Fetal alcohol spectrum disorders from childhood to adulthood: a Swedish population-based naturalistic cohort study of adoptees from Eastern Europe

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ABSTRACT

Background Fetal alcohol spectrum disorders (FASD) are a global health concern. To further understand FASD in adulthood is a major public health interest.

Objective To describe the clinical characteristics of young adults with FASD adopted from orphanages to a socially more favourable and stable rearing environment as children.

Design Prospective observational cohort study

Setting Western Sweden

Participants A population-based cohort of 37 adoptees diagnosed with FASD in childhood.

Outcome measures Assessment consisted of clinical evaluations of social, medical, psychiatric, neuropsychological, adaptive and ophthalmological status by a physician, ophthalmologist, orthoptist and psychologist.

Results Out of 37 adoptees with FASD, 36 (15 females) were evaluated at a median age of 22 years (range 18–28) and a mean follow-up time of 15.5 years (range 13–17). Twenty (56%) were dependent on social support. Sexual victimisation was reported by nine (26%). In 21 individuals with fetal alcohol syndrome, growth restriction in height and head circumference of approximately −1.8 SD persisted into adulthood. Of 32 examined, 22 (69%) had gross motor coordination abnormalities. High blood pressure was measured in nine (28%). Ophthalmological abnormalities were found in 29 of 30 (97%). A median IQ of 86 in childhood had declined significantly to 71 by adulthood (mean difference: 15.5; 95% CI 9.5–21.4). Psychiatric disorders were diagnosed in 88%, most commonly attention deficit hyperactivity disorder (70%). Three or more disorders were diagnosed in 48%, and 21% had attempted suicide. The median Clinical Global Impression-Severity score was 6 = ‘severely ill’.

Conclusion Major cognitive impairments, psychiatric morbidity, facial dysmorphology, growth restriction and ophthalmological abnormalities accompany FASD in adulthood. Recognition of FASD in childhood warrants habilitation across the lifespan.

INTRODUCTION

The link between prenatal alcohol exposure and brain injury has been known to exist for centuries. With the independent recognition of alcohol-related malformations, by Lemoine, Ulleland, Smith, Jones and colleagues, a clinical syndrome was identified which eventually became known as fetal alcohol syndrome (FAS). Since then, major contributions in epidemiological and teratological research have corroborated the deleterious effects of alcohol exposure during pregnancy, elucidating the pathogenic mechanisms of alcohol on the face and brain in particular. A spectrum of alcohol effects on embryonic and fetal development has been recognised, and is today known as fetal alcohol spectrum disorders (FASD). Diagnostic criteria differ somewhat between countries, but the key features of FAS—growth deficiency, facial dysmorphology and neurobehavioral impairment—remain the same as originally described. The clinical criteria for FASD, according to Hoyme et al., recognise that individuals with all key features have FAS, whereas those with facial dysmorphology and neurobehavioral impairment are recognised as having partial FAS (PFAS), and those with confirmed alcohol exposure and neurobehavioral impairment as having alcohol-related
neurodevelopmental disorder (ARND). Finally, concurrent facial dysmorphology and structural birth defects are diagnosed as alcohol-related birth defect (ARBD).

Although epidemiological studies and estimates of FASD consistently report minimum prevalence rates of 1.1%–5%, the contribution from alcohol exposure during pregnancy to the global economic and health burden is frequently overlooked and is deemed grossly underestimated. The literature on the consequences of FASD in adulthood is limited, but there are indications of persistence of disabilities and need for long-term support. However, to distinguish the effects of prenatal alcohol exposure from those of an adverse postnatal rearing environment has been a methodological challenge, since children with FASD are at increased risk of unstable family relations and placements, orphanages, and adoptions. For children separated from their family, evidence suggests that adoption is associated with higher levels of emotional security and general well-being than provided by impermanent placements or orphanages, and therefore poses the best long-term alternative.

