Simulation and Design of Three-Level Cascaded Inverter Based on Soft Computing Method

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Abstract: This paper presents the development and performance testing of the three-level inverter used in distributed power generation systems or Hybrid / Electric /Fuel Cell Vehicles, employing the Space Vector Pulse Width Modulation Technique (SVPWM). A separate isolated DC voltage source for the H-bridge inverter structure can be considered as Fuel Cells (FCs), batteries, ultra-capacitors, photovoltaic (PV) panels or another alternative energy sources. The three-level SVPWM has been briefly presented with switching sequences and states using the proposed algorithm. Artificial Neural Network (ANN) structure is applied to the system for detecting the sector in the space vector hexagon and then calculating the region in the sector sections. In this paper, a three-level cascaded inverter with passive load and active load using SVPWM technique has been modeled, simulated and performed for various operation conditions. This modulation strategy provides to get sinusoidal waveforms with less switching losses and with very low harmonic distortion at the output. Simulation and experimental waveforms of the three-level SVPWM inverter feeding R-L, (passive) and induction motor (active) loads are presented and analyzed for various operating conditions to verify the model and proposed algorithm.

Keywords: artificial neural network; inverters; pulse width modulation; voltage control

1 INTRODUCTION

All researches show that people are addicted to the electricity and its components for almost all devices. However, in recent years, air pollution, global warming, forest destruction, limited fossil fuel sources and growing energy needs have drawn attention to clean and sustainable energy sources. Sun, wind, biomass, hydropower, hydrogen, etc. are some examples of such renewable energy sources [1, 2]. The mechanical energy of water or wind can be converted into electricity, or biological wastes can be burned to produce heat. Solar energy is widespread and completely free of cost and it can generate electricity directly without environmental pollution, when exposed to solar radiation [3-5]. The solar energy can be converted into electrical energy by using silicon cells. Fuel Cells (FCs), which chemical energy converts into electrical energy, are basically called electrochemical device. Water and heat are the only co-products of the system [4]. Also FCs do not contain any moving parts, they have low losses and noise [6].

In the last decade, the increasing usage of the renewable energy sources (solar energy, fuel cell, wind energy, geothermal energy and etc.) brings some requirements such as power quality management or improving power supply reliability [7-9]. In addition, the improvements in power electronics and semiconductor technology have caused various changes and developments in power electronic systems such as multilevel (ML) based voltage-fed inverter circuits [10]. In the conventional two-level inverter configurations, the switching losses limit the switching frequency for high power and voltage applications. However, this condition can be solved by increasing level numbers at the output voltage waveform of the inverter [11]. Minimum level number in multilevel inverters is detected as three. The three-level inverter generates five levels at line-to-line output voltages and it has fewer harmonic components than those of two-level inverter for the same switching frequency. Multilevel inverters have been mainly recommended for high- and medium-level power applications [12]. Multilevel inverters can be adopted in various applications in industry such as renewable energy systems or variable speed drive systems, etc. [13] because they can control the amplitude of the output voltage as well as frequency [14]. Multilevel inverters have many advantages compared to two level conventional inverters:
- ML inverters can generate voltage waveforms with high efficiency and low distortion at low switching frequencies,
- Large amount of voltages is easily shared between the series connected semiconductor devices [14, 15],
- The small common-mode (CM) voltages help to reduce stresses on the switch.
- Total Harmonic Distortion (THD) is reduced by increasing the level number, and when it reaches infinite, THD becomes zero [16].

Although many different multilevel inverter topologies have been proposed in literature, there are three well known topologies. These are; Cascaded H-Bridge ML Inverter [12], [17-19], Diode Clamped (Neutral Point Clamped NPC) ML Inverter [12], [20, 21] and Flying-Capacitor (FC) ML Inverter [12], [22, 23]. Each topology has its own advantages and disadvantages. The first approach to multilevel inverter is started with Cascaded H-Bridge ML Inverter. It is used to produce a staircase AC output by connecting separately DC source H-Bridges in series. However, it was not widely used until 1990s. In this study, a cascaded three-level inverter was taken into account, because the voltage sharing in the devices can be obtained by independent DC supplies and these DC voltage sources can be obtained from the renewable energy sources such as FCs, photovoltaic panels and etc. [24]. Since H-Bridge circuit structure is modular, this introduces an important advantage from the point of manufacturing and maintaining. In addition to these advantages, very high voltage inverters can be designed by using a number of H-bridge inverters. Also this structure needs less electronic devices (clamped diodes and clamped capacitors) comparing to Neutral Point Clamped and Flying-Capacitor ML Inverters [25].

