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ARITHMETIC OF $p$-IRREGULAR MODULAR FORMS: FAMILIES AND $p$-ADIC $L$-FUNCTIONS

ADEL BETINA AND CHRIS WILLIAMS

Abstract. Let $f_{\text{new}}$ be a classical newform of weight $\geq 2$ and prime to $p$ level. We study the arithmetic of $f_{\text{new}}$ and its unique $p$-stabilisation $f$ when $f_{\text{new}}$ is $p$-irregular, that is, when its Hecke polynomial at $p$ admits a single repeated root. In particular, we study $p$-adic weight families through $f$ and its base-change to an imaginary quadratic field $F$ where $p$ splits, and prove that the respective eigencurves are both Gorenstein at $f$. We relate the two- and three-variable $p$-adic $L$-functions via $p$-adic Artin formalism. These results are used in work of Xin Wan to prove the Iwasawa Main Conjecture in this case.

In an appendix, we prove results towards Hida duality for modular symbols, constructing a pairing between Hecke algebras and families of overconvergent modular symbols and proving that it is non-degenerate locally around any cusp form. This allows us to control the sizes of (classical and Bianchi) Hecke algebras in families.

1. Introduction

Let $f_{\text{new}} \in S_k(\Gamma_0(M))$ be a classical cuspidal newform with $k \geq 2$ and $p \nmid M$. The Bloch–Kato conjecture predicts that the analytic $L$-function attached to $f_{\text{new}}$ encodes deep arithmetic properties of $f_{\text{new}}$. One of the main tools we have for proving such links is Iwasawa theory, which seeks to recast and prove Bloch–Kato in $p$-adic language. In particular, let $f$ be a $p$-stabilisation of $f_{\text{new}}$ to level $N = Mp$; then the Iwasawa Main Conjecture (IMC) for $f$ describes the arithmetic of its $\Lambda$-adic Selmer group in terms of its $p$-adic $L$-function. The IMC is known to hold under a number of assumptions, including the conjecture that $f$ is $p$-regular, that is, that the roots $\alpha_p, \beta_p$ of the Hecke polynomial

$$X^2 - a_p(f_{\text{new}})X + p^{k-1}$$

(1.1)

are distinct, where $a_p(f_{\text{new}})$ is the $T_p$-eigenvalue of $f_{\text{new}}$ [SU14, Wan14]. Such results have important applications to the Bloch–Kato conjecture for $f$ (see, for example, [JSW17] for this when $f_{\text{new}}$ is attached to a suitable elliptic curve).

For modular forms $f$ where the IMC is known, a key tool in the proof is the existence of multi-variable $p$-adic $L$-functions, which interpolate the $p$-adic $L$-functions of classical modular forms as they vary in $p$-adic families through $f$. In the $p$-irregular case, such functions had not previously been constructed. In this paper, we construct:

- a 2-variable $p$-adic $L$-function over a Coleman family through a $p$-irregular form $f$,
- and a 3-variable $p$-adic $L$-function over the base-change of such a Coleman family to an imaginary quadratic field where $p$ splits.

We also relate these multi-variable $p$-adic $L$-functions, proving they satisfy $p$-adic Artin formalism. Fundamentally using the results of this paper, in [Wan20] Wan has proved the IMC for classical modular forms of level prime to $p$ without requiring a regularity assumption.

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There is a well-established conjecture that $p$-irregular forms of weight $k \geq 2$ never exist. This appears, however, completely out of reach at present. Our motivation in studying this case is threefold: firstly we facilitate an unconditional proof of the IMC; secondly we develop methods that should work in more general settings, such as Hilbert modular forms, where $p$-irregular forms are known to exist (e.g. [Chi15]); and finally, in line with recent work of Molina Blanco, we add to what is known about $p$-irregular forms in the hope that this may lead to a contradiction and a proof of the conjecture. We comment more on this in §1.3 below.

The $p$-irregular case has been omitted from previous treatments of this topic because the eigenvariety is harder to control in this setting. Accordingly, during the course of our study, we develop new methods for studying eigenvarieties that should be of use much more generally. In particular, at one point we need to control the size of Hecke algebras in families. For classical modular forms, it is possible to do this using overconvergent modular forms and Hida duality; but over imaginary quadratic fields – the Bianchi case – these tools are not available. In an appendix, we prove results towards an analogue of Hida duality for modular symbols; in particular, we use evaluation maps to construct a pairing between Bianchi modular symbols and the Hecke algebra, and prove that it is non-degenerate locally around any cusp form. We believe these results to be of independent interest, since they require no non-criticality assumption and seem likely to generalise well to overconvergent cohomology in other settings.

1.1. Families of $p$-adic $L$-functions through $p$-irregular forms. We state our main results. Let $f_{\text{new}}$ be a $p$-irregular form, let $\alpha_p$ be the unique (repeated) root of the Hecke polynomial (1.1) at $p$, and let $\mathcal{F}$ be a Coleman family through the (unique) $p$-stabilisation

$$f = f_{\text{new}}(z) - \alpha_p f_{\text{new}}(pz).$$

This family is captured geometrically via the Coleman–Mazur eigencurve $\mathcal{C}$; in particular, we can consider $f$ as a point $x_f \in \mathcal{C}$, and $\mathcal{F}$ as a neighbourhood $V$ of $x_f$. Attached to any classical point $y \in V$, corresponding to a modular form $g$ of weight $k_g + 2$, we have $p$-adic $L$-functions $L^g_p(g)$, which interpolate the critical values $L(g, \chi, j + 1)$ for all $0 \leq j \leq k_g$ and Dirichlet characters $\chi$ of $p$-power conductor with $\chi(-1)(-1)^j = \pm 1$. These $p$-adic $L$-functions are each supported on different halves of weight space, and in particular, the $p$-adic $L$-function $L_p(g) := L^g_p(g) + L^{-g}_p(g)$ is supported on all of weight space and interpolates all the critical values. We show that:

**Theorem A.** Up to shrinking $V$, there exist unique two-variable $p$-adic $L$-functions $L^g_p(V)$ over $V$, such that at any classical point $y \in V$ corresponding to a modular form $g$, we have

$$L^g_p(V, y) = c^g_p L^g_p(g),$$

where the $c^g_p \in \overline{\mathbb{Q}}_p$ are non-zero $p$-adic periods depending only on $g$.

Taking the Amice transform, $L_p(V) = L^g_p(V) + L^{-g}_p(V)$ can be viewed as a rigid-analytic function

$$L_p : V \times \mathcal{F}(\mathbb{Z}_p^\ast) \to \mathbb{C}_p,$$

where $\mathcal{F}(\mathbb{Z}_p^\ast)$ is the space of $p$-adic rigid characters on $\mathbb{Z}_p^\ast$, and $L_p$ satisfies the following interpolation property: for:

- any such $y \in V$ and $g$ as above, with $g$ of weight $k_g + 2$,
- any Dirichlet character $\chi$ of conductor $p^r > 1$,
- and any integer $0 \leq j \leq k_g$,

we have

$$L_p(g, \chi(z) z^j) = c^g_p p^{r(j+1)} \frac{\Lambda(g, \chi^{-1}, j+1)}{\Omega^g_p}$$

for all $z \in \mathcal{C}_p$, where

$$\Lambda(g, \chi^{-1}, j+1) = \frac{\prod_{1 \leq j \leq k_g} \frac{\omega^2}{\omega_j} \zeta(k_j, j+1)}{\omega_k},$$

and

$$\Omega^g_p = \frac{\prod_{1 \leq j \leq k_g} \frac{\omega^2}{\omega_j} \zeta(k_j, j+1)}{\omega_k}.$$
where $\chi(-1)(-1)^j = \pm 1$, $\alpha_g$ is the $U_p$-eigenvalue of $g$, $\tau(\chi^{-1})$ is a Gauss sum, $\Lambda(g, \chi^{-1}, j + 1)$ is the normalised $L$-function of $g$, and $\Omega_g^+$ are the complex periods of $g$. (When $\text{cond}(\chi) = 1$, there is also an exceptional factor to consider, studied comprehensively in [MTT86]).

We also have a version of Theorem A for the base-change of $F$ to an imaginary quadratic field $F$ in which $p$ splits. In this case, there are no signs to consider, and the $p$-adic $L$-function of a single form is naturally a locally analytic distribution on the Galois group $\text{Gal}_p$ of the maximal abelian extension of $F$ unramified outside $p \infty$, which is a two-dimensional $p$-adic group. Working with families in this setting is much harder, due to the presence of cuspidal Hecke eigensystems in degree two of the cohomology of Bianchi hyperbolic threefolds. Accordingly, in this case we make use of the technical machinery developed in [BSWa], in which these families were carefully studied. We prove:

**Theorem B.** Up to shrinking $V$, there exists a unique three-variable $p$-adic $L$-function $L_p(V_{jF})$ over $V$, such that at any classical point $g \in V$ corresponding to a cuspidal form $g$, we have

$$L_p(V_{jF}, y) = c'_g L_p(g_{jF}),$$

where $g_{jF}$ is the base-change of $g$ to $F$, $L_p(g_{jF})$ is the $p$-adic $L$-function of $g_{jF}$ and $c'_g$ is a non-zero $p$-adic period depending only on $g$.

These base-change forms are *Bianchi modular forms*. Again the three-variable $p$-adic $L$-function admits a precise interpolation formula as a rigid analytic function

$$L_p : V \times \mathcal{X}(\text{Gal}_p) \to \mathbb{C}_p,$$

which takes exactly the form of [BSWa, Thm. A]. In particular, for each classical $g \in V$ and every Hecke character $\varphi$ critical for $g$ of $p$-power conductor, we have

$$L_p(g, \varphi_{p\text{-fin}}) = c'_g \cdot A(g_{jF}, \varphi) \cdot \frac{\Lambda(g_{jF}, \varphi)}{\Omega_{g_{jF}}},$$

where $A(g_{jF}, \varphi)$ is a precise interpolation factor similar to (1.2) above and described explicitly in [Wil17, Thm. 7.4]. We may take the complex period $\Omega_{g_{jF}}$ to be an explicit algebraic multiple of $\Omega_g^+ \Omega_g^-$, described in §6.

We prove both of these theorems using the same approach – namely, that of overconvergent cohomology – and hence treat them at the same time by working over a field $K$ that we take to be either $\mathbb{Q}$ or imaginary quadratic with $p$ split. Overconvergent cohomology was introduced in [Ste94], and then used to construct the (one-variable, cyclotomic) $p$-adic $L$-function attached to a classical modular form in [PS11, PS13, Bel12] and the (two-variable) $p$-adic $L$-function attached to a Bianchi modular form in [Wil17]. In all of these papers, the $p$-adic $L$-function of a form $f$ was constructed as the Mellin transform of a certain (canonical up to scalar) class $\Phi_f$ in the relevant overconvergent cohomology group. For a detailed survey of this approach, and a diagram illustrating this method of construction, see [BSWa, §1].

We consider variation of the overconvergent cohomology (in degree 1) over the Coleman–Mazur and Bianchi eigencurves, as studied in [Bel12] (classical case) and [BSWa] (Bianchi case). In each case, we show that the local ring of the eigencurve is Gorenstein at the $p$-irregular form $f$, and use this to deduce the existence of a family of overconvergent eigenclasses in the cohomology, interpolating the classes $\Phi_g$ as $g$ varies in a Coleman family. The Mellin transform of this family is the desired $p$-adic $L$-function, which now has two or three variables when $K$ is $\mathbb{Q}$ or imaginary quadratic respectively.

We comment briefly on why new arguments are required in the $p$-irregular case. The previous most general constructions of multi-variable $p$-adic $L$-functions, in [Bel12] and [BSWa], treat two cases separately, using the arithmetic of $f$ to deduce results about the structure of the eigenvariety.
• Suppose $f$ is non-critical, that is, the classical and overconvergent generalised eigenspaces are isomorphic. If $f$ is $p$-regular, then both are thus one-dimensional, from which the eigencurve can be shown to be étale over weight space at $f$.
• Suppose $f$ is critical, so that the overconvergent generalised eigenspace is strictly bigger than the classical one. Then the eigencurve is smooth at $f$.

In both cases, the structure of the eigencurve can be used to deduce that the coherent sheaf of overconvergent cohomology groups over the eigencurve is in fact a line bundle at $f$ (that is, it is locally free of rank one over the Hecke algebra at $f$), and the (actual) eigenspace of $f$ in the overconvergent cohomology is one-dimensional, and these facts combine to give the construction.

If $f$ is $p$-irregular, then it is non-critical, but the classical generalised eigenspace is no longer one-dimensional. The eigencurve is not étale over weight space, and it is not clear whether it is smooth at $f$. We instead deduce that the overconvergent cohomology is a line bundle at $f$ via a careful study of the classical and overconvergent Hecke algebras, showing that the local ring of the eigencurve – which is a localised Hecke algebra – is Gorenstein at $f$. In the Bianchi situation, this is quite subtle, since non-vanishing of $H^2$ can provide an obstruction to weight specialisation. To prove Gorensteinness in this case, in §4.3 we use deformation-theoretic arguments to study the specialisation. We then deduce that the $f$-generalised eigenspace in overconvergent cohomology (and its dual) is free of rank one over the local Hecke algebra by combining properties of the classical spaces, non-criticality and formal properties of Gorenstein rings.

There are plenty of examples of weight one classical eigenforms which are irregular at $p$. Such eigenforms have critical slope. The recent works [BDP18, BD] studied the geometry of the eigencurve at such points following a new approach, hence deducing some arithmetic properties on trivial zeros of their adjoint $p$-adic $L$-functions (that is, the Kubota–Leopoldt and Katz $p$-adic $L$-functions). In contrast to the results of this paper, the local ring at these irregular weight one eigenforms is never Gorenstein and their associated overconvergent generalised eigenspace contains non-classical $p$-adic modular forms; hence the construction of the two-variable $p$-adic $L$-function around these points remains an open and challenging question in Iwasawa theory.

1.2. $p$-adic Artin formalism. A third tool required for the proof of the IMC is a formula relating the $p$-adic $L$-functions of $f$ and its base-change $f_f$, for $F$ the imaginary quadratic field above. In particular, let $L^{sc}_p(f_f)$ be the restriction of $L_p(f_f)$ to the cyclotomic line, a copy of $Z_p^*$ inside $\text{Gal}_p$. Then we have:

**Theorem C.** $L^{sc}_p(f_f) = L_p(f)(f \otimes \chi_{F/Q})$ as distributions on $Z_p^*$.

Here $L_p(f \otimes \chi_{F/Q})$ is the $p$-adic $L$-function of $f$ twisted by the quadratic character $\chi_{F/Q}$ associated to $F$. This interpolates the critical $L$-values $\Lambda(f, \chi_{F/Q} \chi, j + 1)$ for $\chi$ as above. To prove Theorem C, we use the strategy of [BSWa]; indeed, this factorisation holds at a Zariski-dense set of classical points in the eigencurve, as can be seen from the interpolation formula and growth properties of the respective $p$-adic $L$-functions, and this interpolates to give the factorisation at the level of two-variable $p$-adic $L$-functions. We prove the theorem by specialising to the form $f$. Theorem C cannot be seen directly from the interpolation and growth properties at $f$, since $L_p(f)L_p(f \otimes \chi_{F/Q})$ is $(k + 1)$-admissible and there are precisely $k + 1$ critical integers for $L(f, s)$.

1.3. Motivation and Tate’s conjecture. Tate’s conjecture on the dimension of Chow groups of smooth projective varieties over finite fields [Mil94, §2] predicts the non-existence of $p$-irregular cusps of weight $\geq 2$. Still, a proof of this conjecture eludes mathematicians to this day, and it stands as one of the hardest open questions in arithmetic geometry.
One might hope to prove the non-existence of such \( p \)-irregular points \textit{without} appealing to Tate’s conjecture, but instead by deriving a contradiction from Iwasawa theory. To expand on this, recent work of Molina Blanco [Bla] studies \( p \)-adic variation of the \( L \)-function attached to a \( p \)-irregular form \( f \). In addition to the standard \( p \)-adic \( L \)-function considered in the present paper, he proves the existence of an attached ‘extremal’ \( p \)-adic \( L \)-function, interpolating the same (\( p \)-depleted) \( L \)-values with different interpolation factors at \( p \). He shows that this \( p \)-adic \( L \)-function can be concretely linked to the two-variable \( p \)-adic \( L \)-function \( \mathcal{L}_p \) of this paper: precisely, it can be obtained by differentiating \( \mathcal{L}_p \) in the weight direction and then specialising at \( f \). He further speculates that the existence of such an object might lead to a contradiction; and one could hope to derive such a contradiction by exploiting the Iwasawa theory of \( f \), as explored here.

Beyond this, there are several more concrete reasons for studying this case. Most directly, Theorems A, B and C are used fundamentally in [Wan20] to prove the IMC without any \( p \)-regularity assumption. Our study should also prove a test-case for more general ‘badly behaved’ situations; for example, situations where cohomological \( p \)-irregular forms do exist (e.g. Hilbert modular forms), and other settings where classical constructions of families \( p \)-adic \( L \)-functions break down (where classical generalised eigenspaces are not 1-dimensional, or smoothness of the eigenvariety is not known).

**Structure of the paper.** In §2, we provide a study of classical cohomology and groups and Hecke algebras localised at \( p \)-irregular forms. In §3, we recap overconvergent cohomology and the construction of \( p \)-adic \( L \)-functions for single forms. The heart of the paper is §4, where use overconvergent cohomology in families to study the geometry of the classical and Bianchi eigencurves at irregular points, and prove our main Gorensteinness result. In §5 we use this to construct the multi-variable \( p \)-adic \( L \)-functions, and conclude in §6 by proving \( p \)-adic Artin formalism.

