Research article

Cancer diagnosis marker extraction for soft tissue sarcomas based on gene expression profiling data by using projective adaptive resonance theory (PART) filtering method

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Abstract

Background: Recent advances in genome technologies have provided an excellent opportunity to determine the complete biological characteristics of neoplastic tissues, resulting in improved diagnosis and selection of treatment. To accomplish this objective, it is important to establish a sophisticated algorithm that can deal with large quantities of data such as gene expression profiles obtained by DNA microarray analysis.

Results: Previously, we developed the projective adaptive resonance theory (PART) filtering method as a gene filtering method. This is one of the clustering methods that can select specific genes for each subtype. In this study, we applied the PART filtering method to analyze microarray data that were obtained from soft tissue sarcoma (STS) patients for the extraction of subtype-specific genes. The performance of the filtering method was evaluated by comparison with other widely used methods, such as signal-to-noise, significance analysis of microarrays, and nearest shrunk centroids. In addition, various combinations of filtering and modeling methods were used to extract essential subtype-specific genes. The combination of the PART filtering method and boosting – the PART-BFCS method – showed the highest accuracy. Seven genes among the 15 genes that are frequently selected by this method – MIF, CYFIP2, HSPCB, TIMP3, LDHA, ABR, and RGS3 – are known prognostic marker genes for other tumors. These genes are candidate marker genes for the diagnosis of STS. Correlation analysis was performed to extract marker genes that were not selected by PART-BFCS. Sixteen genes among those extracted are also known prognostic marker genes for other tumors, and they could be candidate marker genes for the diagnosis of STS.

Conclusion: The procedure that consisted of two steps, such as the PART-BFCS and the correlation analysis, was proposed. The results suggest that novel diagnostic and therapeutic targets for STS can be extracted by a procedure that includes the PART filtering method.
Background
Soft tissue sarcomas are a group of highly heterogeneous tumors that exhibit a diverse spectrum of mesenchymal differentiations. However, the molecular dissection of tumor heterogeneity has been hampered by the relatively low incidence of these tumors; approximately 3,800 cases are reported annually in Japan. Significant differences were observed in the five-year survival rates among the subtypes of STS, e.g., 100% for well-differentiated liposarcoma (WLS), 71% for synovial sarcoma (SS), 46% for pleomorphic malignant fibrous histiocytoma (MFH), and 92% for myxofibrosarcoma (MFS). The primary objective of this study was to identify a set of marker genes that facilitates accurate differential diagnosis of the sarcoma subtypes. Discrimination between MFH and MFS, for example, is particularly difficult because there is a historical overlap between the two. Information on such subtype-specific genes may also help in understanding the molecular pathways that are activated in each subtype of the different biological malignancies.

Recent advances in DNA microarray analysis have enabled the simultaneous evaluation of the expression levels of several tens of thousands of genes, thereby offering a rich source of information that is potentially useful in the diagnosis and prognosis of diseases [1]. There are two main methods of expression data analyses: unsupervised learning methods and supervised learning methods. The unsupervised learning methods, e.g., hierarchical clustering [2] and fuzzy adaptive resonance theory (Fuzzy ART) [3], are designed to identify previously unrecognized classes of disease based on their expression pattern; the biological significance of such disease subtypes, such as prognosis, is then assessed. In contrast, the supervised learning methods use training sets to specify the genes that should be clustered together [4]. However, to conduct either unsupervised or supervised analysis, it is necessary to select genes that have a strong correlation with the target phenotype, such as disease diagnosis or prognosis. This is because the performance of classification analysis can decline if a large number of genes as predictor variables are incorporated in the model.

