Other people\'s gaze encoded as implied motion in the human brain

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Keeping track of other people\'s gaze is an essential task in social cognition and key for successfully reading other people\'s intentions and beliefs (theory of mind). Recent behavioral evidence suggests that we construct an implicit model of other people\'s gaze, which may incorporate physically incoherent attributes such as a construct of force-carrying beams that emanate from the eyes. Here, we used functional magnetic resonance imaging and multivoxel pattern analysis to test the prediction that the brain encodes gaze as implied motion streaming from an agent toward a gazed-upon object. We found that a classifier, trained to discriminate the direction of visual motion, significantly decoded the gaze direction in static images depicting a sighted face, but not a blindfolded one, from brain activity patterns in the human motion-sensitive middle temporal complex (MT+) and temporoparietal junction (TPJ). Our results demonstrate a link between the visual motion system and social brain mechanisms, in which the TPJ, a key node in theory of mind, works in concert with MT+ to encode gaze as implied motion. This model may be a fundamental aspect of social cognition that allows us to efficiently connect agents with the objects of their attention. It is as if the brain draws a quick visual sketch with moving arrows to help keep track of who is attending to what. This implicit, fluid-flow model of other people\'s gaze may help explain culturally universal myths about the mind as an energy-like, flowing essence.

Significance

This study used fMRI scans of people to show that the brain processes the gaze of others, and visual flow, in a similar manner. Visual motion brain areas and social cognition areas were involved. It is as if the brain draws a quick visual sketch with moving arrows to help keep track of who is attending to what. We propose that this implicit, fluid-flow model of other people\'s gaze may help explain culturally universal myths about the mind as an energy-like, flowing essence.

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Data deposition: The data that support the findings of this study are available at https://figshare.com/articles/Other_People_s_Gaze_Encoded_as_Impiled_Motion_in_the_Human_Brain/12184848/1. 1To whom correspondence may be addressed. Email: arvidg@princeton.edu.

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which either the moving dots, the face, or the tree appeared bright green, in response to which subjects pressed a button using their right index finger. Subjects detected these targets on a mean of 98% of the catch trials. All seven trial types were of 1.5 s duration and presented in a randomized order with a jittered intertrial interval of 6.0 to 10.0 s, divided into 20 runs featuring three repetitions per condition per run. To prevent systematic differences in eye movements across conditions, subjects were instructed to fixate on a central fixation spot throughout the experiment, and their eye movements were recorded using an MRI-compatible infrared eye tracker (Materials and Methods). The results of the eye tracking showed that subjects stayed on fixation on average 97% of the time and that fixation and saccade data alone were not sufficient to successfully decode the experimental conditions of interest (see SI Appendix, Fig. S1 for details), suggesting that differences in eye movement dynamics can be excluded as a confound in any decoding results based on the fMRI data.

To test our hypothesis that other people’s gaze is encoded as implied motion in MT+ and TPJ, or any other brain region outside our ROIs, we used a whole-brain, locally multivariate (Searchlight) (20), leave-one-run-out, cross-classification approach. We first employed a conventional general linear model (GLM) to estimate regression (beta) coefficients for the six main conditions in each run (one additional regressor of no interest modeled all of the catch trials) and then submitted these runwise beta coefficients to multivariate analyses (21, 22). We trained a support vector machine (SVM) classifier to discriminate dot motion left versus dot motion right in 19 of 20 runs and then tested whether it could decode gaze direction (i.e., eyes open left versus eyes open right) in the left-out 20th run (so-called cross-classification) (Fig. 1B), based on the blood oxygen level-dependent (BOLD) response pattern within 12-mm-radius Searchlight spheres centered on each voxel in the entire brain. This procedure was repeated 20 times so that each run was left-out once, and a run-average decoding accuracy was calculated. The same classifier was also tested on the blindfolded control condition (eyes covered versus eyes open right) in 19 runs and then tested whether it could decode activity patterns associated with gaze direction (eyes open facing left versus right) in the left-out twentieth run, repeated for all runs.

