Effects of photoevaporation on protoplanetary disc ‘iscochrones’

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ABSTRACT

Protoplanetary discs are the site of star and planet formation, and their evolution and consequent dispersal deeply affect the formation of planetary systems. In the standard scenario they evolve on time-scales $\sim$Myr due to the viscous transport of angular momentum. The analytical self-similar solution for their evolution predicts specific disc isochrones in the accretion rate–disc mass plane. However, photoevaporation by radiation emitted by the central star is likely to dominate the gas disc dispersal of the innermost region, introducing another (shorter) time-scale for this process. In this paper, we include the effect of internal (X and EUV) photoevaporation on the disc evolution, finding numerical solutions for a population of protoplanetary discs. Our models naturally reproduce the expected quick dispersal of the inner region of discs when their accretion rates match the rate of photoevaporative mass loss, in line with previous studies. We find that photoevaporation preferentially removes the lightest discs in the sample. The net result is that, counter-intuitively, photoevaporation increases the average disc mass in the sample, by dispersing the lightest discs. At the same time, photoevaporation also reduces the mass accretion rate by cutting the supply of material from the outer to the inner disc. In a purely viscous framework, this would be interpreted as the result of a longer viscous evolution, leading to an overestimate of the disc age. Our results thus show that photoevaporation is a necessary ingredient to include when interpreting observations of large disc samples with measured mass accretion rates and disc masses. Photoevaporation leaves a characteristic imprint on the shape of the isochrone. Accurate data of the accretion rate–disc mass plane in the low disc mass region therefore give clues on the typical photoevaporation rate.

Key words: accretion, accretion discs – planets and satellites: formation – protoplanetary discs.

1 INTRODUCTION

Planets form in protoplanetary discs. Therefore, understanding disc evolution and deepening our knowledge on the processes that are responsible for disc dispersal are essential in order to understand the formation of planetary systems. In particular, the processes responsible for disc dispersal are not completely understood at the present time. However, the associated time-scale sets a limit on the time-scale for gas-giant planets formation and influences the initial architecture of exoplanetary systems (Alexander & Pascucci 2012; Ercolano & Rosotti 2015; Jennings, Ercolano & Rosotti 2018).

The formation of protoplanetary discs is a consequence of angular momentum conservation during star formation. Such discs evolve by removing/transporting angular momentum through the disc due to viscous stresses, although the mechanism leading to this viscosity is still debated (Armitage 2010), with observational studies having excluded that the viscosity is very high (Flaherty et al. 2017). Viscous accretion disc theory (Shakura & Sunyaev 1973; Lynden-Bell & Pringle 1974) predicts a lifetime for discs of $\sim$few Myr, broadly consistent with observational results (Fedele et al. 2010; Ansdell et al. 2017). However, the transition from disc-bearing to disc-less status seems to be rapid compared with the viscous theory expectation (Ercolano et al. 2011b). In particular, ‘transitional discs’ can provide further information regarding the mechanism for clearing discs (Strom et al. 1989; Alexander et al. 2014). These objects show a deficit in the opacity observed in near-infrared
(NIR) wavelength compared with the ‘standard’ emission of discs, expected to be optically thick, but are consistent with theoretical optically thick disc emission at mid- and far-infrared (MIR and FIR). This is usually interpreted as a decrease of warm dust in their innermost regions, ∼few au (Skrutskie et al. 1990; Espaillat et al. 2010, 2014). The frequency of ‘transition’ versus ‘standard’ discs suggests that ∼10–20 per cent of all the discs are in this stage (Luhman et al. 2010). If all discs encounter the transition disc phase, this means that this phase must be short-lived (∼10^7 yr), implying that disc evolution follows a two-time-scale behaviour’, as first suggested by Clarke, Gendrin & Sotomayor (2001). Two main mechanisms have been proposed to explain the observational (in dust and gas) presence of transition discs: internal photoevaporation (see e.g. Hollenbach et al. 1994; Clarke, Gendrin & Sotomayor 2001; Alexander, Clarke & Pringle 2006) and planet formation (see e.g. Armitage & Hansen 1999; Rosotti et al. 2013). Clarke et al. (2001) showed that considering photoevaporation together with viscous evolution leads to the opening of a cavity at around few a.u. when the mass accretion rate and the mass loss by photoevaporation are comparable. As a consequence, the accretion rate dramatically decreases (the so-called UV switch) and the inner disc is accreted on its own (shorter) time-scale, producing a transition disc in ∼10^7 yr, in agreement with observational results (Ercolano, Clarke & Hall 2011a). In recent years, attention has been paid also to other heating radiation fields such as the far-ultraviolet (FUV; Gorti & Hollenbach 2011a). In recent years, attention has been paid also to other heating radiation fields such as the far-ultraviolet (FUV; Gorti & Hollenbach 2011a) and soft X-rays (Alexander, Clarke & Pringle 2005; Ercolano et al. 2008; Owen et al. 2010; Picogna et al. 2019), leading to the development of multiple photoevaporation models (see Alexander et al. 2014 for a review) that differ in the mass-loss rates, the shape of the mass-loss profile, and the link between the properties of the wind and the ones of the central star (such as the stellar UV or X-ray flux). In general, however, the more recent models tend to predict significantly higher mass-loss rates compared to the original extreme ultraviolet (EUV) model. There is now direct, observational evidence of the presence of slow-moving, mildly supersonic winds thanks to the detection of blueshifted forbidden emission lines such as [Ne II], for example in TW Hydra (Pascucci et al. 2011). Photoevaporation is a natural candidate for this type of winds and the different photoevaporation models are consistent with these observations (Alexander 2008; Ercolano & Owen 2010). However, this also means that these observations are not capable to discriminate between the existing models, which at the moment still remain viable.

