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A User’s Guide for α-Synuclein Biomarker Studies in Biological Fluids: Perianalytical Considerations

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ABSTRACT: Parkinson’s disease biomarkers are needed to increase diagnostic accuracy, to objectively monitor disease progression and to assess therapeutic efficacy as well as target engagement when evaluating novel drug and therapeutic strategies. This article summarizes perianalytical considerations for biomarker studies (based on immunoassays) in Parkinson’s disease, with emphasis on quantifying total α-synuclein protein in biological fluids. Current knowledge and pitfalls are discussed, and selected perianalytical variables are presented systematically, including different temperature of sample collection and types of collection tubes.

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Parkinson’s disease (PD) is characterized by the presence of cytoplasmic α-synuclein (aSyn) aggregates, progressive neuronal impairment, and eventually neuronal death. Lost neurons generally cannot be replaced. Therefore, agents aimed at inhibiting neurodegenerative processes are likely to be most effective if administered at the earliest stages of the disease process. However, clinical or pathological manifestations of the disease in early disease stages are often difficult to detect, and the definite diagnosis is made postmortem through neuropathology. Furthermore, it can be difficult to distinguish PD from atypical parkinsonian disorders including multiple system atrophy, progressive supranuclear palsy, and corticobasal degeneration, and dementia with Lewy bodies. Misdiagnoses occur in a substantial proportion of patients, particularly in general neurology practice, whereas movement disorder specialists achieve up to 90% accuracy. In fact, one study led by a fellowship-trained movement disorder specialist found an inaccurate diagnosis in 7 of 15 subjects diagnosed with PD within 5 years.

Also, different phenotypes of PD that have emerged from cluster analyses warrant careful evaluation and subtype-specific biomarker considerations. For these reasons, biomarkers that specifically reflect onset and progression of pathology may have a profound impact on diagnosis, detection, assessment of treatment efficacy, and patient stratification in future clinical trials. According to the National Institute of Health Biomarkers Definitions Working Group, a biomarker is a “characteristic that is objectively measured and evaluated as an indicator of normal biological processes, pathogenic processes, or pharmacologic responses to a therapeutic intervention.”

PD biomarkers include structural and/or functional imaging, functional tests, and, most recently, tissue analysis and assays in biological fluids. An ideal biomarker reflects the underlying pathophysiological process and is optimally proximal to the molecular disease onset and progression. Cerebrospinal fluid (CSF), primarily produced by the choroid plexus within the ventricles of the central nervous system (CNS), is a promising biological fluid to study neurodegenerative disorders and can be easily accessed by routine lumbar punctures (LPs). It is known that 80% of CSF composition (ie, proteins) derives from the filtration of peripheral blood, whereas 20% derives from CNS cells.

A triplet of CSF biomarkers for Alzheimer’s disease (AD), total and phosphorylated tau, which may reflect AD-type axonal degeneration, and β-amyloid (Aβ) 1-42, which reflects senile plaque pathology, have already been established for early (predementia) detection of AD. The biomarker field in PD can leverage the experiences and standardization efforts on preanalytical aspects of CSF biomarkers from the AD field, including regulatory aspects of biomarker research, encompassing fluid and imaging biomarkers to support clinical trials. The AD markers have been included in European Medicines Agency guidance documents and were described in a letter of support of the Food and Drug Administration qualification process. No identical efforts have yet been initiated for PD biomarkers.

The identification of aSyn as the main component of Lewy bodies in 1997 combined with evidence of a disease-causing gene dosage effect and the detection of aSyn in CSF led to the quantification of CSF aSyn as a candidate biomarker for the early diagnosis of PD. The PD field is now involved in a systematic exploration of posttranslational modifications of aSyn and other surrogate biomarkers. In parallel, efforts are under way to develop and analytically validate assays of emerging biomarkers (including phosphorylated aSyn) and the creation of certified reference materials and methods (eg, based on mass spectrometry) that could support clinical trials of putative disease-modified therapies of PD, as well as aid in obtaining regulatory approval for the biomarkers.

