An investigation into the effects of acupuncture on radial pressure pulse waves in patients with low back pain: A protocol for a quasi-experimental study

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ARTICLE INFO

Keywords:
Acupuncture
low back pain
lumbago
pulse diagnosis
radial pressure pulse wave
Traditional Chinese Medicine protocol

ABSTRACT

Introduction: The characteristics of radial pressure pulse waves (RPPW) provide an essential diagnostic technique in Traditional Chinese Medicine (TCM). The purpose of this research is to develop a study protocol that integrates the concept of TCM and traditional acupuncture treatment with modern scientific tools utilizing a quasi-experimental design. We will investigate the effects of acupuncture on the RPPW in study participants with low back pain (LBP) using modern tools, including the pulse sphygmograph, the fingertip-to-floor test, the Faces Pain Scale-Revised, the Oswestry Disability Index, the Health Status Questionnaire, and the Constitution in Chinese Medicine Questionnaire.

Methods: We will attempt to recruit 80 eligible subjects with LBP based on our predefined inclusion and exclusion criteria. Acupuncture intervention will be performed bilaterally on Shenshu (BL23), Dachangshu (BL25) and Weizhong (BL40) for 20 min. Objective and subjective baseline assessments and outcome evaluations will be performed at a specific time before and after the intervention. This paper describes the methods of our original research approved by the China Medical University Hospital's Research Ethics Committee. Recruitment is in progress and data collection will continue until March 2019.

Conclusions: To our knowledge, this preliminary study is the first attempt to investigate the effects of acupuncture on the RPPW in LBP subjects using a pulse sphygmograph and other modern tools. The findings will also investigate the effectiveness of the selected acupuncture point combinations for LBP. We hope this preliminary study will provide a basic foundation for a large-scale research study that involves randomisation in the future.

1. Introduction

The characteristics of radial pressure pulse waves (RPPW) provide an essential diagnostic technique in Traditional Chinese Medicine (TCM). Traditionally, physicians palpate the radial pulse to diagnose the TCM syndrome differentiation of the diseases in addition to other clinical observations. The pulse diagnosis also guides the physician in the clinical decision-making of the treatment strategy and evaluates its effectiveness.

There are 28 types of radial pulse profiles documented in the ancient TCM texts. Each profile is characterised by its pulse depth, pulse rate, pulse waveform, pulse density and pulse intensity. Conventionally, the wrist radial pulse is palpated by the physician using the index, middle and fourth fingers simultaneously or individually. The radial pulse is divided into three regions that represent the positions of Cun, Guan and Chi pulse. Each pulse corresponds to the meridians and the visceral organs as illustrated in Fig. 1 [1].

The conventional method of pulse diagnosis relies absolutely on the
physician's finger sensitivity and his personal experiential judgement. These subjective assessments can lead to inconsistency in diagnosis and treatments. Hogeboom (2001) and Coeytaux et al. (2006) studied the variability in the diagnosis and treatments of acupuncturists. They concluded that TCM diagnosis and the choice of treatment regimens varied widely among physicians [2,3].

Therefore, with the development of modern scientific tools like the pulse sphygmograph, the digitalisation of pulse profiles has provided quantitative and objective assessments of the radial pulse. Table 1 provides the significant developments of some modern scientific tools.

Both the time domain and frequency domain analyses are frequently used to investigate the radial pulse wave dynamics. The time domain analysis can investigate the amplitude and shape of the arterial waveform while the frequency domain analysis can determine the fluctuation of the spectral energy (SE) in the radial pulse waves. Fig. 2 illustrates a typical presentation of the time domain and frequency domain analyses in the pulse sphygmograph used in our study.

Tsai et al. (2018) concluded that the time domain analysis provides a significant variation in the hemodynamic characteristics in the different radial pulse positions and indicators [10]. Moreover, Huang et al. (2011) investigated the radial pulse pressure parameters using the frequency domain analysis and reported a significant difference in SE at the Cun, Guan, and Chi pulses [11].

