Plane-fronted gravitational waves with parallel rays in Einstein-aether theory

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In this paper, we systematically study spacetimes of plane-fronted gravitational waves with parallel rays (pp-waves) in Einstein-aether theory. In contrast to General Relativity (GR), now the problem in general becomes overdetermined. In particular, for the linearly polarized pp-waves, there are five independent vacuum Einstein-aether field equations for three unknown functions. Therefore, solutions exist only for particular choices of the four free parameters \(c_i\)’s of the theory. We find that there exist eight cases, in two of which any form of pp-waves can exist, similar to that in GR, while in the other six of which, pp-waves exist only in particular forms. Beyond these eight cases, solutions either do not exist or are trivial (simply representing a Minkowski spacetime with a constant or dynamical aether field.).

I. INTRODUCTION

Lorentz invariance (LI) has been the cornerstone of modern physics and is strongly supported by observations [1]. In fact, all the experiments carried out so far are consistent with it, and there is no evidence to show that such a symmetry needs to be broken at a certain energy scale, although the constraints in the matter sector are much stronger than those in the gravitational sector [2].

Nevertheless, there are various reasons to construct gravitational theories with broken LI. In particular, when spacetime is quantized, as what we currently understand from the point of view of quantum gravity [3, 4], space and time emerge from some discrete substratum. Then, LI, as a continuous spacetime symmetry, cannot exist in such discrete space and time. Therefore, it cannot be a fundamental symmetry, instead must be an emergent one at the low energy physics. Following this line of thinking, various gravitational theories that violate LI have been proposed, such as ghost condensation [5], Einstein-aether theory [6], and more recently, Hořava theory of gravity [7].

While the ghost condensation and Einstein-aether theory are considered as the low energy effective theories of gravity, the Hořava gravity is supposed to be ultraviolet (UV) complete [8].

In Einstein-aether theory, LI is broken only down to a rotation subgroup by the existence of a preferred time direction at every point of spacetime, i.e., the existence of a preferred frame of reference established by the aether vector field. This time-like unit vector field can be interpreted as a velocity four-vector of some medium substratum (aether, vacuum, or dark fluid), bringing into consideration non-uniformly-moving continuous media and their interaction with other fields. Meanwhile, this theory can be also considered as a realization of dynamic self-interaction of complex systems moving with a spacetime dependent macroscopic velocity.

The introduction of the aether vector allows for some novel effects, e.g., matter fields can travel faster than the speed of light [9], and new gravitational wave polarizations can spread at different speeds [10]. It should be noted that the faster-than-light propagation does not violate causality [8]. In particular, gravitational theories with broken Lorentz invariance still allow the existence of black holes [11]. However, instead of Killing horizons, now the boundaries of black holes are hypersurfaces termed universal horizons, which can trap excitations traveling at arbitrarily high velocities (For more details, see, for example, [8] for a recent review.). This universal horizon may radiate thermally at a fixed temperature and strengthen a possible thermodynamic interpretation though there is no universal light cone [12].

Another interesting issue is whether or not spacetimes of plane-fronted gravitational waves with parallel rays (pp-waves) are allowed in such theories? This issue becomes more interesting after the recent observation of several gravitational waves (GWs) emitted from remote binary systems of either black holes [13–16] or neutron stars [17]. The sources of these GWs are far from us, and when they arrive to us, they can be well approximated by pp-waves. However, this issue is not trivial, specially for the Einstein-aether theory, in which a globally time-like aether field exists, while such pp-waves, by definition, move along congruences defined by a null vector.

In this paper, we shall focus ourselves on this problem. In particular, we shall show that the system of the differential equations for pp-waves in the Einstein-aether theory is in general overdetermined, that is, we have more independent differential equations than the number of independent functions that describe the spacetime and aether, sharply in contrast to that encountered in Einstein’s General Relativity (GR), in which the problem is usually underdetermined, that is, we have less independent differential equations than the number of independent functions that describe the spacetime [18–20]. In particular, for the linearly polarized pp-waves, there

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are five independent vacuum field equations for three unknown functions in the Einstein-aether theory, while there is only one independent vacuum field equation for two unknown functions in GR.

The rest of the paper is organized as follows: In Sec. II, after briefly presenting the Einstein-aether theory, we give a summary on the pp-waves with two independent polarization directions, and define the polarization angle with respect to a parallel transported basis along the path of the propagating pp-wave. Such a description is valid for any metric theory, including GR and Einstein-aether theory. In Sec. III, we systematically study the linearly polarized pp-waves in Einstein-aether theory, and find that such pp-wave solutions exist only for particular choices of the free parameter \( c_i \)'s of the theory. We identify all these particular cases, and find that there are in total eight cases. Cases beyond these either do not allow such solutions to exist or are trivial, in the sense that their spacetime is Minkowski (though sometimes with a dynamical aether field). In Sec. IV, we summarize our main results, and present our concluding remarks. There is also an Appendix, in which the Einstein-aether field equations for linearly polarized pp-waves are presented.

II. EINSTEIN-AETHER THEORY AND PP-WAVES

In this section, we shall give a brief introduction to the Einstein-aether theory [6] (See also [21] for a brief comment on slowly rotating black holes in Einstein-aether theory and Ho\'rava theory) and polarizations of pp-waves [22]. For more details of the Einstein-aether theory, we refer readers to [6], while for pp-waves in GR to [18–20].

