Improving the Transport and Antifouling Properties of Poly(vinyl chloride) Hollow-Fiber Ultrafiltration Membranes by Incorporating Silica Nanoparticles

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ABSTRACT: Poly(vinyl chloride) (PVC)/SiO2 nanocomposite hollow-fiber membranes with different nano-SiO2 particle loadings (0−5 wt %) were fabricated using the dry-jet wet-spinning technique. Effects of SiO2 nanoparticles on the morphology of the prepared hollow-fiber membranes were investigated using scanning electron microscopy. Transport and antifouling properties of the fabricated membranes were evaluated by conducting pure-water permeation, solute rejection, and fouling resistance experiments. These studies indicated that incorporating silica nanoparticles into the PVC matrix during phase inversion lowers the hydraulic resistance through the membrane and narrows the selective membrane pores. Moreover, the nanocomposite membranes showed better antifouling properties compared to the pristine membrane during the ultrafiltration of a milk solution because of improved hydrophilicity and uniform dispersion of the nanoparticles. This work indicates that embedding silica nanoparticles into the PVC matrix is a promising method for producing cost-effective hollow-fiber ultrafiltration membranes with superior transport and antifouling properties.

1. INTRODUCTION

Ultrafiltration is a low-pressure membrane process widely used for separation and purification of various compounds and streams in the food, pharmaceutical, biotechnology, and water-treatment industries.1−5 Among polymers used in the fabrication of ultrafiltration membranes, poly(vinyl chloride) (PVC) is attractive for its favorable chemical resistance, mechanical properties, and lower price compared to polysulfone (PSF), polyethersulfone (PES), and polyvinylidene-flouride (PVDF).6 These advantages have motivated studies on its application for polymer membrane fabrication.7,8 However, its hydrophobicity promotes membrane fouling, restricting its application in water purification.7,8 Membrane fouling lowers the flux and efficiency of membrane separation processes,9−11 which have to be regained by chemical cleaning. However, chemical cleaning increases operating costs and lowers the membrane lifetime. As such, the fabrication of membranes with superior antifouling properties is of great importance.12−14

Physical blending,15−18 chemical grafting,19,20 and surface modification21−23 are among the techniques utilized for improving membrane hydrophilicity, and thereby antifouling properties of ultrafiltration membranes. For instance, Panda and De17 investigated how characteristics of the PSF ultrafiltration membranes are changed with adding polyethylene glycol (PEG) to the dope solutions. They reported that an increase in the concentration and/or molecular weight of PEG promoted the hydrophilicity and consequently antifouling properties of the resulting hollow fibers. In another study, Zhao et al.20 grafted n-isopropylacrylamide on the surface of PVDF membranes and created thermoresponsive membranes with improved hydrophilicity, fouling resistance, and pure water flux (PWF). Additionally, Abedi et al.21,22 significantly improved the fouling resistance of polyacrylonitrile (PAN) membranes using chemical surface modification.

In recent years, the use of nanomaterials in the fabrication of polymer membranes has attracted a lot of interest because of improved performance of nanomaterial-based membranes.24−27 Yang et al.28 showed that the addition of 2 wt % TiO2 to a PSF solution significantly enhances the PWF, hydrophilicity, mechanical strength, and fouling resistance, whereas solute rejection remained essentially unchanged. Yan et al.29 employed PVDF/alumina ultrafiltration membranes for oil waste water treatment. The modified membranes exhibited improved fouling resistance, and in some cases, the flux recovery reached 100% after the chemical cleaning. SiO2 nanoparticles have been used widely because of their low...
Also, Jin et al.33 found that silica nanoparticles enhanced porosity and the mechanical strength of the CA membranes. Therefore, our understanding of the effects of silica on cellulose acetate (CA) membranes was improved.31 Arthanareeswaran et al.32 investigated the effect of silica on the morphology of the membranes. Harun et al.34 investigated the effect of synthetic silica with different concentrations on the morphology and performance of PSF membranes. By embedding 2 wt % of silica a high PWF and a high solute rejection were achieved simultaneously. Yu et al.35 reported that the membranes containing silica showed better performance in terms of permeability, fouling resistance, and solute separation.

