Characteristics of Populations Excluded From Clinical Trials Supporting Intensive Blood Pressure Control Guidelines

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BACKGROUND: Only one third of patients recommended intensified treatment by the 2017 American College of Cardiology/American Heart Association (ACC/AHA) guideline for high blood pressure would have been eligible for the clinical trials on which recommendations were largely based. We sought to identify characteristics of adults who would have been trial-ineligible in order to inform clinical practice and research priorities.

METHODS AND RESULTS: We examined the proportion of adults diagnosed with hypertension who met trial inclusion and exclusion criteria, stratified by age, diabetes mellitus status, and guideline recommendations in a cross-sectional study of the National Health and Nutrition Examination Survey, 2013–2016. Of the 107.7 million adults (95% CI, 99.3–116.0 million) classified as having hypertension by the ACC/AHA guideline, 23.1% (95% CI, 20.8%–25.5%) were below the target blood pressure of 130/80 mm Hg, 22.2% (95% CI, 20.1%–24.4%) would be recommended nonpharmacologic treatment, and 54.6% (95% CI, 52.5%–56.7%) would be recommended additional pharmacotherapy. Only 20.6% (95% CI, 18.8%–22.4%) of adults with hypertension would be trial-eligible. The majority of adults <50 years were excluded because of low cardiovascular risk and lack of access to primary care. The majority of adults aged ≥70 years were excluded because of multimorbidity and limited life expectancy. Reasons for trial exclusion were similar for patients with and without diabetes mellitus.

CONCLUSIONS: Intensive blood pressure treatment trials were not representative of many younger adults with low cardiovascular risk and older adults with multimorbidity who are now recommended more intensive blood pressure goals.

Key Words: clinical trials ■ guidelines ■ hypertension

The 2017 American College of Cardiology/American Heart Association (ACC/AHA) Guideline for the Prevention, Detection, Evaluation and Management of High Blood Pressure (BP) in Adults marked a significant change in the recommended management of hypertension.1 Compared with prior guidelines,2,3 the 2017 ACC/AHA guideline decreased the thresholds for diagnosing and treating hypertension to a systolic BP (SBP) of 130 mm Hg and diastolic BP of 80 mm Hg, significantly expanding the population recommended pharmacologic treatment.4 This recommendation was primarily based on evidence generated from 2 randomized clinical trials comparing intensive and standard BP treatment goals.5 SPRINT (Systolic Blood Pressure Intervention Trial) studied adults without diabetes mellitus and demonstrated a risk reduction in major cardiovascular events and death with intensive treatment,6 whereas, the ACCORD (Action to Control Cardiovascular Risk in Diabetes) trial studied adults with diabetes mellitus and did not demonstrate a significant reduction in major cardiovascular events or death with intensive treatment.7

Understanding the representativeness of these trials to the populations that are now recommended additional pharmacologic treatment by the 2017
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ACC/AHA guideline is crucial to inform both clinical decision-making for individual patients and population health efforts. For individuals excluded from the trials, the harm to benefit ratio for intensive BP control may differ from trial results. Patients at lower cardiovascular risk and those with barriers to adherence may face less opportunity for benefit from intensive BP control while still encountering increased costs and risks of adverse events. Patients with limited life expectancy may not survive to experience long-term risk reduction from intensive control and may face a higher burden of polypharmacy.

We recently reported that of all adults recommended intensified pharmacotherapy by the 2017 ACC/AHA guideline, less than one third met trial eligibility criteria.8 Our prior research highlighted the need for clinicians to understand current evidence gaps and personalize antihypertensive prescribing decisions to individual patient characteristics. In the present study, we sought to describe the characteristics of patient groups excluded from the trials underlying the 2017 ACC/AHA guideline in order to help guide clinical practice. We used National Health and Nutrition Examination Survey (NHANES) data to identify adults who would meet additional antihypertensive treatment criteria by the 2017 ACC/AHA guideline and those who would be excluded for SPRINT and the ACCORD trial because of low cardiovascular risk, comorbidities, limited likelihood of benefit, or factors likely to limit adherence to treatment.

METHODS

Data Source and Study Population

We analyzed NHANES questionnaire, laboratory, and physical examination data from the 2013 to 2014 and 2015 to 2016 cycles. NHANES is conducted every 2 years by the National Center for Health Statistics (NCHS) using a stratified, multistage probability sampling design to generate nationally representative estimates of the noninstitutionalized US population.9 Following NHANES recommendations, we pooled data across cycles to improve stability for subgroup estimates. The NCHS institutional review board reviewed each NHANES cycle and all participants provided written informed consent. The data that support the findings of this study are publicly available from the NCHS,9 and the statistical code used in the analysis is available from the corresponding author upon reasonable request.

