Control of Andreev bound state population and related charge-imbalance effect

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Abstract
Motivated by recent experimental research, we study a superconducting constriction subject to a dc and ac phase bias. We consider the processes whereby the ac drive promotes one quasiparticle from an Andreev bound state to a delocalized state outside the superconducting gap. We demonstrate that with these processes one can control the population of the Andreev bound states in the constriction. We stress an interesting charge asymmetry of these processes that may produce a charge imbalance of accumulated quasiparticles, which depends on the phase.

Keywords: Andreev bound state, ac driven superconducting junction, charge imbalance

1. Introduction

Superconducting nanodevices are among the most promising candidates to realize quantum computation in the solid state [1] and for many other applications. Quasiparticle poisoning, whereby an unwanted quasiparticle enters a bound state in the device, is an important factor harming their proper operation [2]. Naively, the superconducting gap $\Delta$ should ensure an exponentially small quasiparticle concentration at low temperatures. However, various experiments indicate that a long-lived, non-equilibrium quasiparticle population persists in the superconductor, affecting the operation of various superconducting devices [3–8], including tempting proposals to use Majorana states in superconductors [9–11].

This makes it important to develop the means of an active control of the quasiparticle population in bound states associated with a nano-device.

As a generic model we consider a superconducting constriction with a few channels of arbitrary transmission. This enables us to study both tunnel junctions and highly transparent atomic break junctions [12]. The simplicity of this model enabled detailed theoretical research, also on time-dependent properties [13–17]. In the presence of a phase difference at the constriction, a spin-degenerate Andreev bound state (ABS) is formed in each channel [18, 19]. In a recent pioneering experiment [20], the population of such a single bound state has been detected by its effect on the supercurrent in the constriction. The spectroscopy of Andreev states has also been successfully performed in this setup [21, 22]. Thus motivated, we theoretically investigate the control of the population of quasiparticles in the ABS at a superconducting constriction by means of pulses of high-frequency microwave irradiation.

In this publication, we demonstrate that an efficient control of the ABS can be achieved by inducing the processes of ionization and refill (figure 1), due to an ac modulation of the phase drop across the junction, $\phi(t) = \phi + \delta\phi \sin(\Omega t)$. In the course of such a process, a quasiparticle is promoted to the delocalized states and leaves the constriction. We compute the rates of these processes in the lowest order in irradiation amplitude $\delta\phi$. We find an interesting charge asymmetry of the emitted quasiparticles. This asymmetry leads to a net quasiparticle current and charge imbalance of the quasiparticles accumulated in the vicinity of the constriction. Charge imbalance can be measured by a standard setup using a normal-superconducting (N-S) tunnel junction [23–29].

The text is structured as follows. After the description of the model in section 2, we first present the results for the manipulation of the ABS occupation in section 3, followed by...
We focus on the regime of low temperatures which permits to neglect the equilibrium population of delocalized quasiparticle states. Let us consider a quasiparticle in the ABS with energy $E_A < \Delta$. If we modulate the superconducting phase with the frequency $\Omega > \Delta - E_A$ ($\hbar = 1$), we can transfer this quasiparticle to the states of the delocalized spectrum. This is an ionization process. Suppose we start with no quasiparticle in the constriction and wish to fill the bound state. This can be achieved by the absorption of a quantum of the high-frequency phase modulation, provided the energy quantum exceeds $\Delta + E_A$. In the course of such a refill process, one quasiparticle emerges in the Andreev level while another one is promoted to the delocalized states and leaves the constriction.