A. Einstein-Aether Theory

In the Einstein-aether theory, the fundamental variables of the gravitational sector are [6]

\[
(g_{\mu\nu}, u^\mu, \lambda), \tag{2.1}
\]

with the Greek indices \( \mu, \nu = 0, 1, 2, 3 \), and \( g_{\mu\nu} \) is the four-dimensional metric of the space-time with the signature \((-++,++)\) [23–25]. The four-vector \( u^\mu \) represents the aether field, and \( \lambda \) is a Lagrangian multiplier which guarantees that the aether four-velocity is always timelike. The general action of the theory is given by,

\[
S = S_x + S_m, \tag{2.2}
\]

where \( S_m \) denotes the action of matter, and \( S_x \) the gravitational action of the \( x \)-theory, given by

\[
S_x = \frac{1}{16\pi G} \int \sqrt{-g} \, d^4 x \left[ R(g_{\mu\nu}) + \mathcal{L}_x (g_{\mu\nu}, u^\alpha, \lambda) \right],
\]

\[
S_m = \int \sqrt{-g} \, d^4 x \left[ \mathcal{L}_m (g_{\mu\nu}, u^\alpha; \psi) \right]. \tag{2.3}
\]

Here \( \psi \) collectively denotes the matter fields, \( R \) and \( g \) are, respectively, the Ricci scalar and determinant of \( g_{\mu\nu} \), and

\[
\mathcal{L}_x = -M^{\alpha\beta} \mu (D_\alpha u^\mu) (D_\beta u^\nu) + \lambda \left( g_{\alpha\beta} u^\alpha u^\beta + 1 \right), \tag{2.4}
\]

where \( D_\mu \) denotes the covariant derivative with respect to \( g_{\mu\nu} \), and \( M^{\alpha\beta} \mu \) is defined as

\[
M^{\alpha\beta} \mu = c_1 g^{\alpha\beta} g_{\mu\nu} + c_2 \delta^\alpha_\mu \delta^\beta_\nu + c_3 \delta^\alpha_\nu \delta^\beta_\mu - c_4 u^\alpha u^\beta g_{\mu\nu}. \tag{2.5}
\]

Note that here we assume that matter fields couple not only to \( g_{\mu\nu} \) but also to the aether field, which in general violates the weak equivalence principle [6]. The four coupling constants \( c_i \)'s are all dimensionless, and \( G \) is related to the Newtonian constant \( G_N \) via the relation [26],

\[
G_N = \frac{G}{1 - \frac{1}{2} c_1}. \tag{2.6}
\]

The variations of the total action with respect to \( g_{\mu\nu}, u^\mu \) and \( \lambda \) yield, respectively, the field equations,

\[
E^{\mu\nu} = 8\pi G T^{\mu\nu}, \tag{2.7}
\]

\[
E_\mu = 8\pi G T_\mu, \tag{2.8}
\]

\[
ge_{\alpha\beta} u^\alpha u^\beta = -1, \tag{2.9}
\]

where

\[
E^{\mu\nu} = R^{\mu\nu} - \frac{1}{2} g_{\mu\nu} R - T_\mu^{\nu},
\]

\[
T_\mu^{\nu} = \frac{2}{\sqrt{-g}} \frac{\delta (\sqrt{-g} L_m)}{\delta g^{\mu\nu}},
\]

\[
T_\mu = -\frac{1}{\sqrt{-g}} \frac{\delta (\sqrt{-g} L_m)}{\delta u^\mu},
\]

\[
T_\alpha^{\alpha\beta} = D_\mu \left[ J_\mu^{(\alpha\beta)} + J_{(\alpha\beta)} u^\mu - u_{(\beta} J_{\alpha)}^\mu \right] + c_1 \left( (D_\alpha u_\mu) (D_\beta u^\mu) - (D_\mu u_\alpha) (D_\beta u^\mu) \right) + c_4 a_\alpha a_\beta + \lambda u_\alpha u_\beta - \frac{1}{2} g_{\alpha\beta} J_\gamma^\gamma D_\delta u^\sigma,
\]

\[
E_\mu = D_\alpha J_\alpha^\mu + c_4 a_\alpha D_\mu u^\alpha + \lambda u_\mu, \tag{2.10}
\]

with

\[
J_\alpha^\mu = M^{\alpha\beta} \mu D_\beta u^\nu, \quad a^\mu = u^\alpha D_\alpha u^\mu. \tag{2.11}
\]

From Eqs. (2.8) and (2.9), we find that

\[
\lambda = u_\beta D_\alpha J^\alpha_{\beta} + c_4 a^2 - 8\pi G T_\alpha u^\alpha, \tag{2.12}
\]

where \( a^2 \equiv a_\lambda a^\lambda \).

Recently, the combination of the gravitational wave event GW170817 [17], observed by the LIGO/Virgo collaboration, and the event of the gamma-ray burst GRB 170817A [27] provides a remarkably stringent constraint on the speed of the spin-2 mode, \(-3 \times 10^{-15} < c_T - 1 < 7 \times 10^{-15} \). In the Einstein-aether theory, the speed of the
spin-2 graviton is given by \( e_2^2 = 1/(1 - c_{13}) \) [28], so the GW170817 and GRB 170817A events imply

\[ |c_{13}| < 10^{-15}. \] (2.13)

Together with other observational and theoretical constraints, recently it was found that the parameter space of the theory is further restricted to the ranges [25],

\[ 0 < c_{14} < 2.5 \times 10^{-5}, \quad c_4 < 0, \quad 0 < c_2 < 0.095. \] (2.14)

It should be noted that not all the points inside these ranges satisfy all the observational and theoretical constraints, and additional conditions still exist even inside these ranges. For example, for \( 0 \leq c_{14} \leq 2 \times 10^{-7} \) we must further require \( c_{14} \leq c_2 \leq 0.095 \); and for \( 2 \times 10^{-6} \leq c_{14} \leq 2.5 \times 10^{-5} \), we need to further require \( 0 \leq c_2 - c_{14} \leq 2 \times 10^{-7} \). For details, see [25].