In this study, we focused our investigation on changes in RPPW during acupuncture intervention in low back pain (LBP) participants using the frequency domain analysis. Based on the Taiwan National Health Insurance 2016 statistical reports, dorsalgia ranked second for musculoskeletal and connective tissue diseases seen at all TCM outpatient clinics [12]. Globally, LBP ranked as the leading cause of years lived with disability in 2016 and has contributed 57.6 million years lived with disability, reported for 328 diseases and injuries in 195 countries [13].

Table 2 provides the classification of LBP based on syndrome-aetiology differentiation in TCM [14].

Differential diagnosis ultimately leads to different treatment strategies. In Chapter 17 of Huang Di Nei Jing, it is documented that ‘The lumbus represents the house of the kidneys’. To validate our hypothesis that acupuncture affects the Chi pulse (which reflects the kidney Qi) in LBP subjects more significantly than the other two pulse positions, we will investigate the high-frequency spectral energy in the radial pulse before and after acupuncture using the pulse sphygmograph. In addition, other objective and subjective assessments like the fingertip-to-floor (FTF) test and the Faces Pain Scale-Revised (FPS-R) test will be included to evaluate the effectiveness of the acupuncture intervention on LBP. The Oswestry Disability Index (ODI), the Health Status Questionnaire, and the Constitution in Chinese Medicine Questionnaire (CCMQ) will also be used to evaluate functional status and body constitution of each subject. The CCMQ was published by the China Association of Chinese Medicine in 2009 to assess the basic body constitution of the subjects based on TCM principles [15,16].

### 2. Materials and methods

#### 2.1. Study design

This quasi-experimental study is a single arm, pre-post intervention study that integrates the concept of TCM and traditional acupuncture treatments with modern scientific tools. This type of nonrandomised, pre-post intervention design has been used before to evaluate the benefits of specific interventions in healthcare settings [17].

| Time-line | Publications/Researchers | Significant developments |
|-----------|-------------------------|-------------------------|
| 1950s     | Zhu Yan (China)          | Development of lever-type pulse plethysmograph. |
| 1960s     | Zhao-Fu, Fei (China)     | Focus on the study of the pulse wave spectrum using Frequency Domain Analysis. |
| 1976      | Shu-You, Wang (Taiwan)   | Developed the pulse sphygmograph device connected to computers to perform pulse waveform analysis [4]. |
| 1984      | Ling-Yun, Wei (Taiwan)   | Studied the difference in radial pressure pulse waves using Spectral Energy Ratio. Found that the spectral energy had large variations above 10 Hz in unhealthy patients [5]. |
| 1987–1989 | Wei-Kung, Wang (Taiwan)  | Utilized Fast Fourier Transformation (FFT) to analyze the pulse waveform. |
|           |                         | Introduced “Resonance Theory”. The resonance frequencies of organs were found corresponded to the spectral-domain harmonics of the arterial pulse. |
|           |                         | Focused on low-frequency Spectral Energy 0-10 Hz [6]. |
| 2005–2012 | Chin-Ming, Huang (Taiwan) | Further developed pulse sphygmograph based on the device model from Prof SY Wang. |
|           |                         | Found that acupuncture had a more significant effect on Spectral Energy 10-50 Hz and 13-50 Hz than that on Spectral Energy 0-10Hz [7]. |
|           |                         | Reported that the spectral domain analysis provided a more in-depth understanding of the relationship between the arterial pulse and disease conditions [8]. |
|           |                         | Concluded that majority of the disease conditions showed a response in Spectral Energy 13-25Hz and the peak was frequently found at 13–16Hz. |
|           |                         | The studies had included Time Domain Analysis and Frequency Domain Analysis. |
| 2013      | Korea Institute of Oriental Medicine, Daejeon (Korea) | Developed pulse tonometric device based on sensor displacement [9]. |
2.1.1. Protocol overview and setting

The Standard Protocol Items: Recommendations for Interventional Trials (SPIRIT) 2013 checklist is being used to guide our study. Fig. 3 illustrates the flow diagram of the study and Table 3 provides the study schedule for enrolment, intervention, and outcome measurements.