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## 2. Classical cohomology at irregular forms

### 2.1 Basic notation

Let \( p \) be a prime, and let \( F \) be an imaginary quadratic field in which \( p \) splits as \( \mathbb{p}\mathbb{p} \). Let \( K \) be either \( \mathbb{Q} \) or \( F \), let \( D = \text{disc}(K) \), and let \( \mathcal{O}_K \) be its ring of integers. Let \( \Sigma_{\mathbb{R}} \) denote the set of complex embeddings of \( K \). Let \( \Gamma = \text{Res}_{K/\mathbb{Q}} \GL_2 \). Let \( \mathcal{O}_p = \mathcal{O}_K \otimes \mathbb{Z}_p \).

Let \( M \) be an integer coprime to \( p \) and \( D \) and let and \( N = Mp \). Let \( f_{\text{new}} \) be either:

1. a classical newform of weight \( \lambda = k + 2 \geq 2 \) and level \( \Gamma_0(M) \) (if \( K = \mathbb{Q} \)),
2. or the base-change of such a form to \( F \), a Bianchi modular eigenform of weight \( \lambda = (k,k) \geq 2 \) and level \( \Gamma_0(M\mathcal{O}_K) \) (if \( K = F \)).

In case (a) (resp. (b)) \( \lambda \) corresponds to the character \( (\ast,\ast) \mapsto s^k \) (resp. \( (\ast,\ast) \mapsto s^{|\mathbb{p}|}s^k \)) of the diagonal torus in \( G \). In case (b), we assume the original form does not have CM by \( F \), so \( f_{\text{new}} \) is cuspidal. We write \( S_{\lambda}(\Gamma_0(N)) \) for the space of cusp forms of weight \( \lambda \) and level \( \Gamma_0(N) \).

If \( K = \mathbb{Q} \), let \( \alpha_p \) be a root of the Hecke polynomial \( (at \ p) X^2 - a_p(f_{\text{new}})X + p^{k+1} \) of \( f_{\text{new}} \), and if \( K \neq \mathbb{Q} \), let \( \alpha_p = \alpha_{\mathbb{p}} = \alpha_p \) be the corresponding roots at \( p \) and \( \mathbb{p} \). Let \( f \) be the corresponding \( p \)-stabilisation of \( f_{\text{new}} \) to level \( \Gamma_0(N) \) (that is, an eigenform with \( U_p \)-eigenvalue \( \alpha_p \) for each \( \mathbb{p}|p \)).

Let \( H^1_{\text{tame}} \) denote the abstract tame Hecke algebra at level \( \Gamma_0(N) \) at \( p \), that is, the free \( \mathbb{Z} \)-algebra generated by the Hecke operators \( T_v \) (for finite places \( v \nmid N \) of \( K \)); we work at level \( \Gamma_0 \), so exclude

\footnote{Note the shift by 2 here; we use \( k + 1 \) since our convention is that \( f \) has weight \( k + 2 \).}
the diamond operators. Let

\[ \mathbb{H}_N = \mathbb{H}^{\text{tame}}_N[\{U_p : \mathfrak{p} \mid \mathfrak{p} \}]. \]

If \( \mathcal{M} \) is a module on which \( \mathbb{H}_N \) acts, we write \( \mathcal{M}[f] \) (resp. \( \mathcal{M}_f \)) for the eigenspace (resp. generalised eigenspace) upon which \( \mathbb{H}_N \) acts with the same eigenvalues as \( f \). Note that we have a surjective map \( \mathbb{H}_N \otimes \mathbb{Q} \to L_f \), where \( L_f \) is the Hecke field of \( f \), given by sending \( T_v \) to the eigenvalue \( a_v \) and \( U_p \) to \( \alpha_p \). This gives rise to a maximal ideal \( \mathfrak{m}_f \subset \mathbb{H}_N \otimes L_f \). If \( \mathcal{M} \) is a finite-dimensional vector space, then \( \mathcal{M}_f \) is the localisation of \( \mathcal{M} \) at \( \mathfrak{m}_f \).

Throughout, the superscript \( \varepsilon \) will denote either a choice of sign \( \pm \) (when \( K = \mathbb{Q} \)) or an empty condition (when \( K = F \)), reflecting the fact that \( \mathbb{Q} \) has one real embedding whilst \( F \) has none.

### 2.2. Generalised eigenspaces of modular forms.

It is well-known that in the \( p \)-regular case, the generalised eigenspaces \( S_\lambda(\Gamma_0(N))_f \) are 1-dimensional. This uses Strong Multiplicity One and the fact that \( p \)-regularity means the \( U_p \) operators are diagonalisable at level \( N \) for all \( \mathfrak{p} \mid p \). In the irregular case, we no longer have this.

Recall \( N = Mp \), and \( f_{\text{new}} \) is new at level \( M \). Let \( f_{\text{new}}^N \in S_\lambda(\Gamma_0(N)) \) be \( f_{\text{new}}(z) \) considered to have level \( N \). Then \( f_{\text{new}}^N \) is an eigenform for \( \mathbb{H}^{\text{tame}}_N \), that is, away from \( p \).

**Proposition 2.1.** Suppose \( f_{\text{new}} \) is \( p \)-irregular. Then:

1. If \( K = \mathbb{Q} \), then \( \dim_\mathbb{C} S_\lambda(\Gamma_0(N))_f = 2 \), and \( \dim_\mathbb{C} S_\lambda(\Gamma_0(N))[f] = 1 \).

2. If \( K = F \) is imaginary quadratic, then \( \dim_\mathbb{C} S_\lambda(\Gamma_0(N))_f = 4 \), and \( \dim_\mathbb{C} S_\lambda(\Gamma_0(N))[f] = 1 \).

In both cases the eigenspaces \( S_\lambda(\Gamma_0(N))[f] \) are equal to \( \mathbb{C} f \).

**Proof.** First we work over \( K = \mathbb{Q} \). By strong multiplicity one, we know that \( S_\lambda(\Gamma_0(M))[f_{\text{new}}] \) is a line, where we consider instead the action of the tame Hecke algebra \( \mathbb{H}^{\text{tame}}_N \). Stabilisation at \( p \) commutes with prime to \( p \) Hecke operators, so the subspace of \( S_\lambda(\Gamma_0(N)) \) on which \( \mathbb{H}^{\text{tame}}_N \) acts as \( f \) is 2-dimensional, spanned by \( f_{\text{new}}^N \) and \( f_{\text{new}}(pz) \). Moreover, since \( U_p \) has a single eigenvalue \( \alpha_p \) on this space and \( \mathbb{H}^{\text{tame}}_N \) acts semi-simply on \( S_\lambda(\Gamma_0(N)) \), it follows that the generalised eigenspace \( S_\lambda(\Gamma_0(N))_f \) for \( \mathbb{H}^{\text{tame}}_N \) is equal to the eigenspace of the character of \( \mathbb{H}^{\text{tame}}_N \to \mathbb{C} \) associated to \( f_{\text{new}} \), and is thus also 2-dimensional, spanned by \( f_{\text{new}}^N \) and \( f_{\text{new}}(pz) \).

To see that the usual eigenspace is a line, we show that \( U_p \) does not act semisimply. By a standard calculation (see, for example, [RS17, §9.2.1]), in the above basis the matrix of \( U_p \) is \( \left( \begin{array}{cc} a_p & \frac{1}{a_p} \\ -p & 1 \end{array} \right) \), where \( a_p = a_p(f_{\text{new}}) \) is the \( T_p \)-eigenvalue of \( f_{\text{new}} \). In particular, we have

\[ (U_p - \alpha_p)f_{\text{new}}^N = (a_p - \alpha_p)f_{\text{new}}^N - p^{k+1}f_{\text{new}}(pz). \]

Now, note that using irregularity, we have \( (X - \alpha_p)^2 = X^2 - a_pX + p^{k+1} \), that is, \( 2\alpha_p = a_p \) and \( \alpha_p^2 = p^{k+1} \). Thus \( (U_p - \alpha_p)f_{\text{new}}^N(z) = \alpha_p[f_{\text{new}}^N(z) - \alpha_pf_{\text{new}}(pz)] = \alpha_pf(z) \), by definition of the \( p \)-stabilisation \( f \). In the basis \( \{f, f_{\text{new}}^N\} \), therefore, the matrix of \( U_p \) is

\[ U_p = \begin{pmatrix} \alpha_p & \frac{1}{\alpha_p} \\ 0 & \alpha_p \end{pmatrix}, \]

so the \( U_p \)-eigenspace is 1-dimensional. Since \( f \) is an eigenform it is thus generated by \( f \).

In automorphic terms, this says that if \( \pi = \varphi_p^v \pi_v \) is the automorphic representation of \( \text{GL}_2(\mathbb{A}_\mathbb{Q}) \) generated by \( f_{\text{new}} \), then \( \pi_f \) is two-dimensional with one-dimensional \( \mathcal{U}_p = \alpha_p \)-eigenspace, where \( \mathcal{U}_p = \left\{ \left( \begin{array}{cc} a & b \\ c & d \end{array} \right) \in \text{GL}_2(\mathbb{Z}_p) : p \mid c \right\} \) is the Iwahori subgroup.
For (2), it is more convenient to use the language of automorphic representations. Let \( \pi_F = \Theta^v_\epsilon \pi_{F,v} \) be the automorphic representation of \( GL_2(\mathbb{A}_F) \) corresponding to the base-change to \( F \). Since \( f_{\text{new}} \) is base-change in this case, both \( \pi_{F,p} \) and \( \pi_{F,\mathbb{F}} \) are just copies of the representation \( \pi_p \) of the corresponding classical newform, which has the shape above. Thus \( S_{\lambda}(\Gamma_0(N))_f \) is 4-dimensional, corresponding to the tensor product \( \pi_{F,p}^I \otimes \pi_{F,\mathbb{F}}^\pi = (\pi_p^I)^{\otimes 2} \), and the eigenspace \( S_{\lambda}(\Gamma_0(N))[f] \) is the 1-dimensional space spanned by \( \phi_p \otimes \phi_\pi \), where \( \phi_v \) is a generator of the 1-dimensional \( U_v \) eigenspace in \( \pi_v^I \). Again, as \( f \) is an eigenform it generates this line.

**Corollary 2.2.** For \( K = \mathbb{Q} \), we have
\[
(U_p - \alpha_p)f_{\text{new}}^N = \alpha_p f, \quad (U_p - \alpha_p)^2 f_{\text{new}}^N = 0.
\]

For \( K = F \) imaginary quadratic, we have
\[
(U_p - \alpha_p)(U_\mathbb{F} - \alpha_p)f_{\text{new}}^N = \alpha_p^2 f, \quad (U_p - \alpha_p)^2 f_{\text{new}}^N = (U_\mathbb{F} - \alpha_p)^2 f_{\text{new}}^N = 0.
\]

In both cases, the generalised eigenspaces \( S_{\lambda}(\Gamma_0(N))_f \) are generated over \( \mathbb{C}[\mathbb{H}_N] \) by \( f_{\text{new}}^N \).

**Proof.** In the case \( K = \mathbb{Q} \), this is entirely proved above. For \( K = F \) imaginary quadratic, note that \( p \)-stabilisation is a composition of \( p \)-stabilisation with \( \mathbb{F} \)-stabilisation. Let \( f^p \) and \( f^\mathbb{F} \) be the \( p \)- and \( \mathbb{F} \)-stabilisations of \( f_{\text{new}} \); then calculations exactly as above show that
\[
(U_\mathbb{F} - \alpha_p)f_{\text{new}}^N = \alpha_p f^\mathbb{F}
\]
(2.2)
in the space of cusp forms. Similarly we have \((U_p - \alpha_p)f_{\text{new}} = \alpha_p f^p \). But \( p \) and \( \mathbb{F} \) stabilisations commute, as do the \( U_p \) and \( U_\mathbb{F} \) operators; and \( \mathbb{F} \)-stabilisation commutes with \( U_p \). Combining, we conclude that
\[
(U_p - \alpha_p)^\mathbb{F} = [\mathbb{F} \text{-stabilisation of } (U_p - \alpha_p)f_{\text{new}}^N] = [\mathbb{F} \text{-stabilisation of } \alpha_p f^p] = \alpha_p f,
\]
(2.3)
and the result follows by multiplying (2.3) by \( \alpha_p \) and using (2.2). \( \square \)

### 2.3. Classical cohomology.

Define a locally symmetric space
\[
Y_N := G(\mathbb{Q})G(\mathbb{A})U_0(N)U_\infty Z_\infty^+,
\]
where \( U_0(N) \subseteq G(\mathbb{Z}) \) is the open compact subgroup of matrices that are upper triangular mod \( N \), \( U_\infty \) is the standard maximal compact subgroup of \( G(\mathbb{R}) \) and \( Z_\infty \) is the centre of \( G(\mathbb{R}) \) = the identity connected component of \( G(\mathbb{R}) \). Let \( V_\lambda \) be the algebraic representation of \( G \) of highest weight \( \lambda \), and \( V_\lambda^N \) its dual, which naturally gives rise to a local system \( Y_N \) on \( Y_N \) via the action of \( U_0(N) \) (see e.g. [BSWb, (1.1)]). We have \( V_\lambda(\mathbb{C}) = \text{Sym}^k(\mathbb{C}^2) \) (resp. \( \text{Sym}^k(\mathbb{C}^2) \otimes [\text{Sym}^k(\mathbb{C}^2)]^c \), for complex conjugation \( c \)) when \( K = \mathbb{Q} \) (resp. imaginary quadratic). The Hecke operators act on the cohomology via correspondences (see e.g. [Hid94a, §4]).

When \( K = \mathbb{Q} \), we have an involution on \( \mathcal{H} \) sending \( z \) to \( -\overline{z} \), which induces an involution on \( Y_N \), and hence an involution \( \iota \) on the cohomology. For any local system \( \mathcal{M} \) on \( Y_N \) this in turn induces a decomposition
\[
H^\iota_c(Y_N, \mathcal{M}) = H^\iota_c(Y_N, \mathcal{M})^+ \oplus H^\epsilon_c(Y_N, \mathcal{M})
\]
on the cohomology, corresponding to the \( \pm 1 \) eigenspaces for \( \iota \), and this decomposition is Hecke-equivariant. Moreover for us \( 2 \) will always be invertible on \( \mathcal{M} \), and then there are natural projectors \( pr^\pm = (1 \mp i)/2 \) to these subspaces. We use a superscript \( \epsilon \) to denote a choice of sign \( \pm \) (when \( K = \mathbb{Q} \) or be an empty condition (when \( K = F \) imaginary quadratic).
2.3.1. The Eichler–Shimura isomorphism. By composing \([Hid94a, \text{Prop. } 3.1]\) with the projector \(pr^\varepsilon\) (§8 op. cit.), as \(\mathbb{H}_N\)-modules we have the Eichler–Shimura isomorphism

\[
H^1_{\text{cusp}}(Y_N, \mathcal{V}_\lambda^\varepsilon(\mathbb{C}))^\varepsilon \cong S_\lambda(\Gamma_0(N)),
\]  

(2.4)

where \(\varepsilon\) is either \(\pm\) (for \(K = \mathbb{Q}\)) or nothing (\(K\) imaginary quadratic). As a corollary, we see:

**Proposition 2.3.** Suppose \(f_{\text{new}}\) is \(p\)-irregular. Then:

1. If \(\mathbb{K} = \mathbb{Q}\), for each choice of sign \(\varepsilon\),
   
   \[
   \dim_{\mathbb{C}} H^1_{\text{cusp}}(Y_N, \mathcal{V}_\lambda^\varepsilon(\mathbb{C}))(\varepsilon) = 2, \quad \text{and} \quad \dim_{\mathbb{C}} H^1_{\text{cusp}}(Y_N, \mathcal{V}_\lambda^\varepsilon(\mathbb{C}))(\varepsilon)[f] = 1.
   \]

2. If \(K = F\) is imaginary quadratic, then
   
   \[
   \dim_{\mathbb{C}} H^1_{\text{cusp}}(Y_N, \mathcal{V}_\lambda^\varepsilon(\mathbb{C}))(\varepsilon) = 4, \quad \text{and} \quad \dim_{\mathbb{C}} H^1_{\text{cusp}}(Y_N, \mathcal{V}_\lambda^\varepsilon(\mathbb{C}))(\varepsilon)[f] = 1.
   \]

**Proof.** Using \([\text{Clo90, Lem. } 3.15]\) and Strong Multiplicity One for \(\text{GL}_2\), there is a Hecke-equivariant isomorphism between \(S_\lambda(\Gamma_0(N))\) (interpreted via the automorphic representation generated by \(f\)) and the cuspidal cohomology \(H^1_{\text{cusp}}(Y_N, \mathcal{V}_\lambda^\varepsilon(\mathbb{C}))\). Moreover, the cuspidal cohomology injects into the compactly supported cohomology (e.g. \([\text{Clo90, p. } 123]\)) with Eisenstein cokernel, so from (2.4) we deduce that it suffices to prove the analogous results for \(S_\lambda(\Gamma_0(N))\). The result then follows from Proposition 2.1. \(\Box\)

**Definition 2.4.** For any \(g \in S_\lambda(\Gamma_0(N))\), let \(\phi_{\text{new}}^g \in H^1_{\text{cusp}}(Y_N, \mathcal{V}_\lambda^\varepsilon(\mathbb{C}))\) denote the attached (complex) cohomology class under the Eichler–Shimura isomorphism (2.4) and the inclusion \(H^1_{\text{cusp}} \subset H^1_1\).

