Energy cascade and intermittency in helically decomposed Navier–Stokes equations

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Abstract

We study the nature of the triadic interactions in Fourier space for three-dimensional Navier–Stokes equations based on the helicity content of the participating modes. Using the tool of helical Fourier decomposition we are able to access the effects of a group of triads on the energy cascade process and on the small-scale intermittency. We show that while triadic interactions involving modes with only one sign of helicity results to an inverse cascade of energy and to a complete depletion of the intermittency, absence of such triadic interactions has no visible effect on the energy cascade and on the inertial-range intermittency of the three-dimensional Navier–Stokes equations.

Keywords: turbulence, helicity, intermittency, direct numerical simulations

(Some figures may appear in colour only in the online journal)

1. Introduction

Triadic interactions among Fourier modes are the fundamental building blocks of the Navier–Stokes equations and in homogeneous and isotropic turbulent flow, the energy transfer is empirically observed to be dominated by local interaction in Fourier space. Inviscid quadratic invariants of the Navier–Stokes equations are believed to be the key to drive the direction and the fluctuations of the energy transfer such that in three-dimensional (3D) turbulent flow there is...
a forward energy cascade (Kolmogorov 1941, Frisch 1995) from the injection scale down to the smallest dissipative scale. In two-dimensions, the energy cascades backward from small to the large scales because of the presence of two sign-definite conserved quantities, energy and enstrophy (Kraichnan 1967, Boffetta and Musacchio 2010). Inverse energy cascades are also observed in anisotropic 3D setups, e.g., in systems under strong rotation, with high shear, under confinement along one direction and in conducting fluids (Brandenburg 2001, Mininni et al 2009, Celani et al 2010, Lohse and Xia 2010, Deusebio and Lindborg 2014, Biferale et al 2016, Sahoo et al 2017) with reduced dynamical equations (Embid and Majda 1998, Sukhatme and Smith 2008) often used to highlight the underlying physical processes in geophysical phenomena.

It has also been argued that in three dimensions the second quadratic invariant helicity plays an important role in the dynamics of the energy transfer (Moffatt 1969, Brissaud et al 1973, Constantin and Majda 1988, Moffatt and Tsinober 1992, Benzi et al 1996, Ditlevsen 1997, Biskamp 2003, Chen et al 2003a, 2003b, Holm and Kerr 2007, Baerenzung et al 2008, Biferale et al 2012, 2013, Biferale and Titi 2013, Laing et al 2015, Sahoo et al 2015, Sahoo and Biferale 2015, Stepanov et al 2015) even though it is not sign definite. Linear stability analysis of individual triads (see section 2 and Waleffe 1992) shows that triads which couple Fourier modes with the same helical content (homochiral triads) are capable of transferring energy from small to large scale while all the other triads (heterochiral) lead to a forward cascade. Indeed, in a direct numerical simulation of a 3D homogeneous and isotropic turbulent flow an inverse energy transfer is observed when Fourier modes with only one sign of helicity are kept in the system (Biferale et al 2012, 2013).

Earlier studies have shown that starting from an homochiral Navier–Stokes simulation and by adding modes with the opposite sign of helicity, leads to a transition from inverse to direct energy cascade (Kraichnan 1971, Herbert 2014, Sahoo et al 2015). The transition is different, depending on the protocol used to add heterochiral interactions (Sahoo et al 2015, 2017). Unfortunately, the only way to study all potentially different triadic families is to recover to a fully spectral code (Smith and Lee 2005) with the consequential limitations in the computational applications.

This paper studies the dynamics of the three dimensional Navier–Stokes equations in the other limit: by restricting the evolution to heterochiral interactions only. The aim is to understand how much the forward energy transfer is affected by removing the homochiral triads, the ones that are leading to an inverse energy transfer if taken alone. The problem is important in connection with the presence of anomalous scaling and intermittency, i.e., the existence of strong non-Gaussian fluctuations in the inertial range of turbulence (Frisch 1995). Indeed, it is not known how much intermittency depends on the structure of the Fourier interactions.

The paper is organized as follows. In section 2 we introduce the helically decomposed Navier–Stokes equations, in section 3 we discuss the numerical techniques used in our simulations, in section 4 we show the results from our direct numerical simulations followed by a discussion and conclusions in section 5.

