On the Mass of Dense Star Clusters in Starburst Galaxies from Spectro-Photometry

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ABSTRACT

The mass of unresolved young star clusters derived from spectro-photometric data may well be off by a factor of 2 or more once the migration of massive stars driven by mass segregation is accounted for. We quantify this effect for a large set of cluster parameters, including variations in the stellar IMF, the intrinsic cluster mass, and mean mass density. Gas-dynamical models coupled with the Cambridge stellar evolution tracks allow us to derive a scheme to recover the real cluster mass given measured half-light radius, one-dimensional velocity dispersion and age. We monitor the evolution with time of the ratio of real to apparent mass through the parameter η. When we compute η for rich star clusters, we find non-monotonic evolution in time when the IMF stretches beyond a critical cutoff mass of 25.5 M⊙. We also monitor the rise of color gradients between the inner and outer volume of clusters: we find trends in time of the stellar IMF power indices overlapping well with those derived for the LMC cluster NGC 1818 at an age of 30 Myr. We argue that the core region of massive Antennæ clusters should have suffered from much segregation despite their low ages. We apply these results to a cluster mass function, and find that the peak of the mass distribution would appear to observers shifted to lower masses by as much as 0.2 dex. The star formation rate (SFR) derived for the cluster population is then underestimated by from 20 to 50 per cent.

Key words: methods: numerical – stars: evolution – stars: kinematics – stars: luminosity function, mass function – galaxies: star clusters – galaxies: clusters: individual: NGC 1818

1 INTRODUCTION

Star clusters are traditionally thought of as old primordial structures with ages ranging up to a Hubble time, hence the emphasis of theoretical modeling on their long-term evolution (e.g. Spitzer 1987; see Meylan and Heggie 1997 for a review). However the wealth of massive young clusters with spectroscopic ages of less than 100 Myr observed with the HST in interacting galaxies (e.g. the Antennæ, M81/82) has driven much interest in recent years to the understanding of their formation and early evolution. Closer to us, the Large Magellanic Cloud hosts a set of young clusters (Elson et al. 1989; Elson 1991), of which some show signs of primordial mass segregation. The cluster NGC 1818 is one such cluster where colour gradients are difficult to account for other than as a result of their formation history (Hunter et al. 1997). These issues clearly have bearing on the subsequent dynamical evolution and photometric properties of clusters and their immediate surroundings (e.g., Lamers et al. 2006).

To understand very young clusters in quantitative detail poses a particular challenge to theorists since realistic models must account both for the dynamics and the rapidly-evolving photometric properties of a young stellar population. In this contribution, we aim to identify evolutionary trends that lead potentially to large errors when measuring the mass of an unresolved cluster with photometry and spectroscopy, an effect that bears on all observable cluster properties.

When in dynamical equilibrium, the virial theorem gives an exact relation between mass $M$ and mean three-dimensional velocity dispersion $\sigma$: 

$$ M = \frac{|W|}{\sigma^2} = \frac{r_g \sigma^2}{G}, \quad (1) $$

where $W$ is the gravitational potential energy, $G$ the gravitational constant and $r_g$ a radius so defined. All quantities entering Eq. (1) must be matched with observables in projection. The line-of-sight velocity dispersion $\sigma_{ls}^2$ equals $\sigma^2/3$ for an isotropic velocity field, while the gravitational radius may be expressed in terms of the projected half-light radius.
that relates observed cluster properties to their underlying potential and draws attention to future developments.

2 THE DYNAMICS OF MASS SEGREGATION

Two conditions have to be met for Eq. (4) to be applicable. First, all stellar components should be in dynamical equilibrium, a sensible assumption whenever the cluster age exceeds the virialization time-scale, i.e., several system crossing time $t_{cr}$, where

$$t_{cr} \equiv 2 t_{hm}/\sigma$$

with $r_{hm}$ being the spherical half-mass radius. The mechanics of virialization leads to equilibrium velocity distribution functions independent of stellar masses when all stars have the same radial distribution. Collisionsal gravitational dynamics, on the other hand, sets a trend towards equipartition of kinetic energy between stars of different masses as the system evolves. The resulting instability has been studied by Spitzer (1969), see also Khalisi et al. (2006) for a recent work. For two-component systems of individual masses $m_1$ and $m_2$, this situation is expressed as

$$\frac{1}{2} m_1 \sigma_1^2 = \frac{1}{2} m_2 \sigma_2^2$$

and hence the ratio of squared velocities of the stars equals the inverse ratio of their masses: heavier stars have lower velocities on the mean, and drop to the centre of the cluster. The state of dynamical equilibrium is a good approximation to the dynamics only when the migration of the heavy stars takes place over long time-scales.

Secondly, the light should trace the mass so that half-light and half-mass radii are identical. When this is not the case, the mass $M$ may be derived from either of the two relations

$$M = \eta_0 \frac{R_{hm} \sigma_{m1d}^2}{G} \quad \text{and} \quad M = \eta \frac{R_{hl} \sigma_{los}^2}{G}$$

where $\sigma_{m1d}$ is the mass-weighted velocity dispersion in projection along the line of sight, and $\sigma_{los}$ its light-weighted analog, i.e. the line of sight velocity dispersion most directly accessible to observation. $\eta_0 \approx 10$ is the reference value mentioned already. These relations combine to give

$$\eta = \eta_0 \frac{R_{hm} \sigma_{m1d}^2}{R_{hl} \sigma_{los}^2}$$

and hence $\eta \neq \eta_0$ whenever light and mass follow different runs with $R$. Since bright stars carry all the light but a small fraction of the total mass, we expect $\eta > \eta_0$ as the massive stars migrate to the centre, and both $R_{hm}$ and $\sigma_{m1d}$, weighted through the near-static total mass distribution, remain essentially constant.

2.1 Characteristic time-scales

The total mass distribution of a star cluster evolves slowly over the relaxation time $t_0$ of single-population clusters given by

$$t_0 \approx \frac{0.138}{2} \left[ \frac{r_{hm}}{r_g} \right]^{1/2} \frac{N}{\ln(0.4 N)}$$

References

[1] By convention and when possible, projected quantities are denoted with upper case letters.
which is identical to Meylan & Heggie (1997, §7) once Eq. (4) is taken into account where we used $2R_{hm}$ in the definition rather than $r_g$. $N$ is the number of member stars and the ratio $r_{hm}/r_g \approx 0.4$ for a wide range of model fits to observed clusters.

With $N = 500000$, $r_{hm} = 4/3 R_{hm} = 1.3$ pc (suggested from massive clusters data) and $\sigma = \sqrt{3} \sigma_{los} = 26$ km s$^{-1}$, we find a relaxation time $t_r \approx 1800 t_w \approx 180$ Myr (9)

and hence no massive cluster with an age of less than 100 Myr would be expected to show signs of evolution due to two-body relaxation. It is this argument that led to the widely used assumption of no evolution of clusters in young starburst galaxies. However, Farouki & Salpeter (1982) pointed out that the trend toward equipartition is hence no massive cluster with an age of less than 100 Myr would be expected to show signs of evolution due to two-body relaxation. It is this argument that led to the widely used assumption of no evolution of clusters in young starburst galaxies. However, Farouki & Salpeter (1982) pointed out that the trend toward equipartition is

where $\bar{p} \equiv (M/2)/(4\pi r_{hm}^3/3)$ is the mean density inside the three-dimensional half-mass radius (an over-line denotes averaging over space, and brackets averaging by mass); and $m_{max} = \max(m_j)$, $j = 1...J$. Note that $t_{hm} \approx t_r$ when the mass spectrum is narrow, i.e., we recover the single-component cluster relaxation time. The mean mass $\langle m \rangle \approx 0.7 M_\odot$ for a standard Kroupa IMF (Kroupa 2002). Going back to the numerical example given in the above, setting $m_{max} = 20 M_\odot$ in Eq. (10) already reduces the mass segregation time-scale $t_{hm}$ to a few Myr, suggesting that mass segregation will be effective over the life of massive stars.

