Group theoretical construction of mutually unbiased bases in Hilbert spaces of prime dimensions

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Abstract
Mutually unbiased bases in Hilbert spaces of finite dimensions are closely related to the quantal notion of complementarity. An alternative proof of existence of a maximal collection of \((N + 1)\) mutually unbiased bases in Hilbert spaces of prime dimension \(N\) is given by exploiting the finite Heisenberg group (also called the Pauli group) and the action of \(SL(2, \mathbb{Z}_N)\) on finite phase space \(\mathbb{Z}_N \times \mathbb{Z}_N\) implemented by unitary operators in the Hilbert space. Crucial for the proof is that, for prime \(N\), \(\mathbb{Z}_N\) is also a finite field.

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1. Introduction
Position coordinates and corresponding components of linear momentum of a free quantum particle are—according to usual quantum mechanics—complementary observables, a term coined by Niels Bohr in reference to measurements. The main expression of this property (for quantum mechanics on the real line) are Heisenberg’s uncertainty relations \(\Delta q \Delta p \geq \hbar/2\), implying that there are no quantum states with arbitrarily narrow distributions of both conjugate variables \(q\) and \(p\). If there were normalized states with \(\Delta q = 0\), i.e. eigenstates of \(q\), then \(\Delta p\) would diverge, hence measured values of \(p\) could not be predicted. However, due to continuity of spectra of \(q\) and \(p\), the strict limits \(\Delta q = 0\) or \(\Delta p = 0\) cannot be physically attained. Complementarity thus means that quantum systems possess properties that are mutually exclusive: the observation of one of them precludes the observation of the other. A mathematical expression of complementarity of coordinate and momentum is

\[ |\langle p|q \rangle|^2 = \text{const.}, \]  

(1)
i.e. if we know everything about position, we know nothing of momentum and vice versa.

It is interesting and may have deep physical significance that pairs of complementary observables also exist for systems with finite-dimensional Hilbert spaces, as noted by...
Schwinger [1] (see also [2, 3]). For such pairs precise knowledge of one of them implies that all possible outcomes of measuring the other one are equally probable, as exemplified in (1).

If complementary observables have non-degenerate spectra, complementarity can be expressed in terms of the corresponding normalized eigenstates forming complementary bases. Then a measurement over one basis provides maximum uncertainty as to the outcome of a measurement in the other because all $N$ possible outcomes will have equal probabilities $1/N$. The first attempt to use complementary bases in quantum-state determination is due to Ivanovi´c [4] who provided explicit formulae for $(N + 1)$ such bases if $N$ is an odd prime. The idea of using these bases for optimal quantum-state determination was further developed by Wootters [5] and by Wootters and Fields [6] who called them mutually unbiased. In the latter paper, they presented a construction of $(N + 1)$ mutually unbiased bases in an arbitrary prime power-dimensional Hilbert space and also demonstrated that they may serve as a maximal collection of measurements for optimal-state determination.

Our main concerns are $N$-level systems which provide basic models proposed for quantum information processing, since mutually unbiased bases find important applications there [7]. Their property that the outcome of a measurement in one selected basis gives no information about the possible results of measurements in all other mutually unbiased bases is of advantage for instance in key distribution protocols in quantum cryptography [8]. Observables with such a property for two-level systems (whose vectors are called qubits in quantum computation) are three Pauli matrices. Recently, $d$-level quantum systems (with $d > 2$ and vectors called qudits) have come to a closer attention. It has been shown that such systems can be realized experimentally and quantum key distribution protocols using qudits have been introduced (see, e.g., [9]). Since such protocols use mutually unbiased bases in dimensions higher than two, it is desirable to study constructions of mutually unbiased bases for higher dimensions, too. It is also known that complementary observables are useful in quantum-state tomography [10].

2. Complementary observables and mutually unbiased bases

Mutually unbiased bases in Hilbert spaces of finite dimensions are closely related to the quantal notion of complementarity. Let us start with two almost identical definitions.

**Definition 1** [3]. Two observables $A$ and $B$ of a quantum system with Hilbert space of finite dimension $N$ are called complementary, if their eigenvalues are non-degenerate and any two normalized eigenvectors $|u_i⟩$ of $A$ and $|v_j⟩$ of $B$ satisfy

$$|⟨u_i|v_j⟩| = \frac{1}{\sqrt{N}}.$$  

(2)

Then in an eigenstate $|u_i⟩$ of $A$ all eigenvalues $b_1, \ldots, b_N$ of $B$ are measured with equal probabilities and vice versa. This means that exact knowledge of the measured value of $A$ implies maximal uncertainty to any measured value of $B$. For the following definition note that the (non-degenerate) eigenvalues $a_i$ of $A$ and $b_j$ of $B$ are in fact irrelevant, since only the corresponding orthonormal bases $|u_i⟩$ and $|v_j⟩$ are involved.

