The Emergent Universe scheme and Tunneling

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Abstract. We present an alternative scheme for an Emergent Universe scenario, developed previously in Phys. Rev. D 86, 083524 (2012), where the universe is initially in a static state supported by a scalar field located in a false vacuum. The universe begins to evolve when, by quantum tunneling, the scalar field decays into a state of true vacuum. The Emergent Universe models are interesting since they provide specific examples of non-singular inflationary universes.

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INTRODUCTION

Cosmological inflation has become an integral part of the standard model of the universe. Apart from being capable of removing the shortcomings of the standard cosmology, it gives important clues for large scale structure formation [2, 3, 4, 5] (see [6] for a review). The scheme of inflation is based on the idea that there was an early phase, before the Big Bang, in which the universe evolved through a nearly exponential expansion during a short period of time at high energy scales. During this phase, the universe was dominated by a potential of a scalar field, which is called the inflaton.

In this context, singularity theorems have been devised that apply in the inflationary scenario, showing that the universe necessarily had a beginning [7, 8, 10, 9, 11]. However, recently, models that escape this conclusion has been studied in Refs. [12, 13, 14, 15, 16, 17, 18, 19]. These models, called Emergent Universe (EU), do not satisfy the geometrical assumptions of these theorems. Specifically, the theorems assume that either i) the universe has open space sections, implying $k = 0$ or $-1$, or ii) the Hubble expansion rate $H$ is bounded away from zero in the past, $H > 0$.

Normally in the Emergent Universe scenario, the universe is positively curved and initially it is in a past eternal classical Einstein static state which eventually evolves into a subsequent inflationary phase, see [12, 13, 14, 15, 16, 17, 18, 19].

For example, in the original scheme [12, 13], it is assumed that the universe is dominated by a scalar field (inflaton) $\phi$ with a scalar potential $V(\phi)$ that approach a constant $V_0$ as $\phi \to -\infty$ and monotonically rise once the scalar field exceeds a certain value $\phi_0$, see Fig. (1).

During the past-eternal static regime it is assumed that the scalar field is rolling on the asymptotically flat part of the scalar potential with a constant velocity, providing the conditions for a static universe. But once the scalar field exceeds some value, the scalar potential slowly droops from its original value. The overall effect of this is to distort the equilibrium behavior breaking the static solution. If the potential has a suitable form in this region, slow-roll inflation will occur, thereby providing a $\text{Sgraceful entrance}$ to early universe inflation.

Notice that, as was shown by Eddington [20], the Einstein static state is unstable to homogeneous perturbations. This situation has implication for the Emergent Universe scenario, see discussion in Sec. .

This scheme for a Emergent Universe have been used not only on models based on General Relativity [12, 13], but also on models where non-perturbative quantum corrections of the Einstein field equations are considered [14, 18, 19], in the context of a scalar tensor theory of gravity [21, 22] and recently in the framework of the so-called two measures field theories [23, 24, 25, 26, 27].

Another possibility for the Emergent Universe scenario is to consider models in which the scale factor asymptotically tends to a constant in the past [15, 16, 28, 29, 30, 31, 32, 33].

The Emergent Universe models are appealing since they provide specific examples of non-$\text{Usingular}$ (geodesically complete) inflationary universes. Furthermore, it has been proposed that entropy considerations favor the ES state as
FIGURE 1. Schematic representation of a potential for a standard Emergent Universe scenario.

Also, it has been proposed [36] that the super inflation phase, which is a characteristic shared by all EU models, could be responsible for part of the anomaly in the low multipoles of the CMB, in particular to the observed lack of power at large angular scales [37, 38, 40, 41, 42].

We can note that both schemes for a Emergent Universe are not truly static during the static regime. For instance, in the first scheme during the static regime the scalar field is rolling on the flat part of its potential. On the other hand, for the second scheme the scale factor is only asymptotically static.

