspectral images have three advantages regarding the multispectral images [6],

Assertion: when we reduce hyperspectral images dimensionality, any method used must save the precision and high discrimination of substances given by hyperspectral image.

![Diagram](image)

Figure 1: Precision and discrimination added by hyperspectral images

In this paper we use the Hyperspectral image AVIRIS 92AV3C (Airborne Visible Infrared Imaging Spectrometer). [2]. It contains 220 images taken on the region Indiana Pine at north-western Indiana, USA [1]. The 220 called bands are taken between 0.4m and 2.5m. Each band has 145 lines and 145 columns. The ground truth map is also provided, but only 10366 pixels are labeled fro 1 to 16. Each label indicates one from 16 classes. The zeros indicate pixels how are not classified yet, Figure.2.
The hyperspectral image AVIRIS 92AV3C contains numbers between 955 and 9406. Each pixel of the ground truth map has a set of 220 numbers (measures) along the hyperspectral image. This numbers (measures) represent the reflectance of the pixel in each band. So the pixel is shown as vector off 220 components. Figure 3.

Figure 2: The Ground Truth map of AVIRIS 92AV3C and the 16 classes

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Figure 3 shows the vector pixels notion [7]. So reducing dimensionality means selecting only the dimensions caring a lot of information regarding the classes.

Figure 3: The notion of pixel vector

We can also note that not all classes are carrier of information. In Figure 4, for example, we can show the effects of atmospheric affects on bands: 155, 220 and other bands. This hyperspectral image presents the problematic of dimensionality reduction.
2 Mutual Information based feature selection

2.1 Definition of mutual information

This is a measure of exchanged information between two ensembles of random variables $A$ and $B$:

$$I(A, B) = \sum \log_2 p(A, B) \frac{p(A, B)}{p(A)\cdot p(B)}$$

Considering the ground truth map, and bands as ensembles of random variables, we calculate their interdependence.

Geo [3] uses also the average of bands 170 to 210, to produce an estimated ground truth map, and use it instead of the real truth map. Their curves are similar. This is shown at Figure 4.

![Figure 4: Mutual information of AVIRIS with the Ground Truth map (solid line) and with the ground approximated by averaging bands 170 to 210 (dashed line).](image)

2.2 The measure of error probability

Fano [14] has demonstrated that as soon as mutual information of already selected feature has high value, the error probability of classification is de-
creasing, according to the formula below:

\[
\frac{H(C/X) - 1}{\log_2(N_c)} \leq P_e \leq \frac{H(C/X)}{\log_2(N_c)}
\]

with:

\[
\frac{H(C/X) - 1}{\log_2(N_c)} = \frac{H(C) - I(C;X) - 1}{\log_2(N_c)}
\]

and:

\[
P_e \leq \frac{H(C) - I(C;X)}{\log_2} = \frac{H(C/X)}{\log_2}
\]

The expression of conditional entropy \(H(C/X)\) is calculated between the ground truth map (i.e. the classes \(C\)) and the subset of bands candidates \(X\). \(N_c\) is the number of classes. So when the features \(X\) have a higher value of mutual information with the ground truth map, (is more near to the ground truth map), the error probability will be lower. But it’s difficult to compute this conjoint mutual information \(I(C;X)\), regarding the high dimensionality [14]. This property makes Mutual Information a good criterion to measure resemblance between too bands, like its exploited in section II. Furthermore, we will interest at case of one feature candidate \(X\).

**Corollary:** for one feature \(X\), as \(X\) approaches the ground truth map, the interval \(P_e\) is very small.

3 The principle of proposed algorithm based on inequality of Fano

Our idea is based on this observation: the band that has higher value of Mutual Information with the ground truth map can be a good approximation of it. So we note that the subset of selected bands are the good ones, if they can generate an estimated reference map, sensibly equal the ground truth map. Its clearly that’s an Incremental Wrapper-based Subset Selection (IWSS) approach[16] [13].