Given the existence of different, competing models, multiwave-length observational surveys of large and complete samples can be an useful testbed to test such evolutionary models with a statistical approach, comparing them with synthetic populations. Mass and accretion rates of discs in the star-forming regions Lupus and Chameleon I have been measured in spectroscopic surveys (Alcalá et al. 2014, 2017; Manara et al. 2016a, 2017) and in millimetre interferometric surveys (Ansdell et al. 2016; Pascucci et al. 2016), and a correlation between these two quantities was observed (Manara et al. 2016b; Mulders et al. 2017). The first analysis has been done by Hartmann et al. (1998) that estimated the value of the viscosity studying the decreasing of the measured mass accretion rate as a function of time. Subsequently Jones, Pringle & Alexander (2012) found that, in order to reproduce observational disc quantities such as the mass, the mass accretion rate, and the ages of a sample of discs, significant deviation from the Lynden-Bell & Pringle (1974) self-similar solution is needed. However, the sample was inhomogeneous and with large uncertainties in the measured quantities. Recently Rosotti et al. (2017) expanded this study considering also other mechanisms such as dead zones and photoevaporation. Lodato et al. (2017) introduced the concept of disc ‘isochrones’ for protoplanetary discs that are the locus of the mass accretion rate (Ṁ)–disc mass (M_d) plane where sources of same age are located. The comparison between the isochrones and a (synthetic or observed) population can give an estimate of the age or the viscosity or the initial mass distribution of a given population. In that work the authors found an analytical expression for the isochrones in the case of self-similar solutions. Considering the evolution of a population of discs with similar ages, they also showed that the correlation between M and M_d and its scatter are functions of time, and in particular that the slope is shallower then linear, in agreement with observational results of Lupus survey (Manara et al. 2016b) for young samples, while the slope approaches unity for old disc populations. Manara et al. (2019) compared the disc population used in planet population synthesis models (Mordasini, Alibert & Benz 2009; Mordasini et al. 2012) that include EUV photoevaporation with the data in Lupus and Chameleon star formation region.

In this paper, we perform an analysis similar to Lodato et al. (2017), by numerically evaluating the disc ‘isochrones’ for a disc population evolving through viscous evolution and internal photoevaporation. We explore two different scenarios: a EUV-driven wind following Clarke et al. (2001) and an X-ray wind as Owen, Ercolano & Clarke (2011). In Section 2, we describe the theoretical model and the numerical set-up. Our results are described in Section 3. In Section 4, we discuss the presented results, and in Section 5 give the conclusions of this work.