**Corollary 2.5.** We have

\[
H^1_{\text{cusp}}(Y_N, \mathcal{V}_\lambda^\varepsilon(\mathbb{C}))(\varepsilon) = C[H^1_1] \cdot \phi_{\text{new}}^g \quad \text{and} \quad H^1_{\text{cusp}}(Y_N, \mathcal{V}_\lambda^\varepsilon(\mathbb{C}))(\varepsilon)[f] = C \cdot \phi_{\text{new}}^g.
\]

**Proof.** Immediate from Propositions 2.1 and 2.3, Lemma 2.2 and Hecke-equivariance of (2.4). \(\Box\)

2.3.2. Periods and algebraic cohomology classes. We now define cohomology classes attached to \(f_{\text{new}}\) and \(f\) with algebraic coefficients. We define these periods using \(f_{\text{new}}\) at level \(M\), and then \(p\)-stabilise to show that the entire generalised eigenspace at level \(N\) can be made algebraic by scaling by the same periods.

Recall \(N = Mp\), and let \(U_0(M)\) and \(Y_M\) be the direct analogues of \(U_0(N)\) and \(Y_N\) at level \(M\). Using Eichler–Shimura at level \(M\), the newform \(f_{\text{new}}\) determines a canonical class

\[
\phi_{\text{new}}^g \in H^1_1(Y_M, \mathcal{V}_\lambda^\varepsilon(\mathbb{C}))^\varepsilon.
\]

**Proposition 2.6.** Let \(E = \mathbb{Q}(f_{\text{new}})\) be the Hecke field of \(f_{\text{new}}\). There exists a period \(\Omega_{\lambda}^g\) with

\[
\phi_{\text{new}}^g = \frac{\phi_{\text{new}}^g \cdot \mathbb{C}}{\Omega_{\lambda}^g} \in H^1_{\text{cusp}}(Y_M, \mathcal{V}_\lambda^\varepsilon(\mathbb{C}))(\varepsilon).
\]

**Proof.** Since \(f_{\text{new}}\) is a newform of level \(M\), we have

\[
\dim_{\mathbb{E}} H^1_{\text{cusp}}(Y_M, \mathcal{V}_\lambda^\varepsilon(E))(\varepsilon)_{\text{new}} = 1,
\]

defining an \(E\)-rational line inside the analogous line with \(\mathbb{C}\)-coefficients (see [Hid94a, §8]). Let \(\phi_{\text{new}, E}^g\) be a generator; this is of the form \((\Omega_{\lambda}^g)^{-1} \cdot \phi_{\text{new}}^g \in \mathbb{C}^\times\). We then take \(\phi_{\text{new}} = \phi_{\text{new}, E}^g\). \(\Box\)

We now transport this to level \(N\). Recall \(f_{\text{new}}^N\) denotes the modular form in \(S_\lambda(\Gamma_0(N))\) obtained simply by considering \(f_{\text{new}}(z)\) to have level \(N\) rather than \(M\), and that by Corollary 2.2 this generates the generalised eigenspace at \(f\) as a Hecke module. We also have a canonical class

\[
\phi_{\text{new}}^g \in H^1_1(Y_N, \mathcal{V}_\lambda^\varepsilon(\mathbb{C}))(\varepsilon).
\]
given by Eichler–Shimura. We have a natural quotient map \( t : Y_N \to Y_M \); on cohomology, pullback under \( t \) is equivariant with respect to all the Hecke operators away from \( p \), and \( t^* \phi_{f_{\text{new}}}^E \) is precisely the image of \( f_{\text{new}}^N \) under the Eichler–Shimura isomorphism (at level \( N \)). With that in mind, we define the algebraic analogue:

**Definition 2.7.** Define
\[
\phi_{f_{\text{new}}}^N := t^* \left( \frac{\phi_{f_{\text{new}}}^E}{\Omega_f^\varepsilon} \right) \in \mathbb{H}_f^1(Y_N, \mathcal{T}_\lambda^\vee(E))_.
\]

The following diagram and corollary summarise all of the above.

\[
\begin{array}{ccc}
S_\lambda(\Gamma_0(M)) & \xrightarrow{\text{pr}^* \circ \text{E-S}} & \mathbb{H}_f^1(Y_M, \mathcal{T}_\lambda^\vee(C))_. \\
\downarrow \text{id} & & \downarrow t^* \\
S_\lambda(\Gamma_0(N)) & \xrightarrow{\text{pr}^* \circ \text{E-S}} & \mathbb{H}_f^1(Y_N, \mathcal{T}_\lambda^\vee(C))_. \\
\downarrow f_{\text{new}}^N & & \downarrow f_{\text{new}}^N \\
\end{array}
\]

**Corollary 2.8.** The entire (level \( N \)) generalised eigenspace at \( f \) is defined over \( E(\alpha_p) \), and
\[
\mathbb{H}_f^1(Y_N, \mathcal{T}_\lambda^\vee(E(\alpha_p)))_. = E(\alpha_p)[\mathbb{H}_N] \cdot \phi_{f_{\text{new}}}^N.
\]

The one-dimensional \( \mathbb{H}_N \)-eigenspace in \( \mathbb{H}_f^1(Y_N, \mathcal{T}_\lambda^\vee(E(\alpha_p)))_.[f] \) is generated by
\[
\phi_f^\varepsilon := \begin{cases} 
\alpha_p^{-1}(U_p - \alpha_p)\phi_{f_{\text{new}}}^N & : K = \mathbb{Q}, \\
\alpha_p^{-2}(U_p - \alpha_p)(U_p - \alpha_p)\phi_{f_{\text{new}}}^N & : K \text{ imaginary quadratic}.
\end{cases}
\]

**Proof.** This is a formal consequence of the Hecke-equivariance of Eichler–Shimura, the rationality of Hecke operators, and Corollary 2.2 (after adding \( \alpha_p \) to the coefficient field). \( \square \)

After embedding \( E(\alpha_p) \) into a sufficiently large finite extension \( L/\mathbb{Q}_p \), we henceforth always consider \( \phi_f^\varepsilon \) to have \( p \)-adic coefficients.

### 2.3.3. Classical Hecke algebras.

Eichler–Shimura descends to algebraic coefficients, i.e.
\[
S_\lambda(\Gamma_0(N), E(\alpha_p))_. \cong \mathbb{H}_f^1(Y_N, \mathcal{T}_\lambda^\vee(E(\alpha_p)))_.
\]

This isomorphism is now non-canonical, depending on the choice of periods. We then pass to coefficients in \( L \), obtaining a \( p \)-adic version
\[
S_f(\Gamma_0(N), L)_. \cong \mathbb{H}_f^1(Y_N, \mathcal{T}_\lambda^\vee(L))_. \quad (2.5)
\]
of Eichler–Shimura (see, for example, [Bel12, Prop. 3.18] for this \( p \)-adic version). From now on, we always work with coefficients in \( L \), and thus suppress it from any further notation.

**Definition 2.9.** Let \( \mathcal{T}_\lambda^\vee_{f_\langle L, f \rangle} \) be the image of \( \mathbb{H}_N^\vee \) in \( \text{End}_L \mathbb{H}_f^1(Y_N, \mathcal{T}_\lambda^\vee)_. \)

Hida duality, which remains true over \( L \), is a perfect pairing between modular forms and the Hecke algebra sending \( (g, T) \) to the leading Fourier coefficient of \( Tg \). When composed with the Eichler–Shimura isomorphism we obtain a perfect pairing
\[
\mathbb{H}_f^1(Y_N, \mathcal{T}_\lambda^\vee)_. \times \mathcal{T}_\lambda^\vee_{f_\langle L, f \rangle} \to L,
\]
and in particular $T_{λ,f}^ε ≅ [H^1_p(Y_ε, V_ε)_{\lambda,f}]^∨$ (non-canonically, depending on the choice of periods).

**Proposition 2.10.** The Hecke algebra $T^ε_{λ,f}$ is complete intersection (and hence Gorenstein).

**Proof.** First note that all the tame Hecke operators act via scalars in $L$; thus by Corollary 2.2 in the rational case we have $T^ε_{λ,f} ≅ L[X]/(X^2)$, where $X$ is the image of $U_p - α_p$, and in the Bianchi case, it is the tensor product $L[X,Y]/(X^2,Y^2)$, where $X$ (resp. $Y$) is the image of $U_p - α_p$ (resp. $U_p - α_p$).

In both cases the rings are complete intersection.

**Remark 2.11.** If $M ⊂ H^1_p(Y_λ, V_λ)$ is a non-trivial Hecke-stable subspace, let $I_M := \text{Ann}_{T^ε_{λ,f}}(M)$, and $T(M) = T^ε_{λ,f}/I_M$ be the corresponding quotient of $T^ε_{λ,f}$. Then (2.6) restricts to a perfect pairing $M \times T(M) → L$. By definition, $T(M)$ is isomorphic to the image of $H^1_p(Y_L)$ in $\text{End}_L(M)$.

An important case we consider is that when $M$ is the unique one-dimensional Hecke-stable subspace, namely the eigenspace at $f$. Then $T(M)$ is the unique one-dimensional Hecke-stable quotient of $T^ε_{λ,f}$ (that is, $I_M = m_f$ is the maximal ideal at $f$).

3. The $p$-adic $L$-function of a single form

We recap the theory of overconvergent cohomology and its utility in attaching $p$-adic $L$-functions to modular forms. In the classical setting, this is a cohomological analogue of overconvergent modular forms. We are terse with the details; all of this material is explained in greater detail in [PS11] (for the rational case) and [Wil17] (the Bianchi case). For continuity in later sections, we maintain the notation of [BSWa] everywhere. Recall $O_p = O_K \otimes \mathbb{Z}_p$.

### 3.1. Overconvergent coefficients.

For $Q_p ⊂ L ⊂ \overline{Q}_p$, let $\mathcal{A}(O_p,L)$ be the module of locally analytic functions on $O_p$ with values in $L$. For each weight $λ$, this admits a natural left-action of the semigroup $\Sigma_0(p) = \{(a/b, c/d) ∈ M_2(O_p) : p|c, \ a ∈ O_p^×, \ ad - bc ≠ 0\}$ via

$$(a/b, c/d) : g(z) = λ(a + cz)g\left(\frac{b + dz}{a + cz}\right),$$

where we consider $λ : O_p^× → Q_p^×$ as a character in the usual way. When considering this space with this action, we denote it $\mathcal{A}_λ$. We let $\mathcal{D}(O_p,L)$ and $\mathcal{D}_λ$ be the topological dual spaces (noting that $\mathcal{D}_λ$ inherits a dual right-action). This induces an action of $U_0(N)$ via projection to the components at $p$, and we obtain a local system $\mathcal{A}_λ$ on the cohomology (e.g. [BSWb, I.1.1]). Dualising the natural inclusion $V_λ ⊂ \mathcal{A}_λ$ as $Σ_0(p)$-modules gives rise to a Hecke-equivariant specialisation map

$$ρ_λ : H^1_p(Y_λ, \mathcal{A}_λ) → H^1_p(Y_λ, V_λ^ε).$$

This is equivariant for the involution $ι$ at infinity.

**Theorem 3.1.** Suppose $v_p(α_p) < k + 1$ for every $p|p$. Then for every $i$, the restriction of $ρ_λ$ to the generalised eigenspaces at $f$ is an isomorphism $ρ_λ : H^1_p(Y_λ, \mathcal{A}_λ)_{f} → H^1_p(Y_λ, V_λ)_{f}$. In this generality, this is proved in [BSW19b, Thm. 9.7]; though for $i = 1$, this was first proved in [Ste94] (in the elliptic case) and [Will17] (in the Bianchi case).

We say forms $f$ satisfying this valuation condition have small (or non-critical) slope.

### 3.2. The $p$-adic $L$-function.

The $p$-adic $L$-function of $f$ is naturally a locally analytic distribution on the narrow ray class group

$$\text{Cl}_K^p(p^\infty) = K^\times \backslash A_κ^p/\overline{O}_K^{(p)} \times K^\times_{\text{nc}},$$

where the superscript $(p)$ means away from $p$ and $K^\times_{\text{nc}}$ is the connected component of the identity in $(K ⊗ \mathbb{R})^\times$. By class field theory we identify these with distributions on the Galois group $\text{Gal}_p$ of the
maximal abelian extension of $K$ unramified outside $p\infty$, which is isomorphic to $\mathbb{Z}_p^\times$ for $K = \mathbb{Q}$ and is a 2-dimensional $p$-adic analytic group for $K$ imaginary quadratic (corresponding to cyclotomic and anticyclotomic directions). For an $\mathcal{O}_p$-algebra $R$, denote the $R$-valued locally analytic distributions on $\text{Gal}_p$ by $\mathcal{D}(\text{Gal}_p, R)$.

The Mellin transform is a map
\[
\text{Mel}_\lambda : H^1_c(Y_N, \mathcal{D}_\lambda(L)) \longrightarrow \mathcal{D}(\text{Gal}_p, L)
\]
described in [PS11] and [Wil17] (see also [BSWa, §2.4] for this language). It uses the identification of $H^1_c$ with modular symbols and then formalises evaluation at \( \{0 \to \infty\} \).

Let $\phi_f^j \in H^1_c(Y_N, \mathcal{D}_\lambda)^*[f]$ be the class constructed in Corollary 2.8. We assume $v_p(\alpha_p) < k + 1$ for each $p | p$; if $f_{\text{new}}$ is $p$-irregular, this is always satisfied since $v_p(\alpha_p) = (k + 1)/2$. Then Theorem 3.1 means we can lift $\phi_f^j$ to a unique $\Phi_f^j \in H^1_c(Y_N, \mathcal{D}_\lambda)^*[f]$.

**Definition 3.2.** If $K = \mathbb{Q}$, let $L_p^\pm(f) = \text{Mel}_\lambda(\Phi_f^j)$, and let $\Phi_f = \Phi_f^+ + \Phi_f^-$ where $\Phi_f^\pm \in H^1_c(Y_N, \mathcal{D}_\lambda)$. Let
\[
L_p(f) = \text{Mel}_\lambda(\Phi_f) \in \mathcal{D}(\text{Gal}_p, L).
\]

The main results of [PS11] (for $\mathbb{Q}$) and [Wil17] (imaginary quadratic) were the following $p$-adic interpolation results for critical $L$-values; they appear as Proposition 6.5 and Theorem 7.4 respectively, where the precise interpolation factor is described. (See also equation (1.2)).

**Theorem 3.3.** $L_p(f)$ is the $p$-adic $L$-function of $f$; that is, for any Hecke character $\varphi$ of $K$ of $p$-power conductor and infinity type $0 \leq j \leq k$ (rational case) or $0 \leq (j_1, j_2) \leq (k, k)$ (Bianchi case),
\[
L_p(f, \varphi) = \int_{\text{Gal}_p} \varphi_{p\text{-fin}}(\alpha) \cdot dL_p(f) = C(f, \varphi) \cdot \frac{\Lambda(f, \varphi)}{\Omega_f^j},
\]
where $C(f, \varphi)$ is an explicit factor, $\varphi_{p\text{-fin}}$ is the $p$-adic avatar of $\varphi$, and $\varepsilon$ depends on $\varphi$. Moreover, $L_p(f)$ satisfies a growth property making it unique with this interpolation property.

**Remark 3.4.** If $K = \mathbb{Q}$, then $\varphi = \chi|\cdot|_p^j$ for $\chi$ a Dirichlet character of conductor $p^r$, and if $r > 1$
\[
C(f, \chi|\cdot|_p^j) = \frac{\alpha_p^{r(j+1)}}{\Omega_p^j \tau(\chi^{-1})},
\]
where $\tau(\chi^{-1})$ is a Gauss sum. When $K = F$ is imaginary quadratic, the general formula is significantly more complicated, involving lots of extra notation that we will not need elsewhere and do not wish to define here: the full constant is given in [Wil17, Thm. 7.4]. In the special case where $\varphi$ is of the form $(\chi|\cdot|_p^j)^r N_{F/\mathbb{Q}}$ for $\chi$ as above, which we require later, we have
\[
C(f, (\chi|\cdot|_p^j)^r N_{F/\mathbb{Q}}) = \frac{d^{j+1} p^{2r(j+1)} \# \Omega_p^j}{(-1)^k 2 \alpha_p^{2r} \tau((\chi \circ N_{F/\mathbb{Q}})^{-1})}
\]
(see [BSWa, Prop. 7.8]), where $-d$ is the discriminant of $F/\mathbb{Q}$.

Note that in the case $K = \mathbb{Q}$, each of $L_p^\pm(f)$ interpolate a different set of critical $L$-values. The critical values are at characters $\chi|\cdot|_p^j$, with $0 \leq j \leq k$, and $L_p^\pm(f)$ interpolates the values with $\chi(-1)^{-j} = \pm 1$. In particular, $L_p^+(f)$ is supported on the $+$-half of weight space, that is, the union of the $(p-1)/2$ closed balls corresponding to even characters of $\mathbb{Z}_p^\times$, and $L_p^-(f)$ is supported on the $-$-half of odd characters. Each of $L_p^\pm(f)$ is well-defined only up to scaling the period $\Omega_f^j$ in $L^\times$, and since their support is disjoint, each can be scaled independently without affecting the other.

**Remark 3.5.** We end this section by describing an alternative construction more closely related to variation in families. Since it is a map of $L$-vector spaces, the restriction of the Mellin transform
\[
\mathcal{M}_\lambda^j : H^1_c(Y_N, \mathcal{D}_\lambda(L))^*[f] \xrightarrow{\text{Mel}_\lambda} \mathcal{D}(\text{Gal}_p, L)
\]
can be viewed as an element \( \text{Mel}_\lambda^\vee[f] \in \mathcal{D}(\text{Gal}_p, L) \otimes_L \mathcal{M}_\lambda^\vee[f] \). We know \( \mathcal{M}_\lambda^\vee[f] \) and hence \( \mathcal{M}_\lambda^\vee[f] \) are one-dimensional \( L \)-vector spaces, and choosing a basis \( \Xi_\lambda^\vee[f] \) of \( \mathcal{M}_\lambda^\vee[f] \) gives an isomorphism

\[
\mathcal{D}(\text{Gal}_p, L) \otimes_L \mathcal{M}_\lambda^\vee[f] \cong \mathcal{D}(\text{Gal}_p, L) \otimes_L L \cong \mathcal{D}(\text{Gal}_p, L).
\]

By construction the image of \( \text{Mel}_\lambda^\vee[f] \) under this is exactly the distribution \( L_\lambda^\vee(f) \) above (up to the same indeterminacy, as we can scale our initial eigenclasses by elements in \( L^\ast \)).

