Miniprobe Endoscopic Ultrasonography Has Limitations in Determining the T Stage in Early Colorectal Cancer

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Background/Aims: Mini-probe endoscopic ultrasonography (mEUS) is a useful diagnostic tool for accurate assessment of tumor invasion. The aim of this study was to estimate the accuracy of mEUS in patients with early colorectal cancer (ECC).

Methods: Ninety lesions of ECC underwent mEUS for pre-treatment staging. We divided the lesions into either the mucosal group or the submucosal group according to the mEUS findings. The histological results of the specimens were compared with the mEUS findings.

Results: The overall accuracy for assessing the depth of tumor invasion (T stage) was 84.4% (76/90). The accuracy of mEUS was significantly lower for submucosal lesions compared to mucosal lesions (p=0.003) and it was lower for large tumors (≥2 cm) (p=0.034). The odds ratios of large tumors and submucosal tumors affecting the accuracy of T staging were 3.46 (95% confidence interval [CI], 1.05 to 11.39) and 6.25 (95% CI, 1.85 to 25.14), respectively. When submucosal tumors were combined with large size, the odds ratio was 14.67 (95% CI, 1.46 to 146.96).

Conclusions: The overall accuracy of T stage determination with mEUS was considerably high in patients with ECC; however, the accuracy decreased when tumor size was >2 cm or the tumor had invaded the submucosal layer. (Gut Liver 2013;7:163-168)

Key Words: Colorectal neoplasms; Endosonography

INTRODUCTION

Colorectal cancer is one of the most common malignancies worldwide. Current studies have shown that colorectal cancer is on the rise, especially in Africa and Asia, including Korea. The increased use of colonoscopy. The early diagnosis of colorectal cancer is feasible and various treatment methods have been developed. Multiple treatment options are available to patients with early colorectal cancer (ECC), including radical or laparoscopic surgery, transanal endoscopic microsurgery, endoscopic mucosal resection (EMR), and endoscopic submucosal dissection (ESD). Endoscopic treatment for ECC is considered appropriate only when the invasion of the submucosal layer is <1,000 μm. Therefore, accurate assessment of tumor invasion (T stage) is essential when making therapeutic decisions regarding patients with ECC.

Endoscopic ultrasonography (EUS) is one of the most reliable assessment techniques in the preoperative assessment of T stage of gastrointestinal tract cancer. EUS is better than magnifying colonoscopy and is comparable to narrow-band imaging with magnification in determining tumor invasion of ECC. The pooled sensitivity and specificity in a meta-analysis of the use of EUS in determining T1 stage rectal cancer were 87.8% and 98.3%, respectively, and the accuracy of EUS for the T1 stage was lower than that for the advanced T stages (T3 or T4). However, maneuvering in the proximal part of the colon is difficult in conventional EUS.

The mini-probe EUS (mEUS) can be easily introduced through the biopsy channel of the endoscope and this technique has many benefits compared to conventional EUS. However, the accuracy of mEUS in identifying the T1 stage of colorectal cancer is variable, ranging from 67% to 100%. In addition, studies which focus solely on the accuracy of diagnosis of submucosal lesions are limited. Therefore, we investigated the performance of mEUS in T1 staging of mucosal and submucosal lesions. In addition, we estimated the factors that influence the accuracy in diagnosing the T1 stage of ECC.
MATERIALS AND METHODS

1. Materials

A mEUS was performed on 90 lesions in 86 patients with suspected T1 stage colorectal cancer at the Inje University Seoul Paik Hospital between March 2003 and June 2010. Among 86 patients, 82 had a single lesion and four patients had two lesions, making up a total of 90 lesions. The patients consisted of 62 men (72.1%) and 24 women (27.9%) with a mean age of 62.7 years (range, 35 to 85 years). The tumors were primarily located in the rectum (39/90, 43.3%) and sigmoid colon (30/90, 33.3%). Patients who were diagnosed with adenocarcinoma on histology were included in the study. The usefulness of mEUS in ECC treatment plans was investigated through a retrospective review of patients’ medical records. The Institutional Review Board of Inje University Seoul Paik Hospital approved this study.

2. Methods

1) mEUS technique

All examinations were conducted using a 20 MHz mini-probe with a diameter of 6F (Olympus UM-3R-3; Olympus, Tokyo, Japan). Two specialists performed the procedures and one specialist reviewed all the mEUS findings retrospectively. All patients underwent examinations in a conventional manner. Colonic preparation was performed with 2 to 4 L of hypertonic polyethylene glycol before the examination. A sedative agent was used (midazolam; 3 to 5 mg intravenously) when requested by the patient. After a lesion was diagnosed via colonoscopy, the tumor was further evaluated by mEUS.