### 2 METHOD

The evolution of the surface density of a protoplanetary disc Σ(R, t) can be studied as a function of the radius R and the time t. It evolves with time following a diffusion equation:

\[
\frac{\partial \Sigma}{\partial t} = \frac{3}{R} \frac{\partial}{\partial R} \left( R^{1/2} \frac{\partial}{\partial R} \left( \nu \Sigma R^{1/2} \right) \right) - \Sigma_{\text{wind}}.
\]

Equation (1) is the most general form of the evolution equation in the case of protoplanetary discs subject to both viscosity and photoevaporation. In particular, \( \Sigma_{\text{wind}} \) is the photoevaporative term that accounts for the mass loss due to photoevaporation. The analytic form of this latter term depends on the theoretical model considered. In this work, we use two different parametrizations for \( \Sigma \), both due to internal photoevaporation: the analytic function of the EUV photoevaporation from Clarke et al. (2001) and the polynomial approximation of the X photoevaporation term from Owen et al. (2011).

The first term on the right-hand side (RHS) of equation (1), without the photoevaporative term, is the general equation for viscous evolution. Assuming for the kinematic viscosity \( \nu \) power-law dependence on radius, \( \nu = \nu_c (R/R_c)^{\gamma} \), where \( R_c \) is a scale radius, \( \gamma \) is a free index, \( \nu_c \) is the value of \( \nu \) at radius \( R_c \) and is evaluated using the \( \alpha \) prescription (Shakura & Sunyaev 1973), this equation can be solved analytically. The solution, called ‘self-similar’ solution (Lynden-Bell & Pringle 1974), naturally introduces a time-scale for the lifetime of the disc, the viscous time:

\[
t_v = \frac{R_c^2}{3(2 - \gamma) \nu_c},
\]

typically, for \( t >> t_v \) discs can be considered to have reached the self-similar condition.

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**Photoevaporation on disc ‘isochrones’**

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Our goal in this paper is to study the isochrones for a sample of protoplanetary discs subject to photoevaporation, expanding on the work of Lodato et al. (2017) who focused on the case of purely viscous evolution. We remark here the dependence of \( t_c \) on the value of \( \alpha \), in particular according to the \( \alpha \)-prescription, \( v(R) = \alpha c_s^2 \Omega(R)^{-1} \), where \( c_s \) is the local sound speed and \( \Omega(R) \) the Keplerian angular frequency.

### 2.1 Photoevaporation

Photoevaporation occurs when radiation, coming either from the central star (internal) or from nearby massive stars (external), ionizes the gas in the upper layers of protoplanetary disc: part of the mass in the disc then becomes unbound from the central star and leaves the system flowing in a thermal wind. As a result, we observe the formation of a cavity inside the disc. A big role is played by the mass-loss rate, \( \dot{M}_{\text{wind}} \), that depends on the features of the ionizing radiation. The effects of photoevaporation become dominant once the accretion rate through the disc becomes low enough to be comparable with \( \dot{M} \) of the ionizing radiation. The value of \( \dot{M}_{\text{wind}} \) is therefore a two-time-scales process.

In this work, we followed two different photoevaporative models, respectively, by Clarke et al. (2001) and Owen, Clarke & Ercolano (2012), taking into account UV and X photoevaporation. Following the work of Clarke et al. (2001), we adopted the analytical prescription for the UV photoevaporation term \( \dot{M}_\gamma \), with the same value of \( \dot{M}_{\text{wind}} = 4 \times 10^{-10} \, M_\odot \, \text{yr}^{-1} \). In the case of X photoevaporation, Owen et al. (2012) introduce an approximated polynomial prescription, numerically determined in Owen et al. (2011). In their work, the value of \( \dot{M}_{\text{wind}} \) ranges from \( 10^{-11} \) to \( 10^{-7} \, M_\odot \, \text{yr}^{-1} \) according to the mass and X-ray luminosity of the protostar. We chose as our reference value of the mass-loss rate the intermediate value of \( 10^{-9} \, M_\odot \, \text{yr}^{-1} \). For a detailed discussion on the theoretical models, we refer to the original papers.

