Melting of 2D Coulomb clusters in dusty plasmas

R. Ichiki\textsuperscript{a}\textsuperscript{*}, Y. Ivanov\textsuperscript{b}, M. Wolter\textsuperscript{b}, Y. Kawai\textsuperscript{a}, and A. Melzer\textsuperscript{b}

\textsuperscript{a}Interdisciplinary Graduate School of Engineering Sciences, Kyushu University
Kasuga, Fukuoka 816-8580, Japan

\textsuperscript{b}Institut für Physik, Ernst-Moritz-Arndt-Universität Greifswald
17489 Greifswald, Germany

Abstract

The melting of 2D dust clusters caused by one additional particle in the lower layer has experimentally been observed to undergo a two-step transition, which divides the phase of the cluster into three stages. The first transition is a jump of the dust kinetic energy due to the onset of an instability of the lower-layer particle, shifting the cluster from an ordinary to a hot crystalline state. The second transition is the actual phase transition into a liquid state, which occurs at a decisively lower gas pressure. The detailed dynamical properties of the system during the transition were determined in terms of the normal mode analysis.

Dusty plasmas are ideal systems to study the dynamics of crystalline, fluid and gas-like charged particle systems since the spatial and temporal scales are perfectly suited for direct observation by video cameras. The particles are highly negatively charged due to the continuous inflow of plasma electrons and ions. Micrometer-sized particles usually attain charge numbers $Z$ of the order of 10 000. Due to these high charges the dust particles arrange in ordered Coulomb lattices. Finite dust clusters consist of a small number of dust particles $N$ immersed in a gaseous plasma environment. Dust clusters in two dimensions (2D) are formed by trapping the dust particles in the sheath above a bowl-shaped electrode. Vertically the particles are strongly confined due to the balance of electric field force and gravity. A much weaker horizontal confinement is provided by the distorted equipotential lines of the curved electrode. 2D clusters arrange in concentric rings (see e.g. Refs. 1 and 2).

In this paper, we will focus on the dynamics of 2D clusters under a phase transition from the solid to the liquid state. Phase transitions can be driven by reducing the gas pressure in multi-layer dust systems [3, 4]. This is due to an oscillatory instability of the

\textsuperscript{*} Present address: Department of Electronic Engineering, Tohoku University, Sendai 980-8579, Japan
Electronic mail: ryu@ecei.tohoku.ac.jp
lower layer particles which is excited by the ion streaming motion in the sheath [5]. The oscillations heat the dust particles which leads to the melting of the ordered dust system. Here, the full dynamical properties of a dust cluster with $N = 42$ particles is determined during the phase transition. The dynamics are derived in form of the mode spectrum of the $2N$ cluster modes. To pinpoint the melting transition to the instability of the lower layer particles a dust cluster with only a single lower layer particle was prepared (see Fig. 1). The dynamic properties of the cluster were directly obtained from the thermal motion of the upper layer particles during the phase transition. This technique is described in detail in Ref. 2. The analyzed video sequences cover 41 s at a frame rate of 50 frames per second.

Figure 2 shows the dynamical properties of the cluster during the phase transition for 3 representative gas pressures of 12 Pa, 11 Pa and 6 Pa. From the particle trajectories [Fig. 2(a)] at 12 and 11 Pa the cluster is seen to be well ordered whereas at 6 Pa many changes in equilibrium positions can be identified. Moreover, at 11 Pa the particles are found to exhibit an oscillatory motion which are notable from the circular particle trajectories, especially for that central particle under which the lower particle is located [see the arrow in Fig. 1(b)].

In fact, the cluster changes from the solid state (at 12 Pa) to an intermediate state (at 11 Pa) and, finally, to the liquid state (at 6 Pa). As shown in Fig. 3, the change of the cluster dynamics is accompanied by a change of the global parameters that describe the dust system, namely the dust kinetic energy and the Lindemann order parameter $\delta$. The kinetic energy suddenly increases from about 0.1 eV at 12 Pa to 1 eV at 11 Pa and even further to 5 eV at 6 Pa. This energy jump corresponds to the onset of the instability which effectively heats the dust system. The Lindemann parameter $\delta$ that describes the root-mean-square excursions of the particle from the equilibrium positions stays at a very low level down to gas pressures of 8 Pa. This indicates strong order. Below
Fig. 2: Melting transition of the dust cluster with decreasing gas pressure. (a) Trajectories of the cluster particles. (b) Gray-scale power spectra of the normal mode oscillations of the cluster. Darker colors correspond to higher power density. The theoretical mode frequencies for a solid state are also indicated as the black or white solid lines. (c) Phase diagram including the feature of particle oscillations.

Fig. 3: (a) Average kinetic energy of the dust particles in $x$ and $y$ directions and that obtained from the mode spectra. (b) Lindemann parameter $\delta$ as a function of Ar pressure.
8 Pa, $\delta$ suddenly jumps to large values which is a clear sign of melting. That is to say, the melting involves two-stages of transitions: the jump of the dust energy and the actual melting, which divide the phase of the cluster into three states: the solid, intermediate, and liquid states.

The analysis of the normal mode spectra provides detailed characteristics of these three states, especially of the intermediate state. Figure 2(b) shows the mode spectra obtained from the thermal motion of the particles together with the theoretical mode frequencies. In the solid state at 12 Pa the mode spectrum closely follows the expected mode frequencies. In the intermediate state at 11 Pa the situation is drastically different. From the intense horizontal band a dominating oscillatory motion at a frequency of about 4 Hz is observable. This is exactly the frequency of the unstable oscillation of the lower particle that sets in at exactly this gas pressure. It is somewhat surprising that the single lower particle dominates all modes of the cluster. The second harmonic of the unstable oscillations at 8 Hz is also detectable. A closer inspection of the modes reveals that the expected mode structure of the solid state is also faintly visible in the spectrum. In contrast, in the liquid state at 6 Pa the spectrum is broad for all modes and does not at all resemble the mode spectrum of the solid state. Figure 2(c) illustrates the phase diagram of the cluster.

The dynamic behavior is even more clearly seen in the mode-integrated spectra or the power spectral density of the entire system, but the details will be reported elsewhere.

Concluding, three different phases of the dust cluster have been identified. At high gas pressures, the cluster is in a solid state with high order, low dust kinetic energy and a solidlike mode spectrum. In the intermediate phase, the cluster is dominated by the unstable oscillations. The particles are heated, but the system is still in an ordered state. Thus, this state can be characterized as a hot, oscillating crystal. At low gas pressures the cluster goes to the liquid phase with even hotter instability-heated particles, low order and a broad mode spectrum. The analysis of the cluster dynamics thus demonstrates that the heating can be definitely attributed to the heating by the oscillatory instability of the lower layer particle.

[1] W.-T. Juan et al., Phys. Rev. E 58, 6947 (1998).
[2] A. Melzer, Phys. Rev. E 67, 115002 (2003).
[3] A. Melzer, A. Homann, and A. Piel, Phys. Rev. E 53, 2757 (1996).
[4] H. Thomas and G. E. Morfill, Nature 379, 806 (1996).
[5] V. A. Schweigert et al., Phys. Rev. Lett. 80, 5345 (1998).