We included all adult participants aged >18 years. We examined BP and antihypertensive use at the time of survey administration as well as demographic and clinical characteristics used to determine hypertension clinical trial eligibility. Missing data were imputed using the fully conditional specification method and 20 imputation sets.10 Certain survey questions were only asked to population subsets (eg, only participants aged ≥60 years completed cognitive function surveys); for these questions, missing data were imputed only for the target population specified by NHANES.

BP Measurement and Hypertension Definition

NHANES protocol required BP measurement in seated participants by a trained physician following 5 minutes
of rest. Appropriate cuff sizes and a mercury sphygmomanometer were used to collect 3 measurements of which we examined the mean. Hypertension was defined as BP ≥130/80 mm Hg or any self-reported antihypertensive medication use.

**Trial Eligibility**

As previously described, published SPRINT and ACCORD protocols were used to determine trial eligibility in NHANES participants without and with diabetes mellitus, respectively. Diabetes mellitus was defined by self-reported history or a glycated hemoglobin level ≥6.5%.

Inclusion criteria for both trials were elevated SBP (≥130 mm Hg) with or without prior antihypertensive use and increased cardiovascular risk. For patients without diabetes mellitus, we defined increased cardiovascular risk based on SPRINT criteria as self-reported history of myocardial infarction, coronary heart disease, angina, estimated glomerular filtration rate between 20 and 59 mL/min per 1.73m² by the Modification of Diet in Renal Disease equation, and body mass index >32 kg/m². For patients with diabetes mellitus based on ACCORD criteria as self-reported history of myocardial infarction, coronary heart disease, angina, or stroke, or the presence of ≥2 of the following risk factors: elevated low-density lipoprotein cholesterol (>130 mg/dL), low high-density lipoprotein cholesterol (<40 mg/dL for men and <50 mg/dL for women), SBP >140 mm Hg or diastolic BP >95 mm Hg, current cigarette smoking, and body mass index >32 kg/m².

Exclusion criteria for both trials included SBP >180 mm Hg, end-stage renal disease, symptomatic heart failure, cancer (excluding nonmelanomatous skin cancer) within the preceding 2 years, estimated life expectancy <3 years, and presence of factors likely to limit medication adherence. The ACCORD trial additionally excluded individuals whose age was <40 or ≥79 years, body mass index was ≥45 kg/m², and those with laboratory evidence of transaminitis or chronic kidney disease. SPRINT additionally excluded individuals with a history of stroke and those whose age was ≥50 years.

To estimate life expectancy, we used the Lee index, which estimates likelihood of survival at different time intervals based on an individual’s age, presence of chronic conditions, smoking status, body mass index, and measures of functional status. Patients with a Lee index score of ≥14 were excluded, as a score of 14 is associated with a median predicted life expectancy of 3.1 years and higher scores are associated with shorter life expectancies.

While both trials excluded individuals with factors likely to limit medication adherence, only SPRINT specified these factors, thus SPRINT exclusions were applied to both populations. Factors for which related NHANES questions were available included alcohol abuse within the prior 12 months, lack of support from a primary care provider, and impaired cognition. Alcohol abuse was estimated from self-reported history of consuming ≥5 drinks at least twice per week in the past year (or >104 days per year). Lack of primary care support was defined by self-report of not having a routine place to go for health care other than the emergency department. Impaired cognition was assessed only for respondents aged ≥60 years and defined by a score of ≤14 on Animal Fluency testing. The Animal Fluency test examines categorical verbal fluency and scores have been shown to discriminate between persons with normal cognitive functioning compared with those with mild cognitive impairment and more severe forms of cognitive impairment, such as Alzheimer disease. As cognitive function testing was only administered for the 2013 to 2014 NHANES cycle, Animal Fluency test scores were imputed for individuals in the 2015 to 2016 cycle based on the available cognitive function data and covariate data from both NHANES cycles.

**Statistical Analysis**

Sampling weights were used to provide nationally representative estimates with 95% CIs. We first calculated the number and proportion of adults classified as having hypertension, at goal BP, and recommended treatment according to the 2017 ACC/AHA guideline.