2.2 Example: 2-mass-component systems

Consider a cluster with two stellar masses, $m_1 < m_2$, mean surface density $\Sigma$ and mass weighted squared velocity dispersion $\langle \sigma^2 \rangle$. Each component $i$ has a velocity dispersion $\sigma_i$, a surface density $\Sigma_i$ and a surface brightness $\Lambda_i$. The equilibrium velocity dispersion assuming Eq. (6) leads to

$$\langle \sigma^2 \rangle = \frac{\sigma_1^2 \Sigma_1}{\Sigma} \left( 1 + \frac{\Sigma_2 \sigma_2^2}{\Sigma_1 \sigma_1^2} \right)$$

$$= \frac{\sigma_1^2 \Sigma_1}{\Sigma} \left( 1 + \frac{\Sigma_2 m_1}{\Sigma_1 m_2} \right).$$

(11)

On the other hand, using light to weigh the quantities yields

$$\sigma_{lw}^2 = \sigma_1^2 \frac{\Lambda_1}{\Lambda} \left( 1 + \frac{\Lambda_2 m_1}{\Lambda_1 m_2} \right).$$

(12)

Since the stellar IMF is peaked at the low-mass end and $m_1 < m_2$, the surface density of the second component $\Sigma_2 < \Sigma_1 \approx \Sigma$. The same quantities weighted by light yield a different result. Since $m_1 < m_2$, the brightness $\Lambda_1 \ll \Lambda_2$ so that generally $\Lambda_2 m_1 > \Lambda_1 m_2$ for a standard IMF (see §1.1.1). This gives the following, approximate, relations

$$\langle \sigma^2 \rangle \approx \sigma_1^2$$

and

$$\sigma_{lw}^2 \approx \sigma_2^2.$$  (13)

By the same line of arguments, we obtain for the radii

$$R_{hm} \approx R_{hm1} \quad \text{and} \quad R_{hl} \approx R_{hl2}$$

(14)

Owing to mass segregation, we anticipate $\sigma_1^2 > \sigma_2^2$ and $R_{hm1} > R_{hm2}$. As a result, $\eta$ computed from Eq. (4) gives

$$\eta = \frac{R_{hm1} \sigma_1^2}{R_{hm2} \sigma_2^2} > \eta_b.$$  (15)

This reasoning will hold true for multi-mass cases in general since we may replace $m_1$ by $\langle m \rangle$ and $m_2$ by $m_{max}$: light-weighted quantities trace positions and velocities of the heavier stars, whereas the global potential and kinematics are set by the less-massive stars.

3 NUMERICAL METHOD

3.1 Gas models

Large stellar systems share several thermodynamical properties with classical gases (Lynden-Bell & Wood 1968). A cluster composed of stars of different masses may be likened to a set of concentric spheres of ideal gas satisfying Poisson’s equation. Larson (1970) pioneered a method based on moments of the Boltzmann equation by which energy (‘heat’) flows through the system as it would in a fluid. The temperature of a stellar system, then, is identified with the local square velocity dispersion so that heat may be transported from low dispersion regions to high dispersion regions (owing to the negative heat capacity of gravity). Stellar collisions are treated through a local heat conduction equation (Lynden-Bell & Eggleton 1980) which may be calibrated to give evolutionary tracks virtually indistinguishable from those obtained from N-body calculations (see Spurzem & Takahashi 1995).

Bettwieser & Inagaki (1985) give a good insight of the hydrodynamical spirit of the model but note that their closure equation requires modification for agreement with Fokker-Planck models (Spurzem & Takahashi 1995). A complete and anisotropic formulation based on moments of the Boltzmann equation can be found in Louis & Spurzem (1991).

3.2 Integration code

The numerical code Spedi$^2$ that we use is based largely on the formulation for anisotropic stellar systems due to Louis & Spurzem (1991). It was developed further by Spurzem & Takahashi (1995). The equations are set on a logarithmic mesh using a scheme which is forward-differencing in space and centered in time. Time-integration was performed iteratively using a semi-implicit Newton-Raphson-Henney method. The gravitational potential is evaluated from the updated (total) density profile directly from Poisson’s equation.

Spedi has been adapted by one of us (Deiters 2001) to include a model of stellar evolution. We refer to the resulting code as GasTel. Stars are evolved according to the Cambridge stellar evolution tracks, which are available in a convenient analytical form (Pols et al. 1998, Hurley et al. 2000). By the end of their lives, stars have lost a significant fraction of their mass. This mass lost by stars is

\[ \text{Further details at http://www.ari.uni-heidelberg.de/gaseous-model/} \]
expelled instantaneously from the cluster. However, we may still compute $\eta$ in the approximation that the total cluster mass remains constant for short evolution times since in reality the gas will not leave the cluster instantly (see §6.3).

All variables are evaluated on a 200-point grid in Heggie & Mathieu’s (1986) N-body units. The constant logarithmic width between two grid points is $d \ln r \approx 0.095$. Spatial resolution in the centre is excellent (152 mesh points to the initial half-mass radius at 0.6 numerical units) and the grid extends up to 60 numerical units.

### 3.3 Calibration, tests

The only free parameter in the equations of the gaseous model is the value of the conductivity (sometimes denoted $\Lambda$). It is then adjusted to be consistent with N-body calculations and to recover the core collapse time in the case of a system of $N$ identical masses (Bettwieser & Inagaki 1985, §2.2, see also Spurzem 1992). Several tests have been conducted to compare gas cluster models with Fokker-Planck integrations and direct N-body calculations (Giersz & Heggie 1994; Giersz & Spurzem 1994; Spurzem & Takahashi 1995). Most of these tests were for two-component models of modest mass ratios, while the stellar mass range of interest here covers nearly two decades. We therefore checked explicitly that the numerical setup correctly reproduces the dynamics of multi-mass models with a broad mass spectrum. Spitzer & Shull (1975) presented results of mass segregation from Fokker-Planck calculations of three-component Plummer models. The three masses were in the ratio 2/5:1:5/2 and drawn from a Salpeter IMF. Fig. 1 graphs the time evolution of the half-mass radius of each component as obtained with GasTel (dotted curves) along with the results read off Fig. 1 of Spitzer & Shull (1975). We find very good agreement with their data. In particular we find the evolution for the most massive stars well recovered from an exponential decay of the form $R_{hm}(t) = R_{hm}(0) \exp(-t/1.57t_{th})$.

### 4 METHOD OF ANALYSIS AND ERROR ESTIMATES

GasTel computes for each dynamical mass group the mass distribution and velocity dispersion on a radial grid. The mass density $\rho(r)$ and velocity dispersion $\sigma(r)$ of each group are integrated along the line of sight to obtain the projected distributions at cylindrical radius $R$ using the relation $r^2 = R^2 + z^2$:

$$\Sigma(R) = \int_{-\infty}^{+\infty} \rho(r) \, dz$$

(16)

$$\Sigma \sigma_{los}^2(R) = \int_{-\infty}^{+\infty} \rho(r) \sigma^2(r) \, dz$$

(17)

The half-mass radius and mean velocity dispersion are computed for each mass group. System averages are then computed by summing over all groups using either the density or the light flux as statistical weight. A stand-alone programme is used to pick the most luminous stellar mass at each output time. This approach allows us to combine the properties of different stars as desired in the analysis, without having to re-run the simulation with a different setup. For example, it will prove illuminating in the first instance to monitor physical quantities attached to the most luminous stars alone as function of time, before profiling the system including contributions from all the stars (see §4.3).

### 4.1 Mass sampling

Our overall goal is to describe accurately the early evolution of a cluster. This suggests that we seek out a relation between the spectrum of stellar masses and the time-scale for dynamical evolution given by Eq. (10), before selecting a set of stellar mass groups.
4.1.1 Stellar IMF

The field stellar IMF in the solar neighbourhood sets a standard reference (Kroupa 2002). This distribution function of single stars of mass \( m \) is well fitted by a piece-wise power law,

\[
f(m) \propto \begin{cases} 
  m^{-\alpha} & \text{if } m < 1 \, M_{\odot} \\
  m^{-\beta} & \text{if } 1 \, M_{\odot} < m < 10 \, M_{\odot} \\
  m^{-\gamma} & \text{if } m > 10 \, M_{\odot}
\end{cases}
\tag{18}
\]

where \( \alpha = 1.30, \beta = 2.35, \) and \( \gamma = 4.0. \) The value of \( \alpha \) has significant uncertainties \( \pm 0.7 \) (Kroupa 2002) whose implications will be discussed in §4. Stellar demographics are computed by integrating \( f(m) \, dm \) up from a lower value which we set above the brown dwarf limit at \( 0.1 \, M_{\odot}. \) If the real mass distribution extended to \( 0 \, M_{\odot} \) with the same power law, our cut at \( 0.1 \, M_{\odot} \) would allow us to account for 80 per cent of the actual mass and 99.9 per cent of the actual emitted light of the low mass stars (\( M < 1 \, M_{\odot}. \)) The mean stellar mass computed from Eq. (18) is

\[
\langle m \rangle = \frac{\int_{0.1 \, M_{\odot}}^{\infty} m \, f(m) \, dm}{\int_{0.1 \, M_{\odot}}^{\infty} f(m) \, dm} \approx 0.7 \, M_{\odot}.
\tag{19}
\]

However, note that due to discrete and non uniform sampling, in most of the simulations we have \( \langle m \rangle \approx 0.85 \, M_{\odot}. \)

4.1.2 The mass spectrum and the importance of stellar evolution

The mean value given by Eq. (19) is only weakly dependent on the upper bound of integration. That upper bound should be chosen so as to reflect the richness of stellar populations of massive clusters, yet without overwhelming the computational scheme.

