Evaluating the Portability of Revision Rules for Incremental Summary Generation

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Abstract

This paper presents a quantitative evaluation of the portability to the stock market domain of the revision rule hierarchy used by the system STREAK to incrementally generate newswire sports summaries. The evaluation consists of searching a test corpus of stock market reports for sentence pairs whose (semantic and syntactic) structures respectively match the triggering condition and application result of each revision rule. The results show that at least 59% of all rule classes are fully portable, with at least another 7% partially portable.

1 Introduction

The project STREAK focuses on the specific issues involved in generating short, newswire style, natural language texts that summarize vast amount of input tabular data in their historical context. A series of previous publications presented complementary aspects of this project: motivating corpus analysis in (Robin and McKeown, 1993), new revision-based text generation model in (Robin, 1993), system implementation and rule base in (Robin, 1994a) and empirical evaluation of the robustness and scalability of this new model as compared to the traditional single pass pipeline model in (Robin and McKeown, 1995). The present paper completes this series by describing a second, empirical, corpus-based evaluation, this time quantifying the portability to another domain (the stock market) of the revision rule hierarchy acquired in the sports domain and implemented in STREAK. The goal of this paper is twofold: (1) assessing the generality of this particular rule hierarchy and (2) providing a general, semi-automatic methodology for evaluating the portability of semantic and syntactic knowledge structures used for natural language generation. The results reveal that at least 59% of the revision rule hierarchy abstracted from the sports domain could also be used to incrementally generate the complex sentences observed in a corpus of stock market reports.

I start by providing the context of the evaluation with a brief overview of STREAK's revision-based generation model, followed by some details about the empirical acquisition of its revision rules from corpus data. I then present the methodology of this evaluation, followed by a discussion of its quantitative results. Finally, I compare this evaluation with other empirical evaluations in text generation and conclude by discussing future directions.

2 An overview of STREAK

The project STREAK was initially motivated by analyzing a corpus of newswire summaries written by professional sportswriters. This analysis revealed four characteristics of summaries that challenge the capabilities of previous text generators: concise linguistic forms, complex sentences, optional and background facts opportunistically slipped as modifiers of obligatory facts and high paraphrasing power. By greatly increasing the number of content planning and linguistic realization options that the generator must consider, as well as the mutual constraints among them, these characteristics make generating summaries in a single pass impractical.

The example run given in Fig. 1 illustrates how STREAK overcomes these difficulties. It first generates a simple draft sentence that contains only the obligatory facts to include in any game report (location, date, game result and key player statistic). It then applies a series of revision rules, each one...
Figure 1: Complex sentence generation through incremental revisions in STREAK

opportunistically adding a new fact that either:

- Complements an already included fact (e.g., revision of sentence 2 into 3).
- Justifies its relevance by providing its historical background (e.g., revision of sentence 1 into 2).

Some of these revisions are non-monotonic, rewording a draft fact to more concisely accommodate the additional fact (e.g., revision of sentence 1 into 2). Popping additional facts from a priority stack, STREAK stops revising when the summary

4Highlighted in bold in Fig. 1.
5In Fig. 1, words that get deleted are italicized and words that get modified are underlined.

Figure 2: Paragraph of simple sentences paraphrasing a single complex sentence

3 Acquiring revision rules from corpus data

The rules driving the revision process in STREAK were acquired by reverse engineering about 300 corpus sentences. These sentences were initially classified in terms of:

- The combination of domain concepts they expressed.
- The thematic role and top-level syntactic category used for each of these concepts.

6This paragraph was not generated by STREAK, it is shown here only for contrastive purposes.
7i.e., analyzing how they could be incrementally generated through gradual revisions.
The resulting classes, called \textit{realization patterns}, abstract the mapping from semantic to syntactic structure by factoring out lexical material and syntactic details. Two examples of realization patterns are given in Fig. 3. Realization patterns were then grouped into \textit{surface decrement pairs} consisting of:

- A more complex pattern (called the \textit{target pattern}).
- A simpler pattern (called the \textit{source pattern}) that is structurally the closest to the target pattern among patterns with one less concept\(^8\).

