Analyzing and Aligning German Compound Nouns

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Abstract
In this paper, we present and evaluate an approach for the compositional alignment of compound nouns using comparable corpora from technical domains. The task of term alignment consists in relating a source language term to its translation in a list of target language terms with the help of a bilingual dictionary. Compound splitting allows to transform a compound into a sequence of components which can be translated separately and then related to multi-word target language terms. We present and evaluate a method for compound splitting, and compare two strategies for term alignment (bag-of-word vs. pattern-based). The simple word-based approach leads to a considerable amount of erroneous alignments, whereas the pattern-based approach reaches a decent precision. We also assess the reasons for alignment failures: in the comparable corpora used for our experiments, a substantial number of terms has no translation in the target language data; furthermore, the non-isomorphic structures of source and target language terms cause alignment failures in many cases.

Keywords: Compound splitting, term alignment, comparable corpora

1. Introduction
In many technical fields, bilingual terminological resources are scarce or not up-to-date. As a consequence, translators working in such domains spend much time to develop bilingual term lists. The project TTC (Terminology Extraction, Translation Tools and Comparable Corpora)\(^1\) aims at providing a tool chain for the automatic extraction of domain-specific term candidates and their alignment. The task of term alignment consists in finding the equivalent of a source language term in a set of target language terms, usually relying on a bilingual (general language) dictionary. In terminologies of Germanic languages (e.g. German), compounds are frequent. However, they usually have no isomorphic equivalents in other languages, but rather correspond to multi-word terms. By splitting a compound into its components, it is transformed into a multi-morpheme unit, whose components can be translated individually and then related to target language term candidates. We present a compound splitter to transform compound nouns into pseudo multi-word terms, which are input to the term alignment (German–English). We evaluate term-translation patterns obtained by a simple word-based alignment approach and then use promising patterns as a basis for pattern-based term alignment.

2. Related work
We adapt the compositional approach presented by (Morin and Daille, 2009) where terms are rewritten using the relationship between relational adjectives and nouns for the alignment of French and Japanese multi-word terms. In (Weller et al., 2011), we use this method to align neoclassical terms. An alternative approach is the use of context vectors (Déjean and Gaussier, 2002): assuming that terms occur in similar contexts in source and target language, context vectors of source terms are translated and compared with those of target terms. Terms with similar context vectors are then aligned.

3. Terminology extraction
Since parallel corpora are rare in many scientific domains, we use comparable corpora as a basis for term extraction. In order to accommodate all TTC languages\(^2\), we aim at keeping linguistic pre-processing as simple as possible. At the same time, a merely statistical tool based on word forms and word sequences is not likely to provide output of sufficient quality. A “slim solution” applicable to all languages is the extraction of term candidates based on patterns formulated in terms of part-of-speech (POS) tags. Corpus collections from the domain of wind energy are crawled (de Groc, 2011), and then undergo tokenization, POS-tagging and lemmatization (Schmid, 1994). We then use language-specific POS-patterns for the extraction of term candidates. For the task of aligning German compound nouns, we extract English nominal phrases (table 1). In order to reduce data sparsity, we work with lemmatized forms rather than inflected forms. The extracted term candidates are not necessarily domain-relevant. Assuming that domain-specific terms predominantly occur in texts of their domain, but not in texts of general language, we estimate the domain-specificity of term candidates by a comparison with general language corpora: the quotient of the respective relative frequencies in the domain-specific and in the general language corpora indicates whether a term candidate can be considered domain-relevant (Ahmad et al., 1992).

| EN       | DE      |
|----------|---------|
| NOUN     | ADJ NOUN NOUN |
| ADJ      | NOUN PRP NOUN |
| NOUN NOUN| ADJ NOUN PRP |
| NOUN NOUN NOUN | NOUN PRP ADJ NOUN |

Table 1: Patterns for monolingual term extraction.

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\(^1\)http://www.ttc-project.eu

\(^2\)English, French, Spanish, German, Latvian, Russian, Chinese.
Table 2: Splitting possibilities for the words Kühlseinrichtung (cooling device) and Gezeitenkraftwerk (tidal power station).

| compound               | analysis              | score |
|------------------------|-----------------------|-------|
| kühlteinrichtung       | kühl_ADJ einrichtung_NN | 869.3 |
| kühlleinrichtung       | kühlen_V einrichtung_NN | 251.4 |
| kühleinrichtung        | kühleinrichtung_NN    | 6     |
| gezeitenkraftwerk      | gezeiten_NN kraft_NN werk_NN | 984.9 |
| gezeitenkraftwerk      | gezeiten_NN kraftwerk_NN | 324.4 |
| gezeitenkraftwerk      | gezeitenkraftwerk_NN   | 243.6 |
| gezeitenkraftwerk      | gezeitenkraftwerk_NN   | 33    |