The lifetime of a star is a steep function of its mass. We find the following polynomial to give a good fit to stellar lifetimes in the mass range \([5 \, M_{\odot}, 70 \, M_{\odot}]:\)

\[
\log t_{\text{lif}} = c_1 \times \langle \log(m) \rangle^2 + c_2 \times \langle \log(m) \rangle + c_3
\tag{20}
\]

where \( c_1 \approx 0.96, c_2 \approx -3.7 \) and \( c_3 \approx 4.2; \) \( m \) is expressed in solar masses, and \( t_{\text{lif}} \) in Myr. A star will take full part in the time-evolution of the cluster through two-body scattering if its lifetime exceeds the mass-segregation time of Eq. (11), which we rewrite as (dropping the subscript max)

\[
t_{\text{ms}} = \frac{K}{m}
\tag{21}
\]

where

\[
K \equiv \frac{\pi}{3} \frac{\bar{\rho} \langle m \rangle}{\rho} \left[ \frac{r_{\text{ms}}}{r_{\text{s}}} \right]^{3/2} t_{r}.
\tag{22}
\]

Combining the two time-scales allows us to find a reference mass to satisfy the relation \( \log t_{\text{ms}} < \log t_{\text{lif}}. \) Substituting \( t_{\text{lif}} \) from Eq. (20) we obtain

\[
0 < c_1 \times \langle \log(m) \rangle^2 + (c_2 + 1) \times \langle \log(m) \rangle + c_3 - \log K,
\tag{23}
\]

a quadratic inequality for \( \log m. \) Solving for the roots of this quadratic we obtain

\[
\log m^\pm = \frac{-c_2 - 1}{2c_1} \pm \frac{\sqrt{(c_2 + 1)^2 - 4c_1 (c_3 - \log K)}}{2c_1}. \tag{24}
\]

The interpretation of this result is straightforward. All stars with initial mass \( m \in [m^-, m^+] \) will not migrate much to the centre of the cluster in the course of their lifetime on the main sequence. Those with masses above \( m^+ \) and below \( m^- \), will. Therefore to model accurately the very early stages of clusters we should ideally include all stars above \( m^+ \).

Recently, Figuer (2005) has argued from Arches cluster data that all stars have initially a mass \(< 150 \, M_{\odot}; \) this would set an absolute upper limit on the mass spectrum. However the impact of such very massive stars on the dynamics is small since they carry a minute fraction of the total mass and luminosity of the system. Thus, the most massive stars we have included in some of the calculations in this paper had \( m = 70 \, M_{\odot} \) which already exceeds the mass of Wolf-Rayet stars.

The relation of \( m^\pm \) to cluster parameters is summed up in the constant \( K; \) the fraction of all the stars that will contribute more effectively to mass segregation is, therefore, an implicit function of the cluster which we wish to model. The minimum of Eq. (24) occurs for

\[
\log m = \frac{-c_2 + 1}{2c_1} \approx 1.41,
\tag{25}
\]

or \( m \approx 25.5 \, M_{\odot}. \) This is the only root to the quadratic when

\[
K = c_3 - \frac{(c_2 + 1)^2}{4c_1} \approx 200.0 \equiv K_c
\tag{26}
\]

where the numerical value follows from our choice of fitting parameters but is otherwise uniquely defined.

The meaning of \( K_c \) becomes clear if we recall the definition of \( K \) and \( t_r, \) Eq. (21) and (11). Note first that there are no real roots to Eq. (24) when \( K < K_c. \) Whenever that is the case, all stars drawn from the IMF effectively segregate while on the main sequence and lose very little mass in the process. When \( K \) exceeds \( K_c, \) all stars in the interval \([m^-, m^+] \) must evolve significantly on their way to the centre.

But since \( K \) increases with the relaxation time \( t_r, \) itself a rising function of the number of stars \( N \) (at a given crossing time), we may work out a value for \( N \) beyond which it is unrealistic to neglect stellar evolution. We find after some algebra that the condition \( K \geq K_c \) reduces to

\[
\frac{\bar{\rho} \langle m \rangle}{\rho} \frac{t_{r_{\odot}}}{1 \text{Myr}} \frac{N}{0.4\, N} \geq 86 \, K_c \tag{27}
\]

where we have substituted the numerical factor \( r_{\text{ms}}/r_{\text{s}} = 0.4. \) Any multi-mass cluster model (N-body or otherwise) that does satisfy this inequality and neglects the stellar evolution processes is in error. We can isolate for \( N \) in Eq. (27) by taking characteristic values for the crossing time and mean stellar mass to be \( t_{r_{\odot}} \approx 0.5 \) Myr and \( \langle m \rangle = 0.7 \, M_{\odot}. \) If the stars are not segregated by mass initially then on average \( \bar{\rho} \rho = 1 \) by definition. With these values inserted in Eq. (27) we find \( N \geq 6 \times 10^5 \equiv N_c, \) above the census of an average star cluster in the Galaxy (\( \langle M \rangle = 300,000 \, M_{\odot} \)), but not atypical of clusters in the Antennae (Mengel et al. 2002, table 3).

Note that for a given crossing time, a multi-mass calculation with \( N < N_c \) will correctly reproduce the migration of stars up to a time of order \( t_{\text{ms}} \) even without accounting for stellar evolution. Portegies Zwart & McMillan (2002) and Gürkan et al. (2004) used this argument to model runaway
collapse of a cluster leading to the formation of an intermediate mass black hole. To perform their simulations, they supposed that the relaxation time of their cluster was less than 30 Myr so that collapse occurs before the first stars explode around 3 Myr.

4.1.3 The choice of mass bins

To include very-low mass stars in the computation is costly and brings little in terms of the time-variation of the light curves, cf. Eq. (10). The difficulty resides in having to resolve the light profile of the high-mass stars, and the potential, dominated by sub-solar mass stars, simultaneously. We mitigated this problem partly by selecting a low-mass cutoff of 0.1 M\(_\odot\), well below the mean mass of Eq. (10). We then defined the mass ensemble \(\{m_j\}, j = 1, 2, ... J\), such that the lifetimes of two successive high-mass components, \(m_j, m_{j+1}\), differ by \(\approx 5\) Myr using Eq. (20). We refer to all stars with initial mass \(m > 5\) M\(_\odot\) as ‘massive stars’. In contrast, the sampling of the mass spectrum in the interval [0.2, 5] M\(_\odot\) followed a geometric mass-doubling progression: \(m_1 = 0.2\) M\(_\odot\), \(m_2 = 0.4\) M\(_\odot\), \(m_3 = 0.8\) M\(_\odot\), etc. We define \(m_{1/2} = m_{1/2} = 0.1\) M\(_\odot\). In short, the ensemble \(\{m_j\}\) spans the mass range 0.2 M\(_\odot\) to \(m_1\) non-uniformly and allows a much-improved focus on the evolution of the massive stars.

With mass bins so chosen, the IMF is integrated over each interval \(m_{j+1/2} - m_{j-1/2}\) to distribute the mass within each bin \(j\) and normalised so that

\[
\int_{m_{1/2}}^{m_{j+1/2}} f(m) dm = N. \quad (28)
\]

The geometric mean of two successive mass groups has been used to define the bounds of integration \((m_{j\pm 1/2})\) for each group (see Fig. 2).

Simulations were done with 7, 14 and 35 mass groups to investigate variations due to a finer sampling of the stellar mass function (see Fig. 3). The trends in mass segregation are robust to decreasing or increasing the number of groups, but are more noisy in calculations performed with on the order of only a few groups. All results in this article are for runs with \(J = 35\) mass groups unless stated otherwise.

4.2 Radius determinations and Monte-Carlo checks

We may distinguish between the half-mass radius derived for the continuous density profile of the gas model, and the same radius derived for an N-body rendition of that continuum.

