ITAINNOVA at SocialDisNER: A Transformers cocktail for disease identification in social media in Spanish

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Abstract
The Social Media Mining for Health Applications (#SMM4H) Shared Task aims to promote the state of the art of health informatics challenges for social media. The 10th track of the task, SocialDisNER, focuses on the identification of disease mentions in tweets written in Spanish. ITAINNOVA presents a hybrid system based on Transformer Language Models in combination with Natural Language Processing techniques such as the development and use of a diseases gazetteer for approximate string matching. A comprehensive exploration of the components contributions is presented as well as the final test results obtained, which outperform the mean overall performance in the task.

1 Motivation
The detection of disease mentions in tweets (SocialDisNER, Gasco et al., 2022b) is part of the SMM4H Shared Task (Weissenbacher et al., 2022). SocialDisNER proposes a challenging task: NER (Named-Entity Recognition) offset detection of diseases by finding the span of its mentions in tweets published in the Spanish language. Using social media data for health research involves facing multiple Natural Language Processing (NLP) challenges: multilingualism, usage of formal and informal expressions, misspellings, ambiguity and so on, which may be better tackled unifying state of the art approaches and more conventional methods.

In this context, ITAINNOVA participates with a hybrid system which combines Transformer-based Language Models (LMs) with a custom-built gazetteer for Approximate String Matching (ASM) and dedicated text processing techniques for the social media domain. Additionally zero-shot classification capabilities (Pushp and Srivastava, 2017) have been explored in order to support different parts of the system. An extensive analysis on the interactions of these components has been accomplished, making the system stand out above the mean performance of all the participating teams.

2 System description
The overall architecture is illustrated in figure 1.

2.1 Transformer-based Language models
The core of the system is a parallel aggregation ensemble of fine-tuned Transformer-based LMs. Nine publicly available pretrained Transformer-based LMs from the HuggingFace hub, were selected following these requirements: the model has support for Spanish or multiple languages, it has been pretrained with a health related or social media corpus, and its architecture may be fine-tuned for token classification.

Each model is subjected to a hyper-parameter tuning using both gold and silver standard corpus (Gasco et al., 2022a), which allows ranking the models by performance: (1)wikineural-multilingual-ner (Tedeschi et al., 2021), (2)bsc-bio-ehr-es-cantemist (Miranda-Escalada et al., 2020a), (3)bertin-base-ner-conll2002-es (de la Rosa et al., 2022), (4)bsc-bio-es (Carrino et al., 2022), (5)twitter-xlm-roberta-base (Barbieri et al., 2022), (6)bsc-bio-ehr-es and (7)bsc-bio-ehr-es (Carrino et al., 2022), (8)roberta-large-bne and (9)roberta-large-bne-capitel-ner (Gutiérrez-Fandiño et al., 2022). Tables 3 and 4 in the appendix show the values and metrics of fine-tuned models.

1Based on mBERT(Devlin et al., 2018) + Bi-LSTM + CRF
2XLM-Roberta (Conneau et al., 2019)
3Rest of models are built on RoBERTa (Liu et al., 2019)
2.2 Diseases gazetteer string matching

In conjunction with the neural models, an approximate string matching is performed with an in-domain gazetteer. The gazetteer has been built with diseases-related concepts from publicly available corpora: DisTEMIST (Gasco et al., 2022c), AbreMES-DB (Intxaurrondo, 2018), CodiEsp (Miranda-Escalada et al., 2020b), SNOMED-CT (International Health Terminology Standards Development Organisation - IHTSDO, 2014) and ICD-10-CM (CodeBooks, 2016).

An iterative curating process has been performed over the 122620 entries gathered. Firstly, normalization and duplicates removal is needed. Thereafter a filter on the number of tokens is applied: 5 and 3 tokens-length are considered relating to the average length of tweets. An analysis of n-gram frequencies enables to extract sets of general common-used terms and “stop-terms”, which are included if not previously present or removed, respectively. Then a zero-shot classifier built on BETO (Cañete et al., 2020) is used to filter no-disease-related terms. Finally, two versions of the gazetteer are consolidated: Final, which compile up to 5-token length entries, containing 69655 health-related terms; a 3-token length version of the former called Reduced having 32852 terms.