B. Polarizations and Interaction of pp-Waves

The spacetimes for pp-waves can be cast in various forms, depending on the choice of the coordinates and gauge-fixing [18–20]. In this paper, we shall adopt the form originally due to Baldwin, Jeffery, Rosen (BJR) [29, 30], which can be cast as [22]

\[
\begin{align*}
\text{d}s^2 &= -2e^{-M}dudv + e^{-U}[e^V \cos W dy^2 - 2 \sinh W dz^2 + e^{-V} \cos W dz^2], \\
&= e^{(U-V)/2} \left( \cosh \frac{W}{2} + i \sinh \frac{W}{2} \right), \tag{2.15}
\end{align*}
\]

where \( M, U, V \) and \( W \) are functions of \( u \) only, which in general represents a pp-wave propagating along the null hypersurfaces \( u = \text{const} \). The corresponding spacetimes belong to Petrov Type N [18–20] \(^1\). Choosing a null tetrad defined as,

\[
\begin{align*}
l^\mu &\equiv B^\delta_\mu, & n^\mu &\equiv A^\delta_\mu, & m^\mu &\equiv \zeta^2 \delta_2^\mu + \zeta^3 \delta_3^\mu, \\
\bar{m}^\mu &\equiv \bar{\zeta}^2 \delta_2^\mu + \bar{\zeta}^3 \delta_3^\mu,
\end{align*}
\]

where \( A \) and \( B \) must be chosen so that \( M \equiv \ln(AB) \), and

\[
\begin{align*}
\zeta^2 &\equiv e^{(U-V)/2} \left( \cosh \frac{W}{2} + i \sinh \frac{W}{2} \right), \\
\zeta^3 &\equiv e^{(U+V)/2} \left( \sinh \frac{W}{2} + i \cosh \frac{W}{2} \right),
\end{align*}
\]

we find that the Weyl tensor has only one independent component, represented by \( \Psi_4 \), and is given by [18],

\[
C^{\mu\nu\alpha\beta} = 4 \left[ \Psi_4 \epsilon_\alpha^{[\mu} m^{\nu]} l^{[\alpha} m^{\beta]} + \Psi_4 \epsilon_\beta^{[\mu} m^{\nu]} l^{[\alpha} m^{\beta]} \right],
\]

where \( [A, B] \equiv (AB - BA)/2 \), and \( V_\mu \equiv \partial V/\partial u \), etc. To see the physical meaning of \( \Psi_4 \), following [22], let us first introduce the orthogonal spacelike unit vectors, \( E^{\alpha}_\mu \) \((\alpha = 2, 3)\), in the \((y, z)\)-plane via the relations,

\[
E^{\mu}_2 = \frac{m^\mu + \bar{m}^\mu}{\sqrt{2}}, \quad E^{\mu}_3 = \frac{m^\mu - \bar{m}^\mu}{i\sqrt{2}},
\]

we find that the Weyl tensor can be written in the form,

\[
C^{\mu\nu\alpha\beta} = \frac{1}{2} \left[ \epsilon_+^{\mu\nu\alpha\beta} \left( \Psi_4 + \Psi_\alpha \right) + i \epsilon_\times^{\mu\nu\alpha\beta} \left( \Psi_4 - \Psi_\alpha \right) \right],
\]

where

\[
\epsilon_+^{\mu\nu\alpha\beta} \equiv 4 \left( \epsilon^{[\mu} E^{\nu]}_2 \epsilon^{[\alpha} E^{\beta]}_3 - \epsilon^{[\mu} E^{\nu]}_3 \epsilon^{[\alpha} E^{\beta]}_2 \right),
\]

\[
\epsilon_\times^{\mu\nu\alpha\beta} \equiv 4 \left( \epsilon^{[\mu} E^{\nu]}_2 \epsilon^{[\alpha} E^{\beta]}_2 + \epsilon^{[\mu} E^{\nu]}_3 \epsilon^{[\alpha} E^{\beta]}_3 \right).
\]

Making a rotation in the \((E_2, E_3)_\text{-plane},\)

\[
E_2 = E'_2 \cos \varphi + E'_3 \sin \varphi,
\]

\[
E_3 = -E'_2 \sin \varphi + E'_3 \cos \varphi,
\]

we find that

\[
e_+ = e'_+ \cos 2\varphi + e'_\times \sin 2\varphi,\]

\[
e_\times = -e'_+ \sin 2\varphi + e'_\times \cos 2\varphi.
\]

In particular, if we choose \( \varphi \) such that

\[
\varphi = \frac{1}{2} \tan^{-1} \left( \frac{\text{Im}(\Psi_4)}{\text{Re}(\Psi_4)} \right),
\]

we obtain

\[
C^{\mu\nu\alpha\beta} = \frac{1}{2} |\Psi_4| e_+^{\mu\nu\alpha\beta}. \tag{2.25}
\]

Thus, the amplitude of the Weyl tensor is proportional to the absolute value of \( \Psi_4 \), and the angle defined by Eq.(2.24) is the polarization angle of the pp-wave in the plane spanned by \((E_2, E_3)_\text{-plane},\) which is orthogonal to the propagation direction \( l^\mu \) of the pp-wave. It is interesting to note that the unit vectors \( E^{\mu}_2 \) and \( E^{\mu}_3 \) are parallelly transported along \( l^\nu \),

\[
l^\nu D_\nu E^{\mu}_2 = 0 = l^\nu D_\nu E^{\mu}_3. \tag{2.26}
\]

Therefore, the angle defined by Eq.(2.24) is invariant with respect to the parallelly transported basis

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\(^1\) By rescaling the null coordinate \( u \to u' = \int e^{-M(u)} du \), without loss of the generality, one can always set \( M = 0 \).
along the propagation direction $l^\mu$ of the pp-wave\(^2\). This is an important property belonging only to single pp-waves.

When $W = 0$, from Eq.(2.19) we find that

$$\text{Im} (\Psi_4) = 0, \quad (W = 0), \quad (2.27)$$

and $\varphi = 0$. Then, the polarization is along the $E^{(2)}_{(2)}$ direction, which is usually referred to as the “$+$” polarization, characterized by the non-vanishing of the function $V$. The other polarization of the pp-wave, often referred to as the “$\times$” polarization, is represented by the non-vanishing of the function $W$, for which generically we have $\text{Im} (\Psi_4) \neq 0$ ($W \neq 0$) (cf. Fig. 1 given in [22]).

When $M, U, V$ and $W$ are functions of $v$ only, the pp-wave is now propagating along the null hypersurfaces $v = \text{constant}$. In this case, by rescaling the null coordinate $v \rightarrow v' = \int e^{-M(v)}dv$, one can always set $M(v) = 0$.