However, recently, it has been proposed an alternative scheme for an Emergent Universe scenario, where the universe is initially in a truly static state [1]. This state is supported by a scalar field which is located in a false vacuum ($\phi = \phi_F$), see Fig.(2). The universe begins to evolve when, by quantum tunneling, the scalar field decays into a state of true vacuum. Then, a small bubble of a new phase of field value $\phi_W$ can form, and expand as it converts volume from high to low vacuum energy and feeds the liberated energy into the kinetic energy of the bubble wall [48, 49]. Inside the bubble, space-like surfaces of constant $\phi$ are homogeneous surfaces of constant negative curvature. One way of describing this situation is to say that the interior of the bubble always contains an open Friedmann-Robertson-Walker universe [49]. If the potential has a suitable form, inflation and reheating may occur in the interior of the bubble as the field rolls from $\phi_W$ to the true vacuum $\phi_T$, in a similar way to what happens in models of Open Inflationary Universes, see for example [43, 44, 45, 46, 47].

In Ref. [1] we considered a simplified version of this scheme, where we focused on studied the process of creation and evolution of a bubble of true vacuum in the background of an ES universe. In particular, we considered an inflaton potential similar to Fig. (3) and studied the process of tunneling of the scalar field from the false vacuum $\phi_F$ to the true vacuum $\phi_T$ and the consequent creation and evolution of a bubble of true vacuum in the background of an ES universe. Here we review the principal results of Ref. [1].

STATIC UNIVERSE BACKGROUND

Based on the standard Emergent Universe (EU) scenario, we consider that the universe is positively curved and it is initially in a past eternal classical Einstein static state. The matter of the universe is modeled by a standard perfect fluid $P = (\gamma - 1)\rho$ and a scalar field (inflaton) with energy density $\rho_\phi = \frac{1}{2}(\partial_t \phi)^2 + V(\phi)$ and pressure $P_\phi = \frac{1}{2}(\partial_t \phi)^2 - V(\phi)$. The scalar field potential $V(\phi)$ is depicted in Fig. 3. The global minimum of $V(\phi)$ is tiny and positive, at a field value $\phi_T$, but there is also a local false minimum at $\phi = \phi_F$.

The metric for the static state is given by the closed Friedmann-Robertson-Walker metric:
FIGURE 2. A double-well inflationary potential $V(\phi)$. In the graph, some relevant values are indicated. They are the false vacuum $V_F = V(\phi_F)$ from which the tunneling begins, $V_W = V(\phi_W)$ where the tunneling stops and where the inflationary era begins, while $V_T = V(\phi_T)$ denote the true vacuum energy.

Given that there are no interactions between the standard fluid and the scalar field, they separately obey energy conservation and Klein-Gordon equations,

$$\partial_t \rho + 3\gamma H \rho = 0,$$

where $a(t)$ is the scale factor, $t$ represents the cosmic time and the constant $R > 0$. We have explicitly written $R$ in the metric in order to make more clear the effects of the curvature on the bubble process (probability of creation and propagation of the bubble).


FIGURE 3. Potential with a false and true vacuum.

$$ds^2 = dt^2 - a(t)^2 \left[ \frac{dr^2}{1 - \frac{r^2}{R^2}} + r^2 (d\theta^2 + \sin^2 \theta \, d\phi^2) \right],$$

(1)

where $a(t)$ is the scale factor, $t$ represents the cosmic time and the constant $R > 0$. We have explicitly written $R$ in the metric in order to make more clear the effects of the curvature on the bubble process (probability of creation and propagation of the bubble).

$$\partial_t \rho + 3\gamma H \rho = 0,$$

(2)

$$\partial_t^2 \phi + 3H \partial_\phi \phi = -\frac{\partial V(\phi)}{\partial \phi},$$

(3)
where $H = \partial_t a / a$.