We then calculated the number and proportion of individuals with hypertension who met each trial inclusion and exclusion criteria. We categorized individuals with hypertension into 1 of 4 categories: (1) trial-eligible (meeting all trial eligibility criteria); (2) excluded because of low cardiovascular risk (not meeting inclusion criteria because of young age or lack of cardiovascular risk factors); (3) excluded because of other criteria (meeting at least 1 exclusion criteria beside young age or low cardiovascular disease risk); or (4) excluded because of both low cardiovascular risk and other criteria. We examined these categories overall and stratified by age decade.

We next examined individuals recommended additional antihypertensive pharmacologic therapy (either to initiate pharmacologic treatment or to intensify existing treatment), again classifying individuals into the 4 trial eligibility categories, calculating population estimates stratified overall, by age, and by diabetes mellitus status. We also identified the total number of exclusion criteria met for each individual and the prevalence of individual exclusion criteria in the overall population and by age decade.
RESULTS

We estimated that 107.7 million US adults would be diagnosed as having hypertension by the 2017 ACC/AHA guideline definition (Table 1). The minority of these individuals (23.1%; 95% CI, 20.8%–25.5%) were below the target BP of 130/80 mm Hg, 22.2% would be recommended nonpharmacologic treatment (95% CI, 20.1%–24.4%), and 54.6% would be recommended additional pharmacotherapy (95% CI, 52.5%–56.7%). Among adults aged <40 years, 17.8 million (21.2%) would be given a diagnosis of hypertension, of which only 8.4% would be at goal. While most of these younger adults would be recommended nonpharmacologic interventions to achieve target BP, 5.9 million (33.1%) would be recommended antihypertensives by the 2017 ACC/AHA guideline. In contrast, the majority of adults aged >60 years would be recommended pharmacotherapy rather than nonpharmacologic treatment (1.1 million versus 32.6 million).

Among all US adults with hypertension as classified by the 2017 ACC/AHA guideline, only 20.6% (95% CI, 18.8%–22.4%) would meet the eligibility criteria for the ACCORD trial or SPRINT. The representativeness of these trials in both the overall population with hypertension (Figure 1A) and those recommended additional antihypertensives (Figure 1B) varied greatly by age. Of the 58.8 million adults with hypertension recommended additional antihypertensive medications, trials were most representative of patients between the ages of 50 and 79 years. The majority of adults aged >50 years with hypertension and recommended additional treatment would have been excluded from intensive treatment trials because of low cardiovascular risk; the majority of older adults would meet the inclusion criteria for increased cardiovascular risk but would be excluded because of other criteria.

Of adults with hypertension recommended additional antihypertensive medications, 15.3 million (95% CI, 13.5–17.2 million) had diabetes mellitus and 43.5 million (95% CI, 39.2–47.8 million) did not. Among patients without diabetes mellitus, 26.9% would have met SPRINT eligibility criteria (95% CI, 24.0%–29.7%). SPRINT did not enroll patients aged <50 years and the majority of patients in older age groups would not have been eligible because of a combination of low cardiovascular risk and presence of exclusion criteria (Figure 2A). Among patients with diabetes mellitus, 30.7% would have met ACCORD eligibility criteria (95% CI, 25.7%–35.7%). The ACCORD trial was most representative of patients between the ages of 40 and 69 years (Figure 2B).

All analyses were conducted using Stata 14.1 (StataCorp LLC).
Figure 3A depicts the prevalence of exclusion criteria among patients recommended additional antihypertensive medications stratified by age group. All adults aged <40 years were excluded because of trial age requirements, and most younger adults met at least 1 additional exclusion criteria. Following age, the most common exclusion criteria in the overall population were impaired cognition, lack of access to regular care, and medical comorbidities (Figure 3B). Table 2 depicts the individual reasons for exclusion, which differed greatly by age. In younger adults, exclusions related to comorbidities were uncommon, and the most common exclusion criteria were lack of access to regular medical care and alcohol abuse. In older adult populations, competing chronic conditions such as cancer, limited life...
expectancy, and impaired cognition were the primary reasons for trial exclusion. A small proportion of the hypertensive population met exclusion criteria because of stroke (3.1%), proteinuria (2.7%), chronic kidney disease (2.1%), or heart failure (1.8%), conditions that are often sequela of long-standing uncontrolled hypertension.