When pp-waves moving in both of the two directions are present, the metric coefficients $M, U, V$ and $W$ are in general functions of $u$ and $v$. An interesting case is the collision of two pp-waves moving along the opposite directions, which generically produces spacetime singularities due to their mutual focuses [32]. Another remarkable feature is that one of the pp-waves can serve as a medium for the other, due to their non-linear interaction, so the polarizations of the pp-wave can be changed. The change of polarization due to the nonlinear interaction is exactly a gravitational analogue of the Faraday rotation, but with the other pp-wave as the magnetic field and medium [18, 22].

III. LINEARLY POLARIZED PP-WAVES

In this section, we shall consider pp-waves moving along the hypersurfaces $u = \text{constant}$ only with one direction of polarizations, which are usually called linearly polarized pp-waves. Without loss of the generality, we shall consider only pp-waves with the “$+$” polarization. Then, by rescaling the $u$ coordinate, without loss of the generality, we can always set $M = 0$, so the metric takes the form,

$$ds^2 = -2dudv + e^{-U(u)}[e^{V(u)}dy^2 + e^{-V(u)}dz^2]. \quad (3.1)$$

We also assume that the aether four-velocity $u^\mu$ takes the general form,

$$u^\mu = \frac{1}{\sqrt{2}}(e^{-h}, e^h, 0, 0), \quad (3.2)$$

where $h = h(u)$. Then, the non-vanishing components of the Einstein and aether tensors $G_{\mu\nu}$ and $T^a_{\mu\nu}$ and the aether vector $\mathbb{E}_\mu$ are given, respectively, by Eqs.(A.1) and (A.2). In the vacuum case, we have $T^m_{\mu\nu} = 0$, $T_\mu = 0$, and the Einstein-aether equations (2.7) reduce to

$$G_{\mu\nu} = T^m_{\mu\nu}, \quad (3.3)$$

which yield five equations\(^3\), given by Eqs.(A.4)-(A.8). The aether equations $\mathbb{E}_\mu = 0$ yield the same equation as given by Eq.(A.6).

It is remarkable to note that there are five independent field equations for the three unknowns, $U, V$ and $h$. Therefore, in contrast to the situation of General Relativity (GR), in which there is only one independent field equation, given by Eq.(3.4), for two unknown functions $U$ and $V$, here in the framework of the Einstein-aether theory, we are facing an overdetermined problem, instead of underdetermined, and clearly only for particular cases the above equations allow solutions for $U, V$ and $h$.

From the constraint (2.13) we can see that the current observations of GW170817 and GRB 170817A practically requires $c_{13} \approx 0$. In addition, for the spin-2 gravitons to move precisely with the speed of light, we also need to set $c_{13} = 0$. However, in order for our results to be as much applicable as possible, in the rest of this section we shall not impose this condition, and consider all the possible solutions with both $c_{13} = 0$ and $c_{13} \neq 0$, separately.

A. Solutions with $c_{13} = 0$

When $c_{13} = 0$, Eqs.(A.4)-(A.8) reduce to,

\begin{align*}
2U_{uu} - (U_u^2 + V_u^2) &+ 2c_{14}(h_{uu} - h_uU_u - h_u^2) = 0, \quad (3.5) \\
c_2(U_{uu} - 2h_uU_u - U_u^2) &+ (c_2 - c_{14})(h_{uu} - h_uU_u - 2h_u^2) = 0, \quad (3.6) \\
c_2U_{uu} + (c_2 - c_{14})(h_{uu} - h_uU_u - h_u^2) &+ c_2(2U_{uu} - U_u^2 - 4h_uU_u) \\
&+ 2c_2h_uu - (3c_2 + c_{14})h_u^2 = 0. \quad (3.8)
\end{align*}

Then, from Eqs.(3.6) and (3.7) we find

$$c_2(U_u^2 + 2U_uh_u) + (c_2 - c_{14})h_u^2 = 0, \quad (3.9)$$

\(^3\) It is interesting to note that in Einstein’s theory the field equations $G_{\mu\nu} = 0$ yields only a single equation [22],

$$2U_{uu} - U_u^2 = V_u^2, \quad (3.4)$$

for the two unknown functions $U(u)$ and $V(u)$. In this sense, the problem is underdetermined in Einstein’s theory. Thus, for any given gravitational wave $V(u)$, we can always integrate the above equation to find $U(u)$.

\(^2\) Polarizations of GWs in weak-field approximations were also studied in [31] in the framework of Einstein-aether theory.
where \( V_0 \) is another integration constant, and \( \beta \equiv \pm \sqrt{1 + 4\alpha + 2c_{14}\alpha^2}. \) (3.22)

Now combining Eqs.(3.19) and (3.16) we find
\[
U_u = \hat{\alpha}_0 e^{(2\alpha+1)U},
\] (3.23)
where \( \hat{\alpha}_0 \equiv \alpha_0 e^{2h_0} \). Thus, we obtain
\[
U(u) = -\frac{1}{2\alpha + 1} \ln \left[-\alpha_0(2\alpha + 1)(u - u_0)\right],
\] (3.24)
where \( u_0 \) is a constant of integration. Once \( U(u) \) is given the functions \( h(u) \) and \( V(u) \) can be read off from Eqs.(3.19) and (3.21), respectively, that is,
\[
V(u) = -\frac{\beta}{2\alpha + 1} \ln \left[-\alpha_0(2\alpha + 1)(u - u_0)\right] + V_0,
\]
\[
h(u) = -\frac{\alpha}{2\alpha + 1} \ln \left[-\alpha_0(2\alpha + 1)(u - u_0)\right] + h_0,
\] (3.25)
where \( \beta \) is given by Eq.(3.22) in terms of \( \alpha \) and \( c_{14} \).