Finally we give a conceptual reformulation of this that will be useful later. Let \( \mathcal{M}_\lambda^\vee[f] = H^1_\lambda(Y_N, \mathcal{F}_\lambda)^\vee \) be the full generalised eigenspace. Analogously to Remark 2.11, let \( \lambda \in \mathcal{M}_\lambda^\vee[f] \) be a Hecke-stable submodule, and \( \mathbb{T}(\mathcal{M}) \) the corresponding Hecke algebra. The restriction \( \text{Mel}_\lambda|_{\mathcal{M}} : \mathcal{M} \to \mathcal{D}(\text{Gal}_p, L) \) defines a canonical element \( \text{Mel}_\lambda \in \mathcal{D}(\text{Gal}_p, L) \otimes_L \mathcal{M}^\vee \). Suppose that:

\[ \mathcal{M}^\vee \text{ is free of rank one over } \mathbb{T}(\mathcal{M}). \]

Choosing a generator \( \Xi_\lambda \in \mathcal{M}^\vee \) over \( \mathbb{T}(\mathcal{M}) \) yields an isomorphism \( \mathcal{D}(\text{Gal}_p, L) \otimes_L \mathcal{M}^\vee \cong \mathcal{D}(\text{Gal}_p, L) \otimes_L \mathbb{T}(\mathcal{M}) \); let \( \mathcal{L}_\lambda \in \mathcal{D}(\text{Gal}_p, L) \otimes_L \mathbb{T}(\mathcal{M}) \) be the image of \( \text{Mel}_\lambda \), well-defined up to scaling by \( \mathbb{T}(\mathcal{M})^\ast \).

Now suppose the eigenspace \( \mathcal{M}_\lambda^\vee[f] \subset \mathcal{M}_\lambda^\vee \) is a line; then every Hecke-stable submodule contains \( \mathcal{M}_\lambda^\vee[f] \), annihilated by the maximal ideal \( \mathfrak{m}_f \subset \mathbb{T}(\mathcal{M}) \), and Hida duality says \( \mathcal{M}_\lambda^\vee[f] \) is dual to the quotient \( \mathbb{T}(\mathcal{M})/\mathfrak{m}_f \cong L \). We conclude that reduction mod \( \mathfrak{m}_f \) at the level of Hecke algebras corresponds dually to restriction to \( \mathcal{M}_\lambda^\vee[f] \). In particular, we see that there exists \( c_f^\lambda \in L^\ast \) such that

\[ \Xi_\lambda|_{\mathcal{M}_\lambda^\vee[f]} = c_f^\lambda \cdot \Xi_\lambda^\vee[f]. \]

This \( c_f^\lambda \) measures the difference in choice of generator. Using the description of \( L_\lambda^\vee(f) \) in the first part of the remark, under the map

\[ \text{sp}_f : \mathcal{D}(\text{Gal}_p, L) \otimes_L \mathbb{T}(\mathcal{M}) \to \mathcal{D}(\text{Gal}_p, L) \otimes_L \mathbb{T}(\mathcal{M})/\mathfrak{m}_f \cong \mathcal{D}(\text{Gal}_p, L) \otimes_L \mathcal{M}_\lambda^\vee[f]^\vee \cong \mathcal{D}(\text{Gal}_p, L), \]

we have \( \text{sp}_f(\mathcal{L}_\lambda) = c_f^\lambda L_\lambda^\vee(f) \).

4. The eigencurves at irregular points

4.1. Overconvergent cohomology in families. The distributions \( \mathcal{D}_\lambda \), and the corresponding overconvergent cohomology groups, can be varied in \( p \)-adic families as \( \lambda \) varies. In particular, let \( W = \text{Spf}(\mathbb{Z}_p[\mathbb{Z}_p])^{\text{an}} \) be the weight space for \( \text{GL}_2 \). This embeds diagonally as the parallel weight subspace of the Bianchi weight space if \( K \) is imaginary quadratic. Any affinoid subdomain \( \Sigma = \text{Sp}(\Lambda) \subset W \) then has an associated locally analytic tautological character \( \chi_\Sigma : O^\ast_p \to \Lambda^\ast \). For a more detailed exposition, see [Bel12, §3.2] or [BSWa, §3.1].

For \( \Sigma \) as above, we define \( \mathcal{A}_\Sigma = A(O_p, L) \otimes_L A \), with \( \Sigma_0(p) \)-action given by

\[ \left( \begin{array}{cc} a & b \\ 0 & c \end{array} \right) \cdot g(z) = \chi_\Sigma(a + cz)g \left( \frac{b + dz}{cz + d} \right), \]

and let \( \mathcal{D}_\Sigma := \text{Hom}_{\text{cts}}(\mathcal{A}_\Sigma, \Lambda) \). For \( \lambda \in \Sigma \), corresponding to a maximal ideal \( \mathfrak{m}_\lambda \), we thus have

\[ \mathcal{D}_\Sigma \otimes_\Lambda \mathfrak{m}_\lambda \cong \mathcal{D}_\lambda. \]  

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The induced dual (right) action of \( \Sigma_0(p) \) on \( \mathcal{D}_\Sigma \) yields a local system \( \mathcal{D}_\Sigma \) on \( Y_N \). The resulting cohomology groups are infinitely generated. To make computing in them more manageable, we use the following (see, for example, [Urb11, Lem. 3.4.14] or [Han17, §2.3, Prop. 3.1.5]):

**Proposition 4.1.** The matrix \( \begin{pmatrix} 1 & 0 \\ 0 & p \end{pmatrix} \) acts compactly on \( \mathcal{D}_\Sigma \). Hence, for any \( h \geq 0 \) and \( \lambda \in W \), there exists a neighbourhood \( \Sigma = \text{Sp}(\Lambda) \) such that the groups \( H^1_\Sigma(Y_N, \mathcal{D}_\Sigma) \) admit a slope \( h \) decomposition with respect to \( U_p \).
The small slope subspace $H^c_l(Y_N, \mathcal{D}_\Sigma)^{sh}$ is finitely generated over $\Lambda$ [Han17, Def. 2.3.1].

Recall from §2.1 we have a maximal ideal $m_\Lambda \subset \mathbb{H}_N$ sending each $T \in H_N$ to its eigenvalue on $f$. We get a maximal ideal of $\mathbb{H}_N \otimes \Lambda$, also denoted $m_\Lambda$, by pulling this back under $id \otimes (mod \Lambda) : \mathbb{H}_N \otimes \Lambda \twoheadrightarrow \mathbb{H}_N \otimes L$. Let $\Lambda_\lambda$ be the localisation of $\Lambda$ at $m_\Lambda$, and $H^c_l(Y_N, \mathcal{D}_\Sigma)_f$ the localisation of $H^c_l(Y_N, \mathcal{D}_\Sigma)$ at $m_\Lambda$. For $h$ at least the slope of $f$ at $p$, $H^c_l(Y_N, \mathcal{D}_\Sigma)_f$ is a finitely generated $\Lambda_\lambda$-submodule of $H^c_l(Y_N, \mathcal{D}_\Sigma)^{sh} \otimes \Lambda_\lambda$. Thus we may freely use Nakayama’s lemma when working with the slope $\leq h$ subspaces or after localisation at $f$.

**Notation 4.2.** To simplify notation, let

$$\mathcal{M}_\lambda := H^c_l(Y_N, \mathcal{D}_\lambda), \quad M_\Sigma := H^c_l(Y_N, \mathcal{D}_\Sigma).$$

We use superscripts $\varepsilon, \leq h$ to denote the $\varepsilon$- and slope $\leq h$-parts respectively, write $M_{\lambda, f}$ (resp. $M_{\Sigma, f}$) for the localisations at $m_f$, and $M_\lambda[f]$ for the actual $\mathbb{H}_N$-eigenspace in $M_{\lambda, f}$.

### 4.2 Specialisation to single weights

The specialisation $D_\Sigma \to D_\lambda$ of (4.1) induces a map $sp_\lambda : M_\Sigma \to M_\lambda$. To study this, define intermediate Hecke modules

$$\overline{M}_\Sigma = M_\Sigma \otimes \Lambda/m_\lambda \quad \text{and} \quad \overline{M}_{\Sigma, f} = M_{\Sigma, f} \otimes \Lambda_\lambda/m_\lambda.$$

We will later use the following proposition, and its proof, to deduce that the classical or Bianchi eigencurve is Gorenstein at $f$, which will be crucial to our applications.

**Proposition 4.3.** Let $f$ be the $p$-stabilisation of a $p$-irregular newform of weight $\lambda$. Then $sp_\lambda$ induces a Hecke-equivariant injection $sp_\lambda : \overline{M}_{\Sigma, f} \to M_{\lambda, f}$. Further:

(i) If $K = \mathbb{Q}$, then $sp_\lambda$ is an isomorphism.

(ii) If $K$ is imaginary quadratic, then $dim_\mathbb{L}(\overline{M}_{\Sigma, f})$ is either 2 or 4.

**Proof.** Since $\mathbb{Z}_p[\mathbb{Z}_p]$ is an UFD, its height one prime ideals are principal. In particular the maximal ideal $m_\lambda = m_\lambda \Lambda$ is principal, and we have an associated short exact sequence

$$0 \to D_\Sigma \xrightarrow{\times m_\lambda} D_\Sigma \to D_\lambda \to 0,$$

yielding a long exact sequence in cohomology

$$H^i_c(Y_N, \mathcal{D}_\lambda) \to H^i_c(Y_N, \mathcal{D}_\Sigma) \xrightarrow{\times m_\lambda} H^i_c(Y_N, \mathcal{D}_\Sigma) \to H^i_c(Y_N, \mathcal{D}_\lambda) \to H^{i+1}_c(Y_N, \mathcal{D}_\Sigma). \quad (4.2)$$

Localising this at $m_f$ and truncating shows that specialisation induces injections

$$H^i_c(Y_N, \mathcal{D}_\Sigma)_f \otimes_{\Lambda_\lambda} \Lambda_\lambda/m_\lambda \to H^i_c(Y_N, \mathcal{D}_\lambda)_f \quad (4.3)$$

for all $i$. Injectivity of $sp_\lambda$ is exactly this for $i = 1$.

Now suppose $K = \mathbb{Q}$; we turn to surjectivity in this case. Classical cusp forms do not appear in $H^2_c(Y_N, \mathcal{F}_\lambda)$; so since $v_p(\alpha_p) = (k + 1)/2 < k + 1$, Theorem 3.1 implies $H^2_c(Y_N, \mathcal{F}_\lambda)_f = 0$. This can be seen alternatively as follows: we have $H^2_c(Y_N, \mathcal{F}_\lambda) = H_0(Y_N, \mathcal{D}_\lambda) \simeq \mathcal{D}_\lambda/f^{aug}\mathcal{D}_\lambda$, where $f^{aug}$ is the augmentation ideal of $\pi_1(Y_N)$, and one can check as in [PS13, Lem. 5.2, §7] that this space contains no cuspidal eigenpackets. It follows that $H^2_c(Y_N, \mathcal{D}_\Sigma)_f = 0$ by Nakayama’s lemma and (4.3) for $i = 2$. Then the cokernel of $sp_\lambda$ is

$$H^2_c(Y_N, \mathcal{D}_\Sigma)_f[m_\lambda] = 0,$$

proving (i). It remains to prove part (ii); we defer this to §4.3. □

**Remarks 4.4.** This proof of (i) fails in the Bianchi setting, owing to the existence of classical eigenpackets in $H^2_c$. Further, we expect that $sp_\lambda$ is not surjective in this case; this would be implied by a conjecture of Calegari–Mazur [CM09] (or more precisely, by a weaker form [BSWa, Conj. 5.13]). Ultimately we do not determine whether $sp_\lambda$ is surjective or not.
In the case $K$ imaginary quadratic, and $f_{\text{new}}$ is $p$-regular and non-critical, $\text{sp}_\lambda$ is shown to be an isomorphism in [BSWa, Thm. 4.5].

4.3. The structure of Hecke algebras in families. To complete the Bianchi case of Proposition 4.3, we translate the problem into the language of Hecke algebras. Let

$$T_\Sigma = T(M_\Sigma), \quad \overline{T}_\Sigma := T(\overline{M}_\Sigma),$$

with decorations for localisations, $\varepsilon$- and $\leq h$-parts as in Notation 4.2 (e.g. $\overline{T}_{\Sigma,f}$ is the localisation of $T(M_{\Sigma,f})$ at $f$). The following relates these rings to Proposition 4.3(ii).

**Proposition 4.5.** The natural surjection $T_{\Sigma,f}/m_\lambda \twoheadrightarrow \overline{T}_{\Sigma,f}$ is an isomorphism. In particular, if $r = \dim_L[\overline{M}_{\Sigma,f}]$, then $T_{\Sigma,f}$ is free of rank $r$ over $\Lambda_\lambda$.

**Proof.** We first treat the case $K = \mathbb{Q}$. Recall (Theorem 3.1, (2.5)) that we have Hecke equivariant isomorphisms of 2-dimensional $L$-vector spaces

$$H^2(Y_N, \mathscr{O}_\lambda) \twoheadrightarrow H^2(Y_N, \mathcal{Y}^\epsilon) \twoheadrightarrow S_\lambda(\Gamma_0(N), L).$$

Let $\mathcal{S}_\lambda^f(\Gamma_0(N), L)$ denote the space of overconvergent cuspsforms of weight $\lambda$; since $v_p(\alpha_F) < k + 1$, by Coleman’s classicality theorem the natural inclusion $S_\lambda(\Gamma_0(N), L)_f \hookrightarrow S_\lambda^f(\Gamma_0(N), L)_f$ is an isomorphism. Since the cuspidal eigencurve constructed using overconvergent symbols is naturally isomorphic to the cuspidal Coleman–Mazur eigencurve (see [Bel12, Thm. 3.30]), both have the same local ring at $f$. Hence Hida’s duality for Coleman families [Col97, Prop.B.5.6] yields that $T_{\Sigma,f}/m_\lambda$ acts faithfully on $S_\lambda^f(\Gamma_0(N), L)_f = S_\lambda(\Gamma_0(N), L)_f$. Thus, $T_{\Sigma,f}/m_\lambda$ is 2-dimensional by Hida’s duality for overconvergent cuspsforms. Finally, the surjection $T_{\Sigma,f}/m_\lambda \twoheadrightarrow \overline{T}_{\Sigma,f}$ is necessarily an isomorphism since $\overline{T}_{\Sigma,f}$ is also 2-dimensional.

In the Bianchi case, there is no theory of overconvergent modular forms, and hence no Hida duality for overconvergent modular symbols. We prove results towards Hida duality in this case in the appendix, and use them to prove this isomorphism in Corollary A.10.

The last statement follows from Nakayama’s lemma, since $\Lambda_\lambda$ is a principal ideal domain. \hfill $\Box$

4.3.1. Local pieces of eigencurves. By non-criticality and Remark 2.11, the vector spaces $\overline{M}_{\Sigma,f}$ and $\overline{T}_{\Sigma,f}$ are dual, so have the same dimension. To prove Proposition 4.3(ii), by Proposition 4.5 it thus suffices to prove that in the Bianchi case, the ring $T_{\Sigma,f}$ has rank 2 or 4 over $\Lambda_\lambda$ (recalling $\varepsilon = \emptyset$ in the Bianchi setting). We prove this by considering the geometry of the (classical and Bianchi) eigencurves. It is now important to distinguish between the classical and Bianchi situations, so for the rest of §4.3, we will distinguish the base-fields $\mathbb{Q}$ and $F$ in notation. We write $f$ for the $(p$-irregular) classical modular form and $f_{\mathbb{Q}F}$ for its base-change. Write $M_{\mathbb{Q}\Sigma}$ (resp. $M_{F\Sigma}$) for the classical (resp. Bianchi) overconvergent cohomology in families, with the usual decorations.

**Definition 4.6.** Let $C$ be the cuspidal Coleman–Mazur eigencurve of tame level $\Gamma_0(M)$, equipped with the usual weight map $\kappa: C \to W$ to the weight space $W$ from §4.1. Let $E$ be the cuspidal parallel weight Bianchi eigenvariety (see [BSWa, §5]) of tame level $\Gamma_0(M)\text{O}_F$, with a map $\kappa_F: E \to W$ to the parallel weight line inside the natural two-dimensional Bianchi weight space $W_F$. (This is the union of the irreducible components in the full Bianchi eigenvariety that lie over the parallel weight line and contain a classical cuspidal point).