2. Helically decomposed Navier–Stokes equations

In a 3D periodic domain the velocity field can be expressed in Fourier series as

\[ u(x) = \sum_k \hat{u}_k e^{i k \cdot x}. \]  

(1)
For low-Mach number flows the Fourier modes $\hat{u}_k$ satisfy the incompressibility condition
\[ k \cdot \hat{u}_k = 0 \] (2)
and therefore can be exactly decomposed in terms of the helically polarized waves as (Constantin and Majda 1988):
\[ \hat{u}_k = u^+_k h^+_k + u^-_k h^-_k. \] (3)
We write $u^+_k \equiv u^+_k h^+_k$ and $u^-_k \equiv u^-_k h^-_k$ so that $\hat{u}_k = u^+_k + u^-_k$. Here $h^+_k$ are the eigenvectors of the curl operator such that
\[ \iota k \times h^+_k = \pm k h^+_k; \] (4)
and are given by
\[ h^+_k = \hat{\iota}_k \times \hat{k} \pm i \hat{\iota}_k, \] (5)
where $\hat{\iota}_k$ is an unit vector orthogonal to $k$ with the property $\hat{\iota}_k = -\hat{\iota}_k$ and can be realized as
\[ \hat{\iota}_k = \frac{z \times k}{||z \times k||}, \] (6)
for any arbitrary vector $z$. The orthogonality conditions for the eigenvectors $h^+_k$ are
\[ h^+_s \cdot h^*_t = 2 \delta_{st}, \] (7)
where $s$ and $t$ are signs of the helicity which can be either $+$ or $-$ and $*$ denotes the complex conjugate. We can then define a projector
\[ P^+_k h^+_k = \hat{u}^+_k = u^+_k h^+_k. \] (8)
which projects the Fourier modes of the velocity on eigenvectors $h^+_k$ as
\[ \hat{P}^+_k \hat{u}_k = \hat{u}^+_k = u^+_k h^+_k. \] (9)
We can then write the Navier–Stokes equations separately for velocities with positive or negative sign of helicity as:
\[ \partial_t u^+(x) + D^+ N[u(x), u(x)] = -\nabla p(x) + \nu \Delta u^+(x), \] (10)
where $D^+$ is the projector on $h^+_k$, equivalent of $P^+_k$, in real-space:
\[ D^+ u(x) \equiv \sum_k e^{ikx} \hat{P}^+_k \hat{u}_k = u^+(x). \] (11)
$N [u(x), u(x)]$ is the nonlinear term of the Navier–Stokes equations which in Fourier-space is given by
\[ \hat{N}_k = -i \sum_{k+p+q=0} (q \cdot \hat{u}_p) \hat{u}_q \] (12)
The inviscid invariants, the total energy and the total helicity, are sum of the contributions from positively and negatively helical Fourier modes:
\[ E = \int \text{d}^d x \, |u(x)|^2 = \sum_k |u^+_k|^2 + |u^-_k|^2, \] (13)
\[ H = \int \text{d}^d x \, u(x) \cdot \omega(x) = \sum_k k (|u^+_k|^2 - |u^-_k|^2), \] (14)
where $\omega(x) = \nabla \times u(x)$ is the vorticity.
It can be seen that the nonlinear term (12) consists of eight possible helical combinations of the generic modes $\hat{u}^k_s$, $\hat{u}^p_s$, $\hat{u}^q_s$ forming a triad $k + p + q = 0$ for $s_k = \pm$, $s_p = \pm$, $s_q = \pm$ (Waleffe 1992). Figure 1 shows schematic representation of the triads which fall into four independent classes because of the symmetry that allows simultaneous change of the sign of the helicity of each mode. For simplicity we assume that $k \leq p \leq q$. Each of these triads conserve energy and helicity individually.

The triads are classified as follows: Class-I contains the homochiral triads with velocity Fourier modes having same sign of helicity for all wavenumbers, i.e., $(\hat{u}^k_s, \hat{u}^p_s, \hat{u}^q_s)$; Class-II contains the triads with velocity Fourier modes having same sign of helicity for two large wavenumbers but opposite sign of helicity for two smaller wavenumbers, i.e., $(\hat{u}^k_s, \hat{u}^p_s, \hat{u}^q_s)$; Class-III contains the triads with velocity Fourier modes having same sign of helicity for the largest and the smallest wavenumbers, i.e., $(\hat{u}^k_s, \hat{u}^p_s, \hat{u}^q_s)$; and Class-IV contains triads with velocity Fourier modes having opposite sign of helicity for two larger wavenumbers but same sign of helicity for two smaller wavenumbers, i.e., $(\hat{u}^k_s, \hat{u}^p_s, \hat{u}^q_s)$.