**Definition 2** [5, 6]. Two orthonormal bases in an $N$-dimensional complex Hilbert space

$$\{|u_i⟩|i = 1, 2, \ldots, N\} \quad \text{and} \quad \{|v_j⟩|j = 1, 2, \ldots, N\}$$

are called mutually unbiased if inner products between all possible pairs of vectors taken from distinct bases have the same magnitude $1/\sqrt{N}$,

$$|⟨u_i|v_j⟩| = \frac{1}{\sqrt{N}} \quad \text{for all} \quad i, j \in \{1, 2, \ldots, N\}.$$  

(3)
In the above sense one may call two measurements to be mutually unbiased, if the bases composed of the eigenstates of their observables (with non-degenerate spectra) are mutually unbiased. Further, a set of bases is called mutually unbiased if every two different bases from the set are mutually unbiased.

An important fact was proved in [6] (for further references see also [11]) that the upper limit to the maximal possible number of bases that can form a set of mutually unbiased bases in an \( N \)-dimensional Hilbert space is \((N + 1)\):

**Theorem 1.** In an \( N \)-dimensional Hilbert space, there cannot be more than \((N + 1)\) mutually unbiased bases.

Finally, we remark that a criterion of equivalence of two pairs of mutually unbiased bases was formulated in [3].

3. Quantum structures in finite-dimensional Hilbert spaces

Our starting point for quantum mechanics in the Hilbert space of finite dimension \( N \) is a model of quantum kinematics due to Weyl [12]. Its geometric interpretation as the simplest quantum kinematics on a finite discrete configuration space formed by a periodic chain of \( N \) points was elaborated by Schwinger [2]. In [13, 14], we proposed its group theoretical formulation based on Mackey’s system of imprimitivity [15] which provides a group theoretical generalization of Heisenberg’s commutation relations. For a recent review of the topic, see [16, 17].

In an \( N \)-dimensional Hilbert space with orthonormal basis \( B = \{ |0\rangle, |1\rangle, \ldots, |N-1\rangle \} \) we can establish a group generated by unitary operators \( Q_N, P_N \) defined by the relations

\[
Q_N |j\rangle = \omega_N^j |j\rangle, \quad j = 0, 1, \ldots, N-1, \tag{4}
\]

\[
P_N |j\rangle = |j - 1 \pmod{N}\rangle; \tag{5}
\]

here \( \omega_N \) is a primitive \( N \)th root of unity, e.g. \( \omega_N = \exp(2\pi i / N) \). If \( B \) is the standard (or canonical) basis of \( \mathbb{C}^N \), the operators \( P_N \) and \( Q_N \) are represented by matrices

\[
Q_N = \text{diag}(1, \omega_N, \omega_N^2, \ldots, \omega_N^{N-1}) \tag{6}
\]

and

\[
P_N = \begin{pmatrix}
0 & 1 & 0 & \cdots & 0 & 0 \\
0 & 0 & 1 & \cdots & 0 & 0 \\
0 & 0 & 0 & \cdots & 0 & 0 \\
\vdots & & & & & \ddots \\
0 & 0 & 0 & \cdots & 0 & 1 \\
1 & 0 & 0 & \cdots & 0 & 0
\end{pmatrix}. \tag{7}
\]

In finite-dimensional quantum mechanics the unitary matrices \( Q_N \) and \( P_N \) are analogues of exponentials of position and momentum in the continuous coordinate representation [12]. Namely, they fulfil an algebraic relation

\[
P_N Q_N = \omega_N Q_N P_N, \tag{8}
\]

which is analogous to the relation for Weyl’s exponential form of Heisenberg’s commutation relations. Further, \( P_N^N = Q_N^N = I_N \), where \( I_N \) is the \( N \times N \) unit matrix.

This model has a simple geometric interpretation. The cyclic group \( \mathbb{Z}_N = \{0, 1, \ldots, N-1\} \) serves as a configuration space for \( N \)-dimensional quantum mechanics. Elements of
\(\mathbb{Z}_N\) label the vectors of the basis \(B = \{ |0\rangle, |1\rangle, \ldots, |N-1\rangle \}\) with the physical interpretation that \(|j\rangle\) is the normalized eigenvector of position at \(j \in \mathbb{Z}_N\). The natural transitive action of \(\mathbb{Z}_N\) on \(\mathbb{Z}_N\) via addition modulo \(N\) is represented by unitary operators \(U(k) = P^k_N\). Their action on vectors \(|j\rangle\) from basis \(B\) is given by 

\[
U(k)|j\rangle = P^k_N|j\rangle = |j - k \mod N\rangle.
\]  

(9)

The \(\mathbb{Z}_N\) analogue of the Fourier transformation is the discrete Fourier transformation given by the unitary Sylvester matrix \(S_N\) with elements 

\[
(S_N)_{jk} = (j|S_N|k) = \frac{\omega^{jk}_N}{\sqrt{N}}
\]  

(10)

involving powers of \(\omega_N\). The relations 

\[
S_N^{-1}P_NS_N = Q_N, \quad S_N^{-1}Q_NS_N = P_N^{-1}
\]  

(11)

show that the discrete Fourier transform diagonalizes the momentum operator, i.e. performs the transition from the coordinate representation to the momentum representation.