The Friedmann and the Raychaudhuri field equations become,

$$H^2 = \frac{8\pi G}{3} \left( \rho + \frac{1}{2}(\partial_t \phi)^2 + V(\phi) \right) - \frac{1}{R^2 a^2},$$

(4)

$$\partial_t^2 a = -\frac{8\pi G}{3} a \left[ \left( \frac{3}{2}\gamma - 1 \right) \rho + \phi^2 - V(\phi) \right].$$

(5)

The static universe is characterized by the conditions $a = a_0 = \text{const.}$, $\partial_t a_0 = \partial_t^2 a_0 = 0$ and $\phi = \phi_F = \text{Cte.}$, $V(\phi_F) = V_F$ corresponds to the false vacuum.

From Eqs. (2) to (5), the static solution for a universe dominated by a scalar field placed in a false vacuum and a standard perfect fluid, are obtained if the following conditions are met

$$\rho_0 = \frac{1}{4\pi G} \frac{1}{\gamma R^2 a_0^2},$$

(6)

$$V_F = \left( \frac{3}{2}\gamma - 1 \right) \rho_0,$$

(7)

where $\rho_0$ is energy density of the perfect fluid present in the static universe. Note that $\gamma > 2/3$ in order to have a positive scalar potential.

By integrating Eq. (2) we obtain

$$\rho = \frac{A}{a_0^3},$$

(8)

where $A$ is an integration constant. By using this result, we can rewrite the conditions for a static universe as follow

$$A = \frac{1}{4\pi G} \frac{a_0^{3\gamma - 2}}{\gamma R^2},$$

(9)

$$V_F = \left( \frac{3}{2}\gamma - 1 \right) \frac{1}{4\pi G} \frac{1}{\gamma R^2 a_0^2}.$$

(10)

In a purely classical field theory if the universe is static and supported by the scalar field located at the false vacuum $V_F$, then the universe remains static forever. Quantum mechanics makes things more interesting because the field can tunnel through the barrier and by this process create a small bubble where the field value is $\phi_T$. Depending of the background where the bubble materializes, the bubble could expanded or collapsed [59, 55].

**BUBBLE NUCLEATION**

In this section we study the tunneling process of the scalar field from the false vacuum to the true vacuum and the consequent creation of a bubble of true vacuum in the background of Einstein static universe. Given that in our case the geometry of the background correspond to a Einstein static universe and not a de Sitter space, we proceed following the scheme developed in [53, 55], instead of the usual semiclassical calculation of the nucleation rate based on instanton methods [49]. In particular, we will consider the nucleation of a spherical bubble of true vacuum $V_T$ within the false vacuum $V_F$. We will assume that the layer which separates the two phases (the wall) is of negligible thickness compared to the size of the bubble (the usual thin-wall approximation). The energy budget of the bubble consists of latent heat (the difference between the energy densities of the two phases) and surface tension.

In order to eliminate the problem of predicting the reaction of the geometry to an essentially a-causal quantum jump, we neglect during this computation the gravitational back-reaction of the bubble onto the space-time geometry.

The gravitational back-reaction of the bubble will be consider in the next chapter when we study the evolution of the bubble after its materialization.

In our case the shell trajectory follows from the action (see [53, 54])

$$S = \int dy \left\{ 2\pi \epsilon \tilde{a}_0^2 \left[ \chi - \cos(\chi) \sin(\chi) \right] - 4\pi \sigma \tilde{a}_0^3 \sin^2(\chi) \sqrt{1 - \chi^2} \right\}.$$  

(11)
where we have denoted the coordinate radius of the shell as $\chi$, and we have written the static ($a = a_0 = Cte.$) version of the metric Eq.(1) as

$$ds^2 = \bar{a}_0^2 \left( dy^2 - d\chi^2 - \sin^2(\chi) d\Omega^2 \right). \tag{12}$$

with $\frac{\gamma}{a} = \sin(\chi)$, $\bar{a}_0 = Ra_0$, $dt = \bar{a}_0 dy$ and prime means derivatives respect to $y$.

In the action (11), $\varepsilon$ and $\sigma$ denote, respectively, the latent heat and the surface energy density (surface tension) of the shell.

