Successful treatment of sirolimus in a Chinese patient with refractory LN and APS: a case report

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Abstract: It has been reported that the mammalian target of rapamycin (mTOR) pathway is involved in the pathogenesis of systemic lupus erythematosus (SLE), and increasing evidence has shown the effect of mTOR-targeted therapies with sirolimus in SLE. The objective of this study was to report the successful treatment of sirolimus in a Chinese patient with refractory lupus nephritis (LN) and anti-phospholipid antibody syndrome (APS). A 44-year-old female with a previous diagnosis of autoimmune hemolytic anemia (AIHA) and APS secondary to SLE presented with lupus nephritis refractory to cyclophosphamide and mycophenolate. Renal biopsy met the criteria of WHO class III LN complicated by acute tubular injury and immunofluorescence confirmed the activation of the mTOR pathway. Treatment with the mTOR inhibitor sirolimus was initiated in this patient. Complete remission (CR) was achieved after 6 months, and flare-free remission was maintained for the next 3.5 years. The literature on the efficacy of sirolimus in patients with LN was reviewed. Although the available evidence is limited to retrospective studies with small sample sizes, sirolimus appeared to be efficacious in some patients with refractory LN. Well-designed clinical trials are warranted, and pathology-guided precision medicine might assist in guiding physicians’ treatment decisions.

Keywords: antiphospholipid antibody syndrome (APS), lupus nephritis (LN), mammalian target of rapamycin (mTOR), refractory lupus nephritis, sirolimus, systemic lupus erythematosus (SLE)

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Introduction

Systemic lupus erythematosus (SLE) is a systemic autoimmune disease. Lupus nephritis (LN) is one of the most common and severe manifestations of SLE. Despite extensive first-line treatment with induction therapy, there is still some proportion of LN patients who do not respond to induction therapy, this condition is sometimes referred to as refractory LN. Patients with refractory LN are at increased risk of developing poorer outcomes, especially in end-stage renal disease (ESRD); however, management of these patients remains a challenge.1 The current treatment options recommended by European League Against Rheumatism (EULAR/ERA-EDTA) for these patients include high-dose glucocorticoids and alternating induction treatments between cyclophosphamide (CTX) and mycophenolate acid derivates. As reported, B cell-targeted therapy, a multitarget regimen, plasma exchange (PLEX) or immunoadsorption (IAS) and stem cell transplantation could be considered.2

Increasing evidence regarding the use of the mTOR inhibitor rapamycin (also called sirolimus) as a new potential treatment regimen for LN has been gained from animal models since 20083,4 and patient trials since 2009.5–9 A single-arm, open-label, phase 1/2 trial and a real-world study confirmed the safety and efficacy of oral sirolimus in the treatment of SLE.10,11

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Retrospective studies reported LN patients who responded to sirolimus as an induction or maintenance therapy. Additionally, in lupus nephritis (class III or IV) patients with secondary antiphospholipid syndrome nephropathy (APSN), marked activation of the mTORC pathway was found in the vascular endothelial cells of renal tissue. Compared with the control group, sirolimus treatment protected patients from renal failure in patients with APSN who had already received transplantation (11% (3/27) vs. 70% (7/10), \( p=0.001 \)). In the first case of APSN in a non-transplant patient treated with sirolimus, inhibition of the AKT/mTORC pathway were observed in a repeat kidney biopsy.13

Here, we report a Chinese SLE patient with a diagnosis of both refractory LN and secondary anti-phospholipid antibody syndrome (APS) who was successfully treated with sirolimus. Endothelial mTORC activation in the kidney was indicated by immunofluorescence. Literature on the efficacy of sirolimus in patients with LN was also reviewed.