The structural transformations from source to target pattern in each surface decrement pair were then hierarchically classified, resulting in the revision rule hierarchy shown in Fig. 4-10. For example, the surface decrement pair \(< R_1^1, R_1^2 \rangle \), shown in Fig. 3, is one of the pairs from which the revision rule \textit{Adjunctization of Range into Instrument}, shown in Fig. 10 was abstracted.

It involves displacing the \textit{Range} argument of the source clause as an \textit{Instrument} adjunct to accommodate a new verb and its argument. This revision rule is a sibling of the rule \textit{Adjunctization of Created into Instrument} used to revise sentence 1 into 2 in \textsc{streak}'s run shown in Fig. 1 (where the \textit{Created} argument role “42 points” of the verb “to score” in 1 becomes an \textit{Instrument} adjunct in 2).

The bottom level of the revision rule hierarchy specifies the \textit{side revisions} that are orthogonal and sometimes accompany the restructuring revisions discussed up to this point. Side revisions do not make the draft more informative, but instead improve its style, conciseness and unambiguity. For example, when \textsc{streak} revises sentence (3) into (4) in the example run of Fig. 1, the \textit{Agent} of the absorbed clause “Danny Ainge added 21 points” becomes \textit{controlled} by the new embedding clause “Danny Ainge came off the bench” to avoid the verbose form: “Danny Ainge came off the bench for Danny Ainge to add 21 points”.

4 \textbf{Evaluation methodology}

In the spectrum of possible evaluations, the evaluation presented in this paper is characterized as follows:

- Its object is the \textit{revision rule hierarchy} acquired from the sports summary corpus. It thus does not directly evaluate the output of \textsc{streak}, but rather the special knowledge structures required by its underlying revision-based model.

- The particular property of this revision rule hierarchy that is evaluated is \textit{cross-domain portability}; how much of it could be re-used to generate summaries in another domain, namely the stock market?

- The basis for this evaluation is \textit{corpus data}\(^9\). The original sports summary corpus from which the revision rules were acquired is used as the ‘training’ (or acquisition) corpus and a corpus of stock market reports taken from several newswires is used as the ‘test’ corpus. This test corpus comprises over 18,000 sentences.

- The evaluation procedure is \textit{quantitative}, measuring percentages of revision rules whose target and source realization patterns are observable in the test corpus. It is also \textit{semi-automated} through the use of the corpus search tool CR\textsc{EP} (Duford, 1993) (as explained below).

\textbf{Basic principle} As explained in section 3, a revision rule is associated with a list of surface decrement pairs, each one consisting of:

- A \textit{source pattern} whose content and linguistic form match the triggering conditions of the rule (e.g., \( R_3^3 \) in Fig. 3 for the rule \textit{Adjunctization of Range into Instrument}).

- A \textit{target pattern} whose content and linguistic form can be derived from the source pattern by applying the rule (e.g., \( R_3^2 \) in Fig. 3 for the rule \textit{Adjunctization of Range into Instrument}).

This list of decrement pairs can thus be used as the \textit{signature} of the revision rule to detect its usage in the test corpus. The needed evidence is the simultaneous presence of two test corpus sentences\(^{10}\), each one respectively matching the source and target patterns of at least one element in this list. Requiring occurrence of the source pattern in the test corpus is necessary for the computation of conservative portability estimates: while it may seem that one target pattern alone is enough evidence, without the presence of the corresponding source pattern, one cannot rule out the possibility that, in the test domain, this target pattern is either a basic pattern or derived from another source pattern using another revision rule.

\(^{10}\)In general, \textit{not} from the same report.

\(^9\)Only the corpus analysis was performed for both domains. The implementation was \textit{not} actually ported to the stock market domain.