Of particular importance for us is that local pieces of $\mathcal{C}$ and $\mathcal{E}$ can be constructed via overconvergent cohomology, as explained in [AS08, Urb11, Han17]. For $K = \mathbb{Q}$ or $F$, let

$$T_{K,\Sigma} = T(M_{K,\Sigma}), \quad \overline{T}_{K,\Sigma} = T(\overline{M}_{K,\Sigma}),$$

(4.5)
In particular, we have
\[ T_{Q,\Sigma}^{ch} = T(M_{Q,\Sigma}^{ch}) \quad \text{and} \quad T_{F,\Sigma}^{ch} = T(M_{F,\Sigma}^{ch}). \]
Then
\[ C_{\Sigma}^{ch} = \text{Sp}(w_{Q,\Sigma}^{ch}) \subset \mathcal{C} \quad \text{and} \quad E_{\Sigma}^{ch} = \text{Sp}(T_{F,\Sigma}^{ch}) \subset \mathcal{E} \]
are local pieces, which for sufficiently large \( h \) contain the points corresponding to \( f \) and \( f_{1}\) respectively. Let \( T_{C,f} \) (resp. \( T_{E,f} \), resp. \( A \)) be the completed strictly Henselian local ring of \( \mathcal{C} \) (resp. \( \mathcal{E} \), resp. \( \mathcal{W} \)) at \( f \) (resp. \( f_{1} \), resp. \( \lambda \)). We see:
\[ T_{C,f} \]
\[ T_{E,f} \]
is the completion of the strict Henselisation of \( T_{C,\Sigma,f} = T(M_{C,\Sigma}^{ch})_{m_f} \),
and both are modules over \( A \), the completion of the strict Henselisation of \( \Lambda \).

(Here we implicitly use that the algebraic and rigid localisations of the structure sheaf of a rigid space have the same completion [BGR84, §7.3.2]). Moreover in the classical case, since \( f \) is a cuspidal base-change, we have \( T(M_{Q,\Sigma,f}) = T(M_{Q,\Sigma}) = T(M_{Q,\Sigma,\Sigma,f}) \) (see [Bil12, Thm. 3.30]), so we can ignore signs here.

4.3.2. The \( p \)-adic base-change map. By §4.3.1, to complete Proposition 4.3 we must show that the weight map \( \kappa_{F} : \mathcal{C} \to \mathcal{W} \) has degree 2 or 4 locally at \( f_{1}F \). By Propositions 4.3 and 4.5 in the classical case, we know that \( \kappa : \mathcal{C} \to \mathcal{W} \) has degree 2 locally at \( f \). To get the lower bound in the Bianchi case, we use [JN19b, Thm. 3.2.1, §4.3]. This gives a natural map
\[ BC : \mathcal{C} \to \mathcal{E}, \]
induced from a map of abstract Hecke algebras \( BC^{*} : \mathbb{H}_{N,F} \to \mathbb{H}_{N,Q} \), that interpolates the base-change transfer on classical points (so \( BC(f) = f_{1}F \)). We write \( \mathcal{E}_{\Sigma}^{bc} \) for the image of this map. The map \( BC \) lies over \( \mathcal{W} \) in the sense that the following commutes:
\[ \begin{array}{ccc}
\mathcal{C} & \overset{BC}{\longrightarrow} & \mathcal{E}^{bc} \\
\kappa & \downarrow & \kappa_{F} \\
\mathcal{W} & \overset{\kappa_{F}}{\longrightarrow} & \mathcal{E} 
\end{array} \] (4.9)

Globally, the map \( BC^{*} \) will not be a surjection \( O_{\mathcal{E}} \to BC_{*}(O_{\mathcal{C}}) \) (see Remark 4.7 (3)). This encodes the fact that both \( f \) and \( f @ \chi_{F/Q} \) have the same base-change (where \( \chi_{F/Q} \) is the quadratic character of \( F \)), so \( BC \) cannot globally be a closed immersion. Locally, however, we do have surjectivity. We prove this using deformation theory. Let
\[ P_{\mathcal{E}} : G_{\mathbb{Q}} \to O_{\mathcal{C}}(\mathcal{C}) \]
be the universal pseudo-character over \( \mathcal{C} \) (see, for example, [JN19a]), which sends Frobenius to \( T_{\ell} \in O(\mathcal{C}) \) for any prime number \( \ell \not\equiv M \), and let
\[ P_{\mathcal{E}_{bc}} : G_{F} \to O_{\mathcal{E}_{bc}}(\mathcal{E}_{bc}) \]
be the universal pseudo-character over \( \mathcal{E}_{bc} \), sending Frobenius to \( T_{q} \in O(\mathcal{E}_{bc}) \) for any prime \( q \not\equiv M \).

Remark 4.7. (1) The structural map \( BC^{*} : O_{\mathcal{E}_{bc}} \to BC_{*}(O_{\mathcal{C}}) \) induces an equality
\[ BC^{*}(P_{\mathcal{E}_{bc}}) = (P_{\mathcal{C}})(G_{F}). \]
(2) For any \( p \mid p \), \( BC^{*}(U_{p}) = U_{p} \in O_{\mathcal{C}}(\mathcal{C})^{*} \) (recalling that \( p \) splits in \( F \)).
(3) Similarly, for any rational prime \( q \not\equiv N \) split in \( F \), we have \( BC^{*}(T_{q}) = T_{q} \) for any \( q \mid q \). If \( q \) is inert in \( F \), then \( BC^{*}(T_{q}) \) is a degree 2 polynomial in \( T_{q} \) over \( O_{\mathcal{W}}(\mathcal{W}) \) (see [JN19b, §4.3], noting the operator \([U_{0}(n)U_{0}(n)] \) acts on \( H^{1}_{Y}(\mathcal{Y}_{\Sigma},\mathcal{G}_{\Sigma}) \) by the scalar \( \chi_{Y}(q) \in O_{\mathcal{W}}(\Sigma) \).
As in (4.6)-(4.8), let $\mathcal{T}_{E_{bc},f}$ be the completed (strictly Henselian) local ring of $E_{bc}$ at $f_{fF}$. Then (4.9) induces a commutative diagram

$$
\begin{array}{ccc}
\mathcal{T}_{C,f} & \xrightarrow{\kappa^{*}} & \mathcal{T}_{E,bc,f} \\
& \searrow & \swarrow \\
& \kappa_{p} & \kappa_{p} \\
& \kappa_{p} & \kappa_{p}
\end{array}
$$

(4.10)

**Proposition 4.8.** The base-change map $\mathcal{T}_{E,f} \to \mathcal{T}_{C,f}$ is surjective. In particular, the base-change map $\mathcal{T}_{E_{bc},f} \to \mathcal{T}_{C,f}$ is an isomorphism, $\text{BC}: C \to E$ is locally a closed immersion at $f$, and $\kappa_{p}: E \to \mathcal{W}$ has degree $\geq 2$ locally at $f_{fF}$.

**Proof.** First, note that $f$ cannot have CM by $K$. If it did, $f$ would be ordinary since $p$ splits in $K$; but this contradicts the irregularity of $f$ at $p$.

Since $\mathcal{T}_{E_{bc},f}$ is the quotient of $\mathcal{T}_{E,f}$ cutting out the image of BC, it suffices to prove that the base-change map $\mathcal{T}_{E_{bc},f} \to \mathcal{T}_{C,f}$ is surjective. Denote the pushforwards of $P_{SC}$ and $P_{E_{bc}}$ under localisation (at $f$ and $f_{fF}$) by $P_{SC,f}: G_{Q} \to \mathcal{T}_{C,f}$ and $P_{E_{bc},f}: G_{F} \to \mathcal{T}_{E_{bc},f}$ respectively. Let $\mathcal{T}_{C,f}^{*}$ be the image of $\mathcal{T}_{E_{bc},f}$ in $\mathcal{T}_{C,f}$, and let $P_{SC,f}: G_{F} \to \mathcal{T}_{C,f}^{*}$ be the pushforward of $P_{E_{bc},f}$ under $\mathcal{T}_{E_{bc},f} \to \mathcal{T}_{C,f}^{*}$. Since $\text{BC}^{*}(P_{E_{bc}}) = (P_{SC})_{G_{F}}$, we see $P_{SC,f}$ is exactly the restriction of $P_{SC,f}$ to $G_{F}$, and that $\mathcal{T}_{C,f}^{*}$ is topologically generated over $A[U_{p}]$ by the image of $P_{SC,f}(G_{F})$.

As the restriction of a $G_{Q}$-representation, $P_{SC,f}$ is invariant under the action by conjugation of $G_{Q}$, and modulo the maximal ideal we have

$$P_{SC,f}^{*} \equiv (\text{tr}\rho_{f})_{G_{F}} (\mod m_{C,f}^{*}),$$

where $\rho_{f} : G_{Q} \to \text{GL}_{2}(\overline{Q}_{p})$ is the $p$-adic Galois representation attached to $f$ and $m_{C,f}^{*}$ is the maximal ideal of $\mathcal{T}_{C,f}^{*}$. Since $f$ does not have CM by $F$, the restriction $(\rho_{f})_{G_{F}}$ is absolutely irreducible. Then the main result of [Nys96] yields that there exists a deformation

$$\rho_{C,f}^{*} : G_{F} \to \text{GL}_{2}(\mathcal{T}_{C,f}^{*})$$

of $(\rho_{f})_{G_{F}}$ such that $\text{tr}\rho_{C,f}^{*} = P_{SC,f}^{*}$.

Since we use strictly Henselian completed local rings (i.e. with residue field $\overline{Q}_{p}$), we have

$$H^{2}(\text{Gal}(F/Q), (\mathcal{T}_{C,f}^{*})^{\vee}) = (\mathcal{T}_{C,f}^{*})^{\vee}/(\mathcal{T}_{C,f}^{*})^{\vee,2} = 0,$$

the final equality being Hensel's lemma. Thus by [Hid94b, Thm. A.1.1] we can extend $\rho_{C,f}$ to a deformation

$$\rho_{C,f}^{*} : G_{Q} \to \text{GL}_{2}(\mathcal{T}_{C,f}^{*})$$

of $\rho_{f}$. (Conditions (AIH) and (Inv) op. cit. are satisfied since $f_{fF}$ is cuspidal base-change). Any other $G_{Q}$-extension of $\rho_{C,f}$ is a twist of $\rho_{C,f}^{*}$ by the quadratic character $\chi_{F/Q}$ of $\text{Gal}(F/Q)$.

On the other hand, using [Nys96] again yields that $P_{SC,f}$ is the trace of a deformation $\rho_{C,f} : G_{Q} \to \text{GL}_{2}(\mathcal{T}_{C,f})$ of $\rho_{f}$, and that $\rho_{C,f}$ is an extension to $G_{Q}$ of $\rho_{C,f}^{*}$. By the above remarks, $\rho_{C,f}$ is equal to $\rho_{C,f}^{*}$, up to a twist by a quadratic character of $\text{Gal}(F/Q)$.

Finally, $\mathcal{T}_{C,f}$ is generated over $A$ by $U_{p}$ and the trace of $\rho_{C,f}$. Since $p$ is split, we know that $U_{p} \in \mathcal{T}_{C,f}^{*}$ by Remark 4.7(2); and the submodule generated by the traces of $\rho_{C,f}$ and $\rho_{C,f}^{*}$ are the same since they possibly differ only by quadratic twist. Hence $\mathcal{T}_{C,f} = \mathcal{T}_{C,f}^{*} = \text{Im}(\mathcal{T}_{E_{bc},f})$, as required.

Given the surjectivity, BC is locally a closed immersion at $f$ by [BGR84, 7.3.3, Prop. 4]. Hence $\deg(\kappa_{F}) \geq \deg(\kappa) = 2$ locally at $f_{fF}$. □
Corollary 4.9. The base-change map $\mathcal{F}_{E,S,f} \to \mathcal{F}_{Q,S,f}$ is surjective.

Proof. By the above, there exists an affinoid neighbourhood $V_F$ of $f|_p$ in $E$ such that BC: $BC^{-1}(V_F) \to V_F$ is a closed immersion, that is, $O(V_F) \to O(BC^{-1}(V_F))$ is surjective (noting $BC^{-1}(V_F)$ is an affinoid since BC is finite). The result follows after localising at $f|_p$ and $f$ respectively. \hfill $\square$

4.3.3. The parity of the weight map at $f|_p$. Let $g_{new}$ be a cuspidal Bianchi eigenform, generating a cuspidal automorphic representation $\pi_g$ of $GL_2(\mathbb{A}_F)$. Let $c$ denote a lift of the non-trivial element of $Gal(F/Q)$ to an element of $G_Q$. This acts on $GL_2(\mathbb{A}_F)$ in the obvious way, and pre-composing we get a new cuspidal automorphic representation $\pi_{g,c}^\circ$. For any place $v$ of $F$, this will satisfy $\pi_{g,v}^\circ = \pi_{g,cv}$; that is, if $v$ lies above a prime of $Q$ that splits in $F$, then $\pi_{g,v}^\circ = \pi_{g,\mathfrak{p}}$. If $g_{new}$ has level $\Gamma_0(\mathcal{M}_F)$ with $M \in \mathbb{Z}$, then the new vector $g_{new}^c \in \pi_{g,v}^\circ$ is also a newform of level $\Gamma_0(\mathcal{M}_F)$. We also have an analogous involution $c$ on $\mathbb{H}_N$ which swaps $\mathbb{H}_N$ and $\mathbb{H}_N$. Finally, since $g_{new}$ is the base-change of some classical modular form $\tilde{g}$, this will satisfy $\tilde{g}_{new}^c = \tilde{g}_{new}$. \hfill $\square$

Lemma 4.10. (i) The cuspidal automorphic representation is base-change if and only if $\pi_g = \pi_{g,c}^\circ$.

(ii) Let $g$ be a $p$-stabilisation of $g_{new}$, corresponding to a system of eigenvalues $\phi_g : \mathbb{H}_N \to \overline{\mathbb{Q}}_p$.

Then $\phi_g$ appears in $E^{|bc}$ if and only if $\phi_g = \phi_{g,c}^\circ := \phi_g \circ c$. \hfill $\square$

Proof. Part (i) is [Gel97, §6.1], proved in [Lan80]. For part (ii), if $\phi_g$ appears in $E^{|bc}$ then $g$ is base-change and clearly $\phi_g = \phi_{g,c}^\circ$. Conversely, suppose $\phi_g = \phi_{g,c}^\circ$. Let $S$ denote the set of primes dividing $N$, and consider the Asai $L$-function $L_S^A(g_{new}, s)$ with the Euler factors at primes in $S$ removed. By [Asa77] (modified analogously to the Bianchi setting), this $L$-function has meromorphic continuation to $C$, and has a pole if and only if $g_{new}$ is base-change. This is proved by examining the Euler factors (as described in [Gha99, §3]) and observing that when $g_{new}$ is base-change, the Asai $L$-function factors as the product of a symmetric square $L$-function with the Riemann zeta function. Since $\phi_g(T_v) = \phi_{g,c}^\circ(T_v) = \phi_g(T_v)$ for all $v \notin S$, the same proof shows an analogous factorisation for $L_S^A(g_{new}, s)$, which thus has a pole, and hence $g_{new}$ is the base-change of some classical modular form $\tilde{g}_{new}$. Finally, since $\phi_g(U_F) = \phi_{g,c}(U_F)$, we see $g$ is the base-change of a $p$-stabilisation of $\tilde{g}_{new}$, and we are done. \hfill $\square$

Remark 4.11. An alternative argument is as follows: if $\phi_g = \phi_{g,c}^\circ$, then the associated Galois representation $\rho_g$ of $G_{F,S}$ attached to $g_{new}$ is fixed under conjugation by $c$, and hence by [BSWa, Lem. A.1] it admits an extension $\tilde{\rho}_g$ to $G_{Q,S}$. If $g$ has sufficiently regular weight (as is always the case for $p$-irregular $g$) then $\rho_g$ - hence $\tilde{\rho}_g$ - is crystalline at $p$ by [Che04, Lem. 3.15]; hence $\tilde{\rho}_g$ is the representation of a classical newform $\tilde{g}_{new}$ by Fontaine–Mazur, and $g_{new}$ base-changes to $\tilde{g}_{new}$.

We get an induced involution on the eigencurve. The action at infinity induces an involution on the full Bianchi weight space $W_F$ that fixes the parallel weight subspace $W$. Since by definition every irreducible component of $E$ contains a classical cuspidal point, every such component necessarily contains a Zariski-dense set of cuspidal classical non-critical points, and by an application of $p$-adic Langlands functoriality ([JN19b, Thm. 3.2.1] or [Han17, Thm. 5.1.6]), we obtain an involution $\mathcal{E} \to \mathcal{E}$.

$$
\begin{array}{c}
\mathcal{E} \\
\downarrow \kappa_F \\
W \\
\downarrow \kappa_F \\
\mathcal{E}
\end{array}
$$

of $E$ over $W$. We highlight that the involution acts trivially on $W$ since the central characters of the classical points of $E$ factor through the norm of $F/Q$.

Let $P_{SE} : G_E \to O_E(E)$. 

$$
P_{SE} : G_E \to O_E(E)
$$
be the 2-dimensional universal pseudo-character carried by \( E \). Then the involution \( \iota : E \to E \) satisfies \( \iota'(P_{SE}) = P_{SE} \), where \( P_{SE}(g) = P_{SE}(c \cdot g \cdot c^{-1}) \) for all \( g \in G_F \). We let \( E^{\text{ns}} \) be the closed analytic subset of \( E \) given by the union of the irreducible components of \( E \) which are not base-change; then as topological spaces, we have \( E = E^{\text{bc}} \cup E^{\text{ns}} \). We highlight that the property being a non-base-change point is open but not closed, and hence \( E^{\text{ns}} \) might be seen as the Zariski analytic closure of the non-base-change points.

By Lemma 4.10 the involution \( \iota \) (preserves) and acts trivially on \( E^{\text{bc}} \), and (preserves) and acts non-trivially on \( E^{\text{ns}} \). It might be possible that \( f \mid F \) belongs also to \( E^{\text{ns}} \), in which case \( f \mid F \) will be a crossing point between \( E^{\text{bc}} \) and \( E^{\text{ns}} \).