Fig. 1. Mini-probe endoscopic ultrasonography (EUS) and corresponding histopathologic findings. Mini-probe EUS (uT1m) (A) and histopathologic image (pT1m) (B) demonstrated that the tumor was confined to the mucosal layer (H&E stain, ×200). In contrast, mini-probe EUS (uT1sm) (C) and histopathologic image (pT1sm) (D) demonstrated that the tumor penetrated into the submucosal layer (H&E stain, ×200).

m, mucosa; sm, submucosa.
(Olympus CF-Q260; Olympus), mEUS was performed to identify the depth of invasion. Acoustic coupling with the colonic wall was obtained by instilling deaerated water in the colonic lumen. Based on the mEUS results, the patient underwent endoscopic or surgical resection.

2) Staging of colorectal cancer

Tumor size and depth of invasion were assessed ultrasonographically and identified by the prefix "u," while the histopathology of the resected specimens was identified by the prefix "p" (Fig. 1). The depth of tumor invasion was categorized according to the TNM classification of malignant tumors. T1 stage was defined as a tumor that was confined to the submucosal layer with or without lymph node invasion. Tumors involving the mucosa, but not the submucosal layer, were defined as T1m. Tumors limited to the submucosal layer and not involving the muscularis propria were classified as T1sm. T2, T3, and T4 cancers were not included in the present study. In submucosal lesions, when invasion of the tumor exceeded 1,000 μm, endoscopic treatment was followed by surgery. Tumor characteristics such as location (rectum or other parts of the colon), size (≥2 or <2 cm), tumor shape (sessile/flat or pedunculated), lymphovascular invasion (positive or negative), and tumor differentiation (well or poorly differentiated) were also evaluated.

3) Statistical analysis

The overall accuracy, accuracy within each group, and mEUS sensitivity and specificity were calculated. The patient baseline characteristics and endoscopic findings were compared using Pearson's chi-square test, Fisher's exact test, or Student's t-test. The odds ratios (ORs) of large tumors and submucosal lesions affecting the accuracy of T staging were measured using logistic regression. Two-tailed p-values <0.05 were considered statistically significant.

RESULTS

1. Demographic and endoscopic characteristics

Sixty-four (71.1%) and 26 (28.9%) of the 90 lesions were identified as uT1m and uT1sm, respectively. No significant differences were found with respect to gender, age, or tumor location between the two groups. The mean size of the tumors tended to be larger in submucosal group (2.10±0.84 cm) compared to mucosal group (1.68±0.67 cm), although the difference was not statistically significant (p=0.064). With respect to mucosal group, 29.7% (19/64) were pedunculated-type tumors, while only 1 (3.8% [1/26]) submucosal lesion was a pedunculated-type (p=0.008) (Table 1).

2. Staging accuracy and outcomes

The overall accuracy for depth of tumor invasion (T stage) was 84.4% (76/90) and the accuracy was significantly lower in submucosal group than in mucosal group (65.4% vs 92.2%; p=0.003). Among the 64 cases of uT1m, 61 cases (95.3%) underwent endoscopic treatment such as EMR (54 cases) or ESD (7 cases), and three cases (4.7%) received surgical therapy initially. Through histopathologic analysis of the resected specimens, 59 cases (92.2%) were correctly diagnosed as pT1m, while five cases (7.8%) were proved as having pT1sm. Two cases received endoscopic treatment followed by surgery because the depth of invasion of the carcinoma exceeded 1,000 μm of submucosa. On the other hand, among the 26 cases of uT1sm, 19 cases (73.1%) underwent surgery. Seven cases received endoscopic therapy initially because they had high risk factors such as old age or comorbidities for surgical complications. Among them, one case (14.3%) underwent additional surgery because the tumor had invaded beyond the submucosal layer by over 1,000 μm. As a result, 20 cases (76.9%) underwent surgery. On pathologic exam, only 17 of 26 lesions (65.4%) were correctly diagnosed as pT1m, while five cases (7.8%) were proved as having pT1sm. In four cases, the involved layer was the mucosa (pT1m), and in five cases, the muscularis propria

| Table 1. Baseline Characteristics of Subjects |
|---------------------------------------------|
| Characteristic    | uT1m (n=64) | uT1sm (n=26) | p-value |
| Male             | 46 (71.9)  | 16 (61.5)  | 0.277   |
| Age, yr          | 62.9±9.66 | 62.3±12.02 | 0.805   |
| Location         |            |            |         |
| Rectum           | 29 (45.3)  | 10 (38.5)  | 0.552   |
| Sigmoid          | 19 (29.7)  | 11 (42.3)  | 0.250   |
| Descending       | 1 (1.6)    | 1 (3.8)    | 0.497   |
| Transverse       | 10 (15.6)  | 1 (3.8)    | 0.166   |
| Ascending/Cecum  | 5 (7.8)    | 3 (11.6)   | 0.686   |
| Size, cm         | 1.68±0.67  | 2.10±0.84  | 0.064   |
| ≥2.0             | 21 (32.8)  | 14 (53.8)  |         |
| <2.0             | 43 (67.2)  | 12 (46.2)  |         |
| Tumor shape      |            |            | 0.008   |
| Sessile/Flat     | 45 (70.3)  | 25 (96.2)  |         |
| Pedunculated     | 19 (29.7)  | 1 (3.8)    |         |