Gene selection has been performed to screen candidate genes for modeling. There are two types of approaches – the wrapper approach and the filtering approach. In the former approach, genes are selected as a part of mining algorithms, such as k-nearest neighbor (kNN), multiple regression analysis (MRA), weighted voting (WV) [5], support vector machines (SVM) [6], fuzzy neural network (FNN) combined with SWEEP operator (FNN-SWEEP) method [7], and boosted fuzzy classifier with SWEEP operator (BFCS) method [8,9]. On the other hand, in the latter approach, prior to the application of the mining algorithms, genes are selected by filtering methods, such as the Mann-Whitney U test, Student’s t-test (Sttest), Welch’s t-test (Wttest), the signal-to-noise statistic (S2N) [5], significance analysis of microarrays (SAM) [10], nearest shrunken centroids (NSC) [11], and the projective adaptive resonance theory (PART) filtering method [12].

In a previous study, we developed the PART filtering method by modifying PART [13,14], and reported that PART exhibited a higher performance than conventional methods, such as S2N and NSC [12]. The combination method of PART and BFCS (PART-BFCS) was developed and applied to gene expression data, such as lymphoma [15] and esophageal cancer [16]. In the present study, we applied the various filtering methods to the gene expression profile data for the STS subtypes and constructed SVM models using the filtered genes. The results showed that the accuracy of the model based on the genes filtered by PART was the highest. In addition, various wrapper methods were applied to the genes that were filtered by the different filtering methods to extract essential genes for diagnosis. The models of the PART-BFCS method among various combinations of filtering and wrapper methods showed the highest accuracy, and 28 independent probes were extracted using this method. Seven genes among the 15 probes that were frequently selected by this method are known prognostic marker genes for other tumors. These genes are candidate marker genes for STS. Correlation analysis was performed for the 15 genes to extract the subtype-specific genes that were not selected by PART-BFCS. Sixteen genes among those extracted are also known prognostic marker genes for other tumors, and these could be candidate marker genes for STS.

Results and discussion
Clustering analysis for unfiltered data
Hierarchical clustering was applied to 35 patients and 12,241 unfiltered probes, as shown in Fig. 1. Figure 1 shows that patients were separated into three clusters – two MFH clusters and a single MFS cluster. However, there were seven MFS patients in the MFH clusters and three MFH patients in the MFS cluster that were misclassified by the clustering. On the basis of these results, various filtering and wrapper methods were performed for a more accurate separation of these patients.

Construction of SVM models by using filtered genes
To eliminate nonspecific genes for discriminating between MFH and MFS, various filtering methods, such as the U test, Sttest, Wttest, S2N, SAM, NSC, and PART were applied to the modeling data set comprising 26 patients and 12,241 probes; the performances were evaluated by using prediction accuracies for the blind data. The top 1,000 genes selected by each filtering method were used to construct the SVM models. The blind accuracies of models for each method are shown in Table 1. Table 1
shows that the accuracy of the SVM model using genes filtered by PART, which was 88.8%, was the best in this study. The accuracies of models using S2N or SAM (77.7%) were the second highest. On the other hand, the accuracy of the SVM model without filtering was 55.6%, which was the lowest. Average accuracy of the models with random selection was also 55.6%. These results suggest that when constructing diagnostic models, it is necessary to incorporate a filtering step; further, in this study, the PART filtering method was found to give the most accurate predictions.

Application of various combinations of filtering and wrapper methods
To extract essential subtype-specific genes for differentiation between MFH and MFS, various wrapper methods such as kNN, MRA, WV, SVM, FNN-SWEEP, and BFCS were applied to the modeling data set comprising 26
patients and 1,000 probes filtered by each filtering method; the performances were evaluated by using the prediction accuracies of the blind data. The genes selected by each wrapper method were used in the models, and numbers of inputs were optimized by cross-validation of the modeling data set. The blind accuracies were calculated by using ten combination models that were constructed by PIM, as shown in Table 2. Table 2 shows that the average accuracy of PART-BFCS was 81.1%, which was the highest. There was a total of 80 probes in ten combinations of 8-input models. Some probes were selected several times. Among 80 probes, 28 were independent. The average accuracies of the SAM-kNN and PART-SVM methods, at 74.4% and 73.3%, were the second and third best, respectively. These results imply that the combination of PART and BFCS is the most accurate method for extraction of essential subtype-specific genes for STS.