We recall that \( \Lambda, \tau_{E^{\text{bc}}, f} \) and \( \tau_{E, f} \) are the completed (strictly Henselian) local rings of \( W \) at \( \kappa_F(f) \), \( E^{\text{bc}} \) at \( f \mid F \) and \( E \) at \( f \mid F \) respectively. If \( f \mid F \in E^{\text{ns}} \), we let \( \tau_{E^{\text{bc}}, f} \) be the completed (strictly Henselian) local ring of \( E^{\text{ns}} \) at \( f \mid F \). Since the involution \( \iota \) fixes \( f \mid F \), it acts on \( \tau_{E, f}, \tau_{E^{\text{bc}}, f} \) and \( \tau_{E^{\text{ns}}, f} \); and from this and (4.11), the (finite flat) structure map \( \kappa_{\mathfrak{p}} : \Lambda \to \tau_{E, f} \) fits into diagrams

\[
\begin{array}{ccc}
\tau_{E, f} & \xleftarrow{\iota^*} & \tau_{E^{\text{bc}}, f} \\
\kappa_{\mathfrak{p}} & & \kappa_{\mathfrak{p}} \\
\Lambda & \xrightarrow{\iota^*} & \Lambda
\end{array}
\]

**Proposition 4.12.** One of the following assertions always holds true:

1. \( \text{rk}_A \tau_{E, f} = 2 \) and \( \tau_{E, f} \cong \tau_{E^{\text{bc}}, f} \cong \tau_{C, f} \), or
2. \( \text{rk}_A \tau_{E, f} \geq 3 \).

**Proof.** Recall that \( \tau_{E^{\text{bc}}, f} \cong \tau_{C, f} \) by Proposition 4.8, and that the rank of \( \tau_{E, f} \) over \( A \) is exactly two by Proposition 4.5. This implies that \( \text{rk}_A \tau_{E, f} = 2 \) and we have a canonical projection \( \tau_{E, f} \twoheadrightarrow \tau_{E^{\text{bc}}, f} \). Moreover \( \tau_{E, f} \) is torsion-free, hence free, over the principal ideal domain \( \Lambda \), so if \( \text{rk}_A \tau_{E, f} = 2 \), then necessarily \( \tau_{E, f} = \tau_{E^{\text{bc}}, f} \cong \tau_{C, f} \), and to prove the proposition it suffices to prove that the case \( \text{rk}_A \tau_{E, f} = 3 \) cannot happen.

Suppose \( \text{rk}_A \tau_{E, f} = 3 \). Then \( \tau_{E, f} \not\cong \tau_{E^{\text{bc}}, f} \). Since the weight map \( \Lambda \to \tau_{E, f} \) is finite flat, Spec \( \tau_{E, f} \) is equidimensional of dimension 1. Since \( \tau_{E, f} \not\cong \tau_{E^{\text{bc}}, f} \) (and both are reduced by [BSWa, Prop. 5.2]), it follows that Spec \( \tau_{E^{\text{bc}}, f} \) is strictly contained in Spec \( \tau_{E, f} \). This implies that Spec \( \tau_{E^{\text{bc}}, f} \) is also equidimensional of dimension 1. Since \( \text{rk}_A \tau_{E^{\text{bc}}, f} = 2 \), we have \( \text{rk}_A \tau_{E^{\text{bc}}, f} = 1 \). In this case \( \tau_{E^{\text{bc}}, f} \cong \tau_{C, f} \) and hence, since \( \iota \) fixes \( \Lambda \), by (4.12) it fixes \( \tau_{E, f} \). It thus fixes a neighbourhood of \( f \mid F \) in \( E^{\text{bc}} \). By assumption this neighbourhood is not base-change, so it must necessarily contain a non-base-change classical point, which is then fixed by \( \iota \). But this contradicts Lemma 4.10. Hence \( \text{rk}_A \tau_{E^{\text{bc}}, f} \not= 1 \) and \( \text{rk}_A \tau_{E, f} \not= 3 \).

We can finally complete the proof of Proposition 4.3(ii).

**Corollary 4.13.** In the Bianchi case, the map \( \text{sp}_\lambda : \mathcal{M}_{F, \Sigma, f} \to \mathcal{M}_{F, \lambda, f} \) is either surjective or its image is 2-dimensional.

**Proof.** By Proposition 4.5, we know that as a \( \Lambda \)-module, \( \mathcal{T}_F, \Sigma, f \) is free of rank \( r = \dim_L [\mathcal{M}_{F, \Sigma, f}] \), and moreover \( r \leq 4 \) (since \( \dim_L [\mathcal{M}_{F, \lambda, f}] = 4 \)). Passing to strictly Henselian completions, and working with cohomology over \( \overline{Q}_p \), rather than \( L \), by directly analogous arguments we deduce that \( \mathcal{T}_{E, f} \) is also free of rank \( r \) over \( A \). But by Proposition 4.12 we have \( r = 2 \) or 4 (and if \( r = 4 \), \( \text{sp}_\lambda \) is surjective).

### 4.4. Gorensteinness of the eigencurve at irregular points

We now study the structure of overconvergent cohomology locally at \( p \)-irregular points of the eigencurve. Let \( \Sigma = \text{Sp}(A) \subset \mathcal{W} \) be a nice affinoid neighbourhood of \( \lambda \), that is, with \( \Lambda \) a principal ideal domain (see after [Bel12, Def. 3.5]).

**Proposition 4.14.** The Hecke algebra \( \mathfrak{g}_{\Sigma, f} \) is Gorenstein. Hence \( \mathcal{T}_{E, f} \) is Gorenstein.
Proof. If \( K = \mathbb{Q} \), then applying \( z \)-projectors to Proposition 4.3(i) and Theorem 3.1, we have Hecke-equivariant isomorphisms
\[
\text{sp}_1 \colon \overline{\mathcal{M}}_{\Sigma,f}^z \rightarrow \mathcal{M}_{\Sigma,f}^z \Rightarrow H_1^c(Y_N, V_\epsilon^c)^{c_{\Sigma,f}}.
\]
Thus the Hecke algebra \( \overline{\mathcal{T}}_{\Sigma,f} \) acting on \( \overline{\mathcal{M}}_{\Sigma,f}^z \) is isomorphic to \( T_{\Sigma,f}^c \) (Definition 2.9), so is Gorenstein by Proposition 2.10.

If \( K = F \) is imaginary quadratic, then by Proposition 4.12 and Corollary 4.13 either:

- \( T_{\Sigma,f} \equiv T_{\Sigma,f}^c \), which is further isomorphic to \( T_{\Sigma,f}^c \) by [Bel12, Thm. 3.30]. In this case we deduce \( \overline{\mathcal{T}}_{\Sigma,f} \equiv \overline{\mathcal{T}}_{\Sigma,f}^c \) by Corollary 4.9, so \( \overline{T}_{\Sigma,f} \equiv \overline{\mathcal{T}}_{\Sigma,f}^c \), which is Gorenstein by above;

- or specialisation is surjective, in which case \( \overline{T}_{\Sigma,f} \equiv \overline{T}_{\Sigma,f}^c \) which again is Gorenstein by Proposition 2.10.

Now \( T_{\Sigma,f}^c \) is Gorenstein by [dJ**+18, 47.21.6], as \( T_{\Sigma,f}^c = T_{\Sigma,f}^c/m_\lambda = T_{\Sigma,f}^c/(m_\lambda) \) by Proposition 4.5. □

As in Remark 2.11, Hida duality on the classical spaces induces a perfect pairing
\[
\overline{\mathcal{M}}_{\Sigma,f}^c \times \overline{\mathcal{T}}_{\Sigma,f}^c \rightarrow \mathcal{L},
\]
so we see that \( \overline{M}_{\Sigma,f}^c \) is the dualising module of \( \overline{T}_{\Sigma,f}^c \). By the general formalism of Gorenstein rings, we deduce that \( \overline{M}_{\Sigma,f}^c \) and its dual \( \overline{M}_{\Sigma,f}^c \) are both free of rank one over \( \overline{T}_{\Sigma,f}^c \).

Fix \( h > 2p_0(\alpha_F) \), so that \( f \) appears in the slope \( \leq h \) overconvergent cohomology. Note that
\[
\overline{M}_{\Sigma,f}^c = H_1^c(Y_N, \mathcal{R}_\Sigma)^c_\lambda \otimes \Lambda_\lambda/m_\lambda \cong [H_1^c(Y_N, \mathcal{R}_\Sigma)^c_\lambda \otimes \overline{T}_{\Sigma,f}^c \otimes \overline{T}_{\Sigma,f}^c]/\Lambda_\lambda/m_\lambda
\]
\[
\cong H_1^c(Y_N, \mathcal{R}_\Sigma)^c_\lambda \otimes \overline{T}_{\Sigma,f}^c/m_\lambda = M_{\Sigma,f}^c \otimes \mathcal{L}^c_{\Sigma,f} \otimes \mathcal{L}_{\Sigma,f}^c/m_\lambda,
\]
which by the above is free of rank 1 over \( \overline{T}_{\Sigma,f}^c \). By Proposition 4.5, it is thus free of rank 1 over \( \overline{T}_{\Sigma,f}^c/m_\lambda \).

By Nakayama’s lemma applied to the (non-maximal) ideal \( m_\lambda \), we deduce that \( M_{\Sigma,f}^c = H_1^c(Y_N, \mathcal{R}_\Sigma)^c_\lambda \) is generated by a single element over \( \overline{T}_{\Sigma,f}^c \).

**Proposition 4.15.** \( H_1^c(Y_N, \mathcal{R}_\Sigma)^c_\lambda \) is free of rank 1 over \( \overline{T}_{\Sigma,f}^c \).

Proof. Since \( H_1^c(Y_N, \mathcal{R}_\Sigma)^c_\lambda \) is projective over the principal ideal domain \( \Lambda_\lambda \) (see [BSWa, Lem. 4.7]), it is free (of some rank \( r \)). It follows that \( \overline{T}_{\Sigma,f}^c/m_\lambda \) is also free as a submodule of \( \text{Mat}_r(\Lambda_\lambda) \). Moreover by (4.14) we know that \( T_{\Sigma,f}^c/m_\lambda \) and \( H_1^c(Y_N, \mathcal{R}_\Sigma)^c_\lambda \otimes \Lambda_\lambda/m_\lambda \) have the same rank (namely, \( r \)) over \( \Lambda_\lambda/m_\lambda \equiv L \), so Nakayama says that they are both free of rank \( r \) over \( \Lambda_\lambda \).

From above, we know \( H_1^c(Y_N, \mathcal{R}_\Sigma)^c_\lambda \) is cyclic over \( \overline{T}_{\Sigma,f}^c \), and thus has form \( \overline{T}_{\Sigma,f}^c/I \) for some ideal \( I \). As no proper quotient of \( \overline{T}_{\Sigma,f}^c \) is free of rank \( r \) over \( \Lambda_\lambda \), we must have \( I = 0 \), hence the result. □

Overconvergent cohomology defines a rigid coherent sheaf \( \mathcal{F} \) on \( \mathcal{E}_\Sigma \) [Han17, Thm. 4.2.2], and Proposition 4.15 describes its algebraic localisation (over the algebraic local ring \( T_{\Sigma,f}^c \)). We wish to lift this in families, for which we must use the rigid localisation. Let \( T_{\Sigma,f}^{x,f} \) be the rigid localisation of \( \mathcal{O}(\mathcal{E}_{\Sigma}^{x,h}) \) at \( x_f \); this is a faithfully flat extension of \( T_{\Sigma,f}^c \), and the two local rings have the same completion [BGR84, §7.3.2(3)]. Tensoring Proposition 4.15 with \( T_{\Sigma,f}^{x,f} \) over \( T_{\Sigma,f}^c \) shows that \( \mathcal{F} \) is locally a line bundle at \( x_f \).

**Corollary 4.16.** After possibly shrinking \( \Sigma \), there exists a connected component \( V^c = \text{Sp}(\overline{T}_{\Sigma,f}^c) \in \mathcal{E}_{\Sigma}^{x,h} \) through \( x_f \) such that:

- \( \mathcal{M}_{\Sigma,f}^c \cong H_1^c(Y_N, \mathcal{R}_\Sigma)^{x,h} \otimes \overline{T}_{\Sigma,f}^c \) is free of rank one over \( \overline{T}_{\Sigma,f}^c \),

- and \( T_{\Sigma,f}^c \) is Gorenstein.

Proof. We obtain \( V^c \) by rigid delocalisation, lifting a local isomorphism to a neighbourhood (e.g. [BSDj, Lem. 2.10]). Since the non-Gorenstein locus is closed, and the eigencurve is Gorenstein at \( x_f \), we can (after possibly further shrinking \( \Sigma \)) take \( T_{\Sigma,f}^c \) Gorenstein. □
5. Multi-variable $p$-adic $L$-functions

We now use Gorensteinness of $T_{\Sigma, V}^\epsilon = T(M_{\Sigma, V}^\epsilon)$ to prove our main result, the variation of $p$-adic $L$-functions over $V^\epsilon$. Combining Corollary 4.16 with the formalism of Gorenstein rings, we deduce that the $\Lambda$-linear dual $[M_{\Sigma, V}^\epsilon]^\vee = \text{Hom}_\Lambda([M_{\Sigma, V}^\epsilon], \Lambda)$ is free of rank one over $T_{\Sigma, V}^\epsilon$. Also note that as $V^\epsilon$ is a connected component, $M_{\Sigma, V}^\epsilon$ is a direct summand of $H^1_{\text{c}}(Y, \mathcal{D}_\Sigma)^{\epsilon \leq h}$.

We can define the Mellin transform $\text{Mel}_\Lambda$ in families, simply by considering coefficients in $\Lambda$ rather than $L$; see [BSWa, §2.4]. For any $\lambda \in \Sigma$, this fits into a commutative diagram

$$
\begin{array}{ccc}
H^1_{\text{c}}(Y, \mathcal{D}_\Sigma) & \xrightarrow{\text{Mel}_\Lambda} & \mathcal{D}({\text{Gal}_p}, \Lambda) \\
\downarrow \text{sp}_{\lambda} & & \downarrow \text{sp}_{\lambda} \\
H^1_{\text{c}}(Y, \mathcal{D}_\lambda) & \xrightarrow{\text{Mel}_\lambda} & \mathcal{D}({\text{Gal}_p}, L),
\end{array}
$$

(5.1)

where the vertical maps are induced from reduction mod $m_{\lambda}$. By restriction, we obtain a map

$$
\text{Mel}_\lambda|_{M_{\Sigma, V}^\epsilon} : M_{\Sigma, V}^\epsilon \longrightarrow \mathcal{D}({\text{Gal}_p}, \Lambda),
$$

(5.2)

which we consider naturally as a (canonical) element $\text{Mel}_\lambda M_{\Sigma, V}^\epsilon \in \mathcal{D}({\text{Gal}_p}, \Lambda) \otimes \Lambda [M_{\Sigma, V}^\epsilon]^\vee$. By picking a generator $\Xi_{\Sigma, V}^\epsilon$ of $[M_{\Sigma, V}^\epsilon]^\vee$ over $T_{\Sigma, V}^\epsilon$, we consider this as an element $L_p\epsilon(V) \in \mathcal{D}({\text{Gal}_p}, \Lambda) \otimes \Lambda T_{\Sigma, V}^\epsilon \cong \mathcal{D}({\text{Gal}_p}, T_{\Sigma, V}^\epsilon)$, which is now not canonical but well-defined up to $(T_{\Sigma, V}^\epsilon)^\times$ (corresponding to changing $\Xi_{\Sigma, V}^\epsilon$).

**Definition 5.1.**

1. For $K = \mathbb{Q}$, define the two-variable $p$-adic $L$-function to be $L_p(V) = L_p^\epsilon(V) + L_p(V) \in \mathcal{D}({\text{Gal}_p}, T_{\Sigma, V}^\epsilon)$.

2. For $K$ imaginary quadratic, the three-variable $p$-adic $L$-function is $L_p(V) \in \mathcal{D}({\text{Gal}_p}, T_{\Sigma, V}^\epsilon)$.

We now show that this interpolates the constructions at single points $y \in V^\epsilon(L)$. For such a point we have a natural specialisation map $\text{sp}_{y} : T_{\Sigma, V}^\epsilon \rightarrow L$ given by reduction modulo $m_y \subset T_{\Sigma, V}^\epsilon$. In particular, this induces a map $\text{sp}_{y} : \mathcal{D}({\text{Gal}_p}, T_{\Sigma, V}^\epsilon) \rightarrow \mathcal{D}({\text{Gal}_p}, L)$.

**Theorem 5.2.** Let $y = y_g \in V^\epsilon(L)$ be any classical point corresponding to a small slope cuspidal eigenform $g$ of weight $\lambda_g$. Then there exists a $p$-adic period $c_g^\epsilon$, depending only on $g$ and $\epsilon$, such that $\text{sp}_{y}(L_p\epsilon(V)) = c_g^\epsilon \cdot L_p\epsilon(g)$.

In particular, this applies when $y = x_f$ is the point corresponding to $f$, and in this case we can (and do) normalise so that $c_f^\epsilon = 1$ for each choice of $\epsilon$.

**Proof.** Let $y_g$ be such a classical point. This property is local at $g$, so we may restrict $L_p\epsilon(V)$ to a neighbourhood of $g$, and without loss of generality assume $y_g$ is the only point of $V^\epsilon$ above $\lambda_g$. In this case reduction modulo $m_{\lambda_g}$ is a map $\text{sp}_{\lambda_g} : M_{\Sigma, V}^\epsilon \rightarrow M_{\Sigma, g}^\epsilon \subset M_{\lambda_g}^\epsilon$.