**Fig. 1.** Methods. (A) Schematic time line of the fMRI design. While subjects continuously fixated on a central spot, they were exposed to 1.5-s-long trials of either a random dot motion stimulus (going left or right), or a static image of a face gazing at a tree (facing left or right), or an image of a blindfolded face (facing left or right). In a catch trial condition, one of the image elements (head or tree) or the moving dots appeared bright green, in response to which subjects pressed a button. Arrows shown here indicate dot motion directions and were not part of the actual stimuli. There were equal numbers of rightward and leftward facing face-and-tree trials, but only rightward facing images are shown here. (B) To test our hypothesis that gaze is encoded as implied motion in motion-sensitive and social brain areas, we used a locally multivariate (Searchlight), leave-one-run-out, cross-classification approach, using the runwise regression (beta) coefficients as model input. We trained a classifier to discriminate the BOLD activity patterns associated with visual motion going left versus right in 19 of 20 runs and then tested whether it could decode activity patterns associated with gaze direction (eyes open facing left versus right) significantly better than in the blindfolded condition (eyes covered facing left versus right) in the left-out twentieth run, repeated for all runs.
The TPJ (decoding accuracy difference: 55.0% versus 47.6%, t = 5.82, P < 0.001, small-volume corrected), and left angular gyrus (within the TPJ) (decoding accuracy difference: 52.4% versus 48.5%, t = 4.03, P = 0.019, small-volume corrected). No significant voxels were found in the MT+ ROI in the left hemisphere. As shown in Table 1, by far the largest cluster of voxels and strongest decoding peak in the brain was found within the predefined ROI in the right TPJ, which also survived correction for multiple comparisons at the whole-brain level (P = 0.040). These findings suggest that a set of areas involving the MT+ and TPJ, primarily on the right side, encode the gaze of social agents as implied motion flowing from the eyes across the empty space to the gazed-at object. In addition to the peaks found within the ROIs, we also found decoding peaks (P < 0.001, uncorrected), albeit not whole-brain significant after correcting for multiple comparisons, in the right fusiform gyrus, left midinsula, left putamen, and the right ventral striatum (Table 1 and SI Appendix, Fig. S3).

**Discussion**

These results strongly suggest that, when people view a face looking at an object, the brain treats that gaze as though a movement were present, passing from the face to the object. That movement encoding was observed in area MT+, known to be involved in visual motion processing, and in the TPJ, known to be involved in social cognition. Gaze is arguably the most relevant cue to the state of someone else’s visual attention, and having an efficient neural machinery for keeping track of gaze is thus essential for reading and predicting other people’s minds and behavior (14, 26, 27). The present findings demonstrate that this process involves more than simple registration of low-level visual cues about other people’s eyes. Specific regions of the human brain appear to encode other people’s gaze as an active motion streaming through the empty space from the agent to the gazed-upon object. These findings are consistent with previous behavioral work showing that people implicitly treat other people’s eyes as though they emanated a weak force, gently “pushing” on objects in the external world (5, 6). We propose that this implicit, fluid-flow model of other people’s gaze may help keep track of visual attention in a complex social environment. The model may be a part of theory of mind, modeling another mind actively focusing on content, a precondition for reconstructing that mind’s intentions, beliefs, emotions, and so on (4, 11, 26, 28, 29). It is well-known that, during the course of evolution, it is not uncommon that ancient biological mechanisms are reused in a different role, a phenomenon called “exaptation” (30). We speculate that the visual motion system may have been used during the evolution of social brain mechanisms for tracking the attention of others. It may have simply proved adaptive to coopt the brain’s motion system to keep track of sources and targets of visual attention. It is as if the brain draws a quick visual sketch with moving arrows to help keep track of who is attending to what.

The MT+ and TPJ are well-situated for constructing a simplified, fluid-flow model of other people’s gaze. The MT+ is highly specialized in visual motion perception (31) and is activated when people view static images featuring conventional implied motion stimuli (e.g., a running animal) (32). The TPJ has been implicated in a range of social cognition tasks (33, 34), is a key node in the theory of mind network (11, 12), and has been implicated in processing the awareness or attention states of others (7, 13). Our finding that a classifier trained to discriminate the direction of actual visual motion successfully generalizes to decode gaze direction in independent left-out data, and that this
decoding performance is found in the hypothesized brain regions MT+ and TPJ, supports our proposal. Although we cannot exclude the possibility that the observed MT+ and TPJ decoding reflects the participants anticipating actions of the agent, such as reaching, rather than encoding the agent’s gaze, we consider this alternative explanation less likely. First, the decoding is significantly stronger in the sighted than in the blind agent. Second, as a target of gaze, we chose a tree rather than a more commonly grasped item such as a cup. Third, prior behavioral experiments using similar stimuli suggested that there is a flow-field effect of the eyes linked specifically to participants reconstructing an agent’s attention (5, 6). The results therefore provide evidence that the visual motion system is used to facilitate social brain mechanism for tracking the gaze of others.