Pulse width modulation (PWM) techniques are used to obtain variable voltage and frequency supply and they are largely used in industrial and residential applications.
Generation process of the PWM in ML inverters is more difficult than that in conventional two-level inverters. In the literature, many PWM techniques such as; Sinusoidal PWM (SPWM), third harmonic injection PWM (THIPWM), selective harmonic elimination PWM (SHEPWM), delta sigma PWM, Space Vector PWM, etc. have been studied for the purpose of control of the multilevel inverter systems. Among these, the Space Vector Pulse Width Modulation (SVPWM) technique is the most popular one [26-28]. The SVPWM technique is commonly used in three-level inverters as well as two-level inverter systems. The SVPWM algorithm has higher magnitude and better harmonic spectrum of the output voltage compared to SPWM. However, switches and their switching sequences are increased with the number of levels. Therefore, it is not easy to calculate the vector position and switching times for SVPWM ML inverter especially with high level numbers [27]. Due to the complex computation of the three-level SVPWM algorithm, it is preferable to implement it on software-based Digital Signal Processors (DSPs) or other microprocessors.

Recently, Artificial Neural Network (ANN) has gained attention for complex applications and many ANN architectures have been developed. When the literature is examined, it can be seen that ANNs are used to solve many problems in different fields such as engineering, business and etc. [28-32]. Also ANNs are used for modeling, predicting, classification, control of complex systems, etc. In this study, vector position calculation has been realized by using a multi layer feed-forward neural network ANN. The network consists of three layers: input layer, hidden layer and output layer. Also the number of neurons in hidden layer will be determined by trial and error. Additionally, the proposed algorithm ensures that three hidden layer will be determined by trial and error.

Organization of the paper is as follow: Three phase three level cascaded inverter is reviewed in Section II. ANN based three level SVPWM technique is proposed in Section III. Simulation results are given in Section IV and experimental results are given in Section V, too.

2 THE THREE LEVEL CASCADED H-BRIDGE INVERTER

Fig. 1 shows the power circuit of three-phase three-level inverter feeding a load which can be inductive or passive load. The input supply of each H-bridge inverter can be provided by FCs, batteries, ultra capacitors, photovoltaic panels (PV) [29] or etc. In this paper, the presented topology employs a single isolated DC source for each phase to generate three level output. The input voltage source and H-bridge have been modelled by using MATLAB/SimPowerSystem. The output voltage of the renewable energy source can be applied to an electrical machine through suitable DC/DC or DC/AC converters or directly DC/AC converter depending on the economical arrangement and power needs in the applications. However, in most cases, the output voltage of renewable energy sources is needed to be regulated. For this reason, an additional DC/DC converter (boost, buck or buck-boost converter) is used between renewable energy source and DC/AC inverter. In this work, DC source is taken as a constant value.

![Figure 1 The model of three-phase three-level cascaded H-bridge inverter](image)

In each H-bridge inverter, various switching combinations of the switches used generate three-phase output voltage waveforms. $V_{DC}$, $-V_{DC}$ and 0 V voltages are seen in the output phase voltage waveforms for three level inverters. If the switching state is ‘1’ in one of the H-bridges (for instance the bridge supplying the output phase, $U_1$), it is indicated that $S_{u1}$ and $S_{u4}$ switches are on and therefore the inverter terminal voltage $V_U$ with respect to the neutral point $N$ will be $+V_{DC}$, whereas ‘−1’ denotes that the switches, $S_{u2}$ and $S_{u3}$ are both on. In this case, $V_U$ will be $-V_{DC}$. If the switching state is ‘0’, in this case the upper two switches, $S_{u1}$ and $S_{u2}$ or lower two switches, $S_{u3}$ and $S_{u4}$ depending on the direction of the load current, $I_a$ will be on and therefore the output voltage will be zero [11].