The quantification of total aSyn in the CSF with sandwich-type enzyme-linked immunosorbent assays (ELISAs) or other technology platforms has been described by several groups with varying results (as reviewed in reference 17). Based on data from single- and multicenter studies, a consensus is now
emerging that PD pathogenesis is associated with a 10-20% reduction in total aSyn in CSF. Whether this reduction reflects Lewy body formation needs to be confirmed. Although aSyn expression has been identified in several extracerebral tissues including erythrocytes, there are data suggesting that CSF aSyn predominantly derives from neurons of the CNS.\(^{18,28}\) However, it is still unclear if the CSF derived from the plexus already contains aSyn from the peripheral blood.\(^{28}\) Blood-derived aSyn might be important if the blood-brain barrier is compromised, especially in light of experimental data demonstrating that peripherally injected aSyn readily reaches the brain in mice.\(^{28}\)

Reliance on LPs and CSF as the major matrix for biomarker assay development in biological fluids is not optimal. The distribution of PD-linked aSyn pathology points toward a systemic disease with early involvement of the peripheral nervous system and organs.\(^{19}\) Therefore, there is a high possibility of detecting a peripheral marker in accessible biological fluids throughout less invasive procedures (at least compared with CSF) that is still proximal to the disease process.

An increasing number of studies have been exploring peripheral body fluids and biopsy sites as potential sources for aSyn biomarker analysis. In fact, after aSyn pathology was found in submandibular salivary glands\(^{34}\) (and is now being investigated as a biopsy-based biomarker for PD in vivo\(^{35}\)), a recent study detected aSyn in the saliva as a possible biomarker.\(^{35}\) aSyn has already been shown to be quantifiable in peripheral blood compartments\(^ {34,35}\) where red blood cells with high aSyn load are a large contributor.\(^ {28,36}\) Not much is known about aSyn isoforms and/or fragments in peripheral biospecimens. However, to date, the findings on blood total aSyn as a diagnostic biomarker have been inconsistent, which may be because of different sample processing, variations in standardization of methods and assays, and other confounding factors (eg, erythrocyte lysis).\(^ {20,37-40}\) As such, the role of aSyn in peripheral fluids in the pathophysiology of PD is still unclear. Some of these blood-processing factors (eg, erythrocyte lysis)\(^ {20,37-40}\) are avoided by the analysis of stabilized RNA in blood collected via PAX gene tubes (PreAnalytix) and by focusing on aSyn encoding gene (SNCA) transcripts instead of proteins.\(^ {26}\)

One of the main obstacles preventing the use of CSF and blood-based aSyn biomarkers in routine diagnosis or individual patient management is interindividual variability and interstudy variability of aSyn levels, reaching, in some cases, coefficient variations of 20-35% for interassay and interlaboratory measurements.\(^ {25,27,41}\) Several preanalytical, analytical, and assay-related issues need to be addressed before global implementation of reliable biomarkers for diagnosis, prognosis, and monitoring of novel therapeutics in PD can occur.

The major impetus for this article is to summarize the current state of development of potential aSyn protein biomarker assays for PD, to critically discuss them, and to elucidate the gaps in knowledge that still need to be addressed. We will update this article on a regular basis as is done in other initiatives (Alzheimer’s disease neuroimaging initiative and European Union initiatives).\(^ {42}\) Our goal is to facilitate biomarker research and share information so that all investigators have access to pertinent information regarding how to best set up, validate, and ultimately interpret various assays/results. This article will refer to some original publications (cited in parentheses and provided in the accompanying reference list), as well as unpublished data. The latter have been generated through a consortium of scientists and companies that make up the Michael J. Fox Foundation’s (MJFF) Investigating Synuclein Consortium (ISC). The ISC is composed of 30 academic and industry investigators around the world whose ongoing projects around aSyn assay development, optimization, and validation are supported by the MJFF. These investigators have agreed to share data, critical information, tools, and reagents in real time as they all work toward the same goal of understanding PD-relevant aSyn species and developing biomarker assays to measure them.

The ISC, is composed of, in part, the MJFF aSyn Assay Standardization Linked Efforts to Accelerate Parkinson’s Solutions (LEAPS) team. The goal of this initiative is to initially compare 3 already commercially available aSyn assays, as well as newly developed assays and a newly developed mass spectrometry assay in a round robin comparison study using the same set of 50 CSF, saliva, and whole-blood samples. The LEAPS team is also charged with assessing a variety of currently available aSyn protein standards and examining the effect of preanalytical variables on assay performance. A similar study on phosphorylated aSyn and oligomeric aSyn is also in progress.

The content of the following section is related to total aSyn measurements unless otherwise indicated (eg, as posttranslationally modified aSyn). This article builds on previous reviews addressing mainly preanalytical variables\(^ {43}\) and recommendations for standardization.\(^ {44-46}\) Our recommendations are summarized in Table 1. We include recommendations on CSF and blood biomarkers, although for the latter matrix, systematic data are currently lacking.

