PoD: Positional Dependency-Based Word Embedding for Aspect Term Extraction

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Abstract

Dependency context-based word embedding jointly learns the representations of word and dependency context, and has been proved effective in aspect term extraction. In this paper, we design the positional dependency-based word embedding (PoD) which considers both dependency context and positional context for aspect term extraction. Specifically, the positional context is modeled via relative position encoding. Besides, we enhance the dependency context by integrating more lexical information (e.g., POS tags) along dependency paths. Experiments on SemEval 2014/2015/2016 datasets show that our approach outperforms other embedding methods in aspect term extraction. The source code will be publicly available soon.

1 Introduction

Aspect term extraction aims to extract expressions that represent properties of products or services from online reviews (Hu and Liu, 2004a,b; Popescu and Etzioni, 2007; Liu, 2010). Understanding the context between words in reviews, such as through conditional random fields (Pontiki et al., 2014, 2015, 2016), is the key to superior results in aspect term extraction. Word embeddings are effective to capture the contextual information across a wide range of NLP tasks (Tai et al., 2015; Lei et al., 2015; Bojanowski et al., 2017; Devlin et al., 2019), however only produce moderate results in aspect term extraction. Recent studies (e.g., Yin et al. (2016)) indicate that this is due to the distributed nature of the word embedding (Mikolov et al., 2013b), which ignores the rich context between the words, such as syntactic information.

In this paper, we propose positional dependency-based word embedding (PoD) to enhance the context modeling capability for aspect term extraction. PoD explicitly captures two types of contexts, dependency context and positional context. Inspired by the simple-yet-effective position encoding in Transformer (Vaswani et al., 2017), PoD models the positional context via relative position encoding (Shaw et al., 2018) between words within a fixed window. Besides, the dependency context is defined as the dependency path as well as the attached lexical information (e.g., POS tags and words) along the path. Compare to Yin et al. (2016), PoD is able to incorporate more lexical information into the semantic compositional model via the dependency context, making representations of dependency paths more informative than the ones that only consider grammatical information. We then linearly combine the dependency and positional context to produce the positional dependencies among words. We also define a margin-based ranking loss to efficiently optimize PoD.

Our contributions are two-fold, (i) we propose positional dependency-based word embedding PoD, which incorporates both positional context and dependency context, (ii) we compare PoD with other state-of-the-art aspect term extraction methods and demonstrate that PoD yields better results on aspect term extraction datasets.

2 Positional Dependency-Based Word Embedding

2.1 Model Description

PoD aims to maximize likelihoods of triples \( (w_t, c, w_c) \), where \( w_t \) and \( w_c \) represent target word and context word respectively, \( c \) refers to positional dependency-based context (an example is in Table 1), which consists of two types of contexts: the dependency context (dependency paths between target and context word) and positional context (relative position encoding between target
The context is defined in Eq. (2). The positional dependency-based position encoding (Shaw et al., 2018). Similar to word embedding, we also introduce $M_t \in \mathbb{R}^{(s-1) \times d}$ to represent the relative position encoding and derive $c_{pos}$ from it, where $s$ is the window size. We also consider the lexical information along dependency paths when learning the representations of the dependency context. For example, for the pair (food, wonderful) in Figure 1, the corresponding dependency path is $\left\{\text{smells/VBZ} \xrightarrow{xcomp} \text{wonderful/JJ}\right\}$. We denote the words, POS tags as the lexical information, and use $\text{dep} = \{g_1, g_2, ..., g_{|c|}\}$ to denote the composite lexical dependency path. The embedding matrix $M_{dep} \in \mathbb{R}^{n \times d}$ is utilized to derive the distributed representations of lexical dependency path $\{g_1, g_2, ..., g_{|c|}\}$, where $n$ is the size of dictionary including words, POS tags and dependency paths. To obtain $c_{dep}$, we use RNN model which learns the dependency path representations along the sequence dep in a recurrent manner.

### 2.3 Model Optimization

We use a margin-based ranking objective to learn model parameters in Eq. (1), which encourages scores of positive triples $(w_t, c, w_c) \in \mathcal{T}$ to be higher than scores of sampled triples $(w'_t, c, w_c) \in \mathcal{T}'$. The ranking loss is as follows.

$$L = \sum_{(w_t, c, w_c) \in \mathcal{T}} \sum_{(w'_t, c, w_c) \in \mathcal{T}'} \max \{S(w_t, c, w_c) - S(w'_t, c, w_c) + \delta, 0\}, \quad(3)$$

where $\delta$ is the margin value, $S(\ast)$ is the score function defined in Eq. (1), in which $c$ is introduced in Eq. (2).

