Research Article

Interactive Compliance Control of a Wrist Rehabilitation Device (WReD) with Enhanced Training Safety

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Interaction control plays an important role in rehabilitation devices to ensure training safety and efficacy. Compliance adaptation of interaction is vital for enabling robot movements to better suit the patient’s requirements as human joint characteristics vary. This paper proposes an interactive compliance control scheme on a wrist rehabilitation device (WReD) for enhanced training safety and efficacy. This control system consists of a low-level trajectory tracking loop and a high-level admittance loop. Experiments were conducted with zero load and human interaction, respectively. Satisfactory trajectory tracking responses were obtained, with the normalized root mean square deviation (NRMSD) values being 1.08% with zero load and the NRMSD values no greater than 1.4% with real-time disturbance and interaction from human users. Results demonstrate that such an interactive compliance control method can adaptively adjust the range of training motions and encourage active engagement from human users simultaneously. These findings suggest that the proposed control method of the WReD has great potentials for clinical applications due to enhanced training safety and efficacy. Future work will focus on evaluating its efficacy on a large sample of participants.

1. Introduction

According to a report from American Heart Association, 33 million stroke cases happened worldwide in 2010, with 16.9 million people having a first stroke [1]. In the United States, more than 700,000 people suffer a stroke each year, and approximately two-thirds of these individuals survive and require rehabilitation [2]. In New Zealand (NZ), there is an estimated 60,000 stroke survivors, and many of them have mobility impairments [3]. Stroke is the third reason for health loss and takes the proportion of 3.9 percent, especially for the group starting on middle age, suffering the stroke as a nonfatal disease in NZ [4]. Stroke is also a serious disease in Europe: 200 to 300 of 100,000 people in Europe suffer from a stroke as new sufferers, and approximately 30% survive with motor deficits [4]. In China, approximately 1.6 million people die of stroke annually, in a total population of 1.4 billion people [5]. In general, stroke has a great adverse impact for many people worldwide, regardless of ethnic groups and regions.

Professor Caplan who studies Neurology at Harvard Medical School describes stroke as a term which is a kind of brain impairment as a result of abnormal blood supply in a portion of the brain [5]. The brain injury is most likely leading to dysfunctions and disabilities. These survivors normally have difficulties in activities of daily living, such as walking, speaking, and understanding, and paralysis or numbness of the human limbs. The goals of rehabilitation...
are to help survivors become as independent as possible and to attain the best possible quality of life.

Physical therapy is conventionally delivered by the therapist and requires a one-to-one physical therapist to do manual interactions with patients. While this has been demonstrated as an effective way for motor rehabilitation [6], it is time consuming and costly. Treatments manually provided by therapists require to take place in a specific environment (in a hospital or rehabilitation center) and may last several months for enhanced rehabilitation efficacy [7].

A study by Kleim et al. [8] has shown that physical therapy like regular exercises can improve plasticity of a nervous system and then benefits motor enrichment procedures in promoting rehabilitation of brain functional models. It is a truth that physical therapy should be a preferable way to take patients into regular exercises and guided by a physical therapist, but Chang et al. [9] showed that it is a money-consuming scheme. While manual physical therapy plays a crucial role in the recovery from a stroke, it is likely to provide a lack of consistency for objective assessment for determining rehabilitative plans [10]. For this reason, the industry has started to seek more solutions through the manufacture of robotic technologies integrated into the recovery process for supporting or substituting manual therapy.

Robot-assisted rehabilitation solutions, as therapeutic adjuncts to facilitate clinical practice, have been actively researched in the past few decades and provide an overdue transformation of the rehabilitation center from labor-intensive operations to technology-assisted operations [11]. Engineers and people from medical fields are making tremendous efforts to make the rehabilitation robots safer and more adaptable for the human body [12, 13]. The robot could also provide a rich stream of data from built-in sensors to facilitate patient diagnosis, customization of the therapy, and maintenance of patient records. As a popular neuro-rehabilitation technique, Liao et al. [14] indicated that robot-assisted therapy presents market potential due to quantification and individuation in the therapy session. The quantification of robot-assisted therapy refers that a robot can provide consistent training pattern without fatigue with the given parameter. The characterization of individuation allows therapists to customize a specific training scheme for an individual.