### Standardization and Confounding Factors

Biomarker standardization efforts include preanalytical considerations with potential confounding factors, such as nutrition and medication, sample collection and sample processing, storage and the quantification platforms, antibodies, and reference material as well as interpretation. The outcome of the standardization
| Preanalytical considerations | | | |
|---|---|---|---|
| Perform lumbar puncture in the morning with fasting patient | Avoid change of composition by nutrients (evidence level a). | Same as in CSF | Avoid change of composition by nutrients. (evidence level a) |
| Document medication and comorbidities for further correlation | Enable to investigate influence of pharmacotherapy and concomitant diseases. Correlation between the aSyn levels in treated PD subjects with at least the levodopa equivalent daily dosage (eg, according to Tomlinson\cite{76} and also suggest correlation with substance classes (such as dopamine agonists, MAO-B inhibitors) (evidence level a). | Same as in CSF | Same as CSF |

| Sampling variables | | | |
|---|---|---|---|
| Use atraumatic needles | Decrease of side effects (especially post-lumbar puncture headache and artificial blood contamination).\cite{77} Up to 22-gauge needles allow the regular collection of CSF, whereas smaller-diameter needles (24 gauge) would require syringe sucking to enable the collection of 10-12 mL in a realistic time frame, which can also cause artifacts (through cell lysis, material of the syringe) (evidence level c). | Venous puncture. If manually sucking tubes are used, care should be taken to avoid a significantly forced blood draw (to decrease hemolysis). Vacuum systems might be preferred as the vacuum is defined | Avoid hemolysis through incorrect handling of blood tubes (evidence level a) |
| Collect with polypropylene or siliconized tubes | Decrease aSyn adsorption (Fig. 2; evidence level b). | Collect with polypropylene or siliconized tubes | Same as CSF |
| Discard first 5 drops of CSF | Remove artificially blood contamination through needle insertion (evidence level a). | Fill blood tubes to the maximum (evidence level b) | No data |
| Collect the first 2 mL separately for cell count, routine analysis. A standardized collection volume should be used, preferably 10-15 mL of lumbar CSF | Because of the rostrocaudal gradient, the volume taken should remain constant. If more CSF is taken, for example, for NPH patients, the first 10-15 mL should be processed in accordance (evidence level b). | No data | No data |

| Sample processing | | | |
|---|---|---|---|
| Samples should be processed quickly to avoid delay until freezing (evidence level b) | Hemoglobin contamination can influence biomarker measurements. Samples with blood contamination (>50/µL) or hemoglobin level > 200 ng/mL should be excluded (evidence level c). | Fast processing to avoid hemolysis. Quantification of hemoglobin possible | Same as CSF |
| Samples need to be screened for blood contamination (by counting red blood cells as soon as possible) and/or quantification of hemoglobin (can be done in already frozen samples) | Cells (physiologically occurring or artificially through blood contamination) should be removed by centrifugation (evidence level c). | Centrifuge samples before freezing to obtain serum and plasma | Study the tube vendors recommendation on the centrifugation (evidence level c) |
| Centrifuge samples before freezing | To prevent gradient effect, take off supernatant and mix gently in a new (siliconized/polypropylene tube) and aliquot in ONE aliquot size (eg, 0.25 ml), avoid “dead volume” (Fig. 5; evidence level a). | Careful handling of supernatant is important to avoid contamination with blood | No data |

(Continued)
studies is dependent, in part, on the assay design (eg, selected antibody combinations, buffers) and can explain some of the discrepant results between studies.

To assess a first selection of possible perianalytical variables on aSyn measurements, we collected CSF samples from subjects with normal-pressure hydrocephalus (NPH) and processed the samples as indicated in the legends of Figures 1–6. The selected perianalytical variables included different collection temperatures and different collection tube materials, gradient collection, the addition of detergent, aliquot volume, time to freeze, and different thawing methods.

**Perianalytical Considerations for CSF aSyn**

Perianalytical factors include all the steps before, around, and after analysis, whereas preanalytical factors are possible confounders that may introduce variability before the actual analysis of a biomarker. Perianalytical factors include in vivo biological factors affecting the subject at the time of sample collection (such as fasting or diurnal and seasonal variations). Little is known about how biological factors (ie, on genetic or neuropathological grounds) may influence aSyn levels in biological fluids. The PD sample cohorts analyzed to date have been too small to draw significant conclusions.47

Other perianalytical confounding factors can occur during sample handling and processing. Such in vitro factors can greatly impact biochemical analysis; it has been reported that approximately 40-60% of observed laboratory errors are because of such preanalytical procedures. 17 This is of particular concern for PD biomarker analyses, because aSyn is highly abundant in the peripheral blood and blood contamination occurs in 14-20% of LP procedures.13,18,19

The following section summarizes the currently known perianalytical variables affecting aSyn assay performance. We recognize and leave open the possibility of including additional variables as data become available in the online version of this article, which appears on the MJFF website (see below).