2.3 Text processing and filtering

Various text processing steps are performed within the prediction flow. After ignoring breaklines, hashtags (#) and mentions (@) are extracted as plain tokens, and analyzed applying morpho-lexical rules to gather meaningful words (i.e. #cancerdemama gets transformed into “cancer”, “de”, “mama”). Then, once the disease mentions are extracted, punctuation marks, special characters and emojis at the beginning and the end of each one are removed and offsets are adjusted. After that, the zero-shot model is applied to filter generic mentions. Finally, duplicates and overlapped entities are excluded.

The code of the system is available in GitHub.

3 Results and discussion

A thorough study on different state of the art Transformer Language Models for NER in Spanish, their aggregation and integration with other NLP techniques was conducted using the datasets of SocialDisNER. The top three configurations are shown in Table 1, while the whole set of results can be reached at table 5 of the appendix.

| Conf. | Gaz. | E. | st.F | st.P | st.R |
|-------|------|----|------|------|------|
| B2    | T    | F  | 0.817| 0.840| 0.795|
| B5    | X    | T  | 0.817| 0.833| 0.802|
| B5    | X    | F  | 0.815| 0.831| 0.800|

Table 1: Validation results ranked by strict F1. “B”’s refer to the ensemble of the best N top models.

The obtained results demonstrate that ensembling approaches provide the best performance, since standalone models enriched with specific rules work reasonably well on the task. The mBERT with LSTM-CRF model outperforms other architectures, but in terms of model sizes no significant differences have been found. Despite the overall strong performance, many false positives are extracted, so that further research on the effect of the pretraining corpus would be needed.

Using gazetteers alongside LMs, when their size and quality are extensive enough, have a positive impact on the recall. However, large gazetteers are time-consuming in building and predicting phases comparing with their performance contribution. In regard to zero-shot classification, it has contributed to build gazetteers by filtering out of domain terms. Nevertheless, when applied to the prediction pipeline it filters true positives and thus worsens performance, so that it has not been used on test. Domain specific text processing, such as hashtag segmentation and filtering rules to remove false positives, is needed due to the linguistic complexity and orthographic diversity of social media.

Final results in the test set obtained with two different configurations focusing on comparativeness over gazetteer usage, are depicted in table 2. In comparison to the official results of SocialDisNER, the developed system outperforms average values of the participants’ submissions.

| Model  | Gaz./E. | st.F | st.P | st.R |
|--------|---------|------|------|------|
| B5     | X/T     | 0.774| 0.779| 0.769|
| B5     | Reduce/T| 0.752| 0.691| **0.826**|
| Mean task | -  | 0.675| 0.680| 0.677|
| Median task | - | 0.761| 0.758| 0.780|

Table 2: Test results.
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A Complete result tables

| Model          | Learning rate | Epochs | Batch size | Warmup ratio |
|----------------|---------------|--------|------------|--------------|
| M1             | 1e-05         | 6      | 4          | 0.05         |
| M2             | 2e-06         | 5      | 4          | 0.1          |
| M3             | 1e-05         | 10     | 6          | 0.2          |
| M4             | 2e-06         | 5      | 4          | 0.1          |
| M5             | 1e-05         | 8      | 4          | 0.01         |
| M6             | 1e-05         | 6      | 4          | 0.05         |
| M7             | 5e-06         | 10     | 16         | 0.005        |
| M8             | 3.4e-05       | 10     | 8          | 0.1          |
| M9             | 5e-05         | 10     | 10         | 0.01         |

Table 3: Hyperparameter tuning.

| R.  | Model                                                                 | ov.F  | ov.P  | ov.R  | st.F  | st.P  | st.R  |
|-----|-----------------------------------------------------------------------|-------|-------|-------|-------|-------|-------|
| 1   | Babelscape/wikineural-multilingual-ner                               | 0.882 | 0.937 | 0.833 | 0.769 | 0.813 | 0.729 |
| 2   | PlanTL-GOB-ES/bsc-bio-ehr-es-cantemist                                | 0.775 | 0.891 | 0.686 | 0.715 | 0.822 | 0.632 |
| 3   | bertin-project/bertin-base-ner-conll2002-es                          | 0.713 | 0.835 | 0.622 | 0.674 | 0.758 | 0.565 |
| 4   | PlanTL-GOB-ES/bsc-bio-es                                             | 0.708 | 0.832 | 0.616 | 0.655 | 0.769 | 0.570 |
| 5   | cardifflp/twitter-xlm-roberta-base                                    | 0.704 | 0.836 | 0.607 | 0.650 | 0.772 | 0.562 |
| 6   | PlanTL-GOB-ES/bsc-bio-ehr-es                                         | 0.706 | 0.841 | 0.608 | 0.648 | 0.773 | 0.557 |
| 7   | PlanTL-GOB-ES/roberta-base-biomedical-clinical-es                    | 0.699 | 0.814 | 0.612 | 0.630 | 0.733 | 0.552 |
| 8   | PlanTL-GOB-ES/roberta-large-bne                                      | 0.694 | 0.822 | 0.601 | 0.626 | 0.741 | 0.541 |
| 9   | PlanTL-GOB-ES/roberta-large-bne-capitel-ner                           | 0.675 | 0.811 | 0.578 | 0.596 | 0.716 | 0.511 |