Now reduction modulo $m_y$ is the same as first reducing modulo $m_{\lambda_g} \subset m_y$ and then reducing modulo $m_y$. We exploit this and the commutative diagram

$$
\begin{array}{ccc}
M_{\Sigma, V}^\epsilon & \xrightarrow{\text{Mel}_\lambda} & \mathcal{D}({\text{Gal}_p}, \Lambda) \\
\downarrow \text{sp}_{y} & & \downarrow \text{sp}_{y} \\
M_{\Sigma, g}^\epsilon & \xrightarrow{\text{Mel}_{\lambda_g}} & \mathcal{D}({\text{Gal}_p}, L) \\
\downarrow & & \downarrow \text{sp}_{y} \\
M_{\lambda_g}^\epsilon [g] & \xrightarrow{\text{Mel}_{\lambda_g}} & \mathcal{D}({\text{Gal}_p}, L)
\end{array}
$$

(5.3)
arising from (5.1). Note we already considered the bottom square in Remark 3.5.

As explained after (5.2), the Mellin transform gives an element \( \text{Mel}_{\Sigma}^{c,V} \in \mathcal{D}(\text{Gal}_p, \Lambda) \otimes_{\Lambda} [\mathcal{M}_{\Sigma,V}]^\vee \).

Similarly the restriction of \( \text{Mel}_{\lambda} \) to \( \mathcal{M}_{\Sigma,g} \) defines a (canonical) element

\[
\text{Mel}_{\Sigma,g}^{c} \in \mathcal{D}(\text{Gal}_p, L) \otimes_{L} [\mathcal{M}_{\Sigma,g}]^\vee.
\]

Since \( \mathcal{M}_{\Sigma,V}^{c} \) is finite free over \( \Lambda \), we have a map \( \text{sp}_{\lambda} : [\mathcal{M}_{\Sigma,V}^{c}]^\vee \to [\mathcal{M}_{\Sigma,g}]^\vee \) given by

\[
[\mathcal{M}_{\Sigma,V}^{c}]^\vee \otimes_{\mathbb{T}_{\Sigma,V}/m_{\lambda}} \cong [\mathcal{M}_{\Sigma,V}^{c}]^\vee \otimes_{\Lambda}/m_{\lambda} \cong [\mathcal{M}_{\Sigma,g}]^\vee.
\]

By the commutativity of the top square in (5.3), we have

\[
\text{sp}_{\lambda} \circ (\text{Mel}_{\Sigma,V}^{c}) = \text{Mel}_{\Sigma,g}^{c} \in \mathcal{D}(\text{Gal}_p, L) \otimes_{L} [\mathcal{M}_{\Sigma,g}]^\vee.
\] (5.4)

Now we bring in the Hecke algebras. Since \([\mathcal{M}_{\Sigma,V}^{c}]^\vee\) is free of rank one over \( \mathbb{T}_{\Sigma,V} \), after reducing modulo \( m_{\lambda} \) we see \([\mathcal{M}_{\Sigma,g}]^\vee\) is free of rank one over \( \mathbb{T}_{\Sigma,g} \), and moreover the generator \( \Xi_{\Sigma,V}^{c} \) we chose above reduces to a generator \( \Xi_{\Sigma,g} \). These choices of generators induce isomorphisms that sit in a commutative diagram

\[
\begin{array}{ccc}
\mathcal{D}(\text{Gal}_p, \Lambda) \otimes_{\Lambda} [\mathcal{M}_{\Sigma,V}]^\vee & \overset{\mathbb{Z}}{\longrightarrow} & \mathcal{D}(\text{Gal}_p, \Lambda) \otimes_{\Lambda} \mathbb{T}_{\Sigma,V} \\
\text{sp}_{\lambda} & \downarrow & \text{sp}_{\lambda} \\
\mathcal{D}(\text{Gal}_p, L) \otimes_{L} [\mathcal{M}_{\Sigma,g}]^\vee & \overset{\mathbb{Z}}{\longrightarrow} & \mathcal{D}(\text{Gal}_p, L) \otimes_{L} \mathbb{T}_{\Sigma,g}.
\end{array}
\] (5.5)

By definition \( L_{p}^{c}(V) \) is the image of \( \text{Mel}_{\Sigma,V}^{c} \) along the top isomorphism. Define \( \mathbb{T}_{\Sigma,g}^{c} \) to be the image of \( \text{Mel}_{\Sigma,g}^{c} \) along the bottom isomorphism; combining (5.4) and (5.5), we have \( \text{sp}_{\lambda}(L_{p}^{c}(V)) = \mathbb{T}_{\Sigma,g}^{c} \).

Now we use the bottom square. Note \( \mathbb{T}_{\Sigma,g}^{c} \) is precisely the element \( \mathcal{L}_{\Sigma,g}^{c} \) from Remark 3.5 (constructed using the generator \( \Xi_{\Sigma,g}^{c} \)). As described in that remark, reducing mod \( m_{g} \) sends \( \mathbb{T}_{\Sigma,g}^{c} \) to \( c_{g}^{c}L_{p}^{c}(g) \) for some \( c_{g}^{c} \in L^{c} \), whence

\[
\text{sp}_{\lambda}(L_{p}^{c}(V)) = \text{sp}_{\lambda}(L_{p}^{c}(V)) \mod m_{g} = \mathbb{T}_{\Sigma,g}^{c} \mod m_{g} = c_{g}^{c} \cdot L_{p}^{c}(g),
\]

as required. \( \square \)

**Remarks 5.3.**

- Let \( \mathcal{H}(\text{Gal}_p) \) be the rigid analytic space of \( p \)-adic characters on \( \text{Gal}_p \); it is 1-dimensional for \( K = \mathbb{Q} \) and 2-dimensional for \( K = F \) imaginary quadratic. Under the Amice transform (see [ST01]), the distribution \( L_{p}(V) \) gives a rigid analytic function \( \mathcal{L}_{p} : V \times \mathcal{H}(\text{Gal}_p) \to L \), which is the function described in the introduction.
- From the interpolation property satisfied by the \( L_{p}^{c}(g) \), it follows immediately that \( L_{p}(V) \) interpolates all the critical \( L \)-values of all classical forms \( g \) in \( V \), recalling that when \( K = \mathbb{Q} \), the functions \( L_{p}^{c}(g) \) and \( L_{p}(g) \) will interpolate the critical \( L \)-values corresponding to even and odd characters respectively.
- Our construction is clearly inspired by [Bel12, Rem. 4.16]. It is possible to give a more explicit, and less conceptual, definition of \( L_{p}^{c}(V) \) by exhibiting an explicit eigenclass \( \Phi_{V}^{c} \in H_{\text{c}1}(Y_{N}, \mathcal{D}_{\Sigma}^{c} : \text{sh} \) interpolating the eigenclasses \( \Phi_{g} \) as \( g \) varies in \( V \). This is the approach explained in detail in [Bel12]. One takes a generator \( \Psi_{V} \) of \( \mathcal{M}_{\Sigma,V}^{c} \) over \( \mathbb{T}_{\Sigma,V}^{c} \) using Proposition 4.15, and observes that this interpolates generators of \( \mathcal{M}_{\Sigma,g}^{c} \) over \( \mathbb{T}_{\Sigma,g}^{c} \) (via (4.15)). The class \( \Phi_{V}^{c} \) is then obtained by modifying by explicit Hecke operators at \( p \), as in the definition of \( \Phi \) in [Bel12, §4.3.3]. The multi-variable \( p \)-adic \( L \)-function \( L_{p}^{c}(V) \) is then the Mellin transform of \( \Phi_{V}^{c} \).
6. \( p \)-adic Artin formalism

We now distinguish between the classical and Bianchi cases; as such, let \( F \) be an imaginary quadratic field in which \( p \) splits, and fix \( f \), as studied above, to be a classical modular form, with base-change \( f_{/F} \). The classical complex \( L \)-functions of \( f \) and \( f_{/F} \) are related by Artin formalism

\[
L(f_{/F}, s) = L(f, s)L(f_{/Q}, s),
\]

where \( \chi_{/Q} \) is the quadratic character associated to \( F_{/Q} \). For the \( p \)-adic picture, it is more convenient to adopt the formulation of Tate’s thesis, in which case for a Hecke character \( \varphi \) of \( Q \), it becomes

\[
L(f_{/F}, \varphi \circ N_{F/Q}) = L(f, \varphi)L(f, \varphi\chi_{/Q}). \tag{6.1}
\]

We have a (two-variable) \( p \)-adic \( L \)-function \( L_p(f_{/F}) \) on \( \text{Gal}_p(F) \) attached to the base-change. Inspired by (6.1), we define a (one-variable) locally analytic distribution \( L_p^{\text{cy}}(f_{/F}) \) on \( \text{Gal}_p(Q) \) by

\[
\int_{\text{Gal}_p(Q)} \phi \cdot dL_p^{\text{cy}}(f_{/F}) = \int_{\text{Gal}_p(F)} (\phi \circ N_{F/Q}) \cdot dL_p(f_{/F}).
\]

This operation is the restriction of \( L_p(f_{/F}) \) to the cyclotomic line inside \( \text{Cl}_F(p^\infty) \).

Moreover, on the classical side, we have twisted \( p \)-adic \( L \)-functions arising from twisted Mellin transforms; in particular, there is a \( p \)-adic \( L \)-function \( L_p(f \otimes \chi_{/Q}) \) interpolating the twisted critical \( L \)-values \( L(f, \chi \cdot \chi_{/Q}, j) \) for \( \chi \) of \( p \)-power conductor. This is explained in detail in [BSWa, §7.1].

We then have the following \( p \)-adic analogue of (6.1).

**Theorem 6.1.** We have an equality

\[
L_p^{\text{cy}}(f_{/F}) = L_p(f)L_p(f \otimes \chi_{/Q})
\]

of distributions on \( \text{Gal}_p(Q) \).

**Remark 6.2.** Note that both sides are really only well-defined up to scalars (up to changing the periods), so this equality is more properly seen as an equality of lines in the (uncountable dimensional) vector space \( D(\text{Gal}_p(Q), L) \); this is explained in detail in [BSWa, §7.2].

We actually deduce this from the analogous result in families. Again, using twisted Mellin transforms (from [BSWa, §7.1]), we obtain a twisted \( p \)-adic \( L \)-function \( L_p(V \otimes \chi_{/Q}) \) over \( V \).

**Proposition 6.3.** Up to shrinking \( \Sigma \) and renormalising by \( \mathcal{O}(V)^* \), we have an equality

\[
L_p^{\text{cy}}(V_{/F}) = L_p(V)L_p(V \otimes \chi_{/Q})
\]

of \( \Lambda \)-valued distributions on \( \text{Gal}_p(Q) \).

**Proof.** The slope of a Coleman family at \( p \) is locally constant; shrinking \( \Sigma \), we may assume:

1. the slope of every point of \( V \) is \( v_p(a_p(f)) \),
2. and a Zariski-dense set of classical points \( g \) in \( V \) have ‘extremely’ small slope, that is, \( v_p(a_p(g)) = v_p(a_p(g)) < \frac{k_g+1}{2} \), where \( g \) has weight \( k_g + 2 \).

It follows that at all such \( g \in V \), and up to renormalising the \( p \)-adic periods, we have \( L_p^{\text{cy}}(g_{/F}) = L_p(g)L_p(g \otimes \chi_{/Q}) \), since in this case both sides interpolate the same classical \( L \)-values (using (6.1)) and are admissible of order \( v_p(a_p(f)) \) (which, under (2), is enough to determine them uniquely). This is proved fully in [BSWa, §7.3]. The result then follows exactly as in the proof of Prop. 7.9 op. cit., where the result is given for \( p \)-regular forms. \( \square \)

Theorem 6.1 then follows by specialising Proposition 6.3 at the point \( f \).
Appendix A. Towards Hida duality for modular symbols

Let $\mathcal{M}$ be a space with an action of the abstract Hecke algebra $\mathbb{H}_N$ (see §2.1), and let $\mathcal{T}(\mathcal{M})$ be the image of $\mathbb{H}_N$ in $\text{End}(\mathcal{M})$. In nice situations, one hopes for a duality between $\mathcal{M}$ and $\mathcal{T}(\mathcal{M})$. If $\mathcal{M}$ is a space of (classical or Bianchi) modular forms of weight $\lambda$, for example, this is given by Hida duality, arising from the perfect pairing sending $(f,T)$ to the leading Fourier coefficient of $Tf$. This duality gives good control over the structure of the Hecke algebra.

We would like this duality in families. For $\text{GL}_2/\mathbb{Q}$, there are two methods for variation in families, via overconvergent modular forms or symbols. The former has a good notion of $q$-expansions, allowing us to extend Hida duality in families [Col97, Prop.B.5.6]. By $^2$[Bel12, Thm. 3.30], the Hecke algebras acting on modular forms and modular symbols coincide, so we (indirectly) get control on the Hecke algebra for modular symbols (as explained in Proposition 4.5).

In the Bianchi setting, no theory of overconvergent modular forms exists; thus to study families we must use modular symbols, which have no notion of $q$-expansions. In this appendix, we prove results towards an analogue of Hida duality for modular symbols in families.

Let $\Sigma = \text{Sp}(\Lambda) \subset W$ be an affinoid in the weight space for $\text{GL}_2$, which we identify with its image in the parallel Bianchi weight space. For further notation, we refer to §2.4. We use evaluation maps on modular symbols to exhibit a pairing between $\mathcal{M}_\Sigma = H^1_\Sigma(Y_N, \mathcal{D}_\Sigma)$ and its Hecke algebra $\mathcal{T}_\Sigma = \mathcal{T}(\mathcal{M}_\Sigma)$, and prove that it is non-degenerate locally at any finite slope classical cuspidal eigenform. In the main text, this result provides control over the size of this Hecke algebra in Proposition 4.5.

For simplicity of exposition, we focus on the (more difficult) Bianchi case. However, all of these results also hold, with directly analogous proofs, for modular symbols for $\text{GL}_2/\mathbb{Q}$.

A.1. Functionals on the cohomology. We define evaluation maps, functionals on the cohomology, as follows. Recall that $\text{Symb}_\Gamma(\mathcal{D}_\Sigma)$ is the space of $\Gamma$-invariant homomorphisms $\text{Div}^0(\mathcal{P}(F)) \to \mathcal{D}_\Sigma$; see [Wil17, BSWa] for further notation/definitions (for example of the arithmetic groups $\Gamma_i$).

(i) We have an identification of the cohomology with modular symbols (see [BSWa, §2.4])

$$H^1_\Sigma(Y_N, \mathcal{D}_\Sigma) \cong \bigoplus_{i \in \text{Cl}(F)} \text{Symb}_\Gamma_i(\mathcal{D}_\Sigma).$$

(ii) Evaluation at $\{0\} - \{\infty\}$ defines a map $\Theta_i \text{Symb}_\Gamma(\mathcal{D}_\Sigma) \to \Theta_i \mathcal{D}_\Sigma$.

(iii) Taking the sum, we get a map $\Theta_\mathcal{D}_\Sigma \to \mathcal{D}_\Sigma$.

(iv) Finally, taking total measure $\mu \mapsto \int \mu$ over $\mathcal{O}_F \otimes_{\mathbb{Z}} \mathbb{Z}_p$, we get a map $\mathcal{D}_\Sigma \to \mathcal{O}(\Sigma) = \Lambda$.

Write $\text{Ev}_\Sigma : H^1_\Sigma(Y_N, \mathcal{D}_\Sigma) \to \Lambda$ for the composition of all of these maps. Since modular symbols are homomorphisms, $\text{Ev}_\Sigma$ is a linear map of $\Lambda$-modules. Similarly, for any single weight $\mu \in \Sigma$ we have an analogous map $\text{Ev}_\mu : H^1_\mu(Y_N, \mathcal{D}_\mu) \to \mathcal{Q}_\mu$ of $\mathcal{Q}_\mu$-vector spaces; and we have a commutative diagram

$$
\begin{array}{ccc}
H^1_\Sigma(Y_N, \mathcal{D}_\Sigma) & \xrightarrow{\text{Ev}_\Sigma} & \mathcal{O}(\Sigma) \\
\downarrow \text{sp}_\mu & & \downarrow \text{sp}_\mu \\
H^1_\mu(Y_N, \mathcal{D}_\mu) & \xrightarrow{\text{Ev}_\mu} & \mathcal{Q}_\mu
\end{array}
$$

where $\text{sp}_\mu$ are the natural maps induced by evaluation at $\mu$.

---

$^2$[Bel12, Thm. 3.30] is an instance of $p$-adic Langlands functoriality, see e.g. [JN19b, Thm. 3.2.1]. This says that if one has two eigenvarieties $\mathcal{E}, \mathcal{E}'$, a Zariski-dense set of classical points $\mathcal{E}_\lambda \subset \mathcal{E}$, and a ‘Langlands functoriality’ $\mathcal{E}_\lambda \to \mathcal{E}'$, then this interpolates to a map $\mathcal{E} \to \mathcal{E}'$. This gives inverse maps between the Coleman–Mazur eigencurve of modular forms and the $\text{GL}_2/\mathbb{Q}$-eigencurve of modular symbols, hence an identification of the respective Hecke algebras.
Remark A.1. Alternatively, $E_{\nu,\mu}$ is exactly the composition $E_{\nu,\mu} \circ \rho$ in [BSW19b, §11], for $\nu$ the trivial character. Both $E_{\nu,\mu}$ and $E_{\Sigma}$ are closely related to the total measure of the Mellin transform from §3.2 (though not identical; in Mel, there is a restriction to $(O_F \otimes \mathbb{Z}_p)^{\times}$ that we omit above).

Now let $g$ be any non-critical slope cuspidal Bianchi eigenform (over $F$) of parallel weight $\mu = (k_\mu,k_\mu)$, where $k_\mu \geq 2$. As in [Wil17, §1.2], via the Fourier expansion we have an attached $L$-function $L(g,s)$. (Note that this is not normalised; e.g. if $\gamma \in \mathbb{C}$ is a scalar, then $L(\gamma g,s) = \gamma L(g,s)$). Via §2.3, after fixing a period $\Omega_g$ we obtain an attached algebraic class $\phi_g \in H^1_c(Y_N, \mathcal{O}^\nu(L))_g$. Via non-critical slope and Theorem 3.1, we have a unique lift $\Phi_g$ of $\phi_g$ to $H^1_c(Y_N, \mathcal{D}_\mu)_g$.

