Gold nanoparticle coatings as efficient adenovirus carriers to non-infectable stem cells

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Mesenchymal stem cells (MSCs) are adult pluripotent cells with the plasticity to be converted into different cell types. Their self-renewal capacity, relative ease of isolation, expansion and inherent migration to tumors, make them perfect candidates for cell therapy against cancer. However, MSCs are notoriously refractory to adenoviral infection, mainly because CAR (Coxsackie-Adenovirus Receptor) expression is absent or downregulated. Over the last years, nanoparticles have attracted a great deal of attention as potential vehicle candidates for gene delivery, but with limited effects on their own. Our data showed that the use of positively charged 14 nm gold nanoparticles either functionalized with arginine–glycine–aspartate (RGD) motif or not, increases the efficiency of adenovirus infection in comparison to commercial reagents without altering cell viability or cell phenotype. This system represents a simple, efficient and safe method for the transduction of MSCs, being attractive for cancer gene and cell therapies.

Introduction

Efficient gene transfer is a topic of interest in various research fields, not only for basic analysis of function, but also for gene and cell therapy.1–3 Successful gene therapy challenge relies on the fabrication of suitable delivery carriers that can efficiently deliver specific genes to the desired cells with minimum cytotoxicity to the target cells.4–6 Among the commercial agents available, the most frequently used for non-viral gene delivery are based on lipid and cationic polymers, as they are able to interact both with the negatively charged systems and the external part of the cellular membrane, inducing the adsorption and internalization of the system, and by doing so, increasing the efficiency of gene transfer. Some examples of these commercial agents are Lipofectamine®2000, FuGENE® and JetPEI®.

As viruses can be manipulated with relative ease and have the ability to efficiently deliver their genomes to the nucleus of many different cell types and organs, they are good candidates as gene transfer vectors. In particular, adenovirus (Ad) is widely used for in vitro/in vivo gene transfer because of its high transduction efficiency.7,8 However, the use of such viruses as gene delivery carriers has been limited by the fact that virus vectors generally cannot enter into many tumoral cells that lack the expression of Ad-associated receptors. The transduction efficiency of Ad is highly dependent upon the expression level of the 46 kDa membrane protein known as Coxsackievirus-Adenovirus Receptor (CAR) on the target cell surface.9,10 The predominant adenoviral serotype currently used in gene therapy applications is human Ad5.11 Ad5 entry into cells involves a two-step process that starts with the docking of the knob domain on the distal part of the fiber to CAR, followed by the exposure of an arginine-glycine-aspartate (RGD) motif in the penton base that binds to integrins αvβ3 and αvβ5 expressed on the cell surface, which promotes Ad endocytosis.12 Other receptors, such as heparan sulfate glycosaminoglycans (HS GAGs), are involved in the initial binding and internalization of Ad5.11

Since the identification of mesenchymal stem cells (MSCs), an entire branch of research has been dedicated to the analysis of the biology of the MSCs for the development of therapeutic applications. MSCs display a high degree of pluripotency14,15 and the ability to migrate towards and engraft into the tumor sites,16–18 which makes them a great hope for efficient targeted delivery vehicles in cancer gene therapy.19–21 Besides, MSCs represent a potent target for gene delivery for both regenerative medicine and clinical therapies (ischemic heart diseases, pancreatic regeneration, neurological disorders, hepatic cirrhosis, limb ischemia, skin regeneration, rheumatoid
arthriti).22,23 Additional modifications of stem cells might be done by using recombinant adenoviral vectors, as they are ideal tools for episomal gene transfer that allows for the manipulation of signaling pathways, reporter gene expression or differentiation of cell lineages.24 However, several studies have reported low CAR expression in MSCs which results in lower adenovirus internalization and gene expression efficiency, being essential exploring CAR-independent targeting strategies.