### 2.2 Numerical model

The starting point of our work is to create a synthetic population of protoplanetary discs to use as initial conditions for the one-dimensional diffusion code that we developed. Our code integrates equation (1) using standard finite-difference methods (Leveque 1998), and simulates therefore the discs evolution with time accounting for both viscous and photoevaporative effects. We used a radial grid of 800 meshes, equally spaced in \( R^{1/2} \) with \( R_{\text{in}} = 0.1 \, \text{au} \) and \( R_{\text{out}} = 5000 \, \text{au} \). Particular attention should be reserved on the boundary values of the grid. Indeed, a small value of \( R_{\text{out}} \) can introduce some mass loss out of the boundary radii for large values of \( R_c \) and long-time evolution, which might modify the isochrones, see Section 3.

### 3 RESULTS

First of all, to test our numerical code, we reproduce the results found by Clarke et al. (2001). Fig. 1 shows the surface density \( \Sigma \) as a function of radius \( R \) at different times, fixing the value of \( \dot{M}_{\text{wind}} = 4 \times 10^{-10} \, M_\odot \, \text{yr}^{-1} \). We also set \( R_g = 10 \, \text{au}, M_0 = 10^{-4} \, M_\odot \), and \( R_c = 10 \, \text{au} \). We can indeed observe the formation of a cavity inside the disc at \( R = R_c \) and a trend in total agreement with our reference plot (cf. fig. 1.a from Clarke et al. 2001), which make us confident in our code.

We then focused on producing isochrones for our population for both photoevaporative models, as shown in Fig. 2 in the case of Clarke et al. (2001) (top) and in the case of Owen et al. (2012) (bottom). The dots represent our models, while the dashed line is the self-similar prediction at the same age. The significant difference...
in scatter and number of discs between the two plots is due to the mass-loss rates, chosen to be consistent with the original papers and, respectively, of $4 \times 10^{-10}$ and $10^{-8} \text{ M}_\odot \text{ yr}^{-1}$.

At early times, the discs position themselves on the theoretical self-similar isochrone for the corresponding initial disc mass, in line with the analytical predictions of Lodato et al. (2017). At later times, however (and for lower disc masses and accretion rates) photoevaporation kicks in, reducing the accretion rate and therefore ‘bending’ the isochrone downwards, as predicted by Rosotti et al. (2017). As the population evolves, this downward knee in the isochrone moves to progressively higher disc masses. Comparing the top and bottom panels of Fig. 2, one can see that, as the mass-loss rate increases, the departure from the self-similar isochrone occurs at earlier times, and that the downward knee moves to higher mass for the same evolutionary time.

The above evolution produces the counter-intuitive result that a population of photoevaporating discs is apparently more massive than the corresponding self-similar population of the same age. This is due to the fact that the lowest disc masses have been removed because they are readily dispersed. Similarly, increasing the photoevaporative loss rate produces a population with higher disc masses on average (assuming a uniform distribution in initial disc masses and viscous time-scales). We have encountered this behaviour in all the models we have run. This behaviour is the result of the competition between the rate at which photoevaporation removes low-mass discs and the rate at which high-mass discs lose their mass through accretion. Therefore, note that in principle one might get the opposite behaviour (the average disc mass decreasing in time) by constructing initial conditions in which the most massive discs are quickly removed from the system.

To further investigate this matter, we chose to mark discs with the same initial mass and follow their evolution through time in order to be able to determine which discs are removed from our sample. This is shown in Fig. 3: from $t = 5 \times 10^5$ to $5 \times 10^6$ yr the number of discs in the sample decreases, and the discs still present in the sample are the ones with higher initial masses.