The study will be conducted in the Acupuncture Department of the China Medical University Hospital (CMUH), Taichung, Taiwan with the approval of the CMUH Research Ethics Committee (REC) under protocol numbers CMUH107-REC2-022 and CMUH107-REC2-022 (AR-1). Recruitment will be promoted through advertisements on the hospital’s websites, bulletin boards, advertisement tickers, and regular internal hospital circulars. The list of potential subjects will be consolidated, and the research assistant will contact the subjects to guide them through the informed consent process. Informed consent in the format approved by the REC will be explained and written consent will be obtained from the subjects. Subjects will be given the right to reject participation in the study at any time without prejudice to their medical care, and they will not be obliged to provide a reason for withdrawal. Eligible subjects will receive a session of acupuncture treatment in this intervention study.

2.1.2. Subjects and sample size

We will attempt to recruit 80 subjects to ensure a sufficient sample size for statistical analysis of the various types of LBP based on TCM syndrome differentiation. All subjects will receive the same intervention. Methods of randomisation and blinding will not be taken into consideration since this is a pre-post intervention study.

A previous study by Huang et al. (2012) reported a significant mean change in the spectral energy 13–50 Hz (SE13–50Hz) before and after acupuncture [8]. Kim et al. (2017) and Shin et al. (2016) had anticipated a 5% type 1 error, 80% power and 5% drop rates based on the mean change and standard deviation derived from Huang et al.’s study [9,10]. They had estimated a sample size of 25 based on a single arm study without a control group. However, there is currently a lack of preceding data on the prevalence ratios of various types of LBP. Therefore, we estimate a sample size of 80 will be sufficient for statistical analysis using Huang et al.’s (2012) study as a reference.

To be eligible in our study, subjects need to be at least 20 years old, of either gender, and with a chief complaint of LBP. They also need to be diagnosed with one of the following three types of LBP: lumbago (ICD-9-CM 724.2), lower back pain: loin pain, low back strain and lumbago not otherwise specified (NOS) (ICD-10-CM M54.5), or lumbar with sciatica (ICD-10-CM M54.4).

An identification code will be used in the evaluation forms, such as in the ODI, the Health Status Questionnaire, the CCMQ and the pulse assessment reports, to maintain the confidentiality of personal information. The list of subjects and identification codes will be maintained by the principal investigator. Subjects will be advised to avoid smoking or taking caffeinated drinks like coffee, tea or cola before treatment on the day of their acupuncture session.

Subjects with the following conditions will be excluded from our study: (a) subjects who are pregnant or lactating; (b) subjects with chronic heart failure, carcinomas under chemotherapy, psychological, or psychiatric disorders; (c) subjects with heart diseases and transplanted devices such as pacemakers; (d) subjects with acute infections such as upper respiratory infections, acute gastroenteritis, or urinary infections.

Fig. 2. A typical presentation of the time domain and frequency domain analyses of the right Chi pulse.

Table 2

| Type of Syndrome | Syndrome Classification of LBP | Cause(s) | Pain Description |
|------------------|-------------------------------|---------|-----------------|
| Repletion        | 1. Cold damp syndrome         | Cold and dampness | • Cold pain, heaviness in the lumbar region<br>• Numbness, soreness, limited movement or cramps may be experienced<br>• Pain in lower leg may be involved<br>• History of cold invasion |
|                  | 2. Damp heat syndrome         | Dampness and excessive heat | • Pain, burning sensation or heaviness in the lumbar region<br>• Pain may be aggravated in hot, humid weather condition but alleviated after movement. |
|                  | 3. Blood stasis syndrome      | Blood stasis | • Stabbing and fixed pain on the lumbar region<br>• Stiffness on bilateral sides of the lumbar region<br>• Pain aggravated after overstrain or a long period of sitting or in the morning<br>• History of traumatic injury or overstraining |
| Vacuity          | 4. Kidney deficiency syndrome | Kidney Vacuity | • Soreness, dull pain, and weakness in the lumbar region<br>• Weakness of the knees and legs<br>• Pain is alleviated upon massage and in lying position<br>• Repeated attacks |
tract infections; (e) subjects unable to undergo evaluation with the pulse sphygmograph; (f) subjects with alcohol abuse or drug addiction; (g) subjects with communication disorders; (h) subjects who refuse to either provide informed consent or participate in the study; (i) subjects who are excluded at the principal investigator’s discretion; (j) subjects who have participated in other clinical trials within the last three months; and (k) subjects who are allergic to metal.

