A Randomized Controlled Pilot Study of Topical Ropivacaine for Prevention of Post-POEM Pain

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Abstract

Background and Aims: Although usually mild to moderate in severity, postoperative pain after peroral endoscopic myotomy (POEM) is common. There are no studies that have addressed minimizing postoperative pain in patients undergoing POEM for achalasia. We hypothesized that intraoperative topical intra-tunnel irrigation with ropivacaine would result in a significant reduction in pain scores in the postoperative period.

Methods: A double-blind, randomized, placebo-controlled trial was conducted at the Kingston Health Sciences Center. Patients received either 30 mL of 0.2% ropivacaine or 30 mL of placebo irrigated topically into the POEM tunnel after completing the myotomy and prior to closing the mucosal incision. The primary outcome was pain post-POEM at 6 h assessed by the Numeric Rating Scale (NRS). Secondary objectives included assessing pain score at 0.5, 1, 2, 4 h post-POEM and on discharge, Quality of Recovery (QoR-15) scores at discharge, narcotic requirement, adverse events, and patients’ willingness to have the procedure done on an outpatient basis.

Results: A total of 20 patients were enrolled. For the primary outcome of pain post-POEM at 6 h, the NRS was 1.1 in the placebo group and 2.4 in the ropivacaine group (95% CI of the difference: $-3.2$ to 0.6, \( P = 0.171 \)). No statistical difference was seen in the pain scores. Overall usage of post-procedural narcotics was low with no differences between the two groups. Fifty percent of patients in both groups were willing to have the procedure done as an outpatient.

Conclusion: The addition of intra-procedural tunnel irrigation with 30 mL 0.2% ropivacaine did not lead to reduced post-POEM pain.

Keywords: Achalasia; Dysphagia; POEM

INTRODUCTION/BACKGROUND

Achalasia is a disorder of esophageal motility characterized by a loss of enteric neurons resulting in impaired relaxation of the lower esophageal sphincter (LES) and absence of esophageal peristalsis (1). There are no current treatments that allow for regeneration of the enteric neurons. Interventions focus primarily on lowering the LES pressure to provide symptom relief and improve quality of life. Interventions include botulinum toxin injection, controlled pneumatic dilatation (PD), laparoscopic Heller myotomy (LHM) and, more recently, peroral endoscopic myotomy (POEM).

POEM has emerged as a minimally invasive treatment for achalasia and is the endoscopic equivalent to surgical myotomy. It is a first line treatment for achalasia and the preferred treatment for type 3 achalasia (2). A pooled analysis of the several randomized-controlled trials that compared LHM and POEM demonstrated similar efficacy, but higher postoperative complications and adverse events in LHM when compared with POEM (3). In addition, when compared with LHM, POEM has been shown to lead to significantly lower post-operative pain, opioid analgesic use and time to return to activities of daily living (4).

Although usually only mild to moderate in severity, postoperative pain after POEM is common. There are no studies that have addressed minimizing postoperative pain in patients undergoing POEM for achalasia. We currently use a multimodal approach to pain management with topical lidocaine, ketorolac, and liquid acetaminophen.

Ropivacaine is a commonly used local anesthetic in other minimally invasive surgeries. In a randomized controlled trial in patients undergoing laparoscopic cholecystectomy, shoulder pain which was assessed for up to 72 h post-operatively was significantly decreased in patients that received 10 mL of 0.25% bupivacaine instilled into the gallbladder bed versus patients that received 10 mL of normal saline (5). A systematic review and meta-analysis revealed that patients undergoing laparoscopic cholecystectomy that received intraperitoneal ropivacaine instillation had significantly lower pain scores as
measured by the visual analogue scale (VAS) at 4–8 h and at 9–24 h and interestingly developed fewer adverse events when compared with control group (6).

We hypothesized that intraoperative topical intra-tunnel irrigation with ropivacaine would result in a significant reduction in pain scores and decreased requirements of additional analgesics in the postoperative period.

**METHODS**

**Study Design and Setting**

This was a randomized, double blind, placebo-controlled trial conducted at the Kingston Health Sciences Center (a tertiary care academic center) from June 2019 to December 2020. The operator and patients were blinded to the treatment. The research pharmacist was not blinded. Patients were randomized by an independent research pharmacist using blinded block randomization. All procedures were performed by a single operator (RB) as described previously (7).

**Inclusion Criteria**

All patients 18 years of age and older undergoing POEM for achalasia who were able to provide informed consent were approached for participation. The diagnosis was based on high-resolution esophageal manometry (HRM) using the Chicago classification 3.0 when available (Sandhill, Milwaukee, WI) (8). If HRM was not available, the diagnosis was based on older manometric, endoscopic and radiologic information.