4. Compound splitting

For compound splitting, we use a frequency-based approach which has been described in (Koehn and Knight, 2003). The components of a compound also are individual words and consequently should appear in our corpus. A frequency list of lemmatized word forms serves as training data, supplemented with a set of rules to model transitional elements. In addition to word frequencies, we also use POS tags. The use of POS tags serves two purposes: by splitting compounds only into content words (adjectives, nouns and verbs), the number of incorrect splits is reduced: highly frequent words like articles are excluded. At the same time, the POS tags allow to label the individual components and thus provide the POS-pattern of the pseudo multi-word term. While the POS of the compound head (i.e. the right-most part) equals that of the compound word, the POS tags of all other parts can vary (e.g. test- can be a noun or the stem of a verb). Using a frequency list of lemmatized word forms, as well as relating inflected forms to their lemma, allows to derive a lemmatized analysis of an inflected compound.

Different splitting possibilities are ranked by the geometric mean of the frequencies of the parts \( p_i \) of the respective splittings (with \( n \) being the number of parts):

\[
\text{score} = \left( \prod_{i=1}^{n} \text{freq}(p_i) \right)^{1/n}
\]  

(1)

The examples in table 2 show different plausible splits for two compound nouns. The first compound, Kühlseinrichtung (cooling device), is split into the parts kühl_ADJ (cool) and einrichtung_NN (device). However, the first part is more plausibly analyzed as the verb kühlen_V (to cool), which leads to the second analysis. Since splitting is not always possible or desired, the score for the non-split word is always taken into account when ranking the splitting possibilities. The splitting of Gezeitenkraftwerk (lit. tide power station) into gezeiten + kraftwerk (lit. tide + power station) can be considered the linguistically most sound, since kraftwerk is a lexicalized compound. However, none of the presented splittings is wrong.

In fact, we will make use of the different splitting possibilities during the alignment step. Since the structure of the equivalent target language term of a given source language compound is not known, and the linguistically best split is not necessarily of the same structure as the target language equivalents, we will benefit from the multiple analyses by using all of them as input to the alignment step.

5. Compositional alignment of compounds

In this section, we describe the general approach of compositional term alignment. In our first approach, we align term candidates based on word matches which allows us to derive and evaluate term equivalence patterns. These are then used as an input for pattern-based term alignment.

5.1. Methodology

Equivalent terms of different languages can be of different forms: single-word vs. multi-word terms, or multi-word terms of different syntactic structures. One way to deal with this problem is the compositional method: all components of a multi-word term are first translated separately and then recombined and compared with target language terms. For German compound nouns, we apply the following steps:

- **(1) compound splitting:**
  
  \[ \text{Herstellungskosten} \rightarrow \text{herstellung kosten} \]

- **(2) individual translation:**
  
  \[ \text{herstellung} \rightarrow \text{fabrication, production, ...} \]
  \[ \text{kosten} \rightarrow \text{charge, cost, expense, ...} \]

- **(3) recombination of translations:**
  
  \{ \text{fabrication charge}, \text{production cost}, \ldots \}

- **(4) search for matching target terms:**
  
  \text{production cost, cost of production}

In (2), we only use to 1-to-1 entries. While some compounds are covered by 1-to-n entries, we chose to ignore them for the experiment, and rather use them for evaluation purposes. By means of simple morphological rules, dictionary entries were modified to contain target language entries of different word classes. This is necessary if e.g. a source language noun is to be translated into an adjective, as is the case with \text{industrie}_N \text{anlage}_N \rightarrow \text{industrial}_ADJ \text{facility}_NN. By creating the dictionary entry \text{industrie} \rightarrow \{ \text{industry, industrial} \}, such cases can be covered. Since the patterns of the target terms are known, non-content words (e.g. prepositions) can easily be ignored in step (4) when comparing generated translations and target terms.