| TABLE 1. Continued |
|---------------------|
| **CSF aSyn studies** | Rationale and evidence | Blood aSyn studies | Rationale and evidence |
| Process within 60 minutes (optimally 30 minutes) after lumbar puncture | To avoid changes of CSF, ex vivo samples should be processed quickly (Fig. 6; evidence level a). | Same as CSF |
| **Storage and shipment of samples** | | Same as CSF |
| Store all samples after processing in −80°C | | Same as CSF |
| Avoid needless freezing and thawing cycles. Thaw samples on ice before applied | Freezing and thawing have been shown to decrease signal intensity and increase oligomerization (evidence level c). Allow 1 extra freeze/thaw cycle. | Same as CSF |
| **Shipment of samples** | Processed and frozen samples should be shipped on dry ice (evidence level c). | Same as CSF |
| **Preanalytical sample handling** | Incomplete thawed samples could impact results. Figure 6 (evidence level a) | Same as CSF |
| Thaw samples completely on ice | No extra benefit (evidence level a). | Same as CSF |
| Addition of blockers for heterophilic antibodies can be considered, esp. for blood | | Same as CSF |
| **Analytical variables** | Hemoglobin can be retrospectively quantified in frozen samples. Samples with blood contamination (>50/µL) or hemoglobin level > 200 ng/mL should be excluded (evidence level c). | Same as CSF |
| Exclude samples with unknown or high levels of hemoglobin | | |

*a Recommendation without robust multilaboratory investigation.

*b One investigation performed by one group, published or communicated, not independently validated.

*c One investigation (of our own group), not independently validated.

*d Recommendation supported by 2 independent investigations.

*e Recommendation supported by > 2 independent investigations.
Medication

How pharmacotherapy and dopaminergic medication in PD influence CSF αSyn levels is not yet clear. One study described the expression of dopamine receptors in the choroid plexus, where CSF is produced,48 which could influence the homeostasis of CSF components, including αSyn.

Within the Parkinson Progression Marker Initiative (PPMI; for more information: www.ppmi-info.org) of MJFF longitudinal sample analysis, we analyzed whether use of PD medications and the levodopa equivalent daily dosages during a 12-month follow-up period was associated with changes in CSF biomarkers. We found that patients using dopamine agonists (but not dopamine replacements) had lower levels of αSyn than unmedicated patients. There was no obvious relationship with changes in other CSF biomarkers.49 Further analysis of this apparent association using data from subsequent visits in the PPMI study is required to understand the relevance of these preliminary findings.

Diurnal Variation, Fasting

A clearance of metabolites from the brain interstitial space into the CSF occurs during sleep.50 As such, a circadian rhythm of CSF biomarker concentrations is possible. Diurnal variation of biomarkers can be a critical factor in the concentration of specific biochemical compounds that are influenced by potential circadian rhythms. In case of a circadian rhythm, the time of day when the LP/blood draw is performed can be of importance.51 Several AD studies have already characterized the diurnal variation of CSF biomarkers.52 For CSF αSyn, there does not appear to be any diurnal fluctuation, although a potential gradient and/or effect of high sampling volume appears to affect αSyn levels.

Whether food/drink intake influences CSF biomarkers and especially CSF αSyn levels is not known. Very little is known about the influence of diurnal variation/fasting on αSyn levels in other biological fluids (eg, saliva and blood matrices).

Sampling Variables for PD Biomarkers

Type of Needle for Lumbar Puncture

Although headache has been reported to occur less in elderly subjects,53 postpuncture headache impacts acceptance in patients and should be an important consideration for biomarker studies.

Because αSyn is highly abundant in peripheral blood, accidental blood contamination should be avoided. To evaluate the effects of LP practice on accidental blood contamination, hemoglobin levels were assessed in baseline LP samples of 671 subjects (early PD and healthy controls) enrolled in the PPMI study. Hemoglobin levels were compared in the CSF from those subjects who underwent LP using atraumatic needles (n = 535) versus sharp needles (n = 128; for 8 subjects the information was missing): CSF from LPs with atraumatic needles compared with those with traumatic needles showed significantly less hemoglobin contamination (P = 0.014).

Volume (Including Gradient Effect)

After the production of CSF by filtration, CSF leaves the ventricles beneath the cerebellum and permeates the CNS, introducing blood-derived proteins to the CSF in this fashion. Therefore, the composition of CSF taken from the ventricles is different from the CSF tapped by LP around the lumbar spine. The lumbar CSF contains more blood-derived proteins (eg, albumin) than the ventricular CSF. On the other hand, most brain-derived proteins have a decreasing rostrocaudal concentration gradient in the lumbar CSF.7 Therefore, the volume of
CSF taken during an LP can influence the protein concentration. To date, 3 studies have addressed a potential gradient effect on CSF aSyn.