The finite group generated by \(\omega_N, Q_N\) and \(P_N\), 

\[
\Pi_N = \{ \omega^{ij}_N Q^i_N P^j_N | i, j = 0, 1, 2, \ldots, N-1 \},
\]  

(12)

consists of \(N^3\) unitary matrices and is called the finite Heisenberg group [18] or the Pauli group [19]. It has been found useful in connection with mutually unbiased bases [21]. Note also that the set of \(N^2\) unitary matrices \(\{ Q^a_N P^b_N | a, b \in \{ 0, 1, \ldots, N-1 \} \}\) constitutes, as Schwinger [1] has shown, a complete operator basis of the Hilbert space of all complex matrices orthogonal in the sense of the inner product 

\[
\text{Tr}( (Q^a_N P^b_N)^\dagger Q^c_N P^d_N) = N \delta_{ac} \delta_{bd} \quad \text{for all} \quad a, b, c, d \in \mathbb{Z}_N.
\]  

(13)

4. Mutually unbiased bases for prime \(N\)

The question whether it is possible to attain the maximal number of \((N+1)\) mutually unbiased bases was answered in positive in [6], but under a number theoretic proviso: a maximal collection of \((N+1)\) mutually unbiased bases exists in Hilbert spaces of dimensions equal to arbitrary powers of prime numbers. In this paper, we will devote our attention to prime dimensions.

In the particular case \(N = 2\), one finds that the set of eigenvectors of the Pauli matrices forms a complete collection of three mutually unbiased bases: 

\[
\{ |0\rangle, |1\rangle \}, \quad \left\{ \frac{|0\rangle + |1\rangle}{\sqrt{2}}, \frac{|0\rangle - |1\rangle}{\sqrt{2}} \right\}, \quad \left\{ \frac{|0\rangle + i|1\rangle}{\sqrt{2}}, \frac{|0\rangle - i|1\rangle}{\sqrt{2}} \right\}.
\]  

(14)

The construction of such a set of bases in higher dimensions can be understood as a generalization of this property. The formulae for \((N+1)\) mutually unbiased bases forming a maximal set for any odd prime dimension \(N\) were first given (not derived) in [4]; we quote them according to [6]:

\[
|v^{(0)}_k\rangle_j = \delta_{jk},
\]

\[
|v^{(1)}_k\rangle_j = \frac{1}{\sqrt{N}} e^{\frac{i\pi}{N} (j^2 + jk)},
\]

\[
\vdots
\]
Here $|v_{k}^{(r)}\rangle_{j}$ denotes the $j$th component of the $k$th vector in the $r$th basis, $r = 0, 1, \ldots, N$. The first ($r = 0$) basis is the canonical basis, the last one ($r = N$) is its discrete Fourier transform. Mutual unbiasedness of the bases follows from the Gauss sums of number theory valid for $p$ odd prime [20]

$$\left|\sum_{k=0}^{p-1} e^{2\pi i (a k^2 + b k)}\right| = \frac{1}{\sqrt{p}}; \quad (15)$$

here $a, b \in \mathbb{N}, a \neq 0$ and $a$ is not an integral multiple of $p$.

A derivation of these $(N + 1)$ mutually unbiased bases has been given for any prime dimension $N$ in [21] in terms of unitary operators $Q_N, P_N$ defined in section 3:

**Theorem 2.** Let $N$ be a prime. Then the bases composed of eigenvectors of $(N + 1)$ operators

$$Q_N, P_N, P_N Q_N, P_N Q_N^2, \ldots, P_N Q_N^{N-1} \quad (16)$$

are pairwise mutually unbiased and form therefore a maximal set of $(N + 1)$ mutually unbiased bases.

In this paper, we are going to give an alternative construction of a complete collection of $(N + 1)$ mutually unbiased bases in a prime-dimensional Hilbert space by using the finite phase space related to the finite Heisenberg group.

5. Finite phase space and its group of automorphisms

In order to arrive at an independent proof of theorem 2 we have to introduce the necessary group theoretical notions.

First, we need to establish a connection between the finite Heisenberg group and the finite phase space $\Gamma_N = \mathbb{Z}_N \times \mathbb{Z}_N, N = 2, 3, \ldots$ [10, 18]. The elements $(l, i, j)$ of the finite Heisenberg group were given in (12) with $l, i, j = 0, 1, \ldots, N - 1$. Its centre $Z(\Pi_N)$ is the set of those elements of $\Pi_N$ which commute with all elements in $\Pi_N$,

$$Z(\Pi_N) = \{(l, 0, 0) \mid l = 0, 1, \ldots, N - 1\}.$$

(17)

Since the centre is a normal subgroup, we can go over to the quotient group $\Pi_N / Z(\Pi_N)$. Its elements are the cosets labelled by pairs $(i, j), i, j = 0, 1, \ldots, N - 1$. The quotient group is then identified with the finite phase space $\Gamma_N = \mathbb{Z}_N \times \mathbb{Z}_N$. To simplify notation, we shall denote the cosets corresponding to elements $(i, j)$ of the phase space $\Gamma_N$ by $Q^i P^j$ without subscripts $N$,

$$Q^i P^j = \{\omega_N^l Q_N^i P_N^j \mid l = 0, 1, \ldots, N - 1\}.$$

(18)