Our process of band selection will be as following: we order the bands according to value of its mutual information with the ground truth map. Then we initialize the selected bands ensemble with the band that has highest value of MI. At a point of process, we build an approximated reference map \(C_{est}\) with the already selected bands, and we put it instead of \(X\) for computing the error probability \((P_e)\); the latest band added (at those already selected) must make \(P_e\) decreasing, if else it will be discarded from the ensemble retained. Then we introduce a complementary threshold \(T_h\) to control redundancy. So the band to be selected must make error probability less than \((P_e - T_h)\), where \(P_e\) is calculated before adding it. The algorithm following shows more
detail of the process:
Let $SS$ be the ensemble of bands already selected and $S$ the band candidate to be selected. $\text{Build}_{\text{estimated}}C()$ is a procedure to construct the estimated reference map. $P_e$ is initialized with a value $P_e^*$. $X$ the number of bands to be selected, $SS$ is empty and $R = 1..220$.

Algorithm 1 Proposed for Dimensionality Reduction and Redundancy control

```
while $|SS| < X$ do
    Select band index $s \times S = \text{argmax}_s \text{MI}(s)$
    $SS \leftarrow SS \cup S$ and $R \leftarrow R \setminus S$
    $C_{\text{est}} = \text{Build}_{\text{estimated}}C(SS)$
    $P_e = \frac{H(C/C_{\text{est}})}{\log_2} - \frac{H(C/C_{\text{est}}) - 1}{\log_2(N_c)}$
    if $P_e \leq P_e^* - \text{Th}$ then
        $P_e \leftarrow P_e^*$
    else
        $SS \leftarrow SS \setminus S$
    end if
end while
```

4 Results and analysis

We apply this algorithm on the hyperspectral image AVIRIS 92AV3C [1], 50% of the labeled pixels are randomly chosen and used in training; and the other 50% are used for testing classification [3]. The classifier used is the SVM [5] [12] [4].

The procedure to construct the estimated reference map $C_{\text{est}}$ is the same SVM classifier used for classification. So $C_{\text{est}}$ is the output of classification.

4.1 Results

Table. I shows the results obtained for several thresholds. We can see the effectiveness selection bands of our algorithm, and the important effect of avoiding redundancy.
Table 1: Results illustrate elimination of Redundancy using algorithm based on inequality of Fano, for thresholds ($Th$)

| Bands retained | $Th$ |   |   |   |   |   |
|----------------|------|---|---|---|---|---|
| 0.00           | 55.43| 55.43| 55.58| 53.09| 60.06| 71.62|
| 0.001          | 59.09| 59.09| 64.41| 73.70| 82.62| 90.00|
| 0.008          | 63.08| 63.08| 68.50| 76.15| 84.36|      |
| 0.015          | 66.02| 66.12| 74.62| 84.41| 89.06|      |
| 0.020          | 69.47| 69.47| 76.00| 86.73| 91.70|      |
| 0.030          | 73.54| 73.54| 79.04| 88.68|      |      |
| 10             | 76.06| 76.06| 81.38| 92.36|      |      |
| 18             | 78.96| 79.41| 86.48|      |      |      |
| 20             | 80.58| 80.60| 89.09|      |      |      |
| 25             | 81.63| 81.20| 91.14|      |      |      |
| 27             | 82.27| 81.22| 92.67|      |      |      |
| 30             | 86.13| 86.23| -    |      |      |      |
| 35             | 86.97| 87.55| -    |      |      |      |
| 40             | 89.11| 89.42| -    |      |      |      |
| 45             | 90.55| 90.92| -    |      |      |      |
| 50             | 92.50| 93.18| -    |      |      |      |
| 53             | 92.62| 93.34| -    |      |      |      |
| 60             | 93.34| -    |      |      |      |      |

Figure 5 shows more detail of the accuracy curves, versus number of bands retained, for several thresholds. This covers all behaviors of the algorithm.

### 4.2 Analysis

Table I and Figure 5 allow us to comment four cases:

**First**: For the highest threshold values (0.1, 0.05, 0.03 and 0.02) we obtain a hard selection: a few more informative bands are selected; the accuracy of classification is 90% with less than 20 bands selected.

**Second**: For the medium threshold values (0.015, 0.012, 0.010, 0.008, 0.006), some redundancy is allowed, in order to make increasing the classification accuracy.

**Tired**: For the small threshold values (0.001 and 0), the redundancy allowed becomes useless, we have the same accuracy with more bands.

**Finally**: For the negative thresholds, for example -0.01, we allow all bands to be selected, and we have no action of the algorithm. This corresponds at selection bay ordering bands on mutual information. The performance is low.
Figure 5: Accuracy of classification using the algorithm based on inequality of Fano, using numerous thresholds.