The half-mass radius for each component of the gas model is computed by integrating once over the entire plane to obtain the total mass of the group; the grid is then sampled to identify the radius \(R_{\text{hm}}\) enclosing half of the mass. A linear interpolation at the grid points bracketing \(R_{\text{hm}}\) gives accuracy to second order in the grid interval. Owing to a very fine meshing up to and beyond the half-mass radius, errors on this radius are negligible. In practice one would like to know what errors are introduced when a finite-N model is projected on the grid and the same radius evaluated from star counts. This is particularly important when the number of stars of a given mass group is low and statistical fluctuations comparatively large.

To that end, we performed two sets of Monte-Carlo (MC) tests. First, we computed the half-mass radius for an ensemble of \(N\) stars from the surface density of a Plummer sphere projected on the sky. We call the result \(R_{\text{mc}}\). Looking at the dependence in \(N\) of the fluctuations of \(R_{\text{mc}}\) around \(R_{\text{hm}}\), we concluded that they were of a Poissonian form, mostly due to the random selection of a star in the given density function. For example, with \(N = 50\) stars, the dispersion around the mean value is of order 15 per cent, for \(N = 1000\), it is 3 per cent. Second, we perform 1000 Monte-Carlo realizations of the 500 000 stars reference model (see Table 1) using the density functions at 10 and 40 Myr to see which are the dispersions of the fluctuations on the mean value of the half-light radius. In both cases, the dispersion was of order 3 per cent assuring that in a real cluster of half a million stars the half-light radius is dominated by the 1000 or so (i.e. 0.2 per cent) most luminous stars.

4.3 Predominant group approximation

Following the latter remark, and in order to have a better understanding of what is going on, we decided to restrain our measures to the most luminous component whose half-mass radius and velocity dispersion are assumed to be the measured half-light radius \(R_{\text{hl}}\) and velocity dispersion \(\sigma_{\text{los}}\) of the whole cluster.

Figure 3 graphs \(\eta\) for each individual group (thin lines) and the brightest stars (thick solid line). The individual thin curves all increase from their value at \(t = 0\). The increase is steeper for the most massive stars, as expected. At \(t \approx 10\) Myr, these stars become supernovæ and turn to faint stellar remnants thereafter. The \(\eta\) we compute for the system drops sharply to the underlying value given by the new most-luminous stellar population. And so on for each subsequent episode of mass loss through supernovæ events. Note that at later times the \(\eta\) of individual stellar groups decreases as a result of significant mass loss. This trend is again driven by mass-segregation, when the lighter remnants are expelled from the central region by the massive stars. Such remnants behave like point-sources of gravity with no further stellar evolution. The trend where \(\eta\) decreases is caused mainly by an increase of the half-mass radius, which is more significant than variations in velocity dispersion.

The value of \(\eta\) computed from the most luminous component gives an upper limit on the value of \(\eta\) derived from the integrated light of all stars. An illustration based on bolometric light will be discussed in §6.3 (Fig. 12). Note that the difference between the two values depends on the wavelength of observation. At the near-infrared wavelengths often used to study highly reddened starburst clusters, both values of \(\eta\) are essentially identical as soon as the most massive stars have evolved off the main sequence. Indeed, short-lived red supergiants or AGB stars overwhelm other sources of light; at each time, their distribution is that of the currently most massive objects.

4.4 Number of components

The large oscillations seen on Fig. 4 for a model with \(J = 7\) components suggested to us to aim for a significantly larger sampling of the mass function to reduce noise to acceptable...
Mass of Dense Star Clusters in Starburst Galaxies

Figure 2. Generic form of the stellar IMF, Eq. (18). The reference IMF (solid line) corresponds to the power index triplet \((\alpha, \beta, \gamma) = (1.30, 2.35, 4.00)\). Changing \(\alpha\) changes the slope on the left side of the figure. The integration algorithm is illustrated for a mass \(m_j\); the integration boundaries are chosen to be the geometric means \(m_{j-1/2} = \sqrt{m_{j-1} m_j}\) and \(m_{j+1/2} = \sqrt{m_j m_{j+1}}\) which are mid-logarithmic intervals.

Figure 3. Mean value \(m_j\) of each mass group \(j\) for simulations with 7 (\(\times\)), 14 (\(\Box\)) and 35 (\(\bigcirc\)) components. The sampling used for the 500 Myr evolution simulation (Fig. 12) is also plotted (+).

levels. Boily et al. (2005) had found for a different reference model that \(J = 14\) already gave enough precision to identify global trends. Some uncertainties remain with \(J = 14\) models in the later stages of evolution and in particular when the evolution time exceeds 100 Myr. This suggested to us to increase \(J\) to the largest possible value. The computational time however is quadratic in \(J\) and so after some experiments, we settled for a compromise value of \(J = 35\) mass groups binned inhomogeneously as described in §4.1. The difference between \(J = 14\) and \(J = 35\) models lies mainly in a much smoother transition at the time when the massive stars undergo rapid mass-loss while the dynamics for the same mass-component is less affected.

5 PARAMETER SURVEY

We now survey different parameter values for \(N\), \(m_{\text{max}}\), \(R_{\text{hm0}}\) and \(\langle m \rangle\). We tried in each comparison to maintain all but one parameter fixed to the reference model values given in Table I. From equations (10), (8) and (4) coupled with Eq. (1) to eliminate \(\sigma\), we get

\[
t_{\text{ms}} \propto \frac{\langle m \rangle}{m_{\text{max}}} \times \frac{N}{\ln(0.4 N)} \times \frac{R_{\text{hm0}}}{\sqrt{\langle m \rangle} N / R_{\text{hm0}}} \\
\propto \frac{\sqrt{\langle m \rangle} N R_{\text{hm0}}^3}{m_{\text{max}} \ln(0.4 N)}
\]

(29)

The survey will highlight dependencies of \(t_{\text{ms}}\) on each quantities. In all the graphs that follow the solid line indicates the reference model of Table I unless stated otherwise.
of mass equal to the mean mass of Milky Way clusters \( \eta \approx \frac{m}{\text{M}_\odot} \). The indexes \( \alpha, \beta, \gamma \) define the IMF (see Eq. 18). The 8 parameters on the left are adjustable in the code whereas the 6 on the right are derived from them.

### 5.1 Particle number

We first investigate the behaviour of \( \eta \) when changing the total number of stars, \( N \) while the initial half-mass radius \( R_{\text{holm}} \) is kept unchanged. The number \( N \) of the survey ranged from 4 to 15 \( \times 10^5 \) stars. These values of \( N \) bracket the clusters of mass equal to the mean mass of Milky Way clusters (some 300,000 \( \text{M}_\odot \), Meylan & Heggie 1997) and the very rich Antennae clusters of more than 10\(^6 \) \( \text{M}_\odot \) (e.g. Mengel et al. 2002). The models all have identical mass groups and upper mass limit. With the main sequence lifetime of 20 \( \text{M}_\odot \) clusters \( \approx 10 \) Myr we expect from Eq. 20 a more segregated profile and larger \( \eta \) at that time for smaller-\( N \) systems, and a similar trend in evolution thereafter. Fig. 4 graphs \( \eta \) for five values of \( N \) in the range indicated. It is clear from that figure that richer clusters, with a longer segregation time, show a less-rapidly changing \( \eta \). This situation carries over beyond \( t \approx 10 \) Myr when the first supernovae events occur, as the second most heavy stars continue to converge to the centre on their own segregation time-scale, also \( \propto \sqrt{N} \). As a result the mass profiles are less segregated when the stars move off the main sequence in succession for runs with higher values of \( N \). Overall differences in the profiling of \( \eta \) at times \( t > 10 \) Myr remains small; for instance the average slope \( d\eta/dt \) is \( \approx 1.20 \) for the \( N = 1.5 \times 10^6 \) model, and \( \approx 1.31 \) for the smallest-\( N \) model shown here. The differences are without major implications if we are concerned with clusters of ages \( < 100 \) Myr or so.

### 5.2 Non monotonic evolution?

Large-\( N \) clusters will host a very rich stellar population and very massive stars. These stars have very large luminosity but are extremely short-lived; their impact on the value of \( \eta \) should therefore be more significant on short time-scales. It is interesting, then, to follow the behaviour of \( \eta \) for individual components when the mass spectrum includes heavy stars easily identifiable from spectroscopy which could be taken as tracers for the global dynamics. A tracer might be the brightest stellar component at any given time and we have seen how \( \eta \) can be estimated from the brightest component alone (Fig. 4). Would \( \eta \) increase monotonically in time if such a tracer was used instead of a global value obtained from integrated light? Call \( \eta_j \) the value of \( \eta \) computed for a single component \( j = 1, 2, \ldots, J \), of mass \( m_j \), and the mass spectrum \( \Sigma(0) \). The mass of the brightest star is a monotonically decreasing function of \( t \) and if \( \eta \) is the brightest mass group at time \( t \) then we would say \( \eta = \eta_j \).