Aims of the study
The aim was to characterise young adult outcome of FASD in a socially favourable rearing environment, and to evaluate the diagnostic stability of FASD into adulthood.

MATERIAL AND METHODS
Design, setting and participants
In a previous population-based study all children adopted to western Sweden during 1990–1995 from Russia, Poland, Romania, Latvia or Estonia were invited for in-depth health examinations. Of 99 children invited, data on 76 children on arrival at a mean age 2.8 years was reported. At age 5–10 years, we diagnosed neurodevelopmental disorders in 64 of 71 children (90%) and ocular abnormalities in 56 of 72 (78%). For 37 (52%), a FASD diagnosis according to the criteria described by Hoyme et al., with ascertained alcohol exposure, was confirmed in childhood. It has since been recognised that a frequent reason for leaving a child in an orphanage in this region includes maternal alcohol abuse. Because of insufficient data about many parents, exposure and birth status, 52% likely reflected a minimum rate of FASD in our cohort. We thought this information bias made comparisons to those in the cohort without FASD invalid. Therefore, only the 37 individuals with diagnosed FASD were invited for follow-up visits in young adulthood (18–28 years).

Assessment at adult follow-up
Assessment consisted of evaluations of the participants’ social, medical, psychiatric, ophthalmological and psychological status by a paediatric neurologist or resident physician, ophthalmologist, orthoptist and psychologist on up to three occasions. Half of the cohort was seen in 2014, the other half in 2017–2018.

Social evaluation
A structured questionnaire covering educational attainment and current social circumstances was used, generally administered to parents by phone, and clarified at the medical examination.

Medical evaluation
Lung and heart auscultation, neurological examination of reflexes, muscle tone, finger to nose test and heel to shin test, and diadochokinesis test were performed by the examining physician. Measurements of height, weight, body mass index (BMI), occipitofrontal circumference (OFC) and blood pressure (BP) were recorded and converted to appropriate SDs and percentile scores. BP and heart rate were recorded according to instructions by the US National High Blood Pressure Education Program. Upper lip and philtrum were assessed independently and were scored using the Lip–Philtrum Guide. Lip and philtrum rating at the first assessment was done with a scale that preceded the one currently in use. To enable comparison of diagnostic domains of FASD in childhood and at follow-up in adulthood, frontal photos of the participants taken at first assessment were rated using the Hoyme et al.’s Lip–Philtrum Guide (2016). Pain or sleep problems were present if a significant disturbance was reported at least once a week.

Psychiatric evaluation
To assess for affective psychotic disorders, substance abuse and antisocial personality disorder, the Mini International Neuropsychiatric Interview 6.0.0 (MINI) was used by the examining physician. Presence of attention deficit hyperactivity disorder (ADHD) was assessed with questions from the Adult ADHD Self-Report scale 1.1. Because young adults tend to underestimate ADHD symptoms, the assessment was informed by family, the medical records, other measures when available and clinical judgement. Based on all available information, the clinical condition was evaluated with the Clinical Global Impression-severity (CGI-S) instrument, using an ordinal scale where 1 = ‘normal’ and 7 = ‘extremely ill’. The scoring rationale for the CGI-S and clinical vignettes exemplifying each score can be found in the online supplementary file.

Ophthalmological evaluation
An ophthalmological assessment of visual acuity, refraction, strabismus and structural abnormalities was made by ophthalmologist and orthoptist, with the same procedure as in the original study. An in-depth report of the ophthalmological assessment will be published elsewhere.

Psychological evaluation
Leiter-Revised (Leiter-R) non-verbal tests, consisting of an IQ test of fluid reasoning and visualisation, as well as the Leiter-R Attention and Memory battery, were administered. The Leiter-R rating scales for parents and psychologists, and the Adaptive Behavior Assessment Scale (ABAS-II) parent form were also administered. The
testing was conducted by the same psychologist (LS) as in the original study.23

**Patient and public involvement**

Patients were not directly involved in the design, recruitment or in the conduct of this study.