**Exclusion Criteria**

Patients with known adverse reactions to local anesthetics and NSAIDs, (GFR < 50), chronic pain taking regular opioids (requiring daily opioid therapy > 30 mg morphine or equivalents), and patients unable to give informed consent were excluded.

**Study Intervention**

The study solutions were prepared prior to the procedure by an independent research pharmacist and stored as per pharmacy standards. The study solutions consisted of either 30 mL of saline or 30 mL of 0.2% ropivacaine in 30 cc syringes. Both solutions were clear, colorless and could not be distinguished from one another based on appearance or consistency. On the day of the procedure, the study solution for each patient was obtained in a sealed envelope with anonymized randomization code. During the POEM procedure, after confirming the adequacy of the myotomy, the study solution was instilled into the tunnel through the working channel of the gastroscope. The mucosal incision was then sealed with hemostatic clips.

**Assessment of Pain**

After the POEM procedure, pain was assessed by a blinded clinical research assistant at 0.5, 1, 2, 4 and 6 h as well as on discharge. The Numeric Rating Scale (NRS) and the Visual Descriptor Scale Score (VDS) are validated pain assessment tools that were used to assess and record pain scores (9).

1) **NRS:** The patient was asked to rate their pain on a scale of 0–10, 0 representing no pain, and 10 representing the worst pain they have ever felt in their life.

2) **VDS:** The patient was asked to indicate verbally the severity of their pain as one of the following: no pain, slight pain, mild pain, moderate pain, severe pain, extreme pain, most intense pain imaginable.

**Quality of Recovery (QoR-15) score**

This validated measure captures the patient’s initial post-operative health condition and captures global assessment of patient’s recovery (10, 11). The patients were asked to rate various emotional and physical aspects of their post-operative condition as experienced in the past 24 h on a scale of 0–10. Zero representing an emotion or activity they experienced or accomplished none of time, and 10 representing an emotion or activity experienced or accomplished all of the time.

**Primary Outcome**

1) Post-POEM pain at 6 h as assessed via the NRS.

**Secondary Outcomes**

1) Post-POEM pain scores assessed by the NRS and Visual Descriptor Scale (VDS) at 0.5, 1, 2 and 4 h and on discharge (24–30 hours post-POEM)

2) Quality of Recovery (QoR-15) score (12) at discharge (24–30 h post-POEM)

3) Post-POEM opioid analgesic requirement

4) Adverse events

5) Patient’s willingness to have the procedure performed as an outpatient

**Anesthetic Technique**

Anesthesia and post-operative care were protocolled for patients in this study. After intravenous induction and endotracheal intubation, anesthesia was maintained with sevoflurane and pain managed with fentanyl (25–50 mcg as needed, up to a maximum of 2 mcg/kg), as needed to minimize the effect on post-procedure pain. No long-acting opioids (morphine/hydromorphone) were administered. Immediately prior to extubating, all patients were given dexamethasone 6 mg intravenous (IV), ondansetron 4 mg IV and ketorolac 30 mg IV. The occurrence of post-POEM pain in the Post Anesthesia Care Unit (PACU) was managed with morphine 1–2 mg IV every 5 min as needed as per anesthesia standard practice. Post-POEM nausea and vomiting were treated with haloperidol 0.5 mg IV × 2 doses and dimenhydrinate 25 mg IV every 30 min × 2 doses as required. Post-POEM all patients were started on regular liquid acetaminophen 650mg orally every 4 h, 2% viscous lidocaine 15 mL orally four times per day, sucralfate suspension 1 g orally four times daily and ketorolac (as needed) 30 mg IV every 6 h.

**Sample Size and Statistics**

This is a pilot study as there is a lack of studies to base the calculation of the sample size. A power calculation was performed for the primary outcome NRS score at 6 h post-POEM. A baseline NRS of 5 was assumed. We thought a clinically significant difference would be a reduction in NRS
from 5 to 2. To detect a difference in the mean NRS score from 5 to 2, with SD estimated conservatively at ±2, 10 patients would be required in each group, with alpha set at 0.05 and power of 90%. Data are presented as frequencies and percentages, means (± standard deviation) or median (range) where appropriate. T-tests were used to compare the mean values for the two groups. All calculations were conducted in SPSS v23 (IBM, New York).