Hong and colleagues analyzed 45 control subjects at 3 different lumbar CSF fractions (<10, 10-15, and 15-20 mL). This work demonstrated a trend toward decreased CSF aSyn in late fractions in all cases, after elimination of samples with elevated hemoglobin levels (indicative of blood contamination, which was most prevalent in the early fraction).18

In a second study, CSF aSyn showed a slight reduction from rostral to caudal (thereby suggesting its neuronal origin) in a small set of gradient samples from patients with NPH.28 As expected, (blood-derived) total protein and albumin increased from rostral to caudal (thereby suggesting its neuronal origin) in a small set of gradient samples from patients with NPH.28

Interestingly, CSF aSyn behaved similarly to neuron-specific enolase (a neuron-enriched protein that, similarly to aSyn, is also expressed at high levels in erythrocytes), whereas the leptomeninges-derived β-trace protein remained stable, as expected.

A third investigation using the BioLegend ELISA assay, with 11 NPH subjects and 7 fractions did not show a gradient effect. To determine the potential effect of a concentration gradient during the collection of high volumes of CSF (up to 35 mL), up to 7 separate samples were prepared per participant over the course of the tap. CSF aSyn levels were determined in each sample aliquot. Figure 1 shows the average value normalized to aliquot 1 of aSyn levels for the 11 participants. The tight accordance of values in the participants indicates that CSF levels of aSyn do not significantly vary widely over the course of sample collection and most importantly do not increase, which supports that CSF aSyn is derived from the central nervous system.

Although in these 3 independent studies there was no significant gradient effect for CSF aSyn, we recommend the collection of a standardized volume of CSF in all future studies because other protein biomarkers might be influenced by the concentration gradient. We therefore recommend collecting 10-15 mL, which provides enough sample volume to compare results between various patient and control groups and also between laboratories. Furthermore, CSF should be gently mixed prior to centrifugation followed by aliquotation. This will not only help improve the validity of current biomarkers, but will also facilitate the discovery of novel CSF biomarkers for which potential gradient effects are unknown. No correlation between the collected volume of CSF and the risk of post-LP headache has been shown.54 For some studies it could therefore be feasible to collect even larger volumes of samples (eg, for PPMI, 18-mL samples were collected).

Sample Processing for PD Biomarkers

**Pipette Tips, Detergent Added to Sample and Types of Tubes for Aliquoting**

It is well known that protein biomarker levels (especially those with high propensity to aggregate or precipitate, such as aSyn and Aβ 1-42) are influenced by the type and material of collection/aliquoting tube used because of nonspecific binding to the tube surface.55-57 Similar findings have been also observed for aSyn oligomers in the CSF (data not shown).

We compared aSyn levels in the CSF from 9 donors using siliconized polypropylene, polypropylene, and polystyrene tubes (Fig. 2). The siliconized polypropylene tubes show, in some samples, higher levels of aSyn, but overall in this small sample set the differences were not significant. Nevertheless, the use of tubes composed of glass or polystyrene materials with known high protein-binding capacity58 (not tested here) for the collection and storage of samples should be avoided.

It has to be noted that the same type of collection tubes from different vendors may also affect apparent concentrations of some biomarkers. A comparison among collection tubes from Bio Plas, Inc. (San Rafael, CA; 4200SLS, 0.5 mL, screw cap), Eppendorf AG (Hamburg, Germany; Z666491, 0.5 mL, snap cap), Fisher Scientific, Inc. (Waltham, MA; 02-681-311, 0.6 mL, snap cap), and Sarstedt AG (Nürnbrecht, Germany; 72.704.600, 0.5 mL, snap cap) revealed variability of less than 20% (data not shown). On the other hand, unpublished preliminary data show for oligomeric and phosphorylated forms of aSyn (pS129), significant variability between different sources of polypolypropylene tubes (El-Agnaf et al., unpublished data).

There are no studies that directly compare effects of siliconized pipette tips. However, adsorption of analytes can occur within seconds and may differ between CSF and blood. Because the material of tubes and tips may also vary between vendors, we recommend selecting one source for all collection steps and experiments. Storage tubes should also lock safely (even in the freezer at −80°C) to avoid evaporation. In addition, treatment of the tube surface (or the sample itself) with Tween-20 reduced Aβ4259 and aSyn adsorption (El-Agnaf et al, unpublished data).
We independently investigated the effect of non-ionic detergents added before analysis on aSyn measurement from 5 subjects. Thus, CSF aliquots from each of 5 subjects were treated with Tween-20 to 0.1% or 0.05%, NP-40 to 0.1% or 0.05%, or blank polybutylene succinate (PBS; Fig. 3). There was a trend toward a decreased detectable level of aSyn with Tween 20 having more pronounced effects than NP-40, but the magnitude of the effect of detergent varies among individual samples.