Note that all operators belonging to the same coset have the same eigenvectors because they differ only by multipliers $\omega_N^l$. 

\[ \]
The definition of the phase space can be concentrated in the exact sequence \[1 \rightarrow Z(\Pi_N) \rightarrow \Pi_N \rightarrow \Pi_N/Z(\Pi_N) \rightarrow \Gamma_N = \mathbb{Z}_N \times \mathbb{Z}_N \rightarrow 1\]
expressing the relation of the projective representation of \(\mathbb{Z}_N \times \mathbb{Z}_N\) to the central extension by \(Z(\Pi_N)\) \[12\]. It is obvious that the correspondence
\[
\phi : \Pi_N/Z(\Pi_N) \rightarrow \Gamma_N = \mathbb{Z}_N \times \mathbb{Z}_N : Q^i P^j \mapsto (i, j),
\]
is an isomorphism of Abelian groups, since
\[
\phi((Q^i P^j)(Q^i' P^j')) = \phi((Q^i P^j))\phi((Q^i' P^j')) = (i, j) + (i', j') = (i + i', j + j').
\]

We shall now focus on the group of automorphisms of the phase space \(\Gamma_N\). It was studied in \[18\]. However, we follow the approach of \[22\], where instead of cosets the one-dimensional grading subspaces of the Pauli graded Lie algebra \(gl(N, \mathbb{C})\) were considered and their transformations under the automorphisms of \(gl(N, \mathbb{C})\) were investigated. The subgroup of inner automorphisms of \(gl(N, \mathbb{C})\) was induced by the action
\[
\psi_X(A) = X^{-1}AX
\]
for matrices \(X\) from \(GL(N, \mathbb{C})\).

In the same vein, we will concentrate on the automorphisms of the form \(\psi_X\), acting on elements of \(\Pi_N\), which induce permutations of cosets in \(\Pi_N/Z(\Pi_N)\). Since the operators \(\omega_N^i Q^a P^b\) have the same spectra, the matrices \(X\) which induce the automorphisms \(\psi_X\) are unitary. They can be understood as transformation matrices that transform a unitary operator (of the form \(\omega_N^i Q^a P^b\)) to a different basis, in which the operator is of the form \(\omega_N^{i'} Q^{a'} P^{b'}\). Thus \(X\) is a transformation matrix between two orthonormal bases. For explicit forms of matrices \(X\) see \[18\] (for \(N\) odd prime), but the results of \[22\] will suit better to our purpose.

Automorphisms \(\psi\) of the form \(\psi_X\) are equivalent if they define the same transformation of cosets in \(\Pi_N/Z(\Pi_N)\):
\[
\psi_Y \sim \psi_X \iff Y^{-1} Q^i P^j X = X^{-1} Q^i P^j X \quad \text{for all } (i, j) \in \mathbb{Z}_N \times \mathbb{Z}_N.
\]

Since the group \(\Pi_N/Z(\Pi_N)\) has only two generators—the cosets \(P\) and \(Q\)—condition \(20\) is equivalent to
\[
\psi_Y \sim \psi_X \iff Y^{-1} P Y = X^{-1} P X \quad \text{and} \quad Y^{-1} Q Y = X^{-1} Q X.
\]

If \(\psi_Y\) induces a transformation of \(\Pi_N/Z(\Pi_N)\), then there must exist elements \(a, b, c, d \in \mathbb{Z}_N\) such that
\[
Y^{-1} Q Y = Q^a P^b \quad \text{and} \quad Y^{-1} P Y = Q^c P^d.
\]

It follows that to each equivalence class of automorphisms \(\psi_Y\) a quadruple \((a, b, c, d)\) of elements in \(\mathbb{Z}_N\) is assigned. We shall prove more, namely:

**Theorem 3.** For \(N\) prime there is an isomorphism \(\Phi\) between the set of equivalence classes of automorphisms \(\psi_Y\) and the group \(SL(2, \mathbb{Z}_N)\) of \(2 \times 2\) matrices with determinant equal to 1 modulo \(N\),
\[
\Phi(\psi_Y) = \begin{pmatrix} a & b \\ c & d \end{pmatrix}, \quad a, b, c, d \in \mathbb{Z}_N;
\]
the action of these automorphisms on \(\Pi_N/Z(\Pi_N)\) is given by the right action of \(SL(2, \mathbb{Z}_N)\) on the phase space \(\Gamma_N = \mathbb{Z}_N \times \mathbb{Z}_N\),
\[
(i', j') = (i, j) \begin{pmatrix} a & b \\ c & d \end{pmatrix}.
\]
Proof. To the composition of two automorphisms $\psi_X, \psi_Y$ corresponding to $(a_X, b_X, c_X, d_X)$ and $(a_Y, b_Y, c_Y, d_Y)$, respectively, the product of matrices corresponding to $\psi_X$ and $\psi_Y$ is assigned, as can be seen from

$$(XY)^{-1} Q(XY) = (Y^{-1} QY)^{a_X} (Y^{-1} PY)^{b_X}$$

and similarly for $P$

$$(XY)^{-1} P(XY) = Q^{a_X+bd_Y} P^{c_X+bd_Y}.$$ 

Hence

$$\Phi(\psi_X\psi_Y) = \Phi(\psi_X) \Phi(\psi_Y)$$

and $\Phi$ is an injective homomorphism.