**Statistical methods**

SPSS V.22 (IBM Corp.) was used for all analyses. Frequencies, means, SDs, medians and ranges were calculated for descriptive purposes. When comparing continuous data being reassessed, paired samples test was performed. For detection of subgroup differences, Kruskal-Wallis test was used.

### Table 1 Social circumstances of adoptees with fetal alcohol spectrum disorders in young adulthood (n=36)

| Highest completed education       |          |
|----------------------------------|----------|
| Special education                | 14 (39)  |
| Primary school, 9 years          | 9 (25)   |
| Secondary school, 12 years       | 11 (31)  |
| Attempted university studies      | 2 (5)    |

| Criminality                      |          |
|----------------------------------|----------|
| Victim of sexual crime*          | 9 (26)   |
| Victim of physical assault       | 4 (12)   |
| Criminal convictions†             | 2 (6)    |

| Income and compensation          |          |
|----------------------------------|----------|
| Working                          | 11 (31)  |
| Social welfare                   | 10 (28)  |
| Disability pension               | 10 (28)  |
| Student                          | 5 (14)   |

Values expressed as numbers and percentages.  
*Reported by six women and three men.  
†Two men reported convictions for drug-related theft and violence.

### RESULTS

The follow-up cohort consisted of 36 of 37 individuals (97%), 15 females, with a median age of 22 years (range 18–28 years) and a median follow-up time of 15.5 years (range 13–17 years). Half of the group seen in 2014 were mainly individuals with a FAS diagnosis. The social interview was completed by 36 individuals, the medical and psychiatric examination by 32, the ophthalmological by 30 and the psychological examination by 29. Medical charts contributed additional data for some individuals. Morbidity, reluctance towards further investigations, and the inconveniences of travelling were reasons for participation in only some of the tests.

### Social evaluation

The participants’ social circumstances are shown in table 1.

### Medical evaluation

Measurements and traits within the diagnostic domains of FASD at the time of diagnosis and at follow-up are summarised in figure 1. Mean SDs of weight, length, head circumference and BMI at four measurements for the FAS group are depicted in figure 2. Symptoms, findings and health measures reported at the medical assessment are presented in table 2.

### Psychiatric evaluation

Results of the psychiatric interviews are summarised in table 3.

Substance use disorders were found in two cases each of polysubstance use and alcohol use. A formalised evaluation of autistic traits was not part of the assessment, but four individuals reported having been diagnosed with autism outside the study. Past and/or present contact with adult psychiatry was reported by 18 individuals (50%). Participants with FAS had higher CGI-S scores, but in post-hoc analyses no significant differences between the FASD subgroups were found (Kruskal-Wallis test; p=0.07). Clinical vignettes with CGI-S scores are found in online supplementary appendix S1.
Table 2  Medical examination of adoptees with fetal alcohol spectrum disorders in young adulthood 

| Any abnormal motor finding             | 22 (69) |
|----------------------------------------|---------|
| Dysdiadochokinesis                      | 15 (47) |
| Balance disturbance                     | 5 (16)  |
| Abnormal muscle tone                    | 4 (13)  |
| Ataxia                                  | 2 (6)   |
| Abducens paresis                        | 1 (3)   |
| Any somatic complaint                   | 24 (75) |
| Sleep disturbance                       | 15 (41) |
| Headache                                | 11 (30) |
| Other pain                              | 10 (27) |
| Stomach disturbance                     | 6 (16)  |
| Any metabolic risk factor               | 18 (51) |
| Body mass index ≥25                     | 10 (30) |
| Tobacco smoker                          | 7 (20)  |
| Hypertensive†                           | 9 (28)  |
| Prehypertensive                         | 3 (9)   |
| Any psychotropic medication             | 16 (46) |
| ADHD medication                         | 8 (23)  |
| Antidepressants                         | 7 (20)  |
| Neuroleptic                             | 3 (9)   |
| Anticonvulsant                          | 3 (9)   |
| Asthma treatment                        | 3 (9)   |

Values expressed as numbers and percentages. 
*Information was obtained via telephone and from the medical records in three additional cases. 
†Three individuals were concurrently receiving attention deficit hyperactivity disorder (ADHD) medication.