Previous studies on the use of silica nanoparticles as fillers and pore-forming agents in PVC membranes have focused mostly on flat-sheet membranes.8,36 As fabrication processes of flat-sheet and hollow-fiber membranes are governed by different nonsolvent-induced phase separation mechanisms, leading to the fabrication of membranes with different properties and structures.37 Therefore, our understanding of flat-sheet membranes cannot be applied to hollow fibers, in general.37

This paper presents a study of the effects of silica nanoparticles on the morphology and performance of PVC hollow-fiber ultrafiltration membranes. Silica nanoparticles with various concentrations were incorporated into the PVC dope solutions. Subsequently, the solutions were spun into hollow fibers employing the dry-jet wet-spinning method. The resulting membranes were then characterized using thermal gravimetric analysis (TGA), scanning electron microscopy (SEM), and attenuated total reflectance Fourier transform infrared spectroscopy (ATR−FTIR), to observe the influence of silica nanoparticles on the morphology of the membranes. Furthermore, the separation performance of the ultrafiltration membranes was determined through measurement of PWF and solute rejection. Finally, fouling experiments were performed to evaluate the fouling resistance of the membranes against milk as a model foulant.

2. RESULTS AND DISCUSSION

2.1. ATR−FTIR and TGA. The silica nanoparticles may leave the membrane matrix during the spinning process. To confirm that this did not occur, ATR−FTIR analysis was performed. This analysis allows for qualitatively determining the percent of nanoparticles trapped in the membrane network (Figure 1), where the M-0.0, ..., M-5.0 are defined in Table 1. The strong absorption band at 1104 cm⁻¹ corresponds to the asymmetric Si–O–Si stretching, corroborating the presence of the nanoparticles within the membrane matrix. Additionally, as the SiO₂ content increases from 0.5 to 5 wt %, the relative absorption intensity increases as well. This implies that the percent of SiO₂ nanoparticles trapped within the membrane structure enhances with its concentration in the initial dope solution.

To determine the percent of the nanoparticles trapped in the membrane network, TGA was carried out (Figure 2). Char yields of 13.84, 15.61, and 18.43% were observed for M-0.0, M-2.5, and M-5.0 up to 600 °C, respectively. Considering 4% loss of the silica weight loss up to this temperature,38 silica concentrations of 1.84 and 4.78% were obtained for M-2.5 and M-5.0 samples, respectively.

2.2. Effect of Silica Nanoparticles on Membrane Morphology. Cross-sectional images of the hollow-fiber membranes are presented in Figure 3. Three main regions including the lumen side surface, the middle region, and the outer surface were observed in the cross sections of the hollow fibers. The addition of silica nanoparticles to the PVC matrix led to an increase in porosity of the middle region.

Table 1. Names Given to the Fabricated Membranes Based on Their Silica Concentration

| sample | SiO₂ (%) |
|--------|----------|
| M-0.0  | 0.0      |
| M-0.5  | 0.5      |
| M-1.5  | 1.5      |
| M-2.5  | 2.5      |
| M-3.5  | 3.5      |
| M-5.0  | 5.0      |

Figure 1. ATR−FTIR spectra of the modified PVC hollow-fiber membranes.

Figure 2. TGA thermographs of the fabricated membranes.
The initial nuclei grow until the gelation (or vitrification) formed in both the lumen side and the outer side of the hollow coagulation liquids, a high number of polymer-lean nuclei are formed in both the lumen side and the outer side of the hollow fiber, leading to the phase separation of the polymer solution. The initial nuclei grow until the gelation (or vitrification) point. Additionally, the rate of phase separation in these two surfaces, especially in the outer surface, is significantly higher than that in the bulk because of the presence of large amounts of nonsolvents close to both surfaces contacting the coagulation liquids. Therefore, due to the availability of less time for pore growth, the average size of the final pores on the surface, which are the result of nucleation and growth of the polymer-lean microdomains in the polymer-rich phase, is smaller than that of the bulk.