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Realization pattern $R_2^2$:
- Expresses the concept pair:
  \(<game-result(winner,loser,score), streak(winner,aspect,result-type,length)>\).
- Is a target pattern of the revision rule Adjunctization of Range into Instrument.

| winner   | aspect | type       | length  | score  | game-result | loser  |
|----------|--------|------------|---------|--------|-------------|--------|
| agent    | action | affected/located | location | prep    | det classifier noun | Prep    | det number noun PP |
| proper   | verb   | NP         | PP      | PP     | PP          | PP     |

Utah extended its win streak to 6 games with a 99-84 triumph over Denver.
Boston stretching its winning spree to 9 outings with a 118-94 rout of Utah.

Realization pattern $R_1^2$:
- Expresses the single concept \(<game-result(winner,loser,score)>\).
- Is a source pattern of the revision rule Adjunctization of Range into Instrument.
- Is a surface decrement of pattern $R_2^2$ above.

| winner | score | game-result | loser |
|--------|-------|-------------|-------|
| agent  | action | range       |       |
| proper | support-verb | NP        |       |

Chicago claimed a 128-94 victory over New Jersey.
Orlando recorded a 101-95 triumph against New York.

Figure 3: Realization pattern examples

Partially automating the evaluation The software tool CREP\(^{11}\) was developed to partially automate detection of realization patterns in a text corpus. The basic idea behind CREP is to approximate a realization pattern by a regular expression whose terminals are words or parts-of-speech tags (POS-tags). CREP will then automatically retrieve the corpus sentences matching those expressions. For example, the CREP expression $C_1$ below approximates the realization pattern $R_1^2$ shown in Fig. 3:

\[(61) \text{TEAM O= (claimed|recorded)@VBD I- SCORE O= (victory|triumph)@NN O= (over|against)@IN O= TEAM}\]

In the expression above, ‘VBD’, ‘NN’ and ‘IN’ are the POS-tags for past verb, singular noun and preposition (respectively), and the sub-expressions ‘TEAM’ and ‘SCORE’ (whose recursive definitions are not shown here) match the team names and possible final scores (respectively) in the NBA. The CREP operators ‘N=’ and ‘N-‘ (N being an arbitrary integer) respectively specify exact and minimal distance of N words, and ‘1’ encodes disjunction.

\(^{11}\)CREP was implemented (on top of FLEX, GNUS' version of LEX) and to a large extent also designed by Duford. It uses Ken Church's POS tagger.

Because a realization pattern abstracts away from lexical items to capture the mapping from concepts to syntactic structure, approximating such a pattern by a regular expression of words and POS-tags involves encoding each concept of the pattern by the disjunction of its alternative lexicalizations. In a given domain, there are therefore two sources of inaccuracy for such an approximation:

- Lexical ambiguity resulting in false positives by over-generalization.
- Incomplete vocabulary resulting in false negatives by over-specialization\(^{12}\).

Lexical ambiguities can be alleviated by writing more context-sensitive expressions. The vocabulary can be acquired through additional exploratory CREP runs with expressions containing wild-cards for some concept slots. Although automated corpus search using CREP expressions considerably speeds-up corpus analysis, manual intervention remains necessary.

\(^{12}\)This is the case for example of $C_1$ above, which is a simplification of the actual expression that was used to search occurrences of $R_1^2$ in the test corpus (e.g., $C_1$ is missing “win” and “rout” as alternatives for “victory”).
necessary to filter out incorrect matches resulting from imperfect approximations.

Cross-domain discrepancies Basic similarities between the finance and sports domains form the basis for the portability of the revision rules. In both domains, the core facts reported are statistics compiled within a standard temporal unit (in sports, one ballgame; in finance, one stock market session) together with streaks\(^{13}\) and records compiled across several such units. This correspondence is, however, imperfect. Consequently, before they can track down usage of a revision rule in the test domain, the CREP expressions approximating the signature of the rule in the acquisition domain must be adjusted for cross-domain discrepancies to prevent false negatives. Two major types of adjustments are necessary: lexical and thematic.