The action (11) describes the classical trajectory of the shell after the tunneling. This trajectory emanates from a classical turning point, where the canonical momentum

$$P = \frac{\partial S}{\partial \chi'} = 4\pi \sigma \bar{a}_0^3 \chi' \frac{\sin^2(\chi)}{\sqrt{1 - \chi'^2}}, \tag{13}$$

vanishes [53]. In order to consider tunneling, we evolve this solution back to the turning point, and then try to shrink the bubble to zero size along a complex $y$ contour, see [53, 55]. For each solution, the semiclassical tunneling rate is determined by the imaginary part of its action, see [53]:

$$\Gamma \approx e^{-2Im[S]} \tag{14}.$$

From the action (11) we found the equation of motion

$$\frac{\sin^2(\chi)}{\sqrt{1 - \chi'^2}} = \frac{\varepsilon \bar{a}_0}{2\sigma} \left[ \chi - \cos(\chi) \sin(\chi) \right]. \tag{15}$$

The action (11) can be put in a useful form by using Eq.(15), and changing variables to $\chi$:

$$S = \int d\chi \frac{4\pi}{3} \varepsilon a_0^4 \sin^2(\chi) \sqrt{\left( \frac{3[\chi - \cos(\chi) \sin(\chi)]}{2\sin^2(\chi)} \right)^2 - \bar{r}_0^2}, \tag{16}$$

where $\bar{r}_0 = \frac{\bar{n}}{\bar{a}}$ and $r_0 = \frac{3\sigma}{\varepsilon a_0}$ is the radius of nucleation of the bubble when the space is flat ($R \to \infty$) and static (i.e. when the space is Minkowsky).

The nucleation radius $\bar{\chi}$ (i.e. the coordinate radius of the bubble at the classical turning point), is a solution to the condition $P = 0$. Then from Eq. (13) we obtain

$$\frac{\bar{\chi} - \cos(\bar{\chi}) \sin(\bar{\chi})}{\sin^2(\bar{\chi})} = \frac{2\sigma}{\varepsilon \bar{a}_0}. \tag{17}$$

The action (11) has an imaginary part coming from the part of the trajectory $0 < \chi < \bar{\chi}$, when the bubble is tunneling:

$$Im[S] = \frac{4\pi}{3} \varepsilon a_0^4 \int_0^{\bar{\chi}} d\chi \sin^2(\chi) \sqrt{\bar{r}_0^2 - \left( \frac{3[\chi - \cos(\chi) \sin(\chi)]}{2\sin^2(\chi)} \right)^2}, \tag{18}$$

Expanding (18) at first nonzero contribution in $\beta = (r_0/R)^2$ we find

$$Im[S] = \frac{27\sigma^4 \pi}{4\varepsilon^3} \left[ 1 - \frac{1}{2} \beta^2 \right]. \tag{19}$$

This result is in agreement with the expansion obtained in [56]. Then, the nucleation rate is

$$\Gamma \approx e^{-2ImS} \approx \exp \left[ -\frac{27\sigma^4 \pi}{2\varepsilon^3} \left( 1 - \frac{9\sigma^2}{2\varepsilon^3 a_0^2 R^2} \right) \right]. \tag{20}$$

We can note that the probability of the bubble nucleation is enhanced by the effect of the curvature of the closed static universe background.
EVOLUTION OF THE BUBBLE

In this section we study the evolution of the bubble after the process of tunneling. During this study we are going to consider the gravitational back-reaction of the bubble. We follow the approach used in [59] where it is assumed that the bubble wall separates space-time into two parts, described by different metrics and containing different kinds of matter. The bubble wall is a timelike, spherically symmetric hypersurface \( \Sigma \), the interior of the bubble is described by a de Sitter space-time and the exterior by the static universe discussed in Sec. . The Israel junction conditions [57] are implement in order to joint these two manifolds along there common boundary \( \Sigma \). The evolution of the bubble wall is determined by implement these conditions. Unit as such that \( 8\pi G = 1 \). The exterior of the bubble is described by the metric Eq. (1) and the equations (2-5), previously discussed in Sec. . At the end, the static solution for these equations will be assumed. The interior of the bubble will be described by the metric of the de Sitter space-time in its open foliation, see [49]

\[
ds^2 = dT^2 - b^2(T) \left( \frac{dz^2}{1 + z^2} + z^2 \, d\Omega_2 \right),
\]

where the scale factor satisfies

\[
\left( \frac{db}{dT} \right)^2 = \left( \frac{V_T}{\gamma} \right) b^2(T) + 1.
\]