**Case ii) \( c_2 = 0, \ c_{14} \neq 0 \):** In this case from Eqs.(3.6) and (3.7) we find that \( h_u = 0 \), that is
\[
h(u) = h_0,
\] (3.26)
where \( h_0 \) is a constant. Then, Eqs.(3.6) - (3.7) are satisfied identically, while Eq.(3.5) reduce to
\[
2U_{uu} - U_u^2 = V_u^2,
\] (3.27)
which is the same as in GR, that is, in the present case the functions \( U \) and \( V \) are not uniquely determined. For any given \( U(u) \), one can integrate the above equation to obtain \( V(u) \).

**Case iii) \( c_2 \neq 0, \ c_{14} = 0 \):** In this case from Eqs.(3.6) and (3.7) we find that \( U_u + h_u = 0 \), which has the solution,
\[
U = -h + U_0,
\] (3.28)
where \( U_0 \) is a constant. Inserting the above expression into Eq.(3.6) we find that \( h_u = 0 \), that is,
\[
h = h_0.
\] (3.29)
Then, from Eq.(3.5) we obtain
\[
V = V_0,
\] (3.30)
where \( V_0 \) is a constant. By rescaling \( y \) and \( z \) coordinates, without loss of the generality, we can always set \( V_0 = U_0 = 0 \), so the solution represents the Minkowski spacetime. That is, in the current case only the trivial Minkowski solution is allowed.
2. \( c_2 = c_{14} \)

In this case, from Eq. (3.7) we find that
\[
c_2 U_{uu} = 0. \tag{3.31}
\]
Therefore, depending on the values of \( c_2 \), we have two different cases.

**Case i) \( c_2 = c_{14} \neq 0 \):** In this case, we must have
\( U_{uu} = 0 \), which has the general solution,
\[
U(u) = \alpha_0 u + U_0, \tag{3.32}
\]
where \( \alpha_0 \) and \( U_0 \) are two integration constants. On the other hand, from Eq. (3.6) we find that
\[
h(u) = -\frac{\alpha_0}{2} u + h_0, \tag{3.33}
\]
while Eq. (3.8) is satisfied identically. Then, from Eq. (3.5) we find that
\[
V(u) = \pm \sqrt{\frac{(c_2 - 2)\alpha_0^2}{2}} u + V_0, \tag{3.34}
\]
where \( V_0 \) is another integration constant.

**Case ii) \( c_2 = c_{14} = 0 \):** In this case, Eqs. (3.6) - (3.8) are satisfied identically for any given \( h(u) \), while Eq. (3.5) reduces to
\[
2 U_{uu} - U_u^2 = V_u^2, \tag{3.35}
\]
which is the same as in GR, that is, in the present case the functions \( U, V \) and \( h(u) \) are not uniquely determined. For any given \( U(u) \) and \( h(u) \), one can integrate Eq. (3.35) to obtain \( V(u) \).

**B. Solutions with \( c_{13} \neq 0 \)**

When \( c_{13} \neq 0 \), from Eqs. (A.7) and (A.8) we find that
\[
V_{uu} - U_u V_u - 2 h_u V_u = 0, \tag{3.36}
\]
which has the solution,
\[
V_u = \alpha_0 e^{U + 2h}, \tag{3.37}
\]
where \( \alpha_0 \) is an integration constant. Inserting the above expression into Eqs. (A.4) - (A.8), we obtain the following four independent equations for \( U \) and \( h \),
\[
2 U_{uu} - U_u^2 + 2 c_{14} (h_{uu} - h_u U_u - h_u^2) = V_u^2, \tag{3.38}
\]
\[
c_2 (U_{uu} - 2 h_u U_u - U_u^2)
+ (c_2 + c_{13} - c_{14}) (h_{uu} - h_u U_u - 2 h_u^2) = 0, \tag{3.39}
\]
\[
2 (c_2 + c_{13} - c_{14}) (h_{uu} - h_u U_u - h_u^2)
+ 2 c_2 U_{uu} + c_{13} U_u^2 = -c_{13} V_u^2, \tag{3.40}
\]
\[
(c_{13} + 2 c_2) (2 U_{uu} - U_u^2 - 4 h_u U_u) + 4 c_2 h_{uu}
- 2 (3 c_2 - c_{13} + c_{14}) h_u^2 = -c_{13} V_u^2, \tag{3.41}
\]
Combining Eqs. (3.38) and (3.40) we find
\[
c_{123} U_{uu} = (c_{13} c_{14} + c_2 + c_{13} - c_{14}) (h_u^2 + h_u U_u - h_{uu}), \tag{3.42}
\]
and by using Eqs. (3.38) and (3.41) we obtain
\[
c_{123} U_u^2 = (c_{13} c_{14} + 2 c_3 - 2 c_{14}) (h_u^2 + h_u U_u - h_{uu})
+ (c_{13} - c_{14} - c_2) h_u^2 - 2 c_{123} h_u U_u. \tag{3.43}
\]
To study the above equations further, we need to consider separately the cases \( c_{123} = 0 \) and \( c_{123} \neq 0 \).

**I. \( c_{123} = 0 \)**

In this case, from Eqs. (3.38) and (3.40) we find
\[
c_{14} (c_{13} - 1) (h_{uu} - h_u^2 - h_u U_u) = 0. \tag{3.44}
\]
The possibility of \( c_{13} = 1 \) is ruled out by observation [25], as mentioned above, leaving the possibilities
\[
c_{14} = 0, \tag{3.45}
\]
or
\[
h_{uu} - h_u U_u - h_u^2 = 0. \tag{3.46}
\]