2.2. Study procedure

Fig. 4 provides the study procedure and the estimated duration of the procedure.

The baseline assessment and the objective and subjective evaluations will be conducted in an environment with an ambient temperature of 25°C. These assessments are summarised in Table 4.

2.2.1. Baseline assessments

2.2.1.1. Vital signs. Vital signs including body temperature, systolic blood pressure, diastolic blood pressure and radial pulse rate will be assessed at the specified intervals before and after the acupuncture procedure. Vital signs (1) will serve as the baseline control at rest while the stability of vital signs (2) and (3) before and after the intervention will be compared.

2.2.1.2. The Health Status Questionnaire and the Constitution in Chinese Medicine Questionnaire (CCMQ). Information of age, gender, body weight, body height, and health status will be obtained from each subject through the questionnaire. To analyse the various types of LBP, we will also gather additional information like the region of pain, type of pain and pain duration.

There are nine types of body constitution including Normality, Qi deficiency, Yin deficiency, Yang deficiency, Phlegm-dampness, Damp-heat, Blood stasis, Qi depressed and Inherited special constitutions. Each subject will answer seven to eight questions in each category. A higher score from the scoring algorithm indicates the likelihood of a specific type of body constitution. A 2013 study proved the validity, reliability, and responsiveness of the CCMQ in Hong Kong Chinese people [18]. The correlation between the body constitutions and the various types of LBP based on TCM syndrome differentiation will be evaluated.

2.2.1.3. The Oswestry Disability Index (ODI). A Chinese 2.1 version of the ODI will be adopted. The ODI is one of the most common outcome measures of functional status used in the clinical management of spinal disorders [19,20].

2.2.2. Primary and secondary outcome measures

We will use the assessment of RPPW with a pulse sphygmograph at
specific intervals before and after the acupuncture treatment as our primary outcome measure. The Cun, Guan and Chi pulse of both hands will be assessed with the subjects sitting in a comfortable position. Each pulse position will be marked on the wrists so that subsequent assessments can be applied consistently at the same location. The RPPW will be recorded when the best spectrogram displays the greatest amplitude. The electrical pulse signal received in the sensor is digitalised and processed through the Fast Fourier Transformation. A summation of the spectral energy in the 0–10Hz (SE0–10Hz), 10–50Hz (SE10–50Hz) and 13–50Hz (SE13–50Hz) ranges will be obtained. Fig. 5 illustrates a typical graphical presentation of the pulse profile provided by the device.

Pain and restricted spinal range of motion are frequently experienced by LBP patients. Hence, the following secondary outcome measures will be used to evaluate the effectiveness of the acupuncture treatment.

2.2.2.1. Range of motion: fingertip-to-floor (FTF) test. The FTF test will be conducted to evaluate flexibility in the range of motion before and after acupuncture. Subjects will be instructed to stand and bend forward as much as possible and attempt to reach the floor using their fingertips. The vertical distance from the index fingertip to the floor will be measured using a standard measuring tape. Ekedahl et al. (2012) suggested that the FTF test provides good validity in acute or subacute LBP [21]. Hence, this method will be adopted as an objective evaluation of the effectiveness of the acupoints.

2.2.2.2. Faces Pain Scale-Revised (FPS-R). Pain intensity will be measured using the FPS-R developed by the International Association for the Study of Pain [22]. FPS-R is a self-reporting measure of pain intensity. A scale of six faces represented by 0, 2, 4, 6, 8 and 10 from left to right will be used. Each subject will be asked to select the appropriate scale of pain before and after the intervention. The FPS-R is the preferred tool to assess pain intensity in the elderly [23]. As we anticipate a number of elderly subjects with LBP in our study, we have adopted the FPS-R. Each subject will be asked to select the appropriate scale of pain before and after the intervention.