Due to the modular nature of the adenovirus, molecules can be incorporated onto the viral surface to add reactivity to specific sites of the capsid, for therapy or diagnostic (such as the addition of magnetic resonance imaging contrast agents, sensitizers and peptides).25 Two methods that have been developed to overcome the CAR deficiency are based on the modification of the adenoviral tropism, one by incorporation of the RGD peptide in the HI loop of the fiber knob and the other by the use of Ad5 vectors possessing fiber proteins from a different serotype.26 Both methods might result in an enhancement of mesenchymal cells gene transduction, but genetic manipulation of adenovirus can be very time consuming and, moreover, the resulting significant structural changes can lead to the production of non-viable viruses.

Moreover, adenoviruses can serve as biocompatible scaffolds to which a wide variety of inorganic and biological structures can be attached, and so coating of adenovirus with different systems has already shown great improvements in gene transfer studies.28-30 In this context, gold nanoparticles (AuNPs) have attracted considerable attention in basic research because of their various applications, including biomedical applications,31-33 used in highly sensitive diagnostic,34,35 assays thermal ablation,36 radiotherapy enhancement,37,38 antibiotic therapy as well as for drug and gene delivery.39,40 Another reason for the great expansion of this type of nanomaterials is their relatively easy functionalization with a wide variety of molecules.41-43

In this work, both commercial transfection reagents based on PEI and AuNPs modified with PEG and functionalized to bear positive charge and/or the RGD peptide to enhance the ionic interactions with HS GAGs on the cell membrane and the attachment to the \( \zeta \)VE3 and \( \zeta \)VE5 integrins were studied as potential coatings for Ad vectors for gene therapy purposes. Thus, by taking advantage of the properties and flexibility that AuNPs provide, we designed a very promising Ad-based system for highly efficient and safe transduction of MSCs, even compared with commercial transfection agents, which, moreover, broaden the possibilities of Ad vectors on cellular therapy.

**Experimental**

**Synthesis and functionalization of gold nanoparticles**

AuNPs used had an average diameter of 14 ± 1 nm and were synthesized by reduction with sodium citrate as described by Turkevich44 and Frens,45 followed by its functionalization with poly(ethylene glycol) (PEG) by adding SDS, a PEGylated chain and NaOH in order to basify the medium. For Au@COOH synthesis, 52 \( \mu \)M of HS-EG(6)-(CH2)2-COOH (PEG-COOH) were used; for \( \text{N}_3\text{Au@COOH} \) 25 : 75 sat, 13 \( \mu \)M of HS-(CH2)3-CONH-EG(6)-(CH2)2-N3 (PEG-N3) and 39 \( \mu \)M of PEG-COOH were used.46 In both cases, the mixture was incubated at room temperature for 16 hours, followed by its purification by centrifugation with MilliQ water and the number of PEG chains was quantified by Ellman’s method.47,48 The functionalization with G(RGD)S and (2-aminoethyl)trimethylammonium chloride hydrochloride (R’R,N’’ R) was carried out by conjugation with the carboxylic groups on the AuNPs using EDC (1-ethyl-3-(3-dimethylaminopropyl)carbodiimide) (Sigma) and sulfo-NHS (sulfo-hydroxysuccinimide) (Sigma) at pH 6 (50 mM MES).

Samples were centrifuged 3 times at 14 000 rpm for 30 minutes at 4 °C in order to remove the excess of reactants and final AuNPs were resuspended in distilled \( \text{H}_2\text{O} \), sterilized by 0.22 \( \mu \)m syringe filter and were kept at 4 °C and protected from light.