Using linear stability analysis for energy exchange among the modes of each single triad it was argued that (Waleffe 1992) the triads of Class I do transfer energy backward, while those of Class II, where largest wavenumbers have same sign of helicity, are capable of transferring energy from the unstable velocity Fourier mode with intermediate wavenumber to the other two modes, leading to a forward or to a backward cascade depending on the geometry of the triad (De Pietro et al 2015, Rathmann and Ditlevsen 2016). The triads in Classes III and IV, where largest wavenumbers have opposite sign of helicity, transfer energy from the unstable velocity Fourier mode with smallest wavenumber to the other two modes with larger wavenumbers and are responsible for forward cascade of energy. However, in presence of more than one triads, competing triadic interactions do not allow simple prediction for direction of the energy transfer mechanism. Moreover depending on the actual realization of the flow based on the forcing scheme, the boundary conditions, etc, different directions of the energy transfer could be observed.

In a turbulent flow sustained by a homogeneous and isotropic forcing mechanism where all possible triadic interactions are present energy is observed to be transferred forward from large to small scales (Frisch 1995). However when the dynamics is restricted to only velocity
Fourier modes with one sign of helicity, i.e., interacting triads of Class-I ($s_k = s_p = s_q$), energy cascades from small scales to the large scales (Biferale et al 2012). This is attributed to the fact that the second quadratic invariant, Helicity, becomes sign-definite for such subset of interactions. It was also observed (Sahoo et al 2015) that presence of few percent of modes with opposite sign of helicity at all scales changes the direction of energy transfer in a singular manner; even though triads of Classes II–IV are a small fraction of Class-I, they efficiently transfer energy to the small scales. It would therefore be important to study a system without the triads of Class-I in order to highlight their role in the dynamics of full Navier–Stokes equations.

3. Direct numerical simulations

We have performed direct numerical simulations with a fully-dealiased, pseudo-spectral code at resolution of $512^3$ collocation points on a triply periodic cubic domain of size $L = 2\pi$. We used a random Gaussian forcing to maintain a steady flow with

$$\langle f(k, t)f_j(q, t') \rangle = F(k)\delta(k - q)\delta(t - t')Q_{ij}(k),$$

(15)

where $Q_{ij}(k)$ is a projector that insures incompressibility. The amplitude $F(k)$ is nonzero only for $|k| \in [k_{\text{min}} : k_{\text{max}}]$. This is the standard way energy is injected at large scale in simulations of turbulent flows in order to keep homogeneity and isotropy, i.e., to keep the maximum symmetry in the system (Borue and Orszag 1995, Alvelius 1999, Smith and Waleffe 1999, Biferale et al 2012, Sahoo et al 2015, 2017). Table 1 lists the parameters of the simulations. We have used a fully helical forcing with projection on $h^k$ in order to ensure a maximal injection of helicity. We do not expect any dependency of small-scale statistics on the forcing adopted here because Navier–Stokes turbulence is known to have universal fluctuations in the inertial and viscous ranges (Benzi et al 2010, Schumacher et al 2014) irrespective of large-scale driving mechanism.

First we carried out a simulation (R1) of standard Navier–Stokes equations with energy injected at the large scales $k_1 \in [1, 2]$. In second simulation (R2) we removed all the triads belonging to Class-I from the dynamics of the Navier–Stokes equations: we solved the following modified Navier–Stokes equations

$$\partial_t u(x) + N[u(x), u(x)] - D^+ N[u^+(x), u^+(x)]$$
$$- D^- N[u^-(x), u^-(x)] = -\nabla p + \nu \Delta u(x) + f^+, \quad (16)$$

where $\nu$ is the viscosity and $p$ is the pressure. Such a reduction of triads preserve the conservation of energy and helicity of the system. The triads in this simulation are shown in