These two regions are separated by the bubble wall \( \Sigma \), which will be assumed to be a thin-shell and spherically symmetric. Then, the intrinsic metric on the shell is [58]

\[
ds^2|_{\Sigma} = d\tau^2 - B^2(\tau) \, d\Omega_2,
\]

where \( \tau \) is the shell proper time.

Now we proceed to impose the Israel matching conditions [57] in order to joint the manifolds along there common boundary \( \Sigma \). The first of Israel’s conditions impose that the metric induced on the shell from the bulk 4-metrics on either side should match, and be equal to the 3-metric on the shell. Then by looking from the outside to the bubble-shell we can parameterize the coordinates \( r = x(\tau) \) and \( t = t(\tau) \), obtaining the following match conditions, see [59]

\[
a(t) x = B(\tau), \quad \left( \frac{dt}{d\tau} \right)^2 = 1 + \frac{a(t)^2}{1 - \left( \frac{\gamma}{\bar{R}} \right)^2} \left( \frac{dx}{d\tau} \right)^2,
\]

where all the variables in these equations are thought as functions of \( \tau \). On the other hand, the angular coordinates of metrics (1) and (23) can be just identified in virtue of the spherical symmetry.

The second junction condition could be written as follow

\[
[K_{ab}] - h_{ab}[K] = S_{ab},
\]

where \( K_{ab} \) is the extrinsic curvature of the surface \( \Sigma \) and square brackets stand for discontinuities across the shell. Following [59], we assume that the surface energy-momentum tensor \( S_{ab} \) has a perfect fluid form given by \( S_\epsilon^\tau \equiv \sigma \) and \( S_\theta^\theta = S_\phi^\phi \equiv -\bar{P} \), where \( \bar{P} = (\bar{\gamma} - 1) \sigma \).

In the outside coordinates we parameterize \( x(t) \) as the curve for the bubble evolution (the bubble radius in these coordinates). Since \( x \) and \( t \) are dependent variables on the shell, this is legitimate.

Then, from the Israel conditions we can obtain the following equation for the evolution of \( x(t) \) and \( \sigma(t) \), see [1]

\[
\frac{dx}{dt} = \pm \sqrt{\frac{(R^2 - x^2) (a_0^2 C^2 x^2 - 1)}{x^2 a_0^2 (a_0^2 C^2 R^2 - 1)}},
\]

\[
\frac{d\sigma}{dt} = -2 \left( \frac{\bar{\gamma}}{x} \right) \frac{dx}{dt} + \frac{a_0 \gamma \rho_0}{\sqrt{\left( \frac{\bar{\gamma}}{x} \right)^2 a_0^2 + 1 - \frac{2}{\bar{\gamma}}} \, dx.
\]

Where

\[
C^2 = \frac{V_T}{3} + \left( \frac{1}{\sigma} \right) \left[ \frac{V_T - V_T}{3} + \frac{A}{3 a^3 \bar{\gamma}} \right]^2.
\]
The positive energy condition $\sigma > 0$ together with Israel conditions impose the following restriction to $\sigma$

$$0 < \sigma \leq 2 \sqrt{\frac{V_F - V_T}{3}} + \frac{\rho_0}{3}.$$  \hspace{1cm} (29)

Also, from the definition of $x$ and Eq.(26) we obtain the following restriction for $x$

$$\frac{1}{a_0C} \leq x \leq R.$$  \hspace{1cm} (30)

We solved the Eqs. (26, 27) numerically by consider different kind and combinations of the matter content of the background and the bubble wall. From these solutions we found that once the bubble has materialized in the background of an ES universe, it grows filling completely the background space.

