DeltaConv: Anisotropic Geometric Deep Learning with Exterior Calculus

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Learning from 3D point-cloud data has rapidly gained momentum, motivated by the success of deep learning on images and the increased availability of 3D data. In this paper, we aim to construct anisotropic convolutions that work directly on the surface derived from a point cloud. This is challenging because of the lack of a global coordinate system for tangential directions on surfaces. We introduce a new convolution operator called DeltaConv, which combines geometric operators from exterior calculus to enable the construction of anisotropic filters on point clouds. Because these operators are defined on scalar- and vector-fields, we separate the network into a scalar- and a vector-stream, which are connected by the operators. The vector stream enables the network to explicitly represent, evaluate, and process directional information. Our convolutions are robust and simple to implement and show improved accuracy compared to state-of-the-art approaches on several benchmarks, while also speeding up training and inference.

1 INTRODUCTION

The success of convolutional neural networks (CNNs) on images and the increasing availability of point-cloud data motivate generalizing CNNs from images to 3D point clouds [Bronstein et al. 2017; Guo et al. 2020; Liu et al. 2019d]. One way to achieve this, is to design convolutions that operate directly on the surface. Such intrinsic convolutions reduce the kernel space to tangent spaces, which are two-dimensional on surfaces. Compared to extrinsic convolutions, intrinsic convolutions can be more efficient and the search space for kernels is reduced; they naturally ignore empty space; and they are robust to rigid- and non-rigid deformations [Boscaini et al. 2016]. Examples of intrinsic convolutions are GCN [Kipf and Welling 2017], PointNet++ [Qi et al. 2017b], EdgeConv [Wang et al. 2019], and DiffusionNet [Sharp et al. 2021].

Our focus is on constructing anisotropic intrinsic convolutions, convolutions that are direction-dependent. This is difficult because of the fundamental challenge that non-linear manifolds lack a global coordinate system. In differential geometry, this problem is often approached by designing operators which are coordinate-free. We will build on this knowledge to improve learning on point clouds. As an illustration of the problem, consider a CNN on images (Figure 1, left). Because an image has a globally consistent up-direction, the network can build anisotropic filters that activate the same way across the image. For example, one filter can test for vertical edges and the other for horizontal edges. No matter where the edges are in the image, the filter response is consistent. In subsequent layers, the output of these filters can be combined, e.g., to find a corner. Because we do not have a global coordinate system on surfaces (Figure 1, right), one cannot build and use anisotropic filters in the same way as on images. This limits current intrinsic convolutions on point clouds. For example, GCNs filters are isotropic. PointNet++ uses maximum aggregation and adds relative point positions, but still applies the same weight matrix to each neighboring point.

We introduce a new way to construct intrinsic anisotropic convolutions on point clouds. Our convolutions are described in terms of geometric operators instead of kernels. The operator-based perspective is familiar from GCN, which only uses the isotropic Laplacian on graphs. In order to enable networks to construct anisotropic convolutions, we design networks to compose and linearly combine geometric operators from exterior calculus, more specifically the de Rham complex: the gradient, co-gradient, divergence, curl, Laplacian, and Hodge-Laplacian. These operators are defined on scalar fields and tangential vector fields. Hence, our networks are split in two streams: one stream contains scalars and the other tangential vectors. The operators map along and between the two streams (Figure 3). The vector stream encodes feature activations and directions along the surface, allowing the network to test and relate directions in subsequent layers. Depending on the task, the network outputs scalars or vectors. We name our convolutions DeltaConv after the symbol for the derivative in exterior calculus.

To get an idea of the benefit of these operators, consider the anisotropic filters proposed by Perona and Malik [Perona and Malik 1990]. The Perona–Malik filter achieves anisotropic diffusion by combining the gradient, a scaling factor, a non-linearity, and divergence. Our convolutions have access to all these building blocks and the other tangential vectors. The operators map along and between the two streams (Figure 3). The vector stream encodes feature activations and directions along the surface, allowing the network to test and relate directions in subsequent layers. Depending on the task, the network outputs scalars or vectors. We name our convolutions DeltaConv after the symbol for the derivative in exterior calculus.

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with only a few DeltaConv blocks can match and outperform state-of-the-art approaches for images [Worrall et al. 2017] and point cloud learning [Guo et al. 2020; Liu et al. 2019d] for a more comprehensive overview of this expanding field.

**Point cloud networks and anisotropy.** A common approach for learning on point-cloud data is to learn features for each point using a multi-layer perceptron (MLP), followed by local or global aggregation. Many methods also learn features on local point-pairs before maximum aggregation. Well known examples are PointNet and its successor PointNet++ [Qi et al. 2017a,b]. Several follow-up works improve on speed and accuracy, for example by adding more combinations of point-pair features [Le et al. 2020; Liu et al. 2020; Lu et al. 2021; Qu et al. 2021a; Sun et al. 2019; Xu et al. 2021b; Yang et al. 2019; Zhao et al. 2019]. Some of these point-wise MLPs explicitly encode anisotropy by splitting up the MLP for each 3D axis [Lan et al. 2019; Liu et al. 2020]. Concepts from transformers have also made their way to point clouds [Zhang et al. 2021; Zhao et al. 2021].

These networks learn attention for neighboring points based on their features. This differs from our approach, as we use spatial information to influence how neighboring features are aggregated. Nonetheless, our approach does not exclude the use of attention in the scalar- or vector stream.