In SVPWM technique, the position of vector $V^*$ is specified using the phase angle of the three-phase system. For any kind of inverter; $V^*$ and the phase angle, $\theta$ can be calculated as;

$$V_U = V_m \sin(\omega t)$$

$$V_V = V_m \sin\left(\omega t - \frac{2\pi}{3}\right)$$

$$V_W = V_m \sin\left(\omega t + \frac{2\pi}{3}\right)$$

$$V^* = V_{ref} + j \cdot V_q \left\{ \frac{V_U + V_V e^{j\frac{2\pi}{3}} + V_W e^{-j\frac{2\pi}{3}}}{3} \right\}$$

$$\theta = \tan^{-1}\left(\frac{V_q}{V_d}\right)$$

3 CONVENTIONAL SECTOR AND REGION DETERMINATION

All three phase n-level inverters consist of six sectors and each sector is divided into $(n-1)^2$ triangles [30]. The switching states are calculated as $n^2$. As shown in Fig. 2, a three-level inverter is defined by 3$^3$ switching states (28). According to this knowledge, the three-level inverter has six sectors named as Sector A, Sector B, Sector C, Sector D, Sector E and Sector F in the space vector diagram, it is indicated in Fig. 2. Also each sector consists of four regions (1, 2, 3, 4). The three-level inverter includes 24 active states and 3 zero states in the space vector diagram. Furthermore, the active vectors can be separated into three groups as small voltage vectors ($V_1$, $V_2$, ..., $V_6$), medium voltage vectors ($V_7$, $V_8$, ..., $V_{12}$) and large voltage vectors ($V_{13}$, $V_{14}$, ..., $V_{18}$). The zero vectors ($V_0$) are pointed at the origin of the polygon. There are only three possible configurations for zero vectors, two possible
configurations for each of the small vectors and one possible configuration for each middle or large vectors.

Figure 2 Sectors, regions and vectors of three-level space vector PWM

Based on the SVPWM algorithm, the command voltage vector ($V^*$) is synthesized by using a combination of three adjacent vectors. These vectors could consist of two adjacent active and one or both zero vectors or all of the vectors could be three adjacent active switching vectors. Each voltage vector has its own dwelling times and they are calculated by using vector calculation equations [18]:

\[ T_U \cdot V_U + T_V \cdot V_V + T_W \cdot V_W = T_S \cdot V^* \]  \hspace{1cm} (4)
\[ T_U + T_V + T_W = T_S \]  \hspace{1cm} (5)

where $V_U$, $V_V$, and $V_W$ are voltage vectors. They are used to define the triangle location of $V^*$ voltage vector. $T_S$ is the sampling time and $T_U$, $T_V$ and $T_W$ are the corresponding vector times. The position of the command voltage ($V^*$) can be easily calculated by using simple algorithm such as the conventional two-level inverter topology. Unfortunately, the complexity of algorithm increases as the inverter level increases [18].

The following procedure shows SVPWM steps for more than three-level applications [10, 11, 22]:

a) Determination of amplitude ($V^*$) and angle ($\theta$) of command voltage vector.
   Value of $V^*$ and $\theta$ is calculated by using Eq. (1), Eq. (2) and Eq. (3).

b) Sector and region identification.
   In the conventional three-level SVPWM, sector is defined by using value of $\theta$ and region is defined by using value of $V^*$.
   If $\theta$ is between $0^\circ \leq \alpha < 60^\circ$, then $V^*$ is in Sector A,
   If $\theta$ is between $60^\circ \leq \alpha < 120^\circ$, then $V^*$ is in Sector B,
   If $\theta$ is between $120^\circ \leq \alpha < 180^\circ$, then $V^*$ is in Sector C,
   If $\theta$ is between $180^\circ \leq \alpha < 240^\circ$, then $V^*$ is in Sector D,
   If $\theta$ is between $240^\circ \leq \alpha < 300^\circ$, then $V^*$ is in Sector E,
   If $\theta$ is between $300^\circ \leq \alpha < 360^\circ$, then $V^*$ is in Sector F.
   In order to determine the region, $V^*$ is used to calculate the modulation index, $m$, as in Eq. (6).

\[ m = \frac{\sqrt{3} \cdot V^*}{V_{DC}} \]  \hspace{1cm} (6)

