Technical Note

Arthroscopic “Bone Block Cerclage” Technique for Posterior Shoulder Instability

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Abstract: Many open and arthroscopic techniques have been described to treat posterior glenohumeral instability. Multifactorial features of posterior shoulder instability pathoanatomy and varied patient characteristics have challenged the understanding of this condition and have led to dissimilar results, without a strong consensus for the most adequate technique to treat it. We describe an arthroscopic anatomical metal-free posterior glenoid reconstruction technique, using a tricortical iliac crest allograft with 2 ultra-high strength sutures (FiberTape Cerclage System; Arthrex, Naples, FL) with concomitant posterior capsulolabral complex reconstruction procedure.

Posterior shoulder instability is an uncommon condition, accounting for 2% to 10% of all glenohumeral dislocations. However, in some demographics, such as military and sporting groups, this may be very much more frequent.

Unlike anterior shoulder instability, some biomechanical features of posterior glenohumeral instability, such as a thinner posterior capsuloligamentous complex, increased glenoid retroversion, or glenoid hypoplasia, among others, have made diagnosis, classification, and treatment very difficult. In addition, because of the wide spectrum of clinical presentations and the voluntary or involuntary nature of this pathology, no consensus has been established on which surgical technique should be used; this has led to high failure rates.

Moreover, its classification takes several variables into account. With regards to biomechanics, on one hand, structural and functional posterior instability must be distinguished, and on the other hand, its controllability (controllable or uncontrollable instability) also must be considered. Caution must be taken with voluntary and intentional dislocators, in whom all treatment procedures have poor results.

Many surgical procedures have been developed and refined to treat this condition in recent decades. Nowadays, surgical treatment is focused on posterior soft-tissue lesions with capsulolabral reconstructions and, in cases of subsequent glenoid bone deficit (reverse bony Bankart, dysplasia, or erosion), the use of bone-grafting techniques. Even in the absence of glenoid bone defect, the use of bone blocks has been published by several authors. Arthroscopic techniques have gained popularity over open techniques due to the greater morbidity in the surgical approach, poor cosmetic results, difficulty of visualizing the labrum completely, the possibility of managing concomitant pathologies, partial deltid muscle deficiency, and improvements in instrumentation and implant technology. In addition, to avoid complications related to screw position and length, Boileau et al. used suture anchors for bone block fixation and capsulolabral repair. However, bone resorption and residual pain are considered to be closely related to the absence of a sufficiently stable graft fixation and the presence of metal implants.

In this Technical Note, we describe an arthroscopic anatomical metal-free bone block fixation technique with capsulolabral reconstruction for posterior shoulder

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Table 1. Advantages and Disadvantages of the Technique

| Advantages                                                                 | Disadvantages                                      |
|---------------------------------------------------------------------------|---------------------------------------------------|
| Anatomic reconstruction technique                                          | It is a demanding technique with a steep learning curve. |
| Auto- or allografts can be used                                            | More costly procedure, if an allograft is used.    |
| Requires small drill tunnels (2.4 mm)                                     |                                                   |
| Strong compression of the graft with greater stability fixation, mimicking a plate |                                                   |
| Possibility of treating concomitant intra-articular lesions               |                                                   |
| Metal-free implants                                                       |                                                   |

Surgical Technique (With Video Illustration)

The surgical technique is demonstrated in Video 1.

Preoperative Assessment

All patients with multiple posterior dislocations or subluxation events are studied with 3-dimensional computed tomography with humeral head suppression, to assess posterior glenoid bone loss, glenoid retroversion and glenoid hypoplasia. Patient characteristics are also described (Table 2). This surgical technique is indicated in symptomatic posterior instability, symptomatic functional involuntary positional instability, as well as symptomatic functional voluntary demonstrable instability.

Patient Position and Arthroscopic Diagnosis

The patient is positioned in the lateral decubitus position with 30° of posterior obliquity to ensure that the glenoid is parallel to the floor and posterior sacral, and interscapular stops are placed. The arm is placed in a traction foam sleeve (3-point Shoulder Distraction System; Arthrex, Naples, FL) to use 2 points of traction. The bony structures and arthroscopic portals are drawn.

Initial arthroscopic diagnosis is made through the standard posterior portal, looking for concomitant pathologies (SLAP lesions, anterior and posterior labrum lesions, rotator cuff tears, etc.). However, an anterosuperior portal is required to obtain optimal visualization of posterior structures, to assess glenoid bone loss and to accurately evaluate the posterior glenoid edge in preparation for the allograft fixation (Fig 1A).

Glenoid Preparation

An anterior portal is placed through the rotator interval and an 8.25-mm cannula is placed (Arthrex). Camera vision is switched to an anterosuperior portal over and posterior to the long head of the biceps tendon insertion. Then, a new accessory posterior portal of 1.5 cm in diameter is made for drill guide and allograft insertion.