| RUN | $N$ | $L$ | $\nu$ | $k_1$ | $u_{\text{min}}$ | $R_e$ | $\langle \varepsilon \rangle$ | $\eta$ | $T_0$ |
|-----|-----|-----|-------|-------|----------------|-------|----------------|-------|-------|
| R1  | 512 | $2\pi$ | 0.002 | [1, 2] | 3.5 | 220 | 3.2 | 0.005 | 0.3 |
| R2  | 512 | $2\pi$ | 0.002 | [1, 2] | 3.7 | 240 | 2.5 | 0.007 | 0.3 |
| R3  | 512 | $2\pi$ | 0.002 | [1, 2] | 3.5 | 210 | 2.8 | 0.007 | 0.3 |
Figure 2. In third simulation \(R_3\) we removed randomly 10\% of negatively helical velocity Fourier modes from the system using the method described in Sahoo et al. \(2015\). We define an operator \(D^\alpha\) that projects each wavenumber with a probability \(0 \leq \alpha \leq 1\):
\[
u^\alpha(x) \equiv D^\alpha \nu(x) \equiv \sum_k e^{i k x} D_k^\alpha \hat{u}_k,
\]
where \(D_k^\alpha \equiv (1 - \gamma^\alpha_k) \mathbb{I} + \gamma^\alpha_k D_k^+\) and \(\gamma^\alpha_k = 1\) with probability \(\alpha\) or \(\gamma^\alpha_k = 0\) with probability \(1 - \alpha\). The \(\alpha\)-reduced Navier–Stokes equations (\(\alpha\)-NSE) are
\[
\partial_t \nu^\alpha = D^\alpha [-\nu^\alpha \cdot \nabla \nu^\alpha - \nabla p^\alpha] + \nu \Delta \nu^\alpha,
\]
Notice that the nonlinear terms on the rhs of \((18)\) are further projected by \(D^\alpha\) in order to enforce the dynamics on the selected set of modes for all times. These methods of reduction of degrees of freedom results in a loss of Lagrangian properties of the system \((Moffatt 2014)\). We chose \(\alpha = 0.1\) for \(R_3\). The triads present in this simulation are shown in figure 3.

4. Results

We measured the energy flux due to the nonlinear terms, given in equation \((12)\),
\[
\Pi_{E}(k) = \sum_{|k'| < k} \hat{u}^*_k \cdot \hat{N}_k^e,
\]
across a wavenumber \(k\), for all three cases. We show the total energy flux and the energy flux due to only homochiral triads (Class-I), by using either of the projected velocity modes \(u_k^\pm\) in equations \((12)\) and \((19)\) in the full Navier–Stokes equations (no mode reduction) in figure 4.
The flux due to triads of Class-I has opposite sign to that of total flux indicating that those interactions contribute with an inverse transfer of energy already in the full equations as observed in Alexakis (2017). Also in figure 4 we compare the total energy flux in full Navier–Stokes (from simulation R1), $\alpha$-reduced Navier–Stokes equations (R3) and Navier–Stokes equations without the triads of Class-I (from simulation R2); there is no significant difference in the total flux. This is due to the fact that the net total flux has strictly zero backward energy transfer for all the three cases.\footnote{In Sahoo et al (2015) it was shown that the energy transfer is reversed only when almost all negative modes are removed, i.e., $\alpha \sim 1$.}

In figure 5 we compare the energy spectra for the same three cases (R1–R3) which are indistinguishable from each other. Energy spectra are not sensitive to reduction of triads as

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**Figure 4.** Semi-log plots of energy fluxes. Flux of energy due to triads formed by three Fourier modes with same sign of helicity (Class-I) in full Navier–Stokes equations (R1) are shown by filled squares whereas the total flux of energy is shown by empty squares. Total energy flux from simulation (R3) with $\alpha = 0.1$ are shown by circles whereas triangles show the same from simulation (R2) of Navier–Stokes equations without triads of Class-I.

**Figure 5.** Log–log plots of energy spectra. Triangles, squares and circles show the spectra of energy from simulations of full Navier–Stokes equations (R1), $\alpha$-reduced Navier–Stokes equations (R3) and Navier–Stokes equations without Class-I triads (R2), respectively. The black line shows $k^{-5/3}$ scaling for reference.
long as triads facilitating forward energy cascade are present in the system. This is in agreement with earlier observation (Sahoo et al 2015).