**Study Ethics and Registration**
No industry-related funding was received to support this study or compensate study investigators. The study protocol was approved by the health sciences research ethics board at Queen’s University (DMED 2186-18). All patients were voluntarily consented during their clinic visit by a dedicated research coordinator. The study is registered on clinicaltrials.gov NCT03702647 (October 11, 2018).

**RESULTS**
A total of 20 patients were enrolled, 10 in each group (Figure 1). Baseline patient characteristics are presented in Table 1. In the placebo group, 20% had type 1 achalasia, 30% type 2, 40% type 3 and 10% were unclassified. In the ropivacaine group, 60% had type 2 achalasia, 30% type 3 achalasia and 10% unclassified. The median symptoms duration was 121 months in the placebo group and 87 months in the ropivacaine group. Seventy percent of patients in the placebo group had prior treatment for achalasia compared to 60% in the ropivacaine group.

For the primary outcome of post-POEM pain at 6 h assessed by NRS, there was no statistically significant difference between placebo and ropivacaine groups, NRS 1.1 versus 2.4 (95% CI of the difference: −3.2 to 0.6, \( P = 0.171 \)), respectively (Table 2). There was no significant difference in pain scores at 0.5, 1, 2, 4 h and on discharge post-POEM between placebo and ropivacaine groups. In addition, there was no significant difference between placebo and ropivacaine groups in the intra-procedural use of fentanyl, 87.5mcg (SD 46.0 mcg) versus 110.0 mcg (SD 62.6 mcg) (\( P = 0.372 \)), respectively. No adverse events occurred with medications administration and there was no evidence of local anesthetic systemic toxicity. No adverse events occurred during or after the POEM procedure. No differences were seen in QoR-15 scores at discharge in either group (Table 3).

In both groups, the usage of opioids was low (30% Placebo group and 20% Ropivacaine group). Three out of 10 patients used a as needed dose in the placebo group and 2 out of 10 in the ropivacaine group. At 0.5-h post-POEM, one patient used...
in the placebo group used 1 mg of morphine. At 1-h post-
POEM, two patients in the placebo group used 1 mg of mor-
phine each and one patient in the ropivacaine group used
1 mg of morphine. At 2 and 4 h post-POEM, one patient in
each group used 1 mg of morphine. After 4 h post-POEM,
there was no opioid requirement in either group. No patients
required haloperidol or ketorolac. Fifty percent of patients in
both groups were willing to have the procedure done as an
outpatient.

**DISCUSSION**

In this RCT, we found no significant difference between pla-
cebo and ropivacaine. At 0.5-h post-POEM and at discharge,
the mean NRS was 5.3 (SD 2.2) and 0.9 (SD 1.5) in the placebo
group versus 4.2 (SD 2.5) and 1.3 (SD 0.7) in the ropivacaine
group with no statistical difference. These results are similar
to prior published studies reporting post-POEM pain. In a
2017 review by Misra et al., the average first pain score was
4.6 (on a 0-10 numeric scale) in the PACU post-POEM and
3.3 once the patient was on the regular hospital floor (13). In
a 2013 review of LHM versus POEM, post-procedure pain
assessed by the VAS was 3.9 (+/-0.6) in the POEM group and
5.7 (+/-0.4) in the LHM group (P = 0.02) (4).

At our centre, a multimodal approach to post-POEM
pain management with the use of topical viscous lidocaine,
ketorolac, and liquid acetaminophen is used. In both groups,
post-POEM requirement of opioids was low within the first
4 h, and no patients required opioids after 4 h. Pain scores
steadily decreased over time in both groups as seen in Table
2. In our experience, when using this multimodal approach,
narcotics are rarely needed post-POEM. On review of the
results, it was noted that no patients required narcotics 4 h
post-POEM.

Fifty percent of patients in both the placebo group and
ropivacaine stated that they would be willing to have the pro-
cedure done as an outpatient. In a 2019 study looking at out-
comes of 103 POEMs, 62.4% of patients were discharged safely
on the same day (14). Their results suggest that in a select popu-
lation, POEM can be safely performed as an outpatient pro-
cedure as complications are low in expert hands. Post-POEM
pain was the primary reason for requiring admission and this
highlights the importance of managing pain post-POEM.