We model the superconducting weak link with a 1D quantum Hamiltonian corresponding to a single transport channel. The constriction of length $L$ is modeled by a scattering potential $V(x)$, with the spatial coordinate $x$. A finite vector potential $A(x)$ on a local support provides a phase bias between the left and right contact, $\phi = 2e^c \int dx A(x)$. We focus on the regime where the excitation energy is much smaller than the Fermi energy, such that the spectrum can be linearized. Left/right moving electrons with the Fermi wave vector $\pm k_F$ are represented by a pseudo spin vector basis, $|\mp\rangle$, where $\sigma_z = |+\rangle\langle+| - |\mp\rangle\langle\mp|$. In the linearized regime, the current density operator is represented as $j = -e v_F \hat{\sigma}_z$. The Bogoliubov-de Gennes Hamiltonian is then given as

$$H = [-i\tau_0 \partial_x + V(x)\sigma_x] \tau_z - e v_F A(x) \sigma_z + \Delta \tau_x,$$  

where the Pauli matrices $\tau_i$ represent the Nambu space and $v_F$ is the Fermi velocity. The potential $V(x)\sigma_x$ provides a finite reflection probability from left to right movers and vice versa, with $\sigma_x = |+\rangle\langle+| - |\mp\rangle\langle\mp|$. The potential function $V(x)$ is a real function and has a finite support in the interval $x \in [0, L]$.

First, we diagonalize the Hamiltonian (1) for a stationary phase $\phi$. Assuming a short constriction, $L \ll v_F/\Delta$, there is a spin-degenerate Andreev bound state solution $|\phi_A(x)\rangle$ with a subgap eigenenergy $E_A = \Delta \sqrt{1 - T_0 \sin^2(\phi/2)}$. The normal state transmission coefficient $T_0$ characterizes the transport channel under consideration. The Andreev bound state is responsible for the supercurrent in the constriction. In addition, there are the extended scattering eigenstates $|\phi_B^\alpha(x)\rangle$ with eigenenergies $E > \Delta$, where an $\eta$-like quasiparticle ($\eta = e, h$) is outgoing to the left/right contact, $\beta = l, r$. They have the BCS density of states $\nu(E) = \theta(E - \Delta) \sqrt{E^2 - \Delta^2} v_0$, where $v_0$ is the normal metal density of states. This set of outgoing states is connected to the incoming scattering states via the scattering matrix $S_{\beta \gamma} = \langle \phi_B^\alpha | \phi_A^\beta \rangle$. Our scattering matrix coincides with the one found in [17].

We treat the ac drive of the phase, $\delta \phi \sin(\Omega t)$, as a perturbation and we compute the rates of various processes in the lowest order, $\sim (\delta \phi)^2$, applying Fermi's golden rule. The advantage of the model and the gauge in use is that the matrix elements of the perturbation only depend on the wave functions $|\phi(x)\rangle$ at the origin. The rate of ionization from the bound state outgoing to a delocalized $\eta$-like quasiparticle state outgoing to contact $\beta$ with energy $E = \Omega + E_A$ reads

$$\Gamma_{A \rightarrow \beta \eta} = \frac{\pi}{8} (\delta \phi)^2 \nu(E) \left| \langle \phi_A(0) | j | \phi_B^\eta(0) \rangle \right|^2.$$  

The rate of the refill process whereby a Cooper pair is broken and the quasiparticles occur in the bound state and in the continuum, at energy $E = \Omega - E_A$, reads

$$\Gamma_{0 \rightarrow \beta \eta} = \frac{\pi}{8} (\delta \phi)^2 \nu(E) \left| \langle \phi_B^\eta(0) | j | \phi_A(0) \rangle \right|^2,$$  

with $|\phi_B^\eta(0)\rangle = i \tau_0 \sigma_z |\phi_A(0)\rangle$. The total ionization and refill rates are defined as $\Gamma_1 = \sum_{\beta, \eta} \Gamma_{A \rightarrow \beta \eta}$ and $\Gamma_R = \sum_{\beta, \eta} \Gamma_{0 \rightarrow \beta \eta}$. The explicit expressions for the rates in lowest order in the phase modulation amplitude read as follows (see [16]),

$$\Gamma_{1,R} = \frac{T_0 (\delta \phi)^2}{16} \theta(\Omega \pm E_A - \Delta) \sqrt{\Delta^2 - E_A^2 \over \Delta^2} \times \sqrt{\Omega \pm E_A} \Delta^2 \cos \phi + 1 \over (\Omega \pm E_A)^2 - E_A^2.$$  