Upper extremity function is of paramount importance to carryout various activities of daily living [15], in which the human wrist plays a vital role when orienting of an object. For the rehabilitation of the human wrist, a variety of robotic devices have been developed in the past few decades [16]. Some rehabilitation robots have been developed by combining the movement of both arm and wrist. The MIT-MANUS has been clinically demonstrated as an excellent tool for shoulder and elbow rehabilitation on stroke patients [17]. Krebs et al. [18] further developed a wrist rehabilitation robot with three rotational degrees-of-freedom (DOFs). This wrist device can be operated stand-alone or mounted at the tip of the MIT-MANUS. Faghihi et al. [19] constructed a three DOFs wrist robot by using a similar structure as the work by Krebs et al. [18]. They only introduced the design and fabrication of the wrist robot without the introduction of a control system. Toth et al. [20] clinically validated the safety and efficacy of the REHAROB in helping spastic hemiparetic patients with passive physiotherapy. This robot was built from two industrial robots, which is not cost effective. Oblak et al. [15] developed a universal haptic device that enables rehabilitation of either arm or wrist movement depending on locking or unlocking of a passive universal joint. Some portable devices were developed with the focus on rehabilitation of the wrist joint. Colombo et al. [21] proposed a single-DOF rehabilitation robot for flexion and extension of the wrist joint. This device is actuated by a direct current motor with the integration of a torque transducer and a potentiometer in providing feedback signals, which allows the implementation of advanced interactive training strategies. Khor et al. [22] developed a single-DOF device that can enable wrist and forearm training in different configurations. This device has not been controlled with advanced interaction modes, while it has advantages of cost effectiveness and portability.

This paper proposes an interactive compliance control scheme on a wrist rehabilitation device (WReD) for enhanced training safety and efficacy. This control system consists of a low-level trajectory tracking loop and a high-level admittance loop. Experiments were conducted with zero load and human interaction, respectively, to evaluate the dynamic performance of the control system. This paper is organized as follows. Following the Introduction, a description of the WReD development is given, as well as its trajectory tracking control system with/without compliance adaptation. The control stability is also analyzed and presented. The section of Experimental Results is introduced next, with the Discussion and Conclusion at last.

2. Methods

2.1. Wrist Rehabilitation Device (WReD). The wrist joint anatomically possesses two DOFs: flexion and extension and abduction (radial deviation) and adduction (ulnar deviation) [23]. The WReD presented in this study functions only for wrist flexion and extension rehabilitation training. While this device can be reconfigured into wrist training of radial/ulnar deviation, this does not affect the study design in evaluating the proposed control scheme.

The prototype of WReD has been built and reported in our previous work [24], as in Figure 1, where Figure 1(a) is the three-dimensional (3D) model of the device created in SolidWorks, Figure 1(b) shows its use on a healthy subject, and Figure 1(c) is the control box. The WReD mainly consists of three components (the base module, the actuation module, and the arm-hand module). The base module acts as a foundation to support the actuation and the arm-hand module. It consists of the bottom base and three vertical support bars. The motor and two-stage reduction gear box comprise the actuation module. The arm-hand module consists of the arm holder, the handle holder, and the handle. The handle can be designed to be
subject-specific based on the requirement. The mechanical limit part is set to avoid excessive rotation of the handle for safety reasons. Some bearings are also set to allow low friction motion transmission between the rotational axis and the base module. Before training, the human users will be instructed to make appropriate adjustments for their hands, including grabbing the handle though the handle holder, placing forearms on the arm holder, and fixing forearms with Velcro straps.