**Case A.1 \( c_{14} = 0 \):** In the case of Eq. (3.45) we find that Eqs. (3.39) and (3.41) reduce to
\[
U_{uu} = 2 h_u U_u + U_u^2, \tag{3.47}
\]
and
\[
h_{uu} = 2 h_u^2 + h_u U_u, \tag{3.48}
\]
respectively, where we have used the fact that Eq. (3.38) reduces to \( 2 U_{uu} = U_u^2 + V_u^2 \). Then, both \( h_u \) and \( U_u \) are proportional to \( e^{2h + \beta} \), and hence by Eq. (3.37) we find
\[
h = \alpha V + h_0 \quad U = \beta V + U_0. \tag{3.49}
\]
where \( h_0 \) and \( U_0 \) are two integration constants, and the constants \( \alpha \) and \( \beta \) can be determined by substituting Eq. (3.49) into Eq. (3.38) or Eq. (3.40), which yields
\[
\alpha = \frac{1 - \beta^2}{4 \beta}. \tag{3.50}
\]
Inserting the above expressions into Eq. (3.37), we find that
\[
V = -\frac{2 \beta}{1 + \beta^2} \ln \left( \alpha_0 \left( u_0 - u \right) \right), \tag{3.51}
\]
where \( \alpha_0 \equiv \alpha_0 \left( 2 \alpha + \beta \right) e^{U_0 + 2h_0} \) and \( u_0 \) is an integration constant. Therefore, in this case the solutions are given by Eqs. (3.49)-(3.51).
Case A. 2 \( c_{14} \neq 0 \): In this case we find that
\[
h_u = \alpha_1 e^{h+U},
\]
and by Eq.(3.41) that
\[
h_u^2 \left( \frac{c_{14}}{c_{13}} - 2 \right) = 0.
\]
If \( h_u = 0 \) (\( \alpha_1 = 0 \)) then by Eq.(3.39) we have
\[
U_u = \alpha_2 e^U,
\]
and using this result with Eq.(3.38) we have
\[
U = \pm V + U_0.
\]
Inserting the above expressions into Eq.(3.37), we find that
\[
V = \mp \ln \left[ \mp \hat{a}_0(u - u_0) \right],
\]
where \( \hat{a}_0 \equiv \alpha_0 e^{2\alpha_0 + U_0} \) and where the choice of upper or lower sign must hold for both Eqs.(3.55) and Eq.(3.56). Thus, in this case, the general solutions are given by
\[
(U, V, h) = (\pm V + U_0, V, h_0),
\]
where \( V \) is given by Eq.(3.56), and \( U_0 \) and \( h_0 \) are two integration constants.

However, if \( h_u \neq 0 \) then Eq.(3.39) reduces to
\[
U_{uu} - 2h_u^2 - U_u^2 - 2h_u U_u = 0,
\]
and we add the LHS of Eq.(3.46) (which is zero) twice to the LHS of Eq.(3.58) to get
\[
U_{uu} + 2h_{uu} - 4h_u^2 - 4h_u U_u - U_u^2 = 0,
\]
which simplifies to
\[
2h_{uu} + U_{uu} = (2h_u + U_u)^2.
\]
If we define a function \( f(u) \) such that
\[
f(u) = 2h(u) + U(u),
\]
then Eq.(3.60) can be written as
\[
f_{uu} = f_u^2,
\]
which has the solution
\[
f = -\ln \left( -\alpha_3(u - u_0) \right),
\]
where \( \alpha_3 \) and \( u_0 \) are integration constants. If we multiply both sides of Eq.(3.52) by \( e^h \) we have
\[
h_u e^h = \alpha_1 e^{2h+U},
\]
and making use of Eq.(3.63) we find
\[
h_u e^h = -\frac{\alpha_1}{\alpha_3} \frac{1}{u - u_0},
\]
whereupon integration we find
\[
h = \ln \left( -\frac{\alpha_1}{\alpha_3} \ln (u - u_0) \right) + h_0.
\]
So, for the functions \( U \) and \( V \) we have
\[
U = -\ln(-\alpha_3(u - u_0)) - 2h,
\]
\[
V = -\frac{\alpha_0}{\alpha_3} \ln(u - u_0) + V_0.
\]
By substituting these results into Eq.(3.38) we find that \( \alpha_3 = \pm \alpha_0 \).

2. \( c_{123} \neq 0 \)

In this case we can substitute Eqs.(3.42) and (3.43) into Eq.(3.39) and by defining
\[
Q \equiv c_{123} - c_{14} + \frac{c_2}{c_{123}} (c_{13} - c_{14} - c_2),
\]
we have
\[
Q(h_{uu} - 2h_u^2 - h_u U_u) = 0.
\]
And so we must consider the cases where \( Q \neq 0 \) and \( Q = 0 \).

Case B.1 \( Q \neq 0 \): Then, we have
\[
h_u = \alpha_1 e^{2h+U} \propto V_u.
\]
Using this result with Eqs.(3.42) and (3.43) we find also that
\[
U_u = \alpha_2 e^{2h+U} \propto V_u,
\]
and thus we can set
\[
h = \alpha V + h_0, \quad U = \beta V + U_0,
\]
for some constants \( \alpha, \beta, h_0 \) and \( U_0 \). Substituting Eqs.(3.73) and (3.63) into Eqs.(3.38) and (3.40), we find that \( \alpha \) and \( \beta \) must satisfy the relations,
\[
\beta^2 + 4\alpha \beta + 2c_{14} \alpha^2 - 1 = 0,
\]
\[
2(c_{14} - c_{13} - c_2) \alpha^2 - 4c_2 \alpha \beta - (c_{13} + 2c_2) \beta^2 - c_{13} = 0,
\]
which uniquely determine \( \alpha \) and \( \beta \), but the expressions for them are too long to be presented here. Inserting the above expressions into Eq.(3.37), we find that
\[
V = -\frac{1}{2\alpha + \beta} \ln \left[ \tilde{\beta}_0(u - u_0) \right],
\]
where \( \tilde{\beta}_0 \equiv \alpha_0(2\alpha + \beta)e^{U_0+2h_0} \). Therefore, in the present case, once \( \alpha \) and \( \beta \) are determined by Eqs.(3.74) and (3.75), the functions \( V(u) \), \( U(u) \) and the aether field \( h(u) \) are given, respectively, by Eqs.(3.73) and (3.76).
Case B.2 Q = 0: It will be helpful to try to solve for $c_{14}$ as a function of the other $c_i$’s, and to introduce a new parameter $\delta$ such that:

$$\delta = 2c_2 + c_{13}. \quad (3.77)$$

Then we find from Eq.(3.69) that

$$c_{14}\delta = c_{13}(c_2 + \delta), \quad (3.78)$$

If we consider $\delta = 0$, then we have $c_2 = 0$ since $c_{13} \neq 0$. But by Eq.(3.77) this means we must have $c_{13} = 0$, which violates our assumption, and so we must have

$$\delta \neq 0, \quad (3.79)$$

and thus

$$c_{14} = c_{13} \left(1 + \frac{c_2}{\delta}\right), \quad (3.80)$$

is a general solution for the $Q = 0$ case. However, we can still have $c_2 = 0$ in general. If that is the case then we have $c_{13} = c_{14}$ and we find from Eq.(3.40) that

$$V_u^2 = -U_u^2, \quad (3.81)$$

and so to have real functions we must have $U$ and $V$ constant in $u$. Then by considering Eqs.(3.42) and (3.43) with a vanishing $U_u$ we have

$$h_{uu} - h_u^2 = 0, \quad (3.82)$$

which has the solution

$$h = -\ln(\alpha(u - u_0)) + h_0, \quad (c_2 = 0), \quad (3.83)$$

where $\alpha$ and $h_0$ are the integration constants. So, in the case of $c_2 = 0$ we have a static Minkowskian spacetime with a dynamical aether.

If $c_2 \neq 0$, then we find from Eqs.(3.42) and (3.43) that

$$U_{uu} - U_u^2 = \frac{2c_2}{\delta} \left(h_u^2 + h_u U_u - h_{uu}\right) + \frac{2c_2}{\delta} h_u^2 + 2h_u U_u, \quad (3.84)$$

and

$$2U_{uu} - U_u^2 = -\frac{4c_2}{\delta} h_u^2 + 2h_u U_u + D(h_u^2 + h_u U_u - h_{uu}), \quad (3.85)$$

where

$$D \equiv \frac{2c_2c_{13}^2}{c_{123}\delta} + \frac{1}{\delta}(c_{13}^2 + 2c_2). \quad (3.86)$$

These expressions can be substituted into Eqs.(3.38) and (3.41) to find

$$V_u^2 = \left(c_{13} \left(\frac{c_2 + \delta}{c_{123}} - \frac{2c_2}{\delta}\right) + \frac{2c_2}{\delta} h_u^2 + 2h_u U_u, \quad (3.87)$$

and

$$V_u^2 = \left(c_{13} \left(\frac{c_2 + \delta}{c_{123}} - \frac{2c_2}{c_{13}}\right) + \frac{2c_2}{\delta} h_u^2 + 2h_u U_u. \quad (3.88)$$

Equating these two gives us

$$c_2(h_{uu} - h_u^2 - h_u U_u) = 0. \quad (3.89)$$

Since now we have $c_2 \neq 0$, then we must have

$$h_u = \alpha e^{h + U}. \quad (3.90)$$

In this case, Eq.(3.40) reduces to

$$V_u^2 = -\frac{2c_2}{c_{13}} U_{uu} - U_u^2, \quad (3.91)$$

and by Eq.(3.38) we also have

$$V_u^2 = 2U_{uu} - U_u^2, \quad (3.92)$$

by the result of which we must have

$$U_{uu} = 0, \quad (3.93)$$

since $c_{123} \neq 0$ in this case. As $U_u$ must be a constant, then by Eq.(3.92) we find that $V_u$ must be also a constant, and to keep the constants real we must have $U_u$ and $V_u$ vanish, as before. Considering this result, Eq.(3.39) reduces to

$$h_u^2 = 0. \quad (3.94)$$

Therefore, when $c_2 \neq 0$, the spacetime must be Minkowski and the aether field is simply given by $h(u) = h_0$, this is, the solution in the present case is

$$(U, V, h) = (U_0, V_0, h_0), \quad (c_2 \neq 0), \quad (3.95)$$

where $U_0$, $V_0$ and $h_0$ are all constants.

IV. SUMMARY

In this paper, we have studied plane-fronted gravitational waves with parallel rays (pp-waves) in Einstein-aether theory, and found all vacuum solutions of the linearly polarized pp-waves. In general, such waves need to satisfy five independent Einstein-aether field equations, given by Eqs.(A.4) - (A.8), for three unknown functions $(U(u), V(u), h(u))$. Therefore, the problem in the Einstein-aether theory is overdetermined, and it is expected that pp-waves exist only for some particular choices of the coupling constants $c_i$. This is sharply in contrast to Einstein’s general relativity, in which the problem is actually underdetermined, this is, the vacuum Einstein field equations $G_{\mu\nu}$ only yield one independent equation,

$$2U_{uu} - U_u^2 = V_u^2, \quad (4.1)$$

for the two unknown functions $U$ and $V$. Thus, for any given $V(u)$, one can integrate Eq.(4.1) to find the metric coefficient $U(u)$. Physically, this implies that Einstein’s theory allows the existence of any form of pp-waves. This
is no longer true in Einstein-aether theory, due to the presence of the time-like aether field. In particular, in Einstein-aether theory in order to have arbitrary forms of pp-waves exist, the coupling constants $c_i$ must chosen so that one of the following two conditions must be satisfied,

\[(i) \ c_{13} = c_2 = 0, \ c_{14} \neq 0, \ h(u) = h_0, \ \text{or} \]
\[(ii) \ c_{13} = c_2 = c_{14} = 0, \ \forall \ h(u). \tag{4.2} \]

In the former case it can be seen that the aether must be a constant, while in the latter the aether has no contributions to the spacetime, and $T_{\mu \nu}^a = 0$ identically, as can be seen from Eq. (A.1).