Because of the different compositions of the coagulation liquids in the lumen and outer sides, the porosity and the specification of the pores in these layers are different (Figure 4). Compared to the lumen side, a tighter and thicker layer was observed in the outer surface. A high amount of the solvent [dimethylformamide (DMF)] in the bore liquid led to a lower driving force for precipitation of the polymer in the lumen side, constructing a layer with more porosity and larger connected pores. Furthermore, the presence of DMF in the bore liquid slowed the phase inversion in the lumen side and allowed more nuclei growth. The transport of the nonsolvent molecules from the inner layer to the middle layer increased the porosity of the lumen layer.

As the phase separation advances toward the middle of the hollow-fiber bulk, the resistance in the outer and inner surfaces of the hollow fiber hinders the diffusion of the coagulant into the inner layers. Therefore, the rate of phase separation in this region is relatively low, and the polymer-lean nuclei formed in this region subsequently form large macrovoids.5,35,39 The resistance of the outer and lumen side of the hollow fibers hinders diffusion of water into the middle layer. Therefore, in this layer, the nonsolvent concentration increases gradually, minimizing the rate of phase separation in the middle layer. The nuclei in this layer do not grow significantly because of the pressure exerted on this layer by the adjacent large fiber-like nuclei, therefore, a sponge-like morphology is observed in this layer.

Silica nanoparticles act as nucleation sites because of their hydrophilic nature.42 Therefore, by incorporation of these nanoparticles into the polymer solutions, the number of the nuclei and the rate of phase separation increase drastically, with ensuing smaller size of the final domains in both the lumen and outer surface of the hollow fibers. This phenomenon also takes place across the bulk of the hollow fibers; the presence of silica nanoparticles enhances the nucleation in the bulk of the dope solution. Therefore, the number of nuclei in the internal layers increases, resulting in smaller but interconnected macrovoids compared to the pure PVC hollow fiber. Additionally, because of the presence of the silica nanoparticles, the phase separation in the middle regions occurs more homogeneously, engendering the suppression of the middle dense layer. As a result, the relatively dense middle layer, which exists in the pure PVC membranes, becomes looser.

Figure 5 presents the cross-section micrographs of the PVC/SiO2 membranes at a higher magnification. It can be observed that the silica nanoparticles are distributed uniformly throughout the cross-section, verifying the compatibility of the PVC and SiO2 nanoparticles. The hydroxyl groups on the surface of the nanoparticles and the CI groups of the PVC chains can have polar interactions (Scheme 1).35,44 These interfacial interactions improve the compatibility of the organic and inorganic phases.35 However, with an increase in the concentration of the nanoparticles, the nanoparticles tend to agglomerate. The agglomerated silica nanoparticles were enclosed in the coarse pores on the cavity walls, blocking some of the pores almost completely (especially in M-5). This leads to a reduction in the connectivity between the macrovoids. The agglomeration of the silica nanoparticles is further amplified at higher nanoparticle concentrations by their hydrophilic nature and their tendency for phase separation from the polymer solution.

Figure 3. Cross-sectional images of the PVC/SiO2 hollow-fiber membranes with (a) 0.0, (b) 0.5, (c) 1.5, (d) 2.5, (e) 3.5, and (f) 5.0 wt % SiO2.

Figure 4. SEM images of the (a) lumen surface and (b) outer surface of the M-2.5 hollow-fiber membrane.
The improved rejection of the tested solutes indicated a decrease in the average size of the selective pores. As described in the previous section, the presence of the silica nanoparticles in the polymer solution results in a higher number of nuclei in the phase separation process. This leads to a higher number of final pores with smaller size, which subsequently results in a higher rejection. On the other hand, increasing the nanoparticle content creates a looser internal structure in the membranes, which consequently decreases the hydraulic resistance against the water transport through the membranes. Therefore, the increase in PWF with nanoparticle content can be attributed to the decrease in the hydraulic resistance of the membrane bulk against water transport during the ultrafiltration process as a result of the formation of a higher number of interconnected pores. On the other side, improving solute rejection can be related to the decrease in pore size on the selective surface (in our case, the outer surface) of the membranes. It should also be noted that the decrease in the value of PWF from M-3.5 to M-5.0 can be ascribed to the increased hydraulic resistance against the water transport through the membranes as a result of the blockage of the coarse pores across the membrane bulk by the agglomerated nanoparticles. In addition to the hydraulic resistance effect, the presence of OH groups on the surface of the silica nanoparticles improves the hydrophilicity of the membranes, leading to higher PWFs for the membranes that contain more nanoparticles (Figure 6), thereby facilitating the water transport through the pores. The M-3.5 membrane rejects poly(vinyl alcohol) (PVA) 30 kDa slightly more than higher silica contents in the membranes provided higher solute rejections, indicating that the average size of the selective pores decreased. Increasing PWF seems counterintuitive at first sight, which can be attributed to the morphology of the membranes described before.