The electrical components of the WReD consist of a DC motor (EC 45, Maxon), a motor driver (ESCON 50/5, Maxon), a static torque sensor (JNNT 25°Nm, Zhongwan), a magnetic rotary encoder (AS5048A, AMS), and an embedded controller (National Instruments myRIO-1900). The ESCON driver, myRIO controller, and the transmitter of the torque sensor are set in the control box as shown in Figure 1(c). The motor EC 45 nominally outputs 83.4°Nm, through the gear box with reduction ratio 1 : 300, and there is an estimated torque output of 25.02°Nm. With the consideration of the transmission efficiency being 0.7614, the WReD can have a torque output of 19.05°Nm at the end effector (also the handle). The torque sensor is installed between the output shaft of the actuation module (through the coupling) and the handle holder, for measuring the torque of human and robot interaction. A magnetic rotary sensor is installed on the shaft of the handle holder for measuring the angular position of the human hand in real time. An emergency stop is also set to ensure training safety. Predefined data and those from the electrical components of the WReD communicate with a computer through the embedded controller (myRIO-1900).

2.2. Control System. The trajectory tracking control of the WReD is the basis of a variety of robot-assisted rehabilitation exercises. It can be directly used for passive training on patients with weak active motor ability. Tracking desired trajectories is not only a simple but also an effective method for rehabilitation applications [25]. Introducing compliance to trajectory tracking control can lead to enhanced training comfort and safety and also allows active engagement for better rehabilitation efficacy [26–28]. Compliance control is an important element during the interaction between patients and robots, since the interaction torque needs to be in a safe range. Meanwhile the position of the robot should also be controlled in the same way to minimize the follow error during the trajectory tracking [29]. Impedance control describes the dynamic relation between position and torque well and do not require accurate knowledge of the external environment when compared to the other methods, such as hybrid force and position control [17, 29]. Therefore, this technique has been broadly implemented in rehabilitation robots.

Due to the large variability in human wrist characteristics and lack of accurate information of the environment, this paper proposes a two-level control system for trajectory tracking control of the WReD with compliance adaption, as in Figure 2. In the low level, a closed loop system is used to
achieve trajectory tracking by comparing desired trajectory from the high-level controller and actual trajectory measured from the magnetic rotary sensor. To allow trajectory generation with compliance adaptation, an admittance law is adopted in the high level. In general, the high-level controller dynamically adjusts the desired trajectory based on the feedback of the measured interaction torque and sends the angular position command to the low-level controller as an input signal.

In the high level, a reference trajectory can be defined by a physiotherapist or based on pilot tests, denoted as \( \theta_\text{r} (t) \). The admittance control law is proposed as in equation (1), where \( \theta_\text{s}(t) \) and \( \theta_\text{d}(t) \) represent the reference trajectory and the calculated desired trajectory, respectively, and \( T_\text{i}(t) \) is the patient-robot interaction torque, \( B \) and \( K \) respectively represent the damping and stiffness coefficients, and \( M \) is the inertia tensor. In this study, parameter \( M \) and \( B \) are assumed to be negligible due to low-velocity movement environment and low friction of the bearings. Thus, equation (2) can be derived. With the knowledge of the inertial property of the WRd, the desired trajectory position \( \theta_\text{s}(t) \) can be derived. In this study, further in the middle level, the measured trajectory position \( \theta_\text{m}(t) \) is compared with \( \theta_\text{d}(t) \) for error in equation (3).

Lastly, the error \( e(t) \) is input to the PID controller, and the required speed of the motor \( v(t) \) can be calculated according to equation (4) with well-tuned \( K_p, K_i, \) and \( K_d \). The parameter \( K \) in equations (1) and (2) is an indication of the device compliance. Specifically, the training with this controller can be considered to be completely passive when \( K \) is infinite, leading to \( \theta_\text{d}(t) \) approximately equal to \( \theta_\text{i}(t) \). With the variable \( K \) decreasing, the participant gets to be able to change the compliance of the WRd with real-time human-robot interaction. The less the \( K \) is, the more compliance the device has, thus the participant can affect the predefined trajectory more easily.

\[
T_\text{i}(t) = M \left( \theta_\text{d}(t) - \theta_\text{i}(t) \right) + B \left( \theta_\text{d}(t) - \theta_\text{i}(t) \right) + K \left( \theta_\text{d}(t) - \theta_\text{i}(t) \right), \\
\theta_\text{d}(t) = \theta_\text{i}(t) + \frac{T_\text{i}(t)}{K}, \\
e(t) = \theta_\text{d}(t) - \theta_\text{m}(t), \\
v(t) = K_p e(t) + K_i \int_0^t e(t) dt + K_d \frac{de(t)}{dt}.
\]