5.2. Word-based alignment

In our first approach, we consider each pair of target language term candidates and translated compound components containing the same sets of words as an aligned term pair. We do not, however, take the order of the elements into account (bag-of-words). While this approach generally works well, (cf. table 3), there are also different types of problems:

- Non-compositional words cannot be translated with this method: e.g. the translation of \text{Windschatten} (lit. wind shadow: \text{slipstream/lee position}) cannot be derived from the literal translation of the individual components.
Table 3: Term alignment (DE-EN).

| source term        | target term                  |
|--------------------|------------------------------|
| herstellung_NN kosten_NN | production_NN cost_NN       |
| herstellung_NN kosten_NN | cost_NN ODP production_NN |
| industrie_NN anlage_NN  | industrial_ADJ facility_NN  |
| industrie_NN anlage_NN  | industrial_ADJ plan_NN      |
| industrie_NN anlage_NN  | industrial_ADJ equipment_NN |
| primär ADJ energie_NN  | primary_ADJ source_NN ODP   |
| quelle_NN            | energy_NN                   |
| primär ADJ energie_NN | primary_ADJ energy_NN source_NN |

- Similarly, only a part of the compound may have a literal equivalent in the target term: for *Gleichstrom* (lit: *same current*, equivalent: *direct current*), the translation of *strom* → *current* is trivial, whereas *gleich* is incorrectly translated to *same*, leading to *same current*. As the sequence *same current* occurs in the list of target language ADJ+NN terms, it leads to the incorrect alignment *Gleichstrom* → *same current*.

- Out-of-domain translations should be excluded by comparing generated translations with target language terms. However, a polysemous word which is also used in general language with another meaning can lead to incorrect alignments, as in the case of *leiterplatte* (conductor board):

  leiter (electro-technical) → conductor
  leiter (general language) → directors

Since our corpora also cover administrative aspects related to wind energy, we find the alignment *leiterplatte* → *board of director*.

- Pattern switching: since the term alignment is not restricted via term equivalent patterns in this approach, a compound can be aligned to a target language term consisting of the correct set of words, but incorrectly ordered:

  Druckluft → *compressed air*
  Druckluft → *air pressure* (= Luftdruck)

By conditioning term alignment on term equivalence patterns, this type of problem can be overcome.

- Since we use comparable corpora, it is possible that no target language equivalent is present in the target language corpus data.

5.3. Pattern-based alignment

In contrast to the word-based approach where all generated translations containing the same words as a target language term are output as alignments, we will in the following also consider the order of the words in both terms. This means that term-equivalence patterns are used which state that, e.g. in the case of N N ⇔ N N the first noun of the source term corresponds to the first noun of the target term, whereas for N N ⇔ N PRP N, the first noun of the source term corresponds to the second noun of the target term.

Table 4: Results for compound splitting (N = 250).

| rank  | 1   | 2   | 3   |
|-------|-----|-----|-----|
| count | 235 | 12  | 1   |

For 2 words, no correct split could be found.

6. Experiments and evaluation

English and German terms were extracted from corpora of the domains of *wind energy* and *mechanics* (English: 1.45 mio tokens; German: 1.29 mio tokens). The compound splitter was trained on the domain-specific corpus, as well as the German part of Europarl\(^3\). From the DE-EN dictionary\(^4\), we extracted 281,462 1-to-1 entries. Our German test set consists of 250 domain-specific compound nouns i.e. nouns for which at least one splitting possibility was found, and which were ranked highest according to Ahmad’s quotient (cf. section 3). We used the entire set of English extracted term candidates for term alignment, but filtered out terms with a frequency of less than 5.

6.1. Evaluation of compound splitting

As we use split compounds as input for term alignment, the quality of compound splitting is an important factor. Since we use all found splits as input for the term alignment, we are interested in two criteria:

- how reliable is the score used for ranking the obtained splitting possibilities?
- for how many compound can we find at least one good split?

Table 4 shows the results for the test-set: the row labelled “rank” refers to the ranking position obtained by sorting the splitting possibilities according to the geometric mean of the frequencies of their parts (cf. section 4.). In 235 cases, a good splitting was ranked first, whereas 12 and 1 correct splits were ranked second and third (with an invalid splitting on the first/second position). In total, for 248 (of 250) nouns, a good split could be found. All other splitting possibilities were ignored in this evaluation: for the task of term alignment, at least one good splitting result per noun is needed. For applications where only one result can be used, the number of best-ranked good splits (94 %) seems sufficiently high, too.

During the evaluation of (both) alignment methods, we found that bad compound splitting caused only one incorrect alignment: due to eliminating the transitional elements *en*, the noun *eisenkern* (*iron core*) was split into *eis*<sub>NN</sub> (*ice*) *kern*<sub>NN</sub> (*core*), and was then the aligned with the English term *ice core*.