Proposition A.2. We have $E_{\nu,\mu}(\Phi_g) \neq 0$.

Proof. Write $\phi_g = (\phi_{ig}) \in \Phi_{ig}(F)\text{Sym}^T_v(V^\nu(L))$ in terms of modular symbols. From the definition, we see that $E_{\nu,\mu}(\Phi_g) = \sum_\ell \phi_{ig}^{(0 \to \infty)}(1)$. (In the notation of [Wil17, §2], this is evaluation at $Y^L\bar{Y}^L$). By [Wil17, Thm. 2.11], we then have

$$E_{\nu,\mu}(\Phi_g) = \sum_{\ell \in \text{Cl}(F)} \phi_{ig}^{(0 \to \infty)}(1) = \left[ \frac{(-1)^{k_\mu-8}(\pi i)^2}{D\Omega_g \#\mathcal{O}_F} \right] L(g,1).$$

This is at the bottom of the critical range. Since $k_\mu \geq 2$, this value is far from the centre of symmetry $s = 1 + k_\mu/2$ for the functional equation, and thus $L(g,1) \neq 0$ (since the functional equation reflects this value into a half-plane with an absolutely convergent Euler product). \hfill \Box

A.2. Pairings between Hecke algebras and cohomology.

Definition A.3. By linearity of $E_{\nu,\Sigma}$ and the Hecke action, we may define a pairing

$$\langle -,- \rangle : T_\Sigma \times H^1_c(Y_N, \mathcal{D}_\Sigma) \rightarrow \Lambda = \mathcal{O}(\Sigma)$$

of $\Lambda$-modules by $\langle T, \Phi \rangle_\Sigma = E_{\nu,\Sigma}(T \cdot \Phi)$. Similarly for each $\mu \in \Sigma$, letting $T_\mu = T(H^1_c(Y_N, \mathcal{D}_\mu))$ we have a pairing

$$\langle -,- \rangle_\mu : T_\mu \times H^1_c(Y_N, \mathcal{D}_\mu) \rightarrow \mathcal{D}_\mu$$

of $\mathcal{D}_F$-vector spaces given by $\langle T, \Phi \rangle_\mu = E_{\nu,\mu}(T \cdot \Phi)$.

Proposition A.4. Let $T \in T_\Sigma$ and $\Phi \in H^1_c(Y_N, \mathcal{D}_\Sigma)$. If $\mu \in \Sigma$, then

$$\text{sp}_\mu((T,\Phi)_\Sigma) = (\text{sp}_\mu(T),\text{sp}_\mu(\Phi))_\mu.$$ 

Proof. This follows from (A.1) and Hecke-equivariance of $\text{sp}_\mu$ on cohomology. \hfill \Box

Let $g \in S_\mu(\Gamma_0(N))$ be a non-critical slope cuspidal Bianchi eigenform, with $\mu = (k_\mu,k_\mu)$ for $k_\mu \geq 2$. Suppose that $g$ is the $p$-stabilisation of a $p$-regular newform for $\Gamma_0(M)$, with $N = Mp$. The restriction of $(\langle -,- \rangle_\mu)$ to $H^1_c(Y_N, \mathcal{D}_\mu)_g$ factors through the quotient $T_{\mu,g} = T(H^1_c(Y_N, \mathcal{D}_\mu)_g)$, giving a pairing

$$\langle -,- \rangle_{\mu,g} : T_{\mu,g} \times H^1_c(Y_N, \mathcal{D}_\mu)_g \rightarrow \mathcal{D}_\mu.$$

Proposition A.5. For $g$ as above, the pairing $\langle -,- \rangle_{\mu,g}$ is perfect.

Proof. The conditions ensure that $H^1_c(Y_N, \mathcal{D}_\mu)_g$ is a line, generated by $\Phi_g$. Hence $T_{\mu,g}$ is also a line, with each $T \in T_{\mu,g}$ acting as a scalar. By Proposition A.2 and linearity of $E_{\nu,\mu}$, it follows that $\langle T, \Phi_g \rangle_\mu = 0$ only if $T = 0$ in the quotient $T_{\mu,g}$. Thus the restriction $\langle T,- \rangle_{\mu,g}$ defines an injection

$$T_{\mu,g} \rightarrow [H^1_c(Y_N, \mathcal{D}_\mu)_g]^\vee.$$ 

Since both have dimension 1, this is an isomorphism and $\langle -,- \rangle_{\mu,g}$ is perfect. \hfill \Box
A.3. Non-degeneracy. Now let $f$ be any classical base-change cuspidal Bianchi eigenform of level $\Gamma_0(N)$ that is a $p$-stabilisation of a newform for $\Gamma_0(M)$, with $N = Mp$. For $h \gg 0$, via $p$-adic base-change there is a point $x_f$ in a local piece $E^h_{\Sigma} = \text{Sp}(T^h_{\Sigma,V})$ of the parallel weight Bianchi eigenvariety (see §4.3.2 or [BSWa, §5.2]). Let $V = \text{Sp}(T_{\Sigma,V})$ be the connected component of $E^h_{\Sigma}$ containing $x_f$. Shrinking $\Sigma$, we may assume $x_f$ is the only point of $V$ above $\lambda = \kappa_F(x_f)$. Since $f$ is base-change, the connected component $V$ is a rigid curve (possibly with multiple irreducible components).

Recall the notion of a very Zariski-dense subset from, for example, [BB, Def. A.3].

Lemma A.6. Up to shrinking $\Sigma$, there exist very Zariski-dense sets $\Sigma^\text{cl} \subset \Sigma(\bar{\mathbb{Q}}_p)$ and $V^{\text{cl}} = \kappa_F^{-1}(\Sigma^\text{cl}) \subset V(\bar{\mathbb{Q}}_p)$ such that each $y \in V^{\text{cl}}$ is classical, corresponding to a cuspidal Bianchi eigenform $g$ such that:

- $g$ has non-critical slope at $p$;
- $g$ has weight $\mu = (k_\mu, k_\mu) \in \Sigma^\text{cl}$ with $k_\mu \geq 2$;
- $g$ is the $p$-stabilisation of a $p$-regular newform of level $\Gamma_0(M)$.

Proof. Let $\Sigma^\text{cl}$ be the set of classical weights $\mu = (k_\mu, k_\mu) \neq \lambda \in \Sigma$ with $k_\mu > 2h$ and $k_\mu \geq 2$. This set is very Zariski-dense in $\Sigma$. Let $V^{\text{cl}} = \kappa_F^{-1}(\Sigma^\text{cl})$. As $h < k_\mu/2 < k_\mu + 1$, every $y \in V^{\text{cl}}$ has non-critical slope. No $y \in V^{\text{cl}}$ of weight $\mu \in \Sigma^\text{cl}$ can be irregular or new at any $p|\mu$, since irregular forms (resp. $p$-new forms) have slope $h = (k_\mu + 1)/2$ (resp. $h = k_\mu/2$) at $p|\mu$ by (resp. [BSW19a, Cor. 4.8]); and these slopes are impossible, since $h < k_\mu/2$. Thus each such $y$ is a $p$-regular $p$-stabilisation.

It remains to show that each $y \in V^{\text{cl}}$ is a $p$-regular $p$-stabilisation of a newform. We argue analogously to [Bel12, Lem. 2.7]. We know every $y \in V^{\text{cl}}$ arises from a newform at level $n$ with $\mathfrak{n}|MOF$. For every such $n$, applying $p$-adic Langlands functoriality to "stabilisation to tame level $M$" gives a closed immersion $i_n : E^h_{\Sigma,n} \hookrightarrow E^h_{\Sigma}$ from the tame level $n$ to tame level $MOF$ eigencurves, and since $x_f$ is new at level $M$, it is not in the image of any $i_n$. We can thus shrink $\Sigma$ to avoid the image of $i_n$ for any $\mathfrak{n}|MOF$, whence all points in a neighbourhood of $x$ are new at level $M$. $\square$

Let $\mathcal{M}_{\Sigma,V} = H^1_{\text{c}}(Y_N, \mathcal{D}_\Sigma)^{\text{sh}} \otimes_{E^h_{\Sigma}} T_{\Sigma,V}$. As $V$ is a connected component, $T_{\Sigma,V}$ and $\mathcal{M}_{\Sigma,V}$ are direct summands of $T_{\Sigma}$ and $H^1_{\text{c}}(Y_N, \mathcal{D}_\Sigma)^{\text{sh}}$ respectively, and $E_{\Sigma,V}$ can be restricted to $\mathcal{M}_{\Sigma,V}$. We thus obtain a pairing

$$ (-,-)_{\Sigma,V} : T_{\Sigma,V} \times \mathcal{M}_{\Sigma,V} \rightarrow \Lambda. $$

If $y \in V(\bar{\mathbb{Q}}_p)$, we use a subscript $y$ for the (algebraic) localisation at the corresponding maximal ideal $\mathfrak{m}_y \subset T_{\Sigma,V}$ (thus if $y$ is classical, corresponding to an eigenform $g$, we have $-y = -g$).

Proposition A.7. Let $y \in V^{\text{cl}}$ correspond to an eigenform $g$ of weight $\mu$. The weight map $\kappa_F : V \rightarrow \Sigma$ is étale at $y$, we have $T_{\Sigma,y}/\mathfrak{m}_y \cong T_{\mu,y}$, and we have an isomorphism of 1-dimensional vector spaces

$$ \mathcal{M}_{\Sigma,V} \otimes_{T_{\Sigma,V}} T_{\Sigma,V}/\mathfrak{m}_y \cong H^1_{\text{c}}(Y_N, \mathcal{D}_\Sigma)^{\text{sh}} \otimes_{\mathfrak{m}_y} \Lambda, \quad \Lambda/\mathfrak{m}_y \cong H^1_{\text{c}}(Y_N, \mathcal{D}_\Sigma). \tag{A.2} $$

Proof. The étaleness is proved in [BSWa, Thm. 4.5], which also gives the second isomorphism in (A.2). The first isomorphism is an immediate consequence of étaleness. The statement on the Hecke algebra is proved as in [Bel12, Prop. 4.6] (see also [BSWa, Thm. 6.13]). $\square$

By Proposition A.7, if $\mu \in \Sigma^\text{cl}$, the fibre product $V \times_{\Sigma} \text{Sp}(\Lambda/\mathfrak{m}_y)$ is étale over $\text{Sp}(\Lambda/\mathfrak{m}_y)$, and hence

$$ T_{\Sigma,V} \otimes_{\Lambda} \Lambda/\mathfrak{m}_y = T_{\Sigma,V}/\mathfrak{m}_y \cong \bigoplus_{\mathfrak{m} \in \kappa_F^{-1}(\mu)} T_{\Sigma,V}/\mathfrak{m}. $$
Hence
\[ M_{\Sigma,V} \otimes_{\Lambda} \Lambda/m_\mu \cong M_{\Sigma,V} \otimes_{\Sigma,V} T_{\Sigma,V} \otimes_{\Lambda} \Lambda/m_\mu \cong M_{\Sigma,V} \otimes_{\Sigma,V} T_{\Sigma,V} / m_\mu \]
\[ \cong M_{\Sigma,V} \otimes_{\Sigma,V} \bigoplus_{m \in \Lambda / \operatorname{det}(m)} T_{\Sigma,V} / m_\mu \]
\[ \cong \bigoplus_{m \in \Lambda / \operatorname{det}(m)} H^1(Y_N, \mathcal{D}_\Sigma) \otimes_{\Sigma,V} T_{\Sigma,V} / m_\mu \]
\[ \cong \bigoplus_{m \in \Lambda / \operatorname{det}(m)} H^1(Y_N, \mathcal{D}_\mu)_z, \quad (A.3) \]
where the last isomorphism is (A.2).

**Proposition A.8.** We have an injective map of $\Lambda$-modules
\[ T_{\Sigma,V} \ni [M_{\Sigma,V}]^\vee \]
\[ T \mapsto (T, -)_{\Sigma,V}. \]

**Proof.** Suppose there exists $T \in T_{\Sigma,V}$ such that $(T, \Phi)_{\Sigma,V} = 0$ for all $\Phi \in M_{\Sigma,V}$. Let $\mu \in \Sigma^1$. For any fixed $y \in \Sigma^{\mu}$, we may choose a generator $\Phi_y$ of the line $H^1(y_N, \mathcal{D}_\mu)_y$, and consider this as an element of the direct sum $\otimes_{m \in \Lambda / \operatorname{det}(m)} H^1(Y_N, \mathcal{D}_\mu)_{m_\mu}$, by taking 0 in the other summands. By (A.3), reduction modulo $m_\mu$ defines a surjective map from $M_{\Sigma,V}$ to this direct sum, so we can lift to a class $\tilde{\Phi}_y \in M_{\Sigma,V}$ such that $\sp_{m_\mu}(\tilde{\Phi}_y)$ equals $\Phi_y$ in the $y$ summand of (A.3), and equals 0 in the summands for $z \neq y$. By Proposition A.4, we have
\[ 0 = \sp_{m_\mu}((T, \tilde{\Phi}_y)_{\Sigma,V}) = (\sp_{m_\mu}(T), \sp_{m_\mu}(\tilde{\Phi}_y))_{\mu,y} = (\sp_{m_\mu}(T), \Phi_y)_{\mu,y}, \]
where $\sp_{m_\mu}(T)$ is the image of $T$ in $T_{\Sigma,V} / m_\mu$. Since $(\cdot, -)_{\mu,y}$ is perfect and $\Phi_y$ is a generator, this forces $\sp_{m_\mu}(T) = 0$, that is, $T \in m_\mu \subset T_{\Sigma,V}$. Since $\mu$ and $y$ were arbitrary, we deduce that $T \in \bigcap_{y \in \Sigma^{\mu}} m_y$, that is, $T$ is a rigid analytic function on $V$ that vanishes on $V^{\mu}$. Since this set is Zariski-dense, we conclude $T = 0$, and hence that the given map is injective, as desired. \[ \square \]

**A.4. Consequences for Hecke algebras.** Let $f, V$ and $\Sigma = \operatorname{Sp}(\Lambda)$ be as above. Let $M_{\Sigma,f} := H^1(Y_N, \mathcal{D}_\Sigma)_f$ and $\overline{M}_{\Sigma,f} := M_{\Sigma,f} \otimes_{\Lambda} \Lambda/m_\mu$. Note that by truncating the long exact sequence attached to specialisation $\mathcal{D}_\Sigma \to \mathcal{D}_\lambda$ (see (4.2)), this injects into $H^1(Y_N, \mathcal{D}_\Sigma)_f$ and thus has finite dimension.

**Proposition A.9.** Let $r = \dim \overline{M}_{\Sigma,f}$. Up to further shrinking $\Sigma$, the Hecke algebra $T_{\Sigma,V}$ is free of some rank $s \leq r$ over $\Lambda$, and the local Hecke algebra $T_{\Sigma,f}$ is free of rank $s$ over $\Lambda$.

**Proof.** By Nakayama’s lemma, $M_{\Sigma,f}$ is generated by $r$ elements over $\Lambda$. It is projective over $\Lambda$ (see [BSWa, Lem. 4.7]), hence free of rank $r$ as $\Lambda$ is a principal ideal domain. Delocalising, up to shrinking $\Sigma$ and $V$ we have $M_{\Sigma,V}$ (hence $[M_{\Sigma,V}]^\vee$) is free of rank $r$ over $\Lambda$. Further shrinking $\Sigma$ if necessary, we may always take $\Lambda$ to be a principal ideal domain (see discussion after [Bel12, Def. 3.5]). By Proposition A.8, we know there is an injection $T_{\Sigma,V} \ni [M_{\Sigma,V}]^\vee$ of $\Lambda$-modules; hence, as a submodule of a finite free module over a principal ideal domain, $T_{\Sigma,V}$ is also free over $\Lambda$, of some rank $s \leq r$. The local result follows by localising at $f$, since $x_f$ is the unique point of $V$ above $\lambda$. \[ \square \]

We obtain the following refinement of [Che04, Lem. 6.3.4].

**Corollary A.10.** If $f$ is non-critical, we have $T_{\Sigma,f} / m_\lambda \cong \overline{T}_{\Sigma,f} := T(\overline{M}_{\Sigma,f})$.

**Proof.** The map $H^1(Y_N, \mathcal{D}_\Sigma) \to H^1(Y_N, \mathcal{D}_\Sigma)_f \otimes_{\Lambda} \Lambda/m_\lambda$ is Hecke equivariant, so we get a natural map $T_{\Sigma,f} / m_\lambda \to \overline{T}_{\Sigma,f}$; it surjects since (by definition) $\overline{T}_{\Sigma,f}$ is the image of $H^1_{\Sigma}$ in the endomorphism ring.

We know $\overline{M}_{\Sigma,f} \ni H^1(Y_N, \mathcal{D}_\mu)_f \cong H^1(Y_N, \mathcal{D}_\lambda)_f$, where the first injection follows from truncating the long exact sequence attached to $\mathcal{D}_\Sigma \to \mathcal{D}_\lambda$ (see Proposition 4.3) and the second isomorphism is
non-criticality. By Hida duality and Remark 2.11, we thus know $\mathcal{T}_{\Sigma,f}$ has dimension $r = \dim \mathcal{M}_{\Sigma,f}$ over $\Lambda/m_\lambda$. Now $\mathcal{T}_{\Sigma,f}/m_\lambda$ has dimension $s \leq r$ by Proposition A.9, and surjects onto $\mathcal{T}_{\Sigma,f}$; thus $s = r$ and the map is an isomorphism. □

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