**DISCUSSION**

In this study of US adults, we estimated that over 107 million adults would be diagnosed with hypertension by the 2017 ACC/AHA guideline, the majority of whom would be recommended additional pharmacotherapy. Fewer than one quarter of adults with...
hypertension would meet the eligibility criteria for the major trials on which updated guideline recommendations are based, with an even smaller proportion of adults aged <40 years or >70 years meeting trial eligibility criteria. These results extend prior estimates of the representatives of the trial populations underlying the 2017 ACC/AHA guideline, by describing the characteristics of patients excluded from intensive BP treatment trials. These results provide clinicians and health systems with important context on the populations for which evidence for intensive treatment is less robust and for whom tailoring of antihypertensive targets to individual patients is particularly salient. Our findings have key implications for the care of 3 large patient populations: adults aged <50 years, adults aged ≥70 years, and adults with diabetes mellitus.

Both SPRINT and the ACCORD trial were well-designed, multisite, explanatory clinical trials comparing intensive and standard BP treatment targets. However, clinical trials are often not representative of the larger population receiving care in clinical

Figure 3. Prevalence of hypertension trial exclusion criteria in the population recommended additional antihypertensive pharmacologic treatment by the American College of Cardiology/American Heart Association guideline. 
A. Number of exclusion criteria; (B) exclusion criteria. Medical comorbidity exclusions consist of recent cancer, liver disease, and elevated body mass index. Hypertension-related comorbidity consisted of stroke, heart failure, chronic kidney disease, proteinuria, and systolic blood pressure >180 mm Hg.
Table 2. Proportion of US Adults With Elevated BP Meeting Trial Inclusion and Exclusion Criteria

| Exclusion criteria, % excluded (95% CI) | Overall | Age 18–29 y | Age 30–39 y | Age 40–49 y | Age 50–59 y | Age 60–69 y | Age 70–79 y | Age 80+ y |
|--------------------------------------|---------|-------------|-------------|-------------|-------------|-------------|-------------|-----------|
| Population recommended additional pharmacotherapy, n (millions) (95% CI) | 58.8 (53.7–63.8) | 1.5 (1.1–1.9) | 4.4 (3.5–5.3) | 7.5 (6.4–8.7) | 12.5 (10.9–14.0) | 15.5 (13.1–17.9) | 10.5 (9.0–12.0) | 6.6 (5.3–7.9) |
| Increased cardiovascular risk, % (95% CI) | 60.5 (57.8–63.2) | 11.4 (3.5–19.3) | 21.2 (14.1–28.3) | 34 (28.0–39.9) | 53.9 (47.7–60.1) | 68.3 (63.3–73.3) | 79.9 (75.2–84.6) | 92.6 (89.3–95.9) |
| History of cardiovascular condition | 11.4 (10.1–12.8) | 1.6 (0–5.0) | 4.3 (1.0–7.5) | 5.7 (3.3–8.2) | 6.0 (3.1–8.8) | 12.7 (9.2–16.2) | 16.1 (12.8–19.4) | 24.9 (20.6–29.2) |
| >1 Risk factor | 57.3 (54.8–59.8) | 11.1 (3.4–18.8) | 18.1 (11.4–24.8) | 30.5 (24.6–36.5) | 51.6 (45.5–57.6) | 65.6 (60.2–70.9) | 75.8 (70.8–80.8) | 87.2 (83.5–91) |
| BMI indicates body mass index; and BP, blood pressure.
practice. In focusing exclusively on individuals with increased cardiovascular risk, SPRINT and the ACCORD trial leave a knowledge gap on the effectiveness of intensive BP treatment targets in the majority of adults aged <50 years, who largely have low cardiovascular risk. Prior clinical trials have not demonstrated cardiovascular benefit from treating mild hypertension in low-risk populations and well-designed observational studies suggest a possible increased risk of adverse events. Beyond low cardiovascular risk, SPRINT and the ACCORD trial excluded patients with lack of access to regular care because of concerns about reduced adherence to therapy. We found that over one quarter of adults aged 18 to 39 years reported a lack of routine source of care, suggesting a potential need to reach patients outside of traditional care settings.

SPRINT and the ACCORD trial also excluded patients with recent cancer, impaired cognition, and limited life expectancy, all conditions that primarily affect older adults, a population often underrepresented in clinical trials. Older adults may have both a reduced likelihood of benefiting from intensive treatment because of competing risks of noncardiovascular death and an increased risk of adverse events related to polypharmacy, drug-drug interactions, and medication confusion. The relationship between BP lowering and risk of future cognitive impairment remains uncertain; however, no prior trials have examined BP lowering in populations with existing cognitive impairment. Thus, additional clinical trial research aimed at elucidating the balance of benefit and harms from intensive BP treatment is particularly crucial for this population given their elevated risk of both cardiovascular events and medication-related harms.