In order to find the numerical solutions we chose the following values for the free parameters of the model, in units where $8\pi G = 1$:

$$a_0 = 1,$$  \hspace{1cm} (31)

$$V_T = 0.1V_F,$$  \hspace{1cm} (32)

$$\sigma_{init} = 10^{-6}.$$  \hspace{1cm} (33)

The other parameters are fixed by the conditions discussed in section two.

Some of the numerical solutions are shown in Figs. (4,5, 6) where the evolution of the bubble, as seen by the outside observer, is illustrated. In these numerical solutions we have considered three different curvature radius ($R = 1000,$
FIGURE 6. Time evolution of the bubble in the outside coordinates $x(t)$, and time evolution of the surface energy density $σ(t)$, for a background with $R = 500$. Dashed line corresponds to a static universe dominated by dust and bubble wall containing radiation. Continuous line corresponds to a static universe dominated by radiation and a bubble wall containing dust.

$R = 500, R = 100$) and various matter contents combinations for the background and the bubble wall. From these examples we can note that the bubble of the new face grows to fill the background space, where the shell coordinate asymptotically tends to the curvature radius $R$.

CONCLUSIONS

In this work we explore an alternative scheme for an Emergent Universe scenario developed in [1], where the universe is initially in a truly static state. This state is supported by a scalar field which is located in a false vacuum. The universe begins to evolve when, by quantum tunneling, the scalar field decays into a state of true vacuum.

In particular, we study the process of tunneling of a scalar field from the false vacuum to the true vacuum and the consequent creation and evolution of a bubble of true vacuum in the background of Einstein static universe. The motivation in doing this is because we are interested in the study of new ways of leaving the static period and begin the inflationary regime in the context of Emergent Universe models.

In the first part, we study a Einstein static universe dominated by two fluids, one is a standard perfect fluid and the other is a scalar field located in a false vacuum. The requisites for obtain a static universe under these conditions are discussed. In the second part, we study the tunneling process of the scalar field from the false vacuum to the true vacuum and the consequent creation of a bubble of true vacuum in the background of Einstein static universe. Following the formalism presented in [53] we found the semiclassical tunneling rate for the nucleation of the bubble in this curved space. We conclude that the probability for the bubble nucleation is enhanced by the effect of the curvature of the closed static universe background. In the third part of the paper, we study the evolution of the bubble after its materialization. By following the formalism developed by Israel [57] we found that once the bubble has materialized in the background of an ES universe, it grows filling completely the background space. In particular, we use the approach of [59] to find the equations which govern the evolution of the bubble in the background of the ES universe. These equations are solved numerically, some of these solutions, concerning several type of matter combinations for the background and the bubble wall, are shown in Figs. (4, 5, 6).

In resume we have found that this new mechanism for an Emergent Universe is plausible and could be an interesting alternative to the realization of the Emergent Universe scenario.

We have postpone for future work the study of this mechanism applied to Emergent Universe based on alternative theories to General Relativity, like Jordan-Brans-Dicke [60], which present stable past eternal static regime [21, 22]. It is interesting explore this possibility because Emergent Universe models based on GR suffer from instabilities, associated with the instability of the Einstein static universe [20]. This instability is possible to cure by going away from GR, for example, by consider a Jordan Brans Dicke theory, see [21, 22]. Another possibility is considering non-perturbative quantum corrections of the Einstein field equations, either coming from a semiclassical state in the framework of loop quantum gravity [14, 18] or braneworld cosmology with a timelike extra dimension [19, 17]. In addition to this, consideration of the Starobinsky model, exotic matter [15, 16] or the so-called two measures field theories [23, 24, 25, 26] also can provide a stable initial state for the emergent universe scenario.
In the context of GR the instability of the ES could be overcome by consider a static universe filled with a non-interacting mixture of isotropic radiation and a ghost scalar field [61] or by consider a negative cosmological constant with a universe dominated by a exotic fluid satisfies \( P = (\gamma - 1)\rho \) with \( 0 < \gamma < 2/3 \), see [62].

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