Characterization was carried out by UV-Vis spectroscopy analyzing the peak centered at 519 nm due to the surface plasmon resonance using a Varian Cary50 and FT-IR using a Jasco FT-IR4100 and KBr pills. Morphology and size were evaluated by transmission electron microscopy (TEM) using a FEI TECNAI T20 microscope at 80 kV by placing a drop of the dispersion on a carbon-coated copper grid. The average particle sizes were measured using ImageJ software from the TEM images. More details on the synthesis and confirmation of the amount of RGD and R’R,N’’ attached are detailed in the ESL†

**Cell culture**

Mesenchymal stem cells from C57BL/6 strain were used (Gibco, Thermo Fisher Scientific), and grown in MesenCult™ basal media (StemCell Technologies Inc) containing 10% of mesenchymal stem cell stimulatory supplements (StemCell Technologies Inc), 100 units per mL of penicillin and 100 g mL\(^{-1}\) of streptomycin at 37 °C and 5% CO\(_2\) and 3% O\(_2\). Cervical carcinoma cell line HeLa, human embryonic kidney 293, and U-251MG glioma cells were obtained from Cancer Research-UK Cell Services. Those cells were cultured in Dulbecco’s modified Eagle’s medium (DMEM, Lonza) with 10% heat inactivated fetal bovine serum, 2 mM-glutamine, 100 units per mL penicillin, 100 \( \mu \)g mL\(^{-1}\) streptomycin and 250 \( \mu \)g mL\(^{-1}\) amphotericin B (Lonza) and maintained at 37 °C in 5% CO\(_2\) and O\(_2\) to saturation.

**Adenoviral vectors**

Replication-incompetent Adenovirus Type 5 Ad-CMV-GFP (E1/E3) was obtained from Vector Biolabs.

**Cellular viability**

Cells were seeded at a density of \( 2 \times 10^4 \) cells per well in 96-well plates and cellular viability was evaluated by the MTT assay (Invitrogen) following the standard protocol provided by the supplier.

**Formation of complexes and infection**

For a first screening, cells were seeded at a density of \( 1 \times 10^4 \) cells per well in 96-well plates and grown for 24 h prior to
infection. Ad at 25–1000 MOI and 0.01–0.1 pmol AuNPs were used. For the formation of the complexes, Ad was mixed with the AuNPs in cell culture medium without serum for 20 minutes at room temperature. 250 μL were added to the cells and incubated for 45 minutes at 37 °C. After that, complete medium with serum was added to the wells and they were incubated at 37 °C for 48 hours. Every experiment was carried out in triplicate. For next experiments, cells were seeded at a density of 2 × 10⁴ cells per well in 24-well plates and 23 MOI and 0.04 pmol of AuNPs were used. Ad and Ad with JetPEI-RGD™ (Polyplus Transfection) were used as positive controls. 5 × 10⁷ pfu of Ad5-CMV-GFP were diluted in 100 μL of NaCl 150 mM, mixed with 3.1 μL of JetPEI-RGD in 100 μL of NaCl 150 mM and vortexed. The mixture was incubated at room temperature for 15–30 minutes prior to adding it to the cells.

To evaluate GFP expression, cells were centrifuged three times, resuspended in PBS and 10⁵ events analyzed by flow cytometry using a FACSAria cytometer (BD Biosciences) and CellQuest Pro software (BD Biosciences).

For the analysis by fluorescence microscopy, cells were seeded on coverslips and after 48 hours of infection, they were fixed with glutaraldehyde 4% in PBS at room temperature for 10 minutes. Nuclei were stained with DAPI and microtubules with streptavidin-Texas Red using as primary antibody mouse anti–β-tubulin (ESI†). For every sample, images were taken for GFP (499/520 nm), for Texas (558/575 nm) and for DAPI (359/461 nm) in a Nikon Eclipse Ti inverted microscope and images were analyzed using ImageJ.