Lexical adjustments handle cases of partial mismatch between the respective vocabularies used to lexicalize matching conceptual structures in each domain. \(\text{e.g.},\) the verb "to rebound from" expresses the interruption of a streak in the stock market domain, while in the basketball domain "to break" or "to snap" are preferred since "to rebound" is used to express a different concept.

Thematic adjustments handle cases of partial differences between corresponding conceptual structures in the acquisition and test domains. For example, while in sports game-result involves antagonistic teams, its financial domain counterpart session-result concerns only a single indicator. Consequently, the sub-expression for the loser role in the example CREP expression \(C_1\) shown before, and which approximates realization pattern \(R_1\) for game-result (shown in Fig. 3), needs to become optional in order to also approximate patterns for session-result. This is done using the CREP operator \(?\) as shown below:

\[(C_1): \text{TEAM} \circ\text{(claimed|recorded)}\circ\text{VBD} \circ 1\text{SCORE} \circ\text{(victory|triumph)}\circ\text{NN} \circ\text{= (over|against)}\circ\text{IN} \circ\text{0= TEAM)}?\]

Note that it is the CREP expressions used to automatically retrieve test corpus sentence pairs attesting usage of a revision rule that require this type of adjustment and not the revision rule itself\(^ {14}\). For example, the Adjoin of Frequency PP to Clause revision rule attaches a streak to a session-result clause without loser role in exactly the same way than it attaches a streak to a game-result with loser role. This is illustrated by the two corpus sentences below:

\(P_1^2:\) "The Chicago Bulls beat the Phoenix Suns 99 91 for their 3rd straight win"

\(P_2^2:\) "The Amex Market Value Index inched up 0.16 to 481.94 for its sixth straight advance"

Detailed evaluation procedure The overall procedure to test portability of a revision rule consists of considering the surface decrement pairs in the rule signature in order, and repeating the following steps:

1. Write a CREP expression for the acquisition target pattern.
2. Iteratively delete, replace or generalize sub-expressions in the CREP expression - to gloss over thematic and lexical discrepancies between the acquisition and test domains, and prevent false negatives - until it matches some test corpus sentence(s).
3. Post-edit the file containing these matched sentences. If it contains only false positives of the sought target pattern, go back to step 2. Otherwise, proceed to step 4.
4. Repeat step (1-3) with the source pattern of the pair under consideration. If a valid match can also be found for this source pattern, stop: the revision rule is portable. Otherwise, start over from step 1 with the next surface decrement pair in the revision rule signature. If there is no next pair left, stop: the revision rule is considered non-portable.

Steps (2,3) constitute a general, generate-and-test procedure to detect realization patterns usage in a corpus\(^ {15}\). Changing one CREP sub-expression may result in going from too specific an expression with no valid match to either: (1) a well-adjusted expression with a valid match, (2) still too specific an expression with no valid match, or (3) already too general an expression with too many matches to be manually post-edited.

It is in fact always possible to write more context-sensitive expressions, to manually edit larger no-match files, or even to consider larger test corpora in the hope of finding a match. At some point however, one has to estimate, guided by the results of previous runs, that the likelihood of finding a match is too low. And since most generators rely on knowledge structures equivalent to realization patterns, this procedure can probably be adapted to semi-automatically evaluate the portability of virtually any corpus-based generator.
5 Evaluation results

The results of the evaluation are summarized in Fig. 4-10. They show the revision rule hierarchy, with portable classes highlighted in bold. The frequency of occurrence of each rule in the acquisition corpus is given below the leaves of the hierarchy.

Some rules are same-concept portable: they are used to attach corresponding concepts in each domain (e.g., Adjoin of Frequency PP to Clause, as explained in Sect. 4). They could be re-used “as is” in the financial domain. Other rules, however, are only different-concept portable: they are used to attach altogether different concepts in each domain. This is the case for example of Adjoin Finite Time Clause to Clause, as illustrated by the two corpus sentences below, where the added temporal adjunct (in bold) conveys a streak in the sports sentence, but a complementary statistics in the financial one: $T_3^1$: “to lead Utah to a 119-89 trouncing of Denver as the Jazz defeated the Nuggets for the 12th straight time at home.”