2.3. Stability Analysis. To ensure the safety of the proposed compliance control strategy, it is essential to conduct stability analysis. Equation (1) can be transformed to equation (5), where \( \theta_\text{s}(t) = \theta_\text{i}(t) - \theta_\text{d}(t) \), \( \theta_\text{i}(t) \) is the position disturbance when the human wrist interacts with the WRd. With the initial condition \( \theta_\text{i}(0) = 0, \dot{\theta}_\text{i} = 0 \), the transfer function (6) of the proposed compliance controller can be obtained through Laplace transformation. Thus equation (6) can be written as equation (7) to describe the relationship between the output and the input.

\[
\begin{align*}
T_\text{i}(t) &= -\left[M \theta_\text{s}(t) + B \theta_\text{i}(t) + K \dot{\theta}_\text{i}(t)\right], \\
T_\text{i}(s) &= -\left[M s^2 + B s + K\right] X(s), \\
G(s) &= \frac{X(s)}{T_\text{i}(s)} = -\frac{1}{M s^2 + B s + K}.
\end{align*}
\]

The complex eigenvalue analysis method is a useful tool for analyzing the stability of control systems, which requires the calculation of the system’s complex eigenvalues. The corresponding characteristic equation of equation (7) can be written as follows:

\[
M s^2 + B s + K = 0.
\]

An eigenvalue of equation (8) is in complex form of \( \alpha + j\omega \), where \( \alpha \) is the real part of \( s \), which indicates the stability of the system and \( \omega \) is the imaginary part of \( s \), which indicates the modal frequency [30]. The eigenvalues of equation (8) can be obtained as follows:

\[
s = -\frac{B \pm \sqrt{B^2 - 4MK}}{2M}.\]

With \( B > 0 \) and \( K > 0 \), it is obviously seen that the system is stable with both eigenvalues in the left-half of the complex plane. The stability level depends on the real parts of eigenvalues. Therefore, the controller parameter design is critical to achieve a stable control system.

To visualize the stability status, the Bode diagram method is adopted. To conduct stability analysis with different compliance levels, the variable \( K \) was set with different values. Pretests were conducted, and it was found that when the robot compliance has significant changes when \( K \) is 0.8 or 1.6. A sequence of pretests was also carried out with the different values of \( M \) and \( B \). From the Bode diagrams, the phase margin plots can be obviously presented when \( M = 0.15 \) and \( B = 0.2 \). By using this method, the controller parameters are assumed with two groups according to the compliance difference:
Controller are represented in Figures 3(a) and 3(b). In the case of the parameter $K$, capable of changing the compliance of the WR, four experiments were conducted to check whether the proposed method is capable of changing the compliance on/off. By the same control method, Experiment 2 was conducted with the same participant. The parameter $D$, Experiments 3 and 4 were conducted with the same participant. The parameter $K$ in equation (2) represents the stiffness coefficient of the WR. Experiment 3 has the value of 0.8, and trajectory tracking error ranges from $-2.998°$ to $3.074°$, the root mean square deviation (RMSD) being 1.5682°, and the normalized root mean square deviation (NRMSD) being 1.08%. By comparing with the interaction torque presented in Figure 4 and Table 1, there is more obvious torque variation during each cycle of training, with the MATV value being 1.825°Nm. This is caused by the resistance when the wrist is at limited joint position. Experiments 1 and 2 both show satisfactory trajectory tracking performance, with the NRMSD value being 1.08%.