Data are presented as number (%) or mean±SD.

uT1m, endoscopic ultrasonography T1 mucosa; uT1sm, endoscopic ultrasonography T1 submucosa.

| Table 2. Correlation between Endoscopic Ultrasonography (uT) and Histopathology (pT) |
|---------------------------------------------|
| uT1m (n=64) | uT1sm (n=26) | Total (n=90) |
| pT1m | 59 | 4 | 63 |
| pT1sm | 5 | 17 | 22 |
| pT2 | 0 | 5 | 5 |

Data are presented as number.
was also invaded, indicating underestimation of the depth of invasion with mEUS. The sensitivity and specificity of mEUS were 93.7% (95% confidence interval [CI], 83.7 to 97.9) and 81.5% (95% CI, 61.3 to 93.0) in T1m, and 77.3% (95% CI, 54.2 to 91.3) and 86.8% (95% CI, 75.9 to 93.4) in T1sm, respectively. Underestimation and overestimation occurred in 11.1% (10/90) and 4.4% (4/90) of the cases, respectively. Of 14 cases who showed discrepancy between mEUS and histopathologic results, nine had tumors >2 cm in size and nine had submucosal lesions. Eight lesions had submucosal involvement and a size >2 cm.

3. Factors that influenced accuracy

We analyzed the factors that influenced the overall accuracy and group accuracy of mEUS when predicting T stage. There were no correlations between location (rectum and colon), tumor shape (pedunculated or sessile/flat), tumor differentiation, or lymphovascular invasion and accuracy. However, patients with large tumors had a lower overall accuracy than patients with small tumors (≥2 cm vs <2 cm; p=0.034), especially when the tumor involved the submucosal layer (p=0.014) (Table 3). The ORs of large tumors and submucosal tumors affecting the accuracy of T stage when using mEUS were 3.46 (95% CI, 1.05 to 11.39) and 6.25 (95% CI, 1.85 to 25.14), respectively. When submucosal tumors were combined with large tumors, the OR was 14.67 (95% CI, 1.46 to 146.96) (Table 4).

### DISCUSSION

Preoperative evaluation is critical for estimating patient prognosis and determining the appropriate treatment, includ-

| Table 3. Comparison of the Mini-Probe Endoscopic Ultrasonography Accuracy According to the Tumor Characteristics |
|-------------------------------|-----------------------------|-----------------------------|-----------------------------|-----------------------------|-----------------------------|
| Location | uT1m (n=64) | p-value | uT1sm (n=26) | p-value | Total (n=90) | p-value |
| Colonic | 31/35 (88.6) | 0.366 | 9/16 (56.3) | 0.399 | 40/51 (78.4) | 0.073 |
| Rectum | 28/29 (96.6) | 0.000 | 8/10 (80.0) | 0.014 | 36/39 (92.3) | 0.034 |
| Size, cm | 1.000 | 0.629 | 1.000 | 0.727 |
| ≥2.0 | 20/21 (95.2) | 0.000 | 6/14 (42.9) | 0.000 | 26/35 (74.3) | 0.000 |
| <2.0 | 39/43 (90.7) | 0.629 | 11/12 (91.7) | 0.190 | 50/55 (90.9) | 0.000 |
| Tumor shape | 17/19 (89.5) | 0.000 | 1/1 (100) | 0.000 | 18/20 (90) | 0.000 |
| LV invasion | 42/45 (93.3) | 0.000 | 16/25 (64.0) | 0.399 | 58/70 (82.9) | 0.000 |
| Positive | 17/19 (89.5) | 0.000 | 1/1 (100) | 0.000 | 18/20 (90) | 0.000 |
| Negative | 54/58 (93.1) | 0.000 | 10/18 (55.6) | 0.190 | 64/76 (84.2) | 0.000 |
| Tumor differentiation | 58/63 (92.1) | 0.000 | 17/25 (68.0) | 0.346 | 75/88 (85.2) | 0.288 |
| Well | 1/1 (100) | 0.000 | 0/1 (0) | 0.000 | 1/2 (50.0) | 0.000 |

Data are presented as number (%).