Table 4: Ranking of fine-tuned pretrained models according to strict F1 metric. Columns "R.", "ov." and "st." refer to Ranking, overlap and strict respectively, and F, P, R to F1, Precision and Recall.

| Config | Gaz. | Gaz v. | Zero-shot | Emoji | ov.F  | ov.P  | ov.R  | st.F  | st.P  | st.R  |
|--------|------|--------|-----------|-------|-------|-------|-------|-------|-------|-------|
| Best 2 | F    | X      | F         | F     | 0.899 | 0.928 | 0.872 | 0.817 | 0.840 | 0.795 |
| Best 5 | F    | X      | F         | T     | 0.899 | 0.919 | 0.879 | 0.817 | 0.833 | 0.802 |
| Best 5 | F    | X      | F         | F     | 0.899 | 0.919 | 0.879 | 0.815 | 0.831 | 0.800 |
| Model 1| T    | Final  | F         | F     | 0.894 | 0.859 | 0.932 | 0.807 | 0.768 | 0.649 |
| Best 2 | T    | Final  | F         | F     | 0.898 | 0.854 | 0.947 | 0.807 | 0.761 | 0.859 |
| Best 5 | T    | Final  | F         | F     | 0.897 | 0.849 | 0.952 | 0.805 | 0.754 | 0.862 |
| Model 2| T    | Final  | F         | F     | 0.887 | 0.873 | 0.901 | 0.802 | 0.784 | 0.820 |
| All 9  | F    | X      | F         | F     | 0.887 | 0.889 | 0.885 | 0.796 | 0.794 | 0.798 |
| Best 5 | T    | Reduced| F         | T     | 0.886 | 0.827 | 0.954 | 0.793 | 0.732 | 0.864 |
| Best 2 | T    | Reduced| F         | F     | 0.886 | 0.831 | 0.950 | 0.792 | 0.735 | 0.858 |
| Best 5 | T    | Reduced| F         | F     | 0.886 | 0.827 | 0.954 | 0.791 | 0.731 | 0.861 |
| Model 4| T    | Reduced| F         | F     | 0.877 | 0.848 | 0.907 | 0.790 | 0.758 | 0.825 |
| Model 2| T    | Reduced| F         | F     | 0.879 | 0.847 | 0.914 | 0.788 | 0.754 | 0.825 |
| Model 1| F    | X      | F         | F     | 0.882 | 0.937 | 0.833 | 0.769 | 0.813 | 0.729 |
| All 9  | F    | X      | T         | T     | 0.850 | 0.857 | 0.842 | 0.760 | 0.763 | 0.757 |
| Model 1| T    | Reduced| F         | F     | 0.882 | 0.833 | 0.938 | 0.759 | 0.709 | 0.815 |
| Best 5 | T    | Reduced| T         | T     | 0.853 | 0.802 | 0.911 | 0.758 | 0.706 | 0.819 |
| All 9  | T    | Reduced| T         | T     | 0.845 | 0.784 | 0.916 | 0.744 | 0.682 | 0.817 |
| Model 2| F    | X      | F         | F     | 0.775 | 0.891 | 0.686 | 0.715 | 0.822 | 0.632 |
| Model 1| T    | Reduced| T         | T     | 0.837 | 0.798 | 0.881 | 0.713 | 0.673 | 0.757 |

Table 5: System configurations ranked by strict F1.
Gaz and Gaz v. refers to the use of the gazetteer and its corresponding version. Zero-shot and Emoji indicates whether zero-shot filter and emoji cleaning is performed.