From basic stellar evolution models we have \( t\eta_j < t_{\text{life},j} < t \), and so \( \eta = \eta_j \) in the time interval \( t < t_{\text{life},j} \) thereafter \( \eta_j = \eta_j - 1 \), and so on. We noted that \( \eta \) is a growing function of \( t/t_{\text{ms}} \) while the stars are on the main sequence. Hence to find out whether \( \eta \) will increase...
5.3 Mean density via $R_{\text{hm0}}$

Observed clusters in M82 or the Antennae are compact. They show averaged surface densities that may exceed the reference value $\approx 7 \times 10^4 M_\odot pc^{-2}$ that we have adopted. Boily et al. (2005) already noted that the evolution of $\eta$ is significant only for clusters with surface density exceeding $\sim 10^4 M_\odot pc^{-2}$ (at constant number of stars). In another context it is known that the mass density of galactic nuclei may well exceed $10^7 M_\odot pc^{-3}$.

We have therefore explored the evolution of clusters with different central surface densities by multiplying lengths by a factor chosen to cover more than a decade in density. The results are plotted on Fig. 6 for five values of projected initial half-mass radius, from 0.5 to 2 pc. The low-density model with $R_{\text{hm0}} = 2$ pc has a central density $\approx 2 \times 10^4 M_\odot pc^{-2}$ and we find an increase in $\eta$ of at most 20 per cent after 50 Myr of evolution; by contrast the model with $R_{\text{hm0}} = 1/2$ pc of central density $\approx 3 \times 10^6 M_\odot pc^{-2}$ shows a dramatic increase of $\eta$ by a factor $\approx 30/8.6 = 3.5$ in just 10 Myr of evolution. The rapid increase of $\eta$ is driven by the much shorter dynamical time of the compact cluster which trickles down to a shorter $t_{\text{hm}}$ in Eq. (29). $t_{\text{hm}} \propto R_{\text{hm0}}^{3/2}$ implies a segregation time $4^{3/2} \approx 8$ times shorter for that model compared with that of the low-density run.

5.4 Stellar IMF: $\langle m \rangle$

There is much on-going debate concerning the universality of the stellar IMF. The shape of the IMF will fix the mean stellar mass which enters the definition of the segregation time in Eq. (29). We already noted that stars at the high-end ($> 10 M_\odot$) of the mass spectrum carry much light individually but unless their numbers are greatly enhanced contribute a small fraction of the total mass. In our exploration of the impact of the shape of the IMF on the dynamics, we have therefore kept the index $\gamma = 4.0$ as for the reference setup (Table I), and focused instead on the effect of varying the low-mass power index $\alpha$. As most of the cluster’s mass is in low mass stars, $\alpha$ dominates the mean mass value and bears directly on $\eta$. The same mass range and number of groups were used in all cases discussed below.

Figure 2 illustrates the three different IMFs used to perform the simulations plotted on Fig. 8. To encompass

[Figure 5. Evolution of $\eta$ for different total number of stars $N$. Note the very similar slopes of the curves at times $t > 10$ Myr. The stellar IMF was truncated at 20 $M_\odot$.]
Figure 6. Evolution of $\eta$ for models with different upper-mass cutoff $m_{\text{max}}$. (a) We set $\eta = \eta_j$ of the brightest component at time $t$ (see §4.3). When the stellar mass function is extended beyond $M = 25 \, M_\odot$, $\eta_j$ is not monotonic and becomes rapidly very large at early times. (b) Same as (a) for $m_{\text{max}} = 70 \, M_\odot$ (solid line) and $\eta_{\text{lum}}$ computed from summing the light from all the stars at all times for the same model (dotted line). Note that the first ‘bump’ due to an extended mass range is much attenuated when computed with total bolometric light curves from MC sampling. The effect of very bright and short lived stellar states (e.g. AGB) cause many oscillations due to the random sampling in the profile of $\eta_{\text{lum}}$.

the standard errors of $\pm 0.7$ (Kroupa 2002), we varied our parameter $\alpha$ by $\pm 1$. The chosen upper value $\alpha = 2.3 = \beta$ ($\langle m \rangle = 0.47 \, M_\odot$) corresponds to a Salpeter profile; it is reasonable to assume that any stellar IMF must flatten out at the low mass end to avoid a divergence in mass. When we do reduce $\alpha$ to 0.3 ($\langle m \rangle = 1.3 \, M_\odot$), the shift in the evolution of $\eta$ is at no time as dramatic as the one for the Salpeter value. Flattening the IMF below the reference $\alpha = 1.3$ profile has not a significant effect on $\eta$.

6 OBSERVATIONAL IMPLICATIONS AND LONG-TERM EVOLUTION

6.1 The Stellar Mass Function

The shape of the stellar mass function might be expected to vary with radius as a result of mass segregation. The central region is rapidly overstaffed with high mass stars while the outer parts are depleted of them. This trend can be quantified through the power indices $\alpha$, $\beta$ and $\gamma$ of the mass spectrum, by comparing the mass function inside and outside a reference radius. Unfortunately, a cluster evolving rapidly in time offers no fixed reference radius. To palliate this, we computed the stellar mass function in two concentric surface elements bounded by the half-light radius from the most massive group. While not specially meaningful, this choice offers the advantage of a direct link with an observable quantity.

From the star counts in each of the 35 mass bins, the mass function is retrieved by summing all the mass within $m$ and $m + dm$, and dividing by $dm$ to obtain a density. We then least-square-fitted power laws in the ranges $[0.1; 1 \, M_\odot]$, $[1 \, M_\odot; 10 \, M_\odot]$ and $[10 \, M_\odot; 20 \, M_\odot]$ as in Table 1. Since the binning is not at constant width, we worried that the mass...
discretisation would introduce large errors in the values of the power indices retrieved. To check this, we trained our algorithm on the known IMF from star counts at \( t = 0 \) for the reference as well as a coarser binning: the power indices \( \alpha, \beta, \gamma \) of Table 1 were recovered to \( \pm 0.01 \), which we take as standard deviations.

Fig. 9 compares the initial mass function (solid line) with the mass function derived inside (dashed line) and outside (dotted line) the half-light radius at three different times. With the standard model, the changes in the mass function are small, therefore the model cluster in this section was initially twice as concentrated \( (R_{hm0} = 0.75 \text{ pc}) \) as the reference Plummer model \( (R_{hm0} = 1 \text{ pc}) \) but otherwise the same. The three curves are trivially identical at \( t = 0 \) with slopes given by the Kroupa IMF. As time increases, the low-mass power index \( \alpha \) remains virtually unchanged in the outer region (varying from 1.3 to \( \approx 1.33 \)) but shows a noticeable decrease in the inner part of the system, down by \( \approx -0.25 \) after 75 Myr of evolution. The power index \( \beta \) for the mass range \([1 \text{ M}_\odot; 10 \text{ M}_\odot]\) shows the strongest variations of all, down from its initial value by as much as \( -1 \) inside the half-light radius, and up by +0.4 outside this radius. The steeper slope in the outer region is a direct consequence of the outward migration of light stars initially inside the half-light radius, while heavy stars flow in the opposite sense.

For very massive stars, the situation is made slightly more complicated by the fact that the life-time of these stars is comparable to, or less than, the evolution times displayed on Fig. 9. The vertical straight line on each panel indicates the mass for which the life-time equals the time displayed. All stars to the right of this line are low-mass remnants from e.g. supernovae events: these stars therefore do not contribute to the light profile of the cluster. Stars
Figure 9. The mass function after 25, 50 and 75 Myr of evolution for a Plummer model of initial projected half-mass radius 0.75 pc (other parameters as in Table 1). The vertical line indicates the brightest group of stars on each panel. The mass function has been retrieved, first by computing the projected half-mass radius of the brightest component, and then by binning stars inside and outside that radius at each $t$ (see text for details). The slopes $\alpha$ and $\beta$ were obtained by linear regression of the data on the left of the vertical line.
initially in this mass range are now contributing a small addition to the census at the low-mass end of the distribution. The high-mass part of the diagram is therefore completely depleted, and has not been fitted.