We can not here that [15] uses two axioms to characterize feature selection. Sufficiency axiom: the subset selected feature must be able to reproduce the training simples without losing information. The necessity axiom ”simplest among different alternatives is preferred for prediction”. In the algorithm proposed, reducing error probability between the truth map and the estimated minimize the information loosed for the samples training and also the predi cate ones.

We note also that we can use the number of features selected like condition to stop the search; so we can obtain an hybrid approach filter-wrapper[16].

Partial conclusion:
The algorithm proposed is a very good method to reduce dimensionality of hyperspectral images.

We illustrate in Figure .6, the Ground Truth map originally displayed, like at Figure .1, and the scene classified with our method, for threshold as 0.03, so 18 bands selected.
Wrapper algorithm MIFS

Figure 6: Original Grand Truth map (in the left) and the map produced by our algorithm according to the threshold 0.03 i.e. 18 bands (in the right). Accuracy = 90%.

Table II indicates the classification accuracy of each class, for several thresholds.

Table 2: Accuracy of classification (%) of each class for numerous thresholds (Th)

| Class | Total pixels | 0.00 | 0.001 | 0.008 | 0.015 | 0.020 | 0.030 |
|-------|--------------|------|-------|-------|-------|-------|-------|
| 1     | 54           | 86.96| 82.61 | 86.96 | 83.96 | 78.26 | 86.96 |
| 2     | 1434         | 91.07| 89.40 | 89.54 | 89.12 | 88.01 | 83.96 |
| 3     | 834          | 89.93| 90.89 | 89.69 | 86.09 | 83.69 | 81.53 |
| 4     | 234          | 96.32| 83.76 | 86.32 | 87.18 | 87.18 | 86.32 |
| 5     | 597          | 95.93| 95.53 | 94.34 | 95.93 | 95.93 | 95.53 |
| 6     | 747          | 98.60| 98.60 | 98.32 | 98.60 | 98.32 | 98.32 |
| 7     | 26           | 84.62| 84.62 | 84.62 | 84.62 | 84.62 | 84.62 |
| 8     | 489          | 98.37| 98.37 | 98.78 | 98.78 | 98.78 | 98.78 |
| 9     | 20           | 100  | 100   | 100   | 100   | 100   | 100   |
| 10:   | 968          | 92.15| 92.98 | 91.32 | 91.74 | 90.91 | 89.05 |
| 11:   | 2468         | 93.84| 94.17 | 92.54 | 92.71 | 91.90 | 91.25 |
| 12:   | 614          | 91.21| 93.49 | 92.83 | 92.18 | 88.93 | 87.30 |
| 13:   | 212          | 98.06| 98.06 | 98.06 | 98.06 | 98.06 | 98.06 |
| 14:   | 1294         | 97.53| 97.86 | 97.22 | 97.84 | 97.99 | 97.53 |
| 15:   | 390          | 79.52| 77.71 | 75.90 | 74.10 | 78.92 | 64.46 |
| 16:   | 95           | 93.48| 93.48 | 93.48 | 93.48 | 93.48 | 93.48 |
Comments:
First: we can not the effectiveness of this algorithm for particularly the classes with a few number of pixels, for example class number 9.
Second: we can not that 18 bands (i.e. threshold 0.03) are sufficient to detect materials contained in the region. Its also shown in Figure 6.
Tired: one of important comment is that most of class accuracy change lately when the threshold changes between 0.03 and 0.015.

5 Conclusion

In this paper we presented the necessity to reduce the number of bands, in classification of Hyperspectral images. Then we have introduce the mutual information based scheme. We carried out their effectiveness to select bands able to classify the pixels of ground truth. We introduce an algorithm also based on mutual information and using a measure of error probability (inequality of Fano). To choice a band, it must contributes to reduce error probability. A complementary threshold is added to avoid redundancy. So each band retained has to contribute to reduce error probability by a step equal to threshold even if it carries a redundant information. We can tell that we conserve the useful redundancy by adjusting the complementary threshold. The process introduced is able to select the good bands to classification for also the classes that have a few number of pixels. This algorithm is a feature selection methodology. But its a wrapper approach, because we use the classifier to make the estimated reference map. This is expensive than Filter strategy, but it can be used for application that need more precision. This scheme is very interesting to investigate and improve, considering its performance.

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