Clustering analysis using genes extracted by PART-BFCS
Hierarchical clustering was applied to 35 patients and 28 probes selected by PART-BFCS, as shown in Fig. 2. Figure 2 shows that patients were separated into two clusters — an MFH cluster and an MFS cluster. The results show that there was a single MFS patient in the MFH cluster and three MFH patients in the MFS cluster. These observations suggest that misclassification of samples was reduced using the genes that were extracted by the PART-BFCS method and that essential genes could be extracted for the diagnosis of STS subtypes.

Extraction of marker gene candidates by the correlation analysis
To extract the marker gene candidates unextracted by PART-BFCS, the correlation analysis was applied to STS data. Twenty-eight probes were extracted by PART-BFCS. Fifteen probes among 28 ones were selected two times or more. As shown in Table 3, a total of 150 probes, comprising the top 10 probes having high correlation with the 15 probes, were extracted as marker gene candidates. Some probes were selected several times. Thus, these probes comprised 145 independent probes, which correspond to 126 independent genes. The performance of the 145 probes was confirmed by hierarchical clustering, as shown in Fig. 3. Figure 3 shows that patients were separated into two clusters – an MFH cluster and an MFS cluster. The results show that there was two MFS patient in the MFH cluster and four MFH patients in the MFS cluster. This result was almost the same as Figure 2. This is, the genes that have high performances, were extracted by using correlation analysis.

Characteristics of the genes selected for the classification models and the genes highly correlated with them
Significant differences were observed in the five-year survival rates between MFH and MFS. Thus, it was expected that prognostic marker genes would be extracted for the discrimination of MFH and MFS. We investigated the presence of previously reported prognostic marker genes among the 15 probes (genes) selected frequently by PART-BFCS among the 28 probes. Furthermore, 145 probes which correspond to 126 independent genes, were investigated.
With regard to the genes selected directly by PART-BFCS, seven genes among the 15 genes are reported to be prognostic markers for other tumors. *MIF* promotes tumor invasion and metastasis via the Rho dependent pathway, reported by Sun et al. [17]. *CYFIP2* (*PIR121*) is one of the genes downregulated by p53, reported by Ceballos et al. [18]. p53 is a well-known type of tumor suppressor gene. *HSPCB* plays an important role in the assembly/disassembly of tubulin by inhibiting tubulin polymerization, reported by Man et al. [19]. Tubulin is a simple and useful predictive marker for the clinical response to chemotherapy in gastric cancer, reported by Urano et al. [20]. Reduced expression of *TIMP3* in esophageal adenocarcinoma is associated with increased tumour invasiveness and reduced patient survival, reported by Darnton et al. [21]. *LDHA* is a hypoxia-inducible gene and is associated with considerably poorer overall survival, reported by Chi et al. [22]. *ABR* is a regulator of the Rho GTP-binding protein family, reported by Chuang et al. [23]. The Rho pathway is associated with tumor invasion and metastasis, reported by Sun et al. [17]. *RGS3* is associated with tumor metastasis, reported by Tatenhorst et al. [24]. These findings suggest that the genes extracted by the PART-BFCS method are new marker genes for the STS subtypes.

With regard to the genes selected by correlation analysis, sixteen genes among 126 genes are reported to be prognostic markers for other tumors. The *ADD3* protein (*adducinγ*) belongs to a family of ubiquitously expressed membrane-skeletal proteins that are localized at spectrin-actin junctions, reported by van den Boom et al. [25]. In renal carcinomas, changes in adducin expression, phos-
| Accession no. | Gene name | Times of selection | Top 10 high correlation genes |
|---------------|-----------|--------------------|-------------------------------|
| **NM_002415** | **MIF**   | 9                  | **NM_003634** **DOT** **NDUFA7** **SNAP29** **MMP1** **LSM1** **DGRCR14** **MRPL20** |
| **NM_003634** | **DOT**   | 9                  | **NM_001355** **BG538627** **AF001294** **NM_002421** **NM_014462** **L77566 (0.71)** **NM_017971** **(0.69)** |
| **NM_001355** | **BG538627** | 9            | **NM_005001** **INSIG1** **FADS1** **ALS21760** **GLUD1** **TGF** **TFAF2A** **BF343007** **(0.61)** |
| **NM_002421** | **SNAP29** | 9                  | **AF001294** **NM_005542** **L86866 (0.63)** **NM_005000** **NM_005271** **(0.62)** **(0.62)** |
| **NM_005542** | **INSIG1** | 9                  | **FADS1** **ALS21760** **GLUD1** **TGF** **TFAF2A** **BF343007** **(0.61)** |
| **NM_005000** | **NM_005542** | 9            | **L86866 (0.63)** **NM_005000** **NM_005271** **(0.62)** **(0.62)** |
| **NM_005271** | **NM_005000** | 9            | **NM_005271** **(0.62)** **(0.62)** |