The recruitment of motion systems in social cognition, representing gaze as implied motion emanating from the eyes, might exclude the possibility that the observed MT+ and TPJ decoding reflects the participants anticipating actions of the agent, such as reaching, rather than encoding the agent’s gaze, we consider this alternative explanation less likely. First, the decoding is significantly stronger in the sighted than in the blind agent. Second, as a target of gaze, we chose a tree rather than a more commonly grasped item such as a cup. Third, prior behavioral experiments using similar stimuli suggested that there is a flow-field effect of the eyes linked specifically to participants reconstructing an agent’s attention (5, 6). The results therefore provide evidence that the visual motion system is used to facilitate social brain mechanism for tracking the gaze of others.

### Materials and Methods

**Participants.** Thirty-two human volunteers (18 females, 28 righthanded), aged 18 to 52 y (mean age, 26 y; SD = 8) participated in the study. Subjects were recruited either from a paid subject pool, receiving $50 USD for participation, or among Princeton undergraduate students, who received course credits as compensation. All subjects provided informed consent, and all procedures were approved by the Princeton Institutional Review Board.

**Experimental Setup.** Before commencing the scanning session, subjects were shown six sample trials on a laptop computer screen and given the instructions related to fixation and button press responses. During scanning, the subjects lay comfortably in a supine position on the MRI bed. Through an angled mirror mounted on top of the head coil, they viewed a translucent screen (56 × 30 cm) positioned ~80 cm from the eyes (viewable area: 39° × 21°), on which visual stimuli were projected from a Hyperion MRI Digital Projection System (Psychology Software Tools, Sharpsburg, PA) with a resolution of 1,920 × 1,080 pixels. A computer running MATLAB (MathWorks, Natick, MA) and the Psychophysics Toolbox (40) was used to present the visual stimuli. A right hand five-button response unit (Psychology Software Tools Celeritas, Sharpsburg, PA) was strapped onto the subjects’ right wrist, and they used the right index finger button to indicate responses during the catch trials.

### Experimental Conditions and Visual Stimuli

The experiment comprised seven conditions, featuring either random dot motion or static images of a face and a neutral object (a tree). The motion stimulus consisted of a 5°-wide × 5°-high field of randomly distributed, short-lived, moving black dots. Each dot had a diameter of 0.05°, a velocity of 2°/s, and a lifetime of 200 ms. The density was 50 dots per square visual degree. One hundred percent of the dots moved coherently in the same direction, creating an unambiguous sense of motion to the left (dot motion left condition) or right (dot motion right condition). The stimulus was presented for 1,500 ms on each trial.

In the trials featuring static images, participants saw a cartoon face in profile on one side of the display, facing a tree on the other side. In the eyes open conditions, the eyes on the face were open, implying that the head was gazing at the tree. The face was 5.2° wide × 5.7° high, and the tree was 4.5° wide × 5.7° high. The face and the tree appeared on opposite sides of the fixation point, and the edge of each image was distanced 2.5° from the midline (thus, the total distance between the tree and the face was 5°). The face appeared either on the right side looking left (eyes open left condition) or on the left side looking right (eyes open right condition). In the two control conditions, eyes covered left and eyes covered right, the eyes of the cartoon face were covered with a blindfold, while all other visual features were kept identical. Again, the stimulus was presented for 1,500 ms on each trial.

The purpose of the catch trials was to ensure that the subjects paid attention to the visual stimuli. On each catch trial, some part of the visual stimulus was colored bright green, and subjects were instructed to press a button as soon as they discovered that something was green. The catch trials consisted of dot motion featuring bright green dots (instead of black ones), or the static face-and-tree image where either the face (eyes open or blindfolded) or the tree was colored green. Within each run, there was exactly one trial of each of the three (dots, face, object) catch trial types.