Thus, with the optimization of post-POEM pain control, select

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**Table 1. Patient demographics**

| Characteristic                              | Placebo (n = 10) | Intervention (n = 10) |
|--------------------------------------------|------------------|----------------------|
| Male sex, no. (%)                          | 6.0              | 8.0                  |
| Age, mean [SD]                             | 58.0 [17.9]      | 52.2 [18.1]          |
| BMI (kg/m²), mean [SD]                     | 27.5 [3.0]       | 27.0 [7.2]           |
| ASA class II                               | 5.0              | 5.0                  |
| ASA class III                              | 5.0              | 5.0                  |
| Achalasia Subtype, no. (%)                 |                  |                      |
| Type 1                                     | 2.0 (20%)        | 0.0 (0%)             |
| Type 2                                     | 3.0 (30%)        | 6.0 (60%)            |
| Type 3                                     | 4.0 (40%)        | 3.0 (30%)            |
| Unclassified                               | 1.0 (10%)        | 1.0 (10%)            |
| Integrated Relaxation Pressure (mmHg), median [IQR] | 20.5 [16.0–31.0] | 27.0 [19.5–33.0]    |
| Symptom duration in months, median [IQR]   | 121.0 [81.0 242.50] | 87.0 [51.0 - 135.0] |
| Patients with prior treatments, no. (%)    | 7.0 (70%)        | 6.0 (60%)            |
| Pre-POEM Eckardt Score, median [IQR]       | 9.0 [7.8–13.3]   | 8.5 [8.0–11.0]       |

**Table 2. Results of post-POEM pain scores**

|                          | Placebo (n = 10) | Ropivacaine (n = 10) | Mean Difference | 95% CI of the Difference | P    |
|--------------------------|------------------|----------------------|-----------------|--------------------------|------|
| NRS: 0.5 h, mean [SD]    | 5.3 [2.2]        | 4.2 [2.5]            | 1.1             | −1.1 to 3.3               | 0.305|
| VDS: 0.5 h, mean [SD]    | 3.8 [1.1]        | 3.3 [1.4]            | 0.5             | −0.7 to 1.7               | 0.396|
| NRS: 1.0 h, mean [SD]    | 4.5 [2.5]        | 3.8 [1.1]            | 0.7             | −1.1 to 2.5               | 0.431|
| VDS: 1.0 h, mean [SD]    | 3.3 [1.1]        | 2.9 [0.9]            | 0.4             | −0.5 to 1.3               | 0.370|
| NRS: 2.0 h, mean [SD]    | 2.9 [2.5]        | 3.5 [1.7]            | −0.6            | −2.6 to 1.4               | 0.533|
| VDS: 2.0 h, mean [SD]    | 2.5 [1.2]        | 2.7 [0.8]            | −0.2            | −1.2 to 0.8               | 0.665|
| NRS: 4.0 h, mean [SD]    | 1.6 [1.7]        | 2.3 [2.0]            | −0.7            | −2.4 to 1.0               | 0.406|
| VDS: 4.0 h, mean [SD]    | 1.8 [1.2]        | 2.1 [1.0]            | −0.3            | −1.4 to 0.8               | 0.556|
| NRS: 6.0 h, mean [SD]    | 1.1 [1.5]        | 2.4 [2.5]            | −1.3            | −3.2 to 0.6               | 0.171|
| VDS: 6.0 h, mean [SD]    | 1.6 [1.0]        | 2.2 [1.2]            | −0.6            | −1.6 to 0.4               | 0.241|
| NRS: Discharge, mean [SD]| 0.9 [1.5]        | 1.3 [0.7]            | −0.5            | −1.6 to 0.7               | 0.406|
| VDS: Discharge, mean [SD]| 1.5 [1.0]        | 1.7 [0.7]            | −0.2            | −1.0 to 0.6               | 0.600|
patients can have the procedure performed on outpatient basis without compromise in patient comfort or morbidity.

The strengths of this study include its design as investigators, patients and outcome assessors were blinded to the intervention. Despite no significant difference in pain scores between groups, we demonstrated that the use of a multimodal non-opioid regimen was adequate for all, with opioids rarely being needed. Limitations include this being a single centre, single operator study and thus generalizability is limited. There was a relatively small sample size, and there is a possibility that a small statistically significant difference between the groups was missed, although the clinical significance of such a difference would be negligible. Our baseline assumption for the primary outcome was a NRS of 5 but the results were much less than expected with a NRS of 1.1 in the placebo group. Therefore, our study would be underpowered to detect a difference. However, given the small difference in pain scores between the two groups, finding such a difference would not be clinically significant and we will not pursue a larger trial

In summary, the addition of intra-operative tunnel irrigation with 0.2% ropivacaine did not lead to reduced pain post-POEM. However, with the regular use of a multimodal post-POEM pain regimen with topical viscous lidocaine, IV ketorolac and liquid acetaminophen good pain control was achieved for all patients with minimal requirement of opioids.

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