Three Configurations of Compact Planar Multistub Microstrip Antennas for mmW Mobile Applications

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Three configurations of compact planar multistub antennas are proposed in the frequency range of 27–29.5 GHz as candidates for the 5G standard frequency band. Each antenna consists of the same feeding part configuration but different structures for the dipole, director, and reflector parts. The feeding part is based on the substrate integrated waveguide (SIW) technology which results in compact size. The TE_{10} dominant mode is considered in the design procedure by HFSS software simulations. The proposed antennas have been simulated, fabricated, and measured (for S_{11}, E, and H patterns). The simulation and measurement results show reasonable agreement for S_{11} and radiation patterns of E- and H-planes and impedance bandwidths. Moreover, for specific absorption rate (SAR) estimation, a three-layer human head model (skin, skull, and brain) is placed next to the antennas as the exposure source. The simulation results show the performance of the proposed antennas for low-SAR, which make them good candidates for safe usage concerning the negative impact of millimeter waves (mmWs) on human health. Finally, a comparison table is presented which verifies the compact size of our proposed antennas.

1. Introduction

Nowadays, the research on 5G mobile devices and systems has greatly expanded. The standards of these systems will take effect in 2020. One of the requirements of this generation of communication systems is the higher data rates due to the user’s demands (such as high quality video chats and big volume file transmissions). The frequency spectrum below 6 GHz has been used heavily for the increase in transmission rates. However, there is not enough space for the future developments in transmission rates because the 5G cellular systems and devices have to move to the higher frequency bands for high capacity and speed rates. Some frequency bands have been assigned as candidates for these networks, such as 27–29.5 GHz and 40–50 GHz bands [1, 2]. Because of the available fabrication technologies, the 27–29.5 GHz band is selected in our work. The users are generally concerned with the high frequency generation of radio waves because of the possibilities of health hazards. Generally, such effects are divided into two categories: thermal and nonthermal. The biological effects of electromagnetic fields have been reported so far, such as blood brain barrier, effects on the immune system, genetic effects, cancer aggravation, cataracts, and sleep disorder [3–8].

In the high frequency bands (e.g., 28 GHz), the waves cannot penetrate deeply into the human tissues due to the skin effect. Consequently, the thermal effect is dominant. The Federal Communication Commission (FCC) and International Commission on Nonionizing Radiation Protection (ICNIRP) have published the standards for the specific absorption rate (SAR) limitations for the frequencies lower than 6 GHz and 10 GHz. At the frequencies above 10 GHz, the power density is used as the criterion, but it only describes the power travelling towards the tissue and not the absorbed power and the field distribution in the tissues [2]. SAR is a unit that evaluates the field distribution and absorption in the human body under the electromagnetic source exposure and depends on many parameters, such as human tissue geometry, source structure, frequency, time duration of exposure, and exposure environment [7].
There are several antenna structures introduced for 5G systems, such as Vivaldi, Fermi, log-periodic, quasi-Yagi, substrate integrated waveguide (SIW) cavity backed, and slot antennas [9–12]. However, our proposed configuration is based on quasi-Yagi travelling wave end-fire antenna, which consists of dipole elements, directors, reflectors, and feeding part. The director is a little shorter than the dipole, and the reflector is slightly longer. The gain of an array antenna depends on the number of elements. The parasitic elements absorb and reradiate the radio waves from the driven element with different phases, modifying the dipole’s radiation pattern.

The reduction of transmission line length and radiation losses can be obtained by a simplified feeding system [13]. Recently, the SIW technology has been used in the antenna structures. Also the half-mode SIW (HMSIW) is an alternative method to reduce the size of antenna without degrading its performance. They can also be used in the travelling wave antennas [14]. The SIW technology needs high quality workmanship and possesses compact structure and small mass. Free space losses increase with frequency according to the Friis equation. In order to overcome this problem at high frequencies, the antenna gain has to be increased. The 5G systems promise wider range of users and applications but require low insertion losses, shorter delay, wider coverage, better connectivity, higher capacity, lower cost, and higher reliability. The mmW spectrum is an ideal candidate for the satisfaction of such demands.

In this paper, three compact planar end-fire antennas based on SIW technology have been designed in the band of 27–29.5 GHz. The design procedure, simulation, fabrication, and measurement results, together with SAR calculations are presented in the following sections. According to the obtained results, acceptable consistency between simulation results and measurement data is observed. According to the low calculated SAR values and compact size of the proposed antennas, they can be considered as good candidates for 5G mobile devices.