In the conventional three-level inverter, $m$ is used to calculate $m_1$ and $m_2$ by using $\theta$ [10]. As it is seen from Fig. 3, Sector C is divided into 4 regions and $m_1 / m_2$ have been calculated by using Eq. (7) for sector C.

\[
\begin{align*}
  m_1 &= 2m \frac{\sin(\pi - \theta)}{\sqrt{3}} \\
  m_2 &= m \frac{\cos(\pi - \theta) - \sin(\pi - \theta)}{\sqrt{3}}
\end{align*}
\]  \hspace{1cm} (7)

And then, the position of the voltage vector $V^*$ is stated by using values of $m_1$ and $m_2$. This process has to be done for all Sectors and Regions.

4 ANN BASED SECTOR AND REGION DETERMINATION

In the literature, many types of ANN architecture are available. ANNs are becoming useful as an alternative way to conventional methods. A different modeling approach to solve complicated problems is presented by ANNs. In this paper, the position of the reference vector ($V^*$) is determined by using ANN. The back-propagation training algorithm is used for minimization of a cost function of the error between the outputs and the input of the feed forward ANN. The performance of the ANN depends on the functions used by the hidden layer and how the neural network has been trained. In this work, ANN structure is used for the sector and region determination. Each ANN has five layer structures as one input layer, three hidden layers and one output layer. Fig. 4 illustrates the diagram of the ANN used in this study.

Input layer consists of the vector angle (\(\theta\)) as \(x_1\), modulation index (\(m\)) as \(x_2\) and output frequency (\(f\)) as \(x_3\).
The tan-sigmoid activation function is used for all three hidden layers. The numbers of each neuron for hidden layers are 7, 15 and 15, respectively. The linear activation function is used for output layer. The Levenberg-Marquardt learning algorithm is applied to achieve optimum ANN for 100 epochs and reached an error below 0.00001%. The performance evaluations (PE) of the networks are performed by Eq. (8) where e is an error:

$$PE = \frac{1}{2}e^2 \quad (8)$$

Tab. 1 gives the switching times, \( T_U \), \( T_V \) and \( T_W \) defined for Sector C.

### Table 1 Vector durations for Sector C

| Region | \( T_U \) | \( T_V \) | \( T_W \) |
|--------|--------|--------|--------|
| C      | \( T_U = m \cdot \sin(\theta) \) | \( T_V = 2(1 - 2m \cdot \sin(\theta - \pi / 3)) \) | \( T_W = -2m \cdot \sin(\theta / 3) \) |
| 2\(^{nd}\) Region | \( T_U = (1 - (m \cdot \sin(\theta - \pi / 3))) \) | \( T_V = 2(2m \cdot \sin(\theta - \pi / 3) - 1) \) | \( T_W = T_U \) |
| 3\(^{rd}\) Region | \( T_U = T_V \) | \( T_V = 2(2m \cdot \sin(\theta - \pi / 3) - 1) \) | \( T_W = (1 - (m \cdot \sin(\theta - \pi / 3))) \) |

### 5 SELECTION OF SWITCHING SEQUENCES AND CORRESPONDING STATES

The switching sequences have to be selected after the switching times are calculated. As can be seen from Fig. 2, each sector has a different number of state. It is important to notice that only one device has to change its state at any time when the switching sequences are selected. Hence, minimum switching loss and minimum total harmonic distortion (THD) can be achieved. In this work, all appropriate switching states are organized to generate switching sequences to obtain low THD. Tab. 2 gives a list of the switching sequences in the regions for Sector C.

### Table 2 Switching Sequences for Three-Level SVPWM in Sector C

| Sector C | 1\(^{st}\) Region | 2\(^{nd}\) Region | 3\(^{rd}\) Region |
|---------|------------------|------------------|------------------|
| C       | \(-1, -1, -10, -100, 000, 010, 011, 111\) | \(-10, -1, -10, -110, 011\) | \(-10, -100, -110, 010, 011\) |
| 3\(^{rd}\) Region | \(-10, -1, -100, 010, 011\) | \(-100, -110, -111, 011\) |

### 6 SIMULATION MODEL FOR ANN BASED THREE-LEVEL INVERTER WITH SPACE VECTOR PWM

Fig. 5 shows MATLAB Simulink model of the system. The MATLAB / SimPowerSystem package program is used for modeling and simulating the whole system. Three-phase sinusoidal modulation waves, \( V_U \), \( V_V \) and \( V_W \) are transformed into \( V_{alpha} \) and \( V_{beta} \) in “U-V-W / Alpha-Beta” block using Clarke transformation equations. \( V_{ref} \) and angle \( \theta \) of the reference voltage vector is calculated in "Voltage Angle Calculation" block.