In addition to the above two cases, in which any form of pp-waves is allowed to exist in Einstein-aether theory, there exist also several particular cases in which the spacetime and the aether field take particular forms. In particular, non-trivial solutions exist in the other six particular cases,

\[(iii) \ c_{13} = 0, \ c_2 \neq c_{14}, \ c_2 c_{14} \neq 0, \]
\[(iv) \ c_{13} = 0, \ c_2 = c_{14} \neq 0, \]
\[(v) \ c_{13} \neq 0, \ c_{123} = c_{14} = 0, \]
\[(vi) \ c_{13} \neq 0, \ c_{123} = 0, \ c_{14} \neq 0, \ h_u = 0, \]
\[(vii) \ c_{13} \neq 0, \ c_{123} = 0, \ c_{14} \neq 0, \ h_u \neq 0, \]
\[(viii) \ c_{13} \neq 0, \ c_{123} \neq 0, \ Q \neq 0, \tag{4.3} \]

in which the particular solutions of the vacuum Einstein-aether field equations are given, respectively, by Eqs. (3.24)-(3.25); Eqs. (3.32)-(3.34); Eqs. (3.49)-(3.51); Eqs. (3.56)-(3.57); Eqs. (3.66)-(3.68), and Eqs. (3.73)-(3.76), where $Q$ is defined by Eq. (3.69).

In the rest of the cases, the solutions are either not allowed or simply represent the Minkowski spacetime with either a constant or dynamical aether field.

It should be noted that in this paper we have considered only linearly polarized pp-waves. It would be very interesting to extend such studies to include two polarizations of such waves. In addition, it would be also very interesting to study the Faraday rotation of a pp-wave due to its interactions with matter fields and other pp-waves but moving in different directions in Einstein-aether theory, and pay particular attentions on the difference to those found in GR [18, 22], due to the violation of the Lorentz invariance due to the presence of the aether field.

**APPENDIX A: THE EINSTEIN AND AETHER TENSORS $G_{\mu \nu}$ AND $T_{\mu \nu}^a$ AND THE AETHER VECTOR $\mathcal{E}_\mu$**

For the spacetime of Eq. (3.1), the non-vanishing components of the Einstein tensor $G_{\mu \nu}$ and $T_{\mu \nu}^a$ are given by,

\[G_{00} = \frac{1}{2} \left(2U_{uu} - U_u^2 - V_u^2\right), \]
\[T_{00}^a = -\frac{1}{8} \left[2c_2U_{uu} + c_{13}(V_u^2 + U_u^2) + 2(c_{13} + 2 + 3c_{14})\left(h_{uu} - h_uU_u - h_u^2\right)\right], \]
\[T_{0i}^a = \frac{1}{4} \left[c_2\left(U_{uu} - 2h_uU_u - U_u^2\right) + (c_{13} + c_1 - c_{14})\left(h_{uu} - h_uU_u - 2h_u^2\right)\right], \]
\[T_{1i}^a = -\frac{e^{-4h}}{8} \left[2c_2U_{uu} + c_{13}(V_u^2 + U_u^2) + 2(c_{13} + 2 + 3c_{14})\left(h_{uu} - h_uU_u - h_u^2\right)\right], \]
\[T_{2i}^a = \frac{e^{V-U-2h}}{8} \left[c_{13}(2V_{uu} - V_u^2 - 2U_uV_u - 4h_uV_u) - (c_{13} + 2c_2)(2U_{uu} - U_u^2 - 4h_uU_u) - 4c_2h_{uu} + 2(3c_2 - c_{13} + c_{14})h_u^2\right], \]
\[T_{33} = -\frac{e^{(-V+U+2h)}}{8} \left[c_{13}(2V_{uu} + V_u^2 - 2U_uV_u - 4h_uV_u) + (c_{13} + 2c_2)(2U_{uu} - U_u^2 - 4h_uU_u) + 4c_2h_{uu} - 2(3c_2 - c_{13} + c_{14})h_u^2\right], \tag{A.1} \]

and $\mathcal{E}_\mu = (\mathcal{E}_0, \mathcal{E}_1, 0, 0)$, where

\[\mathcal{E}_0 = -\mathcal{E}_1 e^{2h} = -\frac{e^{-h}}{4\sqrt{2}} \left[2c_2U_{uu} + c_{13}(V_u^2 + U_u^2) + 2(c_{13} + c_1 - c_{14})\left(h_{uu} - h_uU_u - h_u^2\right)\right]. \tag{A.2} \]

In the vacuum case, we have $T_{\mu \nu}^a = 0$, and the Einstein-aether equations (2.7) reduce to

\[G_{\mu \nu} = T_{\mu \nu}^a, \tag{A.3} \]

which yield five independent equations,

\[2U_{uu} - V_u^2 + U_u^2 + 2c_{14}(h_{uu} - h_uU_u - h_u^2) = 0, \tag{A.4} \]
\[c_2\left(U_{uu} - 2h_uU_u - U_u^2\right) + (c_{13} + c_1 - c_{14})\left(h_{uu} - h_uU_u - 2h_u^2\right) = 0, \tag{A.5} \]
\[2c_2U_{uu} + c_{13}(U_u^2 + V_u^2) + 2(c_{13} + c_1 - c_{14})\left(h_{uu} - h_uU_u - h_u^2\right) = 0, \tag{A.6} \]
\[c_{13}(2V_{uu} - V_u^2 - 2U_uV_u - 4h_uV_u) = 0. \tag{A.7} \]

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\[- (c_{13} + 2c_2) \left( 2U_{uu} - U_u^2 - 4h_u U_u \right)
\]
\[- 4c_2 h_{uu} + 2(3c_2 - c_{13} + c_{14}) h_u^2 = 0, \quad (A.7)\]
\[c_{13} \left( 2V_{uu} + V_u^2 - 2U_u V_u - 4h_u V_u \right) + (c_{13} + 2c_2) \left( 2U_{uu} - U_u^2 - 4h_u U_u \right)
\]
\[+ 4c_2 h_{uu} - 2(3c_2 - c_{13} + c_{14}) h_u^2 = 0 \quad (A.8)\]

where in Eq. (A.4) we have used the fact that $T_{\alpha \beta}^s$ can be expressed in terms of $T_{\alpha \beta}^I$ which is equal to zero.

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