**Table 3: The genes selected by PART-BFCS and the genes having high correlation with them**

The left hand side of the table shows the genes selected by PART-BFCS and the right hand side shows the genes correlated with them. Parenthesized values indicate correlation coefficients.
phorylation state, and localization were found to be associated with increased malignancy. In addition, the down-regulation of adducin-γ expression is correlated with increased migratory activity of human glioma cells in vitro. The expression of COL11A1 in colorectal tumors could be associated with the APC/β-catenin pathway in familial adenomatous polyposis (FAP) and sporadic colorectal cancer, reported by Fischer et al. [26]. Nuclear accumulation of the beta-catenin protein is associated with activation of the Wnt/Wg signaling pathway. Beta-catenin status predicts a favorable outcome in childhood medulloblastoma, reported by Ellison et al. [27]. SMAD3 is a component of the transforming growth factor-beta (TGFβ), which is a potent regulator of growth, apoptosis, and invasiveness of tumor cells, such as breast cancer cells, reported by Dubrovskia et al. [28]. TGFβ1/SMAD3 suppresses BRCA1-dependent DNA repair in response to DNA damaging agents. GAS7, a growth arrest-specific gene, is the partner gene of MLL in treatment-related acute myeloid leukemia. MLL gene translocations can be present early during anticancer treatment at low cumulative doses of DNA topoisomerase II inhibitors, reported by Megonigal et al. [29]. CD130 (IL6ST) expression is associated with disease activity in multiple myeloma, reported by Barille et al. [30]. MMP1 expression is correlated significantly with the evolution of lymph node status and tumor-lymph node-metastasis (TNM) stage, reported by Gouyer et al. [31]. Expression of MMP9 and MMP13 is

Figure 3
Hierarchical clustering of STS patients by using 145 probes having high correlation with the 15 probes selected by PART-BFCS.
positively associated with poor tumor cell differentiation, vessel permeation, and lymph node metastasis, reported by Gu et al. [32]. MMP11 (ST3) is associated with lymph node involvement and tumor progression, reported by Soni et al. [33]. TSSC3 is one of the genes related to apoptosis, reported by Muller et al. [34]. HSPB2 (HSP27) is implicated in resistance to chemotherapy in breast cancer, and also predicts a poor response to chemotherapy in leukemia patients, reported by Ciocca and Calderwood [35]. HSP105B is an alternatively spliced form of HSP105A, reported by Yamagishi et al. [36]. HSP105A prevents stress-induced apoptosis in neuronal PC12 cells, and it is a novel anti-apoptotic neuroprotective factor in the mammalian brain. An anti-ICAM2 monoclonal antibody induces immune-mediated regressions of ICAM2-negative colon carcinomas, reported by Melero et al. [37]. HSPD1 is downregulated during early apoptosis of hepatoma cells, reported by Lee et al. [38]. WNT10B is a member of the WNT signaling molecules, which are potent targets for the diagnosis of cancer (susceptibility, metastasis, and prognosis) as well as for the prevention and treatment of cancer, reported by Kirikoshi and Katoh [39]. TEK is correlated with a higher risk of metastases in node-negative patients, reported by Dales et al. [40]. Thus, correlation analysis was performed to extract the subtype-specific genes that were not selected by PART-BFCS. These findings suggest that the genes having a high correlation with those extracted by the PART-BFCS method could also be new marker genes for the STS subtypes, and that this fact gives greater confidence in the accuracy of these potential maker genes selected directly by PART-BFCS.