We start by debriding and releasing the posterosuperior capsulolabral tissue from 11 o’clock to 6 o’clock and the posterior glenoid bone abrasion to improve biological integration of the graft while looking through the anterosuperior portal (Fig 1B).

Through a posterolateral accessory portal, we place a polydioxanone suture (PDS) through the capsulolabral complex using a SutureLasso (Arthrex, Naples, FL), which facilitates suture manipulation and posterior glenoid defect visualization (Fig 2 A-C).

In situ sizing of the posterior glenoid is performed. An arthroscopic measurement probe (Arthroscopic Measurement probe, 220 mm, 60°; Arthrex) is used from the posterior portal to measure the superoinferior length of the posterior glenoid (Fig 3). We mark the glenoid edge at a margin distance of 10 mm proximal to the lower point of the longitudinal aspect of the glenoid previously measured, to determine precisely the optimal position where the posterior drill guide should be placed.

Posterior Glenoid Drilling

A specific arthroscopic posterior guide (Arthrex) is introduced through the accessory posterior portal. The anterior aspect of the guide is placed parallel to the glenoid, just above the previous glenoid mark, in the center of the debrided posterior glenoid. The drill guide-holes should be in contact with the posterior edge of the glenoid. The guide permits drilling of 2 holes with 2.4-mm cannulated drills through the glenoid 10 mm apart. We measure the distance from the distal tunnel to inferior glenoid border and the distance from the articular surface to the tunnels. We must keep a 10-mm margin from the lower edge of the posterior glenoid (Fig 4 A and B). The central cores of the cannulated drills are extracted and 2 nitinol wires with loops—one for each tunnel—are passed, one with the loop facing

Table 2. Evaluation of the Patient With Posterior Shoulder Instability

- Mechanism of first dislocation/subluxation
- Number of recurrences (none/some/several/daily events)
- Type of instability (subluxation/dislocation)
- Reproducible/irreproducible
- Voluntary/involuntary
- Controllable/uncontrollable
- Ligament hyperlaxity
- Functional Scale: WOSI score
- Imaging:
  - ○ Radiograph: axial and scapula true AP view
  - ○ 3D-CT scan "en face" view with humeral suppression. Best fit circle is used to assess glenoid bone loss (area and diameter).
  - ○ Intra-articular contract MRI is performed in order to look for associated lesions (rotator cuff tears, SLAP lesions, posterior capsulolabral lesions).

AP: anteroposterior; CT, computed tomography; MRI, magnetic resonance imaging; WOSI, Western Ontario Shoulder Instability Index.
posteriorly and the other anteriorly. The drills and drill guide are then removed (Fig 5A-C).

**Allograft Preparation**

Cuts with an oscillating saw are made according to the dimensions previously measured from the posterior edge of the glenoid. The graft’s width is determined by the iliac crest (usually 10 mm to 12 mm). The curved edge that best fits the glenoid rim is selected. Graft dimensions usually are 30 mm × 10 mm × 10 mm. The graft is marked on its cancellous bone face. The tricortical autograft tunnels are made with a 2.4mm drill from the cancellous to the cortical side. The lower tunnel is made first, 10mm from the proposed lower rim, after which the higher tunnel is made 10mm superior to the first one, imitating the dimensions of the glenoid drill guide (Fig 6A-C).

**Allograft Accommodation and Fixation**

To facilitate suture passage through glenoid drilled holes, nitinol wires are replaced with 2 different looped sutures (FiberLink/TigerLink sutures; Arthrex) (Fig 7A-
and B). One suture should have its loop on the posterior side and its free end on the anterior side. The other suture should have them in the opposite direction. Digital dilation of the posteroinferior portal is then performed for graft passage. Using the FiberLink posterior loop, 2 Ultra-High Strength Suture Tapes (FiberTape Cerclage System; Arthrex) are first passed from the cortical side to the cancellous side of the graft, then from the posterior to the anterior side of the glenoid and are subsequently retrieved through the anterior portal. The sutures are then inserted from the anterior side to the posterior side with the inferior FiberLink loop through the glenoid and passed through the inferior drill hole of the graft (Fig 8A-F).

The bone graft is inserted manually into the gleno-humeral joint (Fig 9A and B). Once the graft is inserted and well positioned, the FiberTape sutures are interconnected to create a continuous loop. The tails of the FiberTape sutures are loaded through the pre-tied racking hitch knot of the TigerTape, and vice versa. This allows the application of alternating traction on each suture limb to reduce the knots on the graft and achieve symmetrical tensioning of the construct (Fig 10A and D).