$T_3^2$: “Volume amounted to a solid 349 million shares as advances out-paced declines 299 to 218.”

For different-concept portable rules, the left-hand side field specifying the concepts incorporable to the draft using this rule will need to be changed when porting the rule to the stock market domain. In Fig. 4-10, the arcs leading same-concept portable classes are full and thick, those leading to different-concept portable classes are dotted, and those leading to a non-portable classes are full but thin.

59% of all revision rule classes turned out to be same-concept portable, with another 7% different-concept portable. Remarkably, all eight top-level classes identified in the sports domain had instances same-concept portable to the financial domain, even those involving the most complex non-monotonic revisions, or those with only a few instances in the sports corpus. Among the bottom-level classes that distinguish between revision rule applications in very specific semantic and syntactic contexts, 42% are same-concept portable with another 10% different-concept portable. Finally, the correlation between high usage frequency in the acquisition corpus and portability to the test corpus is not statistically significant (i.e., the hypothesis that the more common a rule, the more likely it is to be portable could not be confirmed on the analyzed sample). See (Robin, 1994b) for further details on the evaluation results.

There are two main stumbling blocks to portability: thematic role mismatch and side revisions. Thematic role mismatches are cases where the semantic label or syntactic sub-category of a constituent added or displaced by the rule differ in each domain (e.g., Adjoin of Created into Instrument vs. Adjoin of Affected into Instrument). They push portability from 92% down to 71%. Their effect could be reduced by allowing STREAK’s reviser to manipulate the draft down to the surface syntactic role level (e.g., in both corpora Created and Affected surface as object). Currently, the reviser stops at the thematic role level to allow STREAK to take full advantage of the syntactic processing front-end SURGE (Elhadad and Robin, 1996), which accepts such thematic structures as input.

Accompanying side revisions push portability from 71% to 52%. This suggests that the design of STREAK could be improved by keeping side revisions separate from re-structuring revisions and interleaving the applications of the two. Currently, they are integrated together at the bottom of the revision rule hierarchy.

6 Related work

Apart from STREAK, only three generation projects feature an empirical and quantitative evaluation: ANA (Kukich, 1983), KNIGHT (Lester, 1993) and IMAGENE (Van der Linden, 1993).

ANA generates short, newswire style summaries of the daily fluctuations of several stock market indexes from half-hourly updates of their values. For evaluation, Kukich measures both the conceptual and linguistic (lexical and syntactic) coverages of ANA by comparing the number of concepts and realization patterns identified during a corpus analysis with those actually implemented in the system.

KNIGHT generates natural language concept definitions from a large biological knowledge base, relying on SURGE for syntactic realization. For evaluation, Lester performs a Turing test in which a panel of human judges rates 120 sample definitions by assigning grades (from A to F) for:

- Semantic accuracy (defined as “Is the definition adequate, providing correct information and focusing on what’s important?” in the instructions provided to the judges).
- Stylistic accuracy (defined as “Does the definition use good prose and is the information it
Monotonic Revisions
Adjoin Absorb Conjoin

Non-monotonic Revisions
Recast Adjunctization Nominalization Demotion Promotion

Figure 4: Revision rule hierarchy: top-levels

Absorb

of NP
inside-NP
as-qualifier

of clause
inside-clause
as-affected-apposition
as-mean
as-co-event

Figure 5: Absorb revision rule sub-hierarchy

Recast

of NP
from classifier
to qualifier

of clause
from location
from range
to instrument
to time
to instrument

Nominalization

+ordinal +ordinal +ordinal
+classifier +qualifier

Figure 6: Recast and Nominalize revision rule sub-hierarchy

Demotion

from score
from created
from affected

to score(co-event)
to qualifier(affected)
to determiner(affected)

Coordination Promotion

simple w/ Adjunctization

Figure 7: Demotion and Promotion revision rule sub-hierarchy
Figure 8: Adjoin revision rule sub-hierarchy

Figure 9: Conjoin revision rule sub-hierarchy

Figure 10: Adjunctization revision rule sub-hierarchy
The judges did not know that half the definitions were computer-generated while the other half were written by four human domain experts. Impressively, the results show that:

- With respect to semantic accuracy, the human judges could not tell KNIGHT apart from the human writers.
- While as a group, humans got statistically significantly better grades for stylistic accuracy than KNIGHT, the best human writer was single-handedly responsible for this difference.