Table 2. PWF and Solute Rejection of the PVC/SiO₂ Membranes

| sample | PWF (L·m⁻²·h⁻¹) | PVA (30 kDa) | PVA (60 kDa) | PVA (145 kDa) |
|--------|----------------|--------------|--------------|--------------|
| M-0.0  | 99 ± 3         | 23           | 70           | 80           |
| M-0.5  | 117 ± 4        | 23           | 77           | 100          |
| M-1.5  | 126 ± 2        | 30           | 80           | 100          |
| M-2.5  | 133 ± 2        | 36           | 85           | 100          |
| M-3.5  | 137 ± 2        | 40           | 85           | 100          |
| M-5.0  | 87 ± 1         | 40           | 83           | 100          |

Figure 6. Water contact angles of the fabricated membranes.
Figure 7. (a) Milk ultrafiltration flux vs time for the pristine and modified membranes and (b) changes of FRR and RFR for the pristine and modified membranes.

the M-2.5 membrane, whereas the two membranes reject PVA 60 kDa and PVA 145 kDa similarly. Also, M-3.5 showed a slightly higher PWF than M-2.5. Although the flux and rejection of M-3.5 is a slightly higher than those of the M-2.5, M-2.5 was selected as the best membrane to compare its antifouling properties with those of M-5.0 because of its easier fabrication process (easier mixing of the less nanoparticles in the polymer dope).

2.4. Effect of Nano-Silica on the Antifouling Performance of the Prepared Membranes. To evaluate the antifouling properties of the modified membranes, the prepared membranes containing 0.0, 2.5, and 5.0 wt % silica were examined in the milk ultrafiltration experiments. After 3 h of filtration of milk and cleaning of the tested membranes, the water flux of the cleaned membranes was measured. Figure 7 depicts the milk ultrafiltration flux as a function of time as well as the flux recovery ratio (FRR) and relative flux reduction (RFR) results.

As can be observed from Figure 7a,b, the value of RFR decreased with embedding silica nanoparticles, indicating the better resistance of the nanocomposite membranes against fouling in the presence of milk. This can be attributed to the presence of hydrophilic functional groups on the surface of the silica nanoparticles, which increases the hydrophilicity of the membranes (Figure 6), and consequently decreases the adhesion of the milk proteins to the membrane surface. Moreover, according to Figure 7b, FRR increases with the incorporation of silica nanoparticles, showing a decrease in irreversible fouling in the studied membranes. As described above, because of the reduced affinity between the membrane surface and the milk proteins, the adhered proteins were more easily removed from the membranes, decreasing the irreversible fouling. The improved antifouling properties can be attributed to the increase in connectivity of the macrovoids in the presence of the silica nanoparticles. The coarse structure facilitates the removal of foulant from the membrane bulk by enhancing the internal hydraulic flow across the membrane. Antifouling properties of the PVC/SiO2 membranes are compared with other silica-based ultrafiltration membranes reported in the literature, in Table 3. Bovine serum albumin (BSA), PEG, and PVA solutions were used as foulant models. As can be seen in the table, PAN/SiO2 and PVC/SiO2 membranes rejected more than 80% of PEG 1000 and PVA 60 kDa, respectively. An almost complete rejection of higher-molecular-weight foulants was attained for these membranes. Furthermore, high BSA rejections of more than 92% were observed for the silica-based nanocomposite membranes.