Data on collection material and treatment seem sparse and difficult to interpret or translate into specific recommendations to make a proper recommendation at this time. Nevertheless, we advocate collection and aliquoting of biological fluids for aSyn measurements using polypropylene or siliconized tubes. No further recommendations for the addition of chemicals to the collection and/or storage of samples can be given at this point without further investigations.

**Centrifugation Condition**

Biological fluids should be centrifuged after collection and before freezing: Even CSF normally contains up to 5 white cells/µL and should therefore be centrifuged quickly (e.g., within 1 hour) before cell lysis occurs. Additional contamination of cells and extracellular blood fluid by accidental blood contamination through LP can be decreased by centrifugation. Centrifugation speed, time, and temperature are critical variables and should be standardized within a study. For example, abundant aSyn protein is found in platelets, which can be activated by centrifugation at low temperatures. Moreover, variable removal of platelets from plasma could contribute to variability in aSyn plasma measures. Centrifugation will improve homogeneity of the samples before analysis.

As centrifugation generates density gradients, we recommend the transfer of the supernatant to a new tube that is gently mixed by inverting the tube 3 times prior to aliquoting the biospecimen.

No recommendations concerning centrifugation time, speed, or temperature can be given at this time, as a systematic investigation of their influence on aSyn levels has not been performed.

**Volume of Aliquots**

The possible adsorption of protein to surface walls of storage tubes and evaporation of sample fluid during storage led to the investigation of effects of different aliquot volumes on aSyn levels.

CSF was obtained from 6 study participants (Fig. 4). To determine the potential effect of storage aliquot volume, multiple aliquots were prepared at volumes of 250, 500, and 1000 µL. CSF aSyn levels were determined in 5 independent aliquots at each volume from each of the 6 subjects. The results indicated that aliquot volume does not affect reproducibility of aSyn measurement.

**Routine Analysis Accompanying Biofluid Collection for Research Purposes**

LPs in symptomatic subjects should also include analysis of acute/inflammatory processes of the CNS by analysis of the white and red blood cell counts. Total protein or albumin should also be measured (e.g., by nephelometry).

The person carrying out the LP should document any artificial blood contamination or macroscopically obvious changes from the normal color of CSF.

Red blood cell counts provide the best measure for evaluating blood contamination (if performed within 30 minutes after lumbar puncture and before lysis of erythrocytes occurs). Quantification of hemoglobin might be an alternative to the red blood cell count.

It is optimal for a serum sample to be taken around the time of CSF collection, and analysis of the serum/CSF ratios of albumin, immunoglobin G, immunoglobin M, and immunoglobin A is recommended to provide information on the CSF dynamic, the blood/CSF...
barrier, which can alter the CSF proteome, as well as intrathecal inflammation.

If consent is available, we also recommend freezing some blood (treated with a nuclease inhibitor such as ethylenediaminetetraacetic acid) for eventual DNA analysis.

In addition to autoantibodies and hemoglobin, elevated levels of other CSF proteins should be considered as potential modifiers. For example, increased CSF tau levels have been shown to correlate with high CSF total aSyn in several independent studies.\(^\text{30,61,62}\) CSF tau protein was shown to potentially influence the clinical associations of CSF total aSyn, for example, by masking CSF aSyn reduction in PD. It was suggested that CSF aSyn levels be corrected for CSF tau protein,\(^\text{61}\) but we think more research into the mechanism underlying the correlation is needed before such a recommendation should be made.

**Time Delay Between Fluid Collection, Aliquoting, and Storage**

Time delay of processing and storing has been reported to alter the proteome, especially of serum and plasma proteins,\(^\text{63,64}\) but it can also alter the CSF proteome.\(^\text{65}\) CSF aSyn levels were reduced after 4 days of storage at 4°C in one study.\(^\text{44}\)

In a second study, the effect of sample handling delays was evaluated (Fig. 5). CSF was collected from 6 individuals. Samples were kept on ice (2°C–8°C) or at ambient conditions for 20 minutes to 48 hours prior to freezing. Although individual differences occurred, there was no consistent trend for changes of aSyn levels by storage time and/or temperature.