LV, lymphovascular; uT1m, endoscopic ultrasonography T1 mucosa; uT1sm, endoscopic ultrasonography T1 submucosa.

| Table 4. Odds Ratio for the Effects of Tumor Size and the Submucosal Lesions on Affecting the Accuracy Rates of Mini-Probe Endoscopic Ultrasonography |
|-------------------------------|-----------------------------|
| Factor | OR [95% CI] |
| Tumor size, cm | 1.0 |
| ≥2.0 | 3.46 (1.05–11.39) |
| Submucosal tumor | 1.0 |
| No | 6.25 (1.85–25.14) |
| Yes | 14.67 (1.46–146.96) |

OR, odds ratio; CI, confidence interval.
through the endoscope biopsy channel during a routine colonoscopy without causing additional patient discomfort.4,9 Second, mEUS typically has no problems passing through stenotic lesions and it can evaluate colonic lesions located on the right side of the colon.4,11 Third, mEUS provides high-resolution images of the intestinal wall layers resulting in clearer images of superficial carcinoma invasion.1 However, despite these benefits, mEUS has some limitations. For example, mEUS is not suitable for the evaluation of regional lymph node invasion, and mEUS cannot accurately differentiate between stages T3 and T4 because mEUS typically uses high frequency ultrasound, which limits the depth of penetration. In addition, according to previous studies, the accuracy of mEUS may decrease with increasing tumor size, advanced tumor stage, pedunculated-type tumors, villous lesions, and rectal tumors.4,11,12 Results can also be influenced by inadequate contact between the tumor and the probe due to air, stool, or irregular tumor surfaces, anatomic defects from previous biopsies or polypectomy, and peritumoral inflammation.

In the current study, we evaluated the accuracy of mEUS in T1 staging, which was performed as part of the planning of the treatment of ECC. The N stage was evaluated with CT scanning and all patients were confirmed to have no lymph node enlargement. We analyzed 64 and 26 cases with mucosal and submucosal lesions, respectively, to estimate the accuracy of mEUS T staging. Previous studies have reported that the accuracy of mEUS in evaluating the depth of colorectal tumor invasion was 76% to 96% for the T1 to T4 stages.3,10,12,15 More specifically, its accuracy in identifying the T1 stage of colorectal cancer in these studies varied from 67% to 100%.3,10,12 In our study, the overall accuracy of T staging was 84.4%, which is compatible with the findings in previous reports. Of interest, the accuracy in evaluating submucosal lesions (65.4%) was significantly lower than that for mucosal lesions (92.2%, p=0.003). We reasoned that the depth of invasion of lesions located in the submucosal layer may be difficult to be accurately predicted because the 20-MHz mEUS has poor penetration. In fact, there are only a few studies that focus on the accuracy of mEUS in evaluating submucosal ECCs. Harada et al. reported that the accuracy of the mini-probe in categorizing submucosal invasion into three subclasses (SM1, SM2, and SM3) was 37.1% in 35 patients. However, the accuracy of mEUS in differentiating between tumors of SM1 subclass or lower (M and SM1) and tumors of SM2 class or higher (SM2, SM3, muscularis propria, and serosa) was 85.7% (30/35). In the current study, we demonstrated that large tumor size decreased the accuracy of T staging by mEUS, which is consistent with the findings of other studies.5,25 Interestingly, in cases in which the tumor had invaded the submucosa and tumor size was >2 cm, the OR was 14.67 (95% CI, 1.46 to 146.96), suggesting that the accuracy of mEUS had dramatically decreased. Therefore, we suggest that extra caution should be taken when performing mEUS to predict the T stage in submucosal tumors that are >2 cm in size. Several studies have suggested that the accuracy of mEUS was lower in pedunculated-type tumors, villous lesions or tumors located in the rectum.13,25 However, in our study, the shape, location, and histopathological findings such as tumor differentiation or lymphovascular invasion had no effects on the accuracy of T staging by mEUS.

There were several limitations in this study. First, this study was conducted retrospectively, and the treatment strategy was not strictly followed. Although the mEUS findings suggested involvement of the submucosal layer in 26 cases, seven of these 26 cases underwent endoscopic treatment as the initial therapy due to the high risks of operation in these patients. Second, interobserver variability might have been an issue because two specialists were involved in carrying out the mEUS procedures. However, they were all experts and had >5 years experience with mEUS. Additionally, we reviewed all records of mEUS procedures without knowledge of the pathologic findings. The third limitation was that the submucosal population was relatively small, and as a result, we could not subdivide it into classes.

In conclusion, mEUS is an effective, convenient and accurate modality in identifying the T stage of ECC. However, we found that mEUS was less accurate in determining the T stage in submucosal lesions or large tumors. In addition, accuracy rates dropped significantly in submucosal lesions that are >2 cm in size. Therefore, special precautions should be taken when interpreting mEUS results, especially in the cases of large submucosal lesions.

CONFLICTS OF INTEREST

No potential conflict of interest relevant to this article was reported.

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