We see that the ionization and refill rates at $T_0 \simeq 1$ are of the order of $(\delta \phi)^2 \Delta$ and, at sufficiently large phase modulation amplitudes, are restricted by $\Delta$ only. Thus the population of the ABS can be changed quickly. As mentioned in the introduction, the ionization and refill rates occur only above a threshold frequency of $\Omega > \Delta \mp E_A$, respectively. Note that higher-order multi-photon processes may lower the threshold frequency. At sufficiently low driving power, such processes are however not visible, see, e.g. the experiment of [22].

We illustrate the frequency dependence of the ionization and refill rates in figure 2. In the limit of large frequencies, both rates saturate at the same value. We stress, however, that the practical frequencies for the manipulation of the ABS are most likely restricted by $2\Delta$: higher frequencies would cause

4 The expression for $\Gamma_R$ may be readily understood in the semiconductor picture where the refill process corresponds to transferring a quasiparticle from a delocalized state at negative energies to the ABS.
massive generation of quasiparticle pairs at the constriction and in the bulk of the superconductor. At lower frequencies, bulk quasiparticles are not overheated since the ac electric field is concentrated at the constriction.

In addition to the microwave-induced processes, there are intrinsic processes changing the ABS occupation. For low temperatures, the only such process is the annihilation of two quasiparticles in the same ABS. Hence, the annihilation process preserves the parity of the ABS occupation. This inelastic process is due to quantum fluctuations of the two quasiparticles in the same ABS. Hence, the annihilation rate is significant at the time span of the manipulation.

First, let us concentrate on a simple situation when the annihilation rate is significant at the time span of the manipulation. In this case, the constant ac modulation will cause phase modulation responsible for refill processes also produces larger annihilation. In this case, the constant ac modulation will cause a random distribution of the population.

An efficient manipulation of the population is yet possible, provided one can measure the result of the manipulation, that is, the population. This is equivalent to measuring the superconducting current response of the constriction. A practical measurement would most likely address the inductive response that takes three discrete values following the population of the state,$I_n = I_0 (1 - n)$.

First, let us concentrate on a simple situation when the annihilation rate is significant at the time span of the measurement and manipulation. In this case, the doubly occupied state is unstable and only$n = 0, 1$ are achievable. This corresponds to the current experimental situation [20]. If$n = 1$ and we wish to set$n = 0$, we just need to apply an ac pulse with the frequency $E_A - \Delta < \Omega < E_A + \Delta$ and a duration exceeding $\Gamma_1^{-1}$. If$n = 0$ and the desired state is$n = 1$, we will apply a refill pulse and measure the result. If$n = 1$, we are there. If not, we apply another pulse.

Since the ac manipulation is fast, it is plausible to control the population even at time scales $\sim \Gamma_1$ with a similar scheme and go from any $n = 0, 1, 2$ to any $m = 0, 1, 2$, combining measurement as well as refill and ionization pulses. Naturally, this requires the measurement time to be much shorter than $\Gamma_1^{-1}$. If$n > m$, we apply ionization pulses, otherwise refill pulses permitting occasional ionization. The frequency and duration of the pulse can be optimized to boost the rate and the probability to come to the desired state with a minimum number of measurements. For instance, for$n = 2, m = 1$, the optimal duration of the ionization pulse is $\Gamma_1^{-1} \ln 2$ that results in the maximum $50\%$ probability to achieve $m = 1$ with a single pulse.
4. Charge imbalance

We find a very interesting asymmetry of the quasiparticles emitted in the course of the described processes. While the quasiparticles fly with equal probability to both leads, more electron-like quasiparticles leave to one of the leads while more hole-like ones leave to the opposite lead. This results in a net \( q_0 \) per process that we define as \( q_0(E) = \sqrt{\frac{E - E_0}{v_F}} \Gamma_0 \), where \( E \) is the energy of the emitted quasiparticle and \( a = I, R \). The rates \( \Gamma_{ae} \) and \( \Gamma_{rh} \) are the partial rates for electron- and hole-like quasiparticles, respectively. They are defined as \( \Gamma_{ae} = \frac{1}{\eta} \Gamma_0 \), as we choose to focus on the charge transfer to the right electrode. Note that due to charge conservation the corresponding charge transfer on the left side is simply opposite.