6.2. Evaluating the word-based approach

For 137 compounds (of 250), one or more alignments were found, resulting in a total of 263 compound-translation candidates\(^5\). With 148 being correct alignments, this leads to

\(^3\)http://www.statmt.org/europarl
\(^4\)taken from http://www1.dic.tcc.cc/
\(^5\)Different splittings may lead to the same translation candidates: in the evaluation, translation candidates occurring several
Table 5: Analysis of 263 DE-EN alignment candidates.

| evaluation       | src-pattern   | trg-pattern   |
|------------------|---------------|---------------|
| 88               | correct       | NN NN          |
| 34               | pattern mismatch | NN NN        |
| 25               | random match  | NN NN          |
| 29               | correct       | NN NN          |
| 5                | pattern mismatch | NN PRP NN     |
| 3                | random match  | NN NN          |
| 11               | random match  | NN NN          |
| 7                | correct       | ADJ NN         |
| 4                | pattern mismatch | NN ADJ NN     |
| 9                | random match  | V NN           |
| 9                | pattern mismatch | V NN          |
| 3                | random match  | V NN           |
| 1                | correct       | V NN           |
| 1                | correct       | V NN           |
| 9                | correct       | ADJ NN         |
| 6                | random match  | ADJ NN         |
| 9                | correct       | NN NN NN       |
| 6                | random match  | NN NN NN       |
| 2                | correct       | NN NN NN       |
| 2                | correct       | ADJ NN NN      |

Table 6: Analysis of the pattern-based approach: a total of 197 alignments of which 146 are correct.

Interestingly, one term pair of the type NN₁ NN₂ → NN₁ NN₃ was lost in the pattern-based approach. The term "Verlustleistung" had been correctly aligned to "power loss" in the word based approach: as it does not correspond to the required pattern, it is not part of the output of the pattern-based approach.

6.4. Dealing with multiple alignments

In many cases, several translations for an input compound were found (2.04 on average); a simple way to deal with this is to take into account the frequencies of the respective target language terms. Assuming that “better” translations occur more frequently than “less good” ones, we can use the respective frequencies to rank the obtained translations. Ranking the translation candidates might also help to weigh down incorrect translations as in the example in table 7, where the two valid translations have a higher frequency than the incorrect one. While we cannot expect this to happen with every incorrect translation, it is reasonable to assume that a fair amount of incorrect alignment candidates which accidentally match with a target term occur less frequently than the correct translation.

For 17 compounds in our data set, both correct and incorrect alignments were found. When sorting the obtained translations by their frequencies, a correct translation is ranked first in 12 cases, whereas for the remaining 5 compounds, incorrect translations have a higher frequency than correct ones. This outcome supports our assumption that frequencies are a useful indicator for ranking alignments. However, the amount of compounds with both correct and incorrect translations is too small to allow for an adequate evaluation.

| f | correct | source       | target       |
|---|---------|--------------|--------------|
| 22 | +       | netzbetreiber | grid operator |
| 10 | +       | netzbetreiber | network operator |
| 6  | -       | netzbetreiber | line carrier  |

Table 7: Translation candidates of the term "netzbetreiber" ranked by their frequencies.
Different correct translations are considered as (quasi-synonymous) variants of each other: in combination with frequency information, they are a valuable data source for terminologists and translators. In this work, we mainly aim at obtaining at least one good translation for every input term, while keeping the number of incorrect translations low. An extension of this task consists in finding all possible translations: this requires not only bilingual term alignment, but also elaborate monolingual term variant identification. The TTC tools include devices to identify term variants and could thus be extended towards this type of application.

6.5. Error analysis
Since we are working with comparable corpora, and not with parallel data, it is not guaranteed that there exists an equivalent within the target language corpus for each given source term. The number of existing translations is thus an upper bound for the number of possible alignments. In addition to this hurdle, the presented method requires the term equivalent pairs to be of specific structures, which are modelled by term-equivalence patterns. For example, the source and target language term need to contain the same number of relevant lexical units (i.e. nouns, adjectives or verbs, but there are no restrictions on function words like prepositions or articles).

In the following section, we want to analyze to what extent the following factors prevent terms from being aligned:

| Missing term | Dictionary | Structure | Form, Morph. |
|--------------|------------|-----------|--------------|
| 101          | 12         | 26        | 12           |

Table 8: Reasons for non-alignment (151 of 250).

term. For example, the term Seekabel (lit. sea cable) is correctly translated as either underwater cable or submarine cable. As it is not possible to establish an equivalence relation of (DE) see and (EN) underwater or submarine via the dictionary, no alignment can be found. Actually, the German terms Unterseekabel (undersea cable, \( f=\)6) and Tiefseekabel (deep sea cable, \( f=5 \)) also exist, but are used far less frequently than Seekabel (\( f=62 \)).