Pseudo-grid convolutions are a more direct translation of image convolutions to point clouds. Many of these are defined in 3D and thus support anisotropy in 3D coordinates. Several works learn a continuous kernel and apply it to local point-cloud regions [Atzmon et al. 2018; Boulch 2019; Fey et al. 2018; Hermosilla et al. 2018; Liu et al. 2019a,b; Thomas et al. 2019; Wu et al. 2019; Xu et al. 2021a]. Others learn discrete kernels and map points in local regions to a discrete grid [Choy et al. 2019; Graham et al. 2018; Hua et al. 2018; Lei et al. 2019; Li et al. 2018]. A group of works studies rotational equivariance in 3D space, aiming to design networks invariant to rigid point-cloud transformation [Cohen et al. 2018; Esteves et al. 2017; Poulenard et al. 2019; Thomas et al. 2018]. We go into an orthogonal direction by building intrinsic convolutions, which operate in fewer dimensions and naturally generalize to (non-)rigidly deformed shapes.

Finally, graph-based approaches create a k-nearest neighbor- or radius-graph from the input set and apply graph convolutions [Chen et al. 2020; Dominguez et al. 2018; Feng et al. 2019; Liu et al. 2019c; Pan et al. 2018; Shen et al. 2018; Simonovsky and Komodakis 2017; Te et al. 2018; Wang et al. 2018, 2019; Zhang et al. 2019; Zhang and Rabbat 2018]. DGCNN [Wang et al. 2019] introduces the EdgeConv operator and a dynamic graph component, which reconnects the k-nearest neighbor graph inside the network. EdgeConv computes the maximum over feature differences, which allows the network to represent directions in its channels. Channel-wise directions can resemble spatial directions if spatial coordinates are provided as input, which is only the case in the first layer for DGCNN. In contrast, our convolutions support anisotropy directly in the operators.

**Two-stream architectures.** Architectures with two streams and vector-valued features are also used in rotation-equivariant approaches for images [Worrall et al. 2017] and surface meshes [Cohen...
et al. 2019; de Haan et al. 2021; Gerken et al. 2021; Poulenard and Ovsjanikov 2018; Weiler et al. 2021; Wiersma et al. 2020]. These networks use local parametrizations and apply rotation- or gauge-equivariant kernels in the parameter domain in order to achieve independence from the choice of bases in the tangent spaces. Our approach is an alternative to gauge-equivariant networks. The coordinate-invariance of the differential operators we use also makes our networks independent of the choice of local coordinate systems. A benefit of our approach is that local parametrizations are not needed. For example, gauge-equivariant approaches typically use the exponential map for local parametrization but neglect the angular distortion induced by the parametrization. To the best of our knowledge, we are the first to implement and evaluate a two-stream architecture on point clouds.

**Geometric operators.** Multiple authors use geometric operators to construct convolutions. The graph-Laplacian is used in GCN [Kipf and Welling 2017]. Spectral networks for learning on graphs are based on the eigenpairs of the graph-Laplacian [Bruna et al. 2014]. Surface networks for triangle meshes [Kostrikov et al. 2018] interleave the Laplacian with the extrinsic Dirac operator. Parameterized Differential Operators (PDos) [Jiang et al. 2019] use the gradient and divergence operators to learn from spherical signals on unstructured grids. Recently, DiffGCN [Eliasof and Treister 2020] uses finite difference schemes of the gradient and divergence operators for the construction of graph networks. DiffusionNet [Sharpe et al. 2021] learns from the Laplace–Beltrami operator and gradients. In contrast, DeltaConv enables the construction of anisotropic operators by using the full de Rham complex and processing directional information in the stream of vector-valued features. An approach adjacent to these networks is HodgeNet [Smirnov and Solomon 2021], which learns to build operators using the structure of differential operators. Outside of deep learning, differential operators are widely applied for the analysis of 3D shapes [Crane et al. 2013; de Goes et al. 2015].

### 3 METHOD

We construct anisotropic convolutions by learning combinations of elemental operators from exterior calculus. Because these operators are defined on scalar- and vector-fields, we split our network into scalar and vector features. In this section, we describe these two streams, the operators and how they are discretized, and how combinations of the operators are learned. A schematic overview of our convolutions can be found in Figure 3.

**Streams.** Consider a point cloud \( P \in \mathbb{R}^{N \times 3} \) with \( N \) points arranged in an \( N \times 3 \) matrix. Each point can be associated with \( C \) additional features \( X \in \mathbb{R}^{N \times C} \). Inside the network, we refer to the features in layer \( l \) at point \( i \) as \( x_i^{(l)} \in \mathbb{R}^C \). All of these features constitute the *scalar stream*.

The *vector stream* runs alongside the scalar stream. Each feature in the vector stream is a tangent vector, encoded by coefficients for an orthonormal basis in the tangent plane: \( v_i = a_1^i e_1^i + a_2^i e_2^i \), where \( e_1^i, e_2^i \) are two basis vectors at point \( p_i \), and \( a_1^i, a_2^i \) are the vector coefficients. The basis vectors can be any set of vectors orthonormal to the normal and each other and are used to build the operators. The coefficients are interleaved for each point, forming the tensor of features \( V^{(l)} \in \mathbb{R}^{2N \times C_l} \). One channel in \( V^{(l)} \) is a column of coefficients: \( [a_1^i, a_2^i, \ldots, a_1^i, a_2^i, \ldots, a_1^N, a_2^N] \). The input for the vector stream is a vector field defined at each point. In our experiments, we use the gradients of the input to the scalar stream. We will refer to the continuous counterparts of \( X \) and \( V \) as \( X \) and \( V \), respectively.