Ophthalmological evaluation

Measurements of inner canthal distance (ICD) and palpebral fissure length (PFL) are given in online supplementary table S1. In the cohort, findings of ophthalmological abnormalities, such as low visual acuity, refractive errors, strabismus, ptosis and intraocular abnormalities (increased tortuosity of retinal vessels, abnormal optic disc), were seen in 32 out of 37 (86%) in childhood and in 29 out of 30 (97%) at follow-up.

Psychological evaluation

Scores for the Leiter-R IQ and ABAS-II are shown in online supplementary table S2. Increased deficits in adaptive and cognitive functions in relation to the expected trajectory were noted by the psychologist. In a post-hoc analysis of the baseline median IQ score of 86, the median IQ at follow-up was significantly lower, 71 (paired samples test mean difference: 15.4; 95% CI 9.5 to 21.4).

When comparing only individuals with data at both time points (childhood and adulthood, n=29), the difference remained significant (paired samples test mean difference 18.9; 95% CI 12.7 to 25.0).

DISCUSSION

This observational, population-based cohort study provides insight into the long-term outcomes in young
adulthood of children with FASD raised in a socially favourable environment. In summary, the proportion still meeting diagnostic criteria for FASD in adulthood was substantial, and participants exhibited high rates of social dependency, medical, psychiatric, ophthalmological symptoms and cognitive impairments.

Results must be interpreted with caution due to limitations. Sample size is small, and there was no specific comparison group from study initiation. Two time points for follow-up introduced a systematic bias of younger age in those with FAS, although all were 18 years or older. Educational attainment and health trajectory compared with that of older participants is therefore uncertain, and in all participants follow-up time is limited to young adulthood. However, results may be juxtaposed to the few studies of adults prenatally exposed to alcohol, raised in chaotic family situations or in institutional care. This allows for some inference about the role of environment. Individuals with FASD has been underrecognised and overrepresented among children in out-of-home placements. Therefore we think the findings from this study has external validity when applied to individuals in out-of-home placement for similar reasons, that is, maternal drug abuse, and that country of origin or age at adoption, are of subordinate importance. Because alcohol exposure is rarely assessed biochemically or with adequate interview techniques, it is often underestimated and may confound comparisons with other cohorts.

The proportion of participants with FASD graduating from secondary school after 12 years of education (36%) in this cohort is substantially lower than that reported for earlier groups of Swedish adoptees, adopted due to national crises of war, rather than drug abuse (Of 8000 individuals adopted from Asian countries to Sweden during the 1970s, graduation was achieved in slightly lower rates (80%) compared with Swedish-born peers (85%)). At an age of around 40 years, 11% of Asian adoptees were dependent predominately on social support, in comparison with 5% of the general population, which is substantially lower than the 56% reported for this younger cohort. This rate is in line with a Swedish registry-based follow-up of 72 individuals with FASD, with a 51% unemployment rate at a mean age of 32 years. The differences suggest innate, rather than social, factors as determinants of social prognosis. Streissguth, studying a clinical sample of 90 young adults with FASD, estimated a 60% lifetime prevalence of delinquency, which is in contrast to the prevalence of 6% found in this study. We hypothesise that the transition to a relatively stable social environment of adoption outside of big cities mitigates this risk.

When diagnostic criteria are revisited in adulthood, the proportion fulfilling the anthropometric criteria are somewhat decreased. The philtrum and lip rank is still high, but this criterion must be interpreted with caution as it may suffer from observer bias, and absence of validated scales for dysmorphological evaluation of FASD in adults. This highlights the difficulty of diagnosing FASD in adulthood, and the need for complementary diagnostic methods.