Form/Morphology The translation of the source term exists and has a very similar structure to the source term, but is not captured by the term-equivalence rules and/or cannot be found due to a lack of morphological modelling. This type of problem often occurs when the source term pattern and the target term pattern are not the same, particularly if verbal elements are involved.

In the case of Drehbewegung (rotation\( A \) movement\( N \)), the input compound is correctly split into drehen\( V \)bewegung\( N \). While the translation for bewegung (motion, movement) is trivial, drehen will produce, among others, the form rotate, whereas the required form rotary can only be obtained via the form drehe\( A \).

Into this category, we also count non-aligned terms where one term contains a neoclassical element and the other term contains the equivalent native form, as illustrated by the term pair wärmeleitfähigkeit and thermal conductivity. The translation leitfähigkeit \( \rightarrow \) conductivity is trivial, but we have no means to derive that the native word wärme corresponds to its neoclassical equivalent thermal. Other word pairs to which this problem applies are e.g. sonne\( A \) (sun) \( \leftrightarrow \) solar and wasser\( A \)\( (water) \leftrightarrow \) hydro. Such cases would need to be covered by monolingual lexical entries pairing native and neoclassical elements.

The results in table 8 show that for the large majority of unaligned terms no equivalent occurs in the target language corpus: roughly 40 % of the total test set of 250 nouns are impossible to align. While the alignment failures in the categories dictionary and Form/Morph can, at least partially, be addressed by increasing the dictionary coverage and improving monolingual morphological modelling, non-isomorphic term-translation pairs (10 % in our data) cannot be aligned with this method.

An alternative strand of work within the TTC project is context vector based alignment: in this approach, the contexts

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\( ^6 \)While we use simple morphological rules to model e.g. the transition between nouns and relational adjectives such as industry \( \leftrightarrow \) industrial (cf. section 5.1.), such rules do not capture the whole range of possible morphological derivations.
of source and target terms are compared, while the structures of the terms themselves are not considered. The results of the context-vector based approach can also be used as input to enrich the dictionary used for the compositional approach presented in this work: combining different alignment approaches is an interesting method that we intend to pursue in the future.

7. Outlook: using term alignments in machine translation

One objective of the TTC project is to provide data for statistical machine translation (SMT). Such systems are usually trained on large parallel corpora such as the proceedings of the European Parliament (Europarl). Since for many technical domains, only a very limited amount of parallel data is available, it is difficult to build machine translation systems for such domains. One way to proceed in this case is to use general language parallel data for training a translation model, and to enrich it with data obtained from domain-specific non-parallel corpora.

A major problem for an SMT-system applied to input sentences from technical language (but trained only on general language data) are unknown words: since the translation model only “knows” words which occur in the parallel data, a fair amount of domain-specific words can not be translated.

Bilingual term lists allow to address this problem: by providing translation candidates for terms in the input sentence, the system is enriched with domain-specific information which can be derived from comparable corpora and does not require parallel data. The MOSES-framework\(^7\) offers an easy method to incorporate translation candidates into a standard translation system. Via XML-markup, term-translation pairs, and their translation probabilities (relative frequencies of the translations, cf. section 6.4.) can be directly written into the input sentence:

```
und verpflichtet den <term translation="grid operator|network operator|line carrier" prob="0.58|0.26|0.16">netzbetreiber</term> zu ...
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First results of tests of this approach, carried out with a German-French translation system of the domain of wind energy were encouraging. This approach is similar to the work described by (Hálek et al., 2011) where the authors use this method to integrate the translations of named entities into an English-Czech translation system. For computer-assisted translation (CAT), the proposed alignments can be offered first for manual validation, then as an input to terminological glossaries or term databases. However, for such applications, manual checking of the output of term alignment is necessary.

8. Conclusion

We presented a method for compound splitting, which was used for aligning German compound nouns. From a simple word-based approach, we derived term-equivalence patterns and evaluated them with regard to different error types; our experiments showed that restricting word alignment to term-equivalence patterns helps to increase precision. However, in the evaluation in section 6.5., it became clear that the upper bound of possible alignments for comparable corpora, i.e. the number of terms which have an equivalent in the target language corpus, can be relatively low (60% in our data). The analysis of error types also shows that providing a wider range of rules modelling morphological derivation can further increase the recall of term alignment.

Since our alignment method is language-independent, we aim at analyzing more language pairs in the future. In addition to term alignment, our tools allow to study word-formation phenomena, such as e.g. compositional vs. non-compositional nouns.

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\(^7\)http://www.statmt.org/moses/