Now matrix elements $a, b, c, d$ cannot be chosen arbitrarily. Consider the action of $\psi_Y$:

$$Y^{-1} QY = Q^a P^b \implies Y^{-1} Q_N Y = \mu Q_N^a P_N^b, \quad |\mu| = 1,$$  

$$Y^{-1} PY = Q^c P^d \implies Y^{-1} P_N Y = \lambda Q_N^c P_N^d, \quad |\lambda| = 1.$$ 

By multiplying equation (25) by equation (26) once from the left and once from the right, we obtain

$$P_N Q_N Y = \mu \lambda Y Q_N^c P_N^d Q_N^b P_N^a, \quad Q_N P_N Y = \mu \lambda Y Q_N^a P_N^b Q_N^c P_N^d.$$ 

Using the commutation relation (8) we obtain

$$\omega_N^{-ad} \mu \lambda Y Q_N^{ae} p_N^{bd} p_N^{bd} = P_N Q_N Y = \omega_N^{-a} Q_N P_N Y = \omega_N^{-ad} \mu \lambda Y Q_N^{ae} p_N^{bd}$$

leading to the condition

$$\omega_N^{-ad} = \omega_N^{-bc}.$$ 

It will be fulfilled if and only if $ad - bc = 1 \pmod{N}$, i.e.,

$$\det \begin{pmatrix} a & b \\ c & d \end{pmatrix} = 1 \pmod{N}.$$ 

This means that to every $\psi_Y$ acting on $\Pi_N / Z(\Pi_N)$ a matrix from $SL(2, \mathbb{Z}_N)$

$$\Phi(\psi_Y) = \begin{pmatrix} a & b \\ c & d \end{pmatrix}$$

is assigned. Now to every coset from $\Pi_N / Z(\Pi_N)$ an element $(i, j)$ of the phase space $\mathbb{Z}_N \times \mathbb{Z}_N$ was associated. So finally we check that the action of $\psi_Y$ on $Q^i P^j$ is given by

$$(i', j') = Q^i P^j = \psi_Y(Q^i P^j) = Y^{-1} Q^i P^j Y$$

$$= Y^{-1} Q^i Y^{-1} P^j Y = Q^{a_i+c} p_N^{b_j+d} = (ia + jc, ib + jd),$$

and this means that the transformation of $(i, j)$ can be written as the right action of $SL(2, \mathbb{Z}_N)$ on $\mathbb{Z}_N \times \mathbb{Z}_N$

$$(i', j') = (i, j) \begin{pmatrix} a & b \\ c & d \end{pmatrix}. \quad (31)$$

Finally observe that mapping $\Phi$ is an isomorphism, since

$$\Phi : \psi_X \mapsto I_2 \implies \psi_X \in [\psi_{I_2}]$$

follows from

$$(i', j') = (i, j) \quad \text{if and only if} \quad X^{-1} Q^i P^j X = I_N^{-1} Q^i P^j I_N.$$
We conclude this section with

**Lemma 1** [23]. The right action of $SL(2, \mathbb{Z}_N)$ on the phase space $\mathbb{Z}_N \times \mathbb{Z}_N$ does not change the determinant of a matrix composed of components of two vectors from $\mathbb{Z}_N \times \mathbb{Z}_N$.

**Proof.** Consider two vectors $(i, j)$ and $(k, l)$ from $\mathbb{Z}_N \times \mathbb{Z}_N$ and the matrix \[ \begin{pmatrix} i & j \\ k & l \end{pmatrix}. \] Since the action of $A$ is given by $(i', j') = (i, j)A$ and $(k', l') = (k, l)A$, and because $\det A = 1$, one immediately gets the result

\[
\det \begin{pmatrix} i' & j' \\ k' & l' \end{pmatrix} = \det \left( \begin{pmatrix} i & j \\ k & l \end{pmatrix} A \right) = \det \begin{pmatrix} i & j \\ k & l \end{pmatrix} \det A = \det \begin{pmatrix} i & j \\ k & l \end{pmatrix}. \tag{32}
\]

**Remarks.** For $N$ prime the right action of $SL(2, \mathbb{Z}_N)$ on the phase space $\mathbb{Z}_N \times \mathbb{Z}_N$ has exactly two orbits—the single point $\{(0, 0)\}$ and $O_N = \mathbb{Z}_N \times \mathbb{Z}_N \setminus \{(0, 0)\}$ consisting of $N^2 - 1$ points. The stationary subgroup of the point $(1, 0)$ from $O_N$ is the Abelian subgroup $\{(a, 0) | a = 0, 1, \ldots, N - 1\}$ of order $N$. Hence the order of $SL(2, \mathbb{Z}_N)$ is $N(N^2 - 1)$. Further, according to lemma 1, the determinant (32) is an invariant of the right action of $SL(2, \mathbb{Z}_N)$ on $\Gamma_N \times \Gamma_N$. Let us note that $SL(2, \mathbb{Z}_N)$ transformations of the finite phase space were also studied in [24].