| membrane            | filler content (wt %) | foulant type | rejection (%) | references |
|----------------------|-----------------------|--------------|---------------|------------|
| PES/SiO2             | 2.0                   | BSA          | 96.1          | 40         |
| PES/SiO2/PVP         | 0.2                   | BSA          | 92.6          | 48         |
| PAN/SiO2             | 1.5                   | BSA          | 81.0          | 49         |
| PAN/SiO2             | 1.5                   | PEG 6000     | 99.0          | 49         |
| PAN/SiO2             | 1.5                   | BSA          | 100.0         | 49         |
| PVC/SiO2             | 1.0                   | BSA          | 95.0          | 50         |
| PVC/SiO2             | 2.5                   | PVA 60 kDa   | 85.0          | this work  |
| PVC/SiO2             | 2.5                   | PVA 145 kDa  | 100.0         | this work  |

3. CONCLUSIONS

Defect-free hollow-fiber ultrafiltration membranes were successfully fabricated by embedding silica nanoparticles into the PVC matrix using the dry-jet wet-spinning technique. Silica nanoparticles significantly affected the morphology as well as the transport and antifouling properties of the PVC membranes. The results suggest that the incorporation of silica nanoparticles increased the number of nuclei in the phase separation, leading to a decrease in the average size of the pores. As a result, a higher solute rejection was achieved thanks to the silica nanoparticles in the membrane network. Additionally, the modified membranes demonstrated higher PWFs compared to the pristine membrane because of their looser internal structures. However, increasing the nanoparticle content beyond a certain concentration (~3.5 wt %) decreased the PWF because of the agglomeration of the nanoparticles and pore blockage. Fouling experiments revealed that the positive effects of the nanoparticles on membrane hydrophilicity provided better antifouling properties for the nanocomposite membranes. This study points to the great potential of the silica nanoparticles to defy high fouling of the PVC ultrafiltration membranes as a major challenge of these membranes.

4. EXPERIMENTAL SECTION

4.1. Materials. PVC (grade: S-65) was supplied by Abadan Petrochemical Co., Abadan, Iran, and was dried at 70 °C for 30 min before use. DMF was purchased from Aldrich and was used as the solvent for preparation of the polymer dopes. SiO2 nanoparticles with a mean particle size of 15 nm were purchased from TECNAM, Italy. Poly(vinyl pyrrolidone) (PVP-K90) was purchased from Rahavard Tamin Co., Tehran, Iran, and was utilized as an additive in preparing the dope.
solutions. PVA with molecular weights of 30, 60, and 145 kDa was obtained from Merck, Germany, to evaluate the solute rejection of the membranes.

4.2. Membrane Preparation. For preparation of the dope solutions, silica nanoparticles with various concentrations were dispersed in the solvent (DMF) via bath-ultrasonicating for 2 h at room temperature. PVC and PVP were then gradually added to the suspension followed by 24 h rigorous stirring and ultrasonicating to obtain a homogeneous dope solution. The compositions of the dope solutions and names of the corresponding fabricated membranes are listed in Table 4.

| sample | PVC (%) | PVP (%) | DMF (%) | SiO₂ (%) |
|--------|---------|---------|---------|----------|
| M-0.0  | 20      | 3       | 77.0    | 0.0      |
| M-0.5  | 20      | 3       | 76.5    | 0.5      |
| M-1.5  | 20      | 3       | 75.5    | 1.5      |
| M-2.5  | 20      | 3       | 74.5    | 2.5      |
| M-3.5  | 20      | 3       | 73.5    | 3.5      |
| M-5.0  | 20      | 3       | 72.0    | 5.0      |

For the preparation of the PVC/SiO₂ nanocomposite hollow-fiber membranes, the dope solutions were spun by the dry-jet wet-spinning method at 25 °C. The bore liquid was a 15 wt % DMF aqueous solution. After leaving the spinneret and traveling a 25 cm air gap, the solution entered a coagulation bath containing pure water. Subsequently, the membranes were collected in a washing bath containing pure water. The fabricated hollow-fiber membranes were kept in a water bath for 24 h to remove the residual solvent, and subsequently were immersed into a tank containing a 20 wt % glycerol aqueous solution for 24 h to prevent the porous structure from collapsing. Finally, the membranes were dried at ambient air before conducting characterization and performance experiments. The spinning conditions are summarized in Table 5.