The anthropometric measurements of the FAS group were below the population mean at all time points. The markedly small head circumference and stunted height, noted on arrival in Sweden, probably represents a consequence of prenatal suboptimality in general and alcohol exposure in particular, followed by an unfavourable rearing environment. The arrival to a more favourable milieu as these children were adopted resulted in a partial correction of the stunted growth patterns towards normal ranges. BMI steadily increased from arrival to adulthood and can be expected to continue with increasing age, in line with secular trends. However, the patterns of stunted growth figures of −2 SD for height and head circumference returned in adulthood, and implies an altered trajectory of growth in FAS. Stunted growth patterns in children when encountered clinically should not be evaluated only medically, but also using thorough multidisciplinary neurodevelopmental examinations longitudinally. A fragmented healthcare where so termed psychiatric, social or medical symptoms are assessed as isolated phenomena, otherwise struggles to detect intricate biopsychosocial patterns as exemplified by FASD.

High BP was found in nine (28%) individuals. Unfortunately, repeat measurements were not possible. The expected prevalence of hypertension at this age in this setting is 2%. In conjunction with elevated rates of smoking and increasing BMI, the high rate in our cohort may signal an impending health concern. The Barker hypothesis states that ailments of adulthood, such as cardiovascular disease and metabolic syndrome, have fetal origins. Studies of preterm birth and low birth weight support this hypothesis. Alcohol exposure, being related to both preterm birth and low birth weight, may therefore be an underestimated factor for fetal origins of disease, and warrants further longitudinal study.

The lifetime prevalence of epilepsy increased from one (3%) in childhood to three (8%) in young adulthood. Epilepsy was added to the 2016 revised criteria for FASD. Incidence of epilepsy is known to have a second peak in adolescence, and additional studies on adults may increase estimates of lifetime prevalence of epilepsy in FASD.

Motor abnormalities were found at similar rates in adulthood (69%) as in childhood (68%). This is in line with a study by Connor et al, where coordination problems persisted into adulthood for a clinical sample of 60 individuals with FASD. A few studies indicate persisting dysdiadochokinesia predictive of psychiatric morbidity in adulthood, such as schizophrenia and anorexia nervosa. The findings agree with the literature on soft motor signs being overrepresented among individuals with psychiatric morbidity, of whom individuals with FASD may constitute a substantial minority, given that 50% already reported contact with adult
psychiatry. A relation between alcohol exposure and decreased basal ganglia volume has been described. Basal ganglia have been implicated in developmental coordination disorder (DCD) and learning disabilities, as well as ADHD. Despite early reports of DCD in FAS, domains of coordination are not incorporated into diagnostic criteria. The persisting motor impairments and associated cognitive deficits caused by prenatally acquired brain injury that constitutes FASD suggest that FASD could be included under the umbrella term of cerebral palsy.

The proportion exhibiting small palpebral fissures had decreased considerably by adulthood (76% vs 47%). Asymmetry, as captured by a difference in ICD and PFL ≥5 mm, was likewise somewhat decreased (46% vs 35%). Clinical presentations with asymmetrical growth pattern can raise concerns about FASD in addition to other genetic syndromes. Although the correlation between OFC and PFL has been assumed to be strong, the variance in PFL, explained by OFC where studied, is at best 15%. Whereas brain growth drives the growth of the head, PFL is better understood as related to factors other than crude brain size, such as growth of the eye and the forebrain. The correlation between ICD and PFL in patients with FASD has been weak and ICD may therefore capture aspects of neurodevelopment not captured by PFL.

Non-specific somatic complaints have previously been reported in association with, and preceding, affective disorders, both of which were common in this cohort. The heritability of affective disorders has been estimated to be 40%. Given that alcohol abuse sometimes indicates self-medication, a genetic liability may be overrepresented in FASD independently of the alcohol exposure itself. In addition, traumatic experiences in childhood, academic and professional failure, and underachievement relative to peers pose an environmental substrate for demoralisation and chronic stress, increasing liability for affective disorders.