### 6. New construction of the maximal set of mutually unbiased bases for $N$ prime

In this section, the finite phase space and its transformations of the form (19) will be used to introduce an interesting algebraic structure that proves the existence of $(N + 1)$ mutually unbiased bases for prime $N$, thus providing an alternative approach to their construction. We shall exploit the fact that, for prime $N$, $\mathbb{Z}_N$ is a finite field, i.e., there is also a multiplicative group structure modulo $N$ in $\mathbb{Z}_N = \mathbb{Z}_N \setminus \{0\}$.

Our construction starts with the partition of the finite phase space $\Gamma_N = \mathbb{Z}_N \times \mathbb{Z}_N$ into equivalence classes $[(i, j)]$ defined by the equivalence relation $(i, j) \sim (i', j')$, if there exists $r \in \mathbb{Z}_N^*$ such that $(i', j') = (ri, rj)$, where the multiplication is understood modulo $N$.

We exclude the trivial class $\{(0, 0)\}$ containing only $(0, 0)$. Then the orbit $O_N = \mathbb{Z}_N \times \mathbb{Z}_N \setminus \{(0, 0)\}$ is decomposed into $(N + 1)$ classes $\{(1, 0)\}$ and $\{(i, 1)\}$ where $i = 0, 1, \ldots, N - 1$.

The fact that $\mathbb{Z}_N$ is a field for prime $N$ is crucial in the proof that every element of the orbit $O_N$ belongs to some class. Since each class has $N - 1$ elements, this decomposition contains $N^2 - 1$ elements in total, with the only element $(0, 0)$ not included.

If an element is of the form $(0, i)$ or $(i, 0)$, then it is obvious that it belongs to classes $\{(0, 1)\}$ or $\{(1, 0)\}$, respectively. An element of the form $(i, j)$, $i, j = 1, 2, \ldots, N - 1$, will belong to the class $\{(k, 1)\}$ where $k = \{i, 2, \ldots, N - 1\}$ is the solution of $kj \equiv i$ (mod $N$). The existence and uniqueness of such $k$ is guaranteed by the fact that $\mathbb{Z}_N$ is a field for $N$ prime. The partition into classes can be visualized in the following table of all elements in $\mathbb{Z}_N \times \mathbb{Z}_N \setminus \{(0, 0)\}:

Here every element $(i, j)$ corresponds to a coset $Q^i P^j$. All operators in the same coset differ just by a complex multiplier. Every multiple $(ri, rj)$ of a vector $(i, j)$ by $r = 1, 2, \ldots, N - 1$ will therefore correspond to the coset $Q^i P^j$. Because of relation (8) it is obvious that operators $(Q^i P^j)^r$ and $(Q^i P^j)^r$ belong to the same coset. An important consequence is that elements $(ri, rj)$, $r = 1, 2, \ldots, N - 1$, correspond to commuting operators, hence have the same eigenvectors. Thus we have proved.
Lemma 2. If \( N \) is a prime, then there are exactly \((N + 1)\) classes of elements from \( \mathcal{O}_N = \mathbb{Z}_N \times \mathbb{Z}_N \setminus \{(0, 0)\} \), each class containing \( N - 1 \) elements. All elements of the same class correspond to commuting operators with the same eigenvectors.

We will now demonstrate that the bases composed of the eigenvectors of two different operators corresponding to elements from distinct classes in \( \mathcal{O}_N \) are mutually unbiased.

Theorem 4. Let \( N \) be a prime and let \((a, b)\) and \((c, d)\) be two elements from \( \mathcal{O}_N = \mathbb{Z}_N \times \mathbb{Z}_N \setminus \{(0, 0)\} \) which belong to distinct classes \([a, b] \neq [c, d]\). Then the bases composed of eigenvectors of the operators from the corresponding cosets \( Q^a P^b \) and \( Q^c P^d \) are mutually unbiased.

Proof. The first step is to show that the bases composed of eigenvectors of \( Q_N \) and \( P_N \) are mutually unbiased. This follows directly from equation (11). Namely
\[
P_N S_N |j\rangle = S_N Q_N |j\rangle = \omega^j |S_N |j\rangle,
\]
where \(|j\rangle\) is an eigenvector of \( Q_N \), so \( S_N |j\rangle\) is an eigenvector of \( P_N \). Further, because of (10), the inner product of \(|j\rangle\) and \( S_N |k\rangle\) has absolute value
\[
|\langle j | S_N |k\rangle| = \left| \frac{\omega^{jk}}{\sqrt{N}} \right| \leq \frac{1}{\sqrt{N}}.
\]
Hence if we have two elements where one belongs to the class \([1, 0]\) and the other to the class \([0, 1]\), then we already know that their corresponding bases are mutually unbiased, because they are composed of eigenvectors of \( Q_N \) and \( P_N \), respectively.