Conclusion
In this study, we applied the PART filtering method to STS gene expression profiling data to construct subtype predictors for diagnosis. The results showed that the genes selected by PART exhibited higher prediction accuracy for STS than the other methods assessed. The genes selected by PART-BFCS such as MIF, CYFIP2, HSPCB, TIMP3, LDHA, ABR, and RGS3 can be used as targets for molecular diagnosis and treatment. In addition, the new candidate marker genes that were not extracted directly by PART-BFCS, could be extracted by correlation analysis. We believe that this procedure, the PART filtering method, should be considered as one of the candidate analytical procedures in various class prediction problems in clinical and basic oncology using transcriptome data.

Methods

Microarray analysis
The gene expression profile data were obtained from 35 surgical specimens of STS – 20 pleomorphic malignant fibrous histiocytomas (MFH) and 15 myxofibrosarcomas (MFS). For RNA extraction, trained pathologists carefully excised the tissue samples from the main tumor, leaving a clear margin from the non-tumor tissue. Microscopically, the samples may still contain several non-tumor cells such as infiltrating lymphocytes, tissue macrophages, and vascular and lymphatic endothelial cells. However, unlike carcinomas, it is difficult to eliminate non-tumor stroma in case of soft tissue sarcomas; therefore, laser microdissection was not performed in this study. Total RNAs extracted from the bulk tissue samples were biotin-labeled and hybridized to high-density oligonucleotide microarrays (Affymetrix Human Genome U133A 2.0 Array) comprising 22,283 probe sets representing 18,400 transcripts, according to the manufacturer’s instructions. The scanned array data were processed by Affymetrix Microarray Suite v.5.1, which scaled the average expression intensity of all the genes on each array to the target signal of 1,000.

Data processing
In this experiment, the data set was randomly partitioned into two groups – 26 samples (15 MFH and 11 MFS) as a modeling data set for constructing the subtype prediction model (predictor) and nine samples (5 MFH and 4 MFS) as a blind data set for evaluating the constructed predictor. Validations were performed by comparing the accuracies in the blind data set, instead of cross-validation accuracies, as reported by Bhasin and Raghava [41]. In the present study, cross-validation was used to optimize various parameters of the models for the modeling data.

In the 35 specimens, the probes that expressed at a signal intensity of less than 1,000 were excluded as a preprocesing procedure prior to the application of various combinations of filtering and modeling methods. It is empirically difficult to reproduce the expression by RT-PCR for the genes which have signal intensity of less than 1,000, when their gene expression values were scaled to target signal of 1,000. Accordingly, 12,241 probes were selected. During the gene filtering step, 1,000 probes were selected using each filtering method. For each filtering method, SVM models were constructed to differentiate between MFH and MFS by using the filtered genes. In addition, various wrapper methods were used to extract essential genes for diagnosis; these are described in the following sections.

With regard to the wrapper methods, the parameter increasing method (PIM) [42] was used to select input combinations for model construction in the modeling methods. To validate the performance of the models, 10 independent combination models were constructed. The accuracy of the subtype prediction of the blind data was also calculated as the average of 10 combination predictors.
Model construction with parameter selection

The PIM was used to select input combinations for the construction of kNN, MRA, WV, SVM, and FNN-SWEEP models. This was conducted as follows:

Firstly, we predicted the subtype of each sample by using a prediction model with a single input. Prediction models for each probe were constructed in a series, and all the probes were ordered based on the accuracy of the constructed models. In the next step, the probe having the highest accuracy was used for constructing a combination model.

Secondly, we selected a partner probe for the probe selected in the first step in order to increase the prediction accuracy. To accomplish this, we constructed a 2-input model in which a ranked probe was designated as input 1, and input 2 (the partner probe) was selected to provide the highest training accuracy; doing so, we applied FNN-SWEEP (kNN, MRA, WV, SVM, or SVM) and PIM to the modeling data. By repeating this step, a combination of $N_{\text{opt}}$ (optimized by leave-one-out cross-validation of the modeling data) candidate probes was identified for use as input probes in the model construction.