These trends with radius are similar to those measured in young LMC clusters such as NGC 1818 (Hunter et al. 1997; de Grijs et al. 2002b, Gouliermis et al. 2004). This cluster has an age of ~30 Myr falling between the times displayed on Fig. 4, and a calculated relaxation time ~250 Myr assuming a half-mass radius of 2.6 pc and mass of 30000 Mʘ (de Grijs et al. 2002a) with ⟨m⟩ = 0.85 Mʘ. This is longer than in our simulations. Note however that the mean density of the model with Rhl = 0.75 pc matches well the density inside the half-mass radius of the cluster NGC 1818 (Elson et al. 1987). Inspection of Fig. 9 of Gouliermis et al. (2004) shows that the power indices in the inner part (e.g. <0.3) derived from their data are similar to those of our simulation. This raises the possibility that dynamical mass segregation may yet play a key role at the heart of that cluster while primordial segregation is needed to explain the external parts, a conclusion already reached by de Grijs et al. (2002b).

6.2 Colours

It is interesting to investigate to a fuller extent observable consequences of mass segregation. To that end, we extracted colours from our model clusters by coupling the Cambridge evolution tracks to the spectral library of Lejeune et al. (1997, 1998). Nebular gas emission lines, thought present in embedded young clusters (Anders & Fritze-v. Alvensleben 2003), are left out of the current analysis.

Sampling the mass spectrum requires some care in order to minimize errors in colour magnitudes as discussed by Charlot & Bruzual (1991). First, we tabulated the various evolutionary epochs for a large set of masses split in equal logarithmic intervals from 2 Mʘ to 100 Mʘ. The luminosity function was constructed by carefully integrating the light flux from all the stellar masses in a given evolution phase, paying great attention to resolve such brief but very bright phases as the upper asymptotic giant branch (AGB).

Colours were computed in different wavebands (B, V, I from Bessell 1990, and K from Bessell & Brett 1988) and compared with those of other authors, who used the evolutionary tracks of the Geneva group, the Padova group or variations thereof (Girardi & Bertelli 1998, Bruzual & Charlot 2003, Mouchine & Lançon 2003 and references therein; mostly based on tracks by Bressan et al. 1993 or Schaller et al. 1992). We found that the Cambridge tracks produce significantly redder colours than others, which have in general been more specifically tuned to reproduce the observed integrated colours of star clusters. The origin of these differences lies in the time stars spend in the late, red phases of stellar evolution. In calculations using the Cambridge tracks, the predominance of red supergiants and upper AGB stars at near-IR wavelengths is probably exaggerated. To illustrate the effect of the luminous red stars, we ran simulations with and without stars on the thermally pulsing AGB (TP-AGB). Fig. 10 shows the evolution of the integrated V − K colour of the model cluster. The two curves bracket values commonly found in the literature for simple stellar populations.

Colour gradients were quantified by measuring the difference between the colours measured inside and outside the projected half-light radius:

\[
\Delta_{V-K} \equiv (V - K)_{R_{hl}} - (V - K)_{>R_{hl}}. 
\]

(30)

\[
\Delta_{V-K} \text{ is positive when the inner half of the cluster is redder than the outer half. Fig. 11 shows the evolution of } \Delta_{V-K} \text{ for the reference model (Table 1). At early times, mass segregation tends to make the centre bluer, as the massive stars that fall towards the centre are still on the main sequence. Once these stars evolve off the main sequence, the centre of the cluster very rapidly becomes the reddest part. Further evolution of the colour gradient presents fluctuations that reflect the lifetimes of stars of progressively smaller initial masses and the mass-dependent time they spend as luminous red objects. However, } \Delta_{V-K} \text{ remains above 0.05 magnitude throughout, even when the brightest stars such as those of the TP-AGB are artificially switched off.}

6.3 Long-term evolution

It is natural to ask whether the continued increase of η observed for the reference model over a time-scale of ~100 Myr carries over to longer time-scales. Recall that the relaxation time of that model ~200 Myr from Eq. 5. We would anticipate some increase in η for any cluster with an age ≥ tη, however longer relaxation times also imply longer mass segregation times and significant contributions from low-mass stars to η. Since the stellar winds of lower-mass stars moving off the main sequence are less energetic, it is not clear whether or not the residual gas will be evacuated on a very short time-scale and how this will impact on the dynamics. We take the view that much of the gas can either remain within the cluster for a period exceeding tη, or on the contrary be evacuated rapidly, thus hopefully bracketing all realistic cases. Below we assess whether either limit (or both) will result in a drop in η over long time-scales.

We ran the reference calculation (Table 1) for up to 500 Myr. That stretch of time would correspond to several revolutions through the galactic potential of a galaxy such as the Milky Way, and overruns the star-burst phase of a typical galaxy merger where young clusters are observed to form. Since heavy stars play only a minor role beyond ~30 Myr of evolution (cf. Fig. 5), we split the 35 mass bins so that the stellar MS lifetimes now differ by ≈ 20 Myr from one bin to the next (instead of 5 adopted earlier, see Fig. 6). In this way the part of the mass spectrum below 5 Mʘ is far better sampled than previously. Nevertheless the discreteness of the mass function will cause large fluctuations, particularly noticeable at times t ≥ 200 Myr (cf. Fig. 7) and tend to underestimate the increase of η as we have seen when comparing simulations with fewer components.

On Figure 12 we graph η evaluated in three different ways for comparison. The dotted curve (denoted ‘η with ML’) assumes instantaneous evacuation of the mass released through stellar evolution. The dashed curve denoted ηhl also assumes instantaneous mass evacuation but is the analog of η computed (as in Fig. 5) from light-weighted quantities, using a Monte-Carlo representation of the cluster. To verify the impact of stellar mass loss, we also plot the value of η obtained with a constant total cluster mass. The result is shown on Figure 12 labelled as ‘η without ML’ (solid line). For that curve we find an increase of η of a factor...
Figure 10. $V - K$ plotted against time for the reference model cluster (see Table 1). The solid line is for integration with all possible stellar phases including the TPAGB. The dotted line is the same but without the TPAGB phase.

Figure 11. Difference of colour $\Delta V - K$ between internal and external part of a cluster relative to its half-light radius at each given time. The inner region becomes bluer over the first 10 Myr of evolution, when the most massive stars in the sample ($m_{\text{max}} = 20 M_\odot$) become red giants. Thereafter the inner part remains systematically redder than the outer region by as much as 0.2 dex over the first 50 Myr. Note however that the color index $\Delta V - K$ fluctuates wildly. The trend of increasing $\Delta V - K$ at $t > 40$ Myr is continued in time exceeding 60 Myr (off scale). To account for possible bias from the TPAGB phase, when stars are very bright in the red, we recomputed the colors by removing all stars in that phase of evolution (dotted line on the figure). The behavior stays unchanged.

It is highly likely that tidal fields will affect the morphology of a cluster as it orbits the host galaxy. This will certainly change the profiling of $\eta$ in time, for example by stripping some of the cluster’s mass. But unless the cluster evolves in a very strong tidal field, the dynamics inside the half mass radius should prove relatively robust. If the total cluster mass decreases, stripping mass outside $r_{\text{hl}}$, then $\eta$ would decrease from the values obtained here. A full inspection of this issue would require three-dimensional model clusters which lay beyond the scope of the current study.

7 APPLICATION TO CLUSTER MASS FUNCTIONS

7.1 $\eta - t_{\text{ro}}$ relation

The results of §5.1 and §5.3 suggest a common thread linking models of high mean density and those of smallish total mass. Since these two quantities combine to give the system relaxation time in Eq. (5), we may hope to relate $\eta$
Parameter $\eta$ vs time for an 35-component simulation (see text for details of the numerical setup). The top-most solid curve was obtained by computing $\eta$ at constant total cluster mass, so disregarding mass loss (ML) due to stellar winds. The dotted curve assumes on the contrary that this ML leaves the cluster instantaneously. A more realistic situation where the stellar winds escape over a finite time interval would pitch $\eta$ somewhere between the two curves shown here. Note that $\eta$ was once more calculated by taking the most luminous component as tracer. If we draw Monte Carlo realisations using the luminosity from all the stars to compute $\eta = \eta_{\text{lum}}$ under the same assumption of instantaneous ML, we instead obtain the dashed curve which shadows closely the dotted line. Either way of computing $\eta$ yields continued increase up to 500 Myr.

for models of different relaxation times but comparable ages for a given IMF through a simple scaling formula involving the initial relaxation time $t_{\text{i0}}$. Thus we take the view that evolution will be driven almost exclusively by two-body relaxation and not e.g. variations in the stellar mass function. Such effects would play a significant role, as shown on Fig. 6, by running a straight line from the value of $\eta$ at $t = 0$ to its value at $t = 10$ Myr for the early regime, and another straight line through the values at 10 and 40 Myr for the later evolution, using Table 2. It can be summarised, with $t$ in Myr,

$$\begin{align*}
a_t &= 1.36 \\
A_t &= \begin{cases}
50t & \text{if } t < 10 \text{ Myr} \\
10t + 400 & \text{if } t > 10 \text{ Myr}.
\end{cases}
\end{align*}$$

Fig. 14 compares the analytical values with the results of a simulation with $t_{\text{i0}} \approx 205$ Myr. Differences do not exceed 10 per cent even when extrapolating to ages of $\sim 100$ Myr. This level of error is found for all models with an initial relaxation time above 100 Myr. When the relaxation time is shorter, we find that the interpolation scheme systematically underestimates $\eta$.