| parameter | value |
|-----------|-------|
| bore fluid (water/DMF) composition (wt/wt) | 15/85 |
| external coagulant | water |
| air–gap distance (cm) | 25 |
| dope flow rate (mL/min) | 7 |
| bore fluid flow rate (mL/min) | 5 |
| take-up speed (m/min) | 9 |
| coagulation bath temperature (°C) | 40 |
| spinneret dimensions (OD/ID) (mm/mm) | 1.3/0.64 |

4.3. Membrane Characterization. 4.3.1. SEM, ATR–FTIR, TGA, and Contact Angle Analyses. The morphologies of the prepared membranes were examined using a SEM (KYKY, model: EM3200, China). The membrane samples were fractured in liquid nitrogen and were gold-coated before the module and a temperature of 25 °C. Five independent measurements were made for each case; the average of the five measurements is reported for each case in this paper.

4.3.2. Membrane Performance Test. Flux and fouling experiments were carried out using the setup shown in Scheme 2. For all performance experiments, the membranes of each group were placed in a module using epoxy resin. Each module contained eight hollow-fiber filaments. The effective length of the filaments in each module was 20 cm, providing an active membrane surface area of about 65 cm². In all of the tests, the flow configuration of the feed solution was set in “out-to-in” mode, that is, the outer surface of the membranes was exposed to the feed solution, and permeate was collected from the lumen side. All of the performance experiments were carried out at ambient temperature (25 ± 1 °C).

4.3.2.1. Pure-Water Flux. Before the PWF experiments, each module was pressurized with pure water at 3 bar for 30 min. After that, the upstream pressure was reduced and the experiments were performed at 2 bar. The permeate volume obtained in each experiment, V (L), was used for calculating the permeate flux, J (L·m⁻²·h⁻¹), using

\[ J = \frac{V}{At} \]

where A (m²) is the active surface area of the hollow fibers in the module and t (h) is the test time.

4.3.2.2. Fouling Experiment. Fouling experiments were performed to determine the impact of silica nanoparticles on the fouling resistance of the prepared membranes as well as their flux-recovery characteristics. Details of the utilized experimental procedure can be found in ref 22. In these experiments, permeation fluxes of a pure water and a milk solution (approximately 90.1 wt % water, 1.5 wt % fat, and 8.4 wt % nonfat solid) streams were measured. First, the PWF of the neat membranes was measured, followed by the measurement of the milk solution flux (which was used to create fouling in the samples). The PWF was measured again after backwashing the membranes to remove the reversible fouling. The measured fluxes of the pure water before (Jₚ) and after (Jₚᵤₙ) the milk filtration were subsequently used to determine the FRR using

\[ \text{FRR} (%) = \frac{J_{\text{wuf}}}{J_{\text{wu}}} \times 100 \]

Additionally, the permeation flux of the milk solution (Jₚ) was used to determine the RFR using

\[ \text{RFR} (%) = \frac{1 - \frac{J_{\text{w}}}{J_{\text{wu}}}}{100 \text{RFR} (%) = \frac{1 - \frac{J_{\text{w}}}{J_{\text{wu}}}}{100} \]

The characteristics of the milk solution used for this study are identical to those reported in ref 22.

4.3.2.3. Solute Rejection Experiment. Solute rejection tests were performed using aqueous solutions of PVA with three different molecular weights of 30, 60, and 145 kDa at a concentration (Cᵢ) of 0.4 wt % (4000 ppm), a pressure of 2 bar, and a temperature of 25 ± 1 °C. Details of the employed
experimental procedure can be found in ref 22. The solute rejection, \( R \) (%), was calculated using:

\[
R = \left(1 - \frac{C_p}{C_f}\right) \times 100
\]  

(4)

where \( C_p \) denotes the concentration of PVA in the permeate solution.

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**Notes**

The authors declare no competing financial interest.

## ACKNOWLEDGMENTS

Ahmad A. Shamsabadi was partially supported by the U.S. National Science Foundation under Grant No. CBET–1804285.

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