Then, sector and region calculations are performed by "Sector Determination" and "Region Determination" blocks using ANN algorithm. Finally, in the "Time Calculation" block, switching times and sequences of the switches (totally 12) located in the cascaded H-bridge inverter are calculated and then applied to the switches in each of H-Bridge blocks by "Times" function.

Isolated DC input supplies for the three-level inverter are obtained by using "DC sources". If the output voltage is obtained by using one of the renewable energy sources, the DC voltage is needed to be regulated by using DC/DC converter before applying to each H-Bridge structure.

### 7 EXPERIMENTAL SETUP

Fig. 6 shows the block diagram of the proposed ANN based three-level inverter with SVPWM algorithm including the induction motor load. "DSP" controller block determines the sector (Sector A, Sector B, …, Sector F) and region (1, 2, 3, 4) in SVPWM by using artificial neural network. In addition, this block is used to calculate the switching times. Three-phase currents, phase and line voltages and machine speed are input to this block and the speed control is performed inside the block. "M57140" is a power supply which consists of an isolated DC/DC converter used for Intelligent Power Module (IPM) module. "Optocoupler/Dead Time" block provides electrical isolation and introduces a dead time between the switching signals. "Protection Circuit" block protects the IPM.

M57140 module has four isolated DC voltage outputs as 15 V with two different output current ratings (30 mA and 100 mA). The single M57140 module is used for each phase. These modules provide necessary voltage and current for input of each IPM. "IPM" block represents the three-level inverter power circuit. In this study, PM30CSJ060 type IPM was used. Three controller cards, one for each phase, were designed for IPMs. "Voltage
"Transducer" and "Current Transducer" blocks are used to measure the output voltages and currents, respectively. The induction motor parameters are given in Appendix.

Fig. 7 illustrates MATLAB/SimPowerSystem model of the complete system. The model includes modulation index, sector and region determination, switching time calculations for all sectors and timing patterns blocks as well.

"ANN" block calculates the angle ($\theta$) and the position of $V^*$($V_{ref}$) which lies in the sector and region. The three-phase sinusoidal modulation waves, switching time, simulation time and output frequency are used to calculate $V^*$ and $\theta$. The switching sequences and duty cycles of the switches are calculated in "SVPWM" block. In the "U", "V" and "W" blocks, switching sequences are applied consequently. "DSP" block represents a real processor. All switching signals applied to the power devices are generated by this block.

Fig. 8 shows the experimental setup. A photograph taken from the drive system given in Fig. 8(a). Fig. 8(b) shows the close view of the circuits. The proposed SVPWM algorithm has been implemented on a three-level cascaded H-bridge inverter feeding both RL load and a 1.5 kW three-phase induction motor at no-load. The values of $R$ and $L$ were taken 100 $\Omega$ and 0.1 H, respectively. The drive system is tested at various operating conditions with open-loop $V/f$ control. The carrier frequency chosen for PWM generation is 1 kHz and DC link voltage source taken which represents the solar panel is 47 V. The death time introduced between the switches is 3.7 $\mu$s. The proposed three-level SVPWM algorithm is implemented by using DSP-1104 controller. HIOKI 3197 and Lecroy oscilloscope are used to measure output voltage, output current and FFT of the voltage and current. PM30CSJ060 IPM module has been used for each phase. The module is suitable for power switching applications. The module consists of six IGBT switches arranged as three-phase inverter. In the experimental setup, only two legs (single-phase) have been used as H-bridge. The other output is left as spare to replace the broken leg in case of a fault. The output current and voltage ratings of PM30CSJ060 module are 30 A and 600 V, respectively.