The rates of depression, anxiety disorders and suicide attempts found in this cohort correspond to findings of earlier studies. Executive dysfunction and marked attention problems have been highlighted elsewhere but this is, to our knowledge, the first study in adults with FASD assessing criteria for ADHD. The increase in ADHD from childhood (62%) to adulthood (70%) may reflect both parents’ tolerance for executive dysfunction in childhood and increased demands on executive function in adulthood. However, lower symptoms of impulsivity and less deviant social interactions in adulthood, based on standardised testing, could lead researchers to overestimate the functioning of adults with FASD, a point reiterated by researchers in the field of FASD.

In this cohort, psychotic disorders were rare (3%), which is in contrast to results by Famy et al, who report mainly brief psychotic disorder, in 40% of 25 studied individuals with FASD. The relation between psychotic disorders and substance dependence in that sample is not discussed. The rate of depression (44% vs 42%) and psychiatric disorders in general (92% vs 88%) was similar.

Mukherjee et al compared children with FASD exposed (n=45) and not exposed (n=52) to prolonged neglect, and found no significant difference in neurodevelopmental morbidity. They concluded from their sample that alcohol exposure and innate factors explain neurodevelopmental morbidity alone, independently of neglect. This is in line with a recent twin study, where monozygotic twins, despite being differentially exposed to childhood maltreatment, were concordant regarding symptom rates of neurodevelopmental disorders. In a longitudinal study of English and Romanian adoptees, by Sonuga-Barke et al, two cohorts who spent >6 months versus ≤6 months in an institution were compared. Rates of emotional distress (45% vs 18%) and ADHD symptoms (32% vs 12%) were higher in the group with prolonged institutionalisation. Despite higher rates of extremely low birth weight <2500g (32.9% vs 22%) in the group with the prolonged stay, and limited reports of perinatal risks such as gestational age, alcohol exposure, birth head circumference or any dysmorphology assessment during the study, the difference in neurodevelopmental outcome was attributed mainly to institutional deprivation. It is worth noting that 30% of the initial cohort in our study were adoptees from Romania, born in the same years as the participants in Sonuga-Barke et al’s cohort but generally adopted at a later age (mean age 3.3 years). Given the high minimum rate of confirmed alcohol exposure in our initial sample (52%) and the fact that institutions prioritise healthier children for internal adoptions at a young age, a bias towards higher rates of alcohol exposure may be a substantial source of confounding in their group of adoptees.

A review by Kable et al summarises the literature on neurobehavioral deficits in FASD, specifically deficits in neurocognition, self-regulation and adaptive behaviour. A characteristic of FASD is increasing adaptive deficits with age, relative to peers. A higher than expected rate of autism has been reported previously in FASD, and deficits in social skills and communication are part of the adaptive deficits described. Adult adaptive functioning requires goal-directed behaviour, abstract thinking, generalisation of cause and effect, managing household, finances and social relations—all functions that are inseparable from neurocognition and self-regulation. The decrease in non-verbal IQ to adulthood indicates that stunted growth in FASD applies not only to weight and length but also to brain maturation and function, and corresponds to the adaptive deficits. To our knowledge, this marked decrease in IQ has not previously been demonstrated in the literature on FASD. The non-verbal tests cover spatial reasoning, working memory, problem solving, logical reasoning and generalisation, higher order functions requiring efficient interconnectivity of large brain areas. An underlying deficit in these higher order brain functions would be expected to affect proficiency in complex tasks in general, not just one, becoming more evident with age.
CONCLUSIONS

Children with FASD have a prenatal brain injury, often associated with compounding effects from postnatal deprivation and unstable social circumstances. These children require rehabilitation, special education and social support. This is accomplished by assessment and care by paediatricians, psychologists, allied health professionals and teachers, beginning in childhood and across the lifespan.

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