### Table 1. Decoding results

| Anatomical region               | MNI x, y, z | Peak T  | P value (FWE-corr) | Cluster size |
|---------------------------------|-------------|---------|-------------------|-------------|
| **Temporal lobe**               |             |         |                   |             |
| R. posterior STS (TPJ)          | 56, −54, 24 | 5.82    | <0.001            | 201         |
| R. parieto-temporo-occipital cortex (MT+) | 46, −70, 0  | 3.64    | 0.027             | 4           |
| R. fusiform gyrus              | 22, −46, 16 | 3.62    | —                 | 11          |
| R. superior temporal gyrus     | 72, −28, 6  | 3.71    | —                 | 5           |
| **Parietal lobe**               |             |         |                   |             |
| R. supramarginal gyrus         | 56, −40, 36 | 4.18    | —                 | 14          |
| R. supramarginal gyrus         | 58, −38, 44 | 3.64    | —                 | 4           |
| L. angular gyrus (TPJ)         | −58, −62, 26| 4.03    | 0.019             | 10          |
| **Frontal lobe**                |             |         |                   |             |
| R. precentral gyrus (premotor cortex) | 58, −4, 42  | 4.35    | —                 | 32          |
| L. inferior frontal gyrus      | −46, 10, 20 | 3.62    | —                 | 7           |
| **Insular cortex**             |             |         |                   |             |
| L. midinsula                   | −36, −2, 16 | 4.45    | —                 | 20          |
| **Subcortical structures**     |             |         |                   |             |
| R. ventral striatum            | 10, 4, −10  | 4.79    | —                 | 25          |
| L. putamen                     | −20, 6, 4   | 3.90    | —                 | 13          |

All brain regions (peaks) in which a classifier, trained on discriminating dot motion direction, decoded gaze direction at a threshold of $P < 0.001$, uncorrected for multiple comparisons, better in the eyes open than in the eyes covered condition. All listed regions also decoded gaze direction in the eyes open condition significantly ($P < 0.05$, uncorrected) better than chance (50%). FWE-rate-corrected (corr) $P$ values are reported for regions that survived the correction for multiple comparisons in our predefined ROIs (small-volume correction), consisting of the activation cluster from the MT+ visual motion localizer (SI Appendix, Fig. S2 and Table S1), or 10-mm-radius spheres around the TPJ activation peaks in a previous fMRI study on theory of mind (11). The right TPJ peak in the posterior STS also survived correction for multiple comparisons using the whole brain as search space ($P = 0.040$). L., left; R., right.
Throughout each run—in all seven conditions, as well as during the intertrial intervals—a light gray fixation point (0.5° diameter) of a shade (red [R], 198; green [G], 198; blue [B], 198) just slightly darker than the gray background (R, 210; G, 210; B, 210) was positioned slightly below the center of the screen (note that the fixation point shown in Fig. 1 is darker than the one used in the stimulus, for visualization purposes). The purpose of using a subtle gray color and placing the fixation below the line of sight of the cartoon face was to avoid the visual impression that the face was gazing at the fixation point instead of at the tree. Subjects were instructed to maintain fixation as continuously as possible throughout the run.

The experiment consisted of 20 runs. In each run, the seven conditions were repeated three times, yielding a total of 21 trials per run. The trial order was fully randomized, with the limitation that two consecutive trials could not belong to the same condition. We included 16 s of baseline before the onset of the first trial, and 16 s of baseline after the offset of the last trial, in each run. The run-average intertrial interval (ITI) was 8.0 s (individual ITIs were repeated three times, yielding a total of 21 trials per run. The trial order throughout the run.

The fMRI Acquisition. Functional imaging data were collected using a Siemens Prisma 3T scanner equipped with a 64-channel head coil. Gradient-echo T2*-weighted echo-planar images (EPIs) with BOLD contrast were used as an imaging modality (41). Functional image volumes were composed of 54 near-axial slices with a thickness of 2.5 mm (with no interslice gap), which ensured that the entire brain, excluding cerebellum, was within the field-of-view in all subjects (54 × 78 matrix, 2.5 mm × 2.5 mm in-plane resolution, echo time [TE] = 30 ms, flip angle = 80°). Simultaneous multislice (MSI) imaging was used (MSI factor = 2). One complete volume was collected every 2 s (repetition time [TR] = 2,000 ms). A total of 2,180 functional volumes were collected for each participant in the main experiment, divided into 20 runs (109 volumes per run). In the subsequent area MT+ functional localizer experiment, 316 functional volumes were collected (158 volumes × 2 runs). The first three volumes of each run were discarded to account for non–steady-state magnetization.

A high-resolution structural image was acquired for each participant at the end of the experiment (three-dimensional magnetization prepared rapid gradient echo sequence, voxel size = 1 mm isotropic, field of view = 255 mm, 176 slices, TR = 2,300 ms, TE = 2.96 ms, inversion time = 1,000 ms, flip angle = 9°; integrated parallel acquisition technique generalized autocalibrating partial parallel acquisition = 2).