**Case report**

A 44-year-old woman was admitted to the hospital because of refractory proteinuria for two years. She was diagnosed with autoimmune hemolytic anemia (AIHA) secondary to systemic lupus erythematosus (SLE) 22 years ago. The disease remained in remission after treatment with prednisone. There was a flare of AIHA 4 years ago when she was giving birth to a baby. Oral prednisone (0.5 mg/kg/d) and hydroxychloroquine were prescribed to her. Soon after that, she experienced a deep venous thrombosis in her lower right leg without other precipitating factors. Warfarin, a vitamin K antagonist, was administered to the patient, and the prothrombin time and international normalized ratio were monitored. In 2015, she presented to our department after an upper respiratory infection with proteinuria. The laboratory examination revealed that her 24-hour urine protein (24hUP) was 12 g/d with a normal creatinine level. The serologic antibodies were positive for ANA, anti-nucleosome antibody, anti-double-stranded DNA, as well as a high level of IgG type of anti-cardiolipin antibody and anti-\( \beta_2 \)-glycoprotein 1. However, she had no history of miscarriages or thrombocytopenia. A diagnosis of ‘systemic lupus erythematosus, lupus nephritis and the antiphospholipid syndrome’ was made, and 1 mg/kg/d prednisone was prescribed to her. However, she began to have symptoms of progressive systemic edema, oliguria, severe hypertension and shortness of breath. Repeated examination of 24hUP was 20 g/d with an elevated creatinine level of 126µmol/L and albumin level of 16 g/L. In addition, ultrasound showed a new venous thrombosis in her left femoral vein. She received a high dose of methylprednisolone and hydroxychloroquine followed by 0.75 g/m² body surface area cyclophosphamide once (0.4 g) as well as continuous renal replacement therapy. She did not receive renal biopsy due to anticoagulant therapy. Her symptoms and decreased urine output resolved, and renal replacement therapy was discontinued, but an impaired liver function limited the use of cyclophosphamide. Then, she tried 1.5 g/d mycophenolate mofetil for two months and another round of cyclophosphamide for another four months (2.2 g), but she experienced recurrent infections, including soft tissue infection in her right lower limb, baric abscess and pneumonia. Her 24hUP remained more than 10 g/d. Therefore, she received a renal biopsy after suspension of anticoagulants. The pathology revealed lupus nephritis Class III (A), with acute tubular injury.

Given the previous evidence of sirolimus treatment in patients with active LN and APSN, we tried to explore whether mTORC was activated and whether an mTORC inhibitor could be effective in this case since both refractory LN and secondary APS were present. We then performed immunofluorescence and observed that S6RP and AKT (Ser473) were activated in renal vascular endothelial cells that expressed CD105, indicating the activation of the mTORC pathway (Figure 1). Sirolimus 2 mg/d was administered to her, as well as warfarin. Her 24hUP resolved (<0.5 g) after 6 months. After 1 year, sirolimus was tapered to 1 mg/d for another half year and then discontinued. Her lupus nephritis remained in remission with a low dose of prednisone (lowest 2.5 mg/d) even after discontinuation of sirolimus for another 3.5 years. During the period of sirolimus, she had two courses of upper respiratory infection, and no serious adverse events were reported. The main clinical parameters and therapeutic interventions are depicted in Figure 2.

**Methods**

We have de-identified all the details of this patient. This case was reported according to the
Paraffin-embedded renal sections were incubated with P-AKT (Ser473) antibodies, anti-P-S6RP antibodies, and anti-CD105 antibodies after appropriate antigen retrieval. The primary antibodies were revealed with the appropriate FITC- or AF568-conjugated secondary antibodies. Immunofluorescence staining was visualized using a Zeiss LSM 800 confocal microscope. The patient’s informed consent to treatment was obtained. Institutional review board approval by the Ethics Committee of Renji Hospital was waived for case report. We have de-identified all the details of this patient.

**Discussion**

In this case of refractory Class III LN and secondary APS, although the histology of the renal biopsy was not a typical APSN, activation of the mTORC pathway in kidney endothelial cells was found. Therefore, mTORC-targeted monotherapy was administered to the patient accordingly, the patient attaining and maintaining complete remission. To the best of our knowledge, this is the first case of refractory LN accompanied by APS guided by biopsy-proven activation of the mTORC pathway effectively treated with sirolimus as a monotherapy.