**Scanning electron microscopy analysis (SEM)**

Following infection of MSCs with Ad@AuNPs and after 48 h of incubation, 1 mL of glutaraldehyde 4% in cacodylate buffer 0.2 M (pH 7.2) was added and the sample was kept at 4 °C for 2 hours. Next, the content of each well was replaced with 1 mL of glutaraldehyde 2% in cacodylate buffer 0.1 M (pH 7.2) and left overnight at 4 °C. Then samples were washed twice with the cacodylate buffer 0.1 M and dehydrated with aqueous solutions of MeOH 30%, MeOH 50% and MeOH 70% at room temperature twice for 5 minutes. Finally, MeOH 100% was added twice for 10 minutes and MeOH 100% anhydride twice for 5 minutes. Samples were kept in that medium at 4 °C until covered with gold and mounted on SEM sample holders.

**Results and discussion**

**Synthesis and characterization of gold nanoparticles**

AuNPs of an average diameter of 14 nm were chosen because of their high monodispersity and suitable size for efficient transfection without inducing high toxic effects as smaller nanoparticles. The selected AuNPs were functionalized as depicted in Fig. 1. First, gold nanoparticles were synthesized by reduction of a gold salt with sodium citrate and subsequently stabilized by saturation of the surface with polyethyleneglycol (PEG) (Fig. S1f). PEG modification of nanoparticles affords a long-circulating system and passive targeting to tumors by decreasing the non-specific adsorption of proteins because of its amphilic nature and therefore slows down the macrophage-mediated uptake and removal from the systemic circulation. Besides, it is known to increase biocompatibility and provides the most adequate chemical groups for a covalent binding with several biomolecules and flexibility to that attached ligands for efficient interaction with their target. Two different thiolated chains were used for the stabilization: a carboxylated PEG (HS-PEG(8)-COOH) (Au@COOH); or a PEG with an azide group (HS-PEG(8)-N₃), alternating with carboxylic PEG chains (N₃@Au@COOH 25 : 75), taking advantage of the fact that this functional group is a zwitterion so it reduces the initial negative charge of the nanoparticle. Also, the strong bond established between gold and sulphur atoms, considered covalent or quasi covalent by some authors, is the most frequently used for a quick and easy conjugation with AuNPs. AuNPs were further functionalized with a (i) positively charged molecule (2-aminoethyl)trimethylammonium hydrochloride (R’R’N⁺), to promote the electrostatic interaction with the proteoglycans; and/or (ii) the motive arginine–glycine–aspartic acid (RGD), to promote binding to integrins αvβ3 and αvβ5, improving internalization by endocytosis. The functionalization with R’R’N⁺ and RGD was achieved by formation of amide bonds between carboxylic and amine groups through EDC coupling reaction. Thus, four types of AuNPs were designed to promote either the positive interaction with the membrane of the MSCs, the attachment to integrins, or both: Au@CO-R’R’N⁺, Au@CO-RGD-R’R’N⁺, Au@CO-RGD, N₃@Au@CO-RGD. UV-Vis spectroscopy (Fig. 1B) and TEM images (Fig. 1C) demonstrated the formation of monodisperse spherical AuNPs of 14 nm ± 1 nm in the presence of the

![Figure 1](image-url)
different coatings. Quantification of the number of PEG chains attached, presence of a positive $\zeta$ potential above +20 mV in the case of Au@CO-R$^3$N$^+$ and Au@CO-RGD-R$^3$N$^+$ and functionalization with RGD were also checked (ESI†).

**Cytotoxicity of gold nanoparticles**

An important requirement for a good carrier is low cytotoxicity, even more when it is used in combination with an Ad vector. As can be seen in Fig. 2, all four types of AuNPs were found to be nontoxic at doses ranging from 1 nM to 6 nM between 24 and 72 h. A general trend is observed with decreasing viability in a concentration-dependent manner, with cell viability values above 70% in all cases. While $N_3@Au@CO-RGD$ maintained a concentration-dependent manner, with cell viability values higher at 72 h, followed by Au@CO-RGD-R$^3$N$^+$ and Au@CO-RGD, with $Au@CO-R^3N^+$ the lowest values were obtained, between 70–90% of cell viability.