Once the stability of the graft is verified, the 2 knots are tensioned, one after the other, applying a mechanical force equal to 80N with a tensioner (FiberTape Cerclage Tensioner; Arthrex). Next, at least 3 alternating half-hitch knots are made for each strand. A strong and stable fixation is achieved (Fig 11A-C).

**Capsulolabral Repair**

Finally, the posterior PDS suture is released from the capsulolabral complex, and 3 to 4 “all suture” FiberTak suture anchors (Arthrex) are placed at the native glenoid rim and introduced through our posterior percutaneous PDS suture traction portal. Other anchors are also placed inferiorly at 7 o’clock and 8 o’clock and
superiorly at 10 o’clock and 11 o’clock, reattaching the capsulolabral complex and making the graft extra-articular (Fig 12A and B).

Rehabilitation
Daily cryotherapy for 10 minutes of every 2 hours to manage postoperative pain is recommended. The shoulder is immobilized with a sling at 15° of abduction and in a neutral rotation position for 3 weeks, while simultaneously encouraging the patient to perform flexion of the elbow and the wrist joint starting from the first day after the surgical intervention. Pendulum exercises and passive assisted arm flexion, as well as isometric strengthening of the deltoid and the scapular muscles, are indicated.

Active-assisted exercises are indicated in the following weeks. At 3 to 4 weeks postoperatively, the patient can remove the sling and begin full passive and active range of motion movement. Capsular stretching and strengthening of the rotator cuff, along with deltoid exercises, with an elastic band can be practiced starting 5 to 6 weeks postoperatively. When a full range of motion is attained and muscular strength is equivalent to at least 90% of the muscular strength of the contralateral side, the patient is allowed to return to sports practice and activities, which is generally occurs at round 4 to 5 months postoperatively.

Postoperative radiograph controls are done early, at 3 and 6 weeks of follow up, with anteroposterior and outlet views. The position of the bone block is assessed with an early postoperative computed tomography scan and later at 1 year of follow-up to assess the grade of remodeling.

Some tips and pitfalls of the actual technique are presented in Table 3.

Discussion
It is a difficult task to characterize the posterior shoulder instability patient, even for the most experienced surgeons. While anterior instability mechanisms are usually well identified by the patient, posterior
shoulder instability patients frequently have unspecific and vague symptoms.\textsuperscript{17,18}

To guide the treatment of posterior instability, Moroder and Scheibel\textsuperscript{19} developed a classification based on the different pathomechanical types of instability—the ABC Classification. First, Group A classifies the first event of posterior instability into 2 types: A1, a subluxation event and A2, a dislocation event. Conservative treatment is generally possible for this group if no critical bony or soft tissue defect is discovered. In Group B (Dynamic Instability), posterior instability is associated with: B1 (Functional Dynamic Instability),

\begin{figure}
\centering
\includegraphics[width=\textwidth]{image1.png}
\caption{Right shoulder, lateral decubitus position. Accommodation of the allograft. (A) Two bands of FiberTape cerclage sutures are passed through the superior allograft hole. (B-C) The FiberTapes are connected with posterior loop of the superior FiberLink and retrieved from the posterior to anterior side of the glenoid. (D-F) The FiberTape sutures are then retrieved from the anterior glenoid hole to the posterior end pulling the inferior FiberLink loop through the glenoid and passing through the inferior drill hole of the graft. (B, D, E) Arthroscopic view from the anterosuperior portal. (F) Intra-articular view. (A, cortical side of the allograft; AC, anterior capsule; FL, FiberLink; FT, FiberTape; G, glenoid; P, posteromedial portal).}
\end{figure}

\begin{figure}
\centering
\includegraphics[width=\textwidth]{image2.png}
\caption{Insertion of the allograft into the joint. (A) Lateral decubitus, right shoulder. Extra-articular view of the construct previous insertion. (B) Arthroscopic view from anterosuperior portal. Insertion of allograft through posteromedial portal. (A, allograft; AP, accessory posterior portal; C, posterior capsule; FT, FiberTapes; G, glenoid; P, posteromedial portal.)}
\end{figure}
Rotator cuff and Periscapular Muscle imbalances or B2 (Structural dynamic instability), which can be associated with structural damage (Bone loss, posterior Bankart lesions or critical reverse Hill–Sachs lesions). Finally, Group C (Static Instability), is divided into 2 subgroups. Subgroup C1, (Constitutional Static posterior Instability) for patients with constitutional force imbalances and scapular malpositioning that leads to eccentric contact of the joint and eventually progressive eccentric posterior glenoid wear and C2 (Acquired Static Posterior instability), with permanent subluxation or dislocation of the humeral head.