#### 3.1 Scalar to scalar: maximum aggregation

A simplified version of point-based MLPs is applied inside the scalar stream, building on PointNet++ [Qi et al. 2017b] and EdgeConv [Wang et al. 2019]. We apply an MLP per point and then perform maximum aggregation over a \( k \)-nn neighborhood \( N(i) \). The features in the scalar stream are computed as

\[
F_i^{(l+1)} = h_{\theta_b}(x_i^{(l)}) + \max_{j \in N(i)} h_{\theta_b}(x_j^{(l)}),
\]

where \( h_{\theta_b} \) is a multi-layer perceptron (MLP), consisting of fully connected layers, batch normalization [Ioffe and Szegedy [n.d.]], and non-linearities. If point positions are used as input, they are centralized before maximum aggregation: \( p_i - \bar{p} \).

The biggest difference to EdgeConv and PointNet++ is that we do not use point-pair or edge-based features inside the network. The matrix multiplication used inside the MLP is thus not applied to \( kn \) feature vectors, but \( N \) point-wise feature vectors. This has significant impact on the run time of the forward and backward pass. The information on edge-based features is replaced by the differential operators described in the following sections.

#### 3.2 Scalar to vector: Gradient

The gradient and co-gradient connect the scalar stream to the vector stream. The gradient represents the largest rate of change and the direction of that change as vectors at each point, which can be used to characterize (dis)continuities. The co-gradient is a 90-degree rotation of the gradient. Combined, the gradient and co-gradient span the tangent plane, allowing the network to scale, skew, and rotate the vector features.

We construct a discrete gradient using a moving least-squares approach on neighborhoods with \( k \) neighbors. This approach is used in modeling and processing for point clouds and solving differential equations on point clouds [Liang and Zhao 2013]. The gradient operator is represented as a sparse matrix \( G \in \mathbb{R}^{2N \times N} \). It takes \( N \) values representing features on the points and outputs \( 2N \) values representing the gradient expressed in coefficients of the tangent basis of each point. The matrix is highly sparse as it only has \( 2k \) elements in each row. The co-gradient \( JG \) is a composition of the

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Fig. 3. Schematic of DeltaConv.
gradient with a block-diagonal sparse matrix \( J \in \mathbb{R}^{2N \times 2N} \), where each block in \( J \) is a \( 2 \times 2 \) 90-degree rotation matrix.

Point clouds typically contain undersampled regions and noise. This can be problematic for the moving least-squares procedure. Consider the example in Figure 4, a chair with thin legs. Only few points lie along the line constituting the legs of the chair. Hence, the perpendicular direction to the line is undersampled, resulting in a volatile least-squares fit: a minor perturbation of one of the points can heavily influence the outcome (left, circled area). We add a regularization term scaled by \( \lambda \) to the least-squares fitting procedure, which seeks to mitigate this effect (right). This is a known technique referred to as ridge regression or Tikhonov regularization. The full procedure and accompanying theory is outlined in the supplemental material.

We also argue that the gradient operator should be normalized, motivated by how information is fused in the network. If \( G \) exhibits diverging or converging behavior, features resulting from \( G \) will also diverge or converge. This is undesirable when the gradient is applied multiple times in the network. Features arising from the gradient operation would then have a different order of magnitude which need to be accounted for by the network weights. Therefore, we normalize \( G \) by the \( \ell_\infty \) operator norm, which provides an upper bound on the scaling behavior of an operator

\[
\hat{G} = G / |G|_{\infty}, \quad \text{where } |G|_{\infty} = \max_i \sum_j |G_{ij}|. \tag{2}
\]

3.3 Vector to scalar: Divergence, Curl, and Norm

The vector stream connects back to the scalar stream with divergence, curl, and norm. These operators are commonly used to analyze vector fields and indicate features such as sinks, sources, vortices, and the strength of the vector field. The network can use them as building blocks for anisotropic operators.

The discrete divergence is also constructed with a moving least-squares approach, which is described in the supplement. Divergence is represented as a sparse matrix \( D \in \mathbb{R}^{N \times 2N} \), with \( 2kN \) elements. Curl is derived as \( -DJ \).

3.4 Vector to vector: Hodge Laplacian

Vector features are diffused in the vector stream using a combination of the identity \( I \) and the Hodge Laplacian \( \Delta \) of \( V \). Applying the Hodge Laplacian to a vector field \( V \) results in another vector field encoding the difference between the vector at each point and its neighbors. The Hodge Laplacian can be formulated as a combination of grad, div, curl and \( \mathcal{J} \) [Brandt et al. 2017]

\[
\Delta = -(\text{grad div } V + \mathcal{J} \text{ grad curl }). \tag{3}
\]

In the discrete setting, we replace each operator with its discrete variant

\[
L = -(GD - JGDJ). \tag{4}
\]

3.5 Fusing Streams

Each of the operations either outputs scalar-valued or vector-valued features. We concatenate all the features belonging to each stream and then combine these features with parametrized functions

\[
x'_{ij} = h_{\Theta_1}(x_i, (DV)_i, (-DJV)_i, ||v_i||) + \max_{j \in N_i} h_{\Theta_1}(x_j)
\]

\[
v'_{ij} = h_{\Theta_2} (v_i, (GVX')_i, (LV)_i). \tag{5}
\]

We use the prime to indicate features in layer \( l + 1 \). All other features are from layer \( l \). \( h_\Theta \) denotes an MLP. \( h_{\Theta}^T \) denotes an MLP used for vectors, which first concatenates the 90-degree rotated vectors to the input features and then applies a regular MLP. This allows the MLP to rotate, scale, and combine vector features and enriches the set of operators. For example, the rotated gradient is the co-gradient. The vector-MLP can learn to combine information from local neighborhoods (through the gradient and Hodge–Laplacian), as well as information from different channels (through the identity). Non-linearities are applied to the vectors’ norms, as these are invariant to the choice of basis vectors. Other options for vector non-linearities are explored in [de Haan et al. 2021; Weiler and Cesa 2019].