Upon evaluating the rates, the charge transfers \( q_{lR} \) for the processes considered are expressed as

\[
q_{lR} = \pm 2 \frac{\partial E_A}{\partial \phi} \left( \frac{\Omega + E_A}{2} - \frac{\Delta^2}{E_A^2} \right) \frac{E_A \left( 1 \pm \frac{E_A}{2\Delta} \right)}{\Omega E_A \pm \Delta^2 (1 + \cos \phi)}.
\]

In figure 3, \( q_{lR} \) as a function of \( \phi \) is plotted for several parameters. We see immediately that \( q_0(\phi) = -q_0(-\phi) \), like the supercurrent. Inverting the phase therefore inverts the charge transfer.

Contrary to the supercurrent, the charge transfer exhibits a discontinuity at \( \phi = 0 \). The explanation of this rather counterintuitive feature is that the wave function of the Andreev bound state is not a continuous function of \( \phi \) at \( \phi = 0 \), since the state merges with the delocalized spectrum at this point. The charge transfers \( q \) are \( 2\pi \)-periodic and have a node at \( \phi = \pi \), where the charge asymmetry vanishes. In addition, \( q_l \) and \( q_R \) are generally of opposite sign and for each value of \( \phi \), \( |q_l| \geq |q_R| \). The maximum charge transfer for a given \( \phi \) is reached in the limit of a fully transparent constriction \( T_0 \to 1 \) (thick curves in figure 3) where the ac drive actually produces only a quasiparticle of one kind, namely, e-like (h-like) for \( 0 < \phi < \pi (\pi < \phi < 0) \).

Under constant irradiation the net charge transfer per unit time is computed from the master equation (6) and reads

\[
\dot{q} = q_0 \Gamma_I (P_1 + 2P_2) + q_0 \Gamma_R (2P_0 + P_1) \tag{9}
\]

The quasiparticle current \( e\dot{q} \) coexists with the supercurrent \( I_s(P_0 - P_2) \). We see that the refill process is crucial for the net effect: otherwise the ABS will always be empty. Inserting the stationary probabilities in the limit of \( \Gamma_A \ll \Gamma_{IR} \), one finds that the charge transfer due to the ionization process is always dominant (i.e., \( \dot{q} \) has the same sign as \( q_0 \)), see also figure 4. In the limit \( \Gamma_A \gg \Gamma_{IR} \) on the other hand, the fast \( \Gamma_A \) suppresses the two quasiparticle states, \( P_2 \to 0 \), such that the refill process can become dominant, which can give rise to the charge of sign in the charge transfer as shown in figure 4.

If the thermalization of the quasiparticle distribution in the leads near the constriction is not immediate, the effect leads to charge imbalance [23, 24]. Namely, the charge transfer asymmetry gives rise to the build-up of a non-equilibrium quasiparticle charge density \( \rho \). This charge imbalance can be measured with a normal-metal voltage probe attached to the superconductor: the method proposed in [25] and widely applied in recent years [26, 27], see figure 5. In this case, \( \rho \) gives rise to a current \( I_V \) at the N-S tunnel junction. Applying a voltage \( eV_{out} = \mu_N - \mu_S \) between the normal metal and superconducting contacts produces a counter-current \( I_V \). The voltage \( V_{out} \) at which \( I_q = I_V = 0 \) is the signal of the charge imbalance.