This behaviour can be easily understood quantitatively based on the analysis of Clarke et al. (2001), who predict, based on the self-similar evolution, what is the mass $M_{lo}$ that is leftover in the outer disc at the time $t_w$ at which the accretion rate through the disc equals the wind outflow rate. Combining equations (3), (13), and (17) in Clarke et al. (2001), one obtains

$$M_{lo} = 2 \dot{M}_w t_w.$$  (4)

Now, the knee in the isochrone occurs for those discs for which $t_w$ is equal to the instantaneous age of the population $t$ and therefore the disc mass corresponding to the knee, $M_k$, is the leftover mass evaluated at $t_w = t$:

$$M_k = 2 \dot{M}_w t,$$  (5)

which explains why the knee moves to higher mass for both increasing age and increasing wind mass-loss rate.

To further investigate this point, we restricted the sample fixing also the value of the initial disc mass to $M_0 = 10^{-1} \text{ M}_\odot$, and let only
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Figure 4. Isochrones for a disc population subject to photoevaporation implemented following Clarke et al. (2001) (top) and Owen et al. (2012) (bottom). These plots were obtained setting $M_0 = 10^{-1}$ $M_\odot$.

Figure 5. Disc mass for which the accretion rate equals the mass-loss rate as a function of population age. This plot is obtained using four different values of $\dot{M}_\text{wind}$, as shown in the legend.

This deviation is due to the finite extent of our radial grid, so that when the age becomes too long some of the disc mass is lost out of the outer boundary of the grid.

To confirm this interpretation, we tested the effects of the boundary conditions considering the same population evolved on a grid with $R_{\text{out}} = 10000$ au. The results are shown in Fig. 6, where the blue–green points refer to the smaller grid and the red–yellow points refer to the larger grid.

4 DISCUSSION

Recent surveys of large samples of discs with measured mass (Ansdell et al. 2016, 2017; Barenfeld et al. 2016; Pascucci et al. 2016; Cox et al. 2017; Cazzoletti et al. 2019) and mass and accretion rates (Manara et al. 2016b; Mulders et al. 2017) are the prime observational test for our models. However, we cannot make a detailed comparison as yet, because here we have considered a limited set of system parameters, and in particular we have only considered a single value for the stellar mass (i.e. $1 M_\odot$). In order to properly compare our models to observations, we would need to perform an appropriate Monte Carlo sampling of the disc initial conditions in terms of disc mass, outer radius, and photoevaporation rate. However, we defer this to a future work.

A first, preliminary comparison can be done for the samples of objects collected in the Lupus and Chameleon star-forming region, by limiting ourselves to consider only solar-type stars. We have thus selected stars in the mass range $[0.7–1.3]$ $M_\odot$ within the Lupus and Chameleon samples. We plot the observational data in Fig. 7 (blue points: Lupus; yellow points: Chameleon) along with two sets of models at an age of 1 Myr, assuming a mass-loss rate of $\dot{M}_\text{wind} = 4 \times 10^{-10} M_\odot$ yr$^{-1}$ (green points) and $\dot{M}_\text{wind} = 10^{-8} M_\odot$ yr$^{-1}$ (pink points) (see Section 2.2). The observed data show a much larger scatter than our models. However, note that we have not sampled the parameter space in a statistical sense, and we expect that a broader sampling in terms of initial disc mass and radius can broaden our model distribution (see e.g. Lodato et al. 2017). The interesting thing to notice here is that while for $\dot{M}_\text{wind} = 4 \times 10^{-10} M_\odot$ yr$^{-1}$ our models account for the survival of discs with masses $M \lesssim 10^{-3} M_\odot$, for $\dot{M}_\text{wind} = 10^{-8} M_\odot$ yr$^{-1}$ our models account for the survival of discs with masses $M \sim 10^{-3} M_\odot$.