3.6 Learning Anisotropic Operators

While Equation 5 formulates DeltaConv in terms of MLPs and feature concatenation, an alternative perspective is to consider the operations in Equation 5 as composing and linearly combining the operators from the \( de \text{ Rham complex} \) to form a learned geometric operator. As such, a network with DeltaConv layers is a composition of these learned operators. The learned operator perspective allows us to reason about some of the properties of DeltaConv. In particular, the elements such as the gradient, divergence, curl and the combination with non-linearities allow DeltaConv to build nonlinear anisotropic convolution filters. This is illustrated by the example of the Perona–Malik filter [Perona and Malik 1990] in Figure 2. The vector stream also allows DeltaConv to process vector features and their relative directions directly with the appropriate operators. In practice, the network is free to arrive at the most informative composition for the task at hand.

Another important property of DeltaConv is that it is agnostic to the choice of bases in the tangent spaces. Though bases in the tangent spaces need to be chosen in order to be able to represent vectors and evaluate operators, it is known from differential geometry that one obtains the same results no matter which bases are chosen [O’Neill 1983]. This property is preserved by the discretization we use and inherited by DeltaConv. This makes DeltaConv an alternative way of harvesting direction information on surfaces that does not require the construction of reference frame fields along the surface [Boscaini et al. 2016; Huang et al. 2019; Monti et al. 2017] or
the usage of equivariant filters in local parameter domains [de Haan et al. 2021; Wiersma et al. 2020].

4 EXPERIMENTS

We validate our approach by comparing DeltaConv to state-of-the-art approaches for classification and segmentation on data originating from real-world scans as well as sampled CAD models. In addition, we perform ablation studies to validate our claims of anisotropy and to discern the effect of different connections in the network structure. We also study the effect of our proposed method on timing and parameter counts and verify our choice to regularize and normalize the gradient matrix.

4.1 Implementation details

The architectures used in these experiments are based on DGCNN [Wang et al. 2019]. We replace each EdgeConv block with a DeltaConv block (Figure 3). We do not use the dynamic graph component, which means the networks operate at a single scale on local neighborhoods. This rather simple network setup facilitates the evaluation of the effect of the convolution operators on the performance of the network. We would like to stress this point, as many previous works introduce additional architecture changes, like squeeze-excitation blocks, skip attention, and U-Net architectures. Despite our simple network setup, DeltaConv achieves state-of-the-art results. To show what architectural optimizations mean for DeltaConv, we also test the U-ResNet architecture used in KPCFNN [Thomas et al. 2019] but with the convolution blocks in the encoder replaced by DeltaConv blocks. In the downsampling blocks used by these networks, we pool vector features by averaging them with parallel transport [Wiersma et al. 2020]. More details are provided in the supplemental material. Code will be available upon publication of this paper.

Data transforms. A k-nn graph is computed for every shape. This graph is used for maximum aggregation in the scalar stream. It is reused to estimate normals when necessary and to construct the gradient. For each experiment, we use xyz-coordinates as input to the network and augment them with a random scale and translation, similar to previous works. Some datasets require specific augmentations, which are detailed in their respective sections.

Training. The parameters in the networks are optimized with stochastic gradient descent (SGD) with an initial learning rate of 0.1, momentum of 0.9 and weight decay of 0.0001. The learning rate is updated using a cosine annealing scheduler [Loshchilov and Hutter 2018], and weight decay of 0.0001. The learning rate is updated using a cosine annealing scheduler [Loshchilov and Hutter 2018], and weight decay of 0.0001.

4.2 Classification

For classification, we study ModelNet40 [Wu et al. 2015], ScanObjectNN [Uy et al. 2019], and SHREC11 [Lian 2011]. With these experiments, we aim to demonstrate that our networks can achieve state-of-the-art performance on a wide range of challenges: point clouds sampled from CAD models, real-world scans, and non-rigid, deformable objects.

ModelNet40. The ModelNet40 dataset [Wu et al. 2015] consists of 12,311 CAD models from 40 categories. 9,843 models are used for training and 2,468 models for testing. Each point cloud consists of 1,024 points sampled from the surface using a uniform sampling of 8,192 points from mesh faces and subsequent furthest point sampling (FPS). We use 20 neighbors for maximum aggregation and to construct the gradient and divergence. Ground-truth normals are used to define tangent spaces for these operators and the regularizer is set to $\lambda = 0.01$. As input to the network, we use the xyz-coordinates. The classification architecture is optimized for 250 epochs. We do not use any voting procedure and list results without voting.

The results for this experiment can be found in Table 1. DeltaConv improves significantly on the most related maximum aggregation operators and is on par with or better than state-of-the-art approaches.