For \( T \ll \Delta \), this measurement is extremely sensitive owing to the fact that \( I_V \) is formed by the normal-metal excitations with energies \( > \Delta \). Since at low temperatures the number of these excitations is exponentially small, an exponentially large \( V_{out} \) is required to compensate \( I_q \). In the linear regime, the signal voltage reads \( eV_{out} = TP/\rho \), where \( c_0 = \nu_0 \sqrt{2\pi T \Delta e^{\Delta^2/T}} \) is the equilibrium quasiparticle density and \( \nu_0 \) the normal metal density of states. Owing to this, even at moderately low \( T = 0.05\Delta \) in aluminum, a charge imbalance of 0.001 elementary charges per cubic micrometer produces already a signal \( \approx 0.1T/e \). The above relation is valid if \( eV_{out} \ll T \), at larger imbalances the signal saturates at \( T \ln(\rho/\rho_0) \), see appendix A.

To estimate \( \rho \), we note that potential scattering does not lead to the relaxation of charge imbalance: this
requires inelastic processes and/or scattering on magnetic impurities [25]. The charge imbalance lifetime \( \tau_q \) is therefore long and quasiparticles diffuse far away from the constriction, spreading over the length scale \( L_q \approx \sqrt{D\tau_q} \), where \( D \) being the quasiparticle diffusion coefficient. We assume the N-S voltage probe to be placed within this scale. The created quasiparticles are distributed over \( V \), the volume of the lead at the scale \( L_q \).

We note that the normal-state resistance of this piece of the lead can be estimated as \( R_q^{-1} \approx e^2v_0D\tau_q/L_q^2 \). This permits to represent the estimation in a compact form (see appendix A), independent of peculiarities of the geometry and disorder in the leads: \( \rho \approx (R_qG_Q)v_0\dot{q} \).

Combining estimations for \( V_{\text{out}} \) and \( \rho \) and estimating \( \dot{q} \approx (\delta \phi)^2\Delta \equiv \Gamma \), we find

\[
eV_{\text{out}} \approx (R_qG_Q)v_0\frac{v_0}{\Delta} \Gamma \approx (R_qG_Q)v_0\frac{T}{\Delta} e^{\Delta/T} \Gamma.
\]

To get a rough estimate of achievable values, we take \( R_q \approx 1 \, \text{Ohm} \), \( \Gamma \approx 10^{-3}\Delta \approx 1 \, \mu\text{eV} \), \( T \approx 0.05\Delta \). Without the exponential factor, the value of \( V_{\text{out}} \) would be in the nanovolt range. However, the exponential factor yields nine orders of magnitude. Since such an estimation greatly exceeds \( T \), the signal voltage in this case would saturate at the value \( T \approx 10 \, \mu\text{eV} \) which is easy to measure.

An alternative measurement is to use a grounded N-S junction [28]. The current signal would then be due to the emitted quasiparticles to the normal electrode. If the junction conductance \( G_T \) is sufficiently large, \( G_TR_q \gg 1 \), all emitted quasiparticles would do so resulting in \( I_{\text{out}} \approx e\dot{q} \approx e\Gamma \).

\section{5. Conclusion}

We studied the processes of quasiparticle emission in a superconducting constriction subject to an ac phase modulation and proposed an efficient scheme to control the occupation of the ABS. In addition, we found an asymmetry of the rates of electron- and hole-like quasiparticle emission. This asymmetry is expected to lead to a charge imbalance of the quasiparticles accumulated near the constriction which may be measured in an open or closed circuit geometry. Our results may be generalized to the multi-channel case, by summing up the contributions of each channel.

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\section{Appendix A. Charge imbalance voltage estimation}

We propose a measurement of the charge imbalance due to an N-S junction close to the constriction that creates a net charge \( \dot{q} \), as defined in equation (5) in the main text. Following the lines of [24], the normal metal and superconductor are connected via a tunnel junction with the conductance \( G_T \). The current leaving the normal metal due to the finite voltage can be given as

\[
I_V = \frac{G_T}{e} \int_{\Delta}^{\infty} dE \frac{E}{\sqrt{E^2 - \Delta^2}} [f(E + eV) - f(E - eV)], \tag{A.1}
\]