Because of the partition of \( \mathcal{O}_N \) it is sufficient to consider now only the case of two distinct elements \((a, 1)\) and \((b, 1)\), with \( a, b \in \{1, 2, \ldots, N - 1\}, a \neq b \). We are going to show that the bases of eigenvectors of the corresponding operators \( Q_N^a P_N \) and \( Q_N^b P_N \) are mutually unbiased (hence also the eigenvectors of powers of these operators). According to theorem 3, to unitary operators \( X \) that permute the cosets in the Heisenberg group
\[
X^{-1} Q^{a'} P^{b'} X = Q^b P^b
\]
matrices from \( SL(2, \mathbb{Z}_N) \) are assigned. Conversely, to every matrix from \( SL(2, \mathbb{Z}_N) \) there is an equivalence class of unitary operators which induce the same permutation of the cosets. In this sense, a special unitary representation of \( SL(2, \mathbb{Z}_N) \) was described in [18].
hence the eigenvectors of $Q^a P$ and $Q^b P$ can be expressed as $X(j)$ and $XS_N(k)$, respectively. According to (34) modulus of their inner product is

$$|\langle X(j), XS_N(k) \rangle| = \frac{1}{\sqrt{N}},$$

proving that these bases are mutually unbiased, too.

To prove the existence of a unique matrix $A \in SL(2, \mathbb{Z}_N)$ with the desired properties we apply lemma 1 implying

$$\det \begin{pmatrix} a & 1 \\ b & 1 \end{pmatrix} = a - b \pmod{N} = \det \begin{pmatrix} \bar{a} & 0 \\ 0 & \bar{b} \end{pmatrix} = \bar{a}\bar{b} \pmod{N}$$

and we select $\bar{a}, \bar{b} \in \mathbb{Z}_N$ such that $\bar{a}\bar{b} = a - b \pmod{N}$. Equivalently, we look for a matrix $C = A^{-1} \in SL(2, \mathbb{Z}_N)$ producing the inverse transformation

$$(\bar{a}, 0)C = (\bar{a}, 0) \begin{pmatrix} \alpha & \beta \\ \gamma & \delta \end{pmatrix} = (a, 1), (0, \bar{b})C = (0, \bar{b}) \begin{pmatrix} \alpha & \beta \\ \gamma & \delta \end{pmatrix} = (b, 1).$$

This gives us the following equations to compute the elements of $C$:

$$\bar{a}\beta = 1 \pmod{N}, \quad (35)$$
$$\bar{a}\alpha = a \pmod{N}, \quad (36)$$
$$\bar{b}\gamma = b \pmod{N}, \quad (37)$$
$$\bar{b}\delta = 1 \pmod{N}. \quad (38)$$

The fact that $N$ is a prime guarantees that each of these equations has unique solution in $\mathbb{Z}_N$. Having the values of entries $\alpha, \beta, \gamma, \delta$, we still need to check that $C$ indeed belongs to $SL(2, \mathbb{Z}_N)$. By multiplying equations (36) and (38) and subtracting the product of (37) and (35) we obtain

$$\bar{a}\bar{b}(a\delta - \beta\gamma) = a - b \pmod{N}.$$  

Since $\bar{a}\bar{b} = a - b \pmod{N}$ we have

$$\det C = a\delta - \beta\gamma = 1 \pmod{N},$$

verifying that $C$ indeed belongs to $SL(2, \mathbb{Z}_N)$. The inverse matrix $A = C^{-1} = \begin{pmatrix} \delta & -\beta \\ -\gamma & \alpha \end{pmatrix}$ will then transform pairs $(a, 1)$ and $(b, 1)$ into $(\bar{a}, 0)$ and $(\bar{b}, 0)$, respectively,

$$(a, 1)C^{-1} = (\bar{a}, 0), \quad (b, 1)C^{-1} = (0, \bar{b}).$$

To complete the proof, it is easy to see that for pairs $(b, 1), (1, 0)$ and $(b, 1), (0, 1), b = 1, 2, \ldots, N - 1$, there exist unique transformation matrices from $SL(2, \mathbb{Z}_N)$ such that

$$(b, 1)A_1(b) = (0, 1), (1, 0)A_1(b) = (1, 0) \implies A_1(b) = \begin{pmatrix} 1 & 0 \\ -b & 1 \end{pmatrix}$$

and

$$(b, 1)A_2(b) = (b, 0), (0, 1)A_2(b) = (0, 1) \implies A_2(b) = \begin{pmatrix} 1 & b^{-1} \\ 0 & 1 \end{pmatrix}.$$  

Hence, pairs of bases composed of eigenvectors of pairs of operators $Q^b P$, $Q$ and $Q^b P$, $P$ are mutually unbiased. Thus we have shown that there exist $(N + 1)$ mutually unbiased bases
in a Hilbert space of prime dimension $N$. We have therefore reached the same conclusion as [21]. In our case, the mutually unbiased bases are composed of eigenvectors of operators

$$Q_N, P_N, Q_N P_N, Q_N^2, \ldots, Q_N^{N-1} P_N,$$

(39)

while the operators in theorem 2 are only modified using (8).