ScanObjectNN. ScanObjectNN [Uy et al. 2019] contains 2,902 unique object instances with 15 object categories sampled from SceneNN [Hua et al. 2016] and ScanNet [Dai et al. 2017]. The dataset is enriched to ~15,000 objects by preserving or removing background points and by perturbing bounding boxes. The variant without background points is tested without any perturbations (NO BG). The variant with background points is both tested without (BG) and with perturbations: Bounding boxes are translated ($t$), rotated ($r$), and scaled ($s$) before each shape is extracted. This means that some shapes are cut off, rotated, or scaled. $t_{25}$ and $t_{50}$ denote a translation by 25% and 50% of the bounding box size, respectively.

| Method  | NO BG | BG | $t_{25}$ | $t_{50}$ | $t_{50}$ |
|---------|-------|----|----------|----------|----------|
| 3DMoFV [Ben-Shabat et al. 2018] | 73.8 | 68.2 | 67.1 | 67.4 | 63.5 | 63.0 |
| PointNet [Qi et al. 2017a] | 79.2 | 73.3 | 73.5 | 72.7 | 68.2 | 68.2 |
| SpiderCNN [Xu et al. 2018] | 79.5 | 77.1 | 78.1 | 77.7 | 73.8 | 73.7 |
| PointNet++ [Qi et al. 2018b] | 84.3 | 82.3 | 82.7 | 81.4 | 79.1 | 77.9 |
| DGCNN [Wang et al. 2019] | 86.2 | 82.8 | 83.3 | 81.5 | 80.0 | 78.1 |
| PointCNN [Li et al. 2018] | 85.5 | 86.1 | 83.6 | 82.5 | 78.5 | 78.5 |
| BGA-PN++ [Uy et al. 2019] | - | - | - | - | - | - |
| BGA-DGCNN [Uy et al. 2019] | - | - | - | - | - | 79.9 |
| GBN [Qi et al. 2021b] | - | - | - | - | - | 80.5 |
| GDANet [Xu et al. 2021b] | 88.5 | 87.0 | - | - | - | 91.2 |
| DRNet [Kim et al. 2021a] | - | - | - | - | - | 80.3 |

| DeltaNet (ours) | 89.5 | 89.3 | 89.4 | 87.0 | 85.1 | 84.7 |

Table 1. Classification results on ModelNet40.
We sample 2048 points from the simplified meshes used in MeshCNN [Hanocka et al. 2019]. The network is trained for 250 epochs. We find the classification architecture to 32, since the dataset is much smaller than other datasets. The network is trained for 100 epochs. We decrease the number of parameters in each convolution of mesh normals to construct the operators ($\lambda = 0.001$). The xyz-coordinates are provided as input to the network, which is trained for 200 epochs. During testing, we evaluate each shape with ten random augmentations and aggregate the results with a voting procedure. Such a voting approach is used in the most recent works that we compare with.

The results are shown in Table 4, where our approach, especially the U-ResNet variant, improves upon the state-of-the-art approaches on the mean instant mIoU metric and in many of the shape categories (full breakdown in the supplemental material). For each category, DeltaConv is either comparable to or better than other architectures and significantly better than the most related intrinsic approaches (PointNet++ and DGCNN). In the supplement, we provide feature visualizations to give an idea of the features derived by the network.

### 4.3 Segmentation

For segmentation, we evaluate our architecture on ShapeNet (part segmentation) [Yi et al. 2016]. ShapeNet consists of 16,881 shapes from 16 categories. Each shape is annotated with up to six parts, totaling 50 parts. We use the point sampling of 2,048 points provided by the authors of PointNet [Qi et al. 2017a] and the train/validation/test split follows [Chang et al. 2015]. The operators are constructed with 30 neighbors and ground-truth normals to define tangent spaces ($\lambda = 0.001$). The xyz-coordinates are provided as input to the network, which is trained for 200 epochs.

The results are shown in Table 4, where our approach, especially the U-ResNet variant, improves upon the state-of-the-art approaches on the mean instant mIoU metric and in many of the shape categories (full breakdown in the supplemental material). For each category, DeltaConv is either comparable to or better than other architectures and significantly better than the most related intrinsic approaches (PointNet++ and DGCNN). In the supplement, we provide feature visualizations to give an idea of the features derived by the network.

### 4.4 Ablation Studies

We aim to validate the claim of anisotropy, isolate the effect of the vector stream, validate the choices to regularize and normalize the gradient and divergence operators, and investigate the impact of our approach on timing and parameter counts of these networks.

**Anisotropy.** To validate that DeltaConv supports anisotropy, we train a network to mimic anisotropic diffusion [Perona and Malik 1990]. A ResNet [He et al. 2016] with 16 layers and 16 hidden features is trained for 100 iterations with Adam [Kingma and Ba 2015] to match a target image generated with 20 anisotropic diffusion steps. In each diffusion step, the gradients are scaled with $e^{-1(|v|/0.05)^2}$. We vary the convolution blocks in the network with the ones from DiffusionNet [Sharp et al. 2021], EdgeConv [Wang et al. 2019], PointNet++ [Qi et al. 2017b], GCN [Kipf and Welling 2017], and regular image CNNs. For DiffusionNet, we set the diffusion time to a fixed value, as we are interested in the ability of the convolution to derive anisotropic filters through its gradient features. For all other convolutions, the neighborhoods are 3x3 pixel blocks. The results are shown in Figure 2 and in the supplement. DeltaConv achieves a good match. The other operators tend to blur the image or produce artifacts. For PointNet and EdgeConv, this is likely due to the variable nature and sharpness of the maximum operation. DiffusionNet lacks the divergence and curl operators and does not maintain a vector stream, which is necessary to analyze the relative directions of vector features in local neighborhoods.