The treatment effect of sirolimus in LN can be multifaceted. First, it has been reported that IgG antibodies from patients with APS can cause mTORC activation in endothelial cells, which indicates that the treatment outcomes might partially be attributed to additional regulation of vascular dysfunction. Second, mTOR is crucial for the proliferation and differentiation regulation of immune cells. In a phase 1/2 trial, sirolimus treatment for 12 months expanded regulatory T cells and CD8+ memory T cell populations and inhibited interleukin-4 and interleukin-17 production.

CARE guidelines (checklist in supplementary materials). Paraffin-embedded renal sections were incubated with P-AKT (Ser473) antibodies, anti-P-S6RP antibodies, and anti-CD105 antibodies after appropriate antigen retrieval. The primary antibodies were revealed with the appropriate FITC- or AF568-conjugated secondary antibodies. Immunofluorescence staining was visualized using a Zeiss LSM 800 confocal microscope. The patient’s informed consent to treatment was obtained. Institutional review board approval by the Ethics Committee of Renji Hospital was waived for case report. We have de-identified all the details of this patient.

**Figure 1.** Renal biopsy proved mTORC pathway activation in endothelial cells from an LN patient with APS. The confocal microscopy on kidney-biopsy specimens showed positive phosphorylated ribosomal protein S6 (S6RP red), AKT phosphorylated on serine 473 (Ser473 red) and the endothelial cell marker CD105 (green) with merge image (yellow) on the bottom. These demonstrated the recruitment of mTORC1 and mTORC2, respectively, in vascular endothelial cells. Nuclei are blue (Dapi stain).
by CD4 + and CD4-CD8- double-negative T cells in SLE patients. Studies have shown that rapamycin can effectively inhibit the pathogenic memory B cells from SLE. Moreover, rapamycin can protect against podocyte injury by inducing autophagy.

Systemic literature review of the treatment of sirolimus in LN was performed and 5 publications were identified. The earliest report about the effect of sirolimus in LN was from Changi Hospital, Singapore. A 34-year-old Chinese woman with SLE/APS experienced a disease flare manifesting as LN, and she was unresponsive to tacrolimus, cyclosporin, or mycophenolate. After sirolimus was administered, and complete remission was achieved with a reduction in the 24hUP from 1.29 g/d to 0.3 g/d.

Two retrospective studies about the effect of sirolimus from Queen Mary Hospital, Hong Kong were quite encouraging. In 2012, they reported 3 patients with active LN who received sirolimus as induction therapy achieving renal response and another 2 LN patients with sirolimus as maintenance therapy attaining flare-free status for 18 months. In 2018, the same group reported long-term data about sirolimus in 16 LN patients, with a mean follow-up of 45 months, and only 1 patient had a renal flare.

Another real-world study from Peking Union Medical College Hospital (PUMCH) showed discrepant results. Among 17 active LN patients who were treated with sirolimus, 7 had a renal response by 3 to 9 months, whereas another 10 patients experienced worsening of proteinuria. The discrepancy in the treatment response might be explained by the different inclusion criteria. PUMCH enrolled refractory patients with either intolerance or a poor response to the previous immunosuppressants.
Recently, a Caucasian LN cohort reported 12 patients taking sirolimus with a mean follow-up of 35.5 months. All the patients had previously received first-line induction therapy but transitioned to sirolimus because of renal flare or intolerance. At 12 months and 24 months, 4 (33%) and 7 (58%) out of 12 patients achieved CR, respectively. Another 5 patients had no response to sirolimus.

There are some limitations of this study. First, this is a retrospective case report. Second, a thorough overview of pathology was not feasible due to a lack of electron microscopic manifestations. Third, a repeat kidney biopsy could not be conducted according to the patient’s will to confirm the efficacy of sirolimus.

In conclusion, sirolimus might be a promising remedy for unresponsive proliferative lupus nephritis. Further clinical studies with a larger population are needed. Molecular pathology may guide personalized treatment.

Author contributions
Danting Zhang: Conceptualization; Data curation; Visualization; Writing – original draft
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Shuang Ye: Funding acquisition; Project administration; Supervision; Writing – review & editing

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Supplemental material
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