1Note, however, that a flattening of the relation is expected to occur when $t \sim t_\text{w}$ becomes longer than the disc viscous time-scale, for which the similarity solutions used by Clarke et al. (2001) no longer applies. In this case, the disc mass corresponding to the knee is expected to asymptote at the initial disc mass. We thank Cathie Clarke for pointing this out.
as observed, these are completely removed if the outflow rate is higher. For the older (10 Myr) Upper Scorpius region a similar comparison is more difficult, because the observed stars in this sample are predominantly low-mass stars, with only a few reaching $M_\star \approx 1$ $M_\odot$. Note that no accretion rate is available in the region, so that the comparison with our models can only be done on the disc masses. At face value, the average disc mass in Upper Scorpius is lower than in Lupus and Chameleon (Barenfeld et al. 2016), apparently in contradiction with our predictions. However, this could be simply because of the lower stellar masses of the region, since the photoevaporation rate is a sensitive function of the stellar mass. Additionally, since the mass estimates are based on dust, this apparent decrease in the disc mass could be the consequence of a smaller dust-to-gas ratio.

5 CONCLUSIONS

In this paper, we studied the isochrones in the plane $M-M$, as introduced by Lodato et al. (2017), for a population of protoplanetary discs subjected to both viscosity and photoevaporation. Lodato et al. (2017) have already shown that discs are not born on the self-similar branch and need some time to reach that condition: this typically occurs after a viscous time $t_v \sim 10^7$ yr, when discs can be considered evolved. If other physical effects apart from viscosity take place, the disc lifetime can be modified: in this paper we focus on the effect of internal photoevaporation.

The main conclusions of our study are the following.

(i) Photoevaporation induces a ‘knee’ in the isochrone for low disc masses, drastically reducing the accretion rate once it falls below the photoevaporative loss rate, as predicted by Rosotti et al. (2017).

(ii) Such knee implies that, for a photoevaporating population, at a given value of the disc mass a large spread in accretion rates is possible, which may explain the large spread in accretion rates at the low end of the disc mass spectrum observed at young ages (Manara et al. 2016a; Mulders et al. 2017) and in the older Upper Scorpius region (Manara et al., in preparation).

(iii) The removal of the lower portion of the isochrone produces the counter-intuitive result that more evolved populations (or populations with a higher mass-loss rate) appear more massive.

(iv) The disc mass corresponding to the knee can be easily estimated analytically to be $M_0 = 2 \dot{M}_0 t$, where $\dot{M}_0$ is the wind rate and $t$ is the age. Thus, if such a knee is observed in the disc mass versus accretion rate plot in a given survey, one could directly estimate the typical photoevaporation rate of that sample. We note that in the Lupus and Chameleon surveys (see e.g. Manara et al. 2016b) no evidence for such a knee is present, down to disc masses of the order of $10^{-8}$ $M_\odot$ (if one assumes the disc mass to simply scale with dust mass, with a gas/dust ratio of 100). However, this value is the level at which the sensitivity of the survey drops, and many upper limits on the disc masses are present. Assuming an age of $\sim 1$ Myr, this would imply a photoevaporation rate lower than $\approx 10^{-10}$ $M_\odot$ yr$^{-1}$. Deeper surveys are needed to confirm this result.

We caution that in this paper we have only focused on a single value of the stellar mass, taken to be equal to 1 $M_\odot$. Obviously, the photoevaporation rate is expected to strongly depend on stellar mass. For example, the low disc mass end of the Lupus and Chameleon sample is dominated by discs around low-mass stars, with $M_\star \approx 0.1$–0.2 $M_\odot$. For a proper comparison with observations one should therefore simulate a population of discs with varying stellar masses and with an appropriate Monte Carlo sampling of the disc initial conditions in terms of disc mass, outer radius, and photoevaporation rate. We postpone such an analysis to a later investigation.

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Figure 7. Mass and accretion rates of discs around solar-type stars in the Lupus (blue points) and Chameleon (yellow points) star-forming regions; squares indicate objects known as transition discs, with the same colour coding. The green and pink dots show isochrones for a disc population subject to photoevaporation at age 1 Myr with mass-loss rates of $4 \times 10^{-10}$ $M_\odot$ yr$^{-1}$ (green dots) and $10^{-8}$ $M_\odot$ yr$^{-1}$ (pink dots). The dotted black line shows the analytical isochrone at 1 Myr.

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