To evaluate the proposed control method, especially the high-level trajectory adaptation method to adjust the compliance of the WR, Experiments 3 and 4 were conducted with the same participant. The parameter $K$ in equation (2) represents the stiffness coefficient of the WR. Experiment 3 has the $K$ value of 0.8, and trajectory tracking response is presented in Figure 6. In the top plot, the dark line is the desired trajectory after adaptation from the reference sine wave trajectory (plotted in green), the dotted red line is the measured trajectory, and the blue line is the error of the trajectory tracking. As summarized in Table 1, the trajectory tracking error ranges from $-12.784°$ to $7.998°$, the RMSD value is 2.0879°, and the NRMSD value is 1.12%. By comparing with the interaction torque presented in Figure 4 and Table 1, there is more obvious torque variation during each cycle of training, with the MATV value being 5.211°Nm. This is caused by the resistance when the wrist is at limited joint position. Experiments 1 and 2 both show satisfactory trajectory tracking performance, with the NRMSD value being 1.08%.

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To validate the trajectory tracking responses and whether the proposed controller is capable of changing the compliance of the WR, four experiments were conducted with each for 10 cycles. Experiment 1 was conducted with zero load using only trajectory tracking control (without trajectory adaptation). By the same control method, Experiment 2 was conducted with the hand of the participant on. Experiments 3 and 4 were conducted to check whether the proposed method is capable of changing the compliance of the WR, with the parameter $K$ being 0.8 and 1.6, respectively. During these experiments, the healthy subject was verbally encouraged to relax his wrist during passive training.

3. Experimental Results

The trajectory tracking response of Experiment 1 is presented in Figure 4 by using MATLAB R2016a, where the dark line sine wave is the desired trajectory, the dotted red line is the measured trajectory, the blue line is the error as in equation (3), and the dark line in the bottom plot is the measured torque from the torque sensor. Without external load, the desired trajectory compares well with the desired trajectory. To allow quantitative analysis of the trajectory tracking performance under this control method, the statistical results are given in Table 1, with the error ranging from $-2.998°$ to $3.074°$, the root mean square deviation (RMSD) being 1.5682°, and the normalized root mean square deviation (NRMSD) being 1.08%. Figure 4 also shows only a slight variation of the interaction torque throughout the training. This is due to the lack of human-robot interaction, and the small variation is caused by friction between the arm-hand module and the actuation module. As statistical results in Table 1, the mean of absolute torque value (MATV) is only 0.152Nm.

Figure 5 presents the trajectory tracking response of Experiment 2, using the same control method as that in Experiment 1. During the whole process, the participant was encouraged to relax and did not apply intentional active force on the handle. To allow quantitative comparison with Experiment 1, statistical results are also given in Table 1. The trajectory tracking error ranges from $-3.054°$ to $3.110°$, the RMSD value is 1.5767°, and the NRMSD value is 1.08%. By comparing with the interaction torque presented in Figure 4 and Table 1, there is more obvious torque variation during each cycle of training, with the MATV value being 1.825°Nm. This is caused by the resistance when the wrist is at limited joint position. Experiments 1 and 2 both show satisfactory trajectory tracking performance, with the NRMSD value being 1.08%.

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Figure 3: The Bode diagrams of the proposed compliance controller with two different configurations: (a) \( M = 0.15, B = 0.2, \) and \( K = 0.8; \) (b) \( M = 0.15, B = 0.2, \) and \( K = 1.6. \)

Figure 4: The trajectory tracking responses of Experiment 1 (zero load).

Table 1: Experimental results of the tracking responses of all experiments.

|                  | MinError (°) | MaxError (°) | RMSD (°) | NRMSD (%) | MATV (Nm) | NRMSD* (%) |
|------------------|--------------|--------------|----------|-----------|-----------|------------|
| Zero load        |              |              |          |           |           |            |
| Experiment 1     | –2.998       | 3.074        | 1.5682   | 1.08      | 0.152     | NA         |
| Passive training | –3.054       | 3.110        | 1.5767   | 1.08      | 1.825     | NA         |
| Interaction      |              |              |          |           |           |            |
| training \( K = 0.8 \) | –12.784      | 7.998        | 2.0879   | 1.4       | 3.669     | 4.13       |
| \( K = 1.6 \)    | –6.081       | 5.211        | 1.6211   | 1.12      | 4.446     | 2.24       |