8 SIMULATION AND EXPERIMENTAL RESULTS

Simulation and experimental results were obtained for various operating conditions. Simulation and corresponding experimental results for the output line voltage, load currents and their FFT are given in Fig. 9 - Fig. 11 for RL load. Similar results in Fig. 12 - Fig. 18 are also taken for induction motor load. First, the performance of the three-level SVPWM inverter is analyzed at low output frequency and low output voltage values. The results given in Fig. 9 are taken for 10 Hz output frequency and modulation index of 0.2. Fig. 9 illustrates simulation and experimental results of the output line voltage and load current, harmonic spectra of the line voltage and current waveforms.

As can be seen from Fig. 9(a) and Fig. 9(d), output line voltage waveforms obtained from simulation and experimental setup have only three levels since the modulation index ($m$) has a low value as 0.2. In this case, the reference voltage vector is located in Region 1 for all sectors as mentioned in Section III. Simulation and experimental results have been repeated for 10 Hz output frequency and $m = 0.8$ modulation index and results are given in Fig. 10, where the only distinct difference from the previous results is that...
the output line voltage has five levels instead of three as expected since the m has been increased to 0.8.

Similar results were taken for output frequency of 70 Hz. Simulation and experimental voltage and current waveforms and their corresponding spectra are shown in Fig. 11 for m of 0.8.

As is seen from Fig. 11, the voltage level will increase to five level within modulation index (m = 0.8).

The experimental results shown in Fig. 13 - Fig. 16 were taken for an induction motor load. The three-phase motor currents shown in Fig. 13 have sinusoidal shapes even though the switching frequency is as low as 1 kHz. The line voltage waveform and its harmonic spectrum are given in Fig. 14. Here, the output voltage waveform has only harmonics around the switching frequency (1 kHz) in addition to the main harmonic. Fig. 15 shows the motor speed variations for various speed reference conditions on no load. In this case, the motor speed is accelerated from standstill to 300 rpm and stays in steady-state for a while. Then, the reference is changed to −300 rpm. In Fig. 16, the motor current at the speed transition (from 300 rpm to −300 rpm) has been shown in detail. It should be noted that no control action has been taken here.
modulation index has been increased to maintain constant V/f operation. This can be easily seen from Fig. 17 where the output line voltage waveform has five levels.

![Figure 17 Line-to-line output voltage and its harmonic spectrum](image)

![Figure 18 Motor speed under various operating conditions](image)

9 CONCLUSION

The environmental concerns and the progressive decrease of the fossil fuels force governments and researchers to find other energy sources such as solar, wind or FCs. The new alternative energy sources will not be used only for heating and electricity power, they will be also used for propulsion motor of the vehicles. And in the near future, renewable energy sources will have common usage all around the world. This paper offers an alternative approach for usage of multilevel inverter for residential and vehicle applications. This work aims to help to improve system architecture and productivity.

In this work, the cascaded H-bridge multilevel inverter feeding an induction motor has been modelled and designed. SVPWM technique was used to control the switches in cascaded multilevel inverter. The proposed control algorithm offers less switching losses since only one device changes its state at each sampling time. Because each H-bridge inverter configuration requires a separate isolated DC source, the cascaded H-bridge multilevel inverter structure is very convenient for any kind of renewable energy sources. Therefore, the proposed drive system can be easily adapted to the renewable energy systems.

A feedforward neural network based ANN is used to determine the vector location (sector and region) for SVPWM technique. The back propagation learning algorithm is used for training. The sectors and regions were calculated by using ANN architecture. The calculation complexity of the sectors and the regions for the SVPWM algorithm especially at high level numbers in multilevel inverters is decreased with the proposed algorithm comparing to the classical algorithms.

The simulation and experimental results were presented comparatively for various operating conditions. FFT analysis of the output voltage waveform shows that there are only harmonics around the switching frequency. This modulation strategy provides to get sinusoidal waveforms with less switching losses and with very low harmonic distortion at the output.

APPENDIX

Ratings of the three-phase, 4-pole, 380 V, 50 Hz squirrel cage induction motor are:

\[
P_n = 0.55 \text{ KW, } V = 380 \text{ V, } f = 50 \text{ Hz, } R_s = 112.4 \Omega, L_s = 85.04 \text{ mH, } R_L = 110 \Omega, L_r = 55.01 \text{ mH, } I_m = 0.4 \text{ H, } J = 0.00131 \text{ kgm}^2, P = 4.
\]

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