COVID-19 in Kerala: Health Index Theory

Zameer Shervani

ABSTRACT

The recent surge in COVID-19 cases in the state of Kerala in India has been studied in this article. The dynamics of virus spread, sero-survey results, health index, and herd immunity concept have been applied. Kerala, with the highest score on the health index among all the states of India, is most susceptible to the SARS-CoV-2 virus. Kerala has nearly the same number of cases as Germany. The “Health Index Theory” established in this review article first has explained well the surge in the cases. Briefly, the concept of COVID-19 herd immunity has also been tested first.

Keywords: Kerala COVID-19, Sero-survey, Health index theory, Herd immunity.

I. INTRODUCTION

The origin of the COVID-19 pandemic, infection aspect, and testing and treatment have been described in recently published articles [1]-[3]. In article [3], the initial attempts and success of COVID-19 vaccines have been compared. Japanese researchers [4] employed the world’s fastest supercomputer “Fugaku” to pick suitable drugs from the existing 2128 drugs’ stock for COVID-19 treatment. Using the “Fugaku” supercomputer, “3 Cs” protocols (avoid closed spaces, crowded places, and close contacts) were established to limit the pandemic. Inhaling a large viral load of airborne COVID-19 virus is avoided if 3 Cs are observed. The SARS-CoV-2 reverse-zoonotic transmission from humans to household pets has been established [5]. Though, the zoonotic transmission from household pets to humans was not noticed except in mink. However, it was suggested to isolate and quarantine pets similar to humans when they tested positive or developed symptoms. Cats and ferrets were susceptible to the SARS-CoV-2 virus. Abandoning the pets roaming in the neighbourhood will increase the chances of the virus spreading. Thus, strong pets and animal managment has been recommended. The infectious aerosol nature of SARS-CoV-2 virus was confirmed when an infected bus passenger passed the virus to other fellow passengers in a bus [6] that had air circulating air conditioning working. The pattern of the COVID-19 virus outbreak on the Diamond Princess cruise ship established the role of poor ventilation in virus spread [7].

Authors in a review article [2] have discussed the viability and sanitation methods of disinfecting the virus in the air and on the surfaces. As a higher viral load was detected in the intensive care unit of the hospital treating COVID-19 patients, strong personal protective equipment is necessary for doctors and health care staff. In most populous country India, the dynamics of the virus spread till October 2020 has been described [5]. Here, the recent update of COVID-19 infections around the globe has been described briefly. In northern hemisphere, the second wave of coronavirus that began in fall and continued till winter was witnessed as the people stayed indoors and caused the risk of virus transmission. In November alone, nearly 105,000 Europeans died of COVID-19 in 31 countries [8] in the second wave of infection. The number of people who died from novel coronavirus across Europe passed the 900,000 mark on March 16, 2021 [9]. Across the US, the number of daily deaths reported increased substantially in November 2020. In the US, the daily death toll passed 3,000 which is the highest ever since the onset of the pandemic [10]. The number of cases in India after reaching a peak 97,859 cases on September 16, 2020, showed a continuous decline. India registered [11] the lowest 8,635 cases in a day on February 2, 2021. The number of cases in India started [12] increasing again in the second wave, particularly in four states of Punjab, Maharashtra, Kerala, and Madhya Pradesh at the end of winter and start of spring. India recorded [13] the highest 35,886 fresh COVID-19 infections in 102 days on March 17 in the second wave of infection. The rise in coronavirus cases believed due to the discovery of several new variants of COVID-19 virus that is more contagious than the existing variants. But there is no scientific report to establish the new variant theory behind the surge, research is awaited. Recently, the state of Kerala on February 3 surpassed [14] Karnataka and became the second most affected state after Maharashtra in terms of the total number of cases. Currently, Kerala has 45% of India’s total active cases. The current rise in coronavirus cases in Kerala is surprising as the state was successful in controlling the outbreak in the initial days of the pandemic. Also, because of its better health infrastructure, the viral caseload must have been the lowest among all the states, but it did not show such a pattern. However, the lowest case fatality rate (CFR) of Kerala has justified the better healthcare system of the state. The “Health Index Theory” put forward in this
review article first established scientifically the reason for most coronavirus cases in Kerala compared to other states. The article is useful to contain the pandemic.

II. RESULTS AND DISCUSSION

A. Caseload Analysis and Dynamics of Virus Spread

During the initial stage, due to Kerala’s strong COVID-19 specific measures (testing, tracking and tracing, quarantine, isolating, cluster monitoring, and stopping super spreading), the cases remained low. The state of Kerala was considered a ‘success model’ for the rest of the country. For example, on September 16, Kerala’s caseload was just 3.4% compared to Maharashtra’s 23.6% and the rest of India 73.0%, as shown in Fig. 1a. However, on February 12, 2021; the daily caseload of Kerala increased to 48%, the highest in India, followed by Maharashtra (23%) and the remaining 29% were from the rest of India as shown in the pie-chart (Fig. 1b). In September, when cases were surging fast in the entire country, Kerala successfully contained the pandemic. But in the third week of November, it surpassed the caseload of Uttar Pradesh and Delhi. Later, in the first week of February 2021, it overtook Tamil Nadu, Andhra Pradesh, and Karnataka.