### 7.2 Application to a model CMF

Using the linear interpolation scheme of the preceding paragraph we may compute the current mass conversion factor $\eta$ of a cluster from Eq. (31) given $t_{\text{i0}}$ and its age $t < 100$ Myr. The real mass of the cluster so retrieved may then be compared to the mass estimate we would have computed had we kept the initial value $\eta_0 \approx 8.6$ constant throughout; the ratio of real to estimated mass equals $\eta(t_{\text{i0}}, t)/\eta_0$. To compute $\eta(t_{\text{i0}}, t)$ first requires a cluster mass and half-mass radius, in order to evaluate $t_{\text{i0}}$ from Eq. (31). To do this for an ensemble of clusters, we set up Gaussian distributions for $M$ and $R_{\text{hl0}}$ as well as the cluster age, $t$. These distributions were each sampled independently with $10^4$ realisations using a standard Ulam-von Neumann (Monte Carlo) rejection method.
In the following, we quote the dispersion \( \sigma \) of these distributions as uncertainties on the mean value (i.e., mean \( \pm \sigma \)).

The results are shown on Fig. 15 with a log-Gaussian CMF of mean mass \( 5 \times 10^5 \, M_\odot \) for two realisations: (a) an ensemble of compact clusters of mean radius 1 \( \pm \)0.2 pc and age 30 \( \pm \)5 Myr, in line with values adopted for our reference model; and (b) an ensemble of mean radius 2 \( \pm \)0.4 pc and mean age 60 \( \pm \)10 Myr inspired from M82-F cluster data (McCray et al. 2005). The resulting relaxation time distributions are respectively 190 \( \pm \)130 Myr and 550 \( \pm \)130 Myr.

Because the mass derived assuming a constant \( \eta = \eta_0 \) is always lower than the actual cluster mass, the distribution shifts to lower masses as compared to the true CMF. Table 3 list the displacements of the peak of the CMF for four Gaussian distributions of different mean initial relaxation times \( t_{r0} \), each of the same 50 Myr dispersion. It is clear that the shorter relaxation times lead to a larger shift and we find a maximum shift of 0.2 dex for the distribution of average \( t_{r0} = 150 \, \text{Myr} \). Very similar conclusions apply for the ensemble of longer-relaxation time when the average age is also longer (case [b] above, displayed on the right-hand panel on Fig. 15).

In this spirit the very massive and young Antennae clusters are particularly interesting. Table 3 of Mengel et al. (2002) lists parameters for five young clusters of ages ranging from 6 to 10 Myr and masses from 600 000 to 5 \( \times \)10\(^6 \, M_\odot \). The projected half-mass radius of these clusters is significantly larger than 1 pc, the value we have adopted for our reference model. Those large radii may mislead one to expect much larger relaxation times and, consequently, little or no evolution of the \( \eta \) over time. However, we note that Mengel et al. (2002) fitted King models with a concentration parameter \( \Psi/\sigma \approx 6 \) to the light profiles of their clusters. Such King models are significantly more concentrated than the Plummer model that we used in the calculations performed here. In fact, it turns out that a King model with \( \Psi/\sigma = 6 \), a half-mass radius of 2 to 3 pc (cf Table 3 of Mengel et al. 2002) and a mass of some 500 000 \( M_\odot \), has a mean density within its core radius that essentially equals the mean density within the half-mass radius of our reference Plummer model. Consequently, the rapid evolution of \( \eta \) found in this article should be applicable to the dynamics in the core of some Antennae clusters, implying that the core regions of very rich clusters are still affected by strong segregation despite their very low age, a conclusion also reached by de Grijs et al. (2005) using observational arguments. As a result, the core will appear more compact, while the half-mass radius is left largely unchanged, a situation that leads to King model fits with higher values of \( \Psi/\sigma \) than is appropriate (cf. also Boily et al. 2005 for examples of this effect).

8 DISCUSSION

This paper investigated possible biases when estimating the dynamical mass of young and dense stellar systems from spectro-photometric data. Using the virial theorem, one may convert observed half-light radius and flux-weighted mean velocity dispersion to mass through the dimensionless parameter \( \eta \) defined in Eq. (5). This factor will vary with time due to mass segregation whenever the two-body relaxation time in Eq. (5) is short: the heavy bright stars segregate...
to the centre rapidly. A parameter space exploration led us to conclude that for clusters where \( t_{r0} \lesssim 200 \) Myr \( \eta \) may increase by a factor of 2 compared with its initial value, whereas if \( t_{r0} \gtrsim 500 \) Myr then very little evolution of \( \eta \) will take place within the first 100 Myr. Meanwhile, the mass distribution and potential, dominated by fainter stars, remains largely unchanged, so that light does not follow mass anymore.

We can synthesise the main features of this bias in \( \eta \) in a diagram of cluster age versus relaxation time derived from observations. Substituting the projected half-mass radius \( R_{\text{hm}} \) and the total mass \( M \) for \( r_g \) and \( N \) in Eq. (33), we get

\[
t_{r0} = \frac{2 \times 0.138 \, \eta_0^{1/2}}{G \langle m \rangle \sqrt{3} \ln \Lambda} \frac{1}{R_{\text{hm}}} \sigma_{\text{m1d}},
\]

where \( \langle m \rangle \) is given by the IMF and \( \ln \Lambda \) is the Coulomb logarithm (for which we adopted \( \ln[0.4 \, N] \) previously). The same formula applied to observed quantities would give an estimated relaxation time

\[
t_{r,\text{obs}} = \frac{2 \times 0.138 \, \eta_0^{1/2}}{G \langle m \rangle \sqrt{3} \ln \Lambda} \frac{1}{R_{\text{hm}}} \sigma_{\text{los}}.
\]

With the ratio \( \eta/\eta_0 \) given by Eq. (33) we obtain a ratio of ‘true’ to measured relaxation times,

\[
\frac{t_{r0}}{t_{r,\text{obs}}} = \left( \frac{\eta}{\eta_0} \right)^2 \left( \frac{\sigma_{\text{los}}}{\sigma_{\text{m1d}}} \right)^3.
\]

The ratio of squared velocity dispersion was found not to decrease by more than 10 per cent throughout the simulation time; we may therefore set \( \sigma_{\text{los}}/\sigma_{\text{m1d}} = 1 \) in Eq. (35).

Equation (35) together with Eq. (33) can be solved with input cluster age and measured relaxation time to obtain a unique pair \( (t_{r0}, \eta) \). It is then straightforward to draw lines of constant \( \eta \) in a graph of age vs \( t_{r,\text{obs}} \) axes and recover the true relaxation time of the mass profile (since we must have \( t_{r0} = t_{r,\text{obs}} \) at time \( t = 0 \) by construction and the potential does not change).

Fig. 14 graphs the contours lines of constant \( \eta/\eta_0 \) in the plane \( t_{r,\text{obs}} \)-age. All clusters start off on the age = 0 axis which coincides with the contour \( \eta/\eta_0 = 1 \). As the cluster becomes older and mass segregation sets in, the time evolution marks a path that is seen to drift to shorter (measured) relaxation times and larger \( \eta \). Each level is indicated on the graph. The path for our reference model is shown along with a second model of initial half-mass relaxation time of 400 Myr. Note that even for this model \( t_{r,\text{obs}} \) drops to \( \approx 200 \) Myr after 100 Myr of evolution: at that time its mass is underestimated by \( \approx 30 \) per cent. Fig. 14(b) zooms in on the interval \([0 : 100]\) Myr of the \( t_{r,\text{obs}} \)-axis.