Note. MinError is the maximum tracking error in negative direction, which is numerically minimum; MaxError is the maximum tracking error in positive direction, which is numerically maximum. RMSD: root mean square deviation; NRMSD: normalized root mean square deviation; MATV: mean of absolute torque value; NRMSD* represents the NRMSD value of the adapted desired trajectory \( \theta_d (t) \) with respect to the reference trajectory \( \theta_r (t) \); NA: not applicable.
Furthermore, to analyze the experimental results presented in Figures 6 and 7 and Table 1, while Experiment 3 has a smaller interaction torque with the MATV of 3.669°Nm, it presents a larger NRMSD\textsuperscript{*} value (4.13\%) of the trajectory adaptation. This demonstrates that the control method with $K$ value being 0.8 has more compliance with respect to Experiment 4 ($K = 1.6$). As the stiffness coefficient of the WReD increases, the training can be considered to approach passive mode, similar to Experiment 2. In terms of the trajectory tracking accuracy of Experiments 3 and 4, the latter has a better tracking performance than the former, with NRMSD values being 1.12\% and 1.4\%, respectively. This finding is reasonable since the WReD system with more compliance is more susceptible to external disturbance, which is also reflected in Table 1 with the MinError of Experiment 3 up to 12.784°. The data for Experiment 1, 2, 3, and 4 are included within the supplementary information files to support the findings of this study.

4. Discussion and Conclusion

This paper presents the development of the WReD and a compliance control method for comfortable and safe human-robot interaction. By contrast with existing wrist
rehabilitation robots [15, 18, 22], the WRd can be further improved for clinical applications. Some measures should be taken to address the issue of misalignment between the device and the human wrist and to comfortably fix human arms preventing relative movements. This may have affected the measurement of the position and interaction torque of human wrists. Second, to achieve better control performance, the inertial property of the WRd should be considered in equation (1), as well as the inertial property of human hands. Third, this study has only one participant for such a preliminary test; more subjects with hand disabilities should be encouraged and recruited in future tests.

Future work will focus on the improvement of the WRd in terms of its functionality and clinical evaluation. Mechanically, we will consider designing different handles for use on different patients, addressing the misalignment issue, also adding another actuation module for multiple dimension training. For applications, this device can be used for not only rehabilitation training, but also assessment purpose in measuring wrist stiffness and ranges of motion. Its efficacy for assessment will be investigated next. Directly following this study, more research is needed to improve the robustness of the proposed interactive compliance control scheme to external disturbances and involuntary human-robot interaction. Future work will also consider proposing a high-level algorithm to enable automatic $K$ value tuning.

To summarize, this paper proposes an interactive compliance control scheme on the WRd for enhanced training safety and efficacy. This control system consists of a low-level trajectory tracking loop and a high-level admittance loop. Experiments were conducted with zero load and human interaction, respectively. Satisfactory trajectory tracking responses were obtained, with the NRMSD values being 1.08% with zero load and the NRMSD values no greater than 1.4% with real-time disturbance and interaction from the human user. The interactive compliance can be adjusted in subject specific by setting different stiffness coefficients of the control system. Results demonstrate that such an interactive compliance control method can adaptively adjust the range of training motions and encourage human users’ active engagement. These findings suggest that the proposed control method of the WRd has great potentials for clinical applications due to enhanced training safety and efficacy.

Data Availability

The data for Experiment 1, 2, 3, and 4 are included within the supplementary information files to support the findings of this study. Also, we share the original data as the supplementary information files.

Conflicts of Interest

The authors declare that they have no conflicts of interest.

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Supplementary Materials

The original data of Experiment 1 to Experiment 4 are included. The data file of each experiment contains four columns named Time (second), Desired Angle (degree), Interaction Torque (Nm), and Measured Angle (degree). Figures 4–7 were derived from the original data of Experiment 1 to Experiment 4 by using MATLAB. Below are the descriptions of the four experiments: Experiment 1: the trajectory tracking responses with zero load. Experiment 2: the trajectory tracking responses with passive training. Experiment 3: the trajectory tracking responses with $K = 0.8$. Experiment 4: the trajectory tracking responses with $K = 1.6$.

(Supplementary Materials)

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