![Comparison of caseload of Kerala, Maharashtra, and rest of India.](image1)

B. Sero-survey Data

The three sero-survey data of India and Kerala have been compared in Fig. 2. The first survey conducted in May 2020 showed that 0.33 and 0.73% population of Kerala and India, respectively developed antibodies. The results of the second (August) sero-survey were that 0.8% (Kerala) and 6.6% (India) population were infected. The data of the third (December) survey shows that the antibodies were detected only in 11.6% of Kerala’s population while the virus had infected 21.0% of Indian population. The sero-survey data show that the coronavirus did not spread in Kerala as widely as in the rest of India till January 2021 [14]. The transmission in Kerala became faster than the rest of India as the antibodies prevalence in Kerala was lesser (11.6%) compared to India (21.0%). On February 3, Kerala surpassed Karnataka and became the state with the highest number of infections among Karnataka, Andhra Pradesh, Tamil Nadu, Delhi, and Uttar Pradesh. Kerala is now second to Maharashtra in terms of the total number of cases. In terms of active cases, Kerala has the highest number among all the states of India. Kerala alone accounts for 45% of India’s total active cases followed by Maharashtra (26%) [14].

![Comparison of sero-survey data of Kerala and India.](image2)

C. Health Index Theory

III. The Health Index data of different states of India, prepared by the World Bank and Niti Aayog, have been shown in Fig. 3. Kerala is the best state in healthcare, while Uttar Pradesh ranked at the bottom [15]. Kerala’s large infection rate can be explained by “Health Index Theory”, the people living in higher health index state, better health infrastructure, have less immunity to pathogens including COVID-19 virus. On the other hand, people living in poor health infrastructure states are immune to several diseases and so to the SARS-CoV-2 virus. Because of the above health index theory, Kerala has the highest COVID-19 cases per million and Uttar Pradesh has the lowest (Figure 4). The hygiene hypothesis [16] stating that the people living in poor hygiene develop immunity for diseases and so for SARS-CoV-2 virus, supports the “Health Index Theory” proposed in this article. However, the hygienic conditions and improved health infrastructure keep the population healthy and increase the life expectancy as can be seen in Figure 5. Kerala has the longest life expectancy while Uttar Pradesh has the shortest [17]. Due to better healthcare, the CFR of Kerala is the lowest (Figure 6) while Uttar Pradesh, with poor health infrastructure, has the second highest [18]. Figure 7 compares COVID-19 cases in Germany, Kerala, and France. The number of cases in Kerala was nearly the same as in Germany. However, the cases in France were...
more. The higher infection rate in Kerala comparable to the situation in Europe further supported the “Health Index Theory”.

**D. The Concept of Herd Immunity**

COVID-19 infections in Kerala are more (Fig. 4) compared to the other states of India and the same (Fig. 7) as in Germany. The dynamics of the virus spread in Kerala is also similar to Europe (unpublished data). As per the Health Index Theory, people in developed countries (Europe and North America) are more susceptible to the SARS-CoV-2 virus. Therefore, cases in France and Germany are more than in India except in Kerala. The sero-survey results [19] of October 1, 2020, showed that 45% of the slums and 18% of the buildings’ population in Mumbai have been exposed to the virus. The slum dwellers have reached herd immunity. So, recently in Mumbai, the virus is spreading faster in buildings and fewer or no infections in slums [20]. Similarly, in Kerala, fewer people have been infected than in other parts of the country, so virus transmission is more in Kerala than rest of the states. The relationship between new infection and sero-survey can be explained better by taking the example of coronavirus cases in Dharavi, the slums of Mumbai. Dharavi was once the major COVID-19 hotspot due to the dense population. On December 25, 2020, Dharavi reported zero cases [21]. Dharavi slums became COVID-19 free due to the herd immunity developed in the dwellers. The results of the sero-survey in Dharavi will likely show 70-80% antibodies prevalence. A survey conducted in October in the slums of Cuffe Parade, another slum also in Mumbai, showed that 75% population developed antibodies. Dharavi slums again on January 22, 2021, reported [22] no coronavirus cases for the second time, established the concept of herd immunity in the slums keeping the population safe from new infection. The recent surge in Kerala is due to because the virus did not penetrate as fast and deeper as it happened in other parts of the country which is happening now and thus resulting in a higher number of cases. This is supported by the sero-survey results as only 11.6% population was exposed to the virus in Kerala compared to 21.5% in the rest of the country. Also, the population of Kerala is more susceptible to the infection as compared to the other states of India, as explained by health index theory. Regarding the argument that the new coronavirus strains is responsible for the surge in the Kerala state, it has not been established yet. If the new strain is the cause, then a similar unexpected increase in other adjacent states could have been noticed. Research is needed to prove if the new more contagious mutation is the reason for the surge. The demographic reasons of high population density, elderly population, and prevalence of comorbidity and obesity may contribute to higher infection rate but only to a very small extent. There is very little evidence that ‘letting their guard down’ in Kerala is the reason for the increase in new cases.
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