**Effectiveness of vector stream.** To study the benefit of the vector stream and its effect on different types of intrinsic scalar convolutions, we set up three different scalar convolutions: (1) a Laplace–Beltrami operator, $\Delta = -\text{div grad}$, (2) GCN [Kipf and Welling 2017], and (3)
maximum aggregation (Equation 1). We test three variants of each network: (1) only scalar stream, (2) scalar stream with the number of parameters adjusted to match a two-stream architecture, and (3) both the scalar and vector stream.

We test each configuration on ModelNet40 and ShapeNet. For both of these tasks, we use the DGCNN base-architecture. The model for ShapeNet is trained for 50 epochs to save on training time and no voting is used, which results in slightly lower results than listed in Table 4. The results are listed in Table 5. We find that the vector stream improves the network for each scalar stream for both tasks, reducing the error between $19-25\%$ for classification and $3-21\%$ for segmentation. For maximum aggregation on ShapeNet, the improvements are lower, but still considerable, given the rate of progress on this dataset over the last few years. Simply increasing the number of parameters in the scalar stream does not yield the same improvement as adding the vector stream, showing that the vector-valued features are of meaningful benefit. Maximum aggregation in the scalar stream yields the highest accuracy.

**Timing and parameters.** In our method section we argue that computing the gradient matrix is lightweight and that the simplified maximum aggregation operator is significantly faster than edge-based operators in PointNet++ and DGCNN. The main bottleneck in these convolutions is maximum aggregation over each edge. In this experiment we demonstrate this by reporting the time it takes to train and test the classification network on one batch of 32 shapes with 1,024 points each. This includes all precomputation steps, such as computing the $k$-nearest neighbor graph ($\sim 15$ ms) and constructing the gradient and divergence operators ($\sim 30$ ms). The EdgeConv network is tested without a dynamic graph component, so that the effect of precomputation and convolutions remains. All timings are obtained on the same machine with an NVIDIA RTX 2080Ti after a warm-up of 10 iterations. We implemented each method in PyTorch [Paszke et al. 2019] and PyTorch Geometric [Fey and Lenssen 2019]. The results are listed in Table 6. We find that our network only increases the number of parameters by $15\times$ in training and inference and $2.5\times$ in the backward pass. DeltaConv with a Laplacian in the scalar stream is even faster: $>2\times$ faster in training and inference and $30\times$ faster in the backward pass.

**Gradient regularization and normalization.** In our method section, we argue that the least-squares fit for constructing the gradient and divergence should be regularized and the operators should be normalized. In this experiment we intend to validate these choices. We train a model that is entirely based on our gradient operator, with a Laplace–Beltrami operator in the scalar stream. This means that every spatial operator in the network is influenced by regularization and scaling. The model is trained on the ModelNet40 for 50 epochs. The results are listed in Table 7. We notice a considerable difference between our approach with- and without regularization. There is a $2.8\%$ percentage point decrease in mean class accuracy and $1.7\%$ percentage point decrease in overall accuracy when the operator is not normalized.

5 CONCLUSION

In this paper we proposed DeltaConv, a new intrinsic convolution operator that can better capture anisotropy. This is achieved through a combination of elemental geometric operators from exterior calculus which are linearly combined and composed inside a neural network. DeltaConv separates features into a scalar- and vector stream and connects the streams with its operators. We demonstrate improved performance on a wide range of tasks, showing the potential of using the operators from exterior calculus in a learning setting on point clouds. We hope that this work will provide insight into the functionality and operation of neural networks for point clouds and spark more work that combines learning approaches with powerful tools from geometry processing.

**Challenges and future work.** We limit our study to regression tasks. While we do not think it is impossible to adapt our operators for generative tasks, it is unclear if and when the operators should be recomputed when a surface is generated. Our work opens up interesting possibilities for future work. Besides exploring more applications of the vector stream, we want to test our approach on other surface discretizations and other manifolds (e.g., hyperbolic spaces and higher dimensional spaces) for which these operators are available, and also intend to study how other variants of the scalar stream impact the network.
A DISCRETIZED OPERATORS

Gradient. We construct a discrete gradient using the moving least-squares approach from [Liang and Zhao 2013]. We go through each step to show how we derive the gradient. While we simplify a number of equations on the way, we found it helpful to start with the complete equations including the metric to show why these simplifications are possible and so we can use these definitions when constructing divergences.

We locally fit a surface patch to estimate the metric at each point $p$. The surface patch $\Gamma : \Omega \subset \mathbb{R}^2 \to \mathbb{R}^3$ describes the surface as a quadratic polynomial $h(u,v)$ over the tangent plane at $p$ and is then given by

$$\Gamma(u,v) = [u, v, h(u,v)]^T,$$

where $u,v$ denote local coordinates in the tangent plane. Since the surface patch should interpolate the point $p$ and the surface normal of the patch at $p$ should agree with the normal of the tangent plane at $p$, the constant and linear terms of $h(u,v)$ vanish. To obtain nodes for the fitting of the quadratic polynomial, we project the points from a local neighborhood of $p$ onto the tangent plane. We denote the metric of $\Gamma$ as $g$. The gradient of a function $X$ on the surface is given as

$$\nabla X = |\partial_u \Gamma| \partial_u X + |\partial_v \Gamma| \partial_v X,$$

where $\partial_u = \partial/\partial u$ is a shorthand for partial derivatives. It follows from the construction of $\Gamma$ that the metric $g$ equals the identity at the origin. Therefore, we compute the gradient at $p$ as

$$\nabla X = \partial_u X \partial_u \Gamma + \partial_v X \partial_v \Gamma.$$

Individual $\partial_u \Gamma$ and $\partial_v \Gamma$ are exactly the basis vectors at point $p$. Thus, the coefficients of the resulting vectors are given by $\partial_u X$ and $\partial_v X$. The function $X$ is given by function values at the points. To estimate the partial derivatives of $X$ at a point $p$, we locally fit a quadratic polynomial and compute its partial derivatives. As for the fitting of the surface patch, we project the points in a local neighborhood to the tangent plane and use the function values as nodes for fitting the quadratic polynomial.