As seen on Fig. 14 the most dramatic evolution in \( \eta \) occurs in the first 10 Myr. Furthermore, if only the most luminous stars were used as tracers, still higher factors \( \eta/\eta_0 \) would be expected (cf. Fig. 10). For the young massive Antennae clusters for which the measured relaxation time \( t_{r,\text{obs}} > 500 \) Myr, mass segregation is negligible when applied to these clusters as a whole. We noted, however, that our reference model provides a good fit to the core region of some of these clusters in terms of mass and density. Thus mass segregation may yet prove an efficient agent for evolution in the central part of massive young clusters, a process that would contribute to make the core look more compact.

Table 3. Shift of a lognormal distribution in mass centered on \( 10^6 \, M_\odot \) for different mean relaxation times. The cluster population has a Gaussian age distribution of mean \( 30 \pm 20 \) Myr.

| \( \langle t_{r0} \rangle \) ± 50 Myr | 150 | 250 | 350 | 450 |
|---------------------------------|-----|-----|-----|-----|
| shift of \( \langle \log M \rangle \) | 0.2 | 0.15 | 0.1 | 0.05 |
Figure 15. Apparent (dotted curves) and actual (solid curves) cluster mass functions drawn from Gaussian distributions in radii and ages. (a) Results for a cluster mass distribution of mean radius equals $1 \pm 0.2$ pc and mean age equals $30 \pm 5$ Myr; and (b) same as (a) but with mean radius equals $2 \pm 0.4$ pc and mean age equals $60 \pm 10$ Myr. The MC realisations contained 10000 clusters. The resulting distribution of initial relaxation time are (a) $190 \pm 70$ Myr and (b) $550 \pm 130$ Myr.

than it really is and so inflate the concentration parameter $c = \log(r_h/r_c)$ of King model fits to these clusters. Examples of this phenomenon are given in Boily et al. (2005).

Light curves have been used to estimate colour indices averaged over two surface elements bounded by the projected half-light radius. The difference between these colour indices taken as a function of time shows that the inner part becomes bluer by 0.05 dex so long as massive stars have not reached the red giant state. At that time and for all times thereafter, the inner region becomes redder (by more than 0.05 dex). Colours should be interpreted with caution. The evolution of $V - K$ is highly sensitive to the properties of the red stages of stellar evolution, and especially to the red supergiant and asymptotic giant branches. Such fine details of stellar evolution, often model-dependent and difficult to pin down with precision, have less bearing on the colour gradients because these giants stars dominate the light in the red wherever they are, and hence $\Delta V - K$ quantifies their concentration in space. The stellar evolution tracks used here tend to exaggerate the role of bright red stars. Discarding the most luminous red supergiants or TP-AGB stars altogether reduces the gradients by a few hundredths of a magnitude at most.

The evolution of the stellar mass function within the model clusters was also quantified through variations in the power-indices defined for the IMF Eq. (18). We noted that the variations of the power index $\beta$ during evolution are a good match to those observed in the LMC cluster NGC 1818 (Gouliermis et al. 2004). However the initial relaxation time of the more concentrated model used in this section of $\sim 115$ Myr is significantly smaller than the one derived for this cluster (we compute $\sim 250$ Myr from Eq. (15); see also Elson et al. 1987) which implies that dynamical mass segregation alone does not account for gradients in the stellar population for the cluster as a whole. Thus we would argue that a fair degree of primordial stellar segregation must be relied on to explain that cluster’s photometry. Despite this caveat, it is well worth repeating that the central relaxation time of NGC 1818 of some 120 Myr is of order of the half-mass relaxation time of the model used, and therefore dynamical segregation...
Figure 16. Contours of constant $\eta(t)/\eta_0$ (dotted curves) in the plane of cluster age vs relaxation time, $t_{r,\text{obs}}$, derived from cluster observables. The contours were constructed using the bi-linear interpolation scheme of §7.1. The contour $\eta(0)/\eta_0 = 1$ coincides with the horizontal axis (cluster age = 0). (a) The solid lines trace the evolution in time of $\eta(t)/\eta_0$ for two model clusters with initially $t_{r,\text{obs}} = 180$ and 400 Myr. The arrows point to the future. The value of $t_{r,\text{obs}}$ decreases with age, always, while $\eta(t)/\eta_0$ increases. (b) Same as (a) but now one of the models has $t_{r,\text{obs}} = 100$ Myr initially. The evolution track for that case crosses contours of yet higher values of $\eta(t)/\eta_0$ at fixed age. Note the change of scale on the absciss.

surely has played a role in the evolution of the stellar mass function near the centre (see also de Grijs et al. 2002b). Our view is that a set of models tailored to that particular cluster will be required to disentangle fully primordial from evolutionary effects.

With $\eta(t_{r,0},t)$ derived from Eq. (31), it was possible to construct a Log-Gaussian cluster mass function and carry out a statistical survey of the impact of mass segregation on the shape of the observed CMF obtained from assuming no evolution of $\eta$, to the CMF derived from taking into account the time-evolution of $\eta$ (Fig. 16). The actual total integrated mass of the CMF is 50 per cent larger than the apparent one for the case describe on Fig 15(a) and 20 per cent for Fig 15(b). This has direct bearing on the global star formation rate (SFR) derived for galaxy mergers and starburst galaxies in general. We noted that the two CMF’s so constructed differ mostly at the low-mass range (< 500 000 $M_\odot$) where the relaxation time is significantly shorter. To quantify this effect, we found a shift in the peak of the real CMF towards high masses compared to the ‘observed’ CMF. This shift is on the order of 0.2 dex for a distribution of relaxation times centered around 200 Myr and is lower when this mean relaxation time is larger (Table 3). Furthermore we also found a slight widening of the observed CMF, by a logarithmic factor $\simeq 0.05$ (see Fig. 16). This would have some influence on evolutionary predictions of cluster mass functions. Vesperini (1998) has shown that the Milky Way CMF may well shift to smaller masses by $\simeq 0.1$ dex over a Hubble time due to tidal destruction and other effects. The trend we found here goes in the opposite direction, however it is only operative for clusters with short relaxation times. As clusters are possibly more massive than estimated from observations but also less concentrated (lower King fitting parameter) it is not clear how tides and other disruptive effects will shape up the CMF, especially if the host galaxy itself is out of equilibrium. A set of fully three-dimensional
N-body simulations could enhance our knowledge of the influence of mass segregation on longer time-scales and with strong tidal fields found for example in merging galaxies.

Our models of isolated clusters suffer a few important limitations. We have mentioned the role that tidal fields will play in removing weakly bound stars. Another aspect of the problem is the possibly low star formation efficiency when the cluster forms. We mentioned how gas from stellar winds might impact on the dynamics of the IMF in Eq. (18). Residual gas from the formation epoch will also drive much evolution in the early stages by bringing the cluster out of virial equilibrium (Elson et al. 1989, Kroupa & Boily 2002, see also Bastian & Goodwin 2006). Yet another aspect is our tacit assumption that stars are all born at the same time and all evolve in unison. Stars in massive clusters may well have ages that vary by a few million years. This will have some bearing on the rise of $\eta$ in the early stages because not all the stars become remnant at the same time, leading to enhanced mass segregation and further increase in $\eta$. For instance, the knee seen at $t \approx 10$ Myr may well increase to yet higher values before shifting over to the slower rate of increase that we have advertised (Fig. 6).

A more severe limitation however, one that will impact on $\eta$ at all times, is the fraction of primordial binaries. Tight binaries will survive for cons and in particular a very large fraction of them will survive for the short times that are of interest here. The presence of binaries and multiple stars naturally enhances the observed velocity dispersion which biases the mass estimate to larger values through the virial theorem. However, binaries also instantly broaden the width of the effective stellar IMF, since, roughly speaking, they will dynamically act as single stars of mass equal to the sum of their member stars. If the fraction of primordial binaries is low, the mean stellar mass will remain unchanged but the maximum mass will effectively double. The net effect, then, is similar to halving the mass segregation time-scale $t_{\text{ms}}$ by reducing the mean stellar mass. This can be accomplished by increasing the power index $s$ of the IMF in Eq. (15). We have found after $\approx 10$ Myr of evolution for the extreme case where $s = 2.3$ (Salpeter value) that $\eta$ has nearly increased by 30 to 50 per cent in comparison with the result for the standard case $s = 1.3$. These considerations clearly point to yet more rapid evolution and the need for more realistic models than is affordable here to pin down more precisely the dynamics of young massive clusters.

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