2. Antenna Design

2.1. Feeding Part. SIW technology is used to design the feeding network of antennas due to its several benefits, such as compact structure, low loss, and cost effectiveness. Figure 1 shows the feed-network which is the same for all the three proposed antennas. According to Figure 1, (w) is the width of waveguide, ws is the width of taper, Ls is the length of taper, Ls is the length of SIW part, s is the distance between two adjacent vias, and d is the diameter of via. The antenna substrate is Roger RT/duroid 5880 with εr = 2.2 and h = 0.38 mm. The phase difference between the up and down plane is 180°. The 50 Ω lumped port is used for the port excitation.

Based on the antenna feed system in Figure 1, the TE10 mode is excited and the physical parameters of the feeding part are calculated as follows which are almost equal for all the three antennas: Ls = 3.5 mm, wt = 3.2 mm, L = 3.5 mm, Wxx = 3.5 mm, Ws = 5.5 mm, Wt = 1.6 mm, d = 0.6 mm, and s = 0.6 mm.

2.2. Antenna 1 Design. Antenna 1 is designed using the guidelines of [1] by some variations of the lengths and widths to operate in the frequency band 27–29.5 GHz with specified gain and S11. Figure 2 shows the structure of antenna 1. The proposed antenna has a dipole, a spur line, and also an open stub for impedance matching, which can be tuned by its size and position. The lengths of the long and short designed dipoles generate the lower and upper modes. To increase the gain, one director is added to the structure. The calculated dimensions of the antenna according to Figure 2 are L1 = 2.5 mm, L2 = 5 mm, L3 = 1.615 mm, L4 = 2.275 mm, L5 = 1.25 mm, L6 = 1.125 mm, W1 = 0.4 mm, W2 = 1.2 mm, W3 = 0.5 mm, W4 = 0.75 mm, W5 = 0.5 mm, and W6 = 0.5 mm. Figure 3 shows the simulation results of E and H field patterns and S11 of antenna 1.

2.3. Antenna 2 Design. For antenna 2, the design rules of the log periodic antenna are used with the same feeding network. For the log-periodic antenna, the lengths (L) and widths (w) of adjacent elements are calculated by using the following [15, 16]:

$$\tau = \left( \frac{L_{m+1}}{L_m} \right)^{\left( \frac{W_{m+1}}{W_m} \right)}.$$ (1)

The design relations for antenna 2 according to [16] are as follows:

$$\varepsilon_{\text{eff}} = \left( \frac{\varepsilon_r + 1}{2} \right) + \left( \frac{\varepsilon_r - 1}{2} \right) \left( 1 + 12 \left( \frac{h}{w} \right)^{-0.5} \right),$$

$$L_1 = \left( \frac{\lambda_{\text{effmax}}}{4} \right) \sqrt{\varepsilon_{\text{eff}} f_{\text{min}}},$$

where λeff is the effective wavelength, h is the thickness of substrate, and w is the width of the longest element. The number of elements (N) is determined as follows:
\[ \sigma = \left( 1 - \tau \right) \frac{4 \tan \alpha}{\alpha} \]

\[ N = 1 + \left( \frac{\ln(B_{AR} \times B)}{\ln\left( 1/\tau \right)} \right) \]

\[ B = \left( \frac{f_{\text{max}}}{f_{\text{min}}} \right) \]

\[ B_{AR} = 1.1 + 7.7 (1 - \tau)^2 \cot \alpha \]

where \( \sigma \) is the spacing factor, \( \alpha \) is the apex angle, \( \tau \) is the geometry constant, and \( B_{AR} \) is the bandwidth of the active region. Assuming 7 dB gain, the values of \( \tau = 0.75 \) and \( \sigma = 0.131 \) are calculated. So, the number of elements is \( N = 3 \).

Figure 2 shows the structure of antenna 1.

Figure 3: Simulation results of antenna 1: (a) \( S_{11} \) and (b) the \( E \) and \( H \) pattern.

Figure 4: The structure of the proposed antenna 2.