Note that one could make a different choice of representatives of the classes forming the partition: we could have alternatively used, e.g. the pairs $(1, a), a = 1, 2, \ldots, N - 1$, instead of $(a, 1)$, and the mutually unbiased bases would be given by bases composed of eigenvectors of operators

$$Q_N, P_N, Q_N P_N, Q_N^2, \ldots, Q_N^{N-1} P_N.$$

To provide a constructive proof we should give an explicit way to construct the bases out of the canonical basis $\mathcal{B}$. Let us denote the bases composed of eigenvectors of (39) by

$$\mathcal{B} = \mathcal{B}(1,0), \mathcal{B}(0,1), \mathcal{B}(1,1), \ldots, \mathcal{B}(N-1,1).$$

We know that the map $\mathcal{B}(1,0) \rightarrow \mathcal{B}(0,1)$ is implemented by the unitary operator $S_N$. The next step $\mathcal{B}(0,1) \rightarrow \mathcal{B}(1,1)$, leaving $\mathcal{B}(1,0)$ intact, clearly corresponds to the above transformation matrix $A_1(-1)$ from $SL(2, \mathbb{Z}_N)$. Its iterations will generate further steps. A unitary transformation $D_N$ which implements $A_1(-1)$,

$$D_N^{-1} Q_N D_N = Q_N, \quad D_N^{-1} P_N D_N = \varepsilon_N^{-1} Q_N P_N,$$

can be taken from [22]; it is diagonal,

$$D_N = \text{diag}(d_0, d_1, \ldots, d_{N-1}), \quad d_j = \varepsilon_N^{-j} \omega_N^j,$$

where $\varepsilon_N = 1$ if $N$ is odd, $\varepsilon_N = \sqrt{\omega_N}$ if $N$ is even. In this way, we arrive at a sequence of unitary maps

$$\mathcal{B}(1,0) \xrightarrow{S_N} \mathcal{B}(0,1) \xrightarrow{D_2} \mathcal{B}(1,1) \xrightarrow{D_2} \mathcal{B}(2,1) \xrightarrow{D_2} \cdots \xrightarrow{D_2} \mathcal{B}(N-1,1),$$

and the composite unitary operators $D_N^b S_N$, $b = 0, 1, \ldots, N - 1$ will produce all the bases starting from the canonical one.

□

Example. $N = 2$. The phase space $\Gamma_2$ consists of four elements $(0, 0), (1, 0), (0, 1), (1, 1)$. The group $SL(2, \mathbb{Z}_2)$ (also known as the group of invertible $2 \times 2$ matrices over the simplest finite field $\mathbb{F}_2$) with six elements

$$\begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}, \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \begin{pmatrix} 1 & 0 \\ 1 & 1 \end{pmatrix}, \begin{pmatrix} 1 & 1 \\ 0 & 0 \end{pmatrix}, \begin{pmatrix} 1 & 1 \\ 1 & 1 \end{pmatrix}, \begin{pmatrix} 0 & 1 \\ 1 & 1 \end{pmatrix},$$

acts transitively on the orbit $\{(1, 0), (0, 1), (1, 1)\}$. Unitary operators transforming the bases (14)

$$\mathcal{B}(1,0) \xrightarrow{S_2} \mathcal{B}(0,1) \xrightarrow{D_2} \mathcal{B}(1,1)$$

are

$$S_2 = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 & 1 \\ 1 & -1 \end{pmatrix}, \quad D_2 = \begin{pmatrix} 1 & 0 \\ 0 & -i \end{pmatrix}.$$
7. Concluding remarks

The question whether the maximal number \((N + 1)\) can be attained for a composite dimension \(N\), where \(N\) is not prime nor a power of a prime, still remains an open problem. The answer is not known even for the simplest case \(N = 6\) where \(N + 1 = 7\). A simple argument \cite{21} leads to three such bases. Some numerical attempts to find further mutually unbiased bases were not successful. So it remains unclear whether it is indeed possible to reach the maximal number \((N + 1)\) of them for the Hilbert space of dimension 6 and in other composite dimensions as well.

Although the relation between the eigenvectors of \(Q_N^i P_N^j\) and mutually unbiased bases was observed, e.g., in \cite{21}, the relation between the decomposition of the phase space \(\mathbb{Z}_N \times \mathbb{Z}_N\) whose elements correspond to cosets in the finite Heisenberg group and the existence of mutually unbiased bases has been left unnoted so far. We were thus able to give an independent constructive proof of theorem 2 using group theory. However, the proof heavily depends on properties that are a consequence of \(N\) being a prime. The extension of our proof to the case of Galois fields with prime powers \(N\) will be subject of a future publication. It might also be interesting to investigate whether our procedure would provide better insight in the problem of existence or non-existence of the maximal number of mutually unbiased bases in composite dimensions.

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