Note that, the proposed Eq. (3) conducts negative sampling on target words rather than dependency paths, which proposes two advantages, (i) it can exploit arbitrary hop dependency paths. Besides, the words and POS tags along the path can be utilized; (ii) it avoids to memorize dependency path frequencies which grow exponentially with the number of hops.

The negative sampling method is employed to train the embedding model (Eq. (1)). These ran-

| Target  | Context | DC      | PC |
|---------|---------|---------|----|
| food    |         |         |    |
| prepared|         |         |    |
| smells  |         |         |    |
| wonderful|        |         |    |

Table 1: Target word, context words and their corresponding contexts: DC refers to dependency context and PC refers to positional context.

### 2.2 Positional Dependency

We construct the positional dependency-based context $c$ by linearly combining the dependency context vector $c_{dep}$ derived from semantic composition of lexical dependency paths and the positional context vector $c_{pos}$ computed based on relative position encoding (Shaw et al., 2018). The representation of positional dependency-based context is defined in Eq. (2),

$$c = \alpha \cdot c_{pos} + (1 - \alpha) \cdot c_{dep}, \quad(2)$$

where $\alpha$ is used to trade-off the effects between dependency and positional contexts in the model.

The basic idea of using relative position encoding is based on the assumption that context words with different relative positions have different impacts on learning the representations of target words. The use of relative position encoding has been proved to be useful in supervised relation classification (Zeng et al., 2014) and machine translation (Vaswani et al., 2017; Shaw et al., 2018).
domly chosen words in $T'$ are sampled based on the marginal distribution $p(w)$ and $p(w)$ is estimated from the word frequency raised to the $\frac{1}{3}$ power (Mikolov et al., 2013a) in the corpus. We set the negative number to 15 which is a trade-off between the training time and performance. The $\delta$ is empirically set to 1 according to (Collobert and Weston, 2008; Bollegala et al., 2015). To avoid the overfitting in RNN, we employ dropout on the input vectors and set the dropout rate to 0.5. The asynchronous gradient descent is used for parallel training. Moreover, Adagrad (Duchi et al., 2011) is used to adaptively change learning rate and the initial learning rate is set to 0.1.

3 Experiment

3.1 Dataset
We evaluate PoD on aspect term extraction benchmark datasets: SemEval 2014/2015/2016. The SemEval 2014 datasets include two domains: laptop and restaurant, and we use the D1 and D2 to denote these two datasets respectively. The SemEval 2015/2016 datasets only include restaurant domain. D3 and D4 are utilized to represent them. We use the corpora introduced in (Yin et al., 2016) to learn the distributed representations of words and lexical dependency paths.

3.2 Baseline and Setting
We compare PoD with top systems in SemEval which are as follows.

**IHS RD** (Chernyshevich, 2014) and DLIREC (Zhiqiang and Wenting, 2014) are the top systems in D1 and D2 respectively, which are both based on CRF with lexical, syntactic and statistical features.

**EliXa** (San Vicente et al., 2015) is the top system in D3 which adopts perceptron.

**Nlangp** (Toh and Su, 2016) is the top system in D4 which is also based on CRF model.

We also compare our method with the following embedding-based methods.

**DRNLM** (Mirowski and Vlachos, 2015) predicts the current words given the previous words, aiming at learning probabilities over sentences.

**Skip-gram** (Mikolov et al., 2013b) learns word embeddings by predicting context words given target words, while **CBOW** (Mikolov et al., 2013a) predicts target word given context words.

**Glove** (Pennington et al., 2014) combines the advantages of global matrix factorization and local context window embedding methods to learn word representations.

**DepEmb** (Levy and Goldberg, 2014) learns word embedding using one-hop dependency context.

**WDEmb** (Yin et al., 2016) jointly learns distributed representations of words and dependency paths. However, WDEmb only considers grammatical information in dependency context and does not capture positional context.