**Optimization of adenoviral infection with commercial transfection agents**

Although several reports have described the successful transduction of MSCs by various viral and non-viral vector systems, including retrovirus, lentivirus, adenovirus-associated virus, baculovirus and adenovirus derived vectors, stem cells exhibit resistance to classical viral vectors, as many primary cells do.

The first objective of our study was to seek a proper commercial transfection reagent for coating Ad vectors that could be used as positive control for MSCs infection by coating optimization. To this aim, we decided to use JetPEI and JetPEI-RGD, a modified complex of polyethyleneimine (PEI) combining the proton sponge effect of PEI and the potential of the RGD motif to increase the internalization via integrins. The net anionic surface charge of Ads, based on the predominance of negatively charge hexon residues, allows them to form complexes by electrostatic attraction and also hydrophobic interactions with both charged and uncharged molecules and particles, including cationic liposomes and polymers or polyelectrolyte-coated metal nanoparticles complexes. Binding of PEI produces the conversion of the negatively charged adenoviruses into positively charged particles capable of interacting with anionic proteoglycans and integrins at the cell surface, helping the viruses to enter the cells by endocytosis. In general, this type of chemical modification is easily accomplished by incubating the virus with the cationic component, which leads to electrostatically-induced aggregation. Combining polycations and cationic lipids complexes with adenovirus has already shown to enhance transduction of target cells, facilitating in vitro transduction of MSCs. However, these methodologies remain below the levels of transduction that can be achieved with Ad/PEI-RGD, as they reached the maximum expression levels at doses 3 folds higher than using just the cationic forms. Surprisingly, the use of an RGD-conjugated PEI has also been shown to transduce MSCs with pDNA, but the efficiency of transfection was lower than that obtained with adenovirus alone.

The enhancement on expression when we compared viral infection at 250 and 400 MOI using a non-replicative Ad expressing GFP alone (Ad-GFP), a liposomal formulation (Lipofectamine) and a branched PEI derive (JetPEI) with and without functionalization with the RGD peptide coating the Ad-GFP, was noticeable (Fig. 3). Complexation of the adenovirus with Lipofectamine led to an increase (26%) in the number of GFP-positive cells. Previous studies used 3000 MOI to reach optimal results, but we achieved higher infection efficiency using 10–15 times less viral particles. Gene transfer and expression was further enhanced (73%) when JetPEI was used (Ad-Jet/PEI). As expected, expression of the GFP reporter was even higher when the RGD peptide was present on the surface of the transduction complex (Ad-Jet/PEI-RGD), reaching nearly 100% transduction efficiency. The level of GFP protein expression remained constant at higher MOI when using the Ad alone or in combination with Lipofectamine or JetPEI-RGD, while there was a moderate increase when JetPEI was used as coating (Fig. 3C and S5†). Our results are consistent with previous observations suggesting that bone marrow MSCs have

**Fig. 2** Evaluation of cell viability by MTT assay after 24–72 h incubation with MSCs, as percentage of control cells without nanoparticles. (A) Au@CO-RGD, (B) Au@CO-R$^3$N$^+$, (C) Au@CO-RGD-R$^3$N$^+$ and (D) $N_3@Au@CO-RGD$.

**Fig. 3** Comparison on the transduction efficiency of Ad vectors coated with Lipofectamine (Lipof), PEI and PEI-RGD on MSCs at 48 h, in terms of GFP expression analyzed by flow cytometry (A), fluorescence microscopy (scale bar = 500 µm) (B) and western-blot (C).
a low CAR expression (Fig. S4B†), which significantly affects Ad efficiency.36 Despite the good results obtained with Ad-JetPEI-RGD, the MOI used to achieve those results was 5 times higher compared to standard doses for CAR-positive cells (5–50 MOI), making more complicated the scalability into the clinic. More importantly, the surface profile of MSC cells was analysed before and after infection (Table S1†), and no significant changes were found on Sca-1 and CD73 (positive) or CD45 and CD31 (negative) (ESI†).