One goal of this paper is to study the effects of removing Class-I triads on the intermittency of the system. We measured the flatness, defined as

$$F(r) = \frac{S_4(r)}{[S_2(r)]^2}$$  \hspace{1cm} (20)

and hyperflatness, defined as

$$H(r) = \frac{S_6(r)}{[S_2(r)]^3}$$  \hspace{1cm} (21)

Figure 6. (a) Flatness of longitudinal velocity increments from simulations of full Navier–Stokes equations (R1), $\alpha$-reduced Navier–Stokes equations (R3) and Navier–Stokes equations without Class-I triads (R2) are shown by triangles, squares and circles, respectively. (b) Flatness of transverse velocity increments. Error-bars show the fluctuations in the steady-state.
of longitudinal velocity increments

$$\delta_r u_L = [u(x + r) - u(x)] \cdot \frac{r}{r} \quad (22)$$

and transverse velocity increments

$$\delta_r u_T = [u(x + r) - u(x)] \cdot \frac{r'}{r'} \quad (23)$$

where $r'$ is perpendicular to the direction of $r$, shown in figure 6 for three cases R1–R3. Structure functions, longitudinal and transverse, of order $p$ are defined as

\[ S_p / \langle u^2 \rangle = r^{p-2} \]
where angular brackets denote spatial average.

The flatness of both longitudinal and transverse velocity increments in the inertial range, for the full Navier–Stokes (R1) and the Navier–Stokes with only heterochiral triads (R2) are comparable within the error-bars (see figure 6(a)). This indicates that homochiral (Class-I) triads, which are responsible for inverse energy transfer, have no significant role in intermittency in the inertial range of scales. However the flatness for the \( \alpha \)-reduced Navier–Stokes equations (R3) is much lower than the full Navier–Stokes equations (R1). A similar behavior is also observed for the longitudinal and transverse hyper-flatness which are shown in figure 7. It is also observed that in absence of homochiral triads (R2) the intensity of the longitudinal atness at the gradient scale is marginally higher than the full Navier–Stokes case (R1) and the opposite is measured for the transverse increments, which could be an indication

\[
S^{\text{LT}}_p(r) = \langle (\delta_r u_{\text{LT}})^p \rangle,
\]

where angular brackets denote spatial average.

\( \omega^2 = |\nabla \times u|^2 \) is the enstrophy, from simulations of full Navier–Stokes equations (R1), \( \alpha \)-reduced Navier–Stokes equations (R3) and Navier–Stokes equations without Class-I triads (R2) are shown by triangles, squares and circles, respectively.
that the presence/absence of homochiral triads slightly modifies the small-scale vortical structure of the flow.

To further investigate this aspect, we measured the probability distribution function (pdf) of local energy dissipation rate and of local enstrophy (see figure 8). As inferred from the measurement of the atness we observed that the pdf of energy dissipation has a longer tail for the case of only heterochiral triads and the opposite happens for the enstrophy distribution, confirming that the absence of homochiral triads might have a different impact in regions of high strain or high rotation.

Visualization of the flow field with plots of isovorticity surfaces are shown in figure 9. In both cases where we have removed the Class-I triads or a fraction of triads of classes other than Class-I the filament-like structures are reduced. In absence of Class-I triads we observe more sheet-like structures. The visible change in the ‘coherency’ of the flow together with the intermittency robustness as a function of the removal of Class-I triads suggest that the main signature of anomalous scaling in the inertial range of the full Navier–Stokes equations is not strongly connected to any clearly detectable ‘coherent structure’.

5. Conclusions

We carried out direct numerical simulations of 3D Navier–Stokes equations and of the Navier–Stokes equations without the triads formed by homochiral velocity Fourier modes (here taken the positive ones). We observed that inertial range intermittency remains almost unaffected confirming that the forward energy cascade is mainly dominated by heterochiral triads. On the other hand, by removing negative helical modes also on the heterochiral triads, intermittency strongly reduces, suggesting that the formation of small-scale intense events needs almost all triads that transfer energy forward. A small change at the scale crossing between viscous and inertial terms is observed when homochiral triads are removed from the dynamics in agreement with the presence of more sheet-like structures in the flow. It would be interesting to extend this kind of studies to less symmetric flow configuration. In particular it might be key to apply it for the case of turbulence under rotation, where previous works have shown that helicity plays a role in enhancing the inverse energy cascade regime (Pouquet and Mininni 2010). On the other hand, rotating turbulence tends to become quasi-2D in the limit
of very intense rotation rate (Chakraborty 2007, Biferale et al 2016), and therefore there must exists a trade-off between the role played by helicity (exactly vanishing in 2D) and rotation (Biferale et al 2017). Similarly, it is not known the role played by homochiral and heterochiral triads in strongly anisotropic flows as for the case of homogeneous shear and for decaying turbulence. Work in this direction is ongoing and it will be reported elsewhere.

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