IMAGENE generates instructions on how to operate household devices relying on NIGEL (Mann and Matthiessen, 1983) for syntactic realization. The implementation focuses on a very limited aspect of text generation: the realization of purpose relations. Taking as input the description of a pair <operation, purpose of the operation>, augmented by a set of features simulating the communicative context of generation, IMAGENE selects, among the many realizations of purpose generable by NIGEL (e.g., fronted to-infinitive clause vs. trailing for-gerund clauses), the one that is most appropriate for the simulated context (e.g., in the context of several operations sharing the same purpose, the latter is preferentially expressed before those actions than after them). IMAGENE’s contextual preference rules were abstracted by analyzing an acquisition corpus of about 300 purpose clauses from cordless telephone manuals. For evaluation, Van der Linden compares the purpose realizations picked by IMAGENE to the one in the corresponding corpus text, first on the acquisition corpus and then on a test corpus of about 300 other purpose clauses from manuals for other devices than cordless telephones (ranging from clock radio to automobile). The results show a 71% match on the acquisition corpus\(^\text{16}\) and a 52% match on the test corpus.

The table of Fig. 11 summarizes the difference on both goal and methodology between the evaluations carried out in the projects ANA, KNIGHT, IMAGENE and STREAK. In terms of goals, while Kukich and Lester evaluate the coverage or accuracy of a particular implementation, I instead focus on three properties inherent to the use of the revision-based generation model underlying STREAK: robustness (how much of other text samples from the same domain can be generated without acquiring new knowledge?) and scalability (how much more new knowledge is needed to fully cover these other samples?) discussed in (Robin and McKeown, 1995), and portability to another domain in the present paper. Van der Linden does a little bit of both by first measuring the stylistic accuracy of his system for a very restricted sub-domain, and then measuring how it degrades for a more general domain.

In itself, measuring the accuracy and coverage of a particular implementation in the sub-domain for which it was designed brings little insights about what generation approach should be adopted in future work. Indeed, even a system using mere canned text can be very accurate and attain substantial coverage if enough hand-coding effort is put into it. However, all this effort will have to be entirely duplicated each time the system is scaled up or ported to a new domain. Measuring how much of this effort duplication can be avoided when relying on revision-based generation was the very object of the three evaluations carried in the STREAK project.

\(^{16}\)This imperfect match on the acquisition corpus seems to result from the heuristic nature of IMAGENE’s stylistic preferences: individually, none of them needs to apply to the whole corpus.
In terms of methodology, the main originality of these three evaluations is the use of CREP to partially automate reverse engineering of corpus sentences. Beyond evaluation, CREP is a simple, but general and very handy tool that should prove useful to speed-up a wide range of corpora analyses.

7 Conclusion

In this paper, I presented a quantitative evaluation of the portability to the stock market domain of the revision rule hierarchy used by the system STREAK to incrementally generate newswire sports summaries. The evaluation procedure consists of searching a test corpus of stock market reports for sentence pairs whose (semantic and syntactic) structures respectively match the triggering condition and application result of each revision rule. The results show that at least 59% of all rule classes are fully portable, with at least another 7% partially portable.

Since the sports domain is not closer to the financial domain than to other quantitative domains such as meteorology, demography, business auditing or computer surveillance, these results are very encouraging with respect to the general cross-domain reusability potential of the knowledge structures used in revision-based generation. However, the present evaluation concerned only one type of such knowledge structures: revision rules. In future work, similar evaluations will be needed for the other types of knowledge structures: content selection rules, phrase planning rules and lexicalization rules.

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