According to Figure 4, the calculated dimensions are \( L_1 = 2.8 \text{ mm}, L_2 = 4.22 \text{ mm}, L_3 = 1.068 \text{ mm}, L_4 = 1.425 \text{ mm}, L_5 = 1.9 \text{ mm}, W_1 = 0.75 \text{ mm}, W_2 = 1.25 \text{ mm}, W_3 = 0.14 \text{ mm}, W_4 = 0.1875 \text{ mm}, \) and \( W_5 = 0.25 \text{ mm} \). The \( E \) and \( H \) field patterns and \( S_{11} \) of antenna 2 are shown in Figure 5.
2.4. Antenna 3 Design. For antenna 3, according to [17], the lengths of the driver and director should be set as follows:

\[0.45 \lambda_{\text{eff}} < L_3 < 0.49 \lambda_{\text{eff}}\]
\[0.42 \lambda_{\text{eff}} < L_1 < 0.45 \lambda_{\text{eff}}\]  (4)

In [18], the dipoles have been angled to compact the size. Figure 6 shows the proposed antenna 3 with the calculated dimensions of the antenna as follows: \(L_1 = 2.5\) mm, \(L_2 = 3.9\) mm, \(L_3 = 1.8\) mm, \(W_1 = 0.5\) mm, \(W_2 = 0.6\) mm, and \(W_3 = 0.6\) mm.

The simulations show that the obtained gain is not as good as the case of one dipole pair. To improve the antenna gain, the number of dipoles is increased and a director pair is also added. The \(E\) and \(H\) field patterns and \(S_{11}\) of antenna 3 are shown in Figure 7.

For a better comparison, the summary of simulation results is shown in Table 1. Considering the gain and return loss, it can be deduced that antenna 2 shows better performance due to its log-periodic structure.

3. Measurement Results

The fabricated prototypes of three proposed antennas are shown in Figure 8.

The comparisons of return losses for measurement and simulation results of all three configurations are shown in Figure 9. Considering the high frequency range of operation and effect of SMK port, the measurement results are all in the acceptable range, well below –10 dB.

The antenna \(E\)- and \(H\)-plane radiation patterns are shown in Figure 10. The results exhibit acceptable responses for 5G system goals (namely, end-fire antenna patterns). The simulation and measurement results are in good agreement, which show that all antennas are applicable in the desired frequency band. Note that in this high frequency range, the calibration of lab equipment, temperature effects, and fabrication process faults is inevitable and can be considered as the reason for the differences between simulation and measurement results.

The results show acceptable values for operation in the 28 GHz frequency band and for hand-held devices like cell phones because of their small size, good gain, and \(S_{11}\) coefficient.

Although the performances of all three proposed antennas are in the satisfactory range, antenna 2 shows better performance which can be associated with its dipole design and log-periodic design rules, by which the gain is predictable and can be estimated in advance. Moreover, in this antenna type, the number of dipoles is more than the two other types.

Observe that the gain values of 7–9 dB obtained by our antenna designs are not sufficient for the mmW band, and it is suggested that they be used in array configurations for practical usage.
4. Human Head Model and SAR Results

Considering the objectives of array design for the practical application of the proposed antennas (namely, higher gain level needed to overcome the high losses in the mmW band), it is necessary to be sure about the performance of the single element for the SAR calculations. Thus, in the first stage, SAR calculations for the single element are carried out, which can highly ensure its performance in the array structure.

4.1. Human Tissues Dielectric Properties. Considering the dielectric properties of biological tissues, they are obviously affected by the electromagnetic fields. The dielectric properties of tissues are conductivity ($\sigma$), relative permittivity ($\varepsilon_r$), and relative permeability ($\mu_r$). They play a significant role in SAR evaluations while studying the propagation characteristic of mmW. The attenuation, reflection, and propagation behavior of electromagnetic fields in the human body are determined by such properties. The dielectric properties of tissues are chosen according to [19]. These properties are dependent on frequency, geometry, size of tissue, and water contents. The dependency on frequency for a high water content tissue is shown in Figure 11.

The measurement of the electromagnetic field deposition in biological tissues (human body) is difficult and complex. Consequently, appropriate simulation and computational methods are suggested for the evaluation of electromagnetic wave propagation. Therefore, suitable phantoms as human body models have been designed in different shapes, such as cubic, bowl, sphere, and cylinder. The three-layer spherical head model used in our simulation is shown in Figure 12.

Table 1: The simulation results of the proposed antennas.

| Parameter     | Max gain (dB) | S11 (dB)   | Size (mm$^3$) |
|---------------|---------------|------------|---------------|
| Antenna 1     | 7.53          | $<-15.92$  | $16 \times 6.5 \times 0.38$ |
| Antenna 2     | 9.34          | $<-18.27$  | $16 \times 6.5 \times 0.38$ |
| Antenna 3     | 8.24          | $<-14.8$   | $16 \times 6.5 \times 0.38$ |

Figure 7: simulation results of antenna 3: (a) $S_{11}$ and (b) the $E$ and $H$ pattern.