Recently, the same research group\textsuperscript{20} reinforced the concept of functional shoulder instabilities as pathologic muscle activation patterns, emphasizing 2 types of patients, ones presenting with an unwanted dislocation during movement (involuntary positional instability) and others with the ability to deliberately dislocate the shoulder (voluntary instability). Further distinction must be made in patients with voluntary instability who have the desire to dislocate their shoulder because of psychological or secondary gain issues (volitional instability) and patients who can deliberately dislocate their shoulders but have no actual desire to do so.

\textbf{Fig 10.} Right shoulder, lateral decubitus position. (A-D) Extra-articular view of the FiberTape interconnection. (AP, accessory posterior portal; FTC, FiberTape cerclage; TTC, TigerTape cerclage.)

\textbf{Fig 11.} Fixation of the allograft bone block, right shoulder, lateral decubitus position. (A) Arthroscopic view from the anterosuperior portal and (B) extra-articular posterior view of the fixation of the allograft with the tensioner. (C) Arthroscopic view from the anterosuperior portal of the bone block fixation after knot tying. (A, allograft; CLC, capsulolabral complex; G, glenoid; K, knots; T, tensioner.)
(demonstrable instability). In this recent study, a classification of functional shoulder instability was proposed based on the pathomechanism (positional and non-positional) and controllability (controllable and noncontrollable).

In addition, several authors have been able to identify specific risk factors in posterior shoulder instability, such as glenoid retroversion, rotator cuff and periscapular muscle imbalances, and glenoid hypoplasia.5,21,22 It is likely that the complexity of this pathology and its several edges have led to a large number of possible treatments; however, no unified criteria regarding clinical features, imaging nor arthroscopic findings, have led the way to a particular surgical treatment over another. Several reviews demonstrated good results with capsulolabral complex reconstructions only,23,24 but posterior bone block procedures have particularly been indicated for posterior bony Bankart lesions, posterior glenoid dysplasia, and glenoid erosion.25,26 Even in the absence of an osseous deficiency, posterior bone blocks have been perform with the intention of extending the glenoid surface.27 Despite some authors' proposal that soft-tissue repair with bone loss greater than 20% remain unstable,28 the percentage of critical posterior glenoid bone loss is yet to be defined.29,30

The use of arthroscopic bone block techniques is being adopted more widely nowadays because of their potential benefits, the minimally invasive nature of arthroscopy procedures, and the association between graft osteolysis and glenohumeral osteoarthritis and metal implants.31-33 Moreover, although technically demanding, this technique has been shown to be reliable in restoring glenohumeral contact pressure and having very good clinical results.34,35

We present an arthroscopic anatomical metal-free bone block fixation technique (Fig 13 A and B) with capsule labral reconstruction for posterior shoulder instability. With this technique, we are able to eliminate problems related to the traditional bone fixation with screws or buttons, where bone remodeling can eventually lead to exposure of the metal implant and therefore result in a painful but stable shoulder. Careful must be taken not to damage surrounding neurovascular structures such as the suprascapular nerve, while introducing the posterior Guide. For that matter, a posterior accessory portal must be made flush with the posterior border if the glenoid. We believe this technique can be indicated for posterior shoulder instability of varying origins. It can also restore stability to the glenohumeral joint due to its strong 80 Newton fixation. In addition, double FiberTape cerclage fixation

Table 3. Tips and Pitfalls of the Technique

| Tips | Pitfalls |
|------|----------|
| A double-posterior approach: One arthroscopic posterior portal and another bigger portal for the drill guide and graft passage, always going parallel to the glenoid surface. | The capsulolabral complex obstructs visualization of the posterior glenoid edge, if no traction is applied. |
| Finger dilate the accessory posterior portal to facilitate graft passage. | Posterior glenoid rim debridement should be carefully performed. |
| Interchange the nitinol loops with the FiberLink/TigerLink sutures to help avoid suture breakage. | Caution must be taken for guide drill malpositioning because this may lead to tunnel misalignment. The tunnels must always be perpendicular to posterior glenoid rim and parallel to the glenoid surface. |
| Use different-colored high-strength sutures (FiberLink/TigerLink) to optimize suture handling and arthroscopic visualization. | Limitations of the Technique |
| Use low-profile implants for posterior capsulolabral reconstruction such as FiberTak with a 1.6-mm drill needle lower the risk of suture damage. | Difficulty in identifying patients with psychological or secondary-gain issues. |
| Requires a longer duration of surgery time. | The surgeon must be trained for advanced shoulder arthroscopy. |
to its native glenoid and the extra-articular position of the bone block after capsulolabral complex reconstruction can be the answer to the concerns of metal implants and open procedure complications.

In conclusion, the all-arthroscopic posterior bone block cerclage technique—without the use of a metal implant—is a reproducible surgical intervention used for the treatment of posterior shoulder instability. We believe it potentially avoids the many known complications related to the usage of metal components, whilst still providing a strong fixation of the bone implant.

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