2.2.3. Acupuncture intervention
The subjects will be asked to lie in the prone position. Acupuncture needles will be perpendicularly inserted at the acupoints on the bladder meridian after the regions are disinfected with alcohol swabs. The acupoints of Shenshu (BL23), Dachangshu (BL25), and Weizhong (BL40) are located bilaterally in the lower back region based on the definition in the WHO Standard Acupuncture Point Locations in the Western Pacific Region [24]. The acupuncture needles will be inserted at the needle depth safety range of 0.5–1.5 cun (12.5–40mm) for BL23, and 0.5–1 cun (12.5–25mm) for BL25 and BL40 [25]. De qi (needling sensation) will be induced when inserting the needle and again 10 min after the insertion. The needles will be retained for 20 min before removal.

In the event that a subject experiences unbearable discomfort during the intervention, the study will be paused immediately and medical care will be given to the subject. The principal investigator will be informed to investigate the reason and decide whether to continue the study with the subject.

2.3. Devices and materials
Disposable, sterile, stainless steel acupuncture needles (0.22 mm × 30 mm, Yukuang Acupuncture Needles, Taiwan) will be used in the acupuncture intervention. The following noninvasive devices will be adopted in the study for the baseline assessment and primary outcome measures before and after the intervention.

2.3.1. Pulse sphygmograph
The Huang-T1 Pulse Sphygmograph (Skylark Device and Systems Co., Ltd., Taiwan) will be used in this preliminary study to assess the
feasibility of the protocol design. The study will be conducted with Asia Plus Pen Pulse Analysis System Model PPAS-96 (Asia Plus Bio Tech Co., Ltd, Taiwan) once the device becomes available upon approval from the Taiwan Food and Drug Administration.

The Huang-T1 Pulse Sphygmograph is a noninvasive device that digitalises the biological signal of RPPW and provides graphical analysis. It consists of a 3D pulse detector with a stable X-Y-Z axial movable framework. The electronic device contains a high precision pressure sensor, a filter, an amplifier and a signal recording card connected to signal analysis software. The frequency response is 0.1–50 Hz and the sampling rate is 3000 Hz. It was also used in the study by Huang et al. (2012) to investigate acupuncture effects on the radial pulse spectrum in dyspepsia [7].

The Asia Plus Pen Pulse Analysis System Model PPAS-96 is an upgraded design compared to the Huang-T1 Pulse Sphygmograph but without modification of the internal mechanical structure. It will facilitate a better operating experience for its users. The device consists of a high precision pressure sensor pen and a pulse analyser. Fig. 6 illustrates the two models of pulse sphygmograph used in the study.

2.3.2. Terumo Digital Blood Pressure Monitor ES-P401

The systolic blood pressure, diastolic blood pressure, and pulse rate will be monitored using Terumo Digital Blood Pressure Monitor ES-P401 (Japan).

2.3.3. Exergen comfort scanner temporal thermometer

The Exergen Comfort Scanner Temporal Thermometer (USA) will be used to monitor the core body temperature by gently stroking across the temporal artery on the forehead. In a study comparing rectal temperature against temporal artery, tympanic and infrared skin temperature scans, it was reported that the mean difference compared to the rectal measurement was 0 °C, 0.49 °C and 0.34 °C respectively [26].

2.4. Data management and monitoring

The information of each subject will be maintained in individual spreadsheets in Microsoft Excel 2016. The data will then be consolidated into one final spreadsheet for comparison purposes. There will be no omission of data throughout the study. The results of all the assessments and evaluations will be digitalised and stored in USB flash drive with a secured password for at least three years before disposal by the principal investigator.

We anticipate that the study will be completed within the specified time approved by the REC and that no substantial safety issues will be encountered by the subjects. Therefore, a data monitoring committee will not be needed. Any adverse events or unintended effects of the intervention will be collected, assessed and reported immediately.

2.5. Hypothesis and statistical analyses

We hypothesise that (a) the SE13–50Hz in the RPPW of the Chi pulse in LBP subjects will be an effective indicator to evaluate the acupuncture treatment, and that (b) the FTF test and FPS-R test will show improvement after the acupuncture.