Figure 8: The fabricated prototypes of three proposed antennas.

Figure 9: Reflection coefficient of three proposed antennas.

4.2. Specific Absorption Rate. Specific absorption rate (SAR) is a parameter to quantify the absorption of energy in tissues and is expressed in watts per unit mass of tissue (W/kg). In other words, SAR is the time derivative of incremental...
Figure 10: Normalized H and E patterns of the proposed antennas at 28 GHz. (a) and (b) antenna 1; (c) and (d) antenna 2; (e) and (f) antenna 3.

Figure 11: Dielectric properties of a high water content tissue [3].
energy (dW) absorbed by an incremental mass (dm), defined as follows \[20\]:

\[
SAR = \frac{dW}{dm} = \frac{dW}{\rho \ dv} \left( \frac{\text{Watt}}{\text{kg}} \right) .
\]  

(6)

SAR is also defined as follows \[21\]:

\[
SAR = \frac{E_i^2}{\rho} = \left( \sigma + \omega \epsilon'' \right) \frac{E_i^2}{\rho}.
\]  

(7)

where \(\sigma\) is the conductivity of tissue, \(E\) is the electric field intensity, \(\rho\) is the mass density of tissue, \(\omega\) is angular frequency, \(\epsilon''\) is the imaginary part dielectric constant, and \(\epsilon_0\) is the free space permittivity \[3, 21\].

Figure 12: The three-layer human head model \[3, 20\].

Figure 13: SAR measurements at 28 GHz for 15 dBm input power onto (a) skin, (b) skull, and (c) brain.
In the real SAR measurement system, one robot arm measures the $E$ or $H$ field by using an electric probe at various positions of the model and a computer processor calculates the SAR value. The distance between the antenna and head model, according to the literatures, can be set to 5 mm, 10 mm, 15 mm, or even 20 mm [2, 3, 20, 22]. SAR is usually averaged over a small volume of tissue (1 Gram or 10 Gram of tissue) named as SAR$_{1g}$ and SAR$_{10g}$. It has been shown that by increasing the distance, SAR value decreases [3]. According to FCC standards, the input powers of 15 dBm and 20 dBm are reported for SAR calculations [20, 22].

Each of the three proposed antennas as the exposure source is placed at the distance of 5 mm from the head model for the assessment and calculation of the SAR by using HFSS software. Because of the similarity of the obtained results, only the simulation results of antenna 1 with 15 dBm input power are shown in Figure 13.

Tables 2 and 3 show the results of SAR$_{1g}$ and SAR$_{10g}$ which are low values and guarantee their save safety for the human health. Note that all three proposed antenna structures have end-fire radiation patterns which automatically result in SAR reduction. Also the results show that the skin tissue has the maximum SAR because it is the nearest tissue to the exposure source. As Tables 2 and 3 show, the proposed three antennas have good values for SAR. The brain tissue has the smallest values because it is the innermost and furthest away layer among the three parts.

To compare the obtained results with a dipole antenna (like the commercial SAR measurement system), a dipole antenna is designed at the frequency of 28 GHz and placed at 5 mm distance of the human head model (Figure 14). Then, the SAR value is calculated. At the frequency of 28 GHz, the dipole length is equal to approximately 5 mm. The radius of dipole arms is set to 3.6 mm.

Table 2: The averaged SAR$_{1g}$ and SAR$_{10g}$ for 15 dBm input power.

| SAR (W/Kg) | Skin   | Skull  | Brain  |
|------------|--------|--------|--------|
| Antenna 1 (SAR$_{1g}$) | 0.236  | 0.232  | 0.0035 |
| Antenna 2 (SAR$_{1g}$) | 0.284  | 0.235  | 0.0044 |
| Antenna 3 (SAR$_{1g}$) | 0.416  | 0.37   | 0.0079 |
| Antenna 1 (SAR$_{10g}$) | 0.081  | 0.074  | 0.074  |
| Antenna 2 (SAR$_{10g}$) | 0.071  | 0.063  | 0.063  |
| Antenna 3 (SAR$_{10g}$) | 0.169  | 0.143  | 0.143  |

Table 3: The averaged SAR$_{1g}$ and SAR$_{10g}$ for 20 dBm input power.