Adenoviral efficiency using gold nanoparticles as coating

Based on the chemical modification of Ad, we synthesized 14 nm AuNPs stabilized using bifunctional poly(ethylene glycol) (PEG) with a terminal thiol group at one end and a carboxyl or an azide group on the other.37 AuNPs were further functionalized to explore the effect on the infection levels by changing the net charge of the system via introduction of a zwitterion species (azide groups, N₃) or quaternary ammonium groups (to enhance the approach to the cell membrane via ionic interaction with glucosaminoglycans) and with the introduction of RGD motifs (for the attachment to the \( \alpha \beta 3 \) and \( \alpha \beta 5 \) integrins). For a first screening for the optimal conditions, MSCs were seeded in 96 well-plates and infected varying both the concentration of Ads and nanoparticles. AuNPs were complexed with adenovirus (Ad@AuNP) in medium without fetal bovine serum (FBS) for 20 minutes and subsequently incubated with cells for 45 minutes before adding supplement media. As controls the adenovirus alone and the virus coated with JetPEI-RGD as previously optimized were used. To evaluate the transduction efficiency by fluorescence microscopy, first the amount of virus was varied from 25 to 125 MOI while keeping the AuNPs concentration fixed at 0.1 pmol (Fig. 4 first row). Since the best results were obtained using Au@CO-R₃N⁺ at 50 MOI, this Ad concentration was selected and the amount of AuNPs used reduced to 0.01 and 0.05 pmol (Fig. 4 lower row). These results indicated that the excess of AuNPs had an enhancing effect on infection and that using 0.1 pmol of AuNPs induced an optimal infection and good values of GFP expression, making it possible to reduce the amount of adenovirus as low as 25 MOI, and still obtain acceptable transduction levels.

Next, we scaled up the experiments and doubled the concentration of AuNPs (0.4 pmol/25 MOI), in order to analyze in more detail the effect of each type of AuNPs used at the lowest concentration of adenovirus previously tested. In Fig. 5, results show that transduction levels in control samples were low using only Ad (around 13% of GFP-positive cells) and increased up to 27% when the Ad was complexed with JetPEI-RGD, still far from being an efficient infection (Fig. 5Ai and ii). Similar values were obtained using Ad@N₃@Au@CO-RGD complexes (Fig. 5Avi), with a two-fold increase with Ad@Au@CO-RGD complexes (41%) (Fig. 5Ai and S6†), probably based on the increased functionalization with RGD groups thanks to the amount of carboxylic groups of PEG present on the surface of Au@CO-RGD; this would improve recognition by integrins on the cell membrane. However, the best results were obtained with the complexes formed with AuNPs bearing positive charges on their surface; that is, Ad@Au@CO-R₃N⁺ and Ad@Au@CO-RGD-R₃N⁺, which produced a three-fold increase on infection efficiency (90% and 86% GFP-positive cells, respectively) compared to the results obtained with the commercial reagent (Fig. 5Av and v). Samples were analyzed by scanning electron microscopy.
We have described a new approach for increased infection efficiency of low Ad concentrations on MSCs, a refractory cell line lacking CAR expression. This design is based on the use of positively-charged pegylated AuNPs further functionalized to bear positive charge and/or the RGD peptide to coat Ad. This study shows an easy and effective way for the genetic modification of MSCs with lower risk and no cytotoxic effects, broadening their application in cell therapy.

**Conflicts of interest**

There are no conflicts to declare.

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The authors regret that some of the affiliation details were incorrect in the original article. The address provided in the footnote for the first author in the original article should actually be the first author’s current address (listed correctly here as affiliation a). The footnote for the second author in the original article should also have indicated that the address in the footnote is her current address (Washington University in St Louis, School of Medicine, St. Louis, MO 63108, USA).

The Royal Society of Chemistry apologises for these errors and any consequent inconvenience to authors and readers.