Finally, an $N_{\text{opt}}$ input model was constructed. The probes with the 1st to the 10th highest accuracies were used as the first inputs for the construction of the 10 combination models by PIM. The performance of the prediction models was evaluated by applying them to the blind data set.

Fuzzy neural network (FNN) combined with the SWEEP operator method (FNN-SWEEP)

The FNN-SWEEP method was also applied for model construction. The FNN-SWEEP method was originally proposed by Noguchi et al. [43] and modified by Ando et al. [7] to manage microarray data. The FNN has three types of weight parameters ($w_c$, $w_g$, and $w_0$) [44]. For the FNN-SWEEP method, only parameter $w_0$ was optimized by the SWEEP operator method at the gene selection step. After the input combinations were determined, FNN models with selected input combinations were optimized using a backpropagation algorithm at the model construction step. For backpropagation, the number of epochs was set to 5,000 and the learning rate was set to 0.1; these values are the same as those reported by Ando et al. [7].

Support vector machine (SVM)

The SVM was originally proposed by Vapnik and Chervonenkis [45] and is used to prevent the "curse of dimensionality." The SVM is superior to many conventional methods and is frequently used in bioinformatics. In the present study, the SVM-LIGHT software package [46] was used. This software was modified, and the PIM function was added to select for a combination of inputs. The regularization parameter $c$ was the default value of SVM_LIGHT ((avg. (input vector))^2)^1). A linear kernel was used because a similar cross-validation accuracy of the model was obtained for the modeling data set using various kernels.

Boosted fuzzy classifier with SWEEP operator (BFCS)

BFCS is a type of advanced AdaBoost algorithm [47]. The BFCS algorithm has been described previously [8]. Briefly, multiple single-input predictors were first constructed by the FNN-SWEEP method. Then, BFCS was used to calculate adequate weights for the weak predictors, and the weighted weak predictors were assembled efficiently. As a result, the integrated predictor could correctly classify as many samples as possible by minimizing and smoothing out the probability of making an error in each individual sample.

k-nearest neighbor (kNN)

kNN methods are based on a distance function, such as the Euclidean distance, for pairs of tumor samples. The kNN proceeds as follows to classify blind data set observations on the basis of the modeling data set. For each patient in the blind data set, (a) it finds the $k$ closest patients in the modeling data set and (b) it predicts the class by majority vote; that is, it chooses the class that is most common among those $k$ neighbors. The number of neighbors $k$ was chosen as three because a similar cross-validation accuracy of the model was obtained in the modeling data set for various values of $k$.

Multiple regression analysis (MRA)

MRA is a conventional method of statistical analysis. The MRA can be used to describe and evaluate the relationship between the subtypes of tumor and gene expression. MRA models were used to help us predict the subtypes of cancer by using gene expression data.

Weighted voting (WV)

The WV method was originally proposed by Golub et al. [5] to manage microarray data. The weights of each gene were calculated by the signal-to-noise ratio. The linear models of one gene were assembled with gene weight.

Hierarchical clustering analysis

Hierarchical clustering is widely used as one of the unsupervised learning methods. This clustering method was applied to the STS subtype analysis by using CLUSTER software [2] for the cases of the 12,241 unfiltered probes or the 28 probes selected by PART-BFCS. In this study, hierarchical clustering was performed by using centroid-linkage.

Correlation analysis

Correlation analysis was performed to extract the subtype-specific genes of the STS that were not selected by PART-
Correlation coefficients for the 15 genes that were selected two times or more by PART-BFCS were calculated by Pearson’s correlation coefficient.

Authors’ contributions
HT developed the software, analyzed microarray data, and wrote the manuscript. NE carried out experiment of microarray. TY, HH, and TH conceived of the study, and participated in its design and coordination. All authors read and approved the final manuscript.

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