Discrete Divergence. The divergence, including the metric components [O’Neill 1983], on the surface patch $\Gamma$ is

$$\text{div} V = \partial_u V_u + \partial_v V_v + \partial_u \partial_u \log |g| + \partial_v \partial_v \log |g|,$$

where $V_u = \partial_u V$ and $V_v = \partial_v V$.

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where $|g|$ denotes the determinant of the metric. At the origin, the metric of our surface patch is the identity and the derivatives of the metric at this point vanish. Hence, divergence is given by

$$\text{div} \, V = \partial_u V_u + \partial_v V_v. \quad (10)$$

To compute the partial derivatives $\partial_u V_u, \partial_v V_v$ at $p_j$, we require the coefficients of the vector field at neighboring points $(p_j \mid j \in N_i)$. However, different basis vectors are used at different points. Therefore, we need to map from the basis vectors at $p_j$ to those at $p_i$. While doing so, we account for metric distortion by $\Gamma$. The following equation requires a bit more notation to distinguish between vectors at different points. We denote the coordinates of $p_j$ in the tangent space of $p_i$ as $(u_j, v_j)$, the metric of $\Gamma$ at $p_j$ as $g_{u_j,v_j}$, and the basis vectors at $p_j$ as $e^u_j, e^v_j$. The coefficients of a vector at $p_j$ in $p_i$'s parameter domain are

$$g_{u_j,v_j}^{-1} \begin{bmatrix} \partial_u \Gamma(u_j, v_j) \cdot e^u_j \\ \partial_v \Gamma(u_j, v_j) \cdot e^v_j \end{bmatrix} \begin{bmatrix} e^u_j \\ e^v_j \end{bmatrix} \begin{bmatrix} a^u_j \\ a^v_j \end{bmatrix}.$$  \quad (11)

Equation 10 and Equation 11 are combined to form a sparse matrix $D \in \mathbb{R}^{N \times 2N}$ representing divergence.

### B ARCHITECTURES

We base our architectures on the designs proposed in DGCNN [Wang et al. 2019]. A schematic overview is presented in Figure 5 and more details are provided in the following paragraphs.

**Convolutions.** Each convolution, denoted as $\text{Conv}(C_0, \ldots, C_L)$, learns the function $h_{k_{\theta}}$ with an MLP that has $L$ layers. Each layer in the MLP consists of a linear layer with $C_l$ input- and $C_{l+1}$ output channels, batch normalization [Ioffe and Szegedy n.d.], and a non-linearity. For scalar features, the non-linearity is a leaky ReLU with slope 0.2 and for vector features a ReLU. We denote MLPs applied per point as MLP$(C_0, \ldots, C_L)$.

**Classification network.** The classification network has four convolution blocks: Conv(3, 64), Conv(64, 64), Conv(64, 128), Conv(128, 256). Each scalar convolution is interspersed with connections to- and from the vector stream, which mirrors the number of parameters in its vector convolutions. The output of each scalar convolution is concatenated into a feature vector of 512 features and transformed to 1024 features using an MLP. We return a global embedding by taking both the maximum and mean of the features over all points. These are concatenated and fed to a task-specific head: MLP(2048, 512, 256, $C$), where $C$ is the number of classes in the dataset. This final MLP has dropout [Srivastava et al. 2014] set to 0.5 in between the layers. During training, we optimize a smoothed cross-entropy loss.

**Segmentation network.** The segmentation network uses three convolutions: Conv($C_{\text{in}}$, 64, 64), Conv(64, 128, 128), Conv(128, 256, 256). Again, the scalar convolutions are interspersed with connections to- and from the vector stream. The output of each convolution is concatenated into a vector of 448 features per point and transformed.
to 1024 features with a global MLP. These features are pooled with maximum pooling. This embedding and an embedding of a one-hot encoding of the shape category is concatenated to the output of the convolutions at each point and fed to the task-specific head for segmentation: MLP(1536, 256, 256, 128, C). During training, we optimize a cross-entropy loss.

**U-ResNet architecture**  The U-ResNet architecture follows the design proposed in KPFCNN [Thomas et al. 2019] (Figure 9 of the supplementary material in [Thomas et al. 2019]). This network consists of an encoder that operates on four scales and a decoder that progressively upsamples the features to the original resolution. In each scale of the encoder, there are two ResNet blocks with a bottleneck. In KPFCNN, the first ResNet block uses strided convolutions, which we replace with pooling followed by a regular ResNet block. Each scale, we subsample to 1/4 points and increase the number of features by two. In the first layer, we use 64 features. We add two additional ResNet blocks with 128 output features after the decoder, as this was shown to be beneficial in CurveNet [Xiang et al. 2021]. We do not use the other changes introduced by CurveNet, such as skip attention in the decoder or squeeze-excitation in the task-specific head. Each convolution block is replaced by a DeltaConv block, which maintains a vector stream in the first three scales and in the final two ResNet blocks. During pooling, scalar features are max-pooled and vector features are averaged with parallel transport to the coordinate system of the sampled point [Wiersma et al. 2020].