Given the differences in trial design and outcomes of SPRINT and the ACCORD trial, understanding the limits of trial representativeness is particularly important for patients with diabetes mellitus. While patients with diabetes mellitus have increased cardiovascular risk and strong evidence exists for targeting SBP goals <140 mm Hg, unlike SPRINT, the ACCORD trial did not demonstrate a significant benefit in mortality or cardiovascular events with more intensive BP lowering and systematic review evidence of benefit for treatment targets of <140 mm Hg is mixed, even among trial-eligible patients. Given less clear benefits of intensive BP lowering, additional caution is needed in applying intensive treatment targets to patients with diabetes mellitus with multimorbidity or increased risk for medication adverse events.

Our findings have important implications for patients, clinicians, and health systems. For younger adults, clinicians should be aware that the risk benefit profile of treating mild hypertension in this population is not known and that guideline recommendations are based on expert consensus and extrapolation of results from higher risk populations. For the majority of younger adults with mildly elevated BP, the value added of diagnosing a chronic condition for which the recommended treatment of weight loss and exercise is already standard is unclear. For older adults, patient-centered decision-making that considers both likely benefits and risk associated with treatments, rather than a uniform adoption of strict BP thresholds, may be the best path forward, particularly for older adults with multimorbidity and limited life expectancy. Notably, controversy on treatment targets remains among older adults, with the American College of Physicians and American Academy of Family Physicians recommending an SBP treatment target of 150 mm Hg for most older adults.

From a population health perspective, full implementation of the 2017 ACC/AHA guideline could prevent as many as 3 million cardiovascular disease events over 10 years. Additionally, intensive SBP control has been shown to be cost-effective in SPRINT-eligible individuals. However, these results may not extend to younger low-risk populations, older multimorbidity populations, or patients with diabetes mellitus. Thus, caution is necessary in constructing population health initiatives that set performance metrics and financial incentives based on achieving guideline-directed goal BPs. Benchmarks focused on standardized adoption of strict BP thresholds may discourage clinician efforts to engage in patient-centered decision-making recommended by guidelines. Furthermore, as the marginal cardiovascular risk reduction is greater for lowering BP with severely elevated BP than for lowering BP from 140 mm Hg to 130 mm Hg, efforts to reach high-risk populations with barriers to adherence or access to care may be more beneficial than focusing further lowering previously treated patients who are near goal.

This study has several limitations. BP was measured at a single visit in NHANES, which contrasts with 2017 ACC/AHA guideline recommendations to diagnose hypertension based on measurements obtained from multiple visits, although both approaches may be poor approximations of routine clinical practice to which the guidelines are set to apply. Consistent with other NHANES studies, our definition of controlled hypertension required individuals to report taking BP medications and thus may underestimate the total number of individuals with hypertension by omitting those whose BP is controlled by lifestyle modifications only; however, this would not change our study findings as these individuals would not be recommended additional pharmacotherapy. NHANES comorbidity questions relied primarily on self-report, and NHANES did not collect information on all trial inclusion and exclusion criteria; specifically, the survey lacked...
information on subclinical cardiovascular disease (ie, coronary calcium score, ankle brachial index, left ventricular hypertrophy, or carotid stenosis) and history of poor adherence with medical care. As a result of NHANES reporting age top-coded at 80 years, our estimate of life expectancy is likely to be conservative. Cognitive function testing was assessed using a single validated screening instrument rather than comprehensive cognitive testing. Finally, patients residing in nursing homes were excluded from both clinical trials and NHANES, thus this population of >1 million older adults is not represented in this study, although similar generalizability concerns exist.

CONCLUSIONS

The majority of younger adults and older adults diagnosed with hypertension and recommended additional antihypertensive treatment by the 2017 ACC/AHA guideline would not have been eligible from the clinical trials underlying more intensive BP treatment thresholds. Clinical trials studying the outcomes of intensive BP treatment in younger adults at low cardiovascular risk and in older adults with multimorbidity are urgently needed, and, until these data are available, a patient-centered approach that tailors treatments and targets by degree of BP elevation, comorbidity, and likelihood of benefit is likely preferable to universal adoption of intensive treatment targets.

ARTICLE INFORMATION

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