**Storage and Shipment of Samples for PD Biomarkers**

**Shipment and Freeze/Thaw Cycles**

Freezing biological fluids affects protein stability.\(^\text{66}\) Freeze-thaw cycles should be avoided for all biological fluids. A proteomic study found significant alteration of protein profiles after several freeze/thaw cycles.\(^\text{65}\) Instability was also reported following dry-ice storage/shipment and different ways of thawing, because of acidification and pH shift,\(^\text{67}\) which need to be taken into account. For total aSyn, concentrations have been shown to decrease up to 50% after 6 freeze/thaw cycles.\(^\text{44}\) As a consequence, we recommend reporting the number of freeze/thaw cycles as a confounding factor or using it as a covariate in the data analysis.

**Preanalytical Sample Handling for PD Biomarkers**

**Sample Handling Before Analysis**

Thawing methods may impact analyses and should be undertaken carefully and reproducibly. It is important that a frozen sample is completely thawed before utilization.

The effect of thawing methods was evaluated in 4 independent CSF samples using a commercially available ELISA. Data shown in Figure 6 are the average +1 standard deviation for triplicate measurements (3 independent aliquots for each condition). The magnitude of the effect of thawing methods varied...
Among individual samples. For Aβ peptides the trend was that signal increases with higher temperatures.\(^6\) For αSyn, no definite conclusion could be drawn.

**Analytical Variables to Consider for PD Biomarkers**

**Adding of Blockers for Heterophilic Antibodies**

The addition of blockers for heterophilic antibodies (HAs) to sample diluents in antibody-based assays for blood biomarkers is recommended. HAs are antibodies in the sample capable of binding to animal immunoglobulins, and they interfere with the reaction of animal-derived antibodies that comprise all immunoassays. HAs generally produce false-positive signals through cross-binding of capture and reporter antibodies used in enzyme-linked immunoassays (ELISAs). Recently, it has been reported that the presence of HAs is a major confounder in αSyn ELISAs. HA interference was more prominent in plasma than the CSF.\(^6\) On elimination of HA interference in the plasma, αSyn levels were significantly lower in the PD group than in the control group. Therefore, decreasing or even eliminating interference from HAs should always be considered, especially from blood samples.

αSyn levels in biological fluids depend on the assay used, as different antibodies bind to different epitopes, and different calibration standards used affect the levels observed within an assay. The same is also true for assays measuring the oligomeric and phosphorylated forms of αSyn. Although the majority of studies have focused on αSyn phosphorylated at S129, because of the readily available antibodies, the presence and contribution of other posttranslationally modified forms of the protein cannot be ruled out and should not be overlooked.

Because of the abundance of αSyn in peripheral blood and red blood cells, samples with known accidental blood contamination should be analyzed carefully. In one study, the significance level did not change significantly when comparing the statistics with and without the samples with known hemoglobin levels above 200 ng/mL in CSF.\(^25\) Nevertheless, the current recommendation is that samples with known accidental blood contamination (by erythrocyte count > 50/μl) should be excluded and/or that hemoglobin should be quantified and samples with levels higher than 200 ng/mL should be excluded. Further studies are needed to determine the proper exclusion criteria. Cutoff points may be different for different assay platforms; more sensitive assays may require lower cutoffs than others.

CSF flow is known to decrease with age and results in elevation of total protein in the CSF.\(^7\) In addition, leakage of the blood-CSF barrier becomes more obvious in older subjects. Therefore, the analysis of the ratio of αSyn to total protein could be of interest and should be examined further in future studies.\(^7\)

With the emergence of assays for αSyn subspecies, it is recommended to always quantify total αSyn in the sample and also calculate ratios of the subspecies to the total αSyn concentration. If a particular αSyn subspecies is CNS specific, its concentration will not be influenced by, for example, blood contamination (provided the contamination is not diluting the CSF sample).

**Calibrator Selection in α-Synuclein Immunoassays**

An immunoassay can be used as an absolute quantitation if a reference material is available and immunoassay calibrator values are traceable to this material.\(^7\) The current αSyn immunoassays are relative assays because no reference material is available. Each manufacturer uses an internal standard for quantitation of the calibrators. Consequently, αSyn concentrations in biological samples will be different when results from several assays are compared using the same samples. However, the αSyn assays may be able to quantify the same protein isoform, resulting in a good correlation between values generated in the assays with different antibody pairs or with an orthogonal methodology (eg, mass spectrometry).\(^7\)

The selection of the biomaterial for calibration (eg, native protein, recombinant protein, synthetic peptide, synthetic/semisynthetic proteins), the matrix in which calibrators are formulated, and the concentration range of the calibrators are all driven by the analytical performance characteristics of immunoassays, including parallelism, precision, and the working range of the assay. For example, the use of synthetic peptides covering the antibody epitopes of the critical raw materials is justified if the analytical performance can be confirmed or if it improves test kit stability compared with the native protein. The integration of an artificial matrix (eg, PBS containing stabilizers) can further improve the long-term stability and lot consistency of the kit. The number and level of calibrators, and the curve fit parameters have a direct impact on the precision of the assay.\(^7\)\(^,\)\(^7\) Figure 7a,b shows the comparison of 4 calibrators in an immunoassay. Comparison of calibrators from different manufacturers, and with an analytical methodology, will help to better understand the outcome of published studies.