**C ADDITIONAL RESULTS AND VISUALIZATIONS**

C.1 Visualizations

The anisotropic diffusion experiment was repeated for another input image with 20 anisotropic diffusion steps (Figure 6) and with varying anisotropic diffusion steps (Figure 7), showing that the DeltaConv network can learn to control anisotropic diffusion of input signals.

C.2 ShapeNet

The per-category breakdown of results for ShapeNet are listed in Table 9.

C.3 Human Shape Segmentation

We trained a variant of the simple single-scale DeltaNet (six layers instead of three, each 128 features) to predict part annotations on the human body dataset proposed by Maron et al. [2017]. This training set is composed of meshes from FAUST (100 shapes) [Bogo et al. 2014], SCAPE (71 shapes) [Anguelov et al. 2005], Adobe Mixamo (41 shapes) [Adobe 2016], and MIT (169 shapes) [Vlasic et al. 2008]. SHREC07 (18 shapes) is used for testing. Each dataset contains human bodies in different styles and poses, e.g., realistic, cartoony, dynamic. We convert the dataset into a point cloud dataset by uniformly sampling $8N$ points from the faces and downsampling these to $N$ points with FPS. We set $N = 1024$, similar to the experiments in Wiersma et al. [2020], $k = 20$ and $\lambda = 0.001$, similar to the other experiments. We normalize the area of the shape before sampling points and augment the input to the network with random rotations around the up-direction, a random scale between 0.8 and 1.2, and a random translation of 0.1 points. The network is optimized with Adam [Kingma and Ba 2015] for 50 epochs with an initial learning rate of 0.01. The results are listed in Table 8. This experiment shows DeltaConv’s effectiveness on a deformable shape class and allows us to compare the results to those of other intrinsic (mesh) convolutions. This comparison has its limits, as most of the listed methods are trained on meshes instead of point clouds. Nonetheless, we find that DeltaConv is in line with state-of-the-art approaches, with only raw xyz coordinates as input.
Table 9. Per-category breakdown of part segmentation results on ShapeNet part dataset. Metric is mIoU(%) on points.

| # shapes | Mean inst. mIoU | aero | bag | cap | car | chair | ear | guitar | knife | lamp | laptop | motor | mug | pistol | rocket | skate | table | board |
|----------|-----------------|------|-----|-----|-----|-------|-----|--------|-------|------|--------|-------|-----|--------|--------|-------|-------|-------|
|          |                 | 2690 | 76  | 55  | 898 | 3758  | 69  | 787    | 392   | 1547 | 451    | 202   | 184 | 283    | 66     | 152   | 5271  |
| PointNet++ | 85.1            | 82.4 | 79.0| 87.7| 77.3| 90.8  | 91.8| 91.0   | 85.9  | 83.7 | 95.3   | 71.6  | 94.1| 81.3   | 58.7   | 76.4  | 82.6  |
| PointCNN  | 86.1            | 84.1 | 86.5| 86.0| 80.8| 90.6  | 79.7| 92.3   | 88.4  | 85.3 | 96.1   | 77.2  | 95.3| 84.2   | 64.2   | 80.0  | 83.0  |
| DGCNN     | 85.2            | 84.0 | 83.4| 86.7| 77.8| 90.6  | 74.7| 91.2   | 87.5  | 82.8 | 95.7   | 66.3  | 94.9| 81.1   | 63.5   | 74.5  | 82.6  |
| KPConv deform | 86.4          | 84.6 | 86.3| 87.2| 81.1| 91.1  | 77.8| 92.6   | 88.4  | 82.7 | 96.2   | 78.1  | 95.8| 85.4   | 69.0   | 82.0  | 83.6  |
| KPConv rigid | 86.2           | 83.8 | 86.1| 88.2| 81.6| 91.0  | 80.1| 92.1   | 87.8  | 82.2 | 96.2   | 77.9  | 95.7| 86.8   | 65.3   | 81.7  | 83.6  |
| GDANet    | 86.5            | 84.2 | 88.0| 90.6| 80.2| 90.7  | 82.2| 91.9   | 88.5  | 82.7 | 96.1   | 75.8  | 95.7| 83.9   | 62.9   | 83.1  | 84.4  |
| PointTransformer | 86.6          | -    | -   | -   | -   | -     | -   | -      | -     | -    | -      | -     | -   | -      | -      | -     | -     |
| PointVoxelTransformer | 86.5         | 85.1 | 82.8| 88.3| 81.5| 92.2  | 72.5| 91.0   | 88.9  | 85.6 | 95.4   | 76.2  | 94.7| 84.2   | 65.0   | 75.3  | 81.7  |
| CurveNet  | 86.8            | 85.1 | 84.1| 89.4| 80.8| 91.9  | 75.2| 91.8   | 88.7  | 86.3 | 96.3   | 72.8  | 95.4| 82.7   | 59.8   | 78.5  | 84.1  |
| DeltaNet (ours) | 86.6           | 84.9 | 82.8| 89.1| 81.3| 91.9  | 79.7| 92.2   | 88.6  | 85.5 | 96.7   | 77.2  | 95.8| 83.0   | 61.1   | 77.5  | 83.1  |
| Delta-U-ResNet (ours) | 86.9          | 85.3 | 88.1| 88.6| 81.4| 91.8  | 78.4| 92.0   | 89.3  | 85.6 | 96.1   | 76.4  | 95.9| 82.7   | 65.0   | 76.6  | 84.1  |