As derived embeddings are not necessarily in a bounded range (Turian et al., 2010), this might lead to moderate results. We apply a simple function of discretization to make embedding features more effective (Yin et al., 2016).

$$f_{dis}(M_{ij}^d) = \left\lfloor \frac{(M_{ij}^d - \min(M_{ij}^d)) \times l}{\max(M_{ij}^d) - \min(M_{ij}^d)} \right\rfloor$$  

(4)

where $\max(M_{ij}^d)$ and $\min(M_{ij}^d)$ are the maximum and minimum in the $j$-th dimension respectively, $l$ is the number of discrete intervals. We use the embeddings of $w_i$ and its context words as features to label $w_i$. The window size of positional context is set as 5 which follows (Collobert and Weston, 2008).

In order to choose $l$, $d$ (Section 2.1) and $\alpha$ (Eq. (2)), 80% sentences in training data are used as training set, and the rest 20% are used as development set. The dimensions of word and dependency path embeddings are set as 100. Larger dimensions get similar results in the development set but cost more time. $l$ is set as 10 which performs best in the development set. Similarly, the $\alpha$s are set as 0.7, 0.5, 0.5 and 0.5 for datasets D1, D2, D3 and D4 respectively.

To make fair comparisons, we choose parameters $l$ and $d$ on the development set for embedding baselines. All the dimensions of embedding methods are set as 100. The dimensions $l$ in Skip-gram, CBOW and WDEmb models are set as 15, the dimensions in Glove and DepEmb are set as 10. The windows of Skip-gram, CBOW and Glove are set as 5, which are the same as our model.

3.3 Result and Analysis
The results are described in Table 2 and the t-test is also conducted by random initialization. From the table, we find that PoD with both $S_{pred}$ and $S_{add}$ consistently outperform WDEmb which is one of the best embedding methods. The reasons are that (i) our model incorporates positional
context as relative position encoding to help enhance word embeddings; (ii) the dependency context leverages the lexical dependency path capturing more specific lexical information such as words and POS tags (extracted using Stanford CoreNLP) than WDEmb. PoD also achieves comparable results with top systems which are based on hand-crafted features in all datasets, which shows that our learned embeddings are effective for aspect term extraction. The \( S_{\text{puct}} \) performs better than \( S_{\text{add}} \), which indicates that the product-based composition method is more capable in capturing the useful features in aspect term extraction. 

In terms of embedding-based baselines, DepEmb and WDEmb perform better than other baselines, which indicates that encoding syntactic knowledge into word embeddings is desirable for aspect term extraction.

We also analyze the effects of POS tags and words along dependency paths in the dependency context on final results. The results are presented in Table 3. From the table, we observe that both POS tags and words along dependency paths boost aspect term extraction, which indicates that lexical information can encode discriminative information for representations of dependency paths. Meanwhile, PoD obtains better results by adding both POS tags and words.

### 4 Related Work

Association rule mining is used in (Hu and Liu, 2004b) to mine aspect terms. Opinion words are used to extract infrequent aspect terms. The relationship between opinion words and aspect words is crucial to extract aspect terms, which are deployed in many follow-up studies. In (Qiu et al., 2011), the predefined dependency paths are utilized to iteratively extract aspect terms and opinion words. PoD instead learns the representation of the dependency context.

Dependency-based word embedding (Levy and Goldberg, 2014; Komninos and Manandhar, 2016) encodes dependencies into word embeddings, which however implicitly encodes the dependency information and models the unit (word plus dependency path) as the context vector and ignores multi-hop dependency paths. Yin et al. (2016) proposes to learn word and dependency context and experimentally show that dependency context-based embeddings are effective in aspect term extraction. However, only grammatical information is considered among the dependency paths. We instead introduce a positional dependency-based embedding method which considers both dependency context and positional context. End-to-end aspect term extraction (Wang et al., 2016d, 2017d; Li et al., 2018; Xu et al., 2018) based on neural networks and attention mechanism, have been recently developed. Compare to these methods, PoD can be applied to more applications. Compare to deep word representations (Peters et al., 2018; Devlin et al., 2019; Wang et al., 2019), PoD is more efficient which is crucial to aspect term extraction. Text-to-network (Wang et al., 2015a,b, 2016a,c,b, 2017c, 2018) is in general relevant to aspect term extraction, we focus on proposing a more light weighted embedding method.

### 5 Conclusion

In this paper, we develop a specific word embedding method for aspect term extraction. Our method considers both positional and dependency context when learning the word embedding. Meanwhile, the lexical information along dependency path is encoded into representations of dependency context. Compared with other embedding methods, our method achieves better results in aspect term extraction. We plan to apply our method to more NLP tasks (Wang et al., 2013, 2015c, 2017a,b).
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