where \( V \) is the voltage across the N-S junction and \( f \) is the Fermi distribution. In the low voltage limit, \( eV \ll T \), one can approximate \( I_V \approx \frac{G_T}{e} \frac{V}{v_0} \delta(\Delta) \equiv \frac{\Gamma}{\Delta} \). At higher voltages, \( T \ll eV \ll \Delta \), one may approximate \( I_V \approx -\frac{1}{\pi} G_T e^{eV/T} \frac{\delta(\Delta)}{\Delta} \). The current entering the normal metal due to the polarization of quasiparticles is given as

\[
I_q = \frac{G_T}{e} \int_{\Delta}^{\infty} dE \left[ f_0^q(E) - f_0^h(E) \right], \tag{A.2}
\]

where \( f_{e,h}^q \) are the nonequilibrium distributions of the electron- and hole-like quasiparticles in the lead. For the purpose of this estimation it is sufficient to express this current contribution simply as \( I_q = \frac{\rho}{\mu} \frac{\delta(\Delta)}{\Delta} \), where \( \rho \) represents the non-equilibrium density of quasiparticles.

In order to estimate \( \rho \) in terms of the charge transfer \( \dot{q} \), we can apply a simple diffusion model. Consider the steady state diffusion equation for the spatially resolved charge density \( \rho(\tilde{x}) \), \( \tilde{x} = (x, y, z) \),

\[
D\tilde{\nabla}^2 \rho(\tilde{x}) - \tau_q^{-1} \rho(\tilde{x}) = -\dot{q}\delta(\tilde{x}) + \frac{I_{\text{out}}}{e} \delta(\tilde{x} - \tilde{x}_T), \tag{A.3}
\]

where \( D \) is the quasiparticle diffusion coefficient, the charge imbalance source \( -\dot{q} \) is placed at the axis origin and \( I_{\text{out}} = I_V + I_q \) is the net current leaving through the tunnel detector situated at \( \tilde{x}_T \). We summarize the relaxation processes for the nonequilibrium quasiparticle density (as mentioned in the main text) in a single rate \( \tau_q^{-1} \). Furthermore, we impose a hard wall boundary condition at the constriction.
We consider two possibilities to probe the charge imbalance. The first consists of a voltage probe, where the voltage across the N-S junction is set to $V = V_{\text{out}}$ such that the net current at the junction cancels, $I_{\text{out}} = 0$. This voltage is directly sensitive to $\rho$, i.e., $eV_{\text{out}} = T\rho/c_0$ ($eV \ll T$) or $V_{\text{out}} \simeq T \ln(\rho/c_0)$ ($eV \gg T$). Due to the condition $I_{\text{out}} = 0$, the drain term on the right-hand side of equation (A.3) is zero and we find that the density $\rho(x)$ simply decays as $\sim e^{-x/L_q}$ with the charge imbalance decay length $L_q = \sqrt{D\tau_q}$. In order to provide an estimate independent of the geometric details, we simply average $\rho(x)$ over this length scale (a good approximation as long as the voltage probe is situated within $L_q$) and we find $\rho \simeq q/\sqrt{T \rho/c_0}$ where $\rho$ is the volume of the lead at the length scale $L_q$. Thus one recovers the estimate of the voltage $V_{\text{out}}$ as in equation (6) in the main text.

Alternatively, the charge imbalance may be measured by a direct current probe where the N-S junction is grounded. Hence, $I_V = 0$ and $I_{\text{out}} = I_q = \frac{G_T}{\pi \rho} \rho(\sqrt{T})$, which means that the probe affects the nonequilibrium density $\rho$. Solving the diffusion equation (A.3) then readily provides $I_{\text{out}}$ in terms of the net charge transfer $\dot{q}$. In the limit when the distance between source and drain is $\ll L_q$ and $G_T \gg R_q^{-1}$ (where $R_q^{-1} \approx e^2D\rho_0/V/L_q^2$) one obtains $I_{\text{out}} \approx e\dot{q}$. Therefore if the current probe is close enough, a high conductance allows ideally for a detection of the full charge transfer $\dot{q}$.

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