| SAR (W/Kg) | Skin  | Skull  | Brain  |
|------------|-------|--------|--------|
| Antenna 1 (SAR$_{1g}$) | 0.747 | 0.734  | 0.011  |
| Antenna 2 (SAR$_{1g}$) | 0.9   | 0.746  | 0.013  |
| Antenna 3 (SAR$_{1g}$) | 1.32  | 1.17   | 0.002  |
| Antenna 1 (SAR$_{10g}$) | 0.256 | 0.234  | 0.234  |
| Antenna 2 (SAR$_{10g}$) | 0.237 | 0.20   | 0.20   |
| Antenna 3 (SAR$_{10g}$) | 0.536 | 0.453  | 0.453  |

Table 4: The average SAR$_{1g}$ and SAR$_{10g}$ for the dipole antenna.

| SAR (W/Kg) | Skin   | Skull  | Brain  |
|------------|--------|--------|--------|
| SAR$_{1g}$ (15 dBm) | 1.81  | 1.85   | 0.013  |
| SAR$_{10g}$ (15 dBm) | 0.51  | 0.56   | 0.56   |
| SAR$_{1g}$ (20 dBm)  | 5.73  | 5.86   | 0.043  |
| SAR$_{10g}$ (20 dBm) | 1.62  | 1.76   | 1.76   |

In the real SAR measurement system, one robot arm measures the $E$ or $H$ field by using an electric probe at various positions of the model and a computer processor calculates the SAR value. The distance between the antenna and head model, according to the literatures, can be set to 5 mm, 10 mm, 15 mm, or even 20 mm [2, 3, 20, 22]. SAR is usually averaged over a small volume of tissue (1 Gram or 10 Gram of tissue) named as SAR$_{1g}$ and SAR$_{10g}$. It has been shown that by increasing the distance, SAR value decreases [3]. According to FCC standards, the input powers of 15 dBm and 20 dBm are reported for SAR calculations [20, 22].

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Tables 2 and 3 show the results of SAR$_{1g}$ and SAR$_{10g}$ which are low values and guarantee their save safety for the human health. Note that all three proposed antenna structures have end-fire radiation patterns which automatically result in SAR reduction. Also the results show that the skin tissue has the maximum SAR because it is the nearest tissue to the exposure source. As Tables 2 and 3 show, the proposed three antennas have good values for SAR. The brain tissue has the smallest values because it is the innermost and furthest away layer among the three parts.

To compare the obtained results with a dipole antenna (like the commercial SAR measurement system), a dipole antenna is designed at the frequency of 28 GHz and placed at 5 mm distance of the human head model (Figure 14). Then, the SAR value is calculated. At the frequency of 28 GHz, the dipole length is equal to approximately 5 mm. The radius of dipole arms is set to 3.6 mm.

Table 4: The average SAR$_{1g}$ and SAR$_{10g}$ for the dipole antenna.

| SAR (W/Kg) | Skin  | Skull  | Brain  |
|------------|-------|--------|--------|
| SAR$_{1g}$ (15 dBm) | 1.81  | 1.85   | 0.013  |
| SAR$_{10g}$ (15 dBm) | 0.51  | 0.56   | 0.56   |
| SAR$_{1g}$ (20 dBm)  | 5.73  | 5.86   | 0.043  |
| SAR$_{10g}$ (20 dBm) | 1.62  | 1.76   | 1.76   |
antennas significantly reduces the EM energy radiated towards the human head. Consequently, the end-fire characteristics of the proposed antennas result in lower SAR values.

Finally, for a better demonstration of the benefits of proposed antennas, their obtained results are compared in Table 5 with those of other 5G antenna types reported in the recent literature. Observe that our proposed antennas have considerably smaller compact size which is an important factor for mobile devices. Moreover, the calculated SAR values in the previous section guarantee their performances for practical usage.

5. Conclusion

Three planar antennas with compact size (6.5 mm × 16 mm × 0.38 mm) on Roger RT/duroid 5880 substrate with end-fire radiation patterns are proposed, designed, fabricated, and measured. The feed system is identical for all the three antennas while their radiation elements are different. The application of substrate integrated waveguide technology for the proposed antennas to realize end-fire radiation patterns in a compact size leads to their low values of specific absorption rate. The simulation and measurement results of return loss and radiation patterns are presented which show good agreement. Two features of proposed antennas are compact size and low specific absorption rate value, which make them appropriate candidates for 5G mobile devices.

Data Availability

The data used to support the findings of this study are available from the corresponding author upon request.

Conflicts of Interest

The authors declare that they have no conflicts of interest.

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