To compare concentrations across different assays, different calibrators need to be compared and optimally quantified by an antibody-free reference method (eg, by mass spectrometry). This work is still ongoing.
General Remarks

Because of the multiple potential variations in sample handling and storage, as well as variables in tissue collection and storage standards at different institutions, investigators performing analyses with cohorts that have been collected at different centers must be cautious in analyzing the results of biomarker studies. We recommend a detailed comparison of the respective standard operating procedures (SOPs). Ideally, one harmonized SOP should be used across centers in multicenter studies. For studies with larger recruitment numbers, we recommend that multicenter studies use the same SOP. In addition, a central repository for sample storage (after freezing at \(-80^\circ C\) and a central analysis of marker candidates) is recommended to minimize variation.

To compare results from different groups or to reproduce data and further improve the protocol, it is important that critical information and parameters relating to each part of the protocol be documented and reported.

Given the presence of multiple pathologies in neurodegenerative diseases and downstream pathophysiology, it is unavoidable that investigators will study total aSyn levels or aSyn isoforms with other markers, such as A\(\beta\)42, tau, synaptic proteins, or neuroinflammatory markers. Optimization of collection and storage procedures for CSF aSyn might not be the optimal protocol for the other analytes of interest. Investigators will have to analyze in advance, the perianalytical variables affecting their independent analytes before conducting studies and, where possible, implementing previously defined cutoff values for a specific analyte.

Most of the variables discussed here have not been independently investigated in larger sample sets for levels of total aSyn. Therefore, a complete and concrete set of recommendations cannot be made yet. However, awareness of the factors that could affect...
observed concentration of biomarkers is important for future research on PD biomarkers (Table 2).

Although we have focused on total aSyn immunoassays in this article, we plan to continue to work and closely coordinate with other research groups that are pioneering novel mass spectrometry and aSyn aggregation-based methods to enable the development of accurate assays that allow the quantification of multiple forms of aSyn in biological fluids.

We anticipate adding regular updates with insights emerging from further investigations and more precise recommendations (including perianalytical variables on the newer assays as mentioned above) and newer technologies online at www.michaeljfox.org/scientific-publications.

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**Table 2. Summary of known confounder and unknown facts and list of recommendations that need to be done**

| Known preanalytical confounding factors | Recommendations |
|----------------------------------------|-----------------|
| Blood contamination confounds aSyn measurements | Determine the hemoglobin cutoff levels for aSyn measurement in larger cohorts. |
| | Develop better assays for hemoglobin and/or more accurate markers for artificial blood contamination needs to be determined. |
| | Establish a uniform standard operating procedure (SOP) for sample collection. |

| Poorly defined variables or undefined variables | Recommendations |
|-----------------------------------------------|-----------------|
| Centrifugation: time, speed and temperature | Conduct a systematic analysis of the optimal centrifugation time, speed, and temperature. |
| | Determine which freeze/thaw methods and procedures maintain aSyn levels and stability. |
| | Assess the impact of repeated freeze-thaw cycles on aSyn levels and stability on fresh samples and samples that have been kept for long periods. |
| | Establish a uniform standard operating procedure (SOP) for sample handling and storage. |
| Storage: freeze/thaw methods and cycles | Purity of the calibrators should be verified using SDS-PAGE and mass spectrometry. |
| | Use more precise methods to determine and/or verify the concentration of the calibrators (eg, amino acid analysis [AAA] or mass spectrometry). |
| | Conduct unbiased mass spectrometry studies to assess and quantify the diverse aSyn species in the biological fluids. |
| | Determine which species are pathophysiologically relevant or can serve as biomarkers. |
| Calibrators: Purity, concentration and stability. | Document comorbidities, comedication, genetics, PD phenotype in larger cohorts and correlate with measurements. |

| What is being measured? | Capturing the diversity of the aSyn CSF proteome |
|-------------------------|-------------------------------------------------|
| Patient variables: medication, comorbidities, genetics and PD phenotype | |
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