At the end of each scanning session, matching spin echo EPI pairs (anterior-to-posterior and posterior-to-anterior) were acquired for bilip-upbilip-down field map correction. fMRI Preprocessing. Preprocessing was carried out using the FMRIprep version v1.2.3 (42) pipeline. See SI Appendix, Supplementary Text for details.

fMRI Analysis. The fMRI data from all participants were analyzed with the Statistical Parametric Mapping software (SPM12) (Wellcome Department of Cognitive Neurology, London, UK) (43). We first used a conventional GLM to estimate regression (beta) coefficients for the six main conditions in each run: dot motion left versus dot motion right, eyes open (facing) left, eyes closed left versus right, eyes covered left versus right, and eyes covered right versus left. We used a random-effects analysis, and only one such whole-brain significant voxel was identified within the area of the main experiment. The procedures and stimuli were identical to those described for the "Full-field hMT+ visual motion localizer" condition in Jiang et al. (23). Specifically, the localizer stimulus consisted of blocks of moving dots, static dots, and a fixation condition containing no dots. The dots were white on a black background and had a limited lifetime of 200 ms. The direction of motion changed once per second (the same direction was prevented from appearing twice in a row). In the static condition, dots were presented without motion, and the positions of the dots were reset once per second. In the fixation condition, subjects were presented with only the fixation cross but no dots. Dots were presented within a circular aperture (radius 3°) with a central fixation cross-surfaced by a gap (radius 1.5°, to minimize motion-induced eye movements) in the dot field. The diameter of each dot was 0.3°, and dot density was one per square degree.

Participants were asked to fixate throughout the scan and performed no task. Each block lasted 10 s, during which one of the three visual stimulation conditions (motion, static, and fixation) was presented. The three conditions were cycled in a fixed order (motion, static, and fixation). Every participant performed two runs, each lasting ~5 min, and included 30 10-s blocks.

After preprocessing using FMRIprep as described above, we smoothed the spatially normalized functional volumes using a 6-mm FWHM Gaussian kernel. The smoothed data were then entered into a GLM. In the first-level analysis, we defined separate regressors for the moving and static conditions, modeling the 10-s epochs with a boxcar function convolved with the standard SPM12 hemodynamic response function. We defined a linear contrast (motion-static) in the GLM, and the contrast images from all subjects were entered into a random effects group analysis (second-level analysis). The resulting group-level activation map was thresholded at P < 0.05 (FWE-corrected using the entire brain as search space), and the distinct clusters of activation in the temporo-parieto-occipital junction on the left and right side defined our MT+ ROIs (SI Appendix, Fig. S2).

Eye Tracking. Eye movements were recorded via an MRI-compatible infrared eye tracker (SR Research EyeLink 1000 Plus), mounted just below the projector screen, sampling at 1,000 Hz. Before each scanning session, a...
calibration routine on five screen locations was used and repeated until the maximum error for any point was less than 1°. The obtained eye position data were cleaned of artifacts related to blink events and smoothed using a 20-ms moving average. It was then analyzed in two ways. First, we calculated the proportion of time subjects stayed on fixation (defined as an eye position within the display area 2.5° surrounding the fixation point), to estimate how well subjects followed the instructions. Second, we built an SVM decoding model analog to the cross-classification approach used for the fMRI data, but here based purely on eye-tracking data, to test whether eye movement dynamics alone are sufficient to decode the conditions of interest. In keeping with a previous study (46), we organized the data in the following way. The part of the display within which the stimuli appeared was divided into a 3 × 3 grid of 27 equally sized (1.6°) squares. For each trial, the proportion of time that the subject fixated within each square (27 features) and the saccades between those regions (27 × 27 = 729 features) were calculated. These 756 features, representing information about both where people were looking as well as saccade dynamics, were then averaged across repetitions for each of the six conditions within each of the 20 runs, yielding one eye movement feature vector per condition per run (per subject). The feature vectors were submitted to an SVM classifier (C = 1). Using a 20-fold leave-one-run-out approach, the SVM model was trained on dot motion left versus dot motion right and tested on eyes open (left versus right) or eyes covered (left versus right) in the left-out run. At the group level, the decoding accuracies were tested against chance level using t tests. The results showed that gaze direction could not be decoded significantly (P > 0.05) better than chance in either the eyes open or the eyes covered conditions (see the SI Appendix, Fig. S1 legend for statistical details).

Postscan Questionnaire. After the scanning session was completed, subjects were given a questionnaire asking what they thought the purpose of the experiment might be. Though subjects offered guesses about the purpose of the experiment, none indicated anything close to a correct understanding.

Data Availability. The data that support the findings of this study are available at https://figshare.com/articles/Other_People_s_Gaze_Encoded_as_Implicit_Motion_in_the_Human_Brain/12184848/1 (47).

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