We will compare baseline characteristics such as gender, age, body mass index, vital signs, ODI, FPS-R, CCMQ, FTF, and the RPPW in
various types of LBP. The results of the analysis will be presented as the means, standard deviations, 95% CIs, t-values and p-values using SAS statistical software (Version 9.4, SAS Institute, Cary, NC). The significance level for all tests will be set to 0.05 (two-sided). A paired t-test will be used to determine the significance of the change in RPPW induced by the acupuncture stimulation.

### 3. Discussion

In the development of evidence-based medicine, it is vital to produce clinical evidence from systematic research in TCM to facilitate its integration into a complete healthcare system together with western medicine [27]. Considerable effort has been taken by researchers to develop various modern scientific tools to map TCM’s empirical knowledge to its scientific equivalents.

To our knowledge, this preliminary study is the first attempt to investigate the effects of acupuncture on RPPW in LBP subjects using a pulse sphygmograph and other modern tools. Our findings will enhance our understanding of the effectiveness of the selected acupuncture point combinations for treatment of LBP. In addition, we will be able to determine the prevalence of various types of LBP based on TCM syndrome differentiation in Taiwan. Although this quasi-experimental study is limited by its lack of random assignment and reduced internal validity, we have attempted to identify the threats to the validity of the findings and have addressed these in our study design. For instance, the same acupuncturist will apply the acupuncture at the same acupoint, and the baseline assessment of vital signs and pulse diagnosis will be used as a control to compare stability 20 and 30 min before the acupuncture intervention. We hope this preliminary study will provide a basic foundation for a large-scale research study that involves randomisation in the future.

### Trial status

Recruitment of subjects is in progress.

### Declarations

#### Ethics approval and consent to participate

This study protocol is approved by the CMUH REC, Taichung, Taiwan under protocol numbers CMUH107-REC2-022 and CMUH107-REC2-022 (AR-1). The final protocol version 1.4, dated 26 March 2018, will be used. This study has been registered at www.clinicaltrials.gov (NCT03501771) on 17 April 2018.

Important protocol modifications approved by the REC will be communicated to the relevant parties including the investigators and research staff through emails and meetings.

The study purpose, study procedures and potential risks of the study, including the provision of compensation to those who suffer harm from the trial, have been included in the informed consent form. Trial procedures will be explained to all subjects and they will be requested to endorse the informed consent form before participating in the study.

#### Availability of data and material

Not applicable.

#### Conflicts of interest

The authors declare there are no competing financial interests.

#### Funding

This work was partly supported by the Chinese Medicine Research Center, China Medical University [grant number CMRC-CHM-0].

#### Author contributions

HPN and CMH have contributed equally as first authors. HPN drafted this manuscript. HPN, YCL, and CMH are responsible for the protocol design and methodology. YCL and CMH will be responsible for the ultimate decision to terminate the trial and provide final approval of the manuscript. WCH will be responsible for the statistical analysis. All authors will have full access to the results of the study as well as the capacity to revise and approve the final manuscript.

#### Acknowledgements

This work was partly supported by the Chinese Medicine Research Center of China Medical University, Taiwan from The Featured Areas Research Center Program within the framework of the Higher Education Sprout Project by the Ministry of Education in Taiwan (CMRC-CHM-0). We also acknowledge the support of CMUH for the use of its facilities. We would like to thank our research assistant, Ms. Paula Lu Wen-Chi, for the recruitment of participants, Mdm Mei-Jung Yen for her technical assistance in the pulse assessment, and all the participants for their collaboration.

#### Abbreviations

| Abbreviation | Description |
|--------------|-------------|
| CCMQ         | Constitution in Chinese Medicine Questionnaire |
| FPS-R        | Faces Pain Scale-Revised |
FTF  fingertip-to-floor
LBP  low back pain
ODI  Oswestry Disability Index
RPPW  radial pressure pulse waves
TCM  Traditional Chinese Medicine

Appendix A. Supplementary data

Supplementary data to this article can be found online at https://doi.org/10.1016/j.conctc.2019.100384.

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